

2013

Catalog of Federal Domestic Assistance



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of the President
Office of Management
and Budget
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Administration
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INTRODUCTION

Catalog of Federal Domestic Assistance

The Catalog of Federal Domestic Assistance is a government-wide compendium of Federal programs, projects, services, and activities that provide assistance or benefits to the American public. It contains financial and nonfinancial assistance programs administered by departments and establishments of the Federal government.

In 1984, Public Law 98-169 authorized the transfer of responsibilities of the Federal Program Information Act from the Office of Management and Budget to the General Services Administration (GSA). The transfer took place in July 1984. These responsibilities include the dissemination of Federal domestic assistance program information through the Catalog of Federal Domestic Assistance, pursuant to the Federal Program Information Act, Public Law 95-220, as amended by Public Law 98-169. GSA now maintains the Federal assistance information database from which program information is obtained. The Office of Management and Budget serves as an intermediary agent between the Federal agencies and GSA, thus providing oversight to the necessary collection of Federal domestic assistance program data.

As the basic reference source of Federal programs, the primary purpose of the Catalog is to assist users in identifying programs that meet specific objectives of the potential applicant, and to obtain general information on Federal assistance programs. In addition, the intent of the Catalog is to improve coordination and communication between the Federal government and State and local governments.

The Catalog provides the user with access to programs administered by Federal departments and agencies in a single publication. Program information is cross referenced by functional classification (Functional Index), subject (Subject Index), applicant (Applicant Index), deadline(s) for program application submission (Deadlines Index), and authorizing legislation (Authorization Index). These are valuable resource tools that, if used carefully, can make it easier to identify specific areas of program interest more efficiently.

Other sections of the Catalog provide users with information on programs added and deleted since the last edition of the Catalog, a crosswalk of program numbers and title changes, regional and local offices, intergovernmental review requirements, definitions of the types of assistance under which programs are administered, proposal writing, grant application procedures, and additional sources of information on Federal programs and services.

Programs selected for inclusion in the Federal assistance data base are defined as any function of a Federal agency that provides assistance or benefits for a State or States, territorial possession, county, city, other political subdivision, grouping, or instrumentality thereof; any domestic profit or nonprofit corporation, institution, or individual, other than an agency of the Federal government.

A "Federal domestic assistance program" may in practice be called a program, an activity, a service, a project, a process, or some other name, regardless of whether it is identified as a separate program by statute or regulation. It will be identified in terms of its legal authority, administering office, funding, purpose, benefits, and beneficiaries.

"Assistance" or "benefits" refers to the transfer of money, property, services, or anything of value, the principal purpose of which is to accomplish a public purpose of support or stimulation authorized by Federal statute. Assistance includes, but is not limited to grants, loans, loan guarantees, scholarships, mortgage loans, insurance, and other types of financial assistance, including cooperative agreements; property, technical assistance, counseling, statistical, and other expert information; and service activities of regulatory agencies. It does not include the provision of conventional public information services.

For years, GSA has published a printed version of the Catalog of Federal Domestic Assistance (CFDA or Catalog), as required by legislation. That same legislation allowed GSA to distribute free copies of the printed Catalog to designated recipients. In fiscal year 2003, nearly 10,000 paper copies of the Catalog were distributed at no cost to the recipients

Current legislation, however, authorizes GSA to determine in what form to prepare and publish the Catalog. Consistent with the Administration's Electronic-Government initiatives, the Government Paperwork Elimination Act, and a move to a paper free environment, GSA will now disseminate the Catalog electronically through the CFDA website on the Internet. As a result, effective immediately, GSA will no longer print and distribute free copies of the Catalog.

The Internet and GSA's free CFDA website at <http://www.cfda.gov> will be the primary means of disseminating the Catalog. The CFDA website will also contain a PDF file version of the Catalog that, when printed by any user, will have the same layout as the printed document that the Government Printing Office (GPO) has provided.

GPO will continue printing and selling the CFDA to interested buyers. For information about purchasing the Catalog of Federal Domestic Assistance from GPO, call the Superintendent of Documents at 202-512-1800 or toll free at 866-512-1800, or you may reach GPO's on-line bookstore at <http://bookstore.gpo.gov>.

Types Of Assistance

Currently, programs in the Catalog are being classified by GSA into 15 types of assistance. (Cooperative Agreements as a type of assistance is used for programs administered under that mechanism. However, the definition does not appear in this section.) Benefits and services of the programs are provided through seven financial types of assistance and eight nonfinancial types of assistance. The following list defines the types of assistance that are available through the programs. Code letters below (A through O) which identify the type of assistance) will follow program titles in the Agency Index, Applicant Eligibility Index, the Functional Index, Deadlines Index, and in the list of added programs.

- A. Formula Grants - Allocations of money to States or their subdivisions in accordance with distribution formulas prescribed by law or administrative regulation, for activities of a continuing nature not confined to a specific project.
- B. Project Grants - The funding, for fixed or known periods, of specific projects. Project grants can include fellowships, scholarships, research grants, training grants, traineeships, experimental and demonstration grants, evaluation grants, planning grants, technical assistance grants, survey grants, and construction grants.
- C. Direct Payments for Specified Use - Financial assistance from the Federal government provided directly to individuals, private firms, and other private institutions to encourage or subsidize a particular activity by conditioning the receipt of the assistance on a particular performance by the recipient. This does not include solicited contracts for the procurement of goods and services for the Federal government.
- D. Direct Payments with Unrestricted Use - Financial assistance from the Federal government provided directly to beneficiaries who satisfy Federal eligibility requirements with no restrictions being imposed on the recipient as to how the money is spent. Included are payments under retirement, pension, and compensatory programs.
- E. Direct Loans - Financial assistance provided through the lending of Federal monies for a specific period of time, with a reasonable expectation of repayment. Such loans may or may not require the payment of interest.
- F. Guaranteed/Insured Loans - Programs in which the Federal government makes an arrangement to identify a lender against part or all of any defaults by those responsible for repayment of loans.
- G. Insurance - Financial assistance provided to assure reimbursement for losses

sustained under specified conditions. Coverage may be provided directly by the Federal government or through private carriers and may or may not involve the payment of premiums.

- H. Sale, Exchange, or Donation of Property and Goods - Programs which provide for the sale, exchange, or donation of Federal real property, personal property, commodities, and other goods including land, buildings, equipment, food and drugs. This does not include the loan of, use of, or access to Federal facilities or property.
- I. Use of Property, Facilities, and Equipment - Programs which provide for the loan of, use of, or access to Federal facilities or property wherein the federally owned facilities or property do not remain in the possession of the recipient of the assistance.
- J. Provision of Specialized Services - Programs that provide Federal personnel directly to perform certain tasks for the benefit of communities or individuals. These services may be performed in conjunction with nonfederal personnel, but they involve more than consultation, advice, or counseling.
- K. Advisory Services and Counseling - Programs which provide Federal specialists to consult, advise, or counsel communities or individuals to include conferences, workshops, or personal contacts. This may involve the use of published information, but only in a secondary capacity.
- L. Dissemination of Technical Information - Programs that provide for the publication and distribution of information or data of a specialized or technical nature frequently through clearinghouses or libraries. This does not include conventional public information services designed for general public consumption.
- M. Training - Programs that provide instructional activities conducted directly by a Federal agency for individuals not employed by the Federal government.
- N. Investigation of Complaints - Federal administrative agency activities that are initiated in response to requests, either formal or informal, to examine or investigate claims of violations of Federal statutes, policies, or procedure. The origination of such claims must come from outside the Federal government.
- O. Federal Employment - Programs that reflect the Governmentwide responsibilities of the Office of Personnel Management in the recruitment and hiring of Federal civilian agency personnel.

Contents of the Catalog

The Catalog contains Federal domestic assistance programs available to: State and local governments (including the District of Columbia and federally-recognized Indian tribal governments); Territories (and possessions) of the United States; domestic public, quasi-public, and private profit and nonprofit organizations and institutions; specialized groups; and individuals.

Programs in the Catalog provide information on the:

- Federal agency administering a program;
- Authorization upon which a program is based;
- Objectives and goals of a program;
- Types of financial and nonfinancial assistance offered under a program;
- Uses and restrictions placed upon a program; Eligibility requirements;
- Application and award process;
- Amount of obligations for the past, current, and future fiscal years;
- Regulations, guidelines and literature relevant to a program; Information contacts at the headquarters, regional, and local offices;
- Programs that are related based upon program objectives and uses;
- Examples of funded projects;
- Criteria for selecting proposals; and
- Individual agency policies and Federal management policy directives pertaining to a program.

Programs in the Catalog provide a wide range of benefits and services, which have been grouped into 20 basic functional categories, and 176 subcategories that identify specific areas of interest. Listed below are the 20 basic categories in which all programs have been grouped by primary purpose.

- Agriculture

- Business and Commerce
- Community Development
- Consumer Protection
- Cultural Affairs
- Disaster Prevention and Relief
- Education Employment, Labor, and Training
- Energy
- Environmental Quality
- Food and Nutrition
- Health
- Housing
- Income Security and Social Services
- Information and Statistics
- Law, Justice, and Legal Services
- Natural Resources
- Regional Development
- Science and Technology
- Transportation

Programs in the Catalog also include service activities of regulatory agencies.

The Catalog does not include:

- Solicited contracts administered under procurement laws and regulations for the purchase of goods and services for the Federal government;
- Foreign activities except as such programs have direct economic benefit in the domestic economy. (A program that provides both domestic and foreign assistance will be included with the description of the program oriented toward the domestic aspect.);
- Personnel recruitment programs of individual Federal departments which offer employment opportunities as part of normal recruiting operations, (the overall government-wide programs of the Office of Personnel Management are included);
- Benefits or assistance available only to current employees of the Federal government either civilian or military;
- New programs proposed in the Budget for which appropriations have not been enacted; or
- Programs that are no longer active due to expired authorization or appropriation.

Organization of the Catalog

The Catalog is divided into three basic sections -- the indices, the program descriptions, and the appendices.

INDICES --To locate specific programs of interest, determine eligibility, and to obtain information on the dates applications for assistance should be submitted to the funding agency, users may consult the Agency Program Index, the Functional Index, or the Subject Index; the Applicant Eligibility Index, and the Index listing deadlines for program applications.

The Agency Index Summary provides a description of the functions and activities of Federal agencies responsible for administering programs in the Catalog. Program numbers are also listed with the administering agency.

The Agency Program Index lists all programs in the Catalog in numerical order by the five-digit program identification number, the program title, the Federal agency responsible for administering the program, and whether the program offers financial assistance, nonfinancial assistance, or a combination of both.

The Functional Index Summary lists the basic functional categories and the subcategories that further identify specific areas of interest. Following the Summary is the Functional Index listing each program number and title under the appropriate basic category and subcategory.

The Subject Index provides a detailed listing of programs by various topics, popular name, general functional terms, categories of services, and selected beneficiaries, and is followed by the applicable program numbers.

The American Recovery and Reinvestment Act Index lists CFDA programs that are funded in whole or in part by the American Recovery and Reinvestment Act (ARRA) of 2009, Public Law 111.5.

The Applicant Eligibility Index is a listing in program number sequence, along with program titles, indicating the applicants eligible to apply. The index lists programs that may be applied for by:

- Any of the several States of the United States, the District of Columbia, or any agency or instrumentality of a State exclusive of State institutions of higher education and hospitals;
- Local governments which include a county, parish, municipality, city, town, township, village, State-designated Indian tribal government, local public authority, school district, special district, intrastate district, council of governments, sponsor group representative organizations, and other regional or interstate government entity, or any agency or instrumentality of a local government;
- U.S. Territories (and possessions) of the United States which include the Commonwealths of Puerto Rico and the Northern Mariana Islands, the Virgin Islands, Guam, Trust Territory of the Pacific Islands, and American Samoa;
- Federally-recognized Indian Tribal governments which include the governing body or a governmental agency of any Indian tribe, band, nation, or other organized group or community (including any Native village as defined in Section 3 of the Alaska Native Claims Settlement Act, 85 Stat. 688) certified by the Secretary of the Interior as eligible for the special programs and services provided through the Bureau of Indian Affairs;
- Nonprofit organizations and institutions which include quasi-public, public and private institutions of higher education and hospitals, Native American Indian Organizations, and other quasi-public and private nonprofit organizations such as, but not limited to, community action agencies (CAAs), Head Start agencies, research institutes, educational associations, and health centers. Excluded under this definition are government-owned contractor operated facilities or research centers providing support for mission-oriented, large scale programs that are government-owned or controlled, or are designed as federally-funded research and development centers; and
- Private individuals such as Native Americans, homeowners, students, farmers, artists, scientists, consumers, small business, refugees, aliens, veterans, senior citizens, low-income persons, health and education professionals, builders, contractors, developers, handicapped persons, the physically afflicted.

The Deadlines Index (for program applications) is a listing of program numbers and titles and the deadline date(s) by which funding agencies must receive applications. This information is also contained in the Deadlines Section of the program descriptions.

Users should also consult the following listings:

The Archived Programs list identifies programs that have been archived since the previous edition of the Catalog due to: expiration of budget authority; rescission of budget authority for the current fiscal year; program consolidation which has rendered the former program number(s) obsolete; the replacement of a categorical grant program by a block grant program; the replacement of two or more categorical programs by a block grant program; the abolishment of an agency; or the criteria for including a program in the Catalog no longer valid being (e.g., a program which no longer operates under Federal funding).

The Added Programs list identifies programs that have been added since the previous edition of the Catalog due to: the appropriation of new budget authority; the consolidation of two or more programs creating a new program; the splitting of elements from a former program creating two or more new programs; the transformation of a single categorical grant program into a block program; or the consolidation of two or more categorical grant programs into a block program.

The Crosswalk of Changes to Program Numbers and Titles which lists programs that have undergone a title change, or a program number change due to restructuring of programs, or reorganization of a Federal agency.

PROGRAM DESCRIPTIONS -- The center section of the printed Catalog and the listings available on this web site contain descriptions of Federal programs listed by program number in the same numerical sequence as in the Agency Program Index. Detailed information concerning programs is contained under the description headings of each Catalog program. The following is an explanation of each program description heading followed by examples of the type of information found under each heading.

NOTE: The program used in the example below does not exist. It is provided for the purpose of illustration only.

PROGRAM NUMBER, TITLE, AND POPULAR NAME -- Each program in the Catalog is preceded by a five-digit program identification number. The first two digits identify the Federal department or agency that administers the program, and the last three numbers are assigned in numerical sequence. Thus, program number 10.500 is administered by the Department of Agriculture, 11.500 by the Department of Commerce, 12.500 by the Department of Defense, 93.500 by the Department of Health and Human Services, and so on. (In the numerical sequence of program numbers, some numbers do not appear due to program deletions or consolidations. To accommodate users' systems and records, the numbers are not reassigned to other programs but are reserved for the reinstated programs.) The program title is the descriptive name given to a program. The popular name, which is less descriptive than the program title, is the name by which programs are commonly known or most often used by applicants and agencies.

Example: 93.259 Mental Health -- Children's Services

FEDERAL AGENCY -- The Federal agency is the Federal department, agency, commission, council or instrumentality of the government, and the primary organizational sub-unit (the administering office) that has direct operational responsibility for managing a program.

Example: SUBSTANCE ABUSE AND MENTAL HEALTH SERVICES ADMINISTRATION, PUBLIC HEALTH SERVICE, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION -- This section lists the legal authority upon which a program is based (acts, amendments to acts, Public Law numbers, titles, sections, Statute Codes, citations to the U.S. Code, Executive Orders, Presidential Reorganization Plans, and Memoranda from an agency head). Information provided here is used to produce Appendix II, the Authorization Appendix.

Example: Community Mental Health Centers Act of 1975, Part A, Section 203(e), Public Law 94-63, 42 U.S.C. 2689.

OBJECTIVES -- This is a brief statement of specific objectives stated in terms of what the program is intended to accomplish along with the goals toward which the program is directed.

Example: To stimulate innovative approaches to children's mental health problems emphasizing prevention and coordination of community services; to expand training activities; and, to broaden resources for children's mental health services.

TYPES OF ASSISTANCE -- This section indicates the form in which the assistance is transmitted from the Federal government and is initially received for use or distribution by the applicant.

Example: Project Grants.

USES AND USE RESTRICTIONS -- This section describes the potential uses for the assistance provided to meet stated objectives, and the specific restrictions placed upon the use of funds. The section cites one or more applications depending upon the nature of a particular program. Since this section translates objectives into the uses of a program, users may develop a clearer understanding of the program's objectives.

Example: Support for Continuation Grants only. Program authorizes funds on a

matching basis for initial staffing of facilities offering mental health services for children. Staffing grants may be used for a portion of the costs of professional and technical personnel to operate a facility for child mental health services; a higher percentage may be paid if an area has been designated a poverty area by the Secretary, HHS. The proposed program must provide consultation and coordinating services with other community agencies serving children in service area, and must include a plan with the means by which it will be evaluated.

ELIGIBILITY REQUIREMENTS:

Applicant Eligibility -- This section indicates who can apply to the Federal government for assistance and the criteria the potential applicant must satisfy. For example, individuals may be eligible for research grants, and the criteria to be satisfied may be that they have a professional or scientific degree, 3 years of research experience, and be a citizen of the United States. Universities, medical schools, hospitals, or State and local governments may also be eligible. Where State governments are eligible, the type of State agency will be indicated (State welfare agency or State agency on aging) and the criteria that they must satisfy.

Certain programs in the Catalog (e.g., the Pell Grant program which provides grants to students) involve intermediate levels of application processing, i.e., applications are transmitted through colleges or universities that are neither the direct applicant nor the ultimate beneficiary. For these programs, the criteria that the intermediaries must satisfy are also indicated, along with intermediaries who are not eligible.

Example: To be eligible for staffing grants, applicants must be part of, or affiliated with, a community mental health center, unless there is no center serving the community. Applicants may then be any public or private nonprofit agency providing, or coordinating with programs that will provide a full range of mental health services for children and their families residing in the service area.

Beneficiary Eligibility -- This section lists the ultimate beneficiaries of a program, the criteria they must satisfy and who specifically is not eligible. The applicant and beneficiary will generally be the same for programs that provide assistance directly from a Federal agency. However, financial assistance that passes through State or local governments will have different applicants and beneficiaries since the assistance is transmitted to private sector beneficiaries who are not obligated to request or apply for the assistance.

Example: Children and their families in the service area as well as personnel of schools and other community agencies serving children.

Credentials/Documentation -- This is a brief description of the credentials or documentation required prior to, or along with, an application for assistance. The eligibility factors that must be proven, certified, or established are indicated in this section. This section also indicates whether OMB Circular No. A-87 requirements, "Cost Principles Applicable to Grants and Contracts with State and Local Governments," are applicable. In cases where specific Federal circulars or other regulatory requirements are not applicable to the program, disclaimer statements may be included referencing the requirement(s) from which the program is excluded, e.g., "This program is excluded from coverage under (applicable requirement)."

Example: Proof of nonprofit status is required of nonprofit organizations and institutions. This program is excluded from coverage under OMB Circular No. A-87.

APPLICATION AND AWARD PROCESS:

Preapplication Coordination -- This section indicates whether any prior coordination or approval is required with governmental or nongovernmental units prior to the submission of a formal application to the Federal funding agency. For example, programs may require: (1) State agency approval prior to the submission of an application to a Federal agency; (2) the submission of environmental impact information as required by the National Environmental Policy Act of 1969, and Executive Order 11514 of March 4, 1970; (3) coordination with the policies of the recently revised OMB Circular No. A-102, "Uniform Administrative Requirements for Grants and Cooperative Agreements

to State and Local Governments" (referenced here for construction, land acquisition, and land development projects for which Federal funding exceeds \$100,000); (4) coverage for eligibility under Executive Order 12372, "Intergovernmental Review of Federal Programs"; or (5) a preapplication or preapplication conference. Applicants should also ascertain from the Federal agency the existence of other circular requirements not indicated by this section, and from the State, any State requirements that may be in effect. In cases where E.O. 12372 is not applicable to the program, a disclaimer statement is included referencing the exclusion, e.g., "This program is excluded from coverage under E.O. 12372."

Example: Preapplication consultation with the Substance Abuse and Mental Health Services Administration (SAMHSA) Branch of the HHS Regional Office is not mandatory. Application must be accompanied by evidence of approval and recommendation by the appropriate State agency or agencies. The standard application forms, as furnished by the Federal agency and required by OMB Circular No. A102, must be used for this program. This program is excluded from coverage under E.O. 12372.

Application Procedure -- This section discusses the basic procedural steps required by the Federal agency in the application process, beginning with the lowest level (e.g., State and local government units, institutions or organizations) and ending eventually with the Federal government. Each program will indicate whether applications are to be submitted to the Federal headquarters, regional or local office, or to a State or local government office. Numerous programs in the Catalog require the standard application forms in OMB Circular No. A-102 (Attachment M). Other applications may be in the form of a written request to the funding agency stating the need for assistance and requesting available services, or a formal proposal prepared in response to an announcement in the Federal Register or the Commerce Business Daily. Also indicated in this section is guidance concerning the applicability of OMB Circular No. A-110, "Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Nonprofit Organizations." In cases where specific Federal circulars or other regulatory requirements are not applicable to the program, disclaimer statements may be included referencing the requirement(s) from which the program is excluded, e.g., "This program is excluded from coverage under (applicable requirement)."

Example: Continuation Application Form ADM-115 should be used for staffing. Instructions and consultation may be obtained from the mental health section of the appropriate HHS Regional Office. Applications are sent to the Regional Office with copies to Acting Director, Division of Mental Health Service Programs, Substance Abuse and Mental Health Services Administration, 5600 Fishers Lane, Rockville, MD 20857. This program is subject to the provisions of OMB Circular No. A-110. This program is excluded from coverage under OMB Circular No. A-102.

Award Procedure -- This section lists the basic procedural steps for awarding assistance, beginning with the organizational components of the Federal agency that has final approval authority for the application and ending with the lowest level at which Federal resources are expended. Also indicated is whether assistance passes through the initial applicant for further distribution by intermediate level applicants to groups or individuals in the private sector.

Accepted applications are subject to evaluation by the headquarters, regional, local or district office to determine the feasibility of the proposed project to include consistency with Federal and individual agency policies concerning its scope and purpose. Grant payments may be made by a letter of credit, advance by Treasury check, or reimbursement by Treasury check. The headquarters office may make awards directly to the applicant, an agency field office, a regional office, or by an authorized county office.

Example: The Regional Health Administrator makes awards to approved applicants.

Deadlines -- When available, this section indicates the deadlines for applications to the funding agency that will be stated in terms of the date(s) or between what dates the application should be received. Reference is made to new applications, continuations, renewals, and supplementals. Application deadline information is

also indicated in the Deadlines Index, in the agency's program guidelines, or announced in the Federal Register. Where not available, applicants should contact the funding agency for deadline information.

Example: Staffing: Determined by award period (contact the Regional Office).

Range of Approval or Disapproval Time -- This section informs the applicant of the representative range of time required for the application to be processed (in terms of days or months) at the Federal level. Example: From 90 to 120 days.

Appeals -- In some cases, there are no provisions for appeal. Where applicable, this section discusses appeal procedures or allowable rework time for resubmission of applications to be processed by the funding agency. Appeal procedures vary with individual programs and are either listed in this section or applicants are referred to appeal procedures documented in the relevant Code of Federal Regulations (CFR).

Example: Not applicable. (An appeal was not applicable in this case. A related program (93.231) allows applicants to reapply if revised applications are submitted.)

Renewals -- This section advises the applicant as to whether renewals or extensions of applications are available and indicates the appropriate procedures to follow. In some instances, renewal procedures may be the same as for the application procedure, e.g., for projects of a non-continuing nature renewals will be treated as new, competing applications; for projects of an ongoing nature, renewals may be given annually.

Example: This program is renewed annually. Grantees are required to update their plan and submit a current year budget.

ASSISTANCE CONSIDERATIONS:

Formula and Matching Requirements -- This section indicates the formula and matching requirements prescribed in the allocation of funds or maintenance of effort requirements. A formula may be based on population, per capita income, and other statistical factors. Applicants are informed whether there are any matching requirements to be met when participating in the cost of a project. In general, the matching share represents that portion of the project costs not borne by the Federal government. Usually, a minimum percentage for matching share is prescribed by program legislation, and matching share requirements are included in the grant agreement. Attachment F of OMB Circular No. A-102 sets forth the criteria and procedures for the evaluation of matching share requirements which may be cash or in-kind contributions made by State and local governments or other agencies, institutions, private organizations, or individuals to satisfy matching requirements of Federal grants or loans.

Cash contributions represent the grantees' cash outlay, including the outlay of money contributed to the grantee by other public agencies, institutions, private organizations, or individuals. When authorized by Federal regulation, Federal funds received from other grants may be considered as the grantees' cash contribution.

In-kind contributions represent the value of noncash contributions provided by the grantee, other public agencies and institutions, private organizations or individuals. In-kind contributions may consist of charges for real property and equipment, and value of goods and services directly benefiting and specifically identifiable to the grant program. When authorized by Federal legislation, property purchased with Federal funds may be considered as grantees' in-kind contribution.

Maintenance of effort (MOE) is a requirement contained in certain legislation, regulations, or administrative policies stating that a grantee must maintain a specified level of financial effort in a specific area in order to receive Federal grant funds, and that the Federal grant funds may be used only to supplement, not supplant, the level of grantee funds. Programs that have maintenance of effort requirements and have total allocations over \$100 million (current FY) should have the following statement in this section: This program has

maintenance of effort (MOE) requirements; see funding agency for further details.

Example: Staffing: Federal funds on a decreasing percentage basis over a period of 8 years 90 percent - 1st and 2nd years; 80 percent - 3rd year; 75 percent - 4th and 5th years; 70 percent - 6th, 7th and 8th years. Nonpoverty areas are entitled to: 80 percent - 1st and 2nd years; 75 percent - 3rd year; 60 percent - 4th year; 45 percent - 5th year; 30 percent - 6th, 7th and 8th years.

Length and Time Phasing of Assistance -- This section indicates the time period during which the assistance is normally available, whether there are any restrictions placed on the time permitted to use the funds awarded, and the timing of disbursement of the assistance, e.g., lump sum, annually, quarterly, or as required. Example: Staffing grants are limited to 8 years by law. Payments are made on a Monthly Cash Request System or under a Letter of Credit.

POST ASSISTANCE REQUIREMENTS:

Reports -- This section indicates whether program reports, expenditure reports, cash reports or performance monitoring is required by the Federal funding agency, and specifies at what time intervals (monthly, annually, etc.) this must be accomplished.

Example: Interim progress reports must be submitted annually as part of a non-competing application; reports of expenditures are due annually. Immediate reporting of any inventions is required.

Audits -- This section discusses audits required by the Federal agency. The procedures and requirements for State and local governments and nonprofit entities are set forth in OMB Circular No. A-133. These requirements pertain to awards made within the respective State's fiscal year -- not the Federal fiscal year, as some State and local governments may use the calendar year or other variation of time span designated as the fiscal year period, rather than that commonly known as the Federal fiscal year (from October 1st through September 30th).

Example: In accordance with the provisions of OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that receive financial assistance of \$300,000 or more in Federal awards will have an audit made for that year. Nonfederal entities that expend less than \$300,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records -- This section indicates the record retention requirements and the type of records the Federal agency may require. Not included are the normally imposed requirements of the General Accounting Office. For programs falling under the purview of OMB Circular No. A-102, record retention is set forth in Attachment C. For other programs, record retention is governed by the funding agency's requirements.

Example: Records must be retained at least 3 years; records shall be retained beyond the 3-year period if audit findings have not been resolved.

FINANCIAL INFORMATION:

Account Identification -- This 11-digit budget account identification code represents the account that funds a particular program. This code should be consistent with the code given for the program area as specified in Appendix III of the Budget of the United States Government. (See Appendix III for further information on the meaning of the 11 digits of this code.)

Example: 75-1361-0-1-550.

Obligations -- The dollar amounts listed in this section represent obligations for the past fiscal year (PY), estimates for the current fiscal year (CY), and estimates for the budget fiscal year (BY) as reported by the Federal agencies. In each succeeding edition of the Catalog, the dollar amounts are revised to reflect changes that may result from supplemental appropriations or amendments. Each program indicates what the obligation figures represent in terms of the type of

assistance provided. Obligations for nonfinancial assistance programs indicate the administrative expenses involved in the operation of a program as an indication of the magnitude of the services being provided, or the items involved in obligations.

Example: (Grants) PY \$19,853,000; CY est. \$20,407,000; and BY est. \$14,830,000.

Range and Average of Financial Assistance -- This section lists the representative range (smallest to largest) of the amount of financial assistance available. These figures are based upon funds awarded in the past fiscal year and the current fiscal year to date. Also indicated is an approximate average amount of awards that were made in the past and current fiscal years.

Example: Formula: \$26,355 to \$691,481; \$235,305.

PROGRAM ACCOMPLISHMENTS -- This section briefly describes the accomplishments of a program using quantitative data, focusing on program output, results achieved, or services rendered during the past fiscal year, the current fiscal year, and projections for the coming fiscal year.

Example: In the past fiscal year, 140 applications were received and 140 staffing awards were issued. Approximately 147 continuation grants were funded during the current fiscal year and 103 are estimated to be funded in the budget fiscal year.

REGULATIONS, GUIDELINES, AND LITERATURE -- This section lists the title, number, and price of guidelines, handbooks, manuals, and other officially published information pertinent to a program. Since program regulations are published first in the Federal Register (FR) and later in the Code of Federal Regulations (CFR), citations to the CFR are listed.

Example: Interim guidelines available in application kits.

INFORMATION CONTACTS:

Regional or Local Office -- This section lists the agency contact person, address and telephone number of the Federal Regional or Local Office(s) to be contacted for detailed information regarding a program such as: (1) current availability of funds and the likelihood of receiving assistance within a given period; (2) preapplication and application forms required; (3) whether a preapplication conference is recommended; (4) assistance available in preparation of applications; (5) whether funding decisions are made at the headquarters, regional or local level; (6) application renewal procedures (including continuations and supplementals) or appeal procedures for rejected applications; and (7) recently published program guidelines and material.

However, for most programs in the Catalog, this section will instruct the reader to consult Appendix IV of the Catalog (Agency Regional and Local Office Addresses) due to the volume of Regional and Local Office Contacts for most agencies. For those agencies with fewer contacts, the actual information will be provided in this section.

Example: Substance Abuse and Mental Health Services Administration (SAMSHA) Branch of the appropriate HHS Regional Office (see Appendix IV of the Catalog for listing).

(Appendix IV Listing) Region 1
(Connecticut, Maine, Massachusetts, New Hampshire, Rhode Island, Vermont)
William Farrow
John F. Kennedy Federal Building
Government Center
Boston, MA 02203
(617) 123-4567
(Use same number for FTS)

Headquarters Office -- This section lists names and addresses of the office at the headquarters level with direct operational responsibility for managing a program. A telephone number is provided in cases where a Regional or Local

Office is not normally able to answer detailed inquiries concerning a program. Also listed are the name(s) and telephone number(s) of the information contact person(s) who can provide additional program information to applicants.

Example: Dr. Steven Sharfstein, Acting Director, Division of Mental Health Service Programs, Substance Abuse and Mental Health Services Administration, 5600 Fishers Lane, Rockville, MD 20857. Telephone: (301) 123-4567. Use same number for FTS.

RELATED PROGRAMS -- This section of the program description lists all programs in the Catalog that are closely related based on objectives and program uses. Applicants should also refer to these programs, as they may provide additional assistance in a related area of interest.

Example: 93.232, Maternal and Child Health Services; 93.233, Maternal and Child Health Training; 93.242, Mental Health Research Grants; 93.295, Community Mental Health Centers-Comprehensive Support; 93.630, Developmental Disabilities-Basic Support.

EXAMPLES OF FUNDED PROJECTS -- This section indicates the different types of projects which have been funded in the past. Only projects funded under Project Grants or Direct Payments for Specified Use should be listed here. The examples give potential applicants an idea of the types of projects that may be accepted for funding. The agency should list at least five examples of the most recently funded projects.

Example: Awards are made only for staffing of facilities offering mental health services for children.

CRITERIA FOR SELECTING PROPOSALS -- This section indicates the criteria used by the Federal grantor agency to evaluate proposals in order to inform potential applicants of the application review process and the criteria used to award funds for projects.

Example: The criteria for selecting proposals are based upon the extent the project will contribute to needed services and training, capability of applicant to provide services and training, more effective utilization of personnel providing mental health services, and development of new methods or information.

APPENDICES -- The last section of the Catalog contains the following appendices: Programs Requiring Executive Order 12372 Review (Appendix I); Authorization Appendix (Appendix II); Budget Functional Code Appendix (Appendix III); Agency Regional and Local Office Addresses (Appendix IV); Sources of Additional Information (Appendix V); Developing and Writing Grants Proposals (Appendix VI); and in the December update to the Catalog, Historical Profile of Catalog Programs (Appendix VII).

Appendix I -- Programs Requiring Executive Order 12372 Review:

This Appendix gives a brief description of Executive Order 12372. The description explains its purpose, identifies a listing of all Catalog program numbers and titles to which it applies, the general procedures to follow in applying for assistance, and the State Single Point of Contact List to which the States may refer for application coordination purposes.

Appendix II -- Authorization Appendix:

This appendix lists Acts, Executive Orders and Public Law numbers that mandate programs in the Catalog. Acts and Executive Order citations are listed in alphabetic sequence and Public Law citations are listed numerically by the Congress. The citations are followed by their corresponding program numbers.

Appendix III -- Budget Functional Code Appendix:

This appendix lists programs by the Budget functional classification. The three digits listed are the major and minor functional classifications used to identify the major purpose of the programs.

Appendix IV -- Agency Regional and Local Office Addresses:

This appendix lists the names, addresses and telephone numbers of the regional and local offices that should be contacted for detailed information concerning a program and for initiating the process for applying for assistance. In cases where

a Federal agency does not have a regional or local office, the headquarters office listed in the program description should be contacted.

Appendix V -- Sources of Additional Information:

Information pertaining to Federal programs is available from Federal Information Centers and Federal Executive Boards as listed in this Appendix. Also listed are other government sources of information, including the 24 U.S. locations for the Government Printing Office Bookstores that sell the Catalog and other Federal publications.

Appendix VI -- Developing and Writing Grants Proposals:

General overview of the grants proposal process and suggested guidelines for developing and writing a well-prepared proposal to obtain Federal funding.

Appendix VII -- Historical Profile of Catalog Programs:

This appendix lists all programs that have been published in the Catalog of Federal Domestic Assistance beginning with the 1965 edition, and the subsequent action taken related to those programs. It can be found on the CFDA Web Site: <http://www.cfda.gov>

AGENCY INDEX SUMMARY

The following is a summary description of the functions and activities of federal agencies responsible for administering programs listed in the Catalog. The CFDA program numbers are also listed next to the administering agency.

DEPARTMENT OF AGRICULTURE (10.001-10.999)

Through leadership and partnership with USDA agencies: ensure the provision of information, technical assistance, and training to all USDA customers with emphasis on under-served populations, to assure they have full access to all USDA programs and services. Maintains effective competition and fair trade practices in the marketing of livestock, poultry for the protection of livestock and poultry producers. Administers programs to make food assistance available to people who need it. These programs are operated in cooperation with State and local governments. Participates in a nationwide system of agricultural research program planning and coordination between the States and the Department of Agriculture to encourage and assist in the establishment and maintenance of cooperation within and among the States and between the States and their Federal research partners. The primary function is to administer the Acts of Congress that authorize Federal appropriations for agricultural research carried on by the State agricultural experiment stations of the 50 States, Puerto Rico, Guam, the Virgin Islands, the District of Columbia, American Samoa, and Micronesia the Northern Marinas, approved schools of forestry, the 1890 land-grant institutions and Tuskegee University, colleges of veterinary medicine, and other eligible institutions. The Extension activities of the Cooperative State Research, Education, and Extension Service coordinates and provides administrative, technical, and other services to a nationwide Cooperative Extension System, in partnership with State and local governments and the private sector. The primary function of this system is to take the research findings of the Department of Agriculture, the State Land-Grant Colleges and programs administered by the Department of Agriculture, and to develop and deliver informal, out-of-school educational programs. These programs communicate and demonstrate to people how they can apply research findings to identify and solve farm, home, and community problems. This work is carried out through extension offices in each State, Puerto Rico, Guam, the Virgin Islands, the District of Columbia, American Samoa, and Micronesia, plus 16, 1890 land-grant universities and Tuskegee University as the State partner.

Agricultural Marketing Service (10.153, 10.155-10.156, 10.162-10.165, 10.167-10.168, 10.170-10.171)

Administers standardization, grading, voluntary inspection, market news, marketing orders, regulatory, and related programs.

Agricultural Research Service (10.001, 10.700)

Conducts research to develop new knowledge and technology to ensure an abundance of high quality agricultural commodities and products at reasonable prices to meet the increasing needs of an expanding economy and to provide for the continued improvement in the standard of living of all Americans. It conducts basic, applied, and developmental research on animal and plant production use and improvement of soil, water, and air processing, storage, distribution, food safety, consumer services and human nutrition research, and food and agriculture sciences. The National Agricultural Library of ARS disseminates useful information about agricultural and other related sciences to scientists and researchers, administrators and managers, farmers, and to the general public provides library services, such as bibliographies, reference services and document delivery.

Animal and Plant Health Inspection Service (10.025, 10.028, 10.030)

Conducts regulatory and control programs to protect and improve animal and plant health for the benefit of man and the environment and to control or reduce damage caused by nuisance mammals and birds and those mammal and bird

species that are reservoirs for zoonotic diseases, except for urban rodent control.

Departmental Management (10.443)

DM's mission is to provide management leadership to ensure that USDA administrative programs, policies, advice and counsel meet the needs of USDA program organizations, consistent with laws and mandates; and provide safe and efficient facilities and services to customers.

Economic Research Service (10.250, 10.253-10.256)

The Economic Research Service produces economic and other social science information as a service to the general public and to help Congress and the administration develop, administer, and evaluate agricultural and rural policies and programs.

Farm Service Agency (10.051, 10.053-10.056, 10.069, 10.080, 10.085, 10.087-10.093, 10.095, 10.098-10.099, 10.101-10.102, 10.105-10.106, 10.141, 10.404, 10.406-10.407, 10.421, 10.435, 10.437, 10.449, 10.451)

Administers domestic commodity price and income support, farm loan, disaster assistance, and conservation cost-share programs for the Department of Agriculture.

Food Safety and Inspection Service (10.475, 10.477, 10.479)

Assures that meat, poultry and egg products moving in interstate and foreign commerce are safe, wholesome, unadulterated and accurately labeled, as required by the Federal Meat Inspection Act, the Poultry Products Inspection Act, and the Egg Products Inspection Act. The Meat, Poultry, and Egg Products Inspection Programs provide continuous in plant inspection of all domestic plants preparing meat, poultry, and egg products for sale or distribution in commerce. In addition, these acts include the review of inspection systems in foreign establishments that prepare meat, poultry, or egg products for export to the United States under inspection programs that are equivalent to the U.S. program. FSIS provides technical and financial assistance to States which maintain meat and poultry inspection programs equal to Federal inspection. FSIS also enters into Cooperative Agreements with Academic institutions; State, local and tribal government agencies; and non-profit organizations to improve Food Safety and Food Defense.

Food and Nutrition Service (10.551, 10.553, 10.555-10.561, 10.565-10.569, 10.572, 10.574-10.580, 10.582-10.583, 10.585-10.593)

The Food and Nutrition Service (FNS) administers the USDA food assistance programs. These programs, which serve one in six Americans, represent our Nation's commitment to the principle that no one in this country should fear hunger or experience want. They provide a Federal safety net to people in need. The goals of the programs are to provide needy persons with access to a more nutritious diet, to improve the eating habits of the Nation's children, and to help America's farmers by providing an outlet for distributing foods purchased under farmer assistance authorities. The Service works in partnership with the States in all its programs. State and local agencies determine most administrative details regarding distribution of food benefits and eligibility of participants and FNS provides commodities and funding for additional food and to cover administrative costs.

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Foreign Agricultural Service (10.600-10.606, 10.608-10.610, 10.612-10.614, 10.777, 10.960-10.962)

The export promotion and service agency for the U.S. Department of Agriculture. Stimulates overseas markets for U.S. agricultural products. FAS fulfills its role as the promotional agency for the world's largest agricultural export business through its network of agricultural counselors, attaches, and trade officers stationed overseas and its backup team of analysts, marketing specialists, negotiators, and related specialists. FAS maintains a worldwide agricultural intelligence and reporting system through its attached service. FAS also has a continuing market development program to develop, service, and expand commercial export markets for U.S. agricultural products. By virtue of the Agricultural Trade Act of 1978, FAS received authority to open at least 6 and not more than 25 agricultural trade offices overseas to develop, maintain and expand international markets for U.S. agricultural commodities. Ten of these offices have been established and are located in such key markets as West Germany, Saudi Arabia, Singapore, and Mexico City. To improve access for U.S. farm products abroad, FAS international trade policy specialists coordinate and direct USDA's responsibilities in international trade agreement programs and negotiations. FAS also manages the Public Law 480 Program, Titles I and III (Food for Peace Program), and the Commodity Credit Corporation (CCC) Export Credit Guarantee Programs. Public Law 480 is aimed at long-range improvement in the economies of developing countries. Commercial programs promote commercial agricultural exports by providing credit guarantees to exporters which are used to obtain additional U.S. exports. Title I, the concession sales section of Public Law 480, provides for low-interest, long-term credit to recipients of U.S. farm commodities. The Title II program authorizes the donation of agricultural commodities to foreign governments, voluntary relief agencies, or intergovernmental organizations. Title III permits multiyear programming and forgiveness of dollar payments, provided the recipient country undertakes specific agricultural and economic development projects for commodities delivered under Title I agreements. FAS focuses on sharing knowledge of agriculture through development assistance and cooperation with other countries. Primary activities are providing technical assistance and training in agriculture to other countries, particularly the developing world; working with international food and agricultural organizations to solve world food problems; and sponsoring scientific exchanges and research that will help farmers both at home and abroad.

Forest Service (10.652, 10.664-10.666, 10.672, 10.674-10.676, 10.678-10.685, 10.687-10.694)

Cares for the land and serves people. Promotes the sustainability of ecosystems by ensuring their health, diversity, and productivity, which is coupled with this service ethic: work collaboratively and use appropriate scientific information in caring for the land and serving people. Provides financial, technical and scientific assistance to outside organizations in order to achieve these goals when authorized by statute. These land and service ethics are applied by the Forest Service through ecosystem management. Ecosystem management is the integration of ecological, economic, and social factors in order to maintain and enhance the quality of the environment to meet current and future needs. The four strategic goals of the Forest Service are to: (1) protect ecosystems, (2) restore deteriorated ecosystems, (3) provide multiple benefits for people within the capabilities of ecosystems, and (4) ensure organizational effectiveness. The Forest Service Natural Resource Agenda identifies four key areas of national focus. They are: watershed, health and restoration; sustainable forest ecosystem management; forest roads management; and recreation enhancement. Implementation of the agenda will help bring people together and help them find ways to live within the limits of the land. This in turn will ensure that future generations will forever be endowed with the rich natural bounty of our Nation.

National Agricultural Statistics Service (10.950)

Agricultural estimates involve collecting, analyzing, and publishing agricultural

production and marketing data, including: number of farms and acreage in farms; crop acreage, yields, production, stocks, value, and utilization; inventories and production of livestock, poultry, eggs, and dairy products; prices received by farmers for products, prices paid for commodities and services for living and production, and related indexes; farm employment and wage rates; cold storage supplies; agricultural chemical use; aquaculture; and other relevant aspects of the agricultural economy. Estimates for about 120 crops and 45 livestock items are published in about 400 Federal and 9,000 State-Federal reports each year. Beginning in 1997, NASS is responsible for the Census of Agriculture, previously conducted by the Bureau of the Census, Commerce Department. The Census of Agriculture is taken every 5 years and provides comprehensive data down to the county level on all aspects of the agricultural economy for the U.S., as well as selected data for American Samoa, Puerto Rico, Guam, Virgin Islands, and the Northern Mariana Islands. All information is made available to the news media and the public at scheduled release times and is available for free on the Internet. Statistical research and service is directed toward improving crop and livestock estimating techniques. Considerable emphasis is placed on improving survey sample designs as well as testing new forecasting and estimating techniques, such as using satellite data.

National Institute of Food and Agriculture (10.200, 10.202-10.203, 10.205-10.207, 10.210, 10.212, 10.215-10.217, 10.219-10.223, 10.225-10.228, 10.303-10.320, 10.322, 10.324-10.327, 10.500)

The National Institute of Food and Agriculture (NIFA) is an agency within the U.S. Department of Agriculture (USDA), part of the executive branch of the Federal Government. Congress created NIFA through the Food, Conservation, and Energy Act of 2008. NIFA replaced the former Cooperative State Research, Education, and Extension Service (CSREES), which had been in existence since 1994. NIFA's unique mission is to advance knowledge for agriculture, the environment, human health and well-being, and communities by supporting research, education, and extension programs in the Land-Grant University System and other partner organizations. NIFA doesn't perform actual research, education, and extension but rather helps fund it at the state and local level and provides program leadership in these areas.

Natural Resources Conservation Service (10.072, 10.901-10.905, 10.907, 10.912-10.914, 10.916-10.917, 10.920-10.929)

Develops and carries out a national soil and water conservation program in cooperation with landowners, operators and other land users and developers, community planning agencies and regional resource groups, Federal, State, and local government agencies; also assists in agricultural pollution control, environmental improvement, and rural community development. Preserves, protects, and restores valued wetlands, and improves wildlife and migratory bird habitat. Supports the objectives of the Nation's commitment to the 1973 International Boundary and Water Commission Agreement concerning the quality of water in the Colorado River delivered downstream to users in the United States and the Republic of Mexico. Conserves water; preserves, maintains, and improves migratory waterfowl habitat and other wildlife resources. Encourages good forestry management through the development, management, and protection of non-industrial private forest lands, to increase the production of timber and enhance other forest resources.

Risk Management Agency (10.450, 10.456, 10.458, 10.460)

The Risk Management Agency (RMA) is part of USDA. RMA's role is to help producers manage their business risks through effective, market-based risk management solutions. RMA's mission is to promote, support, and regulate sound risk management solutions to preserve and strengthen the economic stability of America's agricultural producers. As part of this mission, RMA operates and manages the Federal Crop Insurance Corporation (FCIC). RMA

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was created in 1996; the FCIC was founded in 1938. RMA, via the FCIC, provides crop insurance to American producers. Private-sector insurance companies sell and service the policies. RMA develops and/or approves the premium rate, administers premium and expense subsidy, approves and supports products, and reinsures the insurance companies. RMA through the formation of partnerships with public and private organizations fund projects that:

(A) Create new products, seek enhancements in existing products, and expand the use of non-insurance risk management tools that will be utilized by agricultural producers to assist them in mitigating the risks inherent in agricultural production. Risk management tools include a variety of risk management options and strategies developed to assist producers in mitigating the risks inherent in agricultural production. Risk management tools may include financial management tools to mitigate price and production risks; tools to enhance measurement and prediction of risks in order to facilitate risk diversification; tools to improve production management, harvesting, record keeping or marketing. (B) Provide women, limited resource, socially disadvantaged, and other traditionally underserved producers of Priority Commodities with risk management training, informational opportunities, and assistance necessary to understand: (1) The kind of risks addressed by existing and emerging risk management tools; (2) The features and appropriate use of existing and emerging risk management tools; and (3) How to make sound risk management decisions. (C) Deliver crop insurance education and information to U.S. agricultural producers in certain States that have been designated as historically underserved with respect to crop insurance. The states, collectively referred to as Targeted States, are Connecticut, Delaware, Maine, Maryland, Massachusetts, Nevada, New Hampshire, New Jersey, New York, Pennsylvania, Rhode Island, Utah, Vermont, West Virginia, and Wyoming. (D) Deliver risk management education and information in the management of production, marketing, and financial risk to U.S. agricultural producers. The program gives priority to educating producers of crops currently not insured under Federal crop insurance, specialty crops, and underserved commodities, including livestock and forage.

Rural Business-Cooperative Service (10.350, 10.352, 10.767-10.769, 10.771, 10.773, 10.778, 10.782, 10.854, 10.856, 10.865-10.868, 10.870-10.871, 10.874)

The mission of the Rural Business-Cooperative Service is to enhance the quality of life for all rural Americans by providing leadership in building competitive businesses and sustainable cooperatives that can prosper in the global marketplace.

Rural Housing Service (10.405, 10.410, 10.415, 10.417, 10.420, 10.427, 10.433, 10.438, 10.446-10.448, 10.766)

Provides credit to families and communities that still do not have effective access to credit because of the isolated nature or small scale of the rural market and provides subsidies to those low income families and communities that could not otherwise afford rent or debt service payments.

Rural Utilities Service (10.759-10.763, 10.770, 10.781, 10.850-10.851, 10.855, 10.857-10.859, 10.861-10.864, 10.886)

Electricity, telephone, water and waste disposal services have been taken for granted in American cities since at least the 1920's. But if you lived in a rural area only 60 years ago, chances are you went without these necessities of modern life and high standard of living they make possible. Modern utilities came to rural America through some of the most successful government initiatives in American history, carried out through the U.S. Department of Agriculture (USDA) working with rural cooperatives, nonprofit organizations, public bodies, and for-profit utilities.

The Office of the Chief Economist (10.290)

The Office of the Chief Economist (OCE) advises the Secretary on the economic implications of policies and programs affecting the U.S. food and fiber system and rural areas as well as coordinates, reviews, and approves the Department's commodity and farm sector forecasts. OCE staff also coordinates USDA's Agricultural Outlook Forum, which has been hosted annually since 1923.

DEPARTMENT OF COMMERCE (11.001-11.999)

Economic Development Administration (11.010, 11.300, 11.302-11.303, 11.307, 11.312-11.313)

The EDA's mission is to lead the federal economic development agenda by promoting innovation and competitiveness, preparing American regions for growth and success in the worldwide economy.

International Trade Administration (11.112-11.113)

Promotes world trade and strengthens the international trade and investment position of the United States.

National Institute of Standards and Technology (NIST) (11.013, 11.609, 11.611, 11.616-11.619)

The NIST mission is to promote U.S. innovation and industrial competitiveness by advancing measurement science, standards, and technology in ways that enhance economic security and improve our quality of life. Since 1901, NIST, a non-regulatory agency, has been supplying the measurements and tools from standardized high-precision gage blocks needed to manufacture interchangeable parts to the world's most accurate atomic clock to help U.S. industry compete successfully through innovation. Over the last few decades, NIST has been assigned important new roles and responsibilities, including awarding competitive grants to foster development of promising, high-risk technologies; diffusing advanced technologies and business practices to smaller manufacturers; and promoting quality management methods in key sectors. Old and new, all NIST programs support the nation's agility, innovation, and competitiveness.

NIST Laboratory Program

For more than 100 years, NIST has maintained the national standards of measurement, a role that the U.S. Constitution assigns to the Federal Government. Today, the NIST Laboratories address increasingly complex measurement challenges. For example, NIST develops measurements focusing on the very small (e.g., nanotechnology devices) and the very large (e.g., skyscrapers), the physical methods for characterizing strands of DNA for forensic testing and the virtual methods for testing electronic health record systems, and tests the performance of walk-through metal detectors.

The NIST Laboratories work at the frontiers of measurement science to ensure that the U.S. system of measurements is firmly grounded on a sound scientific and technical foundation. NIST promotes the use of measurements based on the international system of units (SI). The measurement science research at NIST is useful to all science and engineering disciplines.

The NIST Laboratories work to assure that the U.S. realization of the basic and derived measurement units is consistent with the realization in other nations. NIST Laboratories engage in a number of international activities to support trade and global science, and to promote the international acceptance of U.S. measurement standards.

The NIST Laboratories provide industry and academia with unique user

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facilities that support innovation in materials science, nanotechnology, and other emerging technology areas through the NIST Center for Neutron Research, which provides world class neutron measurement capabilities to the U.S. research community, and the NIST Center for Nanoscale Science and Technology, which supports nanotechnology development from discovery to production.

The NIST Laboratories also support the development of standards and specifications that define technical and performance requirements for goods and services. These standards also known as documentary standards are often developed collaboratively with the private sector through an open, consensus-based process. NIST scientists and engineers lend their expertise to these efforts in order to promote standards that are based on sound science, and to ensure that the standards are supported by effective measurements and testing methods for conformity. In addition, NIST is designated under the National Technology Transfer Advancement Act (NTTAA) as the coordinator for all Federal agencies using documentary standards that are developed by private-sector consensus bodies to carry out their policy objectives.

Innovation and Industry Services

Hollings Manufacturing Extension Partnership

Through partnerships between Federal and state governments and non-profit organizations, NIST's Hollings Manufacturing Extension Partnership (MEP) provides technical and business assistance to smaller manufacturers through a nationwide network in all 50 states and Puerto Rico. Field agents and programs are helping manufacturers understand, adopt, and apply new technologies and business practices, as well as reap the benefits through increased productivity, better performance, cost savings, waste reduction, and creation and retention of manufacturing jobs. MEP acts as a strategic advisor to promote business growth and innovation and to connect manufacturers to public and private resources essential for increased profitability and competitiveness in the global marketplace.

Technology Innovation Program (TIP)

The TIP statute originated in the America Creating Opportunities to Meaningfully Promote Excellence in Technology, Education, and Sciences (COMPETES) Act of 2007 (P.L. 110-69). The COMPETES Act was recently reauthorized as the America COMPETES Reauthorization Act of 2010 (P.L. 111-358). TIP was established to help U.S. businesses, institutions of higher education, and other organizations such as national laboratories and nonprofit research institutes to support, promote, and accelerate innovation in the United States through high-risk, high-reward research in areas of critical national need. The final FY 2012 appropriations did not provide funding for TIP. The program is currently implementing an orderly close down of the program.

Baldrige Performance Excellence Program (BPEP)

NIST's Baldrige Performance Excellence Program (BPEP) provides global leadership in the learning and sharing of successful strategies and performance practices, principles, and methodologies to strengthen U.S. organizations. The program promotes organizational excellence through education, outreach, and an annual Presidential awards program. The Baldrige Award is given to organizations in six categories: manufacturing, service, small business, health care, education, and nonprofit. BPEP works closely with these organizations to recognize and disseminate proven best practices for management and operation, leading to organizations that are more strategic, innovative, competitive, and effective. BPEP also takes advantage of more than 20 years worth of learning from Baldrige Award recipients to identify strategies and practices that are most likely to strengthen the performance and competitiveness of U.S. industry and other organizations.

National Oceanic and Atmospheric Administration (NOAA)
(11.008, 11.011-11.012, 11.400, 11.417, 11.419-11.420, 11.426, 11.429, 11.432, 11.440, 11.459, 11.469, 11.473, 11.478,

11.481-11.483)

Reports the weather of the U.S. and its possessions and provides weather forecasts to the general public, issues warnings against such destructive natural events as hurricanes, tornadoes, floods, and tsunamis and provides special services in support of aviation, marine activities, agriculture, forestry, urban air-quality control, and other weather-sensitive activities; monitors and reports all nonfederal weather modification activities conducted in the U.S.; conducts an integrated program of management, research, and services related to the protection and rational use of living marine mammals; prepares and issues nautical and aeronautical charts, provides the Nation's precise geodetic surveys, and conducts broad research programs in marine and atmospheric sciences, solar-terrestrial physics, and experimental meteorology, including weather modifications; predicts tides, currents, and the state of the oceans, conducts research and development aimed at providing alternatives to ocean dumping; provides Federal leadership in promoting wise and balanced management of the Nation's coastal zone; provides satellite observations of the environment by establishing and operating a national environmental satellite system; conducts integrated program of research and services relating to the oceans and inland waters, the lower and upper atmosphere, space environment, and the earth; acquires, stores, and disseminates worldwide environmental data through a system of meteorological, oceanographic, geodetic, and seismological data centers; develops a system of data buoys for automatically obtaining and disseminating marine environmental data; promotes the development of technology to meet future needs of the marine community.

National Telecommunications and Information Administration (11.549, 11.558)

Exercises significant responsibility in the areas of communication security, privacy protection, and the application of public service satellites, public telecommunications facilities planning and construction; formulates policies to support the development, growth and regulation of telecommunications, information, and related industries; furthers the efficient development and use of telecommunications and information services; provides policy and management for the use of electromagnetic spectrum; provides telecommunications facilities grants to public service users.

DEPARTMENT OF DEFENSE (12.001-12.999)

Principal advisor to the Under Secretary of Defense (Policy) and the Secretary of Defense on national security strategy and defense strategy and in the requirements, forces and contingency plans necessary to implement those strategies. Civil functions of the Department of the Army include the Civil Works Program, the administration of Arlington and Soldiers' Home National Cemeteries, and other related matters. The Army's Civil Works Program, a responsibility of the Corps of Engineers is the Nation's major Federal water resources development activity and involves engineering works such as major dams, reservoirs, levees, harbors, waterways, locks, and many other types of structures. These works provide flood protection for cities and major river valleys, reduce the cost of transportation, supply water for municipal and industrial use, generate hydroelectric power, provide recreational opportunities for vast numbers of people, regulate the rivers for many purposes including the improvement of water quality and the enhancement of fish and wildlife, protect the shores of the oceans and lakes, and provide still other types of benefits. Planning assistance is also provided to States and other nonfederal entities for the comprehensive management of water resources, including pollution abatement works. In addition, through the Civil Works Program the Federal government protects the navigable waters of the United States under legislation empowering the Secretary of the Army to prohibit activities which would reduce the value of such waters to the Nation. Includes two lead offices: the Office of Naval Research and the Office of Naval Technology. The Chief of Naval Research is responsible for the Department of Navy Research (6.1) and Exploratory Development (6.2) Programs. The Chief of Naval Research is

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responsible to the Secretary of the Navy through the Assistant Secretary (Research, Engineering and Systems) and is a principal adviser to the latter. The Chief of Naval Research is also responsible for providing leadership, management, and direction to the Department of Navy research and exploratory development programs and other research, development, technology, and equipment programs assigned to and conducted by the Office of the Chief of Naval Research; developing and formulating viable and responsive naval research and technology requirements based on current and projected Navy and Marine Corps long-range objectives and considerations of national security; and coordinating naval research and promoting cooperative research efforts within the Department of the Navy with other elements of the Department of Defense, National Science Foundation, National Aeronautics and Space Administration, Department of Energy, and other government research groups. The Office of Naval Research also conducts research in conjunction with the research and development conducted by other Department of Navy activities, coordinates the Naval Basic Research Program, and conducts a contract management program with educational institutions in support of all Federal agencies. The Office of Naval Technology, established in October 1980, manages the Department of Navy's Exploratory Development (6.2) Program, assessing, planning, programming, budgeting, directing, and monitoring the program, and manages the oversight activities in regard to the Industrial Independent Research and Development Program. The Secretary's responsibilities include matters pertaining to organization, training, logistical support, maintenance, welfare of personnel, administrative, recruiting, research and development, and other activities prescribed by the President or the Secretary of Defense. The principal assistant to the Secretary is the Under Secretary, who acts with the full authority of the Secretary on all affairs of the Department. The Air Force Materiel Command researches, develops, tests, acquires, delivers, and logistically supports every Air Force weapons system. It has responsibilities from inception of a weapons system through its operational life and final disposition. The Air Force Materiel Command operates major product centers, logistics centers, test centers, and laboratories. It was created in July 1992 through the integration of the Air Force Logistics Command and the Air Force Systems Command. The Defense Advanced Research Projects Agency is a separately organized agency within the Department of Defense under a Director appointed by the Secretary of Defense. The Agency engages in advanced, basic, and applied research and development projects essential to the Department of Defense, and conducts prototype projects that embody technology that may be incorporated into joint programs, programs in support of deployed U.S. forces, or selected Military Department programs and, on request, assists the Military Departments in their prototype efforts. In this regard, the Agency arranges, manages, and directs the performance of work connected with assigned advanced projects by the Military Departments, other government agencies, individuals, private business entities, as appropriate; recommends through the Under Secretary of Defense for Acquisition to the Secretary of Defense assignment of advanced projects to the Agency; keeps the Under Secretary, the Organization of Joint Chiefs of Staff, the Military Departments, and other Department of Defense agencies informed on significant new developments and technological advances within assigned projects; and performs other such functions as the Secretary of Defense or the Under Secretary may assign.

Department of the Air Force, Materiel Command (12.800)

The Air Force Materiel Command researches, develops, tests, acquires, delivers, and logistically supports every Air Force weapons system. It has responsibilities from inception of a weapons system through its operational life and final disposition.

Department of the Army, Office of the Chief of Engineers (12.101, 12.116)

No Description Provided

Department of the Navy, Office of the Chief of Naval Research (12.300, 12.330, 12.350)

No Description Provided

Department of the Navy, SPAWAR (12.335)

No Description Provided

Federal Voting Assistance Program (12.218-12.219)

No Description Provided

National Guard Bureau (12.400-12.401)

Provides a combat-ready reserve force and facilities for training and administering the Army National Guard units in the 50 States, the District of Columbia, the Commonwealth of Puerto Rico, and the Virgin Islands.

National Security Agency (12.901-12.902)

The National Security Agency/Central Security Service is responsible for the centralized coordination, direction, and performance of highly specialized technical functions in support of U.S. Government activities to protect U.S. communications and produce foreign intelligence information. The National Security Agency was established by Presidential directive in 1952 as a separately organized agency within the Department of Defense. In this directive, the President designated the Secretary of Defense Executive Agent for the signals intelligence and communications security activities of the Government. The Agency was charged with an additional mission, computer security, in a 1984 Presidential directive, and with an operations security training mission in a 1988 Presidential directive. In 1972 the Central Security Service was established, in accordance with a Presidential memorandum, to provide a more unified cryptologic organization within the Department of Defense and appointed the Director, National Security Agency, as Chief of the Central Security Service. The Agency has three primary missions: an information systems security mission, an operations security training mission, and a foreign intelligence information mission.

Office of Economic Adjustment (12.600, 12.604, 12.610-12.611, 12.614-12.615)

Is responsible for planning the Department's economic adjustment programs and for assisting Federal, State, and local officials in cooperative efforts to alleviate any serious social and economic side effects resulting from major departmental realignments or other actions.

Office of the Secretary of Defense (12.357, 12.558, 12.560, 12.579, 12.599, 12.631)

The Secretary's responsibilities include matters pertaining to organization, training, logistical support, maintenance, welfare of personnel, administrations, recruiting, research and development, and other activities prescribed by the President or the Secretary of Defense. The principal assistant to the Secretary is the Under Secretary, who acts with the full authority of the Secretary on all affairs of the Department.

Office of the Secretary of Defense, Logistics and Material Readiness, Maintenance Policy & Programs (12.225)

No Description Provided

U.S. Army Materiel Command (12.431)

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The Commanding General, United States Army Materiel Command, develops and provides materiel and related services to the Army. To Army elements of unified commands and specified commands, to Department of Defense agencies, and to other United States and foreign agencies as directed. His principal missions are to equip and sustain a trained, ready Army; to provide equipment and services to other nations through the security assistance program; to develop and acquire non-major systems and equipment; to provide development and acquisition support to program managers; to define, develop, and acquire superior technologies; to maintain the mobilization capabilities necessary to support the Army in emergencies; and to continue to improve productivity and quality of life.

US Army Center of Military History (12.440)

No Description Provided

Uniformed Services University of the Health Sciences (12.750)

No Description Provided

DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT (14.001-14.999)

The Assistant Secretary for Housing-Federal Housing Commissioner directs the Department's housing functions. He or she oversees aid for the construction and financing of new and rehabilitated housing and the preservation of existing housing. The Assistant Secretary is responsible for: Underwriting single family, multifamily, property improvement, and manufactured home loans; administering special purpose programs designed specifically for the elderly, the handicapped, and the chronically mentally ill; administering assisted housing programs for low-income families who are experiencing difficulties affording standard housing; administering grants to fund resident ownership of multifamily housing properties; protecting consumers against fraudulent practices of land developers and promoters, and administering housing development grants, where HUD provides grants to cities, urban counties, and States acting on behalf of local governments to support the development of rental housing in areas with severe rental housing shortages. Grantees use the HUD funds to provide capital grants or loans, interest-reduction payments, rental subsidies, or other types of assistance to facilitate the construction or substantial rehabilitation of rental projects by private owners. The Assistant Secretary for Community Planning and Development administers the grant programs that help communities plan and finance their growth and development, increase their capacity to govern, and provide shelter and services for homeless people. In addition, the Assistant Secretary is responsible for: Community Development Block Grant (CDBG) Programs for Entitlement Communities, States and HUD-administered Small Cities, Section 108 Community Development Loan Guarantees, and Special Purpose Grants for Insular Areas, Historically Black Colleges and Universities, and Technical Assistance; Home Investment in Affordable Housing (HOME), providing Federal assistance for use by participating jurisdictions or Indian tribes for housing rehabilitation, tenant-based assistance, assistance to first time homebuyers and new construction when a jurisdiction is determined to need new rental housing; the Department's programs to address homelessness, including the Supportive Housing Program (Transitional Housing and Permanent Housing components), Shelter Plus Care, Surplus Property for Use to Assist the Homeless, Section 8 Moderate Rehabilitation Single Room Occupancy (SRO) Program, Housing Opportunities for Persons with AIDS, and Emergency Shelter Grants; The Youthbuild Program to provide opportunities and assistance to very low-income young adults who have dropped out of high school; Consolidated Plans; and Empowerment Zones and Enterprise Communities. The Assistant Secretary for Public and Indian Housing (PIH) directs the Department's low-income public housing program. This program provides funds for the development, operation,

and modernization of public housing, including such housing for American Indians. It also promotes resident management and ownership of public housing. PIH administers Public and Indian Housing Programs, including rental and homeownership programs and provides technical and financial assistance in planning, developing, and managing low-income projects; provides operating subsidies for Public Housing Agencies (PHAs) and Indian Housing Authorities (IHAs), including procedures for the review of the management of PHAs and IHAs; administers the Capital Fund Program for the modernization of low-income housing projects to upgrade living conditions, correct physical deficiencies, and achieve operating efficiency and economy; administers the Resident Initiatives Program for resident participation, resident management; homeownership, economic development and supportive services, and drug-free neighborhood programs; implements and monitors program requirements related to program eligibility and admission of families to public and assisted housing, tenant income and rent requirements pertaining to continued occupancy; administers the HOPE VI and Vacancy Reductions Programs; administers the Section 8 Housing Choice Vouchers Program and the Moderate Rehabilitation Program; coordinates all departmental housing and community development programs for Indian and Alaska Natives; and awards grants to PHAs and IHAs for the construction, acquisition and operations of Public and Indian Housing Projects. The Office is responsible for: Increasing awareness of the public and building industry of the dangers of lead-based paint poisoning and the options for detection, risk reduction, and abatement; encouraging the development of safer, more effective, and less costly methods for detection, risk reduction, and abatement; encouraging State and local governments to develop lead-based paint programs covering primary prevention, public education, certification of contractors, hazard reduction, financing, and enforcement.

Office of Community Planning and Development (14.218, 14.225, 14.228, 14.231, 14.235, 14.238-14.239, 14.241, 14.247-14.248, 14.252, 14.259-14.261, 14.265-14.271)

The Assistant Secretary for Community Planning and Development administers the grant programs that help communities plan and finance their growth and development, increase their capacity to govern, and provide shelter and services for homeless people. In addition, the Assistant Secretary is responsible for: Community Development Block Grant (CDBG) Programs for Entitlement Communities, States and HUD-administered Small Cities, Section 108 Community Development Loan Guarantees, and Special Purpose Grants for Insular Areas, Historically Black Colleges and Universities, and Technical Assistance; Home Investment in Affordable Housing (HOME) providing Federal assistance for use by participating jurisdictions or Indian tribes for housing rehabilitation, tenant-based assistance, assistance to first time home buyers and new construction when a jurisdiction is determined to need new rental housing; the Departments programs to address homelessness, including the Supportive Housing Program (Transitional Housing and Permanent Housing components), Shelter Plus Case, Surplus Property for Use to Assist the Homeless, Section 8 Moderate Rehabilitation Single Room Occupancy (SRO) Program, Housing Opportunities for Persons with AIDS, and Emergency Shelter Grants; the Youthbuild Program to provide opportunities and assistance to very low-income young adults who have dropped out of high school; Consolidated Plans; and Empowerment Zones and Enterprise Communities.

Office of Fair Housing and Equal Opportunity (14.400-14.401, 14.408, 14.416-14.421)

The Assistant Secretary for Fair Housing and Equal Opportunity (FHEO) supervises HUD's housing and community development activities to promote fair housing and equal opportunity for all, regardless of race, religion, sex, or national origin. He or she also promotes equal opportunity for disabled people and families with children. FHEO administers: Fair Housing laws and regulations; and the Fair Housing Assistance Program that provides financial and technical assistance to State and local government agencies to implement local fair housing laws and ordinances.

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Office of Healthy Homes and Lead Hazard Control (14.913)

The Office is responsible for: Increasing awareness of the public and building industry of the dangers of lead-based paint poisoning and the options for detection, risk reduction, and abatement; encouraging the development of safer, more effective, and less costly methods for detection, risk reduction, and abatement; encouraging State and local governments to develop lead-based paint programs covering primary prevention, public education, certification of contractors, hazard reduction, financing, and enforcement.

Office of Housing-Federal Housing Commissioner (14.108, 14.110, 14.117, 14.119, 14.123, 14.129, 14.133, 14.142, 14.159, 14.162-14.163, 14.172, 14.175, 14.183, 14.198, 14.311, 14.313, 14.324)

The Assistant Secretary for Housing-Federal Housing Commissioner directs the Department's housing functions. He or she oversees aid for the construction and financing of new and rehabilitated housing and the preservation of existing housing. The Assistant Secretary is responsible for: Underwriting single family, multifamily, property improvement, and manufactured home loans; administering special purpose programs designed specifically for the elderly, the handicapped, and the chronically mentally ill; administering assisted housing programs for low-income families who are experiencing difficulties affording standard housing; administering grants to fund resident ownership of multifamily housing properties; protecting consumers against fraudulent practices of land developers and promoters, and administering housing development grants, where HUD provides grants to cities, urban counties, and States acting on behalf of local governments to support the development of rental housing in areas with severe rental housing shortages. Grantees use the HUD funds to provide capital grants or loans, interest-reduction payments, rental subsidies, or other types of assistance to facilitate the construction or substantial rehabilitation of rental projects by private owners.

Office of Policy Development and Research (14.535)

The Assistant Secretary for Policy Development and Research (PD&R) supervises the Department's research activities and the development of the policies and is responsible for experimental housing and technical studies. PD&R develops a research agenda to reflect the overall policy needs of the Department; performs background analyses, studies, and priority assessments concerning housing and community development issues; provides economic analyses and recommendations, performs housing and financial market research, and designs and monitors several housing related data series; evaluates existing and new HUD programs to determine whether these programs are reaching their intended beneficiaries and providing the intended results, whether program costs are reasonable, and whether programs are having any unintended effects; analyzes the adequacy of existing and proposed program information systems and implement improvements or create systems to support new programs; evaluate new housing and construction materials and techniques and develop ways to encourage use of new technology; supports the Secretary in carrying out his regulatory oversight of the Federal National Mortgage Association and the Federal Home Loan Mortgage Corporation and prepares annual reports to the Congress; manages research contracts, cooperative agreements, and grants; and administers the Office of University Partnerships and oversees grants awarded for general research and technology, the Community Development Work Study Program, Hispanic-Serving Institutions Work Study Program, and the Community Outreach Programs.

Office of Public and Indian Housing (14.850, 14.856, 14.862, 14.865-14.867, 14.869, 14.871-14.874, 14.877, 14.879-14.881, 14.889-14.892)

The Assistant Secretary for Public and Indian Housing (PIH) directs the Department's low-income public housing program. This program provides funds

for the development, operation, and modernization of public housing, including such housing for American Indians. It also promotes resident management and ownership of public housing. PIH administers Public and Indian Housing Programs, including rental and home-ownership programs and provides technical and financial assistance in planning, developing, and managing low-income projects; provides operating subsidies for Public Housing Agencies (PHAs) and Indian Housing Authorities (IHAs), including procedures for the review of the management of PHAs and IHAs; administers the Capital Fund Program for the modernization of low-income housing projects to upgrade living conditions, correct physical deficiencies, and achieve operating efficiency and economy; administers the Resident Initiatives Program for resident participation, resident management; home-ownership, economic development and supportive services, and drug-free neighborhood programs; implements and monitors program requirements related to program eligibility and admission of families to public and assisted housing, tenant income and rent requirements pertaining to continued occupancy; administers the HOPE VI and Vacancy Reductions Programs; administers the Section 8 Housing Choice Vouchers Program and the Moderate Rehabilitation Program; coordinates all departmental housing and community development programs for Indian and Alaska Natives; and awards grants to PHAs and IHAs for the construction, acquisition and operations of Public and Indian Housing Projects.

Office of Sustainable Housing and Community (14.705)

No Description Provided

DEPARTMENT OF THE INTERIOR (15.001-15.999)

The U.S. Department of the Interior uses sound science to manage and sustain Americas lands, water, wildlife, and energy resources, honors our nations responsibilities to tribal nations, and advocates for Americas island communities. As keepers our nations legacy, we manage the resources in our care to benefit Americans now and in the future. Under the leadership of Secretary Ken Salazar, our department and its employees are developing and implementing the cutting-edge science and expert management techniques that make this possible.

Bureau of Indian Affairs (15.033-15.034, 15.037, 15.065, 15.148)

Administers Federal Indian policy and discharges the Federal trust responsibility for American Indian tribes and Alaska Natives. Provides services directly, or through self-determination contract, grant and compact agreements with tribes and tribal organizations, to over 1.2 million American Indians and Alaska Natives to strengthen tribal governments, enhance the quality of life, promote economic opportunity, and protect and improve trust assets. The Bureau administers more than 43 million acres of tribally-owned land, over 10 million acres of individually-owned land held in trust status, and 417,000 acres of Federally-owned land.

Bureau of Indian Education (15.027-15.028, 15.042-15.044, 15.046-15.047, 15.058-15.059, 15.149, 15.151)

As stated in Title 25 CFR Part 32.3, BIEs mission is to provide quality education opportunities from early childhood through life in accordance with a tribes needs for cultural and economic well-being, in keeping with the wide diversity of Indian tribes and Alaska Native villages as distinct cultural and governmental entities. Further, the BIE is to manifest consideration of the whole person by taking into account the spiritual, mental, physical, and cultural aspects of the individual within his or her family and tribal or village context.

Bureau of Land Management (15.222, 15.224-15.225,

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15.227-15.230, 15.232-15.235, 15.237-15.242)

Has responsibility for the total management of 341 million acres of public lands located primarily in the Far West and Alaska and scattered parcels located in other States. In addition to minerals management responsibilities on the public lands and the Outer Continental Shelf, the Bureau is responsible for subsurface resource management of an additional 169 million acres where mineral rights have been reserved to the Federal Government. Resources managed by the Bureau include timber, minerals, oil and gas, geothermal energy, wildlife habitat, endangered plant and animal species, rangeland vegetation, recreation and cultural values, wild and scenic rivers, designated conservation and wilderness areas, and open space; provides for the protection (including fire suppression), orderly development, and use of public lands and resources under principles of multiple use and sustained yield. Land use plans are developed with public involvement to provide orderly use and development while maintaining and enhancing the quality of the environment; manages watersheds to protect soil and enhance water quality; makes land available through sale to individuals, organizations, local governments, and other Federal agencies when such transfer is in the public interest. Lands may be leased to State and local government agencies and to nonprofit organizations for certain purposes; the Bureau issues rights-of-way, in certain instances, for crossing Federal lands under other agencies' jurisdictions; is responsible for the survey of Federal lands and establishes and maintains public land records and records of mining claims; and also administers a program of payments in lieu of taxes based on the amount of Federally-owned land in counties and other units of local government.

Bureau of Ocean Energy Management (15.408, 15.421-15.422, 15.428)

On October 1, 2011, the new Bureau of Ocean Energy Management (BOEM) was created. BOEM is responsible for managing development of the nations offshore resources in an environmentally and economically responsible way. Functions include: Leasing, Plan Administration, Environmental Studies, National Environmental Policy Act (NEPA) Analysis, Resource Evaluation, Economic Analysis and the Renewable Energy Program.

Bureau of Reclamation (15.504, 15.506-15.513, 15.516-15.522, 15.524-15.527, 15.529-15.534, 15.537-15.546, 15.548-15.560, 15.562-15.563)

The Bureau of Reclamation manages, develops, and protects water and related resources in an environmentally and economically sound manner in the interest of the American public. The agency is the nation's second largest wholesale water supplier, administering 348 reservoirs with 245 million acre-feet of water. One out of five western farmers uses Reclamation water to irrigate 10 million acres of land, producing 60 percent of the nation's vegetables and 25 percent of its fruits and nuts. The agency also serves as the fifth largest electric utility in the 17 States west of the Mississippi River. Reclamation encourages and supports water conservation and environmental restoration through partnerships, incentive programs, and challenge grants. It also supports efforts to meet increasing water demands through water reclamation, recycling, and reuse.

Bureau of Safety and Environmental Enforcement (15.441)

On October 1, 2011, the new Bureau of Safety and Environmental Enforcement (BSEE) was created to enforce safety and environmental regulations. Functions include: All field operations including Permitting and Research, Inspections, Offshore Regulatory Programs, Oil Spill Response, and newly formed Training and Environmental Compliance functions.

Fish and Wildlife Service (15.605, 15.608, 15.611,

15.614-15.616, 15.619-15.623, 15.625-15.626, 15.628-15.631, 15.633-15.637, 15.639-15.645, 15.647-15.674, 15.676-15.677)

The Office of the Assistant Secretary for Fish and Wildlife and Parks has responsibility for programs associated with the use, management and conservation of natural resources, lands and cultural facilities associated with the National Park and National Refuge Systems, and the conservation and enhancement of fish, wildlife, vegetation, and habitat. The Office represents the Department in the coordination of marine ecosystems and biological resources programs with other Federal agencies. It also exercises secretarial direction and supervision over the United States Fish and Wildlife Service and the National Park Service.

National Park Service (15.406-15.407, 15.904, 15.912, 15.914-15.916, 15.918, 15.921-15.923, 15.926-15.949, 15.954-15.957)

Administers for the American people an extensive system of national parks, monuments, historic sites, and recreation areas. The objectives of the National Park Service are to administer the properties under its jurisdiction for the enjoyment and education of our citizens, to protect the natural environment of the areas, and to assist States, local governments, and citizen groups in the development of park areas, the protection of the natural environment, and the preservation of historic properties.

Office of Insular Affairs (15.875)

The Secretary of the Interior has administrative responsibility for coordinating federal policy in the territories of American Samoa, Guam, the U.S. Virgin Islands, and the Commonwealth of the Northern Mariana Islands, and the responsibility to administer and oversee U.S. federal assistance provided to the Freely Associated States of the Federated States of Micronesia, the Republic of the Marshall Islands, and the Republic of Palau under the Compacts of Free Association. The Office of Insular Affairs executes these responsibilities on behalf of the Secretary.

Office of Natural Resources Revenue (15.427, 15.429-15.440)

In May 2010, Interior Secretary Ken Salazar issued Secretarial Order No. 3299 separating the MMS responsibilities into three distinct organizations, one of which was ONRR. Issued in June 2010, Secretarial Order No. 3302 formally eliminated the former MMS and created the Bureau of Ocean Energy Management, Regulation and Enforcement (BOEMRE). Effective October 1, 2010, the functions of MRM officially transferred to ONRR, reporting to the Assistant Secretary for Policy, Management and Budget. The ONRR is responsible for the efficient, timely, and accurate collection and disbursement of all royalty payments, rentals, bonuses, fines, penalties, assessments, and other revenue due the Federal Government, American Indian Tribes and allottees, states and the American people from the leasing and production of natural resources from Federal and Indian lands onshore and in the Outer Continental Shelf. ONRR is comprised of three program areas including Asset Management, Audit and Compliance Management, and Financial and Program Management. Each year, ONRR achieves optimal value by ensuring that all natural resources revenues are efficiently and accurately collected and disbursed to recipients in a timely manner. The ONRR collects and disburses annual revenues to the U.S. Treasury, five Federal agencies, 38 states, 41 American Indian Tribes, and about 30,000 individual Indian mineral owners.

Office of Surface Mining (15.250, 15.252-15.255)

Creation of a nationwide program that protects society and the environment from the adverse effects of coal mining operations, while ensuring an adequate supply of coal to meet the Nation's energy needs. Major objectives of the Office include establishment of minimum national standards for regulating the surface effects

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of coal mining, assistance to the States in developing and implementing regulatory programs, and promotion of the reclamation of previously mined areas.

The Bureau of Ocean Energy Management, Regulation, and Enforcement (15.423-15.424)

The Bureau of Ocean Energy Management, Regulation, and Enforcement (BOEMRE) assesses the nature, extent, recoverability, and value of leasable minerals on the Outer Continental Shelf. It ensures the orderly and timely inventory and development and the efficient recovery of mineral resources; encourages utilization of the best available and safest technology; and safeguards against fraud, waste, and abuse.

U.S. Geological Survey (15.805, 15.807-15.812, 15.814-15.820, 15.978)

Perform surveys, investigations, and research covering topography, geology, biology, hydrology, and the mineral and water resources of the United States; classify lands as to their mineral and water resources; and publish and disseminate data relative to the foregoing activities.

DEPARTMENT OF JUSTICE (16.001-16.999)

Is responsible for enhancing the capacity and capability of State and local jurisdictions to prepare for and respond to incidents of domestic terrorism involving chemical and biological agents, radiological and explosive devices, and other weapons of mass destruction (WMD). It awards grants for equipment and provides training and technical assistance for State and local first responders. Provides policy coordination and general management authority over the Office of Justice Programs, which includes the Bureau of Justice Assistance, the Bureau of Justice Statistics, the National Institute of Justice, the Office of Juvenile Justice and Delinquency Prevention, and the Office for Victims of Crime. While each OJP Bureau and Office retains independent authority in awarding funds to carry out its programs, together these components function as a single agency whose goal is to promote innovative programs and to foster improvements in the Nation's criminal and juvenile justice systems.

Bureau of Justice Assistance (16.015, 16.571, 16.585, 16.593, 16.596, 16.606-16.610, 16.614-16.616, 16.738, 16.745-16.746, 16.751, 16.753-16.755, 16.812, 16.814-16.817, 16.822, 16.824)

Administers programs designed to assist State and local governments with drug, crime, and violence control and prevention efforts, as well as criminal justice system improvements. The Edward Byrne Memorial State and Local Law Enforcement Assistance Program, which was established by the Anti-Drug Abuse Act of 1986, provides assistance to States to subgrant funds to locals in 26 broad purpose areas, as well as limited discretionary grant funds. The Public Safety Officers' Benefits Programs, the Regional Information Sharing Systems, the State Criminal Alien Assistance Program, and the Local Law Enforcement Block Grants Program are also part of BJA's portfolio. Through its programs, BJA serves as a partner with State and local criminal justice systems. Program innovations of State and locals from across the country are tested, translated into implementation strategies, and demonstrated. Training and technical assistance on program development, implementation, evaluation, and site specific problems are available to State and local agencies. Currently, BJA is providing broad assistance in the areas of community justice and strategic planning.

Bureau of Justice Statistics (16.550, 16.554, 16.734, 16.739, 16.813)

The primary source for justice statistics in the United States. BJS collects analyzes, publishes, and disseminates information on crime, criminal offenders,

victims of crime, and the operation of justice systems at all levels of government. BJS maintains more than two dozen major data collection series and publishes a wide variety of reports annually which receive nationwide distribution. Core statistical efforts include annual data on criminal victimization, populations under correctional supervision, federal criminal offenders, federal case processing, and criminal Justice expenditures and employment. Periodic data series are undertaken to provide statistical information on felony convictions, state court case processing, the composition and characteristics of correctional populations, prosecutorial practices and policies, and the administration of law enforcement agencies and correctional facilities. BJS conducts special studies and analyses on policy relevant issues and emerging areas in interest. In addition, BJS provides financial and technical support to State and local governments in developing capabilities in criminal justice statistics and improving their criminal history records and information systems.

Criminal Division (16.111, 16.922)

No Description Provided

National Institute of Justice (16.560, 16.566, 16.741-16.742, 16.820)

Supports basic and applied research into criminal justice issues. Innovative approaches to controlling criminal behavior and improving law enforcement and criminal justice are tested and evaluated. Research results are disseminated through a wide variety of mechanisms including the quarterly "NIJ Reports" and a Research in Brief series designed for policy makers and criminal justice professionals. The Institute maintains a national and international clearinghouse on criminal justice issues. Its services are available to policymakers, criminal justice professionals, and the general public.

Office for Victims of Crime (16.320-16.321, 16.575-16.576, 16.582-16.583)

The mission is to enhance the Nation's capacity to assist crime victims and to provide leadership in changing attitudes, policies, and practices to promote justice and healing for all victims of crime. OVC works with national, international, State, military, and tribal victim assistance and criminal justice agencies, as well as other professional organizations, to promote fundamental rights and comprehensive services for crime victims. OVC improves the criminal justice system response to victims of crime, including Native American crime victims, through the delivery of direct service and funding, training and technical assistance, and through monitoring the implementation of statutes providing victims rights and assistance.

Office of Community Oriented Policing Services (16.710)

The Office seeks to expand the number of police officers and improve cooperative efforts between law enforcement agencies and members of the community to enhance public safety. The agency provides grants which fund new officers' salaries, training in community policing and problem-solving, and other innovative programs designed to bring law enforcement agencies into partnership with members of the community to address local crime problems.

Office of Juvenile Justice and Delinquency Prevention (16.523, 16.540-16.541, 16.543-16.544, 16.548, 16.726-16.727, 16.730-16.731, 16.756-16.758, 16.823)

Federal leadership in responding to the problems confronting the Nation's juvenile justice system is vested in the Office of Juvenile Justice and Delinquency Prevention (OJJDP). Established in 1974 by the Juvenile Justice and Delinquency Prevention Act. OJJDP is the Federal agency responsible for providing a comprehensive, coordinated approach to preventing and controlling

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juvenile crime and improving the juvenile justice system. OJJDP administers State Formula grants, State Challenge Grants, and the Title V Community Prevention Grants in States and territories; funds gang and mentoring programs under Parts D and G of the Act; funds numerous projects through its Special Emphasis Discretionary Grant Program and its National Institute for Juvenile Justice and Delinquency Prevention; and coordinates Federal activities related to juvenile justice and delinquency prevention. OJJDP also serves as the staff agency for the Coordinating Council on the Juvenile Justice and Delinquency Prevention, coordinates the concentration of the Federal Efforts Program, and administers both the Title IV Missing and Exploited Children's Program and programs under the Victims of Child Abuse Act of 1990.

Office of Sex Offender Sentencing, Monitoring, Apprehending, Registering, and Tracking (16.203, 16.750)

The SMART Office was authorized in the Adam Walsh Child Protection and Safety Act of 2006, which was signed into law on July 27, 2006. The responsibilities of the SMART Office include providing jurisdictions with guidance regarding the implementation of the Adam Walsh Act, and providing technical assistance to the states, territories, Indian tribes, local governments, and to public and private organizations. The SMART Office also tracks important legislative and legal developments related to sex offenders and administers grant programs related to the registration, notification, and management of sex offenders.

DEPARTMENT OF LABOR (17.001-17.999)

The Office of the Assistant Secretary for Veterans' Employment and Training (VETS) is responsible for the administration, formulating and implementation of policy and procedures affecting veterans as well as veteran's employment and training programs nationwide. VETS serves as a national leader on Veterans policy and procedure to the Department of Labor (DOL) and other Federal agencies. VETS fulfills a wide range of obligations prescribed by the Secretary of Labor regarding the employment and training needs of service-connected disabled veterans, Vietnam-era veterans, and veterans recently separated from military service. The VETS staff works closely with and provides technical assistance to State Employment Security Agencies and Job Training Partnership Act grant recipients to ensure that veterans are provided the priority services required by law. They also coordinate with employers, labor unions, veterans' service organizations, and community organizations through planned public information and outreach activities. Federal contractors are provided management assistance in complying with their veterans affirmative action and reporting obligations. Also administered by the Assistant Secretary through the Service is the Job Training Partnership Act, Title IV, Part C grant program designed to meet the employment and training needs of service-connected disabled veterans, Vietnam-era veterans, and veterans recently separated from military service. Job Training Partnership Act grants are awarded and monitored through the Services' national office and field staff. The Assistant Secretary also administers through the Service the Homeless Veterans Reintegration project grant program to provide employment, training, housing assistance, and supportive services to help homeless veterans reenter mainstream society. Homeless Veterans Reintegration Project grants are awarded and monitored through the Services' national office and field staff. Certain other Service staff also administer the veterans Uniformed Services Employment and Reemployment Rights Act program. They provide assistance to help restore job, seniority, and pension rights to veterans following absences from work for active military service and to protect employment and retention rights of members of the Reserve or National Guard. Other staff provide assistance to preference eligible veterans to ensure that they are not denied their veterans' preference benefits (preference in Federal government hiring and preference retention in reduction in force (RIF), under the Veterans Employment Opportunities Act (VEOA)). The Office of Disability Employment Policy (ODEP) provides national leadership on disability employment policy to the Department of Labor

(DOL) and other Federal agencies. ODEP was established to bring a heightened, permanent focus to address the significant employment obstacles faced by individuals with disabilities and to ensure coordination among Federal agencies on matters related to or affecting people with disabilities. ODEP's research provides new knowledge used to develop evidence-based disability employment policies and practices for dissemination to workforce systems and partners. ODEP works to achieve its mission through collaborating on inter- and intra-agency initiatives, commissions, councils, and workgroups; building partnerships with Federal, state, local, and non-governmental stakeholders; reviewing Federal legislation and policies; designing and conducting research studies; highlighting and promoting policies and practices that increase the employment of people with disabilities; and implementing education and outreach initiatives. ODEP's stakeholders include Federal, state, and local government agencies, private and public employers and their employees, educational and training institutions, individuals with disabilities and their families, and the disability community.

Employment Training Administration (17.201, 17.207, 17.225, 17.235, 17.245, 17.258-17.259, 17.261, 17.264-17.265, 17.267-17.268, 17.270-17.284)

The Employment and Training Administration(ETA) administers and oversees a number of programs designed to assist unemployed, unskilled, dislocated workers and the economically disadvantaged get the job training and other services needed to become fully productive members of society, while improving the skills and productivity of those already employed. ETA fulfills a wide range of responsibilities assigned to the Secretary of Labor relating to employment services, job training, and unemployment insurance. ETA funds training programs which enable workers to attain the skills needed for employment; administers a Federal-State employment service system which helps people find jobs and employers find workers. It also offers wage replacement programs for workers who lose their jobs through no fault of their own, such as the Unemployment Insurance (UI) and the Trade Adjustment Assistance (TAA) programs. Special efforts are made to address the unique job market problems of groups having difficulty entering or returning to the work force, such as older workers, school dropouts, displaced homemakers and individuals with disabilities. ETA also is responsible for promoting apprenticeship standards and programs and conducting programs of research, development and evaluation. The Job Training Partnership Act, enacted in 1982, is designed to provide training and related education and employment services to economically disadvantaged adults and youth to ensure that they have the required marketable skills leading to productive, unsubsidized employment. JTPA also provides reemployment and retraining services for workers dislocated through plant closings or mass layoffs. Block grants are provided to the States and U.S. territories for the operation of the program. JTPA provides for a summer youth employment and training program and Job Corps as well as special activities which offer basic skills training, job training and support services for special targeted groups such as Native Americans, and seasonal and migrant farmworkers. Implementing regulations for JTPA issued by the Department of Labor are contained in Title 20 of the Code of Federal Regulations, Parts 626-638 and 675-684. Effective July 1, 2000, JTPA is repealed and replaced by the Workforce Investment Act (WIA). WIA establishes, with States and local communities, a revitalized workforce investment system that provides workers with the information, advice, job search assistance, and training they need to get and keep good jobs, and provides employers with skilled workers. WIA is administered through State and local Workforce Investment Boards and required partnerships of local One-Stop Career Centers. Funds are used for youth, adult and dislocated worker employment and training activities. The Act also authorizes a number of national programs and the Job Corps. The U.S. Employment Service (ES) seeks to match workers looking for employment with employers seeking workers, and is operated by the States. Programs such as Alien Labor Certification are also administered under the ES by State ES agencies through reimbursement agreements with the Department of Labor. The Senior Community Service

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Employment Program (SCSEP), or Older Worker Program is authorized by the Older Americans Act of 1965. It provides subsidized part-time community service work for unemployed low-income people ages 55 and over. The Assistant Secretary for Employment and Training is responsible for ensuring that programs funded through ETA are free from unlawful discrimination, fraud, and abuse. It is the policy of ETA to ensure full compliance with constitutional, statutory, and regulatory provisions and to promote equal opportunity, affirmative action, and fund integrity in programs to which ETA extends financial assistance.

Mine Safety and Health Administration (17.600-17.603)

Develops and promulgates mandatory safety and health standards, ensures compliance with such standards, assesses civil penalties for violations, investigates accidents, cooperates with and provides assistance to the States in the development of effective State mine safety and health programs, improves and expands training programs in cooperation with the States and the mining industry, and, in coordination with the Department of Health and Human Services contributes to the improvement and expansion of mine health research and development. All of these activities are aimed at preventing and reducing mine accidents and occupational diseases in the mining industry.

Occupational Safety and Health Administration (17.502-17.506)

Develops and promulgates occupational safety and health standards; develops and issues regulations; conducts investigations and inspections to determine the status of compliance with safety and health standards and regulations; and issues citations and proposes penalties for noncompliance with safety and health standards and regulations.

Office of Disability Employment Policy (17.720)

The Office of Disability Employment Policy (ODEP) provides national disability employment policy leadership by developing and influencing the implementation of disability employment policies and practices affecting the employment of people with disabilities. ODEP's response to low employment rates among such a large group of Americans is unique, comprehensive, and aggressive, and includes the active involvement and cooperation of Federal, State, and local public and private entities, including employers. ODEP also leads efforts to achieve the employment related goals of the President's New Freedom Initiative. ODEP addresses the significant barriers to employment faced by individuals with disabilities by developing and disseminating national, State, and local disability employment policy; by fostering implementation of innovative strategies and practices among employers and throughout the various systems serving people with disabilities; by conducting disability-related research to build knowledge to inform policy development; and by providing technical assistance to service delivery systems and employers to increase employment opportunities and the recruitment, retention, and promotion of people with disabilities.

Office of Labor-Management Standards (17.309)

The Office of Labor-Management Standards conducts criminal and civil investigations to safeguard the financial integrity of unions and to ensure union democracy, and conducts investigate audits of labor unions to uncover and remedy criminal and civil violations of the Labor-Management Reporting and Disclosure Act and related studies.

Office of Workers' Compensation Programs (17.302, 17.307, 17.310)

The Office of Workers' Compensation Programs administers four major disability compensation programs which provide wage replacement benefits,

medical treatment, vocational rehabilitation and other benefits to certain workers or their dependents who experience work-related injury or occupational disease.

DEPARTMENT OF STATE (19.001-19.999)

Advance freedom for the benefit of the American people and the international community by helping to build and sustain a more democratic, secure, and prosperous world composed of well-governed states that respond to the needs of their people, reduce widespread poverty, and act responsibly within the international system

Bureau of Conflict and Stabilization Operations (19.121)

The Bureau of Conflict and Stabilization Operations (CSO) strengthens U.S. national security by breaking cycles of violent conflict and mitigating crisis in priority countries. Guided by local dynamics, CSO acts quickly to devise sustainable solutions to address the full spectrum of conflict, from prevention to crisis response to stabilization.

Bureau of Educational and Cultural Affairs (19.009-19.012, 19.014-19.015, 19.022, 19.400-19.402, 19.408, 19.415, 19.421, 19.432, 19.450)

Conducts a wide variety of communication activities, from academic and cultural exchanges to press, radio, television, film, seminar, library, and cultural center programs, abroad in order to strengthen foreign understanding of American society, obtain greater support of U.S. policies, and increase understanding between the United States and other countries.

Bureau of International Security and Nonproliferation (19.224)

No Description Provided

Bureau of Population, Refugees, and Migration (19.018)

The Bureau of Population, Refugees, and Migration directs the Departments population, refugee, and migration policy development. It administers U.S. contributions to international organizations for humanitarian assistance- and protection-related programs on behalf of refugees, conflict victims, and internally displaced persons and provides U.S. contributions to nongovernmental organizations which provide assistance and protection to refugees abroad. The Bureau oversees the annual admissions of refugees to the United States for permanent resettlement, working closely with the Department of Homeland Security, the Department of Health and Human Services, and various State and private voluntary agencies. It coordinates U.S. international population policy and promotes its goals through bilateral and multilateral cooperation. It works closely with the U.S. Agency for International Development, which administers U.S. international population programs. The Bureau also coordinates the Departments international migration policy through bilateral and multilateral diplomacy. The Bureau oversees efforts to encourage greater participation in humanitarian assistance and refugee resettlement on the part of foreign governments and uses humanitarian diplomacy to increase access and assistance to those in need in the absence of political solutions.

Political Military Affairs/ Weapons Removal and Abatement (19.800)

No Description Provided

Secretary Office Representative to Muslim Communities

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(19.032)

No Description Provided

Under Secretary for Public Diplomacy and Public Affairs (19.040)

No Description Provided

DEPARTMENT OF TRANSPORTATION (20.001-20.999)

Under the authority of the motor carrier safety provisions of Title 49 of the United States Code, the agency exercises Federal regulatory jurisdiction over the safety performance of all commercial motor carriers engaged in interstate commerce. It deals with more than 500,000 carriers, approximately 13,000 passenger carriers and 42,000 hazardous material carriers. The primary mission of the Agency is to improve the safety of commercial vehicle operations on our nation's highways. To accomplish this mission the FMCSA focuses its efforts on reducing the number and severity of large truck-involved crashes through safety regulation and research, safety in commercial operations through training and enforcement, improvements to the commercial driver's license program, and enhancing highway and transportation systems infrastructure. To accomplish these activities, the FMCSA works closely in partnership with other Federal and State agencies, private organizations and individuals. The FMCSA works with various governmental agencies, the commercial motor vehicle industry and other interested groups to promote truck and bus safety by addressing vehicle safety issues such as the mechanical condition of trucks and buses, infrastructure improvements including high profile grade crossings, and commercial operations such as commercial driver license and hours- of-service regulations.

Federal Aviation Administration (FAA) (20.106, 20.108-20.109)

Regulates air commerce in a manner that promotes its development and safety and fulfills the requirements of national defense; controls the use of navigable airspace of the United States and regulates both civil and military operations in such airspace in the interest of safety and efficiency; promotes, encourages, and develops civil aeronautics; consolidates research and development with respect to air navigation facilities; installs and operates air navigation facilities; develops and operates a common system of air traffic control and navigation for both civil and military aircraft; and develops and implements, programs and regulations to control aircraft noise, sonic boom, and other environmental effects of civil aviation.

Federal Highway Administration (FHWA) (20.200, 20.205, 20.215, 20.219, 20.223, 20.240)

Coordinates highways with other modes of transportation to achieve the most effective balance of transportation systems and facilities under cohesive Federal transportation policies pursuant to the Act. FHWA is concerned with the total operation and environment of highway systems, including highway safety. In administering its highway transportation programs, it gives full consideration to the impacts of highway development and travel; transportation needs; engineering and safety aspects; social, economic, and environmental effects; and project costs. It ensures balanced treatment of these factors by utilizing a systematic, interdisciplinary approach in providing for safe and efficient highway transportation.

Federal Motor Carrier Safety Administration (FMCSA) (20.218, 20.231-20.235, 20.237, 20.239)

Under the authority of the motor carrier safety provisions of Title 49 of the United States Code, the agency exercises Federal regulatory jurisdiction over the safety performance of all commercial motor carriers engaged in interstate

commerce. It deals with more than 500,000 carriers, approximately 13,000 passenger carriers and 42,000 hazardous material carriers. The primary mission of the Agency is to improve the safety of commercial vehicle operations on our nation's highways. To accomplish this mission the FMCSA focuses its efforts on reducing the number and severity of large truck-involved crashes through safety regulation and research, safety in commercial operations through training and enforcement, improvements to the commercial driver's license program, and enhancing highway and transportation systems infrastructure. To accomplish these activities the FMCSA works closely in partnership with other Federal and State agencies, private organizations and individuals. The FMCSA works with various governmental agencies, the commercial motor vehicle industry and other interested groups to promote truck and bus safety by addressing vehicle safety issues such as the mechanical condition of trucks and buses, infrastructure improvements including high profile grade crossings, and commercial operations such as commercial driver license and hours- of-service regulations.

Federal Railroad Administration (FRA) (20.301, 20.313, 20.315-20.317, 20.319-20.321, 20.323)

Promulgates and enforces rail safety regulations, administer railroad financial assistance programs, conduct research and development in support of improved railroad safety and national rail transportation policy, provide for the rehabilitation of Northeast Corridor rail passenger service, and consolidate government support of rail transportation activities. Railroad Safety: The Administration administers and enforces the Federal laws and related regulations designed to promote safety on railroads; exercises jurisdiction over all areas of rail safety under the Rail Safety Act of 1970, such as track maintenance, inspection standards, equipment standards, and operating practices. It also administers and enforces regulations resulting from railroad safety legislation for locomotives, signals, safety appliances, power brakes, hours of service, transportation of explosives and other dangerous articles, and reporting and investigation of railroad accidents. Railroad and related industry equipment, facilities, and records are inspected and required reports reviewed. Research and Development: A ground transportation research and development program is administered to advance all aspects of intercity ground transportation and railroad safety pertaining to the physical sciences and engineering, in order to improve railroad safety and ensure that railroads continue to be a viable national transportation resource. Transportation Test Center: This 50-square-mile facility, located near Pueblo, CO, provides testing for advanced and conventional systems and techniques designed to improve ground transportation. The facility has been managed and staffed for the Administration by the Association of American Railroads since October 1, 1982. The United States and Canadian Governments and private industry use this facility to explore, under controlled conditions, the operation of both conventional and advanced systems. It is used by the Urban Mass Transportation Administration for testing of urban rapid transit vehicles. Policy: Program management for new and revised policies, plans and projects related to railroad transportation economics, finance, system planning, and operations is provided; appropriate studies and analyses are performed; relevant tests, demonstrations, and evaluations are conducted; and labor/management programs are evaluated. Analyses of issues before regulatory agencies are carried out and recommendations are made to the Secretary as to the positions to be taken by DOT. Passenger and Freight Services: The Administration administers a program of Federal assistance for national, regional, and local rail services. Programs include rail freight service assistance programs; rail service continuation programs and State rail planning; and rail passenger service on a national, regional, and local basis. The agency also administers programs to develop, implement, and administer rail system policies, plans and programs for the Northeast Corridor in support of applicable provisions of the Railroad Revitalization and Regulatory Reform Act of 1976 (45 U.S.C. 501), and related legislation.

Federal Transit Administration (FTA) (20.500, 20.505, 20.507,

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20.509, 20.513-20.516, 20.518-20.522, 20.524-20.528)

Assists in the development of improved mass transportation facilities, equipment, techniques, and methods, with the cooperation of mass transportation companies both public and private; encourages the planning and establishment of areawide urban mass transportation systems needed for economical and desirable urban development, with the cooperation of mass transportation companies both public and private; and provides assistance to State and local governments and their instrumentalities in financing such systems, to be operated by public or private mass transportation companies as determined by local needs.

Maritime Administration (MARAD) (20.802-20.803, 20.806-20.808, 20.812-20.814, 20.817-20.819)

Administers programs to aid in the development, promotion, and operation of the U.S. Merchant Marine; organizes and directs emergency merchant ship operations; administers subsidy programs through the Maritime Subsidy Board, under which the Federal government, subject to statutory limitations, pays the difference between certain costs of operating ships under the U.S. flag and foreign competitive flags on essential services, and the difference between the costs of constructing ships in U.S. and foreign shipyards; provides financing guarantees for the construction, reconstruction, and reconditioning of ships; and enters into capital construction fund agreements which grant tax deferrals on moneys to be used for the acquisition, construction, or reconstruction of ships; constructs or supervises the construction of merchant-type ships for the Federal government; it helps industry generate increased business for U.S. ships and conducts programs to develop ports, facilities, and internodal transport, and to promote domestic shipping. Administers a War Risk Insurance program insuring operators and seamen against losses caused by hostile action if domestic commercial insurance is not available; Under emergency conditions, charters Government-owned ships to U.S. operators, requisitions or procures ships owned by U.S. citizens, and allocates them to meet defense needs. It maintains a National Defense Reserve Fleet of Government-owned ships that it operates through general agents when required in national defense interests. An element of this activity is the Ready Reserve Force consisting of a number of ships available for quick-response activation; regulates sales to aliens and transfers to foreign registry of ships that are fully or partially owned by U.S. citizens; also disposes of Government-owned ships found nonessential for national defense; operates the U.S. Merchant Marine Academy, Kings Point, NY, where young people are trained to become merchant marine officers, and conducts training in shipboard firefighting at Earle, NJ, and Toledo, OH. It also administers a Federal assistance program for the maritime academies operated by California, Maine, Massachusetts, Michigan, New York, and Texas.

National Highway Traffic Safety Administration (NHTSA) (20.600-20.602, 20.607-20.614, 20.616)

Carries out programs relating to the safety performance of motor vehicles and related equipment, motor vehicle drivers and pedestrians and a uniform nationwide speed limit under the National Traffic and Motor Vehicle Safety Act of 1966 (80 Stat. 718), as amended. Under the authority of the Motor Vehicle Information and Cost Saving Act (86 Stat. 947), as amended, the Administration carries out programs and studies aimed at reducing economic losses in motor vehicle crashes and repairs, through general motor vehicle programs; administers the Federal odometer law; and promulgates average fuel economy standards for passenger and nonpassenger motor vehicles. Under the authority of the Clean Air amendments of 1970 (84 Stat. 1700), the Administration certifies as to the consistency of Environmental Protection Agency State grants with any highway safety program developed pursuant to section 402 of Title 23 of the United States Code. The National Highway Traffic Safety Administration was established to carry out a congressional mandate to reduce the mounting number of deaths, injuries, and economic losses resulting from traffic accidents on the Nation's highways and to provide motor vehicle damage susceptibility and ease of repair information, motor vehicle inspection demonstrations, and protection of

purchasers of motor vehicles having altered odometers, and to provide average standards for greater vehicle mileage per gallon of fuel for vehicle under 10,000 pounds (gross vehicle weight).

Office of the Secretary (OST) Administration Secretariate (20.901, 20.904-20.905, 20.910, 20.930, 20.932-20.933)

Develops and evaluates public policy related to the transportation industries and their economic regulation; assures that the Department's regulatory programs remain consistent with established policy and maintains oversight of all departmental safety regulatory actions; proposes and coordinates on transportation-related legislation involving the private sector; provides analyses of current and emerging transportation policy issues to assess their economic and institutional implication, particularly with regard to Federal assistance, public trust funds, user charges, nondiscrimination of the handicapped in the provision of public transportation services, and energy and environmental aspects; undertakes studies and analyses to aid in the resolution of safety problems; develops policies to support the Department in aviation and maritime multilateral and bilateral negotiations with foreign governments and participates on the U.S. negotiating delegations; develops policies on a wide range of international transportation and trade matters; furnishes guidance to the United States Trade Representative's Trade Policy Committee in efforts to improve the U.S. balance of payments; coordinates efforts to combat transport-related terrorist acts and drug smuggling; arranges and coordinates cooperative agreements with foreign governments for the exchange of state-of-the-art scientific and technical information; provides assistance to the Agency for International Development's transportation programs in developing countries; and participates on the U.S.-Saudi Arabian Joint Commission for Economic Cooperation. The Assistant Secretary also: develops, coordinates, and carries out U.S. Government policy relating to the economic regulation of the airline industry, including licensing of U.S. and foreign carriers to serve in international air transportation and carrier fitness determinations; processes and resolves complaints concerning unfair competitive practices in international fares, rates, and tariff filings; establishes international and intra-Alaska mail rates; and determines the disposition of requests for approval and immunization from the antitrust laws of international aviation agreements. The Assistant Secretary also administers the essential air service program, which involves: establishing appropriate subsidy levels for subsidized carriers; processing applications to terminate, suspend, or reduce air service below the defined essential level; determining which carrier among various applicants should be selected to provide subsidized service; and continuously reviewing essential air service definitions for each community.

Pipeline and Hazardous Materials Safety Administration (20.700, 20.703, 20.710, 20.720-20.721, 20.723-20.724)

Responsible for a number of programs involving safety regulation, emergency preparedness, and research and development. Emphasis is given to hazardous material transportation and pipeline safety, transportation emergency preparedness, and safety training.

Research and Innovative Technology Administration (20.701, 20.761-20.762, 20.764, 20.931)

Research and Innovative Technology Administration (RITA) coordinates the U.S. Department of Transportation's research and education programs, and is working to bring advanced technologies into the transportation system. RITA also offers vital transportation statistics and analysis, and supports national efforts to improve education and training in transportation-related fields. RITA works to ensure that the nation's transportation research investments produce results for the American people.

DEPARTMENT OF THE TREASURY (21.001-21.999)

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The Department of the Treasury's mission highlights its role as the steward of U.S. economic and financial systems, and as an influential participant in the global economy. The Treasury Department is the executive agency responsible for promoting economic prosperity and ensuring the financial security of the United States. The Department is responsible for a wide range of activities such as advising the President on economic and financial issues, encouraging sustainable economic growth, and fostering improved governance in financial institutions. The Department of the Treasury operates and maintains systems that are critical to the nation's financial infrastructure, such as the production of coin and currency, the disbursement of payments to the American public, revenue collection, and the borrowing of funds necessary to run the federal government. The Department works with other federal agencies, foreign governments, and international financial institutions to encourage global economic growth, raise standards of living, and to the extent possible, predict and prevent economic and financial crises. The Treasury Department also performs a critical and far-reaching role in enhancing national security by implementing economic sanctions against foreign threats to the U.S., identifying and targeting the financial support networks of national security threats, and improving the safeguards of our financial systems.

Community Development Financial Institutions Fund (21.010-21.012, 21.014, 21.020-21.021)

Through monetary awards and the allocation of tax credits, the CDFI Fund helps promote access to capital and local economic growth in urban and rural low-income communities across the nation. Through its various programs, the CDFI Fund enables locally based organizations to further goals such as: economic development (job creation, business development, and commercial real estate development); affordable housing (housing development and homeownership); and community development financial services (provision of basic banking services to underserved communities and financial literacy training).

Department of the Treasury (21.015)

No Description Provided

Internal Revenue Service (IRS) (21.004, 21.006, 21.008)

Administers and enforces the Internal Revenue laws and related statutes, except those relating to alcohol, tobacco, firearms, and explosives. The IRS mission is to encourage and achieve the highest possible degree of voluntary compliance with the tax laws and regulations and to conduct itself so as to warrant the highest degree of public confidence in the integrity and efficiency of the Service. Accomplishment of this mission involves advising the public of its rights and responsibilities; communicating requirements of the law to the public; assisting taxpayers in complying with the laws and regulations, and taking those enforcement actions necessary for fair, effective, and impartial tax administration. Basic IRS activities include ensuring satisfactory resolution of taxpayer complaints, providing taxpayer service and education; determination, assessment, and collection of internal revenue taxes; determination of pension plan qualifications and exempt organization status; and preparation and issuance of rulings and regulations to supplement the provisions of the Internal Revenue Code.

APPALACHIAN REGIONAL COMMISSION (23.001-23.999)

The Appalachian Regional Commission is a Federal-State governmental agency concerned with the economic, physical, and social development of the 13-State Appalachian region, which includes parts of Alabama, Georgia, Kentucky,

Maryland, Mississippi, New York, North Carolina, Ohio, Pennsylvania, South Carolina, Tennessee, Virginia, and all of West Virginia. The comprehensive goals of the Commission are to provide the people of Appalachia with the health and skills they need to compete for opportunities and to develop a self-sustaining economy and environment capable of supporting a population with rising incomes and standards of living and increasing employment opportunities. To accomplish this task, the Commission has concentrated on areas of development in which there remain great needs throughout the region: community development and housing, education, the environment, health and child development, industrial development and management, tourism, and transportation.

OFFICE OF PERSONNEL MANAGEMENT (27.001-27.999)

Administers a merit system for Federal employment, which includes recruiting, examining, training, and promoting people on the basis of their knowledge and skills, regardless of their race, religion, sex, political influence, or other nonmerit factors. OPM's role is to ensure that the Federal government provides an array of personnel services to applicants and employees. Through a range of programs designed to develop and encourage the effectiveness of the Government employee, OPM supports Government program managers in their personnel management responsibilities and provides benefits to employees and to retired employees and their survivors.

U.S. COMMISSION ON CIVIL RIGHTS (29.001-29.999)

Collects and studies information on discrimination or denials of equal protection of the laws because of race, color, religion, sex, age, disability or, national origin, or in the administration of justice in such areas as voting rights, enforcement of Federal civil rights laws, and equality of opportunity in education, employment, and housing.

EQUAL EMPLOYMENT OPPORTUNITY COMMISSION (30.001-30.999)

Eliminates discrimination based on race, color, religion, sex, national origin, age or disability in hiring, promotion, firing, wages, testing, training, apprenticeship, and all other conditions of employment. The Commission also promotes voluntary action programs by employers, unions, and community organizations to make equal employment opportunity an actuality. EEOC also has oversight responsibility for all compliance and enforcement activities relating to equal employment opportunity among Federal employees and applicants, including discrimination against individuals with disabilities.

EXPORT - IMPORT BANK OF THE UNITED STATES (31.1-31.999)

The Export-Import Bank of the United States (Ex-Im Bank) is the official export credit agency of the United States. Ex-Im Bank's mission is to assist in financing the export of U.S. goods and services to international markets. Ex-Im Bank enables U.S. companies large and small to turn export opportunities into real sales that help to maintain and create U.S. jobs and contribute to a stronger national economy. Ex-Im Bank does not compete with private sector lenders but provides export financing products that fill gaps in trade financing. We assume credit and country risks that the private sector is unable or unwilling to accept. We also help to level the playing field for U.S. exporters by matching the financing that other governments provide to their exporters. Ex-Im Bank provides working capital guarantees (pre-export financing); export credit insurance; and loan guarantees and direct loans (buyer financing). No transaction is too large or too small. On average, 85% of our transactions directly benefit

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U.S. small businesses. With more than 70 years of experience, Ex-Im Bank has supported more than \$400 billion of U.S. exports, primarily to developing markets worldwide.

FEDERAL COMMUNICATIONS COMMISSION (32.001-32.999)

Regulates interstate and foreign communications by radio, television, wire, satellite, and cable. It is responsible for the orderly development and operation of broadcast services and the provision of rapid, efficient nationwide and worldwide telephone and telegraph services at reasonable rates. Its responsibilities also include the use of communications for promoting safety of life and property and for strengthening the national defense.

FEDERAL MARITIME COMMISSION (33.001-33.999)

Regulates the waterborne foreign and domestic offshore commerce of the United States, assures that United States international trade is open to all nations on fair and equitable terms, and protects against unauthorized, concerted activity in the waterborne commerce of the United States. This is accomplished through maintaining surveillance over steamship conferences and common carriers by water; assuring that only the rates on file with the Commission are charged; conducting analysis and appropriate disposition of agreements between persons subject to the Shipping Act of 1984 and the Shipping Act, 1916; guaranteeing equal treatment to shippers, carriers, and other persons subject to the shipping statutes; and ensuring that adequate levels of financial responsibility are maintained for indemnification of passengers.

FEDERAL MEDIATION AND CONCILIATION SERVICE (34.001-34.999)

Assists labor and management in resolving disputes bargaining contract negotiation through voluntary mediation and arbitration services; provides training to unions and management in cooperative processes to improve long-term relationship under the Labor-Management Cooperation Act of 1978, including Federal sector partnership training authorized by Executive Order 12871; provides alternative dispute resolution services and training to government agencies, including the facilitation of regulatory negotiations under Administrative Dispute Resolution and Negotiated Rulemaking Acts of 1990; awards competitive grants to joint labor-management committees to encourage innovative approaches to cooperative efforts.

FEDERAL TRADE COMMISSION (36.001-36.999)

Maintains competitive enterprise as the keystone of the American economic system. Although the duties of the Commission are many and varied, the foundation of public policy underlying all these duties is essentially the same: to prevent the free enterprise system from being fettered by monopoly or restraints on trade or corrupted by unfair or deceptive trade practices. In brief, the Commission is charged with keeping competition both free and fair.

GENERAL SERVICES ADMINISTRATION (39.001-39.999)

Establishes policy and provides for the Government an economical and efficient system for the management of its property including construction and operation of buildings, procurement and distribution of supplies, utilization and disposal of property, transportation, and travel management, and management of Government-wide information technology solutions and network services. GSA is organized much like a large corporation doing business in a number of

different fields. It consists of operating services and supporting staff offices, with functions carried out at three levels of organization: The Central office, regional offices, and field activities. (See Appendix IV of the Catalog for a listing of these offices.) Various publications and catalogs published by GSA include: 1) The Catalog of Federal Domestic Assistance published jointly by GSA and the Office of Management and Budget; and 2) the Consumer Information Catalog which lists selected Federal publications of interest to consumers. GSA also administers the Federal Information Centers (FCIC) which are focal points for Information about the Federal government's services, programs, and regulations.

GOVERNMENT PRINTING OFFICE (40.001-40.999)

Executes orders for printing and binding placed by Congress and the departments and establishments of the Federal government. It furnishes blank paper, inks, and similar supplies to all governmental activities on order. It prepares catalogs and distributes and sells Government publications. GPO invites bids from commercial suppliers on a wide variety of printing and binding services, awards and administers contracts, and maintains liaison between ordering agencies and contractors. GPO sells through mail orders and Government bookstores approximately 10,000 publications that originate in various Government agencies, and administers the depository library program through which selected Government publications are made available in libraries throughout the country.

LIBRARY OF CONGRESS (42.001-42.999)

Under the organic law, the Library's first responsibility is service to Congress. One department, the Congressional Research Service, functions exclusively for the legislative branch of the Government. As the Library has developed, its range of service has come to include the entire governmental establishment in all its branches and the public at large, so that it has become a national library for the United States. The Library's extensive collections are universal in scope. They include books and pamphlets on every subject and in a multitude of languages. Among them are the most comprehensive collections of Chinese, Japanese, and Russian language books outside Asia and the Soviet Union; volumes relating to science and legal materials, outstanding for American and foreign law; the world's largest collection of published aeronautical literature; and the most extensive collection in the western hemisphere of books printed before 1501 A.D. The manuscript collections relate to manifold aspects of American history and civilization and include the personal papers of most of the Presidents from George Washington through Calvin Coolidge. The music collections contain volumes and pieces (manuscript and published) from classic works to the newest popular compositions. Other materials available for research include maps and views; photographic records from the daguerreotype to the latest news photo; recordings, including folksongs and other music, speeches, and poetry readings; prints, drawings, and posters; government documents, newspapers, and periodicals from all over the world; and motion pictures, microforms, and audio and video tapes. Admission to the various research facilities of the Library is free. No introduction or credentials are required for persons over high school age who wish to read in the general reading rooms; however, certain collections, like those of the Manuscript, Rare Book and Special Collections, Motion Picture, Broadcasting, and Recorded Sound Divisions, can be used only by those with a serious purpose for doing so. The Library is also responsible for copyrights, which are registered by the Copyright Office. All copyrightable works, whether published or unpublished, are subject to a system of statutory protection that gives the copyright owner certain exclusive rights, including the right to reproduce the copyrighted work and distribute it to the public by sale, rental, lease, or lending. Works of authorship include books, periodicals, and other literary works, musical compositions, song lyrics, dramas and dramatic musical compositions, pictorial, graphic, and sculptural works pantomimes and choreographic works, motion pictures and other audiovisual works, and sound recordings. The Library extends its service

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through an interlibrary loan system; the photoduplication, at reasonable cost, subject to conditions of law, copyright, and deposit of books, manuscripts, maps, and newspapers, and prints in its collections; the sale of sound recordings, which are released by its Recording Laboratory; the exchange of duplicates with other institutions; the sale of printed catalog cards and magnetic tapes, and the publication in book format or microform of cumulative catalogs, which make available the results of the expert bibliographical and cataloging work of its technical personnel; a centralized acquisitions program whereby the Library of Congress acquires material published all over the world, catalogs it promptly, and distributes cataloging information in machine readable form as well as other means to the Nation's libraries; a cooperative catalog program, whereby the cataloging of data by name authority and bibliographic records, prepared by other libraries becomes part of the Library of Congress data base and distribute them through the MARC Distribution Service; a cataloging-in-publication program in cooperation with American publishers for printing and cataloging information in current books; the National Serials Data Program, a national center that maintains a record of serial titles to which International Standard Serial Numbers have been assigned and serves, with this file, as the United States Register; and the development of general schemes of classification (Library of Congress and Dewey Decimal), subject headings, and cataloging, embracing the entire field of printed matter. The American Folklife Center in the Library of Congress, has a coordinative function both in and outside the Federal establishment to carry out appropriate programs to support, preserve, and present American folklife through such activities as the collection and maintenance of archives, scholarly research, field projects, performances, exhibitions, festivals, workshops, publications, and audiovisual presentations. The Center for the Book was established in the Library of Congress to provide a program for investigating the transmission of human knowledge and to heighten public interest in the role of books and printing in the diffusion of knowledge. Drawing on the resources of the Library of Congress, the Center works closely with other organizations to explore important issues in the book and educational communities, to encourage reading, and to encourage research about books and about reading. Its goal is to serve as a useful catalyst by bringing together authors, publishers, librarians, booksellers, educators, scholars, and readers to discuss common concerns and work toward the solution of common problems. The Library provides technical information related to the preservation and restoration of library and archival material. A series of leaflets on various preservation and conservation topics has been prepared by the Preservation Office. Information and publications are available from the National Preservation Program Office, Library of Congress. A free national library program of braille and recorded materials for blind and physically handicapped persons is administered by the National Library Service for the Blind and Physically Handicapped (NLS), Library of Congress. With the cooperation of authors and publishers who grant permission to use copyrighted works, NLS selects and produces full-length books and magazines in braille on recorded disc and cassette. Reading material are distributed to a cooperating network of regional and subregional (local) libraries where they are circulated to eligible borrowers. Reading material and playback machines are sent to borrowers and returned to libraries by postage-free mail. Established by an act of Congress in 1931 to serve blind adults, the program was expanded in 1952 to include children, in 1962 to provide music materials, and again in 1966 to include individuals with other physical impairments that prevent the reading of standard print. Information and publications are available from the National Library Service for the Blind and Physically Handicapped, Library of Congress, Washington, DC 20542.

NATIONAL AERONAUTICS AND SPACE ADMINISTRATION (43.001-43.999)

Conducts research to solve problems of flight within and outside Earth atmosphere. Develops, constructs, tests, and operates aeronautical and space vehicles. Conducts activities required for the exploration of space with manned and unmanned vehicles; arranges for the most effective utilization of the

scientific and engineering resources of the United States with other nations engaged in aeronautical and space activities for peaceful purposes.

NATIONAL CREDIT UNION ADMINISTRATION (44.001-44.999)

The National Credit Union Administration Board is responsible for chartering, insuring, supervising, and examining Federal credit unions and administering the National Credit Union Share Insurance Fund. The Board also manages the Central Liquidity Facility, a mixed-ownership Government corporation whose purpose is to supply emergency loans to member credit unions.

FEDERAL COUNCIL ON THE ARTS AND THE HUMANITIES (45.200-45.299)

Coordinates the activities of the two Endowments and related programs of other Federal agencies.

INSTITUTE OF MUSEUM AND LIBRARY SERVICES (45.300-45.399)

IMLS Vision

A democratic society where communities and individuals thrive with broad public access to knowledge, cultural heritage, and lifelong learning.

IMLS Mission

The mission of IMLS is to inspire libraries and museums to advance innovation, lifelong learning, and cultural and civic engagement. We provide leadership through research, policy development, and grant making.

IMLS Strategic Goals

1. IMLS places the learner at the center and supports engaging experiences in libraries and museums that prepare people to be full participants in their local communities and our global society.
2. IMLS promotes museums and libraries as strong community anchors that enhance civic engagement, cultural opportunities, and economic vitality.
3. IMLS supports exemplary stewardship of museum and library collections and promotes the use of technology to facilitate discovery of knowledge and cultural heritage.
4. IMLS advises the President and Congress on plans, policies, and activities to sustain and increase public access to information and ideas.
5. IMLS achieves excellence in public management and performs as a model organization through strategic alignment of IMLS resources and prioritization of programmatic activities, maximizing value for the American public.

Fast Facts

There are 17,500 museums and 123,000 libraries in the U.S.

IMLS supports the full range of libraries, including public, academic, research, special and tribal, and the full range of museums including art, history, science and technology, childrens museums, historical societies, tribal museums, planetariums, botanic gardens and zoos.

169 million people in the U.S. over the age of 14 (69% of the population) are library users.

148 million people in the U.S over the age of 18 visit a museum annually.

NATIONAL ENDOWMENT FOR THE ARTS (45.1-45.99)

The National Endowment for the Arts is a public agency dedicated to supporting excellence in the arts, both new and established bringing the arts to all Americans, and providing leadership in arts education. The National Endowment for the Arts is the largest annual funder of the arts in the United States. An

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independent federal agency, the National Endowment for the Arts is the official arts organization of the United States government. The National Endowment for the Arts awards more than \$100 million annually investing in every state which in turn generates more than \$700 million in additional support. The Arts Endowment has played a transformative and sustaining role in the development of regional theater, opera, dance, orchestras, museums, and other arts both contemporary and traditional that Americans now enjoy.

NATIONAL ENDOWMENT FOR THE HUMANITIES (45.100-45.199)

Promotes and supports the production and dissemination of knowledge in the humanities. The term "humanities" includes, but is not limited to, the study of the following: language, both modern and classical; linguistics; literature; history; jurisprudence; philosophy; archaeology; comparative religion; ethics; the history, criticism, and theory of the arts; and those aspects of the social sciences that employ historical or philosophical approaches. The Endowment makes grants to individuals, groups, or institutions (schools, colleges, universities, museums, public television stations, libraries, public agencies, and nonprofit private groups) to increase understanding and appreciation of the humanities.

PEACE CORPS (45.400-45.499)

The mission of the Peace Corps is to promote world peace and friendship by placing Peace Corps Volunteers (PCVs) in over 70 countries to address and assist with community-based needs in the areas of education, community development, health, business, information technology, agriculture and the environment. From AIDS education to emerging technologies to environmental preservation to new market economies, Peace Corps Volunteers have helped people build better lives for themselves. Their work in villages, towns, and cities around the globe represents a legacy of service that has become a significant part of Americas history and positive image abroad.

The Peace Corps' mission has three simple goals:

1. Helping the people of interested countries in meeting their need for trained men and women.
2. Helping promote a better understanding of Americans on the part of the peoples served.
3. Helping promote a better understanding of other peoples on the part of Americans.

NATIONAL LABOR RELATIONS BOARD (46.001-46.999)

Administers the Nation's principal law, the National Labor Relations Act. The NLRB is vested with the power to prevent or remedy unfair labor practices and to safeguard employees' rights to organize and determine through elections whether to have unions as their bargaining representatives.

NATIONAL SCIENCE FOUNDATION (47.001-47.999)

Promotes the progress of science and engineering through the support of research and education programs. Its major emphasis is on high quality, science-driven basic research, the search for improved understanding of the fundamental laws of nature upon which our future well-being as a Nation depends. The National Science Foundation also supports applied research in several areas. Its educational programs are aimed at ensuring increasing

understanding of science and engineering at all educational levels and at training an adequate supply of scientists and engineers to meet our country's needs.

RAILROAD RETIREMENT BOARD (57.001-57.999)

Administers comprehensive retirement-survivor and unemployment-sickness benefit programs for the Nation's railroad workers and their families, under the Railroad Retirement and Railroad Unemployment Insurance Acts. The Board participates in the administration of the Social Security Act and the Health Insurance for the Aged Act insofar as they affect railroad retirement beneficiaries.

SECURITIES AND EXCHANGE COMMISSION (58.001-58.999)

Administers Federal securities laws that seek to provide for investors; to ensure that securities markets are fair and honest; and, when necessary, to provide the means to enforce securities laws through sanctions.

SMALL BUSINESS ADMINISTRATION (59.001-59.999)

Aids, counsels, assists, and protects the interests of small business; ensures that small business concerns receive a fair proportion of Government purchases, contracts, and subcontracts, as well as of the sales of Government property; makes loans to small business concerns, State and local development companies, and the victims of floods or other catastrophes, or certain types of economic injury; and licenses, regulates, and makes loans to small business investment companies.

DEPARTMENT OF VETERANS AFFAIRS (64.001-64.999)

Administers the National Cemetery Administration, which provides cemeterial services to veterans and other eligibles as prescribed by the Secretary of Veterans Affairs pursuant to the provisions of the National Cemeteries Act of 1973 and other statutory authorities and regulations. These services also include providing headstones and markers for the graves of eligibles in national and State veterans cemeteries and for veterans interred in private cemeteries. Monetary aid to States for establishment, expansion, and improvement of veterans' cemeteries is also available.

VA Health Administration Center (64.035)

Provides hospital, nursing home and domiciliary care, and outpatient medical and dental care to eligible veterans of military service in the Armed Forces. Dependents of certain veterans are provided medical care supplied by non-VA institutions and physicians. The Department conducts both individual medical and health-care delivery research projects and multi-hospital research programs. It assists in the education of physicians and dentists and with training of many other health care professionals through affiliations with educational institutions and organizations.

ENVIRONMENTAL PROTECTION AGENCY (66.001-66.999)

The mission of EPA is to protect human health and the environment. EPA's purpose is to ensure that: all Americans are protected from significant risks to human health and the environment where they live, learn and work; national efforts to reduce environmental risk are based on the best available scientific information; federal laws protecting human health and the environment are

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enforced fairly and effectively; environmental protection is an integral consideration in U.S. policies concerning natural resources, human health, economic growth, energy, transportation, agriculture, industry, and international trade, and these factors are similarly considered in establishing environmental policy; all parts of society -- communities, individuals, businesses, and state, local and tribal governments -- have access to accurate information sufficient to effectively participate in managing human health and environmental risks; environmental protection contributes to making our communities and ecosystems diverse, sustainable and economically productive; and the United States plays a leadership role in working with other nations to protect the global environment.

Office of Administration and Resources Management (66.508, 66.518)

Provides for support grants as an alternative grant delivery mechanism to allow a State or local agency responsible for continuing pollution control programs to develop an integrated approach to pollution control.

Office of Air and Radiation (66.001, 66.032-66.034, 66.037-66.040, 66.042-66.043)

Develops national programs, technical policies, and regulations for air pollution control; establishes national standards for ambient air quality, and emission standards for stationary sources: mobile sources and fuels; monitors acid deposition; environmental radiation, and other pollutants; provides technical, training, and financial support to states, tribes, and local governments. Also, develops national partnership programs and policies to address climate change and indoor pollution, and demonstrates new low emission vehicle technology.

Office of Chemical Safety and Pollution Prevention (66.707-66.708, 66.714, 66.716-66.717)

The Office of Chemical Safety and Pollution Prevention is the new agency name for the Office of Prevention, Pesticides, and Toxic Substances. The Office of the Assistant Administrator for Pesticides and Toxic Substances has responsibility for Agency strategies for implementation and integration of the pollution prevention, pesticides and toxic substances programs and developing and operating Agency programs and policies for assessment and control of pesticides and toxic substances as well as recommending policies and developing operating programs for implementing the Pollution Prevention Act. It is responsible for developing recommendations for Agency priorities for research, monitoring regulatory and information gathering activities relating to implementing the Pollution Prevention Act, pesticides and toxic substances; and monitoring and assessing pollution prevention, pesticides and toxic substances program operations in EPA Headquarters and Regional Offices.

Office of Enforcement and Compliance Assurance (66.305, 66.309-66.310, 66.313, 66.604, 66.700-66.701)

Develops national strategies for the control of toxic substances; directing the pesticides and toxic substances enforcement activities; developing criteria for assessing chemical substances, standards for test protocols for chemicals, rules and procedures for industry reporting and regulations for the control of substances deemed to be hazardous to man or the environment; and evaluating and assessing the impact of existing chemicals, new chemicals, and chemicals with new uses to determine the hazard and, if needed, develop appropriate restrictions. Additional activities include control and regulation of pesticides and reduction in their use to ensure human safety and protection of environmental quality; establishment of tolerance levels for pesticides that occur in or on food; monitoring of pesticide residue levels in food, humans, and nontarget fish and wildlife and their environments; and investigation of pesticide accidents. It also coordinates activities under its statutory responsibilities with other agencies for assessment and control of toxic substances and pesticides.

Office of Environmental Information (66.608, 66.612)

The Office of Environmental Information (OEI) is headed by the EPA's Chief Information Officer and is responsible for managing the life cycle of information to support EPA's mission of protecting human health and the environment. OEI works to identify and implement innovative information technology and information management solutions that strengthen EPA's ability to achieve its mission. OEI does this by ensuring the quality of EPA's information, the efficiency and reliability of EPA's technology, data collection and exchange efforts, and access services.

Office of Environmental Justice (66.306)

Provides support for community-based projects, programs, and activities that seek to address local environmental justice and public health issues and enhance environmental justice/community-based environmental protection.

Office of International and Tribal Affairs (66.473, 66.926, 66.931)

EPA's Office of International and Tribal Affairs (OITA) plays a crucial role in advancing the United States' international environmental priorities. Working with the experts from EPA's other program and regional offices, other government agencies, and other nations and international organizations, OITA identifies international environmental issues and helps implement technical and policy options to address them. OITA is comprised of a multi-disciplinary staff who respect and reflect the diversity of the global community. OITA's mission is to protect human health and environment while advancing U.S. national interests through international environmental collaboration.

Office of Research and Development (ORD) (66.509-66.511, 66.513-66.514, 66.516-66.517)

The Office of Research and Development is responsible for a national research program in pursuit of technological controls of all forms of pollution. It directly supervises the research activities of EPA's national laboratories and gives technical policy direction to those laboratories that support the program responsibilities of EPA's regional offices. Close coordination of the various research programs is designed to yield a synthesis of knowledge from the biological, physical, and social sciences that can be interpreted in terms of total human and environmental needs. General functions include management of selected demonstration programs, planning for Agency environmental quality monitoring programs, coordination of Agency monitoring efforts with those of other Federal agencies, the States, and other public bodies, and dissemination of Agency research, development, and demonstration results.

Office of Solid Waste and Emergency Response (66.801-66.802, 66.804-66.806, 66.808-66.810, 66.812-66.819)

Provides policy, guidance, and direction for EPA's hazardous waste and emergency response programs. The functions of these programs include development of policies, standards, and regulations for hazardous waste treatment, storage, and disposal; national management of the Superfund toxic waste cleanup program; development of guidelines for the emergency preparedness and "Community Right To Know" programs; development of guidelines and standards for underground storage tanks; enforcement of applicable laws and regulations; analysis of technologies and methods for the recovery of useful energy from solid waste; and provision of technical assistance in the development, management, and operation of waste management activities.

Office of Water (66.035, 66.418-66.419, 66.424, 66.432-66.433, 66.436-66.437, 66.439-66.440, 66.454, 66.456, 66.458,

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66.460-66.463, 66.466-66.469, 66.471-66.472, 66.474-66.475, 66.478, 66.481-66.483)

Develops national programs, technical policies, and regulations for water pollution control and water supply; ground water protection; marine and estuarine protection; enforcement of standards; water quality standards and effluent guidelines development; technical direction, support, and evaluation of regional water activities; development of programs for technical assistance and technology transfer; and provision of training in the field of water quality.

Office of the Administrator (66.605, 66.609-66.611, 66.950-66.952)

Provides for support grants as an alternative grant delivery mechanism to allow a State or local agency responsible for continuing pollution control programs to develop an integrated approach to pollution control.

Office of the Chief Financial Officer (66.202-66.203)

The Immediate Office of the Chief Financial Officer performs the following functions: Develops, manages, and supports a goal-based management system for the Agency that involves strategic planning and accountability for environmental, fiscal, and managerial results and Manages the Agency-wide budget, resources management and financial management functions including program analysis and annual planning, budget formulation, preparation and execution; controls and systems for payroll and disbursements.

Region 1 (66.110)

No Description Provided

Region 4 (66.128)

No Description Provided

Region 6 (66.124-66.125)

EPA's Region 6 protects human health and the environment in New Mexico, Texas, Oklahoma, Arkansas, and Louisiana.

Region 9 (66.126, 66.600)

EPA provides financial assistance grants to qualified applicants to support a variety of environmental programs and activities. EPA Region 9 works within the context of EPA's national grants program to provide funding opportunities specific to EPA's Pacific Southwest Region.

Region 10 (66.121-66.123)

EPA's Region 10 office serves Alaska, Idaho, Oregon, Washington, and 271 Native Tribes. Our Regional Administrator is appointed by the President of the United States to lead our staff of about 650 employees and oversee an annual budget of about \$500 million. Our main office is located in Seattle, Washington.

NATIONAL GALLERY OF ART (68.001-68.999)

The National Gallery's collections embrace every major school of western European art from the 13th century to the present and of American art from colonial days to the present. A professor-in-residence position is filled annually by a distinguished scholar in the field of art history; graduate and postgraduate research is conducted under a fellowship program; programs for children and the general public are conducted daily; and Extension Programs produces and distributes education resources for loan throughout the world; audiovisual materials include films, slide teaching programs, videocassettes, videodiscs, and

CD-ROMS.

OVERSEAS PRIVATE INVESTMENT CORPORATION (70.001-70.999)

Offers United States investors assistance in finding investment opportunities, insurance, and loans and loan guaranties to help finance their projects in developing countries. It encourages investment projects that will help the social and economic development of these countries.

NUCLEAR REGULATORY COMMISSION (77.001-77.999)

Licenses and regulates the civilian uses of nuclear energy to protect the public health and safety and the environment. It does this by licensing persons and companies to build and operate nuclear reactors and other facilities and to own and use nuclear materials. The NRC makes rules and sets standards for these types of licenses. The NRC also carefully inspects the activities of the persons and companies licensed to ensure that they do not violate the safety rules of the Commission.

COMMODITY FUTURES TRADING COMMISSION (78.001-78.999)

Promotes healthy economic growth, protects the rights of customers, and ensures fairness and integrity in the marketplace through regulation of futures trading. To this end it also engages in the analysis of economic issues affected by or affecting futures trading.

DEPARTMENT OF ENERGY (81.001-81.999)

DOE is major government enterprise. If included among the Nation's Fortune 500 firms, it would rank in the top 50. Its \$16.5 billion appropriation comprises close to 3 percent of total Federal discretionary spending DOE Funds the largest environmental cleanup in history, and research and development that supports the Nation's defense and its energy and economic security. DOE employs over 11,000 Federal employees and about 108,000 contract employees. DOE owns and manages over 50 major installations located on 2.4 million acres in 35 States and is the fourth largest Federal landowner in the United States. DOE is an energy policy, supply, and technology enterprise. It invests in developing a secure, clean, and sustainable energy system. It helps the Nation meet its environmental challenges by administering the largest pollution prevention and efficiency program in the world, with partners from every sector of the economy. It enhances the Nation's energy security by increasing the diversity of energy, and fuel choices and sources; bringing renewable energy sources into the market, strengthening domestic production of oil and gas, maintaining the U.S. nuclear energy option, and increasing the efficiency with which we use energy and generate electricity. The Department also maintains the Strategic Petroleum Reserve and operates five Power Marketing Administrations that sell and distribute over \$3 billion of electric power generated at Federal hydroelectric plants. DOE is a national security enterprise. It is a key player in the Administration's furtherance of the Comprehensive Test Ban Treaty and its overall goal of reducing the global danger from nuclear weapons. It ensures the safety and reliability of the U.S. nuclear weapons stockpile without underground testing. At the same time, it manages and safely dismantles excess nuclear materials, and ensures the security of vital departmental nuclear assets. It provides policy and technical assistance to curb global proliferation of weapons of mass destruction, emphasizing U.S. nonproliferation, arms control, and nuclear safety objectives in the states of the former Soviet union and worldwide. Further, it develops and ensures the safety and reliability of nuclear reactor plants to power U.S. Navy warships. DOE is an environmental remediation

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enterprise. It cleans up the 50-year environmental legacy left at the industrial complexes where nuclear weapons were designed and manufactured. It manages the problems associated with the large quantities of various types of radioactive wastes, surplus nuclear materials, and spent nuclear fuels that remain at the sites of the Nation's nuclear weapons facilities and at nuclear energy research and development sites. In addition, it must address the growing inventory of spent nuclear fuel from commercial nuclear reactors that is awaiting disposal. These wastes must be dealt with responsibly to ensure the safety and health of the public. DOE is a science and technology enterprise. At the center of all we do are our 27 laboratories, our additional scientific user facilities, and our researchers at the Nation's universities. These form the backbone of U.S. scientific leadership by conducting and facilitating breakthrough research in energy sciences and technology, high energy physics, global climate change, genomics, superconducting materials, accelerator technologies, environmental sciences, and super-computing in support of DOE's mission. The laboratories, described as the crown jewels of the Nation's science establishment, and the Department's funding of research at universities have resulted in 66 Nobel prize winners, including three in 1996. The Department is also an investor in the Nation's most precious resource - its youth - by supporting science and mathematics education in our schools through grants, educational programs, and fellowships. DOE is global enterprise. The outcome of our work is the technology that stimulates the private market for the expansion of clean energy to meet national and global energy requirements of almost 500 quadrillion Btu's by the year 2010 - a staggering 36 percent increase over 1995. Overseas energy market needs include coal, nuclear power, oil and gas exploration, energy efficiency, and renewable energy technologies that are available for export now or that will soon be available for the international marketplace. DOE supports the export of U.S. energy services and technologies by assisting the nations in Asia, South America, Eastern Europe, an Africa, and the states of the former Soviet Union in developing private markets for environmentally responsible, sustainable energy. These alliances support U.S. competitiveness in a global economy of growing energy infrastructure requirements and create jobs in the U.S. at all skill levels.

DEPARTMENT OF EDUCATION (84.001-84.999)

Administers programs designed to fund activities that assist students with limited English proficiency and minority languages populations by providing support for programs, activities, and management initiatives meeting the special educational needs of those populations. Provides national leadership in expanding fundamental knowledge and improving education. The Office is responsible for conducting and supporting education-related research activities; monitoring the state of education through the collection and analysis of statistical data; promoting the use and application of research and development to improve instructional practices in the classroom; and disseminating these findings to States and local education entities. The Assistant Secretary for Elementary and Secondary Education formulates policy for, directs, and coordinates the activities relating to preschool, elementary, and secondary education. Included are programs of grants to State educational agencies and local school districts, postsecondary schools, and nonprofit organizations for State and local reform, compensatory, migrant, and Indian education; drug-free schools; other school improvement programs; and impact aid. The Assistant Secretary for Postsecondary Education formulates policy, directs, and coordinates programs for assistance to postsecondary educational institutions and students pursuing a postsecondary education. Programs include assistance for the improvement and expansion of American educational resources for international studies and services, grants to improve instruction in crucial academic subjects, and construction assistance for academic facilities. The Office is headed by the Department's Chief Operating Officer and is the Federal Government's first performance based organization. The Office directs and coordinates activities that provide financial assistance through grants and work and loan programs to students pursuing a postsecondary education. Federal student financial aid programs include Stafford Loans, Parent Loans for Undergraduate Students (PLUS), Supplemental Loans to Students (SLS), Federal Insured Student Loans

(FISL), consolidated loans, Perkins Loans, income contingent loans, Pell Grants, College Work-Study, Supplemental Educational Opportunity Grants (SEOG), and State Student Incentives Grants (SSIG). The Office provides leadership and direction for the Department in meeting its goals of excellence in credit management and debt collection through the collection of defaulted loans under the Guaranteed Student Loan Program and the Law Enforcement Education Program, and the collection of overpayments in the Pell Grant Program and Supplemental Educational Opportunities Program. The Assistant Secretary for Vocational and Adult Education administers programs of grants, contracts, and technical assistance for vocational and technical education, and for adult education and literacy. The Office is also responsible for coordinating these programs with other Education Department and Federal programs supporting services and research for adult education, literacy, and occupational training. The Secretary of Education advises the President on education plans, policies, and programs of the Federal government. The Secretary directs Department staff in carrying out the approved programs and activities of the Department and promotes general public understanding of the Department's goals, programs, and objectives. The Secretary also carries out certain Federal responsibilities for four federally aided corporations: The American Printing House of the Blind, Gallaudet University, Howard University, and the National Technical Institute for the Deaf. Provides leadership to ensure that people with disabilities have services, resources, and equal opportunities to learn, work, and live as fully integrated, contributing members of society. The Office coordinates the activities of the Office of Special Education Programs, which works to help States provide quality educational opportunities and early intervention services to help students with disabilities achieve their goals. Through the Rehabilitation Services Administration, the Office supports State vocational rehabilitation programs that give disabled people the education, job training, and job placement services they need to gain meaningful employment. Programs include support for training of teachers and other professional personnel; grants for research; financial aid to help States initiate, expand, and improve their resources; and media services and captioned films for the deaf. Each regional office serves as a center for the dissemination of information and provides technical assistance to State and local educational agencies and other institutions and individuals interested in Federal education activities. At present, offices are located in 10 cities: Atlanta, Boston, Chicago, Dallas, Denver, Kansas City, New York, Philadelphia, San Francisco, and Seattle.

Institute of Education Sciences (84.305, 84.324, 84.329, 84.372)

The Education Sciences Reform Act of 2002 established a new organization within the U.S. Department of Education, the Institute of Education Sciences. Our mission is to provide rigorous evidence on which to ground education practice and policy. By identifying what works, what doesn't, and why, we intend to improve the outcomes of education for all students, particularly those at risk of failure. The Institute funds hundreds of research studies on ways to improve academic achievement, conducts large-scale evaluations of federal education programs and reports a wide array of statistics on the condition of education such as the National Assessment of Educational Progress. We support the building of an infrastructure for evidence-based education through activities such as grants to states to develop longitudinal data systems. We disseminate evidence on education to the public and practitioners through the What Works Clearinghouse and the ERIC education database, conferences, publications and products and 10 regional education laboratories and 10 national research and development centers.

Office of Educational Research and Improvement (84.287)

Provides national leadership in expanding fundamental knowledge and improving education. The Office is responsible for conducting and supporting education-related research activities; monitoring the state of education through the collection and analysis of statistical data; promoting the use and application of research and development to improve instructional practices in the classroom;

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and disseminating these findings to States and local education entities.

Office of Elementary and Secondary Education (84.004, 84.010-84.011, 84.013, 84.040-84.041, 84.060, 84.141, 84.144, 84.149, 84.184, 84.196, 84.256, 84.283, 84.299, 84.330, 84.356, 84.358, 84.360, 84.362, 84.365-84.369, 84.371, 84.374, 84.377, 84.403, 84.415-84.416)

The Assistant Secretary for Elementary and Secondary Education formulates policy for, directs, and coordinates the activities relating to preschool, elementary, and secondary education. Included are programs of grants to State educational agencies and local school districts, postsecondary schools, and nonprofit organizations for State and local reform, compensatory, migrant, and Indian education; drug-free schools; other school improvement programs; and impact aid.

Office of Human Resources and Administration (84.145)

No Description Provided

Office of Innovation and Improvement (84.165, 84.215, 84.282, 84.295, 84.336, 84.350-84.351, 84.354, 84.363, 84.370, 84.411)

The Office of Innovation and Improvement (OII) oversees competitive grant programs that support innovations in the educational system and disseminates the lessons learned from these innovative practices. OII also helps coordinate policies related to parental options and choice. OII administers, coordinates, and recommends programs and policy for improving the quality of activities designed to support and test innovations throughout the K12 system in areas such as parental choice, teacher quality, use of technology in education, and arts in education. OII encourages the establishment of charter schools through

Office of Postsecondary Education (84.015-84.018, 84.021-84.022, 84.031, 84.042, 84.044, 84.047, 84.066, 84.103, 84.116, 84.120, 84.200, 84.217, 84.220, 84.229, 84.274, 84.334-84.335, 84.378, 84.382, 84.407, 84.414)

The Assistant Secretary for Postsecondary Education formulates policy, directs, and coordinates programs for assistance to postsecondary educational institutions and students pursuing a postsecondary education. Programs include assistance for the improvement and expansion of American educational resources for international studies and services, grants to improve instruction in crucial academic subjects, and construction assistance for academic facilities.

Office of Special Education and Rehabilitative Services (84.027, 84.126, 84.128-84.129, 84.132-84.133, 84.160-84.161, 84.169, 84.173, 84.177, 84.181, 84.187, 84.224, 84.235, 84.240, 84.246, 84.250, 84.264-84.265, 84.275, 84.315, 84.323, 84.325-84.328, 84.343, 84.373, 84.380)

Provides leadership to ensure that people with disabilities have services, resources, and equal opportunities to learn, work, and live as fully integrated, contributing members of society. The Office coordinates the activities of the Office of Special Education Programs, which works to help States provide quality educational opportunities and early intervention services to help students with disabilities achieve their goals. Through the Rehabilitation Services Administration, the Office supports State vocational rehabilitation programs that give disabled people the education, job training, and job placement services they need to gain meaningful employment. Programs include support for training of teachers and other professional personnel; grants for research; financial aid to help States initiate, expand, and improve their resources; and media services and captioned films for the deaf.

Office of Student Financial Assistance Programs (84.007, 84.033, 84.063, 84.268)

The Office is headed by the Department's Chief Operating Officer and is the Federal Government's first performance based organization. The Office directs and coordinates activities that provide financial assistance through grants and work and loan programs to students pursuing a postsecondary education. Federal student financial aid programs include Stafford Loans, Parent Loans for Undergraduate Students (PLUS), Supplemental Loans to Students (SLS), Federal Insured Student Loans (FISL), consolidated loans, Perkins Loans, income contingent loans, Pell Grants, College Work-Study, Supplemental Educational Opportunity Grants (SEOG), and State Student Incentives Grants (SSIG). The Office provides leadership and direction for the Department in meeting its goals of excellence in credit management and debt collection through the collection of defaulted loans under the Guaranteed Student Loan Program and the Law Enforcement Education Program, and the collection of overpayments in the Pell Grant Program and Supplemental Educational Opportunities Program.

Office of Vocational and Adult Education (84.002, 84.048, 84.051, 84.101, 84.191, 84.245, 84.259)

The Assistant Secretary for Vocational and Adult Education administers programs of grants, contracts, and technical assistance for vocational and technical education, and for adult education and literacy. The Office is also responsible for coordinating these programs with other Education Department and Federal programs supporting services and research for adult education, literacy, and occupational training.

BARRY GOLDWATER SCHOLARSHIP AND EXCELLENCE IN EDUCATION FOUNDATION (85.200-85.299)

Established in 1983 to honor former Senator Barry Goldwater through the operation of an education scholarship program, financed by a permanent trust fund endowment, designed to encourage outstanding students to pursue careers in mathematics, the natural sciences, and engineering.

CHRISTOPHER COLUMBUS FELLOWSHIP FOUNDATION (85.100-85.199)

Established by Congress in 1992 to award fellowships to encourage and support research, study, and labor designed to produce new discoveries in all fields of endeavor for the benefit of mankind.

HARRY S TRUMAN SCHOLARSHIP FOUNDATION (85.1-85.99)

Awards college scholarships of up to \$5 thousand annually for 4 years to persons who demonstrate outstanding potential for and who are preparing to pursue a career in public service.

JAMES MADISON MEMORIAL FELLOWSHIP FOUNDATION (85.500-85.599)

Fellowships are awarded that seek to strengthen secondary school teaching of the principles, framing, and development of the U.S. Constitution. The program works to contribute to a deeper understanding of American government and to foster in both teachers and students the spirit of civic participation that inspired the Nation's founders.

AGENCY INDEX SUMMARY

MILLENNIUM CHALLENGE CORPORATION (85.700-85.799)

The Millennium Challenge Corporation (MCC) forms partnerships with some of the world's poorest countries, but only those committed to: good governance, economic freedom, and investments in their citizens. MCC provides these well-performing countries with large-scale grants to fund country-led solutions for reducing poverty through sustainable economic growth. MCC grants complement other U.S. and international development programs.

MORRIS K. UDALL FOUNDATION (85.400-85.499)

Scholarships, internships, and fellowships are financed by a permanent trust fund endowment. Programs are designed to develop increased opportunities for Americans to prepare for and pursue careers related to the environment and for Native Americans and Alaska Natives to pursue careers in health care and tribal public policy.

SMITHSONIAN INSTITUTION (85.600-85.699)

The Smithsonian Institution is an independent trust instrumentality of the United States which comprises the world's largest museum and research complex. The Smithsonian includes 19 museums and galleries, the National Zoo, and research facilities in several States and the Republic of Panama. It holds more than 136 million artifacts and specimens in its trust for the American people. The Smithsonian is dedicated to public education, national service, and scholarship in the arts, science, history, and culture. The Smithsonian Institution was created by an act of Congress on August 10, 1846 (20 U.S.C. 41 et seq.), to carry out the terms of the will of British scientist James Smithson (1765-1829), who in 1826 had bequeathed his entire estate to the United States to found at Washington, under the name of the Smithsonian Institution, an establishment for increase and diffusion of knowledge among men. On July 1, 1836, Congress accepted the legacy and pledged the faith of the United States to the charitable trust. In September 1838, Smithson's legacy, which amounted to more than 100,000 gold sovereigns, was delivered to the mint at Philadelphia, Congress vested responsibility for administering the trust in the Secretary of the Smithsonian and the Smithsonian Board of Regents, composed of the Chief Justice, the Vice President, three Members of the Senate, three Members of the House of Representatives, and nine citizen members appointed by joint resolution of Congress.

VIETNAM EDUCATION FOUNDATION (85.801-85.899)

The Vietnam Education Foundation (VEF) was established by the U.S. Congress under the Vietnam Education Foundation Act (2000) to create educational exchange activities for Vietnamese nationals to pursue graduate studies or professional development at U.S. universities and for Americans to teach at Vietnamese universities and, thus, build the bilateral relationship between the United States and Vietnam. VEF is governed by a thirteen-member Board of Directors: two U.S. Senators, two U.S. Representatives, three U.S. cabinet members, and six members from the U.S. citizenry appointed by the U.S. President. A Board-appointed American Executive Director oversees VEF operations, conducted through two highly coordinated offices: the U.S. Headquarters in the Washington, D.C., area and the Vietnam Field office in Hanoi. VEF receives an annual budget of U.S. \$5 million from the U.S. Congress until 2018.

The VEF programs, as prescribed in the VEF legislation, focus on the sciences (natural, physical, and environmental), engineering, mathematics, medicine (including public health and health sciences), and technology (including information technology), known as the STEM fields. The following are the core VEF activities: (1) Fellowship Program that brings Vietnamese nationals to

the United States for graduate degree programs, funded through cost-sharing agreements with U.S. universities; (2) Visiting Scholar Program that funds Vietnamese nationals with a Ph.D. to develop their professional skills through studies, research, and/or observational activities at leading U.S. institutions; and (3) U.S. Faculty Scholar Program that provides grants to American professors to teach courses in English at Vietnamese institutions for one or two semesters, on site in Vietnam or via interactive, real-time videoconferencing. VEF capacity-building efforts build the relationship between U.S. and Vietnamese institutions and government entities and strengthen the foundations in Vietnam for the return of VEF Fellows and Visiting Scholars to their home country.

WOODROW WILSON INTERNATIONAL CENTER FOR SCHOLARS (85.300-85.399)

Established by Congress in 1968 to honor the legacy and ideals of Woodrow Wilson by promoting research and dialogue on issues that link the world of scholarship and the world of public affairs. The Center achieves this mission through sustaining a community of scholars in Washington, and through sponsoring a regular program of meetings on the humanities and international affairs. The Center welcomes scholars of every nationality and from a wide variety of backgrounds including government, the corporate world, the professions, and academe.

PENSION BENEFIT GUARANTY CORPORATION (86.001-86.999)

The Pension Benefit Guaranty Corporation guarantees payment of nonforfeitable pension benefits in covered, private-sector-defined benefit pension plans. Title IV of the Employee Retirement Income Security Act of 1974 (29 U.S.C. 1301 et seq.) provides for coverage of most private-sector-defined benefit pension plans that provide a benefit based on factors such as age, years of service, and average or highest salary. The Corporation administers two benefit insurance programs separately covering single-employer and multi-employer plans. Nearly 40 million workers participate in more than 112,000 covered plans. Single-Employer Insurance: Under the single-employer program, the Corporation guarantees payment of a covered plan's basic benefits if that plan terminates without sufficient assets to pay those guaranteed benefits. Multi-employer Insurance: Under Title IV of the Act, as originally enacted, the Corporation guaranteed nonforfeitable benefits for multi-employer plans in a similar fashion as for single-employer plans. However, the payment of guaranteed benefits was at the agency's discretion under the provisions of the law that remained in force until August 1, 1980. The Multi-employer Pension Plan Amendments Act of 1980 (29 U.S.C. 1001) revised the law applicable to multi-employer pension plans by changing the insurable event from plan termination to plan insolvency. In accordance with the Act, the Corporation provides financial assistance to plans that are unable to pay basic benefits. The plans are obligated to repay such assistance. The act also made employers withdrawing from a plan liable to the plan for a portion of its unfunded vested benefits. Premium Collections: All defined benefit pension plans covered by Title IV of Employee Retirement Income Security Act are required to pay premiums under prescribed rates to the Corporation.

ARCHITECTURAL AND TRANSPORTATION BARRIERS COMPLIANCE BOARD (88.001-88.999)

Ensures compliance to Federal laws requiring accessibility for physically handicapped persons in certain federally funded buildings and facilities throughout the Nation. This includes setting guidelines and requirements for accessibility standards prescribed by Federal agencies, providing technical assistance to organizations agencies and individuals requesting help in solving accessible design and construction problems, and conducting research to determine appropriate specifications for accessibility.

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NATIONAL ARCHIVES AND RECORDS ADMINISTRATION (89.001-89.999)

Establishes policies and procedures for managing the records of the United States Government. NARA assists Federal agencies in adequately documenting their activities, administering their records management programs, scheduling their records, and retiring their noncurrent records to Federal Records Centers. The mission of the National Archives and Records Administration is to ensure, for the Citizen and the public servant, for the President and the Congress and the Courts, ready access to essential evidence. Managing the Presidential Libraries system, assisting the National Historical Publications and Records Commission in its grant program for State and local records and edited publications of prominent Americans, and publishing the laws, regulations, Presidential, and other public documents are also key functions of the National Archives and Records Administration.

BROADCASTING BOARD OF GOVERNORS (90.500-90.599)

The Broadcasting Board of Governors (BBG) encompasses all U.S. civilian international broadcasting, including the Voice of America (VOA), Radio Free Europe/Radio Liberty (RFE/RL), Radio Free Asia (RFA), Radio and TV Marti, and the Middle East Broadcasting Networks (MBN) -- Radio Sawa and Alhurra Television. BBG broadcasters distribute programming in 59 languages to an estimated weekly audience of 171 million people via radio, TV, the Internet and other news media. The BBG works to serve as an example of a free and professional press, reaching a worldwide audience with new, information, and relevant discussions.

DELTA REGIONAL AUTHORITY (90.200-90.299)

To assist the eight-state, 240-county Mississippi Delta region in obtaining the transportation and basic public infrastructure, skills training, and opportunities for economic development essential to strong local economies. The DRA was created as a Federal-State partnership. DRA will focus on: basic public infrastructure in distressed counties and isolated area of distress; transportation infrastructure facilitating the economic development of the region; business development; and job training or employment-related education.

DENALI COMMISSION (90.100-90.199)

Created by Congress in 1998, the Denali Commission is the Federal government and the State of Alaska working together to assist the most remote of American citizens to help themselves move closer to economic self-sufficiency, while preserving ancient cultural values and new opportunities to people living in American's last frontier. With the creation of the Denali Commission, Congress acknowledged the need for increased inter-agency cooperation and focus on these remote communities. This Federal-State partnership is intended to promote rural development, provide power generation and transmission facilities, modern communication systems, water and sewer systems and other infrastructure needs.

JAPAN U.S. FRIENDSHIP COMMISSION (90.300-90.399)

The Japan-United States Friendship Act of 1975 established the Japan-United States Friendship Trust Fund and created the Japan-United States Friendship Commission to make grants for the promotion of scholarly, cultural, and artistic activities between Japan and the United States. The Commission is authorized to make expenditures from the fund in an amount not to exceed 5 percent annually of the fund's original principal to pay Commission expenses and make grants to

support Japanese studies in American universities, policy oriented research, faculty and other professional exchanges, public affairs programs, and other cultural and educational activities primarily in the United States.

NORTHERN BORDER REGIONAL COMMISSION (90.601-90.699)

The Northern Border Regional Commission is a Federal-State governmental agency concerned with the economic well-being of the citizens and businesses within a thirty-six (36) county region of Maine, New Hampshire, New York, and Vermont (12 counties in Maine; 4 counties in New Hampshire, 14 counties in New York, and 6 counties in Vermont). The region served by the Commission has had chronic and contiguous long-term economic distress. The mission of the Commission is to eliminate these conditions, concentrating its efforts to develop water, sewer, energy and telecommunications infrastructure; providing job skills and employment related education, as well as entrepreneurship, technology and business development; provide basic health care and other public services for those areas that are severely economically distressed and underdeveloped; and to promote resource conservation, tourism, recreation, and preservation of open spaces in a manner consistent with economic development goals; and finally, to promote the development of renewable and alternative energy sources.

U.S. ELECTION ASSISTANCE COMMISSION (90.400-90.499)

The U.S. Election Assistance Commission (EAC) was established by the Help America Vote Act of 2002 (HAVA). Central to its role, the Commission serves as a national clearinghouse and resource for information and review of procedures with respect to the administration of Federal elections. According to the text of HAVA, the law was enacted to, establish a program to provide funds to States to replace punch card voting systems, to establish the Election Assistance Commission to assist in the administration of Federal elections and to otherwise provide assistance with the administration of certain Federal election laws and programs, to establish minimum election administration standards for States and units of local government with responsibility for the administration of Federal elections, and for other purposes. Excerpt from The Help America Vote Act of 2002 The Help America Vote Act of 2002 (HAVA) requires the Election Assistance Commission (EAC) to: Generate technical guidance on the administration of federal elections. Produce voluntary voting systems guidelines. Research and report on matters that affect the administration of federal elections. Otherwise provide information and guidance with respect to laws, procedures, and technologies affecting the administration of Federal elections. Administer payments to States to meet HAVA requirements. Provide grants for election technology development and for pilot programs to test election technology. Manage funds targeted to certain programs designed to encourage youth participation in elections. Develop a national program for the testing, certification, and decertification of voting systems. Maintain the national mail voter registration form that was developed in accordance with the National Voter Registration Act of 1993 (NVRA), report to Congress every two years on the impact of the NVRA on the administration of federal elections, and provide information to States on their responsibilities under that law. Audit persons who received federal funds authorized by HAVA from the General Services Administration or the Election Assistance Commission. Submit an annual report to Congress describing EAC activities for the previous fiscal year. About the U.S. Election Assistance Commission. The U.S. Election Assistance Commission (EAC) was established by the Help America Vote Act of 2002 (HAVA). Central to its role, the Commission serves as a national clearinghouse and resource for information and review of procedures with respect to the administration of Federal elections. HAVA requires the EAC to: Develop technical guidance on the administration of federal elections. Produce voluntary voting systems guidelines. Research and report on matters that affect the administration of federal elections. Provide information and guidance with

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respect to laws, procedures, and technologies affecting the administration of Federal elections. Administer payments to States to meet HAVA requirements. Provide grants for election technology development and for pilot programs to test election technology. Manage funds targeted to certain programs designed to encourage youth participation in elections. Develop a national program for the testing, certification, and decertification of voting systems. Maintain the national mail voter registration form that was developed in accordance with the National Voter Registration Act of 1993 (NVRA), report to Congress every two years on the impact of the NVRA on the administration of federal elections, and provide information to States on their responsibilities under that law. Audit persons who received federal funds authorized by HAVA from the General Services Administration or the Election Assistance Commission. Submit an annual report to Congress describing EAC activities for the previous fiscal year. For additional information, visit the U.S. Election Assistance Commission online at www.eac.gov.

UNITED STATES INSTITUTE OF PEACE (91.001-91.999)

The United States Institute of Peace was established as an independent, Federal, nonprofit corporation by act of October 19, 1984 (22 U.S.C. 4603). It was established to strengthen the Nation's capacity to promote international peace and the peaceful resolution of conflicts among the peoples and nations of the world. The purpose of the Institute is to develop and disseminate knowledge about the peaceful resolution of international conflict. To accomplish this the Institute has set the following goals: To provide creative practical insights through research, education, and training on negotiation, mediation, and other skills to those actively engaged in resolving international conflicts; to expand the body of knowledge about the nature and processes of peace, war, and international conflict management; and to disseminate information to the public about these subjects. Among the Institute's instruments are grants, fellowships, a library, and in-house projects. The grants program provides financial support to nonprofit organizations, including private colleges and universities; official public institutions, including public schools, colleges, universities, libraries, and Federal, State, and local agencies; and individuals, whether or not they are associated with nonprofit or official public institutions. The Jennings Randolph Program for International Peace offers senior fellowships annually to practitioners or scholars in the U.S. and around the world who join the Institute for about ten months to work on projects concerning the sources and nature of international conflict and ways of managing conflict and sustaining peace. The program also awards Peace Scholar Dissertation Fellowships annually to outstanding doctoral students enrolled in an accredited university in the U.S. who are researching or writing a dissertation that promises to contribute knowledge relevant to the formulation of policy on international peace and conflict issues. The Jeannette Rankin Library Program is developing four main components on international peacemaking: a specialized research library; a network with and support for other libraries, both specialized and public; an oral history resource; and bibliographic as well as other data bases. Institute-directed projects under the Education and Public Information Program include an educational TV program and cassette programs on such topics as U.S.-Soviet summitry and a National Peace Essay Contest for high school students. The in-house Research and Studies is completing a broad, systematic examination of peace and conflict management theories and features workshops and seminars. Institute publications include biennial report to Congress and the President; a newsletter, The United States Institute of Peace Journal; and short issue papers, in brief. For more information please visit the Institute's web site at www.usip.org.

DEPARTMENT OF HEALTH AND HUMAN SERVICES (93.001-93.999)

The Secretary of HHS advises the President on health, welfare, and income

security plans, policies, and programs of the Federal government. The Secretary administers these functions through the Office of the Secretary and the Department's 11 operating divisions, including a budget of \$460 billion and a workforce of 65,000 employees. Supports cross-cutting research on health care systems, health care quality and cost issues, and effectiveness of medical treatments. Provides a system of health surveillance to monitor and prevent the outbreak of diseases. With the assistance of States and other partners, CDC guards against international disease transmission, maintains national health statistics and provides for immunization services, and supports research into disease and injury prevention. Supports a network of 37 hospitals, 60 health centers, 3 school health centers, 46 health stations and 34 urban Indian health centers to provide services to nearly 1.5 million American Indians and Alaska Natives of 557 federally recognized tribes. Administers the Medicare and Medicaid programs, that provide health care to America's aged and indigenous populations. About one in every four Americans, including nearly 18 million children and nursing homes for low-income elderly persons are covered. CMS also administers the new Children's Health Insurance Program through approved State plans that cover more than 2.2 million children.

Administration for Children and Families (93.009-93.010, 93.060, 93.075-93.076, 93.086-93.087, 93.090-93.093, 93.235, 93.254, 93.293, 93.508, 93.550-93.551, 93.556-93.558, 93.560, 93.563-93.564, 93.566-93.570, 93.575-93.576, 93.579, 93.581, 93.583-93.584, 93.586-93.587, 93.590-93.605, 93.612, 93.616, 93.623, 93.643, 93.645, 93.647-93.648, 93.652, 93.658-93.659, 93.667, 93.669-93.671, 93.674, 93.676, 93.708-93.711, 93.713-93.714, 93.716)

Provides services and assistance for 60 programs to needy children and families, administers the new State-Federal welfare program, Temporary Assistance to Needy Families, administers the national child support enforcement system, and the Head Start program, provides funds to assist low-income families in paying for child care, and supports State programs to provide for foster care and adoption assistance.

Administration for Community Living (93.041-93.045, 93.047-93.048, 93.051-93.054, 93.071-93.072, 93.517-93.518, 93.613, 93.617-93.618, 93.630-93.632, 93.706, 93.725, 93.734, 93.747)

Works with States, localities, the nonprofit sector, businesses and families to help more seniors and people with disabilities have the option to live in their homes and fully participate in their communities. ACLs mission is to maximize health, well-being, and independence for older adults, for people with disabilities across their lifespan, and for their families and their caregivers, by advancing policies, services and supports so that these individuals can live with dignity, make their own choices, and participate fully in society.

Agency for Healthcare Research and Quality (93.225-93.226, 93.715)

Supports cross-cutting research on health care systems, health care quality and cost issues, and effectiveness of medical treatments.

Agency for Toxic Substances and Disease Registry (93.161, 93.204, 93.240, 93.534)

Works with States and other Federal agencies to prevent exposure to hazardous substances from waste sites. The agency conducts public health assessments, health studies, surveillance activities, and health education training in communities around waste sites on the U.S. Environmental Protection Agency's National Priorities List.

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Centers for Disease Control and Prevention (93.055-93.056, 93.061-93.062, 93.064-93.067, 93.069-93.070, 93.073-93.074, 93.079-93.080, 93.082-93.084, 93.094, 93.097-93.099, 93.116, 93.118, 93.135-93.136, 93.184-93.185, 93.197, 93.261-93.262, 93.268-93.270, 93.283, 93.292, 93.304-93.305, 93.338-93.339, 93.507, 93.520-93.521, 93.523-93.524, 93.531, 93.533, 93.535, 93.538-93.542, 93.544, 93.548, 93.606-93.607, 93.723-93.724, 93.730, 93.733, 93.735-93.745, 93.749, 93.751, 93.919, 93.938-93.947, 93.977, 93.988, 93.991, 93.998)

Provides a system of health surveillance to monitor and prevent the outbreak of diseases. With the assistance of States and other partners, CDC guards against international disease transmission, maintains national health statistics and provides for immunization services, and supports research into disease and injury prevention.

Centers for Medicare and Medicaid Services (93.506, 93.511, 93.519, 93.525, 93.529, 93.536-93.537, 93.545-93.546, 93.609-93.611, 93.621, 93.624, 93.626-93.628, 93.634, 93.720, 93.750, 93.767-93.768, 93.770, 93.773-93.775, 93.777-93.780, 93.784, 93.791, 93.796)

Administers the Medicare and Medicaid programs, that provide health care to America's aged and indigenous populations. About one in every four Americans, including nearly 18 million children and nursing homes for low-income elderly persons are covered. CMS also administers the new Children's Health Insurance Program through approved State plans that cover more than 2.2 million children.

Food and Drug Administration (93.058, 93.103, 93.448-93.449)

Assures the safety of foods and cosmetics, and the safety and efficacy of pharmaceuticals, biological products, and medical devices.

Health Resources and Services Administration (93.011, 93.059, 93.107, 93.110, 93.117, 93.124, 93.127, 93.129-93.130, 93.134, 93.145, 93.153, 93.155-93.157, 93.162, 93.165, 93.178, 93.186, 93.191, 93.211-93.212, 93.223-93.224, 93.234, 93.236, 93.241, 93.247, 93.249-93.251, 93.253, 93.255-93.257, 93.259, 93.264-93.267, 93.288, 93.300-93.301, 93.303, 93.342, 93.358-93.359, 93.364-93.365, 93.400-93.404, 93.406, 93.409, 93.414-93.415, 93.420, 93.440, 93.501-93.505, 93.509-93.510, 93.512-93.516, 93.526-93.528, 93.530, 93.547, 93.549, 93.615, 93.622, 93.703, 93.732, 93.822, 93.824, 93.884, 93.887-93.888, 93.908, 93.912-93.914, 93.917-93.918, 93.923-93.926, 93.928, 93.932, 93.964-93.965, 93.969, 93.994)

Helps provide health resources for medically underserved populations. HRSA Supports a nationwide network of 643 community and migrant health centers, and 144 primary care programs for the homeless and residents of public housing, serving 8.1 million Americans each year. HRSA also works to build the health care workforce and maintains the National Health Service Corps oversees, the Nation's organ transplantation system, works to decrease infant mortality and improve child health, and provides services to people with AIDS through the Ryan White CARE Act programs.

Indian Health Service (93.123, 93.164, 93.193, 93.210, 93.228, 93.231, 93.237, 93.284, 93.441-93.445, 93.933, 93.970-93.972)

Supports a network of 37 hospitals, 60 health centers, 3 school health centers, 46 health stations and 34 urban Indian health centers to provide services to nearly 1.5 million American Indians and Alaska Natives of 557 federally recognized

tribes.

National Institutes of Health (93.077, 93.113, 93.121, 93.142-93.143, 93.172-93.173, 93.187, 93.209, 93.213, 93.220, 93.232-93.233, 93.242, 93.273, 93.279-93.282, 93.285-93.286, 93.307-93.308, 93.310, 93.313, 93.350-93.352, 93.361, 93.389, 93.393-93.399, 93.701-93.702, 93.704, 93.837-93.839, 93.846-93.847, 93.853, 93.855-93.856, 93.859, 93.865-93.867, 93.879, 93.936, 93.989)

Works with 17 separate institutes, serves as the world's premier medical research organization, supporting some 35,000 research projects nationwide in diseases like cancer, Alzheimer's, diabetes, arthritis, heart ailments and AIDS.

Office of Disease Prevention and Health Promotion (93.990)

No Description Provided

Office of Minority Health (93.004, 93.006, 93.137, 93.910)

No Description Provided

Office of Population Affairs (93.217, 93.260, 93.974, 93.995)

No Description Provided

Office of the Secretary (93.001, 93.007-93.008, 93.015-93.016, 93.018-93.019, 93.078, 93.081, 93.085, 93.088-93.089, 93.239, 93.290, 93.296-93.297, 93.311, 93.360, 93.452, 93.500, 93.718-93.719, 93.721, 93.726-93.728, 93.731, 93.889)

The Department of Health and Human Services (HHS) is the United States government's principal agency for protecting the health of all Americans and providing essential human services, especially for those who are least able to help themselves. The work of HHS is conducted by the Office of the Secretary and 11 agencies. The agencies perform a wide variety of tasks and services, including research, public health, food and drug safety, grants and other funding, health insurance, and many others. The subdivisions of the Office of the Secretary provide direct support for the Secretary's initiatives.

President's Council on Fitness, Sports, and Nutrition (93.289)

The President's Council on Physical Fitness and Sports is an advisory committee of volunteer citizens who advise the President through the Secretary of Health and Human Services about physical activity, fitness, and sports in America. Through its programs and partnerships with the public, private and non-profit sectors, the Council serves as a catalyst to promote health, physical activity, fitness, and enjoyment for people of all ages, backgrounds and abilities through participation in physical activity and sports. The twenty Council members are appointed and serve at the pleasure of the President.

Program Support Center (93.291)

A service-for-fee organization, utilizes a pioneering business enterprise approach to provide government support services throughout HHS as well as other Departments and Federal agencies. Administrative operations, financial management and human resources are solution- and customer-oriented, state-of-the-art and highly responsive to customer needs.

Substance Abuse and Mental Health Services Administration (93.003, 93.104, 93.138, 93.150, 93.243,

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93.275-93.276, 93.748, 93.958-93.959, 93.975, 93.982)

Works to improve the quality and availability of substance abuse prevention, addiction treatment, and mental health services.

CORPORATION FOR NATIONAL AND COMMUNITY SERVICE (94.001-94.999)

The Corporation for National Service is a federal agency working in partnership with state and local governments and non-profit organizations. The Corporation administers the AmeriCorps national service program, which includes national and state grant programs, AmeriCorps*VISTA and the AmeriCorps*National Civilian Community Corps; the National Senior Service Corps (Foster Grandparents, Senior Companions and the Retired and Senior Volunteer Program); and the Learn and Serve America service-learning program. The Corporation's mission is to engage Americans of all backgrounds in community-based service. This service addresses the nation's education, public safety, human and environmental needs to achieve direct and demonstrable results. In doing so, the Corporation fosters civic responsibility, encourages community teamwork, and provides educational opportunity for those who make a substantial commitment to service.

EXECUTIVE OFFICE OF THE PRESIDENT (95.001-95.999)

SOCIAL SECURITY ADMINISTRATION (96.001-96.999)

On March 31, 1995, the Social Security Administration (SSA) became an independent agency. SSA administers a national program of contributory social insurance. Employees, employers, and the self-employed pay contributions which are pooled in special trust funds. When earnings cease or are reduced because the worker retires, dies, or becomes disabled, monthly cash benefits are paid to replace part of the earnings the family has lost. Part of the workers contribution goes into a separate hospital insurance trust fund. This fund helps disabled workers, retirees, and their dependents with their hospital bills. They may also elect to receive assistance with medical expenses. This is done by the workers paying a percentage of supplementary medical insurance premiums. The Federal government pays the balance. Together, these two programs are often referred to as "Medicare." Medicare protection is also provided, under certain conditions, to Railroad Retirement beneficiaries based on a disability. The principal functions of SSA include, but are not limited to; research and recommendations oriented to the problems of poverty; health care for the aged, blind, and disabled; long-range planning, design, and development of SSA administrative plans; data processing systems used in establishing and maintaining records essential to its' various programs; statistical measurement and systematic evaluation of its' programs; policy guidance for the administration of the OASDI and SSI programs; and development of programs and materials to assure that Congress, Federal and State agencies, and the general public have an adequate understanding of the protections, rights, and responsibilities under SSA administered programs. In addition, SSA, through a world-wide organization of ten regional offices, six program service centers, and over 1,300 field offices, guides and directs all aspects of the cash benefit program operations of SSA

DEPARTMENT OF HOMELAND SECURITY (97.001-97.999)

On January 23, 2002, the Department of Homeland Security (DHS) was created through the Homeland Security Act of 2002. The Act provides grants award

authority for DHS to award grants, cooperative agreements and other assistance to non-Federal entities in order to assist them with homeland security and disaster preparedness, security, migration and recovery measures. The primary mission of the Department is to prevent terrorist attacks within the United States; reduce the vulnerability of the United States to terrorism; minimize damage, and assist in the recovery from terrorist attacks that do occur within the United States; carry out all the functions of entities transferred to the Department, including by acting as focal point regarding natural and manmade crises and emergency planning; ensure that the function of the agencies and subdivisions within the Department that are not related directly to securing the homeland are not diminished or neglected except by a specific explicit Act of Congress; and monitor connection between illegal drug trafficking and terrorism, coordinate efforts to serve such connections, and otherwise contribute to efforts to interdict illegal drug trafficking.

AGENCY FOR INTERNATIONAL DEVELOPMENT (98.001-98.999)

To promote transformational development in less-developed and selected middle-income countries. Transformational development brings far-reaching, fundamental changes in governance and institutional capacity, human capacity, and economic structure. Such development helps a country sustain further economic and social progress without depending on foreign aid. The goal of achieving transformational development pertains to stable developing countries which have significant need for concessional assistance and are committed to promoting economic freedom, ruling justly, and investing in people.

AGENCY PROGRAM INDEX

This index provides a program list by agency showing the CFDA number and program title. It also distinguishes between programs that provide financial assistance and those that provide non-financial assistance in the form of services and technical assistance. The letter(s) in parentheses following the program title indicates the type of assistance available through that program. The following letter codes are used:

- A: Formula Grants
- B: Project Grants
- C: Direct Payments for Specified Use
- D: Direct Payments with Unrestricted Use
- E: Direct Loans
- F: Guaranteed/Insured Loans
- G: Insurance
- H: Sale, Exchange, or Donation of Property and Goods
- I: Use of Property, Facilities, and Equipment
- J: Provision of Specialized Services
- K: Advisory Services and Counseling
- L: Dissemination of Technical Information
- M: Training
- N: Investigation of Complaints
- O: Federal Employment

Program Description	Financial	Non-Financial
DEPARTMENT OF AGRICULTURE		
AGRICULTURAL MARKETING SERVICE		
10.153 Market News (L)		X
10.155 Marketing Agreements and Orders (J,K)		X
10.156 Federal-State Marketing Improvement Program (B)	X	
10.162 Inspection Grading and Standardization (J)		X
10.163 Market Protection and Promotion (J,K,M)		X
10.164 Wholesale Farmers and Alternative Market Development (K,M)		X
10.165 Perishable Agricultural Commodities Act (N)		X
10.167 Transportation Services (K,M)		X
10.168 Farmers' Market Promotion Program (B)	X	
10.170 Specialty Crop Block Grant Program - Farm Bill (B)	X	
10.171 Organic Certification Cost Share Programs (C)	X	
AGRICULTURAL RESEARCH SERVICE		
10.001 Agricultural Research_Basic and Applied Research (B)	X	
10.700 National Agricultural Library (L)		X
ANIMAL AND PLANT HEALTH INSPECTION SERVICE		
10.025 Plant and Animal Disease, Pest Control, and Animal Care (B)	X	
10.028 Wildlife Services (B)	X	
10.030 Indemnity Program (D)	X	
DEPARTMENTAL MANAGEMENT		
10.443 Outreach and Assistance for Socially Disadvantaged Farmers and Ranchers (B)	X	
ECONOMIC RESEARCH SERVICE		
10.250 Agricultural and Rural Economic Research, Cooperative Agreements and Collaborations (B,L)	X	
10.253 Food Assistance and Nutrition Research Programs (FANRP) (L)		X
10.254 Program of Research on the Economic of Invasive Species Management (PREISM) (L)		X
10.255 Research Innovation and Development Grants in Economic (RIDGE) (L)		X
10.256 Consumer Data and Information Program (L)		X
FARM SERVICE AGENCY		
10.051 Commodity Loans and Loan Deficiency Payments (D,E)	X	
10.053 Dairy Indemnity Program (D)	X	
10.054 Emergency Conservation Program (C)	X	

AGENCY PROGRAM INDEX

Program Description	Financial	Non-Financial
10.055 Direct and Counter-cyclical Payments Program (C)	X	
10.056 Farm Storage Facility Loans (E)	X	
10.069 Conservation Reserve Program (C)	X	
10.080 Milk Income Loss Contract Program (D)	X	
10.085 Tobacco Transition Payment Program (D)	X	
10.087 Biomass Crop Assistance Program (D)	X	
10.088 Livestock Indemnity Program (C)	X	
10.089 Livestock Forage Disaster Program (C)	X	
10.090 Supplemental Revenue Assistance Program (C)	X	
10.091 Emergency Assistance for Livestock, Honeybees, and Farm-Raised Fish Program (C)	X	
10.092 Tree Assistance Program (D)	X	
10.093 Voluntary Public Access and Habitat Incentive Program (A)	X	
10.095 Durum Wheat Quality Program (D)	X	
10.098 Reimbursement Transportation Cost Payment Program for Geographically Disadvantaged Farmers and Ranchers (D)	X	
10.099 Conservation Loans (E,F)	X	
10.101 Hawaii Sugar Disaster Program (D)	X	
10.102 Emergency Forest Restoration Program (D)	X	
10.105 Disaster Relief Appropriations Act, Emergency Conservation Program (C)	X	
10.106 Disaster Relief Appropriations Act, Emergency Forest Restoration Program (C,D)	X	
10.141 Dairy Product Price Support Program (D)	X	
10.404 Emergency Loans (E)	X	
10.406 Farm Operating Loans (E,F)	X	
10.407 Farm Ownership Loans (E,F)	X	
10.421 Indian Tribes and Tribal Corporation Loans (E)	X	
10.435 State Mediation Grants (B)	X	
10.437 Interest Assistance Program (F)	X	
10.449 Boll Weevil Eradication Loan Program (E)	X	
10.451 Noninsured Assistance (D)	X	
FOOD AND NUTRITION SERVICE		
10.551 Supplemental Nutrition Assistance Program (C)	X	
10.553 School Breakfast Program (A)	X	
10.555 National School Lunch Program (A)	X	
10.556 Special Milk Program for Children (A)	X	
10.557 Special Supplemental Nutrition Program for Women, Infants, and Children (A)	X	
10.558 Child and Adult Care Food Program (A)	X	
10.559 Summer Food Service Program for Children (A)	X	
10.560 State Administrative Expenses for Child Nutrition (A)	X	
10.561 State Administrative Matching Grants for the Supplemental Nutrition Assistance Program (A)	X	
10.565 Commodity Supplemental Food Program (A,H)	X	
10.566 Nutrition Assistance For Puerto Rico (C)	X	
10.567 Food Distribution Program on Indian Reservations (B,H)	X	
10.568 Emergency Food Assistance Program (Administrative Costs) (A)	X	
10.569 Emergency Food Assistance Program (Food Commodities) (A)	X	
10.572 WIC Farmers' Market Nutrition Program (FMNP) (A)	X	
10.574 Team Nutrition Grants (B)	X	
10.575 Farm to School Grant Program (B,L,M)	X	
10.576 Senior Farmers Market Nutrition Program (B)	X	
10.577 SNAP Partnership Grant (B)	X	
10.578 WIC Grants To States (WGS) (B)	X	
10.579 Child Nutrition Discretionary Grants Limited Availability (B)	X	
10.580 Supplemental Nutrition Assistance Program, Process and Technology Improvement Grants (B)	X	
10.582 Fresh Fruit and Vegetable Program (B)	X	
10.583 Hunger Free Communities (B)	X	
10.585 FNS Food Safety Grants (B)	X	
10.586 Special Supplemental Nutrition Program for Women, Infants and Children; Nutrition Education Innovations (B)	X	
10.587 National Food Service Management Institute Administration and Staffing Grant (B)	X	

AGENCY PROGRAM INDEX

Program Description	Financial	Non-Financial
10.588 Assessment of Alternatives to Face-to-Face Interviews in SNAP (B)	X	
10.589 Child Nutrition Direct Certification Performance Awards (C)	X	
10.590 Disaster Relief Appropriations ActEmergency Food Assistance Program (Administrative Costs) (A)	X	
10.591 Disaster Relief Appropriations ActEmergency Food Assistance Program (Commodities) (A)	X	
10.592 Healthy, Hunger-Free Kids Act of 2010 Childhood Hunger Research and Demonstration Projects (B)	X	
10.593 Bill Emerson National Hunger Fellows and Mickey Leland International Hunger Fellows Programs (B)	X	
FOOD SAFETY AND INSPECTION SERVICE		
10.475 Cooperative Agreements with States for Intrastate Meat and Poultry Inspection (B)	X	
10.477 Meat, Poultry, and Egg Products Inspection (J)		X
10.479 Food Safety Cooperative Agreements (B)	X	
FOREIGN AGRICULTURAL SERVICE		
10.600 Foreign Market Development Cooperator Program (C)	X	
10.601 Market Access Program (A,C)	X	
10.602 CCC's Dairy Export Incentive Program (C)	X	
10.603 Emerging Markets Program (C)	X	
10.604 Technical Assistance for Specialty Crops Program (C)	X	
10.605 Quality Samples Program (C)	X	
10.606 Food for Progress (B,H)	X	
10.608 Food for Education (B,H)	X	
10.609 Trade Adjustment Assistance (D)	X	
10.610 Export Guarantee Program (F)	X	
10.612 USDA Local and Regional Food Aid Procurement Pilot Project (B)	X	
10.613 Faculty Exchange Program (B,C)	X	
10.614 Scientific Cooperation Exchange Program with China (B,C)	X	
10.777 Norman E. Borlaug International Agricultural Science and Technology Fellowship (B,C)	X	
10.960 Technical Agricultural Assistance (B,C)	X	
10.961 Scientific Cooperation and Research (B,C)	X	
10.962 Cochran Fellowship Program-International Training-Foreign Participant (B,C)	X	
FOREST SERVICE		
10.652 Forestry Research (B)	X	
10.664 Cooperative Forestry Assistance (A,B)	X	
10.665 Schools and Roads - Grants to States (A)	X	
10.666 Schools and Roads - Grants to Counties (A)	X	
10.672 Rural Development, Forestry, and Communities (B)	X	
10.674 Forest Products Lab: Technology Marketing Unit (TMU) (B)	X	
10.675 Urban and Community Forestry Program (B)	X	
10.676 Forest Legacy Program (B)	X	
10.678 Forest Stewardship Program (B)	X	
10.679 Collaborative Forest Restoration (B)	X	
10.680 Forest Health Protection (A,B)	X	
10.681 Wood Education and Resource Center (WERC) (B)	X	
10.682 National Forest Foundation (C)	X	
10.683 National Fish and Wildlife Foundation (C)	X	
10.684 International Forestry Programs (B)	X	
10.685 Community Wood Energy Program (B)	X	
10.687 Recovery Act of 2009: Capital Improvement and Maintenance (B)	X	
10.688 Recovery Act of 2009: Wildland Fire Management (B)	X	
10.689 Community Forest and Open Space Conservation Program (CFP) (B)	X	
10.690 Lake Tahoe Erosion Control Grant Program (B)	X	
10.691 Good Neighbor Authority (B)	X	
10.692 Disaster Relief Appropriations Act for Emergency Forest Restoration Program (EFRP) (B)	X	
10.693 Watershed Restoration and Enhancement Agreement Authority (B)	X	
10.694 Southwest Forest Health and Wildfire Prevention (C)	X	
NATIONAL AGRICULTURAL STATISTICS SERVICE		

AGENCY PROGRAM INDEX

Program Description	Financial	Non-Financial
10.950 Agricultural Statistics Reports (L)		X
NATIONAL INSTITUTE OF FOOD AND AGRICULTURE		
10.200 Grants for Agricultural Research, Special Research Grants (B)	X	
10.202 Cooperative Forestry Research (A)	X	
10.203 Payments to Agricultural Experiment Stations Under the Hatch Act (A)	X	
10.205 Payments to 1890 Land-Grant Colleges and Tuskegee University (A)	X	
10.206 Grants for Agricultural Research_Competitive Research Grants (B)	X	
10.207 Animal Health and Disease Research (A)	X	
10.210 Higher Education Graduate Fellowships Grant Program (B)	X	
10.212 Small Business Innovation Research (B)	X	
10.215 Sustainable Agriculture Research and Education (B)	X	
10.216 1890 Institution Capacity Building Grants (B)	X	
10.217 Higher Education - Institution Challenge Grants Program (B)	X	
10.219 Biotechnology Risk Assessment Research (B)	X	
10.220 Higher Education - Multicultural Scholars Grant Program (B)	X	
10.221 Tribal Colleges Education Equity Grants (B)	X	
10.222 Tribal Colleges Endowment Program (A)	X	
10.223 Hispanic Serving Institutions Education Grants (B)	X	
10.225 Community Food Projects (B)	X	
10.226 Secondary and Two-Year Postsecondary Agriculture Education Challenge Grants (B)	X	
10.227 1994 Institutions Research Program (B)	X	
10.228 Alaska Native Serving and Native Hawaiian Serving Institutions Education Grants (B)	X	
10.303 Integrated Programs (B)	X	
10.304 Homeland Security_Agricultural (B)	X	
10.305 International Science and Education Grants (B)	X	
10.306 Biodiesel (B)	X	
10.307 Organic Agriculture Research and Extension Initiative (B)	X	
10.308 Resident Instruction Grants for Insular Area Activities (B)	X	
10.309 Specialty Crop Research Initiative (B)	X	
10.310 Agriculture and Food Research Initiative (AFRI) (B)	X	
10.311 Beginning Farmer and Rancher Development Program (B)	X	
10.312 Biomass Research and Development Initiative Competitive Grants Program (BRDI) (B)	X	
10.313 Veterinary Medicine Loan Repayment Program (C)	X	
10.314 New ERA Rural Technology Competitive Grants Program (B)	X	
10.315 Trade Adjustment Assistance for Farmers Training Coordination Program (TAAF) (B)	X	
10.316 Healthy Urban Food Enterprise Development Center (HUFED) (B)	X	
10.317 Food Aid Nutrition Enhancement Program (B)	X	
10.318 Women and Minorities in Science, Technology, Engineering, and Mathematics Fields (B)	X	
10.319 Farm Business Management and Benchmarking Competitive Grants Program (B)	X	
10.320 Sun Grant Program (B)	X	
10.322 Distance Education Grants for Institutions of Higher Education in Insular Areas (B)	X	
10.324 Afghanistan Agricultural Extension Project (AAEP) (B)	X	
10.325 Peoples Garden Grant Program (B)	X	
10.326 Capacity Building for Non-Land Grant Colleges of Agriculture (NLGCA) (B)	X	
10.327 Common Bean Productivity Research for Global Food Security Competitive Program (B)	X	
10.500 Cooperative Extension Service (A,B)	X	
NATURAL RESOURCES CONSERVATION SERVICE		
10.072 Wetlands Reserve Program (C)	X	
10.901 Resource Conservation and Development (K)		X
10.902 Soil and Water Conservation (K)		X
10.903 Soil Survey (L)		X
10.904 Watershed Protection and Flood Prevention (K)		X
10.905 Plant Materials for Conservation (J)		X
10.907 Snow Survey and Water Supply Forecasting (L)		X
10.912 Environmental Quality Incentives Program (C)	X	
10.913 Farm and Ranch Lands Protection Program (C)	X	

AGENCY PROGRAM INDEX

Program Description	Financial	Non-Financial
10.914 Wildlife Habitat Incentive Program (C)	X	
10.916 Watershed Rehabilitation Program (K)		X
10.917 Agricultural Management Assistance (C)	X	
10.920 Grassland Reserve Program (C)	X	
10.921 Conservation Security Program (C)	X	
10.922 Healthy Forests Reserve Program (HFRP) (C)	X	
10.923 Emergency Watershed Protection Program (B)	X	
10.924 Conservation Stewardship Program (B)	X	
10.925 Agricultural Water Enhancement Program (C)	X	
10.926 Chesapeake Bay Watershed Program (C)	X	
10.927 Emergency Watershed Protection Program - Disaster Relief Appropriations Act (B)	X	
10.928 Emergency Watershed Protection Program - Floodplain Easements Disaster Relief Appropriations Act (C)	X	
10.929 Water Bank Program (C)	X	
RISK MANAGEMENT AGENCY		
10.450 Crop Insurance (G)	X	
10.456 Partnership Agreements to Develop Non-Insurance Risk Management Tools for Producers (Farmers) (B)	X	
10.458 Crop Insurance Education in Targeted States (B)	X	
10.460 Risk Management Education Partnerships (B)	X	
RURAL BUSINESS-COOPERATIVE SERVICE		
10.350 Technical Assistance to Cooperatives (J,K,L,M)		X
10.352 Value-Added Producer Grants (B)	X	
10.767 Intermediary Relending Program (E)	X	
10.768 Business and Industry Loans (F)	X	
10.769 Rural Business Enterprise Grants (B)	X	
10.771 Rural Cooperative Development Grants (B)	X	
10.773 Rural Business Opportunity Grants (B)	X	
10.778 Research on the Economic Impact of Cooperatives (C)	X	
10.782 Appropriate Technology Transfer for Rural Areas (B)	X	
10.854 Rural Economic Development Loans and Grants (B,E)	X	
10.856 1890 Land Grant Institutions Rural Entrepreneurial Outreach Program (C)	X	
10.865 Biorefinery Assistance (F)	X	
10.866 Repowering Assistance (C)	X	
10.867 Bioenergy Program for Advanced Biofuels (D)	X	
10.868 Rural Energy for America Program (F)	X	
10.870 Rural Microentrepreneur Assistance Program (B,E)	X	
10.871 Small Socially- Disadvantaged Producer Grants (B)	X	
10.874 Delta Health Care Services Grant Program (B)	X	
RURAL HOUSING SERVICE		
10.405 Farm Labor Housing Loans and Grants (B,F)	X	
10.410 Very Low to Moderate Income Housing Loans (E,F)	X	
10.415 Rural Rental Housing Loans (B,E)	X	
10.417 Very Low-Income Housing Repair Loans and Grants (B,E)	X	
10.420 Rural Self-Help Housing Technical Assistance (B)	X	
10.427 Rural Rental Assistance Payments (C)	X	
10.433 Rural Housing Preservation Grants (B)	X	
10.438 Section 538 Rural Rental Housing Guaranteed Loans (F)	X	
10.446 Rural Community Development Initiative (B)	X	
10.447 The Rural Development (RD) Multi-Family Housing Revitalization Demonstration Program (MPR) (B)	X	
10.448 Rural Development Multi-Family Housing Rural Housing Voucher Demonstration Program (C)	X	
10.766 Community Facilities Loans and Grants (B,E,F)	X	
RURAL UTILITIES SERVICE		
10.759 Part 1774 Special Evaluation Assistance for Rural Communities and Households Program (SEARCH) (B)	X	
10.760 Water and Waste Disposal Systems for Rural Communities (B,E,F)	X	
10.761 Technical Assistance and Training Grants (B)	X	

AGENCY PROGRAM INDEX

Program Description	Financial	Non-Financial
10.762 Solid Waste Management Grants (B)	X	
10.763 Emergency Community Water Assistance Grants (B)	X	
10.770 Water and Waste Disposal Loans and Grants (Section 306C) (B,E)	X	
10.781 Water and Waste Disposal Systems for Rural Communities - ARRA (B,E)	X	
10.850 Rural Electrification Loans and Loan Guarantees (E,F)	X	
10.851 Rural Telephone Loans and Loan Guarantees (E,F)	X	
10.855 Distance Learning and Telemedicine Loans and Grants (B,E)	X	
10.857 State Bulk Fuel Revolving Fund Grants (B)	X	
10.858 Denali Commission Grants and Loans (B)	X	
10.859 Assistance to High Energy Cost Rural Communities (B)	X	
10.861 Public Television Station Digital Transition Grant Program (B)	X	
10.862 Household Water Well System Grant Program (B)	X	
10.863 Community Connect Grant Program (B)	X	
10.864 Grant Program to Establish a Fund for Financing Water and Wastewater Projects (B)	X	
10.886 Rural Broadband Access Loans and Loan Guarantees (E,F)	X	
THE OFFICE OF THE CHIEF ECONOMIST		
10.290 Agricultural Market and Economic Research (B)	X	
DEPARTMENT OF COMMERCE		
ECONOMIC DEVELOPMENT ADMINISTRATION		
11.010 Community Trade Adjustment Assistance (B)	X	
11.300 Investments for Public Works and Economic Development Facilities (B)	X	
11.302 Economic Development_Support for Planning Organizations (B)	X	
11.303 Economic Development_Technical Assistance (B)	X	
11.307 Economic Adjustment Assistance (B)	X	
11.312 Research and Evaluation Program (B)	X	
11.313 Trade Adjustment Assistance for Firms (B)	X	
INTERNATIONAL TRADE ADMINISTRATION		
11.112 Market Development Cooperator Program (B)	X	
11.113 ITA Special Projects (B)	X	
NATIONAL INSTITUTE OF STANDARDS AND TECHNOLOGY (NIST)		
11.013 Education Quality Award Ambassadorship (B)	X	
11.609 Measurement and Engineering Research and Standards (B)	X	
11.611 Manufacturing Extension Partnership (B,L)	X	
11.616 Technology Innovation Program (TIP) (B)	X	
11.617 Congressionally-Identified Projects (B)	X	
11.618 National Institute of Standards and Technology Construction Grant Program (B)	X	
11.619 Arrangements for Interdisciplinary Research Infrastructure (B)	X	
NATIONAL OCEANIC AND ATMOSPHERIC ADMINISTRATION (NOAA)		
11.008 NOAA Mission-Related Education Awards (B)	X	
11.011 Ocean Exploration (B)	X	
11.012 Integrated Ocean Observing System (IOOS) (B)	X	
11.400 Geodetic Surveys and Services (Geodesy and Applications of the National Geodetic Reference System) (B)	X	
11.417 Sea Grant Support (B)	X	
11.419 Coastal Zone Management Administration Awards (A,B)	X	
11.420 Coastal Zone Management Estuarine Research Reserves (B)	X	
11.426 Financial Assistance for National Centers for Coastal Ocean Science (B)	X	
11.429 Marine Sanctuary Program (B)	X	
11.432 National Oceanic and Atmospheric Administration (NOAA) Cooperative Institutes (B)	X	
11.440 Environmental Sciences, Applications, Data, and Education (B)	X	
11.459 Weather and Air Quality Research (B)	X	
11.469 Congressionally Identified Awards and Projects (B)	X	
11.473 Coastal Services Center (B)	X	

AGENCY PROGRAM INDEX

Program Description	Financial	Non-Financial
11.478 Center for Sponsored Coastal Ocean Research_Coastal Ocean Program (B)	X	
11.481 Educational Partnership Program (B)	X	
11.482 Coral Reef Conservation Program (B)	X	
11.483 NOAA Programs for Disaster Relief Appropriations Act - Non-construction and Construction (B)	X	
NATIONAL TELECOMMUNICATIONS AND INFORMATION ADMINISTRATION		
11.549 State and Local Implementation Grant Program (B)	X	
11.558 State Broadband Data and Development Grant Program (B)	X	
DEPARTMENT OF DEFENSE		
12.556 Competitive Grants: Promoting K-12 Student Achievement at Military-Connected Schools (B)	X	
DEPARTMENT OF THE AIR FORCE, MATERIEL COMMAND		
12.800 Air Force Defense Research Sciences Program (B)	X	
DEPARTMENT OF THE ARMY, OFFICE OF THE CHIEF OF ENGINEERS		
12.101 Beach Erosion Control Projects (J)		X
12.116 Department of Defense Appropriation Act of 2003 (J)		X
DEPARTMENT OF THE NAVY, OFFICE OF THE CHIEF OF NAVAL RESEARCH		
12.300 Basic and Applied Scientific Research (B)	X	
12.330 Science, Technology, Engineering & Mathematics (STEM) for K-12 & Institutions of Higher Learning- NAVY (B)	X	
12.350 Department of Defense HIV/AIDS Prevention Program (B)	X	
DEPARTMENT OF THE NAVY, SPAWAR		
12.335 Navy Command, Control, Communications, Computers, Intelligence, Surveillance, and Reconnaissance (B)	X	
FEDERAL VOTING ASSISTANCE PROGRAM		
12.218 FVAP Policy Clearinghouse (B)	X	
12.219 EASE 2.0 (B)	X	
NATIONAL GUARD BUREAU		
12.400 Military Construction, National Guard (B)	X	
12.401 National Guard Military Operations and Maintenance (O&M) Projects (B)	X	
NATIONAL SECURITY AGENCY		
12.901 Mathematical Sciences Grants Program (B)	X	
12.902 Information Security Grant Program (B)	X	
OFFICE OF ECONOMIC ADJUSTMENT		
12.600 Special Assistance (B,L)	X	
12.604 Community Economic Adjustment (B,L)	X	
12.610 Community Economic Adjustment Assistance for Compatible Use and Joint Land Use Studies (B)	X	
12.611 Community Economic Adjustment Assistance for Reductions in Defense Industry Employment (B)	X	
12.614 Community Economic Adjustment Assistance for Advance Planning and Economic Diversification (B)	X	
12.615 Research and Technical Assistance (B)	X	
OFFICE OF THE SECRETARY OF DEFENSE		
12.357 ROTC Language and Culture Training Grants (B)	X	
12.558 Department of Defense Impact Aid (Supplement, CWSD, BRAC) (A)	X	
12.560 DOD, NDEP, DOTC-STEM Education Outreach Implementation (B)	X	
12.579 Language Training Center (B)	X	
12.599 Congressionally Directed Assistance (B)	X	
12.631 Science, Technology, Engineering and Mathematics (STEM) Educational Program: Science, Mathematics And Research for Transformation (SMART) (B)	X	
OFFICE OF THE SECRETARY OF DEFENSE, LOGISTICS AND MATERIAL READINESS, MAINTENANCE POLICY & PROGRAMS		
12.225 Commercial Technologies for Maintenance Activities Program (B)	X	
U.S. ARMY MATERIEL COMMAND		

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Program Description	Financial	Non-Financial
12.431 Basic Scientific Research (B)	X	
UNIFORMED SERVICES UNIVERSITY OF THE HEALTH SCIENCES		
12.750 Uniformed Services University Medical Research Projects (B)	X	
US ARMY CENTER OF MILITARY HISTORY		
12.440 Dissertation Year Fellowship (B)	X	
DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT		
OFFICE OF COMMUNITY PLANNING AND DEVELOPMENT		
14.218 Community Development Block Grants/Entitlement Grants (A)	X	
14.225 Community Development Block Grants/Special Purpose Grants/Insular Areas (B)	X	
14.228 Community Development Block Grants/State's program and Non-Entitlement Grants in Hawaii (A)	X	
14.231 Emergency Solutions Grant Program (A)	X	
14.235 Supportive Housing Program (B,C)	X	
14.238 Shelter Plus Care (B)	X	
14.239 Home Investment Partnerships Program (A)	X	
14.241 Housing Opportunities for Persons with AIDS (A)	X	
14.247 Self-Help Homeownership Opportunity Program (B)	X	
14.248 Community Development Block Grants_Section 108 Loan Guarantees (F)	X	
14.252 Section 4 Capacity Building for Community Development and Affordable Housing (B)	X	
14.259 CPD's Transformation Initiative Technical Assistance (B)	X	
14.260 Veterans Homelessness Prevention Demonstration Program (B)	X	
14.261 Homeless Management Information Systems Technical Assistance (B)	X	
14.265 Rural Capacity Building for Community Development and Affordable Housing Grants (B)	X	
14.266 Border Community Capital Initiative (B)	X	
14.267 Continuum of Care Program (B,C)	X	
14.268 Rural Housing Stability Assistance Program (B,C)	X	
14.269 Hurricane Sandy Community Development Block Grant Disaster Recovery Grants (CDBG-DR) (A)	X	
14.270 Appalachia Economic Development Initiative (B)	X	
14.271 Delta Community Capital Initiative (B)	X	
OFFICE OF FAIR HOUSING AND EQUAL OPPORTUNITY		
14.400 Equal Opportunity in Housing (N)		X
14.401 Fair Housing Assistance Program_State and Local (B)	X	
14.408 Fair Housing Initiatives Program (B)	X	
14.416 Education and Outreach Initiatives (B)	X	
14.417 Fair Housing Organization Initiatives (B)	X	
14.418 Private Enforcement Initiatives (B)	X	
14.419 Section 3 Coordination and Implementation (B)	X	
14.420 Fair Housing Initiatives Program Enforcement Testing Technical Assistance (B)	X	
14.421 Limited English Proficiency Initiative (B)	X	
OFFICE OF HEALTHY HOMES AND LEAD HAZARD CONTROL		
14.913 Healthy Homes Production Program (B)	X	
OFFICE OF HOUSING-FEDERAL HOUSING COMMISSIONER		
14.108 Rehabilitation Mortgage Insurance (F)	X	
14.110 Manufactured Home Loan Insurance_Financing Purchase of Manufactured Homes as Principal Residences of Borrowers (F)	X	
14.117 Mortgage Insurance_Homes (F)	X	
14.119 Mortgage Insurance_Homes for Disaster Victims (F)	X	
14.123 Mortgage Insurance_Housing in Older, Declining Areas (F)	X	
14.129 Mortgage Insurance_Nursing Homes, Intermediate Care Facilities, Board and Care Homes and Assisted Living Facilities (F)	X	
14.133 Mortgage Insurance_Purchase of Units in Condominiums (F)	X	
14.142 Property Improvement Loan Insurance for Improving All Existing Structures and Building of New Nonresidential Structures (F)	X	

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Program Description	Financial	Non-Financial
14.159 Section 245 Graduated Payment Mortgage Program (F)	X	
14.162 Mortgage Insurance_Combination and Manufactured Home Lot Loans (F)	X	
14.163 Mortgage Insurance_Single Family Cooperative Housing (F)	X	
14.172 Mortgage Insurance_Growing Equity Mortgages (F)	X	
14.175 Adjustable Rate Mortgages (F)	X	
14.183 Home Equity Conversion Mortgages (F)	X	
14.198 Good Neighbor Next Door Sales Program (H)		X
14.311 Single Family Property Disposition (H)		X
14.313 Dollar Home Sales (H)		X
14.324 FHA PowerSaver Home Energy Improvement Pilot Program (F)	X	
OFFICE OF POLICY DEVELOPMENT AND RESEARCH		
14.535 Transformation Initiative: Rental Assistance Demonstration Small Research Grant Program (B)	X	
OFFICE OF PUBLIC AND INDIAN HOUSING		
14.850 Public and Indian Housing (C)	X	
14.856 Lower Income Housing Assistance Program_Section 8 Moderate Rehabilitation (C)	X	
14.862 Indian Community Development Block Grant Program (B)	X	
14.865 Public and Indian Housing_Indian Loan Guarantee Program (F)	X	
14.866 Demolition and Revitalization of Severely Distressed Public Housing (B)	X	
14.867 Indian Housing Block Grants (A)	X	
14.869 Title VI Federal Guarantees for Financing Tribal Housing Activities (F)	X	
14.871 Section 8 Housing Choice Vouchers (C)	X	
14.872 Public Housing Capital Fund (A)	X	
14.873 Native Hawaiian Housing Block Grants (B)	X	
14.874 Loan Guarantees for Native Hawaiian Housing (F)	X	
14.877 Public Housing Family Self-Sufficiency under Resident Opportunity and Supportive Services (B)	X	
14.879 Mainstream Vouchers (C)	X	
14.880 Family Unification Program (FUP) (C)	X	
14.881 Moving to Work Demonstration Program (A)	X	
14.889 Choice Neighborhoods Implementation Grants (B)	X	
14.890 Capital Fund Education and Training Community Facilities (B)	X	
14.891 Public and Indian Housing Transformation Initiative (TI) Technical Assistance (TA) (J)		X
14.892 Choice Neighborhoods Planning Grants (B)	X	
OFFICE OF SUSTAINABLE HOUSING AND COMMUNITY		
14.705 Capacity Building for Sustainable Communities (B)	X	
DEPARTMENT OF THE INTERIOR		
15.152 Land Buy-Back Program For Tribal Nations (B)	X	
15.153 Hurricane Sandy Disaster Relief Coastal Resiliency Grants. (B)	X	
15.226 Payments in Lieu of Taxes (C,D)	X	
15.535 Upper Colorado River Basin Fish and Wildlife Mitigation Program (B)	X	
15.564 Central Valley Project Conservation Program (B)	X	
BUREAU OF INDIAN AFFAIRS		
15.033 Road Maintenance_Indian Roads (C)	X	
15.034 Agriculture on Indian Lands (C,J,K)	X	
15.037 Water Resources on Indian Lands (C,J,K)	X	
15.065 Safety of Dams on Indian Lands (C)	X	
15.148 Tribal Energy Development Capacity Grants (C)	X	
BUREAU OF INDIAN EDUCATION		
15.027 Assistance to Tribally Controlled Community Colleges and Universities (B)	X	
15.028 Tribally Controlled Community College Endowments (B)	X	
15.042 Indian School Equalization Program (C)	X	
15.043 Indian Child and Family Education (B,M)	X	
15.044 Indian Schools_Student Transportation (C)	X	

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Program Description	Financial	Non-Financial
15.046 Administrative Cost Grants for Indian Schools (B)	X	
15.047 Indian Education Facilities, Operations, and Maintenance (C)	X	
15.058 Indian Post Secondary Schools (M)		X
15.059 Indian Graduate Student Scholarships (B)	X	
15.149 FOCUS on Student Achievement Project (B)	X	
15.151 Education Program Enhancements (B)	X	
BUREAU OF LAND MANAGEMENT		
15.222 Cooperative Inspection Agreements with States and Tribes (B)	X	
15.224 Cultural Resource Management (B,I,J,K,L,M,N)	X	
15.225 Recreation Resource Management (B,I,J,K,L,M)	X	
15.227 Distribution of Receipts to State and Local Governments (C,D)	X	
15.228 National Fire Plan - Wildland Urban Interface Community Fire Assistance (B,I,J,K,L,M)	X	
15.229 Wild Horse and Burro Resource Management (B,I,J,K,L,M)	X	
15.230 Invasive and Noxious Plant Management (B,K,M)	X	
15.232 Wildland Fire Research and Studies Program (B)	X	
15.233 Forests and Woodlands Resource Management (B,K,M)	X	
15.234 Secure Rural Schools and Community Self-Determination (B)	X	
15.235 Southern Nevada Public Land Management (B)	X	
15.237 Rangeland Resource Management (B,K,M)	X	
15.238 Challenge Cost Share (B,I,K,M)	X	
15.239 Management Initiatives (B,I,K,M)	X	
15.240 Helium Resource Management (B)	X	
15.241 Indian Self-Determination Act Contracts, Grants and Cooperative Agreements (B,I,M)	X	
15.242 National Fire Plan - Rural Fire Assistance (B,I,J,K,L,M)	X	
BUREAU OF OCEAN ENERGY MANAGEMENT		
15.408 Bureau of Ocean Energy Management Renewable Energy Program (B)	X	
15.421 Alaska Coastal Marine Institute (B)	X	
15.422 Louisiana State University (LSU) Coastal Marine Institute (CMI) (B)	X	
15.428 Marine Gas Hydrate Research Activities (B)	X	
BUREAU OF RECLAMATION		
15.504 Title XVI Water Reclamation and Reuse Program (A)	X	
15.506 Water Desalination Research and Development Program (B)	X	
15.507 WaterSMART (Sustaining and Manage America's Resources for Tomorrow) (B)	X	
15.508 Providing Water to At-Risk Natural Desert Terminal Lakes (B,C)	X	
15.509 Title II, Colorado River Basin Salinity Control Program (B)	X	
15.510 Colorado Ute Indian Water Rights Settlement Act (B,C)	X	
15.511 Cultural Resources Management (B)	X	
15.512 Central Valley Project Improvement Act, Title XXXIV (B)	X	
15.513 Dutch John Federal Property and Disposition Assistance Act (B)	X	
15.516 Fort Peck Reservation Rural Water System (B,C)	X	
15.517 Fish and Wildlife Coordination Act (B)	X	
15.518 Garrison Diversion Unit (B)	X	
15.519 Indian Tribal Water Resources Development, Management, and Protection (B,C)	X	
15.520 Lewis and Clark Rural Water System (B)	X	
15.521 Lower Rio Grande Valley Water Resources Conservation and Improvement (B)	X	
15.522 Mni Wiconi Rural Water Supply Project (B)	X	
15.524 Recreation Resources Management (B)	X	
15.525 Rocky Boy's/North Central Montana Regional Water System (B)	X	
15.526 San Gabriel Basin Restoration Project (B)	X	
15.527 San Luis Unit, Central Valley Project (B)	X	
15.529 Upper Colorado and San Juan River Basins Endangered Fish Recovery Programs (B)	X	
15.530 Water Conservation Field Services Program (WCFSP) (B)	X	
15.531 Yakima River Basin Water Enhancement Project (YRBWEP) (B)	X	
15.532 Central Valley Project, Trinity River Division, Trinity River Fish and Wildlife Management (B)	X	
15.533 California Water Security and Environmental Enhancement (B)	X	

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Program Description	Financial	Non-Financial
15.534	Miscellaneous Public Law 93-638 Contracts, Grants, and Cooperative Agreements (B,C)	X
15.537	Middle Rio Grande Endangered Species Collaborative Program (B)	X
15.538	Lower Colorado River Multi-Species Conservation Program. (B)	X
15.539	Equus Beds Division Aquifer Storage Recharge Project (B)	X
15.540	Lake Mead/Las Vegas Wash Program (B)	X
15.541	Colorado River Basin Projects Act of 1968 (B)	X
15.542	Arizona Water Settlement Act of 2004 (B,C)	X
15.543	Lake Tahoe Regional Wetlands Development Program (B)	X
15.544	Platte River Recovery Implementation Program (B)	X
15.545	Bunker Hill Groundwater Basin, Riverside-Corona Feeder Project (B)	X
15.546	Youth Conservation Program (B)	X
15.548	Reclamation Rural Water Supply Program (B)	X
15.549	Integrated Regional Water Plan for the Central Valley of California (B)	X
15.550	Increasing Public Awareness of Recreational Opportunities at Reclamation Reservoirs for Physically Challenged and Disadvantaged Children (B)	X
15.551	Madera Water Supply Enhancement Project (B)	X
15.552	Navajo-Gallup Water Supply Project (B)	X
15.553	Eastern New Mexico Rural Water System Project (B)	X
15.554	Cooperative Watershed Management Program (B)	X
15.555	San Joaquin River Restoration Program (B)	X
15.556	Crow Tribe Water Rights Settlement (C)	X
15.557	Desert and Southern Rockies Landscape Conservation Cooperatives (B)	X
15.558	White Mountain Apache Tribe Rural Water System (C)	X
15.559	New Mexico Rio Grande Basin Pueblos Irrigation Infrastructure Project (B,C)	X
15.560	SECURE Water Act Research Agreements (B)	X
15.562	Dixie Valley Water Export Study (B)	X
15.563	Suisun Marsh Preservation Agreement (B)	X
BUREAU OF SAFETY AND ENVIRONMENTAL ENFORCEMENT		
15.441	Safety and Environmental Enforcement Research and Data Collection for Offshore Energy and Mineral Activities (B)	X
FISH AND WILDLIFE SERVICE		
15.605	Sport Fish Restoration Program (A)	X
15.608	Fish and Wildlife Management Assistance (B)	X
15.611	Wildlife Restoration and Basic Hunter Education (A)	X
15.614	Coastal Wetlands Planning, Protection and Restoration Act (B)	X
15.615	Cooperative Endangered Species Conservation Fund (B)	X
15.616	Clean Vessel Act (B)	X
15.619	Rhinoceros and Tiger Conservation Fund (B)	X
15.620	African Elephant Conservation Fund (B)	X
15.621	Asian Elephant Conservation Fund (B)	X
15.622	Sportfishing and Boating Safety Act (B)	X
15.623	North American Wetlands Conservation Fund (B)	X
15.625	Wildlife Conservation and Restoration (A)	X
15.626	Enhanced Hunter Education and Safety Program (A)	X
15.628	Multistate Conservation Grant Program (B)	X
15.629	Great Apes Conservation Fund (B)	X
15.630	Coastal Program (B)	X
15.631	Partners for Fish and Wildlife (B)	X
15.633	Landowner Incentive Program (B)	X
15.634	State Wildlife Grants (A,B)	X
15.635	Neotropical Migratory Bird Conservation (B)	X
15.636	Alaska Subsistence Management (B)	X
15.637	Migratory Bird Joint Ventures (B)	X
15.639	Tribal Wildlife Grants Program (B)	X
15.640	Wildlife Without Borders- Latin America and the Caribbean (B)	X
15.641	Wildlife Without Borders-Mexico (B)	X

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Program Description	Financial	Non-Financial
15.642 Challenge Cost Share (B)	X	
15.643 Alaska Migratory Bird Co-Management Council (B)	X	
15.644 Federal Junior Duck Stamp Conservation and Design (B)	X	
15.645 Marine Turtle Conservation Fund (B)	X	
15.647 Migratory Bird Conservation (B,C)	X	
15.648 Central Valley Project Improvement (CVPI) Anadromous Fish Restoration Program (AFRP) (B)	X	
15.649 Service Training and Technical Assistance (Generic Training) (B)	X	
15.650 Research Grants (Generic) (B)	X	
15.651 Wildlife Without Borders-Africa Program (B)	X	
15.652 Undesirable/Noxious Plant Species (B)	X	
15.653 National Outreach and Communication Program (B)	X	
15.654 Visitor Facility Enhancements - Refuges and Wildlife (B)	X	
15.655 Migratory Bird Monitoring, Assessment and Conservation (B,C)	X	
15.656 Recovery Act Funds - Habitat Enhancement, Restoration and Improvement. (B)	X	
15.657 Endangered Species Conservation Recovery Implementation Funds (B)	X	
15.658 Natural Resource Damage Assessment, Restoration and Implementation (B)	X	
15.659 National Wildlife Refuge Fund (D)	X	
15.660 Endangered Species - Candidate Conservation Action Funds (B)	X	
15.661 Lower Snake River Compensation Plan (B)	X	
15.662 Great Lakes Restoration (B)	X	
15.663 National Fish and Wildlife Foundation (B)	X	
15.664 Fish and Wildlife Coordination and Assistance Programs (B)	X	
15.665 National Wetlands Inventory (B)	X	
15.666 Endangered Species Conservation-Wolf Livestock Loss Compensation and Prevention (B)	X	
15.667 Highlands Conservation Program (B)	X	
15.668 Coastal Impact Assistance Program (A)	X	
15.669 Cooperative Landscape Conservation (B)	X	
15.670 Adaptive Science (B)	X	
15.671 Yukon River Salmon Research and Management Assistance (B)	X	
15.672 Wildlife Without Borders Amphibians in Decline (B)	X	
15.673 Wildlife Without Borders Critically Endangered Animal Conservation Fund (B)	X	
15.674 National Fire Plan-Wildland Urban Interface Community Fire Assistance (B,I,J,K,L,M)	X	
15.676 Youth Engagement, Education, and Employment Programs (B)	X	
15.677 Hurricane Sandy Disaster Relief Activities-FWS (B)	X	
NATIONAL PARK SERVICE		
15.406 National Park Service Centennial Challenge. (A)	X	
15.407 Keweenaw National Historical Park (NHP) and Keweenaw NHP Advisory Commission Partner Enhancement Grants (B,K)	X	
15.904 Historic Preservation Fund Grants-In-Aid (A,B)	X	
15.912 National Historic Landmark (K)		X
15.914 National Register of Historic Places (K)		X
15.915 Technical Preservation Services (J,K,L)		X
15.916 Outdoor Recreation_Acquisition, Development and Planning (B)	X	
15.918 Disposal of Federal Surplus Real Property for Parks, Recreation, and Historic Monuments (H)		X
15.921 Rivers, Trails and Conservation Assistance (K)		X
15.922 Native American Graves Protection and Repatriation Act (B)	X	
15.923 National Center for Preservation Technology and Training (B)	X	
15.926 American Battlefield Protection (B)	X	
15.927 Hydropower Recreation Assistance (K)		X
15.928 Civil War Battlefield Land Acquisition Grants (B)	X	
15.929 Save America's Treasures (B)	X	
15.930 Chesapeake Bay Gateways Network (B)	X	
15.931 Conservation Activities by Youth Service Organizations (B)	X	
15.932 Preservation of Historic Structures on the Campuses of Historically Black Colleges and Universities (HBCUs). (B)	X	
15.933 Preservation of Japanese American Confinement Sites (B)	X	
15.934 Abandoned Mine Hazard Mitigation (B,C)	X	
15.935 National Trails System Projects (B)	X	

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Program Description	Financial	Non-Financial
15.936 Shenandoah Valley Battlefields National Historic District Battlefield Acquisition and Protection (C)	X	
15.937 Redwood National Park Cooperative Management with the State of California (B,H,I,K,L,M)	X	
15.938 Boston African-American National Historic Site Cooperative Agreement with the Museum of African American History (B,H,I,K,L,M)	X	
15.939 National Heritage Area Federal Financial Assistance (C)	X	
15.940 New Bedford Whaling National Historic Park Cooperative Management (C)	X	
15.941 Mississippi National River and Recreation Area State and Local Assistance (B)	X	
15.942 Environmental Education and Conservation - North Cascades Bioregion (C,J)	X	
15.943 Challenge Cost Share (C)	X	
15.944 Natural Resource Stewardship (B,C)	X	
15.945 Cooperative Research and Training Programs Resources of the National Park System (B,C,I,L,M)	X	
15.946 Cultural Resources Management (B,C)	X	
15.947 Boston Harbor Islands Partnership (B)	X	
15.948 National Fire Plan-Wildland Urban Interface Community Fire Assistance (B,I,J,K,L)	X	
15.949 National Fire Plan - Rural Fire Assistance (B,I,J,K,L,M)	X	
15.954 National Park Service Conservation, Protection, Outreach, and Education (B)	X	
15.955 Martin Luther King Junior National Historic Site and Preservation District (B,K)	X	
15.956 Ebey's Landing National Historical Reserve and Ebey's Landing National Historical Reserve Trust Board (C,J)	X	
15.957 Historic Preservation Fund Grants to Provide Disaster Relief to Historic Properties Damaged by Hurricane Sandy (A,B)	X	
OFFICE OF INSULAR AFFAIRS		
15.875 Economic, Social, and Political Development of the Territories (A,B,D)	X	
OFFICE OF NATURAL RESOURCES REVENUE		
15.427 Federal Oil and Gas Royalty Management State and Tribal Coordination (B)	X	
15.429 State Select (C)	X	
15.430 8(g) State Coastal Zone (C)	X	
15.431 Alaska Settlement Agreement (D)	X	
15.432 California Refuge Account (D)	X	
15.433 Flood Control Act Lands (C)	X	
15.434 Geothermal Resources (C)	X	
15.435 GoMESA (C)	X	
15.436 Late Disbursement Interest (D)	X	
15.437 Minerals Leasing Act (C)	X	
15.438 National Forest Acquired Lands (C)	X	
15.439 National Petroleum Reserve - Alaska (C)	X	
15.440 South Half of the Red River (C)	X	
OFFICE OF SURFACE MINING		
15.250 Regulation of Surface Coal Mining and Surface Effects of Underground Coal Mining (B,C)	X	
15.252 Abandoned Mine Land Reclamation (AMLR) Program (A,B)	X	
15.253 Not-for-Profit AMD Reclamation (B)	X	
15.254 OSM/VISTA AmeriCorps Program (C)	X	
15.255 Science and Technology Projects Related to Coal Mining and Reclamation (B)	X	
THE BUREAU OF OCEAN ENERGY MANAGEMENT, REGULATION, AND ENFORCEMENT		
15.423 Bureau of Ocean Energy Management (BOEM) Environmental Studies Program (ESP) (B)	X	
15.424 Marine Minerals Activities - Hurricane Sandy (B)	X	
U.S. GEOLOGICAL SURVEY		
15.805 Assistance to State Water Resources Research Institutes (A,B)	X	
15.807 Earthquake Hazards Reduction Program (B)	X	
15.808 U.S. Geological Survey_ Research and Data Collection (B)	X	
15.809 National Spatial Data Infrastructure Cooperative Agreements Program (B)	X	
15.810 National Cooperative Geologic Mapping Program (B)	X	
15.811 Gap Analysis Program (B)	X	
15.812 Cooperative Research Units Program (B)	X	
15.814		

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Program Description	Financial	Non-Financial
National Geological and Geophysical Data Preservation Program (B)		
15.815 National Land Remote Sensing Education Outreach and Research (B)	X	
15.816 Minerals Resources External Research Program (B)	X	
15.817 National Geospatial Program: Building The National Map (B)	X	
15.818 Volcano Hazards Program Research and Monitoring (B)	X	
15.819 Energy Cooperatives to Support the National Coal Resources Data System (NCRDS) (B)	X	
15.820 National Climate Change and Wildlife Science Center (B)	X	
15.978 Upper Mississippi River System Long Term Resource Monitoring Program (B)	X	
DEPARTMENT OF JUSTICE		
16.818 Children Exposed to Violence (B)	X	
16.819 National Forum on Youth Violence Prevention (B)	X	
BUREAU OF JUSTICE ASSISTANCE		
16.015 Missing Alzheimer's Disease Patient Assistance Program (B)	X	
16.571 Public Safety Officers' Benefits Program (D)	X	
16.585 Drug Court Discretionary Grant Program (B)	X	
16.593 Residential Substance Abuse Treatment for State Prisoners (A)	X	
16.596 Correctional Grant Program for Indian Tribes (B)	X	
16.606 State Criminal Alien Assistance Program (C)	X	
16.607 Bulletproof Vest Partnership Program (C)	X	
16.608 Tribal Court Assistance Program (B)	X	
16.609 Project Safe Neighborhoods (B)	X	
16.610 Regional Information Sharing Systems (B)	X	
16.614 State and Local Anti-Terrorism Training (K,L,M)		X
16.615 Public Safety Officers' Educational Assistance (D)	X	
16.616 Indian Country Alcohol and Drug Prevention (B)	X	
16.738 Edward Byrne Memorial Justice Assistance Grant Program (A,B)	X	
16.745 Criminal and Juvenile Justice and Mental Health Collaboration Program (B)	X	
16.746 Capital Case Litigation (B)	X	
16.751 Edward Byrne Memorial Competitive Grant Program (B)	X	
16.753 Congressionally Recommended Awards (B)	X	
16.754 Harold Rogers Prescription Drug Monitoring Program (B)	X	
16.755 Southwest Border Prosecution Initiative Program (D)	X	
16.812 Second Chance Act Prisoner Reentry Initiative (B)	X	
16.814 Northern Border Prosecution Initiative Program (D)	X	
16.815 Tribal Civil and Criminal Legal Assistance Grants, Training and Technical Assistance (B)	X	
16.816 John R. Justice Prosecutors and Defenders Incentive Act (A)	X	
16.817 Byrne Criminal Justice Innovation Program (B)	X	
16.822 National Center for Campus Public Safety (B)	X	
16.824 Emergency Law Enforcement Assistance Grant (B)	X	
BUREAU OF JUSTICE STATISTICS		
16.550 State Justice Statistics Program for Statistical Analysis Centers (B)	X	
16.554 National Criminal History Improvement Program (NCHIP) (B)	X	
16.734 Special Data Collections and Statistical Studies (B)	X	
16.739 National Prison Rape Statistics Program (B)	X	
16.813 NICS Act Record Improvement Program (B)	X	
CRIMINAL DIVISION		
16.111 Joint Law Enforcement Operations (JLEO) (B)	X	
16.922 Equitable Sharing Program (C)	X	
NATIONAL INSTITUTE OF JUSTICE		
16.560 National Institute of Justice Research, Evaluation, and Development Project Grants (B,L)	X	
16.566 National Institute of Justice W.E.B. DuBois Fellowship Program (B)	X	
16.741 DNA Backlog Reduction Program (A,B)	X	
16.742 Paul Coverdell Forensic Sciences Improvement Grant Program (A)	X	

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Program Description	Financial	Non-Financial
16.820 Postconviction DNA Testing Program (B)	X	
OFFICE FOR VICTIMS OF CRIME		
16.320 Services for Trafficking Victims (B,C)	X	
16.321 Antiterrorism Emergency Reserve (B)	X	
16.575 Crime Victim Assistance (A)	X	
16.576 Crime Victim Compensation (A)	X	
16.582 Crime Victim Assistance/Discretionary Grants (B,C)	X	
16.583 Children's Justice Act Partnerships for Indian Communities (B,C)	X	
OFFICE OF COMMUNITY ORIENTED POLICING SERVICES		
16.710 Public Safety Partnership and Community Policing Grants (B)	X	
OFFICE OF JUVENILE JUSTICE AND DELINQUENCY PREVENTION		
16.523 Juvenile Accountability Block Grants (A,B)	X	
16.540 Juvenile Justice and Delinquency Prevention_Allocation to States (A,B)	X	
16.541 Part E - Developing, Testing and Demonstrating Promising New Programs (B,J)	X	
16.543 Missing Children's Assistance (B)	X	
16.544 Youth Gang Prevention (B)	X	
16.548 Title V_Delinquency Prevention Program (A)	X	
16.726 Juvenile Mentoring Program (B)	X	
16.727 Enforcing Underage Drinking Laws Program (B)	X	
16.730 Reduction and Prevention of Children's Exposure to Violence (B)	X	
16.731 Tribal Youth Program (B)	X	
16.756 Court Appointed Special Advocates (B)	X	
16.757 Judicial Training on Child Maltreatment for Court Personnel Juvenile Justice Programs (B)	X	
16.758 Improving the Investigation and Prosecution of Child Abuse and the Regional and Local Children's Advocacy Centers (B)	X	
16.823 Emergency Planning for Juvenile Justice Facilities (B)	X	
OFFICE OF SEX OFFENDER SENTENCING, MONITORING, APPREHENDING, REGISTERING, AND TRACKING		
16.203 Promoting Evidence Integration in Sex Offender Management Discretionary Grant Program (B,M)	X	
16.750 Support for Adam Walsh Act Implementation Grant Program (B)	X	
DEPARTMENT OF LABOR		
EMPLOYMENT TRAINING ADMINISTRATION		
17.201 Registered Apprenticeship (B,L,M)	X	
17.207 Employment Service/Wagner-Peyser Funded Activities (A,B)	X	
17.225 Unemployment Insurance (A,D)	X	
17.235 Senior Community Service Employment Program (A,B)	X	
17.245 Trade Adjustment Assistance (A)	X	
17.258 WIA Adult Program (A)	X	
17.259 WIA Youth Activities (A)	X	
17.261 WIA Pilots, Demonstrations, and Research Projects (B)	X	
17.264 National Farmworker Jobs Program (A)	X	
17.265 Native American Employment and Training (A)	X	
17.267 Incentive Grants - WIA Section 503 (B)	X	
17.268 H-1B Job Training Grants (B)	X	
17.270 Reintegration of Ex-Offenders (B)	X	
17.271 Work Opportunity Tax Credit Program (WOTC) (A)	X	
17.272 Permanent Labor Certification for Foreign Workers (J)		X
17.273 Temporary Labor Certification for Foreign Workers (A,J,O)	X	
17.274 Youthbuild (B)	X	
17.275 Program of Competitive Grants for Worker Training and Placement in High Growth and Emerging Industry Sectors (B)	X	
17.276 Health Care Tax Credit (HCTC) National Emergency Grants (NEGs) (B)	X	
17.277 Workforce Investment Act (WIA) National Emergency Grants (B)	X	
17.278 WIA Dislocated Worker Formula Grants (A)	X	

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Program Description	Financial	Non-Financial
17.279 Green Jobs Innovation Fund Grants (B)	X	
17.280 Workforce Investment Act (WIA) Dislocated Worker National Reserve Demonstration Grants (B)	X	
17.281 Workforce Investment Act (WIA) Dislocated Worker National Reserve Technical Assistance and Training (B)	X	
17.282 Trade Adjustment Assistance Community College and Career Training (TAACCCT) Grants (B)	X	
17.283 Workforce Innovation Fund (B)	X	
17.284 Hurricane Sandy Disaster Relief Appropriations Act Supplemental - National Emergency Grants (NEGs) (B)	X	
MINE SAFETY AND HEALTH ADMINISTRATION		
17.600 Mine Health and Safety Grants (B)	X	
17.601 Mine Health and Safety Counseling and Technical Assistance (K,L)		X
17.602 Mine Health and Safety Education and Training (M)		X
17.603 Brookwood-Sago Grant (B)	X	
OCCUPATIONAL SAFETY AND HEALTH ADMINISTRATION		
17.502 Occupational Safety and Health_Susan Harwood Training Grants (B)	X	
17.503 Occupational Safety and Health_State Program (B)	X	
17.504 Consultation Agreements (B)	X	
17.505 OSHA Data Initiative (B)	X	
17.506 Disaster Relief Appropriations Act, Susan Harwood Training Grants (B)	X	
OFFICE OF DISABILITY EMPLOYMENT POLICY		
17.720 Disability Employment Policy Development (B)	X	
OFFICE OF LABOR-MANAGEMENT STANDARDS		
17.309 Labor Organization Reports (K,L,N)		X
OFFICE OF WORKERS' COMPENSATION PROGRAMS		
17.302 Longshore and Harbor Workers' Compensation (D)	X	
17.307 Coal Mine Workers' Compensation (D)	X	
17.310 Energy Employees Occupational Illness Compensation (D)	X	
DEPARTMENT OF STATE		
19.123 EUR/ACE Humanitarian Assistance Program (B)	X	
19.700 General Department of State Assistance (B)	X	
BUREAU OF CONFLICT AND STABILIZATION OPERATIONS		
19.121 Conflict and Stabilization Operations (B)	X	
BUREAU OF EDUCATIONAL AND CULTURAL AFFAIRS		
19.009 Academic Exchange Programs - Undergraduate Programs (B)	X	
19.010 Academic Exchange Programs - Hubert H. Humphrey Fellowship Program (B)	X	
19.011 Academic Exchange Programs - Special Academic Exchange Programs (B)	X	
19.012 Professional and Cultural Exchange Programs - Special Professional and Cultural Programs (B)	X	
19.014 One-Time International Exchange Grant Program (B)	X	
19.015 Cultural, Technical and Educational Centers (B)	X	
19.022 Educational and Cultural Exchange Programs Appropriation Overseas Grants (B)	X	
19.400 Academic Exchange Programs - Graduate Students (B)	X	
19.401 Academic Exchange Programs - Scholars (B)	X	
19.402 Professional and Cultural Exchange Programs - International Visitor Leadership Program (B)	X	
19.408 Academic Exchange Programs - Teachers (B)	X	
19.415 Professional and Cultural Exchange Programs - Citizen Exchanges (B)	X	
19.421 Academic Exchange Programs - English Language Programs (B)	X	
19.432 Academic Exchange Programs - Educational Advising and Student Services (B)	X	
19.450 ECA Individual Grants (B)	X	
BUREAU OF INTERNATIONAL SECURITY AND NONPROLIFERATION		
19.224 Nonproliferation and Disarmament Fund (B)	X	
BUREAU OF POPULATION, REFUGEES, AND MIGRATION		

AGENCY PROGRAM INDEX

Program Description	Financial	Non-Financial
19.018 Resettlement Support Centers (RSCs) for U.S. Refugee Resettlement (B)	X	
POLITICAL MILITARY AFFAIRS/ WEAPONS REMOVAL AND ABATEMENT		
19.800 Weapons Removal and Abatement (B)	X	
SECRETARY OFFICE REPRESENTATIVE TO MUSLIM COMMUNITIES		
19.032 Global Engagement (B)	X	
UNDER SECRETARY FOR PUBLIC DIPLOMACY AND PUBLIC AFFAIRS		
19.040 Public Diplomacy Programs (B)	X	
DEPARTMENT OF TRANSPORTATION		
FEDERAL AVIATION ADMINISTRATION (FAA)		
20.106 Airport Improvement Program (B,K)	X	
20.108 Aviation Research Grants (B,I)	X	
20.109 Air Transportation Centers of Excellence (B,I,J)	X	
FEDERAL HIGHWAY ADMINISTRATION (FHWA)		
20.200 Highway Research and Development Program (B)	X	
20.205 Highway Planning and Construction (A,B)	X	
20.215 Highway Training and Education (B,M)	X	
20.219 Recreational Trails Program (A)	X	
20.223 Transportation Infrastructure Finance and Innovation Act (TIFIA) Program (E,F)	X	
20.240 Fuel Tax Evasion-Intergovernmental Enforcement Effort (B)	X	
FEDERAL MOTOR CARRIER SAFETY ADMINISTRATION (FMCSA)		
20.218 National Motor Carrier Safety (A)	X	
20.231 Performance and Registration Information Systems Management (B)	X	
20.232 Commercial Driver's License Program Improvement Grant (B)	X	
20.233 Border Enforcement Grants (B)	X	
20.234 Safety Data Improvement Program (B)	X	
20.235 Commercial Motor Vehicle Operator Training Grants (B)	X	
20.237 Commercial Vehicle Information Systems and Networks (B)	X	
20.239 Motor Carrier Research and Technology Programs (B)	X	
FEDERAL RAILROAD ADMINISTRATION (FRA)		
20.301 Railroad Safety (B)	X	
20.313 Railroad Research and Development (B)	X	
20.315 National Railroad Passenger Corporation Grants (B)	X	
20.316 Railroad Rehabilitation and Improvement Financing Program (E,F)	X	
20.317 Capital Assistance to States - Intercity Passenger Rail Service (B)	X	
20.319 High-Speed Rail Corridors and Intercity Passenger Rail Service Capital Assistance Grants (B)	X	
20.320 Rail Line Relocation and Improvement (B)	X	
20.321 Railroad Safety Technology Grants (B)	X	
20.323 Fiscal Year 2013 Hurricane Sandy Disaster Relief Grants to the National Railroad Passenger Corporation (B)	X	
FEDERAL TRANSIT ADMINISTRATION (FTA)		
20.500 Federal Transit_Capital Investment Grants (A,B)	X	
20.505 Metropolitan Transportation Planning (A)	X	
20.507 Federal Transit_Formula Grants (A)	X	
20.509 Formula Grants for Rural Areas (A)	X	
20.513 Enhanced Mobility of Seniors and Individuals with Disabilities (A)	X	
20.514 Public Transportation Research (B,L,M)	X	
20.515 State Planning and Research (A)	X	
20.516 Job Access And Reverse Commute Program (B)	X	
20.518 Capital and Training Assistance Program for Over-the-Road Bus Accessibility (B)	X	
20.519 Clean Fuels (B)	X	
20.520 Paul S. Sarbanes Transit in the Parks (B)	X	

AGENCY PROGRAM INDEX

Program Description	Financial	Non-Financial
20.521 New Freedom Program (A)	X	
20.522 Alternatives Analysis (B)	X	
20.524 Passenger Rail Investment and Improvement (PRIIA) Projects for Washington Metropolitan Area Transit Authority (WMATA) (B)	X	
20.525 State of Good Repair Grants Program (A)	X	
20.526 Bus and Bus Facilities Formula Program (A)	X	
20.527 Public Transportation Emergency Relief Program (B)	X	
20.528 Rail Fixed Guideway Public Transportation System State Safety Oversight Formula Grant Program (A)	X	
MARITIME ADMINISTRATION (MARAD)		
20.802 Federal Ship Financing Guarantees (F)	X	
20.803 Maritime War Risk Insurance (G)	X	
20.806 State Maritime Schools (C,I,M)	X	
20.807 U.S. Merchant Marine Academy (M)		X
20.808 Capital Construction Fund (J)		X
20.812 Construction Reserve Fund (J)		X
20.813 Maritime Security Fleet Program (C)	X	
20.814 Assistance to Small Shipyards (B)	X	
20.817 Air Emissions and Energy Initiative (B)	X	
20.818 Great Ships Initiative (B)	X	
20.819 Ballast Water Treatment Technologies (B)	X	
NATIONAL HIGHWAY TRAFFIC SAFETY ADMINISTRATION (NHTSA)		
20.600 State and Community Highway Safety (A)	X	
20.601 Alcohol Impaired Driving Countermeasures Incentive Grants I (B)	X	
20.602 Occupant Protection Incentive Grants (B)	X	
20.607 Alcohol Open Container Requirements (B)	X	
20.608 Minimum Penalties for Repeat Offenders for Driving While Intoxicated (B)	X	
20.609 Safety Belt Performance Grants (B)	X	
20.610 State Traffic Safety Information System Improvement Grants (B)	X	
20.611 Incentive Grant Program to Prohibit Racial Profiling (A)	X	
20.612 Incentive Grant Program to Increase Motorcyclist Safety (A)	X	
20.613 Child Safety and Child Booster Seats Incentive Grants (B)	X	
20.614 National Highway Traffic Safety Administration (NHTSA) Discretionary Safety Grants (B)	X	
20.616 National Priority Safety Programs (A)	X	
OFFICE OF THE SECRETARY (OST) ADMINISTRATION SECRETARIATE		
20.901 Payments for Essential Air Services (C)	X	
20.904 Bonding Assistance Program (M)		X
20.905 Disadvantaged Business Enterprises_Short Term Lending Program (F)	X	
20.910 Assistance to small and disadvantaged businesses (B)	X	
20.930 Payments for Small Community Air Service Development (B)	X	
20.932 Surface Transportation _ Discretionary Grants for Capital Investment (B)	X	
20.933 National Infrastructure Investments (B)	X	
PIPELINE AND HAZARDOUS MATERIALS SAFETY ADMINISTRATION		
20.700 Pipeline Safety Program State Base Grant (A)	X	
20.703 Interagency Hazardous Materials Public Sector Training and Planning Grants (B)	X	
20.710 Technical Assistance Grants (B)	X	
20.720 State Damage Prevention Program Grants (B)	X	
20.721 PHMSA Pipeline Safety Program One Call Grant (B)	X	
20.723 PHMSA Pipeline Safety Research and Development Other Transaction Agreements (B)	X	
20.724 Pipeline Safety Research Competitive Academic Agreement Program (CAAP) (B)	X	
RESEARCH AND INNOVATIVE TECHNOLOGY ADMINISTRATION		
20.701 University Transportation Centers Program (B)	X	
20.761 Biobased Transportation Research (B)	X	
20.762 Research Grants (B)	X	

AGENCY PROGRAM INDEX

Program Description	Financial	Non-Financial
20.764 Hydrogen Storage Research and Development (B)	X	
20.931 Transportation Planning, Research and Education (B)	X	
DEPARTMENT OF THE TREASURY		
21.009 Volunteer Income Tax Assistance (VITA) Matching Grant Program (B)	X	
COMMUNITY DEVELOPMENT FINANCIAL INSTITUTIONS FUND		
21.010 Financial Education and Counseling Pilot Program (B)	X	
21.011 Capital Magnet Fund (B)	X	
21.012 Native Initiatives (B)	X	
21.014 Community Development Financial Institutions Bond Guarantee Program (F)	X	
21.020 Community Development Financial Institutions Program (B)	X	
21.021 Bank Enterprise Award Program (B)	X	
DEPARTMENT OF THE TREASURY		
21.015 Resources and Ecosystems Sustainability, Tourist Opportunities, and Revived Economies of the Gulf Coast States (A)	X	
INTERNAL REVENUE SERVICE (IRS)		
21.004 Exchange of Federal Tax Information With State Tax Agencies (J)		X
21.006 Tax Counseling for the Elderly (B)	X	
21.008 Low Income Taxpayer Clinics (B)	X	
EQUAL EMPLOYMENT OPPORTUNITY COMMISSION		
30.001 Employment Discrimination_Title VII of the Civil Rights Act of 1964 (K,N,O)		X
30.005 Employment Discrimination_Private Bar Program (J)		X
30.008 Employment Discrimination_Age Discrimination in Employment (K,N,O)		X
30.010 Employment Discrimination Equal Pay Act (K,N,O)		X
30.011 Employment Discrimination_Title I of The Americans with Disabilities Act (K,N,O)		X
30.013 Employment Discrimination-Title II of the Genetic Information Nondiscrimination Act of 2008 (K,N,O)		X
FEDERAL MARITIME COMMISSION		
33.001 Shipping_Dispute Resolution and Investigation of Complaints (N)		
FEDERAL MEDIATION AND CONCILIATION SERVICE		
34.002 Labor Management Cooperation (B)	X	
GENERAL SERVICES ADMINISTRATION		
39.002 Disposal of Federal Surplus Real Property (H)		X
39.003 Donation of Federal Surplus Personal Property (H)		X
39.007 Sale of Federal Surplus Personal Property (H)		X
NATIONAL CREDIT UNION ADMINISTRATION		
44.002 Community Development Revolving Loan Fund Program for Credit Unions (B,E)	X	
FEDERAL COUNCIL ON THE ARTS AND THE HUMANITIES		
45.201 Arts and Artifacts Indemnity (G)	X	
INSTITUTE OF MUSEUM AND LIBRARY SERVICES		
45.301 Museums for America (B,C)	X	
45.308 Native American/Native Hawaiian Museum Services Program (B,C)	X	
45.309 Museum Grants for African American History and Culture (B,C)	X	
45.310 Grants to States (A)	X	
45.311 Native American and Native Hawaiian Library Services (B,C)	X	
45.312 National Leadership Grants (B,C)	X	
45.313 Laura Bush 21st Century Librarian Program (B,C)	X	
NATIONAL ENDOWMENT FOR THE ARTS		
45.024		

AGENCY PROGRAM INDEX

Program Description	Financial	Non-Financial
Promotion of the Arts_Grants to Organizations and Individuals (B)		
45.025 Promotion of the Arts_Partnership Agreements (B,K)	X	
NATIONAL ENDOWMENT FOR THE HUMANITIES		
45.129 Promotion of the Humanities_Federal/State Partnership (A)	X	
45.130 Promotion of the Humanities_Challenge Grants (B)	X	
45.149 Promotion of the Humanities_Division of Preservation and Access (B)	X	
45.160 Promotion of the Humanities_Fellowships and Stipends (B)	X	
45.161 Promotion of the Humanities_Research (B)	X	
45.164 Promotion of the Humanities_Public Programs (B)	X	
NATIONAL SCIENCE FOUNDATION		
47.050 Geosciences (B)	X	
47.070 Computer and Information Science and Engineering (B)	X	
47.078 Polar Programs (B)	X	
47.079 Office of International and Integrative Activities (B)	X	
47.080 Office of Cyberinfrastructure (B)	X	
47.081 Office of Experimental Program to Stimulate Competitive Research (B)	X	
RAILROAD RETIREMENT BOARD		
57.001 Social Insurance for Railroad Workers (D)	X	
57.005 Economic Recovery Payments (D)	X	
SMALL BUSINESS ADMINISTRATION		
59.063 Disaster Assistance Loans (Disaster Relief Appropriations Act) (E)	X	
59.064 Entrepreneurial Development Disaster Assistance (Disaster Relief Appropriations Act) (B,J,K,L)	X	
DEPARTMENT OF VETERANS AFFAIRS		
64.037 VA U.S. Paralympics Monthly Assistance Allowance Program (D)	X	
64.038 Grants for the Rural Veterans Coordination Pilot (B)	X	
VA HEALTH ADMINISTRATION CENTER		
64.035 Veterans Transportation Program (B)	X	
ENVIRONMENTAL PROTECTION AGENCY		
OFFICE OF ADMINISTRATION AND RESOURCES MANAGEMENT		
66.508 Senior Environmental Employment Program (B)	X	
66.518 State Senior Environmental Employment Program (B)	X	
OFFICE OF AIR AND RADIATION		
66.001 Air Pollution Control Program Support (B)	X	
66.032 State Indoor Radon Grants (B)	X	
66.033 Ozone Transport Commission (B)	X	
66.034 Surveys, Studies, Research, Investigations, Demonstrations, and Special Purpose Activities Relating to the Clean Air Act (B)	X	
66.037 Internships, Training and Workshops for the Office of Air and Radiation (B)	X	
66.038 Training, Investigations, and Special Purpose Activities of Federally-Recognized Indian Tribes Consistent With the Clean Air Act (CAA), Tribal Sovereignty and the Protection and Management of Air Quality (B)	X	
66.039 National Clean Diesel Emissions Reduction Program (B)	X	
66.040 State Clean Diesel Grant Program (A)	X	
66.042 Temporally Integrated Monitoring of Ecosystems (TIME) and Long-Term Monitoring (LTM) Program (B)	X	
66.043 Regional Healthy Indoor Air Projects for Community Outreach and Education, Surveys, Studies, Research, Investigations, Demonstrations, and Special Purpose Activities Relating to the Clean Air Act (B)	X	
OFFICE OF CHEMICAL SAFETY AND POLLUTION PREVENTION		
66.707 TSCA Title IV State Lead Grants Certification of Lead-Based Paint Professionals (B)	X	
66.708 Pollution Prevention Grants Program (B)	X	
66.714 Regional Agricultural IPM Grants (B)	X	

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Program Description	Financial	Non-Financial
66.716 Research, Development, Monitoring, Public Education, Training, Demonstrations, and Studies (B)	X	
66.717 Source Reduction Assistance (B)	X	
OFFICE OF ENFORCEMENT AND COMPLIANCE ASSURANCE		
66.305 Compliance Assistance Support for Services to the Regulated Community and Other Assistance Providers (B)	X	
66.309 Surveys, Studies, Investigations, Training and Special Purpose Activities Relating to Environmental Justice (B)	X	
66.310 Capacity Building Grants and Cooperative Agreements for Compliance Assurance and Enforcement Activities in Indian Country and Other Tribal Areas (B)	X	
66.313 International Compliance and Enforcement Projects (B)	X	
66.604 Environmental Justice Small Grant Program (B)	X	
66.700 Consolidated Pesticide Enforcement Cooperative Agreements (B)	X	
66.701 Toxic Substances Compliance Monitoring Cooperative Agreements (B)	X	
OFFICE OF ENVIRONMENTAL INFORMATION		
66.608 Environmental Information Exchange Network Grant Program and Related Assistance (B)	X	
66.612 Surveys, Studies, Investigations, Training Demonstrations and Educational Outreach Related to Environmental Information and the Release of Toxic Chemicals (B)	X	
OFFICE OF ENVIRONMENTAL JUSTICE		
66.306 Environmental Justice Collaborative Problem-Solving Cooperative Agreement Program (B)	X	
OFFICE OF INTERNATIONAL AND TRIBAL AFFAIRS		
66.473 Direct Implementation Tribal Cooperative Agreements (B)	X	
66.926 Indian Environmental General Assistance Program (GAP) (B)	X	
66.931 International Financial Assistance Projects Sponsored by the Office of International and Tribal Affairs (B)	X	
OFFICE OF RESEARCH AND DEVELOPMENT (ORD)		
66.509 Science To Achieve Results (STAR) Research Program (B)	X	
66.510 Surveys, Studies, Investigations and Special Purpose Grants within the Office of Research and Development (B)	X	
66.511 Office of Research and Development Consolidated Research/Training/Fellowships (B)	X	
66.513 Greater Research Opportunities (GRO) Fellowships For Undergraduate Environmental Study (B)	X	
66.514 Science To Achieve Results (STAR) Fellowship Program (B)	X	
66.516 P3 Award: National Student Design Competition for Sustainability (B)	X	
66.517 Regional Applied Research Efforts (RARE) (B)	X	
OFFICE OF SOLID WASTE AND EMERGENCY RESPONSE		
66.801 Hazardous Waste Management State Program Support (A)	X	
66.802 Superfund State, Political Subdivision, and Indian Tribe Site-Specific Cooperative Agreements (B)	X	
66.804 Underground Storage Tank Prevention, Detection and Compliance Program (A)	X	
66.805 Leaking Underground Storage Tank Trust Fund Corrective Action Program (A)	X	
66.806 Superfund Technical Assistance Grants (TAG) for Community Groups at National Priority List (NPL) Sites (B)	X	
66.808 Solid Waste Management Assistance Grants (B)	X	
66.809 Superfund State and Indian Tribe Core Program Cooperative Agreements (B)	X	
66.810 Chemical Emergency Preparedness and Prevention (CEPP) Technical Assistance Grants Program (B)	X	
66.812 Hazardous Waste Management Grant Program for Tribes (B)	X	
66.813 Alternative or Innovative Treatment Technology Research, Demonstration, Training, and Hazardous Substance Research Grants (B)	X	
66.814 Brownfields Training, Research, and Technical Assistance Grants and Cooperative Agreements (B)	X	
66.815 Environmental Workforce Development and Job Training Cooperative Agreements (B)	X	
66.816 Headquarters and Regional Underground Storage Tanks Program (B)	X	
66.817 State and Tribal Response Program Grants (A)	X	
66.818 Brownfields Assessment and Cleanup Cooperative Agreements (B)	X	
66.819 Disaster Relief Appropriations Act (DRAA) Hurricane Sandy Leaking Underground Storage Tank Trust Fund Corrective Action Program (A)	X	
OFFICE OF THE ADMINISTRATOR		
66.605 Performance Partnership Grants (A,B)	X	
66.609 Protection of Children from Environmental Health Risks (B)	X	
66.610 Surveys, Studies, Investigations and Special Purpose Grants within the Office of the Administrator (B)	X	

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Program Description	Financial	Non-Financial
66.611 Environmental Policy and Innovation Grants (B)	X	
66.950 National Environmental Education Training Program (B)	X	
66.951 Environmental Education Grants (B)	X	
66.952 National Network for Environmental Management Studies Fellowship Program (B)	X	
OFFICE OF THE CHIEF FINANCIAL OFFICER		
66.202 Congressionally Mandated Projects (B)	X	
66.203 Environmental Finance Center Grants (B)	X	
OFFICE OF WATER		
66.035 Community Action for a Renewed Environment (CARE) Program (B)	X	
66.418 Construction Grants for Wastewater Treatment Works (B)	X	
66.419 Water Pollution Control State, Interstate, and Tribal Program Support (A)	X	
66.424 Surveys, Studies, Investigations, Demonstrations, and Training Grants - Section 1442 of the Safe Drinking Water Act (B)	X	
66.432 State Public Water System Supervision (A)	X	
66.433 State Underground Water Source Protection (A)	X	
66.436 Surveys, Studies, Investigations, Demonstrations, and Training Grants and Cooperative Agreements - Section 104(b)(3) of the Clean Water Act (B)	X	
66.437 Long Island Sound Program (B)	X	
66.439 Targeted Watersheds Grants (B)	X	
66.440 Urban Waters Small Grants (B)	X	
66.454 Water Quality Management Planning (A)	X	
66.456 National Estuary Program (B)	X	
66.458 Capitalization Grants for Clean Water State Revolving Funds (A)	X	
66.460 Nonpoint Source Implementation Grants (A)	X	
66.461 Regional Wetland Program Development Grants (B)	X	
66.462 National Wetland Program Development Grants and Five-Star Restoration Training Grant (B)	X	
66.463 Water Quality Cooperative Agreements (B)	X	
66.466 Chesapeake Bay Program (B)	X	
66.467 Wastewater Operator Training Grant Program (B)	X	
66.468 Capitalization Grants for Drinking Water State Revolving Funds (A)	X	
66.469 Great Lakes Program (B,I,L)	X	
66.471 State Grants to Reimburse Operators of Small Water Systems for Training and Certification Costs (A)	X	
66.472 Beach Monitoring and Notification Program Implementation Grants (A)	X	
66.474 Water Protection Grants to the States (A)	X	
66.475 Gulf of Mexico Program (B)	X	
66.478 Water Security Training and Technical Assistance and Water Security Initiative Contamination Warning System Pilots (B)	X	
66.481 Lake Champlain Basin Program (B)	X	
66.482 Disaster Relief Appropriations Act (DRAA) Hurricane Sandy Capitalization Grants For Clean Water State Revolving Funds (A)	X	
66.483 Disaster Relief Appropriations Act (DRAA) Hurricane Sandy Capitalization Grants for Drinking Water State Revolving Funds (A)	X	
REGION 1		
66.110 Healthy Communities Grant Program (B)	X	
REGION 10		
66.121 Puget Sound Protection and Restoration: Tribal Implementation Assistance Program (B)	X	
66.122 Puget Sound Action Agenda Outreach, Education and Stewardship Support Program (B)	X	
66.123 Puget Sound Action Agenda: Technical Investigations and Implementation Assistance Program (B)	X	
REGION 4		
66.128 Southeastern Multi-Media and Geographic Priority Projects (B)	X	
REGION 6		
66.124 Coastal Wetlands Planning Protection and Restoration Act (B)	X	
66.125 Lake Pontchartrain Basin Restoration Program (PRP) (B)	X	

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Program Description	Financial	Non-Financial
REGION 9		
66.126 The San Francisco Bay Water Quality Improvement Fund (B)	X	
66.600 Environmental Protection Consolidated Grants for the Insular Areas - Program Support (A)	X	
NUCLEAR REGULATORY COMMISSION		
77.006 U. S. Nuclear Regulatory Commission Nuclear Education Grant Program (B)	X	
77.008 U.S. Nuclear Regulatory Commission Scholarship and Fellowship Program (B)	X	
77.009 U.S. Nuclear Regulatory Commission Office of Research Financial Assistance Program (B)	X	
DEPARTMENT OF ENERGY		
81.003 Granting of Patent Licenses (L)		X
81.022 Laboratory Equipment Donation Program (B)	X	
81.036 Inventions and Innovations (B,K,L)	X	
81.041 State Energy Program (A,B,L)	X	
81.042 Weatherization Assistance for Low-Income Persons (A,B)	X	
81.049 Office of Science Financial Assistance Program (B)	X	
81.057 University Coal Research (B)	X	
81.064 Office of Scientific and Technical Information (L)		X
81.079 Regional Biomass Energy Programs (B)	X	
81.086 Conservation Research and Development (B)	X	
81.087 Renewable Energy Research and Development (B)	X	
81.089 Fossil Energy Research and Development (B)	X	
81.104 Environmental Remediation and Waste Processing and Disposal (B)	X	
81.106 Transport of Transuranic Wastes to the Waste Isolation Pilot Plant: States and Tribal Concerns, Proposed Solutions (B)	X	
81.108 Epidemiology and Other Health Studies Financial Assistance Program (B)	X	
81.112 Stewardship Science Grant Program (B)	X	
81.113 Defense Nuclear Nonproliferation Research (B)	X	
81.117 Energy Efficiency and Renewable Energy Information Dissemination, Outreach, Training and Technical Analysis/Assistance (B)	X	
81.119 State Energy Program Special Projects (B)	X	
81.121 Nuclear Energy Research, Development and Demonstration (B)	X	
81.122 Electricity Delivery and Energy Reliability, Research, Development and Analysis (B)	X	
81.124 Predictive Science Academic Alliance Program (B)	X	
81.127 Energy Efficient Appliance Rebate Program (EEARP) (A)	X	
81.128 Energy Efficiency and Conservation Block Grant Program (EECBG) (A,B)	X	
81.129 Energy Efficiency and Renewable Energy Technology Deployment, Demonstration and Commercialization (B)	X	
81.135 Advanced Research Projects Agency - Energy (B)	X	
81.137 Minority Economic Impact (B)	X	
81.138 State Heating Oil and Propane Program (B)	X	
81.139 Environmental Management R&D for HEPA Filters, High-Level Tank Waste Mixing & Sampling (B)	X	
81.140 Los Alamos National Laboratory - Fire Protection (B)	X	
81.214 Environmental Monitoring/Cleanup, Cultural and Resource Mgmt., Emergency Response Research, Outreach, Technical Analysis (B)	X	
DEPARTMENT OF EDUCATION		
84.379 Teacher Education Assistance for College and Higher Education Grants (TEACH Grants) (C)	X	
84.408 Postsecondary Education Scholarships for Veteran's Dependents (C)	X	
84.412 Race to the Top Early Learning Challenge (B)	X	
84.417 Directed Grants and Awards (C)	X	
84.418 Promoting Readiness of Minors in Supplemental Security Income (B)	X	
INSTITUTE OF EDUCATION SCIENCES		
84.305 Education Research, Development and Dissemination (B)	X	
84.324 Research in Special Education (B)	X	
84.329 Special Education Studies and Evaluations (B)	X	
84.372 Statewide Data Systems (B)	X	

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Program Description	Financial	Non-Financial
OFFICE OF EDUCATIONAL RESEARCH AND IMPROVEMENT		
84.287 Twenty-First Century Community Learning Centers (A)	X	
OFFICE OF ELEMENTARY AND SECONDARY EDUCATION		
84.004 Civil Rights Training and Advisory Services (B)	X	
84.010 Title I Grants to Local Educational Agencies (A)	X	
84.011 Migrant Education_State Grant Program (A)	X	
84.013 Title I State Agency Program for Neglected and Delinquent Children and Youth (A)	X	
84.040 Impact Aid_Facilities Maintenance (B)	X	
84.041 Impact Aid (A,B)	X	
84.060 Indian Education_Grants to Local Educational Agencies (A)	X	
84.141 Migrant Education_High School Equivalency Program (B)	X	
84.144 Migrant Education_Coordination Program (B)	X	
84.149 Migrant Education_College Assistance Migrant Program (B)	X	
84.184 Safe and Drug-Free Schools and Communities_National Programs (B)	X	
84.196 Education for Homeless Children and Youth (A)	X	
84.256 Territories and Freely Associated States Education Grant Program (B)	X	
84.283 Comprehensive Centers (B)	X	
84.299 Indian Education -- Special Programs for Indian Children (B)	X	
84.330 Advanced Placement Program (Advanced Placement Test Fee; Advanced Placement Incentive Program Grants) (B)	X	
84.356 Alaska Native Educational Programs (B)	X	
84.358 Rural Education (A)	X	
84.360 High School Graduation Initiative (B)	X	
84.362 Native Hawaiian Education (B)	X	
84.365 English Language Acquisition State Grants (A)	X	
84.366 Mathematics and Science Partnerships (A)	X	
84.367 Improving Teacher Quality State Grants (A)	X	
84.368 Grants for Enhanced Assessment Instruments (B)	X	
84.369 Grants for State Assessments and Related Activities (A)	X	
84.371 Striving Readers (A,B)	X	
84.374 Teacher Incentive Fund (B)	X	
84.377 School Improvement Grants (A)	X	
84.403 Consolidated Grant to the Outlying Areas (A)	X	
84.415 State Tribal Education Partnership (STEP) (B)	X	
84.416 Race to the Top - District Grants (B)	X	
OFFICE OF HUMAN RESOURCES AND ADMINISTRATION		
84.145 Federal Real Property Assistance Program (H)		X
OFFICE OF INNOVATION AND IMPROVEMENT		
84.165 Magnet Schools Assistance (B)	X	
84.215 Fund for the Improvement of Education (B)	X	
84.282 Charter Schools (B)	X	
84.295 Ready-To-Learn Television (B)	X	
84.336 Teacher Quality Partnership Grants (B)	X	
84.350 Transition to Teaching (B)	X	
84.351 Arts in Education (B)	X	
84.354 Credit Enhancement for Charter School Facilities (B)	X	
84.363 School Leadership (B)	X	
84.370 DC School Choice Incentive Program (B)	X	
84.411 Investing in Innovation (i3) Fund (B)	X	
OFFICE OF POSTSECONDARY EDUCATION		
84.015 National Resource Centers Program for Foreign Language and Area Studies or Foreign Language and International Studies Program and Foreign Language and Area Studies Fellowship Program (B)	X	
84.016 Undergraduate International Studies and Foreign Language Programs (B)	X	
84.017 International Research and Studies (B)	X	
84.018 Overseas Programs_Special Bilateral Projects (B)	X	

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Program Description	Financial	Non-Financial
84.021 Overseas Programs - Group Projects Abroad (B)	X	
84.022 Overseas Programs - Doctoral Dissertation Research Abroad (B)	X	
84.031 Higher Education_Institutional Aid (B)	X	
84.042 TRIO_Student Support Services (B)	X	
84.044 TRIO_Talent Search (B)	X	
84.047 TRIO_Upward Bound (B)	X	
84.066 TRIO_Educational Opportunity Centers (B)	X	
84.103 TRIO Staff Training Program (B)	X	
84.116 Fund for the Improvement of Postsecondary Education (B)	X	
84.120 Minority Science and Engineering Improvement (B)	X	
84.200 Graduate Assistance in Areas of National Need (B)	X	
84.217 TRIO_McNair Post-Baccalaureate Achievement (B)	X	
84.220 Centers for International Business Education (B)	X	
84.229 Language Resource Centers (B)	X	
84.274 American Overseas Research Centers (B)	X	
84.334 Gaining Early Awareness and Readiness for Undergraduate Programs (B)	X	
84.335 Child Care Access Means Parents in School (B)	X	
84.378 College Access Challenge Grant Program (A)	X	
84.382 Strengthening Minority-Serving Institutions (B)	X	
84.407 Transition Programs for Students with Intellectual Disabilities into Higher Education (B)	X	
84.414 Graduate Research Opportunities for Minority Students (Minorities and Retirement Security Program) (B)	X	
OFFICE OF SPECIAL EDUCATION AND REHABILITATIVE SERVICES		
84.027 Special Education_Grants to States (A)	X	
84.126 Rehabilitation Services_Vocational Rehabilitation Grants to States (A)	X	
84.128 Rehabilitation Services_Service Projects (B)	X	
84.129 Rehabilitation Long-Term Training (B)	X	
84.132 Centers for Independent Living (B)	X	
84.133 National Institute on Disability and Rehabilitation Research (B)	X	
84.160 Training Interpreters for Individuals who are Deaf and Individuals who are Deaf-Blind (B)	X	
84.161 Rehabilitation Services_Client Assistance Program (A)	X	
84.169 Independent Living_State Grants (A)	X	
84.173 Special Education_Preschool Grants (A)	X	
84.177 Rehabilitation Services_Independent Living Services for Older Individuals Who are Blind (B)	X	
84.181 Special Education-Grants for Infants and Families (A)	X	
84.187 Supported Employment Services for Individuals with the Most Significant Disabilities (A)	X	
84.224 Assistive Technology (A)	X	
84.235 Rehabilitation Services Demonstration and Training Programs (B)	X	
84.240 Program of Protection and Advocacy of Individual Rights (A)	X	
84.246 Rehabilitation Short-Term Training (B)	X	
84.250 Rehabilitation Services_American Indians with Disabilities (B)	X	
84.264 Rehabilitation Training_Continuing Education (B)	X	
84.265 Rehabilitation Training_State Vocational Rehabilitation Unit In-Service Training (B)	X	
84.275 Rehabilitation Training_General Training (B)	X	
84.315 Capacity Building for Traditionally Underserved Populations (B)	X	
84.323 Special Education - State Personnel Development (B)	X	
84.325 Special Education - Personnel Development to Improve Services and Results for Children with Disabilities (B)	X	
84.326 Special Education_Technical Assistance and Dissemination to Improve Services and Results for Children with Disabilities (B)	X	
84.327 Special Education_Educational Technology Media, and Materials for Individuals with Disabilities (B)	X	
84.328 Special Education_Parent Information Centers (B)	X	
84.343 Assistive Technology_State Grants for Protection and Advocacy (A)	X	
84.373 Special Education_Technical Assistance on State Data Collection (B)	X	
84.380 Special Education -- Olympic Education Programs (B)	X	
OFFICE OF STUDENT FINANCIAL ASSISTANCE PROGRAMS		
84.007 Federal Supplemental Educational Opportunity Grants (C)	X	
84.033 Federal Work-Study Program (C)	X	

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Program Description	Financial	Non-Financial
84.063 Federal Pell Grant Program (C)	X	
84.268 Federal Direct Student Loans (E)	X	
OFFICE OF VOCATIONAL AND ADULT EDUCATION		
84.002 Adult Education - Basic Grants to States (A)	X	
84.048 Career and Technical Education -- Basic Grants to States (A)	X	
84.051 Career and Technical Education -- National Programs (B)	X	
84.101 Career and Technical Education - Grants to Native Americans and Alaska Natives (B)	X	
84.191 Adult Education_National Leadership Activities (B,C)	X	
84.245 Tribally Controlled Postsecondary Career and Technical Institutions (B)	X	
84.259 Native Hawaiian Career and Technical Education (B)	X	
CHRISTOPHER COLUMBUS FELLOWSHIP FOUNDATION		
85.102 Christopher Columbus Awards (B)	X	
85.104 Life Sciences Awards (D)	X	
85.105 Agriscience Awards (D)	X	
MORRIS K. UDALL FOUNDATION		
85.400 Morris K. Udall Scholarship Program (C)	X	
85.402 Morris K. Udall Native American Congressional Internship Program (C)	X	
VIETNAM EDUCATION FOUNDATION		
85.801 U.S. Faculty Scholar Grants (B)	X	
85.802 Fellowship Program (B)	X	
85.803 Visiting Scholar Grants (B)	X	
NATIONAL ARCHIVES AND RECORDS ADMINISTRATION		
89.001 National Archives Reference Services_Historical Research (I,K,L)		X
89.003 National Historical Publications and Records Grants (B)	X	
NORTHERN BORDER REGIONAL COMMISSION		
90.601 Northern Border Regional Development (B)	X	
UNITED STATES INSTITUTE OF PEACE		
91.004 Public Education for Peacebuilding Awards Program (B)	X	
DEPARTMENT OF HEALTH AND HUMAN SERVICES		
93.095 HHS Programs for Disaster Relief Appropriations Act - Non Construction (A,B)	X	
93.096 HHS Programs for Disaster Relief Appropriations Act - Construction (B)	X	
ADMINISTRATION FOR CHILDREN AND FAMILIES		
93.009 Compassion Capital Fund (B)	X	
93.010 Community-Based Abstinence Education (CBAE) (B)	X	
93.060 Competitive Abstinence Education (CAE) (B)	X	
93.075 Systems Interoperability_Health and Human Services (B)	X	
93.076 TANF Program Integrity Innovation Grants (B)	X	
93.086 Healthy Marriage Promotion and Responsible Fatherhood Grants (B,L)	X	
93.087 Enhance Safety of Children Affected by Substance Abuse (B)	X	
93.090 Guardianship Assistance (A)	X	
93.091 Social Services and Income Maintenance Benefits Enrollment Coordination Grants (B)	X	
93.092 Affordable Care Act (ACA) Personal Responsibility Education Program (A,B)	X	
93.093 Affordable Care Act (ACA) Health Profession Opportunity Grants (B)	X	
93.235 Affordable Care Act (ACA) Abstinence Education Program (A)	X	
93.254 Infant Adoption Awareness Training (B)	X	
93.293 Supporting Permanent Placements of Foster Care Children Through Electronic Records Exchange (B)	X	
93.508 Affordable Care Act (ACA) Tribal Maternal, Infant, and Early Childhood Home Visiting Program (B)	X	
93.550 Transitional Living for Homeless Youth (B)	X	
93.551 Abandoned Infants (B)	X	

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Program Description	Financial	Non-Financial
93.556 Promoting Safe and Stable Families (A,B)	X	
93.557 Education and Prevention Grants to Reduce Sexual Abuse of Runaway, Homeless and Street Youth (B)	X	
93.558 Temporary Assistance for Needy Families (A)	X	
93.560 Family Support Payments to States_Assistance Payments (A)	X	
93.563 Child Support Enforcement (A)	X	
93.564 Child Support Enforcement Research (B)	X	
93.566 Refugee and Entrant Assistance_State Administered Programs (A)	X	
93.567 Refugee and Entrant Assistance_Voluntary Agency Programs (B)	X	
93.568 Low-Income Home Energy Assistance (A)	X	
93.569 Community Services Block Grant (A)	X	
93.570 Community Services Block Grant_Discretionary Awards (B)	X	
93.575 Child Care and Development Block Grant (A)	X	
93.576 Refugee and Entrant Assistance_Discretionary Grants (B)	X	
93.579 U.S. Repatriation (B)	X	
93.581 Improving the Capability of Indian Tribal Governments to Regulate Environmental Quality (B)	X	
93.583 Refugee and Entrant Assistance_Wilson/Fish Program (B)	X	
93.584 Refugee and Entrant Assistance_Targeted Assistance Grants (A)	X	
93.586 State Court Improvement Program (A)	X	
93.587 Promote the Survival and Continuing Vitality of Native American Languages (B)	X	
93.590 Community-Based Child Abuse Prevention Grants (A)	X	
93.591 Family Violence Prevention and Services/Battered Women's Shelters_Grants to State Domestic Violence Coalitions (A)	X	
93.592 Family Violence Prevention and Services/Battered Women's Shelters_Discretionary Grants (B)	X	
93.593 Job Opportunities for Low-Income Individuals (B)	X	
93.594 Tribal Work Grants (A)	X	
93.595 Welfare Reform Research, Evaluations and National Studies (B)	X	
93.596 Child Care Mandatory and Matching Funds of the Child Care and Development Fund (A)	X	
93.597 Grants to States for Access and Visitation Programs (A)	X	
93.598 Services to Victims of a Severe Form of Trafficking (B)	X	
93.599 Chafee Education and Training Vouchers Program (ETV) (A)	X	
93.600 Head Start (B)	X	
93.601 Child Support Enforcement Demonstrations and Special Projects (B)	X	
93.602 Assets for Independence Demonstration Program (B)	X	
93.603 Adoption Incentive Payments (A)	X	
93.604 Assistance for Torture Victims (B)	X	
93.605 Family Connection Grants (B)	X	
93.612 Native American Programs (B)	X	
93.616 Mentoring Children of Prisoners (B)	X	
93.623 Basic Center Grant (B)	X	
93.643 Children's Justice Grants to States (A)	X	
93.645 Stephanie Tubbs Jones Child Welfare Services Program (A)	X	
93.647 Social Services Research and Demonstration (B)	X	
93.648 Child Welfare Research Training or Demonstration (B)	X	
93.652 Adoption Opportunities (B)	X	
93.658 Foster Care_Title IV-E (A,B)	X	
93.659 Adoption Assistance (A)	X	
93.667 Social Services Block Grant (A)	X	
93.669 Child Abuse and Neglect State Grants (A)	X	
93.670 Child Abuse and Neglect Discretionary Activities (B)	X	
93.671 Family Violence Prevention and Services/Battered Women's Shelters_Grants to States and Indian Tribes (A)	X	
93.674 Chafee Foster Care Independence Program (A)	X	
93.676 Unaccompanied Alien Children Program (B)	X	
93.708 ARRA - Head Start (B)	X	
93.709 ARRA - Early Head Start (B)	X	
93.710 ARRA - Community Services Block Grant (A)	X	
93.711 ARRA Strengthening Communities Fund (B)	X	
93.713 ARRA Child Care and Development Block Grant (A)	X	
93.714 ARRA Emergency Contingency Fund for Temporary Assistance for Needy Families (TANF) State Program (A)	X	

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Program Description	Financial	Non-Financial
93.716 ARRA Temporary Assistance for Needy Families (TANF) Supplemental Grants (A)	X	
ADMINISTRATION FOR COMMUNITY LIVING		
93.041 Special Programs for the Aging_Title VII, Chapter 3_Programs for Prevention of Elder Abuse, Neglect, and Exploitation (A)	X	
93.042 Special Programs for the Aging_Title VII, Chapter 2_Long Term Care Ombudsman Services for Older Individuals (A)	X	
93.043 Special Programs for the Aging_Title III, Part D_Disease Prevention and Health Promotion Services (A)	X	
93.044 Special Programs for the Aging_Title III, Part B_Grants for Supportive Services and Senior Centers (A)	X	
93.045 Special Programs for the Aging_Title III, Part C_Nutrition Services (A)	X	
93.047 Special Programs for the Aging_Title VI, Part A, Grants to Indian Tribes_Part B, Grants to Native Hawaiians (B)	X	
93.048 Special Programs for the Aging_Title IV_and Title II_Discretionary Projects (B)	X	
93.051 Alzheimer's Disease Demonstration Grants to States (B)	X	
93.052 National Family Caregiver Support, Title III, Part E (A)	X	
93.053 Nutrition Services Incentive Program (A)	X	
93.054 National Family Caregiver Support, Title VI, Part C, Grants To Indian Tribes And Native Hawaiians (B)	X	
93.071 Medicare Enrollment Assistance Program (A,B)	X	
93.072 Lifespan Respite Care Program (B)	X	
93.517 Affordable Care Act - Aging and Disability Resource Center (B)	X	
93.518 Affordable Care Act - Medicare Improvements for Patients and Providers (A,B)	X	
93.613 President's Committee for People with Intellectual Disabilities (PCPID) (L)		X
93.617 Voting Access for Individuals with Disabilities_Grants to States (A)	X	
93.618 Voting Access for Individuals with Disabilities_Grants for Protection and Advocacy Systems (A)	X	
93.630 Developmental Disabilities Basic Support and Advocacy Grants (A)	X	
93.631 Developmental Disabilities Projects of National Significance (B)	X	
93.632 University Centers for Excellence in Developmental Disabilities Education, Research, and Service (B)	X	
93.706 Aging Nutrition Services for Native Americans (B)	X	
93.725 ARRA - Communities Putting Prevention to Work: Chronic Disease Self-Management Program (B)	X	
93.734 Empowering Older Adults and Adults with Disabilities through Chronic Disease Self-Management Education Programs financed by 2012 Prevention and Public Health Funds (PPHF-2012) (B)	X	
93.747 Elder Abuse Prevention Interventions Program (B)	X	
AGENCY FOR HEALTHCARE RESEARCH AND QUALITY		
93.225 National Research Service Awards_Health Services Research Training (B)	X	
93.226 Research on Healthcare Costs, Quality and Outcomes (B)	X	
93.715 Recovery Act Comparative Effectiveness Research - AHRQ (B)	X	
AGENCY FOR TOXIC SUBSTANCES AND DISEASE REGISTRY		
93.161 Health Program for Toxic Substances and Disease Registry (B)	X	
93.204 Surveillance of Hazardous Substance Emergency Events (B)	X	
93.240 State Capacity Building (B)	X	
93.534 Affordable Care Act Program for Early Detection of Certain Medical Conditions Related to Environmental Health Hazards (B)	X	
CENTERS FOR DISEASE CONTROL AND PREVENTION		
93.055 PPHF 2012-Applied Leadership for Community Health Improvement (B)	X	
93.056 Initiative to Educate State and Territorial Officials about Maintaining and Strengthening Public Health in a Changing Environment (B)	X	
93.061 Innovations in Applied Public Health Research (B)	X	
93.062 Biomonitoring Programs for State Public Health Laboratories (B)	X	
93.064 Laboratory Training, Evaluation, and Quality Assurance Programs (B)	X	
93.065 Laboratory Leadership, Workforce Training and Management Development, Improving Public Health Laboratory Infrastructure (B)	X	
93.066 State Vital Statistics Improvement Program (B)	X	
93.067 Global AIDS (B)	X	
93.069 Public Health Emergency Preparedness (B)	X	
93.070 Environmental Public Health and Emergency Response (B)	X	
93.073 Birth Defects and Developmental Disabilities - Prevention and Surveillance (B)	X	
93.074 Hospital Preparedness Program (HPP) and Public Health Emergency Preparedness (PHEP) Aligned Cooperative		

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Program Description	Financial	Non-Financial
Agreements (A)		
93.079 Cooperative Agreements to Promote Adolescent Health through School-Based HIV/STD Prevention and School-Based Surveillance (B)	X	
93.080 Blood Disorder Program: Prevention, Surveillance, and Research (B)	X	
93.082 Sodium Reduction in Communities (B)	X	
93.083 Prevention of Disease, Disability, and Death through Immunization and Control of Respiratory and Related Diseases (B)	X	
93.084 Prevention of Disease, Disability, and Death by Infectious Diseases (B)	X	
93.094 Well-Integrated Screening and Evaluation for Women Across the Nation (B)	X	
93.097 Strengthening the Nation's Public Health System through a National Voluntary Accreditation Program for State, Tribal, Local and Territorial Health Departments (B)	X	
93.098 Tribal Public Health Capacity Building and Quality Improvement (B)	X	
93.099 Collaboration with the World Health Organization and its regional offices for global health security and the International Health Regulations (IHR 2005) (B)	X	
93.116 Project Grants and Cooperative Agreements for Tuberculosis Control Programs (B)	X	
93.118 Acquired Immunodeficiency Syndrome (AIDS) Activity (B)	X	
93.135 Centers for Research and Demonstration for Health Promotion and Disease Prevention (B)	X	
93.136 Injury Prevention and Control Research and State and Community Based Programs (B)	X	
93.184 Disabilities Prevention (B)	X	
93.185 Immunization Research, Demonstration, Public Information and Education_Training and Clinical Skills Improvement Projects (B)	X	
93.197 Childhood Lead Poisoning Prevention Projects_State and Local Childhood Lead Poisoning Prevention and Surveillance of Blood Lead Levels in Children (B)	X	
93.261 National Diabetes Prevention Program: Preventing Type 2 Diabetes Among People at High Risk (B)	X	
93.262 Occupational Safety and Health Program (B,M)	X	
93.268 Immunization Cooperative Agreements (B)	X	
93.269 Complex Humanitarian Emergency and War-Related Injury Public Health Activities (B)	X	
93.270 Adult Viral Hepatitis Prevention and Control (B)	X	
93.283 Centers for Disease Control and Prevention_Investigations and Technical Assistance (B)	X	
93.292 National Public Health Improvement Initiative (A)	X	
93.304 Racial and Ethnic Approaches to Community Health (B)	X	
93.305 National State Based Tobacco Control Programs (B)	X	
93.338 Foreign Public Health Construction (B)	X	
93.339 Public Health Conference Support (B)	X	
93.507 PPHF 2012 National Public Health Improvement Initiative (B)	X	
93.520 Centers for Disease Control and Prevention Affordable Care Act (ACA) Communities Putting Prevention to Work (B)	X	
93.521 The Affordable Care Act: Building Epidemiology, Laboratory, and Health Information Systems Capacity in the Epidemiology and Laboratory Capacity for Infectious Disease (ELC) and Emerging Infections Program (EIP) Cooperative Agreements;PPHF (B)	X	
93.523 The Affordable Care Act: Human Immunodeficiency Virus (HIV) Prevention and Public Health Fund Activities (B)	X	
93.524 Building Capacity of the Public Health System to Improve Population Health through National, Non-Profit Organizations- financed in part by 2013 Prevention and Public Health Funds (PPHF-2013) (B)	X	
93.531 PPHF 2012: Community Transformation Grants and National Dissemination and Support for Community Transformation Grants - financed solely by 2012 Prevention and Public Health Funds (B)	X	
93.533 Prevention and Public Health Fund (Affordable Care Act): Enhanced Surveillance for New Vaccine Preventable Disease (B)	X	
93.535 Affordable Care Act (ACA) Childhood Obesity Research Demonstration (B)	X	
93.538 Affordable Care Act - National Environmental Public Health Tracking Program-Network Implementation (B)	X	
93.539 PPHF 2012 - Prevention and Public Health Fund (Affordable Care Act) - Capacity Building Assistance to Strengthen Public Health Immunization Infrastructure and Performance financed in part by 2012 Prevention and Public Health Funds (B)	X	
93.540 Affordable Care Act Streamlined surveillance for ventilator-associated pneumonia: Reducing burden and demonstrating preventability; and Prevention and Public Health Fund (B)	X	
93.541 The Patient Protection and Affordable Care Act of 2010 (ACA) (B)	X	
93.542 Health Promotion and Disease Prevention Research Centers: PPHF 2012 - Affordable Care Act Projects (B)	X	
93.544 The Patient Protection and Affordable Care Act of 2010 (Affordable Care Act) authorizes Coordinated Chronic Disease prevention and Health Promotion Program (B)	X	
93.548 PPHF2013: State Nutrition, Physical Activity, and Obesity Programs - financed in part by 2013 PPHF (B)	X	

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Program Description	Financial	Non-Financial
93.606 Affordable Care Act - Preparedness and Emergency Response Learning Centers (B)	X	
93.607 Affordable Care Act - Preparedness and Emergency Response Research Centers: A Public Health Systems Approach (B)	X	
93.723 ARRA - Prevention and Wellness-State, Territories and Pacific Islands (B)	X	
93.724 ARRA - Prevention and Wellness Communities Putting Prevention to Work Funding Opportunities Announcement (FOA) (B)	X	
93.730 ARRA Prevention Research Centers Comparative Effectiveness Research Program (B)	X	
93.733 Capacity Building Assistance to Strengthen Public Health Immunization Infrastructure and Performance financed in part by the Prevention and Public Health Fund (PPHF-2012) (B)	X	
93.735 State Public Health Approaches for Ensuring Quitline Capacity Funded in part by 2012 Prevention and Public Health Funds (PPHF-2012) (B)	X	
93.736 Prevention Public Health Fund 2012: Viral Hepatitis Prevention (B)	X	
93.737 PPHF 2012: Community Transformation Grants -Small Communities Program financed solely by 2012 Public Prevention and Health Funds (B)	X	
93.738 PPHF 2012: Racial and Ethnic Approaches to Community Health Program financed solely by 2012 Public Prevention and Health Funds (B)	X	
93.739 PPHF2012: Chronic disease Innovation Grants - financed solely by 2012 Public Prevention Health Funds (B)	X	
93.740 Prevention Public Health Fund 2012: Viral Hepatitis Education (B)	X	
93.741 Breastfeeding Promotion and Support Improving Maternity Care Practices project financed solely by 2012 Public Prevention and Health Funds (B)	X	
93.742 PPHF 2012: Early Childcare and Education Obesity Prevention Program - Obesity Prevention in Young Children - financed solely by 2012 Public Prevention and Health Funds (B)	X	
93.743 Racial and Ethnic Approaches to Community Health: Obesity and Hypertension Demonstration Projects financed solely by 2012 Prevention and Public Health Funds (B)	X	
93.744 PPHF 2012: Breast and Cervical Cancer Screening Opportunities for States, Tribes and Territories solely financed by 2012 Prevention and Public Health Funds (B)	X	
93.745 PPHF-2012: Health Care Surveillance/Health Statistics Surveillance Program Announcement: Behavioral Risk Factor Surveillance System Financed in Part by 2012 Prevention and Public Health Funds (PPHF-2012) (B)	X	
93.749 PPHF 2012 Public Health Laboratory Infrastructure financed solely by 2012 Prevention and Public Health Fund (B)	X	
93.751 PPHF2013: Consortium for Tobacco Use Cessation Technical Assistance financed by solely by 2013 Prevention and Public Health Funds (B)	X	
93.919 Cooperative Agreements for State-Based Comprehensive Breast and Cervical Cancer Early Detection Programs (B)	X	
93.938 Cooperative Agreements to Support Comprehensive School Health Programs to Prevent the Spread of HIV and Other Important Health Problems (B)	X	
93.939 HIV Prevention Activities_Non-Governmental Organization Based (B)	X	
93.940 HIV Prevention Activities_Health Department Based (B)	X	
93.941 HIV Demonstration, Research, Public and Professional Education Projects (B)	X	
93.942 Research, Prevention, and Education Programs on Lyme Disease in the United States (B)	X	
93.943 Epidemiologic Research Studies of Acquired Immunodeficiency Syndrome (AIDS) and Human Immunodeficiency Virus (HIV) Infection in Selected Population Groups (B)	X	
93.944 Human Immunodeficiency Virus (HIV)/Acquired Immunodeficiency Virus Syndrome (AIDS) Surveillance (B)	X	
93.945 Assistance Programs for Chronic Disease Prevention and Control (B)	X	
93.946 Cooperative Agreements to Support State-Based Safe Motherhood and Infant Health Initiative Programs (B)	X	
93.947 Tuberculosis Demonstration, Research, Public and Professional Education (B)	X	
93.977 Preventive Health Services_Sexually Transmitted Diseases Control Grants (B)	X	
93.988 Cooperative Agreements for State-Based Diabetes Control Programs and Evaluation of Surveillance Systems (B)	X	
93.991 Preventive Health and Health Services Block Grant (A)	X	
93.998 Autism and Other Developmental Disabilities, Surveillance, Research, and Prevention (B)	X	
CENTERS FOR MEDICARE AND MEDICAID SERVICES		
93.506 ACA Nationwide Program for National and State Background Checks for Direct Patient Access Employees of Long Term Care Facilities and Providers (B)	X	
93.511 Affordable Care Act (ACA) Grants to States for Health Insurance Premium Review (B)	X	
93.519 Affordable Care Act (ACA) Consumer Assistance Program Grants (B)	X	
93.525 State Planning and Establishment Grants for the Affordable Care Act (ACA)s Exchanges (B)	X	
93.529 Pre-existing Condition Insurance Program (PCIP) (C)	X	
93.536 The Affordable Care Act Medicaid Incentives for Prevention of Chronic Disease Demonstration Project (B)	X	
93.537 Affordable Care Act Medicaid Emergency Psychiatric Demonstration (C)	X	

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Program Description	Financial	Non-Financial
93.545	Consumer Operated and Oriented Plan [CO-OP] Program (E)	X
93.546	Early Retiree Reinsurance Program (C)	X
93.609	The Affordable Care Act Medicaid Adult Quality Grants (B)	X
93.610	Health Care Innovation Awards (HCIA) (B)	X
93.611	Strong Start for Mothers and Newborns (B)	X
93.621	Affordable Care Act Initiative to Reduce Avoidable Hospitalizations among Nursing Facility Residents (B)	X
93.624	ACA - State Innovation Models: Funding for Model Design and Model Testing Assistance (B)	X
93.626	Affordable Care Act State Health Insurance Assistance Program (SHIP) and Aging and Disability Resource Center (ADRC) Options Counseling for Medicare-Medicaid Individuals in States with Approved Financial Alignment Models (B)	X
93.627	Affordable Care Act: Testing Experience and Functional Assessment Tools (B)	X
93.628	Affordable Care Act Implementation Support for State Demonstrations to Integrate Care for Medicare-Medicaid Enrollees (B)	X
93.634	ACA Support for Demonstration Ombudsman Programs Serving Beneficiaries of State Demonstrations to Integrate Care for Medicare-Medicaid (B)	X
93.720	ARRA - Survey and Certification Ambulatory Surgical Center Healthcare-Associated Infection (ASC-HAI) Prevention Initiative (B)	X
93.750	PPHF 2013 - Cooperative Agreement to Support Navigators in Federally-facilitated and State Partnership Exchanges (B)	X
93.767	Children's Health Insurance Program (A,B)	X
93.768	Medicaid Infrastructure Grants To Support the Competitive Employment of People with Disabilities (B)	X
93.770	Medicare_Prescription Drug Coverage (C)	X
93.773	Medicare_Hospital Insurance (C)	X
93.774	Medicare_Supplementary Medical Insurance (C)	X
93.775	State Medicaid Fraud Control Units (A)	X
93.777	State Survey and Certification of Health Care Providers and Suppliers (Title XVIII) Medicare (A)	X
93.778	Medical Assistance Program (A)	X
93.779	Centers for Medicare and Medicaid Services (CMS) Research, Demonstrations and Evaluations (B)	X
93.780	Grants to States for Operation of Qualified High-Risk Pools (A)	X
93.784	Federal Reimbursement of Emergency Health Services Furnished to Undocumented Aliens (C)	X
93.791	Money Follows the Person Rebalancing Demonstration (B)	X
93.796	State Survey Certification of Health Care Providers and Suppliers (Title XIX) Medicaid (A)	X
FOOD AND DRUG ADMINISTRATION		
93.058	Tobacco Regulation Awareness, Communication, and Education Program (B)	X
93.103	Food and Drug Administration_Research (B)	X
93.448	Food Safety and Security Monitoring Project (B)	X
93.449	Ruminant Feed Ban Support Project (B)	X
HEALTH RESOURCES AND SERVICES ADMINISTRATION		
93.011	National Organizations of State and Local Officials (B)	X
93.059	Training in General, Pediatric, and Public Health Dentistry (B)	X
93.107	Area Health Education Centers Point of Service Maintenance and Enhancement Awards (B)	X
93.110	Maternal and Child Health Federal Consolidated Programs (B)	X
93.117	Preventive Medicine and Public Health Residency Training Program, Integrative Medicine Program, and National Coordinating Center for Integrative Medicine (B)	X
93.124	Nurse Anesthetist Traineeships (A)	X
93.127	Emergency Medical Services for Children (B)	X
93.129	Technical and Non-Financial Assistance to Health Centers (B)	X
93.130	Cooperative Agreements to States/Territories for the Coordination and Development of Primary Care Offices (B)	X
93.134	Grants to Increase Organ Donations (B)	X
93.145	AIDS Education and Training Centers (B)	X
93.153	Coordinated Services and Access to Research for Women, Infants, Children, and Youth (B)	X
93.155	Rural Health Research Centers (B)	X
93.156	Geriatric Training for Physicians, Dentists and Behavioral/Mental Health Professionals (B,M)	X
93.157	Centers of Excellence (B)	X
93.162	National Health Service Corps Loan Repayment Program (C)	X
93.165	Grants to States for Loan Repayment Program (B,C)	X
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Program Description	Financial	Non-Financial
Nursing Workforce Diversity (B)		
93.186 National Research Service Award in Primary Care Medicine (B)	X	
93.191 Graduate Psychology Education Program and Patient Navigator and Chronic Disease Prevention Program (B)	X	
93.211 Telehealth Programs (B)	X	
93.212 Chiropractic Demonstration Project Grants (B)	X	
93.223 Development and Coordination of Rural Health Services (B)	X	
93.224 Consolidated Health Centers (Community Health Centers, Migrant Health Centers, Health Care for the Homeless, and Public Housing Primary Care) (B)	X	
93.234 Traumatic Brain Injury State Demonstration Grant Program (B)	X	
93.236 Grants to States to Support Oral Health Workforce Activities (B)	X	
93.241 State Rural Hospital Flexibility Program (A,B)	X	
93.247 Advanced Nursing Education Grant Program (B)	X	
93.249 Public Health Training Centers Program (B)	X	
93.250 Geriatric Academic Career Awards (B)	X	
93.251 Universal Newborn Hearing Screening (B)	X	
93.253 Poison Center Support and Enhancement Grant Program (B)	X	
93.255 Children's Hospitals Graduate Medical Education Payment Program (C)	X	
93.256 State Health Access Program (B)	X	
93.257 Grants for Education, Prevention, and Early Detection of Radiogenic Cancers and Diseases (B)	X	
93.259 Rural Access to Emergency Devices Grant and Public Access to Defibrillation Demonstration Grant (B)	X	
93.264 Nurse Faculty Loan Program (NFLP) (A)	X	
93.265 Comprehensive Geriatric Education Program(CGEP) (B)	X	
93.266 Health Systems Strengthening and HIV/AIDS Prevention, Care and Treatment under the President's Emergency Plan for AIDS Relief (B)	X	
93.267 State Grants for Protection and Advocacy Services (A)	X	
93.288 National Health Service Corps Scholarship Program (C)	X	
93.300 National Center for Health Workforce Analysis (B)	X	
93.301 Small Rural Hospital Improvement Grant Program (B)	X	
93.303 NURSE Corps Scholarship Program (C)	X	
93.342 Health Professions Student Loans, Including Primary Care Loans/Loans for Disadvantaged Students (B)	X	
93.358 Advanced Education Nursing Traineeships (B)	X	
93.359 Nurse Education, Practice Quality and Retention Grants (B)	X	
93.364 Nursing Student Loans (E)	X	
93.365 Sickle Cell Treatment Demonstration Program (B)	X	
93.400 National Health Service Corps Scholarship Program (C)	X	
93.401 ARRA - National Health Service Corps Loan Repayment (C)	X	
93.402 ARRA - State Loan Repayment Program (B,C)	X	
93.403 ARRA Grants for Training in Primary Care Medicine and Dentistry Training and Enhancement (B)	X	
93.404 ARRA Dental Public Health Residency Training Grants (B)	X	
93.406 NURSE Corps Loan Repayment Program (C)	X	
93.409 Faculty Loan Repayment Programs (C)	X	
93.414 ARRA - State Primary Care Offices (B)	X	
93.415 ARRA - Centers of Excellence (B)	X	
93.420 ARRA - Community Health Applied Research Network (B,C)	X	
93.440 National Project Management of the Healthcare Communities Knowledge Gateway (B)	X	
93.501 Affordable Care Act (ACA) Grants for School-Based Health Center Capital Expenditures (B)	X	
93.502 Affordable Care Act (ACA) Infrastructure to Expand Access to Care (B)	X	
93.503 Affordable Care Act (ACA) Nursing Assistant and Home Health Aide Program (B)	X	
93.504 Affordable Care Act (ACA) Family to Family Health Information Centers (B)	X	
93.505 Affordable Care Act (ACA) Maternal, Infant, and Early Childhood Home Visiting Program (A,B)	X	
93.509 Affordable Care Act (ACA) State Health Care Workforce Development Grants (B)	X	
93.510 Affordable Care Act (ACA) Primary Care Residency Expansion Program (B)	X	
93.512 Affordable Care Act (ACA) Personal and Home Care Aide State Training Program (PHCAST) (B)	X	
93.513 Affordable Care Act (ACA) Advanced Nursing Education Expansion Initiative (B)	X	
93.514 Affordable Care Act (ACA) Expansion of Physician Assistant Training Program (B)	X	
93.515 Affordable Care Act (ACA) Nurse-Managed Health Clinics (B)	X	
93.516 Affordable Care Act (ACA) Public Health Training Centers Program (B)	X	
93.526 Affordable Care Act (ACA) Grants for Capital Development in Health Centers (B)	X	

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Program Description	Financial	Non-Financial
93.527 Affordable Care Act (ACA) Grants for New and Expanded Services under the Health Center Program (B)	X	
93.528 National Forum for State and Territorial Chief Executives (B)	X	
93.530 Affordable Care Act - Teaching Health Center Graduate Medical Education Payments Program (A)	X	
93.547 Affordable Care Act National Health Service Corps (B,C)	X	
93.549 Affordable Care Act (ACA) The Primary Care Services Resource Coordination and Development Program (B)	X	
93.615 Affordable Care Act (ACA) Maternal, Infant, and Early Childhood Home Visiting Research Programs (B)	X	
93.622 Affordable Care Act: Coordinating Center for Interprofessional Education and Collaborative Practice (B)	X	
93.703 ARRA Grants to Health Center Programs (B)	X	
93.732 Mental and Behavioral Health Education and Training Grants (B)	X	
93.822 Health Careers Opportunity Program (B)	X	
93.824 Area Health Education Centers Infrastructure Development Awards (B)	X	
93.884 Grants for Primary Care Training and Enhancement (B)	X	
93.887 Health Care and Other Facilities (B)	X	
93.888 Specially Selected Health Projects (B)	X	
93.908 Nurse Corps Loan Repayment Program (C)	X	
93.912 Rural Health Care Services Outreach, Rural Health Network Development and Small Health Care Provider Quality Improvement Program (B)	X	
93.913 Grants to States for Operation of Offices of Rural Health (B)	X	
93.914 HIV Emergency Relief Project Grants (B)	X	
93.917 HIV Care Formula Grants (A)	X	
93.918 Grants to Provide Outpatient Early Intervention Services with Respect to HIV Disease (B)	X	
93.923 Disadvantaged Health Professions Faculty Loan Repayment (FLRP) and Minority Faculty Fellowship Program (MFFP) (B,C)	X	
93.924 Ryan White HIV/AIDS Dental Reimbursement and Community Based Dental Partnership Grants (B)	X	
93.925 Scholarships for Health Professions Students from Disadvantaged Backgrounds (B)	X	
93.926 Healthy Start Initiative (B)	X	
93.928 Special Projects of National Significance (B)	X	
93.932 Native Hawaiian Health Care Systems (B)	X	
93.964 Prevention and Public Health Fund (PPHF) Public Health Traineeships (A)	X	
93.965 Coal Miners Respiratory Impairment Treatment Clinics and Services (B)	X	
93.969 PPHF-2012 Geriatric Education Centers (B)	X	
93.994 Maternal and Child Health Services Block Grant to the States (A)	X	
INDIAN HEALTH SERVICE		
93.123 Health Professions Pregraduate Scholarship Program for Indians (M)		X
93.164 Indian Health Service Educational Loan Repayment (C)	X	
93.193 Urban Indian Health Services (B)	X	
93.210 Tribal Self-Governance Program: IHS Compacts/Funding Agreements (C)	X	
93.228 Indian Health Service_Health Management Development Program (B)	X	
93.231 Epidemiology Cooperative Agreements (B)	X	
93.237 Special Diabetes Program for Indians_Diabetes Prevention and Treatment Projects (B)	X	
93.284 Injury Prevention Program for American Indians and Alaskan Natives_Cooperative Agreements (B)	X	
93.441 Indian Self-Determination (C)	X	
93.442 Special Diabetes Program for Indians (SDPI) Diabetes Prevention and Healthy Heart Initiative (B)	X	
93.443 Health Promotion/Disease Prevention Program for American Indians and Alaska Natives (B)	X	
93.444 Tribal Self-Governance Program: Planning and Negotiation Cooperative Agreement (B)	X	
93.445 Indian Health Service Sanitation Facilities Construction Program (J)		X
93.933 Demonstration Projects for Indian Health (B)	X	
93.970 Health Professions Recruitment Program for Indians (B)	X	
93.971 Health Professions Preparatory Scholarship Program for Indians (M)		X
93.972 Health Professions Scholarship Program (M)		X
NATIONAL INSTITUTES OF HEALTH		
93.077 Family Smoking Prevention and Tobacco Control Act Regulatory Research (B,M)	X	
93.113 Environmental Health (B)	X	
93.121 Oral Diseases and Disorders Research (B)	X	
93.142 NIEHS Hazardous Waste Worker Health and Safety Training (B)	X	
93.143 NIEHS Superfund Hazardous Substances_Basic Research and Education (B)	X	

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Program Description	Financial	Non-Financial
93.172 Human Genome Research (B)	X	
93.173 Research Related to Deafness and Communication Disorders (B)	X	
93.187 Undergraduate Scholarship Program for Individuals from Disadvantaged Backgrounds (B)	X	
93.209 Contraception and Infertility Research Loan Repayment Program (C)	X	
93.213 Research and Training in Complementary and Alternative Medicine (B,M)	X	
93.220 Clinical Research Loan Repayment Program for Individuals from Disadvantaged Backgrounds (B)	X	
93.232 Loan Repayment Program for General Research (B)	X	
93.233 National Center on Sleep Disorders Research (B)	X	
93.242 Mental Health Research Grants (B,M)	X	
93.273 Alcohol Research Programs (B)	X	
93.279 Drug Abuse and Addiction Research Programs (B,M)	X	
93.280 National Institutes of Health Loan Repayment Program for Clinical Researchers (C)	X	
93.281 Mental Health Research Career/Scientist Development Awards (B)	X	
93.282 Mental Health National Research Service Awards for Research Training (M)		X
93.285 National Institutes of Health Pediatric Research Loan Repayment Program (C)	X	
93.286 Discovery and Applied Research for Technological Innovations to Improve Human Health (B)	X	
93.307 Minority Health and Health Disparities Research (B)	X	
93.308 National Institute on Minority Health and Health Disparities (NIMHD) Extramural Loan Repayment Programs (C)	X	
93.310 Trans-NIH Research Support (B,M)	X	
93.313 NIH Office of Research on Women's Health (B)	X	
93.350 National Center for Advancing Translational Sciences (B)	X	
93.351 Research Infrastructure Programs (B)	X	
93.352 Construction Support (B)	X	
93.361 Nursing Research (B)	X	
93.389 National Center for Research Resources (B)	X	
93.393 Cancer Cause and Prevention Research (B)	X	
93.394 Cancer Detection and Diagnosis Research (B)	X	
93.395 Cancer Treatment Research (B)	X	
93.396 Cancer Biology Research (B)	X	
93.397 Cancer Centers Support Grants (B)	X	
93.398 Cancer Research Manpower (B)	X	
93.399 Cancer Control (B)	X	
93.701 Trans-NIH Recovery Act Research Support (B)	X	
93.702 National Center for Research Resources, Recovery Act Construction Support (B)	X	
93.704 Trans-NIH Recovery Act Loan Repayment Support (C)	X	
93.837 Cardiovascular Diseases Research (B)	X	
93.838 Lung Diseases Research (B)	X	
93.839 Blood Diseases and Resources Research (B)	X	
93.846 Arthritis, Musculoskeletal and Skin Diseases Research (B)	X	
93.847 Diabetes, Digestive, and Kidney Diseases Extramural Research (B)	X	
93.853 Extramural Research Programs in the Neurosciences and Neurological Disorders (B)	X	
93.855 Allergy, Immunology and Transplantation Research (B)	X	
93.856 Microbiology and Infectious Diseases Research (B)	X	
93.859 Biomedical Research and Research Training (B)	X	
93.865 Child Health and Human Development Extramural Research (B)	X	
93.866 Aging Research (B)	X	
93.867 Vision Research (B)	X	
93.879 Medical Library Assistance (B)	X	
93.936 National Institutes of Health Acquired Immunodeficiency Syndrome Research Loan Repayment Program (B)	X	
93.989 International Research and Research Training (B,M)	X	
OFFICE OF DISEASE PREVENTION AND HEALTH PROMOTION		
93.990 National Health Promotion (B)	X	
OFFICE OF MINORITY HEALTH		
93.004 Cooperative Agreements to Improve the Health Status of Minority Populations (B)	X	
93.006 State and Territorial and Technical Assistance Capacity Development Minority HIV/AIDS Demonstration Program (B)	X	

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Program Description	Financial	Non-Financial
93.137 Community Programs to Improve Minority Health Grant Program (B)	X	
93.910 Family and Community Violence Prevention Program (B)	X	
OFFICE OF POPULATION AFFAIRS		
93.217 Family Planning_Services (B)	X	
93.260 Family Planning_Personnel Training (B)	X	
93.974 Family Planning_Service Delivery Improvement Research Grants (B)	X	
93.995 Adolescent Family Life_Demonstration Projects (B)	X	
OFFICE OF THE SECRETARY		
93.001 Civil Rights and Privacy Rule Compliance Activities (K,N)		X
93.007 Public Awareness Campaigns on Embryo Adoption (B)	X	
93.008 Medical Reserve Corps Small Grant Program (B)	X	
93.015 HIV Prevention Programs for Women (B)	X	
93.016 Postal Model for Medical Countermeasures Delivery and Distribution (B)	X	
93.018 Strengthening Public Health Services at the Outreach Offices of the U.S.-Mexico Border Health Commission (B)	X	
93.019 Technical Assistance and Provision for Foreign Hospitals and Health Organizations (B)	X	
93.078 Strengthening Emergency Care Delivery in the United States Healthcare System through Health Information and Promotion (B)	X	
93.081 ASPR Science Preparedness and Reponse Grants (B)	X	
93.085 Research of the Responsible Conduct of Research (RCR) (B)	X	
93.088 Advancing System Improvements to Support Targets for Healthy People 2010 (B)	X	
93.089 Emergency System for Advance Registration of Volunteer Health Professionals (B)	X	
93.239 Policy Research and Evaluation Grants (B)	X	
93.290 National Community Centers of Excellence in Women's Health (B)	X	
93.296 State Partnership Grant Program to Improve Minority Health (B)	X	
93.297 Teenage Pregnancy Prevention Program (B)	X	
93.311 Mobilization For Health: National Prevention Partnership Awards (NPPA) (B)	X	
93.360 Biomedical Advanced Research and Development Authority (BARDA), Biodefense Medical Countermeasure Development (B)	X	
93.452 Health Improvement for Re-entering Ex-offenders Initiative (HIRE) HIV/AIDS (B)	X	
93.500 Pregnancy Assistance Fund Program (B)	X	
93.718 Health Information Technology Regional Extension Centers Program (B)	X	
93.719 ARRA - State Grants to Promote Health Information Technology (B)	X	
93.721 ARRA - Health Information Technology Professionals in Health Care (B)	X	
93.726 ARRA Accelerating Adoption of Comparative Effectiveness Research (CER) (B)	X	
93.727 ARRA - Health Information Technology - Beacon Communities (B)	X	
93.728 ARRA - Strategic Health IT Advanced Research Projects (SHARP) (B)	X	
93.731 ARRA - Prevention and Wellness - Leveraging National Organizations (B)	X	
93.889 National Bioterrorism Hospital Preparedness Program (A)	X	
PRESIDENT'S COUNCIL ON FITNESS, SPORTS, AND NUTRITION		
93.289 President's Council on Fitness, Sports, and Nutrition (K)		X
PROGRAM SUPPORT CENTER		
93.291 Surplus Property Utilization (H)		X
SUBSTANCE ABUSE AND MENTAL HEALTH SERVICES ADMINISTRATION		
93.003 Public Health and Social Services Emergency Fund (B)	X	
93.104 Comprehensive Community Mental Health Services for Children with Serious Emotional Disturbances (SED) (B)	X	
93.138 Protection and Advocacy for Individuals with Mental Illness (A)	X	
93.150 Projects for Assistance in Transition from Homelessness (PATH) (A)	X	
93.243 Substance Abuse and Mental Health Services_Projects of Regional and National Significance (B)	X	
93.275 Substance Abuse and Mental Health Services-Access to Recovery (B)	X	
93.276 Drug-Free Communities Support Program Grants (B)	X	
93.748 PPHF-2012 Cooperative Agreements for Prescription Drug Monitoring Program Electronic Health Record (EHR) Integration and Interoperability Expansion (B)	X	
93.958 Block Grants for Community Mental Health Services (A)	X	
93.959 Block Grants for Prevention and Treatment of Substance Abuse (A)	X	

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Program Description	Financial	Non-Financial
93.975 National All Schedules Prescription Electronic Reporting Grant (A)	X	
93.982 Mental Health Disaster Assistance and Emergency Mental Health (B)	X	
CORPORATION FOR NATIONAL AND COMMUNITY SERVICE		
94.002 Retired and Senior Volunteer Program (B)	X	
94.007 Program Development and Innovation Grants (B)	X	
94.011 Foster Grandparent Program (B)	X	
94.013 Volunteers in Service to America (J)		X
94.016 Senior Companion Program (B)	X	
94.017 Senior Demonstration Program (B)	X	
94.019 Social Innovation Fund (B)	X	
94.020 CNCS Disaster Response Cooperative Agreement (B)	X	
94.023 AmeriCorps VISTA Training & Logistics Support (L,M)		X
EXECUTIVE OFFICE OF THE PRESIDENT		
95.001 High Intensity Drug Trafficking Areas Program (B)	X	
95.004 Anti-Doping Activities (B)	X	
95.005 Drug Court Training and Technical Assistance (B)	X	
95.006 Model State Drug Laws Initiative (B)	X	
DEPARTMENT OF HOMELAND SECURITY		
97.005 State and Local Homeland Security National Training Program (B)	X	
97.007 Homeland Security Preparedness Technical Assistance Program (B)	X	
97.008 Non-Profit Security Program (B)	X	
97.009 Cuban/Haitian Entrant Program (B)	X	
97.010 Citizenship Education and Training (B)	X	
97.012 Boating Safety Financial Assistance (A)	X	
97.016 Reimbursement for Firefighting on Federal Property (C)	X	
97.018 National Fire Academy Training Assistance (C)	X	
97.022 Flood Insurance (G)	X	
97.023 Community Assistance Program State Support Services Element (CAP-SSSE) (B)	X	
97.024 Emergency Food and Shelter National Board Program (B)	X	
97.025 National Urban Search and Rescue (US&R) Response System (B)	X	
97.026 Emergency Management Institute Training Assistance (C)	X	
97.027 Emergency Management Institute (EMI)_Independent Study Program (M)		X
97.028 Emergency Management Institute (EMI)_Resident Educational Program (M)		X
97.029 Flood Mitigation Assistance (B)	X	
97.030 Community Disaster Loans (E)	X	
97.031 Cora Brown Fund (C)	X	
97.032 Crisis Counseling (B)	X	
97.033 Disaster Legal Services (C)	X	
97.034 Disaster Unemployment Assistance (B)	X	
97.036 Disaster Grants - Public Assistance (Presidentially Declared Disasters) (B)	X	
97.039 Hazard Mitigation Grant (B)	X	
97.040 Chemical Stockpile Emergency Preparedness Program (B)	X	
97.041 National Dam Safety Program (B)	X	
97.042 Emergency Management Performance Grants (A)	X	
97.043 State Fire Training Systems Grants (B)	X	
97.044 Assistance to Firefighters Grant (B)	X	
97.045 Cooperating Technical Partners (B)	X	
97.046 Fire Management Assistance Grant (B,J)	X	
97.047 Pre-Disaster Mitigation (B)	X	
97.048 Federal Disaster Assistance to Individuals and Households in Presidential Declared Disaster Areas (B,C)	X	
97.049 Presidential Declared Disaster Assistance - Disaster Housing Operations for Individuals and Households (C,J)	X	
97.050 Presidential Declared Disaster Assistance to Individuals and Households - Other Needs (C)	X	
97.052 Emergency Operations Center (B)	X	
97.053 Citizens-Community Resilience Innovation Challenge (B)	X	
97.055 Interoperable Emergency Communications (B)	X	

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Program Description	Financial	Non-Financial
97.056	Port Security Grant Program (B)	X
97.057	Intercity Bus Security Grants (B)	X
97.061	Centers for Homeland Security (B)	X
97.062	Scientific Leadership Awards (B,C)	X
97.065	Homeland Security Advanced Research Projects Agency (B)	X
97.066	Homeland Security Information Technology Research, Testing, Evaluation and Demonstration Program (B)	X
97.067	Homeland Security Grant Program (A)	X
97.069	Aviation Research Grants (B,I)	X
97.075	Rail and Transit Security Grant Program (B)	X
97.076	CyberTipline (B)	X
97.077	Homeland Security Research, Development, Testing, Evaluation, and Demonstration of Technologies Related to Nuclear Threat Detection (B)	X
97.078	Buffer Zone Protection Program (BZPP) (B)	X
97.080	Information Analysis Infrastructure Protection (IAIP) and Critical Infrastructure Monitoring and Protection (B)	X
97.082	Earthquake Consortium (B)	X
97.083	Staffing for Adequate Fire and Emergency Response (SAFER) (B)	X
97.088	Disaster Assistance Projects (B)	X
97.089	Driver's License Security Grant Program (B)	X
97.091	Homeland Security Biowatch Program (B,I)	X
97.092	Repetitive Flood Claims (B)	X
97.101	National Fallen Firefighters Memorial (C)	X
97.103	Degrees at a Distance Program (B)	X
97.104	Homeland Security-related Science, Technology, Engineering and Mathematics (HS STEM) Career Development Program (B)	X
97.106	Securing the Cities Program (B,I,L)	X
97.107	National Incident Management System (NIMS) (B)	X
97.108	Homeland Security, Research, Testing, Evaluation, and Demonstration of Technologies (B,H,I,L)	X
97.109	Disaster Housing Assistance Grant (B)	X
97.110	Severe Repetitive Loss Program (B)	X
97.111	Regional Catastrophic Preparedness Grant Program (RCPGP) (B)	X
97.113	Rail and Transit Security Grant Program (ARRA) (B)	X
97.114	Emergency Food and Shelter National Board Program (ARRA) (B)	X
97.115	Assistance to Firefighters Grant (ARRA) (B)	X
97.116	Port Security Grant Program (ARRA) (B)	X
97.120	Border Interoperability Demonstration Project (B)	X
97.121	Radiological/Nuclear Detection Pilot Evaluations Program (B,I,L)	X
97.122	Bio-Preparedness Collaboratory (B)	X
97.123	Multi-State Information Sharing and Analysis Center (B)	X
97.124	Interoperable Communications and Training Project (B)	X
97.126	National Special Security Event (B)	X
97.127	Cybersecurity Education and Training Assistance Program (CETAP) (B)	X
97.128	National Cyber Security Awareness (B)	X
97.129	Securing Critical Underground Infrastructure Pilot Program (B)	X
97.130	National Nuclear Forensics Expertise Development Program (B)	X
97.131	Emergency Management Baseline Assessments Grant (EMBAG) (C)	X

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- 15.934 Abandoned Mine Hazard Mitigation (B,C)
- 15.252 Abandoned Mine Land Reclamation (AMLR) Program (A,B)
- 93.624 ACA - State Innovation Models: Funding for Model Design and Model Testing Assistance (B)
- 93.506 ACA Nationwide Program for National and State Background Checks for Direct Patient Access Employees of Long Term Care Facilities and Providers (B)
- 93.634 ACA Support for Demonstration Ombudsman Programs Serving Beneficiaries of State Demonstrations to Integrate Care for Medicare-Medicaid (B)
- 19.432 Academic Exchange Programs - Educational Advising and Student Services (B)
- 19.421 Academic Exchange Programs - English Language Programs (B)
- 19.400 Academic Exchange Programs - Graduate Students (B)
- 19.010 Academic Exchange Programs - Hubert H. Humphrey Fellowship Program (B)
- 19.401 Academic Exchange Programs - Scholars (B)
- 19.011 Academic Exchange Programs - Special Academic Exchange Programs (B)
- 19.408 Academic Exchange Programs - Teachers (B)
- 19.009 Academic Exchange Programs - Undergraduate Programs (B)
- 93.118 Acquired Immunodeficiency Syndrome (AIDS) Activity (B)
- 15.670 Adaptive Science (B)
- 14.175 Adjustable Rate Mortgages (F)
- 15.046 Administrative Cost Grants for Indian Schools (B)
- 93.995 Adolescent Family Life_Demonstration Projects (B)
- 93.659 Adoption Assistance (A)
- 93.603 Adoption Incentive Payments (A)
- 93.652 Adoption Opportunities (B)
- 84.002 Adult Education - Basic Grants to States (A)
- 84.191 Adult Education_National Leadership Activities (B,C)
- 93.270 Adult Viral Hepatitis Prevention and Control (B)
- 93.358 Advanced Education Nursing Traineeships (B)
- 93.247 Advanced Nursing Education Grant Program (B)
- 84.330 Advanced Placement Program (Advanced Placement Test Fee; Advanced Placement Incentive Program Grants) (B)
- 81.135 Advanced Research Projects Agency - Energy (B)
- 93.088 Advancing System Improvements to Support Targets for Healthy People 2010 (B)
- 93.235 Affordable Care Act (ACA) Abstinence Education Program (A)
- 93.513 Affordable Care Act (ACA) Advanced Nursing Education Expansion Initiative (B)
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- 93.503 Affordable Care Act (ACA) Nursing Assistant and Home Health Aide Program (B)
- 93.512 Affordable Care Act (ACA) Personal and Home Care Aide State Training Program (PHCAST) (B)
- 93.092 Affordable Care Act (ACA) Personal Responsibility Education Program (A,B)
- 93.510 Affordable Care Act (ACA) Primary Care Residency Expansion Program (B)
- 93.516 Affordable Care Act (ACA) Public Health Training Centers Program (B)
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- 93.519 Affordable Care Act (ACA) Consumer Assistance Program Grants (B)
- 93.504 Affordable Care Act (ACA) Family to Family Health Information Centers (B)
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- 93.538 Affordable Care Act - National Environmental Public Health Tracking Program-Network Implementation (B)
- 93.606 Affordable Care Act - Preparedness and Emergency Response Learning Centers (B)
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19.800 Weapons Removal and Abatement (B)
11.459 Weather and Air Quality Research (B)
81.042 Weatherization Assistance for Low-Income Persons (A,B)
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APPLICANT ELIGIBILITY INDEX

The alphabet(s) in parenthesis following the program title, shows the type(s) of assistance available through that program. The alphabet codes with accompanying types of assistance are as follows:

- A: Formula Grants
- B: Project Grants
- C: Direct Payments for Specified Use
- D: Direct Payments with Unrestricted Use
- E: Direct Loans
- F: Guaranteed/Insured Loans
- G: Insurance
- H: Sale, Exchange, or Donation of Property and Goods
- I: Use of Property, Facilities, and Equipment
- J: Provision of Specialized Services
- K: Advisory Services and Counseling
- L: Dissemination of Technical Information
- M: Training
- N: Investigation of Complaints
- O: Federal Employment

Definitions of the types of applicants used in this Index are given below.

Individual - Any person or persons, as individuals, groups, or profit making organizations. Such persons and groups do not represent Federally Recognized Indian Tribal Governments. Includes Indians or other Native Americans who apply as individuals rather than as a member of a tribe or other Indian organization.

Local - Agencies or instrumentalities of political subdivisions within a State, to include cities, towns, townships, parishes, municipalities, villages, counties, school districts, and other special local districts. Included under local are Indian tribes on State reservations, Indian bands and group, Pueblos, Indian school boards, and State designated Indian tribes. Local does not include institutions of higher education and hospitals.

Nonprofit - A public or private agency or organization established by charter to perform specialized functions or services for the benefit of all or part of the general public. Functions or services are provided without charge or at cost, and earn no profit. The agency or organization has no shareholders to receive dividends.

State - Any agency or instrumentality of the fifty States of the United States, and the District of Columbia. State does not include the political subdivisions of the State, but does include institutions of higher education and hospitals.

U.S. Territories - Any agency or instrumentality of the Commonwealth of Puerto Rico, the Virgin Islands, Guam, American Samoa, the Trust Territories of the Pacific Islands, and Mariana Islands. Included are the political subdivisions of the territories, institutions of higher education, and hospitals.

Federally Recognized Indian Tribal Organizations - The governing body or a governmental agency of an Indian Tribe, nation, or other organized group or community recognized and certified by the Secretary of the Interior. Included are Native villages as defined in the Alaska Native Claims Settlement Act.

(Note: The information contained in this Index was taken from the Applicant Eligibility section of the program descriptions and from other sources. As a potential applicant, if you feel you also qualify to apply for assistance under a particular program but the Index says otherwise, always contact the agency contact persons. As regarding whether or not a potential applicant is eligible for a program, the agency is always the best source.)

Program Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Governments
DEPARTMENT OF AGRICULTURE						
10.001 Agricultural Research_Basic and Applied Research (B)	X	X	X	X	X	X
10.025 Plant and Animal Disease, Pest Control, and Animal Care (B)		X	X	X		
10.028 Wildlife Services (B)	X	X	X	X		X
10.030 Indemnity Program (D)	X	X	X	X	X	X
10.051 Commodity Loans and Loan Deficiency Payments (D,E)	X					
10.053 Dairy Indemnity Program (D)	X					
10.054 Emergency Conservation Program (C)	X					
10.055 Direct and Counter-cyclical Payments Program (C)	X	X	X	X	X	X
10.056 Farm Storage Facility Loans (E)	X	X	X	X	X	X
10.069 Conservation Reserve Program (C)	X	X		X		
10.072 Wetlands Reserve Program (C)	X	X		X		
10.080 Milk Income Loss Contract Program (D)	X	X	X	X	X	X
10.085 Tobacco Transition Payment Program (D)	X					

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Program Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Governments
10.087	Biomass Crop Assistance Program (D)					
10.088	Livestock Indemnity Program (C)	X				
10.089	Livestock Forage Disaster Program (C)	X				
10.090	Supplemental Revenue Assistance Program (C)	X				
10.091	Emergency Assistance for Livestock, Honeybees, and Farm-Raised Fish Program (C)					
10.092	Tree Assistance Program (D)	X				
10.093	Voluntary Public Access and Habitat Incentive Program (A)					X
10.095	Durum Wheat Quality Program (D)	X				
10.098	Reimbursement Transportation Cost Payment Program for Geographically Disadvantaged Farmers and Ranchers (D)	X				
10.099	Conservation Loans (E,F)	X				
10.101	Hawaii Sugar Disaster Program (D)					
10.102	Emergency Forest Restoration Program (D)	X	X	X	X	X
10.105	Disaster Relief Appropriations Act, Emergency Conservation Program (C)					
10.106	Disaster Relief Appropriations Act, Emergency Forest Restoration Program (C,D)	X				
10.141	Dairy Product Price Support Program (D)	X	X	X	X	X
10.153	Market News (L)	X	X	X	X	X
10.155	Marketing Agreements and Orders (J,K)	X				
10.156	Federal-State Marketing Improvement Program (B)				X	
10.162	Inspection Grading and Standardization (J)					
10.163	Market Protection and Promotion (J,K,M)	X	X	X	X	X
10.164	Wholesale Farmers and Alternative Market Development (K,M)			X		
10.165	Perishable Agricultural Commodities Act (N)	X				
10.167	Transportation Services (K,M)	X	X	X	X	X
10.168	Farmers' Market Promotion Program (B)		X			
10.170	Specialty Crop Block Grant Program - Farm Bill (B)			X		
10.171	Organic Certification Cost Share Programs (C)					
10.200	Grants for Agricultural Research, Special Research Grants (B)		X	X		
10.202	Cooperative Forestry Research (A)			X		
10.203	Payments to Agricultural Experiment Stations Under the Hatch Act (A)		X	X		
10.205	Payments to 1890 Land-Grant Colleges and Tuskegee University (A)		X	X		
10.206	Grants for Agricultural Research_Competitive Research Grants (B)	X		X		
10.207	Animal Health and Disease Research (A)		X	X		
10.210	Higher Education Graduate Fellowships Grant Program (B)		X	X		
10.212	Small Business Innovation Research (B)					
10.215	Sustainable Agriculture Research and Education (B)		X	X		
10.216	1890 Institution Capacity Building Grants (B)		X	X		
10.217	Higher Education - Institution Challenge Grants Program (B)		X	X		
10.219	Biotechnology Risk Assessment Research (B)		X	X		X
10.220	Higher Education - Multicultural Scholars Grant Program (B)		X	X		X
10.221	Tribal Colleges Education Equity Grants (B)		X	X		
10.222	Tribal Colleges Endowment Program (A)		X	X		
10.223	Hispanic Serving Institutions Education Grants (B)		X	X		
10.225	Community Food Projects (B)					
10.226	Secondary and Two-Year Postsecondary Agriculture Education Challenge Grants (B)		X	X		
10.227	1994 Institutions Research Program (B)		X	X		
10.228	Alaska Native Serving and Native Hawaiian Serving					

APPLICANT ELIGIBILITY INDEX

Program Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Governments
Institutions Education Grants (B)						
10.250 Agricultural and Rural Economic Research, Cooperative Agreements and Collaborations (B,L)	X	X	X	X	X	X
10.253 Food Assistance and Nutrition Research Programs (FANRP) (L)	X	X	X	X	X	X
10.254 Program of Research on the Economic of Invasive Species Management (PREISM) (L)	X	X	X	X	X	X
10.255 Research Innovation and Development Grants in Economic (RIDGE) (L)	X	X	X	X	X	X
10.256 Consumer Data and Information Program (L)	X	X	X	X	X	X
10.290 Agricultural Market and Economic Research (B)			X			
10.303 Integrated Programs (B)			X	X		
10.304 Homeland Security_Agricultural (B)	X	X	X	X	X	X
10.305 International Science and Education Grants (B)			X	X		
10.306 Biodiesel (B)			X	X		
10.307 Organic Agriculture Research and Extension Initiative (B)	X		X	X		
10.308 Resident Instruction Grants for Insular Area Activities (B)			X	X		
10.309 Specialty Crop Research Initiative (B)	X	X	X	X	X	X
10.310 Agriculture and Food Research Initiative (AFRI) (B)	X		X	X		
10.311 Beginning Farmer and Rancher Development Program (B)		X	X	X		X
10.312 Biomass Research and Development Initiative Competitive Grants Program (BRDI) (B)			X	X		
10.313 Veterinary Medicine Loan Repayment Program (C)	X					
10.314 New ERA Rural Technology Competitive Grants Program (B)			X			
10.315 Trade Adjustment Assistance for Farmers Training Coordination Program (TAAF) (B)			X	X		
10.316 Healthy Urban Food Enterprise Development Center (HUFED) (B)	X		X			
10.317 Food Aid Nutrition Enhancement Program (B)			X	X		
10.318 Women and Minorities in Science, Technology, Engineering, and Mathematics Fields (B)	X	X	X	X		X
10.319 Farm Business Management and Benchmarking Competitive Grants Program (B)	X		X	X		
10.320 Sun Grant Program (B)			X			
10.322 Distance Education Grants for Institutions of Higher Education in Insular Areas (B)				X		
10.324 Afghanistan Agricultural Extension Project (AAEP) (B)			X	X		
10.325 Peoples Garden Grant Program (B)	X	X	X	X	X	X
10.326 Capacity Building for Non-Land Grant Colleges of Agriculture (NLGCA) (B)						
10.327 Common Bean Productivity Research for Global Food Security Competitive Program (B)			X			
10.350 Technical Assistance to Cooperatives (J,K,L,M)						
10.352 Value-Added Producer Grants (B)						
10.404 Emergency Loans (E)	X					
10.405 Farm Labor Housing Loans and Grants (B,F)	X	X	X	X		
10.406 Farm Operating Loans (E,F)	X					
10.407 Farm Ownership Loans (E,F)	X					
10.410 Very Low to Moderate Income Housing Loans (E,F)	X					
10.415 Rural Rental Housing Loans (B,E)	X	X	X	X		
10.417 Very Low-Income Housing Repair Loans and Grants (B,E)	X					
10.420 Rural Self-Help Housing Technical Assistance (B)		X	X			
10.421 Indian Tribes and Tribal Corporation Loans (E)						X
10.427 Rural Rental Assistance Payments (C)	X	X				
10.433 Rural Housing Preservation Grants (B)			X		X	
10.435 State Mediation Grants (B)				X		
10.437 Interest Assistance Program (F)	X		X	X		

APPLICANT ELIGIBILITY INDEX

Program Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Governments
10.438 Section 538 Rural Rental Housing Guaranteed Loans (F)						
10.443 Outreach and Assistance for Socially Disadvantaged Farmers and Ranchers (B)		X	X	X		X
10.446 Rural Community Development Initiative (B)			X			X
10.447 The Rural Development (RD) Multi-Family Housing Revitalization Demonstration Program (MPR) (B)	X	X	X	X	X	X
10.448 Rural Development Multi-Family Housing Rural Housing Voucher Demonstration Program (C)	X					
10.449 Boll Weevil Eradication Loan Program (E)						
10.450 Crop Insurance (G)	X					
10.451 Noninsured Assistance (D)		X				
10.456 Partnership Agreements to Develop Non-Insurance Risk Management Tools for Producers (Farmers) (B)		X		X		
10.458 Crop Insurance Education in Targeted States (B)			X	X		
10.460 Risk Management Education Partnerships (B)			X	X		X
10.475 Cooperative Agreements with States for Intrastate Meat and Poultry Inspection (B)				X		
10.477 Meat, Poultry, and Egg Products Inspection (J)	X					
10.479 Food Safety Cooperative Agreements (B)		X		X		
10.500 Cooperative Extension Service (A,B)	X	X	X	X	X	X
10.551 Supplemental Nutrition Assistance Program (C)				X	X	
10.553 School Breakfast Program (A)			X	X		
10.555 National School Lunch Program (A)			X	X		
10.556 Special Milk Program for Children (A)				X		
10.557 Special Supplemental Nutrition Program for Women, Infants, and Children (A)		X	X	X		X
10.558 Child and Adult Care Food Program (A)			X	X		
10.559 Summer Food Service Program for Children (A)			X	X		
10.560 State Administrative Expenses for Child Nutrition (A)				X		
10.561 State Administrative Matching Grants for the Supplemental Nutrition Assistance Program (A)						
10.565 Commodity Supplemental Food Program (A,H)				X		X
10.566 Nutrition Assistance For Puerto Rico (C)						
10.567 Food Distribution Program on Indian Reservations (B,H)						X
10.568 Emergency Food Assistance Program (Administrative Costs) (A)						
10.569 Emergency Food Assistance Program (Food Commodities) (A)						
10.572 WIC Farmers' Market Nutrition Program (FMNP) (A)		X		X		
10.574 Team Nutrition Grants (B)				X		
10.575 Farm to School Grant Program (B,L,M)		X	X			X
10.576 Senior Farmers Market Nutrition Program (B)		X		X		
10.577 SNAP Partnership Grant (B)						
10.578 WIC Grants To States (WGS) (B)				X		
10.579 Child Nutrition Discretionary Grants Limited Availability (B)		X	X	X		X
10.580 Supplemental Nutrition Assistance Program, Process and Technology Improvement Grants (B)				X		
10.582 Fresh Fruit and Vegetable Program (B)				X		
10.583 Hunger Free Communities (B)		X	X	X		X
10.585 FNS Food Safety Grants (B)			X	X		
10.586 Special Supplemental Nutrition Program for Women, Infants and Children; Nutrition Education Innovations (B)		X	X	X	X	X
10.587 National Food Service Management Institute Administration and Staffing Grant (B)			X	X		
10.588 Assessment of Alternatives to Face-to-Face Interviews in SNAP (B)						
10.589 Child Nutrition Direct Certification Performance Awards (C)						

APPLICANT ELIGIBILITY INDEX

Program Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Governments
10.590 Disaster Relief Appropriations Act Emergency Food Assistance Program (Administrative Costs) (A)						
10.591 Disaster Relief Appropriations Act Emergency Food Assistance Program (Commodities) (A)						
10.592 Healthy, Hunger-Free Kids Act of 2010 Childhood Hunger Research and Demonstration Projects (B)					X	X
10.593 Bill Emerson National Hunger Fellows and Mickey Leland International Hunger Fellows Programs (B)			X			
10.600 Foreign Market Development Cooperator Program (C)						
10.601 Market Access Program (A,C)						
10.602 CCC's Dairy Export Incentive Program (C)						
10.603 Emerging Markets Program (C)				X		
10.604 Technical Assistance for Specialty Crops Program (C)			X	X		
10.605 Quality Samples Program (C)			X			
10.606 Food for Progress (B,H)						
10.608 Food for Education (B,H)						
10.609 Trade Adjustment Assistance (D)	X	X	X	X	X	X
10.610 Export Guarantee Program (F)						
10.612 USDA Local and Regional Food Aid Procurement Pilot Project (B)			X			
10.613 Faculty Exchange Program (B,C)			X			
10.614 Scientific Cooperation Exchange Program with China (B,C)		X	X	X	X	X
10.652 Forestry Research (B)	X	X	X	X		
10.664 Cooperative Forestry Assistance (A,B)		X		X		
10.665 Schools and Roads - Grants to States (A)		X			X	
10.666 Schools and Roads - Grants to Counties (A)						
10.672 Rural Development, Forestry, and Communities (B)		X	X	X		X
10.674 Forest Products Lab: Technology Marketing Unit (TMU) (B)		X		X		
10.675 Urban and Community Forestry Program (B)		X	X	X		
10.676 Forest Legacy Program (B)		X				
10.678 Forest Stewardship Program (B)				X		
10.679 Collaborative Forest Restoration (B)		X	X			
10.680 Forest Health Protection (A,B)			X	X		
10.681 Wood Education and Resource Center (WERC) (B)		X	X	X		X
10.682 National Forest Foundation (C)						
10.683 National Fish and Wildlife Foundation (C)			X			
10.684 International Forestry Programs (B)	X		X	X		X
10.685 Community Wood Energy Program (B)		X		X		X
10.687 Recovery Act of 2009: Capital Improvement and Maintenance (B)						
10.688 Recovery Act of 2009: Wildland Fire Management (B)						
10.689 Community Forest and Open Space Conservation Program (CFP) (B)		X	X			X
10.690 Lake Tahoe Erosion Control Grant Program (B)		X	X	X		
10.691 Good Neighbor Authority (B)				X		
10.692 Disaster Relief Appropriations Act for Emergency Forest Restoration Program (EFRP) (B)						
10.693 Watershed Restoration and Enhancement Agreement Authority (B)	X	X				X
10.694 Southwest Forest Health and Wildfire Prevention (C)						
10.700 National Agricultural Library (L)	X	X	X	X		
10.759 Part 1774 Special Evaluation Assistance for Rural Communities and Households Program (SEARCH) (B)		X	X	X		
10.760 Water and Waste Disposal Systems for Rural Communities (B,E,F)		X	X			X
10.761 Technical Assistance and Training Grants (B)						
10.762 Solid Waste Management Grants (B)		X				

APPLICANT ELIGIBILITY INDEX

Program Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Governments
10.763 Emergency Community Water Assistance Grants (B)		X				
10.766 Community Facilities Loans and Grants (B,E,F)		X	X	X		X
10.767 Intermediary Relending Program (E)		X		X		X
10.768 Business and Industry Loans (F)	X	X				X
10.769 Rural Business Enterprise Grants (B)		X	X	X		X
10.770 Water and Waste Disposal Loans and Grants (Section 306C) (B,E)		X	X			X
10.771 Rural Cooperative Development Grants (B)			X			
10.773 Rural Business Opportunity Grants (B)	X	X	X	X	X	X
10.777 Norman E. Borlaug International Agricultural Science and Technology Fellowship (B,C)			X			
10.778 Research on the Economic Impact of Cooperatives (C)				X		
10.781 Water and Waste Disposal Systems for Rural Communities - ARRA (B,E)		X			X	X
10.782 Appropriate Technology Transfer for Rural Areas (B)						
10.850 Rural Electrification Loans and Loan Guarantees (E,F)		X			X	X
10.851 Rural Telephone Loans and Loan Guarantees (E,F)			X			
10.854 Rural Economic Development Loans and Grants (B,E)	X	X	X	X	X	X
10.855 Distance Learning and Telemedicine Loans and Grants (B,E)		X	X	X		
10.856 1890 Land Grant Institutions Rural Entrepreneurial Outreach Program (C)				X		
10.857 State Bulk Fuel Revolving Fund Grants (B)		X			X	
10.858 Denali Commission Grants and Loans (B)						
10.859 Assistance to High Energy Cost Rural Communities (B)		X			X	
10.861 Public Television Station Digital Transition Grant Program (B)		X	X	X		
10.862 Household Water Well System Grant Program (B)						
10.863 Community Connect Grant Program (B)		X		X		
10.864 Grant Program to Establish a Fund for Financing Water and Wastewater Projects (B)						
10.865 Biorefinery Assistance (F)	X	X	X	X	X	X
10.866 Repowering Assistance (C)						
10.867 Bioenergy Program for Advanced Biofuels (D)	X					
10.868 Rural Energy for America Program (F)	X	X	X	X	X	X
10.870 Rural Microentrepreneur Assistance Program (B,E)			X			X
10.871 Small Socially- Disadvantaged Producer Grants (B)						
10.874 Delta Health Care Services Grant Program (B)						
10.886 Rural Broadband Access Loans and Loan Guarantees (E,F)		X	X	X		
10.901 Resource Conservation and Development (K)		X	X	X		
10.902 Soil and Water Conservation (K)	X	X		X		
10.903 Soil Survey (L)	X	X	X	X	X	X
10.904 Watershed Protection and Flood Prevention (K)		X	X	X		
10.905 Plant Materials for Conservation (J)				X		
10.907 Snow Survey and Water Supply Forecasting (L)	X	X	X	X	X	X
10.912 Environmental Quality Incentives Program (C)	X	X	X	X	X	X
10.913 Farm and Ranch Lands Protection Program (C)		X		X		
10.914 Wildlife Habitat Incentive Program (C)	X	X	X	X	X	X
10.916 Watershed Rehabilitation Program (K)		X		X		
10.917 Agricultural Management Assistance (C)				X		
10.920 Grassland Reserve Program (C)	X	X	X	X	X	X
10.921 Conservation Security Program (C)	X	X	X	X	X	X
10.922 Healthy Forests Reserve Program (HFRP) (C)						
10.923 Emergency Watershed Protection Program (B)	X	X	X	X	X	X
10.924 Conservation Stewardship Program (B)	X	X		X	X	X
10.925 Agricultural Water Enhancement Program (C)	X	X		X		
10.926 Chesapeake Bay Watershed Program (C)	X	X	X	X	X	X
10.927 Emergency Watershed Protection Program - Disaster Relief Appropriations Act (B)	X	X				

APPLICANT ELIGIBILITY INDEX

Program Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Governments
10.928 Emergency Watershed Protection Program - Floodplain Easements Disaster Relief Appropriations Act (C)	X	X	X	X	X	X
10.929 Water Bank Program (C)	X	X				
10.950 Agricultural Statistics Reports (L)	X	X	X	X	X	X
10.960 Technical Agricultural Assistance (B,C)			X	X		
10.961 Scientific Cooperation and Research (B,C)			X	X	X	X
10.962 Cochran Fellowship Program-International Training-Foreign Participant (B,C)			X			

DEPARTMENT OF COMMERCE

11.008 NOAA Mission-Related Education Awards (B)	X	X	X	X	X	X
11.010 Community Trade Adjustment Assistance (B)		X	X	X		X
11.011 Ocean Exploration (B)	X	X	X	X	X	X
11.012 Integrated Ocean Observing System (IOOS) (B)	X	X	X	X		X
11.013 Education Quality Award Ambassadorship (B)	X	X	X	X	X	X
11.112 Market Development Cooperator Program (B)			X			
11.113 ITA Special Projects (B)	X		X			
11.300 Investments for Public Works and Economic Development Facilities (B)		X	X	X	X	X
11.302 Economic Development_Support for Planning Organizations (B)		X	X	X	X	X
11.303 Economic Development_Technical Assistance (B)	X	X	X	X	X	X
11.307 Economic Adjustment Assistance (B)		X	X	X	X	X
11.312 Research and Evaluation Program (B)	X		X			
11.313 Trade Adjustment Assistance for Firms (B)			X			
11.400 Geodetic Surveys and Services (Geodesy and Applications of the National Geodetic Reference System) (B)		X		X		
11.417 Sea Grant Support (B)	X	X	X	X	X	X
11.419 Coastal Zone Management Administration Awards (A,B)				X		
11.420 Coastal Zone Management Estuarine Research Reserves (B)				X		
11.426 Financial Assistance for National Centers for Coastal Ocean Science (B)	X	X	X	X		
11.429 Marine Sanctuary Program (B)			X	X		
11.432 National Oceanic and Atmospheric Administration (NOAA) Cooperative Institutes (B)				X		
11.440 Environmental Sciences, Applications, Data, and Education (B)			X	X		
11.459 Weather and Air Quality Research (B)	X	X	X	X	X	X
11.469 Congressionally Identified Awards and Projects (B)	X	X	X	X	X	X
11.473 Coastal Services Center (B)		X	X	X		X
11.478 Center for Sponsored Coastal Ocean Research_Coastal Ocean Program (B)	X	X	X	X		X
11.481 Educational Partnership Program (B)			X	X		
11.482 Coral Reef Conservation Program (B)	X	X	X	X	X	X
11.483 NOAA Programs for Disaster Relief Appropriations Act - Non-construction and Construction (B)		X	X	X		X
11.549 State and Local Implementation Grant Program (B)						
11.558 State Broadband Data and Development Grant Program (B)				X		
11.609 Measurement and Engineering Research and Standards (B)		X	X	X		X
11.611 Manufacturing Extension Partnership (B,L)		X	X	X		
11.616 Technology Innovation Program (TIP) (B)			X			
11.617 Congressionally-Identified Projects (B)			X			
11.618 National Institute of Standards and Technology Construction Grant Program (B)			X			
11.619 Arrangements for Interdisciplinary Research Infrastructure (B)	X	X	X	X	X	X

DEPARTMENT OF DEFENSE

APPLICANT ELIGIBILITY INDEX

Program Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Governments
12.101 Beach Erosion Control Projects (J)		X		X		
12.116 Department of Defense Appropriation Act of 2003 (J)				X		
12.218 FVAP Policy Clearinghouse (B)						
12.219 EASE 2.0 (B)		X			X	
12.225 Commercial Technologies for Maintenance Activities Program (B)			X			
12.300 Basic and Applied Scientific Research (B)			X			
12.330 Science, Technology, Engineering & Mathematics (STEM) for K-12 & Institutions of Higher Learning- NAVY (B)			X			
12.335 Navy Command, Control, Communications, Computers, Intelligence, Surveillance, and Reconnaissance (B)			X			
12.350 Department of Defense HIV/AIDS Prevention Program (B)				X		
12.357 ROTC Language and Culture Training Grants (B)			X			
12.400 Military Construction, National Guard (B)						
12.401 National Guard Military Operations and Maintenance (O&M) Projects (B)				X		
12.431 Basic Scientific Research (B)		X	X	X		
12.440 Dissertation Year Fellowship (B)	X	X	X	X	X	X
12.556 Competitive Grants: Promoting K-12 Student Achievement at Military-Connected Schools (B)		X				
12.558 Department of Defense Impact Aid (Supplement, CWSD, BRAC) (A)						
12.560 DOD, NDEP, DOTC-STEM Education Outreach Implementation (B)	X	X	X	X	X	X
12.579 Language Training Center (B)			X	X		
12.599 Congressionally Directed Assistance (B)	X	X	X	X	X	X
12.600 Special Assistance (B,L)		X		X		
12.604 Community Economic Adjustment (B,L)		X		X		
12.610 Community Economic Adjustment Assistance for Compatible Use and Joint Land Use Studies (B)		X		X		
12.611 Community Economic Adjustment Assistance for Reductions in Defense Industry Employment (B)		X		X		X
12.614 Community Economic Adjustment Assistance for Advance Planning and Economic Diversification (B)		X		X		
12.615 Research and Technical Assistance (B)		X		X		
12.631 Science, Technology, Engineering and Mathematics (STEM) Educational Program: Science, Mathematics And Research for Transformation (SMART) (B)	X					
12.750 Uniformed Services University Medical Research Projects (B)			X	X		
12.800 Air Force Defense Research Sciences Program (B)			X			
12.901 Mathematical Sciences Grants Program (B)		X	X	X		X
12.902 Information Security Grant Program (B)		X	X	X		X

DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

14.108 Rehabilitation Mortgage Insurance (F)	X					
14.110 Manufactured Home Loan Insurance_Financing Purchase of Manufactured Homes as Principal Residences of Borrowers (F)	X					
14.117 Mortgage Insurance_Homes (F)	X					
14.119 Mortgage Insurance_Homes for Disaster Victims (F)	X					
14.123 Mortgage Insurance_Housing in Older, Declining Areas (F)	X					
14.129 Mortgage Insurance_Nursing Homes, Intermediate Care Facilities, Board and Care Homes and Assisted Living Facilities (F)						
14.133 Mortgage Insurance_Purchase of Units in Condominiums (F)	X					
14.142 Property Improvement Loan Insurance for Improving All Existing Structures and Building of New Nonresidential Structures (F)	X					

APPLICANT ELIGIBILITY INDEX

Program Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Governments
14.159 Section 245 Graduated Payment Mortgage Program (F)	X	X	X	X	X	X
14.162 Mortgage Insurance_Combination and Manufactured Home Lot Loans (F)	X	X	X	X	X	X
14.163 Mortgage Insurance_Single Family Cooperative Housing (F)	X					
14.172 Mortgage Insurance_Growing Equity Mortgages (F)	X	X	X	X	X	X
14.175 Adjustable Rate Mortgages (F)	X					
14.183 Home Equity Conversion Mortgages (F)						
14.198 Good Neighbor Next Door Sales Program (H)						
14.218 Community Development Block Grants/Entitlement Grants (A)		X				
14.225 Community Development Block Grants/Special Purpose Grants/Insular Areas (B)						
14.228 Community Development Block Grants/State's program and Non-Entitlement Grants in Hawaii (A)				X		
14.231 Emergency Solutions Grant Program (A)		X				
14.235 Supportive Housing Program (B,C)		X	X			
14.238 Shelter Plus Care (B)		X		X		X
14.239 Home Investment Partnerships Program (A)		X		X		X
14.241 Housing Opportunities for Persons with AIDS (A)		X		X		X
14.247 Self-Help Homeownership Opportunity Program (B)						
14.248 Community Development Block Grants_Section 108 Loan Guarantees (F)					X	
14.252 Section 4 Capacity Building for Community Development and Affordable Housing (B)						
14.259 CPD's Transformation Initiative Technical Assistance (B)			X	X		
14.260 Veterans Homelessness Prevention Demonstration Program (B)						
14.261 Homeless Management Information Systems Technical Assistance (B)		X				
14.265 Rural Capacity Building for Community Development and Affordable Housing Grants (B)						
14.266 Border Community Capital Initiative (B)						X
14.267 Continuum of Care Program (B,C)		X				
14.268 Rural Housing Stability Assistance Program (B,C)						
14.269 Hurricane Sandy Community Development Block Grant Disaster Recovery Grants (CDBG-DR) (A)		X			X	
14.270 Appalachia Economic Development Initiative (B)						X
14.271 Delta Community Capital Initiative (B)						X
14.311 Single Family Property Disposition (H)	X	X	X	X	X	X
14.313 Dollar Home Sales (H)		X				
14.324 FHA PowerSaver Home Energy Improvement Pilot Program (F)	X					
14.400 Equal Opportunity in Housing (N)	X	X	X	X	X	X
14.401 Fair Housing Assistance Program_State and Local (B)						
14.408 Fair Housing Initiatives Program (B)		X		X		
14.416 Education and Outreach Initiatives (B)		X		X		
14.417 Fair Housing Organization Initiatives (B)						
14.418 Private Enforcement Initiatives (B)						
14.419 Section 3 Coordination and Implementation (B)		X		X		
14.420 Fair Housing Initiatives Program Enforcement Testing Technical Assistance (B)		X		X		
14.421 Limited English Proficiency Initiative (B)						
14.535 Transformation Initiative: Rental Assistance Demonstration Small Research Grant Program (B)			X			
14.705 Capacity Building for Sustainable Communities (B)		X	X	X		
14.850 Public and Indian Housing (C)		X				
14.856 Lower Income Housing Assistance Program_Section 8 Moderate Rehabilitation (C)						

APPLICANT ELIGIBILITY INDEX

Program Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Governments
14.862 Indian Community Development Block Grant Program (B)						X
14.865 Public and Indian Housing_Indian Loan Guarantee Program (F)		X				X
14.866 Demolition and Revitalization of Severely Distressed Public Housing (B)						
14.867 Indian Housing Block Grants (A)						X
14.869 Title VI Federal Guarantees for Financing Tribal Housing Activities (F)						X
14.871 Section 8 Housing Choice Vouchers (C)			X	X		
14.872 Public Housing Capital Fund (A)		X		X		
14.873 Native Hawaiian Housing Block Grants (B)						
14.874 Loan Guarantees for Native Hawaiian Housing (F)						
14.877 Public Housing Family Self-Sufficiency under Resident Opportunity and Supportive Services (B)						X
14.879 Mainstream Vouchers (C)			X	X		
14.880 Family Unification Program (FUP) (C)			X	X		
14.881 Moving to Work Demonstration Program (A)		X				
14.889 Choice Neighborhoods Implementation Grants (B)		X				
14.890 Capital Fund Education and Training Community Facilities (B)		X				
14.891 Public and Indian Housing Transformation Initiative (TI) Technical Assistance (TA) (J)						
14.892 Choice Neighborhoods Planning Grants (B)		X	X			
14.913 Healthy Homes Production Program (B)		X	X	X		X

DEPARTMENT OF THE INTERIOR

15.027 Assistance to Tribally Controlled Community Colleges and Universities (B)						X
15.028 Tribally Controlled Community College Endowments (B)						X
15.033 Road Maintenance_Indian Roads (C)						X
15.034 Agriculture on Indian Lands (C,J,K)						X
15.037 Water Resources on Indian Lands (C,J,K)						X
15.042 Indian School Equalization Program (C)						X
15.043 Indian Child and Family Education (B,M)						X
15.044 Indian Schools_Student Transportation (C)						X
15.046 Administrative Cost Grants for Indian Schools (B)						X
15.047 Indian Education Facilities, Operations, and Maintenance (C)						X
15.058 Indian Post Secondary Schools (M)						
15.059 Indian Graduate Student Scholarships (B)						
15.065 Safety of Dams on Indian Lands (C)						X
15.148 Tribal Energy Development Capacity Grants (C)						X
15.149 FOCUS on Student Achievement Project (B)						X
15.151 Education Program Enhancements (B)						X
15.152 Land Buy-Back Program For Tribal Nations (B)						X
15.153 Hurricane Sandy Disaster Relief Coastal Resiliency Grants. (B)	X	X	X	X	X	X
15.222 Cooperative Inspection Agreements with States and Tribes (B)				X		X
15.224 Cultural Resource Management (B,I,J,K,L,M,N)	X	X	X	X	X	X
15.225 Recreation Resource Management (B,I,J,K,L,M)	X	X	X	X	X	X
15.226 Payments in Lieu of Taxes (C,D)			X			
15.227 Distribution of Receipts to State and Local Governments (C,D)		X		X		
15.228 National Fire Plan - Wildland Urban Interface Community Fire Assistance (B,I,J,K,L,M)		X	X	X		
15.229 Wild Horse and Burro Resource Management (B,I,J,K,L,M)	X	X	X	X	X	X
15.230 Invasive and Noxious Plant Management (B,K,M)		X		X		
15.232 Wildland Fire Research and Studies Program (B)	X	X	X	X	X	X

APPLICANT ELIGIBILITY INDEX

Program Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Governments
15.233 Forests and Woodlands Resource Management (B,K,M)	X	X	X	X	X	X
15.234 Secure Rural Schools and Community Self-Determination (B)		X	X	X		
15.235 Southern Nevada Public Land Management (B)		X				
15.237 Rangeland Resource Management (B,K,M)	X	X	X	X	X	X
15.238 Challenge Cost Share (B,I,K,M)	X	X	X	X	X	X
15.239 Management Initiatives (B,I,K,M)	X	X	X	X	X	X
15.240 Helium Resource Management (B)			X			
15.241 Indian Self-Determination Act Contracts, Grants and Cooperative Agreements (B,I,M)						X
15.242 National Fire Plan - Rural Fire Assistance (B,I,J,K,L,M)		X		X		X
15.250 Regulation of Surface Coal Mining and Surface Effects of Underground Coal Mining (B,C)				X		
15.252 Abandoned Mine Land Reclamation (AMLR) Program (A,B)		X		X		X
15.253 Not-for-Profit AMD Reclamation (B)						
15.254 OSM/VISTA AmeriCorps Program (C)			X			
15.255 Science and Technology Projects Related to Coal Mining and Reclamation (B)		X	X	X		X
15.406 National Park Service Centennial Challenge. (A)	X	X	X	X		X
15.407 Keweenaw National Historical Park (NHP) and Keweenaw NHP Advisory Commission Partner Enhancement Grants (B,K)	X	X	X	X		
15.408 Bureau of Ocean Energy Management Renewable Energy Program (B)			X	X		
15.421 Alaska Coastal Marine Institute (B)				X		
15.422 Louisiana State University (LSU) Coastal Marine Institute (CMI) (B)						
15.423 Bureau of Ocean Energy Management (BOEM) Environmental Studies Program (ESP) (B)				X		
15.424 Marine Minerals Activities - Hurricane Sandy (B)				X		
15.427 Federal Oil and Gas Royalty Management State and Tribal Coordination (B)				X		X
15.428 Marine Gas Hydrate Research Activities (B)			X	X		
15.429 State Select (C)						
15.430 8(g) State Coastal Zone (C)						
15.431 Alaska Settlement Agreement (D)						
15.432 California Refuge Account (D)						
15.433 Flood Control Act Lands (C)						
15.434 Geothermal Resources (C)						
15.435 GoMESA (C)						
15.436 Late Disbursement Interest (D)						
15.437 Minerals Leasing Act (C)						
15.438 National Forest Acquired Lands (C)						
15.439 National Petroleum Reserve - Alaska (C)						
15.440 South Half of the Red River (C)						
15.441 Safety and Environmental Enforcement Research and Data Collection for Offshore Energy and Mineral Activities (B)				X		
15.504 Title XVI Water Reclamation and Reuse Program (A)		X		X		
15.506 Water Desalination Research and Development Program (B)		X	X	X		X
15.507 WaterSMART (Sustaining and Manage America's Resources for Tomorrow) (B)				X		
15.508 Providing Water to At-Risk Natural Desert Terminal Lakes (B,C)		X	X	X		X
15.509 Title II, Colorado River Basin Salinity Control Program (B)	X	X	X	X		X
15.510 Colorado Ute Indian Water Rights Settlement Act (B,C)		X		X		
15.511 Cultural Resources Management (B)		X	X	X		X
15.512 Central Valley Project Improvement Act, Title XXXIV (B)		X		X		

APPLICANT ELIGIBILITY INDEX

Program Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Governments
15.513 Dutch John Federal Property and Disposition Assistance Act (B)				X		
15.516 Fort Peck Reservation Rural Water System (B,C)						X
15.517 Fish and Wildlife Coordination Act (B)		X	X	X		X
15.518 Garrison Diversion Unit (B)		X				X
15.519 Indian Tribal Water Resources Development, Management, and Protection (B,C)		X				X
15.520 Lewis and Clark Rural Water System (B)						
15.521 Lower Rio Grande Valley Water Resources Conservation and Improvement (B)				X		
15.522 Mni Wiconi Rural Water Supply Project (B)						X
15.524 Recreation Resources Management (B)		X		X		
15.525 Rocky Boy's/North Central Montana Regional Water System (B)						X
15.526 San Gabriel Basin Restoration Project (B)						
15.527 San Luis Unit, Central Valley Project (B)		X	X	X		X
15.529 Upper Colorado and San Juan River Basins Endangered Fish Recovery Programs (B)		X	X	X		X
15.530 Water Conservation Field Services Program (WCFSP) (B)		X	X	X		
15.531 Yakima River Basin Water Enhancement Project (YRBWEP) (B)		X		X		X
15.532 Central Valley Project, Trinity River Division, Trinity River Fish and Wildlife Management (B)		X	X	X		X
15.533 California Water Security and Environmental Enhancement (B)				X		
15.534 Miscellaneous Public Law 93-638 Contracts, Grants, and Cooperative Agreements (B,C)						X
15.535 Upper Colorado River Basin Fish and Wildlife Mitigation Program (B)			X	X		X
15.537 Middle Rio Grande Endangered Species Collaborative Program (B)			X			X
15.538 Lower Colorado River Multi-Species Conservation Program. (B)	X	X	X	X	X	X
15.539 Equus Beds Division Aquifer Storage Recharge Project (B)		X		X		
15.540 Lake Mead/Las Vegas Wash Program (B)						
15.541 Colorado River Basin Projects Act of 1968 (B)		X				
15.542 Arizona Water Settlement Act of 2004 (B,C)		X				X
15.543 Lake Tahoe Regional Wetlands Development Program (B)		X	X	X		X
15.544 Platte River Recovery Implementation Program (B)						
15.545 Bunker Hill Groundwater Basin, Riverside-Corona Feeder Project (B)		X				
15.546 Youth Conservation Program (B)		X	X	X		X
15.548 Reclamation Rural Water Supply Program (B)		X		X		X
15.549 Integrated Regional Water Plan for the Central Valley of California (B)						
15.550 Increasing Public Awareness of Recreational Opportunities at Reclamation Reservoirs for Physically Challenged and Disadvantaged Children (B)	X					X
15.551 Madera Water Supply Enhancement Project (B)						
15.552 Navajo-Gallup Water Supply Project (B)		X				
15.553 Eastern New Mexico Rural Water System Project (B)		X				X
15.554 Cooperative Watershed Management Program (B)		X	X			X
15.555 San Joaquin River Restoration Program (B)	X	X	X	X	X	X
15.556 Crow Tribe Water Rights Settlement (C)						X
15.557 Desert and Southern Rockies Landscape Conservation Cooperatives (B)					X	X
15.558 White Mountain Apache Tribe Rural Water System (C)						X
15.559 New Mexico Rio Grande Basin Pueblos Irrigation Infrastructure Project (B,C)						X

APPLICANT ELIGIBILITY INDEX

Program Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Governments
15.560	SECURE Water Act Research Agreements (B)					
15.562	Dixie Valley Water Export Study (B)					
15.563	Suisun Marsh Preservation Agreement (B)					
15.564	Central Valley Project Conservation Program (B)	X	X	X	X	X
15.605	Sport Fish Restoration Program (A)			X		
15.608	Fish and Wildlife Management Assistance (B)			X		X
15.611	Wildlife Restoration and Basic Hunter Education (A)			X		
15.614	Coastal Wetlands Planning, Protection and Restoration Act (B)			X		
15.615	Cooperative Endangered Species Conservation Fund (B)			X		
15.616	Clean Vessel Act (B)			X		
15.619	Rhinoceros and Tiger Conservation Fund (B)		X	X		
15.620	African Elephant Conservation Fund (B)	X	X	X	X	X
15.621	Asian Elephant Conservation Fund (B)		X	X		
15.622	Sportfishing and Boating Safety Act (B)			X		
15.623	North American Wetlands Conservation Fund (B)			X		
15.625	Wildlife Conservation and Restoration (A)			X	X	
15.626	Enhanced Hunter Education and Safety Program (A)			X		
15.628	Multistate Conservation Grant Program (B)			X		
15.629	Great Apes Conservation Fund (B)		X	X		
15.630	Coastal Program (B)	X	X	X		X
15.631	Partners for Fish and Wildlife (B)					X
15.633	Landowner Incentive Program (B)			X	X	
15.634	State Wildlife Grants (A,B)			X		
15.635	Neotropical Migratory Bird Conservation (B)	X		X		
15.636	Alaska Subsistence Management (B)	X		X		X
15.637	Migratory Bird Joint Ventures (B)		X	X		X
15.639	Tribal Wildlife Grants Program (B)					X
15.640	Wildlife Without Borders- Latin America and the Caribbean (B)		X	X		
15.641	Wildlife Without Borders-Mexico (B)		X	X		
15.642	Challenge Cost Share (B)		X	X		
15.643	Alaska Migratory Bird Co-Management Council (B)		X	X		X
15.644	Federal Junior Duck Stamp Conservation and Design (B)	X	X	X		
15.645	Marine Turtle Conservation Fund (B)					
15.647	Migratory Bird Conservation (B,C)		X	X		X
15.648	Central Valley Project Improvement (CVPI) Anadromous Fish Restoration Program (AFRP) (B)		X	X		
15.649	Service Training and Technical Assistance (Generic Training) (B)					
15.650	Research Grants (Generic) (B)					
15.651	Wildlife Without Borders-Africa Program (B)		X	X		
15.652	Undesirable/Noxious Plant Species (B)	X	X	X		
15.653	National Outreach and Communication Program (B)	X	X	X	X	X
15.654	Visitor Facility Enhancements - Refuges and Wildlife (B)	X	X	X		
15.655	Migratory Bird Monitoring, Assessment and Conservation (B,C)		X	X		X
15.656	Recovery Act Funds - Habitat Enhancement, Restoration and Improvement. (B)	X	X	X	X	X
15.657	Endangered Species Conservation Recovery Implementation Funds (B)		X	X		X
15.658	Natural Resource Damage Assessment, Restoration and Implementation (B)	X	X	X	X	X
15.659	National Wildlife Refuge Fund (D)		X			
15.660	Endangered Species - Candidate Conservation Action Funds (B)	X	X	X	X	X
15.661	Lower Snake River Compensation Plan (B)			X		X
15.662	Great Lakes Restoration (B)					

APPLICANT ELIGIBILITY INDEX

Program Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Governments
15.663 National Fish and Wildlife Foundation (B)	X	X	X	X	X	X
15.664 Fish and Wildlife Coordination and Assistance Programs (B)						
15.665 National Wetlands Inventory (B)	X	X	X	X	X	X
15.666 Endangered Species Conservation-Wolf Livestock Loss Compensation and Prevention (B)						
15.667 Highlands Conservation Program (B)						
15.668 Coastal Impact Assistance Program (A)						
15.669 Cooperative Landscape Conservation (B)	X	X	X			X
15.670 Adaptive Science (B)	X	X	X			X
15.671 Yukon River Salmon Research and Management Assistance (B)	X	X	X			
15.672 Wildlife Without Borders Amphibians in Decline (B)						
15.673 Wildlife Without Borders Critically Endangered Animal Conservation Fund (B)						
15.674 National Fire Plan-Wildland Urban Interface Community Fire Assistance (B,I,J,K,L,M)	X	X	X	X		X
15.676 Youth Engagement, Education, and Employment Programs (B)		X	X			
15.677 Hurricane Sandy Disaster Relief Activities-FWS (B)		X				X
15.805 Assistance to State Water Resources Research Institutes (A,B)				X		
15.807 Earthquake Hazards Reduction Program (B)	X	X	X	X		
15.808 U.S. Geological Survey_ Research and Data Collection (B)		X	X	X		
15.809 National Spatial Data Infrastructure Cooperative Agreements Program (B)		X	X	X		X
15.810 National Cooperative Geologic Mapping Program (B)				X		
15.811 Gap Analysis Program (B)		X	X	X		
15.812 Cooperative Research Units Program (B)						
15.814 National Geological and Geophysical Data Preservation Program (B)				X		
15.815 National Land Remote Sensing_Education Outreach and Research (B)		X	X	X		
15.816 Minerals Resources External Research Program (B)		X	X	X		
15.817 National Geospatial Program: Building The National Map (B)		X	X	X	X	X
15.818 Volcano Hazards Program Research and Monitoring (B)		X		X		
15.819 Energy Cooperatives to Support the National Coal Resources Data System (NCRDS) (B)			X	X		
15.820 National Climate Change and Wildlife Science Center (B)			X			
15.875 Economic, Social, and Political Development of the Territories (A,B,D)						
15.904 Historic Preservation Fund Grants-In-Aid (A,B)			X	X		
15.912 National Historic Landmark (K)	X	X	X	X	X	X
15.914 National Register of Historic Places (K)				X		
15.915 Technical Preservation Services (J,K,L)	X	X	X	X		
15.916 Outdoor Recreation_Acquisition, Development and Planning (B)		X		X		X
15.918 Disposal of Federal Surplus Real Property for Parks, Recreation, and Historic Monuments (H)		X		X	X	
15.921 Rivers, Trails and Conservation Assistance (K)		X		X		
15.922 Native American Graves Protection and Repatriation Act (B)		X		X		X
15.923 National Center for Preservation Technology and Training (B)		X	X	X		X
15.926 American Battlefield Protection (B)		X	X	X		X
15.927 Hydropower Recreation Assistance (K)				X		
15.928 Civil War Battlefield Land Acquisition Grants (B)		X				
15.929 Save America's Treasures (B)		X	X	X		X
15.930 Chesapeake Bay Gateways Network (B)	X	X	X	X	X	X

APPLICANT ELIGIBILITY INDEX

Program Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Governments
15.931 Conservation Activities by Youth Service Organizations (B)		X		X		
15.932 Preservation of Historic Structures on the Campuses of Historically Black Colleges and Universities (HBCUs). (B)				X		
15.933 Preservation of Japanese American Confinement Sites (B)		X	X	X		X
15.934 Abandoned Mine Hazard Mitigation (B,C)	X	X	X	X	X	X
15.935 National Trails System Projects (B)	X	X		X		X
15.936 Shenandoah Valley Battlefields National Historic District Battlefield Acquisition and Protection (C)						
15.937 Redwood National Park Cooperative Management with the State of California (B,H,I,K,L,M)						
15.938 Boston African-American National Historic Site Cooperative Agreement with the Museum of African American History (B,H,I,K,L,M)						
15.939 National Heritage Area Federal Financial Assistance (C)		X	X			
15.940 New Bedford Whaling National Historic Park Cooperative Management (C)		X				
15.941 Mississippi National River and Recreation Area State and Local Assistance (B)		X				
15.942 Environmental Education and Conservation - North Cascades Bioregion (C,J)						
15.943 Challenge Cost Share (C)	X	X	X	X		X
15.944 Natural Resource Stewardship (B,C)	X	X	X	X		
15.945 Cooperative Research and Training Programs Resources of the National Park System (B,C,I,L,M)	X	X	X			X
15.946 Cultural Resources Management (B,C)		X	X			X
15.947 Boston Harbor Islands Partnership (B)			X			
15.948 National Fire Plan-Wildland Urban Interface Community Fire Assistance (B,I,J,K,L)		X	X			
15.949 National Fire Plan - Rural Fire Assistance (B,I,J,K,L,M)		X				X
15.954 National Park Service Conservation, Protection, Outreach, and Education (B)		X				X
15.955 Martin Luther King Junior National Historic Site and Preservation District (B,K)	X	X	X	X	X	X
15.956 Ebey's Landing National Historical Reserve and Ebey's Landing National Historical Reserve Trust Board (C,J)		X				X
15.957 Historic Preservation Fund Grants to Provide Disaster Relief to Historic Properties Damaged by Hurricane Sandy (A,B)						X
15.978 Upper Mississippi River System Long Term Resource Monitoring Program (B)		X		X		

DEPARTMENT OF JUSTICE

16.015 Missing Alzheimer's Disease Patient Assistance Program (B)		X	X			X
16.111 Joint Law Enforcement Operations (JLEO) (B)		X				X
16.203 Promoting Evidence Integration in Sex Offender Management Discretionary Grant Program (B,M)	X	X		X	X	
16.320 Services for Trafficking Victims (B,C)		X		X		X
16.321 Antiterrorism Emergency Reserve (B)			X	X		
16.523 Juvenile Accountability Block Grants (A,B)		X		X		X
16.540 Juvenile Justice and Delinquency Prevention_Allocation to States (A,B)				X		
16.541 Part E - Developing, Testing and Demonstrating Promising New Programs (B,J)	X	X	X	X	X	X
16.543 Missing Children's Assistance (B)	X	X		X		X
16.544 Youth Gang Prevention (B)	X		X			
16.548 Title V_Delinquency Prevention Program (A)		X		X		
16.550 State Justice Statistics Program for Statistical Analysis Centers (B)				X		
16.554 National Criminal History Improvement Program (NCHIP) (B)				X		

APPLICANT ELIGIBILITY INDEX

Program Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Governments
16.560 National Institute of Justice Research, Evaluation, and Development Project Grants (B,L)		X		X		
16.566 National Institute of Justice W.E.B. DuBois Fellowship Program (B)	X					
16.571 Public Safety Officers' Benefits Program (D)	X					
16.575 Crime Victim Assistance (A)			X	X		
16.576 Crime Victim Compensation (A)				X		
16.582 Crime Victim Assistance/Discretionary Grants (B,C)			X	X		
16.583 Children's Justice Act Partnerships for Indian Communities (B,C)		X				X
16.585 Drug Court Discretionary Grant Program (B)		X		X		
16.593 Residential Substance Abuse Treatment for State Prisoners (A)		X		X		
16.596 Correctional Grant Program for Indian Tribes (B)						X
16.606 State Criminal Alien Assistance Program (C)		X		X		
16.607 Bulletproof Vest Partnership Program (C)				X	X	X
16.608 Tribal Court Assistance Program (B)						X
16.609 Project Safe Neighborhoods (B)		X		X		
16.610 Regional Information Sharing Systems (B)		X		X		
16.614 State and Local Anti-Terrorism Training (K,L,M)		X		X		
16.615 Public Safety Officers' Educational Assistance (D)						
16.616 Indian Country Alcohol and Drug Prevention (B)						X
16.710 Public Safety Partnership and Community Policing Grants (B)		X	X	X	X	X
16.726 Juvenile Mentoring Program (B)			X	X		
16.727 Enforcing Underage Drinking Laws Program (B)				X		
16.730 Reduction and Prevention of Children's Exposure to Violence (B)			X	X		
16.731 Tribal Youth Program (B)						X
16.734 Special Data Collections and Statistical Studies (B)		X	X	X		X
16.738 Edward Byrne Memorial Justice Assistance Grant Program (A,B)		X		X		
16.739 National Prison Rape Statistics Program (B)	X	X	X	X		
16.741 DNA Backlog Reduction Program (A,B)				X	X	
16.742 Paul Coverdell Forensic Sciences Improvement Grant Program (A)		X		X		
16.745 Criminal and Juvenile Justice and Mental Health Collaboration Program (B)		X		X		X
16.746 Capital Case Litigation (B)			X	X		
16.750 Support for Adam Walsh Act Implementation Grant Program (B)	X	X		X	X	X
16.751 Edward Byrne Memorial Competitive Grant Program (B)		X	X	X		X
16.753 Congressionally Recommended Awards (B)		X	X	X		X
16.754 Harold Rogers Prescription Drug Monitoring Program (B)				X		X
16.755 Southwest Border Prosecution Initiative Program (D)		X				
16.756 Court Appointed Special Advocates (B)						
16.757 Judicial Training on Child Maltreatment for Court Personnel Juvenile Justice Programs (B)		X	X			
16.758 Improving the Investigation and Prosecution of Child Abuse and the Regional and Local Children's Advocacy Centers (B)		X	X			
16.812 Second Chance Act Prisoner Reentry Initiative (B)		X	X	X		X
16.813 NICS Act Record Improvement Program (B)				X		
16.814 Northern Border Prosecution Initiative Program (D)				X		
16.815 Tribal Civil and Criminal Legal Assistance Grants, Training and Technical Assistance (B)						X
16.816 John R. Justice Prosecutors and Defenders Incentive Act (A)						
16.817 Byrne Criminal Justice Innovation Program (B)		X	X	X		X
16.818 Children Exposed to Violence (B)		X	X			X

APPLICANT ELIGIBILITY INDEX

Program Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Governments
16.819 National Forum on Youth Violence Prevention (B)		X	X	X		X
16.820 Postconviction DNA Testing Program (B)				X	X	
16.822 National Center for Campus Public Safety (B)		X	X	X		
16.823 Emergency Planning for Juvenile Justice Facilities (B)					X	
16.824 Emergency Law Enforcement Assistance Grant (B)						
16.922 Equitable Sharing Program (C)		X			X	X
DEPARTMENT OF LABOR						
17.201 Registered Apprenticeship (B,L,M)	X		X		X	X
17.207 Employment Service/Wagner-Peyser Funded Activities (A,B)					X	
17.225 Unemployment Insurance (A,D)						
17.235 Senior Community Service Employment Program (A,B)			X	X		
17.245 Trade Adjustment Assistance (A)		X				
17.258 WIA Adult Program (A)				X	X	
17.259 WIA Youth Activities (A)		X		X		
17.261 WIA Pilots, Demonstrations, and Research Projects (B)		X	X	X		
17.264 National Farmworker Jobs Program (A)		X	X	X		
17.265 Native American Employment and Training (A)		X				X
17.267 Incentive Grants - WIA Section 503 (B)				X		
17.268 H-1B Job Training Grants (B)			X	X		
17.270 Reintegration of Ex-Offenders (B)		X	X	X	X	X
17.271 Work Opportunity Tax Credit Program (WOTC) (A)						
17.272 Permanent Labor Certification for Foreign Workers (J)					X	
17.273 Temporary Labor Certification for Foreign Workers (A,J,O)					X	
17.274 Youthbuild (B)		X	X			X
17.275 Program of Competitive Grants for Worker Training and Placement in High Growth and Emerging Industry Sectors (B)		X		X		
17.276 Health Care Tax Credit (HCTC) National Emergency Grants (NEGs) (B)				X		
17.277 Workforce Investment Act (WIA) National Emergency Grants (B)		X			X	
17.278 WIA Dislocated Worker Formula Grants (A)				X	X	
17.279 Green Jobs Innovation Fund Grants (B)			X	X		
17.280 Workforce Investment Act (WIA) Dislocated Worker National Reserve Demonstration Grants (B)		X	X	X	X	
17.281 Workforce Investment Act (WIA) Dislocated Worker National Reserve Technical Assistance and Training (B)		X	X	X		
17.282 Trade Adjustment Assistance Community College and Career Training (TAACCCT) Grants (B)		X	X	X		
17.283 Workforce Innovation Fund (B)		X				X
17.284 Hurricane Sandy Disaster Relief Appropriations Act Supplemental - National Emergency Grants (NEGs) (B)						
17.302 Longshore and Harbor Workers' Compensation (D)	X					
17.307 Coal Mine Workers' Compensation (D)	X					
17.309 Labor Organization Reports (K,L,N)	X					
17.310 Energy Employees Occupational Illness Compensation (D)	X					
17.502 Occupational Safety and Health_Susan Harwood Training Grants (B)			X			
17.503 Occupational Safety and Health_State Program (B)				X		
17.504 Consultation Agreements (B)				X		
17.505 OSHA Data Initiative (B)				X		
17.506 Disaster Relief Appropriations Act, Susan Harwood Training Grants (B)			X			
17.600 Mine Health and Safety Grants (B)				X		
17.601 Mine Health and Safety Counseling and Technical Assistance (K,L)	X	X	X	X	X	X

APPLICANT ELIGIBILITY INDEX

Program Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Governments
17.602 Mine Health and Safety Education and Training (M)	X	X	X	X	X	X
17.603 Brookwood-Sago Grant (B)			X	X		
17.720 Disability Employment Policy Development (B)		X	X	X		X
DEPARTMENT OF STATE						
19.009 Academic Exchange Programs - Undergraduate Programs (B)			X			
19.010 Academic Exchange Programs - Hubert H. Humphrey Fellowship Program (B)			X			
19.011 Academic Exchange Programs - Special Academic Exchange Programs (B)			X			
19.012 Professional and Cultural Exchange Programs - Special Professional and Cultural Programs (B)			X			
19.014 One-Time International Exchange Grant Program (B)			X			
19.015 Cultural, Technical and Educational Centers (B)			X			
19.018 Resettlement Support Centers (RSCs) for U.S. Refugee Resettlement (B)						
19.022 Educational and Cultural Exchange Programs Appropriation Overseas Grants (B)			X			
19.032 Global Engagement (B)	X		X			
19.040 Public Diplomacy Programs (B)	X		X			
19.121 Conflict and Stabilization Operations (B)			X			
19.123 EUR/ACE Humanitarian Assistance Program (B)			X			
19.224 Nonproliferation and Disarmament Fund (B)						
19.400 Academic Exchange Programs - Graduate Students (B)			X			
19.401 Academic Exchange Programs - Scholars (B)			X			
19.402 Professional and Cultural Exchange Programs - International Visitor Leadership Program (B)			X			
19.408 Academic Exchange Programs - Teachers (B)			X			
19.415 Professional and Cultural Exchange Programs - Citizen Exchanges (B)			X			
19.421 Academic Exchange Programs - English Language Programs (B)			X			
19.432 Academic Exchange Programs - Educational Advising and Student Services (B)			X			
19.450 ECA Individual Grants (B)	X	X	X	X	X	X
19.700 General Department of State Assistance (B)	X		X			
19.800 Weapons Removal and Abatement (B)			X			
DEPARTMENT OF TRANSPORTATION						
20.106 Airport Improvement Program (B,K)					X	X
20.108 Aviation Research Grants (B,I)				X		
20.109 Air Transportation Centers of Excellence (B,I,J)				X		
20.200 Highway Research and Development Program (B)				X		
20.205 Highway Planning and Construction (A,B)				X		
20.215 Highway Training and Education (B,M)		X		X		
20.218 National Motor Carrier Safety (A)				X		
20.219 Recreational Trails Program (A)				X		
20.223 Transportation Infrastructure Finance and Innovation Act (TIFIA) Program (E,F)		X		X		
20.231 Performance and Registration Information Systems Management (B)						
20.232 Commercial Driver's License Program Improvement Grant (B)	X	X	X	X	X	X
20.233 Border Enforcement Grants (B)				X		
20.234 Safety Data Improvement Program (B)						
20.235 Commercial Motor Vehicle Operator Training Grants (B)		X	X	X		
20.237 Commercial Vehicle Information Systems and Networks (B)						

APPLICANT ELIGIBILITY INDEX

Program Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Governments
20.239 Motor Carrier Research and Technology Programs (B)	X			X		
20.240 Fuel Tax Evasion-Intergovernmental Enforcement Effort (B)				X		
20.301 Railroad Safety (B)		X				
20.313 Railroad Research and Development (B)						
20.315 National Railroad Passenger Corporation Grants (B)						
20.316 Railroad Rehabilitation and Improvement Financing Program (E,F)				X		
20.317 Capital Assistance to States - Intercity Passenger Rail Service (B)				X		
20.319 High-Speed Rail Corridors and Intercity Passenger Rail Service Capital Assistance Grants (B)				X		
20.320 Rail Line Relocation and Improvement (B)						
20.321 Railroad Safety Technology Grants (B)						
20.323 Fiscal Year 2013 Hurricane Sandy Disaster Relief Grants to the National Railroad Passenger Corporation (B)						
20.500 Federal Transit_Capital Investment Grants (A,B)		X	X	X		X
20.505 Metropolitan Transportation Planning (A)						
20.507 Federal Transit_Formula Grants (A)		X		X		
20.509 Formula Grants for Rural Areas (A)		X	X	X		
20.513 Enhanced Mobility of Seniors and Individuals with Disabilities (A)		X	X	X		
20.514 Public Transportation Research (B,L,M)		X	X	X		
20.515 State Planning and Research (A)						
20.516 Job Access And Reverse Commute Program (B)		X	X	X		
20.518 Capital and Training Assistance Program for Over-the-Road Bus Accessibility (B)						
20.519 Clean Fuels (B)		X		X		
20.520 Paul S. Sarbanes Transit in the Parks (B)		X		X		
20.521 New Freedom Program (A)		X		X		
20.522 Alternatives Analysis (B)		X				
20.524 Passenger Rail Investment and Improvement (PRIIA) Projects for Washington Metropolitan Area Transit Authority (WMATA) (B)						
20.525 State of Good Repair Grants Program (A)		X				X
20.526 Bus and Bus Facilities Formula Program (A)				X		
20.527 Public Transportation Emergency Relief Program (B)		X		X		
20.528 Rail Fixed Guideway Public Transportation System State Safety Oversight Formula Grant Program (A)						
20.600 State and Community Highway Safety (A)				X		X
20.601 Alcohol Impaired Driving Countermeasures Incentive Grants I (B)					X	
20.602 Occupant Protection Incentive Grants (B)				X	X	
20.607 Alcohol Open Container Requirements (B)				X		
20.608 Minimum Penalties for Repeat Offenders for Driving While Intoxicated (B)				X		
20.609 Safety Belt Performance Grants (B)				X		
20.610 State Traffic Safety Information System Improvement Grants (B)				X		
20.611 Incentive Grant Program to Prohibit Racial Profiling (A)					X	
20.612 Incentive Grant Program to Increase Motorcyclist Safety (A)					X	
20.613 Child Safety and Child Booster Seats Incentive Grants (B)						
20.614 National Highway Traffic Safety Administration (NHTSA) Discretionary Safety Grants (B)				X		
20.616 National Priority Safety Programs (A)					X	
20.700 Pipeline Safety Program State Base Grant (A)						
20.701 University Transportation Centers Program (B)			X			
20.703 Interagency Hazardous Materials Public Sector Training and Planning Grants (B)					X	X

APPLICANT ELIGIBILITY INDEX

Program Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Governments
20.710 Technical Assistance Grants (B)		X	X			X
20.720 State Damage Prevention Program Grants (B)				X		
20.721 PHMSA Pipeline Safety Program One Call Grant (B)						
20.723 PHMSA Pipeline Safety Research and Development Other Transaction Agreements (B)	X	X	X		X	X
20.724 Pipeline Safety Research Competitive Academic Agreement Program (CAAP) (B)			X	X		
20.761 Biobased Transportation Research (B)			X			
20.762 Research Grants (B)			X			
20.764 Hydrogen Storage Research and Development (B)			X			
20.802 Federal Ship Financing Guarantees (F)	X					
20.803 Maritime War Risk Insurance (G)						
20.806 State Maritime Schools (C,I,M)				X		
20.807 U.S. Merchant Marine Academy (M)	X					
20.808 Capital Construction Fund (J)	X					
20.812 Construction Reserve Fund (J)	X	X	X	X	X	X
20.813 Maritime Security Fleet Program (C)						
20.814 Assistance to Small Shipyards (B)		X		X		
20.817 Air Emissions and Energy Initiative (B)						
20.818 Great Ships Initiative (B)			X	X		
20.819 Ballast Water Treatment Technologies (B)			X	X		
20.901 Payments for Essential Air Services (C)						
20.904 Bonding Assistance Program (M)						
20.905 Disadvantaged Business Enterprises_Short Term Lending Program (F)						
20.910 Assistance to small and disadvantaged businesses (B)			X			
20.930 Payments for Small Community Air Service Development (B)		X				
20.931 Transportation Planning, Research and Education (B)				X		
20.932 Surface Transportation _ Discretionary Grants for Capital Investment (B)		X			X	
20.933 National Infrastructure Investments (B)		X				
DEPARTMENT OF THE TREASURY						
21.004 Exchange of Federal Tax Information With State Tax Agencies (J)						
21.006 Tax Counseling for the Elderly (B)			X			
21.008 Low Income Taxpayer Clinics (B)			X			
21.009 Volunteer Income Tax Assistance (VITA) Matching Grant Program (B)		X				X
21.010 Financial Education and Counseling Pilot Program (B)						
21.011 Capital Magnet Fund (B)						
21.012 Native Initiatives (B)						
21.014 Community Development Financial Institutions Bond Guarantee Program (F)			X			
21.015 Resources and Ecosystems Sustainability, Tourist Opportunities, and Revived Economies of the Gulf Coast States (A)						
21.020 Community Development Financial Institutions Program (B)						
21.021 Bank Enterprise Award Program (B)						
EQUAL EMPLOYMENT OPPORTUNITY COMMISSION						
30.001 Employment Discrimination_Title VII of the Civil Rights Act of 1964 (K,N,O)	X	X	X	X	X	X
30.005 Employment Discrimination_Private Bar Program (J)	X					
30.008 Employment Discrimination_Age Discrimination in Employment (K,N,O)	X					
30.010 Employment Discrimination Equal Pay Act (K,N,O)	X	X	X	X	X	X

APPLICANT ELIGIBILITY INDEX

Program Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Governments
30.011 Employment Discrimination_Title I of The Americans with Disabilities Act (K,N,O)	X	X	X	X	X	X
30.013 Employment Discrimination-Title II of the Genetic Information Nondiscrimination Act of 2008 (K,N,O)	X	X	X	X	X	X
FEDERAL MARITIME COMMISSION						
33.001 Shipping_Dispute Resolution and Investigation of Complaints (N)	X	X	X	X	X	X
FEDERAL MEDIATION AND CONCILIATION SERVICE						
34.002 Labor Management Cooperation (B)		X		X		
GENERAL SERVICES ADMINISTRATION						
39.002 Disposal of Federal Surplus Real Property (H)	X	X	X	X		
39.003 Donation of Federal Surplus Personal Property (H)		X	X	X		X
39.007 Sale of Federal Surplus Personal Property (H)	X	X	X	X	X	X
NATIONAL CREDIT UNION ADMINISTRATION						
44.002 Community Development Revolving Loan Fund Program for Credit Unions (B,E)						
FEDERAL COUNCIL ON THE ARTS AND THE HUMANITIES						
45.201 Arts and Artifacts Indemnity (G)		X	X	X		
INSTITUTE OF MUSEUM AND LIBRARY SERVICES						
45.301 Museums for America (B,C)		X	X	X		
45.308 Native American/Native Hawaiian Museum Services Program (B,C)		X				X
45.309 Museum Grants for African American History and Culture (B,C)		X	X	X		
45.310 Grants to States (A)			X	X		
45.311 Native American and Native Hawaiian Library Services (B,C)		X				X
45.312 National Leadership Grants (B,C)			X			
45.313 Laura Bush 21st Century Librarian Program (B,C)			X	X		
NATIONAL ENDOWMENT FOR THE ARTS						
45.024 Promotion of the Arts_Grants to Organizations and Individuals (B)	X	X	X	X		X
45.025 Promotion of the Arts_Partnership Agreements (B,K)				X		
NATIONAL ENDOWMENT FOR THE HUMANITIES						
45.129 Promotion of the Humanities_Federal/State Partnership (A)						
45.130 Promotion of the Humanities_Challenge Grants (B)			X			
45.149 Promotion of the Humanities_Division of Preservation and Access (B)			X			
45.160 Promotion of the Humanities_Fellowships and Stipends (B)	X					
45.161 Promotion of the Humanities_Research (B)			X			
45.164 Promotion of the Humanities_Public Programs (B)			X			
NATIONAL SCIENCE FOUNDATION						
47.050 Geosciences (B)	X	X	X	X		
47.070 Computer and Information Science and Engineering (B)			X	X		
47.078 Polar Programs (B)		X				
47.079 Office of International and Integrative Activities (B)		X	X			
47.080 Office of Cyberinfrastructure (B)		X	X			
47.081 Office of Experimental Program to Stimulate Competitive						

APPLICANT ELIGIBILITY INDEX

Program Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Governments
Research (B)						
RAILROAD RETIREMENT BOARD						
57.001 Social Insurance for Railroad Workers (D)	X					
57.005 Economic Recovery Payments (D)						
SMALL BUSINESS ADMINISTRATION						
59.063 Disaster Assistance Loans (Disaster Relief Appropriations Act) (E)	X	X	X	X	X	X
59.064 Entrepreneurial Development Disaster Assistance (Disaster Relief Appropriations Act) (B,J,K,L)			X			
DEPARTMENT OF VETERANS AFFAIRS						
64.035 Veterans Transportation Program (B)			X			
64.037 VA U.S. Paralympics Monthly Assistance Allowance Program (D)	X					
64.038 Grants for the Rural Veterans Coordination Pilot (B)	X					
ENVIRONMENTAL PROTECTION AGENCY						
66.001 Air Pollution Control Program Support (B)		X		X		X
66.032 State Indoor Radon Grants (B)				X		X
66.033 Ozone Transport Commission (B)		X		X		
66.034 Surveys, Studies, Research, Investigations, Demonstrations, and Special Purpose Activities Relating to the Clean Air Act (B)		X	X	X		X
66.035 Community Action for a Renewed Environment (CARE) Program (B)		X	X	X		X
66.037 Internships, Training and Workshops for the Office of Air and Radiation (B)		X	X	X		X
66.038 Training, Investigations, and Special Purpose Activities of Federally-Recognized Indian Tribes Consistent With the Clean Air Act (CAA), Tribal Sovereignty and the Protection and Management of Air Quality (B)						X
66.039 National Clean Diesel Emissions Reduction Program (B)		X	X	X		X
66.040 State Clean Diesel Grant Program (A)				X	X	
66.042 Temporally Integrated Monitoring of Ecosystems (TIME) and Long-Term Monitoring (LTM) Program (B)	X	X	X	X		X
66.043 Regional Healthy Indoor Air Projects for Community Outreach and Education, Surveys, Studies, Research, Investigations, Demonstrations, and Special Purpose Activities Relating to the Clean Air Act (B)		X	X	X		X
66.110 Healthy Communities Grant Program (B)		X	X	X		X
66.121 Puget Sound Protection and Restoration: Tribal Implementation Assistance Program (B)						X
66.122 Puget Sound Action Agenda Outreach, Education and Stewardship Support Program (B)		X	X			X
66.123 Puget Sound Action Agenda: Technical Investigations and Implementation Assistance Program (B)		X	X			X
66.124 Coastal Wetlands Planning Protection and Restoration Act (B)		X		X		
66.125 Lake Pontchartrain Basin Restoration Program (PRP) (B)		X				
66.126 The San Francisco Bay Water Quality Improvement Fund (B)		X	X			
66.128 Southeastern Multi-Media and Geographic Priority Projects (B)		X	X	X		
66.202 Congressionally Mandated Projects (B)		X	X	X		X
66.203 Environmental Finance Center Grants (B)			X			
66.305 Compliance Assistance Support for Services to the Regulated Community and Other Assistance Providers (B)		X	X	X		X

APPLICANT ELIGIBILITY INDEX

Program Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Governments
66.306 Environmental Justice Collaborative Problem-Solving Cooperative Agreement Program (B)			X			X
66.309 Surveys, Studies, Investigations, Training and Special Purpose Activities Relating to Environmental Justice (B)			X	X		
66.310 Capacity Building Grants and Cooperative Agreements for Compliance Assurance and Enforcement Activities in Indian Country and Other Tribal Areas (B)			X	X		X
66.313 International Compliance and Enforcement Projects (B)		X	X	X		X
66.418 Construction Grants for Wastewater Treatment Works (B)		X		X		X
66.419 Water Pollution Control State, Interstate, and Tribal Program Support (A)					X	X
66.424 Surveys, Studies, Investigations, Demonstrations, and Training Grants - Section 1442 of the Safe Drinking Water Act (B)	X	X	X	X		X
66.432 State Public Water System Supervision (A)					X	X
66.433 State Underground Water Source Protection (A)				X		X
66.436 Surveys, Studies, Investigations, Demonstrations, and Training Grants and Cooperative Agreements - Section 104(b)(3) of the Clean Water Act (B)	X	X	X	X		X
66.437 Long Island Sound Program (B)			X	X		
66.439 Targeted Watersheds Grants (B)		X	X	X		X
66.440 Urban Waters Small Grants (B)		X	X	X		X
66.454 Water Quality Management Planning (A)				X		
66.456 National Estuary Program (B)	X		X	X		
66.458 Capitalization Grants for Clean Water State Revolving Funds (A)				X		X
66.460 Nonpoint Source Implementation Grants (A)				X		X
66.461 Regional Wetland Program Development Grants (B)		X		X		X
66.462 National Wetland Program Development Grants and Five-Star Restoration Training Grant (B)			X			
66.463 Water Quality Cooperative Agreements (B)	X		X	X		X
66.466 Chesapeake Bay Program (B)		X	X	X		
66.467 Wastewater Operator Training Grant Program (B)			X	X		X
66.468 Capitalization Grants for Drinking Water State Revolving Funds (A)				X		X
66.469 Great Lakes Program (B,I,L)	X	X	X	X		X
66.471 State Grants to Reimburse Operators of Small Water Systems for Training and Certification Costs (A)				X		
66.472 Beach Monitoring and Notification Program Implementation Grants (A)		X		X		X
66.473 Direct Implementation Tribal Cooperative Agreements (B)						X
66.474 Water Protection Grants to the States (A)				X		X
66.475 Gulf of Mexico Program (B)		X	X	X		X
66.478 Water Security Training and Technical Assistance and Water Security Initiative Contamination Warning System Pilots (B)		X	X			
66.481 Lake Champlain Basin Program (B)			X	X		
66.482 Disaster Relief Appropriations Act (DRAA) Hurricane Sandy Capitalization Grants For Clean Water State Revolving Funds (A)						
66.483 Disaster Relief Appropriations Act (DRAA) Hurricane Sandy Capitalization Grants for Drinking Water State Revolving Funds (A)						
66.508 Senior Environmental Employment Program (B)						
66.509 Science To Achieve Results (STAR) Research Program (B)		X	X	X		X
66.510 Surveys, Studies, Investigations and Special Purpose Grants within the Office of Research and Development (B)	X	X	X	X		X
66.511 Office of Research and Development Consolidated Research/Training/Fellowships (B)	X	X	X	X		X
66.513 Greater Research Opportunities (GRO) Fellowships For						

APPLICANT ELIGIBILITY INDEX

Program Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Governments
Undergraduate Environmental Study (B)						
66.514 Science To Achieve Results (STAR) Fellowship Program (B)	X	X	X	X	X	X
66.516 P3 Award: National Student Design Competition for Sustainability (B)			X			
66.517 Regional Applied Research Efforts (RARE) (B)	X	X	X	X		X
66.518 State Senior Environmental Employment Program (B)						
66.600 Environmental Protection Consolidated Grants for the Insular Areas - Program Support (A)						
66.604 Environmental Justice Small Grant Program (B)			X			X
66.605 Performance Partnership Grants (A,B)					X	X
66.608 Environmental Information Exchange Network Grant Program and Related Assistance (B)				X	X	X
66.609 Protection of Children from Environmental Health Risks (B)			X	X		X
66.610 Surveys, Studies, Investigations and Special Purpose Grants within the Office of the Administrator (B)			X	X		X
66.611 Environmental Policy and Innovation Grants (B)	X	X	X	X		X
66.612 Surveys, Studies, Investigations, Training Demonstrations and Educational Outreach Related to Environmental Information and the Release of Toxic Chemicals (B)			X	X		X
66.700 Consolidated Pesticide Enforcement Cooperative Agreements (B)				X		X
66.701 Toxic Substances Compliance Monitoring Cooperative Agreements (B)					X	X
66.707 TSCA Title IV State Lead Grants Certification of Lead-Based Paint Professionals (B)				X		X
66.708 Pollution Prevention Grants Program (B)				X		X
66.714 Regional Agricultural IPM Grants (B)				X		X
66.716 Research, Development, Monitoring, Public Education, Training, Demonstrations, and Studies (B)	X	X	X	X		X
66.717 Source Reduction Assistance (B)		X	X	X		X
66.801 Hazardous Waste Management State Program Support (A)				X		
66.802 Superfund State, Political Subdivision, and Indian Tribe Site-Specific Cooperative Agreements (B)				X		X
66.804 Underground Storage Tank Prevention, Detection and Compliance Program (A)				X		X
66.805 Leaking Underground Storage Tank Trust Fund Corrective Action Program (A)				X		X
66.806 Superfund Technical Assistance Grants (TAG) for Community Groups at National Priority List (NPL) Sites (B)			X			
66.808 Solid Waste Management Assistance Grants (B)		X	X	X		X
66.809 Superfund State and Indian Tribe Core Program Cooperative Agreements (B)				X		X
66.810 Chemical Emergency Preparedness and Prevention (CEPP) Technical Assistance Grants Program (B)		X		X		X
66.812 Hazardous Waste Management Grant Program for Tribes (B)						X
66.813 Alternative or Innovative Treatment Technology Research, Demonstration, Training, and Hazardous Substance Research Grants (B)	X		X	X		X
66.814 Brownfields Training, Research, and Technical Assistance Grants and Cooperative Agreements (B)		X	X	X		X
66.815 Environmental Workforce Development and Job Training Cooperative Agreements (B)		X	X	X	X	X
66.816 Headquarters and Regional Underground Storage Tanks Program (B)		X		X		X
66.817 State and Tribal Response Program Grants (A)						X
66.818 Brownfields Assessment and Cleanup Cooperative Agreements (B)		X	X	X		X
66.819 Disaster Relief Appropriations Act (DRAA) Hurricane	X	X	X	X	X	X

APPLICANT ELIGIBILITY INDEX

Program Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Governments
Sandy Leaking Underground Storage Tank Trust Fund Corrective Action Program (A)						
66.926 Indian Environmental General Assistance Program (GAP) (B)						X
66.931 International Financial Assistance Projects Sponsored by the Office of International and Tribal Affairs (B)		X	X	X		X
66.950 National Environmental Education Training Program (B)			X			
66.951 Environmental Education Grants (B)			X	X		
66.952 National Network for Environmental Management Studies Fellowship Program (B)	X	X	X	X	X	X

NUCLEAR REGULATORY COMMISSION

77.006 U. S. Nuclear Regulatory Commission Nuclear Education Grant Program (B)			X			
77.008 U.S. Nuclear Regulatory Commission Scholarship and Fellowship Program (B)			X	X		
77.009 U.S. Nuclear Regulatory Commission Office of Research Financial Assistance Program (B)				X		

DEPARTMENT OF ENERGY

81.003 Granting of Patent Licenses (L)	X	X	X	X	X	X
81.022 Laboratory Equipment Donation Program (B)			X			
81.036 Inventions and Innovations (B,K,L)	X	X	X	X	X	X
81.041 State Energy Program (A,B,L)				X		
81.042 Weatherization Assistance for Low-Income Persons (A,B)		X	X	X		X
81.049 Office of Science Financial Assistance Program (B)		X	X	X		
81.057 University Coal Research (B)			X	X		
81.064 Office of Scientific and Technical Information (L)	X	X	X	X	X	X
81.079 Regional Biomass Energy Programs (B)			X	X		
81.086 Conservation Research and Development (B)		X		X		
81.087 Renewable Energy Research and Development (B)		X		X		
81.089 Fossil Energy Research and Development (B)	X	X	X	X	X	
81.104 Environmental Remediation and Waste Processing and Disposal (B)		X	X	X		X
81.106 Transport of Transuranic Wastes to the Waste Isolation Pilot Plant: States and Tribal Concerns, Proposed Solutions (B)			X	X		X
81.108 Epidemiology and Other Health Studies Financial Assistance Program (B)			X			
81.112 Stewardship Science Grant Program (B)			X	X		
81.113 Defense Nuclear Nonproliferation Research (B)			X	X		
81.117 Energy Efficiency and Renewable Energy Information Dissemination, Outreach, Training and Technical Analysis/Assistance (B)			X			
81.119 State Energy Program Special Projects (B)				X		
81.121 Nuclear Energy Research, Development and Demonstration (B)	X	X	X	X	X	X
81.122 Electricity Delivery and Energy Reliability, Research, Development and Analysis (B)		X	X	X		
81.124 Predictive Science Academic Alliance Program (B)			X			
81.127 Energy Efficient Appliance Rebate Program (EEARP) (A)				X		
81.128 Energy Efficiency and Conservation Block Grant Program (EECBG) (A,B)				X		
81.129 Energy Efficiency and Renewable Energy Technology Deployment, Demonstration and Commercialization (B)	X	X	X	X	X	X
81.135 Advanced Research Projects Agency - Energy (B)	X	X	X	X	X	X
81.137 Minority Economic Impact (B)		X	X			
81.138 State Heating Oil and Propane Program (B)						
81.139 Environmental Management R&D for HEPA Filters,						

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Program Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Governments
High-Level Tank Waste Mixing & Sampling (B)						
81.140 Los Alamos National Laboratory - Fire Protection (B)		X				
81.214 Environmental Monitoring/Cleanup, Cultural and Resource Mgmt., Emergency Response Research, Outreach, Technical Analysis (B)		X	X	X		
DEPARTMENT OF EDUCATION						
84.002 Adult Education - Basic Grants to States (A)		X		X		
84.004 Civil Rights Training and Advisory Services (B)		X	X			
84.007 Federal Supplemental Educational Opportunity Grants (C)			X	X		
84.010 Title I Grants to Local Educational Agencies (A)				X		X
84.011 Migrant Education_State Grant Program (A)				X		
84.013 Title I State Agency Program for Neglected and Delinquent Children and Youth (A)				X		
84.015 National Resource Centers Program for Foreign Language and Area Studies or Foreign Language and International Studies Program and Foreign Language and Area Studies Fellowship Program (B)			X			
84.016 Undergraduate International Studies and Foreign Language Programs (B)			X	X		
84.017 International Research and Studies (B)	X		X			
84.018 Overseas Programs_Special Bilateral Projects (B)	X					
84.021 Overseas Programs - Group Projects Abroad (B)		X	X	X		
84.022 Overseas Programs - Doctoral Dissertation Research Abroad (B)			X			
84.027 Special Education_Grants to States (A)		X		X		
84.031 Higher Education_Institutional Aid (B)			X	X		
84.033 Federal Work-Study Program (C)			X	X		
84.040 Impact Aid_Facilities Maintenance (B)		X				
84.041 Impact Aid (A,B)		X				
84.042 TRIO_Student Support Services (B)		X	X	X		
84.044 TRIO_Talent Search (B)		X	X	X		
84.047 TRIO_Upward Bound (B)			X	X		
84.048 Career and Technical Education -- Basic Grants to States (A)				X		
84.051 Career and Technical Education -- National Programs (B)			X	X		
84.060 Indian Education_Grants to Local Educational Agencies (A)		X				X
84.063 Federal Pell Grant Program (C)	X					
84.066 TRIO_Educational Opportunity Centers (B)		X	X	X		
84.101 Career and Technical Education - Grants to Native Americans and Alaska Natives (B)						X
84.103 TRIO Staff Training Program (B)			X	X		
84.116 Fund for the Improvement of Postsecondary Education (B)		X	X			
84.120 Minority Science and Engineering Improvement (B)			X			
84.126 Rehabilitation Services_Vocational Rehabilitation Grants to States (A)				X		
84.128 Rehabilitation Services_Service Projects (B)		X	X	X		
84.129 Rehabilitation Long-Term Training (B)		X	X	X		
84.132 Centers for Independent Living (B)		X	X	X		
84.133 National Institute on Disability and Rehabilitation Research (B)	X	X	X	X		
84.141 Migrant Education_High School Equivalency Program (B)			X			
84.144 Migrant Education_Coordination Program (B)		X		X		
84.145 Federal Real Property Assistance Program (H)		X		X		
84.149 Migrant Education_College Assistance Migrant Program (B)			X			
84.160 Training Interpreters for Individuals who are Deaf and Individuals who are Deaf-Blind (B)		X	X	X		
84.161 Rehabilitation Services_Client Assistance Program (A)				X		
84.165 Magnet Schools Assistance (B)		X	X			

APPLICANT ELIGIBILITY INDEX

Program Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Governments
84.169 Independent Living_State Grants (A)				X		
84.173 Special Education_Preschool Grants (A)				X		
84.177 Rehabilitation Services_Independent Living Services for Older Individuals Who are Blind (B)				X		
84.181 Special Education-Grants for Infants and Families (A)				X		
84.184 Safe and Drug-Free Schools and Communities_National Programs (B)		X	X	X		
84.187 Supported Employment Services for Individuals with the Most Significant Disabilities (A)				X		
84.191 Adult Education_National Leadership Activities (B,C)			X			
84.196 Education for Homeless Children and Youth (A)				X		
84.200 Graduate Assistance in Areas of National Need (B)			X	X		
84.215 Fund for the Improvement of Education (B)				X		X
84.217 TRIO_McNair Post-Baccalaureate Achievement (B)			X	X		
84.220 Centers for International Business Education (B)			X	X		X
84.224 Assistive Technology (A)		X		X		
84.229 Language Resource Centers (B)		X		X		
84.235 Rehabilitation Services Demonstration and Training Programs (B)			X	X		
84.240 Program of Protection and Advocacy of Individual Rights (A)		X	X			
84.245 Tribally Controlled Postsecondary Career and Technical Institutions (B)						X
84.246 Rehabilitation Short-Term Training (B)		X	X	X		
84.250 Rehabilitation Services_American Indians with Disabilities (B)		X				X
84.256 Territories and Freely Associated States Education Grant Program (B)						
84.259 Native Hawaiian Career and Technical Education (B)			X			
84.264 Rehabilitation Training_Continuing Education (B)		X	X	X		
84.265 Rehabilitation Training_State Vocational Rehabilitation Unit In-Service Training (B)				X		
84.268 Federal Direct Student Loans (E)	X					
84.274 American Overseas Research Centers (B)			X	X		
84.275 Rehabilitation Training_General Training (B)		X	X	X		
84.282 Charter Schools (B)		X	X	X		
84.283 Comprehensive Centers (B)			X			
84.287 Twenty-First Century Community Learning Centers (A)		X	X	X		
84.295 Ready-To-Learn Television (B)						
84.299 Indian Education -- Special Programs for Indian Children (B)		X	X			
84.305 Education Research, Development and Dissemination (B)		X	X			
84.315 Capacity Building for Traditionally Underserved Populations (B)			X			
84.323 Special Education - State Personnel Development (B)				X		
84.324 Research in Special Education (B)		X	X	X		
84.325 Special Education - Personnel Development to Improve Services and Results for Children with Disabilities (B)		X	X	X		
84.326 Special Education_Technical Assistance and Dissemination to Improve Services and Results for Children with Disabilities (B)		X	X	X		
84.327 Special Education_Educational Technology Media, and Materials for Individuals with Disabilities (B)		X	X	X		
84.328 Special Education_Parent Information Centers (B)						
84.329 Special Education_Studies and Evaluations (B)		X	X	X		
84.330 Advanced Placement Program (Advanced Placement Test Fee; Advanced Placement Incentive Program Grants) (B)		X		X		
84.334 Gaining Early Awareness and Readiness for Undergraduate Programs (B)			X	X		

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Program Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Governments
84.335	Child Care Access Means Parents in School (B)		X			
84.336	Teacher Quality Partnership Grants (B)		X			
84.343	Assistive Technology_State Grants for Protection and Advocacy (A)			X		
84.350	Transition to Teaching (B)	X	X	X		
84.351	Arts in Education (B)		X	X		
84.354	Credit Enhancement for Charter School Facilities (B)	X		X		
84.356	Alaska Native Educational Programs (B)					
84.358	Rural Education (A)	X				
84.360	High School Graduation Initiative (B)	X		X		
84.362	Native Hawaiian Education (B)	X	X	X		
84.363	School Leadership (B)		X	X		
84.365	English Language Acquisition State Grants (A)	X		X		
84.366	Mathematics and Science Partnerships (A)			X		
84.367	Improving Teacher Quality State Grants (A)			X		
84.368	Grants for Enhanced Assessment Instruments (B)					
84.369	Grants for State Assessments and Related Activities (A)			X		
84.370	DC School Choice Incentive Program (B)					
84.371	Striving Readers (A,B)	X				
84.372	Statewide Data Systems (B)			X		
84.373	Special Education_Technical Assistance on State Data Collection (B)			X		
84.374	Teacher Incentive Fund (B)	X		X		
84.377	School Improvement Grants (A)			X		
84.378	College Access Challenge Grant Program (A)	X		X		
84.379	Teacher Education Assistance for College and Higher Education Grants (TEACH Grants) (C)	X		X		
84.380	Special Education -- Olympic Education Programs (B)					
84.382	Strengthening Minority-Serving Institutions (B)	X				X
84.403	Consolidated Grant to the Outlying Areas (A)					
84.407	Transition Programs for Students with Intellectual Disabilities into Higher Education (B)			X	X	
84.408	Postsecondary Education Scholarships for Veteran's Dependents (C)	X				
84.411	Investing in Innovation (i3) Fund (B)					
84.412	Race to the Top Early Learning Challenge (B)					
84.414	Graduate Research Opportunities for Minority Students (Minorities and Retirement Security Program) (B)					
84.415	State Tribal Education Partnership (STEP) (B)					
84.416	Race to the Top - District Grants (B)					
84.417	Directed Grants and Awards (C)					
84.418	Promoting Readiness of Minors in Supplemental Security Income (B)			X		

CHRISTOPHER COLUMBUS FELLOWSHIP FOUNDATION

85.102	Christopher Columbus Awards (B)	X				
85.104	Life Sciences Awards (D)	X	X	X	X	X
85.105	Agriscience Awards (D)	X	X	X	X	X

MORRIS K. UDALL FOUNDATION

85.400	Morris K. Udall Scholarship Program (C)	X				
85.402	Morris K. Udall Native American Congressional Internship Program (C)	X				

VIETNAM EDUCATION FOUNDATION

85.801	U.S. Faculty Scholar Grants (B)	X				
85.802	Fellowship Program (B)	X				
85.803	Visiting Scholar Grants (B)	X				

APPLICANT ELIGIBILITY INDEX

Program Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Governments
NATIONAL ARCHIVES AND RECORDS ADMINISTRATION						
89.001 National Archives Reference Services_Historical Research (I,K,L)	X	X	X	X	X	X
89.003 National Historical Publications and Records Grants (B)		X	X	X		X
NORTHERN BORDER REGIONAL COMMISSION						
90.601 Northern Border Regional Development (B)		X	X			X
UNITED STATES INSTITUTE OF PEACE						
91.004 Public Education for Peacebuilding Awards Program (B)					X	
DEPARTMENT OF HEALTH AND HUMAN SERVICES						
93.001 Civil Rights and Privacy Rule Compliance Activities (K,N)	X	X	X	X	X	X
93.003 Public Health and Social Services Emergency Fund (B)		X		X		
93.004 Cooperative Agreements to Improve the Health Status of Minority Populations (B)		X	X	X		X
93.006 State and Territorial and Technical Assistance Capacity Development Minority HIV/AIDS Demonstration Program (B)						
93.007 Public Awareness Campaigns on Embryo Adoption (B)			X			
93.008 Medical Reserve Corps Small Grant Program (B)						
93.009 Compassion Capital Fund (B)				X		X
93.010 Community-Based Abstinence Education (CBAE) (B)		X	X	X		X
93.011 National Organizations of State and Local Officials (B)			X			
93.015 HIV Prevention Programs for Women (B)		X	X	X		X
93.016 Postal Model for Medical Countermeasures Delivery and Distribution (B)		X	X	X		X
93.018 Strengthening Public Health Services at the Outreach Offices of the U.S.-Mexico Border Health Commission (B)						
93.019 Technical Assistance and Provision for Foreign Hospitals and Health Organizations (B)						
93.041 Special Programs for the Aging_Title VII, Chapter 3_Programs for Prevention of Elder Abuse, Neglect, and Exploitation (A)			X	X		
93.042 Special Programs for the Aging_Title VII, Chapter 2_Long Term Care Ombudsman Services for Older Individuals (A)				X		
93.043 Special Programs for the Aging_Title III, Part D_Disease Prevention and Health Promotion Services (A)				X		
93.044 Special Programs for the Aging_Title III, Part B_Grants for Supportive Services and Senior Centers (A)				X		
93.045 Special Programs for the Aging_Title III, Part C_Nutrition Services (A)				X		
93.047 Special Programs for the Aging_Title VI, Part A, Grants to Indian Tribes_Part B, Grants to Native Hawaiians (B)		X				X
93.048 Special Programs for the Aging_Title IV_and Title II_Discretionary Projects (B)			X			
93.051 Alzheimer's Disease Demonstration Grants to States (B)				X		
93.052 National Family Caregiver Support, Title III, Part E (A)		X		X	X	
93.053 Nutrition Services Incentive Program (A)				X	X	X
93.054 National Family Caregiver Support, Title VI, Part C, Grants To Indian Tribes And Native Hawaiians (B)						X
93.055 PPHF 2012-Applied Leadership for Community Health Improvement (B)		X		X	X	X
93.056 Initiative to Educate State and Territorial Officials about Maintaining and Strengthening Public Health in a Changing Environment (B)			X			
93.058 Tobacco Regulation Awareness, Communication, and Education Program (B)		X			X	X

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Program Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Governments
93.059 Training in General, Pediatric, and Public Health Dentistry (B)		X	X	X		X
93.060 Competitive Abstinence Education (CAE) (B)		X	X	X		X
93.061 Innovations in Applied Public Health Research (B)			X			
93.062 Biomonitoring Programs for State Public Health Laboratories (B)				X		
93.064 Laboratory Training, Evaluation, and Quality Assurance Programs (B)		X	X	X	X	
93.065 Laboratory Leadership, Workforce Training and Management Development, Improving Public Health Laboratory Infrastructure (B)				X		
93.066 State Vital Statistics Improvement Program (B)			X			
93.067 Global AIDS (B)	X	X	X	X	X	X
93.069 Public Health Emergency Preparedness (B)		X		X	X	
93.070 Environmental Public Health and Emergency Response (B)						X
93.071 Medicare Enrollment Assistance Program (A,B)						
93.072 Lifespan Respite Care Program (B)				X		
93.073 Birth Defects and Developmental Disabilities - Prevention and Surveillance (B)			X	X	X	X
93.074 Hospital Preparedness Program (HPP) and Public Health Emergency Preparedness (PHEP) Aligned Cooperative Agreements (A)		X		X	X	
93.075 Systems Interoperability_Health and Human Services (B)				X		
93.076 TANF Program Integrity Innovation Grants (B)				X		
93.077 Family Smoking Prevention and Tobacco Control Act Regulatory Research (B,M)			X			
93.078 Strengthening Emergency Care Delivery in the United States Healthcare System through Health Information and Promotion (B)			X	X		
93.079 Cooperative Agreements to Promote Adolescent Health through School-Based HIV/STD Prevention and School-Based Surveillance (B)		X	X		X	
93.080 Blood Disorder Program: Prevention, Surveillance, and Research (B)		X	X	X	X	X
93.081 ASPR Science Preparedness and Reponse Grants (B)		X	X	X		
93.082 Sodium Reduction in Communities (B)		X				X
93.083 Prevention of Disease, Disability, and Death through Immunization and Control of Respiratory and Related Diseases (B)		X	X	X		X
93.084 Prevention of Disease, Disability, and Death by Infectious Diseases (B)	X	X	X	X	X	X
93.085 Research of the Responsible Conduct of Research (RCR) (B)		X	X	X		X
93.086 Healthy Marriage Promotion and Responsible Fatherhood Grants (B,L)		X	X	X		X
93.087 Enhance Safety of Children Affected by Substance Abuse (B)		X	X	X		X
93.088 Advancing System Improvements to Support Targets for Healthy People 2010 (B)		X	X	X		X
93.089 Emergency System for Advance Registration of Volunteer Health Professionals (B)			X	X		
93.090 Guardianship Assistance (A)				X		X
93.091 Social Services and Income Maintenance Benefits Enrollment Coordination Grants (B)						
93.092 Affordable Care Act (ACA) Personal Responsibility Education Program (A,B)		X	X	X		X
93.093 Affordable Care Act (ACA) Health Profession Opportunity Grants (B)			X	X		X
93.094 Well-Integrated Screening and Evaluation for Women Across the Nation (B)		X		X		X

APPLICANT ELIGIBILITY INDEX

Program Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Governments
93.095 HHS Programs for Disaster Relief Appropriations Act - Non Construction (A,B)		X	X	X		X
93.096 HHS Programs for Disaster Relief Appropriations Act - Construction (B)			X			X
93.097 Strengthening the Nation's Public Health System through a National Voluntary Accreditation Program for State, Tribal, Local and Territorial Health Departments (B)						
93.098 Tribal Public Health Capacity Building and Quality Improvement (B)						
93.099 Collaboration with the World Health Organization and its regional offices for global health security and the International Health Regulations (IHR 2005) (B)						
93.103 Food and Drug Administration_Research (B)		X	X	X	X	X
93.104 Comprehensive Community Mental Health Services for Children with Serious Emotional Disturbances (SED) (B)		X		X		
93.107 Area Health Education Centers Point of Service Maintenance and Enhancement Awards (B)			X	X		
93.110 Maternal and Child Health Federal Consolidated Programs (B)		X	X	X		X
93.113 Environmental Health (B)		X	X	X	X	
93.116 Project Grants and Cooperative Agreements for Tuberculosis Control Programs (B)		X		X		
93.117 Preventive Medicine and Public Health Residency Training Program, Integrative Medicine Program, and National Coordinating Center for Integrative Medicine (B)		X	X	X		X
93.118 Acquired Immunodeficiency Syndrome (AIDS) Activity (B)		X	X	X		
93.121 Oral Diseases and Disorders Research (B)		X	X	X	X	X
93.123 Health Professions Pregraduate Scholarship Program for Indians (M)						
93.124 Nurse Anesthetist Traineeships (A)			X	X	X	
93.127 Emergency Medical Services for Children (B)			X	X		
93.129 Technical and Non-Financial Assistance to Health Centers (B)			X			X
93.130 Cooperative Agreements to States/Territories for the Coordination and Development of Primary Care Offices (B)				X	X	
93.134 Grants to Increase Organ Donations (B)			X	X		X
93.135 Centers for Research and Demonstration for Health Promotion and Disease Prevention (B)			X	X		
93.136 Injury Prevention and Control Research and State and Community Based Programs (B)		X	X	X		X
93.137 Community Programs to Improve Minority Health Grant Program (B)		X	X	X		
93.138 Protection and Advocacy for Individuals with Mental Illness (A)				X		
93.142 NIEHS Hazardous Waste Worker Health and Safety Training (B)		X	X	X		
93.143 NIEHS Superfund Hazardous Substances_Basic Research and Education (B)		X	X	X		
93.145 AIDS Education and Training Centers (B)		X	X	X		
93.150 Projects for Assistance in Transition from Homelessness (PATH) (A)				X		
93.153 Coordinated Services and Access to Research for Women, Infants, Children, and Youth (B)		X	X	X	X	
93.155 Rural Health Research Centers (B)		X	X	X		X
93.156 Geriatric Training for Physicians, Dentists and Behavioral/Mental Health Professionals (B,M)			X	X	X	
93.157 Centers of Excellence (B)			X	X		
93.161 Health Program for Toxic Substances and Disease Registry (B)	X	X		X		

APPLICANT ELIGIBILITY INDEX

Program Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Governments
93.162 National Health Service Corps Loan Repayment Program (C)	X					
93.164 Indian Health Service Educational Loan Repayment (C)						
93.165 Grants to States for Loan Repayment Program (B,C)				X	X	
93.172 Human Genome Research (B)		X	X	X	X	X
93.173 Research Related to Deafness and Communication Disorders (B)			X			
93.178 Nursing Workforce Diversity (B)		X	X	X	X	X
93.184 Disabilities Prevention (B)		X	X	X		X
93.185 Immunization Research, Demonstration, Public Information and Education_Training and Clinical Skills Improvement Projects (B)		X	X	X	X	X
93.186 National Research Service Award in Primary Care Medicine (B)		X	X	X		
93.187 Undergraduate Scholarship Program for Individuals from Disadvantaged Backgrounds (B)	X	X	X	X	X	X
93.191 Graduate Psychology Education Program and Patient Navigator and Chronic Disease Prevention Program (B)			X			X
93.193 Urban Indian Health Services (B)						
93.197 Childhood Lead Poisoning Prevention Projects_State and Local Childhood Lead Poisoning Prevention and Surveillance of Blood Lead Levels in Children (B)		X	X	X		X
93.204 Surveillance of Hazardous Substance Emergency Events (B)				X		X
93.209 Contraception and Infertility Research Loan Repayment Program (C)						
93.210 Tribal Self-Governance Program: IHS Compacts/Funding Agreements (C)						X
93.211 Telehealth Programs (B)		X	X	X		X
93.212 Chiropractic Demonstration Project Grants (B)			X	X		
93.213 Research and Training in Complementary and Alternative Medicine (B,M)	X	X	X	X		
93.217 Family Planning_Services (B)		X	X	X		
93.220 Clinical Research Loan Repayment Program for Individuals from Disadvantaged Backgrounds (B)				X	X	
93.223 Development and Coordination of Rural Health Services (B)		X	X	X		X
93.224 Consolidated Health Centers (Community Health Centers, Migrant Health Centers, Health Care for the Homeless, and Public Housing Primary Care) (B)		X	X	X		X
93.225 National Research Service Awards_Health Services Research Training (B)		X	X	X		
93.226 Research on Healthcare Costs, Quality and Outcomes (B)		X	X	X		X
93.228 Indian Health Service_Health Management Development Program (B)						X
93.231 Epidemiology Cooperative Agreements (B)		X				X
93.232 Loan Repayment Program for General Research (B)	X			X	X	
93.233 National Center on Sleep Disorders Research (B)	X	X	X			
93.234 Traumatic Brain Injury State Demonstration Grant Program (B)					X	X
93.235 Affordable Care Act (ACA) Abstinence Education Program (A)				X	X	
93.236 Grants to States to Support Oral Health Workforce Activities (B)			X	X	X	X
93.237 Special Diabetes Program for Indians_Diabetes Prevention and Treatment Projects (B)		X				X
93.239 Policy Research and Evaluation Grants (B)		X	X	X		
93.240 State Capacity Building (B)		X		X		
93.241 State Rural Hospital Flexibility Program (A,B)				X		X
93.242 Mental Health Research Grants (B,M)	X	X	X	X	X	X
93.243 Substance Abuse and Mental Health Services_Projects of						

APPLICANT ELIGIBILITY INDEX

Program Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Governments
Regional and National Significance (B)						
93.247 Advanced Nursing Education Grant Program (B)			X		X	X
93.249 Public Health Training Centers Program (B)			X			
93.250 Geriatric Academic Career Awards (B)	X		X			
93.251 Universal Newborn Hearing Screening (B)			X	X		
93.253 Poison Center Support and Enhancement Grant Program (B)		X	X	X	X	X
93.254 Infant Adoption Awareness Training (B)		X	X	X		
93.255 Children's Hospitals Graduate Medical Education Payment Program (C)			X	X		X
93.256 State Health Access Program (B)					X	X
93.257 Grants for Education, Prevention, and Early Detection of Radiogenic Cancers and Diseases (B)		X	X	X		X
93.259 Rural Access to Emergency Devices Grant and Public Access to Defibrillation Demonstration Grant (B)		X		X		X
93.260 Family Planning_Personnel Training (B)		X	X	X		
93.261 National Diabetes Prevention Program: Preventing Type 2 Diabetes Among People at High Risk (B)			X			X
93.262 Occupational Safety and Health Program (B,M)		X		X		
93.264 Nurse Faculty Loan Program (NFLP) (A)			X	X		
93.265 Comprehensive Geriatric Education Program(CGEP) (B)			X	X		
93.266 Health Systems Strengthening and HIV/AIDS Prevention, Care and Treatment under the President's Emergency Plan for AIDS Relief (B)			X			
93.267 State Grants for Protection and Advocacy Services (A)				X		X
93.268 Immunization Cooperative Agreements (B)		X		X	X	
93.269 Complex Humanitarian Emergency and War-Related Injury Public Health Activities (B)			X	X		
93.270 Adult Viral Hepatitis Prevention and Control (B)	X	X	X	X	X	X
93.273 Alcohol Research Programs (B)	X	X	X	X	X	X
93.275 Substance Abuse and Mental Health Services-Access to Recovery (B)		X		X		X
93.276 Drug-Free Communities Support Program Grants (B)			X			X
93.279 Drug Abuse and Addiction Research Programs (B,M)	X	X	X	X		
93.280 National Institutes of Health Loan Repayment Program for Clinical Researchers (C)						
93.281 Mental Health Research Career/Scientist Development Awards (B)	X	X	X	X		
93.282 Mental Health National Research Service Awards for Research Training (M)	X		X			
93.283 Centers for Disease Control and Prevention_Investigations and Technical Assistance (B)	X	X	X	X		
93.284 Injury Prevention Program for American Indians and Alaskan Natives_Cooperative Agreements (B)			X			X
93.285 National Institutes of Health Pediatric Research Loan Repayment Program (C)						
93.286 Discovery and Applied Research for Technological Innovations to Improve Human Health (B)			X			X
93.288 National Health Service Corps Scholarship Program (C)	X					
93.289 President's Council on Fitness, Sports, and Nutrition (K)	X	X	X	X	X	X
93.290 National Community Centers of Excellence in Women's Health (B)		X	X	X		
93.291 Surplus Property Utilization (H)		X	X	X		
93.292 National Public Health Improvement Initiative (A)		X			X	X
93.293 Supporting Permanent Placements of Foster Care Children Through Electronic Records Exchange (B)						
93.296 State Partnership Grant Program to Improve Minority Health (B)				X		
93.297 Teenage Pregnancy Prevention Program (B)			X			
93.300 National Center for Health Workforce Analysis (B)		X	X	X		X

APPLICANT ELIGIBILITY INDEX

Program Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Governments
93.301 Small Rural Hospital Improvement Grant Program (B)		X		X		
93.303 NURSE Corps Scholarship Program (C)	X					
93.304 Racial and Ethnic Approaches to Community Health (B)			X			
93.305 National State Based Tobacco Control Programs (B)				X		
93.307 Minority Health and Health Disparities Research (B)		X	X	X	X	X
93.308 National Institute on Minority Health and Health Disparities (NIMHD) Extramural Loan Repayment Programs (C)						
93.310 Trans-NIH Research Support (B,M)		X	X	X		
93.311 Mobilization For Health: National Prevention Partnership Awards (NPPA) (B)		X	X	X	X	X
93.313 NIH Office of Research on Women's Health (B)			X	X		
93.338 Foreign Public Health Construction (B)			X			
93.339 Public Health Conference Support (B)	X	X	X	X	X	X
93.342 Health Professions Student Loans, Including Primary Care Loans/Loans for Disadvantaged Students (B)			X	X		
93.350 National Center for Advancing Translational Sciences (B)		X	X	X		X
93.351 Research Infrastructure Programs (B)				X		
93.352 Construction Support (B)			X			
93.358 Advanced Education Nursing Traineeships (B)		X	X	X		X
93.359 Nurse Education, Practice Quality and Retention Grants (B)		X	X	X		X
93.360 Biomedical Advanced Research and Development Authority (BARDA), Biodefense Medical Countermeasure Development (B)			X	X		X
93.361 Nursing Research (B)	X	X	X	X	X	X
93.364 Nursing Student Loans (E)			X			
93.365 Sickle Cell Treatment Demonstration Program (B)			X	X		
93.389 National Center for Research Resources (B)		X	X	X		
93.393 Cancer Cause and Prevention Research (B)		X	X	X		
93.394 Cancer Detection and Diagnosis Research (B)		X	X	X		
93.395 Cancer Treatment Research (B)		X	X	X		
93.396 Cancer Biology Research (B)		X	X	X		
93.397 Cancer Centers Support Grants (B)		X	X	X		
93.398 Cancer Research Manpower (B)			X			
93.399 Cancer Control (B)		X	X	X		
93.400 National Health Service Corps Scholarship Program (C)	X					
93.401 ARRA - National Health Service Corps Loan Repayment (C)	X					
93.402 ARRA - State Loan Repayment Program (B,C)					X	
93.403 ARRA Grants for Training in Primary Care Medicine and Dentistry Training and Enhancement (B)			X	X		
93.404 ARRA Dental Public Health Residency Training Grants (B)			X	X		
93.406 NURSE Corps Loan Repayment Program (C)		X				
93.409 Faculty Loan Repayment Programs (C)						
93.414 ARRA - State Primary Care Offices (B)			X	X	X	
93.415 ARRA - Centers of Excellence (B)			X	X		
93.420 ARRA - Community Health Applied Research Network (B,C)			X			X
93.440 National Project Management of the Healthcare Communities Knowledge Gateway (B)			X			X
93.441 Indian Self-Determination (C)		X				
93.442 Special Diabetes Program for Indians (SDPI) Diabetes Prevention and Healthy Heart Initiative (B)				X		X
93.443 Health Promotion/Disease Prevention Program for American Indians and Alaska Natives (B)						X
93.444 Tribal Self-Governance Program: Planning and Negotiation Cooperative Agreement (B)						X
93.445 Indian Health Service Sanitation Facilities Construction						

APPLICANT ELIGIBILITY INDEX

Program Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Governments
Program (J)						
93.448 Food Safety and Security Monitoring Project (B)		X		X		X
93.449 Ruminant Feed Ban Support Project (B)		X		X	X	X
93.452 Health Improvement for Re-entering Ex-offenders Initiative (HIRE) HIV/AIDS (B)			X			X
93.500 Pregnancy Assistance Fund Program (B)		X		X		X
93.501 Affordable Care Act (ACA) Grants for School-Based Health Center Capital Expenditures (B)		X	X	X		X
93.502 Affordable Care Act (ACA) Infrastructure to Expand Access to Care (B)			X	X		
93.503 Affordable Care Act (ACA) Nursing Assistant and Home Health Aide Program (B)			X	X		X
93.504 Affordable Care Act (ACA) Family to Family Health Information Centers (B)		X	X	X	X	X
93.505 Affordable Care Act (ACA) Maternal, Infant, and Early Childhood Home Visiting Program (A,B)					X	
93.506 ACA Nationwide Program for National and State Background Checks for Direct Patient Access Employees of Long Term Care Facilities and Providers (B)					X	
93.507 PPHF 2012 National Public Health Improvement Initiative (B)		X			X	X
93.508 Affordable Care Act (ACA) Tribal Maternal, Infant, and Early Childhood Home Visiting Program (B)			X			X
93.509 Affordable Care Act (ACA) State Health Care Workforce Development Grants (B)						X
93.510 Affordable Care Act (ACA) Primary Care Residency Expansion Program (B)			X			X
93.511 Affordable Care Act (ACA) Grants to States for Health Insurance Premium Review (B)						
93.512 Affordable Care Act (ACA) Personal and Home Care Aide State Training Program (PHCAST) (B)						X
93.513 Affordable Care Act (ACA) Advanced Nursing Education Expansion Initiative (B)		X	X	X	X	X
93.514 Affordable Care Act (ACA) Expansion of Physician Assistant Training Program (B)		X	X	X		
93.515 Affordable Care Act (ACA) Nurse-Managed Health Clinics (B)			X			X
93.516 Affordable Care Act (ACA) Public Health Training Centers Program (B)			X			X
93.517 Affordable Care Act Aging and Disability Resource Center (B)						
93.518 Affordable Care Act - Medicare Improvements for Patients and Providers (A,B)						
93.519 Affordable Care Act (ACA) Consumer Assistance Program Grants (B)				X		
93.520 Centers for Disease Control and Prevention Affordable Care Act (ACA) Communities Putting Prevention to Work (B)		X			X	X
93.521 The Affordable Care Act: Building Epidemiology, Laboratory, and Health Information Systems Capacity in the Epidemiology and Laboratory Capacity for Infectious Disease (ELC) and Emerging Infections Program (EIP) Cooperative Agreements;PPHF (B)					X	
93.523 The Affordable Care Act: Human Immunodeficiency Virus (HIV) Prevention and Public Health Fund Activities (B)		X		X	X	
93.524 Building Capacity of the Public Health System to Improve Population Health through National, Non-Profit Organizations- financed in part by 2013 Prevention and Public Health Funds (PPHF-2013) (B)						
93.525 State Planning and Establishment Grants for the Affordable						X

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Program Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Governments
Care Act (ACA)s Exchanges (B)						
93.526 Affordable Care Act (ACA) Grants for Capital Development in Health Centers (B)		X	X	X		
93.527 Affordable Care Act (ACA) Grants for New and Expanded Services under the Health Center Program (B)		X	X	X		X
93.528 National Forum for State and Territorial Chief Executives (B)			X			
93.529 Pre-existing Condition Insurance Program (PCIP) (C)						
93.530 Affordable Care Act - Teaching Health Center Graduate Medical Education Payments Program (A)		X	X			X
93.531 PPHF 2012: Community Transformation Grants and National Dissemination and Support for Community Transformation Grants - financed solely by 2012 Prevention and Public Health Funds (B)		X	X	X	X	X
93.533 Prevention and Public Health Fund (Affordable Care Act): Enhanced Surveillance for New Vaccine Preventable Disease (B)		X	X	X	X	X
93.534 Affordable Care Act Program for Early Detection of Certain Medical Conditions Related to Environmental Health Hazards (B)			X	X		X
93.535 Affordable Care Act (ACA) Childhood Obesity Research Demonstration (B)		X	X	X	X	X
93.536 The Affordable Care Act Medicaid Incentives for Prevention of Chronic Disease Demonstration Project (B)				X		
93.537 Affordable Care Act Medicaid Emergency Psychiatric Demonstration (C)				X		
93.538 Affordable Care Act - National Environmental Public Health Tracking Program-Network Implementation (B)		X		X		
93.539 PPHF 2012 - Prevention and Public Health Fund (Affordable Care Act) - Capacity Building Assistance to Strengthen Public Health Immunization Infrastructure and Performance financed in part by 2012 Prevention and Public Health Funds (B)		X			X	
93.540 Affordable Care Act Streamlined surveillance for ventilator-associated pneumonia: Reducing burden and demonstrating preventability; and Prevention and Public Health Fund (B)			X			
93.541 The Patient Protection and Affordable Care Act of 2010 (ACA) (B)			X		X	X
93.542 Health Promotion and Disease Prevention Research Centers: PPHF 2012 - Affordable Care Act Projects (B)						
93.544 The Patient Protection and Affordable Care Act of 2010 (Affordable Care Act) authorizes Coordinated Chronic Disease prevention and Health Promotion Program (B)					X	
93.545 Consumer Operated and Oriented Plan [CO-OP] Program (E)						
93.546 Early Retiree Reinsurance Program (C)			X			
93.547 Affordable Care Act National Health Service Corps (B,C)	X				X	
93.548 PPHF2013: State Nutrition, Physical Activity, and Obesity Programs - financed in part by 2013 PPHF (B)				X		
93.549 Affordable Care Act (ACA) The Primary Care Services Resource Coordination and Development Program (B)					X	
93.550 Transitional Living for Homeless Youth (B)		X	X	X		X
93.551 Abandoned Infants (B)		X	X	X		X
93.556 Promoting Safe and Stable Families (A,B)				X		X
93.557 Education and Prevention Grants to Reduce Sexual Abuse of Runaway, Homeless and Street Youth (B)						
93.558 Temporary Assistance for Needy Families (A)					X	X
93.560 Family Support Payments to States_Assistance Payments (A)					X	
93.563 Child Support Enforcement (A)				X	X	

APPLICANT ELIGIBILITY INDEX

Program Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Governments
93.564 Child Support Enforcement Research (B)				X		
93.566 Refugee and Entrant Assistance_State Administered Programs (A)				X		
93.567 Refugee and Entrant Assistance_Voluntary Agency Programs (B)						
93.568 Low-Income Home Energy Assistance (A)			X	X		X
93.569 Community Services Block Grant (A)				X		X
93.570 Community Services Block Grant_Discretionary Awards (B)			X	X		
93.575 Child Care and Development Block Grant (A)					X	X
93.576 Refugee and Entrant Assistance_Discretionary Grants (B)		X		X		
93.579 U.S. Repatriation (B)						
93.581 Improving the Capability of Indian Tribal Governments to Regulate Environmental Quality (B)						X
93.583 Refugee and Entrant Assistance_Wilson/Fish Program (B)				X		
93.584 Refugee and Entrant Assistance_Targeted Assistance Grants (A)				X		
93.586 State Court Improvement Program (A)				X		
93.587 Promote the Survival and Continuing Vitality of Native American Languages (B)		X	X			X
93.590 Community-Based Child Abuse Prevention Grants (A)				X		
93.591 Family Violence Prevention and Services/Battered Women's Shelters_Grants to State Domestic Violence Coalitions (A)						
93.592 Family Violence Prevention and Services/Battered Women's Shelters_Discretionary Grants (B)		X	X			X
93.593 Job Opportunities for Low-Income Individuals (B)			X			
93.594 Tribal Work Grants (A)						X
93.595 Welfare Reform Research, Evaluations and National Studies (B)		X	X	X		
93.596 Child Care Mandatory and Matching Funds of the Child Care and Development Fund (A)						X
93.597 Grants to States for Access and Visitation Programs (A)					X	
93.598 Services to Victims of a Severe Form of Trafficking (B)		X	X	X		
93.599 Chafee Education and Training Vouchers Program (ETV) (A)				X		X
93.600 Head Start (B)		X	X			X
93.601 Child Support Enforcement Demonstrations and Special Projects (B)		X	X	X		
93.602 Assets for Independence Demonstration Program (B)		X	X	X		X
93.603 Adoption Incentive Payments (A)				X		
93.604 Assistance for Torture Victims (B)			X			
93.605 Family Connection Grants (B)		X	X	X		
93.606 Affordable Care Act - Preparedness and Emergency Response Learning Centers (B)			X			
93.607 Affordable Care Act - Preparedness and Emergency Response Research Centers: A Public Health Systems Approach (B)			X			
93.609 The Affordable Care Act Medicaid Adult Quality Grants (B)					X	
93.610 Health Care Innovation Awards (HCIA) (B)						
93.611 Strong Start for Mothers and Newborns (B)			X	X	X	
93.612 Native American Programs (B)		X	X			X
93.613 President's Committee for People with Intellectual Disabilities (PCPID) (L)	X	X	X	X	X	X
93.615 Affordable Care Act (ACA) Maternal, Infant, and Early Childhood Home Visiting Research Programs (B)			X		X	X
93.616 Mentoring Children of Prisoners (B)		X	X	X		X
93.617 Voting Access for Individuals with Disabilities_Grants to States (A)				X		
93.618 Voting Access for Individuals with Disabilities-Grants for						

APPLICANT ELIGIBILITY INDEX

Program Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Governments
Protection and Advocacy Systems (A)						
93.621 Affordable Care Act Initiative to Reduce Avoidable Hospitalizations among Nursing Facility Residents (B)	X	X	X			X
93.622 Affordable Care Act: Coordinating Center for Interprofessional Education and Collaborative Practice (B)			X			X
93.623 Basic Center Grant (B)		X	X	X		X
93.624 ACA - State Innovation Models: Funding for Model Design and Model Testing Assistance (B)					X	
93.626 Affordable Care Act State Health Insurance Assistance Program (SHIP) and Aging and Disability Resource Center (ADRC) Options Counseling for Medicare-Medicaid Individuals in States with Approved Financial Alignment Models (B)					X	
93.627 Affordable Care Act: Testing Experience and Functional Assessment Tools (B)						
93.628 Affordable Care Act Implementation Support for State Demonstrations to Integrate Care for Medicare-Medicaid Enrollees (B)						
93.630 Developmental Disabilities Basic Support and Advocacy Grants (A)						
93.631 Developmental Disabilities Projects of National Significance (B)		X		X		
93.632 University Centers for Excellence in Developmental Disabilities Education, Research, and Service (B)						
93.634 ACA Support for Demonstration Ombudsman Programs Serving Beneficiaries of State Demonstrations to Integrate Care for Medicare-Medicaid (B)						
93.643 Children's Justice Grants to States (A)				X		
93.645 Stephanie Tubbs Jones Child Welfare Services Program (A)				X		X
93.647 Social Services Research and Demonstration (B)			X	X		X
93.648 Child Welfare Research Training or Demonstration (B)		X	X	X		X
93.652 Adoption Opportunities (B)		X	X	X		
93.658 Foster Care Title IV-E (A,B)				X		X
93.659 Adoption Assistance (A)				X		X
93.667 Social Services Block Grant (A)				X		
93.669 Child Abuse and Neglect State Grants (A)				X		
93.670 Child Abuse and Neglect Discretionary Activities (B)		X	X	X		
93.671 Family Violence Prevention and Services/Battered Women's Shelters Grants to States and Indian Tribes (A)					X	X
93.674 Chafee Foster Care Independence Program (A)				X		X
93.676 Unaccompanied Alien Children Program (B)		X		X		
93.701 Trans-NIH Recovery Act Research Support (B)						
93.702 National Center for Research Resources, Recovery Act Construction Support (B)			X			
93.703 ARRA Grants to Health Center Programs (B)			X			X
93.704 Trans-NIH Recovery Act Loan Repayment Support (C)						
93.706 Aging Nutrition Services for Native Americans (B)						X
93.708 ARRA - Head Start (B)		X	X	X		X
93.709 ARRA - Early Head Start (B)		X	X	X		X
93.710 ARRA - Community Services Block Grant (A)				X		X
93.711 ARRA Strengthening Communities Fund (B)						X
93.713 ARRA Child Care and Development Block Grant (A)					X	X
93.714 ARRA Emergency Contingency Fund for Temporary Assistance for Needy Families (TANF) State Program (A)					X	X
93.715 Recovery Act Comparative Effectiveness Research - AHRQ (B)		X	X	X		X
93.716 ARRA Temporary Assistance for Needy Families (TANF) Supplemental Grants (A)						
93.718 Health Information Technology Regional Extension Centers				X		

APPLICANT ELIGIBILITY INDEX

Program Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Governments
Program (B)						
93.719 ARRA - State Grants to Promote Health Information Technology (B)				X		
93.720 ARRA - Survey and Certification Ambulatory Surgical Center Healthcare-Associated Infection (ASC-HAI) Prevention Initiative (B)				X		
93.721 ARRA - Health Information Technology Professionals in Health Care (B)			X			
93.723 ARRA - Prevention and Wellness-State, Territories and Pacific Islands (B)						
93.724 ARRA - Prevention and Wellness Communities Putting Prevention to Work Funding Opportunities Announcement (FOA) (B)						
93.725 ARRA - Communities Putting Prevention to Work: Chronic Disease Self-Management Program (B)					X	
93.726 ARRA Accelerating Adoption of Comparative Effectiveness Research (CER) (B)		X	X	X		X
93.727 ARRA - Health Information Technology - Beacon Communities (B)		X	X	X	X	X
93.728 ARRA - Strategic Health IT Advanced Research Projects (SHARP) (B)			X			
93.730 ARRA Prevention Research Centers Comparative Effectiveness Research Program (B)						
93.731 ARRA - Prevention and Wellness - Leveraging National Organizations (B)			X			
93.732 Mental and Behavioral Health Education and Training Grants (B)			X	X	X	X
93.733 Capacity Building Assistance to Strengthen Public Health Immunization Infrastructure and Performance financed in part by the Prevention and Public Health Fund (PPHF-2012) (B)		X			X	
93.734 Empowering Older Adults and Adults with Disabilities through Chronic Disease Self-Management Education Programs financed by 2012 Prevention and Public Health Funds (PPHF-2012) (B)					X	
93.735 State Public Health Approaches for Ensuring Qitline Capacity Funded in part by 2012 Prevention and Public Health Funds (PPHF-2012) (B)				X	X	
93.736 Prevention Public Health Fund 2012: Viral Hepatitis Prevention (B)		X	X			X
93.737 PPHF 2012: Community Transformation Grants -Small Communities Program financed solely by 2012 Public Prevention and Health Funds (B)				X	X	X
93.738 PPHF 2012: Racial and Ethnic Approaches to Community Health Program financed solely by 2012 Public Prevention and Health Funds (B)			X	X	X	X
93.739 PPHF2012: Chronic disease Inovation Grants - financed soley by 2012 Public Prevention Health Funds (B)						X
93.740 Prevention Public Health Fund 2012: Viral Hepatitis Education (B)		X	X			X
93.741 Breastfeeding Promotion and Support Improving Maternity Care Practices project financed solely by 2012 Public Prevention and Health Funds (B)			X			
93.742 PPHF 2012: Early Childcare and Education Obesity Prevention Program - Obesity Prevention in Young Children - financed solely by 2012 Public Prevention and Health Funds (B)			X			X
93.743 Racial and Ethnic Approaches to Community Health: Obesity and Hypertension Demonstration Projects financed solely by 2012 Prevention and Public Health Funds (B)			X	X	X	X

APPLICANT ELIGIBILITY INDEX

Program Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Governments
93.744 PPHF 2012: Breast and Cervical Cancer Screening Opportunities for States, Tribes and Territories solely financed by 2012 Prevention and Public Health Funds (B)					X	
93.745 PPHF-2012: Health Care Surveillance/Health Statistics Surveillance Program Announcement: Behavioral Risk Factor Surveillance System Financed in Part by 2012 Prevention and Public Health Funds (PPHF-2012) (B)					X	
93.747 Elder Abuse Prevention Interventions Program (B)						X
93.748 PPHF-2012 Cooperative Agreements for Prescription Drug Monitoring Program Electronic Health Record (EHR) Integration and Interoperability Expansion (B)					X	
93.749 PPHF 2012 Public Health Laboratory Infrastructure financed solely by 2012 Prevention and Public Health Fund (B)						
93.750 PPHF 2013 - Cooperative Agreement to Support Navigators in Federally-facilitated and State Partnership Exchanges (B)	X		X			X
93.751 PPHF2013: Consortium for Tobacco Use Cessation Technical Assistance financed by solely by 2013 Prevention and Public Health Funds (B)	X	X	X	X	X	X
93.767 Children's Health Insurance Program (A,B)				X		
93.768 Medicaid Infrastructure Grants To Support the Competitive Employment of People with Disabilities (B)				X		
93.770 Medicare_Prescription Drug Coverage (C)						
93.773 Medicare_Hospital Insurance (C)	X					
93.774 Medicare_Supplementary Medical Insurance (C)	X					
93.775 State Medicaid Fraud Control Units (A)						
93.777 State Survey and Certification of Health Care Providers and Suppliers (Title XVIII) Medicare (A)				X		
93.778 Medical Assistance Program (A)		X		X		
93.779 Centers for Medicare and Medicaid Services (CMS) Research, Demonstrations and Evaluations (B)			X	X		
93.780 Grants to States for Operation of Qualified High-Risk Pools (A)				X		
93.784 Federal Reimbursement of Emergency Health Services Furnished to Undocumented Aliens (C)			X	X		
93.791 Money Follows the Person Rebalancing Demonstration (B)				X		
93.796 State Survey Certification of Health Care Providers and Suppliers (Title XIX) Medicaid (A)						
93.822 Health Careers Opportunity Program (B)		X	X	X		
93.824 Area Health Education Centers Infrastructure Development Awards (B)		X	X	X		
93.837 Cardiovascular Diseases Research (B)	X	X	X			
93.838 Lung Diseases Research (B)	X	X	X			
93.839 Blood Diseases and Resources Research (B)	X	X	X			
93.846 Arthritis, Musculoskeletal and Skin Diseases Research (B)	X	X	X	X		
93.847 Diabetes, Digestive, and Kidney Diseases Extramural Research (B)	X	X	X	X		
93.853 Extramural Research Programs in the Neurosciences and Neurological Disorders (B)	X	X	X	X	X	
93.855 Allergy, Immunology and Transplantation Research (B)	X	X	X	X		
93.856 Microbiology and Infectious Diseases Research (B)	X	X	X	X		
93.859 Biomedical Research and Research Training (B)	X	X	X	X		
93.865 Child Health and Human Development Extramural Research (B)	X	X	X	X		
93.866 Aging Research (B)	X	X	X	X	X	X
93.867 Vision Research (B)	X	X	X	X	X	X
93.879 Medical Library Assistance (B)		X	X	X	X	X
93.884 Grants for Primary Care Training and Enhancement (B)		X	X	X		
93.887 Health Care and Other Facilities (B)			X	X		X

APPLICANT ELIGIBILITY INDEX

Program Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Governments
93.888 Specially Selected Health Projects (B)		X	X	X		X
93.889 National Bioterrorism Hospital Preparedness Program (A)		X		X		
93.908 Nurse Corps Loan Repayment Program (C)	X					
93.910 Family and Community Violence Prevention Program (B)		X	X	X		X
93.912 Rural Health Care Services Outreach, Rural Health Network Development and Small Health Care Provider Quality Improvement Program (B)		X	X	X		X
93.913 Grants to States for Operation of Offices of Rural Health (B)				X		X
93.914 HIV Emergency Relief Project Grants (B)		X	X	X		X
93.917 HIV Care Formula Grants (A)				X		
93.918 Grants to Provide Outpatient Early Intervention Services with Respect to HIV Disease (B)		X	X	X		X
93.919 Cooperative Agreements for State-Based Comprehensive Breast and Cervical Cancer Early Detection Programs (B)				X	X	X
93.923 Disadvantaged Health Professions Faculty Loan Repayment (FLRP) and Minority Faculty Fellowship Program (MFFP) (B,C)			X	X		
93.924 Ryan White HIV/AIDS Dental Reimbursement and Community Based Dental Partnership Grants (B)			X	X		
93.925 Scholarships for Health Professions Students from Disadvantaged Backgrounds (B)		X	X	X	X	
93.926 Healthy Start Initiative (B)		X	X	X		X
93.928 Special Projects of National Significance (B)		X	X	X	X	X
93.932 Native Hawaiian Health Care Systems (B)			X			
93.933 Demonstration Projects for Indian Health (B)		X	X			X
93.936 National Institutes of Health Acquired Immunodeficiency Syndrome Research Loan Repayment Program (B)				X	X	
93.938 Cooperative Agreements to Support Comprehensive School Health Programs to Prevent the Spread of HIV and Other Important Health Problems (B)			X	X		
93.939 HIV Prevention Activities_Non-Governmental Organization Based (B)			X			
93.940 HIV Prevention Activities_Health Department Based (B)		X		X		
93.941 HIV Demonstration, Research, Public and Professional Education Projects (B)		X	X	X		
93.942 Research, Prevention, and Education Programs on Lyme Disease in the United States (B)		X	X	X		X
93.943 Epidemiologic Research Studies of Acquired Immunodeficiency Syndrome (AIDS) and Human Immunodeficiency Virus (HIV) Infection in Selected Population Groups (B)		X	X	X		
93.944 Human Immunodeficiency Virus (HIV)/Acquired Immunodeficiency Virus Syndrome (AIDS) Surveillance (B)		X		X		
93.945 Assistance Programs for Chronic Disease Prevention and Control (B)			X	X	X	
93.946 Cooperative Agreements to Support State-Based Safe Motherhood and Infant Health Initiative Programs (B)		X		X		
93.947 Tuberculosis Demonstration, Research, Public and Professional Education (B)		X	X	X		
93.958 Block Grants for Community Mental Health Services (A)				X		
93.959 Block Grants for Prevention and Treatment of Substance Abuse (A)				X		X
93.964 Prevention and Public Health Fund (PPHF) Public Health Traineeships (A)			X	X		
93.965 Coal Miners Respiratory Impairment Treatment Clinics and Services (B)		X	X	X		X
93.969 PPHF-2012 Geriatric Education Centers (B)			X		X	
93.970 Health Professions Recruitment Program for Indians (B)		X	X			X
93.971 Health Professions Preparatory Scholarship Program for						

APPLICANT ELIGIBILITY INDEX

Program Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Governments
Indians (M)						
93.972 Health Professions Scholarship Program (M)	X					
93.974 Family Planning_Service Delivery Improvement Research Grants (B)			X	X		
93.975 National All Schedules Prescription Electronic Reporting Grant (A)				X		
93.977 Preventive Health Services_Sexually Transmitted Diseases Control Grants (B)		X		X		
93.982 Mental Health Disaster Assistance and Emergency Mental Health (B)		X	X	X		
93.988 Cooperative Agreements for State-Based Diabetes Control Programs and Evaluation of Surveillance Systems (B)					X	
93.989 International Research and Research Training (B,M)		X	X	X		X
93.990 National Health Promotion (B)			X			
93.991 Preventive Health and Health Services Block Grant (A)				X	X	X
93.994 Maternal and Child Health Services Block Grant to the States (A)				X		
93.995 Adolescent Family Life_Demonstration Projects (B)		X	X	X		
93.998 Autism and Other Developmental Disabilities, Surveillance, Research, and Prevention (B)		X	X	X	X	X

CORPORATION FOR NATIONAL AND COMMUNITY SERVICE

94.002 Retired and Senior Volunteer Program (B)		X	X	X		X
94.007 Program Development and Innovation Grants (B)		X	X	X		X
94.011 Foster Grandparent Program (B)		X	X	X		X
94.013 Volunteers in Service to America (J)		X	X	X	X	X
94.016 Senior Companion Program (B)		X	X	X		X
94.017 Senior Demonstration Program (B)		X	X	X		
94.019 Social Innovation Fund (B)		X	X	X	X	X
94.020 CNCS Disaster Response Cooperative Agreement (B)		X	X	X	X	X
94.023 AmeriCorps VISTA Training & Logistics Support (L,M)		X	X	X		X

EXECUTIVE OFFICE OF THE PRESIDENT

95.001 High Intensity Drug Trafficking Areas Program (B)						
95.004 Anti-Doping Activities (B)						
95.005 Drug Court Training and Technical Assistance (B)			X			
95.006 Model State Drug Laws Initiative (B)			X			

DEPARTMENT OF HOMELAND SECURITY

97.005 State and Local Homeland Security National Training Program (B)		X	X	X		X
97.007 Homeland Security Preparedness Technical Assistance Program (B)						
97.008 Non-Profit Security Program (B)		X				
97.009 Cuban/Haitian Entrant Program (B)	X		X			
97.010 Citizenship Education and Training (B)			X			
97.012 Boating Safety Financial Assistance (A)						
97.016 Reimbursement for Firefighting on Federal Property (C)				X		
97.018 National Fire Academy Training Assistance (C)			X			
97.022 Flood Insurance (G)		X				
97.023 Community Assistance Program State Support Services Element (CAP-SSSE) (B)						
97.024 Emergency Food and Shelter National Board Program (B)			X			
97.025 National Urban Search and Rescue (US&R) Response System (B)						
97.026 Emergency Management Institute Training Assistance (C)		X				
97.027 Emergency Management Institute (EMI)_Independent Study Program (M)	X	X				

APPLICANT ELIGIBILITY INDEX

Program Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Governments
97.028 Emergency Management Institute (EMI)_Resident Educational Program (M)		X		X		
97.029 Flood Mitigation Assistance (B)				X		X
97.030 Community Disaster Loans (E)		X				
97.031 Cora Brown Fund (C)	X					
97.032 Crisis Counseling (B)					X	X
97.033 Disaster Legal Services (C)						
97.034 Disaster Unemployment Assistance (B)						X
97.036 Disaster Grants - Public Assistance (Presidentially Declared Disasters) (B)		X		X		
97.039 Hazard Mitigation Grant (B)				X		X
97.040 Chemical Stockpile Emergency Preparedness Program (B)		X				
97.041 National Dam Safety Program (B)						
97.042 Emergency Management Performance Grants (A)				X		
97.043 State Fire Training Systems Grants (B)						
97.044 Assistance to Firefighters Grant (B)		X				
97.045 Cooperating Technical Partners (B)		X		X		X
97.046 Fire Management Assistance Grant (B,J)				X		X
97.047 Pre-Disaster Mitigation (B)				X		X
97.048 Federal Disaster Assistance to Individuals and Households in Presidential Declared Disaster Areas (B,C)	X					
97.049 Presidential Declared Disaster Assistance - Disaster Housing Operations for Individuals and Households (C,J)	X					
97.050 Presidential Declared Disaster Assistance to Individuals and Households - Other Needs (C)	X					
97.052 Emergency Operations Center (B)						
97.053 Citizens-Community Resilience Innovation Challenge (B)			X			
97.055 Interoperable Emergency Communications (B)		X				
97.056 Port Security Grant Program (B)						
97.057 Intercity Bus Security Grants (B)			X			
97.061 Centers for Homeland Security (B)			X			
97.062 Scientific Leadership Awards (B,C)						
97.065 Homeland Security Advanced Research Projects Agency (B)			X			
97.066 Homeland Security Information Technology Research, Testing, Evaluation and Demonstration Program (B)						
97.067 Homeland Security Grant Program (A)		X		X		
97.069 Aviation Research Grants (B,I)			X			
97.075 Rail and Transit Security Grant Program (B)						
97.076 CyberTipline (B)						
97.077 Homeland Security Research, Development, Testing, Evaluation, and Demonstration of Technologies Related to Nuclear Threat Detection (B)		X	X	X		
97.078 Buffer Zone Protection Program (BZPP) (B)		X		X		X
97.080 Information Analysis Infrastructure Protection (IAIP) and Critical Infrastructure Monitoring and Protection (B)		X	X	X		X
97.082 Earthquake Consortium (B)						
97.083 Staffing for Adequate Fire and Emergency Response (SAFER) (B)		X				
97.088 Disaster Assistance Projects (B)	X	X	X	X		X
97.089 Driver's License Security Grant Program (B)				X		
97.091 Homeland Security Biowatch Program (B,I)		X		X		
97.092 Repetitive Flood Claims (B)				X		X
97.101 National Fallen Firefighters Memorial (C)			X			
97.103 Degrees at a Distance Program (B)			X			
97.104 Homeland Security-related Science, Technology, Engineering and Mathematics (HS STEM) Career Development Program (B)						
97.106 Securing the Cities Program (B,I,L)		X				

APPLICANT ELIGIBILITY INDEX

Program Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Governments
97.107 National Incident Management System (NIMS) (B)		X	X	X		X
97.108 Homeland Security, Research, Testing, Evaluation, and Demonstration of Technologies (B,H,I,L)	X	X	X	X		X
97.109 Disaster Housing Assistance Grant (B)	X					
97.110 Severe Repetitive Loss Program (B)				X		X
97.111 Regional Catastrophic Preparedness Grant Program (RCPGP) (B)		X		X		
97.113 Rail and Transit Security Grant Program (ARRA) (B)						
97.114 Emergency Food and Shelter National Board Program (ARRA) (B)						
97.115 Assistance to Firefighters Grant (ARRA) (B)		X				
97.116 Port Security Grant Program (ARRA) (B)						
97.120 Border Interoperability Demonstration Project (B)						
97.121 Radiological/Nuclear Detection Pilot Evaluations Program (B,I,L)		X				
97.122 Bio-Preparedness Collaboratory (B)						
97.123 Multi-State Information Sharing and Analysis Center (B)						
97.124 Interoperable Communications and Training Project (B)			X			
97.126 National Special Security Event (B)		X			X	
97.127 Cybersecurity Education and Training Assistance Program (CETAP) (B)						
97.128 National Cyber Security Awareness (B)			X			
97.129 Securing Critical Underground Infrastructure Pilot Program (B)						
97.130 National Nuclear Forensics Expertise Development Program (B)			X			
97.131 Emergency Management Baseline Assessments Grant (EMBAG) (C)						

DEADLINES INDEX

This index provides a chronological list of program deadlines as submitted by the administering agency.

NOVEMBER 01, 2012

- 15.619 Rhinoceros and Tiger Conservation Fund (B)
- 15.621 Asian Elephant Conservation Fund (B)
- 15.651 Wildlife Without Borders-Africa Program (B)

NOVEMBER 15, 2012

- 14.218 Community Development Block Grants/Entitlement Grants (A)
- 14.225 Community Development Block Grants/Special Purpose Grants/Insular Areas (B)
- 14.228 Community Development Block Grants/State's program and Non-Entitlement Grants in Hawaii (A)
- 93.280 National Institutes of Health Loan Repayment Program for Clinical Researchers (C)
- 93.285 National Institutes of Health Pediatric Research Loan Repayment Program (C)

NOVEMBER 27, 2012

- 20.721 PHMSA Pipeline Safety Program One Call Grant (B)

DECEMBER 01, 2012

- 15.620 African Elephant Conservation Fund (B)
- 15.629 Great Apes Conservation Fund (B)

DECEMBER 05, 2012

- 15.616 Clean Vessel Act (B)

DECEMBER 14, 2012

- 10.171 Organic Certification Cost Share Programs (C)

DECEMBER 15, 2012

- 93.273 Alcohol Research Programs (B)

DECEMBER 30, 2012

- 81.089 Fossil Energy Research and Development (B)

DECEMBER 31, 2012

- 10.141 Dairy Product Price Support Program (D)

JANUARY 14, 2013

- 15.615 Cooperative Endangered Species Conservation Fund (B)

JANUARY 15, 2013

- 15.926 American Battlefield Protection (B)

JANUARY 31, 2013

- 15.653 National Outreach and Communication Program (B)

FEBRUARY 01, 2013

- 93.079 Cooperative Agreements to Promote Adolescent Health through School-Based HIV/STD Prevention and School-Based Surveillance (B)
- 93.273 Alcohol Research Programs (B)

FEBRUARY 04, 2013

- 85.102 Christopher Columbus Awards (B)

FEBRUARY 12, 2013

- 85.105 Agriscience Awards (D)

FEBRUARY 15, 2013

- 15.647 Migratory Bird Conservation (B,C)
- 20.602 Occupant Protection Incentive Grants (B)

FEBRUARY 19, 2013

- 10.055 Direct and Counter-cyclical Payments Program (C)

FEBRUARY 26, 2013

- 85.104 Life Sciences Awards (D)

FEBRUARY 28, 2013

- 10.156 Federal-State Marketing Improvement Program (B)

MARCH 01, 2013

- 15.623 North American Wetlands Conservation Fund (B)
- 15.669 Cooperative Landscape Conservation (B)
- 15.670 Adaptive Science (B)
- 20.703 Interagency Hazardous Materials Public Sector Training and Planning Grants (B)
- 91.004 Public Education for Peacebuilding Awards Program (B)

MARCH 07, 2013

- 15.930 Chesapeake Bay Gateways Network (B)

MARCH 19, 2013

- 20.701 University Transportation Centers Program (B)

MARCH 27, 2013

- 15.634 State Wildlife Grants (A,B)

MARCH 30, 2013

- 84.048 Career and Technical Education -- Basic Grants to States (A)

APRIL 01, 2013

- 15.427 Federal Oil and Gas Royalty Management State and Tribal Coordination (B)
- 15.645 Marine Turtle Conservation Fund (B)
- 20.215 Highway Training and Education (B,M)

APRIL 04, 2013

- 15.636 Alaska Subsistence Management (B)

APRIL 05, 2013

- 93.750 PPHF 2013 - Cooperative Agreement to Support Navigators in Federally-facilitated and State Partnership Exchanges (B)

APRIL 15, 2013

- 20.807 U.S. Merchant Marine Academy (M)
- 93.273 Alcohol Research Programs (B)

APRIL 19, 2013

- 20.703 Interagency Hazardous Materials Public Sector Training and Planning Grants (B)

APRIL 26, 2013

- 93.079 Cooperative Agreements to Promote Adolescent Health through School-Based HIV/STD Prevention and School-Based Surveillance (B)

APRIL 29, 2013

- 20.933 National Infrastructure Investments (B)

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MAY 01, 2013

- 15.639 Tribal Wildlife Grants Program (B)
- 20.106 Airport Improvement Program (B,K)
- 21.006 Tax Counseling for the Elderly (B)
- 21.009 Volunteer Income Tax Assistance (VITA) Matching Grant Program (B)

MAY 03, 2013

- 91.004 Public Education for Peacebuilding Awards Program (B)

MAY 21, 2013

- 97.056 Port Security Grant Program (B)
- 97.075 Rail and Transit Security Grant Program (B)

MAY 29, 2013

- 93.628 Affordable Care Act Implementation Support for State Demonstrations to Integrate Care for Medicare-Medicaid Enrollees (B)

MAY 31, 2013

- 21.006 Tax Counseling for the Elderly (B)
- 21.009 Volunteer Income Tax Assistance (VITA) Matching Grant Program (B)

JUNE 01, 2013

- 15.149 FOCUS on Student Achievement Project (B)
- 15.151 Education Program Enhancements (B)
- 15.661 Lower Snake River Compensation Plan (B)
- 93.273 Alcohol Research Programs (B)

JUNE 03, 2013

- 15.428 Marine Gas Hydrate Research Activities (B)
- 20.933 National Infrastructure Investments (B)

JUNE 20, 2013

- 20.240 Fuel Tax Evasion-Intergovernmental Enforcement Effort (B)

JUNE 24, 2013

- 14.259 CPD's Transformation Initiative Technical Assistance (B)
- 97.075 Rail and Transit Security Grant Program (B)

JUNE 27, 2013

- 14.266 Border Community Capital Initiative (B)

JUNE 28, 2013

- 93.610 Health Care Innovation Awards (HCIA) (B)

JUNE 29, 2013

- 15.614 Coastal Wetlands Planning, Protection and Restoration Act (B)

JUNE 30, 2013

- 93.599 Chafee Education and Training Vouchers Program (ETV) (A)
- 93.645 Stephanie Tubbs Jones Child Welfare Services Program (A)
- 93.674 Chafee Foster Care Independence Program (A)

JULY 01, 2013

- 15.042 Indian School Equalization Program (C)
- 15.044 Indian Schools_Student Transportation (C)
- 20.600 State and Community Highway Safety (A)
- 20.616 National Priority Safety Programs (A)
- 93.097 Strengthening the Nation's Public Health System through a National Voluntary Accreditation Program for State, Tribal, Local and Territorial Health Departments (B)

- 97.056 Port Security Grant Program (B)

JULY 12, 2013

- 21.008 Low Income Taxpayer Clinics (B)

JULY 15, 2013

- 93.994 Maternal and Child Health Services Block Grant to the States (A)

JULY 17, 2013

- 93.511 Affordable Care Act (ACA) Grants to States for Health Insurance Premium Review (B)

JULY 19, 2013

- 93.563 Child Support Enforcement (A)

JULY 26, 2013

- 15.623 North American Wetlands Conservation Fund (B)

JULY 31, 2013

- 14.259 CPD's Transformation Initiative Technical Assistance (B)
- 15.657 Endangered Species Conservation Recovery Implementation Funds (B)
- 15.660 Endangered Species - Candidate Conservation Action Funds (B)

AUGUST 01, 2013

- 15.921 Rivers, Trails and Conservation Assistance (K)
- 20.218 National Motor Carrier Safety (A)
- 20.807 U.S. Merchant Marine Academy (M)
- 93.511 Affordable Care Act (ACA) Grants to States for Health Insurance Premium Review (B)

AUGUST 02, 2013

- 10.055 Direct and Counter-cyclical Payments Program (C)

AUGUST 05, 2013

- 93.172 Human Genome Research (B)

AUGUST 07, 2013

- 14.705 Capacity Building for Sustainable Communities (B)
- 93.634 ACA Support for Demonstration Ombudsman Programs Serving Beneficiaries of State Demonstrations to Integrate Care for Medicare-Medicaid (B)

AUGUST 08, 2013

- 93.778 Medical Assistance Program (A)

AUGUST 09, 2013

- 20.240 Fuel Tax Evasion-Intergovernmental Enforcement Effort (B)

AUGUST 14, 2013

- 10.446 Rural Community Development Initiative (B)
- 93.750 PPHF 2013 - Cooperative Agreement to Support Navigators in Federally-facilitated and State Partnership Exchanges (B)

AUGUST 15, 2013

- 10.557 Special Supplemental Nutrition Program for Women, Infants, and Children (A)
- 14.218 Community Development Block Grants/Entitlement Grants (A)
- 20.700 Pipeline Safety Program State Base Grant (A)
- 93.273 Alcohol Research Programs (B)
- 93.610 Health Care Innovation Awards (HCIA) (B)
- 93.627 Affordable Care Act: Testing Experience and Functional Assessment Tools (B)

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93.796 State Survey Certification of Health Care Providers and Suppliers
(Title XIX) Medicaid (A)

AUGUST 16, 2013

14.225 Community Development Block Grants/Special Purpose
Grants/Insular Areas (B)
14.228 Community Development Block Grants/State's program and
Non-Entitlement Grants in Hawaii (A)

AUGUST 26, 2013

14.266 Border Community Capital Initiative (B)

SEPTEMBER 01, 2013

15.923 National Center for Preservation Technology and Training (B)
93.098 Tribal Public Health Capacity Building and Quality Improvement (B)
93.209 Contraception and Infertility Research Loan Repayment Program (C)
93.228 Indian Health Service_Health Management Development Program (B)

SEPTEMBER 02, 2013

15.639 Tribal Wildlife Grants Program (B)

SEPTEMBER 15, 2013

45.149 Promotion of the Humanities_Division of Preservation and Access (B)

SEPTEMBER 20, 2013

85.801 U.S. Faculty Scholar Grants (B)

SEPTEMBER 30, 2013

10.080 Milk Income Loss Contract Program (D)
15.622 Sportfishing and Boating Safety Act (B)
15.658 Natural Resource Damage Assessment, Restoration and
Implementation (B)
20.106 Airport Improvement Program (B,K)
20.700 Pipeline Safety Program State Base Grant (A)

OCTOBER 01, 2013

10.867 Bioenergy Program for Advanced Biofuels (D)
11.307 Economic Adjustment Assistance (B)
15.628 Multistate Conservation Grant Program (B)
15.645 Marine Turtle Conservation Fund (B)
84.007 Federal Supplemental Educational Opportunity Grants (C)
84.033 Federal Work-Study Program (C)

OCTOBER 02, 2013

15.671 Yukon River Salmon Research and Management Assistance (B)

OCTOBER 14, 2013

20.721 PHMSA Pipeline Safety Program One Call Grant (B)

OCTOBER 15, 2013

15.923 National Center for Preservation Technology and Training (B)

OCTOBER 31, 2013

10.867 Bioenergy Program for Advanced Biofuels (D)
15.623 North American Wetlands Conservation Fund (B)

NOVEMBER 01, 2013

15.810 National Cooperative Geologic Mapping Program (B)
20.235 Commercial Motor Vehicle Operator Training Grants (B)

NOVEMBER 12, 2013

10.446 Rural Community Development Initiative (B)

NOVEMBER 15, 2013

10.572 WIC Farmers' Market Nutrition Program (FMNP) (A)
10.576 Senior Farmers Market Nutrition Program (B)
14.239 Home Investment Partnerships Program (A)
20.215 Highway Training and Education (B,M)
93.209 Contraception and Infertility Research Loan Repayment Program (C)

DECEMBER 02, 2013

15.424 Marine Minerals Activities - Hurricane Sandy (B)
45.301 Museums for America (B,C)
45.308 Native American/Native Hawaiian Museum Services Program (B,C)
45.309 Museum Grants for African American History and Culture (B,C)
45.312 National Leadership Grants (B,C)

DECEMBER 03, 2013

45.149 Promotion of the Humanities_Division of Preservation and Access (B)

DECEMBER 05, 2013

45.161 Promotion of the Humanities_Research (B)

DECEMBER 10, 2013

85.802 Fellowship Program (B)

DECEMBER 31, 2013

10.053 Dairy Indemnity Program (D)
15.668 Coastal Impact Assistance Program (A)

JANUARY 08, 2014

45.164 Promotion of the Humanities_Public Programs (B)

JANUARY 15, 2014

12.440 Dissertation Year Fellowship (B)
45.149 Promotion of the Humanities_Division of Preservation and Access (B)

JANUARY 21, 2014

85.803 Visiting Scholar Grants (B)

JANUARY 30, 2014

85.801 U.S. Faculty Scholar Grants (B)

JANUARY 31, 2014

10.051 Commodity Loans and Loan Deficiency Payments (D,E)
20.807 U.S. Merchant Marine Academy (M)
85.402 Morris K. Udall Native American Congressional Internship Program
(C)

FEBRUARY 01, 2014

20.235 Commercial Motor Vehicle Operator Training Grants (B)

FEBRUARY 15, 2014

20.215 Highway Training and Education (B,M)

FEBRUARY 28, 2014

15.669 Cooperative Landscape Conservation (B)
15.670 Adaptive Science (B)

MARCH 01, 2014

20.807 U.S. Merchant Marine Academy (M)

MARCH 05, 2014

85.400 Morris K. Udall Scholarship Program (C)

MARCH 13, 2014

DEADLINES INDEX

45.311 Native American and Native Hawaiian Library Services (B,C)

APRIL 01, 2014

20.215 Highway Training and Education (B,M)

APRIL 10, 2014

85.802 Fellowship Program (B)

85.803 Visiting Scholar Grants (B)

APRIL 15, 2014

45.160 Promotion of the Humanities_Fellowships and Stipends (B)

MAY 01, 2014

45.149 Promotion of the Humanities_Division of Preservation and Access (B)

45.149 Promotion of the Humanities_Division of Preservation and Access (B)

45.149 Promotion of the Humanities_Division of Preservation and Access (B)

MAY 10, 2014

84.027 Special Education_Grants to States (A)

84.173 Special Education_Preschool Grants (A)

JUNE 01, 2014

45.129 Promotion of the Humanities_Federal/State Partnership (A)

JUNE 11, 2014

45.164 Promotion of the Humanities_Public Programs (B)

JULY 17, 2014

45.149 Promotion of the Humanities_Division of Preservation and Access (B)

AUGUST 14, 2014

45.161 Promotion of the Humanities_Research (B)

AUGUST 31, 2014

15.605 Sport Fish Restoration Program (A)

15.611 Wildlife Restoration and Basic Hunter Education (A)

15.626 Enhanced Hunter Education and Safety Program (A)

SEPTEMBER 30, 2014

10.592 Healthy, Hunger-Free Kids Act of 2010 Childhood Hunger Research and Demonstration Projects (B)

12.400 Military Construction, National Guard (B)

20.218 National Motor Carrier Safety (A)

OCTOBER 01, 2014

45.160 Promotion of the Humanities_Fellowships and Stipends (B)

JUNE 30, 2015

15.819 Energy Cooperatives to Support the National Coal Resources Data System (NCRDS) (B)

AUGUST 16, 2015

14.239 Home Investment Partnerships Program (A)

AUGUST 14, 2017

93.627 Affordable Care Act: Testing Experience and Functional Assessment Tools (B)

JULY 30, 2018

93.097 Strengthening the Nation's Public Health System through a National Voluntary Accreditation Program for State, Tribal, Local and Territorial Health Departments (B)

AUGUST 31, 2018

93.098 Tribal Public Health Capacity Building and Quality Improvement (B)

DECEMBER 31, 2019

20.108 Aviation Research Grants (B,I)

FUNCTIONAL INDEX

This index lists CFDA programs grouped by functional area as designated by the administering agency. The letter(s) in parentheses following the program title indicates the type of assistance available through that program. The following letter codes are used:

- A: Formula Grants
- B: Project Grants
- C: Direct Payments for Specified Use
- D: Direct Payments with Unrestricted Use
- E: Direct Loans
- F: Guaranteed/Insured Loans
- G: Insurance
- H: Sale, Exchange, or Donation of Property and Goods
- I: Use of Property, Facilities, and Equipment
- J: Provision of Specialized Services
- K: Advisory Services and Counseling
- L: Dissemination of Technical Information
- M: Training
- N: Investigation of Complaints
- O: Federal Employment

AGRICULTURAL

Resource Conservation and Development

- 10.025 Plant and Animal Disease, Pest Control, and Animal Care (B)
- 10.028 Wildlife Services (B)
- 10.054 Emergency Conservation Program (C)
- 10.069 Conservation Reserve Program (C)
- 10.072 Wetlands Reserve Program (C)
- 10.215 Sustainable Agriculture Research and Education (B)
- 10.225 Community Food Projects (B)
- 10.326 Capacity Building for Non-Land Grant Colleges of Agriculture (NLGCA) (B)
- 10.406 Farm Operating Loans (E,F)
- 10.449 Boll Weevil Eradication Loan Program (E)
- 10.610 Export Guarantee Program (F)
- 10.614 Scientific Cooperation Exchange Program with China (B,C)
- 10.682 National Forest Foundation (C)
- 10.683 National Fish and Wildlife Foundation (C)
- 10.689 Community Forest and Open Space Conservation Program (CFP) (B)
- 10.690 Lake Tahoe Erosion Control Grant Program (B)
- 10.691 Good Neighbor Authority (B)
- 10.763 Emergency Community Water Assistance Grants (B)
- 10.777 Norman E. Borlaug International Agricultural Science and Technology Fellowship (B,C)
- 10.864 Grant Program to Establish a Fund for Financing Water and Wastewater Projects (B)
- 10.901 Resource Conservation and Development (K)
- 10.902 Soil and Water Conservation (K)
- 10.905 Plant Materials for Conservation (J)
- 10.912 Environmental Quality Incentives Program (C)
- 10.913 Farm and Ranch Lands Protection Program (C)
- 10.914 Wildlife Habitat Incentive Program (C)
- 10.916 Watershed Rehabilitation Program (K)
- 10.920 Grassland Reserve Program (C)
- 10.921 Conservation Security Program (C)
- 10.924 Conservation Stewardship Program (B)
- 10.925 Agricultural Water Enhancement Program (C)
- 10.926 Chesapeake Bay Watershed Program (C)
- 10.929 Water Bank Program (C)
- 11.419 Coastal Zone Management Administration Awards (A,B)

- 11.483 NOAA Programs for Disaster Relief Appropriations Act - Non-construction and Construction (B)
- 15.034 Agriculture on Indian Lands (C,J,K)
- 15.518 Garrison Diversion Unit (B)
- 15.533 California Water Security and Environmental Enhancement (B)
- 15.535 Upper Colorado River Basin Fish and Wildlife Mitigation Program (B)
- 15.649 Service Training and Technical Assistance (Generic Training) (B)
- 15.667 Highlands Conservation Program (B)
- 15.921 Rivers, Trails and Conservation Assistance (K)

Production and Operation

- 10.055 Direct and Counter-cyclical Payments Program (C)
- 10.056 Farm Storage Facility Loans (E)
- 10.080 Milk Income Loss Contract Program (D)
- 10.088 Livestock Indemnity Program (C)
- 10.095 Durum Wheat Quality Program (D)
- 10.098 Reimbursement Transportation Cost Payment Program for Geographically Disadvantaged Farmers and Ranchers (D)
- 10.099 Conservation Loans (E,F)
- 10.106 Disaster Relief Appropriations Act, Emergency Forest Restoration Program (C,D)
- 10.307 Organic Agriculture Research and Extension Initiative (B)
- 10.317 Food Aid Nutrition Enhancement Program (B)
- 10.325 Peoples Garden Grant Program (B)
- 10.326 Capacity Building for Non-Land Grant Colleges of Agriculture (NLGCA) (B)
- 10.327 Common Bean Productivity Research for Global Food Security Competitive Program (B)
- 10.352 Value-Added Producer Grants (B)
- 10.404 Emergency Loans (E)
- 10.406 Farm Operating Loans (E,F)
- 10.407 Farm Ownership Loans (E,F)
- 10.435 State Mediation Grants (B)
- 10.437 Interest Assistance Program (F)
- 10.450 Crop Insurance (G)
- 10.610 Export Guarantee Program (F)
- 10.614 Scientific Cooperation Exchange Program with China (B,C)
- 10.681 Wood Education and Resource Center (WERC) (B)
- 10.690 Lake Tahoe Erosion Control Grant Program (B)
- 10.777 Norman E. Borlaug International Agricultural Science and Technology Fellowship (B,C)

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- 10.865 Biorefinery Assistance (F)
- 15.034 Agriculture on Indian Lands (C,J,K)
- 15.955 Martin Luther King Junior National Historic Site and Preservation District (B,K)

Marketing

- 10.053 Dairy Indemnity Program (D)
- 10.085 Tobacco Transition Payment Program (D)
- 10.141 Dairy Product Price Support Program (D)
- 10.153 Market News (L)
- 10.155 Marketing Agreements and Orders (J,K)
- 10.156 Federal-State Marketing Improvement Program (B)
- 10.162 Inspection Grading and Standardization (J)
- 10.163 Market Protection and Promotion (J,K,M)
- 10.164 Wholesale Farmers and Alternative Market Development (K,M)
- 10.165 Perishable Agricultural Commodities Act (N)
- 10.167 Transportation Services (K,M)
- 10.168 Farmers' Market Promotion Program (B)
- 10.170 Specialty Crop Block Grant Program - Farm Bill (B)
- 10.171 Organic Certification Cost Share Programs (C)
- 10.290 Agricultural Market and Economic Research (B)
- 10.326 Capacity Building for Non-Land Grant Colleges of Agriculture (NLGCA) (B)
- 10.352 Value-Added Producer Grants (B)
- 10.500 Cooperative Extension Service (A,B)
- 10.576 Senior Farmers Market Nutrition Program (B)
- 10.600 Foreign Market Development Cooperator Program (C)
- 10.601 Market Access Program (A,C)
- 10.602 CCC's Dairy Export Incentive Program (C)
- 10.603 Emerging Markets Program (C)
- 10.605 Quality Samples Program (C)
- 10.608 Food for Education (B,H)
- 10.610 Export Guarantee Program (F)
- 10.614 Scientific Cooperation Exchange Program with China (B,C)
- 10.672 Rural Development, Forestry, and Communities (B)
- 10.674 Forest Products Lab: Technology Marketing Unit (TMU) (B)
- 10.690 Lake Tahoe Erosion Control Grant Program (B)
- 10.777 Norman E. Borlaug International Agricultural Science and Technology Fellowship (B,C)

Research and Development

- 10.001 Agricultural Research_Basic and Applied Research (B)
- 10.200 Grants for Agricultural Research, Special Research Grants (B)
- 10.202 Cooperative Forestry Research (A)
- 10.203 Payments to Agricultural Experiment Stations Under the Hatch Act (A)
- 10.205 Payments to 1890 Land-Grant Colleges and Tuskegee University (A)
- 10.206 Grants for Agricultural Research_Competitive Research Grants (B)
- 10.207 Animal Health and Disease Research (A)
- 10.210 Higher Education Graduate Fellowships Grant Program (B)
- 10.212 Small Business Innovation Research (B)
- 10.215 Sustainable Agriculture Research and Education (B)
- 10.216 1890 Institution Capacity Building Grants (B)
- 10.219 Biotechnology Risk Assessment Research (B)
- 10.220 Higher Education - Multicultural Scholars Grant Program (B)
- 10.227 1994 Institutions Research Program (B)
- 10.250 Agricultural and Rural Economic Research, Cooperative Agreements and Collaborations (B,L)
- 10.253 Food Assistance and Nutrition Research Programs (FANRP) (L)
- 10.254 Program of Research on the Economic of Invasive Species

- Management (PREISM) (L)
- 10.255 Research Innovation and Development Grants in Economic (RIDGE) (L)
- 10.256 Consumer Data and Information Program (L)
- 10.290 Agricultural Market and Economic Research (B)
- 10.303 Integrated Programs (B)
- 10.309 Specialty Crop Research Initiative (B)
- 10.310 Agriculture and Food Research Initiative (AFRI) (B)
- 10.311 Beginning Farmer and Rancher Development Program (B)
- 10.312 Biomass Research and Development Initiative Competitive Grants Program (BRDI) (B)
- 10.320 Sun Grant Program (B)
- 10.326 Capacity Building for Non-Land Grant Colleges of Agriculture (NLGCA) (B)
- 10.456 Partnership Agreements to Develop Non-Insurance Risk Management Tools for Producers (Farmers) (B)
- 10.460 Risk Management Education Partnerships (B)
- 10.600 Foreign Market Development Cooperator Program (C)
- 10.601 Market Access Program (A,C)
- 10.610 Export Guarantee Program (F)
- 10.614 Scientific Cooperation Exchange Program with China (B,C)
- 10.652 Forestry Research (B)
- 10.681 Wood Education and Resource Center (WERC) (B)
- 10.690 Lake Tahoe Erosion Control Grant Program (B)
- 10.694 Southwest Forest Health and Wildfire Prevention (C)
- 10.771 Rural Cooperative Development Grants (B)
- 10.777 Norman E. Borlaug International Agricultural Science and Technology Fellowship (B,C)
- 10.778 Research on the Economic Impact of Cooperatives (C)
- 10.782 Appropriate Technology Transfer for Rural Areas (B)
- 10.871 Small Socially- Disadvantaged Producer Grants (B)
- 10.960 Technical Agricultural Assistance (B,C)
- 10.961 Scientific Cooperation and Research (B,C)
- 10.962 Cochran Fellowship Program-International Training-Foreign Participant (B,C)
- 85.105 Agriscience Awards (D)

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- 10.001 Agricultural Research_Basic and Applied Research (B)
- 10.025 Plant and Animal Disease, Pest Control, and Animal Care (B)
- 10.028 Wildlife Services (B)
- 10.030 Indemnity Program (D)
- 10.153 Market News (L)
- 10.155 Marketing Agreements and Orders (J,K)
- 10.156 Federal-State Marketing Improvement Program (B)
- 10.163 Market Protection and Promotion (J,K,M)
- 10.203 Payments to Agricultural Experiment Stations Under the Hatch Act (A)
- 10.205 Payments to 1890 Land-Grant Colleges and Tuskegee University (A)
- 10.250 Agricultural and Rural Economic Research, Cooperative Agreements and Collaborations (B,L)
- 10.253 Food Assistance and Nutrition Research Programs (FANRP) (L)
- 10.254 Program of Research on the Economic of Invasive Species Management (PREISM) (L)
- 10.255 Research Innovation and Development Grants in Economic (RIDGE) (L)
- 10.256 Consumer Data and Information Program (L)
- 10.313 Veterinary Medicine Loan Repayment Program (C)
- 10.319 Farm Business Management and Benchmarking Competitive Grants Program (B)

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- 10.324 Afghanistan Agricultural Extension Project (AAEP) (B)
10.326 Capacity Building for Non-Land Grant Colleges of Agriculture (NLGCA) (B)
10.350 Technical Assistance to Cooperatives (J,K,L,M)
10.443 Outreach and Assistance for Socially Disadvantaged Farmers and Ranchers (B)
10.458 Crop Insurance Education in Targeted States (B)
10.500 Cooperative Extension Service (A,B)
10.574 Team Nutrition Grants (B)
10.580 Supplemental Nutrition Assistance Program, Process and Technology Improvement Grants (B)
10.601 Market Access Program (A,C)
10.604 Technical Assistance for Specialty Crops Program (C)
10.609 Trade Adjustment Assistance (D)
10.610 Export Guarantee Program (F)
10.612 USDA Local and Regional Food Aid Procurement Pilot Project (B)
10.614 Scientific Cooperation Exchange Program with China (B,C)
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10.700 National Agricultural Library (L)
10.761 Technical Assistance and Training Grants (B)
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10.771 Rural Cooperative Development Grants (B)
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10.782 Appropriate Technology Transfer for Rural Areas (B)
10.855 Distance Learning and Telemedicine Loans and Grants (B,E)
10.856 1890 Land Grant Institutions Rural Entrepreneurial Outreach Program (C)
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10.901 Resource Conservation and Development (K)
10.903 Soil Survey (L)
10.907 Snow Survey and Water Supply Forecasting (L)
10.916 Watershed Rehabilitation Program (K)
10.920 Grassland Reserve Program (C)
10.921 Conservation Security Program (C)
10.928 Emergency Watershed Protection Program - Floodplain Easements Disaster Relief Appropriations Act (C)
10.950 Agricultural Statistics Reports (L)
10.960 Technical Agricultural Assistance (B,C)
15.921 Rivers, Trails and Conservation Assistance (K)
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10.219 Biotechnology Risk Assessment Research (B)
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10.406 Farm Operating Loans (E,F)
10.610 Export Guarantee Program (F)
10.614 Scientific Cooperation Exchange Program with China (B,C)
10.652 Forestry Research (B)
10.664 Cooperative Forestry Assistance (A,B)
10.665 Schools and Roads - Grants to States (A)
10.666 Schools and Roads - Grants to Counties (A)
10.674 Forest Products Lab: Technology Marketing Unit (TMU) (B)
10.675 Urban and Community Forestry Program (B)
10.676 Forest Legacy Program (B)
10.678 Forest Stewardship Program (B)
10.679 Collaborative Forest Restoration (B)
10.680 Forest Health Protection (A,B)
10.681 Wood Education and Resource Center (WERC) (B)
10.682 National Forest Foundation (C)
10.683 National Fish and Wildlife Foundation (C)
10.684 International Forestry Programs (B)
10.685 Community Wood Energy Program (B)
10.687 Recovery Act of 2009: Capital Improvement and Maintenance (B)
10.688 Recovery Act of 2009: Wildland Fire Management (B)
10.689 Community Forest and Open Space Conservation Program (CFP) (B)
10.690 Lake Tahoe Erosion Control Grant Program (B)
10.693 Watershed Restoration and Enhancement Agreement Authority (B)
10.694 Southwest Forest Health and Wildfire Prevention (C)
10.777 Norman E. Borlaug International Agricultural Science and Technology Fellowship (B,C)
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15.233 Forests and Woodlands Resource Management (B,K,M)
15.921 Rivers, Trails and Conservation Assistance (K)
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10.051 Commodity Loans and Loan Deficiency Payments (D,E)
10.053 Dairy Indemnity Program (D)
10.054 Emergency Conservation Program (C)
10.055 Direct and Counter-cyclical Payments Program (C)
10.072 Wetlands Reserve Program (C)
10.093 Voluntary Public Access and Habitat Incentive Program (A)
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10.309 Specialty Crop Research Initiative (B)
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10.777 Norman E. Borlaug International Agricultural Science and Technology Fellowship (B,C)
10.925 Agricultural Water Enhancement Program (C)
10.926 Chesapeake Bay Watershed Program (C)
10.927 Emergency Watershed Protection Program - Disaster Relief Appropriations Act (B)
10.928 Emergency Watershed Protection Program - Floodplain Easements Disaster Relief Appropriations Act (C)
10.929 Water Bank Program (C)
15.034 Agriculture on Indian Lands (C,J,K)
15.234 Secure Rural Schools and Community Self-Determination (B)
15.520 Lewis and Clark Rural Water System (B)
15.533 California Water Security and Environmental Enhancement (B)
15.649 Service Training and Technical Assistance (Generic Training) (B)
15.666 Endangered Species Conservation-Wolf Livestock Loss Compensation and Prevention (B)
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This index lists those CFDA programs previously published in the Catalog, but were not updated by their issuing agencies in FY 2013. The program descriptions can be found on the CFDA web site at www.cfda.gov, but do not appear elsewhere in this Catalog. Please contact the issuing agency for further information on the programs listed below.

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11.413 Fishery Products Inspection and Certification (J)
11.415 Fisheries Finance Program (E)
11.427 Fisheries Development and Utilization Research and Development Grants and Cooperative Agreements Program (B)
11.430 Undersea Research (B)
11.431 Climate and Atmospheric Research (B)
11.433 Marine Fisheries Initiative (B)
11.434 Cooperative Fishery Statistics (B)
11.435 Southeast Area Monitoring and Assessment Program (B)
11.436 Columbia River Fisheries Development Program (B)
11.437 Pacific Fisheries Data Program (B)
11.438 Pacific Coast Salmon Recovery_Pacific Salmon Treaty Program (B)
11.439 Marine Mammal Data Program (B)
11.441 Regional Fishery Management Councils (B)
11.452 Unallied Industry Projects (B)
11.454 Unallied Management Projects (B)
11.457 Chesapeake Bay Studies (B)
11.460 Special Oceanic and Atmospheric Projects (B)
11.462 Hydrologic Research (B)
11.463 Habitat Conservation (B)
11.467 Meteorologic and Hydrologic Modernization Development (B,D,L,M)
11.468 Applied Meteorological Research (B)
11.472 Unallied Science Program (B)
11.474 Atlantic Coastal Fisheries Cooperative Management Act (B)
11.557 Broadband Technology Opportunities Program (BTOP) (B)
11.804 MBDA Business Center - American Indian and Alaska Native (B)

DEPARTMENT OF DEFENSE

12.130 Estuary Habitat Restoration Program (B)
12.217 Electronic Absentee Systems for Elections (B)
12.340 Naval Medical Research and Development (B)
12.357 ROTC Language and Culture Training Grants (B)
12.360 Research on Chemical and Biological Defense (B)
12.401 National Guard Military Operations and Maintenance (O&M) Projects (B)
12.579 Language Training Center (B)
12.598 Centers for Academic Excellence (B)
12.604 Community Economic Adjustment (B,L)
12.607 Community Economic Adjustment Assistance for Establishment, Expansion, Realignment, or Closure of a Military Installation (B)
12.610 Community Economic Adjustment Assistance for Compatible Use and Joint Land Use Studies (B)
12.611 Community Economic Adjustment Assistance for Reductions in Defense Industry Employment (B)
12.614 Community Economic Adjustment Assistance for Advance Planning and Economic Diversification (B)
12.615 Research and Technical Assistance (B)
12.801 Air Force Academy Athletic Programs (B)

DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

14.008 Transformation Initiative: Choice Neighborhoods Demonstration Small Research Grant Program (B)

14.103 Interest Reduction Payments_Rental and Cooperative Housing for Lower Income Families (C,F)
14.122 Mortgage Insurance_Homes in Urban Renewal Areas (F)
14.126 Mortgage Insurance_Cooperative Projects (F)
14.127 Mortgage Insurance_Manufactured Home Parks (F)
14.128 Mortgage Insurance_Hospitals (F)
14.134 Mortgage Insurance_Rental Housing (F)
14.135 Mortgage Insurance_Rental and Cooperative Housing for Moderate Income Families and Elderly, Market Interest Rate (F)
14.138 Mortgage Insurance_Rental Housing for the Elderly (F)
14.139 Mortgage Insurance_Rental Housing in Urban Renewal Areas (F)
14.149 Rent Supplements_Rental Housing for Lower Income Families (C)
14.151 Supplemental Loan Insurance_Multifamily Rental Housing (F)
14.155 Mortgage Insurance for the Purchase or Refinancing of Existing Multifamily Housing Projects (F)
14.157 Supportive Housing for the Elderly (C)
14.167 Mortgage Insurance_Two Year Operating Loss Loans, Section 223(d) (F)
14.169 Housing Counseling Assistance Program (B)
14.171 Manufactured Home Dispute Resolution (J)
14.181 Supportive Housing for Persons with Disabilities (C)
14.184 Mortgages Insurance for Single Room Occupancy (SRO) Projects (F)
14.188 Housing Finance Agencies (HFA) Risk Sharing (F)
14.189 Qualified Participating Entities (QPE) Risk Sharing (F)
14.191 Multifamily Housing Service Coordinators (B)
14.195 Section 8 Housing Assistance Payments Program (C)
14.249 Section 8 Moderate Rehabilitation Single Room Occupancy (B)
14.262 Homeless Prevention and Rapid Re-Housing Program Technical Assistance (B)
14.314 Assisted Living Conversion for Eligible Multifamily Housing Projects (B)
14.316 Housing Counseling Training Program (B)
14.321 FHA Technical Assistance Training Transformation Initiative (M)
14.322 Tenant Resource Network Program (B)
14.326 Project Rental Assistance Demonstration (PRA Demo) Program of Section 811 Supportive Housing for Persons with Disabilities (B)
14.327 Performance Based Contract Administrator Program (C)
14.523 Transformation Initiative Research Grants: Sustainable Community Research Grant Program (B)
14.534 Strong Cities Strong Communities (SC2) National Resource Network (B)
14.703 Sustainable Communities Regional Planning Grant Program (B)
14.704 Community Challenge Planning Grants and the Department of Transportation's TIGER II Planning Grants (B)
14.870 Resident Opportunity and Supportive Services - Service Coordinators (B)
14.878 Affordable Housing Development in Main Street Rejuvenation Projects (B)
14.893 Office of Native American Programs Training and Technical Assistance for Indian Housing Block Grant Program (M)
14.894 Office of Native American Programs Training and Technical Assistance for Native Hawaiian Housing Block Grant Program (M)

DEPARTMENT OF THE INTERIOR

15.022 Tribal Self-Governance (C)
15.024 Indian Self-Determination Contract Support (C)

Program Descriptions Not Updated for FY 2013

- 15.026 Indian Adult Education (C)
- 15.114 Indian Education_Higher Education Grant Program (B)
- 15.130 Indian Education_Assistance to Schools (C)
- 15.133 Native American Business Development Institute (A)
- 15.214 Non-Sale Disposals of Mineral Material (H)
- 15.231 Fish, Wildlife and Plant Conservation Resource Management (B,I,J,K,L,M)
- 15.236 Environmental Quality and Protection Resource Management (B,K,L,M)
- 15.813 Cooperative Research Units Training Program (B)

DEPARTMENT OF JUSTICE

- 16.001 Law Enforcement Assistance_Narcotics and Dangerous Drugs_Laboratory Analysis (J)
- 16.003 Law Enforcement Assistance_Narcotics and Dangerous Drugs Technical Laboratory Publications (L)
- 16.004 Law Enforcement Assistance_Narcotics and Dangerous Drugs Training (M)
- 16.013 Violence Against Women Act Court Training and Improvement Grants (B)
- 16.016 Culturally and Linguistically Specific Services Program (B)
- 16.017 Sexual Assault Services Formula Program (A,B)
- 16.100 Desegregation of Public Education (J)
- 16.101 Equal Employment Opportunity (J)
- 16.103 Fair Housing and Equal Credit Opportunity (J)
- 16.104 Protection of Voting Rights (J)
- 16.105 Civil Rights of Institutionalized Persons (J)
- 16.109 Civil Rights Prosecution (B)
- 16.200 Community Relations Service (J)
- 16.300 Law Enforcement Assistance_FBI Advanced Police Training (M)
- 16.301 Law Enforcement Assistance_FBI Crime Laboratory Support (J,M)
- 16.302 Law Enforcement Assistance_FBI Field Police Training (M)
- 16.303 Law Enforcement Assistance_FBI Fingerprint Identification (J)
- 16.304 Law Enforcement Assistance_National Crime Information Center (J)
- 16.305 Law Enforcement Assistance_Uniform Crime Reports (L)
- 16.307 Combined DNA Index System (B)
- 16.308 Indian Country Investigations (M)
- 16.309 Law Enforcement Assistance_National Instant Criminal Background Check System (J)
- 16.524 Legal Assistance for Victims (B)
- 16.525 Grants to Reduce Domestic Violence, Dating Violence, Sexual Assault, and Stalking on Campus (B)
- 16.527 Supervised Visitation, Safe Havens for Children (B)
- 16.528 Enhanced Training and Services to End Violence and Abuse of Women Later in Life (B)
- 16.529 Education, Training, and Enhanced Services to End Violence Against and Abuse of Women with Disabilities (B)
- 16.562 Criminal Justice Research and Development_Graduate Research Fellowships (B)
- 16.578 Federal Surplus Property Transfer Program (H)
- 16.589 Rural Domestic Violence, Dating Violence, Sexual Assault, and Stalking Assistance Program (B)
- 16.590 Grants to Encourage Arrest Policies and Enforcement of Protection Orders Program (B)
- 16.611 Closed-Circuit Televising of Child Victims of Abuse (B)
- 16.735 Protecting Inmates and Safeguarding Communities Discretionary Grant Program (B)
- 16.736 Transitional Housing Assistance for Victims of Domestic Violence, Dating Violence, Stalking, or Sexual Assault (B)
- 16.737 Gang Resistance Education and Training (B)

- 16.740 Statewide Automated Victim Information Notification (SAVIN) Program (B)
- 16.752 Economic High-Tech and Cyber Crime Prevention (B)
- 16.821 Juvenile Justice Reform and Reinvestment Demonstration Program (B)
- 16.888 Consolidated And Technical Assistance Grant Program to Address Children and Youth Experiencing Domestic and Sexual Violence and Engage Men and Boys as Allies (B)

DEPARTMENT OF LABOR

- 17.150 Employee Benefits Security Administration (L)
- 17.260 WIA Dislocated Workers (A,B)
- 17.700 Women's Bureau (K)
- 17.801 Disabled Veterans' Outreach Program (DVOP) (A)
- 17.802 Veterans' Employment Program (B)
- 17.804 Local Veterans' Employment Representative Program (A)
- 17.805 Homeless Veterans Reintegration Project (B)
- 17.807 Transition Assistance Program (B)

DEPARTMENT OF STATE

- 19.023 Overseas Schools Program (B)
- 19.030 Antiterrorism Assistance Domestic Training Programs (B)
- 19.031 Research and Development - Physical Security Programs (B)
- 19.033 Global Threat Reduction (B)
- 19.301 The Secretary's Office of the Global Partnership Initiative (S/GPI) Grant Programs (B)
- 19.701 Global Counterterrorism Programs (B)
- 19.900 AEECA PD Programs (B)

APPALACHIAN REGIONAL COMMISSION

- 23.001 Appalachian Regional Development (See individual Appalachian Programs) (B)
- 23.002 Appalachian Area Development (B)
- 23.003 Appalachian Development Highway System (B)
- 23.009 Appalachian Local Development District Assistance (B)
- 23.011 Appalachian Research, Technical Assistance, and Demonstration Projects (B)

NATIONAL ENDOWMENT FOR THE HUMANITIES

- 45.162 Promotion of the Humanities_Teaching and Learning Resources and Curriculum Development (B)
- 45.163 Promotion of the Humanities_Professional Development (B)
- 45.169 Promotion of the Humanities_Office of Digital Humanities (B)

NATIONAL SCIENCE FOUNDATION

- 47.041 Engineering Grants (B)
- 47.049 Mathematical and Physical Sciences (B)
- 47.074 Biological Sciences (B)
- 47.075 Social, Behavioral, and Economic Sciences (B)
- 47.076 Education and Human Resources (B)

DEPARTMENT OF VETERANS AFFAIRS

- 64.005 Grants to States for Construction of State Home Facilities (B)
- 64.026 Veterans State Adult Day Health Care (A)
- 64.027 Post-9/11 Veterans Educational Assistance (C)
- 64.028 Post-9/11 Veterans Educational Assistance (D)
- 64.029 Purchase Care Program (C)
- 64.030 Life Insurance for Veterans Face Amount of New Life Insurance Policies Issued (G)
- 64.031 Life Insurance for Veterans - Direct Payments for Insurance (G)
- 64.032 Montgomery GI Bill Selected Reserve; Reserve Educational Assistance Program (D)
- 64.033 VA Supportive Services for Veteran Families Program (B)
- 64.035 Veterans Transportation Program (B)

Program Descriptions Not Updated for FY 2013

- 64.036 Veterans Retraining Assistance Program (VRAP) (C)
- 64.037 VA U.S. Paralympics Monthly Assistance Allowance Program (D)
- 64.103 Life Insurance for Veterans (G)
- 64.116 Vocational Rehabilitation for Disabled Veterans (D)
- 64.120 Post-Vietnam Era Veterans' Educational Assistance (D)
- 64.128 Vocational Training and Rehabilitation for Vietnam Veterans' Children with Spina Bifida or Other Covered Birth Defects (D)

DEPARTMENT OF ENERGY

- 81.105 National Industrial Competitiveness through Energy, Environment, and Economics (B)
- 81.108 Epidemiology and Other Health Studies Financial Assistance Program (B)
- 81.123 National Nuclear Security Administration (NNSA) Minority Serving Institutions (MSI) Program (B)
- 81.136 Long-Term Surveillance and Maintenance (B)

DEPARTMENT OF HEALTH AND HUMAN SERVICES

- 93.140 Intramural Research Training Award (B)

ARCHIVED PROGRAMS

This index provides a list of CFDA programs archived within the fiscal year. Administering agencies archive programs for a variety of reasons to include budget authority expiration, program consolidation, or change in operational status. The date archived is provided for each program.

DEPARTMENT OF AGRICULTURE

10.459 Commodity Partnerships for Small Agricultural Risk Management Education Sessions

Archived on 08/30/13

10.465 Farmworker Training Grant Program

Archived on 09/05/13

10.584 SNAP Research Grants

Archived on 09/13/13

10.686 Forest Biomass for Energy

Archived on 06/04/13

DEPARTMENT OF COMMERCE

11.550 Public Telecommunications Facilities Planning and Construction

Archived on 09/13/13

11.555 Public Safety Interoperable Communications Grant Program

Archived on 09/13/13

11.559 Low-Power Television and Translator Upgrade Program

Archived on 09/13/13

11.650 National Technical Information Service

Archived on 06/10/13

11.702 Internship Program for Postsecondary Students

Archived on 06/10/13

DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

14.227 Community Development Block Grants/Technical Assistance Program

Archived on 06/07/13

14.264 Neighborhood Stabilization Program

Archived on 06/07/13

14.323 Emergency Homeowners Loan Program

Archived on 07/12/13

DEPARTMENT OF THE INTERIOR

15.045 Assistance for Indian Children with Severe Disabilities

Archived on 08/15/13

15.426 Coastal Impact Assistance Program (CIAP)

Archived on 08/15/13

15.514 Reclamation States Emergency Drought Relief

Archived on 05/28/13

15.528 St. Mary Storage Unit Facilities Rehabilitation Project

Archived on 05/28/13

15.547 San Jacinto Basin Restoration Fund

Archived on 05/28/13

15.561 Savage Rapids Pumping Plant Project

Archived on 05/28/13

15.675 National Fire Plan- Rural Fire Assistance

Archived on 04/10/13

DEPARTMENT OF LABOR

17.604 Safety and Health Grants

Archived on 08/08/13

DEPARTMENT OF TRANSPORTATION

20.238 Commercial Drivers License Information System (CDLIS) Modernization Grant

Archived on 07/31/13

20.314 Railroad Development

Archived on 07/10/13

20.318 Maglev Project Selection Program - SAFETEA-LU

Archived on 07/10/13

20.523 Capital Assistance Program for Reducing Energy Consumption and Greenhouse Gas Emissions

Archived on 07/25/13

20.816 Americas Marine Highway Grants

Archived on 08/01/13

20.900 Transportation_Consumer Affairs

Archived on 07/10/13

20.907 Entrepreneurial Training and Technical Assistance Women and Girls Program

Archived on 07/25/13

DEPARTMENT OF THE TREASURY

21.003 Taxpayer Service

Archived on 06/05/13

NATIONAL CREDIT UNION ADMINISTRATION

44.001 Credit Union Charter, Examination, Supervision, and Insurance

Archived on 04/24/13

INSTITUTE OF MUSEUM AND LIBRARY SERVICES

45.302 Museum Assessment Program

Archived on 02/13/13

45.303 Conservation Project Support

Archived on 02/05/13

45.304 Conservation Assessment Program

Archived on 02/13/13

45.307 21st Century Museum Professionals

Archived on 02/05/13

NATIONAL ENDOWMENT FOR THE HUMANITIES

45.168 Promotion of the Humanities_We the People

Archived on 10/23/12

NATIONAL LABOR RELATIONS BOARD

46.001 Labor-Management Relations

Archived on 06/20/13

ENVIRONMENTAL PROTECTION AGENCY

66.050 Potomac Highlands Implementation Grants

Archived on 07/12/13

66.113 Region 3 Environmental Priority Projects

Archived on 08/14/13

66.119 West Coast Estuaries Initiative

Archived on 07/12/13

66.120 Puget Sound Watershed Management Assistance

Archived on 07/12/13

66.127 Southeastern U.S. Regional Targeted Watershed Initiative

Archived on 07/12/13

ARCHIVED PROGRAMS

66.479 Wetland Program Grants - State/Tribal Environmental Outcome Wetland Demonstration Program

Archived on 07/12/13

66.480 Assessment and Watershed Protection Program Grants

Archived on 07/12/13

66.715 Lead Educational Outreach and Baseline Assessment of Tribal Children's Exposure and Risks Associated With Lead

Archived on 07/12/13

66.718 National Community-Based Lead Outreach and Training Grant Program

Archived on 07/12/13

DEPARTMENT OF ENERGY

81.065 Nuclear Waste Disposal Siting

Archived on 09/13/13

81.130 Carbon Capture and Storage-FutureGen 2.0

Archived on 09/13/13

81.131 Expand and Extend Clean Coal Power Initiative

Archived on 09/13/13

81.132 Geologic Sequestration Site Characterization

Archived on 09/13/13

81.133 Geologic Sequestration Training and Research Grant Program

Archived on 09/13/13

81.134 Industrial Carbon Capture and Storage (CCS) Application

Archived on 09/13/13

DEPARTMENT OF EDUCATION

84.153 Business and International Education Projects

Archived on 08/23/13

84.170 Javits Fellowships

Archived on 08/15/13

84.269 Institute for International Public Policy

Archived on 08/23/13

84.293 Foreign Language Assistance

Archived on 08/23/13

84.331 Grants to States for Workplace and Community Transition Training for Incarcerated Individuals

Archived on 08/23/13

84.361 Voluntary Public School Choice

Archived on 08/15/13

84.413 Race to the Top

Archived on 08/23/13

CHRISTOPHER COLUMBUS FELLOWSHIP FOUNDATION

85.101 Homeland Security Award

Archived on 09/12/13

MORRIS K. UDALL FOUNDATION

85.401 Morris K. Udall Fellowship Program

Archived on 08/20/13

NATIONAL ARCHIVES AND RECORDS ADMINISTRATION

89.005 Cooperative Agreements to Support the Programs of the National Archives and Records Administration (NARA)

Archived on 08/28/13

DEPARTMENT OF HEALTH AND HUMAN SERVICES

93.063 Centers for Genomics and Public Health

Archived on 08/23/13

93.068 Chronic Diseases: Research, Control, and Prevention

Archived on 08/23/13

93.405 ARRA - Public Health Traineeship Program

Archived on 08/08/13

93.407 ARRA - Scholarships for Disadvantaged Students

Archived on 08/08/13

93.408 ARRA - Nurse Faculty Loan Program

Archived on 08/14/13

93.410 ARRA Licensure Portability Grant Program

Archived on 08/08/13

93.411 ARRA Equipment to Enhance Training for Health Professionals

Archived on 08/14/13

93.412 ARRA - Preventive Medicine Residency Program

Archived on 08/08/13

93.416 ARRA - Health Careers Opportunity Program

Archived on 08/14/13

93.417 ARRA - Nursing Workforce Diversity

Archived on 08/14/13

93.451 The Linkage to Life Program: Rebuilding Broken Bridges for Minority Families Impacted by HIV/AIDS.

Archived on 09/04/13

93.453 Coalition for a Healthier Community

Archived on 09/04/13

93.522 Affordable Care Act (ACA) Prevention Center for Healthy Weight

Archived on 08/08/13

93.629 Affordable Care Act Community Health Applied Research Network (CHARN)

Archived on 08/14/13

93.700 IMR and NCCIM Programs

Archived on 08/22/13

93.712 ARRA - Immunization

Archived on 08/23/13

93.717 ARRA - Preventing Healthcare-Associated Infections

Archived on 08/23/13

93.722 ARRA - IHS Tribal Agreements

Archived on 07/10/13

93.729 ARRA Health Information Technology and Public Health

Archived on 08/23/13

93.789 Alternatives to Psychiatric Residential Treatment Facilities for Children

Archived on 07/23/13

93.790 Alternate Non-Emergency Service Providers or Networks

Archived on 07/23/13

93.793 Medicaid Transformation Grants

Archived on 07/23/13

93.954 Tribal Recruitment and Retention of Health Professionals into Indian Health Programs

Archived on 07/10/13

CORPORATION FOR NATIONAL AND COMMUNITY SERVICE

94.022 Nonprofit Capacity Building

Archived on 02/08/13

DEPARTMENT OF HOMELAND SECURITY

ARCHIVED PROGRAMS

97.059 Truck Security Program

Archived on 08/04/13

ADDED PROGRAMS

This index provides a list of CFDA programs added to the catalog within the fiscal year. The date added is provided for each program.

DEPARTMENT OF AGRICULTURE

10.098 Reimbursement Transportation Cost Payment Program for Geographically Disadvantaged Farmers and Ranchers

Added on 04/20/13

10.101 Hawaii Sugar Disaster Program

Added on 03/31/13

10.105 Disaster Relief Appropriations Act, Emergency Conservation Program

Added on 05/04/13

10.106 Disaster Relief Appropriations Act, Emergency Forest Restoration Program

Added on 07/19/13

10.591 Disaster Relief Appropriations Act Emergency Food Assistance Program (Commodities)

Added on 04/10/13

10.592 Healthy, Hunger-Free Kids Act of 2010 Childhood Hunger Research and Demonstration Projects

Added on 09/04/13

10.692 Disaster Relief Appropriations Act for Emergency Forest Restoration Program (EFRP)

Added on 04/21/13

10.782 Appropriate Technology Transfer for Rural Areas

Added on 09/08/13

10.871 Small Socially- Disadvantaged Producer Grants

Added on 09/12/13

10.928 Emergency Watershed Protection Program - Floodplain Easements Disaster Relief Appropriations Act

Added on 04/21/13

10.929 Water Bank Program

Added on 07/14/13

DEPARTMENT OF COMMERCE

11.483 NOAA Programs for Disaster Relief Appropriations Act - Non-construction and Construction

Added on 05/29/13

11.619 Arrangements for Interdisciplinary Research Infrastructure

Added on 09/21/13

DEPARTMENT OF DEFENSE

12.218 FVAP Policy Clearinghouse

Added on 02/14/13

12.219 EASE 2.0

Added on 04/04/13

12.225 Commercial Technologies for Maintenance Activities Program

Added on 05/24/13

12.440 Dissertation Year Fellowship

Added on 08/24/13

12.604 Community Economic Adjustment

Added on 11/30/12

DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

14.269 Hurricane Sandy Community Development Block Grant Disaster Recovery Grants (CDBG-DR)

Added on 08/31/13

14.270 Appalachia Economic Development Initiative

Added on 09/06/13

14.271 Delta Community Capital Initiative

Added on 09/06/13

14.535 Transformation Initiative: Rental Assistance Demonstration Small Research Grant Program

Added on 03/13/13

DEPARTMENT OF THE INTERIOR

15.152 Land Buy-Back Program For Tribal Nations

Added on 06/30/13

15.153 Hurricane Sandy Disaster Relief Coastal Resiliency Grants.

Added on 08/10/13

15.408 Bureau of Ocean Energy Management Renewable Energy Program

Added on 03/28/13

15.562 Dixie Valley Water Export Study

Added on 04/18/13

15.563 Suisun Marsh Preservation Agreement

Added on 04/19/13

15.564 Central Valley Project Conservation Program

Added on 04/19/13

15.676 Youth Engagement, Education, and Employment Programs

Added on 03/22/13

15.677 Hurricane Sandy Disaster Relief Activities-FWS

Added on 08/07/13

15.955 Martin Luther King Junior National Historic Site and Preservation District

Added on 04/18/13

15.956 Ebey's Landing National Historical Reserve and Ebey's Landing National Historical Reserve Trust Board

Added on 06/06/13

15.957 Historic Preservation Fund Grants to Provide Disaster Relief to Historic Properties Damaged by Hurricane Sandy

Added on 05/15/13

DEPARTMENT OF JUSTICE

16.111 Joint Law Enforcement Operations (JLEO)

Added on 03/29/13

16.822 National Center for Campus Public Safety

Added on 05/17/13

16.823 Emergency Planning for Juvenile Justice Facilities

Added on 06/07/13

16.824 Emergency Law Enforcement Assistance Grant

Added on 08/03/13

DEPARTMENT OF LABOR

17.284 Hurricane Sandy Disaster Relief Appropriations Act Supplemental - National Emergency Grants (NEGs)

Added on 04/04/13

17.506 Disaster Relief Appropriations Act, Susan Harwood Training Grants

Added on 04/05/13

DEPARTMENT OF STATE

ADDED PROGRAMS

19.121 Conflict and Stabilization Operations

Added on 06/13/13

19.224 Nonproliferation and Disarmament Fund

Added on 05/04/13

DEPARTMENT OF TRANSPORTATION

20.323 Fiscal Year 2013 Hurricane Sandy Disaster Relief Grants to the National Railroad Passenger Corporation

Added on 04/18/13

20.525 State of Good Repair Grants Program

Added on 12/19/12

20.526 Bus and Bus Facilities Formula Program

Added on 12/19/12

20.527 Public Transportation Emergency Relief Program

Added on 02/06/13

20.528 Rail Fixed Guideway Public Transportation System State Safety Oversight Formula Grant Program

Added on 07/10/13

20.724 Pipeline Safety Research Competitive Academic Agreement Program (CAAP)

Added on 01/19/13

20.818 Great Ships Initiative

Added on 12/08/12

20.819 Ballast Water Treatment Technologies

Added on 12/09/12

DEPARTMENT OF THE TREASURY

21.015 Resources and Ecosystems Sustainability, Tourist Opportunities, and Revived Economies of the Gulf Coast States

Added on 04/27/13

SMALL BUSINESS ADMINISTRATION

59.063 Disaster Assistance Loans (Disaster Relief Appropriations Act)

Added on 03/21/13

59.064 Entrepreneurial Development Disaster Assistance (Disaster Relief Appropriations Act)

Added on 03/21/13

DEPARTMENT OF VETERANS AFFAIRS

64.037 VA U.S. Paralympics Monthly Assistance Allowance Program

Added on 10/31/12

64.038 Grants for the Rural Veterans Coordination Pilot

Added on 05/04/13

ENVIRONMENTAL PROTECTION AGENCY

66.043 Regional Healthy Indoor Air Projects for Community Outreach and Education, Surveys, Studies, Research, Investigations, Demonstrations, and Special Purpose Activities Relating to the Clean Air Act

Added on 03/15/13

66.482 Disaster Relief Appropriations Act (DRAA) Hurricane Sandy Capitalization Grants For Clean Water State Revolving Funds

Added on 07/11/13

66.483 Disaster Relief Appropriations Act (DRAA) Hurricane Sandy Capitalization Grants For Drinking Water State Revolving Funds

Added on 07/11/13

66.819 Disaster Relief Appropriations Act (DRAA) Hurricane Sandy Leaking Underground Storage Tank Trust Fund Corrective Action Program

Added on 07/11/13

DEPARTMENT OF ENERGY

81.139 Environmental Management R&D for HEPA Filters, High-Level Tank Waste Mixing & Sampling

Added on 11/25/12

81.140 Los Alamos National Laboratory - Fire Protection

Added on 07/20/13

DEPARTMENT OF EDUCATION

84.418 Promoting Readiness of Minors in Supplemental Security Income

Added on 03/08/13

VIETNAM EDUCATION FOUNDATION

85.801 U.S. Faculty Scholar Grants

Added on 07/05/13

85.802 Fellowship Program

Added on 08/31/13

85.803 Visiting Scholar Grants

Added on 08/31/13

UNITED STATES INSTITUTE OF PEACE

91.004 Public Education for Peacebuilding Awards Program

Added on 03/21/13

DEPARTMENT OF HEALTH AND HUMAN SERVICES

93.079 Cooperative Agreements to Promote Adolescent Health through School-Based HIV/STD Prevention and School-Based Surveillance

Added on 01/30/13

93.080 Blood Disorder Program: Prevention, Surveillance, and Research

Added on 09/01/13

93.081 ASPR Science Preparedness and Response Grants

Added on 12/27/12

93.082 Sodium Reduction in Communities

Added on 01/23/13

93.083 Prevention of Disease, Disability, and Death through Immunization and Control of Respiratory and Related Diseases

Added on 04/05/13

93.084 Prevention of Disease, Disability, and Death by Infectious Diseases

Added on 04/05/13

93.085 Research of the Responsible Conduct of Research (RCR)

Added on 02/12/13

93.094 Well-Integrated Screening and Evaluation for Women Across the Nation

Added on 03/06/13

93.095 HHS Programs for Disaster Relief Appropriations Act - Non Construction

Added on 03/24/13

93.096 HHS Programs for Disaster Relief Appropriations Act - Construction

Added on 03/24/13

93.097 Strengthening the Nation's Public Health System through a National Voluntary Accreditation Program for State, Tribal, Local and Territorial Health Departments

Added on 04/25/13

93.098 Tribal Public Health Capacity Building and Quality Improvement

Added on 04/27/13

93.261 National Diabetes Prevention Program: Preventing Type 2 Diabetes Among People at High Risk

ADDED PROGRAMS

Added on 06/19/13

93.292 National Public Health Improvement Initiative

Added on 06/28/13

93.293 Supporting Permanent Placements of Foster Care Children Through Electronic Records Exchange

Added on 07/07/13

93.304 Racial and Ethnic Approaches to Community Health

Added on 07/05/13

93.305 National State Based Tobacco Control Programs

Added on 08/29/13

93.311 Mobilization For Health: National Prevention Partnership Awards (NPPA)

Added on 08/31/13

93.628 Affordable Care Act Implementation Support for State Demonstrations to Integrate Care for Medicare-Medicaid Enrollees

Added on 12/19/12

93.634 ACA Support for Demonstration Ombudsman Programs Serving Beneficiaries of State Demonstrations to Integrate Care for Medicare-Medicaid

Added on 06/26/13

93.750 PPHF 2013 - Cooperative Agreement to Support Navigators in Federally-facilitated and State Partnership Exchanges

Added on 04/09/13

93.751 PPHF2013: Consortium for Tobacco Use Cessation Technical Assistance financed by solely by 2013 Prevention and Public Health Funds

Added on 05/19/13

CORPORATION FOR NATIONAL AND COMMUNITY SERVICE

94.023 AmeriCorps VISTA Training & Logistics Support

Added on 02/16/13

EXECUTIVE OFFICE OF THE PRESIDENT

95.006 Model State Drug Laws Initiative

Added on 01/11/13

CROSSWALK OF CHANGES TO PROGRAM NUMBERS AND TITLES

financed in part by 2013 PPHF

- 93.591 Family Violence Prevention and Services/Battered Women's Shelters_Grants to State Domestic Violence Coalitions
- 93.592 Family Violence Prevention and Services/Battered Women's Shelters_Discretionary Grants
- 93.671 Family Violence Prevention and Services/Battered Women's Shelters_Grants to States and Indian Tribes
- 93.732 Mental and Behavioral Health Education and Training Grants
- 93.908 NURSE Corps Loan Repayment Program
- 93.932 Native Hawaiian Health Care Systems

DEPARTMENT OF HOMELAND SECURITY

- 97.026 Emergency Management Institute Training Assistance
- 97.076 CyberTipline
- 97.077 Homeland Security Research, Development, Testing, Evaluation, and Demonstration of Technologies Related to Nuclear Threat Detection
- 97.106 Securing the Cities Program

PROGRAM DESCRIPTIONS

The following section provides the complete program text for each CFDA program as submitted by the administering agency.

DEPARTMENT OF AGRICULTURE

10.001 AGRICULTURAL RESEARCH_BASIC AND APPLIED RESEARCH

(Extramural Research)

FEDERAL AGENCY:

Agricultural Research Service, Department of Agriculture

AUTHORIZATION:

Food Security Act of 1985, Public Law 99-198, 7 U.S.C 427-427i, 1624.

OBJECTIVES:

To make agricultural research discoveries, evaluate alternative ways of attaining research goals, and provide scientific technical information.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Research is conducted that is in cooperation with and is correlated with the Agricultural Research Service's in-house research programs and projects. Limited discretionary research funds are periodically made available.

Applicant Eligibility:

Usually nonprofit institutions of higher education or other nonprofit research organizations, whose primary purpose is conducting scientific research.

Beneficiary Eligibility:

Usually nonprofit institutions of higher education or other nonprofit research organizations, whose primary purpose is conducting scientific research.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Letters should be submitted to the Agricultural Research Service, Department of Agriculture. Give name of applicants, location of facilities, and State of incorporation, if any.

Award Procedure:

A peer review panel considers each proposal, evaluates the qualifications of applicants in line with research to be undertaken and determines priority for final negotiations of the grant.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Other - Not Specified.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

None. See the following for information on how assistance is awarded/released: None.

Reports:

Progress reports, final technical reports, financial statements, and inventions and subaward reports. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. As performed by cognizant audit agency.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to an award shall be retained for a period of three years from the date of submission of the final expenditure report or, for awards that are renewed quarterly or annually, from the date of the submission of the quarterly or annual financial report, as authorized by the Federal awarding agency.

Account Identification:

12-1400-0-1-352.

Obligations:

(Project Grants) FY 12 \$24,785,581; FY 13 est \$25,000,000; and FY 14 est \$25,000,000

Range and Average of Financial Assistance:

\$1,000 to \$25,000. Average \$3,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR 3015 and 7 CFR 3019.

Regional or Local Office:

See Regional Agency Offices. See the Agricultural Research Service Regional Offices listed in Appendix IV of the Catalog.

Headquarters Office:

Kathleen S. Townson, 5601 Sunnyside Ave, MS-5110, Betsville, Maryland 20705 Email: kathleen.townson@ars.usda.gov Phone: (301) 504-1702.

Website Address:

<http://www.ars.usda.gov>.

RELATED PROGRAMS:

10.200 Grants for Agricultural Research, Special Research Grants; 10.202 Cooperative Forestry Research; 10.203 Payments to Agricultural Experiment Stations Under the Hatch Act; 10.205 Payments to 1890 Land-Grant Colleges and Tuskegee University; 10.207 Animal Health and Disease Research; 10.250 Agricultural and Rural Economic Research; 10.500 Cooperative Extension Service; 10.652 Forestry Research; 10.700 National Agricultural Library

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Peer review.

10.025 PLANT AND ANIMAL DISEASE, PEST CONTROL, AND ANIMAL CARE

FEDERAL AGENCY:

Animal and Plant Health Inspection Service, Department of Agriculture

AUTHORIZATION:

Farm Security and Rural Investment Act of 2002, Public Law 107-171, E U.S.C 10401-10418; Animal Welfare Act, as amended, 7 U.S.C 2131-2155; Plant Protection Act, Public Law 106-224, 7 U.S.C 7701-7772.

OBJECTIVES:

To protect U.S. agriculture from economically injurious plant and animal diseases and pests, ensure the safety and potency of veterinary biologic, and ensure the humane treatment of animals.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Conduct surveys, inspections to detect and appraise infestations, eradication and control activities, and carry out regulatory actions to prevent interstate spread of infestations and diseases.

Applicant Eligibility:

Foreign, State, local, and U.S. Territorial government agencies, nonprofit institutions of higher education, and nonprofit associations or organizations requiring Federal support to eradicate, control, or assess the status of injurious plant and animal diseases and pests that are a threat to regional or national agriculture and conduct related demonstration projects.

Beneficiary Eligibility:

Farmers, ranchers, agriculture producers, State, local, U.S. Territorial government agencies, public and private institutions and organizations benefit from Federal assistance to eradicate or control injurious plant and animal diseases and pests that are a threat to regional or national agriculture.

Credentials/Documentation:

Curriculum vitae for principal investigator, except for State, local, and Territorial government cooperators. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

A letter from applicants seeking support or cooperation with the Animal and Plant Health Inspection Service. Applicants must indicate the nature and severity of the problem to be considered and explain how they will address the problem. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Comply with E.O. 12372, "Intergovernmental Review of Federal Programs," and submit a completed Standard Form 424.1, "Department of Agriculture, Application for Federal Assistance (Non-construction)" and project proposal (work plan), financial plan, curriculum vitae, and other required certifications to the appropriate APHIS area, regional, or Headquarters Office. See Regional and Local Office Address Listing.

Award Procedure:

Applications are approved by the Administrator or Authorized Departmental Officers (ADOs) upon determination that the project will contribute toward accomplishment of the Agency's overall mission and meet any established project evaluation/selection criteria.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 60 to 120 days.

Appeals:

None.

Renewals:

Based on program needs and availability of annual funding.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. Recipients share some project or program costs. The cost-sharing arrangements are developed between USDA and the recipients in advance of the program unless otherwise stated by Congress.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Up to 1 year from the date of award. Funds are made available as required to cover expenditures. See the following for information on how assistance is awarded/released: Funds are provided to recipients when requests for advance or reimbursement are received and approved by the Agency.

Reports:

Program reports are not applicable. Cash reports are not applicable. Requirements are specifically indicated in the award documents and may vary for given programs; however, quarterly financial reports, annual progress reports, final financial and final summary progress reports are generally required. SF-425, Federal Financial Report. Narrative performance progress reports.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Instruction provided in the Notice of Award. Grantees are expected to maintain separate records for each grant to ensure that funds are used for the purpose for which the grant was made. Records are subject to inspection during the life of the grant and for three years thereafter.

Account Identification:

12-9971-0-7-352; 12-1600-0-1-352.

Obligations:

(Salaries) FY 12 \$255,864,555; FY 13 est \$194,242,667; and FY 14 est \$191,882,300 - APHIS has a difference between budget authority and obligations because there is carryover funding available from no year funding.

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Selected examples of progress: Brucellosis class free status States - 50 States and 3 Territories; Tuberculosis -success rate of tracking positive signal animals back to their herd of origin 96%. Fiscal Year 2013: Selected examples of progress: Brucellosis class free status States - 50 States and 3 Territories; Tuberculosis -success rate of tracking positive signal animals back to their herd of origin 96%. Fiscal Year 2014: Selected examples of progress: Brucellosis class free status States - 50 States and 3 Territories; Tuberculosis -success rate of tracking positive signal animals back to their herd of origin 96%.

REGULATIONS, GUIDELINES, AND LITERATURE:

Uniform Federal Assistance Regulations , 7 CFR 3015; "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments", 7 CFR 3016; "Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-Profit Organizations", 7 CFR 3019; Nonprocurement Debarment and Suspension 2 CFR 417; Governmentwide Requirements for Drug-Free Workplace", 7 CFR 3021; "New Restrictions on Lobbying", 7 CFR 3018; and Office of Management and Budget regulations governing "Controlling Paperwork Burdens on the Public", 5 CFR 1320.

Regional or Local Office:

See Regional Agency Offices. Consult Appendix IV of the Catalog for addresses of regional offices of the Animal and Plant Health Inspection Service.

Headquarters Office:

Eileen M. Berke, 4700 River Road, Unit 55, Riverdale, Maryland 20737 Email: eileen.m.berke@aphis.usda.gov Phone: (301) 851-3856

Website Address:

<http://www.aphis.usda.gov>.

RELATED PROGRAMS:

10.001 Agricultural Research_Basic and Applied Research; 10.028 Wildlife Services; 10.202 Cooperative Forestry Research; 10.207 Animal Health and Disease Research; 10.215 Sustainable Agriculture Research and Education; 10.219 Biotechnology Risk Assessment Research; 10.250 Agricultural and Rural Economic Research; 10.500 Cooperative Extension Service; 10.652 Forestry Research; 10.902 Soil and Water Conservation; 12.100 Aquatic Plant Control; 15.611 Wildlife Restoration

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.028 WILDLIFE SERVICES**FEDERAL AGENCY:**

Animal and Plant Health Inspection Service, Department of Agriculture

AUTHORIZATION:

Animal Damage Control Act of 1931, 7 U.S.C 426,426b, 426c.

OBJECTIVES:

To reduce damage caused by mammals and birds and those mammal and bird species that are reservoirs for zoonotic diseases, (except for urban rodent control through control and research activities). Wherever feasible, humane methods will be emphasized.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Works closely with State departments of fish and game, agriculture, health, and counties in joint efforts to alleviate wild animal damage. Conduct surveys, campaigns to reduce wild animal damage including bird problems at airports, develop methods to control wild animal damage, and provide technical advice and assistance. For direct technical assistance, State fish and game departments should be contacted.

Applicant Eligibility:

State and local governments, federally recognized Indian tribal governments, public/private nonprofit organizations, nonprofit institutions of higher education, and individuals.

Beneficiary Eligibility:

States, local jurisdictions, U.S. Territorial government agencies, federally recognized Indian tribal governments, public and private institutions and organizations, farmers, ranchers, agricultural producers, and land/property owners benefit from Federal assistance in the control of nuisance mammals and birds and those mammal and bird species that are reservoirs for zoonotic diseases.

Credentials/Documentation:

Curriculum vitae for principal investigator, except for State, local, and Territorial government cooperators. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program.

Award Procedure:

Applications are approved by the Administrator or authorized departmental officers (ADO's) upon determination that the project will contribute toward accomplishment of the Agency's overall mission and meet any established project evaluation/selection criteria.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 60 to 120 days.

Appeals:

Not Applicable.

Renewals:

Based on program needs and availability of annual funding.

Formula and Matching Requirements:

This program has no statutory formula.

Matching requirements are not applicable to this program.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Up to one year from the date of award. See the following for information on how assistance is awarded/released: Funds are provided to recipients when requests for advance or reimbursement are received and approved by the Agency.

Reports:

Program reports are not applicable. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Instruction provided in the Notice of Award. Grantees are expected to maintain separate records for each grant to ensure that funds are used for the purpose for which the grant was made. Records are subject to inspection during the life of the grant and for three years thereafter.

Account Identification:

12-1600-0-1-352.

Obligations:

(Salaries) FY 12 \$3,732,468; FY 13 est \$2,431,902; and FY 14 est \$2,492,264 - APHIS has a difference between budget authority and obligations because there is carryover funding available from no year funding.

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

"Uniform Federal Assistance Regulations , 7 CFR 3015; "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments", 7 CFR 3016; and/or "Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-Profit Organizations", 7 CFR 3019; Nonprocurement Debarment and Suspension 2 CFR 417; Governmentwide Requirements for Drug-Free Workplace", 7 CFR 3021; "New Restrictions on Lobbying", 7 CFR 3018; and Office of Management and Budget regulations

governing "Controlling Paperwork Burdens on the Public", 5 CFR 1320.

Regional or Local Office:

See Regional Agency Offices. See Appendix IV of the Catalog.

Headquarters Office:

Eileen M. Berke, 4700 River Road, Unit 55, Suite 3B06.3, Riverdale, Maryland 20737 Email: eileen.m.berke@aphis.usda.gov Phone: (301) 851-2856

Website Address:

http://www.aphis.usda.gov.

RELATED PROGRAMS:

10.025 Plant and Animal Disease, Pest Control, and Animal Care; 10.652 Forestry Research; 15.611 Wildlife Restoration

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Relevance to agency program mission and qualification of principle investigator and institution.

10.030 INDEMNITY PROGRAM

FEDERAL AGENCY:

Animal and Plant Health Inspection Service, Department of Agriculture

AUTHORIZATION:

9 CFR parts 50-54; Plant Protection Act, Title IV, Section 415(e).

OBJECTIVES:

Animal and Plant Health Inspection Service administers regulations at 9 CFR parts 50 to 54 that authorizes payment for indemnities. This authority covers a wide variety of indemnity situations ranging from large livestock depopulations to small fowl depopulations, and there are various indemnity calculations and processes for determining the indemnity value for each specific species. The Secretary of Agriculture offers an opinion that constitutes an emergency and threatens the U.S. animal population. Payment for the destroyed animals is based on fair market value. Also, under Section 415 (e) of the Plant Protection Act (Title IV of Public Law 106-224), under a declaration of extraordinary emergency because of the presence of a plant pest or noxious weed that is new to or not known to be widely prevalent in the United States, the Secretary may pay compensation for economic losses incurred by as a result of actions taken under the authorities in this section (415).

TYPES OF ASSISTANCE:

Direct Payments with Unrestricted Use

USES AND USE RESTRICTIONS:

None.

Applicant Eligibility:

N/A.

Beneficiary Eligibility:

N/A.

Credentials/Documentation:

Required documentation will be specified in the Declaration of Emergency issued by the Secretary of Agriculture. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. N/A

Award Procedure:

Required documentation will be specified in the Declaration of Emergency issued by the Secretary of Agriculture.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Time period of availability will be specified in the Declaration of Emergency issued by the Secretary of Agriculture. See the following for information on how assistance is awarded/released: Electronic Funds Transfer or paper check.

Reports:

No reports are required.

Audits:

No audits are required for this program.

Records:

Record requirements will be specified in the Declaration of Emergency issued by the Secretary of Agriculture.

Account Identification:

12-1600-0-1-352.

Obligations:

(Direct Payments with Unrestricted Use) FY 12 \$1,658,467; FY 13 est \$547,720; and FY 14 est \$547,720 - N/A.

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Susan Murphy 4700 River Road
Suite 3D04.15, Riverdale, Maryland 20737 Email:
Susan.A.Murphy@aphis.usda.gov Phone: 301-851-2859

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.051 COMMODITY LOANS AND LOAN DEFICIENCY PAYMENTS
(Price Supports)

FEDERAL AGENCY:

Farm Service Agency, Department of Agriculture

AUTHORIZATION:

Food, Conservation, and Energy Act of 2008
, Public Law 110-246, as amended by American Taxpayer Relief Act of 2012,
Public Law 112-240.

OBJECTIVES:

To improve and stabilize farm income, to assist in bringing about a better balance between supply and demand of the commodities, and to assist farmers

in the orderly marketing of their crops.

TYPES OF ASSISTANCE:

DIRECT LOANS; DIRECT PAYMENTS WITH UNRESTRICTED USE

USES AND USE RESTRICTIONS:

Loans and loan deficiency payments (LDP's) give farmers a means of promoting more orderly marketing. Loans to producers may be "nonrecourse" which means that producers have the option of forfeiting the collateral to CCC at loan maturity in full satisfaction of the loan obligation; or "recourse" for low quality grain, or non-ginned seed cotton, which means that producers must repay the loans by maturity." If market prices are above the support level producers may repay their loans at the original loan principal plus interest and market their commodities. When market prices are low, most nonrecourse commodity loan repayments are less than the original loan principal plus interest. Eligible commodities for loans are wheat, corn, grain sorghum, oats, barley, rice, peanuts, upland cotton, extra-long staple cotton, soybeans, crambe, canola, flaxseed, mustard seed, rapeseed, safflower, sunflower seed, sesame seed, dry peas, lentils, small and large chickpeas, graded and ungraded wool, honey, and sugar. LDP's are offered for wheat, corn, grain sorghum, oats, barley, upland cotton, rice, soybeans, crambe, canola, flaxseed, mustard seed, rapeseed, safflower, sunflower seed, sesame seed, peanuts, dry peas, lentils, small and large chickpeas, graded and ungraded wool, unshorn pelts, honey, hay, and silage. If the loan repayment rates for these commodities are less than the established loan levels, producers may, for most commodities that are eligible for a nonrecourse loan, agree to forego such loan and elect to receive an LDP. The LDP payment rate equals the amount by which the loan rate exceeds the loan repayment rate in effect at the time the LDP application is approved, or the delivery date, or date sold or date beneficial interest is lost, as applicable.

Applicant Eligibility:

Owner, landlord, tenant, or sharecropper on an eligible farm that has produced the eligible commodities or, in the case of sugar, a processor or refiner who meets program requirements as announced by the Secretary.

Beneficiary Eligibility:

Owner, landlord, tenant, or sharecropper on a farm that has produced the eligible commodities, meets program requirements as announced by the Secretary, and maintains beneficial interest in the commodity.

Credentials/Documentation:

Record of farming operation must be on file in the FSA county office and a complete acreage report to account for all cropland on the farm must be submitted for the applicable crop year. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. In the case of warehouse-stored commodities, producer or Cooperative Marketing Association presents warehouse receipts to the FSA county office (warehouse-stored peanut loans may be made through Designated Marketing Associations). In the case of farm-stored commodities (including sugar), producer/processor or Cooperative Marketing Association requests a loan at the FSA county office.

Award Procedure:

Applications are approved by the FSA upon determination that applicant and commodity are eligible.

Deadlines:

Jan 31, 2014: Loans and LDP's are available for peanuts, graded and ungraded wool, and unshorn pelts (LDP only) through January 31 of the year following the year in which the crop is normally harvested, shorn, or slaughtered. Mar 31, 2014 - Loans and LDP's are available for wheat, barley, oats, canola, flaxseed, crambe, rapeseed, sesame seed, and honey through March 31 of the year following the year in which the crop is normally harvested. May 31, 2014 - Loans and LDP's are available for rice, corn, grain sorghum, cotton, soybeans,

safflower, sunflower seed, mustard seed, small and large chickpeas, lentils, dry peas, and cotton through May 31 of the year following the year in which the crop is normally harvested. Sept. 30, 2014 - Loans are available for sugar through September 30.

Range of Approval/Disapproval Time:

Approximately 3 days but could take from 15 to 30 days..

Appeals:

From 60 to 90 days. Applications may be reviewed by county, State, or national offices.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Assistance is generally available for 9 months or less, and is normally disbursed on a lump-sum basis. Method of awarding/releasing assistance: lump sum.

Reports:

No reports are required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Periodic and required spot checks of farm-stored grain will be made by the county FSA office. Recipients are subject to audit by Office of Inspector General, USDA.

Records:

Not applicable.

Account Identification:

12-4336-0-3-351.

Obligations:

(Direct Loans) FY 12 \$7,103,319,000; FY 13 est \$5,659,614,000; and FY 14 est \$6,921,365,000. (Direct Payments with Unrestricted Use) FY 12 \$0; FY 13 est \$0; and FY 14 est \$0 - Loan Deficiency Payments.

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program regulations published in the Federal Register 7 CFR, Chapter XIV, Parts 1421, 1425, 1427, 1434, and 1435; announcements issued to news media and letters to producers; " FSA Commodity Fact Sheets, " no cost: The Price Support Program," ; Farm Service Agency, Department of Agriculture, STOP 0506, 1400 Independence Avenue S.W., Washington, DC 20250-0506.

Regional or Local Office:

None. Consult the local telephone directory for location of the FSA county office. If no listing, get in touch with appropriate FSA State office listed under the Farm Service Agency section of Appendix IV of the Catalog.

Headquarters Office:

DeAnn Allen 1400 Independence Avenue, SW, Stop 0512, Washington, District of Columbia 20250-0512 Email: deann.allen@wdc.usda.gov Phone: (202) 720-9889 Fax: (202) 690-3307

Website Address:

<http://www.fsa.usda.gov> .

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.053 DAIRY INDEMNITY PROGRAM

DIPP

FEDERAL AGENCY:

Farm Service Agency, Department of Agriculture

AUTHORIZATION:

Act of August 13, 1968, 82 Stat. 750, 7 U.S.C 450j-450l; Consolidated and Further Continuing Appropriations Act of 2013, Public Law 113-6, 114 Stat. 1549A-12.

OBJECTIVES:

To protect dairy farmers and manufacturers of dairy products who through no fault of their own, are directed to remove their milk or dairy products from commercial markets because of contamination from pesticides which have been approved for use by the Federal government. Dairy farmers can also be indemnified because of contamination with chemicals or toxic substances, nuclear radiation or fallout.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS WITH UNRESTRICTED USE

USES AND USE RESTRICTIONS:

Fair market value for the milk is paid to the dairy farmer who is unable to market because of any of the violating substances, and the fair market value of the dairy product is paid to the manufacturer who is unable to market because of pesticide residue. No payment may be made to any dairy farmer or any manufacturer whose milk or dairy product was removed from the market as a result of his negligence or his willful failure to follow procedures prescribed by the Federal government.

Applicant Eligibility:

Dairy farmers whose milk has been removed from the market by a public agency because of residue of any violating substance in such milk. Manufacturers of dairy products whose product has been removed from the market by a public agency because of pesticide residue in such product. This program is also available in Puerto Rico.

Beneficiary Eligibility:

Dairy farmers whose milk has been removed from the market by a public agency because of residue of any violating substance in such milk. Manufacturers of dairy products whose product has been removed from the market by a public agency because of pesticide residue in such product. This program is available in Puerto Rico.

Credentials/Documentation:

In the case of a dairy farmer, the notice removing the milk from the market along with a record of past marketing records for milk to determine the quantity and value of the milk not marketed, the violating substance involved and the uses of such violating substances during the previous 24 months. In the case of the manufacturer of dairy products, the notice removing the product from the market and sufficient data to determine the value of the product. This program is excluded from coverage under OMB Circular No. A-87. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Producers must file an application for payment on Form FSA-373 with the local county FSA office. Manufacturers must file information on the cause and amount of their loss with the local county FSA office.

Award Procedure:

Initial approval is made by the county FSA committee. Final approval is made

by the Price Support Division in Washington, DC.

Deadlines:

May 13, 2008 to Dec 31, 2013 Claims must be filed by December 31 following the fiscal year in which the loss is incurred.

Range of Approval/Disapproval Time:

From 60 to 90 days. From 60 to 90 days.

Appeals:

Applicants may appeal to the county Farm Service Agency Committee and to the FSA, Department of Agriculture, Appeals and Litigation Group, 1400 Independence Avenue, SW., Washington, DC 20250-0570.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Payment is made by Commodity Credit Corporation (CCC) check after claim approval. Method of awarding/releasing assistance: lump sum.

Reports:

No reports are required.

Audits:

No audits are required for this program.

Records:

The dairy farmer and the manufacturer of dairy products must keep any records in applying for a payment for 3 years following the year in which an application for payment was filed.

Account Identification:

12-3314-0-1-351.

Obligations:

(Direct Payments with Unrestricted Use) FY 12 \$279,603; FY 13 est \$250,000; and FY 14 est \$250,000

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program regulations were published in the Federal Register, 7 CFR, 760, and announced through the news media, Handbook 3-LD, Circulars and regulations issued by FSA.

Regional or Local Office:

See Regional Agency Offices. Consult the local telephone directory for location of the county FSA office. If no listing, contact the appropriate State FSA office listed under the Farm Service Agency section of Appendix IV of the Catalog.

Headquarters Office:

Danielle Cooke 1400 Independence Avenue, SW, Washington, District of Columbia 20250-0512 Email: danielle.cooke@wdc.usda.gov Phone: (202) 720-1919

Website Address:

<http://www.fsa.usda.gov>.

RELATED PROGRAMS:

10.500 Cooperative Extension Service

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.054 EMERGENCY CONSERVATION PROGRAM

(ECP)

FEDERAL AGENCY:

Farm Service Agency, Department of Agriculture

AUTHORIZATION:

Agricultural Credit Act of 1978, Public Law 95-334, 92 Stat. 420, 16 U.S.C 2201-2205.

OBJECTIVES:

To enable farmers to perform emergency conservation measures to control wind erosion on farmlands, to rehabilitate farmlands damaged by wind erosion, floods, hurricanes, or other natural disasters and to carry out emergency water conservation or water enhancing measures during periods of severe drought.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

Following a natural disaster, the county FSA committee determines, with concurrence from the State FSA committee, to make the program available in the county. Emergency cost-sharing is limited to new conservation problems created by natural disasters which, if not treated will impair or endanger the land, materially affect the productive capacity of the land, represent damage that is unusual in character and, except for wind erosion, is not the type that would recur frequently in the same area and will be so costly to rehabilitate that Federal assistance is or will be required to return the land to productive agricultural use. Eligible drought situations for water enhancing measures must be determined by the Deputy Administrator for Farm Programs, FSA.

Applicant Eligibility:

Any agricultural producer who as owner, landlord, tenant, or sharecropper on a farm or ranch, including associated groups, and bears a part of the cost of an approved conservation practice in a disaster area, is eligible to apply for cost-share conservation assistance. This program is also available in American Samoa, Guam, Commonwealth of the Northern Mariana Islands, Puerto Rico, and the Virgin Islands.

Beneficiary Eligibility:

Any agricultural producer who as owner, landlord, tenant, or sharecropper on a farm or ranch, including associated groups, and bears a part of the cost of an approved conservation practice in a disaster area, is eligible to apply for cost-share conservation assistance. This program is also available in American Samoa, Guam, Commonwealth of the Northern Mariana Islands, Puerto Rico, and the Virgin Islands.

Credentials/Documentation:

Identification as an eligible person and proof of contribution to the cost of performing the conservation practice. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Eligible persons may submit an application on Form AD-245, for cost-sharing, at the county FSA office for the county in which the affected land is located. .

Award Procedure:

The county FSA committee reviews, prioritizes, and may approve applications in whole or in part. Approvals cannot exceed the county allocation of Federal funds for that purpose.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 15 to 30 days. From 2 to 3 weeks.

Appeals:

Participants may appeal to county FSA committee, State FSA committee, or National Appeals Division (NAD) on any determination. Matters that are generally applicable to all producers are not appealable.

Renewals:

Certain approvals may be extended by the FSA county committee, when necessary, with proper justification.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Practice cost-share approvals are given on a fiscal year basis. The approvals specify the time that the practice must be carried out. Payment is by check or electronic funds transfer following completion of the measure. Method of awarding/releasing assistance: lump sum.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

Maintained in the county FSA office and Federal record centers for a specified number of years.

Account Identification:

12-3316-0-1-453.

Obligations:

(Direct Payments with Unrestricted Use) FY 12 \$74,575,886; FY 13 est \$187,789,000; and FY 14 Estimate Not Available

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program regulations published in the Federal Register at 7 CFR, Part 701. Program is announced through the news media in the county area designated as a disaster area.

Regional or Local Office:

See Regional Agency Offices. Farmers are advised to contact their local county FSA office after a natural disaster has occurred to determine whether the program is available in the county and to determine eligibility for emergency cost-share assistance. Consult the local telephone directory for location of the county FSA office. If no listing, get in touch with the appropriate State FSA office listed in the Farm Service Agency section of Appendix IV of the Catalog.

Headquarters Office:

Martin Bomar 1400 Independence Ave. SW, Washington, District of Columbia 20250 Email: Martin.Bomar@wdc.usda.gov Phone: 202-205-4537 Fax: 202-720-4619

Website Address:

<http://www.fsa.usda.gov> .

RELATED PROGRAMS:

10.102 Emergency Forest Restoration Program ; 10.404 Emergency Loans

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.055 DIRECT AND COUNTER-CYCLICAL PAYMENTS PROGRAM (DCP)**FEDERAL AGENCY:**

Farm Service Agency, Department of Agriculture

AUTHORIZATION:

Food, Conservation, and Energy Act of 2008, Public Law 110-627, 7 U.S.C 1412; The American Taxpayer Relief Act Of 2012, Public Law 112-240.

OBJECTIVES:

To provide income support to eligible producers of covered commodities.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

With respect to Fiscal Year 2008 payments, CCC will offer to enter into a contract with eligible producers of covered commodities on June 25, 2008 through the date announced by CCC. With respect to Fiscal Years 2009 through 2013, CCC shall offer to annually enter into a contract with an eligible producer on a farm having base acreage with respect to a covered commodity at the beginning of each such fiscal year 2009 through 20013 through the date announced by CCC for each such year.

Applicant Eligibility:

To be eligible for payments under DCP, owners, operators, landlords, tenants, or sharecroppers must (1) share in the risk of producing a crop on base acres on a farm enrolled in DCP, and be entitled to share in the crop available for marketing from the base acres, or would have shared had a crop been produced; (2) annually report the use of the farm's cropland acreage; (3) comply with conservation and wetland protection requirements on all of their land; (4) comply with planting flexibility requirements; (5) use the base acres for agricultural or related activities; and (5) protect all base acres from erosion, including providing sufficient cover as determined necessary by the county FSA committee, and control weeds.

Beneficiary Eligibility:

DCP provides payments to eligible producers on farms enrolled for the 2008 through 2013 crop years.

Credentials/Documentation:

As a condition of eligibility for direct and counter-cyclical payments, the operator or owner must submit a report of all cropland acreage on the farm. Reports of production evidence for all covered commodities shall be provided to the county committee of the county where the farm is administratively located, by farm and crop in such manner as required by CCC on a CCC-approved standard, uniform form designated by CCC. When disposition of production has been through commercial channels, CCC may require the producer to furnish documentary evidence in order to verify the information provided on the report of production. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. The CCC-509 must be submitted. The following documents are required and applicable determinations must be made before the county committee can approve a producer's share on the CCC-509 for payment: (1) a farm operating plan (CCC-902 and related forms); (2) an average adjusted gross income certification (CCC-933); (3) a certification of compliance with highly erodible land and wetland conservation provisions (AD-1026). A certification of the acreage of all cropland on the farm (FSA-578) is needed before final payments can be issued.

Award Procedure:

Producers receive their direct payments after October 1, 2013. Producers receive their final counter-cyclical payments are made after the end of the marketing year for the crop.

Deadlines:

Feb 19, 2013 to Aug 02, 2013 Please contact the program contact listed in the Information Contacts section below.

Range of Approval/Disapproval Time:

From 15 to 30 days. Approval of payments depends on farmer compliance with conservation and wetland protection requirements on all of the producers' farms, planting flexibility requirements and other eligibility requirements mentioned above, and is fairly routine and prompt.

Appeals:

From 15 to 30 days. A producer may obtain reconsideration and review of any adverse determination made under this part in accordance with the appeal regulations found at parts 11 and 780 of Title 7 Agriculture.

Renewals:

Farm producers must apply for DCP on an annual basis and can opt out of participating in DCP for any year if they so choose.

Formula and Matching Requirements:

Statutory Formula: Title 7 Agriculture, Part 1412, Subpart E, Public Law 110-627. Amended by ATRA (PL 112-240).

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Direct payments are made after October 1, 2013. Counter-cyclical payments are made after the end of the marketing year for the applicable crop. Both dates are statutory. Method of awarding/releasing assistance: lump sum.

Reports:

No reports are required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Recipients under this program are subject to audit by the Office of the Regional Inspector General, USDA.

Records:

An owner or any other individual or entity receiving assistance for DCP shall maintain and retain financial books and records which will permit verification of all transactions for at least 3 years, following the end of the calendar year in which assistance was provided.

Account Identification:

12-4336-0-3-351.

Obligations:

(Direct Payments with Unrestricted Use) FY 12 \$123,959,000; FY 13 est \$9,931,000; and FY 14 est \$0 - Counter-Cyclical Payments. (Direct Payments with Unrestricted Use) FY 12 \$4,744,958,000; FY 13 est \$3,837,461,000; and FY 14 est \$4,954,522,000 - Direct Payments.

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program is announced through news media and in letters to agricultural producers in the counties. Regulations published in the Federal Register, 7 CFR Part 1412.

Regional or Local Office:

See Regional Agency Offices. Consult the local phone directory for location of the nearest county FSA office. If no listing, contact the appropriate State FSA office listed in the Farm Service Agency section of Appendix IV of the Catalog or on the WEB at <http://www.fsa.usda.gov/eds/>.

Headquarters Office:

Daniel P. McGlynn 1400 Independence Ave, SW Stop 0517, Washington, District of Columbia 20250 Email: dan.mcglynn@wdc.usda.gov Phone: 202-720-7641 Fax: 202-690-2130

Website Address:

<http://www.fsa.usda.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.056 FARM STORAGE FACILITY LOANS

FEDERAL AGENCY:

Farm Service Agency, Department of Agriculture

AUTHORIZATION:

Food, Conservation, and Energy Act of 2008, Title 1, Part Subtitle 5, Section 1614.

OBJECTIVES:

The Farm Storage Facility Loan (FSFL) Program provides low-interest financing for producers to build or upgrade farm storage and handling facilities.

TYPES OF ASSISTANCE:

DIRECT LOANS

USES AND USE RESTRICTIONS:

Loans are used to finance the purchase and construction of new storage structures, handling equipment and drying equipment, and to finance the remodeling of existing storage structures. The loan amount is limited to \$500,000 per storage facility for each loan. The following are security requirements for farm storage facility loans: All loans must be secured by a promissory note and security agreement, as well as a UCC-1 describing the storage facility and accompanying equipment; and Severance agreements from all lien holders on the real estate where the facility will be located or from owners of real estate when the loan applicant is not the landowner, except when CCC holds the first lien on the real estate. Severance agreements will not be required if the borrower increases the down payment from 15 percent to 20 percent. For loans that exceed \$50,000 or the borrower's aggregate outstanding loan balance exceeds \$50,000, the borrower must be able to provide at least one of the following: A first lien on the real estate on which the facility is located; Real estate owned by the borrower other than where the facility is located, provided the real estate offered is sufficient to secure the loan; or An irrevocable letter of credit from a financial institution in an amount sufficient to protect CCC's interest for each year the loan has an outstanding balance. Facility Loan Terms. The following are the terms for farm storage facility loans: A 15 percent cash down payment is required; thus, CCC's loan is limited to 85 percent of the net cost of the eligible storage facility and permanent drying and handling equipment (subject to the applicant's storage needs test). The down payment cannot include any trade-in, discount, rebate, deferred payment, or post-dated check. Loan terms available are seven (7) years, ten (10) years or twelve (12) years depending on the amount of the loan. Interest rate is fixed for the loan term based on the rate in effect during the month the loan is initially approved. The interest rate is equivalent to the rate of interest charged on Treasury Securities of comparable term and maturity. Loans are to be repaid in equal amortized annual installments. Loan will not be disbursed until the facility has been erected and inspected with the exception of one (1) qualifying partial disbursement, once 50 percent of the facility has been completed at a maximum amount of 50 percent of the approved loan amount, not to exceed \$250,000. Cost of Obtaining a Loan. Each applicant will be charged a nonrefundable \$100 application fee. CCC will pay all collateral lien searches and recording fees for filing Form UCC-1 and credit reports. Applicants pay all other fees, such as severance agreements, attorney fees, real estate lien search fees, and instrument filing fees. For loans that require additional security and/or are greater than \$50,000, applicants will be required to pay the cost of obtaining a title search/opinion or title insurance. Persons Required to Sign the Note. The following persons are required to sign the loan agreement: For sole proprietorships and joint ventures, all individuals, including spouses, if applicable. For general partnerships, any member unless the Articles of Partnership are more restrictive. For corporations and limited partnerships, an individual with signature authority on file with FSA. Where to File the Application Loan applications should be filed in the administrative FSA Office that maintains the farm's records. More Information: For more information about FSA programs, contact your local FSA office or USDA Service Center, or visit the World Wide Web at www.fsa.usda.gov. The Department of Agriculture (USDA) prohibits discrimination in all its programs and activities on the basis

of race, color, national origin, age, disability, and where applicable, sex, marital status, familial status, parental status, religion, sexual orientation, genetic information, political beliefs, reprisal, or because all or part of an individual's income is derived from any public assistance program. (Not all prohibited bases apply to all programs.) Persons with disabilities who require alternative means for communication of program information (Braille, large print, audiotape, etc.) should contact USDA's TARGET Center at (202) 720-2600 (voice and TDD). To file a complaint of Discrimination, write to USDA, Director, Office of Civil Rights, 1400 Independence Avenue, SW., Washington, DC 20250-9410, or call (800) 795-3272 (voice) or (202) 720-6382 (TDD). USDA is an equal opportunity provider and employer. Fact Sheets, News Releases, Emergency Designation News Releases, Spotlights, Fence Post,

Media Relations Contacts, Public Service Announcements, Meetings & Events,

County Committee Elections, Speeches and Presentations, Media Gallery, Subscriptions, RSS Feeds, eFOIA, FSA Widgets, View FSA Biographies, Email Updates, RSS Feeds, FSA Widgets, and Ask FSA. To view PDF files you must have Adobe Acrobat Reader installed on your computer. To view Flash files you must have Macromedia Flash Player installed on your computer.

Applicant Eligibility:

An eligible borrower is any person who, as landowner, landlord, operator, producer, tenant, leaseholder, or sharecropper: (1) Has a satisfactory credit history and demonstrates an ability to repay the debt arising under this program using a financial statement acceptable to CCC prepared within 90 days of the date of application; (2) has no delinquent Federal debt defined by the Debt Collection Improvement Act of 1996 at the time of loan disbursement; (3) is a producer of a facility loan commodity as defined by CCC; (4) demonstrates a need for storage capacity as defined by CCC; (5) provides proof of crop insurance offered under the Federal Crop Insurance Program for crops of economic significance on all farms operated by the borrower in the county where the storage facility is located; (6) is in compliance with USDA provisions for highly erodible land and wetlands provisions according to 7 CFR Part 12; (7) demonstrates compliance with any applicable local zoning, land use, and building codes for the applicable farm storage facility structures; (8) provides proof of flood insurance if CCC determines such insurance is necessary to protect the interests of CCC, and proof of all peril structural insurance, to CCC annually; (9) demonstrates compliance with the National Environmental Policy Act regulations at 40 CFR, Parts 1500- 1508; and (10) has not been convicted under Federal or State law of a controlled substance violation under 7 CFR Part 718.

Beneficiary Eligibility:

Applicants/borrowers are the direct beneficiaries when they meet all eligibility criteria. Landowners, landlords, operators, producers, tenants, leaseholders, or sharecroppers are the beneficiaries.

Credentials/Documentation:

Applicants must establish that they have a need for the storage capacity. The applicant must establish that he has the ability to repay the loan. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Application Form CCC-185 provided by the Farm Service Agency must be presented, with supporting information, to the FSA county office serving the applicant's county. FSA personnel assist applicants in completing their application forms.

Award Procedure:

FSA State and County Committees are authorized to approve these loans after applicants are determined eligible.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application

deadlines.

Range of Approval/Disapproval Time:

The approval process may take from 2 to 6 weeks.

Appeals:

Applicants for loans may appeal adverse actions taken against them. The applicant is given an opportunity to appeal the decision to the National Appeals Division.

Renewals:

The loan term is 7, 10, or 12 years. The term of the loan may not be extended. The loan is repaid in equal annual installments of principal and interest amortized over the loan term.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The estimated amount of the loan is determined before construction takes place. A 15 percent down payment is required. The loan is disbursed by direct deposit or check, if a direct deposit waiver is filed, as soon as the cost is determined, all loan documents have been prepared and all security documents have been filed. Method of awarding/releasing assistance: lump sum.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

Borrowers are required to annually submit proof of crop insurance, flood insurance (if applicable), hazard insurance, and property taxes.

Account Identification:

12-4158-0-3-351; 12-3301-0-1-351.

Obligations:

(Direct Loans) FY 12 \$200,000,000; FY 13 est \$308,500,000; and FY 14 est \$308,500,000

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

(1) A fact sheet, press release, forms, and directives are available. Regulations at 7 CFR Part 1436 were published in the Federal Register under a final rule on August 18, 2009.

Regional or Local Office:

See Regional Agency Offices. Consult the appropriate FSA State office listed in Appendix IV of the Catalog.

Headquarters Office:

Toni D. Williams USDA-FSA-PSD, Stop 0512, 1400 Independence Ave., SW, Washington, District of Columbia 20250-0512 Email: toni.williams@wdc.usda.gov Phone: 202-720-2270 Fax: 202-690-3307

Website Address:

<http://www.fsa.usda.gov/FSA/webapp?area=home&subject=prsu&topic=flp>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.069 CONSERVATION RESERVE PROGRAM

(CRP)

FEDERAL AGENCY:

Farm Service Agency, Department of Agriculture

AUTHORIZATION:

Food Security Act of 1985, as amended, Section 1231, 16 U.S.C 3831-3835a.

OBJECTIVES:

To protect the Nation's long-term capability to produce food and fiber; to reduce soil erosion and sedimentation, improve water quality, and create or enhance habitat for wildlife.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

Eligible owners or operators may place highly erodible or other environmentally sensitive land into a 10-15 year contract. The participant, in return for annual payments, agrees to implement a conservation plan approved by the local conservation district for converting highly erodible cropland or other environmentally sensitive land to a long-term resource conserving cover i.e., eligible land must be planted with a vegetative cover, such as, perennial grasses, legumes, fobs, shrubs, or trees. Financial and technical assistance are available to participants to assist in the establishment of a long-term resource conserving cover.

Applicant Eligibility:

An individual, partnership, association, Indian Tribal ventures corporation, estate, trust, other business enterprises or other legal entities and, whenever applicable, a State, a political subdivision of a State, or any agency thereof may submit an offer to enroll acreage.

Beneficiary Eligibility:

If their offer is accepted for enrollment, an individual, partnership, association, Indian Tribal ventures, corporation, estate, trust, other business enterprises or other legal entities and, whenever applicable, a State, political subdivision of State, or any agency thereof may earn benefits.

Credentials/Documentation:

The land must be owned or operated for not less than 12 months prior to the close of the signup period, unless the land was acquired by will or succession or FSA determines that ownership was not acquired for the purpose of placing the land in the CRP. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact statement is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. FSA has three methods for enrolling acreage in the CRP. One method is a continuous signup process where acreage suitable for certain environmental priority practices, including but not limited to grass waterways, riparian buffers or filterstrips, and acreage within wellhead protection areas may be offered and accepted without going through a competitive offer process. The second method is similar to continuous signup and is available only in distinct geographic areas. These areas are targeted by FSA and State governments under partnership agreements. The third method is to offer acreage during a general signup period where eligible offers to enroll highly erodible and other environmentally sensitive acreage are submitted and ranked competitively based on an environmental benefits index. Regardless of the method of enrollment, the local FSA office that serves the area in which the farm or ranch is located will provide the maximum payment rate CCC will accept to enroll certain acreage in the program. Producers may submit a rental rate per acre offered that may be equal to or less than CCC's maximum payment rate.

Award Procedure:

FSA will notify persons whose offers are determined acceptable as soon as practicable after the close of the signup.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application

deadlines.

Range of Approval/Disapproval Time:

From 7 to 120 days.

Appeals:

Producers may appeal any determination to county FSA committee, State committee, or National Appeals Division. Matters that are generally applicable to all producers are not appealable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Annual rental payments will be made for 10-15 years. If cost-share assistance to establish the appropriate cover was requested, a payment will be made after the practice is successfully established according to applicable guidelines. FSA may provide certain incentives for restoring wetlands or other lands. See the following for information on how assistance is awarded/released: Annually and based on performance.

Reports:

Not Applicable.

Audits:

No audits are required for this program.

Records:

Maintained in county FSA office and Federal Record centers for a specified number of years.

Account Identification:

12-4336-0-1-302; 12-3319-0-1-302.

Obligations:

(Direct Payments with Unrestricted Use) FY 12 \$1,968,624,000; FY 13 est \$2,107,108,000; and FY 14 est \$2,159,555,000

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program is announced through news media and in letters to agricultural producers in the county. Regulations published in the Federal Register, 7 CFR Part 1410.

Regional or Local Office:

See Regional Agency Offices. Consult the local telephone directory for location of the county FSA office, under U.S. Government, Department of Agriculture. If no listing, contact the appropriate State FSA office listed in the FSA section of Appendix IV of the Catalog.

Headquarters Office:

Beverly Preston 1400 Independence Ave. SW, Washington, District of Columbia 20250 Email: Beverly.Preston@wdc.usda.gov Phone: 202-720-9563 Fax: 202-720-4619

Website Address:

<http://www.fsa.usda.gov>.

RELATED PROGRAMS:

10.054 Emergency Conservation Program; 10.072 Wetlands Reserve Program; 10.901 Resource Conservation and Development; 10.902 Soil and Water Conservation; 10.904 Watershed Protection and Flood Prevention

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.072 WETLANDS RESERVE PROGRAM

(WRP)

FEDERAL AGENCY:

Natural Resources Conservation Service, Department of Agriculture

AUTHORIZATION:

Food Security Act of 1985, Title XII, Part Subtitle D, Section 1237, Public Law 99-198, 99 Stat. 1504, 16 U.S.C 3831.

OBJECTIVES:

To assist landowners in restoring and protecting wetlands on eligible lands on which they agree to enter into a permanent or 30- yearlong-term easement (30-year contract for Indian tribes) , or a restoration cost-share agreement with the Secretary. The goal of WRP is to maximize wetland functions and values and wildlife benefits on every acre enrolled in the program. Total acreage enrollment limitation is 3,041,200,275,000 acres.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

Eligible landowners may offer farmed wetlands, prior converted wetlands, wetlands farmed under natural condition, former or degraded wetlands on lands that have been used or are currently being used for the production of food and fiber, including cropland, rangeland and forest production land, lands substantially altered by flooding, certain riparian areas, along with certain adjacent areas. The goal of the WRP is to achieve the greatest wetlands functions and values, along with optimum wildlife habitat on every acre enrolled in the program. At least 70 percent of the wetland and upland areas will be restored to the natural condition to the extent practicable; the remaining 30 percent of the project area may be restored to other than natural conditions. Enrollment options include permanent easements, 30-year easements, restoration cost-share agreements, and, for acreage owned by Indian Tribes, 30-year contracts. To be eligible for participation, land must be restorable and be suitable for wildlife benefits. Participating landowners must comply with the terms and conditions of their easement, agreement or contract for the duration of the document. For easement projects, landowners shall ensure the easement is superior to the rights of all others and shall agree to implement a wetland restoration plan designed to restore and maintain the easement area. Landowners must agree to a permanent retirement of crop acreage bases, allotments, and quotas to the extent that the sum of the crop acreage bases and allotments will not exceed the remaining cropland on the farm.

Participating landowners receive financial and technical assistance to install necessary restoration practices as follows.

Permanent easements: Easement duration is in perpetuity. Landowners receive an easement payment after the easement is filed. In addition NRCS shall share the cost of carrying out the establishment conservation measures and practices, and the protection of wetland functions and values including necessary maintenance activities to the extent that the Secretary determines that cost-sharing is appropriate and in the public interest.

30-year easements: Easement duration is 30 years. Landowners receive an easement payment after the easement is filed that is the equivalent of 75 percent of the value for a permanent easement and up to 75 percent of the eligible restoration costs.

Restoration cost-share agreements: Restoration cost-share agreements are made available to participating landowners as an alternative mechanism to restore wetlands, without requiring the participant to sell an easement. Agreements are generally for a 10-year period, although longer agreement periods may be required for unique projects that are funded at a higher level. There is no easement payment; however, NRCS pays up to 75 percent of the eligible restoration costs.

30-year contracts: Acreage owned by Indian Tribes can be enrolled through the use of a 30-year contract which shall be equivalent in value to a 30-year easement.

For both permanent and 30-year easements, WRP pays for all the overhead costs associated with recording the easement in the local land records office including recording fees, charges for title abstracts, surveys, appraisal fees,

records searches, and title insurance associated with acquiring an easement. These overhead costs are generally not paid to participants but are provided directly to the vendor performing the service. Therefore, payments appearing on USAspending.gov will be reflective of payments to participants and payments to vendors for services associated with restoration and management activities and administrative costs associated with recording an easement.

Under Credentials/Documentation

Eligible applicants must be in compliance with the highly erodible land and wetland conservation provisions in 7 CFR part 12 and the Adjusted Gross Income provisions in 7 CRP part 1400. They must be the landowner of the eligible land being offered for participation. For easement applications, the applicant must have owned the land for the 7-year period prior to the time the land is determined eligible for enrollment unless it is determined that the land was acquired by will or succession as a result of death of the previous owner; the ownership change occurred due to foreclosure and the owner of the land immediately before foreclosure exercises a right of redemption from the mortgage holder; or the land was acquired under circumstances that give adequate assurances, as determined by NRCS that such land was not acquired for the purposes of placing it in the program.

Applicant Eligibility:

An individual landowner, partnership, association, corporation, estate, trust, other business or other legal entities and, Indian tribe.

Beneficiary Eligibility:

An individual landowner, partnership, association, corporation, estate, trust, other business enterprises or other legal entities and, Indian tribe.

Credentials/Documentation:

The landowner must have owned the land offered for at least the preceding 12 months prior to the end of the period in which the intent to participate in an easement is declared unless the land was acquired by will or succession as a result of the death of the previous owner; or the Department determines that the new owner did not acquire such land for the purpose of placing it in the WRP. The 12-month requirement is not applicable to restoration agreement. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Submit an application to enroll to the local NRCS office that serves the area in which the farm or ranch is located during the designated sign-up period.

Award Procedure:

The States will provide a list of potential acceptable offers and request for allocation of funds. The Department will allocate funding in a manner designed to achieve cost effectiveness and maximum wetland restoration based wildlife benefits. The States will notify the landowners of the status of their application. This process will be completed as soon as practical after funding becomes available. For all tentatively accepted applications, a determination of easement compensation value will be made according to the current procedures as prescribed by the Secretary.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 60 to 180 days after the application is filed with the NRCS. Landowner application may remain on sign-up list for subsequent funding consideration.

Appeals:

Landowner may appeal certain determinations to the National Appeals Division.

Renewals:

The land offered may be re-offered in a future sign-up unless land or landowner is ineligible.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Lump sum payments or no less than 5 nor more than 30 annual payments of equal or unequal value are made for easements. Cost-share payments of 100 percent of the cost of implementing the Wetland Restoration Plan will be paid for a permanent easement with 75 percent of permanent easement amounts being paid for 30-year easements, 30-year contracts and restoration cost-share agreements. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Cash easement payments will be made in a lump sum amount, or in annual installments beginning at closing. Cost share payments for implementation of easement practices will be made when a specific practice has been implemented by either the landowner or contractor. See the following for information on how assistance is awarded/released: Cash easement payments will be made in a lump sum amount, or in annual installments beginning at closing. Cost share payments for implementation of easement practices will be made when a specific practice has been implemented by either the landowner or contractor.

Reports:

No reports are required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Recipients are subject to audit by the Office of Inspector General, USDA.

Records:

Records will be maintained in the county NRCS office, State NRCS office and Federal Record Centers for the length of the agreement. The easement (deed restriction) and applicable documents will be filed in the local land records office for the duration of the easement. Agreements are filed with the Agency.

Account Identification:

12-1004-0-1-302; 12-1080-0-1-302; 12-4336-0-1-302.

Obligations:

(Salaries) FY 12 \$72,051,468; FY 13 est \$71,970,000; and FY 14 est \$37,520,000. (Project Grants (Discretionary)) FY 12 \$515,881,259; FY 13 est \$439,931,300; and FY 14 est \$230,480,000

Range and Average of Financial Assistance:

Not Applicable.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

The program is announced through news media and in letters to agricultural landowners in the county. Regulations published in the Federal Register and 7 CFR XIV.

Regional or Local Office:

See Regional Agency Offices. Consult the local telephone directory for location of the NRCS office. If no listing, contact the appropriate State NRCS office listed in the NRCS Section of Appendix IV of the Catalog.

Headquarters Office:

Steve Parkin 14th and Independence Ave., SW.
Room 6817-S
, Washington, District of Columbia 20250 Email: Steve.Parkin@wdc.usda.gov
Phone: 202-720-1854

Website Address:

<http://www.nrcs.usda.gov>.

RELATED PROGRAMS:

10.069 Conservation Reserve Program; 10.904 Watershed Protection and Flood Prevention; 10.913 Farm and Ranch Lands Protection Program; 10.920 Grassland Reserve Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

All offers are screened at both the local and State level to determine the acceptability of the application to ensure that offers will not be accepted in excess of the value as determined by the method prescribed by the Secretary. Offers will be evaluated based on the environmental benefits and government expenditures on restoration and easement purchase and the requirement that wildlife benefits be maximized.

10.080 MILK INCOME LOSS CONTRACT PROGRAM

(MILC)

FEDERAL AGENCY:

Farm Service Agency, Department of Agriculture

AUTHORIZATION:

Food, Conservator and Energy Act of 2008, Title 1, Part E, Section 1506, Public Law 110-246, 7 U.S.C 7981-7982, as amended by American Taxpayer Relief Act of 2012, Public Law 112-240.

OBJECTIVES:

To maintain and expand existing markets for dairy which are vital to the welfare of milk producers in the United States. Payments under this program will be limited to dairy operations that produced milk in the United States and commercially marketed milk during the period of October 1, 2007 through September 30, 2013.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS WITH UNRESTRICTED USE

USES AND USE RESTRICTIONS:

Each fiscal year, eligible dairy operations can receive a monthly payment based on monthly milk marketing, up to a maximum of 2.4 million pounds per dairy operation, for fiscal year October 1, 2007 through September 30, 2008. Maximum eligible pounds increase to 2.985 million pounds from October 1, 2008 through August 31, 2013, except that the cap reduces back to 2.4 million pounds during the month of September, 2013. Dairy operations who make changes to their producer status or who reconstitute their farm operations on or after October 1, 2007 for the sole purpose of receiving additional payments will not be eligible for the benefits under the program implemented by this rule.

Applicant Eligibility:

To be eligible, dairy producers must: (1) have produced milk in the United States and commercially marketed the milk produced anytime during the period of October 1, 2007 through September 30, 2013; (2) enter into a MILC contract during the contract application period; (3) agree to all terms and conditions in the MILC contract and comply with instructions issued by the Commodity Credit Corporation; (4) provide proof of monthly milk production commercially marketed by all persons in the dairy operation during the contract period, to determine the total pounds of milk that will be converted to hundredweight (cwt.) used for payment; (5) submit timely production evidence according to Sec. 1430.209; (6) be actively engaged in the business of producing and marketing agricultural products at the time of signing the MIL contract; (7) certify compliance with highly erodible land and Wetland provisions; (8) be in compliance with average adjusted gross income limitations; and (9) comply with start month selection provisions.

Beneficiary Eligibility:

Eligible dairy producers are those who, beginning October 1, 2007 through September 30, 2013: (1) commercially produce and market cow milk in the United States, or (2) produce milk in the United States and commercially market the milk outside the United States. In addition, dairy producers from a foreign country who are admitted to the United States and have a valid taxpayer identification number are eligible for MILC contract benefits.

Credentials/Documentation:

Before MILC contract payments are issued, all persons involved in a single dairy operation must provide evidence of eligible marketing. Verifiable production evidence can include: (1) milk marketing payment stubs, (2) tank records, (3) milk handler records, (4) daily milk marketing, and (5) copies of any payments received as compensation from other sources. OMB Circular No.

A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. To apply for the MILC program, dairy operation producers must submit form CCC-580, "Milk Income Loss Contract," to Farm Service Agency (FSA) county office where the dairy operation is located. The CCC-580 must show total pounds of all milk produced and marketed during each month for all persons receiving a share of the marketed milk. Monthly milk production may not be apportioned to circumvent the maximum payment quantity. All persons who share in the risk of a dairy operation's total production must certify information on the CCC-580. FSA will accept only one CCC-580 per operation. When applying for MILC, operators must also have on file: (1) form AD-1026, "Highly Erodible Land Conservation and Wetland Conservation Certification," used to certify understanding of the conservation compliance requirements under USDA programs; and (2) form SF-3881, "Direct Deposit Sign Up Form," used to sign up for the direct deposit of payments into the payee's account.

Award Procedure:

The Price Support Division (PSD) in Washington DC is responsible for the implementation of the MILC program in county offices. They will manage the contracts and determine the eligibility of monthly payments for each contract.

Deadlines:

Oct 01, 2007 to Sep 30, 2013 Please contact the program contact listed in the Information Contacts section below.

Range of Approval/Disapproval Time:

From 1 to 60 days.

Appeals:

Any producer who is dissatisfied with a determination may request reconsideration or appeal of such determination under Part 11 or 780 of 7 CFR Part 1430.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title 7, Chapter CFR, Part 1430, Subpart B, Public Law 110-246. Payments under this program may be made to dairy operations when the Boston Class 1 milk price is below \$16.94 per cwt., after adjustment for the cost of dairy feed rations. No payments will be made to dairy operations for marketing during the months that the Boston Class 1 milk price exceeds \$16.94. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Except as provided in Sections 1430.205 and 1430.206, contracts entered into by producers in a dairy operation shall cover eligible production marketed by producers during the period beginning with the first day of the month producers enter into contract and ending on September 30, 2013. If a dairy goes out of business during the contracted period, the MILC program will be terminated immediately, except as applicable to earned payments. Method of awarding/releasing assistance: lump sum.

Reports:

Not Applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Recipients under this program are subject to audit by the Office of the Regional Inspector General, USDA.

Records:

Producers approved for benefits under this program must maintain accurate records and accounts in order to document that they meet all eligibility requirements specified herein, as may be requested by the Commodity Credit Corporation or the Farm Service Agency. Such records and accounts must be retained for 3 years after the date of payment to the dairy operation under this program. Destruction of the records 3 years after the date of payment shall be the risk of the party undertaking the destruction.

Account Identification:

12-4336-0-1-351.

Obligations:

(Direct Payments with Unrestricted Use) FY 12 \$403,204,000; FY 13 est \$370,000,000; and FY 14 est \$0

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program is announced through news media and in letters to agricultural producers in the county. Regulations published in the Federal Register, 7 CFR part 1430. MILC Fact Sheet may be located online at <http://www.fsa.usda.gov/pas/publications/facts/html/milc02.htm>.

Regional or Local Office:

None. Consult the local phone directory for location of the nearest county FSA office. If no listing, contact the appropriate State FSA office listed in the FSA section of Appendix IV of the Catalog, or on the Internet at <http://www.fsa.usda.gov/edso/>.

Headquarters Office:

Danielle Cooke Stop 0512, 1400 Independence Ave SW, Washington, District of Columbia 20250-0512 Email: danielle.cooke@wdc.usda.gov Phone: 202-720-1919 Fax: 202-690-3307

Website Address:

<http://www.fsa.usda.gov/dafp/psd/MILC.htm> .

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.085 TOBACCO TRANSITION PAYMENT PROGRAM

(TTPP)

FEDERAL AGENCY:

Farm Service Agency, Department of Agriculture

AUTHORIZATION:

Executive Order Fair and Equitable Tobacco Reform Act of 2004, Title VI, Section 601--643, Public Law 108-357, 118 Stat. 1521-1536, 7 U.S.C 518.

OBJECTIVES:

The Fair and Equitable Tobacco Reform Act of 2004 repeals the federal tobacco price support/production control program, provides compensation payments to tobacco quota owners for the elimination of their government-created asset (quota), and provides transition payments to active tobacco producers.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS WITH UNRESTRICTED USE

USES AND USE RESTRICTIONS:

None.

Applicant Eligibility:

Eligible quota holder means only a person who, as of October 22, 2004, has either a fee simple interest or life estate interest in the farm for which FSA

established a farm basic marketing quota for the 2004 marketing year. The wetlands and highly erodible land provisions of part 12 of Title 7, the controlled substance provisions of part 718 of Title 7, and the payment limitation provisions of part 1400 of Title 7 shall not be applicable to payments made under 7 CFR Part 1463 to an eligible quota holder. Eligible tobacco producer means an owner, operator, landlord, tenant, or sharecropper who shared in the risk of producing tobacco on a farm where tobacco was produced, or considered planted, pursuant to a tobacco poundage quota or acreage allotment assigned to the farm for the 2002, 2003, or 2004 marketing years. The wetlands and highly erodible land provisions of part 12 of Title 7 and the controlled substance provisions of part 718 of Title 7 shall be applicable to payments made under 7 CFR Part 1463 to an eligible tobacco producer. However, the payment limitation provisions of part 1400 of Title 7 shall not be applicable to payments made under this part to an eligible tobacco producer.

Beneficiary Eligibility:

Tobacco quota holders, tobacco producers, and eligible assignees.

Credentials/Documentation:

Eligible quota holder means only a person who, as of October 22, 2004, has either a fee simple interest or life estate interest in the farm for which FSA established a farm basic marketing quota for the 2004 marketing year. To be eligible for TTPP, quota holders are the owners of a farm with an established 2004 basic marketing quota on their farm as of October 22, 2004, the date the President signed the bill that ends the quota system. Eligible tobacco producer means an owner, operator, landlord, tenant, or sharecropper who shared in the risk of producing tobacco on a farm where tobacco was produced, or considered planted, pursuant to a tobacco poundage quota or acreage allotment assigned to the farm for the 2002, 2003, or 2004 marketing years. For purposes of determining if an eligible tobacco producer has shared in the risk of producing a crop in the 2002, 2003, or 2004 crop years, CCC will consider evidence presented by a producer that includes, but is not limited to: written leases; contracts for the purchase of tobacco; crop insurance documents; or receipts for the purchase of items used in the production of tobacco. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Applicants contact their local county Farm Service Agency Office or U.S. Department of Agriculture Service Center for sign-up information.

Award Procedure:

CCC will make a payment to each eligible producer in an amount equal to 10 percent of the total amount due under a contract entered into except that in the case an application was filed after June 17, 2005, the applicant will receive only the TTPP payments that have not been made as of the date the contract is approved.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

1 to 60 days.

Appeals:

A person may obtain reconsideration and review of any adverse determinations in accordance with the appeal regulations found at parts 11 and 780 of Title 7.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The Tobacco Transition Payment Program (TTPP) provides payments to tobacco quota holders and tobacco producers beginning in 2005 and ending in 2014. Method of awarding/releasing assistance: lump sum.

Reports:

No reports are required.

Audits:

No audits are required for this program.

Records:

Tobacco quota holders or any other individual or entity receiving payment for TTPP shall maintain and retain financial books and records which will permit verification of all transactions for at least 3 years, following the end of the calendar year in which payment was received.

Account Identification:

12-4336-0-3-351.

Obligations:

(Direct Payments with Unrestricted Use) FY 12 \$953,420,000; FY 13 est \$960,000,000; and FY 14 est \$960,000,000

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program is announced through news media and in letters to agricultural producers in the county. Regulations published in 7 CFR Part 1463, 2005, 2014 Tobacco Transition Program.

Regional or Local Office:

See Regional Agency Offices. Farm Service Agency state offices can inform applicants of county office locations where applicants may sign up.

Headquarters Office:

Kelly Hereth 1400 Independence Avenue, SW, Stop 0512, Washington, District of Columbia 20250-0512 Email: kelly.hereth@wdc.usda.gov Phone: 2027200448 Fax: 2026903307

Website Address:

www.fsa.usda.gov/tobacco and
http://www.fsa.usda.gov/pas/publications/facts/html/tpp05.htm .

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.087 BIOMASS CROP ASSISTANCE PROGRAM

(BCAP)

FEDERAL AGENCY:

Farm Service Agency, Department of Agriculture

AUTHORIZATION:

The Food, Conservation, and Energy Act of 2008, Title IX, Section 9001, Public Law 110-246; Supplemental Appropriations Act, 2010, Title 1, Part Chapter 1, Section 101, Public Law 111-212; The Farm Security and Rural Investment Act of 2002, Title IX, 7 U.S.C 8101.

OBJECTIVES:

The purpose of BCAP is to encourage the production of biofuels. BCAP accomplishes this by providing funding for agricultural and forest land owners and operators to receive matching payments for certain eligible material sold to qualified biomass conversion facilities for conversion to heat, power, bio based products, or advanced biofuels. It also provides funding for producers of eligible crops of renewable biomass within specified project areas to receive establishment payments and annual payments.

TYPES OF ASSISTANCE:

Direct Payments with Unrestricted Use

USES AND USE RESTRICTIONS:

BCAP is intended to encourage the production of biofuels by assisting agricultural and forest land owners and operators with the establishment and production of eligible crops in selected project areas for conversion to bioenergy, and with the collection, harvest, storage, and transportation of eligible material for use in a biomass conversion facilities.

Applicant Eligibility:

To be eligible to enter into a BCAP contract for the purposes of receiving an annual payment or establishment payments, a person or legal entity must be an owner, operator, or tenant of eligible land within a project area. Eligible land must be agricultural land or nonindustrial private forest land. Eligible agricultural land includes cropland; grassland; pastureland, rangeland, hayland, and other land on which food fiber, or other agricultural products are produced or capable of being legally produced for which a valid conservation plan exists and is implemented. Ineligible land is as follows: (1) Federal lands; (2) State-owned, municipal, or other local government-owned lands; (3) Native sod; and (4) Land that is already enrolled in CCCs CRP, Wetlands Reserve Program, or Grassland Reserve Program. Eligible crops for annual and establishment payments are renewable plant materials such as feed grains, other agricultural commodities, or other plants and trees, and algae; waste materials including vegetative waste, materials, such as woods wastes and wood residues, animal waste and byproducts, such as fats, oils, greases, and manure, food waste, and yard waste. Ineligible crops are any crop eligible to receive payments under Title I of the Food, Conservation, and Energy Act of 2008 (See 8-LP, paragraph 126 and 7-CN for Title I commodities); and any plant that is invasive or noxious or has the potential to become invasive or noxious. Eligible materials for matching payments include various types of renewable biomass collected or harvested directly from the land in accordance with an approved conservation plan, forest stewardship plan, or an equivalent plan before transport and delivery to the biomass conversion facility. Materials will only be eligible if USDA determines that there is no higher value use for that material within a reasonable distance of the biomass conversion facility.

Matching payments are not available for the following products:

(1) Material that is whole grain from any crop that is eligible to receive; payments under Title I of the Food, Conservation, and Energy Act of 2008 or an amendment made by that title, including, but not limited to, barley, corn, grain sorghum, oats, rice, or wheat; honey; or material that is mohair; certain oilseeds such as canola, crambe, flaxseed, mustard seed, rapeseed, safflower seed, soybeans, sesame seed, and sunflower seeds; peanuts; pulse crops such as small chickpeas, lentils, and dry peas; dairy products; sugar; wool; and cotton boll fiber; (2) Animal waste and by-products of animal waste including fats, oils, greases, and manure; (3) Food waste and yard waste; and (4) Algae.

All eligible material must be harvested or collected directly from the land by the eligible material owner according to a conservation, forest stewardship, or equivalent plan and be separated from the higher value product before the point of delivery. Woody biomass harvested or collected outside of project area contracts is limited to eligible material resulting from preventative treatments to address fire fuel load reduction, insect or disease outbreaks, or restore ecosystem health. Woody biomass harvested or collected outside of project area contracts is limited to eligible materials that do not have an existing market for non-biomass use and cannot be co-mingled with higher product value materials. Eligible material owner, for purposes of the matching payment, means a person or entity having the right to collect or harvest eligible material, who has the risk of loss in the material that is delivered to an eligible facility and who has directly or by agent delivered or intends to deliver the eligible material to a qualified biomass conversion facility, including: (1) For eligible material harvested or collected from private lands, including cropland, the owner of the land, the operator or producer conducting farming operations on the land, or any other person designated by the owner of the land; and (2) For eligible material harvested or collected from public lands, a person having the right to harvest or collect eligible material pursuant to a contract or permit with the US Forest Service or other appropriate Federal agency, such as a timber sale contract, stewardship contract or agreement, service contract or permit, or related applicable Federal land permit or contract, and who has submitted a copy of the permit or contract authorizing such collection to CCC. Eligible

facilities are biomass conversion facilities that convert or propose to convert renewable biomass into heat, power, bio based products, or advanced biofuels and have been approved for the BCAP program by the CCC.

Beneficiary Eligibility:

Owners and operators of agricultural and non-industrial private forest land will receive the ultimate benefits because it will provide financial assistance to establish, produce, and deliver biomass feedstocks. Eligible material owners who are the person or entity having the right to collect or harvest eligible material, who has the risk of loss in the material that is delivered to an eligible facility and who has directly or by agent delivered or intends to deliver the eligible material to a qualified biomass conversion facility.

Credentials/Documentation:

In order to enroll land in BCAP, the participant must enter into a contract with the Commodity Credit Corporation (CCC). Acceptance or rejection will be at the sole discretion of CCC, and offers may be rejected for any reason as determined appropriate to accomplish the purposes of BCAP. Contracts will be for a term of up to five years for annual and non-woody perennial crops and 15 years for woody perennial crops; or time period may vary due to type of crop, agronomic conditions, and other factors. Eligible material owner who enter into a contract with CCC may receive matching payments up to a period of two-years following the first payment for the delivery of eligible material to a qualified biomass conversion facility. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

FSA prepared a Final Programmatic Environmental Impact Statement (PEIS) for BCAP and NOFA and was published in the Federal Register on June 25, 2010 (75 FR 36386). On September 15, 2011, FSA published the BCAP interim rule (76 FR 56949). The BCAP interim rule provided FSA with the authority to prioritize establishment and annual payments (BCAP project areas) and if sufficient funding remains, to consider applications for matching payments. The interim rule also enables prioritization among project area proposals if eligible requests exceed available funding. Future funding for BCAP could make such prioritizing unnecessary. An environmental impact statement is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. In order for a delivery of eligible materials to a biomass conversion facility to qualify for a BCAP payment, the receiving biomass conversion facility must be qualified for BCAP. To become qualified, the biomass conversion facility must enter into an agreement with CCC, through the FSA State office in the State where the facility is physically located. For any facility, whether or not yet in operation, the entity requesting that a facility become qualified must provide proof of all applicable Federal, State, local, and Tribal permits and licenses required for operation or proof of application completions or letters of renewal submissions from the applicable governmental entity. Applicable permits and licenses may include, but are not limited to, business licenses, air quality permits, water discharge permits, storm water permits, or Bureau of Alcohol, Tobacco, Firearms and Explosives registrations. Each biomass conversion facility must enter into a separate agreement with CCC regardless of whether a single owner has multiple facilities. CCC will issue a unique facility identification number to each qualifying biomass conversion facility. Eligible material owners must apply at an FSA county office and receive approval for that application before delivering the eligible material to the qualified biomass conversion facility. Applications must include the following based on information obtained from contracts, agreements, or binding letters of intent: (1) An estimate of the total dry tons of eligible material expected to be sold to the qualified biomass conversion facility; (2) The type(s) of eligible material that is expected to be sold; (3) The name of the qualified biomass conversion facility that will purchase the eligible material; (4) The expected, fair market, per dry ton payment rate the owner plans to receive for the delivery of the eligible material; (5) The date or dates the eligible material is expected to be delivered to the qualified biomass conversion facility; (6) A new or amended conservation plan, forest stewardship plan, or equivalent plan. Eligible material owners who deliver eligible material to more than one qualified biomass conversion facility must submit separate applications for each facility to which

eligible material will be delivered. In order to enroll land for Establishment and Annual payments, the participant must enter into a contract with CCC. Only the owners, operators, or tenants of eligible land within a project area that will actually perform the work specified in the contract are eligible to apply for the program. The contract is comprised of: (1) The terms and conditions for participation in BCAP; (2) The conservation plan, forest stewardship plan, or equivalent plan; and (3) Any other materials or agreements determined necessary by CCC.

Award Procedure:

Contract approval for matching payments is subject to availability of funds and to eligible material owners completion of required conservation plan, forest stewardship plan or equivalent plan; presentation of proof of material ownership; and compliance with all eligible material provisions. Eligible material owners accepted into the program will receive their matching payments after they provide CCC documentation of deliveries to qualified biomass conversion facilities. Establishment payments will be made based on a determination by CCC that an eligible practice or an identifiable portion exists. Eligible practices are practices specified in the conservation plan, forest stewardship plan, or equivalent plan that meet all standards need to cost-effectively establish annual crops, non-wood perennial crops, and wood perennial crops. Payments will not be more than 75 percent of the cost for establishing a perennial crop for seed and stock costs; planting costs, cost of site preparation for non-industrial forest land; could include but not limited to site preparation for non-tree planting and supplemental or temporary irrigation. Annual payments will be calculated on a per acre basis using market-based rental rates. Rental payment rates will be based on average soil rental rate for cropland and all other agricultural land; marginal pastureland; and for forest land. The first years' payments will be made as an advance in an amount equal to 50 percent with 30 days of contract approval, with the remaining 50 percent being paid within 30 days of the first-year contract anniversary date. Annual payments for subsequent years will be made within 30 days of the contract anniversary beginning with the second-year contract anniversary. If BCAP participants collect both annual and matching payments for their crops, they are subject to a reduction in their annual payment. The size of the reduction depends on the end use of the biomass being delivered to a biomass conversion facility. The annual payment will be reduced by: 1 percent if the eligible crop is delivered to a biomass conversion facility for conversion to cellulosic biofuels as defined by 40 CFR 80.1401; by 10 percent if the eligible crop is delivered to a biomass conversion facility for conversion to advanced biofuels; and by 25 percent if the eligible crop is delivered to a biomass conversion facility for conversion to heat, power, or biobased products.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Determinations may be appealed in accordance with the administrative appeal regulations at parts 11 and 780 of 7 CFR. Determinations by the Natural Resources Conservation Service may be appealed in accordance with procedures established under part 614 of 7 CFR.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title 7, Part 1450.

Matching Requirements: Matching payments may be available for the delivery of eligible material to qualified biomass conversion facilities by eligible material owners. Qualified biomass conversion facilities produce heat, power, biobased products, or advanced bio fuels from biomass feed stocks. Matching payments will be made at a rate of \$1 for each \$1 per dry ton paid by qualified biomass conversion facilities up to \$45 per dry ton. Payments are paid within 30 days after the request for payment by the eligible material owner is submitted at the FSA county office, including submission of sales invoice(s) issued by the qualified biomass conversion family. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

There are no restrictions place on the time permitted to spend the money awarded. Method of awarding/releasing assistance: lump sum.

Reports:

No program reports are required. No cash reports are required. No progress reports are required. Biomass Conversion Facilities agree to develop and maintain a BCAP Purchase List of all renewable biomass purchases and include purchases from both eligible material owner and sellers not participating in BCAP. No performance monitoring is required.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. BCAP is a program operated by the Commodity Credit Corporation (CCC), and therefore is included in the CCC annual audit. All CCC audit procedures apply to BCAP.

Records:

Producers enrolling in all types of BCAP contracts may be reviewed or audited by FSA as appropriate. Recipients shall retain records which include spreadsheet, books, papers, records, contracts, scale tickets, settlement sheets, invoices, written price quotations, or other documents related to BCAP. Records shall be maintained and retained for no less than three years from the date of payment for cultivation or renewable biomass purchases.

Account Identification:

12-4336-0-1-351.

Obligations:

(Direct Payments for Specified Use) FY 12 \$17,000,000; FY 13 est \$0; and FY 14 est \$0 - This program is only authorized though Fiscal Year 2012.

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 1450

Regional or Local Office:

None. Persons with disabilities who require alternative means for communication (Braille, large print, audiotape, etc.) should contact the: USDA Target Center 202-720-2600.

Headquarters Office:

Kelly Novak USDA, FSA, Conservation & Environmental Programs (CEPD) 14th & Independence Ave SW , Room 4975, Washington, District of Columbia 20250 Email: kelly.novak@wdc.usda.gov Phone: 202-720-4053.

Website Address:

<http://www.fsa.usda.gov/bcap>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.088 LIVESTOCK INDEMNITY PROGRAM

(LIP)

FEDERAL AGENCY:

Farm Service Agency, Department of Agriculture

AUTHORIZATION:

The Food, Conservation, and Energy Act of 2008; Public Law 110-246; American Taxpayer Relief Act of 2012 (ATRA), Public Law 110-246.

OBJECTIVES:

The Livestock Indemnity Program (LIP) compensates livestock producers for livestock death losses in excess of normal mortality that died as a direct result

or an eligible adverse weather event that occurred on or after January 1, 2008, and before October 1, 2011. The livestock must have died no later than 60 calendar days from the ending date of the applicable adverse weather event, but before November 30, 2011. No State, county or other trigger will be used to define an eligible LIP area. Sign up will begin when an eligible disaster is apparent.

The American Taxpayer Relief Act of 2012 (ATRA) extended LIP to cover livestock losses in excess of normal mortality that occur through September 30, 2013, subject to appropriations.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

The assistance will be used to provide relief to eligible producers due to adverse weather, that occurred on or after January 1, 2008, and before October 1, 2011 as determined by the Secretary of Agriculture (henceforth the Secretary), during the applicable calendar year for livestock that died no later than 60 calendar days from the ending date of the applicable adverse weather event, but before November 30, 2011. Livestock death losses directly resulting from adverse weather events that occur after September 30, 2011, will only be eligible for assistance under ATRA if funds are appropriated. An eligible producer on a farm means an individual or entity that, as determined by the Secretary, assumes the production and market risks associated with the agricultural production of crops or livestock. An individual or entity is a citizen of the United States (U.S.); a resident alien; a partnership of citizens of the U.S.; or a corporation, limited liability corporation, or other farm organizational structure organized under State law. Eligible livestock is an adult/non-adult beef or dairy cattle, adult/non-adult buffalo/beefalo, equine maintained for commercial use as part of the farming operation, elk, reindeer, deer, sheep, alpacas, emus, swine, goats, llamas, and poultry. Eligible adverse weather events are wildfire (must be related to an adverse weather event), blizzard, tornado, lightning, earthquakes, flooding, extreme cold or extreme heat. If an eligible livestock dies due to a disease, it must be related to or exacerbated by an eligible adverse weather event to be an eligible cause of livestock deaths under LIP. Drought is not an eligible adverse weather event except when associated with anthrax, a condition that occurs because of drought and results in the death of eligible livestock.

Applicant Eligibility:

An eligible producer may use assistance for livestock death losses in excess of the normal mortality due to adverse weather.

Beneficiary Eligibility:

Livestock owner for benefits with respect to the death of an animal, the applicant must have had legal ownership of the eligible livestock on day the livestock died and under conditions in which no contract grower could have been eligible for benefits with respect to the animal. Contract grower for benefits with respect to the death of an animal, the animal must be poultry or swine and the contract grower must have had a written agreement with the owner of eligible livestock setting the specific terms, conditions, and obligations of the parties involved regarding the production of livestock; control of the eligible livestock on the day the livestock died; and a risk of loss in the animal.

Credentials/Documentation:

Verifiable proof of death of livestock must be provided and may include but is not limited to, any or a combination of the following: rendering truck receipts or certificates; national guard receipts of carcass removal; veterinary records; tax assessor records; private insurance documents. Documentation must provide sufficient data that identifies the kind/type/weight range of livestock and the number of livestock. Reliable proof of death records with verifiable beginning and ending inventories may be provided when verifiable proof of death records are not available. A third party certification on FSA form FSA-926 may be accepted only when participant certifies in writing that no other form of proof of death is available and includes the number and physical location of livestock in inventory at time death occurs. Documentation must also be provided to support the number of livestock in inventory at the time the death occurred. The third party providing the certification must be a reliable source in a position to have knowledge of the dead livestock and shall not be

affiliated with the operation such as a hired hand or a family member, etc. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. A notice of loss must be filed within the earlier of 30 days of when the loss is apparent or 30 calendar days after the end of the calendar year in which the loss of livestock occurred.

Award Procedure:

Indemnity payments to an eligible producer on a farm shall be made at a rate of 75 percent of the market value of the applicable livestock on the day before the date of death of the livestock, as determined by the Secretary for each specific livestock category; individual producers' eligible losses; and calculations will be performed separately by eligible livestock (by type, kind, and weight range) and producer type.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

There are no restrictions place on the time permitted to spend the money awarded. Method of awarding/releasing assistance: lump sum.

Reports:

No reports are required.

Audits:

No audits are required for this program.

Records:

No Data Available.

Account Identification:

12-5531-0-2-351.

Obligations:

(Direct Payments for Specified Use) FY 12 \$25,891,166; FY 13 est \$0; and FY 14 est \$0 - Supplemental Agricultural Disaster Assistance is only effective for losses incurred as the result of a disaster, adverse weather, or other environmental conditions that occurred on or before September 30, 2011. Losses that occur due to a adverse weather event after September 30, 2011, will only be compensated under ATRA if funds are appropriated.

Range and Average of Financial Assistance:

Not available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Scotty M. Abbott FSA DAFP PECD, 1400 Independence Ave SW, Room 4758-S, Washington, District of Columbia 20250 Email: Scotty.Abbott@wdc.usda.gov Phone: (202) 720-7997 Fax: (202) 690-2130

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.089 LIVESTOCK FORAGE DISASTER PROGRAM

(LFP)

FEDERAL AGENCY:

Farm Service Agency, Department of Agriculture

AUTHORIZATION:

The Food Conservation and Energy Act of 2008; American Taxpayer Relief Act of 2012 (ATRA), Public Law 110-246.

OBJECTIVES:

The objective of LFP is to provide financial assistance from the Agricultural Disaster Relief Trust Fund (Trust Fund). The Secretary of Agriculture (henceforth the Secretary) has the authority to use sums from the Trust Fund to provide compensation to livestock producers who suffered grazing losses due to drought or fire. For drought, the losses must have occurred because of a qualifying drought during the normal grazing period for the county on land that is native or improved pastureland with permanent vegetative cover or is planted to a crop planted specifically for grazing covered livestock. For fire, LFP provides payments to eligible livestock producers that have suffered grazing losses on range land managed by a Federal agency if the eligible livestock producer is prohibited by the Federal agency from grazing the normal permitted livestock on the managed range land because of a qualifying fire. Eligible grazing losses must have occurred on or after January 1, 2008, and before October 1, 2011. The eligible grazing losses must occur within the same calendar year for which benefits are being requested.

ATRA provides extending benefits to livestock producers for grazing losses due to drought and wildfires on Federal lands through September 30, 2013, subject to appropriations.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

The assistance can be used to provide financial assistance for grazing losses due to drought equal to one, two, or three times the monthly payment rate to eligible livestock producers if the eligible livestock producer owns or leases a grazing land or pastureland that is physically located in a county that is rated by the U.S. drought Monitor as D2 Drought (severe drought) for at least eight consecutive weeks, D3 (extreme drought) at any time or for four weeks (not necessarily four consecutive weeks), or a D4 (exceptional drought at anytime) during the normal grazing period for the specific type of grazing land or pastureland for the county.

ATRA provides extending benefits to livestock producers for grazing losses due to drought and wildfires on Federal lands through September 30, 2013, subject to appropriations. Eligible livestock producers are eligible to receive assistance if grazing losses due to a fire on Federally managed land if the grazing loss is on range-land managed by a Federal agency such as the Forest Service, Bureau of Land Management, Bureau of Indian Affairs or Tribal government and the eligible producer is prohibited by the Federal agency from grazing the normal permitted livestock and/or normal permitted days. Livestock producers are eligible to receive assistance if grazing losses due to drought or fire occur on or after January 1, 2008 and before October 1, 2011.

Applicant Eligibility:

An eligible applicant or livestock producer may use assistance for grazing or fire losses for any specific purpose. Eligible covered livestock includes cattle

(including dairy cattle); buffalo/beefalo/ alpacas, deer, elk, emu, equine, goats, llamas, poultry; reindeer, sheep; swine; and other livestock as determined by the Secretary.

Beneficiary Eligibility:

Beneficiary eligibility is extended to an eligible livestock producer who is an owner, cash share lessee, a contract grower of covered livestock that provides the pasture land or grazing land (including cash-leased pasture land or grazing land for the livestock) that is physically located in a county affected by drought.

The term "eligible livestock producer" does not include an owner, cash or share lessee, or contract grower of livestock that rents or leases pasture land or grazing land owned by another person on a rate-of-gain basis. The eligible producer on a farm must have during the 60 calendar days before the beginning date of a qualifying drought or fire, owned, cashed or share leased, or been a contract grower of eligible covered livestock. An eligible livestock producer must also be an individual or entity that is a citizen of the United States (U.S.); a resident alien; a partnership of citizens of the U.S.; or a corporation, limited liability corporation, or other farm organizational structure organized under State law. An eligible livestock producer shall only be eligible for assistance if the livestock producer meets the risk management purchase requirement by obtaining a policy or plan of insurance or filed the required paperwork and paid the administrative fee by the applicable State application closing deadline date for the Noninsured Crop Disaster Assistance Program (NAP) for the grazing land crop that incurred the loss for which assistance is being requested. For 2008 only, a waiver was authorized to allow producers to pay a buy-in fee or receive a waiver by September 16, 2008, for grazing land for which the producer did not obtain a policy or plan of insurance for 2008. The risk management purchase requirement will be waived for producers who are considered to be a socially disadvantaged farmer or rancher, limited resource farmer or rancher, or beginning farmer or rancher.

Credentials/Documentation:

A livestock producer must certify that they have a grazing loss due to drought or fire. There is no minimum loss percentage required by the producer. A livestock producer must provide an FSA-925 (LFP application for payment) in their administrative FSA county office along with required supporting documents.

Supporting Documentation includes: CCC-502 Farm Operating Plan for Payment Eligibility Review, applicable for 2008 calendar year. CCC-901 Members Information 2009 and Subsequent Years, applicable for 2009 and subsequent years. AD-1026 - Highly Erodible Land Conservation and Wetland Conservation Certification, applicable for 2008, 2009, 2010, and 2011. CCC-526 Payment Eligibility Average Adjusted Gross Income Certification for 2008. CCC-926 Average Adjusted Gross Income Statement for 2009 and Subsequent Years. Copy of contract grower contract. Report of acreage for the grazing land is owned or leased. Evidence that grazing land is owned or leased. Evidence of meeting risk management purchase requirement or an approved waiver. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

Payment Eligibility for Losses Due To Drought. An eligible livestock producer will be eligible to receive payments for grazing losses for qualifying drought equal to one, two, or three times the monthly payment rate. Total LFP payments to an eligible producer in a calendar year for grazing losses due to a qualifying drought will not exceed three monthly payments for the same livestock. To be eligible for a one month payment, the eligible livestock producer must own or lease grazing land or pastureland that is physically located in a county that is rated by the U.S. Drought Monitor as having at least a D2 severe drought (intensity) in any area of the county for at least 8 consecutive weeks during the normal grazing period for the specific type of grazing land or pastureland in the county. To be eligible for a two month

payment, the eligible livestock producer must own or lease grazing land or pastureland that is physically located in a county that is rated by the U.S. Drought Monitor as having at least a D3 extreme drought (intensity) in any area of the county at any time during the normal grazing period for the specific type of grazing land or pastureland for the county. To be eligible for a three month payment, the eligible livestock producer must own or lease grazing land or pastureland that is physically located in a county that is rated by the U.S. Drought Monitor as having at least a D3 extreme drought (intensity) in any area of the county for at least four weeks during the normal grazing period for the specific type of grazing land or pastureland for the county, or is rated as having a D4 exceptional drought (intensity) in any area of the county at any time during the normal grazing period for the specific type of grazing land or pastureland for the county. The monthly payment rate for grazing losses due to a qualifying drought for producers who suffer grazing losses on grazing lands located in a county due to drought will be equal to 60 percent of the lesser of the monthly feed cost for all covered livestock owned or leased by the eligible livestock producer or the monthly feed cost calculated using the normal carrying capacity of the eligible grazing land of the eligible livestock producer. If an eligible livestock producer sold or otherwise disposed of covered livestock due to a qualifying drought in one or both of the two production year immediately preceding the current production year, the payment rate is 80 percent of the monthly payment rate. Payment Eligibility For Losses Due To Fire. Livestock producers who suffer grazing losses on grazing lands managed by a Federal agency due to a fire, will receive a payment equal to 50 percent of the monthly feed cost for the number of days the producer is prohibited from grazing the managed range-land because of the qualifying fire, not to exceed 180 calendar days. The payment begins on the first day the permitted livestock are prohibited from grazing the eligible range-land and ending on the earlier of the last day of the Federal lease of the eligible livestock producer or the day that would make the period a 180 calendar day period. ATRA provides extending benefits to livestock producers for grazing losses due to drought and wildfires on Federal lands through September 30, 2013, subject to appropriations. Payments for these losses will not occur if funds are not appropriated.

Deadlines:

Dec 10, 2009 To apply for LFP, producers that suffer eligible grazing losses during 2008 must file FSA-925 and required supporting documentation by no later than December 10, 2009. Producers that suffer eligible grazing losses during 2009 and later years must submit a completed FSA-925 and supporting documentation to the administrative FSA county office no later than 30 calendar days after the end of the calendar year in which the grazing loss occurs. Late filed applications will not be accepted.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

There are no restrictions placed on the time permitted to spend the money awarded. Method of awarding/releasing assistance: lump sum.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

No Data Available.

Account Identification:

12-5531-0-2-351.

Obligations:

(Direct Payments for Specified Use) FY 12 \$78,713,158; FY 13 est \$0; and FY 14 est \$0 - Supplemental Agricultural Disaster Assistance is only effective for losses incurred as the result of a disaster, adverse weather, or other environmental conditions that occurred on or before September 30, 2011.

ATRA provides extending benefits to livestock producers for grazing losses due to drought and wildfires on Federal lands through September 30, 2013, subject to appropriations. If funds are not appropriated, payments for losses occurring after September 30, 2011, will not be compensated.

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Scotty Abbott LFP Program Manager
USDA, FSA, Deputy Administrator for Farm Programs
Production, Emergencies, & Compliance Division (PECD)
1400 Independence Ave SW Stop 0517
, Washington, District of Columbia 20250 Email: scotty.abbott@wdc.usda.gov
Phone: 202-720-7997 Fax: 202-690-2130

Website Address:

<http://www.fsa.usda.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.090 SUPPLEMENTAL REVENUE ASSISTANCE PROGRAM

SURE

FEDERAL AGENCY:

Farm Service Agency, Department of Agriculture

AUTHORIZATION:

The Trade Act of 1974, Section 902, Public Law 93-618; The American Recovery and Reinvestment Act of 2009 (ARRA), Public Law 111-5; The Food, Conservation, and Energy Act of 2008 (The Farm Bill), Title XII, Part B, Section 12033, Public Law 110-246, 7 U.S.C 1501; The Food, Conservation, and Energy Act of 2008, Title XV, Section 15101, Public Law 110-246.

OBJECTIVES:

The objective of the SURE program is to provide financial assistance for farm revenue losses due to natural disaster. Assistance is provided from the Agricultural Disaster Relief Trust Fund (Trust Fund) established under Public Law 110-246. The Secretary of Agriculture (henceforth the Secretary) has the authority to use the Trust Fund to make crop disaster assistance payments to eligible producers on farms in disaster counties whose actual production is less than 50 percent of their normal production. A disaster county means a county included in the geographic area covered by a qualifying natural disaster declaration by the Secretary. SURE is the 2008 Farm Bill's successor to the prior Crop Disaster Programs. SURE is legislated through fiscal year 2011.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

SURE assistance can provide benefit payments to eligible producers who have suffered eligible crop losses due to a natural disaster. To be eligible for crop loss assistance, a producer must show that the actual production on the farm for at least one crop of economic significance is reduced for at least 10 percent due

to disaster, adverse weather, or disaster-related conditions. Payment limitations apply for SURE. For 2008, no person may receive more than \$100,000 total for the 2008 program year under the Emergency Assistance for Livestock, Honeybees, and Farm Raised Fish Program (ELAP), Livestock Forage Disaster Program (LFP), Livestock Indemnity Program (LIP), and SURE. For 2009 and subsequent program years, no person or legal entity, excluding joint ventures and general partnerships may receive, directly or indirectly, more than \$100,000 total per program year under ELAP, LFP, LIP and SURE. Producers or legal entities whose average adjusted gross income (AGI) exceeds \$2.5 million in crop year 2008 shall not be eligible for benefit payments unless 75 percent or more of the income is from agriculture. For 2009 and subsequent years, the average AGI income cap changes to \$500,000 of non-farm income, with no on-farm income cap. SURE assistance will be provided to an eligible producer in the amount equal to 60 percent of the difference between the SURE Guarantee and the total farm revenue. The SURE guarantee for the farm cannot be greater than 90 percent of the total of the normal production of the farm. There are specific eligibility criteria a producer must meet before becoming eligible for assistance. To be eligible, a producer (for all of the farming operation's crops that are planted or intended nationwide) must have obtained a policy or plan of crop insurance such as Catastrophic Risk Protection (CAT) for all insurable crops and/or Non-insured Crop Disaster Assistance Program (NAP) coverage for non-insurable crops that are of economic significance. However, statute does not require coverage for grazed crops for the SURE program. Sales closing dates for insurance and application closing dates for NAP have passed for the 2008 crop year for all insurable and non-insurable crops. A waiver was authorized in Public Law 110-246 to allow producers to pay within 90 calendar days of the enactment, a buy-in-fee to be eligible for Supplemental Agricultural Disaster Assistance for crops for which the producer did not obtain crop insurance or NAP coverage for 2008. The administrative service fee is equal to the lesser of \$100 per crop per county, or \$300 per producer per county, not to exceed \$900 per multi-county producer. The final date for the buy-in fee was September 16, 2008. The American Recovery and Reinvestment Act of 2009 (ARRA), Public Law 111-5, authorized an additional waiver which allows producers another opportunity to pay a buy-in-fee for 2008 Supplemental Agricultural Disaster Assistance. The buy-in fee must be paid within 90 calendar days of the enactment of ARRA. The buy-in fee is \$100 per crop, but not more than \$300 per producer per administrative county, or \$900 total per producer for all counties less any previously paid fees for NAP relief and other buy-in. Producers must buy-in for all non-insurable and insurable crops that are not already covered by NAP, crop insurance, or meet the previous waivers. The fee is nonrefundable and due at the time a producer files FSA-752 and/or FSA-753. Crop insurance and NAP coverage are not a requirement if the producer is considered a Socially Disadvantaged, Limited Resource, or Beginning Farmer or Rancher.

Applicant Eligibility:

An eligible applicant or eligible "producer on a farm", is an individual or entity who assumes the production and market risks associated with the agricultural production of crops or livestock. An individual or entity is a citizen of the United States (U.S.), a resident alien, or a partnership of citizens of the U.S.

Beneficiary Eligibility:

The SURE program will provide financial assistance to an eligible producer on a farm who has insurable or noninsurable commodity or agricultural commodity (except livestock) for which the producer on a farm is eligible to obtain a policy or plan of insurance. Benefits are also extended to the Socially Disadvantaged Farmer or Rancher who has been subject to racial or ethnic prejudice because of his or her identity as members of a group without regard to their individual qualities; a beginning farmer or rancher who has not operated a farm or ranch for more than 10 years and materially and substantially participates in the operation; and limited resource producer with direct or indirect gross farm sales not more than \$100,000 in both of the previous two years, adjusted upwards for any general inflation since fiscal year 2004, and if the total household income is at or below the national poverty level for a family of four or less than 50 percent of county median household income in both of the previous two years.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. An eligible producer must provide a total crop acreage report (FSA-578) including crops on cropland and non-cropland in all counties that the producer has interest. The total farm acreage report includes native and improved grass that will be hayed or grazed, all grazing land and uninsurable land by either crop insurance or NAP coverage and file an AD-1026.

Award Procedure:

The applicant will submit the required forms to applicants local FSA office. Applications will be reviewed and the award will be distributed based on 60 percent of the difference of the SURE guarantee and total farm revenue calculation. Total farm revenue include estimated crop value, crop insurance indemnities, NAP payments, Market Assistance Loan proceeds, other disaster payments, DCP payments (15% of direct payments, plus all Counter-Cyclical and ACRE payments. To assist farmers and ranches in evaluating their options with SURE, USDA has created a SURE calculator. To utilize the calculator, visit the website www.fsa.usda.gov. The calculator and instructions linked on the FSA website are for informational purposes only. This calculator in no way binds FSA to potential payments under SURE and should not be relied on as the sole source of information to make final management decisions.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

From 60 to 90 days.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Not applicable. Method of awarding/releasing assistance: lump sum.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

No Data Available.

Account Identification:

12-5531-0-2-351; 12-5591-0-2-351 - Recovery Act SURE.

Obligations:

(Direct Payments for Specified Use) FY 12 \$0; FY 13 est \$0; and FY 14 est \$0 - No Recovery Act SURE funding can be obligated beyond FY 2010, but there was an obligation adjustment in FY 2011. This is a new program, so there was no funding in FY 2008 and 2009. (Direct Payments for Specified Use) FY 12 \$561,492,233; FY 13 est \$1,372,000,000; and FY 14 est \$0 - Regular SURE Payments.

Range and Average of Financial Assistance:

This is a new program. Range and average of financial assistance can not be determined.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Steve Peterson, USDA, Deputy Administrator for Farm Programs, Production, Emergencies, and Compliance Division, Disaster Assistance Branch, 14th and Independence Ave SW, Stop 0517, Washington, District of Columbia 20250
Email: Steve.Peterson@wdc.usda.gov Phone: (202) 720-5172 Fax: (202) 720-0051.

Website Address:

<http://www.fsa.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.091 EMERGENCY ASSISTANCE FOR LIVESTOCK, HONEYBEES, AND FARM-RAISED FISH PROGRAM

(ELAP)

FEDERAL AGENCY:

Farm Service Agency, Department of Agriculture

AUTHORIZATION:

The American Taxpayer Relief Act of 2012, Public Law 112-240, 7 U.S.C 702; The Trade Act of 1974, Public Law 19-2497, 19 U.S.C 2010.

OBJECTIVES:

The objective of ELAP is to provide emergency assistance to eligible producers of livestock, honeybees, and farm-raised fish who have losses due to disease, adverse weather, or other conditions, including losses due to blizzards and wildfires, as determined by the Secretary of Agriculture (Secretary). Assistance is provided from the Trust Fund established under Public Law 110-246. ELAP assistance is for losses not covered under other Supplemental Agricultural Disaster Assistance Payment programs specifically Livestock Forage Program (LFP), Livestock Indemnity Program (LIP), and the Supplemental Assistance Program (SURE). ELAP is authorized for fiscal years 2008 through September 30, 2011. The American Taxpayer Relief Act of 2012 extended authority for ELAP under the Food, Conservation, and Energy Act of 2008 (the 2008 Farm Bill) for the 2012 and 2013 fiscal years. However, for 2012 and 2013 fiscal years funding has not been appropriated for ELAP.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

ELAP covers losses occurring on or after January 1, 2008 and before October 1, 2011. Public Law 110-246, Sections 12033 and 15101, authorized the Secretary to provide payments to producers of livestock, honeybees, and farm-raised fish to aid in the reduction of losses due to disease, adverse weather, or other conditions such as blizzards and wildfires. ELAP also covers some species, loss conditions, and losses that are not eligible for other disaster assistance programs, including colony collapse disorder and wildfires on non-Federal land. The American Taxpayer Relief Act of 2012 extended authority for ELAP under the Food, Conservation, and Energy Act of 2008 (the 2008 Farm Bill) for the 2012 and 2013 fiscal years. However, for 2012 and 2013 fiscal years funding has not been appropriated for ELAP. Assistance will be granted only to eligible livestock, honeybee, and farm-raised producers. ELAP benefits, compensation, or relief will be strictly used to compensate eligible losses. For program year 2008, no person may receive more than \$100,000 total in payments under ELAP, LFP, LIP, SURE, combined. For 2009 and subsequent program years, no person or legal entity may receive directly or indirectly, more than \$100,000 total in payments under ELAP, LFP, LIP, and SURE combined.

Applicant Eligibility:

To be considered an eligible applicant, the participant must be a producer or

contract grower of livestock, honeybee, or farm-raised fish that assumes the production and market risks associated with the agricultural production of crops or livestock on a farm and that meet the requirements to receive ELAP payments.

Beneficiary Eligibility:

Eligible producers of livestock, honeybees, and farm-raised fish will receive the ultimate benefits from ELAP. An eligible producer of livestock must have owned, cash-leased, purchased, entered into a contract to purchase, or been a contract grower of eligible livestock during the 60 days prior to the beginning date of the eligible adverse weather or eligible loss condition. To be considered an eligible honeybee producer, a participant must have an interest and risk in the eligible honeybee colony, for the purpose of producing honey or pollination for commercial use as part of a farming operation. To be considered an eligible farm-raised fish producer the participant must have produced eligible farm-raised fish, with the intent to harvest for commercial use as part of a farming operation.

Credentials/Documentation:

Risk Management Purchase Requirement (RMPR). For every commodity on every farm in which the producer has an interest for the relevant program year, the producer must obtain catastrophic coverage (CAT) or better under a policy or plan of insurance administered under the Federal Crop Insurance Act (FCIA). In the case of non-insurable commodity, the producer must obtain the Noninsured Crop Disaster Assistance Program (NAP) coverage by filing the required paperwork and paying the administrative fee by the applicable state application closing date, except this requirement will not include forage on grazing land. Due to the lateness of P.L. 110-246, producers were allowed to "buy-in" to the ELAP for 2008 by paying fees equivalent to the NAP service fee or CAT fee by September 16, 2008. If a producer who is otherwise ineligible because of the purchase requirement and did not meet the buy-in deadline of September 16, 2008, still may be eligible for ELAP if the producer paid the applicable fee no later than May 18, 2009.

For 2009, the RMPR was waived for insurable crops where sales closing dates for crop insurance coverage occurred before August 14, 2008, if the buy-in fee was paid by January 12, 2009. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Producers are required to obtain CAT or NAP coverage prior to applying for ELAP benefits. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. To apply for ELAP, the participant that suffered eligible livestock, honeybee, or farm-raised fish losses must submit to the FSA administrative county office that maintains the participant's farm records the following: 1. A Notice of Loss for calendar years 2008 and 2009 must be provided no later than May 5, 2010 and for subsequent year losses, the producer must provide a notice of loss to FSA the earlier of 30 calendar days of when the loss is apparent to the producer or 30 calendar days after the end of the calendar year in which the loss occurred. 2. A complete application for payment no later than May 5, 2010 for calendar years 2008 and 2009 and for subsequent crop years, January 30, after the year in which the loss occurred.

Award Procedure:

Livestock payment calculations will be based on losses for no more than 90 days during the calendar year. ELAP payments for feed losses will be based on 60 percent of the producers actual cost for livestock feed, that was purchased or mechanically harvested for forage or feedstuffs; the additional cost incurred for providing or transporting livestock feed or to purchase additional livestock feed above normal to maintain the eligible livestock due to an eligible adverse weather or eligible loss condition. Grazing losses will be calculated based on 60 percent of the lesser of the total value of the feed cost for all covered livestock based on the number of days grazing was lost, not to exceed 90 days of daily feed cost for all covered livestock or the total value of grazing lost for all eligible livestock based on the normal carrying capacity of the eligible grazing land. Livestock death losses will be calculated by multiplying the national payment rate for each livestock category times the number of eligible

livestock that died in each category as a result of an eligible loss condition in excess of normal mortality. ELAP payments for honeybee losses will be based on 60 percent of the actual cost of honeybee feed that was damaged or destroyed and intended as feed for an eligible honeybee colony. Payments for honeybee colony losses will be based on 60 percent of the average fair market value of the honey bee colonies in the year in which the loss occurred times the number of honey bee colonies that were damaged or destroyed. Payments for honeybee hive losses will be based on 60 percent of the average fair market value of the honey bee hives in the year in which the loss occurred, times the number of honey bee hives that were damaged or destroyed due to an eligible adverse weather or eligible loss condition. ELAP payments for eligible farm-raised fish feed losses are based on 60 percent of the actual cost of purchased or harvested feed that was intended as feed for eligible farm-raised fish and was damaged because of an eligible adverse weather or loss condition in the calendar year in which the loss occurred. Payments for farm-raised fish death losses will be based on 60 percent of the State's average fair market value of the bait or game fish times the number of bait or game fish lost due to an eligible adverse weather or loss condition.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Appeal regulations set forth at parts 11 and 780 of 7CFR.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

There are no restrictions place on the time permitted to spend the money awarded. Method of awarding/releasing assistance: lump sum.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

The producer or any other legal entity or person who provides information enabling a producer to receive payments must maintain any books, records and accounts supporting the information for three years following the end of the year during which the request for payment was submitted and allow authorized representatives of USDA and the U.S. Government Accountability Office during regular business hours, to inspect, examine, and make copies of such books or records, and to enter the farm and to inspect and verify all applicable acreage in which the producer has an interest for the purpose of confirming the accuracy of information provided by or for the producer.

Account Identification:

12-5531-0-2-351.

Obligations:

(Direct Payments for Specified Use) FY 12 \$9,542,046; FY 13 est \$0; and FY 14 est \$0 - Funding for ELAP was only authorized for losses incurred as the result of a disaster, adverse weather, or other environmental conditions that occurred on or before September 30, 2011. The Taxpayer Relief Act of 2012 extended ELAP for fiscal years 2012 and 2013; however, no funding has been appropriated.

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7CFR Part 760

Federal Register Vol 74, No. 175, dated September 11, 2009

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Amy Mitchell USDA, FSA, Production, Emergencies, & Compliance Division, 1400 Independence Ave SW Stop 0517, Washington, District of Columbia 20250 Email: Amy.Mitchell1@wdc.usda.gov Phone: (202) 720-8954 Fax: (202) 690-2130

Website Address:

<http://www.fsa.gov>

RELATED PROGRAMS:

10.088 Livestock Indemnity Program; 10.089 Livestock Forage Disaster Program; 10.090 Supplemental Revenue Assistance Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.092 TREE ASSISTANCE PROGRAM

(TAP)

FEDERAL AGENCY:

Farm Service Agency, Department of Agriculture

AUTHORIZATION:

Food, Conservation, and Energy Act of 2008 (2008 Farm Bill), Title XII, Part A, Section 12033 and 15101, Public Law 110-246, 7 U.S.C 1501; The American Recovery and Reinvestment Act of 2009 (ARRA), Public Law 111-5.

OBJECTIVES:

The Tree Assistance Program (TAP) provides financial assistance to qualifying orchardists and nursery tree growers to replant or rehabilitate eligible trees, bushes and vines affected by natural disasters and suffered mortality losses in excess of 15 percent, after adjustment for normal mortality occurring on or after January 1, 2008, and before October 1, 2011. TAP was authorized by the 2008 Farm Bill and is funded through the Agricultural Disaster Relief Trust Fund under Section 902 of the Trade Act of 1974.

TYPES OF ASSISTANCE:

Direct Payments with Unrestricted Use

USES AND USE RESTRICTIONS:

Assistance will be provided for eligible trees, bushes, and vines from which an annual crop is produced for commercial purposes. Nursery trees include ornamental, fruit, nut and Christmas trees produced for commercial sale. Trees used for pulp or timber are ineligible.

Applicant Eligibility:

An eligible applicant is an orchardist or a nursery tree grower who has planted trees for commercial purposes but lost the trees as a direct result of a natural disaster. The term "tree" includes a tree, bush, and vine. An eligible orchardist is a person who produces annual crops from trees for commercial use. An eligible nursery tree grower is a person who produces nursery, ornamental fruit, nut or Christmas trees for commercial sale.

Beneficiary Eligibility:

Beneficiary eligibility will be provided to an owner who has trees, bushes or vines lost by a natural disaster who suffer qualifying losses in excess of 15 percent (adjusted for normal mortality) from an eligible natural disaster for the individual stand; must have owned the eligible trees, bushes and vines when the natural disaster occurred; however, eligible growers are not required to own the land on which eligible trees, bushes and vines are planted; and must replace eligible trees, bushes and vines within 12 months from the date the application is approved.

Credentials/Documentation:

In general, orchardists and nursery tree growers must have obtained a policy or plan of insurance for all their insurable or NAP eligible crops through either Federal Crop Insurance Act or FSA's Non-insured Crop Disaster Assistance

Program (NAP). Eligible producers who meet the definition of "Socially Disadvantaged", "Limited Resource", or "Beginning Farmer or Rancher" do not have to meet this requirement. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. For 2009 through 2011 TAP payment limitations, no person or legal entity may receive, directly or indirectly, more than \$100,000 per program year. For 2008, payments are limited per "person" according to payment limitations rules in effect for 2008. For cumulative total quantity of acres planted to trees, bushes, or vines where a producer may receive TAP payments for losses occurring between January 1, 2008 and September 30, 2011, cannot exceed 500 acres. The Adjusted Gross Income (AGI) limitations for 2009 and subsequent program years for producers or legal entities whose average non farm AGI exceeds \$500,000 are not eligible and for the 2008 program year, producers are not eligible if their average AGI is \$2.5 million or greater, unless 75% or more of their AGI is from agriculture.

In order for a participant to obtain a TAP payment, the eligible applicant must (1) File an application (FSA-899) at the FSA administrative county office that maintains the applicants farm records for the agricultural operation, within the applicable sign-up deadline. A complete application includes, a completed Form FSA-899; certification that Risk Management Purchase Requirement has been met on all crops on the farm; must have an acreage report for the farming operation; a written estimate of the number of trees, bushes, or vines lost or damaged is certified by the producer or a qualified expert, including the number of acres on which the loss occurred; and sufficient evidence of the loss to allow the county FSA committee to calculate whether an eligible loss occurred. (2) FSA staff will make a field visit and validate which practices are appropriate to address the losses. After verification, FSA will inform the producer of the approved eligible practices and estimated payment. Additional information may be requested to assist FSA representative in making its determination. (3) Applicant must complete the approved practices within 12 months of FSA approval. Payments will be made after all practices are completed on the stand. Producers that suffer multiple losses during the calendar year may file multiple applications for payment.

Award Procedure:

For tree, bush or vine replacement including replanting and the cost of seedlings, the payment calculation is the lesser of the following:

- 1) 70% of the actual cost of the practice, or
- 2) the amount calculated for each practice using the established rates.
- 3) For salvaging existing trees, bushes, or vines, including the cost of pruning, removal, or other costs, the payment calculation is the lesser of the following: 50% of the actual cost of the practice, or the amount calculated for each practice using the established rates.

Deadlines:

May 10, 2010 to Jul 06, 2010 orchardists and nursery tree growers may apply to receive TAP benefits at local FSA county offices beginning May 10, 2010. For losses suffered during calendar year 2008 and 2009 and for losses suffered January 01 2010 through May 7, 2010, applications and supporting documentation must be submitted by July 6, 2010. For those losses that occur after May 7, 2010, applications and supporting documentation must be submitted within 90 days from either the date of the disaster or when the disaster becomes apparent to the producer.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The orchardist or nursery tree grower must replace eligible trees, bushes and vines within 12 months from the date the application is approved. Payment is made by check or direct deposit after determining applicant eligibility. Method of awarding/releasing assistance: lump sum.

Reports:

Not Applicable.

Audits:

No audits are required for this program.

Records:

Applicants receiving assistance for TAP shall maintain and retain financial books and records which will permit verification of all transactions for at least 3 years, following the end of the calendar year in which assistance was provided.

Account Identification:

12-5531-0-2-351 - The Agricultural Disaster Relief Trust Fund.

Obligations:

(Direct Payments with Unrestricted Use) FY 12 \$18,696,428; FY 13 est \$0; and FY 14 est \$0 - Supplemental Agricultural Disaster Assistance is only effective for losses incurred as the result of a disaster, adverse weather, or other environmental conditions that occurred on or before September 30, 2011.

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program is announced through press releases, news media, and newsletters.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Terry L. Hill USDA, DAFP, PECD, GRS, 1400 Independence Ave SW Stop 0517, Washington, District of Columbia 20250 Email: Terry.Hill@wdc.usda.gov Phone: (202) 720-3087

Website Address:

www.fsa.usda.gov/tap

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.093 VOLUNTARY PUBLIC ACCESS AND HABITAT INCENTIVE PROGRAM

(VPA-HIP)

FEDERAL AGENCY:

Farm Service Agency, Department of Agriculture

AUTHORIZATION:

The Food Security Act of 1985, Public Law 99-198, 15 U.S.C 714b and 714c.

OBJECTIVES:

The primary objective of the VPA-HIP is to encourage owners and operators of privately-held farm, ranch, and forest land to voluntarily make that land available for access by the public for wildlife-dependent recreation, including

hunting or fishing, under programs implemented by state or tribal governments.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

The funding provided by the VPA-HIP will help states and tribal governments address many issues that can greatly increase access and recreational experiences. Grant recipients will be able to use the funding to provide higher rental payments, provide technical and conservation services to landowners, and increase acreage enrolled for public access. Twenty-six states have public access programs for hunting, fishing, and other related activities. These programs provide rental payments and other incentives, such as technical or conservation services to landowners who allow the public to hunt, fish, or otherwise appropriately recreate on their land. Section 726 of the Consolidated and Further Continuing Appropriations Act, 2012, effectively ended any new obligations, or modifications to existing obligations, under VPA-HIP. Only state and tribal governments are eligible to directly receive VPA-HIP grants.

Applicant Eligibility:

Only States and Tribal governments are eligible for VPA-HIP. An eligible State government means any State or local government, including State, city, town, or county government. An eligible Tribal Government means any Federally-recognized Indian tribe, band, nation, or other organized group, or community, including pueblos, rancherias, colonies and any Alaska Native Village, or regional or village corporation as defined in or establish pursuant to the Alaska Native Claims Settlement Act (43 U.S.C 1601-1629H), which is recognized as eligible for the special programs and services provided by the United States to Indians because of their status as Indians.

Beneficiary Eligibility:

The beneficiary eligibility is extended to the public for the purposes of expanding existing public access programs or create new public access programs or provide incentives to improve habitat on enrolled program lands. Proposals for grant money should be submitted to the local State or Tribal governments by owners and operators of privately-held farm, ranch, and forest land. An eligible owner is one who has legal ownership of farmland. An eligible operator (individual, entity, or joint operation) who is determined by the FSA county committee to be in control of the farming operations on the farm. For the purposes of receiving grant money, an appropriate wildlife habitat should be suitable or proper, as determined by the applicable State or tribal government, to support fish and wildlife populations in the area. A eligible farmland or ranch land means the sum of the Direct and Counter-Cyclical (DCP) cropland, forest, acreage planted to an eligible crop acreage and other land on the farm. Eligible forest land is at least 120 feet wide and one acre in size with at least 10 percent cover (or equivalent stocking) by live trees of any size, including land that formerly had such tree cover and that will be naturally or artificially regenerated. Forest land includes transition zones, such as areas between forest and nonforest lands that have at least 10 percent cover (or equivalent stocking) with live trees and forest areas adjacent to urban and built-up lands. Roadside, streamside, and shelterbelt strips of trees must have a crown width of at least 120 feet and continuous length of at least 363 feet to qualify as forest land. Unimproved roads and trails, streams, and clearings in forest areas are classified as forest if they are less than 120 feet wide or an acre in size. Tree-covered areas in agricultural production settings, such as fruit orchards, or tree-covered areas in urban settings, such as city parks, are not considered forest land. Eligible privately-held land means farm, ranch, or forest land that is owned or operated by an individual or entity that is not an entity of any government unit or Tribe.

Credentials/Documentation:

Funding priority will be given to proposals that will use the grant money in a public access program to address these objectives: Maximize participation by landowners; Ensure that land enrolled in the program has appropriate wildlife habitat; Provide incentives to strengthen wildlife habitat improvement efforts on Conservation Reserve Enhancement Program (CREP) land; Supplement funding and services from other federal, state, tribal government or private resource that is provided in the form of cash or in-kind services; and Provide information to the public about the location of public access land. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. CCC will issue periodic Request for Applications (RFA) on the federal government grants portal www.grants.gov. Applicants must file an original and one hard copy of the required forms and an application. A State or tribal government must include all proposed activity under a single application per RFA review period. Multiple applications from an applicant during a single RFA period will not be considered. The applicant is the individual State or Tribe; any application from any unit of the State or tribal government must be coordinated for a single submission of one application from the State or Tribe. Incomplete applications will not be considered for funding. However, incomplete applications may be returned, and may be resubmitted, if time permits. Data furnished by grant applicants will be used to determine eligibility for the VPA-HIP benefits. Furnishing the data is voluntary; however, the failure to provide data could result in program benefits being withheld or denied. The following forms must be completed, signed, and submitted as part of the application; other forms may be required, as specified in the applicable RFA: 1. Application for Federal Assistance; 2. Budget Information-Non-Construction Programs; and 3. Assurances-Non-Construction Programs.

Each application must contain the following elements; additional required elements may be specified in the applicable RFA: Title page; Table of contents; Executive summary, which includes activities which provide a summary of the application that briefly describes activities proposed to be funded under this grant; objectives, funding, performance which includes the tasks to be accomplished, the amount of funding requested, how the work will be performed, whether organizational staff, consultants or contractors will be used, and whether other resources will be used.

Award Procedure:

Grants will awarded through a competitive RFA process. Before receiving grant funding, the grantee will be required to sign an agreement similar in form and substance to the form of agreement published within or as an appendix to the RFA. The agreement will require the grantee to commit to do all the following:

1. Take all practicable steps to develop continuing sources of financial support from other Federal, State, tribal government, or private resources;
2. Make arrangements for the monitoring and evaluation of the activities related to implementation of the public access program of the owners or operators that enroll farm, ranch, and forest land; and
3. Provide an accounting for the money received by the grantee. The grantee will be required to monitor funds or services as specified and must agree to that monitoring before grant funds are awarded. The grantee must also certify that the grant funds and services will not be used for ineligible purposes.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Appeals will be handled according to 7 CFR parts 11 and 780.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Section 726 of the Consolidated and Further Continuing Appropriations Act, 2012, effectively ended any new obligations, or modifications to existing obligations, under VPA-HIP. Method of awarding/releasing assistance: lump sum.

Reports:

Program reports are not applicable. Cash reports are not applicable. Progress reports are required from state and tribal grantees. Grantees must provide to FSA a Financial Status Report listing expenditures according to agreed upon budget categories on a periodic basis as specified in the grant document. Annual performance reports that compare accomplishments to the objectives stated in the application that also identify all tasks completed to date and provide documentation supporting the reported results, if the original schedule provided in the work plan is not being met, the report must discuss the problems or delays that may affect completion of the project, and list objectives for the next reporting period, and discuss compliance with any special conditions on the use of award funds. CCC will incorporate performance criteria in grant award documentation and will regularly evaluate the progress and performance of grant awardees. Final project performance reports are due within 90 days of the completion of the project.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grantees must comply with the audit requirements of 7 CFR part 3052.

Records:

No Data Available.

Account Identification:

12-4336-0-3-336.

Obligations:

(Project Grants) FY 12 \$0; FY 13 est \$0; and FY 14 est \$0 - Section 726 of the Consolidated and Further Continuing Appropriations Act, 2012, effectively ended any new obligations, or modifications to existing obligations, under VPA-HIP in Fiscal Year FY 2012. Funds have not been appropriated for FY 2013, and program authority expires with the Farm Bill.

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 3015, Uniform Federal Assistance Regulations; 7 CFR Part 3016, Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments; 7 CFR Part 3017, Government wide Debarment and Suspension (non procurement) and Government wide Requirements for Drug-Free Workplace (Grants); 7 CFR Part 3018, New Restrictions on Lobbying; 7 CFR Part 3019, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-profit Organizations; 7 CFR Part 3052, Audits of States, Local Governments and Non-profit Organizations

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

David Hoge 1400 Independence Ave. SW, Washington, District of Columbia 20250 Email: David.Hoge@wdc.usda.gov Phone: 202-720-7674

Website Address:

<http://www.fsa.usda.gov/FSA/webapp?area=home&subject=copr&topic=pahp>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Incomplete applications will not be evaluated. All applications will be evaluated using the evaluation criteria and scored in accordance with the RFA.

All eligible applicants will be ranked in order and a list will be provided to the FSA Deputy Administrator along with funding level recommendations.

10.095 DURUM WHEAT QUALITY PROGRAM

(DWQP)

FEDERAL AGENCY:

Farm Service Agency, Department of Agriculture

AUTHORIZATION:

The Food, Conservation, and Energy Act of 2008 (2008 Farm Bill), Title I, Part F, Section 1613, Public Law 110-246, 7 U.S.C 8788.

OBJECTIVES:

The Durum Wheat Quality Program (DWQP) compensates producers of durum wheat for up to 50 percent of the actual cost of fungicide applied to control Fusarium head blight (FHB), commonly known as wheat scab, for fiscal years (FY) 2009 through 2012, subject to availability of funding.

TYPES OF ASSISTANCE:

Direct Payments with Unrestricted Use

USES AND USE RESTRICTIONS:

The assistance will be used to compensate producers of durum wheat. Cost share assistance will be used to compensate producers for 50 percent of their share of both the purchase price of an eligible fungicide and the cost of applying the eligible fungicide to durum wheat acres.

Applicant Eligibility:

To be considered an eligible producer for DWQP, the producer must have a share in the treated durum wheat crop planted on eligible acres, have a share in the cost of either or both of purchasing an eligible fungicide or applying an eligible fungicide to eligible acres.

An eligible fungicide for DWQP must be registered with EPA, as required under the Federal Insecticide, Fungicide, and Rodenticide Act (FIFRA), unless exempt from FIFRA requirements, compliant with pesticide regulations in the State in which benefits are being requested, and used specifically for one fungicide treatment in the applicable crop year, to control FHB on eligible acres.

An eligible acre for DWQP must be planted to durum wheat and treated with an eligible fungicide, to specifically control FHB and applied during the flowering stage, the period of time during the wheat growth stage after the head emergence has completed and before milk development in the kernel.

Beneficiary Eligibility:

Subject to available funding, eligible producers of durum wheat will be partially compensated for the cost of purchasing and applying fungicides to a crop of durum wheat to control Fusarium head blight on acres accurately certified as planted to durum wheat.

Credentials/Documentation:

Documentation for Payment

To apply for DWQP benefits, producers shall file the following forms in their administrative County Office:

CCC-551 Durum Wheat Quality Program Application

FSA-578 Report of Acreage

AD-1026 Highly Erodible Land Conservation and Wetland Conservation Certification

Documentation of Fungicide Purchasing Cost

To qualify for DWQP cost share assistance, the producer must provide verifiable documentation of the producer's actual fungicide cost, excluding application cost, for purchasing the eligible fungicide claimed on CCC-551 used to control FHB, for the applicable year. The following types of documentation are under consideration as acceptable proof of fungicide purchases:

- 1) original commercial sales and purchase receipts for an eligible fungicide
- 2) original invoices from licensed custom applicators that explicitly specify the cost of an eligible fungicide.

All supporting documentation must be completed by the participant and on file

in the County Office before CCC-551 may be approved. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

The Farm Service Agency (FSA) County Office Committee (COC) must act on all completed and signed applications.

Deadlines:

Jul 21, 2010 to Sep 15, 2010 Signup periods for subsequent years will be announced as funding becomes available and will always end on September 15 of the applicable crop year.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Appeal regulations set forth at parts 11 and 780 of 7CFR apply to determinations made under DWQP.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

Matching requirements are not applicable to this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

There are no restrictions placed on the time permitted to spend the money awarded. Payments will not be made for claims for a particular crop year until after the application deadline, which is September 15 of that crop year, for the crop for which payment for the fungicide application is sought and only if funds are made available through an appropriation. Method of awarding/releasing assistance: lump sum.

Reports:

No reports are required.

Audits:

No audits are required for this program.

Records:

The producer or any other legal entity or person who provides information enabling a producer to receive payments must maintain any books, records and accounts supporting the information for three years following the end of the year during which the request for payment was submitted and allow authorized representatives of USDA and the U.S. Government Accountability Office during regular business hours, to inspect, examine, and make copies of such books or records, and to enter the farm and to inspect and verify all applicable acreage in which the producer has an interest for the purpose of confirming the accuracy of information provided by or for the producer.

Account Identification:

12-2701-0-1-351.

Obligations:

(Direct Payments for Specified Use) FY 12 \$0; FY 13 est \$0; and FY 14 est \$0 - New Program in FY 2010. The program is not funded in FY 2011, FY 2012, FY 2013, and FY 2014.

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 1413

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Amy Mitchell, USDA, FSA, Production, Emergencies, & Compliance Division, 1400 Independence Ave SW Stop 0517, Washington, District of Columbia 20250 Email: Amy.Mitchell1@wdc.usda.gov Phone: (202) 720-8954 Fax: (202) 690-2130.

Website Address:

<http://www.usda.fsa.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.098 REIMBURSEMENT TRANSPORTATION COST PAYMENT PROGRAM FOR GEOGRAPHICALLY DISADVANTAGED FARMERS AND RANCHERS

RTCP

FEDERAL AGENCY:

Farm Service Agency, Department of Agriculture

AUTHORIZATION:

The Food, Conservation, and Energy Act of 2008, Title I, Section 1621, Public Law 110-246, as amended by Consolidated and Further Continuing Appropriations Act, 2013, Section 720, Public Law 113-6.

OBJECTIVES:

The intended goal of the program is to provide direct reimbursement payments to a geographically disadvantaged farmer or rancher who transports an agricultural commodity, or inputs used to produce an agricultural commodity during a fiscal year. Input transportation cost are transportation costs of inputs used to produce an agricultural commodity including, but not limited to, air, ocean, and land freight of chemicals, feed, fertilizer, fuel, seeds, plants, supplies, equipment parts, and other inputs.

The Farm Service Agency (FSA), Deputy Administrator for Farm Programs (DAFP) administers RTCP. The program will be carried out in the field by FSA State and county committee and FSA employees. State and local governments and their political subdivisions and related agencies are not eligible for RTCP payments.

TYPES OF ASSISTANCE:

Direct Payments with Unrestricted Use

USES AND USE RESTRICTIONS:

RTCP provides monetary assistance to geographically disadvantaged farmers and ranchers by reimbursing them for a portion of the transportation cost of their agricultural commodity, or transported inputs used to produce an agricultural commodity during the fiscal year. The payments provided by RTCP are intended to offset a portion of the high cost of transporting inputs for production purposes and transporting products to markets.

The types of transportation rates used to determine reimbursable amounts are:

- (1) Actual transportation rates which are based on the actual costs incurred by the applicant and must be determined in all cases from verifiable records.
- (2) Fixed transportation rates are determined by FSA and will establish per unit transportation costs for each eligible commodity or input used to produce the eligible commodity.
- (3) Set transportation rates are established for those transportation costs that are not on the FSA list of fixed rates and for which a actual rate cannot be documented. They will be set by FSA based on available data of transportation costs similar commodities and inputs.

Applicant Eligibility:

To be eligible to receive program benefits, a geographically disadvantaged farmer or rancher must:

- (1) Be a producer of an eligible agricultural commodity in substantial commercial quantities
- (2) Incur transportation costs for the transportation of the agricultural commodity or input used to produce the agriculture commodity
- (3) Submit an application for payment during the specified period applicable for each fiscal year.
- (4) Be in compliance with conservation and wetland protection requirements on all their land
- (5) Be a citizen of or legal resident alien of the U.S.

Eligible commodities include any agricultural commodity (including horticulture, aquaculture, and floriculture) food, feed, fiber, livestock (including elk, reindeer, bison, horses, and deer), insects or products thereof.

Beneficiary Eligibility:

The U.S. farmers and ranchers outside the continental U.S.(the 48 contiguous U.S.) receive the ultimate benefit from the program because they operate at a competitive disadvantage relative to farmers and ranchers in the continental U.S. This disadvantage is due to the high cost of transporting agricultural commodities from those areas to markets in the continental U.S. and in other countries, and the high cost of transporting agricultural inputs to those areas.

Geographically disadvantage farmers and ranchers located in Hawaii, Alaska, or an insular area such as the Commonwealth of Puerto Rico, Guam, American Samoa, the Commonwealth of the Northern Mariana Islands, the Federated States of Micronesia, the Republic of the Marshall Islands, the Republic of Palau, and the Virgin Islands of the U.S. are the primary beneficiaries.

Credentials/Documentation:

Each producer requesting payment must certify to the accuracy and truthfulness of the information in their application and any supporting documentation. Producers who submit actual costs for reimbursement at the actual cost rate, must provide verifiable records.Failure or refusal to allow FSA to verify the information provided will result in a denial of eligibility. Furnishing the information is voluntary; however, without it program benefits will not be approved. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. An application for payment must be submitted on a completed application form. Applications and any other supporting documentation must be submitted to the FSA county office that services the county where the agricultural operation is located, but, in any case, must be received by the FSA county office by the close of business on the last day of the application period established by the Deputy Administrator.

An application received after the close of business after the application period will not be eligible for benefits.

Award Procedure:

Eligibility reimbursement amount will be calculated by multiplying the number of units of the reported transportation amount times the applicable transportation fixed, set, or actual rate times the applicable non-foreign area cost of living allowance or post differential (COLA).

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

From 15 to 30 days. The appellant must submit a written request asking the next level reviewing authority within FSA to review a decision. The appeal regulations are specified in 7CFR parts 11 and 780 apply to determinations for

RTCP.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title 7, Chapter VII, Part 755.

Matching requirements are not applicable to this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

There are no restrictions placed on the time permitted to spend the money awarded. Method of awarding/releasing assistance: lump sum.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

Persons applying for RTCP payments must maintain records and accounts to document all eligibility requirements for three years after the date of payment to the producer.

Account Identification:

12-2701-0-1-351.

Obligations:

(Direct Payments with Unrestricted Use) FY 12 \$1,996,000; FY 13 est \$1,841,538; and FY 14 est \$0 - FY 2013 funding includes impact of the two Across the Board Rescissions and the 5.0% Sequestration reduction.

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Danielle Cooke 1400 Independence Avenue, SW, Washington, District of Columbia 20250-0512 Email: danielle.cooke@wdc.usda.gov Phone: (202) 720-1919

Website Address:

<http://www.fsa.usda.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.099 CONSERVATION LOANS

FEDERAL AGENCY:

Farm Service Agency, Department of Agriculture

AUTHORIZATION:

The Consolidated Farm and Rural Development Act, Title XIV, Part A, Section 304, 7 U.S.C 1924.

OBJECTIVES:

Conservation Loan (CL) program is to provide access to credit for farmers who need and want to implement conservation measures on their land but do not have the up front funds available to implement these practices. Unlike FSAs traditional farm ownership and operating loan programs that are targeted toward smaller and less financially established farmers, eligibility requirements for the CL program are expanded to permit FSA to provide assistance to some applicants who may be large and financially strong.

TYPES OF ASSISTANCE:

Direct Loans; Guaranteed/Insured Loans

USES AND USE RESTRICTIONS:

CL funds can be used to implement a conservation practice approved by the Natural Resources and Conservation Service (NRCS), such as reducing soil erosion, improving water quality, promoting sustainable and organic agricultural practices. Specific conservation practices include: installation of conservation structures, establishment of forest cover, installation of water conservation measures, establishment or improvement of permanent pastures, transitioning to organic production, manure management, including manure digestion systems, adaptation of other emerging or existing conservation practices, techniques or technologies.

Applicant Eligibility:

An applicant must (1) Not have caused a loss to the Agency after April 4, 1996, or received debt forgiveness on more than three occasions prior to April 4, 1996 to receive a guaranteed loan, and for a direct loan, must not have received debt forgiveness from the Agency on any direct or guaranteed loan; (2) be a U.S. citizen, non-citizen national or qualified alien; (3) possess the legal capacity to incur the obligations of the loan; (4) for a direct loan, have the necessary education and/or experience, training, and managerial ability to operate a farm; and (5) for a direct loan, fulfill the Agency's borrower training requirements. If the applicant is an entity, it must be controlled by farmers engaged primarily and directly in farming in the U.S., after the loan is made. Unlike other Agency loan programs, Conservation Loans are not limited to applicants who are unable to obtain sufficient credit from other sources at reasonable rates and terms or to those who are the owner or operator of a not larger than family size farm. However, the applicant's operation must realistically project the ability to repay the loan.

Beneficiary Eligibility:

Applicants are the direct beneficiaries and must meet the applicant eligibility requirements. Families, individual, and entities who are farmers are the beneficiaries.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. The following forms must be submitted by applicants:

For Direct Loans

FSA-2001 Request for Direct Loan Assistance

Must be submitted with supporting information at the applicant's local FSA county office.

For Loan Guarantees

FSA-2211 Application for Guarantee or FSA-2212 Preferred Lender

Application for Guarantee

Must be submitted with supporting information with the prospective lender

Applicants for direct and guaranteed loans must provide an approved NRCS conservation plan that includes the conservation measure for which loan funds are requested.

Award Procedure:

Certification as to eligibility is made by the authorized agency official and an approval determination is made by the authorized agency official based on loan feasibility, soundness, and adequate security.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

The loan approval official approves or disapproves a completed application. The average approval time for direct loans is 30 days and 10 days for guaranteed loans.

Appeals:

Applicants for direct and guaranteed loans may appeal an adverse decision. The applicant is given an opportunity to appeal the decision to the National Appeals Division. The applicant may in the final step of the appeal process ask for a review of the decision by the Director of the National Appeals Division in Washington, DC.

Renewals:

Applicants may reapply at any time. Applicants denied assistance through the appeal process must establish that substantial change has occurred since the denial decision.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. This program has no matching requirements. The selected criteria identify essential elements considered necessary to compare the needs of the various States, and to make the best use of available program funds. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Loans are scheduled for repayment over periods up to 20 years depending on the type of security available. The interest rate for guaranteed loans is negotiated between the lender and borrower. The interest rate for direct loans is determined by the Secretary of Agriculture. Method of awarding/releasing assistance: lump sum.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

Not applicable.

Account Identification:

12-4213-0-3-351 - Guaranteed Loans Financing Account; 12-4212-0-3-351 - Direct Loans Financing Account; 12-1140-0-1-351.

Obligations:

(Direct Loans) FY 12 \$0; FY 13 est \$0; and FY 14 est \$0 - Actual Direct Conservation loans made in FY 2012 were Zero.
FY 2013 Estimated funding for Direct Conservation loans \$00- None Appropriated.
FY 2014 None requested in the President's Budget. (Guaranteed/Insured Loans) FY 12 \$0; FY 13 est \$150,000,000; and FY 14 est \$150,000,000 - Actual Guaranteed Conservation loans made in FY 2012 were Zero.
FY 2013 Estimated funding for Guaranteed Conservation loans \$150,000,000.- Appropriated
FY 2014 Estimated funding for Guaranteed Conservation loans \$150,000,000.

Range and Average of Financial Assistance:

Maximum indebtedness for direct loans, combined; farm ownership, conservation, soil and water, and recreation \$300,000. Maximum indebtedness for guaranteed loans combined: farm ownership, conservation, and soil and water loan indebtedness of \$1,302,000 (for FY 2012, amount adjusted annually for inflation).

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Parts 762 and 764.
FSA Handbooks 2-FLP and 3-FLP.
FSA Fact Sheets.
Program Aid "FSA Producer's Guide to Loan Programs".
FSA Brochure FSA-BR-01 Your Guide to FSA Farm Loans.
above information is available on FSA website at:www.fsa.usda.gov.

Regional or Local Office:

See Regional Agency Offices. Contact the appropriate FSA State Office listed

in Appendix IV of the Catalog.
(What catalog).

Headquarters Office:

Bob Bonnet USDA FSA DAFLP LMD
1400 Independence Avenue, SW
, Washington, District of Columbia 20250-0522 Email:
bob.bonnet@wdc.usda.gov Phone: (202) 720-1657 Fax: (202) 690-1117

Website Address:

http://www.fsa.usda.gov

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.101 HAWAII SUGAR DISASTER PROGRAM

Hawaii Sugar Disaster Program (HSDP)

FEDERAL AGENCY:

Farm Service Agency, Department of Agriculture

AUTHORIZATION:

American Recovery and Reinvestment Act (ARRA), Public Law 111-5.

OBJECTIVES:

Section 2 of P.L. provided a Lack of Access provision which authorized the Secretary of Agriculture to provide equitable relief to producers on a farm that suffered a production loss during the 2008 crop year.

TYPES OF ASSISTANCE:

Direct Payments with Unrestricted Use

USES AND USE RESTRICTIONS:

The equitable relief will be awarded to eligible producers who suffered a production loss due to a natural disaster during the 2008 crop year. The eligible producer must demonstrate that there was a lack of access to a policy or plan of insurance, and did not qualify for a written agreement because one or more farming practices differed from that of other farming practices of producers of the same crop in other regions of the United States.

Applicant Eligibility:

The assistance was awarded to the Hawaiian Commercial and Sugar Company (HC&S), a sugar producer in Hawaii that suffered a production loss due to drought that may qualify for assistance under the Federal Crop Insurance Act.

Beneficiary Eligibility:

HC&S was ineligible for crop insurance due to Hawaii's two-year sugarcane harvest cycle and the Non-Insured Crop Disaster Assistance Program because of their annual gross revenue exceeded the adjusted gross income limitation.

Credentials/Documentation:

HC&S provided documentation that they were ineligible for crop insurance. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Not Applicable

Award Procedure:

Lack of Access payment was awarded based upon the company's level of loss.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching requirements are not applicable to this program.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

No restrictions were placed on the time permitted to spend the money awarded.
Method of awarding/releasing assistance: lump sum.

Reports:

No reports are required.

Audits:

No audits are required for this program.

Records:

No Data Available.

Account Identification:

12-5591-0-2-351.

Obligations:

(Direct Payments for Specified Use) FY 12 \$0; FY 13 est \$0; and FY 14 est \$0

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Steve Peterson, USDA, Deputy Administrator for Farm Programs, Production, Emergencies, and Compliance Division, Disaster Assistance Branch, 14th and Independence Ave SW, Stop 0517, Washington, District of Columbia 20250
Email: Steve.Peterson@wdc.usda.gov Phone: (202) 720-5172 Fax: (202) 720-0051.

Website Address:

<http://www.fsa.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.102 EMERGENCY FOREST RESTORATION PROGRAM

(EFRP)

FEDERAL AGENCY:

Farm Service Agency, Department of Agriculture

AUTHORIZATION:

Food, Conservation, and Energy Act of 2008, Title VIII, Section 8203, Public Law 110-246, 122 Stat. 2051, 16 U.S.C 2201-2206; Consolidated and Further Continuing Appropriations Act, 2012 Division A, Title VII, Section 735, Public Law 112-55, 125 Stat.588.

, as amended by Consolidated and Further Continuing Appropriations Act, 2013 Division A, Title I, Section 741, Public Law 113-6, 127 Stat.232.

OBJECTIVES:

The object of EFRP is to make financial assistance available to eligible participants on eligible land for certain practices to restore nonindustrial private forest land that has been damaged by a natural disaster. The financial assistance will be cost share payments to assist an EFRP participant to establish practices required to address qualifying damage suffered in connection with a qualifying disaster.

TYPES OF ASSISTANCE:

Direct Payments with Unrestricted Use

USES AND USE RESTRICTIONS:

Subject to the availability of funds the Farm Service Agency (FSA) will provide cost share payments to owners of nonindustrial private forest land who carry out emergency measures to restore land damaged by a natural disaster on or after January 1, 2011, as determined by FSA.

Applicant Eligibility:

To be eligible to participate in EFRP, a person or legal entity must be an owner of nonindustrial private forest land affected by a natural disaster, and must be liable for or have the expense that is the subject of the financial assistance. The owner must be a person or legal entity (including Indian tribes) with full decision-making authority over the land, as determined by FSA, or with such waivers as may be needed from lenders or others as may be required, to undertake program commitments. Federal agencies and States, including all agencies and political subdivisions of a State, are ineligible for EFRP. For land to be eligible, it must be nonindustrial private forest land and must, as determined by FSA. The land must have existing tree cover or have had tree cover immediately before the natural disaster and be suitable for growing trees, have damage to natural resources caused by a natural disaster, which occurred on or after January 1, 2010, that, if not treated, would impair or endanger the natural resources on the land and would materially affect future use of the land. The land must be physically located in a county in which EFRP has been implemented and is ineligible for EFRP if FSA determines that the land is owned or controlled by the United States, or owned or controlled by States, including State agencies or political subdivisions of a State. A qualifying natural disaster means wildfires, hurricanes or excessive winds, drought, ice storms or blizzards, floods, or other naturally-occurring resource impacting events as determined by FSA. For EFRP, a natural disaster also includes insect or disease infestations as determined by FSA in consultation with other Federal and State agencies as appropriate.

Beneficiary Eligibility:

The owners of nonindustrial private forest land will receive the ultimate benefit.

Credentials/Documentation:

After completion of the approved practice, the participant must certify completion and request payment by the payment request deadline. FSA will provide the participant with a form or another manner to be used to request payment. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Participants who perform practices shall be responsible for obtaining the authorities, permits, rights, easements, or other approvals necessary to the performance and maintenance of the practices according to applicable laws and regulations. The EFRP participant shall be wholly responsible for any actions taken with respect to the project and shall, in addition, be responsible for returning and refunding any EFRP cost shares made, where the purpose of the project cannot be accomplished because of the applicants' lack of clearances or other problems.

Cost share assistance is dependent upon the availability of funds and the performance of the practice. An eligible applicant must certify and provide proof of completion of the practice. The eligible participant shall submit all

information to their local FSA county office.

The enrollment period for submitting EFRP cost-share requests will be accepted after the announced enrollment period.

Award Procedure:

FSA will establish the minimum qualifying cost of restoration, which may vary by State or region. Participants are not eligible to receive funding under EFRP for land on which FSA determines that the participant has or will receive funding for the same or similar expenses under:

- The Emergency Conservation Program
- The Wetland Reserve Program
- The Emergency Wetland Reserve Program
- The Emergency Watershed Protection Program.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

An on site inspection must be made before approval of any request for EFRP assistance.

The on site inspection may be waived by FSA, in its discretion only, where damage is so severe that an on site inspection is unnecessary as determined by FSA.

The time limits for submission of information shall be determined by the Deputy Administrator. The payment request deadline for each EFRP practice will be provided in the agreement after the application is approved. Time limits may be extended where failure to submit required information within the applicable time limits is due to reasons beyond the control of the participant.

Appeals:

Determinations may be appealed in accordance with the administrative appeal regulations at parts 11 and 780 of 7 CFR .

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Not applicable. Method of awarding/releasing assistance: lump sum.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

For the purposes of EFRP, no receipt, invoice, or other record is required to be retained by any agricultural producer as evidence tending to show performance of a practice needs to be retained by such producer more than two years following the close of the program year of the program.

Account Identification:

12-0171-0-1-453.

Obligations:

(Direct Payments with Unrestricted Use) FY 12 \$17,170,000; FY 13 est \$50,554,000; and FY 14 est \$0

Range and Average of Financial Assistance:

Not Applicable.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR 701 Provides the terms, conditions and requirements of EFRP.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

James Michaels 1400 Independence Ave. SW, Washington, District of Columbia 20250 Email: james.michaels@wdc.usda.gov Phone: 202-690-0794 Fax: 202-720-4619

Website Address:

http://www.fsa.gov

RELATED PROGRAMS:

10.054 Emergency Conservation Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.105 DISASTER RELIEF APPROPRIATIONS ACT, EMERGENCY CONSERVATION PROGRAM

Disaster Relief Appropriations Act, ECP

FEDERAL AGENCY:

Farm Service Agency, Department of Agriculture

AUTHORIZATION:

Agricultural Credit Act of 1978, Title IV, Public Law 95-334, 92 Stat. 420, 16 U.S.C 2201-2205, as amended by Disaster Relief Appropriations, Public Law 113-2.

OBJECTIVES:

To enable farmers to perform emergency conservation measures to control wind erosion on farmlands, to rehabilitate farmlands damaged by wind erosion, floods, hurricanes, or other natural disasters.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

Following a natural disaster, the county FSA committee determines, with concurrence from the State FSA committee, to make the program available in the county. Emergency cost- sharing is limited to new conservation problems created by natural disasters which, if not treated will impair or endanger the land, materially affect the productive capacity of the land, represent damage that is unusual in character and, except for wind erosion, is not the type that would recur frequently in the same area and will be so costly to rehabilitate that Federal assistance is or will be required to return the land to productive agricultural use. Financial assistance is available for farmland losses occurring as a result of Hurricane Sandy.

Applicant Eligibility:

Any agricultural producer who as owner, landlord, tenant, or sharecropper on a farm or ranch, including associated groups, and bears as part of the cost of an approved conservation practice affected by Hurricane Sandy is eligible to apply for cost-share conservation assistance.

Beneficiary Eligibility:

Any agricultural producer who as owner, landlord, tenant, or sharecropper on a farm or ranch, including associated groups, and bears as part of the cost of an approved conservation practice affected by Hurricane Sandy is eligible to apply for cost-share conservation assistance.

Credentials/Documentation:

Identification as an eligible person who has been affected by Hurricane Sandy and proof of contribution to the cost of performing the conservation practice. FSA encourages farmers and ranchers to record losses from Hurricane Sandy. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This

program is excluded from coverage under OMB Circular No. A-110. Eligible persons may submit an application on Form FSA-848, for cost sharing, at the county FSA office for the county in which the affected land is located.

Award Procedure:

The county FSA committee reviews, prioritizes, and may approve applications in whole or in part. Approvals cannot exceed the county allocation of Federal funds for that purpose.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. Sign-up deadlines are established by the local FSA county committee. Once applicants are approved for rehabilitation cost-share assistance, producers have up to six months to perform approved farmland rehabilitation practice and report completion.

Appeals:

Participants may appeal to county FSA committee, State FSA committee, or National Appeals Division (NAD) on any determination.

Renewals:

Certain approvals may be extended by the FSA county committee, when necessary, with proper justification.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Not Applicable. Method of awarding/releasing assistance: lump sum.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

Maintained in the county FSA office and Federal record centers for a specified number of years.

Account Identification:

12-3316-0-1-453.

Obligations:

(Direct Payments for Specified Use) FY 12 \$0; FY 13 est \$0; and FY 14 est \$7,500,000

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices. Farmers are advised to contact their local county FSA office after a natural disaster has occurred to determine whether the program is available in the county and to determine eligibility for emergency cost-share assistance. Consult the local telephone directory for location of the county FSA office. If no listing, get in touch with the appropriate State FSA office listed in the Farm Service Agency section of Appendix IV of the Catalog.

Headquarters Office:

Martin Bomar 1400 Independence Ave. SW, Washington, District of Columbia 20250 Email: Martin.Bomar@wdc.usda.gov Phone: 202-205-4537 Fax: 202-720-4619

Website Address:

<http://www.fsa.gov>

RELATED PROGRAMS:

10.054 Emergency Conservation Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.106 DISASTER RELIEF APPROPRIATIONS ACT, EMERGENCY FOREST RESTORATION PROGRAM

Disaster Relief Appropriations Act, EFRP

FEDERAL AGENCY:

Farm Service Agency, Department of Agriculture

AUTHORIZATION:

Food, Conservation, and Energy Act of 2008, Title II, Section 2106, Public Law 110-246, as amended by Disaster Relief Appropriations Act, Title X, Public Law 113-2.

OBJECTIVES:

To provide financial assistance to eligible participants on eligible land for certain practices to restore nonindustrial private forest land that has been damaged by a natural disaster. The financial assistance will be cost share payments to assist in EFRP participant to establish practices required to address qualifying damage suffered in connection with a qualifying disaster.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use; Direct Payments with Unrestricted Use

USES AND USE RESTRICTIONS:

Subject to the availability of funds the Farm Service Agency (FSA) will provide cost share payments to owners of nonindustrial private forest land who carry out emergency measures to restore land damaged by Hurricane Sandy.

Applicant Eligibility:

To be eligible to participate in EFRP, a person or legal entity must be an owner of nonindustrial private forest land affected by a natural disaster, and must be liable for or have the expense that is the subject of the financial assistance. The owner must be a person or legal entity (including Indian tribes) with full decision-making authority over the land, as determined by FSA, or with such waivers as may be needed from lenders or others as may be required, to undertake program commitments. Federal agencies and States, including all agencies and political subdivisions of a State, are ineligible for EFRP. For land to be eligible, it must be nonindustrial private forest land and must, as determined by FSA. The land must have existing tree cover or have had tree cover immediately before the natural disaster and be suitable for growing trees, have damage to natural resources caused by a natural disaster, which occurred on or after January 1, 2010, that, if not treated, would impair or endanger the natural resources on the land and would materially affect future use of the land. The land must be physically located in a county in which EFRP has been implemented and is ineligible for EFRP if FSA determines that the land is owned or controlled by the United States, or owned or controlled by States, including State agencies or political subdivisions of a State. A qualifying natural disaster means wildfires, hurricanes or excessive winds, drought, ice storms or blizzards, floods, or other naturally-occurring resource impacting events as determined by FSA. For EFRP, a natural disaster also includes insect or disease infestations as determined by FSA in consultation with other Federal and State agencies as appropriate.

Beneficiary Eligibility:

The owners of industrial private forest land will receive the ultimate benefit.

Credentials/Documentation:

After completion of the approved practice, the participant must certify completion and request payment by the payment request deadline. FSA will provide the participant with a form or another manner to be used to request payment. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Participants who perform practices shall be responsible for obtaining the authorities, permits, rights, easements, or other approvals necessary to the performance and maintenance of the practices according to applicable laws and regulations. The EFRP participant shall be wholly responsible for any actions taken with respect to the project and shall, in addition, be responsible for returning and refunding any EFRP cost shares made, where the purpose of the project cannot be accomplished because of the applicants' lack of clearances or other problems. Cost share assistance is dependent upon the availability of funds and the performance of the practice. An eligible applicant must certify and provide proof of completion of the practice. The eligible participant shall submit all information to their local FSA county office. The enrollment period for submitting EFRP cost-share requests will be accepted after the announced enrollment period.

Award Procedure:

FSA will establish the minimum qualifying cost of restoration, which may vary by State or region. Participants are not eligible to receive funding under EFRP for land on which FSA determines that the participant has or will receive funding for the same or similar expenses under:

- The Emergency Conservation Program
- The Wetland Reserve Program
- The Emergency Wetland Reserve Program
- The Emergency Watershed Protection Program.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

An on site inspection must be made before approval of any request for EFRP assistance.

The on site inspection may be waived by FSA, in its discretion only, where damage is so severe that an on site inspection is unnecessary as determined by FSA.

The time limits for submission of information shall be determined by the Deputy Administrator. The payment request deadline for each EFRP practice will be provided in the agreement after the application is approved. Time limits may be extended where failure to submit required information within the applicable time limits is due to reasons beyond the control of the participant.

Appeals:

From 90 to 120 days. Determinations may be appealed in accordance with the administrative appeal regulations at parts 11 and 780 of 7CFR.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Not applicable. Method of awarding/releasing assistance: lump sum.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

For the purposes of EFRP, no receipt, invoice, or other record is required to be retained by any agricultural producer as evidence tending to show performance of a practice needs to be retained by such producer more than two years following the close of the program year of the program.

Account Identification:

12-0171-0-1-453.

Obligations:

(Direct Payments with Unrestricted Use) FY 12 \$0; FY 13 est \$21,850,000; and FY 14 est \$0

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Martin Bomar 1400 Independence Ave. SW, Washington, District of Columbia 20250 Email: Martin.Bomar@wdc.usda.gov Phone: 202-205-4537 Fax: 202-720-4619

Website Address:

<http://www.fsa.gov>

RELATED PROGRAMS:

10.102 Emergency Forest Restoration Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.141 DAIRY PRODUCT PRICE SUPPORT PROGRAM

DPPSP formerly known as the Milk Price Support Program (MPSP)

FEDERAL AGENCY:

Farm Service Agency, Department of Agriculture

AUTHORIZATION:

The Food, Conservation, and Energy Act of 2008, Title 1, Part E, Section 1501, Public Law 110-246, 7 U.S.C 8771, as amended by American Taxpayer Relief Act of 2012, Public Law 112-240, 126 Stat. Stat 2313.

OBJECTIVES:

The purpose of DPPSP is to establish minimum purchase prices to support the price of nonfat dry milk (NDM), butter, and cheddar cheese through purchases of such products made from cows milk produced in the United States (U.S.). The Secretary of Agriculture (Secretary) may increase the purchase prices when it is considered to be appropriate. However to avoid excess inventory the minimum purchase prices can only be temporarily adjusted downward as outline in P.L. 110-246. P.L. 110-246 reauthorized MPSP as DPPSP beginning January 1, 2008 through December 31, 2012. PL 112-240 DPPSP reauthorized DPPSP through December 31, 2013.

TYPES OF ASSISTANCE:

Direct Payments with Unrestricted Use

USES AND USE RESTRICTIONS:

DPPSP provides a safety net for dairy producers. When butter, NDM or Cheddar Cheese is offered to the Commodity Credit Corporation (CCC), it will purchase the dairy products as long as the products meet CCC's specifications. CCC is authorized to donate food commodities acquired through price support programs to domestic and foreign feeding programs as required by law.

Applicant Eligibility:

A potential applicant is an eligible offeror who is authorized to enter into a purchase agreement with CCC. A eligible offeror is a person, firm, cooperation, or other legal entity who is the manufacturer of the dairy product offered or a marketing cooperative for the manufacturer.

The eligible product must not have been sold before to another party and the eligible offeror must be the manufacturer of the dairy product offered or a marketing cooperative for the manufacturer. An eligible product:

- 1) must be manufactured from dairy cow's milk produced in the U.S.;

- 2) purchased only from an eligible offeror;
- 3) must be USDA inspected;
- 4) block cheddar cheese must be U.S. Grade A or higher
- 5) barrel cheese must be U.S. Extra Grade;
- 6) butter must be U.S. Grade A or higher;
- 7) NDM must be U.S. Extra Grade
- 8) CCC may require other terms and conditions of purchase as specified.

Beneficiary Eligibility:

Agricultural producers and the general public will receive the ultimate benefits from the program because it provides direct assistance and allows CCC to purchase and deliver commodities to the domestic and foreign feeding distribution programs.

Credentials/Documentation:

Vendors will notify the Agricultural Marketing Service (AMS) to check the grade and perform an analysis of the commodities. The eligible offeror submits an offer form (KC-327 Dairy 7A) to the Kansas City Administrative Office (KCAO), Domestic Procurement Division (DPD), Dairy and Multi-Food Branch (DMB) either by fax, mail, or e-mail. DPD shall verify the vendor code and the warehouse availability for eligible products. If the offer is approved, DPD/DMB will prepare and enter contract transactions into the Web-Based Supply Chain Management (WBSCM) system. An acceptance wire is created and will be approved by the CCC Accepting Official. After approval, the acceptance wire is faxed to the applicable vendor. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Offers, modifications, withdrawals, and cancellations shall be submitted by:

- a) email with an electronic signature,
- b) Facsimile (FAX) to (816) 926-6381; or
- c) Express or regular mail, and/or hand delivered.

Please indicate in the subject line for email or fax "Offer under Purchase Announcement Dairy (applicable number) and the name of the commodity (butter, cheese, or NDM). If you are submitting by mail, please place the notation in the lower-left corner of the envelope.

A prospective offeror shall register in the Central Contractor Registration (CCR) at <http://www.ccr.gov>.

Award Procedure:

The date of the contract will be the date of an acceptance offer by CCC. The contract shall consist of the current version of the DPPSP, Purchase Announcement; an Offer; and CCC's acceptance of offer. The contract shall be forwarded to the Office of Budget and Finance (OBF), Financial Services Center, Funds and Commodity Management Office, Invoice Payment Group (Finance Office) in Kansas City. The Finance Office will review the Notice of Delivery (N/D) and other related product delivery information and forward the N/D to the vendor. The vendor will deliver the commodities in accordance to the N/D requirements and submit the invoice package to the Finance Office for payment. The completed invoice package shall include the grading certificate, bill of lading, and invoice or N/D. The Finance Office will enter the invoice into WBSCM. Vendor shall receive an electronic funds transfer (EFT) or paper check.

Deadlines:

Jan 01, 2008 to Dec 31, 2012 Not Applicable.

Range of Approval/Disapproval Time:

From 1 to 15 days. Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title 7, Chapter Agriculture, Part 1430.100, Subpart A-Dairy Product Price Support Program, Public Law 110-246. Not Applicable. Matching Requirements: CCC will offer to purchase products at the following prices for all regions of the United States:

- (1) cheddar cheese in blocks at not less than \$1.13 per pound; unless
 - (i) net removals of cheese for a period of 12 consecutive months exceed 200,000,000 pounds, but do not exceed 400,000,000 pounds, then the CCC cheddar cheese in blocks purchase price is not less than \$1.03 per pound, during the immediately following month, or
 - (ii) net removals of cheese for a period of 12 consecutive months exceed 400,000,000 pounds, then the CCC cheddar cheese in blocks purchase price is not less than \$0.93 per pound during the immediately following month;

- (2) cheddar cheese in barrels at the cheddar cheese block price, less \$0.03 per pound;

- (3) butter at not less than \$1.05 per pound; unless
 - (i) net removals of butter for a period of 12 consecutive months exceed 450,000,000 pounds, but do not exceed 650,000,000 pounds, then the CCC butter purchase price is not less than \$0.95 per pound during the immediately following month, or
 - (ii) net removals of butter for a period of 12 consecutive months exceed 650,000,000 pounds, then the CCC butter purchase price is not less than \$0.85 per pound during the immediately following month;

- (4) nonfat dry milk at \$0.80 per pound, unless
 - (i) net removals of nonfat dry milk for a period of 12 consecutive months exceed 600,000,000 pounds, but do not exceed 800,000,000 pounds, then the CCC nonfat dry milk purchase price is not less than \$0.75 per pound during the immediately following month, or,
 - (ii) net removals of nonfat dry milk for a period of 12 consecutive months exceed 800,000,000 pounds, then the CCC nonfat dry milk purchase price is not less than \$0.70 per pound during the immediately following month. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Duration of agreement and order. Method of awarding/releasing assistance: quarterly.

Reports:

No reports are required.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. CCC will randomly conduct domestic origin compliance reviews to determine if the product, containers, and packaging to CCC was produced and manufactured in the U.S. from materials produced and manufactured in the U.S. CCC may request the eligible to submit documentation to support compliance.

Records:

The eligible offer shall maintain records to verify that during the delivery period, at the point of packaging or, at the point of delivery to CCC, the product, containers, and packaging were in compliance with the requirements of the current DPPSP Purchase Announcement.

Account Identification:

12-4336-0-1-351.

Obligations:

(Sale, Exchange, or Donation of Property and Goods) FY 12 \$0; FY 13 est \$0; and FY 14 est \$0 - No Dairy purchases were made in FY 2012 and Fy 2013.

Range and Average of Financial Assistance:

No data available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Food, Conservation, and Energy Act of 2008, P.L. 110-246 7 CFR 1430

7 USC 8771

DPPSP Purchase Announcement Dairy 7 2010 and Subsequent Years
FSA Notices and Fact Sheets

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

William A March 1400 Independence Ave SW, Washington, District of
Columbia 20250 Email: William.March@wdc.usda.gov Phone: 202-720-4037

Website Address:

<http://www.fsa.usda.gov>

RELATED PROGRAMS:

10.080 Milk Income Loss Contract Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.153 MARKET NEWS

FEDERAL AGENCY:

Agricultural Marketing Service, Department of Agriculture

AUTHORIZATION:

Cotton Statistics and Estimates Act, 7 U.S.C. 471- 473, 473b, 475-476;
Tobacco Inspection Act, 7 U.S.C. 511h; Agricultural Marketing Act of 1946, 7
U.S.C. 1622k; Tobacco Statistics Act, 7 U.S.C. 501-508; Naval Stores Act, 7
U.S.C. 91-99; Cotton Futures Act, 7 U.S.C. 15b; Peanut Statistics Act, 7 U.S.C.
951-957; Food Security Act of 1985, as amended.

OBJECTIVES:

To provide timely and accurate information on prices, demand, movement,
volume, and quality on all major U.S. agricultural commodities.

TYPES OF ASSISTANCE:

DISSEMINATION OF TECHNICAL INFORMATION

USES AND USE RESTRICTIONS:

The information can be used by anyone as a basis for judging prevailing
commodity values. Market news information widely distributed through a
variety of electronic sources as well as fax and paper copies.

Applicant Eligibility:

Any public or private organization, or individual, including those located in the
U.S. Territories, may subscribe to existing market news reports or bulletins
pertaining to specific agricultural commodities.

Beneficiary Eligibility:

Anyone availing themselves of this service.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State
and local governments. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact
information is not required for this program. This program is excluded from
coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded
from coverage under OMB Circular No. A-110. Requests for Market News
should be made to the appropriate commodity division of the AMS field office
(see Appendix IV of the Catalog for listing of office locations).

Award Procedure:

None.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

As provided for in subscription. Method of awarding/releasing assistance:
quarterly.

Reports:

No reports are required.

Audits:

No audits are required for this program.

Records:

None.

Account Identification:

12-2500-0-1-352.

Obligations:

(Salaries) FY 12 \$31,959,000; FY 13 est \$33,151,000; and FY 14 est
\$33,170,000

Range and Average of Financial Assistance:

Not Applicable.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

MB-40, "The Federal- State Market News Services;" MB-41, "Market News
Service on Cotton;" MB-61, "The Market News Service on Fruits, Vegetables,
Ornamental and Specialty Crops;" MB-44, "The Market News Service on
Grain;" MB-63 "Market News Service on Dairy, MB-60 Market News Service
and Poultry Products;" MB-43, "Market News Service for Tobacco and Naval
Stores;" Annual Market Statistical Summaries are available for sale only
through the AMS commodity divisions.

Regional or Local Office:

See Regional Agency Offices. AMS field offices are listed in Appendix IV of
the Catalog.

Headquarters Office:

Rex Barnes 1400 Independence Ave SW , Washington, District of Columbia
20250 Phone: (202) 720-5115

Website Address:

<http://www.ams.usda.gov>.

RELATED PROGRAMS:

10.250 Agricultural and Rural Economic Research; 10.500 Cooperative
Extension Service; 10.700 National Agricultural Library; 10.950 Agricultural
Statistics Reports; 11.001 Census Bureau Data Products; 11.110 Manufacturing
and Services

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.155 MARKETING AGREEMENTS AND ORDERS

FEDERAL AGENCY:

Agricultural Marketing Service, Department of Agriculture

AUTHORIZATION:

Agricultural Marketing Agreement Act of 1937, as amended, 7 U.S.C. 601,
602, 608a-e, 612, 614, 624, 671-674; Omnibus Budget Reconciliation Act of
1987, Public Law 100-203, 7 U.S.C. 4501- 4513; Agriculture and Food Act of

1981, 7 U.S.C. 2242a.

OBJECTIVES:

Marketing Agreements and Orders are designed to stabilize market conditions and improve returns to producers through the establishment of minimum prices, regulating the quality and quantity of commodities sold through commercial channels, and providing market promotion.

TYPES OF ASSISTANCE:

ADVISORY SERVICES AND COUNSELING; PROVISION OF SPECIALIZED SERVICES

USES AND USE RESTRICTIONS:

The provisions of orders and agreements are designed specifically to meet the marketing and economic problems of the commodity or area covered. For dairy products, the orders establish orderly marketing conditions in the sale of milk by dairy farmers to handlers, whereas fruit, vegetable, nut, and grass seed, are established to regulate the quantity and quality of products sold in commercial channels.

Applicant Eligibility:

Marketing orders are issued by the Secretary of Agriculture only after a public hearing where milk, fruit and vegetable producers, marketers, and consumers testify, and after farmers vote approval through a referendum. Growers of certain fruits, vegetables, and specialty crops (like nuts, raisins, olives, and hops). Dairy farmers are the primary applicants.

Beneficiary Eligibility:

The beneficiaries are producers of milk, fruit and vegetable products.

Credentials/Documentation:

Evidence (usually hearings) required indicating that agreement or order will assist in the orderly marketing of a commodity. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Made in the form of a letter to the Secretary of Agriculture fully documenting the need for an agreement or order.

Award Procedure:

Decision to establish or not to establish an agreement and order is made by the Secretary of Agriculture after public hearings and referendums are conducted.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Subject to time required to hold public hearings, investigations and to conduct a referendum. Approximately 1 year after start of public hearings.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Duration of agreement and order. Method of awarding/releasing assistance: quarterly.

Reports:

Quarterly and yearly financial and statistical reports are to be made to the applicable AMS commodity division by producers or handlers as provided for by each agreement and order. Quarterly and yearly financial and statistical reports are to be made to the applicable AMS commodity division by producers

or handlers as provided for by each agreement and order. No progress reports are required. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. Financial and program audits pertaining to marketing agreements and order transactions are made periodically.

Records:

Financial and statistical records relating to the operation of the agreement and order are to be maintained for 3 years.

Account Identification:

12-5209-0-2-605.

Obligations:

(Salaries) FY 12 \$19,849,000; FY 13 est \$20,056,000; and FY 14 est \$20,181,000

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

AH-421, Compilation of Agricultural Marketing Agreement Act; PA947, Can a Marketing Order Help?; PA-1095, Marketing Agreements and Orders for Fruits and Vegetables; AMS-565, Sources of Milk for Federal Order Markets by State and County; AMS-559, Questions and Answers on Federal Milk Marketing Orders; MB-27, The Federal Milk Marketing Order Program; SB-625, Federal Milk Order Market Statistics, Annual Summary; SB-624, Dairy Market Statistics, Annual Summary; MB-63, Market News Service on Dairy Products; How to Buy Dairy Products, HG-201; How to Buy Cheese, HG-193; How to Buy Butter, HG-148; Dairy Products Grading Services, MB-69; Judging and Scoring Milk and Cheese, FB-2259.

Regional or Local Office:

None.

Headquarters Office:

Rex Barnes 1400 Independence Ave SW, Washington, District of Columbia 20250 Phone: (202) 720-5115

Website Address:

<http://www.ams.usda.gov>.

RELATED PROGRAMS:

10.051 Commodity Loans and Loan Deficiency Payments; 10.500 Cooperative Extension Service; 36.001 Fair Competition Counseling and Investigation of Complaints

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.156 FEDERAL-STATE MARKETING IMPROVEMENT PROGRAM

FEDERAL AGENCY:

Agricultural Marketing Service, Department of Agriculture

AUTHORIZATION:

Agricultural Marketing Act of 1946, Section 204b, 7 U.S.C. 1623(b).

OBJECTIVES:

To assist in exploring new market opportunities for U.S. food and agricultural products and to encourage research and innovation aimed at improving the efficiency and performance of the marketing system.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Proposals may deal with barriers, challenges or opportunities manifesting at any stage of the marketing chain including direct, wholesale, and retail. Proposals

may involve small, medium or large scale agricultural entities but should potentially benefit multiple producers. Proprietary proposals that benefit one business or individual will not be considered. Proposals that address issues of importance at the State, regional or national level are appropriate for FSMIP. FSMIP also seeks unique proposals on a smaller scale that may serve as pilot projects or case studies useful as a model for others. Of particular interest are proposals that reflect a collaborative approach between the States, academia, the farm sector and other appropriate entities and stakeholders.

Applicant Eligibility:

State Departments of Agriculture, State Agricultural Experiment Stations and other appropriate State agencies.

Beneficiary Eligibility:

Producers, processors, marketing agencies, and general public.

Credentials/Documentation:

A grant agreement is entered into between the administering Federal agency and the State agency that submits the application. OMB Circulars No. A-87, A-21, A-122, and 48 CFR Part31.2 (FAR) apply to this program. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Applicants must submit forms SF-424 and SF-424B, a narrative description of the proposal, a supplemental budget, budget narrative, letters from third parties who will contribute matching resources, and 1-3 letters of support from beneficiaries.

Award Procedure:

The requests are reviewed and approved or disapproved by the Agricultural Marketing Service in competition with other applications received. Grantees are notified of the amount awarded by email. Grant payments are made on a reimbursable basis.

Deadlines:

Feb 28, 2013 Mid-February.

Range of Approval/Disapproval Time:

> 180 Days. 4-5 months from the application deadline.

Appeals:

No formal appeal procedure.

Renewals:

> 180 Days. Awards are generally for two years. Additional time to complete the project may be requested.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: Percent: 50.%. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Payments are made to the States on an as needed, reimbursable basis over the grant period. Advance payments may be requested. Method of awarding/releasing assistance: quarterly.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

States must keep all records relating to the grant for 3 years.

Account Identification:

12-2501-0-1-352.

Obligations:

(Project Grants (for specified projects)) FY 12 \$1,198,000; FY 13 est \$1,234,690; and FY 14 est \$1,363,000

Range and Average of Financial Assistance:

\$21,000 to \$135,000. Average: \$55,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: In FY 2012, AMS awarded \$1.2 million to 22 State departments of agriculture in 18 States and the District of Columbia. Projects enabled awardees to research new opportunities, and spark innovation in the marketing, transportation, and distribution of U.S. agricultural products. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

A request for proposals is announced each year via Federal Register notice, www.grants.gov, and the program web site. Additional information about the Federal-State Marketing Improvement Program as well as links to final project reports are available at the program web site.

Regional or Local Office:

None.

Headquarters Office:

Janise Zygmunt, 1400 Independence Ave SW, Washington, District of Columbia 20250 Phone: (202) 720-5024.

Website Address:

<http://www.ams.usda.gov/FSMIP>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Project selection is based on several factors, including: how well the proposal meets marketing and research requirements, quality of proposal, and impact on project beneficiaries.

10.162 INSPECTION GRADING AND STANDARDIZATION

(Agricultural Fair Practices Act)

FEDERAL AGENCY:

Agricultural Marketing Service, Department of Agriculture

AUTHORIZATION:

Agricultural Marketing Act of 1946, 7 U.S.C. 1622a-J, 1-n; Cotton Statistics and Estimates Act, 7 U.S.C. 473d; Cotton Futures Act, 7 U.S.C. 15b; Cotton Standards Act, 7 U.S.C. 51-65; Naval Stores Act, 7 U.S.C. 91-99; Tobacco Inspection Act, 7 U.S.C. 511 i-q; Wool Standards Act, 7 U.S.C. 415b-d; Egg Products Inspection Act, Public Law 91-597, 21 U.S.C. 1031-1056; Dairy and Tobacco Adjustment Act of 1983, 7 U.S.C. 4501-4513; Tobacco Statistics Act, 7 U.S.C. 501-508; Food Security Act of 1985, as amended.

OBJECTIVES:

To develop and apply standards of quality and condition for agricultural commodities; to participate and assist in the development of international agricultural standards; and to conduct quarterly inspections of egg handlers and hatcheries.

TYPES OF ASSISTANCE:

PROVISION OF SPECIALIZED SERVICES

USES AND USE RESTRICTIONS:

USDA grades are used by the owners of agricultural commodities and by dealers in these commodities to Hatcheries and shell egg handlers, except for producer-packers with an annual production from 3,000 hens or less packing for the retail consumer are required to be checked quarterly to determine the disposition of certain undergrade eggs.

Applicant Eligibility:

Any owner of or dealer in agricultural commodities who (a) has a financial

interest in the commodity to be graded and (b) is located within the United States and its Territories. All hatcheries and shell egg handlers having an annual production from 3,000 or more hens who pack for the retail consumer and are located within the U.S. or its Territories.

Beneficiary Eligibility:

Buyers and sellers of agricultural commodities. Shell egg handlers having an annual production from 3,000 or more hens who pack for the retail consumer and are located in the U.S. or its Territories.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Request for grading of a commodity must be submitted to local AMS grading office in writing. Eligible hatcheries apply for registration by contacting: Chief, Poultry Grading Branch, Agricultural Marketing Service, Department of Agriculture, Washington, DC 20250.

Award Procedure:

Eligible hatcheries and shell egg handlers must complete registration forms.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

In cases in which applicants do not agree with the grade given, they may appeal for a review of the grade to the local AMS office.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Duration of agreements. See the following for information on how assistance is awarded/released: N/A.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

None.

Account Identification:

12-8015-0-7-352; 12-2500-0-1-352.

Obligations:

(Salaries) FY 12 \$153,217,000; FY 13 est \$152,057,000; and FY 14 est \$152,936,000

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR 26-32, 41-70, 160- 171, 205; AH-533, "USDA Standards for Food and Farm Products;" Marketing Bulletin No. 37, "The Story of Cotton;" "Annual Report on Tobacco Statistics, AH-566, The Classification of Cotton;" Misc.

Pub. No. 867, "Tobacco in the U.S.;" quarterly report-- "Tobacco Stocks Reports as of Jan. 1, April 1, July 1, and October 1;" MS-82, "Cotton Testing Service;" AMS-180 MB-41, "Market News Service on Cotton;" MB-43, 7 CFR 2851, 2852, 2853, 2855, 2856, 2858, 2870, 28171; AH-341, "USDA Standards for Food and Farm Products." AH-31, "Poultry Grading Manual." AH-75, "Egg Grading Manual." Egg and Egg Products Inspection Regulations (7 CFR Part 2859); POB-FL-33, Tobacco Market Review for Flue-cured; POB-LA-34, Tobacco Market Review for Burley; POB-LA-33, Tobacco Market Review for Fire and Dark Air.

Regional or Local Office:

See Regional Agency Offices. Local Agricultural Marketing Service inspection, grading, and classing offices are listed in Appendix IV of the Catalog.

Headquarters Office:

Rex Barnes 1400 Independence Ave SW, Washington, District of Columbia 20250 Phone: (202) 720-5115

Website Address:

<http://www.ams.usda.gov>.

RELATED PROGRAMS:

10.500 Cooperative Extension Service

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.163 MARKET PROTECTION AND PROMOTION

FEDERAL AGENCY:

Agricultural Marketing Service, Department of Agriculture

AUTHORIZATION:

Agricultural Marketing Act of 1946, 7 U.S.C. 1621-1627, 7 U.S.C. 2101-2119; Egg Research and Consumer Information Act, 7 U.S.C. 2701-2718; Export Apple and Pear Act, 7 U.S.C. 581-590; Export Grape and Plum Act, 7 U.S.C. 591-599; Federal Seed Act, 7 U.S.C. 1551-1611; National Wool Act of 1954, as amended, 7 U.S.C. 1787; Plant Variety Protection Act, 7 U.S.C. 2321-2331, 2351-2357, 2371-2372, 2401- 2404, 2421-2427, 2441-2443, 2461-2463, 2481-2486, 2501-2504, 2531-2532, 2541-2545, 2561-2569, 2581-2583; 7 U.S.C. 4301-4319; Cotton Research and Promotion Act, 7 U.S.C. 2101-2118; Dairy and Tobacco Adjustment Act of 1983, 7 U.S.C. 5111; Agricultural Fair Practices Act, 7 U.S.C. 2301-2306; Capper Volstead Act, 7 U.S.C. 291-292; Potato Research and Promotion Act, 7 U.S.C. 2611-2627; Beef Promotion and Research Act of 1985, 7 U.S.C. 2901-2911; Pork Promotion, Research, and Consumer Information Act of 1985, 7 U.S.C. 1612-1631; Dairy Research and Promotion Act, 7 U.S.C. 4501-4513, 4532; Watermelon Research and Promotion Act, 7 U.S.C. 4901-4916; Honey Research Promotion and Consumer Information Act, 7 U.S.C. 4601-4612; Soybean Promotion, Research and Consumer Information Act of 1990, 7 U.S.C. 6301-6311; Mushroom Promotion, Research and Consumer Information Act of 1990, 7 U.S.C. 6101- 6112; Fluid Milk Promotion Act of 1990, 7 U.S.C. 6401-6417; Organic Foods Production Act of 1990, 7 U.S.C. 6501-6522; Fresh Cut Flowers and Fresh Cut Greens Promotion and Information Act of 1993, 7 U.S.C. 6801- 6814; Popcorn Promotion Research, and Consumer Information Act, 7 U.S.C. 7481-7491; National Kiwifruit Research, Promotion, and Consumer Information Act, 7 U.S.C. 7461-7473.

OBJECTIVES:

The Federal Seed Program prevents mislabeled seed from moving in interstate commerce by providing for random sampling of seeds to insure accurate labeling of seed characteristics. The Plant Variety Protection Program offers legal protection to developers of new varieties of plants which reproduce by providing the equivalent of a patent to the plant's developer. The Research and Promotion Programs provide for the collection of an assessment for each commodity covered by statutes to enable the commodity industries to carry out research and promotion activities. It is the responsibility of the Agricultural Marketing Service to assure responsible use of these assessments in meeting the research and promotion objective. The Dairy and Tobacco Adjustment Act authorized the establishment of a National dairy promotion research and

nutrition education program. To eliminate deceptive, unfair and fraudulent trade practices in the processing and marketing of food and agricultural products; provide assistance to those striving to achieve this objective. The Pesticide Data Program works with participating States to collect and analyze data on pesticide use and residue levels for selected commodities in the nation's food supply. This program provides statistically based data to Federal agencies for use in making policy decisions for regulatory and educational purposes.

TYPES OF ASSISTANCE:

ADVISORY SERVICES AND COUNSELING; PROVISION OF SPECIALIZED SERVICES; TRAINING

USES AND USE RESTRICTIONS:

Assistance provided to assure fair and open marketing distribution system for agricultural products.

Applicant Eligibility:

Any State government, public and private organization and institution, business and industry, or individual may apply for technical assistance or service. State, trade associations, and universities may be eligible for cooperative agreements.

Beneficiary Eligibility:

Any State government, public and private organization and institution, business and industry, or individual may apply for technical assistance or service.

Credentials/Documentation:

As provided for in applicable Acts as referred to in the Authorization Section above. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Procedures vary as provided for in applicable Acts. Contact appropriate area offices as listed in Appendix IV of the Catalog.

Award Procedure:

None.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

As provided for in applicable Acts.

Renewals:

As provided for in applicable Acts.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

As provided in agreements. See the following for information on how assistance is awarded/released: As provided for in agreements.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

As provided for in applicable Acts.

Account Identification:

12-2500-0-1-352.

Obligations:

(Salaries) FY 12 \$35,752,000; FY 13 est \$36,087,000; and FY 14 est

\$31,843,000

Range and Average of Financial Assistance:

Not Applicable.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

PA-655 "Export Fruit Acts-Questions and Answers," AMS-592, Facts About Naming and Labeling Varieties of Seed," "The Plant Variety Protection Act," requirements under the Federal Seed Act for Labeling treated Seed," 7 CFR 33-35, 101- 151,201-202; PA-1005, "Farmers Rights Under the Agricultural Fair Practices Act."

Regional or Local Office:

See Regional Agency Offices. Regional and local offices are listed in Appendix IV of the Catalog.

Headquarters Office:

Rex Barnes 1400 Independence Ave SW, Washington, District of Columbia 20250 Phone: (202) 720-5115

Website Address:

<http://www.ams.usda.gov>.

RELATED PROGRAMS:

10.155 Marketing Agreements and Orders; 36.001 Fair Competition Counseling and Investigation of Complaints

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.164 WHOLESALE FARMERS AND ALTERNATIVE MARKET DEVELOPMENT

FEDERAL AGENCY:

Agricultural Marketing Service, Department of Agriculture

AUTHORIZATION:

Agricultural Marketing Act of 1946.

OBJECTIVES:

To provide technical assistance to States and municipalities interested in creating or upgrading markets and marketing facilities.

TYPES OF ASSISTANCE:

ADVISORY SERVICES AND COUNSELING; TRAINING

USES AND USE RESTRICTIONS:

Assistance provided in cooperation with other government agencies and private industry to reduce marketing costs.

Applicant Eligibility:

Other government agencies and private industry. State, trade associations, universities, and other nonprofit organizations are eligible to apply for cooperative agreements.

Beneficiary Eligibility:

Producers, processors, marketing agencies, and general public.

Credentials/Documentation:

Cost will be determined in accordance with OMB Circular No. A-87 for State and local governments, or OMB Circular No. A-21 for Educational Institutions. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Contact Deputy Administrator, Transportation and Marketing Programs, Agricultural Marketing Service, USDA. The standard application forms as furnished by the Federal agency must be used for this program.

Award Procedure:

As provided for in the Act.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

As provided for in the Act.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

As provided for in agreements. Method of awarding/releasing assistance: quarterly.

Reports:

Not Applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

As provided for in the Agreement.

Account Identification:

12-2500-0-1-352.

Obligations:

(Salaries) FY 12 \$2,563,000; FY 13 est \$3,624,000; and FY 14 est \$7,161,000

Range and Average of Financial Assistance:

Not Applicable.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: In 2012, produced numerous direct marketing studies and reports, provided architectural plans and design assistance, provided direct site assessment services, and participated in 26 regional and national conferences, webinars, training workshops, and conference calls to share information with more than 2,000 small and mid-sized enterprises and individuals on opportunities to enhance their marketing strategies. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Arthur Neal 1400 Independence Ave SW, Washington, District of Columbia 20250 Phone: (202) 690-1300

Website Address:

<http://www.ams.usda.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.165 PERISHABLE AGRICULTURAL COMMODITIES ACT

FEDERAL AGENCY:

Agricultural Marketing Service, Department of Agriculture

AUTHORIZATION:

Perishable Agricultural Commodities Act of 1930, as amended, 7 U.S.C. 499a-4995; Produce Agency Act, 7 U.S.C. 491-497.

OBJECTIVES:

To reduce unfair and fraudulent practices in the marketing of perishable agricultural commodities in interstate and foreign commerce. To prevent the destruction or dumping, without good and sufficient cause of farm produce received in interstate commerce by commission merchants and others and to require them truly and correctly to account for all farm produce received by them.

TYPES OF ASSISTANCE:

INVESTIGATION OF COMPLAINTS

USES AND USE RESTRICTIONS:

Assistance provided to assure a fair and open marketing distribution system for agricultural products. No specific restrictions.

Applicant Eligibility:

Business and industry or individuals may apply for a PACA license.

Beneficiary Eligibility:

Business and industry or individuals may apply for a PACA license.

Credentials/Documentation:

As provided for in the Perishable Agricultural Commodities Act, 1930 as amended, 7 U.S.C. 499a-4995; Produce Agency Act, 7 U.S.C. 491-497. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Contact appropriate area offices listed in Appendix IV of the Catalog.

Award Procedure:

None.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

As provided for in applicable Acts.

Renewals:

As provided for in applicable Acts.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

N/A. See the following for information on how assistance is awarded/released: As provided for in applicable Acts.

Reports:

Not Applicable.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. As provided for in applicable Acts.

Records:

As provided for in applicable Acts.

Account Identification:

12-5070-0-2-352.

Obligations:

(Salaries) FY 12 \$10,243,000; FY 13 est \$10,778,000; and FY 14 est \$10,897,000

Range and Average of Financial Assistance:

Not Applicable.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Perishable Agricultural Commodities Act of 1930, and Regulations (other than rules of practice).

Regional or Local Office:

See Regional Agency Offices. Regional and local offices listed in Appendix IV of the Catalog.

Headquarters Office:

Rex Barnes 1400 Independence Ave SW, Washington, District of Columbia 20250 Phone: (202) 720-5115

Website Address:

<http://www.ams.usda.gov>.

RELATED PROGRAMS:

36.001 Fair Competition Counseling and Investigation of Complaints

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.167 TRANSPORTATION SERVICES**FEDERAL AGENCY:**

Agricultural Marketing Service, Department of Agriculture

AUTHORIZATION:

Agricultural Adjustment Act of 1938, 7 U.S.C 1281 et seq.; Agricultural Marketing Act of 1946, 7 U.S.C 1621; International Carriage of Perishable Foodstuff Act of 1982; Cooperative Marketing Act of 1926, 7 U.S.C 291-292; Rural Development Act of 1972, 7 U.S.C 2662-2663; Agricultural Trade and Assistance Act of 1954, 7 U.S.C 1427,1431,1691.

OBJECTIVES:

The Transportation Services program develops and promotes efficient agriculture transportation systems to help improve farm income, expand exports and meet the needs of rural America. The program provides technical and administrative direction, coordination, and leadership in the development and execution of agricultural transportation policies. Transportation Services provides a basis for Federal-State decision-makers in regulatory, policy and legislative matters in order to assure the transportation needs of rural communities and agriculture.

TYPES OF ASSISTANCE:

ADVISORY SERVICES AND COUNSELING; TRAINING

USES AND USE RESTRICTIONS:

Assistance provided where it is determined that there is a significant regional or policy issue with potentially broad implications.

Applicant Eligibility:

Any State government, public and private organization and institution, business and industry, or individual may apply for technical assistance or service. State, trade associations, universities, and nonprofit organizations may be eligible for cooperative agreements.

Beneficiary Eligibility:

Producers, processors, and general public.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. No formal application procedure specified; informal correspondence or personal contact is permissible. Contact Deputy Administrator, Transportation and Marketing Programs, Agricultural Marketing Service, USDA.

Award Procedure:

None.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. This program has no matching requirements. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

As provided for in agreements. See the following for information on how assistance is awarded/released: As provided for in agreements.

Reports:

No reports are required.

Audits:

No audits are required for this program.

Records:

None.

Account Identification:

12-2500-0-1-352.

Obligations:

(Salaries) FY 12 \$2,526,000; FY 13 est \$2,733,000; and FY 14 est \$2,910,000

Range and Average of Financial Assistance:

Not Applicable.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Arthur Neal 1400 Independence Ave SW, Washington, District of Columbia 20250 Email: Arthur.Neal@ams.usda.gov Phone: (202) 690-1300

Website Address:

<http://www.ams.usda.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.168 FARMERS' MARKET PROMOTION PROGRAM**FEDERAL AGENCY:**

Agricultural Marketing Service, Department of Agriculture

AUTHORIZATION:

Section 5 of the Farmer-to-Consumer Direct Marketing Act of 1976, Public Law 94-463, as amended by Section 10605 of the Farm Security and Rural Investment Act of 2002, Public Law 107-171.

OBJECTIVES:

To increase domestic consumption of agricultural commodities assisting in the improvement and expansion of domestic farmers markets, roadside stands, community-supported agriculture programs, and other direct producer-to-consumer market opportunities; and develop, or aid in the development of, new farmers markets, roadside stands, community-supported agriculture programs, and other direct producer-to-consumer infrastructures.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Projects must improve and expand, or assist in the improvement and expansion of, domestic farmers markets, roadside stands, community-supported agriculture programs, and other direct producer-to-consumer market opportunities through innovative approaches to market operations and management, improving access to relevant marketing and financial information, and consumer-based education and market access. Eligible entities must apply for FMPP funds on behalf of direct marketing operations that include two or more farmers/farm vendors who produce and sell their own products through a common distribution channel. Use of funds are based on documented needs, excluding, however, routine operational expenses such as management salaries or other salaries associated with normal operation of farm markets/marketing entities, utility bills, and insurance premiums. FMPP grant funds cannot be used to pay for acquisition, repair, rehabilitation, or construction of a building or facility; acquisition of land; political or lobbying activities; or any activities prohibited by 7 CFR parts 3015 and 3019. Additional detail and justification must be provided for any purchase that is expected to exceed \$5,000.

Applicant Eligibility:

Agricultural cooperatives, local governments, nonprofit corporations, public benefit corporations, economic development corporations, regional farmers market authorities, and Tribal governments. Projects must be located within the 50 States or the District of Columbia.

Beneficiary Eligibility:

Direct marketing operations that include two or more farm vendors who produce and sell their own products through a common marketing channel, and consumers.

Credentials/Documentation:

Applicants must provide documentation as to how they meet the definition of an eligible entity. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Applicants must submit forms SF-424, SF-424A, and SF-424B, an eligibility statement, a narrative description of the proposal including project title,

executive summary, goals, background, workplan and resource requirements, and expected results, a supplemental budget summary, and any supporting documents such as letters of support.

Award Procedure:

Applications are reviewed and approved or disapproved by the Agricultural Marketing Service in competition with other applications received. Grantees are notified of the amount awarded by allotment letter. Grant payments are made on a reimbursable basis.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

120 to 180 days from the application deadline.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Projects are not to exceed 18 months. Grant payments are made on an as-needed basis over the grant period. Advance payments may be requested. See the following for information on how assistance is awarded/released: As provided for in agreements.

Reports:

No program reports are required. As provided for in agreements. Progress reports are required at the midpoint of projects approved for one year and at 6-month intervals for projects of longer duration. A final report of results and accomplishments and form SF 269A must be submitted no later than 90 days after the grant ends. As provided for in agreements. As provided for in agreements.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees and sub-grantees must keep all records relating to the grant for 3 years from the date the SF-269A is submitted to the Agricultural Marketing Service.

Account Identification:

12-2501-0-1-352.

Obligations:

(Project Grants) FY 12 \$10,000,000; FY 13 est \$0; and FY 14 est \$0

Range and Average of Financial Assistance:

The maximum grant is \$75,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: In 2012, provided grants to organizations in 39 States, the District of Columbia, and Puerto Rico for initiatives that bolster the connection between agricultural producers and consumers, improve access to healthy food, and strengthen local economies. Fiscal Year 2013: No Current Data Available
Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Guidelines for the Farmer Market Promotion Program are posted at <http://www.ams.usda.gov/tmd/MSB/index.htm> or may be obtained from the Farmers Market Promotion Program contact.

Regional or Local Office:

None.

Headquarters Office:

Errol Bragg, 1400 Independence Ave SW, Washington, District of Columbia
20250 Phone: (202) 720-8317.

Website Address:

<http://www.ams.usda.gov/tmd/MSB/index.ht>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications are evaluated on the following criteria: need for the project, direct benefit to farmers/vendors, project innovation, degree of collaboration/partnerships and farmer/producer participation, quantitative evaluation and measurement of the project's impact, long-term project sustainability, transferability of project outcomes, and reasonableness of the budget.

10.170 SPECIALTY CROP BLOCK GRANT PROGRAM - FARM BILL

FEDERAL AGENCY:

Agricultural Marketing Service, Department of Agriculture

AUTHORIZATION:

Section 701 of the American Taxpayer Relief Act of 2012 extends Section 10109 of the Food, Conservation, and Energy Act of 2008, Public Law 110-246 (The Farm Bill) for one year until September 30, 2013.

OBJECTIVES:

To solely enhance the competitiveness of specialty crops defined as fruits and vegetables, dried fruit, tree nuts, horticulture, and nursery crops (including floriculture).

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Projects must solely enhance the competitiveness of specialty crops.

Applicant Eligibility:

The State department of agriculture, agency, commission, or department of a State government responsible for agriculture within any of the 50 States, the District of Columbia, the Commonwealth of Puerto Rico, Guam, American Samoa, the U.S. Virgin Islands, and the Commonwealth of the Northern Mariana Islands are eligible to receive grants under this program.

Beneficiary Eligibility:

State department of agriculture, agency, commission, or department of a State government responsible for agriculture within the State.

Credentials/Documentation:

A grant agreement is entered into between the Agricultural Marketing Service and the State department of agriculture that submits the application. 2 CFR Part 225 applies to this program. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. 7 CFR Parts 3016 and 3019, and 2 CFR Part 215 apply to this program. The State department of agriculture submits SF-424 and SF-424B, and a State plan identifying the lead agency charged with the responsibility of carrying out the plan and indicating how the grant funds will be utilized solely to enhance the competitiveness of specialty crops. In developing their plans, States are encouraged to take into consideration the views of beginners and socially disadvantaged farmers and ranchers, and take steps to perform outreach to these groups. The States should develop a transparent process of receiving and considering public comment.

State departments of agriculture are encouraged to develop their State plans through a competitive process in order to ensure maximum public input and benefit. States are encouraged to submit grant plans that include multi-State and regional project proposals.

Award Procedure:

Applications are reviewed by the Agricultural Marketing Service. Grantees are notified of the amount awarded by a notice of award. Grant payments are made by electronic funds transfer.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

A State department of agriculture eligible applicant should contact the headquarters office for application deadlines. Other applicants should contact their local State department of agriculture office. State contacts can be found at www.ams.usda.gov/scbgp.

Appeals:

No formal appeal procedure.

Renewals:

Awards are for 3 calendar years. Additional time to complete the project may be requested.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Funds are advanced or reimbursed. Applicants have up to 3 calendar years to use the funds. See www.ams.usda.gov/scbgp for grant agreement award terms and conditions to obtain information on how assistance is awarded/released. See the following for information on how assistance is awarded/released: As provided for in agreements.

Reports:

No program reports are required. Cash reports are required as provided for in agreements. Annual performance reports are required. A final performance report and a final financial report are required within 90 days after the expiration date of the grant period. The SF-270 reports on what was requested and expended previously. The State departments of agriculture are required to monitor their sub-awardees' projects.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. The State is required to conduct an audit of the expenditures of specialty crop block grant funds. Not later than 30 days after completion of the audit, the State shall submit a copy of the audit results to the Agricultural Marketing Service. States should also refer to 7 CFR Part 3052, Audits of States, Local Governments, and Non-Profit Organizations, for further information on audit requirements.

Records:

The State shall retain financial and other records relating to the funds and these programs for a period of three years after the final Federal Financial Report (SF-425) is received by the Agricultural Marketing Service or until final resolution of any audit findings or litigation claims relating to this program.

Account Identification:

12-2501-0-1-352.

Obligations:

(Formula Grants) FY 12 \$55,000,000; FY 13 est \$52,195,000; and FY 14 est \$0 - This program is funded through FY 2013 by Section 701 of the American Taxpayer Relief Act of 2012.

Range and Average of Financial Assistance:

As provided for in applicable statutes.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Trista Etzig 1400 Independence Ave. SW, Rm. 2077, Stop 0235, Washington, District of Columbia 20250 Email: trista.etzig@ams.usda.gov Phone: 202-690-4942

Website Address:

<http://www.ams.usda.gov/scbgp>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.171 ORGANIC CERTIFICATION COST SHARE PROGRAMS

(AMA, NOCCSP)

FEDERAL AGENCY:

Agricultural Marketing Service, Department of Agriculture

AUTHORIZATION:

The National Cost Share Program is authorized under 7 U.S.C. 6523, as amended by section 10301 of the Food, Conservation and Energy Act of 2008., Title 7, Part 94, Section 6523, 7 U.S.C 6523; The Agricultural Management Assistance Cost Share Program is authorized under Section 1524 of the Federal Crop Insurance Act (FCIA), as amended., Title 7, Part 36, Section 1501-1524, 7 U.S.C 1501-1524.

OBJECTIVES:

The objective of this program is to provide financial assistance to certified organic producers and handlers.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use (Cooperative Agreements)

USES AND USE RESTRICTIONS:

The Organic Certification Cost Share Programs reimburse eligible producers and handlers for a portion of the costs of organic certification. Applicants may receive reimbursements of up to 75% of the costs of certification, with an annual maximum of \$750.

State agencies (typically Departments of Agriculture) work with the USDA to administer the program. After entering into a cooperative agreement, the state agencies process applications for cost share funds from certified organic producers and handlers, and the USDA reimburses them. Applicants typically submit a one-page application form, along with proof of certification and an itemized invoice, to their States department of agriculture. Funds may only be used to provide reimbursements to certified organic producers and handlers. A 10% indirect cost recovery is allowed.

Applicant Eligibility:

Not Applicable.

Beneficiary Eligibility:

See <http://www.ams.usda.gov/NOPCostSharing> for more information.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

The USDA will coordinate with State governments to determine budgetary

allocations. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. The submission of a Standard Form 424 (Application for Federal Assistance) through Grants.Gov and the signing of a cooperative agreement with the USDA Agricultural Marketing Service is required.

Award Procedure:

Allocations are awarded to State Departments of Agriculture or their equivalents based on the number of certified organic operations in the State, as well as the history of participation in the cost share program by certified organic operations in that State.

Deadlines:

Dec 14, 2012

Range of Approval/Disapproval Time:

From 1 to 15 days.

Appeals:

Not Applicable.

Renewals:

Cooperative agreements last only one fiscal year in duration. Pending the availability of funds, States may sign a new cooperative agreement for the ensuing fiscal year.

Formula and Matching Requirements:

Statutory Formula: Title 7, Chapter 94, Part 6523.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Assistance is available for one federal fiscal year. Participating States must disburse funds and finalize reports within 90 days after the end of the federal fiscal year. See the following for information on how assistance is awarded/released: Funds are disbursed upon request, utilizing Standard Form 270, "Request for Advance or Reimbursement."

Reports:

A final narrative report is required, which is to be no more than 2 pages. The report should cover:

1. Description of the outreach activities carried out by the State and/or subrecipients, including the methods used to identify potential applicants, means of contact with potential applicants, and outreach materials for distribution, i.e. publications, announcements press releases, etc.
2. Description of problems or obstacles related to reimbursement, program administration, outreach, etc. during the reporting period.
3. Explanation for any denial of requests for reimbursement. No cash reports are required. No progress reports are required. Semi-annual and final reports are required, utilizing Standard Form 425, Federal Financial Report. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

The program requires record of applicant by name, applicants' certification expenses, and the reimbursement paid to the applicants. In accordance with 7 CFR 3016.42, grant recipients should retain all records relating to the grant for a period of three years after the final Federal Financial Report been submitted to the Federal Agency, or until final resolution of any audit finding or litigation, whichever is later. Electronic records retention is acceptable.

Account Identification:

74-7409-0-0-471.

Obligations:

(Formula Grants (Cooperative Agreements)) FY 12 \$6,809,783; FY 13 est \$1,424,000; and FY 14 est \$1,500,000

Range and Average of Financial Assistance:

Awards range from \$5,000 - \$1,050,000 annually.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Over 9,000 certified organic operations received reimbursements during 2012. Fourteen of the 16 eligible States participated in the AMA Organic Cost Share program, and 48 States participated in the National Organic Cost Share Program. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations may be viewed at <http://www.ams.usda.gov/NOPCostShareLegislation>. Guidelines may be viewed at <http://www.ams.usda.gov/NOPCostSharing>.

Regional or Local Office:

None.

Headquarters Office:

Rita Meade 1400 Independence Ave., SW, Washington, District of Columbia 20250 Phone: 202-720-3252

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.200 GRANTS FOR AGRICULTURAL RESEARCH, SPECIAL RESEARCH GRANTS

Special Research Grants (SRGP)

FEDERAL AGENCY:

National Institute of Food and Agriculture, Department of Agriculture

AUTHORIZATION:

Section 2 (c), Public Law 89-106, 7 U.S.C. 450i(c), as amended.

OBJECTIVES:

To carry out research, to facilitate or expand promising breakthroughs in areas of the food and agricultural sciences of importance to the nation and to facilitate or expand on-going State-Federal food and agricultural research programs.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grant funds must be used for allowable costs necessary to conduct approved fundamental and applied research, extension and education objectives to address food and agricultural sciences. Awards are generally limited to high priority problems of a regional or national scope. NIFA has determined that grant funds awarded under this authority may not be used for the renovation or refurbishment of research, education, or extension space; the purchase or installation of fixed equipment in such space; or the planning, repair, rehabilitation, acquisition, or construction of buildings or facilities. Funds may not be used for any purposes other than those approved in the grant award documents.

Funds shall not be used for tuition remission.

Indirect Costs are generally not allowable for this program.

Generally, there are no matching requirements associated with Special Research Grant programs and matching resources will not be factored into the review process as evaluation criteria.

Please refer to RFA, Part IV.D. Applications Funding Restrictions for specific details.

Exceptions to the general rules, as related to Indirect Cost and matching requirements, are indicated below, as deemed appropriate and necessary.

The following programs are EXCEPTIONS to the general rules:

- (1) Critical Agricultural Materials (Pub. L. 95-592)
- (2) Federal Administration - Research
- (3) Pest Management Alternatives (aka PMAP)
- (4) Supplemental and Alternative Crops [7 U.S.C. 3319d (c)].
- (5) Policy Research Centers (Section 1419A of 7 U.S.C. 3155) and
- (6) Rangeland Research

For the above-referenced EXCEPTIONS to the general rule, [i.e. #'s (1) thru (5)], the following provisions are applicable:

Section 720 of the Consolidated and Further Continuing Appropriations Act, 2012 (Pub.L. No. 112-55) limits indirect costs to 30 percent of the total Federal funds provided under each award. Therefore, when preparing budgets, applicants should limit their requests for recovery of indirect costs to the lesser of their institutions official negotiated indirect cost rate or the equivalent of 30 percent of total Federal funds awarded. Therefore, when preparing budgets, applicants should limit their requests for recovery of indirect costs to the lesser of their institutions official negotiated indirect cost rate or the equivalent of 30 percent of total Federal funds awarded.

Special Note on Indirect Costs as in-kind matching contributions:

Indirect costs may be claimed under the Federal portion of the award budget or, alternatively, indirect costs may be claimed as a matching contribution (if no indirect costs are requested under the Federal portion of the award budget). However, unless explicitly authorized in the RFA, indirect costs may not be claimed on both the Federal portion of the award budget and as a matching contribution, unless the total claimed on both the Federal portion of the award budget and as a matching contribution does not exceed the maximum allowed indirect costs or the institutions negotiated indirect cost rate, whichever is less. An awardee may split the allocation between the Federal and non-Federal portions of the budget only if the total amount of indirect costs charged to the project does not exceed the maximum allowed indirect costs or the institutions negotiated indirect cost rate, whichever is less. For example, if an awardees' indirect costs are capped at 30 percent pursuant to FY 2012 appropriated funds, Section 720 of the Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2012 (Division A of Pub. L. 112-55), the awardee may request 15 percent of the indirect costs on both the Federal portion of the award and as a matching contribution. Or, the awardee may request any similar percentage that, when combined, does not exceed the maximum indirect cost rate of 30 percent.

Exception # 6:

For the Rangeland Research program the following provisions are applicable: Per 7 CFR 3401.5, Indirect Cost is allowable if the award is made to a Federal lab.

Pursuant to Section 1480 of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 as amended [7 USC 3333(b)(1)], applicants are required to provide 50 percent matching funds from non-federal sources for all proposed Federal funds sought in the application. Non-federal matching contributions, such as cash and third party in kind, are accepted under this program as qualified by 7 USC 3015 and 7 USC 3019. Foregone indirect costs cannot be used as part of the required match. Fully discretionary.

Applicant Eligibility:

Special Research Grants: State agricultural experiment stations, all colleges and universities, other research institutions and organizations, Federal agencies, private organizations or corporations and individuals having a demonstrable capacity to conduct research activities to facilitate or expand promising breakthroughs in areas of the food and agricultural sciences of importance to the United States.

Beneficiary Eligibility:

For Special Research Grants: State agricultural experiment stations, all colleges and universities, other research institutions and organizations, Federal agencies, private organizations or corporations and individuals having a demonstrable capacity to conduct research activities to facilitate or expand promising breakthroughs in areas of the food and agricultural sciences of importance to the United States.

Credentials/Documentation:

Proposals must be signed electronically by an official authorized to commit the institution or organization in business and financial affairs and who can commit the organization to certain proposal certifications. Prior to the award of a standard or continuation grant by NIFA, any proposed project shall have undergone a review arranged by the grantee as specified in Subpart C of 7 CFR 3400. For research projects, such review must be a scientific peer review conducted in accordance with 3400.21. For education and extension projects, such review must be a merit review conducted in accordance with 3400.22. A notice of completion of review shall be conveyed in writing to NIFA either as part of the submitted proposal or prior to the issuance of an award, at the option of NIFA. The written notice constitutes certification by the applicant that a review in compliance with these regulations has occurred. Applicants are not required to submit results of the review to NIFA; however, proper documentation of the review process and results should be retained by the applicant.

SPECIAL NOTE:

Also see CFDA Section 903: for further details. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

All RFAs are published on the Agency's website and Grants.gov. Applicants must complete the Grants.gov registration process. Please see the following Grants.gov link for more information: http://www.grants.gov/applicants/get_registered.jsp. An environmental impact statement is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applications should be submitted as outlined in the RFA. Applications must follow the instructions provided per Grants.gov and in the Agency guide to submitting applications via Grants.gov.

Award Procedure:

Applications are subjected to a system of peer and merit review in accordance with section 103 of the Agricultural Research, Extension and Education Reform Act of 1998 (7 U.S.C. 1613) by a panel of qualified scientists and other appropriate persons who are specialists in the field covered by the proposal. Within the limit of funds available for such purpose, the NIFA Authorized Departmental Officer (ADO) shall make grants to those responsible, eligible applicants whose applications are judged most meritorious under the procedures set forth in the RFA.

Reviewers will be selected based upon training and experience in relevant scientific, extension, or education fields, taking into account the following factors: (a) The level of relevant formal scientific, technical education, or extension experience of the individual, as well as the extent to which an individual is engaged in relevant research, education, or extension activities; (b) the need to include as reviewers experts from various areas of specialization within relevant scientific, education, or extension fields; (c) the need to include as reviewers other experts (e.g., producers, range or forest managers/operators, and consumers) who can assess relevance of the applications to targeted audiences and to program needs; (d) the need to include as reviewers experts from a variety of organizational types (e.g., colleges, universities, industry, state and Federal agencies, private profit and non-profit organizations) and geographic locations; (e) the need to maintain a balanced composition of reviewers with regard to minority and female representation and an equitable age distribution; and (f) the need to include reviewers who can judge the effective usefulness to producers and the general public of each application. Evaluation Criteria will be delineated in the RFA.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Section :094 - Deadlines:

Specific deadline dates are announced on the Agency's website:

<http://www.nifa.usda.gov>

Contact the headquarters, as appropriate, for application deadlines. See Section : 152 for specific details.

Further, dates for specific deadlines are announced in the RFA each fiscal year. Section :095 - Range of Approval/Disapproval Time: From 30 to 180 days.

Appeals:

Not Applicable.

Renewals:

Specific details are provided in the Request for Applications (RFA) each fiscal year.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. Funds are awarded competitively. No formula grants are awarded under Subtitle K of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 [7 U.S.C. 3319e]. Generally, NIFA does NOT require matching or cost sharing support for this program.

However, the Rangeland Research Program is an EXCEPTION to the general rule. The following represents pertinent information regarding the Rangeland Research Program [7 U.S.C. 3333(a)(1)]:

Pursuant to Section 1480 of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 as amended [7 USC 3333(b)(1)], applicants are required to provide 50 percent matching funds from non-federal sources for all proposed Federal funds sought in the application. Non-federal matching contributions, such as cash and third party in kind, are accepted under this program as qualified by 7 USC 3015 and 7 USC 3019.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The term of competitive project grants and/or cooperative agreements under this program may not exceed three (3) years. Method of awarding/releasing assistance: by letter of credit.

Reports:

Grantees are to submit initial project information and annual summary reports to NIFAs electronic, Web-based inventory system that facilitates both grantee submissions of project outcomes and public access to information on Federally-funded projects. The details of the reporting requirements are included in the award terms and conditions. Cash reports are not applicable. Grantees are to submit initial project information and annual summary reports to NIFAs electronic, Web-based inventory system that facilitates both grantee submissions of project outcomes and public access to information on Federally-funded projects. The details of the reporting requirements are included in the award terms and conditions. A final Financial Status Report (SF-269) or Federal Financial Report (SF-425) is due within 90 days of the expiration date of the grant and should be submitted to the Awards Management Branch, Office of Extramural Programs at the address listed below, in accordance with instructions contained in 2 CFR 3430.55 (also refer to Section 3015.82 of the Uniform Federal Assistance Regulations).

Awards Management Division
Office of Grants and Financial Management
National Institute of Food and Agriculture (NIFA)
U.S. Department of Agriculture
STOP 2271

1400 Independence Avenue, SW
Washington, DC 20250-2271

Telephone: (202) 401-4986. Grantees are to submit initial project information and annual summary reports to NIFAs electronic, Web-based inventory system that facilitates both grantee submissions of project outcomes and public access to information on Federally-funded projects. The details of the reporting requirements are included in the award terms and conditions.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audits will be conducted in

accordance with guidelines established in the revised OMB Circular No. A-133 and implemented in 7 CFR 3052. This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

In accordance with the Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and other Non-profit Organizations [2 CFR 215, Subpart C, Section 215.53, (OMB Circular A-110)] grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be retained at least three (3) years. Records must be retained beyond the three-year period if litigation is pending or audit findings have not been resolved.

Account Identification:

12-1500-0-1-352.

Obligations:

(Project Grants) FY 12 \$28,779,117; FY 13 est \$22,658,138; and FY 14 est \$16,211,989 - The difference between the appropriation and obligation numbers reflects legislative authorized set-asides deducted as appropriate, and in some cases the availability of obligational authority from prior years.

NOTES:

In FY 2014, various Pest Management Programs are consolidated under Integrated Activities, Crop Protection/Pest Management Program. Obligations for the Forest Products and Potato Breeding Research Programs are reported under Other Special Research Grants.

Range and Average of Financial Assistance:

If minimum or maximum amounts of funding per competitive project grant or cooperative agreement are established, these will be announced in the annual program announcement or Request for Application (RFA).

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: (A) Expert IPM Decision Support System:

For the FY 2012 award cycle, NIFA had \$141,544 available for a project grant award after subtracting administrative costs.

NIFA received one application requesting a total of \$143,000. In August 2012, a three-member peer review panel evaluated this application. The peer panel included faculty from land grant universities and federal agency scientists from the agricultural sciences community.

Funds were available to support one award.

The funding ratio for this program in FY12 was 100%.

Funded projects should support development of expert systems that help guide, demonstrate and multiply impacts of USDA supported Integrated Pest Management (IPM) programs.

(B) Global Change, UV-B Monitoring

The program made one non-competitive award to operate and manage USAs ultraviolet radiation climatology network, and to conduct, in collaboration with other institution, research on ultraviolet radiation effects on agriculturally relevant crops. Coupled with economic models, effects research and simulations will enable us to project regional and national climate change impacts on agriculture.

(C) Integrated Pest Management & Biological Control

Pertinent details to be provided by Program at a future date.

(D) Interregional Research Project # 4 - Minor Crop Pest Management (IR-4) Program

For the FY 2012 award cycle, \$11,073,505 was awarded in the IR-4 program. A diverse peer review panel reviewed the 5 applications from the 5 regions and recommended funding for all 5 projects. Funds support research on pesticides

for use in specialty crops and minor crops.

(E) Pest Management Alternatives

For the FY 2012 award cycle, \$1,479,427 was awarded in the Pest Management Alternatives program. The program received 40 applications. A diverse panel reviewed the applications and a total of 7 awards were made. The success rate was 17.5%. Funds were used to support projects that reduce herbicide use and reduce pesticide use in agricultural crops and in connection with agriculturally important animals..

(F) Other - Potato Breeding Research

For the FY 2012 award cycle, \$1,238,000 was available for this program.

A total of four applications, requesting a total of \$1,642,995, were received in this years competition. On June 8, 2012, a five-member peer review panel evaluated these applications. The peer panel included faculty from land grant colleges and universities, and an ARS national program leader.

Funds were available to support a total of four awards.

The funding ratio for this program in FY12 was 100 %.

Funded projects should support potato (*Solanum tuberosum* L.) research programs that focus on varietal development and testing and potato varieties for commercial production. Aspects of evaluation, screening and testing must support variety development.

NOTE:

Obligations reported under Other Special Research Grants

(G) Critical Agricultural Materials

The Critical Agricultural Materials program supports biobased product development, demonstration, and validation of performance under operational field conditions. The program specifically targets paints, coatings, and adhesives produced from agricultural materials that are of strategic and industrial importance to benefit the economy, defense, and general well-being of the Nation. Many of these products replace petroleum-based counterparts; offering the opportunity to create new businesses and markets for agricultural communities.

In FY 2012, the program was funded with \$1 Million, 15 applications were received and two awards were made:

1. Soy-based Functional Building Blocks for Sustainable Advanced Coatings
2. Dried Distiller Grain Based Polymer Dispersions for Paper Coatings

(H) Aquaculture Centers

The program involves five distinct regional aquaculture centers that administer a competitive regional grant awards process with priority topics and problem statements identified by industry advisory committees. Some funded proposals are developed by regional work groups with open participation by qualified institutions. The programs have contributed to addressing high priority needs to advance sustainable aquaculture development in the Nation across a diversity of farmed aquatic species, production systems and aquatic environments. The program is particularly effective in soliciting real-world problems from industry representatives and fostering regional and multi-institutional project team building to address high priority industry needs. Regional proposals are funded by a rigorous and competitive peer-review process.

(I) Supplemental and Alternative Crops (aka Canola Research)

For the FY 2012 award cycle, \$780,000 was available for this program. Maximum award size is \$210,000.

A total of 6 applications requesting a total of \$ 1, 203,229 were received in this years competition. In June 2012, a four-member peer review panel evaluated

these applications. The peer panel included faculty and administrators from land grant and non-land grant colleges and universities and practitioners from the food and agricultural sciences community.

Funds were available to support a total of 4 awards.

The funding ratio for this program in FY11 was 66 %.

Funded projects should address research to develop canola as a viable supplemental and alternative crop in the United States; involve stakeholders in priority setting, project development and implementation; include multi-state cooperation; and complement research being conducted by the U. S. Department of Agriculture's Agricultural Research Service (ARS). Extension, education, and communication activities related to the research must be addressed.

(J) Policy Research Centers (aka Agriculture and Rural Policy Research)

Available funds: \$3,840,000
Total funds requested: \$23,998,415
Total number of applications: 32
Number of awards: 5
Funding ratio: 16%

For the FY 2012 award cycle, \$ 3,840,000 was available for project grant awards after subtracting administrative costs.

A total of 32 applications requesting a total of \$23,998,415 were received in this year's competition. In February 2012, a 8-member peer review panel and panel evaluated these applications. The peer panel included faculty from land grant and colleges and universities, individuals from federal agencies and a practitioner from the agricultural policy community.

Funds were available to support a total of 5 awards for Policy Research Centers.

Purpose of centers: Centers will include the development or enhancement of existing capacity to perform public policy analysis. Funds will support development of theoretical and empirical research methods and models to evaluate and quantify the economic impact of existing or proposed alternative policies and regulations on the agricultural sector, consumers, the environment and taxpayers, (as appropriate). Approaches may include econometric or large-scale simulation models which provide baseline and outlook projections of near- and long-term economic activity and policy impacts. Funding may also be used to collect, analyze, and disseminate data for policy makers, analysts, and individuals. Finally, centers should include training opportunities for developing public policy analytical skills for new analysts.

The funding ratio for this program in FY12 was 16%.

(K) Rangeland Research Program (aka Joe Skeen Institute for Rangeland Restoration)

For the FY 2012 award cycle, approximately \$897,000 was available from NIFA for project grant after subtracting administrative costs.

A total of 11 applications requesting approximately \$4.6 Million were received in this year's competition. In August 2012, a 5-member peer review panel evaluated these applications virtually. The peer panel included research and extension faculty from EpSCOR, Hispanic Serving land grant universities. Panelists were geographically, culturally and gender diverse.

Funds were available to support a total of 2 awards (approximately \$440,000 each) in one of the following Project Priorities:

(1) Rangeland Restoration emphasis on evaluating the optimal combination of management methodologies and technologies that result in the establishment, after drastic disturbance, of functional plant communities providing the products and services desired from rangeland ecosystems. The focus should be placed on research integrated with outreach to restore degraded rangeland,

enhance overall soil quality, increase biomass productivity, retain and enlarge wildlife habitat, and improve water and air quality.

(2) Management of Rotational Grazing identification and evaluation of the role of management on the effectiveness of rotational grazing. Management decisions can be informed by an understanding of the spatial variability of rangeland pastures, grazing activity, and use of information technology and spatial monitoring in livestock systems, among other contributions to elevate pasture utilization.

The funding ratio for this program in FY11 was 18%.

(L) Forest Products Research Program

For the FY 2012 award cycle, the amount of \$1.35M was appropriated by Congress and the amount of \$1.25M was available for grant awards after subtracting administrative costs and others.

Four applications received awards in the amount of \$1,249,889 out of 21 applications. A 5-member peer review panel evaluated the applications in June 2012. The peer review panel though small was so diverse that it included two females, one minority and three white males. All panelists but one came from the academia. The only non-academic came from government (federal) research.

The funding ratio for this program was 19%.

Funds were available to support multi-institutional and multi-functional type of projects. The four funded applications addressed three of the four stakeholder-driven priority areas;

1. Innovative solutions to develop new industries/technologies that can optimally process/utilize large quantities of beetle-killed trees;
2. Developing strength design values for southern conifers;
3. Value improvement of low quality hardwoods; and
4. Developing structural composites, specifically Cross Laminated Timbers (CLT) using softwoods.

The four projects funded with their corresponding awards were:

1. Value improvement of low quality hardwoods in the Appalachian and Northeast Regions --\$372,828;
2. Development of Solid and Hollow-core Cross-laminated Timber Systems for low- and mid-rise Construction--\$372,101;
3. Conversion of Beetle-killed Lodgepole pine into Bio-Oil via Ablative Pyrolysis--\$205,526; and
4. Development of low-grade hardwood Cross-laminated Timbers --\$299,434.

NOTE:

Obligations reported under Other Special Research Grants. Fiscal Year 2013:
(A) Expert IPM Decision Support System:

For the FY 2013 award cycle, \$ 129,994 was available for a project grant award after subtracting administrative costs.

NIFA anticipates receiving at least one application requesting a total of \$129,994 for this year's competition.

(B) Global Change, UV-B Monitoring

The program made one non-competitive award to to operate and manage USDA's ultraviolet radiation climatology network, and to conduct, in collaboration with other institution, research on ultraviolet radiation effects on agriculturally relevant crops. Coupled with economic models, effects research and simulations will enable us to project regional and national climate change impacts on agriculture.

(C) Integrated Pest Management & Biological Control

Pertinent details to be provided by Program at a future date.

(D) Interregional Research Project # 4 - Minor Crop Pest Management (IR-4) Program

For the FY 2013 award cycle, \$11,000,000 is expected to be awarded. 5 applications were received from the regional centers. A diverse panel reviewed the applications and funding decisions are pending. A total of 5 awards may be made. Funds support research on pesticides for use in specialty crops and minor crops.

(E) Pest Management Alternatives

For the FY 2013 award cycle, approximately \$1,187,099 will be awarded in the Pest Management Alternatives program. The program received 29 applications. A diverse panel reviewed the applications and a total of 6 awards will be made. The success rate will be 20.7%. Funds were used to support projects that reduced insecticide and herbicide use in agricultural crops.

(F) Other - Potato Breeding Research

For the FY 2013 award cycle, \$1,238,000 was available for this program.

A total of four applications, requesting a total of \$1,597,925, have been received in this years competition.

On July 26, 2013, a five-member peer review panel will evaluate these applications.

The peer panel includes a farmer-grower; faculty from two 1890 land grant colleges and universities and one 1994 land-grant college; and a USDA Agricultural Research Service (ARS) national program leader.

The funding ratio for this program in FY13 will be known after the panel meeting on July 26.

Funded projects should support potato (*Solanum tuberosum* L.) research programs that focus on varietal development and testing and potato varieties for commercial production. Aspects of evaluation, screening and testing must support variety development.

NOTE:

Obligations reported under Other Special Research Grants

(G) Critical Agricultural Materials

In FY 2013, the program was funded with \$1 Million. Two awards were made from the pool of approved for funding FY 2012 applications:

1. A Proposal for Commercialization of Vegetable Oil Macromonomers
2. Biobased Bisphenol-A Free Epoxides for Paint, Coating, and Adhesive Applications

(H) Aquaculture Centers

There are few new projects approved for funding at this time that have not been completed to assess outputs, outcomes and accomplishments. It is anticipated that 80 pre-proposals will be received and 10 proposals will be funded in FY 2013.

(I) Supplemental and Alternative Crops (aka Canola Research)

For the FY 2012 award cycle, \$780,000 is available for this program. Maximum award size is \$210,000.

A total of 6 applications requesting a total of \$ 1, 200,480 were received in this years competition. On July 19, 2013, a four -member peer review panel will evaluate these applications.

The peer panel includes faculty and administrators from 1862 and 1890 land grant universities.

(J) Policy Research Centers (aka Agriculture and Rural Policy Research)

Several highly policy briefs were developed and presented by many of the centers. Examples include:

(1) How Does Domestic Food Assistance Affect Rural and Urban Household Incomes? In this brief, the focus is on the increases to household income generated by SNAP payments. Specifically, we highlight SNAPs impact on household incomes in the Portland urban core and rural periphery, and in low-income, middle-income and high-income households in these economies.

(2) What Can be Done to Reinvigorate U.S. Agricultural Research? This brief describes the evolving patterns of support for public agricultural and food R&D, the shifting emphasis of spending within the broad portfolio, and some potential policy approaches to revitalize U.S. agricultural research.

(3) Safety Assessment of Genetically Engineered Foods: US Policy & Current Science.

Summary of findings:

Safety of GE foods is evaluated through the U.S. Food and Drug Administrations voluntary consultation process.

The FDA can take action if food, including GE food, presents a demonstrable safety risk post-market.

GE safety studies focus on toxicity, adverse nutritional changes, allergenicity and horizontal gene transfer.

Scientific studies testing whole GE food show some mixed results so statements about all GE foods being safe or unsafe are unwarranted.

Whole-food feeding studies for GE safety assessment are tricky, as plant varieties are diverse in chemical composition and the effect of the introduced genes or changes caused by them are hard to tease out.

Strong agreement exists for better testing protocols, especially for allergenicity and whole-food feeding trials.

(K) Rangeland Research Program (aka Joe Skeen Institute for Rangeland Restoration)

No data, zeroed out in FY 2013.

(L) Forest Products Research Program

FY 2013 award cycle is in progress, deadline for applications is set for July 31. It is anticipated that about 30 applications will be received and a corresponding review panel of 6 will be formed. The amount available for awards is about \$1.45M which can potentially support 4 to 5 projects. This years offering included 6 stakeholder-driven priority areas.

NOTE:

Obligations reported under Other Special Research Grants. Fiscal Year 2014:

(A) Expert IPM Decision Support System:

No project data available. Pertinent details to be provided by Program at a future date.

NOTE:

In FY 2014, this program is consolidated under Integrated Activities, Crop Protection/Pest Management Program.

(B) Global Change, UV-B Monitoring

The program anticipates making one non-competitive award to operate and manage USDAs ultraviolet radiation climatology network, and to conduct, in collaboration with other institution, research on ultraviolet radiation effects on agriculturally relevant crops. Coupled with economic models, effects research and simulations will enable us to project regional and national climate change impacts on agriculture.

(C) Integrated Pest Management & Biological Control

Pertinent details to be provided by Program at a future date.

NOTE:

In FY 2014, this program is consolidated under Integrated Activities, Crop Protection/Pest Management Program.

(D) Interregional Research Project # 4 - Minor Crop Pest Management (IR-4) Program

For the FY 2014 award cycle, it is expected that \$11,000,000 may be available to be awarded. 5 applications are expected from the regional centers. A diverse panel will review the applications and recommend funding. A total of 5 awards may be made. Funds support research on pesticides for use in specialty crops and minor crops.

(E) Pest Management Alternatives

It is expected that the PMAP program will not be offered in 2014.

NOTE:

In FY 2014, this program is consolidated under Integrated Activities, Crop Protection/Pest Management Program.

(F) Other - Potato Breeding Research

Pertinent details to be provided by Program at a future date.

NOTE:

Obligations reported under Other Special Research Grants

(G) Critical Agricultural Materials

FY 2014 Critical Agricultural Materials program is contingent upon the re-authorization of the Farm Bill.

(H) Aquaculture Centers

Continued regional aquaculture research, demonstration and extension education projects based on regional industry priorities and needs. It is anticipated that 80 pre-proposals will be received and 10 proposals will be funded in FY 2014.

(I) Supplemental and Alternative Crops (aka Canola Research)

Pertinent details to be provided by Program at a future date

(J) Policy Research Centers (aka Agriculture and Rural Policy Research)

The centers will continue to produce high quality and relevant policy briefs.

(K) Rangeland Research Program (aka Joe Skeen Institute for Rangeland Restoration)

No data, zeroed out in FY2014.

(L) Forest Products Research Program

Pertinent data to be provided by Program at a future date.

NOTE:

Obligations reported under Other Special Research Grants.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 3430, Competitive and Noncompetitive Non-formula Grant Programs General Administrative Provisions and Program-Specific Administrative Provisions; 7 CFR Part 3015, USDA Uniform Federal Assistance Regulations; 7 CFR Part 3017, Government wide Debarment and Suspension (Nonprocurement) ; 7 CFR Part 3018, New Restrictions on

Lobbying; 7 CFR Part 3019, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-profit Organizations; and 7 CFR Part 3021 USDA implementation of Governmentwide Requirements for Drug-free Workplace (Financial Assistance).

Regional or Local Office:

None.

Headquarters Office:

USDA, NIFA, National Program Leader, Institute of Food Production and Sustainability, Division of Plant Systems-Protection, 1400 Independence Avenue, SW., STOP 2240, Telephone: (202) 401-4939, Fax: (202) 1782.

ADDITIONAL CONTACT:

USDA, NIFA, National Program Leader, Institute of Bioenergy, Climate, and Environment, Division of Bioenergy, 1400 Independence Avenue, SW., STOP 2210, Washington, District of Columbia, 20250-2210 , Telephone: (202) 401-5244, Fax: (202) 401-2653.

, Washington , District of Columbia 20250-2240 Phone: (202) 401-4939

Website Address:

<http://www.nifa.usda.gov/>

RELATED PROGRAMS:

10.001 Agricultural Research_Basic and Applied Research; 10.202 Cooperative Forestry Research; 10.203 Payments to Agricultural Experiment Stations Under the Hatch Act; 10.205 Payments to 1890 Land-Grant Colleges and Tuskegee University; 10.207 Animal Health and Disease Research; 10.215 Sustainable Agriculture Research and Education; 10.219 Biotechnology Risk Assessment Research; 10.250 Agricultural and Rural Economic Research; 10.303 Integrated Programs; 10.309 Specialty Crop Research Initiative; 10.310 Agriculture and Food Research Initiative (AFRI) ; 10.312 Biomass Research and Development Initiative Competitive Grants Program (BRDI); 10.320 Sun Grant Program; 10.652 Forestry Research

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: (A) Expert IPM Decision Support System:

Only one (1) project was funded to support all integrated pest management (IPM) stakeholders by providing access through interconnected online IPM databases to research, extension and education priorities; program and project outputs; logic model-based program reports; and program impacts. Redesign and integrate two important existing sites, ipmcenters.org and IPM.gov.

(B) Global Change, UV-B Monitoring

The USDA has long been concerned about high levels of ultraviolet radiation from the Sun, which are known to have harmful effects on agricultural crops, rangelands, forest ecosystems, humans, and livestock. The purpose of this program is to support research which:

generates an uninterrupted stream of climatology data;
determines mechanisms and symptoms of plant and animal response; and
applies tightly integrated crop and climate models to assess regional and national impacts (both biological and economic) of multiple plant stressors.

(C) Integrated Pest Management & Biological Control

Pertinent details to be provided by Program at a future date.

(D) Interregional Research Project # 4 - Minor Crop Pest Management (IR-4) Program

Interregional Research Project No. 4. Minor Crop Pest Management for the North Central Region - This project will continue to support the North Central Regional IR-4 Center. Since the plant protection industry has little economic incentive to develop registrations for specialty crop chemicals, IR-4 develops

the data that provide legal, effective, safe and IPM-compatible pest control agents for minor and specialty crops. Without this program, many specialty crops could no longer be produced in the US or would be greatly compromised in cost and quality. This projects objectives for minor and specialty crops are to obtain pesticide clearances for food uses, further the development and adoption of biopesticides, and conduct research to protect ornamental crops. There are five regions that can apply for IR-4 funding.

(E) Pest Management Alternatives

An Integrated Multi-Tactic Approach For Managing Native Weevil Pests of Multiple U.S. Fruit Crops - This proposal will develop, evaluate, and implement novel low-risk multi-tactic strategies to monitor and manage two weevil pests of peaches and blueberries - the plum curculio and the cranberry weevil. The specific objectives of the proposal include using olfactory stimuli to promote aggregation of these insects and use novel multi-component strategies for control. Once the procedures have been refined and the products developed, the procedures will be shared with growers through extension activities.

Integrated Management of Cereal Viruses - This project will look at the impact surrounding vegetation (the green bridge) has on wheat curl mite (WCM). This mite vectors Wheat streak mosaic virus to wheat. There are currently no effective pesticides registered for the WCM so this project will look at management options such as control of the green bridge, planting date, timing of nitrogen fertilization applications, etc. in reducing the impact of WCM virus on this valuable commodity

Sustainable Management of Grape Root - This proposal seeks a safer control of grape root borer in commercial vineyards. The grape root borer is a major pest in the Eastern U.S. and has been difficult to control without the use of chlorpyrifos which is a non-selective insecticide with a threatened availability as a result of the Food Quality Protection Act (FQPA). This proposal will look at the use of a novel nematode application method which eliminates the need for water and post-application irrigation. The project will look at two methods of delivery of nematodes, post-season carryover of the applied nematodes, perform economic and commercial feasibility analyses, and conduct a multifaceted grower outreach program in four states.

(F) Other - Potato Breeding Research

Potato breeding and variety development for specific high-value specialty areas. Collaborative multi-site selection, evaluation, and variety development work among states and with USDA-ARS. The overall goal is to develop an array of attractive, highly productive, disease- and insect-resistant potato varieties that can be employed by small and large potato producers to enhance marketing opportunities, farm sustainability and grower profits. The project focuses on classical breeding techniques, but also includes marker-assisted selection for resistance to internal defects, diseases, and insect pests. A coordinated, team approach for helping to solve current and future problems encountered by the U.S. potato industry.

Release and commercialization of new potato varieties that will directly benefit all segments of the potato industry and all US producing regions. The strategy is to identify traits, make crosses, and apply selection pressures that will increase the probability of developing varieties that can be produced and utilized more efficiently than existing varieties. Goals include high yield, improved processing quality, genetic resistance to major pests and diseases, higher levels of resistance to stresses, increased nutrient use efficiency, improved human nutritional value, and high tuber quality, and reduced use of pesticides, water, and fertilizers. This is accompanied by myriad minor objectives involving germplasm enhancement, germplasm production, selection procedures, disease and stress screening, variety trial design and conduct, seed increases, management studies, and commercial evaluations.

(G) Critical Agricultural Materials

Soy-based Functional Building Blocks for Sustainable Advanced Coatings
\$481,669

The overall goal of this program is the design and the development of environmentally friendly advanced coatings based on agriculture-based soybean oil and demonstration of their commercial potential through systematic assessment of the critical success factors, in collaboration with the key stake holders of the product supply-chain. The specific objective is to design and develop a platform of soy-based functional materials that serve as building-blocks that can replace petroleum-based products in the formulation of currently used and emerging advanced coating technologies. The Eastern Michigan University research team will evaluate proposed soy-based products for their functional performance, environmental life-cycle assessment, and economic performance against competitive petroleum-based products, as well as the feasibility of scale-up for manufacturing and their eligibility for applicable eco-labeling standards.

Dried Distiller Grain Based Polymer Dispersions for Paper Coatings
\$499,426

The proposed research, by the University of Wisconsin, will close the technical gaps to allow extracted hemicellulose to be used as a paper coating and quantify the sustainability impact as compared to petroleum based coatings. Specifically the research will:

(1) develop high-solids, low viscosity dispersions of the extracted hemicellulose polymer and (2) Compare the environmental impact of utilizing this renewable biomaterial as compared to traditional petroleum based materials.

To accomplish this, the project has five specific objectives including:

(1) Creation and characterization of extracted hemicellulose from a variety of wood and pulp samples varying extraction conditions;
(2) Modification of the extracted hemicellulose barrier properties through chemical derivatization;
(3) Development of high-solids, low-viscosity dispersions of the extracted and derivatized materials;
(4) Evaluation of the hemicellulose dispersion products as a paper coating utilizing cast film and paper coating experiments; and
(5) Determination of the paper coating chemical's environmental impact through lifecycle assessment.

(H) Aquaculture Centers

Development of Practical Local Feeds to Support Sustainable Aquaculture in Hawaii and Other Pacific Island

Aquaculture Potential of Hawaiian Polychaetes for Use as a Shrimp Maturation Feed

Striped Bass Selection for Marine Culture

Profitable and biosecure rock scallop culture for the West Coast

Determination and practical application of egg quality measures toward reliable culture of high-value marine finfish species

(I) Supplemental and Alternative Crops (aka Canola Research)

Identify superior cultivars; develop ensiling systems; investigate planting dates, potassium fertilizer, and residue decomposition; investigate spring canola systems for increasing interest and production; study ecology and environmental aspects/trade-offs while finding best management practices for growers; provide information for new canola growers; investigate and investigate harvest efficiencies.

Address five clearly-identified barriers to continue expansion of canola acres including pest management (insects and diseases), no-till management, rotational systems, and extension resources.

Multidiscipline research on breeding, agronomics, herbicide management, and disease management. Increase diversity in cropping systems to reduce pests and disease carryover.

(J) Policy Research Centers (aka Agriculture and Rural Policy Research)

This was a new competitive grants program. Funded projects included:

- Food Policy Research Center
- National Agricultural and Rural Development Policy Center
- A Policy Research Center Proposal: The Center for Agricultural and Rural Development
- Center for Agricultural and Food Industrial Organization - Policy Research Group
- OSU-UC Davis Partnership For Agricultural and Resource Policy Research

An example of a funded project is:

OreCal Agricultural and Resource Policy Research

This was a new competitive grants program. Funded projects included:

- Food Policy Research Center
- National Agricultural and Rural Development Policy Center
- A Policy Research Center Proposal: The Center for Agricultural and Rural Development
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- OSU-UC Davis Partnership For Agricultural and Resource Policy Research

An example of a funded project is: OreCal Agricultural and Resource Policy Research

The design of socially responsible public policy requires a comprehensive, rigorous, and timely assessment of its economic, environmental and social impacts. This project will address this need by establishing a Partnership for Agricultural and Resource Policy Research. The mission of the Partnership will be to provide the highest quality, objective economic analysis of critical public policy issues on agriculture, natural resources, environment, food systems, nutrition, and technology. The Partnership represents an institutional innovation towards productive regional collaborations between Land Grant institutions.

The overarching goals are: to help policymakers and stakeholders understand how policies affect the economy, natural resources, the environment, and society; and to provide innovate research focusing on specialty crops, conservation and consumer issues, with an emphasis on the Western United States. The Partnership will cover the four emphasis areas specified in the NIFA request. Our primary approach will be to conduct multi-disciplinary research rooted in economic theory and quantitative methods, capitalizing on the policy experience and expertise of faculty at the two institutions. In the first year, the team will use existing modeling capability to address current policy analysis; in the second year, models will be integrated into a more unified framework for policy analysis. An innovative, comprehensive education and dissemination program complements the policy research. Building on strong institutional support, this Partnership represents a long-term commitment to policy research and education. The Partnership will provide value to society by supporting informed decision making and reducing the uncertainties associated with future policies.

(K) Rangeland Research Program (aka Joe Skeen Institute for Rangeland Restoration)

Weather Data And Forecasting Applications For Management Of Ecological Site Transitions focuses on the fact that millions of hectares of rangeland in the western United States have been invaded, and are now dominated, by expanding populations of introduced annual weeds. These weeds are very effective competitors for soil water and nutrients, produce fine fuels that increase fire frequencies, and are resistant to many rangeland rehabilitation and restoration actions. Fire rehabilitation costs on western rangelands continue to escalate as hundreds of thousands of hectares a year are lost to weed dominance. Post-fire wind erosion in these annual-weed dominated systems can also result in irreversible soil loss that lowers ecological site potential. Restoration of degraded rangelands is hampered by a generally dry climate and exceptionally high variability in seedbed water availability and soil stability. They have developed relatively efficient procedures to temporarily control annual weeds, but these practices are ineffective if we are unable to

subsequently establish desirable rangeland plant species. More efficient utilization of existing weather data, and development of seasonal weather forecasting tools would greatly enhance our ability to establish desirable plant species in good weather years, and avoid wasting money on large-scale restoration efforts in bad weather years. Production of better weather data and planning tools, however, is insufficient. Choices among restoration alternatives are affected by sociological factors, local traditions, economic constraints, and both actual and perceived accessibility of new knowledge. Reducing barriers to restoration success within human systems will require better understanding of how to enhance the user-friendliness of improved forecasting tools when they become available, and also managers' capacity to use those tools given various other constraints on their decisions. In this project, they will be developing weather-data tools that can be used in the fall planting season to make decisions about how to most efficiently spend limited restoration funds in a way that maximizes the probability of successful establishment of rangeland plant species. Throughout the project, they will work directly with land managers and land management agencies to ensure that the tools that we develop are practical, user-friendly, and integrated into current planning and management programs.

Linking Management Decisions With Ecological And Economic Outcomes In Grazed Systems will address a significant gap in the study of human dimensions of rangeland management by conducting the first in-depth analysis of rancher short- and medium-term grazing management decision-making using repeated interviews and participant observation to document decision-making processes and criteria at weekly, monthly, and annual time scales. The combination of study approaches including a real-time collaborative adaptive management experiment and observational study of individual operators, also allows the opportunity to compare decision-making processes, benefits and limitations of collaborative adaptive decision-making compared to decision-making at the scale of an individual operation. In addition, by integrating these measurements of rancher and agency goals and behavior with assessment of ecological and economic outcomes, this study is the first to evaluate rigorously the ecological and economic outcomes of varying grazing management decisions and decision-making processes as implemented on working ranches (in contrast to highly controlled studies of rotational grazing outcomes). In so doing, our research significantly advances our understanding of rangelands as complex adaptive systems in which humans contribute to learning and system adaptation.

A Systems Approach To Seedling Establishment On Degraded Rangeland: Managing Ecological Processes Driving Recruitment Bottlenecks where altered disturbance regimes are shown to be systematically destroying healthy rangeland and directly threatening U.S. agriculture, food security, and sustainability. While every major stakeholder group in the West has recognized that restoration is critical to stem the massive and continuous loss of rangeland, establishment of functional plant communities on arid rangeland following disturbance is difficult and failure rates are high. Although ecologists and managers have been aggressively working towards improving their ability to establish plant communities, work to date has largely been pursued as a series of unlinked, site-specific studies. They lack a systems framework for developing general principles, identifying key knowledge gaps and directing future research and management. The broad goal of this proposal is to develop, validate and deploy a systems approach for improving seedling establishment in Wyoming big sagebrush ecosystems. The core of our framework uses life-cycle population models to link plant population dynamics to management. To address our goal we will:

- 1) Quantify the patterns of seedling germination, emergence and death as well as juvenile and adult plant growth and survival across gradients of elevation, soil texture and invasive plant abundance.
- 2) Use sensitivity analysis to identify which life stage transitions most limit seedling recruitment along major ecological gradients.
- 3) Identify how winter environmental conditions, seed pathogens and soil physical properties influence seedling recruitment.
- 4) Develop hydrothermal time models, seed coating technologies and knowledge of seed genetics that influence seedling recruitment.
- 5) Integrate findings and understanding of social barriers limiting adoption of knowledge into education and economic assessment programs aimed at enhancing adoption of ecologically-based restoration.

Outcomes will include database of findings, webpage and user friendly information on research findings distributed through website, a field school as well as new technologies and strategies for managing seedling establishment. Restoring functional plant communities on arid rangeland following disturbance is a long-standing, serious and complex problem. Developing and adopting a systems approach that can organize and direct seedling establishment research is essential if we are to make continuous and measured advancements in management.

Innovative Use Of Seed Coating Technologies For The Restoration Of Soil Hydrology And Functional Plant Communities In Burned Semi-Arid Rangelands focuses on the concept that in the western United States, pinyon and juniper (PJ) expansion constitutes one of the greatest weedy invasions of our time. As PJ woodlands mature, increased fuel loads and canopy cover can lead to large scale, high intensity crown fires. Despite reseeding efforts following fire, PJ woodlands often remain bare of vegetation for one or more years, leaving resources available for invasion by cheatgrass and other weeds. These weeds outcompete native species, degrade ecological processes, and modify natural fire patterns. Consequently, research is needed to develop reseeding technologies that improve establishment of desired species after fire in order to preserve the ecological integrity of our rangeland systems. Soil water repellency (WR) is one factor that may significantly limit post-fire recovery and promote subsequent weed domination. Based on their preliminary research, they hypothesized that post-fire WR may reduce ecological resilience by limiting the establishment of seeded species and allowing weeds to invade as WR diminishes over time. We further hypothesize that the extent and severity of this soil condition increases as PJ woodlands continue to age and expand. Restoration approaches that focus on ameliorating WR could potentially improve the reseeding success of native plant materials. Their preliminary greenhouse research demonstrates that newly-developed wetting agents, applied to the seed through the use of innovative seed coating technologies, significantly improve the ecohydrologic properties required for plant growth. Wetting agents increase soil water content for seeds and seedlings in WR soil by breaking up WR around the seed. This allows precipitation to drain from the surrounding soil towards the seed and for soil moisture to move upwards by capillarity towards the seedling as the soil surface dries. The proposed technology could be applied to grass, forb and shrub plant materials seeded after fire to increase seedling establishment in the water-limited seedbed environments. Coating seeds with wetting agent could reduce seeding costs by reducing frequent seeding failures in semiarid rangelands, and by increasing plant establishment, thereby enabling lower seeding rates for expensive native species. This project introduces important research that will:

- 1) determine the physical and temporal controls influencing the extent and severity of soil WR,
- 2) develop models that predict how current and future PJ woodland encroachment will impact soil health and revegetation success after fire,
- 3) further develop innovative seed coating technologies,
- 4) field test these technologies and evaluate their ability to enhance revegetation success in areas constrained by a post-fire WR soil layer, and
- 5) enhance opportunities for land owners and land managers to confidently incorporate this and other proven technologies in their native seed restoration projects.

Spatial Methods For Low Cost Restoration Of Rangeland Ecosystem Services focus on the concept that rangelands constitute 50% of California, and produce 70% of the forage for a livestock industry with gross value > \$3 billion. Rangelands supply most of the drinking water and provide habitats for thousands of species. These valuable ecosystems are degraded by invasive exotic species. Restoration is hindered by high costs and low private returns. They aim at promoting restoration of rangelands by testing and disseminating spatial methods to reduce cost and improve restoration success. They hypothesize that restoration success per unit cost can be improved by careful site selection and strip-seeding patterns within sites. First, in an observational and large-scale study, they will survey restoration projects to determine the relationship between restoration and selected ecosystem services (responses, forage production, vegetation and insect diversity, weed abundance, soil N and C fractions and nutrient leaching below the rooting zone). Success in restoring ecosystem services will be modeled as a function of pre-restoration state, history of seeding, management, biophysical site and landscape characteristics,

weather and cost to create a decision support tool for site selection. Second, at an intermediate scale they will apply strip-seeding restoration treatments with various levels of area coverage. An economic analysis will compare cost per area and per unit ecosystem service. Third, they will apply the strip-seeding treatments in runoff plots and measure hydrologic function and water quality as further input for the comparison of costs/service. An advisory committee and working group composed of ranchers, agency personnel, extension agents, and faculty will guide the project and disseminate results.

(L) Forest Products Research Program

Amount awarded: \$1,249,889: Four projects were funded out of 21 applications:

1. Value improvement of low quality hardwoods in the Appalachian and Northeast Regions --\$372,828;
2. Development of Solid and Hollow-core Cross-laminated Timber Systems for low- and mid-rise Construction--\$372,101;
3. Conversion of Beetle-killed Lodgepole pine into Bio-Oil via Ablative Pyrolysis--\$205,526; and
4. Development of low-grade hardwood Cross-laminated Timbers --\$299,434.

The funding ratio was 19%. Fiscal Year 2013: (A) Expert IPM Decision Support System:

Pertinent details to be provided by Program at a future date.

(B) Global Change, UV-B Monitoring

The USDA has long been concerned about high levels of ultraviolet radiation from the Sun, which are known to have harmful effects on agricultural crops, rangelands, forest ecosystems, humans, and livestock. The purpose of this program is to support research which:

- generates an uninterrupted stream of climatology data;
- determines mechanisms and symptoms of plant and animal response; and
- applies tightly integrated crop and climate models to assess regional and national impacts (both biological and economic) of multiple plant stressors.

(C) Integrated Pest Management & Biological Control

Pertinent details to be provided by Program at a future date.

(D) Interregional Research Project # 4 - Minor Crop Pest Management (IR-4) Program

Interregional Research Project No. 4 Minor Crop Pest Management for the North Central - This project will continue to support the North Central Regional IR-4 Center. Since the plant protection industry has little economic incentive to develop registrations for specialty crop chemicals, IR-4 develops the data that provide legal, effective, safe and IPM-compatible pest control agents for minor and specialty crops. Without this program, many specialty crops could no longer be produced in the US or would be greatly compromised in cost and quality.

This projects objectives for minor and specialty crops are to obtain pesticide clearances for food uses, further the development and adoption of biopesticides, and conduct research to protect ornamental crops. There are five regions that can apply for IR-4 funding.

(E) Pest Management Alternatives

Combining tactics for sustainable insect and weed pest management in vineyards This project will look at two serious threats to the Eastern grape industry the grape berry moth and perennial weeds. The project will look at the economics of targeted pesticide applications. It will also look at the efficacy and economics of under-row tillage as a means of disrupting grape berry moth pupation and reducing weeds as an alternative management practice. Results of this research will be shared with the grower community through Extension.

Meeting the Research & Outreach Needs for Managing Leek Moth, a New Invasive Pest of Allium Crops - This project looks for answers in combating the invasive Leek moth. Research will aim to develop management practices that will help both large-scale and small-scale conventional and organic growers avoid catastrophic losses in Allium crops throughout the U.S. by filling in gaps in knowledge about the Leek moth's ecology, the efficacy of cultural practices and insecticides against the Leek moth, the potential roles of natural enemies in Leek moth management, and the economics of such practices. A strong outreach program will help growers nationwide adopt management practices that are effective and economically viable.

Alternative Approaches to Managing Chilli Thrips, An Emerging Pest for Ornamental Nursery Production - This project will look at the invasive Chilli thrips on 'Knock Out' Roses to develop alternative control strategies in lieu of the current heavy reliance on conventional insecticides and the likelihood of resistance development in the thrips. The overall objective of this project is to develop a biologically-based chilli thrips management strategy by integrating the use of action thresholds, cultural practices (specifically, fertilization), and biopesticides into a 'Knock Out' Rose production system. Guidance will be provided to growers on how to manipulate fertility and use biopesticides to manage chilli thrips. A long-term goal is to make the green industry more sustainable.

(F) Other - Potato Breeding Research

Anticipated: Potato breeding and variety development for specific high-value specialty areas. Collaborative multi-site selection, evaluation, and variety development work among states and with USDA-ARS. The overall goal will continue to be to develop an array of attractive, highly productive, disease- and insect-resistant potato varieties that can be employed by small and large potato producers to enhance marketing opportunities, farm sustainability and grower profits. Projects will likely use classical breeding techniques and will likely also use marker-assisted selection as needed for resistance to internal defects, diseases, and insect pests.

Anticipated: All projects will aim at release and commercialization of new potato varieties that will directly benefit all segments of the potato industry and all U.S. producing regions. These projects use a wide range of effective methods to identify valuable traits, recombined them with otherwise outstanding genotypes through making crosses, and then apply selection pressures to increase the probability of creating varieties that can be produced and used more efficiently than existing varieties. Potato is a complex crop, such that there are numerous breeding goals, including high yield, improved processing quality, genetic resistance to major pests and diseases, higher levels of resistance to stresses, increased nutrient use efficiency, improved human nutritional value, and high tuber quality, and reduced use of pesticides, water, and fertilizers. This complexity of breeding objectives is accompanied by myriad minor objectives involving germplasm enhancement, germplasm production, selection procedures, disease and stress screening, variety trial design and conduct, seed increases, management studies, and commercial evaluations.

(G) Critical Agricultural Materials

A Proposal for Commercialization of Vegetable Oil Macromonomers
\$433,786

The goal of this proposal is to confirm commercial viability of vegetable oil macromonomer (VOMM) derived latex polymers, free of volatile organic content (VOC), and capable of high performance equal to or exceeding that of leading commercial latexes, and coatings.

Biobased Bisphenol-A Free Epoxides for Paint, Coating, and Adhesive Applications
\$500,113

The two year program includes the preparation of isosorbide-based epoxy resins and their evaluation in model coatings. Leading candidate coatings systems will be scaled-up for demonstration of product feasibility through field testing and

validation using industry standard chemical, physical, and performance tests. Life cycle analysis will be performed using a cradle-to-gate approach to evaluate the environmental, cost and social aspects of the bio-derived epoxide resin. The long term goal of this project is to commercialize a cost-effective, protective coatings platform that is based on non-food agricultural materials and meets or exceeds the high performance of today's petroleum-based epoxy coatings.

(H) Aquaculture Centers

At this time regional planning processes are underway to identify and recommend funding for new specific regional projects from FY 2013 funding. Numerous regional projects are funded for multiple fiscal years as well. Funded regional projects are anticipated to advance commercial aquaculture development by solving critical problems and bottlenecks to support successful enterprises with new science-based knowledge, improved practices and tools and new technologies for a wide diversity of aquaculture species, production systems, marketing outlets, and aquatic environments in the Nation.

Few regional projects have been determined for FY2013 but the following are two examples; Environmental and endogenous factors affecting egg quality and caviar yield in farmed sturgeon (Sturgeon Caviar) and year 2 funding for Determination and practical application of egg quality measures toward reliable culture of high value marine finfish species (Finfish Egg Quality)

(I) Supplemental and Alternative Crops (aka Canola Research)

It is anticipated that projects funded in 2013 will significantly increase canola production by developing and testing superior germplasm, methods of planting, cultivation, and harvesting, and then transferring new knowledge to producers as soon as possible. Extension, education, and/or communication activities related to the research areas above must be addressed in the project proposal.

(J) Policy Research Centers (aka Agriculture and Rural Policy Research)

No funding for NIFA for new projects.

(K) Rangeland Research Program (aka Joe Skeen Institute for Rangeland Restoration)

No data, zeroed out in FY2013.

(L) Forest Products Research Program

The RFA has been released and application deadline is set for July 31, 2013. Four to five projects are anticipated to be funded addressing any of the six priority areas. Fiscal Year 2014: (A) Expert IPM Decision Support System:

No project data available. Pertinent details to be provided by Program at a future date.

(B) Global Change, UV-B Monitoring

The USDA has long been concerned about high levels of ultraviolet radiation from the Sun, which are known to have harmful effects on agricultural crops, rangelands, forest ecosystems, humans, and livestock. The purpose of this program is to support research which:

- generates an uninterrupted stream of climatology data;
- determines mechanisms and symptoms of plant and animal response; and
- applies tightly integrated crop and climate models to assess regional and national impacts (both biological and economic) of multiple plant stressors.

(C) Integrated Pest Management & Biological Control

Pertinent details to be provided by Program at a future date.

(D) Interregional Research Project # 4 - Minor Crop Pest Management (IR-4) Program

Interregional Research Project No. 4 Minor Crop Pest Management for the North Central - This project will continue to support the North Central Regional IR-4 Center. Since the plant protection industry has little economic incentive to develop registrations for specialty crop chemicals, IR-4 develops the data that provide legal, effective, safe and IPM-compatible pest control agents for minor and specialty crops. Without this program, many specialty crops could no longer be produced in the US or would be greatly compromised in cost and quality. This projects objectives for minor and specialty crops are to obtain pesticide clearances for food uses, further the development and adoption of biopesticides, and conduct research to protect ornamental crops. There are five regions that can apply for IR-4 funding.

(E) Pest Management Alternatives

No data is available. Pertinent details to be provided by Program at a future date.

(F) Other - Potato Breeding Research

Pertinent details to be provided by Program at a future date.

(G) Critical Agricultural Materials

FY 2014 Critical Agricultural Materials program is contingent upon the re-authorization of the Farm Bill.

(H) Aquaculture Centers

Continued regional aquaculture research, demonstration and extension education projects Continued regional aquaculture research, demonstration and extension education projects based on regional industry priorities and needs. Funded regional projects are anticipated to advance commercial aquaculture development by solving critical problems and bottlenecks to support successful enterprises with new science-based knowledge, improved practices and tools, and new technologies for a wide diversity of aquaculture species, production systems, marketing outlets, and aquatic environments in the Nation.

(I) Supplemental and Alternative Crops (aka Canola Research)

Pertinent details to be provided by Program at a future date

(J) Policy Research Centers (aka Agriculture and Rural Policy Research)

No funding for NIFA for new projects.

(K) Rangeland Research Program (aka Joe Skeen Institute for Rangeland Restoration)

No data, zeroed out in FY2014.

(L) Forest Products Research Program

It is anticipated that \$1,500,000 will be available. Pertinent data to be provided by Program at a future date.

CRITERIA FOR SELECTING PROPOSALS:

Within guidelines established for the program as described in the Request for Application (RFA).

10.202 COOPERATIVE FORESTRY RESEARCH

McIntire-Stennis Cooperative Forestry Research Act (M/S) Program

FEDERAL AGENCY:

National Institute of Food and Agriculture, Department of Agriculture

AUTHORIZATION:

Executive Order Public Law 87-778 (76 Stat. 806, 16 U.S.C. 582a, et seq.) signed into law on October 10, 1962 is also known as the McIntire-Stennis Cooperative Forestry Research Act.

OBJECTIVES:

The purpose of this funding is to increase forestry research in the production, utilization, and protection of forestland; to train future forestry scientists; and to involve other disciplines in forestry research. Funding should also address the high priority issues described in the current M/S Strategic Plan: Sustaining Healthy and Productive Forests: An Investment in Americas Competitive Position in the Global Marketplace: (1) science of integration; (2) forest ecosystem services; (3) human attitudes and behaviors; (4) conflict, uncertainty, and decision-making; (5) technological advancements, productivity, and forest applications; and (6) urban ecosystems.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

The McIntire-Stennis Cooperative Forestry Research Act (M/S) grant is used to assist all states in carrying out a program of state forestry research at state forestry schools and colleges and developing a trained pool of forest scientists capable of conducting needed forestry research, which should include:

- (1) ecological restoration;
- (2) catastrophe management;
- (3) valuing and trading ecological services;
- (4) energy conservation, biomass energy and bio-based materials development;
- (5) forest fragmentation;
- (6) carbon sequestration and climate change; and
- (7) ways of fostering healthy forests and a globally competitive forest resources sector.

Additionally, M/S funds should be allocated to the following high priority issues:

- (1) science of integration (ecosystem or landscape approaches including interdisciplinary multi-state projects);
- (2) forest ecosystem services;
- (3) human attitudes and behaviors;
- (4) conflict, uncertainty, and decision-making;
- (5) technological advancements (biotechnology, nanotechnology and geospatial technology), productivity, and forest applications; and
- (6) urban ecosystems.

Funding is provided to the States through a formula-based allocation process which depends on several factors. First, a base amount (approximately \$25,000) is allocated to each State; however, this base amount is excluded from the formula. The balance of funding to each State is determined through a ranking process and dependent upon the following three factors:

- (1) forty percent of the remaining balance is allocated based on the area of non-Federal commercial forest land;
- (2) forty percent is allocated based upon the volume of timber cut annually from stock; and
- (3) twenty percent is allocated based on the total expenditures for forestry research from non-Federal sources.

Funds are then distributed to the eligible State-certified Institutions within the State as determined by the Governors designee. Applications may be submitted by State-certified Schools of Forestry as stipulated in accordance with Section 2 of Public Law 87-788, McIntire-Stennis Act. Section 7412 of the Food, Conservation, and Energy Act of 2008 amended Section 2 of the McIntire-Stennis Cooperative Forestry Act (16 U.S.C. 582a-1) to include the 1890 Land-grant Institutions and made this change effective October 1, 2008.

- (1) Approved CSREES M/S Projects, CSREES will fund the M/S Program for authorized activities. Funds must be expended on approved M/S projects.
- (2) Cost-Sharing and Matching funds are mandated in Section 4 of the McIntire-Stennis Act (16 U.S.C. 582a-3). 7 CFR 3015.50-56 prescribes the standards applicable to determining the allowability of cash and in-kind contributions for matching funds. Matching funds also must be expended on approved M/S projects. Formula grant recipients are to provide matching (as detailed in Part VIII.A.6 of the Formula Grant Opportunity (FGO)), either cash or in-kind, on a dollar-for-dollar basis (100 percent) on all Federal funds allotted. Eligible institutions located in insular areas (i.e., American Samoa, Guam, Micronesia, Northern Marianas, Puerto Rico, and the Virgin Islands) are not required to match amounts at or below \$100,000, if the allocation is below \$200,000.
- (3) Waiver of Indirect Costs. Only applicable to Insular Areas (i.e., American Samoa, Guam, Micronesia, Northern Marianas, Puerto Rico, and the Virgin Islands) for amounts at or below \$200,000.

(4) Indirect Costs and Tuition Remission. In accordance with Section 1473 of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 (7 U.S.C. 3319), indirect costs and tuition remission are unallowable as an M/S formula grant or matching expenditure. Fully discretionary.

Applicant Eligibility:

Funds are appropriated by Congress for distribution to State institutions certified as eligible by a State representative designated by the Governor of each State. Funds are apportioned among States by the Secretary of Agriculture after consultation with a National Advisory Council representing the State-certified forestry schools and other groups concerned with forestry research. This program is also available to Guam, Puerto Rico, the Virgin Islands, American Samoa, Northern Mariana Islands, and Micronesia.

Beneficiary Eligibility:

Funds are appropriated by Congress for distribution to State institutions certified as eligible by a State representative designated by the Governor of each State. Funds are apportioned among States by the Secretary of Agriculture after consultation with a National Advisory Council representing the State-certified forestry schools and other groups concerned with forestry research. This program is also available in Guam, Puerto Rico, the Virgin Islands, American Samoa, Northern Mariana, and Micronesia.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

All Formula Grant Opportunities (FGOs) are published on the Agencies website and Grants.gov. Applicants must complete the Grants.gov registration process. Please see the following Grants.gov link for more information: http://www.grants.gov/applicants/get_registered.jsp.

NIFA Applications (R&R Other Project Information - Section 4.4) requires a response to the question regarding "Actual or Potential Impact on the Environment." For this program the response is "No.". Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Applications should be submitted as outlined in the FGO. Applications must follow the instructions provided per Grants.Gov. Applicants are required to submit applications in response to both an interim FGO and a final FGO. The final FGO reflects the final formula allocations for the current fiscal year (FY).

Award Procedure:

Funding is provided to the States through a formula-based allocation process which depends on several factors. First, a base amount (approximately \$25,000) is allocated to each State; however, this base amount is excluded from the formula. The balance of funding to each State is determined through a ranking process and dependent upon the following three factors: (1) forty percent of the remaining balance is allocated based on the area of non-Federal commercial forest land ; (2) forty percent is allocated based upon the volume of timber cut annually from stock; and (3) twenty percent is allocated based on the total expenditures for forestry research from non-Federal sources. Funds are then distributed to the eligible State-certified Institutions within the State as determined by the Governors designee. All individual MS projects, as well as the annual Programs of Research, are reviewed and approved by the National Program Leader (NPL). Funds are not released on a quarterly basis unless an annual Program of Research is approved by the NPL.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. Dates for specific deadlines are announced in the FGO each fiscal year (FY).

Appeals:

Not Applicable.

Renewals:

Not applicable, each year of funding is awarded as a new grant.

Formula and Matching Requirements:

Statutory Formula: Public Law 87-778. 76 Stat. 806, 16 U.S.C. 582a, et seq. Matching Requirements: Percent: 100%. Funding is provided to the States through a formula-based allocation process which depends on several factors. First, a base amount (approximately \$25,000) is allocated to each State; however, this base amount is excluded from the formula. The balance of funding to each State is determined through a ranking process and dependent upon the following three factors: (1) forty percent of the remaining balance is allocated based on the area of non-Federal commercial forest land; (2) forty percent is allocated based upon the volume of timber cut annually from stock; and (3) twenty percent is allocated based on the total expenditures for forestry research from non-Federal sources. Funds are then distributed to the eligible State-certified Institutions within the State as determined by the Governors designee. Formula grant recipients are to provide matching [as detailed in Part VIII.A.6 of the Formula Grant Opportunity (FGO)], either cash or in-kind, on a dollar-for-dollar basis (100 percent) on all Federal funds allotted. Eligible institutions located in insular areas (i.e., American Samoa, Guam, Micronesia, Northern Marianas, Puerto Rico, and the Virgin Islands) are not required to match amounts at or below \$100,000, if the allocation is below \$200,000. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

M/S funds are expected to be fully expended in the fiscal year (FY) of appropriation; however funds may be carried forward one (1) additional fiscal year (FY). These carryover funds must be fully expended by September 30 of the following year. No prior approval to carryover these funds is required from NIFA. Method of awarding/releasing assistance: quarterly.

Reports:

Institutions are expected to submit an annual Program of Research, a listing of all approved McIntire-Stennis projects for said institution. Institutions must submit a Form AD-416, Work Unit Description; Form AD-417, Project Classification; CSREES-2008, Assurance Form; and Project Proposal through the Current Research Information System prior to the initiation of each M/S project. The project must undergo a review process and be approved before it is incorporated into the Program of Research. Each institution shall submit a CRIS Form AD-421, Accomplishments Report, annually for each eligible project. Reports from institutions reporting on a calendar year shall be submitted by April 1, 2010 for the preceding calendar year. Reports from institutions reporting on a fiscal year shall be submitted by February 1, 2010 for the preceding fiscal year.

An Accomplishments Report, CRIS Form AD-421, shall be submitted to CSREES for each completed or terminated project. Such reports shall be submitted at the same time as are progress reports on active projects and should include a brief summary of accomplishments for the entire life of the project.

A CRIS Form AD-419, Financial and Staff Support Report, shall be submitted to CSREES annually for all projects. CRIS Form AD-419 reports are also required for expenditures on all State projects that are to be included in the non-Federal funds and matching funds computation. Reports shall be made on a fiscal year basis and are to be submitted by February 1, 2010.

Institutions are required to submit the SF-425, Federal Financial Report, per Agency instructions. NIFA uses the SF-425, Federal Financial Report to monitor cash. Grantees are to submit initial project information and annual summary reports to NIFAs electronic, Web-based inventory system that facilitates both grantee submissions of project outcomes and public access to information on Federally-funded projects. The details of the reporting requirements are included in the award terms and conditions. Institutions are required to submit the SF-425, Federal Financial Report per Agency instructions. The office listed below provides agency oversight of these reports:

Formula Grant Branch
Awards Management Division
Office of Grants and Financial Management (OGFM)
National Institute of Food and Agriculture (NIFA)

U.S. Department of Agriculture (USDA)
STOP 2298
1400 Independence Avenue, SW
Washington, DC 20250-2298
Telephone: (202) 401-6520
Fax: (202) 690-3002

E-mail: formulagrantsquestions@nifa.usda.gov. Grantees are to submit initial project information and annual summary reports to NIFAs electronic, Web-based inventory system that facilitates both grantee submissions of project outcomes and public access to information on Federally-funded projects. The details of the reporting requirements are included in the award terms and conditions.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audits will be conducted in accordance with guidelines established in the revised OMB Circular No. A-133 and implemented in 7 CFR 3052. This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

In accordance with the Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and other Non-profit Organizations [2 CFR 215, Subpart C, Section 215.53, (OMB Circular A-110)] grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be retained at least three (3) years. Records must be retained beyond the three-year period if litigation is pending or audit findings have not been resolved.

Account Identification:

12-1500-0-1-352.

Obligations:

(Formula Grants (Apportionments)) FY 12 \$31,077,985; FY 13 est \$28,712,986; and FY 14 est \$31,014,093 - The difference between the appropriation and obligation numbers reflects legislative authorized set-asides deducted as appropriate, and in some cases the availability of obligational authority from prior years.

Range and Average of Financial Assistance:

If minimum or maximum amounts of funding are established, these will be announced in the Initial and/or Final Formula Grant Opportunity (FGO).

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: \$32,934,000 was appropriated by Congress for the Program for FY 2012. The net amount awarded to support the different programs was \$31,077,985. Each recipient of the grant is expected to match the grant amount 100% and the total match was \$127M. Two major accomplishments of the Program are:

1. Plantation pine acreage is now the predominant pine management regime practiced in the South. Rapid pine timber growth increases the size of the juvenile wood core that was relatively modest in naturally regenerated, slower grown trees. Juvenile wood results in production of weak lumber, warp-prone lumber, weak composite products, buckling in plywood veneers and weak paper products. The single issue of warp induced by inclusion of juvenile wood is estimated to result in most of the annual loss to the lumber manufacturing industry of \$500 million due to excessive warp following kiln drying. Researchers in a state university in the South have recently developed and patented a technology capable of detecting juvenile wood in green lumber in the sawmill. The technology utilizes non-contact radio frequency electrode scanners. This technology allows lumber containing juvenile wood to be identified and segregated for drying by warp-reducing techniques. Or, the lumber can be marketed for uses in which juvenile wood is not a quality issue. A considerable portion of the \$500 million in value degrade now lost can be prevented by

application of this patented technology. Southern forest products industries will benefit by receiving increased value for their products.

2. Using funds provided by the McIntire-Stennis program, a University from the south has developed biologically engineered trees that can clean up the thousands of acres of land in the U.S. contaminated with mercury. Using these trees, instead of the conventional methods, can not only save millions of dollars per contaminated site, but will also avoid the environmental disruption of the sites with conventional technologies. Fiscal Year 2013: The amount appropriated after two budget recessions and a sequestration is \$30.46M. The amount are principally used to address challenges along:

1. Climate change adaptation and mitigation;
 2. sustainable bioenergy (feedstock development, production and processing);
 3. food security (agroforestry and novel chemicals);
 4. forest ecosystem services;
 5. new forest products technologies; and
 6. new educational efforts aimed at training highly skilled research foresters.
- Fiscal Year 2014: The Presidents request for the Program is \$32.934 M for FY 2014.

REGULATIONS, GUIDELINES, AND LITERATURE:

Administrative Manual for the McIntire-Stennis Cooperative Forestry Research Program, (manual is currently under review); 7 CFR Part 3015, USDA Uniform Federal Assistance Regulations; 7 CFR Part 3017, Government wide Debarment and Suspension (Nonprocurement) ; 7 CFR Part 3018, New Restrictions on Lobbying; 7 CFR Part 3019, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-profit Organizations; and 7 CFR Part 3021 USDA implementation of Governmentwide Requirements for Drug-free Workplace (Financial Assistance).

Regional or Local Office:

None.

Headquarters Office:

USDA, NIFA, National Program Leader, Institute of Bioenergy, Climate and Environment Division of Environmental Systems, 1400 Independence Avenue, SW., STOP 2210., Washington, District of Columbia 20250-2210
Phone: (202) 720-5229 Fax: (202) 720-3945

Website Address:

<http://www.nifa.usda.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Examples of Projects funded in FY 2012 are:

1. Quantifying forest ecosystem structure and functioning related to changes in the air quality in the Southern United States.
2. Understanding cultural services provided by the maintenance of forest cover and the conservation of native ecosystems.
3. Spectroscopic modeling and development of wood composites.
4. Conservation and restoration of longleaf pine ecosystems.
5. Impact of changes in land use/cover on hydrology and water quality: a modeling and monitoring synthesis.
6. Carbon cycling and greenhouse gas emissions of northern white cedar forested peat lands.
7. Conservation of native Hawaiian forests and birds in a naturally fragmented landscape.
8. A carbon budget for retired farmland converted to forest energy crops.
9. Top-down control of biogeochemical hotspots in forest ecosystems.
10. Gene discovery for enhanced forest health and productivity. Fiscal Year 2013: Actual amount Congress appropriated for 2013 is \$30.46M. After deducting all costs, the net amount for distribution was \$ 28.71M. Examples of Projects funded include: 1. Impacts of urbanization flow and sediment regimes in coastal watersheds of South Carolina.
2. Forest valuation and financial issues in consulting forestry: solution techniques and applied computer software models.
3. Management practices to enhance carbon sequestration in Piedmont forests of the Southeastern United States.
4. Novel wood-based materials and processes for sustainable housing.

5. Process and economic evaluation of wood-based bioenergy processes.
6. Transformation of biopolymers for production of sustainable materials.
7. Carbon and water dynamics in forested ecosystems: implications for the 21st Century. Fiscal Year 2014: To be provided by Program at a future date. Presidents request is \$32.934 M.

CRITERIA FOR SELECTING PROPOSALS:

Within guidelines established for the program as described in the Formula Grant Opportunity (FGO).

10.203 PAYMENTS TO AGRICULTURAL EXPERIMENT STATIONS UNDER THE HATCH ACT

Hatch Act and The Hatch Act of 1887 aka

PAYMENTS TO AGRICULTURAL EXPERIMENT STATIONS UNDER THE HATCH ACT:

- (A) The Hatch Act of 1887 (Regular Research)
- (B) The Hatch Act of 1887 (Multistate Research Fund)

FEDERAL AGENCY:

National Institute of Food and Agriculture, Department of Agriculture

AUTHORIZATION:

Hatch Act of 1887, as amended; Public Law 84-352, 7 U.S.C. 361a-361i; Education Amendments of 1972, Section 506, Public Law 92-318; Public Law 93-471; Public Law 95-113; Education Amendments of 1980, Section 1361, Public Law 96-374, 7 U.S.C. 301; Public Law 97-98; Public Law 99-198; Public Law 101-624; Public Law 104-127; Public Law 105-185.

OBJECTIVES:

To support agricultural research at State Agricultural Experiment Stations. Its purpose is to promote efficient production, marketing, distribution, and utilization of products of the farm as essential to the health and welfare of people and to promote a sound prosperous agriculture and rural life. Up to 25 percent (25%) of funds to be used for integrated cooperative research and extension activities.

TWO (2) PROGRAMS ARE FUNDED UNDER CFDA 10.203. SPECIFIC OBJECTIVES ARE AS FOLLOWS:

- (A) The Hatch Act of 1887 (Regular Research)

The Hatch Act of 1887 provides the basis for Federal funding for agricultural research activities at the State Agricultural Experiment Stations in the 50 States, the District of Columbia, and the Insular Areas.

State Agricultural Experiment Stations are eligible for funds appropriated under this Act according to the following formula: The previous years base plus the current year increase as follows:

Three percent (3%) for Federal Administration,

Twenty percent (20%) equally,

Twenty-six percent (26%) in an amount which bears the same ratio to the total amount to be allotted as the rural population of the State bears to the total rural population of all the States as determined by the last preceding decennial census;

Twenty-six percent (26%) t in an amount which bears the same ratio to the total amount to be allotted as the farm population of the State bears to the total farm population of all the States as determined by the last preceding decennial census. Twenty-five percent (25%) for the Hatch Multistate Research Fund.

- (B) The Hatch Act of 1887 (Multistate Research)

Not less than twenty-five percent (25%) of the total Hatch Act of 1887 funding is allotted to the States for cooperative research employing multidisciplinary approaches in which a State agricultural experiment station, working with another State agricultural experiment station, the Agricultural Research Service, or a college or university, cooperates to solve problems that concern more than one (1) State. These funds are designated as the Multistate Research Fund, State Agricultural Experiment Stations. Funds are allocated on a prorata basis and allocations are adjusted to support national and regional projects. These projects and their associated budgets are reviewed and approved annually.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

USES:

Money appropriated pursuant to this Act shall also be available, in addition to meeting expenses for research and investigations conducted under authority of

Section 2, for printing and disseminating the results of such research, retirement of employees subject to the provisions of an Act approved March 4, 1940 (54 Stat. 39), administrative planning and direction, and for the purchase and rental of land and the construction, acquisition, alteration, or repair of buildings necessary for conducting research. The State Agricultural Experiment Stations are authorized to plan and conduct any research authorized under Section 2 of this Act in cooperation with each other and such other agencies and individuals as may contribute to the solution of the agricultural problems involved, and moneys appropriated pursuant to this Act shall be available for paying the necessary expenses of planning, coordinating, and conducting such cooperative research. Up to twenty-five percent (25%) of funds to be used for integrated cooperative research and extension activities.

- (A) The Hatch Act of 1887 (Regular Research)

This grant is used to support continuing agricultural research at institutions eligible to receive funds under the Act approved July 2, 1862 (12 Stat. 503, as amended) (1862 Land-Grant Institutions), as well as State agricultural experiment stations. Funds appropriated under this section shall be used to conduct original and other researches, investigations, and experiments bearing directly on and contributing to the establishment and maintenance of a permanent and effective agricultural industry of the United States, including researches basic to the problems of agriculture in its broadest aspects, and such investigations as have for their purpose the development and improvement of the rural home and rural life and the maximum contribution by agriculture to the welfare of the consumer, as may be deemed advisable, having due regard to the varying conditions and needs of the respective States. Further, funds may be used printing and disseminating the results of such research, retirement of employees subject to the provisions of an Act approved March 4, 1940 (54 Stat. 39), administrative planning and direction, and for the purchase and rental of land and the construction, acquisition, alteration, or repair of buildings necessary for conducting research.

- (B) The Hatch Act of 1887 (Multistate Research)

In addition to the uses applicable to the Regular Research funds, Multistate Research funds must be used for cooperative research employing multidisciplinary approaches in which a State agricultural experiment station, working with another State agricultural experiment station, the Agricultural Research Service, or a college or university, cooperates to solve problems that concern more than one (1) State.

These funds are known as the Hatch Multistate Research Fund (MRF).

RESTRICTIONS:

- (A) The Hatch Act of 1887 (Regular Research)

- (1) Approved NIFA Hatch Projects

Hatch Federal funding must be used on approved Hatch projects including Hatch Multistate Research Fund (MRF) projects.

- (2) Matching

Section 3(d)(1) of the Hatch Act of 1887 (7 U.S.C. 361c(d)(1)) states, with regard to institutions in the 50 states, no allotment shall be made to a State under subsection (b) or (c), and no payments from the allotment shall be made to a State, in excess of the amount that the State makes available out of non-Federal funds for agricultural research and for the establishment and maintenance of facilities for the performance of the research. However, section 3(d)(4) of the Hatch Act of 1887 (7 U.S.C. 361c(d)(4)) provides that Effective beginning for fiscal year (FY) 2003, in lieu of the matching funds requirement of paragraph (1), the insular areas of American Samoa, the Commonwealth of Puerto Rico, Guam, Micronesia, Northern Mariana Islands, and the Virgin Islands of the United States shall provide matching funds from non-Federal sources in an amount equal to not less than fifty percent (50%) of the formula funds distributed by the Secretary to each of the insular areas, respectively, under this section. The Secretary may waive the matching fund requirement [of fifty percent (50%)] for any fiscal year (FY) if the Secretary determines that the government of the insular area will be unlikely to meet the matching requirement for the fiscal year (FY).

Section 7403 of the Food, Conservation, and Energy Act of 2008 amended section 3(d)(4) of the Hatch Act to subject the District of Columbia to the same matching requirements as the insular 1862 Land-Grant Institutions upon enactment (May 22, 2008).

NIFA may consider and approve matching waivers submitted by State Agricultural Experiment Stations in the Insular Areas and the District of Columbia.

- (3) Indirect Costs and Tuition Remission

In accordance with section 1473 of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 (7 U.S.C. 3319), indirect costs and tuition remission are unallowable as Hatch formula grant expenditures.

(B) The Hatch Act of 1887 (Multistate Research)

(1) Approved NIFA Hatch MRF Projects

Hatch MRF Federal funding must be used on approved Hatch MRF projects.

(2) Matching

Section 3(d)(1) of the Hatch Act of 1887 (7 U.S.C. 361c(d)(1)) states, with regard to institutions in the 50 states, no allotment shall be made to a State under subsection (b) or (c), and no payments from the allotment shall be made to a State, in excess of the amount that the State makes available out of non-Federal funds for agricultural research and for the establishment and maintenance of facilities for the performance of the research. However, section 3(d)(4) of the Hatch Act of 1887 (7 U.S.C. 361c(d)(4)) provides that Effective beginning for fiscal year (FY) 2003, in lieu of the matching funds requirement of paragraph (1), the insular areas of American Samoa, the Commonwealth of Puerto Rico, Guam, Micronesia, Northern Mariana Islands, and the Virgin Islands of the United States shall provide matching funds from non-Federal sources in an amount equal to not less than fifty percent (50%) of the formula funds distributed by the Secretary to each of the insular areas, respectively, under this section. ... The Secretary may waive the matching fund requirement [of fifty percent (50%)] for any fiscal year (FY) if the Secretary determines that the government of the insular area will be unlikely to meet the matching requirement for the fiscal year (FY).

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In accordance with section 1473 of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 (7 U.S.C. 3319), indirect costs and tuition remission are unallowable as Hatch MRF formula grant expenditures.

Section 3(d)(1) of the Hatch Act of 1887 (7 U.S.C. 361c(d)(1)) states, with regard to institutions in the 50 states, no allotment shall be made to a State under subsection (b) or (c), and no payments from the allotment shall be made to a State, in excess of the amount that the State makes available out of non-Federal funds for agricultural research and for the establishment and maintenance of facilities for the performance of the research. However, section 3(d)(4) of the Hatch Act of 1887 (7 U.S.C. 361c(d)(4)) provides that Effective beginning for fiscal year (FY) 2003, in lieu of the matching funds requirement of paragraph (1), the insular areas of American Samoa, the Commonwealth of Puerto Rico, Guam, Micronesia, Northern Mariana Islands, and the Virgin Islands of the United States shall provide matching funds from non-Federal sources in an amount equal to not less than fifty percent (50%) of the formula funds distributed by the Secretary to each of the insular areas, respectively, under this section. ... The Secretary may waive the matching fund requirement [of fifty percent (50%)] for any fiscal year (FY) if the Secretary determines that the government of the insular area will be unlikely to meet the matching requirement for the fiscal year (FY).

Section 7403 of the Food, Conservation, and Energy Act of 2008 amended section 3(d)(4) of the Hatch Act to subject the District of Columbia to the same matching requirements as the insular 1862 Land-Grant Institutions upon enactment (May 22, 2008).

Applicant Eligibility:

(A) The Hatch Act of 1887 (Regular Research)

Hatch Act funds are provided for agricultural research on an annual basis to the State Agricultural Experiment Stations (SAESs) which were established under the direction of the college or university or agricultural departments of the college or university in each State in accordance with the act approved July 2, 1862 (7 U.S.C. 301 et seq.); or such other substantially equivalent arrangements as any State shall determine.

Award recipients may subcontract to organizations not eligible to apply for funding provided that such arrangements are necessary to complete the project.

(B) The Hatch Act of 1887 (Multistate Research)

Hatch Act funds are provided for agricultural research on an annual basis to the State Agricultural Experiment Stations (SAESs) which were established under the direction of the college or university or agricultural departments of the college or university in each State in accordance with the act approved July 2, 1862 (7 U.S.C. 301 et seq.); or such other substantially equivalent arrangements as any State shall determine.

Award recipients may subcontract to organizations not eligible to apply for funding provided that such arrangements are necessary to complete the project.

Beneficiary Eligibility:

Funds under the Hatch Act are allocated in accordance with the statutory formula stated in the Act to the State agricultural experiment stations of the 50 States, the District of Columbia, Guam, Puerto Rico, the Virgin Islands, American Samoa, Micronesia, and Northern Mariana Islands. These institutions have been identified and declared eligible by their respective State legislatures.

Credentials/Documentation:

No Credentials or documentation are required. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

All Formula Grant Opportunities (FGOs) are published on the Agencies website and Grants.gov. Applicants must complete the Grants.gov registration process. Please see the following Grants.gov link for more information: http://www.grants.gov/applicants/get_registered.jsp. This program is excluded from coverage under E.O. 12372.

Pre-award form submissions must be submitted to the Hatch as a new application on the www.grants.gov website. As noted previously, these application packages complement, rather than duplicate, the information collected via the Plan of Work (POW) system and the Current Research Information System (CRIS), and together satisfy all legislative and regulatory pre-award requirements.

Section 202 of the Agricultural Research, Extension, and Education Reform Act of 1998 (AREERA) amended the Smith-Lever Act and the Hatch Act to require approved plans of work for agricultural extension and research activities at 1862 Land-Grant Institutions in order to receive Federal funding. Therefore, each 1862 Land-Grant Institution must submit both a 5-Year Plan of Work Update (i.e., submitted each year as an update) and an Annual Report of Accomplishments and Results. Both reports were due by April 1.

Specific project details and pertinent information should be entered in the Current Research Information System (CRIS) as in prior years. CRIS is the U.S. Department of Agriculture's documentation and reporting system for ongoing agricultural, food and nutrition, and forestry research and education. CRIS contains over 30,000 descriptions of current, publicly-supported research and education activities. This work is sponsored or conducted by the USDA research agencies, the State agricultural experiment stations, the State Land-Grant Institutions and universities, State schools of forestry, cooperating schools of veterinary medicine, and USDA grant recipients. See Part VI.C. of the Formula Grant Opportunity (FGO) for specific CRIS requirements. An environmental impact statement is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. State Five (5) year plans of work must be submitted to and approved by NIFA before funds are released. Applications should be submitted as outlined in the FGO. Applications must follow the instructions provided per Grants.Gov. Applicants are required to submit applications in response to both an interim FGO and a final FGO. The final FGO reflects the final formula allocations for the current fiscal year (FY).

Award Procedure:

The Office of Planning and Accountability and CRIS Office will notify the OEP Financial Operations Branch regarding each institutions compliance with the Plan of Work reporting requirements [i.e., Five (5) Year Plan of Work Update and an Annual Report of Accomplishments and Results]. If all current program and administrative requirements have been met by the eligible institution, funding will continue to be released on a quarterly basis. The OEP Financial Operations Branch will notify the institutions when all requirements have been met or approved.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. Section :094 - Deadlines:

Dates for specific deadlines are announced in the FGO each fiscal year (FY).

Appeals:

Not Applicable.

Renewals:

Not applicable, each year of funding is awarded as a new grant.

Formula and Matching Requirements:

Statutory Formula: Title The Hatch Act of 1887, Public Law 084-352. 7 U.S.C. 361c(d)(1)

Formula: Payments to States for fiscal year (FY) 1955 was a fixed base and sums appropriated in excess of 1955 level use the following fund allocation statistical factors:

- (1) Twenty percent (20%) allotted equally to each State;
- (2) Not less than Fifty-two percent (52%) to States as follows: One-half (1/2) in an amount which bears the same ratio as the rural population of the State bears to the total rural population of all the States (and the source is the 1990 Decennial Census); and one-half (1/2) in an amount which bears the same ratio as the farm population of the State bears to the total farm population of all the States (and the source is the 1990 Decennial Census); and
- (3) At least Twenty-five percent (25%) to States for cooperative research in which two (2) or more State agricultural experiment stations are cooperating to solve problems of agriculture in more than one (1) State.

Matching Requirements: Percent: 100%. (A) The Hatch Act of 1887 (Regular Research) (B) The Hatch Act of 1887 (Multistate Research)

100 percent. However, the state agricultural experiment stations in the District of Columbia and the insular areas shall provide non-Federal matching funds equal to not less than 50% of the formula funds distributed by the Secretary of Agriculture. This requirement may be waived by the Secretary.

(B) The Hatch Act of 1887 (Multistate Research)

Required Multistate Match amounts are disclosed in FGO.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Hatch funds are expected to be fully expended in the fiscal year (FY) of appropriation; however, funds may be carried over for up to one (1) year after the end of the year for which they were appropriated. No prior approval is required to carry over funds for one (1) additional year; however, no additional carryover requests may be considered or approved, as no legislative authority to do so is provided. Method of awarding/releasing assistance: quarterly.

Reports:

Grantees are to submit initial project information and annual summary reports to NIFAs electronic, Web-based inventory system that facilitates both grantee submissions of project outcomes and public access to information on Federally-funded projects. The details of the reporting requirements are included in the award terms and conditions.

Institutions are expected to submit an annual Program of Research, a listing of all approved Hatch projects for said institution. Institutions must submit a Form AD-416, Work Unit Description; Form AD-417, Project Classification; NIFA-2008, Assurance Form; and Project Proposal through the Current Research Information System prior to the initiation of each Hatch project. The project must undergo a review process and be approved before it is incorporated into the Program of Research. Each institution shall submit a CRIS Form AD-421,

Accomplishments Report, annually for each eligible project. Reports from institutions reporting on a calendar year shall be submitted by April 1 for the preceding calendar year. Reports from institutions reporting on a fiscal year (FY) shall be submitted by February 1 for the preceding fiscal year (FY).

An Accomplishments Report, CRIS Form AD-421, shall be submitted to NIFA for each completed or terminated project. Such reports shall be submitted at the same time as are progress reports on active projects and should include a brief summary of accomplishments for the entire life of the project.

A CRIS Form AD-419, Funding and Staff Support Report, shall be submitted to NIFA annually for all projects. CRIS Form AD-419 reports are also required for expenditures on all State projects that are to be included in the non-Federal

funds and matching funds computation. Reports shall be made on a fiscal year (FY) basis and are to be submitted by February 1.

Section 202 of the Agricultural Research, Extension, and Education Reform Act of 1998 (AREERA) amended the Smith-Lever Act and the Hatch Act to require approved plans of work for agricultural extension and research activities at 1862 Land-Grant Institutions in order to receive Federal funding. Therefore, each 1862 Land-Grant Institution must submit both a Five (5) Year Plan of Work Update (i.e., submitted each year as an update) and an Annual Report of Accomplishments and Results. Both reports were due by April 1. NIFA uses the SF-425, Federal Financial Report to monitor cash. Grantees are to submit initial project information and annual summary reports to NIFAs electronic, Web-based inventory system that facilitates both grantee submissions of project outcomes and public access to information on Federally-funded projects. The details of the reporting requirements are included in the award terms and conditions.

Section 202 of the Agricultural Research, Extension, and Education Reform Act of 1998 (AREERA) amended the Smith-Lever Act and the Hatch Act to require approved plans of work for agricultural extension and research activities at 1862 Land-Grant Institutions in order to receive Federal funding. Therefore, each 1862 Land-Grant Institution must submit both a Five (5) Year Plan of Work Update (i.e., submitted each year as an update) and an Annual Report of Accomplishments and Results. Both reports were due by April 1. Institutions are required to submit the SF-425, Federal Financial Report per Agency instructions. The office listed below provides agency oversight of these reports:

Formula Grant Branch
Awards Management Division
Office of Grants and Financial Management (OGFM)
National Institute of Food and Agriculture (NIFA)
U.S. Department of Agriculture (USDA)

STOP 2298
1400 Independence Avenue, SW
Washington, DC 20250-2298
Telephone: (202) 401-6520
Fax: (202) 690-3002

E-mail: formulagrantquestions@nifa.usda.gov. Grantees are to submit initial project information and annual summary reports to NIFAs electronic, Web-based inventory system that facilitates both grantee submissions of project outcomes and public access to information on Federally-funded projects. The details of the reporting requirements are included in the award terms and conditions.

Section 202 of the Agricultural Research, Extension, and Education Reform Act of 1998 (AREERA) amended the Smith-Lever Act and the Hatch Act to require approved plans of work for agricultural extension and research activities at 1862 Land-Grant Institutions in order to receive Federal funding. Therefore, each 1862 Land-Grant Institution must submit both a Five (5) Year Plan of Work Update (i.e., submitted each year as an update) and an Annual Report of Accomplishments and Results. Both reports were due by April 1.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audits will be conducted in accordance with guidelines established in the revised OMB Circular No. A-133 and implemented in 7 CFR 3052. This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

In accordance with the Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and other Non-profit Organizations [2 CFR 215, Subpart C, Section 215.53, (OMB Circular A-110)] grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be retained at least three (3) years. Records must be retained beyond the three-year period if litigation is pending or audit findings have not been resolved.

Account Identification:

12-1500-0-1-352.

Obligations:

(Formula Grants (Apportionments)) FY 12 \$222,570,462; FY 13 est \$205,663,302; and FY 14 est \$222,111,492 - The difference between the appropriation and obligation numbers reflects legislative authorized set-asides deducted as appropriate, and in some cases the availability of obligational authority from prior years.

Multi-state allocations represent 25% of all increases above the FY 1955 base year.

NOTE: FY 2013 estimated amounts include dollar reductions calculated pursuant to the budget sequestration order issued March 1, 2013.

Range and Average of Financial Assistance:

If minimum or maximum amounts of funding are established, these will be announced in the Initial and/or Final Formula Grant Opportunity (FGO). See Appendix A of the Formula Grant Opportunity (FGO) for the specific allocation.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: (A) - The Hatch Act of 1887 (Regular Research)

For the FY 2012 award cycle, \$239,334,000 was appropriated for awards to the 51 1862 Land-grant universities and six land-grants in insular areas.

There are 7649 active Hatch research projects currently reporting in the Current Research Information System (CRIS). Those projects are described as plans of work for a five-year period and roughly 20% of the total number of projects are new each year. Projects are reviewed internally at the host institution and again by national program staff at NIFA. Projects report progress in the CRIS system.

Projects may span the spectrum of research affecting all aspects of agriculture, including soil and water conservation and use; plant and animal production, protection, and health; processing, distribution, safety, marketing, and utilization of food and agricultural products; forestry, including range management and range products; multiple use of forest rangelands, and urban forestry; aquaculture; home economics and family life; human nutrition; rural and community development; sustainable agriculture; molecular biology; and biotechnology. Research may be conducted on problems of local, State, regional, or national concern.

(B) - The Hatch Act of 1887 (Multistate Research)

The Hatch Act of 1887 provides Federal funding for agricultural research activities at the State Agricultural Experiment Stations in the 50 States, the District of Columbia, and the Insular Areas.

Not less than 25 percent of the total Hatch Act of 1887 funding is allotted to the States for cooperative research employing multidisciplinary approaches in which a State agricultural experiment station, working with another State agricultural experiment station, the Agricultural Research Service, or a college or university, cooperates to solve problems that concern more than one State. These funds are designated as the Multistate Research Fund, State Agricultural Experiment Stations. Funds are allocated on a prorata basis and allocations are adjusted to support national and regional projects. These projects and their associated budgets are reviewed and approved annually. A matching fund requirement exists for this Formula Grant Opportunity.

For the FY 2012 Formula Grant Opportunity, \$53,416,756 was available for project grant awards.

NIFA received a total of 57 applications requesting a total of \$53,416,756 in FY 2012.

The funding ratio for this program in FY 2012 was 100%.

Funded projects addressed multistate agricultural research projects. See Hatch Act research scope description in section 160. Fiscal Year 2013: (A) The Hatch Act of 1887 (Regular Research):

For the FY 2013 award cycle, \$165,650,537 was appropriated for awards to the 51 1862 Land-grant universities and six land-grants in insular areas.

There are 7170 active Hatch research projects currently reporting in the Current Research Information System (CRIS) or REEport systems. Those projects are described as plans of work for a five-year period and roughly 20% of the total number of projects are new each year. Projects are reviewed internally at the host institution and again by national program staff at NIFA. Projects report progress in the CRIS system.

Projects may span the spectrum of research affecting all aspects of agriculture, including soil and water conservation and use; plant and animal production, protection, and health; processing, distribution, safety, marketing, and utilization of food and agricultural products; forestry, including range management and range products; multiple use of forest rangelands, and urban forestry; aquaculture; home economics and family life; human nutrition; rural and community development; sustainable agriculture; molecular biology; and biotechnology. Research may be conducted on problems of local, State, regional, or national concern

(B) The Hatch Act of 1887 (Multistate Research Fund):

The Hatch Act of 1887 provides Federal funding for agricultural research activities at the State Agricultural Experiment Stations in the 50 States, the District of Columbia, and the Insular Areas.

Not less than 25 percent of the total Hatch Act of 1887 funding is allotted to the States for cooperative research employing multidisciplinary approaches in which a State agricultural experiment station, working with another State agricultural experiment station, the Agricultural Research Service, or a college or university, cooperates to solve problems that concern more than one State. These funds are designated as the Multistate Research Fund, State Agricultural Experiment Stations. Funds are allocated on a prorata basis and allocations are adjusted to support national and regional projects. These projects and their associated budgets are reviewed and approved annually. A matching fund requirement exists for this Formula Grant Opportunity.

For the FY 2013 Formula Grant Opportunity, \$50,321,528 was available for project grant awards.

NIFA received a total of 57 applications requesting a total of \$50,321,528 in FY 2013.

The funding ratio for this program in FY 2013 was 100%.

Funded projects addressed multistate agricultural research projects. See Hatch Act research scope description in section 160. Fiscal Year 2014: (A) - The Hatch Act of 1887 (Regular Research)

No data available. To be provided by Program at a future date.

(B) - The Hatch Act of 1887 (Multistate Research)

The Hatch Act of 1887 provides Federal funding for agricultural research activities at the State Agricultural Experiment Stations in the 50 States, the District of Columbia, and the Insular Areas.

Not less than 25 percent of the total Hatch Act of 1887 funding is allotted to the States for cooperative research employing multidisciplinary approaches in which a State agricultural experiment station, working with another State agricultural experiment station, the Agricultural Research Service, or a college or university, cooperates to solve problems that concern more than one State. These funds are designated as the Multistate Research Fund, State Agricultural Experiment Stations. Funds are allocated on a prorata basis and allocations are adjusted to support national and regional projects. These projects and their associated budgets are reviewed and approved annually. A matching

fund requirement exists for this Formula Grant Opportunity.

For the FY 2014 Formula Grant Opportunity, NIFA expects a similar level of funding and similar number of Formula Grant Opportunity proposals as in FY 2013.

Funded projects should address multistate agricultural research projects. See Hatch Act research scope description funding in section 160.

REGULATIONS, GUIDELINES, AND LITERATURE:

Administrative Manual for the Hatch (Experiment Station) Act as amended, Agricultural Handbook No. 381 revised November 1980; Manual for Cooperative Regional Research, revised 1986; 7 CFR Part 3015, USDA Uniform Federal Assistance Regulations; 7 CFR part 3017, Government wide Debarment and Suspension (Nonprocurement) and Government wide Requirements for Drug-Free Workplace (Grants); 7 CFR Part 3018, New Restrictions on Lobbying; and 7 CFR Part 3019, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Nonprofit Organizations.

Regional or Local Office:

None.

Headquarters Office:

USDA, NIFA, National Program Leader, Institute of Food Production and Sustainability, Division of Plant Systems-Protection, 1400 Independence Avenue, SW., STOP 2240, Washington, District of Columbia 20250-2240
Phone: (202) 401-4939 Fax: (202) 401-1782

Website Address:

<http://www.nifa.usda.gov/>

RELATED PROGRAMS:

10.200 Grants for Agricultural Research, Special Research Grants; 10.202 Cooperative Forestry Research; 10.205 Payments to 1890 Land-Grant Colleges and Tuskegee University; 10.207 Animal Health and Disease Research; 10.219 Biotechnology Risk Assessment Research; 10.250 Agricultural and Rural Economic Research; 10.500 Cooperative Extension Service; 10.652 Forestry Research

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: (A) The Hatch Act of 1887 (Regular Research)

Example 1: Central Metabolism and Transport in Plant Systems

Objectives: To understand central metabolism and transport in plant systems well enough to rationally manage and engineer them for human benefit.

1) Mapping metabolic fluxes underlying starch, protein, and oil production in developing seeds of crop and model plant species. 2) Mapping metabolic fluxes underlying the synthesis of bioenergy feedstocks in plant cells and their bioconversion by microbes. 3) Delineating the uptake metabolism storage and transfer of nitrogen and other nutrients in the arbuscular mycorrhizal symbiosis.

The project uses embryo culture and developing seeds of oilseed rape (*B. napus*), soybean (*G. max*), corn (*Z. mays*), or the model plant *Arabidopsis thaliana* to develop a better understanding of plant physiological processes.

Example 2: Alternative Crops for Industrial Uses

Objectives: 1) Assess and culture non-traditional plant species that may have potential for agricultural and industrial uses. 2) Re-examine old crops that have renewed agricultural or industrial potential for improvement of cultural methods. 3) Improve production of plant species with potential via cultural methods, such as fertility, cultivation, population density, as appropriate. 4) Adapt new plant species to agricultural needs via selection and genetic improvement.

Example 3: Bio-derived Fuels and Chemicals: Facilitating Development Through Property Characterization

This project concerns measurement and prediction of properties such as vapor pressure, surface tension and cold flow behavior to facilitate blending and increased adoption of biofuels. Another thrust focuses specifically on measurement and correlation of vapor-liquid, liquid-liquid, and solid-liquid solubilities for mixtures related to the succinic acid platform, to facilitate

development of bioderived chemicals from succinic acid.

Objectives: 1) Characterize boiling points, surface tensions, cold flow properties of biofuel components and their mixtures. 2) Develop and extend molecular simulation predictions for properties of biofuel components and bioderived chemicals. 3) Measure and develop correlations for vapor-liquid, liquid-liquid, and liquid-solid behavior for support of the succinic acid platform and reactive distillation as a method of upgrading for products such as diethyl succinate. 3) Disseminate information through publications and meetings. This project aims to capitalize on bioderived fuels and chemicals having more oxygen content in the molecular structure compared to petroleum-derived products.

Example 4: Integrated Systems Research and Development in Automation and Sensors for Sustainability of Specialty Crops

This project intends to establish the relationship between the internal characteristics of specialty crops and the quality of value-added processed products.

Objectives: 1. Adapt biological concepts associated with specialty crop production, harvest and postharvest handling into quantifiable parameters which can be sensed.

Experiments will study stone fruits (cherries, plums, apricots, peaches, etc) and other specialty crops.

Example 5: Sustainable Solutions to Problems Confronting Bee Health

Objectives: 1) Develop recommendations for beekeepers "best practices" to control varroa mites based on currently available methods and strategies for mite management. 2) Evaluate the role and causes of parasitic mites and pathogens such as viruses, protozoa and bacteria and their role in honey bee colony deaths. 3) Determine the effects of pesticides and other environmental chemicals on honey bee colony health. 4) Assess environmental factors, including nutrition and management practices that affect honey bee colony health will be determined; as well as determine the effects of interactions among various factors affecting honey bee colony health. 5) Coordinate research and extension efforts related to bee colony health; facilitate, through research and extension activities, the development of industry-based honey bee stock selection, maintenance and production programs that demonstrably incorporate traits that confer resistance to pests, parasites and pathogens.

(B) The Hatch Act of 1887 (Multistate Research)

1) This project will: assess the extent and nature of injury caused brown marmorated stink bug; develop monitoring methods for brown marmorated stink bug; determine the potential for biological control of the brown marmorated stink bug; determine the toxicity and field efficacy of selected insecticides for brown marmorated stink bug control in field crops, fruit, nursery and vegetable; develop best management practices for the brown marmorated stink bug; and deliver research based IPM recommendations to growers.

2) This project deals with biological control of arthropod pests and weeds. The project addresses the general goals of improving biological control through: conservation of existing natural enemies; augmentation programs involving repeated rearing and release; introduction of new natural enemies against invasive pests (Classical Biological Control); and evaluation and education.

3) This project addresses animal genome research. The project works to create shared genomic tools and reagents and sequence information to enhance the understanding and discovery of genetic mechanisms affecting traits of interest; facilitate the development and sharing of animal populations and the collection and analysis of new, unique and interesting phenotypes; and develop, integrate and implement bioinformatics resources to support the discovery of genetic mechanisms that underlie traits of interest.

4) The project investigates the ecology and management of European Corn Borer and other lepidopteran pests of corn; investigates the relationship between transgenic maize and the agricultural environment; adapts IPM systems for the changing pest complexes in maize; investigates ecology, evolution, genetics, and behavior of pest Lepidoptera; and employs electronic delivery methods to disseminate information related to sustainable management of Lepidopteran pests.

5) This project covers sustainable solutions to problems affecting bee health. The project is: developing and recommending to beekeepers "best practices"

for varroa mite control based on currently available methods and strategies for mite management; evaluating the role and causative mechanisms of parasitic mites and pathogens such as viruses, protozoa and bacteria in honey bee colony deaths; determining the effects of pesticides and other environmental chemicals on honey bee colony health; determining how environmental factors, including nutrition and management practices affect honey bee colony health; determining the effects of interactions among various factors affecting honey bee colony health; coordinating research and extension efforts related to bee colony health; facilitating, through research and extension activities, the development of industry-based honey bee stock selection, maintenance and production programs that demonstrably incorporate traits that confer resistance to pests, parasites and pathogens; and focusing on non-Apis bees, their conservation, pathology, susceptibility to pesticides, and their contribution to crop pollination including economic value. Fiscal Year 2013: (A) The Hatch Act of 1887 (Regular Research)

N/A Reporting period ends December 2013 reports are due within 90 days. Specific details will be provided by Program Unit at a future date.

(B) The Hatch Act of 1887 (Multistate Research)

1) This project will research flies impacting livestock, poultry, and food safety. The research will: characterize dispersal and population biology of stable flies and house flies, and develop monitoring methods for use in indoor and outdoor environments; establish extent of fly-borne dispersal of human and animal pathogens; and improve management tactics for stable flies and house flies.

2) This project addresses the adaptation, quality, and management of sustainable cellulosic biofuel. The research will: compare cropping systems such as annual, perennial, cool-season, and warm-season cellulosic crops and combinations with oil seed crops for biofuel potential in representative environments of the western region; measure biomass feedstock production, energy conservation, and economics with various management treatments at diverse locations in the region; determine production and economic sustainability and carbon sequestration of dedicated biofuel feedstocks; develop regional based bioenergy and transitional grants to expand resources; and explore regional private-public partnerships with the emerging biorefinery industry.

3) This project focuses on integrated management of Russian wheat aphid and other cereal arthropod pests. The project will: develop integrated management strategies for cereal aphid and other arthropod pests in small grains to improve economic viability of small-grain cropping systems while maintaining environmental quality and facilitate research into improved integrated pest management approaches at the field and landscape level to manage cereal arthropod pests.

4) This project researches reproductive performance in domestic ruminants. The project will discover and translate molecular, metabolic, genomic, endocrine, and immunologic mechanisms that influence testicular and ovarian function, reproductive behavior, conception rate, embryo and fetal development, attainment of puberty, and effects of climate/season on reproductive patterns of domestic ruminants.

5) This project addresses the assessment of the carbon sequestration potential of common agricultural systems on benchmark soils. The project will: evaluate the effects of land use, crop rotation, tillage practice, soil texture, and ecosystem age/rotation duration on soil carbon concentration, content, and sequestration and related soil physical and chemical properties; quantify and understand the physical and chemical processes that relate to and control soil carbon sequestration; and investigate spatial variability issues associated with soil carbon content and sequestration.

(B) The Hatch Act of 1887 (Multistate Research Fund):

1) This project will research flies impacting livestock, poultry, and food safety. The research will: characterize dispersal and population biology of stable flies and house flies, and develop monitoring methods for use in indoor and outdoor environments; establish extent of fly-borne dispersal of human and animal pathogens; and improve management tactics for stable flies and house flies.

2) This project addresses the adaptation, quality, and management of

sustainable cellulosic biofuel. The research will: compare cropping systems such as annual, perennial, cool-season, and warm-season cellulosic crops and combinations with oil seed crops for biofuel potential in representative environments of the western region; measure biomass feedstock production, energy conservation, and economics with various management treatments at diverse locations in the region; determine production and economic sustainability and carbon sequestration of dedicated biofuel feedstocks; develop regional based bioenergy and transitional grants to expand resources; and explore regional private-public partnerships with the emerging biorefinery industry.

3) This project focuses on integrated management of Russian wheat aphid and other cereal arthropod pests. The project will: develop integrated management strategies for cereal aphid and other arthropod pests in small grains to improve economic viability of small-grain cropping systems while maintaining environmental quality and facilitate research into improved integrated pest management approaches at the field and landscape level to manage cereal arthropod pests.

4) This project researches reproductive performance in domestic ruminants. The project will discover and translate molecular, metabolic, genomic, endocrine, and immunologic mechanisms that influence testicular and ovarian function, reproductive behavior, conception rate, embryo and fetal development, attainment of puberty, and effects of climate/season on reproductive patterns of domestic ruminants.

5) This project addresses the assessment of the carbon sequestration potential of common agricultural systems on benchmark soils. The project will: evaluate the effects of land use, crop rotation, tillage practice, soil texture, and ecosystem age/rotation duration on soil carbon concentration, content, and sequestration and related soil physical and chemical properties; quantify and understand the physical and chemical processes that relate to and control soil carbon sequestration; and investigate spatial variability issues associated with soil carbon content and sequestration. Fiscal Year 2014: (A) The Hatch Act of 1887 (Regular Research)

Specific details will be provided by Program Unit at a future date.

(B) The Hatch Act of 1887 (Multistate Research)

1) This project seeks to enhance economically and environmentally sustainable practices in temperate fruit production by focusing on rootstocks and root systems. Specifically, this project addresses high priorities within the crosscutting research areas of agricultural production, processing, and distribution, genetic resource development and manipulation, integrated pest management and economic development and policy.

2) This project will serve to further analyze and understand the results and potential of agriculture of the middle type values-based supply chains. This new effort will both re-examine the existing research studies from new perspectives and add additional baseline projects. Examples of the new issues to be explored are the implications of these values-based supply chains on the distribution of decision-making within the food system, the management of rural landscapes and natural resources, the well-being of rural communities, and the impact of public and private sector policies.

3) This project addresses the improvement of human health, nutrition, and wellness of the US population. The project addresses this challenge directly by focusing on the role of dietary n-3 fatty acids on numerous health conditions and translating information on n-3 fatty acids to the public. Two crosscutting objectives are: (1) Emphasize research that expands our understanding of the relationship between diet, health and disease prevention with particular focus on antioxidants, dietary lipids, functional foods/nutraceuticals, nutrient bioavailability, nutrient regulation of gene expression and nutrition and physical activity, and (2) Design effective nutrition education programs and delivery methods that modify human behavior such that individuals including those most at risk (pregnant women, infants, adolescents and the elderly) choose healthier diets.

(4) This project will focus on understanding and improving the response of photosynthesis to developmental and environmental factors that limit

productivity. The research spans all levels of organization from the molecular and cellular through the leaf, whole plant and canopy levels. Particular emphasis will be placed on abiotic stresses (i.e., heat, cold, drought and salinity), nitrogen and water use efficiency, and the signaling pathways that initiate the plant response.

(5) This project will generate necessary data on established and invasive soybean pest densities, distributions and biology that are critical to improve overall national soybean IPM programs. A focus on soybean aphids will continue, but invasive stink bugs, plataspid and insecticide-resistant Lepidoptera will receive more attention. The project will continue to focus on maintaining a sustainable soybean IPM program where sustainability is defined as pursuing maximal profitability in the short-term without sacrificing the potential for long-term stability of the system. We will continue our focus on utilizing a combination of biological, cultural, physical, and chemical tools to regulate pest populations while minimizing environmental risks.

CRITERIA FOR SELECTING PROPOSALS:

Within guidelines established for the program as described in the Formula Grant Opportunity (FGO).

10.205 PAYMENTS TO 1890 LAND-GRANT COLLEGES AND TUSKEGEE UNIVERSITY

Evans-Allen Research and/or Agricultural Research at 1890 Land-Grant Institutions, Including Tuskegee University and West Virginia State University
FEDERAL AGENCY:

National Institute of Food and Agriculture, Department of Agriculture

AUTHORIZATION:

Section 1445 of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 (NARETPA), enacted as Title XIV of Public Law 95113 (The Food and Agriculture Act of 1977) on Sept. 29, 1977 is also known as the Evans-Allen Research Program. This law provides the basis for Federal funding for agricultural research activities at 1890 Land-Grant Institutions under the Act of August 30, 1890 (26 Stat. 417419, as amended; 7 U.S.C. 321326 and 328), including Tuskegee University and West Virginia State University. Amended by Public Law 95-547, Public Law 97-98, Public Law 99-198, Public Law 101-624, Public Law 104-127 and Public Law 105-185., 7 U.S.C 321-326 and 328.

OBJECTIVES:

To support continuing agricultural research at colleges eligible to receive funds under the Act of August 30, 1890 (26 Stat. 417-419), as amended; 7 U.S.C. 321-326 and 328), including Tuskegee University and West Virginia State University. Its purpose is to promote efficient production, marketing, distribution and utilization of products of the farm as essential to the health and welfare of people and to promote a sound prosperous agriculture and rural life.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

This grant is used to support continuing agricultural research at 1890 Land-Grant Institutions, including Tuskegee University and West Virginia State University. Funds appropriated under this section shall be used for expenses of conducting agricultural research, printing, disseminating the results of such research, contributing to the retirement of employees subject to the provisions of the Act of March 4, 1940 (54 Stat. 3940, as amended; 7 U.S.C. 331), administrative planning and direction, and purchase and rental of land and the construction, acquisition, alteration, or repair of buildings necessary for conducting agricultural research. The eligible institutions are authorized to plan and conduct agricultural research in cooperation with each other and such agencies, institutions and individuals as may contribute to the solution to the solution of agricultural problems, and funds appropriated pursuant to section 1445 shall be available for paying the necessary expenses of planning, coordinating and conducting such cooperative research. **RESTRICTIONS:**

(1) Approved NIFA Evans-Allen Research Projects
NIFA will fund the Evans-Allen Research Program for authorized activities. Funds may be used on approved Evans-Allen Research Program projects.

(2) Matching
NARETPA section 1449 (7 U.S.C. 3222d) states that the State shall provide

matching funds from non-Federal sources. Such matching funds shall be for an amount equal to not less than ... 100 percent of the formula [grant] funds to be distributed to the eligible institution for fiscal year 2007 and each fiscal year thereafter. ... Notwithstanding [redistributing the funds], the Secretary may waive the matching funds requirement ... above the 50 percent level for any fiscal year for an eligible institution of a State if the Secretary determines that the State will be unlikely to satisfy the matching requirement.

7 CFR 3419.1 defines matching funds as funds from non-Federal sources made available by the State to the eligible institutions ... [for] programs or activities that fall within the purposes of agricultural research and cooperative extension under sections 1444 and 1445 of NARETPA ... or [for] qualifying educational activities. Matching funds means cash contributions and excludes in-kind matching contributions. It defines non-Federal sources as funds made available by the State to the eligible institution either through direct appropriation or under any authority (other than authority to charge tuition and fees paid by students) provided by a State to an eligible institution to raise revenue, such as gift acceptance authority or user fees. Finally, it defines qualifying educational activities as programs that address food and agricultural sciences components of an eligible institution.

7 CFR 3419.6 states that The required matching funds for the formula programs shall be used by an eligible institution for agricultural research and extension activities that have been approved in the plan of work required under sections 1444(d) and 1445(c) of the National Agricultural Research, Extension, and Teaching Policy Act of 1977... or for .. qualifying education activities.

Please note that while Federal funds must be expended on agricultural research, the matching funds may be used for agricultural research, agricultural extension, or qualifying educational activities. NIFA may consider and approve matching waivers above the 50 percent level.

(3) Indirect Costs and Tuition Remission

In accordance with NARETPA section 1473 (7 U.S.C. 3319), indirect costs and tuition remission are unallowable as Evans-Allen Research Program formula grant expenditures. Fully discretionary.

Applicant Eligibility:

Applications may be submitted by 1890 Land-Grant Universities, including Tuskegee University and West Virginia State University.

Beneficiary Eligibility:

Applications may be submitted by 1890 Land-Grant Universities, including Tuskegee University and West Virginia State University.

Credentials/Documentation:

No Credentials or documentation are required. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

All Formula Grant Opportunities (FGOs) are published on the Agency's website and Grants.gov. Applicants must complete the Grants.gov registration process. Please see the following Grants.gov link for more information: http://www.grants.gov/applicants/get_registered.jsp. This program is excluded from coverage under E.O. 12372.

Pre-award form submissions must be submitted to the Hatch as a new application on the www.grants.gov website. As noted previously, these application packages complement, rather than duplicate, the information collected via the Plan of Work (POW) system and the Current Research Information System (CRIS), and together satisfy all legislative and regulatory pre-award requirements.

Section 202 of the Agricultural Research, Extension, and Education Reform Act of 1998 (AREERA) amended the Smith-Lever Act and the Hatch Act to require approved plans of work for agricultural extension and research activities at 1862 Land-Grant Institutions in order to receive Federal funding. Therefore, each 1862 Land-Grant Institution must submit both a Five (5) Year Plan of Work Update (i.e., submitted each year as an update) and an Annual Report of Accomplishments and Results. Both reports were due by April 1.

Specific project details and pertinent information should be entered in the Current Research Information System (CRIS) as in prior years. CRIS is the U.S. Department of Agriculture's documentation and reporting system for ongoing agricultural, food and nutrition, and forestry research and education. CRIS contains over 30,000 descriptions of current, publicly-supported research and education activities. This work is sponsored or conducted by the USDA research agencies, the State agricultural experiment stations, the State Land-Grant Institutions and universities, State schools of forestry, cooperating schools of veterinary medicine, and USDA grant recipients. See Part VI.C. of the Formula Grant Opportunity (FGO) for specific CRIS requirements. An environmental impact statement is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applications should be submitted as outlined in the FGO. Applications must follow the instructions provided per Grants.Gov. Applicants are required to submit applications in response to both an interim FGO and a final FGO. The final FGO reflects the final formula allocations for the current fiscal year (FY).

Award Procedure:

The Office of Planning and Accountability and the OEP Formula Grants Section will be reviewing submitted documents to determine if all program, financial, and administrative requirements have been met and are current. To receive an annual allotment of funds, each eligible institution or State must first submit a plan of work to NIFA for approval. During the grant period, the Office of Planning and Accountability will notify the OEP Formula Grants Section and the institution regarding each institutions compliance with the Plan of Work reporting requirements (i.e., Five (5) Year Plan of Work Update and an Annual Report of Accomplishments and Results). If all current program and administrative requirements have been met by the eligible institution, funding will continue to be released on a quarterly basis. The OEP Formula Grants Section will notify the institutions when all requirements have been met or approved. The Office of Planning and Accountability will notify the OEP Formula Grants Section and the institution regarding each institutions compliance with the Plan of Work reporting requirements (i.e., Five (5) Year Plan of Work Update and an Annual Report of Accomplishments and Results). If all current program and administrative requirements have been met by the eligible institution, funding will continue to be released on a quarterly basis. The OEP Formula Grants Section will notify the institutions when all requirements have been met or approved.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. Dates for specific deadlines are announced in the FGO each fiscal year (FY).

Appeals:

Not Applicable.

Renewals:

Not applicable, each year of funding is awarded as a new grant.

Formula and Matching Requirements:

Statutory Formula: Title Evans-Allen Research, Public Law 95-113. 7 U.S.C. 321326 and 328

The 1890 Land-Grant Institutions, including Tuskegee University and West Virginia State University, are eligible for funds appropriated under this Act according to the following formula: Funds up to the total amount made available to all eligible institutions in the fiscal year ending September 30, 1978, under section 2 of the Act of August 4, 1965 (79 Stat.431; 7 U.S.C. 450i), shall be allocated among the eligible institutions in the same proportion as funds made available under section 2 of the Act of August 4, 1965, for the fiscal year ending September 30, 1978, are allocated among the eligible institutions. Of the funds in excess of that amount, 20 per cent shall be allotted among eligible institutions in equal proportions; 40 per cent shall be allotted among the eligible institutions in the proportion that the rural population of the

State in which each eligible institution is located bears to the total rural population of all the States in which eligible institution are located, as determined by the last preceding decennial census current at the time each such additional sum is first appropriated; and the balance shall be allotted among the eligible institutions in the proportion that the farm population of the State in which each eligible institution is located bears to the total farm population of all the States in which the eligible institutions are located, as determined by the last preceding decennial census current at the time each such additional sum is first appropriated. In computing the distribution of the allocated funds, the allotments to Tuskegee University and Alabama A&M University shall be determined as if each institution were in a separate State.

Matching Requirements: Percent: 100%. NARETPA section 1449 (7 U.S.C. 3222d) states that the State shall provide matching funds from non-Federal sources. Such matching funds shall be for an amount equal to not less than ... 100 percent of the formula [grant] funds to be distributed to the eligible institution for fiscal year 2007 and each fiscal year thereafter. ...

Notwithstanding [redistributing the funds], the Secretary may waive the matching funds requirement ... above the 50 percent level for any fiscal year for an eligible institution of a State if the Secretary determines that the State will be unlikely to satisfy the matching requirement.. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Evans-Allen Research Program funds are fiscal year appropriated and expected to be fully expended in the fiscal year of appropriation; however, funds may be carried over for up to one additional year. Please note there is no limitation on the amount of Federal funds that may be carried over for this one additional fiscal year. No prior approval from NIFA is required. Since this limitation is legislatively mandated, NIFA is not authorized to grant any carryover requests beyond this one additional year. Method of awarding/releasing assistance: quarterly.

Reports:

Grantees are to submit initial project information and annual summary reports to NIFAs electronic, Web-based inventory system that facilitates both grantee submissions of project outcomes and public access to information on Federally-funded projects. The details of the reporting requirements are included in the award terms and conditions.

The Plan of Work Update and Annual Report of Accomplishments and Results should have been submitted by April 1 online at the web address: <http://pow.NIFA.usda.gov>. Institutions must submit a Form AD-416, Work Unit Description; Form AD-417, Project Classification; NIFA-2008, Assurance Form; and Project Listing through the Current Research Information System at the initiation of each Evans-Allen Research project. Each eligible institution shall submit a CRIS Form AD-421, Accomplishments Report, annually. Reports from institutions reporting on a calendar year should have been submitted by April 1, for the preceding calendar year. Reports from institutions reporting on a fiscal year should have been submitted by February 1, for the preceding fiscal year. An Accomplishments Report, CRIS Form AD-421, shall be submitted to NIFA for each completed or terminated project. Such reports shall be submitted at the same time as are progress reports on active projects and should include a brief summary of accomplishments for the entire life of the project. A CRIS Form AD-419, Financial and Staff Support Report, shall be submitted to NIFA annually for all projects. CRIS Form AD-419 reports are also required for expenditures on all State projects that are to be included in the non-Federal funds and matching funds computation. Reports shall be made on a fiscal year basis and should have been submitted by February 1. NIFA uses the SF-425, Federal Financial Report to monitor cash. Grantees are to submit initial project information and annual summary reports to NIFAs electronic, Web-based inventory system that facilitates both grantee submissions of project outcomes and public access to information on Federally-funded projects. The details of the reporting requirements are included in the award terms and conditions.

The Plan of Work Update and Annual Report of Accomplishments and Results should have been submitted by April 1 online at the web address: <http://pow.NIFA.usda.gov>. Institutions are required to submit the SF-425, Federal Financial Report per Agency instructions. The office listed below provides agency oversight of these reports:

Formula Grant Branch
Awards Management Division
Office of Grants and Financial Management (OGFM)
National Institute of Food and Agriculture (NIFA)
U.S. Department of Agriculture (USDA), STOP 2298
1400 Independence Avenue, SW, Washington, DC 20250-2298
Telephone: (202) 401-6520, Fax: (202) 690-3002, E-mail:
formulagrants@nifa.usda.gov. Pre-award form submissions must be submitted to the Evans-Allen Research Program as a new application on the www.grants.gov website. As noted previously, these application packages complement, rather than duplicate, the information collected via the Plan of Work (POW) and Current Research Information System (CRIS) systems, and together satisfy all legislative and regulatory pre-award requirements. The Plan of Work Update and Annual Report of Accomplishments and Results should have been submitted by April 1 online at the web address: <http://pow.NIFA.usda.gov>. Section 225 of the Agricultural Research, Extension, and Education Reform Act of 1998 (AREERA) amended NARETPA to require an approved Plan of Work for agricultural extension and research activities at 1890 Land-Grant Institutions in order to receive Federal funding. Therefore, each 1890 Land-Grant Institution, including Tuskegee University and West Virginia State University, must submit both a Five (5) Year Plan of Work Update and an Annual Report of Accomplishments and Results. Both reports are due April 1. Specific project details and pertinent information should be entered in CRIS as in prior years. CRIS is the Department of Agriculture's documentation and reporting system for ongoing agricultural, food and nutrition, and forestry research and education. CRIS contains over 30,000 descriptions of current, publicly-supported research and education activities. This work is sponsored or conducted by the USDA research agencies, the State agricultural experiment stations, the State Land-Grant Institutions and universities, State schools of forestry, cooperating schools of veterinary medicine, and USDA grant recipients. See Part IV.C. of the Formula Grant Opportunity (FGO) for specific CRIS requirements.

Grantees are to submit initial project information and annual summary reports to NIFAs electronic, Web-based inventory system that facilitates both grantee submissions of project outcomes and public access to information on Federally-funded projects. The details of the reporting requirements are included in the award terms and conditions.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audits will be conducted in accordance with guidelines established in the revised OMB Circular No. A-133 and implemented in 7 CFR 3052. This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

In accordance with the Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and other Non-profit Organizations [2 CFR 215, Subpart C, Section 215.53, (OMB Circular A-110)] grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be retained at least three (3) years. Records must be retained beyond the three (3) year period if litigation is pending or audit findings have not been resolved.

Account Identification:

12-1500-0-1-352.

Obligations:

(Formula Grants (Apportionments)) FY 12 \$47,977,052; FY 13 est \$44,331,196; and FY 14 est \$47,878,310 - The difference between the appropriation and obligation numbers reflects legislative authorized set-asides deducted as appropriate, and in some cases the availability of obligational authority from prior years.

Range and Average of Financial Assistance:

If minimum or maximum amounts of funding are established, these will be announced in the Initial and/or Final Formula Grant Opportunity (FGO).

FY08: Financial assistance amounts ranged from 69,020 to 500,000. Average across 18 grants was \$350,185

See Appendix A of the Formula Grant Opportunity (FGO) for the specific allocations.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: A total of \$ 50,898,000 was appropriated for the Evans-Allen Program. After administrative costs were deducted, a total of \$47,977,052 was available to support research projects at each of the 1890 Land-Grant Institutions (18). Based on a formula, funds were distributed in range from \$1,225,169 - \$4,874,894. There is a dollar for dollar match requirement under this program. However, institutions may request waivers of up to 50% of their available funds, if matching funds cannot be secured. In 2012 ten institutions requested waivers for a total of \$9,402,418. Fiscal Year 2013: A total of \$47,073,749 was appropriated for the Evans-Allen Program. The funds available to the states after Federal administration deductions were \$44,331,196. Funds were distributed to each 1890 institution based on a formula and ranged in the amounts of \$1,170,883 - \$4,436,652. This program requires a 1:1 dollar match; but institutions might request and be granted a waiver for up to 50 percent of the total funds available. In FY 2013, it is anticipated that half of the institutions will request and demonstrate a need for a waiver of the matching requirement. Fiscal Year 2014: Pending appropriations; it is estimated the funding levels will be the same as FY 2013. Specific details will be provided by Program Unit at a future date.

REGULATIONS, GUIDELINES, AND LITERATURE:

Administrative Manual for Evans-Allen Cooperative Agricultural Research, May 1982; (manual is currently under review); 7 CFR Part 3015, USDA Uniform Federal Assistance Regulations; 7 CFR Part 3017, Government wide Debarment and Suspension (Nonprocurement) ; 7 CFR Part 3018, New Restrictions on Lobbying; 7 CFR Part 3019, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-profit Organizations; and 7 CFR Part 3021 USDA implementation of Governmentwide Requirements for Drug-free Workplace (Financial Assistance).

Regional or Local Office:

None.

Headquarters Office:

USDA, NIFA, National Program Leader, Institute of Youth, Family, and Community, 1400 Independence Avenue, SW., STOP 2250, Washington, District of Columbia 20250-2250 Phone: (202) 720-9278 Fax: (202) 720-9366

Website Address:

<http://www.nifa.usda.gov/>

RELATED PROGRAMS:

10.001 Agricultural Research_Basic and Applied Research; 10.200 Grants for Agricultural Research, Special Research Grants; 10.202 Cooperative Forestry Research; 10.203 Payments to Agricultural Experiment Stations Under the Hatch Act; 10.207 Animal Health and Disease Research; 10.219 Biotechnology Risk Assessment Research; 10.250 Agricultural and Rural Economic Research; 10.500 Cooperative Extension Service; 10.652 Forestry Research

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Following are examples of projects that were initiated with FY 2012 Evans-Allen Funds:

The project will decode and profile the whole active genome of the whole plant of individual cultivated peanut groups, for the first time. Genes will be used for comparisons among peanut groups to identify absolute differences and similarities. Resulting genes common to all four peanut groups will be used to compare it to soybean and medicago genes, respectively, to determine and profile genes that are common to all these species and potentially to all or most of the legume species.

This project addresses program priority areas in Global Food Security and Hunger, and Climate Change. The specific knowledge areas include:

- (1) appraisal of soil resources,
- (2) soil, plant, water, nutrient relationships,
- (3) processes and transformation in soil, water and air,
- (4) weather and climate,
- (5) nutrient utilization,
- (6) economics of agricultural production and farm management,
- (7) management and agricultural sustainability,
- (8) pollution prevention and mitigation,
- (9) waste disposal, recycling and use,
- (10) communication, education and information delivery,
- (11) domestic policy analysis, etc.

Our overall goal is to assess soil microbial community dynamics in relation to nitrogen compositions, sequestration and biochemical processes in organic agriculture practices. This information will aid in the selection of organic agricultural systems/practices with enhanced or sustained biogeochemical process achieved when land is subsequently converted to organic agriculture practices.

It is proposed to develop and evaluate two systems of sustainable aquaculture to determine feasibility and merits for use by small farmers and limited-resource families to supplement their diets with home-grown animal protein and fresh vegetables. These systems will operate at two spatial/social scales: household, and small farm/community. The overriding goals for the research component of this project are to develop and evaluate several aquaculture/gardening systems. Fiscal Year 2013: The following four projects have been proposed under the Evans-Allen Program.

According to the researchers for this project, statistics show that teen pregnancy is associated with high societal costs in the form of health and foster care, incarceration, and lost tax revenue. While many teen pregnancy prevention programs exist, very few programs have been developed that address the quality of life of the pregnant or parenting teen. This population can suffer from poor nutrition, increased risk of obesity, negative body image, and financial insecurity due to the challenges of caring for a child in their own adolescence. If not addressed, these issues can be passed onto their children, thus negatively impacting future generations. Therefore, research will be conducted to address the nutrition and well-being of teen mothers through a mentoring program designed to capitalize on the skills and efficiencies of Family and Consumer Science (FCS) students and professionals. FCS faculty will design a mentoring program that utilizes FCS students as mentors to teen mothers. The program content will be developed to address the most relevant needs of the population and FCS student mentors will be trained to deliver the content through engaging activities for mothers and children. Since childhood obesity is a national issue, the focus of the program is to improve the nutrition of the teen mothers in the program, and in turn, the nutritional outlook of their children. However, since FCS is interdisciplinary, the program will also include content to improve the well-being of the teen mothers through mentoring in appearance and dress, child development and parenting, and financial literacy.

In this project, research programming for Global Food Security and Hunger will focus efforts in four areas: aquaculture, genetic mapping, genomics and breeding for selected vegetables, and trialing of vegetables and cut flowers. To address climate change research scientists will:

- (1) develop innovative drainage systems;
- (2) evaluate vegetable, fruit and ornamental varieties for small farm production under changing environmental conditions;
- (3) investigate the use of high tunnels to ameliorate changing environmental conditions; and (4) develop rating method for soil-based runoff mitigation practices. The sustainable energy program will utilize a pilot plant thermophilic anaerobic digester by:
 - (1) testing the process thresholds and ecological resilience of thermophilic digesters using replicate experimental reactors;
 - (2) develop methods of repairing soil quality and vegetative growth of mined soils by utilizing treated solid waste to alleviate pollution and contamination, as well as improve productivity, and develop innovative drainage systems; and
 - (3) evaluate the use of anaerobically digested poultry litter as a soil amendment

at a local mountaintop removal site. Provide mine operators with novel management practices for the development of healthy and productive soil that will economically benefit the local communities. The targeted audience will include: Fish feed manufacturers, federal agencies (ARS) involved in rainbow trout breeding, fish farmers, Horticulturalists, plant breeders, farmers/growers, small-farm operators, minority farmers and landowners, underserved rural communities, state and federal agencies, and students, homeowners, consumers, volunteer organizations, various segments of the youth population, and other agricultural and natural resource focused entities.

The overall goal of one research project is to use a holistic approach to provide an environment that promotes healthy eating habits, active lifestyles, and concern for each other and the environment to foster lifelong habits. The project objectives are to:

- (1) conduct research on food preferences to revise/develop menus that meet or exceed the USDA Dietary Requirements;
- (2) conduct research to identify age-appropriate physical activities that will promote a healthier lifestyle;
- (3) use laboratory setting to introduce culturally diverse foods and physical activities; and
- (4) introduce environmentally friendly practices at the center to promote an environmentally healthy lifestyle.

The specific changes in action outcomes of this project include an:

- (1) increase in children's daily consumption of diversified fresh fruits and vegetables;
- (2) increase in the amount and overall length of age appropriate daily structured and open-ended physical activity times;
- (3) increase in the distribution of educational training and materials related to promoting healthy lifestyles; and
- (4) increase in the number of pre-service teachers and childcare centers trained to adopt sound nutrition and physical activity policies in childcare settings.

The main purpose of this proposed project is to increase production and use of cowpea so as to increase farm revenue and enhance the nutritional well-being of the farm family and other consumers while at the same time maintaining a sustainable food system. This goal will be met through the following four broadly interactive areas of focus: a) variety evaluation for broad ecological adaptability; b) sustainable pest management (tactics and efficacy), c) use as human food and forage/livestock feed supplement, d) soil health improvement (cover crop, fertility enhancement, weed suppression). Specific objectives are: a) to evaluate cowpea accessions for pest and disease resistance and vegetative vigor across three ecological zones; b) develop pest management practices appropriate for organic and small-scale production of cowpea for the fresh market food system; c) determine the molecular distinctiveness and biochemical/nutritional profiles of selected cultivars that determine their potential use for animal and human food; d) determine growth rates of goats raised on grass forage versus grass/cowpea mixed paddocks, and goat performance in cowpea over-seed into pasture rotational grazing system for goats; and e) perform economic analysis of cowpea-based Best Production Practices recommended from this project. Fiscal Year 2014: Pending appropriations, pertinent details will be provided at a future date.

CRITERIA FOR SELECTING PROPOSALS:

Within guidelines established for the program as described in the Formula Grant Opportunity (FGO).

10.206 GRANTS FOR AGRICULTURAL RESEARCH_COMPETITIVE RESEARCH GRANTS

National Research Initiative Competitive Grants Program (NRI)

FEDERAL AGENCY:

National Institute of Food and Agriculture, Department of Agriculture

AUTHORIZATION:

Competitive, Special and Facilities Research Grant Act, Section 2(2)(b), [7 U.S.C. 450i] ., 7 U.S.C 450i.

OBJECTIVES:

To support research, education and extension grants that address key problems of national, regional and multistate importance in sustaining all components of agriculture (farming, ranching, forestry, aquaculture, rural communities, human nutrition and obesity, food and fiber processing, etc.) Providing this support to US agriculture requires that the NRI advance fundamental. Building on these discoveries will necessitate new efforts in education and extension that deliver science-based knowledge to people, allowing them to make informed practical decisions. All grants were awarded on a competitive basis.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

NIFA Competitive Programs Unit administers the NRI. The purpose of the NRI Competitive Grants Program was to support research grants and integrated research, extension, and education grants that address key problems of National, regional, and multi-state importance in sustaining all components of agriculture (farming, ranching, forestry including urban and agroforestry, aquaculture, rural communities, human nutrition, processing, etc.). Providing this support requires that NRI advances fundamental sciences in support of agriculture and coordinates opportunities to build on these discoveries. Building on these discoveries will necessitate new efforts in education and extension that deliver science-based knowledge to people, allowing them to make informed practical decisions. Hence, the NRI accepted applications for fundamental research, mission-linked research, and integrated research, extension, and education projects. However, applicants should know that the NRI utilized no more than twenty-two percent (22%) of available funds to support integrated projects and that these funds were not distributed uniformly, but targeted to specific priorities. Targeted priorities for integrated projects were clearly identified within the detailed descriptions of program offerings [see Part II, E of the Fiscal Year (FY) 08 Request for Applications (RFAs)]. Funds may not be used for the renovation or refurbishment of research spaces (including energy retrofitting); purchase or installations of fixed equipment in such spaces; or planning, repair, rehabilitation, acquisition, or construction of buildings or facilities. Fully discretionary.

Applicant Eligibility:

For research projects, the eligibility requirements for the NRI were as follows: except where otherwise prohibited by law, State agricultural experiment stations, all colleges and universities, other research institutions and organizations, Federal agencies, national laboratories, private organizations or corporations, and individuals were eligible to apply for and to receive a competitive grant. The Agricultural Research Enhancement Awards (AREA) have some notable differences from these requirements. See Part II C, 2. of Fiscal Year (FY) 08 Requests for Applications (RFAs).

For integrated projects, the eligibility requirements for the NRI were as follows: except where otherwise prohibited by law, State agricultural experiment stations, all colleges and universities, research foundations maintained by colleges or universities, private research organizations with established and demonstrated capacities to perform research or technology transfer, Federal research agencies, and national laboratories were eligible to apply for and receive a competitive grant. The bridge grants have some notable differences from these requirements. See Part II, C, 3(b) of Fiscal Year (FY) 08 RFAs for details.

Unsolicited applications were not considered and applications from scientists at non-United States organizations were not accepted. Award recipients may subcontract to organizations not eligible to apply provided such organizations were necessary for the conduct of the project.

Beneficiary Eligibility:

For research grants eligibility includes State Agricultural Experiment Stations, U.S. colleges/universities, other U.S. research institutions and organizations, Federal agencies, national laboratories, private organizations or corporations, and individuals. For integrated research, education and extension grants eligibility includes State Agricultural Experiment Stations, U.S. colleges/universities, research foundations maintained by colleges or universities, private research organizations with established and demonstrated capacities to perform research or technology transfer, Federal research agencies and national laboratories. Proposals from scientists at non-U.S. organizations

will not be considered for support.

Credentials/Documentation:

A Data Universal Number System (DUNS) number must be obtained - A DUNS number is a unique number that identifies an organization. It has been adopted by the Federal government to help track how Federal grant money is distributed. If your organization does not have a DUNS number, call the special Dun & Bradstreet hotline at 1-866-705-5711 to receive one free of charge. You will receive a DUNS number within several days of your request. Please note, individual proprietorships (i.e. farmers, ranchers) can request and receive a DUNS number, but must register with Grants.gov as an organization, not as an individual.

Registration with Central Contractor Registry (CCR) is required - The CCR is the central government repository for organizations working with the Federal government. If your organization is not already registered, identify the primary contact who should register your organization. When your organization registers with CCR, it will be required to designate an e-Business Point of Contact (e-Business POC). The e-Business POC authorizes individuals to submit grant applications on behalf of the organization and creates a special password called a Marketing Partner ID Number (M-PIN) to verify individuals authorized to submit grant applications for the organization. Visit the CCR Web site at <http://www.ccr.gov> to begin this process. It may take several days to collect the information needed for your organizations registration. The CCR Assistance Center can be reached at 888-227-2423. This information collection is approved under OMB Control No. 0524-0039, NIFA Application Kit for Research and Extension Programs.

Applicants must furnish the information required in the RFAs. Successful applicants recommended for funding must furnish the information and assurances requested during the award documentation process. These include, but were not limited to the following:

Organizational Management Information - Specific management information relating to an applicant shall be submitted on a one (1) time basis, with updates on an as needed basis, as part of the responsibility determination prior to the award of a grant identified under this RFA, if such information has not been provided previously under this or another NIFA program. NIFA will provide copies of forms recommended for use in fulfilling these requirements as part of the preaward process. Although an applicant may be eligible based on its status as one of these entities, there were factors which may exclude an applicant from receiving Federal financial and nonfinancial assistance and benefits under this program (e.g., debarment or suspension of an individual involved or a determination that an applicant is not responsible based on submitted organizational management information). This information collection is approved under OMB Circular Control No. 0524-0026, Assurance of Compliance with the Department of Agriculture Regulations Assuring Civil Rights, Compliance and Organization Information.. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

All RFAs were published on the Agencies website and Grants.gov. Applicants must complete the Grants.gov registration process. Please see the following Grants.gov link for more information: http://www.grants.gov/applicants/get_registered.jsp. An environmental impact statement is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Applications should be submitted as outlined in the RFA. Applications must follow the instructions provided per Grants.gov and in the Agency guide to submitting applications via Grants.gov. This information collection is approved under OMB Control No. 0524-0039, NIFA Application Kit for Research and Extension Programs.

Award Procedure:

Applications were subjected to a system of peer and merit review in accordance with Section 103 of the Agricultural Research, Extension, and Education Reform Act of 1998 (7 U.S.C. 7613) by a panel of qualified scientists and other appropriate persons who were specialists in the field covered by the proposal.

Within the limit of funds available for such purpose, the NIFA Authorized Departmental Officer (ADO) shall make grants to those responsible, eligible applicants whose applications were judged most meritorious under the procedures set forth in the RFA. This information collection is approved under OMB Control No. 0524-0039, NIFA Application Kit for Research and Extension Programs. Reviewers were selected based upon training and experience in relevant scientific, extension, or education fields, taking into account the following factors: (a) The level of relevant formal scientific, technical education, or extension experience of the individual, as well as the extent to which an individual is engaged in relevant research, education, or extension activities; (b) the need to include as reviewers experts from various areas of specialization within relevant scientific, education, or extension fields; (c) the need to include as reviewers other experts (e.g., producers, range or forest managers/operators, and consumers) who can assess relevance of the applications to targeted audiences and to program needs; (d) the need to include as reviewers experts from a variety of organizational types (e.g., colleges, universities, industry, state and Federal agencies, private profit and non-profit organizations) and geographic locations; (e) the need to maintain a balanced composition of reviewers with regard to minority and female representation and an equitable age distribution; and (f) the need to include reviewers who can judge the effective usefulness to producers and the general public of each application.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

094 Deadlines:

Dates for specific deadlines were announced in the RFA each fiscal year (FY).

:095 Range of Approval/Disapproval Time:

From 30 to 180 days.

Appeals:

Not Applicable.

Renewals:

Proposals for renewal, were submitted at the announced deadline. Renewals were treated in competition with all other pending proposals. Authority has been repealed Repealed per Section 7406 of the Food, Conservation, and Energy Act of 2008 The Agriculture and Food Research Initiative (AFRI) was established to replace NRI. (See CFDA 10.310.).

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Funds were awarded competitively. No formula grants were awarded under this authority.

For equipment grants Unless criteria was met to qualify for waiver by the Secretary, matching shall not exceed 50 percent of the cost of the special research equipment or other equipment acquired using funds from the grant.

For applied research if the grant was commodity-specific; and not of national scope, the grant recipient was required to match USDA funds awarded on a dollar-for-dollar basis from non-Federal sources with cash and/or in-kind contributions.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Normally, competitive research projects were supported for periods of up to four (4) years. Method of awarding/releasing assistance: by letter of credit.

Reports:

Grantees were required to submit annual and summary progress reports via NIFA Current Research Information System (CRIS). This reporting requirement was approved under OMB Control No. 0524-0042, NIFA Current Research Information System (CRIS). CRIS is an electronic, web-based inventory system that facilitates both grantee submission of project outcomes and public access to information on Federally-funded projects.

Quarterly Reports of Federal Cash Transactions (SF-272) were required by

the Department of Health and Human Services (DHHS) (www.dpm.psc.gov) and were submitted online through the DHHS Payment Management System (PMS) website. If you become delinquent in these reports, you will not be able to access your funds. NIFA uses the SF-425, Federal Financial Report to monitor cash. Grantees were required to submit annual and summary progress reports via NIFA Current Research Information System (CRIS). This reporting requirement was approved under OMB Control No. 0524-0042, NIFA Current Research Information System (CRIS). CRIS is an electronic, web-based inventory system that facilitates both grantee submission of project outcomes and public access to information on Federally-funded projects.

Quarterly Reports of Federal Cash Transactions (SF-272) were required by the Department of Health and Human Services (DHHS) (www.dpm.psc.gov) and were submitted online through the DHHS Payment Management System (PMS) website. If you become delinquent in these reports, you will not be able to access your funds. A final Financial Status Report (SF-269) or Federal Financial Report (SF-425) is due within 90 days of the expiration date of the grant and should be submitted to the Awards Management Branch, Office of Extramural Programs at the address listed below, in accordance with instructions contained in 2 CFR 3430.55 (also refer to Section 3015.82 of the Uniform Federal Assistance Regulations).

Awards Management Branch
Office of Extramural Programs
National Institute of Food and Agriculture
U.S. Department of Agriculture
STOP 2271

1400 Independence Avenue, SW
Washington, DC 20250-2271

Telephone: (202) 401-4986. Grantees were required to submit annual and summary progress reports via NIFA Current Research Information System (CRIS). This reporting requirement was approved under OMB Control No. 0524-0042, NIFA Current Research Information System (CRIS). CRIS is an electronic, web-based inventory system that facilitates both grantee submission of project outcomes and public access to information on Federally-funded projects.

Quarterly Reports of Federal Cash Transactions (SF-272) were required by the Department of Health and Human Services (DHHS) (www.dpm.psc.gov) and were submitted online through the DHHS Payment Management System (PMS) website. If you become delinquent in these reports, you will not be able to access your funds.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

In accordance with the Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and other Non-profit Organizations [2 CFR 215, Subpart C, Section 215.53, (OMB Circular A-110)] grantees shall maintain separate records for each grant to ensure that funds were used for authorized purposes. Grant-related records were subject to inspection during the life of the grant and must be retained at least three (3) years. Records must be retained beyond the three-year period if litigation is pending or audit findings have not been resolved.

Account Identification:

12-1500-0-1-352.

Obligations:

(Project Grants) FY 12 \$0; FY 13 est \$0; and FY 14 est \$0 - NOTES: Program has not been funded since FY 2008. However, this CFDA is NOT

being archived. Statutory time limit for awards has not yet been reached.

See CFDA 10.310 for current Agricultural & Food Research Initiative (AFRI) Grants.

Range and Average of Financial Assistance:

Minimum and maximum amounts of funding per grant were established by the annual program announcement or RFA.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 3430, Competitive and Noncompetitive Non-Formula Federal Assistance Programs General Award Administrative Provisions; 2 CFR Part 215, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and other Non-profit Organizations (OMB Circular A-110); 2 CFR Part 220, Cost Principles for Educational Institutions (OMB Circular A-21); 2 CFR Part 225, Cost Principles for State, Local, and Indian Tribal Governments (OMB Circular A-87); 2 CFR Part 230, Cost Principles for Non-profit Organizations (OMB Circular A-122); 7 CFR Part 3015, USDA Uniform Federal Assistance Regulations; 7 CFR Part 3017, Government wide Debarment and Suspension (Nonprocurement) and Government wide Requirements for Drug-Free Workplace (Grants); 7 CFR Part 3018, New Restrictions on Lobbying; and 7 CFR Part 3019, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-profit Organizations.

Regional or Local Office:

None.

Headquarters Office:

USDA, NIFA, Competitive Programs, 1400 Independence Avenue, SW., Washington, District of Columbia 20250-2240 Phone: (202) 401-1782.

Website Address:

<http://www.nifa.usda.gov/>

RELATED PROGRAMS:

10.001 Agricultural Research_Basic and Applied Research; 10.200 Grants for Agricultural Research, Special Research Grants; 10.202 Cooperative Forestry Research; 10.203 Payments to Agricultural Experiment Stations Under the Hatch Act; 10.205 Payments to 1890 Land-Grant Colleges and Tuskegee University; 10.207 Animal Health and Disease Research; 10.219 Biotechnology Risk Assessment Research; 10.250 Agricultural and Rural Economic Research; 10.652 Forestry Research

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Within guidelines established for the program as described in the RFAs.

10.207 ANIMAL HEALTH AND DISEASE RESEARCH

(AHDR)

FEDERAL AGENCY:

National Institute of Food and Agriculture, Department of Agriculture

AUTHORIZATION:

Executive Order National Agricultural Research, Extension, and Teaching Policy Act of 1977, Section 1433, Public Law 95-113, as amended; Section 1429, Public Law 97-98; Public Law 99-198; Public Law 101-624; Public Law 104-127; Public Law 105-185.

OBJECTIVES:

The purpose of this funding is to increase animal health and disease research activities at accredited State veterinary schools or colleges or agricultural experiment stations that conduct animal health and disease research. To support animal health and disease research at eligible Schools and Colleges of Veterinary Medicine, and State Agricultural Experiment Stations whose purpose is to improve the health and productivity of food animals and horses through effective prevention, control or treatment of disease, reduction of losses from transportation and other hazards, and protect human health through control of animal diseases transmissible to people.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

The National Institute of Food and Agriculture (NIFA) requests applications for the FY 2009 formula grants authorized under authorized under section 1433 of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 (NARETPA) for the Animal Health and Disease Research (AHDR) Program. These grants are used to assist all states in carrying out a program of research activities designed to:

- (1) to meet expenses of conducting animal health and disease research, publishing and disseminating the results of such research, and contributing to the retirement of employees subject to the provisions of the Act of March 4, 1940 (54 Stat. 3940, as amended; 7 U.S.C. 331);
- (2) for administrative planning and direction; and
- (3) to purchase equipment and supplies necessary for conducting such research.

States are eligible for funds appropriated under this Act according to the following formula: One half of the State allotment will be distributed among the States in the proportion that the value of and income to producers from domestic livestock, poultry, and commercial aquaculture species in each State bears to the total value of and income to producers from domestic livestock, poultry, and commercial aquaculture species in all the States, based on the most current inventory of all cattle, sheep, swine, horses, poultry, and commercial aquaculture species published by the U.S. Department of Agriculture. The remainder of the State allotment will be distributed among the States in the proportion that the animal health and disease research capacity of the eligible institutions in each State bears to the total animal health and disease research capacity in all the States, as determined by NIFA.

Research on animal health and disease of food animals and horses conducted in eligible institutions under the following categories:

- (1) Infectious diseases;
- (2) internal and external parasites;
- (3) noninfectious diseases, toxins, poisons, transportation losses, predators and other hazards;
- (4) diseases and parasites of wildlife transmissible to food animals and horses; and
- (5) diseases and parasites of animals transmissible to people. (1) Approved NIFA AHDR Projects
AHDR Federal funding must be used on AHDR approved projects.

(2) Matching
7 U.S.C. 3200 provides that No funds in excess of \$100,000 shall be paid by the Federal Government to any State under this subchapter during any fiscal year in excess of the amount from non-Federal sources made available to and budgeted for expenditure by eligible institutions in the State during the same fiscal year for animal health and disease research. The Secretary is authorized to make such payments in excess of \$100,000 on the certificate of the appropriate official of the eligible institution having charge of the animal health and disease research for which such payments are to be made. If any eligible institution certified for receipt of matching funds fails to make available and budget for expenditure for animal health and disease research in any fiscal year sums at least equal to the amount for which it is certified, the difference between the Federal matching funds available and the funds made available to and budgeted for expenditure by the eligible institution shall be reapportioned by the Secretary among other eligible institutions of the same State, if there are any which qualify therefor, and, if there are none, the Secretary shall reapportion such difference among the other States.

(3) Indirect Costs and Tuition Remission
In accordance with section 1473 of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 (7 U.S.C. 3319), indirect costs and tuition remission are unallowable as AHDR formula grant expenditures. Fully discretionary.

Applicant Eligibility:

Eligibility is restricted to the following public nonprofit institutions having demonstrable capacity in animal disease research: (1) Schools and Colleges of Veterinary Medicine; and (2) State Agricultural Experiment Stations. Funds are

appropriated by Congress for distribution to States and eligible State institutions according to the statutory formula stated in the Act.

Applications may be submitted by accredited State veterinary schools or colleges or agricultural experiment stations that conduct animal health and disease research in accordance with NARETPA section 1433(c): Auburn University, Agricultural Experiment Station; Auburn University, School of Veterinary Medicine; Tuskegee University, School of Veterinary Medicine; University of Alaska, Agricultural Experiment Station; University of Arizona, Agricultural Experiment Station; University of Arkansas, Agricultural Experiment Station; University of California-Oakland, Agricultural Experiment Station; University of California-Davis, School of Veterinary Medicine; Colorado State University, Agricultural Experiment Station and College of Veterinary Medicine; University of Connecticut-Storrs, Agricultural Experiment Station; University of Delaware, Agricultural Experiment Station; University of Florida, Agricultural Experiment Station; University of Florida, College of Veterinary Medicine; University of Georgia, Agricultural Experiment Station; University of Georgia, College of Veterinary Medicine; University of Hawaii, Agricultural Experiment Station; University of Idaho, Agricultural Experiment Station; University of Illinois, Agricultural Experiment Station and College of Veterinary Medicine; Purdue University, Agricultural Experiment Station and College of Veterinary Medicine; Iowa State University, Agricultural and Home Economics Experiment Station; Iowa State University, College of Veterinary Medicine; Kansas State University, Agricultural Experiment Station and College of Veterinary Medicine; University of Kentucky, Agricultural Experiment Station; Louisiana State University, Agricultural Experiment Station; Louisiana State University, College of Veterinary Medicine; University of Maine, Agricultural Experiment Station; University of Maryland, Agricultural Experiment Station; University of Massachusetts, Agricultural Experiment Station; Tufts University, School of Veterinary Medicine; Michigan State University, Agricultural Experiment Station and College of Veterinary Medicine; University of Minnesota, Agricultural Experiment Station; University of Minnesota, College of Veterinary Medicine; Mississippi State University, Agricultural and Forestry Experiment Station and College of Veterinary Medicine; University of Missouri, Agricultural Experiment Station; University of Missouri, College of Veterinary Medicine; Montana State University, Agricultural Experiment Station; University of Nebraska, Agricultural Experiment Station; University of Nevada, Agricultural Experiment Station; University of New Hampshire, Agricultural Experiment Station; Rutgers University, Agricultural Experiment Station; New Mexico State University, Agricultural Experiment Station; Cornell University, Agricultural Experiment Station; Cornell University, College of Veterinary Medicine; North Carolina State University, Agricultural Experiment Station; North Carolina State University, College of Veterinary Medicine; North Dakota State University, Agricultural Experiment Station; Ohio State University, Ohio Agricultural Research & Development Center; Ohio State University, College of Veterinary Medicine; Oklahoma State University, Agricultural Experiment Station and College of Veterinary Medicine; Oregon State University, Agricultural Experiment Station; Pennsylvania State University, Agricultural Experiment Station; University of Pennsylvania, College of Veterinary Medicine; University of Puerto Rico, Agricultural Experiment Station; University of Rhode Island, Agricultural Experiment Station; Clemson University, Agricultural Experiment Station; South Dakota State University, Agricultural Experiment Station; University of Tennessee, Agricultural Experiment Station; University of Tennessee, College of Veterinary Medicine; Texas A&M University, Agricultural Experiment Station and College of Veterinary Medicine; Utah State University, Agricultural Experiment Station; University of Vermont, Agricultural Experiment Station; Virginia Polytechnic Institute and State University, Agricultural Experiment Station and College of Veterinary Medicine; Washington State University, Agricultural Experiment Station and College of Veterinary Medicine; West Virginia University, Agricultural and Forestry Experiment Station; University of Wisconsin, Agricultural Experiment Station and College of Veterinary Medicine; and University of Wyoming, Agricultural Experiment Station.

Award recipients may subcontract to organizations not eligible to apply for funding provided that such arrangements are necessary to complete the project or activity.

Beneficiary Eligibility:

Eligibility is restricted to the following public nonprofit institutions having demonstrable capacity in animal disease research: (1) Schools and Colleges of Veterinary Medicine; and (2) State Agricultural Experiment Stations. Funds are appropriated by Congress for distribution to States and eligible State institutions according to the statutory formula stated in the Act.

Credentials/Documentation:

No Credentials or documentation are required. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

All Formula Grant Opportunities (FGOs) are published on the Agency's website and Grants.gov. Applicants must complete the Grants.gov registration process. Please see the following Grants.gov link for more information: http://www.grants.gov/applicants/get_registered.jsp. An environmental impact statement is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applications should be submitted as outlined in the FGO. Applications must follow the instructions provided per Grants.gov. Applicants are required to submit applications in response to both an interim FGO and a final FGO. The final FGO reflects the final formula allocations for the current fiscal year (FY).

Award Procedure:

Fund will be released on a quarterly basis to the institutions. See Appendix A of the Formula Grant Opportunity (FGO) for the specific allocation.

AHDR funds must be fully expended in the fiscal year of appropriation; no waiver requests may be considered and approved as no carryover authority is provided in the authorizing legislation.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. Dates for specific deadlines are announced in the FGO each fiscal year (FY).

Appeals:

Not Applicable.

Renewals:

Not applicable, each year of funding is awarded as a new grant.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: 7 U.S.C. 3200 provides that No funds in excess of \$100,000 shall be paid by the Federal Government to any State under this subchapter during any fiscal year in excess of the amount from non-Federal sources made available to and budgeted for expenditure by eligible institutions in the State during the same fiscal year for animal health and disease research. The Secretary is authorized to make such payments in excess of \$100,000 on the certificate of the appropriate official of the eligible institution having charge of the animal health and disease research for which such payments are to be made. If any eligible institution certified for receipt of matching funds fails to make available and budget for expenditure for animal health and disease research in any fiscal year sums at least equal to the amount for which it is certified, the difference between the Federal matching funds available and the funds made available to and budgeted for expenditure by the eligible institution shall be reapportioned by the Secretary among other eligible institutions of the same State, if there are any which qualify therefore, and, if there are none, the Secretary shall reapportion such difference among the other States.. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

AHDR funds must be fully expended in the fiscal year of appropriation; no waiver requests may be considered and approved as no carryover authority is provided in the authorizing legislation. Method of awarding/releasing assistance: quarterly.

Reports:

Grantees are to submit initial project information and annual summary reports to NIFAs electronic, Web-based inventory system that facilitates both grantee submissions of project outcomes and public access to information on Federally-funded projects. The details of the reporting requirements are included in the award terms and conditions.

Institutions are expected to submit an annual Program of Research, a listing of all approved AHDR projects for said institution. Institutions must submit a Form AD-416, Work Unit Description; Form AD-417, Project Classification; NIFA-2008, Assurance Form; and Project Proposal through the Current Research Information System prior to the initiation of each AHDR project. The project must undergo a review process and be approved before it is incorporated into the Program of Research. Each institution shall submit a CRIS Form AD-421, Accomplishments Report, annually for each eligible project. Reports from institutions reporting on a calendar year shall be submitted by April 1, 2010 for the preceding calendar year.

Reports from institutions reporting on a fiscal year shall be submitted by February 1, 2010 for the preceding fiscal year.

An Accomplishments Report, CRIS Form AD-421, shall be submitted to NIFA for each completed or terminated project. Such reports shall be submitted at the same time as are progress reports on active projects and should include a brief summary of accomplishments for the entire life of the project.

A CRIS Form AD-419, Funding and Staff Support Report, shall be submitted to NIFA annually for all projects. CRIS Form AD-419 reports are also required for expenditures on all State projects that are to be included in the non-Federal funds and matching funds computation. Reports shall be made on a fiscal year basis and are to be submitted by February 1, 2010.

Financial reporting via the SF-269, Financial Status Report, for FY 2009 is due to the OEP Formula Grants Section on December 31, 2010. The SF-272, Federal Cash Transactions Report, or ST-425, Federal Financial Report for each calendar quarter is due online at the DHHS website (www.dpm.psc.gov) usually on the 15th day of November, February, May, and August for the preceding calendar quarter. DHHS may restrict payment requests from the grantees account if the SF-272 report is not submitted on time.

Grantees are to submit initial project information and annual summary reports to NIFAs electronic, Web-based inventory system that facilitates both grantee submissions of project outcomes and public access to information on Federally-funded projects. The details of the reporting requirements are included in the award terms and conditions. NIFA uses the SF-425, Federal Financial Report to monitor cash. Grantees are to submit initial project information and annual summary reports to NIFAs electronic, Web-based inventory system that facilitates both grantee submissions of project outcomes and public access to information on Federally-funded projects. The details of the reporting requirements are included in the award terms and conditions. Institutions are required to submit the SF-425, Federal Financial Report per Agency instructions. The office listed below provides agency oversight of these reports:

Formula Grant Branch
Awards Management Division
Office of Grants and Financial Management (OGFM)
National Institute of Food and Agriculture (NIFA)
U.S. Department of Agriculture (USDA)
STOP 2298
1400 Independence Avenue, SW
Washington, DC 20250-2298
Telephone: (202) 401-6520
Fax: (202) 690-3002

E-mail: formulagrantsquestions@nifa.usda.gov. Grantees are to submit initial project information and annual summary reports to NIFAs electronic, Web-based inventory system that facilitates both grantee submissions of project outcomes and public access to information on Federally-funded projects. The details of the reporting requirements are included in the award terms and conditions.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audits will be conducted in accordance with guidelines established in the revised OMB Circular No. A-133 and implemented in 7 CFR 3052. This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

In accordance with the Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and other Non-profit Organizations [2 CFR 215, Subpart C, Section 215.53, (OMB Circular A-110)] grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be retained at least three (3) years. Records must be retained beyond the three-year period if litigation is pending or audit findings have not been resolved.

Account Identification:

12-1500-0-1-352.

Obligations:

(Formula Grants (Apportionments)) FY 12 \$3,719,180; FY 13 est \$3,435,610; and FY 14 est \$0 - The difference between the appropriation and obligation numbers reflects legislative authorized set-asides deducted as appropriate, and in some cases the availability of obligational authority from prior years.

Range and Average of Financial Assistance:

If minimum or maximum amounts of funding are established, these will be announced in the Initial and/or Final Formula Grant Opportunity (FGO).

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Capacity block grants, in amounts determined by the applicable formula, were awarded to over 65 eligible State entities (Agricultural Experiment Stations and US Veterinary Colleges). These funds were used at the discretion of authorized Deans and Directors to address local, regional or national agricultural animal health and disease research priorities of their respective states. Fiscal Year 2013: Capacity block grants, in amounts determined by the applicable formula, are being awarded to over 65 eligible State entities (Agricultural Experiment Stations and US Veterinary Colleges). These funds are being used at the discretion of authorized Deans and Directors to address local, regional or national agricultural animal health and disease research priorities of their respective states. Fiscal Year 2014: Capacity block grants, in amounts determined by the applicable formula, will be awarded to over 65 eligible State entities (Agricultural Experiment Stations and US Veterinary Colleges). These funds will be used at the discretion of authorized Deans and Directors to address local, regional or national agricultural animal health and disease research priorities of their respective states.

REGULATIONS, GUIDELINES, AND LITERATURE:

Administrative Manual for the Continuing Animal Health and Disease Research Program, (dated March, 2000 - Manual is currently under review); 7 CFR Part 3015, USDA Uniform Federal Assistance Regulations; 7 CFR Part 3017, Government wide Debarment and Suspension (Nonprocurement); 7 CFR Part 3018, New Restrictions on Lobbying; 7 CFR Part 3019, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-profit Organizations; and 7 CFR Part 3021 USDA implementation of Governmentwide Requirements for Drug-free Workplace (Financial Assistance).

Regional or Local Office:

None.

Headquarters Office:

USDA, NIFA, National Program Leader, Institute of Food Production and Sustainability, Division of Animal Systems, 1400 Independence Avenue, SW., STOP 2240, Washington, District of Columbia 20250-2240 Phone: (202) 401-4952 Fax: (202) 401-1602

Website Address:

<http://www.nifa.usda.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: As occurs each year under this program, several dozen smaller (\$1,000 to \$30,000 each) projects were funded during FY-2011. Because so many smaller studies are supported annually some aspect of each of the topic areas listed below are generally represented in one or more projects each program year. In accordance with the legal authority for this program, all of the following project topic areas relate directly to health and/or disease in agriculturally relevant animals: infectious disease, metabolic disease, genetic disease, infertility, toxicoses, host-pathogen interactions, Ecology and epidemiology of disease, pathophysiology/pathogenesis of disease, prevention, diagnosis, treatment and control of disease, genetic/genomic/proteomic mechanisms of disease, strategies and resources to enhance US animal agrosecurity capacities and capabilities against high consequence foreign and endemic animal disease. Fiscal Year 2013: As occurs each year under this program, several dozen smaller (\$1,000 to \$30,000 each) projects were funded during FY-2012. Because so many smaller studies are supported annually some aspect of each of the topic areas listed below are generally represented in one or more projects each program year. In accordance with the legal authority for this program, all of the following project topic areas relate directly to health and/or disease in agriculturally relevant animals: infectious disease, metabolic disease, genetic disease, infertility, toxicoses, host-pathogen interactions, Ecology and epidemiology of disease, pathophysiology/pathogenesis of disease, prevention, diagnosis, treatment and control of disease, genetic/genomic/proteomic mechanisms of disease, strategies and resources to enhance US animal agrosecurity capacities and capabilities against high consequence foreign and endemic animal disease. Fiscal Year 2014: As occurs each year under this program, it is anticipated that several dozen smaller (\$1,000 to \$30,000 each) projects will be funded during FY-2013. Because so many smaller studies are supported annually some aspect of each of the topic areas listed below are generally represented in one or more projects each program year. In accordance with the legal authority for this program, all of the following project topic areas relate directly to health and/or disease in agriculturally relevant animals: infectious disease, metabolic disease, genetic disease, infertility, toxicoses, host-pathogen interactions, Ecology and epidemiology of disease, pathophysiology/pathogenesis of disease, prevention, diagnosis, treatment and control of disease, genetic/genomic/proteomic mechanisms of disease, strategies and resources to enhance US animal agrosecurity capacities and capabilities against high consequence foreign and endemic animal disease.

CRITERIA FOR SELECTING PROPOSALS:

Within guidelines established for the program as described in the Formula Grant Opportunity (FGO).

10.210 HIGHER EDUCATION GRADUATE FELLOWSHIPS GRANT PROGRAM

Institution Challenge, Multicultural Scholars & Graduate Fellowships Grant Program (Graduate Fellowships)

[Formerly: National Needs Fellowship (NFF)]

FEDERAL AGENCY:

National Institute of Food and Agriculture, Department of Agriculture

AUTHORIZATION:

Section 7106 of the Food, Conservation, and Energy Act (FCEA) of 2008 (Public Law 110-246) amends the legislative authority for this program contained in Section 1417 of the National Agricultural Research, Extension and Teaching Policy Act of 1977 (NARETPA) (99 Stat. 1548; 7 U.S.C. 3152) to include the University of the District of Columbia as an eligible applicant. , 7 U.S.C 3152.

OBJECTIVES:

The purpose of the NNF Grants Program is to train students for Masters and/or doctoral degrees and provide additional postdoctoral training for Fellows who have completed their doctoral degrees at colleges and universities that have demonstrable teaching and research competencies in the food and agricultural

sciences. Fellowships and IRTA awards are specifically intended to support traineeship programs that encourage outstanding students to pursue and complete their degrees or obtain postdoctoral training in areas where there is a national need for the development of scientific and professional expertise in the food and agricultural sciences. Fellowships and IRTA awards invest in graduate training and relevant international experiential learning for a cadre of diverse individuals who demonstrate their potential to successfully complete graduate degree programs in disciplines relevant to the mission of the USDA.

TYPES OF ASSISTANCE:**PROJECT GRANTS****USES AND USE RESTRICTIONS:**

Competitive annual grants providing for a limited number of graduate stipends are awarded to selected U.S. institutions of higher education so as to distribute support for graduate study to promising food and agricultural scientists and professionals. Individuals selected initially by the institution must enroll in a program leading to a graduate, master's or doctoral degree in one of the targeted national needs areas of the food and agricultural sciences. Such persons may not have been enrolled previously in the program at the same degree level. Current fellows are eligible to receive supplemental grants of \$3,000 to cover travel and living expenses for special international study or thesis/dissertation research experiences. Funding for the supplemental grants will be awarded on a competitive basis using no-year funds drawn from expired fellowship grants with unspent funds remaining as set forth in the annual program guidelines. No indirect cost allowed. Institutional allowance in lieu of indirect cost.

NNF stipend funds for fellowship must be used to:

- (1) support the same Fellow at the Masters degree level who maintains satisfactory progress and is eligible to receive grant support for a maximum of twenty-four (24) months during a thirty-month period, and/or
- (2) support the same Fellow at the doctoral degree level who maintains satisfactory progress and is eligible to receive grant support for a maximum of thirty-six (36) months within a forty-two-month period. Institutions must use a minimum stipend of \$18,500 per year for Masters Fellows and \$24,500 per year for Doctoral Fellows.

If funds remain as a result of USDA Fellows completing their studies early (less than twenty-four months for a Masters degree or less than thirty-six months for a doctoral degree) or Fellowships being terminated prematurely, such funds may be used, within the time remaining on the award, to support:

- (1) pursuit of a doctoral degree in a TESA in the food and agricultural sciences by a Masters degree level Fellow at the grantee institution, or
- (2) a Replacement Fellow.

The tenure of such a Replacement Fellow is limited to whatever time is remaining on the grant before it expires; however, an institution may not appoint a Replacement Fellow unless there is time for the student to complete at least one semester/quarter of study before the expiration date of the grant. Replacement Fellows must meet all of the eligibility criteria and other requirements established for Fellow selection and are subject to the same provisions as other Fellows during their tenure in the program. Replacement Fellows are entitled, during their tenure, to the same benefits that other Fellows receive from the grant award and National Needs Graduate and Postdoctoral Fellowship Grants Program. Institutions may, but are not required to, continue a Replacement Fellow's tuition and other benefits beyond the expiration date of the grant using institutional or other funds. A Fellow may not be simultaneously supported under multiple National Needs Graduate and Postdoctoral Fellowship Grants Program awards. Remaining funds cannot be used to increase annual Fellowship stipends provided to existing Fellows. If less than one semester/quarter remains on the award, the grantee must refund unexpended monies to NIFA. Such funds cannot be used to increase the annual stipend amounts for current USDA Graduate Fellows. Should a USDA Fellow require additional time beyond the grant period to complete his or her degree, it is expected that the grantee will consider alternatives to continue supporting the Fellow through other means. Tuition and fees are the responsibility of the Fellow unless an institution elects to use its cost-of-education institutional allowance for this purpose or elects to pay such costs out of non-USDA monies. No dependency allowances are provided to any USDA Graduate Fellows. Stipend payments and special international study or thesis/dissertation research travel allowances may be made to Fellows by the institution, in accordance with

standard institutional procedures for graduate fellowships and assistantships. When grants are for IRTAs that will be used by To-Be-Recruited Fellows: The IRTA funds for To-Be-Recruited fellows will be withheld until the Project Director has submitted, to the NNF Program Office, all of the required documentation for eligibility of the Graduate Fellow for the IRTA as well as the required specifics outlined in the RFA for the IRTA. The information must be submitted for approval, no less than 150 days prior to the use of the IRTA funds (see PART IV, B, 3, b, Section 1). This requirement will become a condition of the award. Fully discretionary.

Applicant Eligibility:

Proposals may be submitted by all U.S. colleges and universities that confer a master's or doctoral degree in at least one area of the food and agricultural sciences targeted for national needs fellowships. As defined in Section 1404 of the National Agricultural Research, Extension, and Teaching Policy Act of 1977, as amended (7 U.S.C. 3103), the terms "college" and "university" mean "an educational institution in any State which: (a) Admits as regular students only persons having a certificate of graduation from a school providing secondary education, or the recognized equivalent of such a certificate; (b) is legally authorized within such State to provide a program of education beyond secondary education; (c) provides an educational program for which a bachelor's degree or any other higher degree is awarded; (d) is a public or other nonprofit institution; and (e) is accredited by a nationally recognized accrediting agency or association." Eligibility also applies to research foundations maintained by eligible colleges or universities.

Beneficiary Eligibility:

Funds awarded in this program are used to support the training of graduate students to obtain either a master's or doctoral degree in one of the targeted specializations of the food and agricultural sciences.

Credentials/Documentation:

No Credentials or documentation are required. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

All RFAs are published on the Agencies website and Grants.gov. Applicants must complete the Grants.gov registration process. Please see the following Grants.gov link for more information:
http://www.grants.gov/applicants/get_registered.jsp.

An Environmental Impact statement (EIS) (actual or potential) is not required for this program. However the NIFA Application (R&R Other Project Information Part 4.4) requires a statement to that effect. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applications should be submitted as outlined in the RFA. Applications must follow the instructions provided per Grants.Gov and in the Agency guide to submitting applications via Grants.gov.

Award Procedure:

Applications are subjected to a system of peer and merit review in accordance with section 103 of the Agricultural Research, Extension and Education Reform Act of 1998 (7 U.S.C. 1613) by a panel of qualified scientists and other appropriate persons who are specialists in the field covered by the proposal. Within the limit of funds available for such purpose, the NIFA Authorized Departmental Officer (ADO) shall make grants to those responsible, eligible applicants whose applications are judged most meritorious under the procedures set forth in the RFA.

Reviewers will be selected based upon training and experience in relevant scientific, extension, or education fields, taking into account the following factors: (a) The level of relevant formal scientific, technical education, or extension experience of the individual, as well as the extent to which an individual is engaged in relevant research, education, or extension activities; (b) the need to include as reviewers experts from various areas of specialization within relevant scientific, education, or extension fields; (c) the need to include as reviewers other experts (e.g., producers, range or forest managers/operators, and consumers) who can assess relevance of the applications to targeted

audiences and to program needs; (d) the need to include as reviewers experts from a variety of organizational types (e.g., colleges, universities, industry, state and Federal agencies, private profit and non-profit organizations) and geographic locations; (e) the need to maintain a balanced composition of reviewers with regard to minority and female representation and an equitable age distribution; and (f) the need to include reviewers who can judge the effective usefulness to producers and the general public of each application.

Evaluation Criteria will be delineated in the RFA.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Section :094 - Deadlines:

Dates for specific deadlines are announced in the RFA each fiscal year. Information is also available via our website and may be obtained via the Grants.gov website. Respective links are provided below:
<http://www.nifa.usda.gov/>
<http://www.grants.gov>

Section :095 - Range of Approval/Disapproval Time:

From 30 to 180 days.

Appeals:

Not Applicable.

Renewals:

Specific details are provided in the Request for Applications (RFA) each fiscal year.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. Funds are awarded competitively. No formula grants are awarded under Subtitle K of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 [7 U.S.C. 3319e].

NIFA does not require matching or cost sharing support for this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants cover a five (5) year period, however, fellows are supported for three (3) years for a doctoral degree or two (2) years for a masters during that five (5) year period. Method of awarding/releasing assistance: by letter of credit.

Reports:

Grantees are to submit initial project information and annual summary reports to NIFAs electronic, Web-based inventory system that facilitates both grantee submissions of project outcomes and public access to information on Federally-funded projects. The details of the reporting requirements are included in the award terms and conditions. Cash reports are not applicable. Grantees are to submit initial project information and annual summary reports to NIFAs electronic, Web-based inventory system that facilitates both grantee submissions of project outcomes and public access to information on Federally-funded projects. The details of the reporting requirements are included in the award terms and conditions. A final Financial Status Report (SF-269) or Federal Financial Report (SF-425) is due within 90 days of the expiration date of the grant and should be submitted to the Awards Management Branch, Office of Extramural Programs at the address listed below, in accordance with instructions contained in 2 CFR 3430.55 (also refer to Section 3015.82 of the Uniform Federal Assistance Regulations).

Awards Management Division
Office of Grants and Financial Management
National Institute of Food and Agriculture (NIFA)
U.S. Department of Agriculture (USDA)
STOP 2271
1400 Independence Avenue, SW
Washington, DC 20250-2271
Telephone: (202) 401-4986. Grantees are to submit initial project information and annual summary reports to NIFAs electronic, Web-based inventory

system that facilitates both grantee submissions of project outcomes and public access to information on Federally-funded projects. The details of the reporting requirements are included in the award terms and conditions.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audits will be conducted in accordance with guidelines established in the revised OMB Circular No. A-133 and implemented in 7 CFR 3052. This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

In accordance with the Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and other Non-profit Organizations [2 CFR 215, Subpart C, Section 215.53, (OMB Circular A-110)] grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be retained at least 3 years. Records must be retained beyond the 3-year period if litigation is pending or audit findings have not been resolved.

Account Identification:

12-1500-0-1-352.

Obligations:

(Project Grants) FY 12 \$3,110,400; FY 13 est \$2,866,348; and FY 14 est \$0 - The difference between the appropriation and obligation numbers reflects legislative authorized set-asides deducted as appropriate, and in some cases the availability of obligational authority from prior years.

NOTES:

- (1) In terms of availability of appropriations (time), there are no time limits as to when "no-year" funds may be obligated and expended and the funds remain available for their original purposes until expended.
- (2) FY 2013 estimated amounts include dollar reductions calculated pursuant to the budget sequestration order issued March 1, 2013.
- (3) Effective in FY 2012, funding for three (3) Higher Education Programs: (Graduate Fellowships CFDA 10.210; Challenge CFDA 10.217; and Multicultural Scholars CFDA 10.220), were consolidated under Research & Education Activities. However, different legislative authorities are applicable and separate Requests for Applications (RFAs) were issued under the respective CFDA numbers.
- (3) The President's FY 2014 Budget proposal moves this program to the Department of Education or the National Science Foundation.

Therefore, FY 2014 funds are not reported for this NIFA Program.

Range and Average of Financial Assistance:

If minimum or maximum amounts of funding per competitive project grant or cooperative agreement are established, these will be announced in the annual program announcement or Request for Application (RFA).

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: In FY 2012, 57 applications were received for consideration. The success rate was 24.5%. Fourteen proposals were funded, yielding a total of 27 masters-level fellowships, and 22 doctoral-level fellowships. Applications addressed the following Targeted Expertise Shortage Areas: Animal and Plant Production; Forest Resources; Agricultural Educators and Communicators; Agricultural Management and Economics; Food Science and Human Nutrition; Sciences for Agricultural Biosecurity; and Integrative Biosciences for Sustainable Food and Agricultural Systems. Fiscal Year 2013: RFA to be released. Approximately 60 applications, and 15 awards are anticipated. Fiscal Year 2014: Approximately 60 applications, and 15 awards are anticipated.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 3430, Competitive and Noncompetitive Non-formula Grant Programs General Grant Administrative Provisions and Program-Specific Administrative Provisions; 7 CFR Part 3015, USDA Uniform Federal Assistance Regulations; 7 CFR Part 3017, Government wide Debarment and Suspension (Nonprocurement) ; 7 CFR Part 3018, New Restrictions on Lobbying; 7 CFR Part 3019, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-profit Organizations; and 7 CFR Part 3021 USDA implementation of Governmentwide Requirements for Drug-free Workplace (Financial Assistance).

Regional or Local Office:

None.

Headquarters Office:

USDA, NIFA, National Program Leader, Institute of Youth, Family, and Community, Division of Community and Education, 1400 Independence Avenue, SW., STOP 2250, , Washington , District of Columbia 20250-2250
Phone: (202) 720-2324 Fax: (202) 720-2030

Website Address:

<http://www.nifa.usda.gov/>

RELATED PROGRAMS:

10.216 1890 Institution Capacity Building Grants; 10.217 Higher Education Challenge Grants; 10.220 Higher Education Multicultural Scholars Program; 10.310 Agriculture and Food Research Initiative (AFRI)

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Projects were funded in the following Targeted Expertise Shortage Areas: Animal and Plant Production; Forest Resources; Agricultural Management and Economics; Food Science and Human Nutrition; Sciences for Agricultural Biosecurity; and Integrative Biosciences for Sustainable Food and Agricultural Systems. Supported projects contribute to the development of a strong STEM workforce, which is needed to keep this Nations competitiveness, through the research and academic training of graduate students. In addition, the awarded projects support the training of students at the Masters and doctoral levels, in order to fill the nationally identified expertise shortage areas in the food and agricultural sciences; encourage and recruit outstanding students, including those from traditionally underrepresented groups, to enter and complete graduate training in the food and agricultural sciences; and increase the quality and diversity of students completing graduate degrees in the food and agricultural sciences. Further information is available at <http://www.nifa.usda.gov/fo/nationalneedsgraduatefellowships.cfm>. Fiscal Year 2013: Not applicable. Pertinent details will be provided by Program at a future date. Fiscal Year 2014: Not applicable. Pertinent details will be provided by Program at a future date.

CRITERIA FOR SELECTING PROPOSALS:

- (1) The degree to which the proposal clearly establishes that the proposed program of graduate training will result in the development of outstanding scientific/professional expertise related to the national need area and in a reasonable period of time;
- (2) the degree to which the proposed program of study reflects special features such as a combination-disciplinary, approach (inter-disciplinary, multi-disciplinary or cross-disciplinary), a unique collateral specialization in a related discipline, experimental learning opportunities, a unique mentoring program, seminars, or a multi-university collaborative approach;
- (3) the degree to which the proposal establishes that the institution's faculty, facilities and equipment, instructional support resources, and other attributes are excellent for providing outstanding graduate study and research at the forefront of science and technology related to the chosen area of national need;
- (4) the degree to which the institution's plans and procedures for recruitment and selection of academically outstanding fellows and for monitoring and facilitating fellow's progress through a program of study reflect excellence as documented in the proposal;
- (5) the degree to which supporting summary data substantiate program quality in the targeted national need area;
- (6) the quality of the proposal as reflected by its substantive content, organization, clarity, and accuracy; and
- (7) any additional evaluation criteria as cited in the annual program

announcement published in the Federal Register.

10.212 SMALL BUSINESS INNOVATION RESEARCH

(SBIR Program - Phase I and II)

FEDERAL AGENCY:

National Institute of Food and Agriculture, Department of Agriculture

AUTHORIZATION:

This program solicitation is issued pursuant to the Small Business Innovation Development Act of 1982, Public Law 97-219, as amended (15 U.S.C. 638) and Section 630 of the Act making appropriations for Agriculture, Rural Development and Related Agencies programs for fiscal year ending September 30, 1987, and for other purposes, as made applicable by Section 101(a) of Public Law 99-591, 100 Stat. 3341.

OBJECTIVES:

To stimulate technological innovation in the private sector, strengthen the role of small businesses in meeting Federal research and development needs, increase private sector commercialization of innovations derived from USDA-supported research and development efforts, and foster and encourage participation, by women-owned and socially disadvantaged small business firms in technological innovation.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

The selected areas for research are Forests and Related Resources; Plant Production and Protection-Biology; Animal Production and Protection; Air, Water and Soils; Food Science and Nutrition; Rural and Community Development; Aquaculture; Biofuels and Biobased Products; Small and Mid-Size Farms, Plant Production and Protection-Engineering. The Small Business Innovation Research Program is carried out in three separate phases: Phase I projects are supported to determine the scientific or technical feasibility of ideas submitted by small business applicants on the selected research areas; Phase II awards are made to firms with approaches that appear sufficiently promising as a result of Phase I studies. Only those small businesses previously receiving Phase I awards in either of the two preceding fiscal years are eligible to submit Phase II proposals.

Phase II projects are limited to \$450,000 for a period normally not to exceed 24 months; Phase III is to be conducted by the small business concern (including joint ventures and limited partnerships), and will be non-SBIR funded through the exercising of a follow-on funding commitment. The purpose of Phase III is to stimulate technological innovation and the national return on investment from research through the pursuit of commercialization objectives resulting from the USDA-supported work carried out in Phases I and II. Grant funds must be used for allowable costs necessary to conduct approved fundamental and applied research, extension and education objectives to address food and agricultural sciences.

Fee:

A reasonable fee, not to exceed seven percent of total Federal funds awarded is permitted under this program, but applicants are encouraged to minimize fee requests due to the small amount of funds available. All fees are subject to negotiation with USDA.

Indirect Costs:

If available, the current rate negotiated with the cognizant Federal negotiating agency should be used. Indirect costs may not exceed the negotiated rate.

If no rate has been negotiated, a reasonable dollar amount in lieu of indirect costs may be requested, which will be subject to approval by USDA. In the latter case, if an application is recommended for funding, an indirect cost rate application must be submitted to support the amount of indirect costs requested. NIFA will request an indirect cost rate application and provide instructions, as necessary.

An applicant may elect not to charge indirect costs and, instead, use all grant funds for direct costs.

Further detailed instructions are provided in the SBIR RFA (solicitation). Fully discretionary.

Applicant Eligibility:

Applicant Eligibility (1) is organized for profit, with a place of business located in the United States, which operates primarily within the United States, or

which makes a significant contribution to the United States economy through the payment of taxes or use of American products, materials or labor; (2) is in the legal form of an individual proprietorship, partnership, limited liability company, corporation, joint venture, association, trust or cooperative, except that where the form is a joint venture, there can be no more than 49 percent participation by foreign business entities in the joint venture; (3) is at least 51 percent owned and controlled by one or more individuals who are citizens of, or permanent resident aliens in, the United States, except in the case of a joint venture, where each entity in the venture must be 51 percent owned and controlled by one or more individuals who are citizens of, or permanent resident aliens in the United States; and (4) has, including its affiliates, not more than 500 employees. The term "affiliates" is defined in greater detail in 13 CFR 121.103. The term "number of employees" is defined in 13 CFR 121.106.

Beneficiary Eligibility:

Small businesses.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

All RFAs are published on the Agency's website and Grants.gov. Applicants must complete the Grants.gov registration process. Please see the following Grants.gov link for more information: http://www.grants.gov/applicants/get_registered.jsp. An environmental impact statement is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Applications should be submitted as outlined in the RFA. Applications must follow the instructions provided per Grants.gov and in the Agency guide to submitting applications via Grants.gov.

Award Procedure:

Applications are subjected to a system of peer and merit review in accordance with Section 103 of the Agricultural Research, Extension and Education Reform Act of 1998 (7 U.S.C. 1613) by a panel of qualified scientists and other appropriate persons who are specialists in the field covered by the proposal. Within the limit of funds available for such purpose, the NIFA Authorized Departmental Officer (ADO) shall make grants to those responsible, eligible applicants whose applications are judged most meritorious under the procedures set forth in the RFA. Reviewers will be selected based upon training and experience in relevant scientific, extension, or education fields, taking into account the following factors: (a) The level of relevant formal scientific, technical education, or extension experience of the individual, as well as the extent to which an individual is engaged in relevant research, education, or extension activities; (b) the need to include as reviewers experts from various areas of specialization within relevant scientific, education, or extension fields; (c) the need to include as reviewers other experts (e.g., producers, range or forest managers/operators, and consumers) who can assess relevance of the applications to targeted audiences and to program needs; (d) the need to include as reviewers experts from a variety of organizational types (e.g., colleges, universities, industry, state and Federal agencies, private profit and non-profit organizations) and geographic locations; (e) the need to maintain a balanced composition of reviewers with regard to minority and female representation and an equitable age distribution; and (f) the need to include reviewers who can judge the effective usefulness to producers and the general public of each application. Evaluation Criteria will be delineated in the RFA.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Section :094 - Deadlines:

Dates for specific deadlines are announced in the RFA each fiscal year.

Information is also available via our website and may be obtained via the Grants.gov website. Respective links are provided below:

<http://www.nifa.usda.gov/> <http://www.grants.gov> Section :095 - Range of

Approval/Disapproval Time:
From 30 to 180 days.

Appeals:

Phase I applications may be revised and resubmitted during a later funding cycle, provided the subject matter of the proposal is not changed, and the topic area under which the proposal was originally submitted is still listed in the solicitation. Phase I grantees may apply for a Phase II grant only once for each Phase I project funded.

Renewals:

Specific details are provided in the Request for Applications (RFA) each fiscal year.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements. Funds are awarded competitively. No formula grants are awarded under this authority. CSREES does not require matching or cost sharing support for this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Phase I grants are normally limited to 8 months. Phase II grants are normally limited to 2 years. Method of awarding/releasing assistance: by letter of credit.

Reports:

Grantees are to submit initial project information and annual summary reports to NIFAs electronic, Web-based inventory system that facilitates both grantee submissions of project outcomes and public access to information on Federally-funded projects. The details of the reporting requirements are included in the award terms and conditions. Cash reports are not applicable. Grantees are to submit initial project information and annual summary reports to NIFAs electronic, Web-based inventory system that facilitates both grantee submissions of project outcomes and public access to information on Federally-funded projects. The details of the reporting requirements are included in the award terms and conditions. A final Financial Status Report (SF-269) or Federal Financial Report (SF-425) is due within 90 days of the expiration date of the grant and should be submitted to the Awards Management Division, Office of Grants and Financial Management at the address listed below, in accordance with instructions contained in 2 CFR 3430.55 (also refer to Section 3015.82 of the Uniform Federal Assistance Regulations).

Awards Management Division
Office of Grants and Financial Management
National Institute of Food and Agriculture (NIFA),
Department of Agriculture (USDA), STOP 2271,
1400 Independence Avenue, SW
Washington, DC 20250-2271,
Telephone: (202) 401-4986. Grantees are to submit initial project information and annual summary reports to NIFAs electronic, Web-based inventory system that facilitates both grantee submissions of project outcomes and public access to information on Federally-funded projects. The details of the reporting requirements are included in the award terms and conditions.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Periodic audits should be made as part of the recipient's systems of financial management and internal control to meet the terms and conditions of grants and other agreements. This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General. Federal audits will be made in accordance with the policies of the Federal Acquisition Regulations, 48 CFR Part 42, to ensure that funds have been applied efficiently, economically, and effectively.

Records:

In accordance with the Uniform Administrative Requirements for Grants and

Agreements with Institutions of Higher Education, Hospitals, and other Non-profit Organizations [2 CFR 215, Subpart C, Section 215.53, (OMB Circular A-110)] grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be retained at least 3 years. Records must be retained beyond the 3-year period if litigation is pending or audit findings have not been resolved.

Account Identification:

12-1500-0-1-352.

Obligations:

(Project Grants) FY 12 \$19,407,322; FY 13 est \$16,389,577; and FY 14 est \$20,500,144 - The difference between the appropriation and obligation numbers reflects legislative authorized set-asides deducted as appropriate, and in some cases the availability of obligational authority from prior years.

NOTES:

FY 2013 estimated amounts include dollar reductions calculated pursuant to the budget sequestration order issued March 1, 2013.

In terms of availability of appropriations (time), there are no time limits as to when "no-year" funds may be obligated and expended and the funds remain available for their original purposes until expended.

NOTE: Some of these funds are "No Year".

Range and Average of Financial Assistance:

If minimum or maximum amounts of funding per competitive project grant or cooperative agreement are established, these will be announced in the annual program announcement or Request for Application (RFA).

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: SBIR Phase I:
Phase I proposals were solicited in 10 different topic areas: Forests and Related Resources; Plant Production and Protection Biology; Plant Production and Protection Engineering; Animal Production and Protection; Air, Water and Soils; Food Science and Nutrition; Rural and Community Development; Aquaculture, Biofuels and Biobased Products; and Small and Mid-Size Farms. A total of 451 Phase I applications were submitted and 63 projects were funded for a success rate of 14%. A total of \$6,234,159 was spent on these projects.

SBIR Phase II:
Phase II proposals were solicited in 10 different topic areas: Forests and Related Resources; Plant Production and Protection Biology; Plant Production and Protection Engineering; Animal Production and Protection; Air, Water and Soils; Food Science and Nutrition; Rural and Community Development; Aquaculture, Biofuels and Biobased Products; and Small and Mid-Size Farms. A total of 50 Phase II applications were submitted and 25 projects have been funding for a success rate of 50%. A total of \$10,921,928 was spent on these 25 Phase II projects. Fiscal Year 2013: SBIR Phase I:

Phase I proposals were solicited in 10 different topic areas: Forests and Related Resources; Plant Production and Protection Biology; Plant Production and Protection Engineering; Animal Production and Protection; Air, Water and Soils; Food Science and Nutrition; Rural and Community Development; Aquaculture, Biofuels and Biobased Products; and Small and Mid-Size Farms. A total of 525 Phase I applications were submitted and 59 projects were funded for a success rate of 11%. A total of \$5,662,653 was spent on these projects.

SBIR Phase II:

Phase II proposals were solicited in 10 different topic areas: Forests and Related Resources; Plant Production and Protection Biology; Plant Production and Protection Engineering; Animal Production and Protection; Air, Water and Soils; Food Science and Nutrition; Rural and Community Development; Aquaculture, Biofuels and Biobased Products; and Small and Mid-Size Farms. A total of 51 Phase II applications were submitted and 29 projects have been recommended for funding for a success rate of 59%. A total of \$12,841,161 has been allocated to fund these 25 Phase II projects. Fiscal Year 2014: SBIR Phase I:

It is anticipated that between 450 and 550 applications will be submitted and that between 60 and 70 projects will be funded.

SBIR Phase II:

It is anticipated that 50-65 Phase II applications will be received and that approximately 50% of them will be funded.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 3430, Competitive and Noncompetitive Non-formula Grant Programs General Grant Administrative Provisions and Program-Specific Administrative Provisions; 7 CFR Part 3015, USDA Uniform Federal Assistance Regulations; 7 CFR Part 3017, Government wide Debarment and Suspension (Nonprocurement) ; 7 CFR Part 3018, New Restrictions on Lobbying; 7 CFR Part 3019, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-profit Organizations; and 7 CFR Part 3021 USDA implementation of Governmentwide Requirements for Drug-free Workplace (Financial Assistance).

Regional or Local Office:

None.

Headquarters Office:

USDA, NIFA, National Program Leader, Institute of Bioenergy, Climate and Environment Division of Environmental Systems, 1400 Independence Avenue, SW., STOP 2210, Washington, District of Columbia, 20250-2210, Telephone: (202) 720-5229, Fax: (202) 720-3945.

ADDITIONAL CONTACT:

USDA, NIFA, National Program Leader, Institute of Food Safety and Nutrition, Division of Food Safety, 1400 Independence Avenue, SW., STOP 2225, Washington, District of Columbia 20250-2225 Phone: (202) 401-1954, Fax (202) 401-4888.
, Washington , District of Columbia 20250-2210 Phone: (202) 720-5229

Website Address:

<http://www.nifa.usda.gov/fo/sbir>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: SBIR Phase I:

Control of the mountain pine beetle (MPB) is a critical problem. The SPLAT (Specialized Pheromone & Lure Application Technology) approach involves the incorporation of the MPB anti-aggregation semiochemical verbenone into a flowable substrate so that verbenone is released over a sustained period of time to control MPB attacks on pine trees.

Development of robotic selective harvesting technology will help to make the Florida citrus industry more productive. Modern citrus groves use high density semi-dwarf trees and open hydroponics with optimized nutrient and water availability to accelerate plant growth. To maximize the productivity of these new groves improved harvesting technology is needed.

Walleye is a highly valued foodfish and sportfish and efforts will be made to determine the feasibility of using hybridization together with intensive, in-tank fry-fingerling culture to produce food size walleye in one growing season in recirculating aquaculture systems .

Production of green diesel or biodiesel from biomass has many environmental benefits. Many different types of biomass can be converted to pyrolysis oil and then catalytically ungraded to green diesel. The process does not produce sulfur-containing compounds or any other environmentally harmful by-products.

Rural student math and science solving skills is a priority in many rural elementary and middle schools where distance and lack of resources pose special problems. A web-based distance learning software product will be developed that is designed to train students in all of the problem types required by the Common Core Math Standards.

SBIR Phase II:

Developing new markets for forest by-products, which encourages healthy timber management, is an important goal. Testing of small diameter round timbers and of Y-branched timbers has demonstrated that they have substantial strength and can be widely used in building systems. Development of cost-effective selection, grading and manufacturing techniques will enhance the commercial use of these special timbers.

Pruning of grapevines is a labor intensive operation. Efforts are under way to develop the necessary algorithms, software and hardware to develop a grapevine pruner that will be fast enough and accurate enough to make an autonomous grapevine pruner a commercial reality.

Soilborne fungal pathogens are a serious problem for plant agriculture. A new bacterium (*Streptomyces scopoliridis*) is being investigated as a potential biocontrol agent against various soilborne fungal pathogens. The manufacture of this bacterium will be scaled up to permit large scale field trials against selected fungal pathogens.

A real challenge for ranchers on the Great Plains is providing drinking water in winter for their livestock when water in stock tanks typically freezes. A solar stock tank heating system is being developed that will provide enough heat to keep the stock tank water open, so that the rancher does not have to travel to each stock tank to remove the ice.

Tower-mounted wind turbines have limited applicability due to high costs and the unavailability of high winds at low altitudes in most areas. An Airborne Wind Turbine (AWT) is being developed where a helium-filled balloon lifts a wind turbine 500 to 2000 feet above ground level where wind speeds are much higher. The AWT is connected to a ground-based electrical grid via a conductive tether. The tether also serves to keep the AWT in one place. Fiscal Year 2013: SBIR Phase I:

Cyanobacteria are photosynthetic N-fixing organisms that can be used as a source of N-rich bio-fertilizer. Field tests to compare crop yields and nitrogen use efficiency will be run comparing cyanobacterial bio-fertilizer with conventional fertilizer and other common organic fertilizers.

Vibrio tubiashii is a serious bacterial pathogen that kills larvae of shellfish such as oysters. Bacteriophages are viruses that are specific for certain bacteria. Bacteriophages that are specific for *V. tubiashii* will be tested for their ability to reduce oyster larvae mortality due to *V. tubiashii*.

Hydrokinetic river energy generates zero emission electricity from river currents. This system is ideal for isolated rural communities that are located on river systems where the hydrokinetic river energy can be used to replace diesel generators for production of electricity.

Porcine Respiratory Disease Complex (PRDC) is one of the major challenges for the swine industry. A rapid and easy-to-use diagnostic test that can be used in the field for rapid detection of PRDC is being developed.

Honey bees are critical to many aspects of American agriculture. An effective monitoring system is needed to better understand how to improve bee pollination efficiency and bee survivability. A solar powered beehive that is outfitted with various sensors will be developed to permit a better understanding of bee behavior and physiology.

SBIR Phase II:

Consumer demand for locally produced, all-natural meat has been growing substantially in recent years. The biggest challenge is how to insure a steady supply of meat and how to insure the economical transport of the meat from the local farmers to the customers. A food hub has been developed that is working with a group of local farmers, a local meat processor and various institutional customers to provide a steady supply of locally-sourced, high quality meat for a number of wholesale buyers.

Oil and gas production often produces large amounts of contaminated water at the same time and one of the contaminants of concern is boron. Treating the water so it can be reused is the best solution to this problem. To achieve this objective rapid, on-site testing methods are required. A microfluidics-based chemical testing system is under development to deal with this problem.

Dietary guidelines recommend eating 7-9 servings of fruits and vegetables per day. Vegetables also contain secondary metabolite phytochemicals that enhance nutrition and help to reduce the chances of chronic disease. Specific wavelengths of LED lights can enhance the content of some of these phytochemicals in leafy specialty crops, herbs and tomatoes. Efforts are underway to use LED lights to produce specialty crops with enhanced nutritional quality.

Mussels are a popular seafood that can be farmed on special rafts. These rafts can be positioned in sheltered locations but such locations are limited. More exposed locations provide a better environment for growing mussels but the exposure to wind, currents and sea ice can be damaging to mussel rafts. Efforts are underway to develop submersible mussel rafts that can be lowered 10-20 feet below the sea surface to avoid damage from waves and sea ice and provide superior conditions for the growth of mussels.

Lignocellulosic biomass can be broken down into C5 and C6 sugars that can be converted to myristic (C14) and palmitic (C16) fatty acids. These fatty acids can be converted into a variety of cosmetic and topical medicinal compounds. Conversion of lignocellulosic biomass into fatty acids adds considerable value to the lignocellulosic biomass. Fiscal Year 2014: SBIR Phase I
No data is available. Pertinent details will be provided by Program Unit at a future date.

SBIR Phase II:

No data is available. Pertinent details will be provided by Program Unit at a future date.

CRITERIA FOR SELECTING PROPOSALS:

(a) The proposing firm must qualify as a small business concern as specified in the SBIR solicitation; (b) The proposal must meet the Proposal Content and Format requirements as described in subsection 3.3 of the SBIR solicitation; (c) Proposals must be limited to one research problem (see subsection 3.1 of the SBIR solicitation); (d) The proposed budget must be within the dollar limit identified in subsection 1.2 of the SBIR solicitation; (e) The proposed duration of Phase I projects should normally not exceed 8 months, except in special, justified circumstances, and the duration of Phase II projects should normally not exceed 24 months. Where a proposed research project requires more than 8 months to complete in Phase I, a longer grant period, not to exceed 20 months, may be considered. An applicant of a Phase I project with an anticipated duration beyond 8 months should specify and justify the length of duration in the proposal at the time of its submission to USDA in order for it to be considered; (f) Proposals must cover scientific research activities only (see subsection 3.1 of the SBIR solicitation); (g) The proposed Phase I research must fall within a solicited topic area; (h) A proposal must contain adequate scientific/technical information to state clearly the research plan and objectives. USDA reserves the right not to submit for review any proposal which it finds to have insufficient scientific/technical information; (i) A resubmitted proposal must address concerns of the previous review panel. USDA reserves the right not to submit for review any proposal found not to be responsive to the previous review; and (j) Is it clear that the project director will work a minimum of 51 percent of his/her time for the small business firm during the period of the grant and that the small business firm will conduct a minimum of two-thirds of the research effort?.

10.215 SUSTAINABLE AGRICULTURE RESEARCH AND EDUCATION

(SARE)

FEDERAL AGENCY:

National Institute of Food and Agriculture, Department of Agriculture

AUTHORIZATION:

Section 1619-1624 of the Food, Agriculture, Conservation and Trade Act of 1990 (FACTA), Public Law 101-624 (7 U.S.C. 5801). , 7 U.S.C 5801; Section 1622 of FACTA (7 U.S.C. 5812), and for selected national projects, 7 U.S.C 5812.

OBJECTIVES:

The purpose of the Sustainable Agriculture Research and Education Program (SARE) program is to encourage research designed to increase our knowledge concerning agricultural production systems that: (1) maintain and enhance the quality and productivity of the soil; (2) conserve soil, water, energy, natural resources, and fish and wildlife habitat; (3) maintain and enhance the quality of surface and ground water; (4) protect the health and safety of persons involved in the food and farm system; (5) promote the well being of animals; and (6) increase employment opportunities in agriculture (section 1619-1624 of the Food, Agriculture, Conservation and Trade Act of 1990 (FACTA), Public Law 101-624 (7 U.S.C. 5801). The request for applications (RFA) is for the regional SARE host institutions to apply for funds to carry out the regional SARE programs under the direction of the SARE Regional Administrative Councils (section 1622 of FACTA (7 U.S.C. 5812)), and for selected national projects. Most SARE competitive grants are made by the SARE regions as subawards. Typically, approximately 85% of the award to a SARE regional host institution is used for subawards. Individuals and organizations interested in applying for SARE competitive grants should NOT respond to this funding opportunity; rather they should apply to the appropriate SARE regional program. (See <http://www.nifa.usda.gov/fo/sustainableagricultureresearchandeducation.cfm>).

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grant funds must be used for allowable costs necessary to conduct approved fundamental and applied research, extension and education objectives to address food and agricultural sciences. Funds shall not be used for the construction of a new building or facility or the acquisition, expansion, remodeling, or alteration of an existing building or facility (including site grading and improvement, and architect fees). Funds may not be used for any purposes other than those approved in the grant award documents. Funds shall not be used for tuition remission. Funds shall not be used for fixed equipment. Section 704 of the Consolidated and Further Continuing Appropriations Act, 2012 (P.L. 112-55) limits indirect costs to 10 percent of the total Federal funds provided under each cooperative agreements or similar arrangements. Therefore, when preparing budgets, applicants should limit their requests for recovery of indirect costs to the lesser of their institutions official negotiated indirect cost rate or the equivalent of 10 percent of total Federal funds awarded. Fully discretionary.

Applicant Eligibility:

Land-grant colleges or universities, other universities, State agricultural experiment stations, State cooperative extension services, nonprofit organizations, and individuals with demonstrable expertise, or Federal or State governmental entities.

Beneficiary Eligibility:

Land-grant colleges or universities, other universities, State agricultural experiment stations, State cooperative extension services, nonprofit organizations, and individuals with demonstrable expertise, or Federal or State governmental entities.

Credentials/Documentation:

No Credentials or documentation are required. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

All RFAs are published on the Agency's website and Grants.gov. Applicants must complete the Grants.gov registration process. Please see the following Grants.gov link for more information:

http://www.grants.gov/applicants/get_registered.jsp. An environmental impact statement is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applications should be submitted as outlined in the

RFA. Applications must follow the instructions provided per Grants.Gov and in the Agency guide to submitting applications via Grants.gov.

Award Procedure:

Regional technical committees will review, rank and prioritize proposals received from public and private organizations and make recommendations for funding to the regional administrative councils. Regional administrative councils will approve proposals for funding and associated plans of work and submit them to USDA. Research and education subcommittee on sustainable agriculture will review plans of work and the Department will give final approval. Applications are subjected to a system of peer and merit review in accordance with section 103 of the Agricultural Research, Extension and Education Reform Act of 1998 (7 U.S.C. 1613) by a panel of qualified scientists and other appropriate persons who are specialists in the field covered by the proposal. Within the limit of funds available for such purpose, the NIFA Authorized Departmental Officer (ADO) shall make grants to those responsible, eligible applicants whose applications are judged most meritorious under the procedures set forth in the RFA. Reviewers will be selected based upon training and experience in relevant scientific, extension, or education fields, taking into account the following factors: (a) The level of relevant formal scientific, technical education, or extension experience of the individual, as well as the extent to which an individual is engaged in relevant research, education, or extension activities; (b) the need to include as reviewers experts from various areas of specialization within relevant scientific, education, or extension fields; (c) the need to include as reviewers other experts (e.g., producers, range or forest managers/operators, and consumers) who can assess relevance of the applications to targeted audiences and to program needs; (d) the need to include as reviewers experts from a variety of organizational types (e.g., colleges, universities, industry, state and Federal agencies, private profit and non-profit organizations) and geographic locations; (e) the need to maintain a balanced composition of reviewers with regard to minority and female representation and an equitable age distribution; and (f) the need to include reviewers who can judge the effective usefulness to producers and the general public of each application.

Evaluation Criteria will be delineated in the RFA.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Dates for specific deadlines are announced in the RFA each fiscal year. Information is also available via our website and may be obtained via the Grants.gov website. Respective links are provided below:
<http://www.nifa.usda.gov/>; <http://www.grants.gov>
Section :095 - Range of Approval/Disapproval Time: From 30 to 180 days.

Appeals:

Not Applicable.

Renewals:

Specific details are provided in the Request for Applications (RFA) each fiscal year.

Formula and Matching Requirements:

This program has no statutory formula. This program has no matching requirements. Funds are awarded competitively. No formula grants are awarded under Subtitle K of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 [7 U.S.C. 3319e]. NIFA does not require matching or cost sharing support for this program. Funds are awarded competitively. No formula grants are awarded under this authority. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The term of a competitive grant under this authority may not exceed five (5) years. Method of awarding/releasing assistance: by letter of credit.

Reports:

Grantees are to submit initial project information and annual summary reports to NIFAs electronic, Web-based inventory system that facilitates both grantee submissions of project outcomes and public access to information on Federally-funded projects. The details of the reporting requirements are included in the award terms and conditions. Cash reports are not applicable.

Grantees are to submit initial project information and annual summary reports to NIFAs electronic, Web-based inventory system that facilitates both grantee submissions of project outcomes and public access to information on Federally-funded projects. The details of the reporting requirements are included in the award terms and conditions. A final Financial Status Report (SF-269) or Federal Financial Report (SF-425) is due within 90 days of the expiration date of the grant and should be submitted to the Awards Management Branch, Office of Extramural Programs at the address listed below, in accordance with instructions contained in 2 CFR 3430.55 (also refer to Section 3015.82 of the Uniform Federal Assistance Regulations).

Awards Management Division
Office of Grants and Financial Management
National Institute of Food and Agriculture (NIFA)
U.S. Department of Agriculture (USDA)
STOP 2271
1400 Independence Avenue, SW
Washington, DC 20250-2271

Telephone: (202) 401-4986. Grantees are to submit initial project information and annual summary reports to NIFAs electronic, Web-based inventory system that facilitates both grantee submissions of project outcomes and public access to information on Federally-funded projects. The details of the reporting requirements are included in the award terms and conditions.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

In accordance with the Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and other Non-profit Organizations [2 CFR 215, Subpart C, Section 215.53, (OMB Circular A-110)] grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be retained at least 3 years. Records must be retained beyond the 3-year period if litigation is pending or audit findings have not been resolved.

Account Identification:

12-1500-0-1-352.

Obligations:

(Project Grants) FY 12 \$13,530,964; FY 13 est \$12,501,458; and FY 14 est \$21,151,031 - The difference between the appropriation and obligation numbers reflects legislative authorized set-asides deducted as appropriate, and in some cases the availability of obligational authority from prior years.

NOTE:

Various Sustainable Agriculture Research and Education Programs are merged into a single program under the Research account in FY 2014.

Range and Average of Financial Assistance:

If minimum or maximum amounts of funding per competitive project grant or cooperative agreement are established, these will be announced in the annual program announcement or Request for Application (RFA).

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: For the FY 2012 award Cycle, \$ 13,530,964 was available after subtracting agency administrative costs and the SBIR assessment. Of this amount \$763,678 was used for communications, outreach and coordination activities at the national level and \$12, 577,923 was distributed equally among the four SARE Regions. Each region uses these funds to support a several different types of competitive sub-awards. These include a total of 31 Research and Education grants, 136 Farmer- Rancher or Producer plus Professional Grants and 51 Graduate student grants. Fiscal Year 2013: For the FY 2013

award cycle, \$12,501,814 was available after subtracting agency administrative costs and the SBIR assessment. Of this amount \$841,488 was used for communications, outreach and coordination activities at the national level and \$11,660,326 was distributed equally among the four SARE Regions. Each region uses these funds to support several different types of competitive sub-awards. These include Research and Education grants, Farmer- Rancher or Producer plus Professional Grants and Graduate student grants. For FY 2013, a comprehensive tabulation of the sub-awards by grant type is not yet available. Fiscal Year 2014: The FY2014 Funding Levels have not yet been established by Congressional Appropriations. NOTE: Various Sustainable Agriculture Research and Education Programs are merged into a single program under the Research account in FY 2014.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 3430, Competitive and Noncompetitive Non-formula Grant Programs General Grant Administrative Provisions and Program-Specific Administrative Provisions; 7 CFR Part 3015, USDA Uniform Federal Assistance Regulations; 7 CFR Part 3017, Government wide Debarment and Suspension (Nonprocurement) ; 7 CFR Part 3018, New Restrictions on Lobbying; 7 CFR Part 3019, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-profit Organizations; and 7 CFR Part 3021 USDA implementation of Governmentwide Requirements for Drug-free Workplace (Financial Assistance).

Regional or Local Office:

None.

Headquarters Office:

USDA, NIFA, National Program Leader, Institute of Food Production and Sustainability, Division of Agricultural Systems, 1400 Independence Avenue, SW., STOP 2240, Washington, District of Columbia 20250-2240 Phone: (202) 401-0151 Fax: (202) 401-5179

Website Address:

<http://www.nifa.usda.gov/>

RELATED PROGRAMS:

10.001 Agricultural Research_Basic and Applied Research; 10.200 Grants for Agricultural Research, Special Research Grants; 10.202 Cooperative Forestry Research; 10.203 Payments to Agricultural Experiment Stations Under the Hatch Act; 10.205 Payments to 1890 Land-Grant Colleges and Tuskegee University; 10.207 Animal Health and Disease Research; 10.250 Agricultural and Rural Economic Research; 10.500 Cooperative Extension Service; 10.652 Forestry Research

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: One example of a Producer +Professional grant funded in 2012 is OS12-066: Native Bee Assessment in North Georgia Apple Orchards: Measuring Diversity and Devising Methods to Boost Abundance. An example of a Research and Education project from the Northeast Region is LNE12-321: Education and Decision support strategy for farm-level economic and environmental assessment of dairy best management practices. An example of a graduate student grant from the Western region is GW12-004: Multiple Forms of Uncertainty as a Barrier to the Adoption of Sustainable Farming Practices. An example of a farmer /rancher grant from the North Central region is FNC12-894: Heron Lake Watershed District Cover Crop Demonstration Project. Fiscal Year 2013: One example of a Producer +Professional grant funded in 2013 in the Northeast is ONE13-180: Developing agronomic practices for flax production in the Northeast. An example of a Research and Education project from the Western region is SW13-056: Landscape Collaborative Grazing and Greater Sage Grouse Survival. An example of a graduate student grant from the Western region is GW13-011: Compost-Induced Disease Suppressive Soils for Control of Verticillium Wilt of Strawberry. An example of a farmer /rancher grant from the North Central region is FNC13-940: Evaluating the Roller-Crimper for Cover Crop Control in Corn and Soybeans on Terraced Ground. Fiscal Year 2014: No projects have yet been funded with FY 2014 funds. Pertinent details to be provided by Program at a future date.

NOTE: Various Sustainable Agriculture Research and Education Programs are merged into a single program under the Research account in FY 2014.

CRITERIA FOR SELECTING PROPOSALS:

Within guidelines established for the program as described in the Request for Application (RFA).

10.216 1890 INSTITUTION CAPACITY BUILDING GRANTS

1890 Capacity

FEDERAL AGENCY:

National Institute of Food and Agriculture, Department of Agriculture

AUTHORIZATION:

Authority for this program is contained in section 1417 (b)(4) of the National Agricultural Research, Extension, and Teaching Policy Act of 1977, as amended (NARETPA) [7 U.S.C. 3152(b)(4)] (b)(4)), 7 U.S.C 3152(b)(4).

OBJECTIVES:

To build the research and teaching capacities of the 1890 land-grant institutions and Tuskegee University through cooperative programs with Federal and nonfederal entities.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

For teaching grants, funds may be used in the following targeted areas:

- (1) Curricula design and materials development;
- (2) faculty preparation and enhancement for teaching;
- (3) instruction delivery systems;
- (4) scientific instrumentation for teaching;
- (5) student experiential learning; and
- (6) student recruitment and retention.

For research grants, funds may be used to address high- priority research initiatives in areas where there is a present or anticipated need for increased capabilities and in which it is feasible for applicants to develop programs recognized for their excellence.

Research areas include, but are not limited to:

- (1) Studies and experimentation in food and agricultural sciences;
- (2) centralized research support systems; and
- (3) technology delivery systems. With prior approval, in accordance with the cost principles set forth in OMB Circular No. A-21, some grant funds may be used for minor alterations, or repairs deemed necessary to retrofit existing teaching or research spaces in order to carry out a funded project. However, requests to use grant funds for such purposes must demonstrate that the alterations, or repairs are incidental to the major purpose for which a grant is issued.

Section 720 of the Consolidated and Further Continuing Appropriations Act, 2012 (Pub.L. No. 112-55) limits indirect costs to 30 percent of the total Federal funds provided under each award.

Therefore, when preparing budgets, applicants should limit their requests for recovery of indirect costs to the lesser of their institutions official negotiated indirect cost rate or the equivalent of 30 percent of total Federal funds awarded. Fully discretionary.

Applicant Eligibility:

The 1890 land-grant institutions and Tuskegee University. The 1890 land-Grant Institutions are: Alabama A&M University; Alcorn State University; University of Arkansas-Pine Bluff; Delaware State University; Florida A&M University; Fort Valley State University; Kentucky State University; Lincoln University (MO); Langston University; University of Maryland-Eastern Shore; North Carolina A&T State University; Prairie View A&M University; South Carolina State University; Southern University and A&M College; Tennessee State University; Virginia State University; and West Virginia State College.

Beneficiary Eligibility:

The seventeen 1890 land-grant institutions and Tuskegee University, non-1890 academic institutions, private industry, and the Department of Agriculture.

Credentials/Documentation:

No Credentials or documentation are required. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

All RFAs are published on the Agencies website and Grants.gov. Applicants must complete the Grants.gov registration process. Please see the following Grants.gov link for more information: http://www.grants.gov/applicants/get_registered.jsp. An environmental impact statement is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applications should be submitted as outlined in the RFA. Applications must follow the instructions provided per Grants.gov and in the Agency guide to submitting applications via Grants.gov.

Award Procedure:

Applications are subjected to a system of peer and merit review in accordance with Section 103 of the Agricultural Research, Extension and Education Reform Act of 1998 (7 U.S.C. 1613) by a panel of qualified scientists and other appropriate persons who are specialists in the field covered by the proposal. Within the limit of funds available for such purpose, the NIFA Authorized Departmental Officer (ADO) shall make grants to those responsible, eligible applicants whose applications are judged most meritorious under the procedures set forth in the RFA. Reviewers will be selected based upon training and experience in relevant scientific, extension, or education fields, taking into account the following factors: (a) The level of relevant formal scientific, technical education, or extension experience of the individual, as well as the extent to which an individual is engaged in relevant research, education, or extension activities; (b) the need to include as reviewers experts from various areas of specialization within relevant scientific, education, or extension fields; (c) the need to include as reviewers other experts (e.g., producers, range or forest managers/operators, and consumers) who can assess relevance of the applications to targeted audiences and to program needs; (d) the need to include as reviewers experts from a variety of organizational types (e.g., colleges, universities, industry, state and Federal agencies, private profit and non-profit organizations) and geographic locations; (e) the need to maintain a balanced composition of reviewers with regard to minority and female representation and an equitable age distribution; and (f) the need to include reviewers who can judge the effective usefulness to producers and the general public of each application. Evaluation Criteria will be delineated in the RFA.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Section :094 - Deadlines:
Dates for specific deadlines are announced in the RFA each fiscal year. Information is also available via our website and may be obtained via the Grants.gov website. Respective links are provided below:
<http://www.nifa.usda.gov/>
<http://www.grants.gov>

Section :095 - Range of Approval/Disapproval Time:
From 30 to 180 days.

Appeals:

Not Applicable.

Renewals:

Specific details are provided in the Request for Applications (RFA) each fiscal year.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements. Funds are awarded competitively. No formula grants are awarded under Subtitle K of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 [7 U.S.C. 3319e].

NIFA does not require matching or cost sharing support for this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants are awarded for a one (1) to three (3) year period and may receive no-cost extensions of time as appropriate up to maximum of five (5) years. Method of awarding/releasing assistance: by letter of credit.

Reports:

Grantees are to submit initial project information and annual summary reports to NIFAs electronic, Web-based inventory system that facilitates both grantee submissions of project outcomes and public access to information on Federally-funded projects. The details of the reporting requirements are included in the award terms and conditions. Cash reports are not applicable. Grantees are to submit initial project information and annual summary reports to NIFAs electronic, Web-based inventory system that facilitates both grantee submissions of project outcomes and public access to information on Federally-funded projects. The details of the reporting requirements are included in the award terms and conditions. A final Financial Status Report (SF-269) or Federal Financial Report (SF-425) is due within 90 days of the expiration date of the grant and should be submitted to:

Awards Management Division
Office of Grants and Financial Management
at the address listed below, in accordance with instructions contained in 2 CFR 3430.55 (also refer to Section 3015.82 of the Uniform Federal Assistance Regulations).

Awards Management Division
Office of Grants and Financial Management
National Institute of Food and Agriculture (NIFA)
U.S. Department of Agriculture (USDA)
STOP 2271
1400 Independence Avenue, SW
Washington, DC 20250-2271
Telephone: (202) 401-4986. Grantees are to submit initial project information and annual summary reports to NIFAs electronic, Web-based inventory system that facilitates both grantee submissions of project outcomes and public access to information on Federally-funded projects. The details of the reporting requirements are included in the award terms and conditions.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

In accordance with the Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and other Non-profit Organizations [2 CFR 215, Subpart C, Section 215.53, (OMB Circular A-110)] grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be retained at least 3 years. Records must be retained beyond the 3-year period if litigation is pending or audit findings have not been resolved.

Account Identification:

12-1500-0-1-352.

Obligations:

(Project Grants) FY 12 \$18,324,202; FY 13 est \$16,942,340; and FY 14 est \$18,300,029 - The difference between the appropriation and obligation numbers reflects legislative authorized set-asides deducted as appropriate, and in some cases the availability of obligational authority from prior years.

NOTE:

FY 2013 estimated amounts include dollar reductions calculated pursuant to the budget sequestration order issued March 1, 2013.

This program represents no-year funds.

Range and Average of Financial Assistance:

If minimum or maximum amounts of funding per competitive project grant or cooperative agreement are established, these will be announced in the annual program announcement or Request for Application (RFA).

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: For FY 2012, approximately \$18,324,000 was available for grant awards. A total of 18 institutions representing 17 states submitted 188 applications (52 teaching, 92 research, and 44 extension).

In May and June 2012, two peer review panels were conducted. The panels included approximately 60 experts in various disciplines within the food and agricultural sciences and comprehensive knowledge of the 1890 university system. Panelists consisted of faculty and administrators from land grant and non-land grant colleges and universities as well as research scientists from government and private industry.

A total of 74 applications were funded, including 25 teaching awards, 22 research awards and 27 Extension awards.

Funded projects should build capacity at the host institution in the areas of research, education, and/or extension. The grants are intended to address key problems of national, regional, and multi-institutional importance in sustaining all components of agriculture, including farm efficiency and profitability, ranching, renewable energy, forestry (both urban and agroforestry), aquaculture, rural communities and entrepreneurship, human nutrition, food safety, family and consumer sciences, biotechnology, and conventional breeding. Also in 2012, all projects had to address one of five Priority Areas: Global Food Security and Hunger, Climate Change, Sustainable Energy, Childhood Obesity, and Food Safety. Fiscal Year 2013: For FY 2013, approximately \$16,500,000 was available for grant awards. A total of 18 institutions representing 17 states submitted 188 applications (50 teaching, 91 research, and 34 extension).

In May and June 2013, two peer review panels were conducted. The panels included approximately 50 experts in various disciplines within the food and agricultural sciences and comprehensive knowledge of the 1890 university system. Panelists consisted of faculty and administrators from land grant and non-land grant colleges and universities as well as research scientists from government and private industry.

It is anticipated that approximately 55 applications will be funded in FY 2013. Fiscal Year 2014: No data available. Pertinent details to be provided by Program at a future date.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 3430, Competitive and Noncompetitive Non-formula Grant Programs General Grant Administrative Provisions and Program-Specific Administrative Provisions; 7 CFR Part 3015, USDA Uniform Federal Assistance Regulations; 7 CFR Part 3017, Government wide Debarment and Suspension (Nonprocurement) ; 7 CFR Part 3018, New Restrictions on Lobbying; 7 CFR Part 3019, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-profit Organizations; and 7 CFR Part 3021 USDA implementation of Governmentwide Requirements for Drug-free Workplace (Financial Assistance).

Regional or Local Office:

None.

Headquarters Office:

USDA, NIFA, National Program Leader, Institute of Youth, Family, and Community, Division of Community and Education, 1400 Independence Avenue, SW., STOP 2250, Washington, District of Columbia 20250-2250 Phone: (202) 720-2324 Fax: (202) 720-2030.

Website Address:

<http://www.nifa.usda.gov/>

RELATED PROGRAMS:

10.200 Grants for Agricultural Research, Special Research Grants; 10.203 Payments to Agricultural Experiment Stations Under the Hatch Act; 10.205 Payments to 1890 Land-Grant Colleges and Tuskegee University; 10.207 Animal Health and Disease Research; 10.217 Higher Education Challenge Grants

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Research Project Example # 1 -- Drought is a global problem that is worsening as a result of the continued climate warming. Maintaining fast seedling growth even under drought condition is a major challenge for growing switchgrass, as the lignocellulose biomass production should not compete with established food/feed systems for water resources. This project seeks to identify protein networks and produce tolerant plants that will enhance switchgrass seedling growth under drought conditions.

Research Project Example # 2 -- The primary objective of this project is to enhance and strengthen research, teaching, and extension programs at the 1890 university in agriculture science by focusing on a comprehensive screening and diagnostic of grapevine diseases to ensure that the Certified Mother Blocks are maintained disease-free for propagation, and clean plant material is distributed to growers for the sustainability of grape and wine industry in the state and region.

Teaching Project Example # 1 --The goal of the project is to enrich recruitment strategies to build a critical mass of talented high school students in the enrollment pipelines for the Agronomy and Ag Education degree programs and to increase the number of graduates with strong background in food and agricultural sciences. This will be achieved through enhanced recruitment and retention approaches mobilizing academic communities, offering appropriate curricula, providing students with scholarships and/or on-campus job opportunities, and thus creating general awareness and appreciation for careers in food and agricultural sciences.

Teaching Project Example # 2 -- With the advancement in information and communication technology (ICT), traditional agriculture is transforming to modern and intelligent agriculture. The objective of this project is to establish an intelligent equipment lab for precision agriculture on Prairie View A&M University (PVAMU) campus to provide students the opportunity to improve their hands-on experiences with the cutting-edge agricultural ICT and decision making techniques.

Extension Project Example This project will create a Residential Energy Efficiency Network to ensure that the energy concerns of the rural disadvantaged and low-to moderate income audiences are addressed. This program will focus on providing low-to-moderate income State residents with the education and information they need to adopt energy practices that produce economic benefits, reduce environmental impact and increase sustainability of energy supply. Fiscal Year 2013: N.A until AMD makes awards.. Pertinent details to be provided by Program at a future date. Fiscal Year 2014: N.A until AMD makes awards.. Pertinent details to be provided by Program at a future date.

CRITERIA FOR SELECTING PROPOSALS:

Within guidelines established for the program as described in the Request for Application (RFA).

10.217 HIGHER EDUCATION - INSTITUTION CHALLENGE GRANTS PROGRAM

Institution Challenge, Multicultural Scholars & Graduate Fellowships Grant Program (Institution Higher Education Challenge) [Formerly: Challenge or HEC Grants]

FEDERAL AGENCY:

National Institute of Food and Agriculture, Department of Agriculture

AUTHORIZATION:

National Agricultural Research, Extension, and Teaching Policy Act of 1977, 7 U.S.C 3152(b)(1); National Agricultural Research, Extension, and Teaching Policy Act of 1977, Section 1405, 7 U.S.C 3121,1417(b)(1).

OBJECTIVES:

To increase institutional capacities to respond to State, regional, national, or international educational needs by strengthening college and university teaching programs in the food and agricultural sciences.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds may be used only in targeted areas, e.g., curricula design and materials development, faculty preparation and enhancement for teaching, instruction delivery systems, scientific instrumentation for teaching, student experiential learning, and student recruitment and retention, as cited in the annual program announcement in the Federal Register. student tuition remission, room and board, fees or other financial assistance (scholarships, fellowships, etc.). Also, funds may not be used for the renovation or refurbishment of research, education, or extension space; the purchase or installation of fixed equipment in such space; or to plan, repair, rehabilitate, acquire, or construct buildings or facilities (i.e., greenhouses, laboratories, or other structures). Promotional items (e.g., T-shirts and other give-a-ways) and food functions (e.g., cookouts or other social meal gatherings) are considered entertainment expenses, and are also not allowed under this grants program. Federal Cost Principles governing this grant program state that items not eligible for Federal funding may also not be used as an applicants contribution toward meeting matching requirements.

Section 720 of the Consolidated and Further Continuing Appropriations Act, 2012 (Pub.L. No. 112-55) limits indirect costs to 30 percent of the total Federal funds provided under each award. Therefore, when preparing budgets, applicants should limit their requests for recovery of indirect costs to the lesser of their institutions official negotiated indirect cost rate or the equivalent of 30 percent of total Federal funds awarded.

****Special Note on Indirect Costs as in-kind matching contributions:**

Indirect costs may be claimed under the Federal portion of the award budget or, alternatively, indirect costs may be claimed as a matching contribution (if no indirect costs are requested under the Federal portion of the award budget). However, unless explicitly authorized in the RFA, indirect costs may not be claimed on both the Federal portion of the award budget and as a matching contribution, unless the total claimed on both the Federal portion of the award budget and as a matching contribution does not exceed the maximum allowed indirect costs or the institutions negotiated indirect cost rate, whichever is less. An awardee may split the allocation between the Federal and non-Federal portions of the budget only if the total amount of indirect costs charged to the project does not exceed the maximum allowed indirect costs or the institutions negotiated indirect cost rate, whichever is less. For example, if an awardees' indirect costs are capped at 30 percent pursuant to FY 2012 appropriated funds, Section 720 of the Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2012 (Division A of Pub. L. 112-55), the awardee may request 15 percent of the indirect costs on both the Federal portion of the award and as a matching contribution. Or, the awardee may request any similar percentage that, when combined, does not exceed the maximum indirect cost rate of 30 percent. Fully discretionary.

Applicant Eligibility:

All U.S. public and private nonprofit colleges and universities offering a baccalaureate or first professional degree in at least one discipline or area of the food and agricultural sciences.

Beneficiary Eligibility:

All U.S. colleges and universities having a demonstrable capacity to teach the food and agricultural sciences.

Credentials/Documentation:

No Credentials or documentation are required. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

All RFAs are published on the Agency's website and Grants.gov. Applicants must complete the Grants.gov registration process. Please see the following Grants.gov link for more information: http://www.grants.gov/applicants/get_registered.jsp. An environmental impact statement is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Formal proposals should be submitted to the Proposal Services Unit, NIFA, as outlined in the solicitation of applications. Application procedures are contained in the program regulations and required forms are contained in the Grant Application Kit. This program is subject to the regulatory provisions of USDA, 7 CFR Part 3015, et seq., and all successor regulations.

Award Procedure:

Applications should be submitted as outlined in the RFA. Applications must follow the instructions provided per Grants.gov and in the Agency guide to submitting applications via Grants.gov.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Section :094 - Deadlines:

Dates for specific deadlines are announced in the RFA each fiscal year. Information is also available via our website and may be obtained via the Grants.gov website. Respective links are provided below:
<http://www.nifa.usda.gov/>
<http://www.grants.gov>

Section :095 - Range of Approval/Disapproval Time:

From 30 to 180 days.

Appeals:

Not Applicable.

Renewals:

Specific details are provided in the Request for Applications (RFA) each fiscal year.

Formula and Matching Requirements:

This program has no statutory formula.
Matching Requirements: Percent: 25%. A grant recipient is required to match 25% of the USDA funds awarded. Matching funds must come from non-Federal sources, and are not required to come in any particular amount from any one source.

However, all matching funds pledged in the application must be accompanied by documentation.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants are awarded for a one (1) to three (3) year period and may receive no-cost extensions as appropriate up to a maximum of five (5) years. Method of awarding/releasing assistance: by letter of credit.

Reports:

Grantees are to submit initial project information and annual summary reports to NIFAs electronic, Web-based inventory system that facilitates both grantee submissions of project outcomes and public access to information on Federally-funded projects. The details of the reporting requirements are included in the award terms and conditions. Cash reports are not applicable. Grantees are to submit initial project information and annual summary reports to NIFAs electronic, Web-based inventory system that facilitates both grantee submissions of project outcomes and public access to information on Federally-funded projects. The details of the reporting requirements are included in the award terms and conditions. A final Financial Status Report (SF-269) or Federal Financial Report (SF-425) is due within 90 days of the expiration date of the grant and should be submitted to the Awards Management Branch, Office of Extramural Programs at the address listed below, in accordance with instructions contained in 2 CFR 3430.55 (also refer to Section 3015.82 of the Uniform Federal Assistance Regulations).

Awards Management Division
Office of Grants and Financial Management
National Institute of Food and Agriculture (NIFA)
U.S. Department of Agriculture (USDA)

STOP 2271

1400 Independence Avenue, SW
Washington, DC 20250-2271

Telephone: (202) 401-4986. Grantees are to submit initial project information and annual summary reports to NIFAs electronic, Web-based inventory system that facilitates both grantee submissions of project outcomes and public access to information on Federally-funded projects. The details of the reporting requirements are included in the award terms and conditions.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

In accordance with the Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and other Non-profit Organizations [2 CFR 215, Subpart C, Section 215.53, (OMB Circular A-110)] grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be retained at least three (3) years. Records must be retained beyond the three-year period if litigation is pending or audit findings have not been resolved.

Account Identification:

12-1500-0-1-352.

Obligations:

(Project Grants) FY 12 \$4,569,589; FY 13 est \$4,225,529; and FY 14 est \$0 - The difference between the appropriation and obligation numbers reflects legislative authorized set-asides deducted as appropriate, and in some cases the availability of obligational authority from prior years.

NOTES:

- (1) In terms of availability of appropriations (time), there are no time limits as to when "no-year" funds may be obligated and expended and the funds remain available for their original purposes until expended.
- (2) FY 2013 estimated amounts include dollar reductions calculated pursuant to the budget sequestration order issued March 1, 2013.
- (3) Effective in FY 2012, funding for three (3) Higher Education Programs: (Graduate Fellowships CFDA 10.210; Challenge CFDA 10.217; and Multicultural Scholars CFDA 10.220), were consolidated under a single funding line within the Research & Education Activities Account. However, different legislative authorities are applicable and separate Requests for Applications (RFAs) were issued under the respective CFDA numbers.
- (4) The President's FY 2014 Budget proposal moves this program to the Department of Education or the National Science Foundation.

Therefore, FY 2014 funds are not reported for this NIFA Program.

Effective FY '13, for three (3) Higher Education Programs, funds were consolidated under Research & Education Activities. Consolidated amounts are reflected in this CFDA 217.

Range and Average of Financial Assistance:

If minimum or maximum amounts of funding per competitive project grant or cooperative agreement are established, these will be announced in the annual program announcement or Request for Application (RFA).

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: For the FY 2012 award cycle, \$ 4,514,934 was available for project grant awards after subtracting administrative costs.

A total of 88 applications requesting a total of \$26,170,178 were received in this years competition. In June 2012, a 24-member peer review panel evaluated these applications. The peer panel included faculty and administrators from land grant and non-land grant colleges and universities and practitioners from the food and agricultural sciences community.

Funds were available to support a total of 14 awards in the following Project Types (1 Conference/Planning: \$ 28,505; 6 Regular: \$823,206; 3 Joint: \$808,059; and 4 Large-Scale Comprehensive Initiatives (LCI): \$2,855,164). Joint and LCI Project Proposals requiring partnerships and sharing of grant funds between two or more institutions.

The funding ratio for this program in FY12 was 16%.

Funded projects should address one or more of the following educational strategies: (a) Curriculum Development, Instructional Delivery Systems and Expanding Student Career Opportunities; (b) Increasing Faculty Teaching Competencies; and (c) Facilitating Interaction with Other Academic Institutions. Also in 2012, all projects had to address one of five Priority Areas: Global Food Security and Hunger, Climate Change, Sustainable Energy, Childhood Obesity, and Food Safety. Fiscal Year 2013: For the FY 2013 award cycle, \$ 4,163,490 was available for project grant awards after subtracting administrative costs.

A total of 113 applications requesting a total of \$ 33,958,065 were received in this years competition. In May 2013, a 24-member peer review panel evaluated these applications. The peer panel included faculty and administrators from land grant and non-land grant colleges and universities and practitioners from the food and agricultural sciences community.

Funds were available to support a total of 8 awards in the following Project Types (1 Conference/Planning: \$ 29,237; 2 Joint: \$584,588; and 5 Large-Scale Comprehensive Initiatives (LCI): \$3,549,665). Joint and LCI Project Proposals requiring partnerships and sharing of grant funds between two or more institutions.

The funding ratio for this program in FY12 was 7%.

Funded projects should address one or more of the following educational strategies: (a) Curriculum Development, Instructional Delivery Systems and Expanding Student Career Opportunities; (b) Increasing Faculty Teaching Competencies; and (c) Facilitating Interaction with Other Academic Institutions. Also in 2012, all projects had to address one of five Priority Areas: Global Food Security and Hunger, Climate Change, Sustainable Energy, Childhood Obesity, and Food Safety. Fiscal Year 2014: No Projected Data Available. Pertinent details to be provided by Program at a future date.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 3430, Competitive and Noncompetitive Non-formula Grant Programs General Grant Administrative Provisions and Program-Specific Administrative Provisions; 7 CFR Part 3015, USDA Uniform Federal Assistance Regulations; 7 CFR Part 3017, Government wide Debarment and Suspension (Nonprocurement) ; 7 CFR Part 3018, New Restrictions on Lobbying; 7 CFR Part 3019, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-profit Organizations; and 7 CFR Part 3021 USDA implementation of Governmentwide Requirements for Drug-free Workplace (Financial Assistance) and 7 CFR Part 3405, Higher Education Challenge Grants, Administrative Provisions, and Grant Application Kit.

Regional or Local Office:

None.

Headquarters Office:

USDA, NIFA, National Program Leader, Institute of Youth, Family, and Community, Division of Community and Education 1400 Independence Avenue, SW., STOP 2250, Washington , District of Columbia 20250-2250 Phone: (202) 720-2324 Fax: (202) 720-2030.

Website Address:

<http://www.nifa.usda.gov/>

RELATED PROGRAMS:

10.210 Food and Agricultural Sciences National Needs Graduate Fellowship Grants; 10.216 1890 Institution Capacity Building Grants; 10.226 Secondary and Two-Year Postsecondary Agriculture Education Challenge Grants

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Create and evaluate an educational interactive gaming module for use by postsecondary students as an effective instructional tool to improve the understanding and awareness of food safety within the larger context of agriculture, food manufacturing and public health. This gaming module will have substantial merit for use in food science classrooms throughout the United States.

Create new curriculum content (pathogenesis animations, integrated case scenarios, and a virtual microscopy slide set) for selected transboundary and high-impact zoonotic diseases of production animals. The curriculum will be delivered via websites, existing on-line courses, and through an advanced pathology elective. The project will create high quality learning materials that will enhance effective learning and awareness of disease that threaten food security.

Establish low-cost, bioenergy coursework through a system of degree and certificate offerings.

Develop a educational resource center for child and adolescent obesity training particularly focusing on environment and policy approaches.

Address the need for preparing Native Americans for the scientific work force by expanding the availability of academic degree offerings of natural resource sciences. Fiscal Year 2013: Curriculum development for renewable energy technologies including the bioenergy and woody biomass sector, solar and solar thermal energy, and wind energy generation. The proposed curriculum will provide a portal through which a diverse undergraduate population can advance their understanding of renewable energy technologies in agricultural landscapes. Funding will support both resident instruction as well as online, distance education courses.

Integrated, transdisciplinary curriculum development for undergraduates on the causes and consequences of childhood obesity. Academic institutions across the nation will be able to freely access the curriculum via a pass-word protected web site. Micro-lectures will be created by leading experts across multiple disciplines that can be used to flip the classroom whereby students view the lecture prior to coming to class. Class time is then spent in interactive activities.

Develop eLearning curricula on controlled environment animal production.

Curriculum development to improve interdisciplinary U.S. Masters level education to address the shortage of qualified individuals working at the nexus of food, agricultural and rural policy and policies for sustainability--especially in climate change adaptation, sustainable energy development and use, and agricultural and rural development that lead to improved quality of life for farmers, rural residents and society in general. Fiscal Year 2014: No Projected Data Available. Pertinent details to be provided by Program at a future date.

CRITERIA FOR SELECTING PROPOSALS:

Within guidelines established for the program as described in the Request for Application (RFA).

10.219 BIOTECHNOLOGY RISK ASSESSMENT RESEARCH (BRAG)

FEDERAL AGENCY:

National Institute of Food and Agriculture, Department of Agriculture

AUTHORIZATION:

Farm Security and Rural Investment Act of 2002, Section 7210 , Public Law 101-171 , 7 U.S.C 5921; Food, Agriculture, Conservation, and Trade Act of 1990, Section 1668 , 7 U.S.C 7901.

OBJECTIVES:

The purpose of the BRAG program is to assist Federal regulatory agencies in making science-based decisions about the effects of introducing into the environment genetically engineered organisms, including plants, microorganisms (including fungi, bacteria, and viruses), arthropods, fish, birds, mammals and other animals excluding humans. Investigations of effects on both managed and natural environments are relevant. The BRAG program accomplishes its purpose by providing Federal regulatory agencies with scientific information relevant to regulatory considerations derived from the risk assessment research that the program funds.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Grant funds must be used for allowable costs necessary to conduct approved research objectives. Funds may not be used for purposes other than those approved in the grant award documents. The use of grant funds to plan, acquire, or construct a building or facility is not allowed under this program. With prior approval, and in accordance with the cost principles set forth in the Office of Management and Budget (OMB) Circular No. A-21, (codified at 2 CFR 220), some grant funds may be used for minor alterations, renovations, or repairs deemed necessary to retrofit existing teaching or research spaces in order to carry out a funded project. However, requests to use grant funds for such purposes must demonstrate that the alterations, renovations, or repairs are essential to achieving the major purpose of the project. Grant funds may not be used for endowment investing.

Section 720 of the Consolidated and Further Continuing Appropriations Act, 2012 (Pub.L. No. 112-55) limits indirect costs to 30 percent of the total Federal funds provided under each award. Therefore, when preparing budgets, applicants should limit their requests for recovery of indirect costs to the lesser of their institutions official negotiated indirect cost rate or the equivalent of 30 percent of total Federal funds awarded.

****Special Note on Indirect Costs as in-kind matching contributions:**

Indirect costs may be claimed under the Federal portion of the award budget or, alternatively, indirect costs may be claimed as a matching contribution (if no indirect costs are requested under the Federal portion of the award budget). However, unless explicitly authorized in the RFA, indirect costs may not be claimed on both the Federal portion of the award budget and as a matching contribution, unless the total claimed on both the Federal portion of the award budget and as a matching contribution does not exceed the maximum allowed indirect costs or the institutions negotiated indirect cost rate, whichever is less. An awardee may split the allocation between the Federal and non-Federal portions of the budget only if the total amount of indirect costs charged to the project does not exceed the maximum allowed indirect costs or the institutions negotiated indirect cost rate, whichever is less. For example, if an awardee's indirect costs are capped at 30 percent pursuant to FY 2012 appropriated funds, Section 720 of the Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2012 (Division A of Pub. L. 112-55), the awardee may request 15 percent of the indirect costs on both the Federal portion of the award and as a matching contribution. Or, the awardee may request any similar percentage that, when combined, does not exceed the maximum indirect cost rate of 30 percent. Fully discretionary.

Applicant Eligibility:

Any public or private research or educational institution or organization.

Beneficiary Eligibility:

Any public or private research or educational institution or organization.

Credentials/Documentation:

No Credentials or documentation are required. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

All RFAs are published on the Agencies website and Grants.gov. Applicants must complete the Grants.gov registration process. Please see the following Grants.gov link for more information: http://www.grants.gov/applicants/get_registered.jsp. An environmental impact statement is required for this program. This program is excluded from coverage

under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applications should be submitted as outlined in the RFA. Applications must follow the instructions provided per Grants.Gov and in the Agency guide to submitting applications via Grants.gov.

Award Procedure:

Applications are subjected to a system of peer and merit review in accordance with section 103 of the Agricultural Research, Extension and Education Reform Act of 1998 (7 U.S.C. 1613) by a panel of qualified scientists and other appropriate persons who are specialists in the field covered by the proposal. Within the limit of funds available for such purpose, the NIFA Authorized Departmental Officer (ADO) shall make grants to those responsible, eligible applicants whose applications are judged most meritorious under the procedures set forth in the RFA. Reviewers will be selected based upon training and experience in relevant scientific, extension, or education fields, taking into account the following factors: (a) The level of relevant formal scientific, technical education, or extension experience of the individual, as well as the extent to which an individual is engaged in relevant research, education, or extension activities; (b) the need to include as reviewers experts from various areas of specialization within relevant scientific, education, or extension fields; (c) the need to include as reviewers other experts (e.g., producers, range or forest managers/operators, and consumers) who can assess relevance of the applications to targeted audiences and to program needs; (d) the need to include as reviewers experts from a variety of organizational types (e.g., colleges, universities, industry, state and Federal agencies, private profit and non-profit organizations) and geographic locations; (e) the need to maintain a balanced composition of reviewers with regard to minority and female representation and an equitable age distribution; and (f) the need to include reviewers who can judge the effective usefulness to producers and the general public of each application. Evaluation Criteria will be delineated in the RFA.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Section :094 - Deadlines:

Dates for specific deadlines are announced in the RFA each fiscal year. Information is also available via our website and may be obtained via the Grants.gov website. Respective links are provided below:
<http://www.nifa.usda.gov/>
<http://www.grants.gov>

Section :095 - Range of Approval/Disapproval Time:

From 30 to 180 days.

Appeals:

Not Applicable.

Renewals:

Specific details are provided in the Request for Applications (RFA) each fiscal year.

Formula and Matching Requirements:

This program has no statutory formula. This program has no matching requirements. Funds are awarded competitively. No formula grants are awarded under Subtitle K of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 [7 U.S.C. 3319e].

NIFA does not require matching or cost sharing support for this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The term of a competitive grant under this authority may not exceed five (5) years. Method of awarding/releasing assistance: by letter of credit.

Reports:

Grantees are to submit initial project information and annual summary reports to NIFAs electronic, Web-based inventory system that facilitates both grantee submissions of project outcomes and public access to information on

Federally-funded projects. The details of the reporting requirements are included in the award terms and conditions. Cash reports are not applicable. Grantees are to submit initial project information and annual summary reports to NIFAs electronic, Web-based inventory system that facilitates both grantee submissions of project outcomes and public access to information on Federally-funded projects. The details of the reporting requirements are included in the award terms and conditions. A final Financial Status Report (SF-269) or Federal Financial Report (SF-425) is due within 90 days of the expiration date of the grant and should be submitted to the Awards Management Branch, Office of Extramural Programs at the address listed below, in accordance with instructions contained in 2 CFR 3430.55 (also refer to Section 3015.82 of the Uniform Federal Assistance Regulations).

Awards Management Division (AMD)
Office of Grants and Financial Management (OGFM)
National Institute of Food and Agriculture (NIFA)
U.S. Department of Agriculture (USDA)
STOP 2271

1400 Independence Avenue, SW
Washington, DC 20250-2271

Telephone: (202) 401-4986. Grantees are to submit initial project information and annual summary reports to NIFAs electronic, Web-based inventory system that facilitates both grantee submissions of project outcomes and public access to information on Federally-funded projects. The details of the reporting requirements are included in the award terms and conditions.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

In accordance with the Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and other Non-profit Organizations [2 CFR 215, Subpart C, Section 215.53, (OMB Circular A-110)] grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be retained at least 3 years. Records must be retained beyond the 3-year period if litigation is pending or audit findings have not been resolved.

Account Identification:

12-1500-0-1-352.

Obligations:

(Project Grants) FY 12 \$2,606,818; FY 13 est \$2,785,758; and FY 14 est \$2,329,248 - NOTE:

Some of these funds are no year. In terms of availability of appropriations (time), there are no time limits as to when "no-year" funds may be obligated and expended and the funds remain available for their original purposes until expended.

Range and Average of Financial Assistance:

If minimum or maximum amounts of funding per competitive project grant or cooperative agreement are established, these will be announced in the annual program announcement or Request for Application (RFA).

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: For the FY 2012 award cycle, approximately \$ 4,323,167 was available for project grant awards after subtracting administrative costs.

A total of 53 applications requesting a total of \$25,623,126 were received in this years competition. In May 2012, a 12-member peer review panel evaluated these applications. The peer panel included faculty and administrators from land grant and non-land grant colleges and universities and practitioners from the food and agricultural sciences community.

Funds were available to support a total of 11 awards in the following Project Types (2 conferences: \$42,500; 9 standard: \$ 4,280,667).

The funding success rate for this program in FY11 was 19%.

Funded projects should address one or more of the following scientific priorities:

- (a) comparison between transformation-associated mutagenesis in plants and variation introduced using non-genetic engineering approaches;
- (b) studies of landscape level environmental impacts of (genetically engineered) GE crops;
- (c) pollen flow and best management practices for co-existence;
- (d) management practices to minimize environmental risk from deployment of a GE organism; (e) methods to monitor dispersal of GE organisms;
- (f) gene transfer to domesticated and wild relatives;
- (g) environmental impacts of GE relative to non-GE production systems;
- (h) comparative assessment of the effects of GE plants with either a single resistance gene vs. stacked/pyramided resistance genes or single resistance gene vs. multiple genes that confer broad resistance to insects and/or diseases;
- (i) biological and ecological studies associated with GE perennial species;
- (j) development of environmental risk assessment methodologies for GE organisms when there is little baseline data on the unmodified parent organism (e.g., *Panicum virgatum*, *Miscanthus*, *Populus* spp., *Eucalyptus* spp.);
- (k) assessment of the potential for non-target effects of RNA interference transgenes. Fiscal Year 2013: For the FY 2013 award cycle, approximately \$ 4,348,027 was available for project grant awards after subtracting administrative costs.

A total of 40 applications requesting a total of \$17,917,212 were received in this years competition. In June 2013, a 10-member peer review panel evaluated these applications. The peer panel included faculty and administrators from land grant and non-land grant colleges and universities and federal risk assessment regulators from the food and agricultural sciences community.

Funding decisions are being finalized so details of awards are available at this time.

Funded projects should address one or more of the following scientific priorities:

- (a) Comparison between Transformation-associated Genomic Variation and Genomic Variation Introduced by Non-genetic Engineering Approaches in Plants ;
- (b) development of a risk assessment framework for the environmental impacts of GE crops at the landscape level ;
- (c) management practices to minimize environmental risk ;
- (d) methods to monitor dispersal of GE organisms;
- (e) gene transfer to domesticated and wild relatives;
- (f) environmental impacts of GE relative to non-GE production systems;
- (g) comparative assessment of the effects of GE plants with either a single transgenic resistance gene vs. multiple transgenic resistance genes or single transgenic resistance gene vs. multiple transgenic genes that confer resistance to insects, diseases, and/or herbicides in order to understand how pest, disease and weedy organisms overcome the resistance conferred;
- (h) Biological and ecological studies associated with GE perennial species;
- (i) Development of environmental risk assessment methodologies for GE organisms when there is little baseline data on the unmodified parent organism (e.g., *Panicum virgatum*, *Miscanthus*, *Populus* spp., *Eucalyptus* spp., eukaryotic algae);
- (j) Assessment of environmental fate, persistence, and potential for off-target, non-target, or unintended effects of RNA interference transgenes or other gene silencing mechanisms using RNAi, siRNA, or miRNA. Fiscal Year 2014: No data available. Pertinent details will be provided by Program at a future date.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 3430, Competitive and Noncompetitive Non-formula Grant Programs General Grant Administrative Provisions and Program-Specific Administrative Provisions; 7 CFR Part 3015, USDA Uniform Federal Assistance Regulations; 7 CFR Part 3017, Government wide Debarment and Suspension (Nonprocurement) ; 7 CFR Part 3018, New Restrictions on

Lobbying; 7 CFR Part 3019, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-profit Organizations; and 7 CFR Part 3021 USDA implementation of Governmentwide Requirements for Drug-free Workplace (Financial Assistance).

Regional or Local Office:

None.

Headquarters Office:

USDA, NIFA, National Program Leader, Institute of Food Production and Sustainability, Division of Plant Systems-Production, 1400 Independence Avenue, SW., STOP 2240, Washington, District of Columbia 20250-2240
Phone: (202) 401-4202 Fax: (202) 401-1782

Website Address:

<http://www.nifa.usda.gov/>

RELATED PROGRAMS:

10.001 Agricultural Research_Basic and Applied Research; 10.200 Grants for Agricultural Research, Special Research Grants; 10.202 Cooperative Forestry Research; 10.203 Payments to Agricultural Experiment Stations Under the Hatch Act; 10.207 Animal Health and Disease Research; 10.250 Agricultural and Rural Economic Research; 10.652 Forestry Research

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: 1. Evaluating Environmental Impacts of Maturing Transgenic American Chestnut Trees and their Nut Crop Relative to Chestnut Trees Produced by Conventional Breeding.

2. Transmission genetics of sorghum to Johnsongrass gene transfer.

3. Antibody-based Paratransgenics for Pierce's Disease: Advanced Methods for Transmission Blocking and Environmental Monitoring.

4. Gene flow networks and potential invasiveness of perennial biofuel grasses (*Miscanthus*).

5. TALEN-mediated chromosome targeting for monosexing and genetic containment in livestock. Fiscal Year 2013: Awards have not been finalized. Pertinent details will be provided by Program at a future date. Fiscal Year 2014: No data available. Pertinent details will be provided by Program at a future date.

CRITERIA FOR SELECTING PROPOSALS:

Within guidelines established for the program as described in the Request for Application (RFA).

10.220 HIGHER EDUCATION - MULTICULTURAL SCHOLARS GRANT PROGRAM

Institution Challenge, Multicultural Scholars & Graduate Fellowships Grant Program (Multicultural Scholars)

[Formerly: Minority Scholars Program]

FEDERAL AGENCY:

National Institute of Food and Agriculture, Department of Agriculture

AUTHORIZATION:

Legislative authority for this program is contained in section 1417 of the National Agricultural Research, Extension and Teaching Policy Act of 1977 (NARETPA) (99 Stat. 1548; 7 U.S.C. 3152). USDA/NIFA administers this federal assistance grant program to support food and agricultural sciences baccalaureate and Doctor of Veterinary Medicine (D.V.M.) degree training of the next generation of scientists, policy makers, and educators in the Food and Agricultural Sciences. USDA initiated the Higher Education Multicultural Scholars Program in FY 1994. This program, functioning collaboratively with eligible higher education institutions, is developing intellectual capital to secure the preeminence of U.S. food and agricultural systems., 7 U.S.C 3152.

OBJECTIVES:

To increase the ethnic and cultural diversity of the food and agricultural scientific and professional work force, and to advance the educational

achievement of minority Americans, by providing grants to colleges and universities that have a demonstrable capacity to attract, educate, and graduate minority students for careers as agriscience and agribusiness professionals, and have unique capabilities for achieving the objective of full representation of minority groups in the fields of agriculture, natural resources, forestry, veterinary medicine, home economics, and disciplines closely allied to the food and agricultural system.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Competitive annual grants to selected U.S. institutions of higher education provide for a limited number of four-year undergraduate scholarships to support minority students pursuing baccalaureate degrees in the food and agricultural sciences. Institutions also receive an annual cost-of-education allowance for each scholar supported by a grant. The scholars are selected by the institutions and students must apply for the scholarships through procedures established by the individual institutions.

No scholarship grants are made directly to students and students cannot apply directly to USDA for scholarships. Scholarship monies may be used for tuition, fees, room, board, and other educational expenses as announced in a Federal Register notice inviting applications for new awards.

The institutional cost-of-education allowance may be used by the selected institutions to defray program expenses including, but not limited to, travel and publications costs incurred for student recruitment, and salaries of project personnel. Indirect cost is not allowable. Pursuant to 7 U.S.C. 3152(b), no indirect costs may be recovered under the MSP Program. Funds are restricted to the clearly designated uses found within the RFA.

For Award Category No. 2 Proposals, the budget sharing criteria are: (i) no single institution may receive more than forty percent (40%) and (ii) any institution may not receive less than fifteen percent (15%) of the requested funding for the baccalaureate and/or D.V.M. degree level training project (see Part II, C of the RFA).

When grant is for SEL that will be used by To-Be-Recruited Scholars: The SEL funds for To-Be-Recruited Scholars will be withheld until the Project Director has submitted, to the MSP Grants Program Office, all of the required documentation for eligibility of the MSP/SEL Scholar(s) for the SEL as well as the required specifics outlined in the RFA for the SEL. The information must be submitted for approval, no less than 150 days prior to the use of the SEL funds (see Part IV, B., 3, b, Section 1 of the RFA). Fully discretionary.

Applicant Eligibility:

Proposals may be submitted by all U.S. colleges and universities with baccalaureate or higher degree programs in agriculture, natural resources, forestry, veterinary medicine, home economics, and disciplines closely allied to the food and agricultural system, including land-grant colleges and universities, colleges and universities having significant minority enrollments and a demonstrable capacity to carry out the teaching of food and agricultural sciences, and other colleges and universities having a demonstrable capacity to carry out the teaching of food and agricultural sciences.

Beneficiary Eligibility:

Funds awarded under this program are used to support full-time undergraduate students pursuing a baccalaureate degree in an area of the food and agricultural sciences or a closely allied field. Persons eligible to receive scholarships under this program are students who either are enrolled or have been accepted as full-time baccalaureate or DVM degree candidates, and who are members of groups traditionally under-represented in food and agricultural scientific and professional fields.

Credentials/Documentation:

No Credentials or documentation are required. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

All RFAs are published on the Agencies website and Grants.gov. Applicants must complete the Grants.gov registration process. Please see the following

Grants.gov link for more information:

http://www.grants.gov/applicants/get_registered.jsp. An environmental impact statement is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applications should be submitted as outlined in the RFA. Applications must follow the instructions provided per Grants.gov and in the Agency guide to submitting applications via Grants.gov.

Award Procedure:

Applications are subjected to a system of peer and merit review in accordance with section 103 of the Agricultural Research, Extension and Education Reform Act of 1998 (7 U.S.C. 1613) by a panel of qualified scientists and other appropriate persons who are specialists in the field covered by the proposal. Within the limit of funds available for such purpose, the NIFA Authorized Departmental Officer (ADO) shall make grants to those responsible, eligible applicants whose applications are judged most meritorious under the procedures set forth in the RFA.

Reviewers will be selected based upon training and experience in relevant scientific, extension, or education fields, taking into account the following factors: (a) The level of relevant formal scientific, technical education, or extension experience of the individual, as well as the extent to which an individual is engaged in relevant research, education, or extension activities; (b) the need to include as reviewers experts from various areas of specialization within relevant scientific, education, or extension fields; (c) the need to include as reviewers other experts (e.g., producers, range or forest managers/operators, and consumers) who can assess relevance of the applications to targeted audiences and to program needs; (d) the need to include as reviewers experts from a variety of organizational types (e.g., colleges, universities, industry, state and Federal agencies, private profit and non-profit organizations) and geographic locations; (e) the need to maintain a balanced composition of reviewers with regard to minority and female representation and an equitable age distribution; and (f) the need to include reviewers who can judge the effective usefulness to producers and the general public of each application.

Evaluation Criteria will be delineated in the RFA.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Section :094 - Deadlines:

Dates for specific deadlines are announced in the RFA each fiscal year. Information is also available via our website and may be obtained via the Grants.gov website. Respective links are provided below:
<http://www.nifa.usda.gov/>
<http://www.grants.gov>

Section :095 - Range of Approval/Disapproval Time:

From 30 to 180 days.

Appeals:

Not Applicable.

Renewals:

Specific details are provided in the Request for Applications (RFA) each fiscal year.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. Funds are awarded competitively. No formula grants are awarded under Subtitle K of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 [7 U.S.C. 3319e].

NIFA does not require matching or cost sharing support for this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants are awarded for a five (5) year period, however, scholars are supported

for four (4) years during that five (5) year period. One (1) year of the grant period is available for student recruitment and for short interruptions of a scholar's educational advancement due to personal circumstances or emergencies. Method of awarding/releasing assistance: by letter of credit.

Reports:

Grantees are to submit initial project information and annual summary reports to NIFAs electronic, Web-based inventory system that facilitates both grantee submissions of project outcomes and public access to information on Federally-funded projects. The details of the reporting requirements are included in the award terms and conditions. Cash reports are not applicable. Grantees are to submit initial project information and annual summary reports to NIFAs electronic, Web-based inventory system that facilitates both grantee submissions of project outcomes and public access to information on Federally-funded projects. The details of the reporting requirements are included in the award terms and conditions. A final Financial Status Report (SF-269) or Federal Financial Report (SF-425) is due within 90 days of the expiration date of the grant and should be submitted to the Awards Management Branch, Office of Extramural Programs at the address listed below, in accordance with instructions contained in 2 CFR 3430.55 (also refer to Section 3015.82 of the Uniform Federal Assistance Regulations).

Awards Management Division (AMD)
Office of Grants and Financial Management (OGFM)
National Institute of Food and Agriculture (NIFA)
U.S. Department of Agriculture (USDA)
STOP 2271

1400 Independence Avenue, SW
Washington, DC 20250-2271

Telephone: (202) 401-4986. Grantees are to submit initial project information and annual summary reports to NIFAs electronic, Web-based inventory system that facilitates both grantee submissions of project outcomes and public access to information on Federally-funded projects. The details of the reporting requirements are included in the award terms and conditions.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

In accordance with the Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and other Non-profit Organizations [2 CFR 215, Subpart C, Section 215.53, (OMB Circular A-110)] grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be retained at least 3 years. Records must be retained beyond the 3-year period if litigation is pending or audit findings have not been resolved.

Account Identification:

12-1500-0-1-352.

Obligations:

(Project Grants) FY 12 \$940,129; FY 13 est \$874,716; and FY 14 est \$0 - The difference between the appropriation and obligation numbers reflects legislative authorized set-asides deducted as appropriate, and in some cases the availability of obligational authority from prior years.

NOTES:

(1) In terms of availability of appropriations (time), there are no time limits as to when "no-year" funds may be obligated and expended and the funds remain available for their original purposes until expended.

(2) FY 2013 estimated amounts include dollar reductions calculated pursuant to the budget sequestration order issued March 1, 2013.

(3) Effective in FY 2012, funding for three (3) Higher Education Programs: (Graduate Fellowships CFDA 10.210; Challenge CFDA 10.216; and Multicultural Scholars CFDA 10.220), were consolidated under a single funding line within the Research & Education Activities Account. However, different legislative authorities are applicable and separate Requests for Applications (RFAs) were issued under the respective CFDA numbers.

(4) The President's FY 2014 Budget proposal moves this program to the Department of Education or the National Science Foundation.

Therefore, FY 2014 funds are not reported for this NIFA Program.

Range and Average of Financial Assistance:

If minimum or maximum amounts of funding per competitive project grant or cooperative agreement are established, these will be announced in the annual program announcement or Request for Application (RFA).

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: In FY 2012, 30 applications were received for consideration. The success rate was 16.7%. Five proposals were funded, yielding a total of 28 scholarships and 25 special experiential learning opportunities for students. Applications addressed the following Program Areas of Emphasis: Veterinary Medicine; Agricultural Sciences and Engineering; Natural Resource Sciences Training; Human Sciences Training; Food Science and Human Nutrition Training; Agrosecurity Science Training; and Agricultural Education. Fiscal Year 2013: The Request for Applications (RFA) is pending. Approximately 35 applications, and 5 awards are anticipated. Fiscal Year 2014: Approximately 35 applications, and 5 awards are anticipated.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 3430, Competitive and Noncompetitive Non-formula Grant Programs General Grant Administrative Provisions and Program-Specific Administrative Provisions; 7 CFR Part 3015, USDA Uniform Federal Assistance Regulations; 7 CFR Part 3017, Government wide Debarment and Suspension (Nonprocurement) ; 7 CFR Part 3018, New Restrictions on Lobbying; 7 CFR Part 3019, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-profit Organizations; and 7 CFR Part 3021 USDA implementation of Governmentwide Requirements for Drug-free Workplace (Financial Assistance).

Regional or Local Office:

None.

Headquarters Office:

USDA, NIFA, National Program Leader, Institute of Youth, Family, and Community, Division of Community and Education, 1400 Independence Avenue, SW., STOP 2250, Washington, District of Columbia 20250-2250
Phone: (202) 720-2324 Fax: (202) 720-2030

Website Address:

<http://www.nifa.usda.gov/>

RELATED PROGRAMS:

10.210 Food and Agricultural Sciences National Needs Graduate Fellowship Grants; 10.216 1890 Institution Capacity Building Grants; 10.217 Higher Education Challenge Grants; 10.310 Agriculture and Food Research Initiative (AFRI)

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Projects were funded in the following program areas of emphasis: Agricultural Sciences and Engineering; Agricultural Education; Food Science and Human Nutrition Training; Human Sciences Training; and Natural Resource Sciences Training. Supported projects advance national STEM education achievement by supporting students representing a multicultural pool of graduates entering the future food and agricultural scientific and professional workforce; support Americas academic competitiveness in STEM by supporting scholarships in D.V.M. and/or undergraduate degree level training grounded in curricula to advance food and agricultural sciences; increase the number of qualified students from traditionally underrepresented and

underserved groups pursuing undergraduate/D.V.M. degrees in training programs that have the highest chance for success; and enhance workforce diversity in STEM fields by supporting experiential learning opportunities of MSP Scholars in the public and private sectors. Further information is available at <http://www.nifa.usda.gov/fo/multiculturalscholars.cfm>. Fiscal Year 2013: Not applicable. Pertinent details will be provided by Program at a future date. Fiscal Year 2014: Not applicable. Pertinent details will be provided by Program at a future date.

CRITERIA FOR SELECTING PROPOSALS:

Within guidelines established for the program as described in the Request for Application (RFA).

10.221 TRIBAL COLLEGES EDUCATION EQUITY GRANTS

Higher Education Native American Formula and/or Higher Education Native American Institutions

FEDERAL AGENCY:

National Institute of Food and Agriculture, Department of Agriculture

AUTHORIZATION:

Authority for the Tribal Colleges Education Equity Grants (TCEG) program is contained in the Equity in Educational Land-Grant Status Act of 1994 (7 U.S.C. 301 note) as amended by the Agricultural Research, Extension, and Education Reform Act of 1998 (7 U.S.C. 7601 note). Appropriated funds are to be awarded to the 1994 Land-Grant Institutions (hereinafter referred to as 1994 Institutions) for Education capacity building and funds are to be distributed equally among institutions that meet eligibility requirements., 7 U.S.C 301 note.

OBJECTIVES:

This grants program is designed to promote and strengthen higher education instruction in the food and agricultural sciences at the 33 Tribal Colleges designated as 1994 Land-Grant Institutions in the Equity in Educational Land-Grant Status Act of 1994, as amended. Plans of Work should focus on undergraduate and/or graduate studies in the food and agricultural sciences in one or more of the following areas: Curricula Design and Materials Development, Faculty Development and Preparation for Teaching, Instruction Delivery Systems, Student Experiential Learning, Equipment and Instrumentation for Teaching, or Student Recruitment and Retention.

The purpose of the TCEG Program is to provide funding to enhance educational opportunities for Native Americans in the food and agricultural sciences. The TCEG program is intended to strengthen institutional capacity to deliver relevant formal education opportunities. The TCEG is intended to be a component of the applicant 1994 institutions land grant roadmap or strategic planning process. To the extent practicable, priorities should reflect the following national critical needs areas:

1. Sustainable energy
2. Global food security and hunger
3. Climate change
4. Nutrition and preventing childhood obesity
5. Food safety
6. Sustainable rural economies

Awards are made upon approval of each institutions application for a grant that relate to an institutions long-range goals. As indicated in Part 1, C. of the RFA, an application may address one (1) or more of the following program areas:

Curricula Design and Materials Development
Faculty Development and Preparation for Teaching
Instruction Delivery Systems
Student Experiential Learning
Equipment and Instrumentation for Teaching
Student Recruitment and Retention

Recognizing that strengthening instructional programs is a long-term ongoing process, the TCEG program is interested in funding subsequent phases of previously funded projects in order to strengthen institutional capacity, and institutions are encouraged to build on a theme over several grant awards to reach institutional long-range goals.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

The Tribal College Equity Program (TCEG) provides funds to enhance educational opportunities for Native Americans by strengthening instructional programs in the food and agricultural sciences at the thirty four (34) tribal colleges designated as the 1994 Land-Grant Institutions (hereinafter referred to as 1994 Institutions).

Under this authority, appropriated funds are to be awarded to the 1994 Land-Grant Institutions (hereinafter referred to as 1994 Institutions) for Education capacity building and funds are to be distributed equally among institutions that meet eligibility requirements. Funding Restrictions:

Section 720 of the Consolidated and Further Continuing Appropriations Act, 2012 (Pub.L. No. 112-55) limits indirect costs to 30 percent of the total Federal funds provided under each award. Therefore, when preparing budgets, applicants should limit their requests for recovery of indirect costs to the lesser of their institutions official negotiated indirect cost rate or the equivalent of 30 percent of total Federal funds awarded.

Therefore, when preparing budgets, applicants should limit their requests for recovery of indirect costs to the lesser of their institutions official negotiated indirect cost rate or the equivalent of 30 percent of total Federal funds awarded.

Indirect costs for the Equity program are not required and a 1994 institution may take less than the allowable amount or forego indirect costs altogether - adjust budgets accordingly.

Neither research nor extension projects are supported under the TCEG Program.

The use of grant funds to plan, acquire, or construct a building or facility is not allowed under this program. With prior approval, and in accordance with the cost principles set forth in OMB Circular No. A-21, some grant funds may be used for minor alterations, renovations, or repairs deemed necessary to retrofit existing teaching or research spaces in order to carry out a funded project. However, requests to use grant funds for such purposes must demonstrate that the alterations, renovations, or repairs are essential to achieving the major purpose of the project.

Grant funds may not be used for endowment investing.

Special Notices:

1. NIFA will withhold all funds for a TCEG award to an applicant requesting indirect costs if the applicant has not negotiated an indirect cost rate with its cognizant federal agency.
2. If a grantee is in the process of negotiating an indirect cost rate with its federal agency, NIFA will withhold all funds from that grantee until the indirect cost rate has been established.
3. If an institutions indirect cost rate has expired or will expire in the near future, a clear statement on renegotiation efforts must be included in the application. (See Part IV, B.5. of the RFA - Budget Justification.)
4. It is incumbent on all applicants to have a current indirect cost rate or begin negotiations to establish an indirect cost rate prior to the TCEG submission deadline. Because it may take several months to obtain an indirect cost rate, applicants needing an indirect cost rate are encouraged to start work on establishing these rates well in advance of submitting a TCEG application.
5. In lieu of requesting indirect costs (if the applicant does not have a negotiated rate), an applicant may prepare a budget in which all charges in the budget are included as direct costs.

NIFA does not require matching support for this program. Fully discretionary.

Applicant Eligibility:

Applications may be submitted by any of the Tribal colleges and universities designated as 1994 Land-Grant Institutions under the Educational Land-Grant Status Act of 1994, as amended. This Act, as amended in Section 533(a), requires that each 1994 Land-Grant Institution be accredited or making progress towards accreditation and be recognized as a legal entity. If accreditation is being sought, a college must demonstrate its progress towards accreditation by a letter from a nationally recognized accreditation agency affirming receipt of application for an accreditation site visit or other such documentation.

An applicant's failure to meet an eligibility criterion by the time of an application deadline will result in NIFA returning the application without review or, even though an application may be reviewed, will preclude NIFA from making an award.

Award recipients may subcontract to organizations not eligible to apply provided such organizations are necessary for the conduct of project goals and objectives.

Beneficiary Eligibility:

The 1994 Institutions--Bay Mills Community College, Black feet Community College, Cankdeska Cikana Community College, Cheyenne River Community College, Dine Community College, D-Q University, Dull knife Memorial College, Fond Du Lac Community College, Fort Belknap Community College, Fort Berthold Community College, Fort Peck Community College, LacCourte Orielles Ojibwa Community College, Little Big Horn Community College, Nebraska Indian Community College, Northwest Indian College, Oglala Lakota College, Salish Kootenai College, Sinte Gleska University, Sisseton Wahpeton Community College, Sitting Bull College, Stone child Community College, Turtle Mountain Community College, United Tribes Technical College, Southwest Indian Polytechnic Institute, Institute of American Indian Arts, Crown point Institute of Technology, Haskell Indian Nations University, Leech Lake Tribal College, College of the Menominee Nation; and Little Priest Tribal College.

Credentials/Documentation:

No Credentials or documentation are required. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

All Requests for Applications (RFAs) are published on the Agency's website and Grants.gov. Applicants must complete the Grants.gov registration process. Please see the following Grants.gov link for more information: http://www.grants.gov/applicants/get_registered.jsp. An environmental impact statement is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applications should be submitted as outlined in the RFA. Applications must follow the instructions provided per Grants.gov and in the Agency guide to submitting applications via Grants.gov.

Award Procedure:

Applications were subjected to a system of peer and merit review in accordance with section 103 of the Agricultural Research, Extension, and Education Reform Act of 1998 (7 U.S.C. 7613) by a panel of qualified scientists and other appropriate persons who were specialists in the field covered by the proposal. Within the limit of funds available for such purpose, the NIFA Authorized Departmental Officer (ADO) shall make grants to those responsible, eligible applicants whose applications were judged most meritorious under the procedures set forth in the RFA. This information collection is approved under OMB Control No. 0524-0039, NIFA Application Kit for Research and Extension Programs.

Reviewers were selected based upon training and experience in relevant scientific, extension, or education fields, taking into account the following factors:

(a) The level of relevant formal scientific, technical education, or extension experience of the individual, as well as the extent to which an individual is engaged in relevant research, education, or extension activities;

(b) the need to include as reviewers experts from various areas of specialization within relevant scientific, education, or extension fields;

(c) the need to include as reviewers other experts (e.g., producers, range or forest managers/operators, and consumers) who can assess relevance of the applications to targeted audiences and to program needs;

(d) the need to include as reviewers experts from a variety of organizational types (e.g., colleges, universities, industry, state and Federal agencies, private profit and non-profit organizations) and geographic locations;

(e) the need to maintain a balanced composition of reviewers with regard to minority and female representation and an equitable age distribution; and

(f) the need to include reviewers who can judge the effective usefulness to producers and the general public of each application.

Evaluation Criteria will be delineated in the RFA.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. Dates for specific deadlines are announced in the RFA each fiscal year.

Information is also available via our website and may be obtained via the Grants.gov website.

Respective links are provided below:

<http://www.nifa.usda.gov/>

<http://www.grants.gov>.

Appeals:

Not Applicable.

Renewals:

Specific details are provided in the Request for Applications (RFA) each fiscal year.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

This program has no matching requirements. There is no statutory formula for this program. However, appropriated funds are to be awarded to the 1994 Land-Grant Institutions (hereinafter referred to as 1994 Institutions) for Education capacity building and funds are to be distributed equally among institutions that meet eligibility requirements. Equity Funds for ineligible 1994 institutions or of those who fail to apply by the application submission date will be redistributed equally among the remaining eligible 1994 institutions.

NIFA does not require matching or cost sharing support for this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Under this RFA, only new applications for four-year continuation awards may be submitted to the TCEG Program. These are project applications not previously submitted to TCEG. All new applications will be reviewed by a review panel using the process and criteria described in Part V of the RFA Application Review Requirements. Method of awarding/releasing assistance: by letter of credit.

Reports:

Award Terms and Conditions:

Awards are accompanied by provisions including Terms and Conditions for the funded project. Grantees must stay informed and guided by the Terms and Conditions of the award regarding required reports, program/project data collection, program income, release of information, sharing of findings, data, and other project products, patents, inventions, copyrights, etc.

Grantees are to submit initial project information and annual summary reports to NIFAs electronic, Web-based inventory system that facilitates both grantee submissions of project outcomes and public access to information on Federally-funded projects. The details of the reporting requirements are

included in the award terms and conditions.

Annual Performance Report:

Annual performance reports are due 30 days after the anniversary date of the award and should be submitted to Grantees are to submit initial project information and annual summary reports to NIFAs electronic, Web-based inventory system that facilitates both grantee submissions of project outcomes and public access to information on Federally-funded projects.

The details of the reporting requirements are included in the award terms and conditions.1) a comparison of actual accomplishments with the goals established for the reporting period;

- 2) if established goals were not met, the reasons
- 3) documentation of outputs; i.e., significant activities, including dissemination activities, events, services or products that contribute toward achieving the goals and objectives of the project
- 4) outcomes/impacts; i.e., a change in knowledge, actions or conditions;
- 5) any other indication of increased capacity for carrying out the land grant mission

Final Technical Report:

A final technical report must be submitted within 90 days to NIFAs electronic, Web-based inventory system that facilitates both grantee submissions of project outcomes and public access to information on Federally-funded projects.

The details of the reporting requirements are included in the award terms and conditions.. Generally, the final technical report should be a summary of the completed project, including:

1. A review of project objectives and accomplishments;
2. A description of outcomes resulting from the project and activities undertaken to disseminate these outcomes;
3. An explanation of partnerships and collaborative ventures that resulted from the project, including future initiatives that are planned as a result of the project;
4. A description of the projects impact on the PD(s), the institution(s) involved, and the community;
5. Any pertinent data on project personnel and beneficiaries. The final technical report also must contain any other information specified in the terms and conditions of the award; and,

Quarterly Reports of Federal Cash Transactions (SF-272) were required by the Department of Health and Human Services (DHHS) (www.dpm.psc.gov) and were submitted online through the DHHS Payment Management System (PMS) website. If you become delinquent in these reports, you will not be able to access your funds.

A final Financial Status Report (SF-269) or Federal Financial Report (SF-425) is due within 90 days of the expiration date of the grant and should be submitted at the address listed below, in accordance with instructions contained in 2 CFR 3430.55 (also refer to Section 3015.82 of the Uniform Federal Assistance Regulations).

Awards Management Division (AMD)
Office of Grants and Financial Management (OGFM)
National Institute of Food and Agriculture (NIFA)
U.S. Department of Agriculture (USDA)
STOP 2271

1400 Independence Avenue, SW
Washington, DC 20250-2271
Telephone: (202) 401-4986. NIFA uses SF-425, Federal Financial Report to monitor cash. Award Terms and Conditions:

Awards are accompanied by provisions including Terms and Conditions for the funded project. Grantees must stay informed and guided by the Terms and Conditions of the award regarding required reports, program/project data collection, program income, release of information, sharing of findings, data, and other project products, patents, inventions, copyrights, etc.

Grantees are to submit initial project information and annual summary reports to NIFAs electronic, Web-based inventory system that facilitates both grantee

submissions of project outcomes and public access to information on Federally-funded projects. The details of the reporting requirements are included in the award terms and conditions. Annual Performance Report:

Annual performance reports are due 30 days after the anniversary date of the award and should be submitted to the NIFA's electronic, Web-based inventory system that facilitates both grantee submissions of project outcomes and public access to information on Federally-funded projects. The details of the reporting requirements are included in the award terms and conditions.

Annual performance reports must include the following information:

- 1) a comparison of actual accomplishments with the goals established for the reporting period;
- 2) if established goals were not met, the reasons
- 3) documentation of outputs; i.e., significant activities, including dissemination activities, events, services or products that contribute toward achieving the goals and objectives of the project
- 4) outcomes/impacts; i.e., a change in knowledge, actions or conditions;
- 5) any other indication of increased capacity for carrying out the land grant mission

Final Technical Report:

A final technical report must be submitted within 90 days after the expiration date of the project NIFAs electronic, Web-based inventory system that facilitates both grantee submissions of project outcomes and public access to information on Federally-funded projects. The details of the reporting requirements are included in the award terms and conditions.

The expiration date is specified in the award documents and modifications thereto, if any. Generally, the final technical report should be a summary of the completed project, including:

1. A review of project objectives and accomplishments;
2. A description of outcomes resulting from the project and activities undertaken to disseminate these outcomes;
3. An explanation of partnerships and collaborative ventures that resulted from the project, including future initiatives that are planned as a result of the project;
4. A description of the projects impact on the PD(s), the institution(s) involved, and the community;
5. Any pertinent data on project personnel and beneficiaries. The final technical report also must contain any other information specified in the terms and conditions of the award; and,

Quarterly Reports of Federal Cash Transactions (SF-272) were required by the Department of Health and Human Services (DHHS) (www.dpm.psc.gov) and were submitted online through the DHHS Payment Management System (PMS) website. If you become delinquent in these reports, you will not be able to access your funds.

A final Financial Status Report (SF-269) or Federal Financial Report (SF-425) is due within 90 days of the expiration date of the grant and should be submitted to the address listed below, in accordance with instructions contained in 2 CFR 3430.55 (also refer to Section 3015.82 of the Uniform Federal Assistance Regulations).

Awards Management Division (AMD)
Office of Grants and Financial Management (OGFM)
National Institute of Food and Agriculture (NIFA)
U.S. Department of Agriculture (USDA)
STOP 2271

1400 Independence Avenue, SW
Washington, DC 20250-2271
Telephone: (202) 401-4986

Are cash reports required?

  A final Financial Status Report (SF-269) or Federal Financial Report (SF-425) is due within 90 days of the expiration date of the grant and should be submitted to the Awards Management Branch, Office of Extramural

Programs at the address listed below, in accordance with instructions contained in 2 CFR 3430.55 (also refer to Section 3015.82 of the Uniform Federal Assistance Regulations).

Awards Management Branch
Office of Extramural Programs
National Institute of Food and Agriculture
U.S. Department of Agriculture
STOP 2271

1400 Independence Avenue, SW
Washington, DC 20250-2271

Telephone: (202) 401-4986. Award Terms and Conditions:

Awards are accompanied by provisions including Terms and Conditions for the funded project. Grantees must stay informed and guided by the Terms and Conditions of the award regarding required reports, program/project data collection, program income, release of information, sharing of findings, data, and other project products, patents, inventions, copyrights, etc.

Grantees are to submit initial project information and annual summary reports to NIFAs electronic, Web-based inventory system that facilitates both grantee submissions of project outcomes and public access to information on Federally-funded projects. The details of the reporting requirements are included in the award terms and conditions.

Annual Performance Report:

Annual performance reports are due 30 days after the anniversary date of the award must include the following information:

- 1) a comparison of actual accomplishments with the goals established for the reporting period;
- 2) if established goals were not met, the reasons
- 3) documentation of outputs; i.e., significant activities, including dissemination activities, events, services or products that contribute toward achieving the goals and objectives of the project
- 4) outcomes/impacts; i.e., a change in knowledge, actions or conditions;
- 5) any other indication of increased capacity for carrying out the land grant mission

Final Technical Report:

A final technical report must be submitted 90 days after the expiration date of the project. The expiration date is specified in the award documents and modifications thereto, if any. Generally, the final technical report should be a summary of the completed project, including:

1. A review of project objectives and accomplishments;
2. A description of outcomes resulting from the project and activities undertaken to disseminate these outcomes;
3. An explanation of partnerships and collaborative ventures that resulted from the project, including future initiatives that are planned as a result of the project;
4. A description of the projects impact on the PD(s), the institution(s) involved, and the community;
5. Any pertinent data on project personnel and beneficiaries. The final technical report also must contain any other information specified in the terms and conditions of the award.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

In accordance with the Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and other Non-profit Organizations [2 CFR 215, Subpart C, Section 215.53, (OMB

Circular A-110)] grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be retained at least 3 years. Records must be retained beyond the 3-year period if litigation is pending or audit findings have not been resolved.

Account Identification:

12-1500-0-1-352.

Obligations:

(Project Grants) FY 12 \$3,335,000; FY 13 est \$3,084,423; and FY 14 est \$3,335,000 - The difference between the appropriation and obligation numbers reflects legislative authorized set-asides deducted as appropriate, and in some cases the availability of obligational authority from prior years.

Range and Average of Financial Assistance:

Appropriated funds are to be awarded to the 1994 Land-Grant Institutions (hereinafter referred to as 1994 Institutions) for Education capacity building and funds are to be distributed equally among institutions that meet eligibility requirements. Equity Funds for ineligible 1994 institutions or of those who fail to apply by the application submission date will be redistributed equally among the remaining eligible 1994 institutions.

Under this RFA, only new applications for four-year continuation awards may be submitted to the TCEG Program. These are project applications not previously submitted to TCEG. All new applications will be reviewed by a review panel using the process and criteria described in Part V of the RFA Application Review Requirements.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: The Education Equity Program is a legislatively mandated formula program for the development of institutional capacity at the eligible 1994 Land Grant Tribal Institutions. Annual appropriated funds are to be awarded in equal shares to the 1994s.

In agreement with the 1994 Institution Presidents, NIFA operates the Equity program as a 4-year continuation grant opportunity. In 2012, the third year of this award cycle, a total of 31 applications were received. In the 2008 Farm Bill, NIFA was authorized to redistribute the Equity funds for any 1994 that declines or is no longer eligible. In 2012, a total of \$3,335,000 was awarded to 31 Colleges with each school receiving \$107,580.

Equity funds support many types of educational for degree initiatives in the following educational strategies:

- Curricula Design and revision
- Faculty Development and Teacher Preparation
- Student Experiential Learning
- Equipment and Instrumentation for Teaching
- Student Recruitment and Retention

The most common discipline areas are: General Agriculture; Environmental Science and Natural Resource Management; Food and Human nutrition, and Family and Consumer Sciences; and vocational education. Fiscal Year 2013: Funds in the amount \$3,084,423 were awarded to 31 institutions. Fiscal Year 2014: It is anticipated that Funding will be appropriated by Congress. Pertinent details to be provided by Program at a future date.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 3430, Competitive and Noncompetitive Non-Formula Federal Assistance Programs General Award Administrative Provisions and Program-Specific Administrative Provisions; 7 CFR Part 3015, USDA Uniform Federal Assistance Regulations; 7 CFR Part 3017, Government wide Debarment and Suspension (Nonprocurement) ; 7 CFR Part 3018, New Restrictions on Lobbying; 7 CFR Part 3019, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-profit Organizations; and 7 CFR Part 3021 USDA implementation of Governmentwide Requirements for Drug-free Workplace (Financial Assistance).

Regional or Local Office:

None.

Headquarters Office:

USDA, NIFA, National Program Leader, Institute of Youth, Family, and Community, Division of Community and Education, 1400 Independence Avenue, SW., STOP 2250, Washington, District of Columbia 20250-2250
Phone: (202) 720-2324 Fax: (202) 720-2030.

Website Address:

<http://www.nifa.usda.gov/>

RELATED PROGRAMS:

10.222 Tribal Colleges Endowment Program; 10.500 Cooperative Extension Service

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: For 2012, Equity supported:

Full time faculty = 29; Part time faculty = 23; Interns = 119

For the first time in school history, students at Saginaw Chippewa Tribal College in Michigan can register to take physics thanks to an upgraded laboratory. And at Leech Lake Tribal College in Cass Lake, Minnesota, students were able to take trigonometry for the first time last year. Funded and supported by NIFA Equity, both schools made improvements to bolster their students learning in the areas of science and mathematics.

At Navajo Technical College, New Mexico, the Vet Department had been closed since 2010. The Equity PD, Germaine Daye re-opened the clinics doors to 50 Navajo Tech students majoring in animal science. in 2012, six Navajo Tech Veterinary Technology Program students passed their internship training last year, gaining invaluable veterinary technician experience in three clinics throughout the Navajo Nation. So far, the program has graduated 10 students, some of whom are pursuing college programs that will lead them to vet school, and sparked the interests of 16 excited, new students enrolling this year.

Tribal Colleges are using Equity funds to recruit and retain students in agricultural-related fields; Improve the preparation of high school students for the rigors of college-level coursework, particularly agricultural-related courses; Provide financial support for students who express interest in agricultural-related coursework; and Develop and implement an agricultural-related climate change courses.

Equity funds are also used at Tribal schools to help in the fight against obesity. Faculty are using the latest information on obesity and developing their curriculums to instruct students on obesity prevention. New courses or being developed so that students will be able to learn about different techniques used to prevent obesity. Classrooms are being upgraded with technology to best deliver the instructions to the students. Summer fit camps are being offered as alternatives to actual college courses.

Some Equity funds are used for developing teaching competencies to increase the knowledge of agricultural sciences for American Indian producers. Through participations in workshops, presentations and seminars, American Indian producers are expected to gain knowledge and apply it to their real life situations. Fiscal Year 2013: This is the final year of a 4-year continuation award and the projects are all similar to the first three years. Fiscal Year 2014: This will be the first year of a new 4-year cycle. Project will be similar to previous years.

CRITERIA FOR SELECTING PROPOSALS:

Within guidelines established for the program as described in the Request for Application (RFA).

10.222 TRIBAL COLLEGES ENDOWMENT PROGRAM

Tribal Colleges Endowment Interest Program
aka 1994 Institutions Endowment Interest Program

FEDERAL AGENCY:

National Institute of Food and Agriculture, Department of Agriculture

AUTHORIZATION:

Title V, Part C of the Improving Americas Schools Act of 1994, Public law 103-382 (October 20, 1994), 7 U.S.C. 301 note, as amended; Public Law

105-185, cited as Equity in Educational Land-Grant Status Act of 1994, (hereafter referred to as the Act) provides that certain tribal colleges, designated as 1994 Land-Grant Institutions, (hereafter referred to as LGIs) receive various benefits., 7 U.S.C 301 note.

OBJECTIVES:

To enhance educational opportunities at the thirty-four (34) Land Grant Institutions by strengthening their teaching programs in the food and agricultural sciences in targeted need areas.

The legislation designates that the interest from the endowment funds benefit the 1994 land-Grant institutions by supporting teaching programs in the food and agricultural sciences in the target areas of:

- 1) Curricula design and instructional materials
- 2) Faculty development and preparation for teaching
- 3) Instruction delivery systems
- 4) Student experiential learning
- 5) Equipment & instrumentation for teaching
- 6) Student recruitment and retention

Additionally, endowment funds released on or after October 1, 2001, also may be used for facility renovation, repair, construction and maintenance to support teaching programs in the food and agriculture sciences in addition to the above six (6) areas, to support the land-grant mission.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

The Tribal Colleges Endowment Fund benefits the 1994 land-grant institutions by promoting capacity development in teaching programs in the food and agricultural sciences. The Tribal Colleges Endowment Fund, as a teaching capacity development program, is a companion program of the Tribal Colleges Equity Grants Program. It differs primarily from the Equity Grants Program in two (2) respects, namely:

Endowment funds may be escrowed indefinitely and used for major obligations relating to the allowable activities.

As of October 1, 2001, Endowment funds also may be used for facility renovation, repair, construction, and maintenance in support of and in addition to the six (6) targeted areas of support listed below.

Interest distribution from an endowed corpus based on a formula specific to this legislation.

The legislation designates that the interest from the endowment funds benefit the 1994 land-Grant institutions by supporting teaching programs in the food and agricultural sciences in the target areas of:

- 1) Curricula design and instructional materials
- 2) Faculty development and preparation for teaching
- 3) Instruction delivery systems
- 4) Student experiential learning
- 5) Equipment & instrumentation for teaching
- 6) Student recruitment and retention

Additionally, endowment funds released on or after October 1, 2001, also may be used for facility renovation, repair, construction and maintenance to support teaching programs in the food and agriculture sciences in addition to the above six (6) areas, to support the land-grant mission. Interest distribution from an endowed corpus based on a formula specific to this legislation.

Distribution funds received prior to October, 2001 may not be used for new construction.

Institutions that intend to use their Endowment Funds for new construction should submit their plans to USDA, NIFA, National Program Leader, Multicultural Alliances for approval. Institutions that list plans for new construction in their Planning Document will be sent specific guidelines.

Section 7402 of the Food, Conservation, and Energy Act of 2008 (FCEA) (Pub. L. 110-246), amends Section 534(a)(3) of the Equity in Educational Land-Grant

Status Act of 1994 (7 U.S.C. 301 note: Public Law 103-382) to require that all eligible recipients of the 1994 Institutions Endowment Fund meet certain accreditation requirements in order to receive interest distributions from that fund. Those LGIs that do not meet these requirements will have their share of funds redistributed to those 1994 institutions which meet accreditation requirements.

Applicant Eligibility:

Eligibility is defined by legislation. An institution must be an accredited 1994 Land Grant Institution with current accreditation from a recognized accreditation organization.

Section 7402 of the Food, Conservation, and Energy Act of 2008 (FCEA) (Pub. L. 110-246), amends Section 532 of the Equity in Educational Land-Grant Status Act of 1994 (7 U.S.C. 301 note: Public Law 103-382) by adding at the end the following: (34) Ilisagvik College.

The complete listing of 1994 Land-Grant Institutions (LGIs) is as follows:

Bay Mills Community College, Blackfeet Community College, Cankdeska Cikana Community College, Chief Dull Knife College, College of the Menominee Nation, D-Q University, Dine College, Fond du Lac Tribal and Community College, Fort Belknap College, Fort Berthold Community College, Fort Peck Community College, Haskell Indian Nations University, Ilisagvik College, Institute of American Indian Arts, Leech Lake Tribal College, Little Big Horn College, Little Priest Tribal College, Navajo Technical College, Nebraska Indian Community College, Northwest Indian College, Oglala Lakota College, Saginaw Chippewa Tribal College, Salish Kootenai College, Si Tanka University, Sinte Gleska University, Sisseton Wahpeton College, Sitting Bull College, Southwestern Indian Polytechnic Institute, Stone Child College, Tohono Oodham Community College, Turtle Mountain Community College, United Tribes Technical College, Lac Courte Oreilles Ojibwa Community College, and White Earth Tribal and Community College.

Beneficiary Eligibility:

Eligibility is defined by legislation. An institution must be an accredited 1994 Land Grant Institution with current accreditation from a recognized accreditation organization.

Section 7402 of the Food, Conservation, and Energy Act of 2008 (FCEA) (Pub. L. 110-246), amends Section 532 of the Equity in Educational Land-Grant Status Act of 1994 (7 U.S.C. 301 note: Public Law 103-382) by adding at the end the following: (34) Ilisagvik College.

The complete listing of 1994 Land-Grant Institutions (LGIs) is as follows:

Bay Mills Community College, Blackfeet Community College, Cankdeska Cikana Community College, Chief Dull Knife College, College of the Menominee Nation, D-Q University, Dine College, Fond du Lac Tribal and Community College, Fort Belknap College, Fort Berthold Community College, Fort Peck Community College, Haskell Indian Nations University, Ilisagvik College, Institute of American Indian Arts, Leech Lake Tribal College, Little Big Horn College, Little Priest Tribal College, Navajo Technical College, Nebraska Indian Community College, Northwest Indian College, Oglala Lakota College, Saginaw Chippewa Tribal College, Salish Kootenai College, Si Tanka University, Sinte Gleska University, Sisseton Wahpeton College, Sitting Bull College, Southwestern Indian Polytechnic Institute, Stone Child College, Tohono Oodham Community College, Turtle Mountain Community College, United Tribes Technical College, Lac Courte Oreilles Ojibwa Community College, and White Earth Tribal and Community College.

Credentials/Documentation:

No Credentials or documentation are required. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. There is no application process. Please refer to Section :093 for specific details regarding the Award Procedure.

Award Procedure:

On the termination of each fiscal year, the Secretary of Agriculture withdraws the earned interest from the Endowment fund and distributes it to the 1994s on a pro rata basis. The pro rata basis formula is as follows: 60 percent is based on the Indian student count for each institution; 40 percent is distributed in equal shares.

Interest distributions are made on an annual basis.

The interest earned from the endowment corpus is distributed to each 1994 Institution based on a 60-40 percentage formula:

60 percent (60%) is based on the number of American Indian students enrolled (based on the annual Student Indian Count as defined in Section 390(3) of the Carl D. Perkins Vocational and Applied Technology Education Act) will be furnished to the agency by the American Indian Higher Education Consortium - AIHEC) and

40 percent (40%) on an equal base formula.

The computation is made by NIFA and a letter of notification is sent to each 1994 institution President.

The Endowment Interest distribution is available to the 1994 Institutions to be drawn down at their discretion through the electronic payment systems of either the Department of Health and Human Services (PMS) or through the Treasury ASAP system.

Upon notification of their annual distribution, 1994s are required to submit a Planning Document (subject to approval) on how their Endowment funds will be spent.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Not Applicable.

Renewals:

Not applicable, each year of funding is awarded as a new grant.

Formula and Matching Requirements:

Statutory Formula: Title Equity in Educational Land-Grant Status Act of 1994, Public Law 103-382. Title V, Part C of the Improving Americas Schools Act of 1994, Public law 103-382 (October 20, 1994), 7 U.S.C. 301 note, as amended; Public Law 105-185, cited as Equity in Educational Land-Grant Status Act of 1994, (hereafter referred to as the Act) provides that certain tribal colleges, designated as 1994 Land-Grant Institutions, (hereafter referred to as LGIs) receive various benefits.

This program has no matching requirements. NIFA does not require matching or cost sharing support for this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Interest distributions are made on an annual basis.

The Endowment Interest distribution is no-year funds and can be used or held indefinitely. Method of awarding/releasing assistance: by letter of credit.

Reports:

Grantees are to submit initial project information and annual summary reports to NIFAs electronic, Web-based inventory system that facilitates both grantee submissions of project outcomes and public access to information on Federally-funded projects. The details of the reporting requirements are included in the award terms and conditions.

As part of its oversight and accountability responsibilities, NIFA requires that the following documents be submitted by September 30 of each year or within 90 days after having received the interest distribution notification:

Planning Document College intent to utilize the Endowment Funds (Institutions are encouraged to engage in long-range planning and the sharing of ideas within the Tribal College Community.)

Annual Technical/Progress Report How funds were spent over the past year and any impact of those funds.

Annual SF- 425, Federal Financial Report, per Agency instructions.

SPECIAL NOTE:

Specific and detailed guidance regarding the format and content of the Planning Document and Annual Technical/Progress Report accompany the annual Interest Distribution letters which are sent to the Presidents of each 1994 Land-Grant Institution.

Further, the reports are required to keep Congress informed of progress and accomplishments regarding the utilization of the Endowment funds. NIFA uses the SF-425, Federal Financial Report to monitor cash. Grantees are to submit initial project information and annual summary reports to NIFAs electronic, Web-based inventory system that facilitates both grantee submissions of project outcomes and public access to information on Federally-funded projects. The details of the reporting requirements are included in the award terms and conditions.

As part of its oversight and accountability responsibilities, NIFA requires that the following documents be submitted by September 30 of each year or within 90 days after having received the interest distribution notification:

Planning Document College intent to utilize the Endowment Funds (Institutions are encouraged to engage in long-range planning and the sharing of ideas within the Tribal College Community.)

Annual Technical/Progress Report How funds were spent over the past year and any impact of those funds.

Annual SF- 425, Federal Financial Report, per Agency instructions.

SPECIAL NOTE:

Specific and detailed guidance regarding the format and content of the Planning Document and Annual Technical/Progress Report accompany the annual Interest Distribution letters which are sent to the Presidents of each 1994 Land-Grant Institution.

Further, the reports are required to keep Congress informed of progress and accomplishments regarding the utilization of the Endowment funds. Institutions are required to submit the SF-425, Federal Financial Report, per Agency instructions. The office listed below provides agency oversight of these reports:

Formula Grants Section (F/S)
Financial Operations Branch (FOB)
Office of Extramural Programs (OEP)
National Institute of Food and Agriculture (NIFA)
U.S. Department of Agriculture (USDA)
STOP 2298
1400 Independence Avenue, SW
Washington, DC 20250-2298

Telephone: (202) 401-6520
Fax: (202) 690-3002

E-mail: formulagrantsquestions@nifa.usda.gov. Grantees are to submit initial project information and annual summary reports to NIFAs electronic, Web-based inventory system that facilitates both grantee submissions of project

outcomes and public access to information on Federally-funded projects. The details of the reporting requirements are included in the award terms and conditions.

As part of its oversight and accountability responsibilities, NIFA requires that the following documents be submitted by September 30 of each year or within 90 days after having received the interest distribution notification:

Planning Document College intent to utilize the Endowment Funds (Institutions are encouraged to engage in long-range planning and the sharing of ideas within the Tribal College Community.)

Annual Technical/Progress Report How funds were spent over the past year and any impact of those funds.

Annual SF- 425, Federal Financial Report, per Agency instructions.

SPECIAL NOTE:

Specific and detailed guidance regarding the format and content of the Planning Document and Annual Technical/Progress Report accompany the annual Interest Distribution letters which are sent to the Presidents of each 1994 Land-Grant Institution.

Further, the reports are required to keep Congress informed of progress and accomplishments regarding the utilization of the Endowment funds.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audits will be conducted in accordance with guidelines established in the revised OMB Circular No. A-133, and implemented in 7 CFR 3052. This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

In accordance with the Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and other Non-profit Organizations [2 CFR 215, Subpart C, Section 215.53, (OMB Circular A-110)] grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be retained at least 3 years. Records must be retained beyond the 3-year period if litigation is pending or audit findings have not been resolved.

Account Identification:

12-1500-0-1-352.

Obligations:

(Formula Grants (Apportionments)) FY 12 \$4,553,365; FY 13 est \$4,747,920; and FY 14 est \$5,123,520 - The difference between the appropriation and obligation numbers reflects legislative authorized set-asides deducted as appropriate, and in some cases the availability of obligational authority from prior years.

NOTES:

FY 2013 estimated amounts include dollar reductions calculated pursuant to the budget sequestration order issued March 1, 2013.

We agreed to report payments to states for all three years. Therefore, the FY 2014 net amount to states for the Higher Education-Interest Earned for the Native American Endowment Fund is \$5,123,520 (after deducting federal administration).

This program represents no year funds. In terms of availability of appropriations (time), there are no time limits as to when "no-year" funds may be obligated and expended and the funds remain available for their original

purposes until expended.

Range and Average of Financial Assistance:

The Endowment Interest distribution increases each year in proportion to the Endowment Corpus and the interest earnings for each year; as well as the number of American Indians enrolled at each institution. The highest amount awarded was in 2009 was \$299,509 and the lowest amount was \$57,866. Three Institutions received amounts over \$200,000; 11 Institutions received amounts over \$100,000 and 18 Institutions received amounts under \$99,000.

NOTE: For Fiscal Year (FY) 2009, two (2) LGIs did not meet eligibility criteria for interest distribution.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Total distribution to 32 Tribal Colleges (1994 Land Grants) \$ 4,553,365. Fiscal Year 2013: Total distribution to 32 Tribal Colleges (1994 Land Grants) \$ 4,747,920. Fiscal Year 2014: Total FY '14 amount will be based on interest earned from Endowment fund and distribution formula. Pertinent data will be submitted by Program at a future date.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR 301 note; 7 CFR Part 3015, USDA Uniform Federal Assistance Regulations; 7 CFR Part 3017, Government wide Debarment and Suspension (Nonprocurement) ; 7 CFR Part 3018, New Restrictions on Lobbying; 7 CFR Part 3019, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-profit Organizations; and 7 CFR Part 3021 USDA implementation of Governmentwide Requirements for Drug-free Workplace (Financial Assistance).

Regional or Local Office:

None.

Headquarters Office:

USDA, NIFA, National Program Leader, Institute of Youth, Family, and Community, Division of Community and Education, 1400 Independence Avenue, SW., STOP 2250, Washington, District of Columbia 20250-2250 Phone: (202) 720-2324 Fax: (202) 720-2030

Website Address:

http://www.nifa.usda.gov/nea/education/in_focus/multicultural_if_1994_endowment.html

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Typical Funded Activities Include:

- Support student internships
- Construct or renovate laboratories, wellness and learning centers
- Support distance education capability that brings the college to American Indian students that are not able to reach campus
- Hire faculty or student support staff
- Recruitment and Retention efforts. Fiscal Year 2013: Funding is at the discretion of the 1994 Land Grant Presidents. Fiscal Year 2014: Funding is at the discretion of the 1994 Land Grant Presidents.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.223 HISPANIC SERVING INSTITUTIONS EDUCATION GRANTS

HSI Grants

FEDERAL AGENCY:

National Institute of Food and Agriculture, Department of Agriculture

AUTHORIZATION:

This program is administered under the provisions of section 1455 of the National Agricultural Research, Extension, and Teaching Policy Act of 1977, as amended (NARETPA) (7 U.S.C. 3241) pursuant to section 815 of Public Law 104-127 and Public Law 110-246. Section 1455 of NARETPA, as reauthorized by section 7128 of Public Law 110-246, provides the Secretary of Agriculture with the authority to make competitive grants (or grants without regard to any requirement for competition) to Hispanic-Serving Institutions for the purpose of

promoting and strengthening the ability of Hispanic-Serving Institutions to carry out education, applied research, and related community development programs., 7 U.S.C 3241.

OBJECTIVES:

The objective of this program is to promote and strengthen the ability of Hispanic-Serving Institutions to carry out higher education programs in the food and agricultural sciences. These programs aim to attract outstanding students and produce graduates capable of enhancing the Nation's food and agricultural scientific and professional work force. Grants under this program will be awarded to:

- (1) support the activities of consortia of Hispanic-Serving Institutions to enhance educational equity for underrepresented students;
- (2) strengthen institutional educational capacities including libraries, curriculum, faculty, scientific instrumentation, instruction delivery systems, and student recruitment and retention, in order to respond to identified State, regional, national, or international educational needs in the food and agricultural sciences;
- (3) attract and support undergraduate and graduate students from underrepresented groups in order to prepare them for careers related to the food, agricultural, and natural resource systems of the United States, beginning with the mentoring of students at the high school level and continuing with the provision of financial support for students through their attainment of a doctoral degree; and
- (4) facilitate cooperative initiatives between two or more Hispanic-Serving Institutions, or between Hispanic-Serving Institutions and units of State government or the private sector, to maximize the development and use of resources, such as faculty, facilities, and equipment, to improve food and agricultural sciences teaching programs.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Funds may be used to support one or more of the following target areas or additional target areas as identified in the annual program announcement: Curricula design; materials development; library resources; faculty preparation and enhancement for teaching; instruction delivery systems; scientific instrumentation for teaching; student experiential learning; and student recruitment and retention. 1. Award Limitations

For FY 2009, a lead institution may receive up to two awards. Institutions could serve as collaborators in many applications and awards.

2. Funding Limitations

Please see Part II, C.3., Award Size, for maximum budget requests for regular or collaboration project applications. For FY 2009, there is no limitation on the amount of funding a single awardee may receive either as a lead institution, a cooperating institution, a subawardee or any combination thereof. The lead institution on a Collaboration Project must retain at least 25 percent and no more than 75 percent of the funds awarded.

3. Use of Funds for Facilities

Under the Hispanic-Serving Institutions Education Grants Program, the use of grant funds to plan, acquire, or construct a building or facility is not allowed. With prior approval, in accordance with the cost principles set forth in OMB Circular No. A-21, some grant funds may be used for minor alterations, renovations, or repairs deemed necessary to retrofit existing teaching spaces in order to carry out a funded project. However, requests to use grant funds for such purposes must demonstrate that the alterations, renovations, or repairs are incidental to the major purpose for which a grant is issued.

4. Indirect Costs

Section 720 of the Consolidated and Further Continuing Appropriations Act, 2012 (Pub.L. No. 112-55) limits indirect costs to 30 percent of the total Federal funds provided under each award. Therefore, when preparing budgets, applicants should limit their requests for recovery of indirect costs to the lesser

of their institutions official negotiated indirect cost rate or the equivalent of 30 percent of total Federal funds awarded. Fully discretionary.

Applicant Eligibility:

Hispanic serving institutions are eligible to receive funds under this program. "Hispanic serving institutions" means an institution of higher education which, at the time of application, has an enrollment of undergraduate full-time equivalent students that is at least 25 percent Hispanic students, and which (1) admits as regular students only persons having a certificate of graduation from a school providing secondary education, or the recognized equivalent of such certificate;

(2) is a public or other nonprofit institutions accredited by a nationally recognized accrediting body; and

(3) is legally authorized to provide a program of education beyond the secondary level for which a 2-year associate, baccalaureate, or higher degree is awarded. Applications may be submitted by, and awards may only be made to, public or other non-profit Hispanic-Serving Institutions as defined in the definitions section of this solicitation. For the purposes of this program, the individual branches of a State university system or public system of higher education that are separately accredited as degree granting institutions are treated as separate institutions eligible for awards. Accreditation must be by an agency or association recognized by the Secretary, U.S. Department of Education. Institutions also must be legally authorized to offer at least a two-year program of study creditable toward an associate's or bachelor's degree. Separate branches or campuses of a college or university that are not individually accredited as degree granting institutions are not treated as separate institutions.

To be eligible for competitive consideration for an award under this program, a Hispanic-Serving Institution must at the time of application:

(1) certify that it has an enrollment of undergraduate full-time equivalent students that is at least 25 percent Hispanic students; and

(2) provide assurances that not less than 50 percent of the institution's Hispanic students are low-income individuals as defined in the definitions section of this solicitation.

Beneficiary Eligibility:

Hispanic serving institutions, as identified above, are eligible to receive funds under this program.

Credentials/Documentation:

No Credentials or documentation are required. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

All RFAs are published on the Agencies website and Grants.gov. Applicants must complete the Grants.gov registration process. Please see the following Grants.gov link for more information: http://www.grants.gov/applicants/get_registered.jsp. An environmental impact statement is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applications should be submitted as outlined in the RFA. Applications must follow the instructions provided per Grants.Gov and in the Agency guide to submitting applications via Grants.gov.

Award Procedure:

Applications are subjected to a system of peer and merit review in accordance with section 103 of the Agricultural Research, Extension and Education Reform Act of 1998 (7 U.S.C. 1613) by a panel of qualified scientists and other appropriate persons who are specialists in the field covered by the proposal. Within the limit of funds available for such purpose, the NIFA Authorized Departmental Officer (ADO) shall make grants to those responsible, eligible applicants whose applications are judged most meritorious under the procedures set forth in the RFA.

Reviewers will be selected based upon training and experience in relevant

scientific, extension, or education fields, taking into account the following factors: (a) The level of relevant formal scientific, technical education, or extension experience of the individual, as well as the extent to which an individual is engaged in relevant research, education, or extension activities; (b) the need to include as reviewers experts from various areas of specialization within relevant scientific, education, or extension fields; (c) the need to include as reviewers other experts (e.g., producers, range or forest managers/operators, and consumers) who can assess relevance of the applications to targeted audiences and to program needs; (d) the need to include as reviewers experts from a variety of organizational types (e.g., colleges, universities, industry, state and Federal agencies, private profit and non-profit organizations) and geographic locations; (e) the need to maintain a balanced composition of reviewers with regard to minority and female representation and an equitable age distribution; and (f) the need to include reviewers who can judge the effective usefulness to producers and the general public of each application.

Evaluation Criteria will be delineated in the RFA.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Section :094 - Deadlines:

Dates for specific deadlines are announced in the RFA each fiscal year. Information is also available via our website and may be obtained via the Grants.gov website. Respective links are provided below:
<http://www.nifa.usda.gov/>
<http://www.grants.gov>

Section :095 - Range of Approval/Disapproval Time:

From 30 to 180 days.

Appeals:

Not Applicable.

Renewals:

Specific details are provided in the Request for Applications (RFA) each fiscal year.

Formula and Matching Requirements:

This program has no statutory formula. This program has no matching requirements. Funds are awarded competitively. No formula grants are awarded under Subtitle K of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 [7 U.S.C. 3319e].

NIFA does not require matching or cost sharing support for this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The term of competitive project grants and/or cooperative agreements under this program may not exceed three (3) years. Method of awarding/releasing assistance: by letter of credit.

Reports:

Grantees are to submit initial project information and annual summary reports to NIFAs electronic, Web-based inventory system that facilitates both grantee submissions of project outcomes and public access to information on Federally-funded projects. The details of the reporting requirements are included in the award terms and conditions. Cash reports are not applicable. Grantees are to submit initial project information and annual summary reports to NIFAs electronic, Web-based inventory system that facilitates both grantee submissions of project outcomes and public access to information on Federally-funded projects. The details of the reporting requirements are included in the award terms and conditions. A final Financial Status Report (SF-269) or Federal Financial Report (SF-425) is due within 90 days of the expiration date of the grant and should be submitted to the address listed below, in accordance with instructions contained in 2 CFR 3430.55 (also refer to Section 3015.82 of the Uniform Federal Assistance Regulations).

Awards Management Division (AMD)

Office of Grants and Financial Management (OGFM)
National Institute of Food and Agriculture (NIFA)
U.S. Department of Agriculture (USDA)
STOP 2271

1400 Independence Avenue, SW
Washington, DC 20250-2271

Telephone: (202) 401-4986. Grantees are to submit initial project information and annual summary reports to NIFAs electronic, Web-based inventory system that facilitates both grantee submissions of project outcomes and public access to information on Federally-funded projects. The details of the reporting requirements are included in the award terms and conditions.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audits will be conducted in accordance with guidelines established in the revised OMB Circular No. A-133 and implemented in 7 CFR 3052. This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

In accordance with the Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and other Non-profit Organizations [2 CFR 215, Subpart C, Section 215.53, (OMB Circular A-110)] grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be retained at least 3 years. Records must be retained beyond the 3-year period if litigation is pending or audit findings have not been resolved.

Account Identification:

12-1500-0-1-352.

Obligations:

(Project Grants) FY 12 \$8,829,712; FY 13 est \$8,163,844; and FY 14 est \$8,829,712 - The difference between the appropriation and obligation numbers reflects legislative authorized set-asides deducted as appropriate, and in some cases the availability of obligational authority from prior years.

Range and Average of Financial Assistance:

If minimum or maximum amounts of funding per competitive project grant or cooperative agreement are established, these will be announced in the annual program announcement or Request for Application (RFA).

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: In Fiscal Year (FY) 2012, a total of \$ 9.2 million was available to support projects in the following areas of emphasis:

- (1) Faculty Preparation and Enhancement for Teaching;
- (2) Curricula Design, Materials Development, and Library Resources;
- (3) Instruction Delivery Systems;
- (4) Scientific Instrumentation for Teaching;
- (5) Student Experiential Learning; and
- (6) Student Recruitment and Retention (including financial assistance).

In April 2012, a twenty-one member peer review panel reviewed 83 proposals.

A total of 19 representing five (5) states and Puerto Rico (7 Continuation and 11 Regular) projects are in the process to be awarded the amount of \$8,804,505.

About 5 webinars have been conducted to provide HSIs with information about grants, jobs, and internship opportunities in NIFA and other USDA agencies. Four other webinars have been conducted specifically to help students apply for internships and summer opportunities in USDA.

Seven Conference calls have been conducted for HSI existing awardees and Collaboration-continuation projects.

Three more webinars are scheduled for the rest of the year to provide

information to HSIs about the 2013 RFA, projects technical and financial reports and continuation project activities. Fiscal Year 2013: According to the NIFA FY13 budget, \$9.2 million will be available to support the HSI grants.

The FY13 HSIs RFA will be published in October 2012.

Funds for seven Collaboration-continuation awards will be provided. Fiscal Year 2014: Pertinent details to be provided by Program at a future date.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 3430, Competitive and Noncompetitive Non-formula Grant Programs General Grant Administrative Provisions and Program-Specific Administrative Provisions; 7 CFR Part 3015, USDA Uniform Federal Assistance Regulations; 7 CFR Part 3017, Government wide Debarment and Suspension (Nonprocurement) ; 7 CFR Part 3018, New Restrictions on Lobbying; 7 CFR Part 3019, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-profit Organizations; and 7 CFR Part 3021 USDA implementation of Governmentwide Requirements for Drug-free Workplace (Financial Assistance).

Regional or Local Office:

None.

Headquarters Office:

USDA, NIFA, National Program Leader, Institute of Youth, Family, and Community, Division of Community and Education, 1400 Independence Avenue, SW., STOP 2250, Washington, District of Columbia 20250-2250
Phone: (202) 720-2324 Fax: (202) 720-2030

Website Address:

<http://www.nifa.usda.gov/>

RELATED PROGRAMS:

10.210 Food and Agricultural Sciences National Needs Graduate Fellowship Grants; 10.217 Higher Education Challenge Grants; 10.220 Higher Education Multicultural Scholars Program; 10.226 Secondary and Two-Year Postsecondary Agriculture Education Challenge Grants

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: One project will address the problem of career opportunities for underrepresented populations by resolving a gap in available Nutrition classes for Hispanic students. PINT will target 145 Hispanic students through a Nutrition Science curriculum, encouraging enrollment in a new transfer certificate in Nutrition. The program will include guest speakers, field trips, and other opportunities to open up career options to targeted students. PINT will develop a new nutrition-based curriculum and negotiate an articulation agreement with the University of Arizona to create a transfer pipeline for students to achieve baccalaureate degrees in nutritional science.

Another project currently enrolls more than 60 Natural Resources Management (NRM) majors; 75% are underrepresented minority (UM) students. We project 50% enrollment increases over the next 3 years. We plan focused advising, early academic intervention, and a Geographic Information System (GIS)-enhanced curriculum to increase the number of UM students graduating with NRM B.S. degrees and GIS skills. Graduates will have the competencies to collect, manage, manipulate, and share geospatial agricultural data as well as to contribute to the sustainment of rural agriculture and management of natural resources impacted by climatic changes.

Another project is an interdisciplinary and collaborative effort between 5 Hispanic serving institutions, which will provide students from agriculture and related disciplines with graduate research assistantships, undergraduate research stipends and educational and training experiences. CETARS scope also involves outreach activities and hands-on research experiences for talented K-12 students. All of CETARS education training activities are aimed at providing innovative, high impact research training and education experiences to students and faculty from underrepresented Hispanics groups. This way, CETARS will establish and consolidate a pipeline attracting, recruiting, retaining and graduating talented individuals while supporting their actual placement in Agriculture-related positions.

Another project is Connecting Underrepresented Latinos To Integrate Values and Academic Resources. Connecting Underrepresented Latinos To Integrate Values and Academic Resources (CULTIVAR) brings added value to Latinos pursuing graduate education and employment in USDA occupations. It will identify, select, and cultivate Masters degree level students in food and agricultural sciences disciplines through an outstanding thesis award competition focused on USDA priority areas of Food Safety, Climate Change, Sustainable Energy and Childhood Obesity. Fifty fellows, including the award winners, will participate in a Career Preparation Institute networking with senior faculty researchers and USDA agency representatives and create a leadership plan focused on developing human capital relevant to meeting the USDA labor force needs. Fiscal Year 2013: In Fiscal Year (FY) 2013, a total of \$ 8.1 million was available to support projects in the following areas of emphasis:

- (1) Faculty Preparation and Enhancement for Teaching;
- (2) Curricula Design, Materials Development, and Library Resources;
- (3) Instruction Delivery Systems;
- (4) Scientific Instrumentation for Teaching;
- (5) Student Experiential Learning; and
- (6) Student Recruitment and Retention (including financial assistance).

In April 2013, a thirty-four member peer review panel reviewed 105 proposals. A total of 18 representing five (5) States and Puerto Rico (7 Continuation, 2 STEAM projects, and 8 Regular) projects are in the process to be awarded the amount of \$ 8,141,849.

About five (5) webinars have been conducted to provide HSIs with information about grants, jobs, and internship opportunities in NIFA and other USDA agencies. Four other webinars have been conducted specifically to help students apply for summer opportunities at USDA.

Conference calls have been conducted for HSI existing awardees and Collaboration-continuation projects.

Building a Regional Energy and Educational Network. A consortium comprised of four universities and USDA-ARS have established an educational network that will increase the number of Hispanic students being employed by federal agencies such as USDA in areas related to Sustainable Energy. The goal of project is to create a collaborative network of researchers, educators, USDA agencies, and non-profit organizations to coordinate efforts, share resources, and increase educational, training and post-graduation opportunities for Hispanic students pursuing careers in the Sustainable Energy area.

Watershed Management Experiential Learning for USDA Careers. A Regional Collaboration of 14 Hispanic-Serving Institutions (HSIs) within a system seeks to increase the retention and graduation of underrepresented students for careers in the USDA's workforce through an innovative paid experiential-learning Watershed Management Internship program. Utilizing a Center for Water Resources and Policy Initiatives that bring together over 250 CSU water-related faculty and researchers, this collaboration will harness the research capacity of the faculty and students to address critical water issues confronting in the state. Over 4 years, 200 underrepresented undergraduate and graduate students will be selected for a mentored research projects aimed at USDA careers.

Project: Broadening Access to Modern STEAM Education. The School of Natural Sciences, Mathematics and Engineering will develop a new agricultural sciences concentration, the first step towards developing a comprehensive agriculture degree. Interdisciplinary courses will be developed and related sciences courses will be redesigned to integrate hands-on, problem-based learning with an emphasis on regional agriculture needs. Hispanics students will have the opportunity to earn a high quality degree that will prepare them to be successful agriculture professionals. One full scholarship and financial assistance to 3 other students will be provided. Outreach activities will inform local underrepresented groups of educational opportunities and rewarding careers in agriculture.

Innovative Nutrition Track. This project addresses the problem of career opportunities for underrepresented populations by resolving a gap in available

Nutrition classes for Hispanic students. The project target s145 Hispanic students through a Nutrition Science curriculum, encouraging enrollment in a new transfer certificate in Nutrition. The program will include guest speakers, field trips, and other opportunities to open up career options to targeted students. It will develop a new nutrition-based curriculum and negotiate an articulation agreement with the University of Arizona to create a transfer pipeline for students to achieve baccalaureate degrees in nutritional science.

Geospatial Applications in Natural Sciences (GAINS). An university that currently enrolls more than 60 Natural Resources Management (NRM) majors of which 75 percent are underrepresented minority (UM) students is working to increase by 50 percent enrollment increases over the next 3 years. We plan focused advising, early academic intervention, and a Geographic Information System (GIS)-enhanced curriculum to increase the number of UM students graduating with NRM B.S. degrees and GIS skills. Graduates will have the competencies to collect, manage, manipulate, and share geospatial agricultural data as well as to contribute to the sustainment of rural agriculture and management of natural resources impacted by climatic changes.

FY 2014 (Anticipated)

 9.2 million for HSI program

 HSI 2014 RFA will b published late October 2013.

 Seven Collaboration-Continuation projects will be funded

Two informational webinars for HSIs will be scheduled for FY 2014 in addition to four webinars about student internship opportunities through the USDA portal. Fiscal Year 2014: For Fiscal Year 2014 it is anticipated that \$9.2 million will be available for the HSE Program.

The FY 2014 HSI RFA will be published in late October, 2013.

Seven Collaboration-Continuation projects will be funded

Two informational webinars for HSIs will be scheduled for FY 2014 in addition to four webinars about student internship opportunities through the USDA portal.

CRITERIA FOR SELECTING PROPOSALS:

Within guidelines established for the program as described in the Request for Application (RFA).

10.225 COMMUNITY FOOD PROJECTS

FEDERAL AGENCY:

National Institute of Food and Agriculture, Department of Agriculture

AUTHORIZATION:

The Community Food Projects Competitive Grants Program (CFPCGP) legislative authority is located in Section 25 of the Food Stamp Act of 1977 (7 U.S.C. 2034), as amended by the Food and Nutrition Act of 2008 and Section 4402 of the Food, Conservation, and Energy Act (FCEA) of 2008 (Pub. L. 110-246), which authorizes a program of federal grants to establish and carry out Community Food Projects., 7 U.S.C 2034.

OBJECTIVES:

To support the development of community food projects designed to meet the food needs of low-income people; increase the self-reliance of communities in providing for their own needs; and promote comprehensive responses to local food, farm, and nutrition issues.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Community food projects are intended to take a comprehensive approach to developing long-term solutions that help to ensure food security in communities by linking the food sector to community development, economic opportunity, and environmental enhancement. Comprehensive solutions may include elements such as:

- (1) Improved access to high quality, affordable food among low-income households;

(2) support for local food systems, from urban gardening to local farms that provide high quality fresh food, ideally with minimal adverse environmental impact; and

(3) expanded economic opportunities for community residents through local business or other economic development, improved employment opportunities, job training, youth apprenticeship, school-to-work transition, and the like.

Any solution proposed must tie into community food needs.

Successful applicants must provide matching funds, either in cash or in-kind amounting to at least 50 percent of the total cost of the project during the term of the grant award. 1. Construction and Renovation:

With prior approval, and in accordance with applicable Federal cost principles, grant funds may be used to plan, acquire, or construct a building or facility, or to acquire land; and for improvements, alterations, renovations, or repairs to land or buildings, necessary to carry out a funded project under this program. However, requests to use grant funds for such purposes must demonstrate that such expenditures are essential to achieving the major purpose for which the grant request is made.

2. Indirect Costs:

Full Negotiated Rate.

Special Note on Indirect Costs as in-kind matching contributions:

Indirect costs may be claimed under the Federal portion of the award budget or, alternatively, indirect costs may be claimed as a matching contribution (if no indirect costs are requested under the Federal portion of the award budget). However, unless explicitly authorized in the RFA, indirect costs may not be claimed on both the Federal portion of the award budget and as a matching contribution, unless the total claimed on both the Federal portion of the award budget and as a matching contribution does not exceed the maximum allowed indirect costs or the institutions negotiated indirect cost rate, whichever is less. An awardee may split the allocation between the Federal and non-Federal portions of the budget only if the total amount of indirect costs charged to the project does not exceed the maximum allowed indirect costs or the institutions negotiated indirect cost rate, whichever is less. For example, if an awardee's indirect costs are capped at 30 percent pursuant to FY 2012 appropriated funds, Section 720 of the Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2012 (Division A of Pub. L. 112-55), the awardee may request 15 percent of the indirect costs on both the Federal portion of the award and as a matching contribution. Or, the awardee may request any similar percentage that, when combined, does not exceed the maximum indirect cost rate of 30 percent.

Applicant Eligibility:

Proposals may be submitted by private nonprofit entities. Because projects must promote comprehensive responses to local food, farm, and nutrition issues, applicants are encouraged to seek and create partnership among public, private nonprofit and private for-profit organizations or firms. To be further eligible for a grant, a private nonprofit applicant must meet three mandatory requirements: 1. Have experience in the area of: (a) community food work, particularly concerning small and medium-sized farms, including the provision of food to people in low-income communities and the development of new markets in low-income communities for agricultural producers; or (b) job training and business development activities in low-income communities; 2. demonstrate competency to implement a project, provide fiscal accountability and oversight, collect data, and prepare reports and other appropriate documentation; and 3. demonstrate a willingness to share information with researchers, practitioners, and other interested parties.

Beneficiary Eligibility:

Low income people.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

All RFAs are published on the Agency's website and Grants.gov. Applicants must complete the Grants.gov registration process. Please see the following Grants.gov link for more information:

http://www.grants.gov/applicants/get_registered.jsp. An environmental impact statement is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Applications should be submitted as outlined in the RFA. Applications must follow the instructions provided per Grants.gov and in the Agency guide to submitting applications via Grants.gov.

OMB Circular No. A-122 applies to this program.

Award Procedure:

Applications are subjected to a system of peer and merit review in accordance with section 103 of the Agricultural Research, Extension and Education Reform Act of 1998 (7 U.S.C. 1613) by a panel of qualified scientists and other appropriate persons who are specialists in the field covered by the proposal. Within the limit of funds available for such purpose, the NIFA Authorized Departmental Officer (ADO) shall make grants to those responsible, eligible applicants whose applications are judged most meritorious under the procedures set forth in the RFA.

Reviewers will be selected based upon training and experience in relevant scientific, extension, or education fields, taking into account the following factors: (a) The level of relevant formal scientific, technical education, or extension experience of the individual, as well as the extent to which an individual is engaged in relevant research, education, or extension activities; (b) the need to include as reviewers experts from various areas of specialization within relevant scientific, education, or extension fields; (c) the need to include as reviewers other experts (e.g., producers, range or forest managers/operators, and consumers) who can assess relevance of the applications to targeted audiences and to program needs; (d) the need to include as reviewers experts from a variety of organizational types (e.g., colleges, universities, industry, state and Federal agencies, private profit and non-profit organizations) and geographic locations; (e) the need to maintain a balanced composition of reviewers with regard to minority and female representation and an equitable age distribution; and (f) the need to include reviewers who can judge the effective usefulness to producers and the general public of each application.

Evaluation Criteria will be delineated in the RFA.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Section :094 - Deadlines:

Dates for specific deadlines are announced in the RFA each fiscal year. Information is also available via our website and may be obtained via the Grants.gov website. Respective links are provided below:
<http://www.nifa.usda.gov/>
<http://www.grants.gov>

Section :095 - Range of Approval/Disapproval Time:

From 30 to 180 days.

Appeals:

Not Applicable.

Renewals:

Specific details are provided in the Request for Applications (RFA) each fiscal year.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Percent: 100%. Successful CFP applicants and PP award applicants MUST provide matching on a dollar-for-dollar basis (100%) for all federal funds awarded.

Matching funds are not required for T & TA grants.

The legislation establishing the FSLC requires that as a condition to receiving a grant from NIFA, the NGO must contribute in-kind resources toward implementing the grant. To comply with this provision, NIFA has determined that applicants must provide at least 25 percent of total project resources on an in-kind basis during the term of the grant award. The Federal share of FSLC costs can be no more than 75 percent of total project costs.

CFP, PP and FSCL grantees may provide matching funds through cash and/or in-kind contributions, including third-party in-kind contributions fairly evaluated, including facilities. The non-federal share of the funding may come from state government, local government, other non-profit entities, or private sources. Examples of qualifying matching contributions may include direct costs such as: rent for office space used exclusively for the funded project; duplication or postage costs; and staff time from an entity other than the applicant for job training or nutrition education.

****SPECIAL NOTES:**

(1) Use of Indirect Costs as In-Kind Matching Contributions. Indirect costs may be claimed under the Federal portion of the award budget or, alternatively, indirect costs may be claimed as a matching contribution (if no indirect costs are requested under the Federal portion of the award budget). However, unless explicitly authorized in the RFA, indirect costs may not be claimed on both the Federal portion of the award budget and as a matching contribution, unless the total claimed on both the Federal portion of the award budget and as a matching contribution does not exceed the maximum allowed indirect costs or the institutions negotiated indirect cost rate, whichever is less. An awardee may split the allocation between the Federal and non-Federal portions of the budget only if the total amount of indirect costs charged to the project does not exceed the maximum allowed indirect costs or the institutions negotiated indirect cost rate, whichever is less. For example, if an awardee's indirect costs are capped at 22 percent pursuant to section 1462(a) of NARETPA (7 U.S.C. 3310(a)), the awardee may request 11 percent of the indirect costs on both the Federal portion of the award and as a matching contribution. Or, the awardee may request any similar percentage that, when combined, does not exceed the maximum indirect cost rate of 22 percent.

(2) MATCHING FUNDS ARE NOT REQUIRED FOR TRAINING AND TECHNICAL ASSISTANCE (T & TA) GRANTS.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The term of competitive project grants and/or cooperative agreements under this program may not exceed three (3) years. Method of awarding/releasing assistance: by letter of credit.

Reports:

Grantees are to submit initial project information and annual summary reports to NIFAs electronic, Web-based inventory system that facilitates both grantee submissions of project outcomes and public access to information on Federally-funded projects. The details of the reporting requirements are included in the award terms and conditions. Cash reports are not applicable. Grantees are to submit initial project information and annual summary reports to NIFAs electronic, Web-based inventory system that facilitates both grantee submissions of project outcomes and public access to information on Federally-funded projects. The details of the reporting requirements are included in the award terms and conditions. A final Financial Status Report (SF-269) or Federal Financial Report (SF-425) is due within 90 days of the expiration date of the grant and should be submitted to the address listed below, in accordance with instructions contained in 2 CFR 3430.55 (also refer to Section 3015.82 of the Uniform Federal Assistance Regulations).

Awards Management Division (AMD)
Office of Grants and Financial Management (OGFM)
National Institute of Food and Agriculture (NIFA)
U.S. Department of Agriculture (USDA)
STOP 2271
1400 Independence Avenue, SW

Washington, DC 20250-2271. Grantees are to submit initial project information and annual summary reports to NIFAs electronic, Web-based inventory

system that facilitates both grantee submissions of project outcomes and public access to information on Federally-funded projects. The details of the reporting requirements are included in the award terms and conditions.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audits will be conducted in accordance with guidelines established in the revised OMB Circular No. A-133 and implemented in 7 CFR 3052. This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

In accordance with the Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and other Non-profit Organizations [2 CFR 215, Subpart C, Section 215.53, (OMB Circular A-110)] grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be retained at least 3 years. Records must be retained beyond the 3-year period if litigation is pending or audit findings have not been resolved.

Account Identification:

12-3505-0-1-605.

Obligations:

(Project Grants) FY 12 \$4,800,000; FY 13 est \$4,800,000; and FY 14 est \$4,800,000 - The difference between the appropriation and obligation numbers reflects legislative authorized set-asides deducted as appropriate, and in some cases the availability of obligational authority from prior years.

Range and Average of Financial Assistance:

If minimum or maximum amounts of funding per competitive project grant or cooperative agreement are established, these will be announced in the annual program announcement or Request for Application (RFA).

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: 176 Community Food Projects were reviewed, 2 Training and Capacity Building Projects, 6 Planning Projects and 14 Community Food Projects were funded. Fiscal Year 2013: 128 Community Food Projects were reviewed, 9 Planning Projects and 17 Community Food Projects were funded. Fiscal Year 2014: No current data available. Pertinent details to be provided by Program at a future date.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 3430, Competitive and Noncompetitive Non-formula Grant Programs General Grant Administrative Provisions and Program-Specific Administrative Provisions; 7 CFR Part 3015, USDA Uniform Federal Assistance Regulations; 7 CFR Part 3017, Government wide Debarment and Suspension (Nonprocurement) ; 7 CFR Part 3018, New Restrictions on Lobbying; 7 CFR Part 3019, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-profit Organizations; and 7 CFR Part 3021 USDA implementation of Governmentwide Requirements for Drug-free Workplace (Financial Assistance).

Regional or Local Office:

None.

Headquarters Office:

USDA, NIFA, National Program Leader, Institute of Food Safety and Nutrition, Division of Nutrition, 1400 Independence Avenue, SW., STOP 2225, Washington, District of Columbia 20250-2225 Phone: (202) 401-2138 Fax: (202) 401-6488.

Website Address:

<http://www.nifa.usda.gov/>

RELATED PROGRAMS:

10.572 WIC Farmers' Market Nutrition Program (FMNP)

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: 1) A training and capacity building Community Food Project (CFP) grant was awarded to create and implement a national program of training and capacity building for selected Native American-controlled programs to address food systems and/or food security in low income, socially disadvantaged communities. These training recipients will be either grantees or eligible grantees of the USDA Community Food Project Competitive Grants Program.

2) In an area of food deserts, a Community Foods Planning Project developed a collaborative to promote healthy food initiatives with an innovative food enterprise and entrepreneurial spirit.

3) A Community Food Project is scaling up a 10 acre urban farm and training center to serve as a job site and training facility for people with barriers to employment interested in sustainable urban food production and ecological landscape design, as an intensive food production facility that will make healthy organic food affordable and accessible to local low-income residents, and as a public educational center to empower youth and adults to become leaders in the food justice movement and to take real steps to improve the health and well-being of themselves and their community. Fiscal Year 2013: A Community Food Project engages the larger community with eight partners identified; to provide training opportunities to beginning farmers in sustainable agriculture practices as well as assisting them in finding and financing farm land purchase. The project proposes to develop expanded local markets for beginning Latino farmers through their programs distribution project and provide affordable locally grown produce to the low-income residents of the target region. Fiscal Year 2014: No current data available. Pertinent details to be provided by Program at a future date.

CRITERIA FOR SELECTING PROPOSALS:

Within guidelines established for the program as described in the Request for Application (RFA).

1. The applicability and merit of the proposed project in regard to its ability to: Meet the food needs of low-income people in the proposed community for providing for its own food needs; and promote comprehensive responses to local food, farm, and nutrition needs;
2. the capacity to become self-sustaining once Federal funding ends; and
3. organizational and staff qualifications and experience; and 4. additional criteria will be considered relative to the extent the proposed project contributes to:
 - (a) developing linkages between two or more sectors of the food system;
 - (b) supporting the development of entrepreneurial projects;
 - (c) developing innovative linkages between the for-profit and nonprofit food sectors;
 - (d) encouraging long-term planning activities and multi-system, interagency approaches; and (e) incorporating linkages to one or more ongoing USDA themes or initiatives referred to in the program guidelines and/or annual proposal solicitation.

10.226 SECONDARY AND TWO-YEAR POSTSECONDARY AGRICULTURE EDUCATION CHALLENGE GRANTS

SPECA Grants Program

FEDERAL AGENCY:

National Institute of Food and Agriculture, Department of Agriculture

AUTHORIZATION:

Section 1405 of the National Agricultural Research, Extension, and Teaching Policy Act of 1977, as amended, (7 U.S.C. 3121) designates the U.S. Department of Agriculture (USDA) as the lead Federal agency for agriculture research, extension and teaching in the food and agricultural sciences. Section 7109 of the Food, Conservation, and Energy Act of 2008 (P.L. 110-246) amends the authority for this program contained in section 1417(j) of the National Agricultural Research, Extension, and Teaching Policy Act of 1977, as amended (7 U.S.C. 3152(j)). In accordance with the statutory authority, subject to the availability of funds, the Secretary of Agriculture (USDA), who has

delegated the authority to the Director of the National Institute of Food and Agriculture (NIFA), will award grants to: (a) promote and strengthen secondary and 2-year postsecondary agriscience and agribusiness education, and Agriculture in the K-12 Classroom, in order to help ensure the existence in the United States of a qualified workforce to serve the food and agricultural sciences system; and (b) promote complementary and synergistic linkages among secondary, 2-year postsecondary, and higher education programs in the food and agricultural sciences in order to attain excellence in education and to encourage more young Americans to pursue and complete a baccalaureate or higher degree in the food and agricultural sciences., 7 U.S.C 3121; Section 1405 of the National Agricultural Research, Extension, and Teaching Policy Act of 1977, as amended, (7 U.S.C. 3121) designates the U.S. Department of Agriculture (USDA) as the lead Federal agency for agriculture research, extension and teaching in the food and agricultural sciences. Section 7109 of the Food, Conservation, and Energy Act of 2008 (P.L. 110-246) amends the authority for this program contained in section 1417(j) of the National Agricultural Research, Extension, and Teaching Policy Act of 1977, as amended (7 U.S.C. 3152(j))., 7 U.S.C 3152 (j).

OBJECTIVES:

The purpose of the Secondary Education, Two-Year Postsecondary Education, and Agriculture in the K-12 Classroom Challenge (SPECA) Grants Program is to: enhance curricula in agricultural education; increase faculty teaching competencies; interest young people in pursuing higher education in order to prepare for scientific and professional careers in the food and agricultural sciences; promote the incorporation of agriscience and agribusiness subject matter into other instructional programs, particularly classes in science, business, and consumer education; facilitate joint initiatives by the grant recipient with other secondary schools, institutions of higher education that award an associate's degree, institutions of higher education that award a bachelor's degree, and nonprofit organizations supporting agriscience and agribusiness education, to maximize the development and use of resources, such as faculty, facilities, and equipment, to improve agriscience and agribusiness education; support other initiatives designed to meet local, State, regional, or national needs related to promoting excellence in agriscience and agribusiness education; and support current Agriculture in the Classroom programs for grades K-12.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Funds may be used only in targeted areas, e.g., curricula design and materials development, promotion of teaching competencies, promotion of agriscience and agribusiness career information, instruction delivery systems, student experiential learning, and educational activities that increase the diversity of students pursuing degrees in agriscience and agribusiness. NIFA has determined that grant funds awarded under this authority may not be used for the renovation or refurbishment of research, education, or extension space; the purchase or installation of fixed equipment in such space; or the planning, repair, rehabilitation, acquisition, or construction of buildings or facilities.

Tuition remission not allowed.

Section 720 of the Consolidated and Further Continuing Appropriations Act, 2012 (Pub.L. No. 112-55) limits indirect costs to 30 percent of the total Federal funds provided under each award. Therefore, when preparing budgets, applicants should limit their requests for recovery of indirect costs to the lesser of their institutions official negotiated indirect cost rate or the equivalent of 30 percent of total Federal funds awarded.

**Special Note on Indirect Costs as in-kind matching contributions:

Indirect costs may be claimed under the Federal portion of the award budget or, alternatively, indirect costs may be claimed as a matching contribution (if no indirect costs are requested under the Federal portion of the award budget). However, unless explicitly authorized in the RFA, indirect costs may not be claimed on both the Federal portion of the award budget and as a matching contribution, unless the total claimed on both the Federal portion of the award budget and as a matching contribution does not exceed the maximum allowed indirect costs or the institutions negotiated indirect cost rate, whichever is less.

An awardee may split the allocation between the Federal and non-Federal portions of the budget only if the total amount of indirect costs charged to the project does not exceed the maximum allowed indirect costs or the institutions negotiated indirect cost rate, whichever is less. For example, if an awardee's indirect costs are capped at 30 percent pursuant to FY 2012 appropriated funds, Section 720 of the Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2012 (Division A of Pub. L. 112-55), the awardee may request 15 percent of the indirect costs on both the Federal portion of the award and as a matching contribution. Or, the awardee may request any similar percentage that, when combined, does not exceed the maximum indirect cost rate of 30 percent. Fully discretionary.

Applicant Eligibility:

Public secondary schools or public or private nonprofit junior and community colleges.

Beneficiary Eligibility:

Public secondary schools or public or private nonprofit junior and community colleges.

Credentials/Documentation:

No Credentials or documentation are required. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

All RFAs are published on the Agencies website and Grants.gov. Applicants must complete the Grants.gov registration process. Please see the following Grants.gov link for more information: http://www.grants.gov/applicants/get_registered.jsp. An environmental impact statement is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applications should be submitted as outlined in the RFA. Applications must follow the instructions provided per Grants.gov and in the Agency guide to submitting applications via Grants.gov.

Award Procedure:

Applications are subjected to a system of peer and merit review in accordance with section 103 of the Agricultural Research, Extension and Education Reform Act of 1998 (7 U.S.C. 1613) by a panel of qualified scientists and other appropriate persons who are specialists in the field covered by the proposal. Within the limit of funds available for such purpose, the NIFA Authorized Departmental Officer (ADO) shall make grants to those responsible, eligible applicants whose applications are judged most meritorious under the procedures set forth in the RFA.

Reviewers will be selected based upon training and experience in relevant scientific, extension, or education fields, taking into account the following factors: (a) The level of relevant formal scientific, technical education, or extension experience of the individual, as well as the extent to which an individual is engaged in relevant research, education, or extension activities; (b) the need to include as reviewers experts from various areas of specialization within relevant scientific, education, or extension fields; (c) the need to include as reviewers other experts (e.g., producers, range or forest managers/operators, and consumers) who can assess relevance of the applications to targeted audiences and to program needs; (d) the need to include as reviewers experts from a variety of organizational types (e.g., colleges, universities, industry, state and Federal agencies, private profit and non-profit organizations) and geographic locations; (e) the need to maintain a balanced composition of reviewers with regard to minority and female representation and an equitable age distribution; and (f) the need to include reviewers who can judge the effective usefulness to producers and the general public of each application.

Evaluation Criteria will be delineated in the RFA.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Section :094 - Deadlines:

Dates for specific deadlines are announced in the RFA each fiscal year. Information is also available via our website and may be obtained via the Grants.gov website. Respective links are provided below:
<http://www.nifa.usda.gov/>
<http://www.grants.gov>

Section :095 - Range of Approval/Disapproval Time:

From 30 to 180 days.

Appeals:

Not Applicable.

Renewals:

Specific details are provided in the Request for Applications (RFA) each fiscal year.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: Percent: 100%. A grant recipient is required to match the USDA funds awarded on a dollar-for-dollar (100%) basis from non-Federal sources. Matching funds are not required to come in any particular amount from any one source. However, all matching funds pledged in this application must be accompanied by documentation. See Part IV, B.5. SF 424 (R&R) Budget Fed and Non-Fed, Field K-Budget Justification, for additional details.

****Note #2 - Use of Indirect Costs as In-Kind Matching Contributions.** Indirect costs may be claimed under the Federal portion of the award budget or, alternatively, indirect costs may be claimed as a matching contribution (if no indirect costs are requested under the Federal portion of the award budget). However, unless explicitly authorized in the RFA, indirect costs may not be claimed on both the Federal portion of the award budget and as a matching contribution, unless the total claimed on both the Federal portion of the award budget and as a matching contribution does not exceed the maximum allowed indirect costs or the institutions negotiated indirect cost rate, whichever is less. An awardee may split the allocation between the Federal and non-Federal portions of the budget only if the total amount of indirect costs charged to the project does not exceed the maximum allowed indirect costs or the institutions negotiated indirect cost rate, whichever is less. For example, if an awardee's indirect costs are capped at 22 percent pursuant to section 1462(a) of NARETPA (7 U.S.C. 3310(a)), the awardee may request 11 percent of the indirect costs on both the Federal portion of the award and as a matching contribution. Or, the awardee may request any similar percentage that, when combined, does not exceed the maximum indirect cost rate of 22 percent. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The term of a competitive grant under this authority may not exceed five (5) years. Method of awarding/releasing assistance: by letter of credit.

Reports:

Grantees are to submit initial project information and annual summary reports to NIFAs electronic, Web-based inventory system that facilitates both grantee submissions of project outcomes and public access to information on Federally-funded projects. The details of the reporting requirements are included in the award terms and conditions. Cash reports are not applicable. Grantees are to submit initial project information and annual summary reports to NIFAs electronic, Web-based inventory system that facilitates both grantee submissions of project outcomes and public access to information on Federally-funded projects. The details of the reporting requirements are included in the award terms and conditions. A final Financial Status Report (SF-269) or Federal Financial Report (SF-425) is due within 90 days of the expiration date of the grant and should be submitted to the address listed below, in accordance with instructions contained in 2 CFR 3430.55 (also refer to Section 3015.82 of the Uniform Federal Assistance Regulations).

Awards Management Division (AMD)
Office of Grants and Financial Management (OGFM)
National Institute of Food and Agriculture (NIFA)
U.S. Department of Agriculture (USDA)

STOP 2271

1400 Independence Avenue, SW
Washington, DC 20250-2271. Grantees are to submit initial project information and annual summary reports to NIFAs electronic, Web-based inventory system that facilitates both grantee submissions of project outcomes and public access to information on Federally-funded projects. The details of the reporting requirements are included in the award terms and conditions.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audits will be conducted in accordance with guidelines established in the revised OMB Circular No. A-133 and implemented in 7 CFR 3052. This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

In accordance with the Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and other Non-profit Organizations [2 CFR 215, Subpart C, Section 215.53, (OMB Circular A-110)] grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be retained at least 3 years. Records must be retained beyond the 3-year period if litigation is pending or audit findings have not been resolved.

Account Identification:

12-1500-0-1-352.

Obligations:

(Project Grants) FY 12 \$853,449; FY 13 est \$793,458; and FY 14 est \$0 - The difference between the appropriation and obligation numbers reflects legislative authorized set-asides deducted as appropriate, and in some cases the availability of obligational authority from prior years.

NOTE:

Funding associated with this program has been transferred to the Department of Education or the National Science Foundation in the President's FY 2014 Budget proposal. Therefore, FY 2014 funds are not reported for the NIFA program.

Range and Average of Financial Assistance:

If minimum or maximum amounts of funding per competitive project grant or cooperative agreement are established, these will be announced in the annual program announcement or Request for Application (RFA).

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: For the FY 2012 award cycle, a total of \$843,224 was available for project grant awards after subtracting administrative costs.

A total of 62 academic institutions submitted applications requesting \$6,794,068. In March 2012, an eighteen-member peer review panel evaluated these applications. The peer panel included faculty members from secondary schools, two-year postsecondary, land grant and non-land grant colleges and universities, and practitioners from the food and agricultural sciences community.

Funds were available to support a total of 15 awards in the following Project Types : 3 FY11 Continuation: \$164,147; 1 Conference/Planning: \$ 22,491; 3 Regular: \$133,047; 5 Joint: \$480,781; and 1 (partial) Large-Scale Comprehensive Initiatives (LCI): \$42,758 (balance of funds in FY13). Joint and LCI Project Proposals requiring partnerships and sharing of grant funds between two or more institutions.

The funding ratio for this program in FY11 was 24%.

Funded projects should address one or more of the following educational strategies: (a) Curriculum Development, Instructional Delivery Systems and

Expanding Student Career Opportunities; (b) Increasing Faculty Teaching Competencies; and (c) Facilitating Interaction with Other Academic Institutions. Also in 2011, all projects had to address one of five Priority Areas: Global Food Security and Hunger, Climate Change, Sustainable Energy, Childhood Obesity, and Food Safety. Fiscal Year 2013: For the FY 2013 award cycle, a total of \$782,477 was available for project grant awards after subtracting administrative costs.

A total of 52 academic institutions submitted applications requesting \$5,652,090. In April 2013, a sixteen-member peer review panel evaluated these applications. The peer panel included faculty members from secondary schools, two-year postsecondary, land grant and non-land grant colleges and universities, and practitioners from the food and agricultural sciences community.

Funds were available to support a total of 6 awards in the following Project Types : 1 FY12 Continuation: \$156,603; 1 Regular: \$49,940; and 4 Joint: \$598,387. Joint Proposals requiring partnerships and sharing of grant funds between two or more institutions.

The funding ratio for this program in FY12 was 11%.

Funded projects should address one or more of the following educational strategies: (a) Curriculum Development, Instructional Delivery Systems and Expanding Student Career Opportunities; (b) Increasing Faculty Teaching Competencies; and (c) Facilitating Interaction with Other Academic Institutions. Also in 2011, all projects had to address one of five Priority Areas: Global Food Security and Hunger, Climate Change, Sustainable Energy, Childhood Obesity, and Food Safety. Fiscal Year 2014: Effective FY 2014, this program will be moved to the National Science Foundation and Department of Education.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 3430, Competitive and Noncompetitive Non-formula Grant Programs General Grant Administrative Provisions and Program-Specific Administrative Provisions; 7 CFR Part 3015, USDA Uniform Federal Assistance Regulations; 7 CFR Part 3017, Government wide Debarment and Suspension (Nonprocurement) ; 7 CFR Part 3018, New Restrictions on Lobbying; 7 CFR Part 3019, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-profit Organizations; and 7 CFR Part 3021 USDA implementation of Governmentwide Requirements for Drug-free Workplace (Financial Assistance).

Regional or Local Office:

None.

Headquarters Office:

USDA, NIFA, National Program Leader, Institute of Youth, Family, and Community, Division of Community and Education, 1400 Independence Avenue, SW., STOP 2250, Washington, District of Columbia 20250-2250
Phone: (202) 720-2324 Fax: (202) 720-2030.

Website Address:

<http://www.nifa.usda.gov/>

RELATED PROGRAMS:

10.210 Food and Agricultural Sciences National Needs Graduate Fellowship Grants; 10.216 1890 Institution Capacity Building Grants; 10.217 Higher Education Challenge Grants

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Enhance teacher professional development activities through high-quality structured training in The Curriculum for Agricultural Science Education (CASE) and Delta training. The project will encourage high school teachers to work closely with university faculty to align curricula and to introduce new depth to sustainable energy and phytotechnology lesson plans. Anticipated results are for an increased number of students to be exposed to and develop knowledge of opportunities in agriculture, and for those students to be more adequately prepared to move seamlessly to a postsecondary institution and careers in agriculture-related industries.

Enable high school students to operate and manage a small-scale oyster nursery. This nursery will employ, on a smaller scale, the same equipment and techniques used by commercial aquaculturists, and will be the first of its kind in New York Harbor. Students will directly manage and operate the nursery and produce oysters for use in restoration projects.

Develop a sustainable agriculture certificate and associates degree program to allow students to further their education in agriculture and/or other STEM disciplines and create a pathway to the attainment of advanced degrees through transfer articulation agreements.

Increase faculty teaching competencies and develop curriculum and instructional delivery services for grade 10-14 high school and community college students and educators across the nation by developing a set of research-based instructional webinars. Content will include emerging technologies in sustainable and renewable agriculture, a web-based Introduction to Agriculture-based Sustainable and Renewable Energy course, and instructional activities available in a modular format that includes emerging research-based information and science and inquiry-based activities. Fiscal Year 2013: Urban Agriculture curriculum development providing contextual, hands-on learning environment for secondary grade level student through a standards-based curriculum, integrated into state-approved courses, that models project-based learning.

Precision agriculture curriculum and mobile lab development to increase secondary grade level students exposure to career opportunities in the expanding field of precision agriculture.

Increase number and diversity of agricultural science students in postsecondary degree programs through development of three new agricultural associate in science degree programs and an articulated 2+2+2 program to pipeline students from secondary grade levels into postsecondary academic institutions.

Develop curriculum modules to better prepare U.S. students in math and science skills through instruction in the fields of agriculture and sustainable energy. This project will provide students with skills required to solve complex agriculture issues and compete in a global economy. The project includes teacher training in STEM-specific resources enabling them to effectively integrate STEM across the curriculum. This resulting instructional unit will provide high school students with a foundation to pursue future coursework for STEM-related careers.

This educational partnership will attract and encourage 9th to 12th grade students, with an emphasis on urban, Latino, and Native American youth, to enroll in community colleges and universities for the purpose of filling projected shortages of qualified agriculture graduates in the 21st century. Resourced developed with grant funds will create innovative and targeted college promotional materials centered on what influences area students to choose a career in agriculture. The project will involve agriculture, science, and business instructors, during professional development workshops, in designing problem based learning activities focused around a thematic unit incorporating STEM content and soft skills. Management, marketing, finance and agricultural equipment innovation lessons will introduce students to the challenging, global employment opportunities available in agribusiness and agriscience. The project will provide opportunities for high school students to meet and interact with peers, educators, and industry leaders at career fairs, family nights, high school visits, and student workshop led by various industry organizations. Fiscal Year 2014: Effective FY 2014, this program will be moved to the National Science Foundation and Department of Education.

CRITERIA FOR SELECTING PROPOSALS:

Within guidelines established for the program as described in the Request for Application (RFA).

10.227 1994 INSTITUTIONS RESEARCH PROGRAM

Tribal Colleges Research Grants Program (TCRGP)

FEDERAL AGENCY:

National Institute of Food and Agriculture, Department of Agriculture

AUTHORIZATION:

Section 1405 of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 (NARETPA), as amended (7 U.S.C. 3121), designates the U. S. Department of Agriculture (USDA) as the lead Federal Agency for agricultural research, extension, and teaching in the food and agricultural sciences. Authority for this program is contained in the Equity in Educational Land-Grant Status Act of 1994 (7 U.S.C. 301 note), as amended by the Agricultural Research, Extension, and Education Reform Act of 1998 (7 U.S.C. 7601 note). In accordance with the statutory authority, subject to the availability of funds, the Secretary of Agriculture may award competitive grants, as defined in section 536 of the Equity in Educational Land Grant Status Act of 1994 (7 U.S.C. 301 note), to assist the 1994 Land-Grant Institutions in conducting agricultural research that addresses high priority concerns of tribal, national or multi-state significance., 7 U.S.C 301 note.

OBJECTIVES:

The Tribal Colleges Research Grants Program (TCRGP) (aka 1994 Institutions Research Program) is a competitive grants program supporting fundamental and/ or applied agricultural research projects that address high priority concerns of tribal, national or multi-state significance. The program funds investigative and analytical studies and experimentation in the food and agricultural sciences (as defined in section 1404 of the NARETPA (7 U.S.C. 3103) and Part VIII, E. of this RFA). TCRGP seeks to advance the body of knowledge in the basic and applied natural and social sciences within the food and agricultural sciences.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

This research grants program is authorized to conduct agricultural research that addresses high priority concerns of tribal, national or multi-State significance. NIFA has determined that grant funds may not be used for the renovation or refurbishment of research, education, or extension space; the purchase or installation of fixed equipment in such space; or the planning, repair, rehabilitation, acquisition, or construction of buildings or facilities.

Section 720 of the Consolidated and Further Continuing Appropriations Act, 2012 (Pub.L. No. 112-55) limits indirect costs to 30 percent of the total Federal funds provided under each award. Therefore, when preparing budgets, applicants should limit their requests for recovery of indirect costs to the lesser of their institutions official negotiated indirect cost rate or the equivalent of 30 percent of total Federal funds awarded. Fully discretionary.

Applicant Eligibility:

Bay Mills Community College, Blackfeet Community College, Cankdeska Cikana Community College, Cheyenne River Community College, Dine Community College, D-Q University, Dullknife Memorial College, Fond Du Lac Community College, Fort Belknap Community College, Fort Berthold Community College, Fort Peck Community College, LacCourte Orielles Ojibwa Community College, Little Big Horn Community College, Nebraska Indian Community College, Northwest Indian College, Oglala Lakota College, Salish Kootenai College, Sinte Gleska University, Sisseton Wahpeton Community College, Sitting Bull College, Stonechild Community College, Turtle Mountain Community College, United Tribes Technical College, Southwest Indian Polytechnic Institute, Institute of American Indian Arts, Crownpoint Institute of Technology, Haskell Indian National University, Leech Lake Tribal College, College of the Menominee Nation, and Little Priest Tribal College.

Beneficiary Eligibility:

Bay Mills Community College, Blackfeet Community College, Cankdeska Cikana Community College, Cheyenne River Community College, Dine Community College, D-Q University, Dullknife Memorial College, Fond Du Lac Community College, Fort Belknap Community College, Fort Berthold Community College, Fort Peck Community College, LacCourte Oriellese Ojibwa Community College, Little Big Horn Community College, Nebraska Indian Community College, Northwest Indian College, Oglala Lakota College, Salish Kootenai College, Sinte Gleska University, Sisseton Wahpeton Community College, Sitting Bull College, Stonechild Community College, Turtle Mountain Community College, United Tribes Technical College, Southwest Indian Polytechnic Institute, Institute of American Indian Arts, Crownpoint Institute of Technology, Haskell Indian Nations University, Leech

Lake Tribal College, College of the Menominee Nation, and Little Priest Tribal College.

Credentials/Documentation:

No Credentials or documentation are required. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

All RFAs are published on the Agencies website and Grants.gov. Applicants must complete the Grants.gov registration process. Please see the following Grants.gov link for more information: http://www.grants.gov/applicants/get_registered.jsp. An environmental impact statement is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applications should be submitted as outlined in the RFA. Applications must follow the instructions provided per Grants.Gov and in the Agency guide to submitting applications via Grants.gov.

Award Procedure:

Applications are subjected to a system of peer and merit review in accordance with section 103 of the Agricultural Research, Extension and Education Reform Act of 1998 (7 U.S.C. 1613) by a panel of qualified scientists and other appropriate persons who are specialists in the field covered by the proposal. Within the limit of funds available for such purpose, the NIFA Authorized Departmental Officer (ADO) shall make grants to those responsible, eligible applicants whose applications are judged most meritorious under the procedures set forth in the RFA.

Reviewers will be selected based upon training and experience in relevant scientific, extension, or education fields, taking into account the following factors:

- (a) The level of relevant formal scientific, technical education, or extension experience of the individual, as well as the extent to which an individual is engaged in relevant research, education, or extension activities;
- (b) the need to include as reviewers experts from various areas of specialization within relevant scientific, education, or extension fields;
- (c) the need to include as reviewers other experts (e.g., producers, range or forest managers/operators, and consumers) who can assess relevance of the applications to targeted audiences and to program needs;
- (d) the need to include as reviewers experts from a variety of organizational types (e.g., colleges, universities, industry, state and Federal agencies, private profit and non-profit organizations) and geographic locations;
- (e) the need to maintain a balanced composition of reviewers with regard to minority and female representation and an equitable age distribution; and (f) the need to include reviewers who can judge the effective usefulness to producers and the general public of each application.

Evaluation Criteria will be delineated in the RFA.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Section :094 - Deadlines:
Dates for specific deadlines are announced in the RFA each fiscal year. Information is also available via our website and may be obtained via the Grants.gov website. Respective links are provided below:
<http://www.nifa.usda.gov/>
<http://www.grants.gov>

Section :095 - Range of Approval/Disapproval Time:
From 30 to 180 days.

Appeals:

Not Applicable.

Renewals:

Specific details are provided in the Request for Applications (RFA) each fiscal year.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements. NIFA does not require matching or cost sharing support for this program.

Funds are awarded competitively.

No formula grants are awarded under Subtitle K of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 [7 U.S.C. 3319e]. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The term of competitive project grants and/or cooperative agreements under this program may not exceed three (3) years. Method of awarding/releasing assistance: by letter of credit.

Reports:

Grantees are to submit initial project information and annual summary reports to NIFAs electronic, Web-based inventory system that facilitates both grantee submissions of project outcomes and public access to information on Federally-funded projects. The details of the reporting requirements are included in the award terms and conditions. NIFA uses the SF-425, Federal Financial Report to monitor cash. Grantees are to submit initial project information and annual summary reports to NIFAs electronic, Web-based inventory system that facilitates both grantee submissions of project outcomes and public access to information on Federally-funded projects. The details of the reporting requirements are included in the award terms and conditions. A final Financial Status Report (SF-269) or Federal Financial Report (SF-425) is due within 90 days of the expiration date of the grant and should be submitted to the address listed below, in accordance with instructions contained in 2 CFR 3430.55 (also refer to Section 3015.82 of the Uniform Federal Assistance Regulations).

Awards Management Division (AMD)
Office of Grants and Financial Management (OGFM)
National Institute of Food and Agriculture (NIFA)
U.S. Department of Agriculture (USDA)
STOP 2271
1400 Independence Avenue, SW
Washington, DC 20250-2271

Telephone: (202) 401-4986. Grantees are to submit initial project information and annual summary reports to NIFAs electronic, Web-based inventory system that facilitates both grantee submissions of project outcomes and public access to information on Federally-funded projects. The details of the reporting requirements are included in the award terms and conditions.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audits will be conducted in accordance with guidelines established in the revised OMB Circular No. A-133, Audits of States, Local Governments, and Nonprofit Organizations, and implemented in 7 CFR 3052. This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

In accordance with the Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and other Non-profit Organizations [2 CFR 215, Subpart C, Section 215.53, (OMB Circular A-110)] grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be retained at least three (3) years. Records must be retained beyond the three-year period if litigation is pending or audit findings have not been resolved.

Account Identification:

12-1500-0-1-352.

Obligations:

(Project Grants (Cooperative Agreements)) FY 12 \$1,681,948; FY 13 est \$1,554,080; and FY 14 est \$1,679,549 - The difference between the appropriation and obligation numbers reflects legislative authorized set-asides deducted as appropriate, and in some cases the availability of obligational authority from prior years.

This program represents no year funds.

Range and Average of Financial Assistance:

If minimum or maximum amounts of funding per competitive project grant or cooperative agreement are established, these will be announced in the annual program announcement or Request for Application (RFA).

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: In 2012, \$1,856,801 was awarded to 14 Tribal Land Grant Institutions. The 1994 Research Program is an annual competitively peer reviewed grant program. It invites the 1994 community to apply research opportunities at three different levels. A Student Research Experience can be funded up to \$60,000; a Research Capacity Building Option up to \$85,000 and a New Discovery Research option up to \$200,000. There is no limit on the number of applications that can be submitted by an institution and multiple awards can be made. Fiscal Year 2013: In 2013, \$1,554,080 is in the process of being awarded to thirteen 1994 institutions. Pertinent data will be provided by Program at a future date. Fiscal Year 2014: There is no projected award amount or number at this time. However, it is anticipated that awards should be comparable to the 2012 and 2013 amounts and numbers. Pertinent data will be provided by Program at a future date.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 3430, Competitive and Noncompetitive Non-formula Grant Programs General Grant Administrative Provisions and Program-Specific Administrative Provisions; 7 CFR Part 3015, USDA Uniform Federal Assistance Regulations; 7 CFR Part 3017, Government wide Debarment and Suspension (Nonprocurement) ; 7 CFR Part 3018, New Restrictions on Lobbying; 7 CFR Part 3019, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-profit Organizations; and 7 CFR Part 3021 USDA implementation of Governmentwide Requirements for Drug-free Workplace (Financial Assistance).

Regional or Local Office:

None.

Headquarters Office:

USDA, NIFA, National Program Leader, Institute of Youth, Family, and Community, Division of Community and Education, 1400 Independence Avenue, SW., STOP 2250, Washington, District of Columbia 20250-2250
Phone: (202) 720-2324 Fax: (202) 720-2030

Website Address:

<http://www.nifa.usda.gov/>

RELATED PROGRAMS:

10.001 Agricultural Research_Basic and Applied Research; 10.200 Grants for Agricultural Research, Special Research Grants; 10.202 Cooperative Forestry Research; 10.203 Payments to Agricultural Experiment Stations Under the Hatch Act; 10.205 Payments to 1890 Land-Grant Colleges and Tuskegee University; 10.207 Animal Health and Disease Research; 10.219 Biotechnology Risk Assessment Research; 10.250 Agricultural and Rural Economic Research; 10.652 Forestry Research

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Tribal Colleges will utilize award funds from the Tribal College Research Grant Program to build the capacity of land grant staff and students to engage in research activities to explore and document food literacy among Reservation community members. Many of these projects will develop culturally appropriate research methodology and build capacity for Land grant staff to engage in research activities. The aim is to learn about current and traditional tribal food-ways using methods such as Photovoice, interviews, and focus groups.

College proposes to develop BATS (Broadening Achievements Together in

STEM) which will enrich STEM (Science, Technology, Engineering and Mathematics) research capacity, allow us to recruit and retain Native American students, and produce high-quality graduates with a comprehensive understanding of research methodologies and experience working with a team of scientist.

Succulent plants, such as Agave, Opuntia, and Yucca species, which were important to the Navajo people for generations, but whose use has declined over the years, offer opportunities to adapt food and energy needs in the face of a increasingly warmer and drier climate. Researchers hypothesize that certain Agave, Opuntia, and Yucca species will be suitable food and bio-energy crops for production in the extreme environmental conditions of the high desert of the Navajo Nation. Students will evaluate several species in these genera to determine their survival and productivity under field conditions. Fiscal Year 2013: No data available. Pertinent data will be provided by Program at a future date. Fiscal Year 2014: No data available. Pertinent data will be provided by Program at a future date.

CRITERIA FOR SELECTING PROPOSALS:

Within guidelines established for the program as described in the Request for Application (RFA).

10.228 ALASKA NATIVE SERVING AND NATIVE HAWAIIAN SERVING INSTITUTIONS EDUCATION GRANTS

ANNH Grants Program

FEDERAL AGENCY:

National Institute of Food and Agriculture, Department of Agriculture

AUTHORIZATION:

This program is administered under the provisions of section 759 of Public Law 106-78 (7 U.S.C. 3242) which was amended and redesignated as section 1419B of the National Agricultural Research, Extension, and Teaching Policy Act (NARETPA) of 1977 (7 U.S.C. 3155). As legislatively reauthorized in the Public Law 110-246, the purpose of this program continues to promote and strengthen the ability of eligible Alaska Native-Serving Institutions and Native Hawaiian-Serving Institutions to carry out education, applied research, and related community development programs within a broadly defined area of food and agricultural sciences and related disciplines. In FY 2009, the Secretary will make competitive grant awards to individual eligible institutions or consortia of eligible institutions in Alaska and in Hawaii, with funds awarded equally to each of the states of Alaska and Hawaii., 7 U.S.C 3242.

OBJECTIVES:

The purpose of this program is to promote and strengthen the ability of Alaska Native-Serving Institutions and Native Hawaiian-Serving (ANNH) Institutions to carry out education, applied research, and related community development programs. NIFA intends this program to address educational needs, as determined by each institution, within a broadly defined area of food and agricultural sciences and related disciplines.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Funds may be used to enhance educational equity for under-represented students in order to prepare them for careers related to the food, agricultural, and natural resource systems of the United States; to strengthen institutional educational capacities, including libraries, curriculum, faculty, scientific instrumentation, instruction delivery systems, and student recruitment and retention, in order to respond to identified educational needs in the food and agricultural sciences; and to facilitate activities and cooperative initiatives between AN/NH Serving Institutions, or between AN/NH Serving Institutions and units of State government or the private sector, to maximize the development and use of resources, faculty, facilities, and equipment, to improve food and agricultural sciences teaching programs. The use of grant funds to plan, acquire, or construct a building or facility is not allowed under this program. With prior approval, and in accordance with the cost principles set forth in OMB Circular No. A-21, some grant funds may be used for minor alterations, renovations, or repairs deemed necessary to retrofit existing teaching or research spaces in order to carry out a funded project. However, requests to use grant funds for such purposes must demonstrate that the

alterations, renovations, or repairs are essential to achieving the major purpose of the project. Grant funds may not be used for endowment investing. Section 720 of the Consolidated and Further Continuing Appropriations Act, 2012 (Public Law 112-55) limits indirect costs to 30 percent of the total Federal funds provided under each award. Therefore, when preparing budgets, applicants should limit their requests for recovery of indirect costs to the lesser of their institutions official negotiated indirect cost rate or the equivalent of 30 percent of total Federal funds awarded. Fully discretionary.

Applicant Eligibility:

Individual public or private, non-profit Alaska Native-Serving and Native Hawaiian-Serving Institutions of higher education that meet the definitions of Alaska Native-Serving Institution or Native Hawaiian Serving Institution established in Title III, Part A of the Higher Education Act of 1965, as amended (20 U.S.C. 1059d.) are eligible institutions under this program.

Beneficiary Eligibility:

Alaska Native Serving Institutions and Native Hawaiian Serving Institutions.

Credentials/Documentation:

No Credentials or documentation are required. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

All RFAs are published on the Agency's website and Grants.gov. Applicants must complete the Grants.gov registration process. Please see the following Grants.gov link for more information:
http://www.grants.gov/applicants/get_registered.jsp. An environmental impact statement is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applications should be submitted as outlined in the RFA. Applications must follow the instructions provided per Grants.Gov and in the Agency guide to submitting applications via Grants.gov.

Award Procedure:

Applications are subjected to a system of peer and merit review in accordance with section 103 of the Agricultural Research, Extension and Education Reform Act of 1998 (7 U.S.C. 1613) by a panel of qualified scientists and other appropriate persons who are specialists in the field covered by the proposal. Within the limit of funds available for such purpose, the NIFA Authorized Departmental Officer (ADO) shall make grants to those responsible, eligible applicants whose applications are judged most meritorious under the procedures set forth in the RFA.

Reviewers will be selected based upon training and experience in relevant scientific, extension, or education fields, taking into account the following factors:

- (a) The level of relevant formal scientific, technical education, or extension experience of the individual, as well as the extent to which an individual is engaged in relevant research, education, or extension activities;
- (b) the need to include as reviewers experts from various areas of specialization within relevant scientific, education, or extension fields;
- (c) the need to include as reviewers other experts (e.g., producers, range or forest managers/operators, and consumers) who can assess relevance of the applications to targeted audiences and to program needs;
- (d) the need to include as reviewers experts from a variety of organizational types (e.g., colleges, universities, industry, state and Federal agencies, private profit and non-profit organizations) and geographic locations;
- (e) the need to maintain a balanced composition of reviewers with regard to minority and female representation and an equitable age distribution; and
- (f) the need to include reviewers who can judge the effective usefulness to producers and the general public of each application.

Evaluation Criteria will be delineated in the RFA.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Section :094 - Deadlines:

Dates for specific deadlines are announced in the RFA each fiscal year. Information is also available via our website and may be obtained via the Grants.gov website. Respective links are provided below:
<http://www.nifa.usda.gov/>
<http://www.grants.gov>

Section :095 - Range of Approval/Disapproval Time:
From 30 to 180 days.

Appeals:

Not Applicable.

Renewals:

Specific details are provided in the Request for Applications (RFA) each fiscal year.

Formula and Matching Requirements:

This program has no statutory formula. This program has no matching requirements. NIFA does not require matching or cost sharing support for this program.

Funds are awarded competitively. No formula grants are awarded under Subtitle K of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 [7 U.S.C. 3319e]. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The term of competitive project grants and/or cooperative agreements under this program may not exceed three (3) years. Method of awarding/releasing assistance: by letter of credit.

Reports:

Grantees are to submit initial project information and annual summary reports to NIFAs electronic, Web-based inventory system that facilitates both grantee submissions of project outcomes and public access to information on Federally-funded projects. The details of the reporting requirements are included in the award terms and conditions. NIFA uses the SF-425, Federal Financial Report to monitor cash. Grantees are to submit initial project information and annual summary reports to NIFAs electronic, Web-based inventory system that facilitates both grantee submissions of project outcomes and public access to information on Federally-funded projects. The details of the reporting requirements are included in the award terms and conditions. A final Financial Status Report (SF-269) or Federal Financial Report (SF-425) is due within 90 days of the expiration date of the grant and should be submitted to the address listed below, in accordance with instructions contained in 2 CFR 3430.55 (also refer to Section 3015.82 of the Uniform Federal Assistance Regulations).

Awards Management Division (AMD)
Office of Grants and Financial Management (OGFM)
Office of Extramural Programs
National Institute of Food and Agriculture (NIFA)
U.S. Department of Agriculture (USDA)
STOP 2271
1400 Independence Avenue, SW
Washington, DC 20250-2271
Telephone: (202) 401-4986. Grantees are to submit initial project information and annual summary reports to NIFAs electronic, Web-based inventory system that facilitates both grantee submissions of project outcomes and public access to information on Federally-funded projects. The details of the reporting requirements are included in the award terms and conditions.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audits will be conducted in accordance with guidelines established in the revised OMB Circular No. A-133,

Audits of States, Local Governments, and Nonprofit Organizations, and implemented in 7 CFR 3052. This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

In accordance with the Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and other Non-profit Organizations [2 CFR 215, Subpart C, Section 215.53, (OMB Circular A-110)] grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be retained at least three (3) years. Records must be retained beyond the three-year period if litigation is pending or audit findings have not been resolved.

Account Identification:

12-1500-0-1-352.

Obligations:

(Project Grants) FY 12 \$3,066,240; FY 13 est \$2,835,857; and FY 14 est \$3,066,240 - The difference between the appropriation and obligation numbers reflects legislative authorized set-asides deducted as appropriate, and in some cases the availability of obligational authority from prior years.

Range and Average of Financial Assistance:

If minimum or maximum amounts of funding per competitive project grant or cooperative agreement are established, these will be announced in the annual program announcement or Request for Application (RFA).

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: For the FY 2012 award cycle, \$3,057,491 was available for project grant awards after subtracting administrative costs.

A total of 5 applications requesting a total of \$2,534,699 were received in this years competition. On May 3, 2012, a five-member peer review panel evaluated these applications. The peer panel included faculty and administrators from land grant and non-land grant colleges and universities and practitioners from the food and agricultural sciences community.

Funding provided via this grant shall be used:

- (1) To support the activities of consortia of Alaska Native-Serving or Native Hawaiian-Serving Institutions to enhance educational equity for under-represented students;
- (2) To strengthen institutional education capacities, including libraries, curriculum, faculty, scientific instrumentation, instruction delivery systems, and student recruitment and retention, in order to respond to identified State, regional, national, or international educational needs in the food and agriculture sciences;
- (3) To attract and support undergraduate and graduate students from under-represented groups in order to prepare them for careers related to the food, agricultural, and natural resource systems of the United States, beginning with the mentoring of students from K-12, and continuing with the provision of financial support for students through their attainment of a doctoral degree; and
- (4) To facilitate cooperative initiatives between at least two or more Alaska Native-Serving or Native Hawaiian-Serving Institutions, which may work in cooperation with one or more other entities including units of State government and private sector organizations, to maximize the development and use of resources, such as faculty, facilities, and equipment, to improve food and agricultural sciences teaching programs.

One of the active on-going consortium projects between eight-ten campuses is doing extremely well in recruiting 500+ students to enroll in food and ag sciences courses and programs. In one university system, 21 new courses and 5 new degree/certificate programs increased the institutions capacity to educate students in related areas. 80+ new agribusinesses were started and 100+ new products have been created. Students also received academic awards. In another state university system, the certificates/degrees that have been developed and implemented include: Associate of Science degree, Veterinary

Science Certificate, High Latitude Range Management Certificate, Environmental Studies Certificate, and Ethno-botany Certificate. New programs such as the Forestry Program, cookbooks published, websites, new farmer training program, to name a few have been possible with this funding. Fiscal Year 2013: For the FY 2013 award cycle, \$2,827,111 was available for project grant awards after subtracting administrative costs.

A total of 6 applications requesting a total of \$4,726,959 were received in this years competition. On April 6, 2013, a three-member combined panel reviewed the ANNH, RIIA, DEG and WAMS programs. The peer panel included faculty and administrators from land grant and non-land grant colleges and universities and federal employees. Three of the ANNH programs are pending funding for a total of \$2,827,111. Fiscal Year 2014: Pertinent data will be provided by Program at a future date.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 3430, Competitive and Noncompetitive Non-formula Grant Programs General Grant Administrative Provisions and Program-Specific Administrative Provisions; 7 CFR Part 3015, USDA Uniform Federal Assistance Regulations; 7 CFR Part 3017, Government wide Debarment and Suspension (Nonprocurement) ; 7 CFR Part 3018, New Restrictions on Lobbying; 7 CFR Part 3019, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-profit Organizations; and 7 CFR Part 3021 USDA implementation of Governmentwide Requirements for Drug-free Workplace (Financial Assistance).

Regional or Local Office:

None.

Headquarters Office:

USDA, NIFA, National Program Leader, Institute of Youth, Family, and Community, Division of Community and Education, 1400 Independence Avenue, SW., STOP 2250, Washington, District of Columbia 20250-2250
Phone: (202) 720-2324 Fax: (202) 720-2030

Website Address:

<http://www.nifa.usda.gov/>

RELATED PROGRAMS:

10.217 Higher Education Challenge Grants; 10.220 Higher Education Multicultural Scholars Program; 10.223 Hispanic Serving Institutions Education Grants

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: The majority of funded projects are continued and enhanced from year to year. For example, in FY 2012, all the previous projects were enhanced and re-funded. Especially focusing on programs for students in rural areas with training in environmental studies including ecosystem health and sustainable energy.

Development of the local agricultural and food production workforce through education and training; and expanding local agricultural and food production through more productive agribusinesses. The Agribusiness, Education, Training and Incubator project supports the UH, Hawaii state, and federal goals of developing sustainable and economically viable food and agricultural enterprises.

Training Alaskans to work successfully in fisheries management and salmon hatchery settings or to continue on to another college degree in fisheries or biology. Many industry and agency sectors in Alaskan fisheries are projecting significant retirement and are concerned about being able to recruit Alaskans trained to enter these careers. This project will help ensure there are trained workers to assist in fisheries management and salmon production. Outcomes include new salmon culture training including 2-3 new classes and workshops and new delivery methods.

To increase retention and completion of four year science and technical degree program for students from coastal communities, 3 programs are proposed for grades 7 to 14 in three programs. First, undergraduates will experience Alaska-specific foundational coursework as a group of peers in distance-delivered courses and workshops based upon a central unifying theme

connecting marine and terrestrial pathways in the North Pacific. Second, advanced Alaska native high school students will learn to use molecular techniques to answer ecological questions on how changing climate impacts terrestrial and marine systems. Third, UAS will develop a partnership with Native Alaskan culture camps and the US Forest Service (USFS) for high and middle school students to visit USFS field sites to experience career pathways.

An Ethnobotany program, similar to other innovative programs is inter-disciplinary such as involving native plants in indigenous cultures and combined with scientific and anthropological concepts and methods for their natural, social and economic importance.

The agribusiness consortium project of nine campuses also partner with non-profits to reforest a mountain with native trees. Native food plants are important in this project. Students identify with the plants, foods, and family values. Farming and gardening training has been provided to more than 1600 students resulting in a tangible increase in local food production. Tuition waivers are afforded to students for an agricultural/biology course at a 2-yr campus increasing enrollment to a level establishing the first Associate of Science degree on that campus. Fiscal Year 2013: Three projects are anticipated funding in 2013 from a total funding of \$2,827, 111. Fiscal Year 2014: Pertinent data will be provided by Program at a future date.

CRITERIA FOR SELECTING PROPOSALS:

Within guidelines established for the program as described in the Request for Application (RFA).

10.250 AGRICULTURAL AND RURAL ECONOMIC RESEARCH, COOPERATIVE AGREEMENTS AND COLLABORATIONS

FEDERAL AGENCY:

Economic Research Service, Department of Agriculture

AUTHORIZATION:

FY 2012 Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, Public Law 109-97, 7 U.S.C. 292, 411, 427, 1441a, 1621-1627, 1704, 1761-68, 2201, 2202, 3103, 3291, 3311, 3504; 22 U.S.C. 3101; 42 U.S.C. 1891-93; 44 U.S.C. 3501-11; 50 U.S.C. 2061 et seq, 2251 et seq.
7CFR 3019, 7CFR Part 3015, 7CFR Part 3016, 7USC 3318b.

OBJECTIVES:

To provide economic and other social science information and analysis for public and private decisions on agriculture, food, natural resources, and rural America. ERS produces such information for use by the general public and to help the executive and legislative branches develop, administer, and evaluate agricultural and rural policies and programs.

TYPES OF ASSISTANCE:

Cooperative Agreements; DISSEMINATION OF TECHNICAL INFORMATION; Project Grants

USES AND USE RESTRICTIONS:

ERS performs economic research and analyses related to U.S. and world agriculture that addresses a multitude of economic concerns and decision making needs of Federal, State, and local governments, farmers, farm organizations, farm suppliers, marketers, processors, and consumers. There are no restrictions on the use of ERS produced information.

Applicant Eligibility:

Any individual or organization in the U.S. and U.S. Territories is eligible to receive the popular or technical research publications that convey the research results, although there may be a fee.

Beneficiary Eligibility:

Any individual or organization in the U.S. and U.S. Territories is eligible to receive the popular or technical research publications that convey the research results, although there may be a fee.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Requests for technical information may be made to the Chief, Publishing and Communications Branch, Economic Research Service (ERS), 1400 Independence Avenue SW, Mailstop 1800, Washington, DC 20520-1800.

Award Procedure:

None.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements. none.

Length and Time Phasing of Assistance:

none. See the following for information on how assistance is awarded/released: None.

Reports:

Progress reports, financial reports, financial statements, and inventions and subawards reports. The frequency of reports is outlined in the terms of the agreement. No cash reports are required. No progress reports are required. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to an award shall be retained for a period of 3 years from the date of submission of the final expenditure report or, for awards that are renewed quarterly or annually, from the date of the submission of the quarterly or annual financial report, as authorized by the Federal awarding agency.

Account Identification:

12-1701-6-1-352.

Obligations:

(Cooperative Agreements) FY 12 \$3,570,111; FY 13 est \$2,131,000; and FY 14 est \$3,494,000. (Project Grants) FY 12 \$70,000; FY 13 est \$220,000; and FY 14 est \$805,000

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Nancy A. Thomas 355 E Street SW, Room 7-174, Washington, District of Columbia 20024-3231 Email: NThomas@ers.usda.gov Phone: 2026945008 Fax: 2022455318

Website Address:

<http://www.ers.usda.gov> .

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.253 FOOD ASSISTANCE AND NUTRITION RESEARCH PROGRAMS (FANRP)

FEDERAL AGENCY:

Economic Research Service, Department of Agriculture

AUTHORIZATION:

FY 2012 Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, Public Law 109-97, 7 U.S.C. 292, 411, 427, 1441a, 1621-1627, 1704, 1761-68, 2201, 2202, 3103, 3291, 3311, 3504; 22 U.S.C. 3101; 42 U.S.C. 1891-93; 44 U.S.C. 3501-11; 50 U.S.C. 2061 et seq, 2251 et seq.
7CFR 3019, 7CFR Part 3015, 7CFR Part 3016, 7USC 3318b.

OBJECTIVES:

To provide economic and other social science information and analysis for public and private decisions on agriculture, food, natural resources, and rural America. ERS produces such information for use by the general public and to help the executive and legislative branches develop, administer, and evaluate agricultural and rural policies and programs.

TYPES OF ASSISTANCE:

DISSEMINATION OF TECHNICAL INFORMATION

USES AND USE RESTRICTIONS:

ERS performs economic research and analyses related to U.S. and world agriculture that addresses a multitude of economic concerns and decision making needs of Federal, State, and local governments, farmers, farm organizations, farm suppliers, marketers, processors, and consumers. There are no restrictions on the use of ERS produced information.

Applicant Eligibility:

Any individual or organization in the U.S. and U.S. Territories is eligible to receive the popular or technical research publications that convey the research results, although there may be a fee.

Beneficiary Eligibility:

Any individual or organization in the U.S. and U.S. Territories is eligible to receive the popular or technical research publications that convey the research results, although there may be a fee.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Requests for technical information may be made to the Chief, Publishing and Communications Branch, Economic Research Service (ERS), 1400 Independence Avenue SW, Washington, DC 20520-1800.

Award Procedure:

None.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Other.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Other. See the following for information on how assistance is awarded/released:
Other.

Reports:

Not Applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

None.

Account Identification:

12-1701-6-1-352.

Obligations:

(Cooperative Agreements) FY 12 \$0; FY 13 est \$200,000; and FY 14 est \$500,000

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Nancy A. Thomas 355 E Street SW, Room 7-174, Washington, District of Columbia 20024-3231 Email: NThomas@ers.usda.gov Phone: 2026945008 Fax: 2022454846

Website Address:

<http://www.ers.usda.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.254 PROGRAM OF RESEARCH ON THE ECONOMIC OF INVASIVE SPECIES MANAGEMENT (PREISM)

FEDERAL AGENCY:

Economic Research Service, Department of Agriculture

AUTHORIZATION:

FY 2012 Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, Public Law 109-97, 7 U.S.C. 292, 411, 427, 1441a, 1621-1627, 1704, 1761-68, 2201, 2202, 3103, 3291, 3311, 3504; 22 U.S.C. 3101; 42 U.S.C. 1891-93; 44 U.S.C. 3501-11; 50 U.S.C. 2061 et seq, 2251 et seq.
7CFR 3019, 7CFR Part 3015, 7CFR Part 3016, 7USC 3318b.

OBJECTIVES:

To provide economic and other social science information and analysis for public and private decisions on agriculture, food, natural resources, and rural America. ERS produces such information for use by the general public and to help the executive and legislative branches develop, administer, and evaluate agricultural and rural policies and programs.

TYPES OF ASSISTANCE:

DISSEMINATION OF TECHNICAL INFORMATION

USES AND USE RESTRICTIONS:

ERS performs economic research and analyses related to U.S. and world agriculture that addresses a multitude of economic concerns and decision making needs of Federal, State, and local governments, farmers, farm organizations, farm suppliers, marketers, processors, and consumers. There are no restrictions on the use of ERS produced information.

Applicant Eligibility:

Any individual or organization in the U.S. and U.S. Territories is eligible to receive the popular or technical research publications that convey the research results, although there may be a fee.

Beneficiary Eligibility:

Any individual or organization in the U.S. and U.S. Territories is eligible to receive the popular or technical research publications that convey the research results, although there may be a fee.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Requests for technical information may be made to the Chief, Publishing and Communications Branch, Economic Research Service (ERS), 1400 Independence Avenue SW, Washington, DC 20520-1800.

Award Procedure:

None.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Other - Not Specified.

Appeals:

Other - Not Specified.

Renewals:

Other - Not Specified.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

N/A. See the following for information on how assistance is awarded/released:
Other.

Reports:

Not Applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

None.

Account Identification:

12-1701-6-1-352.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 12 \$0; FY 13 est \$0; and FY 14 est \$0

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Nancy A. Thomas 355 E Street SW, Room 7-174, Washington, District of Columbia 20024-3231 Email: NThomas@ers.usda.gov Phone: 2026945008 Fax: 2022455318

Website Address:

<http://www.ers.usda.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.255 RESEARCH INNOVATION AND DEVELOPMENT GRANTS IN ECONOMIC (RIDGE)

FEDERAL AGENCY:

Economic Research Service, Department of Agriculture

AUTHORIZATION:

FY 2012 Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, Public Law 109-97, 7 U.S.C. 292, 411, 427, 1441a, 1621-1627, 1704, 1761-68, 2201, 2202, 3103, 3291, 3311, 3504; 22 U.S.C. 3101; 42 U.S.C. 1891-93; 44 U.S.C. 3501-11; 50 U.S.C. 2061 et seq, 2251 et seq.
7CFR 3019, 7CFR Part 3015, 7CFR Part 3016, 7USC 3318b.

OBJECTIVES:

To provide economic and other social science information and analysis for public and private decisions on agriculture, food, natural resources, and rural America. ERS produces such information for use by the general public and to help the executive and legislative branches develop, administer, and evaluate agricultural and rural policies and programs.

TYPES OF ASSISTANCE:

DISSEMINATION OF TECHNICAL INFORMATION

USES AND USE RESTRICTIONS:

ERS performs economic research and analyses related to U.S. and world agriculture that addresses a multitude of economic concerns and decision making needs of Federal, State, and local governments, farmers, farm

organizations, farm suppliers, marketers, processors, and consumers. There are no restrictions on the use of ERS produced information.

Applicant Eligibility:

Any individual or organization in the U.S. and U.S. Territories is eligible to receive the popular or technical research publications that convey the research results, although there may be a fee.

Beneficiary Eligibility:

Any individual or organization in the U.S. and U.S. Territories is eligible to receive the popular or technical research publications that convey the research results, although there may be a fee.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Requests for technical information may be made to the Chief, Publishing and Communications Branch, Economic Research Service (ERS), 1400 Independence Avenue SW, Mail Stop 1800, Washington, DC 20520-1800.

Award Procedure:

None.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

None.

Appeals:

none.

Renewals:

none.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements. none.
This program does not have MOE requirements. None.

Length and Time Phasing of Assistance:

none. See the following for information on how assistance is awarded/released:
None.

Reports:

Progress reports, final technical reports, financial statements, and inventions and subaward reports. The frequency of reports is outlined in the terms of the agreement. Cash reports are not applicable. No progress reports are required. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to an award shall be retained for a period of three years from the final expenditure report or, for awards that are renewed quarterly or annually, from the date of the submission of the quarterly or annual financial report, as authorized by the Federal awarding agency.

Account Identification:

12-1701-6-1-352.

Obligations:

(Formula Grants (Cooperative Agreements)) FY 12 \$1,000,000; FY 13 est \$500,000; and FY 14 est \$250,000

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Nancy A. Thomas 355 E Street SW, Room 7-174, Washington, District of Columbia 20024-3231 Email: NThomas@ers.usda.gov Phone: 2026945008 Fax: 2022455318

Website Address:

<http://www.ers.usda.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.256 CONSUMER DATA AND INFORMATION PROGRAM

FEDERAL AGENCY:

Economic Research Service, Department of Agriculture

AUTHORIZATION:

FY 2012 Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, Public Law 109-97, 7 U.S.C. 292, 411, 427, 1441a, 1621-1627, 1704, 1761-68, 2201, 2202, 3103, 3291, 3311, 3504; 22 U.S.C. 3101; 42 U.S.C. 1891-93; 44 U.S.C. 3501-11; 50 U.S.C. 2061 et seq, 2251 et seq.
7CFR 3019, 7CFR Part 3015, 7CFR Part 3016, 7USC 3318b.

OBJECTIVES:

To provide economic and other social science information and analysis for public and private decisions on agriculture, food, natural resources, and rural America. ERS produces such information for use by the general public and to help the executive and legislative branches develop, administer, and evaluate agricultural and rural policies and programs.

TYPES OF ASSISTANCE:

DISSEMINATION OF TECHNICAL INFORMATION

USES AND USE RESTRICTIONS:

ERS performs economic research and analyses related to U.S. and world agriculture that addresses a multitude of economic concerns and decision making needs of Federal, State, and local governments, farmers, farm organizations, farm suppliers, marketers, processors, and consumers. There are no restrictions on the use of ERS produced information.

Applicant Eligibility:

Any individual or organization in the U.S. and U.S. Territories is eligible to receive the popular or technical research publications that convey the research results, although there may be a fee.

Beneficiary Eligibility:

Any individual or organization in the U.S. and U.S. Territories is eligible to receive the popular or technical research publications that convey the research results, although there may be a fee.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Requests for technical and additional information, contact: Chief, Publishing and Communications Branch, Economic Research Service (ERS), USDA, 1400 Independence Avenue SW, Mailstop 1800, Washington, DC 20520-1800.

Award Procedure:

None.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

N/A. See the following for information on how assistance is awarded/released: none.

Reports:

No program reports are required. No cash reports are required. Progress reports, final technical reports, financial statements, and inventions and subawards reports. The frequency of reports is outlined in the terms and conditions of the agreement. Progress reports to ERS technical person or ADODR Authorized Departmental Organization Designated Representative. SF-269 report or SF-425 financial and expenditure report. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to an award shall be retained for a period of 3 years from the date of submission of the final expenditure report or for awards that are renewed quarterly or annually, from the date of submission of the quarterly or annual financial report, as authorized by the Federal awarding agency.

Account Identification:

12-1701-6-1-352.

Obligations:

(Project Grants (Cooperative Agreements)) FY 12 \$110,813; FY 13 est \$107,171; and FY 14 est \$500,000

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Nancy A. Thomas 355 E Street SW, Room 7-174, Washington, District of Columbia 20024-3231 Email: NThomas@ers.usda.gov Phone: 2026945008 Fax: 2022455318

Website Address:

<http://www.ers.usda.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.290 AGRICULTURAL MARKET AND ECONOMIC RESEARCH

FEDERAL AGENCY:

The Office of the Chief Economist, Department of Agriculture

AUTHORIZATION:

7 U.S.C. Section 3318, 7 CFR Section 2.29.

OBJECTIVES:

To conduct cooperative economic research and analyses in support of the Office of the Chief Economist's responsibility to advise the Secretary of Agriculture on the economic implications of Department policies, programs, and proposed legislation. These research projects and analyses cover the nation's agricultural commodity markets; risk analyses and cost-benefit analyses related to international food and agriculture; sustainable development; energy issues related to the agricultural economy; agricultural labor; global climate change; and any other topics that support the Department's consideration or review of policies and programs.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Research is conducted that is in cooperation with the Office of the Chief Economist's in-house research and analysis programs and projects. Limited discretionary funds are periodically made available.

Applicant Eligibility:

Applicants are usually nonprofit institutions of higher education or other nonprofit research organizations whose primary purpose is conducting economic research.

Beneficiary Eligibility:

Beneficiaries are usually non-profit institutions of higher education or other non-profit research organizations whose primary purpose is conducting scientific research.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Upon request of the Office of the Chief Economist, proposals should be submitted to the Office of the Chief Economist, Department of Agriculture.

Award Procedure:

The Office of the Chief Economist considers proposals received, evaluates the qualifications of the proposal with respect to the research issue, and, in consultation with the selected cooperator institution, establishes a funding level and the specific deliverables due at the conclusion of the agreement.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Extensions may be authorized.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Agreements are normally for a one year period but can be extended upon mutual agreement. See the following for information on how assistance is awarded/released: assistance is awarded based on agreement with cooperator.

Reports:

Reports are set at time of award between recipient and OCE. Cash reports are not applicable. A progress report is negotiated with the recipient at the time of award. An expenditure report is required if included in the initial agreement. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audits will be conducted in accordance with guidelines established in the revised OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations," and implemented in 7 CFR Part 3052, "Audits of States, Local Governments, and Non-Profit Organizations."

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to an award shall be retained for a period of three years from the date of submission of the final expenditure report.

Account Identification:

12-0705-0-1-352.

Obligations:

(Cooperative Agreements) FY 12 \$733,743; FY 13 est \$4,485,119; and FY 14 est \$565,000

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Chief Economist 12th & Jefferson Drive, SW
Whitten Building, Room 112
, Washington, District of Columbia 20250 Phone: 202-720-4164

Website Address:

<http://www.usda.gov/oce>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.303 INTEGRATED PROGRAMS**FEDERAL AGENCY:**

National Institute of Food and Agriculture, Department of Agriculture

AUTHORIZATION:

Section 406 of the Agricultural Research, Extension, and Education Reform Act of 1998 (AREERA) (7 U.S.C. 7626), as reauthorized by Section 7306 of the Food, Conservation, and Energy Act of 2008 (FCEA) (Public Law 110-246), authorized the Secretary of Agriculture to establish a competitive grants program that provides funding for integrated, multifunctional agricultural research, extension, and education activities. Subject to the availability of appropriations to carry out this program, the Secretary may award grants to colleges and universities [as defined by section 1404 of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 (NARETPA) (7 U.S.C. 3103)], as amended, on a competitive basis for projects that address priorities in United States agriculture and involve integrated research, education, and extension activities, as determined by the Secretary in consultation with the National Agricultural Research, Extension, Education, and Economics Advisory Board (NAREEEAB). Section 7129 of the FCEA amended section 406(b) of AREERA (7 U.S.C. 7626(b)), adding Hispanic-serving agricultural colleges and universities (HSACUs) as eligible entities for competitive funds awarded under this authority (see Part III.B. of RFA for more information).
, 7 U.S.C 7626; Section 2(c)(1)(B) of Public Law 89106, as amended.

, Public Law 89-106; Title V of the Rural Development Act of 1972, Public Law 92-419, 7 U.S.C 2204a; Competitive, Special, and Facilities Research Grant Act, Public Law 89-106, 7 U.S.C 450i.

OBJECTIVES:**GENERAL:**

NIFA Integrated Programs provide support for integrated research, education, and extension activities. Integrated, multi-functional projects are particularly effective in addressing important agricultural issues through the conduct of problem-focused research that is combined with education and extension of knowledge to those in need of solutions. These activities address critical national, regional, and multi-state agricultural issues, priorities, or problems. Integrated Programs hold the greatest potential to produce and disseminate knowledge and technology directly to end users while providing for educational opportunities to assure agricultural expertise in future generations. See individual program Requests for Applications for additional information about the topics.

SEVERAL PROGRAMS ARE FUNDED UNDER CFDA 10.303. SPECIFIC OBJECTIVES ARE AS FOLLOWS:

(1) Integrated Research, Education, and Extension Competitive Grants Program: National Integrated Water Quality Program
The goal of the National Integrated Water Quality Program is to improve the quality of our Nation's surface water and groundwater resources through research, education, and extension activities. Projects funded through this program will facilitate achieving this goal by advancing and disseminating the knowledge base available to agricultural and rural communities. Funded projects should lead to science-based decision-making and management practices that improve the quality of the Nation's surface water and groundwater resources in agricultural and rural watersheds. See RFA for priority areas.

(2) Integrated Research, Education, and Extension Competitive Grants Program: National Integrated Food Safety Initiative
The purpose of the National Integrated Food Safety Initiative is to support food safety projects that demonstrate an integrated approach to solving problems in applied food safety research, education, or extension. Various models for integration of applied research, education, and extension will be considered for funding. Applications describing multi-state, multi-institutional, multidisciplinary, and multifunctional activities (and combinations thereof) are

encouraged. Applicants are strongly encouraged to address at least two of the three functional areas of research, education, and extension (i.e., research and extension, research and education, or extension and education).

(3) Integrated Research, Education, And Extension Competitive Grants Program: Regional Integrated Pest Management Centers

The goal of the Regional Integrated Pest Management Centers (IPM Centers) is to promote the development and implementation of IPM by facilitating collaboration across states, disciplines, and purposes. IPM Centers will establish and maintain information networks, build partnerships to address pest management challenges and opportunities, evaluate the impact of IPM implementation, communicate positive outcomes to key stakeholders, and manage funding resources effectively. Successful applicants to this program will demonstrate the capacity and commitment necessary to advance the goals of the National Roadmap for Integrated Pest Management (www.ipmcenters.org/IPMRoadMap.pdf), and evaluate the progress of this advancement. The IPM Roadmap addresses pest management needs for production agriculture, natural resources and recreational environments, and residential and public areas.

(4) Integrated Pest Management: Crops at Risk Program

The goal of the CAR program is to enhance the development and implementation of innovative, ecologically based sustainable IPM system(s). Preferably, this should involve a diversity of tactics and approaches for a single or specific food or fiber commodity in commercial production for pre- and/or post-harvest system(s). The program addresses either a major acreage or high value crop commodity such as key fruits and vegetables. The primary emphasis is on crop productivity and profitability, while addressing critical environmental quality and human health issues. The CAR program will fund integrated multifunctional/multidisciplinary research, education, and extension projects for crops with high priority IPM needs as identified by stakeholders.

(5) Integrated Pest Management: Risk Avoidance and Mitigation Program

The goal of the Risk Avoidance and Mitigation (RAMP) program is to enhance the development and implementation of innovative, ecologically based sustainable IPM strategies and system(s) for (a) multi-crop food and fiber production systems; (b) an area-wide or a landscape scale agroecosystem; or (c) a documented pesticide impact on water, human or environmental health. RAMP applications may address major acreage agricultural production systems, high value crops such as key fruit and vegetable systems, or other agroecosystems. The primary emphasis of the application should be on productivity and profitability while addressing critical environmental quality and human health issues. The intent of RAMP is to fund medium-term projects that emphasize systems approaches.

(6) Integrated Research, Education, and Extension Competitive Grants Program: Integrated Pest Management: Methyl Bromide Transitions Program

The goal of the Methyl Bromide Transitions (MBT) program is to support the discovery and implementation of practical pest management alternatives to methyl bromide uses or minimize methyl bromide emissions for which the United States is requesting critical use exemptions. The program is focused on integrated commercial or field scale research that targets short- to medium-term solutions.

(7) Integrated Organic Program

The purpose of the Integrated Organic Program is to solve critical organic agriculture issues, priorities, or problems through the integration of research, education, and extension activities. The Organic Transitions Program (ORG) funds the development and implementation of research, extension, and higher education programs to improve the competitiveness of organic producers and producers who are adopting organic practices. Funding opportunities for the ORG Program is included in the same Request for Applications (RFA) as the Organic Research and Extension Initiative (OREI).

PLEASE NOTE: THIS PROGRAM DOES NOT FUND START UP BUSINESSES.

(8) Regional Rural Development Centers (RRDCs):

The RRDCs play a unique role in USDA's service to rural America. They link the research and educational outreach capacity of the nation's public universities with communities, local decision makers, entrepreneurs, families, and farmers and ranchers to help address a wide range of development issues. They collaborate on national issues that span regions-like e-commerce, the changing interface between rural, suburban, and urban places, and workforce quality and jobs creation. Each tailors programs to address particular needs in its region.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

This research, education, and extension competitive grants program provides funding for integrated, multi-functional agricultural research, extension, and education activities which addresses priorities in United States agriculture. Grant funds must be used for allowable costs necessary to conduct approved integrated research, extension and education objectives to address food and agricultural sciences, in the broadest sense. NIFA has determined that grant funds awarded under this authority may not be used for the renovation or refurbishment of research, education, or extension space; the purchase or installation of fixed equipment in such space; or the planning, repair, rehabilitation, acquisition, or construction of buildings or facilities. Funds may not be used for any purposes other than those approved in the grant award documents. Tuition remission is not allowable.

The following programs are authorized under (Section 406 of the Agricultural Research, Extension, and Education Reform Act of 1998 (AREERA) (7 U.S.C. 7626):

- (1) Water Quality
- (2) Food Safety
- (3) Regional Pest Management Centers
- (4) Crops at Risk
- (5) Risk Mitigation Program
- (6) Methyl Bromide Transition Program and
- (7) Organic Transition - Risk Assessment

Other Integrated Program(s):

- (8) Regional Rural Development Centers (7 U.S.C. 450i and 7 U.S.C. 2204a)

Section 1473 of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 (7 U.S.C. 3319) prohibits indirect costs. Hence, indirect costs are unallowable for this program. Fully discretionary.

Applicant Eligibility:

State agricultural experiment stations, State cooperative extension services, all colleges and universities, other research and extension institutions and organizations, Federal agencies, private organizations or corporations, and individuals to facilitate or expand promising breakthroughs in areas of the food and agricultural sciences of importance to the United States.

Beneficiary Eligibility:

State agricultural experiment stations, State cooperative extension services, all colleges and universities, other research and extension institutions and organizations, Federal agencies, private organizations or corporations, and individuals to facilitate or expand promising breakthroughs in areas of the food and agricultural sciences of importance to the United States.

Credentials/Documentation:

No Credentials or documentation are required. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

All RFAs are published on the Agency's website and Grants.gov. Applicants must complete the Grants.gov registration process. Please see the following Grants.gov link for more information: http://www.grants.gov/applicants/get_registered.jsp. An environmental impact statement is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applications should be submitted as outlined in the

RFA. Applications must follow the instructions provided per Grants.Gov and in the Agency guide to submitting applications via Grants.gov.

Award Procedure:

Applications are subjected to a system of peer and merit review in accordance with section 103 of the Agricultural Research, Extension and Education Reform Act of 1998 (7 U.S.C. 1613) by a panel of qualified scientists and other appropriate persons who are specialists in the field covered by the proposal. Within the limit of funds available for such purpose, the NIFA Authorized Departmental Officer (ADO) shall make grants to those responsible, eligible applicants whose applications are judged most meritorious under the procedures set forth in the RFA.

Reviewers will be selected based upon training and experience in relevant scientific, extension, or education fields, taking into account the following factors:

(a) The level of relevant formal scientific, technical education, or extension experience of the individual, as well as the extent to which an individual is engaged in relevant research, education, or extension activities;

(b) the need to include as reviewers experts from various areas of specialization within relevant scientific, education, or extension fields;

(c) the need to include as reviewers other experts (e.g., producers, range or forest managers/operators, and consumers) who can assess relevance of the applications to targeted audiences and to program needs;

(d) the need to include as reviewers experts from a variety of organizational types (e.g., colleges, universities, industry, state and Federal agencies, private profit and non-profit organizations) and geographic locations;

(e) the need to maintain a balanced composition of reviewers with regard to minority and female representation and an equitable age distribution; and

(f) the need to include reviewers who can judge the effective usefulness to producers and the general public of each application.

Evaluation Criteria will be delineated in the RFA.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Section :094 - Deadlines:

Dates for specific deadlines are announced in the RFA each fiscal year. Information is also available via our website and may be obtained via the Grants.gov website. Respective links are provided below:

<http://www.nifa.usda.gov/>
<http://www.grants.gov>

Section :095 - Range of Approval/Disapproval Time:

From 30 to 180 days.

Appeals:

Not Applicable.

Renewals:

Specific details are provided in the Request for Applications (RFA) each fiscal year.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. GENERAL RULES:

(a) Funds are awarded competitively.

(b) No formula grants are awarded under Subtitle K of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 [7 U.S.C. 3319e].

SPECIFIC PROVISIONS:

Regarding Critical Issues and Regional Rural Development Centers (Section

2(c)(1)(B) of Public Law 89-106, as amended):

NIFA does not require matching or cost sharing support for the above-referenced programs.

However, the provisions indicated below are applicable to the following programs which are authorized under Section 406 of the Agricultural Research, Extension, and Education Reform Act of 1998 (AREERA) (7 U.S.C. 7626):

- (1) Water Quality
- (2) Food Safety
- (3) Regional Pest Management Centers
- (4) Crops at Risk
- (5) Risk Mitigation
- (6) Methyl Bromide Transition and
- (7) Organic Transition - Risk Assessment

Funds are awarded competitively.

No formula grants are awarded under Subtitle K of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 [7 U.S.C. 3319e].

If a grant provides a particular benefit to a specific agricultural commodity, and not of national scope, the grant recipient is required to match the USDA funds awarded on a dollar-for-dollar basis from non-Federal sources with cash and/or in-kind contributions. (See Part IV, B., 6. of the RFA for details.)

NIFA may waive the matching funds requirement for a grant if NIFA determines that:

(1) the results of the project, while of particular benefit to a specific agricultural commodity, are likely to be applicable to agricultural commodities generally; or

(2) the project involves a minor commodity, the project deals with scientifically important research, and the grant recipient is unable to satisfy the matching funds requirement.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Regarding the Critical Issues and Regional Rural Development Centers (Section 2(c)(1)(B) of Public Law 89106, as amended) , normally, competitive research projects will be supported for periods of up to three (3) years.

However, for the other programs (under Section 406 of the Agricultural Research, Extension, and Education Reform Act of 1998 (AREERA) (7 U.S.C. 7626):

The term of competitive project grants and/or cooperative agreements under this program may not exceed five (5) years. Method of awarding/releasing assistance: by letter of credit.

Reports:

Grantees are to submit initial project information and annual summary reports to NIFAs electronic, Web-based inventory system that facilitates both grantee submissions of project outcomes and public access to information on Federally-funded projects. The details of the reporting requirements are included in the award terms and conditions. NIFA uses the SF-425, Federal Financial Report to monitor cash. Grantees are to submit initial project information and annual summary reports to NIFAs electronic, Web-based inventory system that facilitates both grantee submissions of project outcomes and public access to information on Federally-funded projects. The details of the reporting requirements are included in the award terms and conditions. A final Financial Status Report (SF-269) or Federal Financial Report (SF-425) is due within 90 days of the expiration date of the grant and should be submitted to the address listed below, in accordance with instructions contained in 2 CFR 3430.55 (also refer to Section 3015.82 of the Uniform Federal Assistance Regulations).

Awards Management Division (AMD)

Office of Grants and Financial Management (OGFM)
National Institute of Food and Agriculture (NIFA)
Department of Agriculture(USDA)
STOP 2271

1400 Independence Avenue, SW
Washington, DC 20250-2271

Telephone: (202) 401-4986. Grantees are to submit initial project information and annual summary reports to NIFAs electronic, Web-based inventory system that facilitates both grantee submissions of project outcomes and public access to information on Federally-funded projects. The details of the reporting requirements are included in the award terms and conditions.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

In accordance with the Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and other Non-profit Organizations [2 CFR 215, Subpart C, Section 215.53, (OMB Circular A-110)] grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be retained at least three (3) years. Records must be retained beyond the three-year period if litigation is pending or audit findings have not been resolved.

Account Identification:

12-1502-0-1-352.

Obligations:

(Project Grants) FY 12 \$14,703,623; FY 13 est \$13,523,927; and FY 14 est \$21,047,217 - The difference between the appropriation and obligation numbers reflects legislative authorized set-asides deducted as appropriate, and in some cases the availability of obligational authority from prior years.

Range and Average of Financial Assistance:

If minimum or maximum amounts of funding per competitive project grant or cooperative agreement are established, these will be announced in the annual program announcement or Request for Application (RFA).

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: (A) Water Quality

A total of 73 applications were received in three program areas. Added a focus on consumer-based water resource management programs; projects will begin to develop tools that assist citizens to make improved water management decisions as consumers. Funded two projects for the National Water Resource Projects (Program Area Code 110.A) priority where proposals were solicited for projects that create or develop capacity within the research, education, and extension system and transfer this capacity to stakeholders and decision-makers at the local, regional, or national level. Funded four projects for the Watershed Scale Projects (Program Area Code 110.C) priority where proposals were solicited that promote locally focused solutions to watershed scale water resource issues in agricultural, rural, and urbanizing watersheds. Added a focus on developing the next generation of extension professionals, preparing students to work in extension or use-inspired research while providing immediate outreach functions to landowners, ranchers and farmers. Applicants were asked to design and implement an experiential learning program for students (undergraduate through post-doctoral), and were strongly encouraged to coordinate with the National Oceanic and Atmospheric Administrations (NOAA) Regional Integrated Sciences and Assessment (RISA) teams. Working directly with an advisor/mentor either in a NOAA RISA or in a USDA research laboratory or Cooperative Extension office, students should gain hands-on experience on farms and ranches while providing services related to drought, flooding or other water issues.

(B) National Integrated Food Safety
No proposals received in FY 2012.

(C) Integrated Pest Management Centers (Regional)

For the FY 2012 award cycle, \$3,805,820 was available for project grant awards after subtracting administrative costs.

NIFA received a total of 4 applications requesting a total of \$3,805,520 in the FY 2012 competition. In August 2011, a 5-member peer review panel evaluated these applications. The peer review panel included faculty and administrators from Land-Grant universities and a federal agency.

Funds were available to support a total of 4 awards.

The funding ratio for this program in FY 2011 was 100%

Funded projects established a Regional Integrated Pest Management (IPM) Center in each of USDAs four geographic regions: North Central, Northeastern, Southern, and Western. The overarching goals of the Regional IPM Centers program are to improve the cost benefit analysis of adapting IPM practices and to reduce the environmental and human health risks associated managing pests.

(D) Crops at Risk from FQPA Implementation

This program has not been recently funded.

(E) FQPA Risk Mitigation Program

This program has not been recently funded.

(F) Methyl bromide Transition Program

For the FY 2012 award cycle, approximately \$ 1,887,651 is available for project grant awards after subtracting administrative costs.

A total of 12 applications requesting a total of \$5,274,752 were received in this years competition and it is anticipated that five will be awarded. In August 2012, a 6-member peer review panel will evaluate these applications. The peer panel includes faculty and administrators from land grant universities and USDA research agencies.

The funding ratio for this program in FY12 is anticipated to be 42%.

(G) Organic Transition - Risk Assessment

For the FY 2012 award cycle, \$3,796,760 was available for project grant awards after subtracting administrative costs.

A total of 16 applications, requesting a total of \$10,678,804, were received in this years competition. In June 2012, a 6-member peer review panel evaluated these applications. The peer panel included faculty from land grant universities.

Funds were available to support a total of 6 new awards.

The funding ratio for this program in FY12 was 37%.

Funded projects seek to support the development and implementation of research, extension and higher education programs to improve the competitiveness of organic livestock and crop producers, as well as those who are adopting organic practices by studying and documenting environmental services provided by organic farming systems in the area of soil conservation and climate change mitigation, including greenhouse gases (GHG). All projects integrate research, education and extension activities.

(H) Regional Rural Development Centers

The regional rural development centers have continued their collaborations in programs including: SET-Stronger Economies Together, eCommerce, CREW-Renewable Energy in the West, Turning the Tide on Poverty, and Triumph Over Tragedy and Ready Community Guide. Additionally, the

Centers collaborated on a successful application to NIFAs Policy Research Centers Grant Program.

Solo and partner initiatives of the individual centers are reported below, with particular emphasis given to service to underserved populations.

North Center Regional Center for Rural Development (NCRCRD):

While most rural areas in the North Central Region are experiencing population decline, the number of Hispanics is increasing. Hispanics in the Upper Midwest is a work group supported by the North Central Center that studies this rapidly expanding demographic to determine the best ways to incorporate the newcomers into communities.

Cambio de Colores (Change of Colors) is an annual conference that, since 2002, brings together researchers, practitioners, decision-makers, and community members to discuss the issues that the Heartland states face as a result of the demographic changes reflected since the 2000 Census, which clearly showed large numbers of immigrants most of them Latino or Hispanic, but including significant numbers of migrants and refugees from Asia, Africa, and Europe settling in rural and urban areas of every state in the region. Cambio de Colores is a collaborative effort of the University of Missouri and Extension, other educational institutions in the North Central region, as well as government and private organizations.

The NCRCRD offered a number of webinars to benefit rural poor, inority, and/or underserved populations:

Banking Issues Among Entrepreneurs from Underserved Populations
Great Lakes Land Grant Institutions Tribal Water Resources Summit

The NCRCRD partnered with NIFA to organize a two day small farm and rural development research conference prior to the Regional Science Association International meetings, attracting 61 researchers.

North East Regional Center for Rural Development (NERCRD):

The NERCRD has created an online resource on the Center website titled: Demographic Dimensions of Diversity Relevant for Extension Educators: What To Look For, Why, and Where To Find the Data on The Web. The site includes a briefing room on Race and Ethnicity in Rural America; Resources for Dealing with Hate Groups; and, Mapping Census 2000 the Geography of U.S. Diversity.

NERCRD Director Stephan Goetz was invited to speak at the Federal Reserve System Board of Governors conference in Washington, DC on the Role of Small Business and Entrepreneurship during an Economic. He also served on the Panel Strengthening Entrepreneurship Opportunities in Urban and Rural Communities.

Southern Regional Rural Development Center (SRRDC):

SERA-37 is a Southern Extension Research Activity on The New Hispanic South. As its name implies, its goal is strengthening the capacity to land grant institutions to respond to the New Hispanic South. Core activities focus on mobilizing land-grant faculty to work collaboratively to better understand the challenges and opportunities associated with the current and future growth of Hispanics in the rural South; strengthen the research and Extension outreach work being undertaken by our region's land-grant university system that addresses the growth of Hispanics in the South; and advance the capacity of the land-grant system to lend support to those rural communities that seek to respond to the needs of their growing Hispanic population in a positive and strategic manner.

Members of SERA-37, New Hispanic South, completed a domestic immersion Latino cultural competency program consisting of three phases: distance education, eight-day immersion experience in the North Carolina Latino community and an applied project in the home state. SERA-37 secretary Roberto Gallardo, SRDC research associate, reported individuals from 10 southern states, as well as 22 community partners, participated in this domestic immersion project. As a result of the immersion, preliminary project plans

developed:

Mississippi Extension agents will conduct a needs assessment of farm workers and farmers.

Alabama Extension agents will offer a work readiness and health fair with Latino audiences.

Georgia Extension agents are establishing community gardens with Latino families through connections with a local faith community.

North Carolina Extension agents are involving Latino youth in 4-H, as well as engaging the Latino community as vendors and customers in the local farmers market through a multi-cultural day. They are also providing energy savings/personal budgeting classes for Latino families and are developing a safety and health promotion program.

The SRDCs Turning the Tide on Poverty initiative engages residents to improve their communities. Thanks to this SRDC initiative, rural counties across the South have opened the lines of communication, engaged residents who had not previously been involved, and achieved community and economic development goals. The Initiative was recognized by the National Association of Community Development Extension Professions for success in tackling poverty issues for economically-distressed rural communities and was honored with the Diversity Certificate by the National Extension Association of Family and Consumer Sciences.

Western Regional Rural Development Center (WRDC):

The WRDC hosted a Small Business Resources Workshop to provide a hands-on experience for Extension professionals seeking resources-tools, practices, and programs-to assist small business owners. Fiscal Year 2013: (A) Water Quality

No data: Closing date for proposals was July 10, 2013. Pertinent data to be provided by Program at a future date.

(B) National Integrated Food Safety

No proposals received in FY 2013.

(C) Integrated Pest Management Centers (Regional)

NIFA does not intend to issue an RFA for this program in FY 2013.

(D) Crops at Risk from FQPA Implementation

This program has not been recently funded.

(E) FQPA Risk Mitigation Program

This program has not been recently funded.

(F) Methyl bromide Transition Program

For the FY 2013 award cycle, approximately \$ 1,734,875 is available for project grant awards after subtracting administrative costs.

A total of 7 applications requesting a total of \$2,988,100 were received in this years competition and it is anticipated that four will be awarded. In June 2012, a 3-member peer review panel with two ad hoc reviews evaluated these applications. The peer panel includes faculty and administrators from land grant universities and USDA research agencies.

The funding ratio for this program in FY12 is anticipated to be 57%.

(G) Organic Transition - Risk Assessment

For the FY 2013 award cycle, \$3,458,688 was available for project grant awards after subtracting administrative costs.

A total of 40 applications, requesting a total of \$27,415,786, were received in this years competition. In June 2013, a 9-member peer review panel evaluated these applications. The peer panel included faculty from land grant universities, researchers from USDA Agricultural Research Service and a non-profit stakeholder group.

Funds were available to support a total of 5 new awards. The funding ratio for this program in FY13 was 12.5%.

Funded projects seek to support the development and implementation of research, extension and higher education programs to improve the competitiveness of organic livestock and crop producers, as well as those who are adopting organic practices by studying and documenting environmental services provided by organic farming systems in the area of soil conservation and climate change mitigation, including greenhouse gases (GHG). Projects were also funded to develop cultural practices and other allowable alternatives to substances recommended for removal from the National Organic Programs National List of Allowed and Prohibited Substances. All projects integrate research, education and extension activities.

(H) Regional Rural Development Centers

The Regional Rural Development Centers have been very successful leveraging their \$998,000 shared award. They have used this to more than quadruple their programming budgets though leveraging. Unfortunately, the budgets cuts resulting from sequestration, along with the continual erosion of the base budget (a decline of almost 25% in the past three years) has significantly impaired their ability this year, in part because they have led to the resignation of a key NPL and an RRDC Director, and we anticipate it will take some time to recoup the loss and regain traction for the program.

Title V of the Rural Development Act of 1972 authorized funding for Regional Programs to develop and provide knowledge essential to assist and support rural development in the region and provide for technical consultation and personnel development for research and extension staff. The Code of Federal Regulations indicates that the Regional Programs will be administered through four Regional Rural Development Centers in cooperation with the National Institute of Food and Agriculture. With a vacancy in the NPL position responsible for the Regional Rural Development Centers and diminishing prospects for a replacement, the Division of Family and Consumer Sciences was confronted with the challenge of managing the rural development portfolio under tight fiscal constraints. In January of 2012, we created VCRA, the Virtual Center for Rural America, in order to expand the portfolio and deepen our commitment to Rural Development as indicated in the Goal 1 of the USDA Strategic Plan and Goal 7 of the REE Action Plan*. VCRA is comprised of a team of Program Leaders and Program Specialists assigned individually to different programs and activities within the portfolio, but working jointly to strengthen the portfolio and diffuse rural development goals and objectives throughout all NIFA programming, as appropriate.

The Rural Development scope of work at NIFA encompasses many programs and activities, including the research and outreach work of the four Regional Rural Development Centers; the Farm Bill research and advising of the Rural Policy Research Institute (RUPRI) at the University of Missouri; the work of members of the National Association of Community Development Extension Professionals (NACDEP); efforts of other regional Extension groups, along with multistate research and extension committees engaged in rural and community development; the North Central - Community Resource Economic Development (NC-CRED) initiative; partnerships with the USDA Rural Development Agency on Stronger Economies Together (SET); and activities with the eCommerce initiatives and Regional Innovation grants. Recently added to the conceptual sphere of the rural development portfolio is the research supported by the new Rural Policy Research competitive awards program, AFRIs foundational program in Agricultural Economics and Rural Development, and the SBIR programs in Agricultural Economics and Rural Development, along with the Sustainable Farm Enterprises portfolio.

Using a team approach to the Virtual Center for Rural America brings more talent and energy to the rural development efforts of NIFA. Researchers, educators, and Extension professionals are supported by more program leaders and are able to tap into a wider array of expertise at NIFA. In the future there will be greater opportunity to diffuse rural development goals and objectives more broadly throughout NIFA programming. New synergies are already being realized between and among the many existing efforts in sustainable farm enterprises, family and consumer economics, community health and wellness, housing and community living, military and veterans programs and rural development. New opportunities for collaboration are being identified, along

with the potential to better harmonize the regional programs in rural development, risk management, and sustainable agriculture. The growing need for federal austerity challenges NIFA to provide resources sufficient to adequately support expanded efforts in rural development research and outreach. This team approach is allowing NIFA to make a deeper commitment to rural development.

This is a cross-cutting effort within and beyond NIFA. Core team members currently include: Institute and Division Leadership, 5 NPLs, a Program Specialist, and support within the DFCS, IYFC. Six ad hoc team members represent their respective Institutes within NIFA.

The VCRA Team has mobilized quickly to provide expanded commitment, service, and resources to our partners and professionals in the Rural Development research, education, and outreach communities. Each VCRA core team member has taken on additional duties and expended extra effort to strengthen NIFAs rural development portfolio and increase opportunities for additional collaborations and synergies to enhance rural development programming. Fiscal Year 2014: (A) Water Quality
No projections: Zeroed out in 2014 budget.

SPECIAL NOTE:

In 2014, the Water Quality program will be supported under AFRI's new Water and Water Resources Challenge Area.

(B) National Integrated Food Safety
Funding is not anticipated for FY 2014..

(C) Integrated Pest Management Centers (Regional)
No data available.. Pertinent data to be provided by Program at a future date.

SPECIAL NOTE:

Funds are supported under Crop Protection/Pest Management to consolidate integrated pest management activities in 2014.

(D) Crops at Risk from FQPA Implementation
This program has not been recently funded.

(E) FQPA Risk Mitigation Program
This program has not been recently funded.

(F) Methyl bromide Transition Program
It is unclear at this time whether funding will be available for this program in FY 2014, as it was not included in the Presidents budget.

(G) Organic Transition - Risk Assessment
Pertinent data to be provided by Program at a future date.

(H) Regional Rural Development Centers

We do not anticipate a higher level of funding or programming in FY 2014.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 3430, Competitive and Noncompetitive Non-formula Grant Programs General Grant Administrative Provisions and Program-Specific Administrative Provisions; 7 CFR Part 3015, USDA Uniform Federal Assistance Regulations; 7 CFR Part 3017, Government wide Debarment and Suspension (Nonprocurement) ; 7 CFR Part 3018, New Restrictions on Lobbying; 7 CFR Part 3019, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-profit Organizations; and 7 CFR Part 3021 USDA implementation of Governmentwide Requirements for Drug-free Workplace (Financial Assistance).

Regional or Local Office:

None.

Headquarters Office:

USDA, NIFA, National Program Leader, Institute of Bioenergy, Climate and

Environment Division of Environmental Systems, 1400 Independence Avenue, SW., STOP 2210, Washington, District of Columbia, 20250-2210, Telephone: (202) 720-5229, Fax: (202) 720-3945.

ADDITIONAL CONTACTS:

USDA, NIFA, National Program Leader; Institute of Food Safety and Nutrition, Division of Food Safety 1400 Independence Avenue, SW., STOP 2225, Washington, DC 20250-2220; Telephone: (202) 401-1954; Fax: (202) 401-14888;

USDA, NIFA, National Program Leader, Institute of Food Production and Sustainability, Division of Animal Systems, 1400 Independence Avenue, SW., STOP 2240, Washington, DC 20250-2220; Telephone: (202) 401-6134; fax: 202-401-1602;

AND

USDA, NIFA, National Program Leader, Institute of Youth, Family, and Community, Division of Family and Consumer Sciences 1400 Independence Avenue, SW., STOP 2250, Washington, DC 20250-2250; Telephone: (202) 720-4795; fax: 202-720-93662; , Washington , District of Columbia 20250-2210 Phone: (202) 720-5229 Fax: (202) 720-3945

Website Address:

<http://www.nifa.usda.gov/>

RELATED PROGRAMS:

10.001 Agricultural Research_Basic and Applied Research; 10.200 Grants for Agricultural Research, Special Research Grants; 10.202 Cooperative Forestry Research; 10.203 Payments to Agricultural Experiment Stations Under the Hatch Act; 10.205 Payments to 1890 Land-Grant Colleges and Tuskegee University; 10.207 Animal Health and Disease Research; 10.212 Small Business Innovation Research; 10.219 Biotechnology Risk Assessment Research; 10.250 Agricultural and Rural Economic Research; 10.309 Specialty Crop Research Initiative; 10.310 Agriculture and Food Research Initiative (AFRI) ; 10.311 Beginning Farmer and Rancher Development Program; 10.500 Cooperative Extension Service; 10.652 Forestry Research

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: (A) Water Quality National Projects:

A Management Tool for Small Community Onsite Wastewater Treatment Systems: The Community System Owners Guide focuses on the fact that over 25% of the US population relies on septic systems to safely treat their wastewater. Many of these systems serve clusters of homes through a common septic system with additional management needs. The delivery of proper system management is a key issue to ensure cost-effective and long-term wastewater treatment for both new development and existing communities. The University of Minnesota's Onsite Sewage Treatment Program and its national partners have received a grant to bridge the gap between septic system professionals, regulators, and owners by developing a customizable Community System Owner's Guide (CSOG). The CSOG will help community members and leaders understand how their system works, what management tasks need to occur on a regular basis, and how they can protect their infrastructure from premature failure. A CSOG will be developed using a web-interface tool that will allow an individual to produce an expert-driven and locally-customized manual (electronic or hard-copy) CSOG for any cluster septic system in America. Using this tool, an engineer, septic professional, community facilitator, or educated community member will be able to develop a guide to effective management by entering basic information on the users, system, rate structures and any regional, state, or local differences in regulations that affect the management of community systems. This tool will be useful for thousands of communities across the US to properly manage their septic systems; therefore protecting our ground and surface waters.

Factors Affecting Adoption of Nutrient Management Practices By Farmers

and Homeowners focuses on the concept that addressing water pollution from farms and lawns will require voluntary adoption of nutrient management strategies. Research on factors affecting adoption of some best management practices (BMPs) by farmers exists, but less research has been conducted on factors affecting adoption of BMPs by homeowners. Also, little information exists on the value of manure and compost as a substitute for commercial fertilizer. The Hinkson Creek Watershed is on the 303d list as impaired and changes in homeowner behavior will be required to improve water quality and flow characteristics. The research proposed in this project builds on previous research by the PD as well as on the previous scientific and social science research related to the Hinkson Creek watershed to examine factors affecting the adoption of nutrient, sediment and stormwater management BMPs by farmers and homeowners. The education component includes updating the data and software for a watershed modeling course and creating a course that enables journalism students to design media campaigns to change behavior. Graduate and undergraduate student training on watershed issues and research methods are also included. Extension components will be coordinated with local efforts to encourage adoption by homeowners of appropriate fertilizer practices, rain gardens, and water barrels.

Watershed-Scale Projects:

Watershed Scale Project in Oostanaula Creek is concerned with the Oostanaula Creek watershed in eastern Tennessee is typical of the ridge and valley region that occupies much of the eastern United States along the Appalachian Mountain chain from central Mississippi to southern New York. Ridge and valley regions are characterized by rolling hills and many meandering tributaries. Agriculture operations are typically located in the low lying areas. The issues facing the Watershed are common throughout the ridge and valley region; e.g., urbanization and water quality degradation. This project aims to demonstrate how we can use the best available science to identify sources of non-point pollution (bacteria and sediment) in the watershed, prioritize and implement practices to reduce non-point pollution and educate stakeholders on the use of best management practices that maintain agricultural productivity without negatively impacting environmental quality. We will do this by develop a watershed-scale sediment budget that identifies dominant sources of fine sediment to Oostanaula Creek watershed, conduct economic cost-benefit analysis of sediment source-dependent BMPs specific to the Oostanaula Creek watershed and assess the behavior response of farmer willingness to implement sediment BMPs. We will continue a comprehensive watershed-wide education program to inform farmers, youth and adult residents about the importance of maintaining and improving water quality in the Watershed and conduct educational events throughout the Watershed to demonstrate that BMPs can simultaneously improve water quality and increase agricultural productivity.

Watershed Diagnostics For Improved Adoption Of Management Practices: Integrating Biophysical And Social Factors sets the goal of this project to support the adoption and maintenance of targeted Best Management Practices (BMPs) for polluted areas by developing new GIS-based assistive tools that integrate biophysical and social factors. They will achieve this through a multi-level and collaborative combination of three objectives. 1. Social science research and education evaluating the attitudes and behaviors of agricultural producers and broader watershed citizens related to water quality and BMP adoption. 2. Biophysical research on a Diagnostic Decision Support System (DDSS) that includes an adoption behavior sub-model to strengthen the technical abilities of State and Federal partners to precisely target effective BMPs. 3. Extension and education of stakeholders in ecologically oriented water-quality assessment and management, environmental stewardship, and DDSS application. Extension will use findings from social research to develop and improve effective outreach strategies. The selected watershed for this study is the Choptank Basin, which is agricultural, impaired, and drains directly into the Chesapeake Bay. It has been the focus of considerable biophysical data collection and water protection efforts, which this study will leverage to develop a next-generation adaptive environmental management tool. This project involves close partnerships with State and Federal agencies, and they have already participated in developing the objectives. Agency partners will help us engage agricultural producers and broader community citizens.

An Integrated Approach to Precision Conservation Planning In the South Fork Watershed builds partnerships from universities, USDA-ARS, and private industry that will use a combined approach of research, education, and extension to better control a type of erosion called ephemeral gully erosion. Ephemeral gullies are small channels formed in fields by running water. They are erased when the farmer tills the soil, but reappear when another significant rain event occurs. These gullies are recognized to be a very important, but not well-measured, source of sediment to many streams and rivers. Researchers will measure ephemeral gullies in several farm fields and use these measurements to test and validate a model that can predict where ephemeral gullies will form and how much soil erodes from these areas. In addition, newly developed computer models will be used to target and plan conservation practices for ephemeral erosion control on fields throughout the South Fork watershed. These findings will be combined with known information on types of erosion and best management practices to educate high school ag teachers and FFA students. Finally, the information will be shared with landowners and stakeholders in the watershed to prioritize sites that are at high-risk for ephemeral gully erosion and can be effectively treated with erosion control structures like grassed waterways and water and sediment control basins. As successful components of this project develop, the information and technologies will be shared with other farmers, Extension, education and research personnel in the region.

(B) National Integrated Food Safety

No program in FY 2012. Below are examples of on-going projects funded in FY 2011:

The proposed study will determine what role birds and other small mammals actually play in the transmission of these organisms. At the completion of this study we will fill a critical gap in our current understanding how food-producing animals acquire antibiotic resistant organisms and how this transmission can be mitigated.

Campylobacter is a major cause of acute human gastroenteritis in the United States, and consumption of contaminated poultry is a leading risk factor for infection. Campylobacter readily colonizes the intestines of chickens and turkeys, and contaminates poultry meat. A major knowledge gap concerns the farm practices and transmission routes that place flocks at enhanced risk for Campylobacter, or, conversely, have protective effects. The long-term goal of our research is to identify and characterize routes and mechanisms of transmission of Campylobacter to turkeys, a major but understudied reservoir for this pathogen.

Salmonella Enteritidis (SE) is a leading foodborne pathogen in the US with many outbreaks in humans traced back to shell eggs. As a result, the implementation of effective strategies for reducing SE in commercial layer flocks has become a critical public health and economic objective. The overall goal of this project is to integrate applied research and outreach to develop an updated and optimized Egg Quality Assurance Program (EQAP) that will significantly reduce SE contamination of shell eggs.

Shiga-toxin producing *Escherichia coli* (STEC) and *Salmonella* species are responsible for approximately 61% of all produce-associated illnesses. Several multi-state outbreaks have been linked to contaminated irrigation waters; even though pathogens are only found sporadically in water and therefore it is often difficult to determine the source of contamination. One area that has been overlooked is the possible contribution of biofilms within irrigation infrastructure as reservoirs for these foodborne pathogens. The goal of the project is to reduce the risks of exposure to enteric bacterial pathogens due to the contamination of irrigation infrastructure with biofilms containing *Salmonella* and shiga-toxin producing *Escherichia coli* (STEC).

Researchers are addressing five distinct modules focused on the safety and quality of fresh-cut produce, processing, packaging, distribution, risk/economics, and education/training that have been carefully integrated to ensure a successful and high impact outcome.

(C) Integrated Pest Management Centers (Regional)

Example 1.

Objectives: 1) Establish new and maintain existing information networks; 2) continue to build partnerships to address IPM challenges and opportunities; 3) develop signature global food security programs and foster their sustainability; 4) review and evaluate impacts of IPM implementation and communicate successes; and 5) manage funding resources effectively.

The IPM Center will serve as a catalyst for promoting and reporting IPM accomplishments achieved by our broad-based clientele to increase accountability. Our Center will actively respond to the goals of the National Roadmap for IPM the Global Food Security priority to ensure coordination of efforts and resources to enhance IPM development and adoption for production agriculture, natural resources and recreational environments, and residential and public areas in cooperation with the Federal IPM Coordinating Committee comprised of agency representatives from the Department of Agriculture (ARS, NIFA, NRCS, NASS, ERS, APHIS and the U.S. Forest Service), Department of Interior (National Park Service, Bureau of Land Management and Fish and Wildlife Service), Department of State (Agency for International Development), Department of Health and Human Services (CDC), Department of Housing and Urban Development (Office of Health Homes), Department of Defense, Environmental Protection Agency and the General Services Administration.

Signature programs include Tribal IPM programs, IPM education and outreach, IPM impact assessment, IPM issue-focused working groups and pest management strategic plans. These programs will engage broad representation of our regions stakeholders including our 1994 and 1890 sister institutions, as well as other underserved audiences.

Example 2.

To foster IPM development and adoption, the IPM Center will build on successes and launch new projects. With broad-based stakeholder participation, we will prioritize issues, create a strategic plan, facilitate collaboration across states, disciplines, and regions, and continue our information networks (including a state-of-the-art website, robust listservs, and a grants database). We will build capacity with eXtension and share Pest Alerts and success stories. Our IPM Partnership Grants Program will focus on working groups and critical pests, encouraging project directors to address underserved audiences, food availability, and food accessibility. We will foster sustainability of regional food supplies by strengthening support for small farms and by joining with federal and private partners to sponsor a conference on "IPM, Organic, and Sustainable Ag to Bolster Food Security." We will lead outreach to the region on the invasive brown marmorated stink bug, working with scientists to share new knowledge via the StopBMSB website, curricula, and displays. We will strengthen underserved communities by leading and participating in IPM trainings and workshops for public housing authorities and tribal housing, enhancing the StopPests website, and offering our new "Guide to IPM in Public Housing" in print and online. We will network internationally by attending the OECD workshop and the International IPM Symposium. Overall, our Center will increase coordination of IPM research, education, and extension, and will enhance responsiveness to global food security challenges.

Example 3.

Our mission is to foster development and adoption of IPM, a science-based approach to managing pests in ways that generate economic, environmental and human health benefits. The Advisory Council (AC) comprising diverse stakeholders from across the region will provide guidance and the Steering Committee (SC) will set policy. We will utilize the strategic plan developed in the previous funding cycle. We will maintain a revised version of the Regulatory Information Network to proactively address regulatory issues, respond to queries from EPA and other regulatory agencies, and update at least 12 high-priority Crop Profiles. Stakeholders and partner institutions will be engaged to identify needs and establish priorities through the AC and SC structure, collaboration with regional technical committees, the Small Farms IPM Working Group to engage previously underserved groups, and support of existing working groups including the School IPM Working Group. Global Food Security Programs include the Regulatory Information Network, the Critical and Emerging Issues Grant Program; the Small Farms Working Group; continued financial management and support of ipmPIPE projects; collaboration with eXtension; and our IPM Impacts evaluation Initiative. The Impacts Evaluation Initiative will evaluate IPM projects from across the region

to develop and distribute success stories that resonate with the general public. Our communications and outreach will continue the successful methods we have used to date including social networking techniques. We will also continue the Friends of IPM Program to highlight successful IPM professionals and promote IPM.

Example 4.

The Center will advance the National Institute of Food and Agriculture's (NIFA) Global Food Security priority area. Center activities and programs will advance Global Food Security by leading and supporting programs that implement IPM solutions to enhance sustainable food systems and boost U.S. agricultural production. Roadmap goals will be advanced by Center activities that lead to improved economic benefits of IPM adoption and reduced potential risks to human health and the environment caused by pests and the use of pest management practices. The Centers work will address five objectives: 1) to develop signature Global Food Security programs and foster their sustainability, 2) to establish and maintain multistate information networks, 3) to build partnerships and address challenges and opportunities, 4) to review and evaluate outcomes and impacts of IPM implementation and communicate the successes and the value added by IPM programs, and 5) to manage funding resources effectively. All of these objectives will be carried out in the context of two overarching goals: 1) to improve the cost-benefit analyses of adopting IPM practices and 2) to reduce the environmental and human health risks associated with managing pests. In carrying out these objectives and advancing these goals, the Center will provide regional leadership and coordination to facilitate integration of sustainable IPM activities across states, purposes, programs, and pest disciplines and among individuals, institutions, and regions. By offering competitive grants, supporting communication networks, obtaining ongoing stakeholder input about IPM needs, and fostering collaborations and partnerships, the Center will provide a centralized regional platform for addressing critical IPM needs and integrating IPM research, extension, and education in the West. This approach also ensures the Center will be responding to food security challenges on a global scale. The leadership and funding opportunities provided by the Center will bring together the institutional and individual expertise needed to successfully address high-priority pest management issues confronting farmers, pest managers, the non-agricultural public, and others in the West.

(D) Crops at Risk from FQPA Implementation

This program has not been recently funded.

(E) FQPA Risk Mitigation Program

This program has not been recently funded.

(F) Methyl bromide Transition Program

Most growers have settled on 1,3-D dichloropropene (1,3-D), chloropicrin (Pic), and isothiocyanate (ITC) generators as alternatives to methyl bromide (MeBr) and are looking for ways to improve the efficacy of these systems. The long-term goal of this research is to improve the efficacy of currently adopted MeBr alternatives for the management of weeds and soilborne pests and pathogens in small fruit and vegetable production systems in Florida. The first objective will evaluate inherent weaknesses observed with the current in-bed applications of 1,3-D + Pic that has led to outbreaks of Fusarium wilt at several tomato grower locations, by mapping the effective fumigation zone within the raised bed relative to culturable Fusarium levels after fumigation, and during the growing season. We will further assess the effect of irrigation and supplemental fumigation of row middles with Pic on bed re-colonization with Fusarium. The second objective will compare the effectiveness of new fumigant methyl ITC and allyl ITC to the metam potassium standard in managing weeds, nematodes, and Fusarium spp. It is expected that findings will be transferable to other fumigated crops.

Anaerobic soil disinfestation (ASD) has demonstrated significant potential as an alternative to methyl bromide (MeBr) for the control of soilborne pathogens and nematodes. ASD involves adding a source of carbon to the soil and irrigating the soil to field capacity under a plastic tarp to generate anaerobic conditions. Microbial breakdown of the carbon in the absence of oxygen generates byproducts known to be toxic to pathogens and nematodes. This

project examines the efficacy of ASD as a MeBr alternative alone or when integrated with mustard seed meal (MSM) for control of significant soilborne diseases in two cropping systems; pre-plant soil use for strawberry and tree fruit nursery production systems. These ASD systems can be utilized in urbanized areas where buffer restrictions limit the applicability of alternative fumigants and, unlike many other biologically-based alternatives, have a broad-spectrum of activity, impacting most pests that are currently controlled by MeBr. We have obtained consistently effective suppression of *Verticillium dahliae* in soils with ASD and achieved yields within 76-100% of MeBr and 95-120% of other chemical fumigants in California strawberry systems. Our goals are to fine tune the protocols to optimize disease suppression and economic feasibility of ASD alone or when integrated with MSM for soilborne disease control in commercial scale field trials, improve our understanding of the biological and chemical mechanisms involved in disease suppression by MSM and ASD, and effectively disseminate this information through a variety of formats including production of webinars and an "ASD Manual for Strawberries".

The use of methyl bromide (MeBr) fumigation for quarantine and pre-shipment treatment of wood products continues to rise with increasing globalization, as acceptable alternative treatments are unavailable, ineffective, or cost prohibitive. Prior research by this team has led to formal submission and tentative approval of dielectric heating as the first alternative to methyl bromide fumigation under International Standards for Phytosanitary Measures No. 15 (ISPM-15) by the International Plant Protection Convention, Commission on Phytosanitary Measures (CPM). ISPM-15 stipulates that wood used to ship products between countries must be debarked, heat treated to 56C at the core for 30 minutes or fumigated with MeBr, and stamped or branded with a mark of compliance. ISPM-15 affects all wood packaging material and its purpose is to prevent the international transport and spread of pests that could negatively affect plants and ecosystems in the introduced region. The goal of this project is to facilitate final approval of dielectric heating technology by the CPM for treating SWPM and associated wood products. Outputs will be a universal treatment schedule for wood packaging material using dielectric heating for approval as an annex to ISPM No. 15, a cost/benefit analysis of dielectric heating in comparison with currently approved methods, and extension/outreach activities to promote the adoption of this technology.

(G) Organic Transition - Risk Assessment

Optimizing cover crop selection and management to enhance agronomic and environmental services in organic cropping systems. This project is developing effective management strategies for C and N cycling processes, particularly biological N fixation and C/N retention pathways so that production and environmental outcomes are optimized. Particular emphasis is being given to questions about how organic management regimes, and the resulting soil legacies, interact with plant and microbial species to regulate C/N cycling.

Agricultural Greenhouse Warming Potential and Soil Carbon Sequestration in Organic and Long Term Rotational Systems. This research will expand on our capacity to identify cropping system practices that reduce greenhouse gas emissions, sequester soil carbon and enhance desirable ecosystem services provided by sustainable cropping systems. Results from this work will help inform and correct soil conservation models that were developed almost entirely using non-organic systems for parameterization.

Assessing, Modeling, and Maximizing Ecosystem Services in Long-term Organic and Transitioning Farming Systems. Organic farming systems enhance soil quality and biodiversity, but data on their impact on environmental outcomes are lacking. This work will fill this knowledge gap by evaluating the impact of a novel combination of no-till, multi-functional cover crops, and organic amendments as tools to reduce greenhouse gas emissions (CO₂, CH₄, and N₂O) and NH₃ volatilization, increase carbon sequestration and N and P accumulation, reduce nutrient losses by soil erosion and leaching, increase soil food web structural and functional diversity, increase energy flow in soil ecosystems, decrease weed and insect pressure, and enhance natural biocontrol activity.

(H) Regional Rural Development Centers

The NCRCRD provided a number of mini-grants to support poor, minority, and/or underserved populations:

Improving Practice and Collaboration to Aid Distressed Rural Communities
Helping Non-metro Communities and Underserved Entrepreneurs Grow Together
Poverty & Other Socioeconomic Distress in the North Central Region:
Assessing Trends & Determinants

The RRDCs have been engaged in significant work to develop impact indicators and have been conducting workshops to assist their peers to measure, document, and report the impact of community development work the results from the investment in the RRDC and Extension to Communities. These indicators are in various stages of proofing throughout the regional system, but we can report that the North Central Regional Center for Rural Development alone documented over \$180M of impacts and 16,090 jobs created or saved as a result of 2011 investments. If extrapolated to the four regional centers, this represents \$720M of impacts and over 64,000 jobs created or saved. Fiscal Year 2013: (A) Water Quality

No data: Closing date for proposals was July 10, 2013.

(B) National Integrated Food Safety
No program in FY 2013.

(C) Integrated Pest Management Centers (Regional)
No data for FY 2013 as NIFA does not intend to issue an RFA for this program in FY 2013.

(D) Crops at Risk from FQPA Implementation
This program has not been recently funded.

(E) FQPA Risk Mitigation Program
This program has not been recently funded.

(F) Methyl bromide Transition Program
Pertinent data to be provided by Program at a future date.

(G) Organic Transition - Risk Assessment

Biodiversity and Natural Pest Suppression. This project is exploring the emergence of natural pest control following the conversion to organic farming by comparing recent adopters to farms that have been organic for decades. Mixed-vegetable farmers have reported that since adopting organic methods, they see fewer aphids and caterpillars on their farm each year. Growers generally attributed this to the gradual buildup of insect-killing predators, parasitoids and pathogens. Improving soil quality, and thus healthier and more insect-resistant plants, could also explain this pattern. Understanding what makes a farm pest-suppressive could ease future farmers organic transition.

Organic management of fire blight in a post-antibiotic era: developing, evaluating, and delivering options for apples grown in humid climate. This project directly addresses the development of alternatives to antibiotics for fire blight control in organic crops. In order to prepare for the removal of antibiotics from the NOSB Approved Materials List, all available NOSB-approved materials to reduce flower infection and improve efficacy are being evaluated.

Principles for Transitioning to Organic Farming: e-Learning Materials and Decision Case Studies for Educators. A project team is creating a series of online, interactive educational modules with a focus on the fundamentals of organic agriculture and how to transition to organic farming. Modules will cover important crop production topics including rotation, soil fertility, crops to grow during transition, weed and pest management, and many other subjects for both agronomic and horticultural producers.

(H) Regional Rural Development Centers
Information on limited mini-grants is not available at this time, nor are the reports of impact for 2012 and 2013 yet available. Fiscal Year 2014: (A) Water Quality
No projections: Zeroed out in 2014 budget

SPECIAL NOTE:

In 2014, the Water Quality program will be supported under AFRI's new Water and Water Resources Challenge Area

(B) National Integrated Food Safety
Funding is not anticipated for FY 2014.

(C) Integrated Pest Management Centers (Regional)
No data available. Pertinent data to be provided by Program at a future date.

SPECIAL NOTE:
Funds are supported under Crop Protection/Pest Management to consolidate integrated pest management activities in 2014.

(D) Crops at Risk from FQPA Implementation
This program has not been recently funded.

(E) FQPA Risk Mitigation Program
This program has not been recently funded.

(F) Methyl bromide Transition Program
Pertinent data to be provided by Program at a future date.

(G) Organic Transition - Risk Assessment
Pertinent data to be provided by Program at a future date.

(H) Regional Rural Development Centers
No projections are currently available. Pertinent data to be provided by Program at a future date.

CRITERIA FOR SELECTING PROPOSALS:

Within guidelines established for the program as described in the Request for Application (RFA).

10.304 HOMELAND SECURITY_AGRICULTURAL

FOOD AND AGRICULTURE DEFENSE INITIATIVE (FADI)
(aka Homeland Security Program)

FEDERAL AGENCY:

National Institute of Food and Agriculture, Department of Agriculture

AUTHORIZATION:

National Agricultural Research, Extension, and Teaching Policy Act of 1977 (NARETPA), Section 1472, 7 U.S.C. 3318. , 7 U.S.C 3318.

OBJECTIVES:

To provide funding to protect the food supply and agricultural production. This is accomplished by providing federal assistance, through existing cooperative agreements, to four (4) national agricultural homeland security networks: the National Animal Health Laboratory Network (NAHLN), the National Plant Diagnostic Network (NPDN), the Extension Disaster Education Network (EDEN), and the Pest Information Platform for Education and Extension (ipmPIPE).

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

These funds are subject to the provisions of 31 U.S.C. 1301 requiring their use only for the purpose for which they were appropriated. Funding is limited to support for the four (4) aforementioned agrosecurity networks. Section 704 of the Consolidated and Further Continuing Appropriations Act, 2012 (P.L. 112-55) limits indirect costs to 10 percent of the total Federal funds provided under each cooperative agreements or similar arrangements. Therefore, when preparing budgets, applicants should limit their requests for recovery of indirect costs to the lesser of their institutions official negotiated indirect cost rate or the equivalent of 10 percent of total Federal funds awarded. Fully discretionary.

Applicant Eligibility:

In accordance with section 1472(c) of the National Agricultural Research, Extension, and Teaching Policy Act of 1977, (NARETPA) applicant may be:

State agricultural experiment stations, State cooperative extension services, all colleges and universities, other research or education institutions and organizations, Federal and private agencies and organizations, individuals, and any other contractor or recipient, either foreign or domestic, to further research, extension, or teaching programs in the food and agricultural sciences of the Department of Agriculture.

Beneficiary Eligibility:

In accordance with section 1472(c) of the National Agricultural Research, Extension, and Teaching Policy Act of 1977, (NARETPA) applicant may be: State agricultural experiment stations, State cooperative extension services, all colleges and universities, other research or education institutions and organizations, Federal and private agencies and organizations, individuals, and any other contractor or recipient, either foreign or domestic, to further research, extension, or teaching programs in the food and agricultural sciences of the Department of Agriculture.

Credentials/Documentation:

No Credentials or documentation are required. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

All RFAs are published on the Agencies website and Grants.gov. Applicants must complete the Grants.gov registration process. Please see the following Grants.gov link for more information:

http://www.grants.gov/applicants/get_registered.jsp. The National Institute of Food and Agriculture (NIFA) solicits proposals to fund existing and new cooperative agreements through a request for application process. An environmental impact statement is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applications should be submitted as outlined in the RFA. Applications must follow the instructions provided per Grants.Gov and in the Agency guide to submitting applications via Grants.gov.

Award Procedure:

In Fiscal Year (FY) 2002, a panel of USDA scientists knowledgeable in the Nation's plant and animal disease diagnostic capability, recommended to the Secretary a plan of initiating a comprehensive national network of laboratories for the rapid detection and diagnosis of plant and animal diseases. The institutions selected by the panel for initial inclusion in the network were recommended using the following criteria:

- (1) Existing scientific capacity;
- (2) Existing laboratory capacity which could be modified to meet Biosafety Level 3 requirements;
- (3) Both animal and plant diagnostic capabilities;
- (4) Geographical location meeting one or more of the following requirements: located in an area with a high risk of disease/pest incursions; located in an area with high concentration of vulnerable agricultural activity; complements or supplements regional Federal laboratory activity or is in an area not effectively served by an existing Federal facility;
- (5) State-of-the-art communication and information technology systems. Must be capable of managing secure communications with other laboratories and central reporting unit; and
- (6) An effective outreach/extension system.

These recommendations were utilized to select the original cooperative agreement recipients and are annually evaluated by USDA/NIFA in network planning.

Proposals are subject to a merit review process conducted by NIFA staff members.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. Dates for specific deadlines are announced in the RFA each fiscal year (FY).

Appeals:

Not Applicable.

Renewals:

Specific details are provided in the Request for Applications (RFA) each fiscal year.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. Funds are awarded competitively.

No formula grants are awarded under Subtitle K of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 [7 U.S.C. 3319e].

NIFA does not require matching or cost sharing support for this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The term of a Cooperative Agreement under this authority may not exceed five (5) years. Method of awarding/releasing assistance: by letter of credit.

Reports:

Grantees are to submit initial project information and annual summary reports to NIFAs electronic, Web-based inventory system that facilitates both grantee submissions of project outcomes and public access to information on Federally-funded projects. The details of the reporting requirements are included in the award terms and conditions. Grant terms and conditions will specify reporting requirements.

Additional reporting may be mutually negotiated and articulated in the cooperative agreement. NIFA uses SF-425, Federal Financial Report to monitor cash. Grantees are to submit initial project information and annual summary reports to NIFAs electronic, Web-based inventory system that facilitates both grantee submissions of project outcomes and public access to information on Federally-funded projects. The details of the reporting requirements are included in the award terms and conditions. Grant terms and conditions will specify reporting requirements.

Additional reporting may be mutually negotiated and articulated in the cooperative agreement. A final Financial Status Report (SF-269) or Federal Financial Report (SF-425) is due within 90 days of the expiration date of the grant and should be submitted to the address listed below, in accordance with instructions contained in 2 CFR 3430.55 (also refer to Section 3015.82 of the Uniform Federal Assistance Regulations).

Awards Management Division (AMD)
Office of Grants and Financial Management (OGFM)
National Institute of Food and Agriculture (NIFA)
U.S. Department of Agriculture (USDA)
STOP 2271
1400 Independence Avenue, SW
Washington, DC 20250-2271

Telephone: (202) 401-4986. Grantees are to submit initial project information and annual summary reports to NIFAs electronic, Web-based inventory system that facilitates both grantee submissions of project outcomes and public access to information on Federally-funded projects. The details of the reporting requirements are included in the award terms and conditions. Grant terms and conditions will specify reporting requirements.

Additional reporting may be mutually negotiated and articulated in the cooperative agreement.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audits will be conducted in accordance with guidelines established in the revised OMB Circular No. A-133, Audits of States, Local Governments, and Nonprofit Organizations, and implemented in 7 CFR 3052. This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

In accordance with the Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and other Non-profit Organizations [2 CFR 215, Subpart C, Section 215.53, (OMB Circular A-110)] grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be retained at least three (3) years. Records must be retained beyond the three-year period if litigation is pending or audit findings have not been resolved.

Account Identification:

12-1502-0-1-352.

Obligations:

(Cooperative Agreements) FY 12 \$5,735,940; FY 13 est \$5,296,030; and FY 14 est \$5,748,480 - The difference between the appropriation and obligation numbers reflects legislative authorized set-asides deducted as appropriate, and in some cases the availability of obligational authority from prior years.

Range and Average of Financial Assistance:

If minimum or maximum amounts of funding per competitive project grant or cooperative agreement are established, these will be announced in the annual program announcement or Request for Application (RFA).

It is anticipated that awards will range from \$250,000 to \$1,000,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: This program supported three multi-institutional homeland security networks via non-competitively awarded cooperative agreements: The National Plant Diagnostic Network, the National Animal Health Laboratory Network, and the Extension Disaster Education Network. The agency made 35 new awards based on 35 proposals. Fiscal Year 2013: This program supports three multi-institutional homeland security networks via non-competitively awarded cooperative agreements: The National Plant Diagnostic Network, the National Animal Health Laboratory Network, and the Extension Disaster Education Network. The agency will make 35 awards based on 35 existing cooperative agreements. Fiscal Year 2014: This program is intended to support three multi-institutional homeland security networks via non-competitively awarded cooperative agreements: The National Plant Diagnostic Network, the National Animal Health Laboratory Network, and the Extension Disaster Education Network. The agency intends to make 35 awards based on 35 existing cooperative agreements.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 3430, Competitive and Noncompetitive Non-Formula Federal Assistance Programs General Award Administrative Provisions and Program-Specific Administrative Provisions; 7 CFR Part 3015, USDA Uniform Federal Assistance Regulations; 7 CFR Part 3017, Government wide Debarment and Suspension (Nonprocurement) ; 7 CFR Part 3018, New Restrictions on Lobbying; 7 CFR Part 3019, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-profit Organizations; and 7 CFR Part 3021 USDA implementation of Governmentwide Requirements for Drug-free Workplace (Financial Assistance).

Regional or Local Office:

None.

Headquarters Office:

USDA, NIFA, National Program Leader, Office of the Director, 1400 Independence Avenue, SW, STOP 2201, Washington, District of Columbia

20250-2201 Phone: (202) 401-1112 Fax: (202) 401-6156

Website Address:

<http://www.nifa.usda.gov/>

RELATED PROGRAMS:

10.310 Agriculture and Food Research Initiative (AFRI) ; 10.500 Cooperative Extension Service

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: The purpose of one (1) project is to further integrate and expand Extension's educational role before, during and after a disaster, using the all-hazards approach adopted by the U.S. Department of Homeland Security. This project helped EDEN provide strategic guidance and support to NIFA, USDA, and member institutions in disaster education. Specifically, with this project, EDEN continued use of its network and communication tools to distribute educational materials and information aimed at reducing the impacts of disasters. This project made Extension a more effective partner in food and agricultural defense education by continuing maintenance, promotion, and use of previously developed EDEN materials and the development of new materials.

One (1) project addressed the coordination and collection of diagnostic information from land grant universities and state departments of agriculture in a standardized manner insuring the quality of information. The purpose of this project was to enable complex pattern analysis of diagnostic information collected in order to detect anomalies, thus allowing recognition of new outbreaks earlier than is currently possible.

Five (5) different projects enabled regionally diverse institutions to host regional diagnostic centers for the National Plant Diagnostics Network (NPDN). The NPDN, working together with various federal and state agencies, has the overall goal of enhancing national agricultural security from bioterrorist attack through the protection of health and productivity of plants in agricultural and natural ecosystems in the U.S. The specific purpose of this network is to provide a functional nationwide network of public agricultural institutions with a cohesive, distributed system to quickly detect high consequence pests (here defined as arthropods and weeds) and biological pathogens (here defined as plant microbial disease agents and nematodes) that have been introduced intentionally or accidentally into agricultural and natural ecosystems, identify them, and immediately report them to appropriate responders and decision makers.

Twenty eight (28) projects supported National Animal Health Laboratory Network (NAHLN) laboratories. The NAHLN has implemented national surveillance systems for highly pathogenic avian influenza, classical swine fever, bovine spongiform encephalopathy, and other important animal diseases. These surveillance programs have been used, among other things, to demonstrate freedom from disease in support of international trade, resulting in increased trade income. Fiscal Year 2013: Awards have not been finalized. It is anticipated that projects will be similar to FY 2012 project. Pertinent data will be provided by Program at a future date. Fiscal Year 2014: It is anticipated that projects will be similar to FY 2012 project. Pertinent data will be provided by Program at a future date.

CRITERIA FOR SELECTING PROPOSALS:

In Fiscal Year (FY) 2002, a panel of USDA scientists knowledgeable in the Nation's plant and animal disease diagnostic capability, recommended to the Secretary a plan of initiating a comprehensive national network of laboratories for the rapid detection and diagnosis of plant and animal diseases. The institutions selected by the panel for initial inclusion in the network were recommended using the following criteria:

- (1) Existing scientific capacity;
- (2) Existing laboratory capacity which could be modified to meet Biosafety Level 3 requirements;
- (3) Both animal and plant diagnostic capabilities;
- (4) Geographical location meeting one or more of the following requirements:

located in an area with a high risk of disease/pest incursions; located in an area with high concentration of vulnerable agricultural activity; complements or supplements regional Federal laboratory activity or is in an area not effectively served by an existing Federal facility;

(5) State-of-the-art communication and information technology systems. Must be capable of managing secure communications with other laboratories and central reporting unit; and

(6) An effective outreach/extension system.

These recommendations were utilized to select the original cooperative agreement recipients and are annually evaluated by USDA/NIFA in network planning.

10.305 INTERNATIONAL SCIENCE AND EDUCATION GRANTS (INTERNATIONAL SCIENCE AND EDUCATION (ISE) COMPETITIVE GRANTS PROGRAM)

FEDERAL AGENCY:

National Institute of Food and Agriculture, Department of Agriculture

AUTHORIZATION:

Section 229 of the Agricultural Research, Extension and Education Reform Act of 1998 (AREERA) amended Section 1459 of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 (NARETPA) (7 U.S.C. Section 3292b) to authorize the Secretary of Agriculture to make competitive grants to colleges and universities in order to strengthen United States economic competitiveness and to promote international market development.

Section 7141 of the Food, Conservation, and Energy Act of 2008 (7 U.S.C. 8701 note) authorized the International Science and Education Competitive Grants Program (ISE).

, 7 U.S.C 3292b.

OBJECTIVES:

The purpose of ISE is to support the internationalization of food, agriculture and related programs at U.S. universities and colleges. It is intended that ISE will improve the ability of American students, business people, and community members to compete more effectively in the global world of agriculture. ISE projects are to strengthen the global competence and competitiveness of American colleges, universities and businesses in the food, agriculture, and related sectors. In addition, ISE projects must be directed to agricultural research, extension, and/or teaching activities that enhance the capabilities of American colleges and universities to conduct international collaborative research, extension and teaching. Our success in the global arena will increasingly require U.S. colleges and universities to produce graduates who can operate competently in domestic and international settings. Through their outreach efforts, universities and colleges also play a critical role in helping U.S. producers successfully operate in foreign markets.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Funded projects will respond to specific needs and strengths of the university or college that will enable it to strengthen the global competence of students, faculty and staff in agriculture and related areas and enhance business performance in international agriculture and related sectors through extension, research and/or teaching programs. Each institution may submit no more than two proposals as the lead institution. No institution acting as the principal or lead institution will receive more than one grant during the current competition. Therefore, institutions are encouraged to establish on-campus quality control mechanisms to ensure that only the highest quality proposals are submitted for consideration.

Program funds may not be used for the renovation or refurbishment of research, education, or extension space; the purchase or installation of fixed equipment in such space; or the planning, repair, rehabilitation, acquisition, or construction of

buildings or facilities (i.e., greenhouses, laboratories, or other structures).

Section 7132 of the Food, Conservation, and Energy Act of 2008, amended the National Agriculture Research, Extension, and Teaching Policy Act of 1977 (7 U.S.C. 3310(a)), limiting indirect costs to 22 percent of the total Federal funds provided under each award. Therefore, when preparing budgets, applicants should limit their requests for recovery of indirect costs to the lesser of their institutions official negotiated indirect cost rate or the equivalent of 22 percent of total Federal funds awarded.

****Special Note on Indirect Costs as in-kind matching contributions.** Indirect costs may be claimed under the Federal portion of the award budget or, alternatively, indirect costs may be claimed as a matching contribution (if no indirect costs are requested under the Federal portion of the award budget). However, unless explicitly authorized in the RFA, indirect costs may not be claimed on both the Federal portion of the award budget and as a matching contribution, unless the total claimed on both the Federal portion of the award budget and as a matching contribution does not exceed the maximum allowed indirect costs or the institutions negotiated indirect cost rate, whichever is less. An awardee may split the allocation between the Federal and non-Federal portions of the budget only if the total amount of indirect costs charged to the project does not exceed the maximum allowed indirect costs or the institutions negotiated indirect cost rate, whichever is less. For example, if an awardee's indirect costs are capped at 22 percent pursuant to section 1462(a) of NARETPA (7 U.S.C. 3310(a)), the awardee may request 11 percent of the indirect costs on both the Federal portion of the award and as a matching contribution. Or, the awardee may request any similar percentage that, when combined, does not exceed the maximum indirect cost rate of 22 percent. Fully discretionary.

Applicant Eligibility:

Applications may be submitted by colleges and universities and defined in section 1404 of NARETPA. The terms college and university mean an educational institution in any State which (1) admits as regular students only persons having a certificate of graduation from a school providing secondary education, or the recognized equivalent of such a certificate; (2) is legally authorized within such State to provide a program of education beyond secondary education; (3) provides an education program for which a bachelor's degree or any other higher degree is awarded; (4) is a public or other nonprofit institution; and (5) is accredited by a nationally recognized accrediting agency or association.

Beneficiary Eligibility:

Colleges and universities; public or other nonprofit institutions.

Credentials/Documentation:

No Credentials or documentation are required. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

All RFAs are published on the Agency's website and Grants.gov. Applicants must complete the Grants.gov registration process. Please see the following Grants.gov link for more information: http://www.grants.gov/applicants/get_registered.jsp. An environmental impact statement is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applications should be submitted as outlined in the RFA. Applications must follow the instructions provided per Grants.gov and in the Agency guide to submitting applications via Grants.gov.

Award Procedure:

Applications are subjected to a system of peer and merit review in accordance with section 103 of the Agricultural Research, Extension and Education Reform Act of 1998 (7 U.S.C. 1613) by a panel of qualified scientists and other appropriate persons who are specialists in the field covered by the proposal. Within the limit of funds available for such purpose, the NIFA Authorized Departmental Officer (ADO) shall make grants to those responsible, eligible applicants whose applications are judged most meritorious under the procedures set forth in the RFA.

Reviewers will be selected based upon training and experience in relevant scientific, extension, or education fields, taking into account the following factors:

- (a) The level of relevant formal scientific, technical education, or extension experience of the individual, as well as the extent to which an individual is engaged in relevant research, education, or extension activities;
- (b) the need to include as reviewers experts from various areas of specialization within relevant scientific, education, or extension fields;
- (c) the need to include as reviewers other experts (e.g., producers, range or forest managers/operators, and consumers) who can assess relevance of the applications to targeted audiences and to program needs;
- (d) the need to include as reviewers experts from a variety of organizational types (e.g., colleges, universities, industry, state and Federal agencies, private profit and non-profit organizations) and geographic locations;
- (e) the need to maintain a balanced composition of reviewers with regard to minority and female representation and an equitable age distribution; and
- (f) the need to include reviewers who can judge the effective usefulness to producers and the general public of each application.

Evaluation Criteria will be delineated in the RFA.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Section :094 - Deadlines:

Dates for specific deadlines are announced in the RFA each fiscal year.

Information is also available via our website and may be obtained via the Grants.gov website. Respective links are provided below:

<http://www.nifa.usda.gov/>

<http://www.grants.gov>

Section :095 - Range of Approval/Disapproval Time:

From 30 to 180 days.

Appeals:

Not Applicable.

Renewals:

Specific details are provided in the Request for Applications (RFA) each fiscal year.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

This program has no matching requirements. Funds are awarded competitively.

No formula grants are awarded under Subtitle K of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 [7 U.S.C. 3319e].

NIFA does not require matching or cost sharing support for this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The term of a competitive grant under this authority may not exceed five (5) years. Method of awarding/releasing assistance: by letter of credit.

Reports:

Grantees are to submit initial project information and annual summary reports to NIFAs electronic, Web-based inventory system that facilitates both grantee submissions of project outcomes and public access to information on Federally-funded projects. The details of the reporting requirements are included in the award terms and conditions. NIFA uses the SF-425, Federal

Financial Report to monitor cash. Grantees are to submit initial project information and annual summary reports to NIFAs electronic, Web-based inventory system that facilitates both grantee submissions of project outcomes and public access to information on Federally-funded projects. The details of the reporting requirements are included in the award terms and conditions. A final Financial Status Report (SF-269) or Federal Financial Report (SF-425) is due within 90 days of the expiration date of the grant and should be submitted to the Awards Management Branch, Office of Extramural Programs at the address listed below, in accordance with instructions contained in 2 CFR 3430.55 (also refer to Section 3015.82 of the Uniform Federal Assistance Regulations).

Awards Management Division (AMD)
Office of Grants and Financial Management (OGFM)
National Institute of Food and Agriculture (NIFA)
Department of Agriculture (USDA)
STOP 2271
1400 Independence Avenue, SW
Washington, DC 20250-2271

Telephone: (202) 401-4986. Grantees are to submit initial project information and annual summary reports to NIFAs electronic, Web-based inventory system that facilitates both grantee submissions of project outcomes and public access to information on Federally-funded projects. The details of the reporting requirements are included in the award terms and conditions.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audits will be conducted in accordance with guidelines established in the revised OMB Circular No. A-133, Audits of States, Local Governments, and Nonprofit Organizations, and implemented in 7 CFR 3052. This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

In accordance with the Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and other Non-profit Organizations [2 CFR 215, Subpart C, Section 215.53, (OMB Circular A-110)] grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be retained at least 3 years. Records must be retained beyond the 3-year period if litigation is pending or audit findings have not been resolved.

Account Identification:

12-1502-0-1-352.

Obligations:

(Project Grants) FY 12 \$0; FY 13 est \$0; and FY 14 est \$0 - The difference between the appropriation and obligation numbers reflects legislative authorized set-asides deducted as appropriate, and in some cases the availability of obligational authority from prior years.

Effective Fiscal Year 2012, this program is no longer being funded.

Range and Average of Financial Assistance:

If minimum or maximum amounts of funding per competitive project grant or cooperative agreement are established, these will be announced in the annual program announcement or Request for Application (RFA).

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Program was not funded in FY '12. Fiscal Year 2013: Program was not funded in Presidents FY '13 budget. Fiscal Year 2014: No Current Data Available. Pertinent data will be provided by Program at a future date.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 3430, Competitive and Noncompetitive Non-formula Grant

Programs General Grant Administrative Provisions and Program-Specific Administrative Provisions; 7 CFR Part 3015, USDA Uniform Federal Assistance Regulations; 7 CFR Part 3017, Government wide Debarment and Suspension (Nonprocurement) ; 7 CFR Part 3018, New Restrictions on Lobbying; 7 CFR Part 3019, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-profit Organizations; and 7 CFR Part 3021 USDA implementation of Governmentwide Requirements for Drug-free Workplace (Financial Assistance).

Regional or Local Office:

None.

Headquarters Office:

USDA, NIFA, National Program Leader, Center for International Programs, 1400 Independence Avenue, SW., STOP 2203, Washington, District of Columbia 20250-2203 Phone: (202) 720-3801 Fax: (202) 690-2355

Website Address:

<http://www.nifa.usda.gov/>

RELATED PROGRAMS:

10.001 Agricultural Research_Basic and Applied Research; 10.200 Grants for Agricultural Research, Special Research Grants; 10.202 Cooperative Forestry Research; 10.203 Payments to Agricultural Experiment Stations Under the Hatch Act; 10.205 Payments to 1890 Land-Grant Colleges and Tuskegee University; 10.207 Animal Health and Disease Research; 10.210 Food and Agricultural Sciences National Needs Graduate Fellowship Grants; 10.216 1890 Institution Capacity Building Grants; 10.219 Biotechnology Risk Assessment Research; 10.226 Secondary and Two-Year Postsecondary Agriculture Education Challenge Grants; 10.250 Agricultural and Rural Economic Research; 10.652 Forestry Research

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: The following on-going Projects were funded in prior fiscal years:

Enhance agricultural research, teaching, training, and extension activities in the US by exposing American undergraduate and graduate students and interested faculty to direct interactions with all levels of Spain's well-established, sustainable, and technologically-advanced marine aquaculture industry.

Establish an international, interdisciplinary research and educational collaboration leading to innovative approaches for management of water for agricultural uses, essential both in Israel and the US.

Enhance international experience and knowledge of U.S. university faculty, staff, students and extension agents and fortify their global competitiveness in the areas of ICT-Agriculture and Precision Agriculture.

Strengthen the global competitiveness of students and faculty in the area of functional food research, education and extension. These objectives will be achieved through curriculum enhancement, student internships, faculty visits, and development of close collaborations with international partners in France, Brazil, Mexico, and China.

Strengthen the global competence of minority students and faculty in forestry, agriculture, and climate change education, research, and outreach through collaborative programs with China. Fiscal Year 2013: The following on-going Projects were funded in prior fiscal years:

Internationalize the season extension production technology for undergraduate students in Southeastern US to prepare them as the leaders of the next generation in agriculture.

To conduct international collaborative research, extension and teaching in aquaculture and seafood processing, safety and quality in China and produce graduates who can operate competently in international settings.

Develop or enhance curricula related to food security, biodiversity, biofuels,

and international trade for developed and developing countries, using the U.S. and Brazil as examples.

Strengthen the global competence of faculty at all partner institutions, provide cross-cultural and service-learning experience for U.S. students at and enhance the potential for Georgia businesses to compete in India.

Establish a trilateral collaboration between universities, non-profits and food processing industry in the US, Mozambique and South Africa with the over-arching goal of enhancing the global intelligence quotient of U.S. students, and building the capacity of the U.S. universities and industry to participate in the growth potential that exists in the Southern African region. Fiscal Year 2014: No Projected Data Available. Pertinent data will be provided by Program at a future date.

CRITERIA FOR SELECTING PROPOSALS:

Within guidelines established for the program as described in the Request for Application (RFA).

10.306 BIODIESEL

(Biodiesel Fuel Education Program)

FEDERAL AGENCY:

National Institute of Food and Agriculture, Department of Agriculture

AUTHORIZATION:

Section 9006 of the Food, Conservation, and Energy Act of 2008 (Public Law 110-246), amended the Farm Security and Rural Investment Act of 2002 (7 U.S.C. 8101 et seq.) to re-establish the Biodiesel Fuel Education Program which requires that the Secretary make competitive grants to eligible entities to educate governmental and private entities that operate vehicle fleets, other interested entities (as determined by the Secretary), and the public about the benefits of biodiesel fuel use. The Secretary delegated this authority to the Chief Economist, who in turn delegated this authority to the Director of the Office of Energy Policy and New Uses (OEPNU). OEPNU has joined with NIFA for assistance in administering Biodiesel Education under the authorities found in the Economy Act (31 U.S.C. 1535) and Section 1472 of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 (7 U.S.C. 3318). Specific Biodiesel Education administrative provisions are found in 7 CFR 2903., 7 U.S.C 3318.

OBJECTIVES:

The goals of the Biodiesel Fuel Education Program as originally established in Section 9004 of the Farm Security Investment Act of 2002 (7 U.S.C. 8104) were to stimulate biodiesel consumption and to stimulate the development of a biodiesel infrastructure. The information and outreach activities to raise awareness of the benefits of biodiesel fuel use complemented the incentives provided by the Energy Policy Act of 2005 (EPAct) (Public Law 109-58), and the Energy Independence and Security Act of 2007 (Public Law 110-140). As a result of increased awareness and consumption of biodiesel over the past 5 years, the FY 2008 Biodiesel Education program will focus on educational programs which will support advances in infrastructure, technology transfer, fuel quality, fuel safety and increasing feedstock production.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

This funding is used for education and outreach activities. Program funds may not be used for renovation or refurbishment or research space; the purchase or installation of fixed equipment in such space; or the planning, repair, rehabilitation, acquisition or construction of buildings or facilities. Grant funds must be used for allowable costs necessary to conduct approved research and extension objectives. Funds may not be used for any purposes other than those approved in the grant award documents.

Section 720 of the Consolidated and Further Continuing Appropriations Act, 2012 (Pub.L. No. 112-55) limits indirect costs to 30 percent of the total Federal funds provided under each award. Therefore, when preparing budgets, applicants should limit their requests for recovery of indirect costs to the lesser of their institutions official negotiated indirect cost rate or the equivalent of 30 percent of total Federal funds awarded.

Funds made available under Biodiesel Education shall not be used for the construction of a new building or facility or the acquisition, expansion, remodeling, or alteration of an existing building or facility (including site grading and improvement, and architect fees).

Applicant Eligibility:

Eligibility is restricted to nonprofit organizations or institution of higher education (as defined in section 101 of the Higher Education Act of 1965 (20 U.S.C. 1001)).

Beneficiary Eligibility:

Nonprofit organizations or institutions of higher education (as defined in section 101 of the Higher Education Act of 1965 (20 U.S.C. 1001)).

Credentials/Documentation:

No Credentials or documentation are required. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

All RFAs are published on the Agency's website and Grants.gov. Applicants must complete the Grants.gov registration process. Please see the following Grants.gov link for more information: http://www.grants.gov/applicants/get_registered.jsp. An environmental impact statement is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applications should be submitted as outlined in the RFA. Applications must follow the instructions provided per Grants.gov and in the Agency guide to submitting applications via Grants.gov.

Award Procedure:

Applications are subjected to a system of peer and merit review in accordance with section 103 of the Agricultural Research, Extension and Education Reform Act of 1998 (7 U.S.C. 1613) by a panel of qualified scientists and other appropriate persons who are specialists in the field covered by the proposal. Within the limit of funds available for such purpose, the NIFA Authorized Departmental Officer (ADO) shall make grants to those responsible, eligible applicants whose applications are judged most meritorious under the procedures set forth in the RFA.

Reviewers will be selected based upon training and experience in relevant scientific, extension, or education fields, taking into account the following factors:

- (a) The level of relevant formal scientific, technical education, or extension experience of the individual, as well as the extent to which an individual is engaged in relevant research, education, or extension activities;
- (b) the need to include as reviewers experts from various areas of specialization within relevant scientific, education, or extension fields;
- (c) the need to include as reviewers other experts (e.g., producers, range or forest managers/operators, and consumers) who can assess relevance of the applications to targeted audiences and to program needs;
- (d) the need to include as reviewers experts from a variety of organizational types (e.g., colleges, universities, industry, state and Federal agencies, private profit and non-profit organizations) and geographic locations;
- (e) the need to maintain a balanced composition of reviewers with regard to minority and female representation and an equitable age distribution; and
- (f) the need to include reviewers who can judge the effective usefulness to producers and the general public of each application.

Evaluation Criteria will be delineated in the RFA.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Section :094 - Deadlines:

Dates for specific deadlines are announced in the RFA each fiscal year. Information is also available via our website and may be obtained via the Grants.gov website. Respective links are provided below:
<http://www.nifa.usda.gov/>
<http://www.grants.gov>

Section :095 - Range of Approval/Disapproval Time:

From 30 to 180 days.

Appeals:

Not Applicable.

Renewals:

Awards are made as continuation grants, and subsequent annual funding is provided based on demonstrated progress.

Specific details are provided in the Request for Applications (RFA) each fiscal year.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

This program has no matching requirements. Funds are awarded competitively.

No formula grants are awarded under Subtitle K of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 [7 U.S.C. 3319e].

NIFA does not require matching or cost sharing support for this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The term of a competitive grant under this authority may not exceed five (5) years.

Same level of funding for five years. Method of awarding/releasing assistance: by letter of credit.

Reports:

Grantees are to submit initial project information and annual summary reports to NIFAs electronic, Web-based inventory system that facilitates both grantee submissions of project outcomes and public access to information on Federally-funded projects. The details of the reporting requirements are included in the award terms and conditions. NIFA uses the SF-425, Federal Financial Report to monitor cash. Grantees are to submit initial project information and annual summary reports to NIFAs electronic, Web-based inventory system that facilitates both grantee submissions of project outcomes and public access to information on Federally-funded projects. The details of the reporting requirements are included in the award terms and conditions. A final Financial Status Report (SF-269) or Federal Financial Report (SF-425) is due within 90 days of the expiration date of the grant and should be submitted to the Awards Management Branch, Office of Extramural Programs at the address listed below, in accordance with instructions contained in 2 CFR 3430.55 (also refer to Section 3015.82 of the Uniform Federal Assistance Regulations).

Awards Management Division (AMD)
Office of Grants and Financial Management (OGFM)
National Institute of Food and Agriculture (NIFA)
Department of Agriculture (USDA)
STOP 2271

1400 Independence Avenue, SW
Washington, DC 20250-2271

Telephone: (202) 401-4986. Grantees are to submit initial project information and annual summary reports to NIFAs electronic, Web-based inventory system that facilitates both grantee submissions of project outcomes and public access to information on Federally-funded projects. The details of the reporting requirements are included in the award terms and conditions.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June

27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audits will be conducted in accordance with guidelines established in the revised OMB Circular No. A-133, Audits of States, Local Governments, and Nonprofit Organizations, and implemented in 7 CFR 3052. This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

In accordance with the Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and other Non-profit Organizations [2 CFR 215, Subpart C, Section 215.53, (OMB Circular A-110)] grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be retained at least three (3) years. Records must be retained beyond the three-year period if litigation is pending or audit findings have not been resolved.

Account Identification:

12-0123-0-1-352.

Obligations:

(Project Grants) FY 12 \$960,000; FY 13 est \$0; and FY 14 est \$0 - The difference between the appropriation and obligation numbers reflects legislative authorized set-asides deducted as appropriate, and in some cases the availability of obligational authority from prior years.

NOTE:

Mandatory funding for the program expired at the end of September 2012. Legislation to extend the Farm bill did not include these mandatory funds.

Range and Average of Financial Assistance:

If minimum or maximum amounts of funding per competitive project grant or cooperative agreement are established, these will be announced in the annual program announcement or Request for Application (RFA).

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: This competitive grant program made two awards in FY 2008. The awards were made as continuation grants through FY 2012 pending satisfactory annual progress as determined by an inter-agency oversight committee. The program is funded annually at \$1M. Fiscal Year 2013: The program continued to support the work of the program and the efforts at the current University. Fiscal Year 2014: The continuation of the program is contingent on the passage and status of the Farm Bill.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 3430, Competitive and Noncompetitive Non-formula Grant Programs General Grant Administrative Provisions and Program-Specific Administrative Provisions; 7 CFR Part 3015, USDA Uniform Federal Assistance Regulations; 7 CFR Part 3017, Government wide Debarment and Suspension (Nonprocurement) ; 7 CFR Part 3018, New Restrictions on Lobbying; 7 CFR Part 3019, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-profit Organizations; and 7 CFR Part 3021 USDA implementation of Governmentwide Requirements for Drug-free Workplace (Financial Assistance).

Regional or Local Office:

None.

Headquarters Office:

USDA, NIFA, National Program Leader, Institute of Bioenergy, Climate, and Environment, Division of Bioenergy, 1400 Independence Avenue, SW., STOP 2210, Washington, District of Columbia 20250-2210 Phone: (202) 401-5244 Fax: (202) 401-2653.

Website Address:

<http://www.nifa.usda.gov/>

RELATED PROGRAMS:

10.310 Agriculture and Food Research Initiative (AFRI)

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: This education and outreach program has increased consumer awareness from 27% in 2003 to 86% in 2012. Much progress has been made in garnering auto, engine and equipment manufacturers support for the use of biodiesel. Nearly 60% of U.S. engine manufacturers now support the use of biodiesel blends.

The Biodiesel Fuel Education Program is committed to providing unbiased, science-based information about biodiesel for biodiesel producers and distributors, fleet operators, farmers and feedstock producers, policy makers, and consumers. Fiscal Year 2013: In partnership with the Office of the Chief Economist, the program continued to support the work of the program and the efforts at the current University. Fiscal Year 2014: The continuation of the program is contingent on the passage and status of the Farm Bill.

CRITERIA FOR SELECTING PROPOSALS:

Within guidelines established for the program as described in the Request for Application (RFA).

10.307 ORGANIC AGRICULTURE RESEARCH AND EXTENSION INITIATIVE

(OREI)

FEDERAL AGENCY:

National Institute of Food and Agriculture, Department of Agriculture

AUTHORIZATION:

Section 7206 of the Food, Conservation, and Energy Act of 2008 (FCEA) amended section 1672B of the Food, Agriculture, Conservation, and Trade (FACT) Act of 1990 (7 U.S.C. 5925b) amending and re-authorizing the Organic Agriculture Research and Extension Initiative (OREI). The FACT Act, as amended, authorizes the Secretary of Agriculture, in consultation with the National Agricultural Research, Extension, Education, and Economics Advisory Board (NAREEEAB), to make competitive grants to support research and extension activities regarding organically grown and processed agricultural commodities for eight legislatively-defined goals. See RFA Part I.B. for further general information and specific details. , 7 U.S.C 5925b.

OBJECTIVES:

Facilitate the development of organic agriculture production, breeding, and processing methods. Functionally identify soil microbial communities and ways to manage microbial dynamics to enhance nutrient cycling and disease suppression; Develop systemic approaches to weed, insect and disease management; Prevent, control, and treat internal and external parasites in various livestock species; and Breed crops for disease and insect resistance, good yield in a biologically diverse system, compatibility with intercrops, good response to organic fertility sources, horizontal resistance (traits determined by multiple genes). Evaluate the potential economic benefits to producers and processors who use organic methods. Analyze potential economic costs, returns and risks of organic production systems; Use environmental valuation tools to quantify externalities of producing food, and compare externalities of producing organic and conventional food; - Analyze price and market structures, including ability of small, medium-, and large-scale growers to access different markets, in order to frame policies that minimize concentration within the industry; and Analyze marketing channels to document how organic food is distributed, what share of the organic food dollar is returned to the farmer, and the implications of large-scale manufacturers entering the organic market. Explore international trade opportunities for organically grown and processed agricultural commodities. Compare compatibility of certification standards used in different parts of the world, with the ultimate goal of harmonization and reciprocity; Undertake marketing studies of international consumer demand for U.S. produced organic goods; and Perform Welfare analyses (quantified gains and losses for producers and consumers) of trade policies affecting international competitiveness, including implementation of the National Organic Program, domestic support programs such as the Conservation Security Act, country of origin labeling, GMO labeling, etc. Determine desirable traits for organic commodities. Examine relationships between nutrients in the soil and nutrients in the food grown on that soil, including long-term soil nutrient and crop nutrient profiles under conventional and organic management; Perform comparisons of nutrient levels between organic and conventional crops and relationship, if any, between taste and

nutrient profile; Investigate the role of post-harvest handling and treatment in the maintenance of quality in fresh market organic products; and Determine the reasons for consumer preferences for organic goods. Identify marketing and policy constraints on the expansion of organic agriculture. Analyze opportunities and constraints to organic agriculture resulting from provisions of the Farm Security and Rural Investment Act of 2002; Investigate specific barriers to markets, such as scale-based regulations that restrict family farm access to processors and/or markets; Study negative lender perception of organic farming and ways to change this; and Analyze regulatory barriers, such as lack of access to Federal farm programs, and developing solutions to these challenges. Conduct advanced on-farm research and development that emphasizes observation of, experimentation with, and innovation for working organic farms, including research relating to production and marketing and to socioeconomic conditions. (Note: Many topics from other goal areas can be conducted on working farms.) Develop rigorous on-farm systems research designs; and Conduct long-term, interdisciplinary systems research.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

The Integrated Organic Program is particularly interested in proposed projects that emphasize research and outreach that assist farmers and ranchers with whole farm planning and ecosystem integration. Projects should plan to deliver applied production information to producers. Fieldwork for both program areas must be done on certified organic land or on land in transition to organic certification, as appropriate to project goals and objectives. Refer to the USDA National Organic Program (<http://www.ams.usda.gov/nop>) for organic production standards. NIFA has determined that grant funds awarded under this authority may not be used for the renovation or refurbishment of research, education, or extension space; the purchase or installation of fixed equipment in such space; or the planning, repair, rehabilitation, acquisition, or construction of buildings or facilities.

Section 7132 of the Food, Conservation, and Energy Act amended section 1462 of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 (7 U.S.C. 3310), increasing the limit on recovery of indirect costs from 19 percent to 22 percent of total Federal funds provided under the award. Therefore, the recovery of indirect costs on awards made by NIFA under this program area may not exceed the lesser of the institution's official negotiated indirect cost rate or the equivalent of 22 percent of total Federal funds awarded.

****Special Note on Indirect Costs as in-kind matching contributions.** Indirect costs may be claimed under the Federal portion of the award budget or, alternatively, indirect costs may be claimed as a matching contribution (if no indirect costs are requested under the Federal portion of the award budget). However, unless explicitly authorized in the RFA, indirect costs may not be claimed on both the Federal portion of the award budget and as a matching contribution, unless the total claimed on both the Federal portion of the award budget and as a matching contribution does not exceed the maximum allowed indirect costs or the institutions negotiated indirect cost rate, whichever is less. An awardee may split the allocation between the Federal and non-Federal portions of the budget only if the total amount of indirect costs charged to the project does not exceed the maximum allowed indirect costs or the institutions negotiated indirect cost rate, whichever is less. For example, if an awardees' indirect costs are capped at 22 percent pursuant to section 1462(a) of NARETPA (7 U.S.C. 3310(a)), the awardee may request 11 percent of the indirect costs on both the Federal portion of the award and as a matching contribution. Or, the awardee may request any similar percentage that, when combined, does not exceed the maximum indirect cost rate of 22 percent.

Applicant Eligibility:

Applications may be submitted by State agricultural experiment stations, all colleges and universities, other research institutions and organizations, Federal agencies, national laboratories, private organizations or corporations, and individuals. For both ORG and OREL, all award recipients may subcontract to organizations not eligible to apply provided such organizations are necessary for the conduct of the project.

Beneficiary Eligibility:

State agricultural experiment stations, all colleges and universities, other

research institutions and organizations, Federal agencies, national laboratories, private organizations or corporations, and individuals.

Credentials/Documentation:

No Credentials or documentation are required. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

All RFAs are published on the Agency's website and Grants.gov. Applicants must complete the Grants.gov registration process. Please see the following Grants.gov link for more information: http://www.grants.gov/applicants/get_registered.jsp. An environmental impact statement is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applications should be submitted as outlined in the RFA. Applications must follow the instructions

Award Procedure:

Applications are subjected to a system of peer and merit review in accordance with section 103 of the Agricultural Research, Extension and Education Reform Act of 1998 (7 U.S.C. 1613) by a panel of qualified scientists and other appropriate persons who are specialists in the field covered by the proposal. Within the limit of funds available for such purpose, the NIFA Authorized Departmental Officer (ADO) shall make grants to those responsible, eligible applicants whose applications are judged most meritorious under the procedures set forth in the RFA.

Reviewers will be selected based upon training and experience in relevant scientific, extension, or education fields, taking into account the following factors:

- (a) The level of relevant formal scientific, technical education, or extension experience of the individual, as well as the extent to which an individual is engaged in relevant research, education, or extension activities;
- (b) the need to include as reviewers experts from various areas of specialization within relevant scientific, education, or extension fields;
- (c) the need to include as reviewers other experts (e.g., producers, range or forest managers/operators, and consumers) who can assess relevance of the applications to targeted audiences and to program needs;
- (d) the need to include as reviewers experts from a variety of organizational types (e.g., colleges, universities, industry, state and Federal agencies, private profit and non-profit organizations) and geographic locations;
- (e) the need to maintain a balanced composition of reviewers with regard to minority and female representation and an equitable age distribution; and
- (f) the need to include reviewers who can judge the effective usefulness to producers and the general public of each application.

Evaluation Criteria will be delineated in the RFA.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Section :094 - Deadlines: Dates for specific deadlines are announced in the RFA each fiscal year. Information is also available via our website and may be obtained via the Grants.gov website.

Respective links are provided below:

- <http://www.nifa.usda.gov/>
- <http://www.grants.gov>

Section :095 - Range of Approval/Disapproval Time: From 30 to 180 days.

Appeals:

Not Applicable.

Renewals:

Specific details are provided in the Request for Applications (RFA) each fiscal year.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching Requirements: Percent: 100%. Funds are awarded competitively.

No formula grants are awarded under Subtitle K of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 [7 U.S.C. 3319e].

Cost Sharing or Matching:

(1) General Requirement

The Secretary shall require the recipient of a grant under this section to provide funds or in-kind support from non-Federal sources in an amount at least equal to the amount provided by the Federal Government.

See R&R Budget section regarding matching funds Part IV, B., 6. of this RFA for more details.

(2) Waiver

NIFA may waive the matching funds requirement specified in the above paragraph for a grant if NIFA determines that:

(a) the results of the project, while of particular benefit to a specific agricultural commodity, are likely to be applicable to agricultural commodities generally; or

(b) the project involves a minor commodity, the project deals with scientifically important research, and the grant recipient is unable to satisfy the matching funds requirement.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The term of a competitive grant under this authority may not exceed five (5) years. Method of awarding/releasing assistance: by letter of credit.

Reports:

Grantees are to submit initial project information and annual summary reports to NIFAs electronic, Web-based inventory system that facilitates both grantee submissions of project outcomes and public access to information on Federally-funded projects. The details of the reporting requirements are included in the award terms and conditions. NIFA uses the SF-425, Federal Financial Report to monitor cash. Grantees are to submit initial project information and annual summary reports to NIFAs electronic, Web-based inventory system that facilitates both grantee submissions of project outcomes and public access to information on Federally-funded projects. The details of the reporting requirements are included in the award terms and conditions. A final Financial Status Report (SF-269) or Federal Financial Report (SF-425) is due within 90 days of the expiration date of the grant and should be submitted to the address listed below, in accordance with instructions contained in 2 CFR 3430.55 (also refer to Section 3015.82 of the Uniform Federal Assistance Regulations).

Awards Management Division (AMD)
Office of Grants and Financial Management (OGFM)
National Institute of Food and Agriculture (NIFA)
Department of Agriculture (USDA)
STOP 2271

1400 Independence Avenue, SW
Washington, DC 20250-2271

Telephone: (202) 401-4986. Grantees are to submit initial project information and annual summary reports to NIFAs electronic, Web-based inventory system that facilitates both grantee submissions of project outcomes and public access to information on Federally-funded projects. The details of the reporting requirements are included in the award terms and conditions.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audits will be conducted in accordance with guidelines established in the revised OMB Circular No. A-133,

Audits of States, Local Governments, and Nonprofit Organizations, and implemented in 7 CFR 3052. This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

Grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records must be retained at least three years; records must be retained beyond the three-year period if litigation is pending or audit findings have not been resolved.

Account Identification:

12-1502-0-1-352.

Obligations:

(Project Grants) FY 12 \$19,115,344; FY 13 est \$0; and FY 14 est \$0 - The difference between the appropriation and obligation numbers reflects legislative authorized set-asides deducted as appropriate, and in some cases the availability of obligational authority from prior years.

NOTE:

Funding for this Farm Bill mandatory program expired at the end of September, 2012. Program is subject to authorization in FY 2014.

Range and Average of Financial Assistance:

If minimum or maximum amounts of funding per competitive project grant or cooperative agreement are established, these will be announced in the annual program announcement or Request for Application (RFA).

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: For the FY 2012 award cycle, \$19,146,540 was available for project grant awards after subtracting administrative costs.

A total of 97 applications, requesting a total of \$119,839,234, were received in this years competition. In June 2012, a 22-member peer review panel evaluated these applications. The peer panel included faculty from land grant universities and staff from non-profit organic organizations.

Funds were available to support a total of 8 new awards.

The funding ratio for this program in FY11 was 8.2%.

Funded projects seek to solve critical organic agricultural issues, priorities, or problems through the integration of research and extension activities. They include high priority research and extension projects that will enhance the ability of producers and processors who have already adopted organic standards to grow and market high quality organic agricultural products. Priority concerns include biological, physical, and social sciences, including economics. Fiscal Year 2013: Funding for this Farm Bill mandatory program expired at the end of September 2012. Program is subject to reauthorization in 2014.

Pertinent data to be provided by Program at a future date. Fiscal Year 2014: Program has not been funded since FY 2012.

Funding for this Farm Bill mandatory program expired at the end of September 2012. Program is subject to reauthorization in 2014.

Pertinent data to be provided by Program at a future date.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 3430, Competitive and Noncompetitive Non-formula Grant Programs General Grant Administrative Provisions and Program-Specific Administrative Provisions; 7 CFR Part 3015, USDA Uniform Federal Assistance Regulations; 7 CFR Part 3017, Government wide Debarment and Suspension (Nonprocurement) ; 7 CFR Part 3018, New Restrictions on Lobbying; 7 CFR Part 3019, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-profit Organizations; and 7 CFR Part 3021 USDA implementation of Governmentwide Requirements for Drug-free Workplace (Financial Assistance).

Regional or Local Office:

None.

Headquarters Office:

USDA, NIFA, National Program Leader, Institute of Food Production and Sustainability, Division of Animal Systems, 1400 Independence Avenue, SW., STOP 2240, Washington, District of Columbia 20250-2240 Phone: (202) 401-6134 Fax: (202) 401-1602

Website Address:

<http://www.nifa.usda.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Pertinent data to be provided by Program at a future date.

Fiscal Year 2013: Pertinent data to be provided by Program at a future date.

Fiscal Year 2014: Pertinent data to be provided by Program at a future date.

CRITERIA FOR SELECTING PROPOSALS:

Within guidelines established for the program as described in the Request for Application (RFA).

10.308 RESIDENT INSTRUCTION GRANTS FOR INSULAR AREA ACTIVITIES

Grants for Insular Areas - Resident Instruction Grants for Insular Areas (RIIA)

FEDERAL AGENCY:

National Institute of Food and Agriculture, Department of Agriculture

AUTHORIZATION:

This program is administered under the provisions of the Farm Security and Rural Investment Act of 2002 (Section 7501 of Public Law 107-171) as reauthorized in Section 7143 of Public Law 110-246, which amended the National Agricultural Research, Extension, and Teaching Policy Act of 1977 (7 U.S.C. 3101 et seq.) by providing for a program of resident instruction grants for insular areas (7 U.S.C. 3363). Funds are available for a program of competitive grants, with funds to be awarded to one or more individual, eligible institutions of higher education in Insular Areas (Insular Area Institutions) or consortia of such eligible institutions, to carry out teaching and education programs in the food and agricultural sciences., 7 U.S.C 3363.

OBJECTIVES:

In General. -The Secretary of Agriculture shall make competitive grants to eligible institutions to-

(1) strengthen institutional educational capacities, including libraries, curriculum, faculty, scientific instrumentation, instruction delivery systems, and student recruitment and retention, in order to respond to identified State, regional, national, or international education needs in the food and agricultural sciences;

(2) attract and support undergraduate and graduate students in order to educate them in identified areas of national need in the food and agriculture sciences;

(3) facilitate cooperative initiatives between two or more insular area eligible institutions, or between those institutions and units of State Government or organizations in the private sector, to maximize the development and use of resources such as faculty, facilities, and equipment to improve food and agricultural sciences teaching programs; and

(4) conduct undergraduate scholarship programs to assist in meeting national needs for training food and agricultural scientists.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

The purpose of the Resident Instruction Grants Program for Institutions of Higher Education in Insular Areas (RIIA) is to promote and strengthen the ability of Insular Area Institutions to carry out teaching and education programs within the broadly defined food and agricultural sciences-related disciplines. Food and agricultural sciences include programs in agriculture, food and fiber, renewable energy and natural resources, forestry, aquaculture, veterinary medicine, family and consumer sciences, home economics, nutrition and dietetics, rural human ecology, rural economic and community development,

and other higher education activities and fields of study related to the production, processing, marketing, distribution, conservation, utilization, consumption, research, and development of food and agriculturally-related products and services. Grant funds must be used for allowable costs necessary to conduct approved fundamental and applied research, extension and education objectives to address food and agricultural sciences.

Funds may not be used for any purposes other than those approved in the grant award documents.

The use of grant funds to plan, acquire, or construct a building or facility is not allowed under this program. With prior approval, and in accordance with the cost principles set forth in OMB Circular No. A-21, some grant funds may be used for minor alterations, renovations, or repairs deemed necessary to retrofit existing teaching or research spaces in order to carry out a funded project. However, requests to use grant funds for such purposes must demonstrate that the alterations, renovations, or repairs are essential to achieving the major purpose of the project. Grant funds may not be used for endowment investing.

Section 720 of the Consolidated and Further Continuing Appropriations Act, 2012 (Pub.L. No. 112-55) limits indirect costs to 30 percent of the total Federal funds provided under each award. Therefore, when preparing budgets, applicants should limit their requests for recovery of indirect costs to the lesser of their institutions official negotiated indirect cost rate or the equivalent of 30 percent of total Federal funds awarded. Fully discretionary.

Applicant Eligibility:

The Secretary of Agriculture shall ensure that each eligible institution, prior to receiving grant funds under subsection (a), shall have a significant demonstrable commitment to higher education programs in the food and agricultural sciences and to each specific subject area for which grant funds under this section are to be used. (2) The Secretary of Agriculture may require that any grant awarded under this section contain provisions that require funds to be targeted to meet the needs identified in section 1402.

Beneficiary Eligibility:

The Secretary of Agriculture shall ensure that each eligible institution, prior to receiving grant funds under subsection (a), shall have a significant demonstrable commitment to higher education programs in the food and agricultural sciences and to each specific subject area for which grant funds under this section are to be used. (2) The Secretary of Agriculture may require that any grant awarded under this section contain provisions that require funds to be targeted to meet the needs identified in section 1402.

Credentials/Documentation:

No Credentials or documentation are required. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

All RFAs are published on the Agency's website and Grants.gov. Applicants must complete the Grants.gov registration process. Please see the following Grants.gov link for more information: http://www.grants.gov/applicants/get_registered.jsp. An environmental impact statement is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applications should be submitted as outlined in the RFA. Applications must follow the instructions provided per Grants.gov and in the Agency guide to submitting applications via Grants.gov.

Award Procedure:

Applications are subjected to a system of peer and merit review in accordance with section 103 of the Agricultural Research, Extension and Education Reform Act of 1998 (7 U.S.C. 1613) by a panel of qualified scientists and other appropriate persons who are specialists in the field covered by the proposal. Within the limit of funds available for such purpose, the NIFA Authorized Departmental Officer (ADO) shall make grants to those responsible, eligible applicants whose applications are judged most meritorious under the procedures set forth in the RFA.

Reviewers will be selected based upon training and experience in relevant scientific, extension, or education fields, taking into account the following factors:

- (a) The level of relevant formal scientific, technical education, or extension experience of the individual, as well as the extent to which an individual is engaged in relevant research, education, or extension activities;
- (b) the need to include as reviewers experts from various areas of specialization within relevant scientific, education, or extension fields;
- (c) the need to include as reviewers other experts (e.g., producers, range or forest managers/operators, and consumers) who can assess relevance of the applications to targeted audiences and to program needs;
- (d) the need to include as reviewers experts from a variety of organizational types (e.g., colleges, universities, industry, state and Federal agencies, private profit and non-profit organizations) and geographic locations;
- (e) the need to maintain a balanced composition of reviewers with regard to minority and female representation and an equitable age distribution; and
- (f) the need to include reviewers who can judge the effective usefulness to producers and the general public of each application.

Evaluation Criteria will be delineated in the RFA.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Section :094 - Deadlines:

Dates for specific deadlines are announced in the RFA each fiscal year. Information is also available via our website and may be obtained via the Grants.gov website. Respective links are provided below:
<http://www.nifa.usda.gov/>
<http://www.grants.gov>

Section :095 - Range of Approval/Disapproval Time:
From 30 to 180 days.

Appeals:

Not Applicable.

Renewals:

Specific details are provided in the Request for Applications (RFA) each fiscal year.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
This program has no matching requirements. Funds are awarded competitively.

No formula grants are awarded under Subtitle K of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 [7 U.S.C. 3319e].

NIFA does not require matching or cost sharing support for this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The term of competitive project grants and/or cooperative agreements under this program may not exceed three (3) years. Method of awarding/releasing assistance: by letter of credit.

Reports:

Grantees are to submit initial project information and annual summary reports to NIFAs electronic, Web-based inventory system that facilitates both grantee submissions of project outcomes and public access to information on Federally-funded projects. The details of the reporting requirements are included in the award terms and conditions. NIFA uses the SF-425, Federal Financial Report to monitor cash. Grantees are to submit initial project information and annual summary reports to NIFAs electronic, Web-based inventory system that facilitates both grantee submissions of project outcomes and public access to information on Federally-funded projects. The details of the reporting requirements are included in the award terms and conditions. A final Financial Status Report (SF-269) or Federal Financial Report (SF-425) is due within 90 days of the expiration date of the grant and should be

submitted to the Awards Management Branch, Office of Extramural Programs at the address listed below, in accordance with instructions contained in 2 CFR 3430.55 (also refer to Section 3015.82 of the Uniform Federal Assistance Regulations).

Awards Management Division (AMD)
Office of Grants and Financial Management (OGFM)
National Institute of Food and Agriculture (NIFA)
Department of Agriculture (SDA)
STOP 2271
1400 Independence Avenue, SW
Washington, DC 20250-2271

Telephone: (202) 401-4986. Grantees are to submit initial project information and annual summary reports to NIFAs electronic, Web-based inventory system that facilitates both grantee submissions of project outcomes and public access to information on Federally-funded projects. The details of the reporting requirements are included in the award terms and conditions.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audits will be conducted in accordance with guidelines established in the revised OMB Circular No. A-133, Audits of States, Local Governments, and Nonprofit Organizations, and implemented in 7 CFR 3052. This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

In accordance with the Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and other Non-profit Organizations [2 CFR 215, Subpart C, Section 215.53, (OMB Circular A-110)] grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be retained at least three (3) years. Records must be retained beyond the three-year period if litigation is pending or audit findings have not been resolved.

Account Identification:

12-1500-0-1-352.

Obligations:

(Project Grants) FY 12 \$863,542; FY 13 est \$798,858; and FY 14 est \$863,542 - The difference between the appropriation and obligation numbers reflects legislative authorized set-asides deducted as appropriate, and in some cases the availability of obligational authority from prior years.

FY 2013 estimated amounts include dollar reductions calculated pursuant to the budget sequestration order issued March 1, 2013.

NOTES:

(1) Effective FY '13, Appropriations for the Resident Instruction Grants (RIIA CFDA 10.308) and Distance Education Grants (DEG CFDA 10.322) were consolidated under Research & Education Activities, Grants for Insular Areas Program. However, different legislative authorities are applicable and separate Requests for Applications (RFAs) were issued under the respective CFDA numbers.

(2) Also see CFDA 10.322 for further information re: Insular Area Grants.

Range and Average of Financial Assistance:

If minimum or maximum amounts of funding per competitive project grant or cooperative agreement are established, these will be announced in the annual program announcement or Request for Application (RFA).

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: For the FY 2012 award cycle, \$861,079 was available for project grant awards after subtracting administrative costs.

Applications submitted to this grants program must state how the funded project will address the two RIIA Grant Program Goals:

1. To increase the number and diversity of students who will pursue and complete a 2- or 4-year postsecondary degree in the food and agricultural sciences, or other STEM fields closely related to the food and agricultural sciences, and for FY 2012, encourage study in areas that contribute to any of the five NIFA Priority Areas listed directly above in Part I, A; and
2. To enhance the quality of postsecondary instruction in order to help meet current and future national food and agricultural sciences workplace needs.

For FY 2012, one consortium application from 8 eligible Land Grant institutions in the Insular Areas was awarded \$861,079. A five-member panel reviewed the RIIA along with the DEG and ANNH programs together. The panelists were scientists from Land Grant institutions and the federal government.

RIIA funding for the 8 Insular Areas institutions has enhanced higher education programs in Agriculture and Food Science and related STEM fields and increased the number and diversity of students in 2- and/or 4-yr postsecondary degrees. Funding also assists in addressing technological needs of each institution, outreach activities to prospective students, faculty development, student scholarships, hands-on research and work-study opportunities for undergraduate students.

In 2012, a student interned in one of the 4-yr institutions during the summer. 15 students per semester will enroll in intramural practicum in developing 3 quasi-commercial agribusiness firms ornamentals, herbs, spices and vegetables. A student took a summer internship in a 2-yr institution. 10 students per semester will participate in intramural practicum to establish quasi commercial firms in laing hens and broilers, hydroponics, ornamentals, herbs and spices. Fiscal Year 2013: For FY 2013 award cycle, \$1,460,018 was available for both RIIA and DEG.

Five applications (2 RIIA and 3 DEG) requested a total of \$3,674,822. A 3-member panel reviewed the applications. At present one project from each program is in the process of being funded. The RIIA consortium is possibly funded between \$790,000- \$850,000. At the present time, one RIIA project and one DEG project are in the process of funding.

Pertinent data to be provided by Program at a future date. Fiscal Year 2014: Pertinent data to be provided by Program at a future date.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 3430, Competitive and Noncompetitive Non-formula Grant Programs General Grant Administrative Provisions and Program-Specific Administrative Provisions; 7 CFR Part 3015, USDA Uniform Federal Assistance Regulations; 7 CFR Part 3017, Government wide Debarment and Suspension (Nonprocurement) ; 7 CFR Part 3018, New Restrictions on Lobbying; 7 CFR Part 3019, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-profit Organizations; and 7 CFR Part 3021 USDA implementation of Governmentwide Requirements for Drug-free Workplace (Financial Assistance).

Regional or Local Office:

None.

Headquarters Office:

USDA, NIFA, National Program Leader, Institute of Youth, Family, and Community, Division of Community and Education, 1400 Independence Avenue, SW., STOP 2250, Washington, District of Columbia 20250-2250
Phone: (202) 720-2324 Fax: (202) 720-2030

Website Address:

<http://www.nifa.usda.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: As continued since FY 2005, this phase of the consortium of 8 institutions in the Insular Areas, funding was used to address the technological needs of each institution as well as outreach activities to prospective students, acquisition of state of the art equipment, providing for faculty development trainings, activities and salary support, reviewing and updating curricular offerings, providing scholarships to agriculture and related science students, and offering hands-on research and study opportunities for undergraduates.

Collaboration between NIFA and a institutions in this funded project supported food and agricultural sciences programs including a series of regional teaching workshops. These workshops have provided valuable opportunities for continuing education in teaching and learning and have been a source of professional renewal and development for faculty across all food and agricultural science disciplines.

This program has enhanced instruction delivery technology and effective classroom teaching and learning, new courses being developed and improved student recruitment/enrollment, engagement and retention.

Furthermore, entrepreneurial skills of students through intramural practicum and directed research have further development and readiness of students for higher education and fields of agricultural economics, agribusiness, horticulture, soil science and crop protection, to name a few. Fiscal Year 2013: One RIIA consortium project has been selected for funding. The goals and objects shall continue from previous funding periods.

Pertinent data to be provided by Program at a future date. Fiscal Year 2014: Pertinent data to be provided by Program at a future date.

CRITERIA FOR SELECTING PROPOSALS:

Within guidelines established for the program as described in the Request for Application (RFA).

10.309 SPECIALTY CROP RESEARCH INITIATIVE

(SCRI)

FEDERAL AGENCY:

National Institute of Food and Agriculture, Department of Agriculture

AUTHORIZATION:

The Specialty Crop Research Initiative (SCRI) is authorized by Section 7311 of the Food, Conservation, and Energy Act of 2008, which added section 412 to the Agricultural Research, Extension, and Education Reform Act of 1998 (AREERA). Section 412 of AREERA establishes a specialty crop research and extension initiative to address the critical needs of the specialty crop industry by developing and disseminating science-based tools to address needs of specific crops and their regions. , Public Law 105-185.

OBJECTIVES:

The Specialty Crop Research Initiative (SCRI) was established to solve critical industry issues through research and extension activities. SCRI will give priority to projects that are multistate, multi-institutional, or trans-disciplinary; and include explicit mechanisms to communicate results to producers and the public. Projects must address at least one of five focus areas: research in plant breeding, genetics, and genomics to improve crop characteristics; efforts to identify and address threats from pests and diseases, including threats to specialty crop pollinators; efforts to improve production efficiency, productivity, and profitability over the long term; new innovations and technology, including improved mechanization and technologies that delay or inhibit ripening; and methods to prevent, detect, monitor, control, and respond to potential food safety hazards in the production and processing of specialty crops including fresh produce.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grant funds must be used for allowable costs necessary to conduct approved research and extension objectives.

Funds shall not be used for the construction of a new building or facility or the

acquisition, expansion, remodeling, or alteration of an existing building or facility (including site grading and improvement, and architect fees).

Funds may not be used for any purposes other than those approved in the grant award documents. **INDIRECT COSTS:**
Not to exceed 22% of Federal Funds awarded.

Applicants may use both the unrecovered indirect costs associated with the Federal Budget and the unrecovered indirect costs associated with the Non-Federal Budget to meet their matching requirements. Indirect costs may not be recovered on third-party matching contributions.

Applicant Eligibility:

Applications may be submitted by Federal agencies, national laboratories, colleges and universities, research institutions and organizations, private organizations or corporations, State agricultural experiment stations, individuals, or groups consisting of two or more of these entities.

Beneficiary Eligibility:

Applications may be submitted by Federal agencies, national laboratories, colleges and universities, research institutions and organizations, private organizations or corporations, State agricultural experiment stations, individuals, or groups consisting of two or more of these entities.

Credentials/Documentation:

No Credentials or documentation are required. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Notice of Intent to Submit an Application: Prospective applicants are asked to email a notification of intent to submit an application. The notification of intent to submit is not required and does not enter into the review of a subsequent application. The information it contains will be used to help program staff plan the review and estimate the potential review workload. This email should include the following information:

- Descriptive (draft) title of proposed research;
- Name of the Project Director and applicant name if applicable;
- Names of other potential co-Project Directors and their affiliations, if applicable;
- Focus area(s) addressed, (see Part I(B) for specific details);
- Likely type of proposal (Coordinated Agricultural Projects, Standard Research and Extension Projects, Regional Partnerships for Innovation Projects, eXtension Projects, and Research and Extension Planning Projects); and
- Subject line of email should read: SCRI Intent to Submit. Emails should be sent to scri@csrees.usda.gov. All RFAs are published on the Agency's website and [Grants.gov](http://www.grants.gov). Applicants must complete the [Grants.gov](http://www.grants.gov) registration process. Please see the following [Grants.gov](http://www.grants.gov) link for more information: http://www.grants.gov/applicants/get_registered.jsp. An environmental impact statement is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applications should be submitted as outlined in the RFA. Applications must follow the instructions provided per [Grants.gov](http://www.Grants.gov) and in the Agency guide to submitting applications via [Grants.gov](http://www.Grants.gov).

Award Procedure:

Applications are subjected to a system of peer and merit review in accordance with section 103 of the Agricultural Research, Extension and Education Reform Act of 1998 (7 U.S.C. 1613) by a panel of qualified scientists and other appropriate persons who are specialists in the field covered by the proposal. Within the limit of funds available for such purpose, the NIFA Authorized Departmental Officer (ADO) shall make grants to those responsible, eligible applicants whose applications are judged most meritorious under the procedures set forth in the RFA.

Reviewers will be selected based upon training and experience in relevant scientific, extension, or education fields, taking into account the following factors:

- (a) The level of relevant formal scientific, technical education, or extension

experience of the individual, as well as the extent to which an individual is engaged in relevant research, education, or extension activities;

- (b) the need to include as reviewers experts from various areas of specialization within relevant scientific, education, or extension fields;

- (c) the need to include as reviewers other experts (e.g., producers, range or forest managers/operators, and consumers) who can assess relevance of the applications to targeted audiences and to program needs;

- (d) the need to include as reviewers experts from a variety of organizational types (e.g., colleges, universities, industry, state and Federal agencies, private profit and non-profit organizations) and geographic locations;

- (e) the need to maintain a balanced composition of reviewers with regard to minority and female representation and an equitable age distribution; and

- (f) the need to include reviewers who can judge the effective usefulness to producers and the general public of each application.

Evaluation Criteria will be delineated in the RFA.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Section :094 - Deadlines:

Dates for specific deadlines are announced in the RFA each fiscal year.

Section :095 - Range of Approval/Disapproval Time:

From 30 to 180 days.

Appeals:

Not Applicable.

Renewals:

Proposals for renewal should be submitted at the announced deadline. Renewals are treated in competition with all other pending proposals.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: Percent: 100%. Applicants may use both the unrecovered indirect costs associated with the Federal Budget and the unrecovered indirect costs associated with the Non-Federal Budget to meet their matching requirements. Indirect costs may not be recovered on third-party matching contributions.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The term of a competitive grant under this program may not exceed ten (10) years. Method of awarding/releasing assistance: by letter of credit.

Reports:

Grantees are to submit initial project information and annual summary reports to NIFAs electronic, Web-based inventory system that facilitates both grantee submissions of project outcomes and public access to information on Federally-funded projects. The details of the reporting requirements are included in the award terms and conditions. NIFA uses the SF-425, Federal Financial Report to monitor cash. Grantees are to submit initial project information and annual summary reports to NIFAs electronic, Web-based inventory system that facilitates both grantee submissions of project outcomes and public access to information on Federally-funded projects. The details of the reporting requirements are included in the award terms and conditions. A final Financial Status Report (SF-269) or Federal Financial Report (SF-425) is due within 90 days of the expiration date of the grant and should be submitted to the address listed below, in accordance with instructions contained in 2 CFR 3430.55 (also refer to Section 3015.82 of the Uniform Federal Assistance Regulations).

Awards Management Division (AMD)
Office of Grants and Financial Management (OGFM)

National Institute of Food and Agriculture (NIFA)

U.S. Department of Agriculture (USDA)

STOP 2271

1400 Independence Avenue, SW

Washington, DC 20250-2271

Telephone: (202) 401-4986. Grantees are to submit initial project information and annual summary reports to NIFAs electronic, Web-based inventory system that facilitates both grantee submissions of project outcomes and public access to information on Federally-funded projects. The details of the reporting requirements are included in the award terms and conditions.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audits will be conducted in accordance with guidelines established in the revised OMB Circular No. A-133, "Audits of States, Local Governments, and Nonprofit Organizations," and implemented in 7 CFR Part 3052, "Audits of States, Local Governments, and Nonprofit Organizations." This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

In accordance with the Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and other Non-profit Organizations [2 CFR 215, Subpart C, Section 215.53, (OMB Circular A-110)] grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be retained at least three (3) years. Records must be retained beyond the three-year period if litigation is pending or audit findings have not been resolved.

Account Identification:

12-1502-0-1-352.

Obligations:

(Project Grants (Cooperative Agreements)) FY 12 \$46,439,187; FY 13 est \$0; and FY 14 est \$46,291,000 - The difference between the appropriation and obligation numbers reflects legislative authorized set-asides deducted as appropriate, and in some cases the availability of obligational authority from prior years.

NOTES:

(1) Funding for this Farm Bill mandatory program expired at the end of September 2012. Program is subject to reauthorization in FY 2014.

(2) The President's FY 2014 Budget proposal includes a proposed \$50 million for this program under the Commodity Credit Corporation.

Range and Average of Financial Assistance:

If minimum or maximum amounts of funding per competitive project grant or cooperative agreement are established, these will be announced in the annual program announcement or Request for Application (RFA).

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: For the FY 2012 award cycle, approximately \$46,409,992 was available for project grant awards after subtracting administrative costs.

A total of 113 applications requesting a total of \$265,959,205 were received in this years competition. In April and May 2012, three peer-review panels evaluated these applications. The peer panels included faculty from land grant and non-land grant colleges and universities, industry scientists, and practitioners from the food and agricultural sciences community.

Funds were available to support a total of 14 new awards in the following Project Types (11 Standard Research & Extension Projects, \$18,243,582 (approximate); 1 Planning Grant, \$34,046; and 2 Coordinated Agricultural Projects, \$10,697,671). In addition, 8 continuation awards were made to support projects that began in prior years, \$17,434,693.

The funding rate for this program in FY12 was 12% for new awards.

Funded projects address one or more of the following legislatively mandated focus areas:

- (a) Research in plant breeding, genetics, and genomics to improve crop characteristics;
- (b) Efforts to identify and address threats from pests and diseases, including threats to specialty crop pollinators;
- (c) Efforts to improve production efficiency, productivity, and profitability over the long term (including specialty crop policy and marketing);
- (d) New innovations and technology , including improved mechanization and technologies that delay or inhibit ripening; and
- (e) Methods to prevent, detect, monitor, control, and respond to potential food safety hazards in the production and processing of specialty crops, including fresh produce. Fiscal Year 2013: For FY 2013, this program was reauthorized in the one year extension of the 2008-2012 farm bill, but no new funding was provided. One continuation award is pending the availability of funds in FY 2014. Fiscal Year 2014: Program is subject to Farm Bill reauthorization in FY 2013. Based on current Congressional drafts, the agency anticipates \$25-50 million in available funds.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 3430, Competitive and Noncompetitive Non-formula Grant Programs General Grant Administrative Provisions and Program-Specific Administrative Provisions; 7 CFR Part 3015, USDA Uniform Federal Assistance Regulations; 7 CFR Part 3017, Government wide Debarment and Suspension (Nonprocurement) ; 7 CFR Part 3018, New Restrictions on Lobbying; 7 CFR Part 3019, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-profit Organizations; and 7 CFR Part 3021 USDA implementation of Governmentwide Requirements for Drug-free Workplace (Financial Assistance).

Regional or Local Office:

None.

Headquarters Office:

USDA, NIFA, National Program Leader; Institute of Food Production and Sustainability, Division of Plant Systems-Production, 1400 Independence Avenue, SW., STOP 2240, Washington, District of Columbia 20250-2240 Phone: (202) 401-4202 Fax: (202) 401-1782

Website Address:

<http://www.nifa.usda.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Most fruit, nut, and vegetable crops require insect-mediated pollination for optimal yields. While honey bees provide the majority of crop pollination, they are at risk from colony collapse disorder, diseases, and mites. Unmanaged native bees are proposed as managed pollinators, thereby diversifying pollinator sources and improving long-term crop yields. This project will integrate entomology, pollination biology, sociology, economics, and extension approaches to develop region- and crop-specific Integrated Crop Pollination strategies for diversifying pollination sources for consistent crop yields. Field studies will be replicated across major U.S. production regions to compare the contribution of honey bees and native bees in fields receiving standard pollination practices and in fields augmented with bee habitat or alternative managed bees. This project will estimate the value of native bees and honey bees for U.S. specialty crops, identify farming practices that affect bee abundance and pollination levels, evaluate habitat management practices for enhancing bees and crop pollination, and test alternative species as managed pollinators. ICP approaches will be integrated into extension programs and regional and crop-specific recommendations will be distributed electronically. This project will support sustainability of U.S. agriculture by enhancing specialty crop producers ability to manage their farms for improved pollination and yield.

Lawns and grass areas define urban landscapes in the United States. The

challenge is breeding cultivars that respond to market demands for viable specialty products, consumer demand for low-input products, and government leaders demand for effective and efficient vegetation that supports their mandate to protect the public good as well as new initiatives to create sustainable cities. This project supports the use of low-input fescue cultivars in sustainable landscapes. To achieve that end, it: (1) evaluates the motivations and barriers public land managers would have for converting to low-input fescue cultivars; (2) explores the role public officials could play in supporting resident conversion to low-input fescue; (3) evaluates homeowners assessment of diverse grass traits, their grass seed purchasing behaviors, and message delivery technologies; and, (4) based on the preceding findings, develops new fescue cultivars that blend desired existing traits with enhanced potential to withstand wear, heat, and important turfgrass diseases, and designs effective outreach and message delivery technologies for consumers. The ultimate outcome of this project will be enhancement of long-term environmental, economic, and societal benefits resulting from reduced use of inputs such as water, fertilizer, pesticide, and labor.

Improved efficiency, productivity, and profitability for the vegetable production and processing industry require an improved understanding of the role of consumer markets. Movement toward this outcome will require addressing shorter-term objectives, beginning with an empirical demand assessment to identify specific attributes that resonate within a consumer market for sustainably labeled sweet corn and green beans. Next is the development of regionally-appropriate, whole-farm, and crop specific sustainability metrics, while also giving attention to potential barriers to grower adoption of these tools. On-farm trials and field research will test credibility for sustainability claims relative to environmental and economic impacts in several regions of the U.S. Traditional outreach techniques will be integrated with innovative media favored by growers and the processing industry. Examples include a grower workbook to accompany assessment tools, and a conference designed for growers and the vegetable processing industry to showcase and document lessons learned. We anticipate this project will serve as a model for other crops or production regions that want to pursue metric-driven sustainability, based on an increased understanding of the consumer market.

Dormant pruning is a vital practice in specialty crop production, but is also very costly. In addition, the continued availability of labor is uncertain, adding considerably to the risk of fruit production. Replacing the current labor-intensive pruning operations, which heavily rely on a large seasonal workforce, with more mechanized and automated techniques is critically needed for the U.S. specialty crop industry to remain competitive in the global market. This project will develop technologies to automate dormant pruning of specialty crops using modern sensors, computers, and robotic manipulators. Research will focus on automating dormant pruning of two major specialty crops: grape, and apple by carrying out four integrative project goals to: 1) formulate and evaluate rules that describe optimal pruning in terms of measurable physical attributes of canopy structure; 2) develop 3D imaging, decision system, and robot control technologies for automating dormant pruning operations; 3) determine social and economic impacts of the proposed autonomous pruning system; and 4) communicate results and involve growers and industry groups, so they can adopt these technologies and incorporate the knowledge gained into their orchards, vineyards, and businesses.

Developing alternative disease and pest control tactics away from the few remaining pesticides is critical to ensuring the mushroom industry's long-term economic viability. Similarly, understanding the role of substrate (compost) conditions in the development of fungal diseases and the flies that spread disease will help growers to better manage this pest/disease complex. Our objective is to transition the management of fungal pathogens and flies that vector these pathogens from short-term reliance on a dwindling number of pesticides to more sustainable strategies. Two major goals have been identified: (1) transition the management of fungal pathogens and flies beyond short-term reliance on pesticides by enhancing epidemiological and bio-rational tactics and (2) synergize information developed in this project with existing knowledge to provide the extension tools needed to encourage changes in grower practices. The primary outcomes are the identification of substrate characteristics that influence the incidence and severity of a major disease, *Trichoderma* Green Mold and the identification of alternative biopesticides that

can be developed to help control fungal pathogens and insects. Fiscal Year 2013: No additional projects were funded during FY '13. The following examples represent summaries for on-going projects which were previously funded:

Greenhouses and other structures have been used for millennia to protect crops from harsh environmental conditions. Over the last 50 years, some growers of greenhouse crops have realized that providing artificial lighting to supplement sunlight can increase commercial yield, particularly during seasons when day length is short or conditions are cloudy. A major constraint to more widespread adoption of this technology is that most lighting systems have been developed for the needs of humans. They are relatively costly to install and operate in greenhouses and do not provide the light spectrum that is most efficiently used by plants for photosynthesis.

An integrated team is investigating the use of light emitting diodes (LED) to address this problem. LED can be designed to produce the exact light quality needed by plants for optimum photosynthetic activity. The team has been able to demonstrate that LED systems produce the same yield and profitability as the current industry standard (high-pressure sodium lamps) while using a fraction of the electricity. In experiments conducted, LED systems used 75 % less electricity than the current industry standard. Currently in the U.S., there are about 4200 acres of greenhouses that use supplemental lighting. If LED systems were installed in these greenhouses, energy use would be reduced by 3.5 billion kilowatt hours. Reducing energy use by this amount would reduce carbon dioxide emissions by almost 3 million (2.87 million) tons per year, as estimated by the system used by the U.S. EPA.

Production of fresh-market onion and garlic bulbs is a billion dollar industry in the US. Growers have identified pest management as a key aspect of production, where even small improvements can have a big payoff. A team of researchers and extension specialists have developed integrated pest management on-line software that enables growers to make timely, smarter, and more economically beneficial pest control decisions. The software combines pest scouting information, weather, plant growth stage, pest and disease thresholds, and economic market monitoring to improve grower decision making. A conservative profitability improvement estimate of 5 percent (based on reduced crop losses from diseases and pests), or \$52 million for US onion and garlic growers annually, results in an annual return of 50 to 1 for the original research and extension investment. Additional savings for growers include reduced application costs for pesticides (e.g., fungicides, insecticides) when insect and disease pressures are below economic or injury thresholds, as well as ecological and environmental benefits from reduced chemical use.

Broccoli is a cool-season vegetable that has high consumer demand. It is highly nutritious, with high vitamin C content. Because vitamin C is heat labile, broccoli must be kept refrigerated for maximum nutrient quality. Because broccoli grows best when average temperatures are between 55 and 65 F, commercial production has been concentrated in the coastal valleys of central California where morning fog keeps the temperature near the optimum for most of the year. A series of changes in the supply chain has made it desirable to expand broccoli production east of the Mississippi River. A major impediment to broccoli production in the eastern U.S. is the fact that modern cultivars have been selected for their adaptability to environmental and soil characteristics in the western states. These cultivars grown in the eastern U.S. do not produce commercially acceptable crowns. An integrated team representing 12 states, is working to develop and test broccoli cultivars that are suited to the climate and soils of eastern states. Conservative estimates indicate that eastern broccoli production will result in a 2/3 reduction in fuel use to transport the crop to market, saving close to 2.3 million gallons of fuel per year and reducing carbon dioxide emissions by over 51 million pounds per year. Based on economic evaluations, it is expected that growers in the region will realize increased profits of \$3000 per acre per year, totaling \$40 million in profit per year for the region.

An integrated project team is using wireless sensor networks to monitor the water status of nursery plants and time the application of water. By knowing which plants need water, where those plants are in the nursery, and exactly how much water is needed, the team has been able to reduce water use in

commercial nurseries by 50 to 75 per cent. Plants that receive only as much water as they need are less likely to become diseased, decreasing the amount of fungicide needed. In one case, production time was also reduced by several months, freeing space and decreasing water total water needs. Coupled with reduced water use and reduced pesticide application, the operation is more economically, socially and environmentally sustainable. These results have dramatic implications for the nursery industry. Adoption estimates for wireless sensor networks applied to 75% of the nursery industry would boost profitability by \$113.4 billion per year for the industry and save over 2.5 trillion gallons of water annually.

The availability, cost, and productivity of labor along with worker safety create numerous problems for specialty crop producers. Among these issues is the larger problem of US specialty crop competitiveness in the global marketplace. This project is developing and testing a driverless platform for workers that allows them to prune, thin, tie, maintain, and harvest the top part of fruit trees, while eliminating ladders and their associated cost and risk. With the appropriate implements, these platforms also enable fully autonomous spraying, mowing, crop yield estimation, and scouting for insects and disease. The project demonstrated up to 116% efficiency improvement (in non-replicated trials) and 58% (in replicated trials) for tree fruit operations when workers on autonomous orchard platforms "competed" side by side with workers on ladders. The project also showed that the vehicle can mow and spray an entire block completely unsupervised. Test results were corroborated by a financial analysis that indicates that these vehicles can be cost effective, and could be paid off in less than one year of operation for a typical orchard. If adopted in large scale, autonomous orchard vehicles could replace many injury-prone, low-skill, low-wage jobs in the workforce with better paying, higher education jobs and help reduce farms' dependence on migrant labor. This workforce change could also reduce social pressures on the surrounding communities and improve rural economies. Fiscal Year 2014: No data are available at this time. Pending program re-authorization and funding, new projects will continue to address the five mandated focus areas. Pertinent data will be provided by Program at a future date.

CRITERIA FOR SELECTING PROPOSALS:

Within guidelines established for the program as described in the request for applications.

10.310 AGRICULTURE AND FOOD RESEARCH INITIATIVE (AFRI) (AFRI)

FEDERAL AGENCY:

National Institute of Food and Agriculture, Department of Agriculture

AUTHORIZATION:

Competitive, Special and Facilities Research Grant Act, Section 2(2)(b), [7 U.S.C. 450i], 7 U.S.C 450i.

OBJECTIVES:

To establish a new competitive grant program to provide funding for fundamental and applied research, extension, and education to address food and agricultural sciences.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Grant funds must be used for allowable costs necessary to conduct approved fundamental and applied research, extension and education objectives to address food and agricultural sciences. The competitive grants program shall address the following areas:

- A) Plant health and production and plant products;
- B) Animal health and production and animal products;
- C) Food safety, nutrition, and health;
- D) Renewable energy, natural resources, and environment;
- E) Agriculture systems and technology; and
- F) Agriculture economics and rural communities.

Funds shall not be used for the construction of a new building or facility or the acquisition, expansion, remodeling, or alteration of an existing building or facility (including site grading and improvement, and architect fees). Funds

may not be used for any purposes other than those approved in the grant award documents. Funds shall not be used for the construction of a new building or facility or the acquisition, expansion, remodeling, or alteration of an existing building or facility (including site grading and improvement, and architect fees).

Funds may not be used for any purposes other than those approved in the grant award documents.

Indirect cost is not allowable if awarded as an equipment, conference, or post-doc fellowship grant.

Section 720 of the Consolidated and Further Continuing Appropriations Act, 2012 (Pub.L. No. 112-55) limits indirect costs to 30 percent of the total Federal funds provided under each award. Therefore, when preparing budgets, applicants should limit their requests for recovery of indirect costs to the lesser of their institutions official negotiated indirect cost rate or the equivalent of 30 percent of total Federal funds awarded.

Special Note on Indirect Costs as in-kind matching contributions:

Indirect costs may be claimed under the Federal portion of the award budget or, alternatively, indirect costs may be claimed as a matching contribution (if no indirect costs are requested under the Federal portion of the award budget). However, unless explicitly authorized in the RFA, indirect costs may not be claimed on both the Federal portion of the award budget and as a matching contribution, unless the total claimed on both the Federal portion of the award budget and as a matching contribution does not exceed the maximum allowed indirect costs or the institutions negotiated indirect cost rate, whichever is less. An awardee may split the allocation between the Federal and non-Federal portions of the budget only if the total amount of indirect costs charged to the project does not exceed the maximum allowed indirect costs or the institutions negotiated indirect cost rate, whichever is less. For example, if an awardee's indirect costs are capped at 30 percent pursuant to FY 2012 appropriated funds, Section 720 of the Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2012 (Division A of Pub. L. 112-55), the awardee may request 15 percent of the indirect costs on both the Federal portion of the award and as a matching contribution. Or, the awardee may request any similar percentage that, when combined, does not exceed the maximum indirect cost rate of 30 percent. Fully discretionary.

Applicant Eligibility:

This initiative supports integrated and non-integrated programs. Please refer to Part III, A of the current Agriculture and Food Research Initiative Request for Applications for the complete eligibility requirements.

Beneficiary Eligibility:

This initiative supports integrated and non-integrated programs. Please refer to Part III, A of the current Agriculture and Food Research Initiative (AFRI) Request for Applications (RFA) for the complete eligibility requirements.

Credentials/Documentation:

No Credentials or documentation are required. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

All RFAs are published on the Agencies website and Grants.gov. Applicants must complete the Grants.gov registration process. Please see the following Grants.gov link for more information: http://www.grants.gov/applicants/get_registered.jsp. An environmental impact statement is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applications should be submitted as outlined in the RFA. Applications must follow the instructions provided per Grants.gov and in the Agency guide to submitting applications via Grants.gov. This information collection is approved under OMB Control No. 0524-0039, CSREES Application Kit for Research and Extension Programs.

Award Procedure:

Applications are subjected to a system of peer and merit review in accordance

with section 103 of the Agricultural Research, Extension, and Education Reform Act of 1998 (7 U.S.C. 7613) by a panel of qualified scientists and other appropriate persons who are specialists in the field covered by the proposal. Within the limit of funds available for such purpose, the NIFA Authorized Departmental Officer (ADO) shall make grants to those responsible, eligible applicants whose applications are judged most meritorious under the procedures set forth in the RFA. This information collection is approved under OMB Control No. 0524-0039, CSREES Application Kit for Research and Extension Programs.

Reviewers will be selected based upon training and experience in relevant scientific, extension, or education fields, taking into account the following factors:

- (a) The level of relevant formal scientific, technical education, or extension experience of the individual, as well as the extent to which an individual is engaged in relevant research, education, or extension activities;
- (b) the need to include as reviewers experts from various areas of specialization within relevant scientific, education, or extension fields;
- (c) the need to include as reviewers other experts (e.g., producers, range or forest managers/operators, and consumers) who can assess relevance of the applications to targeted audiences and to program needs;
- (d) the need to include as reviewers experts from a variety of organizational types (e.g., colleges, universities, industry, state and Federal agencies, private profit and non-profit organizations) and geographic locations;
- (e) the need to maintain a balanced composition of reviewers with regard to minority and female representation and an equitable age distribution; and
- (f) the need to include reviewers who can judge the effective usefulness to producers and the general public of each application.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

:094 Deadlines:

Dates for specific deadlines are announced in the RFA each fiscal year.

:095 Range of Approval/Disapproval Time:

From 30 to 180 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Percent: 50%. Funds are awarded competitively. No formula grants are awarded under this authority.

For equipment grants Unless criteria is met to qualify for waiver by the Secretary, matching shall not exceed 50 percent of the cost of the special research equipment or other equipment acquired using funds from the grant.

For applied research if the grant is commodity-specific; and not of national scope, the grant recipient is required to match USDA funds awarded on a dollar-for-dollar basis from non-Federal sources with cash and/or in-kind contributions.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The term of a competitive grant under this program may not exceed ten (10) years. Method of awarding/releasing assistance: by letter of credit.

Reports:

Grantees are to submit initial project information and annual summary reports to NIFAs electronic, Web-based inventory system that facilitates both grantee submissions of project outcomes and public access to information on Federally-funded projects. The details of the reporting requirements are included in the award terms and conditions. NIFA uses the SF-425, Federal Financial Report to monitor cash. Grantees are to submit initial project information and annual summary reports to NIFAs electronic, Web-based inventory system that facilitates both grantee submissions of project outcomes and public access to information on Federally-funded projects. The details of the reporting requirements are included in the award terms and conditions. Quarterly Reports of Federal Cash Transactions (SF-272) are required by the Department of Health and Human Services (DHHS) (www.dpm.psc.gov) and are submitted online through the DHHS Payment Management System (PMS) website. If you become delinquent in these reports, you will not be able to access your funds.

A final Financial Status Report (SF-269) or "Federal Financial Report" (SF-425) is due within 90 days of the expiration date of the grant and should be submitted to the address listed below, in accordance with instructions contained in 2 CFR 3430.55 (also refer to Section 3015.82 of the Uniform Federal Assistance Regulations).

Awards Management Division (AMD)
Office of Grants and Financial Management (OGFM)
National Institute of Food and Agriculture (NIFA)
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STOP 2271

1400 Independence Avenue, SW
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Telephone: (202) 401-4986. Grantees are to submit initial project information and annual summary reports to NIFAs electronic, Web-based inventory system that facilitates both grantee submissions of project outcomes and public access to information on Federally-funded projects. The details of the reporting requirements are included in the award terms and conditions.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audits will be conducted in accordance with guidelines established in the revised OMB Circular No. A-133, Audits of States, Local Governments, and Non-profit Organizations, and implemented in 7 CFR 3052. This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

In accordance with the Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and other Non-profit Organizations [2 CFR 215, Subpart C, Section 215.53, (OMB Circular A-110)] grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be retained at least three (3) years. Records must be retained beyond the three-year period if litigation is pending or audit findings have not been resolved.

Account Identification:

12-1500-0-1-352.

Obligations:

(Project Grants) FY 12 \$244,022,058; FY 13 est \$252,933,804; and FY 14 est \$355,529,473 - The difference between the appropriation and obligation numbers reflects legislative authorized set-asides deducted as appropriate, and in some cases the availability of obligational authority from prior years.

This program represents no year funds. Represents new 2012 funds only. Does not include carryover.

These funds are no-year.

Range and Average of Financial Assistance:

Minimum and maximum amounts of funding per grant are established by the annual program announcement or RFA.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: (A) Agricultural and Natural Resources Science for Climate Variability and Change:

For FY 2012, there were two competitions: Integrated Approaches to Climate Adaptation and Mitigation in Agroecosystems with approximately \$17 million that received 107 applications of which 16 projects were awarded; and Regional Approaches to Climate Change with approximately \$20 million that received 27 applications of which two projects of approximately \$10 million each were funded. These later two awards were Coordinated Agricultural Projects that are examining the impacts of climate variability and change on dairy and beef cattle. Funds totaling \$28,673,784 were provided by AFRI to fund continuation awards made in 2010-2011.

(B) Food Security:

For the FY 2012 award cycle, \$23,875,000 was awarded in the Food Security Challenge Area. This included the FY 2011 funds that had not been offered in 2011. The Food Security Challenge Area included seven programs which received a total of 131 applications. Seven diverse peer review panels reviewed the applications and a total of 35 applications were funded. The majority of the awards were made through the continuation process where only the first year of funding was awarded out of current program funds. This led to an average success rate of 26.7% (and included the funding of four conferences). Funds were used to support research, education, and extension efforts in the following areas: Translational genomics for disease resistance in animals; Extension-driven disease prevention and control in animals; Translational genomics for improved fertility of animals; Minimizing diseases due to fungal pathogens; Management of Arthropod- or Nematode-vectored plant pathogens; Enhanced implementation of Integrated Pest Management (IPM) for vectored pathogens; and Sustainable food systems to improve food security.

(C) Food Safety:

Three continuation awards were granted in 2012 for a total amount of \$2,998,163. No new awards were granted using FY 2012 funds.

(D) Sustainable Bioenergy:

For FY 2012, a Request for Applications (RFA) was published on September 21, 2011 with a proposal submission deadline of December 15, 2011. Funds totaling \$10,000,000 were provided by AFRI for new awards and \$2,000,000 for Interagency Programs. Awards include 21 standard research awards, 2 conference grants and one seed grant totaling \$8,000,000; one coordinated agricultural project totaling \$2,000,000; and two grants for the related interagency program, Plant Feedstock Genomics for Bioenergy. Funds totaling \$1,948,733 were provided by AFRI to fund the 3rd year of continuation for the 2010 Education Awards.

The AFRI Sustainable Bioenergy Program funded grants that target vital topical areas related to the development of regional systems for the sustainable production of bioenergy, biopower and biobased products. These programs will, where appropriate, align with existing Regional Bioenergy Coordinated Agricultural Projects (CAP) to promote NIFAs goal and mission of economic, environmental, and rural community sustainability.

Demand for biomass continues to increase as additional targets for heat, transportation fuels, power, and biobased products are realized. Current policies are designed to provide agricultural support, rural enhancement, reduced dependence on foreign sources of energy, climate change mitigation/adaptation, and environmental sustainability. Policy developments often are identified as drivers of production decisions in the biofuels and bioenergy industries. New policies will need to take into full account associated risks/uncertainties and unintended consequences of feedstock production systems on natural resource and ecosystem service sustainability. Research is not well developed around the

implications of current and alternative regulatory policies, fuel and portfolio standards, market distorting and other production subsidies, tax credits, and agricultural assistance programs on both bioenergy and agricultural markets and production decisions, which are subject to further evaluations of environmental and other indirect effects.

To meet these identified needs, the long-term outcome for this program is to implement regional systems that materially deliver liquid transportation biofuels to help meet the Energy Independence and Security Act (EISA) of 2007 goal of 36 billion gallons/year of biofuels by 2022 and reduce the National dependence on foreign oil and, as appropriate, produce biopower and biobased products. Projects are expected to employ a systems approach to address the stated Program Area Priorities which collectively contribute to the achievement of the following goals:

1. Deployment of superior genotypes of regionally-appropriate dedicated energy crops.
2. Refinement and implementation of sustainable regional feedstock production practice.
3. Seamless feedstock logistics.
4. Scalable, sustainable conversion technologies that can accept a diverse range of feedstocks.
5. Regional marketing and distribution systems.
6. Regional sustainability analyses, data collection and management, and tools to support decision-making.
7. A well trained workforce with the capacity to fill the cross-disciplinary needs of the biofuels industry.

In FY 2010, the NIFA solicitation resulted in the establishment of five Regional Bioenergy CAPs that focus on dedicated energy crops including energy cane, perennial grasses, sorghum, woody biomass, and oil crops (oilseeds and algae). These sustainable crops serve as feedstocks for the production of advanced non-ethanol, infrastructure-compatible fuels and biobased products through a systems-oriented approach that links feedstock development, production, logistics, conversion and markets. NIFA supports programs that are trans-disciplinary and integrate genetic crop development; sustainable agronomic and silvicultural practices; pest and beneficial species management; coordinated energy-efficient logistics; flexible and scalable sustainable conversion and refining technologies; effective marketing and distribution systems; provide sustainable ecosystem services and rural community prosperity. In FY 2012, NIFA will support one additional Regional Bioenergy CAP that focuses on the production and delivery of Regionally Sustainable Biomass Feedstocks. While the focus will be on feedstocks, competitive proposals will present their feedstock development and production concepts in the context of a complete regional supply chain.

(E) NIFA Fellowship Grant Program:

NOTE: The FY 2011 competition and FY 2012 competition were combined in FY 2012. In FY 2012, 103 applications were received for the Predoctoral Program. The success rate was 47%. Forty-eight proposals were funded. In addition, 168 proposals were received for the Postdoctoral Program. The success rate was 36%. Sixty proposals were funded. Applications addressed the development of technical and functional competence for predoctoral students, and the research independence and teaching credentials of postdoctoral scientists in the agriculture, forestry, and food sciences that are within NIFAs challenge and foundational program areas through well-developed and highly interactive mentoring and training activities.

(F) Childhood Obesity Prevention:

For the FY 2012 award cycle, approximately \$5,000,000 was available for project grant awards.

Forty-one applications requesting a total of \$107,547,266 were received in this years competition. In September 2012, a 10-member peer review panel evaluated these applications. The peer panel includes faculty from 1862 and 1890 land grant universities, private universities, USDA-EPSCoR states, and small and mid-sized universities.

Funds were available to support research, education and extension efforts for one standard award and three strengthening awards that addressed the childhood obesity prevention program.

The funding ratio for this program in FY12 was 10%.

(G) - Foundational

There was no AFRI Foundational Program in FY 12.

(H) Ecology and Evolution of Infectious Diseases (EEID):

In FY 2012, 111 proposals were received. 25 panelists evaluated the submissions at NSF during 3 days in February 2012. Summing the US federal agency contributions and the UK BBSRCs award funds, approximately \$23 million was expended on 12 awards.

(I) Plant Feedstock Genomics for Bioenergy: a Joint Research (USDA & DOE): For FY 2012 the USDA-NIFA and DOE BER Plant Feedstock Genomics for Bioenergy award cycle, a total budget of \$11,512,000 (USDA \$2,000,000 and DOE \$9,512,000) was available to fund proposals submitted to the joint research program. Maximum award size was approximately \$1,500,000. A total of 60 applications were received requesting a total of approximately \$ 76,320,526. Fiscal Year 2013: (A) Agricultural and Natural Resources Science for Climate Variability and Change:

For FY 2013, a single program, Climate Change Mitigation and Adaptation in Agriculture, is being competed for a total program budget of \$6 million. With a due date of 17 April 2013, the Program received 136 applications. While the panel for this program has not met, it is anticipated that six awards of \$1 million each will be funded. In FY 2013 the program is considering projects that involve one or more of the three functions, research, education and extension, that focus on adaptive management to weather and climate. Priority issues to be addressed include:

1. Understanding the Biophysical Basis for Adaptation.
2. Develop and Evaluate Tools and Management Practices to Aid Adaptation.
3. Social, Behavioral and Economics Aspects of Adaptive Management.

It is expected that AFRI will provide \$28,392,521 to fund continuation awards made in 2010-2012.

(B) Food Security:

\$6 million is available for the FY 2013 cycle. The Food Security Challenge Area consists of two programs and a total of 100 applications are expected. Continuation awards will be made, and it is anticipated that there will be 10 awards and a 10% success rate.

(C) Food Safety:

In 2013 there was a combined 2012/2013 Challenge Area RFA. Approximately \$6 million will be made available to fund new awards from the combined RFA. In addition, nine continuation awards will be granted in 2013 for a total of \$14,993,174.

(D) Sustainable Bioenergy:

For FY 2013, a modified RFA was released on January 24, 2013 and proposals were received by April 3, 2013. Seventeen AFRI Regional Bioenergy Feedstock Coordinated Agricultural Project (CAP) integrated proposals, 4 conference proposals, and 9 seed grant proposals were received and are being reviewed. A single CAP award is anticipated, along with 2 conference and one seed grant totaling \$2 M. Funding for continuation awards, including 6 Sustainable Bioenergy, and the Loblolly Pine Genome Sequencing coordinated agricultural projects are anticipated to be awarded, totaling ~ \$30 M. 6-8 Standard Research grants in the area of water implications of regional bioenergy systems totally \$3 M are anticipated.

(E) NIFA Fellowship Grant Program:

Received 351 proposals. 52 award recommendations are pending. Success rate for the predoctoral program is 14.8%. Success rate for the postdoctoral program is 13.9%. Applications addressed the development of technical and functional competence for predoctoral students, and the research independence and teaching credentials of postdoctoral scientists in the agriculture, forestry, and food sciences that are within NIFAs challenge and foundational program areas through well-developed and highly interactive mentoring and training activities.

(F) Childhood Obesity Prevention:

This program received a total of 74 research applications of which 58 were accepted requesting a total budget of \$199,391,804.00.

A twenty-one-member peer review panel evaluated 58 applications related to preventing childhood obesity prevention from September 16 -19, 2013. The peer panel included faculty from 1862 and 1890 land grant universities, private universities, and public non-land grant universities.

(G) Foundational:

For FY 2013 AFRI Foundational award cycle, a budget of \$136,000,000 is available to fund proposals submitted to the AFRI Foundational Program solicitation. Maximum award size is \$500,000.

A total of 2144 applications requesting a total of \$ 953,192,091 were received in these years AFRI Foundational Program competition. These proposals were submitted to 20 individual programs under AFRI Foundational Program solicitation.

They are described below:

1) Plant Breeding for Agricultural Production: This program received a total of 129 applications requesting a total budget of \$62,000,000. A twenty two - member peer review panel evaluated 126 applications related to plant breeding from June 24-28, 2013. The peer-review panel included faculty and administrators from 1862 and 1890 land grant universities, public non-land grant universities, Experimental Program for Stimulating Competitive Research (EPSCoR) states and industry. In addition, there were 3 conference applications and one supplement application of which 3 were reviewed by adhoc reviewers out of panel because the proposed events were scheduled prior to the panel meeting and one was reviewed in panel

2) Understanding Biological Mechanisms for Plant Production: This program received a total of 196 research applications requesting a total budget of \$85,730,944. These applications were divided into two groups based on the abiotic stress related applications or growth and development related applications. A seventeen-member peer review panel evaluated 84 applications related to abiotic stress from June 11-13, 2013 and a twenty two-member peer review panel will evaluate 102 applications related to growth and development from July 29-August 2, 2013. The peer-review panels include faculty and administrators from 1862 and 1890 land grant universities and public non-land grant universities, USDA-EPSCoR states, small or mid-size institutions and industry. In addition, there were 10 conference application. These applications were reviewed by the ad hoc reviewers due to the timing of the conference prior to the panels.

3) Understanding Plant-Associated Microorganisms and Plant-Microbe Interactions Program: This program received a total of 197 research applications requesting a total budget of \$90,080,837. These applications were divided into two groups. The first group contained projects involving virology, bacteriology, epidemiology, plant disease resistance and studies focused on oomycetes. The second group contained projects involving mycology, plant disease resistance and microbial ecology/population biology. A twenty-member peer-review panel evaluated the 99 applications in the first group from June 18-21, 2013. A nineteen-member peer-review panel will evaluate the 98 applications in the second group from July 16-19, 2013. The peer panels include scientists from 1862, 1890 and Hispanic-serving land grant universities, public, non-land grant universities, private universities, federal labs, USDA-EPSCoR states, small or mid-size institutions and non-profit research institutions.

4) Controlling Weedy and Invasive Plants: For the FY 2013 award cycle, approximately \$4,000,000 was available for project grant awards. A total of 72 applications were received that collectively requested \$30,683,975. It is anticipated that eight or nine of these will receive funding yielding a funding success ratio of about 11%. In past years, projects were supported that focus on compelling scientific questions underlying current issues in weed and invasive plant management in crops, managed forests and rangeland.

5) Plant-Associated Insects and Nematodes: This program received 188 applications requesting a total budget of \$83,498,153. The applications were reviewed in two panels. A seventeen-member panel evaluated 101 proposals in the first panel which was held from June 10-14, 2013. A fifteen-member panel will evaluate 85 proposals in the second panel which is scheduled for August 20-23, 2013. The peer-review panels included faculty and administrators from 186, 1890 and Hispanic serving land grant universities, public non-land grant universities, private universities, small or mid-size institutions and national laboratories.

6) Animal Reproduction: This program received 90 proposals requesting a total budget of \$37,323,093. A sixteen-member panel evaluated 87 proposals and three conference proposals were reviewed out of panel by ad hoc reviewers. The panel was held from April 16-19, 2013. There were 20 proposals recommended for award. The review panel included faculty and administrators from 1862, 1890 and Hispanic serving land grant universities, public non-Land grant universities, private universities, USDA-EPSCoR states, small or mid-size institutions and government.

7) Animal Nutrition, Growth and Lactation: This program received 130 proposals requesting a total budget of \$57,009,029. An eighteen-member panel evaluated 128 proposals from June 10-June 14, 2013 and two conference proposals were reviewed out of panel by ad hoc reviewers. The review panel included faculty and administrators from 1862, 1890 and Hispanic serving land grant universities, public non-Land grant universities, private universities, USDA-EPSCoR states, small or mid-size institutions and government.

8) Animal Health and Disease: This program received 228 proposals requesting a total budget of \$101,349,940. These proposals were divided into two groups. The first group of 111 proposals was evaluated by a twenty-member panel which was held from June 3-7, 2013. The second group of 112 proposals was evaluated by twenty-member panel which was held May 13-16, 2013. Five conference proposals were reviewed out of panel by ad hoc reviewers. The panelists in both panels included faculty and administrators from 1862 and 1890 land grant universities, public non-Land grant universities, private colleges, Hispanic-serving institutions, industry and government. There were total of 44 proposals recommended for funding that includes 10 conference proposals.

9) Tools and Resources for Animal Breeding, Genetics and Genomics: This program received a total of 40 research applications requesting a total budget of \$19,163,000. A ten-member peer-review panel evaluated the applications from May 21-23, 2013. The review panel included faculty and administrators from 1862 and 1890 land grant universities, EPSCoR states and government. In addition, there were 2 conference applications and one of the applications was reviewed in panel and other by the ad hoc reviewers.

10) Physical and Molecular Mechanisms of Food Contamination: This program received a total of 66 research applications and 12 applications have been recommended for funding (one conference and 11 standard research grants). The total request of 66 applications was \$29,936,523. A sixteen-member peer-review panel evaluated the applications from June 24-26, 2013. The diverse (based on gender, geographic location, race, professional rank, etc) review panel included faculty and administrators from 1862, 1890 and Hispanic-Serving land grant universities, public non land grant universities, and EPSCoR states.

11) Function and Efficacy of Foods: This program received a total of 110 research applications of which 103 were accepted requesting a total budget of \$46,171,762. A twenty one - member peer-review panel evaluated 101

applications related to preventing inflammation or promoting gastrointestinal health from July 30- August 1, 2013. The review panel included faculty and administrators from 1862 land grant universities, private universities, and government. In addition, there were 3 conference applications and 2 of them were reviewed by the ad hoc reviewers due to timing of the events.

12) Improving Food Quality: The program received 122 applications in FY 2013 requesting a total budget of \$52,345,066. A nineteen-member panel reviewers will evaluate the proposals from August 13-16, 2013. The panel included faculty and administrators from 1862, 1890 and Hispanic-Serving land grant universities, public non-land grant universities, government, industry and EPSCoR states. The program anticipates funding 12 projects for about \$6,000,000

13) Soil, Air, and Water Processes in Agroecosystems: The program received 159 proposals requesting a total budget of \$72,553,177. These proposals were divided into two groups based on either nitrogen and phosphorous cycling or chemicals of emerging concerns. A twenty three-member panel was evaluated 116 proposals related to nitrogen and phosphorous cycling and the panel was held from June 4-7, 2013. The second group of 43 proposals was evaluated by a team of ten panel members from June 12-14, 2013. The panel included faculty and administrators from 1862 and 1890, public non land grant universities, government, EPSCoR states and small or mid-size institutions.

14) Engineering, Products, and Processes: The program received a total of 124 proposals requesting a total of \$55,090,986. The proposals were grouped into two panels based on whether the proposals focused on agriculture (73 proposals) or focused on biology (51 proposals) and both panels were held from June 24-26, 2013. Both panels had sixteen-members to evaluate the proposals. The panels included faculty and administrators from 1862 and 1890, public non land grant universities, government, industry, EPSCoR states and small or mid-size institutions.

15) Nanotechnology for Agricultural and Food Systems: The program received a total of 119 proposals requesting a total of \$53,373,867. A twenty four-member panel evaluated the proposals from June 12-14, 2013. The panel included faculty and administrators from 1862, 1890 and Hispanic-Serving land grant universities, public non-land grant universities, government, industry, small or mid-size institutions and EPSCoR states.

16) Small and Medium-Sized Farms: The program received a total budget of 43 proposals requesting a total of \$21,167,525. The program staff is in the process of assembling the review panel.

17) Entrepreneurship, Technology and Innovation: The program received a total of 27 proposals requesting a total budget of \$12,574,492. The program staff is in the process of assembling the review panel.

18) Rural Families. Communities and Regional Development: The program received a total of 23 proposals requesting a total budget of \$10,780,591. The program staff is in the process of assembling the review panel..

19) Economics, Markets and Trade: The program received a total budget of 62 proposals requesting a total of \$24,582,669. The program staff is in the process of assembling the panel.

20) Environment: The program received a total budget of 24 proposals requesting a total of \$9,599,297. The program staff is in the process of assembling the review panel.

(H) Ecology and Evolution of Infectious Diseases (EEID):

In FY 2013, 113 proposals were received. The competitive peer review was led by NSF with input and participation from USDA, NIH, and the BBSRC. A diverse panel of 25 panelists was assembled, including 3 researchers from the United Kingdom. The panel met at NSF from February 27 March 1st.

(I) Plant Feedstock Genomics for Bioenergy: a Joint Research (USDA & DOE): For FY 2013 the USDA-NIFA and DOE BER Plant Feedstock Genomics for Bioenergy award cycle, a budget of \$8,000,000 is anticipated to fund proposals

submitted to the joint research program. Maximum award size is approximately \$1,500,000. A total of 54 applications requesting a total of approximately \$ 62,000,000 were received in this years Program competition. Fiscal Year 2014: (A) Agricultural and Natural Resources Science for Climate Variability and Change:

For FY 2014, there will be no Request for Applications published and no new awards are anticipated to be made. It is expected that AFRI will provide \$27,387,261 to fund continuation awards made in 2010-2012.

(B) Food Security:

The FY '14 funding level is \$6 \$10.375 million (Presidents budget). It is anticipate that 100 applications will be received, 6 awards made, and a 6% success rate achieved. Pertinent details will be provided by Program at a future date.

(C) Food Safety:

In 2014, the Challenge Area anticipates receiving \$6 million for new awards, and anticipates making \$14,981,438 available for continuation awards.

(D) Sustainable Bioenergy:

For FY2014, the anticipated RFA release date is November 1, 2013

(E) NIFA Fellowship Grant Program:

RFA pending. Approximately 300 applications, and 50 awards are anticipated. Pertinent data to be provided by Program at a future date.

(F) Childhood Obesity Prevention:

No Current Data Available for Fiscal Year 2014. Pertinent data to be provided by Program at a future date.

(G) - Foundational

Not available. Pertinent data to be provided at a future date.

(H) Ecology and Evolution of Infectious Diseases (EEID):

In FY 2014, \$11 million of total funding is anticipated. The submission deadline is November 20, 2013. The peer panel will take place in early 2014. About 110 submissions are anticipated.

(I) Plant Feedstock Genomics for Bioenergy: a Joint Research (USDA & DOE):

Not available.. Pertinent data to be provided by Program at a future date.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 3430, Competitive and Noncompetitive Non-formula Grant Programs General Grant Administrative Provisions and Program-Specific Administrative Provisions; 2 CFR Part 215, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and other Non-profit Organizations (OMB Circular A-110); 2 CFR Part 220, Cost Principles for Educational Institutions (OMB Circular A-21); 2 CFR Part 225, Cost Principles for State, Local, and Indian Tribal Governments (OMB Circular A-87); 2 CFR Part 230, Cost Principles for Non-profit Organizations (OMB Circular A-122); 7 CFR Part 3015, USDA Uniform Federal Assistance Regulations; 7 CFR Part 3017, Government wide Debarment and Suspension (Nonprocurement) and Government wide Requirements for Drug-Free Workplace (Grants); 7 CFR Part 3018, New Restrictions on Lobbying; and 7 CFR Part 3019, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-profit Organizations.

Regional or Local Office:

None.

Headquarters Office:

USDA, NIFA, National Program Leader, Institute of Food Production and Sustainability, Division of Animal Systems, 1400 Independence Avenue, SW., STOP 2240, Telephone: (202) 401-6134; Fax: (202) 1602.

ADDITIONAL CONTACTS:

USDA, NIFA, National Program Leader, Institute of Bioenergy, Climate, and Environment, Division of Global Climate Change, 1400 Independence Avenue, SW., STOP 2210, Telephone: (202) 401-4926; Fax: (202) 401-1705;

USDA, NIFA, National Program Leader, Institute of Food Production and Sustainability, Division of Plant Systems-Protection, 1400 Independence Avenue, SW., STOP 2240, Telephone: (202) 401-4939; Fax: (202) 401-1782:

USDA, NIFA, National Program Leader, Institute of Food Safety and Nutrition, Division of Food Safety, 1400 Independence Avenue, SW., STOP 2225, Telephone: (202) 401-1954; Fax: (202) 401-4888;

USDA, NIFA, National Program Leader, Institute of Bioenergy, Climate, and Environment, Division of Bioenergy, 1400 Independence Avenue, SW., STOP 2210, Telephone: (202) 401-5244; Fax: (202) 401-2653;

AND

USDA, NIFA, National Program Leader, Institute of Food Safety and Nutrition, Division of Nutrition, 1400 Independence Avenue, SW., STOP 2225, Telephone: (202) 401-2138; Fax: (202) 401-6488.

, Washington, District of Columbia 20250-2240 Phone: (202) 401-6134 Fax: (202) 401-1602

Website Address:

<http://www.nifa.usda.gov/>

RELATED PROGRAMS:

10.001 Agricultural Research_Basic and Applied Research; 10.200 Grants for Agricultural Research, Special Research Grants; 10.202 Cooperative Forestry Research; 10.203 Payments to Agricultural Experiment Stations Under the Hatch Act; 10.205 Payments to 1890 Land-Grant Colleges and Tuskegee University; 10.210 Food and Agricultural Sciences National Needs Graduate Fellowship Grants; 10.212 Small Business Innovation Research; 10.216 1890 Institution Capacity Building Grants; 10.217 Higher Education Challenge Grants; 10.219 Biotechnology Risk Assessment Research; 10.220 Higher Education Multicultural Scholars Program; 10.250 Agricultural and Rural Economic Research; 10.309 Specialty Crop Research Initiative; 10.500 Cooperative Extension Service; 10.652 Forestry Research; 93.535 Affordable Care Act (ACA) Childhood Obesity Research Demonstration

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: (A) Agricultural and Natural Resources Science for Climate Variability and Change:

A \$9.9 million project was funded that will, over a five year period, study the environmental impact of various dairy production systems and develop best management practices for producers to implement at the farm level. The projects ultimate goal is to increase the resiliency of dairy production systems to changing and more variable climates while reducing greenhouse gas emissions.

A \$9.6 million project that will, over a five year period, seek to better understand vulnerability and resilience of Southern Great Plains beef in an environment of increased climate variability, dynamic land-use and fluctuating markets. The teams goal is to safeguard regional beef production while mitigating the environmental footprint of agriculture.

(B) Food Security:

Advancing Innovative Technologies and Integrated Strategies for Sustainable Management of Thrips-transmitted tospoviruses.

The goal of this project is to discover and use genome resources of tospoviruses, thrips vectors, and plants to mitigate crop losses due to thrips and tospoviruses, while educating new scientists with interdisciplinary perspectives on vector/virus/plant biology.

A Predictive Model to Increase Adoption of IPM of a Mite-virus Disease Complex in Wheat. The goal of this project is to develop an accurate forecasting model that will address the mite-virus disease complex in wheat and improve the growers ability to anticipate and take action to improve sustainability of wheat production systems. The model also will be deployed through Extension and educational curricula.

Improved Sustainable Food Systems to Reduce Hunger and Food Insecurity Domestically and Globally.

The goal of this project is to build a sustainable food production system and distribution hub to address the regional food deserts around a small city, research the effectiveness of innovative methods of indoor food production practices, and develop an educational program to train citizens in operations, marketing, and entrepreneurship.

Minimizing Diseases Due to Fungal Pathosystems.

The goal of this project is to create Sudden Death Syndrome (SDS) resistant soybean cultivars using transgenic approaches to develop novel SDA resistance genes and incorporate them into elite soybean lines. Soybeans are a high value food and feed crop in the U.S. and globally, and SDS is a major threat to U.S. soybean production.

Enhancing Tribal Health and Food Security in the Klamath Basin of Oregon and California by Building a Sustainable Regional Food System.

The goal of this project is to:

- 1) assess the historical and existing food system within the Klamath basin including traditional, contemporary, and commodity foods systems from production/land management through consumption, with particular emphasis on policy barriers and enablers of a healthy food system;
- 2) build capacity of local partners and community members through education and extension to improve productivity of local and regional food systems, combat obesity and diabetes, and reduce food insecurity;
- 3) implement and evaluate locally designed projects to increase access, availability and affordability of healthy foods including,
 - a) increased production and processing of fresh fruits, vegetables and meats,
 - b) improved agro-forestry management to increase supply of traditional foods,
 - c) testing innovative local food distribution networks such as mobile farmers markets, farm/forest/fish to institution and traditional barter and trade,
 - d) nutrition education and cooking classes;
- 4) measure the impact of the interventions on youth, elder and community health, attitude toward and consumption patterns of healthy foods; and
- 5) Enhance collaborative and shared learning opportunities through the development of a Klamath basin food security network and library.

(C) Food Safety:

Controlling foodborne bacterial and viral pathogens using microwave technologies.

Advancing processing technologies as multiple hurdles to inactivate STEC and viruses during beef processing and on non-intact beef products.

Inactivating enteric foodborne viruses in high-risk foods by non-thermal processing technologies.

(D) Sustainable Bioenergy:

The Northeast has substantial demand for transportation fuels, an educated and capable rural workforce, and over 3 million acres of marginal, degraded and abandoned land that could become productive, profitable sources of biomass with improved management. Under-utilized agricultural land that can be used to grow short-rotation woody crops and perennial grasses can play important, complementary, co-evolving roles in creating a sustainable, reliable, and affordable feedstock supply for biofuels, bioenergy, and biomaterials production for the region.

The NorthEast Woody/warm-season Biomass (NEWBio) Consortium will

design, implement, analyze, and evaluate robust, scalable, and sustainable value chains for biomass feedstocks from New England to West Virginia. NEWBio is a unique collaborative network of public and private universities, businesses, non-profit organizations, and government agencies organized around a set of four large-scale demonstration sites, each forming the basis of a 500 to 1200 ton/day supply chain of lignocellulosic biomass suitable for advanced transportation fuels. Each demonstration is stakeholder driven, with commercial collaborators committed to feedstock production, logistics, preprocessing and conversion. These demonstration sites will provide a real-world focus for our teams research, extension, and educational efforts in three technical thrusts: human systems; feedstock improvement; harvest, preprocessing, and logistics; with five integrating themes: sustainability systems; safety and health; extension; education; and leadership. Through an intensive program of stakeholder engagement NEWBio will:

- 1) provide the scientific and practical knowledge needed to overcome current barriers;
- 2) educate the entrepreneurs, employees, and citizens who will translate that knowledge into action; and
- 3) realize a sustainable bioenergy future.

(E) NIFA Fellowship Grant Program:

Projects were funded that will develop the next generation of leaders who will be actively engaged in agriculture, forestry, and food related research, education, and extension careers. Successful proposals focused on developing the next generation of scientists who will lead agriculture into the future by solving current and future challenges facing our society. The awarded projects also targeted talented, highly-motivated doctoral candidates and postdoctoral trainees that demonstrate remarkable promise and demonstrated the ability to increase the number of gifted agricultural scientists in the United States.

Further information is available at

<http://www.nifa.usda.gov/fo/fellowshipgrantprogramafri.cfm>.

(F) Childhood Obesity Prevention:

The Wave-Ripples For Change: Obesity Prevention In Active Youth In Afterschool Programs Using Virtual- And Real-World Experiential Learning

This is an integrated project (Research, Education, and Extension) involving active teens ages 15-19 in Oregon. The goal is to teach life skills (such as gardening, food preparation, and food preservation skills) in addition to nutrition and physical activity education to support sustainable healthy eating and adequate physical activity among 4-H soccer team players.

Adolescent And Parent Food Activity Patterns As Drivers Of Food Choices And Behaviors

The overall goal of the proposed project is to examine the drivers of food shopping patterns, behaviors, and food purchasing choices within the food activity space among adolescents and their parents.

Prevention Of Late Adolescent Obesity In The College Environment: An Optimal Default Paradigm

This project examines how the manipulation of the default mode (i.e., the usual and customary decisions that are made preemptively for the public unless they opt out) may serve as a critical strategy in the prevention of adolescent obesity. By systematically manipulating the health value of the default mode, the experiment outlined in this proposal examines how hard individuals will work to remain in or obtain healthy choices in the context of the college dining system.

(G) - Foundational

Not Applicable. There were no AFRI Foundational Program in FY 2012.

(H) Ecology and Evolution of Infectious Diseases (EEID):

In FY 2012, Seven agricultural themes were funded in the EEID program. Of the seven, one was supported by USDA and the other six by NSF, NIH, and the

BBSRC.

Antibiotic resistance: maintenance & dissemination in humans/cattle/wildlife in 3 ecologically distinct zones in Tanzania

Active Adaptive Management (synthesizing real time data into a predictive model for disease outbreak response; Foot and Mouth Disease);

Modeling disease management options for farmed and wild salmon and trout (IHNV)

Leaky vaccines as drivers of increased virulence (Mareks disease in poultry);

Developing a framework to model disease transmission in marine invertebrates (Dermo disease in Eastern oysters);

Research Collaborative Network to join researchers interested in modeling climate change effects on infectious marine disease;

Dynamics of avian influenza in Asia.

(I) Plant Feedstock Genomics for Bioenergy: a Joint Research (USDA & DOE):

Funded projects included phenotyping plant germplasm collections and advanced breeding lines in public breeding programs of bioenergy crops and fundamental research to enhance translation of genomics information into cultivar improvement utilizing candidate bioenergy crops. Fiscal Year 2013:

(A) Agricultural and Natural Resources Science for Climate Variability and Change:

No information available. Awards for FY '13 have not yet been made. Pertinent data will be provided by Program at a future date.

(B) Food Security:

Applications have not yet been received. No data available except that applications will be focused on minimizing losses to food crops and food animals. Pertinent data will be provided by Program at a future date.

(C) Food Safety:

Information Not Yet Available. Pertinent data will be provided by Program at a future date.

(D) Sustainable Bioenergy:

No information available. Awards have not yet been made. Pertinent data to be provided by Program at a future date.

(E) NIFA Fellowship Grant Program:

Award recommendations are pending. Once executed, information will be available at <http://www.nifa.usda.gov/fo/fellowshipsgrantprogramafri.cfm>.

Pertinent data to be provided by Program at a future date.

(F) Childhood Obesity Prevention:

It is anticipated that projects funded in 2013 will increase our understanding of childhood obesity prevention. Pertinent data will be provided by Program at a future date.

(G) - Foundational

1) Plant Breeding for Agricultural Production: It is anticipated that projects funded in 2013 will lead to the development of new and improved cultivars.

2) Understanding Biological Mechanisms for Plant Production: It is anticipated that projects funded in 2013 will increase our understanding of biological processes of important agricultural plants that could enhance productivity, reduce yield losses caused by environmental stresses, and improve plant composition and nutritional quality.

3) Understanding Plant-Associated Microorganisms and Plant-Microbe Interactions Program: It is anticipated that projects funded in FY 2013 under Understanding Plant-Associated Microorganisms and Plant-Microbe Interactions will increase our understanding of mechanisms used by

microorganisms to interact with plant hosts and/or with other plant-associated microorganisms. Funded projects will also increase our understanding of mechanisms used by plants to respond to or interact with microorganisms. This knowledge is expected to ultimately enhance agricultural productivity by reducing yield losses caused by disease and by improving yields resulting from interactions between plants and beneficial microorganisms.

4) Controlling Weedy and Invasive Plants: Biological control is one of the only long-term solutions to problems with invasive species and crop pests, and understanding the processes that lead to success or failure is critical. This projects goal s to increase the success of biological control through improved understanding of the consequences of plant hybridization and to provide recommendations that would change the current approaches to biological control agent importation, testing and release.

This study will focus on the seed decay of weeds as a possible means of management and control through the use of selected fungi that cause wild oat seed decay in soil, with the long-term goal of developing organisms that promote selective weed seed decay in the field.

This project assesses a broad variety of ecological processes related to integrated pest management of Scotch broom and then uses links between forestry practices and broom invasion to design integrated strategies to prevent future invasions, control existing infestations, and mitigate the impacts of broom on Northwestern Forests.

5) Plant-Associated Insects and Nematodes: It is anticipated that funded projects in 2013 will increase the knowledge of biological and environmental processes that affect the abundance and spread of plant-associated pest and beneficial insects or nematodes in agricultural systems.

6) Animal Reproduction: It is anticipated that funded projects will increase the understanding of cellular, molecular, genomic/genetic of whole-animal aspects of animal reproduction.

7) Animal Nutrition, Growth and Lactation: It is anticipated that funded proposals will improve the understanding of cellular, molecular, genomic/genetic or whole-animal aspects of nutrition, growth and lactation.

8) Animal Health and Disease: It is anticipated that funded proposals will lead to the understanding of cellular, molecular, genomic/genetic or whole-animal aspects of nutrition, growth and lactation.

9) Tools and Resources for Animal Breeding, Genetics and Genomics: It is anticipated that projects funded in 2013 will improve the reference genome sequences, genome annotation of agricultural animals, improve cyber-infrastructure interfaces for analyzing large genomics datasets, and provide new tools for selecting animals enhance productivity, reduce yield losses caused by disease and environmental stresses, and improve animal health and nutrition.

10) Physical and Molecular Mechanisms of Food Contamination: The knowledge generated from this 2013 AFRI Foundational Food Safety program will be useful in: enhancing the microbial, physical, and chemical safety of foods by preventing and mitigating contamination.

There have been no awards finalized (i.e., made official) for the 2013 Food Safety Foundational Program. Thus, no funded project examples can be provided at this time.

11) Function and Efficacy of Foods: It is anticipated that projects funded in 2013 will increase our understanding of the role of bioactive components in food in preventing inflammation or promoting gastrointestinal health.

12) Improving Food Quality: The program anticipates funding projects that improve food quality by understanding food interactions and developing novel processing and packaging technologies.

13) Soil, Air, and Water Processes in Agroecosystems: The program

anticipates funding of projects that improve the understanding of fundamental soil, air, and water processes in actively managed ecosystems, rangelands, and/or forests.

14) Engineering, Products, and Processes: It is anticipated that projects funded in 2013 will focus on engineering, products, and processes to improve agriculturally relevant plant, animal, forestry, and natural resource systems.

15) Nanotechnology for Agricultural and Food Systems: This program anticipates funding of projects that focus on developing nanotechnology enabled solutions to improve food security.

16) Small and Medium-Sized Farms: This program anticipates funding projects that focus on

- i) developing appropriate management strategies and technologies to enhance the efficiency, sustainability and competitiveness of small and medium-sized agricultural production related operations; and
- ii) implementation of programs that assist beginning small and medium-sized farms; and
- iii) examining strategies that inform policy makers.

17) Entrepreneurship, Technology and Innovation: It is anticipated that the projects funded in 2013 will focus on enhancing the rural entrepreneurship, technology transfer and innovation to promote sustainability of small and medium-sized farms and rural communities.

18) Rural Families, Communities and Regional Development: The program anticipates on funding projects that focus on strategies protecting rural environment, promoting economic development, health, and wellbeing, and enhancing the quality of life in rural America.

19) Economics, Markets and Trade: The program anticipates on funding projects that focus on agricultural market structure, performance in the supply chain, international trade, production and resource use, consumer behavior and behavioral economics, farm labor market, immigration and policy design, technology development, and science and innovation policy.

20) Environment: It is anticipated that projects funded in FY 2013 will enhance the understanding of causes, tradeoffs, implications, or impacts of market failure on agricultural systems and rural communities.

(H) Ecology and Evolution of Infectious Diseases (EEID):

Final award recommendations are not finalized for FY 2013 and will be announced with a joint NSF, NIH, USDA, BBSRC press release in September 2013. USDA anticipates supporting two awards in FY 2013, one for an agricultural plant disease and one for an agricultural animal disease. Approximately 8 other awards are anticipated that will be funded by the other partners.

(I) Plant Feedstock Genomics for Bioenergy: a Joint Research (USDA & DOE): This is the seventh year of the joint USDA and DOE funding program. DOE's Office of Science will provide \$6 million in funding for five projects, while USDA's National Institute of Food and Agriculture (NIFA) will award \$2 million to fund two projects. Initial funding will support research projects for up to three years. The USDA and DOE projects are designed to improve crops to be grown for biofuels including selected trees and grasses by increasing their yield, quality and ability to adapt to extreme environments. Researchers will rely on the most advanced techniques of modern genomics to develop breeding and other strategies to improve the crops. The research will be conducted on switchgrass, poplar and pine, among other plants. The potential benefits of this research range from decreasing oil imports to increasing options for American farmers. Because these crops will be optimized to tolerate conditions such as drought and poor soils, they can be grown on marginal lands unsuitable for food crops, thereby avoiding competition with food production. Fiscal Year 2014: (A) Agricultural and Natural Resources Science for Climate Variability and Change:

No information available. Awards for FY '13 have not yet been made. Pertinent data will be provided by Program at a future date.

(B) Food Security:

No data is available. Pertinent data will be provided by Program at a future date.

(C) Food Safety:

Information Not Yet Available. Pertinent data will be provided by Program at a future date.

(D) Sustainable Bioenergy:

No information available. Awards have not yet been made. Pertinent data to be provided by Program at a future date.

(E) NIFA Fellowship Grant Program:

Pertinent data to be provided by Program at a future date.

(F) Childhood Obesity Prevention:

No Current Data Available Fiscal Year 2014. Pertinent data to be provided by Program at a future date.

(G) - Foundational

No Current Data Available Fiscal Year 2014. Pertinent data to be provided by Program at a future date.

(H) Ecology and Evolution of Infectious Diseases (EEID):

No information to report. Pertinent data to be provided by Program at a future date.

(I) Plant Feedstock Genomics for Bioenergy: a Joint Research (USDA & DOE): Not available. Pertinent data to be provided by Program at a future date.

CRITERIA FOR SELECTING PROPOSALS:

Within guidelines established for the program as described in the RFAs.

10.311 BEGINNING FARMER AND RANCHER DEVELOPMENT PROGRAM

(BFRDP)

FEDERAL AGENCY:

National Institute of Food and Agriculture, Department of Agriculture

AUTHORIZATION:

Section 7405 of the Farm Security and Rural Investment Act of 2002, [7 U.S.C. 3319f].

, Public Law 107-171, 7 U.S.C 3319f.

OBJECTIVES:

To support the nations beginning farmers and ranchers by making competitive grants to new and established local and regional training, education, outreach, and technical assistance initiatives that address the needs of beginning farmers and ranchers.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Competitive grants are made to support:

- (A) mentoring, apprenticeships, and internships;
- (B) resources and referral;
- (C) assisting beginning farmers or ranchers in acquiring land from retiring farmers and ranchers;
- (D) innovative farm and ranch transfer strategies;
- (E) entrepreneurship and business training;
- (F) model land leasing contracts;
- (G) financial management training;
- (H) whole farm planning;
- (I) conservation assistance;

- (J) risk management education;
- (K) diversification and marketing strategies;
- (L) curriculum development;
- (M) understanding the impact of concentration and globalization;
- (N) basic livestock and crop farming practices;
- (O) the acquisition and management of agricultural credit;
- (P) environmental compliance;
- (Q) information processing; and
- (R) other similar subject areas of use to beginning farmers or ranchers.

In addition, cooperative agreements may be awarded to establish Education Teams:

(1) In General:

In carrying out this section, the Secretary shall establish beginning farmer and rancher education teams to develop curricula and conduct educational programs and workshops for beginning farmers or ranchers in diverse geographical areas of the United States.

(2) Curriculum:

In promoting the development of curricula, the Secretary shall, to the maximum extent practicable, include modules tailored to specific audiences of beginning farmers or ranchers, based on crop or regional diversity.

(3) Composition:

In establishing an education team for a specific program or workshop, the Secretary shall, to the maximum extent practicable:

(A) obtain the short-term services of specialists with knowledge and expertise in programs serving beginning farmers or ranchers; and

(B) use officers and employees of the Department with direct experience in programs of the Department that may be taught as part of the curriculum for the program or workshop.

(4) Cooperation:

(A) In General:

In carrying out this subsection, the Secretary shall cooperate, to the maximum extent practicable, with:

- (i) State cooperative extension services;
- (ii) Federal and State agencies;
- (iii) community-based and nongovernmental organizations;
- (iv) colleges and universities (including an institution awarding an associates degree) or foundations maintained by a college or university; and
- (v) other appropriate partners, as determined by the Secretary.

(B) Cooperative Agreement:

Notwithstanding chapter 63 of title 31, United States Code, the Secretary may enter into a cooperative agreement to reflect the terms of any cooperation under subparagraph (A).

An award also may be made to establish a Curriculum and Training Clearinghouse.

The Secretary shall establish an online clearinghouse that makes available to beginning farmers or ranchers education curricula and training materials and programs, which may include online courses for direct use by beginning farmers or ranchers.

Set-Aside:

Not less than 25 percent of funds used to carry out this subsection for a fiscal year shall be used to support programs and services that address the needs of:

- (A) limited resource beginning farmers or ranchers (as defined by the Secretary);
- (B) socially disadvantaged beginning farmers or ranchers (as defined in section 355(e) of the Consolidated Farm and Rural Development Act (7 U.S.C. 2003(e)); and
- (C) farmworkers desiring to become farmers or ranchers. Set-Aside: Not less than 25 percent of funds used to carry out this subsection for a fiscal year shall be used to support programs and services that address the needs of:
 - (A) limited resource beginning farmers or ranchers (as defined by the Secretary);

(B) socially disadvantaged beginning farmers or ranchers (as defined in section 355(e) of the Consolidated Farm and Rural Development Act (7 U.S.C. 2003(e)); and

(C) farmworkers desiring to become farmers or ranchers.

Prohibition:

A grant made under this subsection may not be used for the planning, repair, rehabilitation, acquisition, or construction of a building or facility.

Indirect Costs (Federal Budget):

Grants: Not to exceed 22% of Federal Funds Awarded

Cooperative Agreements (if only CCC funding made available under section 7405 of the Farm Security and Rural Investment Act of 2002): Full Negotiated Rate.

Cooperative Agreements (if any discretionary funding made available in the annual appropriations): Not to exceed 10% of total direct cost of agreement if made to a nonprofit institution (including an educational institution)

Full Negotiated Rate if made to an entity other than a nonprofit institution

Special Note on Indirect Costs as in-kind matching contributions:

Indirect costs may be claimed under the Federal portion of the award budget or, alternatively, indirect costs may be claimed as a matching contribution (if no indirect costs are requested under the Federal portion of the award budget). However, unless explicitly authorized in the RFA, indirect costs may not be claimed on both the Federal portion of the award budget and as a matching contribution, unless the total claimed on both the Federal portion of the award budget and as a matching contribution does not exceed the maximum allowed indirect costs or the institutions negotiated indirect cost rate, whichever is less. An awardee may split the allocation between the Federal and non-Federal portions of the budget only if the total amount of indirect costs charged to the project does not exceed the maximum allowed indirect costs or the institutions negotiated indirect cost rate, whichever is less. For example, if an awardee's indirect costs are capped at 30 percent pursuant to FY 2012 appropriated funds, Section 720 of the Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2012 (Division A of Pub. L. 112-55), the awardee may request 15 percent of the indirect costs on both the Federal portion of the award and as a matching contribution. Or, the awardee may request any similar percentage that, when combined, does not exceed the maximum indirect cost rate of 30 percent.

Applicant Eligibility:

The recipient must be a collaborative, State, tribal, local, or regionally-based network or partnership of public or private entities, which may include: state cooperative extension service; community-based and nongovernmental organization; college or university (including institutions awarding associate degrees); or any other appropriate partner. Others may be eligible to apply. Please refer to Part III of the current BFRDP Request for Applications for complete eligibility requirements.

Beneficiary Eligibility:

The recipient must be a collaborative, State, tribal, local, or regionally-based network or partnership of public or private entities, which may include: state cooperative extension service; community-based and nongovernmental organization; college or university (including institutions awarding associate degrees); or any other appropriate partner. Others may be eligible to apply. Please refer to Part III of the current BFRDP Request for Applications for complete eligibility requirements.

Credentials/Documentation:

No Credentials or documentation are required. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

All RFAs are published on the Agencies website and Grants.gov. Applicants must complete the Grants.gov registration process. Please see the following Grants.gov link for more information: http://www.grants.gov/applicants/get_registered.jsp. An environmental impact statement is required for this program. This program is excluded from coverage

under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applications should be submitted as outlined in the RFA. Applications must follow the instructions provided per Grants.Gov and in the Agency guide to submitting applications via Grants.gov.

Award Procedure:

Applications are subjected to a system of peer and merit review in accordance with section 103 of the Agricultural Research, Extension and Education Reform Act of 1998 (7 U.S.C. 1613) by a panel of qualified scientists and other appropriate persons who are specialists in the field covered by the proposal. Within the limit of funds available for such purpose, the NIFA Authorized Departmental Officer (ADO) shall make grants to those responsible, eligible applicants whose applications are judged most meritorious under the procedures set forth in the RFA.

Reviewers will be selected based upon training and experience in relevant scientific, extension, or education fields, taking into account the following factors:

- (a) The level of relevant formal scientific, technical education, or extension experience of the individual, as well as the extent to which an individual is engaged in relevant research, education, or extension activities;
- (b) the need to include as reviewers experts from various areas of specialization within relevant scientific, education, or extension fields;
- (c) the need to include as reviewers other experts (e.g., producers, range or forest managers/operators, and consumers) who can assess relevance of the applications to targeted audiences and to program needs;
- (d) the need to include as reviewers experts from a variety of organizational types (e.g., colleges, universities, industry, state and Federal agencies, private profit and non-profit organizations) and geographic locations;
- (e) the need to maintain a balanced composition of reviewers with regard to minority and female representation and an equitable age distribution; and
- (f) the need to include reviewers who can judge the effective usefulness to producers and the general public of each application.

Evaluation Criteria:

In making grants under this subsection, the Secretary shall evaluate:

- (A) relevancy;
- (B) technical merit;
- (C) achievability;
- (D) the expertise and track record of 1 or more applicants;
- (E) the adequacy of plans for the participatory evaluation process, outcome-based reporting, and the communication of findings and results beyond the immediate target audience; and
- (F) other appropriate factors, as determined by the Secretary.

Regional Balance:

In making grants under this subsection, the Secretary shall, to the maximum extent practicable, ensure geographical diversity.

Priority:

In making grants under this subsection, the Secretary shall give priority to partnerships and collaborations that are led by or include nongovernmental and community based organizations with expertise in new agricultural producer training and outreach.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

:094 Deadlines:

Dates for specific deadlines are announced in the RFA each fiscal year.

:095 Range of Approval/Disapproval Time:
From 30 to 180 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Percent: 25%. Funds are awarded competitively. No formula grants are awarded under Section 7405 of the Farm Security and Rural Investment Act of 2002, [7 U.S.C. 3319f].

To be eligible to receive a grant under this program, a recipient must provide a match in the form of cash or in-kind contributions in an amount equal to 25 percent of the funds provided by the grant. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The term of a competitive grant under this program may not exceed three (3) years. Method of awarding/releasing assistance: by letter of credit.

Reports:

Grantees are to submit initial project information and annual summary reports to NIFAs electronic, Web-based inventory system that facilitates both grantee submissions of project outcomes and public access to information on Federally-funded projects. The details of the reporting requirements are included in the award terms and conditions. NIFA uses the SF-425, Federal Financial Report to monitor cash. Grantees are to submit initial project information and annual summary reports to NIFAs electronic, Web-based inventory system that facilitates both grantee submissions of project outcomes and public access to information on Federally-funded projects. The details of the reporting requirements are included in the award terms and conditions. Quarterly Reports of Federal Cash Transactions (SF-272) are required by the Department of Health and Human Services (DHHS) (www.dpm.psc.gov) and are submitted online through the DHHS Payment Management System (PMS) website. If you become delinquent in these reports, you will not be able to access your funds.

A final Financial Status Report (SF-269) or "Federal Financial Report" (SF-425) is due within 90 days of the expiration date of the grant and should be submitted to the address listed below, in accordance with instructions contained in 2 CFR 3430.55 (also refer to Section 3015.82 of the Uniform Federal Assistance Regulations).

Awards Management Division (AMD)
Office of Grants and Financial Management (OGFM)
National Institute of Food and Agriculture (NIFA)
U.S. Department of Agriculture (USDA)
STOP 2271
1400 Independence Avenue, SW
Washington, DC 20250-2271

Telephone: (202) 401-4986. Grantees are to submit initial project information and annual summary reports to NIFAs electronic, Web-based inventory system that facilitates both grantee submissions of project outcomes and public access to information on Federally-funded projects. The details of the reporting requirements are included in the award terms and conditions.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audits will be conducted in accordance with guidelines established in the revised OMB Circular No. A-133, Audits of States, Local Governments, and Nonprofit Organizations, and

implemented in 7 CFR 3052. This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

In accordance with the Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and other Non-profit Organizations [2 CFR 215, Subpart C, Section 215.53, (OMB Circular A-110)] grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be retained at least three (3) years. Records must be retained beyond the three-year period if litigation is pending or audit findings have not been resolved.

Account Identification:

12-0502-0-1-352.

Obligations:

(Cooperative Agreements) FY 12 \$18,120,832; FY 13 est \$0; and FY 14 est \$0 - The difference between the appropriation and obligation numbers reflects legislative authorized set-asides deducted as appropriate, and in some cases the availability of obligational authority from prior years.

NOTE:

Farm bill programs expired on Sept. 30, 2012. Legislation to extend the Farm bill did not include these mandatory funds.

This program is authorized under the FY 2008 Farm Bill, which expires at the end of the FY 2012. To date no new legislation has been enacted.

Range and Average of Financial Assistance:

Minimum and maximum amounts of funding per grant are established by the annual program announcement or RFA. However, the amount of the competitive grants shall not exceed \$ 250,000 for each year.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: \$18.8 million was awarded to 43 new projects in FY2012. Fiscal Year 2013: Funding for this program expired with the 2008 Farm Bill, so there are no new projects in FY 2013. Fiscal Year 2014: No new projects will be funded unless the program is re-funded in a new Farm Bill.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 3430, Competitive and Noncompetitive Non-formula Grant Programs General Grant Administrative Provisions and Program-Specific Administrative Provisions; 2 CFR Part 215, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and other Non-profit Organizations (OMB Circular A-110); 2 CFR Part 220, Cost Principles for Educational Institutions (OMB Circular A-21); 2 CFR Part 225, Cost Principles for State, Local, and Indian Tribal Governments (OMB Circular A-87); 2 CFR Part 230, Cost Principles for Non-profit Organizations (OMB Circular A-122); 7 CFR Part 3015, USDA Uniform Federal Assistance Regulations; 7 CFR Part 3017, Government wide Debarment and Suspension (Nonprocurement) and Government wide Requirements for Drug-Free Workplace (Grants); 7 CFR Part 3018, New Restrictions on Lobbying; and 7 CFR Part 3019, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-profit Organizations.

Regional or Local Office:

None.

Headquarters Office:

USDA, NIFA, National Program Leader, Institute of Food Production and Sustainability, Division of Agricultural Systems, 1400 Independence Avenue, SW., STOP 2240, Washington, District of Columbia 20250-2240 Phone: (202) 401-0151 Fax: (202) 401-5179

Website Address:

<http://www.nifa.usda.gov/>

RELATED PROGRAMS:

10.500 Cooperative Extension Service

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: A project in Pennsylvania is developing and deploying innovative educational programs and networking opportunities for new and beginning farmers, specifically targeting women farmers, but open to all.

A community-based organization in New Hampshire is assisting refugees and immigrants to build sustainable farm enterprises that are consistent with their culture and lifestyle aspirations, through outreach, training, and assistance.

A project in Texas is helping limited-resource Hispanic producers to successfully direct market their agricultural produce and products.

A non-profit organization is helping farm and ranch enterprises in Nebraska and South Dakota by providing land, skills, and education to beginning farmers and ranchers; estate and transition planning to retiring landowners; and by matching beginning producers who need land with retiring landowners who need a successor.

A project in the Western states providing classroom training and experiential learning for smaller-scale specialty crop and livestock producers, and for the non-profit partners who frequently support the production, marketing and networking that are so critical to the development of new or transitioning farm or ranch enterprises. Fiscal Year 2013: 106 projects funded in prior years are still underway and producing results, including a comprehensive clearinghouse at www.start2farm.gov. Fiscal Year 2014: Even if there is no new funding in FY2014, approximately 69 project funded in prior years will still be active.

CRITERIA FOR SELECTING PROPOSALS:

Within guidelines established for the program as described in the RFAs.

10.312 BIOMASS RESEARCH AND DEVELOPMENT INITIATIVE COMPETITIVE GRANTS PROGRAM (BRDI)

(BRDI)

FEDERAL AGENCY:

National Institute of Food and Agriculture, Department of Agriculture

AUTHORIZATION:

Collaboration between Department of Energy (DOE) and Department of Agriculture (USDA) on a Biomass Research and Development Initiative is directed under various statutory authorities, primarily the Food, Conservation, and Energy Act of 2008 (FCEA) (Public Law 110-246) and the Energy Policy Act of 2005 (EPAAct 2005)(Public Law 109-58; Section 941). USAs authorization appears in Section 9008 of the Food, Conservation, and Energy Act of 2008 (FCEA) (Public Law 110-246) which replaced section 9008 of the Farm Security, and Rural Investment Act of 2002 (FSRIA) (Public Law 107-171) [7 U.S.C. 8108]; thereby amending section 307 of the Biomass Research and Development Act of 2000 (BRDA) (7 U.S.C. 7624 note, and 7 U.S.C. 8101 note; Public Law 106224). Pursuant to Executive Order 13134, BRDA can be found at (7 U.S.C. 8601 et seq). BRDA authorizes the Biomass Research and Development. , Public Law 109-58, 7 U.S.C 8606.

OBJECTIVES:

To carry out research on and development and demonstration of (A) biofuels and biobased products; and (B) the methods, practices, and technologies, for the production of biofuels and biobased products.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Grant funds must be used for allowable costs necessary to conduct approved research and extension objectives. Funds shall not be used for the construction of a new building or facility or the acquisition, expansion, remodeling, or alteration of an existing building or facility (including site grading and improvement, and architect fees).

Funds may not be used for any purposes other than those approved in the grant award documents. Indirect costs may not exceed 22% of Federal Funds Awarded.

Special Note on Indirect Costs as in-kind matching contributions:

Indirect costs may be claimed under the Federal portion of the award budget or,

alternatively, indirect costs may be claimed as a matching contribution (if no indirect costs are requested under the Federal portion of the award budget). However, unless explicitly authorized in the RFA, indirect costs may not be claimed on both the Federal portion of the award budget and as a matching contribution, unless the total claimed on both the Federal portion of the award budget and as a matching contribution does not exceed the maximum allowed indirect costs or the institutions negotiated indirect cost rate, whichever is less. An awardee may split the allocation between the Federal and non-Federal portions of the budget only if the total amount of indirect costs charged to the project does not exceed the maximum allowed indirect costs or the institutions negotiated indirect cost rate, whichever is less. For example, if an awardee's indirect costs are capped at 30 percent pursuant to FY 2012 appropriated funds, Section 720 of the Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2012 (Division A of Pub. L. 112-55), the awardee may request 15 percent of the indirect costs on both the Federal portion of the award and as a matching contribution. Or, the awardee may request any similar percentage that, when combined, does not exceed the maximum indirect cost rate of 30 percent.

Matching Requirements:

20% for Research and Development Projects / 50% for Demonstration and Commercial Projects.

Applicant Eligibility:

Eligible entities include:

- (A) an institution of higher education;
- (B) a National Laboratory;
- (C) a Federal research agency;
- (D) a State research agency;
- (E) a private sector entity;
- (F) a nonprofit organization; or
- (G) a consortium of 2 or more entities described in subparagraphs (A) through (F).

Beneficiary Eligibility:

Eligible entities include:

- (A) an institution of higher education;
- (B) a National Laboratory;
- (C) a Federal research agency;
- (D) a State research agency;
- (E) a private sector entity;
- (F) a nonprofit organization; or
- (G) a consortium of 2 or more entities described in subparagraphs (A) through (F).

Credentials/Documentation:

No Credentials or documentation are required. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

All RFAs are published on Grants.gov. Applicants must complete the Grants.gov registration process. Please see the following Grants.gov link for more information: http://www.grants.gov/applicants/get_registered.jsp. An environmental impact statement is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applications should be submitted as outlined in the RFA. Applications must follow the instructions provided per Grants.gov and in the Agency guide to submitting applications via Grants.gov. This information collection is approved under OMB Control No. 0524-0039, CSREES Application Kit for Research and Extension Programs.

Award Procedure:

Applications are subjected to a system of peer and merit review in accordance with section 103 of the Agricultural Research, Extension, and Education Reform Act of 1998 (7 U.S.C. 7613) by a panel of qualified scientists and other appropriate persons who are specialists in the field covered by the proposal. Within the limit of funds available for such purpose, the NIFA Authorized Departmental Officer (ADO) shall make grants to those responsible, eligible applicants whose applications are judged most meritorious under the procedures

set forth in the RFA.

Reviewers will be selected based upon training and experience in relevant scientific, extension, or education fields, taking into account the following factors: (a) The level of relevant formal scientific, technical education, or extension experience of the individual, as well as the extent to which an individual is engaged in relevant research, education, or extension activities; (b) the need to include as reviewers experts from various areas of specialization within relevant scientific, education, or extension fields; (c) the need to include as reviewers other experts (e.g., producers, range or forest managers/operators, and consumers) who can assess relevance of the applications to targeted audiences and to program needs; (d) the need to include as reviewers experts from a variety of organizational types (e.g., colleges, universities, industry, state and Federal agencies, private profit and non-profit organizations) and geographic locations; (e) the need to maintain a balanced composition of reviewers with regard to minority and female representation and an equitable age distribution; and (f) the need to include reviewers who can judge the effective usefulness to producers and the general public of each application.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

:094 Deadlines:

Dates for specific deadlines are announced in the RFAs for each fiscal year.

:095 Range of Approval/Disapproval Time:

From 30 to 180 days.

Appeals:

Not Applicable.

Renewals:

Specific details are announced in the RFAs for each fiscal year.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Funds are awarded competitively. No formula grants are awarded under this authority.

The minimum required recipient cost share must be at least 20% of the total allowable costs for Research and Development (R&D) projects and 50% of the total allowable costs for Demonstration projects.

Applicant cost share must come from non-Federal sources unless otherwise allowed by law.

No blending of R&D and Demonstration or associated cost share will be permitted.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The term of a competitive grant under this authority may not exceed five (5) years. Method of awarding/releasing assistance: by letter of credit.

Reports:

Grantees are to submit initial project information and annual summary reports to NIFAs electronic, Web-based inventory system that facilitates both grantee submissions of project outcomes and public access to information on Federally-funded projects. The details of the reporting requirements are included in the award terms and conditions. NIFA uses the SF-425, Federal Financial Report to monitor cash. Grantees are to submit initial project information and annual summary reports to NIFAs electronic, Web-based inventory system that facilitates both grantee submissions of project outcomes and public access to information on Federally-funded projects. The details of the reporting requirements are included in the award terms and conditions. A final Financial Status Report (SF-269) or Federal Financial Report (SF-425) is due within 90 days of the expiration date of the grant and should be submitted to the address listed below, in accordance with instructions contained in 2 CFR 3430.55 (also refer to Section 3015.82 of the Uniform Federal Assistance Regulations).

Awards Management Division (AMD)
Office of Grants and Financial Management (OGFM)
National Institute of Food and Agriculture (NIFA)
U.S. Department of Agriculture (USDA)
STOP 2271

1400 Independence Avenue, SW
Washington, DC 20250-2271

Telephone: (202) 401-4986. Grantees are to submit initial project information and annual summary reports to NIFAs electronic, Web-based inventory system that facilitates both grantee submissions of project outcomes and public access to information on Federally-funded projects. The details of the reporting requirements are included in the award terms and conditions.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audits will be conducted in accordance with guidelines established in the revised OMB Circular No. A-133, Audits of States, Local Governments, and Non-profit Organizations, and implemented in 7 CFR 3052. This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

In accordance with the Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and other Non-profit Organizations [2 CFR 215, Subpart C, Section 215.53, (OMB Circular A-110)] grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be retained at least three (3) years. Records must be retained beyond the three-year period if litigation is pending or audit findings have not been resolved.

Account Identification:

12-1003-0-1-352.

Obligations:

(Project Grants) FY 12 \$38,265,000; FY 13 est \$0; and FY 14 est \$24,825,000 - The difference between the appropriation and obligation numbers reflects legislative authorized set-asides deducted as appropriate, and in some cases the availability of obligational authority from prior years.

NOTES:

(1) This program is authorized under the FY 2008 Farm Bill, which expired at the end of FY 2012. Legislation to extend the Farm bill did not include these mandatory funds.

(2) This program represents no year funds.

(3) The President's FY 2014 Budget proposal includes a proposed \$26 million for this program under the Commodity Credit Corporation.

Range and Average of Financial Assistance:

Minimum and maximum amounts of funding per grant are established by the annual program announcement or RFA.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Biomass Research and Development (BRDI) solicits for technologies that support the production of biofuels and biobased products. The program seeks to promote technologies and processes necessary for abundant commercial production of biofuels at prices competitive with fossil fuels; produce high-value biobased products to serve as substitutes for petroleum-based counterparts; and promote a diversity of economically and environmentally sustainable feedstocks for biofuels and biobased products.

NIFA was able to support four awards in FY 2012:

1. Distributed On-Farm Bioenergy, Biofuels and Biochemicals (FarmBio3)

Development and Production via Integrated Catalytic Thermolysis

2. Lignocellulosic Biomass Conversion to Infrastructure Compatible Fuel, Products and Power

3. Bioenergy and Biofuels Production from Lignocellulosic Biomass via Anaerobic Digestion and Fisher-Tropsch Reaction

4. Enhancing Economic Viability of Camelina as BioFeedstock: Optimization and Demonstration of the Production. Fiscal Year 2013: In FY 2013, one award was made from the pool of approved for funding FY 2012 applications: Waste to Wisdom: Utilizing forest residues for the production of bioenergy and biobased products. Fiscal Year 2014: The FY 2014 program is contingent upon the re-authorization of the Farm Bill.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 3430, Competitive and Noncompetitive Non-formula Grant Programs General Grant Administrative Provisions and Program-Specific Administrative Provisions; 2 CFR Part 215, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and other Non-profit Organizations (OMB Circular A-110); 2 CFR Part 220, Cost Principles for Educational Institutions (OMB Circular A-21); 2 CFR Part 225, Cost Principles for State, Local, and Indian Tribal Governments (OMB Circular A-87); 2 CFR Part 230, Cost Principles for Non-profit Organizations (OMB Circular A-122); 7 CFR Part 3015, USDA Uniform Federal Assistance Regulations; 7 CFR Part 3017, Government wide Debarment and Suspension (Nonprocurement) and Government wide Requirements for Drug-Free Workplace (Grants); 7 CFR Part 3018, New Restrictions on Lobbying; and 7 CFR Part 3019, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-profit Organizations.

Regional or Local Office:

None.

Headquarters Office:

USDA, NIFA, National Program Leader, Institute of Bioenergy, Climate, and Environment, Division of Bioenergy, 1400 Independence Avenue, SW., STOP 2210, Washington, District of Columbia 20250-2210 Phone: (202) 401-5244 Fax: (202) 401-2653

Website Address:

<http://www.nifa.usda.gov/>

RELATED PROGRAMS:

10.200 Grants for Agricultural Research, Special Research Grants; 10.212 Small Business Innovation Research; 10.215 Sustainable Agriculture Research and Education; 10.310 Agriculture and Food Research Initiative (AFRI) ; 10.320 Sun Grant Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Distributed On-Farm Bioenergy, Biofuels and Biochemicals (FarmBio3) Development and Production via Integrated Catalytic Thermolysis (\$6.9 Million):

ARS scientists will develop an on-the-farm distributed technology for converting forest residues, horse manure, switchgrass and other perennial grasses into biofuels and high-value specialty chemicals. The process will be implemented at on-the-farm scale using a patent-pending unit that will mimic the petroleum industry's catalytic cracking process. The project integrates a life cycle assessment from collection and handling of the biomass to end products and will use thermodynamic principles to assess its sustainability.

Lignocellulosic Biomass Conversion to Infrastructure Compatible Fuel, Products and Power (\$6.6 Million):

This project will convert lignocellulosic biomass to infrastructure-compatible renewable diesel, biolubricants, animal feed and biopower. New hybrids of energy sorghum will be developed, and other biomass resources include switchgrass and forestry residues. The biomass will be converted to hydrocarbons (molecules that are just like petroleum based hydrocarbons but derived from biomass) using innovative pretreatment, fermentation and electrochemical technologies. These hydrocarbons will be finished into premium synthetic bio-lubricants and biofuels via commercial petroleum refinery processes. A life cycle analysis will include energy efficiency impacts

and assessment of impacts on rural development.

Bioenergy and Biofuels Production from Lignocellulosic Biomass via Anaerobic Digestion and Fisher-Tropsch Reaction (\$6.5 Million):

This project will result in an anaerobic digestion system for the production of liquid transportation fuels and electricity from animal manure, agricultural residues, woody biomass and energy crops. The novel anaerobic digestion system will be integrated with partial oxidation and Fisher-Tropsch technologies to produce gasoline. A life cycle analysis will incorporate thermodynamic principles to assess the resource use, energy/fuel production and the environmental impact of the conversion technologies.

Enhancing Economic Viability of Camelina as BioFeedstock: Optimization and Demonstration of the Production (\$5 Million):

The goal of this project is to make the oilseed crop camelina a cost-effective biofuel and bioproduct feedstock. Camelina production will be incorporated into a cropping system with wheat-based crop rotations in Montana and Wyoming. Once harvested and processed, camelina oil and meal will be chemically converted to a variety of adhesives, coatings and composites. A life cycle analysis from agronomic production to end products will assess the feasibility of a nonfood oilseed as a sustainable resource with minimal negative impact on food crop systems or the environment and will provide needed information for decision-making on camelina production as a replacement for fallow in wheat-based systems. Fiscal Year 2013: Waste to Wisdom: Utilizing forest residues for the production of bioenergy and biobased products (\$1.8 Million):

The research goal is to enhance the potential to produce bioenergy and biobased products through effective utilization of forest residues. These products, when produced using mobile biomass conversion technologies and optimized biomass operations logistics, can enhance the economic viability of forest residue utilization. The outcomes from this project will support economic development in rural, forest-dependent communities and provide increased environmental benefits through their positive impacts on the forest and energy sectors. Fiscal Year 2014: The FY 2014 program is contingent upon the re-authorization of the Farm Bill.

CRITERIA FOR SELECTING PROPOSALS:

Within guidelines established for the program as described in the RFAs.

10.313 VETERINARY MEDICINE LOAN REPAYMENT PROGRAM

(VLMRP)

FEDERAL AGENCY:

National Institute of Food and Agriculture, Department of Agriculture

AUTHORIZATION:

National Agricultural Research, Extension, and Teaching Policy Act of 1977 (NAREPTA), Section 1415A [7 U.S.C. 3151a], Public Law 95-113, 7 U.S.C 3151a.

OBJECTIVES:

The program responds to the current shortage of large animal veterinarians in rural areas by encouraging veterinarians, through loan repayment, to provide veterinary service in designated shortage areas.

To carry out a program of entering into agreements with veterinarians under which the Secretary shall pay specified amounts of the principal and interest of qualifying educational loans of the veterinarians.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

(1) **SERVICE IN SHORTAGE SITUATIONS.**The Secretary shall carry out a program of entering into agreements with veterinarians under which the veterinarians agree to provide, for a period of time as determined by the Secretary and specified in the agreement, veterinary services in veterinarian shortage situations. For each year of such service under an agreement under this paragraph, the Secretary shall pay an amount, as

determined by the Secretary and specified in the agreement, of the principal and interest of qualifying educational loans of the veterinarians.

(2) **SERVICE TO FEDERAL GOVERNMENT IN EMERGENCY SITUATIONS.**

(A) **IN GENERAL.**The Secretary may enter into agreements of 1 year duration with veterinarians who have agreements pursuant to paragraph (1) for such veterinarians to provide services to the Federal Government in emergency situations, as determined by the Secretary, under terms and conditions specified in the agreement. Pursuant to an agreement under this paragraph, the Secretary shall pay an amount, in addition to the amount paid pursuant to the agreement in paragraph (1), as determined by the Secretary and specified in the agreement, of the principal and interest of qualifying educational loans of the veterinarians.

(B) **REQUIREMENTS.**Agreements entered into under this paragraph shall include the following:

- (i) A veterinarian shall not be required to serve more than 60 working days per year of the agreement.
- (ii) A veterinarian who provides service pursuant to the agreement shall receive a salary commensurate with the duties and shall be reimbursed for travel and per diem expenses as appropriate for the duration of the service.

None of the funds appropriated to the Secretary under subsection (f) may be used to carry out section 5379 of title 5, United States Code. None of the funds appropriated to the Secretary under subsection (f) may be used to carry out section 5379 of title 5, United States Code. Fully discretionary. Agreements with veterinarians under which the Secretary shall pay specified amounts of the principal and interest of qualifying educational loans of the veterinarians.

Applicant Eligibility:

The Secretary may enter into agreements with veterinarians under which the veterinarians agree to provide, for a period of time as determined by the Secretary and specified in the agreement, veterinary services in veterinarian shortage situations.

Beneficiary Eligibility:

The Secretary may enter into agreements with veterinarians under which the veterinarians agree to provide, for a period of time as determined by the Secretary and specified in the agreement, veterinary services in veterinarian shortage situations.

Credentials/Documentation:

Applicants must furnish the information required in the request for applications (RFAs), which include: (1) a Loan Information Form for each loan to be considered for repayment; (2) an Intent of Employment form providing assurance that the applicant will be engaged in employment at a NIFA-designated veterinary shortage area for not less than three years from the anticipated effective date of the VMLRP contract between the individual and NIFA; and (3) a signed VMLRP Contract by which the applicant agrees to serve the obligated minimum period of three years providing veterinary service in a designated veterinary shortage area. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Applications should be submitted as outlined in the RFA. Applications must follow the instructions provided per the VMLRP website at www.nifa.usda.gov/vmlrp. Qualified individuals interested in participating in the VMLRP should visit the VMLRP website for all application forms and requirements. The application will be evaluated by a peer review panel, convened for this purpose by the NIFA. In selecting applicants for participation in the VMLRP, the panel will consider eligibility status, quality of the applicant, and the applicants career plan focus. All selections are subject to final approval by the VMLRP manager. The NIFA will notify the State Animal Health Official and the applicant of the outcome of

the review.

Several Federal statutes and regulations apply to VMLRP applications considered for review and to service agreements awarded under this program. These include, but are not limited to:

- 7 CFR Part 1, subpart AUSDA implementation of the Freedom of Information Act.
- 7 CFR Part 3USDA implementation of OMB Circular No. A-129 regarding debt collection.
- 7 CFR Part 15, subpart AUSDA implementation of Title VI of the Civil Rights Act of 1964, as amended.
- 7 CFR Part 331 and 9 CFR Part 121USDA implementation of the Agricultural Bioterrorism Protection Act of 2002.
- 7 CFR Part 3015USDA Uniform Federal Assistance Regulations, implementing OMB directives (e.g., OMB Circular Nos. A-21 and A-122 (2 CFR Parts 220 and 230)), and incorporating provisions of 31 U.S.C. 6301-6308 (formerly the Federal Grant and Cooperative Agreement Act of 1977, Pub. L. No. 95-224), as well as general policy requirements applicable to recipients of Departmental financial assistance.
- 7 CFR Part 3017USDA implementation of Governmentwide Debarment and Suspension (Nonprocurement).
- 7 CFR Part 3018USDA implementation of Restrictions on Lobbying. Imposes prohibitions and requirements for disclosure and certification related to lobbying on recipients of Federal contracts, grants, cooperative agreements, and loans.
- 7 CFR Part 3019USDA implementation of OMB Circular A-110, Uniform Administrative Requirements for Grants and Other Agreements With Institutions of Higher Education, Hospitals, and Other Nonprofit Organizations (2 CFR Part 215).
- 7 CFR Part 3021Governmentwide Requirements for Drug-Free Workplace (Financial Assistance).
- 7 CFR Part 3052USDA implementation of OMB Circular No. A-133, Audits of States, Local Governments, and Nonprofit Organizations.
- 7 CFR Part 3407NIFA procedures to implement the National Environmental Policy Act of 1969, as amended.
- 7 CFR Part 3431Veterinary Medicine Loan Repayment Program 29 U.S.C. 794 (section 504, Rehabilitation Act of 1973) and 7 CFR Part 15b (USDA implementation of statute) prohibiting discrimination based upon physical or mental handicap in Federally assisted programs.
- 35 U.S.C. 200 et seq. Bayh Dole Act, controlling allocation of rights to inventions made by employees of small business firms and domestic nonprofit organizations, including universities, in Federally assisted programs (implementing regulations are contained in 37 CFR Part 401).

Award Procedure:

Once the applicant is selected to participate in the VMLRP, the applicant and the NIFA Director (or Secretary of Agriculture) will sign a written binding contract stating the terms and conditions for participating in the VMLRP. The effective date of the contract will be the date it is signed by the NIFA Director, or the date employment/service begins at an NIFA-designated veterinary shortage area, whichever is later.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Dates for specific deadlines are announced in the RFAs or guidelines for each fiscal year.

Appeals:

Not Applicable.

Renewals:

A VMLRP participant completing the initial 3-year period of qualified veterinary service has an option to extend the obligatory period of service on an annual basis.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The VMLRP will pay equal quarterly payments to the lenders. Each payment will be made shortly after the end of each full quarter of satisfactory service. Method of awarding/releasing assistance: quarterly.

Reports:

1) Quarterly Report: VMLRP recipients will be required to verify that the terms of the VMLRP contract are being met on a quarterly basis. Subsequent quarterly loan repayments will not be disbursed until this verification is provided. This report will be due ten business days after the end of each 3 month interval during the VMLRP contract for the previous 3 month period and must include the following information: (a) A listing of states, counties, and/or insular areas served (b) A listing of veterinary services and activities provided in the shortage situation (c) Percentage time (on a 40-hour week basis) providing service to veterinary shortage situation identified in the agreement. Program participants are responsible for notifying NIFA of any changes in the service being provided in the specified shortage situation during the 3-year period. It is strongly recommended that program participants advise NIFA of these changes at least 2 months in advance to allow sufficient processing time. Failure to provide the updated information may result in the termination of the VMLRP contract and the program participant may be subject to penalties as outlined in Section C, Paragraph 3 of the contract.

SPECIAL NOTES: See below for information regarding submission of the Termination Report. Please refer to 7 CFR Part 3431 and annual RFA for further details. Cash reports are not applicable. See above for information regarding submission of Quarterly Reports. See below for information regarding submission of the Termination Report.

SPECIAL NOTE: Please refer to 7 CFR Part 3431 and annual RFA for further details. Expenditure reports are not applicable. 2) Termination Report: At the end of the term of the agreement, the recipient and his/her supervisor will each prepare and submit to NIFA a Termination Report that addresses the following, as well as any other information the participants wish to share with NIFAs VMLRP leadership:

- a. A summary of the services provided toward mitigation of the original veterinary shortage situation, as well as other veterinary services provided to the local community, institution, or other employing entity,
- b. An assessment of the current state of veterinary services in the recipients service area and adjacent service areas, or specialty area, with emphasis on identification of ongoing shortage situations.
- c. An assessment of future veterinary service needs and trends in the recipients service (or specialty area) and, to the extent such information may be known, adjacent service areas, with emphasis on identification of ongoing shortage situations, either geographic or disciplinary.
- d. An appraisal of the overall effectiveness of the VMLRP in addressing the specific veterinary shortage situation served by the awardee, including the role of the mentor (where applicable). The Termination Report must be submitted by email attachment to vmllrp@nifa.usda.gov, preferably as an MS Word or WordPerfect document. The subject line and the first line of the body of the email should include, in the following order: Termination Report: <Last name of recipient>, <award number>, <shortage situation code>.

SPECIAL NOTES:

See above for specific details regarding submission of the Quarterly Reports. Please refer to 7 CFR Part 3431 and annual RFA for further details.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. Please refer to 7 CFR Part 3431 for guidance.

Records:

Please refer to 7 CFR Part 3431 for guidance.

Account Identification:

12-1500-0-1-352.

Obligations:

(Direct Payments for Specified Use) FY 12 \$4,258,859; FY 13 est \$3,838,796; and FY 14 est \$4,270,000 - The difference between the appropriation and obligation numbers reflects legislatively authorized set-asides deducted as appropriate, and in some cases the availability of obligational authority from

prior years.

NOTES:

FY 2013 estimated amounts include dollar reductions calculated pursuant to the budget sequestration order issued March 1, 2013.

FY 2014 represents the payments to states amount. Although the FY 2014 President's Budget proposes \$4.79 million, the net payment amount to states after deducting federal administration and peer panel costs is \$4.27 million.

This program represents no year funds. In terms of availability of appropriations (time), there are no time limits as to when "no-year" funds may be obligated and expended and the funds remain available for their original purposes until expended.

Range and Average of Financial Assistance:

Minimum and maximum amounts of funding per grant are established by the annual program announcement.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: 45 veterinarians received loan repayment awards in exchange for their commitment to provide professional food supply or public health-related veterinary services in designated US veterinary shortage situations for 3 years. Fiscal Year 2013: It is anticipated that in 2013, 40 to 50 veterinarians will receive loan repayment awards in exchange for their commitment to provide professional food supply or public health-related veterinary services in designated US veterinary shortage situations for 3 years. Fiscal Year 2014: Contingent upon similar funding, it is anticipated that 40 to 50 veterinarians will receive loan repayment awards in exchange for their commitment to provide professional food supply or public health-related veterinary services in designated US veterinary shortage situations for 3 years.

REGULATIONS, GUIDELINES, AND LITERATURE:

The Final Rule for the VMLRP was published in the Federal Register on April 19, 2010, Document 2010-8628 [FR Vol 75, No. 74 - (Pages 20239 - 20248).

Regional or Local Office:

None.

Headquarters Office:

USDA, NIFA, National Program Leader, Institute of Food Production and Sustainability, Division of Animal Systems, 1400 Independence Avenue, SW., STOP 2240, Washington, District of Columbia 20250-2240 Phone: (202) 401-6134 Fax: (202) 401-1602

Website Address:

<http://www.nifa.usda.gov/vmlrp>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: NA (awards under this program are loan repayments not projects; see veterinary shortage maps for 2012 at www.nifa.usda.gov/vmlrp to review the locations and types of veterinary service shortages that were filled). Fiscal Year 2013: NA (awards under this program are loan repayments not projects; see veterinary shortage maps for 2013 at www.nifa.usda.gov/vmlrp to review the locations and types of veterinary service shortages eligible to be filled). Fiscal Year 2014: NA (awards are loan repayments not projects; see veterinary shortage maps for 2014 when they are projected to be posted (~spring 2014) at www.nifa.usda.gov/vmlrp to review the locations and type of veterinary service shortages eligible to be filled).

CRITERIA FOR SELECTING PROPOSALS:

In carrying out its review, the peer review panel will take into account the quality of match between an applicants professional training and experience and the circumstances and needs of the designated shortage situation the applicant proposes to fill. The following evaluation criteria will be considered in the context of the factors and circumstances necessary for 1) most effective mitigation of the specific veterinary shortage situation, 2) prioritizing the relatively more severe shortages and, 3) achieving the highest likelihood that awardees will realize employment satisfaction and professional success during and beyond the term of the VMLRP agreement. Additional guidelines are

described in the RFAs.

10.314 NEW ERA RURAL TECHNOLOGY COMPETITIVE GRANTS PROGRAM

Rural Technology Program (RTP)

FEDERAL AGENCY:

National Institute of Food and Agriculture, Department of Agriculture

AUTHORIZATION:

Subtitle K of the National Agricultural Research, Extension, and Teaching Policy Act of 1977, Public Law 110-246, 7 U.S.C 3319e; Section 1405 of the National Agricultural Research, Extension, and Teaching Policy Act (NARETPA) of 1977, as amended (7 U.S.C. 3121), 7 U.S.C 3121.

OBJECTIVES:

To establish a competitive grants program to provide funding for technology development, applied research, and training to aid in the development of an agriculture-based renewable energy workforce.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants); Project Grants

USES AND USE RESTRICTIONS:

In General:

In carrying out this section, the Secretary shall establish a program to make grant funds available for approved technology development, applied research, and training to aid in the development of an agriculture-based renewable energy workforce. Grant funds must be used for allowable costs necessary to conduct the program. The initiative shall support the following fields:

- (A) bioenergy;
- (B) pulp and paper manufacturing; and
- (C) agriculture-based renewable energy resources.

Grant Priority:

In providing grants under this section, the Secretary shall give preference to eligible entities working in partnership

- (1) to improve information-sharing capacity; and
- (2) to maximize the ability to meet the following requirements for funding delineated in (A), (B) and (C) of Section :081 below. Pursuant to Section 7132 of the Food, Conservation, and Energy Act of 2008 (FCEA) (P.L. 110-246), indirect costs are limited to 22 percent of the total Federal funds provided under each award. Therefore, when preparing budgets, applicants should limit their requests for recovery of indirect costs to the lesser of their institutions official negotiated indirect cost rate or the equivalent of 22 percent of total Federal funds awarded.

Indirect costs for Conference/Planning grants are not allowed. Fully discretionary.

Applicant Eligibility:

Applications may be submitted by either: (1) public or private nonprofit community colleges, or (2) advanced technological centers. Must see RFA (Part III.A) for specific eligibility requirements.

Beneficiary Eligibility:

Applications may be submitted by either: (1) public or private nonprofit community colleges, or (2) advanced technological centers. Must see RFA (Part III.A) for specific eligibility requirements.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. 091 Preapplication Coordination:

All RFAs are published on the Agencys website and Grants.gov. Applicants

must complete the Grants.gov registration process. Please see the following Grants.gov link for more information:
http://www.grants.gov/applicants/get_registered.jsp.

:092 Application Procedure:

Applications should be submitted as outlined in the RFA. Applications must follow the instructions provided per Grants.gov and in the Agency guide to submitting applications via Grants.gov.

Award Procedure:

Applications are subjected to a system of peer and merit review in accordance with section 103 of the Agricultural Research, Extension and Education Reform Act of 1998 (7 U.S.C. 1613) by a panel of qualified scientists and other appropriate persons who are specialists in the field covered by the proposal. Within the limit of funds available for such purpose, the NIFA Authorized Departmental Officer (ADO) shall make grants to those responsible, eligible applicants whose applications are judged most meritorious under the procedures set forth in the RFA. Reviewers will be selected based upon training and experience in relevant scientific, extension, or education fields, taking into account the following factors: (a) The level of relevant formal scientific, technical education, or extension experience of the individual, as well as the extent to which an individual is engaged in relevant research, education, or extension activities; (b) the need to include as reviewers experts from various areas of specialization within relevant scientific, education, or extension fields; (c) the need to include as reviewers other experts (e.g., producers, range or forest managers/operators, and consumers) who can assess relevance of the applications to targeted audiences and to program needs; (d) the need to include as reviewers experts from a variety of organizational types (e.g., colleges, universities, industry, state and Federal agencies, private profit and non-profit organizations) and geographic locations; (e) the need to maintain a balanced composition of reviewers with regard to minority and female representation and an equitable age distribution; and (f) the need to include reviewers who can judge the effective usefulness to producers and the general public of each application. Evaluation Criteria will be delineated in the RFA.

Priority: In providing grants under this section, the Secretary shall give preference to eligible entities: (1) to improve information-sharing capacity; and (2) to maximize the ability to meet the requirements as delineated in (A), (B) and (C) of Section :081 above.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 30 to 180 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The term of competitive project grants and/or cooperative agreements under this program may not exceed three (3) years. Method of awarding/releasing assistance: by letter of credit.

Reports:

Grantees are to submit initial project information and annual summary reports to NIFAs electronic, Web-based inventory system that facilitates both grantee submissions of project outcomes and public access to information on Federally-funded projects. The details of the reporting requirements are included in the award terms and conditions. NIFA uses the SF-425, Federal Financial Report to monitor cash. Grantees are to submit initial project information and annual summary reports to NIFAs electronic, Web-based inventory system that facilitates both grantee submissions of project outcomes and public access to information on Federally-funded projects. The details of the reporting requirements are included in the award terms and conditions. A final Financial Status Report (SF-269) or Federal Financial Report

(SF-425) is due within 90 days of the expiration date of the grant and should be submitted to the address listed below, in accordance with instructions contained in 2 CFR 3430.55 (also refer to Section 3015.82 of the Uniform Federal Assistance Regulations).

Awards Management Division (AMD)
Office of Grants and Financial Management (OGFM)
National Institute of Food and Agriculture (NIFA)
U.S. Department of Agriculture (USDA)
STOP 2271

1400 Independence Avenue, SW
Washington, DC 20250-2271

Telephone: (202) 401-4986. Grantees are to submit initial project information and annual summary reports to NIFAs electronic, Web-based inventory system that facilitates both grantee submissions of project outcomes and public access to information on Federally-funded projects. The details of the reporting requirements are included in the award terms and conditions.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audits will be conducted in accordance with guidelines established in the revised OMB Circular No. A-133, Audits of States, Local Governments, and Nonprofit Organizations, and implemented in 7 CFR 3052. This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

In accordance with the Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and other Non-profit Organizations [2 CFR 215, Subpart C, Section 215.53, (OMB Circular A-110)] grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be retained at least three (3) years. Records must be retained beyond the three-year period if litigation is pending or audit findings have not been resolved.

Account Identification:

12-1500-0-1-352.

Obligations:

(Project Grants (Cooperative Agreements)) FY 12 \$0; FY 13 est \$0; and FY 14 est \$0 - The difference between the appropriation and obligatin numbers reflects legislatively authorized set-asides deducted as appropriate, and in some cases the availability of obligatioinal authority from prior years.

NOTE:

There has been no recent funding for this Program. However, the award(s) have not yet reached the Statutory Time Limit. Hence, NIFA does not wish to archive this CFDA Program.

Effective Fiscal Year 2012, this program is no longer being funded.

Range and Average of Financial Assistance:

If minimum or maximum amounts of funding per competitive project grant or cooperative agreement are established, these will be announced in the annual program announcement or RFA.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: For the FY 2012 award cycle, \$ 0 was available as Congress decided not to fund the grant program for this fiscal year. Fiscal Year 2013: Funding is not anticipated for FY 2013. Fiscal Year 2014: Funding is not anticipated for FY 2014.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 3430, Competitive and Noncompetitive Non-formula Grant

Programs General Grant Administrative Provisions and Program-Specific Administrative Provisions; 7 CFR Part 3015, USDA Uniform Federal Assistance Regulations; 7 CFR Part 3017, Government wide Debarment and Suspension (Nonprocurement) and Government wide Requirements for Drug-Free Workplace (Grants); 7 CFR Part 3018, New Restrictions on Lobbying; and 7 CFR Part 3019, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-profit Organizations and 7 CFR Part 3021, Government wide Requirements for Drug-Free Workplace (Financial Assistance).

Regional or Local Office:

None.

Headquarters Office:

USDA, NIFA, National Program Leader, Institute of Youth, Family, and Community, Division of Community and Education, 1400 Independence Avenue, SW., STOP 2250, Washington, District of Columbia 20250-2250
Phone: 202-720-2324 Fax: 202-720-2030

Website Address:

<http://www.nifa.usda.gov/>

RELATED PROGRAMS:

10.217 Higher Education Challenge Grants

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: The following represents FY '12 activity for on-going projects, which were previously funded:

The consortium continues (e.g. First Quality of Anderson, SC, and Abitibi Bowater of Catawba, SC) producing skilled workers, specifically in the pulp and paper industry, in their respective rural service areas. The consortium has developed innovative programs to meet the new technological requirements of a paper manufacturing workforce which contributes to global competitiveness of these two rural-based companies, economic development of the distressed region, and advance the quality of applied research in this area. The customized pulp and paper manufacturing technology hybrid curriculum has created a highly skilled workforce for pulp and paper manufacturing and bio-energy and improved information-sharing capacity in this subject area, a NIFA priority for these rural communities; thus, advancing both the industry and the economy simultaneously.

In June 2012, 31 attendees participated in a conference co-sponsored by the partners in pulp and paper industries. Associate degree and certificate programs have been offered and are replicated by businesses and organizations across the country. Eight students participated in the Phase I Certificate program; 20 students and employees gained employment with Resolute Forest Products. There were 149 training topics for over 36,000 hrs to the industry client. Nine Pulp and Paper industry client employees are now enrolled in related courses. About 300 new hires at the pulp and paper industry. Furthermore, the Apprenticeship Program is enhanced and principal curriculum has been developed at both colleges of YTC and TCTC. Equipment has been ordered for upgrade to relevant industry laboratory training equipment.

In another funded project, American regulatory structures and innovative U.S. companies are developing and advancing biogas plants and infrastructure. The institution collaborating with the companies has produced high school appropriate labs, a demonstration video and supporting power point lectures to reach out to high school students and educators. The project is bringing greater public, educator, and student attention to and knowledge of bio-energy production as a sustainable energy source and waste management strategy with multiple industry applications.

The conference on bio-energy stimulated interest and increased networking in disseminating current information and knowledge about the field of bio-energy opportunities even in upstate NY region. This region anticipates creating a bio-energy consortium for students, employees and the community. Fiscal Year 2013: Funding is not anticipated for FY 2013. Fiscal Year 2014: Funding is not anticipated for FY 2014.

CRITERIA FOR SELECTING PROPOSALS:

Within guidelines established for the program as described in the RFAs.

10.315 TRADE ADJUSTMENT ASSISTANCE FOR FARMERS TRAINING COORDINATION PROGRAM (TAAF)

FEDERAL AGENCY:

National Institute of Food and Agriculture, Department of Agriculture

AUTHORIZATION:

Subtitle C of Title 1 of the Trade Adjustment Assistance Reform Act of 2002 (Public Law 107-210), amended the Trade Act of 1974 (Public Law 93-618) establishing the Trade Adjustment Assistance for Farmers (TAAF) program. The American Recovery and Reinvestment Act of 2009 (ARRA) (Public Law 111-5) reauthorizes and amends the TAAF Program to provide both technical assistance and cash benefits to eligible producers of raw agricultural commodities and fishermen impacted by increased imports contributing to a specific decrease in the national average price, or quantity of production, or value of production of, or cash receipts for the agricultural commodity or fishery products compared to the average of the three (3) preceding marketing years. ARRA amendments to the TAAF program add certain classes of fisherman, increase the reporting requirements and overall transparency of the program, clarify eligibility determinations and associated processes, and clarify the roles and responsibilities of the applicants and the Federal partners., Public Law 107-210.

OBJECTIVES:

The purpose of the TAAF program is to provide U.S. agricultural producers whose commodities have been certified as affected by imports, and who have been approved through individual application, with the knowledge, skills and tools needed to make adjustments to their operations, business plans, marketing plans for their operations, with the goal of enhancing farm profitability and ability to adjust to imports. Producers of commodities certified by the USDA Foreign Agricultural Service (FAS) and who meet the eligibility requirements by USDA Farm Service Agency (FSA) are eligible for TAAF program participation.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

General Uses:

Through this RFA NIFA requests applications for the TAAF Training Coordination Program to establish a National TAAF Training Coordination Center responsible for the development and delivery of training and technical assistance to TAAF-eligible producers for business planning, diversification of enterprises, marketing, and other beneficial subjects as needed to aid producers in adjusting to the impact of imported commodities. The National TAAF Training Coordination Center will design and administer the training requirements of TAAF, develop communications regarding TAAF training and technical assistance, and comply with reporting requirements as described under Part I(B) and in Part VI(D). The successful National TAAF Training Coordination Program applicant will enter into a cooperative agreement with NIFA for establishment of the National TAAF Training Coordination Center to coordinate and implement the training and technical assistance component of the TAAF program under section 296(b) of the Trade Act of 1974 (19 U.S.C. 2401e). Please be advised that the following components of the TAAF program will be administered by FAS/FSA: certification of agricultural commodities eligibility certifications, approval of applications for cash benefits, and payments to implement business plans.

FAS, NIFA, FSA, and the Agricultural Marketing Service (AMS) will all be involved in the TAAF outreach effort. FAS has established a website for TAAF which is now linked to USDA, FSA, NIFA, AMS websites and can be found at: <http://www.fas.usda.gov/ITP/TAA/taa.asp>. Implementing regulations governing the TAAF program (including specific requirements for the provision of training and technical assistance) are expected to be published shortly in the Federal Register (as a Final Rule).

Applicants to the National TAAF Training Coordination Program must show their capacity to provide TAAF program coordination and training as described below:

1. Coordination of TAAF

Awardee will establish a National TAAF Training Coordination Center. The National TAAF Training Coordination Center will:

- o Develop rapid response implementation procedures for TAAF training and associated reporting activities;
- o Contract with or make grants to state Cooperative Extension services or other qualified provider(s) to deliver TAAF training and technical assistance to TAAF-eligible producers;
- o Conduct regional training workshops for TAAF trainers on existing or emerging TAAF topics;
- o Develop a National and regional outreach and communication plan in concert with relevant agencies of the Department of Agriculture to promote the TAAF program;
- o Provide effective TAAF communication at intra- and inter-regional and National levels, including use of media outlets and the distribution of TAAF materials;
- o Provide a National one-stop source of TAAF information (National TAAF Training Coordination Center website).
- o Coordinate with and report to CSREES, and report training activities and performance measures into associated ARRA data management systems (as described in Part VI. (D));

2. Training and Technical Assistance for TAAF

Provide Initial Technical Assistance Training that will:

- o Help TAAF-eligible producers improve their yield and marketing of certified agricultural commodities; and/or
- o Enhance the feasibility and desirability of initiating production of one or more alternative agricultural commodities in exchange for agricultural commodities certified as eligible for TAAF.

Provide Intensive Technical Assistance Training that includes:

- o A series of courses to further assist TAAF-eligible producers in improving their competitiveness producing certified agricultural commodities with respect to which the producer was certified under this chapter; or one or more alternative agricultural commodities;
- o Assistance to TAAF-eligible producers with developing an initial business plan based on the initial technical assistance training that can be approved in accordance with the requirements that will be set out in 7 CFR 1580.32. An approved plan must reflect the skills gained by the producer through the intensive technical assistance courses, and demonstrate how the producer will apply those skills to make adjustments to its business and marketing plans and operations with the goal of enhancing its farm profitability and ability to adjust to imports; and
- o Assistance in developing a long-term business adjustment plan that meets regulatory requirements and includes steps to materially contribute to the economic adjustment of producers to changing market conditions, while taking into consideration the interests of the workers employed by the producer and demonstrating that the producer will have sufficient resources to implement the business plan. Indirect costs may not exceed ten percent (10%) of the total Federal funds provided under this award (TFFA).

The recovery of indirect costs on the cooperative agreement made by NIFA under this program, as well as subawards may not exceed the lesser of the institutions official negotiated indirect cost rate or the equivalent of 10 percent (10%) of total Federal funds awarded.

NIFA has determined that funds awarded under this authority may not be used for the renovation or refurbishment of research, education, or extension space; the purchase or installation of fixed equipment in such space; or the planning, repair, rehabilitation, acquisition, or construction of buildings or facilities.

Applicant Eligibility:

Applications may be submitted by organizations and institutions that are part of the Land Grant system and/or State Cooperative Extension services.

Beneficiary Eligibility:

Applications may be submitted by organizations and institutions that are part of the Land Grant system and/or State Cooperative Extension services.

Credentials/Documentation:

No Credentials or documentation are required. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

All RFAs are published on the Agency's website and Grants.gov. Applicants must complete the Grants.gov registration process. Please see the following Grants.gov link for more information: http://www.grants.gov/applicants/get_registered.jsp. An environmental impact statement is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applications should be submitted as outlined in the RFA. Applications must follow the instructions provided per Grants.gov and in the Agency guide to submitting applications via Grants.gov.

Award Procedure:

Applications are subjected to a system of peer and merit review in accordance with section 103 of the Agricultural Research, Extension and Education Reform Act of 1998 (7 U.S.C. 1613) by a panel of qualified scientists and other appropriate persons who are specialists in the field covered by the proposal. Within the limit of funds available for such purpose, the NIFA Authorized Departmental Officer (ADO) shall make grants to those responsible, eligible applicants whose applications are judged most meritorious under the procedures set forth in the RFA.

Reviewers will be selected based upon training and experience in relevant scientific, extension, or education fields, taking into account the following factors: (a) The level of relevant formal scientific, technical education, or extension experience of the individual, as well as the extent to which an individual is engaged in relevant research, education, or extension activities; (b) the need to include as reviewers experts from various areas of specialization within relevant scientific, education, or extension fields; (c) the need to include as reviewers other experts (e.g., producers, range or forest managers/operators, and consumers) who can assess relevance of the applications to targeted audiences and to program needs; (d) the need to include as reviewers experts from a variety of organizational types (e.g., colleges, universities, industry, state and Federal agencies, private profit and non-profit organizations) and geographic locations; (e) the need to maintain a balanced composition of reviewers with regard to minority and female representation and an equitable age distribution; and (f) the need to include reviewers who can judge the effective usefulness to producers and the general public of each application.

Evaluation Criteria will be delineated in the RFA.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

:094 Deadlines:

Dates for specific deadlines are announced in the RFA each fiscal year.

:095 Range of Approval/Disapproval Time:

From 30 to 180 days.

Appeals:

Not Applicable.

Renewals:

Specific details are provided in the Request for Applications (RFA) each fiscal year.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. NIFA does not require matching or cost sharing support for this program, and matching resources will not be factored into the review process as evaluation criteria.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Three (3) year project period. Method of awarding/releasing assistance: by letter of credit.

Reports:

Funded projects will be expected to verify program accomplishments. Detailed information on the performance measures for TAAF can be found on the OMB website at:

<http://www.whitehouse.gov/omb/expectmore/detail/10003023.2006.html#performanceMeasures>.

Successful applicants will be responsible for reporting to NIFA, and reporting activities into associated ARRA data management systems.

NIFA Reports:

Grantees are to submit initial project information and annual summary reports to NIFAs electronic, Web-based inventory system that facilitates both grantee submissions of project outcomes and public access to information on Federally-funded projects. The details of the reporting requirements are included in the award terms and conditions.

ARRA Reports:

Funds awarded pursuant to this RFA were made available by section 1887 of the American Recovery and Reinvestment Tax Act of 2009 (Div. B of ARRA). Specific reporting requirements under ARRA will be identified in the Terms and Conditions of the Award.

1. Award Level Transaction Data Feed Awardees are required to submit bimonthly reports to NIFA detailing funds distribution as technical training assistance is initiated (this information ultimately feeds to the USAspending.gov).

Report frequency is currently bimonthly, however the frequency of report transmissions may change to be required weekly within the terms of the award. Detailed information can be at the OMB website at: http://www.whitehouse.gov/omb/assets/memoranda_fy2009/m09-15.pdf or via the direct link for OMB Guidance: M-09-15).

PLEASE NOTE: These reports are made to NIFA by the awardee as agreed to in the Terms and Conditions of the award.

2. Funding Notifications This is a cumulative report that is to be completed immediately upon release of any type of public notification (local, regional, or National) including: press releases, significant web updates, public presentations that refer to TAAF, TAAF training opportunity announcements, and any other public sharing of information related to the use of ARRA funds. Detailed information can be at the OMB website at: http://www.whitehouse.gov/omb/assets/memoranda_fy2009/m09-15.pdf or via the direct link for OMB Guidance: M-09-15).

PLEASE NOTE: These reports are made to NIFA by the awardee as agreed to in the Terms and Conditions of the award.

Quarterly Recipient Reporting Beginning October 1, 2009, this reporting is mandated in 1512 of the ARRA. Detailed information can be at the OMB website at:

http://www.recovery.gov/?q=content/program-plan&program_id=7544 or via the direct link for OMB Guidance: M-09-21. A centralized reporting tool is being implemented to facilitate and ease the reporting process. Section 1512 reporting requirements were further elucidated by OMB Webinars presented during the week of July 20, 2009 (archived on the OMB Webinar website).

The initial report deadline is October 10, 2009 and will recur quarterly for the duration of the award. Data validation is performed by FAS. Any corrections will be returned to the awardee and must be addressed during the last week of the month in which they were returned. The final draft of each report will be

moved to Recovery.gov on the last day of the report month.

PLEASE NOTE: These reports are made to www.FederalReporting.Gov by the TAAF Training Coordination Program awardee as agreed to in the Terms and Conditions of the award.

3. Performance Measures:

The measures have been revised to enrich the performance metrics for Recovery targets. In some instances, targets will not be available until additional baseline data has been collected. Please refer to Performance Measures published on the Recovery.gov for the FAS TAAF Recovery Plan which are available via the direct link or at the following website: TAAF Performance Measures or

http://www.recovery.gov/?q=content/program-plan&program_id=7544

A final Financial Status Report (SF-269) or Federal Financial Report (SF-425) is due within 90 days of the expiration date of the grant and should be submitted to the address listed below, in accordance with instructions contained in 2 CFR 3430.55 (also refer to Section 3015.82 of the Uniform Federal Assistance Regulations).

Awards Management Division (AMD)

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STOP 2271

1400 Independence Avenue, SW

Washington, DC 20250-2271

Telephone: (202) 401-4986. NIFA uses the SF-425, Federal Financial Report to monitor cash. Funded projects will be expected to verify program accomplishments. Detailed information on the performance measures for TAAF can be found on the OMB website at: <http://www.whitehouse.gov/omb/expectmore/detail/10003023.2006.html#performanceMeasures>.

Successful applicants will be responsible for reporting to NIFA, and reporting activities into associated ARRA data management systems.

NIFA Reports:

Grantees are to submit initial project information and annual summary reports to NIFAs electronic, Web-based inventory system that facilitates both grantee submissions of project outcomes and public access to information on Federally-funded projects. The details of the reporting requirements are included in the award terms and conditions.

ARRA Reports:

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1. Award Level Transaction Data Feed Awardees are required to submit bimonthly reports to NIFA detailing funds distribution as technical training assistance is initiated (this information ultimately feeds to the USAspending.gov). Report frequency is currently bimonthly, however the frequency of report transmissions may change to be required weekly within the terms of the award. Detailed information can be at the OMB website at: http://www.whitehouse.gov/omb/assets/memoranda_fy2009/m09-15.pdf or via the direct link for OMB Guidance: M-09-15).

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2. Funding Notifications This is a cumulative report that is to be completed immediately upon release of any type of public notification (local, regional, or National) including: press releases, significant web updates, public

presentations that refer to TAAF, TAAF training opportunity announcements, and any other public sharing of information related to the use of ARRA funds. Detailed information can be at the OMB website at: http://www.whitehouse.gov/omb/assets/memoranda_fy2009/m09-15.pdf or via the direct link for OMB Guidance: M-09-15).

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PLEASE NOTE: These reports are made to www.FederalReporting.Gov by the TAAF Training Coordination Program awardee as agreed to in the Terms and Conditions of the award.

3. Performance Measures:

The measures have been revised to enrich the performance metrics for Recovery targets. In some instances, targets will not be available until additional baseline data has been collected. Please refer to Performance Measures published on the Recovery.gov for the FAS TAAF Recovery Plan which are available via the direct link or at the following website:

TAAF Performance Measures or

http://www.recovery.gov/?q=content/program-plan&program_id=7544

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Telephone: (202) 401-4986. Funded projects will be expected to verify program accomplishments. Detailed information on the performance measures for TAAF can be found on the OMB website at:
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Successful applicants will be responsible for reporting to NIFA, and reporting activities into associated ARRA data management systems.

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ARRA Reports:

Funds awarded pursuant to this RFA were made available by section 1887 of the American Recovery and Reinvestment Tax Act of 2009 (Div. B of ARRA). Specific reporting requirements under ARRA will be identified in the Terms and Conditions of the Award.

1. Award Level Transaction Data Feed Awardees are required to submit bimonthly reports to NIFA detailing funds distribution as technical training assistance is initiated (this information ultimately feeds to the USAspending.gov). Report frequency is currently bimonthly, however the frequency of report transmissions may change to be required weekly within the terms of the award. Detailed information can be at the OMB website at: http://www.whitehouse.gov/omb/assets/memoranda_fy2009/m09-15.pdf or via the direct link for OMB Guidance: M-09-15).

PLEASE NOTE: These reports are made to NIFA by the awardee as agreed to in the Terms and Conditions of the award.

2. Funding Notifications This is a cumulative report that is to be completed immediately upon release of any type of public notification (local, regional, or National) including: press releases, significant web updates, public presentations that refer to TAAF, TAAF training opportunity announcements, and any other public sharing of information related to the use of ARRA funds. Detailed information can be at the OMB website at: http://www.whitehouse.gov/omb/assets/memoranda_fy2009/m09-15.pdf or via the direct link for OMB Guidance: M-09-15).

PLEASE NOTE: These reports are made to NIFA by the awardee as agreed to in the Terms and Conditions of the award.

Quarterly Recipient Reporting Beginning October 1, 2009, this reporting is mandated in 1512 of the ARRA. Detailed information can be at the OMB website at:

http://www.recovery.gov/?q=content/program-plan&program_id=7544 or via the direct link for OMB Guidance: M-09-21. A centralized reporting tool is being implemented to facilitate and ease the reporting process. Section 1512 reporting requirements were further elucidated by OMB Webinars presented during the week of July 20, 2009 (archived on the OMB Webinar website).

The initial report deadline is October 10, 2009 and will recur quarterly for the duration of the award. Data validation is performed by FAS. Any corrections will be returned to the awardee and must be addressed during the last week of the month in which they were returned. The final draft of each report will be moved to Recovery.gov on the last day of the report month.

PLEASE NOTE: These reports are made to www.FederalReporting.Gov by the TAAF Training Coordination Program awardee as agreed to in the Terms and Conditions of the award.

3. Performance Measures:

The measures have been revised to enrich the performance metrics for Recovery targets. In some instances, targets will not be available until additional baseline data has been collected. Please refer to Performance Measures published on the Recovery.gov for the FAS TAAF Recovery Plan which are available via the direct link or at the following website:
TAAF Performance Measures or
http://www.recovery.gov/?q=content/program-plan&program_id=7544

A final Financial Status Report (SF-269) or Federal Financial Report (SF-425) is due within 90 days of the expiration date of the grant and should be submitted to the address listed below, in accordance with instructions contained in 2 CFR 3430.55 (also refer to Section 3015.82 of the Uniform Federal Assistance Regulations).

Awards Management Division (AMD)
Office of Grants and Financial Management (OGFM)
National Institute of Food and Agriculture (NIFA)
U.S. Department of Agriculture (USDA)
STOP 2271
1400 Independence Avenue, SW
Washington, DC 20250-2271
Telephone: (202) 401-4986.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audits will be conducted in accordance with guidelines established in the revised OMB Circular No. A-133, Audits of States, Local Governments, and Nonprofit Organizations, and implemented in 7 CFR 3052. This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

In accordance with the Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and other Non-profit Organizations [2 CFR 215, Subpart C, Section 215.53, (OMB Circular A-110)] grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be retained at least three (3) years. Records must be retained beyond the three-year period if litigation is pending or audit findings have not been resolved.

Account Identification:

12-1500-0-1-352.

Obligations:

(Cooperative Agreements) FY 12 \$0; FY 13 est \$0; and FY 14 est \$0 - The difference between the appropriation and obligation numbers reflects legislative authorized set-asides deducted as appropriate, and in some cases the availability of obligational authority from prior years.

NOTE:

There has been no recent funding for this Program. However, the award(s) have not yet reached the Statutory Time Limit. Hence, NIFA does not wish to archive this CFDA Program.

Range and Average of Financial Assistance:

If minimum or maximum amounts of funding per competitive project grant or cooperative agreement are established, these will be announced in the annual program announcement or RFA.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: As of December 2012, 10,980 eligible producers were identified and 10,239 were approved for training and technical assistance in the

Trade Adjustment Assistance for Farmers Program. Participation success rates for the four program phases as of this date: 10,127 have completed the initial orientation; 8,391 have completed 12 or more hours of intensive training; 8,254 have completed their initial business plan; and, 6,666 have completed their long-term business adjustment plan and are pursuing implementation. A nine-step, four-year evaluation process is underway which is providing NIFA, USDAs Tri-agency Task Force, Congress, and the USG, now and in the future, with short-term and long-term outcomes resulting from our efforts in TAA for Farmers. Fiscal Year 2013: As of May 2013, additional 596 producers of catfish, asparagus, shrimp, lobster, and blueberries had completed the required 12 or more hours of intensive training; 668 more had completed their initial business plans, and 1,410 had completed their long-term business adjustment plan and are implementing those plans. This represents 8,987 intensive training, 8922 initial business plans, and 8076 long-term business adjustment plans completions. Fiscal Year 2014: We anticipate a total of 1,373 producers of catfish, asparagus, shrimp, lobster, and blueberry producers who have remained in the Trade Adjustment Assistance for Farmers program since their eligibility was confirmed will complete all four phases of the training and technical assistance on schedule in the remainder of FY2013 and in FY2014. Still pending completion of the full 12 hours of required intensive training are 708 producers; another 64 are working on their initial business plans, and 601 are working on their long-term business adjustment plans. TAAF trainers and consultants will remain poised to also provide all four phases of training and technical assistance to any denied applicants whose appeals are overturned by the judicial process established to mediate program eligibility.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 3430, Competitive and Noncompetitive Non-formula Grant Programs General Grant Administrative Provisions and Program-Specific Administrative Provisions; 7 CFR Part 3015, USDA Uniform Federal Assistance Regulations; 7 CFR Part 3017, Government wide Debarment and Suspension (Nonprocurement) and Government wide Requirements for Drug-Free Workplace (Grants); 7 CFR Part 3018, New Restrictions on Lobbying; and 7 CFR Part 3019, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-profit Organizations.

Regional or Local Office:

None.

Headquarters Office:

USDA, NIFA, National Program Leader, Institute of Youth, Family, and Community, Division of Family and Consumer Sciences, 1400 Independence Avenue, SW., STOP 2250, Washington, District of Columbia 20250-2250 Phone: (202) 720-4795 Fax: (202) 720-9366

Website Address:

<http://www.nifa.usda.gov/>

RELATED PROGRAMS:

10.500 Cooperative Extension Service

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Not Applicable. CFDA 10.315 funded the National TAA for Farmers Training Coordination Center. This Center does not fund projects, but rather provides training and technical assistance through subcontracts with 4 Regional Risk Management Education Centers and Cooperative Extension, plus invoiced expenses from Business Planning Consultants. Fiscal Year 2013: Not Applicable. CFDA 10.315 funded the National TAA for Farmers Training Coordination Center. This Center does not fund projects, but rather provides training and technical assistance through subcontracts with 4 Regional Risk Management Education Centers and Cooperative Extension, plus invoiced expenses from Business Planning Consultants. Fiscal Year 2014: Not Applicable. CFDA 10.315 funded the National TAA for Farmers Training Coordination Center. This Center does not fund projects, but rather provides training and technical assistance through subcontracts with 4 Regional Risk Management Education Centers and Cooperative Extension, plus invoiced expenses from Business Planning Consultants.

CRITERIA FOR SELECTING PROPOSALS:

Within guidelines established for the program as described in the RFAs.

10.316 HEALTHY URBAN FOOD ENTERPRISE DEVELOPMENT CENTER (HUFED)

(HUFED)

FEDERAL AGENCY:

National Institute of Food and Agriculture, Department of Agriculture

AUTHORIZATION:

Section 25 of the Food Stamp Act of 1977 (7 U.S.C. 2034), as amended by the Food and Nutrition Act of 2008 and Section 4402 of the Food, Conservation, and Energy Act (FCEA) of 2008 (Public Law 110-246), Section (h) (9) (A). , 7 U.S.C 2034.

OBJECTIVES:

To establish a competitive grants program to support a Healthy Urban Food Enterprise Development Center to increase access to healthy affordable foods, including locally produced agricultural products, to underserved communities. The purpose of the Center is to increase access to healthy affordable foods, including locally produced agricultural products, to underserved communities.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

The Secretary shall offer to provide a grant to a nonprofit organization to establish and support a healthy urban food enterprise development center to carry out the purpose described in Section :050 - Objectives.

Assistance will be provided to support a community food project. The term community food project means a community-based project that- (A) requires a 1-time contribution of Federal assistance to become self-sustaining; and H. R. 6124247

(B) is designed

- (i)(I) to meet the food needs of low-income individuals;
- (II) to increase the self-reliance of communities in providing for the food needs of the communities; and
- (III) to promote comprehensive responses to local food, farm, and nutrition issues; or
- (ii) to meet specific State, local, or neighborhood food and agricultural needs, including needs relating to
 - (I) infrastructure improvement and development;
 - (II) planning for long-term solutions; or
 - (III) the creation of innovative marketing activities that mutually benefit agricultural producers and low-income consumers.

The term Center means the healthy urban food enterprise development center established under Section :050 - Objectives.

The term underserved community means a community (including an urban or rural community or an Indian tribe) that, as determined by the Secretary, has (A) limited access to affordable, healthy foods, including fresh fruits and vegetables;

- (B) a high incidence of a diet-related disease (including obesity) as compared to the national average;
- (C) a high rate of hunger or food insecurity; or
- (D) severe or persistent poverty.

ACTIVITIES

TECHNICAL ASSISTANCE AND INFORMATION:

The Center shall collect, develop, and provide technical assistance and information to small and medium-sized agricultural producers, food wholesalers and retailers, schools, and other individuals and entities regarding best practices and the availability of assistance for aggregating, storing, H. R. 6124248 processing, and marketing locally produced agricultural products and increasing the availability of such products in underserved communities.

AUTHORITY TO SUBGRANT:

The Center may provide subgrants to eligible entities

- (i) to carry out feasibility studies to establish businesses for the purpose described in Section :050 - Objectives; and

(ii) to establish and otherwise assist enterprises that process, distribute, aggregate, store, and market healthy affordable foods.

Grant Priority:

In providing technical assistance and grants under the Activities section above, the Center shall give priority to applications that include projects

(A) to benefit underserved communities; and

(B) to develop market opportunities for small and mid-sized farm and ranch operations. **LIMITATION ON ADMINISTRATIVE EXPENSES.**

Not more than 10 percent of the total amount allocated for this subsection in a given fiscal year may be used for administrative expenses.

If available, the current rate negotiated with the cognizant Federal negotiating agency should be used. Indirect costs may not exceed the negotiated rate. If a negotiated rate is used, the percentage and base should be indicated. If no rate has been established the applicant may indicate "Nonewill negotiate" and a reasonable dollar amount for indirect costs may be requested, which will be subject to approval by USDA. In the latter case, if a proposal is recommended for funding, an indirect cost rate proposal must be submitted prior to award to support the amount of indirect costs requested. NIFA will request an indirect cost rate proposal and provide instructions, as necessary.

An applicant may elect not to charge indirect costs and, instead, use all grant funds for direct costs.

Applicant Eligibility:

Nonprofit organizations are eligible to apply for and receive awards under the HUFED Center authority (7 U.S.C. 2034 (h)(2)).

Beneficiary Eligibility:

Nonprofit organizations are eligible to apply for and receive awards under the HUFED Center authority (7 U.S.C. 2034 (h)(2)).

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

All RFAs are published on the Agency's website and Grants.gov. Applicants must complete the Grants.gov registration process. Please see the following Grants.gov link for more information: http://www.grants.gov/applicants/get_registered.jsp. An environmental impact statement is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Applications should be submitted as outlined in the RFA. Applications must follow the instructions provided per Grants.gov and in the Agency guide to submitting applications via Grants.gov.

Award Procedure:

Applications are subjected to a system of peer and merit review in accordance with section 103 of the Agricultural Research, Extension and Education Reform Act of 1998 (7 U.S.C. 1613) by a panel of qualified scientists and other appropriate persons who are specialists in the field covered by the proposal. Within the limit of funds available for such purpose, the NIFA Authorized Departmental Officer (ADO) shall make grants to those responsible, eligible applicants whose applications are judged most meritorious under the procedures set forth in the RFA.

Reviewers will be selected based upon training and experience in relevant scientific, extension, or education fields, taking into account the following factors: (a) The level of relevant formal scientific, technical education, or extension experience of the individual, as well as the extent to which an individual is engaged in relevant research, education, or extension activities; (b) the need to include as reviewers experts from various areas of specialization within relevant scientific, education, or extension fields; (c) the need to include as reviewers other experts (e.g., producers, range or forest managers/operators, and consumers) who can assess relevance of the applications to targeted audiences and to program needs; (d) the need to include as reviewers experts

from a variety of organizational types (e.g., colleges, universities, industry, state and Federal agencies, private profit and non-profit organizations) and geographic locations; (e) the need to maintain a balanced composition of reviewers with regard to minority and female representation and an equitable age distribution; and (f) the need to include reviewers who can judge the effective usefulness to producers and the general public of each application.

Evaluation Criteria will be delineated in the RFA.

Priority:

In providing technical assistance and grants under Section :070 - Activities, the Center shall give priority to applications that include projects

(A) to benefit underserved communities; and

(B) to develop market opportunities for small and mid-sized farm and ranch operations.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 180 days.

Appeals:

Not Applicable.

Renewals:

If applicable, information regarding renewals will be announced in the Request for Applications (RFA) each year.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

This program has no matching requirements.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The term of competitive project grants and/or cooperative agreements under this program may not exceed three (3) years. Method of awarding/releasing assistance: by letter of credit.

Reports:

Grantees are to submit initial project information and annual summary reports to NIFAs electronic, Web-based inventory system that facilitates both grantee submissions of project outcomes and public access to information on Federally-funded projects. The details of the reporting requirements are included in the award terms and conditions.

For each fiscal year for which the nonprofit organization described in Section :070 above receives funds, the organization shall submit to the Secretary a report describing the activities carried out in the preceding fiscal year, including

(A) a description of technical assistance provided by the Center;

(B) the total number and a description of the subgrants provided under Section :070 above;

(C) a complete listing of cases in which the activities of the Center have resulted in increased access to healthy, affordable foods, such as fresh fruit and vegetables, particularly for school-aged children and individuals in low-income communities; and

(D) a determination of whether the activities identified in subparagraph (C) (above) are sustained during the years following the initial provision of technical assistance and subgrants under this section. NIFA uses the SF-425, Federal Financial Report to monitor cash. Grantees are to submit initial project information and annual summary reports to NIFAs electronic, Web-based inventory system that facilitates both grantee submissions of project outcomes and public access to information on Federally-funded projects. The details of the reporting requirements are included in the award terms and conditions. A final Financial Status Report (SF-269) or Federal Financial Report (SF-425) is due within 90 days of the expiration date of the grant and should be submitted to the address listed below, in accordance with instructions contained in 2 CFR 3430.55 (also refer to Section 3015.82 of the Uniform Federal Assistance Regulations).

Awards Management Division (AMD)
Office of Grants and Financial Management (OGFM)
National Institute of Food and Agriculture (NIFA)
U.S. Department of Agriculture (USDA)
STOP 2271

1400 Independence Avenue, SW
Washington, DC 20250-2271
Telephone: (202) 401-4986. Grantees are to submit initial project information and annual summary reports to NIFAs electronic, Web-based inventory system that facilitates both grantee submissions of project outcomes and public access to information on Federally-funded projects. The details of the reporting requirements are included in the award terms and conditions.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audits will be conducted in accordance with guidelines established in the revised OMB Circular No. A-133, Audits of States, Local Governments, and Nonprofit Organizations, and implemented in 7 CFR 3052. This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

In accordance with the Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and other Non-profit Organizations [2 CFR 215, Subpart C, Section 215.53, (OMB Circular A-110)] grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be retained at least three (3) years. Records must be retained beyond the three-year period if litigation is pending or audit findings have not been resolved.

Account Identification:

12-0502-0-1-352.

Obligations:

(Project Grants) FY 12 \$0; FY 13 est \$0; and FY 14 est \$0 - This program is no longer being funded.

(A) IN GENERAL:

Out of any funds in the Treasury not otherwise appropriated, the Secretary of the Treasury shall transfer to the Secretary to carry out this subsection \$1,000,000 for each of fiscal years 2009 through 2011.

NOTE:

There has been no recent funding for this Program. However, the award(s) have not yet reached the Statutory Time Limit. Hence, NIFA does not wish to archive this CFDA Program.

Range and Average of Financial Assistance:

If minimum or maximum amounts of funding per competitive project grant or cooperative agreement are established, these will be announced in the annual program announcement or RFA.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Consolidated and Further Continuing Appropriations Act, Public Law 112-55, did not provide funding for the HUFED program in FY 2012; therefore, NIFA did not offer the funding opportunity this fiscal year. (Per NIFAs website - <http://www.nifa.usda.gov/fo/healthyurbanfoodenterprisedevelopmentcenter.cfm>). Fiscal Year 2013: The HUFED Center award expired on June 30, 2013. A final report detailing activities and accomplishments will be submitted with 90 days of the end of the award. Fiscal Year 2014: Pertinent data to be provided by Program at a future date.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 3430, Competitive and Noncompetitive Non-formula Grant

Programs General Grant Administrative Provisions and Program-Specific Administrative Provisions; 7 CFR Part 3015, USDA Uniform Federal Assistance Regulations; 7 CFR Part 3017, Government wide Debarment and Suspension (Nonprocurement); 7 CFR Part 3018, New Restrictions on Lobbying; 7 CFR Part 3019, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-profit Organizations; and 7 CFR Part 3021 USDA implementation of Governmentwide Requirements for Drug-free Workplace (Financial Assistance).

Regional or Local Office:

None.

Headquarters Office:

USDA, NIFA, National Program Leader, Institute of Food Safety and Nutrition, Division of Nutrition, 1400 Independence Avenue, SW., STOP 2225, Washington, District of Columbia 20250-2225 Phone: (202) 401-2138 Fax: (202) 401-6488

Website Address:

<http://www.nifa.usda.gov/>

RELATED PROGRAMS:

10.225 Community Food Projects

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Consolidated and Further Continuing Appropriations Act, Public Law 112-55, did not provide funding for the HUFED program in FY 2012; therefore, NIFA did not offer the funding opportunity this fiscal year. (Per NIFAs website - <http://www.nifa.usda.gov/fo/healthyurbanfoodenterprisedevelopmentcenter.cfm>). Fiscal Year 2013: The HUFED Center award expired on June 30, 2013. A final report detailing activities and accomplishments will be submitted with 90 days of the end of the award. Fiscal Year 2014: HUFED is neither in NIFAs budget, nor the presidents budget for FY 14. Hence, future funding for this program is not anticipated.

CRITERIA FOR SELECTING PROPOSALS:

Within guidelines established for the program as described in the RFAs.

10.317 FOOD AID NUTRITION ENHANCEMENT PROGRAM (FANEP)

FEDERAL AGENCY:

National Institute of Food and Agriculture, Department of Agriculture

AUTHORIZATION:

Subtitle K of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 (7 U.S.C. 3319e), 7 U.S.C 3319e.

OBJECTIVES:

To develop and field test new food products designed to improve the nutritional delivery of humanitarian food assistance provided through the McGovern Dole (section 3107 of the Farm Security and Rural Investment Act of 2002 (7 U.S.C. 1736b-1)) and the Food for Peace title II (7 U.S.C. 1691 et seq.) programs.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

The Secretary may award competitive grants for collaborative projects that are mutually beneficial to the United States and other countries and encourage private sector involvement. Fully discretionary.

Applicant Eligibility:

081 Applicant Eligibility: To receive funding under this section, an entity shall be

- 1) State agricultural experiment stations;
 - 2) colleges and universities;
 - 3) university research foundations;
 - 4) other research institutions and organizations;
 - 5) Federal agencies;
 - 6) national laboratories;
 - 7) private organizations or corporations;
 - 8) individuals who are U.S. citizens or permanent residents; and
 - 9) any group consisting of 2 or more entities identified in (1) through (8) (as defined in section 1472(c) of NARETPA).
- Eligible institutions do not include foreign and international organizations.

Beneficiary Eligibility:

081 Applicant Eligibility: To receive funding under this section, an entity shall be

1) State agricultural experiment stations; (2) colleges and universities; (3) university research foundations; (4) other research institutions and organizations; (5) Federal agencies, (6) national laboratories; (7) private organizations or corporations; (8) individuals who are U.S. citizens or permanent residents; and (9) any group consisting of 2 or more entities identified in (1) through (8) (as defined in section 1472(c) of NARETPA). Eligible institutions do not include foreign and international organizations.

Credentials/Documentation:

No Credentials or documentation are required. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program.

Award Procedure:

Applications are subjected to a system of peer and merit review in accordance with section 103 of the Agricultural Research, Extension and Education Reform Act of 1998 (7 U.S.C. 1613) by a panel of qualified scientists and other appropriate persons who are specialists in the field covered by the proposal. Within the limit of funds available for such purpose, the NIFA Authorized Departmental Officer (ADO) shall make grants to those responsible, eligible applicants whose applications are judged most meritorious under the procedures set forth in the RFA.

Reviewers will be selected based upon training and experience in relevant scientific, extension, or education fields, taking into account the following factors:

- (a) The level of relevant formal scientific, technical education, or extension experience of the individual, as well as the extent to which an individual is engaged in relevant research, education, or extension activities;
- (b) the need to include as reviewers experts from various areas of specialization within relevant scientific, education, or extension fields;
- (c) the need to include as reviewers other experts (e.g., producers, range or forest managers/operators, and consumers) who can assess relevance of the applications to targeted audiences and to program needs;
- (d) the need to include as reviewers experts from a variety of organizational types (e.g., colleges, universities, industry, state and Federal agencies, private profit and non-profit organizations) and geographic locations;
- (e) the need to maintain a balanced composition of reviewers with regard to minority and female representation and an equitable age distribution; and
- (f) the need to include reviewers who can judge the effective usefulness to producers and the general public of each application.

Evaluation Criteria will be delineated in the RFA.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Specific details are provided in the Request for Applications (RFA) each fiscal year.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The term of competitive project grants and/or cooperative agreements under this program may not exceed five (5) years. Method of awarding/releasing assistance: lump sum.

Reports:

The term of competitive project grants and/or cooperative agreements under this program may not exceed five (5) years.

Grantees are to submit initial project information and annual summary reports to NIFAs electronic, Web-based inventory system that facilitates both grantee submissions of project outcomes and public access to information on Federally-funded projects. The details of the reporting requirements are included in the award terms and conditions. Cash reports are not applicable. Grantees are to submit initial project information and annual summary reports to NIFAs electronic, Web-based inventory system that facilitates both grantee submissions of project outcomes and public access to information on Federally-funded projects. The details of the reporting requirements are included in the award terms and conditions. A final Federal Financial Report (SF-425) is due within 90 days of the expiration date of the grant and should be submitted to the address listed below, in accordance with instructions contained in 2 CFR 3430.55 (also refer to Section 3015.82 of the Uniform Federal Assistance Regulations).

Awards Management Division (AMD)
Office of Grants and Financial Management (OGFM)
National Institute of Food and Agriculture (NIFA)
U.S. Department of Agriculture (USDA)
STOP 2271

1400 Independence Avenue, SW
Washington, DC 20250-2271

Telephone: (202) 401-4986. Grantees are to submit initial project information and annual summary reports to NIFAs electronic, Web-based inventory system that facilitates both grantee submissions of project outcomes and public access to information on Federally-funded projects. The details of the reporting requirements are included in the award terms and conditions.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audits will be conducted in accordance with guidelines established in the revised OMB Circular No. A-133, and implemented in 7 CFR 3052. This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

In accordance with the Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and other Non-profit Organizations (2 CFR 215, Subpart C, Section 215.53, (OMB Circular A-110)) grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be retained at least 3 years. Records must be retained beyond the 3-year period if litigation is pending or audit findings have not been resolved.

Account Identification:

12-1500-0-0-352.

Obligations:

(Project Grants (Discretionary)) FY 12 \$0; FY 13 est \$0; and FY 14 est \$0 - NOTE: Program has not been recently funded. However, the project period for one award is 3/31/2014. Hence, NIFA does NOT want to archive the CFDA number at this time.

Range and Average of Financial Assistance:

If minimum or maximum amounts of funding per competitive project grant or cooperative agreement are established, these will be announced in the annual program announcement or Request for Application (RFA).

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 3430, Competitive and Noncompetitive Non-formula Federal Assistance Programs General Award Administrative Provisions and Program-Specific Administrative Provisions; 7 CFR Part 3015, USDA Uniform Federal Assistance Regulations; 7 CFR Part 3017, Government wide Debarment and Suspension (Nonprocurement) ; 7 CFR Part 3018, New Restrictions on Lobbying; 7 CFR Part 3019, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-profit Organizations; 7 CFR Part 3021 USDA implementation of Governmentwide Requirements for Drug-free Workplace (Financial Assistance) and 7 CFR 3052 - Audits of States, Local Governments, and Non-Profit Organizations.

Regional or Local Office:

None.

Headquarters Office:

USDA, NIFA, National Program Leader, Competitive Programs, 1400 Independence Avenue, SW, STOP 2251, Washington, District of Columbia 20024 Phone: (202) 720-1973.

Website Address:

<http://www.nifa.usda.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Within guidelines established for the program as described in the RFAs.

10.318 WOMEN AND MINORITIES IN SCIENCE, TECHNOLOGY, ENGINEERING, AND MATHEMATICS FIELDS

WAMS; Women and Minorities in Science, Technology, Engineering, and Mathematics Fields (STEM) program

FEDERAL AGENCY:

National Institute of Food and Agriculture, Department of Agriculture

AUTHORIZATION:

Section 7204 of the Food Conservation, and Energy Act of 2008 (FCEA) (Public Law 110-246) amends section 1672 of the Food, Agriculture, Conservation and Trade Act of 1990 (FACT) (7 U.S.C. 5925) to authorize the Secretary of Agriculture to make competitive grants to support research and extension activities. The Secretary shall make these grants in consultation with the National Agricultural Research, Extension, and Education, and Economics Advisory Board.

OBJECTIVES:

The Women and Minorities (WAMS) in Science, Technology, Engineering and Mathematics (STEM) Fields program was authorized to increase participation by women and underrepresented minorities from rural areas in the fields of science, technology, engineering and mathematics, with priority given to eligible institutions that carry out continuing programs funded by the Secretary. The goal of the WAMS program is to develop and implement robust partnerships to increase the representation, participation, and entrepreneurship of women in STEM careers, thereby contributing to national economic prosperity. The purpose of the grant program is to support projects that: Provide STEM knowledge, skills and competency to Women and Minorities from Rural Areas with successful placement (a) in the workforce in STEM fields, or (b) as innovators and entrepreneurs adding value to the STEM fields in areas that have relevancy to the USDA Secretarys priorities.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Grant funds must be used for allowable costs necessary to conduct approved fundamental and applied research and extension grants to increase participation by women and underrepresented minorities from rural areas in the fields of science, technology, engineering and mathematics, with priority given to eligible institutions that carry out continuing programs funded by the Secretary. Program funds may only be used for research and extension activities in the

training, outreach and mentoring of rural women and underrepresented minorities from rural areas in STEM fields relevant to USDA mission.

Funds may not be used for any purposes other than those approved in the grant award documents.

Funds made available for grants in this program shall not be used for scholarship or fellowship purposes, the construction of a new building or facility or the acquisition, expansion, remodeling, or alteration of an existing building or facility (including site grading and improvement, and architect fees).

Section 720 of the Consolidated and Further Continuing Appropriations Act, 2012 (P.L. 112-55) limits indirect costs to 30 percent of the total Federal funds provided under each award. Therefore, when preparing budgets, applicants should limit their requests for recovery of indirect costs to the lesser of their institutions official negotiated indirect cost rate or the equivalent of 30 percent of total Federal funds awarded.

Special Note on Indirect Costs as in-kind matching contributions:

Indirect costs may be claimed under the Federal portion of the award budget or, alternatively, indirect costs may be claimed as a matching contribution (if no indirect costs are requested under the Federal portion of the award budget). However, unless explicitly authorized in the RFA, indirect costs may not be claimed on both the Federal portion of the award budget and as a matching contribution, unless the total claimed on both the Federal portion of the award budget and as a matching contribution does not exceed the maximum allowed indirect costs or the institutions negotiated indirect cost rate, whichever is less. An awardee may split the allocation between the Federal and non-Federal portions of the budget only if the total amount of indirect costs charged to the project does not exceed the maximum allowed indirect costs or the institutions negotiated indirect cost rate, whichever is less. For example, if an awardees' indirect costs are capped at 30 percent pursuant to FY 2012 appropriated funds, Section 720 of the Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2012 (Division A of Pub. L. 112-55), the awardee may request 15 percent of the indirect costs on both the Federal portion of the award and as a matching contribution. Or, the awardee may request any similar percentage that, when combined, does not exceed the maximum indirect cost rate of 30 percent. Fully discretionary.

Applicant Eligibility:

State agricultural experiment stations; colleges and universities; university research foundations; other research institutions and organizations; Federal agencies; national laboratories; private organizations or corporations; individuals; or any group consisting of 2 or more of these entities.

Beneficiary Eligibility:

State agricultural experiment stations; colleges and universities; university research foundations; other research institutions and organizations; Federal agencies; national laboratories; private organizations or corporations; individuals; or any group consisting of 2 or more of these entities.

Credentials/Documentation:

No Credentials or documentation are required. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. All RFAs are published on the Agency's website and Grants.gov. Applicants must complete the Grants.gov registration process. Please see the following Grants.gov link for more information: http://www.grants.gov/applicants/get_registered.jsp.

Applications should be submitted as outlined in the RFA. Applications must follow the instructions provided per Grants.gov and in the Agency guide to submitting applications via Grants.gov.

Award Procedure:

Applications are subjected to a system of peer and merit review in accordance with section 103 of the Agricultural Research, Extension and Education Reform Act of 1998 (7 U.S.C. 1613) by a panel of qualified scientists and other appropriate persons who are specialists in the field covered by the proposal. Within the limit of funds available for such purpose, the NIFA Authorized Departmental Officer (ADO) shall make grants to those responsible, eligible applicants whose applications are judged most meritorious under the procedures set forth in the RFA.

Reviewers will be selected based upon training and experience in relevant scientific, extension, or education fields.

Evaluation Criteria will be delineated in the RFA.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 180 days.

Dates for specific deadlines are announced in the RFA each fiscal year.

Information is also available via our website and may be obtained via the Grants.gov website. Respective links are provided below:

<http://www.nifa.usda.gov/>

<http://www.grants.gov>.

Appeals:

Not Applicable.

Renewals:

Specific details are provided in the Request for Applications (RFA) each fiscal year.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Percent: 100%. Grant recipients are required to match the USDA funds awarded on dollar-for-dollar basis from non-Federal sources with cash and in-kind contributions.

NIFA may waive the matching funds requirement for a grant if NIFA determines that:

(a) the results of the project, while of particular benefit to a specific agricultural commodity, are likely to be applicable to agricultural commodities generally; or

(b) the project involves a minor commodity, the project deals with scientifically important research, and the grant recipient is unable to satisfy the matching funds requirement.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The term of competitive project grants under this program may not exceed 5 years. Method of awarding/releasing assistance: by letter of credit.

Reports:

Grantees are to submit initial project information and annual summary reports to NIFAs electronic, Web-based inventory system that facilitates both grantee submissions of project outcomes and public access to information on Federally-funded projects. The details of the reporting requirements are included in the award terms and conditions. NIFA uses the SF-425, Federal financial Report to monitor cash. Grantees are to submit initial project information and annual summary reports to NIFAs electronic, Web-based inventory system that facilitates both grantee submissions of project outcomes and public access to information on Federally-funded projects. The details of the reporting requirements are included in the award terms and conditions. A final Federal Financial Report (SF-425) is due within 90 days of the expiration date of the grant and should be submitted to the address listed below, in accordance with instructions contained in 2 CFR 3430.55 (also refer to

Section 3015.82 of the Uniform Federal Assistance Regulations).

Awards Management Division (AMD)
Office of Grants and Financial Management (OGFM)
National Institute of Food and Agriculture (NIFA)
Department of Agriculture (USDA)
STOP 2271

400 Independence Avenue, SW.
Washington, DC 20250-2271

Telephone: (202) 401-4986. Grantees are to submit initial project information and annual summary reports to NIFAs electronic, Web-based inventory system that facilitates both grantee submissions of project outcomes and public access to information on Federally-funded projects. The details of the reporting requirements are included in the award terms and conditions.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

In accordance with the Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and other Non-profit Organizations [2 CFR 215, Subpart C, Section 215.53, (OMB Circular A-110)] grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be retained at least three (3) years. Records must be retained beyond the three-year period if litigation is pending or audit findings have not been resolved.

Account Identification:

12-0502-0-1-352.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 12 \$383,321; FY 13 est \$354,086; and FY 14 est \$0 - The difference between the appropriation and obligation numbers reflects legislative authorized set-asides deducted as appropriate, and in some cases the availability of obligational authority from prior years.

FY 2013 estimated amounts include dollar reductions calculated pursuant to the budget sequestration order issued March 1, 2013.

NOTE:

In FY 2014, program is moved to the National Science Foundation and Department of Education.

Range and Average of Financial Assistance:

If minimum or maximum amounts of funding per competitive project grant are established, these will be announced in the annual program announcement or Request for Application (RFA).

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: For the FY 2012 award cycle, \$383,321 was available for project grant awards after subtracting administrative costs.

A total of 14 applications requesting a total of \$696,668 were received in this years competition. In June 2013, a four-member peer review panel evaluated these applications. The peer panel included faculty and administrators from land grant, non-land grant colleges and universities and practitioners from the food and agricultural sciences community. Four applications met the WAMS RFA requirements satisfactorily to be panel reviewed. Based on total funding only the top two applications were selected by the panel to be funded.

Funded projects should address one or more of the following WAMS Grants Program Goals:

1. Develop successful academic recruitment and retention programs increasing the number of women and underrepresented minorities from rural areas who will pursue and complete a postsecondary degree in science, technology, engineering or mathematics (STEM) disciplines funded by the U.S Secretary of Agriculture, and for FY 2011, encourage projects focused on any of the five NIFA Challenge Areas listed in Part I, B;

2. Foster partnerships among academic institutions and employers that develop sustainable research or extension initiatives to increase the participation of women and underrepresented minorities from rural areas in experiential learning opportunities leading to STEM-related careers in disciplines funded by the U.S Secretary of Agriculture, and for FY 2011, encourage projects focused on any of the five NIFA Challenge Areas.

In one of the funded projects, students engaged in mentored experiences during the semester and remaining college career. Minorities and female students were recruited, enrolled and matched depending on the students interest. The students selected were in Animal Sciences and Industry, Agricultural Economics, and Agronomy. One of the students paired with a professor/mentor in Equine Nutrition in the Department of Animal Science and Industry presented a poster on her research work during the semester finale. She was also selected to present her research results at the 6th European Equine Health and Nutrition Congress in Belgium, March 2013.

The SciGirls STEM Network accomplished their goals in: professional development to 4-H leaders, a touring program to rural and tribal communities in a state, and participated in key conferences, webinars and events nationwide.

Target Audience Girls ages 8-13 Rural communities met their expectations through this program. Workshops, conferences, STEM learning events and experiences stimulated hands-on activities even reaching the students families. After school programs at all schools in the area helped raise low science scores of students. STEM summits also opened to elementary and middle school students and teachers. 50,000+ attendees at one of these educational events is phenomenal as multiple educational systems in varied school districts joined forces for student STEM learning experiences. Fiscal Year 2013: In FY 2013 award cycle, \$353,074 was available for project awards. One project is guaranteed funding and possibly two. Pertinent data to be provided by Program at a future date. Fiscal Year 2014: NOTE:

In FY 2014, program is moved to the National Science Foundation and Department of Education.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 3430, Competitive and Noncompetitive Non-formula Federal Assistance Programs General Award Administrative Provisions and Program-Specific Administrative Provisions; 7 CFR Part 3015, USDA Uniform Federal Assistance Regulations; 7 CFR Part 3017, Government wide Debarment and Suspension (Nonprocurement) ; 7 CFR Part 3018, New Restrictions on Lobbying; 7 CFR Part 3019, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-profit Organizations; and 7 CFR Part 3021 USDA implementation of Governmentwide Requirements for Drug-free Workplace (Financial Assistance).

Regional or Local Office:

None.

Headquarters Office:

USDA, NIFA, National Program Leader, Institute of Youth, Family, and Community, Division of Community and Education, 1400 Independence Avenue, SW., STOP 2250, Washington, District of Columbia 20024-2250 Phone: (202) 720-2324 Fax: (202) 720-2030.

Website Address:

<http://www.nifa.usda.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Increasing the successful placement of women and

underrepresented minorities into higher education and the workforce in food and agriculture-related STEM fields; increase their involvement as innovators and entrepreneurs adding value to those fields in addressing modern challenges; and to contribute to the development of sustainable and renewable energy for the future of the State of Hawaii and the world. The project will engage the interest of secondary and post-secondary students in the real-world challenges of sustainable energy, current STEM-related career opportunities in food and agricultural sciences, and related education.

Creation of a mentored Transfer Student BRIDGE program for women and underrepresented minority students. This includes an opportunity to experience campus and work on research with faculty members before transferring into the College of Agriculture. It is a chance to participate in individualized and group e-mentoring programs throughout the remainder of their undergraduate program and to be successfully placed in the STEM workforce.

The 2012 funded projects developed human capacity through mentoring of under-represented women and minority graduate students in STEM careers in Agriculture and Life Sciences. Further, undergraduate students conduct research in agricultural land assessment for sustainable energy. Fiscal Year 2013: Possibly two awards in the total amount of \$353,074 are being processed.

Pertinent data to be provided by Program at a future date. Fiscal Year 2014: NOTE:

In FY 2014, program is moved to the National Science Foundation and Department of Education.

CRITERIA FOR SELECTING PROPOSALS:

Within guidelines established for the program as described in the Request for Application (RFA).

10.319 FARM BUSINESS MANAGEMENT AND BENCHMARKING COMPETITIVE GRANTS PROGRAM

(FBMB)

FEDERAL AGENCY:

National Institute of Food and Agriculture, Department of Agriculture

AUTHORIZATION:

Section 1672D of the Food, Agriculture, Conservation and Trade Act of 1990 (7 U.S.C. 5925f).

OBJECTIVES:

To establish a competitive research and extension grants program for the purpose of: (1) improving the farm management knowledge and skills of agricultural producers; and (2) establishing and maintaining a national, publicly available farm financial management database to support improved farm management.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

In general:

The Secretary may make competitive research and extension grants for the purpose of:

- (1) improving the farm management knowledge and skills of agricultural producers; and
- (2) establishing and maintaining a national, publicly available farm financial management database to support improved farm management.

Selection criteria:

In allocating funds made available to carry out this section, the Secretary may give priority to grants that:

- (1) demonstrate an ability to work directly with agricultural producers;
- (2) collaborate with farm management and producer associations;
- (3) address the farm management needs of a variety of crops and regions of the United States; and

(4) use and support the national farm financial management database.

Administration:

Paragraphs (4), (7), (8), and (11)(B) of subsection (b) of section 450i of this title shall apply with respect to the making of grants under this section.

Authorization of appropriations:

There are authorized to be appropriated such sums as are necessary to carry out this section. NIFA has determined that grant funds awarded under this authority may not be used for the renovation or refurbishment of research, education, or extension space; the purchase or installation of fixed equipment in such space; or the planning, repair, rehabilitation, acquisition, or construction of buildings or facilities.

Section 720 of the Consolidated and Further Continuing Appropriations Act, 2012 (Pub.L. No. 112-55) limits indirect costs to 30 percent of the total Federal funds provided under each award. Therefore, when preparing budgets, applicants should limit their requests for recovery of indirect costs to the lesser of their institutions official negotiated indirect cost rate or the equivalent of 30 percent of total Federal funds awarded. Fully discretionary.

Applicant Eligibility:

Applications may be submitted by qualified public and private entities.

Pursuant to 7 U.S.C. 450i(7), this includes:

- (A) State agricultural experiment stations;
- (B) colleges and universities;
- (C) university research foundations;
- (D) other research institutions and organizations;
- (E) Federal agencies;
- (F) national laboratories;
- (G) private organizations or corporations;
- (H) individuals; or
- (I) any group consisting of 2 or more of the entities described in subparagraphs (A) through (H).

Award recipients may subcontract to organizations not eligible to apply provided such organizations are necessary for the conduct of the project. An applicants failure to meet an eligibility criterion by the time of an application deadline may result in the application being excluded from consideration or, even though an application may be reviewed, will preclude NIFA from making an award.

Beneficiary Eligibility:

Applications may be submitted by qualified public and private entities.

Pursuant to 7 U.S.C. 450i(7), this includes:

- (A) State agricultural experiment stations;
- (B) colleges and universities;
- (C) university research foundations;
- (D) other research institutions and organizations;
- (E) Federal agencies;
- (F) national laboratories;
- (G) private organizations or corporations;
- (H) individuals; or
- (I) any group consisting of 2 or more of the entities described in subparagraphs (A) through (H).

Award recipients may subcontract to organizations not eligible to apply provided such organizations are necessary for the conduct of the project. An applicants failure to meet an eligibility criterion by the time of an application deadline may result in the application being excluded from consideration or, even though an application may be reviewed, will preclude NIFA from making an award.

Credentials/Documentation:

No Credentials or documentation are required. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

All RFAs are published on the Agencys website and Grants.gov. Applicants must complete the Grants.gov registration process. Please see the following Grants.gov link for more information:

http://www.grants.gov/applicants/get_registered.jsp. This program is excluded from coverage under E.O. 12372. An environmental impact statement is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applications should be submitted as outlined in the RFA. Applications must follow the instructions provided per Grants.gov and in the Agency guide to submitting applications via Grants.gov.

Award Procedure:

Applications are subjected to a system of peer and merit review in accordance with section 103 of the Agricultural Research, Extension and Education Reform Act of 1998 (7 U.S.C. 1613) by a panel of qualified scientists and other appropriate persons who are specialists in the field covered by the proposal. Within the limit of funds available for such purpose, the NIFA Authorized Departmental Officer (ADO) shall make grants to those responsible, eligible applicants whose applications are judged most meritorious under the procedures set forth in the RFA.

Reviewers will be selected based upon training and experience in relevant scientific, extension, or education fields, taking into account the following factors:

- (a) The level of relevant formal scientific, technical education, or extension experience of the individual, as well as the extent to which an individual is engaged in relevant research, education, or extension activities;
- (b) the need to include as reviewers experts from various areas of specialization within relevant scientific, education, or extension fields;
- (c) the need to include as reviewers other experts (e.g., producers, range or forest managers/operators, and consumers) who can assess relevance of the applications to targeted audiences and to program needs;
- (d) the need to include as reviewers experts from a variety of organizational types (e.g., colleges, universities, industry, state and Federal agencies, private profit and non-profit organizations) and geographic locations;
- (e) the need to maintain a balanced composition of reviewers with regard to minority and female representation and an equitable age distribution; and
- (f) the need to include reviewers who can judge the effective usefulness to producers and the general public of each application.

Evaluation Criteria will be delineated in the RFA.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

:094 Deadlines:

Dates for specific deadlines are announced in the RFA each fiscal year.

Information is also available via our website and may be obtained via the Grants.gov website. Respective links are provided below:
<http://www.nifa.usda.gov/>

<http://www.grants.gov>

:095 Range of Approval/Disapproval Time:

From 30 to 180 days.

Appeals:

Not Applicable.

Renewals:

Specific details are provided in the Request for Applications (RFA) each fiscal year.

Formula and Matching Requirements:

This program has no statutory formula.
 This program has no matching requirements. NIFA does not require matching or cost sharing support for this program.
 MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The term of competitive project grants and/or cooperative agreements under this program may not exceed five (5) years. Method of awarding/releasing assistance: by letter of credit.

Reports:

Grantees are to submit initial project information and annual summary reports to NIFAs electronic, Web-based inventory system that facilitates both grantee submissions of project outcomes and public access to information on Federally-funded projects. The details of the reporting requirements are included in the award terms and conditions. NIFA uses the SF-425, Federal financial Report to monitor cash. Grantees are to submit initial project information and annual summary reports to NIFAs electronic, Web-based inventory system that facilitates both grantee submissions of project outcomes and public access to information on Federally-funded projects. The details of the reporting requirements are included in the award terms and conditions. A final Federal Financial Report (SF-425) is due within 90 days of the expiration date of the grant and should be submitted to the address listed below, in accordance with instructions contained in 2 CFR 3430.55 (also refer to Section 3015.82 of the Uniform Federal Assistance Regulations).

Awards Management Division (AMD)
 Office of Grants and Financial Management (OGFM)
 National Institute of Food and Agriculture (NIFA)
 Department of Agriculture (USDA)
 STOP 2271

1400 Independence Avenue, SW
 Washington, DC 20250-2271

Telephone: (202) 401-4986. Grantees are to submit initial project information and annual summary reports to NIFAs electronic, Web-based inventory system that facilitates both grantee submissions of project outcomes and public access to information on Federally-funded projects. The details of the reporting requirements are included in the award terms and conditions.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audits will be conducted in accordance with guidelines established in the revised OMB Circular No. A-133, and implemented in 7 CFR 3052. This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

In accordance with the Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and other Non-profit Organizations [2 CFR 215, Subpart C, Section 215.53, (OMB Circular A-110)] grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be retained at least three (3) years. Records must be retained beyond the three-year period if litigation is pending or audit findings have not been resolved.

Account Identification:

12-1500-0-1-352.

Obligations:

(Project Grants (Cooperative Agreements)) FY 12 \$1,390,875; FY 13 est \$1,252,651; and FY 14 est \$0 - The difference between the appropriation and obligation numbers reflects legislative authorized set-asides deducted as appropriate, and in some cases the availability of obligational authority from prior years.

FY 2013 estimated amounts include dollar reductions calculated pursuant to the budget sequestration order issued March 1, 2013.

Range and Average of Financial Assistance:

If minimum or maximum amounts of funding per competitive project grant or cooperative agreement are established, these will be announced in the annual program announcement or Request for Application (RFA).

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Review Background:

The amount available for support of this program in FY 2012 was approximately 1.35 million. After subtracting business cost an estimated amount of 1.354 million was awarded.

Twelve proposals were submitted. Two proposals were not accepted in GAC. These proposals were incomplete.

Ten proposals were reviewed and ranked by six panel reviewers. Three programs received low scores and were not considered for funding. One program received reviews of needing major changes required before funding. Three programs were considered for funding. One program was reviewed as funding with minor changes and two programs were recommended for funding as is. The Panel accepted three Land-grant University programs to receive funding to deliver the Farm Business Management and Benchmarking grant. Amount of funds awarded to three institutions throughout the United States at three locations are:

Location # 1 Amount \$295,253

Location #2 Amount: \$ 832,895

Location #3 Amount: \$ 224,853. Fiscal Year 2013: NIFA announced the availability of grant funds and requests applications for the Farm Business Management and Benchmarking (FBMB) Competitive Grants Program for fiscal year (FY) 2013 to improve the farm management knowledge and skills of agricultural producers; and maintain a national, publicly available farm financial management database to support improved farm management. The amount available for support of this program in FY 2013 is approximately \$1.3 million. Grant deadline was June 7, 2013. Nine proposals will be reviewed by a panel of reviewers, July 23, 2013. Fiscal Year 2014: It is estimated that \$1.4 million will be available. Pertinent data to be provided by Program at a future date.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 3430, Competitive and Noncompetitive Non-formula Federal Assistance Programs General Award Administrative Provisions and Program-Specific Administrative Provisions; 7 CFR Part 3015, USDA Uniform Federal Assistance Regulations; 7 CFR Part 3017, Government wide Debarment and Suspension (Nonprocurement) ; 7 CFR Part 3018, New Restrictions on Lobbying; 7 CFR Part 3019, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-profit Organizations; 7 CFR Part 3021 USDA implementation of Governmentwide Requirements for Drug-free Workplace (Financial Assistance) and 7 CFR 3052 - Audits of States, Local Governments, and Non-Profit Organizations.

Regional or Local Office:

None.

Headquarters Office:

USDA, NIFA, National Program Leader, Institute of Youth, Family, and Community, Division of Family and Consumer Sciences, 1400 Independence Avenue, SW., STOP 2250, Washington, District of Columbia 20250-2250
 Phone: (202) 720-4795 Fax: (202) 720-9366

Website Address:

<http://www.nifa.usda.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: This program will maintain and expand the national, publicly available online farm financial database that any U.S. producer can use for

benchmarking and improving their farm management. It will improve the profitability and competitiveness of small and mid-sized U.S. farms and ranches by developing and providing benchmarking resources using high-quality farm financial management data. To develop and expand this database, increased collaboration between existing state-level farm management associations and farm and ranch business management education programs will be facilitated. Benchmarking is an important and growing tool used to improve producer's abilities to successfully manage the high risk and price volatility currently being experienced by farmers and ranchers throughout the U.S. This project will provide benchmarking capabilities to help producers manage risk, volatility and financial stress. Numerous researchers have indicated a desire to use the national farm financial benchmarking database to conduct research on cost of production, key determinants of farm profitability, and analyses of farm policy proposals. In conjunction with the national database, this project will develop a statistical tool that will allow researchers to conduct research without compromising the confidentiality of individual producer data. Benchmarking allows producers to compare their performance to farms and ranches of similar size that produce the same products. The benchmarking database helps producers identify their businesses strengths and weaknesses. The national database will be publicly available to all U.S. producers. Use of the database for benchmarking will improve producers' abilities to successfully manage risk and financial challenges and to become more globally competitive.

Three examples of funded projects are:

1. In the past year, 31,816 reports were generated through queries to the National Farm Management Benchmarking Database. The National Farm Business Management Benchmarking Database is open to the public and can be queried to provide benchmark reports by farm size, farm type, location, debt level, age of operator and several other factors. Enterprise level data can be queried by location, enterprise size, tillage method, organic vs. conventional and several other production factors.

2. A 15 state collaboration submitted 3,239 farm financial analyses to the National Farm Benchmarking Database, as of May 31, 2013. Each of the farms that submitted their financial summaries also received individualized review and analysis of their farm/ranch operations. In addition, the regional and/or state summary data has been presented at multiple local, regional and state meetings where additional producers, agricultural lenders and agribusiness leaders can access and utilize the summary reports.

3. Detailed survey of existing strategic management tools available from private and public sources or authors and accessible on various platforms. Particular focus was placed on assessing the types of performance indicators produced and the type of data required. Tools focusing on supporting dairy herd management were reviewed. Project staff met with a small set of small and medium scale dairy farm managers to establish their current practices in monitoring performance. The project has benefited from insights drawn from these interviews and meetings by establishing a firm foundation for design of a decision support tool. An initial linear programming model was developed to provide support for feed management decisions. The model was designed to be light on data requirements and to provide indicators of both herd level profits and environmental effects of decisions. The project is continuing summer and fall of 2013 to develop this model and provide access to it to a set of cooperating farm managers. Fiscal Year 2013: Not available until awards are made. Pertinent data to be provided by Program at a future date. Fiscal Year 2014: Not available until awards are made. Pertinent data to be provided by Program at a future date.

CRITERIA FOR SELECTING PROPOSALS:

Within guidelines established for the program as described in the Request for Application (RFA).

10.320 SUN GRANT PROGRAM

FEDERAL AGENCY:

National Institute of Food and Agriculture, Department of Agriculture

AUTHORIZATION:

The Sun Grant Program, was originally established in section 778 of the Agriculture, Rural Development, Food and Drug Administration, and Related

Agencies Appropriations Act of 2004 (Public Law 108-199), which amended the Farm Security and Rural Investment Act of 2002 (7 U.S.C. 8101 et seq.) adding section 9011, entitled the Sun Grant Research Initiative Act of 2003. Section 7526 of the Food, Conservation, and Energy Act of 2008 (7 U.S.C. 8114) establishes the Sun Grant Program, replacing the Sun Grant Research Initiative Act of 2003. Authority for the Sun Grant Program is delegated to the National Institute of Food and Agriculture in title 7 Part 2.66 subpart (a) section (153) of the Code of Federal Regulations.

OBJECTIVES:

This program responds to the need to enhance national energy security with biobased energy technology; promote diversification and environmental sustainability through biobased technology; promote economic diversification in the rural U.S. through biobased technology; and enhance the efficiency of biobased technology research and development (R&D) through collaborations among USDA; Department of Energy (DOE); and Land Grant Institutions.

Grants will be awarded to the sun grant centers and subcenter for the purposes of carrying out a competitive grants program and to conduct multi-institutional and multistate research, extension, and education programs on technology development; and integrated research, extension, and education programs on technology implementation.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

The Sun Grant Program was established to:

- (1) Enhance national energy security with biobased energy tech;
- (2) Promote diversification and environmental sustainability through biobased tech;
- (3) Promote economic diversification in the rural US through biobased technology; and
- (4) Enhance the efficiency of biobased technology R&D through collaborations among the United States Department of Agriculture, Department of Energy, and Land Grant Institutions.

A Sun Grant Center or Subcenter shall use 75 percent of the funds to provide competitive grants to entities that are (i) eligible to receive grants under subsection (b)(7) of the Competitive, Special, and Facilities Research Grant Act (7 U.S.C. 450i(b)(7)); and (ii) located in the region covered by the Sun Grant Center or Subcenter. Grant funds must be used for allowable costs necessary to conduct approved research and extension objectives. Funds shall not be used for the construction of a new building or facility or the acquisition, expansion, remodeling, or alteration of an existing building or facility (including site grading and improvement, and architect fees).

Funds may not be used for any purposes other than those approved in the grant award documents.

See FY '10 Request for Application (RFA) for specific details regarding indirect costs and matching requirements. Fully discretionary.

Applicant Eligibility:

Only the Sun Grant Centers and Subcenter as specifically designated in 7 U.S.C. 8114 are eligible to apply for funding under this program.

Beneficiary Eligibility:

Only the Sun Grant Centers and Subcenter as specifically designated in 7 U.S.C. 8114 are eligible to apply for funding under this program.

Credentials/Documentation:

No Credentials or documentation are required. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Preapplication coordination is not applicable.

Award Procedure:

All RFAs are published on the Agency's website and Grants.gov. Applicants must complete the Grants.gov registration process. Please see the following Grants.gov link for more information:

http://www.grants.gov/applicants/get_registered.jsp. This program is excluded from coverage under E.O. 12372. Applications should be submitted as outlined in the RFA. Applications must follow the instructions provided per Grants.gov and in the Agency guide to submitting applications via Grants.gov. Proposals submitted by Sun Grant Centers or the Subcenter are reviewed by a panel of qualified NIFA scientists and appropriate persons in accordance with the provisions of 7 U.S.C. 8114. Proposals are funded to the extent permitted by available funds on the basis of merit and compliance with provisions found in applicable legislation and regulations. Competitive grant applications awarded by the Sun Grant Centers or the Subcenter are subjected to a system of peer and merit review in accordance with section 103 of the Agricultural Research, Extension and Education Reform Act of 1998 (7 U.S.C. 1613) by a panel of qualified scientists and other appropriate persons who are specialists in the field covered by the proposal. Within the limit of funds available for such purpose, the NIFA Authorized Departmental Officer (ADO) shall make grants to those responsible, eligible applicants whose applications are judged most meritorious under the procedures set forth in the RFA. Reviewers will be selected based upon training and experience in relevant scientific, extension, or education fields, taking into account the following factors: (a) The level of relevant formal scientific, technical education, or extension experience of the individual, as well as the extent to which an individual is engaged in relevant research, education, or extension activities; (b) the need to include as reviewers experts from various areas of specialization within relevant scientific, education, or extension fields; (c) the need to include as reviewers other experts (e.g., producers, range or forest managers/operators, and consumers) who can assess relevance of the applications to targeted audiences and to program needs; (d) the need to include as reviewers experts from a variety of organizational types (e.g., colleges, universities, industry, state and Federal agencies, private profit and non-profit organizations) and geographic locations; (e) the need to maintain a balanced composition of reviewers with regard to minority and female representation and an equitable age distribution; and (f) the need to include reviewers who can judge the effective usefulness to producers and the general public of each application. Evaluation Criteria will be delineated in the solicitation available from the Sun Grant Centers or the Subcenter.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 30 to 180 days.

Appeals:

Not Applicable.

Renewals:

Specific details are provided in the Request for Applications (RFA) each fiscal year.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Percent: 20%. Funds allocated for competitive grants must be matched in an amount of 20 percent from non-Federal sources by all subawardees. Matching requirements do not apply to fundamental research. Additionally, the Sun Grant Center or Subcenter may reduce or eliminate the requirement for non-Federal funds for applied research if the Sun Grant Center or Subcenter determines that the reduction is necessary and appropriate pursuant to specific guidance issued by NIFA. Specific matching provisions are announced in the request for applications or guidelines for each fiscal year.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The term of project grants and/or cooperative agreements under this program may not exceed 5 years. Method of awarding/releasing assistance: by letter of

credit.

Reports:

Grantees are to submit initial project information and annual summary reports to NIFAs electronic, Web-based inventory system that facilitates both grantee submissions of project outcomes and public access to information on Federally-funded projects. The details of the reporting requirements are included in the award terms and conditions.

Pursuant to 7 U.S.C. 8114, not later than 90 days after the end of each fiscal year, a Sun Grant Center or Subcenter receiving a grant shall submit to NIFA a report that describes the policies, priorities, and operations of the program carried out by the center or Subcenter during the fiscal year, including (1) the results of all peer and merit review procedures conducted in association with the 75 percent of funds designated for competitive grants in 7 U.S.C. 8114; and (2) a description of progress made in facilitating the priorities planned for addressing the bioenergy, biomass, and gasification research priorities of the Department of Agriculture and the Department of Energy at the State and regional levels. The plan must be jointly developed in cooperation with land-grant colleges and universities and private industry. NIFA uses the SF-425, Federal financial Report to monitor cash. These are required on a quarterly basis and due within 30 days after the close of each quarter. Grantees are to submit initial project information and annual summary reports to NIFAs electronic, Web-based inventory system that facilitates both grantee submissions of project outcomes and public access to information on Federally-funded projects. The details of the reporting requirements are included in the award terms and conditions.

Pursuant to 7 U.S.C. 8114, not later than 90 days after the end of each fiscal year, a Sun Grant Center or Subcenter receiving a grant shall submit to NIFA a report that describes the policies, priorities, and operations of the program carried out by the center or Subcenter during the fiscal year, including (1) the results of all peer and merit review procedures conducted in association with the 75% of funds designated for competitive grants in 7 USC 8114; and (2) a description of progress made in facilitating the priorities planned for addressing the bioenergy, biomass, and gasification research priorities of the Department of Agriculture and the Department of Energy at the State and regional levels. The plan must be jointly developed in cooperation with land-grant colleges and universities and private industry. A final Federal Financial Report (SF-425) is due within 90 days of the expiration date of the grant and should be submitted to the address listed below, in accordance with instructions contained in 2 CFR 3430.55 (also refer to Section 3015.82 of the Uniform Federal Assistance Regulations).

Awards Management Division (AMD)
Office of Grants and Financial Management (OGFM)
National Institute of Food and Agriculture (NIFA)
Department of Agriculture (USDA)
STOP 2271

1400 Independence Avenue, SW
Washington, DC 20250-2271

Telephone: (202) 401-4986. Grantees are to submit initial project information and annual summary reports to NIFAs electronic, Web-based inventory system that facilitates both grantee submissions of project outcomes and public access to information on Federally-funded projects. The details of the reporting requirements are included in the award terms and conditions.

Pursuant to 7 U.S.C. 8114, not later than 90 days after the end of each fiscal year, a Sun Grant Center or Subcenter receiving a grant shall submit to NIFA a report that describes the policies, priorities, and operations of the program carried out by the center or Subcenter during the fiscal year, including (1) the results of all peer and merit review procedures conducted in association with the 75 percent of funds designated for competitive grants in 7 U.S.C. 8114; and (2) a description of progress made in facilitating the priorities planned for addressing the bioenergy, biomass, and gasification research priorities of the Department of Agriculture and the Department of Energy at the State and regional levels. The plan must be jointly developed in cooperation with

land-grant colleges and universities and private industry.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audits will be conducted in accordance with guidelines established in the revised OMB Circular No. A-133 and implemented in 7 CFR 3052. This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

In accordance with the Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and other Non-profit Organizations [2 CFR 215, Subpart C, Section 215.53, (OMB Circular A-110)] grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be retained at least 3 years. Records must be retained beyond the 3-year period if litigation is pending or audit findings have not been resolved.

Account Identification:

12-1500-0-1-352.

Obligations:

(Project Grants (Cooperative Agreements)) FY 12 \$2,112,000; FY 13 est \$2,159,744; and FY 14 est \$0 - The difference between the appropriation and obligation numbers reflects legislative authorized set-asides deducted as appropriate, and in some cases the availability of obligational authority from prior years.

FY 2013 estimated amounts include dollar reductions calculated pursuant to the budget sequestration order issued March 1, 2013.

Range and Average of Financial Assistance:

Of available funds there will be equal distribution among each of the Sun Grant Centers in compliance with 7 U.S.C. 8114 and with the Terms and Conditions of the award with a portion of the funds allocated to the western Sun Grant Center to be made available to the western insular Pacific sun grant Subcenter in an amount as determined appropriate by NIFA.

For competitive grants made by the Sun Grant Centers or the Subcenter, if minimum or maximum amounts of funding per competitive project grant or cooperative agreement are established, these will be announced in the annual program announcement or Request for Application (RFA).

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: The Sun Grant program supports a national network of land-grant universities and national laboratories that are partnering to help build the bioeconomy. As described in the 2008 Farm Bill, this effort is conducted across five regions and one sub-region headed by an identified land-grant university to conduct annual solicitations that focus on improving rural economic development through the production of sustainable biomass feedstocks for biofuels and biobased products. Fiscal Year 2013: The Sun Grant program will continue to address regional issues regarding growing and processing biomass into biofuels and biobased products. Fiscal Year 2014: The FY 2014 program is contingent upon the re-authorization of the Farm Bill.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 3430, Competitive and Noncompetitive Non-formula Federal Assistance Programs General Award Administrative Provisions and Program-Specific Administrative Provisions; 7 CFR Part 3015, USDA Uniform Federal Assistance Regulations; 7 CFR Part 3017, Government wide Debarment and Suspension (Nonprocurement) ; 7 CFR Part 3018, New Restrictions on Lobbying; 7 CFR Part 3019, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-profit Organizations; 7 CFR Part 3021 USDA implementation of Governmentwide Requirements for Drug-free Workplace

(Financial Assistance) and 7 CFR 3052 - Audits of States, Local Governments, and Non-Profit Organizations.

Regional or Local Office:

None.

Headquarters Office:

USDA, NIFA, National Program Leader; Institute of Bioenergy, Climate, and Environment, Division of Bioenergy, 1400 Independence Avenue, SW., STOP 2210, Washington, District of Columbia 20250-2210 Phone: (202) 401-5244 Fax: (202) 401-2653

Website Address:

<http://www.nifa.usda.gov/>

RELATED PROGRAMS:

10.306 BIODIESEL; 10.310 Agriculture and Food Research Initiative (AFRI) ; 10.312 Biomass Research and Development Initiative Competitive Grants Program (BRDI)

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: The Western Region supported investigations into alternatives, such as giant reed, to coal for the production of power. The Center also supported a feedstock supply assessment for poplar, cereal straw, and grass straw.

The South-Central Region developed a skid-mounted gasification system for on-site heat, fuel, and power production using wood chips and rice straw as feedstocks. They Region as also created and licensed an new switchgrass cultivar.

The North-Central Region has evaluated in-field corn stover densification techniques and interaction with storage quality, logistics, and production costs.

The Southeastern Region is evaluating the effects of storage on feedstock quality and producing innovative decision tools such as BioSAT and BioFLAME. Fiscal Year 2013: Center solicitations have been announced and projects are pending or not yet selected. Pertinent data to be provided by Program at a future date. Fiscal Year 2014: Funding is subject to authorization in the next Farm Bill. Pertinent data to be provided by Program at a future date.

CRITERIA FOR SELECTING PROPOSALS:

Within guidelines established for the program as described in the request for applications in accordance with 7 U.S.C. 8114 and applicable Terms and Conditions of the award.

10.322 DISTANCE EDUCATION GRANTS FOR INSTITUTIONS OF HIGHER EDUCATION IN INSULAR AREAS

Grants for Insular Areas - Distance Education Grants for Insular Areas (DEG)

[Formerly: Distance Education Grant (DEG)]

FEDERAL AGENCY:

National Institute of Food and Agriculture, Department of Agriculture

AUTHORIZATION:

7 U.S.C. 3362.

OBJECTIVES:

To strengthen the capacity of Institutions of Higher Education in Insular Areas to carry out resident instruction, curriculum, and teaching programs in the food and agricultural sciences through distance education technology.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

The purpose of Distance Education Grants for Institutions of Higher Education in Insular Areas is to strengthen the capacity of Institutions of Higher Education in Insular Areas to carry out resident instruction, curriculum, and teaching programs in the food and agricultural sciences through distance education technology.

Grant funds must be used for allowable costs necessary to conduct approved fundamental and applied research, extension and education objectives to address food and agricultural sciences.

The use of grant funds to plan, acquire, or construct a building or facility is not allowed under this program. With prior approval, and in accordance with the cost principles set forth in OMB Circular No. A-21, some grant funds may be used for minor alterations, renovations, or repairs deemed necessary to retrofit existing teaching or research spaces in order to carry out a funded project. However, requests to use grant funds for such purposes must demonstrate that the alterations, renovations, or repairs are essential to achieving the major purpose of the project. Grant funds may not be used for endowment investing.

Section 720 of the Consolidated and Further Continuing Appropriations Act, 2012 (Pub.L. No. 112-55) limits indirect costs to 30 percent of the total Federal funds provided under each award. Therefore, when preparing budgets, applicants should limit their requests for recovery of indirect costs to the lesser of their institutions official negotiated indirect cost rate or the equivalent of 30 percent of total Federal funds awarded. Fully discretionary.

Applicant Eligibility:

Individual land-grant colleges and universities, and other institutions that have secured land-grant status through Federal legislation, and which are located in Insular Areas are automatically eligible for awards under the DEG grants program, either as direct applicants or as parties to a consortium agreement.

Beneficiary Eligibility:

Individual land-grant colleges and universities, and other institutions that have secured land-grant status through Federal legislation, and which are located in Insular Areas are automatically eligible for awards under the DEG grants program, either as direct applicants or as parties to a consortium agreement.

Credentials/Documentation:

No Credentials or documentation are required. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program.

Award Procedure:

Applications are subjected to a system of peer and merit review in accordance with section 103 of the Agricultural Research, Extension and Education Reform Act of 1998 (7 U.S.C. 1613) by a panel of qualified scientists and other appropriate persons who are specialists in the field covered by the proposal. Within the limit of funds available for such purpose, the NIFA Authorized Departmental Officer (ADO) shall make grants to those responsible, eligible applicants whose applications are judged most meritorious under the procedures set forth in the RFA.

Reviewers will be selected based upon training and experience in relevant scientific, extension, or education fields, taking into account the following factors:

- (a) The level of relevant formal scientific, technical education, or extension experience of the individual, as well as the extent to which an individual is engaged in relevant research, education, or extension activities;
- (b) the need to include as reviewers experts from various areas of specialization within relevant scientific, education, or extension fields;
- (c) the need to include as reviewers other experts (e.g., producers, range or forest managers/operators, and consumers) who can assess relevance of the applications to targeted audiences and to program needs;
- (d) the need to include as reviewers experts from a variety of organizational types (e.g., colleges, universities, industry, state and Federal agencies, private profit and non-profit organizations) and geographic locations;
- (e) the need to maintain a balanced composition of reviewers with regard to minority and female representation and an equitable age distribution; and
- (f) the need to include reviewers who can judge the effective usefulness to

producers and the general public of each application. Evaluation Criteria will be delineated in the RFA.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days. Specific details are provided in the Request for Applications (RFA) each fiscal year.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. This program has no matching requirements. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The term of competitive project grants and/or cooperative agreements under this program may not exceed five (5) years. Method of awarding/releasing assistance: by letter of credit.

Reports:

Grantees are to submit initial project information and annual summary reports to NIFAs electronic, Web-based inventory system that facilitates both grantee submissions of project outcomes and public access to information on Federally-funded projects. The details of the reporting requirements are included in the award terms and conditions. A final Financial Status Report (SF-269) or Federal Financial Report (SF-425) is due within 90 days of the expiration date of the grant and should be submitted to the Awards Management Division, Office of Grants and Financial Management at the address listed below, in accordance with instructions contained in 2 CFR 3430.55 (also refer to Section 3015.82 of the Uniform Federal Assistance Regulations). Grantees are to submit initial project information and annual summary reports to NIFAs electronic, Web-based inventory system that facilitates both grantee submissions of project outcomes and public access to information on Federally-funded projects. The details of the reporting requirements are included in the award terms and conditions. A final Federal Financial Report (SF-425) is due within 90 days of the expiration date of the grant and should be submitted to the address listed below, in accordance with instructions contained in 2 CFR 3430.55 (also refer to Section 3015.82 of the Uniform Federal Assistance Regulations).

Awards Management Division (AMD)
Office of Grants and Financial Management (OGFM)
National Institute of Food and Agriculture (NIFA)
U.S. Department of Agriculture (USDA)
STOP 2271

1400 Independence Avenue, SW
Washington, DC 20250-2271

Telephone: (202) 401-4986. Grantees are to submit initial project information and annual summary reports to NIFAs electronic, Web-based inventory system that facilitates both grantee submissions of project outcomes and public access to information on Federally-funded projects. The details of the reporting requirements are included in the award terms and conditions.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audits will be conducted in accordance with guidelines established in the revised OMB Circular No. A-133 and implemented in 7 CFR 3052. This program is also subject to audit by the cognate Federal audit agency and the USDA Office of Inspector General.

Records:

In accordance with the Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and other Non-profit Organizations (2 CFR 215, Subpart C, Section 215.53, (OMB Circular A-110)) grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be retained at least 3 years. Records must be retained beyond the 3-year period if litigation is pending or audit findings have not been resolved.

Account Identification:

12-1500-0-1-352.

Obligations:

(Project Grants) FY 12 \$720,000; FY 13 est \$665,677; and FY 14 est \$720,000 - The difference between the appropriation and obligation numbers reflects legislative authorized set-asides deducted as appropriate, and in some cases the availability of obligational authority from prior years.

FY 2013 estimated amounts include dollar reductions calculated pursuant to the budget sequestration order issued March 1, 2013.

NOTES:

(1) Effective FY '13, Appropriations for the Resident Instruction Grants (RIIA CFDA 10.308) and Distance Education Grants (DEG CFDA 10.322) were consolidated under Research & Education Activities, Grants for Insular Areas Program. However, different legislative authorities are applicable and separate Requests for Applications (RFAs) were issued under the respective CFDA numbers.

(2) Also see CFDA 10.308 for further information re: Insular Area Grants.

Range and Average of Financial Assistance:

If minimum or maximum amounts of funding per competitive project grant or cooperative agreement are established, these will be announced in the annual program announcement or Request for Application (RFA).

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: For the FY 2012 award cycle, \$720,000 was available for project grant awards after subtracting administrative costs.

One application was received requesting \$750,000. In May 2012, a five-member peer review panel evaluated this application and other applications for other related programs. The peer panel included faculty and administrators from land grant, non-land grant colleges and universities, and scientists from the food and agricultural sciences community.

Applications submitted to this grants program must state how the funded project will address the two DEG Program Goals:

1. To increase the number and diversity of students who will pursue and complete a 2- or 4-year postsecondary degree in the food and agricultural sciences, or other STEM fields closely related to the food and agricultural sciences, and for FY 2012, encourage study in areas that contribute to any of the five NIFA Priority Areas listed directly above in Part I, A; and
2. To enhance the quality of postsecondary instruction in order to help meet current and future national food and agricultural sciences workplace needs. Fiscal Year 2013: For 2013, DEG and RIIA programs have identical goals and objectives in enhancing classroom course delivery in order to increase student enrollment and graduation in food and agricultural sciences and related sciences. RIIA basically improves classroom learning while DEG improves distance learning technology for students who are unable to relocate from other islands. One DEG award is in the process of funding at approximately \$700,000 to continue advance technology to reach students on other islands.

Pertinent data to be provided by Program at a future date. Fiscal Year 2014: Pertinent data to be provided by Program at a future date.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 3430, Competitive and Noncompetitive Non-formula Federal

Assistance Programs General Award Administrative Provisions and Program-Specific Administrative Provisions; 7 CFR Part 3015, USDA Uniform Federal Assistance Regulations; 7 CFR Part 3017, Government wide Debarment and Suspension (Nonprocurement) ; 7 CFR Part 3018, New Restrictions on Lobbying; 7 CFR Part 3019, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-profit Organizations; 7 CFR Part 3021 USDA implementation of Governmentwide Requirements for Drug-free Workplace (Financial Assistance) and 7 CFR 3052 - Audits of States, Local Governments, and Non-Profit Organizations.

Regional or Local Office:

None.

Headquarters Office:

USDA, NIFA, National Program Leader, Institute of Youth, Family, and Community, Division of Community and Education, 1400 Independence Avenue, SW., STOP 2250, Washington, District of Columbia 20250-2250 Phone: (202) 720-2324 Fax: (202) 720-2030

Website Address:

<http://www.nifa.usda.gov>

RELATED PROGRAMS:

10.308 Resident Instruction Grants for Insular Area Activities

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Since DEG began in 2010, its thrust is providing students with alternate methods of learning to broaden their student experience and allow them to select the alternate method of learning that supports the evidence on learning styles theories. The outcomes of the project include increased satisfaction among students enrolled in the Program as a result of providing them with alternate methods of learning, as well as opportunities to reduce their time to complete the Program and become more proficient in the use of technology.

DEG continues addressing the lack of access of college students and faculty, and of local high school science teachers and students, to centers of high scientific activity in STEM areas. The project seeks to achieve this goal by promoting the integration of distance education (DE) technologies in the academic instruction of life and agricultural sciences in the university and high schools in the UPR system.

In 2012 the goals were reached, namely, training faculty and science high school teachers in DE technologies; a designated classroom of Agricultural Technology building to DE activities; initiated interaction of high school science teachers an university faculty; developed a pilot seminar course that incorporates DE technologies and agricultural science topics of global interest; and, evaluated the effect of incorporating DE technologies in agricultural science classes in student performance and motivation. Furthermore, 10 faculty members were trained in Moodle and other DE modalities; and, 11 courses were updated and modified. Fiscal Year 2013: It is anticipated that the same project in 2013 for the same amount of funding will continue the 2012 vision in student enrollment as well as training faculty from the island territories in Distance Education, take courses in the hybrid option and two courses in Agricultural Economics in a distance modality. The DEG and RIIA programs have increased student enrollment by 23% in 2011-2012 and 37% in 2012-2013.

Pertinent data to be provided by Program at a future date. Fiscal Year 2014: Pertinent data to be provided by Program at a future date.

CRITERIA FOR SELECTING PROPOSALS:

Within guidelines established for the program as described in the RFAs.

10.324 AFGHANISTAN AGRICULTURAL EXTENSION PROJECT (AAEP)

(AAEP)

FEDERAL AGENCY:

National Institute of Food and Agriculture, Department of Agriculture

AUTHORIZATION:

Consolidated Appropriations Act, 2010 (P.L. 111-117), Public Law 111-117; Economy Act (31 U.S.C.1535) , 31 U.S.C 1535; Section 632(a) of the Foreign Assistance Act of 1961 (22 U.S.C. 2151), 22 U.S.C 2151.

OBJECTIVES:

To provide professional development training for Afghanistans agricultural extension staff, designed to facilitate the delivery of a more effective, demand-driven extension service to producers and other rural clientele in selected areas of the country.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

The purpose of the Afghanistan Agricultural Extension Project (AAEP) is to assist Afghanistan to:

- deliver a more effective, demand-driven extension service to producers and other rural clientele in selected areas of the country;
- focus on professional development training for extension staff in order to increase extensions ability to meet farmers needs and to ultimately build public confidence;
- develop a cadre of extension staff with the technical expertise and appropriate methodologies to effectively extend information and knowledge;
- increase public accessibility to, and use of, government extension services;
- develop extension training modules and educational materials based on high-priority needs;
- prepare future extension personnel;
- encourage a pluralistic approach to extension that responds to farmers needs for research-based technologies that is built upon the innovations of Afghanistan farmers; and
- promote coordination among the various entities providing extension-related services. Grant funds may not be used for the renovation or refurbishment of research, education, or extension space; the purchase or installation of fixed equipment in such space; or the planning, repair, rehabilitation, acquisition, or construction of buildings or facilities.

Grant funds may not be used to support the salaries of U.S. government employees.

The purchase of equipment is limited to that which is required for training, teaching, extension demonstration, and other relevant project activities.

Indirect Costs:

Consortiums submitting a project proposal shall limit indirect costs to ten percent (10%) of the total federal funds requested. Fully discretionary.

Applicant Eligibility:

Only land-grant institutions are eligible to receive AAEP awards. Eligible land-grant institutions include all 1862, 1890, and 1994 land-grant institutions. All consortia members must be land-grant institutions.

Beneficiary Eligibility:

Only land-grant institutions are eligible to receive AAEP awards. Eligible land-grant institutions include all 1862, 1890, and 1994 land-grant institutions. All consortia members must be land-grant institutions.

Credentials/Documentation:

No Credentials or documentation are required. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applications should be submitted as outlined in the RFA. Applications must follow the instructions provided per Grants.gov and in the Agency guide to submitting applications via Grants.gov.

Award Procedure:

Applications are subjected to a system of peer and merit review in accordance with section 103 of the Agricultural Research, Extension and Education Reform Act of 1998 (7 U.S.C. 1613) by a panel of qualified scientists and other appropriate persons who are specialists in the field covered by the proposal. Within the limit of funds available for such purpose, the NIFA Authorized Departmental Officer (ADO) shall make grants to those responsible, eligible applicants whose applications are judged most meritorious under the procedures set forth in the RFA.

Reviewers will be selected based upon training and experience in relevant scientific, extension, or education fields, taking into account the following factors:

- (a) The level of relevant formal scientific, technical education, or extension experience of the individual, as well as the extent to which an individual is engaged in relevant research, education, or extension activities;
- (b) the need to include as reviewers experts from various areas of specialization within relevant scientific, education, or extension fields;
- (c) the need to include as reviewers other experts (e.g., producers, range or forest managers/operators, and consumers) who can assess relevance of the applications to targeted audiences and to program needs;
- (d) the need to include as reviewers experts from a variety of organizational types (e.g., colleges, universities, industry, state and Federal agencies, private profit and non-profit organizations) and geographic locations;
- (e) the need to maintain a balanced composition of reviewers with regard to minority and female representation and an equitable age distribution; and
- (f) the need to include reviewers who can judge the effective usefulness to producers and the general public of each application.

Evaluation Criteria will be delineated in the Request for Applications (RFA).

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Deadlines: Dates for specific deadlines are announced in the RFA.

Information is also available via our website and may be obtained via the Grants.gov website. Respective links are provided below:

<http://www.nifa.usda.gov/>

<http://www.grants.gov>

Range of Approval/Disapproval Time:

From 30 to 180 days.

Appeals:

Not Applicable.

Renewals:

Specific details are provided in the Request for Applications (RFA).

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. NIFA does not require matching support for this program and matching resources will not be factored into the review process as evaluation criteria. However, the lead and consortium institutions are encouraged to contribute resources to the accomplishment of project objectives. Institutions are further encouraged to cost share the difference between their negotiated indirect cost rate agreement and the limited ten percent (10%) recoverable rate for this project. Funds are awarded competitively. No formula grants are awarded under this authority. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The term of competitive cooperative agreements under this program may not exceed three years. Method of awarding/releasing assistance: by letter of credit.

Reports:

Program Reports:

Grantees are to submit initial project information, quarterly, and annual reports to NIFA's electronic, Web-based inventory system that facilitates both grantee submissions of project outcomes and public access to information on

Federally-funded projects.

The details of these reporting requirements are included in the award terms and conditions. No cash reports are required. Progress Reports: Grantees are required to submit initial project information, quarterly, and annual summary reports to NIFAs electronic, Web-based inventory system that facilitates both grantee submissions of project outcomes and public access to information on Federally-funded projects.

The details of these reporting requirements are included in the award terms and conditions.

A Quarterly Performance Report must be submitted within 30 days after the end of each calendar quarter during the period of performance of this agreement. In general, the program report should include a summary of the overall progress toward project objectives, current problems, or unusual developments. In addition, projections for the upcoming quarters program activities, along with any other pertinent information may be included. The Federal Financial Report (SF-425) and a detailed line-item expenditures report should support the reported program activity.

An Annual Performance Report must be submitted within 90 days after the completion of the first year of the project, and annually thereafter during the life of the grant. Generally, the Annual Performance Reports should include a summary of the overall progress toward project objectives, current problems or unusual developments, the next year's activities, and any other information that is pertinent to the ongoing project or which may be specified in the terms and conditions of the award.

Given the high visibility of U.S. assistance projects in Afghanistan, there is a critical need to provide progress reports on a regular and frequent basis. Such reports should include activities and outcomes as they relate to achieving the overall objective of building capacity to deliver effective extension services. In addition to success stories, reports should include problems encountered and/or external factors that constrain progress towards planned objectives.

Expenditure Reports:

Grantees are to submit initial project information, quarterly, and annual reports to NIFA's electronic, Web-based inventory system that facilitates both grantee submissions of project outcomes and public access to information on Federally-funded projects. The details of these reporting requirements are included in the award terms and conditions.

A Quarterly Financial Report must be submitted within 30 days after the end of each calendar quarter during the period of performance of this agreement. The Federal Financial Report (SF-425) and a detailed line-item expenditures report should support the reported program activity.

A final Federal Financial Report (SF-425) is due within 90 days of the expiration date of the grant and should be submitted to the address listed below, in accordance with instructions contained in 2 CFR 3430.55 (also refer to Section 3015.82 of the Uniform Federal Assistance Regulations).

Awards Management Division (AMD)
Office of Grants and Financial Management (OGFM)
National Institute of Food and Agriculture (NIFA)
STOP 2271
1400 Independence Avenue, SW
Washington, DC 20250-2271
Telephone: (202) 401-4986

The details of these reporting requirements are included in the award terms and conditions. Performance Monitoring:

Grantees are required to submit initial project information, quarterly, and annual summary reports to NIFAs electronic, Web-based inventory system that facilitates both grantee submissions of project outcomes and public access to information on Federally-funded projects.

The details of these reporting requirements are included in the award terms and

conditions.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audits will be conducted in accordance with guidelines established in the revised OMB Circular No. A-133, Audits of States, Local Governments, and Nonprofit Organizations, and implemented in 7 CFR 3052. This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

In accordance with the Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and other Non-profit Organizations [2 CFR 215, Subpart C, Section 215.53, (OMB Circular A-110)] grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be retained at least three (3) years. Records must be retained beyond the three-year period if litigation is pending or audit findings have not been resolved.

Account Identification:

12-0502-0-1-352.

Obligations:

(Cooperative Agreements) FY 12 \$0; FY 13 est \$0; and FY 14 est \$0 - Represents Amount per AD672 Reimbursement or Advance of Funds Agreement; (\$15,576,817) less 10% IPSC Indirect Program Support Cost (\$1,557,682) equals net amount available for awards (\$14,019,135).

NOTES:

Funding for this program is not anticipated beyond Fiscal Year 2011.

There are no funds anticipated for FY2012 for the Afghanistan Agricultural Extension Project.

This was a one-time only funding project from USAID.

There has been no recent funding for this Program. However, the award(s) have not yet reached the Statutory Time Limit. Hence, NIFA does not wish to archive this CFDA Program.

Range and Average of Financial Assistance:

If minimum or maximum amounts of funding per competitive project grant or cooperative agreement are established, these will be announced in the program announcement or Request for Application (RFA).

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: U.S. university faculty were recruited, hired, and placed in 4 provinces in Afghanistan. They established offices and began training provincial and district-level extension staff. Train-the-trainer workshops on a wide range of technical issues were implemented in all four provinces. These included post-harvest storage of grains, conservation agriculture, mitigating & treating plant pests/diseases, efficient irrigation techniques, and improved horticultural practices. A special emphasis was placed on developing extension programs for Afghan women that focused on home gardening, small-scale poultry operations, nutrition, food safety, and food preservation. Much time and effort was also placed on ensuring that the Afghanistan Ministry of Agriculture was an active partner in all plans and implemented activities. Fiscal Year 2013: In FY 2013, AAEP developed Model Training Farms in all four provinces where it is working (Kabul, Herat, Balkh, and Nangarhar). These have been used for hands-on trainings, field days, and a means to demonstrate new technologies. Farmer Field Schools have been expanded in each province. The Women In Agriculture component has 18 of these in the Kabul area. Work groups have been established around cereals, fruits, vegetables, livestock, postharvest, and other topics to share expertise within and between provinces. A storage bag technology has been introduced and more than 10,000 bags have

been produced and distributed in-country to more effectively store grains, seeds and other products. Other trainings carried out have focused on such topics as soil sampling, conservation agriculture, transplanting of vegetable seedlings, Integrated Pest Management, greenhouse production, pruning fruit trees, wheat cultivation, composting, and others. In addition to such technical areas, considerable effort has been made to build agents effectiveness with trainings in leadership, communication, grant writing, etc. In the remainder of FY 2013, emphasis will be on continuing to develop the work groups and the Model Teaching Farms, extending training to the Farmer Field Schools, and expanding the project into Kunduz, Paktia and Kandahar provinces. Fiscal Year 2014: Training workshops related to priority needs will continue in all four provinces where AAEP is working, as well as the three additional provinces mentioned above. In addition, development of inter-provincial working groups will be expanded so that Afghanistan can build a network of expertise within their own country. Depending upon the Ministry of Agriculture priorities and resources available, capacity building for extension will be carried out in other provinces. During this final year of the project, collaboration with the AGREED program (see below) will increase.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR Part 215 Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-Profit Organizations; 2 CFR Part 220 Cost Principles for Educational Institutions; 7 CFR Part 3430, Competitive and Noncompetitive Non-formula Federal Assistance Programs General Award Administrative Provisions and Program-Specific Administrative Provisions; 7 CFR Part 3015, USDA Uniform Federal Assistance Regulations; 7 CFR Part 3017, Government wide Debarment and Suspension (Nonprocurement) ; 7 CFR Part 3018, New Restrictions on Lobbying; 7 CFR Part 3019, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-profit Organizations; 7 CFR Part 3021 USDA implementation of Governmentwide Requirements for Drug-free Workplace (Financial Assistance) and 7 CFR 3052 - Audits of States, Local Governments, and Non-Profit Organizations.

Regional or Local Office:

None.

Headquarters Office:

USDA, NIFA, National Program Leader, Center for International Programs, 1400 Independence Avenue, SW., STOP 2203, Washington, District of Columbia 20250-2203 Phone: (202) 720-3801 Fax: (202) 690-2355

Website Address:

<http://www.nifa.usda.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Within guidelines established for the program as described in the Request for Application (RFA).

10.325 PEOPLES GARDEN GRANT PROGRAM

(PGGP)

FEDERAL AGENCY:

National Institute of Food and Agriculture, Department of Agriculture

AUTHORIZATION:

National Agricultural Research, Extension, and Teaching Policy Act, Public Law 95-113, 7 U.S.C 3318 (b); National Agricultural Research, Extension, and Teaching Policy Act, Public Law 95-113, 7 U.S.C 3318 (c).

OBJECTIVES:

To facilitate the creation of produce, recreation, and/or wildlife gardens in urban and rural areas, which will provide opportunities for science-based non-formal education.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

The purpose of the Peoples Garden Grant Program (PGGP) is to:

Create Peoples Gardens that will improve food access and serve as science-based educational sites for the community.

Establish new gardens, revitalize and repurpose moribund local community gardens.

Develop locally sponsored, self-sufficient gardens nationwide.

Meet the initial program implementation needs. NIFA has determined that PGGP award funds may not be used for the renovation or refurbishment of research, education, or extension space; the purchase or installation of fixed equipment in such space; or the planning, repair, rehabilitation, acquisition, or construction of buildings or facilities. PGGP award funds may not be used to support the salaries of U.S. government employees. The purchase of equipment is limited to that which is required for training, teaching, extension demonstration, and other relevant project activities.

Indirect Costs:

The lead and consortium institutions are expected to contribute resources to the accomplishment of project objectives and are encouraged to cost share in order to maximize programmatic impact by limiting indirect cost to ten percent (10%) of total federal funds awarded. Fully discretionary.

Applicant Eligibility:

Eligible applicants are State agricultural experiment stations, State cooperative extension services, all colleges and universities, other research or education institutions and organizations, Federal and private agencies and organizations, non-profit organizations, individuals, and any other contractor or recipient.

Beneficiary Eligibility:

Eligible applicants are State agricultural experiment stations, State cooperative extension services, all colleges and universities, other research or education institutions and organizations, Federal and private agencies and organizations, non-profit organizations, individuals, and any other contractor or recipient.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Applications should be submitted as outlined in the RFA. Applications must follow the instructions provided per Grants.gov and in the Agency guide to submitting applications via Grants.gov.

Award Procedure:

Each application will be evaluated in a 2-part process. First, each application will be screened to ensure that it meets the administrative requirements as set forth in this RFA. Second, applications that meet these requirements will be technically evaluated by a review panel. Reviewers will be selected based upon training and experience in relevant scientific, extension, or education fields, taking into account the following factors: (a) The level of relevant formal scientific, technical education, or extension experience of the individual, as well as the extent to which an individual is engaged in relevant research, education, or extension activities; (b) the need to include as reviewers experts from various areas of specialization within relevant scientific, education, or extension fields; (c) the need to include as reviewers other experts (e.g., producers, range or forest managers/operators, and consumers) who can assess relevance of the applications to targeted audiences and to program needs; (d) the need to include as reviewers experts from a variety of organizational types (e.g., colleges, universities, industry, state and Federal agencies, private profit and non-profit organizations) and geographic locations; (e) the need to maintain a balanced composition of reviewers with regard to minority and female representation and an equitable age distribution; and (f) the need to include reviewers who can judge the effective usefulness to producers and the general public of each application.

Evaluation Criteria will be delineated in the RFA.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Dates for specific deadlines are announced in the RFA. Information is also available via our website and may be obtained via the Grants.gov website. Respective links are provided below:

<http://www.nifa.usda.gov/>
<http://www.grants.gov>

Range of Approval/Disapproval Time: From 30 to 180 days.

Appeals:

Not Applicable.

Renewals:

Specific details are provided in the Request for Applications (RFA).

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. NIFA does not require matching support for this program and matching resources will not be factored into the review process as evaluation criteria. However, the lead and consortium institutions are expected to contribute resources to the accomplishment of project objectives and are encouraged to cost share in order to maximize programmatic impact by limiting indirect cost to ten percent (10%) of total federal funds awarded. Funds are awarded competitively. No formula grants are awarded under this authority.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The term of competitive cooperative agreements under this program may not exceed two years. Method of awarding/releasing assistance: by letter of credit.

Reports:

Grantees are to submit initial project information, summary, and annual reports to NIFA's electronic, Web-based inventory system that facilitates both grantee submissions of project outcomes and public access to information on Federally-funded projects. The details of these reporting requirements are included in the award terms and conditions.

Grantees will also be expected to provide a mid-term and final narrative report, complete with photographs, suitable for posting on the National Extension Master Gardener Weblog at <http://blogs.extension.org/mastergardener>. No cash reports are required. Grantees are required to submit initial project information, summary, and annual summary reports to NIFAs electronic, Web-based inventory system that facilitates both grantee submissions of project outcomes and public access to information on Federally-funded projects.

The details of these reporting requirements are included in the award terms and conditions.

A Summary Performance Report shall be submitted when substantial progress towards goals and objectives have occurred during the period of performance of this agreement. In general, the program report should include a summary of the overall progress toward project objectives, current problems, or unusual developments. In addition, projections for the upcoming quarters program activities, along with any other pertinent information may be included. The Federal Financial Report (SF-425) and a detailed line-item expenditures report should support the reported program activity.

An Annual Performance Report must be submitted within 90 days after the completion of the first year of the project, and annually thereafter during the life of the grant. Generally, the Annual Performance Reports should include a summary of the overall progress toward project objectives, current problems or unusual developments, the next year's activities, and any other information that is pertinent to the ongoing project or which may be specified in the terms and conditions of the award.

Given the high visibility of the Peoples Garden Initiative and Grant Program, there is a critical need to provide progress reports on a regular and frequent basis. Such reports should include activities and outcomes as they relate to achieving the overall objectives of effective service delivery. In addition to success stories, reports should include problems encountered and/or external factors that constrain progress towards planned objectives. Grantees are to submit initial project information, summary, and annual reports to NIFA's electronic, Web-based inventory system that facilitates both grantee submissions of project outcomes and public access to information on Federally-funded projects. The details of these reporting requirements are included in the award terms and conditions.

For awards greater than one year, an Annual Financial Report must be submitted within 90 days of the initial start date of the period of performance of the award. The Federal Financial Report (SF-425) and a detailed line-item expenditures report should support the reported program activity.

A final Federal Financial Report (SF-425) is due within 90 days of the expiration date of the grant and should be submitted to the Awards Management Branch, Office of Extramural Programs at the address listed below, in accordance with instructions contained in 2 CFR 3430.55 (also refer to Section 3015.82 of the Uniform Federal Assistance Regulations).

Awards Management Division (AMD)
Office of Grants and Financial Management (OGFM)
National Institute of Food and Agriculture (NIFA)
STOP 2271
1400 Independence Avenue, SW
Washington, DC 20250-2271
Telephone: (202) 401-4986

The details of these reporting requirements are included in the award terms and conditions. Grantees are required to submit initial project information, summary, and annual summary reports to NIFAs electronic, Web-based inventory system that facilitates both grantee submissions of project outcomes and public access to information on Federally-funded projects.

The details of these reporting requirements are included in the award terms and conditions.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audits will be conducted in accordance with guidelines established in the revised OMB Circular No. A-133, Audits of States, Local Governments, and Nonprofit Organizations, and implemented in 7 CFR 3052. This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

In accordance with the Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and other Non-profit Organizations [2 CFR 215, Subpart C, Section 215.53, (OMB Circular A-110)] grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be retained at least three (3) years. Records must be retained beyond the three-year period if litigation is pending or audit findings have not been resolved.

Account Identification:

12-0502-0-1-352.

Obligations:

(Cooperative Agreements) FY 12 \$0; FY 13 est \$0; and FY 14 est \$0 - NOTES: Program was only funded for one year (FY 2011).

There has been no recent funding for this Program. However, the award(s) have not yet reached the Statutory Time Limit. Hence, NIFA does not wish to archive this CFDA Program.

Range and Average of Financial Assistance:

If minimum or maximum amounts of funding per competitive project grant or cooperative agreement are established, these will be announced in the program announcement or Request for Application (RFA).

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Not applicable Program was only funded for one year (2011). Fiscal Year 2013: Not applicable Program was only funded for one year (2011). Fiscal Year 2014: Not applicable Program was only funded for one year (2011).

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR Part 215 Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-Profit Organizations; 2 CFR Part 220 Cost Principles for Educational Institutions; 7 CFR Part 3430, Competitive and Noncompetitive Non-formula Federal Assistance Programs General Award Administrative Provisions and Program-Specific Administrative Provisions; 7 CFR Part 3015, USDA Uniform Federal Assistance Regulations; 7 CFR Part 3017, Government wide Debarment and Suspension (Nonprocurement) ; 7 CFR Part 3018, New Restrictions on Lobbying; 7 CFR Part 3019, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-profit Organizations; and 7 CFR Part 3021 USDA implementation of Governmentwide Requirements for Drug-free Workplace (Financial Assistance).

Regional or Local Office:

None.

Headquarters Office:

USDA, NIFA, National Program Leader, Institute of Food Production and Sustainability, Division of Plant Systems-Production, 1400 Independence Avenue, SW., STOP 2240, Washington, District of Columbia 20250-2240 Phone: (202) 401 4202 Fax: (202) 401 1782

Website Address:

<http://www.nifa.usda.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: One project competed work with four diverse population community gardens to increase knowledge in communication, team building, and leadership development to create effective garden committee management. A 100 question assessment tool was developed to analyze the needs of each garden along with meetings with garden participants and Educational materials were developed, shared, and customized to address the needs of the individual community garden membership.

Another project used micro-sub-grants to provide opportunities for change in knowledge, action, and conditions within 30 grantee communities. Public officials became more supportive of planting trees in their community, city officials donated land, installed underground irrigation, and helped maintain trees during a severe drought. Community gardeners acknowledged the benefits trees bring to their projects. Throughout this pilot year, over 2,700 volunteers donated over 10,000 hours to plant over 2,000 trees and woody shrubs in the 30 grantee communities. Planting these trees provided opportunities to apply the information learned in workshops and obtain practical knowledge and skills. The necessity for continued care and harvesting of fruit and nut trees creates opportunities for continued learning and action One important "lesson learned" from the pilot year is that inherited knowledge among the general population about tree care in general and specifically the harvesting and use of fruits and nuts has been disappearing over the past 2-3 generations. The grantee will develop an action plan to address this situation as part of the new national program. One final outcome of this grant is the successfully creation of a new national fruit and nut tree program.

Named "Community Groves," this program will shortly make its 2013 debut as

the first-ever national urban forestry program promoting the planting and care of fruit and nut trees. Fiscal Year 2013: Information is currently not available. The progress reports are not due until December, 2013. Fiscal Year 2014: None anticipated. Projects expected to conclude 9/1/2013.

CRITERIA FOR SELECTING PROPOSALS:

Within guidelines established for the program as described in the Request for Application (RFA).

10.326 CAPACITY BUILDING FOR NON-LAND GRANT COLLEGES OF AGRICULTURE (NLGCA)

FEDERAL AGENCY:

National Institute of Food and Agriculture, Department of Agriculture

AUTHORIZATION:

National Agricultural Research, Extension, and Teaching Policy Act (7 U.S.C. 3319i) as amended by section 7138 of the Food, Conservation, and Energy Act of 2008, Public Law 110-246, 7 U.S.C 3319i; Consolidated and Further Continuing Appropriations Act 2012, Public Law 112-55.

OBJECTIVES:

To assist the NLGCA Institutions in maintaining and expanding their capacity to conduct education, research, and outreach activities relating to agriculture, renewable resources, and other similar disciplines.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

To maintain and expand the capacity of the NLGCA Institution to: compete, successfully, for funds from Federal grants and other sources to carry out educational, research, and outreach activities that address priority concerns of national, regional, State, and local interest; disseminate information relating to priority concerns to interested members of the agriculture, renewable resources, and other relevant communities; the public; and any other interested entity; and encourage members of the agriculture, renewable resources, and other relevant communities to participate in priority education, research, and outreach activities by providing matching funding to leverage grant funds. This can be accomplished through the: purchase or other acquisition of equipment and other infrastructure; professional growth and development of the faculty of the NLGCA Institution; and development of graduate assistantships. Grant funds may not be used for the renovation or refurbishment of research, education, or extension space; or the planning, repair, rehabilitation, acquisition, or construction of buildings or facilities.

Grant funds may not be used to support the salaries of U.S. government employees. The purchase of equipment is limited to that which is required for training, teaching, extension demonstration, and other relevant project activities.

Pursuant to Section 720 of the General Provisions in Title VII of the Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Programs (HR 112-284), indirect costs are limited to 30 percent of the total Federal funds provided under each award. Therefore, when preparing budgets, applicants should limit their requests for recovery of indirect costs to the lesser of their institutions official negotiated indirect cost rate or the equivalent of 30 percent of total Federal funds awarded. Fully discretionary.

Applicant Eligibility:

Only a non-land-grant public college or university (NLGCA) offering a baccalaureate or higher degree in the study of agriculture or forestry. The terms "NLGCA Institution" and "non-land-grant college of agriculture" do not include - (i) Hispanic-serving agricultural colleges and universities; or (ii) any institution designated under - (I) the Act of July 2, 1862 (commonly known as the "First Morrill Act"; 7 U.S.C. 301 et seq.); (II) the Act of August 30, 1890 (commonly known as the "Second Morrill Act") (7 U.S.C. 321 et seq.); (III) the Equity in Educational Land-Grant Status Act of 1994 (Public Law 103-382; 7 U.S.C. 301 note); or (IV) Public Law 87-788 (commonly known as the "McIntire-Stennis Cooperative Forestry Act") (16 U.S.C. 582a et seq.).

Beneficiary Eligibility:

A non-land-grant public college or university offering a baccalaureate or higher

degree in the study of agriculture or forestry, faculty of NLGCA, students engaged in the study of agriculture or forestry, the public, interested members of the agriculture, renewable resources, and other relevant and interested communities.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. All RFAs are published on the Agencies website and Grants.gov. Applicants must complete the Grants.gov registration process. Please see the following Grants.gov link for more information: http://www.grants.gov/applicants/get_registered.jsp. This program is excluded from coverage under E.O. 12372. Applications should be submitted as outlined in the RFA. Applications must follow the instructions provided per Grants.Gov and in the Agency guide to submitting applications via Grants.gov.

Award Procedure:

Applications are subjected to a system of peer and merit review in accordance with section 103 of the Agricultural Research, Extension and Education Reform Act of 1998 (7 U.S.C. 1613) by a panel of qualified scientists and other appropriate persons who are specialists in the field covered by the proposal. Within the limit of funds available for such purpose, the NIFA Authorized Departmental Officer (ADO) shall make grants to those responsible, eligible applicants whose applications are judged most meritorious under the procedures set forth in the RFA. Reviewers will be selected based upon training and experience in relevant scientific, extension, or education fields, taking into account the following factors: (a) The level of relevant formal scientific, technical education, or extension experience of the individual, as well as the extent to which an individual is engaged in relevant research, education, or extension activities; (b) the need to include as reviewers experts from various areas of specialization within relevant scientific, education, or extension fields; (c) the need to include as reviewers other experts (e.g., producers, range or forest managers/operators, and consumers) who can assess relevance of the applications to targeted audiences and to program needs; (d) the need to include as reviewers experts from a variety of organizational types (e.g., colleges, universities, industry, state and Federal agencies, private profit and non-profit organizations) and geographic locations; (e) the need to maintain a balanced composition of reviewers with regard to minority and female representation and an equitable age distribution; and (f) the need to include reviewers who can judge the effective usefulness to producers and the general public of each application. Evaluation Criteria will be delineated in the RFA.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Dates for specific deadlines are announced in the RFA. Information is also available via our website and may be obtained via the Grants.gov website. Respective links are provided below:

<http://www.nifa.usda.gov/>

<http://www.grants.gov>

Range of Approval/Disapproval Time: From 30 to 180 days.

Appeals:

Not Applicable.

Renewals:

Specific details are provided in the Request for Applications (RFA).

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. NIFA does not require matching support for this program and matching resources will not be factored into the

review process as evaluation criteria. No formula grants are awarded under this authority.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The period of awards under this grant program may not exceed five (5) years.

Method of awarding/releasing assistance: by letter of credit.

Reports:

Grantees are to submit initial project information, and annual reports to NIFA's electronic, Web-based inventory system that facilitates both grantee submissions of project outcomes and public access to information on Federally-funded projects. The details of these reporting requirements are included in the award terms and conditions. No cash reports are required.

Grantees are required to submit initial project information, and annual summary reports to NIFAs electronic, Web-based inventory system that facilitates both grantee submissions of project outcomes and public access to information on Federally-funded projects. The details of these reporting requirements are included in the award terms and conditions. An Annual Performance Report must be submitted within 90 days after the completion of the first year of the project, and annually thereafter during the life of the grant. Generally, the Annual Performance Reports should include a summary of the overall progress toward project objectives, current problems or unusual developments, the next year's activities, and any other information that is pertinent to the ongoing project or which may be specified in the terms and conditions of the award.

Grantees are to submit initial project information, and annual reports to NIFA's electronic, Web-based inventory system that facilitates both grantee submissions of project outcomes and public access to information on Federally-funded projects. The details of these reporting requirements are included in the award terms and conditions. An annual Federal Financial Report (SF-425) must be submitted within 90 days after the completion of the first year of the project, and annually thereafter during the life of the grant to the Awards Management Branch, Office of Grants and Financial Management. A final Federal Financial Report (SF-425) is due within 90 days of the expiration date of the grant and should be submitted to the Awards Management Branch, Office of Grants and Financial Management at the address listed below, in accordance with instructions contained in 2 CFR 3430.55 (also refer to Section 3015.82 of the Uniform Federal Assistance Regulations).

Awards Management Division (AMD)

Office of Grants and Financial Management (OGFM)

National Institute of Food and Agriculture (NIFA)

U.S. Department of Agriculture

STOP 2271

1400 Independence Avenue, SW

Washington, DC 20250-2271

Telephone: (202) 401-4986

The details of these reporting requirements are included in the award terms and conditions. Grantees are required to submit initial project information, quarterly, and annual summary reports to NIFAs electronic, Web-based inventory system that facilitates both grantee submissions of project outcomes and public access to information on Federally-funded projects. The details of these reporting requirements are included in the award terms and conditions.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audits will be conducted in accordance with guidelines established in the revised OMB Circular No. A-133, Audits of States, Local Governments, and Nonprofit Organizations, and implemented in 7 CFR 3052. This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

In accordance with the Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and other Non-profit Organizations [2 CFR 215, Subpart C, Section 215.53, (OMB

Circular A-110) grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be retained at least three (3) years. Records must be retained beyond the three-year period if litigation is pending or audit findings have not been resolved.

Account Identification:

12-1500-0-1-352.

Obligations:

(Project Grants (Cooperative Agreements)) FY 12 \$4,304,284; FY 13 est \$3,992,264; and FY 14 est \$0 - NOTES:
This program represents no year funds.

FY 2013 estimated amounts include dollar reductions calculated pursuant to the budget sequestration order issued March 1, 2013.

Funding is not anticipated for FY 2014.

Range and Average of Financial Assistance:

If minimum or maximum amounts of funding per competitive project grant or cooperative agreement are established, these will be announced in the program announcement or Request for Application (RFA).

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: For the FY 2012 award cycle, a total of \$4,304,284 was available for project grant awards after subtracting administrative costs.

A total of 26 academic institutions submitted applications requesting \$9,157,418. In August 2012, a ten-member peer review panel evaluated these applications. The peer panel included faculty members from postsecondary, land grant and non-land grant colleges and universities, as well as practitioners from the food and agricultural sciences community.

Funds were available to support a total of 14 awards in the following Project Types: 1 Conference/Planning: \$ 27,725; 5 Regular: \$815,632; 5 Joint: \$1,383,081; and 3 Large-Scale Comprehensive Initiatives (LCI): \$2,077,846. Joint and LCI Project Proposals requiring partnerships and sharing of grant funds between two or more institutions.

The funding ratio for this program in FY12 was 54%.

Funded projects should address at least two of the following three, capacity-building program strategies: Education, Research and/or Outreach. Also in 2012, all projects had to address one of five Priority Areas: Global Food Security and Hunger, Climate Change, Sustainable Energy, Childhood Obesity, and Food Safety. Fiscal Year 2013: For the FY 2013 award cycle, a total of \$3,995,528 will be available for project grant awards. However, the panel has not yet met, and so the actual deductions for panel costs have not yet been calculated.

A total of 21 academic institutions submitted applications requesting \$9,157,418. In late July, 2013, a ten-member peer review panel will evaluate these applications. The peer panel includes faculty members from postsecondary, land grant and non-land grant colleges and universities, as well as practitioners from the food and agricultural sciences community.

No Award Data is Available Peer Review Panel has not met. Fiscal Year 2014: Funding is not anticipated for FY 2014. No Projected Data Available. Pertinent details to be provided by Program at a future date.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR Part 215 Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-Profit Organizations; 2 CFR Part 220 Cost Principles for Educational Institutions; 7 CFR Part 3430, Competitive and Noncompetitive Non-formula Federal Assistance Programs General Award Administrative Provisions and Program-Specific Administrative Provisions; 7 CFR Part 3015, USDA Uniform Federal Assistance Regulations; 7 CFR Part 3017, Government wide Debarment and Suspension (Nonprocurement) ; 7 CFR Part 3018, New Restrictions on Lobbying; 7 CFR Part 3019, Uniform Administrative

Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-profit Organizations; and 7 CFR Part 3021 USDA implementation of Governmentwide Requirements for Drug-free Workplace (Financial Assistance).

Regional or Local Office:

None.

Headquarters Office:

USDA, NIFA, National Program Leader, Institute of Youth, Family, and Community, 1400 Independence Avenue, SW, STOP 2250, Washington, District of Columbia 20250-2250 Phone: (202) 720-1973

Website Address:

<http://www.nifa.usda.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Strengthen institutional capacity to provide quality, dynamic, and innovative research and outreach in food and agricultural sciences. The project proposes to conduct research and outreach activities to establish *Camelina sativa* as a viable bioenergy crop in the region. Stakeholders include farmers, college faculty, undergraduate students, bioenergy practitioners, policy makers, extension agents, and small business owners in local areas in particular and the emerging regional bioenergy industry in general. Products from this project include a *Camelina* Grower Guide, with agronomic information and a crop budget, along with Fact Sheets designed to provide basic and specific information for each of the anticipated end uses. Scientific publications will describe a high-yielding, transgenic *camelina* line being developed. A farmer network and an oilseed cooperative will be established to sustain *camelina* production beyond the life of this project.

Build a collaborative program to strengthen a grape breeding program and expand the plant science curriculum within the region. This initiative promises to provide an enlarged pool of educated graduates needed to address the shortage of skilled agricultural educators, researchers and workers in the U.S. Products envisioned include a new hybrid grapes for breeding new grape varieties and for genetic analysis of agriculturally important traits. New genetic markers will be shared in the grape breeding community for marker-assisted selection. Genetic resources including the grape populations, genetic markers and grape varieties will be incorporated into Plant Breeding and Plant Biotechnology courses. The expanded curriculum will include genetics and breeding principles using both annual monocot grain crop (corn) and perennial woody fruit crop (grape) as models, as well as hands-on and field experience in making crosses, finding molecular markers and learning from field trips.

Create a virtual network of integrated teams to build capacity for the detection and elimination of *Salmonella* in the food supply. The project proposes to develop laboratory capacity, and to create and deliver training in detection equipment used to detect and reduce incidences of *Salmonella*. Graduate seminar courses will be developed and shared across collaborators and faculty will participate in international field experiences. The development of quality human capital experience in both field work and human resource management will lead to measurable decreases in *Salmonella* and ultimately improved human health.

Development of a multidisciplinary baccalaureate program in Food Systems and Technology with a focus on experiential learning. The new degree program will also serve as a template for outreach programming designed for the regions agricultural producers with the objective of enhancing profitability through improved marketing and land use strategies.

Strengthen institutional capacity to implement a multidisciplinary program with the purpose of addressing global food insecurity and associated natural resource issues by supporting results-oriented, applied research, broadening the student experience both locally and internationally, and providing public outreach regarding program results and food security issues.

Improve energy efficiency and expand sources of renewable energy considered

as key elements in developing sustainable energy policies and resources. Funds will create a multi-faceted model involving a secondary and post secondary education pipeline that enables workforce growth in the sustainable energy sector while expanding the capacity to prepare state-of-the-art technical knowledge and experience for those Agricultural Sciences students pursuing a degree. Fiscal Year 2013: No Projected Data Available Peer Review Panel has not met. Pertinent data to be provided by Program at a future date. Fiscal Year 2014: Funding is not anticipated for FY 2014. No Projected Data Available. Pertinent data to be provided by Program at a future date.

CRITERIA FOR SELECTING PROPOSALS:

Within guidelines established for the program as described in the Request for Application (RFA).

10.327 COMMON BEAN PRODUCTIVITY RESEARCH FOR GLOBAL FOOD SECURITY COMPETITIVE PROGRAM

Bean Productivity Research

FEDERAL AGENCY:

National Institute of Food and Agriculture, Department of Agriculture

AUTHORIZATION:

Economy Act, 31 U.S.C 1535; Consolidated Appropriations Act 2010, Public Law 111-117; Section 632(a) of the Foreign Assistance Act of 1961, 22 U.S.C 2151.

OBJECTIVES:

To develop approaches and/or methods that can address challenges to common bean production among small holder producers in food insecure countries.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

In collaboration with and using funding provided by the U.S. Agency for International Development, NIFA is seeking research proposals to develop approaches and/or methods to address challenges to common bean production among smallholder producers. Applications are being solicited for the BPR under the following areas:

- (1) Reducing Production Constraints from Soil Borne Pathogens: Soil borne pathogen pressure on common bean is a significant constraint to production. Such pathogens and associated root rots already reduce production in areas with high rainfall. As rainfall increases, as is expected around the equatorial zone in Africa, in particular East Africa, pathogen-related production constraints may become even more limiting.
- (2) Improving Transformation Technologies in Common Bean: Although success in transforming common bean has been limited to date, it is a promising strategy to address key production constraints. Developing and/or improving the methodologies and approaches necessary to efficiently transform this recalcitrant species is necessary to removing roadblocks to transformation. Grant funds may not be used for the renovation or refurbishment of research, education, or extension space; or the planning, repair, rehabilitation, acquisition, or construction of buildings or facilities.

The applicant should use the current negotiated indirect cost rate established (i.e., approved) by its cognizant Federal agency. If the applicant does not have a current negotiated rate, the applicant should request a rate from the Federal agency from which the applicant receives the most funding. Fully discretionary.

Applicant Eligibility:

Eligible U.S. public or private research or educational institutions or organizations.

Beneficiary Eligibility:

U.S. organizations or organizations in Feed the Future countries.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

Applications are subjected to a system of peer and merit review in accordance with section 103 of the Agricultural Research, Extension and Education Reform Act of 1998 (7 U.S.C. 1613) by a panel of qualified scientists and other appropriate persons who are specialists in the field covered by the proposal. Within the limit of funds available for such purpose, the NIFA Authorized Departmental Officer (ADO) shall make grants to those responsible, eligible applicants whose applications are judged most meritorious under the procedures set forth in the RFA. Reviewers will be selected based upon training and experience in relevant scientific, extension, or education fields, taking into account the following factors:

- (a) The level of relevant formal scientific, technical education, or extension experience of the individual, as well as the extent to which an individual is engaged in relevant research, education, or extension activities;
 - (b) the need to include as reviewers experts from various areas of specialization within relevant scientific, education, or extension fields;
 - (c) the need to include as reviewers other experts (e.g., producers, range or forest managers/operators, and consumers) who can assess relevance of the applications to targeted audiences and to program needs;
 - (d) the need to include as reviewers experts from a variety of organizational types (e.g., colleges, universities, industry, state and Federal agencies, private profit and non-profit organizations) and geographic locations;
 - (e) the need to maintain a balanced composition of reviewers with regard to minority and female representation and an equitable age distribution; and
 - (f) the need to include reviewers who can judge the effective usefulness to producers and the general public of each application.
- Evaluation Criteria will be delineated in the RFA.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

> 180 Days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The term under this grant program may not exceed five years. Method of awarding/releasing assistance: by letter of credit.

Reports:

Grantees are to submit initial project information, quarterly, and annual reports to NIFA's electronic, Web-based inventory system that facilitates both grantee submissions of project outcomes and public access to information on Federally-funded projects. The details of these reporting requirements are included in the award terms and conditions. No cash reports are required. Grantees are to submit initial project information, quarterly, and annual reports to NIFA's electronic, Web-based inventory system that facilitates both grantee submissions of project outcomes and public access to information on Federally-funded projects. The details of these reporting requirements are included in the award terms and conditions. Grantees are to submit initial project information and annual reports to NIFA's electronic, Web-based inventory system that facilitates both grantee submissions of project outcomes and public access to information on Federally-funded projects. The details of these reporting requirements are included in the award terms and conditions. An annual Federal Financial Report (SF-425) must be submitted within 90 days after the completion of the first year of the project, and annually thereafter during the life of the grant to the Awards Management Division, Office of Grants and Financial Management. A final Federal Financial Report (SF-425)

is due within 90 days of the expiration date of the grant and should be submitted to the Awards Management Division, Office of Grants and Financial Management at the address listed below, in accordance with instructions contained in 2 CFR 3430.55 (also refer to Section 3015.82 of the Uniform Federal Assistance Regulations).

Awards Management Division (AMD)
Office of Grants and Financial Management (OGFM)
National Institute of Food and Agriculture (NIFA)
U.S. Department of Agriculture
STOP 2271
1400 Independence Avenue, SW
Washington, DC 20250-2271
Telephone: (202) 401-4986

The details of these reporting requirements are included in the award terms and conditions. Grantees are required to submit initial project information, quarterly, and annual summary reports to NIFAs electronic, Web-based inventory system that facilitates both grantee submissions of project outcomes and public access to information on Federally-funded projects. The details of these reporting requirements are included in the award terms and conditions.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audits will be conducted in accordance with guidelines established in the revised OMB Circular No. A-133, Audits of States, Local Governments, and Nonprofit Organizations, and implemented in 7 CFR 3052. This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

No Data Available.

Account Identification:

12-1500-0-1-352.

Obligations:

(Project Grants) FY 12 \$4,500,000; FY 13 est \$0; and FY 14 est \$0 - The difference between the appropriation and obligation numbers reflects legislatively authorized set-asides deducted as appropriate, and in some cases the availability of obligational authority from prior years.

NOTE:

This program represents a Reimbursable Agreement with one (1) year funding.

Range and Average of Financial Assistance:

If minimum or maximum amounts of funding per competitive project grant are established, these will be announced in the program announcement or Request for Application (RFA).

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: For the FY 2012 award cycle, \$ 4,500,000 was available for project grant awards via a one-time Interagency Agreement with USAID. Administrative costs were borne by NIFA which took a \$500,000.00 overhead from the agreement.

A total of 20 applications requesting a total of \$10,168,655.00 were received in this one-time competition. In June 2012, a 6-member peer review panel evaluated these applications in a virtual format. The peer panel included faculty and administrators from land grant and non-land grant colleges and universities and practitioners from the food and agricultural sciences community.

Funds were available to support two types of awards called for: common bean transformation and disease mitigation in Africa.

Common Bean Transformation = \$500,000.00 available, grants of up to \$250,000 called for; 14 proposals and 2.5 awards; 18% success rate; Unfunded proposal requests = \$2,683,022.

Common Bean Disease Mitigation in Africa = 6 proposals; 3 awards; 50% success; Available = \$4,000,000; Unfunded proposal requests = \$6,485,633.

Fiscal Year 2013: NOTE:

This program represents a Reimbursable Agreement with one (1) year funding.

No Projected Funds. Pertinent details to be provided by Program at a future date. Fiscal Year 2014: NOTE:

This program represents a Reimbursable Agreement with one (1) year funding.

No Projected Funds. Pertinent details to be provided by Program at a future date.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR Part 215 Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, Other Non-Profit Organizations, State/Local/Indian Tribes; 2 CFR Part 220 Cost Principles for Educational Institutions; 2 CFR Part 230 - Cost Principles for Non-Profit Organizations, 2 CFR Part 225 Cost Principles for State/Local/Indian Tribes, 7 CFR Part 3430, Competitive and Noncompetitive Non-formula Federal Assistance Programs General Award Administrative Provisions and Program-Specific Administrative Provisions; 7 CFR Part 3015, USDA Uniform Federal Assistance Regulations; 7 CFR Part 3017, Government wide Debarment and Suspension (Nonprocurement) ; 7 CFR Part 3018, New Restrictions on Lobbying; 7 CFR Part 3019, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-profit Organizations; and 7 CFR Part 3021 USDA implementation of Governmentwide Requirements for Drug-free Workplace (Financial Assistance).

Regional or Local Office:

None.

Headquarters Office:

USDA, NIFA, National Program Leader, Institute of Food Production and Sustainability, Division of Animal Systems, 1400 Independence Avenue, SW., STOP 2240, Washington, District of Columbia 20250-2240 Phone: (202) 401-6134 Fax: (202) 401-1602

Website Address:

<http://www.nifa.usda.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: The following represents examples of funded projects:

1. Development of transgenic beans for broad-spectrum resistance against fungal diseases. (\$250K)
2. Developing and Delivering Common Bean (*Phaseolus vulgaris*) Germplasm with Resistance to the Major Soil Borne Pathogens in East Africa. (\$1.9M)
3. Improving bean yields by reversing soil degradation and reducing soil borne pathogens on small-holder farms in Western Kenya. (\$1M)
4. Routine and reproducible transformation system for common bean (*Phaseolus vulgaris*). (\$250K)
5. Genetic approaches to reducing fungal and Oomycete soilborne problems of common bean in Eastern and Southern Africa. (\$1.1M)

Total Awards = \$4.5M. Fiscal Year 2013: No additional projects will be awarded and reports from current grants are not due until October-November 2013. Pertinent data to be provided by Program at a future date. Fiscal Year 2014: Project reports are not due until October-November 2014. Pertinent data to be provided by Program at a future date.

CRITERIA FOR SELECTING PROPOSALS:

Within guidelines established for the program as described in the Request for Application (RFA).

10.350 TECHNICAL ASSISTANCE TO COOPERATIVES

TA

FEDERAL AGENCY:

Rural Business-Cooperative Service, Department of Agriculture

AUTHORIZATION:

none.

OBJECTIVES:

To develop and administer research, technical assistance, statistical, and educational programs on finances, organization, management, legal, social, and economic aspects of rural cooperatives, focusing on farmer cooperatives.

TYPES OF ASSISTANCE:

ADVISORY SERVICES AND COUNSELING; DISSEMINATION OF TECHNICAL INFORMATION; PROVISION OF SPECIALIZED SERVICES; TRAINING

USES AND USE RESTRICTIONS:

Services are limited to rural residents, including groups interested in forming cooperatives and established rural cooperatives. Publications are available to anyone.

Applicant Eligibility:

Farmer and rural cooperatives and groups of rural residents interested in forming cooperatives, Native Americans, and rural residents in all U.S. Territories.

Beneficiary Eligibility:

Beneficiary Eligibility: Farmer/Rancher/Agriculture Producer; Rural residents.

Credentials/Documentation:

Requests for technical assistance by an established cooperative should come from the cooperative board of directors. Requests for assistance in forming a cooperative should be made in writing from a steering committee on behalf of the interested rural group. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. A formal letter requesting assistance and including a description of the problem or nature of request is required. Send letters to either the National Office--Letter to the Deputy Administrator for Cooperative Services, Rural Business-Cooperative Service (RBS) or State Rural Development Office--Letter to the State Director, Rural Development. Requests made to the State Office may be forwarded to the National Office. Appropriate addresses may be found at the Web site shown later in this program description.

Award Procedure:

RBS considers all requests.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Requests are usually acted upon within 10 days following receipt at the National or State Offices.

Appeals:

Requests denied by the State Office may be appealed to the National Office.

Renewals:

If clients need additional assistance following formal closure of Federal assistance, a new request must be submitted.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Varies depending on the nature of the request or complexity of problem. See the following for information on how assistance is awarded/released: There are no funds provided through this program. National Office staff may provide technical assistance to existing cooperatives or groups seeking to form a co-op. A formal request from the producers must be submitted to CP requesting assistance and we evaluate each request on a case-by-case basis.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

None.

Account Identification:

12-1900-0-1-452.

Obligations:

(Advisory Services on Compliance) FY 12 \$0; FY 13 est \$0; and FY 14 est \$0

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None. Rural Development, State Office. Telephone numbers should be listed under Government in local telephone books. Visit Web site listed below.

Headquarters Office:

John Wells 1400 Independence Ave, Washington, District of Columbia 20250
Email: John.Wells@wdc.usda.gov Phone: 202-720-3350

Website Address:

<http://www.rurdev.usda.gov/rbs/coops/csdir.htm>.

RELATED PROGRAMS:

10.352 Value-Added Producer Grants; 10.771 Rural Cooperative Development Grants

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Requests for assistance must come from or on behalf of residents in rural areas of the United States or its territories.

10.352 VALUE-ADDED PRODUCER GRANTS

(VAPG)

FEDERAL AGENCY:

Rural Business-Cooperative Service, Department of Agriculture

AUTHORIZATION:

Agriculture Risk Protection Act of 2000 (Pub. L. 106-224) as amended by section 6202 of the Food, Conservation, and Energy Act of 2008 (Pub. L. 110-246) (see 7 U.S.C. 1632a note), Title VII, Title VII.

OBJECTIVES:

To assist eligible independent agricultural commodity producers, agriculture producer groups, farmer and rancher cooperatives, and majority-controlled producer-based businesses enter into value-added activities related to the processing and/or marketing of bio-based value-added agricultural products, including development of feasibility, business or marketing plans. Generating new products, creating and expanding marketing opportunities and increasing producer income are the end goals.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

The VAPG program offers two types of grants to support activities directly

related to the processing and/or marketing of value-added agricultural products. Planning grants provide funding for activities necessary to determine the economic viability of a potential value-added venture, including development of feasibility studies and business plans. Working capital grants provide funds for the day-to-day operating expenses of the venture associated with processing and/or marketing a value-added product. An application may be for either Planning or Working Capital activities, but not both.

Applicant Eligibility:

Eligible applicants are independent producers, agriculture producer groups, farmer- and rancher-cooperatives, and majority-controlled producer-based businesses, as defined at 7 CFR 4284.902.

Beneficiary Eligibility:

Agricultural producers.

Credentials/Documentation:

Applicants must demonstrate legal capacity and provide evidence of good standing in their State of operations. Applicants for Working Capital funds must provide an independent feasibility study and business plan completed specifically for the proposed value-added project, except as otherwise noted in 7 CFR 4284.922(b)(6)(i and ii). Applicants have the option to demonstrate eligibility for Reserved Funds and/or Priority Points, as applicable. Applicants must provide a detailed budget supporting the work plan that identifies all sources and uses of funds during the project period that are without a conflict of interest. Applicants must provide authentic documentation from the source of matching funds to confirm the eligibility and availability of both cash and in-kind contributions. Applicants must certify that matching funds will be spent in advance of grant funds. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Applicants must obtain and provide their Dun and Bradstreet Data Universal Numbering System (DUNS) number, and they must be registered in the Federal System for Award Management (SAM) database, prior to submitting an application. Applicants must maintain an active status with current information during the time they have an application under consideration by the Agency or an active Federal Award. Proposed projects are subject to Intergovernmental Review. All recipients of program funds are subject to environmental requirements in 7 CFR 1940, subpart G and any successor regulation. However, due to the specified nature of activities and limited use of funds in this program, both planning and working capital grant projects are generally excluded from the environmental review process by 7 CFR 1940.333, and .309(c) and .317(g)(2), respectively. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. USDA will solicit applications on a competitive basis by publication of a notice in the Federal Register and through the Grants.gov Federal Web site. Applications must be submitted to the State Office of Rural Development in the State where the project primarily takes place, or on-line through grants.gov. Applications must contain all the information specified in 7 CFR 4284.931, and include any additional or subsequent requirements specified in official Agency notifications for the program. Unless specified otherwise, applicants must provide one copy of the proposal and all required content specified in 7 CFR 4284.931, or as otherwise instructed in the annual announcement. An applicant must submit Forms SF-424, SF-424A, SF-424B, and RD 400-4; and other information as directed. Each proposal must contain the following elements and any additional elements published in the announcement: (1) Executive Summary; (2) Eligibility Discussion; (3) Evaluation Criteria; (4) Certification that matching funds will be spent in advance of grant funding; (5) Verification of the eligibility and availability of both cash and in-kind contributions as matching funds for the project; and (6) Business plan for working capital grants except as provided in 4284.922(b)(6) and 4284.932; and (7) Feasibility study completed by an independent third-party for working capital grants except as provided at 4284.922(b)(6) and 4284.932. Each proposal must demonstrate that the customer base for the agricultural commodity or value-added product is expanded, and a greater

portion of the revenue derived from the marketing or processing of the value-added product is available to the applicant producer of the agricultural commodity.

Award Procedure:

The Agency conducts an initial screening of all proposals to determine eligibility and completeness to allow for an informed review. Applications will be evaluated by experts selected by the Agency. An applicant qualifying in one of the following categories, as defined at 4284.902, may qualify for priority points; (1) Beginning Farmer or Rancher; (2) Socially-Disadvantaged Farmer or Rancher; (3) Operator of a Small or Medium Farm Structured as a Family Farm; and (4) a Farmer or Rancher Cooperative. After evaluation and scoring according to established criteria, the Administrator of Rural Business Cooperative Service reserves the right to award limited additional points to ensure geographic diversity of awards, unless specified otherwise in an official program notification. Applications will then be funded in rank order until all available funds have been allocated. Final processing of the awards and monitoring of projects are the responsibility of the appropriate Rural Development State Office.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 100 to 150 days after the application submission deadline.

Appeals:

Applicants may appeal any adverse decisions to USDA's National Appeals Division.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: Statutory formulas are not applicable to this program. See 7 CFR 4284 sections 902, 923, 924 and 931(b) (3) and (4). Matching funds must at least equal the amount of grant funds requested, and combined grant and matching funds must equal 100 percent of the total eligible project costs. Matching funds must be from eligible sources without a conflict of interest, are subject to the same use restrictions as grant funds, and must be spent on eligible project expenses during the grant period. Matching funds must be provided in the form of confirmed applicant cash, loan or line of credit; or provided in the form of a confirmed applicant or family member in-kind contribution that meets the requirements and limitations specified in 7 CFR 4284.923; or provided in the form of confirmed third-party cash or eligible third-party in-kind contribution, and may include non-federal grant sources (unless otherwise provided by law). Applicants must certify that matching funds will be spent in advance of grant funds, and source-verify both cash and in-kind contributions to the project.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Typically provided on a reimbursement basis, grant funds may be disbursed over a period of up to 3 years from date of award. Method of awarding/releasing assistance: Requests for reimbursement may be submitted to the Agency not more frequently than once every 30 days, and must be accompanied by supporting documentation to demonstrate eligible expenses incurred during the grant funding period. Method of awarding/releasing assistance: quarterly.

Reports:

Narrative Progress Reports and Financial Performance Reports must be submitted to the Rural Development State Office as specified in the grant agreement. A final report must be submitted to the Rural Development State Office within 90 days after the project has been completed. Copies of supporting documentation and/or project deliverables for completed tasks must be provided to the Agency. Cash reports are not applicable. Progress reports must be submitted to the Rural Development State Office as specified in the grant agreement. A final report must be submitted to the Rural Development State Office within 90 days after the project has been completed. Progress reports must be submitted to the Rural Development State Office as specified in

the grant agreement. A final report must be submitted to the Rural Development State Office within 90 days after the project has been completed. Progress reports must be submitted to the Rural Development State Office as specified in the grant agreement. A final report must be submitted to the Rural Development State Office within 90 days after the project has been completed.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Federal awards are exempt from Federal audit requirements for that year, except as noted in 7 CFR 3052, but records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and General Accounting office, and/or as noted in the Grant Agreement .

Records:

The Grantee will maintain adequate records and accounts to assure that grant and matching funds are used for authorized purposes. Records required by Federal law and regulations will also be maintained by the Rural Development State Office and the Rural Development-Cooperative Programs National Office. All Grantees are required to report information about first-tier subawards and executive compensation in accord with 2 CFR Part 170, unless exempted under 2 CFR 170.110(b).

Account Identification:

12-1900-0-1-452.

Obligations:

(Salaries) FY 12 \$40,300,000; FY 13 est \$16,000,000; and FY 14 Estimate Not Available

Range and Average of Financial Assistance:

Varies from year to year.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Parts 1951; 7 CFR 3015; 7 CFR 3019; 7 CFR 4284, Subpart J - Value-Added Producer Grants. See the Rural Business Cooperative Service website below for additional information. You may also contact Rural Development State and Local Offices.

Regional or Local Office:

See Regional Agency Offices.

<http://www.rurdev.usda.gov/StateOfficeAddresses.html>.

Headquarters Office:

Deputy Administrator of Coopertativ 1400 Independence Ave, mail-stop 3250, Washington, District of Columbia 20250 Phone: 202-720-7558

Website Address:

http://www.rurdev.usda.gov/BCP_VAPG

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Up to five percent (5%) of Value-Added Producer Grant funding will be reserved and administered by the National Office for the Agricultural Marketing Resource Center (AgMRC). Funding for AgMRC is to support a resource Center with electronic capabilities that facilitate research, business, legal, financial, and technical information for agricultural producers wanting to enter into value-added activities and for processors of value-added products; and to sustain a nationwide market-information-sharing system in support of the Value-Added Producer Grant program objectives. The Center is housed at Iowa State University. It provides producers, processors, and other interested parties with critical information that is necessary to build successful value-added agricultural businesses. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Published in the Federal Register and through grants.gov.

10.404 EMERGENCY LOANS

Emergency Loans

FEDERAL AGENCY:

Farm Service Agency, Department of Agriculture

AUTHORIZATION:

Consolidated Farm and Rural Development Act, as amended, Subtitle C, Sections 321-330, Public Law 92-419, 7 U.S.C. 1961- 1984; Public Law 96-438; Public Law 97-35; Public Law 98-258; Public Law 99-198; Public Law 100-233; Public Law 100-387; Public Law 101-624.

OBJECTIVES:

To assist established (owner or tenant) family farmers, ranchers and aquaculture operators with loans to cover losses resulting from major and/or natural disasters, which can be used for annual farm operating expenses, and for other essential needs necessary to return disaster victims' farming operations to a financially sound basis in order that they will be able to return to private sources of credit as soon as possible.

TYPES OF ASSISTANCE:

DIRECT LOANS

USES AND USE RESTRICTIONS:

Loan funds may be used to repair, restore, or replace damaged or destroyed farm property (real and chattel) and supplies which were lost or damaged as a direct result of a natural disaster; under certain conditions, refinance secured and unsecured debts made necessary by the disasters; finance adjustments in the farming, ranching or aquaculture operation(s) determined necessary to restore or maintain applicants' operations on a sound financial basis equivalent to their predisaster potential. The total of all actual loss loans is based on actual dollar value of production and physical losses. Loans are made at the Agency's current Emergency Loan actual loss interest rate, with a maximum limit of 100 percent of the actual production loss and 100 percent of the actual physical loss, or \$500,000 total indebtedness. Loans are made in counties: (1) Named by the Federal Emergency Management Agency as being eligible for Federal assistance under a major disaster or emergency declaration by the President; (2) designated as natural disaster areas by the Secretary of Agriculture; and (3) designated by the FSA Administrator for severe physical losses, only, as a result of a natural disaster.

Applicant Eligibility:

Requires that an applicant: (a) Not have caused a loss to the Agency after April 4, 1996, or received debt forgiveness on no more than 1 occasion prior to April 4, 1996. (b) be an established family farmer, rancher, or aquaculture operator (either tenant-operator or owner-operator), who was conducting a farming operation at the time of occurrence of the disaster either as an individual proprietorship, a partnership, a cooperative, a corporation, or a joint operation; (c) have suffered qualifying crop loss and/or physical property damage caused by a designated natural disaster; (d) be a citizen of the United States or legal resident alien, or be operated by citizens and/or resident aliens owning over a 50 percent interest of the farming entity; (e) be unable to obtain suitable credit from any other source(s) to qualify for subsidized loss loans; (f) have sufficient training or farming experience in managing and operating a farm or ranch (1 year's complete production and marketing cycle within the last 3 years immediately preceding the application); (g) be able to project a feasible and sound plan of operation; (h) be a capable manager of the farming, ranching, or aquaculture operations (in the case of a cooperative, corporation, partnership or joint operation, if members, stockholders, partners or joint operators own a majority interest and are related by blood or marriage, at least one member, stockholder, partner or joint operator must operate the family farm; if not related, the majority interest holder(s) must operate the family farm); (i) have legal capacity to contract for the loan; (j) obtain eligibility certification; (k) provide adequate collateral to secure the loan request; (l) have crop insurance if available for affected crops comply with the highly erodible land and wetland conservation provisions of Public Law 99-198 (16 U.S.C. 3801 et seq.), (Title 12 and 13) the Food Security Act of 1985 and the Food, Agriculture, Conservation, and Trade Act of 1990. Applicants who cannot meet all of these requirements are not eligible. Assistance is available in the 50 States, the Commonwealth of Puerto Rico, the Virgin Islands of the United States, Guam,

American Samoa, the Commonwealth of the Northern Mariana Islands, and, to the extent the Secretary determines it to be feasible and appropriate, the Trust Territories of the Pacific Islands, when those areas (by county) are designated.

Beneficiary Eligibility:

Applicants/borrowers are the direct beneficiaries when they meet all eligibility criteria. Families, individuals and entities who are farmers, ranchers or aquaculture operators are the beneficiaries.

Credentials/Documentation:

Applicants must establish that substantial physical property damage and/or severe production losses, caused by the designated natural disaster, has occurred. To qualify for loss loans, the applicant must be unable to get credit elsewhere and demonstrate repayment ability on the loan. This program is excluded from coverage under OMB Circular No. A-87. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Application Form FSA 2001 provided by the Farm Service Agency must be presented, with supporting information, to the FSA county office serving the applicant's county. FSA personnel assist applicants in completing their application forms.

Award Procedure:

FSA Farm Loan Manager, State Executive Directors, and the Administrator or his designee are authorized to approve these loans, subject to certain administrative requirements, after applicants are determined eligible.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 30 to 60 days. Applications must be approved or disapproved within 60 calendar days after the receipt of a completed application by the County Office.

Appeals:

Applicants for loans may appeal adverse actions taken. The applicant is given an opportunity to appeal the decision to the National Appeals Division.

Renewals:

Rescheduling, reamortization, consolidation and deferment: Up to 15 years rescheduling for short and intermediate term loans made for production-type losses. Long term loans may be reamortized. However, the maximum statutory repayment period of 40 years for real-estate purposes cannot be exceeded. Loans made for similar purposes can be consolidated and rescheduled or reamortized. Generally, real estate will be needed as security when a term of more than 7 years is authorized.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

This varies in accordance with individual case needs, type of disaster losses, type of security available, and borrower's repayment ability. Applicants should consult the FSA county office serving their area for specific information. See the following for information on how assistance is awarded/released: Loan funds may be dispersed in lump sum or in increments.

Reports:

No reports are required.

Audits:

Not Applicable.

Records:

Applicants must furnish 3 year history of farm production, if available, when production losses are claimed. Otherwise, FSA records of acres grown and

proven yields, county or State production averages, or combinations of the above records when approved, are used. Borrowers must keep adequate records as a condition for receiving FSA financing. Specific financial information is required to be maintained for 3 years for some borrowers as a condition of receiving loan assistance.

Account Identification:

12-4140-0-3-351.

Obligations:

(Direct Loans) FY 12 \$31,436,000; FY 13 est \$35,999,000; and FY 14 est \$34,658,000 - (Direct Emergency Loans)
FY 2012 Actual \$31,436,000
FY 2013 Estimated \$35,999,000.
FY 2014 Estimated \$34,658,000.

Range and Average of Financial Assistance:

The maximum emergency loan amount may not exceed \$500,000.
FY 2012: Emergency Loan average loan size approximately \$101,800.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: The Agency made 309 Emergency Loans in FY 2012 for total obligations \$31,435,650. Fiscal Year 2013: The Agency estimates 600 Emergency Loans being made in FY 2013 for total obligations estimated at \$56,727,847. Fiscal Year 2014: No current data available for FY 2014.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Parts 764.
FSA Handbook 3 FLP.
Farm Service Agency Fact Sheets.
Program Aids 1610 "Farm Service Agency Producers Guided to Loan Programs."
FSA Brochure FSA-BR-01 Your Guide to FSA Farm Loans.
above information is available on FSA website at:www.fsa.usda.gov.

Regional or Local Office:

None. Consult the appropriate FSA State office listed in Appendix IV of the Catalog. Visit FSA website at www.fsa.usda.gov to locate nearest office.

Headquarters Office:

Bob Bonnet USDA FSA DAFLP LMD
1400 Independence Avenue, SW
, Washington, District of Columbia 20250-0522 Email:
bob.bonnet@wdc.usda.gov Phone: (202) 720-3889 Fax: (202) 690-1117.

Website Address:

http://www.fsa.usda.gov.

RELATED PROGRAMS:

10.406 Farm Operating Loans; 10.407 Farm Ownership Loans; 10.450 Crop Insurance; 59.008 Disaster Assistance Loans

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.405 FARM LABOR HOUSING LOANS AND GRANTS

(Labor Housing)

FEDERAL AGENCY:

Rural Housing Service, Department of Agriculture

AUTHORIZATION:

Housing Act of 1949, as amended, Sections 514 and 516, Public Laws 89-117 and 89-754, 42 U.S.C 1484 and 1486.

OBJECTIVES:

To provide decent, safe, and sanitary low-rent housing and related facilities for domestic farm laborers.

TYPES OF ASSISTANCE:

GUARANTEED/INSURED LOANS; PROJECT GRANTS

USES AND USE RESTRICTIONS:

The loans and grants may be used for construction, repair, or purchase of

year-round or seasonal housing; acquiring the necessary land and making improvements on land for housing; and developing related support facilities including central cooking and dining facilities, small infirmaries, laundry facilities, day care centers, other essential equipment and facilities or recreation areas. Funds may also be used to pay certain fees and interest incidental to the project. Restrictions on the use of funds are: Developers' fees, resident services, cost of unrelated commercial space, costs associated with other lenders/grantors. Housing financed with labor housing loan or grant funds must be occupied by domestic farm laborers, individuals who derive a substantial portion of their income from farm labor, and their families. The occupants must also be a U.S. citizen or permanent resident.

Applicant Eligibility:

Loans are available to farmers, family farm partnership, family farm corporations, or an association of farmers. Loans and grants are available to States, Puerto Rico, the U.S. Virgin Islands, political subdivisions of States, broad-based public or private nonprofit organizations, federally recognized Indian Tribes and non-profit corporations of farm workers. Grants are available to eligible applicants only when there is a pressing need and when it is doubtful that such facilities could be provided unless grant assistance is available.

Beneficiary Eligibility:

A domestic farm laborer is any person who receives a substantial portion of his/her income as a laborer on a farm in the United States and is either (1) a citizen of the United States, or (2) has been legally admitted for permanent residency.

Credentials/Documentation:

The applicant must furnish factual evidence of the following: (a) The number of domestic farm laborers currently being used in the area; (b) the kind of labor performed; (c) the future need for domestic farm labor in the area; (d) the kind, condition, and adequacy of housing presently used for such labor; (e) ownership of presently occupied housing; (f) ability of workers to pay necessary rent; and (g) with the exception of State and local public agencies, be unable to provide housing from its own resources or credit on terms and conditions that would enable the applicant to provide labor housing. Costs will be determined in accordance with 7 CFR part 3015 or 3016 for State and local governments. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact statement is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. The Section 514/516 Program is awarded through a Notice of Funding Available (NOFA) announced in the Federal Register.

Award Procedure:

Applications will be scored on the following factors: (1) The presence and extent of leveraged assistance for the units that will serve RHS income-eligible tenants at basic rents comparable to those if RHS provided full financing, computed as a percentage of the RHS total development cost. A minimum of ten percent leveraged assistance is required to earn points; however, less than ten percent and the proposal includes donated land, two points will be awarded for the donated land, (0 to 20 points); (2) Seasonal, temporary, migrant housing (5 points for up to and including 50 percent of the units; 10 points for 51 percent or more); National Office initiative will be based on the presence of and extent to which a tenant services plan exists that clearly outlines services that will be provided to the residents of the proposed project. Two points will be awarded for each resident service included in the tenant services plan up to a maximum of 10 points.(0 to 10 points). Application selection process: (1) States will review and score the applications and submit a list of applications in rank and point score order to the National Office; (2) the National Office will rank applications on a nation-wide basis and will advise States of the results.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. RHS uses a two-stage application process for the Farm Labor Housing Program. First, applicants submit preapplications, which are used to determine preliminary eligibility and feasibility. RHS then invites some applicants to submit formal applications. Section 514/516 funds are divided between off-farm housing and on-farm housing. The off-farm program provides loans and grants to organizations that assist farm workers at off-farm locations with no restrictions that workers be employed on a particular farm. Under new regulations effective June 1999, preapplications for the off-farm program funds must be submitted in response to a Notice of Funding Availability (NOFA) published in the Federal Register every year. RHS scores the preapplications, using a ranking process to determine which applicants will be invited to submit formal applications. The on-farm program makes loans to eligible farmers (or a group of farmers) to provide Housing, usually for their own laborers. Preapplications for on-farm units, or for repair and rehabilitation of existing off-farm units, may be submitted at any time are processed on a first-come, first-served basis.

Appeals:

From 15 to 30 days. A person or organization who is directly and adversely affected by an administrative decision by Rural Development should follow the procedures found in 7 CFR part 1900, Subpart B of "Rural Development Administrative Appeal Procedures."

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: Percent: 10.%. Section 516 grants for off-farm FLH may not exceed the lesser of 90 percent of the total development cost (TDC) as provided in 7 CFR 3560.562(c)(1).

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Loans are usually made for 33 years at 1 percent interest. Grants may cover up to 90 percent of development cost. The balance may be (and usually is) a Section 514 loan. RHS rental assistance (RA) subsidy can be used to limit tenant payments to 30 percent of their income. RA can be used as an operating subsidy for projects or units with Section 516 funding that serve migrants. It is RHS policy to attempt to use less than a 90 percent grant when RA is utilized. Method of awarding/releasing assistance: lump sum.

Reports:

Not Applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Adequate operating tenant, and accounting records must be maintained by borrower.

Account Identification:

12-4141-0-3-371; 12-2004-0-1-604; 12-2081-0-4-371.

Obligations:

(Direct Loans) FY 12 \$5,410,000; FY 13 est \$16,500,000; and FY 14 est \$10,000,000

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: \$5.4 million to construct over 110 labor housing units. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR part 3560; Subpart L

Regional or Local Office:

See Regional Agency Offices. Consult your local telephone directory for Rural Development Area Office number. If no listing, contact appropriate Rural Development State Office listed in Appendix IV of the Catalog or on the internet at http://www.rurdev.usda.gov/recd_map.html.

Headquarters Office:

USDA Rural Development, Preservation and Direct Loan Division, 1400 Independence Ave. SW., Mail Stop 0782, Washington, District of Columbia 202500781 Phone: (202) 720-1604.

Website Address:

<http://www.rurdev.usda.gov>.

RELATED PROGRAMS:

10.427 Rural Rental Assistance Payments

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

A clearly defined need must exist to provide housing for domestic farm workers.

10.406 FARM OPERATING LOANS

Farm Operating Loans (Direct & Guaranteed)

FEDERAL AGENCY:

Farm Service Agency, Department of Agriculture

AUTHORIZATION:

Farm and Rural Development Act, as amended, Subtitle B, Sections 311-317, Public Law 92-419, 7 U.S.C. 1942; Agriculture Act of 1961, Title III, Public Law 87-128.

OBJECTIVES:

To enable operators of not larger than family farms through the extension of credit and supervisory assistance, to make efficient use of their land, labor, and other resources, and to establish and maintain financially viable farming and ranching operations.

TYPES OF ASSISTANCE:

DIRECT LOANS; GUARANTEED/INSURED LOANS

USES AND USE RESTRICTIONS:

Loan funds may be used to: (1) Purchase livestock, poultry, and other farm animals; (2) purchase farm equipment, feed, seed, fuel, farm chemicals, insurance (3) provide operating expenses for farm enterprise; OL funds can be used to pay for: (4) minor improvements to buildings (5) costs associated with land and water development (6) family subsistence (7) refinance debts under certain conditions and (8) finance youth projects. (Use restrictions are shown under Applicant Eligibility.

Applicant Eligibility:

Except for youth loans, individual applicants must: (1) Be a citizen of the United States, United States non-citizen national, or a qualified alien under applicable Federal immigration laws; (2) have the legal capacity to incur the obligations of the loan; (3) possess the legal capacity to incur the obligations of the loan; (4) be unable to obtain credit elsewhere or unable to obtain the loan without a guarantee; (5) not have had a previous loan which resulted in a loss to the Agency (with certain conditions); (6) not be delinquent on any federal debt; (7) after the loan is closed, be an owner/tenant operator of a family farm. For an operating loan (OL), the producer must be the operator of a family farm; and (8) not have any controlled substance convictions. In addition, a direct loan applicant must have sufficient education, training, or experience in managing and operating a farm or ranch that demonstrates the managerial ability to succeed in farming. Corporations, cooperatives, joint operations, and partnerships and their members/stakeholders must meet most of these same eligibility requirements, and the entity must also be authorized to operate a farm or ranch in the State where the land is located. To be eligible to obtain a direct loan, a borrower must agree to abide by any "borrower training" requirements. Applicants/borrowers requesting guaranteed loan assistance must meet all lender requirements.

Beneficiary Eligibility:

Applicants/borrowers are the direct beneficiaries and must meet the applicant eligibility requirements Families, individuals, and entities who are or plan to become farmers, ranchers or aquaculture operators are the beneficiaries.

Credentials/Documentation:

Applicants for direct loans must be unable to obtain credit elsewhere and applicants for guaranteed loans must be unable to obtain credit without the guarantee. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Applicants may apply for direct loans at local FSA offices. Guaranteed loans may be available from local commercial lenders who apply for loan guarantees from FSA.

Award Procedure:

FSA determines if the applicant is eligible and reviews the application for repayment ability, security, and compliance with other regulations, approves and obligates the loan.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

The loan approval official approves or disapproves a completed application. The average approval time for direct loans is 30 days and 10 days for guaranteed loans.

Appeals:

From 30 to 60 days. Applicants for direct and guaranteed loans, may appeal adverse action taken. The applicant is given an opportunity to appeal the decision to the National Appeals Division. The applicant may, in the final step of the appeals process, request a review by the Director, National Appeals Division, Washington, DC.

Renewals:

Applicants may reapply at any time. Applicants denied assistance through the appeal process must establish that substantial change has occurred, since the denial decision.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Loans are scheduled for repayment over periods up to 7 years, but when justified, loans may be consolidated or rescheduled for up to 15 additional years. The interest rate for guaranteed loans is negotiated between the lender and borrower. The interest rate for direct loans is determined by the Secretary of Agriculture. See the following for information on how assistance is awarded/released: See the following for information on how assistance is awarded/released: Loans funds may be released in one lump sum or increments during the operating period.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

Records on production, income, expenses during the period of the loan.

Account Identification:

12-4213-0-3-351 - Guaranteed Loans Financing Account; 12-1140-0-1-351; 12-4212-0-3-351 - Direct Loans Financing Account.

Obligations:

(Direct Loans) FY 12 \$1,169,232,000; FY 13 est \$969,473,770; and FY 14 est \$1,223,686,000 - Direct Operating Loans FY 2012 Total Actual Obligations \$1,169,232,000. (Guaranteed/Insured Loans) FY 12 \$933,881,000; FY 13 est \$1,387,030,000; and FY 14 est \$1,500,000,000 - Guaranteed Operating Loans FY 2012 Total Actual Obligations \$933,881,000.

Range and Average of Financial Assistance:

Direct Operating Loan up to \$300,000;
Guaranteed Operating Loans up to \$1,302,000. (amount adjusted annually)
FY 2012: Direct Operating Loan average loan size approximately \$57,300.
FY 2012: Guaranteed Operating Loan average loan size approximately \$219,800.

Average amount owed by direct borrower is \$116,000.
Average amount owed by a guaranteed borrower is \$333,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: The Agency made 20,415 Direct Operating Loans in FY 2012 for total obligations \$1,169,232,463
The Agency made 4,250 Guaranteed Operating Loans in FY 2012 for total obligations \$933,880,405. Fiscal Year 2013: Fiscal Year 2013:
The Agency estimates 17,000 Direct Operating Loans being made in FY 2013 for total obligations estimated at \$969,473,770.
The Agency estimates 3,025 Guaranteed Operating Loans being made in FY 2013 for total obligations estimated at \$1,387,030,000. Fiscal Year 2014: Fiscal Year 2014:
No current data available for FY 2014.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR, Part 761, 762, 764.
Farm Service Agency Fact Sheets;
FSA Handbook 1 FLP, 2 FLP, 3-FLP;
Program Aids 1610 "Farm Service Agency Producer's Guide to Loan Programs"; 1620 "Lender's Guide to FSA Loan Programs";
FSA Brochure FSA-BR-01 "Your Guide to FSA Farm Loans",
above information is available on FSA website at:www.fsa.usda.gov.

Regional or Local Office:

See Regional Agency Offices. Contact the appropriate FSA State Office listed in Appendix IV of the Catalog. Visit the FSA website at www.fsa.usda.gov.

Headquarters Office:

Bob Bonnet USDA FSA DAFLP LMD
1400 Independence Avenue, SW
, Washington, District of Columbia 20250-0522 Email:
bob.bonnet@wdc.usda.gov Phone: 202-720-3889 Fax: 202-690-1117

Website Address:

http://www.fsa.usda.gov.

RELATED PROGRAMS:

10.404 Emergency Loans; 10.407 Farm Ownership Loans; 10.437 Interest Assistance Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.407 FARM OWNERSHIP LOANS

Farm Ownership Loans (Direct and Guaranteed)

FEDERAL AGENCY:

Farm Service Agency, Department of Agriculture

AUTHORIZATION:

Consolidated Farm and Rural Development Act, as amended, Subtitle A, Sections 302, 310D, Public Laws 100-233, 87-128, 91-620, 95-334, 97-98, 7 U.S.C. 1922; Section 303, Public Laws 87-708, 90-488, 95-113, 96-438, 7 U.S.C. 1923; Section 305, Public Law 92-419, 7 U.S.C. 1925; Section 307, Public Law 97-35, 7 U.S.C. 1927; Section 308, Public Laws, 87-798, 89-240, 92-133, 7 U.S.C. 1928; 7 U.S.C. 1934.

OBJECTIVES:

Farm Service Agency's loan programs are designed to help family farmers obtain loans and loan guarantees through the extension of credit and supervisory assistance to become owner-operators of not larger than family farms; make efficient use of the land, labor, and other resources; carry on sound and successful farming operations; and enable farm families to have a reasonable standard of living.

TYPES OF ASSISTANCE:

DIRECT LOANS; GUARANTEED/INSURED LOANS

USES AND USE RESTRICTIONS:

Loan funds may be used to: (1) Enlarge, improve, and purchase farmland.; (2) construct or repair buildings and other fixtures; (3) develop farmland to promote soil and water conservation (4) provide facilities to produce fish under controlled conditions. Guaranteed farm ownership loans may be used to refinance debts under certain conditions.

Applicant Eligibility:

An applicant must: (1) Be a citizen of the United States, United States non-citizen national, or a qualified alien under applicable Federal immigration laws; (2) have the legal capacity to incur the obligations of the loan; (3) possess the legal capacity to incur the obligations of the loan; (4) be unable to obtain credit elsewhere or unable to obtain the loan without a guarantee; (5) not have had a previous loan which resulted in a loss to the Agency (with certain conditions); (6) not be delinquent on any federal debt; (7) after the loan is closed, be an owner/tenant operator of a family farm. For an operating loan (OL), the producer must be the operator of a family farm; and (8) not have any controlled substance convictions. In addition, a direct loan applicant must have sufficient education, training, or experience in managing and operating a farm or ranch that demonstrates the managerial ability to succeed in farming. Corporations, cooperatives, joint operations, and partnerships and their members/stakeholders must meet most of these same eligibility requirements, and the entity must also be authorized to operate a farm or ranch in the State where the land is located. To be eligible to obtain a direct loan, a borrower must agree to abide by any "borrower training" requirements. Applicants/borrowers requesting guaranteed loan assistance must meet all lender requirements.

Beneficiary Eligibility:

Applicants/borrowers are the direct beneficiaries and must meet the applicant eligibility requirements. Families, individuals, and entities who are or plan to become farmers, ranchers or aquaculture operators are the beneficiaries.

Credentials/Documentation:

Applicants for direct loans must be unable to obtain credit elsewhere and applicants for guaranteed loans must be unable to obtain credit without the guarantee. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Applicants may apply for direct loans at local FSA offices. Guaranteed loans may be available from local commercial lenders who apply for loan guarantees from FSA.

Award Procedure:

FSA determines if the applicant is eligible and reviews the application for repayment ability, security, and compliance with other regulations, approves and obligates the loan.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

The loan approval official approves or disapproves a completed application. The average approval time for direct loans is 30 days and 10 days for guaranteed loans.

Appeals:

From 30 to 60 days. Applicants for direct and guaranteed loans may appeal adverse action taken. The applicant is given an opportunity to appeal the decision to the National Appeals Division. The applicant may, in the final step of the appeals process, request a review by the Director, National Appeals Division, Washington, DC.

Renewals:

Applicants may reapply at any time. Applicants denied assistance through the appeal process must establish that substantial change has occurred, since the denial decision.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Loans justified may be scheduled over a period up to 40 years. The interest rate for guaranteed loans is negotiated between the lender and borrower. See the following for information on how assistance is awarded/released: loan funds may be dispersed in lump sum or increments. See the following for information on how assistance is awarded/released: loan funds may be dispersed in lump sum or increments.

Reports:

No reports are required.

Audits:

No audits are required for this program.

Records:

Records on production, income, expenses during the period of the loan.

Account Identification:

12-1140-0-1-351; 12-4213-0-3-351 - Guaranteed Loans Financing Account;
12-4212-0-3-351 - Direct Loans Financing Account.

Obligations:

(Direct Loans) FY 12 \$529,812,375; FY 13 est \$438,534,000; and FY 14 est \$575,000,000 - (Direct Farm Ownership Loans) FY 2012 \$529,812,375; FY 2013 est. \$438,534,000; FY 2014 est. \$575,000,000.
Direct Farm Ownership Loans FY 2012 actual obligations \$529,812,375.
(Guaranteed/Insured Loans) FY 12 \$1,499,490,900; FY 13 est \$1,500,000,000; and FY 14 est \$2,000,000,000 - (Guaranteed Farm Ownership Loans)
Guaranteed Farm Ownership Loans FY 2012 actual obligations \$1,499,499,900

FY 2013 est. \$1,500,000,000;
FY 2014 est. \$2,000,000,000;.

Range and Average of Financial Assistance:

Direct Farm Ownership Loans up to \$300,000;
Guaranteed Farm Ownership Loans up to \$1,302,000. (amount adjusted annually)
FY 2012; Direct Farm Ownership Loan average loan size \$164,000.
FY 2012; Guaranteed Farm Ownership Loan average loan size \$389,500.

Average amount owed by direct borrower is \$116,000.
Average amount owed by a guaranteed borrower is \$333,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: The Agency made 3,231 Direct Farm Ownership loans in FY 2012 for total obligations \$529,812,375.
The Agency made 3,850 Guaranteed Farm Ownership loans in FY 2012 for total obligations \$1,499,490,900. Fiscal Year 2013: The Agency estimates 2,510 Direct Farm Ownership loans being made in FY 2013 for total obligations estimated at \$438,533,821.
The Agency estimates 3,470 Guaranteed Farm Ownership loans being made in FY 2013 for total obligations estimated at \$1,500,000,000. Fiscal Year 2014: No program data available.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR, Part 761, 762, & 764.
Farm Service Agency Fact Sheets;
FSA Handbook 1 FLP, 2 FLP & 3 FLP;

FSA Program Aids 1610 "Farm Service Agency Producers Guide to Loan Programs", 1620 "Lender's Guide to FSA Loan Programs";
FSA Brochure FSA-BR-01 "Your Guide to FSA Farm Loans"
above information is available on FSA website at:www.fsa.usda.gov.

Regional or Local Office:

None. Contact the appropriate FSA State Office listed in Appendix IV of the Catalog. Visit the FSA website at www.fsa.usda.gov.

Headquarters Office:

Bob Bonnet USDA FSA DAFLP LMD
1400 Independence Avenue, SW
, Washington, District of Columbia 20250-0522 Email:
bob.bonnet@wdc.usda.gov Phone: (202) 720-3889 Fax: (202) 690-1117

Website Address:

http://www.fsa.usda.gov.

RELATED PROGRAMS:

10.404 Emergency Loans; 10.406 Farm Operating Loans

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.410 VERY LOW TO MODERATE INCOME HOUSING LOANS

(Section 502 Rural Housing Loans)

FEDERAL AGENCY:

Rural Housing Service, Department of Agriculture

AUTHORIZATION:

Housing Act of 1949.

OBJECTIVES:

To assist very low, low-income, and moderate-income households to obtain modest, decent, safe, and sanitary housing for use as a permanent residence in rural areas.

TYPES OF ASSISTANCE:

DIRECT LOANS; GUARANTEED/INSURED LOANS

USES AND USE RESTRICTIONS:

Direct and guaranteed loans may be used to buy, build, or improve the applicant's permanent residence. New manufactured homes may be financed when they are on a permanent site, purchased from an approved dealer or contractor, and meet certain other requirements. Under very limited circumstances, homes may be re-financed with direct loans. Dwellings financed must be modest, decent, safe, and sanitary. The value of a home financed with a direct loan may not exceed the area limit. The property must be located in an eligible rural area. Assistance is available in the States, the Commonwealth of Puerto Rico, the U.S. Virgin Islands, Guam, American Samoa, the Commonwealth of Northern Mariana's, and the Trust Territories of the Pacific Islands. Applicants must have very low-, low- or moderate incomes. Very low-income is defined as below 50 percent of the area median income (AMI); low-income is between 50 and 80 percent of AMI; moderate income is below 115 percent of AMI. Families must be without adequate housing, but able to afford the housing payments, including principal, interest, taxes, and insurance (PITI). Qualifying repayment ratios are 29 percent for PITI to 41 percent for total debt. In addition, applicants must be unable to obtain credit elsewhere, yet have an acceptable credit history. The property must be located in an eligible rural area. Direct loans are made at the interest rate specified in RD Instruction 440.1, Exhibit B (available in any Rural Development local office), and are repaid over 33 years or 38 years for applicants whose adjusted annual income does not exceed 60 percent of the area median income, if necessary to show repayment ability. Payment assistance is granted on direct loans to reduce the installment to an "effective interest rate" as low as one percent, depending on adjusted family income. Payment assistance is subject to recapture by the government when the customer no longer resides in the dwelling. There is no funding provided for deferred mortgage authority or loans for deferred mortgage assumptions. Guaranteed loans may be made to refinance either existing RD Guaranteed Housing loans or RHS Section 502

Direct Housing loans. Guaranteed loans are amortized over 30 years. The interest rate is negotiated with the lender.

Applicant Eligibility:

Applicants must have very low-, low- or moderate incomes. Very low-income is defined as below 50 percent of the area median income (AMI); low-income is between 50 and 80 percent of AMI; moderate income is below 115 percent of AMI. Families must be without adequate housing, but able to afford the housing payments, including principal, interest, taxes, and insurance (PITI). Qualifying repayment ratios are 29 percent for PITI to 41 percent for total debt. In addition, applicants must be unable to obtain credit elsewhere, yet have an acceptable credit history.

Beneficiary Eligibility:

Applicants must meet eligibility requirements. Guaranteed Loan Low and Moderate income eligible.

Credentials/Documentation:

Applicants may need to submit evidence of inability to obtain credit elsewhere, verification of income, debts, and other information on the application; plans, specifications, and cost estimates. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. For direct loans, application is made at the Rural Development field office serving the county where the dwelling is or will be located. For guaranteed loans, application is made to a participating private lender.

Award Procedure:

Rural Development field offices have authority to approve most Direct loan requests. Processing of guaranteed loans varies in each State. Consult your local telephone directory under U.S. Department of Agriculture for a Rural Development field office listing or visit the website <http://offices.sc.egov.usda.gov/locator/app> for a State Office listing. If no backlog exists, decisions on direct loan applications are made within 30 to 60 days. Requests for guarantee loans are acted upon in 3 days of receipt of the lender's request for guarantee.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

For direct loans, from 30 to 60 days subject to availability of funds, from the time the application is filed if no backlog of applications exists. A 'pre-qualification' may be provided to potential direct loan applicants upon call or visit to a Rural Development office, though results are not binding. For guarantees, a decision is required within 3 days of loan package submission by the approved lender.

Appeals:

Agency regulations providing customers with the rights for an informal review, mediation or alternative dispute resolution (ADR), or appeal to the National Appeals Division (NAD) are contained in 7 CFR Part 3550. NAD regulations are found at 7 CFR Part 11. Requests for an informal review, mediation and ADR must be received within 30 days from the date of the adverse decision. Requests for an appeal to NAD must be received within 30 days of receipt of the adverse decision.

Renewals:

Applicants may reapply at any time.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: This program has no matching requirements. A basic formula is used to allocate program funds to various States. The criteria used in the basic formula include the States percentage of the National: Number of rural occupied substandard units, rural population, rural population in places

of less than 2,500 population, number of rural households between 50 and 80 percent of the area median income, and number of rural households below 50 percent of the area median income. The data source for each of these criteria is based on the latest census data available.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

This assistance is available throughout the year by means of quarterly allocations. Funds may be disbursed at loan closing or deposited in a supervised bank account for purchase of a building site, and purchase or repair of a dwelling, or in multiple advances for construction. For guaranteed loans, funds are disbursed after all work is complete. Applications for direct loans far exceed available funding. There is generally a waiting list for direct loan funding. Method of awarding/releasing assistance: quarterly.

Reports:

Borrowers receiving a payment subsidy must submit verification of household income annually for recalculation of benefits. No cash reports are required. Borrowers are not required to, but should retain copies of loan-related documents. No expenditure reports are required. No performance monitoring is required.

Audits:

No audits are required for this program.

Records:

No Data Available.

Account Identification:

12-2081-0-1-371; 12-4141-0-3-371.

Obligations:

(Direct Loans) FY 12 \$899,997,704; FY 13 est \$840,000,000; and FY 14 est \$360,000,000 - Fiscal Year 2014 figures are based on the President's proposed budget. (Guaranteed/Insured Loans) FY 12 \$19,213,094,526; FY 13 est \$22,900,000,000; and FY 14 est \$24,000,000,000 - Fiscal Year 2014 figures are based on the President's proposed budget.

Range and Average of Financial Assistance:

FY 12 Average: 502 Direct Loans (\$113,665), Guaranteed Loans (\$132,405)
FY 13 Average: 502 Direct Loans (\$115,782), Guaranteed Loans (\$137,122)
Loans in high cost areas may be higher.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 3550 "Direct Single Family Housing Loans and Grants." For guaranteed loans, 1980-D "Subpart D -Rural Housing Loans."

Regional or Local Office:

See Regional Agency Offices. Consult your local telephone directory under U.S. Department of Agriculture for a Rural Development field office listing or visit the website <http://offices.sc.egov.usda.gov/locator/app> for a State Office listing.

Headquarters Office:

Myron L. Wooden, 1400 Independence Avenue, SW, Washington, District of Columbia 20250 Email: Myron.Wooden@wdc.usda.gov Phone: (202) 720-4780.

Website Address:

<http://www.rurdev.usda.gov>.

RELATED PROGRAMS:

10.405 Farm Labor Housing Loans and Grants; 10.417 Very Low-Income Housing Repair Loans and Grants; 10.420 Rural Self-Help Housing Technical Assistance

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.415 RURAL RENTAL HOUSING LOANS

FEDERAL AGENCY:

Rural Housing Service, Department of Agriculture

AUTHORIZATION:

Housing Act of 1949, as amended, Section 515 and 521, Public Law 102-550, 42 U.S.C. 1485, 1490a.

OBJECTIVES:

To provide economically designed and constructed rental and cooperative housing and related facilities suited for rural residents.

TYPES OF ASSISTANCE:

DIRECT LOANS; PROJECT GRANTS

USES AND USE RESTRICTIONS:

Loans can be used to construct, or to purchase and substantially rehabilitate rental or cooperative housing or to develop manufactured housing projects. Housing as a general rule will consist of multi-units with two or more family units and any appropriately related facilities. Funds may also be used to provide approved recreational and service facilities appropriate for use in connection with the housing and to buy and improve the land on which the buildings are to be located. Loans may not be made for nursing, special care, or institutional-type homes. Grants are in the form of rental assistance for some units within the underwritten property. The term for an initial Section 515 loan is 30 years with a 50-year amortization period. However, the term for subsequent loans and loans for special types of properties, such as manufactured housing, may be made for a shorter term based on the projects expected useful life. Each loan is made at a note rate established by the Agency as prescribed in RD Instruction 440.1. Borrowers approved for initial and/or subsequent loans receive interest credit, which reduces the effective interest rate for the Agency's financing, thereby lowering the property's rents. In return for this below-market rate financing, the borrower agrees to lease the projects rental units to income-eligible households at rents approved by the Agency. Borrowers operate on a nonprofit or limited-profit basis.

Applicant Eligibility:

Applicants may be individuals, cooperatives, nonprofit organizations, State or local public agencies, profit corporations, trusts, partnerships, limited partnerships, and be unable to finance the housing either with their own resources or with credit obtained from private sources. However, applicants must be able to assume the obligations of the loan, furnish adequate security, and have sufficient income for repayment. They must also have the ability and intention of maintaining and operating the housing for purposes for which the loan is made. Loans may be made in communities up to 10,000 people in MSA areas and some communities up to 20,000 population in non-MSA areas. Applicants in towns of 10,000 to 20,000 should check with their local Rural Development office to determine if the agency can serve them. Assistance is available to eligible applicants in States, Puerto Rico, the Virgin Islands, Guam, American Samoa, the Northern Mariana's, and the Trust Territory of the Pacific Islands.

Beneficiary Eligibility:

Occupants must be very low-, low- or moderate-income families households, elderly, handicapped, or disabled persons.

Credentials/Documentation:

Applicants must furnish evidence of the following: (a) A comprehensive market analysis showing the need for such service; (b) a legal capacity to incur the obligation and operate the housing; (c) a sound budget; (d) good credit history; (e) inability to obtain necessary funds from other sources on terms and conditions that would enable the applicants to rent the units for amounts that are within payment ability of eligible very low, low-, or moderate-income family, households, elderly, handicapped, or disabled occupants. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact statement is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. The Section 515 Program is awarded through a Notice of Funding Availability (NOFA) announced in the Federal Register.

Award Procedure:

Applications will be scored on the following factors: (1) the presence and extent of leveraged assistance for the units that will serve RHS income-eligible tenants at basic rents comparable to those if RHS provided full financing, computed as a percentage of the RHS total development cost. (0 to 30 points); (2) the units to be developed are in a Colonia, tribal land, EZ, EC, or REAP community, or in a place identified in the State Consolidated Plan or State Needs Assessment as a high need community for multifamily housing. ("State" refers to the State Government.) (20 points); (3) the loan request includes donated land meeting the provisions of 7 CFR section 3560.56(c)(1)(iv). (5 points); (4) in States where RHS has an on-going formal working relationship, agreement or Memorandum of Understanding (MOU) with the State to provide State resources (State funds, State RA, HOME funds, CDBG funds, or Low-Income Housing Tax Credits) for RHS proposals; or where the State provides preference or points to RHS proposals in awarding such State resources, 20 points will be provided to loan requests that include such State resources in an amount equal to at least 5 percent of the total development cost. (National office initiative) (20 points); (5) Presidentially Declared Disaster Area Pursuant to 7 CFR 3560.56(c)(1)(iii), points will be awarded if the property will be constructed or rehabilitated in a Presidentially declared disaster area in 2011. (10 points); and (6) Energy Initiatives, Properties may receive points for energy initiatives. (0 to 42 points).

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Within 30 days, States will review and score the applications and submit a list of applications in rank and point score order to the National Office. Following the State's submission of applications to the National Office, within 30 days the National Office will rank applications on a nation-wide basis and advise States of the results.

Appeals:

Applicant may request reconsideration on the basis of pertinent facts concerning the application.

Renewals:

Applicants may reapply at next Notice of Funding Availability (NOFA).

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Loans are for up to 30 years at an effective 1 percent interest rate, and are amortized over 50 years. A current rate is used for the promissory note but thereafter is used only to determine maximum rent payments. Tenants pay basic rent or 30 percent of adjusted income, whichever is greater. RHS rental assistance subsidy can be used to limit tenant payment to 30 percent of their income. Loans made through contracts entered into on or after December 15, 1989 cannot be prepaid. Method of awarding/releasing assistance: lump sum.

Reports:

Program reports are not applicable. Cash reports are not applicable. Monthly progress reports are to be made to the Rural Development Manager, during the first six months of successful operation and each month thereafter until discontinued by the Rural Development Manager. Annual reports required thereafter. Expenditure reports are not applicable. Annual reports required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a

year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Good business records required.

Account Identification:

12-2081-0-1-371; 12-4141-0-3-371.

Obligations:

(Direct Loans) FY 12 Not Separately Identifiable; FY 13 est \$31,300,000; and FY 14 est \$28,400,000

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices. Refer to the NOFA which lists Offices to contact or consult your local telephone directory for Rural Development county or district office number. If no listing, get in touch with appropriate Rural Development State office listed in Appendix IV of the Catalog or on the internet at http://www.rurdev.usda.gov/recd_map.html.

Headquarters Office:

Director, Multi-Family Housing Processing Division, Rural Development Department of Agriculture, Washington, District of Columbia 20250 Phone: (202) 720-1604.

Website Address:

<http://www.rurdev.usda.gov>.

RELATED PROGRAMS:

10.405 Farm Labor Housing Loans and Grants; 10.427 Rural Rental Assistance Payments

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.417 VERY LOW-INCOME HOUSING REPAIR LOANS AND GRANTS

(Section 504 Rural Housing Loans and Grants)

FEDERAL AGENCY:

Rural Housing Service, Department of Agriculture

AUTHORIZATION:

Housing Act of 1949, Title V, Section 504, as amended, Public Laws 89-117, 89-754, and 92.310, 42 U.S.C. 1474.

OBJECTIVES:

Section 504 loans and grants are intended to help very low-income owner-occupants in rural areas repair their properties.

TYPES OF ASSISTANCE:

DIRECT LOANS; PROJECT GRANTS

USES AND USE RESTRICTIONS:

Loan funds may be used to make general repairs and improvements to properties or to remove health and safety hazards. Grant funds may be used only to pay for the costs for repairs and improvements that will remove identified health and safety hazards or to repair or remodel dwellings to make them accessible for household members with disabilities. Loans and grants are typically used for repair or replacement of heating, plumbing or electrical services, roof or basic structure as well as water and waste disposal systems, and weatherization. Loans bear an interest rate of one percent and are repaid over a period up to 20 years. In addition to the above purpose, loan funds may be used to modernize the dwelling. Maximum loan amount cannot exceed a cumulative total of \$20,000 to any eligible person and maximum lifetime grant

assistance is \$7,500 to any eligible person. The house must be located in an eligible rural area which does not exceed 10,000 population. Some places with populations between 10,000 and 25,000 may be eligible if not within a Metropolitan Statistical Area (MSA). Assistance is available in States, the Commonwealth of Puerto Rico, the U.S. Virgin Islands, Guam, American Samoa, the Commonwealth of Northern Mariana's and the Trust Territories of the Pacific Islands.

Applicant Eligibility:

Applicants must own and occupy a home in a rural area; and be a citizen of the United States or reside in the United States after having been legally admitted for permanent residence or on indefinite parole. Loan recipients must have sufficient income to repay the loan. Grant recipients must be 62 years of age or older and be unable to repay a loan for that part of the assistance received as a grant. Applicant's income may not exceed the very low-income limit set forth in RD Instructions.

Beneficiary Eligibility:

Applicants must own and occupy a home in a rural area; and be a citizen of the United States or reside in the United States after having been legally admitted for permanent residence or on indefinite parole. Loan recipients must have sufficient income to repay the loan. Grant recipients must be 62 years of age or older and be unable to repay a loan for the part of the assistance received as a grant. Applicant's income may not exceed the very low-income limit set forth in RD Instructions.

Credentials/Documentation:

Evidence of ownership and verification of income and debts. Grant recipients must provide evidence of age. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Applicants must file an application form at the Rural Development field office serving the county where the dwelling is located.

Award Procedure:

Delegated to Local or Area Loan Approval Official.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 30 to 60 days from time applications are filed if no backlog of applications exists. Applications for assistance far exceed available funding. There is generally a waiting list for funding.

Appeals:

Agency regulations providing customers with the rights for an informal review, mediation or alternative dispute resolution (ADR), or appeal to the National Appeals Division (NAD) are contained in 7 CFR Part 3550. NAD regulations are found at 7 CFR Part 11. Requests for an informal review, mediation and ADR must be received within 30 days from the date of the adverse decision. Requests for an appeal to NAD must be received within 30 days of receipt of the adverse decision.

Renewals:

Applicants may reapply at any time.

Formula and Matching Requirements:

This program has no statutory formula. This program has no matching requirements. The following basic formula criteria are to allocate program loan funds to various States: $(A \times .50 + B \times .50) \times \text{funds available} = \text{State basic formula allocation}$. Where "A" is State's percentage of national number of rural occupied units; and "B" is State's percentage of national number of rural households below 50 percent of area median income. The formula for grants is: $(A \times 1/3 + B \times 1/3 + C \times 1/3) \times \text{funds available} = \text{State basic allocation}$. Where "C" is State's percentage of national

rural population 62 years of age and older. The data source for each of these criteria are based on the latest census data available.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

This assistance is available throughout the year by means of an established allocation system. Funds are usually released to borrowers/grantees as a lump sum payment for completed repairs or, as needed, for repairs in progress. See the following for information on how assistance is awarded/released: Contact regional office or headquarters as appropriate for additional information.

Reports:

No reports are required.

Audits:

No audits are required for this program.

Records:

Borrowers and/or grantees are not required to, but should retain copies of loan or grant-related documents.

Account Identification:

12-2081-0-1-371; 12-4141-0-3-371; 12-2064-0-1-604.

Obligations:

(Direct Loans) FY 12 \$9,998,400; FY 13 est \$24,510,951; and FY 14 est \$26,300,000 - Fiscal Year 2014 estimates are based on the President's Proposed Budget. (Project Grants) FY 12 \$30,315,811; FY 13 est \$26,800,575; and FY 14 est \$25,000,000 - Fiscal Year 2014 estimates are based on the President's Proposed Budget.

Range and Average of Financial Assistance:

FY 2012 average loan amount is \$5,341. FY 2012 average grant amount is \$5,908.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 3550, Direct Single Family Housing Loans and Grants. (no charge); Home Improvement and Repair Loans, PA-1184, no charge.

Regional or Local Office:

See Regional Agency Offices. Consult your local telephone directory under Department of Agriculture for Rural Development county or district office numbers or visit the website <http://offices.sc.egov.usda.gov/locator/app> for a State Office listing.

Headquarters Office:

Myron L. Wooden, 1400 Independence Avenue, SW, Washington, District of Columbia 20250 Email: Myron.Wooden@wdc.usda.gov Phone: (202) 720-4780.

Website Address:

<http://www.rurdev.usda.gov>.

RELATED PROGRAMS:

10.410 Very Low to Moderate Income Housing Loans

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.420 RURAL SELF-HELP HOUSING TECHNICAL ASSISTANCE

(Section 523 Technical Assistance)

FEDERAL AGENCY:

Rural Housing Service, Department of Agriculture

AUTHORIZATION:

Housing Act of 1949, as amended, Section 523, Public Laws 89-117 and 89-754, 42 U.S.C. 1490c.

OBJECTIVES:

To provide Self-Help Technical Assistance Grants to provide financial assistance to qualified nonprofit organizations and public bodies that will aid

needy very low and low-income individuals and their families to build homes in rural areas by the self help method. Any State, political subdivision, private or public nonprofit corporation is eligible to apply. Section 523 Grants are used to pay salaries, rent, and office expenses of the nonprofit organization. Pre-development grants up to \$10,000 may be available to qualified organizations.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Eligible organizations may use technical assistance funds to hire the personnel to carry out a technical assistance program for self-help housing in rural areas; to pay necessary and reasonable office and administrative expenses; to purchase or rent equipment such as power tools for use by families participating in self-help housing construction; and to pay fees for training self-help group members in construction techniques or for other professional services needed. Funds will not be used to hire personnel to perform any construction work, to buy real estate or building materials, or pay any debts, expenses or costs other than previously outlined for participating families in self-help projects.

Applicant Eligibility:

Must be a State or political subdivision, public nonprofit corporation or a private nonprofit corporation. Assistance is authorized for eligible applicants in the United States, Puerto Rico, Virgin Islands, Guam, and the Northern Marianas.

Beneficiary Eligibility:

Very low and low-income rural families, usually in groups of 6 to 10 families.

Credentials/Documentation:

Applicants must furnish evidence of the need for such services, have legal capacity to incur the obligation and operate the proposed project, and be unable to obtain the necessary funds from other sources. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. An environmental impact assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Form SF-424 is submitted to the Field office of the Rural Development (RD), including information attached to Part IV, fully documenting the applicant's experience, need for the grant and the proposed amount needed. Attachments relating to the size of the proposed project, estimated cost, budget and need are also required. This program is subject to provisions of Departmental Regulation 3015 and 3016.

Award Procedure:

Award is made by the National office.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 60 to 190 days from the time discussion begins.

Appeals:

Agency regulations providing customers with the rights for an informal review, mediation or alternative dispute resolution (ADR), or appeal to the National Appeals Division (NAD) are contained in 7 CFR Part 3550. NAD regulations are found at 7 CFR Part 11. Requests for an informal review, mediation and ADR must be received within 30 days from the date of the adverse decision. Requests for an appeal to NAD must be received within 30 days of receipt of the adverse decision.

Renewals:

Applicants may reapply at any time, if needed to help low-income families

build homes in rural areas by the mutual self-help method is clearly evidenced.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants are typically for a 2-year period, however, other time periods may be authorized if appropriate. Applications for assistance far exceed available funding. There is generally a waiting list for funding. See the following for information on how assistance is awarded/released: Award is made by the National Office.

Reports:

No program reports are required. No cash reports are required. Quarterly progress reports are to be made to the RD-Housing Programs District Office. No expenditure reports are required. No performance monitoring is required.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. Annual audits should be made as part of the recipient's systems of financial management and internal control to meet terms and conditions of grants and other agreements.

Records:

Adequate operating records must be maintained by Grantee.

Account Identification:

12-2006-0-1-604.

Obligations:

(Project Grants) FY 12 \$32,511,117; FY 13 est \$30,000,000; and FY 14 est \$10,000,000 - Fiscal Year 2014 estimates are based on the President's proposed budget.

Range and Average of Financial Assistance:

FY 2012 average grant assistance \$637,472.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Subpart I of Part 1944; Chapter XVIII; RD Instruction 1944-I, "Self-Help Technical Assistance Grants"; (PA 1254) (no charge).

Regional or Local Office:

See Regional Agency Offices. Consult your local telephone directory under Department of Agriculture for Rural Development county or district office numbers or visit the website <http://offices.sc.gov.usda.gov/locator/app> for a State Office listing.

Headquarters Office:

Myron L. Wooden 1400 Independence Avenue, SW, Washington, District of Columbia 20250 Email: Myron.Wooden@wdc.usda.gov Phone: 2027204780

Website Address:

<http://www.rurdev.usda.gov>.

RELATED PROGRAMS:

10.410 Very Low to Moderate Income Housing Loans

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The criteria for selecting proposals are the evidence of a clearly defined need in an area for mutual self-help housing and the families in the area cannot afford or obtain a modest house by customary methods, and the applicant possesses the expertise to provide the technical assistance (TA) required.

10.421 INDIAN TRIBES AND TRIBAL CORPORATION LOANS

FEDERAL AGENCY:

Farm Service Agency, Department of Agriculture

AUTHORIZATION:

Farm and Rural Development Act. Agriculture Act of 1961, Title III, Public

Law 87-128.

OBJECTIVES:

To enable federally recognized Indian tribes and tribal corporations to acquire land within tribal reservations and Alaskan communities.

TYPES OF ASSISTANCE:

DIRECT LOANS

USES AND USE RESTRICTIONS:

Loan funds may be used to acquire land and interest therein for the benefit and use of the tribe or its members for purposes such as rounding out farming and ranching units or elimination of fractional heir ships. Funds may also be used for incidental costs connected with land purchase such as appraisals, title clearance, legal services, land surveys, and loan closing. Loan funds may be used to refinance non-United States Department of Agriculture preexisting debts that applicant incurred to purchase land subject to certain conditions. Loan funds cannot be used for any improvement or development purposes, acquisition or repair of buildings or personal property, payment of operating costs, payment of finder's fees, or similar costs.

Applicant Eligibility:

Limited to any Indian tribe recognized by the Secretary of the Interior or tribal corporation established pursuant to the Indian Reorganization Act or community in Alaska incorporated by the Secretary of Interior pursuant to the Indian Reorganization Act which does not have adequate uncommitted funds to acquire lands within the tribe's reservation or in a community in Alaska. The tribe must be unable to obtain sufficient credit elsewhere at reasonable rates and terms and must be able to show reasonable prospects of repaying the loan as determined by an acceptable repayment plan and a satisfactory management plan for the land being acquired.

Beneficiary Eligibility:

American Indian Tribe or tribal corporation recognized by the Secretary of the Interior, or a community in Alaska incorporated by the Secretary of the Interior.

Credentials/Documentation:

Credentials provided by the Secretary of the Interior. Feasibility documentation must be supplied by the applicant. This program is excluded from coverage under OMB Circular No. A-87. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Application Form FSA 770-2620 will be submitted to the State FSA offices. This program is subject to the provisions of OMB Circular No. A-110.

Award Procedure:

After an application has been recommended by Agency loan specialist, the State Executive Director has final approval authority on loans up to \$500,000. Loans in excess of that amount require the National office approval. Following approval, payment authorization is forwarded to the National Finance Office which issues the check.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 30 to 60 days. From 30 to 90 days.

Appeals:

From 30 to 60 days. If an application is rejected, the reasons for rejection are fully stated and appropriate appeal rights given. The applicant may reapply at any time that the reasons for rejection are corrected. If not satisfied with the State Executive Director's action, the applicant is given an opportunity to appeal the decision to the National Appeals Division decision making process. The applicant may in the final step of the appeals process, ask for a review of the decision by the Director of the National Appeals Division, Washington, DC.

Renewals:

Not applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Loans may be scheduled over a period not to exceed 40 years. Method of awarding/releasing assistance: lump sum.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

Records and accounts are required to reflect the operations of the project.

Account Identification:

12-4212-0-3-351 - Direct Loans Financing Account; 12-1140-0-1-351.

Obligations:

(Direct Loans) FY 12 \$0; FY 13 est \$2,000,000; and FY 14 est \$2,000,000 - No loans were made in FY 2012.

Range and Average of Financial Assistance:

No Current Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Bob bonnet USDA-FSA-DAFLP-LMD
1400 Independence Ave, SW, Washington, District of Columbia 20250-0522
Email: bob.bonnet@wdc.usda.gov Phone: 202-720-3889 Fax: 202-690-1117

Website Address:

<http://www.fsa.usda.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.427 RURAL RENTAL ASSISTANCE PAYMENTS

(Rental Assistance)

FEDERAL AGENCY:

Rural Housing Service, Department of Agriculture

AUTHORIZATION:

Housing Act of 1949, as amended, Section 521, Public Laws 90-448 and 93-128, 42 U.S.C. 1490a.

OBJECTIVES:

To reduce the tenant contribution paid by low-income families occupying eligible Rural Rental Housing (RRH), Rural Cooperative Housing (RCH), and Farm Labor Housing (LH) projects financed by the Rural Housing Service (RHS) through its Sections 515, 514 and 516 loans and grants.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

Rental assistance may be used to reduce the rents paid by low-income senior citizens or families and domestic farm laborers and families whose rents exceed 30 percent of an adjusted annual income. Tenants who may be eligible must

occupy units in eligible RRH, RCH and LH projects financed by RHS.

Applicant Eligibility:

To be eligible to participate in the rental assistance program, borrowers must have an eligible project. All projects must convert to Interest Credit Plan II before they are eligible, except direct RRH and insured RRH loans approved prior to August 1, 1968, and LH loans and grants. For a borrower to have an eligible project, the loan must be an RRH insured or direct loan made to a broad-based nonprofit organization, or State or local agency or; an RRH insured loan made to an individual or organization who has or will agree to operate the housing on a limited profit basis as defined in 7 CFR 3560.254 (a) or; an RCH insured or direct loan or; an LH loan, or an LH loan and grant combination made to a broad-based nonprofit organization or nonprofit organization of farm workers or a State or local public agency. New construction and/or rehabilitation projects, utilizing the Section 8 program from HUD will not be considered eligible projects, although it may be used for eligible families in existing projects utilizing Section 8 for part of the units.

Beneficiary Eligibility:

Any very low and low-income family, handicapped or senior citizen that is unable to pay the approved rental rate for an eligible RHS rental assistance unit within 30 percent of their adjusted monthly income. Households eligible for rental assistance are those 1) whose net tenant contribution to rent, determined in accordance with 3560.203 (a)(2), is less than the basic rent for the unit; 2) who meet the occupancy rules established by the borrower in accordance with 3560.155 (e); and 3) who have a signed, unexpired tenant certification form on file with the borrower. Additional eligibility requirements must be met for Section 514 Farm Labor Housing Program occupancy.

Credentials/Documentation:

The applicant must be the owner or plan to become an owner of an eligible RHS RRH, RCH, or LH project operating on a limited profit or nonprofit basis. This program is excluded from coverage under 7 CFR 3015 or 3016. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. An environmental impact assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Eligible borrowers will initiate the processing by submitting Form RD 3560-25, "Request for Rental Assistance". Applications shall then be reviewed under the procedure set forth in 7 CFR 3560.255. This program is excluded from coverage under 7 CFR 3015 or 3016.

Award Procedure:

After the application has been reviewed by the Rural Development Manager, it is given final approval by the State Director. The State Director will determine the number of rental assistance units to be given from the total of units that were allocated to the State. Projects may be eligible for rental assistance for up to 100 percent of the number of units in the project.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 90 days from the time Form RD 3560-25, "Request for Rental Assistance" is filed.

Appeals:

Applicant may request reconsideration on the basis of pertinent facts concerning the application under the provisions of RD Instruction 1900-B.

Renewals:

Rental assistance contracts for new as well as existing projects may be renewed at the end of the original contract subject to the availability of funds.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. The statistical factors used for fund allocation are: (1) State percentage of RHS rural population and the source is "2000 Census" updated by ERS; (2) State percentage of RHS rural housing units lacking plumbing and/or overcrowded and the source is "2000 Census"; and (3) State percentage of persons in poverty living in RHS rural areas and the source is "2000 Census".

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Rental assistance contracts shall be funded subject to the availability of funds and appropriation language. See the following for information on how assistance is awarded/released: See above.

Reports:

The borrower must initially submit Form RD 3560-8, "Tenant Certification," for each tenant. Subsequent tenant certifications must be obtained annually and submitted to the Rural Development Manager. Quarterly progress reports are to be made to the Rural Development Manager during the first year of successful operation and each quarter thereafter until discontinued by the Rural Development Manager. An annual report will also be necessary. No cash reports are required. No progress reports are required. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Borrowers not covered by OMB Circular No. A-133, are required to provide annual financial reports in accordance with 7 CFR 3560.308.

Records:

Adequate operating records must be maintained by borrower.

Account Identification:

12-0137-0-1-604; 12-2002-0-1-604.

Obligations:

(Direct Payments for Specified Use) FY 12 \$904,652,992; FY 13 est \$835,981,000; and FY 14 est \$1,015,000,000

Range and Average of Financial Assistance:

From 30 to 90 days from the time Form RD 3560-25, "Request for Rental Assistance is filed.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 3560, Direct Multi-Family Housing Loans and Grants.

Regional or Local Office:

See Regional Agency Offices. Consult your local telephone directory under U.S. Department of Agriculture for Rural Development county or district office numbers or visit the website <http://offices.sc.gov.usda.gov/locator/app> for a State Office listing.

Headquarters Office:

Director, Multi-Family Housing Portfolio Management Division, Rural Housing Service U.S. Department of Agriculture, Washington, District of Columbia 20250 Phone: 202-720-1615

Website Address:

<http://www.rurdev.usda.gov>.

RELATED PROGRAMS:

10.405 Farm Labor Housing Loans and Grants; 10.415 Rural Rental Housing Loans

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.433 RURAL HOUSING PRESERVATION GRANTS

Rural Housing Preservation Grants

FEDERAL AGENCY:

Rural Housing Service, Department of Agriculture

AUTHORIZATION:

Housing Act of 1949, as amended, Section 533, Public Law 98-181, 42 U.S.C. 1480.

OBJECTIVES:

To assist very low- and low-income rural residents individual homeowners, rental property owners (single/multi-unit) or by providing the consumer cooperative housing projects (co-ops) the necessary assistance to repair or rehabilitate their dwellings. These objectives will be accomplished through the establishment of repair/rehabilitation, projects run by eligible applicants. This program is intended to make use of and leverage any other available housing programs which provide resources to very low and low-income rural residents to bring their dwellings up to development standards.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Organizations may use less than 20 percent of the Housing Preservation Grant funds for program administration purposes, such as to hire the personnel to carry out a project of housing rehabilitation to meet the needs of very low and low-income persons in rural areas; to pay necessary and reasonable office and administrative expenses; and to pay reasonable fees for training of organization personnel. Eighty percent or more of funds must be used for loans, grants or other assistance on individual homes, homeowners, rental properties or co-ops to pay any part of the cost for repair or rehabilitation of structures; funds may not be used to hire personnel to perform construction or to pay any debts, expenses or costs other than previously outlined and approved in the project application.

Applicant Eligibility:

Must be a State or political subdivision, public nonprofit corporation, Indian tribal corporations, authorized to receive and administer housing preservation grants, private nonprofit corporation, or a consortium of such eligible entities. Applicants must provide assistance under this program to persons residing in open country and communities with a population of 10,000 that are rural in character and places with a population of up to 20,000 under certain conditions. Applicants in towns with population of 10,000 to 20,000 should check with local Rural Development office to determine if the Agency can serve them. Assistance is authorized for eligible applicants in the United States, Puerto Rico, Virgin Islands, and the territories and possessions of the United States.

Beneficiary Eligibility:

Very low and low-income rural individuals and families who are homeowners and need resources to bring their housing up to code standards, rental property owners, or co-ops.

Credentials/Documentation:

Applicants must have the financial, legal, administrative, and operational capacity to carry out the objectives of the program by having experience in rural housing rehabilitation. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. The Section 533 program is awarded through a Notice of Funding Availability (NOFA) announced in the Federal Register.

Award Procedure:

Award is made by the Rural Development State Director.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Notice of action taken on preapplications will be generally within 60 days of final date of acceptance of preapplication.

Appeals:

Applicants may request reconsideration on the basis of pertinent facts concerning their application within 30 days of notification of action taken on the preapplication or application.

Renewals:

Applicants may apply for an additional HPG grant when they have achieved or nearly achieved the goals established for the previous or existing grant. The grantee must file a preapplication for the current fiscal year which will be processed and compared under the project selection criteria to others submitted at that time.

Formula and Matching Requirements:

Statutory Formula: Title 7 CFR 1940-L Methodology and Formulas for Allocation of Loan and Grant Funds.

This program has no matching requirements.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants are made for a 12-month period. Agency consent is required for grants that exceed 1 year. See the following for information on how assistance is awarded/released: The highest-ranking applicant(s) will be selected based on allocation of funds available to the state. Points are awarded based on: (1) percentage of very low-income persons that the applicant proposes to assist (2) percentage of HPG funds (excluding administrative costs) to total fund (3) demonstration of administrative capacity in assisting very low- and low-income persons to obtain adequate housing based on the organization or a member of its staff having at least one or more years experience successfully managing and operating; (a) a rehabilitation or weatherization type program, (b) a program assisting very low and low-income persons obtain housing assistance, (c) grant programs, there are no outstanding or unresolved audit or investigative findings which might impair carrying out the proposal. (4) The proposed program will be undertaken entirely in rural areas outside Metropolitan Statistical Areas (MSAs), as defined in 7 CFR 1944.656. (5) The program will use less than 20 percent of HPG funds for administration purposes. (6) The proposed program contains a component for alleviating overcrowding as defined in 7 CFR 1944.656. (7) In the event more than one preapplication receives the same amount of points, those pre-applications will then be ranked based on the actual percentage figure used for determining the points as described in the NOFA published in the Federal Register.

Reports:

Not Applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Periodic audits should be made as part of the recipient's system of financial management and internal control to meet terms and conditions of grants and other agreements.

Records:

Grantees shall maintain adequate records and accounts to assure that grant funds are used for authorized purposes.

Account Identification:

12-2070-0-1-604.

Obligations:

(Project Grants) FY 12 \$4,600,000; FY 13 Not Separately Identifiable; and FY 14 est \$0

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Funds assisted over 1,150 housing units. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR 1944-N, Section 1944.651 through 1944.700, RD Instruction 1944-N. Regulations are available from Rural Development State offices.

Regional or Local Office:

See Regional Agency Offices. Consult your local telephone directory under U.S. Department of Agriculture for Rural Development county or district office numbers or visit the website <http://offices.sc.egov.usda.gov/locator/app> for a State Office listing.

Headquarters Office:

Multi-Family Housing, Preservation and Direct Loan Division, Rural Development U.S. Department of Agriculture
1400 Independence Avenue, S.W., Washington, District of Columbia
20250-0788 Phone: 202-720-1604

Website Address:

<http://www.rurdev.usda.gov>.

RELATED PROGRAMS:

10.410 Very Low to Moderate Income Housing Loans; 10.417 Very Low-Income Housing Repair Loans and Grants; 10.420 Rural Self-Help Housing Technical Assistance; 14.108 Rehabilitation Mortgage Insurance

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Projects must provide a feasible repair rehabilitation program and serve areas with a concentration of substandard housing and very low and low-income persons. In addition, the following criteria will be considered in the selection of grant recipients. Each preapplication and its accompanying statement of activities will be evaluated on: (1) The percentage of very low-income persons assisted; (2) the percentage of use of HPG funds to total cost of housing preservation; (3) the applicant's administrative capacity and experience in (i) housing rehabilitation or weatherization, (ii) assisting very low and low-income persons attain housing assistance and (iii) prior programs no outstanding audits findings; (4) the proposed program will be undertaken in non-Metropolitan Statistical Areas identified by Rural Development as having populations below 10,000 or in remote parts of other rural areas, (i.e., rural areas contained in Metropolitan Statistical Areas with less than 5,000 population); (5) the program will minimize the use of grant funds for administrative purposes, i.e., less than 20 percent of grant funds; (6) the program will alleviate overcrowding in rural residences inhabited by very low and low-income families; and (7) if an existing grantee has met the objectives of its current grant.

10.435 STATE MEDIATION GRANTS**FEDERAL AGENCY:**

Farm Service Agency, Department of Agriculture

AUTHORIZATION:

Agricultural Credit Act of 1987.

OBJECTIVES:

To assist States in establishing and administering mediation programs and services for agricultural producers, creditors of producers (as applicable), and persons directly affected by actions of the Department of Agriculture.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grants are to be used for the operation and administration of a State's mediation program which has been certified by the Administrator of the Farm Service Agency (FSA) as meeting the requirements of Section 501 (c) of Title V of the

Agricultural Credit Act of 1987 (U.S.C. 510), as amended. A grant will not exceed 70 percent of the total fiscal year funds that a qualifying State requires to operate and administer its mediation program, or \$500,000, whichever is less. Eligible costs are limited to those that are reasonable and necessary to carry out the mission of the State's mediation program and may include activities related to the intake and scheduling of cases, the provision of background and selected information regarding the mediation process, financial advisory and counseling services, and the mediation session.

Applicant Eligibility:

State governments.

Beneficiary Eligibility:

Agricultural producers, creditors of producers (as applicable) and persons directly affected by actions of the Department of Agriculture.

Credentials/Documentation:

Have a mediation program which has been certified by the Administrator of FSA, and for which certification has not been withdrawn; provide evidence to justify the estimated costs of operating and administering the State's mediation program. The Governor of a State or head of an entity designated by the Governor of a State must make a written request to FSA on or before August 1 of each year to receive a matching grant during the fiscal year commencing on October 1, of that same year. Request for certification are accepted after August 1 of each year; however, the State will be considered in order of date received for reserved grant funds. Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments. To be certified as a qualifying State, the mediation program of the State must mediate issues involving agricultural loans (regardless of whether the loans are made or guaranteed by the Secretary of Agriculture or made by third party); and may provide mediation services for disputes involving wetlands determinations, compliance with farm programs including conservation programs, rural water loan programs, grazing on National forest system lands, pesticides, and such other issues, under the jurisdiction of the Secretary meeting the requirements of Section 501 of Title V of the Agricultural Credit Act of 1987 (7 U.S.C. 510), as amended. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. The standard application forms as furnished by the Federal agency and required by 7 CFR part 3016, must be used for this program. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

Grant funds will be distributed on a first come, first served basis to qualifying States. If, however, when funds for a fiscal year become available, there are not sufficient funds to give all qualifying States 70 percent of their justified estimated expenses for the fiscal year, the percentage allocation will be reduced so as to give all States the same percentage of their expenses. FSA views the use of grant funds to provide financial advisory or other services in an advocacy context to be inconsistent with the premise of a mediation program, which is to provide a neutral forum for resolution of disputes.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Thirty days from receipt of a complete application package.

Appeals:

If a certified State mediation program is available as part of the informal appeal hearing process, the participant will be offered the right to choose such mediation.

Renewals:

Section 506 of Title V of the Agricultural Credit Act of 1987, Public Law 100-233 and Section 1853 of the Food, Agricultural, Conservation and Trade

Act of 1990, Public Law 101-624, Section 22 of the Agricultural Credit Improvement Act of 1992, Public Law 102-554, Section 282 of the Federal Crop Insurance Reform and Department of Agriculture Reorganization Act of 1994, Public Law 103-354, and the United States Grain Standards Act of 2000, Public Law 106-472.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

From beginning to end of the Federal government's fiscal year. Grant will be an annual grant and will run concurrently with the Federal government's fiscal year; reimbursement by Treasury check. Method of awarding/releasing assistance: lump sum.

Reports:

No reports are required.

Audits:

No audits are required for this program.

Records:

Record keeping will conform to requirements of 7 CFR Part 3016.

Account Identification:

12-0170-0-1-351.

Obligations:

(Project Grants) FY 12 \$3,759,000; FY 13 est \$4,061,589; and FY 14 est \$3,782,000

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 1946 published on August 26, 1988, 53 FR 32597.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Courtney Dixon 1400 Independence Avenue. SW
Stop 0523, Washington,, District of Columbia 20250-0523 Email:
courtney.dixon@wdc.usda.gov Phone: 202-720-1360

Website Address:

<http://www.fsa.usda.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Certification by the Administrator, FSA, that mediation program meets the requirements of Section 501 (c) of Title V of the Agricultural Credit Act (Public Law 100-233) as amended; application meets requirements of FSA regulations to be implemented.

10.437 INTEREST ASSISTANCE PROGRAM

Interest Assistance Program (Guaranteed Operating Loans)

FEDERAL AGENCY:

Farm Service Agency, Department of Agriculture

AUTHORIZATION:

Consolidated Farm and Rural Development Act, as amended.

OBJECTIVES:

To provide a 4 percent subsidy to farmers and ranchers, who do not qualify for standard commercial credit. Guaranteed loans are serviced by a lender who has entered into a Lenders Agreement with the agency.

TYPES OF ASSISTANCE:

GUARANTEED/INSURED LOANS

USES AND USE RESTRICTIONS:

The Interest Assistance Program can be provided for Operating Loans (OL). Under the interest assistance program, FSA will subsidize 4 percent of the interest rate on loans to qualifying borrowers. Operating Loans (OL) may be used: (1) to finance livestock or farm equipment; (2) to pay annual operating expenses or family living expenses; or (3) to refinance debts under certain conditions. Lines of Credit (LOC) are operating loans for annual operating loan purposes. Funds may be advanced and repaid (revolve) throughout the year.

Applicant Eligibility:

Individuals, partnerships, or joint operations, legal resident aliens, corporations and cooperatives that meet the eligibility requirements for a guaranteed loan and are able to project the required cash flow margins with the aid of interest assistance.

Beneficiary Eligibility:

This program aids both the borrower and lender by providing 4 percent of the interest costs and receiving up to a 90 percent guarantee on the loan.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. The lender must submit to the agency on behalf of the applicant, all required forms to the FSA county office, where the proposed operation will be located. Contact the lender in your area for completion of all required forms. Additional information can be obtained by contacting the FSA office in your local area. Farm Service Agency offices are listed in the telephone directory under U.S. Government, Department of Agriculture. Visit FSA website at: www.fsa.usda.gov.

Award Procedure:

Not applicable.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

One to 30 days.

Appeals:

At the time of any rejection FSA will notify the lender and loan applicant of appeal rights and procedures.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Interest Assistance agreements will be granted for a term not to exceed 5 years from the date the first agreement was signed. Method of awarding/releasing assistance: lump sum.

Reports:

No reports are required.

Audits:

No audits are required for this program.

Records:

The type of records required will be on a case-by-case basis.

Account Identification:

12-4213-0-3-351 - ACIF Guranatee Financing Account; 12-1140-0-1-351.

Obligations:

(Guaranteed/Insured Loans) FY 12 \$0; FY 13 est \$0; and FY 14 est \$0 - Guaranteed Operating Loans with Interest Assistance: No funding was appropriated in FY 2013 and none was requested in the FY 2014 Budget.

Range and Average of Financial Assistance:

Maximum Lifetime limit for interest assistance: \$400,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 762 and FSA Handbook 2 FLP.
Farm Service Agency Fact Sheets.
FSA Brochure FSA-BR-01 "Your Guide to FSA Farm Loans".
above information is available on FSA website at: www.fsa.usda.gov.

Regional or Local Office:

None. Farm Service Agency State Offices can inform applicants of county office locations where applicants may apply for assistance. FSA State Office locations are listed in Appendix IV of the Catalog. Or visit the website at: <http://www.fsa.usda.gov>. FSA has more than 2,500 county offices serving every rural county in the United States.

Headquarters Office:

Bob Bonnet USDA FSA DAFLP LMD
1400 Independence Avenue, SW
, Washington, District of Columbia 20250-0522 Email:
bob.bonnet@wdc.usda.gov Phone: (202) 720-3889 Fax: (202) 690-1117

Website Address:

<http://www.fsa.usda.gov>.

RELATED PROGRAMS:

10.406 Farm Operating Loans; 10.407 Farm Ownership Loans

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.438 SECTION 538 RURAL RENTAL HOUSING GUARANTEED LOANS

Rural Rental Housing Guaranteed Loans

FEDERAL AGENCY:

Rural Housing Service, Department of Agriculture

AUTHORIZATION:

Housing Act of 1949, as amended, Section 538, Public Law 106-569, 42 U.S.C. 1490p-2.

OBJECTIVES:

This program has been designed to increase the supply of affordable multifamily housing in rural areas through partnerships between Rural Development and major lending sources, as well as State and local housing finance agencies and bond insurers. The program provides Federal credit enhancement to encourage private and public lenders to make new loans for affordable rental properties that meet program standards.

TYPES OF ASSISTANCE:

GUARANTEED/INSURED LOANS

USES AND USE RESTRICTIONS:

The guarantee will encourage the construction of new rural rental housing and appropriate related facilities. Housing as a general rule will consist of projects with five or more dwellings. The guarantee may not be made for nursing, special care or industrial type housing.

Applicant Eligibility:

The applicant in this program is the lender. The lender must be approved and currently active with Fannie Mae, Freddie Mac, HUD/FHA insurance

programs, Ginnie Mae or be a State or local Housing Finance Agency. A member of the Federal Home Loan Bank System or other lender may be able to participate if they can demonstrate satisfactory experience with multifamily lending.

Beneficiary Eligibility:

Occupants must be families or persons with income not in excess of 115 percent of the Median Income at the time of initial occupancy.

Credentials/Documentation:

Eligible borrowers include: individual partnerships; profit and not-for-profit corporations; limited liability companies; trusts; State and local agencies; and Indian Tribes. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

This program is subject to environmental review pursuant to the National Environmental Policy Act (NEPA) of 1969. An environmental impact assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. The lender originates the loan and performs the necessary underwriting and provides the documentation required by the RHS and request for guarantee to the RHS for consideration.

Award Procedure:

Rural Development will review all the applications for compliance with the program requirements and issue the Conditional Commitment of Guarantee with conditions. Once the conditions are met the final Contract of Guarantee will be issued.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Decisions on applications will normally be rendered within 60-120 calendar days of receipt of a complete application.

Appeals:

Applicants may appeal adverse decisions in accordance with 7 CFR Part 11, National Appeals Division.

Renewals:

None.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Not applicable. See the following for information on how assistance is awarded/released: The funding takes place as outlined in the Notice of Funding Availability.

Reports:

No reports are required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. As required by the lender and program regulations.

Records:

Good business records and any records required by the lender.

Account Identification:

12-0137-0-1-604.

Obligations:

(Guaranteed/Insured Loans) FY 12 \$104,255,495; FY 13 est \$150,000,000; and FY 14 est \$150,000,000

Range and Average of Financial Assistance:

Not Applicable.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

The Final Rule, 7 CFR 3565, became effective January 19, 2005. Also available in the Guaranteed Rural Rental Housing Program Origination and Servicing Handbook.

Regional or Local Office:

See Regional Agency Offices. Consult your local telephone directory under U.S. Department of Agriculture for Rural Development county or district office numbers or visit the website <http://offices.sc.egov.usda.gov/locator/app> for a State Office listing.

Headquarters Office:

Director, Multifamily Guaranteed Loan Division 1400 Independence Avenue SW, Washington, District of Columbia 20250 Phone: (202) 720-1604

Website Address:

<http://www.rurdev.usda.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Provided in the Notice of Funding Availability (NOFA).

10.443 OUTREACH AND ASSISTANCE FOR SOCIALLY DISADVANTAGED FARMERS AND RANCHERS

Outreach and Assistance for Socially Disadvantaged Farmers and Ranchers (OASDFR),

Outreach and Assistance for Socially Disadvantaged Farmers and Ranchers and Forest Landowners (OASDFRFL); USDA 2501 Grant Program

FEDERAL AGENCY:

Departmental Management, Department of Agriculture

AUTHORIZATION:

Food, Agriculture, Conservation, and Trade Act of 1990, Section 2501, Public Law 101-624, 7 U.S.C 2279.

OBJECTIVES:

The objective of the Outreach and Assistance for Socially Disadvantaged Farmers and Ranchers (OASDFR) program is to provide outreach, training, education, assistance, and technical assistance to encourage and assist socially disadvantaged farmers, ranchers and forest landowners in owning and operating farms, ranches and non-industrial forest lands. The intent of the competitive grant program (2501 program) is to communicate in a linguistically appropriate manner, to current and prospective socially disadvantaged farmers, ranchers and forest landowners about participating equitably in the full range of agricultural programs offered by the Department.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

To provide outreach, training, education, assistance, and technical assistance to socially disadvantaged farmers and ranchers on agricultural programs, emphasizing assistance that leads to participation in the full range of agriculture programs offered by the Department.

Applicant Eligibility:

As determined in 7 U.S.C. 2279, eligibility is defined as follows: 1890 Land-Grant Institutions, including Tuskegee University and West Virginia State College, Indian Tribal Community Colleges, Alaska Native Cooperative Colleges, Hispanic-serving post-secondary educational institutions, other accredited post-secondary educational institutions, and Indian tribes providing agricultural education or other agriculturally-related services to socially disadvantaged farmers and ranchers in their region, and community-based organizations that: (1) have demonstrated experience in providing agricultural education or other agriculturally related services to socially disadvantaged farmers and ranchers in their region; (2) provides documentary evidence of its past experience in working with socially disadvantaged farmers and ranchers during the 2 years preceding its application for assistance; and (3) does not engage in activities prohibited under Section 501 (c)(3) of the Internal Revenue Code of 1986. Also eligible are organizations or institutions that received funding under 7 U.S.C. 2279(a) before January 1, 1996, but only with respect to projects that the Secretary considers are similar to projects previously carried out by the organization or institution under such subsection.

Beneficiary Eligibility:

A farmer, rancher, or forest landowner who is a member of one or more of the following groups whose members have been subjected to racial or ethnic prejudice because of their identity as members of a group without regard to their individual qualities. Groups include, but are not limited to, African-Americans, American Indians, Alaskan Natives, Hispanics, Asians, and Pacific Islanders. The Secretary of Agriculture will determine on a case-by-case basis whether additional groups qualify under this definition, either at the Secretary's initiative or in response to a written request with supporting explanation.

Credentials/Documentation:

Applicants must have the financial, legal, administrative, and operational capacity to carry out the objectives of the program by having experience in agriculture. Costs will be determined in accordance with OMB Circular No. A-122 "Cost Principles for Nonprofit Organizations" and OMB Circular No. A-21, "Cost Principles for Educational Institutions", and as incorporated by USDA regulations (7 CFR parts 3015, 3016, 3019). Community-based organizations should provide a current certification of non-profit status in accordance with section 501(c)(3) of the Internal Revenue Code of 1986 and have dated Articles of Incorporation. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Formal proposals should be submitted as outlined in the request for applications or request for proposals. Funding opportunities will be announced on www.grants.gov.

Award Procedure:

Applications are reviewed and evaluated by the OASDFR Program staff members with the assistance and advice of a review panel of appropriate persons that are specialists who are qualified by training and experience in the fields covered by the applications. Applications are ranked and recommended in order of merit and funded to the extent permitted by available funds. Final approval for those applications selected will be made by the Assistant Secretary for Departmental Management, or appropriately redelegated.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. Notice of action taken on proposals will generally be within 90 days of final date of submission dateline.

Appeals:

As stipulated in OMB Circular No. A-110 Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education,

Hospitals, and other Nonprofit Organizations, OASDFR shall provide the recipient an opportunity for hearing, appeal, or other administrative proceeding to which the recipient is entitled under any statute or regulation applicable to the action involved. Procedures may differ for appeals concerning non-selected applications and appeals regarding post-award enforcement.

Renewals:

Renewal grants will not be issued. However, supplemental funding opportunities to continue work on existing grants may become available, and if so, corresponding application procedures will be announced on www.grants.gov. Otherwise, applicants that have previously received a grant, and have achieved the goals established for the previous grant, must reapply for an additional grant in response to a valid funding opportunity announced on www.grants.gov.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grants can be awarded from one to five years, as stipulated by requests for applications and requests for proposals, announced on www.grants.gov. See the following for information on how assistance is awarded/released: Grant payments will be administered through either the U.S. Department of Health and Human Services Payment Management System or the U.S. Department of Treasury ASAP System. Recipient drawdown procedures are to comply with 7 CFR 3019.22 and 7 CFR 3016.21.

Reports:

Quarterly financial and program performance/progress reports are due within 30 days after the reporting period. A final financial and program performance/progress report is required within 90 days of the expiration of each Grant Agreement, which shall include an evaluation of the activities under the Grant Agreement. Quarterly financial reports are due within 30 days after the reporting period. A final financial report is required within 90 days of the expiration of each Grant Agreement. Cash reports, if required by Grant Agreement and/or payment system terms and conditions, are to be completed using the SF-272. Quarterly financial and program performance/progress reports are due within 30 days after the reporting period. A final financial and performance/progress report is required within 90 days of the expiration of each Grant Agreement, which shall include an evaluation of the activities under the Grant Agreement. Requirements for performance/progress reports will be identified in Grant Agreement terms and conditions. Quarterly financial reports are due within 30 days after the reporting period. A final financial report is required within 90 days of the expiration of each Grant Agreement. Expenditure reports, if required by Grant Agreement and/or payment system terms and conditions, are to be completed using the SF-269 or SF-425. If required, specific instructions regarding performance monitoring requirements will be identified in Grant Agreement terms and conditions.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records must be retained at least three years; records must be retained beyond the three-year period if litigation is pending or audit findings have not been resolved. Record retention must comply with applicable Federal and USDA Uniform Administrative Requirements.

Account Identification:

12-9915-0-1-350.

Obligations:

(Project Grants) FY 12 \$19,044,059; FY 13 est \$0; and FY 14 est \$19,000,000 -

FY 2013 was not funded.

Range and Average of Financial Assistance:

FY 11: 52 recipients were awarded between \$100,000 and \$400,000. OASDFR expects to award approximately \$19 million FY 12 and FY 13. Funding is expected to be limited to \$400,000 per grant, per year. FY 13 Funding amounts are pending legislative action.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: 19,044,059. Fiscal Year 2013: \$0, OAO did not get any funding for FY 2013. Fiscal Year 2014: 19,000,000.

REGULATIONS, GUIDELINES, AND LITERATURE:

- All Applicants and Awardees
- 7 U.S.C. 2279
- 7 CFR 3015 Uniform Federal Assistance Regulations
- 7 CFR 3018 New Restrictions on Lobbying
- Higher Education Institutions
- 7 CFR 3019 Uniform Administrative Requirements
- 2 CFR PART 220 Federal Cost Principals
- Non-Profit Organizations
- 7 CFR 3019 Uniform Administrative Requirements
- 2 CFR PART 230 Federal Cost Principals
- State and Local Governments (Tribal)
- 7 CFR 3016 Uniform Administrative Requirements
- State, Local, and Indian Tribal Governments
- 2 CFR PART 225 Federal Cost Principals

Regional or Local Office:

See Regional Agency Offices. Contact appropriate USDA State Office listed in Appendix IV of the Catalog of Federal Domestic Assistance (CFDA).

Headquarters Office:

Carolyn Parker Whitten Building 520-A, Stop 9821
1400 Independence Avenue, SW, Washington, District of Columbia
20250-9821 Phone: 202-720-6350

Website Address:

<http://www.outreach.usda.gov/oasdf/>

RELATED PROGRAMS:

10.350 Technical Assistance to Cooperatives; 10.500 Cooperative Extension Service; 10.960 Technical Agricultural Assistance

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Grants in amount of \$ 19,044,059. Fiscal Year 2013: No projects awarded for FY 2013. Fiscal Year 2014: Projection for grants is 19,000,000.

CRITERIA FOR SELECTING PROPOSALS:

Applications are reviewed and evaluated by the OASDFR Program staff members with the assistance and advice of a review panel of appropriate persons that are specialists who are qualified by training and experience in the fields covered by the applications. Applications will be evaluated and then funding recommendations will be determined. Recommended applications will be funded to the extent permitted by available funds. Final approval for those applications selected will be made by Assistant Secretary for Departmental Management, or appropriately redelegated.

10.446 RURAL COMMUNITY DEVELOPMENT INITIATIVE (RCDI)

FEDERAL AGENCY:

Rural Housing Service, Department of Agriculture

AUTHORIZATION:

CONSOLIDATED AND FURTHER CONTINUING APPROPRIATIONS ACT, 2013, Public Law 113-6.

OBJECTIVES:

To develop the capacity and ability of private, nonprofit community-based housing and community development organizations, and low income rural communities to improve housing, community facilities, community and economic development projects in rural areas.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Rural Community Development Initiative grants may be used for but are not limited to (a) training sub-grantees to conduct a program on home-ownership education; (b) training sub-grantees to conduct a program for minority business entrepreneurs; (c) providing technical assistance to sub-grantees on how to effectively prepare a strategic plan; (d) provide technical assistance to sub-grantees on how to access alternative funding sources; (e) building organizational capacity through board training; (f) developing training tools, such as videos, workbooks, and reference guides to be used by the sub-grantee; (g) providing technical assistance and training on how to develop successful child care facilities; and (h) providing training on effective fundraising techniques.

Applicant Eligibility:

Rural Community Development Initiative grants may be made to a legally qualified private or public (including tribal) organization that provides technical assistance to nonprofit community-based housing and community development organizations, and low income rural communities. The grantee must provide a program of technical assistance to the recipient entity. The grantee must have been legally organized for a minimum of three years prior experience working with nonprofit organizations or low-income rural communities in the areas of housing, community facilities, or community and economic development. Assistance is authorized for eligible applicants in rural areas of the States, Puerto Rico, the Virgin Islands, Guam, American Samoa, the commonwealth of the Northern Mariana Islands, the Marshall Islands, the Republic of Palaw, and the Federated States of Micronesia.

Beneficiary Eligibility:

Recipient entities must be legally organized private, nonprofit community-based housing and community development organizations, low income rural communities, and Federally recognized Indian Tribes.

Credentials/Documentation:

Have the legal, financial, administrative, and operational capacity relative to the activity for which assistance is requested. Have necessary background and experience with proven ability to perform responsibly in the areas of housing, community facilities, or community and economic development. Have the ability to work within established guidelines. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Application procedures will be announced in the Federal Register and on the Grants.gov web site.

Award Procedure:

Award is made by the Administrator, Housing and Community Facilities Programs.

Deadlines:

Aug 14, 2013 to Nov 12, 2013 Announced in Federal Register.

Range of Approval/Disapproval Time:

As required, 90 days to 120 days.

Appeals:

If an application is rejected, the reasons for rejection are fully stated. The applicant may request a review of the decision from the National Appeals Division, USDA.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: The grantee must provide matching funds at least equal to the amount of the grant in the form of cash or committed funding. (No

in-kind contributions).

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Proposals must be structured to utilize the Rural Community Development Initiative grant funds within 3 years from the execution of the Rural Community Development Initiative grant agreement. See the following for information on how assistance is awarded/released: Applications received in accordance with the Federal Register Notice of Funding Availability are evaluated and the highest scoring applicants are awarded grants subject to the availability of appropriated funds. Contact regional office or headquarters as appropriate for additional information.

Reports:

No program reports are required. No cash reports are required. No progress reports are required. Grantee submits report detailing expenditures and progress as required by the grant agreement. The grantee will perform self-evaluations by preparing quarterly financial and project performance reports in accordance with the grant agreement for RCDI.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

The borrower must maintain adequate records and accounts related to the technical assistance performed.

Account Identification:

12-2081-0-1-371; 12-4215-0-3-371.

Obligations:

(Project Grants) FY 12 \$8,958,655; FY 13 est \$5,676,000; and FY 14 est \$0

Range and Average of Financial Assistance:

FY 2012 \$50,000 to \$215,000. Average \$169,031.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Administrative Regulations 7 CFR 3015, 7 CFR 3016, 7 CFR 3019, 7 CFR 3052; H.R. 5426 Guidelines announced in NOFA, published in the Federal Register.

Regional or Local Office:

See Regional Agency Offices. Consult your local telephone directory for Rural Development State Office numbers. If no listing, contact the appropriate Rural Development State Office found on the internet at <http://www.rurdev.usda.gov>.

Headquarters Office:

Deputy Administrator, Community Programs, Department of Agriculture, Rural Development, Stop 0788
1400 Independence Avenue, S.W., Washington, District of Columbia
20250-0788 Phone: (202) 720-1490.

Website Address:

http://www.rurdev.usda.gov/HAD-RCDI_Grants.html

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications that are received are competitively scored and the highest scoring are awarded grants until appropriated funds are exhausted. Factors considered for project selection include but are not limited to median household income, rural population, and points for evaluation criteria published in the notice of funding availability (NOFA).

10.447 THE RURAL DEVELOPMENT (RD) MULTI-FAMILY HOUSING REVITALIZATION DEMONSTRATION PROGRAM (MPR)

(Restructuring Program)

FEDERAL AGENCY:

Rural Housing Service, Department of Agriculture

AUTHORIZATION:

Housing Act of 1949, as amended, Sections 514/516 and 515, and The Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2008 (Public Law 110-161).

OBJECTIVES:

To preserve and revitalize existing rural rental housing and farm labor housing projects financed by RHS under Section 515 and Sections 514/516 of the Housing Act of 1949 and to ensure that sufficient resources are available in order to continue to provide safe and affordable housing for very low- and low-income residents.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds will be used to meet the physical needs of rental and farm labor housing properties financed under Section 515 and Sections 514/516 of the Housing Act of 1949. Related soft costs are also eligible. Owners or buyers are required to agree to a Restrictive Use Covenant for 20 years or the remaining term of any loans or the remaining term of any existing restrictive-use provisions whichever ends later. This ensures the property will be used for low-income housing as defined by the Housing Act of 1949.

Applicant Eligibility:

Owners or buyers of financially viable Section 515 financed rental or Section 514/516 labor housing properties.

Beneficiary Eligibility:

Low-income rural residents needing safe, decent, and sanitary rental housing are eligible.

Credentials/Documentation:

Applicants must provide a Capital Needs Assessment (CNA) to identify the physical needs of the property as well as the estimated cost to make the needed repairs over a 20-year period. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Multi-Family Housing Revitalization Demonstration Program (MPR) Pre-application is available electronically at http://www.rurdev.usda.gov/RD_NOFAs.html or through contacting the RD State Office in the state where the project is located. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. The MPR program is awarded through a Notice of Funding Availability (NOFA) announced in the Federal Register.

Award Procedure:

Pre-applications will be scored on the following factors: (1) Contribution of funds from other sources; (2) Owner contribution sufficient to pay transaction costs; (3) Age of Project; (4) Transfer and revitalization of troubled projects; (5) Prior Agency approved CNAs; (6) Energy Conservation, Energy Generation, and Green Property Management; (7) New tenant services to be provided by a non-profit organization at no cost to the project and that are available to all tenants; (8) Consolidation of project Operations; (9) Proposed Sale to Non-profit/Public Housing Authority for properties sold to non-profit organizations under the prepayment process, as explained in 7 CFR Part 3560, subpart N.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Within 45 days from Pre-application submission, pre-applications will be scored and ranked, eligibility will be confirmed, and applicants will be notified of selection for participation and requested to submit full applications.

Appeals:

Applicant may request reconsideration on the basis of pertinent facts concerning the application.

Renewals:

Non selected applicants may reapply under the next Notice of Funding Availability (NOFA).

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Debt deferral is the lesser of the remaining term of the existing loan or 20 years. A balloon payment of accrued principal and interest will be due at the end of the deferral period. A revitalization grant for non-profit applicants/borrowers only is limited to the cost of correcting health and safety violations as identified by a CNA. A revitalization zero percent loan will be amortized over 30 years. A soft-second loan with a one percent interest rate will have its interest and principal deferred, to a balloon payment, due at the time the latest maturing Section 514 or 515 loan becomes due. An additional 30-year Section 515 loan at an effective one percent interest rate amortized over a period not to exceed 50 years. An additional 33-year Section 514 loan at an effective one percent interest rate amortized over a period not to exceed 33 years. An additional Section 516 grant not to exceed the lesser of 90 percent of the total development cost, or that portion of the total development cost which exceeds the sum of any amount provided by the applicant from their own resources plus the amount of any Section 514 loans approved for the applicant. See the following for information on how assistance is awarded/released: Typically, participants compete for funding via the NOFA; a selection process ensues based upon set criteria; applications are ranked and scored nationally. Selections are made based on the project type, ranking and scoring. States are given limits on how many applications are selected with a contingency for fall out.

Reports:

Tracking and monitoring reports are required for Rural Rental and Farm Labor Housing. Since this is a Demonstration Program, procedures for tracking and monitoring expenditures are under development. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. Quarterly or annual financial statements completed using agreed-upon procedures and performance standards described in the RHS Multi-Family Housing Audit Program.

Records:

Business records must be retained.

Account Identification:

12-2081-0-1-371.

Obligations:

(Project Grants) FY 12 Not Separately Identifiable; FY 13 Not Separately Identifiable; and FY 14 Not Separately Identifiable

Range and Average of Financial Assistance:

The underwriting guidelines include, but are not limited to, the following: The maximum soft-second loan will be limited to no more than \$5,000 per unit; revitalization grants limited to \$5,000 per unit; total assistance provided from a revitalization grant, revitalization zero percent loan, and/or a soft-second loan is limited to \$10,000 per unit; and the maximum Section 515 loan or Section 514/516 loan and grant is limited to no more than \$20,000 per unit.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices. Refer to the NOFA which lists contacts at the National Office and consult your local telephone directory for Rural Development District or State Office numbers or visit the website <http://offices.sc.egov.usda.gov/locator/app>.

Headquarters Office:

USDA, Rural Development, Preservation and Direct Loan Division, 1400 Independence Avenue, S.W., Mail Stop 0782, Washington, District of Columbia 20250-0781 Phone: (202) 720-1604.

Website Address:

<http://www.rurdev.usda.gov>

RELATED PROGRAMS:

10.415 Rural Rental Housing Loans

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Proposals will be selected based on eligibility and ranking and scoring criteria listed in the NOFA.

10.448 RURAL DEVELOPMENT MULTI-FAMILY HOUSING RURAL HOUSING VOUCHER DEMONSTRATION PROGRAM

Rural Development Voucher Demonstration Program

FEDERAL AGENCY:

Rural Housing Service, Department of Agriculture

AUTHORIZATION:

Consolidated and Further Continuing Appropriations Act, 2012 (Public Law 112-55) for the Rural Development Voucher Program as authorized under Section 542 of the Housing Act of 1949, as amended, 42 U.S.C. 1471 et. seq. (without regard to Section 542(b)).

OBJECTIVES:

The Rural Housing Voucher Demonstration program is designed to provide rental housing assistance vouchers to tenants in properties that prepay their Rural Development mortgage. The amount of this subsidy is the difference between the comparable market rent and the amount of rent being paid by the tenant when prepayment occurs. Prepaying properties may be located anywhere in the 50 states, Puerto Rico, the U.S. Virgin Islands and Guam.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

The monthly housing assistance payment for the Rural Development Voucher Program is the difference between the comparable market rent for the family's former Section 515 unit and the tenant's rent contribution on the date of the prepayment. The rental unit must pass a Rural Development health and safety inspection.

The owner must be willing to accept a Rural Development voucher.

Use at HUD Section 8 or other Federally-assisted public housing, is prohibited. The Rural Development voucher may not be used in combination with the Rural Development Rental Assistance program.

Applicant Eligibility:

Applicants must (a) be residing in the Section 515 project on the date of the prepayment of the Section 515 loan or upon foreclosure by Rural Development; (b) the date of the prepayment or foreclosure must be after September 30, 2005; (c) as required by 42 U.S.C. 1436a the tenant must be a citizen, U.S. non-citizen national or qualified alien and will so provide proof of citizenship.

Beneficiary Eligibility:

Applicants must be citizens, U.S. non-citizen nationals or qualified aliens and have an adjusted household income at or below 80 percent of area median income as determined annually by the U.S. Department of Housing and Urban

Development (HUD) to be eligible for a rural housing voucher.

Credentials/Documentation:

The applicant must furnish factual evidence that their adjusted household's income is at or below 80 percent of area median income as determined annually by HUD and furnish proof of citizenship to be eligible, or to continue to be eligible, for a rural housing voucher. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

During the prepayment or foreclosure process, Rural Development will evaluate every tenant family to determine if it is low-income. If Rural Development determines a family is low-income, after the foreclosure or prepayment, Rural Development will send the primary tenant a letter offering the family a voucher.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

The voucher is renewable, annually, subject to the availability of appropriations to the USDA.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

On an annual basis. See the following for information on how assistance is awarded/released: Assistance is awarded/released on a monthly basis.

Reports:

No reports are required.

Audits:

No audits are required for this program.

Records:

No Data Available.

Account Identification:

12-4141-4-0-000.

Obligations:

(Direct Payments for Specified Use) FY 12 \$9,064,016; FY 13 est \$15,169,469; and FY 14 est \$13,000,000

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Director, Portfolio Management Division, USDA, Rural Development, 1400 Independence Avenue, S.W., Stop 0782, Washington, District of Columbia 20250-0782 Email: stephanie.white@wdc.usda.gov Phone: (202) 720-1615.

Website Address:

<http://www.rurdev.usda.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.449 BOLL WEEVIL ERADICATION LOAN PROGRAM

FEDERAL AGENCY:

Farm Service Agency, Department of Agriculture

AUTHORIZATION:

Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, Public Law 104-180, 7 U.S.C. 1989.

OBJECTIVES:

To assist producers and State governmental agencies to eradicate boll weevils from the United States.

TYPES OF ASSISTANCE:

DIRECT LOANS

USES AND USE RESTRICTIONS:

Funds may be used to purchase or lease supplies and equipment, to pay entity operating expenses and to pay salaries and benefits. Loan funds may not be used to pay for lobbying, public relations, or related activities.

Applicant Eligibility:

Applicants may be determined eligible if the organization: (a) Meets the Animal and Plant Health Inspection Service (APHIS) cost-sharing requirements; (b) possesses a legal nonprofit corporate authority; (c) possesses the legal authority to enter into a contract; (d) operates in an area approved by a majority of cotton producers via referendum; (e) is unable to obtain funds elsewhere; and (f) may pledge producer assets as loan collateral.

Beneficiary Eligibility:

The beneficiaries of this program include the local boll weevil organization and agricultural community as well as local, State, and national governments.

Credentials/Documentation:

All applicants must be nonprofit entities, authorized to operate under the appropriate State law and for the specific purpose of eradicating boll weevils from the nation's agricultural community. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Complete applications will be accepted by the National Office accompanied by documentation showing the entity's authority to borrow and the operation's ability to make the scheduled loan payment(s).

Award Procedure:

Loans will be written within specific credit guidelines as well as within the borrowing entity's documented ability to repay the loan.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 30 to 60 days. Agency credit decisions will generally be issued within 60 days of the receipt of a complete loan package.

Appeals:

Not Applicable.

Renewals:

Boll weevil loans are term loans based upon certain procedural and program

criteria. Although loans are not renewed, additional loan requests may be submitted by each entity for consideration.

Formula and Matching Requirements:

This program has no statutory formula.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Loans can be applied for at anytime during the year. The loan term varies anywhere from 1 to 7 years. Method of awarding/releasing assistance: lump sum.

Reports:

Not Applicable.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. Audited financial statements and profit and loss statements will be annually submitted for review and analysis.

Records:

Detailed records will be maintained by each entity in the respective offices. These same records will be made available to the Agency for review upon demand.

Account Identification:

12-4212-0-3-351 - Direct Loans Financing Account; 12-1140-0-1-351.

Obligations:

(Direct Loans) FY 12 \$20,900,000; FY 13 est \$100,000,000; and FY 14 est \$60,000,000 - FY 2013 Program Level funding at 100,000,000. 6 loans with a loan value of \$20,900,000 were made in FY 2012.

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: The Agency total obligations for FY 2012: \$20,900,000.
Fiscal Year 2013: No current data available. Fiscal Year 2014: No current data available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Bob Bonnet USDA FSA DAFLP LMD
1400 Independence Avenue, SW
, Washington, District of Columbia 20250-0522 Email:
bob.bonnet@wdc.usda.gov Phone: (202) 720-3889 Fax: (202) 690-1117

Website Address:

<http://www.fsa.usda.gov>.

RELATED PROGRAMS:

10.025 Plant and Animal Disease, Pest Control, and Animal Care

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.450 CROP INSURANCE

FEDERAL AGENCY:

Risk Management Agency, Department of Agriculture

AUTHORIZATION:

Federal Crop Insurance Act, as amended, 7 U.S.C. 1501-1520, Agricultural Adjustment Act of 1938, 7 (U.S.C. 1501; Federal Crop Insurance Act of 1980, as amended, Public Law 101-624; Federal Crop Insurance Reform Act of 1994, Public Law 103-354; Federal Agriculture Improvement and Reform Act of 1996, Public Law 104-127; Agricultural Research, Extension, and Education Reform Act of 1998, Public Law 105-185; Agriculture Risk Protection Act of

2000, Public Law 106-224; Food, Conservation and Energy Act of 2008, Public Law 110-234.

OBJECTIVES:

Risk Management Agency (RMA) mission is serving Americas agricultural producers through effective, market-based risk management tools and solutions to strengthen the economic stability of agricultural producers and rural communities. To carry out this mission, RMA operates and manages the Federal Crop Insurance Corporation (FCIC).

TYPES OF ASSISTANCE:

INSURANCE

USES AND USE RESTRICTIONS:

The Federal Crop Insurance Corporation (FCIC) is a wholly owned government corporation created February 16, 1938 (7 U.S.C. 1501.) The program was amended by Public Law (P.L.) 96-365, dated September 26, 1980, to provide for nationwide expansion of a comprehensive crop insurance plan. FCIC is administered by the Risk Management Agency (RMA), and promotes the national welfare by improving the economic stability of agriculture through a secure system of crop insurance. FCIC provides an actuarially sound risk management program that protects against agricultural production losses due to unavoidable causes such as drought, excessive moisture, hail, wind, hurricane, tornado, lightning, and insects. In addition to these causes, revenue insurance programs are available under which producers of certain crops are protected against loss of revenue stemming from low prices, poor yields, or a combination of both. Federal crop insurance is available to producers through private insurance companies that market and service policies and also share in the risk. Thus, the program delivery is a joint effort between the Federal government and the private insurance industry. Federal government subsidizes insurance premiums at a variety of levels depending on level of insurance coverage.

Applicant Eligibility:

Unless otherwise restricted by the insurance policy, owners or operators of farmland, who have an insurable interest in a crop in a county where insurance is offered on that crop, are eligible for insurance.

Beneficiary Eligibility:

This program is excluded from coverage under OMB Circular No. A-87.

Credentials/Documentation:

Different types of crop productions information is required depending on the crop insurance purchased. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Application for crop insurance offered by a company reinsured by FCIC must be filed with a crop insurance sales agent. Both catastrophic and additional coverage are available only from private companies. In general, crops and acreage must be reported to establish insurance coverage for crop insurance and, if not eligible for crop insurance. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

The insurance contract becomes effective upon issuance of a Notice of Acceptance by the insurance company. Notices of Acceptance for insurance coverage are issued upon a determination that the applicant is eligible.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 15 to 20 days.

Appeals:

Appeals should be addressed within 30 days to the National Appeals Division,

U.S. Department of Agriculture, Washington, DC 20250.

Renewals:

Continuous insurance contract.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Not applicable. See the following for information on how assistance is awarded/released: Not applicable.

Reports:

Private Industry Crop Insurance Acreage Report; Actual Production History Yield Report; and in the event of a loss, Notice of Damage, Production Worksheet, and proof of loss. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. Recipients are subject to audit by the RMA internal compliance function, private insurance company auditors, Office of the Inspector General, USDA, and the General Accounting Office.

Records:

Insured must keep for 3 years, after the end of the crop year, records of harvesting, shipments, sale or other disposition of all insured crops produced on each unit covered by the contract and separate records for any uninsured acreage of the insured crops.

Account Identification:

12-4085-0-3-351.

Obligations:

(Insurance) FY 12 \$9,487,140,000; FY 13 est \$14,812,030,000; and FY 14 est \$11,011,700,000 - These amounts represent total indemnities. (Insurance) FY 12 \$4,507,315,000; FY 13 est \$7,480,875,000; and FY 14 est \$6,868,039,000 - These amounts represent premium subsidy to farmers through reinsured companies.

Range and Average of Financial Assistance:

Level of assistance varies according to policy, crop and indemnities paid.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Over the past seven decades, Federal crop insurance has been the primary product provided by the FCIC (administered by the Risk Management Agency (RMA)) and consists of various alternatives designed to improve the economic stability of agriculture. Recent legislative mandates have prompted significant program growth and the development of many large and complex new programs. RMA continuously strives to provide adequate risk protection for our Nations agricultural producers and to identify and address concerns about Federal crop insurance.

RMA continues to evaluate risk management products, review and approve private sector products to be reinsured by FCIC, and ensure delivery of these products to agricultural producers. Risk management products can help producers protect themselves from yield risks, market risks, or both. Examples of more recent, new and innovative insurance tools are revenue insurance, forage, rangeland, specialty crops, and livestock pilots. For 2012, with approximately 1.1 million policies on 282 million acres, the program provided nearly \$117 billion in risk protection. Fiscal Year 2013: NA. Fiscal Year 2014: NA.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 400 and a brochure "Introduction to Risk Management"- available at no charge.

<http://www.rma.usda.gov/>

Regional or Local Office:

See Regional Agency Offices. Interested producers should contact their Regional Office listed in Appendix IV of the Catalog, or a private industry crop insurance agent.

<http://www.rma.usda.gov/aboutrma/fields/>.

Headquarters Office:

Carl Frazier 2641 SW Wanamaker Rd, Suite 201
, Topeka, Kansas 66614 Email: carl.frazier@rma.usda.gov Phone: 7852285531

Website Address:

<http://www.rma.usda.gov>.

RELATED PROGRAMS:

10.090 Supplemental Revenue Assistance Program; 10.404 Emergency Loans; 10.451 Noninsured Assistance; 97.022 Flood Insurance

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.451 NONINSURED ASSISTANCE

(NAP)

FEDERAL AGENCY:

Farm Service Agency, Department of Agriculture

AUTHORIZATION:

Federal Agriculture Improvement and Reform Act of 1996.

OBJECTIVES:

To provide crop loss assistance comparable to the catastrophic risk protection level of crop insurance to producers of commercial crops or other agricultural commodities for which the catastrophic risk protection level of crop insurance is not available.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS WITH UNRESTRICTED USE

USES AND USE RESTRICTIONS:

None.

Applicant Eligibility:

Eligible crops include each commercial crop or other agricultural commodities (except livestock and their by-products; tobacco; and trees grown for wood, paper, or pulp products) that is produced for food or fiber and specifically includes: Floricultural, ornamental nursery, and Christmas tree crops, turfgrass sod, seed crops, aquaculture (including ornamental fish), and industrial crops. An eligible producer is an owner, landlord, tenant, or sharecropper: (1) Who shares in the risk of producing the crop; (2) who is entitled to share in the crop available for marketing or would have shared had the crop been produced; and (3) whose average nonfarm AGI limitation for 2009 and subsequent crop year eligibility is in accord to 4-PL.

Beneficiary Eligibility:

Applicant Eligibility: Eligible crops include each commercial crop or other agricultural commodities (except livestock and their by-products; tobacco; and trees grown for wood, paper, or pulp products) that is produced for food or fiber and specifically includes: floricultural, ornamental nursery, and Christmas tree crops, turfgrass sod, seed crops, aquaculture (including ornamental fish), and industrial crops. An eligible producer is an owner, landlord, tenant, or sharecropper: (1) who shares in the risk of producing the crop; (2) who is entitled to share in the crop available for marketing or would have shared had the crop been produced; and (3) whose average nonfarm AGI limitation for 2009 and subsequent crop year eligibility is in accord to 4-PL.

Credentials/Documentation:

Applicants must provide to the local administrative FSA office, annual certifications of acreage, yield, and production. Randomly selected producers and producers requesting program payments must also provide acceptable records of production. Applicants must provide evidence as necessary for a proper determination of their eligibility. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Applicants must file an application for coverage in the local administrative FSA office. An applicable service fee must accompany the application. Coverage is effective the later of: (1) 30 days after the application for coverage is filed; (2) date the crop is planted for yield-based crops or the beginning of the crop year for all other eligible crops. Other documentation required to fulfill the application requirements include: (1) A report of acreage, facility, etc.; (2) a notice of loss when the eligible crop is prevented from being planted as a result of eligible natural disaster or the eligible planted crop or commodity suffers loss of yield due to eligible natural disaster; (3) if the crop is harvested, a certification of production, and in the crop year for which an application for payment is filed, acceptable evidence of production, (4) if the crop will not be harvested, a request to the local county FSA office for appraisal; and (5) a certification of nonfarm AGI.

Award Procedure:

None.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

The county committee will normally make a decision regarding the application for payment within 60 calendar days after all required information and documentation is received.

Appeals:

Applicants may request reconsideration of a decision by the decision authority or appeal such decisions to a higher authority including the county and State FSA committees and the National Appeals Division.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Assistance is normally provided in a single payment following approval of the application for payment. Method of awarding/releasing assistance: lump sum.

Reports:

No reports are required.

Audits:

No audits are required for this program.

Records:

Applicants must retain financial books and records that permit verification of all transactions for at least 3 years following the end of the calendar year in which an application for coverage is filed.

Account Identification:

12-4336-0-3-351.

Obligations:

(Direct Payments with Unrestricted Use) FY 12 \$253,588,000; FY 13 est \$225,000,000; and FY 14 est \$168,000,000

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Procedural handbooks and published regulations are available in county FSA offices.

Regional or Local Office:

See Regional Agency Offices. Consult the local telephone directory for location of the nearest county FSA office. If no listing, contact the appropriate State FSA office.

Headquarters Office:

Steve Peterson, USDA, Deputy Administrator for Farm Programs, Production, Emergencies, and Compliance Division, Disaster Assistance Branch, 14th and Independence Ave SW, Stop 0517, Washington, District of Columbia 20250
Email: Steve.Peterson@wdc.usda.gov Phone: (202) 720-5172 Fax: (202) 720-0051.

Website Address:

<http://www.fsa.usda.gov>.

RELATED PROGRAMS:

10.054 Emergency Conservation Program; 10.404 Emergency Loans; 10.450 Crop Insurance

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.456 PARTNERSHIP AGREEMENTS TO DEVELOP NON-INSURANCE RISK MANAGEMENT TOOLS FOR PRODUCERS (FARMERS)

FEDERAL AGENCY:

Risk Management Agency, Department of Agriculture

AUTHORIZATION:

Section 522(d) of the Agricultural Risk Protection Act of 2000; 7 U.S.C. 1501 section 522(d), Public Law 106-224, 7 U.S.C 36 sec. 1522(d).

OBJECTIVES:

To develop risk management tools (risk management tools do not include insurance products, plans of insurances, policies, modifications thereof or any related material) for the U. S. agriculture producers with an emphasis on specialty crop(s) producers, livestock producers, rangeland producers and producers of underserved commodities currently covered by section 196 of the Agricultural Market Transition Act (7 U.S.C. 733).

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

The availability of research partnership monies (variable) is announced on a yearly basis. The partnership agreements are for the development of non-insurance risk management tools for use directly by agricultural producers. Each partnership agreement recipient must demonstrate non-financial benefits from the partnership agreement and the partner must agree to substantial involvement of RMA in the project. Indirect costs must be limited to ten percent of the total direct costs.

Applicant Eligibility:

Proposals are invited from all qualified public and private entities. Eligible applicants include all colleges and universities, Federal, State, local government agencies, Native American Tribal Organizations, nonprofit and for-profit private organizations or corporations, and other entities. Individuals are not eligible applicants.

Beneficiary Eligibility:

The research partnerships will result in the development of non-insurance risk management tools to be utilized by agricultural producers to assist them in mitigating the risks inherent in agricultural production.

Credentials/Documentation:

Although an applicant may be eligible to compete for an award based on its status as an eligible entity, other facts may exclude an applicant from receiving Federal assistance under this program (e.g., debarment and suspension; a determination of non-performance on a prior contract, cooperative agreement, grant or partnership; a determination of a violation of applicable ethical standards). OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. This program is subject (as applicable) to the provisions of OMB Circular Nos. A-21, A-87, A-102, A-110, A-122, A-133 and FAR, Part 31. Eligible applicants submit proposals according to published guidelines (generally in the Federal Register). Objectives will vary for the research proposals solicited and funded each year. Application procedures and guidelines may change each year. See the yearly Request for Applications (RFA) for specific guidelines.

Award Procedure:

Project funds for successful applicants are awarded to recipients based upon a merit review (panel) of all applications that meet the objectives outlined in the RFA announcement. The evaluation of each application will be conducted by a panel of not less than three independent reviewers. The panel will be comprised of representatives from USDA, other federal agencies, and others representing public and private organizations, as needed. The panel will examine and score applications based on the evaluation criteria and weights contained in the RFA. After assigning points based upon the evaluation criteria and weights, recommended applications will be listed in rank order and presented, along with funding level recommendations, to the Manager of FCIC, who will make the final selection and funding decisions.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 180 days as specified in the RFA.

Appeals:

Not Applicable.

Renewals:

Renewal possible depending upon agency review and needs.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Each approved project will be funded for a period of up to three years for the activities described in the RFA. Projects can also be in two parts with the first part including the research and feasibility studies and the second part including the development, implementation, delivery and maintenance of the non-insurance risk management tool. If the development of the tool is determined not to be feasible, the partnership may be terminated by RMA after completion of the first part, with funding reduced accordingly. See the following for information on how assistance is awarded/released: upon submission of invoices.

Reports:

No program reports are required. No cash reports are required. Quarterly progress reports. FS-269. via progress reports, site visits, and regular correspondence such as phone and e-mail.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Financial records, supporting documentation, statistical records and all other records pertinent to the partnership agreement must be retained for a period of at least 3 years after closing.

Account Identification:

12-4085-0-3-351.

Obligations:

(Salaries) FY 12 \$0; FY 13 est \$0; and FY 14 est \$0

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Information concerning the Risk Management Agency and associated publications can be obtained using the public website at: <http://www.rma.usda.gov>.

Regional or Local Office:

See Regional Agency Offices. USDA-Risk Management Agency, Beacon Facility, Mail Stop 0813, PO Box 419205, Telephone: (816) 926-6343. Fax: (816) 926-7343. E-mail: www.RMARED.Applications@rma.usda.gov.

Headquarters Office:

Lana K. Cusick 1400 Independence Ave. SW
USDA South Building, Room 6717-S
Stop 0808, Washington, District of Columbia 20250-0808 Email: lane.cusick@rma.usda.gov Phone: 2027203325

Website Address:

<http://www.rma.usda.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.458 CROP INSURANCE EDUCATION IN TARGETED STATES

(Targeted States)

FEDERAL AGENCY:

Risk Management Agency, Department of Agriculture

AUTHORIZATION:

Federal Crop Insurance Act, as amended, 7 U.S.C. 1524(a).

OBJECTIVES:

The purpose of this cooperative agreement program is to deliver crop insurance education and information to U.S. agricultural producers in certain, designated States that have been historically underserved with respect to crop insurance. The States, collectively referred to as Targeted States, are Connecticut, Delaware, Maine, Maryland, Massachusetts, Nevada, New Hampshire, New Jersey, New York, Pennsylvania, Rhode Island, Utah, Vermont, West Virginia, and Wyoming.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Entities receiving funding will use funds to (a) plan a local program of crop insurance education for agricultural producers, (b) develop and assemble instructional materials, (c) promote awareness for crop insurance and educational opportunities, (d) deliver crop insurance educational activities to agricultural producers and agribusiness professionals, and (e) document project activities. Applicants may propose additional uses of funds that meet the objectives of the program. RMA will be substantially involved in the management and direction of the project. Indirect costs allowed for projects submitted under this program will be limited to ten percent of total direct costs of the cooperative agreement. Funds may not be used to (a) plan, repair, rehabilitate, acquire, or construct a building or facility including a processing facility; (b) purchase, rent, or install fixed equipment; (c) repair or maintain privately owned vehicles; (d) pay for the preparation of the partnership agreement application; (e) fund political activities; (f) purchase alcohol, food, beverage, or entertainment; (g) pay costs incurred prior to receiving a partnership agreement; or (g) fund any activity prohibited in 7 CFR Parts 3015 and 3019, as applicable.

Applicant Eligibility:

Eligible applicants include State departments of agriculture, universities, non-profit agricultural organizations, and other public or private organizations with the capacity to lead a local program of crop insurance education for farmers and ranchers in a Targeted State. Individuals are eligible applicants. Although an applicant may be eligible to compete for an award based on its status as an eligible entity, other factors may exclude an applicant from receiving federal assistance under this program (e.g. debarment and suspension; a determination of non-performance on a prior contract, cooperative agreement, grant or partnership; a determination of a violation of applicable ethical standards).

Beneficiary Eligibility:

The ultimate beneficiaries of this education program are agricultural producers in the Targeted States. Applicants receiving awards will ensure that such producers receive effective crop insurance education and information either directly or through agribusiness professionals that can impart crop insurance information to producers.

Credentials/Documentation:

Applicants must demonstrate the capacity to deliver crop insurance education and information programs to producers in the written narratives and statements of work that accompany applications. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Eligible applicants must complete and submit a valid application to RMA Headquarters by the application deadline. Requirements for a complete application package and the application deadline are set forth in the Request for Applications. Eligible applicants will also be able to apply electronically using www.Grants.gov.

Award Procedure:

Each application will be evaluated using a two-part process. First, each application will be screened by RMA personnel to ensure that it meets the requirements listed in the Request for Applications announcement. Second, a review panel will consider the merits of all applications that meet the requirements in the announcement. The panel will examine and score applications based on the evaluation criteria contained in the Request for Applications. Other review panel information is provided in the Request for Applications announcement. Following its review, the panel will report to the Manager of the Federal Crop Insurance Corporation (FCIC) with a listing of the recommended applicants to receive cooperative agreements. The Manager of FCIC will make the final determination on applications that will be awarded funding.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Generally about 120 days from the deadline listed in the Request for Applications.

Appeals:

No provision is provided in this program for an appeal.

Renewals:

No provision is provided in this program for a renewal.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Funding will normally be available for projects that will begin in July through September and will continue for up to one year. Method of awarding/releasing

assistance: quarterly.

Reports:

Program reports are not applicable. quarterly reports. quarterly reports. quarterly reports. Regional Office substantial involvement.

Audits:

No audits are required for this program.

Records:

The recipient will maintain at its place of business all records required by the cooperative agreement and the respective OMB Circulars.

Account Identification:

12-4085-0-3-351.

Obligations:

(Salaries) FY 12 \$4,946,027; FY 13 est \$5,000,000; and FY 14 est \$5,000,000

Range and Average of Financial Assistance:

\$157,000 to \$754,000 per agreement as announced in the Request for Applications.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is announced as a Request for Applications in the Federal Register, www.Grants.gov and the RMA web site, along with specific information on obtaining an application package. Written procedures are available.

Regional or Local Office:

See Regional Agency Offices. RMA has three Regional Offices serving potential applicants for the fifteen Targeted States. A listing may be obtained from the RMA web site or RMA Headquarters.

Headquarters Office:

Lana K. Cusick 1400 and Independence Ave. SW
USDA South Building, Room 6717-S
Stop Code 0808, Washington, District of Columbia 20250-0808 Email:
lane.cusick@rma.usda.gov Phone: 2027203325

Website Address:

<http://www.rma.usda.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.460 RISK MANAGEMENT EDUCATION PARTNERSHIPS**FEDERAL AGENCY:**

Risk Management Agency, Department of Agriculture

AUTHORIZATION:

Federal Crop Insurance Act, 7 U.S.C. 1522(d).

OBJECTIVES:

To deliver training and information as well as outreach activities in the management of production, marketing, financial, legal and human risk to the U.S. agricultural producers with a priority to producers of crops not insurable with Federal crop insurance, specialty crops, and underserved commodities.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Entities receiving funding will use funds to (a) plan a local program of risk management education and outreach activities for agricultural producers, (b) develop and assemble instructional materials, (c) promote awareness for risk management and education opportunities, (d) deliver education activities to agricultural producers and agribusiness professional, (e) document project

activities, (f) report activities and spending as directed by RMA, and (g) measure the results of the training and/or activities. Applicants may propose additional uses of funds that meet the objective and direction of the project. Indirect costs allowed for projects submitted under this program will be limited to ten percent of total direct costs of the cooperative agreement. Funds may not be used to (a) plan, repair, rehabilitate, acquire or construct a building or facility including a processing facility, (b) purchase, rent, or install fixed equipment, (c) purchase portable electronic equipment, (d) repair or maintain privately owned vehicles, (e) pay for the preparation of the cooperative agreement application, (e) pay for scholarships, (f) pay for training of applicant/awardee, (g) fund political activities, (h) purchase alcohol, food, beverage, or entertainment; (i) pay costs associated with 501(c) applications; (k) pay costs incurred prior to receiving a cooperative agreement; or (l) fund any activity prohibited in 7 CFR Parts 3015 and 3019 as applicable.

Applicant Eligibility:

Eligible applicants include State departments of agriculture, universities, non-profit agricultural organization and other public or private organizations with the capacity to lead a local program of risk management education for farmers and ranchers in an RMA Region. Individuals are not eligible applicants. Although an applicant may be eligible to compete for an award based on its status as an eligible entity, other factors may exclude an applicant from receiving Federal assistance under this program (e.g. debarment and suspension; a determination of non-performance on a prior contract, cooperative agreement, grant, or partnership; a determination of a violation of applicable ethical standards.

Beneficiary Eligibility:

The ultimate beneficiaries of this education program are agricultural producers with a priority of producers of crops not insurable by Federal crop insurance, specialty crops, and undeserved commodities. Applicants receiving awards will ensure that such producers receive effective risk management education, information and outreach activities that can impact the risk management decision making.

Credentials/Documentation:

Applicants must demonstrate the capacity to deliver risk management education, information, and outreach activities to producers in the written narrative and statements of work that are part of the application package. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. All applicants must submit applications in compliance with the criteria outlined in the Request for Applications (RFA) by the deadline specified in the Notice. Applications must be submitted electronically. Hard copy applications are not acceptable. Program application materials may be downloaded from the RMA website at www.rma.usda.gov

Award Procedure:

Awards under this program must be made on a competitive basis for projects of up to one year. Each application will be reviewed and rated by a diverse panel based on the evaluation criteria contained in the RFA. Projects will be funded in final rank order until all available funds have been expended. Applicants must score at least 60 or more to be considered for funding. The award instrument is a cooperative agreement between the Risk Management Agency and the applicant. No maximum or minimum funding levels have been established for individual projects or geographic locations.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. All awards will be made no later than September 30. Applicants that are not funded will be notified with 90 days after the receipt of the applications.

Appeals:

No provision is provided in this program for an appeal.

Renewals:

The project period is one year; there are no renewals under this program.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Funding is available from the project date through the project end date not to exceed one year. See the following for information on how assistance is awarded/released: The assistance is provided through the request of funds (SF-270) and requires the approval of RMA.

Reports:

Program reports are not applicable. Cash reports are not applicable. Quarterly and Final Reports are required. Quarterly and Final Financial Reports are required. The Risk Management Agency's 10 Regional Office provide oversight and monitoring of the project through substantial involvement.

Audits:

Not Applicable.

Records:

Awardees will maintain (at its place of business) all records required by the agreement and the respective OMB circulars.

Account Identification:

12-4085-0-3-351.

Obligations:

(Cooperative Agreements) FY 12 \$7,897,289; FY 13 est \$3,000,000; and FY 14 est \$0

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Lana K. Cusick 1400 and Independence Ave. SW
USDA South Building, Room 6717-S
Stop Code 0808, Washington, District of Columbia 20250-0808 Email:
lane.cusick@rma.usda.gov Phone: 2027203325

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.475 COOPERATIVE AGREEMENTS WITH STATES FOR INTRASTATE MEAT AND POULTRY INSPECTION

(Meat and Poultry Inspection State Programs)

FEDERAL AGENCY:

Food Safety and Inspection Service, Department of Agriculture

AUTHORIZATION:

Poultry Products Inspection Act, Public Law 90-492, 21 U.S.C 451-471; Agriculture Marketing Act of 1946, 7 U.S.C 1621-1627; Federal-State Cooperative Act (Talmadge-Aiken), Public Law 87-718, 7 U.S.C 450; Federal Meat Inspection Act, Public Law 90-201, 21 U.S.C 601-624.

OBJECTIVES:

To supply Federal assistance to States desiring to operate a meat and poultry inspection program in order to assure the consumer an adequate supply of safe, wholesome, and properly labeled meat and poultry products.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

To assist States that operate meat and poultry inspection programs which are equal to Federal meat and poultry inspection.

Applicant Eligibility:

An appropriate State or U.S. Territory agency administering State or Territorial meat or poultry inspection programs under laws equal to the Federal Meat and Poultry Products Inspection Acts.

Beneficiary Eligibility:

General Public.

Credentials/Documentation:

Receipt of State inspection mandatory laws, copies of regulations related to the laws, and State performance plans. Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Notify the Outreach and Partnership Division, Office of Outreach, Employee Education and Training, Food Safety and Inspection Service, U.S. Department of Agriculture, Patriot Plaza III Building, SW, Mailstop 3778/Room 9-258, 355 E Street, Washington, District of Columbia 20024. Contact: Keith Payne. Telephone: (202) 690-6522. The applicant should express their interest and request copies of the cooperative agreements currently in use by participating States.

Award Procedure:

None.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
Matching Requirements: Percent: 50%.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants are awarded on an annual basis to States. Method of awarding/releasing assistance: by letter of credit.

Reports:

Program reports are not applicable. Cash reports are not applicable. Progress reports are not applicable. Applicants will keep a complete accounting of the cost of developing and operating the State inspection program and will submit quarterly statements to the Food Safety and Inspection Service. Performance monitoring is not applicable.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. State

will arrange for an audit of the records pertaining to these agreements by the State Auditor of Public Accounts, annually or otherwise, as provided by applicable State law or regulations. In accordance with the provisions of OMB Circular No. A-133, "Audits of States, Local Governments, and Other Non-Profit Organizations," State and local governments, that expend financial assistance of \$500,000 or more in a year in Federal awards, will have a single or a program-specific audit made for that year.

Records:

Complete accounting records shall be available to the Comptroller General of the United States and to the authorized representatives of the Secretary of Agriculture.

Account Identification:

12-3700-0-1-554.

Obligations:

(Salaries) FY 12 \$48,453,911; FY 13 est \$50,970,000; and FY 14 est \$51,825,000

Range and Average of Financial Assistance:

FY 2012: \$217,526 to \$6,566,840; 1,794,589
FY 2013: \$255,000 to \$7,021,000; 1,888,000
FY 2014: \$233,000 to \$7,023,000; 1,919,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

FSIS Directive 5720.2, Revision 3, State Cooperative Inspection Programs.

Regional or Local Office:

See Regional Agency Offices. See Appendix IV of the Catalog for a list of addresses of the District Offices.

Headquarters Office:

Keith Payne Outreach and Partnership Division, Office of Outreach, Employee Education and Training, Food Safety and Inspection Service, Department of Agriculture, Patriot Plaza III Building, Mailstop 3778/Room 9-258, 355 E Street, Washington, District of Columbia 20024 Phone: (202) 690-6522

Website Address:

<http://www.fsis.usda.gov>.

RELATED PROGRAMS:

10.477 Meat, Poultry, and Egg Products Inspection

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.477 MEAT, POULTRY, AND EGG PRODUCTS INSPECTION**FEDERAL AGENCY:**

Food Safety and Inspection Service, Department of Agriculture

AUTHORIZATION:

Federal Meat Inspection Act, Public Law 90-201, 21 U.S.C 601-624; Poultry Products Inspection Act, Public Law 90-492, 21 U.S.C 451-471; Agriculture Marketing Act of 1946, 7 U.S.C 1621-1627; Egg Products Inspection Act, Public Law 91-597, 21 U.S.C 1031-1056; Humane Slaughter Act, Public Law 85-765, 7 U.S.C 1901-1906.

OBJECTIVES:

To assure that all meat, poultry and egg products produced in plants and shipped in commerce are federally inspected for safety, wholesomeness, and proper labeling.

TYPES OF ASSISTANCE:

PROVISION OF SPECIALIZED SERVICES

USES AND USE RESTRICTIONS:

Meat, poultry, and egg products plants located within the United States or its Territories engaged in slaughtering or processing meat, poultry, and egg products for shipment in commerce are required to have inspection. All plants

located within the U.S. or its territories processing egg products are required to be under continuous USDA inspection. The Department of Agriculture is reimbursed for overtime and holiday inspection services. Inspection not required under law is provided on request for a fee.

Applicant Eligibility:

Any meat or poultry plant planning to engage in slaughtering or processing meat and poultry products, and all egg products processing plants for shipment in commerce. This program is available in the U.S. and its Territories.

Beneficiary Eligibility:

General public (meat, poultry, and egg products.).

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. A request for inspection should be submitted to the Meat, Poultry and Egg Products Inspection District Office, or to the Administrator.

Award Procedure:

Certification is made that the applicant meets the regulations on sanitation, and operating standards for the inspection of meat, poultry and egg products plant facilities and equipment.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Not applicable. See the following for information on how assistance is awarded/released: All meat, poultry and egg products produced in plants and shipped in commerce is federally inspected for safety, wholesomeness, and proper labeling.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

None.

Account Identification:

12-3700-0-1-554.

Obligations:

(Salaries) FY 12 \$951,448,538; FY 13 est \$922,420,526; and FY 14 est \$952,748,000

Range and Average of Financial Assistance:

Not Applicable.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: In fiscal year 2012, the program inspected operations at about 6,263 establishments which produced about 108.0 billion pounds of meat and

poultry. Fiscal Year 2013: The scope of program operations in fiscal year 2013 will be similar to fiscal year 2012. Fiscal Year 2014: The scope of program operations in fiscal year 2014 will be similar to fiscal year 2012.

REGULATIONS, GUIDELINES, AND LITERATURE:

MPI-7, Meat and Poultry Inspection Manual; Sanitation Handbook; 9 CFR 301-592, Inspection Regulations.

Regional or Local Office:

See Regional Agency Offices. See Appendix IV of the Catalog for a list of addresses of the District Offices.

Headquarters Office:

Dr. Daniel Engeljohn, Office of Field Operations, Food Safety and Inspection Service, Department of Agriculture, Washington, District of Columbia 20250-3700 Phone: (202) 720-8803.

Website Address:

<http://www.fsis.usda.gov>.

RELATED PROGRAMS:

10.475 Cooperative Agreements with States for Intrastate Meat and Poultry Inspection

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.479 FOOD SAFETY COOPERATIVE AGREEMENTS

FEDERAL AGENCY:

Food Safety and Inspection Service, Department of Agriculture

AUTHORIZATION:

Public Law 108-7, Sec. 713, 117 Stat. 39.

OBJECTIVES:

To reduce the incidence of foodborne illnesses associated with meat, poultry, and egg products and to protect the food supply. FSIS is authorized to use cooperative agreements to reflect a relationship between FSIS and cooperators to carry out educational programs or special studies to improve the safety of the nation's food supply. Also, FSIS has been directed to further develop the Food Emergency Response Network, a network of Federal, state and local laboratories that provides the nation the analytic capabilities and capacity it needs to cope with agents threatening the food supply.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Available discretionary funds are contingent on annual appropriations. Work products, where appropriate, are to be made available for use by other government agencies and accessible by the general public.

Applicant Eligibility:

State, local and tribal government agencies; academic institutions and non-profit organizations.

Beneficiary Eligibility:

General public.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Academic institutions; State, local and tribal government agencies; and non-profit organizations are invited to submit brief proposals (two to four pages) for cooperative agreements in any

of the areas described in the request for proposals along with an SF-424. These proposals will be reviewed by FSIS. The criteria used by FSIS to assess proposals are listed for each cooperative agreement program area in the request for proposals. Cooperators whose proposals are selected for further project development will need to discuss and reach agreement with FSIS on project details to permit establishment of a cooperative agreement. Unlike typical Federal grants, cooperative agreements involve a Federal agency's active participation with the cooperator during both project development and project execution. Work products, where appropriate, are to be made available for use by other government agencies and accessible by the general public. All proposals should address the following points: * Project description, including specific goals, timeline, and deliverables; * Description of national public benefit expected, including expected utility of work products, for example, training manuals, CDs, and videos; * Projected costs, including cooperator contributions; * Projected performance measures; * Primary contact, principal investigator, and other likely participants, and; * All work products may be freely reproduced and distributed by FSIS.

Award Procedure:

Cooperative agreement awards are made upon selection through a competitive process directed by the relevant FSIS program area. The program area identifies the awardees to the FSIS Administrative Services Division, which prepares and executes required documentation.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Generally from 30 to 90 days from the deadline listed in the request for proposals.

Appeals:

Not Applicable.

Renewals:

Renewals or extensions may be available under extraordinary circumstances if approved prior to the closing date of the initial agreements.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Generally, funding will be available for projects up to one year. Multi-year projects will be considered, but they are subject to annual renewal and may be affected by changes in FSIS' annual budget. Method of awarding/releasing assistance: lump sum.

Reports:

Program reports are not applicable. Cash reports are not applicable. The cooperative agreement will specify the submission of performance progress reports. A comprehensive final report is required which documents all activities conducted within the partnership. Expenditure reports are not applicable. The cooperative agreement will specify the frequency of submission of performance progress reports, which will not be more frequently than quarterly or less frequently than annually. A comprehensive final report is required which documents all activities conducted within the partnership.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Recipients are subject to audit by the Office of the Inspector General, USDA, as set forth in OMB Circular No. A-133, or in Federal Acquisition Regulations.

Records:

The recipient will maintain at its place of business all records required by the partnership agreement and the applicable OMB Circulars.

Account Identification:

12-3700-0-1-554.

Obligations:

(Cooperative Agreements) FY 12 \$4,564,944; FY 13 est \$3,900,000; and FY 14 est \$3,900,000

Range and Average of Financial Assistance:

FY 2012: \$118,817 to \$416,000; \$163,034; FY 2013: \$100,812 to \$355,404; 139,286; and FY 2014: \$100,812 to \$355,404; 139,286.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

9 CFR 301-592, Inspection Regulations. Guidelines and literature can be found on FSIS website at www.fsis.usda.gov.

Regional or Local Office:

None.

Headquarters Office:

Dr. Robert Phillips, Senior Microbiologist, U.S. Department of Agriculture, Food Safety and Inspection Service, FERN Staff, 950 College Station Road, Athens, Georgia 30605 Phone: (706) 546-2349.

Website Address:

www.fsis.usda.gov/About_FSYS/Cooperative_Agreements/.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No current data available. Fiscal Year 2013: No current data available. Fiscal Year 2014: No current data available.

CRITERIA FOR SELECTING PROPOSALS:

Criteria used by the application review panel for recommending awards are listed in the request for proposals.

10.500 COOPERATIVE EXTENSION SERVICE

(CES)

FEDERAL AGENCY:

National Institute of Food and Agriculture, Department of Agriculture

AUTHORIZATION:

SPECIAL NOTES:

(1) Numerous Programs are funded under CFDA 10.500.
(2) Listed below are several programs, which are indicative of the types of projects currently funded under CFDA 10.500. However, please be advised that the listing is NOT exhaustive.

1. SMITH-LEVER 3(b) & (c):

(a) Cooperative Extension Programs at 1862 Land-Grant Institutions (aka 3b & c Formula Funds) (FORMULA)

Sections 3(b) and 3(c) of the Smith-Lever Act provide funding for agricultural extension programs at 1862 Land-grant universities.

(b) Cooperative Extension Programs at 1862 Land-Grant Institutions (Special Needs) aka ESPN Special Needs (FORMULA)

Sections 3(b)(1) and 8 of the Smith-Lever Act provide the basis of funding special needs agricultural extension programs at 1862 Land-grant institutions.

(c) Smith-Lever Special Needs Program (aka ESPN Special Needs Projects) Section 7129 of the Food, Conservation, and Energy Act of 2008 (FCEA), re-authorizes Section 3(b) & (c) of the Smith-Lever Act, allowing the Secretary of Agriculture, acting through the Director of the National Institute of Food and Agriculture (NIFA), to conduct competitive grant programs to State Extension Services at 1862 Land-Grant Institutions to support innovative, education-based approaches to addressing emergency preparedness and specific responses related to natural and man-made disasters.

(d) CSRS Retirement Contributions Program (aka ERET CSRS Retirement)

(FORMULA)

Funds available for distribution under the Smith-Lever Act, Section 3(b) and (c), statutory formula.

(e) FERS Retirement Contributions Program (aka ERET FERS Retirement) (FORMULA)

Federal funds are available for distribution under the Smith-Lever Act, Section 3(b) and (c), statutory formula.

(f) District of Columbia Public Postsecondary Education Reorganization Act Program (Cooperative Extension Programs) aka EUDC

Sections 208 of the District of Columbia Public Postsecondary Education Reorganization Act provides, in pertinent part, that In the administration of the Act of August 30, 1890 (7 U.S.C. 321-326, 328) (known as the Second Morrill Act), the University [of the District of Columbia] shall be considered to be a university established for the benefit of agriculture and the mechanic arts in accordance with the provisions of the Act of July 2, 1862 (7 U.S.C. 301-305, 307, 308) (known as the First Morrill Act); and the term "State", is used in the laws and provisions of law listed in the preceding paragraphs of this section shall include the District of Columbia. In the administration of the Act of May 8, 1914 (7 U.S.C. 341-346, 347a-349) (known as the Smith-Lever Act) the University [of the District of Columbia] shall be considered to be a university established for the benefit of agriculture and the mechanic arts in accordance with the provisions of the Act of July 2, 1862 (7 U.S.C. 301- 305, 307,308); and the term "State" as used in such Act of May 8, 1949, shall include the District of Columbia, except that the District of Columbia shall not be eligible to receive any sums appropriated under section 3 of such Act. In lieu of an authorization of appropriations for the District of Columbia under section 3 of such Act of May 8, 1914, there is authorized to be appropriated such sums as may be necessary to provide cooperative agricultural extension work in the District of Columbia under such Act. Any reference in such Act (other than section 3 thereof) to funds appropriated under such Act shall in the case of the District of Columbia be considered a reference to funds appropriated under this subsection.

Therefore, this grant funds the development of practical applications of research knowledge and giving of instruction and practical demonstrations of existing or improved practices or technologies in agriculture, uses of solar energy with respect to agriculture, home economics, and rural energy, and subjects relating thereto to persons not attending the University of the District of Columbia, and imparting information on those subjects through demonstrations, publications, and otherwise and for the necessary printing and distribution of information in connection with the subjects.

Section 7417 of the Food, Conservation, and Energy Act of 2008 (Public Law 110-234) amended section 208 of the District of Columbia Public Postsecondary Education Reorganization Act to eliminate any matching requirement for the extension formula funds provided to the University of the District of Columbia, effective October 1, 2008.

2. Agricultural Extension at 1890 Land-Grant Institutions, Including Tuskegee University and West Virginia State University (Section 1444) (FORMULA)

Section 1444 of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 (NARETPA), enacted as Title XIV of Public Law 95-113 (The Food and Agriculture Act of 1977) on Sept. 29, 1977, is also known as the Section 1444 Program. This law provides the basis for Federal funding for agricultural extension activities at 1890 Land-Grant Institutions, including Tuskegee University and West Virginia State University.

3. SMITH-LEVER 3(d):

(a) Expanded Food and Nutrition (EFNEP) (ENUT Nutrition Education) (FORMULA)

Section 3(d) of the Smith-Lever Act provides that the Secretary of Agriculture may fund extension work in the several States, Territories, and possessions. Section 1425 of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 (as amended) (7 U.S.C. 3175) provides the statutory formula for the Expanded Food and Nutrition Education Program (EFNEP). This program supports Federal funding for extension activities associated with

disseminating the results of food and nutrition research performed or funded by the U.S. Department of Agriculture to enable low-income individuals and families to engage in nutritionally sound food purchase and preparation practices. Funding extends to EFNEP at State land-grant colleges established under the Morrill Act of July 2, 1862, as amended, and the Morrill Act of August 30, 1890, as amended, including Tuskegee University and West Virginia State University. Section 7116 of the Food, Conservation, and Energy Act of 2008 (FCEA) amended Section 1425 of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 (7 U.S.C. 3175) to accomplish various goals as indicated in Part I.A. of the Formula Grant Opportunity (FGO).

(b) Youth at Risk

Pertinent details will be provided at a future date.

(c) Improve Rural Quality of Life Represents a new program:

Pertinent details will be provided at a future date.

(d) Farm Safety

Pertinent details will be provided at a future date.

(e) New Technologies at Ag Extension

Pertinent details will be provided at a future date.

(f) Pest Management

Pertinent details will be provided at a future date.

(g) Sustainable Agriculture (SARE-PD)

Pertinent details will be provided at a future date.

(h) Federally Recognized Tribes

Pertinent details will be provided at a future date.

(i) Youth Farm Safety Education & Certification

Pertinent details will be provided at a future date.

(j) EIPM Support

Pertinent details will be provided at a future date.

(k) EIPM Coordination

Pertinent details will be provided at a future date.

4. OTHER EXTENSION ACTIVITIES:

(a) Renewable Resources Extension Act (FORMULA)

The Renewable Resources Extension Act of 1978 (P.L. 95-306, 92 Stat. 349, 16 U.S.C. 1671 et seq.) provides for an expanded and comprehensive extension program for forest and rangeland renewable resources. The majority of the appropriated funds are distributed to eligible institutions based on a formula that considers the geographic extent, ecosystem productivity, economic contribution, and population for each state.

Since FY 2002, a small amount of these funds have been used to fund National Focus Fund Projects which have served to expand comprehensive extension programs for forest and rangeland renewable resources on a national, regional, or multi-institutional scale through better program coordination, innovative technologies, and extension models that can be easily duplicated.

(b) Rural Health and Safety

The authority for this program is under Section 502 (i) of Title V of the Rural Development Act of 1972, as amended (7 U.S.C. 2662). The Omnibus Appropriations Act, 2009 (Pub. L. 111-8) appropriates funds under Division A, Title I. The Rural Health and Safety Education Competitive Program addresses the Individual and Family Health Education component of the authorization.

(c) Extension Services at the 1994 Institutions

This program is authorized under Section 534(b) of the Equity in Educational Land-Grant Status Act of 1994 (7 U.S.C. 201 note), as amended by the

Agricultural Research, Extension, and Education Reform Act of 1998 (AREERA) (7 U.S.C. 7601). This section amends Section 3 of the Act of May 8, 1914 (Smith-Lever Act) (7 U.S.C. 341 et seq.), as amended. Under this authority, appropriated funds are to be awarded to the 1994 Land-Grant Institutions (hereinafter referred to as 1994 institutions) for Extension work and funds are to be distributed on the basis of a competitive application process.

(d) Grants to Youth Serving Institutions

Title IV of the Agricultural Research, Extension, and Education Reform Act of 1998 (7 U.S.C. 7630) authorized the Secretary of Agriculture to make grants to the Girl Scouts of the United States of America (GSUSA), the Boy Scouts of America (BSA), the National 4-H Council (4-H Council), and the National FFA Organization (FFA). Section 7309 of the Food, Conservation, and Energy Act (FCEA) of 2008 reauthorized 7 U.S.C. 7630, which was also amended to provide additional flexibility in content delivery to each organization receiving funds and to allow recipients to redistribute all or part of the funds to individual councils or local chapters without further need of approval from the Secretary. In FY 2009, Terms and Conditions of these awards should facilitate the redistribution without further need of approval. The Omnibus Appropriations Act, 2009 (Pub. L. 111-8) appropriates funds under Division A, Title I for grants to youth organizations pursuant to 7 U.S.C. 7630.

(e) Food Animal Residue Avoidance Database Program (FARAD)

Title VI Section 604 of the Agricultural Research, Extension, and Education Reform Act of 1998 (7 U.S.C. 7642) states that -The Secretary of Agriculture shall continue operation of the Food Animal Residue Avoidance Database program (referred to in this section as the FARAD program) through contracts, grants, or cooperative agreements with appropriate colleges or universities.

(f) Federal Administration
DIRECT APPROPRIATION

Pertinent details will be provided at a future date.

(g) 1890 Facilities (Section 1447) (FORMULA)

Pursuant to the authority contained in Section 1447 of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 (NARETPA) [7 U.S.C. 3222b], and reauthorized by Section 7123 of the Food, Conservation, and Energy Act of 2008 (FCEA) (Pub. L. 110-246) grants will be awarded under the Facilities Grants Program to the 1890 land-grant institutions, including Tuskegee University and West Virginia State University. The Omnibus Appropriations Act, 2009 appropriates funds under Division A, Title I.

(h) Agriculture Risk Management Education Partnership Grants Program (aka ARPA and RME):

Section 133 of the Agricultural Risk Protection Act of 2000 (ARPA), (Pub. L. 106-224), amended the Federal Crop Insurance Act to add section 524(a)(3); [7 U.S.C. Section 1501 as amended by section 132(a) and section 524]; which requires the Secretary, acting through the National Institute of Food and Agriculture (NIFA), to establish a competitive grants program for the purpose of educating agricultural producers about the full range of risk management activities.

Section 524(a) of the Federal Crop Insurance Act, 7 U.S.C. 1524(a) was further amended by Section 12026 of the Food, Conservation, and Energy Act of 2008, (FCEA)(Pub. L. 110-246), which requires that the Secretary place special emphasis on risk management strategies, education, and specifically targeted outreach.

, 7 U.S.C 341-349.

OBJECTIVES:

GENERAL: [As reflected in Part I.B. of the Request for Applications (RFA) or Formula Grant Opportunity (FGO)]

1. Smith-Lever (3b) & (c) Formula Funds

a) Cooperative Extension Programs at 1862 Land-Grant Institutions (aka 3b & c Formula Funds) (FORMULA)

These funds are used by institutions eligible to receive funds under the Act of Congress approved July second, eighteen hundred and sixty-two, entitled An Act donating public lands to the several States and Territories which may provide colleges for the benefit of agriculture and the mechanic arts (Twelfth Statutes at Large, page five hundred and three) (1862 Land-grant Institutions), for the development of practical applications of research knowledge and giving of instruction and practical demonstrations of existing or improved practices or technologies in agriculture, uses of solar energy with respect to agriculture, home economics, and rural energy, and subjects relating thereto to persons not attending or resident in 1862 Land-grant Institutions in the several communities, and imparting information on those subjects through demonstrations, publications, and otherwise and for the necessary printing and distribution of information in connection with the subjects.

The purpose of this funding is to conduct agricultural extension work.

(b) Cooperative Extension Programs at 1862 Land-Grant Institutions (Special Needs) aka ESPN Special Needs (FORMULA)

The purpose of this funding is to increase the level of agricultural extension work. These funds are used to support extension activities identified in the eligible institutions approved 5-Year Plan of Work. Special Needs funds are allocated to a State Cooperative Extension Service to fulfill a purpose or overcome a condition peculiar to the State, as compared to the country as a whole, or for a purpose not normally a part of the continuing extension program.

(c) Smith-Lever Special Needs Program (aka ESPN Special Needs Projects)

Funds support innovative extension education approaches to addressing risks, hazards and disasters. The Cooperative Extension Service (CES) has an important role in reducing the impact of disasters through extension education. The Extension Special Needs program sponsors targeted projects that enable CES to assist in preparing for, providing an educational response to, and recovering from disasters. Education programming funded by this program will help communities, families and individuals become more self-sustaining by strengthening and increasing their resiliency to disaster. Some types of hazards and disaster related events funded in previous years include uncertainties caused by losses of economic infrastructure, severe weather or other natural disasters, security breaches, human disease, or high consequence animal diseases and plant pests.

There has been an increase in public awareness regarding the number and severity of disaster type critical incidents involving earthquakes, tsunamis, tornadoes, wildfire, drought, contagious disease, and terrorist events. The National Academy of Sciences Committee on Environment and Natural Resources and the Subcommittee on Disaster Reduction of the National Science and Technology Council have acknowledged the many effective roles that the Cooperative Extension System (CES) has played in disaster preparedness, response and remediation.

Within the states and territories, the CES has repeatedly served as the trusted community organization that has helped to enable families, communities, and businesses to successfully prepare for, respond to and cope with disaster losses and critical incidents. Once a disaster has occurred, the local extension outreach includes: 1) Communicating practical science-based risk information, 2) Developing relevant educational experiences and programs, 3) Working with individuals and communities to open new communication channels, and 4) Mitigating losses and facilitating recovery. Never was this more evident than after hurricanes Katrina, Rita and Wilma in 2005 and during the 2008 Midwestern Summer flooding. During and after these incidents, local Extension agents served as a critical communication node throughout affected urban and rural areas, particularly when traditional communication systems were temporarily overwhelmed or destroyed.

The Extension Special Needs RFA encourages proposals that specifically address one or more of the following six key target areas:

1. Education and Technical assistance through inter-disciplinary and multi-state

disaster training programs and demonstration projects for problem solving, especially those which build upon already existing strengths, contribute to or expand the EDEN and/or eXtension educational materials related to disaster preparation, mitigation, response and recovery;

2. Collaboration with Federal, state and local agencies and other disaster relief organizations to support education and service activities that enhance recovery of impacted rural communities, schools, businesses and agricultural-based activities;

3. Long range family, community and regional planning projects that will enhance implementation of programs that serve public needs in preparation for, during and after emergency situations within impacted States and across impacted regions;

4. Communication delivery of key information that meets end-users needs in a timely fashion with consideration of potentially limited communication channels due to disaster situations;

5. Dissemination of credible, science-based information that is reliable and easily accessible even if electronic access is compromised; and

6. Integrated Research and Extension Planning Projects (up to \$15,000) are intended to provide assistance to applicants in bringing together teams for the development of highly competitive grant proposals where extension personnel would apply for large grants (in excess of \$250,000) from other grant programs (e.g., the Agriculture and Food Research Initiative and etc) and lead nationally important disaster prevention, protection and mitigation projects.

Fundable projects should support education and extension activities which:

1. Reduce risk through planning, disaster preparedness and emergency response by improving communication between the public, community leaders, state and Federal agencies;

2. Develop strategies and educational materials and/or programming in the area of emergency planning, infrastructure design and disaster recovery operation;

3. Develop community networks that provide real-time disaster education information; and/or

4. Develop or expand educational materials regarding disaster issues suitable for use and distribution by the Extension Disaster Education Network (EDEN) or the eXtension Community of Practice working with disaster planning.

(d) CSRS Retirement Contributions Program (aka ERET CSRS Retirement) (FORMULA)

Formula grants authorized under the CSRS Retirement Contributions Program. These grants are used to defray the CSRS Retirement costs to the 1862 land-grant institutions for the former Schedule A Appointments who conduct agricultural extension work.

(e) FERS Retirement Contributions Program (aka ERET FERS Retirement) (FORMULA)

These grants are used to defray the FERS Retirement costs to the 1862 land-grant institutions for the former Schedule A Appointments who conduct agricultural extension work.

(f) District of Columbia Public Postsecondary Education Reorganization Act Program (Cooperative Extension Programs) aka EUDC

These funds are used by the University of the District of Columbia for the development of practical applications of research knowledge and giving of instruction and practical demonstrations of existing or improved practices or technologies in agriculture, uses of solar energy with respect to agriculture, home economics, and rural energy, and subjects relating thereto to persons not attending the University of the District of Columbia, and imparting information on those subjects through demonstrations, publications, and otherwise and for the necessary printing and distribution of information in connection with the

subjects.

2. Agricultural Extension at 1890 Land-Grant Institutions, Including Tuskegee University and West Virginia State University (Section 1444) (FORMULA)
The purpose of this funding is to support agricultural and forestry extension activities at 1890 Land-Grant Institutions, including Tuskegee University and West Virginia State University.

3. SMITH-LEVER 3(d):

(a) Expanded Food and Nutrition (EFNEP) (ENUT Nutrition Education) (FORMULA)

These grants are used to assist all States in carrying out a program of extension activities designed to employ and train professional and paraprofessional aides to engage in direct nutrition education of low-income families and in other appropriate nutrition education programs.

(b) Children, Youth, and Families at Risk (CYFAR)
Pertinent details will be provided at a future date.

(c) Improve Rural Quality of Life
Pertinent details will be provided at a future date.

(d) Farm Safety
Pertinent details will be provided at a future date.

(e) New Technologies at Ag Extension
Pertinent details will be provided at a future date.

(f) Pest Management
Pertinent details will be provided at a future date.

(g) Sustainable Agriculture (SARE-PD)
Pertinent details will be provided at a future date.

(h) Federally Recognized Tribes
Pertinent details will be provided at a future date.

(i) Youth Farm Safety Education & Certification
Pertinent details will be provided at a future date.

(j) EIPM Support
Pertinent details will be provided at a future date.

(k) EIPM Coordination
Pertinent details will be provided at a future date.

4. OTHER EXTENSION ACTIVITIES:

(a) Renewable Resources Extension Act

The purpose of the grant program is to provide funds for projects that:
(1) Have national or regional relevancy;

(2) Develop new and innovative projects that can be replicated at other institutions; or

(3) Develop a strategic framework for the nationwide forest and rangeland resources extension program.

NFF priorities are those that have been identified in the FY 2005-2009 RREA Strategic Plan:

http://www.nifa.usda.gov/nea/nre/in_focus/forests_if_rrea.html.

(b) Rural Health and Safety

As specified in 7 U.S.C. 2662, grants will be made to establish the Rural Health and Safety Education Competitive Programs carried out by the eligible organizations in rural areas. The assistance provided by these programs, to the extent practicable, shall be coordinated with and delivered in cooperation with similar services or assistance by other Federal Agencies or programs for rural residents.

(c) Extension Services at the 1994 Institutions

This program provides funding to (1) increase Extension program capacity at

1994 institutions; and (2) address special needs, take advantage of important opportunities, and/or demonstrate long-term sustained benefits of Extension projects at 1994 institutions. In FY 2009, funded projects will support one or more of the six NIFA Strategic Goals (see Part VIII, E., Definitions) outlined in the NIFA Strategic Plan for FY 2007-2012:

- 1) Enhance international competitiveness of American agriculture;
- 2) Enhance the competitiveness and sustainability of rural and farm economies;
- 3) Support increased economic opportunities and improved quality of life in rural America;
- 4) Enhance protection and safety of the Nation's agriculture and food supply;
- 5) Improve the Nation's nutrition and health; and
- 6) Protect and enhance the Nation's natural resource base and environment.

(d) Grants to Youth Serving Institutions

As specified in 7 U.S.C. 7630, grants will be made to establish pilot projects to expand the youth development programs carried out by the eligible organizations in rural areas or small towns.

Broad Purposes:

Support and enhance the goals, objectives, and priorities of the eligible youth organizations;

Support programs which address issues and needs of rural youth;
Involve youth in design and implementation of their educational activities;
Increase knowledge, skills, attitudes and behaviors necessary for rural youth to live productive, contributing, and fulfilling lives; and

Increase economic opportunities and sustainability and improve quality of life in rural communities through enhanced human, social, civic, natural, financial, cultural, and built capital.

(e) Food Animal Residue Avoidance Database Program (FARAD)

The purpose of the FARAD is to provide livestock producers, extension specialists, scientists, and veterinarians with up to date information to prevent drug, pesticide and environmental contaminant residues in food animal products.

(f) Federal Administration

Pertinent details will be provided at a future date.

(g) 1890 Facilities (Section 1447)

The 1890 Facilities Grants Program provides funds for the acquisition and improvement of agricultural and food sciences facilities and equipment, including libraries, so that the 1890 land-grant institutions, Tuskegee University and West Virginia State University, may participate fully in the production of human capital in the food and agricultural sciences.

(h) Agriculture Risk Management Education Partnership Grants Program (aka ARPA & RME)

Pertinent details will be provided at a future date.

TYPES OF ASSISTANCE:

FORMULA GRANTS; PROJECT GRANTS

USES AND USE RESTRICTIONS:

Section :070 Uses ONLY:

(See RFA or FGO Executive Summary and Part I.A. Background)

Other:

Grant funds must be used for allowable costs necessary to conduct approved integrated research, extension and education objectives to address food and agricultural sciences, in the broadest sense.

NIFA has determined that grant funds awarded under this authority may not be used for the renovation or refurbishment of research, education, or extension space; the purchase or installation of fixed equipment in such space; or the planning, repair, rehabilitation, acquisition, or construction of buildings or facilities.

Funds may not be used for any purposes other than those approved in the grant award documents.

Tuition remission is not allowable.

For most of the Cooperative Extension Service (CES) programs, Indirect costs are not allowable. Pertinent details are provided for the few exceptions to the rule.

OTHER DETAILED INFORMATION USES ONLY

1. SMITH-LEVER 3(b) & (c):

(a) Cooperative Extension Programs at 1862 Land-Grant Institutions (aka 3b & c Formula Funds) (FORMULA)

Sections 3(b) and 3(c) of the Smith-Lever Act provide funding for agricultural extension programs at 1862 Land-grant universities.

These funds are used by institutions eligible to receive funds under the Act of Congress approved July second, eighteen hundred and sixty-two, entitled An Act donating public lands to the several States and Territories which may provide colleges for the benefit of agriculture and the mechanic arts (Twelfth Statutes at Large, page five hundred and three) (1862 Land-grant Institutions), for the development of practical applications of research knowledge and giving of instruction and practical demonstrations of existing or improved practices or technologies in agriculture, uses of solar energy with respect to agriculture, home economics, and rural energy, and subjects relating thereto to persons not attending or resident in 1862 Land-grant Institutions in the several communities, and imparting information on those subjects through demonstrations, publications, and otherwise and for the necessary printing and distribution of information in connection with the subjects.

(b) Cooperative Extension Programs at 1862 Land-Grant Institutions (Special Needs) aka ESPN Special Needs (FORMULA)

These funds are used to support extension activities identified in the eligible institutions approved 5-Year Plan of Work. Special Needs funds are allocated to a State Cooperative Extension Service to fulfill a purpose or overcome a condition peculiar to the State, as compared to the country as a whole, or for a purpose not normally a part of the continuing extension program.

Funds are allocated under this program to a State Cooperative Extension Service to fulfill a purpose or overcome a condition peculiar to the State, as compared to the country as a whole, or for a purpose not normally a part of the continuing extension program.

(c) Smith-Lever Special Needs Program (aka ESPN Special Needs Projects)

There has been an increase in public awareness regarding the number and severity of disaster type critical incidents involving earthquakes, tsunamis, tornadoes, wildfire, drought, contagious disease, and terrorist events. The National Academy of Sciences Committee on Environment and Natural Resources and the Subcommittee on Disaster Reduction of the National Science and Technology Council have acknowledged the many effective roles that the Cooperative Extension System (CES) has played in disaster preparedness, response and remediation.

Within the states and territories, the CES has repeatedly served as the trusted community organization that has helped to enable families, communities, and businesses to successfully prepare for, respond to and cope with disaster losses and critical incidents. Once a disaster has occurred, the local extension outreach includes: 1) Communicating practical science-based risk information, 2) Developing relevant educational experiences and programs, 3) Working with individuals and communities to open new communication channels, and 4) Mitigating losses and facilitating recovery. Never was this more evident than after hurricanes Katrina, Rita and Wilma in 2005 and during the 2008 Midwestern Summer flooding. During and after these incidents, local Extension agents served as a critical communication node throughout affected urban and rural areas, particularly when traditional communication systems were temporarily overwhelmed or destroyed.

The Extension Special Needs RFA encourages proposals that specifically address one or more of the following six key target areas:

1. Education and Technical assistance through inter-disciplinary and multi-state

disaster training programs and demonstration projects for problem solving, especially those which build upon already existing strengths, contribute to or expand the EDEN and/or eXtension educational materials related to disaster preparation, mitigation, response and recovery;

2. Collaboration with Federal, state and local agencies and other disaster relief organizations to support education and service activities that enhance recovery of impacted rural communities, schools, businesses and agricultural-based activities;

3. Long range family, community and regional planning projects that will enhance implementation of programs that serve public needs in preparation for, during and after emergency situations within impacted States and across impacted regions;

4. Communication delivery of key information that meets end-users needs in a timely fashion with consideration of potentially limited communication channels due to disaster situations;

5. Dissemination of credible, science-based information that is reliable and easily accessible even if electronic access is compromised; and

6. Integrated Research and Extension Planning Projects (up to \$15,000) are intended to provide assistance to applicants in bringing together teams for the development of highly competitive grant proposals where extension personnel would apply for large grants (in excess of \$250,000) from other grant programs (e.g., the Agriculture and Food Research Initiative and etc) and lead nationally important disaster prevention, protection and mitigation projects.

Fundable projects should support education and extension activities which:

1. Reduce risk through planning, disaster preparedness and emergency response by improving communication between the public, community leaders, state and Federal agencies;

2. Develop strategies and educational materials and/or programming in the area of emergency planning, infrastructure design and disaster recovery operation;

3. Develop community networks that provide real-time disaster education information; and/or

4. Develop or expand educational materials regarding disaster issues suitable for use and distribution by the Extension Disaster Education Network (EDEN) or the eXtension Community of Practice working with disaster planning.

(d) CSRS Retirement Contributions Program (aka ERET CSRS Retirement) (FORMULA)

These grants are used to defray the CSRS Retirement costs to the 1862 land-grant institutions for the former Schedule A Appointments who conduct agricultural extension work.

Funds are provided for the retirement costs of the former Schedule A Appointments at the 1862 land-grant institutions. These funds are allocated based on each institutions relative share of the total payments to OPM during the previous year.

The separate allocation of funds for this purpose is being phased out over a 10-year period, beginning in FY 2007. The amount available for this program is reduced each fiscal year by \$1,473,658 and the same amount is added to the funds available for distribution under the Smith-Lever Act, Section 3(b) and (c), statutory formula.

The Schedule A 213.3113 (a) (1) was issued by the U.S. Office of Personnel Management (OPM) for the United States Department of Agriculture (USDA) to employ individuals in the Cooperative Extension Service (CES) as a joint appointment between USDA and the land grant institution. The official title of Schedule A appointees includes the title Agent (e.g., Agent/Cooperative Extension Director; Agent/Cooperative Extension Educator) as by law only agents with a Schedule A appointment were eligible to participate in the Federal benefit plan(s).

Employment is dependent upon an individuals appointment in the CES at the university. The appointment must be a regular position with at least 50 percent of the staffs time dedicated to extension projects, and the appointee must work and be paid over a 12-month period.

Agents in the Cooperative Extension program are not classified under the General Schedule (GS) classification system or any other Federal pay system. The positions are classified by the employing land grant institution, and the salary is established according to policies and procedures at the institution.

The OPM has the authority and responsibility for issuing rules and regulations covering Schedule A appointments and resulting benefits. OPM has delegated to the USDA the responsibility for overseeing and managing Cooperative Extension Schedule A appointments and the accompanying Federal benefits, (e.g., retirement and insurance plans). The Human Resources Division (HRD)/Cooperative Extension Service (CES) Team within Administrative and Financial Management (AFM) of the Agricultural Research Service (ARS) carries out this function for USDA.

Effective January 31, 2003, the authority to grant Schedule A Excepted Appointments to CES employees was terminated. Public Law 107-171, Farm Security and Rural Investment Act of 2002, dated May 13, 2002, Section 7220, terminated the Schedule A appointing authority used by USDA to grant a companion Federal appointment and Federal benefits to state/university employees of the Cooperative Extension Service. The law allowed the CES Federal appointees that were employed on the day before the date of the enactment of the Act, (i.e., May 12, 2002) to: a) continue to accrue Federal creditable service for retirement; and b) participate in the following benefits as long as the employees continued their employment with the Cooperative Extension Service:

- 1) the Federal Employees Health Benefits Program (FEHB);
- 2) the Federal Employees' Group Life Insurance Program (FELGI);
- 3) the Civil Service Retirement System (CSRS);
- 4) the Federal Employee Retirement System (CSRS);
- 5) the Thrift Savings Plan (TSP); and
- 6) the Federal Long Term Care Insurance Program (FLTCIP).

The Federal Office of Workers Compensation Program (OWCP) is the only Federal program that will not continue as it is not a program that the dual appointee was vested in. Claims already on file with the Department of Labor (DOL) Office of Workers Compensation Office will continue to be processed by the DOL. Using a formula based in the prior years injury or illness claims, NIFA will pay these claims on behalf of each institution and charge that institution by reducing its annual retirement allocation by the amount remitted. If the amount of claims paid exceeds the institutions annual retirement allocation, NIFA will bill the institution for any OWCP costs in excess of the annual retirement allocation.

Changes and new features for the current Federal Benefits programs such as FEHB, FELGI, TSP and FLTCIP will be offered to the CES organizations and employees that are eligible to participate in the affected program(s). However, former Federal appointees cannot participate in new Federal benefit programs that were not in effect as of May 12, 2002.

Effective January 31, 2003, CES can no longer grant Schedule A Federal appointments to new employees because they no longer have a Federal appointment authority available to make new Federal appointments. Former CES Schedule A appointees may be reappointed to another CES organization and continue their benefits as long as they do not have a break in service.

(e) FERS Retirement Contributions Program (aka ERET FERS Retirement) (FORMULA)

Federal funds are provided for the retirement costs of the former Schedule A Appointments at the 1862 land-grant institutions. These funds are allocated to the 1862 land-grant institutions with former Schedule A Appointments based on each institutions relative share of the total payments to OPM during the previous year.

The separate allocation of funds for this purpose is being phased out over a

10-year period, beginning in FY 2008. The amount available for this program is reduced each year by \$376,826 and this same amount is added to the funds available for distribution under the Smith-Lever Act, Section 3(b) and (c), statutory formula.

The Schedule A 213.3113 (a) (1) was issued by the U.S. Office of Personnel Management (OPM) for the United States Department of Agriculture (USDA) to employ individuals in the Cooperative Extension Service (CES) as a joint appointment between USDA and the land-grant institution. The official title of Schedule A appointees includes the title Agent (e.g., Agent/Cooperative Extension Director; Agent/Cooperative Extension Educator) as by law only agents with a Schedule A appointment were eligible to participate in the Federal benefit plan(s).

Employment is dependent upon an individual's appointment in the CES at the university. The appointment must be a regular position with at least 50 percent of the staff's time dedicated to extension projects, and the appointee must work and be paid over a 12 month period.

Agents in the Cooperative Extension program are not classified under the General Schedule (GS) classification system or any other Federal pay system. The positions are classified by the employing land-grant institution, and the salary is established according to policies and procedures at the institution.

The OPM has the authority and responsibility for issuing rules and regulations covering Schedule A appointments and resulting benefits. OPM has delegated to the USDA the responsibility for overseeing and managing Cooperative Extension Schedule A appointments and the accompanying Federal benefits, (e.g., retirement and insurance plans). The Human Resources Division (HRD)/Cooperative Extension Service (CES) Team with Administrative and Financial Management (AFM) of the Agricultural Research Service (ARS) carries out this function for USDA.

Effective January 31, 2003, the authority to grant Schedule A Excepted Appointments to CES employees was terminated. Public Law 107-171, Farm Security and Rural Investment Act of 2002, dated May 13, 2002, Section 7220, terminated the Schedule A appointing authority used by USDA to grant a companion Federal appointment and Federal benefits to state/university employees of the Cooperative Extension Service. The law allowed the CES Federal appointees that were employed on the day before the date of the enactment of the Act, (i.e., May 12, 2002), to: a) continue to accrue Federal creditable service for retirement; and b) participate in the following benefits as long as the employees continued their employment with the Cooperative Extension Service:

- 1) The Federal Employees Health Benefits Program (FEHB);
- 2) The Federal Employees' Group Life Insurance Program (FGLI);
- 3) The Civil Service Retirement System (CSRS);
- 4) The Federal Employee Retirement System (FERS);
- 5) The Thrift Savings Plan (TSP); and
- 6) The Federal Long Term Care Insurance Program (FLTCIP).

The Federal Office of Workers Compensation Program (OWCP) is the only Federal program that will not continue as it is not a program that the dual appointee was vested in. Claims already on file with the Department of Labor (DOL) Office of Workers Compensation Office will continue to be processed by the DOL. Using a formula based in the prior years injury or illness claims, NIFA will pay these claims on behalf of each institution and charge that institution by reducing its annual retirement allocation by the amount remitted. If the amount of claims paid exceeds the institutions annual retirement allocation, NIFA will bill the institution for any OWCP costs in excess of the annual retirement allocation.

Changes and new features for the current Federal Benefits programs such as FEHB, FELGI, TSP and FLTCIP will be offered to the CES organizations and employees that are eligible to participate in the affected program(s). However, former Federal appointees cannot participate in new Federal benefit programs that were not in effect as of May 12, 2002.

Effective January 31, 2003, CES can no longer grant Schedule A Federal

appointments to new employees because they no longer have a Federal appointment authority available to make new Federal appointments. Former CES Schedule A appointees may be reappointed to another CES organization and continue their benefits as long as they do not have a break in service.

(f) District of Columbia Public Postsecondary Education Reorganization Act Program (Cooperative Extension Programs) aka EUDC

These funds are used by the University of the District of Columbia for the development of practical applications of research knowledge and giving of instruction and practical demonstrations of existing or improved practices or technologies in agriculture, uses of solar energy with respect to agriculture, home economics, and rural energy, and subjects relating thereto to persons not attending the University of the District of Columbia, and imparting information on those subjects through demonstrations, publications, and otherwise and for the necessary printing and distribution of information in connection with the subjects.

Sections 208 of the District of Columbia Public Postsecondary Education Reorganization Act provides, in pertinent part, that In the administration of the Act of August 30, 1890 (7 U.S.C. 321-326, 328) (known as the Second Morrill Act), the University [of the District of Columbia] shall be considered to be a university established for the benefit of agriculture and the mechanic arts in accordance with the provisions of the Act of July 2, 1862 (7 U.S.C. 301-305, 307, 308) (known as the First Morrill Act); and the term "State", is used in the laws and provisions of law listed in the preceding paragraphs of this section shall include the District of Columbia. In the administration of the Act of May 8, 1914 (7 U.S.C. 341-346, 347a-349) (known as the Smith-Lever Act) the University [of the District of Columbia] shall be considered to be a university established for the benefit of agriculture and the mechanic arts in accordance with the provisions of the Act of July 2, 1862 (7 U.S.C. 301- 305, 307,308); and the term "State" as used in such Act of May 8, 1914, shall include the District of Columbia, except that the District of Columbia shall not be eligible to receive any sums appropriated under section 3 of such Act. In lieu of an authorization of appropriations for the District of Columbia under section 3 of such Act of May 8, 1914, there is authorized to be appropriated such sums as may be necessary to provide cooperative agricultural extension work in the District of Columbia under such Act. Any reference in such Act (other than section 3 thereof) to funds appropriated under such Act shall in the case of the District of Columbia be considered a reference to funds appropriated under this subsection.

Therefore, this grant funds the development of practical applications of research knowledge and giving of instruction and practical demonstrations of existing or improved practices or technologies in agriculture, uses of solar energy with respect to agriculture, home economics, and rural energy, and subjects relating thereto to persons not attending the University of the District of Columbia, and imparting information on those subjects through demonstrations, publications, and otherwise and for the necessary printing and distribution of information in connection with the subjects.

Section 7417 of the Food, Conservation, and Energy Act of 2008 (Public Law 110-234) amended section 208 of the District of Columbia Public Postsecondary Education Reorganization Act to eliminate any matching requirement for the extension formula funds provided to the University of the District of Columbia, effective October 1, 2008.

2. Agricultural Extension at 1890 Land-Grant Institutions, Including Tuskegee University and West Virginia State University (Section 1444) (FORMULA) Funds appropriated under this section shall be used for expenses of conducting extension programs and activities, and for contributing to the retirement of employees subject to the provisions of the Act of March 4, 1940 (54 Stat. 3040, as amended; 7 U.S.C. 331). No portion of the funds allocated under this grant will be applied, directly or indirectly, to the purchase, erection, preservation, or repair of any building or buildings, or the purchase or rental of land, or in college course teaching, lectures in college, or any other purpose not specified in NARETPA section 1444.

3. SMITH-LEVER 3(d):

(a) Expanded Food and Nutrition (EFNEP) (ENUT Nutrition Education) (FORMULA)

Section 3(d) of the Smith-Lever Act provides that the Secretary of Agriculture may fund extension work in the several States, Territories, and possessions. Section 1425 of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 (as amended) (7 U.S.C. 3175) provides the statutory formula for the Expanded Food and Nutrition Education Program (EFNEP). This program supports Federal funding for extension activities associated with disseminating the results of food and nutrition research performed or funded by the U.S. Department of Agriculture to enable low-income individuals and families to engage in nutritionally sound food purchase and preparation practices. Funding extends to EFNEP at State land-grant colleges established under the Morrill Act of July 2, 1862, as amended, and the Morrill Act of August 30, 1890, as amended, including Tuskegee University and West Virginia State University.

(b) Children, Youth, and Families at Risk (CYFAR)

Pertinent details will be provided at a future date.

(c) Improve Rural Quality of Life

Pertinent details will be provided at a future date.

(d) Farm Safety

Pertinent details will be provided at a future date.

(e) New Technologies at Ag Extension

Pertinent details will be provided at a future date.

(f) Pest Management

Pertinent details will be provided at a future date.

(g) Sustainable Agriculture (SARE-PD)

Pertinent details will be provided at a future date.

(h) Federally Recognized Tribes

Pertinent details will be provided at a future date.

(i) Youth Farm Safety Education & Certification

Pertinent details will be provided at a future date.

(j) EIPM Support

Pertinent details will be provided at a future date.

(k) EIPM Coordination

Pertinent details will be provided at a future date.

4. OTHER EXTENSION ACTIVITIES:

(a) Renewable Resources Extension Act

Renewable Resources Extension Act-National Focus Fund Projects provide for expanded and comprehensive extension programs for forest and rangeland renewable resources programs at a national, regional, or multi-institutional level.

Since FY 2002, a small amount of these funds have been used to fund National Focus Fund Projects which have served to expand comprehensive extension programs for forest and rangeland renewable resources on a national, regional, or multi-institutional scale through better program coordination, innovative technologies, and extension models that can be easily duplicated.

(b) Rural Health and Safety

The Rural Health and Safety Education Competitive Grants Program is designed to meet national goals for addressing the health and access needs of rural Americans. Rural Health and Safety Education Programs will focus on

issues related to aging in one or more of three areas: 1) population aging in rural areas; 2) eldercare or caregiving and its impact on rural and farm families; and/or 3) related issues of rural health care to provide older individuals and families with:

Information as to the value of good health in an era of population aging;
Information to increase the older adult individual or family's motivation to take more responsibility for their own health;
Access by older adults to health promotion and educational activities;
Trained health and allied health professionals or paraprofessionals committed to rural health and care of aging population; and
Training for volunteers and health services providers concerning health promotion and
health care services for rural older adult populations, in cooperation with the Department of Health and Human Services.

(c) Extension Services at the 1994 Institutions

The Tribal Colleges Extension Program (TCEP) provides funding for the 1994 Land-Grant Institutions to conduct non-formal education and outreach activities to help meet the needs of the Native American people. Appropriated funds are to be awarded to the 1994 Land-Grant Institutions for Extension work and funds are to be distributed on the basis of a competitive application process.

(d) Grants to Youth Serving Institutions (aka Rural Youth Development or RYD Grants)

The Rural Youth Development Grants (RYD) Program supports the expansion of effective, high quality youth development programs for youth in rural areas and small towns. The overarching theme of this grant program is Youth Build Strong Rural Communities. The sub-theme is Rural youth learn and apply leadership, citizenship, and life skills to improve their own lives and the communities in which they live. This philosophy undergirds the program design and methodologies of this grant program.

(e) Food Animal Residue Avoidance Database Program (FARAD)

No specific information provided

(f) Federal Administration (DIRECT APPROPRIATION)

Pertinent details will be provided at a future date.

(g) 1890 Facilities (Section 1447)

Facilities awards will be made for the acquisition and improvement of agricultural and food sciences facilities and equipment, including libraries, so that the 1890 land-grant institutions, including Tuskegee University and West Virginia State University may participate fully in the production of human capital in the food and agricultural sciences. Priorities for the eligible institutions are set in the facilities plan that is submitted to NIFA for review and approval.

(h) Agriculture Risk Management Education Partnerships Grants Program (aka ARPA & RME):

The Secretary, acting through NIFA, is required to establish a competitive grants program for the purpose of educating agricultural producers about the full range of risk management activities. These activities include futures, options, agricultural trade options, crop insurance, cash forward contracting, debt reduction, production diversification, marketing plans and tactics, farm resources risk reduction, and other appropriate risk management strategies. The Risk Management Education (RME) program brings the existing knowledge base to bear on risk management issues faced by agricultural producers and expands the program throughout the Nation on a regional and multi-regional basis. Section :070 RESTRICTIONS (ONLY):
See RFA or FGO: Part IV.E. Funding Restrictions and Part III.B. Cost-Sharing and Matching.)

Grant funds must be used for allowable costs necessary to conduct approved integrated research, extension and education objectives to address food and agricultural sciences, in the broadest sense.

NIFA has determined that grant funds awarded under this authority may not be used for the renovation or refurbishment of research, education, or extension space; the purchase or installation of fixed equipment in such space; or the planning, repair, rehabilitation, acquisition, or construction of buildings or facilities.

Funds may not be used for any purposes other than those approved in the grant award documents.

Tuition remission is not allowable.

For most of the Cooperative Extension Service (CES) programs, Indirect costs are not allowable. Pertinent details are provided for the few exceptions to the rule.

OTHER - DETAILED INFORMATION RESTRICTIONS ONLY

SPECIAL NOTE:

For most of the extension programs, Indirect Cost is NOT allowable and Matching is NOT required. Exceptions to the general rule are indicated, as deemed necessary and appropriate.

1. SMITH-LEVER 3(b) & (c):

(a) Cooperative Extension Programs at 1862 Land-Grant Institutions (aka 3b & c Formula Funds) (FORMULA) - - Funding Restrictions

(1) Program and Extension Activities

Smith-Lever Act sections 3(b) and 3(c) Federal funding must be used for extension programs and activities identified in the institutions approved 5-Year Plan of Work.

(2) Matching

Section 3(e)(1) of the Smith-Lever Act (7 U.S.C. 343(e)(1))states, with regard to institutions in the 50 states, no allotment shall be made to a State under subsection (b) or (c), and no payments from the allotment shall be made to a State, in excess of the amount that the State makes available out of non-Federal funds for cooperative extension work. However, section 3(e)(4) of the Smith-Lever Act (& U.S.C. 343(e)(4)) provides that Effective beginning for fiscal year 2003, in lieu of the matching funds requirement of paragraph (1), the insular areas of [American Samoa,] the Commonwealth of Puerto Rico, Guam, [Micronesia, the Northern Mariana Islands,] and the Virgin Islands of the United States shall provide matching funds from non-Federal sources in an amount equal to not less than 50 percent of the formula funds distributed by the Secretary to each of the insular areas, respectively, under this section..... The Secretary may waive the matching fund requirement [of 50 percent] for any fiscal year if the Secretary determines that the government of the insular area will be unlikely to meet the matching requirement for the fiscal year.

NIFA may consider and approve matching waivers submitted by Insular 1862 Land-grant Institutions (American Samoa, Guam, Micronesia, Northern Marianas Islands, Puerto Rico, and the Virgin Islands).

(3) Indirect Costs and Tuition Remission

In accordance with section 1473 of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 (7 U.S.C. 3319), indirect costs and tuition remission are unallowable as Sections 3(b) and 3(c) of the Smith-Lever Act formula grant expenditures.

(4) Other Restrictions:

No portion of these funds may be applied, directly or indirectly, to the purchase, erection, preservation, or repair of any building or buildings, or the purchase or rental of land, or in college-course teaching, lectures in college, or any other purpose not specified in this Act.

(b) Cooperative Extension Programs at 1862 Land-Grant Institutions (Special Needs) aka ESPN Special Needs (FORMULA) - - Funding Restrictions:

(1) Approved NIFA Special Needs Projects
Special Needs Federal funding must be used on extension activities identified in the institutions approved 5-Year Plan of Work.

(2) Matching

The Special Needs program requires that Federal funds be fully matched (i.e., 100 percent) by 1862 Land-grant Institutions.

(3) Indirect Costs and Tuition Remission

In accordance with section 1473 of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 (7 U.S.C. 3319), indirect costs and tuition remission are unallowable as Special Needs formula grant expenditures.

(4) Other Restrictions

No portion of Federal funds allotted under Special Needs grant may be applied, directly or indirectly, to the purchase, erection, preservation, or repair of any building or buildings, or the purchase or rental of land, or in college-course teaching, lectures in college, or any other purpose not specified in the Smith-Lever Act.

(c) Smith-Lever Special Needs Program (aka ESPN Special Needs Projects) - - Funding Restrictions

Indirect costs and tuition remission are not allowable and no funds will be approved for these purposes. Costs that are a part of the institutions indirect cost pool may not be reclassified as direct costs for the purpose of making them allowable.

(d) CSRS Retirement Contributions Program (aka ERET CSRS Retirement) (FORMULA)

Funding Restrictions

(1) Approved NIFA CSRS Retirement Contributions Program Costs
NIFA CSRS retirement allocations must be used to support CSRS retirement costs for former Schedule A Appointments.

(2) Matching

Section 3(e)(1) of the Smith-Lever Act (7 U.S.C. 343(e)(1)) states, with regard to institutions in the 50 states, no allotment shall be made to a State under subsection (b) or (c), and no payments from the allotment shall be made to a State, in excess of the amount that the State makes available out of non-Federal funds for cooperative extension work. However, section 3(e)(4) of the Smith-Lever Act (U.S.C. 343(e)(4)) provides that Effective beginning for fiscal year 2003, in lieu of the matching funds requirements of paragraph (1), the insular areas of [American Samoa,] the Commonwealth of Puerto Rico, Guam, [Micronesia, the Northern Marianas Islands,] and the Virgin Islands of the United States shall provide matching funds from non-Federal sources in an amount equal to not less than 50 percent of the formula funds distributed by the Secretary to each of the insular areas, respectively, under this section. The Secretary may waive the matching fund requirement [of 50 percent] for any fiscal year if the Secretary determines that the government of the insular area will be unlikely to meet the matching requirement for the fiscal year. NIFA may consider and approve matching waivers submitted by 1862 land-grant Institutions in insular areas.

Please note that these matching funds may be used for any extension activity identified in the state plan of work.

(3) Indirect Costs and Tuition Remission

In accordance with section 1473 of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 (7 U.S.C. 3319), indirect costs and tuition remission are unallowable as CSRS retirement contribution formula grant expenditures.

(e) FERS Retirement Contributions Program (aka ERET FERS Retirement) (FORMULA) - - Funding Restrictions

(1) Approved NIFA FERS Retirement Contributions Programs
NIFA FERS retirement allocations must be used to support FERS retirement costs for former Schedule A Appointments.

(2) Matching

Section 3(e)(1) of the Smith-Lever Act (7 U.S.C. 343(e)(1)) states, with regard to institutions in the 50 states, no allotment shall be made to a State under subsection (b) or (c), and no payments from the allotment shall be made to a State, in excess of the amount that the State makes available out of non-Federal funds for cooperative extension work. However, section 3(e)(4) of the Smith-Lever Act (U.S.C. 343(e)(4)) provides that Effective beginning for fiscal year 2003, in lieu of the matching funds requirements of paragraph (1), the insular areas of [American Samoa,] the Commonwealth of Puerto Rico, Guam, [Micronesia, the Northern Marianas Islands,] and the Virgin Islands of the United States shall provide matching funds from non-Federal sources in an amount equal to not less than 50 percent of the formula funds distributed by the Secretary to each of the insular areas, respectively, under this section. The Secretary may waive the matching fund requirement [of 50 percent] for any fiscal year if the Secretary determines that the government of the insular area will be unlikely to meet the matching requirement for the fiscal year. NIFA may consider and approve matching waivers submitted by 1862 land-grant Institutions in insular areas.

Please note that these matching funds may be used for any extension activity identified in the state plan of work.

(3) Indirect Costs and Tuition Remission

In accordance with section 1473 of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 (7 U.S.C. 3319), indirect costs and tuition remission are unallowable as FERS retirement contribution formula grant expenditures.

(f) District of Columbia Public Postsecondary Education Reorganization Act Program (Cooperative Extension Programs) aka EUDC -- Funding Restrictions:

(1) Approved NIFA DCPPERA Projects

DCPPERA Federal funding must be used to support extension activities identified in the approved 5-Year Plan of Work.

(2) Matching

There is no matching requirement for these funds.

(3) Indirect Costs and Tuition Remission

In accordance with section 1473 of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 (7 U.S.C. 3319), indirect costs and tuition remission are unallowable as DCPPERA formula grant expenditures.

(4) Other Restrictions

No portion of these funds may be applied, directly or indirectly, to the purchase, erection, preservation, or repair of any building or buildings, or the purchase or rental of land, or in college-course teaching, lectures in college, or any other purpose not specified in this Act.

2. Agricultural Extension at 1890 Land-Grant Institutions, Including Tuskegee University and West Virginia State University (Section 1444) (FORMULA) -- Funding Restrictions:

(1) Approved NIFA Section 1444 Projects

Section 1444 Program funding must be used to support agricultural extension activities identified in the approved 5-Year Plan of Work.

(2) Matching

NARETPA section 1449 (7 U.S.C. 3222d) states that the State shall provide matching funds from non-Federal sources. Such matching funds shall be for an amount equal to not less than ... 100 percent of the formula [grant] funds to be distributed to the eligible institution for fiscal year 2007 and each fiscal year thereafter. ... Notwithstanding [redistributing the funds], the Secretary may waive the matching funds requirement ... above the 50 percent level for any

fiscal year for an eligible institution of a State if the Secretary determines that the State will be unlikely to satisfy the matching requirement.

7 CFR 3419.1 defines matching funds as funds from non-Federal sources made available by the State to the eligible institutions ... [for] programs or activities that fall within the purposes of agricultural research and cooperative extension under sections 1444 and 1445 of NARETPA ... or [for] qualifying educational activities. Matching funds means cash contributions and excludes in-kind matching contributions. It defines non-Federal sources as funds made available by the State to the eligible institution either through direct appropriation or under any authority (other than authority to charge tuition and fees paid by students) provided by a State to an eligible institution to raise revenue, such as gift acceptance authority or user fees. Finally, it defines qualifying educational activities as programs that address food and agricultural sciences components of an eligible institution.

7 CFR 3419.6 states that The required matching funds for the formula programs shall be used by an eligible institution for agricultural research and extension activities that have been approved in the plan of work required under sections 1444(d) and 1445(c) of the National Agricultural Research, Extension, and Teaching Policy Act of 1977... or for .. qualifying education activities.

Please note that while Federal funds must be expended on agricultural extension, the matching funds may be used for agricultural research, agricultural extension, or qualifying educational activities. NIFA may consider and approve matching waivers above the 50 percent level. See Part IV.B.6. for additional information.

(3) Indirect Costs and Tuition Remission

In accordance with NARETPA section 1473, indirect costs and tuition remission are unallowable as Section 1444 Program formula grant expenditures.

3. SMITH-LEVER 3(d):

(a) Expanded Food and Nutrition (EFNEP) (ENUT Nutrition Education) (FORMULA) -- Funding Restrictions

(1) Approved NIFA EFNEP Projects

EFNEP Federal funding must be used on NIFA approved EFNEP projects.

(2) Matching

There is no cost-sharing or matching requirement for this program.

(3) Indirect Costs and Tuition Remission

In accordance with section 1473 of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 (7 U.S.C. 3319), indirect costs and tuition remission are unallowable as EFNEP formula grant expenditures.

(b) Children, Youth and Families at Risk (CYFAR)

Pertinent details will be provided at a future date.

(c) Improve Rural Quality of Life

Pertinent details will be provided at a future date.

(d) Farm Safety

Pertinent details will be provided at a future date.

(e) New Technologies at Ag Extension

Pertinent details will be provided at a future date.

(f) Pest Management

Pertinent details will be provided at a future date.

(g) Sustainable Agriculture (SARE-PD)

Pertinent details will be provided at a future date.

(h) Federally Recognized Tribes

Pertinent details will be provided at a future date.

(i) Youth Farm Safety Education & Certification
Pertinent details will be provided at a future date.

(j) EIPM Support
Pertinent details will be provided at a future date.

(k) EIPM Coordination
Pertinent details will be provided at a future date.

4. OTHER EXTENSION ACTIVITIES -- Funding Restrictions:

(a) Renewable Resources Extension Act (RREA)

The majority of the appropriated funds are distributed to eligible institutions based on a formula that considers the geographic extent, ecosystem productivity, economic contribution, and population for each state.

In accordance with Section 1473 of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 (7 U.S.C. 3319), Indirect costs are NOT allowable.

Since FY 2002, a small amount of these funds have been used to fund National Focus Fund Projects (RREA-NFF).

NIFA does not require matching support for this program and matching resources will not be factored into the review process as evaluation criteria.

Section 720 of the Consolidated and Further Continuing Appropriations Act, 2012 (Pub.L. No. 112-55) limits indirect costs to 30 percent of the total Federal funds provided under each award. Therefore, when preparing budgets, applicants should limit their requests for recovery of indirect costs to the lesser of their institutions official negotiated indirect cost rate or the equivalent of 30 percent of total Federal funds awarded.

(b) Rural Health and Safety

The Rural Health and Safety Education Competitive Grants Program provides funds to meet national goals for addressing the health and access needs of rural Americans.

NIFA does not require matching or cost sharing support for this program and matching resources will not be factored into the review process as evaluation criteria.

NIFA has determined that grant funds awarded under this authority may not be used for the renovation or refurbishment of research, education, or extension space; the purchase or installation of fixed equipment in such space; or the planning, repair, rehabilitation, acquisition, or construction of buildings or facilities.

Section 720 of the Consolidated and Further Continuing Appropriations Act, 2012 (Pub.L. No. 112-55) limits indirect costs to 30 percent of the total Federal funds provided under each award. Therefore, when preparing budgets, applicants should limit their requests for recovery of indirect costs to the lesser of their institutions official negotiated indirect cost rate or the equivalent of 30 percent of total Federal funds awarded.

(c) Extension Services at the 1994 Institutions

Appropriated funds are to be awarded to the 1994 Land-Grant Institutions for Extension work and funds are to be distributed on the basis of a competitive application process.

NIFA does not require matching support for this program, and matching resources will not be factored into the review process as evaluation criteria.

Under the TCEP, the use of grant funds to plan, acquire, or construct a building or facility, or to acquire land, is not allowed. With prior approval, in accordance

with the cost principles set forth in OMB Circular No. A-21, grant funds may be used to purchase equipment, or for improvements, alterations, renovations, or repairs to land, buildings, or equipment, deemed necessary to retrofit existing spaces and resources in order to carry out a funded project under this grant. However, requests to use grant funds for such purposes must demonstrate that such expenditures are incidental to the major purpose for which the grant request is made and no more than twenty-five percent of the project budget may be used to purchase equipment. Any equipment purchased with Federal funds is the property of the grantee or the subgrantee, as appropriate.

Indirect costs are unallowable costs, and no funds will be approved for this purpose. Costs that are a part of the institutions indirect cost pool may not be reclassified as direct costs for the purpose of making them allowable.

(d) Grants to Youth Serving Institutions (aka Rural Youth Development or RYD Grants)

Title IV of the Agricultural Research, Extension, and Education Reform Act of 1998 (7 U.S.C. 7630) authorized the Secretary of Agriculture to make grants to the Girl Scouts of the United States of America (GSUSA), the Boy Scouts of America (BSA), the National 4-H Council (4-H Council), and the National FFA Organization (FFA). Section 7309 of the Food, Conservation, and Energy Act (FCEA) of 2008 reauthorized 7 U.S.C. 7630, which was also amended to provide additional flexibility in content delivery to each organization receiving funds and to allow recipients to redistribute all or part of the funds to individual councils or local chapters without further need of approval from the Secretary. In FY 2009, Terms and Conditions of these awards should facilitate the redistribution without further need of approval. The Omnibus Appropriations Act, 2009 (Pub. L. 111-8) appropriates funds under Division A, Title I for grants to youth organizations pursuant to 7 U.S.C. 7630.

There are no matching requirements associated with the Rural Youth Development program, and matching resources will not be factored into the review process as evaluation criteria.

NIFA has determined that grant funds awarded under this authority may not be used for the renovation or refurbishment of facility space; the purchase or installation of fixed equipment in such space; or the planning, repair, rehabilitation, acquisition, or construction of buildings or facilities.

Section 720 of the Consolidated and Further Continuing Appropriations Act, 2012 (Pub.L. No. 112-55) limits indirect costs to 30 percent of the total Federal funds provided under each award. Therefore, when preparing budgets, applicants should limit their requests for recovery of indirect costs to the lesser of their institutions official negotiated indirect cost rate or the equivalent of 30 percent of total Federal funds awarded.

(e) Food Animal Residue Avoidance Database Program (FARAD)

Pursuant to Sec. 604 of the Agricultural research extension and Education Reform Act of 1998 [7 USC 7642(d)], applicants requesting recovery of indirect costs should limit their requests to the lesser of their institutions official negotiated indirect costs rate or the equivalent of 19 percent of total Federal funds awarded.

(f) Federal Administration (DIRECT APPROPRIATION) - Extension Activities

Section 720 of the Consolidated and Further Continuing Appropriations Act, 2012 (Pub.L. No. 112-55) limits indirect costs to 30 percent of the total Federal funds provided under each award. Therefore, when preparing budgets, applicants should limit their requests for recovery of indirect costs to the lesser of their institutions official negotiated indirect cost rate or the equivalent of 30 percent of total Federal funds awarded.

Other pertinent details will be provided at a future date.

(g) 1890 Facilities (Section 1447)

In Fiscal Year (FY) 09 NIFA assessed four percent (4%) of the appropriated

amount for Federal Administration, distributed sixty percent (60%) of the balance equally, and distributed the remaining forty percent (40%) based on the formula found in Section 1444 of NAREPTA of 1977, as amended (Pub. L. No. 95-113).

Within each institution, the research, teaching and extension programs must each receive at least 20 percent of the annual allocation. The remaining 40 percent may be allocated based on institutional needs for research, teaching, or extension. No more than 60 percent of the total allocation should be directed to research, teaching, or extension. However, if there are unique situations in your institution which warrant a deviation from these guidelines, we will consider requests for reallocation. Such reallocations must be justified by the submission of a situation statement which describes the research, teaching, and extension facilities needs in your institution and how previously available Federal funds were utilized.

NIFA does not require matching support for this program and matching resources will not be factored into the review process as evaluation criteria.

The obligation and expenditure of funds awarded under these grants are limited to equipment, land, buildings and other related costs which are or will be used in the administration and conduct of approved research, teaching and extension activities. In accordance with NAREPTA Section 1447 (e), funds provided for this program may not be used for the payment of any overhead costs of the eligible institutions.

(h) Agriculture Risk Management Education Partnerships Grants Program (aka ARPA & RME)

Section 720 of the Consolidated and Further Continuing Appropriations Act, 2012 (Pub.L. No. 112-55) limits indirect costs to 30 percent of the total Federal funds provided under each award. Therefore, when preparing budgets, applicants should limit their requests for recovery of indirect costs to the lesser of their institutions official negotiated indirect cost rate or the equivalent of 30 percent of total Federal funds awarded.

Other pertinent details will be provided at a future date. Some funds are Fully Discretionary. Some funds are Mandatory.

Applicant Eligibility:

1. SMITH-LEVER 3(b) & (c):

(a) Cooperative Extension Programs at 1862 Land-Grant Institutions (aka 3b & c Formula Funds) (FORMULA)

Applications may be submitted by the following 1862 Land-grant Institutions: Auburn University, University of Alaska-Fairbanks, American Samoa Community College, University of Arizona, University of Arkansas, University of California, Colorado State University, University of Connecticut, University of Delaware, University of Florida, University of Georgia, University of Guam, University of Hawaii, University of Idaho, University of Illinois, Purdue University, Iowa State University, Kansas State University, University of Kentucky, Louisiana State University, University of Maine, University of Maryland-College Park, University of Massachusetts, Michigan State University, College of Micronesia, University of Minnesota, Mississippi State University, University of Missouri, Montana State University, University of Nebraska, University of Nevada-Reno, University of New Hampshire, Rutgers University, New Mexico State University, Cornell University, North Carolina State University, North Dakota State University, Northern Marianas College, Ohio State University, Oklahoma State University, Oregon State University, Pennsylvania State University, University of Puerto Rico, University of Rhode Island, Clemson University, South Dakota State University, University of Tennessee, Texas A & M University, Utah State University, University of Vermont, Virginia Polytechnic Institute & State University, University of the Virgin Islands, Washington State University, West Virginia University, University of Wisconsin, and University of Wyoming.

Award recipients may subcontract to organizations not eligible to apply for funding provided that such arrangements are necessary to complete the project.

(b) Cooperative Extension Programs at 1862 Land-Grant Institutions (Special Needs) aka ESPN Special Needs (FORMULA)

Applications may be submitted by the following 1862 Land-grant Institutions: University of Alaska-Fairbanks, University of Arizona, Colorado State University, University of Idaho, Kansas State University, Montana State University, University of Nebraska, University of Nevada-Reno, New Mexico State University, North Dakota State University, Oregon State University, South Dakota State University, Texas A & M University, Utah State University, University of Vermont, and University of Wyoming.

Award recipients may subcontract to organizations not eligible to apply for funding provided that such arrangements are necessary to complete the project.

(c) Smith-Lever Special Needs Program (aka ESPN Special Needs Projects)

Applications may be submitted with the approval of Extension Directors of 1862 Land-grant Institutions in the 50 states, American Samoa, Guam, Micronesia, Northern Marianas, Puerto Rico, and the U.S. Virgin Islands.

Award recipients may subcontract to organizations not eligible to apply provided such organizations are necessary for the conduct of the project. An applicants failure to meet an eligibility criterion by the time of an application deadline will result in NIFA not accepting the application or, even though an application may be reviewed, will preclude NIFA from making an award.

(d) CSRS Retirement Contributions Program (aka ERET CSRS Retirement) (FORMULA)

Applications may be submitted by 1862 land-grant institutions that are making payments to the U.S. Office of Personnel Management for CSRS retirement costs for the former Schedule A Appointments. See Appendix A for the list of eligible institutions.

(e) FERS Retirement Contributions Program (aka ERET FERS Retirement) (FORMULA)

Applications may be submitted by 1862 land-grant institutions that are making payments to the U.S. Office of Personnel Management for FERS retirement costs for the former Schedule A Appointments. See Appendix A of the Formula Grant Opportunity (FGO) for the list of eligible institutions.

(f) District of Columbia Public Postsecondary Education Reorganization Act Program (Cooperative Extension Programs) aka EUDC

The University of the District of Columbia, as the 1862 Land-Grant Institution, is the only applicant eligible for funding under the DCPPERA.

The award recipient may subcontract to organizations not eligible to apply for funding provided that such arrangements are necessary to complete the project.

2. Agricultural Extension at 1890 Land-Grant Institutions, Including Tuskegee University and West Virginia State University (Section 1444) (FORMULA)

A. Eligible Applicants

Applications may be submitted by 1890 Land-Grant Universities, including Tuskegee University and West Virginia State University, that conduct agricultural extension activities in accordance with NAREPTA section 1444(a)(1): Alabama A&M University; Tuskegee University; University of Arkansas - Pine Bluff; Delaware State University; Florida A&M University; Fort Valley State University; Kentucky State University; Southern University; University of Maryland Eastern Shore; Alcorn State University; Lincoln University; North Carolina A & T State University; Langston University; South Carolina State University; Tennessee State University; Prairie View A&M University; Virginia State University; and West Virginia State University. Institutions may subcontract to organizations not eligible to apply for funding provided that such arrangements are necessary to complete the project or activity.

3. SMITH-LEVER 3(d):

(a) Expanded Food and Nutrition (EFNEP) (ENUT Nutrition Education) (FORMULA)

Applications may be submitted by State colleges and universities in accordance with Section 3(d) of the Smith-Lever Act: Auburn University; Alabama A & M University; Tuskegee University; University of Alaska; American Samoa Community College; University of Arizona; University of Arkansas; University of Arkansas Pine Bluff; University of California; Colorado State University; University of Connecticut; University of Delaware; Delaware State University; University of the District of Columbia; University of Florida; Florida A & M University; University of Georgia; Fort Valley State University; University of Guam; University of Hawaii; University of Idaho; University of Illinois; Purdue University; Iowa State University; Kansas State University; University of Kentucky; Kentucky State University; Louisiana State University; Southern University; University of Maine; University of Maryland (College Park); University of Maryland (Eastern Shore); University of Massachusetts; Michigan State University; College of Micronesia; University of Minnesota; Mississippi State University; Alcorn State University; University of Missouri; Lincoln University; Montana State University; University of Nebraska; University of Nevada; University of New Hampshire; Rutgers University; New Mexico State University; Cornell University; North Carolina State University; North Carolina A & T University; North Dakota State University; Northern Marianas College; Ohio State University; Oklahoma State University; Langston University; Oregon State University; Pennsylvania State University; University of Puerto Rico; University of Rhode Island; Clemson University; South Carolina State University; South Dakota State University; University of Tennessee; Tennessee State University; Texas A&M University; Prairie View A & M University; Utah State University; University of Vermont; University of the Virgin Islands; Virginia Polytechnic Institute and State University; Virginia State University; Washington State University; West Virginia University; West Virginia State University; University of Wisconsin; and University of Wyoming.

Award recipients may subcontract to organizations not eligible to apply for funding provided that such arrangements are necessary to complete the project.

(b) Children, Youth, and Families at Risk (CYFAR)

Pertinent details will be provided at a future date.

(c) Improve Rural Quality of Life

Pertinent details will be provided at a future date.

(d) Farm Safety

Pertinent details will be provided at a future date.

(e) New Technologies at Ag Extension

Pertinent details will be provided at a future date.

(f) Pest Management

Pertinent details will be provided at a future date.

(g) Sustainable Agriculture (SARE-PD)

Pertinent details will be provided at a future date.

(h) Federally Recognized Tribes

Pertinent details will be provided at a future date.

(i) Youth Farm Safety Education & Certification

Pertinent details will be provided at a future date.

(j) EIPM Support

Pertinent details will be provided at a future date.

(k) EIPM Coordination

Pertinent details will be provided at a future date.

4. OTHER EXTENSION ACTIVITIES:

(a) Renewable Resources Extension Act

Applications may be submitted by 1862 and 1890 land-grant institutions. Award recipients may subcontract to organizations not eligible to apply provided such organizations are necessary for the conduct of the project. An applicants failure to meet an eligibility criterion by the time of an application deadline will preclude NIFA from making an award.

(b) Rural Health and Safety

Land-grant colleges and universities that are eligible to receive funds under the Act of July 2, 1862 (7 U.S.C. 301 et seq.), and the Act of August 30, 1890 (7 U.S.C. 321 et seq.), including Tuskegee University, West Virginia State University and the University of the District of Columbia.

Applications may be submitted by any of the Tribal colleges and universities designated as 1994 Land-Grant Institutions under the Educational Land-Grant Status Act of 1994, as amended.

Award recipients may subward to other organizations provided such organizations are necessary for the conduct of the project. Failure to meet an eligibility criterion by the application deadline will disqualify an applicant from consideration and will result in NIFA returning the application without review or, even though an application may be reviewed, will preclude NIFA from reviewing the application and making an award.

(c) Extension Services at the 1994 Institutions

Applications may be submitted by any of the Tribal colleges and universities designated as 1994 Land-Grant Institutions under the Educational Land-Grant Status Act of 1994, as amended.

Award recipients may subcontract to organizations not eligible to apply provided such organizations are necessary for the conduct of project goals and objectives. An applicants failure to meet an eligibility criterion by the time of an application deadline will result in NIFA returning the application without review or, even though an application may be reviewed, will preclude NIFA from making an award.

(d) Grants to Youth Serving Institutions

Pursuant to 7 U.S.C. Section 7630, only the Girl Scouts of the United States of America, the National 4-H Council, the Boy Scouts of America, and the National FFA Organization are eligible to apply. NIFA will accept only one application from each organization. The application must be developed and submitted by the national office of each respective organization. Rural Youth Development awards will be distributed to each of the four (4) eligible organizations that submits an application in accordance with RFA requirements, if the application is found to be worthy of support through the peer review process. The amount awarded to each organization will be determined based on review and recommendations of a peer review panel.

Award recipients may subcontract to organizations not eligible to apply provided such organizations are necessary for the conduct of the project.

If an applicant fails to meet an eligibility criterion by the time of the application deadline, the application will be at risk of being excluded from NIFA review and will preclude NIFA from making an award.

(e) Food Animal Residue Avoidance Database Program (FARAD)

The Secretary shall offer to enter into a contract, grant, or cooperative agreement with 1 or more appropriate colleges and universities to operate the FARAD program.

(f) Federal Administration (DIRECT APPROPRIATION)

Pertinent details will be provided at a future date.

(g) 1890 Facilities (Section 1447)

Eligible applicants under this RFA are the 1890 land-grant institutions, including Tuskegee University and West Virginia State University. They are: Alabama A&M University, Tuskegee University, University of Arkansas-Pine Bluff, Delaware State University, Florida A&M University, Fort Valley State University, Kentucky State University, Southern University, University of Maryland-Eastern Shore, Lincoln University, Alcorn State University, North Carolina A&T State University, Langston University, South Carolina State University, Tennessee State University, Prairie View A&M University, Virginia State University, and West Virginia State University.

Beneficiary Eligibility:

Extension Programs at the State and county level are available to the general public.

Credentials/Documentation:

No Credentials or documentation are required. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

All RFAs are published on the Agencies website and Grants.gov. Applicants must complete the Grants.gov registration process. Please see the following Grants.gov link for more information:
http://www.grants.gov/applicants/get_registered.jsp. This program is excluded from coverage under E.O. 12372.

SPECIFIC DETAILS:

1. SMITH-LEVER 3(b) & (c):

(a) Cooperative Extension Programs at 1862 Land-Grant Institutions (aka 3b & c Formula Funds) (FORMULA)

Pre-award form submissions must be submitted to the Cooperative Extension Programs at 1862 Land-grant Institutions program as a new application on the www.grants.gov website. As noted (in the FGO), these application packages complement, rather than duplicate, the information collected via the Plan of Work (POW) system, and together satisfy all legislative and regulatory pre-award requirements.

Section 202 of the Agricultural Research, Extension, and Education Reform Act of 1998 (AREERA) amended the Smith-Lever Act and the Hatch Act to require approved plans of work for agricultural extension and research activities at 1862 Land-grant Institutions in order to receive Federal funding. Therefore, each 1862 Land-grant Institution must submit both a 5-Year Plan of Work Update (i.e., submitted each year as an update) and an Annual Report of Accomplishments and Results. Both reports were due by April 1.

(b) Cooperative Extension Programs at 1862 Land-Grant Institutions (Special Needs) aka ESPN Special Needs (FORMULA)

Pre-award form submissions must be submitted to Special Needs Program as a new application on the www.grants.gov website. As noted (in the FGO), these application packages complement, rather than duplicate, the information collected via the Plan of Work (POW) system, and together satisfy all legislative and regulatory pre-award requirements.

Section 202 of the Agricultural Research, Extension, and Education Reform Act of 1998 (AREERA) amended the Smith-Lever Act and the Hatch Act to require approved plans of work for agricultural extension and research activities at 1862 Land-grant Institutions in order to receive Federal funding. Therefore, each 1862 Land-grant Institution must submit both a 5-Year Plan of Work Update (i.e., submitted each year as an update) and an Annual Report of Accomplishments and Results. Both reports were due by April 1.

(c) Smith-Lever Special Needs Program (aka ESPN Special Needs Projects)
Pertinent info to be determined at a later date.

(d) CSRS Retirement Contributions Program (aka ERET CSRS Retirement) (FORMULA)

Pre-award form submissions must be submitted to the CSRS Retirement Contributions Program as a new application on the www.grants.gov website. As noted (in the FGO), these application packages complement, rather than duplicate, the information collected via the Plan of Work (POW) System, and together satisfy all legislative and regulatory pre-award requirements.

(e) FERS Retirement Contributions Program (aka ERET FERS Retirement) (FORMULA)

Pre-award form submissions must be submitted to the FERS Retirement Contributions Program as a new application on the www.grants.gov website. As noted previously, these application packages complement, rather than duplicate, the information collected via the Plan of Work (POW) System, and together satisfy all legislative and regulatory pre-award requirements.

(f) District of Columbia Public Postsecondary Education Reorganization Act Program (Cooperative Extension Programs) aka EUDC

Pre-award form submissions must be submitted to the DCPPERA program as a new application on the www.grants.gov website. As noted in the Formula Grant Opportunity (FGO), these application packages complement, rather than duplicate, the information collected via the Plan of Work (POW) system, and together satisfy all legislative and regulatory pre-award requirements.

Section 105 of the Agricultural Research, Extension, and Education Reform Act of 1998 (AREERA) amended the Smith-Lever Act and the Hatch Act to require an approved Plan of Work for extension and research activities at 1862 Land-grant Institutions in order to receive Federal funding. As stated in the Preface to the Federal Register Notice at 71 FR 4107, Although the District of Columbia receives extension funds under the District of Columbia Postsecondary Education Reorganization Act, Public Law 93471, as opposed to the Smith-Lever Act, NIFA has determined that it should be subject to the POW requirements imposed under these guidelines except where expressly excluded. Therefore, the University of the District of Columbia must submit both a 5-Year Plan of Work Update (i.e., submitted as an annual update) and an Annual Report of Accomplishments and Results. Both reports were due April 1.

2. Agricultural Extension at 1890 Land-Grant Institutions, Including Tuskegee University and West Virginia State University (Section 1444) (FORMULA)

Pre-award form submissions must be submitted to the Section 1444 Program as a new application on the www.grants.gov website. These application packages complement, rather than duplicate, the information collected via the Plan of Work (POW) system, and together satisfy all legislative and regulatory pre-award requirements.

Section 225 of the Agricultural Research, Extension, and Education Reform Act of 1998 (AREERA) amended NARETPA to require an approved Plan of Work for agricultural extension and research activities at 1890 Land-Grant Institutions in order to receive Federal funding. Therefore, each 1890 land-grant institution, including Tuskegee University and West Virginia State University, must submit both a 5-Year Plan of Work Update and an Annual Report of Accomplishments and Results. Both reports are due April 1.

3. SMITH-LEVER 3(d):

(a) Expanded Food and Nutrition (EFNEP) (ENUT Nutrition Education) (FORMULA)

No Standard Form (SF) 424-A, Budget Information for Non-Construction Programs, and no Budget Narrative Attachment Form are required for either the initial or the final submissions. However, the EFNEP-specific forms will be required.

Initial Submission:

Pre-award form submissions must be submitted to EFNEP as a new application on the www.grants.gov website. The SF-424M Application Package should include:

Application for Federal Assistance (Standard Form (SF) 424M (Mandatory)
NIFA Supplemental Information Form
Key Contacts Form

(b) Youth at Risk

Pertinent details will be provided at a future date.

(c) Improve Rural Quality of Life

Pertinent details will be provided at a future date.

(d) Farm Safety
Pertinent details will be provided at a future date.

(e) New Technologies at Ag Extension
Pertinent details will be provided at a future date.

(f) Pest Management
Pertinent details will be provided at a future date.

(g) Sustainable Agriculture (SARE-PD)
Pertinent details will be provided at a future date.

(h) Federally Recognized Tribes
Pertinent details will be provided at a future date.

(i) Youth Farm Safety Education & Certification
Pertinent details will be provided at a future date.

(j) EIPM Support
Pertinent details will be provided at a future date.

(k) EIPM Coordination
Pertinent details will be provided at a future date.

4. OTHER EXTENSION ACTIVITIES:

(a) Renewable Resources Extension Act
Pertinent details will be provided at a future date.

(b) Rural Health and Safety
Pertinent details will be provided at a future date.

(c) Extension Services at the 1994 Institutions
Pertinent details will be provided at a future date.

(d) Grants to Youth Serving Institutions
Pertinent details will be provided at a future date.

(e) Food Animal Residue Avoidance Database Program (FARAD)
Pertinent details will be provided at a future date.

(f) Federal Administration (DIRECT APPROPRIATION)
Pertinent details will be provided at a future date.

(g) 1890 Facilities (Section 1447)
Pertinent details will be provided at a future date. An environmental impact statement is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applications should be submitted as outlined in the Request for Applications (RFA) or Formula Grant Opportunity (FGO). Applications must follow the instructions provided per Grants.gov and in the Agency guide to submitting applications via Grants.gov.

Award Procedure:

Applications are subjected to a system of peer and merit review in accordance with section 103 of the Agricultural Research, Extension and Education Reform Act of 1998 (7 U.S.C. 1613) by a panel of qualified scientists and other appropriate persons who are specialists in the field covered by the proposal. Within the limit of funds available for such purpose, the NIFA Authorized Departmental Officer (ADO) shall make grants to those responsible, eligible applicants whose applications are judged most meritorious under the procedures set forth in the RFA.

Reviewers will be selected based upon training and experience in relevant scientific, extension, or education fields, taking into account the following factors:

(a) The level of relevant formal scientific, technical education, or extension experience of the individual, as well as the extent to which an individual is engaged in relevant research, education, or extension activities;

(b) the need to include as reviewers experts from various areas of specialization within relevant scientific, education, or extension fields;

(c) the need to include as reviewers other experts (e.g., producers, range or forest managers/operators, and consumers) who can assess relevance of the applications to targeted audiences and to program needs;

(d) the need to include as reviewers experts from a variety of organizational types (e.g., colleges, universities, industry, state and Federal agencies, private profit and non-profit organizations) and geographic locations;

(e) the need to maintain a balanced composition of reviewers with regard to minority and female representation and an equitable age distribution; and

(f) the need to include reviewers who can judge the effective usefulness to producers and the general public of each application.

Evaluation Criteria will be delineated in the RFA or FGO.

SPECIFIC DETAILS:

1. SMITH-LEVER 3(b) & (c):

(a) Cooperative Extension Programs at 1862 Land-Grant Institutions (aka 3b & c Formula Funds) (FORMULA)

Further information will be provided at a later date.

(b) Cooperative Extension Programs at 1862 Land-Grant Institutions (Special Needs) aka ESPN Special Needs (FORMULA)

Pertinent details will be provided at a future date.

(c) Smith-Lever Special Needs Program (aka ESPN Special Needs Projects)

Pertinent details will be provided at a future date.

(d) CSRS Retirement Contributions Program (aka ERET CSRS Retirement) (FORMULA)

Pertinent details will be provided at a future date.

(e) FERS Retirement Contributions Program (aka ERET FERS Retirement) (FORMULA)

Pertinent details will be provided at a future date.

(f) District of Columbia Public Postsecondary Education Reorganization Act Program (Cooperative Extension Programs) aka EUDC

Pertinent details will be provided at a future date.

2. Agricultural Extension at 1890 Land-Grant Institutions, Including Tuskegee University and West Virginia State University (Section 1444) (FORMULA)

The Office of Planning and Accountability will notify the OEP Formula Grants Section and the institution regarding each institutions compliance with the Plan of Work reporting requirements (i.e., Five (5) Year Plan of Work Update and an Annual Report of Accomplishments and Results). If all current program and administrative requirements have been met by the eligible institution, funding will continue to be released on a quarterly basis. The OEP Formula Grants Section will notify the institutions when all requirements have been met or approved.

3. SMITH-LEVER 3(d):

(a) Expanded Food and Nutrition (EFNEP) (ENUT Nutrition Education) (FORMULA)

Pertinent details will be provided at a future date.

(b) Youth at Risk
Pertinent details will be provided at a future date.

(c) Improve Rural Quality of Life
Pertinent details will be provided at a future date.

(d) Farm Safety
Pertinent details will be provided at a future date.

(e) New Technologies at Ag Extension
Pertinent details will be provided at a future date.

(f) Pest Management
Pertinent details will be provided at a future date.

(g) Sustainable Agriculture (SARE-PD)
Pertinent details will be provided at a future date.

(h) Federally Recognized Tribes
Pertinent details will be provided at a future date.

(i) Youth Farm Safety Education & Certification
Pertinent details will be provided at a future date.

(j) EIPM Support
Pertinent details will be provided at a future date.

(k) EIPM Coordination
Pertinent details will be provided at a future date.

4. OTHER EXTENSION ACTIVITIES:

(a) Renewable Resources Extension Act
Pertinent details will be provided at a future date.

(b) Rural Health and Safety
Pertinent details will be provided at a future date.

(c) Extension Services at the 1994 Institutions
Pertinent details will be provided at a future date.

(d) Grants to Youth Serving Institutions
Pertinent details will be provided at a future date.

(e) Food Animal Residue Avoidance Database Program (FARAD)
Pertinent details will be provided at a future date.

(f) Federal Administration
Pertinent details will be provided at a future date.

(g) 1890 Facilities (Section 1447)
Pertinent details will be provided at a future date.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. Dates for specific deadlines are announced in the Request for Applications (RFA) or Formula Grant Opportunity (FGO) each fiscal year (FY).

Information is also available via our website and may be obtained via the Grants.gov website. Respective links are provided below:

<http://www.nifa.usda.gov/>

<http://www.grants.gov>.

Appeals:

Not Applicable.

Renewals:

Specific details are provided in the Request for Applications (RFA) or Formula Grant Opportunity (FGO) each fiscal year (FY).

Formula and Matching Requirements:

Statutory Formula: Title Various. Several Formula programs are represented under CFDA 10.500. Please refer to RFA or FGO for specific details.

Matching Requirements: (See RFA or FGO - Part I.A Legislative Authority & Background; Part III.B Cost Sharing or Matching and/or Part IV.D Funding Restrictions)

1. SMITH-LEVER 3(b) & (c):

(a) Cooperative Extension Programs at 1862 Land-Grant Institutions (aka 3b & c Formula Funds) (FORMULA)

States are eligible for funds appropriated under this Act according to the following formula: Out of each annual allocation, each State will be entitled to receive a sum of money equal to the sums available from Federal cooperative extension funds for the fiscal year 1962, and subject to the same requirements as to furnishing of equivalent sums by the State, except that amounts heretofore made available to the Secretary for allotment on the basis of special needs shall continue available for use on the same basis. Further, the University of Guam and the University of the Virgin Islands will receive \$100,000 each in addition to the sums appropriated for the several States of the United States and Puerto Rico under the provisions of sections 3(b) and 3(c) of the Smith-Lever Act. Of the remainder so appropriated for each fiscal year 20 per cent will be paid to the several States in equal proportions, 40 per cent will be paid to the several States in the proportion that the rural population of each bears to the total rural population of the several States as determined by the census, and the balance will be paid to the several States in the proportion that the farm population of each bears to the total farm population of the several

States as determined by the census. Any appropriation made under sections 3(b) and 3(c) of the Smith-Lever Act will be allotted in the first and succeeding years on the basis of the decennial census current at the time the appropriation is first made, and as to any increase, on the basis of decennial census current at the time such increase is first appropriated.

Cost Sharing or Matching

Section 3(e)(1) of the Smith-Lever Act (7 U.S.C. 343(e)(1)) states, with regard to institutions in the 50 states, no allotment shall be made to a State under subsection (b) or (c), and no payments from the allotment shall be made to a State, in excess of the amount that the State makes available out of non-Federal funds for cooperative extension work. However, section 3(e)(4) of the Smith-Lever Act (U.S.C. 343(e)(4)) provides that Effective beginning for fiscal year

2003, in lieu of the matching funds requirement of paragraph (1), the insular areas of [American Samoa,] the Commonwealth of Puerto Rico, Guam, [Micronesia, the Northern Marianas Islands,] and the Virgin Islands of the United States shall provide matching funds from non-Federal sources in an amount equal to not less than 50 percent of the formula funds distributed by the Secretary to each of the insular areas, respectively, under this section..... The Secretary may waive the matching fund requirement [of 50 percent] for any fiscal year if the Secretary determines that the government of the insular area will be unlikely to meet the matching requirement for the fiscal year.

(b) Cooperative Extension Programs at 1862 Land-Grant Institutions (Special Needs) aka ESPN Special Needs (FORMULA)

Funds will be distributed to the institutions on a quarterly basis. See Appendix A of the Formula Grant Opportunity (FGO) for the specific allocation.

Cost Sharing or Matching

1862 Land-grant Institutions in the 50 States must match 100 percent of the Special Needs funds they receive with funds from non-Federal sources such as State or county appropriations. The matching must be in the form of cash. Matching funds must be used for extension activities approved in the eligible institutions 5-Year Plan of Work.

(c) Smith-Lever Special Needs Program (aka ESPN Special Needs Projects)

Cost Sharing or Matching

Pursuant to rules and policies governing Section 3(b) & (c) of the Smith-Lever Act, as amended through Public Law 107-293, no allotment shall be made to a State under subsection (b) or (c), and no payments from the allotment shall be made to a State, in excess of the amount that the State makes available out of non-Federal funds for cooperative extension work.

1. To comply with the matching requirements of the Special Needs Program, applicants are required to provide 100 percent matching funds from non-federal sources for all proposed federal funds sought in the application. In-kind and third party contributions are not allowed for the Smith-Lever Special Needs program. Grant awards cannot be issued until ALL required matching has been documented and verified.

2. Matching Alternative. Extension Directors may certify an offset of guaranteed non-Federal funds to your application. The signed certification of offset must be scanned and included as a PDF attachment (see Part IV .B.,2.,c.,(1) for specific instructions).

3. Matching Exception. Insular Areas: In lieu of the matching funds requirement, the insular areas of the Commonwealth of Puerto Rico, Guam, and the Virgin Islands of the United States shall provide matching funds from non-Federal sources in an amount equal to not less than 50 percent of the grants awarded. The Secretary may waive this matching fund requirement for any fiscal year if the Secretary determines that the government of the insular area will be unlikely to meet the matching requirement for the fiscal year. Insular applicants may submit a waiver request as a PDF attachment to the application (see Part IV .B.,2.,c.,(2) for specific instructions).

For all projects:

Matching: Applications shall include written verification of commitments of matching support from non-federal sources. Written verification means:

The sources and the amount of all matching support from outside the applicant organization should be summarized on a separate page and placed in the application as a part of the Budget

Justification attachment (see Field K on the Form SF 424 (R&R) Budget). Include the matching amount, the budget category for the match, and detail how the matching support, from each source, will be used (e.g., salary and position supported). Additionally, all pledge agreements must be included as a PDF attachment in Field K as well.

The value of applicant contributions to the project shall be established in accordance with the applicable cost principles. Applicants should refer to OMB Circulars A-21, Cost Principles for Educational Institutions; A-87, Cost Principles for State, Local, and Tribal Governments; 2 CFR Part 215, Uniform Administrative Requirements for Grants and Agreements With Institutions of Higher Education, Hospitals, and Other Non-Profit Organizations (OMB Circular A110); for further guidance and other requirements relating to allowable costs.

(d) CSRS Retirement Contributions Program (aka ERET CSRS Retirement) (FORMULA)

Federal funding is distributed according to an administrative formula described in the Formula Grant Opportunity (FGO). See Appendix A of the FGO for specific allocations.

NIFA CSRS retirement allocations must be used to support CSRS retirement costs for former Schedule A Appointments.

Cost Sharing or Matching

Section 3(e)(1) of the Smith-Lever Act (7 U.S.C. 343(e)(1) states, with regard

to institutions in the 50 states, no allotment shall be made to a State under subsection (b) or (c), and no payments from the allotment shall be made to a State, in excess of the amount that the State makes available out of non-Federal funds for cooperative extension work. However, section 3(e)(4) of the Smith-Lever Act (U.S.C. 343(e)(4) provides that Effective beginning for fiscal year 2003, in lieu of the matching funds requirements of paragraph (1), the insular areas of [American Samoa,] the Commonwealth of Puerto Rico, Guam, [Micronesia, the Northern Marian Islands,] and the Virgin Islands of the United States shall provide matching funds from non-Federal sources in an amount equal to not less than 50 percent of the formula funds distributed by the Secretary to each of the insular areas, respectively, under this section. The Secretary may waive the matching fund requirement [of 50 percent] for any fiscal year if the Secretary determines that the government of the insular area will be unlikely to meet the matching requirement for the fiscal year.

(e) FERS Retirement Contributions Program (aka ERET FERS Retirement) (FORMULA)

Federal funds are distributed according to an administrative formula described in the Formula Grant Opportunity (FGO). See Appendix A of the FGO for specific allocations.

Cost Sharing or Matching

Section 3(e)(1) of the Smith-Lever Act (7 U.S.C. 343(e)(1) states, with regard to institutions in the 50 states, no allotment shall be made to a State under subsection (b) or (c), and no payments from the allotment shall be made to a State, in excess of the amount that the State makes available out of non-Federal funds for cooperative extension work. However, section 3(e)(4) of the Smith-Lever Act (U.S.C. 343(e)(4) provides that Effective beginning for fiscal year 2003, in lieu of the matching funds requirements of paragraph (1), the insular areas of [American Samoa,] the Commonwealth of Puerto Rico, Guam, [Micronesia, the Northern Marian Islands,] and the Virgin Islands of the United States shall provide matching funds from non-Federal sources in an amount equal to not less than 50 percent of the formula funds distributed by the Secretary to each of the insular areas, respectively, under this section. The Secretary may waive the matching fund requirement [of 50 percent] for any fiscal year if the Secretary determines that the government of the insular area will be unlikely to meet the matching requirement for the fiscal year.

(f) District of Columbia Public Postsecondary Education Reorganization Act Program (Cooperative Extension Programs) aka EUDC

There is no matching requirement for these funds.

2. Agricultural Extension at 1890 Land-Grant Institutions, Including Tuskegee University and West Virginia State University (Section 1444) (FORMULA)

The 1890 Land-Grant Institutions, including Tuskegee University and West Virginia State University, are eligible for funds appropriated under this Act according to the following formula: Any funds annually appropriated under NARETPA section 1444 up to the amount appropriated for the fiscal year ending September 30, 1978, pursuant to section 3(d) of the Act of May 8, 1914, as amended, for eligible institutions, will be allocated among the eligible institutions in the same proportion as funds appropriated under section 3(d) of the Act of May 8, 1914, as amended, for the fiscal year ending September 30, 1978, are allocated among the eligible institutions. Of the funds in excess of that amount, 20 per cent will be allotted among the eligible institutions in equal proportions; 40 per cent will be allotted among the eligible institutions in the proportion that the rural population of the State in which each eligible institution is located bears to the total rural population of all the States in which eligible institutions are located, as determined by the last preceding decennial census current at the time each such additional sum is first appropriated; and the balance will be allotted among the eligible institutions in the proportion that the farm population of the State in which each eligible institution is located bears to the total farm population of all the States in which the eligible institutions are located, as determined by the last preceding decennial census current at the time each such additional sum is first appropriated. For purposes of computing the distribution, the allotments to Tuskegee University and

Alabama A&M University will be determined as if each institution were in a separate State.

Cost Sharing or Matching

NARETPA section 1449 (7 U.S.C. 3222d) states that the State shall provide matching funds from non-Federal sources. Such matching funds shall be for an amount equal to not less than ... 100 percent of the formula [grant] funds to be distributed to the eligible institution for fiscal year 2007 and each fiscal year thereafter. ... Notwithstanding [redistributing the funds], the Secretary may waive the matching funds requirement ... above the 50 percent level for any fiscal year for an eligible institution of a State if the Secretary determines that the State will be unlikely to satisfy the matching requirement.

7 CFR 3419.1 defines matching funds as funds from non-Federal sources made available by the State to the eligible institutions ... [for] programs or activities that fall within the purposes of agricultural research and cooperative extension under sections 1444 and 1445 of NARETPA ...or [for] qualifying educational activities. Matching funds means cash contributions and excludes in-kind matching contributions. It defines non-Federal sources as funds made available by the State to the eligible institution either through direct appropriation or under any authority (other than authority to charge tuition and fees paid by students) provided by a State to an eligible institution to raise revenue, such as gift acceptance authority or user fees. Finally, it defines qualifying educational activities as programs that address food and agricultural sciences components of an eligible institution.

7 CFR 3419.6 states that The required matching funds for the formula programs shall be used by an eligible institution for agricultural research and extension activities that have been approved in the plan of work required under sections 1444(d) and 1445(c) of the National Agricultural Research, Extension, and Teaching Policy Act of 1977... or for .. qualifying education activities.

3. SMITH-LEVER 3(d):

(a) Expanded Food and Nutrition (EFNEP) (ENUT Nutrition Education) (FORMULA)

Section 7116 of the Food, Conservation, and Energy Act of 2008 (FCEA) amended Section 1425 of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 (7 U.S.C. 3175) to accomplish the following:

Notwithstanding section 3(d) of the Smith-Lever Act of May 8, 1914 (7 U.S.C. 343(d)) for administration, technical, and other services for coordinating the extension work of the Department and the several States, Territories, and possessions the remainder shall be allocated among the States as follows:

1. 1862 Institutions shall receive a base in an amount equaling their FY 1981 allocation.

2. Four percent (4%) shall be available for administrative expenses.

3. \$100,000 will be distributed to each 1862 and 1890 Land-Grant Institution including the University of the District of Columbia.

4. For fiscal year (FY) 2009 10 percent of funds appropriated for EFNEP in excess of funds appropriated in FY 2007 will be allocated to the 1890 Institutions in an amount that bearing the same ratio to the population living at or below 125 percent of the poverty level (as prescribed by the Office of Management and Budget and as adjusted pursuant to section 673(2) of the Community Services Block Grant Act (42 U.S.C.9902(2))) in the State where the 1890 Institution is located; bears to the total population living at or below 125 percent of the poverty level in all States where 1890 Institutions are located; as determined by the most recent decennial census at the time when the appropriated amount first exceeds levels appropriated for EFNEP in FY 2007. This FY 2009 rate of 10 percent is to increase by 1 percentage point annually until FY 2014 maxing out at 15 percent and remaining at that level for every year thereafter.

5. The remainder will be allocated to each 1862 Land-Grant Institutions in an

amount that bears the same ratio to the total amount allocated as the population living at or below 125 percent of the poverty level in the State; bears to the total population living at or below 125 percent of poverty level in all States; as determined by the most recent decennial census at the time at which each such additional amount is first appropriated.

Cost Sharing or Matching

There is no cost-sharing or matching requirement for these funds.

(b) Children, Youth, and Families at Risk (CYFAR)
Pertinent details will be provided at a future date.

(c) Improve Rural Quality of Life
Pertinent details will be provided at a future date.

(d) Farm Safety
Pertinent details will be provided at a future date.

(e) New Technologies at Ag Extension
Pertinent details will be provided at a future date.

(f) Pest Management
Pertinent details will be provided at a future date.

(g) Sustainable Agriculture (SARE-PD)
Pertinent details will be provided at a future date.

(h) Federally Recognized Tribes
Pertinent details will be provided at a future date.

(i) Youth Farm Safety Education & Certification
Pertinent details will be provided at a future date.

(j) EIPM Support
Pertinent details will be provided at a future date.

(k) EIPM Coordination
Pertinent details will be provided at a future date.

4. OTHER EXTENSION ACTIVITIES:

(a) Renewable Resources Extension Act (RREA)

The majority of the appropriated funds are distributed to eligible institutions based on a formula that considers the geographic extent, ecosystem productivity, economic contribution, and population for each state.

Since FY 2002, a small amount of these funds have been used to fund National Focus Fund Projects. (RREA-NFF)

NIFA does not require matching support for this program and matching resources will not be factored into the review process as evaluation criteria.

Section 7132 of the Food, Conservation, and Energy Act amended section 1462 of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 (7 U.S.C. 3310), increasing the limit on recovery of indirect costs from 20 percent to 22 percent of total Federal funds provided under the award. Therefore, the recovery of indirect costs on awards made by NIFA under this program area may not exceed the lesser of the institution's official negotiated indirect cost rate or the equivalent of 22 percent of total Federal funds awarded.

(b) Rural Health and Safety

The Rural Health and Safety Education Competitive Grants Program provides funds to meet national goals for addressing the health and access needs of rural Americans.

NIFA does not require matching or cost sharing support for this program and matching resources will not be factored into the review process as evaluation

criteria.

NIFA has determined that grant funds awarded under this authority may not be used for the renovation or refurbishment of research, education, or extension space; the purchase or installation of fixed equipment in such space; or the planning, repair, rehabilitation, acquisition, or construction of buildings or facilities.

Section 7132 of the Food, Conservation, and Energy Act amended section 1462 of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 (7 U.S.C. 3310), increasing the limit on recovery of indirect costs from 20 percent to 22 percent of total Federal funds provided under the award. Therefore, the recovery of indirect costs on awards made by NIFA under this program area may not exceed the lesser of the institution's official negotiated indirect cost rate or the equivalent of 22 percent of total Federal funds awarded.

(c) Extension Services at the 1994 Institutions

Appropriated funds are to be awarded to the 1994 Land-Grant Institutions for Extension work and funds are to be distributed on the basis of a competitive application process.

NIFA does not require matching support for this program, and matching resources will not be factored into the review process as evaluation criteria.

Under the TCEP, the use of grant funds to plan, acquire, or construct a building or facility, or to acquire land, is not allowed. With prior approval, in accordance with the cost principles set forth in OMB Circular No. A-21, grant funds may be used to purchase equipment, or for improvements, alterations, renovations, or repairs to land, buildings, or equipment, deemed necessary to retrofit existing spaces and resources in order to carry out a funded project under this grant. However, requests to use grant funds for such purposes must demonstrate that such expenditures are incidental to the major purpose for which the grant request is made and no more than twenty-five percent of the project budget may be used to purchase equipment. Any equipment purchased with Federal funds is the property of the grantee or the subgrantee, as appropriate.

Indirect costs are unallowable costs, and no funds will be approved for this purpose. Costs that are a part of the institutions indirect cost pool may not be reclassified as direct costs for the purpose of making them allowable.

(d) Grants to Youth Serving Institutions

Title IV of the Agricultural Research, Extension, and Education Reform Act of 1998 (7 U.S.C. 7630) authorized the Secretary of Agriculture to make grants to the Girl Scouts of the United States of America (GSUSA), the Boy Scouts of America (BSA), the National 4-H Council (4-H Council), and the National FFA Organization (FFA). Section 7309 of the Food, Conservation, and Energy Act (FCEA) of 2008 reauthorized 7 U.S.C. 7630, which was also amended to provide additional flexibility in content delivery to each organization receiving funds and to allow recipients to redistribute all or part of the funds to individual councils or local chapters without further need of approval from the Secretary. In FY 2009, Terms and Conditions of these awards should facilitate the redistribution without further need of approval. The Omnibus Appropriations Act, 2009 (Pub. L. 111-8) appropriates funds under Division A, Title I for grants to youth organizations pursuant to 7 U.S.C. 7630.

There are no matching requirements associated with the Rural Youth Development program, and matching resources will not be factored into the review process as evaluation criteria.

NIFA has determined that grant funds awarded under this authority may not be used for the renovation or refurbishment of facility space; the purchase or installation of fixed equipment in such space; or the planning, repair, rehabilitation, acquisition, or construction of buildings or facilities.

Section 7132 of the Food, Conservation, and Energy Act of 2008, amended the National Agriculture Research, Extension, and Teaching Policy Act of 1977 (7 U.S.C. 3310(a)), limiting indirect costs to 22 percent of the total Federal funds provided under each award. Therefore, when preparing budgets, applicants should limit their requests for recovery of indirect costs to the lesser of their

institutions official negotiated indirect cost rate or the equivalent of 22 percent of total Federal funds awarded. If no rate has been established the applicant may indicate "Nonewill negotiate" and a reasonable dollar amount for indirect costs may be requested, which will be subject to approval by USDA. In the latter case, if a proposal is recommended for funding, an indirect cost rate proposal must be submitted prior to award to support the amount of indirect costs requested. NIFA will request an indirect cost rate proposal and provide instructions, as necessary. An applicant may elect not to charge indirect costs and, instead, use all grant funds for direct costs. If indirect costs are not charged, the phrase "None requested" should be written in this space.

(e) Food Animal Residue Avoidance Database Program (FARAD)

Pursuant to Sec. 604 of the Agricultural research extension and Education Reform Act of 1998 [7 USC 7642(d)], applicants requesting recovery of indirect costs should limit their requests to the lesser of their institutions official negotiated indirect costs rate or the equivalent of 19 percent of total Federal funds awarded.

(f) Federal Administration (DIRECT APPROPRIATION)

Pertinent details will be provided at a future date.

(g) 1890 Facilities (Section 1447)

NIFA assessed four percent (4%) of the appropriated amount for Federal Administration, distributed sixty percent (60%) of the balance equally, and distributed the remaining forty percent (40%) based on the formula found in Section 1444 of NAREPTA of 1977, as amended (Pub. L. No. 95-113).

Within each institution, the research, teaching and extension programs must each receive at least 20 percent of the annual allocation. The remaining 40 percent may be allocated based on institutional needs for research, teaching, or extension. No more than 60 percent of the total allocation should be directed to research, teaching, or extension. However, if there are unique situations in your institution which warrant a deviation from these guidelines, we will consider requests for reallocation. Such reallocations must be justified by the submission of a situation statement which describes the research, teaching, and extension facilities needs in your institution and how previously available Federal funds were utilized.

NIFA does not require matching support for this program and matching resources will not be factored into the review process as evaluation criteria.

The obligation and expenditure of funds awarded under these grants are limited to equipment, land, buildings and other related costs which are or will be used in the administration and conduct of approved research, teaching and extension activities. In accordance with NARETPA Section 1447 (e), funds provided for this program may not be used for the payment of any overhead costs of the eligible institutions.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

(See RFA or FGO: Part II.A. Available Funding; also can be posted in other sections of the RFA/FGO)

1. SMITH-LEVER 3(b) & (c):

(a) Cooperative Extension Programs at 1862 Land-Grant Institutions (aka 3b & c Formula Funds) (FORMULA)

Funds will be distributed to the institutions on a quarterly basis. See Appendix A of the Formula Grant Opportunity (FGO) for the specific allocation.

Funds appropriated under sections 3(b) and 3(c) of the Smith-Lever Act are expected to be fully expended in the fiscal year of appropriation; however, funds may be carried over for up to four years after the end of the year for which they were appropriated.

(b) Cooperative Extension Programs at 1862 Land-Grant Institutions (Special

Needs) aka ESPN Special Needs (FORMULA)

Special Needs funds are expected to be fully expended in the fiscal year of appropriation; however, funds may be carried over for up to four years after the end of the year for which they were appropriated.

(c) Smith-Lever Special Needs Program (aka ESPN Special Needs Projects)

Special Needs projects have typically ranged from \$30,000 to \$120,000 in award size, however, the amount requested may vary depending on the urgency of the need(s) needs being addressed by the proposed project. Special Needs Program encourages applications that specifically address one or more of the following six key target areas:

1. Education and Technical assistance applications may be for project periods of up to 5 years;
2. Collaboration applications may be for project periods of up to 5 years;
3. Long range family, community and regional planning applications may be for project periods of up to 5 years;
4. Communication delivery applications may be for project periods of up to 5 years;
5. Dissemination of credible, science-based information applications may be for project periods of up to 5 years; and
6. Integrated Research and Extension Planning Projects applications may be for project periods of up to 1 year with proposed budgets not to exceed \$15,000 per proposal.

(d) CSRS Retirement Contributions Program (aka ERET CSRS Retirement FORMULA)

CSRS Retirement Contributions Program funds are expected to be fully expended in the fiscal year of appropriation: however, funds allocated may be carried over for up to four years from the year end for which they were appropriated.

(e) FERS Retirement Contributions Program (aka ERET FERS Retirement) (FORMULA)

FERS Retirement Contributions Program funds are expected to be fully expended in the fiscal year of appropriation: however, funds allocated may be carried over for up to four years from the year end for which they were appropriated.

(f) District of Columbia Public Postsecondary Education Reorganization Act Program (Cooperative Extension Programs) aka EUDC

DCPPERA funds are expected to be fully expended in the fiscal year of appropriation; however funds may be carried over for up to four (4) years after the end of the year for which they were appropriated.

2. Agricultural Extension at 1890 Land-Grant Institutions, Including Tuskegee University and West Virginia State University (Section 1444) (FORMULA)

Section 1444 Program funds are expected to be fully expended in the fiscal year of appropriation; however, no more than 20 per cent of the funds received by an institution in any fiscal year may be carried forward to the succeeding fiscal year. No prior approval from NIFA is required. Since these limitations are legislatively mandated, NIFA is not authorized to approve any carryover requests beyond the 20 percent and one-year limitations.

3. SMITH-LEVER 3(d):

(a) Expanded Food and Nutrition (EFNEP) (ENUT Nutrition Education) (FORMULA)

EFNEP funds are expected to be fully expended in the fiscal year of appropriation; however funds may be carried over for up to four (4) years after the end of the year for which they were appropriated.

(b) Children, Youth and Families at Risk (CYFAR)
Pertinent details will be provided at a future date.

(c) Improve Rural Quality of Life
Pertinent details will be provided at a future date.

(d) Farm Safety
Pertinent details will be provided at a future date.

(e) New Technologies at Ag Extension
Pertinent details will be provided at a future date.

(f) Pest Management
Pertinent details will be provided at a future date.

(g) Sustainable Agriculture (SARE-PD)
Pertinent details will be provided at a future date.

(h) Federally Recognized Tribes
Pertinent details will be provided at a future date.

(i) Youth Farm Safety Education & Certification
Pertinent details will be provided at a future date.

(j) EIPM Support
Pertinent details will be provided at a future date.

(k) EIPM Coordination
Pertinent details will be provided at a future date.

4. OTHER EXTENSION ACTIVITIES:

(a) Renewable Resources Extension Act

The project period ranges from 18-24 months, and may be extended by NIFA without additional financial support for such additional period(s) as the ADO determines may be necessary to complete or fulfill the purposes of an approved project. In no case shall the total project period exceed five years. Any extension of time shall be conditioned upon prior request by the awardee and approval in writing by the ADO, unless prescribed otherwise in the terms and conditions of award.

(b) Rural Health and Safety

The project period shall not exceed 1 year. Project period may be extended by NIFA without additional financial support for such additional period(s) as the ADO determines may be necessary to complete or fulfill the purposes of an approved project. In no case shall the total project period exceed five years. Any extension of time shall be conditioned upon prior request by the awardee and approval in writing by the ADO, unless prescribed otherwise in the terms and conditions of award.

(c) Extension Services at the 1994 Institutions

Continuation grants to Increase Extension Program Capacity may be funded for up to four years for competitively-selected proposals, one from each eligible institutions. Applicants may request up to \$85,000 for each year of funding requested. Funding is awarded on an annual basis (within that specified time period) and subject to the availability of annual appropriations and satisfactory progress of the project.

All 1994 Institutions are invited to submit applications under the Extension Special Emphasis Project type. There is no limit to the number of applications a 1994 Institution, or a consortium of 1994 Institutions, may submit under the

Extension Special Emphasis Project type. Each application submitted by a single institution or multiple institutions may request up to \$100,000. The project period may not exceed three years. These will not be awarded as continuation grants.

(d) Grants to Youth Serving Institutions

A proposal may request a grant for a duration of 18 months. The project period may be extended by NIFA without additional financial support, for such additional period(s) as the ADO determines may be necessary to complete or fulfill the purposes of an approved project, but in no case shall the total project period exceed five years. Any extension of time shall be conditioned upon prior request by the awardee and approval in writing by the ADO, unless prescribed otherwise in the terms and conditions of award.

(e) Food Animal Residue Avoidance Database Program (FARAD)

The term of the contract, grant or cooperative agreement shall be three (3) years, with options to extend the term triennially.

(f) Federal Administration (DIRECT APPROPRIATION)

Pertinent details will be provided at a future date.

(g) 1890 Facilities (Section 1447)

This RFA is for renewal applications only. Eligible applicants must submit a renewal application. This is a project application that requests additional funding to continue the project that was approved in an original or amended award. Applicants should request funding for an additional year at the amount for their eligible organization.

Section 1447 of NARETPA of 1977 [7 U.S.C. 3222b] designates distribution of these funds. The program was reauthorized through FY 2012 by Section 7123 of FCEA (Pub. L. 110-246).

Future funding will be strictly subject to new appropriations. Method of awarding/releasing assistance: by letter of credit.

Reports:

Grantees are to submit initial project information and annual summary reports to NIFAs electronic, Web-based inventory system that facilitates both grantee submissions of project outcomes and public access to information on Federally-funded projects. The details of the reporting requirements are included in the award terms and conditions.

1. SMITH-LEVER 3(b) & (c):

(a) Cooperative Extension Programs at 1862 Land-Grant Institutions (aka 3b & c Formula Funds) (FORMULA)

The Plan of Work Update and Annual Report of Accomplishments and Results were due by April 1, and should have been submitted online at the web address: <http://pow.nifa.usda.gov>.

Grantees are to submit initial project information and annual summary reports to NIFAs electronic, Web-based inventory system that facilitates both grantee submissions of project outcomes and public access to information on Federally-funded projects. The details of the reporting requirements are included in the award terms and conditions.

Financial reporting via the SF-425, Federal Financial Report is due to the Formula Grants Branch, Awards Management Division (AMD) of the Office of Grants and Financial Management (OGFM) on April 1st of each year until the formula grant is closed.

(b) Cooperative Extension Programs at 1862 Land-Grant Institutions (Special Needs) aka ESPN Special Needs (FORMULA)

The Plan of Work Update and Annual Report of Accomplishments and Results were due April 1, and should have been submitted online at the web address:

<http://pow.nifa.usda.gov>.

Financial reporting via the SF-425, Federal Financial Report is due to the Formula Grants Branch, Awards Management Division (AMD) of the Office of Grants and Financial Management (OGFM) on April 1st of each year until the formula grant is closed.

(c) Smith-Lever Special Needs Program (aka ESPN Special Needs Projects)

(NOTE: Expected Outcomes and Reporting Requirements are NOT reflected in the RFA. Hence, standard reporting will be utilized.)

(d) CSRS Retirement Contributions Program (aka ERET CSRS Retirement) (FORMULA)

Financial reporting via the SF-425, Federal Financial Report is due to the Formula Grants Branch, Awards Management Division (AMD) of the Office of Grants and Financial Management (OGFM) on April 1st of each year until the formula grant is closed.

(e) FERS Retirement Contributions Program (aka ERET FERS Retirement) (FORMULA)

Financial reporting via the SF-425, Federal Financial Report is due to the Formula Grants Branch, Awards Management Division (AMD) of the Office of Grants and Financial Management (OGFM) on April 1st of each year until the formula grant is closed.

(f) District of Columbia Public Postsecondary Education Reorganization Act Program (Cooperative Extension Programs) aka EUDC.

The Plan of Work Update and Annual Report of Accomplishments and Results must be submitted by April 1, online at the web address: <http://pow.nifa.usda.gov>.

Form NIFA-REPT (Revised) Supplement to Annual Report of Accomplishments and Results, Multistate Extension Activities and Integrated Activities, should have been submitted to the OEP Formula Grants Section by April 1, for each fiscal year (FY).

Financial reporting via the SF-425, Federal Financial Report is due to the Formula Grants Branch, Awards Management Division (AMD) of the Office of Grants and Financial Management (OGFM) on April 1st of each year until the formula grant is closed.

2. Agricultural Extension at 1890 Land-Grant Institutions, Including Tuskegee University and West Virginia State University (Section 1444) (FORMULA)

The Plan of Work Update and Annual Report of Accomplishments and Results should have been submitted by April 1, 2009 online at the web address: <http://pow.nifa.usda.gov>.

Financial reporting via the SF-425, Federal Financial Report is due to the Formula Grants Branch, Awards Management Division (AMD) of the Office of Grants and Financial Management (OGFM) on April 1st of each year until the formula grant is closed.

3. SMITH-LEVER 3(d):

(a) Expanded Food and Nutrition (EFNEP) (ENUT Nutrition Education) (FORMULA)

States must provide a state program report, using the State Reporting System version 5 (SRS5) which was due November 1.

Financial reporting via the SF-425, Federal Financial Report is due to the Formula Grants Branch, Awards Management Division (AMD) of the Office of Grants and Financial Management (OGFM) on April 1st of each year until the formula grant is closed.

(b) Youth at Risk
Pertinent details will be provided at a future date.

(c) Improve Rural Quality of Life
Pertinent details will be provided at a future date.

(d) Farm Safety
Pertinent details will be provided at a future date.

(e) New Technologies at Ag Extension
Pertinent details will be provided at a future date.

(f) Pest Management
Pertinent details will be provided at a future date.

(g) Sustainable Agriculture (SARE-PD)
Pertinent details will be provided at a future date.

(h) Federally Recognized Tribes
Pertinent details will be provided at a future date.

(i) Youth Farm Safety Education & Certification
Pertinent details will be provided at a future date.

(j) EIPM Support
Pertinent details will be provided at a future date.

(k) EIPM Coordination
Pertinent details will be provided at a future date.

4. OTHER EXTENSION ACTIVITIES:

(a) Renewable Resources Extension Act

Grantees are to submit initial project information and annual summary reports to NIFAs electronic, Web-based inventory system that facilitates both grantee submissions of project outcomes and public access to information on Federally-funded projects. The details of the reporting requirements are included in the award terms and conditions.

Additionally, when the project is complete, the grantee is required to submit a Final Technical Report to the NIFA National Program Leaders, Renewable Resources Extension Act. The Final Technical Report should summarize all work conducted and detail the outcomes, accomplishments and outputs of the funded project. This report should not exceed 15 single-spaced, typed pages.

At a minimum, Project Directors are expected to attend and present their projects and accomplishments as submitted papers to the appropriate Biennial Conference of the Association of Natural Resources Extension Professionals (ANREP). Additional conference presentations may also be utilized, but not substituted for the ANREP Conference without submitting a specific request to do so to the Program Contact.

Additional annual reports may be requested to provide timely information for the Secretary of Agriculture and Congress. The agency contact below will request additional reports as needed.

(b) Rural Health and Safety

Grantees are to submit initial project information and annual summary reports to NIFAs electronic, Web-based inventory system that facilitates both grantee submissions of project outcomes and public access to information on Federally-funded projects. The details of the reporting requirements are included in the award terms and conditions.

(c) Extension Services at the 1994 Institutions

Grantees are to submit initial project information and annual summary reports

to NIFAs electronic, Web-based inventory system that facilitates both grantee submissions of project outcomes and public access to information on Federally-funded projects. The details of the reporting requirements are included in the award terms and conditions.

Project Directors are required to attend a NIFA Project Directors' meeting and/or the NIFA Administrative Officers Meeting at least once during the duration of the project. Reasonable travel expenses for such annual NIFA Project Director Meeting and/or NIFA Administrative Officers Meeting should be included as part of the project budget.

Annual Performance Report

In the case of Increase Extension Program Capacity continuation grants, annual performance reports are due 90 days prior to the anniversary date of the award and should be submitted to NIFAs electronic, Web-based inventory system that facilitates both grantee submissions of project outcomes and public access to information on Federally-funded projects. The details of the reporting requirements are included in the award terms and conditions.

Annual performance reports must include the following information: (a) a comparison of actual accomplishments with the goals established for the reporting period (where the output of the project can be expressed readily in numbers, a computation of the cost per unit of output should be submitted, if the information is considered useful); (b) if established goals were not met, the reasons; and (c) additional pertinent information including, when appropriate, analysis and explanation of cost overruns or unexpectedly high unit costs.

Final Technical Report

A final technical report must be submitted within 90 days after the expiration date of the project to NIFAs electronic, Web-based inventory system that facilitates both grantee submissions of project outcomes and public access to information on Federally-funded projects. The details of the reporting requirements are included in the award terms and conditions.

The expiration date is specified in the award documents and modifications thereto, if any. Generally, the final technical report should be a summary of the completed project, including: (a) a review of project objectives and accomplishments; (b) a description of outcomes resulting from the project and activities undertaken to disseminate these outcomes; (c) an explanation of partnerships and collaborative ventures that resulted from the project, including future initiatives that are planned as a result of the project; (d) a description of the projects impact on the PD(s), the institution(s) involved, and the community; and (e) any pertinent data on project personnel and beneficiaries. The final technical report also must contain any other information specified in the terms and conditions of the award.

(d) Grants to Youth Serving Institutions

Previously funded Rural Youth Development applicants must prepare an annual report to NIFA that details all significant activities towards achieving the outputs and outcomes of the project as supported by evaluation methodologies. Provide tables, graphs and figures to facilitate comparing targeted results with actual results. Include success stories and pictures. Reports should include sections that will report:

Outcomes for youth, adults and community stakeholders as indicated in the Rural Youth Development program Logic Model (See RFA) and other organization specific outcomes.

Location of funded projects (could be shown on a map of the United States). Summarized outputs of participants and programs as contained in the Output Measures Report that was developed and used by funded organizations

Examples (program descriptions) of community capital built in one or more areas of human, social, civic, natural, built (infrastructures), financial, and cultural. Information can be used from the Community Action report that was developed and used by the funded organizations.

Anecdotal quotes from participants and supporting information.

Summary reports shall be by year and cumulative.

At the end of the grantee's final year, the grantee must prepare a final report to NIFA that summarizes all significant activities towards achieving the outcomes of the project, while providing final population demographics and trends in the evaluation results. Final reports should include the same bulleted information shown immediately above. Provide tables, graphs, pictures, and other figures to facilitate comparing targeted results with actual results, aggregated for the entire project. List all educational materials produced by the project. Include success stories. Reports must be received within 90 days of the termination of the award.

Projects shall submit program results to NIFAs electronic, Web-based inventory system that facilitates both grantee submissions of project outcomes and public access to information on Federally-funded projects. The details of the reporting requirements are included in the award terms and conditions.

(e) Food Animal Residue Avoidance Database Program (FARAD)

Grantees are required to submit an analysis of program strengths and opportunities in meeting national food safety priorities, and steps to be taken, if any, to strengthen program planning and execution.

Grantees are to submit initial project information and annual summary reports to NIFAs electronic, Web-based inventory system that facilitates both grantee submissions of project outcomes and public access to information on Federally-funded projects. The details of the reporting requirements are included in the award terms and conditions.

(f) Federal Administration (DIRECT APPROPRIATION)

Pertinent details will be provided at a future date.

(g) 1890 Facilities (Section 1447)

NIFA expects the awarded funds to be used for the acquisition and improvement of agricultural and food sciences facilities and equipment, including libraries, so that the 1890 land-grant institutions, including Tuskegee University and West Virginia State University, may participate fully in human capital development in the food and agricultural sciences.

1) Performance Reports:

Awardees of Federal funds are responsible for monitoring day-to-day project performance to ensure that project goals and performance schedules are met, contain costs, and accurately report progress to NIFA. An annual performance report is due in NIFA 90 days after completion of the first 12 months of the initial grant award and annually thereafter during the project period. Please report all work performed during the previous 12-month period, including any funded or unfunded time extensions. The performance report is to be submitted through NIFA's electronic, Web-based inventory system that facilitates both grantee submissions of project outcomes and public access to information on Federally-funded projects. The report is described below and should not exceed five (5) pages in length and shall include the following information:

A comparison of actual accomplishments against the goals and/or objectives established for the facilities program during the reporting period, including relevant percentage-of-completion data and a computation of square footage costs for all projects undertaken;

The reason for slippage if approved goals were not met;

Include additional pertinent information including for example, analysis and explanation of cost overruns or unexpectedly high unit costs. Report and explain any favorable or adverse conditions, changes, or situations which are expected to impact the scope, size, cost, or completion schedule for the project, along with a discussion of proposed or actual actions taken by your institution to resolve any problems encountered;

In subsequent years, if the proposal represents any changes to your approved Five-Year Plan, please provide a description of, and justification for, the change so that our records can be updated;

A summary of activities to be undertaken during the next 12-month period;

A listing of architectural, engineering, and construction contracts entered into during this reporting period only for each program component in the format provided in the RFA and/or FGO.

2) Additional Performance Report Emphasis:

Additionally, performance reports should include the following:

A. New Facilities Constructed:

1. Facility Name, Cost, Square Footage, and Funds Leveraged if any
2. Facility Uses for Teaching, Research and Extension
3. Narrative of Programs Supported and Emphasis Areas
4. Photographs

B. Facilities Renovated

1. Facility Name, cost
2. Facility Uses for Teaching, Research, and Extension
3. Narrative of Programs Supported and Emphasis Areas
4. Photographs

C. Major Land Purchases

1. Size and Cost
2. Facility Uses for Teaching, Research, and Extension
3. Photographs of Any Farm Activities

D. Major Laboratory, and Farm Equipment and Miscellaneous

E. Impact

1. Describe how the facilities program for FY 2008 impacted the programs in food and agricultural sciences (Teaching, Research, and Extension).

3). NIFA's Web-based Inventory System Reports:

Grantees are to submit initial project information and annual summary reports to NIFAs electronic, Web-based inventory system that facilitates both grantee submissions of project outcomes and public access to information on Federally-funded projects. The details of the reporting requirements are included in the award terms and conditions. NIFA uses the SF-425, Federal Financial Report to monitor cash. Grantees are to submit initial project information and annual summary reports to NIFAs electronic, Web-based inventory system that facilitates both grantee submissions of project outcomes and public access to information on Federally-funded projects. The details of the reporting requirements are included in the award terms and conditions. A final Federal Financial Report (SF-425) is due within 90 days of the expiration date of the grant and should be submitted to the address listed below, in accordance with instructions contained in 2 CFR 3430.55 (also refer to Section 3015.82 of the Uniform Federal Assistance Regulations).

Awards Management Division (AMD)
Office of Grants and Financial Management (OGFM)
National Institute of Food and Agriculture (NIFA)
U.S. Department of Agriculture (USDA)
STOP 2271
1400 Independence Avenue, SW
Washington, DC 20250-2271

Telephone: (202) 401-4986

FOR FORMULA GRANTS:

Institutions are required to submit the SF-425, Federal Financial Report per Agency instructions. The office listed below provides agency oversight of these reports:

Formula Grants Branch
Awards Management Division (AMD)
Office of Grants and Financial Management (OGFM)
National Institute of Food and Agriculture (NIFA)
U.S. Department of Agriculture (USDA)
STOP 2298
1400 Independence Avenue, SW

E-mail: formulagrantsquestions@nifa.usda.gov. Grantees are to submit initial project information and annual summary reports to NIFAs electronic, Web-based inventory system that facilitates both grantee submissions of project outcomes and public access to information on Federally-funded projects. The details of the reporting requirements are included in the award terms and conditions.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

In accordance with the Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and other Non-profit Organizations [2 CFR 215, Subpart C, Section 215.53, (OMB Circular A-110)] grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be retained at least three (3) years. Records must be retained beyond the three-year period if litigation is pending or audit findings have not been resolved.

Account Identification:

12-0502-0-1-352.

Obligations:

(Project Grants) FY 12 \$458,984,652; FY 13 est \$423,991,097; and FY 14 est \$442,258,499 - The difference between the appropriation and obligation numbers reflects legislative authorized set-asides deducted as appropriate, and in some cases the availability of obligational authority from prior years.

FY 2013 estimated amounts include dollar reductions calculated pursuant to the budget sequestration order issued March 1, 2013.

SPECIAL NOTE:

2013 Enacted Appropriations language combined funding for Youth Farm Safety and Farm Safety Programs. 2013 and 2014 proposed appropriations language would maintain 2012 enacted language.

Range and Average of Financial Assistance:

If minimum or maximum amounts of funding are established, these will be announced in the Initial and/or Final Formula Grant Opportunity (FGO).

If minimum or maximum amounts of funding per competitive project grant or cooperative agreement are established, these will be announced in the annual program announcement or Request for Application (RFA).

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: (A) Cooperative Extension Programs at 1862 Land-grant Institutions (aka Smith-Lever 3 (b) & (c) Formula Grants Program:

This formula based program allocation was \$275,545,652 with funds being provided to land-grant institutions in each of the 50 States, the District of Columbia, and the U.S. Insular Areas. A matching requirement is a key component of the program.

(B) Cooperative Extension Programs at 1862 Land-grant Institutions - (aka Smith-Lever Special Needs Formula Grants Program):

In a project at the Northern Marianas College, this program is assisting the insular area of Northern Marianas in carrying out agricultural extension work

for demonstrations of improved practices or technologies in agriculture, consumer and family sciences, rural energy, and other subjects related to agriculture. Funding is being used to support extension efforts to address agricultural problems of local, state, regional or national concern.

(C) Smith-Lever Special Needs Competitive Grants Program:

NIFA evaluated and funded Special Needs projects to implement applied scientific programs that serve public needs in preparation for, during and after local or regional emergency situations. The program received seven applications and the total amount allocated was \$462,744. The awards ranged from \$25,000 to \$82,411.

(D) Extension at 1890 Land-Grant Institutions and Tuskegee (aka 1890 Capacity Building formula Grants Program):

In this fiscal year, \$42,592,000 was appropriated; with \$40,888,320 being available to the Institutions after administrative deductions. Awards were based on a formula and ranged in size from \$1,161,672 - \$4,184,310. This program requires a dollar for dollar match. However, the institutions are allowed to request a waiver (up to 50% of total funds awarded to an individual institution) if it is demonstrated that the match cannot be secured. In FY 2012 10 of the 18 institutions requested waivers totaling \$7,887,741.

(E) Expanded Food and Nutrition Education Program (aka Smith-Lever 3d EFNEP and ENUT Formula Grants Program):

The Expanded Food and Nutrition Education Program (EFNEP) brings together federal, state, and local resources to target two primary audiences: low-income families with young children and low-income youth. The program is delivered by the 1862 and the 1890 Land-Grant Institutions. It operates in over 800 counties throughout the 50 states, 6 U.S. territories, and the District of Columbia. Each year, more than million new participants complete the program.

In FY 2012, EFNEP received \$69,678,671 in federal funding. EFNEP reached 130,485 adults and 479,398 youth directly and over 365,000 family members indirectly. 85% of EFNEP participants who reported income were at or below 100% of poverty, earning \$22,350 a year or less for a family of four. At least 73% of all EFNEP adults are minorities. This is significant because poor health disproportionately affects minority and low-income populations. As well, educational opportunities and resources are limited.

EFNEP consistently demonstrates strong results. FY2011 data confirms adult graduates:

Improve their diets: 95% report more closely following dietary recommendations, including consuming an extra cup of fruits and vegetables

Improve their nutrition practices: 90% improve nutrition practices, such as making healthier food choices and reading nutrition labels

Stretch their food dollars farther: 85% improve food resource management practices, such as planning meals and shopping with a grocery list

Handle food more safely: 66% improve food safety practices, such as storing and thawing food properly

Increase their physical activity rates: 33% increase their physical activity level by 30 minutes or more

(F) Regional Integrated Pest Management Competitive Grants:

NOTE: Also see CFDA 10.200.

(F - 1) - North Central Region (aka NC RIPM):

Pertinent data to be provided by Program at a future date.

(F - 2) - Northeastern Region (aka NE - RIPM):

Pertinent data to be provided by Program at a future date.

(F - 3) - Southern Region (aka S - RIPM):

Pertinent data to be provided by Program at a future date.

(F - 4) - Western Region (aka W - RIPM):
Pertinent data to be provided by Program at a future date.

(G) Farm Safety:

NOTE: Farm Safety, Youth Safety Education and Certification and AgrAbility Programs were combined in FY 2012. See item # (W) below.

(H) Farm Safety and Youth Safety Education and Certification Program:

NOTE: Farm Safety, Youth Safety Education and Certification and AgrAbility Programs were combined in FY 2012. See item # (W) below.

(I) New Technologies at Ag Extension:

No new projects were funded in FY 2012.

(J) Children, Youth, and Families at Risk (aka Youth at Risk):

NOTE: See Children, Youth, and Families at Risk - Sustainable Community Projects (Item # U below).

(K) Sustainable Agriculture Research and Education - Professional Development (aka Chapter 3 & SARE-PD):

For the FY 2012 award cycle, \$4,507,766 was available after subtracting agency administrative costs. Of this amount \$245,531 was used for communications, outreach and coordination activities at the national level and \$4,262,629 was distributed equally among the four SARE Regions.

Each region uses these funds to support a State Coordinator in each state as specified in statute and a competitive grant program to support professional development training which will enable agricultural professionals to enhance their ability to teach others about sustainable agriculture principles and practices. There were 23 of these competitive grants awarded in the four regions in 2012.

(L) Federally-Recognized Tribes Extension Program (aka FRTEP):

In FY 2012, \$ 3,025,196 was awarded to 36 existing FRTEP projects at 19 1862 Land Grant Institutions for Extension work in Indian Country. This was the final year of a 4-year continuation award. This grant program supports work that:

- Supplied 15,000 farmers and ranchers with information
- Supported 1,007 youth adult volunteers
- Leveraged \$1.5 million in additional funds
- Helped to improve 131,000 acres of Reservation land

M) Extension Services at the 1994 Institutions (aka Tribal Colleges Extension Program or TCEP) and

(BB) Tribal Colleges Extension - Special Emphasis (TCEP-SE) were combined:

Funds totaling \$ 4,139,520 were made available to 32 1994 Land Grant Institutions in 41 separate awards in 2012.

In 2012, NIFA Extension awards helped to fund 112 Extension Educator positions at the 1994 and 97 Intern positions. The number of youth contacts was 7,700, with approximately 1,300 farmers and ranchers getting information and \$1.9 million additional funds leveraged into extension activities.

This program has two funding options an Extension Capacity option and a Special Emphasis option. The total funds were split with Extension Capacity receiving \$3,404,520 and Special Emphasis receiving \$735,000.

(N) Renewable Resources Extension Act (RREA):

Impact data for FY 2012:

Number of educational events 5,797

Number of landowners adopting at least one new management practice 106,307

Number of acres impacted 6,445,390

Number of management plans developed 1,663

Number of income-generating business created or expanded 652

Number of new jobs created 1,027

(O) Renewable Resources Extension Act - National Focus Fund Projects (aka RREA-NFF):

For the FY 2012 funding cycle, approximately \$120,000 was available from NIFA. Because of a FY 2012 budget cut in RREA the National Focus Funds (NFF) Competitive Program was reduced from \$275,000 to \$120,000. Since this was a reduction to 30% of NFF funds, national Program Leaders felt that this amount was too small to justify a formal competition. NPLs requested and were approved for a non-compete waiver. A total of three awards of \$40,000 each will help to broaden the scope and fulfill missed opportunities for three Communities of Practice funded by NIFA RREA NFF during the last two funding cycles. These awards are currently in process, no other data or information is available.

(P) Rural Health and Safety Education (Competitive):

In FY 2012, the program focused on:

1) Individual and Family Nutrition and Health Education Programs that provide individuals and families with:

Information as to the value of good health at any age;
Information to increase individual or family's motivation to take more responsibility for their own health;

Information about and access to nutrition and health promotion and educational activities; and

Training for volunteers and health services providers concerning nutrition and health promotion and health care services for individuals and families in cooperation with state, local and community partners.

2) Farm Safety Education Programs that provide information and training to farm worker and farm families concerning safety in the work place, including information and training concerning, but not limited to:

- The prevention of occupational injury and death rates;
- The prevention of exposure to farm chemicals; and
- The prevention of agricultural respiratory diseases and dermatitis.

3) Rural Health Leadership Development Education Programs that assist rural communities in developing nutrition and health care services and facilities that will provide the maximum benefit for the resources invested and assist community leaders and public officials in understanding their roles and responsibilities relative to rural health services and facilities, including but not limited to:

- Community decisions regarding funding for and retention of rural hospitals;
- Rural physicians and allied health professionals recruitment and retention;
- The aging rural population and senior services required to care for the population;
- The establishment and maintenance of rural emergency medical services systems; and
- The application of computer-assisted capital budgeting decision aids for rural health services and facilities.

In response to the 1890 and 1994 institution's continued interest in the program and the identified increased need for rural health and safety outreach programming in many of the communities these institutions serve, the RFA for FY2012 also created two distinct funding groups: 1862 institutions and 1890/1994 institutions.

Available funds (\$1,436,750) were divided so that 60% of funds is allocated to fund successful applications submitted by 1862 Land-Grant institutions (with \$200,000 funding cap per award), while 40% of available funds will be allocated to fund successful applications submitted by 1890 and 1994 Land-Grant institutions (with \$150,000 funding cap per award).

Furthermore, to allow more institutions overall to successfully compete for RHSE funds, the following application restrictions were put in place:

- FY '12 RFA required that only one application per institution be submitted;
- Multiple applications from a team proposing to conduct the same project in different locations were not considered for funding; and
- Projects that have received funding through Rural Health and Safety Education Competitive Grants Program for two (2) or more years in the past five (5) years were not considered.

Enhanced outreach efforts to increase the visibility of this grant opportunity and to elevate readiness of Land-Grant Institutions to apply for funding were reflected in three informational webinars targeted at 1862, 1890 and 1994 institutions were conducted, as well as an online grant-writing workshop for those prospective applicants who did not feel they had the grant-writing skills to be able to write effective, competitive proposals.

Among 11 panelists selected for FY2012 RHSE panel review, 55% were non-minority females, 9.1% were minority females and 9.1% were minority males. In addition, 27% of the panel came from minority-serving Land-Grant institutions (1890 or 1994) or agencies.

Two panels (corresponding applicant institution group 1862 or 1890/1994) were formed and panel sessions were held virtually over the course of two days, July 24-26, 2012.

A total of 39 grant applications were received, of which 32 were accepted for review eight (8) from 1890/1994 Land-Grant institutions and 24 from 1862 Land-Grant Institutions.

(Q) 1890 Facilities Grant Program (aka Section 1447):

In FY 2012, a total distribution of \$18,939,700 was allocated to support facilities at each of the 1890 Land-Grant Institutions (including Tuskegee University and West Virginia State University). The awards ranged from \$743,075 to \$1,543,693.

(R) Food Animal Residue Avoidance Database Program (aka FARAD):

For the FY 2012 award cycle, Congress appropriated \$1,000,000 to support FARAD, which is funded under its own line item authority.

A total of 4 applications were received and evaluated under competition waiver administrative processes. FARAD applications were merit reviewed internally by National Program Staff and all 4 entities were funded. This 30-year-old high performing integrated consortium of collaborating institutions includes at each Land Grant campus a lead PD, and his/her respective scientific staff.

The funding ratio for this program in FY12 was 100% due to the competition waiver process.

FARAD is a university-based national program that serves as the primary source for scientifically-based recommendations regarding safe withdrawal intervals of drugs and chemicals in food-producing animals. As such, FARAD is a key resource for protection of our nation's food supply, including meat, milk and eggs, against accidental contamination of animal-derived foods with violative residues of drugs, pesticides or other agents that could compromise food safety.

(S) Grants to Youth Serving Institutions (aka Rural Youth Development & RYD Grants Program):

For the FY 2012 award cycle, \$720,000 was available for project grant awards after subtracting administrative costs.

Based on Congressional language, only four organizations are eligible to apply for this funding: the National FFA Organization, the National 4-H Council, the Girl Scouts of the USA, and Boy Scouts of America. The Boy Scouts did not apply. Each organization could apply for up to 40 percent of the available

funds.

A 3 member peer review panel comprised of program staff from the National Institute of Food and Agriculture, plus the National Program Leader who manages the grant program, reviewed the three applications. Applications were scored independently by each reviewer based on pre-determined criteria outlined in the RFA.

The reviewers submitted their scores electronically. The scores were averaged to give a total for each application. In addition, reviewers made comments about the strength and weaknesses of each scoring component. The peer review panel met face-to-face to discuss rankings and make recommendations for funding levels.

The following amounts are in the process of being awarded: 4-H, \$282,132; FFA, \$288,000; and GSUSA, \$149,868.

In this program youth and adult teams work together in their communities to learn leadership skills. They conduct community needs assessments. Based on the results of the needs assessments, they prioritize long-term, complex community issues that they can address. Then, the teams plan, implement, and evaluate projects to meet those needs.

(T) Agriculture Risk Management Education Program (aka RME & ARPA Program):

As a result of the 2012 competition, 71 subawards were funded by the four regional ERME Centers. Of these, 16 were in the NE region, 19 were in the NC region, 17 were in the S region, and 19 were in the W region.

Projects funded in 2012 represent a diverse portfolio of risk management topics, audiences, and geography. Projects targeted the special emphasis audiences identified in Section 12026 of the 2008 Farm Bill. Among the examples, a partnership with the University of Arizona and Native American producers resulted in development of a new set of culturally appropriate application and program materials for use by grant applicants. Building Farms in the West, a seven-state partnership of land grant extension specialists and CBO led by ERME, built business planning capacity for over 250 beginning farmer and rancher participants. And the program sponsored the Women in Agriculture Educators National Conference in 2012 that attracted more than 200 participants. Moreover, as a result of ERME funding, a series of risk management colloquia were organized to focus on specific needs of underserved producer populations and to recruit growers to participate, including African American, Native American, women, specialty crop producers, and beginning farmers and ranchers.

(U) & (J) Combined - Children, Youth, and Families at Risk (CYFAR) and CYFAR - Sustainable Community Projects (aka CYFAR-SCP):

Note: Also See item # J above.

For the FY 2012 award cycle, \$7,296,000 was available for project grant awards after subtracting administrative costs.

A total of 22 academic institutions submitted applications requesting \$10,935,382.00 in this years competition. In January 2012, a seven member peer review panel evaluated these applications.

Funds were available to support a total of seven awards in the following project types: one 4-H Military (\$409,890); 43 Sustainable Community Projects (\$5,228,120); four New Sustainable Community Projects (\$320,000); CYFERnet (\$635,500); and Liaisons (\$299,950).

The funding ratio for this program in FY12 was 3%.

Funded projects should address one of the following CYFAR National Outcomes:

- a) Early Childhood

- b) School Age
- c) Teens and
- d) Parent/Family

(V) Children, Youth, and Families at Risk - Professional Development and Technical Assistance (aka CYFAR-PDTA):

For fiscal year 2012, the CYFAR Liaisons and CYFERnet Programs, Evaluation, and Technology as a subset to the overall CYFAR program. The seven Liaisons representing seven universities were awarded \$635,000 and the three CYFERnet programs representing three universities were awarded \$748,000.

(W) Assistive Technology Program for Farmers with Disabilities: National AgrAbility Project

NOTE: Farm Safety, Youth Safety Education and Certification and Assistive Technology Program for Farmers with Disabilities: National AgrAbility Programs were combined in FY 2012.

In FY 2012 Congress consolidated Extension 3(d) line items Farm Safety (AgrAbility) and Youth Farm Safety Education and Certification into a single line item and reduced appropriations 13 percent from the FY 2011 enacted appropriations for these two line items. \$4,425,600 was available for project grant awards after subtracting administrative costs. Obligations to continuation grants were in excess of appropriated funds. All continuation grants were reduced by 10 percent. Approximately \$482,400 was available for one competitive award for the National AgrAbility Project.

With the exception of the National AgrAbility Project, which was a new award made in FY 12, other projects funded under AgrAbility (total of 23) were continuations originally awarded in FY2009 (5 projects), FY2010 (15 projects), and FY 2011 (3 projects).

(X) Extension IPM Coordination and Support Program (aka IPM-CS):

For the FY 2012 award cycle, \$ 8,906,992 was available for project grant awards after subtracting administrative costs (\$ 8,735,152 for EIPM Coordination and \$ 171,840 for EIPM Support).

A total of 6 new applications requesting a total of \$ 243,712 for new one year awards were received in the 2012 competition. In June 2012, a four-member peer review panel evaluated these applications. The virtual peer panel included faculty and administrators from land grant and non-land colleges and universities. Additionally, 54 projects were funded through continuations for the final year of their multi year awards. The initial award was competed in either 2010 or 2011.

Funds were available to support a total of two new awards in the Coordination program and two new awards in the Support program. One of the new coordination awards was to an 1890 land-grant institution.

The funding ratio for the coordination program in FY12 was 100%, reflecting the substantial stakeholder input to retain a network of institutional and state-based IPM Coordination programs. The amount of the awards reflected the ranking of the application by the peer panel. The support program funded 57% of the applications.

Funded coordination projects address Extension education in one or more of the following IPM target audiences:

- 1) IPM in Agronomic Crops;
- 2) IPM in Specialty Crops;
- 3) IPM in Communities;
- 4) IPM in Animal Agriculture; and
- 5) Any of eight secondary areas (conservation, diagnostics, housing, pesticide application, public health, recreation, schools, and wide-area pest monitoring).

(Y) Extension Outreach on the Marketplace Exchanges of the Affordable Care Act:

N/A Program was not yet funded.

(Z) Military Family Readiness System (aka MFRS):

No additional funds were provided for this program.

In the initial year of this project , progress has resulted in the following outputs:

- (1) The MFSTS team convened a strong leadership team, military advisory group, and 9 program-specific working groups comprised of subject matter experts and researchers;
- (2) A project-specific website was developed to support the uploading and review of staff training resources for the gap analysis review;
- (3) All military Services were requested to upload their Family Center/Program staff training curricula related to family readiness;
- (4) A gap analysis scan of family readiness staff training curricula uploaded by Services was conducted; and
- (5) Quantitative and qualitative data analyses of the gap analysis data were conducted.

(AA) Second Language & Culture Exposure for Children Project (aka SLCECY):

One project was funded for this program in FY 2011. No additional funds were provided for this program.

(BB) Tribal Colleges Extension Program - Special Emphasis (aka TCEP-SE):

NOTE: Combined with CFDA 10.500 (M) above.

Per FY '11 RFA, funds totaling \$1,688,000 were available for awards. Projects were awarded in FY '12. Pertinent details to be provided by Program at a future date.

(CC) District of Columbia Public Secondary Education Reorganization Act Program (CES - Formula):

A total of \$1,165,360 was appropriated through NIFA to support the District of Columbia Public Postsecondary Education Reorganization Act Program. There is no matching requirement for this program. Administrative costs are taken from Smith-Lever funds. Through the Cooperative Extension, 13,182 workshops (22% increase from 2011) and 579 demonstrations (10.7% increase from 2011) were conducted at various sites throughout the city. The Cooperative Extension programs interactions with DC youth, families, and communities increased by 68.8%, and direct service to provided to 316,819 compared to 188,143 in 2011. The Agricultural Experiment Station continued its work with institutions across our nation to resolve issues related to obesity and nutrition as well as health (diabetes, breast cancer), sustainable energy, sustainable agriculture, urban gardening, and specialty crops. Fiscal Year 2013: (A) Cooperative Extension Programs at 1862 Land-grant Institutions (aka Smith-Lever 3(b) &(c) Formula Grants Program:

\$256,740,615 was allocated to support individual projects that may be initiated under this program. Awards ranged from \$835,319 to 11,524,515. The program has a matching requirement; with over 98 percent of the organizations that receive funds having to match 100% of the award amount. The total amount of required matching funds in FY 2013 is \$250,264,062.

(B) Cooperative Extension Programs at 1862 Land-grant Institutions - (aka Smith-Lever Special Needs Formula Grants Program):

16 projects were funded in FY-2012 at \$1,029,979 No accomplishments to report at this time.

(C) Smith-Lever Special Needs Competitive Grants Program:

NIFA funded Special Needs projects to implement applied scientific programs that serve public needs in preparation for, during and after local or regional emergency situations, and the program has received nine applications. The total allocation is \$427,702 and the request for funding is \$561,611. The range of proposal requests is from \$33,618 to \$107,449. The Smith-Lever Special Needs Competitive Grants Program panel will be held on July 16.

(D) Extension at 1890 Land-Grant Institutions and Tuskegee (aka 1890 Capacity Building formula Grants Program):

\$39,299,008 was appropriated for this program; of that amount \$37,727,048 was made available to the states (18 1890 Institutions). The program requires a 1:1 dollar match of total funds awarded to each institution. In FY 2013 it is anticipated that half of the institutions may request a waiver which may not exceed 50% of the total amount of funds awarded.

(E) Expanded Food and Nutrition Education Program (aka Smith-Lever 3d EFNEP and ENUT Formula Grants Program):

Not available, but historically EFNEP outcomes and impacts are consistent. In FY2013, similar results are anticipated. Pertinent data to be provided by Program at a future date.

(F) Regional Integrated Pest Management Competitive Grants:

NOTE: Also see CFDA 10.200.

(F - 1) - North Central Region (aka NC RIPM):

For the FY 2013 award cycle, \$144,150 was available for Extension grant awards and \$543,816 was available for research grant awards.

A total of 23 proposals requesting \$2,681,102 were received in this years competition. The Technical Review Panel met on July 9-10, 2013 and recommended eight proposals for funding in the following project types (Research, Extension, or combined Research-Extension). The funding percentage for this program was 35%.

Funded projects should develop individual pest control tactics, integrate individual tactics into an IPM system, and develop and implement extension and education programs.

(F - 2) - Northeastern Region (aka NE - RIPM):

For the FY 2013 award cycle, \$144,150 was available for Extension grant awards and \$402,083 was available for research grant awards.

A total of 18 proposals requesting \$1,645,248 were received in this years competition. Technical Review Panel deliberations are scheduled for July 18-19, 2013, so no funding recommendations have been made yet.

(F - 3) - Southern Region (aka S - RIPM):

For the FY 2013 award cycle, \$144,150 was available for Extension grant awards and \$608,700 was available for research grant awards.

A total of 31 proposals requesting \$4,035,691 were received in this years competition. The Technical Review Panel met on June 26-27, 2013 and recommended five proposals for funding in the following project types (Research, Extension, or combined Research-Extension). The funding percentage for this program was 16%.

Funded projects should develop individual pest control tactics, integrate individual tactics into an IPM system, and develop and implement extension and education programs.

(F - 4) - Western Region (aka W - RIPM):

For the FY 2013 award cycle, \$144,150 was available for Extension grant awards and \$473,051 was available for research grant awards.

A total of 31 proposals requesting \$3,913,131 were received in this years competition. The Technical Review Panel met on June 24, 2013 and recommended five proposals for funding in the following project types (Research, Extension, or combined Research-Extension). The funding percentage for this program was 16%.

Funded projects should develop individual pest control tactics, integrate individual tactics into an IPM system, and develop and implement extension and education programs.

(G) Farm Safety:

NOTE: Farm Safety, Youth Safety Education and Certification and AgrAbility Programs were combined in FY 2012. See item # (W) below.

(H) Farm Safety and Youth Safety Education and Certification Program:

NOTE: Farm Safety, Youth Safety Education and Certification and AgrAbility Programs were combined in FY 2012. See item # (W) below.

(I) New Technologies at Ag Extension:

No new projects were funded in FY 2012..

(J) Children, Youth, and Families at Risk (aka Youth at Risk):

NOTE: See Children, Youth, and Families at Risk - Sustainable Community Projects (Item # U below).

(K) Sustainable Agriculture Research and Education - Professional Development (aka Chapter 3 & SARE-PD):

For the FY 2013 award cycle, \$3,849,113 was available after subtracting agency administrative costs. Of this amount \$310,500 was used for communications, outreach and coordination activities at the national level and \$3,538,613 was distributed equally among the four SARE Regions.

Each region uses these funds to support a State Coordinator in each state as specified in statute and a competitive grant program to support professional development training which will enable agricultural professionals to enhance their ability to teach others about sustainable agriculture principles and practices. The selection of these competitive award recipients for 2013 is not yet complete.

(L) Federally-Recognized Tribes Extension Program (aka FRTEP):

This was the first year of a new 4-year funding cycle. \$ 2,688,054 was awarded to 36 FRTEP projects in 19 states. Funded projects and focus areas will be similar to FY 2012 projects. Pertinent details will be provided by Program at a future date.

M) Extension Services at the 1994 Institutions (aka Tribal Colleges Extension Program or TCEP) and (BB) Tribal Colleges Extension - Special Emphasis (TCEP-SE) were combined:

Funds totaling \$ 3,819,473 were made available to 32 institutions in 41 separate awards in 2013.

(N) Renewable Resources Extension Act (RREA):

Programs are underway that will result in similar quantifiable impacts as for FY 2012. Pertinent data to be provided by Program at a future date.

(O) Renewable Resources Extension Act - National Focus Fund Projects (aka RREA-NFF):

Funding in the amount of \$150,000 will be available to make awards.. Pertinent data to be provided by Program at a future date.

(P) Rural Health and Safety Education (Competitive):

In FY 2013, RFA was revised to align the program more closely with:

- a) stakeholders feedback; and
- b) programs needs to provide guidance to future grantees regarding better capture and reporting on their projects outcomes and impacts.

FY 2013 proposals are expected to be community-based, outreach education and extension programs that provide individuals and families with:

- Information as to the value of good health at any age;
- Information to increase individual or families motivation to take more responsibility for their own health;
- Information regarding rural environmental health issues that directly impact on human health;
- Information about and access to health promotion and educational activities; and
- Training for volunteers and health services providers concerning health promotion and health care services for individuals and families in cooperation with state, local and community partners.

In order to achieve these program goals, the Rural Health and Safety Education Program will focus on supporting:

- A) Rural Health and Safety Education projects at state and regional levels. Proposed project budgets must not exceed \$200,000, including indirect costs, for a project period of 1 year.
- B) One project addressing National Common Measures for Rural Health and Safety Education projects. Proposed project budgets must not exceed \$50,000, including indirect costs, for a project period of 1 year. One award will be given in the form of a cooperative agreement to identify common measures for individual and family health education Extension programs from a FCS/Human Sciences perspective. These common measures will help increase capacity of the state and regional projects to: a) report on outcomes and impacts of their project objectives; and b) inform state, regional and national efforts aimed at protecting and promoting rural vitality.

To allow more institutions overall to successfully compete for RHSE funds, the following types of applications submitted to the Rural Health and Safety Education Competitive Program

in FY 2013 will not be considered for funding:

Projects that have received funding through Rural Health and Safety Education Competitive Grants Program for 2 or more years within the past 5 years;

Proposals with a focus on research (other than evaluation related to project impacts), formal education (K-12, university), or clinical interventions.

(Q) 1890 Facilities Grant Program (aka Section 1447):

Funding appropriation for FY 2013 is \$18,204,579. The anticipated funding allocation is estimated at \$17,474,604, which reflects adjustments for administrative cost. This funding will support the first year of a new five-year plan of work at each of the 1890 Land-Grant Institution with a funding range of \$685,690 to \$1,424,220.

Facilities funds will be used for the acquisition and improvement of agricultural and food sciences facilities and equipment, as well as libraries, so the 1890 land-grant institutions may participate fully in the development of human capital in the food and agricultural sciences. Peer review panels convened on May 22 and 23 and made recommendations to program leadership staff. Awards will be made by the end of the 2013 Fiscal Year.

(R) Food Animal Residue Avoidance Database Program (aka FARAD):

For the FY 2013 award cycle, Congress appropriated \$923,000 to support FARAD, which is funded under its own line item authority.

A total of 4 applications have been submitted. These applications are in the process of being merit reviewed internally by National Program Staff but recommendations for funding have not yet been determined as of the date of this report.

This 30-year-old high performing integrated consortium of collaborating institutions includes at each Land Grant campus a lead PD, and his/her respective scientific staff. The institutions involved are UC Davis, NCSU, UFL and KSU.

The funding ratio for this program in FY13 is not yet known.

FARAD is a university-based national program that serves as the primary source for scientifically-based recommendations regarding safe withdrawal intervals of drugs and chemicals in food-producing animals. As such, FARAD is a key resource for protection of our nation's food supply, including meat, milk and eggs, against accidental contamination of animal-derived foods with violative residues of drugs, pesticides or other agents that could compromise food safety.

(S) Grants to Youth Serving Institutions (aka Rural Youth Development & RYD Grants Program):

For the FY 2013 award cycle, \$645,000 was available for project grant awards after subtracting administrative costs.

Based on Congressional language, only four organizations are eligible to apply for this funding: the National FFA Organization, the National 4-H Council, the Girl Scouts of the USA, and Boy Scouts of America. The Boy Scouts did not apply. Each organization could apply for up to 40 percent of the available funds.

A 3 member peer review panel comprised of program staff from the National Institute of Food and Agriculture, plus the National Program Leader who manages the grant program, reviewed the three applications. Applications were scored independently by each reviewer based on pre-determined criteria outlined in the RFA.

The reviewers submitted their scores electronically. The scores were averaged to give a total for each application. In addition, reviewers made comments about the strength and weaknesses of each scoring component. The peer review panel met face-to-face to discuss rankings and make recommendations for funding levels.

Final funding amounts have not been determined due to requested review team modifications in budgets, but estimated amounts are approximately: 4-H, \$182,000; FFA, \$258,000; and GSUSA, \$206,000.

In this program youth and adult teams work together in their communities to learn leadership skills. They conduct community needs assessments. Based on the results of the needs assessments, they prioritize long-term, complex community issues that they can address. Then, the teams plan, implement, and evaluate projects to meet those needs.

(T) Agriculture Risk Management Education Program (aka RME & ARPA Program):

ERME is a results-based competitive program. Program applicants at the regional level must identify the intended audience(s) and outcomes of their curricula and training, along with metrics to measure those outcomes. Each year, the regional Centers combined fund approximately 50 projects. All curricula and training materials developed through this investment, as well as reports on program outcomes, are archived and publicly available on the Ag Risk Library at: <http://www.agrisk.umn.edu/Library/Topics.aspx?LIB=AR>

FY 2013 marks a decade of Extension Risk Management Education programming. Since 2003, Extension Risk Management Education projects have had more than 212,000 participants. More than 966 local projects throughout all 50 states have educated Americas farmers and ranchers to manage the unique risks of producing food for the worlds table. As a result of this programming, more than 14,000 participants understand more about transitioning their farm business to the next generation and more than 3,000 have made progress development a written transition plan. Likewise, more than 26,000 participants learned more about developing a marketing plan and over 10,000 have written a marketing plan for their enterprises.

(U) & (J) Combined - Children, Youth, and Families at Risk (CYFAR) and CYFAR - Sustainable Community Projects (aka CYFAR-SCP):

NOTE: Also see item # J above.

For the FY 2013 award cycle, \$6,728,000 was available for project grant awards after subtracting administrative costs.

A total of 21 academic institutions submitted applications requesting \$13,138,695.00 in this years competition. In January 2013, an eight member peer review panel evaluated these applications.

Funds were available to support a total of seven awards in the following project types: one 4-H Military (\$425,949); 40 Sustainable Community Projects (\$4,457,931); and nine New Sustainable Community Projects (\$720,000).

The funding ratio for this program in FY13 was 43%.

Funded projects should address one of the following CYFAR National Outcomes:

- a) Early Childhood
- b) School Age
- c) Teens and
- d) Parent/Family

(V) Children, Youth, and Families at Risk - Professional Development and Technical Assistance (aka CYFAR-PDTA):

For the FY 2013 award cycle, \$850,000 will be available for project grant awards after subtracting administrative costs. For this Professional Development and Technical Assistance (PDTA) award, one university will be awarded this competitive award.

The funded project is designed to address the professional development and technical assistance needs of the CYFAR SCP grantees.

The panel review is set to occur during late July.

(W) Farm Safety and Youth Safety Education and Certification Programs:

NOTE: Farm Safety, Youth Safety Education and Certification and Assistive Technology Program for Farmers with Disabilities: National AgrAbility Programs were combined in FY 2012.

In FY 2013, approximately \$3,781,786 was available for project awards (after subtracting administrative and panel costs) to support AgrAbility and Youth Farm Safety Education and Certification (YFSEC) projects. Of this amount, \$300,000 was made available for one (1) new award for YFSEC, approximately \$3,395,000 for AgrAbility continuation awards (including National AgrAbility Project) and \$486,000 was available for new State AgrAbility awards.

As in the past, AgrAbility program maintained its focus on supporting state and regional projects that increase the likelihood that individuals with disabilities and their families engaged in production agriculture (AgrAbilitys customers) will become more successful.

Through Youth Farm Safety Education and Certification (YFSEC) past

funding, NIFA supported Hazardous Occupation Safety Training in Agriculture (HOSTA) projects in the past. As part of those projects, two complete curricula were developed and are available on the www.agsafety4youth.info website. These curricula are based on core competencies, as identified by agricultural safety experts. While the curricula provide a solid foundation for safety training in the agricultural workplace for both workers and instructors, they are specific to the certification requirements under the Department of Labor Hazardous Occupation Order and do not address the current need for a coordinated national approach to agricultural safety and health education for youth. The YFSEC focus within the AgrAbility and Youth Farm Safety program funding opportunity in FY2013 sought to address and respond to this need.

In winter 2012, stakeholders input was requested on the YFSEC program in order to help NIFA leadership address stakeholder needs and assure that YFSEC addresses the highest priorities authorized under this program. Stakeholders input was gathered in two ways: 1) via a public listening session, held as a webinar, on December 12, 2012; and 2) via written comments, which were accepted by email and regular mail until close of business on December 26, 2012.

Based on stakeholders feedback, the primary purpose of YFSEC is FY2013 was to develop a coordinated approach to agricultural safety and health education for youth by:

1. Connecting and enhancing existing educational resources in this area;
2. Developing a centralized location for relevant training and farm/ranch safety materials for both formal (school) and non-formal (home and ag. industry) settings; and
3. Developing a national strategy that would enhance awareness of, access to, and utilization of the farm safety materials by youth and adults who instruct and/or work with youth.

(X) Extension IPM Coordination and Support Program (aka IPM-CS):

For the FY 2013 award cycle, \$ 8,135,704 was available for project grant awards after subtracting administrative costs (\$ 7,959,181 for EIPM Coordination and \$ 176,523 for EIPM Support).

A total of 60 applications requesting a total of \$ 34,598,992 for the three year term of the awards (\$ 11,701,148 for year one) were received in the 2013 competition. In June 2013, a 17-member peer review panel evaluated these applications. The peer panel included faculty and administrators from land grant and non-land grant colleges and universities.

Funds were available to support a total of 50 awards in the Coordination program and four awards in the Support program. Seven awarded grants have collaborations between 1862 and 1890 land-grant institutions.

The funding ratio for this program in FY13 was 96%, reflecting the substantial stakeholder input to retain a network of institutional and state-0based IPM Coordination programs. The amount of the awards reflected the ranking of the application by the peer panel. The support program funded 50% of the applications.

Funded coordination projects address Extension education in one or more of the following IPM target audiences:

- 1) IPM in Agronomic Crops;
- 2) IPM in Specialty Crops;
- 3) IPM in Communities;
- 4) IPM in Animal Agriculture; and
- 5) Any of eight secondary areas (conservation, diagnostics, housing, pesticide application, public health, recreation, schools, and wide-area pest monitoring).

(Y) Extension Outreach on the Marketplace Exchanges of the Affordable Care Act:

Grant will be awarded by the end of FY 2013.

(Z) Military Family Readiness System (aka MFRS):

No additional funds will be provided for this program. An annual report is due within 90 days of 8/31/2013.

(AA) Second Language & Culture Exposure for Children Project (aka SLCECY):

One project was funded for this program in FY 2011. No additional funds were provided for this program.

(BB) Tribal Colleges Extension Program - Special Emphasis (aka TCEP-SE):

NOTE: Combined with CFDA 10.500 (M) above.

Per FY '11 RFA, funds totaling \$1,688,000 were available for awards. Projects were awarded in FY '12. Pertinent details to be provided by Program at a future date.

(CC) District of Columbia Public Secondary Education Reorganization Act Program (CES - Formula):

In this fiscal year (2013), \$1,173,160 was appropriated for this program. There is no matching requirement for this program. Administrative costs are taken from Smith-Lever funds. Funds are being used to carry out educational programs and research in Climate Change; Global Food Security and Hunger; Health, Nutrition and Childhood Obesity Prevention; Urban Families, Youth and Communities; and Sustainable Energy through UDCs two essential land-grant components, the Agricultural Experiment Station and the Cooperative Extension Service. Fiscal Year 2014: (A) Cooperative Extension Programs at 1862 Land-grant Institutions (aka Smith-Lever 3 (b) & (c) Formula Grants Program:

Anticipated funding is based on the FY 2012 allocation of \$275,545,652. It is anticipated that funds will be awarded to land-grant institutions in each of the 50 States, the District of Columbia, and the U.S. Insular Areas.

(B) Cooperative Extension Programs at 1862 Land-grant Institutions - (aka Smith-Lever Special Needs Formula Grants Program):

Nothing to report at this time. Pertinent data to be provided by Program at a future date.

(C) Smith-Lever Special Needs Competitive Grants Program:

Funding is projected at the 2012 level of approximately \$462,000.

(D) Extension at 1890 Land-Grant Institutions and Tuskegee (aka 1890 Capacity Building formula Grants Program):

It is anticipated that the program will be funded at the 2012 level (\$42,592,000).

(E) Expanded Food and Nutrition Education Program (aka Smith-Lever 3d EFNEP and ENUT Formula Grants Program):

Not available, but historically EFNEP outcomes and impacts are consistent. In FY2013, similar results are anticipated. Pertinent data to be provided by Program at a future date.

(F) Regional Integrated Pest Management Competitive Grants:

NOTE: Also see CFDA 10.200.

(F - 1) - North Central Region (aka NC RIPM):

No projected data available. Pertinent data to be provided by Program at a future date.

(F - 2) - Northeastern Region (aka NE - RIPM):

No projected data available. Pertinent data to be provided by Program at a future date.

(F - 3) - Southern Region (aka S - RIPM):

No projected data available. Pertinent data to be provided by Program at a future date.

(F - 4) - Western Region (aka W - RIPM):

No projected data available. Pertinent data to be provided by Program at a future date.

(G) Farm Safety:

NOTE: Farm Safety, Youth Safety Education and Certification and AgrAbility Programs were combined in FY 2012. See item # (W) below.

(H) Farm Safety and Youth Safety Education and Certification Program:

NOTE: Farm Safety, Youth Safety Education and Certification and AgrAbility Programs were combined in FY 2012. See item # (W) below.

(I) New Technologies at Ag Extension:

No new projects were funded in FY 2012.

(J) Children, Youth, and Families at Risk (aka Youth at Risk):

NOTE: See Children, Youth, and Families at Risk - Sustainable Community Projects (Item # U below).

(K) Sustainable Agriculture Research and Education - Professional Development (aka Chapter 3 & SARE-PD):

The FY 2014 Funding Levels have not been established by Congressional Appropriations. Pertinent data to be provided by Program at a future date.

(L) Federally-Recognized Tribes Extension Program (aka FRTEP):

No data available. Pertinent data to be provided by Program at a future date.

(M) Extension Services at the 1994 Institutions (aka Tribal Colleges Extension Program or TCEP) and

(BB) Tribal Colleges Extension - Special Emphasis (TCEP-SE) were combined:

FY 2014 will represent the start of a new 4-year continuation cycle with 32 Land Grant Institutions expected to receive awards.

Pertinent data to be provided by Program at a future date.

(N) Renewable Resources Extension Act (RREA):

Projected accomplishments are expected to produce similar quantifiable impacts as for prior years. Pertinent data to be provided by Program at a future date.

(O) Renewable Resources Extension Act - National Focus Fund Projects (aka RREA-NFF):

The RREA-NFF program will continue in FY 2014 if sufficient funding is available. Pertinent data to be provided by Program at a future date.

(P) Rural Health and Safety Education (Competitive):

No data is available. Pertinent data to be provided by Program at a future date.

(Q) 1890 Facilities Grant Program (aka Section 1447):

FY 2014 funding is anticipated at the 2012 level of \$18,939,008 to support the second year of the new five-year plan of work at each 1890 Land-Grant Institutions.

(R) Food Animal Residue Avoidance Database Program (aka FARAD):

No Projected Data Available. Pertinent data to be provided by Program at a future date.

(S) Grants to Youth Serving Institutions (aka Rural Youth Development & RYD Grants Program):

FY 2014 funding is uncertain. Pertinent data to be provided by Program at a future date.

(T) Agriculture Risk Management Education Program (aka RME & ARPA Program):

Funding anticipated for the program in 2014 will likely permit the funding of projects approximate in number and value to past performance. Efforts will continue to focus particular attention to the special emphasis audiences enumerated in the 2008 Farm Bill. Pertinent details to be provided by Program at a future date.

(U) and (J) Combined - Children, Youth, and Families at Risk (CYFAR) and CYFAR - Sustainable Community Projects (aka CYFAR-SCP):

Note: See item # J above.

No data is currently available. Pertinent details to be provided by Program at a future date.

(V) Children, Youth, and Families at Risk - Professional Development and Technical Assistance (aka CYFAR-PDTA):

No data is currently available. Pertinent details to be provided by Program at a future date.

(W) Farm Safety and Youth Safety Education and Certification Programs:

NOTE: Farm Safety, Youth Safety Education and Certification and Assistive Technology Program for Farmers with Disabilities: National AgrAbility Programs were combined in FY 2012.

No data is currently available. Pertinent information will be provided by Program at a future date.

(X) Extension IPM Coordination and Support Program (aka IPM-CS):

No Projected Data Available. Pertinent information to be provided by Program at a future date.

(Y) Extension Outreach on the Marketplace Exchanges of the Affordable Care Act:

The purpose of this grant program is to target areas of the country with high numbers of individuals that do not have health insurance and focus education and outreach efforts on these specific areas so consumers will be able to make informed decisions regarding their participation in their State Marketplace Exchange.

From internal research done by the Department of Health and Human Services (DHHS) Centers for Medicare and Medicaid Services (CMS) in 2012, we know that consumer awareness and understanding of insurance is low. A great challenge lies in educating people on their choices, where to get trusted

information to base their decisions, and the terminology used by the insurance industry.

Putting accurate, timely information into the hands of people in their own communities and reaching underserved audiences can be challenging. These audiences are often typified by a lack of access to social services, educational opportunities, technology, and transportation. The Cooperative Extension professionals at the state and local level are uniquely positioned to reach out at the grassroots level to communities and underserved audiences and educate them about new programs that are the result of passage of the Affordable Care Act, as well as enhancements to existing programs such as Medicare, Medicaid and the Children's Health Insurance Program (CHIP). This can be accomplished through a wide variety of educational approaches including, but not limited to workshops, webinars, meetings, conferences, exhibits, publications, electronic communication, fact sheets, newsletters, and mass media.

FY 13 MEACA requirements include:

- o Substantial involvement of NIFA in the design, development, implementation and evaluation.
- o In collaboration with NIFA and CMS, identify up to approximately 10 States that will participate in the project as sub-awardees. Sub-awardee Land Grant institutions selected to participate will be identified from this pool of eligible states: Texas, Florida, Georgia, Arizona, New Jersey, Pennsylvania, Missouri, Indiana, North Carolina, Louisiana, Michigan, and/or others as specified by CMS.
- o A communication plan describing the anticipated methods of communication and interaction between the Lead Institution and NIFA; and between the Lead Institution and the subcontractors should be included.
- o Coordinate education, outreach and evaluation programs among selected States. Educational and informational resources on which to base Extension outreach programs materials will be provided by CMS.
- o Conduct training events, including webinars for targeted states and provide them with the resources, materials, and support necessary to maximize opportunities to conduct outreach and provide education to people who could benefit from the programs created by the Affordable Care Act and to those people enrolled in Medicare, Medicaid and the CHIP.
- o Facilitate how selected States will connect with other local agencies and organizations, such as libraries, healthcare facilities, and community service partners to disseminate Affordable Care Act, Medicare, CHIP, and Medicaid materials and information at USDA extension educational events in the community.
- o Identify or develop appropriate evaluation tools to assess the impact of Affordable Care Act community education and information dissemination on target populations, which will include the number of people educated or trained within the targeted groups of people who are underinsured or lack insurance, people with Medicare, Medicaid, or CHIP. Conduct aggregate evaluation of all State programs.
- o Provide evaluation results and reports to NIFA quarterly and upon project completion.

o Projects are expected to lead to measurable, documented changes in knowledge, actions, behaviors or practices in relation to MEACA and result in measurable numbers of enrollees into State Marketplace Exchange programs.

(Z) Military Family Readiness System (aka MFRS):

No additional funds are anticipated for this program. Pertinent information to be provided by Program at a future date.

(AA) Second Language & Culture Exposure for Children Project (aka SLCECY):

One project was funded for this program in FY 2011. No additional funds were provided for this program.

(BB) Tribal Colleges Extension Program - Special Emphasis (aka TCEP-SE):

NOTE: Combined with CFDA 10.500 (M) above.

Pertinent details to be provided by Program at a future date.

(CC) District of Columbia Public Secondary Education Reorganization Act Program (CES - Formula):

The anticipated funding amount for FY '14 has not yet been released by the budget office.

Pertinent data to be provided by Program at a future date.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 3430, Competitive and Noncompetitive Non-Formula Federal Assistance Programs General Award Administrative Provisions and Program-Specific Administrative Provisions; 7 CFR Part 3015, USDA Uniform Federal Assistance Regulations; 7 CFR Part 3017, Government wide Debarment and Suspension (Nonprocurement) ; 7 CFR Part 3018, New Restrictions on Lobbying; 7 CFR Part 3019, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-profit Organizations; 7 CFR Part 3021 USDA implementation of Governmentwide Requirements for Drug-free Workplace (Financial Assistance) and 7 CFR 3052 - Audits of States, Local Governments, and Non-Profit Organizations.

Regional or Local Office:

None.

Headquarters Office:

USDA, NIFA, National Program Leader, Institute of Food Production and Sustainability, Division of Plant Systems-Protection, 1400 Independence Avenue, SW., STOP 2240, Telephone: (202) 401-4939; Fax: (202) 401-1782.

ADDITIONAL CONTACTS:

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USDA, NIFA, National Program Leader, Office of the Director, 1400 Independence Avenue, SW., STOP 2201, Telephone: (202) 401-1112; Fax: (202) 690-1290;

USDA, NIFA, National Program Leader, Institute of Youth, Family, and Community, 1400 Independence Avenue, SW., STOP 2250, Telephone: (202) 720-9278; Fax: (202) 720-9366;

USDA, NIFA, National Program Leader, Institute of Food Safety and Nutrition, Division of Nutrition, 1400 Independence Avenue, SW., STOP 2225, Telephone: (202) 401-2138; Fax: (202) 401-6488;

USDA, NIFA, National Program Leader, Institute of Bioenergy, Climate, and Environment, Division of Global Climate Change, 1400 Independence Avenue, SW., STOP 2210, Telephone: (202) 401-4926; Fax: (202) 401-1705;

USDA, NIFA, National Program Leader, Institute of Youth, Family, and Community, Division of Youth and 4-H, 1400 Independence Avenue, SW., STOP 2250, Telephone: (202) 690-4568; Fax: (202) 720-9366;

USDA, NIFA, National Program Leader, Institute of Youth, Family, and Community, Division of Community and Education, 1400 Independence

Avenue, SW., STOP 2250, Telephone: (202) 720-2324; Fax: (202) 720-2030;

USDA, NIFA, National Program Leader, Institute of Bioenergy, Climate and Environment Division of Environmental Systems, 1400 Independence Avenue, SW., STOP 2210, Telephone: (202) 720-5229; Fax: (202) 720-3945;

AND

USDA, NIFA, National Program Leader, Institute of Youth, Family, and Community, Division of Family and Consumer Sciences, 1400 Independence Avenue, SW., STOP 2250, Telephone: (202) 720-4795; Fax: (202) 720-9366., Washington, District of Columbia 20250-2240 Phone: (202) 401-4939 Fax: (202) 401-1782

Website Address:

<http://www.nifa.usda.gov/>

RELATED PROGRAMS:

10.001 Agricultural Research_Basic and Applied Research; 10.025 Plant and Animal Disease, Pest Control, and Animal Care; 10.053 Dairy Indemnity Program; 10.153 Market News; 10.155 Marketing Agreements and Orders; 10.203 Payments to Agricultural Experiment Stations Under the Hatch Act; 10.205 Payments to 1890 Land-Grant Colleges and Tuskegee University; 10.215 Sustainable Agriculture Research and Education; 10.221 Tribal Colleges Education Equity Grants; 10.222 Tribal Colleges Endowment Program; 10.227 1994 Institutions Research Program; 10.304 Homeland Security_Agricultural; 10.477 Meat, Poultry, and Egg Products Inspection; 10.551 Supplemental Nutrition Assistance Program; 10.652 Forestry Research; 10.902 Soil and Water Conservation; 15.611 Wildlife Restoration; 23.009 Appalachian Local Development District Assistance; 93.103 Food and Drug Administration_Research

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: (A) Cooperative Extension Programs at 1862 Land-grant Institutions (aka Smith-Lever 3 (b) & (c) Formula Grants Program:

Below are five examples of progress from 2012 annual reports:

1) Six Strategic Program Initiatives were identified to guide Extension's issue-based educational programs that acknowledge and address the current changes in the way citizens think, live, and function in their daily lives, families, communities, and businesses. In FY12, Extension has focused its efforts by addressing a number of issues to include:

Health and wellness with a focus on obesity- enhanced health and wellness through improved nutritional choices with programs such as Body Quest that is designed to improve fruit and vegetable consumption as well as enhanced physical activities among youth and adults;

Workforce development-training for workforce preparation; establishing and implementing virtual business economic development centers; and partnering with public agencies and the National Federation of Independent Businesses in delivering webinars on immigration and Affordable Health Care compliance;

Safe and secure food system- training for individual and commercial food safety and security; combined with food entrepreneurship training; Sustainable agriculture and forestry- climate change data and drought management for utilization by farmers for better crop management; optimization of new state irrigation enhancement programs; and meeting the needs of limited resources farmers through expansion of ethnic food producers;

Environmental stewardship- urban gardens, water conservation, and sustainable landscapes for individual and commercial users in urban and rural communities;

4-H youth development- in science, engineering and technology, responsible choices, leadership, and citizenship; reaching underserved youth populations through expanded partnerships with public school districts; a 16% increase in 4-H youth enrollment; and

Financial literacy- improved individual and business financial stability through financial management

2) A study about helping students cope with negative peer interactions (i.e., bullying) resulted in workshops and trainings for teachers, parents, and information was disseminated. "Just In Time Parenting" research demonstrated documented changes in use of positive parenting behaviors-- associated with lower risk for child maltreatment and improving positive outcomes for young children -- among participants. Studies were being conducted on health and mental health outcomes for children and adolescents. Direct programming to promote positive youth development provides youth with numerous times to participate in projects. Overall, over 71,500 youth were engaged in community clubs, camp programs, and school programs through the 4-H Youth Development Program. Youth participated in projects ranging from agriculture; livestock; science and engineering; and healthy living activities including food and nutrition, and physical activity.

3) Mitigation and adaptation programs on climate change; food security, food safety and childhood obesity programs were launched and supplemented programs on proper hygiene and healthy lifestyle that are important in safeguarding the well-being of citizens. Other outreach programs continued on issues ranging from food safety and quality, food security, families and youths, water quality, and managing limited natural resources and the environment. Health and nutrition programs continued on the importance of healthy lifestyles, which included physical activity and consumption of safe and nutritious local food to combat obesity, diabetes, heart diseases and other NCDs. The youth development programs provided information to increase knowledge and appreciation of marine and terrestrial flora and fauna and more students are exposed to computers, which provided the opportunity to use the Internet as an introduction to electronic connectivity and information gathering.

4) During the reporting period, 271 (which includes 45 trainees) Master Gardeners and trainees provided 9,000 hours of horticultural expertise. In addition, 13,500 direct contacts were served by the Master Gardener

Coordinator/Extension Agent. Forty-five (45) Master Gardener Trainees completed 50 hours of basic horticulture training, a final exam and 50 hours of volunteer hours. Various Master Gardener projects have been established which include schools, parks, beautification projects, landscape design, youth gardens, local and botanical gardens, a hospice/nursing home, and partnerships with non-profit organizations. Several new projects were initiated and here are the highlights:

750 pounds of produce was donated to soup kitchens.

150 hours of volunteer hours educated low income residents on a rooftop vegetable garden.

35 Raised Beds at Schools were installed, planted and maintained and used for educational purposes.

4,000 spring flowering bulbs were planted at a local school and recreation center.

8 composting sites were piloted and Master Gardener plant clinics were established.

5) Extension efforts continue to focus on improving the accessibility of food that is nutritious, safe, culturally acceptable, and affordable in both rural and urban areas. Food safety and security outreach will include strategies and programs aimed at both consumer and producer education. Extension specialists, agents and educators will continue to implement food safety programs targeted to food managers and handlers, as well as to home food and specialty farm producers and consumers.

(B) Cooperative Extension Programs at 1862 Land-grant Institutions - (aka Smith-Lever Special Needs Formula Grants Program):

One project is working on immersing immigrants into the American culture. Becoming immersed in a new culture and living conditions can be difficult. To ease that transition, one project has developed resources in a number of languages to help new Americans deal with one aspect of their new lives: how to handle unfamiliar foods safely.

Research and extension programs in one project is focusing on five initiatives: 4-H and Youth Development, Community Development Education, Nutrition and Food Safety, Profitable and Sustainable Agriculture Systems, and Sustainable Management of Rangeland Resources.

(C) Smith-Lever Special Needs Competitive Grants Program:

Recovery After Disaster: The Family Financial Toolkit On-Demand Video, enhanced the Recovery After Disaster: The Family Financial Toolkit (Toolkit) by incorporating on videos into the Toolkit, so individuals and families impacted by disaster will be able to make informed decisions about the implications of utilizing a range of financial resources that are available to rebuild, rehabilitate or renovate a home.

An information web portal of flood resources from 50 states, called a Flood NEIL, was designed as a resource that will be used on the Extension Disaster Education Networks website for use by extension educators. This resource center will contain multi-disciplinary projects, including Family and Consumer Sciences, Community Economic Development, Youth, Agriculture, and Business. Information, including publications, videos, news releases and social media downloads. The resources will be available in a format that permits localizing by extension educators for use in their communities.

The Ready Community Program is an educational program designed to improve several key components of disaster resilience including institutional and infrastructure capacity and community competency. This multipurpose project piloted the ReadyCommunity Program in two rural regions within a state, regionally including multiple states, and hosted a national training meeting.

Development of emergency response plans for pets in 23 local counties within one state to serve as a model that can be replicated nationally is the focus of a community emergency response plan for pet and service project. The two year pilot implementation of this model serves as a platform for personnel to develop disaster plans for pets, services, and other events such as infectious diseases including zoonotic disease spread.

A framework was created to deliver support educational materials to local government stakeholders for the purpose of improving financial disaster planning to make these governments more financially resilient to future natural disasters. A train-the-trainer model was used to deliver focused participatory tropical financial disaster resiliency training along the Western, Central, and Eastern Gulf of Mexico.

(D) Extension at 1890 Land-Grant Institutions and Tuskegee (aka 1890 Capacity Building formula Grants Program):

This is an administrative project which allows the institutions to initiate Extension projects on campus after funding has been received. Currently no projects have been reported as having been initiated.

(E) Expanded Food and Nutrition Education Program (aka Smith-Lever 3d EFNEP and ENUT Formula Grants Program):

The Expanded Food and Nutrition Education Program (EFNEP) is funded as a national program, not as individual projects. All seventy-five 1862 and 1890 Land-Grant Institutions receive EFNEP funding each year and these are the only entities eligible for EFNEP funding. EFNEP is designed to assist limited resource audiences in acquiring the knowledge, skills, attitudes, and changed-behavior necessary for nutritionally sound diets, and to contribute to their personal development and the improvement of the total family diet and nutritional well-being. Although EFNEP lessons may be tailored to meet the needs of participants, all programming follows the same model: Lessons are taught by peer educators using an evidence-based, interactive, culturally appropriate approach. Participant entry and exit data are collected on diet quality, physical activity, food safety, and food resource management practices, all of which influence health.

(F) Regional Integrated Pest Management Competitive Grants:

NOTE: Also see CFDA 10.200.

(F - 1) - North Central Region (aka NC RIPM):

Pertinent data to be provided by Program at a future date.

(F - 2) - Northeastern Region (aka NE - RIPM):

Pertinent data to be provided by Program at a future date.

(F - 3) - Southern Region (aka S - RIPM):

Pertinent data to be provided by Program at a future date.

(F - 4) - Western Region (aka W - RIPM):

Pertinent data to be provided by Program at a future date.

(G) Farm Safety:

NOTE: Farm Safety, Youth Safety Education and Certification and AgrAbility Programs were combined in FY 2012. See item # (W) below.

(H) Farm Safety and Youth Safety Education and Certification Program:

NOTE: Farm Safety, Youth Safety Education and Certification and AgrAbility Programs were combined in FY 2012. See item # (W) below.

(I) New Technologies at Ag Extension:

2012 continued to be a year of growth for eXtension. As we moved into the second year of our 2011-2014 Strategic Plan, it is time to consider the successes in 2012 and how those successes impacted eXtension and the Cooperative Extension System. Some highlights include:

The eXtension Foundation established a National Leadership Council to promote partnership and fundraising opportunities. Notable representatives from government, private industry, technology, higher education, and Cooperative Extension comprise this group.

The eXtension Foundation launched eXtension--Americas Research-based Learning Network at a National Press Club event in Washington, D.C. The press release announcing the event was posted on 400 outlets with combined monthly views of 382 million.

In FY 2012, when counting all contracts, sponsorships, donations, course revenue, and grants received, including the New Technologies for Ag Extension award, the Cooperative Extension System received \$1.69 of additional funds for each \$1 invested by Cooperative Extension Directors and Administrators.

eXtension leadership collaborated with Ghana, West Africa; Victoria, Australia; Mali, West Africa; and the National University of Life and Environmental Sciences, Ukraine.

A new public-facing version of Ask an Expert was released in December 2012.

The total number of questions resolved through Ask an Expert in 2012 was 37,138 a 35% increase over 2011.

As of December 2012, eXtension Communities of Practice have published 15,263 articles, 14,425 Frequently Asked Questions, and conducted 214 webinars with 14,539 participants and 159,602 views.

In FY 2012, 10 Communities of Practice launched; 12 new Communities of Practice formed and began working; 5 Communities of Practice are being optimized.

eXtension used virtual worlds, including Second Life, to host a Land Grant University Expo, a Virtual State Fair, and created learning content on winter

safety, feral hogs, wildfire, drought, tornadoes and more.

eXtension continues to work with the Google for Nonprofits program. Three Communities of Practice developed Google Adwords that were shown 2,873,881 times in 2012 an increase of more than 208 percent.

From December 2011 to September 2012 there were 424 courses available or under development in eXtensions Campus (Moodle) with 14,214 active users.

In FY 2012, 10,958 certificates of course completion were granted via eXtensions Campus (Moodle), an increase of 160% from 2011.

eXtension released a new version of Learn.

In FY 2012, there were 423 learning opportunities (up 62% from 2011) included in Learn for professional development as well as further learning, discussion and sharing. Thirty-seven Communities of Practice, 16 states, 8 organizations, and faculty from 34 states provided Learn-based seminars.

eXtension began the redesign process for www.extension.org.

The Critical Success Factors, used to report the value and benefits eXtension is providing to CES, have been fully implemented in 2012 and integrated into all other reports and documents prepared for eXtension.

In response to a request by the Extension Committee on Organization and Policy (ECOP) the eXtension Governing Committee began the process of an external review of eXtension.

Data from FY 2012 indicates growth in social media reach and engagement by eXtension and its Communities of Practice: 36 CoPs reported 3,567,454 overall social media contacts.

eXtensions Military Families Learning Network delivered 31 professional development conferences with over 2,500 participants; 13 sessions offering Association for Financial Counseling, Planning, Education CEUs; over 165 pieces of content published, an additional 530 FAQs published; and 85 blog posts an ongoing social media engagement supporting military family service providers.

The Secretary of the Navy, the House Armed Services Committee, the Office of the Vice President Biden, and the White House have all shown interest in the work of the Military Families Learning Network through social media engagement of some kind.

eXtensions State and Local Value Enhancement (SLVE) initiative conducted national listening sessions with Extension administrators, campus faculty, field staff, and support staff. Thirteen institutions representing each region participated.

eXtension conducted Digital Information Broker training to teach trainers to work with the changing roles and perspectives of CES office personnel working the front lines nationwide.

The State and Local Value Enhancement (SLVE) Working Group is developing a series of eXtension 101 training tools, an onboarding/orientation series, master volunteer training, and middle manager trainings related to eXtension.

Nearly 250 attendees participated in the FY 2012 National eXtension Conference (NeXC2012) in October. More than 1,200 persons attended conference sessions virtually. Three keynote presentations and more than 50 concurrent sessions dealt with technology applications, interactivity, social media use and evaluation, audience evaluations and training and more. The conference hashtag was used by 196 people in 1,674 tweets with a reach of 120,593 people and over 1.6 million impressions.

More than 17,700 people hold eXtension IDs representing 78 institutions, 73 eXtension Communities of Practice, and 280 user-created communities. This is a 10% increase in eXtension IDs from 2011.

(J) Children, Youth, and Families at Risk (aka Youth at Risk):

NOTE: See Children, Youth, and Families at Risk - Sustainable Community Projects (Item U below):

(K) Sustainable Agriculture Research and Education - Professional Development (aka Chapter 3 & SARE-PD):

One example of a funded project from the Northeast region is ENE12-123: Transferring Farms and Improving Access to Farmland: A Training Program for Northeast Agricultural Service Providers.

Another example from the North Central region is ENC12-135: Growing a Network of GAPs Educators in the Upper Midwest.

A third example from the Western region is EW12-026: Technical Service Provider Training to Improve Services for Family Forest Landowners.

(L) Federally-Recognized Tribes Extension Program (aka FRTEP):

FRTEP funds are used for two primary strategic areas youth development and information to help make American Indian Farmers and Ranchers more profitable. Other project areas are developed and funded as the needs of the Tribe or Reservation dictate.

When Leech Lake Band of Ojibwe water resource professionals discovered that 60 percent of the Minnesota reservations septic systems were sub-standard or failing, they feared for the reservations health, indigenous rice fields, and fish populations. An educator with the University of Minnesota, responded with an extensive education program. She explains to homeowners how having the sanitation department pump their septic systems could protect their health and contribute to the safety of the environment and their community. She uses funds from U.S. Department of Agriculture (USDA) Federal Recognized Tribes Extension Program (FRTEP) to conduct this outreach effort. Her program has become a model for other communities.

When members of the Eastern Band of Cherokee Indians cant make it to their local extension office, their extension office comes to them with a gift of better health through home gardening.

Another educator developed a garden wagon to reach more members of the Eastern Band of Cherokee Indians (EBCI) with community garden information. Many of the local Cherokee communities are fragmented across five of the most remote counties in the Appalachian Mountains of Western North Carolina.

The primary focus of this program has been ranch and range development. The FRTEP educator helped 33 ranchers form an informal cooperative to sell cattle at auction barns and specialty venues. The tribe is also trying to gain much-needed income by assessing grazing fees. To date, 78 of the 98 range units have been evaluated in an effort to do this. Veterinary clinics held in the spring and fall provided the Zuni Reservation \$80,000 in free animal health care. The clinic provided rabies vaccination and spaying and neutering for dogs. Controlling the feral dog population has been a problem, and the FRTEP agent will assist the Zuni Tribal Rangers in finding expertise for canine population management.

M) Extension Services at the 1994 Institutions (aka Tribal Colleges Extension Program or TCEP) and (BB) Tribal Colleges Extension - Special Emphasis (TCEP-SE) were combined:

Unify, Integrate, and Expand Diverse Programs That Promote Self-sufficiency and Wellness Among Native Americans in the Pacific Northwest Northwest Indian College (NWIC). NWIC s Cooperative Extension Office offers many programs, including:

- 1) Traditional Plants and Foods;
- 2) Financial Literacy;

3) Cultural Arts;

4) Youth and Family Leadership Development; and

5) Professional Development.

Extension strategies include direct services, train-the-trainer workshops, the development of mentoring relationships, and the provision of one-on-one technical support, with a special effort to assist those who want to become community educators.

Salish Kootenai College Integrated Programming in Ecological and Human Health Restoration. The Salish Kootenai College Extension (SKCE) Land Grant Vision promotes restoration of Environmental resources And revitalization Of the Health status Of the Salish, Kootenai, and Pend d'Oreille people.

Extension and research projects address NIFA's societal challenge areas of climate change, food security, food safety, childhood obesity and human nutrition issues. Focus areas of natural resources, food, nutrition and health, pest management, plants and youth. SKCE strategic goals addressed are to mitigate climate change, improve food security and local food systems, and reduce childhood obesity.

(N) Renewable Resources Extension Act (RREA):

\$3,700,000 was appropriated by Congress for the Program for FY 2012. The net amount awarded to support the different programs was \$3,432,000. These funds supported a total of 72 1862 and 1890 Land Grant Institution extension programs and three territories.

Example accomplishments include:

1. Timberland improvement - 278 landowners through educational programs that will assist them with improving their timberland. This was done with several partner organizations. Following the workshop, landowners requested follow-up information and technical assistance from the forestry commission.
2. Invasive species - programs contributed to the implementation of at least one forest management practice on 3,000 acres. An additional 300 acres received improved management to prevent or control exotic invasive species.
3. Forest health - More than 200 landowners whose collective ownership is 41, 233 forested acres participated in forestry field days focused on the importance of forests to provide clean and plentiful water for domestic and agricultural uses.
4. Electronic program delivery - Participants from an average of 16 states usually participate in monthly educational webinars.. Approximately 3,409 hours of viewing presentations live or recorded have been documented, with respondents indicating that they have saved more than 77,000 miles of driving through participation in the Forest Connect series.
5. Rangeland management - A sustainable management of rangeland resources initiative produced over 267 70-second TV spots which air twice weekly on a commercial TV station. One hundred percent of the participants in rangeland resources extension program report increased awareness, knowledge, and skills of range monitoring.
6. Biomass harvesting 379 loggers participated in field days/workshops to gain information and knowledge about biomass harvesting equipment available from seven manufacturers. Participants indicated that these events provided useful information as they make preparations to meet the demand for woody biomass fuel.

(O) Renewable Resources Extension Act - National Focus Fund Projects (aka RREA-NFF):

National Focus Funds supported the expansion and delivery of information and education by three eXtension Communities of Practice. Web statistics for each of the three are:

Wood Energy

A total of 319 pages were viewed a total of 15,685 times.

Pageviews: 15,685

Unique Pageviews: 12,242

Content was viewed in over 70 countries. Top countries viewing content included:

United States, United Kingdom, Canada, India, Australia, South Africa, Portugal and Spain.

Rangeland Stewardship and Health

A total of 431 pages were viewed 4,726 times.

Pageviews: 4,726

Unique Pageviews: 3,519

Content was viewed in 27 different countries. Top countries viewing content included: United States, Canada, China, and Germany.

Climate, Forests, and Woodlands

A total of 762 pages were viewed a total of 32,663 times.

Pageviews: 32,663.

Unique Pageviews: 24,735

Content was viewed in 94 different countries. Top countries viewing content included: United States; United Kingdom, Canada, India, Philippines, Australia, China, and South Africa.

(P) Rural Health and Safety Education (Competitive):

In FY 2012 the following types of projects were funded:

Diabetes Self-Management Education Program for Rural African Americans -- The project consists of three key activities:

- 1) delivering culturally appropriate DSME for African-American adults,
- 2) engaging community partners to support the delivery and sustainability of the program, and 3) conducting an evaluation of the intervention and dissemination strategies.

Expanded Health Coaches for Hypertension Control -- The project uses community volunteers trained as Health Coaches to provide education, support, and access to community resources to those aged 45 years and older in order to increase the motivation and skills they need to effectively self-manage hypertension.

Nutrition and Health Education -- The project addresses needs among underserved and economically vulnerable rural residents of American Indian Reservations.

Developing Electronic and Social Media to Support Rural Safety and Health Education Programs The project addresses farm safety education needs by utilizing existing surveillance data to identify most common types of occupational illnesses, injuries, and fatalities affecting farm workers and farm families, and by developing electronic and social media resources to address those high injury-causation agents, and appropriate educational media for a variety of target audiences.

Teaching Tool Kits for Educators Working in the Field of American Indian Health, Wellness and Culture -- The project seeks to improve the general health and wellness within American Indian communities in the Pacific Northwest by building capacity for American Indian /Alaskan Native (AI/AN) students and community members to access information about traditionally based diet and lifestyle.

(Q) 1890 Facilities Grant Program (aka Section 1447):

Funds were used to continue to support the listed projects based on a Five-Year

(2008-2012) Plan of Work at each of the 18 Institutions.

(R) Food Animal Residue Avoidance Database Program (aka FARAD):

Funded Project Network Node 1: Coordinate national program, develop pharmacokinetic modeling approaches and provide pharmacokinetic support to FARAD components, and on a rotating schedule with other network nodes, provide responses to emergency queries (telephone, internet) from the FARAD Hotline.

Funded Project Network Node 2: Funded Project 2: maintains the pharmacokinetic, bibliographic and call center databases and web-based access to the kinetic and bibliographic citation files. On a rotating basis with other network nodes, this node also provides responses to drug and contaminant residue questions submitted to FARAD.

Funded Project Network Node 3: Collects and enters data for the US Approved Animal Drugs Database (US-AADD), maintains internet websites for FARAD, creates and supports platforms for mobile devices to access the Veterinarian's Guide to Residue Avoidance Management (VetGRAM) and other mobile-friendly applications, and distributes electronic alerts and updates via email, Twitter and other electronic formats.

Funded Project Network Node 4: On a rotating schedule with other network nodes, provides responses to emergency telephone calls from the FARAD hotline. Collaboration between all institutions occurs on research

(S) Grants to Youth Serving Institutions (aka Rural Youth Development & RYD Grants Program):

Outcomes and Impact: Program evaluations, based on qualitative and quantitative data, show that as a result of the RYD program

Youth learned and applied 21st Century work and life skills such as: organizing groups and information, leading discussions, planning programs, teamwork, communication, creativity and innovation, critical thinking, problem solving, decision making, goal setting, collaboration and working with diverse populations;

Youth gained self-confidence and efficacy. They are motivated to continue providing leadership in the future and developed a sense of belonging and connectedness to their communities;

Adults learned how to effectively partner with youth to produce individual and community outcomes; and

Community leaders and stakeholders see youth as resources to help solve community issues, not as problems. Financial support has been given to youth projects and new policies support youth programs and positive community change.

Based on qualitative analysis, it is evident projects have significantly improved human, social, cultural, political, financial, natural, and built (infrastructure) capital in rural communities. A few selected examples show that youth and adults have accomplished results such as:

Organizing six public festivals that drew an estimated 10,000 tourists, spending approximately \$40, bringing an income of \$400,000 to a rural town.

Implementing programs that caused the opening of 6 new businesses in a rural town. It is estimated that for every dollar spent in a business, there is a return of five times that amount in taxes, wages, and purchases.

Eradicating invasive weed-like substances from local lakes threatening drinking water and boating, resulting in \$9,365,900 in improved property prices at one lake, and at least double that when adding property prices around other lakes and rivers.

Harvesting and distributing 1,000 pounds of fresh vegetables to diabetics in Hispanic communities, improving blood glucose levels and healthy cooking habits for over 60 percent of the diabetic program participants.

Improving oral reading fluency test rates for 94 percent of the students in an after-school program.

Tackling hunger by providing laying hens to over 120 low income families as affordable protein options through a chicken and egg production project. Twenty-five percent of the families reported minimum savings of \$5.25 a week,

or about 4 percent of their weekly grocery bill.

Recycling more than 1,300 tons of materials, which has saved approximately 53 cubic yards in the community's landfill.

Leading intergenerational technology classes resulting in 65 percent of the youth teachers indicating an increased interest in science.

(T) Agriculture Risk Management Education Program (aka RME & ARPA Program):

A selected sample of reported participant outcomes for the projects includes improve risk management practices with: farm succession and estate planning, enterprise budgets, transition to value added enterprises, herd health and handling; input cost management, contract development and management, analysis and use of production and revenue insurance products, business planning, and marketing and financial planning. Specific actions to improve farming operations included implementing computerized production record keeping and tracking systems, adopting improved methods for managing farm financial records, developing USDA inspected custom processing capacity for direct market meat enterprises and incorporating behavior changes to improve human relationships and time and stress management.

(U) and (J) - Combined Children, Youth, and Families at Risk (CYFAR) and CYFAR - Sustainable Community Projects (aka CYFAR-SCP):

Note: Also see item # J above.

1. The project was designed to enhance educational curricula, develop linkages to support families, provide technical assistance and training for after school staff. The project focuses on middle school-age youth and seeks to improve knowledge, skills, attitudes and behaviors necessary for fulfilling contributing lives. The program targeted school-age high risk youth who were academically challenged; youth who were potential dropouts, youth who were more likely to engage in delinquent behaviors; and, and youth who lack strong positive role models. The project served a large number of children who lived in poverty.

2. The program focused on integrating rural Hispanic youth and families into educational enrichment, school support and community leadership opportunities. The project targeted school-age youth to promote knowledge, skills, attitudes, and behaviors necessary for fulfilling contributing lives, with a specific focus on Hispanic children in rural school districts.

3. Tutoring on homework assignments provided at least one hour per day (four days per week.) Tutoring focused on English, mathematics, science and reading or in other subjects if the youth needed assistance. Targeted educational sessions were two days a week, one hour per day, on computer technology, agriculture and nutrition. All educational activities were experientially based. Participation in the program increased participants' sense of belonging, decision-making skills and self-efficacy. The project led to more self-confidence, self-awareness, and increases in academic achievement.

4. Youth demonstrated enhanced knowledge, skills in science, engineering, and technology which helped prepare them for future careers. Youth considered career goals in science, engineering, and technology. Community professionals and volunteers demonstrated enhanced knowledge and skills to use mobile technology labs with youth in their communities.

5. Utilization of a garden-based learning environment to promote positive outcomes for school age children ages nine to eleven (4th and 5th graders) was the goal of this project. Garden-based education is research tested and behavior driven. It showed the relationship between individual behavior changes that gardens inspire and the larger impacts on academics, families, schools and communities. In this model the garden is an environment, where the young person's internal strengths and their behaviors reinforced each other to improve the young person and the community.

(V) Children, Youth, and Families at Risk - Professional Development and Technical Assistance (aka CYFAR-PDTA):

1. The CYFERnet Program Team served to strengthen the frontline CYFAR

staff. The CYFERnet Program Team and editorial board conducted 88 workshops and conference presentations with nearly 4,000 participants throughout the country. In 2012, there were more than one million searches and browses of www.cyfernet.org. A total of 1,852 resources were peer reviewed with 1,590 accepted.

2. CYFERnet Technology worked with CYFAR to integrate technology successfully into CYFAR projects. The Technology team conducted 18 webinars during the fiscal year. The CYFERnet website averaged approximately 57,000 visits and approximately 9500 unique visitors.

3. CYFERnet Evaluation was designed to build the evaluation capacity of the CYFAR SCP projects. The Program Team provided support for common measure data collection and training and technical assistance. One of the major ways this team provided support was through cyfernetsearch.org. It is a searchable site with over 160 vetted evaluation measures and data output and reporting options for grantees participating in Common Measures Data Collection.

(W) Farm Safety and Youth Safety Education and Certification Programs:

NOTE: Farm Safety, Youth Safety Education and Certification and Assistive Technology Program for Farmers with Disabilities: National AgrAbility Programs were combined in FY 2012.

AgrAbility increases the likelihood that individuals with disabilities and their families engaged in production agriculture (AgrAbility's customers) become more successful. To address the specialized needs of AgrAbility's customers, the program builds service capacity on national, regional, state, and local levels through education and networking. In the absence of capacity, projects provide assistance through assessment to customers.

In FY 2012, 23 state and regional grants were awarded to land-grant universities working in partnership with private non-profit disability programs to support these goals. Funds cannot be awarded to individuals under this authorization. The AgrAbility program supports the USDA initiative to improve the quality of life for farmers.

A 2006 study reported 86% of 493 AgrAbility clients that responded to a quality of life survey identified AgrAbility assistance as key to enabling them to live in their home on the farm/ranch. Fifty-eight percent surveyed reported being able to manage their farm/ranch independently.

State and Regional AgrAbility project staff reported serving 1,228 farmers and ranchers with disabilities during the 2011-2012 period.

To address the specialized needs of AgrAbility's customers, the program builds service capacity on national, regional, state, and local levels through education and networking. In the absence of capacity, projects provide assistance to customers.

The primary function of the National AgrAbility Project is to support the state and regional projects in developing their capacity to meet these objectives. AgrAbility Projects use marketing activities to direct the public to initiatives in these three priority areas,

(X) Extension IPM Coordination and Support Program (aka IPM-CS):

Continue the Extension IPM program in Massachusetts, where many impacts were reported for users adopting new technologies to manage pests while reducing costs, minimizing environmental impact, and Reducing human exposure to pesticides/

A project in the SW US will develop capacity for IPM practice in several diverse environments and will continuing to develop strategies to manage crop pests over a wide-area and in effect manage pesticide resistance as well as pest impact on the crop.

Push IPM will develop and implement Push Technology to keep IPM

practitioners informed about high consequence pests.

Develop an app for handheld devices/smartphones to submit data to track wide-area pest threats in real-time.

Develop a new Extension IPM program at Lincoln university, gather stakeholder input and identify needs.

(Y) Extension Outreach on the Marketplace Exchanges of the Affordable Care Act:

NONE Program was not yet funded.

(Z) Military Family Readiness System (aka MFRS):

There was one project funded through this program in FY 11. The Military Family Readiness Training System (University of Arizona): The focus of the Military Family Readiness Training System (MFRS) is to develop a holistic social service delivery system model approach to provide a high quality, joint-Service Branch training resource to prepare Family Center/Family Readiness (FC/FR) program staff employed with the U.S. Military Branches to implement individual programs in specified areas to support military families through each phase of the deployment cycle.

(AA) Second Language & Culture Exposure for Children Project (aka SLCECY):

One project was funded for this program in FY 2011. No additional funds were provided for this program.

The project has been implemented in three phases: In phase one, university staff performed a literature review and collected and analyzed data from within the Department of Defense (DoD) and from outside of DoD on the subject of language and culture exposure for children 0-5 years old.

In phase two, the university reviewed, revised and created program and training materials related to language and culture exposure for 0-5 year old children.

Phase three consists of piloting materials developed under phase one and is currently underway in coordination with DoD personnel. Results to date reflect the following

I. Project Conceptual Framework
Environmental Scan
DoD Language & Culture Policy
DoD Language & Culture Content
Early Childhood Education
Language & Culture
Training Guide
Activity Guide
Pilot Test

II. Project Environmental Scan

DoD L&C Policy
Language & Culture Summit (Jan. 2011)
<https://www.signup4.net/public/ap.aspx?EID=STRA44E&OID=160>
Building Language Skills and Cultural Competencies in the Military
http://armedservices.house.gov/index.cfm/files/serve?File_id=2361fa65-7e40-41df-8682-9725d1c377da
DoD L&C Content
Folktales -- <http://famdliflc.lingnet.org/folkTales/index.html>
Telephone Conversations --<http://phone.dliflc.edu/>
Early Childhood Education
Head Start National Center on Cultural & Linguistic Responsiveness --
<http://eclkc.ohs.acf.hhs.gov/hslc/tta-system/cultural-linguistic>
Head Start Multicultural Principles --

http://eclkc.ohs.acf.hhs.gov/hslc/tta-system/cultural-linguistic/Dual%20Language%20Learners/eclkc/culture_and_diversity/manage_pub_00602a1_092305.html

Head Start Dual Language Program Checklist

<http://eclkc.ohs.acf.hhs.gov/hslc/tta-system/cultural-linguistic/Dual%20Language%20Learners/pdm/management/ProgramPreparedn.htm>

Language & Culture
ACTFL

<http://www.actfl.org/files/public/StandardsImpactSurveyApr2011.pdf>
National Council for State Supervisors for Languages
<http://www.ncssfl.org/LinguaFolio/index.php?language-background>

III. Project Training Guide

Competency based

Online access

Content

DoD Language & Culture Policy

Orientation to the nature of language

Child Language Development

Orientation to the nature of culture

Introducing & utilizing multiple languages within the early childhood education framework

Incorporating cross-cultural concepts within early childhood education framework

Early Childhood Education language & culture resources

Multi-media presentation

Readings

Oral

Videos

Language & Culture Competency

IV. SLCECY Activity Web Sample

V. Activity Guide

Domains for Language & Culture Context

Self

Family

School

Community

Sports/Recreation

Food

Weather/Seasons

VI. Activity Guide

Activities/Second Languages/Domains

Songs, poems

Stories (e.g., read-aloud)

Arts/crafts

Games (indoor/outdoor)

Drama/Role play/creative movements

Manipulatives (e.g., puzzles, blocks)

Special activities (e.g., field trip)

VII. Sample Model (Filipino) for Incorporating 2nd Language & Culture Framework Within ECE

VIII. Trainer and Activity Guides

How to oriented

For online use with print capabilities

Text information

Multimedia information (Video)

IX. Pilot Test

Identify criteria for pilot sites for scaled-up development of training guide and activity guide

Services identify Pilot locations

X. Estimated Project Milestones

End Dates

Identify Pilot Locations (June 2012)

Develop Modules & Try Outs (November 2012)
Gather Baseline from Pilots (November 2012)
Conduct Pilot Test (April 2013)
Revise & Finalize Project Guides (July 2013)
Disseminate (August 2013)

(BB) Tribal Colleges Extension Program - Special Emphasis (aka TCEP-SE):

NOTE: Combined with CFDA 10.500 (M) above.

(CC) District of Columbia Public Secondary Education Reorganization Act Program (CES - Formula):

Cloud-based Fuzzy Data Mining for Diabetes Gene Pathway Analysis: In support of a focus on Health, Nutrition and Childhood Obesity Prevention, UDC is researching diabetes gene analysis and found they can successfully generate and select high-quality gene pathway candidates from thousands of genes quickly taking advantage of parallel computing of a cloud platform.

4-H Clubs: UDC managed 36 clubs in schools in the District of Columbia. Members of the clubs participated in other outside programs to include the National 4-H Youth Science Day-30 4-H Youth from UDC 4-H School Clubs participated in the National Youth Science Day Program at the National 4-H Council (it was a testing day so 4th and 5th graders from Janney Elementary School and 5th and 6th graders from SEED a Private residential school participated. The youth were featured on Fox Five News.

DC Master Gardener Program: The program is designed to train volunteer horticultural educators for the University of the District of Columbia Extension- the principal outreach education unit of the University of the District of Columbia. 271 (which includes 45 trainees) Master Gardeners and trainees provided 9,000 hours of horticultural expertise to the District of Columbia. 13,500 direct contacts were served by the Master Gardener Coordinator/Extension Agent. Fiscal Year 2013: (A) Cooperative Extension Programs at 1862 Land-grant Institutions (aka Smith-Lever 3 (b) & (c) Formula Grants Program:

As outlined in the 2013 Plans of Work, the following are five examples of anticipated outcomes:

1) The 4-H Youth Program will continue to address the youth-at-risk issues with programs in 4-H village clubs and church youth organizations in the community, clubs in the schools and summer youth programs. Using and modifying the educational materials developed for US mainland youth, these lessons will be brought to the youth on issues including but not limited to cigarette, alcohol, and drug use, teen pregnancy, suicide and high school dropout. Other topics will include parenting, entrepreneurship, sewing, arts and crafts, vegetable gardening, marketing, and Samoan culture and language projects. These lessons will be taught in workshops, in group discussions, one-to-one interventions, demonstrations, 4-H fairs, camps and summer programs and will reach youth and adults including parents, village and church women, farmers along with business people. TV and radio stations, newsletters and brochures will help to spread the word. It is hoped that with youth involvement in these programs, they will acquire knowledge and develop social, leadership, entrepreneurial and job readiness skills, obtain knowledge to start their own homebased businesses to become self-reliant, productive and contributing members of society. To address the youth at risk challenges and to align with NIFA Organizational Chart, the 4-H Youth Program will be a separate program from the Family and Consumer Science and Nutrition Program.

Additionally, a 4-H Program Manager, Specialists, and additional 4-H agents need to be hired. Finding local qualified staff has been difficult. How great the outcomes and impacts will be is dependent on the ability to hire and retain qualified personnel. Program funds need to be made available for local staff to continue their studies to obtain Bachelors, Masters and PhD degrees in counseling, youth and human development, sociology and related fields.

2) Under this 2013 Plan of Work, particular focus will be directed toward

issues, problems, and/or concerns that affect people involved in agriculture; aquatic, coastal and aquaculture programs, natural resources and the environment, youth development, family and consumer sciences, energy and housing, and community. Extension has developed seven goal areas in which to focus:

Enhancing and maintaining agricultural, natural resources, and food systems
Maintaining, conserving, and enhancing natural environment
Developing responsible and productive youth through 4-H and other youth programs
Creating and maintain resource efficient landscapes: The smart way to grow
Promoting individual, family, and community well-being and economic security
Maintaining, enhancing, and establishing sustainable communities
Promoting professional development to enhance organization efficiency and effectiveness.

3) The Cooperative Extension System continues to direct efforts toward four main goals: Increasing the economic opportunities for small businesses and specifically for agriculture and natural resource related businesses in the state; enhance the sustainability of the environment through balancing economic growth with the sustainability of natural resources; advance the public health of the state through a safe and secure food system and water supply; and strengthen and support families and communities in a rapidly changing society. The youth development program will strengthen an environmental curriculum for K-12 audiences building on the IPM curriculum and on the state education standards model.. Work will continue in sustainable agricultural production including organic.

The focus of nutrition efforts target obesity, including education for children with special dietary needs and emphasizing healthy lifestyles. Strengthening and supporting families and communities in a rapidly changing environment will focus on leadership development and civic engagement leading for a strong quality of life. Programs engage pre-college youth in educational and leadership experiences, build leadership capacity in communities through training programs and building community capacity for parents, child care providers, and youth.

4) In FY 2013, programming in the areas of childhood obesity, nutrition and health will receive increased attention while programming in the Family Development (FD) and Family Resource Management (FRM) areas is being de-emphasized in adult programming but continued in youth targeted audiences. The faculty who are in FD and FRM will significantly shift their efforts to targeting the childhood obesity and nutrition and health challenges that are so prominent in the state. New ways of conducting business will become the norm to meet the ever-changing needs of our stakeholders. Increased reliance on new technologies and tools such as social media increased external funding and funding from local entities and even greater dependence on trained volunteers will become increasingly important as attempts to deliver high quality programs continue.

5) Extension Service will create learning partnerships that help adults and youth enhance their lives and communities. Extension programs will contribute to each of NIFA's five priority areas. Educational programs will contribute by improving crop productivity and adapting new crops within the area of global food security; adapting cropping systems, responding to evolving pest issues, and improving soil management in the area of climate change; assisting with the development of biofuels as sustainable energy sources; training families on nutrition and wellness to address childhood obesity; and training food handlers to minimize the risk of food borne disease. Extension programs will also continue to focus on state identified needs in the areas of agricultural and natural resources; 4-H youth development; family and consumer sciences; and community, leadership and economic development. Within these program areas, emerging areas of concern include animal welfare, response to natural disasters, transitional plans for farms and rural businesses, and rural business development and entrepreneurship.

(B) Cooperative Extension Programs at 1862 Land-grant Institutions - (aka Smith-Lever Special Needs Formula Grants Program):

Some projects are addressing growing competition for finite water, land, and air resources in a state with a growing human population by:
educating agricultural and resource industry professionals;
researching technical and economic issues related to improved resource utilization; and
enhancing international competitiveness.

Cross-cutting or Cross-disciplinary Initiatives: Nutrient management and odor and dust control.

Some projects have identified the under-served and under-represented populations as one group who have participated in the issue identification process in the past that was considered in the development and orchestration of plan of work.

(C) Smith-Lever Special Needs Competitive Grants Program:

Since the Smith-Lever Special Needs Competitive Grants Program panel will not be held until July 16, 2013; there are no examples of funded projects yet.

(D) Extension at 1890 Land-Grant Institutions and Tuskegee (aka 1890 Capacity Building formula Grants Program):

One administrative project was awarded to each 1890 (18) institution.

(E) Expanded Food and Nutrition Education Program (aka Smith-Lever 3d EFNEP and ENUT Formula Grants Program):

The Expanded Food and Nutrition Education Program (EFNEP) is funded as a national program, not as individual projects. All seventy-five 1862 and 1890 Land-Grant Institutions receive EFNEP funding each year and these are the only entities eligible for EFNEP funding. EFNEP is designed to assist limited resource audiences in acquiring the knowledge, skills, attitudes, and changed-behavior necessary for nutritionally sound diets, and to contribute to their personal development and the improvement of the total family diet and nutritional well-being. Although EFNEP lessons may be tailored to meet the needs of participants, all programming follows the same model: Lessons are taught by peer educators using an evidence-based, interactive, culturally appropriate approach. Participant entry and exit data are collected on diet quality, physical activity, food safety, and food resource management practices, all of which influence health.

(F) Regional Integrated Pest Management Competitive Grants:

NOTE: Also see CFDA 10.200.

(F - 1) - North Central Region (aka NC RIPM):

Determine the effect of fungicide application to corn and soybean plants damaged by artificial hail events. This information will equip farmers and agronomists to make more informed decisions about fungicide application at a critical time in crop production: after a major abiotic stress event.

This project will address the need for grower and industry education programs to review current rootworm management options and promote a greater understanding of rootworm biology and behavior in order to make best use of available management practices.

Assess wheat curl mite performance and wheat streak mosaic infection in wheat germplasm with genes for mite resistance and germplasm containing genes for both mite resistance and wheat streak mosaic resistance. Assess differential transmission of wheat streak mosaic by mite biotypes from different NCIPM locations.

Improve both insect and weed management in commercial cucurbit production through manipulation of cover crop surface residues in combination with reduced tillage and diversification of crop species in windbreaks.

Improve high tunnel management by incorporating beneficial predators for the sustainable biological control of arthropod pests.

(F - 2) - Northeastern Region (aka NE - RIPM):

Grant panel deliberations have not been completed yet, so no such information is available yet.

(F - 3) - Southern Region (aka S - RIPM):

Reduce losses from mite-vectored virus diseases (MVVD) of wheat by providing growers with new information and techniques for site-specific IPM.

Determine the effect of generation, condition, and developmental host on life history characteristics of the kudzu bug, *Megacopta cribraria*; to determine what environmental, host quality, and/or physiological factors affect the kudzu bug dispersal in the spring and fall; and to investigate the potential emigration of *M. cribraria* into soybeans planted at various dates and their potential impact on yield.

To develop near and mid-term management strategies for Spotted winged drosophila with an emphasis on southeastern blueberry and caneberry systems.

Develop interactive computer based curricula, which will be used to train mosquito control workers in Integrated Mosquito Management (IMM). Provide mosquito control personnel throughout the Southern United States a more in depth and interactive training on IMM, mosquito biology, identification, and habitat recognition.

Increase Integrated Pest Management adoption and improve management, especially of bed bugs, in multifamily housing.

(F - 4) - Western Region (aka W - RIPM):

Facilitate the implementation and evaluate the results of a biocontrol effort targeting invasive fireweed, a highly damaging weed of pastures and open areas in Hawaii.

Develop an Integrated Pest Management program targeting insect pests found on green onion in aquaponic and wetland taro production.

Understanding on-farm development and application of Integrated Pest Management (IPM) systems and the social learning dynamics that result in regional adoption. Quantify the benefits of rangeland IPM for multiple-ecosystem services.

Develop new poultry pest management practices.

Investigate innovative techniques for reducing fire size and return interval in cheatgrass-invaded landscapes.

(G) Farm Safety:

NOTE: Farm Safety, Youth Safety Education and Certification and AgrAbility Programs were combined in FY 2012. See item # (W) below.

(H) Farm Safety and Youth Safety Education and Certification Program:

NOTE: Farm Safety, Youth Safety Education and Certification and AgrAbility Programs were combined in FY 2012. See item # (W) below.

(I) New Technologies at Ag Extension:

2013 Milestones: These milestones are taken from the 2011 - 2014 eXtension Strategic Roadmap, which will guide the work of eXtension through 2013. They are grouped by action plans contained within the Roadmap.

User Focus: Redesign of eXtension.org site - building a new user interface to enhance the optimization of Communities of Practice and to add value overall

to the work of Cooperative Extension. A primary goal is to increase discoverability, another is to increase the reach and demographic makeup of the site visitors (younger, more diverse... new audiences). The eXtension.org design will clearly reflect its association with Cooperative Extension.

The redesign will feature an enhanced content discovery front-end to promote CES and eXtension-related programs and resources.

To produce more original content, an emphasis will be placed on producing new research-based stories.

eXtension.org will serve as the gateway for all publicly intended content and services, i.e., learning modules, certification courses, subject matter articles/curriculum, Ask an Expert, professional development programs (LEARN), and more.

The redesign will enhance the way in which Community of Practice content and programs are represented and displayed to the public.

eXtension, as an initiative of the Cooperative Extension System, needs to be more fully integrated into the way in which the System represents itself to stakeholders, partners, and most importantly those we serve, i.e. the public. eXtension will work to better reflect eXtension as a critical part of Cooperative Extension mutually reinforcing both CES and eXtension branding, in concert with the Extension Brand Value Initiative to be launched January 2013.

Conduct an external review of eXtension responsive to ECOPs recommendations to address: 1) whether eXtension has been developed in accordance with strategic plans; 2) whether the current 2011-2014 eXtension Strategic Roadmap addresses the right strategies and activities moving forward; and 3) if not, why and what needs to be different.

To continue to guarantee access to eXtension through the public's increasing number of technology choices, additional emphasis will be placed on mobile and virtual world development:

Develop a large-scale (CES) mobile application(s) targeting knowledge management at the local level. The application will focus on eXtension content and programs that will cut across all Communities of Practice, while at the same time highlighting local Extension resources. A national team will be identified to develop program criteria and guide this work.

Ask an Expert 2.0 will be further optimized as a mobile application.

The eXtension Foundation as a non-profit organization is positioned to provide CES with a national mobile development framework. This framework creates an eXtension Foundation account with Apple/Android stores for adding/upgrading applications and establishes national guidelines for development, branding, services, and more.

Provide mobile application development expertise and guidance to Communities of Practice/Learning Networks. Attention will be given to developing a template that may be used to estimate cost, platform considerations (i.e., Apple, Android), application type, branding, standards, needs assessment, and more.

Establish Virtual 3D educational content and a user base in two or more emerging open source and/or browser-based virtual worlds (Open Simulator, Cloud Party, Jibe). This is the future of virtual worlds and the path to reaching the mainstream. This is an important innovation and we need to be prepared. Develop in-house capacity for development in advanced 3D content creation to foster learning in immersive learning environments. Launch three substantial virtual learning environments involving eXtension CoPs, university and other strategic partners (e.g. CDC and DoD). Publish a comprehensive guide to the use of virtual worlds as a teaching tool for Extension educators.

Content and Program Development: eXtension will continue its efforts to support and optimize Communities of Practice and form Learning Networks.

Leadership funding will be made available again in 2013. Criteria will ensure that ongoing financial support will be tied to CoP performance and need.

To identify resource strategies moving forward, a task force will be established to identify additional support strategies.

Conduct a call to identify another group of CoPs to optimize.

Continue to support the establishment and/or enhancement of CoPs through NIFA competitive grant programs.

Continue to coach CoPs to eliminate duplicated content and make their resources more discoverable.

Encourage CoPs to use new technologies to better engage and reach their audiences.

Explore new ways of offering and sharing CES non-formal education through new learning applications and models.

Establish a national task force to assess new models for course delivery, as well as develop alternative models for packaging and making available CES programs and services.

Launch the eXtension Moodle Community Hub to facilitate the enrollment in and exchange of courses and to encourage course sharing among all Moodle-using institutions in the Cooperative Extension System and its affiliates.

Become a member of the Open Courseware Consortium (www.ocwconsortium.org) and begin offering courses through that consortium.

Partnerships and Funding:

Continue to support and integrate eXtension into NIFA competitive grant programs including coordination of RFA language in cooperation with NPLs and program managers.

Pursue partnerships and funding support from non-USDA government departments and agencies.

Pursue partnerships and funding support from private foundations and corporations

Explore partnerships with health-related organizations such as the Centers for Disease Control (CDC) who have expressed interest in developing a new learning network around the national Lets Move program. Such programming could enhance the ECOP national health initiative.

Pursue funding opportunities related to eXtension lead technology areas such as the development of virtual world learning environments, AaE and Learn.

Shared Vision State & Local Value Enhancement

Continue to add new and foster the development of active Institutional Teams.

Identify and schedule a number of institutional visits to strengthen institutional teams, communicate with administrators, and refine local institutional plans of work.

Identify and implement training opportunities focused on working differently, technology, etc.

Conduct orientation for new CES directors and administrators as needed.

Transparency & Accountability

Produce the annual Return on Investment and Metrics/Critical Success Factors report.

Conduct usability studies of eXtension.org and all online products and services.

Evaluate the effectiveness of our State and Local Value Enhancement program.

Continue the eXtension Fellowship program. The program will focus on studying a particular aspect(s) of eXtension to learn about its effectiveness and how to improve it moving forward.

Military Families Partnership

Current and new funding will support new goals and objectives associated with financial management, family advocacy, child care and network literacy programming to assist military family service providers. Also, work will continue with DoD and USDA to explore the needs of the target audience and work toward meeting those needs.

Professional Development

Increase the number of professional development opportunities to Cooperative Extension educators and faculty.

Grow Learn (learn.extension.org) making it a national collaborative center of professional development programs and activities for Cooperative Extension and the public.

Continue collaboration and co-sponsorship of professional development educational offerings with the National Association of Extension Program and Staff Development Professionals.

Work with Network Literacy to support educational programs in various local settings.

Hold a National eXtension Conference featuring (3) quarterly virtual conferences on key topics, as well as a face to face meeting.

Continue to explore the use of new and innovative technologies and systems, and apply those that can improve problem solving and learning for CES customers. Such has been the case in the implementation of virtual conferences, network literacy, Ask an Expert, eXtensions Google apps suite, and more.

(J) Children, Youth, and Families at Risk (aka Youth at Risk):

NOTE: See Children, Youth, and Families at Risk - Sustainable Community Projects (Item # U below):

(K) Sustainable Agriculture Research and Education - Professional Development (aka Chapter 3 & SARE-PD):

At this point only the Southern and Western regions have completed the award and posting of their FY 2013 PDP program competitive grants.

One example of a funded project from the Southern region is ES13-116: Increasing the Professional Technical Support for Local, Sustainable Food Distribution Systems in the Southern Region.

Another example from the Western region is EW13-008: High Residue Farming in the Irrigated Far West.

(L) Federally-Recognized Tribes Extension Program (aka FRTEP):

Funded projects and focus areas will be similar to FY 2012 projects. Pertinent data to be provided by Program at a future date.

M) Extension Services at the 1994 Institutions (aka Tribal Colleges Extension Program or TCEP) and (BB) Tribal Colleges Extension - Special Emphasis (TCEP-SE) were combined:

This is the final year of a 4-year continuation award and examples of funded projects will be the same as in FY 2012.

(N) Renewable Resources Extension Act (RREA):

During FY 2013, similar programs are underway at the RREA-eligible

institutions in each state. Pertinent data to be provided by Program at a future date.

(O) Renewable Resources Extension Act - National Focus Fund Projects (aka RREA-NFF):

The accomplishments for FY 2013 are expected to have national level impacts such as the impacts from the FY 2012 RREA NFF. Pertinent data to be provided by Program at a future date.

(P) Rural Health and Safety Education (Competitive):

In FY 2013 the Rural Health and Safety Education Program will focus on supporting two types of projects:

- A) Rural Health and Safety Education projects at state and regional levels.
- B) One project addressing National Common Measures for Rural Health and Safety Education projects.

FY 2013 proposals are due July 26th.

Virtual panel session is scheduled for early August, 2013.

No further data available at this time. Pertinent detail will be provided by Program at a future date.

(Q) 1890 Facilities Grant Program (aka Section 1447):

A new Five-Year Plan of Work (2013-2017) was requested through the RFA. Examples of funded projects are as follows:

Construction of a new Extension multi-purpose facility containing offices, meeting rooms, auditorium, and a demonstration laboratory to house the Cooperative Extension Program to help promote integrated programming across disciplines, land-grant mission areas and departments in the College of Agriculture.

Facilities improvement through site development, renovation, new construction and equipment purchase, including site development at a university farm, renovation of existing facilities and 18 aquaculture ponds, construction of a greenhouse complex, and purchase of equipment to support research, Extension and academic programs.

Facilities improvement projects that support Research:

- 1) Renovation of existing agricultural laboratory space;
- 2) Teaching Renovation and updates to classroom space;
- 3) Extension Upgrading the infrastructure at three Research and Extension Education Centers; and
- 4) Renovation to support institutional growth, including conversion of administrative space into new faculty offices.

Construction of a new facility to provide space for teaching, research and Extension with supporting laboratories for Agriculture, Food and Environment, and Family & Consumer Sciences. Classrooms will be designed with SMART technology to attract and motivate students, support learning opportunities for faculty, staff, students, and the public.

Development of a building complex and a student farm focused on sustainable agriculture and urban, local and community food systems education for producers, consumers, and students to support teaching, research, extension and community outreach activities.

(R) Food Animal Residue Avoidance Database Program (aka FARAD):

Funded Project Network Node 1: Coordinate national program, develop pharmacokinetic modeling approaches and provide pharmacokinetic support to FARAD components, and on a rotating schedule with other network nodes, provide responses to emergency queries (telephone, internet) from the FARAD Hotline.

Funded Project Network Node 2: maintains the pharmacokinetic, bibliographic and call center databases and web-based access to the kinetic and bibliographic citation files. On a rotating basis with other network nodes, this node also provides responses to drug and contaminant residue questions submitted to FARAD.

Funded Project Network Node 3: Collects and enters data for the US Approved Animal Drugs Database (US-AADD), maintains internet websites for FARAD, creates and supports platforms for mobile devices to access the Veterinarian's Guide to Residue Avoidance Management (VetGRAM) and other mobile-friendly applications, and distributes electronic alerts and updates via email, Twitter and other electronic formats.

Funded Project Network Node 4: On a rotating schedule with other network nodes, provides responses to emergency telephone calls from the FARAD hotline. Collaboration between all institutions occurs on research

(S) Grants to Youth Serving Institutions (aka Rural Youth Development & RYD Grants Program):

It is anticipated FY 2013 funding will be awarded by August or September 2013. Therefore, there are no program results for 2013 at this time. However, similar results as reported above in FY 2012 would be anticipated.. Pertinent data to be provided by Program at a future date.

(T) Agriculture Risk Management Education Program (aka RME & ARPA Program):

The 2013 competition netted sixty-four subawards which are currently in-process; 12 in the NE region, 16 in the NC region, 19 in the S region, and 17 in the W region. This will represent an investment of more \$2.6M in regional Extension Risk Management Education. Additionally, the program sponsored the 2013 Extension Risk Management Education National Conference.

Special programming in 2013 includes training and technical assistance to Native American producers on the tax implications of the Keepseagle Settlement Payment.

(U) and (J) Combined - Children, Youth, and Families at Risk (CYFAR) and CYFAR - Sustainable Community Projects (aka CYFAR-SCP):

Note: Also see item # J above.

1. The Project uses the Choice, Control and Change Curriculum to help at-risk youth increase their positive personal health decisions with greater autonomy. To gain greater autonomy on their personal health decisions, the middle school youth learning and practice result from engaging in science, understanding their personal food systems, and community engagement activities. Beyond after-school and school enrichment opportunities, learning and practice occur out-of-school through summer camps and summer school gardening.

The sites have significantly increased local collaborations that provide more investment of time, care, and retention of program activities within the neighborhoods. Through collaborative efforts, strong connections are evident in the communities which have created a strong path to program sustainability.

Short-term results show that these youth do benefit from their participation in the project. For example, all participants (100% of 189) could identify grain products and eat them daily and the majority of participants (87%) know and apply basic food safety facts and practices, such as hand washing became almost habitual after three weeks of food preparation.

2. The after-school program that has been implemented at two community center sites located in low-income areas. The community centers are located in neighborhoods characterized by relatively high rates of poverty and crime, and these neighborhoods tend to have higher concentrations of ethnic minority residents than other areas of the city. During its first year, the program goals were to establish working garden sites for the children and to offer sufficient

programming that children would begin to be certified as Junior Master Gardeners. To date, 18 children at one site and 21 at the other have earned JMG certification.

3. The program empowers teens to be their own advocates so that they gain skills and confidence in making life choices as they thrive to be positive contributing citizens within changing social and economic environments.

Teens were able to advance their leadership and service skills by assisting with the cleaning of their local civic center, participating in a food-drive, were they collected non-perishable items for a shelter of women who are victims of domestic violence, wrote thank you cards to US soldiers, and participated in a food drive. The teens also implemented several non-bullying vignettes, and created a video to share with other teens within the community. Each of these community service projects were instrumental in engaging teens and helping them to become more involved with the community in which they live.

4. For this after school program, youth reported substantial learning as a result of the program with strongest effects on improved critical thinking skills including decision making, problem solving, comparing ideas and keeping an open mind. Youth are able to participate in field trips to learn about the science based issues impacting their community and engaged in service projects to restore shoreline habitat. The families learned from their young citizen scientists about water conservation, water contamination and environmental stewardship.

5. The program reached over 100 adults and 200 youth involved with community and school gardens. A retrospective survey approach used to measure program impact; one hundred percent of families were successful in growing food for their families. They grew over 54 different types of fruits and vegetables and harvested an estimated 9,200 pounds of produce valued at approximately \$18,418. As a result of the gardens 90% (26/29), said they spent less money on food and 87% (27/31) said they were better able to provide food for themselves. One gardener said The garden really helps us save money and eat healthier especially on a tight budget! and another claimed that it was definitely cheaper than buying in grocery store.

Health benefits of the program were evident as well. Ninety-four percent (29/31) of families said that members of their household ate more fruits and vegetables, 97% (30/31) said they ate fresher, less packaged foods, 93% (27/29) said they ate new kinds of foods and learned new and healthier ways to prepare garden produce, 93% (28/30) said they learned why certain fruits and vegetables are good for them, and 90% (26/29) said they were more physically active as a result of the garden.

(V) Children, Youth, and Families at Risk - Professional Development and Technical Assistance (aka CYFAR-PDTA):

The panel review has not yet convened to evaluate applicants. Pertinent data will be provided by Program at a future date.

(W) Farm Safety and Youth Safety Education and Certification Programs:

NOTE: Farm Safety, Youth Safety Education and Certification and Assistive Technology Program for Farmers with Disabilities: National AgrAbility Programs were combined in FY 2012.

AgrAbility program increases the likelihood that individuals with disabilities and their families engaged in production agriculture (AgrAbility customers) become more successful. The program provides up to 4 years of funding to support cooperative projects in which State Cooperative Extension Services (CES) based at either 1862 or 1890 land-grant universities or the University of the District of Columbia subcontract to private, non-profit disability organizations. Measures of success may include improvements in customers financial stability or access to life activities and in the capacity of the states and regions to deliver services this population requires in a timely and satisfying manner.

To address the specialized needs of AgrAbility customers, the program builds

service capacity on national, regional, state, and local levels through education and networking. In the absence of capacity, projects provide assistance to customers. The primary function of the National AgrAbility Project is to support the state and regional projects in developing their capacity to meet these objectives. AgrAbility Projects use marketing activities to direct the public to initiatives in these three priority areas, described below:

In FY2013, the program anticipates making 4 new awards and continuing support for 18 previously funded-projects.

Anticipated New Awards:

3 new AgrAbility awards (recommended for funding but awards not yet made);

1 Youth Farm Safety Education and Certification award (recommended for funding but the award not yet made).

FY 2013 Continuation Awards:

14 continuation awards to support 4th (final) year of State AgrAbility projects originally funded in FY 2010 (awards made);

3 continuation awards for the 3rd year of State AgrAbility projects originally funded in FY 2011 (awards not yet made);

1 continuation award for the 2nd year of the National AgrAbility Project, originally funded in FY 2012.

(X) Extension IPM Coordination and Support Program (aka IPM-CS):

One state based project will link the resources of three universities to advocate for the use of IPM in agronomic crops, specialty crops, diagnostics, housing, pesticide application and schools. The project will focus on small farms and underserved audiences.

The Illinois coordination project will address specialty crops, an underserved community, diagnostics, school IPM and wide area monitoring of high consequence pests.

Harnessing the Power of Narrative Animation for Urban IPM Outreach will use animated stories to teach about bed bug biology and prevention.

Developing educational material for vegetable producers on high consequence pests of crops: bagrada bug, kudzu bug, and European pepper moth will develop on-line learning modules for three new high consequence pests that threaten vegetable crop production.

One project will develop education for producers threatened by the new threat from spotted wing drosophila, a new fruit fly that attacks most soft skinned small fruits..

(Y) Extension Outreach on the Marketplace Exchanges of the Affordable Care Act:

It is anticipated that one award will be made in FY 2013. Pertinent information will be provided by Program at a future date.

(Z) Military Family Readiness System (aka MFRS):

No additional funds will be provided for this program. An annual report is due within 90 days of 8/31/2013. Pertinent information to be provided by Program at a future date.

(AA) Second Language & Culture Exposure for Children Project (aka SLCECY):

Pertinent information to be provided by Program at a future date.

(BB) Tribal Colleges Extension Program - Special Emphasis (aka TCEP-SE):

NOTE: Combined with CFDA 10.500 (M) above.

(CC) District of Columbia Public Secondary Education Reorganization Act

Program (CES - Formula):

The new program model for research and extension will be comprised of three major Centers: Center for Nutrition, Diet, and Health; 4-H and the Center for Youth Development; and the Center for Sustainable Development.

The Center for Nutrition, Diet and Health will include the following programs: Nutrition Education through the EFNEP and SNAP-ED Programs; Food Safety, Food Handlers Training and Certification; Food Demonstrations for Healthy Choices and Lifestyle; and Diet and Wellness Program.

The Center for 4-H and Youth Leadership will include: 4-H clubs in DC Public and Charter School as well as community centers throughout the city; Summer Camp; Lifeskills; Operation Military Kids; Health Rocks; and a Soccer Clinic. The unit will also work with extension agents in the Sustainability Program to establish and maintain school gardens for elementary and middle schools in the District of Columbia.

The Center for Sustainable Development will focus on economic, social, and environmental sustainability. For economic sustainability, the program will include: DC MarketMaker, Financial Literacy, Cooperatives, and Entrepreneurship. Social sustainability will include: Farmers' Market and housing Justice Program. Environmental sustainability will include: Master Gardeners Training and Certification; Jr. Master Gardeners; Water Quality Education Program; Community and School Gardens; Pesticide Education and Certification Program, Integrated Pest Management, and Renewable Energy and Energy Conservation program and Home Repair and Environmental Health Training Program. Fiscal Year 2014: (A) Cooperative Extension Programs at 1862 Land-grant Institutions (aka Smith-Lever 3 (b) & (c) Formula Grants Program:

It is anticipated that work projected for FY-2014 Plans of Work will continue to address critical and emerging issues in youth development, healthy lifestyles, entrepreneurship, lifelong learning and other outreach activities that will have a national and global impact on populations worldwide.

(B) Cooperative Extension Programs at 1862 Land-grant Institutions - (aka Smith-Lever Special Needs Formula Grants Program):

Nothing to report at this time. Pertinent data to be provided by Program at a future date.

(C) Smith-Lever Special Needs Competitive Grants Program:

The Smith-Lever Special Needs Competitive Grants Program will continue to meet critical needs to address:

- 1) Education and Technical assistance and multi-state disaster training programs;
- 2) Collaboration with Federal, state and local agencies and other disaster relief organization to support education and service that enhance recovery of impacted rural communities, schools, businesses and agricultural based activities; and
- 3) Long range family, community and regional planning projects that enhance implementation of programs that serve public needs in preparation for, during and after emergency situations within impacted states and regions.

Project funding will be determined by availability of an allocation to support innovative, education-based approaches to addressing emergency preparedness and specific responses related to natural and man-made disasters.

(D) Extension at 1890 Land-Grant Institutions and Tuskegee (aka 1890 Capacity Building formula Grants Program):

Contingent upon funding and project initiation. Pertinent data to be provided by Program at a future date.

(E) Expanded Food and Nutrition Education Program (aka Smith-Lever 3d EFNEP and ENUT Formula Grants Program):

The Expanded Food and Nutrition Education Program (EFNEP) is funded as a national program, not as individual projects. All seventy-five 1862 and 1890 Land-Grant Institutions receive EFNEP funding each year and these are the only entities eligible for EFNEP funding. EFNEP is designed to assist limited resource audiences in acquiring the knowledge, skills, attitudes, and changed-behavior necessary for nutritionally sound diets, and to contribute to their personal development and the improvement of the total family diet and nutritional well-being. Although EFNEP lessons may be tailored to meet the needs of participants, all programming follows the same model: Lessons are taught by peer educators using an evidence-based, interactive, culturally appropriate approach. Participant entry and exit data are collected on diet quality, physical activity, food safety, and food resource management practices, all of which influence health.

(F) Regional Integrated Pest Management Competitive Grants:

NOTE: Also see CFDA 10.200.

(F - 1) - North Central Region (aka NC RIPM):

No projected data available. Pertinent data to be provided by Program at a future date.

(F - 2) - Northeastern Region (aka NE - RIPM):

No projected data available. Pertinent data to be provided by Program at a future date.

(F - 3) - Southern Region (aka S - RIPM):

No projected data available. Pertinent data to be provided by Program at a future date.

(F - 4) - Western Region (aka W - RIPM):

No projected data available. Pertinent data to be provided by Program at a future date.

(G) Farm Safety:

NOTE: Farm Safety, Youth Safety Education and Certification and AgrAbility Programs were combined in FY 2012. See item # (W) below.

(H) Farm Safety and Youth Safety Education and Certification Program:

NOTE: Farm Safety, Youth Safety Education and Certification and AgrAbility Programs were combined in FY 2012. See item # (W) below.

(I) New Technologies at Ag Extension:

The expected accomplishments for 2014 will follow the 2011-2014 Strategic Roadmap goals with a new Roadmap to be developed in 2014 to cover 2015 2019 (2020) as one major output/outcome. Pertinent data to be provided by Program at a future date.

(J) Children, Youth, and Families at Risk (aka Youth at Risk):

NOTE: See Children, Youth, and Families at Risk - Sustainable Community Projects (Item # U below):

(K) Sustainable Agriculture Research and Education - Professional Development (aka Chapter 3 & SARE-PD):

No projects have yet been funded with FY 2014 funds. Pertinent data to be provided by Program at a future date.

(L) Federally-Recognized Tribes Extension Program (aka FRTEP):

No data available. Pertinent data to be provided by Program at a future date.

(M) Extension Services at the 1994 Institutions (aka Tribal Colleges Extension Program or TCEP) and (BB) Tribal Colleges Extension - Special Emphasis (TCEP-SE) were combined:

FY 2014 Extension award will start a new 4-year continuation cycle. Pertinent data to be provided by Program at a future date.

(N) Renewable Resources Extension Act (RREA):

Projected programs will be developed to address forest and rangeland resource issues in each state. Pertinent data to be provided by Program at a future date.

(O) Renewable Resources Extension Act - National Focus Fund Projects (aka RREA-NFF):

FY 2014 Program accomplishments will be reported based on the nature of the projects that are funded. Pertinent data to be provided by Program at a future date.

(P) Rural Health and Safety Education (Competitive):

No data is available. Pertinent data to be provided by Program at a future date.

(Q) 1890 Facilities Grant Program (aka Section 1447):

The second year of the Five-Year Plan of Work (2013-2017) will be requested through an RFA for continuation of projects listed if funds are available to support the program.

(R) Food Animal Residue Avoidance Database Program (aka FARAD):

No Projected Data Available. Pertinent data to be provided by Program at a future date.

(S) Grants to Youth Serving Institutions (aka Rural Youth Development & RYD Grants Program):

FY 2014 funding is uncertain. Pertinent data to be provided by Program at a future date.

(T) Agriculture Risk Management Education Program (aka RME & ARPA Program):

Data is not yet available. Subawards made through streamlining agreements are anticipated to be made no later than September 1, 2014. Pertinent details will be provided by Program at a future date.

(U) and (J) Combined - Children, Youth, and Families at Risk (CYFAR) and CYFAR - Sustainable Community Projects (aka CYFAR-SCP):

Note: Also see item # J above.

No data is available at this time. Pertinent information will be provided by Program at a future date.

(V) Children, Youth, and Families at Risk - Professional Development and Technical Assistance (aka CYFAR-PDTA):

No data is currently available. Pertinent details to be provided by Program at a future date.

(W) Farm Safety and Youth Safety Education and Certification Programs:

NOTE: Farm Safety, Youth Safety Education and Certification and Assistive Technology Program for Farmers with Disabilities: National AgrAbility Programs were combined in FY 2012.

No data is currently available. Pertinent information will be provided by Program at a future date.

(X) Extension IPM Coordination and Support Program (aka IPM-CS):

No Projected Data Available. Pertinent information to be provided by Program at a future date.

(Y) Extension Outreach on the Marketplace Exchanges of the Affordable Care Act:

UNKNOWN. Ongoing funding is dependent upon transfer of funds from the Centers for Medicare and Medicaid Services through the IAA with NIFA. Pertinent information to be provided by Program at a future date.

(Z) Military Family Readiness System (aka MFRS):

Pertinent information to be provided by Program at a future date.

(AA) Second Language & Culture Exposure for Children Project (aka SLCECY):

Pertinent information to be provided by Program at a future date.

(BB) Tribal Colleges Extension Program - Special Emphasis (aka TCEP-SE):

NOTE: Combined with CFDA 10.500 (M) above.

(CC) District of Columbia Public Secondary Education Reorganization Act Program (CES - Formula):

The projections have not been submitted for FY 14 as of July 23, 2013. Pertinent data to be provided by Program at a future date.

CRITERIA FOR SELECTING PROPOSALS:

Within guidelines established for the program as described in the Request for Application (RFA) or Formula Grant Opportunity (FGO).

10.551 SUPPLEMENTAL NUTRITION ASSISTANCE PROGRAM

(SNAP)

FEDERAL AGENCY:

Food and Nutrition Service, Department of Agriculture

AUTHORIZATION:

Food, Conservation and Energy Act of 2008; Food and Nutrition Act of 1977, as amended, Public Law 95- 113, 91 Stat. 958, 7 U.S.C. 2011 et seq.; Public Law 95-348, Public Law 96-58, Public Law 96-249, Public Law 97-35; Public Law 97-98; Public Law 97-253, Public Law 98-204, Public Law 98-369; Public Law 99-198, Public Law 99-425; Public Law 99-498, Public Law 99-603, Public Law 100-77, Public Law 100-175, Public Law 100-232, Public Law 100-387, Public Law 100-435, Public Law 100-503, Public Law 100-707, Public Law 101-202; Public Law 101-392; Public Law 101-508; Public Law 101-624; Public Law 102-237; Public Law 102-265; Public Law 102-325; Public Law 103-31; Public Law 103-66; Public Law 103-225; Public Law 103-286; Public Law 103-296; Public Law 104-66; Public Law 104-127; Public Law 104-193; Public Law 104-208; Public Law 105-18; Public Law 105-33; Public Law 105-185; Public Law 105-379; Public Law 106-171; Public Law 106-387; Public Law 107-171; Public Law 110-161; Public Law 110-246; American Recovery Act and Reinvestment Act of 2009, Public Law 111-5; Healthy Hunger Free Kids Act, Public Law 111-296; American Taxpayer Relief Act, Public Law 112-240.

OBJECTIVES:

Improve the nutrition levels of low-income households by ensuring access to nutritious, healthful diets through the provision of nutrition education and nutrition assistance through the issuance of monthly benefits for the purchase of

food at authorized retailers.

The Healthy Initiatives Pilot (HIP) is investigating the impact of making fruits and vegetables more affordable for participants in SNAP. The Food, Conservation, and Energy Act of 2008 authorized funds for pilot projects to determine if financial incentives provided to SNAP recipients at the point-of-sale increase the consumption of targeted fruits and vegetables or other healthful foods. On the basis of this legislative authority, USDA designed HIP to promote the purchase and consumption of fruits and vegetables without added sugars, fats or oils.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

Households receive Supplemental Nutrition Assistance Program (SNAP) benefits for the purchase of food at authorized retailers and use an electronic benefit transfer (EBT) card, which operates like a debit card, to redeem benefits at authorized retailers. The amount of benefits varies according to household size, expenses, and income.

Under HIP, SNAP participants earn an incentive of 30 cents for every SNAP dollars they spend on targeted fruits and vegetables. The incentive is immediately credited to the household SNAP account and may then be spent on any SNAP-eligible foods and beverages. The incentive is capped at \$60 per household per month. The pilot is being implemented by the Massachusetts Department of Transitional Assistance (DTA) in Hampden County, Massachusetts. Recipients may only purchase food with their benefits and only from authorized retailers.

Applicant Eligibility:

SNAP is a Federal program administered by the States. The State or U.S. Territory agency responsible for Federally aided public assistance programs submits requests for funding to USDA's Food and Nutrition Service.

Beneficiary Eligibility:

Applications for SNAP are made through a local social services agency which determines eligibility and benefit amount. Eligibility is based on household size, income, and expenses. Eligibility is also based on assets, citizenship or legal immigration status, and other factors. A gross income test is based on 130 percent of the poverty line, and the net income (after expenses) test is based on 100 percent of the poverty line. Households with elderly and disabled members need only meet the net income test. The resource limit is \$2,000 (\$3,250 for a household with an elderly or disabled member). A total of 43 States use broad-based categorical eligibility to align the resource limits and gross income limits with other mean tested programs. Able-bodied adults with certain limited exceptions must meet a work requirement.

Credentials/Documentation:

Eligibility is determined by local social service offices based on National eligibility standards. Households must provide verification of identity, income, and resources. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. The Food and Nutrition Act of 2008, as amended, requires each State agency to submit to the Food and Nutrition Service for approval, a plan of operation specifying the manner in which SNAP will be conducted in every political subdivision within the State.

Award Procedure:

The Secretary of Agriculture approves State plans of operation submitted by the State agency that operates public assistance programs.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Full implementation of the Nationwide mandate was completed in fiscal year 1975, except for certain Indian reservations which continued in the Food Distribution Program.

Appeals:

Other - Not Specified.

Renewals:

Program continues once approved, unless there is a substantial failure to comply with regulations or withdrawal on the part of the State.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. Necessary, reasonable, and allowable administrative expenses by State agencies are reimbursed by the Federal government at approximately 50 percent.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Length of assistance period varies and time phasing is not applicable. See the following for information on how assistance is awarded/released: Monthly allotments are made available to eligible individuals and households through EBT cards which operate like debit cards.

Reports:

Annual budget summary statements; monthly and annual quality control reports; quarterly financial status report; quarterly report on claims against households; monthly issuance reconciliation report; monthly issuance and participation estimates; report of disqualified recipients; and cumulative totals for the fiscal year. Quarterly financial status report; quarterly report on claims against households; monthly issuance reconciliation report are all required. Monthly and annual quality control reports; quarterly financial status report; quarterly report on claims against households; monthly issuance reconciliation report; monthly issuance and participation estimates; report of disqualified recipients. Quarterly financial status report; quarterly report on claims against households; monthly issuance reconciliation report; monthly issuance and participation estimates; and cumulative totals for the fiscal year. Monthly and annual quality control reports; quarterly financial status report; quarterly report on claims against households; monthly issuance reconciliation report; monthly issuance and participation estimates; report of disqualified recipients; and cumulative totals for the fiscal year.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Programs operated by the State or on behalf of the State are subject to audit by Office of Inspector General, USDA.

Records:

Records of households certified, benefits issued, and records relating to any financial transaction are required and must be maintained for 3 years.

Account Identification:

12-3505-0-1-605.

Obligations:

(Salaries) FY 12 \$524,000; FY 13 est \$3,128,000; and FY 14 est \$0 - These obligations relate to funding for the Healthy Incentives Program (HIP). These are multi-year funds. (Formula Grants) FY 12 \$70,334,648,000; FY 13 est \$68,708,255,000; and FY 14 est \$66,088,611,000. (Formula Grants) FY 12 \$8,290,823,000; FY 13 est \$6,690,929,000; and FY 14 est \$452,585,000 - Benefits under the American Recovery and Reinvestment Act.

Range and Average of Financial Assistance:

Varies by income and household size. The average benefit for a household of 4 in FY12 was \$278.48.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: USDA works on behalf of American taxpayers to protect the

Federal investment in the Supplemental Nutrition Assistance Program (SNAP) and make sure the program is targeted towards those families who need it the most. SNAP fraud is at an historic low due to increased oversight and improvements to program management. The national SNAP payment accuracy rate is 96.2% and the trafficking rate has been reduced over the past 15 years from about 4% in 1993 to about at 1%. Fiscal Year 2013: The HIP is being evaluated using a rigorous research design and a final report is expected in FY13. Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR 271-282.

Additional publications may be available at www.fns.usda.gov/snap.

Regional or Local Office:

See Regional Agency Offices. See the Food and Nutrition Service Regional Offices listed in Appendix IV of the Catalog.

Headquarters Office:

Associate Administrator Jessica Shahin, Supplemental Nutrition Assistance Program, Food and Nutrition Service, Department of Agriculture, 3101 Park Center Dr., Alexandria, Virginia 22302 Email: Jessica.Shahin@fns.usda.gov Phone: (703) 305-2022. Fax: (703) 305-2454.

Website Address:

<http://www.fns.usda.gov/snap/>

RELATED PROGRAMS:

10.566 Nutrition Assistance For Puerto Rico; 10.567 Food Distribution Program on Indian Reservations

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: The pilot is being implemented by the Massachusetts Department of Transitional Assistance (DTA) in Hampden County, Massachusetts. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.553 SCHOOL BREAKFAST PROGRAM

FEDERAL AGENCY:

Food and Nutrition Service, Department of Agriculture

AUTHORIZATION:

Child Nutrition Act of 1966, as amended, 42 U.S.C. 1773, 1779, 1793, Public Laws 104-193, 100-435, 99-661, 97-35. American Recovery and Reinvestment Act of 2009, Public Law 111-5. School Breakfast Expansion Grants are authorized by the Child Nutrition Act of 1966 as amended, 42 U.S.C. 1793.

OBJECTIVES:

To assist States in providing a nutritious nonprofit breakfast service for school children, through cash grants and food donations.

TYPES OF ASSISTANCE:

Formula Grants (Apportionments)

USES AND USE RESTRICTIONS:

Federally appropriated School Breakfast Program funds are available to each State agency to reimburse participating public and nonprofit private schools, of high school grade and under, including residential child care institutions, for breakfasts meeting the nutritional requirements prescribed by the Secretary of Agriculture, served to eligible children. The rates of reimbursement are adjusted on an annual basis to reflect changes in the Food Away From Home series of the Consumer Price Index for all Urban Consumers. All participating schools must agree to serve free and reduced price meals to eligible children. Please refer to regulations: 7 CFR Part 210 -- National School Lunch Program; 7 CFR Part 215 -- Special Milk Program; 7 CFR Part 220 -- School Breakfast Program; 7 CFR Part 235 -- State Administrative Expense; 7 CFR Part 245 -- Free and Reduced Price Eligibility.

Applicant Eligibility:

State and U.S. Territory agencies (except territories subject to the requirements to the Compact of Free Association); public and nonprofit private schools of

high school grade and under; public and nonprofit private residential child care institutions, except Job Corps centers. All schools that participate must agree to serve free and reduced price meals to eligible children, and operate a nonprofit breakfast program that is available to all children regardless of race, sex, color, national origin, age, or disability.

Beneficiary Eligibility:

All children attending schools where this program is operating may participate. Breakfast is served free to children who are determined by local education agencies to have household income levels at or below 130 percent, and at a reduced price to children from households with incomes higher than 130 but at or below 185 percent of the Federal poverty line, respectively. Meals served to non-needy children also get cash assistance. Such determinations are made in accordance with income eligibility guidelines prescribed by the Secretary of Agriculture and cross reference household income with household size. The Secretary prescribes these income eligibility guidelines by July 1. The statistical source used in making such revisions is the Federal Income Poverty Guidelines.

Children from households certified to receive benefits through the Supplemental Nutrition Assistance Program (SNAP), Food Distribution Program on Indian Reservations, or Temporary Assistance for Needy Families, and children in Head Start programs are automatically eligible for free meals. Homeless children, children in certain runaway and homeless youth grant programs, migrant children, and foster children are automatically eligible for free meals.

Credentials/Documentation:

Applicant organizations must furnish evidence of nonprofit status. Costs will be determined in accordance with USDA Uniform Federal Assistance Regulations (7 CFR Part 3015 or 3016 and 3019). OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Public schools in all States make application to the State education agency unless the State applies or is approved for a waiver to designate an alternate agency. Appropriate forms may be obtained from this agency. Nonprofit private schools should also apply to the State education agency in most States. In some States, however, the State education agency is prohibited by statute from disbursing Federal funds to nonprofit private schools. In such instances, the application will be referred to the alternate State agency or the appropriate Food and Nutrition Service (FNS) regional office.

Award Procedure:

When an application is approved, the school makes a written agreement with the appropriate agency.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Effective upon approval by the State Agency.

Appeals:

The State agency is responsible for determining a school's eligibility.

Renewals:

Permanent, amended as necessary.

Formula and Matching Requirements:

Statutory Formula: The funding formula for cash assistance is set forth in section 4 of the Child Nutrition Act, 42 U.S.C. 1773, on a performance basis by: (1) multiplying the number of paid breakfasts served to eligible children during the fiscal year by a National Average Payment (NAP) prescribed by the Secretary of Agriculture; (2) multiplying the number of breakfasts served free

to eligible children by a NAP prescribed by the Secretary for free breakfasts; and, (3) multiplying the number of reduced price breakfasts served to eligible children by a NAP prescribed by the Secretary for reduced priced breakfasts. The amount of Federal funds given the grantee is the sum of the products obtained from these three computations.

Schools with a high percentage of needy may receive additional payments. The statistical factors used in this formula are: (1) The NAPs; (2) the number of paid breakfasts served; and (3) the number of breakfasts served free or at reduced price to eligible children. The NAPs are prescribed by the Secretary and adjusted each July 1, in accordance with the Food Away From Home series of the Consumer Price Index for All Urban Consumers. The source of this adjustment factor is the Bureau of Labor Statistics. The source of the numbers of breakfasts served, including the number served free or at reduced price, is the monthly programmatic reports submitted to FNS.

Matching requirements are not applicable to this program.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

For the period covered by the agreement on a fiscal year basis. Method of awarding/releasing assistance: by letter of credit.

Reports:

State Agencies, schools, and institutions file monthly reports on the number of meals served, by type, to claim program funds. Schools and institutions must submit final meal claims to the State no later than 60 days after claiming month. States must submit final program reports to FNS no later than 90 days after the claiming month. No cash reports are required. No progress reports are required. States must submit a final fiscal year grant closeout report to FNS no later than 120 days after the end of the fiscal year to which they pertain. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Schools must maintain full and accurate records of their breakfast programs. Such records must be retained for a period of 3 years after the end of the fiscal year to which they pertain, except that if audit findings have not been resolved, records must be retained beyond the 3-year period until audit findings have been resolved.

Account Identification:

12-3539-0-1-605.

Obligations:

(Formula Grants) FY 12 \$3,350,583,000; FY 13 est \$3,605,262,000; and FY 14 est \$3,842,895,000

Range and Average of Financial Assistance:

State grants vary according to participation in this program.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: 12.9 million children received breakfast through this program. 10.8 million children received breakfast free or at reduced price. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Program regulations are codified at 7 CFR Parts 220 and 245.

Regional or Local Office:

See Regional Agency Offices. See Appendix IV of the Catalog.

Headquarters Office:

Cynthia Long, 3101 Park Center Drive Room 640, Alexandria, Virginia 22302. Phone: (703) 305-2590.

Website Address:

<http://www.fns.usda.gov>

RELATED PROGRAMS:

10.555 National School Lunch Program; 10.556 Special Milk Program for Children; 10.558 Child and Adult Care Food Program; 10.559 Summer Food Service Program for Children

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.555 NATIONAL SCHOOL LUNCH PROGRAM

School Lunch

FEDERAL AGENCY:

Food and Nutrition Service, Department of Agriculture

AUTHORIZATION:

Richard B. Russell National School Lunch Act, as amended, 42 U.S.C. 1751 1760, 1779.

OBJECTIVES:

To assist States, through cash grants and food donations, in providing a nutritious nonprofit lunch service for school children and to encourage the domestic consumption of nutritious agricultural commodities.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Federally appropriated National School Lunch Program funds are available to each State agency to reimburse participating public and nonprofit private schools, of high school grades or under, including residential child care institutions, for lunches meeting the nutritional requirements prescribed by the Secretary of Agriculture, served to eligible children. Schools meeting eligibility criteria may be reimbursed for snacks served to children enrolled in eligible after school hour care programs. Participating schools are reimbursed at rates that are adjusted on an annual basis to reflect changes in the Food Away From Home series of the Consumer Price Index for All Urban Consumers. All participating schools must agree to serve free and reduced price meals to eligible children. Please refer to regulations: 7 CFR Part 210 -- National School Lunch Program; 7 CFR Part 215 -- Special Milk Program; 7 CFR Part 220 -- School Breakfast Program; 7 CFR Part 235 -- State Administrative Expense; 7 CFR Part 245 -- Free and Reduced Price Eligibility.

Applicant Eligibility:

State and U.S. Territory agencies (except territories subject to the Compact of Free Association), public and nonprofit private schools of high school grade and under; public and nonprofit private residential child care institutions, except Job Corps centers, residential summer camps that participate in the Summer Food Service Program, and private foster homes. Schools and residential child care institutions that participate must agree to operate a nonprofit food service that is available to all children regardless of race, sex, color, national origin, age, or disability.

Beneficiary Eligibility:

All children enrolled in schools where this program is operating may participate. Lunch is served free to children who are determined by local educational agencies to have household income levels at or below 130 percent of poverty, and at a reduced price to children from households with incomes higher than 130 but at or below 185 percent of the poverty line respectively. Such determinations are made in accordance with income eligibility guidelines prescribed by the Secretary of Agriculture and cross reference household income with household size. The Secretary announces these income eligibility guidelines by July 1. The statistical source used in making such revisions is the Federal Income Poverty Guidelines.

Children from households certified to receive Supplemental Nutrition Assistance Program (SNAP) benefits, the Food Distribution Program on Indian Reservations, Temporary Assistance for Needy Families, and children in Head Start Programs are automatically eligible for free meals. Homeless children, children in certain runaway and homeless youth grant programs, migrant children, and foster children are also automatically eligible for free meals.

Credentials/Documentation:

Applicant organizations must furnish evidence of nonprofit status. Costs will be determined in accordance with USDA Uniform Federal Assistance Regulations (7 CFR Part 3015 or 3016 and 3019). OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

The application forms as furnished by the Food and Nutrition Service (FNS) or the State agency, as applicable, must be used for this program. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Public schools in all States make application to the State educational agency unless the State applies and is approved for a waiver to designate an alternate agency. Appropriate forms may be obtained from this agency. Nonprofit private schools should also apply to the State educational agency in most States. In some States, however, the State educational agency is prohibited by statute from disbursing Federal funds to nonprofit private schools. In such instances, the application should be submitted to the alternate State agency or the appropriate FNS Regional Office.

Award Procedure:

The State agency reviews the written application of a school or a residential child care institution and, upon determination of eligibility, makes a written agreement with the school or institution for participation in the program.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Effective upon approval by the State agency.

Appeals:

The State agency is responsible for determining a school's eligibility.

Renewals:

Permanent, amended as necessary.

Formula and Matching Requirements:

Statutory Formula: The funding formula for the general cash for food assistance phase of this program is set forth in section 4 of the Richard B. Russell National School Lunch Act, 42 U.S.C. 1753; the formula for special cash assistance is described in section 11 of the Act, 42 U.S.C.1759a. This program is funded on a performance basis. For every lunch served during the applicable fiscal year, the State receives a payment from funds made available under section 4. In addition, the State receives a payment from section 11 funds for each lunch served to a child eligible for free or reduced price lunches. The amounts of such per lunch payments are determined by "National Average Payment" (NAP) factors. The amount due the State under this program is obtained by: (1) multiplying the total number of eligible paid lunches and free and reduced price lunches served during the fiscal year by the section 4 NAP factor for lunches; (2) multiplying the number of free lunches served by the section 11 NAP factor for free lunches; (3) multiplying the number of lunches served at reduced price by the Section 11 NAP factor for reduced price lunches; (4) multiplying the total number of lunches served during the fiscal year in school food authorities which served 60 percent or more free or reduced price lunches in the second preceding school year by \$.02; and (5) adding together the four products obtained thereby. The NAP factors are prescribed by the Secretary of Agriculture in accordance with sections 4 and 11, respectively, of the Act; the numbers of lunches are obtained from programmatic reports submitted to FNS. In addition to the NAP factors, lunches certified as meeting updated meal patterns and nutrition standards receive an additional 6 cents in reimbursement. The funding formula for snacks in after school care programs is described in section 17A of the Act, 42, U.S.C. 1766a. Section 11(a)(3) of the Act requires that the NAP factors be adjusted annually, according to changes in the Food Away From Home series of the Consumer Price Index for All Urban Consumers; the source is the Bureau of Labor Statistics. Each State must

appropriate from State revenues and use, for program purposes, an amount equal to 30 percent of the section 4 funds made available to the State in the 1980-81 school year. Also, commodity schools are eligible to receive donated commodities equal in value to the sum of section 6 and section 4 assistance rates, except that up to 5 cents of this amount may be received in cash for processing and handling commodities. In addition, commodity schools are eligible for section 11 free and reduced price meal reimbursements.

This program has no matching requirements.

This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

For the period covered by the agreement on a fiscal year basis. Method of awarding/releasing assistance: by letter of credit.

Reports:

State agencies and schools file monthly reports on the number of meals served, by type, to claim program funds. School food authorities must submit final meal claims to the State no later than 60 days after the claiming month, and States must submit final program reports to FNS no later than 90 days after the claiming month. States must submit final fiscal year grant close out reports to FNS within 120 days after the end of the fiscal year to which they pertain. Annually, school food authorities must report, to their State agency, the most common charge for lunches served to children who do not qualify for free or reduced price lunches. School food authorities report the price charged at the elementary, middle and high levels, as applicable. In turn the State agency submits this information to FNS for publication. No cash reports are required. No progress reports are required. States must submit final fiscal year grant close out reports to FNS within 120 days after the end of the fiscal year to which they pertain. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audits may be conducted less frequently under conditions specified in OMB Circular No. A-133.

Records:

Schools must maintain full and accurate records of their lunch programs. Such records shall be retained for a period of 3 years after the end of the fiscal year to which they pertain, except that if audit findings have not been resolved, the records shall be retained beyond the 3-year period as long as required for the resolution of audit findings. School food authorities must maintain records for State agency review relating to the average price they charge for full-price school lunches relation to the requirement for paid lunch pricing equity. In addition, school authorities must maintain records showing revenue generated by nonprogram foods (for example, a la carte items sold to students or meals sold to teachers and staff) in relation to the food costs for program and nonprogram foods.

Account Identification:

12-3539-0-1-605.

Obligations:

(Formula Grants) FY 12 \$10,426,831,000; FY 13 est \$11,463,312,000; and FY 14 est \$11,717,584,000

Range and Average of Financial Assistance:

State grants vary according to participation in this program.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: 31.6 million children received lunch at 100,000 schools and residential child care institutions. 21.5 million children received lunch free or at reduced price. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Program regulations are codified at 7 CFR Parts 210 and 245.

Regional or Local Office:

See Regional Agency Offices. See Appendix IV of the Catalog.

Headquarters Office:

Cynthia Long, Food and Nutrition Service, 3101 Park Center Drive Room 640, Alexandria, Virginia 22302. Phone: 703-305-2590.

Website Address:

<http://www.fns.usda.gov>

RELATED PROGRAMS:

10.553 School Breakfast Program; 10.556 Special Milk Program for Children; 10.559 Summer Food Service Program for Children; 10.574 Team Nutrition Grants; 10.582 Fresh Fruit and Vegetable Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.556 SPECIAL MILK PROGRAM FOR CHILDREN

FEDERAL AGENCY:

Food and Nutrition Service, Department of Agriculture

AUTHORIZATION:

Child Nutrition Act of 1966, as amended, 42 U.S.C. 1772 and 1779.

OBJECTIVES:

To provide subsidies to schools and institutions to encourage the consumption of fluid milk by children.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Funds are made available to State agencies to encourage the consumption of fluid milk by children in public and private nonprofit schools of high school grade and under, public and private nonprofit nursery schools, child care centers, settlement houses, summer camps, and similar nonprofit institutions devoted to the care and training of children, except Job Corps centers, provided that these schools and institutions do not participate in a meal service program authorized under the National School Lunch Act or the Child Nutrition Act of 1966. The Child Nutrition Amendments of 1986 expanded eligibility to include children in split session kindergarten and pre-kindergarten programs in nonprofit schools and institutions that do not have access to the Federal meal service program operating in schools the children attend. Disbursement to States is made on the basis of the number of half-pints of milk served to non-needy children, using a reimbursement rate specified by law. Milk served free to eligible needy children is reimbursed at the average cost of a half-pint of milk. Please refer to regulations: 7 CFR Part 215 -- Special Milk Program; 7 CFR Part 235 -- State Administrative Expense; 7 CFR Part 245 -- Free and Reduced Price Eligibility.

Applicant Eligibility:

The State or U.S. Territory (except territories subject to the requirements of the Compact of Free Association) administers this program. Public and nonprofit private school of high school grade or under, and public and private nonprofit residential and nonresidential child care institutions, except Job Corps centers, may participate in this program upon request if they do not participate in a meal service program authorized under the Richard B. Russell National School Lunch Act or the Child Nutrition Act of 1966. This generally includes nonprofit nursery schools, child care centers, settlement houses and summer camps. Schools with split session kindergarten and pre-kindergarten programs can receive subsidies for milk served to children in the split session kindergartens and pre-kindergartens that do not have access to another meal service program operating in the school. All schools and child care institutions that participate must agree to operate this program on a nonprofit basis for all children without regard to race, sex, color, national origin, age or disability.

Beneficiary Eligibility:

All children enrolled in participating schools and institutions who do not have access to other Child Nutrition Programs, may participate in this program.

Credentials/Documentation:

Applicant organizations must furnish evidence of nonprofit status. Costs will be determined in accordance with USDA Uniform Federal Assistance Regulations

(7 CFR Part 3015 or 3016 and 3019). OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Public schools in all States make application to the State educational agency unless the State applies and is approved a waiver to designate an alternate agency. Appropriate forms may be obtained from this agency. In most cases, nonprofit private schools and institutions also apply to the State educational agency. However, in some States, the State educational agency is prohibited by State statute from disbursing Federal funds to nonprofit private schools and institutions. In such instances, the application will be referred to the appropriate alternate State agency or the appropriate Food and Nutrition Service Regional Office (FNSRO).

Award Procedure:

The State agency reviews the written application of the school or child care institution and, upon determination of eligibility, makes a written agreement for participation in this program.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Agreements are effective upon approval of the State Agency.

Appeals:

State Agencies are responsible for determining eligibility of a school or institution.

Renewals:

Agreements are permanent; amended as necessary.

Formula and Matching Requirements:

Statutory Formula: Section 3(a) of the Child Nutrition Act of 1966, as amended, 742 U.S.C. 1772, describes the funding formula for cash assistance. The reimbursement rate for each paid half-pint of milk served to children is adjusted each school year to correspond to the change in the Producer Price Index for Fluid Milk Products published by the Bureau of Labor Statistics. The statistical factor used for beneficiary eligibility for free milk is 130 percent of the poverty line and the source is the Federal income poverty guidelines. The cost of milk in excess of the Federal reimbursement must be borne by sources within the States (7 CFR Part 215). Disbursement to States is based on the number of half-pints served to children.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

For the period covered by the agreement on a fiscal year basis. Method of awarding/releasing assistance: by letter of credit.

Reports:

State agencies, schools, and institutions file monthly reports on program operations to claim reimbursement. Schools must submit final claims to the State agency no later than 60 days after the claiming month, and States must submit final program reports to the Food and Nutrition Service no later than 90 days after the claiming month. For each fiscal year, States must submit final grant close out reports to the Food and Nutrition Service not later than 120 days after the close of the fiscal year to which they pertain. No cash reports are required. No progress reports are required. For each fiscal year, States must submit final grant close out reports to the Food and Nutrition Service not later than 120 days after the close of the fiscal year to which they pertain. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Schools and institutions must maintain full and accurate records of Special Milk Program operations. Such records must be retained for a period of 3 years after the end of the fiscal year to which they pertain except that, if audit findings have not been resolved, the records must be retained as long as required for their resolution. This program is subject to periodic audits.

Account Identification:

12-3539-0-1-605.

Obligations:

(Formula Grants) FY 12 \$12,629,000; FY 13 est \$11,077,000; and FY 14 est \$10,778,000

Range and Average of Financial Assistance:

In school year 2014, average federal assistance for half-pints of milk served free is 20.25 cents. State grants vary according to participation in this program.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: 4,700 schools, institutions, and camps served 60.6 million half-pints of milk. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Program regulations are codified at 7 CFR Parts 215 and 245.

Regional or Local Office:

See Regional Agency Offices. See Appendix IV of the Catalog.

Headquarters Office:

Cynthia Long, 3101 Park Center Drive Room 640, Alexandria, Virginia 22302. Phone: (703) 305-2590.

Website Address:

<http://www.fns.usda.gov>

RELATED PROGRAMS:

10.553 School Breakfast Program; 10.555 National School Lunch Program; 10.558 Child and Adult Care Food Program; 10.559 Summer Food Service Program for Children

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.557 SPECIAL SUPPLEMENTAL NUTRITION PROGRAM FOR WOMEN, INFANTS, AND CHILDREN

(WIC Program)

FEDERAL AGENCY:

Food and Nutrition Service, Department of Agriculture

AUTHORIZATION:

Child Nutrition Act of 1966, as amended, Section 17, 42 U.S.C. 1786. Healthy, Hunger-Free Kids Act of 2010, Public Law 111-296, 7 U.S.C 1746.

OBJECTIVES:

To provide low-income pregnant, breastfeeding and postpartum women, infants, and children to age five who have been determined to be at nutritional risk, supplemental nutritious foods, nutrition education, and referrals to health and social services at no cost. WIC also promotes breastfeeding as the feeding method of choice for infants, provides substance abuse education and promotes immunization and other aspects of healthy living.

The Food and Nutrition Service (FNS) makes funds available to participating State health agencies and Indian Tribal Organizations (ITOs) that, in turn,

distribute the funds to participating local agencies. State and local agencies use WIC funds to pay the costs of specified supplemental foods provided to WIC participants, and to pay for specified nutrition services and administration (NSA) costs, including the cost of nutrition assessments, blood tests for anemia, nutrition education, breastfeeding promotion and health care referrals.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

WIC Program grants are made to State health departments or comparable agencies, Indian tribes, bands, or intertribal councils, or groups recognized by the Bureau of Indian Affairs, Department of the Interior, or the Indian Health Service of the Department of Health and Human Services. These agencies distribute funds to participating local public or nonprofit private health or welfare agencies. Funds are expended to pay for supplemental foods, nutrition education, breastfeeding promotion and support, health care referrals for participants and other client services including certification, as well as administrative costs. State agencies are provided Federal funds according to legislative and regulatory formulas. Only local agencies qualifying under State agency applications with formal agreements may operate WIC programs.

Applicant Eligibility:

A local agency is eligible to apply to deliver locally the services of the WIC Program, provided that: (1) it serves a population of low-income women, infants, and children at nutritional risk; and (2) it is a public or private nonprofit health or human service agency. All local agencies must apply through the responsible State, Indian Tribal Organization or U.S. Territory agency.

Beneficiary Eligibility:

Pregnant, breastfeeding and postpartum women, infants, and children up to 5 years of age are eligible if: (1) they are individually determined by a competent professional to be in need of the special supplemental foods supplied by the program because of nutritional risk; and (2) meet an income standard, or receive or have certain family members that receive benefits under the Food Stamp, Medicaid or Temporary Assistance for Needy Families Programs. They must also reside in the State in which benefits are received.

Credentials/Documentation:

Individuals are certified as meeting an income standard, or as participating in certain other means-tested Federal programs. Certification regarding nutritional need for supplemental foods is determined by local level professionals. As of April 1999, all State agencies were using uniform criteria to determine nutrition risk. Costs are determined in accordance with OMB Circular No. A-87 for State and local governments. The State designated official responsible for ensuring that the program is operated in accordance with program requirements must sign the State Plan and Federal-State Agreement pursuant to program regulations. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Local agencies and retailers must apply to the State agency in writing. Individual participants apply for WIC benefits at an approved local agency. State agencies must submit required documentation to FNS.

Award Procedure:

WIC Program funds are awarded by the Department on the basis of funding formulas to State agencies for distribution to approved local agencies subject to available funds.

Deadlines:

Aug 15, 2013 A State plan for the next fiscal year is required by August 15. Only substantive changes to the currently approved State plan must be submitted.

Range of Approval/Disapproval Time:

Approval determination for the WIC Program is made within 30 days of submission of a completed plan or amendment.

Appeals:

For the WIC Program, local agency or food vendor appeals: The State agency provides a hearing appeal procedure for a food vendor or local agency adversely affected by certain State or local agency actions. The adverse action may be postponed until a hearing decision is reached. Participant appeals: The State agency provides a hearing appeal procedure for any individual that receives a State or local agency action that results in a claim for repayment of the cash value of improperly issued benefits, denial of participation, or disqualification from the WIC Program.

Renewals:

The WIC program is authorized through September 30, 2015; it presently operates under the authority of the Child Nutrition Act of 1966, as amended.

Formula and Matching Requirements:

Statutory Formula: Title 7, Part 246, Public Law Child Nutrition Act of 1966, as amended. Grants are allocated on the basis of formulas determined by the Department of Agriculture which allocate funds for food benefits, nutrition services and administration costs.

This program has no matching requirements. No matching funds are required but some States contribute nonfederal funds in support of a larger WIC Program in their State.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants are released using an electronic transfer system. State agencies may withdraw funds only as needed. Method of awarding/releasing assistance: quarterly.

Reports:

Program reports: Monthly participation and value of food or food instruments issued. Cash reports: Operating expenses and funds withdrawn from the Federal Letter of credit. Progress reports: Monthly Participation. Expenditure reports: Operating expenses and funds withdrawn from the Federal Letter of credit. Performance Monitoring: Financial Management Reviews and Management Evaluations.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Full and complete records concerning program operations including financial operations, food delivery systems, food instrument issuance and redemption, equipment purchases and inventory, certification, nutrition education, civil rights and fair hearing procedures. Such records must be retained for a period of 3 years after the date of submission of the final report for the fiscal year to which the records pertain, except that if audit findings have not been resolved, the records shall be retained beyond the three year period as long as required for the resolution of the issues raised by the audit.

Account Identification:

12-3510-0-1-605.

Obligations:

(Salaries) FY 12 \$6,706,167,000; FY 13 est \$7,023,697,000; and FY 14 est \$7,127,766,000 - Explanation of Obligations:

Administrative costs - \$1,925,893,000; Food costs - \$4,780,274,000.

FY13 Administrative costs - \$1,961,753,000; Food costs - \$5,061,944,000 (estimated).

FY14 Administrative costs - \$2,021,615; Food costs - \$5,106,151,000 (estimated).

Range and Average of Financial Assistance:

For fiscal year 2012, FNS approved the operation of the WIC Program in 90

State agencies. This figure includes 50 States, 34 Indian agencies, Puerto Rico, the Virgin Islands, Guam, American Samoa, the Commonwealth of the Northern Marianas and the District of Columbia. During fiscal year 2012, an average of approximately 8,907,840 women, infants and children received WIC benefits every month. Although food package costs varied widely among the States, the monthly average food package cost for fiscal year 2012 was approximately \$44.98 per person.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: The WIC program provided nutritious supplemental foods and nutrition education, including breastfeeding promotion and support, to an average of 8,907,840 participants per month. The number of infants participating in the WIC Program who were fully breastfed by their moms increased from 11.2% in 2011 to 12.1% in 2012. There were 49,978 WIC authorized vendors available for WIC participants to readily obtain food benefits. Fiscal Year 2013: No Current Data Available. Fiscal Year 2014: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 246; "WIC State Plan Guidance" is available at no charge from FNS.

Regional or Local Office:

See Regional Agency Offices. See Food and Nutrition Service regional offices listed in Appendix IV of the Catalog.

Headquarters Office:

Debra R. Whitford Food and Nutrition Service, Supplemental Food Programs Division, 3101 Park Center Drive, Alexandria, Virginia 22302 Email: Debbie.Whitford@fns.usda.gov Phone: (703) 305-2746 Fax: (703) 305-2196.

Website Address:

<http://www.fns.usda.gov/wic>.

RELATED PROGRAMS:

10.551 Supplemental Nutrition Assistance Program; 10.556 Special Milk Program for Children; 10.565 Commodity Supplemental Food Program; 10.572 WIC Farmers' Market Nutrition Program (FMNP); 93.283 Centers for Disease Control and Prevention_ Investigations and Technical Assistance; 93.600 Head Start

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.558 CHILD AND ADULT CARE FOOD PROGRAM

CACFP

FEDERAL AGENCY:

Food and Nutrition Service, Department of Agriculture

AUTHORIZATION:

Richard B. Russell National School Lunch Act, Sections 9, 11, 14, 16 and 17, as amended, 89 Stat. 522-525, 42 U.S.C. 1758, 1759a, 1762a, 1765 and 1766.

OBJECTIVES:

To assist States, through grants-in-aid and other means, to initiate and maintain nonprofit food service programs for children and elderly or impaired adults enrolled in nonresidential day care facilities, children attending afterschool care programs in low-income areas, and children residing in emergency shelters. This program provides aid to child and adult care institutions and day care homes for the provision of nutritious foods that contribute to the wellness, healthy growth, and development of young children, and the health and wellness of older adults and chronically impaired disabled persons.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Funds are made available for disbursement to eligible institutions to reimburse their costs in providing meals and snacks to children and adults enrolled in nonresidential day care, children attending afterschool care programs, and children residing in emergency shelters. Disbursement is made on the basis of

the number of breakfasts, lunches, suppers, and snacks served, using annually adjusted reimbursement rates specified by law. This program allows reimbursement for up to two meals and one snack served each day to children through the age of 12, children of migrant workers through the age of 15, and persons with disabilities, in child care centers and day care homes. Adult day care centers receive reimbursement for up to three meals served each day to enrolled adults who are functionally impaired or age 60 and older. This program also provides reimbursement to emergency shelters for up to three meals served each day to residents age 18 and younger. In at-risk afterschool care programs, reimbursement is available for one snack and an additional meal served each day, during the regular school year, to children through the age of 18. All program meals must meet the United States Department of Agriculture (USDA) standards to be eligible for reimbursement. Funds are also paid to States for administrative expenses related to program staffing and oversight.

Applicant Eligibility:

The State or U.S. Territory agency applies for and signs an agreement to receive Federal funds for disbursement. The State agency enters into an agreement with each institution that has been approved for participation. The agreement is permanent and may be amended as necessary to ensure compliance with all Federal requirements. Institutions must agree to operate a nonprofit food service that is available to all eligible children and adult participants regardless of race, sex, color, national origin, age, or disability.

Beneficiary Eligibility:

Approved institutions providing nonresidential day care services may participate in this program. Eligible public and nonprofit private organizations may include day care centers, outside-school-hours care centers, settlement houses, family and group day care homes, Head Start programs, and institutions providing day care services to children with disabilities. Private for-profit centers may also participate if at least 25 percent of the children in care (enrolled or licensed capacity, whichever is less) are eligible for free or reduced price school meals or receive benefits under Title XX of the Social Security Act. Also eligible for participation are nonprofit centers which provide nonresidential adult day care, and private for-profit centers if the center receives compensation under Title XIX of the Social Security Act or Title XX, and at least 25 percent of the adults enrolled in the center receive benefits under Title XIX, Title XX, or a combination of both. Emergency shelters which provide shelter and meals to children experiencing homelessness, and at-risk after school care programs in low-income areas are also eligible. Any eligible institution may participate in this program upon request with State agency approval.

Credentials/Documentation:

The allowability of costs incurred by States in administering this program will be determined in accordance with USDA Uniform Federal Assistance Regulations (7 CFR Parts 3016 and 3019). Applicants that are not public or proprietary institutions must furnish evidence of tax-exempt status under the Internal Revenue Code of 1986. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process required by the State. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Institutions apply to the responsible State agency. This program is subject to the provisions of USDA Uniform Federal Assistance Regulations (7 CFR Parts 3016 and 3019).

Award Procedure:

When the application is approved, the institution signs an agreement with the administering agency.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

The State agency must make a decision within 30 calendar days of receiving a complete application from the institution.

Appeals:

The administering agency must provide a hearing procedure for local institution grievances.

Renewals:

The agreement is permanent and may be amended as necessary to ensure compliance with all Federal requirements.

Formula and Matching Requirements:

Statutory Formula: Section 17(b) of the Richard B. Russell National School Lunch Act, 42 U.S.C. 1766, describes the funding formula for cash assistance. Program funds are provided to the States through letters of credit. The States, in turn, use the funds to reimburse institutions for costs of food service operations and to support State administrative expenses. Appropriate rates of reimbursement, multiplied by the number of meals served to enrolled participants, represent the basic program payment that an institution receives for each meal served. The assigned rates of reimbursement are adjusted annually on July 1. For child care centers, adult day care centers, emergency shelters, and at-risk after school care programs, the annual adjustment reflects changes in the Food Away from Home series of the Consumer Price Index for All Urban Consumers. For day care homes, the adjustment reflects changes in the Food at Home series of the Consumer Price Index for All Urban Consumers. Donated foods or cash in lieu of donated foods are also made available. Program payments to child care or adult day care centers depend on the number and types of meals served to enrolled participants, multiplied by the appropriate rate of reimbursement. Rates for meals served to enrolled children and eligible adults in day care centers are determined by the participants' eligibility for free, reduced price, or paid meals using USDA Income Eligibility Guidelines. All resident children age 18 and younger in eligible emergency shelters receive free meals without application. At-risk after school care programs, which must be located in low-income areas, are reimbursed at the free rate for all meals and snacks served to children through age 18. Sponsoring organizations of day care homes are reimbursed at a national administrative rate based on the number of homes they operate. The level of reimbursement for meals served to enrolled children in day care homes is determined by economic need based on either the location of the day care home, the income of the day care provider, or the income of an individual child's household. Meals served in day care homes to the provider's own children are reimbursable only if those children are determined eligible for free and reduced price meals, and at least one other nonresident child is participating in the meal service. The reimbursement for food service is passed on by the sponsoring organization to the day care facility. Matching requirements are not applicable to this program. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

For the period covered by the agreement. Method of awarding/releasing assistance: by letter of credit.

Reports:

Institutions file monthly reports on program operations to claim reimbursement for meals served. They must submit final meal claims no later than 60 days after the claiming month. States must then submit final program reports to the Food and Nutrition Service no later than 90 days after the claiming month. No cash reports are required. No progress reports are required. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audits may be conducted less frequently under conditions specified in OMB Circular No. A-133. For-profit

institutions are subject to audit by their administering State agencies.

Records:

Institutions must maintain full and accurate records of program operations for a period of 3 years after the end of the fiscal year to which they pertain. However, where there are unresolved audit findings, records must be retained until there is satisfactory resolution of all audit issues.

Account Identification:

12-3539-0-1-605.

Obligations:

(Formula Grants) FY 12 \$2,846,404,000; FY 13 est \$2,937,395,000; and FY 14 est \$3,052,176,000 - Audit funds, not included in the above totals, have been made available to each State for the expense of conducting audits and reviews of this program under 7 CFR 226.8: FY 2012: \$390,000; FY 2013: \$132,160; FY 2014 est.: \$0.

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: 23,000 child and adult care institutions served 1.9 million meals. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Program regulations are codified at 7 CFR Part 226.

Regional or Local Office:

See Regional Agency Offices. See Appendix IV of the Catalog.

Headquarters Office:

Cynthia Long, 3101 Park Center Drive Room 640, Alexandria, Virginia 22302. Phone: 703-305-2590

Website Address:

<http://fns.usda.gov>

RELATED PROGRAMS:

10.553 School Breakfast Program; 10.555 National School Lunch Program; 10.556 Special Milk Program for Children; 10.559 Summer Food Service Program for Children; 93.600 Head Start

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.559 SUMMER FOOD SERVICE PROGRAM FOR CHILDREN

SFSP

FEDERAL AGENCY:

Food and Nutrition Service, Department of Agriculture

AUTHORIZATION:

Richard B. Russell National School Lunch Act, Sections 9, 13 and 14, as amended, 42 U.S.C. 1758, 1761 and 1762a.

OBJECTIVES:

To assist States, through grants-in-aid and other means, to conduct nonprofit food service programs for children. This program operates in partnership with the United States Department of Agriculture (USDA), State agencies, and local organizations to provide free meals to eligible children during the summer months and at other approved times, when school is not in session.

In addition there are discretionary grant initiatives supporting this program. The methods being tested through these demonstrations are: extending length of operation, additional funding for enrichment activities, meal delivery, weekend food backpacks, Supplemental Nutrition Assistance Program (SNAP) electronic benefit delivery system, and Special Supplemental Nutrition Program for Women, Infants and Children (WIC) electronic benefit delivery system.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Funds are made available for disbursement to eligible service institutions (sponsors) which provide free meals to children in areas where at least 50 percent of the children meet the income eligibility criteria for free and reduced price meals. Meals may be served to children 18 and younger, and to individuals over 18 who participate in State-approved school programs for persons with disabilities.

This program generally operates during the months of May through September at site locations where regularly scheduled food services are provided for children. Sites may also participate in this program from September through May if an area school is closed because of an emergency situation. Sponsors operating food programs for children on school vacation under a continuous year-round calendar may apply for participation in other months.

Reimbursement may be paid for one meal and one snack or two meals per child each day. Camps and sites primarily serving children of migrant workers may be approved to serve up to three reimbursable meals each day. Meals must meet USDA standards to be eligible for reimbursement. Funds are also paid to participating State agencies for administrative expenses related to program staffing, operation, and oversight.

Summer demonstration projects either address funding limitations that restrict participation or provide an alternate approach to summer feeding needed by children unable to access traditional congregate feeding sites. Assistance must be used for specific activities authorized by Child Nutrition legislation. There may be restrictions as required by the legislation.

Applicant Eligibility:

The State or U.S. Territory agency applies for and signs an agreement to receive Federal funds for disbursement. The State agency enters into an agreement with each sponsor that has been approved for participation. The agreement is permanent and may be amended as necessary to ensure compliance with all Federal requirements. Sponsors must agree to operate a nonprofit food service that is available to all eligible children regardless of race, sex, color, national origin, age, or disability.

Beneficiary Eligibility:

A service institution that conducts a regularly scheduled program for children from areas in which poor economic conditions exist is eligible to participate as a sponsor in this program. Sponsors include public or private nonprofit school food authorities; public or private nonprofit colleges or universities operating the National Youth Sports Program during the months of May to September; units of local, municipal, county, or State governments; and other faith or community-based private nonprofit organizations.

Public or private nonprofit residential or nonresidential summer camps may also participate. However, at camps, reimbursement will only be paid for meals served to enrolled children who are individually determined to be eligible for free and reduced price school meals under USDA Income Eligibility Guidelines.

Credentials/Documentation:

The allowability of costs incurred by States in administering this program will be determined in accordance with USDA Uniform Federal Assistance Regulations (7 CFR Parts 3016 and 3019). OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Service institutions may apply directly to the responsible State agency. Applicants that are not public institutions must furnish evidence of tax-exempt status under section 501(a) of the Internal

Revenue Code of 1986. This program is subject to the provisions of USDA Uniform Federal Assistance Regulations (7 CFR Parts 3016 and 3019).

Award Procedure:

When an application is approved, the sponsor signs an agreement with the administering agency.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

The State agency must make a decision within 30 calendar days of receiving a complete and correct sponsor application. If an incorrect application is received, the State agency must notify the applicant within 15 days and provide technical assistance to complete the application.

Appeals:

The State agency must have a hearing procedure for local institution grievances.

Renewals:

The agreement is permanent and may be amended as necessary to ensure compliance with all Federal requirements.

Formula and Matching Requirements:

Statutory Formula: Sections 13(b) and (k) of the Richard B. Russell National School Lunch Act, 42 U.S.C. 1761, describe the funding formulas for cash assistance. Cash reimbursement to States under this program is the product obtained by multiplying the number of meals served by maximum rates of reimbursement established by USDA. State agencies use the cash assistance to reimburse sponsors for eligible meals served to eligible children. Since all meals are served free, all meals of the same type are funded at the same rate. Rates are adjusted annually on January 1, to reflect changes in the "Food Away from Home" series of the Consumer Price Index for All Urban Consumers. Matching requirements are not applicable to this program. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Assistance is available for the period covered by the agreement. Method of awarding/releasing assistance: by letter of credit.

Reports:

Sponsors file monthly reports on program operations to claim reimbursement for meals served. States must then submit final program reports to the Food and Nutrition Service (FNS) no later than 90 days after the claiming month. No cash reports are required. No progress reports are required. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audits may be conducted less frequently under conditions specified in OMB Circular A-133.

Records:

Sponsors must maintain full and accurate records of program operations for a period of 3 years after the end of the fiscal year to which they pertain. However, where there are unresolved audit findings, records must be retained until there is satisfactory resolution of all audit issues.

Account Identification:

12-3539-0-1-605.

Obligations:

(Formula Grants) FY 12 \$399,860,000; FY 13 est \$435,893,000; and FY 14 est \$467,932,000 - Summer Food Service Program. (Project Grants (Discretionary)) FY 12 \$0; FY 13 est \$0; and FY 14 est \$0 - Summer Activity/Length of Operation Demonstration. (Project Grants (Discretionary)) FY 12 \$13,356,000; FY 13 est \$0; and FY 14 est \$0 - Summer Electronic Benefit Transfer-SNAP and WIC. (Project Grants (Discretionary)) FY 12 \$2,635,000; FY 13 est \$4,555,000; and FY 14 est \$0 - Summer Electronic Benefit Transfer-SNAP. (Project Grants (Discretionary)) FY 12 \$0; FY 13 est

\$0; and FY 14 est \$0 - Summer Food Backpacks Demonstration. (Project Grants (Discretionary)) FY 12 \$2,614,000; FY 13 est \$2,422,000; and FY 14 est \$0 - Summer Electronic Benefit Transfer-WIC. (Project Grants (Discretionary)) FY 12 \$0; FY 13 est \$0; and FY 14 est \$0 - Summer Home Delivery Demonstration.

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: 4,880 sponsors served 143.8 million meals in the Summer Food Service Program.

FNS awarded new grants for the Summer Electronic Benefit Transfer projects to Chickasaw Nation, Cherokee Nation, Nevada, Delaware and Washington. FNS also awarded new funds to Connecticut, Michigan, Texas, Missouri, and Oregon to continue their electronic benefit transfer projects for a second year. Arizona, Ohio, and Kansas continued the second year of their backpack projects and Delaware, New York, and Massachusetts continued the second year of their meal delivery projects.

The 2012, full implementation of the Summer EBT for Children demonstrations produced a one-third reduction in the prevalence of very low food security among children during the summer months when school meals are largely unavailable. Fiscal Year 2013: FNS awarded new funds to all grantees to continue their projects for an additional year. Fiscal Year 2014: The decision is pending on the continuation of the discretionary grant projects; \$32,950,000 remains of the funds allotted for demonstration projects.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program regulations are codified at 7 CFR Part 225.

Regional or Local Office:

See Regional Agency Offices. See Appendix IV of the Catalog.

Headquarters Office:

Cynthia Long, 3101 Park Center Drive Room 640, Alexandria, Virginia 22302. Phone: 703-305-2590.

Website Address:

<http://fns.usda.gov>

RELATED PROGRAMS:

10.553 School Breakfast Program; 10.555 National School Lunch Program; 10.556 Special Milk Program for Children; 10.558 Child and Adult Care Food Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: The demonstration projects - activity, length of operation, home delivery, and food backpacks -and the Summer Electronic Benefit Transfer projects differed in methods of implementation. Examples of these tested methods are found at <http://www.fns.usda.gov/research-and-analysis>. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.560 STATE ADMINISTRATIVE EXPENSES FOR CHILD NUTRITION

SAE

FEDERAL AGENCY:

Food and Nutrition Service, Department of Agriculture

AUTHORIZATION:

Child Nutrition Act of 1966, as amended, 42 U.S.C. 1776, 1779.

OBJECTIVES:

To provide each State agency with funds for its administrative expenses in supervising and giving technical assistance to local schools, school districts and institutions in their conduct of Child Nutrition Programs. State agencies that administer the distribution of USDA Foods to schools and child or adult care institutions are also provided with State Administrative Expense (SAE) funds.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

SAE funds must be used for purposes specified in the legislation, consistent with the cost principles and constraints on allowable and unallowable costs, and indirect cost rates as prescribed in OMB Circular No. A-87. These funds may be used, under certain conditions, for the procurement of supplies, equipment, and services.

Applicant Eligibility:

State agencies responsible for the conduct of Child Nutrition Programs, and agencies responsible for the distribution of USDA Foods to schools and child or adult care institutions, including agencies in the U.S. Territories.

Beneficiary Eligibility:

State agencies responsible for the conduct of Child Nutrition Programs, and agencies responsible for the distribution of USDA Foods to schools and child or adult care institutions, including agencies in the U.S. Territories.

Credentials/Documentation:

Costs are to be determined and documented in accordance with agency regulations (7 CFR 235) and USDA Uniform Federal Assistance Regulations (7 CFR Parts 3015 or 3016 and 3019). OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. The State agency submits an initial administrative plan for disbursement of funds to the Food and Nutrition Service (FNS). A State agency may amend its plan at any time to reflect changes in funding or activities. Substantive changes require FNS approval.

Award Procedure:

Release of funds to a State agency is contingent upon approval of the State agency's initial SAE plan. Upon approval of the plan, the State agency and FNS enter into a written agreement. FNS will determine the amount of SAE funds available for payment to the State agency, specify the terms and conditions of the State agency's annual grant, and make funds available for payment by means of a letter of credit.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Annually.

Formula and Matching Requirements:

Statutory Formula: Section 7(a) of the Child Nutrition Act of 1966, as amended, 42 U.S.C. 1776, describes the funding formula for cash assistance. For the National School Lunch Program, School Breakfast Program, and Special Milk Program, funds are allocated on the basis of an amount equal to one percent of the total funds used in the State for these programs during the second preceding year based on FNS program and fiscal data. However, no State receives less than \$200,000 or the amount it received in fiscal year 1981, whichever is larger. For the Child and Adult Care Food Program, a sliding grant is made based on FNS program and fiscal data. In addition, the Secretary of Agriculture has discretionary authority to provide funds to States for program improvement, based on FNS program and fiscal data. Matching requirements are not applicable to this program.

This program has MOE requirements, see funding agency for further details. Any reduction of funds from State sources below the fiscal year 1977 level will

result in a withdrawal of Federal funds under this grant.

Length and Time Phasing of Assistance:

Funding is provided each fiscal year during the period covered by the agreement. A State agency may carry over up to twenty percent of SAE funds allocated to it for obligation and expenditure during the succeeding fiscal year. Method of awarding/releasing assistance: by letter of credit.

Reports:

Each State agency reports information on the use of SAE funds. Cash reports are not applicable. Progress reports are not applicable. Each State agency submits quarterly financial status information on SAE funds on forms provided by FNS and will continue to report information on the use of SAE funds, after the end of the fiscal year to which they pertain, until all unpaid obligations have been liquidated. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audits may be conducted less frequently under conditions specified in Circular No. A-133.

Records:

Each State agency keeps records on administrative expenses conforming with the applicable SAE plan and maintains current accounting records of SAE funds that identify fund authorizations, obligations, unobligated balances, assets, liabilities, outlays, and income.

Account Identification:

12-3539-0-1-605.

Obligations:

(Formula Grants) FY 12 \$275,954,000; FY 13 est \$290,446,000; and FY 14 est \$247,544,000

Range and Average of Financial Assistance:

Range from \$38,374 to \$26,787,981.
Average \$2,734,125.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program regulations are codified at 7 CFR Part 235.

Regional or Local Office:

None. See Appendix IV of the Catalog.

Headquarters Office:

Cynthia Long, 3101 Park Center Drive Room 640, Alexandria, Virginia 22302.
Phone: 703-305-2590.

Website Address:

<http://www.fns.usda.gov>

RELATED PROGRAMS:

10.553 School Breakfast Program; 10.555 National School Lunch Program; 10.556 Special Milk Program for Children; 10.558 Child and Adult Care Food Program; 10.559 Summer Food Service Program for Children

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.561 STATE ADMINISTRATIVE MATCHING GRANTS FOR THE SUPPLEMENTAL NUTRITION ASSISTANCE PROGRAM

Supplemental Nutrition Assistance Program (Administrative Match)

FEDERAL AGENCY:

Food and Nutrition Service, Department of Agriculture

AUTHORIZATION:

Food and Nutrition Act of 2008, as amended, Section 16, Public Law 95-113, 91 Stat. 958, 7 U.S.C. 2025; Public Law 99-198, Public Law 105-33, Public Law 105-185, Public Law 110-246, American Recovery and Reinvestment Act of 2009, Public Law 111-5, Healthy Hunger Free Kids Act, Public Law 111-296, American Taxpayer Relief Act, Public Law 112-240.

OBJECTIVES:

To provide Federal financial aid to State agencies for costs incurred to operate the Supplemental Nutrition Assistance Program (SNAP).

SNAP Nutrition Education Grant Program funds support the Nutrition Education and Obesity Prevention Grant Program, which was established by Section 241 of the Healthy, Hunger-Free Kids Act of 2010.

SNAP Employment and Training (E&T) funds provide grants to States to provide E&T education to assist SNAP participants in finding work. An E&T program may consist of many different types of components, including but not limited to: independent job search; job search training and support; workfare; educational programs to improve employability; work experience or training to improve employability; other employment oriented activities (e.g., job placement, supported work experience, WIA services); and selfemployment training.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

To provide Federal funding for administrative costs incurred by State and local agencies to operate SNAP. Unless authorized by Federal legislation, outlays charged to other Federal grants or to Federal contracts may not be considered as State agency costs. Submission of claims for payments of administrative costs shall be in accordance with 7 CFR 277 of the SNAP Regulations. To provide Federal funding to States through two-year grants for SNAP nutrition education costs. To provide Federal funding to States to help SNAP recipients find work or gain the skills, training and experience that lead to employment.

Applicant Eligibility:

Agreements are between USDA-FNS and State cooperators. (U.S. Territories qualify as States for grant purposes.).

Beneficiary Eligibility:

Agreements are between USDA-FNS and State cooperators. (U.S. Territories qualify as States for grant purposes.).

Credentials/Documentation:

No preapplication forms are required. A plan of operation must be submitted by the State agency prior to approval for funding. The standard application forms as furnished by the Federal agency and required by OMB Circular No. A-102, as implemented by 7 CFR part 3016, must be used for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

No preapplication forms are required. A plan of operation must be submitted by the State agency prior to approval for funding. The standard application forms as furnished by the Federal agency and required by OMB Circular No. A-102, as implemented by 7 CFR Part 3016, must be used for this program. An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded

from coverage under OMB Circular No. A-110. State governments may apply for Federal financial aid in accordance with requirements outlined in 7 CFR Parts, 271 and 277.

Award Procedure:

Food and Nutrition Service funds State Agency cooperator. No preapplication forms are required. A plan of operation must be submitted by the State agency prior to approval for funding. The standard application forms as furnished by the Federal agency and required by OMB Circular No. A-102, as implemented by 7 CFR Part 3016, must be used for this program. An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Deadlines:

Aug 15, 2012 Budgets are due to FNS August 15 each year.

Range of Approval/Disapproval Time:

30 days.

Appeals:

Not Applicable.

Renewals:

Annually, as of October 1.

Formula and Matching Requirements:

Statutory Formula: Title Food and Nutrition Act (FNA) of 2008, 2002 and 2008 Farm Bills for SNAP Employment and Training (E&T), Healthy, Hunger-Free Kids Act of 2010. Federal agency reimburses 50 percent of reasonable, necessary, and allowable State administrative expenses.

SNAP Employment and Training (E&T)

Statutory Formula: FNS allocates \$79 million to State agencies for the administrative costs of operating an E&T programs. FNS allocates an additional \$20 million in grants to States pledging to serve all at-risk Able-Bodied Adults Without Dependents (ABAWDs)

Matching Requirement: State administrative spending beyond the Federal grant is reimbursed at 50 percent. FNS also reimburses States for 50 percent of participant reimbursements, such as transportation and dependent care.

SNAP Nutrition Education

Statutory Formula: Healthy, Hunger-Free Kids Act of 2010 and Food and Nutrition Act of 2008. Federal agency provides 100 percent funding through two-year grants to States for SNAP nutrition education.

Matching Requirements: Percent: 50.%. Federal agency reimburses 50 percent of reasonable, allowable, and necessary State administrative expenses.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Annually by fiscal year. Method of awarding/releasing assistance: by letter of credit.

Reports:

Reports are submitted as required by 7 CFR Parts 272 and 277. Reports are submitted as required by 7 CFR Parts 272 and 277. Reports are submitted as required by 7 CFR Parts 272 and 277. Reports are submitted as required by 7 CFR Parts 272 and 277. Financial Management Reviews, Management Evaluations, and Quality Control Reviews.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Financial records, supporting documents, statistical records, and all records pertinent to a grant program shall be retained for a period of 3 years. Additionally, those record retention requirements outlined in 7 CFR Part 3016,

apply to this program.

Account Identification:

12-3505-0-1-605.

Obligations:

(Salaries) FY 12 \$222,593,000; FY 13 est \$316,946,000; and FY 14 est \$327,405,000 - Employment & Training (E&T) 50% Federal funding for State administrative costs and participant reimbursement for program costs, such as transportation and dependent care. (Salaries) FY 12 \$111,403,000; FY 13 est \$113,664,000; and FY 14 est \$111,867,000 - Employment & Training (E&T) 100% Federal funding for State administrative costs of operating E&T programs. (Salaries) FY 12 \$3,742,000,000; FY 13 est \$3,866,512,000; and FY 14 est \$3,999,024,000 - Administrative costs. (Salaries) FY 12 \$388,000,000; FY 13 est \$285,000,000; and FY 14 est \$401,000,000 - Nutrition Education & Obesity Prevention Grant Program 100% Federal funding for administrative costs. (Salaries) FY 12 \$0; FY 13 est \$0; and FY 14 est \$0. (Salaries) FY 12 \$0; FY 13 est \$0; and FY 14 est \$0

Range and Average of Financial Assistance:

Unavailable.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: In addition to nutrition assistance, SNAP provides employment and training services to unemployed and under-employed participants. All 53 State SNAP agencies operate an E&T program to help jobready SNAP recipients find work and assist others in gaining skills, training, or experience that lead to employment. In FY 2012, 13.5 million SNAP recipients were registered for work and about 760,000 SNAP recipients were subject to E&T participation.

SNAP-Ed is a central program in our efforts to improve nutrition and prevent and reduce obesity among SNAP recipients. SNAP-Ed funded activities improve the likelihood that participants will make healthy food choices within a limited budget and choose physically active lifestyles consistent with DGA and MyPlate. SNAP provides formula grants to State agencies to run nutrition education and obesity prevention services to low income individuals and communities. In FY 2013, States will received \$285 million to fund SNAP-Ed activities. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Parts 275, 276, 277 and 3016.

Regional or Local Office:

See Regional Agency Offices. See Appendix IV of the Catalog.

Headquarters Office:

Associate Administrator Jessica Shahin, Supplemental Nutrition Assistance Program, 3101 Park Center Dr., Alexandria, Virginia 22302 Email: Jessica.Shahin@fns.usda.gov Phone: (703) 305-2026.

Website Address:

<http://www.fns.usda.gov/fsp/>.

RELATED PROGRAMS:

10.551 Supplemental Nutrition Assistance Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.565 COMMODITY SUPPLEMENTAL FOOD PROGRAM

FEDERAL AGENCY:

Food and Nutrition Service, Department of Agriculture

AUTHORIZATION:

Agriculture and Consumer Protection Act of 1973, Sections 4(a) and 5, as amended, Public Law 93-86, 7 U.S.C. 612c (note); Food and Agriculture Act of 1977, as amended, Public Law 95-113, 91 Stat. 980 (7 U.S.C. 612c note); Public Law 97-98, 95 Stat. 1293 (7 U.S.C. 612c note); Public Law 98-8, 97 Stat. 35 (7 U.S.C. 612c note); Public Law 98-92, 97 Stat. 611 (7 U.S.C. 612c note); Public Law 99-198, 99 Stat. 1590 (7 U.S.C. 612c note); Public Law

100-202; Public Law 101-624, 101 Stat. 3806 (7 U.S.C. 612c note); Public Law 104-127, 110 Stat. 1028 (7 U.S.C. 612c note); Public Law 107-171, 116 Stat. 134 (7 U.S.C. 7901 note); Public Law 110-246, 122 Stat. 1886 (7 U.S.C. 612c note).

OBJECTIVES:

To improve the health and nutritional status of low-income pregnant women, postpartum and breastfeeding women up to one year postpartum, infants, children up to, and including, age 5, and elderly persons age 60 years and older through the donation of supplemental USDA Foods, which are distributed through public and non-profit private local agencies such as food banks and community action organizations.

TYPES OF ASSISTANCE:

FORMULA GRANTS; SALE, EXCHANGE, OR DONATION OF PROPERTY OR GOODS

USES AND USE RESTRICTIONS:

USDA Foods and administrative grants are made to State agencies, Indian tribes or tribal organizations recognized by the Department of the Interior, or, the appropriate area office of the Indian Health Service of the Department of Health and Human Services. These agencies distribute USDA Foods and funds to participating local public or private nonprofit agencies approved by USDA. USDA Foods and administrative grants are made to State agencies and Indian Tribal Organizations (ITOs) recognized by the Department of the Interior or the appropriate area office of the Indian Health Service of the Department of Health and Human Services. These agencies distribute USDA Foods and funds to participating local public or private nonprofit agencies. Federal funding for administrative costs may only be used in making these USDA Foods and nutrition education available to eligible beneficiaries. USDA Foods can only be made available to eligible beneficiaries and cannot be sold, exchanged, or otherwise disposed of without prior specific approval by the Department.

Applicant Eligibility:

Agreements are made between USDA and the State agency or an ITO recognized by the Department of the Interior or the appropriate area office of the Indian Health Service of the Department of Health and Human Services.

Beneficiary Eligibility:

To be certified as eligible to receive USDA Foods through the program, each applicant must be an infant, child up to and including age five, a pregnant woman, a postpartum woman up to one year postpartum, or an elderly person 60 years of age or older, residing in an area where the program operates. States establish income eligibility guidelines for women, infants, and children, which must be set between 100 and 185 percent of Federal Poverty Income Guidelines. Women, infants, and children who receive Supplemental Nutrition Assistance Program, Temporary Assistance for Needy Families, or Medicaid benefits are considered automatically income-eligible for the Commodity Supplemental Food Program. At State discretion, women, infant, and children participants may be considered income eligible if participating in existing Federal, State, or local food, health, or welfare programs if the income eligibility limits for the program are equal to or lower than the established limits for the Commodity Supplemental Food Program. States establish income guidelines for elderly persons, which must be set at or below 130 percent of Federal poverty income guidelines. States may require that participants be at nutritional risk, as determined by a physician or local agency staff. States may also require that an individual reside within the service area of the local agency at the time of application for program benefits. However, States may not require that the individual reside within the area for any fixed period of time.

Credentials/Documentation:

Participants are provided USDA Foods by local agency personnel or its designee. Costs are determined in accordance with 2 CFR Part 225 (OMB Circular No. A-87), which establishes cost principles for State, local, and ITOs, and 2 CFR Part 230 (OMB Circular No. A-122), which establishes cost principles for non-profit organizations. Documentation of non-profit status may be required. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs."

An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. The State agency or ITO must require each agency that desires approval as a local agency to submit an application that contains sufficient information to enable the State agency to make a determination of eligibility for that agency, and which meets the requirements of CSFP regulations, at 7 CFR 247.7. This section requires local agencies to have tax-exempt status, or to have applied for such status. Individual participants may apply for program benefits at an approved local agency.

Award Procedure:

USDA Foods and administrative funds are awarded by the Department to State agencies in accordance with the priorities and funding formulas set forth in program regulations and legislation. State agencies, in turn, distribute USDA Foods and administrative funds to the local level.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

A determination will be made within 30 days of submission of a completed plan.

Appeals:

Local agency appeals: The State agency provides a hearing appeal procedure for a local agency adversely affected by a State agency action, if the action would adversely affect participation.

Individual participant appeals: The State agency provides a hearing appeal procedure for any individual who receives an adverse action which may include the denial or discontinuance of program benefits, disqualification from the program, or a claim to repay the value of USDA Foods received as the result of fraud.

Renewals:

None.

Formula and Matching Requirements:

Statutory Formula: Administrative Funds: Section 5 of the Agriculture and Consumer Protection Act of 1973, as amended, requires USDA to provide State agencies with a grant per assigned caseload slot to pay for administrative program costs.

This program has no matching requirements.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

USDA Foods are made available on an ongoing basis. Administrative funds are provided to States annually, each fiscal year. Method of awarding/releasing assistance: lump sum.

Reports:

FNS-153, Monthly Report of the Commodity Supplemental Food Program and Quarterly Administrative Financial Status Report. The State agency must submit the FNS153 on a monthly basis. In the report, State agencies must report the number of program participants by population category, the receipt and distribution of USDA Foods, including inventory and other data. State agencies must also report on a quarterly basis information on administrative funds expenditures, as well as other data. FNS-191 Racial/Ethnic Group Participation. Local agencies must submit a report of racial/ethnic participation each year. State agencies must monitor local agency performance to insure local agencies are meeting all regulatory requirements. State agencies must monitor local agency performance to insure local agencies are meeting all regulatory requirements. SF-425 - Federal Financial Report. State agencies must submit the SF-425 to report the financial status of the program at the close of the fiscal year. This report must be submitted within 90 days after the end of the fiscal year. FNS-153, Monthly Report of the Commodity Supplemental

Food Program and Quarterly Administrative Financial Status Report. The State agency must submit the FNS-153 on a monthly basis. In the report, State agencies must report the number of program participants by population category, the receipt and distribution of commodities, including inventory and other data, and on a quarterly basis information on administrative funds expenditures. FNS-153, Monthly Report of the Commodity Supplemental Food Program and Quarterly Administrative Financial Status Report. The State agency must submit the FNS153 on a monthly basis. In the report, State agencies must report information on administrative funds expenditures on a quarterly basis, as well as other data. State agencies must monitor local agency performance to insure local agencies are meeting all regulatory requirements.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audits may be conducted less frequently under conditions specified in OMB Circular No. A-133.

Records:

Each State agency must maintain accurate and complete records of all receipts and dispositions as detailed in 7 CFR 247.29(a). Records must be retained for 3 FYs from the end of the FY to which they pertain, or if they are related to unsolved claims, actions, audits or investigations until those activities have been resolved.

Account Identification:

12-3507-0-1-605.

Obligations:

(Salaries) FY 12 \$42,138,000; FY 13 est \$43,069,000; and FY 14 est \$44,409,000 - These funds are provided to State agencies for administrative expenses. States receive an administrative grant per assigned caseload slot. (Formula Grants) FY 12 \$146,534,000; FY 13 est \$147,872,000; and FY 14 est \$158,273,000 - These funds are used for the purchase of USDA Foods to be used in CSFP. Foods are distributed to CSFP State agencies for use in CSFP.

Range and Average of Financial Assistance:

On average, \$1,016,253 in appropriated administrative funding was allocated to each State for FY13. Funding ranged from \$6,303 to \$5,505,303 per State. For FY13, States receive \$72.24 in administrative funding per assigned caseload slot.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: For FY 2012, program participation averaged 594,215 individuals monthly, including 17,639 women, infants, and children, and 576,576 elderly. States received administrative funds totaling approximately \$42,137,838. Fiscal Year 2013: States have an assigned caseload of 588,000 in calendar year 2013, and received administrative funds totaling \$42,682,643 in FY 2013. Fiscal Year 2014: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 247 is available without charge from the Department.

Regional or Local Office:

See Regional Agency Offices. See Food and Nutrition Service Regional Offices listed in Appendix IV of the Catalog.

Headquarters Office:

Dana Rasmussen 3101 Park Center Drive, Food Distribution Division, Food and Nutrition Service, Department of Agriculture, Alexandria, Virginia 22302-1594 Phone: (703) 305-2662

Website Address:

<http://www.fns.usda.gov/fdd/programs/csfp/default.htm>

RELATED PROGRAMS:

10.551 Supplemental Nutrition Assistance Program; 10.556 Special Milk Program for Children; 10.557 Special Supplemental Nutrition Program for Women, Infants, and Children; 10.558 Child and Adult Care Food Program;

93.600 Head Start

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Not Available. Fiscal Year 2013: No Current Data Available. Fiscal Year 2014: No Current Data Available.

CRITERIA FOR SELECTING PROPOSALS:

Not Available.

10.566 NUTRITION ASSISTANCE FOR PUERTO RICO

(NAP)

FEDERAL AGENCY:

Food and Nutrition Service, Department of Agriculture

AUTHORIZATION:

Omnibus Budget Reconciliation Act of 1981, as amended, Title 1, Section 116; Public Law 97-35; Public Law 97-253; Public Law 98-204; Public Law 99-114; Public Law 99-157; Public Law 99-182; Public Law 99-198; Public Law 101-624; Public Law 103-66; Public Law 104-127; Public Law 106-387; Public Law 107-171. American Recovery and Reinvestment Act of 2009, Public Law 111-5, American Taxpayer Relief Act, Public Law 112-240.

OBJECTIVES:

An alternative to the Special Nutrition Assistance Program (SNAP) to provide access to healthy food by needy persons residing in the Commonwealth of Puerto Rico.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

Households receive benefits to purchase food. A portion is provided as cash.

Applicant Eligibility:

The Commonwealth of Puerto Rico alone is eligible.

Beneficiary Eligibility:

Low-income individuals and households are eligible for benefits as determined by the Commonwealth.

Credentials/Documentation:

The Commonwealth is required to document the classes of households that receive benefits and the level of those benefits. Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. The Commonwealth is required to submit an annual plan of operation to the Food and Nutrition Service for approval.

Award Procedure:

The Secretary of Agriculture designates the Commonwealth agency or agencies to operate the program upon submission of the request.

Deadlines:

Jul 01, 2009 July 1 for plan submission.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Program continues once approved unless there is a substantial failure to comply with regulations or withdrawal on the part of the Commonwealth.

Formula and Matching Requirements:

This program has no statutory formula.
 Matching Requirements: Percent: 50%. The Department of Agriculture pays 100 percent of the cost of benefits and 50 percent of administrative costs.
 MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Assistance is available for one fiscal year at a time. Assistance is provided through the electronic transfer system, consistent with Treasury Fiscal Requirement Manual, Volume I, Part 6, Section 2030. Method of awarding/releasing assistance: by letter of credit.

Reports:

The content and frequency of reports is specified by the Commonwealth's plan of operation. The content and frequency of reports is specified by the Commonwealth's plan of operation. The content and frequency of reports is specified by the Commonwealth's plan of operation. Financial Management Reviews and Management Evaluations.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. The Commonwealth is required to conduct an audit of expenditures at least once every two years, and is subject to audit by the Office of Inspector General, USDA.

Records:

The content and maintenance of records is specified by the Commonwealth's plan of operation.

Account Identification:

12-3505-0-1-605.

Obligations:

(Salaries) FY 12 \$1,835,464,000; FY 13 est \$1,872,910,000; and FY 14 est \$1,892,083,000. (Salaries) FY 12 \$165,104,000; FY 13 est \$127,658,000; and FY 14 est \$108,485,000

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: The Program currently serves 1,350,000 persons a month, approximately one third of the population, and provides monthly benefits of about \$160 million. The grant pays for 100 percent of benefits to needy persons and 50 percent of administrative costs. The Commonwealth of Puerto Rico provides the other 50 percent of administrative costs. Puerto Rico also operates a Nutrition Education Program. This program is funded with NAP block grant money and is designed to provide an educational program among NAP recipients on adopting healthier eating habits and active lifestyles. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices. Regional Administrator, Mid-Atlantic Regional Office, Food and Nutrition Service, Department of Agriculture, Robbinsville, NJ 08691-1598. Contact: Patricia Dombroski. Telephone: (609) 259-5025, FTS: (609) 259-5025.

Headquarters Office:

Patricia Dombroski 300 Corporate Blvd, Robbinsville, New Jersey 08691
 Phone: 609-259-5025

Website Address:

<http://www.fns.usda.gov>.

RELATED PROGRAMS:

10.551 Supplemental Nutrition Assistance Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Only Puerto Rico may apply.

10.567 FOOD DISTRIBUTION PROGRAM ON INDIAN RESERVATIONS

(FDPIR)

FEDERAL AGENCY:

Food and Nutrition Service, Department of Agriculture

AUTHORIZATION:

Food and Nutrition Act of 2008 (Public Law 110-246); Agriculture and Consumer Protection Act of 1973 (Public Law 93-86) , 7 U.S.C 2011-2036.

OBJECTIVES:

The Food Distribution Program on Indian Reservations (FDPIR) is a nutrition assistance program that may be operated, at the request of a Tribe or Indian Tribal Organization (ITO) on an Indian reservation, approved areas near a reservation, or approved service areas in Oklahoma. Eligible low-income households receive a supplemental monthly food package and nutrition education. Households may not participate in FDPIR and the Supplemental Nutrition Assistance Program (SNAP) at the same time. In FY 2012, five States and 100 ITOs administered 112 programs on 276 Indian reservations, pueblos, rancherias, and Alaska Native Villages. Nutrition assistance was provided to an average of 76.5 thousand persons per month. All participating ITOs receive administrative funding for costs incurred in the operation of the program; a limited portion of these administrative funds can be used for nutrition education activities. Additionally, Food Distribution Program Nutrition Education (FDPNE) grants are awarded competitively to ITOs participating in FDPIR for culturally relevant nutrition education activities that promote healthy choices through USDA Foods and physical activity among FDPIR participants.

TYPES OF ASSISTANCE:

PROJECT GRANTS; SALE, EXCHANGE, OR DONATION OF PROPERTY OR GOODS

USES AND USE RESTRICTIONS:

USDA provides USDA Foods to authorized ITOs and State Distributing Agencies (SDA) for distribution, free of charge, to qualifying households. FNS makes available to the ITOs and SDAs a minimum of 75% of the administrative funds necessary to operate the program and to conduct nutrition education. FDPNE grants can be used for nutrition education services to FDPIR participants and FDPIR-eligible participants. The ITOs and SDAs must follow national policies in certifying eligible households and issuing USDA Foods, and must comply with program and financial reporting requirements. The USDA foods may not be sold, exchanged, or otherwise disposed of (authorized distribution excepted) without prior, specific approval of USDA. The Federal funds provided must be used to meet expenses incurred in operating FDPIR. These funds may not be used to purchase real property (land or buildings).

Applicant Eligibility:

The administration of FDPIR is limited to ITOs or to SDAs that assume administration on behalf of/at the request of a Tribe(s).

Beneficiary Eligibility:

FDPIR eligibility is limited to low-income households residing on participating reservations or low-income Indian Tribal Households (see definition at 7 CFR 253.2) residing in approved areas near a reservation or in approved service areas in Oklahoma. The ITOs/SDAs are responsible for certifying households based on national eligibility criteria. The income standards vary by household size and are based on 100% of the Federal Poverty Guidelines adjusted by the applicable SNAP standard deduction.

Credentials/Documentation:

Applicant ITO/SDA administrators must submit Form SF-424 (Application for Federal Assistance) and a Plan of Operations. ITOs and SDAs must comply with federal financial management policies and regulations. Applicant households must submit a completed application form and provide documentation in accordance with federal regulations at 7 CFR 253.6. OMB

Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. ITOs and SDAs that want to administer FDPPIR must submit Form SF-424 (Application for Federal Assistance) to the appropriate FNS Regional Office, as well as a Plan of Operation. The standard application forms as furnished by the Federal agency and required by OMB Circular No. A-102, as implemented by 7 CFR Part 3016, must be used for this program. ITOs and SDAs that wish to receive FDPNE grants must submit a competitive grant application; grant applications are solicited annually by FNS.

Award Procedure:

Administrative funding is provided annually by FNS to ITOs and SDAs for use in administering the program. Program benefits for eligible households are provided in the form of a monthly food package, selected by the household in accordance with Federal guide rates that are based on household size. ITOs and SDAs order from a list of about 115 available foods and the foods are delivered to the ITOs/SDAs on a predetermined schedule set by the ITO/SDA.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

ITOs/SDAs who wish to begin administering the program can submit an application at any time. FNS must determine within 30 days of the receipt of a completed application if an ITO/State agency is capable of administering the program. ITOs and SDAs must make foods available to eligible households within 7 days of receipt of the completed application form (1 day for households with no income). FDPNE grant applications are solicited annually; deadlines are announced each year.

Appeals:

Not Applicable.

Renewals:

Approval to administer the program continues unless a withdrawal is requested or required because of program irregularities, or funding is unavailable. Administrative funding is provided on an annual basis; a new Form SF-424 must be submitted each year. Households are assigned certification periods of varying lengths based on household circumstances. Household certification periods may not exceed one year, except elderly or disabled households may be certified for up to 24 months if household circumstances are stable.

Formula and Matching Requirements:

Statutory Formula: Title 7, Part 253.11.
Matching Requirements: Percent: 25%. The 25% match requirement may be waived or lowered, if compelling justification is provided by the ITO/SDA. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Administrative funding for ITOs/State agencies expires at the end of each fiscal year. Food donations for household distribution are continuous. Method of awarding/releasing assistance: by letter of credit.

Reports:

Form FNS-152, Monthly Distribution of Donated Food to Family Units, captures, on a monthly basis, the number of households certified and number of households/individuals participating. It also captures food inventory (i.e., receipts, distributions, and remaining inventory at end of month). Form FNS-101, Participation in Food Program-by Race, captures racial ethnic data for the month of July each year. No cash reports are required. No progress reports are required. Form SF-425, Federal Financial Report, captures, on a

quarterly basis, Federal funding authorized, obligated, and obligated but not liquidated. Also captures non-federal funds used for the program. ITOs and SDAs are required to conduct annual reviews of program operations.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Each ITO and SDA must maintain accurate and complete program records and reports, including household case files, for 3 years following the close of the fiscal year or until any outstanding audit, claim or litigation is settled.

Account Identification:

12-3505-0-1-605.

Obligations:

(Salaries) FY 12 \$37,808,000; FY 13 est \$38,829,000; and FY 14 est \$40,189,000 - Administrative Expenses. (Project Grants) FY 12 \$64,938,000; FY 13 est \$61,327,000; and FY 14 est \$63,811,000 - USDA Foods in-lieu of SNAP. (Project Grants) FY 12 \$1,000,000; FY 13 est \$971,000; and FY 14 est \$998,000 - FDPNE Grants.

Range and Average of Financial Assistance:

In FY 2012, the monthly per person food package was approximately \$64.73. Federal administrative funding to the ITOs and State agencies varies based on number of persons served in each program and the unique operational needs of each program.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Approximately 55.2 million pounds of food was purchased for FDPPIR, 76.5 thousand people were served on an average monthly basis, and 16 ITOs received FDPNE grants. Fiscal Year 2013: In FY 2013, an estimated five States and 100 ITOs will administer 112 programs on 276 Indian reservations, pueblos, rancherias, and Alaska Native Villages, and 12 ITOs will undertake FDPNE grant funded nutrition education projects. Fiscal Year 2014: In FY 2014, a three-year national study of FDPPIR and its participants is scheduled to be completed.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 253; 7 CFR Part 254; 7 CFR Part 250; FNS Handbook 501

Regional or Local Office:

See Regional Agency Offices. See Food and Nutrition Service Regional Offices listed in Appendix IV of the Catalog.

Headquarters Office:

Dana Rasmussen Food and Nutrition Service
Food Distribution Division
3101 Park Center Dr., 5th Floor
, Alexandria, Virginia 22302 Email: Dana.Rasmussen@fns.usda.gov Phone:
703-305-2662

Website Address:

<http://www.fns.usda.gov/food-distribution-program-indian-reservations-fdpiir>

RELATED PROGRAMS:

10.551 Supplemental Nutrition Assistance Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.568 EMERGENCY FOOD ASSISTANCE PROGRAM (ADMINISTRATIVE COSTS)

TEFAP

FEDERAL AGENCY:

Food and Nutrition Service, Department of Agriculture

AUTHORIZATION:

Emergency Food Assistance Act of 1983, Sections 204 and 209, Public Law 98-8, 97 Stat. 35, 7 U.S.C 7508; Federal Supplemental Compensation Act of 1982 Amendments, Section 2, as amended, Public Law 98-92, 97 Stat. 608; Food Security Act of 1985, as amended, Section 1569, Public Law 99-198, 99 Stat. 1354; Public Law 100-77, 101 Stat. 536; Public Law 100-435, 102 Stat. 1647; Public Law 101-624, 104 Stat. 3359; Public Law 104-193, 110 Stat. 2105; Public Law 104-127, 110 Stat. 1029; Public Law 107-171, 116 Stat. 330; Public Law 110-246, 122 Stat. 1882.

OBJECTIVES:

To help supplement the diets of low-income persons by making funds available to States for processing, storage and distribution costs incurred by State agencies and local organizations, such as soup kitchens, food banks, and food pantries, including faith-based organizations, in providing food assistance to needy persons.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Administrative funds are provided to State agencies to cover administrative costs incurred at the State and local level in the operation of the program. Funds may only be used for approved administrative expenses, and the State agency is required to pass-through at least 40 percent of the funds to emergency feeding organizations or expend such funds on their behalf. In addition, the States must match, either in cash or in-kind, the amount of administrative funds not passed-through to emergency feeding organizations.

States may choose sites that distribute to low-income households and/or congregate sites that provide meals to the needy. Allowable costs include nutrition education, warehousing, food delivery, participate certification, and other administrative costs.

Applicant Eligibility:

State agencies that are designated as distributing agencies by the Governor or other appropriate State executive authority may receive these administrative funds to support the distribution of USDA Foods to low-income persons.

Beneficiary Eligibility:

Public or private non-profit organizations, such as food banks, food pantries, and soup kitchens, which provide food assistance to low-income persons.

Credentials/Documentation:

State agencies and local organizations are required to document their use of the administrative funds, and only those administrative costs permitted under OMB Circular No. A-87 for State and local governments will be allowed. Local agencies may be required to document their non-profit status. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

Administrative funds are allocated among the States on the basis of a legislatively-mandated formula that takes into account each State's relative share of the National number of persons with income below the poverty level (60 percent) and of unemployed persons (40 percent). At a minimum, States must make at least 40 percent of the administrative grant available to emergency feeding organizations, or expend such funds on their behalf.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Federal/State agreements are ongoing and amended as necessary. Local organizations receiving administrative funds must have an agreement with the State agency or with another local organization participating in the program. Such agreements are ongoing and amended as necessary.

Formula and Matching Requirements:

Statutory Formula: Title Agriculture, Chapter 7, Part 251.3.

Matching Requirements: States are required to pass-through to emergency feeding organizations at least 40 percent of the administrative funds allocated to the State. States are required to match, either as cash or in-kind, 100 percent of the administrative funds not passed-through to emergency feeding organizations.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Funds are provided through the electronic transfer system as they are needed for actual expenditures on a fiscal year basis. Any funds which are made available through the initial allocation or a subsequent reallocation that are not used are turned back to the Federal government, and reallocated according to the legislatively mandated formula. Method of awarding/releasing assistance: by letter of credit.

Reports:

No program reports are required. Quarterly and annual financial reports are made on FNS-667. No progress reports are required. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Normal records accounting for receipts and dispersals; retention required for 3 years following the close of the fiscal year or until any outstanding audit, claim, or litigation is settled.

Account Identification:

12-3507-0-1-605.

Obligations:

(Formula Grants) FY 12 \$65,799,927; FY 13 est \$45,593,184; and FY 14 est \$49,401,000 - FY 2013 administrative funds are reported after sequestration as mandated by the Budget Control Act of 2011 (P.L. 112-25), and two across-the-board rescissions applied under the Appropriations Act, one of 2.513 percent and one of 0.2 percent on all discretionary accounts. Converted food funds and recoveries could be added to the total administrative funding.

FY 2012 administrative funds include \$49,000,000 in appropriated funds and additional \$17,799,927 after conversions and recoveries.

Range and Average of Financial Assistance:

In Fiscal Year 2012, the range for assistance to State agencies was from \$21,581 to \$9,981,626; the average amount of assistance was \$1,196,362.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Provided 352,557,264 pounds of USDA Foods to State and local agencies for distribution to needy persons for use at home or for use at sites which serve prepared meals. Fiscal Year 2013: Provide USDA Foods to State and local agencies for distribution to needy persons for use at home or for use at sites which serve prepared meals. Fiscal Year 2014: Provide USDA Foods to State and local agencies for distribution to needy persons for use at home or for use at sites which serve prepared meals.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 251; refer to 7 CFR Part 250 for applicable provisions on USDA Foods handling.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Ashley Bress 3101 Park Center Drive, Suite 500, Alexandria, Virginia 22302
Email: ashley.bress@fns.usda.gov Phone: 7033052674

Website Address:

<http://www.fns.usda.gov/fdd/programs/tefap/>.

RELATED PROGRAMS:

10.551 Supplemental Nutrition Assistance Program; 10.561 State Administrative Matching Grants for the Supplemental Nutrition Assistance Program; 10.569 Emergency Food Assistance Program (Food Commodities)

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: Not available.
Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Not available.

10.569 EMERGENCY FOOD ASSISTANCE PROGRAM (FOOD COMMODITIES)

TEFAP, USDA Foods, Commodities

FEDERAL AGENCY:

Food and Nutrition Service, Department of Agriculture

AUTHORIZATION:

Emergency Food Assistance Act of 1983, Public Law 98-8, 97 Stat. 35, 7 U.S.C 7501; Hunger Prevention Act of 1988, Public Law 100-435, 102 Stat. 1647; Public Law 101-624, 104 Stat. 3359; Public Law 104-193, 110 Stat. 2105; Public Law 104-127, 110 Stat. 1029; Public Law 107-171, 116 Stat. 330; Public Law 110-246, 122 Stat. 1882; American Recovery Act and Reinvestment Act of 2009, Public Law 111-5.

OBJECTIVES:

To help supplement the diets of low-income persons by making USDA Foods available to the State agencies that are responsible for the distribution of USDA Foods within the States.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

USDA Foods can only be used to provide food assistance to needy persons. USDA Foods may be provided for household consumption or for congregate feeding. The State agency must give priority to emergency feeding organizations.

Applicant Eligibility:

State agencies that are designated as distributing agencies by the Governor or other appropriate State executive authority may receive and distribute USDA Foods. States can distribute these foods to eligible recipient agencies, such as food banks, food pantries, soup kitchens, and other eligible agencies, including faith-based organizations.

Beneficiary Eligibility:

Low-income and needy individuals, including persons that are homeless, unemployed, underemployed, or receiving public assistance. The State agency must establish income based eligibility criteria to ensure the commodities are provided to the needy. Persons interested in receiving TEFAP should contact their State agency (<http://www.fns.usda.gov/fdd/contacts/SdaContacts.htm>) for more information on how to access the program.

Credentials/Documentation:

Low-income individuals receiving USDA Foods for home consumption must establish eligibility to participate in the program on the basis of State eligibility standards. All individuals seeking food assistance at sites which serve prepared meals are presumed to be eligible to receive meals. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. All States are eligible to participate; the State does not need to complete an application.

Award Procedure:

USDA Foods are allocated among the States on the basis of a legislatively-mandated formula that takes into account each State's relative share of the national number of persons with income below the poverty level (60 percent) and of unemployed persons (40 percent).

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Federal/State agreements are ongoing and amended as necessary. Individuals receiving food for home consumption are recertified periodically. Persons receiving USDA Foods in the form of meals from sites which serve prepared meals are presumed to be eligible.

Formula and Matching Requirements:

Statutory Formula: Title Agriculture, Chapter 7, Part 251. USDA Foods are allocated among the States based on a legislatively-mandated formula. The allocation formula is based in part (60 percent) on the number of people whose income is below the poverty level and in part (40 percent) on the number unemployed persons.

This program has no matching requirements.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

None. Method of awarding/releasing assistance: by letter of credit.

Reports:

No reports are required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Normal records accounting for receipts and dispersals; retention required for 3 years following the close of the fiscal year or until any outstanding audit, claim, or litigation is settled.

Account Identification:

12-3505-0-1-605.

Obligations:

(Formula Grants) FY 12 \$242,970,260; FY 13 est \$265,750,000; and FY 14 est \$268,500,000 - In FY 2012 and 2013, appropriations legislation provided State agencies with the opportunity to convert up to 10% of their TEFAP food funds to administrative funds. In FY 2012, States elected to convert \$17,516,5000 to administrative funds. Final FY 2013 conversions are pending.

Range and Average of Financial Assistance:

In Fiscal Year 2012, the range of awards was from \$114,126 to \$30,657,410,

after conversions; the average award was \$4,417,641.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Provided 352,557,264 pounds of USDA Foods to State and local agencies for distribution to needy persons for use at home or for use at sites which serve prepared meals. Fiscal Year 2013: Provide USDA Foods to State and local agencies for distribution to needy persons for use at home or for use at sites which serve prepared meals. Fiscal Year 2014: No current data available.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 251; refer to 7 CFR Part 250 for applicable provisions on USDA Foods handling.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Ashley Bress 3101 Park Center Drive, Suite 500, Alexandria, Virginia 22302
Email: ashley.bress@fns.usda.gov Phone: 7033052674

Website Address:

<http://www.fns.usda.gov/fdd/programs/tefap/default.htm>.

RELATED PROGRAMS:

10.551 Supplemental Nutrition Assistance Program; 10.568 Emergency Food Assistance Program (Administrative Costs)

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.572 WIC FARMERS' MARKET NUTRITION PROGRAM (FMNP)

WIC Farmers' Market, or FMNP

FEDERAL AGENCY:

Food and Nutrition Service, Department of Agriculture

AUTHORIZATION:

Healthy Hunger-Free Kids Act of 2010, Public Law 111-296, 106 Stat. 281; Child Nutrition Act of 1966, as amended, Section 17(m), 42 U.S.C. 1786.

OBJECTIVES:

To provide fresh, nutritious, unprepared, locally grown fruits and vegetables from farmers markets to women, infants, and children who participate in the Special Supplemental Nutrition Program for Women, Infants and Children (WIC); and to expand the awareness and use of farmers' markets and increase sales at such markets.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Grants are made to State health, agriculture and other agencies, Indian tribes, bands, or intertribal councils or groups recognized by the Department of the Interior, or the Indian Health Service of the Department of Health and Human Services. Participating State agencies must provide program income or State, local, or private funds for the program in an amount that is equal to at least 30 percent of the administrative cost of the program except Indian Tribal Organizations which may provide a negotiated match contribution that is less than 30 percent but not less than 10 percent. The matching requirement may be satisfied from State contributions that are made for similar programs. Federal FMNP benefits (coupons) may be issued only to participants in the Special Supplemental Nutrition Program for Women, Infants and Children (WIC) or to persons who are on a waiting list to receive WIC benefits. A minimum of 83 percent of grant funds may be used to support the costs of the foods that are provided under the FMNP but no more than seventeen percent may be used for administrative cost of the program.

Applicant Eligibility:

All State agencies that desire to receive a FMNP grant must submit, for each Federal fiscal year, a State Plan to the Food and Nutrition Service (FNS), USDA. New State agencies are selected based on the availability of funds after base grants for currently participating State agencies are funded. Local FMNP

sites are selected by participating State agencies based on concentration of eligible WIC participants and access to farmers' markets.

Beneficiary Eligibility:

WIC participants (i.e., pregnant, postpartum or breastfeeding women; infants over 4 months of age; and children up to 5 years of age) and (at the State's discretion) those who are on a waiting list to receive WIC benefits are eligible to receive FMNP coupons. State agencies may also designate subcategories of WIC participants, e.g., pregnant and breastfeeding women only, to be FMNP recipients.

Credentials/Documentation:

A signed and executed Federal/State Agreement (FNS-339) is necessary before funds can be allocated to a participating FMNP State agency. Costs will be determined in accordance with 7 CFR Part 3016, and FNS guidelines and instructions. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Application is made through submission of a "State Plan of Program Operation and Administration" as required by law. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Farmers or farmers' markets (depending on whether State agencies have agreements with farmers or markets) located in communities with WIC Program sites may apply to be authorized to accept FMNP coupons under the program. The State agency reviews the applications for completeness and compliance with authorization requirements. The State agency contacts the farmer/farmers' market to inform them of qualification. Individual participants apply for FMNP benefits at approved local WIC agencies.

Award Procedure:

Funds are awarded by the Department on the basis of funding formulas to State agencies. If the available funds are insufficient to meet the base grant levels for current FMNP grantees, a pro-rata reduction will be applied to the grant levels awarded to all participating FMNP State agencies. If additional funds become available for the FMNP, such funds would first be distributed evenly among the current grantees to make whole their base grants for that fiscal year. Grant payments are made by a letter of credit.

Deadlines:

Nov 15, 2013 FMNP State Plans for the current fiscal year are due to the appropriate FNS Regional office by November 15.

Range of Approval/Disapproval Time:

As required by law (at Section 1786(m)(6)(A) of the Child Nutrition Act of 1966, as amended), the Secretary must inform each FMNP State agency of the award of funds by February 15 of each year.

Appeals:

All decisions by the FNS are final.

Renewals:

This Program is authorized through September 30, 2015.

Formula and Matching Requirements:

Statutory Formula: Statutory formula can be found at Section 17(m)(3) of the Child Nutrition Act. As a prerequisite to the receipt of Federal funds, a State agency must agree to contribute program income or State, local or private funds equal to at least 30 percent of its administrative program cost. Provided that sufficient funds are available, each current State agency will be funded at its most recent year's level. Remaining funds are divided between current States for expansion and new States, based on a 75 to 25 percent ratio, respectively. Matching Requirements: Percent: 30%. As a prerequisite to the receipt of Federal funds, a State agency must agree to contribute program income or State, local or private funds equal to at least 30 percent of its administrative program cost. Indian Tribal Organizations may provide a negotiated match contribution that is less than 30 percent but not less than 10 percent. State contributions for similar programs may satisfy the State matching requirement. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

FMNP funds are provided using Federal Reserve Bank letters of credit and end on November 30 of each year. State agencies may withdraw funds only as needed. Method of awarding/releasing assistance: by letter of credit.

Reports:

Annual reports submitted to FNS specifying the following data: Number and type of recipients (Federal and nonfederal); number of authorized farmers, farmers' markets, and farm or roadside stands; value of coupons issued and redeemed; and financial expenditure data. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

State agencies must maintain records as necessary to support the FMNP funds. Such records must be retained for a period of 3 years after the date of submission of the final report for the fiscal year to which the records pertain, except that if audit findings have not been resolved, the records shall be retained beyond the three year period as long as required for the resolution of the issues raised by the audit.

Account Identification:

12-3510-0-1-605.

Obligations:

(Salaries) FY 12 \$20,517,000; FY 13 est \$16,548,000; and FY 14 est \$16,548,000

Range and Average of Financial Assistance:

FY 12 grants ranged from \$6,337 to \$2,196,479.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: No Current Data Available. Fiscal Year 2013: No Current Data Available. Fiscal Year 2014: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 248, 7 CFR Part 3016, 7 CFR Part 3017, 7 CFR Part 3018

Regional or Local Office:

See Regional Agency Offices. See Food and Nutrition Service updated regional office listed in Appendix IV.

Headquarters Office:

Debra Whitford, Food and Nutrition Service, Supplemental Food Programs Division, 3101 Park Center Drive, Alexandria, Virginia 22302 Email: Debbie.Whitford@fns.usda.gov Phone: (703) 305-2746 Fax: (703) 305-2196.

Website Address:

<http://www.fns.usda.gov/wic/>.

RELATED PROGRAMS:

10.551 Supplemental Nutrition Assistance Program; 10.557 Special Supplemental Nutrition Program for Women, Infants, and Children; 10.565 Commodity Supplemental Food Program; 10.576 Senior Farmers Market Nutrition Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available. Fiscal Year 2013: No Current Data Available. Fiscal Year 2014: No Current Data Available.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

10.574 TEAM NUTRITION GRANTS

FEDERAL AGENCY:

Food and Nutrition Service, Department of Agriculture

AUTHORIZATION:

Child Nutrition Act, Section 19, as amended, 42 U.S.C. 1788.

OBJECTIVES:

Team Nutrition Training Grants for Healthy School Meals have been identified in USDA's national Strategic Plan for Training and Technical Assistance as one of the anchor delivery systems for supporting the implementation of USDA's nutrition requirements and the Dietary Guidelines for Americans in Child Nutrition programs. Team Nutrition's (TN) goal is to improve children's lifelong eating and physical activity habits by using the principles of the Dietary Guidelines for Americans and the USDA Food Guidance System.

TN Training Grants offer funding to State agencies to establish or enhance sustainable infrastructures for implementing TN's three behavior-focused strategies: (1) provide training and technical assistance to child nutrition food service professionals to enable them to prepare and serve nutritious meals that appeal to students; (2) promote nutrition curriculum and education in schools through multiple communication channels (i.e., food services initiatives, classroom activities, school wide events, home activities, community programs and events, and media events and coverage) to reinforce positive nutrition messages and encourage students to make healthy food and physical activity choices as part of a healthy lifestyle; and (3) build school and community support for creating healthy school environments that are conducive to healthy eating and physical activity.

The HealthierUS School Challenge (HUSSC) is a voluntary certification initiative established to recognize those schools participating in the National School Lunch Program that have created healthier school environments through promotion of nutrition and physical activity.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Team Nutrition Training Grants provide funding to assist State agencies administering the National School Lunch Program (NSLP) and the Child and Adult Care Food Program (CACFP) in achieving the TN goal of improving children's lifelong eating and physical activity habits using the most current Dietary Guidelines for Americans. States may award sub-grants to schools and institutions in NSLP and CACFP, following the established oversight procedure as well as the Guidance for Interpreting Cost Principles of Sub-Grants. Team Nutrition Training Grants provide funding to assist State agencies in achieving the TN goal of improving children's lifelong eating and physical activity habits using the most current Dietary Guidelines for Americans.

Applicant Eligibility:

State agencies that administer the NSLP or CACFP may apply for a TN Training Grant. States must apply individually.

Beneficiary Eligibility:

School decision makers, school food service staff, children, parents, teachers, and educators.

Credentials/Documentation:

State agencies must administer the NSLP or CACFP. Applicants must prove ability to provide a competent experienced staff and other resources to assure adequate development, supervision and execution of TN Training Grant activities. In addition, applicants are required to submit a written proposal which includes a description of the training issue or issues to be addressed, the proposed project approach, the rationale for it, specific program objectives, activities, and budget. A properly executed agreement between the Food and Nutrition Service and the State agency is necessary before funds can be allocated. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

State agencies are furnished with a copy of the Request For Applications (RFA) package on how to apply for a TN Training Grant. Additionally, the complete RFA is posted on the FNS Team Nutrition website and at <http://www.Grants.gov>. This program is excluded from coverage under E.O. 12372. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110

applies to this program. Applicants must submit a complete application to the Food and Nutrition Service by the deadline specified.

Award Procedure:

All applications that meet the published deadline for submission will be screened for completeness and conformity to the requirements as announced in the RFA package. Grants are awarded by a competitive process via a technical review panel composed of Food and Nutrition Service staff which convenes to determine the technical merit of each grant application, provide a numerical score and make recommendations to selecting officials.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Once the application is received from the State agency, it is approximately 3 to 4 months before announcement of the TN Training Grant awards.

Appeals:

The solicitation will provide information on appeals.

Renewals:

The solicitation will provide information on renewals.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Two year grants, within Federal fiscal years. Method of awarding/releasing assistance: by letter of credit.

Reports:

Program reports are not applicable. Quarterly financial reports are required. Quarterly progress reports are required. Quarterly financial reports are required. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

State agencies must maintain records as necessary to support the use of TN Training Grant funds in accordance with the Grant Agreement. Such records must be retained for a period of 3 years after the date of submission of the final report for the fiscal year to which the records pertain, except that if audit findings have not been resolved, the records shall be retained beyond the 3-year period as long as required for the resolution of the issues raised by the audit.

Account Identification:

12-3539-0-1-605.

Obligations:

(Project Grants) FY 12 \$15,071,000; FY 13 est \$15,004,000; and FY 14 est \$14,627,000 - Competitive Grants: FY 2012 \$5,500,000; FY 2013 est. \$5,500,000.

Non-Competitive Grants: FY 2012 \$1,000,000; FY 2013 est. \$1,000,000.

Healthier Schools Challenge: FY 2012 \$1,928,000; FY 2013 est. \$1,500,000; FY 2014 est. \$1,462,000.

Competitive Grants are grants awarded to State agencies to establish or enhance sustainable infrastructures for implementing Team Nutrition's goal of improving children's lifelong eating and physical activity habits.

Non-Competitive Grants are awarded for training and technical assistance to help schools take the HealthierUS School Challenge.

The HealthierUS School Challenge (HUSSC) is a voluntary certification

initiative established in 2004 to recognize those schools participating in the National School Lunch Program that have created healthier school environments through promotion of nutrition and physical activity. Monetary incentive awards became available for each HUSSC award level: Bronze, Silver, Gold, and Gold Award of Distinction.

Range and Average of Financial Assistance:

FY 2012, Competitive Grants from \$203,000 to \$349,000 were awarded. State agencies could apply for up to \$350,000 in competitive grants. Non-competitive grants from \$41,000 to \$50,000 were awarded. State agencies could apply for up to \$50,000 in non-competitive grants.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: A total of \$5,234,573 (\$5,096,261 in competitive grants and \$138,312 in non-competitive grants) was awarded to 22 State agencies. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 3015, 7 CFR Part 3016, 7 CFR Part 3017, 7 CFR Part 3018, 7 CFR Part 3019, 7 CFR Part 3021 and 7 CFR Part 3052.

Regional or Local Office:

See Regional Agency Offices. See the Food and Nutrition Service Regional Offices listed in Appendix IV of the Catalog.

Headquarters Office:

Cynthia Long, 3101 Park Center Drive Room 640, Alexandria, Virginia 22302. Phone: 703-305-2590

Website Address:

<http://teamnnutrition.usda.gov/grants.html>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Examples of projects and State summaries of funded activities are described at <http://teamnnutrition.usda.gov/grants.html>. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Technical Review Criteria, which are furnished to all applicants in the RFA package are used by the review panel to score and rank TN Training Grant applications.

10.575 FARM TO SCHOOL GRANT PROGRAM

FEDERAL AGENCY:

Food and Nutrition Service, Department of Agriculture

AUTHORIZATION:

The Healthy, Hunger-Free Kids Act of 2010 (HHFKA) amended Section 18 of the Richard B. Russell National School Lunch Act (NSLA) to establish a Farm to School program in order to assist eligible entities, through grants and technical assistance, in implementing farm to school programs that improve access to local foods in eligible schools. . Section 243. . Section 243.

OBJECTIVES:

The Farm to School program exists in order to assist eligible entities, through grants and technical assistance, in implementing farm to school programs that improve access to local foods in eligible schools. The program has three tracks: planning, implementation and support service. Planning grants are for schools or school districts just getting started on farm to school activities and are intended to help these entities organize and structure their efforts for maximum impact by embedding known best practices into early design considerations. Implementation grants are intended to help schools or school districts to help scale or further develop existing farm to school initiatives. Support Service grants are intended for state and local agencies, Indian tribal organizations, agricultural producers or groups of agricultural producers, and non-profit entities working with schools or school districts to further develop and provide broad reaching support services to farm to school initiatives.

TYPES OF ASSISTANCE:

Cooperative Agreements; Dissemination of Technical Information; Project

Grants; Training

USES AND USE RESTRICTIONS:

Assistance in improving access to local foods in eligible schools can take the form of: (i) Training; (ii) Supporting operations; (iii) Planning; (iv) Purchasing equipment; (v) Developing school gardens; (vi) Developing partnerships; and (vii) Implementing farm to school programs. No more than 10 percent of the grant funds may be used for food purchases in the grant budget.

Applicant Eligibility:

Assistance will be used to increase the availability of local foods in schools.

Beneficiary Eligibility:

Eligible entities, and therefore beneficiaries, include schools, Indian Tribal Organizations, non profit organizations, schools, producer and producer groups and State and local agencies.

Credentials/Documentation:

Non profit organizations will be required to submit proof of non profit status. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Not applicable.

Award Procedure:

All applications that meet the published deadline for submission will be screened for completeness and conformity to the requirements as announced in the RFA package. Grants are awarded by a competitive process via a review panel composed of FNS and other Federal staff that will determine the technical merit of each grant application, provide a numerical score, and make recommendations to selecting officials.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. There is presently no other approval information.

Appeals:

From 30 to 60 days.

Renewals:

There is no additional renewals information at this time.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching Requirements: Percent: 25.%. The applicant must provide at least 25 percent of the costs of the grant project as the federal share of costs for this grant cannot exceed 75 percent of the total cost of the project. This program does not have MOE requirements. No additional information is available at this time.

Length and Time Phasing of Assistance:

Planning grants will be awarded for a one year time period, with an expected start date at/or near the beginning of the fiscal year (October 1). Implementation and support service grants will be awarded for up to a two year time period, with an expected start date at/or near the beginning of the fiscal year (October 1). Method of awarding/releasing assistance: by letter of credit. Method of awarding/releasing assistance: by letter of credit.

Reports:

No program reports are required. No cash reports are required. Grant recipients are responsible for managing and monitoring the progress of the grant project activities and performance. Planning grant awardees will submit a mid-term progress report and a final report. Implementation grant awardees will submit progress reports on a quarterly basis throughout the grant term and a final report. The award document will indicate the reporting format and schedule for submitting project performance/progress reports to FNS. Planning grant awardees will submit a mid-term financial report and a final financial report.

Implementation grant awardees will submit financial reports on a quarterly basis throughout the grant term and a financial report. As a condition of receiving a grant, grant recipients shall agree to cooperate in an evaluation of the program carried out using grant funds. Upon selection of grant awardees, USDA will provide further guidance and direction regarding evaluation protocols and common indicators. Applicants should expect that evaluation protocols will include both process evaluations (qualitative and quantitative indicators of progress toward the objectives, accomplishment of activities) and outcome evaluations (to determine whether the objectives were met and what impact they had).

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. The single Audit process and compliance supplement.

Records:

The grantee must maintain records in accordance with the grant agreement. Such records must be retained for a period of three years after the date of submission of the final report for the fiscal year to which the records pertain.

Account Identification:

12-3539-0-1-605.

Obligations:

(Salaries) FY 12 \$0; FY 13 est \$5,000,000; and FY 14 est \$5,000,000

Range and Average of Financial Assistance:

Planning grants will range from \$35,000 - \$50,000 while Implementation and support service grants will range from \$65,000 - \$100,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: n/a. Fiscal Year 2013: Awarded 32 planning project and 36 implementation project awards in FY 2013. Visit the program website for an overview of all 68 projects, including funding amount, location, grantee type and project narrative: http://www.fns.usda.gov/cnd/F2S/pdf/F2S_Grants-FY2013.pdf. Fiscal Year 2014: n/a.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Matthew E. Russell 1400 Independence Ave., Washington, District of Columbia 29815 Email: matthew.russell@ams.usda.gov Phone: (202) 720-6765

Website Address:

<http://www.fns.usda.gov/f2s/farm-school>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: n/a. Fiscal Year 2013: Planning Grant: Lynwood Unified School District (USD), Lynwood, California, \$24,294; Lynwood USD is in the initial stages of farm to school planning. The district has begun to undertake measures to improve overall health of its students by incorporating salad bars into all the elementary schools. The district has embarked on many activities to increase awareness of food quality, nutrition, and origin among students, parents, and community. The next step in this plan is to continue to impress the importance of local food procurement on educators and other stakeholders by giving the district an opportunity to create a concrete plan for changing procurement strategy and nutrition education curriculum to reflect the importance of consuming locally.

Implementation Grant: Fayetteville School District #1, Fayetteville, Arkansas, 99,058

The proposed project will build on Fayetteville Public Schools (FPS) past experience and existing community partnerships to develop a comprehensive farm to school program that will have dual health/wellness and economic prosperity impacts. The program will increase farm to school awareness through educational events, experiential learning, and curriculum integration while simultaneously integrating activities related to sourcing local and regional foods into everyday workflows and meal preparation by food service staff. The program will provide culinary training and improve food processing capacity to ensure local products are utilized in school menus throughout the academic year on a regular basis. The program will also provide educational and networking opportunities for existing and future producers. Fiscal Year 2014: n/a.

CRITERIA FOR SELECTING PROPOSALS:

Criteria for selecting proposals is detailed in the RFA and includes degree to which the project serves a school or school district with a high percentage of free and reduced price meal enrollment; need, readiness and likelihood of success; alignment with farm to school program goals; project design and management; sustainability and transferability; and appropriateness of budget plan.

10.576 SENIOR FARMERS MARKET NUTRITION PROGRAM

Senior Farmers Market, or SFMNP

FEDERAL AGENCY:

Food and Nutrition Service, Department of Agriculture

AUTHORIZATION:

Farm Security and Rural Investment Act of 2002, Public Law 107-171, 116 Stat. 334; Section 4402, 7 U.S.C. 3007.

OBJECTIVES:

(1) To provide fresh, nutritious, unprepared, locally grown fruits, vegetables, herbs and honey from farmers markets, roadside stands and community supported agriculture programs to low-income seniors, and (2) to increase the consumption of agricultural commodities by expanding, developing, or aiding in the development and expansion of domestic farmers markets, roadside stands, and community supported agriculture programs.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grants are made to State departments such as health, agriculture and aging, United States territories and Federally-recognized Indian tribal governments, or any other agency approved by the chief executive officer of the State. Grant funds may be used to support the costs of the foods that are provided under the SFMNP but no more than ten percent may be used for administrative cost of the program. Ninety percent of grant funds may be used to support the costs of the foods that are provided under the SFMNP and ten percent may be used for administrative cost of the program.

Applicant Eligibility:

All State agencies that desire to receive a SFMNP grant must submit for review and approval, for each Federal fiscal year, a State Plan to the appropriate Regional Office of the Food and Nutrition Service (FNS), USDA. New State agencies are selected based on the availability of funds after base grants for currently participating State agencies are funded. Local SFMNP sites are selected by participating State agencies based on concentration of eligible senior participants and access to farmers' markets.

Beneficiary Eligibility:

Persons eligible for the program are low-income seniors, generally defined as individuals who are at least 60 years old and who have household incomes of not more than 185 percent of the federal poverty income guidelines (published each year by the Department of Health and Human Services). Some State agencies accept proof of participation or enrollment in another means-tested program, such as the Commodity Supplemental Food Program (CSFP) or Supplemental Nutrition Assistance Program (SNAP), for SFMNP eligibility.

Credentials/Documentation:

A signed and executed Federal/State Agreement (SF-339) is necessary before funds can be allocated to a participating SFMNP State agency. Costs will be determined in accordance with 7 CFR Part 3016, and FNS guidelines and

instructions. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Application is made through submission of a "State Plan of Program Operation and Administration" as required by law. This program is excluded from coverage under E.O. 12372. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Farmers or farmers' markets (depending on whether State agencies have agreements with farmers or markets) may apply to be authorized to accept SFMNP coupons under the program. The State agency reviews the applications for completeness and compliance with authorization requirements. The State agency contacts the farmer/farmers' market to inform them of qualification. Individual participants apply for SFMNP benefits at approved SFMNP sites.

Award Procedure:

Funds are awarded by the Department on the basis of funding formulas to State agencies. If the available funds are insufficient to meet the base grant levels for current SFMNP grantees, a pro-rata reduction will be applied to the grant levels awarded to all participating SFMNP State agencies. If additional funds become available for the SFMNP, such funds would first be distributed evenly among the current grantees to make whole their base grants for that fiscal year. Grant payments are made by a letter of credit.

Deadlines:

Nov 15, 2013: Set forth in the announcement. SFMNP State Plans for the current fiscal year are due to the appropriate FNS Regional office by November 15.

Range of Approval/Disapproval Time:

In general, FNS will announce SFMNP awards, and notify each State agency that did not receive an award, approximately 60 days after the date State Plans are due to FNS.

Appeals:

All decisions by the FNS are final.

Renewals:

This Program is authorized through September 30, 2013.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

SFMNP funds are provided using Federal Reserve Bank letters of credit. All SFMNP coupons must be issued prior to September 30 and must be redeemed by November 30 of each fiscal year. See the following for information on how assistance is awarded/released: State agencies may withdraw funds only as needed.

Reports:

Annual reports submitted to FNS specifying the following data: Number and type of recipients (Federal and non-federal); number of authorized farmers, farmers' markets, roadside stands and community supported agriculture (CSAs); value of coupons issued and redeemed; and financial expenditure data. Cash reports are not applicable. No progress reports are required. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

State agencies must maintain records as necessary to support the SFMNP funds.

Such records must be retained for a period of 3 years after the date of submission of the final report for the fiscal year to which the records pertain, except that if audit findings have not been resolved, the records shall be retained beyond the three year period as long as required for the resolution of the issues raised by the audit.

Account Identification:

12-3507-0-1-605.

Obligations:

(Formula Grants) FY 12 \$22,241,000; FY 13 est \$20,600,000; and FY 14 est \$20,600,000

Range and Average of Financial Assistance:

FY 13 grants ranged from \$9,937 to \$1,937,916.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: In FY 2012, 19,885 farmers and 3,988 farmers markets provided 882,219 low-income seniors with fresh fruits and vegetables. The number of participants was a 2.2% increase from the number of participants in FY 2011. Fiscal Year 2013: No Current Data Available. Fiscal Year 2014: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR 249, 7 CFR Part 3016, 7 CFR Part 3017, 7 CFR Part 3018

Regional or Local Office:

None.

Headquarters Office:

Debra Whitford Supplemental Food Programs Division, Food and Nutrition Service, USDA
3101 Park Center Drive, Alexandria, Virginia 22302 Email:
Debbie.Whitford@fns.usda.gov Phone: (703) 305-2746 Fax: (703) 305-2196.

Website Address:

<http://www.fns.usda.gov/fns/>.

RELATED PROGRAMS:

10.551 Supplemental Nutrition Assistance Program; 10.565 Commodity Supplemental Food Program; 10.572 WIC Farmers' Market Nutrition Program (FMNP)

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: No Current Data Available. Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

None applicable.

10.577 SNAP PARTNERSHIP GRANT

OMB Partnership Grant
National Accuracy Clearinghouse (NAC) Pilot

FEDERAL AGENCY:

Food and Nutrition Service, Department of Agriculture

AUTHORIZATION:

Consolidated Appropriation Act of 2010
Food & Nutrition Act of 2008, as Amended
The Stafford Disaster Relief and Emergency Assistance Act of 1988, Public Law 111-117, 7 U.S.C 272.1 - 272.8.

OBJECTIVES:

The purpose of the SNAP Partnership Grant is to establish an interstate data exchange of program information for the purpose of eliminating or at a minimum reducing duplicate participation of clients in the Supplemental Nutrition Assistance Program (SNAP) and Disaster Supplemental Assistance Program (D-SNAP).

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

The Partnership Fund will be used to (1) develop and implement a regional clearinghouse prototype in cooperation with a consortium of States and (2) fund evaluation activities to determine the efficacy of the solution and the savings

from reduced improper payments across participating programs. Funds were awarded to Mississippi, the lead State agency who will distribute the grant amongst the following States: Florida, Georgia, Louisiana, and Alabama. States receiving the Partnership Funding award must establish an inter state database of program information to support Supplemental Nutrition Assistance Program (SNAP) and Disaster SNAP (D-SNAP) eligibility determinations by allowing States to determine whether an applicant is already receiving SNAP benefits in a different participating State. 100% of these funds are set aside for discretionary activities. The total of the funding is \$2,500,000.

Applicant Eligibility:

Consortium of States will develop a national accuracy clearinghouse database. This database should inform the development of a more timely, large-scale data matching mechanism.

Beneficiary Eligibility:

State agencies administering the SNAP and D-SNAP programs.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

A memorandum of agreement is necessary amongst the States who are receiving funds from the Partnership Fund award. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. .

Award Procedure:

The State Consortium submitted a proposal to the OMB Partnership Fund for Program Integrity Innovation for a grant to develop a National Accuracy Clearinghouse for reducing improper payments. After OMB approved the proposal for funding, FNS selected the State Consortium to receive the grant award.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title 7, Chapter II, Part 272.1, Subpart (c)(1)(i). 272.8(a)(2).

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Funds should be expended within 26 months. Method of awarding/releasing assistance: lump sum.

Reports:

No program reports are required. No cash reports are required. FNS will require the State consortium to submit quarterly reports on the status of project activities.

FNS will submit an interim report to OMB within 18 months of receiving the transfer of funds from the Partnership Fund. The State consortium will submit quarterly financial status reports. Ongoing review of quarterly progress and financial reports to monitor the grantees project activities to ensure that the objectives, terms and conditions of the agreement are met; Periodic technical assistance to provide oversight on project activities including review of project plans and milestones; Monthly project status meetings with State agencies and representatives for the

purposes of technical assistance, training, problem solving, and sharing successful ideas.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. To Be Determined

Records:

State agencies must maintain records as necessary to support the use of the SNAP Partnership Fund grant in accordance with the Grant Agreement. Such records must be retained for a period of 3 years after the date of submission of the final report for the fiscal year to which the records pertain. In the event of an audit finding the records must be maintained until audit resolution is completed or as noted above.

Account Identification:

12-3505-0-1-605.

Obligations:

(Salaries) FY 12 \$2,500,000; FY 13 est \$0; and FY 14 est \$0

Range and Average of Financial Assistance:

The total amount of the award is \$2,500,000, which was awarded March 2012, in which \$2,000,000 will be used for development of interfaces for the six states and the database. \$500,000 will be reserved to fund an evaluation.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR 272.1 (c)(1)(i) and 272.8(a)(2)

Regional or Local Office:

None.

Headquarters Office:

Jane C. Duffield 3101 Park Center Drive Room 818, Alexandria, Virginia 22302 Email: Jane.Duffield@fns.usda.gov Phone: 7036054385

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: In FY 12, FNS awarded the \$2.5 million grant for the OMB Partnership Fund to the National Accuracy Clearinghouse database pilot project. The project entails development and evaluation of a system to provide real time data matching across five States to prevent duplicate participation in SNAP and Disaster SNAP (D-SNAP). The five States are GA, AL, FL, LA, with MS as the lead State. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.578 WIC GRANTS TO STATES (WGS)

FEDERAL AGENCY:

Food and Nutrition Service, Department of Agriculture

AUTHORIZATION:

Healthy, Hunger Free Kids Act of 2010, Section 17(h)(10)(A) of the Child Nutrition Act of 1966, as amended. Section 17(h)(10)(B) of the Child Nutrition Act of 1966, as amended.

OBJECTIVES:

To provide grants to WIC State agencies to plan, design and implement WIC electronic benefit transfer (EBT) systems so that Program benefits can be provided electronically. To provide grants to WIC State agencies to fund, implement, and evaluate innovative technology projects that improve WIC

services. To provide grants to WIC State agencies to plan, design, implement, enhance or transfer management information (MIS) systems. Please note the following grant activities:

1. WIC EBT Amendments (non-competitive). Grant funds for WIC EBT amendments are provided to WIC State agencies to support on-going EBT planning or implementation projects. These projects were originally funded through a competitive process using WIC technology or American Recovery and Reinvestment Act funds. Many WIC State agencies received only partial funding in their original grant award and amendments provide the additional funds needed to ensure all activities are completed and projects are successful.

2. WIC EBT Implementation (non-competitive). Implementation grant funds are provided to WIC State agencies to enhance and modify current management information systems to support EBT, conduct cost evaluations, and develop retailer management plans.

3. WIC State Agency Model (SAM) Amendments (non-competitive). Grant funds for WIC SAM amendments are provided to support WIC State agencies that are either part of the original SAM consortia, or those that need additional funding to complete implementation of a SAM transfer system. These funds are used by WIC State agencies for implementation completion as well as system enhancements through the SAM user group process.

4. WIC EBT Planning Grants (non-competitive). EBT Planning grants funds are provided to WIC State agencies to assess the feasibility of EBT for their State, as well as to perform a cost analysis to verify EBT affordability. The planning process is a WIC requirement prior to EBT implementation and looks at WIC Information System capabilities, retailer capabilities, and baseline paper cost compared to EBT operational cost within the State to better prepare for the challenges of EBT implementation. WIC State agencies must analyze both on-line and off-line EBT technologies to assess the most cost-effective solution.

5. WIC Technical Innovation Grants (competitive). WIC Technical Innovation grant funds are provided to WIC State agencies for the development of standardized reporting, retailer certification test scripts, and development of a web-based Internet system that will allow WIC participants to access grocer's websites, select WIC approved foods and purchase them with their EBT card from the convenience of their homes.

6. WIC SAM Transfers Grants (non-competitive). SAM Transfer grant funds are provided to WIC State agencies that have completed their planning activities, and have an approved Alternatives Analysis document that justifies a SAM as their choice of system transfer. Funds are used for implementation activities.

7. WIC MIS Amendments (non-competitive). Grant funds for WIC MIS amendments are provided to support WIC State agencies that have already received grant funding for their MIS projects. This includes planning for and transferring of an existing system, or developing a new system.

8. WIC MIS Implementation (non-competitive). WIC MIS Implementation grant funds are provided to WIC State agencies that are implementing a new MIS, or are upgrading/enhancing their current MIS. Activities included are design and development, testing, training and data conversion.

9. WIC MIS Planning (non-competitive). WIC MIS Planning grant funds are provided to WIC State agencies to assess their current system, complete a feasibility study and cost analysis as well as prepare a Request for Proposal for an implementation contractor.

To provide grants to WIC State agencies that improve and/or enhance nutrition services of the WIC program. WIC awards State agencies the following grants:

1. WIC Breastfeeding Peer Counseling Funds (discretionary). WIC Breastfeeding Peer Counseling Funds are intended to enable State agencies to implement or maintain effective breastfeeding peer counselor programs. Combining peer counseling with the on-going breastfeeding promotion efforts in WIC agencies has the potential to significantly impact breastfeeding rates

among WIC participants. The Food and Nutrition Services long-range vision is to institutionalize peer counseling as a core service in WIC.

2. WIC Special Projects Grants (discretionary). WIC Special Projects Grants are awarded to state agencies for special State projects of regional or national significance to improve the services of the program. Current projects focused on FNS Revitalizing Quality Nutrition Services (RQNS) in WIC initiatives. The goal is to improve and strengthen the effectiveness of WIC nutrition services. Full grants are awarded to States to evaluate ongoing initiatives aimed at improving these services. Full Project grants and WIC Concept Papers grants are awarded to States under the WIC Special Project Funds.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Technology grant funds are used to plan, develop and implement projects for WIC MIS and EBT. Grant funds are used to enhance and improve WIC service provision through the use of technology which will have a positive impact on the nutrition and health of WIC participants.

WIC Breastfeeding Peer Counseling grants are used to administer peer counseling programs based on research-based components of a successful peer counseling program as identified by FNS in the Loving Support model. This includes salary, office space and supervision of peer counselors. The use of funds for activities outside of the State agency's approved implementation plans or that are not supported by the Loving Support model are not authorized.

WIC Special Project Grants are used to plan, develop and implement approved special State projects of regional or national significance to improve the services of the program. The use of special project grant funds is limited to the State agency's FNS approved budget for the project.

Applicant Eligibility:

State agencies that administer the WIC Program are eligible to apply for WIC Grant to States funds. States may apply individually or as a coalition of States for WIC technology funds. States may apply individually for WIC Breastfeeding Peer Counseling and Special Project funds.

Beneficiary Eligibility:

WIC participants will be the ultimate beneficiary in that improved technology will allow for more efficient and effective clinic operations. Additionally, the WIC Program is moving towards the issuance of benefits through electronic benefit transfer. This will enhance their shopping experience and allow for greater flexibility in benefit delivery.

Pregnant and breastfeeding women participating in the WIC Program are encouraged to initiate and sustain breastfeeding by peer counselors funded by Breastfeeding Peer Counseling grants.

Program improvements resulting from Special Project Grants benefit all WIC participants.

Credentials/Documentation:

Only WIC State agencies that administer the WIC Program are eligible. Applicants must submit proper documentation through the FNS funding process to ensure adequate resources are available for project success. Applicants are required to submit a description of the technology/ special State project, staffing and budget documents, feasibility studies, cost allocation plans, and any other required documents. A properly executed Federal/State agreement between FNS and the State agency is necessary before funds can be allocated. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

WIC State agencies are no longer required to submit applications using the grant solicitation process. Applicants must submit requests using the FNS WIC MIS and/or EBT funding process, which relies on an approved APD as a pre-requisite step. Environmental impact information is not required for this program. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applicants must submit proper documentation to FNS for approval before the project begins.

Award Procedure:

FNS will review all documentation within 90 days of receipt to determine if the project meets all requirements. Continued funding will be provided if the WIC State agency meets all requirements and if funding is available.

WIC Breastfeeding Peer Counseling grants are provided to State agencies administering the WIC Program based on an FNS approved plan and the number of pregnant and breastfeeding women participating in the program.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

About 3 to 6 months for technology grants.

Approval determination for Breastfeeding Peer Counseling grant is made within 30 days of submission of a completed plan or amendment. Approval determination for the Special Project grants is made approximately 90 days from date of submission.

Appeals:

Not applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

For technology grants funds are available from 2-5 years, depending on project timeline. Obligations must occur within the specified award period.

The WIC Breastfeeding Peer Counseling and Special Project grants are released for the fiscal year using an electronic benefit transfer system. State agencies may withdraw funds only as needed. Method of awarding/releasing assistance: by letter of credit.

Reports:

Progress reports and financial status reports must be submitted as outlined in the cooperative agreement. Cash reports are not applicable. Quarterly progress reports are required. WIC State agencies must submit quarterly financial reports in the FNS Food Programs and Reporting System. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

State agencies must maintain records as necessary to support the use of all grants to States funds in accordance with the Cooperative Agreement. Such records must be retained for a period of 3 years after the date of submission of the final report for the fiscal year to which the records pertain, except that if audit findings have not been resolved, the records shall be retained beyond the three year period as long as required for the resolution of the issues raised by the audit.

Account Identification:

12-3510-0-1-605.

Obligations:

(Project Grants (Cooperative Agreements)) FY 12 \$73,486,000; FY 13 est

\$90,000,000; and FY 14 est \$103,600,000 - (Project Grants (Cooperative Agreements)) FY12 awards were funded using FY11 re-allocated funds (10,233,314); FY13 est \$70,000,000 - The following technology grants were awarded:

1. WIC EBT Amendments (non-competitive), 1 award totaling \$50,000. (FY12)
2. WIC EBT Implementation (non-competitive) 3 awards totaling \$1,156,203. (FY12); 8 awards totaling \$30,469,263. (FY13)
3. WIC SAM Amendments (non-competitive) 5 awards totaling \$5,012,420. (FY12); 1 award totaling \$12,958,505. (FY13)
4. WIC EBT Planning Grants (non-competitive) 2 awards totaling \$359,600. (FY12)
5. WIC Technical Innovation Grants (competitive) 1 award totaling \$30,600. (FY12)
6. WIC SAM Transfer Grants (non-competitive), FY 12 no grants awarded.
7. WIC MIS Amendments (non-competitive), 1 award totaling \$844,912. (FY12)
8. WIC MIS Implementation (non-competitive), 3 awards totaling \$2,779,579. (FY12); 1 award totaling \$962,559. (FY13).
9. WIC MIS Planning (non-competitive), No grants awarded. (FY12)

In addition to technology grants, the following discretionary grants were awarded:

1. WIC Peer Counseling (discretionary), FY 12, 86 grants, \$50,000,000; FY 13 est \$60,000,000; FY 14 est \$60,000,000.
2. WIC Special Projects Grants (discretionary), FY 12, no grants awarded; FY 13, 2 grants totaling \$150,000.

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: 10,233,314 of FY11 MIS Project Grant funds re-allocated; National increase in the number of Fully Breastfed Infants from 11.2% in FY11 to 12.1% in FY12. Fiscal Year 2013: Special project grant awards will provide funding to allow Virginia and Connecticut to expand on their FY 2011 concept paper development grants. Both projects focus on implementing and evaluating innovative strategies for retaining children (ages 1 through 4 years) currently participating in WIC through collaboration with Head Start and Early Head Start.

Fiscal Year 2014: No current data available.

. Fiscal Year 2014: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 3016, 7 CFR Part 3017, 7 CFR Part 3018.

Regional or Local Office:

See Regional Agency Offices. See the Food and Nutrition Service Regional Offices listed in Appendix IV of the Catalog.

Headquarters Office:

Debra Whitford 3101 Park Center Drive, Alexandria, Virginia 22067 Email: Debbie.Whitford@fns.usda.gov Phone: (703) 305-2746 Fax: (703) 305-2196.

Website Address:

<http://www.fns.usda.gov>.

RELATED PROGRAMS:

10.551 Supplemental Nutrition Assistance Program; 10.556 Special Milk Program for Children; 10.565 Commodity Supplemental Food Program; 10.572 WIC Farmers' Market Nutrition Program (FMNP); 93.283 Centers for Disease Control and Prevention_ Investigations and Technical Assistance; 93.600 Head Start

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available. Fiscal Year 2013: MIS - Estimated 30,000,000. Fiscal Year 2014: No Current Data Available.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable; State agencies will use the APD process for project approval and request funding using MIS and EBT funding request protocol.

10.579 CHILD NUTRITION DISCRETIONARY GRANTS LIMITED

AVAILABILITY

FEDERAL AGENCY:

Food and Nutrition Service, Department of Agriculture

AUTHORIZATION:

Richard B. Russell National School Lunch Act, as amended, 42 U.S.C. 1751, 1758, 1759a, 1761, 1765, 1766, 1769, 1772, 1773, 1779; School Breakfast Program (SBP); Child Nutrition Act of 1966, as amended, Public Laws 108-265, 104-193, 100-435, 99-661, 97-35; Special Milk Program (SMP); Child Nutrition Act of 1966, as amended; Child and Adult Care Food Program (CACFP); 89 Stat. 522-525, Summer Food Service Program (SFSP); Public Law 111-5. The 2010 Agriculture Appropriations Act (Public Law 111-80).

OBJECTIVES:

Administrative Review and Training (ART) grants are available to State agencies to assist local educational agencies' administrative personnel on application, certification, verification, meal counting, and meal claiming procedures.

Equipment Assistance grants are available to States agencies for providing equipment to improve school food services.

Grants to Improve Health and Nutrition are available to State agencies for projects that are aimed at improving the health and wellness of children in child care settings.

School Breakfast Expansion grants are available to eligible State agencies to provide subgrants to local educational agencies for qualifying schools to establish, maintain, or expand the School Breakfast Program.

Direct Certification Technical Assistance grants are available to assist States agencies in improving their direct certification processes and rates.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Assistance can be used for specific activities authorized by Child Nutrition legislation or regulation. In the past this has included grants to local educational agencies to improve application certification and verification, and meal counting and meal claiming procedures; grants to State agencies to improve their direct certification processes and rates; and grants to improve program access, health, and wellness of children. There may be restrictions, as required by legislation or regulation. Less than one percent of Child Nutrition funds are set aside for discretionary grants. In FY 2012, approximately \$9.3 million was available for discretionary grants.

Applicant Eligibility:

Determined by the legislation authorizing the grants.

Beneficiary Eligibility:

Determined by the legislation authorizing the grants.

Credentials/Documentation:

No Credentials or documentation are required. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Application package must be submitted electronically via <http://www.grants.gov>

Award Procedure:

Upon receipt of an application from an interested party a review is performed by the agency for eligibility and sufficiency of the Request For Applications (RFA) requirements. Subsequently, all acceptable applications are objectively reviewed by a technical panel according to established criteria set forth in the RFA. The panel makes award recommendations based on the scoring results.

The Food and Nutrition Service (FNS) selecting official then makes the final award decisions.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Selection of applicants for a grant award can take from 3 to 5 months depending on the number of applications that are received.

Appeals:

The RFA will provide information regarding appeals.

Renewals:

The RFA will provide information regarding renewals.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The period of performance of the grants vary based on project plan. Grant period of performance is generally from 1 year to 4 years. Extensions to the period of performance must be approved by FNS. Method of awarding/releasing assistance: by letter of credit.

Reports:

Grantees are required to submit both quarterly and a final financial and program progress reports. Grantees are required to submit both quarterly and a final financial and program progress reports. Grantees are required to submit both quarterly and a final financial and program progress reports. Grantees are required to submit both quarterly and a final financial and program progress reports. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

State agencies must maintain records as necessary to support the use of grant funds in accordance with the grant agreement. Such records must be retained for a period of 3 years after the date of submission of the final report for the fiscal year to which the records pertain, except that if audit findings have not been resolved, the records must be retained beyond the 3-year period as long as required for the resolution of the issues raised by the audit.

Account Identification:

12-3539-0-1-605.

Obligations:

(Project Grants (Discretionary)) FY 12 \$2,600,000; FY 13 est \$4,200,000; and FY 14 est \$4,000,000 - Administrative Review and Training. (Project Grants (Discretionary)) FY 12 \$50,000; FY 13 est \$0; and FY 14 est \$35,000 - Equipment Grants. (Project Grants (Discretionary)) FY 12 \$281,000; FY 13 est \$0; and FY 14 est \$0 - Improve Health and Nutrition. (Project Grants (Discretionary)) FY 12 \$1,000,000; FY 13 est \$1,000,006; and FY 14 est \$0 - School Breakfast Expansion. (Project Grants (Discretionary)) FY 12 \$9,006,000; FY 13 est \$1,000,000; and FY 14 est \$7,000,000 - Direct Certification.

Range and Average of Financial Assistance:

Grant awards range from \$50,000 to \$3,000,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: USDA awarded 5 Administrative Review and Training grants and 13 Direct Certification grants to States. Fiscal Year 2013: USDA awarded 5 Administrative Review and Training grants and 13 Direct Certification grants to States. There is no additional activity on grants to

Improve Health and Nutrition. Fiscal Year 2014: Not available.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 3016, 7 CFR Part 3018. Determined by the legislation authorizing the grants.

Regional or Local Office:

See Regional Agency Offices. See the Food and Nutrition Service Regional Offices listed in Appendix IV of the Catalog.

Headquarters Office:

Cynthia Long, 3101 Park Center Drive Room 640, Alexandria, Virginia 22302. Phone: 703-305-2590

Website Address:

<http://www.fns.usda.gov>

RELATED PROGRAMS:

10.553 School Breakfast Program; 10.555 National School Lunch Program; 10.556 Special Milk Program for Children; 10.558 Child and Adult Care Food Program; 10.559 Summer Food Service Program for Children

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Examples of projects and State summaries of activities funded through Administrative and training, Direct Certification, and School Breakfast Expansion grants are described at <http://www.fns.usda.gov/school-meals/grants>

Examples of State agency projects to Improve Health and Nutrition in child care settings are found at <http://www.fns.usda.gov/cnd/care/Grants.htm>. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: Not available.

CRITERIA FOR SELECTING PROPOSALS:

Applications are initially reviewed for eligibility and sufficiency, as defined in the RFA. Those applications that meet the criteria are reviewed by a technical panel based on specific criteria outlined in the RFA. The panel makes recommendations to the selecting official based on scoring results. The selecting official makes the final award decisions.

10.580 SUPPLEMENTAL NUTRITION ASSISTANCE PROGRAM, PROCESS AND TECHNOLOGY IMPROVEMENT GRANTS

Process and Technology Improvement Grants (PTIG)

FEDERAL AGENCY:

Food and Nutrition Service, Department of Agriculture

AUTHORIZATION:

Section, 17a(1), Food and Nutrition Act of 2008, 7 U.S.C. 2026(a)(a); Section 17(b)(1), 7 U.S.C. 2026(b)(1); Farm Security and Rural Investment Act of 2002, Public Law 107-171; Public Law 110-246, American Taxpayer Relief Act, Public Law 112-240.

OBJECTIVES:

Process and Technology Improvement Grants: The purpose of the PTIG grants is to support efforts by State agencies and their community-based and faith-based partners to develop and implement: 1) Simplified SNAP application and eligibility systems; or 2) Measures to improve access to SNAP benefits by eligible applicants.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Process and Technology Improvement Grants: Funds for PTIG projects are to be used to improve the quality and efficiency of operations within SNAP offices and no more than 25 percent of the grant funds may be used for outreach activities. Applications must comply with criteria listed in the Request for Application package. Applications must comply with criteria listed in the Request for Application package.

Applicant Eligibility:

Program Participation Grants: State agencies that administer the SNAP, State or local governments; agencies that provide health or welfare services; public health or educational entities and private nonprofit entities such as community-based or faith-based organizations, food banks, or other emergency

feeding organizations.

Beneficiary Eligibility:

Agreements are established between State Agencies and FNS. States use funds to improve service to SNAP applicants and recipients.

Credentials/Documentation:

Proof of nonprofit status is required of nonprofit organizations and institutions. Nonprofits must also submit an IRS Determination Letter, Form 501C (3). Specific instructions provided in Request for Application. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Instructions provided in Request for Application. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Application package should be prepared in accordance with instructions in a specific Request for Application (RFA) and sent to the grant officer identified in the RFA.

Award Procedure:

A Grant Review Technical Panel objectively reviews all complete and timely applications according to established review criteria provided in the Request for Applications and makes recommendations to Agency officials, who make final award decisions.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Provided in Request for Application.

Appeals:

Instructions provided in Request for Application.

Renewals:

Instructions provided in Request for Application.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Provided in Request for Application. Method of awarding/releasing assistance: by letter of credit.

Reports:

Not Applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

State agencies must maintain records as necessary to support the use of SNAP Grant funds in accordance with the Grant Agreement. Such records must be retained for a period of 3 years after the date of submission of the final report for the fiscal year to which the records pertain, except that if audit findings have not been resolved, the records shall be retained beyond the 3-year period as long as required for the resolution of the issues raised by the audit.

Account Identification:

12-3505-0-1-605.

Obligations:

(Salaries) FY 12 \$5,000,000; FY 13 est \$5,000,000; and FY 14 est \$5,000,000

Range and Average of Financial Assistance:

See www.fns.usda.gov/snap.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: FNS selected 11 local and state agencies to receive the annual SNAP Process and Technology Improvement Grants. The grants are authorized by the Food and Nutrition Act of 2008 which provides the Food and Nutrition Service the authority to award \$5 million dollars in funds each year. For FY 2012, FNS encouraged grant applications for projects that examine office processes and identify and implement efficiencies as well as projects that use technology to improve client services and save States valuable time and money. FNS selected organizations based on merit of project design, budget appropriateness, organizational experience and project oversight and evaluation. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Program Participation Grants: 7 CFR Part 3015, 7 CFR Part 3016, 7CFR Part 3017, 7 CFR Part 3021, 7 CFR Part 3019.

Regional or Local Office:

None.

Headquarters Office:

Moira Johnston 3101 Park Center Drive, Alexandria, Virginia 22302 Email: moira.johnston@fns.usda.gov Phone: 703-305-2515 Fax: 703-305-2486

Website Address:

<http://www.fns.usda.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Illinois Department of Human Services received \$1,254,276.45 to implement a Business Process Reengineering initiative to streamline and enhance service delivery systems. The State will develop an Operations Guide outlining goals and objectives for all local offices. Illinois will then hire and train five Operational Specialists to prepare for, implement and monitor the business process changes throughout the State.

San Francisco Human Services Agency received \$950,818.14 for an automated check-in system to decrease wait times and a task management system with document imaging technology to improve business processes.

The State of Maine, Department of Health and Human Services- Office for Family Independence received \$461,483.62 to integrate a new document management system with their current eligibility system and purchase self-service kiosks for their regional offices.

Texas Health and Human Services Commission received \$76,440.00 to implement a survey, update notification forms and implement on-demand interviews. The survey will identify reasons for client churning and evaluate if the updated forms and on-demand interviews decreased the number of participants who left the program and then reapplied shortly afterwards. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The review panel to score and rank applications uses Technical Review Criteria which includes: (1) Soundness or Merit of Project Design; (2) Budget Appropriateness and Economic Efficiency; (3) Organizational Experience and Management/Staff Capability; (4) Project Oversight and Evaluation; and (5) Presentation.

10.582 FRESH FRUIT AND VEGETABLE PROGRAM

FFVP

FEDERAL AGENCY:

Food and Nutrition Service, Department of Agriculture

AUTHORIZATION:

Richard B. Russell National School Lunch Act, 42 U.S.C. 1769.

OBJECTIVES:

To assist States, through cash grants, in providing free fresh fruits and vegetables to elementary school children.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Under Section 19 of the Richard B. Russell National School Lunch Act, 42 U.S.C. 1769, Federally appropriated Fresh Fruit and Vegetable Program funds are available to State agencies to operate during the school year. Selected low-income public and nonprofit private elementary schools are reimbursed for produce served to school children outside of the National School Lunch Program and School Breakfast Program food service periods.

All participating schools must agree to serve fresh fruits and vegetables free to all enrolled children, and to publicize fresh fruit and vegetable availability within the school. State agencies must select low-income public and nonprofit private elementary schools to participate. State agencies may select low-income public and nonprofit private elementary schools to participate.

Applicant Eligibility:

State agencies must select low-income public and nonprofit private elementary schools to participate.

Beneficiary Eligibility:

All elementary school children enrolled in schools participating in the Fresh Fruit and Vegetable Program.

Credentials/Documentation:

The applicant organization must be currently participating in the National School Lunch Program. The applicant must be an elementary school as defined by section 9101 of the Elementary and Secondary Education Act of 1965 (20 USC 7801). Costs will be determined in accordance with USDA Uniform Federal Assistance Regulations (7 CFR Part 3015 or 3016 and 3019). OMB Circular No. A-87 applies to this program. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

The school-level application prototype form was furnished by FNS and may be modified by the State agency. An applicant should consult the State office or official designated as the single point of contact in the State for the National School Lunch Program for more information on the process the State requires to be followed for participation in FFVP. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Public or private nonprofit elementary schools make application to the State Agency.

Award Procedure:

The elementary schools with the highest percentages of free and reduced price enrollment will be selected. The State agency then enters into an agreement with the selected schools for their participation in the program.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Agreements become effective upon approval by the State Agency.

Appeals:

The State agency is responsible for selecting schools based on high free and reduced price enrollment percentages.

Renewals:

Annual, amended as necessary.

Formula and Matching Requirements:

Statutory Formula:

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Permanent, amended as necessary, described by law. Method of

awarding/releasing assistance: by letter of credit.

Reports:

State agencies must ensure that each school food authority with participating schools maintains and submits reimbursement claim forms to the State agency in order to receive reimbursement. State agencies must submit expenditure data quarterly via the SF-425. States must submit final fiscal year grant close out reports within 120 days after the end of the grant period. No cash reports are required. A yearly progress report is required. This program is reviewed and reported on during the regular oversight activities under the National School Lunch Program. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audits may be conducted less frequently under conditions specified in A-133. The FFVP is subject to audits.

Records:

Schools must maintain full and accurate records of the FFVP. Such records must be retained for a period of 3 years after the end of the fiscal year to which they pertain, except that if audit findings have not been resolved, the records shall be retained beyond the 3-year period as long as required for the resolution of audit findings.

Account Identification:

12-3539-0-1-605.

Obligations:

(Project Grants) FY 12 \$137,000,000; FY 13 est \$289,000,000; and FY 14 est \$40,000,000 - Funding is allocated based on a funding formula. For school yearY 2011-2012, and each July 1, thereafter, the amount made available is \$150 million, as adjusted to reflect changes in the Consumer Price Index. The minimum grant to each of the 50 States and the District of Columbia will equal one percent of the funds made available to carry out this program for the school year. The remaining funds will be allocated to each of the 50 States, the District of Columbia, Guam, Puerto Rico and the Virgin Islands based on the proportion of the state population to the U.S. population.

Range and Average of Financial Assistance:

FFVP funds are allocated at a level of \$50 to \$75 per student per school year.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: USDA study confirms that students at elementary schools participating in this program consumed 15 percent more fruits and vegetable than nonparticipating students. Fiscal Year 2013: No Current Data Available
Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Cynthia Long, 3101 Park Center Drive Room 640 , Alexandria, Virginia 22302. Phone: 703-305-2590.

Website Address:

<http://www.fns.usda.gov>

RELATED PROGRAMS:

10.553 School Breakfast Program; 10.555 National School Lunch Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

None.

10.583 HUNGER FREE COMMUNITIES

Hunger-Free Communities Grants

FEDERAL AGENCY:

Food and Nutrition Service, Department of Agriculture

AUTHORIZATION:

These grants will be awarded under authority provided by the Food, Conservation, and Energy Act of 2008, Section 4405, 7 U.S.C. 8701. This section allows the Secretary of Agriculture to award grants to meet the hunger free communities goals described in the H. Con. Res. 302 (102nd Congress), Public Law 102-PIL 110-246, 8701 U.S.C 4405.

OBJECTIVES:

As defined in the H.Con.Res. 302(102nd Congress), the 14 goals of the Hunger-Free Communities initiative are:

1. Having a community-based emergency food delivery network that coordinates the services of programs such as food pantries, food banks, and congregate meals facilities.
2. Assessing food insecurity problems and evaluating existing services in the community to determine necessary strategies for responding to unmet needs.
3. Establishing a group of individuals, including low-income participants, to develop and to implement policies and programs to combat food insecurity, to monitor responsiveness of existing services, and to address underlying causes and factors related to hunger.
4. Participating in federally assisted nutrition programs that should be easily accessible to targeted populations, such as the Federal programs that provide school breakfast, school lunch, summer food, child care food, and food for homeless and older individuals.
5. Effectively integrating public and private resources, including local businesses, to alleviate food insecurity.
6. Having an education program about food needs of the community and the need for increased local citizen participation in activities to alleviate food insecurity.
7. Having available information and referral services for accessing both public and private programs and services.
8. Having initiatives for alleviating food shopping constraints through the development of creative food resources such as community gardens, buying clubs, food cooperatives, community-owned and operated grocery stores, and farmers' markets.
9. Carrying out activities to identify and target food services to high-risk populations.
10. Having adequate transport and distribution of food from all resources.
11. Coordinating food services with park and recreation programs and other community-based outlets to which residents of the area would have easy access.
12. Improving public transportation, human service agencies, and food resources.
13. Having nutrition education programs for low-income citizens to enhance good food-purchasing and food-preparation skills and to heighten awareness of the connection between diet and health.
14. Having a program for collecting and distributing nutritious food, either agricultural commodities in farmers' fields or foods that have already been prepared, that would otherwise be wasted.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

Grant funds may be used for any initiative that addresses the immediate food and nutrition needs of people experiencing hunger, improves access to food as part of a comprehensive service, develops new resources and strategies to help reduce hunger in the community, prevents hunger, assesses the extend and causes of hunger in the community, and/or develops a comprehensive plan to end hunger in the community. Grants may not be used for:
 constructing, expanding or repairing a facility or equipment; and
 purchasing equipment other than computer hardware and software, warehouses, storage space

"Equipment" means an article of nonexpendable, tangible personal property having a useful life of more than one year and an acquisition cost which equals or exceeds the lesser of the capitalization level established by the non-profit organization for financial statement purposes, or \$5,000. (2 CFR Part 230, Appendix B, Selected Items of Cost) Each unit purchase at \$4999.99 and below is considered materials. Materials that are necessary and related to the project

are an allowable cost if approved by the agency. \$5 million.

Applicant Eligibility:

Grant applications must include at least one of the 14 goals of the Hunger-Free Communities Initiative as defined in the H.Con.Res. 302 (102nd Congress). The grant will be used by the grant recipient to provide the targeted community with assistance for a hunger-free community. The Federal share of the cost of the activities funded by these grants shall not exceed 80 percent. The grantee is responsible for the remaining 20 percent. Grantees will be allowed to use the grant funds for the duration of the project period, not to exceed two years. These are collaborative grants, and applicants are required to partner with one or more organizations in their communities. Applicants are also required to partner with Food Policy Councils or their functional equivalent at the local level or include the creation of a Food Policy Council as part of their application should one not serve their community.

Beneficiary Eligibility:

Any individual or family who is a part of the targeted community and meets the guidelines as set by the grant recipient is eligible.

Credentials/Documentation:

Financial data, DUNS number. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program.

Award Procedure:

The Grant solicitation will provide information on evaluation criteria. A panel will convene to assign points and finalize selection.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. The deadline for submission will be stipulated in the request for applications.

Appeals:

A post award discussion may take place on request.

Renewals:

None.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
 Matching Requirements: Percent: 20.%. 20% matching is required. The matching criteria is available in the RFA.
 MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Two years from identified start date. Method of awarding/releasing assistance: by letter of credit.

Reports:

Yes, program reports are required on a quarterly basis as well as one final report 90 days after end of project. Reports required quarterly and at year's end. Yes, progress reports are required on a quarterly basis as well as one final report 90 days after end of project. Reports are required quarterly and at year's end. Program reports will provide information on performance and progress to meet grant objectives.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that

year, except as noted in Circular No. A-133.

Records:

No Data Available.

Account Identification:

12-3539-0-1-605.

Obligations:

(Salaries) FY 12 \$0; FY 13 est \$0; and FY 14 est \$0 - Total amount of fiscal year 2011 appropriations totaled \$4,978,000 for all grantees. The grants were multiple year awards.

Range and Average of Financial Assistance:

There is \$1 million set aside for grants in Model 1: Planning and Assessment Grants. These grants will be awarded up to \$100,000 per grantee. If all of the \$1 million is not used, the unused funds from Model 1 will be moved to funding for Model 2. Applicants in Model 1 will assess the hunger and food insecurity in their community and create a plan to become hunger-free.

The remaining \$4 million is set aside for grants in Model 2: Implementation Grants. Organizations applying for Model 2 grants must have already conducted an assessment and developed a plan to end hunger in their community as a condition of application. A total award pool of approximately \$4 million will be awarded with maximum awards varying by the population of the community: up to \$2 million for cities with a population greater than two million, up to \$1 million for metropolitan areas with a population greater than 50,000 but less than two million, and up to \$1 million for areas with less than 50,000 residents.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: A total of 14 grantees within 8 states utilized a combined \$5 million of appropriated funds to create and implement plans for a hunger-free community. Each grantee partnered with local community organizations, universities, local government agencies, and food policy councils. An interim status report was transmitted to Congress providing an overview of all grantee's efforts. Fiscal Year 2013: The 14 grantees completed their work within the grant. Final comprehensive reports were collected and remaining funds are being accounted for and reconciled. A final legislatively mandated narrative is being completed to transmit to Congress summarizing all funded activities and the degree of success each grantee met one of the 14 hunger-free goals as defined by H.Con.Res. 302 (102nd Congress). Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

legislative language

Regional or Local Office:

None.

Headquarters Office:

Raymond Magee Food and Nutrition Service
Office of the Chief Communications Officer, External and Governmental Affairs
3101 Park Center Drive, Alexandria, Virginia 22302 Email:
Raymond.Magee@fns.usda.gov Phone: (703) 305-2657

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Centro del Obrero Fronterizo received funds and launched the Mercado Mayapan Farmers Market in El Paso, TX. The market engaged 26 local farmers and greatly increased produce items at the SNAP-friendly market. A culturally relevant nutrition education was created and administered to the diverse population. It centered on the history and benefits of a traditional Mesoamerican diet.

North County Community Services in San Diego partnered with the Institute of Public Health at San Diego State University. A hunger resource guide was developed as well as a map of food service access points. A food policy council

was created for the community whose efforts produced a 7-point action plan to end hunger for the county. Fiscal Year 2013: The Poughkeepsie Farm Project in Poughkeepsie, New York focused on an assessment of the level of food insecurity within the county. Statistically random surveys were conducted to households measuring food security, food access, and food preferences. The Poughkeepsie Plenty Food Coalition was established as the community food policy council. The efforts of the council produced a comprehensive plan for a hunger-free city titled A Just and Vibrant Food City.

The United Way of New York City coordinated an emergency food network, assessed food insecurity within the city, increased participation in federal nutrition assistance programs, integrated public and private resources, increased public awareness of food needs, and increased accessibility of information and referral services for public and private resources. One of the numerous efforts through this project focused on senior outreach. 50,000 seniors were effectively reached and connected to important federal and community resources. Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Applications that meet the screening requirements will be referred to an appropriate technical review panel. Each panel member will rank the applications assigned to them by score from highest to lowest. Applications are scored based on the defined community's need for the project, a comprehensive project design, organizational capacity for successfully completing the project combined with strong community partnerships, and budget criteria.

The selection official will consider each panel's recommendations; however he or she may consider other USDA or FNS priorities such as geographic, demographic or socioeconomic diversity, and agency priorities in addition to the scores assigned by the technical review panels. The selection official may also determine that, based on their scores, few of the applications are of technical merit. In such a case, FNS may make fewer awards or smaller awards than expected or make no awards. In addition, FNS reserves the option to select one or more lower rated applications in order to achieve a diversity of projects and regional representation.

10.585 FNS FOOD SAFETY GRANTS

FEDERAL AGENCY:

Food and Nutrition Service, Department of Agriculture

AUTHORIZATION:

Richard B. Russell National School Lunch Act, Section 6(a)(3), 42 U.S.C 1755(a)(3); National Agriculture Research, Extension, and Teaching Policy Act of 1977, Section 1472(b), 7 U.S.C 3318(b); Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2010, 2010, Public Law 110-80; Richard B. Russell National School Lunch Act, Section 6(a)(3), 42 U.S.C 1755(a)(3); National Agriculture Research, Extension, and Teaching Policy Act of 1977, Section 1472(b), 7 U.S.C 3318(b), Public Law 110-80.

OBJECTIVES:

The Food and Nutrition Services Office of Food Safety provides funding to support its mission of increasing awareness, visibility, and impact of food safety on USDA nutrition assistance programs. There are five active grants funded. All of these grants are funded with two-year funds, for instance grants awarded in FY11 were active in FY11-12.

1. The U.S. Department of Agriculture, Food and Nutrition Service (FNS) established a Center of Excellence for School Food Safety Research to provide science-based support to improve the safety of foods provided through the FNS nutrition assistance programs, particularly those served in schools and child care settings. The Center provides an interdisciplinary and timely approach to applied food safety research needs related to FNS nutrition assistance programs, particularly the child nutrition programs, and develop written communications to convey research results to a variety of audiences, including scientists, policy makers, educators, and practitioners. (competitive)

2. The National Food Service Management Institute, an institute established by Congress to support Child Nutrition Programs, provides support to the FNS Office of Food Safety for developing food safety education programs and

materials and conducting applied research related to food safety education. (non-competitive)

3. The National Environmental Health Association supports and promotes the use of the Food-Safe Schools Action Guide and maintains the online peer-reviewed school food safety resource list. (non-competitive)

4. The Institute of Medicine Food Forum provides opportunities for science and technology leaders in the food industry, government, consumer groups, and academicians to identify and discuss contemporary issues related to nutrition, food science, food safety, and food technology. Outcomes of the Food Forum include meetings and workshops, summaries or proceedings of meetings and workshops, and independent cooperative projects. (non-competitive)

5. The National Education Association Health Information Network reaches teachers, custodians, schools food service workers, nurses, bus drivers, and other education support professionals with health messages. They will support the Office of Food Safety in creating a resource on preventing allergic reactions to food in schools, especially for foods serviced outside of the cafeteria as part of child nutrition programs. (non-competitive).

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

Funding is provided to a university or organization to achieve the objectives of the grant. The university or organization may award sub-grants to obtain the expertise and skills needed to accomplish the objectives of the Center. Guidance on restrictions are set forth in the grant document.

Applicant Eligibility:

Assistance may be used to conduct research or perform other tasks to benefit FNS nutrition assistance programs in the area of food safety.

Beneficiary Eligibility:

FNS nutrition assistance program decision makers, FNS nutrition assistance program providers, and children and teachers.

Credentials/Documentation:

Not currently applicable. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Not currently applicable.

Award Procedure:

All applications that meet the published deadline for submission will be screened for completeness and conformity to the requirements as announced in the RFA package. Grants are awarded by a competitive process via a technical review panel composed of FNS and other Federal staff that will meet to determine the technical merit of each grant application, provide a numerical score, and make recommendations to selecting officials.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. There are presently no open requests for applications.

Appeals:

From 30 to 60 days.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. This program has no matching requirements. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Not currently applicable. Method of awarding/releasing assistance: quarterly.

Reports:

The quarterly and final programmatic and financial reports are required to be submitted by the grantee in accordance with the grant terms and conditions. No cash reports are required. Quarterly progress reports are required. Quarterly budget reports are required. The grantee will work closely with the Agency on performance progress.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

The grantee must maintain records in accordance with the Grant Agreement. Such records must be retained for a period of 3 years after the date of submission of the final report for the fiscal year to which the records pertain, except that if audit findings have not been resolved, the records shall be retained beyond the 3-year period as long as required for the resolution of the issues raised by the audit.

Account Identification:

12-3539-0-1-605.

Obligations:

(Salaries) FY 12 Not Available; FY 13 est \$1,215,000; and FY 14 Estimate Not Available - FY11: National Food Service Management Institute, \$115,000; Institute of Medicine Food Forum, \$15,000; School Nutrition Foundation, \$48,368; National Education Association Health Information Network, \$192,466; Center of Excellence, \$1,599,981.

FY12: National Food Service Management Institute, \$400,000; Institute of Medicine Food Forum, \$15,000; National Environmental Health Association, \$100,000.

FY13: National Food Service Management Institute, 400,000; Institute of Medicine Food Forum, \$15,000; Center of Excellence, \$800,000.

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Center of Excellence for Food Safety in Child Nutrition Programs The Center is starting projects such as an expansion of the school food cooling study and an evaluation of the guidance for developing a school food safety plan based on Hazard Analysis and Critical Control Points principles.

NFSMI Food Safety Cooperative Agreement In FY 2012 NFSMI will continue to conduct program research, develop training resources, and conduct evaluations in the area of food safety. FNS also is working with NFSMI to develop educational materials on inventory tracking and management for district and school level foodservice employees.

Norovirus Outbreak Prevention FNS and NFSMI are also working on employee health and hygiene educational materials for schools and child care that will help minimize the likelihood of norovirus and other foodborne illness infections to be spread from ill food handlers.

Food Defense Awareness In FY 2012, the tabletop exercise (TTX) resource kit is being field tested at three additional sites. The project will culminate in the release of a turnkey package that State agencies or school food authorities can use to conduct a school food defense TTX. The final field-tested resource kit is projected to be released in Fall 2012.

Produce Safety FNS and NFSMI are currently developing train-the-trainer materials designed to help course attendees train others to extend the reach of the Produce Safety University. FNS also awarded a grant to the School Nutrition Foundation to develop four produce safety webinars, to be conducted in FY 2012

Food Allergies FNS and NFSMI are collaborating to develop a series of fact sheets on the eight major food allergens and related topics, such as roles and

responsibilities of school foodservice staff and preventing cross-contamination. In FY 2011, a grant was awarded to the National Education Association Health Information Network to develop a booklet for school employees on managing food allergies throughout the school environment, which will be available in Summer 2012. Fiscal Year 2013: National Food Service Management Institute Food Safety Cooperative Agreement

Completed Responding to a Food Recall: Procedures for Recalls of USDA Foods curriculum for a 4-hour training and conducted a train-the-trainer orientation. This training provides an overview of the recall process for USDA Foods, focusing on school meals programs and the roles of various entities in communicating information to ensure that recalls are handled in a timely and effective manner.

Updated sample Standard Operating Procedures (SOPs) for Cleaning and Disinfecting Body Fluid Spills and Assembling a Body Fluid Cleanup Kit. Developed the curriculum, Everyone Plays a P.A.R.T. Norovirus Control in Child Care Facilities. These materials are part of a two-hour, face-to-face, hands-on norovirus training course.

Published Employee Health and Personal Hygiene: Guidance for Child Care Center Directors.

Trained over 1,500 school nutrition personnel in Food Defense, Food Safety Basics, Norovirus and Serving It Safe.

Published a series of fact sheets on the eight major food allergens and related topics, such as roles and responsibilities of school nutrition program staff and preventing cross-contamination.

Center of Excellence for Food Safety in Child Nutrition Programs

Completed research for a cooling study designed to examine the effectiveness of common cooling methods used for foods in schools. The results of this study were published in two peer reviewed, professional journals, and will be used to develop best practices for school foodservice operations.

Conducted a pilot immersion course in food science for school nutrition professionals. The course enhanced school nutrition professionals knowledge of the core scientific principles that support a school food safety program based on HACCP principles.

Completed research for a study examining the implementation of school food safety programs based on HACCP principles. The information gathered in this study will generate priorities for research and employee education. A research report was submitted to the USDA.

Completed research for a food safety practices study designed to assess food safety violations commonly observed in schools during health inspections. The results of the study will provide guidance on training needs for school nutrition professionals.

Concluded research for a study designed to assess the efficacy of produce wash methods. The study results will offer guidance for developing best practices in school foodservice operations. A research report was submitted to the USDA.

Institute of Medicine Food Forum

The Food Forum provides a venue for food safety and nutrition issues relevant to FNS nutrition assistance programs and helps keep FNS on the cutting edge of developments in these fields. The Forums activities are supported by the contributions of governmental and corporate representation on the Forum.

National Education Association Health Information Network

In FY11-12, NEA HIN developed a booklet for school employees on managing food allergies throughout the school environment, which was published in December 2012. The booklet was distributed to FNSs state agency partners in 2013.

National Environmental Health Association

Posted a thorough review of the resources for schools on their Food Safety Resources webpage.

Continued work on online needs assessment tool to identify local health departments/agencies that have good working relationships with their schools and have an interest in using the revised Food-Safe Schools Action Guide resource to foster those relationships.

Conducted a roundtable discussion with Environmental Health/Food Safety professionals to collect existing procedures and capture ideas for best practices for collaboration and communication with schools.

Collaborated on presentations at conferences and workshops to introduce and promote the upcoming revised Food-Safe Schools Action Guide. Fiscal Year 2014: Not available.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 3015, 7 CFR Part 3016, 7 CFR Part 3017, 7 CFR Part 3018, 7 CFR Part 3019, 7 CFR Part 3021, and 7 CFR Part 3052.

Regional or Local Office:

None.

Headquarters Office:

Brenda Halbrook Office of Food Safety
Food and Nutrition Service

3101 Park Center Dr. , Alexandria, Virginia 22302 Email:

Brenda.Halbrook@fns.usda.gov Phone: 703-305-2608 Fax: 703-305-2420

Website Address:

http://www.fns.usda.gov/fns/food_safety.htm

RELATED PROGRAMS:

10.579 Child Nutrition Discretionary Grants Limited Availability

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.586 SPECIAL SUPPLEMENTAL NUTRITION PROGRAM FOR WOMEN, INFANTS AND CHILDREN; NUTRITION EDUCATION INNOVATIONS

WIC Nutrition Education Innovations Grant, WIC Periconceptual Grant

FEDERAL AGENCY:

Food and Nutrition Service, Department of Agriculture

AUTHORIZATION:

National Agriculture Research, Extension, and Teaching Policy Act of 1977, Section 1403(8), 7 U.S.C. 3102, Section 1403(8), 7 U.S.C 3102.

OBJECTIVES:

These awards enable Food and Nutrition Service (FNS) to enter into grants and cooperative agreements with States and local governments, universities, hospitals and non-profit organizations to identify, develop, and undertake projects to meet FNS program needs and the food, nutrition, and health of program eligible participants. The grantees and recipients are expected to work cooperatively with FNS to:

- Support researcher-initiated projects that use a common approach to reporting findings to ensure transparency and facilitate a meta-analysis of all projects;
- Coordinate activities among researchers;
- Effectively use technology and digital media to achieve desired outcomes; and
- Advance communication and coordination to improve target behaviors.

The grants and cooperative agreements plan to enable FNS to be more responsive to program needs and the food, nutrition, and health needs of FNS program participants.

The objective of the WIC Periconceptual Grant is to establish a university-based grant series for researcher-initiated projects to demonstrate creative approaches to evaluate the impacts of WIC on periconceptual nutrition. FNS focus for this project is the direct and indirect effects of WIC participation by one or more family members during the periconceptual period and the implications for service delivery. During the periconceptual period the time period immediately prior to conception and in the first few days and weeks following conception nutrition can impact placental and embryonic development with critical lifelong implications. This project supports a university-based grant series for researcher-initiated projects to demonstrate creative approaches to evaluate WIC impacts on periconceptual nutrition, coordinate activities among researchers, and widely disseminate findings from current research.

The WIC Nutrition Educations Innovations' Grant's objective is to enhance the

effort to identify, develop, evaluate and disseminate innovative and effective WIC nutrition education interventions. This is to be accomplished by providing grant funding for a university-based center with this charge, including a program of sub-grants to support development and evaluation of WIC-focused researcher-initiated innovations from researchers across the nation.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

For both the WIC Periconceptional Grant and the WIC Nutrition Education Innovations Grant, the following uses and use restrictions apply:

Cooperative Agreement Grants will be made to State and local governments, universities, hospitals, and non-profit organizations for awarding cooperative agreements, and grants to design, develop and evaluate innovative approaches for Food and Nutrition Service (FNS) programs, such as WIC, to meet FNS program needs and to strengthen their impact on the food, nutrition and health of program eligible participants. The grant and cooperative agreement recipient is task with:

- making sub-grants,
- coordinating efforts among sub-grantees,
- evaluating impact of FNS programs, such as WIC, on food, nutrition and health,
- providing a summary and syntheses of these and related efforts, such as meta-analysis on the results,
- composing and coordinating conference on observations and findings, and
- providing FNS with cost neutral approaches to improving FNS programs.

FNS' primary objective for these grants and cooperative agreements is to support interest in exploring better ways to improve and assess Food and Nutrition Service program needs and their impact on the food, nutrition and health of program eligible participants. The grants should enhance knowledge and further research activities by the academic community, FNS and others. See the RFP. The grant and cooperative agreement funds are provided to recipients (grantees) responsible to spend the funds in accordance with what is set forth in the grant or cooperative agreement, and applicable Federal circulars such as A-110. The source and use of the funds for the grants and cooperative agreements will be tied to a project of interest to one or more of FNS' program areas. FNS has the discretion to select (through competition) what institution will receive the funds and to what research endeavors they will be applied, assuming that research is not specified in the law or other directive.

Applicant Eligibility:

The grant is to be used to establish grants and cooperative agreements to identify, develop and undertake projects to meet Food and Nutrition Service (FNS) program needs and the food, nutrition, and health needs of program eligible participants.

Beneficiary Eligibility:

Cooperative agreements are awarded to State and local governments, hospitals, non-profit organizations and accredited colleges/universities offering advanced degrees at the PhD level in biological and social sciences such as sociology, psychology, education, nutrition, public health and economics. This is a requirement for the selected recipient of the grants and cooperative agreements, not the sub-grantees. Cooperative agreement recipients are to award sub-grants to researchers to identify, develop, and evaluate interventions and innovative approaches to strengthen the impact of Food and Nutrition Service programs, such as WIC, on the food, nutrition, and health of program eligible participants.

Credentials/Documentation:

OMB Circular No. A-87 applies to this program. Nonprofit organizations are required to submit proof of nonprofit status. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applicants were required to submit a grant or cooperative agreement proposal, along with an Application for Federal Assistance SF-424(R&R). Requirements, (such as submission, formatting, budget, etc.) for the grant or cooperative agreement proposal were specified in the Request for Application.

All applications had to be submitted electronically through www.grants.gov. Applicants were only allowed to submit paper-copy applications under extenuating circumstances, such as outages of the Grants.gov website that can be substantiated by a valid case number with the Grants.gov support center.

Award Procedure:

Proposals were evaluated on the criteria of research merit, overall approach, feasibility, and staffing/budget/time line. Based on the responses to the criteria, applications were reviewed and scored by panel evaluators. The proposals were ranked by score, starting with the highest score and presented to selecting officials based on this ranking. Selecting officials considered the panel rankings and comments, recommendations from Food and Nutrition Service (FNS) staff, and other pertinent information before deciding which proposals to fund. FNS selecting officials reserved the right to fund proposals out of rank order based on written assessments made by evaluation panel members, information provided by regional office staff, and agency priorities.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Contact the Headquarter's contact mentioned at the end of this description.

Appeals:

Specified in the RFPs for the WIC Nutritional Education Innovations Grant and the WIC Periconceptional Grant.

Renewals:

Specified in the RFP for the WIC Periconceptional Grant and the WIC Nutritional Education Innovations Grant.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The total time period for which a grant or cooperative agreement is awarded may not exceed 60 months. See the following for information on how assistance is awarded/released: Funding will be made to successful recipients as specified in the terms of the grant or cooperative agreement with Food and Nutrition Service or as applicable under federal regulation requirements.

Reports:

Program reports are required according to the terms of the grant or cooperative agreement with Food and Nutrition Service or as applicable under federal regulation requirements. Report SF-PPR is to be filed by the recipient according to the terms of the grant or cooperative agreement. Cash reports are not applicable. Progress reports are required according to the terms of the grant or cooperative agreement with Food and Nutrition Service or as applicable under federal regulation requirements. Expenditure reports are required according to the terms of the grant or cooperative agreement with Food and Nutrition Service or as applicable under federal regulation requirements. Report SF 425 is to be filed by the recipient according to the terms of the agreement or as applicable under federal regulation requirements. Performance monitoring reports are required to be filed by the recipient according to the terms of the cooperative agreement with Food and Nutrition Service or as applicable under federal regulation requirements.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that

year, except as noted in Circular No. A-133.

Records:

Records (financial/administrative/grant) are to be maintained according to the time requirements specified in the terms of the grant, or cooperative agreement with Food and Nutrition Service or as applicable under federal regulation requirements.

Account Identification:

12-3510-0-1-605 - To be supplied at time of grant or cooperative agreement award.

Obligations:

(Salaries) FY 12 Not Available; FY 13 Estimate Not Available; and FY 14 Estimate Not Available - In 2011, the WIC Perioconceptional Grant was awarded in the amount of \$997,759 to a university-based center to award subgrants. The total amount award will be distributed over the 5 year period (2011-2016) to the primary grantee, UCLA. The 7 WIC clinics that are involved through subgrant awards are:

- Oregon WIC Program
- East Baton Rouge Parish WIC Clinic, and Capitol City Family Health Center WIC Clinic at Family Roads
- San Luis Valley WIC Clinic
- Public Health Foundation (PHFE) WIC Program
- Massachusetts WIC Program
- the WIC Nutrition in Pregnancy Clinic (NIP) in the Johns Hopkins Obstetrics and Gynecology (OB/GYN) Department
- Sonoma County WIC Program, and the San Francisco County WIC Program

In 2012, the WIC Nutrition Education Innovations Grant was awarded in the amount of \$1,999,980 to a university-based institution, Baylor College of Medicine, to administer the grant and make awards to sub-grantee researchers working with a WIC agency. Approximately 5-10 sub-grantee awards are expected to be made by September 30, 2013. The grant funds will be distributed over a 4 year period (2012-2016) to the primary grantee, Baylor College of Medicine-Houston, who distributes the sub-grant awards.

Range and Average of Financial Assistance:

The two grants to primary grantees described in this announcement range from \$997,759 to \$1,999,980, with the average being \$1,498,869.50.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: In 2012, an award of \$1,999,980 was made to the primary grantee, Baylor College of Medicine-Houston. This grant award funds the establishment of a University-Based Center for Collaborative Research on WIC Nutrition Education Innovations. The Center promotes innovative and high-quality research on WICbased nutrition education, coordinates activities among researchers, and widely disseminates findings. At the conclusion of the grant period, Baylors College of Medicine's Office of Research will plan, convene, and actively participate in a workshop/conference, which is to include the sub-grantees. The purpose of the conference will be to discuss and disseminate findings and accomplishments that resulted from the researcher-initiated grants awarded to the sub-grantees. The Center will produce a summary and synthesis of these and related efforts (such as a meta-analysis on the results) and widely disseminate this information. Fiscal Year 2013: Estimate Not Available. Fiscal Year 2014: Estimate Not Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None. n/a.

Headquarters Office:

Lael Lubing 3101 Park Center Drive Room 732, Alexandria, Virginia 22302
Email: lael.lubing@fns.usda.gov Phone: 703-305-2048

Website Address:

No Data Available

RELATED PROGRAMS:

10.557 Special Supplemental Nutrition Program for Women, Infants, and Children

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: see <http://www.fns.usda.gov/ora/menu/DemoProjects/WICPerioconceptional.htm>.
Fiscal Year 2013: not available yet. Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Proposals for these two Food and Nutrition Service grants were evaluated on the criteria of research merit, overall approach, feasibility, and staffing/budget/time line. Based on the responses to the criteria, applications were reviewed and scored by panel evaluators. The proposals were ranked by score starting with the highest score and presented to selecting officials based on this ranking. Selecting officials considered the panel rankings and comments, recommendations from Food and Nutrition Service (FNS) staff, and other pertinent information before deciding which proposals to fund. FNS selecting officials reserve the right to fund proposals out of rank order based on written assessments made by evaluation panel members, information provided by regional office staff, and agency priorities.

10.587 NATIONAL FOOD SERVICE MANAGEMENT INSTITUTE ADMINISTRATION AND STAFFING GRANT

NFSMI

FEDERAL AGENCY:

Food and Nutrition Service, Department of Agriculture

AUTHORIZATION:

Richard B. Russell National School Lunch Act, as amended, 42 U.S.C. 1751, 1758, 1759a, 1761, 1765, 1766, 1769, 1772, 1773, 1779; School Breakfast Program (SBP); Child Nutrition Act of 1966, as amended, Public Laws 108-265, 104-193, 100-435, 99-661, 97-35; Special Milk Program (SMP); Child Nutrition Act of 1966, as amended; Child and Adult Care Food Program (CACFP); 89 Stat. 522-525, Summer Food Service Program (SFSP); American Recovery Act and Reinvestment Act of 2009, Public Law 111-5. The 2010 Agriculture Appropriations Act (Public Law 111-80).

OBJECTIVES:

The National Food Service Management Institute (NFSMI), is part of the School of Applied Science at The University of Mississippi, and is the only federally funded national center dedicated to applied research, education and training, and technical assistance for Child Nutrition Programs. The Institute was established and authorized by Congress, in 1989, and funded at The University of Mississippi in 1991 by a grant administered through the United States Department of Agriculture (USDA), Food and Nutrition Service (FNS). In 1994, the Institute was permanently authorized. The specific duties (described below) of the Institute can be found in section 21 of the Richard B. Russell National School Lunch Act, 42 U.S.C. 1769b-1.

General Education Cooperative Agreement:

The Institute also receives \$800,000 for general education through a non-competitive cooperative agreement. The general education agreement supports activities under Team Nutrition. Team Nutrition is a USDA initiative to support the Child Nutrition Programs through training and technical assistance for foodservice, nutrition education for children and their caregivers, and school and community support for healthy eating and physical activity.

In addition the FNS Office of Food Safety provides funding to support its mission of increasing awareness, visibility, and impact of food safety on USDA nutrition assistance programs. The Institute provides support to the FNS Office of Food Safety for developing food safety education programs and materials and conducting applied research related to food safety education (non-competitive). To see a complete description at CFDA 10.585 FNS Food Safety Grants.

Administration and Staffing Grant:

The Institute receives \$5,000,000 through a non-competitive grant each year as stipulated in section 21 of the Richard B. Russell National School Lunch Act, as amended and reauthorized, for activities that include training, applied research, preparation of informational materials and maintenance of an

information clearinghouse. The grant funds staff positions in the Institutes four divisions: Education and Training, Information Services, Applied Research and Administration.

Mission and Vision:

The Institute's mission is to provide information and services that promote the continuous improvement of Child Nutrition Programs. The vision is to be the leader in providing education, research, and resources to promote excellence in Child Nutrition Programs. The Institute serves anyone connected with the Child Nutrition Programs: National School Lunch, School Breakfast, Summer Food Service, and Child and Adult Care Food Programs. As a national center, and to fulfill its mission, the Institute develops educational resources, and offers training and technical assistance using appropriate technology for child nutrition professionals across the nation and conducts applied research. It is the training and technical assistance arm of USDA, Child Nutrition Division (CND).

TYPES OF ASSISTANCE:

Cooperative Agreements; Project Grants

USES AND USE RESTRICTIONS:

Funding is provided to a university or organization to achieve the objectives of the grant. The university or organization may award sub-grants to obtain the expertise and skills needed to accomplish the objectives. Guidance on restrictions is set forth in the grant document.

Applicant Eligibility:

Non-competitive. Appropriated by Congress to provide financial and other assistance to the University of Mississippi, in cooperation with the University of Southern Mississippi, to establish and maintain a food service management institute.

Beneficiary Eligibility:

FNS nutrition assistance program decision makers, FNS nutrition assistance program providers, and children and teachers.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Application package must be submitted electronically via <http://www.grants.gov>.

Award Procedure:

Applicant must meet the non-competitive grant and cooperative agreement submission requirements for completeness and conformity in a grant application, statement of work and budget. FNS will determine the technical merit of each grant and cooperative agreement application, approve, and then award funds.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

From 30 to 60 days.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grant period of performance is generally for 3 years. Extensions to the period of performance must be approved by FNS and limited to a maximum of 5 years. Method of awarding/releasing assistance: by letter of credit.

Reports:

The quarterly and final programmatic reports are required to be submitted by the grantee in accordance with the grant terms and conditions. The quarterly and final financial reports are required to be submitted by the grantee in accordance with the grant terms and conditions. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

The grantee must maintain records in accordance with the Grant agreement. Such records must be retained for a period of 3 years after the date of submission of the final report for the fiscal year to which the records pertain, except that if audit findings have not been resolved, the records shall be retained beyond the 3-year period as long as required for the resolution of the issues raised by the audit.

Account Identification:

12-3539-0-1-605.

Obligations:

(Salaries) FY 12 \$5,000,000; FY 13 est \$5,000,000; and FY 14 est \$5,000,000 - FY 2012: \$800,000 Cooperative Agreement; FY 2013: \$800,000 Cooperative Agreement; FY 2014: \$800,000 Cooperative Agreement.

Range and Average of Financial Assistance:

Available General Education Cooperative Agreement (non-competitive) - \$800,000.
Available Administration and Staffing Grant (non-competitive) - \$5,000,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: The Institute has provided education and training on topics, including the First Lady's Chefs Move to Schools, food safety, school gardens, USDA's Healthier US School Challenge, nutrition, new meal pattern, school wellness, marketing, financial management, facility design, procurement practices, and human resource management to professionals from across the nation and the Virgin Islands.

In addition, the Institute's research division has completed various phases of projects involving factors that impact participation in middle schools, research needs in farm to school programs, impact of serving healthier school meals on school nutrition programs, and knowledge and skill statements for State agency staff. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 3016, 7 CFR Part 3018. Determined by the legislation authorizing the grants.

Regional or Local Office:

None.

Headquarters Office:

Cynthia Long, 3101 Park Center Drive Room 640, Alexandria, Virginia 22302. Phone: 703-305-2590

Website Address:

<http://www.fns.usda.gov>

RELATED PROGRAMS:

10.553 School Breakfast Program; 10.555 National School Lunch Program;

10.556 Special Milk Program for Children; 10.558 Child and Adult Care Food Program; 10.559 Summer Food Service Program for Children

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.588 ASSESSMENT OF ALTERNATIVES TO FACE-TO-FACE INTERVIEWS IN SNAP

Assessment of Face-to-Face Interviews

FEDERAL AGENCY:

Food and Nutrition Service, Department of Agriculture

AUTHORIZATION:

The Food Stamp Act of 2008 , Section 17 (a)(1).

OBJECTIVES:

To examine how/if eliminating the client interview for SNAP benefits affects administration of the SNAP program and client outcomes.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Assistance shall be used to defray the cost for the states to implement the demonstrations and participate in the evaluation of the demonstrations.

Applicant Eligibility:

Allowable use of funds includes, but is not limited to, personnel costs; office and research supplies; travel for data collection; and technology (both hardware and software) necessary for operating the Cooperative Agreement.

Beneficiary Eligibility:

This project will be beneficial to both state and local SNAP offices, as it will inform them of how eliminating the face-to-face interview for SNAP applicants affects the administration of the program. The clients, in turn, will benefit from the project because the findings of the study will be used by the state and local offices to improve their administration of the program.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

Applicants will submit their response to the RFA through grants.gov. FNS will prescreen all applications to ensure that they contain the required documents and information. If an application does not include all appropriate information, FNS will consider the application to be non-responsive and will eliminate it from further evaluation.

Following the initial screening process, FNS will assemble a panel to review and determine the technical merits of each application based on how it addresses the required application components. The panel will award the grants to applicants whose application best demonstrates the capacity to implement and operate the pilots. Preference will be given to those applications that address all of the criteria completely, explain in detail how the pilot will be designed and implemented, and show capacity and willingness to cooperate fully with the data collection and evaluation. In addition, the applications that best detail a process for collecting the necessary information from clients to verify cases with minimal contact under the no interview model will merit higher scores. Additional points will also be given to states applying for the

regular evaluation but have the capacity to conduct more than the minimum suggested QC-like reviews at the pilot site.

The selection official will consider the panels recommendations. The selection official may consider other FNS priorities, such as geographic, demographic, socioeconomic diversity or size of QC sample in addition to the scores assigned by the technical review panel. FNS reserves the option to select one or more lower-rated applications in order to achieve a diversity of projects and regional representation.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

From 30 to 60 days.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

28 months - September 2011 through December 2013. See the following for information on how assistance is awarded/released: Four equal installments.

Reports:

No program reports are required. No cash reports are required. Quarterly progress reports from each participating state will provide details about the status of the demonstrations. No expenditure reports are required. The external third party evaluator will monitor the demonstrations and conduct the evaluation.

Audits:

No audits are required for this program.

Records:

No Data Available.

Account Identification:

12-3503-0-1-605.

Obligations:

(Salaries) FY 12 \$0; FY 13 est \$0; and FY 14 est \$0

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Three states received awards to participate in the study. However, due to OMB delays, collection of primary data from study participants have not yet started and is scheduled to begin in September 2013. To date, there are no results to report. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Rosemarie Downer 3101 Park Center Drive, Alexandria, Virginia 22302 Email: rosemarie.downer@fns.usda.gov Phone: 7033052129 Fax: 7033052576

Website Address:

No Data Available

RELATED PROGRAMS:

10.551 Supplemental Nutrition Assistance Program; 10.580 Special Nutrition Assistance Program Outreach/Participation Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications must adhere to the format described in the RFA. The application must include:

Cover Sheet

Table of Contents

1. Application Summary (1 page limit)

2. Pilot Design and Implementation (20 page limit)

3. The application should also specifically address the following questions.

How would implementing the no interview model change the overall processing of cases?

How will the state handle subgroups in the pilot area that are receiving a different interview method under a current waiver?

How will the state handle walk-in clients at the no interview site?

If a client asks for a face-to-face interview at a no interview site, how will that be tracked for data purposes?

Will the no interview model change the way expedited cases are processed?

How will the state implement the pilot to reduce the potential of increased error rates and erroneous denials?

What is the level of modernization in place in the state and how does that affect the pilot site? Indicate if there will be additional changes in the pilot or comparison areas or the state during the study.

What is the states capacity for conducting QC-like reviews and their ability to provide the data required by the evaluator? How many reviews can the state conduct and over what time period? Explain any deviation from the suggested number of interviews or timeline.

4. Staffing and Management of the Grant.

10.589 CHILD NUTRITION DIRECT CERTIFICATION PERFORMANCE AWARDS

Direct Cert Performance Awards

FEDERAL AGENCY:

Food and Nutrition Service, Department of Agriculture

AUTHORIZATION:

Richard B. Russell National School Lunch Act, 42 U.S.C 1758(b)(4); Healthy, Hunger-Free Kids Act of 2010, Public Law 111-296.

OBJECTIVES:

Direct Certification Performance Awards are designed to encourage States to ensure that all eligible children living in households receiving benefits under the Supplemental Nutrition Assistance Program (SNAP) are directly certified for free school meals. Each year for three years, USDA may award a total of up to 15 States for exemplary performance in their direct certification efforts with SNAP. \$2 million is available for each of two categories of awards: Outstanding Performance and Substantial Improvement, for a total of \$4 million each year for FY 2012, FY 2013, and FY 2014.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

The funds are to be treated as program income. Funds may be used by the National School Lunch Program (NSLP) State agency for program purposes. The NSLP State agency may transfer funds to local school food authorities (SFAs) for use in carrying out the program. These funds are only available to the State agencies administering the NSLP and to the SFAs to which the States may choose to transfer funds. The amounts of the awards and the number of awards given (up to a total of 15) are at the discretion of USDA.

Applicant Eligibility:

Only the State agencies that administer the NSLP are eligible. There is no application needed for the Outstanding Performance Awards. NSLP State agencies that are interested in being considered for the Substantial Improvement Awards, however, must submit a Letter of Intent to Compete. Specific guidance goes out to the NSLP State agencies in the spring before the award is made.

Beneficiary Eligibility:

The State agency that administers the NSLP may use the funds for program purposes or may transfer all or part of the funds to local SFAs for use in carrying out the program.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

States considered for these awards will come from the pool of States that have the highest NSLP direct certification rates for children in households receiving SNAP benefits or who have shown substantial improvement in direct certification rates, and meet other criteria. USDA may award up to 15 States each year. The number of the awards given in each category and the amount of each individual award is at the discretion of USDA and is not subject to judicial review. States cannot win both awards in the same year. Specific guidance to NSLP State agencies is issued each year.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Awards are made each fiscal year for FY 2012, FY 2013, and FY 2014.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The Food and Nutrition Service (FNS) intends to distribute awards to the winning States at the end of each fiscal year 2012, 2013, and 2014. There is no restriction placed on the time to spend the money awarded. Method of awarding/releasing assistance: lump sum.

Reports:

No reports are required.

Audits:

No audits are required for this program.

Records:

The State agency or SFA should keep appropriate records normally required for use of program funds.

Account Identification:

12-3539-0-1-605.

Obligations:

(Direct Payments for Specified Use) FY 12 \$4,000,000; FY 13 est \$4,000,000; and FY 14 est \$4,000,000

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: 14 States received performance awards - 6 for Outstanding Performance and 8 for Substantial Improvement in Direct Certification with SNAP. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Cynthia Long, 3101 Park Center Drive Room 640, Alexandria, Virginia 22302.
Phone: 703-305-2590.

Website Address:

<http://www.fns.usda.gov>

RELATED PROGRAMS:

10.555 National School Lunch Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.590 DISASTER RELIEF APPROPRIATIONS ACT EMERGENCY FOOD ASSISTANCE PROGRAM (ADMINISTRATIVE COSTS)

Hurricane Sandy Relief (Administrative Costs)

FEDERAL AGENCY:

Food and Nutrition Service, Department of Agriculture

AUTHORIZATION:

Emergency Food Assistance Act of 1983, Sections 204 and 209, Public Law 98-8, 97 Stat. 35, 7 U.S.C 7508; Federal Supplemental Compensation Act of 1982 Amendments, Section 2, as amended, Public Law 98-92, 97 Stat. 608; Food Security Act of 1985, as amended, Section 1569, Public Law 99-198, 99 Stat. 1354; Public Law 100-77, 101 Stat. 536; Public Law 100-435, 102 Stat. 1647; Public Law 101-624, 104 Stat. 3359; Public Law 104-193, 110 Stat. 2105; Public Law 104-127, 110 Stat. 1029; Public Law 107-171, 116 Stat. 330; Public Law 110-246, 122 Stat. 1882; Disaster Relief Appropriations Act, Public Law 113-2.

OBJECTIVES:

To help supplement the diets of low-income persons impacted by Hurricane Sandy by making funds available to States for processing, storage and distribution costs incurred by State agencies and local organizations, such as soup kitchens, food banks, and food pantries, including faith-based organizations.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

Administrative funds were provided to impacted State agencies to cover administrative costs incurred at the State and local level while providing emergency food assistance due to Hurricane Sandy and to replace administrative resources that were diverted for Hurricane Sandy relief. Funds may only be used for approved administrative expenses, and the State agency is required to pass-through at least 40 percent of the funds to emergency feeding organizations or expend such funds on their behalf. In addition, the States must match, either in cash or in-kind, the amount of administrative funds not passed-through to emergency feeding organizations. States may choose sites that distribute to low-income households and/or congregate sites that provide meals to the needy. Allowable costs include nutrition education, warehousing, food delivery, participate certification, and other administrative costs.

Applicant Eligibility:

State agencies that are designated as distributing agencies by the Governor or other appropriate State executive authority may receive these administrative funds to support the distribution of USDA Foods to low-income persons.

Beneficiary Eligibility:

Public or private non-profit organizations, such as food banks, food pantries, and soup kitchens, which provide food assistance to low-income persons.

Credentials/Documentation:

State agencies and local organizations are required to document their use of the administrative funds, and only those administrative costs permitted under OMB Circular No. A-87 for State and local governments will be allowed. Local

agencies may be required to document their non-profit status. OMB Circular No. A-87 applies to this program. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

Awards were made to the States affected by Hurricane Sandy, as evidenced by major disaster declarations and eligibility for individual assistance by the Federal Emergency Management Agency (FEMA). One-half of the TEFAP supplemental funding was allocated to States based on the population of counties that received major disaster declarations from FEMA, and one-half of funding was allocated to States based on the population of counties eligible for individual disaster assistance from FEMA. Under the Disaster Relief Appropriations Act, 2013 (P.L. 113-2, the Act), USDA was able to allocate the money without regard to the statutory formula used to allocate TEFAP administrative funds were provided through the regular appropriations process. The funds were provided as USDA Foods under 10.591. However, State agencies had the opportunity to convert any or all of their allocation to administrative funds under this CFDA number, provided the States can show sufficient justification for making this conversion. The justification needed to include a proposal for how the supplemental administrative funds would be allocated within the State, and the types of administrative expenses for which the funds would be spent.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Federal/State agreements in effect for the administration of TEFAP are sufficient for funds received through the Hurricane Sandy supplemental, and are ongoing and amended as necessary. Local organizations receiving administrative funds must have an agreement with the State agency or with another local organization participating in the program. Such agreements are ongoing and amended as necessary.

Formula and Matching Requirements:

Statutory Formula: Title Agriculture, Chapter Chapter 7, Part Part 251.3. Percent: 100 percent. States are required to pass-through to emergency feeding organizations at least 40 percent of the administrative funds allocated to the State.

Matching Requirements: Percent: 100%. Matching Requirements: Percent: 100 percent. States are required to pass-through to emergency feeding organizations at least 40 percent of the administrative funds allocated to the State. States are required to match, either as cash or in-kind, 100 percent of the administrative funds not passed-through to emergency feeding organizations. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Funds are provided through the electronic transfer system as they are needed for actual expenditures on a fiscal year basis. Any funds which are made available through the initial allocation or a subsequent reallocation that are not used are turned back to the Federal government. Method of awarding/releasing assistance: by letter of credit. Method of awarding/releasing assistance:

quarterly.

Reports:

No program reports are required. Quarterly and annual financial reports are made on FNS-667, separately from the funds received under the regular appropriations process. Progress reports are not applicable. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Normal records accounting for receipts and dispersals; retention required for 3 years following the close of the fiscal year or until any outstanding audit, claim, or litigation is settled.

Account Identification:

12-3507-0-1-605.

Obligations:

(Salaries) FY 12 Not Available(Exp: Program not authorized); FY 13 est \$1,097,000; and FY 14 Estimate Not Available(Exp: Funds must be obligated in FY 13) - Awards have not been made available in prior fiscal years under this CFDA number.

The assistance offered through the Disaster Relief Appropriations Act, 2013 was offered as additional USDA Foods under 10.591. However, the Department gave States the option to convert some or all of their supplemental assistance to TEFAP administrative funds, provided the States can show sufficient justification for making this conversion.

Range and Average of Financial Assistance:

To be determined. Awards have not been made available in prior fiscal years under this CFDA number.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 251; refer to 7 CFR Part 250 for applicable provisions on commodity handling.

Regional or Local Office:

See Regional Agency Offices. Mid Atlantic Regional Office
Northeast Regional Office.

Headquarters Office:

Ashley Bress 3101 Park Center Drive, Suite 500, Alexandria, Virginia 22302
Email: ashley.bress@fns.usda.gov Phone: 703-305-2674

Website Address:

<http://www.fns.usda.gov/fdd/programs/tefap>

RELATED PROGRAMS:

10.551 Supplemental Nutrition Assistance Program; 10.568 Emergency Food Assistance Program (Administrative Costs); 10.569 Emergency Food Assistance Program (Food Commodities)

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Provide administrative funds to State and local agencies to support the replacement of resources that were diverted for Hurricane Sandy relief No Current Data Fiscal Year.

10.591 DISASTER RELIEF APPROPRIATIONS ACT EMERGENCY FOOD ASSISTANCE PROGRAM (COMMODITIES)

Hurricane Sandy Relief (Commodities)

FEDERAL AGENCY:

Food and Nutrition Service, Department of Agriculture

AUTHORIZATION:

Emergency Food Assistance Act of 1983, Public Law 98-8, 97 Stat. 35, 7 U.S.C 7501; Hunger Prevention Act of 1988, Public Law 100-435, 102 Stat. 1647; Public Law 101-624, 104 Stat. 3359; Public Law 104-193, 110 Stat. 2105; Public Law 104-127, 110 Stat. 1029; Public Law 107-171, 116 Stat. 330; Public Law 110-246, 122 Stat. 1882; American Recovery Act and Reinvestment Act of 2009, Public Law 111-5; Disaster Relief Appropriations Act, Public Law 113-2.

OBJECTIVES:

To help supplement the diets of low-income persons impacted by Hurricane Sandy by making donated foods available to the State agencies that are responsible for the distribution of such foods within the States.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

USDA Foods provided under this CFDA number are intended to be used to help replace foods that were diverted for Hurricane Sandy relief. USDA Foods can only be used to provide food assistance to needy persons, and may be provided for household consumption or for congregate feeding.

Applicant Eligibility:

State agencies that are designated as distributing agencies by the Governor or other appropriate State executive authority may receive and distribute USDA Foods. States can distribute these foods to eligible recipient agencies, such as food banks, food pantries, soup kitchens, and other eligible agencies, including faith-based organizations.

Beneficiary Eligibility:

Low-income and needy individuals impacted by Hurricane Sandy, including persons that are homeless, unemployed, underemployed, or receiving public assistance. The State agency must establish income based eligibility criteria to ensure the USDA Foods are provided to the low-income persons. Persons interesting in receiving TEFAP foods should contact their State agency (<http://www.fns.usda.gov/fdd/contacts/SdaContacts.htm>) for more information on how to access the program.

Credentials/Documentation:

Low-income individuals receiving USDA Foods for home consumption must establish eligibility to participate in the program on the basis of State eligibility standards. All individuals seeking food assistance at sites which serve prepared meals are presumed to be eligible to receive meals. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. All States are eligible to participate; the State does not need to complete an application.

Award Procedure:

Awards are being provided to the States affected by Hurricane Sandy, as evidenced by major disaster declarations and eligibility for individual assistance by the Federal Emergency Management Agency (FEMA). One-half of the TEFAP supplemental funding was allocated to States based on the population of counties that received major disaster declarations from FEMA, and one-half of funding was allocated to States based on the population of counties eligible for individual disaster assistance from FEMA. Under the Disaster Relief Appropriations Act, 2013 (P.L. 113-2, the Act), USDA was able to allocate the funds without regard to the statutory formula used to allocate TEFAP resources provided through the regular appropriations process. Although the

assistance was offered as additional USDA Foods, the Department gave States the option to convert some or all of their supplemental assistance to TEFAP administrative funds under 10.590, provided the States could show sufficient justification for making this conversion. The justification needed to include a proposal for how the supplemental administrative funds will be allocated within the State, and the types of administrative expenses for which the funds will be spent.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Federal/State agreements in effect for the administration of TEFAP are sufficient for funds received through the Hurricane Sandy supplemental, and are ongoing and amended as necessary. Individuals receiving food for home consumption are recertified periodically. Persons receiving USDA Foods in the form of meals from sites which serve prepared meals are presumed to be eligible.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

None. Method of awarding/releasing assistance: by letter of credit.

Reports:

No reports are required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Normal records accounting for receipts and dispersals; retention required for 3 years following the close of the fiscal year or until any outstanding audit, claim, or litigation is settled.

Account Identification:

12-3507-0-1-605.

Obligations:

(Formula Grants) FY 12 Not Available(Exp: Program Not Authorized.); FY 13 est \$4,603,000; and FY 14 Estimate Not Available - Awards have not been made available in prior fiscal years under this CFDA number.

The assistance offered through the Disaster Relief Appropriations Act, 2013 was offered as additional USDA Foods under 10.591. However, the Department gave States the option to convert some or all of their supplemental assistance to TEFAP administrative funds, provided the States could show sufficient justification for making this conversion.

Range and Average of Financial Assistance:

To be determined. Awards have not been made available in prior fiscal years under this CFDA number.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Program not authorized. Fiscal Year 2013: Provide USDA Foods to State and local agencies for distribution to needy persons for use at home or for use at sites which serve prepared meals. Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 251; refer to 7 CFR Part 250 for applicable provisions on USDA

Foods handling.

Regional or Local Office:

See Regional Agency Offices. Mid Atlantic Regional Office
Northeast Regional Office.

Headquarters Office:

Ashley Bress 3101 Park Center Drive, Suite 500, Alexandria, Virginia 22302
Email: ashley.bress@fns.usda.gov Phone: 7033052674

Website Address:

<http://www.fns.usda.gov/fdd/programs/tefap/default.htm>

RELATED PROGRAMS:

10.551 Supplemental Nutrition Assistance Program; 10.568 Emergency Food Assistance Program (Administrative Costs); 10.569 Emergency Food Assistance Program (Food Commodities)

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Low-income and needy individuals impacted by Hurricane Sandy, including persons that are homeless, unemployed, underemployed, or receiving public assistance. The State agency must establish income based eligibility criteria to ensure the USDA Foods are provided to the low-income persons. Persons interested in receiving TEFAP foods should contact their State agency (<http://www.fns.usda.gov/fdd/contacts/SdaContacts.htm>) for more information on how to access the program.

10.592 HEALTHY, HUNGER-FREE KIDS ACT OF 2010 CHILDHOOD HUNGER RESEARCH AND DEMONSTRATION PROJECTS

Childhood Hunger Research and Demonstration Projects

FEDERAL AGENCY:

Food and Nutrition Service, Department of Agriculture

AUTHORIZATION:

Richard B. Russell National School Lunch Act, Section 141 of the Healthy, Hunger-Free Kids Act of 2010, Section 23. Childhood Hunger Research (a) Research on Causes and Consequences of Childhood Hunger; (b) Demonstration Projects to End Childhood Hunger, Title E, Section 141, Public Law 111-296, 124 Stat. 3206-3210, 42 U.S.C 1769d.

OBJECTIVES:

Research on Causes and Consequences of Childhood Hunger: conduct research on the causes, characteristics, and consequences of childhood hunger and food insecurity.

Demonstration Projects to End Childhood Hunger: To test innovative strategies to end childhood hunger, including alternative models for service delivery and benefit levels that promote the reduction or elimination of childhood hunger and food insecurity.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Still under consideration. Still under consideration.

Applicant Eligibility:

Not applicable.

Beneficiary Eligibility:

Not applicable.

Credentials/Documentation:

Still under consideration. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

Still under consideration.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

There is a time restriction; money will no longer be available after 09/30/2017.

See the following for information on how assistance is awarded/released: Still under consideration.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

No Data Available.

Account Identification:

12-3539-0-1-605.

Obligations:

(Cooperative Agreements) FY 12 \$0; FY 13 est \$0; and FY 14 est \$50,000,000

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Still under consideration.

Regional or Local Office:

None.

Headquarters Office:

Gloria Gonzalez 3101 Park Center Drive, Alexandria, Virginia 22302 Email:

Gloria.Gonzalez@fns.usda.gov Phone: 7033051626

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Still under consideration.

10.593 BILL EMERSON NATIONAL HUNGER FELLOWS AND MICKEY LELAND INTERNATIONAL HUNGER FELLOWS PROGRAMS

Bill Emerson and Mickey Leland Fellows Programs

FEDERAL AGENCY:

Food and Nutrition Service, Department of Agriculture

AUTHORIZATION:

The Food Conservation, and Energy Act of 2008 (2008 Farm Bill, Public Law 110-234), Sec. 4401 and 4404; 2 U.S.C. 1161; Bill Emerson National Hunger Fellows and Mickey Leland International Hunger Fellows Program Act of

2008. ; Consolidated and Further Continuing Appropriations Act 2013, Public Law 113-06, Section 4404 of Public Law 107-171, as amended by Section 4401 of Public Law 110-246(2. U.S.C. 1161); FY2012 Agriculture Appropriations Act, Public Law 112-55, Public Law 113-113-06, 2 U.S.C 1161; Farm Security and Rural Investment Act of 2002, Public Law 107-171, Section 4404, Hunger Fellowship Program; Congressional Hunger Fellow Act of 2002, Public Law 107-107-171, 2 U.S.C 1161.

OBJECTIVES:

The purposes of the Fellowships Programs are: (1) encourage future leaders of the U.S. to pursue careers in humanitarian and public service, recognize the needs of low-income people and hungry people, and seek public policy solutions to the challenges of hunger and poverty; (2) provide training and development opportunities to such leaders through placement in programs operated by appropriate organizations or entities; and (3) increase awareness of the importance of public service.

The Bill Emerson Hunger Fellowship Program is to address hunger and poverty in the United States. The Mickey Leland Hunger Fellowship Program is to address international hunger and other humanitarian needs.

TYPES OF ASSISTANCE:

Project Grants (Fellowships)

USES AND USE RESTRICTIONS:

In accordance with the authorizing legislation and 2 CFR Part 2.19 and 2.57, the Secretary is directed to offer to provide a grant to the Congressional Hunger Center to administer these fellowship programs. Funding is provided to the Congressional Hunger Center to train and inspire leaders who work to end hunger. The Congressional Hunger Center is the grantee, they are provided funding to achieve the purposes of the Fellowship Programs. The fellowships established shall provide experience and training to develop the skills and understanding necessary to improve the humanitarian conditions and the lives of individuals who suffer from hunger, including - (I) training in direct service to the hungry in conjunction with community-based organizations through a program of field placement; and (II) experience in policy development through placement in a governmental entity or nonprofit organization. Funding is restricted to the costs related to selecting, training, and placement opportunities for individuals identified as a Hunger Fellow.

Applicant Eligibility:

N/A.

Beneficiary Eligibility:

N/A.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. n/a

Award Procedure:

Applicant must meet the non-competitive grant submissions requirements for completeness and conformity in a grant application, statement of work and budget. FNS will determine the technical merit of each grant application, approve and then make a grant award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The grant period of performance is generally for 15 months. Extensions to the period of performance must be approved by the FNS. Method of awarding/releasing assistance: lump sum.

Reports:

No program reports are required. No cash reports are required. The quarterly and final programmatic reports are required to be submitted by the grantee in accordance with the grant terms and conditions. The quarterly and final financial reports, SF-425, are required to be submitted by the grantee in accordance with the grant terms and conditions. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

The grantee must maintain records in accordance with the grant agreement. Such records must be retained for a period of 3 years after the date of submission of the final report for the fiscal year to which the records pertain, except if audit findings have not been resolved, the records shall be retained beyond the 3 year period as long as required for the resolution of the issues raised by the audit.

Account Identification:

12-3508-0-1-605.

Obligations:

(Salaries) FY 12 \$2,000,000; FY 13 est \$1,845,229; and FY 14 est \$2,000,000

Range and Average of Financial Assistance:

Congressional Hunger Center (CHC) \$2,000,000 (non-competitive).

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: The 16 Emerson Fellows have completed their field training and orientation and have been placed in 8 field site cities at 13 organizations including service providers, food banks, and groups focused on city and statewide advocacy, community organizing and urban agriculture to begin their Fellow projects.

The 15 Leland Fellows are transitioning from their field year to their policy year. They recently participated in a leadership retreat and policy training. Nine of Fellows will be based in Washington D.C. and the remaining six will be overseas. The Fellows will be working on policy issues at the regional and international levels. Fiscal Year 2013: FY 2013: No current data available. Fiscal Year 2014: FY 2014: No current data available.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 3016, 7 CFR Part 3018,

Determined by the legislation authorizing the grant.

Regional or Local Office:

None.

Headquarters Office:

Lael Lubing 3101 Park Center Drive Room 732, Alexandria, Virginia 22302
Email: lael.lubing@fns.usda.gov Phone: 703-305-2048

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.600 FOREIGN MARKET DEVELOPMENT COOPERATOR PROGRAM

FEDERAL AGENCY:

Foreign Agricultural Service, Department of Agriculture

AUTHORIZATION:

Agricultural Trade Act of 1978, Title VII, 7 U.S.C. 5721, et seq.

OBJECTIVES:

To create, maintain and expand long-term export markets for U.S. agricultural products through cost-share assistance and the opportunity to work closely with FAS and its overseas offices.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

Cooperator funds are authorized through program agreements with nonprofit U.S. trade associations called Cooperators, that provide for partial reimbursement of generic promotional activities in a Unified Export Strategy application approved by the Foreign Agricultural Service (FAS), the agency which administers the program for the Commodity Credit Corporation (CCC). In general, Cooperator funds may be used only in direct support of activities conducted outside the United States. Types of activities that may be funded include trade servicing, market research, and technical assistance to actual or potential foreign purchasers of U.S. commodities.

Applicant Eligibility:

The Commodity Credit Corporation (CCC) enters into agreements with those nonprofit U.S. trade organizations that have the broadest possible producer representation of the commodity being promoted. To be approved, an applicant's proposal must indicate how it can effectively contribute to the creation, expansion, or maintenance of markets abroad. FAS considers a number of factors when reviewing proposed projects. These factors include: (1) The applicant's willingness to contribute resources including cash and goods and services of the U.S. industry and foreign third party; (2) the ability of the organization to provide an experienced U.S.-based staff and with technical and international trade expertise to ensure adequate development, supervision and execution of the proposed project; (3) the degree to which the proposed project is likely to contribute to the development, expansion, or maintenance of foreign markets; and (4) the degree to which the strategic plan is coordinated with other private or U.S. government-funded market development projects.

Beneficiary Eligibility:

Preference is given to nonprofit U.S. trade organizations which are nationwide in membership and scope.

Credentials/Documentation:

U.S. applicants must prove the ability to provide a competent experienced staff and other resources to assure adequate development, supervision, and execution of promotional activities. Applicants must submit a written export strategy which provides a brief discussion of the commodity for which an agreement is being requested and a description of proposed activities including the foreign countries in which they will be conducted. Applicants must also submit their Internal Revenue Service tax exempt identification number, a Dun and Bradstreet Data Universal Numbering System (DUNS) number, a description of the membership of the applicant organization, a description of the organizations management and administrative capability, the degree to which membership represents national production, an estimate of the level of Government funds required, and the extent to which industry resources are available to match Government expenditures. This program is excluded from coverage under OMB Circular No. A-87. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from

coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Each year the availability of funds is announced in a Federal Register notice. At this time a written export strategy should be submitted to the Director, Marketing Operations Staff, FAS. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

Applications are reviewed against the allocation criteria and factors set forth in 7 CFR part 1484 and the Federal Register program announcement. CCC notifies each applicant of the final disposition of its application and also issues a public announcement concerning the allocation of resources among the applicant organizations. Each approved applicant receives a program agreement and an allocation/marketing plan approval letter which specifies any special terms and conditions applicable to a cooperator's program. Final agreement occurs when both the participating organization and the Administrator of FAS in the Administrator's capacity as Vice President, CCC, sign the program agreement.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 90 days.

Appeals:

Not Applicable.

Renewals:

Program commitments are made on a program year basis.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Percent: 50.%.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Agreements generally include a twelve-month promotional effort and a provision for program evaluation by an independent third party. Funds awarded in any given fiscal year are typically available for two additional years. See the following for information on how assistance is awarded/released: Each approved applicant receives a program agreement and an allocation/marketing plan approval letter which specifies any special terms and conditions applicable to a cooperator's program. Final agreement occurs when both the participating organization and the Administrator of FAS in the Administrator's capacity as Vice President, CCC, sign the program agreement.

Reports:

End of year Contribution Reports, trip reports, research reports, independent audit reports. No cash reports are required. No progress reports are required. Records of expenditures and contributions and supporting documentation. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Cooperator accounts are reviewed periodically, but normally at least every two years, by representatives of the Compliance Review Staff, FAS. Audits and reviews are also conducted sporadically by representatives of the Office of Inspector General and Government Accounting Office. Accounts and records must be available for inspection or audit at any reasonable time.

Records:

Records must be maintained for not less than 3 years after completion or termination of the agreement or not less than 5 full calendar years following the

year of the transaction that is evidenced by such an account or record that took place, whichever is sooner.

Account Identification:

12-4336-0-3-999.

Obligations:

(Formula Grants (Apportionments)) FY 12 \$3,450,000; FY 13 est \$34,500,000; and FY 14 est \$34,500,000

Range and Average of Financial Assistance:

\$11,000 to \$7,000,000; \$1,243,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 1484.

Regional or Local Office:

None.

Headquarters Office:

Jodi Erickson 1400 Independence Ave SW, Washington , District of Columbia 20050 Email: jodi.erickson@fas.usda.gov Phone: 2022059432

Website Address:

<http://www.fas.usda.gov/mos/programs/fmdprogram.asp>.

RELATED PROGRAMS:

10.601 Market Access Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Allocations are made in a manner that effectively support the strategic decision-making initiatives of the Government Performance and Results Act (GPRA) of 1993. In assessing whether the applicant will effectively create, expand or maintain foreign markets, the following factors are considered: (1) effectiveness of program management; (2) past and present contribution levels; (3) soundness of the strategic plan; (4) past export performance; and (5) past demand expansion performance (6) future demand expansion goals; and (7) accuracy of past demand expansion projections.

10.601 MARKET ACCESS PROGRAM

(MAP)

FEDERAL AGENCY:

Foreign Agricultural Service, Department of Agriculture

AUTHORIZATION:

Agricultural Trade Act of 1978, as amended, Section 203.

OBJECTIVES:

To encourage the creation, maintenance, and expansion of commercial export markets for U.S. agricultural commodities through cost-share assistance to eligible trade organizations that implement a foreign market development program.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE; Formula Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

Market Access Program (MAP) funds are authorized through program agreements that provide for partial reimbursement of eligible promotional expenses in a Unified Export Strategy application approved by the Foreign Agricultural Service (FAS), the agency which administers the program for the Commodity Credit Corporation (CCC). MAP participants may receive assistance for either generic or brand promotion activities. Program funds help finance activities such as consumer advertising, point of sale demonstrations, public relations, trade servicing activities, participation in trade fairs and exhibits, market research and technical assistance.

Applicant Eligibility:

To be approved, applicants must be: (1) A nonprofit U.S. agricultural trade

organization; (2) a nonprofit State regional trade group; (3) a U.S. agricultural cooperative; (4) a State agency; or (5) a U.S. commercial entity that is a small-sized entity (other than a cooperative or producer association).

Beneficiary Eligibility:

CCC will enter into MAP agreements only where the eligible agricultural commodity is comprised of at least 50 percent U.S. origin content by weight, exclusive of added water.

Credentials/Documentation:

Applicants are required to provide a competent, experienced staff and other resources to assure adequate development, supervision, and execution of promotion activities. All applicants must submit a written proposal which provides a brief discussion of the commodity for which assistance is requested; the proposed program with a justification; and a strategic plan. In addition, all applicants must submit a statement certifying that any CCC resources received will supplement, but not supplant, any private or third party funds or other contributions to program activities. This program is excluded from coverage under OMB Circular No. A-87. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Each year the availability of funds is announced in a Federal Register notice. At this time a written export strategy should be submitted to the Director, Marketing Operations Staff, FAS. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

Applications are reviewed against the allocation criteria and factors set forth in 7 CFR Part 1485. CCC notifies each applicant of the final disposition of its application and also issues a public announcement concerning the allocation of resources among the applicant organizations. Each approved applicant receives a program agreement and allocation approval letter which specifies any special terms and conditions applicable to a participant's program. Final agreement occurs when both the participating organization and the Administrator of FAS in the Administrator's capacity as Vice President, CCC, sign the program agreement.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. Approximately 90 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Agreements generally include a twelve-month promotional effort and a provision for program evaluation by an independent third party. Funds awarded in any given fiscal year are typically available for two additional years. See the following for information on how assistance is awarded/released: Each approved applicant receives a program agreement and allocation approval letter which specifies any special terms and conditions applicable to a participant's program. Final agreement occurs when both the participating organization and the Administrator of FAS in the Administrator's capacity as Vice President, CCC, sign the program agreement.

Reports:

All program Participants must report annual results against their target market and/or regional constraint/opportunity performance measures. No cash reports are required. No progress reports are required. End of year contribution reports are required. A MAP Participant shall maintain its records of expenditures and contributions. All MAP Participants must report annual results against their target market and/or regional constraints/opportunity performance measures.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Participant accounts are reviewed as needed, but normally at least every two years, by representatives of the Compliance Review Staff (CRS) of FAS. Audits and reviews are also conducted sporadically by representatives of the Office of Inspector General and the Government Accounting Office. Accounts and records must be available for inspection or audit at any reasonable time.

Records:

Must be maintained for not less than 3 years after completion or termination of the agreement or not more than 5 full calendar years following the year of the transaction that is evidenced by such an account or record that took place, whichever is sooner.

Account Identification:

12-4336-0-3-999.

Obligations:

(Formula Grants (Cooperative Agreements)) FY 12 \$200,000,000; FY 13 est \$20,000,000; and FY 14 est \$200,000,000

Range and Average of Financial Assistance:

From \$22,000 to \$9,611,000; \$1,375,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR 1485.

Regional or Local Office:

None.

Headquarters Office:

Mark Slupek 1400 Independence Ave, Washington, District of Columbia 20882
Email: mark.slupek@fas.usda.gov Phone: 202-720-4327

Website Address:

<http://www.fas.usda.gov/mos/programs/map.asp>.

RELATED PROGRAMS:

10.600 Foreign Market Development Cooperator Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Allocations will only be made to applicants that present the best opportunity for developing or expanding export markets for U.S. agricultural commodities. In assessing the applicant, the following factors are considered: (1) effectiveness of program management; (2) soundness of accounting procedures; (3) the nature of the organization; (4) prior export promotion or direct export experience; (5) previous MAP funding and performance; (6) adequacy of the applicant's strategic plan; (7) past and present contribution levels; (8) export goals; and the (9) accuracy of past projected export goals. In providing assistance for brand promotions, priority will be given to small-sized entities. Qualifying products whose composition is less than 50 percent U.S. origin are not eligible.

10.602 CCC'S DAIRY EXPORT INCENTIVE PROGRAM

The DEIP Program

FEDERAL AGENCY:

AUTHORIZATION:

Food, Agriculture, Conservation and Trade Act of 1990; the Uruguay Round Agreement Act of 1995; and the Federal Agriculture Improvement and Reform Act of 1996., Title 7, Part CFR, Section 1494.

OBJECTIVES:

The major objective of the program is to develop export markets for dairy products where U.S. products are not price competitive and thereby, make sales in targeted overseas markets for the purpose of market development or where competitor countries are making subsidized sales.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

The Dairy Export Incentive Program helps exporters of US dairy products meet prevailing world prices for targeted dairy products and destinations. Under the program, the US Department of Agriculture pays cash to exporters as bonuses, allowing them to sell certain US dairy products at prices lower than the exporter's costs of acquiring them.

Applicant Eligibility:

All sales under the DEIP are made by the private sector, not the U.S. government. An invitation for offers issued by USDA may be one of two types: those inviting exporters to submit a competitive offer for a bonus, and those inviting exporters to apply for an announced bonus. Once an invitation for offers is issued, it is up to agricultural exporters to contact prospective buyers in eligible countries and negotiate a sales contract covering price, quantity, quality, delivery, and other terms. The sale may be contingent on USDA approval of a bonus. Each prospective exporter submits an offer to USDA requesting a bonus that would allow the sale to take place at the agreed price. Under an invitation for competitive offers, USDA reviews all bids for the competitiveness of the bonus value requested and compares the bids with offers from other U.S. exporters and with sales of competitor countries. Under an announced bonus, compliant offers meeting all program requirements are accepted on a first-come, first-served basis. USDA has the right to reject any or all bids.

Once USDA accepts a bid, the exporter and USDA's Commodity Credit Corporation (CCC) enter into an agreement. USDA notifies exporters submitting offers of the acceptance or rejection of their offers by 10 a.m. Eastern U.S. time the next business day after the date the offers were submitted for consideration. USDA also makes the information available in a program announcement on the FAS Web site.

Beneficiary Eligibility:

Who Benefits

The DEIP, administered by USDA's Foreign Agricultural Service (FAS), helps U.S. agricultural producers, processors, and exporters gain access to foreign markets.

Eligible Products

Commodities eligible under DEIP initiatives are milk powder, butterfat, and various cheeses.

Participation

An exporter interested in participating in the DEIP must provide specific information.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

Receiving the Bonus: The bonus is paid to the U.S. exporter in cash. The CCC determines the bonus payment by multiplying the bonus specified in the agreement by the net quantity of the commodity exported. Once an exporter furnishes USDA with evidence that the specified commodity has been exported to the target destination under the terms of the agreement, the exporter can request payment of the bonus.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The DEIP Program is based on Program Announcements and they expire at the end of the fiscal year or when the quantity announced has been exhausted which ever comes first. See the following for information on how assistance is awarded/released: The bonus is in the form of a cashier's check paid by our Kansas City Commodity office.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

Export Documentation.

Account Identification:

12-4336-0-3-999.

Obligations:

(Direct Payments for Specified Use) FY 12 \$0; FY 13 est \$3,000,000; and FY 14 est \$0

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Daniele D. Swain 1400 Independence Avenue, SW, Washington, District of Columbia 20250 Email: daniele.swain@fas.usda.gov Phone: 2027203277 Fax: 2027202949

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.603 EMERGING MARKETS PROGRAM

(EMP)

FEDERAL AGENCY:

Foreign Agricultural Service, Department of Agriculture

AUTHORIZATION:

The Emerging Markets Program is authorized by the Food, Agriculture, Conservation, and Trade Act of 1990 (FACT Act), as amended by the Federal Agriculture Improvement and Reform Act of 1996 (FAIR Act) Section 1542 (d).

OBJECTIVES:

The primary objective of the Emerging Markets Program is to promote, enhance or expand the exports of U.S. agricultural commodities to overseas emerging markets through cost-share assistance to eligible organizations that implement an Emerging Markets Program. The program supports the activities of U.S. agricultural and agribusiness firms--particularly those that may need assistance in obtaining or maintaining access in overseas markets. The program is aimed at improving market access opportunities for agricultural products or processes in low- to middle-income countries that are likely to emerge as promising export markets in the near to medium term.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

Emerging Markets Program funds are authorized through project agreements that serve as binding instruments and create a legal obligation on the part of the Commodity Credit Corporation (CCC) to make appropriated funds available to the participant. The agreement creates a cooperative relationship between CCC and the implementor with each side contributing resources to support achievement of mutual goals. Program funds help finance activities such as feasibility studies, market research, sectorial assessments, orientation visits, specialized training, and business workshops. The Program is not intended for projects targeted at end-user consumers. Ineligible activities include in-store promotions, restaurant promotions, branded product promotions, administrative and operational expenses for trade shows and advertising, except in connection with specific technical assistance activities such as training seminars.

Applicant Eligibility:

Applicants must be a: (1) U. S. agricultural or agribusiness organization -- nonprofit, trade association, university, consultant group (under certain conditions), (2) State Department of Agriculture, or (3) USDA agency (or other Federal agency involved in agricultural issues).

Beneficiary Eligibility:

(1) a U. S. agricultural or agribusiness organization -- nonprofit, trade association, university, consultant group (under certain conditions), (2) State Department of Agriculture, or (3) USDA agency (or other Federal agency involved in agricultural issues).

Credentials/Documentation:

All eligible applicants must submit a written proposal in accordance with the guidelines set forth each year in the Federal Register. This program is excluded from coverage under OMB Circular No. A-87. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Each year solicitation of proposals is announced in the Federal Register. At that time written project proposals should be submitted to the Director, Marketing Operations Staff, Foreign Agricultural Service (FAS).

Award Procedure:

FAS will notify each applicant in writing of the final disposition of its application. For approvals, letters will contain the notice of approval and any qualifications or adjustments made to the original proposal. For rejections, letters will contain details explaining the reasons why the proposals were not

approved for funding. FAS will send an Agreement to each approved applicant. The Agreement will specify the terms and conditions applicable to the project, including the levels of program funding and cost-share contribution. An applicant who accepts the terms and conditions contained in the Agreement should so indicate by having the appropriate authorizing officer sign the Agreement and submit it to the Director, Marketing Operations Staff, FAS, USDA. The Agreement will become effective when the Deputy Administrator signs the Agreement on behalf of CCC.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 120 days.

Appeals:

Not Applicable.

Renewals:

Continuation or expansion of successful projects funded by the Program may be considered for future funding through separate application. Funding may be considered for projects which have already begun with the support and financial assistance of a private entity, and for which government funding for continuation of the project is justified. Such proposals must meet the criteria of the Emerging Markets Program, including cost-sharing.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Agreements generally include a beginning and end date. Projects are normally funded for one year although some multi-year projects may be funded by the Program, usually on a year-to-year basis. Method of awarding/releasing assistance: lump sum.

Reports:

See above. No cash reports are required. See above. No expenditure reports are required. No performance monitoring is required.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. Participant projects are reviewed as needed, but normally at least every two years, by representatives of the Compliance Review Staff (CRS) of FAS. Audits and reviews are also conducted sporadically by representatives of the Office of the Inspector General and the General Accounting Office. Accounts and records must be available for inspection or audit at any reasonable time.

Records:

Records must be maintained for at least three years after completion or termination of the Agreement or not more than five full calendar years following the year of the transaction that is evidenced by such an account or record that took place, whichever is sooner.

Account Identification:

12-4336-0-3-999.

Obligations:

(Direct Payments for Specified Use) FY 12 \$1,000,000; FY 13 est \$10,000,000; and FY 14 est \$100,000,000

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

The Program currently operates under guidelines.

Regional or Local Office:

None.

Headquarters Office:

Mark Slupek 1400 Independence Ave, Washington, District of Columbia 20882

Email: mark.slupek@fas.usda.gov Phone: 202-720-4327

Website Address:

<http://www.fas.usda.gov/mos/em-markets/em-markets.html>.

RELATED PROGRAMS:

10.600 Foreign Market Development Cooperator Program; 10.601 Market Access Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.604 TECHNICAL ASSISTANCE FOR SPECIALTY CROPS PROGRAM

(TASC)

FEDERAL AGENCY:

Foreign Agricultural Service, Department of Agriculture

AUTHORIZATION:

The Technical Assistance for Specialty Crops Program is reauthorized by Section 3203 of the Food, Conservation, and Energy Act of 2008.

OBJECTIVES:

The Technical Assistance for Specialty Crops Program is designed to assist U.S. organizations by providing funding for projects that address sanitary, phytosanitary, and technical barriers that prohibit or threaten the export of U.S. specialty crops.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

Technical Assistance for Specialty Crops Program funds are authorized through project agreements that include the maximum amount that may be reimbursed and identify terms and conditions pursuant to which the Commodity Credit Corporation (CCC) will reimburse costs. The agreements also outline any specific responsibilities of the participant. Types of activities that may be funded under this program include initial pre-clearance programs, export protocol and work plan support, seminars and workshops, study tours, field surveys, development of pest lists, pest and disease research, database development, reasonable logistical and administrative support, travel and per diem expenses.

Applicant Eligibility:

To be approved, an applicant must be a: (1) U.S. government agency; (2) U.S. State government agency; (3) U.S. non-profit trade association; (4) U.S. university; (5) U.S. agricultural cooperative; (6) U.S. private company or (7) any other U.S. organization.

Beneficiary Eligibility:

The Technical Assistance for Specialty Crops Program is intended to benefit the represented U.S. industry rather than a specific company or brand.

Credentials/Documentation:

Applicants must submit a written proposal which includes, but is not limited to, the following: a brief discussion of the commodity for which funding is requested; a market assessment, including a description of the specific export barrier to be addressed; a description of the activities planned to address the export barrier; and export information that includes performance measures, benchmark performance, and the viability of long-term sales to the market. This program is excluded from coverage under OMB Circular No. A-87. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Each year the availability of funds is publicly announced in a Federal Register notice.

Following the announcement, applications for funding may be submitted to the Director, Marketing Operations Staff, Foreign Agricultural Service (FAS).

Award Procedure:

Proposals are reviewed against the allocation criteria and factors specified in 7 CFR 1487. The CCC notifies each applicant of the final disposition of its application and also issues a public announcement concerning the allocation of resources among the applicant organizations. Each approved applicant receives a program agreement and allocation approval letter that specifies any special terms and conditions applicable to a participant's program. Final agreement occurs when both the participating organization and the Deputy Administrator for Commodity and Marketing Programs, FAS, sign the program agreement.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Approximately 30-90 days.

Appeals:

Not Applicable.

Renewals:

Program commitments are made on a program year basis.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching requirements are not applicable to this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Agreements generally include the project and a provision for project evaluation.

Funds awarded in any given fiscal year are typically available for additional years. See the following for information on how assistance is awarded/released:

Each approved applicant receives a program agreement and allocation approval letter that specifies any special terms and conditions applicable to a participant's program. Final agreement occurs when both the participating organization and the Deputy Administrator for FAS, sign the agreement.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

Records must be maintained for not less than 3 years after completion or termination of the agreement or not more than 5 full calendar years following the year the transaction that is evidenced in an account or record that took place, whichever is sooner.

Account Identification:

12-4336-0-3-999.

Obligations:

(Formula Grants (Apportionments)) FY 12 \$9,000,000; FY 13 est \$9,000,000; and FY 14 est \$9,000,000

Range and Average of Financial Assistance:

Projects funded on a project basis for up to \$250,000 per year.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR 1487.

Regional or Local Office:

None.

Headquarters Office:

Mark Slupek 1400 Independence Ave, Washington, District of Columbia 20882
Email: mark.slupek@fas.usda.gov Phone: 202-720-4327

Website Address:

<http://www.fas.usda.gov/mos/tasc/tasc.asp>.

RELATED PROGRAMS:

10.600 Foreign Market Development Cooperator Program; 10.601 Market Access Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The FAS will consider the following criteria in evaluating proposals: (1) The identification and nature of the specific export barrier and the extent to which the proposal is likely to successfully remove, resolve, or mitigate that barrier; (2) The potential trade impact of the proposed project on market retention, market access, and market expansion, including the potential for expanding commercial sales in the targeted market; (3) The completeness and viability of the proposal; (4) The applicant organization's ability to provide an experienced staff with the technical and trade experience necessary to economically and effectively execute the proposal; (5) The extent to which the proposal is targeted to an eligible export market in which the United States is generally competitive; (6) The cost of the project and the amount of other (non-TASC) resources specifically dedicated to the project, including cash and goods and services of the U.S. industry and foreign third parties; (7) The degree to which time is essential in addressing the specific export barriers; and, (8) In cases where the CCC receives multiple proposals from different applicants which address essentially the same barrier, the nature of the applicant organization will be taken into consideration, with a greater weight given to those organizations with the broadest base of producer representation.

10.605 QUALITY SAMPLES PROGRAM

(QSP)

FEDERAL AGENCY:

Foreign Agricultural Service, Department of Agriculture

AUTHORIZATION:

The Quality Samples Program is authorized by Section 5(f) of the Commodity Credit Corporation (CCC) Charter Act, 15 U.S.C. 714c(f).

OBJECTIVES:

The Quality Samples Program is designed to encourage the development and expansion of export markets for U.S. agricultural commodities by assisting U.S. entities in providing commodity samples to potential foreign importers to promote a better understanding and appreciation for the high quality of U.S. agricultural commodities.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

Quality Samples Program funds are authorized through project agreements that include the maximum amount that may be reimbursed and identify terms and conditions pursuant to which CCC will reimburse costs. Under the QSP, participants may be reimbursed for certain costs of purchasing and transporting commodity samples. Although providing technical assistance is required for all projects, costs of providing the actual technical assistance is not reimbursed under the QSP.

Applicant Eligibility:

To be approved, an applicant must be a U.S. private or government entity.

Beneficiary Eligibility:

The Quality Samples Program is intended to benefit a represented U.S. industry rather than a specific company or brand.

Credentials/Documentation:

Applicants must submit a written proposal which includes, but is not limited to, the following: a description of the organization and its membership; a description of the organization's prior export promotion experience; a description of the organization's experience in implementing an appropriate trade/technical assistance component; an assessment of the market; a long-term strategy in the market; amount of funding requested; a brief description of the specific market development trade constraint or opportunity to be addressed by the project; a sample description; and the importer's role in the project regarding handling and processing the commodity samples. This program is excluded from coverage under OMB Circular No. A-87. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Each year the availability of funds is announced in a Federal Register notice. At that time, proposals should be submitted to the Director, Marketing Operations Staff, Foreign Agricultural Service (FAS).

Award Procedure:

Proposals are reviewed against the allocation criteria and factors specified in the Federal Register notice. CCC notifies each applicant of the final disposition of its application and also issues a public announcement concerning the allocation of resources among the applicant organizations. Each approved applicant receives a program agreement and allocation approval letter that specifies any special terms and conditions applicable to a participant's program. Final agreement occurs when both the participating organization and the Deputy Administrator for Commodity and Marketing Programs, FAS, sign the program agreement.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 30-90 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: Although highly encouraged, financial and in-kind support from the participant is not required. Such contributions may be in the form of cash or goods or services.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Agreements generally include a twelve-month promotional effort and a requirement for program evaluation. Funds awarded in any given fiscal year may be made available for a longer period. See the following for information on how assistance is awarded/released: Reimbursement claims are submitted to claim reimbursement of costs associated with completing approved projects. Evaluation reports are submitted within 90 days of expiration of the agreement. The Quality Samples Program is a reimbursable program whereby the applicant incurs the expense and is reimbursed later.

Reports:

No reports are required.

Audits:

No audits are required for this program.

Records:

Records must be maintained for not less than 3 years after completion or termination of the agreement or not more than 5 full calendar years following the year the transaction that is evidenced by such an account or record that took place, whichever is sooner.

Account Identification:

12-4336-0-3-999.

Obligations:

(Project Grants) FY 12 \$1,891,100; FY 13 est \$2,500,000; and FY 14 est \$2,500,000

Range and Average of Financial Assistance:

FY 2011 range \$5,000 to \$75,000, average \$33,883
FY 2012 range \$5,000 to \$75,000, average \$31,131.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: *. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Guidelines.

Regional or Local Office:

None.

Headquarters Office:

Mark Slupek 1400 Independence Ave, Washington, District of Columbia 20882
Email: mark.slupek@fas.usda.gov Phone: 202-720-4327

Website Address:

<http://www.fas.usda.gov/mos/programs/qsp.asp>.

RELATED PROGRAMS:

10.600 Foreign Market Development Cooperator Program; 10.601 Market Access Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

FAS uses the following criteria when evaluating QSP proposals: (1) the ability of the organization to provide an experienced staff with the requisite technical and trade experience to execute the proposal; (2) the extent to which the proposal is targeted to a market in which the United States is generally competitive; (3) the potential for expanding commercial sales in the proposed market; (4) the nature of the specific market constraint or opportunity involved and how well it is addressed by the proposal; (5) the extent to which the importer's contribution in terms of handling and processing enhances the potential outcome of the project; (6) the amount of reimbursement requested and the organization's willingness to contribute resources, including cash and goods and services of the U.S. industry and foreign third parties; and (7) and how well the proposed technical assistance component assures that performance trials will effectively demonstrate the intended end-use benefit.

10.606 FOOD FOR PROGRESS

FFP

FEDERAL AGENCY:

Foreign Agricultural Service, Department of Agriculture

AUTHORIZATION:

Food for Progress Act of 1985.

OBJECTIVES:

The Food for Progress program provides for the donation of U.S. agricultural commodities to developing countries and emerging democracies that are committed to introducing or expanding free enterprise in their agricultural economies. Donated commodities are typically monetized, and the proceeds are used to fund agricultural development activities. Food for Progress has two high-level strategic objectives: (1) increase agricultural productivity and (2) expand trade of agricultural products (domestically, regionally, and internationally).

TYPES OF ASSISTANCE:

Project Grants; SALE, EXCHANGE, OR DONATION OF PROPERTY OR GOODS

USES AND USE RESTRICTIONS:

The following restrictions on commodity use and distribution apply: (a) The Cooperating All activities under the program must be implemented in accordance with the terms of the Food for Progress regulations, 7 CFR 1499, and in accordance with the specific terms and conditions of the specific program agreement.

Applicant Eligibility:

An entity will be eligible to become a participant only after FAS determines that the entity has:
(1) organizational experience in implementing and managing awards, and the capability and personnel to develop, implement, monitor, report on, and provide

accountability for activities in accordance with this part; (2) experience working in the proposed targeted country; (3) an adequate financial framework to implement the activities the entity proposes to carry out under the Food for Progress program; (4) a person or agent located in the United States with respect to which service of judicial process may be obtained by FAS on behalf of the entity; and (5) an operating financial account in the proposed targeted country, or a satisfactory explanation for not having such an account and a description of how a Food for Progress program agreement would be administered without such an account.

Beneficiary Eligibility:

The Food for Progress programs focuses on developing countries and emerging democracies that are committed to introducing or expanding free enterprise in their agricultural economies.

Credentials/Documentation:

In determining whether an entity will be eligible to be a participant, FAS may consider the entity's previous compliance or noncompliance with the provisions of 7 CFR 1499. FAS may consider matters such as whether the entity corrected deficiencies in the implementation of an agreement in a timely manner and whether the entity has filed timely and accurate reports and other submissions that are required to be filed with FAS and other agencies of the United States. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

All applications must include an SF-424, Program Introduction, and Plan of Operation. Specific guidance on application procedures are posted on the FAS website.

Award Procedure:

Applications are submitted to FAS. All applications are reviewed for completeness and then given to a technical review committee for a competitive review. After FAS approves an applicant's proposal, FAS will develop an agreement in consultation with the applicant. The agreement will set forth the obligations and responsibilities of CCC and the participant. A participant must agree to and comply with the terms of the agreement to receive assistance.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Other - Not Specified.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Agreements normally include assistance or program implementation periods of 3 years. Method of awarding/releasing assistance: lump sum.

Reports:

Semi-annual program reports. No cash reports are required. Semi-annual performance reports. semi-annual financial reports. An interim evaluation and a final evaluation are required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

The award recipient shall maintain records for a period of three (3) years from the date of submission of the final expenditure report.

Account Identification:

12-4336-0-3-999.

Obligations:

(Sale, Exchange, or Donation of Property and Goods) FY 12 \$245,700,000; FY 13 est \$175,000,000; and FY 14 est \$175,000,000

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Food for Progress regulations are 7 CFR 1499 and contain references to other applicable regulations for this program

Regional or Local Office:

None.

Headquarters Office:

Jamie L. Fisher FAS/OCBD/Food Assistance Division; 1400 independence Ave., SW; Washington, District of Columbia 20250; Phone: 202-720-4221, Washington, District of Columbia 20250 Email: jamie.fisher@fas.usda.gov Phone: 202-720-5620

Website Address:

HTTP://WWW.FAS.USDA.GOV/

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Food for Progress programs have worked in developing countries to train farmers in animal and plant health, improve farming methods, develop infrastructure (roads, electricity, etc.), establish and strengthen producer cooperatives, provide microcredit, and develop agricultural value chains. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Proposals are selected based upon assessed need, technical and programmatic merit, organizational background, competence and experience, past performance and financial plan. This is not a formula program.

10.608 FOOD FOR EDUCATION

McGovern-Dole International Food for Education and Children Nutrition Program

(McGovern-Dole Program)

FEDERAL AGENCY:

Foreign Agricultural Service, Department of Agriculture

AUTHORIZATION:

Farm Security and Rural Investment Act of 2002.

OBJECTIVES:

The McGovern-Dole program provides for the donation of agricultural commodities and the provision of financial and technical assistance to improve the education, food security and health of school-age children, especially girls, in developing countries. The key objectives of the McGovern-Dole Program are improved health and dietary practices and improved literacy of school-age children. By providing school meals, teacher training, and related support, the McGovern-Dole Program increases school enrollment and improves academic

performance.

TYPES OF ASSISTANCE:

Project Grants; SALE, EXCHANGE, OR DONATION OF PROPERTY OR GOODS

USES AND USE RESTRICTIONS:

All activities under the program must be implemented in accordance with the terms of the McGovern-Dole Program regulations, 7 CFR 1599, and in accordance with the special terms and conditions of the specific program agreement.

Applicant Eligibility:

An entity will be eligible to become a participant only after FAS determines that the entity has:(1) organizational experience in implementing and managing awards, and the capability and personnel to develop, implement, monitor, report on, and provide accountability for activities in accordance with this part; (2) experience working in the proposed targeted country; (3) an adequate financial framework to implement the activities the entity proposes to carry out under McGovern-Dole Program; (4) a person or agent located in the United States with respect to which service of judicial process may be obtained by FAS on behalf of the entity; and (5) an operating financial account in the proposed targeted country, or a satisfactory explanation for not having such an account and a description of how a McGovern-Dole Program agreement would be administered without such an account.

Beneficiary Eligibility:

The McGovern-Dole Program focuses on developing countries in which the national government of the recipient country is fully committed to achieving the goals of the World Declaration on Education for All and is taking steps to improve the quality and availability of education.

Credentials/Documentation:

In determining whether an entity will be eligible to be a participant, FAS may consider the entity's previous compliance or noncompliance with the provisions of 7 CFR 1599. FAS may consider matters such as whether the entity corrected deficiencies in the implementation of an agreement in a timely manner and whether the entity has filed timely and accurate reports and other submissions that are required to be filed with FAS and other agencies of the United States. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. All applications must include an SF-424, Program Introduction, and Plan of Operation. Specific guidance on application procedures are posted on the FAS website.

Award Procedure:

Applications are submitted to FAS. All applications are reviewed for completeness and then given to a technical review committee for a competitive review. After FAS approves an applicant's proposal, FAS will develop an agreement in consultation with the applicant. The agreement will set forth the obligations of FAS and the participant. A participant must comply with the terms of the agreement to receive assistance.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Other - Not Specified.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Agreements normally include assistance or program implementation periods of 1-3 years. Method of awarding/releasing assistance: lump sum.

Reports:

Quarterly program reports may be required. No cash reports are required. Semi-annual performance reports are required. Semi-annual financial reports are required. An interim evaluation and a final evaluation are required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

The Award Recipient shall maintain records for a period of three (3) years from the date of submission of the final expenditure report.

Account Identification:

12-2903-0-0-000.

Obligations:

(Sale, Exchange, or Donation of Property and Goods) FY 12 \$191,700,000; FY 13 est \$184,000,000; and FY 14 est \$184,000,000

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

McGovern-Dole Program regulations are 7 CFR 1599 and contain references to other applicable regulations for this program.

Regional or Local Office:

None.

Headquarters Office:

Jamie L. Fisher FAS/OCBD/Food Assistance Division; 1400 Independence Ave., SW; Washington, District of Columbia 20250; Phone: 202-720-4221, Washington, District of Columbia 20250 Email: jamie.fisher@fas.usda.gov Phone: 202-720-5620

Website Address:

<http://www.fas.usda.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Proposals are selected based upon assessed need, technical and programmatic merit, organizational background, competence and experience, past performance and financial plan. This is not a formula program.

10.609 TRADE ADJUSTMENT ASSISTANCE

(TAA)

FEDERAL AGENCY:

Foreign Agricultural Service, Department of Agriculture

AUTHORIZATION:

Trade Act of 1974, as amended, Trade Act of 2002, and reauthorized by the American Recovery and Reinvestment Act (ARRA) of 2009.

OBJECTIVES:

Under the program, the Department of Agriculture (USDA) provides technical assistance and cash benefits to eligible farmers and fishermen if increased

imports contributed importantly to a production or price decline of greater than 15 percent. Technical assistance under the program provides access to a wide variety of resources from USDA's National Institute of Food and Agriculture (NIFA), their county Extension Service, and their education contractor, the University of Minnesota's Center for Farm Financial Management (CFFM), to assist producers in exploring alternative crops or improved marketing techniques for their current enterprise. The program's goal is to help producers respond proactively to import competition through training and cash benefits.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS WITH UNRESTRICTED USE

USES AND USE RESTRICTIONS:

This program makes cash payments and provides technical assistance to eligible farmers, ranchers, and fishermen competing with like or directly competitive imported products.

Applicant Eligibility:

The TAA review process is two-tier and consists of a petition application process and a producer application process. First, group petitions must demonstrate, using data for the most recent, full marketing year or full official marketing season, a greater than 15-percent decline in at least one of the following factors: national average price, quantity of production, value of production, or cash receipts. In addition, petitions must also demonstrate that the decline in one of the cited factors be importantly caused by an increase in imports. If a petition is certified, individual producers may apply at their local FSA field office. Producers must demonstrate they produced the agricultural commodity in the marketing year for which the petition is filed and in at least one of three preceding marketing years. Producers must also demonstrate that they experienced a decrease in the production or price of the agricultural commodity in the marketing year for which the petition is certified, compared to the most recent prior marketing year preceding that marketing year for which data is available, or demonstrate that USDAs county price for the petitioned commodity on the petition filing date is less than USDAs average county price for the past three consecutive marketing years.

Beneficiary Eligibility:

Producers of raw commodities who have been adversely affected by import competition and are covered by a certification of eligibility.

Credentials/Documentation:

When applying, producers must provide documentation that they produced the commodity during the most recent marketing year for which the petition is filed and in at least one of the three marketing years preceding that marketing year. To be eligible for cash payments, producers also must certify that they experienced a decrease in the quantity or price of the agricultural commodity in the marketing year for which the petition is certified, compared to the most recent prior marketing year preceding that marketing year for which data is available, or demonstrate that USDAs county price for the petitioned commodity on the petition filing date is less than USDAs average county price for the past three consecutive marketing years. If eligible, a producer may receive no more than \$12,000 during the 36-month period following the certification of a group petition. Producers may receive no more than \$65,000 in combined counter-cyclical and TAA payments. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. A group of producers or an association representing a group of producers must first file a petition with USDA's FAS to establish eligibility for assistance. Petitions may be filed by a group of three or more producers or an authorized representative. If a petition is certified, individual producers must file an application at their local FSA field office. Producers who are approved are eligible to receive, at no cost, trade adjustment technical assistance from the CFFM and cash benefits.

The TAA regulation defines articles like or directly competitive as products

falling generally under the same number in the Harmonized Tariff Schedule of the United States (HTS). Links to the HTS and a U.S. trade database can be found at <http://www.fas.usda.gov/itp/us-tariff-sch.asp>.

The CFFM will provide information regarding the feasibility of substituting one or more alternative commodities and improve the competitiveness of the production and marketing of the adversely affected commodity.

Award Procedure:

FAS determined the eligibility of producers of raw commodities who have been adversely affected by import competition based on all information and supporting documentation provided. The CFFM will make available to all producers who apply for TAA free technical assistance within 180 days of the petition certification date. The CFFM will contact applicants with the times and dates of scheduled training sessions or online classes. Once an applicant has met all program requirements, they will receive a cash benefit of up to \$4,000 based upon the completion of an Initial Business Plan, and an additional \$8,000 based upon the completion of a Final Business Plan. The Farm Service Agency will make a direct deposit payment to the eligible applicant's checking account. The maximum cash benefit is \$12,000 over a 36-month period. Applicants may receive less if total applications exceed TAA funding levels.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Petition filing to establish eligibility, on the average, will take 40 days from the date that the petition is accepted and published in the Federal Register. The CFFM will make available to all producers who apply for TAA free technical assistance within 180 days of the petition certification date.

Appeals:

Any person may obtain reconsideration and review of determinations made with respect to applications for program benefits by filing an administrative appeal with USDAs Foreign Agricultural Service within 30 days of FAS's denial and, if upheld, within 60 days to the U.S. Court of International Trade.

Renewals:

Initially expected to expire on December 31, 2010, the TAA for Farmers Program was extended until February 12, 2011, through the Omnibus Trade Act on December 29, 2010. However, given the statutory requirement of a 90-day application period, the six-week life of the new appropriation precluded the announcement of a new program. The program officially expired on February 12, 2011, but funding and operations for it remain intact and allow all approved applicants to continue to receive payments and complete their training until September 2013.

On October 12, 2011, Congress passed the Trade Adjustment Assistance Extension Act of 2011. The legislation reauthorized the TAA for Farmers Program through the first quarter of FY 2014. However, neither the House nor Senate bills provided appropriated funding for the program. As a result, no new petitions or applications have been accepted, to date.

Formula and Matching Requirements:

Statutory Formula: An eligible applicant will receive a monetary cash benefit of up to \$4,000 based upon the completion of an Initial Business Plan and up to an additional \$8,000 based upon the completion of a Long-Term Business Plan. Payment limitations exist for applicants who have received \$65,000 in counter cyclical payments. Monetary cash benefits may be less than \$12,000 if total TAA payments exceed available funds. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Payment is made by direct deposit after determining applicant eligibility, unless otherwise arranged. See the following for information on how assistance is awarded/released: Two separate cash payments are provided to applicants who complete the training requirements.

Reports:

Not later than January 30, 2010, and annually thereafter, the Secretary of Agriculture shall submit to the Committee on Finance of the Senate and the

Committee on Ways and Means of the House of Representatives a report containing the following information with respect to adjustment assistance provided under this chapter during the preceding fiscal year: (1) A list of the agricultural commodities covered by a certification. (2) The States or regions in which such commodities are produced and the aggregate amount of such commodities produced in each such State or region. (3) The total number of agricultural commodity producers, by congressional district, receiving benefits. (4) The total number of agricultural commodity producers, by congressional district, receiving technical assistance. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. At all times during regular business hours, authorized representatives of FSA, the United States Department of Agriculture, or the Comptroller General of the United States shall have access to the premises of the producer in order to inspect, examine, and make copies of the books, records, and accounts, and other written data as specified. Audits of certifications of average adjusted gross income may be conducted as necessary to determine compliance with established requirements. As a part of this audit, income tax forms may be requested and if requested, must be supplied. If a producer has submitted information to FSA, including a certification from a certified public accountant or attorney, that relied upon information from a form previously filed with the Internal Revenue Service, such producer shall provide FSA a copy of any amended form filed with the Internal Revenue Service with 30 days of the filing.

Records:

Persons making application for benefits under this program must maintain accurate records and accounts that will document that they meet all eligibility requirements specified herein, as may be requested by FSA. Such records and accounts must be retained for 2 years after the date of the final payment to the producer under this program.

Account Identification:

12-4336-0-4-350.

Obligations:

(Salaries) FY 12 \$0; FY 13 est \$0; and FY 14 Estimate Not Available - Program authorized, but not funded.

Range and Average of Financial Assistance:

The maximum cash benefit is up to \$12,000 per year. In some years, producers may receive less if producer demands for TAA exceed funding levels.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program is announced through news media and in letters to agricultural producers in the counties. Regulations published in the Federal Register, 7 CFR Part 1580.

Regional or Local Office:

See Regional Agency Offices. Consult the local phone directory for location of the nearest county FSA office. If no listing, contact the appropriate State FSA office listed in the Farm Service Agency section of Appendix IV of the Catalog or on the WEB at <http://www.fsa.usda.gov>.

Headquarters Office:

John Rice 1400 Independence Avenue, SW, Rm. 6539C, Washington, District of Columbia 20250 Email: John.Rice@fas.usda.gov Phone: 2026900633

Website Address:

<http://www.fas.usda.gov/itp/taa/taa.asp>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Any producer of an eligible commodity identified by HTS number who has recently suffered from a decrease in production or price due to increasing imports and who meet all eligibility requirements may receive assistance. Applications are non-competitive.

10.610 EXPORT GUARANTEE PROGRAM

The GSM-102 Program

FEDERAL AGENCY:

Foreign Agricultural Service, Department of Agriculture

AUTHORIZATION:

OMB 7 CFR 1493, Part A; Agricultural Trade Act of 1978.

OBJECTIVES:

The U.S. Department of Agriculture's (USDA) Export Credit guarantee Program (GSM-102) provides credit guarantees to encourage financing of commercial exports of U.S. agricultural products, while providing competitive credit terms to buyers. By reducing financial risk to lenders, credit guarantees encourage exports to buyers in countries-mainly developing countries- that have sufficient financial strength to have foreign exchange available for scheduled payments. The program guarantee credit extended by the private banking sector in the U.S. (or, less commonly, by the U.S. exporter) to approved foreign banks using dollar-denominated, irrevocable letters of credit for purchases of U.S. food and agricultural products by foreign buyers. USDA's Foreign Agricultural Service (FAS) administers the program on behalf of the Commodity Credit Corporation (CCC), which issues the credit guarantees. GSM 102 covers credit terms of up to three year; maximum terms may vary by country.

TYPES OF ASSISTANCE:

Guaranteed/Insured Loans

USES AND USE RESTRICTIONS:

CCC guarantees payments due from approved foreign banks to exporters or financial institutions in the U.S. However, the financing must be obtained through normal commercial sources. Typically, 98 percent of principals and a portion of interest are covered by a guarantee. Because payment is guaranteed, financial institutions in the U.S. can offer competitive credit terms to the foreign banks, usually with interest rates based on the London Inter Bank Offered Rate (LIBOR). Any follow-up on credit arrangements between the foreign bank and the importer are negotiated separately and are not covered by the CCC guarantee.

Applicant Eligibility:

Export Credit guarantee Program (GSM-102) provides credit guarantees to encourage financing of commercial exports of U.S. agricultural products, while providing competitive credit terms to buyers. By reducing financial risk to lenders, credit guarantees encourage exports to buyers in countries-mainly developing countries- that have sufficient financial strength to have foreign exchange available for scheduled payments. This Program provides guarantees to lenders.

Beneficiary Eligibility:

Exporters or the exporters assignee are the direct beneficiaries and must meet the applicant eligibility requirements. Interested parties, including U.S. exporters, foreign buyers, banks, may request that the CCC establish a GSM-102 program for a country or region. Prior to announcing the availability of guarantees, the CCC evaluates the ability of each country and foreign bank to service CCC guaranteed debt.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. CCC must qualify exporters for participation before accepting guarantee applications. An exporter must have a business office in the U.S. and must not be debarred or suspended from any U.S. government program. Financial institutions must meet established criteria and be approved by CCC. CCC sets limits and advises each approved foreign bank on the maximum amount CCC will guarantee for that bank. The

Award Procedure:

The CCC-approved foreign bank issues a dollar-denominated, irrevocable letter of credit in favor of the U.S. exporter, ordinarily advised or confirmed by the financial institution in the U.S. agreeing to extend credit to the foreign bank. The U.S. exporter may negotiate an arrangement to be paid as exports occur by assigning to the U.S. financial institution the right to process that may become payable under the CCC's guarantee. Under this arrangement, the exporter would also provide transaction-related documents required by the financial institution, including a copy of the export report, which must also be submitted to CCC.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Please contact the headquarters or regional office for additional approval information.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title 7, Chapter 14, Part 1493. CCC will review documents submitted under a claim. If all information and documents are in good order and meet the requirements of the regulations, CCC will pay to the exporter (or the exporter's assignee) the lesser of 1) the guarantee value stated in the payment guarantee, plus eligible interest; 2) the guaranteed percentage, indicated in the payment guarantee, of the exported value as indicated in the Evidence of Export, plus eligible interest.

Matching requirements are not applicable to this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The payment guarantee applies to a credit period established in the application, and coverage begins either on the date(s) of export or the date when interest begins to accrue, whichever is earlier. Coverage will continue during the credit term specified in the payment guarantee. However, the payment guarantee does not become effective until the date of export. Method of awarding/releasing assistance: by letter of credit.

Reports:

No reports are required.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. Recipients under this program are subject to audit by the Office of the Regional Inspector General, U.S. Department of Agriculture.

Records:

For CCC audit purposes, the U.S. exporter must obtain documentation to show that the commodity arrived in the eligible country, and the exporter or the exporter's assignee must maintain all transaction documents for five years from the date of completion of all payments.

Account Identification:

12-1336-0-1-351.

Obligations:

(Guaranteed/Insured Loans) FY 12 Not Available; FY 13 est \$7,000,000; and FY 14 est \$7,000,000

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program Announcements issued by the Foreign Agricultural Service provide information on specific country and commodity allocations, length of credit period, and other program information and requirements.

Regional or Local Office:

See Regional Agency Offices. For more information, contact:
Director, Credit Programs Division, Office of Trade programs
FAS/USDA, Stop 1025, 1400 Independence Ave SW Washington DC
20250-1025.

Headquarters Office:

Daniele D. Swain 1400 Independence Avenue, SW, Washington, District of Columbia 20250 Email: daniele.swain@fas.usda.gov Phone: 2027203277 Fax: 202-720-2949

Website Address:

<http://www.fsa.usda.gov/excredits/ecgp.asp> program information
<http://www.fas.usda.gov/excredit/exp-cred-guar.asp> FAS announcements of GSM-102 allocations

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.612 USDA LOCAL AND REGIONAL FOOD AID PROCUREMENT PILOT PROJECT

USDA LRP Project

FEDERAL AGENCY:

Foreign Agricultural Service, Department of Agriculture

AUTHORIZATION:

Food, Conservation, and Energy Act of 2008, Public Law 110-234, III U.S.C 3206.

OBJECTIVES:

The purpose of the USDA LRP Project is to examine the timeliness, cost and market impact of using local and regional procurement as a tool to respond to food crises and disasters in developing countries around the world.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Resources may only be used in accordance with the terms and conditions specified in the Interim Guidelines for the Local and Regional Food Aid Procurement Pilot Project.

Applicant Eligibility:

Private Voluntary Organizations (PVOs), Intergovernmental Organizations (IGOs), and Cooperatives are eligible to participate in the USDA LRP Project.

Priority consideration will be given to proposals from U.S.-based organizations.

Beneficiary Eligibility:

Only food-insecure populations in developing countries are eligible for assistance under the USDA LRP Project.

Credentials/Documentation:

Eligible organizations must submit an application for qualification. The application for qualification must be approved by USDA before an organization is eligible to submit proposals for funding.

Applications for qualification must include a description of the following: (1)

Experience implementing and managing food assistance programs, and the capability and the personnel to develop, implement, monitor, report on, and be accountable for field-based projects; (2) Experience working in food-insecure developing countries that are or have been affected by food crises or disasters; (3) An adequate financial framework to implement field-based projects under the USDA LRP Project; and (4) A person or agent located in the United States with respect to which service of judicial process may be obtained by FAS on behalf of the organization. Additional project-specific qualification criteria can be found in the Interim Guidelines for the Local and Regional Food Aid Procurement Pilot Project. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program.

Award Procedure:

Application and project implementation deadlines are included in the announcements on the FAS website.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 15 to 30 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. This program has no matching requirements. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Funding is available for emergency and non-emergency food assistance projects in developing countries from Fiscal Years 2009-2011.

All food aid procurements and distributions must be completed by September 30, 2011. Method of awarding/releasing assistance: lump sum.

Reports:

Program participants must submit to FAS, not later than September 30, 2011, the following information regarding each field-based project implemented under the USDA LRP Project, in a format agreed upon by FAS:

(1) With respect to each relevant market in which an eligible commodity was purchased under this section, a description of the prevailing and historic supply, demand, and price movements of the market, including:

(i) The extent of competition for procurement bids;
(ii) The import parity price of the each of the eligible commodities in the purchase country and in the recipient country at the time of purchase; and
(iii) For direct distribution programs, the market price of the eligible commodities in the purchase country one week prior to purchase, on the day of purchase and one week after purchase; or for cash or voucher programs, the market price of the eligible commodities in the purchase country one week prior to distribution of the cash or vouchers, on the day of each cash or voucher distribution and one week after all cash or voucher distributions have been completed;

(2) A description of the means by which each of the eligible commodities was purchased (i.e. competitive tender, direct contract, spot purchase, etc.);

(3) The impact of the procurement of the eligible commodity on producer and consumer prices in the market;

(4) Each government market interference or other activity of the donor country or the purchase country that might have significantly affected the supply or demand of the eligible commodity in the area at which the local or regional procurement occurred;

(5) The types and quantities of each eligible commodity purchased with USDA LRP Project funds, including:

- (i) The purchase country, and the area within the purchase country, in which each eligible commodity was purchased;
- (ii) The recipient country, and the area within the recipient country, in which each eligible commodity was distributed; and
- (iii) The country of origin of each of the eligible agricultural commodities;

(6) The timeframe for procurement of each eligible commodity, including the following information:

- (i) The date on which the eligible commodities were purchased (i.e. the date on which the contract(s) with the commodity vendor(s) was signed);
- (ii) In the case of both local and regional procurements, the date on which title to the eligible commodities transferred to the Participant;
- (iii) In the case of regional procurements requiring ocean freight transportation, the vessel flag of registry, the date on which the vessel arrived at the loadport, and the date on which the eligible commodities were delivered to the discharge port;
- (iv) If the eligible commodities were shipped on a thru bill of lading, the date on which they were delivered to the inland destination point(s) in the recipient country;
- (v) In the case of both local and regional procurements, the date on which the eligible commodities were delivered to the final distribution point(s) in the recipient country; and
- (vi) The total cost of the procurement of each eligible commodity (including commodity, processing, packaging, transportation, storage, handling, inspection, and administrative costs);

(7) An assessment regarding:

- (i) Whether the objectives of the food security intervention were accomplished;
- (ii) The effectiveness of the procurement methodology used;
- (iii) The impact of the procurement methodology on local and regional agricultural producers (including large and small agricultural producers), markets, low-income consumers, and targeted beneficiaries; and
- (iv) The nutritional value, quality and safety of the food received by the targeted beneficiaries;

(8) The length of the period beginning on the date of the signing of the agreement and ending on the date of delivery of eligible commodities to the targeted beneficiaries; and

(9) The total number and type(s) of beneficiaries served over the life of the field-based project.

(10) Any other information required by FAS under an agreement. Program participants must submit quarterly financial reports for all funds advanced and all interest earned. Program participants are required to submit quarterly procurement and distribution status reports. Detailed expenditure reports may be requested by FAS. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Program participants shall retain records and permit access to records in accordance with the requirements of 7 CFR 3019.53.

Account Identification:

12-4336-0-3-999.

Obligations:

(Salaries) FY 12 \$1,300,000; FY 13 est \$0; and FY 14 est \$0

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

FAS/OCBD 1400 Independence Ave., SW, Washington, District of Columbia
20250 Phone: 2027206887

Website Address:

<http://www.fas.usda.gov/excredits/FoodAid/LRP/LRP.asp>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.613 FACULTY EXCHANGE PROGRAM

FEDERAL AGENCY:

Foreign Agricultural Service, Department of Agriculture

AUTHORIZATION:

National Agricultural Research, Extension, and Teaching Act of 1977, as amended, and 7 U.S.C. 3291, and 7 U.S.C. 3319(a), Food Security Act of 1985, as amended, Public Law 99-198.

OBJECTIVES:

The Faculty Exchange Program assists developing countries to improve their university agricultural education, research and extension programs by providing a one semester training program at U.S. Land Grant Agricultural Universities.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use; Project Grants

USES AND USE RESTRICTIONS:

No funding is available for unsolicited proposal. Faculty Exchange Program funds are used to provide participant training at Land Grant Universities in the U.S.

Applicant Eligibility:

The Faculty Exchange Program solicits proposals for U.S. Land Grant Universities,.

Beneficiary Eligibility:

Technical assistance and training provided through these agreements benefit foreign governments and related agricultural institutions in their countries.

Credentials/Documentation:

This program is subject to the provisions of OMB Circulars A-21, Cost Principles for Educational Institutions; A-122, Cost Principles for Nonprofit Organizations; and OMB Circular A-110, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-Profit Organizations. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Program guidelines and

detail information on FEP are available by contacting staff through the USDA Foreign Agricultural Service website at: <http://www.fas.usda.gov/OCBD.asp>. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Award Procedure:

All proposals are evaluated by technical specialists on an ongoing basis, which rate the qualifications of applicants and merits of the proposals. Selection criteria will be published with each individual request for application to participate in the program.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Other - Not Specified.

Renewals:

Extensions may be authorized.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Assistance is phased according to the requirements of individual projects. Agreements are entered into with selected institutions for a period of 1 to 5 years. See the following for information on how assistance is awarded/released:
Assistance is awarded through reimbursable agreements.
Post Assistance Requirements.

Reports:

Mentor reports and participant reports are required. SF-425, Federal Financial Report, are required quarterly. Mentor reports and participant reports are required. SF-425, Federal Financial Report, are required quarterly. Mentor and Participants must submit final report.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Typically, a recipient must maintain all program and financial records for a period of 3 years after their final reports are submitted; in accordance with 7 C.F.R. 3015.21 and 7 C.F.R. 3015.22.

Account Identification:

12-2900-0-1-352.

Obligations:

(Direct Payments for Specified Use) FY 12 \$100,000; FY 13 est \$100,000; and FY 14 est \$100,000

Range and Average of Financial Assistance:

Approximately \$20000-25000 per participant.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: FEP hosted 21 international participants. Fiscal Year 2013: Approximately 8 international participants will be trained in FY 2013. Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Federal financial assistance transactions under 7 U.S.C. 3291, and 3319(a) are subject to various legal requirements that are codified in the U.S.C., parts of the CFR, Executive Orders, Treasury Financial Manual bulletins, and other legally binding sources.

Regional or Local Office:

None.

Headquarters Office:

Gerald Smith 1400 Independence Ave, SW, , Room 3234-S., Washington, District of Columbia 20250 Email: gerald.smith@fas.usda.gov Phone: 2026902868

Website Address:

<http://www.fas.usda.gov/>

RELATED PROGRAMS:

10.777 Norman E. Borlaug International Agricultural Science and Technology Fellowship; 10.962 Cochran Fellowship Program-International Training-Foreign Participant

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are dependent upon identification of specific project requirements and program priorities developed by the Foreign Agricultural Service's Office of Capacity Building and Development program area (FAS/OCBD), in consultation with collaborating agencies and organizations. Contact FAS/OCBD for additional information. While this program does not fund unsolicited proposal, interested parties are welcome to submit statements of capability and expressions of interest.

10.614 SCIENTIFIC COOPERATION EXCHANGE PROGRAM WITH CHINA

SCEP

FEDERAL AGENCY:

Foreign Agricultural Service, Department of Agriculture

AUTHORIZATION:

National Agricultural Research, Extension, and Teaching Policy Act of 1977 enacted as Title XIV of the Food and Agriculture Act of 1977, 7 U.S.C. 3318(b), 7 U.S.C. 3319(a), and 7 U.S.C. 3291. Title 7, Agriculture, Chapter 64, Agricultural Research, Extension, and Teaching, Subchapter VIII, International Research, Extension, and Teaching, as amended on February 1, 2010.

OBJECTIVES:

To facilitate mutual cooperation in agricultural research, education, and extension between the United States and China.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use; Project Grants

USES AND USE RESTRICTIONS:

Funds may be used for direct costs of conducting approved agricultural exchanges. Allowable expenses include travel for research, extension, training, and indirect costs associated with hosting Chinese exchange teams.

Applicant Eligibility:

U.S. Institutions of higher learning, and public and private nonprofit organizations whose primary purpose is agriculture, natural resources management and/or rural development (including those located in U.S. territories).

Beneficiary Eligibility:

U.S. institutions from the public, private, and academia sectors.

Credentials/Documentation:

This program is subject to the provisions of OMB Circulars A-21, Cost Principles for Educational Institutions; A-122, Cost Principles for Nonprofit Organizations; and OMB Circular A-110, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-Profit Organizations. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication procedures are available on the internet through the USDA Foreign Agricultural Service website at: <http://www.fas.usda.gov/>. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Program guidelines with detailed application procedures are available on the internet through the USDA Foreign Agricultural Service website at : <http://www.fas.usda.gov/> or through Grants.gov, a government wide internet portal for federal funding.

Award Procedure:

All proposals are evaluated by technical specialists who rate the qualifications of applicants and the merits of the proposals. Selection criteria will be published with each individual "Request for Expression of Interest" for participation in the program.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Extensions may be authorized.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Short term exchange visits for approximately two weeks. See the following for information on how assistance is awarded/released: Assistance is phased according to the requirements of individual projects.

Reports:

Participants must submit a final report. The SF-425, Federal Financial Report, are required quarterly. No progress reports are required. The SF-425, Federal Financial Report, are required quarterly. Participants must submit a final report. Participants must include a monitoring and evaluation component in the proposal. Monitoring and evaluation varies per project. Participants must submit a final report.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Typically, a recipient must maintain all program and financial records for a period of 3 years after the final report, subject to conditions found in 7CFR 3015.21 and 7CFR3015.22.

Account Identification:

12-2900-0-1-352.

Obligations:

(Cooperative Agreements) FY 12 \$426,217; FY 13 est \$426,217; and FY 14 est \$426,217

Range and Average of Financial Assistance:

Exchange visits last approximately two weeks. Average cost per participant is \$5,500.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Hosted six (6) teams from China and deployed six (6) U.S. teams to China. Fiscal Year 2013: The Scientific Cooperation Exchange Program with China is expected to host eight (8) exchange teams from China and deploy eight (8) U.S. teams to China. Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Federal financial assistance transactions under 7 USC 3291 are subject to various legal requirements that are codified in the U.S. C., parts of the CFR, Executive Orders, Treasury Financial Manual bulletins, and other legally binding sources.

Regional or Local Office:

None.

Headquarters Office:

Gerald Smith 1400 Independence Ave. SW, Room 3234-S, Washington, District of Columbia 20250 Email: Gerald.Smith@fas.usda.gov Phone: 202-690-2868

Website Address:

<http://www.fas.usda.gov>

RELATED PROGRAMS:

10.777 Norman E. Borlaug International Agricultural Science and Technology Fellowship; 10.961 Scientific Cooperation and Research; 10.962 Cochran Fellowship Program-International Training-Foreign Participant

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are dependent upon identification of specific project requirements and program priorities developed by the Foreign Agricultural Service's Office of Capacity Building and Development program area (FAS/OCBD), in consultation with collaborating agencies and organizations. Contact FAS/OCBD for additional information. While this program does not fund unsolicited proposals, interested parties are welcome to submit statements of capability and expressions of interest.

10.652 FORESTRY RESEARCH

Research Grants & Cooperative Agreements

FEDERAL AGENCY:

Forest Service, Department of Agriculture

AUTHORIZATION:

Forest and Rangeland Renewable Resources Research Act of 1978, Public Law 95-307, 92 Stat. 353, 16 U.S.C. 1643.

OBJECTIVES:

To extend fundamental research activities of the Forest Service by awarding grants and cooperative agreements to nonprofit organizations, institutions of higher education, and organizations engaged in renewable resources research.

TYPES OF ASSISTANCE:

Cooperative Agreements; Project Grants

USES AND USE RESTRICTIONS:

Grants and cooperative agreements will be used for research in the fields of forest management, watershed management, forest range management, wildlife habitat management, forest recreation, forest fire protection, forest insect and disease protection and control, forest products utilization, forest engineering, forest production economics, forest products marketing, forest survey, urban forestry, wilderness, and social/cultural influences. Indirect expenses, when permitted by statute, will be reimbursed according to the negotiated indirect cost rate established by the Government's cognizant audit agency. Grants and Cooperative Agreements are limited to 5 years duration.

Applicant Eligibility:

Grants and cooperative agreements for basic or applied research may be made to State Agricultural Experiment Stations, universities and colleges, State and local governments, U.S. Territories, nonprofit research institutions or organizations, international organizations, individuals, and for-profit organizations.

Beneficiary Eligibility:

Organizations and scientists involved in basic and applied research activities related to forest and rangeland renewable resources.

Credentials/Documentation:

The principal investigator must document competence in the field of the

research proposed. Costs will be determined in accordance with OMB Circular No. A-87, A-21, or A-122, Cost Principles for State and Local Governments, Cost Principles for Educational Institutions, or Cost Principles for Nonprofit Organizations. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. A complete research proposal must be submitted following guidelines available in each of the offices referred to below. The proposal must explain in detail the work to be undertaken, the qualifications of key personnel involved in the work, other resources such as equipment, facilities, and services available or needed, and a proposed budget for each fiscal year during the life of the proposed grant or cooperative agreement. A short biographical sketch of the principal investigator and a list of his or her principal publications should be included. This program is subject to the provisions of OMB Circular No. A-110, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Nonprofit Organizations, 2 CFR 215.

Award Procedure:

All research grant and cooperative agreement proposals must compete for priority, showing technical competence and demonstrating the ability to meet national or regional needs. Research grants and cooperative agreements are made only in support of forestry research. Unsolicited proposals can be awarded for innovative or unique approaches to the proposed project.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Contact the Research Station for guidance.

Renewals:

Requests for continued support will be considered as equal in importance and in competition with pending proposals. Applications submitted for continued support should be identical to a new proposal, except that a resume of progress to date must be added. Amended application is required to increase the allotment amount or length of commitment, other requirements may be established by the Research Station.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: The applicant's contribution is negotiated for grants. The proposed budget by fiscal year shows the estimated cost of the complete project from grant funds and value of resources to be contributed by the applicant. Salaries of academic personnel for periods when they receive salary for teaching should not be included for payment from grant funds. The minimum matching contribution for cooperative agreements must constitute at least 20-percent of the estimated total cost of the project. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

One to 5 years. Billings for expenses incurred may be submitted monthly, quarterly, or semi-annually. See the following for information on how assistance is awarded/released: Payments made based on authorization and negotiation of provisions with cooperator.

Reports:

Program reports are not applicable. Cash reports are not applicable. Technical reports are required at least annually, but not more than quarterly as detailed in the applicable OMB Circulars and the grant award. A final technical report is also required upon completion of the project. SF-425 must be submitted at least annually and no more than quarterly as detailed in the applicable OMB Circulars and the grant award. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. The Comptroller General of the United States, his representative, or auditors of the cognizant audit agency for the educational institution involved shall have access at any reasonable time to the laboratories, offices, or other facilities of the recipient for purposes of review and observation of the status and progress of the sponsored project. Periodic audits should be made as part of the recipient's system of financial management and internal control to meet terms and conditions of grants and other agreements.

Records:

All related data, information, records, and accounts shall be retained for 3 years beyond the expiration date of the award unless other disposition is specified in writing by the awarding agency. Generally accepted accounting systems are permissible, if acceptable to audit and reconciliation. All grantees need to maintain adequate systems for collecting and recording statistical data.

Account Identification:

12-1104-0-1-302.

Obligations:

(Project Grants) FY 12 \$13,219,741; FY 13 est \$10,244,000; and FY 14 est \$9,795,000 - Both Grants and Cooperative Agreements are included in the past fiscal year number and in estimates.

Range and Average of Financial Assistance:

\$2,000 to \$300,000. Average: \$35,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

State, Local, and Tribal Governments: Uniform Administrative Requirements: 7 CFR 3016 (OMB Circular A-102); Cost Principles: 2 CFR 225 (OMB Circular A-87); Audit: OMB Circular A-133 and 7 CFR 3052. Non-Profit Organizations: Uniform Administrative Requirements: 2 CFR 215 (OMB Circular A-110); Cost Principles: 2 CFR 230 (OMB Circular A-122); Audit: OMB Circular A-133 and 7 CFR 3052. Educational Institutions (Universities): Uniform Administrative Requirements: 2 CFR 215 (OMB Circular A-110); Cost Principles: 2 CFR 220 (OMB Circular A-21); Audit: OMB Circular A-133 and 7 CFR 3052. For profit organizations are subject to the Federal Acquisition Regulations, 48 CFR Part 31, Contract Cost Principles and Procedures. Administrative provisions of subparts A through D of 2 CFR Part 215 apply to for profit organizations, foreign governments, organizations under the jurisdiction of foreign governments, and international organizations (2 CFR 215.0 (b)(4)).

Regional or Local Office:

See Regional Agency Offices. FPL - Director Michael Rains - 610-557-4162. IITF - Director, Ariel Lugo - 608-231-9318. RMRS - Director, George Foster - 970-498-1353. NRS - Director Michael Rains 610-557-4162. PNW - Acting Director, Robert Mangold - 503-808-2101. PSW - Director, Alexander Friend - 510-559-6310. SRS - Director, Robert Doudrick - 828-257-4300. Washington Office Budget Coordinator - Felipe Sanchez, 202-205-0833. Washington Office Grants & Agreements Contact- Duane Williams, 202-205-1182.

Headquarters Office:

Jimmy L. Reaves, 201 14th St. SW, INW, Washington, District of Columbia 20024 Phone: 202-205-1665

Website Address:

<http://www.fs.fed.us/links/research.html>

RELATED PROGRAMS:

10.001 Agricultural Research_Basic and Applied Research; 10.200 Grants for Agricultural Research, Special Research Grants; 10.202 Cooperative Forestry Research; 10.203 Payments to Agricultural Experiment Stations Under the

Hatch Act; 10.500 Cooperative Extension Service

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available
Fiscal Year 2013: No Current Data Available
Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Criteria are established on a program-by-program basis by Research Station Directors to meet Regional or National Research needs.

10.664 COOPERATIVE FORESTRY ASSISTANCE

FEDERAL AGENCY:

Forest Service, Department of Agriculture

AUTHORIZATION:

Cooperative Forestry Assistance Act of 1978, Public Law 95-313, as amended.

OBJECTIVES:

With respect to nonfederal forest and other rural lands to assist in the advancement of forest resources management and conservation; the encouragement of the production of timber; the control of insects and diseases affecting trees and forests; the control of rural fires; the efficient utilization of wood and wood residues, including the recycling of wood fiber; the improvement and maintenance of fish and wildlife habitat; and the planning and conduct of urban and community forestry programs; broaden existing programs on non-federal forest lands to meet the multiple use objectives of landowners; provide opportunities to private landowners to protect ecologically valuable and threatened non-Federal forest land; and strengthen educational, technical, and financial assistance programs that provide assistance to owners of non-Federal forest lands.

TYPES OF ASSISTANCE:

FORMULA GRANTS; PROJECT GRANTS

USES AND USE RESTRICTIONS:

To assist State Forester or equivalent agencies in forest stewardship programs on private, State, local, and other nonfederal forest and rural lands. Programs may include production of timber and efficient processing and use of wood products; developing genetically improved tree seeds; producing and distributing tree seeds and seedlings; reforestation; timber stand improvement; improving wildlife habitat; assisting private woodland owners in harvesting, processing, and marketing activities; conversion of wood to energy; protection and improvement of forest soil fertility; forest insect and disease management; urban and community forestry; development and transfer of new and improved fire prevention, control, suppression and prescribed fire technologies; organization of shared fire suppression resources, and achievement of more efficient fire protection for States and local communities; provision of financial, technical and related assistance to local rural fire fighting forces; acquisition and loan of Federal excess property; organizational improvement; forestry resources planning; conservation of forest land; and technology transfer.

Applicant Eligibility:

State Forestry or equivalent State agencies, tribes, non-profits, and municipalities are eligible. All States, the District of Columbia, the Commonwealth of Puerto Rico, the Virgin Islands of the United States, the Commonwealth of the Northern Mariana Islands, the Federated States of Micronesia, the Republic of the Marshall Islands, the Republic of Palau, and the territories and possessions of the United States are eligible.

Beneficiary Eligibility:

Landowners of nonfederal lands; rural community fire fighting forces; urban and municipal governments, non-profit organizations, tribes and other State, local, and private agencies acting through State Foresters, equivalent State officials, or other official representatives.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments, as implemented by 2 CFR 225. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O.

12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Contact Regional Office, Northeastern Area Office, or International Institute of Tropical Forestry Office listed at www.fs.fed.us/contactus/regions.shtml

Award Procedure:

Established by the Regional Office, Northeastern Area, or International Institute of Tropical Forestry.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 10 to 60 days. State single point of contact will have 30 days from notification of tentative allotments to comment.

Appeals:

Not Applicable.

Renewals:

Amend SF-424(A) with SF 424 application is required to amend the allotment amount or length of commitment, other requirements may be established by the Regional Office, Northeastern Area, or International Institute of Tropical Forestry.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Government shall not exceed the amount expended by a State grantee or grantee for all programs except those covered by Section 11 of Public Law 95-313. Section 10(b)(2), State Fire Assistance program funds require a 50 percent match by the grantee, unless reduced with a waiver. Section 10(b)(3) Volunteer Fire Assistance program funds require a 50 percent match by the grantee. Cost-sharing ratios for Section 11(a) Management Assistance and Section 11(c), Technology Implementation programs shall be negotiated. Section 11(b), Forest Resource Planning program funds require 20 percent matching by the State. Amount of grant based on negotiated targets to be achieved.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Electronic transfers of payments are encouraged. See the following for information on how assistance is awarded/released: Payments made based on authorization and negotiation of provisions with cooperator.

Reports:

Performance reports are required at least annually, but not more than quarterly as detailed in the applicable OMB Circulars and the grant award. Cash reports are not applicable. Performance reports are required at least annually, but not more than quarterly as detailed in the applicable OMB Circulars and the grant award. SF-425 must be submitted at least annually and no more than quarterly as detailed in the applicable OMB Circulars and the grant award. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

State and other generally accepted accounting systems are permissible, if acceptable to audit and reconciliation. All grantees need to maintain adequate systems for collecting and recording statistical data.

Account Identification:

12-1105-0-1-302; 12-1115-0-1-302.

Obligations:

(Project Grants) FY 12 \$144,356,918; FY 13 est \$140,000,000; and FY 14 Not Separately Identifiable - FY2013 projection based on current agreements and request.

Range and Average of Financial Assistance:

\$25,000 to \$6,000,000. Average \$1,000,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Forest Service Manual- Titles 3000, 3100, 3200, 3300, 3400, 3500, 3600, 3700, 3800, and 3900 available in all Forest Service offices, and State Forestry agency offices. OMB Circular Nos. A-102 as implemented by 7 CFR Part 3016, and A-87 as implemented by 2 CFR 225 are applicable to State and local government grantees.

Regional or Local Office:

See Regional Agency Offices. Refer <http://www.fs.fed.us/contactus/regions.shtml> for local State and Private Forestry offices of the Forest Service and for addresses and telephone numbers of Region, Area, and Insittute Directors of State & Private Forestry.

Headquarters Office:

Deputy Chief, State and Private Forestry, 1400 Independence Ave, SW, MS 1109, Washington, District of Columbia 20250 Phone: (202) 205-1657.

Website Address:

<http://www.fs.fed.us/spf/coop>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Most grants to State Forestry Agencies are approved on the basis of negotiated targets or objectives to be achieved. UCF community grants are based on local needs and resources. A specific project focus may be developed by State Urban Forestry Councils.

10.665 SCHOOLS AND ROADS - GRANTS TO STATES

Payments to States

FEDERAL AGENCY:

Forest Service, Department of Agriculture

AUTHORIZATION:

Secure Rural Schools and Community Self Determination Act of 2000, Division C, Section 601(a), 16. U.S.C. 7101-7153; 16 U.S.C. 500.

OBJECTIVES:

To share receipts from the National Forests with States in which the National Forests are situated.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

For Federal fiscal years 2008 through 2011 and reauthorized for FY 2012, the Secure Rural Schools Act allows counties in which national forests are situated to elect to share in the State payment (based on a formula in the Act) or to share in the state's 25-percent payment (based on the 7-year rolling average of receipts from national forests in the state). The fiscal year 2012 payment was made to states in January 2013, however in March USDA was notified that the payments might be subject to sequestration. The 25-percent payments to states are to be used for the benefit of public schools and public roads in the counties in which national forests are situated. An eligible county that elects to receive a share to the State payment (based on the formula in the Act) must under some circumstances allocate a portion of that payment for title II special projects on national forests or title III county projects, or both. The portion of the Secure Rural Schools Act State payments not allocated for these purposes are to be

used to benefit public schools and public roads in counties in which national forests are situated. The payments to benefit schools and roads are commonly called title I funds. Funds allocated by counties for title II special projects are reserved in a special account in the General Treasury and may be used by the Secretary of Agriculture for projects that improve the maintenance of existing infrastructure, enhance forest ecosystems, and restore and improve land health and water quality on national forests. Funds allocated by counties for title III county projects may be used only to: (1) carry out activities under the Firewise Communities program to provide homeowners in fire sensitive ecosystems education on, and assistance with implementing, techniques in home siting, home construction, and home landscaping that can increase the protection of people and property from wildfires; (2) reimburse the participating county for search and rescue and other emergency services, including firefighting, that are performed on a national forest after the date on which the use was approved, and paid for by the participating county; and (3) develop community wildfire protection plans in coordination with the Forest Service acting on behalf of the Secretary of Agriculture.

Applicant Eligibility:

Title I payments are made to States or territories of the United States in which national forests are situated. Title II project funds are reserved in special account in the U.S. Treasury and may be used by the Secretary of Agriculture for the purpose of entering into and implementing cooperative agreements with willing Federal agencies, State and local governments, private and nonprofit entities, and landowners. (16 U.S.C 7122).

Title III funds are paid to respective states to be passed-through to participating counties.

Beneficiary Eligibility:

A beneficiary of title II special project funds is a person or entity that receives a grant or enters into a cooperative agreement with the Secretary of Agriculture to carry out a project for protection, restoration, and enhancement of fish and wildlife habitat, and other resource objectives consistent with the purposes of the Secure Rural Schools Act on national forests and on non-national forest land where projects would benefit the resources on national forests. (16 U.S.C. 7122)

A beneficiary of title III funds is a participating county in which a national forest is situated that has elected to allocate a portion of its State payments for title III county projects.

Credentials/Documentation:

OMB circulars apply to title II special projects. No Credentials or documentation are required for title I or title III payments. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

Contact a near-by national forest resource advisory committee coordinator for information about awards or other implementation of title II projects recommended by a resource advisory committee prior to the deadline.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

For title II, within 30 days of a rejection decision the Forest Supervisor shall notify the resource advisory committee in writing of the rejection and the reasons for the rejection.

Appeals:

A decision to reject a title II project is not subject to administrative appeal or judicial review. (16 U.S.C 7124).

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Under title I - Secure Payments for States and Counties Containing Federal Land, each county in an eligible state elected to receive one of the following payments: a share of the states 25-percent rolling average payment, or a share of the State payment calculated using the formula in the Secure Rural Schools Act. The payment to the state under the Secure Rural Schools Act is the sum of the county elections in that state. The 25-percent payments to states, is an amount equal to the annual average of 25-percent of amounts received for the applicable fiscal year and each of the preceding 6 fiscal years from each national forest. This amount is used to calculate a county's share of the states 25-percent payment. The sum of shares for counties that elect to receive this payment is paid to the state under the Secure Rural Schools Act. State payments for fiscal years 2008 through 2012 were calculated using a complex formula with multiple factors, including acres of proclaimed national forest within an eligible county, the average 3 highest 25-percent payments made to each eligible State for each eligible county for the eligibility period 1986 through 1999, and the annual per capita personal income for each county. The sum of shares for counties that elect to receive this payment is paid to the state under the Secure Rural Schools Act.

Matching Requirements: There are no matching requirements for the 25-percent payment to states or for the State payment. Acquisition management regulations and procedures applicable to the Forest Service for purchases, contracts, grants and agreements may impose matching requirements on title II projects.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The 25-percent payments and State payments for amounts allocated to title I and title III are made by December 31st each year after the close of the applicable fiscal year. Title II funds are not paid to the state but are reserved in a special account in the General Treasury available for projects recommended by a resource advisory committee and approved by the Forest Supervisor acting on behalf of the Secretary of Agriculture. The authority for each resource advisory committee to recommend title II projects terminated on September 30, 2012. Funds unobligated in one fiscal year remain available in the following fiscal year until September 30, 2013 by which time title II funds must be obligated or be returned to the U.S. Treasury. The authority for each county to initiate title III projects terminated on September 30, 2012. Funds unobligated in one fiscal year remain available in the following fiscal year until September 30, 2013 by which time title III funds must be obligated for authorized uses or be returned to the U.S. Treasury. See the following for information on how assistance is awarded/released: Method of awarding/releasing assistance for title I and title III is lump sum. Title II funds are approved by the Forest Supervisor acting on behalf of the Secretary of Agriculture. See the following for information on how assistance is awarded/released: Method of awarding/releasing assistance for title I and title III is lump sum. Title II funds are approved by the Forest Supervisor acting on behalf of the Secretary of Agriculture.

Reports:

Not Applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Financial and program records must be retained for three years from the date of submission and approval of final financial reports.

Account Identification:

12-9921-0-2-806.

Obligations:

(Project Grants (for specified projects)) FY 12 \$305,939,381; FY 13 Estimate Not Available(Exp: See Additional Information); and FY 14 Estimate Not Available(Exp: See Additional Information) - The Secure Rural Schools

program is set to expire on September 30, 2013 but may be reauthorized by Congress (16 U.S.C. 7128).

Range and Average of Financial Assistance:

Estimates are not currently available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: No Current Data Available. Fiscal Year 2013: No Current Data Available. Fiscal Year 2014: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Reynardo Brown 101 B Sun Avenue, NE, Albuquerque, New Mexico 87109
Email: rebrown@fs.fed.us Phone: 505-563-7374

Website Address:

<http://www.fs.usda.gov/pts>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available. Fiscal Year 2013: No Current Data Available. Fiscal Year 2014: No Current Data Available.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.666 SCHOOLS AND ROADS - GRANTS TO COUNTIES**FEDERAL AGENCY:**

Forest Service, Department of Agriculture

AUTHORIZATION:

Public Law 75-210, 7 U.S.C. 1010-1012, Bankhead-Jones Farm Tenant Act.

OBJECTIVES:

To share receipts from the National Grasslands and Land Utilization Projects (LUP) with the Counties in which the National Grasslands and LUP's are situated.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

To be used for the benefit of public schools and public roads of the county or counties in which the National Grassland or LUP's are situated.

Applicant Eligibility:

Eligible applicants are counties of the United States containing National Grassland or a LUP.

Beneficiary Eligibility:

Counties within the United States.

Credentials/Documentation:

No Credentials or documentation are required. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program.

Award Procedure:

Not Applicable.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title 7 U.S.C. 1010-1012, Public Law 75-210.

This program has no matching requirements. The amount paid shall represent 25 percent of all moneys received during the preceding calendar year from each National Grassland or LUP. When a National Grassland or LUP is in more than one State or county, the distributive share to each shall be proportional to its area therein. The term "moneys received" includes: all moneys collected into the National Grassland Fund from the sale of permits or contracts for timber values, grazing fees, powerline fees, land use fees, recreational special use fees, mineral fees, and recreation user fees.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The payments will be made in March of each year. Method of awarding/releasing assistance: lump sum.

Reports:

Not Applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Generally accepted accounting systems are permissible, if acceptable to audit and reconciliation. All grantees need to maintain adequate systems for collecting and recording statistical data.

Account Identification:

12-9921-0-2-806.

Obligations:

(Formula Grants) FY 12 \$26,664,293; FY 13 est \$20,000,000; and FY 14 Estimate Not Available(Exp: Pending reauthorization) - Funding amounts reported on a calendar year.

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Bankhead Jones Farm Tenant Act of 1937 (7 USC 1010-1012)

Regional or Local Office:

None.

Headquarters Office:

Reynardo Brown 101 B Sun Avenue, NE, Albuquerque, New Mexico 87109
Email: rebrown@fs.fed.us Phone: 505-563-7374

Website Address:

<http://www.fs.fed.us/srs>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.672 RURAL DEVELOPMENT, FORESTRY, AND COMMUNITIES

(Rural Development Through Forestry)

FEDERAL AGENCY:

Forest Service, Department of Agriculture

AUTHORIZATION:

Executive Order Requires Annual Department of the Interior, Environment, and Related Agencies Appropriations direction to implement.

OBJECTIVES:

To help rural areas analyze and assess forest resource opportunities, maximize local economic potential through market development and expansion, and diversify communities' economic base.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funding may be allocated for such things as technical assistance, training and education, equipment, marketing, and all costs associated with making these services available to tribal nations, State and Federal agencies, State Foresters, local governments, not-for-profit organizations, and others who are extending services to rural communities.

Applicant Eligibility:

Tribal nations, State and Federal agencies, State Foresters, local governments, not-for-profit organizations, and others working in support of community identified goals.

Beneficiary Eligibility:

Tribal nations, State and Federal agencies, State Foresters, local governments, not-for-profit organizations, and others. Forest Service Regions/Areas/Institutes are encouraged to further define program policies that focus resources to meet the regional, State and local needs of communities.

Credentials/Documentation:

Assistance is in response to a community or a group of communities' request for assistance in identifying opportunities and implementing action that will promote natural resource-based economic diversification and opportunities, technology transfer, market development and/or expansion. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Application procedure depends on annual Congressional direction.

Award Procedure:

Established by the Regional Office in support of an overall regional strategic plan.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Announced in Grants.gov Or Federal Register as funds are made available.

Appeals:

Not Applicable.

Renewals:

SF-424(A) with SF-424 application is required to amend the awarded amount or length of commitment. Other requirements may be established by the Regional Office.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. This program has no matching requirements- The Federal contribution to the overall implementation of a planned project may have a matching requirement, but that match is negotiated. No statutory match unless assigned by congress through annual appropriation

direction.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Financial assistance may be a combination of different interests that seek to support planned action by the community or a group of communities to diversify the local economy and to improve its social, economic, and environmental well being. Limitation on length of funded projects will be established at the Forest Service administrative unit (generally, will not exceed 5 years). See the following for information on how assistance is awarded/released: Determined based on agreement and authority.

Reports:

Periodic and final reports are required- no more than quarterly and no less than annually. Cash reports are not applicable. Cash reports are not applicable. Progress reports are not applicable. Periodic and final reports are required- no more than quarterly and no less than annually. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

State and other generally accepted accounting systems can be used if control procedures allow for proper audit and reconciliation. All grantees must maintain adequate systems for collecting and recording statistical data.

Account Identification:

12-1105-0-1-302.

Obligations:

(Project Grants (Special)) FY 12 \$0; FY 13 est \$0; and FY 14 est \$0 - No appropriations for fiscal year 2012 or 2013. None anticipated for fiscal year 2014.

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Forest Service Implementation Guidelines available in all Forest Service offices. OMB Circular Nos. A-102 and A-87 are applicable to State and Local Governments, A-110 and A-21 are applicable to educational institutions, and A-110 and A-122 are applicable to Nonprofit Organizations. Legislative history found in Senate and Conference reports 1988, 1989, and 1990 and Forest Service revised guidelines (May 2000).

Regional or Local Office:

None.

Headquarters Office:

Steve Marshall 201 14th St. SW, Washington, District of Columbia 20024
Phone: 202-205-1380

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Regional/Area/Institute administrative units are given the flexibility to make funding decisions based on their specific community and regional priorities documented in a regional strategy for their respective administrative areas. These overall strategies are identified through input by States, tribal nations,

local agencies and organizations.

10.674 FOREST PRODUCTS LAB: TECHNOLOGY MARKETING UNIT (TMU)

State and Private Forestry Technology, Marketing and Applied Research Assistance Program

FEDERAL AGENCY:

Forest Service, Department of Agriculture

AUTHORIZATION:

Rural Revitalization Through Forestry, Public Law 101624, Section (d) Rural Revitalization Technologies (1990); P.L. 108-148 title II, Section 202 (2003); P. L. 110 234, title VII (2008); and P.L. 110-246 title VII (2008) extending the program through 2012.

OBJECTIVES:

Provide direct technical assistance to Forest Service and state foresters regarding new and emerging clean technologies to effectively manage forests and extend the most efficient and effective economic opportunities to forest landowners. The Technology Marketing Unit staff provides expertise in science-based management decisions concerning forest products utilization, biofuels production, and woody biomass utilization that uses material removed for ecosystem management goals. Program may include: (1) development of potential new products; (2) projects that showcase innovative uses for small diameter and low-valued hardwoods and softwoods; (3) reducing the challenge to economic and market barriers to the use of the material (4) provide seed money and gap funding for demonstration projects and (5) facilitate the creation/expansion of harvesting/processing/transporting enterprises around wildland urban interface areas threatened by catastrophic wildfires.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Technical assistance or limited project grants to achieve long-term forest health through local enterprises that support forest restoration actions. No land acquisition or construction.

Applicant Eligibility:

Entities eligible include: Non-profits, local, state, and Tribal governments, business, companies, corporation, (for Profit), special purpose districts, (public utilities districts, fire districts, conservation districts, or port).

Beneficiary Eligibility:

Not applicable.

Credentials/Documentation:

No credentials or documentation are required. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Procedures for submitting technical assistance or grant applications can be found on www.fpl.fs.fed.us/tmu or contact the Program Manager as listed under Information Contacts. .

Award Procedure:

Grants, if appropriation funds are provided, will be nationally competitive and merit-based. Technical Assistance request will be prioritized based on Tribal, state, or national forestry issues such as insect, disease, catastrophic events, and economic adjustments.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days. Range of Approval/Disapproval Time: 160 days after the date of closure on a Request for Proposals or Technical Assistance.

Appeals:

Not Applicable.

Renewals:

None.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: Percent: 20.%. Letters for specific request from Non-profits, local, state, and Tribal governments, business, companies, corporation, (for Profit), special purpose districts, (public utilities districts, fire districts, conservation districts, or port) are encouraged to demonstrate collaboration and the role this federal assistance program can leverage accomplishing local projects. Matching will be required only for financial assistance and will be stated in Request For Proposal as posted in the Federal Register and in accordance with Congressional direction. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

As determined by project, no longer than 3 years on grants or cooperative agreements. Technical assistance is limited to one year unless negotiated due to tribal, state or national priorities. See the following for information on how assistance is awarded/released: Payments made based on authorization and negotiation of provisions with cooperator.

Reports:

Program reports are not applicable. Cash reports are not applicable. Progress reports are not applicable. Quarterly financial reporting is required on financial assistance. Semi-annual performance reporting is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grant recipients may be subject to audit by the Office of Inspector General, USDA.

Records:

Grant records will be maintained for 5 years after expiration date. Technical Assistance record will be maintained for 5 years from the close of the assistance. Government Technical Report (GTR) maybe developed to share the results of Technical Assistance collaborative efforts.

Account Identification:

12-1115-0-1-302.

Obligations:

(Project Grants) FY 12 \$4,429,064; FY 13 est \$2,470,000; and FY 14 Not Separately Identifiable

Range and Average of Financial Assistance:

\$50,000 to \$250,000 per award.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Over 30 grants were awarded. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

John R (Rusty) Dramm Forest Products Lab
One Gifford Pinchot Drive, Madison, Wisconsin 53726 Email:
jdramm@fs.fed.us Phone: (608) 231-9326

Website Address:

<http://www.fpl.fs.fed.us/tmu/grants.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Financial grants are approved on the basis of a nationwide review and are based on technical and financial merits of the project as evaluated by a panel of Federal, State, and local business experts and peers.

10.675 URBAN AND COMMUNITY FORESTRY PROGRAM (UCF)**FEDERAL AGENCY:**

Forest Service, Department of Agriculture

AUTHORIZATION:

Cooperative Forestry Assistance Act of 1978, Title 16, Part 2105, Section 9, Public Law 95-313, 92 Stat. 365.

OBJECTIVES:

To plan for, establish, manage and protect trees, forests, green spaces and related natural resources in and adjacent to cities and towns. The urban forestry program provides ecosystem services, social and economic benefits and links governmental, private and grassroots organizations and resources to improve the quality of life in these cities and towns.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

To assist State Foresters, equivalent State agencies, interested members of the public and private non-profit organizations in urban and community forestry programs in cities and communities.

070a. To provide a national competitive urban and community forestry challenge cost share grant program to assist urban and community forestry efforts across the country and address strategic urban forestry-related issues and opportunities as identified by the National Urban and Community Forestry Advisory Council. Approximately \$1,000,000 of total annual appropriations is set aside to support activities under the national competitive challenge cost share grant program.

Applicant Eligibility:

State Foresters or equivalent State agencies, interested members of the public, private non-profit organizations and others. All States, as well as the District of Columbia, Puerto Rico, the United States Virgin Islands, the Commonwealth of the Northern Mariana Islands, American Samoa and Guam, and other territories and possessions of the United States are eligible.

Beneficiary Eligibility:

State Foresters or equivalent State agencies, local governments, tribes, and non-profit organizations, and other State, local and private agencies acting through State Foresters, equivalent State agencies or other official representatives as agreed by the State Forester, equivalent State agency, and the Secretary.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 For State and local governments, as implemented by 2 CFR Part 225.

83a. For the national competitive challenge cost share grant program. Costs will be determined in accordance with OMB Circulars: No. A-87 for State and Local government, as implemented by 2 CFR Part 225; A-122, Cost Principles for Non-Profit Organizations as implemented by 2 CFR 230; A-21 Cost Principles for Educational Institutions as implemented by 2 CFR 220; as applicable. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Area/Station/IITF offices provide states and territories with program funding advice each year. 91a. For the national competitive challenge cost share grant program. A Request for Proposals (RFP) is advertised with the criteria and eligibility. The RFP is available in hard copy, is published in the Federal Register and is posted on www.grants.gov. An electronic mailing list is also maintained by the Washington Office Headquarters Staff. Environmental impact information is not required for this program. This program is excluded

from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. States and other eligible applicants prepare grant proposal narratives for submittal to Regional and Area Offices, accompanied by Form SF-424, SF-424(a) and SF-424(b) and other forms furnished by the Federal agency and required by OMB Circular A-102, as implemented by 7 CFR Part 3016 (i.e., SF-424). Contact Regional, Area or Institute Office listed in http://www.fs.fed.us/ucf/Regional_Offices.htm.

92a. For the national competitive challenge cost share grant program. States and other eligible applicants prepare grant proposal narratives for submittal to www.grants.gov or via electronic means or hardcopy to the Forest Service contact person noted on the RFP, accompanied by Form SF-424, SF-424(a) and SF-424(b) and other forms furnished by the Federal agency and required by the OMB Circulars.

Award Procedure:

Established by the Washington, Regional, Area and Institute offices. http://www.fs.fed.us/ucf/Regional_Offices.htm.

93a. The national competitive challenge cost share grant program award procedures are established by the Washington Office and USDA.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Established by the Washington, Regional, Area, and Institute offices. http://www.fs.fed.us/ucf/Regional_Offices.htm. Range of Approval/Disapproval varies between Forest Service offices depending on availability of funding.

95a. The national competitive challenge cost share grant program range of approval/disapproval time is established by the Washington Office.

Appeals:

Not Applicable.

Renewals:

Amended SF-424 (a) with SF 424 application is required to amend the allotment amount or length of commitment, other requirements may be established by the Washington, Regional, Area or Institute offices.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching Requirements: Applicant matching requirements are determined by the Washington office. If matching funds are required, the funds cannot come from Federal Sources. Cooperator matches may be non-Federal cash, in-kind and non-cash contributions. Matching requirements may range from 0 percent to 50 percent of the total award amount.

101a. For the national competitive challenge cost share grant program. Applicant match requirements are determined by the statutory authority which requires a 50:50 match. Matching funds cannot come from other federal sources. The non-Federal share of the match may be in the form of cash, services or in-kind contributions.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Electronic transfer of payments are required. Grants are normally awarded for a period of 12 months. A no cost extension justification must be received and approved by the Forest Service. See the following for information on how assistance is awarded/released: See the following for information on how assistance is awarded / released: Recipients submit invoices based on actual expenditures on a monthly, quarterly or bi-annual basis as established in the award terms.

Reports:

Annual accomplishment reports are required. No cash reports are required. For the national competitive challenge cost share grant program, narrative progress

reports are required at mid-year and year-end. SF-425s are required quarterly. Invoices should provide sufficient additional detail to explain the amount requested for reimbursement. Forest Service program managers will conduct program monitoring for awards under this CFDA.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Use of all funds will have to meet Federal and State audit requirements.

Records:

State and other generally accepted accounting systems are permissible, if acceptable to audit and reconciliation. All grantees need to maintain adequate systems for collecting and recording statistical data.

Account Identification:

12-1105-0-1-302.

Obligations:

(Project Grants) FY 12 \$31,327,000; FY 13 est \$30,754,000; and FY 14 est \$25,326,000

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

For all grant awards under this CFDA: The applicable OMB Circulars are available online at: <http://www.whitehouse.gov/omb/circulars/index.html>. <http://www.fs.fed.us/ucf> <http://www.fs.fed.us/ucf/nucfa>

Regional or Local Office:

See Regional Agency Offices. For Forest Service, State and Private Forestry office addresses and telephone numbers. Visit: http://www.fs.fed.us/ucf/contact_regional.html.

Headquarters Office:

Jan Davis 1400 Independence Ave. SW, MS-1151, Washington, District of Columbia 20250 Email: jkdavis@fs.fed.us Phone: 202-401-4416

Website Address:

<http://www.fs.fed.us/ucf/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Most grants are approved on the basis of negotiated targets or objectives to be achieved. UCF community grants are based on local needs and resources.

180a. The national grant program selects proposals based on the National Urban and Community Forestry Advisory Council's criteria established for a given year.

10.676 FOREST LEGACY PROGRAM (FLP)

FEDERAL AGENCY:

Forest Service, Department of Agriculture

AUTHORIZATION:

Cooperative Forestry Assistance Act of 1978, 16 U.S.C. 2101, et. seq., as amended by the 1990 Farm Bill, Section 1217 of Title XII of the Food, Agriculture, Conservation and Trade Act of 1990, Public Law 101-624, 104 Stat. 3359, 16 U.S.C. 2103c; later amended by the 1996 Farm Bill, Federal

Agricultural Improvement and Reform Act of 1996; Public Law 104-127; Title III, Conservation; Subtitle G Forestry; Section 374, Optional State Grants for Forest Legacy Program.

OBJECTIVES:

To effectively protect and conserve environmentally important forest areas threatened by conversion to nonforest uses, through conservation easements or fee simple purchase. The Forest Legacy Program (FLP) is a voluntary private land conservation partnership between the Forest Service, participating States, land trusts, private landowners, and others. The Program works with State partners and operates on a willing seller and willing buyer basis and is completely nonregulatory in its approach. No eminent domain authority or adverse condemnation is authorized for this Program.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Landowner participation in the program is voluntary and consists of two components: (1) conveying land or interests in land to achieve land conservation objectives and (2) preparing and periodically updating a Forest Stewardship Management Plan or a multi-resource management plan, when the participation is through conservation easement. State lead agencies must first evaluate and approve projects as Forest Legacy eligible before competing for Forest Service funding.

Applicant Eligibility:

Projects are evaluated and prioritized by State lead agencies, in consultation with the State Forest Stewardship Coordinating Committees. All States and territories participate except North Dakota, the Commonwealth of the Northern Mariana Islands, and Guam.

Beneficiary Eligibility:

State agency, landowners of private forest lands, and land trust organizations.

Credentials/Documentation:

Each State must prepare a State Forest Action Plan (Statewide Assessment and Resource Strategy, including Assessments of Need incorporated by reference) in order to be eligible for program funds. The FS Regional, Area, or Institute Responsible Official must concur with the State's Forest Action Plan. Requirements for an eligible project are: (1) within an approved Forest Legacy Area and fits within the priorities of a State's AON; (2) complies with FLP State and National criteria; (3) a completed grant application; (4) an approved Forest Stewardship Plan or a multi-resource management plan, if applicable; (5) negotiated terms of a conservation easement, if applicable; (6) an approved appraisal, meeting Federal acquisition appraisal standards as outlined in the Uniform Appraisal Standards for Federal Land Acquisitions; and, (7) adequate non-Federal cost share. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required, including negotiation of terms for the conservation easement or acquisition. Applicants should proceed with initial appraisal and Forest Stewardship Plan development, as due diligence is one of several key factors in project evaluation and ranking. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Application requirements must comply with the Code of Federal Regulations (7 CFR 3016), Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments. Individual participating States have application duties and procedures.

Award Procedure:

The Forest Service will conduct an annual project selection process to arrive at a prioritized national project list for inclusion in the Presidents budget. The Forest Service then issues grants to states for the project specific Congressional set-aside designated in the Congressional appropriations bills. The Forest

Service then issues grants to states based on the amounts provided in Congressional appropriations and the prioritized national project list.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

An annual project selection calendar with due dates will be developed each fiscal year, and will identify deadlines and approval times.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: Percent: 25%. Federal Contribution cannot exceed 75 percent of total project costs, and at least a 25 percent non-Federal cost share is required. The non-Federal cost share may consist of: funds, donations, land or interests in land, in-kind contributions, direct costs, indirect costs, and others as determined by the Forest Service. Funds will be provided to the States on a per-project basis, as determined by the annual appropriations. The non-Federal cost share can occur at any phase of the project within the legal grant period, including planning, developing future projects, acquisition, capital improvement, management, or administrative activities. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Assistance is provided via grants to States. Typical grant period is two years. Grants can receive a no cost extension up to five years with justification and is approved by the Forest Service. See the following for information on how assistance is awarded/released: Payments made based on authorization and negotiation of provisions with cooperator.

Reports:

Program reports are not applicable. Cash reports are not applicable. States are required to submit annual progress reports on outstanding grants. States are required to monitor properties with conservation assessments. Project specific Forest Stewardship plans are required to be established and updated periodically. SF-425 reporting is due at least annually and no more than quarterly as agreed upon in the provisions of the individual agreement. The Forest Service program manager shall conduct monitoring activities to track program accomplishments.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Use of all funds will have to meet Federal and State audit requirements.

Records:

State Lead Agencies are required to retain complete grant files on each grant issued. States must report annual accomplishments data in the Forest Legacy Information System.

Account Identification:

12-5367-0-1-302.

Obligations:

(Project Grants) FY 12 \$53,303,000; FY 13 est \$50,515,000; and FY 14 est \$84,800,000

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: In FY12, the FLP completed 32 conservation easements and 18 fee simple tract purchases, leveraging 46,700,000 of Federal funds with

\$32,000,000 of partner funds to protect a total of 52,999 acres. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Forest Legacy Program Implementation Guidelines of 2003 (currently under revision).

Regional or Local Office:

See Regional Agency Offices. Refer to the web site below for Regional and Area State and Private Forestry offices of the Forest Service and for addresses and telephone numbers of Regional Foresters and Area Director of the Forest Service. http://www.fs.fed.us/spf/coop/library/flp_region_coord.shtml.

Headquarters Office:

Scott Stewart, 1400 Independence Ave SW, MS 1123., Washington, District of Columbia 20850 Email: ssewart@fs.fed.us Phone: 202-205-1618

Website Address:

<http://www.fs.fed.us/spf/coop/programs/loa/flp.shtml>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Project evaluation and priority ranking is based on the three national core criteria (importance, threatened, and strategy, as defined in the 2003 FLP Implementation Guidelines) as well as project readiness and other evaluation considerations developed in consultation with States and FS Units.

10.678 FOREST STEWARDSHIP PROGRAM

(FSP)

FEDERAL AGENCY:

Forest Service, Department of Agriculture

AUTHORIZATION:

Cooperative Forestry Assistance Act of 1978, As amended, Public Law 95-313; Food, Agriculture, Conservation and Trade Act of 1990, as amended, Public Law 107-171.

OBJECTIVES:

To promote and enable the long-term active management of non-industrial private and other non-federal forest land to sustain the multiple values and uses that depend on such lands.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

To provide financial, technical, educational, and related assistance to State foresters or equivalent State officials for: The delivery of information and professional assistance to owners of nonindustrial private forest lands; The afforestation, reforestation and active management of state and other non-federal forest land; and Improved forest seedling production and distribution.

Applicant Eligibility:

State forestry or equivalent State agencies, tribes, non-profits, and municipalities are eligible. All States, the District of Columbia, Puerto Rico, the United States Virgin Islands, the Commonwealth of the Northern Mariana Islands, American Samoa, Guam, the Trust Territory of the Pacific Islands, and territories and possessions of the United States may be eligible.

Beneficiary Eligibility:

Landowners of non-federal lands, non-profit organizations, tribes and other State, local, and private agencies acting through State Foresters, equivalent State officials, or other official representatives are eligible.

Landowners seeking assistance through the Forest Stewardship Program should contact their state forest agency directly. Program guidelines differ from state to state.

Credentials/Documentation:

No credentials or documentation are required. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. States and other eligible applicants prepare grant proposal narratives for submittal to Regional and Area Offices, accompanied by completed standard application forms as furnished by the Federal agency and required by OMB circular No. A-102, as implemented by 7 CFR Part 3016 (i.e., SF-424).

Award Procedure:

Established by Regional and Area Offices.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 10 to 60 days after the application is filed with the Forest Service Regional or Area Office. States and eligible applicants are requested to submit Form SF-424(A) with SF-424 application and other required narratives, forms and assurances as advised by the Regional and Area Offices within 10 to 60 days following official funding advice notification.

Appeals:

Not Applicable.

Renewals:

SF-424(A) with SF-424 application is required to amend the grant amount or length of commitment. Other requirements may be established by the Regional or Area Office.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: Applicant matching requirements are determined by Regional and Area Offices. Matching funds cannot come from other federal sources.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Electronic transfers of grant reimbursement payments to States and other program participants is encouraged. Funds are transferred to States and participants, following the submittal of financial and narrative progress reports to Regional and Area offices as requested. Grants are normally awarded for a period of 12 to 18 months. See the following for information on how assistance is awarded/released: Payments made based on authorization of provisions with cooperator.

Reports:

Program reports are not applicable. Cash reports are not applicable. Periodic and annual accomplishment and financial reports are required by Regional and Area offices. These reports must accompany all grantee cost-share reimbursement requests. SF-425 should be submitted at least annually and no more than quarterly. Reporting of accomplishments and expenditure is required under this program as outlined above.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Program grant recipients may be subject to audit by the Office of Inspector General, USDA.

Records:

State and other grant recipients and Regional and Area Offices must retain records pertaining to grant payments received for a minimum period of five years. State and other generally accepted accounting systems are permissible, if acceptable to audit and reconciliation.

Account Identification:

12-1105-0-1-302.

Obligations:

(Project Grants) FY 12 \$10,209,368; FY 13 est \$29,000,000; and FY 14 Not Separately Identifiable

Range and Average of Financial Assistance:

\$50,000 to \$800,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: No current data available. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Forest Service Manual Titles 3000 and 3200 and the Forest Stewardship Program National Standards and Guidelines. OMB Circular Nos. A-102 as implemented by 7 CFR 3016 and A-87, as implemented by 2 CFR 225, are applicable to State and Local Government grantees.

Regional or Local Office:

See Regional Agency Offices. Refer to the Forest Service directory for address and telephone number of the State and Private Forestry Directory in your Region or Area of the Forest Service.
<http://www.fs.fed.us/contactus/regions.shtml>.

Headquarters Office:

Karl R. Dalla Rosa, State and Private/Cooperative Forestry,
P.O. Box 96090, Washington, District of Columbia 20090-6090 Email:
kdallarosa@fs.fed.us Phone: (202) 205-6206.

Website Address:

<http://www.na.fs.fed.us/spfo/stewardship/index.htm>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Applicant grant proposals are reviewed, and funds are granted by Regional and Area Offices.

10.679 COLLABORATIVE FOREST RESTORATION

(CFRP)

FEDERAL AGENCY:

Forest Service, Department of Agriculture

AUTHORIZATION:

Secure Rural Schools and Community Self-Determination Act of 2000, Title VI- Community Forest Restoration, Public Law 106-393, Section 605, Establishment of Program.

OBJECTIVES:

The purposes of the grants are: to promote healthy watersheds and reduce the threat of large, high intensity wildfires, insect infestation, and disease in the forests in New Mexico; to improve the functioning of forest ecosystems and enhance plant and wildlife biodiversity by reducing the unnaturally high number and density of small diameter trees on Federal, Tribal, State, County, and Municipal forest lands; to improve communication and joint problem solving among individuals and groups who are interested in restoring the diversity and productivity of forested watersheds in New Mexico; to improve the use of, or add value to, small diameter trees; to encourage sustainable communities and sustainable forests through collaborative partnerships, whose objectives are forest restoration; and to develop, demonstrate, and evaluate ecologically sound forest restoration techniques.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funding may be allocated for such things as technical assistance, training and education, equipment, marketing, personnel, travel, and all costs associated with forest and watershed restoration on federal, tribal, state, land grant, county or municipal forest land.

Applicant Eligibility:

Local and tribal governments, educational institutions, landowners, conservation organizations, and other interested public and private entities. Grant proponents must include a diverse and balanced group of stakeholders as well as appropriate Federal, Tribal, State, County, and Municipal government representatives in the design and implementation of the project. The projects may be entirely on, or on any combination of, Federal, Tribal, State, County, or Municipal forestlands. Processing facilities may be located on private land if they are associated with restoration activities on public land.

Beneficiary Eligibility:

Local and tribal governments, educational institutions, landowners, conservation organizations, and other interested public and private entities. Grant proponents must include a diverse and balanced group of stakeholders as well as appropriate Federal, Tribal, State, County, and Municipal government representatives in the design and implementation of the project. The projects may be entirely on, or on any combination of, Federal, Tribal, State, County, or Municipal forestlands. Processing facilities may be located on private land if they are associated with restoration activities on public land.

Credentials/Documentation:

Credentials or documentation are not required. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Implementation Project Proposal must include a signed NEPA Decision Memo. An environmental impact statement is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applicants are required to submit form SF-424, SF-424(a), SF-424 (b), AD 1047, AD 1049, and other information described in the Request for Applications. Proposals should be sent to: CFRP Program, Cooperative and International Forestry, USDA Forest Service, Room 329, 333 Broadway SE, Albuquerque, NM 87102.

Award Procedure:

The Collaborative Forest Restoration Program Technical Advisory Panel evaluates the proposals and provides recommendations to the Secretary of Agriculture on which ones best meet the purposes, objectives, and administrative requirements of the program. The Panel also evaluates the effect of each proposal on long-term forest management. The Secretary of Agriculture selects proposals for final award. The Secretary of Agriculture chartered the Technical Advisory Panel as a Federal Advisory Committee for two years on June 14, 2012.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching Requirements: Percent: 20.%. The Federal share of an individual project cost shall not exceed 80 percent of the total cost. The 20-percent matching may be in the form of cash or in-kind contribution. Projects cannot exceed the total annual cost of \$150,000, with the federal portion not exceeding

\$120,000 annually, nor exceed a total cost of \$450,000 for the project, with the Federal portion of the total cost not exceeding \$360,000 (P.L. 106-393, Title VI, Sec. 605 (a) and (b)(8)).

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Projects may not exceed 4 years in length (Public Law 106-393, Title VI, SEC. 605(b)(7)). Assistance will be provided by no more than monthly payments. See the following for information on how assistance is awarded/released: Projects may not exceed 4 years in length (Public Law 106-393, Title VI, SEC. 605(b)(7)). Assistance will be provided by no more than monthly payments.

Reports:

Program reports are not applicable. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Periodic and final performance reports are required. Annual financial reports will also be required. Grant recipients must attend an annual workshop with other stakeholders for the purpose of discussing the cooperative forest restoration program and projects implemented under Collaborative Forest Restoration Program. Grant recipients may use project grant funds to pay for their travel and per diem expenses to attend the workshop.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

State and other generally accepted accounting systems can be used if control procedures allow for proper audit and reconciliation. All grantees must maintain adequate systems for collection and recording statistical data. Project records must be maintained for a period of three years after the project has ended.

Account Identification:

12-1115-0-1-302; 12-1106-0-1-302.

Obligations:

(Project Grants) FY 12 Not Available; FY 13 est \$3,100,000; and FY 14 Not Separately Identifiable

Range and Average of Financial Assistance:

\$66,361.00-\$360,000.00.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Since the CFRP began in 2001, the CFRP Panel has reviewed 432 proposals and 166 Federal grants have been awarded. Over 30,000 acres of forest have been restored in New Mexico through collaborative efforts with approximately 500 partners and 700 jobs have been created in 20 counties across New Mexico. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

The authorizing legislation (Public Law 106-393 Title VI), a list of the members of the Collaborative Forest Restoration Program Technical Advisory Panel, The Charter for the Technical Advisory Panel, The Technical Advisory Panel Recommendations, The Request for Proposals, Project Summaries for funded activities, a List of Forest Service Contacts, and multi-party monitoring guidelines can be found on the website for the Southwestern Region of the Forest Service at www.fs.usda.gov/goto/r3/cfrp.

Regional or Local Office:

See Regional Agency Offices. Refer to www.fs.usda.gov/goto/r3/cfrp for addresses and telephone numbers of the Forest Service National Forests in New Mexico. These are the Gila, Lincoln, Cibola, Santa Fe, and Carson National Forests.

Headquarters Office:

Walter Dunn 333 Broadway Blvd. SE, Albuquerque, New Mexico 87102
Email: wdunn@fs.fed.us Phone: 505-842-3425

Website Address:

<http://www.fs.usda.gov/goto/r3/cfrp>

RELATED PROGRAMS:

10.664 Cooperative Forestry Assistance; 10.854 Rural Economic Development Loans and Grants; 10.901 Resource Conservation and Development; 11.303 Economic Development_Technical Assistance; 11.307 Economic Adjustment Assistance; 12.607 Community Economic Adjustment Assistance for Establishment, Expansion, Realignment, or Closure of a Military Installation

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Proposals will be evaluated to determine if they followed the administrative requirements of the program and the proposal format described in the Request for Applications. The Collaborative Forest Restoration Program Technical Advisory Panel will evaluate grant proposals based on the purpose and eligibility requirements described in the Community Forest Restoration Act of 2000 (Section 3 and Section 5, Title VI, Public Law 106-393). The Panel will consider the proposed projects effect on long-term management and the capability of the proponents to accomplish the project. The Panel will also examine the degree to which proposed activities complement existing projects and programs and evaluate whether the project would reduce the danger of wildland fire in high-risk areas in New Mexico.

10.680 FOREST HEALTH PROTECTION

(FHP)

FEDERAL AGENCY:

Forest Service, Department of Agriculture

AUTHORIZATION:

Cooperative Forestry Assistance Act of 1978, Public Law 95-313; Food Agriculture, Conservation and Trade Act of 1990, as amended, Public Law 101-624; current year appropriations act.

OBJECTIVES:

Protect non-Federal forest and tree resources from damaging forest insects, disease causing agents, and invasive plants; develop/improve forest health protection technologies; and monitor the health of our nation's forests.

TYPES OF ASSISTANCE:

FORMULA GRANTS; PROJECT GRANTS

USES AND USE RESTRICTIONS:

To assist State Foresters, State Plant Regulatory Officials, equivalent State officials, or other official representatives, subdivisions of states, agencies, institutions (public and private), organizations (profit or non-profit), and individuals on non-Federal lands. Activities include: conducting surveys to detect and assess insect, disease, invasive plant and other stressors; monitoring and reporting on the health of forests and trees; recommending measures to prevent, retard, slow the spread, control, suppress or eradicate incipient, potential, threatening or emergency forest and tree pests; planning, organizing, directing, and performing such measures; providing technical and scientific information, advice, and related assistance; developing applied methods and technology to improve management of forest health; providing information on pesticides and their use; promoting implementation of appropriate silvicultural or management techniques to improve forest health; and taking other actions deemed necessary to accomplish the objectives and purposes of the Forest Health Protection program. Assistance to tribal lands held in trust by the United States Government is provided directly by the Forest Service, and thus are not included in this cooperative program. However, other tribal lands, such as Alaska Native Corporation Lands, that are not held in trust are included.

Applicant Eligibility:

State Forestry, State Agriculture or equivalent State agencies, subdivisions of states, Alaska native corporations and tribal governments, institutions (public and private), organizations (profit and non-profit), and municipalities are eligible. All States, the District of Columbia, Puerto Rico, the Virgin Islands, the Northern Mariana Islands, the Trust Territory of the Pacific Islands, and the territories and possessions of the United States are eligible.

Beneficiary Eligibility:

State Foresters, State Plant Regulatory Officials, equivalent State officials or other official representatives, tribes, subdivisions of states, agencies, institutions (public and private), organizations (profit or nonprofit), and individuals on non-Federal lands.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments, as implemented by 2 CFR 225. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Contact Regional, Area, or International Institute of Tropical Forestry (IITF) Office listed at:
<http://www.fs.fed.us/contactus/regions.shtml>

Award Procedure:

Established by the Regional, Area or IITF Office.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Submission due dates are developed for each fiscal year. Contact Region, Area or IITF office. State single point of contact will have 30 days from notification of tentative allotments to comment.

Appeals:

Not Applicable.

Renewals:

Submit SF-424(A) with SF 424 application to amend the allotment amount or length of commitment; other requirements may be established by the Regional, Area or IITF Office.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching Requirements: Funding for the Cooperative Forest health Protection program is provided to states to help support a base level of professional forest health expertise for non-federal forest managers. Grants for this program are allocated to states using a formula that is based on the number of acres of non-federal forest land within the states, other factors, and amount of funding available through annual appropriations.
Applicant matching for cooperative Forest Health Protection programs and projects that treat specific forest health problems on non-federal lands is required and is 50%. In cases where it is mutually agreed upon that an applicant will treat federal lands as part of a cooperative project or program, the Forest Service reimbursement is 100% for the federal portion. However, applicant matching rates may be adjusted in emergency or extraordinary situations. Forest Service cost-share rates for activities involving development of new and improved forest health protection methods and technologies, and the conduct of special projects and evaluations are negotiated on a project-by-project basis with the grantee. Exceptions from the 50% cost-share requirement must be approved by the Deputy Chief for State and Private Forestry. The applicant share of cooperative programs may be in the form of cash, services, or in-kind contributions. If a state elects to consolidate the annual Forest Service financial assistance, in lieu of functional cost sharing mechanisms, the total consolidated payment to any state during any fiscal year may not exceed the total amount of non-federal funds expended to implement its state forest resources program during that year. Treatment funds and activities cannot be a part of the consolidated payment and must stand alone to meet the 50% match requirement (See FSM 3431.22).
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants are typically awarded for one or two years and may be extended on request and with the approval of the program manager. See the following for information on how assistance is awarded/released: Payments made based on authorization and negotiation of provisions with cooperator.

Reports:

Program reports are not applicable. Cash reports are not applicable. Periodic and annual accomplishment reports are required per the provisions in the final agreement. SF425 is required no less than annually and no more than quarterly for each agreement. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

State and other generally accepted accounting systems are permissible, if acceptable to audit and reconciliation. All grantees need to maintain adequate systems for collecting and recording statistical data.

Account Identification:

12-1105-0-1-302.

Obligations:

(Project Grants) FY 12 \$39,999,000; FY 13 est \$37,907,000; and FY 14 est \$45,655,000

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Forest Service Manual- Title 3400 is available in all Forest Service offices, and State Forestry agency offices. OMB Circular Nos. A-102 and A-87, as implemented by 7CFR Part 3016 and 2 CFR 225, are applicable to State and local government grantees.

Regional or Local Office:

See Regional Agency Offices. Refer to <http://www.fs.fed.us/contactus/regions.shtml> for Regional and Northeastern Area State and Private Forestry offices of the Forest Service and for addresses and telephone numbers of Regional Foresters and Northeastern Area Director of the Forest Service.

Headquarters Office:

Director, Forest Health Protection 1601 N Kent St, RPC-7, Arlington, Virginia 22209 Phone: (703) 605-5340

Website Address:

<http://www.fs.fed%20us/spf/coop>.

RELATED PROGRAMS:

10.901 Resource Conservation and Development

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

All grants and cooperative agreements are approved on the basis of negotiated targets, project objectives to be achieved, and relationship to national and regional forest health issues, priorities, and needs.

10.681 WOOD EDUCATION AND RESOURCE CENTER (WERC)
(WERC)

FEDERAL AGENCY:

Forest Service, Department of Agriculture

AUTHORIZATION:

Public Law 105-277, Div. A, Section 101(e) Title III, Section 343, 112 Stat.

2681-231, 2681-297; Cooperative Forestry Assistance Act of 1978, as amended, 16 USC 2101 et, seq.; Forest and Rangeland Renewable Resources Act of 1978, as amended, 6 USC 1600-1614.

OBJECTIVES:

To provide funds, on a cost-share basis, for projects that focus on enhancing opportunities for sustained forest products production for primary and secondary hardwood industries located in the eastern hardwood forest region. In order to maintain and improve the health and stewardship of our eastern hardwood forests, local wood-using industries need to be vibrant and able to utilize a wide variety of resources from the forest. Priorities include:

Maintain the economic competitiveness of primary and secondary hardwood industries. Examples include:

- 1) Encouraging the adoption of new technology to improve competitiveness and profitability,
- 2) Bringing information and technology about processing, marketing, and business-related skills as well as urban wood utilization to existing and emerging businesses, and
- 3) Developing utilization options that improve forest stewardship and health.

Increase the knowledge and information about how the hardwood industry can contribute to the green building movement. Examples include chain of custody certification for sustainable wood products as well as life cycle analysis for a range of wood products.

Increase the knowledge, information, and promotion of how carbon sequestration by wood products can provide a competitive edge to a sustainable hardwood industry. An example includes developing specific carbon storage factors for a range of wood products.

Develop technology and markets to address urgent issues on a global or domestic scale, including:

- 1) Sanitizing wood packaging materials, firewood, and similar products to eliminate these pathways for the transport of insect and disease pests, and
- 2) Developing markets for and using unexpected increases in the volume of urban and rural wood due to new pest introductions (for example, emerald ash borer) and weather events such as tornadoes and ice storms.

Increase the sustainable use of woody biomass to meet our Nations needs for energy and raw materials. Examples include public/private partnerships for using woody biomass in heating and cooling, process energy, cogeneration, district energy systems, and solid and liquid fuel production. Projects may also develop or maintain local markets and forest industry infrastructure by using woody biomass for both energy and value-added products. Provide key information to address the economic, social and ecological aspects of woody biomass production and use: including sustainable supplies, harvesting techniques, forest management, plantation development, and environmental implications.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants); Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Projects need to compliment existing activities and/or focus on niches that are not being met by either industry or service providers. In addition, projects need to clearly document industry support and involvement.

Applicant Eligibility:

Nonfederal agencies; public and private agencies including State, local and tribal governments; institutions of higher education; non-profit organizations; for-profit organizations; corporations; businesses; and others.

Beneficiary Eligibility:

Nonfederal agencies; public and private agencies including State, local and tribal governments; institutions of higher education; non-profit organizations; for-profit organizations; corporations; businesses; and others located in the 35 states of the eastern hardwood forest region including: AL, AR, CT, DE, FL, GA, IL, IN, IA, KS, KY, LA, ME, MD, MA, MI, MN, MS, MO, NE, NH, NJ, NY, NC, OH, OK, PA, RI, SC, TN, TX, VT, VA, WV, WI.

Credentials/Documentation:

No credentials or documentation are required. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

An application package (including instructions, application and electronic location of financial forms) is developed each year that provides guidelines for applicants to follow in developing their proposal. Standard financial assistance application forms (SF-424, SF-424A and applicable Certifications) are required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program.

Award Procedure:

Established by the USDA Forest Service, Northeastern Area Office, Newtown Square, PA.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

From 60 to 90 days. SF-424A with SF-424 application is required to amend the awarded amount or length of commitment. Unsuccessful applications can be resubmitted in future years.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching Requirements: Percent: 50%.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants or Cooperative Agreements are normally awarded for a period of 12 to 18 months. See the following for information on how assistance is awarded/released: Established in award terms. See the following for information on how assistance is awarded/released: Assistance is awarded or released according to conditions established in award terms.

Reports:

No program reports are required. No cash reports are required. Annual accomplishment and periodic financial reports are required. In addition, a detail final report pertaining to program accomplishments is required. Invoices should provide sufficient detail to document the amount requested for reimbursement. Forest Service program staff will conduct monitoring activities to measure performance against established grant objectives. Invoices should provide sufficient detail to document the amount requested for reimbursement. Use form SF-425. Forest Service program managers will conduct monitoring activities to measure performance against established grant objectives.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

All recipients need to maintain records adequate to collect and report statistical data. Forest Service or its designated representatives will have access to recipient records upon request.

Account Identification:

12-1105-0-1-302.

Obligations:

(Project Grants (Discretionary)) FY 12 \$129,759; FY 13 est \$150,000; and FY 14 Not Separately Identifiable - (Project Grants (Discretionary)): FY 08 - approximately \$1.7 million; FY 09 - approximately \$1.4 million; FY 10 - approximately \$1.4 million; FY 11 - approximately \$1.1 million; F& 12 - approximately \$130,000.

Range and Average of Financial Assistance:

Range varies from \$10,000 to \$80,000. Average grant award is approximately \$50,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Not currently available. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

OMB Circular Nos. A-102 and A-87 are applicable to State and Local Governments, A-110 and A-21 are applicable to educational institutions, and A-110 and A-122 are applicable to Nonprofit Organizations. USDA Implementing Regulations 7 CFR 3015, 3016, 3017, 3018, and 3019 also apply depending on the type of recipient. The program is announced through the Wood Education and Resource Center website and Grants.Gov website.

Regional or Local Office:

See Regional Agency Offices. USDA Forest Service, Northeastern Area, Wood Education and Resource Center, 301 Hardwood Lane, Princeton, WV 24740, Phone: (304) 487-1510; FAX: (304) 487-6661, E-mail: werc@fs.fed.us.

Headquarters Office:

Steve Marshall, 1400 Independence Ave, SW, Washington, District of Columbia 20250 Email: smarshall@fs.fed.us Phone: 202-205-1380

Website Address:

<http://www.na.fs.fed.us/werc/>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

When budgets allow for a competitive grants program, an evaluation panel is convened on an annual basis to evaluate and rate proposals received. Proposals are evaluated on a number of criteria including alignment with WERC's mission and goals, focus on yearly priority items, clear deliverables, industry support, minimal duplication, length of time, multi-state project, and training.

10.682 NATIONAL FOREST FOUNDATION

National Forest Foundation

FEDERAL AGENCY:

Forest Service, Department of Agriculture

AUTHORIZATION:

National Forest Foundation Act, Public Law 101-593-Title IV-Nov. 16, 1990, as amended by Public Law 103-106 Oct. 12, 1993. Omnibus Consolidated and Emergency Supplemental Appropriations Act, Public Law 105-277, Title II, Oct. 21, 1998.

OBJECTIVES:

The purposes of the National Forest Foundation are to encourage, accept, and administer private gifts of money, and of real and personal property for the benefit of, or in connection with, the activities and services of the Forest Service of the Department of Agriculture; undertake and conduct activities that further the purposes for which units of the National Forest System are established and are administered and that are consistent with approved forest plans; and undertake, conduct and encourage educational, technical and other assistance, and other activities that support the multiple use, research, cooperative forestry and other programs administered by the Forest Service.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

To aid conservation partnership projects in support of the Forest Service

mission, without regard to when the Foundation incurs expenses, for administrative expenses or projects on or benefitting National Forest System lands or related to Forest Service programs: Provided, That the Foundation shall obtain, by the end of the period of Federal financial assistance, private contributions to match on at least one-for-one basis funds made available by the Forest Service: Provided further, That the Foundation may transfer Federal funds to Federal or a non-Federal recipient for a project at the same rate that the recipient has obtained the non-Federal matching funds.

Applicant Eligibility:

Program is authorized for the National Forest Foundation under National Forest Foundation Act, Public Law 101-593 as amended by Public Law 103-106.

Beneficiary Eligibility:

Potential beneficiaries include non-governmental, nonprofit 501(c)(3) organizations and Native American tribes working on or adjacent to National Forests and Grasslands throughout the United States.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. National Forest Foundation shall submit a Federal Financial Assistance application SF-424 annually based on the amount of Congressionally appropriated funds.

Award Procedure:

Not Applicable.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: Percent: 50%. 50% matching funds to be provided from private contributions. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Not Applicable. Method of awarding/releasing assistance: lump sum.

Reports:

Accomplishment reports as set forth in the annual award letter are required. Semi-annual progress reports are required. No cash reports are required. No progress reports are required. Actual expenditures are detailed on each request for reimbursement and/or advance liquidation request. The Forest Service program manager shall conduct monitoring activities to track program accomplishments.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003),

Records:

National Forest Foundation shall maintain programmatic and accounting records applicable to each annual award for a period of not less than 3 years after the completion of all activities thereunder.

Account Identification:

12-1106-0-1-302 - National Forest System; 12-1115-0-1-302 - Wildland Fire Management; 12-1103-0-1-302 - Capital Improvement and Maintenance; 12-1104-0-1-302 - Forest and Rangeland Research; 12-1105-0-1-302 - State and Private Forestry.

Obligations:

(Direct Payments for Specified Use) FY 12 \$3,000,000; FY 13 est \$2,850,000; and FY 14 est \$3,000,000 - 1:1 matching requirement.

Range and Average of Financial Assistance:

Not Applicable.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Emily Biesecker, 201 14th Street NW, Room 3NE, Washington, District of Columbia 20250 Email: ebiesecker@fs.fed.us Phone: (202) 205-2733

Website Address:

<http://www.nationalforests.org>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.683 NATIONAL FISH AND WILDLIFE FOUNDATION

National Fish and Wildlife Foundation (NFWF)

FEDERAL AGENCY:

Forest Service, Department of Agriculture

AUTHORIZATION:

Section 2(b)(2) of Public Law 98-244.

OBJECTIVES:

Created by Congress in 1984, National Fish and Wildlife Foundation (NFWF) directs public conservation dollars to the most pressing environmental needs and matches those investments with private funds. The Foundations method is simple and effective to work with a full complement of individuals, foundations, government agencies, nonprofits, and corporations to identify and fund the Nations most intractable conservation challenges.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

To aid cost-share conservation projects, without regard to when expenses are incurred, on or benefitting National Forest System lands or related to Forest Service programs provided, that such funds shall be matched on at least a one-for-one basis by the Foundation or its sub-recipients.

Applicant Eligibility:

Program authorized for the National Fish and Wildlife Foundation (NFWF).

Beneficiary Eligibility:

No additional information.

Credentials/Documentation:

No Credentials or documentation are required. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. A Federal Assistance Application, SF-424 and SF-424A, must be submitted annually based on the amount established in the Forest Service fiscal year appropriations.

Award Procedure:

Not Applicable.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Public Law 98-244.

Matching Requirements: Percent: 50%. Funds shall be matched on at least a one-for-one basis by the Foundation or its sub-recipients.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Not Applicable. Method of awarding/releasing assistance: lump sum.

Reports:

Annual accomplishment reporting is required. No cash reports are required. No progress reports are required. Actual expenditure detail is required in requests for reimbursement and/or liquidation of advances. The Forest Service program manager will conduct monitoring activities to track accomplishments against goals established in the award terms.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Retain all programmatic and accounting records for a period of not less than three (3) years from the completion date of awards for review by the Forest Service or its designated representative, as required.

Account Identification:

12-1104-0-1-302 - Forest and Rangeland Research; 12-1115-0-1-302 - Wildland Fire Management; 12-1106-0-1-302 - National Forest System; 12-1105-0-1-302 - State and Private Forestry; 12-1103-0-1-302 - Capitol Improvement & Maintenance.

Obligations:

(Direct Payments for Specified Use) FY 12 \$3,000,000; FY 13 est \$2,850,000; and FY 14 est \$3,000,000

Range and Average of Financial Assistance:

Not Applicable.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: The National Fish and Wildlife Foundation (NFWF) is an independent 501(c)(3) charity established by Congress in 1984 that protects, restores, and enhances the Nation's fish, wildlife, plants, and habitats. The Foundations greatest asset is its position at the nexus of the conservation community. Its myriad conservation partnerships connect it with potentially every federal and state agency, key industry leaders, concerned private citizens, and nonprofit leadership from the international to the local level. Since its

establishment, NFWF has awarded more than 11,000 grants to 3,700 organizations in the United States and abroad and leveraged more than \$650 million in federal and private funds into more than \$1.7 billion for on-the-ground conservation.

NFWF began its relationship with the U.S. Department of Agriculture's Forest Service in 1988 with a \$30,000 challenge grant to restore trout habitat ravaged by flooding in the Monongahela National Forest. In 1995, the partnership expanded when Forest Service provided funds to the Foundation to launch a grant program focused on improving the ecological health of our National Forests. To date, the Foundation has undertaken more than 1,229 projects in partnership with the Forest Service and has leveraged more than \$40 million in Forest Service funds with more than \$251 million for targeted, on-the-ground conservation. Foundation grants have been made in more than 125 National Forests and Research Stations in all nine regions of the Forest Service. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None. USDA, Forest Service, National Forest System, Watershed, Fish, Wildlife, Air and Rare Plants, 201 14th Street, SW Washington, DC 20024 (street address and express mail).

Headquarters Office:

Anne Zimmermann, 201 14th St. NW, 3SE, Washington, District of Columbia 20024 Phone: 202-205-1671

Website Address:

<http://www.nfwf.org>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: Forest Service funding is apportioned between NFWF strategic keystone initiatives, and targeted charter grant programs. Among each program, a handful of high-priority projects are selected for funding each fiscal year. The following list summarizes the emphasis areas for fiscal years 2012 and 2013.

Strategic Keystone Initiatives Starting in 2008, the Foundation realigned its grant making to be more targeted and outcome-based. Keystone Initiatives were designed to achieve a measurable conservation outcome in a five to ten year timeframe. Specific goals and strategies are identified for each initiative through the development of business plans that serve as guides for the types of projects that will be considered for funding by NFWF. Each year, approximately 50% of the Forest Service contributions support these keystone initiatives.

Wildlife and Landscape Scale Habitat Keystone: Addresses conservation needs for mammals, reptiles, amphibians, invertebrates, and native plants. The Foundation is currently working with the Forest Service on Sierra Nevada Wet Meadow restoration and Northern Rockies Wildlife Corridors (eastern Idaho and western Montana).

Freshwater Fish and Habitat Keystone: Focuses on high-priority species and habitat for freshwater fish and associated aquatic life such as mussels, crayfish, and invertebrates. The Foundation is currently working with the Forest Service on Eastern Brook Trout (Virginia and West Virginia) and Apache Trout (Arizona).

Bird and Habitat Keystone: Focuses on migratory and resident bird species and their habitat. The Foundation is currently working with the Forest Service on to promote the conservation of Gunnison Sage Grouse (Colorado and Utah) and a wide variety of Southeastern grassland birds such as bobwhite quail and Bachmans sparrow.

Charter Grant Programs Funds (approximately 50% of Forest Service funding)

are also awarded through targeted Charter Grant Programs which include:

I) Pulling Together Initiative is a unified effort by NFWF and DOI and DOA to fund and implement effective, long-term invasive plant management at the local level.

II) Bring Back the Natives seeks to implement the National Fish Habitat Initiative Action Plan by supporting on the ground watershed restoration and native fish projects.

III) Chesapeake Bay Small Watershed Grant Program provides grants to organizations and local governments working on a community level to protect and improve watersheds in the Chesapeake Bay basin.

IV) Upper Mississippi River Watershed Fund supports projects benefiting forest stewardship and the restoration of watersheds in the Upper Mississippi River drainage.

V) The Great Lakes Watershed Restoration Program funds organizations working to protect, restore, or enhance the habitat for fish, wildlife, and plants of the Great Lakes watershed.

Native Plant Conservation Initiative supports on-the-ground conservation projects that protect, enhance, and restore native plant communities on public and private lands. (\$100K in fiscal years 2010 and 2011). Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.684 INTERNATIONAL FORESTRY PROGRAMS

FEDERAL AGENCY:

Forest Service, Department of Agriculture

AUTHORIZATION:

International Forestry Cooperation Act of 1990, Act of November 4, 1990, Public Law 101-513, Title VI; 104 Stat. 2070; 16 U.S.C 4501 (note), 4501-4503, 4503a-d, 4504-4505.

OBJECTIVES:

To extend Forest Service efforts to improve forest policies and practices internationally, address climate change, conserve and protect critical global forest environments and resources, and improve the lives of forest-dependent peoples by awarding grants to and entering into cooperative agreements with nonprofit organizations, multilateral organizations, and other individuals, organizations, institutions, and governments engaged in forest conservation and management.

TYPES OF ASSISTANCE:

Cooperative Agreements; Project Grants

USES AND USE RESTRICTIONS:

Grants and cooperative agreements are used to carry out, supplement and complement Forest Service international activities in a wide range of fields, including but not limited to forest policies and regulations, forest products trade, forest management practices, wildlife management, watershed management, recreation and tourism, fire management, forest insect and disease prevention and response, invasive species preventions and response, disaster response and mitigation, landscape planning, forest product development and utilization, climate change adaptation and mitigation, forest economics, and training and institutional strengthening. Grants and cooperative agreements will be limited to five years.

Applicant Eligibility:

Potential applicants include U.S. and international organizations, educational institutions, government entities, and individuals. International applicants must be from countries sanctioned by the State Department.

Beneficiary Eligibility:

Potential beneficiaries include host-country forest management agencies, non-profit organizations, forest landowners in the target countries, forest-dependent communities and peoples in the targeted countries, and U.S. landowners and organizations involved in or concerned with invasive species mitigation, migratory species conservation, legal trade in forest products, and the impact of climate change on forests.

Credentials/Documentation:

Project managers and organizations must document competence in the field and activities of the project proposed. Eligible projects must fit within the U.S. Forest Service International Programs priorities, comply with all federal grants and agreements regulations, and be documented through a completed grant application. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. A complete proposal must be submitted to International Programs and explain in detail the work to be undertaken, the qualifications of key personnel involved in the work, resources such as equipment, facilities, services available or needed, and a detailed proposed budget for each fiscal year during the life of the grant. All grant proposals must show technical competence and demonstrate ability to meet international, transnational, national, regional or local needs. Proposals for federal financial assistance must also submit a SF-424, SF-424(A) and SF-424(B).

Award Procedure:

Proposals will be evaluated by the Forest Service Office of International Programs, in consultation with the U.S. State Department and the U.S. Agency for International Development, where relevant, for purposes of ensuring compliance with U.S. foreign policy and objectives. Proposed activities will be assessed for their ability to improve forest management and the welfare of forest-dependent peoples in the targeted country or countries, as well as their ability to enhance or complement existing or planned Forest Service programs and activities.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. 120 days.

Appeals:

Not Applicable.

Renewals:

Requests for continued support will be considered as equal in importance and in competition with pending proposals. Applications submitted for continued support should be identical to a new proposal along with a detailed summary of progress to date. Applications for continued support must be received no later than 3 months prior to the expiration of the existing grant or agreement.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching Requirements: The applicant's contribution is negotiated. The proposed budget by fiscal year shows the estimated cost of the complete project from grant funds and the value of resources to be contributed by the applicant. The non-Federal cost share may consist of: funds, donations, in-kind contributions, direct costs, indirect costs, and other as determined by the Forest Service. Funds will be provided on a per-project basis, as determined by annual budget allocations.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Up to 5 years. Billing and invoices for expenses incurred may be submitted quarterly or semi-annually. Electronic transfers of payments are encouraged. See the following for information on how assistance is awarded/released: Payments made based on authorization and negotiation of provisions with cooperator.

Reports:

Final technical reports and financial status reports are due within one (1) month of completion of the project or of the expiration of the agreement, whichever is first. Cash reports are not applicable. Progress reports are not applicable. Financial status reports using a SF-425 are required at least annually and no more than quarterly as detailed in the applicable OMB Circulars and the grant award. Technical progress reports are required at least annually, but not more

than quarterly as detailed in the applicable OMB Circulars and the grant award.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

All related data, information, records and accounts shall be retained for 3 years beyond the expiration date of the grant unless other disposition is specified in writing by the awarding agency.

Account Identification:

12-1106-0-1-302 - National Forest System; 12-1105-0-1-302 - State & Private Forestry; 12-1104-0-1-302 - Forest & Rangeland Research.

Obligations:

(Project Grants) FY 12 \$9,100,000; FY 13 est \$7,500,000; and FY 14 est \$7,000,000

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Please consult with International Programs for information relevant to a specific proposal.

Regional or Local Office:

See Regional Agency Offices. Alex Moad, Assistant Director for Technical Cooperation, International Programs, amoad@fs.fed.us, 202-644-4550. Vanessa Felder-Pinkney, Grants and Agreements Specialist, International Programs, vfelderpinkney02@fs.fed.us, 202-644-4613.

Headquarters Office:

Vanessa Felder-Pinkney 1 Thomas Circle NW Suite 400, Washington, District of Columbia 20005 Email: vfelderpinkney02@fs.fed.us Phone: 202-644-4613

Website Address:

<http://www.fs.fed.us/global>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Criteria are established on a program-by-program basis and approved based on the negotiated objectives to be achieved at international, national, regional and local levels.

10.685 COMMUNITY WOOD ENERGY PROGRAM

Farm Bill, Title IX Energy, Section 9013

FEDERAL AGENCY:

Forest Service, Department of Agriculture

AUTHORIZATION:

Food, Conservation and Energy Act of 2008, Title IX, Section 9013, Public Law 110-246.

OBJECTIVES:

Encourage the development of community wood energy plans and/or acquire or upgrade community wood energy systems.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

A community wood energy system acquired with grant funds or receiving technical assistance shall not exceed an output of 50 million Btu per hour for heating and/or 2 megawatts for electric power production. A community wood energy system acquired with grant funds or receiving technical assistance shall not exceed an output of 50 million Btu per hour for heating and/or 2 megawatts for electric power production.

Applicant Eligibility:

State, Tribal, and local governments (or designees).

Beneficiary Eligibility:

Public facilities owned or operated by State or local governments, including schools, town halls, libraries, hospitals and other public buildings which use woody biomass as the primary fuel. Tribal governments are eligible.

Credentials/Documentation:

No credentials or documentation are required. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program.

Award Procedure:

Applicants will submit SF 424 forms as applicable, a proposal, budget and budget narrative along with other information specifically required in the public announcement.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching Requirements: Percent: 50%. At least 50 percent match is required from Non-Federal funds for grants. Technical Assistance will be based on previous work and commitment to future work demonstrated by the applicant. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Not established at this time, although no more than three years. See the following for information on how assistance is awarded/released: Reimbursement and/or advance payment on a monthly, quarterly or bi-annual basis.

Reports:

The recipient will complete programmatic reports, including an annual report, as indicated in OMB Circulars and award terms. No cash reports are required. This requirement is not fully established at this time. However, programmatic reporting is required and may include progress to date requirements. The recipient will complete required financial reports as indicated in OMB Circulars and award terms. The Forest Service will monitor the progress of recipient perform under awards.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

The recipient shall make all program and financial records pertaining to an award available for Forest Service or other designated federal agency auditors for a period of 3 years from the date of award completion.

Account Identification:

12-1105-0-1-302.

Obligations:

(Project Grants (Discretionary)) FY 12 \$0; FY 13 est \$0; and FY 14 Not Separately Identifiable - Funding is contingent on appropriation in the pending Farm Bill.

Range and Average of Financial Assistance:

Not established at this time.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

<http://www.fpl.fs.fed.us>

Regional or Local Office:

None.

Headquarters Office:

Dave Atkins, 1400 Independence Avenue SW, Washington, District of Columbia 20024 Email: datkins@fs.fed.us Phone: 202-205-0929

Website Address:

<http://www.fpl.fs.fed.us>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

National merit based criteria will be set for competitive grants. State, Tribal or local government request for technical assistance will be competitively selected based on established criteria.

10.687 RECOVERY ACT OF 2009: CAPITAL IMPROVEMENT AND MAINTENANCE

Recovery Act of 2009: CIM

FEDERAL AGENCY:

Forest Service, Department of Agriculture

AUTHORIZATION:

American Recovery and Reinvestment Act of 2009, Public Law 111-5 ("Recovery Act"): For partnership agreements: Cooperative Funds and Deposits Act of December 12, 1975, Public Law 94-148, 16 U.S.C. 565a1 a3, as further authorized by Division F, Title IV, Section 417 of the Consolidated Appropriations Act 2008 (Public Law 110-161). (Participating Agreements); Interior and Related Appropriations Act of 1992. (Public Law 102-154, (Challenge Cost-Share Agreements); National Agricultural Research, Extension, and Teaching Policy Act of 1977 (Public Law 95-113), as amended by the Food Security Act of 1985 (7 U.S.C. 3318, and 3319, Public Law 99-198) and further amended by Public Law 105-198(Research); Wyden Amendment (Public Law 105-277, Section 323 as amended by Public Law 109-54, Section 434) (Participating Agreements - Watershed restoration); Exempted Agreements: National Forest Roads and Trails Act of October 13, 1964 (16 U.S.C. 532-538, Public Law 88-657) and; Southern Nevada Public Land Management Act of 1998; and Lake Tahoe Restoration Act, Public Law 106-506 as amended; and White Pine County Conservation, Recreation and Development Act of 2006; and Secure Rural Schools and Community Self-Determination Act of 2000, as reauthorized and amended. For project grants/cooperative agreements: Cooperative Forestry Assistance Act of 1978, Public Law 95-313, 16 U.S.C. 2101 (S&PF); Farm Security and Rural Investment Act of 2002, Public Law 107-171.(S&PF); Public Law 105-277, Division A, Section 101(e), Title III, Section 343 (S&PF); Public Law 110-246, Food, Conservation and Energy Act of 2008, Title XI - ENERGY, Sections 9012 and 9013 (R&D); Public Law 105-277, Section 323, as amended by

Public Law 109-54 and permanently authorized by Public Law 111-11, Section 3001, Watershed Restoration and Enhancement Act (Wyden Authority) (NFS & S&PF); Public Law 109-58, Energy Policy Act of 2005 42 U.S.C.15855 (S&PF & R&D); Public Law 109-432, Title III, Subtitle G, Eastern Nevada Landscape Restoration Project (S&PF); Secure Rural Schools and Community Self-Determination Act of 2000, as reauthorized and amended, Title II RACs.

OBJECTIVES:

For priority road, bridge and trail maintenance and decommissioning, including related watershed restoration and ecosystem enhancement projects; facilities improvement, maintenance and renovation; and remediation of abandoned mine sites. All funds have been awarded for this program. No further applications are being considered for award under this CFDA program.

TYPES OF ASSISTANCE:

Cooperative Agreements; Project Grants

USES AND USE RESTRICTIONS:

Road, bridge and trail maintenance and decommissioning, including related watershed restoration and ecosystem enhancement projects; facilities improvement, maintenance and renovation; and remediation of abandoned mine sites. ARRA reporting requirements must be met by all recipients of Recovery Act funding.

Applicant Eligibility:

No new grants are being issued. The Forest Service is presently closing out grants and de-obligating funds, if necessary, under CFDA 10.687 - ARRA: Capital Improvement. Once de-obligations are complete, this CFDA will be archived.

Beneficiary Eligibility:

No other information.

Credentials/Documentation:

No Credentials or documentation are required. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program.

Award Procedure:

All funds have been awarded for this program. No further applications are being considered for award under this CFDA program.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

No other information.

Renewals:

No other information.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching Requirements: Percent: 20.%. Matching requirements as required under specific statutory authority (as cited above) or as may be specifically waived under the terms of the Recovery Act. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

No additional funds are available for awards under this CFDA program. See the following for information on how assistance is awarded/released: Depending upon the type of assistance (grants or cooperative agreements) or partnership agreements, payments may be made on an advance basis or reimbursable basis as authorize by the specific authority under which the awards are being made.

Reports:

Accomplishment reports are a requirement of Recovery Act awards. The report will be posted to www.federalreporting.gov and tied to www.Recovery.gov. Recipients and their subrecipients (first-tier) must have a Dun and Bradstreet Universal Numbering System (DUNS) number (www.dnb.com) and must maintain active and current profiles in the Central Contractor Registration (www.ccr.gov). (ARRA 1512, ARRA 1609). Cash reports are not applicable. Progress reports are due quarterly as published at the www.federalreporting.gov website. Invoices displaying current expenditures and cumulative expenditures are required in sufficient detail to permit a substantive review, i.e., individual expenditures should be itemized. Monitoring is an integral part of post award administration. The purpose of monitoring is to ensure that the recipient meets their programmatic and financial requirements of the award. There are several types of grant/agreement monitoring functions. These could include telephone calls, desk reviews, on-site reviews, performance reports, financial and legal reviews, or other tools.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Accounting records shall be maintained in accordance with generally accepted accounting principles adequate to collect, record and document award-related expenditures. See Section 1514 and 1515 of the Recovery Act.

Account Identification:

12-1102-0-1-302.

Obligations:

(Salaries) FY 12 \$0; FY 13 est \$0; and FY 14 est \$0 - No new obligations are available for awards under this CFDA program.

Range and Average of Financial Assistance:

\$25,000 - \$5 million.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

<http://www.recovery.gov> and the USDA recovery website provide award recipients with access to all OMB guidance and agency-specific guidance applicable to ARRA funding requirements.

Regional or Local Office:

None.

Headquarters Office:

Associate Chief, 201 14th Street, SW, Washington, District of Columbia 20024
Phone: (202) 205-1779.

Website Address:

<http://fs.usda.gov/economicrecovery>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.688 RECOVERY ACT OF 2009: WILDLAND FIRE MANAGEMENT

ARRA WFM

FEDERAL AGENCY:

Forest Service, Department of Agriculture

AUTHORIZATION:

American Recovery and Reinvestment Act of 2009, Public Law 111-5 (Recovery Act) For partnership agreements: Cooperative Funds and

Deposits Act of December 12, 1975, Public Law 94-148, 16 U.S.C. 565a1 a3, as further authorized by Division F, Title IV, Section 417 of the Consolidated Appropriations Act 2008 (Public Law 110-161). (Participating Agreements); Interior and Related Appropriations Act of 1992. (Public Law 102-154, (Challenge Cost-Share Agreements); National Agricultural Research, Extension, and Teaching Policy Act of 1977 (Public Law 95-113), as amended by the Food Security Act of 1985 (7 U.S.C. 3318, and 3319, Public Law 99-198) and further amended by Public Law 105-198 (Research); Wyden Amendment (Public Law 105-277, Section 323 as amended by Public Law 109-54, Section 434) (Participating Agreements - Watershed restoration); Exempted Agreements: National Forest Roads and Trails Act of October 13, 1964 (16 U.S.C. 532-538, Public Law 88-657) and; Southern Nevada Public Land Management Act of 1998; and Lake Tahoe Restoration Act, Public Law 106-506 as amended; and White Pine County Conservation, Recreation and Development Act of 2006; and Secure Rural Schools and Community Self-Determination Act of 2000, as reauthorized and amended. For project grants/cooperative agreements:

Cooperative Forestry Assistance Act of 1978, Public Law 95-313, 16 U.S.C. 2101 (S&PF); Farm Security and Rural Investment Act of 2002, Public Law 107-171.(S&PF); Public Law 105-277, Division A, Section 101(e), Title III, Section 343 (S&PF); Public Law 110-246, Food, Conservation and Energy Act of 2008, Title XI - ENERGY, Sections 9012 and 9013 (R&D); Public Law 105-277, Section 323, as amended by Public Law 109-54 and permanently authorized by Public Law 111-11, Section 3001, Watershed Restoration and Enhancement Act (Wyden Authority) (NFS & S&PF); Public Law 109-58, Energy Policy Act of 2005 42 U.S.C.15855 (S&PF & R&D);Public Law 109-432, Title III, Subtitle G, Eastern Nevada Landscape Restoration Project (S&PF); Secure Rural Schools and Community Self-Determination Act of 2000, as reauthorized and amended, Title II RACs.

OBJECTIVES:

Hazardous fuels reduction, forest health protection, rehabilitation and hazard mitigation activities on Federal lands, and State and private forestry activities including hazardous fuels reduction, forest health and ecosystem improvement activities on State and private lands; wood-to-energy grants to promote increased utilization of biomass from Federal, State and private lands.

All funds have been awarded for this program. No further applications are being considered for award under this CFDA program.

TYPES OF ASSISTANCE:

Cooperative Agreements; Project Grants

USES AND USE RESTRICTIONS:

Hazardous fuels reduction, forest health protection, rehabilitation and hazard mitigation activities on Federal lands, and State and private forestry activities including hazardous fuels reduction, forest health and ecosystem improvement activities on State and private lands; wood-to-energy grants to promote increased utilization of biomass from Federal, State and private lands. ARRA reporting requirements must be met by all recipients of Recovery Act funding.

Applicant Eligibility:

No new grants are being issued. The Forest Service is presently closing out grants and de-obligating funds, if necessary, under this CFDA number. Once remaining de-obligations are complete, this CFDA will be archived.

Beneficiary Eligibility:

In addition to project grants and cooperative agreements, mutual benefit partnership agreements such as Challenge Cost Share Agreements, Participating Agency Agreements, Cooperative Forest Road Agreements have been awarded.

Credentials/Documentation:

No Credentials or documentation are required. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program.

Award Procedure:

All funds have been awarded for this program. No further applications are being considered for award under this CFDA program.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

No other information.

Renewals:

No other information.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Percent: 20.%. Depending on the authority under which an award is made, matching requirements may exist. Partnership agreements generally require a minimum 20 percent contribution. Awards provided for activities on State and private lands shall not be subject to matching or cost share requirements.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

No additional funds are available for awards under this CFDA program. See the following for information on how assistance is awarded/released: Depending upon the type of assistance (grants or cooperative agreements) or partnership agreements, payments may be made on an advance basis or reimbursable basis as authorized by the specific authority under which the awards are being made.

Reports:

Accomplishment reports are a requirement of Recovery Act awards. American Recovery and Reinvestment Act (ARRA)-Sections 1512 and 1609 Reporting Requirements: The report will be posted to www.federalreporting.gov and tied to www.Recovery.gov. Recipients and their subrecipients (first-tier) must have a Dun and Bradstreet Universal Numbering System (DUNS) number (www.dnb.com) and must maintain active and current profiles in the Central Contractor Registration (www.ccr.gov). (ARRA 1512, ARRA 1609). Cash reports are not applicable. Progress reports are due quarterly as published at the www.federalreporting.gov website. Invoices displaying current expenditures and cumulative expenditures are required in sufficient detail to permit a substantive review, i.e., individual expenditures should be itemized. Monitoring is an integral part of post award administration. The purpose of monitoring is to ensure that the recipient meets their programmatic and financial requirements of the award. There are several types of grant/agreement monitoring functions. These could include telephone calls, desk reviews, on-site reviews, performance reports, financial and legal reviews, or other tools.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. See <http://www.whitehouse.gov/omb/circulars/> for more information regarding OMB Circular requirements by entity type.

Records:

Accounting records shall be maintained in accordance with generally accepted accounting principles adequate to collect, record and document award-related expenditures. See Section 1514 and 1515 of the Recovery Act.

Account Identification:

12-1118-0-1-302.

Obligations:

(Salaries) FY 12 \$0; FY 13 est \$0; and FY 14 est \$0 - No new obligations will be made in FY11 or FY12.

Range and Average of Financial Assistance:

\$25,000 - \$2 million.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

<http://www.recovery.gov> and the USDA recovery website provide award recipients with access to all OMB guidance and agency-specific guidance applicable to ARRA funding requirements.

Regional or Local Office:

None.

Headquarters Office:

Associate Chief, 201 14th Street, SW, Washington, District of Columbia 20024
Phone: (202) 205-1779.

Website Address:

<http://fsweb.wo.fs.fed.us/economicrecovery/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.689 COMMUNITY FOREST AND OPEN SPACE CONSERVATION PROGRAM (CFP)

Community Forest Program (CFP)

FEDERAL AGENCY:

Forest Service, Department of Agriculture

AUTHORIZATION:

Food, Conservation, and Energy Act of 2008, which amends the Cooperative Forestry Assistance Act of 1978 (16 USC 2103d), Title IX, Section 8003, Public Law 110-246, 122 Stat. 1651. The final rule for implementation of the Community Forest and Open Space Conservation Program (36 CFR Part 230) was published in the Federal Register in October 2011.

OBJECTIVES:

The purpose of CFP is to achieve community benefits by establishing community forests through 50/50 financial assistance competitive grant awards to local governments, Indian tribes, and nonprofit organizations. Grant funds are used by successful applicants to acquire and protect private forestlands. Community forest benefits are specified in the authorizing statute (16 U.S.C. 2103(d) & 2109(e)) and final rule (36 CFR Part 230), including: economic benefits from sustainable forest management and tourism; environmental benefits from natural resource conservation such as storm water management, clean air and water, and wildlife habitat; forest-based educational programs, model forest stewardship activities, and recreational opportunities. Public access to the community forests is required and intended to enhance public health and well-being. In addition, the program authorizes funds to State Foresters and equivalent Indian tribe officials for technical assistance to implement community forest projects.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Landowner participation in the program is voluntary and consists of conveying land to achieve conservation objectives.

Applicant Eligibility:

Project grants are awarded to local governments, Indian tribes, or nonprofit organizations qualified to acquire land and with the authority to manage land.

Beneficiary Eligibility:

No additional information.

Credentials/Documentation:

No credentials or documentation are required. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Regional/Northeastern Area (NA) /International Institute of Tropical Forestry (IITF) Offices and the State Foresters can be a resource for assistance. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. A periodic request for applications will be published in the Federal Register with detailed instructions and updates to application procedures. Applicants will provide a narrative on the project with up to two eight inch by eleven inch maps, the draft community forest plan, and all forms required to process the grant (final rule section 230.7). Documentation of applicant eligibility (final rule section 230.2), information regarding the property, and information regarding the proposed community forest must be included in the application.

Award Procedure:

The Forest Service will conduct an annual project selection process to arrive at a prioritized national project list for inclusion in the Presidents budget. The Forest Service then issues grants for the project specific Congressional set-asides designated in the Congressional appropriations bills. The Forest Service then issues grants to states based on the amounts provided in Congressional appropriations and the prioritized national project list.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: Percent: 50%. Funds reimbursed by the Federal Government may not exceed 50 percent of the total project budget expended by the grantee. The non-Federal share of the match may be in the form of cash, services, or in-kind contribution.

This program may use up to 10% of its funds for each fiscal year to provide technical assistance grants. Technical assistance funds do not have a matching requirement and are available to state foresters or equivalent officials of Indian tribes with a funded project in their jurisdiction.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The annual request for applications will describe in greater detail the award assistance length and time phasing. The initial grant period is 2 years and funds are released in accordance with the final rule, including completion of acquisition requirements under section 230.8. See the following for information on how assistance is awarded/released: As determined by the award terms.

Reports:

The Forest Service program manager will conduct monitoring activities to measure performance against established grant objectives. Grant recipients must provide the Forest Service with a Geographic Information System (GIS) shapefile: a digital, vector-based storage format for storing geometric location and associated attribute information, of CFP project tracts and cost share tracts, if applicable. Additional requirements under section 230.9 of the final rule also apply. Cash reports are not applicable. No progress reports are required. SF 425 Federal Financial Report will be used to report total program expenditures that clearly reflect the established 50% match. The Forest Service program manager will conduct monitoring activities to measure performance against established grant objectives.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit

conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Access to financial reports shall be provided to Forest Service or its designees as described in the award.

Account Identification:

12-1105-0-1-302.

Obligations:

(Project Grants (Discretionary)) FY 12 \$3,630,136; FY 13 est \$1,892,000; and FY 14 est \$4,000,000 - Annual funding levels to be determined per Presidential budget request.

Range and Average of Financial Assistance:

Individual grant applications may not exceed \$400,000 in program financial assistance. Range and average are to be determined.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

OMB Circulars are applicable to awards under this program. A-102 as implemented by 7 CFR 3016 and A-87 as implemented by 2 CFR 225 apply to State, local, and Tribal Governments. A-110 as implemented by 2 CFR 215 and A-122 as implemented by 2 CFR 230 apply to non-profit organizations.

Regional or Local Office:

None. Forest Service S&PF, Cooperative Forestry
1400 Independence Avenue, SW
Stop Code 1123
Washington, DC 20250.

Headquarters Office:

Maya Soloman, 1400 Independence Ave SW, MS-1123, Washington, District of Columbia 20850 Phone: 202-205-1376

Website Address:

<http://www.fs.fed.us/spf/coop/programs/loa/cfp.shtml>

RELATED PROGRAMS:

10.676 Forest Legacy Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The Forest Service will give priority to an application that maximizes the delivery of community benefits through a high degree of public participation; and

- (1) Type and extent of community benefits provided. Community benefits include:
 - (i) Economic benefits such as timber and non-timber products resulting from sustainable forest management and tourism
 - (ii) Environmental benefits, including clean air and water, stormwater management, and wildlife habitat
 - (iii) Benefits from forest-based experiential learning, including K-12 conservation education programs; vocational education programs in disciplines such as forestry and environmental biology; and environmental education through individual study or voluntary participation in programs offered by organizations such as 4-H, Boy or Girl Scouts, Master Gardeners, etc.(iv) Benefits from serving as replicable models of effective forest stewardship for private landowners
 - (v) Recreational benefits secured through public access, such as hiking, hunting and fishing
- (2) Extent and nature of community engagement in the establishment and long-term management of the community forest
- (3) Amount of cost share leveraged
- (4) Extent to which the community forest contributes to a landscape conservation initiative
- (5) Extent of due diligence completed on the project, including cost share committed and status of appraisal
- (6) Likelihood that, unprotected, the property would be converted to nonforest

uses

(7) Costs to the Federal government

(8) Additional considerations as may be outlined in the RFP.

10.690 LAKE TAHOE EROSION CONTROL GRANT PROGRAM

Lake Tahoe Erosion Control Grant Program

FEDERAL AGENCY:

Forest Service, Department of Agriculture

AUTHORIZATION:

Lake Tahoe Restoration Act, Public Law 106-506, as amended by Department of the Interior and Related Agencies Appropriations Act for Fiscal Year 2004, Public Law 108-108.

OBJECTIVES:

To make annual payments to the governing bodies of each of the political subdivisions (including public utilities) located in the Lake Tahoe Basin to be used primarily for erosion control and water quality projects. The goal of the program is to provide federal assistance to address urban stormwater runoff pollution, the primary source of pollutants (fine sediment and nutrients) affecting the clarity of Lake Tahoe. This program addresses urban runoff from public roads and right of ways.

To fund a competitive grant award program for research projects high in technical merit and relevant to land management and regulatory agencies working in the Tahoe Basin. The goal of the program is to promote applied, timely, relevant research that addresses natural resource management needs in the basin. Research funded through this program addresses key management questions, includes input from agencies, produces decision-support tools that are useful and accessible, fosters collaboration and communication, builds on previous research, and ultimately addresses the science needs identified in the Lake Tahoe Environmental Improvement Program. The environmental goals of the Lake Tahoe Environmental Improvement Program are defined using thresholds that were established to protect the natural environment and maintain public health and safety within the basin. The threshold categories are: 1) water quality, 2) wildlife, 3) soil conservation, 4) scenic resources, 5) air quality, 6) recreation, 7) vegetation, 8) noise, and 9) fisheries.

TYPES OF ASSISTANCE:

Cooperative Agreements; Project Grants

USES AND USE RESTRICTIONS:

Only after water quality and soil conservation thresholds have been achieved, funds may be used to address other environmental threshold categories. Payments shall be used only to carry out a project or proposed project that is part of the environmental improvement program adopted by the Tahoe Regional Planning Agency in 1998.

Applicant Eligibility:

Eligible applicants for National Forest System awards includes State Forestry or equivalent agencies, tribes, non-profits, and municipalities in the local governing bodies of political subdivisions in the Lake Tahoe basin.

Eligible applicants for competitive research awards includes State Agricultural Experiment Stations, universities and colleges, State and local governments, U.S. Territories, nonprofit research institutions or organizations, international organizations, individuals, and for-profit organizations.

Beneficiary Eligibility:

Beneficiary eligibility for National Forest System awards include governing bodies of each of the political subdivisions (including public utilities) located in the Lake Tahoe Basin.

Beneficiary eligibility for competitive research awards include organizations and scientists involved in basic and applied research activities related to forest and rangeland renewable resources.

Credentials/Documentation:

No credentials or documentation are required. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Submittal of an annual list of priority projects, along with written proposals for each of the identified priority projects. The USFS has chosen to adopt the State guidelines for the format of these proposals, since they meet all the needs for project narratives for federal grant considerations, with one additional requirement. That additional requirement is clear identification of sources and amounts of proposed match funding.

Implementation of construction projects under awarded grants are not allowed until a signed project decision under NEPA has been made, allowing the use of federal grant funds for implementation. Local Office monitors grant awards to assure compliance of this restriction. Planning, design, and monitoring grants do not require an environmental impact assessment. An environmental impact assessment is required for this program.

For competitive research awards, applicants must adhere to the requirements of the current Request for Proposals as stipulated by the Pacific Southwest Research Station. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Project narratives submitted through the pre-application process are reviewed by an interagency technical advisory committee convened by the Federal grants program managers. Projects are then identified for award, along with instructions for any needed revisions to the project narratives. At that time, eligible applicants submit revised narratives along with standard application forms and assurances to the Local Office, as furnished by the Federal agency.

For competitive research awards, a complete proposal must be submitted following guidelines provided by the Pacific Southwest Research Station. The proposal must explain in detail the work to be undertaken, the qualifications of key personnel involved in the work, other resources such as equipment, facilities, and services available or needed, and a proposed budget for each fiscal year during the life of the proposed grant. A short biographical sketch of the principal investigator and a list of his or her principal publications should be included.

Award Procedure:

National Forest System will send an award letter to grantee, with identification of grant requirements, in letter from Local Office.

Research award proposals must compete for priority, showing technical competence and demonstrating the ability to meet regional needs. Research grants cooperative agreements are made only in support of forestry research and monitoring of the Lake Tahoe Basin. Unsolicited proposals can be awarded for innovative or unique approaches to the proposed project.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. For competitive research grants or cooperative agreements contact Pacific Southwest Research Station's Tahoe Science Coordinator.

Appeals:

Contact Research Station for guidance regarding competitive research grants or cooperative agreements.

Renewals:

From 60 to 90 days. Extensions can be made for individual grants as long as end dates do not exceed five years post award date.

For competitive research awards requests for continued support will be considered as equal in importance and in competition with pending proposals. Applications submitted for continued support should be identical to a new proposal, except that a resume of progress to date must be added.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: Percent: 50.%. For National Forest System awards, notwithstanding section 9(b) of Public Law 106 506, funds hereinafter appropriated under Public Law 106506 shall require matching funds from non-Federal sources on the basis of aggregate contribution to the Environmental Improvement Program, as defined in Public Law 106506, rather than on a project by-project basis, except for those activities provided under section 9(c) of that Act, to which this amendment shall not apply.

For competitive research awards, as authorized by Public Law 106506 section 6(b) and 6(d), the applicant's contribution is negotiated for grants. The proposed budget by fiscal year shows the estimated cost of the complete project from grant funds and value of resources to be contributed by the applicant. Salaries of academic personnel for periods when they receive salary for teaching should not be included for payment from grant funds. The minimum matching contribution for Cooperative Agreements must constitute at least 20-percent of the estimated total cost of the project.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants are awarded for a period not to exceed 5 years. Invoices may be submitted no more frequently than monthly. See the following for information on how assistance is awarded/released: Payments made based on authorization and negotiation of provisions with cooperator.

Reports:

Accomplishment reports are required at least annually, but not more than quarterly as detailed in the applicable OMB Circulars and the grant award. A final accomplishment report, financial expenditures report, and final as-built project design drawings are required within 90 days of project completion.

For competitive research awards, in addition to project-specific reports and products, all funded projects are required to submit quarterly project updates in January, April, July, and October and annual accomplishments reports to comply with the requirements of the Tahoe Science Program. Proposals must account for these reports in the project budgets and timelines. A final progress report showing all tasks complete and a final accomplishments report must be submitted along with the final project report and/or products. The final invoice and the final federal financial report (SF-425) must be submitted within 90 days of the Pacific Southwest Research Station agreement end date. No cash reports are required. No progress reports are required. Financial expenditures reporting is required. SF-425. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Financial and programmatic records must be retained for three years from the date of submission and approval of final financial reports. All grantees need to maintain adequate systems for collecting and recording statistical data.

Account Identification:

12-1106-0-1-302 - National Forest System; 12-1104-0-1-302 - Research & Development.

Obligations:

(Project Grants (Discretionary)) FY 12 \$3,446,668; FY 13 est \$3,000,000; and FY 14 est \$3,000,000

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: N/A. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices. Barb Shanley or Genevieve Villemarie, Forest Service, 35 College Drive, South Lake Tahoe, CA 96150, Telephone: (530) 543-2657 or 2783, Fax: (530) 543-2693, email: bshanley@fs.fed.us or gvillemaire@fs.fed.us. Website: <http://www.fs.fed.us/r5/ltbmu/ecgp/index.shtml>

For competitive grant awards, contact: Tiff van Huysen, Ecologist & Tahoe Science Program Coordinator, USDA Forest Service-Pacific Southwest Research Station, UC Davis TERC, TCES Suite 320, 291 Country Club Dr., Incline Village, NV 89451, Telephone: (775) 881-7560 ext. 7482, Fax: (775) 832-1673, email: tivanhuysen@fs.fed.us. Website: <http://www.fs.fed.us/psw/partnerships/tahoescience/>.

Headquarters Office:

Barbara Shanley, 35 College Drive, South Lake Tahoe, California 96150 Email: bshanley@fs.fed.us Phone: (530) 543-2657.

Website Address:

<http://www.fs.fed.us/r5/ltbmu/ecgp/index.shtml>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Grants must address planning, construction, or monitoring of projects identified in the Tahoe Regional Planning Agency environmental improvement program (EIP). Projects submitted from this list are selected based on the annual priority list provided by the eligible local governments, and direction provided in the LTRA: 1) The total amounts appropriated for payments under this subsection shall be allocated by the Secretary of Agriculture based on the relative need for and merits of projects proposed for payment under this section. 2) To the maximum extent practicable, for each fiscal year, the Secretary of Agriculture shall ensure that each political subdivision in the Lake Tahoe basin receives amounts appropriated for payments under this subsection.

The Pacific Southwest Research Station (PSW) will complete an administrative review of all submitted proposals to ensure that proposal specifications as outlined in the Request for Proposals are met. Proposals passing the administrative review will be forwarded to the Tahoe Science Consortium (TSC). The TSC will coordinate technical reviews of the proposals. Proposals passing technical review will be forwarded to Tahoe Basin agency personnel for relevancy reviews. The TSC will coordinate a synthesis of the results from the technical reviews and agency relevancy reviews to create a list of proposals recommended for funding. PSW will review the suite of recommended proposals to finalize funding allocations; proposals recommended for funding are not guaranteed funding due to constraints on funding allocations. Final funding allocations for recommended proposals will be determined by the number of projects recommended for funding and individual project budgets. Some projects may be identified as alternates to receive support should funds become available during the negotiation of awards. PSW reserves the right to negotiate scopes of work, budget amounts, and deliverables with Principal Investigators based upon feedback from the review process and to comply with Forest Service policies. Projects may be required to modify their proposed indirect cost rates and/or demonstrate cost share contributions. Once the recommendations and funding allocations have been finalized, PSW will forward the package of recommended projects to the Tahoe Regional Executive Committee (TREX) and to the Bureau of Land Management (BLM) for final approval.

10.691 GOOD NEIGHBOR AUTHORITY**FEDERAL AGENCY:**

Forest Service, Department of Agriculture

AUTHORIZATION:

Omnibus Appropriations Act of 2009, Public Law 111-8; FY 2005 Interior and related Agencies Appropriations Act, Public Law 108-447, 118 Stat. 3012, as amended by Consolidated Security, Disaster Assistance and Continuing

Appropriations Act of 2009, Public Law 110-329, as amended by PL-111-88, Division A, Title IV, Section 422 of the Department of the Interior, Environment, and Related Agencies Appropriations Act, 2010. Authority due to expire September 30, 2013.

OBJECTIVES:

To permit the State Forester of the states of Colorado and Utah to perform forest, rangeland, and watershed restoration services on National Forest System Lands in the states of Colorado and Utah.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

Restoration services provided are on a project-by-project basis as planned or made ready for implementation under existing Forest Service authorities. Types of projects include treatment of insect infected trees, reduction of hazardous fuels, and other activities to restore or improve forest, rangeland, and watershed health including fish and wildlife habitat. Projects may be completed by the states of Colorado and Utah or subcontracts utilizing state contract procedures. No special funding comes with this authority. Project funding is based on available appropriated funding for needed work.

Applicant Eligibility:

No additional information.

Beneficiary Eligibility:

Program authorized for the states of Colorado and Utah only.

Credentials/Documentation:

No Credentials or documentation are required. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Environmental documentation by the Forest Service is completed prior to inclusion under an award. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. The Forest Service and the states of Colorado and Utah mutually agree to projects that are appropriate under this authority.

Award Procedure:

The law authorizes sole source award of included projects.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Submit written request with SF-424 application and SF-424A (as applicable) to amend budget amounts or length of commitment; other requirements may be established by the affected Forest Service Supervisor's Office in consultation with the Regional Office.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

As specified in award terms but not to exceed 5 years. See the following for information on how assistance is awarded/released: As specified in award terms.

Reports:

Program reports are not applicable. No cash reports are required. No progress reports are required. Financial reports shall be submitted in accordance with award terms. The states of Colorado and Utah shall submit a performance report annually.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

All related data, information, records and accounts shall be retained for a period of 3 years beyond the date of submission of final financial reports. Financial and programmatic records will be made available to the Forest Service or its designated agent upon request.

Account Identification:

12-1106-0-1-302.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 12 \$265,781; FY 13 Estimate Not Available(Exp: Estimate Not Available); and FY 14 Estimate Not Available(Exp: Estimate Not Available)

Range and Average of Financial Assistance:

\$5,000 to \$100,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: No current data available. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices. Colorado's contact is Bob Garcia, 740 Simms Street, Golden, Colorado 80401, Phone No. 303-275-5024. Utah's contact is Mechele MacDonald, 324 25th Street, Ogden, UT 84401, Phone No. 801-625-5796.

Headquarters Office:

Bob Garcia 740 Simms Street, Golden, Colorado 80401 Phone: 303-275-5024

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

All cooperative agreements are approved on the basis of negotiated targets, project objectives to be achieved, and the relationship to regional forest health issues, priorities and needs.

10.692 DISASTER RELIEF APPROPRIATIONS ACT FOR EMERGENCY FOREST RESTORATION PROGRAM (EFRP)

Emergency Forest Restoration Program (EFRP) for Hurricane Sandy Relief

FEDERAL AGENCY:

Forest Service, Department of Agriculture

AUTHORIZATION:

Disaster Relief Appropriations Act, Public Law 113-2.

OBJECTIVES:

Funding is provided to the state forester or designated official as reimbursement for approved technical assistance provided to eligible forest landowners by the state forestry agency in support of USDA Farm Service Agency and the delivery of the Emergency Forest Restoration Program.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

To reimburse state forester or equivalent state agency for technical support

provided to qualifying non-industrial, private forest landowners in support of USDA Farm Service Agency and the delivery of the Emergency Forest Restoration Program. Technical assistance is reimbursed at the pre-approved hourly rate and all invoices are approved by USDA Farm Service Agency prior to reimbursement. Reimbursement can be for services provided which may include determination of needs, plan development for each practice, supervising the installation of the practice, certification of compliance as prerequisite for payment, furnishing technical assistance to applicant as needed, and checking on practice maintenance during the practices lifespan.

Applicant Eligibility:

As directed under The Disaster Relief Appropriations Act, 2013, state foresters or equivalent state officials of states with producers and landowners suffering forest damage from Hurricane Sandy, located in areas that were also named in a Major Disaster Declaration, who meet the programs eligibility requirements qualify for these funds. Eligible states include: VA, WV, MD, DE, PA, NJ, NY, CT, RI, MA, NH and OH, but not all counties in these states are eligible.

Beneficiary Eligibility:

Private landowners suffering forest damage from Hurricane Sandy located in areas that were also named in a Major Disaster Declaration, who meet the programs eligibility requirements qualify for these funds.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments, as implemented by 2 CFR 225. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Contact Northeastern Area office at www.na.fs.fed.us.

Award Procedure:

Established by the Northeastern Area.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 10 to 60 days. State single point of contact will have 30 days from notification of tentative allotments to comment.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. This program has no matching requirements. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Not to exceed 24 months from the date of Forest Service obligation of funds. See the following for information on how assistance is awarded/released: Recipient must submit invoice and receive approval from USDA Farm Service Agency prior to Forest Service reimbursing the recipient.

Reports:

Program reports are not applicable. Cash reports are not applicable. Performance reports are required quarterly as detailed in the applicable OMB Circulars and the grant award. SF-425 must be submitted quarterly as detailed in the applicable OMB Circulars and the grant award. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit

conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

State and other generally accepted accounting systems are permissible, if acceptable to audit and reconciliation. All grantees need to maintain adequate systems for collecting and recording statistical data.

Account Identification:

12-1105-0-1-302 - 12-1105.

Obligations:

(Project Grants) FY 12 \$0; FY 13 est \$23,000,000; and FY 14 est \$0

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

OMB Circular Nos. A-102 as implemented by 7 CFR Part 3016, and A-87 as implemented by 2 CFR 225 are applicable to State and local government grantees.

Regional or Local Office:

See Regional Agency Offices. Mike Huneke
Forest Stewardship Program Coordinator for Northeastern Area
11 Campus Blvd., Suite 200
Newtown Square, PA 19073
610-557-4110.

Headquarters Office:

Karl R. Dalla Rosa 1400 Independence Ave SW, Washington, District of Columbia 20250 Email: kdallarosa@fs.fed.us Phone: 202-205-6206

Website Address:

<http://www.na.fs.fed.us>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.693 WATERSHED RESTORATION AND ENHANCEMENT AGREEMENT AUTHORITY

Wyden Amendment

FEDERAL AGENCY:

Forest Service, Department of Agriculture

AUTHORIZATION:

Public Law 105-277, Section 323 as amended by Public Law 109-54 Section 434. This program has been permanently authorized, Public Law 111-11.

OBJECTIVES:

To enter into domestic cooperative agreements or grants with willing participants for the protection, restoration, and enhancement of fish and wildlife habitat and other resources on public or private land and for the reduction of risk from natural disaster where public safety is threatened that benefit these resources within the watershed.

TYPES OF ASSISTANCE:

Cooperative Agreements; Project Grants

USES AND USE RESTRICTIONS:

Projects that protect, enhance, or restore resources within a watershed and provide tangible benefits to achieving Forest Service goals and objectives are allowable under Wyden. Project types are not limited to actual projects on the ground; for example, stream gabion installation, check dam construction, fish habitat restoration, or culvert cleaning. Watershed analysis studies, habitat surveys and wildlife species monitoring, depending on the benefit to resources

within the watershed, are also permissible under Wyden. Any project carried out under Wyden authority must comply with all applicable Federal, State and local laws and regulations, policies and permit requirements; for example, National Environmental Policy Act, Clean Water Act, and Endangered Species Act. Projects must be within a watershed for the stated program objectives. Use of grants is restricted to State and Private Forestry funding.

Applicant Eligibility:

States, local or tribal governments, private and nonprofit entities, and private landowners.

Beneficiary Eligibility:

Projects must be within a watershed for the stated program objectives.

Credentials/Documentation:

No Credentials or documentation are required. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Any project carried out under Wyden authority must comply with all applicable Federal, State and local laws and regulations, policies and permit requirements; for example, National Environmental Policy Act, Clean Water Act, and Endangered Species Act. The standard application forms SF 424s as furnished by the federal agency and required by OMB A-102, as implemented by 7 CFR Part 3016, must be used for this program. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. The Forest Service and cooperator mutually agree to projects that are appropriate.

Award Procedure:

Established by Forest headquarters, Regional or Washington Office.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

From 30 to 60 days. Submit written request and completed SF-424 application and SF-424A (as applicable) to amend amount or length of commitment; other requirements may be established by the affected Forest headquarters, Regional or Washington Office.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

As specified on the cooperative agreement, but not to exceed 5 years. Invoices may be submitted no more frequently than monthly. See the following for information on how assistance is awarded/released: As specified on the cooperative agreement.

Reports:

No program reports are required. No cash reports are required. As specified in the award document, progress reporting is required to monitor progress against award objectives. Recipients will complete and submit SF-425s to report expenditures and cash on hand, as applicable. The recipient and other parties to the agreement are expected to conduct monitoring activities.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that

year, except as noted in Circular No. A-133.

Records:

Access to financial reports shall be provided to Forest Service or its designees as described in the award.

Account Identification:

12-1105-0-1-302; 12-1115-0-1-302; 12-1106-0-1-302 - 12/14-5232-0-1-302.

Obligations:

(Salaries) FY 12 \$3,423,413; FY 13 est \$4,000,000; and FY 14 est \$4,000,000

Range and Average of Financial Assistance:

Varies by type of project and funding available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

OMB Circulars, as applicable to the type of recipient and 48 CFR 31.2 for Private Landowners.

Regional or Local Office:

See Regional Agency Offices. Varies by type of project and funding available.

Headquarters Office:

FS Watershed and Aquatics, 201 14th Street NW, Room 3SE, Washington, District of Columbia 20024 Phone: (202) 205-1790.

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Cooperative agreements and grants are negotiated on the basis of project objectives to be achieved, with emphasis on restoration, protection, and enhancement of fish and wildlife habitat, and other resources on public and private land, the reduction of risk from natural disaster where public safety is threatened, or a combination thereof or both that benefit these resources within the watershed; availability of funding.

10.694 SOUTHWEST FOREST HEALTH AND WILDFIRE PREVENTION

FEDERAL AGENCY:

Forest Service, Department of Agriculture

AUTHORIZATION:

Southwest Forest Health and Wildfire Prevention Act, Public Law 108-317.

OBJECTIVES:

(1) To enhance the capacity to develop, transfer, apply, monitor, and regularly update practical science-based forest restoration treatments that will reduce the risk of severe wildfires, and improve the health of dry forest and woodland ecosystems in the interior West; (2) to synthesize and adapt scientific findings from conventional research programs to the implementation of forest and woodland restoration on a landscape scale; (3) to facilitate the transfer of interdisciplinary knowledge required to understand the socioeconomic and environmental impacts of wildfire on ecosystems and landscapes; (4) to require the Institutes established under this Act to collaborate with Federal agencies to use ecological restoration and wildfire treatments; (5) to assist land managers in restoration-based applications and new management technologies; (6) to provide technical assistance to collaborative efforts by affected entities; and (7) to assist Federal and non-Federal land managers in providing information to the public on the role of fire and fire management.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

To require the Institutes established under this Act to collaborate with Federal agencies (A) to use ecological restoration treatments to reverse declining

forest health and reduce the risk of severe wildfires across the forest landscape; and (B) to design, implement, monitor, and regularly revise representative wildfire treatments based on the use of adaptive ecosystem management. No funds made available under this Act shall be used to pay costs of constructing any facilities.

Applicant Eligibility:

none.

Beneficiary Eligibility:

One (1) Institute each of (A) the State of Arizona, to be located at Northern Arizona University; (B) the State of New Mexico, to be located at New Mexico Highlands University, while engaging the full resources of the consortium of universities represented in the Institute of Natural Resource Analysis and Management (INRAM); and (C) the State of Colorado, to be located at Colorado State University.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Annual work plans must be approved by the Forest Service in consultation with the Department of Interior. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Institutes shall submit a Federal Financial Assistance application package, SF-424 forms, annually based on the amount of appropriated funds each fiscal year.

Award Procedure:

None.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Not applicable. See the following for information on how assistance is awarded/released: Advance or reimbursable payments.

Reports:

Each Institute must provide a peer-reviewed annual report to the Forest Service in accordance with P.L. 108-317. Accomplishment reports as set forth in the award letter are required. No cash reports are required. Progress reports are not applicable. Actual expenditures are detailed on each request for reimbursement and/or advance liquidation request. An SF425 Financial Report must be submitted at least annually. Terms are noted in the award letter. The Forest Service program manager shall conduct monitoring activities to track program accomplishments.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Institutes shall maintain programmatic and accounting records applicable to each annual award for a period of not less than 3 years after the completion of all activities thereunder.

Account Identification:

12-1105-0-1-302; 12-1115-0-1-302.

Obligations:

(Direct Payments for Specified Use) FY 12 \$1,917,923; FY 13 est \$1,500,000; and FY 14 est \$1,500,000

Range and Average of Financial Assistance:

Range of assistance is \$150,000 - \$1,500,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: No current data available. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

National Fire Plan; the report entitled Protecting People and Sustaining Resources in Fire-Adapted Ecosystems A Cohesive Strategy (65 Fed. Reg. 67480); and the report entitled 10-Year Comprehensive Strategy: A Collaborative Approach for Reducing Wildland Fire Risks to Communities and the Environment of the Western Governors Association.

Regional or Local Office:

None.

Headquarters Office:

Walter Dunn, 333 Broadway Blvd. SE, Albuquerque, New Mexico 87102
Email: wdunn@fs.fed.us Phone: 505-842-3425

Website Address:

<http://www.fs.usda.gov/goto/r3/sweri>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.700 NATIONAL AGRICULTURAL LIBRARY

FEDERAL AGENCY:

Agricultural Research Service, Department of Agriculture

AUTHORIZATION:

5 U.S.C. 301, 552, 5946; 7 U.S.C. 450b, 450i, 2201, 2202, 2204, 2206, 2244, 2264, 2265, and 3318.

OBJECTIVES:

To serve as the Nation's Chief Agricultural information resource by providing agricultural information products and services through traditional library functions and through modern electronic dissemination to agencies of the USDA, public organizations, and individuals.

TYPES OF ASSISTANCE:

DISSEMINATION OF TECHNICAL INFORMATION

USES AND USE RESTRICTIONS:

Anyone can use the services. Publications in the library collection are available through interlibrary loan or photo-reproduction, and the library facilities are open to anyone wishing to use them.

Applicant Eligibility:

Individuals, State and local governments, educational organizations, research societies, business and industry including those located in the U.S. Territories.

Beneficiary Eligibility:

Individuals, State and local governments, educational organizations, research societies, business and industry including those located in the U.S. Territories.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Apply by letter to the Office of Associate Director, Public Services Division, National Agricultural Library, Beltsville, MD 20705.

Award Procedure:

None.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

None. See the following for information on how assistance is awarded/released: Grants and Cooperative Agreements.

Reports:

Performance Reports. No cash reports are required. The frequency is outlined in the terms of the Agreement. The frequency is outlined in the terms of the Agreement. The frequency is outlined in the terms of the Agreement.

Audits:

Not Applicable.

Records:

None.

Account Identification:

12-1400-0-1-352.

Obligations:

(Cooperative Agreements) FY 12 \$21,533,169; FY 13 est \$21,613,000; and FY 14 est \$25,244,000

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

"Guide to the Services of the National Agricultural Library."

Regional or Local Office:

See Regional Agency Offices. Office of the Director, National Agricultural Library, Beltsville, MD 20705-2351. Telephone: (301) 504-6780. Use the same number for FTS. Contact: Extramural Agreements Division.

Headquarters Office:

Kathleen S. Townson, 5601 Sunnyside Ave, MS-5110, Beltsville, Maryland 20705 Email: kathleen.townson@ars.usda.gov Phone: (301) 504-1702.

Website Address:

<http://www.nal.usda.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.759 PART 1774 SPECIAL EVALUATION ASSISTANCE FOR RURAL COMMUNITIES AND HOUSEHOLDS PROGRAM (SEARCH)

SEARCH Grant Program

FEDERAL AGENCY:

Rural Utilities Service, Department of Agriculture

AUTHORIZATION:

The Food, Conservation and Energy Act, Public Law 110-234 (Farm Bill) amended Section 306(a)(2) of the Consolidated Farm and Rural Development Act (CONACT) (7 U.S.C. 1926 (a)(2)). , Title 110, Part 234, Section 306(a)(2) , 7 U.S.C 1926 (a)(2)).

OBJECTIVES:

To make predevelopment planning grants for feasibility studies, design assistance, and technical assistance to financially distressed communities in rural areas with populations of 2,500 or fewer inhabitants for water and waste disposal projects.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

May fund up to 100 percent of the eligible grant costs, not to exceed \$30,000. Grants can only be made to financially distressed communities in rural areas with populations of 2,500 or fewer inhabitants.

Applicant Eligibility:

Applicants must be (1) public bodies or governmental entities such as states, municipalities, counties, districts, authorities, and other political subdivisions of a State; (2) nonprofit organizations such as associations, cooperatives, private nonprofit corporations, and institutions of higher education and hospitals; (3) Native American Indian tribes on Federal and State reservations, other federally recognized Indian tribes, and Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, and associations.

Beneficiary Eligibility:

Beneficiaries are the entities or organizations eligible to develop projects under the Water and Waste Disposal Loan and Grant Program. Eligible entities include (1) public bodies or governmental entities such as municipalities, counties, districts, authorities, and other political subdivisions of a State, (2) nonprofit organizations such as associations, cooperatives, and private nonprofit corporations, (3) Native American Indian tribes on Federal and State reservations and other federally recognized Indian tribes.

Credentials/Documentation:

Evidence of legal existence and authority, organizational documents, certified list of directors, evidence of tax exempt status, current audit. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Public bodies or non-profit organizations may file applications with the RD State Office for the state in which the organization's headquarters is located. Addresses may be found on the web site of the Water and Environmental Programs http://www.rurdev.usda.gov/UWEP_HomePage.html.

Intermediaries headquartered in the District of Columbia may file the application with the National Office, USDA, Rural Utilities Service, Water and Environmental Programs, STOP 1570, 1400 Independence Avenue SW, Washington, DC 20250-1570.

All required forms may be found on the RUS Water Programs web page at <http://www.rurdev.usda.gov/FormsAndPublications.html>; on that page, select Revolving Fund Program.

- (1) Application for Federal Assistance: Standard Form 424;
- (2) Budget Information Non-Construction Programs: Standard Form 424A;
- (3) Assurances Non-Construction Programs: Standard Form 424B;
- (4) Certification of Compliance with Federal Requirements/Laws (see Exhibit E);
- (5) Evidence of applicants legal existence and authority in the form of certified copies of organizational documents and a certified list of directors and officers with their respective terms;
- (6) Evidence of tax exempt status from the Internal Revenue Service;
- (7) Current audit of the organization;
- (8) Explanation of budget and accounting system in place or proposed, with the administrative cost for operating the fund expressed as a percentage of the overall budget;
- (9) Form AD1047, Certification Regarding Debarment, Suspension, and Other Responsibility Matters Primary Covered Transaction.
- (10) Form AD 1049, Certification Regarding Drug-Free Workplace Requirements (Grants) Alternative I For Grantees Other Than Individuals.
- (11) Form AD 1048, Certification Regarding Debarment.
- (12) Attachment regarding assistance provided to Rural Development Employees as required by RD Instruction 1900D.
- (13) Form RD 4004, Assurance Agreement.
- (14) Form RD 4001, Equal Opportunity Agreement."

Award Procedure:

Selected applicant(s) will be notified by Form AD-622.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

If an application is rejected, the reasons for rejection are fully stated. The applicant may request a review of this decision with the National Appeals Division of USDA in accordance with USDA regulations at 7 CFR 11.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Not Applicable. See the following for information on how assistance is awarded/released: Advances and reimbursements will be disbursed over the term of the grant on request of the grantee.

Reports:

Quarterly or semi-annual narrative reports. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Annual audit or Management Report

Records:

No Data Available.

Account Identification:

12-1980-0-1-072.

Obligations:

(Project Grants) FY 12 \$633,707; FY 13 est \$1,000,000; and FY 14 est \$0

Range and Average of Financial Assistance:

Up to \$30,000 per grant.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 1774; RUS Instruction 1780; OMB Circular A87, OMB Circular A110, OMB Circular A102 or OMB Circular A122; Executive Order 12866; and Executive Order 12988

Regional or Local Office:

None.

Headquarters Office:

Anita O'Brien, 1400 Independence Ave., SW, Stop 1570, Washington, District of Columbia 20005 Email: anita.obrien@wdc.usda.gov Phone: (202) 690-3789.

Website Address:

http://www.rurdev.usda.gov/UWEP_HomePage.html

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Projects will be selected based primarily on the funding priorities in Section 1780.17 of RUS Instruction 1780. The State Program Official discretionary points stated in Section 1780.17 (e) of RUS Instruction 1780 can also include consideration of the following criteria:

- (a) Systems with limited resources.
- (b) Smallest systems with lowest incomes.
- (c) Funds availability.

10.760 WATER AND WASTE DISPOSAL SYSTEMS FOR RURAL COMMUNITIES

FEDERAL AGENCY:

Rural Utilities Service, Department of Agriculture

AUTHORIZATION:

Consolidated Farm and Rural Development Act, as amended, Section 306, Public Law 92-419, 7 U.S.C. 1926.

OBJECTIVES:

To provide basic human amenities, alleviate health hazards and promote the orderly growth of the rural areas of the nation by meeting the need for new and improved rural water and waste disposal facilities.

TYPES OF ASSISTANCE:

DIRECT LOANS; GUARANTEED/INSURED LOANS; PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds may be used for the installation, repair, improvement, or expansion of a rural water facility including distribution lines, well pumping facilities and costs related thereto, and the installation, repair, improvement, or expansion of a rural waste disposal facility including the collection, and treatment of sanitary, storm, and solid wastes. Grant funds may not be used to pay: interest on loans, operation and maintenance costs, or acquisition or refinancing of an existing system. No maximum loan amount is established by statute. The maximum term on all loans is 40 years. However, no repayment period will exceed any statutory limitation or the organization's borrowing authority or the useful life of the improvement or facility to be financed. There are currently three interest rates for direct loans: (1) a poverty rate that is 60 percent of the market rate, (2) an intermediate rate that is 80 percent of the market rate, and (3) a market rate. The poverty interest rate will not exceed 5 percent per year. The poverty rate may apply when the loan is required to meet health or sanitary standards and the median household income (MHI) of the service area is below the poverty line or 80 percent of the statewide nonmetropolitan MHI, whichever is greater. The intermediate rate applies when the MHI of the service area is not more than 100 percent of the statewide nonmetropolitan MHI, and the

poverty rate does not apply. The market rate applies when neither the poverty rate nor intermediate rate is applicable. The interest rate on guaranteed loans is negotiable between the applicant and the lender.

Applicant Eligibility:

Municipalities, counties, other political subdivisions of a State such as districts and authorities, associations, cooperatives, corporations operated on a not-for-profit basis, Indian tribes on Federal and State reservations and other Federally recognized Indian tribes. The applicant must: (1) be unable to finance the proposed project from its own resources or through commercial credit at reasonable rates and terms; and (2) have the legal authority necessary for constructing, operating, and maintaining the proposed facility or service, and for obtaining, giving security for, and repaying the proposed loan. Assistance is authorized for eligible applicants in rural areas of the United States, Puerto Rico, the Virgin Islands, Guam, Commonwealth of Northern Mariana Islands, American Samoa, and to the extent the Secretary determines feasible and appropriate, the Trust Territory of the Pacific Islands.

Beneficiary Eligibility:

Farmers, ranchers, rural residents, rural businesses and other users in eligible applicant areas.

Credentials/Documentation:

Evidence of legal capacity, economic feasibility and financial responsibility relative to the activity for which assistance is requested. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. USDA Rural Development (RD) offices administer the program on the local level. Application Form SF-424 is filed at the local RD Office from which assistance may be obtained. This program is subject to the provisions of OMB Circular No. A-110.

Award Procedure:

After the application has been reviewed at the local level, it is forwarded to the RD State Director for review and processing instructions. Following completion of application processing requirements and loan/grant approval, funds are made available to the applicant.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 60 to 90 days. From 30 to 90 days.

Appeals:

If an application is rejected, the reasons for rejection are fully stated. The applicant may request a review of this decision at the RD or RUS National level.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. This program has no matching requirements. This program has no statutory formula. Funds are allocated to States based upon rural population, number of households in poverty, and unemployment. This program has no matching requirements. MOE requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

A time limitation is not specified for the use of RUS loan or grant funds. Funds will be awarded when all RUS requirements are met and the project can be

completed on a timely basis. See the following for information on how assistance is awarded/released: Funds may be advanced on an as needed basis by the RUS to cover expenses for a 30-day period.

Reports:

Program reports are not applicable. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records and accounts are required to reflect the operations of the facility.

Account Identification:

12-0400-0-1-452; 12-4155-0-3-452.

Obligations:

(Direct Loans) FY 12 \$944,174,984; FY 13 est \$994,186,542; and FY 14 est \$1,200,000. (Guaranteed/Insured Loans) FY 12 \$8,293,784; FY 13 est \$138,190,078; and FY 14 est \$246,800. (Project Grants) FY 12 \$361,558,092; FY 13 est \$324,957,669; and FY 14 est \$246,800

Range and Average of Financial Assistance:

(Direct Loans) \$25,000 to \$9,500,000; \$1,525,479; (Grants) \$4,450 to \$8,000,000; \$794,593.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR 1780, Water and Waste Loans and Grants, 7 CFR 1779, Water and Waste Disposal Program Guaranteed Loans.

Regional or Local Office:

See Regional Agency Offices. Consult your local telephone directory for Rural Development local number. If no listing, get in touch with the appropriate Rural Development State Office listed in Appendix IV of the Catalog.

Headquarters Office:

Assistant Administrator, Water and Environmental Programs, Rural Utilities Service, 1400 Independence Ave., SW, Stop 1548, Washington, District of Columbia 20250 Phone: (202) 690-2670.

Website Address:

http://www.rurdev.usda.gov/UWEP_HomePage.html

RELATED PROGRAMS:

11.300 Investments for Public Works and Economic Development Facilities; 14.218 Community Development Block Grants/Entitlement Grants

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

In selecting applications for funding, the agency cooperates with appropriate State agencies. RUS assistance for water and waste disposal projects will be directed toward truly rural areas and rural communities. Considerations for available funds emphasize such criteria as: Population; low income unemployment; and health and sanitary problems. RUS financed facilities will not be inconsistent with any development plans of the State, multi-jurisdictional area, county, or municipality in which the proposed project is located.

10.761 TECHNICAL ASSISTANCE AND TRAINING GRANTS

TAT Grants

FEDERAL AGENCY:

Rural Utilities Service, Department of Agriculture

AUTHORIZATION:

Consolidated Farm and Rural Development Act, as amended, Sections 306(a)(16)(A), Public Law 99-198, 7 U.S.C. 1926(a).

OBJECTIVES:

To identify and evaluate solutions to water and waste disposal problems in rural areas; to assist applicants in preparing applications made in accordance with 7 CFR 1780; to improve operation and maintenance of water and waste disposal facilities in rural areas.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds may be used to pay expenses associated with providing technical assistance and/or training (TAT) to identify and evaluate solutions to water problems relating to source, storage, treatment, and distribution, and to waste disposal problems relating to collection, treatment, and disposal; assist applicants that have filed a preapplication with RUS in the preparation of water and/or waste disposal loan and/or grant applications; and to provide training that will improve the management, operation and maintenance of water and waste disposal facilities. Grant funds may not be used to recruit applications, duplicate current services such as those performed by a consultant in developing a project, fund political activities, pay for capital assets, purchase real estate or vehicles, improve and renovate office space or repair and maintain privately owned property, pay construction or O&M costs, and pay costs incurred prior to the effective date of grants made.

Applicant Eligibility:

Eligible entities must be private nonprofit organizations. Applicants must have proven ability, background, experience, legal authority and actual capacity to provide technical assistance and/or training on a regional basis to associations.

Beneficiary Eligibility:

Entities that may be eligible for water and waste disposal loans and grants (10.760) such as municipalities, counties, districts, authorities, and other political subdivisions of a State, organizations operated on a not-for-profit basis, such as associations, cooperatives, or private corporations, Indian tribes on Federal and State reservations and other federally recognized Indian tribes.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Standard application forms as furnished by RUS must be used for this program. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. OMB Circular A-110 applies to this program. This program is subject to the provisions of OMB Circular A-122. An Application for Federal Assistance, Form SF 424, will be filed with the appropriate Rural Development (RD) State Office or the Rural Utilities Service (RUS) National Office between October 1 and December 31 each fiscal year.

Award Procedure:

Applications received by an RD State Office will be reviewed and forwarded with any written comments within seven working days to the RUS approval official.

Deadlines:

Oct 01, 2011 to Dec 31, 2011

Range of Approval/Disapproval Time:

From 30 to 60 days. Applicants normally will be notified of funding eligibility within 45 days after December 31. The RD State Office or RUS National Office will provide instructions and additional forms to eligible applicants to continue processing the application for approval.

Appeals:

If an application is rejected, the reasons for rejection are fully stated. The applicant may request a review of this decision with the National Appeals

Division of USDA in accordance with USDA regulations at 7 CFR 11.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

A time limitation is not specified, however, priority will be given to applicants whose time frame for completion of the technical assistance and/or training grant project is 12 months or less. Method of awarding/releasing assistance: quarterly.

Reports:

All grantees will submit quarterly reports to the RUS National Office through the appropriate RD State Office. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the grant must be retained for a period of at least three years after closing.

Account Identification:

12-0400-0-1-452.

Obligations:

(Project Grants) FY 12 \$19,073,734; FY 13 est \$17,550,048; and FY 14 est \$9,120,000

Range and Average of Financial Assistance:

\$14,200 to \$10,000,000. Average: \$953,687.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR, Part 1775, Technical Assistance and Training Grants.

Regional or Local Office:

See Regional Agency Offices. Consult your local telephone directory for RD district office number. If no listing, get in touch with the appropriate RD State Office listed in Appendix IV of the Catalog.

Headquarters Office:

Assistant Administrator, Water and Environmental Programs, Rural Utilities Service, 1400 Independence Ave., SW, Stop 1548, Washington, District of Columbia 20250 Phone: (202) 690-2670.

Website Address:

http://www.rurdev.usda.gov/UWEP_HomePage.html

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Assistance will be directed to those applicants who: (1) have demonstrated ability to provide technical assistance and/or training to rural associations; (2) propose to serve multi-state, regional, or nationwide areas; (3) maximize use of grant funds for direct staffing of activities that are delivered to the associations; and (4) the population of the associations served have low income.

10.762 SOLID WASTE MANAGEMENT GRANTS

FEDERAL AGENCY:

Rural Utilities Service, Department of Agriculture

AUTHORIZATION:

Consolidated Farm and Rural Development Act, as amended, Section 310B, Public Law 101-624, 7 U.S.C. 1932.

OBJECTIVES:

To reduce or eliminate pollution of water resources and improve planning and management of solid waste disposal facilities in rural areas.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds may be used to: Evaluate current landfill conditions to determine threats to water resources in rural areas; provide technical assistance and/or training to enhance operator skills in the maintenance and operation of active landfills in rural areas; provide technical assistance and/or training to help associations reduce the solid waste stream; and provide technical assistance and/or training for operators of landfills in rural areas which are closed or will be closed in the near future with the development/implementation of closure plans, future land use plans, safety and maintenance planning, and closure scheduling within permit requirements. Grant funds may not be used to: Recruit preapplications/applications for any loan and/or grant program including RUS Water and Waste Disposal Loan and/or Grant Program; duplication of current services, replacement or substitution of support previously provided such as those performed by an association's consultant in developing a project; fund political activities; pay for capital assets, the purchase of real estate or vehicles, improve and renovate office space, or repair and maintain privately-owned property; pay for construction or operation and maintenance costs of water and waste facilities; and pay costs incurred prior to the effective date of grants made under this subpart.

Applicant Eligibility:

Entities eligible for grants are nonprofit organizations, including: Private nonprofit organizations that have been granted tax exempt status by the Internal Revenue Service (IRS); and public bodies including local governmental-based multi-jurisdictional organizations. Applicants must have the proven ability, background, experience, legal authority, and actual capacity to provide technical assistance and/or training on a regional basis to eligible beneficiaries.

Beneficiary Eligibility:

Municipalities, counties, districts, authorities, and other political subdivisions of a State, organizations operated on a not-for-profit basis, such as associations, cooperatives, and private nonprofit corporations, Indian tribes on Federal and State reservations and other federally recognized Indian tribes.

Credentials/Documentation:

This program is subject to the provisions of OMB Circular Nos. A-87, and A-122. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. This program is subject to the provisions of OMB Circular Nos. A-87, A-102, A-110, and A-122. Preapplication Form SF 424.1, will be filed with the appropriate Rural Development (RD), formerly FMHA, State or Rural Utilities Service (RUS) National Office between October 1 and December 31 each fiscal year.

Award Procedure:

Preapplications received by an RD State Office will be reviewed and forwarded with any written comments within seven working days to the RUS approval official.

Deadlines:

Oct 01, 2010 to Dec 31, 2010 Preapplications must be filed between October 1 and December 31 of each fiscal year in which funds are appropriated.

Range of Approval/Disapproval Time:

Form AD-622, "Notice of Preapplication Review Action," will normally be issued within 45 days after December 31 of each year. SF-424.1, "Application for Federal Assistance (for Non-Construction)," will be submitted by the applicant upon notification of funding eligibility.

Appeals:

If an application is rejected, the reasons for rejection are fully stated. The applicant may request a review of this decision with the National Appeals Division of USDA.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

A time limitation is not specified, however, priority will be given to applicants whose time frame for completion of the grant project is 12 months or less. See the following for information on how assistance is awarded/released: Upon request.

Reports:

All grantees will submit quarterly reports to the RUS approval official. Cash reports are not applicable. All grantees will submit quarterly reports to the RUS approval official. All grantees will submit quarterly reports to the RUS approval official. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the grant must be retained for a period of at least three years after closing.

Account Identification:

12-0400-0-1-452.

Obligations:

(Project Grants) FY 12 \$3,400,000; FY 13 est \$3,140,534; and FY 14 est \$4,000,000

Range and Average of Financial Assistance:

\$15,094 to \$239,224. Average: \$100,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR 1775, Technical Assistance and Training Grants.

Regional or Local Office:

See Regional Agency Offices. Consult your local telephone directory for RD District Office number. If no listing, get in touch with the appropriate RD State Office listed in Appendix IV of the Catalog.

Headquarters Office:

Assistant Administrator, Water and Environmental Programs Rural Utilities Service, 1400 Independence Ave., SW, Stop 1548, Washington, District of Columbia 20250 Phone: (202) 690-2670.

Website Address:

http://www.rurdev.usda.gov/UWEP_HomePage.html

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Grant funds will be directed to those applicants who: (1) Have demonstrated ability to provide technical assistance on a regional basis to rural communities; (2) propose to assist small, low income communities; (3) propose to serve multi-State, regional or nationwide areas; (4) the extent to which the proposed project is cost effective; and (5) meet the objectives of RUS Water and Waste Disposal Program.

**10.763 EMERGENCY COMMUNITY WATER ASSISTANCE GRANTS
FEDERAL AGENCY:**

Rural Utilities Service, Department of Agriculture

AUTHORIZATION:

Consolidated Farm and Rural Development Act, Section 306A; Food, Agriculture, Conservation, and Trade Act of 1990, Title XXIII, Public Law 101-624.

OBJECTIVES:

Through the Emergency Community Water Assistance Grant Program, the Rural Utilities Service (RUS) is authorized to help rural residents who have experienced a significant decline in quantity or quality of water to obtain adequate quantities of water that meet the standards of the Safe Drinking Water Act.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grants may be used for existing systems:

1. To alleviate a significant decline in the available quantity or quality of water by constructing new wells, reservoirs, transmission lines, treatment plants, and other sources of water;
2. To extend or repair distribution waterlines, repair breaks, and pay for operation and maintenance items that remedy an acute shortage or significant decline in the quality or quantity of portable water;
3. To assist rural water systems comply with the requirements of the Federal Water Pollution Control Act or the Safe Drinking Water Act when noncompliance is related directly to a recent decline in quality of potable water.

Grants made to alleviate a significant decline in quantity or quality of water available from the water supplies in rural areas that occurred within two years of filing an application with USDA cannot exceed \$500,000. Grants for repairs, partial replacement, or significant maintenance on an established system cannot exceed \$150,000. Grants provided under this program shall not be used to assist a rural area or community with a population in excess of 10,000; to assist a rural area that has a median household income in excess of the statewide nonmetropolitan median household income according to the most recent decennial census of the U.S.; to finance facilities which are not modest in size, design, and cost; to pay loan or grant finder's fees; to pay any annual recurring costs considered to be operational expenses; to pay rental for the use of equipment or machinery owned by the rural community; to purchase existing systems; to refinance existing indebtedness; and to make reimbursement for projects developed with other grant funds.

Applicant Eligibility:

Eligible entities must be public bodies, private nonprofit corporations, and political subdivisions of a State, as well as Indian tribes.

Beneficiary Eligibility:

Eligible beneficiaries include (1) Public bodies or governmental entities such as municipalities, counties, districts, authorities, and other political subdivisions of a State, (2) nonprofit organizations such as associations, cooperatives, and private nonprofit corporations, (3) Native American Indian tribes on Federal and State reservations and other federally recognized Indian tribes. Projects must serve rural areas, excluding any city or town having a population greater

than 10,000.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments and OMB Circular No. A-122 for nonprofit organizations. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Rural Development (RD) State Director will administer the program on the local level. This program is subject to the provisions of 7 CFR Parts 3015 and 3016. Application Form SF-424 will be filed with the appropriate RD District office.

Award Procedure:

Applications received will be reviewed and scored for funding priority by the RD State office. The RD State Director will request funds from the National office. Projects must compete on a national basis for available funds.

Deadlines:

Nov 01, 2011: All funding requests will be reviewed by the National office after November 1 of each year and continue until June 30 of each year. All funding requests will be reviewed by the National office after November 1 of each year and continue until June 30 of each year.

Range of Approval/Disapproval Time:

From 30 to 60 days. Within 60 days of receipt of complete application.

Appeals:

From 30 to 60 days. If an application is rejected, the reasons for rejection are fully stated. The applicant may request a review of this decision at the higher management level of RUS.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Not applicable. See the following for information on how assistance is awarded/released: Advances and reimbursements will be disbursed over the term of the grant on request of the grantee.

Reports:

The grantee shall maintain adequate records and accounts to assure that grant funds are used for authorized purposes. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

The grantee shall maintain adequate records and accounts to assure that grant funds are used for authorized purposes.

Account Identification:

12-0400-0-1-452.

Obligations:

(Project Grants) FY 12 \$3,137,000; FY 13 est \$12,000,000; and FY 14 est \$0

Range and Average of Financial Assistance:

\$37,000 to \$500,000. Average: \$261,417.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 1778.

Regional or Local Office:

See Regional Agency Offices. Consult your local telephone directory under United States Government, Department of Agriculture for Rural Development District Office number. If no listing, contact appropriate Rural Development State office listed in Appendix IV of the Catalog.

Headquarters Office:

Assistant Administrator, Water and Environmental Programs, Rural Utilities Service, 1400 Independence Ave., SW, Stop 1548, Washington, District of Columbia 20250 Phone: (202) 690-2670.

Website Address:

http://www.rurdev.usda.gov/UWEP_HomePage.html

RELATED PROGRAMS:

10.760 Water and Waste Disposal Systems for Rural Communities; 10.766 Community Facilities Loans and Grants

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The proposed projects designed to serve a rural area with a population of 5,000 or less that has a median household income of not more than 70 percent of the statewide nonmetropolitan household income and had a significant decline in quantity of water available from private individually owned wells will be given priority. Preference also will be given to proposed projects that will assist an established water system or remedy an acute shortage of quality water. The Rural Development State Director will rate applications on a point system and points received will be considered in selecting projects for funding.

10.766 COMMUNITY FACILITIES LOANS AND GRANTS

FEDERAL AGENCY:

Rural Housing Service, Department of Agriculture

AUTHORIZATION:

Consolidated Farm and Rural Development Act, as amended, Section 306, Public Law 92-419, 7 USC 1926, Executive Order Consolidated and Further Continuing Appropriations Act, 2013, Public Law 113-6.

OBJECTIVES:

To construct, enlarge, extend, or otherwise improve community facilities providing essential services to rural residents.

TYPES OF ASSISTANCE:

DIRECT LOANS; GUARANTEED/INSURED LOANS; PROJECT GRANTS

USES AND USE RESTRICTIONS:

Community facilities include, but are not limited to, those providing or supporting overall community development such as hospitals, fire stations, child care facilities, food recovery and distribution centers; assisted-living facilities; group homes, mental health clinics, and shelters; and education facilities. Projects comprise community, social, health care, education, cultural, transportation, industrial park sites, fire and rescue services, access ways, and utility extensions. All facilities financed in whole or in part with RHS funds shall be for public use. Applicant Eligibility: City, county, and State agencies; political and quasi-political subdivisions of States and associations, including corporations, Indian tribes on Federal and State reservations and other federally recognized Indian tribes; and existing private corporations which: (1) are operated on a not-for-profit basis; (2) have or will have the legal authority necessary for constructing, operating, and maintaining the proposed facility or service and for obtaining, giving security for, and repaying the loan; and (3) are unable to finance the proposed project from its own resources or through

commercial credit at reasonable rates and terms. Assistance is authorized for eligible applicants in rural areas of the States, Puerto Rico, the Virgin Islands, Guam, American Samoa, the commonwealth of the Northern Mariana Islands, the Marshall Islands, the Republic of Palaw, and the Federated States of Micronesia. Direct loans - Poverty, intermediate, and market interest rate change quarterly. Repayment term is the lesser of 40 years or life of security. Guaranteed terms negotiated with lender with maximum term for guarantee being the lesser of 40 years or life of security.

Applicant Eligibility:

City, county, and State agencies; political and quasi-political subdivisions of States and associations, including corporations, Indian tribes on Federal and State reservations and other federally recognized Indian tribes; and existing private corporations which: (1) are operated on a not-for-profit basis; (2) have or will have the legal authority necessary for constructing, operating, and maintaining the proposed facility or service and for obtaining, giving security for, and repaying the loan; and (3) are unable to finance the proposed project from its own resources or through commercial credit at reasonable rates and terms. Assistance is authorized for eligible applicants in rural areas of the States, Puerto Rico, the Virgin Islands, Guam, American Samoa, the commonwealth of the Northern Mariana Islands, the Marshall Islands, the Republic of Palaw, and the Federated States of Micronesia.

Beneficiary Eligibility:

Loans for essential community facilities are made to eligible entities who provide essential community services to the population living within the service area of the facility or being served by the facility. Beneficiaries include farmers, ranchers, rural residents, rural businesses, and other users of such public facilities in eligible applicant areas as set out above.

Credentials/Documentation:

Evidence of legal capacity and financial responsibility of the applicants and the economic feasibility of the project relative to the activity for which assistance is requested. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

The standard application forms as provided by the Federal agency and required by OMB Circular No. A-102 must be used for this program. An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires in applying for assistance if the State has selected the project for review. An environmental impact assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. For direct loans and grants, applicants must file Standard Form SF-424 and supporting documentation at the local Rural Development Area Office. For guaranteed loans, the lender must file Form RD 3575-1 and supporting documentation.

Award Procedure:

After the preapplication has been reviewed by the Rural Development Area Office, it is forwarded to the Rural Development State Office for review and processing instructions. Following review by the State Office, the applicant is notified about eligibility, availability of funds, and if an application should be filed. Upon completion of application processing requirements and approval by the State Office, funds are made available to the Rural Development Area Office for delivery.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 30 to 90 days after a complete application is received.

Appeals:

If an application is rejected, the reasons for rejection are fully stated. The

notification will include a statement for appeal or review of the adverse decision. The applicant may request an appeal or review of the decision.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Matching funds are not required but may be used in connection with the funds provided from the applicant or other sources. Funds are allocated to States based upon rural population, number of households below the poverty level, and rural unemployment. The statistical factor for eligibility is cities, towns or incorporated areas under 20,000 population for direct and guaranteed loans and 20,000 population for grants. The source is "Latest Decennial Census." Grants are limited to a maximum of 75 percent of project cost.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

A time limitation is not specified for the use of Community Facilities loan or grant funds. Funds will be awarded when all program requirements are met and the project can be completed on a timely basis. Funds may be advanced on an as needed basis by RHS to cover expenses for a 30-day period. See the following for information on how assistance is awarded/released: See Above.

Reports:

Periodic reports are made to Rural Development. Each borrower will monitor and report to RHS on actual performance during the construction of each project financed, or to be financed, in whole or in part with Community Facilities loan funds. No cash reports are required. No progress reports are required. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Periodic audits should be made as part of the recipient's system of financial management and internal control to meet terms and conditions of loans and other agreements.

Records:

The borrower must maintain adequate records and accounts of the operation of the facility developed.

Account Identification:

12-4155-0-3-452; 12-1951-0-1-452.

Obligations:

(Direct Loans) FY 12 \$1,296,018,696; FY 13 est \$1,500,000,000; and FY 14 est \$1,500,000,000. (Guaranteed/Insured Loans) FY 12 \$201,949,948; FY 13 est \$102,337,000; and FY 14 est \$0. (Project Grants) FY 12 \$11,144,205; FY 13 est \$14,000,000; and FY 14 est \$13,000,000

Range and Average of Financial Assistance:

Direct Loans: \$3,500 to \$55,000,000. Average: \$2,335,000. Guaranteed Loans: \$108,000 to \$27,000,000. Average: \$2,220,905. Grants: \$275-\$50,000. Average \$29,825.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Community Facilities primarily finances health care, public safety and educational facilities. In FY 2012 servicing 10,393,964 rural residents through the financing of these types of new and improved community facilities or 17.8% of the rural population. Fiscal Year 2013: Community Facilities primarily finances health care, public safety and educational facilities.

We anticipate in FY 2013 servicing 6,541,573 rural residents through the financing of these types of new and improved community facilities or 11% of the rural population. Fiscal Year 2014: Community Facilities primarily finances health care, public safety and educational facilities. We anticipate in FY 2014 servicing 8,001,746 rural residents through the financing of these types of new and improved community facilities or 14% of the rural population.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR 1942, subpart A, Community Facilities Loans; 7 CFR 1942, subpart C, Fire and Rescue Loans; 7 CFR 3575, subpart A, Community Facilities Guaranteed Loans; 7 CFR 3570, subpart B, Community Facilities Grants.

Regional or Local Office:

See Regional Agency Offices. Consult your local telephone directory under U.S. Department of Agriculture for Rural Development county or Area office numbers or visit the website <http://offices.sc.egov.usda.gov/locator/app> for a State Office listing.

Headquarters Office:

Deputy Administrator, Community Programs, Department of Agriculture, Stop 0788, 1400 Independence Avenue, SW, Washington, District of Columbia 20250-0788 Phone: (202) 720-1490.

Website Address:

<http://www.rurdev.usda.gov>.

RELATED PROGRAMS:

10.500 Cooperative Extension Service; 10.664 Cooperative Forestry Assistance; 15.124 Indian Loans_Economic Development

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Projects are selected for funding giving due consideration to State development strategies, serving the largest number of low income rural residents, and priority recommendations. Priority for funding will be given to those projects that will enhance public safety such as fire, police, rescue, and ambulance services, and projects for health care facilities.

10.767 INTERMEDIARY RELENDING PROGRAM

IRP

FEDERAL AGENCY:

Rural Business-Cooperative Service, Department of Agriculture

AUTHORIZATION:

Health and Human Services Act of 1986, Section 407, 7 U.S.C 1932.

OBJECTIVES:

To finance business facilities and community development.

TYPES OF ASSISTANCE:

DIRECT LOANS

USES AND USE RESTRICTIONS:

An entity that receives an Intermediary Relending Program (IRP) loan from the Rural Business-Cooperative Service (RBS) is referred to as an intermediary. Intermediaries must relend all of the loan funds received from the IRP loan for business facilities or community development in rural areas. An entity that receives a loan from an intermediary is referred to as an ultimate recipient. The maximum loan to any one intermediary is \$2 million. The maximum term is 30 years and the interest rate is one percent per annum. Intermediaries may not use IRP funds to finance more than 75 percent of the cost of an ultimate recipient's project or for a loan of more than \$250,000 to one ultimate recipient. (No more than 25 percent of an IRP loan approved may be used for loans to ultimate recipients that exceed \$150,000.)

Applicant Eligibility:

Eligible intermediaries may include: Private nonprofit organizations, State or local governments, and Federally recognized Indian tribes and cooperatives.

Beneficiary Eligibility:

Ultimate recipients may include: For profit organizations, individuals, public and private nonprofit organizations.

Credentials/Documentation:

Intermediaries must have adequate legal authority and a proven record of successfully assisting rural businesses and industries. Ultimate recipients must not be located within a city with a population of 25,000 or more. Both intermediaries and ultimate recipients must be unable to obtain the loan at reasonable rates and terms through commercial credit or other Federal, State, or local programs. This program is excluded from coverage under OMB Circular

No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Potential intermediaries should file applications with the State office for the State in which the intermediary's headquarters is located. The application package includes Form 4274-1 and a written work plan. More details are available in 7 CFR 4274.343, or from the appropriate Rural Development State Office. The Rural Development administers the program on the local level. Intermediaries develop their own application procedures for ultimate recipients.

Award Procedure:

The application of each intermediary will be evaluated by the RD State Office. Applications received by RBS will be reviewed and ranked quarterly and funded in the order of priority ranking.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Adverse actions by RBS in connection with this program may be appealed by contacting the Area Supervisor of the USDA National Appeals Division. Appeals will be handled in accordance with 7 CFR 1900-B.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Applicant intermediaries must show a need for the funds and limit the request to an amount they can expect to use within one year. After a loan is approved, the funds are released to the intermediary in multiple advances as required to fund loans to ultimate recipients. Method of awarding/releasing assistance: quarterly.

Reports:

Intermediaries must submit quarterly reports on lending activity, income and expenses, financial condition and progress, and an annual budget. No cash reports are required. Intermediaries must submit quarterly reports on lending activity, income and expenses, financial condition and progress, and an annual budget. Intermediaries must submit quarterly reports on lending activity, income and expenses, financial condition and progress, and an annual budget. Intermediaries must submit quarterly reports on lending activity, income and expenses, financial condition and progress, and an annual budget.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records and accounts must be maintained to reflect the operations of each project.

Account Identification:

12-4233-0-3-452; 12-2069-0-1-452.

Obligations:

(Direct Loans) FY 12 \$17,584,563; FY 13 est \$17,420,358; and FY 14 Estimate Not Available

Range and Average of Financial Assistance:

This program has no statutory formula. Matching requirements are not applicable to this program. However, Intermediaries may not use IRP funds to finance more than 75 percent of the cost of an ultimate recipient's project MOE requirements are not applicable to this program.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR 4274, Subpart D; 7 CFR 1951, Subpart R.

Regional or Local Office:

See Regional Agency Offices. RBS State Office listed in Appendix IV of the Catalog.

Headquarters Office:

Lori Washington 1400 Independence Avenue, SW Room 4204
Mailstop 3226, Washington, District of Columbia 20250 Email:
Lori.Washington@wdc.usda.gov Phone: 202-720-1400

Website Address:

<http://www.rurdev.usda.gov>

RELATED PROGRAMS:

10.769 Rural Business Enterprise Grants

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Factors considered in judging applications include: Financial condition, assurance of repayment ability, equity, collateral, experience and record of managing a loan program or providing other assistance to rural businesses, ability to leverage with funds from other sources, extent assistance would flow to low income persons.

10.768 BUSINESS AND INDUSTRY LOANS

B&I Guaranteed Loan Program

FEDERAL AGENCY:

Rural Business-Cooperative Service, Department of Agriculture

AUTHORIZATION:

Consolidated Farm and Rural Development Act, Section 310B, 7 U.S.C 1932.

OBJECTIVES:

The purpose of the B&I Guaranteed Loan Program is to improve, develop, or finance business, industry, and employment and improve the economic and environmental climate in rural communities.

TYPES OF ASSISTANCE:

GUARANTEED/INSURED LOANS

USES AND USE RESTRICTIONS:

The program guarantees lenders loans for real estate, machinery and equipment, working capital, and debt refinancing. Assistance is limited to rural areas. Some loan purposes such as golf courses, gambling, and agricultural production are restricted or limited. Guaranteed loans are the only type of assistance under this program. For FY2013 the maximum guarantee fee was increased to 3%. Assistance is limited to rural areas. Some loan purposes such as golf courses, gambling, and agricultural production are restricted or limited. Guaranteed loan funds in the amount of 5 percent of the funds will be available each year until Management changes. These funds will be set aside for the locally or regionally produced agricultural food products initiative. Guaranteed loans are the only type of assistance under this program. For FY2013 the annual guarantee fee was increased from 1% to 3%.

Applicant Eligibility:

A borrower may be a cooperative organization, corporation, partnership, or other legal entity organized and operated on a profit or nonprofit basis; an Indian tribe on a Federal or State reservation or other Federally recognized tribal group; a public body; or an individual.

Beneficiary Eligibility:

N/A.

Credentials/Documentation:

A complete application containing such items as credit reports, financial statements, and appraisals are required as part of the application. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. An environmental impact assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Complete the requirements of RD Instruction 4279-B, section 4279.161(b).

Award Procedure:

Applications are funded on a first-come first served basis according to priority points procedures outlined in RD Instruction 4279-B, section 4279.155.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Applications typically take 60 days to approve.

Appeals:

Denial for program assistance is appealable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: 7 CFR Parts 4279 and 4287.

This program has no matching requirements.

This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

Assistance is available each fiscal year until September 30 or until expended, whichever occurs first. See the following for information on how assistance is awarded/released: Lenders loans are guaranteed so funds are not really released.

Reports:

Guaranteed borrowers and guarantors are required to submit financial statements annually. No cash reports are required. No progress reports are required. Yes, but as part of the overall financial reporting requirement. Not duplication. The Agency monitors the number of jobs created and/or saved by the borrower.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

The lender is required to maintain a complete loan file no differently than it would keep for a borrower without a guarantee. This includes annual financial statements, correspondence, servicing actions, etc. The file may be inspected at the Agency's request.

Account Identification:

12-0400-0-1-452; 12-4155-0-3-452.

Obligations:

(Guaranteed/Insured Loans) FY 12 \$1,052,955,496; FY 13 est \$958,000,000; and FY 14 est \$740,730,000

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

RD Instruction 4279-A, 4279-B, and 4287-B.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

B&I Processing Branch, 1400 Independence Ave SW, Stop 3224, Washington, District of Columbia 20250-3224 Phone: (202) 690-4103.

Website Address:

<http://www.rurdev.usda.gov>.

RELATED PROGRAMS:

10.766 Community Facilities Loans and Grants; 10.769 Rural Business Enterprise Grants

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Existing businesses must have 10 percent tangible balance sheet equity and new business must have 20 percent tangible balance sheet equity.

10.769 RURAL BUSINESS ENTERPRISE GRANTS

(RBEG)

FEDERAL AGENCY:

Rural Business-Cooperative Service, Department of Agriculture

AUTHORIZATION:

Consolidated Farm and Rural Development Act, Section 310B, 7 U.S.C 1989.

OBJECTIVES:

To facilitate the development of small and emerging private business, industry, and related employment for improving the economy in rural communities.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Rural business enterprise grant (RBEG) funds may be used to create, expand or operate rural distance learning networks or programs that provide educational or job training instruction related to potential employment or job advancement to adult students; develop, construct or acquisition land, buildings, plants, equipment, access streets and roads, parking areas, utility extensions, necessary water supply and waste disposal facilities; refinancing; services and fees; and to establish a revolving loan fund. Television demonstration grant (TDG) funds may be used for television programming to demonstrate the effectiveness of providing information on agriculture and other issues of importance to farmers and other rural residents. All uses must assist a small and emerging private business enterprise except for the TDG Program. All uses must assist a small and emerging private business enterprise except for the TDG Program.

Applicant Eligibility:

Applicants eligible for RBE grants are public bodies and nonprofit corporations serving rural areas such as States, counties, cities, townships, and incorporated towns and villages, boroughs, authorities, districts and Indian tribes on Federal and State reservations which will serve rural areas. Applicants eligible for TD grants are statewide, private, nonprofit, public television systems whose coverage is predominantly rural. Rural area for this program is defined as a city, town, or unincorporated area that has a population of 50,000 inhabitants or less, other than an urbanized area immediately adjacent to a city, town, or

unincorporated area that has a population in excess of 50,000 inhabitants.

Beneficiary Eligibility:

A small and emerging private business enterprise which will employ 50 or less new employees and has less than \$1.0 million in projected gross revenue. Public bodies, private non-profit corporations, and Federally recognized Indian tribes receive the grant to assist a business. Grants are not made directly to the business.

Credentials/Documentation:

Evidence of legal capacity, economic feasibility and financial responsibility relative to the activity for which assistance is requested. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact statement is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Preapplication Form SF-424 is filed at the Rural Development local office. The standard application forms as furnished by the Federal agency and required by OMB Circular Nos. A-110 and A-102 must be used for this program.

Award Procedure:

After the preapplication has been reviewed by the RD local office, it is forwarded to the RD State Office for review and processing instructions. Following approval by the State Office, funds are made available to the local office for final delivery. Notification of awards must be made to the designated State Central Information Reception Agency.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

30 to 90 days.

Appeals:

Adverse actions by RBS in connection with this program may be appealed by contacting the Area Supervisor of the USDA National Appeals Division. Appeals will be handled in accordance with 7 CFR 1900-B and 7 CFR part 11.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. This program has no statutory formula or specific matching requirements. Funds are allocated to States on rural population and percent of nonmetropolitan per capita income. On occasion, the allocation to States may not be practical due to funding or administrative constraints. In these cases, funds will be controlled by the National Office.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Generally, 90 120 days, subject to the availability of funding. See the following for information on how assistance is awarded/released: Grant funds are disbursed in multiple draws, generally on a reimbursement basis upon the written request of the grantee.

Reports:

Periodic reports are made to RD. Cash reports are not applicable. Intermediaries must submit quarterly reports on lending activity, income and expenses, financial condition and progress, and an annual budget. Intermediaries must submit quarterly reports on lending activity, income and expenses, financial condition and progress, and an annual budget. Intermediaries must submit quarterly reports on lending activity, income and expenses, financial condition and progress, and an annual budget.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

The grantee shall maintain adequate records and accounts to assure that grant funds are used for authorized purposes.

Account Identification:

12-0400-0-1-452.

Obligations:

(Project Grants) FY 12 \$25,503,182; FY 13 est \$22,878,661; and FY 14 Estimate Not Available

Range and Average of Financial Assistance:

\$25,000 to \$500,000. Average is less than \$100,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR 1942, Subpart G.

Regional or Local Office:

See Regional Agency Offices. Consult your telephone directory for RD local office number. If no listing, get in touch with appropriate Rural Development State Office listed in Appendix IV of the Catalog.

Headquarters Office:

Director, Specialty Programs Division, 1400 Independence Ave SW, Rm 6870, mail stop 4204, Washington, District of Columbia 20250-3222 Phone: (202) 720-1400.

Website Address:

<http://www.rurdev.usda.gov>.

RELATED PROGRAMS:

10.766 Community Facilities Loans and Grants; 10.768 Business and Industry Loans

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Projects selected for funding should, as much as practical, adhere to the following priorities: (1) Projects which will be located in communities having a large portion of their population with low incomes; (2) projects which will save existing jobs; (3) projects which will create jobs; and (4) projects located in areas with high unemployment rate.

10.770 WATER AND WASTE DISPOSAL LOANS AND GRANTS (SECTION 306C)**FEDERAL AGENCY:**

Rural Utilities Service, Department of Agriculture

AUTHORIZATION:

Consolidated Farm and Rural Development Act, Section 306C, 7 U.S.C. 1926(c), as amended; Food, Agriculture, Conservation, and Trade Act of 1990, Title XXIII, Public Law 101-624.

OBJECTIVES:

Provide water and waste disposal facilities and services to low income rural communities whose residents face significant health risks.

TYPES OF ASSISTANCE:

DIRECT LOANS; PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds may be used for 100 percent of costs to: Construct, enlarge, extend, or

otherwise improve a community water or waste system; extend service lines and connect individual residences to a system. Allow applicant to make grants directly to individuals to: Extend service lines, connect resident's plumbing to system, pay reasonable charges and fees for connecting to system, installation of plumbing and related fixtures, and construction in dwelling of a bathroom.

Applicant Eligibility:

Local level governments, federally recognized Indian tribes, U.S. Territories and possessions, and nonprofit associations can receive assistance under this program. Except for rural areas known as "Colonia" along the U.S./Mexico border, the projects funded under this program must primarily provide water and/or waste disposal services to residents of a county where the per capita income of the residents is not more than 70 percent of the most recent national average per capita income, as determined by the U.S. Department of Commerce, and unemployment rate of the residents is not less than 125 percent of the most recent national average unemployment rate, as determined by the Bureau of Labor Statistics. Also the residents must face significant health risks due to not having access to an affordable community water and/or waste disposal system.

Beneficiary Eligibility:

Public bodies, private nonprofit corporations, cooperatives, political subdivisions of a State, and Indian tribes.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Applicants are required to file a application Form SF-424 with the appropriate Rural Development (RD) Office.

Award Procedure:

Applications will be reviewed and scored for funding priority by the RD State Office. Applications must compete on a national basis for available funds.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. From 60 to 90 days.

Appeals:

Applicants that are determined to be not eligible may request review of this decision at a higher management level of Rural Development Utilities Programs.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Not Applicable. See the following for information on how assistance is awarded/released: Requests for funds are granted as needed.

Reports:

Required to maintain records and accounts to assure funds are used for authorized purposes. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Required to maintain records and accounts to assure funds are used for authorized purposes.

Account Identification:

12-0400-0-1-452.

Obligations:

(Direct Loans) FY 12 \$0; FY 13 est \$0; and FY 14 est \$0 - Only grant funds for Colonias have been appropriated for this program. (Project Grants (Discretionary)) FY 12 \$24,927,620; FY 13 est \$24,480,490; and FY 14 est \$21,258,000 - Colonias Grants. (Project Grants (Discretionary)) FY 12 \$15,863,293; FY 13 est \$15,279,800; and FY 14 est \$13,398,000 - American Tribe Grants.

Range and Average of Financial Assistance:

(Colonias Grants) FY11 \$250,000 to \$4,764,499 Average: \$1,481,732.

(Native American Tribe Grants) FY11 \$203,000 to \$5,429,293 Average: \$1,057,553.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR 1777.

Regional or Local Office:

See Regional Agency Offices. Consult local telephone directory under United States Government, Department of Agriculture, for Rural Development office number. If no listing contact appropriate Rural Development State Office listed in Appendix IV of the Catalog.

Headquarters Office:

Assistant Administrator, Water and Environmental Programs, Department of Agriculture, Rural Utilities Service, 1400 Independence Ave., SW, Stop 1548, Washington, District of Columbia 20250 Phone: (202) 690-2670.

Website Address:

http://www.rurdev.usda.gov/UWEP_HomePage.html

RELATED PROGRAMS:

10.760 Water and Waste Disposal Systems for Rural Communities

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

A proposed project servicing a rural area with a population not in excess of 1,500, a median household income not in excess of 50 percent of the statewide no metropolitan median household income and servicing residents of a Colonia along the U.S./Mexico border will be given priority. The Rural Development State Director will rate applications on a point system and points received will be considered in selecting projects for funding. Fiscal year 2000 funding is limited to the Colonias.

10.771 RURAL COOPERATIVE DEVELOPMENT GRANTS (RCDG)**FEDERAL AGENCY:**

Rural Business-Cooperative Service, Department of Agriculture

AUTHORIZATION:

The RCDG program is authorized under section 310B (e) of the Consolidated Farm and Rural Development Act (7 U.S.C. 1932 (e)).

OBJECTIVES:

The primary objective of the RCDG program is to improve the economic condition of rural areas by assisting individuals or entities in the startup, expansion or operational improvement of rural businesses, especially cooperative or mutually-owned businesses. The grant program will be used to facilitate the creation or retention of jobs in rural areas through the development of new rural cooperatives, value-added processing, and rural businesses.

TYPES OF ASSISTANCE:**PROJECT GRANTS****USES AND USE RESTRICTIONS:**

Project funds, including grant and matching funds must be used for eligible activities and benefit a rural area. Grant funds may be used to pay for 75 percent (95 percent when the applicant is a 1994 Institution) of the cost of establishing and operating centers for rural cooperative and business development. Eligible activities include applied research, feasibility, environmental, and other studies; collection, interpretation, and dissemination of principles, facts, technical knowledge, or other useful information; training and instruction; loans and grants, technical assistance or advisory services to individuals, small businesses, cooperatives, or other similar entities in rural areas; and for programs providing for the coordination of services and sharing of information among Rural Cooperative Development Centers.

The Small Socially Disadvantaged Producer Grant program is a set-aside of the RCDG program. Project funds, including grant and matching funds must be used for eligible activities and benefit a rural area. Grant funds may be used to pay for 75 percent (95 percent when the applicant is a 1994 Institution) of the cost of establishing and operating centers for rural cooperative and business development. Eligible activities include applied research, feasibility, environmental, and other studies; collection, interpretation, and dissemination of principles, facts, technical knowledge, or other useful information; training and instruction; loans and grants, technical assistance or advisory services to individuals, small businesses, cooperatives, or other similar entities in rural areas; and for programs providing for the coordination of services and sharing of information among Rural Cooperative Development Centers.

The Small Socially Disadvantaged Producer Grant program is a set-aside of the RCDG program.

Applicant Eligibility:

Eligible applicants are nonprofit corporations and institutions of higher education. Grants may not be made to public bodies or individuals.

Beneficiary Eligibility:

They must be located in a rural area as defined at section 343(a)(13) of the CONACT (7 U.S.C. 1991(a)).

Credentials/Documentation:

Evidence of legal capacity as well as an appropriate financial management system to operate a Center. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. An annual Federal Register notice is published identifying the total available funds for competition and clarifications or additions to the program. The 7 CFR 4248, subparts A and F are the regulations for the program which outlines the application procedures. The funding opportunity will also be placed on www.grants.gov to allow for electronic submission of applications. Paper applications can also be submitted to the Agency in lieu of an electronic submission.

Award Procedure:

All eligible applications are scored by a panel and then ranked in highest

priority order. Recipients will be required to sign a Grant Agreement and the project will be monitored by a Rural Development field office where the project is located.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Indicated in the annual Federal Register notice, but all appropriated funds must be obligated to recipients by September 30th of each fiscal year.

Appeals:

Review and appeal rights are given to applicants in accordance with 7 CFR Part 11.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: Percent: 25%. For 1994 institutions the matching funds is 5%.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The grant program is conducted on an annual basis and awards are made for a 12-month period. Method of awarding/releasing assistance: quarterly.

Reports:

Semiannual Financial Status Report, semiannual performance report, and a final project performance report. Cash reports are not applicable. Semiannual Financial Status Report, semiannual performance report, and a final project performance report. Expenditure reports are not applicable. Semiannual Financial Status Report, semiannual performance report, and a final project performance report.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year in accordance with OMB Circular A-133.

Records:

The grantee shall maintain adequate records and accounts to assure that grant funds are used for authorized purposes.

Account Identification:

12-1900-0-1-452.

Obligations:

(Project Grants) FY 12 \$5,800,000; FY 13 est \$6,500,000; and FY 14 Estimate Not Available

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR 4284, Subparts A and F.

Regional or Local Office:

See Regional Agency Offices. Contact the nearest Rural Development field office at <http://www.rurdev.usda.gov/StateOfficeAddresses.html>.

Headquarters Office:

Deputy Administrator of Coopertativ 1400 Independence Ave, Washington, District of Columbia 20250 Phone: 202-720-7558

Website Address:

http://www.rurdev.usda.gov/BCP_RCDG.htm

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Preference will be given to those applicants that demonstrate; a proven track record in cooperative and business development; in providing technical assistance in rural areas; ability to facilitate the establishment of cooperatives and new cooperative approaches; and transferability of approach to rural areas outside of project area. Projects will be selected that contribute the most to the improvement of economic conditions of the rural area.

10.773 RURAL BUSINESS OPPORTUNITY GRANTS (RBOG)

FEDERAL AGENCY:

Rural Business-Cooperative Service, Department of Agriculture

AUTHORIZATION:

Federal Agriculture Improvement and Reform Act of 1996, Section 741, Public Law 104-127.

OBJECTIVES:

To promote sustainable economic development in rural communities with exceptional needs.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grant funds may be used to assist in the economic development of rural areas by providing technical assistance, training, and planning for business and economic development.

Applicant Eligibility:

Grants may be made to public bodies, nonprofit corporations, Federally-Recognized Native American Tribes, and cooperatives with members that are primarily rural residents and that conduct activities for the mutual benefit of the members.

Beneficiary Eligibility:

Rural communities and businesses in rural areas.

Credentials/Documentation:

Evidence of legal capacity. Evidence of financial strength and expertise in activities such as proposed in the application, sufficient to ensure accomplishment of the activities and objectives described in the application. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. To be considered, all applicants shall submit the required details, forms, and documentation in accordance with the program's regulations, agency procedures, and the annual funding opportunity announcement application submission requirements

Award Procedure:

All applications will be reviewed in accordance with the program's regulations agency procedures, and the annual funding opportunity announcement application requirements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. Deadlines are announced via the program's annual funding

opportunity announcement.

Approvals are no later than Sep 30 of each fiscal year.

Appeals:

Adverse decision may be appealed in accordance with procedures set out at 7 CFR 11.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Funding for complete projects is limited to projects that can be completed within 2 years. Projects of longer duration may only be funded for 1 year at a time. Method of awarding/releasing assistance: quarterly.

Reports:

Grantees must provide a financial report and performance activity report quarterly while the project is in process, with a final financial and performance report due within 90 days after the project is completed. The grantee must also submit a project evaluation report within 1 year after the project is completed. The Agency may also require a grantee to prepare a report suitable for public distribution describing the accomplishments made through the use of the grant and, in the case where the grant funded the development or application of a best practice, to describe that best practice. Finally, unless exempt, all grantees must comply with the reporting requirements at 2 CFR 170. Cash reports are not applicable. Grantees must provide a financial report and performance activity report quarterly while the project is in process, and a project evaluation report within 1 year after the project is completed. Grantees must provide a financial report and performance activity report quarterly while the project is in process, and a project evaluation report within 1 year after the project is completed. Grantees must provide a financial report and performance activity report quarterly while the project is in process, and a project evaluation report within 1 year after the project is completed.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Project records must be maintained for three years following the completion of the award.

Account Identification:

12-0400-0-1-452.

Obligations:

(Cooperative Agreements) FY 12 \$2,500,000; FY 13 est \$2,750,000; and FY 14 Estimate Not Available

Range and Average of Financial Assistance:

Varies from year to year.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Implementing regulations are published in the Federal Register (64 FR 71984) The CFR citation is 7 CFR 4284, Subpart G.

Regional or Local Office:

See Regional Agency Offices. Contact the appropriate Rural Development State Office listed in Appendix IV of the Catalog.

Headquarters Office:

Deputy Administrator of Coopertativ 1400 Independence Ave room 4016,

mailstop 3250, Washington, District of Columbia 20250 Phone: 202-720-7558

Website Address:

http://www.rurdev.usda.gov/BCP_RBOG.html

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Grant selection criteria include the extent to which economic development resulting from the proposed project will be sustainable over the long term; the extent to which the project should lead to improvements in the quality of economic activity within the community, such as higher wages, improved benefits, greater career potential, and the use of higher level skills; the amount of funds from other sources; service to communities that are experiencing trauma due to a major natural disaster or the closing or major downsizing of a military facility or other major employer; service to communities that have remained consistently impoverished over the long term or have experienced long term population decline or job deterioration; and the extent of the project's usefulness as a best practice that may serve as a model for other communities.

10.777 NORMAN E. BORLAUG INTERNATIONAL AGRICULTURAL SCIENCE AND TECHNOLOGY FELLOWSHIP

Borlaug Fellowship Program

FEDERAL AGENCY:

Foreign Agricultural Service, Department of Agriculture

AUTHORIZATION:

7 USC 3319j, added by the 2008 Farm Bill: Pub. L. 95-113, title XIV, Sec. 1473G, as added Pub. L. 110-234, title VII, Sec. 7139, May 22, 2008. National Agricultural Research, Extension, and Teaching Policy Act of 1977 enacted as Title XIV of the Food and Agriculture Act of 1977, 7 U.S.C. 3319(a), 3318(b), and 7 U.S.C. 3291.

OBJECTIVES:

The Norman E. Borlaug International Agricultural Science and Technology Fellowship Program (Borlaug Fellowship Program) helps developing countries strengthen sustainable agricultural practices by providing U.S. based scientific training and collaborative research opportunities for entry- to mid-career-agricultural research scientists and policymakers from developing and middle income countries.

TYPES OF ASSISTANCE:

Cooperative Agreements; Direct Payments for Specified Use; Project Grants

USES AND USE RESTRICTIONS:

No funding is available for unsolicited proposals. Borlaug Program funds are used for projects involved with international training activities in agricultural research.

Applicant Eligibility:

The Borlaug Fellowship Program solicits proposals from U.S. land grant universities, other USDA agencies, other U.S. government agency research facilities, not-for-profit institutions, and international agricultural research centers.

Beneficiary Eligibility:

Technical assistance and research collaboration provided through these agreements benefit foreign governments and related agricultural institutions in their countries.

Credentials/Documentation:

This program is subject to the provisions of OMB Circulars A-21, Cost Principles for Educational Institutions; A-122, Cost Principles for Nonprofit Organizations; and OMB Circular A-110, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-Profit Organizations. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact

information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Program guidelines and detailed information on Borlaug Fellowship Program are available on the internet through the USDA Foreign Agricultural Service website at: <http://www.fas.usda.gov/>.

Award Procedure:

All proposals are evaluated by technical specialists that rate the qualifications of applicants and the merits of the proposals. Selection criteria will be published with each individual request for application to participate in this program.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Extensions may be authorized.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Assistance is phased according to the requirements of individual projects. Assistance is awarded through cooperative agreements, grants or cost reimbursable agreements. See the following for information on how assistance is awarded/released: Agreements are entered into with selected institutions for a period of 1 to 5 years.

Reports:

Mentors report and Fellows report are required. No cash reports are required. According to the Terms and Conditions of the agreement. Mentor reports and Fellow's reports are required. SF-425, Federal Financial Report, are required quarterly. Final reports are required at the end of the activity. Mentor reports and Fellow's reports are required. Final reports are required at the end of the activity.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Typically, a recipient must maintain all Program and Financial records for a period of 3 years after the final reports, subject to conditions found in 7CFR 3015.21 and 7CFR 3015.22.

Account Identification:

12-3200-0-1-352.

Obligations:

(Direct Payments for Specified Use (Cooperative Agreements)) FY 12 \$1,239,585; FY 13 est \$1,239,585; and FY 14 est \$1,239,585

Range and Average of Financial Assistance:

\$30,000 per fellow.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: 78 Borlaug International Fellows trained. Fiscal Year 2013: Estimated number of Borlaug International Fellows to be trained is

approximately 37. Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Federal financial assistance transactions under 7 USC 3291 are subject to various legal requirements that are codified in the U.S.C., parts of the CFR, Executive Orders, Treasury Financial Manual bulletins, and other legally binding sources.

Regional or Local Office:

None.

Headquarters Office:

Gerald Smith Smith 1400 Independence Ave, S.W., Washington, District of Columbia 20250 Email: Gerald.Smith@fas.usda.gov Phone: 2026902868

Website Address:

<http://www.fas.usda.gov/>

RELATED PROGRAMS:

10.962 Cochran Fellowship Program-International Training-Foreign Participant

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are dependent upon identification of specific project requirements and program priorities developed by the Foreign Agricultural Service's Office of Capacity Building and Development program area (FAS/OCBD), in consultation with collaborating agencies and organizations. Contact FAS/OCBD for additional information. While this program does not fund unsolicited proposals, interested parties are welcome to submit statements of capability and expressions of interest.

10.778 RESEARCH ON THE ECONOMIC IMPACT OF COOPERATIVES

(REIC)

FEDERAL AGENCY:

Rural Business-Cooperative Service, Department of Agriculture

AUTHORIZATION:

Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2006, Title III, Public Law 109-97, as amended, Department of Defense Appropriations Act, 2006, Division B, Title III, Chapter 8, Section 3801, Public Law 109-148., Title III, Part B, Section 3801.

OBJECTIVES:

The encouragement of research on the national economic impact or value of all types of cooperatives in the United States.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

An REIC cooperative agreement is to be used to conduct research on the national economic impact of all types of cooperative organizations. The research is to include development of methodology and collection and analysis of data as well as describing the economic impacts. Indirect costs are restricted to no more than 10 percent of the direct cost. Direct costs are not to include construction or fixed assets.

Applicant Eligibility:

Institutions of Higher Education, including consortia of academic institutions, research foundations maintained by a college or university, or private nonprofit organizations funded by a group of colleges or universities, are eligible to apply.

Beneficiary Eligibility:

Public or private colleges or universities.

Credentials/Documentation:

Applicants must provide a budget supporting the work plan that details all sources and uses of funds during the project period. Applicants are required to verify matching funds, both cash and in-kind such that USDA can verify all representations. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. USDA will solicit applications on a competitive basis by publication of a notice in the Federal Register and through the www.grants.gov Federal Web site. Unless otherwise specified, applicants must file an original and two copies of the proposal and all required forms or as otherwise instructed in the annual announcement. An applicant must submit Form SF-424, Form SF-424A, Form SF-424B, and other information as directed. Each proposal must contain the following elements and any additional elements published in the announcement: (1) Title Page; (2) Table of Contents; (3) Executive Summary; (4) Eligibility Discussion; (5) Proposal Narrative including, but not limited to: (i) Project Title; (ii) Information Sheet; (iii) Goals of the Project; (iv) Work Plan; (v) Proposal Evaluation Criteria; (6) Certification of Judgment; and (7) Verification of Matching Funds.

Award Procedure:

Qualified academic institutions must submit their application to the Rural Development-Cooperative Programs National Office where it will be reviewed and scored on a competitive basis as described in the annual solicitation for proposals. If selected for an award, the applicant must complete the objectives as defined in the approved proposal.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 30 to 60 days after the application is filed with the Rural Development-Cooperative Programs.

Appeals:

Applicants may appeal any adverse decisions to USDA's National Appeals Division.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The cooperative agreement funds will be dispersed quarterly on a reimbursement basis over a period of up to two years. Method of awarding/releasing assistance: lump sum.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

The recipient will maintain adequate records and accounts to assure that agreement funds are used for authorized purposes. Records will also be maintained by the Rural Development-Cooperative Programs National Office and Rural Development Budget Office according to USDA and Agency record-keeping requirements.

Account Identification:

12-1900-0-1-452.

Obligations:

(Direct Payments for Specified Use (Cooperative Agreements)) FY 12 Not Available; FY 13 est \$0; and FY 14 Estimate Not Available

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Deputy Administrator of Coopertativ 1400 Independence Ave, mail stop 3250, Washington, District of Columbia 20250 Phone: 202-720-7558

Website Address:

<http://www.rurdev.usda.gov/BCP>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.781 WATER AND WASTE DISPOSAL SYSTEMS FOR RURAL COMMUNITIES - ARRA**FEDERAL AGENCY:**

Rural Utilities Service, Department of Agriculture

AUTHORIZATION:

Consolidated Farm and Rural Development Act, as amended, Section 306, Public Law 92-419, 7 U.S.C. 1926.

OBJECTIVES:

To provide basic human amenities, alleviate health hazards and promote the orderly growth of the rural areas of the nation by meeting the need for new and improved rural water and waste disposal facilities.

TYPES OF ASSISTANCE:

Direct Loans; Project Grants

USES AND USE RESTRICTIONS:

Funds may be used for the installation, repair, improvement, or expansion of a rural water facility including distribution lines, well pumping facilities and costs related thereto, and the installation, repair, improvement, or expansion of a rural waste disposal facility including the collection, and treatment of sanitary, storm, and solid wastes. Grant funds may not be used to pay: interest on loans, operation and maintenance costs, or acquisition or refinancing of an existing system. No maximum loan amount is established by statute. The maximum term on all loans is 40 years. However, no repayment period will exceed any statutory limitation or the organization's borrowing authority or the useful life of the improvement or facility to be financed. There are currently three interest rates for direct loans: a poverty rate that is 60 percent of market rate when the loan is required to meet health or sanitary standards and the median household income of the service area is below the higher of the poverty line or 80 percent of the statewide nonmetropolitan median household income; an intermediate rate that is 80 of market rate if the median household income of the service area is not more than 100 percent of the nonmetropolitan median household income of the State; and a market rate for those applicants that do not qualify for the poverty rate or the intermediate rate. The law authorizing the program allows a maximum repayment period of 40 years. However, the repayment period cannot exceed the useful life of the facilities financed or any statutory limitation on the applicants borrowing authority. The law authorizing the program allows a maximum repayment period of 40 years. However, the repayment period cannot exceed the useful life of the facilities financed or any statutory limitation on the applicants borrowing authority.

Applicant Eligibility:

Municipalities, counties, other political subdivisions of a State such as districts and authorities, associations, cooperatives, corporations operated on a not-for-profit basis, Indian tribes on Federal and State reservations and other Federally recognized Indian tribes. The applicant must: (1) be unable to finance the proposed project from its own resources or through commercial credit at reasonable rates and terms; and (2) have the legal authority necessary for constructing, operating, and maintaining the proposed facility or service, and for obtaining, giving security for, and repaying the proposed loan. Facilities

shall primarily serve rural residents and rural businesses. The service area shall not include any area in any city or town having a population in excess of 10,000 inhabitants according to the latest decennial census of the United States. Plans and specifications must be developed to comply with State and local health and pollution regulations and other requirements. Grants are made only when necessary to reduce the average annual benefited user charges to a reasonable level. Normally, grants are considered only when the debt service portion of the cost to grant eligible users exceeds the following percentages of median household incomes (MHI) for the applicant service area: 0.5 percent when the MHI of the service area is below the poverty line or below 80 percent (whichever is higher) of the State's non-metropolitan household income (NMHI); 1.0 percent when the NMHI of the service area exceeds the 0.5 percent requirement but is not more than 100 percent of the State's NMHI; no RUS grant funds will be used in any project when the MHI of the service area is above the poverty line and more than 100 percent of the State's NMHI. The RUS grant may not exceed 75 percent of the eligible project development cost when the MHI of the service area is below the poverty line or below 80 percent (whichever is higher) of the State's NMHI and the project is necessary to alleviate a health or sanitary problem; 45 percent when the MHI of the service area exceeds the 75 percent requirements, but is not more than 100 percent of the State's NMHI. Assistance is authorized for eligible applicants in rural areas of the United States, Puerto Rico, the Virgin Islands, Guam, Commonwealth of Northern Mariana Islands, American Samoa, and to the extent the Secretary determines feasible and appropriate, the Trust Territory of the Pacific Islands.

Beneficiary Eligibility:

Farmers, ranchers, rural residents, rural businesses and other users in eligible applicant areas.

Credentials/Documentation:

Evidence of legal capacity, economic feasibility and financial responsibility relative to the activity for which assistance is requested. Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments and OMB Circular No. A-122 for nonprofit organizations. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

The standard application forms furnished by the Federal agency and required by OMB Circular No. A102 must be used for this program. An environmental assessment is required for this program. An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. An environmental impact assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. USDA Rural Development (RD) offices administer the program on the local level. Application Form SF-424 is filed at the local RD Office from which assistance may be obtained. This program is subject to the provisions of OMB Circular No. A-110

Award Procedure:

the application has been reviewed at the local level, it is forwarded to the RD State Director for review and processing instructions. Following completion of application processing requirements and loan/grant approval, funds are made available to the applicant.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 60 to 90 days. This program is now closed to applications.

Appeals:

If an application is rejected, the reasons for rejection are fully stated. The applicant may request a review of this decision at the RD or RUS National level.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

A time limitation is not specified for the use of RUS loan or grant funds. Funds will be awarded when all RUS requirements are met and the project can be completed on a timely basis. Funds may be advanced on an as needed basis by the RUS to cover expenses for a 30-day period. See the following for information on how assistance is awarded/released: Funds may be advanced on an as needed basis by the RUS to cover expenses for a 30-day period.

Reports:

See Section 1512 of The American Recovery and Reinvestment Act of 2009. See Section 1512 of The American Recovery and Reinvestment Act of 2009. Progress reports are not applicable. See Section 1512 of The American Recovery and Reinvestment Act of 2009. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records and accounts are required to reflect the operations of the facility.

Account Identification:

12-0400-0-1-452 - ARRA; 12-0400-0-1-452 - ARRA.

Obligations:

(Direct Loans) FY 12 \$0; FY 13 est \$0; and FY 14 est \$0. (Project Grants) FY 12 \$0; FY 13 est \$0; and FY 14 est \$0

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR 1780, Water and Waste Loans and Grants.

Regional or Local Office:

See Regional Agency Offices. Consult your local telephone directory for Rural Development local number. If no listing, get in touch with the appropriate Rural Development State Office listed in Appendix IV of the Catalog.

Headquarters Office:

Assistant Administrator, Water and Environmental Programs, Department of Agriculture, Rural Utilities Service, Water and Environmental Programs, 1400 Independence Ave., SW, Stop 1548, Washington, District of Columbia 20250 Phone: (202) 690-2670.

Website Address:

http://www.rurdev.usda.gov/UWEP_HomePage.html

RELATED PROGRAMS:

11.300 Investments for Public Works and Economic Development Facilities; 14.218 Community Development Block Grants/Entitlement Grants

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

In selecting applications for funding, the agency cooperates with appropriate State agencies. RUS assistance for water and waste disposal projects will be directed toward truly rural areas and rural communities. Considerations for available funds emphasize such criteria as: Population; low income

unemployment; and health and sanitary problems. RUS financed facilities will not be inconsistent with any development plans of the State, multi-jurisdictional area, county, or municipality in which the proposed project is located.

10.782 APPROPRIATE TECHNOLOGY TRANSFER FOR RURAL AREAS

ATTRA

FEDERAL AGENCY:

Rural Business-Cooperative Service, Department of Agriculture

AUTHORIZATION:

Consolidated and Further Continuing Appropriations Act, 2013 (Pub.L. 1136, H.R. 933, enacted March 26, 2013).

OBJECTIVES:

To provide diverse technical information, education resources, and assistance to farmers, information providers, agriculture-related businesses, and community food organizations across the U.S., and supports the Agency's mission of economic development and sustainable agriculture for rural communities.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funds are used to provide regional and national technical information, education resources, and assistance to farmers, information providers, agriculture-related businesses, and community food organizations across the U.S.

Applicant Eligibility:

Funds are used to provide regional and national technical information, education resources, and assistance to farmers, information providers, agriculture-related businesses, and community food organizations across the U.S.

Beneficiary Eligibility:

Rural communities and businesses in rural areas to include farmers, ranchers, market gardeners, extension agents, researchers, educators, farm organizations, and others involved in commercial agriculture, especially those who are economically disadvantaged or belong to traditionally underserved communities.

Credentials/Documentation:

Evidence of legal and unique capacity to execute the regional and national purpose and requirements of this program. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Applicants shall submit Standard Form 424, "Application for Federal Assistance (For Nonconstruction)" and other required agency forms to the USDA Rural Development National Office, and include a written narrative, budget, and scope of work.

Award Procedure:

Upon finalization of a cooperative agreement, funds are obligated and expenses are reimbursed not more frequently than monthly.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Up to 18 Months from Date of Award. Extensions to the period of performance will be considered only through formal requests to USDA and will only be granted for specific and compelling reasons. Method of awarding/releasing assistance: lump sum.

Reports:

Grantee must provide a financial report and performance activity report in accordance with applicable regulations, agency procedures, and cooperative agreement. Cash reports are not applicable. Grantee must provide a financial report and performance activity report in accordance with applicable regulations, agency procedures, and cooperative agreement. No expenditure reports are required. No performance monitoring is required.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Project records must be maintained for three years following the completion of the project.

Account Identification:

12-1900-0-1-452.

Obligations:

(Cooperative Agreements) FY 12 \$2,250,000; FY 13 est \$2,086,732; and FY 14 Estimate Not Available

Range and Average of Financial Assistance:

Varies.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR 4284, and other policies and applicable regulations detailed in the cooperative agreement.

Regional or Local Office:

None.

Headquarters Office:

Deputy Administrator of Coopertativ 1400 Independence Ave, mail stop 3250, Washington, District of Columbia 20250 Phone: 202-720-7558

Website Address:

<http://www.atra.ncat.org>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.850 RURAL ELECTRIFICATION LOANS AND LOAN GUARANTEES

Electric Loans and Loan Guarantees

FEDERAL AGENCY:

Rural Utilities Service, Department of Agriculture

AUTHORIZATION:

Rural Electrification Act of 1936, as amended, 7 U.S.C. 901-950.

OBJECTIVES:

To assure that people in eligible rural areas have access to electric services comparable in reliability and quality to the rest of the Nation.

TYPES OF ASSISTANCE:

DIRECT LOANS; Guaranteed/Insured Loans

USES AND USE RESTRICTIONS:

Long-term, direct loans and loan guarantees to qualified organizations for the purpose of supplying or improving electric services on a continuing basis in rural areas.

Applicant Eligibility:

Rural electric cooperatives, public utility districts, municipalities, corporations, and other qualified power suppliers including those located in the U.S.

Territories, the Federated States of Micronesia, the Republic of the Marshall Islands, and the Republic of Palau.

Beneficiary Eligibility:

Persons, businesses, and other entities in rural areas or those currently served through RUS financing. Rural areas are defined in the Rural Electrification Act and program regulations as any area of the United States, or eligible insular areas, other than a city, town, or unincorporated area that has a population of greater than 20,000 inhabitants, or any area within a service area of an entity with an outstanding Rural Electrification Act loan.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

An environmental impact statement or an environmental assessment is required for this program. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Applications for direct loan and loan guarantees are made by resolution of the board of directors of the borrowing organization. Information on submitting applications is contained in 7 CFR Part 1710 and 1714.

Award Procedure:

Depending on the amount of the loan requested, the RUS Administrator, Assistant Administrator, or appropriate Regional Director makes the final decision on approval of the loan upon a finding that it is economically feasible and serves the purposes of the Rural Electrification Act. Borrowers and Members of Congress are notified of the approval of a loan or loan guarantee directly, and the public is notified through normal media communications.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Direct loans are approved generally on an average of 3 to 6 months as funding becomes available. Loan guarantees are approved generally on an average of 9 to 12 months.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Under certain conditions, obtaining a municipal rate loan will require obtaining a concurrent loan (of 10, 20, or 30 percent of the total amount required) from a supplemental financing source. The factors used for determining the amount of concurrent financing required are set forth in 7 CFR 1710.110.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Direct loans are generally made for a period up to 35 years, which approximates the expected useful life of the facilities financed. Provision is normally made for advances to be completed within 4 years, but this period is subject to extension if required. Advances of funds are made as required by the borrower. The terms and conditions of loan guarantees are negotiated between the borrower and the non-RUS lender, subject to RUS approval. See the following for information on how assistance is awarded/released: See the following for information on howw assistance is awarded/released: 7 CFR parts 1710 and 1714.

Reports:

Program reports are not applicable. Cash reports are not applicable. RUS borrowers must submit to the Rural Utilities Service regularly scheduled operating reports showing financial condition and operating progress. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. All other RUS borrowers have their books audited annually by a Certified Public Accountant. Information on audits of RUS borrowers is contained in 7 CFR Part 1773.

Records:

RUS borrowers keep the records prescribed for utility companies by the RUS uniform system of accounts, and by sound business practices.

Account Identification:

12-4230-0-3-271; 12-1230-0-1-271.

Obligations:

(Direct Loans) FY 12 \$0; FY 13 est \$100,000,000; and FY 14 est \$0.
(Guaranteed/Insured Loans) FY 12 \$4,317,791,000; FY 13 est \$6,500,000,000; and FY 14 est \$4,000,000,000

Range and Average of Financial Assistance:

Direct Loans: \$372,000 to \$79,197,000, \$20,744,000 Average.
Guaranteed FFB: \$732,000 to \$620,960,000, \$39,851,986 Average.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR XVII. RUS publishes and issues upon request bulletins bearing on the financing, construction, operation, and maintenance of rural electric systems. Also available are annual statistical summaries; and the Rural Electrification Act of 1936, as amended.

Regional or Local Office:

None.

Headquarters Office:

Assistant Administrator, Electric Programs Department of Agriculture, 1400 Independence Ave., SW, Stop 1560, Washington, District of Columbia 20250-1500 Phone: (202) 720-9540.

Website Address:

http://www.rurdev.usda.gov/UEP_Loans_Grants.html

RELATED PROGRAMS:

10.854 Rural Economic Development Loans and Grants

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.851 RURAL TELEPHONE LOANS AND LOAN GUARANTEES

FEDERAL AGENCY:

Rural Utilities Service, Department of Agriculture

AUTHORIZATION:

Rural Electrification Act of 1936, as amended, Titles II and III, 7 U.S.C. 921, 922-924, and 930-940.

OBJECTIVES:

To assure that people in eligible rural areas have access to telecommunications services comparable in reliability and quality to the rest of the Nation.

TYPES OF ASSISTANCE:

DIRECT LOANS; GUARANTEED/INSURED LOANS

USES AND USE RESTRICTIONS:

Long-term direct and guaranteed loans to qualified organizations for the purpose of financing the improvement, expansion, construction, acquisition, and operation of telephone lines, facilities, or systems to furnish and improve telecommunications service in rural areas. "Rural area" is defined as any area of the United States, its territories and insular possessions (including any areas within the Federated States of Micronesia, the Republic of the Marshall Islands, and the Republic of Palau) not included within the boundaries of any incorporated or unincorporated city, village, or borough having a population exceeding 5,000 inhabitants. The population figure is obtained from the Bureau of Census. For purposes of the "rural area" definition, the character of an area is determined at the time the initial loan for the system is made.

Applicant Eligibility:

Telephone companies or cooperatives, nonprofit associations, limited dividend associations, mutual associations or public bodies including those located in the U.S. Territories and countries included in the Compact of Free Association Act of 1985, providing or proposing to provide telecommunications service to meet the needs of rural areas.

Beneficiary Eligibility:

Residents of rural areas, and others who may also receive telephone service as a result of service provided to a rural area.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Applicants must supply environmental documentation to determine if an environmental assessment or an environmental impact statement will be required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. The completed loan application consists of four parts: an RUS Form 490; Application for Telephone Loan or Loan Guarantee; a market survey called the Area Coverage Survey (ACS); the plan and associated costs for the proposed construction called the Loan Design (LD); pro-forma financial statements with supporting schedules, and other various supplementary information specified in 7 CFR 1737.22. Detailed information on applying for an RUS telephone loan or loan guarantee is in 7 CFR Part 1737, available from RUS upon request.

Award Procedure:

Depending on the amount of the application, and loan committee(s) recommendation, the RUS Administrator, Assistant Administrator, or appropriate Division Director makes the final decision on approval of the loan or loan guarantee upon a finding that it is economically feasible and serves the purposes of the Rural Electrification Act. Borrowers and Members of Congress are notified of the approval of a loan or loan guarantee directly, and the public is notified through normal media communications.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

> 180 Days. Application are processed on a first-come, first-served basis. Normal loan processing time is from 6 to 9 months.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Direct and guaranteed loans can be made not to exceed the economic life of facilities and equipment. (average loan maturity is 18 yrs). See the following for information on how assistance is awarded/released: Provision is normally made for advances to be completed within 5 years, but this period is subject to extension if required. Advances of funds are made as required by the borrower. The terms and conditions of guaranteed loans may be negotiated between the borrower and the non-RUS lender, subject to RUS approval.

Reports:

RUS borrowers must submit to the Rural Utilities Service regularly scheduled operating reports showing financial condition and operating progress. No cash reports are required. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. RUS borrowers which are entities as listed above that expend \$500,000 or more in Federal awards must have an audit performed and submit an auditors report meeting the requirements of the Single Audit Act of 1984 and the Single Audit Act Amendments of 1996. All other RUS borrowers must have their financial statements audited annually by a Certified Public Accountant selected by the borrower and approved by RUS. Information on audits of RUS borrowers is contained in 7 CFR Part 1773.

Records:

Each RUS borrower subject to the jurisdiction of the Federal Communications Commission (FCC) or a State regulatory body shall maintain its accounts and records in accordance with the rules and regulations prescribed by that regulatory body. Each RUS borrower not subject to such regulatory control shall maintain its accounts and records in accordance with the FCC Uniform System of Accounts as set forth in 47 CFR Part 32. RUS borrowers shall also maintain the supplemental account set forth in 7 CFR 1770.15. Additional information pertaining to accounting requirements for RUS borrowers is found in 7 CFR Part 1770.

Account Identification:

12-4230-0-3-271; 12-1230-0-1-271.

Obligations:

(Direct Loans) FY 12 \$18,925,000; FY 13 est \$250,000,000; and FY 14 est \$690,000,000 - Cost of Money Loans. (Direct Loans) FY 12 \$0; FY 13 est \$0; and FY 14 est \$0 - Hardship Loans. (Guaranteed/Insured Loans) FY 12 \$60,840,000; FY 13 est \$0; and FY 14 est \$0 - FFB Treasury Loans.

Range and Average of Financial Assistance:

For FY 2012: Direct loans: \$2,308,000 to \$10,057,000; Average: \$6,308,000. Loan Guarantees: \$282,000 to \$28,661,000; Average: \$12,168,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Fiscal Year 2012: Awarded 8 loans to fund deployment of advanced telecommunications facilities serving over 44,000 customers. Fiscal Year 2013: No Current Data Available. Fiscal Year 2014: No Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR-XVII. RUS publishes and issues upon request technical operations manuals and bulletins covering financing, construction, operation and maintenance of rural telephone systems. Also available are annual statistical

summaries; and Rural Electrification Act of 1936, as amended.

Regional or Local Office:

None.

Headquarters Office:

Assistant Administrator, Telecommunications Program, Rural Utilities Service
Rural Utilities Service, 1400 Independence Avenue, SW., STOP 1590, Room
5151, Washington, District of Columbia 20250 Phone: (202) 720-9564 Fax:
(202) 720-0810.

Website Address:

http://www.rurdev.usda.gov/utp_infrastructure.html

RELATED PROGRAMS:

10.886 Rural Broadband Access Loans and Loan Guarantees

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: No Current
Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.854 RURAL ECONOMIC DEVELOPMENT LOANS AND GRANTS

FEDERAL AGENCY:

Rural Business-Cooperative Service, Department of Agriculture

AUTHORIZATION:

Rural Electrification Act of 1936, as amended, Title III, 7 U.S.C. 930-940c.

OBJECTIVES:

To promote rural economic development and job creation projects, including
funding for project feasibility studies, start-up costs, incubator projects, and
other reasonable expenses for the purpose of fostering rural development.

TYPES OF ASSISTANCE:

DIRECT LOANS; PROJECT GRANTS

USES AND USE RESTRICTIONS:

This program is for projects which promote rural economic development and
job creation. Maximum amount of grant is \$300,000; maximum amount of loan
is \$2,000,000. Maximum term and interest rates vary by project.

Applicant Eligibility:

Electric and telephone utilities that have current loans with the Rural Utilities
Service (RUS), Rural Telephone Bank loans, or guarantees outstanding and are
not delinquent on any Federal debt or in bankruptcy proceedings.

Beneficiary Eligibility:

Rural/General Public.

Credentials/Documentation:

This program is excluded from coverage under OMB Circular No. A-87. This
program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact statement is
required for this program. An environmental impact assessment is required for
this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This
program is excluded from coverage under OMB Circular No. A-110. Submit
application as described in 7 CFR 4280.39, no State plan required.

Award Procedure:

RBS selects projects to be funded. The funds pass through the RUS utility to
rural economic development projects.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application
deadlines.

Range of Approval/Disapproval Time:

Open ended.

Appeals:

Not Applicable.

Renewals:

Renewal of same application for 1 year. Submit new or revised application as
often as desired.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Percent: 20.%. This program requires supplemental
funds in the amount of 20 percent of the RBS application amounts.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

annually. Method of awarding/releasing assistance: lump sum.

Reports:

Reporting need established in 7 CFR 4280, Subpart A. Cash reports are not
applicable. Reporting need established in 7 CFR 4280, Subpart A. Expenditure
reports are not applicable. Reporting need established in 7 CFR 4280, Subpart
A.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June
27, 2003), "Audits of States, Local Governments, and Non-Profit
Organizations," nonfederal entities that expend financial assistance of \$500,000
or more in Federal awards will have a single or a program-specific audit
conducted for that year. Nonfederal entities that expend less than \$500,000 a
year in Federal awards are exempt from Federal audit requirements for that
year, except as noted in Circular No. A-133. Financial audit of the RUS utility
is required.

Records:

Record retention requirements established in 7 CFR 4280, Subpart A.

Account Identification:

12-3105-0-1-452; 12-3108-0-1-452; 12-4230-0-3-271.

Obligations:

(Loan Guarantees/Grants) FY 12 \$50,352,606; FY 13 est \$50,000,000; and FY
14 Estimate Not Available - FY12- loans-\$40,779,170; Grants-\$9,573,436
FY13- loans-\$40,000,000; Grants-\$10,000,000.

Range and Average of Financial Assistance:

Loans and Grants to establish Revolving Loan Fund Programs.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR 4280, Subpart A and the Rural Electrification Act of 1936.

Regional or Local Office:

See Regional Agency Offices. Consult your local telephone directory for RD
District Office number. If no listing, get in touch with appropriate Rural
Development State Office listed in Appendix IV of the catalog.

Headquarters Office:

Specialty Lenders Division, 1400 Independence Ave. S.W. Mail-Stop 3226,
Washington, District of Columbia 20250 Phone: (202) 720-1400.

Website Address:

<http://www.rurdev.usda.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

See 7 CFR 4280.42.

10.855 DISTANCE LEARNING AND TELEMEDICINE LOANS AND GRANTS

FEDERAL AGENCY:

Rural Utilities Service, Department of Agriculture

AUTHORIZATION:

Federal Agriculture Improvement and Reform Act of 1996, Title VII, Public Law 104-127, 7 U.S.C 950.

OBJECTIVES:

To encourage and improve telemedicine services and distance learning services in rural areas through the use of telecommunications, computer networks, and related advanced technologies to be used by students, teachers, medical professionals, and rural residents.

TYPES OF ASSISTANCE:

DIRECT LOANS; PROJECT GRANTS

USES AND USE RESTRICTIONS:

To fund telecommunications, computer networks and related advanced technologies that provide educational and/or medical benefits to students, teachers, medical professionals and rural residents. Eligible costs depend on the type of financial assistance being requested; i.e., grant or loan. See 7 CFR Part 1703 for details.

Applicant Eligibility:

To be eligible to receive a grant, loan and grant combination, or loan, the applicant must be legally organized as an incorporated organization or partnership, an Indian tribe or tribal organization, a state or local unit of government, a consortium, or other legal entity, including a private corporation organized on a for profit or not-for profit basis. The applicant must have legal capacity to contract with RUS. The applicant also must propose to utilize the financing to: (i) operate a rural community facility; or (ii) deliver distance learning or telemedicine services to entities that operate a rural community facility or to residents of rural areas at rates calculated to ensure that the benefit of the financial assistance is passed through to such entities or to residents of rural areas. The applicant must not be delinquent on any Federal debt. RUS electric and telecommunications borrowers are not eligible for grants. Generally, applicants are organizations such as schools, libraries, hospitals, medical centers, or other eligible organizations that will be users of a telecommunications, computer network, or related advanced technology system to provide educational and/or medical benefits to rural residents.

Beneficiary Eligibility:

The people living in rural areas and to improve rural opportunities, particularly in the areas of education, training and medical services.

Credentials/Documentation:

See 7 CFR Part 1703 for details. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact statement is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Submit application Form 424; a statement of non-delinquency on any Federal obligations; consultation with USDA State Director (for grant applicants); evidence of legal existence and authority to perform the proposed grant activities; a comprehensive rural telecommunications plan; scope of work plan, executive summary for the project; financial information; a statement of experience; funding commitment from other sources; compliance with Federal statutes and regulations (compliance with scoring criteria) and environmental impact; supplemental information and required additional RUS information.

Award Procedure:

Loans are approved if economically feasible and if they serve the purposes of the Distant Learning and Telemedicine Loan Program. For grants, RUS rates the applicants based on specified scoring criteria and determines awards based on those ratings.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application

deadlines.

Range of Approval/Disapproval Time:

Applications are approved each fiscal year for which funding is made available. Applications that have not been approved may be resubmitted each year for consideration.

Appeals:

A grant applicant may appeal the numerical scoring of the objective criteria only to the Administrator in writing within 10 days after applicant is notified of the scoring level.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Percent: 15.%. No matching is required for applicants requesting loans.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Funds may be advanced monthly or as needed to reimburse disbursements for approved grant purposes. Applicants generally have three years to spend funds. See the following for information on how assistance is awarded/released: .

Reports:

Program reports are not applicable. Cash reports are not applicable. Progress reports are not applicable. SF 425, "Federal Financial Report," is required annually. Project performance activity reports are required annually.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. For-Profit Grantee shall provide an audit to RUS within 120 days after the as of audit date in accordance with 7 CFR part 1773, Policy on Audits of RUS Borrowers. Grantees which are State, Local Government, or Non-Profit Organizations shall provide an audit to RUS by the earlier of (i) 30 days after the receipt of the audit or (ii) 13 months after the end of the audit period, in accordance with 7 CFR part 3052, Audits of States, Local Governments, and Non-Profit Organizations

Records:

Grantees will provide evidence that funds are expended for approved purposes.

Account Identification:

12-1232-0-1-452.

Obligations:

(Direct Loans) FY 12 \$0; FY 13 est \$0; and FY 14 est \$0. (Project Grants) FY 12 \$15,205,566; FY 13 est \$23,893,000; and FY 14 est \$24,950,000

Range and Average of Financial Assistance:

The minimum grant, loan, or loan/grant combination is \$50,000. The maximum varies and is not to exceed the amount established by the RUS Administrator. In fiscal year 2012, the average grant amount was \$271,528.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: No current data available. Fiscal Year 2013: No current data available. Fiscal Year 2014: No current data available.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR 1703, subparts D through G. Distance Learning and Telemedicine Program; Notice of Available Funding and Grant Application Deadlines, published in the Federal Register June 28, 2013; Distance Learning and Telemedicine Grant Program and FY2013 Application Guide & FY 2013 Toolkit.

Regional or Local Office:

None.

Headquarters Office:

Assistant Administrator, Telecommunications Program, Rural Utilities Service, 1400 Independence Avenue, S.W., STOP 1590, Washington, District of Columbia 20250 Phone: (202) 720-9564.

Website Address:

http://www.rurdev.usda.gov/UTP_DLT.html

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: See

<http://www.rurdev.usda.gov/supportdocuments/2012-DLT-Grants.pdf>. Fiscal

Year 2013: No current data available. Fiscal Year 2014: N/A.

CRITERIA FOR SELECTING PROPOSALS:

See 7 CFR Part 1703, subparts D through G.

10.856 1890 LAND GRANT INSTITUTIONS RURAL ENTREPRENEURIAL OUTREACH PROGRAM

(1890 REOD Initiative)

FEDERAL AGENCY:

Rural Business-Cooperative Service, Department of Agriculture

AUTHORIZATION:

None.

OBJECTIVES:

To encourage 1890 Institutions to provide technical assistance for business creation in economically challenged rural communities, to conduct educational programs that develop and improve upon the professional skills of rural entrepreneurs, and to provide outreach and promote USDA Rural Development programs in small rural communities with the greatest economic need.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

Rural Development cooperative agreements with the 1890 Institutions must be used to strengthen the capacity of rural communities to undertake innovative, comprehensive, citizen led, long-term strategies for community and economic development. Only one application or proposal per 1890 institution per year will be accepted. Recognized outreach efforts to promote Rural Development programs in targeted underserved rural communities include, but are not limited to: (a) business startup and related technical assistance; seminars and workshops for small businesses; (b) development of management and technical assistance plans that: (1) assess small business alternatives to traditional agriculture; (2) assist in the development of business plans or loan packages, marketing, or bookkeeping; (3) assist and train small businesses in customer relations, product development, or business planning and development; (c) feasibility studies; (d) advice and recommendations regarding best practices in community economic development; (e) the conduct of seminars to stimulate business and economic development; and (f) developing computer technology outreach and establishing and maintaining a computer network system, linking community leaders and residents to available economic development information. Rural Development funds may be used to pay up to 75 percent of the costs for carrying out relevant projects. Applicants' contributions (matching 25 percent) may be in cash or in-kind contributions but must be from non-Federal funds. The applicant and assigned personnel must also have expertise and experience in providing the recommended assistance. Applicants should also have a previous record of successful implementation of similar projects and must have the expertise in the use of electronic network technologies and/or a business information system network website.

Applicant Eligibility:

1890 Land Grant Institutions and Tuskegee University (1890 Institutions).

Beneficiary Eligibility:

The 1890 REOD Initiative is limited to residents located in a rural area as defined in 7 U.S.C. 1991(a)(13) with economic need. Location in an Empowerment Zone, Enterprise Community, Champion Community, Federally-recognized Tribal Indian groups or other Federally declared economic depressed or disaster area is sufficient evidence of economic need.

Eligible beneficiaries must also be located in communities that show significant community support for the proposal. Preference will be given for projects that operate in a multi-county service area. See annual notice of funding availability for details.

Credentials/Documentation:

Eligible applicants are 1890 Land Grant Institutions and Tuskegee University. Eligible applicants must provide matching funds equal to at least 25 percent of the amount provided by Rural Development in the cooperative agreement. See annual notice of funding availability for details. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Submit (1) Form SF-424, Application for Federal Assistance; (2) Form SF-424A, Budget Information--Non-Construction Programs; (3) Form SF-424B, Assurances--Non-construction Programs; (4) Form AD-1047, Certification Regarding Debarment, Suspension and other Responsibility Matters; (5) Form AD-1049, Certification Regarding Drug Free Workplace Requirements (6) Form SF-LLL, Disclosure of Lobbying Activities; and, (7) other information as requested by the annual Request For Proposals.

Award Procedure:

The 1890s Institutions must submit their application to the Rural Development-Cooperative Programs National Office where it will be reviewed and scored on a competitive basis as described in the annual solicitation for proposals. If selected for an award, the applicant must complete the objectives as defined in the approved proposal.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 90 to 120 days. From 60 to 120 days after solicitation closes and application is filed with the Rural Development-Cooperative Programs.

Appeals:

Applicants may appeal any adverse decisions to the National Appeals Division.

Renewals:

This program is conducted annually and requires a new application and competition each year. Hence, renewals are not applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: Percent: 25%. Statutory formulas are not applicable to this program. Matching requirements are 25% of total program cost. MOE requirements are not applicable to this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Matching funds must be spent in proportion to the spending of funds received from the cooperative agreement. All awards are for one year from the beginning of the performance period as specified in the terms and conditions of the agreement. Method of awarding/releasing assistance: lump sum.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

The recipient will maintain adequate records and accounts to assure that agreement funds are used for authorized purposes. Records will also be maintained by the Rural Development--Cooperative Programs National Office National Office and RD Budget Office according to USDA and Agency record-keeping requirements.

Account Identification:

12-0403-0-1-452.

Obligations:

(Formula Grants) FY 12 \$0; FY 13 est \$0; and FY 14 est \$0 - Program is not funded, but will when funds are available. Still an accessible unit.

Range and Average of Financial Assistance:

Varies.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR 3015; 7 CFR 3017; 7 CFR 3018; 7 CFR 3019; 7 CFR 3021; and, 7 CFR 3052. NOTE: Executive Order 13256 (February 12, 2002), President's Board of Advisors on Historically Black Colleges and Universities.

Regional or Local Office:

See Regional Agency Offices. Contact the appropriate RD State Office or check the website noted in this announcement. Email: CPGGrants@wdc.usda.gov.

Headquarters Office:

Natalie Melton 1400 Independence Ave, Washington, District of Columbia 20250 Email: Natalie.Melton@wdc.usda.gov Phone: 202-690-1371

Website Address:

<http://www.rurdev.usda.gov/rbs/oa/1890.htm>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.857 STATE BULK FUEL REVOLVING FUND GRANTS

State Bulk Fuel Grants

FEDERAL AGENCY:

Rural Utilities Service, Department of Agriculture

AUTHORIZATION:

Rural Electrification Act of 1936, as amended, Title I, Section 19, Public Law 106-472, 114 Stat. 2058, 7 U.S.C. 918a.

OBJECTIVES:

To provide grants to State entities for revolving funds to promote more cost-effective fuel purchases for communities where fuel cannot be shipped by surface transportation.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds must be used to establish and support a revolving fund to provide a more cost-effective means of purchasing fuel where fuel cannot be shipped by means of surface transportation. Not more than 4 percent of the funds made available under the grant may be used for planning and administrative expenses.

Applicant Eligibility:

Applicants are limited to State entities and to entities of any Territory, possession or other area authorized to receive the services and programs of the Rural Utilities Service or the Rural Electrification Act of 1936, as amended. Entities must be in existence as of November 9, 2000.

Beneficiary Eligibility:

Assistance must be used to improve the cost-effectiveness of fuel purchasing for communities that are dependent on fuel deliveries by water or air.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from

coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Grant applications are accepted in response to a Notice of Funding Availability published in the Federal Register. Requirements for applications are described in the Federal Register notice and may be requested from the Agency. Unsolicited applications may also be considered at the request of a State. Eligible State entities should provide expressions of interest in applying for grants to the Assistant Administrator, Electric Programs.

Award Procedure:

Grants are awarded competitively.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. Grant approvals will generally be made within 60 days of the application closing date.

Appeals:

Applicants may appeal rejections of ineligible applications to the Administrator of the Rural Utilities Service under procedures in program regulations at 7 CFR Part 1709.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grant agreements will run for a period of three years. Extensions may be granted. See the following for information on how assistance is awarded/released: Funds will be disbursed on request of the Grantee.

Reports:

Grantee will be required to provide periodic financial, progress and performance reports. Cash reports are not applicable. Grantee will be required to provide periodic financial, progress and performance reports. Expenditure reports are not applicable. Grantee will be required to provide periodic financial, progress and performance reports.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grantee will be required to report in accordance with the provisions of OMB Circular A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations."

Records:

Records must be kept as required under USDA and State regulations.

Account Identification:

12-0400-0-1-452.

Obligations:

(Project Grants) FY 12 \$0; FY 13 est \$1,000,000; and FY 14 est \$0

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 1709.

Regional or Local Office:

None.

Headquarters Office:

Assistant Administrator, Electric Programs Department of Agriculture, 1400 Independence Ave., SW, Stop 1560, Washington, District of Columbia 20250
Phone: (202) 720-9540.

Website Address:

http://www.rurdev.usda.gov/UEP_Grant_Program.html

RELATED PROGRAMS:

10.850 Rural Electrification Loans and Loan Guarantees; 10.854 Rural Economic Development Loans and Grants

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Proposals are selected competitively based on total scores received on evaluation criteria in grant announcement. Unsolicited applications from State Governments are considered on a case by case basis according to criteria in regulations.

10.858 DENALI COMMISSION GRANTS AND LOANS

RUS - Denali Commission Grants

FEDERAL AGENCY:

Rural Utilities Service, Department of Agriculture

AUTHORIZATION:

Rural Electrification Act of 1936, as amended, Title I, Section 19, Public Law 106-472, 114 Stat. 2058, 7 U.S.C. 918a.

OBJECTIVES:

Grants and loans to the Denali Commission for the benefit of rural communities in Alaska with extremely high household energy costs exceeding 275 percent of the national average.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds must be used for energy generation, transmission, or distribution facilities serving rural communities where annual average residential energy expenditures are at least 275 percent of the national average. Grant must be in coordination with State rural development initiatives. Planning and administrative expenses must not exceed 4 percent of the grant amount.

Applicant Eligibility:

The Denali Commission, a Federal Agency, is the only eligible applicant.

Beneficiary Eligibility:

Beneficiaries are residents of rural communities in Alaska with extremely high energy costs. Eligible beneficiary communities must apply for assistance directly to the Denali Commission and eligible projects must be on Denali Commission Annual Approved Work Plan.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Denali Commission submits a letter of application under a Memorandum of Understanding with USDA.

Award Procedure:

Approval of the Denali request for grant funds is made by the Administrator.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grant funds are for three years and may be extended upon the sole discretion of RUS. See the following for information on how assistance is awarded/released:

Reports:

The Denali Commission will provide a summary of projects approved, rural communities served, and an accounting of expenditures made under each grant in its annual report. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Yearly performance updates on current grant recipients are required.

Audits:

Not Applicable.

Records:

The Denali Commission maintains its books and records in accordance with Federal requirements of OMB Circular A-34.

Account Identification:

12-0400-0-1-452.

Obligations:

(Project Grants) FY 12 \$0; FY 13 est \$2,300,000; and FY 14 est \$0

Range and Average of Financial Assistance:

Grant awards have ranged from \$2,500,000 to \$18,500,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR part 1709.

Regional or Local Office:

None.

Headquarters Office:

Assistant Administrator, Electric Programs, Rural Utilities Service, Department of Agriculture, 1400 Independence Ave., SW, Stop 1560, Washington, District of Columbia 20250 Phone: (202) 720-9540.

Website Address:

http://www.rurdev.usda.gov/UEP_Grant_Program.html

RELATED PROGRAMS:

10.850 Rural Electrification Loans and Loan Guarantees; 10.854 Rural Economic Development Loans and Grants

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The Agency Administrator makes a grant award based on Denali Letter of Request and Denali Annual Work Plan.

10.859 ASSISTANCE TO HIGH ENERGY COST RURAL COMMUNITIES

High Energy Cost Grants

FEDERAL AGENCY:

Rural Utilities Service, Department of Agriculture

AUTHORIZATION:

Rural Electrification Act of 1936, as amended, Title I, Section 19, Public Law

OBJECTIVES:

Assistance to rural communities with extremely high energy costs.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds must be used to acquire, construct, extend, upgrade, and improve energy generation, transmission, or distribution facilities serving communities in which the average annual expenditure for home energy costs is at least 275 percent of the national average. Energy efficiency and on-grid and off-grid renewable energy systems are eligible. Not more than 4 percent of amounts made available in grant funds may be used for planning and administrative expenses. Funds may not be used to pay utility bills, fuel costs, or operating expenses.

Applicant Eligibility:

Eligible applicants include States, political subdivisions of States, for-profit and non-profit businesses, cooperatives, associations, organizations, and other entities organized under the laws of States, Indian tribes, tribal entities, and individuals. The governments and entities located in any U.S. Territory/possession or other area authorized by law to receive the services and programs of the Rural Utilities Service or the Rural Electrification Act of 1936, as amended, are also eligible.

Beneficiary Eligibility:

Projects must serve rural communities in which the annual average residential expenditure for home energy is at least 275 percent of the national average. Energy cost eligibility benchmarks are included in the published Notice of Funding Availability.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Grant applications are accepted in response to a Notice of Funding Availability published in the Federal Register and Grants.gov. Application requirements are described in the published notice and on the agency web site. Application materials may also be requested from the Agency.

Award Procedure:

Grants will be awarded based on competitive ranking of applications according to published evaluation criteria for technical merit and community need.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days. Selection of grant finalists will be announced approximately 90 to 180 days after the application deadline. Final Grant awards will be approved after completion of environmental review and other pre-obligation requirements.

Appeals:

Applicants may appeal rejections of ineligible applications to the Administrator of the Rural Utilities Service under procedures in program regulations at 7 CFR Part 1709. Applicants may not appeal numerical rankings of competitive grant applications.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grant agreements will run for three years; extensions may be granted for just cause at the sole discretion of RUS. See the following for information on how assistance is awarded/released: Advances and reimbursements will be disbursed over the term of the grant on request of the grantee.

Reports:

Grant recipients will be required to file periodic financial, expenditure, progress, and performance reports specified in the grant agreement and program regulations. Cash reports are not applicable. Grant recipients will be required to file periodic financial, expenditure, progress, and performance reports specified in the grant agreement and program regulations. Grant recipients will be required to file periodic financial, expenditure, progress, and performance reports specified in the grant agreement and program regulations. Grant recipients will be required to file periodic financial, expenditure, progress, and performance reports specified in the grant agreement and program regulations.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantee must maintain records in accordance with requirements of program regulations and the grant agreement.

Account Identification:

12-0400-0-1-452.

Obligations:

(Project Grants) FY 12 \$11,844,527; FY 13 est \$7,766,000; and FY 14 est \$0

Range and Average of Financial Assistance:

Minimum award is \$20,000 and maximum is \$3,000,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 1709.

Regional or Local Office:

None.

Headquarters Office:

Assistant Administrator, Electric Programs Department of Agriculture, 1400 Independence Ave., SW, Stop 1560, Washington, District of Columbia 20250
Phone: (202) 720-9545.

Website Address:

http://www.rurdev.usda.gov/UEP_Grant_Program.html

RELATED PROGRAMS:

10.850 Rural Electrification Loans and Loan Guarantees; 10.854 Rural Economic Development Loans and Grants

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Grant applications will be ranked competitively based on published evaluation criteria. All projects must serve rural communities with extremely high energy costs.

10.861 PUBLIC TELEVISION STATION DIGITAL TRANSITION GRANT PROGRAM

FEDERAL AGENCY:

Rural Utilities Service, Department of Agriculture

AUTHORIZATION:

Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2006; Title III, Rural Development Programs; Rural Utilities Service; Distance Learning, Telemedicine, and Broadband Program; Public Law 109-97.

OBJECTIVES:

As part of the nation's transition to digital television, the Federal Communications Commission (FCC) required all television broadcasters to convert their transmitters to broadcast digital signals by June 12, 2009. The goal of this conversion was a television system that makes more efficient use of the radio spectrum. Decreasing the use of spectrum by television channels freed up spectrum to enable the introduction of new wireless telecommunications services such as mobile wireless Internet access. In order to allocate spectrum to new uses, stations had to transition their main transmitter to the new broadcast system and digital no later than June 2009. While stations must broadcast their main transmitter signal in digital, many rural stations have yet to complete a full digital transition of their stations across all equipment. Rural stations often have translators serving small or isolated areas. The 2009 FCC deadline did not apply to translators; in 2011 the FCC adopted a final deadline for analog-to-digital conversion of all translators by September 1, 2015. Because of this, translators have been allowed to continue broadcasting in analog, and stations are still in the process of converting some of their translators to digital. Some rural stations also have not fully converted their production and studio equipment to digital, which has impaired their ability to provide the same quality local programming that they provided in analog. The digital transition has also created some service gaps where households that received an analog signal are now unable to receive a digital signal. For rural households the digital transition has meant in some cases diminished over-the-air public television service. These rural households are the focus of the Agency's Public Television Station Digital Transition Grant Program.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grants may be used to install digital television facilities through purchase or lease (up to three years of lease costs); and for associated engineering and environmental studies. All broadcast facilities acquired under this program must be capable of delivering both digital television (DTV, sometimes called standard definition) and high definition television (HDTV) at both the interim and final channel power authorizations. Grant funds may be used to fund facilities directly connected to the digital transition of the broadcast signal such as: digital transmitters; digital translators; transmitting antennas; new towers or improvements to existing towers necessary for antenna installation; tower ground infrastructure such as land, buildings, fencing, standby power, and where commercial power is unreliable, power generation and conditioning facilities; studio to transmitter/translator communication links; television program production and management equipment; datacasting equipment; and subject to RUS approval, other facilities directly necessary for the digital transition of the broadcast signal. Grants shall not be used: to fund operations or for facilities not owned by the applicant (except for leased facilities as described above); for salaries, wages, and other public television employee benefits (unless they are for construction or installation of eligible facilities); for construction performed or expenditures made prior to the application deadline; or for facilities funded under other grants. Grants shall not be used: to fund operations or for facilities not owned by the applicant (except for leased facilities as described above); for salaries, wages, and other public television employee benefits; for construction performed or expenditures made prior to the application deadline; or for facilities funded under other grants.

Applicant Eligibility:

The applicant must be a public television station that serves a rural area regardless of whether it also serves an urban area. (Rural area means any area of the United States not included within the boundaries of any incorporated or unincorporated city, village, or borough having a population in excess of 20,000 inhabitants.) The applicant must also be required to convert from analog to digital transmission under the rules of the FCC. For the purpose of this grant program, a public television station must be an organization which (1) qualifies for Federal funding under Section 396(k) of the Public Broadcasting Act of 1967, and (2) which is either: (a) under the rules and regulations of the FCC in effect on November 2, 1978, eligible to be licensed as a noncommercial

educational broadcast station and which is owned and operated by a public agency or nonprofit private foundation, corporation, or association; or (b) owned and operated by a municipality and which transmits only noncommercial programs for education purposes.

Beneficiary Eligibility:

Anyone who receives the improved digital television signal from a rural public television station or attends an educational institution that uses public television in its curriculum.

Credentials/Documentation:

The applicant must provide evidence demonstrating that it meets the definition of a public television station listed above. The applicant must also provide evidence that the FCC has authorized the initiation of digital broadcasting at each of the Applicant's transmitter and translator sites. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. An applicant must submit an application in accordance with the Program's application guide. In addition to Government-wide requirements, the applicant shall provide a description of the project including: an executive summary, a project cost spreadsheet, broadcast coverage maps, a complete narrative description, and an environmental assessment.

Award Procedure:

All complete applications from eligible applicants that meet the application deadline will be scored in a competitive process to determine which projects are funded. There are three scoring categories. The first is rurality, a measure of the rural character of the applicant's digital television coverage area. Up to 50 points can be earned in this category. The second uses the National School Lunch Program eligibility percentage as a measure of the economic need of the people in the applicant's coverage area. This category earns up to 25 points. Finally, up to 25 points can be awarded based on critical need.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Applications are approved each fiscal year for which funding is made available.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

A recipient generally has three years to spend funds. See the following for information on how assistance is awarded/released: RUS will advance funds as needed (but no more frequently than once every 30 days) to reimburse disbursements for approved grant purposes.

Reports:

Program reports are not applicable. Cash reports are not applicable. Progress reports are not applicable. The recipient must submit SF-425, Federal Financial Report to RUS throughout the construction period. The recipient must also provide semi-annual performance reports. The recipient must monitor performance to ensure that it is meeting time schedules, accomplishing projected work by time periods, and achieving other performance objectives.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit

Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. The Grantee shall provide RUS with an audit for each year in which a portion of the Grant is expended, at the earlier of (i) 30 days after the receipt of the audit or (ii) 9 months after the end of the audit period, in accordance with 7 C.F.R. 3052, Audits of States, Local Governments, and Non-Profit Organizations

Records:

The recipient must maintain all records needed to identify the purposes for which, and the manner in which, grant and other funds were expended on the project. The recipient must maintain such records for 3 years after the project is completed. The recipient must provide reasonable access to these records to RUS.

Account Identification:

12-1232-0-1-452.

Obligations:

(Project Grants) FY 12 \$3,263,883; FY 13 est \$2,775,000; and FY 14 est \$3,000,000

Range and Average of Financial Assistance:

The maximum grant for an individual public television station is \$750,000. In fiscal year 2012, the average grant amount was \$26,388. The 10 grants ranged from \$4,000 to \$750,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: See <http://www.rurdev.usda.gov/SupportDocuments/utpFinal-2012-PTV-ProjectSummaries.pdf>. Fiscal Year 2013: No current data available. Fiscal Year 2014: N/A.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 1740 - Public Television Station Digital Transition Grant Program

Regional or Local Office:

None.

Headquarters Office:

Assistant Administrator, Telecommunications Program, Rural Utilities Service, 1400 Independence Avenue, S.W., STOP 1590, Washington, District of Columbia 20250 Phone: (202) 720-9564.

Website Address:

http://www.rurdev.usda.gov/UTP_DTV.html

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: see [Http://www.rurdev.usda.gov/SupportDocuments/utpFinal-2012-PTV-ProjectSummaries.pdf](http://www.rurdev.usda.gov/SupportDocuments/utpFinal-2012-PTV-ProjectSummaries.pdf). Fiscal Year 2013: No current data available. Fiscal Year 2014: N/A.

CRITERIA FOR SELECTING PROPOSALS:

Complete, eligible applications that meet the application deadline are scored to determine which projects are funded. There are three scoring categories: rurality, up to 50 points; economic need, up to 25 points; and critical need, up to 25 points.

10.862 HOUSEHOLD WATER WELL SYSTEM GRANT PROGRAM (HWWS)

FEDERAL AGENCY:

Rural Utilities Service, Department of Agriculture

AUTHORIZATION:

Consolidated Farm and Rural Development Act, as amended, Section 306E, Public Law 107-171, 7 U.S.C. 1926e.

OBJECTIVES:

To make grants to private, nonprofit organizations to finance the construction,

refurbishing and servicing of individually-owned household water well systems in rural areas for individuals with low or moderate income.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grant funds will be used to establish and maintain a revolving loan fund to provide loans to eligible individuals to construct, refurbish, and service individually-owned household water well systems. Eligible individuals must own or will own the household water well systems. Funds may be used to pay administrative expenses associated with providing the loans. Grant funds may not be used to pay (1) administrative expenses exceeding 10 percent of the loans made during the calendar year; (2) administrative expenses incurred before the Rural Utilities Service executes a grant agreement; (3) delinquent debt owed to the Federal Government.

Applicant Eligibility:

An applicant must be a private organization, organized as a non-profit corporation. The applicant must have the legal capacity and authority to perform the obligations of the grant. The applicant must have sufficient expertise and experience in lending activities and promoting the safe and productive use of individually-owned household water well systems and ground water.

Beneficiary Eligibility:

An individual in a household in which the combined income of all household members (for the most recent 12 months) does not exceed 100 percent of the median non-metropolitan household income for the State or territory in which the individual resides.

Credentials/Documentation:

Evidence of legal capacity, economic feasibility, ability to administer and service a revolving loan fund, commitment and sources of financial resources the organization controls. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Standard application forms as furnished by RUS must be used for this program. Environmental impact information is not required for this program. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. OMB Circular No. A-110 applies to this program. The Water and Environmental Programs of the USDA Rural Utilities Service administers the program. Application Form SF-424 is filed at the National Office in Washington, DC, from which assistance may be obtained.

Award Procedure:

The Water and Environmental Programs staff in Washington, DC, will review and process the application. Following completion of application processing requirements and grant approval, funds are made available to the applicant on a reimbursement basis.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. From 30 to 90 days.

Appeals:

From 60 to 90 days. If an application is rejected, the reasons for rejection are fully stated. The applicant may request a review of this decision with the National Appeals Division of USDA in accordance with USDA regulations at 7 CFR 11.

Renewals:

From 30 to 60 days.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: Percent: 10.%. Applicants must provide funds from

sources other than the HWWS grant. The required match must be at least 10 percent of the HWWS grant.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

A time limitation is not specified; however, priority will be given to applicants whose time frame for completion of the grant project is 12 months or less. See the following for information on how assistance is awarded/released: Funds will be awarded when all RUS requirements are met and the project can be completed on a timely basis.

Reports:

All grantees will submit quarterly performance activity reports and financial reports to RUS until the project is complete and the funds are expended. Cash reports are not applicable. Periodic reports are to be made to the RUS servicing official. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Periodic audits should be made as part of the recipient's system of financial management and internal control to meet terms and conditions of the grants and other agreements. Audits should be completed in accordance with the requirements of OMB Circular No. A-133 or RUS requirements, whichever apply.

Records:

Records and accounts are required to reflect the project operations.

Account Identification:

12-0400-0-1-452.

Obligations:

(Project Grants) FY 12 \$788,340; FY 13 est \$917,221; and FY 14 est \$0

Range and Average of Financial Assistance:

\$57,750 to \$300,000. Average is \$157,668.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR 1776, Household Water Well System Grant Program; The Household Water Well System Program: 2004 Grant Application Guide.

Regional or Local Office:

See Regional Agency Offices. Consult your local telephone directory for Rural Development local number. If no listing, get in touch with the appropriate Rural Development State Office listed in Appendix IV of the Catalog.

Headquarters Office:

Assistant Administrator, Water and Environmental Programs, 1400 Independence Ave., SW, Stop 1548, Washington, District of Columbia 20250
Phone: (202) 690-2670.

Website Address:

http://www.rurdev.usda.gov/UWEP_HomePage.html

RELATED PROGRAMS:

10.760 Water and Waste Disposal Systems for Rural Communities

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

RUS assistance for grant projects will be directed toward truly rural areas and rural communities. Considerations for available funds emphasize such criteria as population, low income, unemployment; health and sanitary problems. RUS financed facilities will not be inconsistent with any development plans of the State, multi-jurisdictional area, county, or municipality in which the proposed project is located.

10.863 COMMUNITY CONNECT GRANT PROGRAM

FEDERAL AGENCY:

Rural Utilities Service, Department of Agriculture

AUTHORIZATION:

Consolidated Appropriations Act, 2005; Title III: Rural Development Programs; Rural Utilities Service; Distance Learning, Telemedicine, and Broadband Program; Public Law 108-447.

OBJECTIVES:

To encourage community-oriented connectivity by providing grants to eligible applicants who will deploy broadband transmission service in rural communities where such service does not currently exist; who will connect all critical community facilities such as local schools, education centers, libraries, hospitals, health care providers, law enforcement agencies, public safety organizations, fire, and rescue services, as well as residents and businesses; and who will operate a community center which provides free and open access to area residents for two years.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grants will be made available, on a competitive basis, for the deployment of broadband transmission services to critical community facilities, rural residents, and rural businesses and for the construction, acquisition, expansion, and/or operation of a community center which would provide free access to broadband transmission services to community residents for at least two years. Funding is also available for end-user equipment, software, and installation costs. See 7 CFR Part 1739 for details.

Applicant Eligibility:

To be eligible for a grant, the applicant must: (a) be legally organized as an incorporated organization, an Indian tribe or tribal organization, a state or local unit of government, or other legal entity, including cooperatives or private corporations or limited liability companies organized on a for profit or not-for profit basis, and (b) have the legal capacity and authority to own and operate the broadband facilities as proposed in its application, to enter into contracts and to otherwise comply with applicable federal statutes and regulations.

Beneficiary Eligibility:

The people living in rural areas and to improve rural opportunities through the availability of access to high speed broadband networks.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Details of the projects impact on the environment and historic preservation must be provided. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Submit an application in accordance with 7 CFR 1739 and its accompanying application guide.

Award Procedure:

Grants are awarded on a competitive basis to applicants receiving the highest number of points.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Applications are approved each fiscal year for which funding is made available.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: Percent: 15.%. The grant applicant must contribute a matching contribution in the form of cash which is at least 15 percent of the grant amount requested.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Applicants generally have three years to spend funds. Extensions may be granted. See the following for information on how assistance is awarded/released: Funds may be advanced monthly or as needed to reimburse disbursements for approved grant purposes.

Reports:

Program reports are not applicable. Cash reports are not applicable. Progress reports are not applicable. SF 269, "Financial Status Report," is report required annually. Project performance activity reports are required annually.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. An audit report is required for the years in which funds are received.

Records:

Grantee will provide evidence that funds are expended for approved purposes.

Account Identification:

12-1232-0-1-452.

Obligations:

(Project Grants) FY 12 \$5,488,978; FY 13 est \$21,356,000; and FY 14 est \$104,372,000

Range and Average of Financial Assistance:

The Notice of Funds Availability and Solicitation of Applications published in the Federal Register on June 11, 2013 listed the minimum and maximum grant amounts for FY13 as \$100,000 and \$3,000,000, respectively. Awards made in FY12 ranged in size from \$144,670 to \$1,500,000 with an average size of \$784,140.00.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: No current data available. Fiscal Year 2013: No current data available. Fiscal Year 2014: N/A.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR 1739: Broadband Grant Program, Subpart A - Community Connect Grant Program

Regional or Local Office:

None.

Headquarters Office:

Assistant Administrator, Telecommunications Program Rural Utilities Service, 1400 Independence Avenue, S.W., STOP 1590, Washington, District of Columbia 20250 Phone: (202) 720-9564.

Website Address:

http://www.rurdev.usda.gov/utp_commconnect.html

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: See <http://www.rurdev.usda.gov/supportdocuments/2012CommunityConnectAwardSummaries2012.pdf>. Fiscal Year 2013: No current data available. Fiscal Year 2014: No current data available.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.864 GRANT PROGRAM TO ESTABLISH A FUND FOR

FINANCING WATER AND WASTEWATER PROJECTS

RFP Program

FEDERAL AGENCY:

Rural Utilities Service, Department of Agriculture

AUTHORIZATION:

Consolidated Farm and Rural Development Act, as amended, Section 6002, Public Law 107-171, 7 U.S.C. 1926e.

OBJECTIVES:

To make grants to private, nonprofit organizations to establish a revolving loan fund to make small, short term loans for pre-development or small capital water or waste disposal projects.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grant funds will be used to establish and maintain a revolving loan fund to finance pre-development costs associated with proposed water and wastewater projects or with existing water and wastewater systems, and short-term costs incurred for replacement equipment, small-scale extension of services, or other small capital projects that are not part of the regular operations and maintenance activities of existing water and wastewater systems.

Applicant Eligibility:

An applicant must be a private organization, organized as a non-profit corporation. The applicant must have the legal capacity and authority to perform the obligations of the grant. The applicant must have sufficient expertise and experience in making and servicing loans.

Beneficiary Eligibility:

Municipalities, counties, and other political subdivisions of a State, such as districts and authorities, associations, cooperatives, corporations operated on a not-for-profit basis, Indian tribes on Federal and State reservations and other Federally recognized Indian tribes. Facilities shall primarily serve rural residents and rural businesses. The service area shall not include any area in any city or town having a population in excess of 10,000 inhabitants according to the latest decennial census of the United States. The loan recipient must be unable to finance the proposed project from their own resources or through commercial credit at reasonable rates and terms. The loan recipient must have or will obtain the legal authority necessary for owning, constructing, operating and maintaining the proposed service or facility, and for obtaining, giving security for, and repaying the proposed loan.

Credentials/Documentation:

Evidence of legal capacity, economic feasibility, ability to administer and service a revolving loan fund, commitment and sources of financial resources the organization controls. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. The Water and Environmental Programs of the USDA Rural Utilities Service administers the program. Application Form SF-424 is filed at the National Office in Washington, DC, from which assistance may be obtained.

Award Procedure:

The Water and Environmental Programs staff in Washington, DC, will review and process the application. Following completion of application processing requirements and grant approval, funds are made available to the applicant.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. From 30 to 60 days.

Appeals:

If an application is rejected, the reasons for rejection are stated fully. The applicant may request a review of this decision at the RUS National level.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
 Matching Requirements: Percent: 20%. Applicants must provide funds from other sources equal to at least 20 percent of the RFP grant.
 MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

A time limitation is not specified for the RUS grant funds. Funds will be awarded when all RUS requirements are met and the project can be completed on a timely basis. See the following for information on how assistance is awarded/released: Funds will be awarded when all RUS requirements are met and the project can be completed on a timely basis.

Reports:

Periodic reports are to be made to the RUS servicing official. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Periodic audits should be made as part of the recipient's system of financial management and internal control to meet terms and conditions of the grants and other agreements. Audits should be completed in accordance with the requirements of OMB Circular No. A-133 or RUS requirements, whichever apply.

Records:

Records and accounts are required to reflect the project operations.

Account Identification:

12-0400-0-1-452.

Obligations:

(Project Grants) FY 12 \$497,000; FY 13 est \$923,686; and FY 14 est \$0

Range and Average of Financial Assistance:

\$497,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: No current data available. Fiscal Year 2013: No current data available. Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR 1783, Grant Program to Establish a Fund for Financing Water and Wastewater Projects; The Revolving Fund Program 2005 Grant Application Guide.

Regional or Local Office:

See Regional Agency Offices. Consult your local telephone directory for Rural Development local number. If no listing, get in touch with the appropriate Rural Development State Office listed in Appendix IV of the Catalog.

Headquarters Office:

Assistant Administrator, Water and Environmental Programs, Rural Utilities Service, 1400 Independence Ave., SW, Stop 1548, Washington, District of Columbia 20250 Phone: (202) 690-2670.

Website Address:

http://www.rurdev.usda.gov/UWEP_HomePage.html

RELATED PROGRAMS:

10.760 Water and Waste Disposal Systems for Rural Communities

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: No Current

Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

RUS assistance for grant projects will be directed toward truly rural areas and rural communities. Considerations for available funds emphasize such criteria as; population, low income, unemployment; health and sanitary problems. RUS financed facilities will not be inconsistent with any development plans of the State, multi-jurisdictional area, county, or municipality in which the proposed project is located.

10.865 BIOREFINERY ASSISTANCE

(Section 9003- Biorefinery Assistance Program)

FEDERAL AGENCY:

Rural Business-Cooperative Service, Department of Agriculture

AUTHORIZATION:

Title IX of the Farm Security and Rural Investment Act of 2002 (7 U.S.C. 8101 et seq.) is amended by the Food, Conservation, and Energy Act of 2008, Title IX, section 9003, subsection 9003.
 , Title IX, Section 9003, 9 U.S.C 9003.

OBJECTIVES:

To assist in the development of new and emerging technologies for the development of advanced biofuels, so as to: (1) increase the energy independence of the United States; (2) promote resource conservation, public health, and the environment; (3) diversify markets for agricultural and forestry products and agriculture waste material; and (4) create jobs and enhance the economic development of the rural economy.

TYPES OF ASSISTANCE:

Guaranteed/Insured Loans

USES AND USE RESTRICTIONS:

Loan guarantees are made to fund the development, construction, and retrofitting of commercial-scale biorefineries using eligible technology. The maximum loan guarantee is \$250 million. Mandatory funding is available through FY 2012. Loan guarantees are made to fund the development, construction, and retrofitting of commercial-scale biorefineries using eligible technology. Discretionary funding may be available on an annual basis. Loan guarantees are made to fund the development, construction, and retrofitting of commercial-scale biorefineries using eligible technology. . Discretionary funding may be available on an annual basis. The maximum loan guarantee is \$250 million. Mandatory funding is available through FY 2012.

Applicant Eligibility:

Eligible technologies under the program include: (A) technology that is being adopted in a viable commercial-scale operation of a biorefinery that produces an advanced biofuel; and (B) technology that has been demonstrated to have technical and economic potential for commercial application in a biorefinery that produces an advanced biofuel.

Beneficiary Eligibility:

Eligible entities under the program include: individuals, entities, Indian tribes, or units of State or local government, corporations, farm cooperatives, farmer cooperative organizations, associations of agricultural producers, National Laboratories, institutions of higher education, rural electric cooperatives, public power entities, or consortia of any of those entities.

Credentials/Documentation:

Application for Federal Guarantee, feasibility Study, Lender Analysis and other applicable and required information as outlined in application and regulations. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

This program is subject to environmental review pursuant to the National Environmental Policy Act (NEPA) of 1969. An environmental impact assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Submit an original completed application with two copies to USDAs Rural Development National Office: Energy Branch, Attention: BioRefinery Assistance Program, 1400 Independence Avenue, SW, STOP 3225, Washington, DC, 20250-3225.

Award Procedure:

Based on a review of the application, the project must be eligible and have technical merit. Projects determined by the Agency to be without technical merit will not be selected for funding. The Agency will score each application in order to prioritize each proposed project.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approval is subject to the completion of all requirements identified in the 7 CFR part 4279, subpart C.

Appeals:

An applicant may have review or appeal rights in accordance with 7 CFR Part 11.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Statute limits maximum Federal program participation to 80% of project cost.

Matching Requirements: Applicants must identify all sources, amounts, and status of matching funds. Borrowers shall demonstrate evidence of cash equity injection in the project of not less than 20 percent of eligible project costs.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Two application deadline dates of May 1 and November 1 of each calendar year. Method of awarding/releasing assistance: lump sum. Method of awarding/releasing assistance: lump sum.

Reports:

The lender must provide the Agency monthly reports from the borrower during construction. Once the project has been constructed, periodic reports for the life of the guaranteed loan. The borrowers reports will include, but not be limited to, the information specified in the following paragraphs, as applicable. (i) The actual amount of advanced biofuels produced to assess whether project goals are being met. (ii) If applicable, documentation that identified health and/or sanitation problem has been solved. (iii) A summary of the cost of operating and maintaining the facility. (iv) Description of any maintenance or operational problems associated with the facility. (v) Demonstration that the project is and has been in compliance with all applicable State and Federal environmental laws and regulations. (vi) The number of jobs created. (vii) A description on the status of the projects feedstock including, but not limited to, the feedstock being used, outstanding feedstock contracts, feedstock changes and interruptions, and quality of the feedstock. The lender must submit to the Agency quarterly financial statements within 45 days of the end of each quarter. Cash reports are not applicable. Lenders must forward periodic financial statements and progress reports. Expenditure reports are required as outlined below and in Loan Agreement and program Regulations.

The lender may make additional expenditures or new loans to a borrower with an outstanding loan guaranteed with prior written Agency approval. The Agency will only approve additional expenditures or new loans to the extent such actions where the expenditure or loan will not violate one or more of the loan covenants of the borrowers loan agreement. In all instances, the lender must notify the Agency when they make any additional expenditures or new loans. In all cases, any additional expenditure or loan made by the lender must be junior in priority to the loan guaranteed hereunder. Performance monitoring is required as outlined below and in Loan Agreement and program Regulations. Cash reports are not applicable. Lenders must forward periodic financial statements and progress reports. Expenditure reports are required as outlined below and in Loan Agreement and program Regulations.

The lender may make additional expenditures or new loans to a borrower with

an outstanding loan guaranteed with prior written Agency approval. The Agency will only approve additional expenditures or new loans to the extent such actions where the expenditure or loan will not violate one or more of the loan covenants of the borrowers loan agreement. In all instances, the lender must notify the Agency when they make any additional expenditures or new loans. In all cases, any additional expenditure or loan made by the lender must be junior in priority to the loan guaranteed hereunder. Performance monitoring is required as outlined below and in Loan Agreement and program Regulations.

Once the project has been constructed, the lender must provide the Agency periodic reports from the borrower commencing the first full calendar year following the year in which project construction was completed and continuing for the life of the guaranteed loan. The borrowers reports will include, but not be limited to, the information specified in the following paragraphs, as applicable.

(i) The actual amount of advanced biofuels produced to assess whether project goals are being met.

(ii) If applicable, documentation that identified health and/or sanitation problem has been solved.

(iii) A summary of the cost of operating and maintaining the facility.

(iv) Description of any maintenance or operational problems associated with the facility.

(v) Demonstration that the project is and has been in compliance with all applicable State and Federal environmental laws and regulations.

(vi) The number of jobs created.

(vii) A description on the status of the projects feedstock including, but not limited to, the feedstock being used, outstanding feedstock contracts, feedstock changes and interruptions, and quality of the feedstock.

The lender must submit to the Agency quarterly financial statements within 45 days of the end of each quarter.

Audits:

No audits are required for this program.

Records:

The borrower must permit representatives of the Agency (or other agencies of the U.S.) to inspect and make copies of any records pertaining to any Agency guaranteed loan during regular office hours of the borrower or at any other time upon agreement between the borrower and the Agency, as appropriate.

Account Identification:

12-3106-0-1-452.

Obligations:

(Direct Payments for Specified Use) FY 12 \$691,334,422; FY 13 est \$0; and FY 14 Estimate Not Available

Range and Average of Financial Assistance:

Maximum loan guarantee cannot exceed \$250 million.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

See solicitation for details

Regional or Local Office:

See Regional Agency Offices.

<http://www.rurdev.usda.gov/StateOfficeAddresses.html>.

Headquarters Office:

Todd Hubbell Department of Agriculture, Rural Development, Business Programs, Energy Branch, 1400 Independence Avenue, SW., MS3225, Washington, District of Columbia 20250 Email: Todd.Hubbell@wdc.usda.gov Phone: (202)690-2516

Website Address:

http://www.rurdev.usda.gov/BCP_biorefinery.html

RELATED PROGRAMS:

10.866 Repowering Assistance ; 10.867 Bioenergy Program for Advanced Biofuels

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: -Algae to advanced aviation fuel - \$54.4 million guaranteed

loan guarantee approved

- Anaerobic digester to process community waste (primarily food and beverage)

- \$12.75 million loan guarantee

in place

- Cellulosic Ethanol facilities various loan guarantee amounts. Fiscal Year

2013: none. Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The evaluation criteria that the Agency will use to score these projects are:

Whether the borrower has established a market for the advanced biofuel and the byproducts produced and whether the advanced biofuel meets an applicable renewable fuel standard. Whether the area in which the borrower proposes to place the biorefinery has other similar advanced biofuel facilities. Whether the borrower is proposing to use a feedstock not previously used in the production of advanced biofuels. Whether the borrower is proposing to work with producer associations or cooperatives. The level of financial participation by the borrower, including support from non-Federal and private sources. Whether the borrower has established that the adoption of the process proposed in the application will have a positive impact on resource conservation, public health, and the environment. Whether the borrower can establish that, if adopted, the biofuels production technology proposed in the application will not have any significant negative impacts on existing manufacturing plants or other facilities that use similar feedstocks. The potential for rural economic development, including the number of local jobs created and inclusion of local banks or other capital sources in any proposed debt syndication. The level of local ownership proposed in the application. Whether the project can be replicated. Whether the project is a first-of-a-kind technology, system, or process.

10.866 REPOWERING ASSISTANCE

Section 9004 Repowering Assistance

FEDERAL AGENCY:

Rural Business-Cooperative Service, Department of Agriculture

AUTHORIZATION:

Food, Conservation, and Energy Act of 2008, Section 9004.

OBJECTIVES:

Provides payments to eligible biorefineries that use fossil fuels to produce heat and power to replace the fossil fuels with renewable biomass.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

The purpose of this program is to provide financial incentives to biorefineries in existence on June 18, 2008 to replace the use of fossil fuels used to produce heat or power at their facilities by installing new systems that use renewable biomass, or to produce new energy from renewable biomass.

Applicant Eligibility:

Biorefinery. A facility (including equipment and processes) that converts renewable biomass into biofuels and biobased products, and may produce electricity. Eligible biorefinery. A producer, whose primary production is biofuels, that meets all requirements of this program. The biorefinery must have been in existence on or before June 18, 2008.

Beneficiary Eligibility:

For biorefineries in existence on June 18, 2008 Primary production is biofuels.

Credentials/Documentation:

1. Application for participation in the program.
2. Feasibility Study for the renewable biomass system.
3. Historical records for energy use. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. The

applicant must submit a feasibility study by an independent qualified consultant, which has no financial interest in the biorefinery, and demonstrates that the renewable biomass system of the biorefinery is feasible, taking into account the economic, technical and environmental aspects of the system.

Award Procedure:

Applications will be scored and awards will be made based on how well applicants score in relation to other applicant within the funding cycle. Payments may be made during construction and commissioning, ten percent of eligible project cost shall be withheld until project is completed and operating.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approval is subject to the completion of all requirements identified by the Agency.

Appeals:

An applicant may have review rights or appeal rights in accordance with 7 CFR part 11.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. The Statute allows \$15 million of additional Discretionary Funds for the life of the program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Duration of the Farm Bill. See the following for information on how assistance is awarded/released: Payments may be made during construction and commissioning, ten percent of eligible project cost shall be withheld until project is completed and operating. An applicant who is awarded payments under the program has five years from the fiscal year in which an award is made to request and receive payments. See the following for information on how assistance is awarded/released: Requests for funds have to be made within three years. No payments are made prior to completion and commissioning of the projects. An applicant who is awarded payments under the program has five years from the fiscal year in which an award is made to process payments.

Reports:

Form RD 4288-6 must be submitted for payment and to report the production of renewable energy. Cash reports are not applicable. Applicant must submit reports that document the production of renewable energy. RD Form RD 90042. No expenditure reports are required. No performance monitoring is required.

Audits:

Not Applicable.

Records:

No Data Available.

Account Identification:

12-1980-0-1-451.

Obligations:

(Direct Payments with Unrestricted Use) FY 12 \$0; FY 13 est \$0; and FY 14 Estimate Not Available - Program is not currently funded. However funding is expected in the near future.

Range and Average of Financial Assistance:

Maximum amount of assistance will be announced in the Federal Register, but current projects are between \$1.5 and \$5 million dollars.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Fred Petok United States Department of Agriculture
Rural Development, Energy Division
1400 Independence Avenue, SW., Stop 3225

, Washington, District of Columbia 20250-3225 Email:
frederick.petok@wdc.usda.gov Phone: 202-690-0784

Website Address:

<http://www.rurdev.usda.gov/BCP>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: No Current
Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.867 BIOENERGY PROGRAM FOR ADVANCED BIOFUELS

Advanced Biofuel Payments Program

FEDERAL AGENCY:

Rural Business-Cooperative Service, Department of Agriculture

AUTHORIZATION:

Food, Conservation, and Energy Act of 2008, Title IX, Section 9005, Title IX,
Section 9005.

OBJECTIVES:

The purpose of this subpart is to support and ensure an expanding production of advanced biofuels by providing payments to eligible advanced biofuel producers.

TYPES OF ASSISTANCE:

Direct Payments with Unrestricted Use

USES AND USE RESTRICTIONS:

The purpose of this program is to support and ensure an expanding production of Advanced Biofuels by providing payments to eligible advanced biofuel producers. The Advanced Biofuel Payment Program promotes sustainable economic development in rural America, and is an important part of achieving increased biofuel production. Producers must produce advance biofuel from feedstocks other than corn kernel starch. The Statute allows \$25 million of Discretionary Funds. Producers must produce advance biofuel from other than corn keanel starch. The Statute allows \$25 million of Discretionary Funds.

Applicant Eligibility:

Advance Biofuel Producer. An individual, corporation, company, foundation, association, labor organization, firm, partnership, society, joint stock company, group of organizations, or non-profit entity that produces and sells an advanced biofuel.

An individual, corporation, company, foundation, association, labor company, group of organizations, or non-profit entity that blends or otherwise combines advanced biofuels into a blended biofuel is not considered an advanced biofuel producer under this Program.

Beneficiary Eligibility:

The biofuel must meet the definition of advanced biofuel, be a solid, liquid, or gaseous advanced biofuel, be a final produc. Fuel must be derived from renewable biomass other than corn kernel starch.

Credentials/Documentation:

1. Application for participation in the program.
2. Certifications for quality of advance biofuel.
3. Historical and current production records. This program is excluded from coverage under OMB Circular No. A-87. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. An environmental impact assessment is required for this program. This program is excluded from

coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. This program is not subject to the Environmental Assessment or Executive 12372.

Award Procedure:

1. Application for participation in the program.
2. Sign a contract with the Agency.
3. Submit a quarterly request for payment under the program with documentation of production.
4. Convert production to BTU values,
5. Award funding based on requests received and production for each producer.

Deadlines:

Oct 01, 2013 to Oct 31, 2013 Application window is October 1 through October 31 each year. Quarterly payment request due within 30 days from end of each fiscal year quarter.

Range of Approval/Disapproval Time:

No date range has been identified for this program.

Appeals:

An applicant may seek a review of an Agency decision or appeal to the National Appeals Division in accordance with 7 CFR Part 11 of this title.

Renewals:

The program will be available until the end of Farm Bill.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. This program has no matching requirements. There are no matching requirements under this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

A Notice will be published each year identifying the amount available. If the application is not received within application window of October 1 through October 31 each year, the producer cannot participate in the program for that year. Method of awarding/releasing assistance: quarterly request for payment under the program with documentation of production. Method of awarding/releasing assistance: quarterly.

Reports:

No program reports are required. Quarterly request for payments under the program with documentation of production. No progress reports are required. Quarterly request for payments under the program with documentation of production. No performance monitoring is required.

Audits:

No audits are required for this program.

Records:

No records submittal required after payment is made. Documentation is required prior to payment.

Account Identification:

12-1908-0-1-451.

Obligations:

(Salaries) FY 12 \$35,813,013; FY 13 est \$68,600,000; and FY 14 Estimate Not Available - Awards are based on requests received and production for each producer. Examples of eligible producers include producers who are producing: biodiesel from canola oil, greases, and soybean oil; ethanol from milo or sorghum; electricity from an on-farm anaerobic digester that uses animal waste as the feedstock; and a manufacturing facility that produces wood pellets.

Range and Average of Financial Assistance:

Awards are based on requests received and production for each producer.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: quarterly payments totaling \$35.1 million to 188 producers. Fiscal Year 2013: not available. Fiscal Year 2014: not available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Notices of Contract Proposals are published in the Federal Register each fiscal year. Application and payment requirements are outlined in 7 CFR 4288-B

Regional or Local Office:

None. Rural Development State Energy Coordinators.

Headquarters Office:

Lisa Noty 255 Highway 69, Suite 1, Garber, Iowa 50438 Email: Lisa.Noty@wdc.usda.gov Phone: 641-923-3666 x109

Website Address:

http://www.rurdev.usda.gov/BCP_Biofuels.html

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.868 RURAL ENERGY FOR AMERICA PROGRAM

Rural Energy for America Program (REAP)

FEDERAL AGENCY:

Rural Business-Cooperative Service, Department of Agriculture

AUTHORIZATION:

Food, Conservation, and Energy Act of 2008, Title IX, Section 9007 Public Law version 6124 of the Farm Bill.

OBJECTIVES:

(1) Provision of grants and loan guarantees to agricultural producers and rural small businesses to promote energy efficiency improvements and renewable energy systems development. The program provides grants of up to 25% of project costs and/or loan guarantees of up to 75% of project costs to purchase and install energy efficiency improvements and renewable energy systems. (2) Provision of grants to agricultural producers and rural small businesses to help fund up to 25% of the cost for a feasibility study for a renewable energy system. (3) Provision of grants to government entities, educational institutions, rural electric cooperatives and public power entities to assist with the cost of performing energy audits and renewable energy development assistance for agricultural producers and rural small businesses. Recipients of energy audits must pay at least 25% of the cost of the audit.

TYPES OF ASSISTANCE:

Loan Guarantees/Grants

USES AND USE RESTRICTIONS:

For renewable energy systems and energy efficiency improvements, the amount of a grant shall not exceed the lesser of 25 percent of eligible project costs or \$500,000 for renewable energy systems or \$250,000 for energy efficiency improvements. The maximum amount of combined grant and loan made or guaranteed shall not exceed 75 percent of eligible project costs. For a feasibility study, the amount of a grant shall not exceed the lesser or 25 percent of the costs of the study. For Energy Audit the recipient must pay 25% of the cost of the energy audit.

2011. see solicitation for details. For renewable energy systems and energy efficiency improvements, the amount of a grant shall not exceed 25 percent of eligible project costs. The maximum amount of combined grant and loan made or guaranteed shall not exceed 75 percent of eligible project costs. For a feasibility study, the amount of a grant shall not exceed 25 percent of the costs of the study. For Energy Audit and Renewable Energy Assistance, the recipient must pay 25% of the cost of the service. 2012 \$3,400,000 and 2013 \$3,000,000. see solicitation for details.

Applicant Eligibility:

To be eligible for feasibility study grant, renewable energy systems or energy efficiency improvement assistance, an applicant must be an agricultural producer or rural small business. Rural small businesses must be located in a rural area. The applicant or owner must not have an outstanding judgment, delinquent on any Federal debt or debarred from receiving Federal assistance. Under the Energy Audit and Renewable Energy Development Assistance

programs eligible applicants include governmental entities and their instrumentalities, educational institutions, rural electric cooperatives and public power entities.

Beneficiary Eligibility:

For feasibility studies grants and energy efficiency improvements and renewable energy systems the program is for rural small businesses. For energy audits and renewable energy development assistance grants units of State, tribal, and local governments; land-grant colleges, universities, and other institutions of higher education; rural electric cooperatives and public power entities; instrumentalities of a state, tribal, and local governments.

Credentials/Documentation:

For applicants seeking feasibility study grant, renewable energy systems or energy efficiency improvement assistance: Agricultural Producers must be directly engaged in the production of agricultural products, including crops, livestock, forestry products, hydroponics, nursery stock, or aquaculture, whereby 50 percent or greater of their gross income is derived from the operations. A small business must be located in a rural area and meet the Small Business Administration's Business Size Standards including all parent, affiliate, or subsidiary entities at other locations. For the Energy Audit and Renewable Energy Development Assistance Program the entity applying must have experience in providing this service to qualify for the grant. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Applicants must submit a complete application to the Rural Development Energy Coordinator in their respective State. A complete application includes Standard Forms 424, 424C and 424D plus Rural Development forms, scope of work, feasibility studies, energy audits, environmental assessment (form RD 1940-20), and written technical requirements.

Award Procedure:

Rural Development (RD) personnel will review all applications. Ineligible and incomplete applications may be returned to the applicant and not evaluated further. RD Offices will score applications based on published evaluation criteria. Applications will be funded at the State level first. A second review and nationwide ranking will be conducted by the RD National Office. Funding recommendations will be forwarded to the Administrator, Rural Business and Cooperative Service for final awards.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 180 days after the application is filed with RBS dependent on the number of funding cycles offered per year.

Appeals:

Adverse actions made on applications are appealable, pursuant to 7 CFR part 11.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: Matching Requirements: For Renewable Energy Systems and Energy Efficiency Improvement assistance: The applicant must provide at least 75 percent of leveraged funds if the request is for grant only funds. For a combination grant and loan guarantee and loan guarantee only, the applicant must provide at least 25 percent of leveraged funds. Other Federal grants may not be used to meet the 75 percent requirement for the grant. For

Energy Audit and Renewable Energy Development Assistance: The recipient of the energy audit must pay at least 25% of the cost of the audit. For feasibility studies the applicant must provide at least 75 percent of the funds required to complete the study. MOE requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grant funds will be provided on a reimbursement basis. Guarantees on loan will be provided once the project is complete and operational. Project should typically be completed within two year from the awarding. Method of awarding/releasing assistance: monthly or as needed. See the following for information on how assistance is awarded/released: Monthly or as needed.

Reports:

A financial status report and a project performance report are required on a semiannual basis (due 30 working days after June 30 and December 31). Grantees and Guaranteed Borrowers shall constantly monitor performance to ensure that time schedules are being met and projected goals by time periods are being accomplished. Once the project is completed annual reports will be required. For renewable energy system projects, these reports are to be provided commencing in the first full calendar year after construction is completed and continuing for 3 full years. For energy efficiency improvement projects, these reports are to be provided commencing the first full calendar year following the year in which project construction was completed and continuing for 2 full years. For feasibility study, these reports are to be provided commencing in the first full calendar year after completion of the study is completed and continuing for 2 full years. For Energy Audit and Renewable Energy Development Assistance, these reports are to be provided commencing in the first full calendar year after grant is completed and continuing for 2 full years. A financial status report and a project performance report are required on a semiannual basis (due 30 working days after June 30 and December 31). Grantees and Guaranteed Borrowers shall constantly monitor performance to ensure that time schedules are being met and projected goals by time periods are being accomplished. Once the project is completed annual reports will be required. For renewable energy system projects, these reports are to be provided commencing in the first full calendar year after construction is completed and continuing for 3 full years. For energy efficiency improvement projects, these reports are to be provided commencing the first full calendar year following the year in which project construction was completed and continuing for 2 full years. For feasibility study, these reports are to be provided commencing in the first full calendar year after completion of the study is completed and continuing for 2 full years. For Energy Audit and Renewable Energy Development Assistance, these reports are to be provided commencing in the first full calendar year after grant is completed and continuing for 2 full years. A financial status report and a project performance report are required on a semiannual basis (due 30 working days after June 30 and December 31). Grantees and Guaranteed Borrowers shall constantly monitor performance to ensure that time schedules are being met and projected goals by time periods are being accomplished. Once the project is completed annual reports will be required. For renewable energy system projects, these reports are to be provided commencing in the first full calendar year after construction is completed and continuing for 3 full years. For energy efficiency improvement projects, these reports are to be provided commencing the first full calendar year following the year in which project construction was completed and continuing for 2 full years. For feasibility study, these reports are to be provided commencing in the first full calendar year after completion of the study is completed and continuing for 2 full years. For Energy Audit and Renewable Energy Development Assistance, these reports are to be provided commencing in the first full calendar year after grant is completed and continuing for 2 full years. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Accounting records prepared in

accordance with generally accepted accounting principles (GAAP) or financial statement in a format typically accepted by a commercial agricultural lender and supported by source documentation will be required for financial statements.

Records:

For Rural Energy for America Program applicant will provide a financial management system in accordance with 7 CFR Part 3015. The applicant will also retain financial records, supporting documents, statistical records, and other records pertinent to the award for a period of at least three years after final disbursement.

Account Identification:

12-1908-0-1-451.

Obligations:

(Loan Guarantees/Grants) FY 12 \$35,912,239; FY 13 est \$25,000,000; and FY 14 Estimate Not Available

Range and Average of Financial Assistance:

Grant Range \$2,500 to \$500,000; Average \$45,000 Guaranteed Loan Range \$5,000 to \$25,000,000; Average \$85,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Notice of Funds Availability will be published in the Federal Register each fiscal year. Application, construction and serving requirements are outlined in 7 CFR 4280-B.

Regional or Local Office:

None. For general information, write to: US Department of Agriculture, Rural Development, Energy Division, MS 3225, 1400 Independence Avenue, SW, Washington, DC, 20250, or telephone 202-720-1400. For specific information, contact the RD State Energy Division in your respective state. Names and contact information are published annually in the Federal Register and are available at www.usda.gov.

Headquarters Office:

Lisa Noty 255 Highway 69, Suite 1, Garber, Iowa 50438 Email: Lisa.Noty@wdc.usda.gov Phone: 641-923-3666 x109

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

- (1) Quantity of Energy Replaced, Produced, or Saved;
- (2) Environmental benefits;
- (3) Commercial availability;
- (4) Technical Merit;
- (6) Small Agricultural Producer/Very Small Rural Business;
- (7) Simplified application;
- (8) Previous grantees and borrowers;
- (9) Simple payback;
- (10) State Director and Administrator priorities and points;
- (11) Loan Rate (Guaranteed Loans only).

10.870 RURAL MICROENTREPRENEUR ASSISTANCE PROGRAM (RMAP)

FEDERAL AGENCY:

Rural Business-Cooperative Service, Department of Agriculture

AUTHORIZATION:

Food, Conservation, and Energy Act of 2008 of the Farm Bill, Title VI, Section 6022.

OBJECTIVES:

Provide rural microentrepreneurs with the skills necessary to establish new rural microenterprises and to provide continuing technical and financial assistance related to the successful operation of rural microenterprises. (1) Make direct loans to Microenterprise Development Organizations (MDO), which may be a

non-profit entity, Indian Tribe or public institution of higher education for the purpose of capitalizing microloan revolving funds to provide fixed interest rate business loans of the lesser of \$50,000 or 20 percent of the amount loaned to an MDO for startup and growing rural microenterprises. The maximum loan amount an MDO may borrow under this program will not exceed \$500,000. (2) Make grants to MDOs to support rural microenterprise development. These grants are known as operational enhancement activities or services grants. These grants will be used to seek training and other enhancement services to strengthen their own organizations. These grants will not exceed 10 percent of available funding, whichever is less in any given year. (3) Any MDO that receives a loan under this program is eligible for a grant to assist microentrepreneurs who have received or are seeking a microloan from an MDO. These grants are known as technical assistance (TA) grants and may be used by an MDO to provide marketing, management, and other technical assistance to microentrepreneurs. TA grants will provide assistance specifically tailored to the needs of one or more microentrepreneurs.

TYPES OF ASSISTANCE:

Direct Loans; Project Grants

USES AND USE RESTRICTIONS:

Loans to MDO's for the purpose of capitalizing microloan revolving funds to provide fixed interest rate business loans of \$50,000 or less to microentrepreneurs as defined for startup and growing rural microenterprises. The maximum loan amount an MDO may borrow under this program will not exceed \$500,000.

Grants will be used to provide training, operational support, business planning, market development assistance, other related services to rural microentrepreneurs, and to fund other such appropriate projects and activities. A 10 percent non-federal cash match will be required against any technical assistance grant awarded. A 15 percent match will also be required which may be in the form of non-federal cash, in-kind goods, services, or indirect costs. These two sets of matching contribution will be combined for a total matching requirement of 25 percent of the grant amount.

Any MDO that receives a loan under this program is eligible for a grant to assist microentrepreneurs who have received or are seeking a microloan from an MDO. These grants are known as technical assistance (TA) grants and may be used by an MDO to provide marketing, management, and other technical assistance to microentrepreneurs. TA grants will provide assistance specifically tailored to the needs of one or more microentrepreneurs. TA grants will be not more than the lesser of 25 percent of the total outstanding balance of microloans or \$100,000. A 10 percent non-federal cash match will be required against any technical assistance grant awarded. A 15 percent match will also be required which may be in the form of non-federal cash, in-kind goods, services, or indirect costs. These two sets of matching contribution will be combined for a total matching requirement of 25 percent of the grant amount. TA grants will be in an amount equal to not more than the lesser of 25 percent of the total outstanding balance of microloans or \$100,000. The term of a loan made to an MDO will not exceed 20 years. The interest rate to an MDO will be at a minimum 1 percent and may adjust, after the 2-year deferral, depending on the performance of the MDO as published in the anticipated Final Rule. The Grant Agreement will be effective until such time the purpose of the grant is fulfilled. A 10 percent non-federal cash match will be required against any technical assistance grant awarded. A 15 percent match will also be required which may be in the form of non-federal cash, in-kind goods, services, or indirect costs. These two sets of matching contribution will be combined for a total matching requirement of 25 percent of the grant amount. TA grants will be in an amount equal to not more than the lesser of 25 percent of the total outstanding balance of microloans or \$100,000. The term of a loan made to an MDO will not exceed 20 years. The interest rate to an MDO will be at a minimum 1 percent and may adjust, after the 2-year deferral, depending on the performance of the MDO as published in the anticipated Final Rule. The Grant Agreement will be effective until such time the purpose of the grant is fulfilled.

Applicant Eligibility:

To be eligible to apply for Microlender status under the Rural Microentrepreneur Assistance Program, an applicant must be a non-profit entity, an Indian tribe, or a public institution of higher education. Applicants

must be at least 51 percent controlled by persons who are either (i) citizens of the United States, the Republic of Palau, the Federated States of Micronesia, the Republic of the Marshall Islands, or American Samoa; or (ii) legally admitted permanent residents residing in the U.S. The applicant or owner must not have an outstanding judgment, be delinquent on any Federal debt or debarred from receiving Federal assistance.

Beneficiary Eligibility:

Microentrepreneur is defined as an owner and operator, or prospective owner and operator, of a rural microenterprise who is unable to obtain sufficient training, technical assistance, or as determined by the Secretary. All microentrepreneurs must be located in a rural area.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applicant Non-Profit organizations must submit a complete application to the USDA as called for in the final rule, which is planned for publication early in 2010. It is anticipated that the requirement will include Standard Forms 424, 424A and 424B plus program specific requirements as stated in the final rule.

Award Procedure:

For the Rural Microentrepreneur Assistance Program, Rural Development (RD) personnel will review, score, and rank applications. It is anticipated that this will take place on a quarterly basis based on published evaluation criteria. Funding recommendations will be forwarded to the Administrator, Rural Business and Cooperative Service for final awards. The National Office will transfer funds to the appropriate State office for obligation of successful awards. Unsuccessful applicants will be notified.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Adverse actions made on applications from MDO's are appealable pursuant to 7 CFR part 11.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching Requirements: Percent: 25%. Statutory formulas are not applicable to this program. Matching Requirements: Percent: 25%. A 10 percent non-federal cash match will be required against any technical assistance grant awarded. A 15 percent match will also be required which may be in the form of non-federal cash, in-kind goods, services, or indirect costs. These two sets of matching contribution will be combined for a total matching requirement of 25 percent of the grant amount.

A five percent (5%) match is required against any loan awarded, which will be used to establish a loan loss reserve fund. MOE requirements are not applicable to this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

For the Rural Microentrepreneur Assistance Program: Grant funds will be provided on a reimbursement basis. MDOs must make one or more microloans within 30 days of any disbursement it receives. MDOs may receive additional disbursements no more than quarterly. Method of awarding/releasing assistance: quarterly.

Reports:

Reporting requirements include quarterly reporting that provides information required by the Secretary to ensure that funds provided are being used for the purposes for which the loans and/or grants were made. Cash reports are not applicable. As part of the reporting, MDOs will be required to provide evidence that the sum of the unexpended amount in the Rural Microentrepreneur Revolving Fund, plus the amount in the Loan Loss Reserve Fund, plus debt owed by the microborrowers is equal to a minimum of 105% of the amount owed by the MDO to the Agency. Expenditure reports are not applicable. Reporting requirements include quarterly reporting that provides information required by the Secretary to ensure that funds provided are being used for the purposes for which the loans and/or grants were made.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Accounting records are to be prepared by or on behalf of borrowers and grantees in accordance with generally accepted accounting principles (GAAP) or audited financial statements. Source documentation will be required for financial statements.

Records:

Participating MDOs will be required to maintain loan portfolio and training records, to the extent that the Agency may conduct inspections and audits as needed.

Account Identification:

03-1334-8-5-000.

Obligations:

(Direct Loans) FY 12 \$0; FY 13 est \$10,710,000; and FY 14 Estimate Not Available - No funds were allocated in FY2012.

Range and Average of Financial Assistance:

Range of financial assistance is outlined in the Notice of Funding Availability published in the federal register, however prior loans has ranged from \$131,250 to \$500,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulatory guidelines (7 CFR Part 4280 subpart D), Notice of Solicitation of Applications, or Notice of Funds Availability will be published in the Federal Register each fiscal year. Application and servicing requirements will be outlined in applicable RD Instruction 4280-D once fully promulgated.

Regional or Local Office:

See Regional Agency Offices. www.usda.gov/StateOfficeAddresses.html.

Headquarters Office:

Robert Fry 1400 Independence Avenue, Washington, District of Columbia 20250 Email: Robert.Fry@wdc.usda.gov Phone: 202-260-0625

Website Address:

<http://www.usda.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.871 SMALL SOCIALLY- DISADVANTAGED PRODUCER GRANTS SSDPG**FEDERAL AGENCY:**

Rural Business-Cooperative Service, Department of Agriculture

AUTHORIZATION:

The SSDPG program is authorized under section 310B (e) of the Consolidated Farm and Rural Development Act (7 U.S.C. 1932 (e)).

OBJECTIVES:

The primary objective of the SSDPG program is to provide technical assistance to small, socially-disadvantaged agricultural producers in rural areas.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Grant funds must be used for eligible activities and benefit a rural area. Eligible activities include performing an advisory service for the benefit of a Small, Socially-Disadvantaged Producer such as market research; product and/or service improvement; legal advice and assistance; feasibility study, business plan, and marketing plan development; and training. Cooperatives, groups of cooperatives, and cooperative development centers.

Applicant Eligibility:

SSDPG eligible applicants are cooperatives, groups of cooperatives, and cooperative development centers. Grants may not be made to individuals or public bodies.

Beneficiary Eligibility:

They must be located in a rural area as defined at section 343(a)(13) of the CONACT (7 U.S.C. 1991(a)).

Credentials/Documentation:

Evidence of legal capacity as well as an appropriate financial management system. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. To be considered, all applicants shall submit the required details, forms, and documents in accordance with the programs regulations, agency procedures, and the annual funding opportunity announcement application submission requirements.

Award Procedure:

All applications will be reviewed in accordance with the programs regulations, agency procedures, and the annual funding opportunity announcement requirements.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 60 to 90 days. Deadline for application will be announced via the programs annual funding opportunity announcement. No later than Sep 30 of each fiscal year.

Appeals:

From 60 to 90 days. Review and appeal rights are given to applicants in accordance with 7 CFR Part 11.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The grant program is conducted on an annual basis and awards are made for a 12-month period. Method of awarding/releasing assistance: lump sum.

Reports:

Semiannual financial status and performance reports along with a final project

performance report. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year in accordance with OMB Circular A-133.

Records:

All grant records must be maintained for three years following the completion of the award.

Account Identification:

12-1900-0-1-452.

Obligations:

(Project Grants) FY 12 \$3,000,000; FY 13 est \$3,200,000; and FY 14 Estimate Not Available

Range and Average of Financial Assistance:

Varies from year-to-year.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR 4284, Subpart A.

Regional or Local Office:

See Regional Agency Offices.
<http://www.rurdev.usda.gov/StateOfficeAddresses.html>.

Headquarters Office:

Deputy Administrator of Coopertativ 1400 Independence Ave, mail stop 3250, Washington, District of Columbia 20250 Phone: 202-720-7558

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Preference will be given to those applicants that demonstrate a proven track record in cooperative and business development, and in providing technical assistance in rural areas. Projects will be selected that contribute the most to the improvement of economic conditions of the rural area.

10.874 DELTA HEALTH CARE SERVICES GRANT PROGRAM

Delta Health Care Services

FEDERAL AGENCY:

Rural Business-Cooperative Service, Department of Agriculture

AUTHORIZATION:

Consolidated Farm and Rural Development Act of 1972, Public Law 92-419, 7 U.S.C 1921. , Public Law 92-419, 7 U.S.C 1921.

OBJECTIVES:

The Delta Health Care Services Grant Program is designed to provide financial assistance to address the continued unmet health needs in the Delta Region through cooperation among health care professionals, institutions of higher education, research institutions, and other individuals and entities in the Delta Region. Grant funds may be utilized for the development of health care services; health education programs; health care job training programs; and for the development and expansion of public health-related facilities in the Delta

Region. Grants will be awarded to eligible entities in the Delta Region serving communities of no more than 50,000 inhabitants to help to address the long standing and unmet health needs of the region.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Grant funds may be used to finance any of the following: a. Develop health care services; b. Develop health education programs; c. Develop health care job training programs; d. Develop and expand public health-related facilities in the Delta Region to address longstanding and unmet health needs of the region. To be eligible for a grant; the project must serve a rural area in the Delta Region, as defined in the NOFA. RUS is making \$3,000,000 available for competitive grants in the Delta Region. The minimum grant amount is \$50,000.

Applicant Eligibility:

Applicants must be a Consortium. Consortium means a combination or group of regional institutions of higher education, academic health and research institutes, and economic development entities located in the Delta Region that have experience in addressing the health care issues in the region. Delta Region means the 252 counties and parishes within the states of Alabama, Arkansas, Illinois, Kentucky, Louisiana, Mississippi, Missouri, and Tennessee that are served by the Delta Regional Authority. (The Delta Region may be adjusted by future Federal statute.). To be eligible for a grant; the project must serve a rural area in the Delta Region, as defined in the NOFA.

Beneficiary Eligibility:

At least one member of the Consortium must be legally organized as an incorporated organization, or other legal entity, and have legal authority to contract with the Government. Individuals are not eligible for Delta Health Care Services Grant Program financial assistance directly. At least one member of the Consortium must have legal capacity and authority to carry out the purposes of the projects in its application, and to enter into contracts and to otherwise comply with applicable Federal statutes and regulations.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Preapplication coordination is not applicable. Environmental impact information is required for construction projects only. This program is excluded from coverage under E.O. 12372. To be considered, all applicants shall submit the required details, forms, and documents in accordance with the programs regulations, agency procedures, and the annual funding opportunity announcement application submission requirements.

Award Procedure:

Applications will be scored in accordance with the criteria set out in the program regulations.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Typically funds must be utilized within 2 years of the date of the award. See the following for information on how assistance is awarded/released: RBS recognizes that each funded project is unique, and therefore may attach conditions to different projects award documents. The Agency generally notifies applicants whose projects are selected for awards by faxing an award letter. The Agency follows the award letter with a grant agreement that contains all the terms and conditions for the grant. An applicant must execute and return the grant agreement, accompanied by any additional items required by the grant agreement. See the following for information on how assistance is awarded/released: RBS recognizes that each funded project is unique, and therefore may attach conditions to different projects award documents. The Agency generally notifies applicants whose projects are selected for awards by faxing an award letter. The Agency follows the award letter with a grant agreement that contains all the terms and conditions for the grant. An applicant must execute and return the grant agreement, accompanied by any additional items required by the grant agreement.

Reports:

Program reports are not applicable. Cash reports are not applicable. Progress reports are not applicable. All recipients of Delta Health Care Services Grant Program financial assistance must provide financial and performance activity reports semi-annually until the project is complete and the funds are expended. Reports are due 30 days after the reporting period ends. Final project performance reports are due within 90 days of the completion of the project and must include an evaluation of the success of the project. Cash reports are not applicable. The applicant must have the necessary processes and systems in place to comply with the reporting requirements for first-tier sub-awards and executive compensation under the Federal Funding Accountability and Transparency Act of 2006 in the event the applicant receives funding unless such applicant is exempt from such reporting requirements pursuant to 2 CFR part 170, 170.110(b). All recipients of Delta Health Care Services Grant Program financial assistance must provide annual performance activity reports to RBS until the project is complete and the funds are expended. A final performance report is also required; the final report may serve as the last annual report. The final report must include an evaluation of the success of the project.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

All grant records must be maintained for three years following the completion of the award.

Account Identification:

12-1232-0-1-452.

Obligations:

(Project Grants (Discretionary)) FY 12 \$3,000,000; FY 13 est \$2,775,327; and FY 14 Estimate Not Available

Range and Average of Financial Assistance:

RBS is making \$3,000,000 available for competitive grants in the Delta Region. The minimum grant amount is \$50,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Natalie Melton 1400 Independence Ave., SW

Stop 3250, Washington, District of Columbia 20250-1550 Email: Natalie.Melton@wdc.usda.gov Phone: 202-690-1371 Fax: 202-720-4641

Website Address:

http://www.rbsdev.usda.gov/BCP_deltahealthcare.html

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

1. Grant applications are scored competitively and subject to the criteria listed below. 2. Grant application scoring criteria are detailed in the Delta Health Care Services Grant Application Guide. There are 100 points available, broken down as follows: a. The Rurality of the Project area and communities served. (up to 40 points); b. The Community Needs and Benefits Derived from the project. (up to 45 points); and c. The Project Management and Organization capability. (up to 15 points).

10.886 RURAL BROADBAND ACCESS LOANS AND LOAN GUARANTEES

FEDERAL AGENCY:

Rural Utilities Service, Department of Agriculture

AUTHORIZATION:

Rural Electrification Act of 1936, as amended, Title VI; 7 U.S.C. 950bb.

OBJECTIVES:

To assure that people in eligible rural communities have access to broadband service comparable in reliability and quality to the rest of the Nation.

TYPES OF ASSISTANCE:

DIRECT LOANS; GUARANTEED/INSURED LOANS

USES AND USE RESTRICTIONS:

Funds can be used for the costs of the construction, improvement, or acquisition of facilities and equipment needed to provide service at the broadband lending speed in eligible rural areas. A direct loan shall bear interest at an annual rate either (1) equivalent to the cost of borrowing to the Department of the Treasury for obligations of comparable maturity or (2) 4 percent.

Applicant Eligibility:

(a) To be eligible for a broadband loan, an applicant may be either a nonprofit or for-profit organization, and must take one of the following forms: (1) Corporation; (2) Limited liability company (LLC); (3) Cooperative or mutual organization; (4) Indian tribe or tribal organization as defined in 25 U.S.C. 450b; or (5) State or local government, including any agency, subdivision, or instrumentality thereof.

(b) To be eligible for a broadband loan, the applicant must: (1) Submit a loan application which meets the requirements set forth in regulation 7 C.F.R. Part 1738; (2) Agree to complete the build-out of the broadband system described in the loan application within three years from the day the applicant is notified that loan funds are available. The loan application must demonstrate that all proposed construction be completed within this three year period with the exception of CPE. CPE can be funded throughout the forecast period; (3) Demonstrate an ability to furnish, improve, or extend broadband facilities to provide service at the broadband lending speed in rural areas; (4) Demonstrate an equity position equal to at least 10 percent of the amount of the loan requested in the application; and (5) Provide additional security if it is necessary to ensure financial feasibility as determined by the Administrator.

An eligible entity that provides telecommunications or broadband service to at least 20 percent of the households in the United States may not receive a total amount of loans or guarantees for a fiscal year in excess of 15 percent of the funds authorized and appropriated for that fiscal year.

Beneficiary Eligibility:

Residents of eligible rural communities and others who may receive service as a result of service provided to a rural area.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from

coverage under OMB Circular No. A-87.

Preapplication Coordination:

Applicants must supply environmental impact information to determine whether an environmental assessment or an environmental impact statement will be required. Additional information regarding environmental requirements can be found in 7 C.F.R. Part 1794. An environmental impact statement is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Detailed application information is available in the regulations for the program, 7 CFR Part 1738, and the Application Guide, RUS Bulletin 1738-1. For further information on submitting an application, please contact RUS and visit our website:
http://www.rurdev.usda.gov/utp_farmbill.html

Award Procedure:

The RUS Administrator makes the final decision on approval of a loan or loan guarantee. There must be a finding that the project is economically feasible, capable of delivering service at the broadband lending speed, and serves the purposes of the program. Borrowers and member of Congress are notified directly of the approval of a loan or loan guarantee.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

> 180 Days. Normal processing time is four to five months.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
Matching Requirements: The loan application must demonstrate an equity position equal to at least 10 percent of the amount of the loan requested in the application.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Unless requested to be shorter by the applicant, broadband loans must be repaid with interest within a period that, rounded to the nearest whole year, is equal to the expected composite economic life of the assets to be financed, as determined by the Agency based upon acceptable depreciation rates. The borrower must begin construction within six months from the date the Agency notifies the applicant that loan funds are available. This is the final step in closing the loan with the applicant. If the borrower fails to begin construction, the Agency may cancel the loan. The build-out must be complete within three years from the day the Agency notifies the applicant that loan funds are available. Build-out is considered complete when the network design has been fully implemented, the service operations and management systems infrastructure is operational, and the borrower is ready to support the activation and commissioning of individual customers to the new system. See the following for information on how assistance is awarded/released: .

Reports:

RUS borrowers must submit to the Rural Utilities Service quarterly operating reports showing financial condition and operating progress. No cash reports are required. No progress reports are required. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. All other borrowers must have

their financial statements audited annually by a Certified Public Accountant selected by the borrower and approved by RUS.. Information on audits is contained in 7 CFR Part 1773.

Records:

Borrowers records shall be retained and preserved in accordance with the provisions of 7 CFR Part 1770, subpart A.

Account Identification:

12-1232-0-1-452.

Obligations:

(Direct Loans) FY 12 \$0; FY 13 est \$0; and FY 14 est \$0 - Four (4) Percent Loans. (Direct Loans) FY 12 \$68,897,967; FY 13 est \$89,000,000; and FY 14 est \$63,356,000 - Cost of Money Loans. (Guaranteed/Insured Loans) FY 12 \$0; FY 13 est \$0; and FY 14 est \$0

Range and Average of Financial Assistance:

The minimum loan amount is \$100,000. The maximum loan amount is \$100 million. The smallest loan approved to date is \$362,000; the largest, \$267,298,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR 1738 - Rural Broadband Access Loans and Loan Guarantees; Notice of Solicitation of Application (NOSA) March 14, 2011 (Volume 76, Number 49); RUS Bulletin 1738-1: Rural Broadband Access Loan and Loan Guarantee Program Application Guide.

Regional or Local Office:

None.

Headquarters Office:

Assistant Administrator, Telecommunications Program, Rural Utilities Service, 1400 Independence Avenue, S.W., STOP 1590, Washington, District of Columbia 20250 Phone: (202) 720-9564.

Website Address:

http://www.rurdev.usda.gov/utp_farmbill.html

RELATED PROGRAMS:

10.851 Rural Telephone Loans and Loan Guarantees

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.901 RESOURCE CONSERVATION AND DEVELOPMENT FEDERAL AGENCY:

Natural Resources Conservation Service, Department of Agriculture

AUTHORIZATION:

Food and Agriculture Act of 1962
, Public Law 87-703, 16 U.S.C 3451.

OBJECTIVES:

To encourage and improve the capability of State and local units of government and local nonprofit organizations in rural areas to plan, develop and carry out programs for resource conservation and development.

TYPES OF ASSISTANCE:

ADVISORY SERVICES AND COUNSELING

USES AND USE RESTRICTIONS:

Technical assistance is available only to RC&D sponsors within areas authorized by the Secretary of Agriculture for assistance. Technical assistance is available for the planning and installation of approved projects specified in RC&D area plans, for land conservation, water management, community development and environmental enhancement elements.

Applicant Eligibility:

State and local governments and nonprofit organizations with authority to plan

or carry out activities relating to resource use and development in multijurisdictional areas. This program is also available in Puerto Rico, the Virgin Islands, Guam and Mariana Islands.

Beneficiary Eligibility:

State and local governments and nonprofit organizations within areas designated as RC&D areas by the Secretary of Agriculture with authority to plan or carry out activities relating to resource use and development in multijurisdictional areas.

Credentials/Documentation:

Applicant agency(ies) and nonprofit organizations must document that application is made as a result of official action of the governing body. Applications are honored only from agencies for which applicant eligibility has been established. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Written application must be in the form suggested in the National Resource Conservation and Development Manual.

Award Procedure:

Details of the procedure are available from State and field offices of the Natural Resources Conservation Service.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Indeterminate. Authorization of applications is dependent upon the level of appropriations for the program each year.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
Matching Requirements: When financial assistance is available, local or State agencies must provide land rights needed for the installation of all projects. RC&D grant assistance (up to 25 percent not to exceed \$50,000 of the total project cost) may be provided for a project. Local or State agencies generally must provide ten percent of the total cost and be responsible for any needed operation and maintenance. Loan assistance may be provided for the local share of project cost.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Not applicable. Method of awarding/releasing assistance: lump sum.

Reports:

Periodic inspections and reports are required to insure that structural projects for which Federal financial assistance has been provided are being properly operated and maintained in accordance with agreements. No cash reports are required. Periodic inspections and reports are required to insure that structural projects for which Federal financial assistance has been provided are being properly operated and maintained in accordance with agreements. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a

year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

None.

Account Identification:

12-1010-0-1-302.

Obligations:

(Salaries) FY 12 \$90,215; FY 13 est \$110,000; and FY 14 est \$150,000

Range and Average of Financial Assistance:

Not Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

"Assistance available from the Natural Resources Conservation Service," AIB-345; "Resource Conservation and Development Manual, 390 - V, Third Ed.

Regional or Local Office:

See Regional Agency Offices. State and field offices of the Natural Resources Conservation Service are listed in Appendix IV of the Catalog. For loans, contacts should be made with the Rural Development office serving the county in which the RC&D project is located.

Headquarters Office:

Dan Lawson Natural Resources Conservation Service, Department of Agriculture,
1400 Independence Avenue, SW
P.O. Box 2890, Washington, District of Columbia 20013 Email:
dan.lawson@wdc.usda.gov Phone: 202-720-1510

Website Address:

<http://www.nrcs.usda.gov>.

RELATED PROGRAMS:

10.902 Soil and Water Conservation

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Proposals for projects are judged on relevance of the project to the individual RC&D's area plan goals and objectives. Criteria for setting priorities are usually published in the RC&D area plan or are available from the local sponsors of the RC&D area. Proposals for projects should be submitted to the local USDA designated RC&D area. If an area does not exist, contact the field or state office of the Natural Resources Conservation Service.

10.902 SOIL AND WATER CONSERVATION

FEDERAL AGENCY:

Natural Resources Conservation Service, Department of Agriculture

AUTHORIZATION:

Soil Conservation and Domestic Allotment Act
, Public Law 74-76, N/A Stat. N/A, 16 U.S.C 590 a-f.

OBJECTIVES:

Provide conservation technical assistance to private landowners, conservation districts, tribes, and other organizations through a national network of locally-respected, technically-skilled, professional conservationists and assist them in conserving, improving and sustaining our natural resources and environment.

TYPES OF ASSISTANCE:

ADVISORY SERVICES AND COUNSELING

USES AND USE RESTRICTIONS:

Technical assistance to the general public in planning and applying natural resource conservation practices, systems, and treatment; and furnishing technical natural resource conservation information to State and local governments. Technical assistance to decision-makers on lands in agriculture,

forestry and related uses for planning and applying natural resource conservation practices, systems, and treatment; and furnishing technical natural resource conservation information to State and local governments.

Applicant Eligibility:

The CTA Program is delivered to private individuals, groups of decision makers, tribes, units of governments, and non-governmental organizations in all 50 States, the District of Columbia, Puerto Rico, U.S. Virgin Islands, Guam, American Samoa, the Commonwealth of the Northern Mariana Islands, the Federated States of Micronesia, the Republic of Palau, and the Marshall Islands.

Beneficiary Eligibility:

General public, State governments, and local governments.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Contact the local Natural Resources Conservation Service office in nearly all counties. Preapplication coordination of this program is not required.

Award Procedure:

Not applicable.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Other - Not Specified.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Not applicable. Method of awarding/releasing assistance: lump sum.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

Not applicable.

Account Identification:

12-1000-0-1-302.

Obligations:

(Salaries) FY 12 \$711,456,721; FY 13 est \$692,057,000; and FY 14 est \$646,740,000

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

"Conquest of the Land," AIB99; "Soil Erosion by Water," AIB513; "Assistance Available from the Natural Resources Conservation Service," AIB345; "Controlling Erosion on Construction Sites," AIB347; "Community Improvement Through Resource Conservation and Development," PA1077;

"Save Soil Systematically," PA1366. "List of Published Soil Surveys;" "Going Wild with Natural resource Conservation," PA1363; "Conversation Planning-You and Your Land," PA 1376, "Farming and Maintaining Terraces," LN570.

Regional or Local Office:

See Regional Agency Offices. State and local Natural Resources Conservation Service offices (see Appendix IV of the Catalog).

Headquarters Office:

Dan Lawson Department of Agriculture, Natural Resources Conservation Service,
1400 Independence Ave SW
P.O. Box 2890 , Washington, District of Columbia 20013 Email:
dan.lawson@wdc.usda.gov Phone: 202-720-1510

Website Address:

<http://www.nrcs.usda.gov>.

RELATED PROGRAMS:

10.054 Emergency Conservation Program; 10.500 Cooperative Extension Service; 10.901 Resource Conservation and Development; 10.903 Soil Survey; 10.904 Watershed Protection and Flood Prevention; 10.905 Plant Materials for Conservation; 10.907 Snow Survey and Water Supply Forecasting

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.903 SOIL SURVEY

FEDERAL AGENCY:

Natural Resources Conservation Service, Department of Agriculture

AUTHORIZATION:

Soil Conservation and Domestic Allotment Act , Public Law 74-46, 16 U.S.C 590 ; The Soil Information Assistance for Community Planning and Resource Development Act of 1966 , Public Law 89-560.

OBJECTIVES:

To produce and maintain up to date soil survey information (maps, data sets, soil interpretations, and reports) of the United States for widespread use by interested agencies, organizations, and individuals and to assist in the use of this information.

TYPES OF ASSISTANCE:

DISSEMINATION OF TECHNICAL INFORMATION

USES AND USE RESTRICTIONS:

Scientists and policy makers use soil survey information in studying global climate change and evaluating the sustainability and environmental impacts of land use and management practices. Soil Surveys provide input data that computer simulation models use to predict the dynamics of carbon, nutrients, and water in soils. Soil surveys are used by planners, engineers, zoning commissions, tax commissions, homeowners, farmers, ranchers, developers, landowners, and operators to evaluate soil suitability for home sites, subdivisions, commercial and industrial sites, farms, wildlife and recreational areas, prime agricultural land, hydric soils, highly erodible lands, highways, and airports and to select and implement appropriate use and management of those soils.

Applicant Eligibility:

All individuals and groups that have a need for soil survey information can access it on the Web Soil Survey at websoilsurvey.nrcs.usda.gov or by contacting the local Natural Resources Conservation Service Office.

Beneficiary Eligibility:

All individuals and groups that have a need for soil survey information are eligible to receive assistance.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Applicants may access the Web Soil Survey or request information from the local office of the Natural Resources Conservation Service, local Conservation Districts, Extension Service, the State Office of the Natural Resources Conservation Service, State Agricultural Experiment Station. Local units of government submit requests to State Conservationist, Natural Resources Conservation Service, see Appendix IV in the Catalog for addresses. Conservation District cooperators submit requests to their Conservation District board of supervisors.

Award Procedure:

Not applicable.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Not Applicable. See the following for information on how assistance is awarded/released: Technical Assistance.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

Not Applicable.

Account Identification:

12-1000-0-1-302.

Obligations:

(Salaries) FY 12 \$78,629,051; FY 13 est \$74,798,500; and FY 14 est \$68,000,000

Range and Average of Financial Assistance:

Not Applicable.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

"List of Published Soil Surveys;" "Know the Soil You Build On," AIB 320; "Know Your Soil," AIB 267; "Soil Surveys Can Help You," PA-1054. These publications are available from the Office of Public Affairs, NRCS-USDA, P.O. Box 2890, Washington, DC 20013, at no charge.

Regional or Local Office:

See Regional Agency Offices. State and local offices of the Natural Resources Conservation Service; see Appendix IV of the Catalog for addresses.

Headquarters Office:

Maxine Levin USDA, Natural Resources Conservation Service, Soils Survey Division, 14th and Independence Ave., SW, Washington, District of Columbia 20250
Email: MaxineLevin@wdc.usda.gov Phone: (202) 720-1820 Fax: (202) 720-5493.

Website Address:

<http://www.nrcs.usda.gov>.

RELATED PROGRAMS:

10.902 Soil and Water Conservation; 10.904 Watershed Protection and Flood Prevention

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.904 WATERSHED PROTECTION AND FLOOD PREVENTION

(Watershed Program; Public Law 566 Operations Phase)

FEDERAL AGENCY:

Natural Resources Conservation Service, Department of Agriculture

AUTHORIZATION:

American Recovery and Reinvestment Act of 2009, Public Law 111-5; Watershed Protection and Flood Prevention Act, Public Law 83-566, 68 Stat. 666, as amended; Public Law 84-1018, 70 Stat. 1088; Public Law 85-865, 72 Stat. 1605; Public Law 86-468, 74 Stat. 131, 132; Public Law 86-545, 74 Stat. 254; Public Law 87-703, 76 Stat. 608; Public Law 90-361, 82 Stat. 250.

OBJECTIVES:

To provide technical and financial assistance in carrying out works of improvement to protect, develop, and utilize the land and water resources in watersheds.

TYPES OF ASSISTANCE:

ADVISORY SERVICES AND COUNSELING

USES AND USE RESTRICTIONS:

Technical assistance is provided in designing, and installing watershed works of improvement. Financial assistance is provided for sharing costs of measures for watershed protection, flood prevention, agricultural water management, sedimentation control, public water based fish, wildlife, recreation; municipal and industrial water supply. Watershed area must not exceed 250,000 acres. Capacity of a single structure is limited to 25,000 acre-feet of total capacity and 12,500 acre-feet of floodwater detention capacity.

Applicant Eligibility:

Any State agency, county or groups of counties, municipality, town or township, soil and water conservation district, flood prevention or flood control district, Indian tribe or tribal organization, or any other nonprofit agency with authority under State law to carry out, maintain, and operate watershed works of improvement may apply for assistance. This program is available in Puerto Rico, the Virgin Islands, Guam, American Samoa, the Mariana Islands and the Trust Territories of the Pacific Islands.

Beneficiary Eligibility:

Any State agency, county or groups of counties, municipality, town or township, soil and water conservation district, flood prevention or flood control district, Indian tribe or tribal organization, or any other nonprofit agency with authority under State law to carry out, maintain, and operate watershed works of improvement may apply for assistance. This program is available in Puerto Rico, Guam, American Samoa, the Mariana Islands, and the Trust Territories of the Pacific Islands.

Credentials/Documentation:

Applications must designate the proposed project area, be properly signed and attested to by all applicants, and set forth the need for the proposed project. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Application forms and information are available in all NRCS offices and from designated State agencies.

Award Procedure:

Details of the procedure are available from the State and field offices of the Natural Resources Conservation Service.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Receipt of the application is acknowledged as soon as it is determined to be valid.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Formula and Matching Requirements (101):

Technical and financial assistance under which program funds provide certain prescribed services and costs and a percentage of other costs on the basis of a contract vary according to purpose of the works of improvement. For example, for construction costs under the Act, program funds provide 100 percent for flood prevention; up to 50 percent of agricultural water management, public recreation and fish and wildlife purposes; and none of the costs for certain other nonagricultural water management purposes. All of the applicants' installation costs are eligible for program loans. Reimbursable advances are available for preservation of sites and future municipal water supply. Sponsors are required to obtain all land and water rights and permits prior to installation of project measures, and for operation and maintenance.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Continues until all works of improvement are installed or their installation is terminated by mutual agreement. Method of awarding/releasing assistance: lump sum.

Reports:

Annual operation and maintenance inspection and report. Cash reports are not applicable. Annual operation and maintenance inspection and report. Expenditure reports are not applicable. Annual operation and maintenance inspection and report.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records of operation and maintenance activities are maintained for the life of the project.

Account Identification:

12-1072-0-1-301.

Obligations:

(Salaries) FY 12 \$2,013,939; FY 13 est \$150,000; and FY 14 est \$1,620,900. (Salaries) FY 12 \$0; FY 13 est \$0; and FY 14 est \$0. (Cooperative Agreements (Discretionary Grants)) FY 12 \$0; FY 13 est \$0; and FY 14 est \$0. (Project Grants (Cooperative Agreements)) FY 12 \$4,524,471; FY 13 est \$0; and FY 14 est \$4,759,600

Range and Average of Financial Assistance:

(per State) \$0 to \$2,164,000; \$650,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

"What is a Watershed," PA-420; "Flood Plain Management - Why We Need It," PA-1276; "Multiple- Purpose Watershed Projects," PA-575; and "Small Watershed Projects," PA- 1354.

Regional or Local Office:

See Regional Agency Offices. For list of NRCS State offices with telephone numbers and addresses, see Appendix IV of the Catalog.

Headquarters Office:

Ildé Chavez Department of Agriculture, Natural Resources Conservation Service
1400 Independence Ave., SW
, Washington, District of Columbia 20113 Email: Ildé.Chavez@wdc.usda.gov
Phone: 202-720-1510

Website Address:

<http://www.nrcs.usda.gov>.

RELATED PROGRAMS:

10.664 Cooperative Forestry Assistance; 10.902 Soil and Water Conservation; 10.903 Soil Survey; 10.905 Plant Materials for Conservation; 10.907 Snow Survey and Water Supply Forecasting; 12.104 Flood Plain Management Services

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

(1) The watershed must meet the requirements of the law; (2) an approved watershed plan has been prepared; (3) the local sponsors should indicate willingness to carry out a watershed project; (4) the project has environmental, economic and social benefits that exceed the costs for a favorable benefit-cost ratio; and (5) no critical environmental issues exist.

10.905 PLANT MATERIALS FOR CONSERVATION**FEDERAL AGENCY:**

Natural Resources Conservation Service, Department of Agriculture

AUTHORIZATION:

Soil Conservation and Domestic Allotment Act , Public Law 74-46, 16 U.S.C 590a-f.

OBJECTIVES:

To assemble, evaluate, select, release, and introduce into commerce, and promote the use of new and improved plant materials for soil, water, and related resource conservation and environmental improvement programs. To develop technology for land management and restoration with plant materials. To transfer technology on plant materials.

TYPES OF ASSISTANCE:

PROVISION OF SPECIALIZED SERVICES

USES AND USE RESTRICTIONS:

Plant materials are used in all phases of the soil and water conservation program. This program emphasizes field testing to determine the plant's value and restoration techniques. It is limited to the properties of conservation cooperators properties in conjunction with soil conservation districts, State Agricultural Experiment Stations, State Crop Improvement Associations and other Federal and State agencies. The program also provides commercial seed growers with breeder and foundation quality seed or propagules through state Crop Improvement Associations or Foundations Seed Services. Other plants or seed are not provided to the general public. Large-scale production is conducted by cooperating commercial producers. Free plants, seed and/or grants are not available to nurseries or the public.

Applicant Eligibility:

Cooperating State and Federal agencies and cooperators of conservation districts where structured evaluations are conducted and commercial seed growers and nurserymen interested in the commercial production of selected plant materials. Applicants are also eligible in Puerto Rico and the Virgin Islands. The general public is not eligible to participate in this program.

Beneficiary Eligibility:

Cooperating State and Federal agencies and cooperators of conservation districts and commercial seed growers and nurserymen interested in the production of selected plant materials. Applicants are also eligible in Puerto Rico and the Virgin Islands.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Contact the local Natural Resources Conservation Service office.

Award Procedure:

Not applicable.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Other - Not Specified.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Not applicable. See the following for information on how assistance is awarded/released: Not Applicable.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

Not applicable.

Account Identification:

12-1000-0-1-302.

Obligations:

(Salaries) FY 12 \$9,517,104; FY 13 est \$8,499,700; and FY 14 est \$9,072,400

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

"National Plant Materials Manual" provides overall guidance on the operation, policy and procedures of the Plant Materials Program. Over 2,500 technical and informational documents on a wide variety of plant related topics may be found on the Programs Web site at: <http://plant-materials.nrcs.usda.gov>.

Regional or Local Office:

See Regional Agency Offices. NRCS State offices and field offices. See Appendix IV.

Headquarters Office:

John Englert, Ecological Sciences Division, Natural Resources Conservation Service, Department of Agriculture, 1400 Independence Ave., SW P.O. Box 2890, Washington, District of Columbia 20013 Email: JohnEnglert@wdc.usda.gov Phone: (202) 720-0536.

Website Address:

<http://www.nrcs.usda.gov>.

RELATED PROGRAMS:

10.902 Soil and Water Conservation; 10.904 Watershed Protection and Flood Prevention

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.907 SNOW SURVEY AND WATER SUPPLY FORECASTING

(Snow Surveys)

FEDERAL AGENCY:

Natural Resources Conservation Service, Department of Agriculture

AUTHORIZATION:

Soil Conservation and Domestic Allotment Act, Public Law 74-76, 16 U.S.C 590 a-f.

OBJECTIVES:

To provide reliable, accurate and timely forecasts of surface water supply to water managers in the water users and water managers in the west. To efficiently obtain, manage, and disseminate high quality data and information on snow, water, climate, and hydrologic conditions. To provide climate data to support NRCS conservation planning tools.

TYPES OF ASSISTANCE:

DISSEMINATION OF TECHNICAL INFORMATION

USES AND USE RESTRICTIONS:

The information is used by the owners and operators of the 30,000,000 irrigated acres in the mountain States, and the far West; as well as help farm operators, rural communities, and municipalities which use the water supply forecasts to manage water resources. The data are also used in administering international and interstate river flow distribution contracts and agreements, and in the regulation of hundreds of small and large reservoirs for irrigation, flood control, power generation, recreation, industry, and municipal supplies. The data also provide soil moisture/temperature and climate data which supports drought assessments, flood assessment, fire hazard assessment, wildlife management and climate change research. Cooperators include other Federal agencies, State agencies, municipalities, irrigation districts, water associations and private utilities, and water management officials of Canada and Mexico.

Applicant Eligibility:

General public, including those located in the U.S. Territories.

Beneficiary Eligibility:

General public, including those located in the U.S. Territories.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Individuals can make requests for reports from NRCS Field and State offices that issued them, and from the NRCS National Water and Climate Center at Portland, OR.

Award Procedure:

Not applicable.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Not applicable. See the following for information on how assistance is awarded/released: Not applicable.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

Not applicable.

Account Identification:

12-1000-0-1-302.

Obligations:

(Salaries) FY 12 \$9,972,876; FY 13 est \$8,381,500; and FY 14 est \$8,975,300

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Snow Surveys, AIB 536.

Regional or Local Office:

See Regional Agency Offices. State and local offices of the Natural Resources Conservation Service in Alaska, Arizona, California, Colorado, Idaho, Montana, Nevada, New Mexico, Oregon, Utah, Washington, Wyoming, and the National Water & Climate Center, Portland, OR. See Appendix IV of the Catalog for addresses. California's Department of Water Resources, which coordinates and issues snow surveys for that State, and the British Columbia Department of Lands, Forests and Water Resources, supply data for the monthly west-wide summaries.

Headquarters Office:

Mike Strobel Natural Resources Conservation Service, Department of Agriculture, P.O. Box 2890, Washington, District of Columbia 20013 Email: michael.strobel@por.usda.gov Phone: 503-414-3055

Website Address:

<http://www.nrcs.usda.gov>.

RELATED PROGRAMS:

10.902 Soil and Water Conservation; 10.904 Watershed Protection and Flood Prevention

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.912 ENVIRONMENTAL QUALITY INCENTIVES PROGRAM (EQIP)**FEDERAL AGENCY:**

Natural Resources Conservation Service, Department of Agriculture

AUTHORIZATION:

The Food Security Act of 1985, Executive Order Executive Order, Title XII, Part Subtitle D, Public Law 99-198, 16 U.S.C 3830.

OBJECTIVES:

Promotes agricultural production, forest management, and environmental

quality as compatible national goals and optimizes environmental benefits on eligible land with farmers, ranchers, and non-industrial private forest land owners. It is a voluntary program using partnerships with local, State, Tribal and Federal agencies, producer associations, and nonprofit organizations to provide flexible technical and financial assistance to install and maintain conservation practices include those related to organic production, that sustain food and fiber production. The purpose of this program is achieved through the implementation of structural, vegetative, and land management practices on eligible land. This program is funded through the Commodity Credit Corporation (CCC). NRCS provides overall program administration and management.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

Technical assistance is provided for conservation planning, design and implementation of conservation practices for eligible participants. Financial assistance is provided for implementation of structural, and land management practices. Cost-share payments may be made to implement one or more eligible structural or vegetative practices. Incentive payments can be made to implement one or more land management practices. Sixty percent of the funding available for technical assistance, cost-share payments, incentive payments, shall be targeted at practices relating to livestock production.

Applicant Eligibility:

Agricultural producers who face serious threats to soil, water, and related natural resources, or who need assistance with complying with Federal and State environment laws. A participant may be an owner, landlord, operator, or tenant of eligible agricultural lands or non-industrial forestlands. Limited resource producers, small-scale producers, social disadvantaged individuals, federally recognized Indian tribal governments, Alaska natives, and Pacific Islanders are encouraged to apply.

Beneficiary Eligibility:

To be eligible the agricultural producers must be in compliance with highly erodible land and wetland conservation provisions and in compliance with the Adjusted Gross Income (AGI) payment limitations.

Credentials/Documentation:

Evidence that applicant has control over land to be entered into contract and submits an acceptable conservation plan for the farm or ranch unit of concern that incorporates needed natural resource conservation practices and provide either a social security number or individual tax identification number of all proposed beneficiaries. Applicants claiming either limited resource producer or beginning farmer classification may be asked to provide documents to justify their claim. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Program participation is voluntary. The applicant applies at the local USDA Service Center on Form NRCS-CPA-1200. Applications may be filed at any time during the year. The participant develops an EQIP plan of operations that identifies what conservation practices they are proposing to implement. Technical assistance and conservation payments may be provided to apply needed conservation practices and land use adjustments within a time schedule specified by the conservation EQIP plan of operations. A contract with a participant may apply one or more land management practices or one or more structural practices.

Award Procedure:

NRCS will give special consideration to applicants that address priority natural resource concerns designated. Applications will be periodically ranked and selected for funding based on locally developed ranking process. These criteria can be found at http://www.nrcs.usda.gov/programs/eqip/EQIP_signup/2007%20EQIP%20Signup/2007_EQIP.html.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 10 to 60 days.

Appeals:

A participant may appeal any adverse determination to the State Conservationist.

Renewals:

Other - Not Specified.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Percent: 75.%. Conservation practices may be eligible for conservation payments up to 75 percent of the total cost of establishing the practice including costs of foregone income. Limited resource producers, social disadvantaged producers and beginning farmers and ranchers may be eligible for payments up to 90 percent of the approved practice cost. This program has MOE requirements, see funding agency for further details. None.

Length and Time Phasing of Assistance:

A 2008 Farm Bill EQIP contract expires 1 year after the last planned practice is implemented but cannot be longer than 10 years. Obligations for assistance are tied to the schedule for applying conservation practices included in the EQIP plan of operations conservation plan used as the basis for the contract. Payments are made when the participant and NRCS certify that conservation practice is completed in accordance with NRCS standards and specifications. Technical assistance may be provided by a certified Technical Service Provider (TSP). The participant may not engage a TSP prior to contract approval. This assistance will be reimbursed based upon not-to-exceed rates. These payments will be made after the services of the certified TSP have been provided in accordance with NRCS standards and specifications. Method of awarding/releasing assistance: lump sum.

Reports:

No reports are required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Natural Resources Conservation Service makes periodic random reviews of the operation and maintenance of the contract items during the life span of the conservation practice. Participants are subject to audit by the Office of Inspector General, USDA.

Records:

Maintained in local NRCS office and Federal record centers for specified number of years.

Account Identification:

12-1004-0-1-302.

Obligations:

(Salaries) FY 12 \$373,431,799; FY 13 est \$381,925,000; and FY 14 est \$368,300,000. (Direct Payments for Specified Use) FY 12 \$1,000,549,351; FY 13 est \$1,018,075,000; and FY 14 est \$981,700,000

Range and Average of Financial Assistance:

Conservation payments are limited to a maximum payment limitation of \$300,000 for the life of the 2008 Farm Bill. Average contract payments are estimated to be \$19,600.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 1466. Program is announced through news media and in announcements to agricultural producers, farm and ranch owners and operators

in the county. Program manuals, handbooks, and leaflets issued by NRCS.

Regional or Local Office:

See Regional Agency Offices. For more information on this and other related conservation programs, consult the local telephone directory where your land is located for location of the USDA service center. For a list of NRCS State offices with telephone numbers and addresses, see appropriate Appendix IV of the Catalog. Information is available on the internet at <http://www.nrcs.usda.gov/programs/equip>.

Headquarters Office:

Mark Rose Financial Assistance Programs Division, Natural Resources Conservation Service, Department of Agriculture, PO Box 2890, Washington, District of Columbia 20113 Email: Mark.Rose@wdc.usda.gov Phone: 202-720-1844

Website Address:

<http://www.nrcs.usda.gov>.

RELATED PROGRAMS:

10.054 Emergency Conservation Program; 10.069 Conservation Reserve Program; 10.072 Wetlands Reserve Program; 10.901 Resource Conservation and Development; 10.902 Soil and Water Conservation; 10.904 Watershed Protection and Flood Prevention

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be periodically ranked and selected for funding based on: the environmental benefits per dollar expended; the cost-effectiveness of the conservation practices; the environmental benefits derived; extent to which the contract will assist the applicant in complying with Federal, State, tribal or local environmental laws; whether the land is located in a priority area and the extent the contract will assist the priority area goals and objectives.

10.913 FARM AND RANCH LANDS PROTECTION PROGRAM**FEDERAL AGENCY:**

Natural Resources Conservation Service, Department of Agriculture

AUTHORIZATION:

Food Security Act of 1985, Public Law 99-198, 16 U.S.C 3830.

OBJECTIVES:

To provide funding to eligible entities to purchase conservation easements to limit conversion to non-agricultural uses of farm and ranch lands that contain prime, unique, or important soils; that contain historical and archaeological resources; or that support the policy of a state or local farmland protection program.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

Funds are provided to purchase conservation easements in partnership with States, Tribes, or local government entities, or eligible nongovernmental organizations (eligible entities). The Federal share for any easement acquisition is limited to a maximum of 50 of the appraised fair market value of the conservation easement. A conservation plan is required for highly erodible lands enrolled under an FRPP easement. Conservation easements are perpetual easements. A right of enforcement is incorporated in the conservation easement deed for the protection of the Federal investment. A failure of title would require the eligible entity to reimburse the United States for the Federal share of the easement value.

Applicant Eligibility:

An eligible entities is any local or State agency, county or groups of counties, municipality, town or township, soil and water conservation district, or Indian tribe or tribal organization, that has a farmland protection program that purchases conservation easements for the purpose of protecting agricultural use and related conservation values by limiting conversion to non-agricultural uses of land, and that has pending offers may apply for funds. This program is available in all 50 States, Puerto Rico, the Virgin Islands, Guam, American Samoa, the Mariana Islands, and the Trust Territories of the Pacific Islands.

Individual landowners must apply through the local agency or organization that handles farmland protection program. This program is excluded from coverage under E.O. 12372.

Beneficiary Eligibility:

Landowners must be in compliance with the Wetland Compliance (WC) and Highly Erodible Land (HEL) provisions of the Farm Bill and met the Adjusted Gross Income (AGI) limitations in the Farm Bill.

Credentials/Documentation:

Entities must prove their eligibility by providing documents indicating their commitment to long-term conservation of agricultural lands through legal devices, such as right-to-farm laws, agricultural districts, zoning, or land use planning; uses of voluntary approaches to protect farmland from conversion to non-agricultural uses; and their capability to acquire, manage, and enforce conservation easements. Programs must have a systematic plan for acquiring conservation easements, have a proven commitment to agricultural land protection, and sufficient funds and staff to monitor and enforce conservation easements. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Entities must submit an application form and supporting data for each parcel to the appropriate State Office of the Natural Resources Conservation Service (NRCS). Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applications are accepted on a continuous basis by the State Offices of the Natural Resources Conservation Service (NRCS). The application form and supporting information required for the application are available from the State Offices of the (NRCS) and USDA NRCS home page.

Award Procedure:

NRCS State Offices will rank parcels individually and award funding to the highest-ranked parcels. NRCS State Offices will obligate funds to the eligible entities associated with the highest-ranked parcels.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Determined by each State Office of the Natural Resources Conservation Service (NRCS).

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: Percent: 25%. Each eligible entity is required to contribute cash towards the conservation easement acquisition. The eligible entity must contribute a minimum of 25 percent of the purchase price of the easement (appraised fair market value minus the landowner donation). Each eligible entity is required to fund its own administrative costs in acquiring easements, such as appraisals, surveys, title searches, and costs incurred in managing and enforcing the easements. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Easements must be closed within 18 months of the end of the fiscal year in which the cooperative agreement is signed. Federal funds must be disbursed within 2 to 5 years after signing the cooperative agreement. Technical assistance to maintain the conservation plan, however, is provided by NRCS through the life of the easement. Method of awarding/releasing assistance: lump sum.

Reports:

Annual monitoring reports on the status of each easements acquired will be prepared by the eligible entity and submitted to the NRCS State Office. Fund disbursement reports are prepared by NRCS. Cash reports are not applicable.

Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records of easements acquired will be maintained for the life of the easements by the cooperating entity and NRCS.

Account Identification:

12-1804-0-1-302; 12-4336-0-1-302; 12-1004-0-1-302.

Obligations:

(Salaries) FY 12 \$6,535,978; FY 13 est \$9,093,000; and FY 14 est \$7,500,000. (Direct Payments for Specified Use) FY 12 \$138,363,939; FY 13 est \$177,878,900; and FY 14 est \$142,500,000

Range and Average of Financial Assistance:

\$2,700 to \$1,000,000 per landowner. Average: \$97,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Interim Final Rule, Federal Register, Volume 74, Number 11, January 16, 2009
Interim Final Rule, Correction, Federal Register, Volume 76, Number 126, July 2, 2009.

Regional or Local Office:

See Regional Agency Offices. For a list of NRCS State Offices with telephone numbers and addresses, see Appendix IV of the Catalog.

Headquarters Office:

Steve Parkin Department of Agriculture, Natural Resources Conservation Service
Easement Programs Division
14th and Independence Ave., SW.
Room 6817-S
P.O. Box 2890, Washington, District of Columbia 20013 Email:
Steve.Parkin@wdc.usda.gov Phone: 202-720-1854 Fax: (202) 720-9689.

Website Address:

<http://www.nrcs.usda.gov>.

RELATED PROGRAMS:

10.069 Conservation Reserve Program; 10.072 Wetlands Reserve Program; 10.920 Grassland Reserve Program; 10.922 Healthy Forests Reserve Program (HFRP)

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria used for selecting proposals are: the percent of prime, unique, and important farmland in the parcel to be protected; percent of cropland, pastureland, grassland, and rangeland in the parcel to be protected; ratio of the total acres of land in the parcel to be protected to average farm size in the county according to the most recent USDA Census of Agriculture; decrease in the percentage of acreage of farm and ranch land in the county in which the parcel is located between the last two USDA Censuses of Agriculture; percent population growth in the county as documented by the United States Census; population density (population per square mile) as documented by the most recent United States Census; proximity of the parcel to other protected land, such as military installations land owned in fee title by the United States or a State or local government, or by an entity whose purpose is to protect agricultural use and related conservation values, or land that is already subject to an easement or deed restriction that limits the conversion of the land to nonagricultural use; and the proximity of the parcel to other agricultural

operations and infrastructure.

10.914 WILDLIFE HABITAT INCENTIVE PROGRAM

(WHIP)

FEDERAL AGENCY:

Natural Resources Conservation Service, Department of Agriculture

AUTHORIZATION:

Food Security Act of 1985, Section 1244h, Public Law 99-198, 16 U.S.C 3831.

OBJECTIVES:

To help participants protect, restore, develop or enhance habitat for upland wildlife, wetland wildlife, threatened and endangered species, fisheries, and other types of wildlife.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

Technical assistance is provided to develop a WHIP Plan of Operation (WPO) for eligible participants. Cost-share payments may be made to implement fish and wildlife habitat conservation practices. Up to seventy-five percent cost-share is available from NRCS to reimburse participants for installing conservation practices beneficial to wildlife.

Applicant Eligibility:

Applicant must meet Highly Erodible Land and Wetland Conservation (HEL/WC) requirements, Adjusted Gross Income (AGI) requirements, verification that applicant will be in control of land for the duration of a contract. A participant may be an owner, landlord, operator, or tenant of eligible lands. Limited resource producers, small scale producers, producers of minority groups, Federally Recognized Indian Tribal Governments, Alaska natives, Pacific Islanders, State, county, or local government owned lands are encouraged to apply.

Beneficiary Eligibility:

A participant may be an owner, landlord, operator, or tenant of eligible lands. Limited resource producers, small-scale producers, producers of minority groups, Federally Recognized Indian Tribal Governments, Alaska natives, and Pacific Islanders are encouraged to apply.

Credentials/Documentation:

Evidence that applicant has control over land to be entered into contract and submits an acceptable Wildlife Habitat Development Plan for the land unit of concern that incorporates needed wildlife habitat conservation practices. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Program participation is voluntary. The applicant applies at the local USDA service center or found at <http://www.sc.egov.usda.gov> on Form NRCS-CPA-1200. Applications may be filed at any time during the year. The participant, With the Natural Resources Conservation Service develops a WPO for the land unit of concern. The participant's plan serves as the basis for the WHIP cost-share agreement. Technical assistance and cost-share payments may be provided to apply the needed wildlife habitat conservation practices within a time schedule specified by the plan. A participant may apply one or more wildlife habitat conservation practices in a cost-share agreement.

Award Procedure:

NRCS may designate a wildlife habitat type or a region of special wildlife habitat concern as a priority. The priorities are designated by the State Conservationist based on recommendations of local working groups which are convened by the local conservation district with recommendations from the State Technical Committee. NRCS will give special consideration to applicants who have WPOs that address wildlife habitat concerns consistent with National and state priorities. Applications will be ranked and selected for funding.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

A participant may appeal any adverse determination to NRCS.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Percent: 25.%. Installation of cost-share practices to develop wildlife habitat can be funded by cost-sharing up to 75 percent of the total cost of establishing the conservation practice by NRCS. Program participants or nonfederal partners provide the remaining 25 percent. Other conservation practices may be required to be installed without program payments.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The WHIP cost-share agreement period is a minimum of 1 to 10 years. Obligations for assistance are tied to the schedule for applying wildlife habitat conservation practices included in the WPO used as the basis for cost-share agreement. Payments are made when the participant and NRCS certify that a conservation practice is completed in accordance with the cost-share agreement. Method of awarding/releasing assistance: lump sum.

Reports:

No reports are required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. NRCS makes periodic random reviews of the operation and maintenance of the cost-share agreement items during the life span of the conservation practice. Participants are subject to audit by the Office of Inspector General, USDA.

Records:

Maintained in the NRCS state office and Federal records centers for specified number of years.

Account Identification:

12-1004-0-1-302; 12-3322-0-1-302.

Obligations:

(Salaries) FY 12 \$13,266,839; FY 13 est \$20,261,900; and FY 14 est \$12,600,000. (Direct Payments for Specified Use) FY 12 \$33,682,225; FY 13 est \$52,738,120; and FY 14 est \$32,400,000

Range and Average of Financial Assistance:

Average contract payments are estimated to be \$4,500.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 636. Program is announced through news media and in announcements to agricultural producers, farm and ranch owners and operators in the county. Program manuals, handbooks, and leaflets issued by NRCS and FSA.

Regional or Local Office:

See Regional Agency Offices. For more information on this and other related conservation programs, consult the local telephone directory where your land is located for location of the USDA service center. NRCS, FSA, and many local conservation districts are located in USDA service centers. For a list of NRCS State Office telephone numbers and addresses, see Appendix IV of the Catalog

or visit the NRCS National Website at
<http://offices.sc.egov.usda.gov/locator/app>.

Headquarters Office:

Albert Cerna, Department of Agriculture, Natural Resources Conservation Service, P.O. Box 2890, Attn: Director, Financial Assistance Programs Division, Washington, District of Columbia 20113 Email: Albert.Cerna@wdc.usda.gov Phone: (202) 720-9358.

Website Address:

<http://www.nrcs.usda.gov>.

RELATED PROGRAMS:

10.054 Emergency Conservation Program; 10.069 Conservation Reserve Program; 10.072 Wetlands Reserve Program; 10.901 Resource Conservation and Development; 10.902 Soil and Water Conservation; 10.904 Watershed Protection and Flood Prevention; 10.912 Environmental Quality Incentives Program; 10.921 Conservation Security Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be ranked and selected for funding based on: The wildlife habitat benefits derived and the extent the cost-share agreement will assist in achieving the wildlife habitat priority goals and objectives in the area the land unit is located.

10.916 WATERSHED REHABILITATION PROGRAM

(Watershed Rehabilitation; PL-566 Watershed Program)

FEDERAL AGENCY:

Natural Resources Conservation Service, Department of Agriculture

AUTHORIZATION:

American Recovery and Reinvestment Act of 2009, Public Law 111-5; Watershed Protection and Flood Prevention Act, Public Law 83-566, 68 Stat. 666.

OBJECTIVES:

To provide technical and financial assistance to rehabilitate dams originally constructed with assistance of USDA Watershed Programs. Rehabilitation must extend the life of the dam and meet applicable safety and performance standards. Priority is given to dams that could result in loss of life if the dam should fail.

TYPES OF ASSISTANCE:

ADVISORY SERVICES AND COUNSELING

USES AND USE RESTRICTIONS:

Technical assistance is provided in planning, designing, and implementing watershed rehabilitation works of improvement. Financial assistance is provided for sharing costs of measures for watershed rehabilitation projects. Watershed rehabilitation projects may include reconstruction or decommissioning of the dam and relocation or flood proofing of downstream property. Technical assistance is provided in planning, designing, and implementing watershed rehabilitation works of improvement. Financial assistance is provided for sharing costs of measures for watershed rehabilitation projects. Watershed rehabilitation projects may include reconstruction or decommissioning of the dam and relocation or flood proofing of downstream property.

Applicant Eligibility:

Sponsoring local organizations for existing watershed projects that include dams that were originally constructed with assistance from one of the following water resource programs: Public Law 78-534, Section 13 of the Flood Control Act of 1944; Public Law 156-67, the pilot watershed program authorized under the heading Flood Prevention of the Department of Agriculture Appropriation Act of 1954; Public Law 83-566, the Watershed Protection and Flood Prevention Act of 1954; and Subtitle H of Title XV of the Agriculture and Flood Act of 1981, commonly known as the Resource Conservation and Development Program.

Beneficiary Eligibility:

Any State agency, county or groups of counties, municipality, town or

township, soil and water conservation district, flood prevention or flood control district, Indian tribe or tribal organization, or any other nonprofit agency with authority under State law to carry out, maintain, and operate watershed works of improvement may become a sponsoring local organization for a watershed rehabilitation project.

Credentials/Documentation:

Applications must designate the proposed dam to be rehabilitated, be properly signed and attested to by all sponsoring local organizations of the existing watershed, and set forth the need for the proposed project. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. The standard application forms, as furnished by the Federal agency and required by OMB Circular No. A-102, must be used for this program. Environmental assessments or an Environmental impact statement are required for all projects. An applicant should consult the office or official designated as the Single Point of Contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Award Procedure:

Applications are evaluated by analyzing the condition of the dam and consequences of failure of the dam. The State Dam Safety Agency is also consulted for input. Details of the procedure are available from the State Offices of the Natural Resources Conservation Service.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Receipt of the application is acknowledged as soon as it is determined to be valid.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. This program has no matching requirements. Federal funds may be available for 65 percent of the total rehabilitation project cost, but shall not exceed 100 percent of the actual construction cost incurred. The total rehabilitation project cost includes land acquisition, easements, construction, and non-federal technical assistance and project administration. The cost of technical assistance provided by the natural Resources Conservation Service is not considered as part of the total rehabilitation project cost. The sponsoring local organizations shall be responsible for the cost of all water, mineral, and other resource rights, and cost of permits which are not part of the total rehabilitation project cost. Costs associated with adding new purposes to the watershed rehabilitation project may be cost-shared according to provisions of the Watershed Protection and Flood Prevention Act. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Continues until all works of improvement are installed or their installation is terminated by mutual agreement. Method of awarding/releasing assistance: lump sum.

Reports:

Annual operation and maintenance inspection and report. Cash reports are not

applicable. Annual operation and maintenance inspection and report.
Expenditure reports are not applicable. Annual operation and maintenance inspection and report.

Audits:

Not Applicable.

Records:

Records of operation and maintenance activities are maintained for the life of the project.

Account Identification:

12-1002-0-1-301; 12-1142-0-1-301.

Obligations:

(Salaries) FY 12 \$10,233,836; FY 13 est \$6,047,400; and FY 14 est \$4,730,500. (Salaries) FY 12 \$0; FY 13 est \$0; and FY 14 est \$0. (Cooperative Agreements (Discretionary Grants)) FY 12 \$0; FY 13 est \$0; and FY 14 est \$0. (Cooperative Agreements (Discretionary Grants)) FY 12 \$11,066,367; FY 13 est \$10,007,800; and FY 14 est \$9,900,000

Range and Average of Financial Assistance:

\$0 to \$6,451,000; \$770,000 average per state.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Policy for implementation of this program is contained in the National Watershed Manual and NRCS General Manual. Program fact sheets, case studies, and guidelines are available from NRCS State Offices and can also be accessed through the NRCS website (www.nrcs.usda.gov).

Regional or Local Office:

See Regional Agency Offices. For list of NRCS State offices with telephone numbers and addresses, see Appendix IV of the Catalog.

Headquarters Office:

Idle Chavez Department of Agriculture, Natural Resources Conservation Service
14th and Independence Ave., SW.
Room 6015-S
P.O. Box 2890, Washington, District of Columbia 20013 Email:
Idle.Chavez@wdc.usda.gov Phone: (202)720-1510

Website Address:

<http://www.nrcs.usda.gov>.

RELATED PROGRAMS:

10.904 Watershed Protection and Flood Prevention; 12.104 Flood Plain Management Services

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

(1) The existing watershed project must meet the requirements of the law; (2) operation and maintenance of the existing dam must be current; (3) the local sponsors must agree to carry out the watershed project including acquiring land rights, permits, local cost-share, and operating and maintaining the rehabilitated dam for the life of the project; (4) the project has environmental, economic and social benefits that exceed the costs for a favorable benefit-cost ratio.

10.917 AGRICULTURAL MANAGEMENT ASSISTANCE

FEDERAL AGENCY:

Natural Resources Conservation Service, Department of Agriculture

AUTHORIZATION:

Agricultural Risk Protection Act of 2000, Title I, Part Subtitle C, Section 524b, Public Law 106-224, 114 Stat. 387, 7 U.S.C 1524.

OBJECTIVES:

To provide cost-share assistance to producers on private lands through contracts to construct or improve water management structures or irrigation structures; plant trees for windbreaks or to improve water quality; and mitigate financial

risk through production or marketing diversification or resource conservation practices, including soil erosion control, integrated pest management, or transition to organic farming.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

Eligible producers in approved states may receive cost-share assistance to construct or improve water management structures or irrigation structures; plant trees for windbreaks or to improve water quality; and mitigate financial risk through production or marketing diversification or resource conservation practices, including soil erosion control, integrated pest management, or transition to organic farming.

Applicant Eligibility:

Applicants must have control of the land for a period of the contract running a minimum duration of one year after completion of the last practice, but not more than 10 years. The land must be in one of the 16 following eligible states: Connecticut, Delaware, Hawaii, Maine, Maryland, Massachusetts, Nevada, New Hampshire, New Jersey, New York, Pennsylvania, Rhode Island, Utah, Vermont, West Virginia, and Wyoming. This program is excluded from coverage under E.O. 12372.

Beneficiary Eligibility:

Applicants must have control of the land for a period of the contract running a minimum duration of one year after completion of the last practice, but not more than 10 years.

Credentials/Documentation:

Evidence that the applicant has control over the land for the life of the proposed contract. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Potential applicants must submit documents to the appropriate local NRCS or Conservation District office. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Application forms are available in USDA Service Centers and conservation district offices as well as in an automated format on the Internet on the USDA NRCS homepage.

Award Procedure:

Participants must sign a contract running a minimum duration of one year after completion of the last practice, but not more than 10 years based on the conservation plan to receive financial assistance. Financial assistance payments are made directly to the applicant upon satisfactory completion on identifiable units of work. Approval of contracts are made by an authorized representative of the Commodity Credit Corporation (CCC).

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Variable, no specified time. Approval will depend on volume of applications received and available funds.

Appeals:

Any participant shall have the right to appeal final adverse or potentially adverse decisions made by NRCS.

Renewals:

Contracts that are scheduled for less than 10 years may be extended up to the ten-year contract limit, in order for the participant to complete the practices scheduled in the contract. However, the participant must apply all practices within ten years of signing the contract.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching Requirements: Percent: 25%. AMA participants are eligible for financial assistance payments of up to 75 percent of the estimated incurred cost. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Long term contracts are to be a minimum of duration of one year after completion of the last practice, but not more than 10 years. Financial assistance is paid when the practice is performed. Method of awarding/releasing assistance: lump sum.

Reports:

No reports are required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Program activities are subject to audit by the Office of Inspector General, USDA.

Records:

The records for all expired or terminated contracts will be kept for 10 years following expiration or termination of the contracts.

Account Identification:

12-1004-0-1-302.

Obligations:

(Salaries) FY 12 \$454,878; FY 13 est \$517,000; and FY 14 est \$517,000.
(Direct Payments for Specified Use) FY 12 \$1,925,170; FY 13 est \$1,983,000; and FY 14 est \$1,983,000

Range and Average of Financial Assistance:

Financial assistance payments are not to exceed \$50,000 per fiscal year. The total financial assistance payments paid per participant must not exceed \$50,000 for any fiscal year.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR, Part 1465 regulations in the Federal Register, Volume 73, No. 225, page 70245, November 20, 2008; USDA/NRCS Internet homepage under Programs.

Regional or Local Office:

See Regional Agency Offices. For a list of NRCS State Offices with telephone numbers and addresses, see Appendix IV of the Catalog.

Headquarters Office:

Dave Mason, Financial Assistance Programs Division, Natural Resources Conservation Service
Department of Agriculture, P.O. Box 2890, Washington, District of Columbia 20113
Email: Dave.Mason@wdc.usda.gov Phone: (202) 720-1873 Fax: (202) 720-4625.

Website Address:

<http://www.nrcs.usda.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

A State developed ranking process and ranking criteria will be used. The ranking criteria will emphasize: the construction or improvement of water management structures or irrigation structures; planting of trees for windbreaks or to improve water quality; and mitigating financial risk through production or marketing diversification or resource conservation practices, including soil erosion control, integrated pest management, or transition to organic farming.

10.920 GRASSLAND RESERVE PROGRAM

(GRP)

FEDERAL AGENCY:

Natural Resources Conservation Service, Department of Agriculture

AUTHORIZATION:

Food Security Act of 1985, Public Law 199-198.

OBJECTIVES:

To assist landowners and operators in restoring and protecting eligible grazing lands, including rangeland, pastureland and certain other lands through rental contracts and easements.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

GRP easements and rental contracts are emphasize support for working grazing lands. All participants are subject to the Adjusted Gross Income Provision set forth in 7 CFR Part 1400. This limitation provides that individuals and entities that have an average adjusted gross income exceeding \$1 million for the three tax years immediately preceding the year the contract is approved are not eligible to receive program benefits, unless 66.6 percent of the adjusted gross income is derived from farming, ranching, or forestry operations. Easements and rental contracts will (1) permit grazing on the land in a manner that is consistent with maintaining the viability of the native and natural grass, shrub, forest, and wildlife species adapted to the locality; (2) permit haying, mowing, or harvesting for seed production, except during the nesting and brood-rearing seasons for birds in the area that are in significant decline; (3) allow for fire rehabilitation and construction of firebreaks, fences, watering facilities and practices that protect and restore the grasslands functions and values; and (4) prohibit the production of row crops, fruit trees, vineyards, or any other agricultural commodities. Both easements and rental contracts will require that the land is managed to maintain the viability of the plant community as described in the conservation or grazing management plan.

Applicant Eligibility:

GRP is available on privately owned lands. Eligible land includes grassland, land that contains forbs, or shrubs (including rangeland and pasture land; or land that is located in an area that has historically been dominated by grassland, forbs, and shrubs; and has potential to provide habitat for animal or plant populations of significant ecological value. Incidental lands, in conjunction with eligible land, may also be considered for enrollment to allow for the efficient administration of an easement or rental contract.

Beneficiary Eligibility:

Only landowners may submit applications for easements; landowners and others who have general control of the acreage may submit applications for rental contracts. Easements may also be acquired by eligible entities based on a 50 percent cost-share with the Federal government. Eligible entities are defined as units of State, local or Tribal government or nongovernmental organizations that have a charter describing a commitment to conserving ranchland, agricultural land, or grassland for grazing and conservation purposes.

Credentials/Documentation:

Eligible entities must demonstrate it has the relevant experience and resources to administer a GRP easement. Its charter or mission describes its long term commitment to conserving ranchland, agricultural land, or grassland for grazing and conservation purposes. NRCS evaluates an entities capacity to acquire, manage and enforce easements; its staffing and the ability of an entity to provide matching funds before entering into a cooperative agreement. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Any owner or operator or tenant meeting eligibility criteria may submit an application for participation. Applications are accepted in local USDA service centers at any time. USDA will establish criteria to evaluate and rank applications for easement and rental contract enrollment. Ranking criteria will emphasize support for high quality grasslands and shrublands; grazing operations; plant and animal biodiversity; and grasslands under threat of conversion to cropping or development. Individual project selection will be made by State level USDA officials. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This

program is excluded from coverage under OMB Circular No. A-110. Applications are accepted in local USDA service centers at any time. Applicants are required to provide general information about the location of the project, contact information for the applicant, and designate the type of enrollment desired by the applicant (i.e. permanent easement or maximum allowed by State law, 10-year, 15-year, or 20-year rental contract). Applications can be obtained through local USDA service centers or the website of the Natural Resources Conservation Service Office. See www.nrcs.usda.gov. Select Programs from the menu, then select Grassland Reserve Program.

Award Procedure:

NRCS State Offices rank the individual parcels in the applications based on ranking criteria established by the State Technical Committee. The ranking factors are developed based on national and state priorities.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Within a Federal fiscal year (October 1 September 30) based on funding availability.

Appeals:

Applicants or participants may appeal decisions regarding this program in accordance with 7 CFR Part 614 and Parts 11 and 780.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching Requirements: Percent: 50.%. For GRP acquisitions made through a Cooperative Agreement, each eligible entity is required to provide, in cash, at least 50 percent of the purchase price of the conservation easement. Purchase price is defined as the appraised fair market value minus any landowner donation. The landowner donation is not a cash donation, but is merely the reduction in value the landowner will accept as payment for the sale of the conservation easement. Each eligible entity is required to fund its own administrative costs in acquiring easements, such as surveys, appraisals, deed preparation, title searches, and costs incurred in restoring, managing and enforcing the easements. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Easement duration is either perpetual, or the maximum duration permitted by State law. Financial assistance for easement acquisition is provided at closing. For rental contracts, payments are made annually on the agreement anniversary date of USDA approval. For both easements and rental contracts, if restoration activities are required, financial assistance for such activities is provided when required practices are established. Technical assistance is available for the length of the easement or rental contract. Method of awarding/releasing assistance: lump sum.

Reports:

Annual reports on the status of the easements acquired will be prepared by the eligible entity and submitted to the NRCS State Office. Fund disbursement reports are prepared by NRCS.. No cash reports are required. Annual reports on the status of the easements acquired will be prepared by the eligible entity and submitted to the NRCS State Office. Fund disbursement reports are prepared by NRCS.. Expenditure reports are not applicable. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. An audit may be made in accordance with the Office of Management and Budget (OMB) Circular No. A-102 Uniform Administrative Requirements for Grants and Cooperative Agreements to State and local governments and other related Circulars.

Records:

Records of easements acquired will be maintained for the life of the easements by the eligible entity and NRCS.

Account Identification:

12-1004-0-1-302.

Obligations:

(Salaries) FY 12 \$5,959,743; FY 13 est \$6,341,200; and FY 14 est \$0. (Direct Payments for Specified Use) FY 12 \$59,304,611; FY 13 est \$56,992,200; and FY 14 est \$0

Range and Average of Financial Assistance:

The 5 year average estimated cost per acre for easement acquisition was approximately \$400, ranging from \$65 per acre to over \$30,000 per acre. The average estimated cost per acre for rental contracts was \$134 per acre over the life of the rental contract. Program Accomplishments (130): Over 250 GRP easements were acquired on over 117,000 acres.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

GRP rental contracts are limited to \$50,000 per person per year. GRP restoration agreements are also limited to \$50,000 per person per year. Grassland Reserve Program Website; www.nrcs.usda.gov/programs/grp.

Regional or Local Office:

See Regional Agency Offices. Local Service Centers can be found in the blue pages of the local telephone directory under the heading USDA Service Center or through the office locator assistance on the NRCS website found at www.nrcs.usda.gov.

Headquarters Office:

Steve Parkin Department of Agriculture, Natural Resources Conservation Service
14th and Independence Ave., SW.
Room 6817-S
P.O. Box 2890 , Washington, District of Columbia 20250 Email:
Steve.Parkin@wdc.usda.gov Phone: (202) 720-1854

Website Address:

<http://www.nrcs.usda.gov>.

RELATED PROGRAMS:

10.069 Conservation Reserve Program; 10.072 Wetlands Reserve Program; 10.913 Farm and Ranch Lands Protection Program; 10.922 Healthy Forests Reserve Program (HFRP)

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.921 CONSERVATION SECURITY PROGRAM (CSP)

FEDERAL AGENCY:

Natural Resources Conservation Service, Department of Agriculture

AUTHORIZATION:

Food Security Act of 1985, Executive Order Executive Order, Title XII, Part Chapter 2, Section Subchapter A, Public Law 99-198, 16 U.S.C 3801et.seq.

OBJECTIVES:

The CSP sets forth a mechanism to provide financial and technical assistance to promote the conservation and improvement of soil, water, air, energy, plant and animal life, and other conservation purposes on Tribal and private working lands. Working lands include cropland, grassland, prairie land, improved pasture, and range land, as well as forested land that is an incidental part of an agriculture operation. The goal of CSP is to identify and reward those farmers and ranchers who are meeting the highest standards of conservation and environmental management on their operations and to support ongoing stewardship of private agricultural lands by providing payments for maintaining and enhancing natural resources.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

Eligible applicants may offer eligible land on a portion of their agricultural operation to enter into a five year contract, or entire agricultural operation for a five to ten year contract. Subject to the acceptance of an offer by the Federal Government, the participant shall agree to participate as outlined in the CSP stewardship plan and contract. The contract will include appropriate stewardship, new practice, existing practice, and enhancement payments. Subject to the availability of funds, CCC will make stewardship, existing practice, new practice or enhancement payments at the rates specified in this contract after a determination by CCC that an eligible practice or activity has been established in compliance with the CSP plan of operations and in accordance with appropriate standards and specifications.

Applicant Eligibility:

Applicants must have applied prior to Oct. 1, 2008. An individual producer, partnership, association, corporation, estate, trust, other business or other legal entities controlling eligible lands. The term producer means an owner, operator, landlord, tenant or sharecropper that shares in the risk of producing any crop or livestock; and must be entitled to share in the crop or livestock available for marketing from an agricultural operation. An applicant must be in compliance with highly erodible land and wetland conservation provisions, and average adjusted gross income requirements. Lands enrolled in the Conservation Reserve Program, Wetlands Reserve Program, the Grassland Reserve Program pursuant to 16 U.S.C. 3838n, public land including land owned by a Federal, State or local unit of government are not eligible for enrollment in CSP and may not receive CSP payments.

Beneficiary Eligibility:

An individual producer, partnership, association, corporation, estate, trust, other business or other legal entities controlling eligible lands. The term producer means and owner, operator, landlord, tenant or sharecropper that shares in the risk of producing any crop or livestock; and must be entitled to share in the crop or livestock available for marketing from an agricultural operation. A participant must be in compliance with highly erodible land and wetland conservation provisions, and average adjusted gross income requirements. Lands enrolled in the Conservation Reserve Program, Wetlands Reserve Program, the Grassland Reserve Program pursuant to 16 U.S.C. 3838n, public land including land owned by a Federal, State or local unit of government are not eligible for enrollment in CSP and may not receive CSP payments.

Credentials/Documentation:

The participant must have control of the land offered for the length of the contract period. This program is excluded from coverage under OMB Circular No. A-87. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Conduct a CSP self-assessment and benchmark condition inventory, and submit an intention to enroll to the local NRCS office that serves the area in which the agricultural operation is located during the designated sign-up period.

Award Procedure:

All applications which meet the sign-up criteria within the priority watersheds will be placed in an enrollment category regardless of available funding. Enrollment categories and subcategories will be funded in priority order until the available funds are exhausted.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 60 to 180 days after the application is filed with the NRCS.

Appeals:

An applicant or a participant may obtain administrative review of an adverse decision. Participants cannot appeal payment rates, payment limits, and cost-share percentages, eligible conservation practices, or other matters of general applicability. Before a participant can seek judicial review, the participant must exhaust all administrative appeal procedures.

Renewals:

The conservation security contract may be renewed, as appropriate, for an additional period of not less than 5, nor more than 10 years.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: Payments may have as many as four components including a base conservation stewardship payment, existing practice payment, new practice cost-share payment, and enhancement payment. Payments will be made as described in the contract in return for the implementation and/or maintenance of a specified level of conservation treatment on all or part of the agricultural operation.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The schedule of payments will be specified in the conservation stewardship contract based on calculations identified in the rule and sign up notice. Method of awarding/releasing assistance: lump sum.

Reports:

No reports are required.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. Recipients are subject to audit by the Office of Inspector General, USDA.

Records:

Records will be maintained by the participant, as appropriate and in the county NRCS office, State NRCS office and Federal Record Centers for the length of the agreement.

Account Identification:

12-1004-0-1-302.

Obligations:

(Salaries) FY 12 \$18,553,950; FY 13 est \$14,020,400; and FY 14 est \$11,666,000. (Direct Payments for Specified Use) FY 12 \$169,490,957; FY 13 est \$147,578,000; and FY 14 est \$122,795,000

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

The program is announced through news media, local conservation districts, and in letters to agricultural landowners in the county. Regulations published in the Federal Register and 7 CFR XIV.

Regional or Local Office:

See Regional Agency Offices. Consult the local telephone directory for location of the NRCS office. If no listing, contact the appropriate State NRCS office listed in the NRCS Section of Appendix IV. the Catalog.

Headquarters Office:

Mark Rose Department of Agriculture,
PO Box 2890, Washington, District of Columbia 20113 Email:
Mark.Rose@wdc.usda.gov Phone: (202) 690-2621

Website Address:

<http://www.nrcs.usda.gov>.

RELATED PROGRAMS:

10.904 Watershed Protection and Flood Prevention; 10.912 Environmental Quality Incentives Program; 10.914 Wildlife Habitat Incentive Program; 10.917 Agricultural Management Assistance; 10.924 Conservation Stewardship Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

All applications which meet the sign-up criteria within the priority watersheds will be placed in an enrollment category regardless of available funding. Enrollment categories and subcategories will be funded in priority order until the available funds are exhausted.

10.922 HEALTHY FORESTS RESERVE PROGRAM (HFRP)

FEDERAL AGENCY:

Natural Resources Conservation Service, Department of Agriculture

AUTHORIZATION:

Healthy Forest Restoration Act of 2003, Title V, Section 501, Public Law 108-148, 117 Stat. 1911, 16 U.S.C 6571-6578.

OBJECTIVES:

To assist landowners in restoring and enhancing forest ecosystems to: promote the recovery of threatened and endangered species; improve biodiversity; and enhance carbon sequestration.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

HFRP restoration agreements and easements are designed for working Agricultural lands. HFRP is a voluntary program to assist landowners in restoring, enhancing and maintaining forest ecosystems on private lands through easements, contracts and restoration agreements. Easements, contracts, and restoration agreements shall be maintained in accordance with HFRP goals and objectives for the duration of the restoration agreement and easement including the restoration, protection, enhancement, maintenance, and management of habitat for listed species within a forest ecosystem's functions and values. For the duration of its term, the easement shall require, at a minimum, that the landowner, and the landowner's heirs, successors and assigns, shall cooperate in the restoration, protection, enhancement, maintenance, and management of the land in accordance with the easement and with the terms of the HFRP restoration plan. In addition, the easement shall grant to the United States, through the NRCS: a right of access to the easement area; the right to permit compatible uses of the easement area, if such use is consistent with the long-term protection and enhancement of the purposes for which the easement was established; the right to determine compatible uses on the easement area and specify the amount, method, timing, intensity and duration of the compatible use; the rights, title and interest to the easement area as specified in the conservation easement deed; and the right to perform restoration, protection, enhancement, maintenance, and management activities on the easement area. The landowner shall convey title to the easement which is acceptable to the NRCS. The landowner shall warrant that the easement granted to the United States is superior to the rights of all others, except for exceptions to the title which are deemed acceptable by the NRCS.

Applicant Eligibility:

To be eligible to enroll an easement or restoration agreement in the HFRP, a person must: be the landowner of eligible private land for which enrollment is sought; agree to provide such information to the Natural Resources Conservation Service (NRCS) as the Agency deems necessary or desirable to assist in its determination of eligibility for program benefits and for other program implementation purposes.

Beneficiary Eligibility:

Only landowners.

Credentials/Documentation:

Be the landowner of eligible land for which enrollment is sought; and agree to provide such information to NRCS as the agency deems necessary or desirable to assist in its determination of eligibility for program benefits and for other program implementation purposes. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Any owner meeting eligibility criteria may submit an application for participation. Applications are accepted in local USDA Service Center Offices during announced enrollment sign-up periods. USDA will establish criteria to evaluate and rank applications for easement and restoration agreement

enrollment, criteria will be detailed in the sign-up notice. NRCS will identify through a sign-up notice process the geographic scope and ranking priorities for that particular sign-up. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Applications are accepted in local USDA Service Center Offices during announced enrollment sign-up dates. Applicants are required to provide general information about the location of the project, contact information for the applicant, and designate the type of enrollment desired by the applicant (i.e., 10 year restoration agreement, 30 year easement or 99 year easement). Applications can be obtained through local USDA Service Center.

Award Procedure:

NRCS will not acquire any easement unless the landowner accepts the amount of the easement payment which is offered by NRCS. The easement payment may or may not equal the fair market value of the interests and rights to be conveyed by the landowner under the easement. By voluntarily participating in the program, a landowner waives any claim to additional compensation based on fair market value.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Within a Federal fiscal year (October 1 September 30) based on funding availability.

Appeals:

A person participating in the HFRP may obtain a review of any administrative determination concerning eligibility for participation utilizing the administrative appeal regulations provided in 7 CFR Part 614.

Renewals:

The land offered may be re-offered in a future sign-up unless land or landowner is ineligible.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching Requirements: Easement payments may be made in a single payment or not more than 10 annual payments of equal or unequal size, as agreed to between NRCS and the landowner. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Land may be enrolled in HFRP in accordance with a 10-year restoration cost-share agreement, a 30-year easement, a 30-year contract, or a permanent easement or the maximum duration permitted by State law. 30-year contracts are only available to acreage owned by Indian Tribes. Financial assistance for easement acquisition is provided at closing. For restoration agreements, payments are made annually on the agreement anniversary date of USDA approval. For easements, contracts, and restoration agreements, if restoration activities are required, financial assistance for such activities is provided when required practices are established. Technical assistance is available for the length of the easement or restoration agreement. See the following for information on how assistance is awarded/released: None.

Reports:

No reports are required.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. Recipients are subject to audit by the Office of Inspector General, USDA.

Records:

Records will be maintained in the county NRCS office, State NRCS office and Federal Record Centers for the length of the agreement. The easement (deed restriction) and applicable documents will be recorded in the local land records office for the duration of the easement. Agreements are filed with the Agency.

Account Identification:

12-1004-0-1-302; 12-1090-0-1-302.

Obligations:

(Salaries) FY 12 \$1,374,898; FY 13 est \$2,167,000; and FY 14 est \$66,200. (Direct Payments for Specified Use) FY 12 \$8,483,137; FY 13 est \$9,173,800; and FY 14 est \$1,756,700

Range and Average of Financial Assistance:

In FY 2006, NRCS had \$2.3 million available to fund the program in three states. The average estimated cost per acre for easement acquisition was approximately \$1,048.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

HFRP Regulations are published in the Federal Register and 7 CFR Part 625.

Regional or Local Office:

See Regional Agency Offices. Local Service Centers can be found in the blue pages of the local telephone directory under the heading USDA Service Center or through the office locator assistance on the NRCS website found at www.nrcs.usda.gov.

Headquarters Office:

Steve Parkin Department of Agriculture, Natural Resources Conservation Service
14th and Independence Ave., SW.
Room 6817-S
P.O. Box 2890, Washington, District of Columbia 20250 Email:
Steve.Parkin@wdc.usda.gov Phone: (202) 720-1854

Website Address:

<http://www.nrcs.usda.gov>.

RELATED PROGRAMS:

10.069 Conservation Reserve Program; 10.072 Wetlands Reserve Program; 10.913 Farm and Ranch Lands Protection Program; 10.920 Grassland Reserve Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The Secretary of Agriculture shall give priority to the enrollment of land that provides the greatest conservation benefit to primarily, species listed as endangered or threatened under 16 U.S.C. 1533; and secondarily, species that are not listed as endangered or threatened under 16 U.S.C. 1533; but are candidates for such listing, State-listed species, or special concern species. The Secretary of Agriculture shall also consider the cost-effectiveness of each agreement or easement, and associated restoration plans, so as to maximize the environmental benefits per dollar expended. Specific criteria to determine how individual proposals satisfy the statutory criteria mentioned above will be set forth in the sign-up announcement.

10.923 EMERGENCY WATERSHED PROTECTION PROGRAM (EWP)**FEDERAL AGENCY:**

Natural Resources Conservation Service, Department of Agriculture

AUTHORIZATION:

American Recovery and Reinvestment Act of 2009, Public Law 111-5, 123 Stat. 117; the Agricultural Credit Act of 1978, Section 403, Public Law 95-334, 33 U.S.C 701B-1; Section 216 of the Flood Control Act of 1950, Section 216, Public Law 81-516, 33 U.S.C 701b; Federal Agriculture Improvement and Reform Act of 1996, Title III, Part Subtitle H, Section 382, Public Law 104-127, 110 Stat. 1016, 16 U.S.C 2203.

OBJECTIVES:

The objective of the EWP Program is to assist sponsors, landowners, and operators in implementing emergency recovery measures for runoff retardation and erosion prevention to relieve imminent hazards to life and property created by a natural disaster that causes a sudden impairment of a watershed.

TYPES OF ASSISTANCE:**PROJECT GRANTS****USES AND USE RESTRICTIONS:**

All EWP work must reduce threats to life and property and must be economically, environmentally, and socially defensible and sound from a technical standpoint. NRCS may bear up to 75 percent (90 percent within limited resource areas as identified by the U.S. Census data) of the construction cost of emergency measures. The remaining 25 percent (10 percent within limited resource areas) must come from local sources as cash or in-kind services. EWP funds have restrictions. EWP cannot solve problems that existed before the disaster or to improve the level of protection above that which existed before a disaster. It cannot fund operation and maintenance work or repair private or public transportation facilities or utilities. The work cannot adversely affect downstream water rights and funds cannot be used to install measures not essential to the reduction of hazards. In addition, funds cannot be used to perform work on measures installed by another federal agency.

Applicant Eligibility:

Public and private landowners are eligible for assistance but must be represented by a project sponsor. Project sponsor means a State government or a State agency or a legal subdivision thereof, local unit of government, or any Native American tribe or tribal organization as defined in section 4 of the Indian Self-Determination and Education Assistance Act (25 U.S.C. 450b), with a legal interest in or responsibility for the values threatened by a watershed emergency; is capable of obtaining necessary land rights, and permits; and is capable of carrying out any operation and maintenance responsibilities that may be required. Sponsors are also responsible for furnishing the local cost share and for accomplishing the installation of work. Work can be done either through Federal or local contracts. Emergency watershed protection is authorized in the 50 States, the District of Columbia, the Commonwealth of Puerto Rico, the U.S. Virgin Islands, Guam, the Commonwealth of the Northern Mariana Islands, and American Samoa.

Beneficiary Eligibility:

None.

Credentials/Documentation:

Sponsors must submit a formal request to the State Conservationist for assistance within 60 days of the natural disaster occurrence, or 60 days from the date when access to the sites becomes available. Requests must include a statement that the sponsors understand their responsibilities and are willing to pay its cost-shared percentage as well as information pertaining to the natural disaster, including the nature, location, and scope of the problems and the assistance needed. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Potential sponsors submit formal requests for assistance to the NRCS state conservationist.

Award Procedure:

Project sponsors seeking EWP assistance must apply to the STC in writing within 60 days of the natural disaster occurrence. Project sponsors may use SF 424 Application for Federal Assistance but must ensure that following information is provided: (1) A description of the problems encountered; (2) Assistance needed, including the following: Nature of the problem; Location; Scope of the problem; Commitment by the sponsor to assume the following responsibilities: local cost-share; Land rights; acquisition; Permits; Operations and Maintenance (O and M), A statement indicating that funds have either been exhausted or are insufficient to provide adequate recovery measures from the applicable hazards; A statement identifying any other State or Federal funding received or application submitted. On receipt of a formal request for EWP assistance, the State Conservationist or designee shall immediately investigate the emergency situation to determine whether EWP is applicable and to prepare an initial cost estimation for submission to the NRCS Chief or designee. The cost estimation will be submitted no later than 60 days from receipt of the

formal request from the sponsor. NRCS may not commit funds until notified by the Chief, or designee, of the availability of funds. Before the release of financial assistance, NRCS will enter into a Cooperative Agreement with a sponsor that specifies the responsibilities of the sponsor under this part, including any required operation and maintenance responsibilities. NRCS will not provide funding for activities undertaken by a sponsor prior to the signing of the agreement between NRCS and the sponsor.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Timeline is dependent upon when funding is appropriated. The EWP program is not a line-item funded program and is reliant upon emergency supplemental appropriations.

Appeals:

Only NRCS decisions relating to eligibility for the EWP Program can be appealed. These decisions may be appealed in accordance with 7 CFR Part 614 and 7 CFR 11, as applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching Requirements: Percent: 75%. NRCS may bear up to 75 percent (90 percent within limited resource areas as identified by the US Census data) of the construction cost of emergency measures. The remaining 25 percent (10 percent within limited resource areas) must come from local sources as cash or in-kind services.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Continues until all EWP Program recovery measures are installed or their installation is terminated by mutual agreement. Method of awarding/releasing assistance: lump sum.

Reports:

Program reports are not applicable. Cash reports are not applicable. Final reports are prepared for each project within 90 days of completion. A benefit and accomplishment report is prepared for the fiscal year based upon final reports. Expenditure reports are not applicable. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records of operation are maintained for the life of the project.

Account Identification:

12-1072-0-1-301.

Obligations:

(Salaries) FY 12 \$25,502,527; FY 13 est \$14,836,200; and FY 14 est \$15,215,000. (Salaries) FY 12 \$0; FY 13 est \$0; and FY 14 est \$0. (Cooperative Agreements (Discretionary Grants)) FY 12 \$187,136,464; FY 13 est \$41,859,800; and FY 14 est \$41,200,100. (Cooperative Agreements (Discretionary Grants)) FY 12 \$0; FY 13 est \$0; and FY 14 est \$0

Range and Average of Financial Assistance:

Disaster Events Funded: FY 06 range \$22,211 to \$6,047,723, average \$955,410.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 624; National Emergency Watershed Protection Program Manual.

Regional or Local Office:

See Regional Agency Offices. For the list of NRCS state offices with telephone numbers and addresses, see the web site: <http://www.nrcs.usda.gov/contact/>.

Headquarters Office:

Fred Reaves, USDA, NRCS
Conservation Technical Assistance Division
14th and Independence Ave., SW.
Room 6015-S
P.O. Box 2890

, Washington, District of Columbia 20250 Email: fred.reaves@wdc.usda.gov
Phone: (202) 690-0793.

Website Address:

<http://www.nrcs.usda.gov/programs/ewp/>.

RELATED PROGRAMS:

10.904 Watershed Protection and Flood Prevention

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

EWP projects are eligible when it is determined that the current condition of the land or watershed impairment poses a threat to health, life, and property. Assistance includes EWP practices that provide runoff retardation and soil erosion prevention, safeguard lives and property, and relieve an imminent threat that is a result of a sudden impairment of a watershed due to a natural disaster.

10.924 CONSERVATION STEWARDSHIP PROGRAM

Conservation Stewardship Program (CSP)

FEDERAL AGENCY:

Natural Resources Conservation Service, Department of Agriculture

AUTHORIZATION:

Food Security Act of 1985
, Public Law 106-224, NA Stat. NA, 16 U.S.C 3838h.

OBJECTIVES:

Through CSP, NRCS will provide financial and technical assistance to eligible producers to conserve and enhance soil, water, air, and related natural resources on their land. Eligible lands include cropland, grassland, prairie land, improved pastureland, rangeland, nonindustrial private forest lands, agricultural land under the jurisdiction of an Indian tribe, and other private agricultural land (including cropped woodland, marshes, and agricultural land used for the production of livestock) on which resource concerns related to agricultural production could be addressed. Participation in the program is voluntary.

CSP encourages land stewards to improve their conservation performance by installing and adopting additional activities, and improving, maintaining, and managing existing activities on agricultural land and nonindustrial private forest land. The NRCS will make CSP available nationwide on a continuous application basis.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

CSP is available on Tribal and private agricultural lands, as well as nonindustrial private forest lands in all 50 States, the District of Columbia, and the Caribbean and Pacific Islands Areas. The entire operation must be enrolled and must include all eligible land that will be under the applicant's control for the term of the proposed contract that is operated substantially separate from other operations. Land enrolled in the Conservation Reserve Program (7 CFR part 1410), Wetlands Reserve Program (7 CFR part 1467), Grasslands Reserve Program (7 CFR part 1415), and Conservation Security Program (CFR part 1469) are ineligible for CSP. The 2008 Act limits eligibility to private agricultural land; as such, land that is owned by a Federal, State, or local unit of government, with the exception of agricultural land under the jurisdiction of an Indian Tribe, is ineligible, regardless of the status of the operator. Additionally,

a participant may not receive payment for land used for crop production after June 18, 2008, that had not been planted, considered to be planted, or devoted to crop production for at least four of the six years preceding that date, unless the land was: previously enrolled in the Conservation Reserve Program; maintained using long-term rotations, such as hayland in rotation; or incidental to the operation but needed for the efficient management of the operation. An example of land considered incidental to the operation that may be eligible for payment is land that had once been used for buildings and is now being used for crop production to square up a cropland field.

Applicant Eligibility:

The program provides equitable access to all producers, regardless of operation size, crops produced, or geographic location. Individual producers, legal entities, corporations, and Indian Tribes may be eligible for the program.

Eligible lands include cropland, grassland, prairie land, improved pastureland, rangeland, nonindustrial private forest land, and agricultural land under the jurisdiction of an Indian tribe (covered in 508 Subpart F, 508.53 and 1470.6b). As stated in the Federal Register, 1470.6a, Applicants must be the operator of record in the USDA farm records management system for the eligible land being offered for enrollment; have documented control of the land for the term of the proposed contract; include the eligible land in their entire operation as represented for other USDA programs; and be in compliance with the highly erodible land and wetland conservation provisions of 7 CFR Part 12, and adjusted gross income provisions of 7 CFR part 1400. The applicants operation must also meet a stewardship threshold (covered in 508 Subpart F, 508.54).

Beneficiary Eligibility:

The program provides equitable access to all producers, regardless of operation size, crops produced, or geographic location. Individual producers, legal entities, corporations, and Indian Tribes may be eligible for the program.

Eligible lands include cropland, grassland, prairie land, improved pastureland, rangeland, nonindustrial private forest land, and agricultural land under the jurisdiction of an Indian tribe (covered in 508 Subpart F, 508.53 and 1470.6b). As stated in the Federal Register, 1470.6a, Applicants must be the operator of record in the USDA farm records management system for the eligible land being offered for enrollment; have documented control of the land for the term of the proposed contract; include the eligible land in their entire operation as represented for other USDA programs; and be in compliance with the highly erodible land and wetland conservation provisions of 7 CFR Part 12, and adjusted gross income provisions of 7 CFR part 1400. The applicants operation must also meet a stewardship threshold (covered in 508 Subpart F, 508.54).

Credentials/Documentation:

The participant must have control of the land offered for the length of the contract period. NRCS requires all program payments be made by direct deposit unless the participant requests a waiver as described in 31 CFR Part 208.4, paragraph (a). This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

A programmatic environmental assessment has been prepared in association with this rulemaking. The analysis has determined that there will not be a significant impact to the human environment and as a result an Environmental Impact Statement is not required to be prepared (40 CFR part 1508.13). An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. A programmatic environmental assessment has been prepared in association with this rulemaking. The analysis has determined that there will not be a significant impact to the human environment and as a result an Environmental Impact Statement is not required to be prepared (40 CFR part 1508.13).

Award Procedure:

Once applicant and land eligibility are determined, the NRCS field office will assist the producer with completing the CMT resource inventory. CMT will estimate the level of environmental benefit to be achieved by the applicant. The CMT conservation performance scoring will enable NRCS to determine if the

stewardship threshold requirement is met, rank applications, and establish payments.

Applicants will be ranked relative to other applicants who face similar resource challenges in State-established ranking pools using conservation performance ranking scores. For approved applicants, NRCS will conduct on-site field verification to substantiate that conservation activity and production system information represented by the applicant was accurate. After the conservation system information is verified, NRCS and the applicant proceed to develop the conservation stewardship plan and contract. Upon approval, the contract will obligate the participant to achieve a higher level of conservation performance by installing additional activities scheduled in their conservation stewardship plan and to maintain the level of existing conservation performance identified at the time of application.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

A participant may obtain administrative review in accordance with 7 CFR parts 11 and 614 for an adverse decision. Participants cannot appeal payment rates, payment limits, and cost-share percentages, eligible conservation practices, or other matters of general applicability. Before a participant can seek judicial review, the participant must exhaust all administrative appeal procedures.

Renewals:

At the end of an initial conservation stewardship contract, NRCS will allow a participant to renew the contract to receive payments for one additional five year period, subject to the availability of funds. To be considered for contract renewal, the participant must be in compliance with the terms of their initial contract as determined by NRCS; add any newly acquired eligible land that is part of the agricultural operation and meets minimum treatment criteria as established and determined by NRCS; meet stewardship thresholds for additional priority resource concerns as determined by NRCS; and agree to adopt conservation activities as determined by NRCS.

Formula and Matching Requirements:

Statutory Formula: Subject to the availability of funds, NRCS will offer participants two potential types of payment. As outlined in 508.90, an annual payment will be made for installing and adopting additional conservation activities, and improving, maintaining, and managing existing activities. A supplemental payment can also be made to a participant receiving annual payments, who also agrees to adopt a resource-conserving crop rotation. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

NRCS will make payments as soon as practicable after October 1 of each fiscal year for activities carried out in the previous fiscal year. CSP payments to a person or legal entity may not exceed \$40,000 in any year and \$200,000 during any 5-year period. Each CSP contract will be limited to \$200,000 over the term of the initial contract period. The above limitations exclude funding arrangements with federally recognized Indian tribes or Alaska Native corporations as described in CPM 440, Part 508, Section 508.95(C). Method of awarding/releasing assistance: lump sum.

Reports:

Program reports are not applicable. Cash reports are not applicable. The Designated Conservationist will review contract implementation with the participant annually. Annual reviews will be completed early enough in the year to allow for completion of any necessary corrective actions prior to issuance of the annual payment. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. Recipients are subject to audit by the Office of Inspector General, USDA.

Records:

As stipulated in 508.76 B, the applicant must make available to NRCS upon request appropriate records documenting applied conservation activity and production system information. NRCS will request records when conducting field verification with pre-approved applicants. The designated conservationist does not need to collect, store, or re-calculate the data contained in the applicants records, but instead will examine the field conditions and records to determine whether or not they substantiate the CMT resource inventory information provided by the applicant during the application process. As covered in 508.76 C, NRCS will request written records and conduct on-site field verification to substantiate that the conservation activity and production system information represented by each approved applicant during the CMT resource inventory was accurate.

Account Identification:

12-1004-0-1-302.

Obligations:

(Salaries) FY 12 \$70,074,339; FY 13 est \$98,509,800; and FY 14 est \$114,971,000. (Cooperative Agreements) FY 12 \$671,545,544; FY 13 est \$847,395,500; and FY 14 est \$874,029,000

Range and Average of Financial Assistance:

CSP payments to a person or legal entity may not exceed \$40,000 in any year and \$200,000 during any 5-year period. Each CSP contract will be limited to \$200,000 over the term of the initial contract period. The above limitations exclude funding arrangements with federally recognized Indian tribes or Alaska Native corporations as described in CPM 440, Part 508, Section 508.95(C). The program shall have a national average rate of \$18 per acre.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Congress authorized the enrollment of 12,769,000 acres for each fiscal year (FY) for the period beginning October 1, 2008, and ending on September 30, 2017. For fiscal years 2009 through 2012, CSP has been authorized 51,076,000 acres (four years multiplied by a 12,769,000 acre program cap per year). In the first sign up, 21, 281 applications were submitted covering approximately 33,000,000 acres.

Regional or Local Office:

See Regional Agency Offices. Consult the local telephone directory for location of the NRCS office. Directories can also be found under the contact us menu at www.nrcs.usda.gov.

Headquarters Office:

Jeffrey White USDA, NRCS
Financial Assistance Programs Division
14th and Independence Ave., SW
Room 5239-S
, Washington, District of Columbia 20250 Email: Jeffrey.White@wdc.usda.gov
Phone: 202-720-1844

Website Address:

<http://www.nrcs.usda.gov>

RELATED PROGRAMS:

10.904 Watershed Protection and Flood Prevention; 10.912 Environmental Quality Incentives Program; 10.914 Wildlife Habitat Incentive Program; 10.917 Agricultural Management Assistance; 10.921 Conservation Security Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

All applications which meet the sign-up criteria will be placed in an enrollment category until field verification against records is made, upon which applications will be funded in priority order until the available funds are exhausted.

10.925 AGRICULTURAL WATER ENHANCEMENT PROGRAM

AWEP

FEDERAL AGENCY:

Natural Resources Conservation Service, Department of Agriculture

AUTHORIZATION:

The Food Security Act of 1985, Public Law 99-198, 16 U.S.C 3801.

OBJECTIVES:

AWEP as part of the Environmental Quality Incentives Program, promotes ground and surface water conservation and improved water quality on agricultural lands. As authorized by Congress, this is not a grant program to eligible partners. This is a program whereby approved, eligible partners will enter into multi-year agreements with NRCS to promote ground and surface water conservation, or improve water quality on eligible agricultural lands.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

Technical assistance is provided for conservation planning, design and implementation of conservation practices for eligible participants. Financial assistance is provided for implementation of structural, and land management practices. Cost-share payments may be made to implement one or more eligible structural or vegetative practices. Incentive payments can be made to implement one or more land management practices.

Applicant Eligibility:

Partners representing agricultural producers who face serious threats to soil, water, and related natural resources, or who need assistance with complying with Federal and State environment laws. A participant may be an owner, landlord, operator, or tenant of eligible agricultural lands or non-industrial forestlands. Limited resource producers, small-scale producers, social disadvantaged individuals, federally recognized Indian tribal governments, Alaska natives, and Pacific Islanders are encouraged to apply.

Eligible partners include: Federally recognized Indian Tribes, States, units of local government, agricultural or silvicultural associations or other groups of such producers, or other nongovernmental organization with experience working with agricultural producers.

Beneficiary Eligibility:

To be eligible the agricultural producers must be in compliance with highly erodible land and wetland conservation provisions and in compliance with the Adjusted Gross Income (AGI) payment limitations.

Credentials/Documentation:

Evidence that applicant has control over land to be entered into contract and submits an acceptable conservation plan for the farm or ranch unit of concern that incorporates needed natural resource conservation practices and provide either a social security number or individual tax identification number of all proposed beneficiaries. Applicants claiming either limited resource producer or beginning farmer classification may be asked to provide documents to justify their claim. This program is excluded from coverage under OMB Circular No. A-87. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Under AWEP, the NRCS enters into partnership agreements with eligible entities and organizations that want to promote ground and surface water conservation or improve water quality on agricultural lands. After the Chief has announced approved AWEP project areas, eligible agricultural producers may submit a program application. Each fiscal year, NRCS may make AWEP financial and technical assistance available to eligible owners and operators of agricultural lands who participate in approved AWEP project areas.

Partners may assist NRCS by identifying producers in the project area. Partners may also assist producers in submitting applications for the program. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. For each program year the Natural Resources Conservation Service issues a request for proposals through the Federal Register. Application procedures are outlined in the request for proposals.

Award Procedure:

For each program year the Natural Resources Conservation Service issues a request for proposals through the Federal Register. Award procedures are outlined in the request for proposals.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

For each program year the Natural Resources Conservation Service issues a request for proposals through the Federal Register. Application deadlines are outlined in the request for proposals.

Appeals:

A participant may appeal any adverse determination to the Chief of the Natural Resources Conservation Service.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Percent: 75%. Conservation practices may be eligible for conservation payments up to 75 percent of the total cost of establishing the practice including costs of foregone income. Limited resource producers, social disadvantaged producers and beginning farmers and ranchers may be eligible for payments up to 90 percent of the approved practice cost. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

An agricultural producer may apply directly to NRCS for financial or technical assistance to implement agricultural water enhancement activities. Producers who apply directly to NRCS must be eligible for EQIP and selected applications will be subject to applicable EQIP requirements. Eligible partners enter into multi-year agreements with NRCS to promote ground and surface water conservation, or improve water quality on eligible agricultural lands. AWEP is intended to leverage investment in natural resources conservation along with services and non-Federal resources of other eligible partners. Eligible partners include: Federally recognized Indian Tribes, States, units of local government, agricultural or silvicultural associations or other groups of such producers such as an irrigation association, an agricultural land trust, or other nongovernmental organization with experience working with agricultural producers. Method of awarding/releasing assistance: lump sum.

Reports:

No program reports are required. No cash reports are required. Provide the NRCS agency representative with semi-annual and annual reports during the project period and a final project report that documents project accomplishments and goals achieved. No expenditure reports are required. Reports shall also address partner efforts to monitor and evaluate implementation of conservation activities included in NRCS program contracts within the approved project area.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Natural Resources Conservation Service makes periodic random reviews of the operation and maintenance of the contract items during the life span of the conservation practice. Participants are subject to audit by the Office of Inspector General, USDA.

Records:

Partnership Agreements and producer contracts are maintained in local NRCS office and Federal record centers for specified number of years.

Account Identification:

12-1004-0-1-302.

Obligations:

(Salaries) FY 12 \$11,004,813; FY 13 est \$11,365,200; and FY 14 est

\$12,000,000. (Direct Payments for Specified Use) FY 12 \$47,753,597; FY 13 est \$45,574,800; and FY 14 est \$48,000,000

Range and Average of Financial Assistance:

Conservation payments are limited to a maximum payment limitation per producer of \$300,000 for the life of the 2008 Farm Bill.

Average contracts are estimated to be \$40,841.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Request for proposals are announced in the Federal Register. Related program manuals, handbooks and leaflets are issued by NRCS.

Regional or Local Office:

See Regional Agency Offices. For more information on this and other related conservation programs, consult the local telephone directory where your land is located for location of the USDA service center. For a list of NRCS State offices with telephone numbers and addresses, see appropriate Appendix IV of the Catalog. Information is available on the internet at <http://www.nrcs.usda.gov/programs/awep>.

Headquarters Office:

Ronald Harris Natural Resources Conservation Service
PO Box 2890,
1400 Independence Ave SW, Washington, District of Columbia 20050 Email: Ronald.Harris@wdc.usda.gov Phone: 202-720-1853

Website Address:

<http://www.nrcs.usda.gov/programs/awep>

RELATED PROGRAMS:

10.912 Environmental Quality Incentives Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

1. Include high percentages of agricultural land and producers in a region or other appropriate area;
2. Result in high levels of applied agricultural water quality and water conservation activities;
3. Significantly enhance agricultural activity;
4. Allow for monitoring and evaluation by the partner;
5. Assist agricultural producers in meeting a regulatory requirement that reduces the economic scope of the producers operation;
6. Achieve the projects land and water treatment objectives within 5 years or less;
7. Are from States with water quantity concerns where the proposal will:
 - a. Include conservation practices that support the conversion of agricultural land from irrigated farming to dryland farming;
 - b. Leverage Federal funds provided under the program with funds provided by partners;
 - c. Assist producers in States with high priority water quantity concerns, as determined by the agency. The high priority areas are located in the following regions: Eastern Snake Plain Aquifer, Everglades, Ogallala Aquifer, Puget Sound, Red River, Sacramento River Basin, and Upper Mississippi River Basin.
 - d. Include other factors and criteria as approved by the agency which help identify those proposals which best achieve the purposes of AWEP.

10.926 CHESAPEAKE BAY WATERSHED PROGRAM (CBWP)

FEDERAL AGENCY:

Natural Resources Conservation Service, Department of Agriculture

AUTHORIZATION:

The Food Security Act of 1985, Public Law 99-198.

OBJECTIVES:

The Chesapeake Bay Watershed Program (CBWP) helps agricultural producers improve water quality and quantity, and restore, enhance, and preserve soil, air,

and related resources in the Chesapeake Bay Watershed through the implementation of conservation practices. These conservation practices reduce soil erosion and nutrient levels in ground and surface water; improve, restore, and enhance wildlife habitat; and help address air quality and related natural resource concerns. CBWP encompasses all tributaries, backwaters, and side channels, including their watersheds, draining into the Chesapeake Bay.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

The Natural Resources Conservation Service (NRCS), an agency of the United States Department of Agriculture, uses CBWP funds to enter into contracts with eligible producers to share the costs of the applicable conservation treatment on agricultural lands in the Chesapeake Bay Watershed. NRCS provides payments for approved conservation practices and systems and land use adjustments within a time schedule specified by the conservation plan. The CBWP contracts may be modified to increase funds provided the increased cost is the result of a valid contract modification within the original contract scope and intent. The modification must follow the rules of the conservation program used to apply the conservation treatment.

Applicant Eligibility:

Only agricultural producers owning or operating within the Chesapeake Bay Watershed are eligible to participate in CBWP. In addition, NRCS applies the eligibility requirements of the particular natural resource program used to implement CBWP (e.g., the Environmental Quality Incentives Program (EQIP) (16 U.S.C. 3839aa et seq.), the Wildlife Habitat Incentives Program (WHIP) (16 U.S.C. 3839bb-1)). Limited resource producers, small-scale producers, beginning farmers and ranchers, socially-disadvantaged producers, and federally recognized Indian tribes are encouraged to apply.

Beneficiary Eligibility:

To be eligible, the agricultural producers must be in compliance with highly erodible land and wetland conservation provisions at 7 CFR part 12, and in compliance with the Adjusted Gross Income (AGI) payment limitations at 7 CFR part 1400.

Credentials/Documentation:

Evidence that applicant has control over land to be entered into contract and submits an acceptable conservation plan for the farm or ranch unit of concern that incorporates needed natural resource conservation practices and provide either a social security number or individual tax identification number of all proposed beneficiaries. Applicants claiming either limited resource producer or beginning farmer classification may be asked to provide documents to justify their claim. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Program participation is voluntary. The applicant applies to NRCS at the local USDA Service Center on Form NRCS-CPA-1200. Applications may be filed at any time during the year. The participant develops a CBWP plan of operations that identifies what conservation practices they are proposing to implement. Technical assistance and conservation payments may be provided to apply needed conservation practices and land use adjustments within a time schedule specified by the conservation CBWP plan of operations. A contract with a participant may apply one or more land management practices or one or more structural practices.

Award Procedure:

NRCS applies the ranking and selection requirements of the particular natural resource program used to implement CBWP (e.g., EQIP, WHIP). NRCS will give special consideration to applicants that address priority natural resource concerns designated. Applications will be periodically ranked and selected for funding based on locally developed ranking process. The ranking criteria of the various Farm Bill program can be found at <http://www.nrcs.usda.gov/programs>.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 10 to 60 days.

Appeals:

A participant may appeal any adverse determination to the State Conservationist.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Percent: 75%. Matching requirements for CBWP follow the rules for the particular natural resource program used to implement CBWP (e.g., EQIP, WHIP). Typically, conservation practices may be eligible for conservation payments up to 75 percent of the total cost of establishing the practice including costs of foregone income. Limited resource producers, social disadvantaged producers and beginning farmers and ranchers may be eligible for payments up to 90 percent of the approved practice cost. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

CBWP contract lengths and implementation schedules will follow the rules for the particular natural resource program used to implement CBWP (e.g., EQIP, WHIP). Obligations for assistance are tied to the schedule for applying conservation practices included in the CBWP plan of operations conservation plan used as the basis for the contract. Payments are made when the participant and NRCS certify that conservation practice is completed in accordance with NRCS standards and specifications. Technical assistance may be provided by a certified Technical Service Provider (TSP) in accordance with 7 CFR part 652. The participant may not engage a TSP prior to contract approval. This assistance will be reimbursed based upon applicable TSP payment rates. These payments will be made after the services of the certified TSP have been provided in accordance with NRCS standards and specifications. Method of awarding/releasing assistance: lump sum. Method of awarding/releasing assistance: lump sum.

Reports:

No reports are required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. NRCS makes periodic random reviews of the operation and maintenance of the contract items during the life span of the conservation practice. Participants are subject to audit by the Office of the Inspector General, USDA.

Records:

Records are maintained in local NRCS office and Federal record centers for specified number of years.

Account Identification:

12-1004-0-1-302.

Obligations:

(Salaries) FY 12 \$8,457,447; FY 13 est \$7,892,500; and FY 14 est \$7,740,000. (Direct Payments for Specified Use) FY 12 \$41,246,513; FY 13 est \$36,103,900; and FY 14 est \$47,734,800

Range and Average of Financial Assistance:

Conservation payments are limited to the rules for the particular natural resource program used to implement CBWP (e.g., EQIP, WHIP). Average contract payments are estimated to be \$22,500 (source: NRCS ProTracts 10/02/2009).

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

The CBWP is carried out through natural resources conservation programs authorized under Subtitle D, Title XII of the Food Security Act of 1985, 16 U.S.C. 3830-3839bb-5. Contact the local USDA Service Center Office to find out which programs are being offered. The CBWP is announced in the six Chesapeake Bay Watershed states (Delaware, Maryland, New York, Pennsylvania, Virginia, and West Virginia) through news media and in announcements to agricultural producers, farm and ranch owners and operators in the county. Program manuals, handbooks, and leaflets are issued by NRCS.

Regional or Local Office:

See Regional Agency Offices. For more information on this and other related conservation programs, consult the local telephone directory where your land is located for location of the USDA service center. A list of NRCS State offices with telephone numbers and addresses is available on the internet at <http://www.nrcs.usda.gov/about/organization/regions.html>.

Headquarters Office:

Mark Rose 1400 Independence Ave, SW
South Building, Room 6238, Washington, District of Columbia 20013 Email:
Mark.Rose@wdc.usda.gov Phone: (202) 690-2621

Website Address:

No Data Available

RELATED PROGRAMS:

10.069 Conservation Reserve Program; 10.902 Soil and Water Conservation; 10.912 Environmental Quality Incentives Program; 10.913 Farm and Ranch Lands Protection Program; 10.914 Wildlife Habitat Incentive Program; 10.920 Grassland Reserve Program; 10.924 Conservation Stewardship Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be periodically ranked and selected for funding based on: the environmental benefits per dollar expended; the cost-effectiveness of the conservation practices; the environmental benefits derived; extent to which the contract will assist the applicant in complying with Federal, State, tribal or local environmental laws; whether the land is located in a priority area and the extent the contract will assist the priority area goals and objectives.

10.927 EMERGENCY WATERSHED PROTECTION PROGRAM - DISASTER RELIEF APPROPRIATIONS ACT

EWP - Disaster Relief (Hurricane Sandy)

FEDERAL AGENCY:

Natural Resources Conservation Service, Department of Agriculture

AUTHORIZATION:

Disaster Relief Appropriations Act, Public Law 113-2, 4 Stat. 127.

OBJECTIVES:

The objective of the EWP Program is to assist sponsors, landowners, and operators in implementing emergency recovery measures for runoff retardation and erosion prevention to relieve imminent hazards to life and property created by a natural disaster that causes a sudden impairment of a watershed.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements or Contracts)

USES AND USE RESTRICTIONS:

All EWP work must reduce threats to life and property and must be economically, environmentally, and socially defensible and sound from a technical standpoint. NRCS may bear up to 75 percent (90 percent within limited resource areas as identified by the U.S. Census data) of the construction cost of emergency measures. The remaining 25 percent (10 percent within limited resource areas) must come from local sources as cash or in-kind services. EWP funds have restrictions. EWP cannot solve problems that existed before the disaster or to improve the level of protection above that which existed before a disaster. It cannot fund operation and maintenance work or repair private or public transportation facilities or utilities. The work cannot adversely affect downstream water rights and funds cannot be used to install measures not essential to the reduction of hazards. In addition, funds cannot be

used to perform work on measures installed by another federal agency. EWP funds from this appropriation can only be spent on damage recovery projects from Hurricane Sandy, with a Stafford ACT Designation. (This means that a certain geographical area was declared a major disaster as a result of a Presidential Declaration).

Applicant Eligibility:

For this appropriation, only applicants affected by Hurricane Sandy and only in those areas declared as a major disaster are eligible for assistance through the EWP program.

Public and private landowners are eligible for assistance but must be represented by a project sponsor. Project sponsor means a State government or a State agency or a legal subdivision thereof, local unit of government, or any Native American tribe or tribal organization as defined in section 4 of the Indian Self-Determination and Education Assistance Act (25 U.S.C. 450b), with a legal interest in or responsibility for the values threatened by a watershed emergency; is capable of obtaining necessary land rights, and permits; and is capable of carrying out any operation and maintenance responsibilities that may be required. Sponsors are also responsible for furnishing the local cost share and for accomplishing the installation of work. Work can be done either through Federal or local contracts. Emergency watershed protection is authorized in the 50 States, the District of Columbia, the Commonwealth of Puerto Rico, the U.S. Virgin Islands, Guam, the Commonwealth of the Northern Mariana Islands, and American Samoa.

Beneficiary Eligibility:

None.

Credentials/Documentation:

Sponsors must submit a formal request to the State Conservationist for assistance within 60 days of the natural disaster occurrence, or 60 days from the date when access to the sites becomes available. Requests must include a statement that the sponsors understand their responsibilities and are willing to pay its cost-shared percentage as well as information pertaining to the natural disaster, including the nature, location, and scope of the problems and the assistance needed. This program is excluded from coverage under OMB Circular No. A-87. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Potential sponsors submit formal requests for assistance to the NRCS state conservationist.

Award Procedure:

Project sponsors seeking EWP assistance must apply to the STC in writing within 60 days of the natural disaster occurrence. Project sponsors may use SF 424 Application for Federal Assistance but must ensure that following information is provided: (1) A description of the problems encountered; (2) Assistance needed, including the following: Nature of the problem; Location; Scope of the problem; Commitment by the sponsor to assume the following responsibilities: local cost-share; Land rights; acquisition; Permits; Operations and Maintenance (O and M), A statement indicating that funds have either been exhausted or are insufficient to provide adequate recovery measures from the applicable hazards; A statement identifying any other State or Federal funding received or application submitted. On receipt of a formal request for EWP assistance, the State Conservationist or designee shall immediately investigate the emergency situation to determine whether EWP is applicable and to prepare an initial cost estimation for submission to the NRCS Chief or designee. The cost estimation will be submitted no later than 60 days from receipt of the formal request from the sponsor. NRCS may not commit funds until notified by the Chief, or designee, of the availability of funds. Before the release of financial assistance, NRCS will enter into a Cooperative Agreement with a sponsor that specifies the responsibilities of the sponsor under this part, including any required operation and maintenance responsibilities. NRCS will not provide funding for activities undertaken by a sponsor prior to the signing of the agreement between NRCS and the sponsor.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Timeline is dependent upon when funding is appropriated. The EWP program is not a line-item funded program and is reliant upon emergency supplemental appropriations.

Appeals:

Only NRCS decisions relating to eligibility for the EWP Program can be appealed. These decisions may be appealed in accordance with 7 CFR Part 614 and 7 CFR 11, as applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: Percent: 75%. NRCS may bear up to 75 percent (90 percent within limited resource areas as identified by the US Census data) of the construction cost of emergency measures. The remaining 25 percent (10 percent within limited resource areas) must come from local sources as cash or in-kind services.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Continues until all EWP Program recovery measures are installed or their installation is terminated by mutual agreement. See the following for information on how assistance is awarded/released: Submission of Form 270 for Payment.

Reports:

No program reports are required. Cash reports are not applicable. Progress reports are due every 60 days on projects of 220 days duration. Cash reports are not applicable. Final reports are prepared for each project within 90 days of completion. A benefit and accomplishment report is prepared for the fiscal year based upon final reports. Expenditure reports are not applicable. Expenditure reports are not applicable. Performance monitoring is required and conducted by COTR or GR.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records of operation are maintained for the life of the project.

Account Identification:

12-1072-0-1-301.

Obligations:

(Salaries) FY 12 \$0; FY 13 est \$454,600; and FY 14 est \$1,892,700. (Project Grants (Cooperative Agreements or Contracts)) FY 12 \$0; FY 13 est \$2,686,900; and FY 14 est \$6,813,200

Range and Average of Financial Assistance:

N/A.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 624; National Emergency Watershed Protection Program Manual.

Regional or Local Office:

See Regional Agency Offices. For the list of NRCS state offices with telephone numbers and addresses, see the web site: <http://www.nrcs.usda.gov/contact/>.

Headquarters Office:

Fred Reaves 1400 Independence Ave., P.O. Box 2890, Washington, District of

Columbia 20013 Email: fred.reaves@wdc.usda.gov Phone: (202) 690-0793.

Website Address:

No Data Available

RELATED PROGRAMS:

10.904 Watershed Protection and Flood Prevention; 10.923 Emergency Watershed Protection Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

EWP projects are eligible when it is determined that the current condition of the land or watershed impairment poses a threat to life, health and property. Assistance includes EWP practices that provide runoff retardation and soil erosion prevention, safeguard lives and property, and relieve an imminent threat that is a result of a sudden impairment of a watershed due to a natural disaster.

10.928 EMERGENCY WATERSHED PROTECTION PROGRAM - FLOODPLAIN EASEMENTS DISASTER RELIEF APPROPRIATIONS ACT

EWP-FPE Hurricane Sandy

FEDERAL AGENCY:

Natural Resources Conservation Service, Department of Agriculture

AUTHORIZATION:

Disaster Relief Appropriations Act, 2013, Public Law 113-2, 127 Stat. 4.

OBJECTIVES:

The Emergency Watershed Protection - Floodplain Easement Program (EWP-FPE) provides an alternative measure to traditional EWP recovery where it is determined that acquiring an easement in lieu of recovery measures is the more economical and prudent approach to reducing a threat to life or property (see 7 CFR Part 624). The easement must be restored to the extent practicable to the natural environment and may include both structural and nonstructural practices to restore the flood storage and flow, erosion control, and improve the practical management of the easement. Structures, including buildings, within the floodplain easement must be demolished and removed, or relocated outside the 100-year floodplain or dam breach inundation area.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

NRCS may bear up to 100 percent of costs related to easement acquisition and related acquisition costs. NRCS will determine easement compensation in accordance with applicable regulation and other law. NRCS will not acquire any easement unless the landowner accepts the amount of the easement payment that is offered by NRCS. NRCS reserves the right not to purchase an easement if the easement compensation for a particular easement would be too expensive, as determined by NRCS. NRCS may provide up to 100 percent of the restoration and enhancement costs of the easement. NRCS may enter into an agreement with the landowner or another third party to ensure that identified practices are implemented. NRCS, the landowner, or other designee may implement identified practices. Restoration and enhancement efforts may include both structural and non-structural practices. An easement acquired under this EWP-Floodplain Easement regulations shall provide NRCS with the full authority to restore, protect, manage, maintain, and enhance the functions and values of the floodplain. EWP-FPE funds from this appropriation can only be spent on eligible Floodplain Easement projects that have suffered damage from Hurricane Sandy and are located within a Stafford ACT Designation. (This means that a certain geographical area was declared a major disaster as a result of a Presidential Declaration).

Applicant Eligibility:

For this appropriation, only applicants affected by Hurricane Sandy and only in those areas declared as a major disaster are eligible for assistance through the EWP-FPE program.

Pursuant to 7 CFR 624.10(b)(2), NRCS may determine land is eligible under for EWP-FPE if any of the following apply:

1) The floodplain lands were damaged by flooding at least once within the previous calendar year or have been subject to flood damage at least twice

within the previous 10 years.

- 2) Other lands within the floodplain are eligible, provided the lands would contribute to the restoration of the flood storage and flow, provide for control of erosion, or that would improve the practical management of the floodplain easement.
- 3) Lands would be inundated or adversely impacted as a result of a dam breach.

NRCS may determine that land is ineligible under this section if any of the following apply:

- 1) Implementation of restoration practices would be futile due to onsite or offsite conditions.
- 2) The land is subject to an existing easement or deed restriction that provides sufficient protection or restoration, as determined by the Chief of NRCS, of the floodplains functions and values.
- 3) The purchase of an easement would not meet the purposes of this part.

Beneficiary Eligibility:

Private and non-federal landowners are eligible for EWP-FPE.

To be eligible for FPE, the NRCS will require participating landowners to

- 1) Comply with the terms of the easement.
- 2) Comply with all terms and conditions of any associated agreement.
- 3) Convey title to the easement that is acceptable to NRCS and warrant that the easement is superior to the rights of all others, except for exceptions to the title that are deemed acceptable by NRCS.

For projects that include parcels with residential dwellings or other structures on nonagricultural lands (such as flood mitigation efforts intended to assist families in moving from flood-prone areas as part of EWP recovery efforts), a local sponsor will be identified and serve as the local cooperating entity for these efforts. A sponsor will be a local or State unit of government (city, county, conservation district, watershed conservancy district, or State agency) that has a local presence and staff available to assist in the implementation of the program.

Credentials/Documentation:

The landowner must provide a copy of the vesting deed to the land. If the landowner is an entity, the entity must provide a list of the individuals who comprise the entity, including the percent of ownership for each individual, to the Farm Service Agency (FSA). The entity must provide documents to FSA and NRCS that show the entity to be legal and valid in the State and which members have the authority to sign contractual documents on behalf of the entity.

If funds are being requested for the purchase of easements on lands with residences or other nonagricultural structures, the State Conservationist (STC) must provide confirmation that the acquisition is part of a strategy that will facilitate the restoration of an entire reach of the floodplain. The relatively high cost of these transactions requires a greater level of documentation that the benefits to the floodplain outweigh the costs. In addition, the STC must provide confirmation that the project sponsor will acquire fee title to the easement area. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

NRCS state offices in impacted states will submit an assessment of potential level of interest in areas with eligible lands. An environmental impact statement is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Landowners may only enroll land in the Emergency Watershed Protection for Floodplain Easements (EWP-FPE) Program through a permanent easement. Landowners apply for the EWP-FPE using the Application for Long-Term Contracted Assistance.

Award Procedure:

- 1) States receive applications, gather landowner information, rank applications, conduct preliminary investigations, and determine land and landowner eligibility
- 2) The STC will list all applications received during the announced sign-up

period in rank order, beginning with the highest-ranked easement first.

- 3) States will submit an Easement Request Package to NHQ that includes documentation of land eligibility, landowner eligibility, and evidence of damage related to Hurricane Sandy.
- 4) Easement Request Packages will be reviewed and project selection will be conducted by the Deputy Chief for Programs.
- 5) Selected applications will be notified, either through an intent to continue letter for projects that will be individually appraised or through the issuance of Option Agreement to Purchase for projects with easement compensation determined through the Area-wide Market Analysis and Geographic Area Rate Cap process.
- 6) When the OATP has been signed by the applicant and the STC, the acres are considered enrolled in the program. At this time, the funds are obligated to the landowner as the vendor for the easement purchase cost only. The landowner may choose to assign all or a portion of the easement acquisition payment to the closing agent through a properly executed assignment of payment provided to NRCS along with the signed OATP.
- 7) The effective period of the OATP may not exceed 12 months from the date of the STCs signature. The effective period may be extended when necessary using the Option Agreement to Purchase Amendment. The STC may delegate, in writing, the authority to sign the OATP (and amendments) to the State program manager. No further delegation of this authority is allowed.

1) In addition to the above described activities, for EWP-FPE applications on land with residences or other structures, NRCS will enter into a cooperative or project agreement with the project sponsors prior to the commencing of easement acquisition activities. The agreement must specify the reimbursable tasks to be carried out by the sponsor to expedite the easement acquisition process.

2) In order to reduce the administrative burden and easement management cost to NRCS, sponsors are required to purchase the fee simple title from the landowner and enroll properties in a strategic manner that increases the likelihood that the floodplain can be reconnected.

3) The FPE warranty easement deed is closed before the fee simple interest is conveyed to the sponsor, unless a different order of recordation is desired by NRCS and authorized by the Office of the General Counsel.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Timeline is dependent upon when funding is appropriated. The FPE program is not a line-item funded program and is reliant upon emergency supplemental appropriations.

Appeals:

Only NRCS decisions relating to eligibility for the EWP-FPE Program can be appealed. These decisions may be appealed in accordance with 7 CFR Part 614 and 7 CFR 11, as applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

EWP Floodplain easements are a long-term solution and exist for perpetuity. After initial eligibility and project selection, easement acquisition phase requires 12 to 18 months on average. Following easement acquisition phase, restoration implementation phase requires 1 to 3 years until all practices are installed and vegetative practices are considered established. Continues until all EWP-FPE Program measures are installed (relocation or demolition of structures and/or floodplain restoration practices). Maintenance and monitoring of floodplain easements continues in perpetuity. Method of awarding/releasing assistance: lump sum.

Reports:

Program reports are not applicable. Cash reports are not applicable. Easement

milestones include enrollment, closing, recording, restoration completion, and monitoring. These milestones are tracked in the National Easement Staging Tool (NEST). NEST reports will be generated quarterly to track milestones. Expenditure reports are not applicable. Landowners are required to comply with the terms of the easement, comply with all terms and conditions of any associated agreement. Convey title to the easement that is acceptable to NRCS and warrant that the easement is superior to the rights of all others, except for exceptions to the title that are deemed acceptable by NRCS.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. The count and condition of closed easements is reported annually in the agency's financial statement pursuant to requirements of the Statements of Federal Financial Accounting Standard 29.

Records:

Easements are recorded in the public records and case files are maintained in the appropriate NRCS State Offices.

Account Identification:

12-1072-0-1-301.

Obligations:

(Salaries) FY 12 \$0; FY 13 est \$60,500; and FY 14 est \$9,936,700. (Direct Payments for Specified Use) FY 12 \$0; FY 13 est \$0; and FY 14 est \$39,900,000

Range and Average of Financial Assistance:

N/A.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 624; National Emergency Watershed Protection Program Manual.

Regional or Local Office:

See Regional Agency Offices. For the list of NRCS state offices with telephone numbers and addresses, see the web site: <http://www.nrcs.usda.gov/contact/>.

Headquarters Office:

Jessica Groves Easement Programs Division
14th and independence Avenue, SW.
Room 6812
, Washington, District of Columbia 20250 Email:
Jessica.Groves@wdc.usda.gov Phone: 202-720-1067

Website Address:

<http://www.nrcs.usda.gov/programs/ewp/>.

RELATED PROGRAMS:

10.904 Watershed Protection and Flood Prevention; 10.923 Emergency Watershed Protection Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

States are required to develop and administer ranking criteria that assign point values to each application based on the potential benefits of each project.

10.929 WATER BANK PROGRAM

FEDERAL AGENCY:

Natural Resources Conservation Service, Department of Agriculture

AUTHORIZATION:

Water Bank Act, Public Law 91-559.

OBJECTIVES:

To conserve surface waters; preserve and improve the Nation's Wetlands; increase migratory waterfowl habitat in nesting, breeding and feeding areas in the U.S.; and secure environmental benefits for the Nation.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use (Cooperative Agreements)

USES AND USE RESTRICTIONS:

Agreements are for 10 years with eligible landowners to help preserve important nesting, breeding, and feeding areas of migratory waterfowl. During the agreement, the participants agree in return for annual payments not to drain, burn, fill, or otherwise destroy the wetland character of such areas and not to use areas for agricultural purposes, as determined by the Secretary. The Secretary carries out the program in harmony with wetlands programs administered by the Secretary of the Interior and uses technical and related services of appropriate State, Federal, and private conservation agencies to assure proper coordination.

Applicant Eligibility:

None.

Beneficiary Eligibility:

Landowners and operators of specified types of wetlands in designated important migratory waterfowl nesting, breeding and feeding areas.

Credentials/Documentation:

Identification as an owner and/or operator of eligible land; a conservation plan developed in cooperation with the local soil and water conservation district; and proof of required compliance. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. New contracts are not being accepted. Current contracts remain in effect with payments being made until the expiration date.

Award Procedure:

New contracts are not being accepted. Current contracts remain in effect with payments being made until the expiration date.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

The period officially specified for the program year.

Appeals:

Participants may appeal to the National Appeals Division.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Annual payments will be made for 10 years. Method of awarding/releasing assistance: lump sum.

Reports:

Not Applicable.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. Recipients are subject to audit by Office of Inspector General, USDA.

Records:

Maintained in the NRCS office and Federal record centers for a specified number of years.

Account Identification:

12-3320-0-1-302.

Obligations:

(Salaries) FY 12 \$449,290; FY 13 est \$3,000; and FY 14 est \$48,000. (Project Grants (Cooperative Agreements or Contracts)) FY 12 \$6,999,991; FY 13 est

\$0; and FY 14 est \$173,400

Range and Average of Financial Assistance:

\$20 to \$50 per acre. Average: \$35.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program regulations published in the Federal Register, 7 CFR Part 752.

Program is announced through the news media and in letters to eligible participants in the county area designated by the Secretary of Agriculture as a participating county.

Regional or Local Office:

See Regional Agency Offices. For more information on this and other related programs, consult the local telephone directory for location of the NRCS office where your land is located. If a listing is not present; contact the appropriate State NRCS office listed in the Natural Resources Conservation Service section of Appendix IV of the Catalog.

Headquarters Office:

Dave Mason 1400 Independence Ave, SW
South Building, Room 6238, Washington, District of Columbia 20013 Email:
Dave.Mason@wdc.usda.gov Phone: (202) 720-4527

Website Address:

<http://www.nrcs.usda.gov>

RELATED PROGRAMS:

10.902 Soil and Water Conservation; 15.611 Wildlife Restoration

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.950 AGRICULTURAL STATISTICS REPORTS

(Agricultural Estimates)

FEDERAL AGENCY:

National Agricultural Statistics Service, Department of Agriculture

AUTHORIZATION:

5 U.S.C. 3109; 7 U.S.C. 411, 411a, 411b, 427, 471, 475, 476, 501, 951, 953, 955-957; Agricultural Marketing Act of 1946: 7 U.S.C. 1621-1623, 1623a, 1624-1627; Census of Agriculture Act of 1997: Public Law 105-113, 7 U.S.C. 2201, 2202, 2204g, 2225, 2248, 3103, 3311, 3504; 13 U.S.C. 142a, 142b; 18 U.S.C. 1902, 1905, 2072; 42 U.S.C. 1891-1893; 44 U.S.C. 3501-3511; 50 U.S.C. 2061 et seq, 2251 et seq.

OBJECTIVES:

To formulate, develop, and administer programs for collecting and publishing statistics related to agriculture, resources, and rural communities.

To assist rural communities to create prosperity so they are self sustaining, repopulating and economically thriving.

To ensure our national forests and private working lands are conserved, restored, and made more resilient to climate change, while enhancing our water resources.

To ensure that all of America's children have access to safe, nutritious and balanced meals.

TYPES OF ASSISTANCE:

DISSEMINATION OF TECHNICAL INFORMATION

USES AND USE RESTRICTIONS:

Copies of publications of estimates and reports of production, supply, price and other aspects of the agricultural economy are available to anyone without restriction. Publications are provided free to survey respondents, press, Congress, and, many current publications and all data products are also available for free on the NASS Internet World Wide Website:

<http://www.usda.gov/nass/>. Other publications are available to all others on a subscription basis.

Applicant Eligibility:

Farmers and agricultural producers, marketing and processing groups, transportation and handler groups, consumers, State governments, educational institutions, and the general public including those located in the U.S. Territories.

Beneficiary Eligibility:

Farmers and agricultural producers, marketing and processing groups, transportation and handler groups, consumers, State governments, educational institutions, and the general public including those located in the U.S. Territories.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Request for technical information only may be made to the headquarters office listed below.

Award Procedure:

Not applicable.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching requirements are not applicable to this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Not applicable. See the following for information on how assistance is awarded/released: In accordance with the terms and conditions of the award.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

Not applicable.

Account Identification:

12-1801-0-1-352.

Obligations:

(Dissemination of Technical Information) FY 12 \$169,383,731; FY 13 est \$166,637,473; and FY 14 est \$159,601,000 - The mission of the agency is to provide timely, accurate, and useful statistics in service to U.S. agriculture. The statistical data provided by NASS are essential to the public and private sectors for making effective policy, production, and marketing decisions on a wide range of agricultural commodities. Every 5 years the Census of Agriculture provides comprehensive national, State, and county data as well as selected data for Puerto Rico, Guam, the U.S. Virgin Islands, and Northern Mariana Islands.

FY 13 estimated funds: appropriated minus rescission & sequester.

FY14 estimated funds: based on Presidents Budget.

Range and Average of Financial Assistance:

Not applicable. USDA National Agricultural Statistics Service does not award grants.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Agricultural Estimates Program: NASS published over 400 agricultural statistical national reports and 8,000 additional agricultural statistical State reports, covering more than 120 crops and 45 livestock items.

These basic and objective data are necessary to maintain an orderly association between the consumption, supply, marketing, and input sectors of agriculture. NASS completed another 48 state Cropland Data Layer.

Census of Agriculture: NASS finalized an online reporting system for the Census of Agriculture improving data quality and reducing respondent burden. NASS continually researches, evaluates, and analyzes ways to improve census preparation, data collection, and processing. NASS designed an innovative computer assisted personal interviewing solution to facilitate quality and efficiency increases in the data collection program.

NASS opened a national operations center to provide an infrastructure for increased telephone data collection capacity in a centralized environment, to centralize sampling frame activities, and to improve training of telephone and field interviewers through focused and deliberate delivery of a standardized training protocol.

NASS successfully installed video conferencing capabilities (VTC) in 2010 and expanded the use of VTC meetings for communication, collaboration and decision making in real time without the travel expense. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Agricultural Statistics Board catalog (annual listing of reports available by subscription issued by Agricultural Statistics Board), no charge.

Regional or Local Office:

See Regional Agency Offices. Consult Appendix IV for addresses of National Agricultural Statistics Service Regional Statistical Offices.

Headquarters Office:

Melanie Gladics 1400 INDEPENDENCE AVENUE, S.W., South Agriculture Building, Room 5041A, WASHINGTON, District of Columbia 20250 Email: Melanie_Gladics@nass.usda.gov Phone: (202)690-0919 Fax: 2027209013

Website Address:

<http://www.usda.gov/nass>.

RELATED PROGRAMS:

10.153 Market News; 10.250 Agricultural and Rural Economic Research; 10.700 National Agricultural Library; 11.001 Census Bureau Data Products; 11.110 Manufacturing and Services; 17.003 Prices and Cost of Living Data

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.960 TECHNICAL AGRICULTURAL ASSISTANCE

Technical Agricultural Assistance - International Capacity Building and Development Assistance Programs

FEDERAL AGENCY:

Foreign Agricultural Service, Department of Agriculture

AUTHORIZATION:

National Agricultural Research, Extension, and Teaching Policy Act of 1977, as amended; , Public Law 095-113, 91 Stat. 113, 7 U.S.C 3319(a); Food and Agriculture Act of 1977., 7 U.S.C 3291.

OBJECTIVES:

To identify and apply the most appropriate solutions to international agricultural problems and increase the capabilities of U.S. educational institutions and nonprofit agencies in agricultural technical assistance and research.

TYPES OF ASSISTANCE:

Cooperative Agreements; Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

Cost-reimbursables, grants, and cooperative agreements are used to fund projects dealing with various aspects of agricultural technical assistance. No funding is available for unsolicited proposals.

Applicant Eligibility:

U.S. institutions of higher learning, and public and private/nonprofit organizations whose primary purpose is agriculture, natural resources management and/or rural development (including those located in U.S. territories).

Beneficiary Eligibility:

Technical assistance provided through these reimbursable agreements benefit foreign governments and related agricultural institutions in their countries.

Credentials/Documentation:

This program is subject to the provisions of OMB Circular Nos. A-21, Cost Principles for Educational Institutions and A-122, Cost Principles for Nonprofit Organizations. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication procedures are available on the internet through the USDA Foreign Agricultural Service website at: <http://www.fas.usda.gov/OCBD.asp>. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Program guidelines with detailed application procedures are available by contacting the staff at the Office of Capacity Building and Development through the USDA Foreign Agricultural Service website at: <http://www.fas.usda.gov/OCBD.asp>.

Award Procedure:

Proposals are evaluated by a technical review panel, which rates the qualifications of applicants and the merit of proposals.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. Not available.

Appeals:

From 30 to 60 days.

Renewals:

Extensions may be authorized.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Assistance is phased according to the requirements of individual projects. Generally, cost- reimbursable and cooperative agreements are funded for variable lengths of time, depending on the technical assistance requested. See the following for information on how assistance is awarded/released: Assistance is awarded through cost reimbursable, grants, or cooperative agreements.

Reports:

No program reports are required. No cash reports are required. Progress Performance reports are required. FAS shall determine the frequency of the performance report for each project or program, considering the size and complexity of the particular project or program. However, these reports shall not be required more frequently than quarterly or less frequently than annually. A final report shall be required at the completion of the agreement. FAS shall require cooperators to report the status of funds as approved in the budget for agreements on the SF-425 Federal Financial Report, and an itemization of

actual dollar amounts expended on the project during the reporting period in line with the approved budget. FAS shall determine the frequency of the financial report for each project or program, considering the size and complexity of the particular project or program. However, these reports shall not be required more frequently than quarterly or less frequently than annually. A final report shall be required at the completion of the agreement. Performance monitoring and evaluation reports shall not be required more frequently than quarterly or, less frequently than annually.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Cooperators and sub cooperators that are institutions of higher education are subject to the audit requirements contained in the Single Audit Act Amendments of 1996 (31 U.S.C. 75017507) and the revised OMB Circular A133, Audits of States, Local Governments, and Non-Profit Organizations.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to an award shall be retained for a period of 3 years from the date of submission of the final expenditure report or, for awards that are renewed quarterly or annually, from the date of the submission of the quarterly or annual financial report, as authorized by FAS.

Account Identification:

12-3200-0-1-352.

Obligations:

(Cooperative Agreements) FY 12 \$26,510,505; FY 13 est \$26,510,505; and FY 14 est \$26,510,505

Range and Average of Financial Assistance:

\$5000 - \$2,000,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: In Fiscal Year 2012 (FY12) capacity building or technical outreach was provided to approximately sixty-eight developing countries. This technical assistance included trainings and outreach tailored specifically to countries and technical assistance provided in multilateral forums. The technical assistance was varied, but included activities aimed at strengthening food safety, animal health, and plant health systems. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Scott J. Lewis 1400 Independence Ave SW, Washington, District of Columbia 20250 Email: Scott.Lewis@fas.usda.gov Phone: 2026901927 Fax: 2027206364

Website Address:

<http://www.fas.usda.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: U.S. Department of State Embassy Science Fellows Program ARS Visiting Scientists Program. Fiscal Year 2013: U.S. Department of State Embassy Science Fellows Program ARS Visiting Scientists Program. Fiscal Year 2014: U.S. Department of State Embassy Science Fellows Program ARS Visiting Scientists Program.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are dependent upon identification of specific project requirements and program priorities determined by the Foreign Agricultural Service's Office of

Capacity Building and Development (FAS/OCBD) program area and its collaborators, especially the U.S. Agency for International Development (USAID), Department of State (DOS) and Department of Defense (DOD). Contact FAS/OCBD for additional information. This program does not fund unsolicited proposals.

10.961 SCIENTIFIC COOPERATION AND RESEARCH

Scientific Cooperation Research Program

FEDERAL AGENCY:

Foreign Agricultural Service, Department of Agriculture

AUTHORIZATION:

National Agricultural Research, Extension, and Teaching Policy Act of 1977 enacted as Title XIV of the Food and Agriculture Act of 1977, 7 U.S.C. 3319(a), 7 U.S.C. 3318(b), and 3291. Title 7, Agriculture, Chapter 64, Agricultural Research, Extension, and Teaching, Subchapter VIII, International Research, Extension, and Teaching, as amended on February 1, 2010., Public Law 095-113.

OBJECTIVES:

To leverage resources with domestic and international counterparts in order to advance cooperative research, education, and extension in agriculture.

TYPES OF ASSISTANCE:

Cooperative Agreements; DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

Funds under the Scientific Cooperation Research Program may be used for direct costs of conducting approved research projects. Allowable expenses include travel for research, extension, and training purposes, supplies, equipment, and indirect costs necessary to implement the research projects. Funds may not be used to pay for tuition, sabbaticals, or expenses solely for conferences. FAS may enter into a Federal financial assistance joint venture agreement awarded under the authority of 7 U.S.C. 3318(b), which may include funds that are available for the necessary administrative expenses of agricultural research, extension, or teaching activities, as long as the source of funding does not place a restriction that would otherwise limit FAS from utilizing this authority. FAS may enter into a Federal financial assistance grant or cooperative agreement awarded under the authority of 7 U.S.C. 3291(a), whose primary purpose is international agricultural research, extension, and teaching activities. No funding is available for unsolicited proposals.

Applicant Eligibility:

U.S. institutions from the public, private, and academic sectors.

Beneficiary Eligibility:

U.S. Institutions of higher learning, and public and private nonprofit organizations whose primary purpose is agriculture, natural resources management and/or rural development (including those located in U.S. territories).

Credentials/Documentation:

This program is subject to the provisions of OMB Circulars A-21, Cost Principles for Educational Institutions and A-122, Cost Principles for Nonprofit Organizations. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication procedures are available on the internet through the USDA Foreign Agricultural Service website at: <https://www.fas.usda.gov/> or through Grants.gov, a government wide internet portal for federal funding opportunity announcements on assistance awards. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Program guidelines with detailed application procedures are available on the internet through the USDA Foreign Agricultural Service website at : <https://www.fas.usda.gov/> or through Grants.gov, a government wide internet portal for federal funding.

Award Procedure:

All proposals are evaluated by technical specialists on an ongoing basis, which rate the qualifications of applicants and merits of the proposals. Selection criteria will be published with each individual request for applications to participate in this program.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Extensions may be authorized, but the agreement may not exceed five years.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. This program has no statutory formula.

This program does not have MOE requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Assistance is phased according to the requirements of individual projects. Agreements are entered into with select institutions for a maximum of five years. See the following for information on how assistance is awarded/released: Assistance is phased according to the requirements of individual projects. Agreements are entered into with select institutions for a maximum of five years.

Reports:

Participant must submit project reports quarterly. No cash reports are required. Progress reports are required quarterly and should provide detailed information on the status of the project (e.g. objectives accomplished, unforeseen barriers, and if needed, necessary changes to the scope of work). The SF-425, Federal Financial Report, are required quarterly. Participants must include a monitoring and evaluation component in the proposal. Monitoring and evaluation varies per project.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular A-133, and/or 7 CFR 3052.

Records:

Typically, a recipient must maintain all program and financial records for a period of 3 years after project closeout subject to conditions found in 7CFR 3015.21 and 7CFR3015.22.

Account Identification:

12-2900-0-1-352.

Obligations:

(Cooperative Agreements) FY 12 \$79,978; FY 13 est \$79,978; and FY 14 est \$79,978

Range and Average of Financial Assistance:

Research projects from two to five years. The approximate average per project is \$45,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Sponsored five new research projects with a focus in approximately seven countries (includes regional focus). Fiscal Year 2013: Estimated to support five (5) research projects in 5 countries. Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Federal financial assistance transactions under 7 USC 3319(a), 3318(b), and 3291 are subject to various legal requirements that are codified in the U.S. C., parts of the CFR, Executive Orders, Treasury Financial Manual bulletins, and other legally binding sources.

Regional or Local Office:

None.

Headquarters Office:

Gerald Smith 1400 Independence Ave. SW, Room 3234-S, Washington, District of Columbia 20250 Email: GeraldSmith@fas.usda.gov Phone: 202-690-2868

Website Address:

<http://www.fas.usda.gov/>

RELATED PROGRAMS:

10.777 Norman E. Borlaug International Agricultural Science and Technology Fellowship; 10.962 Cochran Fellowship Program-International Training-Foreign Participant

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are dependent upon identification of specific project requirements and program priorities developed by the Foreign Agricultural Service's Office of Capacity Building and Development program area (FAS/OCBD), in consultation with collaborating agencies and organizations. Contact FAS/OCBD/TSED/SEB/SCRIP for additional information. While this program does not fund unsolicited proposals, interested parties are welcome to submit statements of capability and expressions of interest.

10.962 COCHRAN FELLOWSHIP PROGRAM-INTERNATIONAL TRAINING-FOREIGN PARTICIPANT

FEDERAL AGENCY:

Foreign Agricultural Service, Department of Agriculture

AUTHORIZATION:

The legislative authority for the Cochran Fellowship Program is provided in Section 1543 of the Agriculture Development and Trade Act of 1990 (7 U.S.Code 3293) as amended in 1996. National Agricultural Research, Extension, and Teaching Policy Act of 1977, as amended, and 7 U.S.C. 3291, and 3319(a).

OBJECTIVES:

To provide high quality training resulting in knowledge and skills that will assist eligible countries to meet the food needs of their domestic populations; and to strengthen and enhance trade linkages between eligible countries and agricultural interests in the United States.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use; Project Grants

USES AND USE RESTRICTIONS:

No funding is available for unsolicited proposals. Cochran Program funds are used for projects involved with international training activities, including course development and/or evaluation.

Applicant Eligibility:

The Cochran Program solicits proposals from U.S. institutions of higher education, nonprofit organizations, U.S. agricultural trade and market development associations, and on occasion private consultants.

Beneficiary Eligibility:

Technical assistance provided through these agreements benefits foreign governments and related agricultural institutions in their countries.

Credentials/Documentation:

This program is subject to the provisions of OMB Circulars A-21, Cost Principles for Educational Institutions and A-122, Cost Principles for Nonprofit Organizations. This program is excluded from coverage under OMB circular No. A-87, Cost Principles for State, Local, and Indian Tribal Governments. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Applications solicited by the Cochran Program should include a scope of work, budget, time frame and expected results. This program is subject to the provisions of OMB Circular No. A-110 Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals and Other Non-Profit Organizations, and OMB Circulars A-21, Cost Principles for Educational Institutions.

Award Procedure:

All proposals are evaluated by technical specialists, which rate the qualifications of applicants and merits of the proposals.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Extensions may be authorized.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Assistance is phased according to the requirements of individual projects. See the following for information on how assistance is awarded/released:
Assistance is awarded by means of cost-reimbursable agreements (primarily), cooperative agreements, or on occasion joint venture agreements.

Reports:

Training evaluation reports may be required. Participant evaluations are required and most programs require development of post-training action plans. Cash reports are not applicable. Progress reports are not applicable. FAS shall require cooperators to report on a quarterly basis the status of funds as approved in the budget for the cost reimbursable agreement on the SF-425, Federal Financial Report, and an itemization of actual dollar amounts expended on the project during the reporting period (in line with the approved budget). Performance monitoring is not applicable.

Audits:

No audits are required for this program.

Records:

Program and financial records are kept in the Department of Agriculture.

Account Identification:

12-3200-0-1-352.

Obligations:

(Direct Payments for Specified Use) FY 12 \$1,083,147; FY 13 est \$1,083,147; and FY 14 est \$1,083,147 - Primarily reimbursable agreements with Universities.

Range and Average of Financial Assistance:

Training activities last approximately two weeks. Average cost of each project is \$33,848.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: In Fiscal year 2012, there were 479 Cochran International Fellows trained from 67 countries. Fiscal Year 2013: Estimated number of Cochran Fellows to be trained in FY 2013 is approximately 510 from 70 countries. Fiscal Year 2014: Estimated number of Cochran Fellows to be trained in FY 2014 is approximately 510 from 70 countries.

REGULATIONS, GUIDELINES, AND LITERATURE:

Federal financial assistance transactions under 7 USC 3293, and 3319(a) are subject to various legal requirements that are codified in the U.S.C., 7 CFR 3015, Executive Orders, Treasury Financial Manual bulletins, and other legally binding sources.

Regional or Local Office:

None.

Headquarters Office:

Karina Ramos-Hides 1400 Independence Ave, S.W., Washington, District of Columbia 20250 Email: Karina.Ramos@fas.usda.gov Phone: 202-720-3736 Fax: 202-690-0349

Website Address:

<http://www.fas.usda.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are dependent upon identification of specific project requirements and program priorities developed by the Foreign Agricultural Service's Office of Capacity Building and Development(FAS/OCBD), in consultation with collaborating agencies and organizations. Contact FAS/OCBD for additional information. While this program does not fund unsolicited proposals, interested parties are welcome to submit statements of capability and expressions of interest.

DEPARTMENT OF COMMERCE**11.008 NOAA MISSION-RELATED EDUCATION AWARDS****FEDERAL AGENCY:**

National Oceanic and Atmospheric Administration (NOAA), Department of Commerce

AUTHORIZATION:

America Creating Opportunities to Meaningfully Promote Excellence in Technology, Education, and Science Reauthorization Act of 2010 ("America COMPETES Reauthorization Act of 2010"), Public Law 111-111-358, 302, 33 U.S.C 893 a(a).

OBJECTIVES:

The objectives of the overall program are to facilitate educational activities related to NOAA's mission.

TYPES OF ASSISTANCE:

Cooperative Agreements; Project Grants

USES AND USE RESTRICTIONS:

To provide funds to support educational activities and studies related to NOAA's mission. Funds may not be used for construction of facilities. Individual Federal Funding Opportunities may have additional restrictions on the use of funds, other than those mandated for grants and cooperative agreements. These awards are primarily funded through annual appropriations to the Office of Education, but may also be funded through other agency programs and offices at their discretion.

Applicant Eligibility:

Eligible applicants include federal, state, and local governments, tribal entities, universities and colleges, nonprofit and for-profit organizations and/or individuals. Individual Federal Funding Opportunities may have more restrictive eligibility requirements.

Beneficiary Eligibility:

This program benefits other Federal, state, and local governments, tribal entities, public and private universities and colleges, for-profit and nonprofit organizations, and the general public. Individual Federal Funding Opportunities may have more restrictive eligibility requirements.

Credentials/Documentation:

Applicants are required to satisfy all DOC/NOAA standards and regulations,

including standard and special award conditions for financial assistance. Recipients are required to use the Central Contractor Registration and Dun and Bradstreet Universal Numbering System and be subject to reporting requirements, as identified in OMB guidance published at 2 CFR Parts 25, 170 (2010):

http://ecfr.gpoaccess.gov/cgi/t/text/text-idx?c=ecfr&tpl=/ecfrbrowse/Title02/2cfr25_main_02.tpl

and
http://ecfr.gpoaccess.gov/cgi/t/text/text-idx?c=ecfr&tpl=/ecfrbrowse/Title02/2cfr170_main_02.tpl. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applications, and in some cases preliminary applications, are submitted through Grants.gov. Applicants will need to enter the Funding Opportunity and/or CFDA number to access the applications package and instructions. Application package will then be submitted directly to the Program Office. This program is subject to the provisions of 15 CFR 24, Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments and 15 CFR 14, Grants and Agreements with Institutions of Higher Education, Hospitals, and other Nonprofit Organizations. For guidelines, see the specific funding opportunity.

Award Procedure:

Applications will undergo an administrative review and a technical review based on NOAA standardized evaluation criteria. For each project recommended for funding under this program, NOAA must analyze the potential environmental impacts of a projects as required under the provisions of the National Environmental Policy Act, 40 CFR 1500. See the funding opportunity announcement for further NEPA guidance. NOAA reserves the right to withhold the awarding of a grant or cooperative agreement to any individual or organization delinquent on a debt to the Federal government until payment is made or satisfactory arrangements are made with the agency to which the debt is owed.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

> 180 Days. Approval time is expected to range from 180 to 240 days from the time of receipt of a complete application. This timeframe includes processing the award through NOAA and DOC financial assistance functions.

Appeals:

Not Applicable.

Renewals:

Renewal of an award to increase funding or extend the period of performance is at the sole discretion of the Department of Commerce/NOAA and contingent upon satisfactory performance and availability of funds.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: Programs vary with regard to the required level of cost-sharing. Requirements are provided in the Federal Funding Opportunity announcement.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Up to five years. The funding is spent during the award's period of performance unless otherwise negotiated between the recipient and NOAA and approved by the NOAA Grants Management Division. Method of awarding/releasing assistance: lump sum.

Reports:

Reports are due in accordance with the terms and conditions of the award. The Department's Financial Assistance Standard Terms and Conditions generally require that financial and performance reports are submitted semi-annually or as

otherwise required under special award conditions. Performance reports summarize work accomplished, relate the progress to the original approved application and timeliness, explain situations where expected work has not been completed, and request modifications to the project plan as necessary. Reports will be submitted through NOAA's Grants Online System. Reports are due in accordance with the terms and conditions of the award. The Department's Financial Assistance Standard Terms and Conditions generally require that financial and performance reports are submitted semi-annually or as otherwise required under special award conditions. Performance reports summarize work accomplished, relate the progress to the original approved application and timeliness, explain situations where expected work has not been completed, and request modifications to the project plan as necessary. Reports will be submitted through NOAA's Grants Online System. Reports are due in accordance with the terms and conditions of the award. The Department's Financial Assistance Standard Terms and Conditions generally require that financial and performance reports are submitted semi-annually or as otherwise required under special award conditions. Performance reports summarize work accomplished, relate the progress to the original approved application and timeliness, explain situations where expected work has not been completed, and request modifications to the project plan as necessary. Reports will be submitted through NOAA's Grants Online System. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

All financial and programmatic records, supporting documents, statistical reports, and other records of grantees or sub-grantees are required to be maintained in accordance with the terms of the award. The recipient must retain records for 3 years from the date with the final financial status report is submitted.

Account Identification:

13-1450-0-1-306.

Obligations:

(Cooperative Agreements) FY 12 \$5,082,096; FY 13 est \$3,487,883; and FY 14 est \$0 - The Administration's Fiscal Year 2014 request was zero, but available funding may be higher depending on the outcome of FY2014 Congressional appropriations.

Range and Average of Financial Assistance:

Awards typically range from \$100,000 to \$1,500,000 under the competitive process, with an average award amount of approximately \$500,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: The following audiences and institution types were served by NOAA's mission-related educational awards; numbers of learners are approximate: 4 million Pre-K to 12 students; 390,000 Pre-K to 12 teachers; 10,000 informal educators; 29 million adult learners in informal science education contexts; 12 institutions of higher education; 60 informal education institutions and other non-profit organizations. Fiscal Year 2013: An estimated 36% of applications will be awarded from the Priority 2 competition run in FY13. Applicants for Priority 2 are collaborative teams that are exclusively composed of two or more 501(c)(3) non-profit aquariums in the United States. Collaborative teams must include at least one aquarium accredited by the Association of Zoos and Aquariums. Projects will enhance informal/formal educators' ability to use the wealth of scientific data, data visualizations, data access technologies, information products, and other assets available through NOAA to help K-12 students and/or the public understand and respond to global change. None of the applications to Priority 1 were funded due to budget cuts. Applications to Priority 1 may be funded from FY14 funding if available. Fiscal Year 2014: N/A.

REGULATIONS, GUIDELINES, AND LITERATURE:

Department of Commerce Grants and Cooperative Agreements Regulations. Allowable cost will be determined in accordance with OMB Circular A-87 for State and local governments and Indian Tribes; OMB Circular A-122 for non-profit and for-profit organizations; OMB Circular A-21 for institutions of higher education. Financial assistance management will be in accordance with 15 CFR Part 14 for institutions of higher education, hospitals, and other non-profit and commercial organizations, and with 15 CFR Part 24 for state and local governments. For programmatic information on past and current funding opportunities, visit <http://www.oesd.noaa.gov/grants/>.

Regional or Local Office:

None.

Headquarters Office:

Office of Education HCHB 6863
1401 Constitution Avenue, NW, Washington, District of Columbia 20230
Email: oed.grants@noaa.gov Phone: 202-482-3384

Website Address:

<http://www.oesd.noaa.gov>

RELATED PROGRAMS:

11.481 Educational Partnership Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: We funded 13 new awards in support of service learning projects for K-12 students and professional development and other capacity building activities for informal and formal K-12 educators in STEM fields related to NOAAs mission areas. Additionally, 9 awards initiated in previous years were provided their out-year funding. Fiscal Year 2013: We anticipate funding approximately 4 new awards that will build educators capacity to use NOAA datasets and visualizations, with strategic framing communication tools to effectively communicate NOAA-related sciences in informal science education settings such as aquariums, zoos and museums. Additionally, 7 awards initiated in FY12 will be funded for their second year. Fiscal Year 2014: New funding opportunities have not yet been defined.

CRITERIA FOR SELECTING PROPOSALS:

Applications will undergo an administrative review and a technical merit review based on NOAA standardized evaluation criteria. NOAA standardized selection factors may also be used in making the final selection.

11.010 COMMUNITY TRADE ADJUSTMENT ASSISTANCE

(CTAA)

FEDERAL AGENCY:

Economic Development Administration, Department of Commerce

AUTHORIZATION:

Chapter 4, Title II of the Trade Act of 1974, as amended, 19 U.S.C. 2371 et seq., (Trade Act).

OBJECTIVES:

To assist communities impacted by trade with economic adjustment through the: (1) coordination of federal, State, and local resources; (2) creation of community-based development strategies; and (3) development and provision of programs that help communities adjust to trade impacts. The Community TAA Program is designed to provide a wide range of technical, planning, and infrastructure assistance and respond adaptively to pressing trade impact issues.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Strategy investments help organize and carry out a planning process in order to develop a strategic plan tailored to the community's specific economic problems and opportunities, including amendments to existing Comprehensive Economic Development Strategy (CEDS) to address trade related challenges. Implementation investments support one or more activities identified in an EDA-approved strategic plan. Awards may be used for activities such as developing and updating a strategic plan and for implementing the plan by carrying out projects for site acquisition and preparation, construction, rehabilitation, and equipping facilities, technical assistance, market or industry research and analysis, and other activities set out in 13 CFR 313.7. To receive

assistance, a community must petition to be designated as an Impacted Community, meaning that the community is significantly affected by trade impacts. A community may receive such designation from the Secretary of Commerce if the community demonstrates that: (1) the community has a Cognizable Certification, that is Secretary of Labor has certified a group of workers in the community as eligible for TAA for Workers benefits, the Secretary of Commerce has certified a firm in the community as eligible for TAA for Firms benefits, or a group of agricultural producers in the community has been certified to receive benefits under the TAA for Farmers and Fishermen program; and (2) the Secretary determines that the community is significantly affected by the threat to, or the loss of, jobs associated with that certification. All EDA grants are discretionary. EDA does not award any formula grants.

Applicant Eligibility:

Eligible applicant for EDA investment assistance under the Community TAA program include cities, counties, or other political subdivisions of a State or a consortium of political subdivisions of a State.

Beneficiary Eligibility:

Eligible beneficiaries of EDA investment assistance under the Community TAA program include cities, counties, or other political subdivisions of a State or a consortium of political subdivisions of a State.

Credentials/Documentation:

In accordance with section 273 of the Trade Act (19 U.S.C. 2371b), to receive assistance under the Community TAA Program, a community must have one or more of the Cognizable Certifications(See also the definition of Cognizable Certification set out as 13 C.F.R. 313.2). OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

Applications are no longer being accepted. The program did not receive any additional funding after FY 2010.

Deadlines:

Jan 11, 2010 to Apr 20, 2010 Applications are no longer being accepted. The program did not receive any additional funding after FY 2010.

Range of Approval/Disapproval Time:

The deadlines are set forth in the applicable announcement of federal funding opportunity (FFO).

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: Percent: 25%. The Federal share of a grant to develop a strategic plan may not exceed 75 percent and the local share must be at least 25 percent. The federal share of an implementation grant may not exceed 95 percent of the cost of the project and the community's share may not be less than 5 percent. Implementation grants may not exceed \$5,000,000. Priority shall be given to grant applications submitted by small and medium-sized communities. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

None. See the following for information on how assistance is awarded/released: Assistance will be awarded/released to recipients in accordance with the grant terms and conditions.

Reports:

Periodic reporting of operating results and progress, financial statements, and summary of funds spent, consistent with EDAs Government Performance Results Act (GPRA) reporting requirements and those that may be added to assess the impact of the assistance on the community, its economic resilience, and international competitiveness. When funds are advanced to recipients the DoC shall require each recipient to submit the SF272 and, when necessary, its continuation sheet, SF272a. The DoC shall use this report to monitor funds advanced to recipients and to obtain disbursement information for each agreement with the recipients. If construction is included in the project, quarterly reports on the progress of construction are required. EDA requires the submission of the SF-425 Federal Financial Report. EDA reserves the right to conduct site visits.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Commercial organizations shall be subject to the audit requirements as stipulated in the award documents.

Records:

Records are required to be maintained for 3 years from the date of submission, unless otherwise provided. All financial and programmatic records, supporting documents, statistical reports, and other records of recipients or sub-recipients are required to be maintained by the terms of the grant award agreement. The recipient must retain records for three years after submission of the final financial report, and have them readily available for inspection and audit.

Account Identification:

13-2050-0-1-452.

Obligations:

(Project Grants (Discretionary)) FY 12 \$0; FY 13 est \$0; and FY 14 est \$0 - The Community Trade Adjustment Assistance opportunity was funded only for FY 2010 under the Supplemental Appropriations Act, 2009 (Public Law No. 111-32, 123 Stat. 1859, at 1860 (2009)). Applications are no longer being accepted. Funding was not authorized since FY 2010.

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

13 CFR Part 313

Regional or Local Office:

See Regional Agency Offices. Refer to Appendix IV of the Catalog for EDA regional office contact information.

Headquarters Office:

Miriam J. Kearse, 1401 Constitution Avenue NW, Room 71030, Washington, District of Columbia 20230 Email: mkearse@eda.gov Phone: (202) 482-3963 Fax: (202) 482-2838

Website Address:

<http://www.eda.gov>

RELATED PROGRAMS:

11.313 Trade Adjustment Assistance for Firms

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Planning proposals that are intended to (1) diversify and strengthen the community's economy; (2) identify impediments to economic development that result from the impact of trade; and (3) develop a community strategic plan to address economic adjustment and workforce dislocation in the community. Preference shall be given to plans submitted by EDAs existing partnership

planning grantees and that identify other federal, State and local resources available to assist the community. Implementation proposals: Preference shall be given to proposals that arise from CTAA planning activities and that promise to benefit indigenous populations, diversify the economy, and address global competition.

11.011 OCEAN EXPLORATION**FEDERAL AGENCY:**

National Oceanic and Atmospheric Administration (NOAA), Department of Commerce

AUTHORIZATION:

Omnibus Public Lands Management Act of 2009, Title XII, Public Law 111-11.

OBJECTIVES:

To explore the Earth's largely unknown oceans in all their dimensions for the purpose of discovery and the advancement of knowledge, using state of the art technologies in evolutionary and revolutionary ways within Oceanic and Atmospheric Research (OAR).

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Cooperative agreements and grants may be used for research and development, education and training, and advisory services. They may also be used for operational systems as they relate to specific programs. Specific restrictions, other than those mandated for grants and cooperative agreements are evaluated on an individual program basis.

Applicant Eligibility:

As stated in NOAA special announcements or applicable reports in support of NOAA's mission.

Beneficiary Eligibility:

Organizations and individuals with interests in support of managing effectively the Nation's ocean exploration resources.

Credentials/Documentation:

Proposals with statements of work and estimated budgets. Costs will be determined in accordance with applicable OMB Circulars. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program.

Award Procedure:

Proposals are subject to office evaluations, technical reviews, administrative reviews, and external reviews, if applicable, taking into consideration primarily scientific merit and application to the goals of NOAA and the Office of Oceans and Atmospheric Research.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

The range varies from 90 to 180 days from the time of proposal submission to NOAA.

Appeals:

Not Applicable.

Renewals:

Renewals are conducted by negotiation with the OAR/OER and subject to availability of funds.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program.

This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

Assistance is available one to three years based on availability of funding. Restrictions are contained in the DOC Grants and Cooperative Agreements Manual. See the following for information on how assistance is awarded/released: For those organizations not having ASAP capability, direct deposit to banking institution is allowed.

Reports:

Progress reports require program information. Required every six months. Progress interim reports every six months and a final report at the end of the award period. Required as part of the interim and final progress reports. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Recipients are expected to maintain complete records for each grant and/or cooperative agreement to ensure funds are used for the purpose for which the award was made.

Account Identification:

13-1450-0-1-306.

Obligations:

(Project Grants) FY 12 \$9,074,736; FY 13 est \$7,000,000; and FY 14 est \$7,000,000

Range and Average of Financial Assistance:

Grants and cooperative agreements are awarded as applicable. For example, the Ocean Exploration Science Program sponsors competitive, peer-reviewed exploration projects to collaborate with and augment NOAA intramural research programs.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

NOAA Grants and Cooperative Agreements Policy Manual. Allowable cost will be determined in accordance with 2 CFR 225 for State and local governments and Indian Tribes; 2 CFR 230 for nonprofit and for-profit organizations; 2 CFR 220 for institutions of higher education; and 48 CFR Part 31 for commercial organizations. Financial assistance management will be in accordance with 15 CFR Part 14 for institutions of higher education, hospitals, and other non-profit and commercial organizations, and with 15 CFR Part 24 for State and local governments.

Regional or Local Office:

See Regional Agency Offices. Satellite Offices in Narragansette, Rhode Island; Charleston, South Carolina; Seattle, Washington.

Headquarters Office:

Director 1315 East-West Highway, Room 10210, Silver Spring, Maryland 20910 Phone: (301) 734-1010

Website Address:

<http://www.explorer.noaa.gov>

RELATED PROGRAMS:

11.417 Sea Grant Support; 11.419 Coastal Zone Management Administration Awards; 11.420 Coastal Zone Management Estuarine Research Reserves; 11.426 Financial Assistance for National Centers for Coastal Ocean Science; 11.430 Undersea Research; 11.431 Climate and Atmospheric Research; 11.432 Office of Oceanic and Atmospheric Research (OAR) Joint and Cooperative Institutes

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Proposals are subject to office evaluations, technical reviews, administrative reviews, and external reviews, if applicable, taking into consideration primarily scientific merit and application to the goals of NOAA and the Office of Oceans and Atmospheric Research.

11.012 INTEGRATED OCEAN OBSERVING SYSTEM (IOOS)

FEDERAL AGENCY:

National Oceanic and Atmospheric Administration (NOAA), Department of Commerce

AUTHORIZATION:

Integrated Coastal and Ocean Observation System Act of 2009, 33 U.S.C 3601-3610.

OBJECTIVES:

To support projects aimed at the development and sustainability of a national and international integrated ocean observing system designed to collect, monitor and disseminate marine environment data in an interoperable, reliable, timely and user-specified manner by leveraging federal, regional and private-sector partnerships.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

IOOS is working to link national and regional observations, data management and modeling to provide required data and information on local to global scales. Assistance will be used to deliver the data and information needed to increase understanding of our oceans, coasts and great lakes, so decision makers can take action to improve safety, enhance the economy, and protect the environment. Uses will be in the following areas (1) Observations; (2) Data Management; (3) Modeling; (4) Research and Development; and (5) Education and Outreach. TBD.

Applicant Eligibility:

Organizations and individuals with professional expertise in the sciences, engineering and economics that demonstrate how participation in the IOOS effort builds on and furthers the progress of observing system development funded by this agency.

Beneficiary Eligibility:

Organizations and individuals with professional expertise in the sciences, engineering and economics that demonstrate how participation in the IOOS effort builds on and furthers the progress of observing system development funded by this agency.

Credentials/Documentation:

Proposals with statements of work and estimated budgets. Costs will be determined in accordance with OMB Circulars (1) A-21 for agreements with education institutions; (2) A-87 for State and local governments; and (3) A-122 for nonprofit institutions. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

Awards are made on the basis of proposals evaluations, technical reviews and administrative reviews, if applicable.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

: Approximately 150 to 210 days after the proposal submission deadline.

Appeals:

Not Applicable.

Renewals:

Extension requests will be considered by the federal program office only if the recipient has submitted all required performance reports. At least sixty days prior to the end of the award, recipients must discuss with their federal program officer expected reprogramming and extensions requests. After preliminary negotiation on proposed projects and extensions, formal written reprogramming and extension requests should be received by the federal program office 45 days prior to the end date of the award. The federal program office will not recommend approval for any retroactive extension request (received after the end date of the award). Recipients should make every effort to submit one extension request for all projects needing an extension (when possible, multiple extension requests should be avoided). With sufficient justification, the federal program office will recommend approval of a six-month extension for the first extension request. First time or cumulative extension requests for more than six months but less than 12 months, will need more compelling justification as to why the project was not completed on time or could not be completed within the first six-month extension. Although the federal program office discourages extensions of more than 12 months, the federal program office will recommend approval in cases where the funds are already obligated. Renewal of an award to increase funding or extend the period of performance is at the total discretion of the Department.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Support for projects may be provided for periods up to 5 years in annual increments. See the following for information on how assistance is awarded/released: Funds are released as required and agreed to in the Standard Terms and Conditions document applicable to each grant.

Reports:

No program reports are required. No cash reports are required. The Federal Financial Report, Standard Form (SF) 425, and Performance Progress Reports are required semi-annually. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audits are required as applicable under OMB Circular No. A-133.

Records:

Records will be retained in accordance with 15 CFR Parts 14 and 24 for institutions of higher education, other non-profit organizations, and for State and local government.

Account Identification:

13-1450-0-1-306.

Obligations:

(Project Grants) FY 12 \$22,849,459; FY 13 est \$26,387,431; and FY 14 est \$34,520,000

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Continued implementation and development of the regional coastal, ocean and Great Lakes component of U.S. IOOS under the 3 or 5 year cooperative agreements established in FY 2011. Fiscal Year 2013: FY2013 marked the first year that IOOS Regions received post-disaster supplemental

repair dollars for assets damaged during Hurricane Sandy. IOOS strengthened Data Management and Communication (DMAC), enhanced partnerships in the areas of biodiversity, biology and animal telemetry networking and with the NOAAs National Centers for Environmental Prediction (NCEP). IOOS maintained strong partnerships with NOAAs Coastal Storms program and Ocean Acidification program. IOOS initiated joint planning with the IOOS Regional Associations (RAs) to ensure alignment of annual planning and project execution strategies, and objectives. IOOS began the Marine Sensor Innovation projects in FY2013 including sensor verification & validation, the Coastal and Ocean Modeling Testbed, and projects to advance sensor technology transitions from research into operations. Fiscal Year 2014: IOOS intends to execute DMAC as defined in FY2013 and must engage other Federal agencies and non-Federal partners in their adoption of IOOS recommended standards and protocols. These efforts are aligned with the three National Ocean Service (NOS) priorities: (a) Improve end-to-end Response, Recovery, Restoration and Resiliency; (b) Enhance Coastal Marine Resource Conservation; and (c) Enhance Visibility and Relevance of NOS to the Nation.

REGULATIONS, GUIDELINES, AND LITERATURE:

Allowable costs will be determined in accordance with OMB Circular A-87 for State and local governments and Indian Tribes; OMB Circular A-122 for nonprofit and for-profit organizations; OMB Circular A-21 for institutions of higher education; and 48 CFR Part 31 for commercial organizations. Financial assistance management will be in accordance with 15 CFR Part 14 for institutions of higher education, hospitals, and other non-profit and commercial organizations, and with 15 CFR Part 24 for state and local governments. Further guidelines are outlined in Department Administrative Order 203-36, dated May 15, 1985 (Department of Commerce Grants Administration) and also the NOAA Grants and Cooperative Agreement Policy Manual.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Zdenka Willis Zdenka Willis, Director, NOAA Integrated Ocean Observing System Program, 1100 Wayne Avenue, Suite 1225, Silver Spring, MD 20910. Telephone: (301) 427-2420

Suzanne Skelley, Deputy Director, NOAA Integrated Ocean Observing System Program, 1100 Wayne Avenue, Suite 1225, Silver Spring, MD 20910. Telephone: (301) 427-2424

Capt. James Verlaque, Director, Regional and External Affairs Division, NOAA Integrated Ocean Observing System Program, 1100 Wayne Avenue, Suite 1225, Silver Spring, MD 20910. Telephone: (301) 427-2443

Regina Evans, Federal Program Officer, NOAA Integrated Ocean Observing System Program, 1100 Wayne Avenue, Suite 1225, Silver Spring, MD 20910. Telephone: (301) 427-2422

Gabrielle Canonico, Technical Program Officer, NOAA Integrated Ocean Observing System Program, 1100 Wayne Avenue, Suite 1225, Silver Spring, MD 20910. Telephone: (301) 427-2428
, Silver Spring, Maryland 20910 Phone: 301-427-2420

Website Address:

No Data Available

RELATED PROGRAMS:

11.417 Sea Grant Support; 11.419 Coastal Zone Management Administration Awards; 11.420 Coastal Zone Management Estuarine Research Reserves; 11.426 Financial Assistance for National Centers for Coastal Ocean Science; 11.429 Marine Sanctuary Program; 11.430 Undersea Research; 11.431 Climate and Atmospheric Research; 11.440 Environmental Sciences, Applications, Data, and Education; 11.455 Cooperative Science and Education Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Profiling gliders are rapidly becoming essential instruments for operational observing of the nations coastal oceans and Great Lakes. US IOOS regions and partners were heavily engaged in glider operations and in many instances leading the way on their uses and applications. As use and mission variety expand, there is an increasing need for a program-level dialog

on topics such as how gliders are deployed and operated, community standards for data access and organization, and what the candidate expansion paths might be towards a national capacity for gliders for observing and understanding better our coastal oceans and Great Lakes. Fiscal Year 2013: Strategic collaborations are being facilitated among experts from academia, federal operational centers and industry in order to guide the U.S. IOOS Coastal and Ocean Modeling Testbed (COMT) through its first highly productive phase. The mission of the COMT is to accelerate the transition of advances from the coastal ocean modeling research community to improved operational ocean products and services. The long-range vision of the program is to increase the accuracy, reliability, and scope of operational coastal and ocean forecasting products. Fiscal Year 2014: It is projected that IOOS will establish a research and development program to develop and improve marine sensors. The IOOS Marine Sensor Innovation Improvement Program will make competitive, extramural awards available. Resources will be targeted to sensor systems that are beyond their research phase, with specific emphasis on transitional and life cycle costs, including data management, overall operations, and maintenance expenses.

CRITERIA FOR SELECTING PROPOSALS:

Proposals are subject to office evaluations, technical reviews, administrative reviews, and externals reviews, if applicable, taking into consideration primarily scientific merit and application to the goals of NOAA and the relevant federal program office.

11.013 EDUCATION QUALITY AWARD AMBASSADORSHIP

FEDERAL AGENCY:

National Institute of Standards and Technology (NIST), Department of Commerce

AUTHORIZATION:

Stevenson-Wydler Technology Innovation Act, 15 U.S.C 3711a;
Stevenson-Wydler Technology Innovation Act, 15 U.S.C 3706.

OBJECTIVES:

Support Baldrige National quality Program (BNQP) award winners in conducting outreach, serving as role models, and encouraging other organizations to practice effective quality control in the provision of their services.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Grant money will be used for non-commercial award recipients to share information on their successful performance and quality strategies with other U.S. organizations. Principal mechanisms to share information are attendance at the Quest for Excellence Conference, regional conferences, and hosting and speaking at different conferences, workshops/meetings. Grant money will be used for non-commercial award recipients to share information on their successful performance and quality strategies with other U.S. organizations. Principal mechanisms to share information are attendance at the Quest for Excellence Conference, regional conferences, and hosting and speaking at different conferences, workshops/meetings.

Applicant Eligibility:

Anyone/general public - Business/Commerce, Elementary/Secondary Education, Health/Medical, Higher Education (includes Research), Vocational Education.

Beneficiary Eligibility:

Anyone/general public.

Credentials/Documentation:

Proposals with statements of work and detailed budgets. Costs will be determined in accordance with applicable federal cost principles. Grants are limited to recipients of the Baldrige National Quality Award. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs."

An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Proposals are submitted in response to education award recipients financial requirements pursuant to 15 CFR 14.4.

Award Procedure:

Award process based upon published selection criteria.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Future or continued funding will be at the discretion of NIST, based on such factors as availability of funds and satisfactory performance.

Formula and Matching Requirements:

Statutory Formula: Chapter 15, Part 14.

Matching Requirements: Percent: 25.%. The applicant must match with a cost share 25% of the grant award.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Usually one to three years in length. See the following for information on how assistance is awarded/released: Dependent on when appropriation is received dictates when authorization is dispersed either in lump sum or quarterly.

Reports:

No reports are required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audits of all recipients shall be conducted in accordance with Government Auditing Standards issued by the Comptroller General of the United States (the Yellow Book). Recipients covered under OmB Circular No. A-133, Audits of States, Local Governments, and Nonprofit Organizations, should have an audit performed in accordance with the requirements of the OMB Circular.

Records:

Pursuant to 15CFR 14.53(b)(2), all financial and programmatic records are required to remain available to the federal government for 3 years after final disposition.

Account Identification:

13-0500-0-1-376.

Obligations:

(Cooperative Agreements) FY 12 \$0; FY 13 est \$0; and FY 14 est \$0

Range and Average of Financial Assistance:

None.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: No grants. Fiscal Year 2013: No grants. Fiscal Year 2014: No plans for grants.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of CFR 15 part 14.

Regional or Local Office:

None.

Headquarters Office:

Suzanne Sullivan 100 Bureau Drive, Bldg. 101 A507
Mail Stop 1020, Gaithersburg, Maryland 20899-1650 Email:
suzanne.sullivan@nist.gov Phone: 301-975-2702

Website Address:

http://www.nist.gov

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

11.112 MARKET DEVELOPMENT COOPERATOR PROGRAM

MDCP

FEDERAL AGENCY:

International Trade Administration, Department of Commerce

AUTHORIZATION:

Omnibus Trade and Competitiveness Act of 1988, Public Law 100-418, Title II, Section 2303, 102 Stat. 1342, 15 U.S.C.4723.

OBJECTIVES:

Through the Market Development Cooperator Program (MDCP), the International Trade Administration (ITA) aims to develop, maintain and expand foreign markets for nonagricultural goods and services produced in the United States.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

A Federal Funding Opportunity (FFO) notice describing the program is available at grants.gov, at export.gov/mdcp, or from the contact listed in "Information Contacts" below. The most prominent uses and restrictions are summarized below. 1. Applicants should propose foreign market development activities that result in U.S. firms increasing exports that create or maintain U.S. jobs. 2. The primary beneficiaries of project activity should be small-and medium-sized enterprises. 3. No more than \$300,000 in federal funds is available for each project. 4. The federal funds are to last for the life of the project, not to exceed three years. 5. Applicant match is two to one: for each federal dollar received, applicant must match at least one dollar with cash and another dollar with cash or in-kind contribution. 6. Federal funds and the first dollar of applicant match can be used only on direct costs.

Applicant Eligibility:

Applicants are found to be eligible in one of three categories. 1. Nonprofit industry organizations (includes small business development centers, World Trade Centers, and 501(c)nonprofits such as chambers of commerce, international trade centers, port authorities, economic development organizations, and other business interest groups). 2. Trade associations. 3. State departments of trade and their regional associations. The Statute stipulates that private industry firms or groups of firms may be found eligible in cases where no entity described above represents that industry. However, since the program began operating in 1993, no private firm has been able to demonstrate that an organization in one of the first three categories did not represent its interests.

Beneficiary Eligibility:

U.S. firms that sell non-agricultural goods or services. (While private firms benefit from MDCP project activity, as noted above, they are generally not eligible to apply for MDCP funds.)

Credentials/Documentation:

In order to determine eligibility, applicants should consult www.export.gov/mdcp and request an eligibility determination in writing from the MDCP Director (see "Information Contacts"). The written request should

be accompanied by the most current version of all the following documents that apply: articles of incorporation; charter; bylaws; information on types of members and membership fees; Internal Revenue Service acknowledgment of non-profit status; annual report; audited financial statements; and documentation of ties to state trade departments or their regional associations. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Before applying an applicant should get a determination from ITA of its eligibility to be considered to be selected to receive an MDCP award.

MDCP awards are cooperative agreements, not grants. ITA will be substantially involved in helping an MDCP award winner to achieve project objectives. Accordingly, applicants are strongly encouraged to coordinate with the contact identified in this CFDA listing as well as other relevant ITA staff. Directories of ITA staff can be accessed via export.gov/mdcp. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Application packages are available at grants.gov and at export.gov/mdcp, which includes an example of a complete MDCP application. Applicants are strongly encouraged to submit applications via grants.gov. All forms can be downloaded from grants.gov. Completed forms and all other elements of the application are to be uploaded onto grants.gov. The application will be organized into four parts: forms, project, budget, and other.

1. Forms: a. SF-424; b. SF-424A; c. SF-424B; and d. CD-511.

2. Project narrative file (page limit: 20): a. One-page executive summary including target markets, projected exports to result, size of the market, and brief project description. b. Background including market research that makes clear why the project is needed and has a good chance to succeed. c. Description of the project. d. Description of estimate of exports to be generated and other performance measures. e. Description of partnership with ITA envisioned by applicant. f. Credentials of staff to work on the project. g. Evidence of support for the project from U.S. firms interested in participating. h. Description of how applicant proposes to use the ITA emblem to further project goals. i. Draft success agreement outlining benefits to participating firms and their duty to report exports.

3. Budget narrative attachment file (page limit: 20): a. Spreadsheet that breaks down the budget into the categories used on the SF-424A and apportions it over the award period. b. Supporting worksheets and explanations. c. Discussion of financial systems and projections that identify how, when, and from what sources the matching funds will be or have been raised. d. List of financial assistance awards of \$40,000 or more received over the last five years. e. Financial statements. f. Evidence of commitment of cash or in-kind support. g. Any additional evidence of financial responsibility.

4. Other attachment file (page limit: 10): This must not include any documents that should be classified as forms, project narrative, or budget narrative. Most MDCP applications do not include this other attachment because the other three parts of the application encompass all necessary elements.

Every page of the application must be easily legible. Textual documents generated by an applicant should have a one-inch margin on either side and top and bottom, and the typeface should be no smaller than 11-point. Headers and footers can lie outside the margins. Pages that are not easily legible may be ignored by reviewers.

Award Procedure:

A merit review panel of at least three senior ITA officials evaluates each MDCP application based on the following five criteria: potential to strengthen competitiveness; performance measurement; partnership potential with ITA and compatibility with ITA priorities; creativity and institutional capacity; and budget, cash match, and sustainability. Each application can receive up to 20 points in each of the five categories for a total possible score of 100. Once applications are received, applicants may not revise them. Unsuccessful

applicants will be notified within 10 days after award decisions have been announced. Since the program began in 1993, ITA has made, on average, 7 awards per year.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Percent: 65.%. Applicants match the federal share two-to-one. The first dollar of match must be cash. The second dollar of match may be cash or in-kind.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Funds may be expended over the period of time required to complete the scope of the work, but not exceed three years from the date of the award. Assistance is released according to approved marketing plans in the Market Development Cooperator Program. See the following for information on how assistance is awarded/released: Award recipients draw down funds as they incur project costs and request advances or reimbursements.

Reports:

Thirty (30) days after the end of each calendar quarter recipients report exports generated by project activity and project milestones. Thirty (30) days after the end of each calendar quarter recipients submit SF-425. Quarterly "program" reports described above register project progress. Quarterly financial report SF-425 described above summarize expenditures. Quarterly "program" reports described above allow ITA to monitor performance. ITA also monitors performance while working alongside recipient on project activity.

Audits:

Not Applicable.

Records:

All financial and programmatic records, supporting documents, statistical reports, and other records of recipients or sub recipients must be maintained in accordance with the terms of the award. Generally, the recipient must retain records for 3 years from the date on which the final expenditure report is submitted.

Account Identification:

13-1250-0-1-376.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 12 \$2,397,739; FY 13 est \$1,910,384; and FY 14 est \$2,000,000

Range and Average of Financial Assistance:

Individual awards may not exceed \$300,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: On average, since 1997, MDCP projects generate \$258 in exports for every dollar of MDCP award invested. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Applicants can link to Internet sources for each of the authorities cited on CFDA.gov at export.gov/mdcp. This site also contains a mock application, directories of ITA officials to consult, and tips on preparing applications.

Regional or Local Office:

See Regional Agency Offices. MDCP is managed from the headquarters office, which should be the applicant's initial point of contact for MDCP information. In addition, applicants are encouraged to contact relevant ITA industry specialists in Washington, DC and their nearest ITA Export Assistance Center.

Contact information is available at export.gov/mdcp.

Headquarters Office:

Bradlee W. Hess 14th Street & Constitution Ave., N.W., Room 4324, Washington, District of Columbia 20230 Email: brad.hess@trade.gov Phone: 2024822969 Fax: 2024824462

Website Address:

http://www.export.gov/mdcp .

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: One MDCP award recipient, Recreation Vehicle Industry Association will leverage its award to help U.S. RV-makers to develop China as an export market by establishing an office in Beijing; pursuing adoption of RV and campground industry standards compatible with U.S. standards; and securing the reduction of RV import duties and tariffs. Fiscal Year 2013: Through its MDCP project CenterState Corporation for Economic Opportunity will help small U.S. firms to export digital electronic devices to Southeast Asia by matching small U.S. firms with large firms to build relationships with distributors and suppliers; doing market analysis; developing business networking; and sharing foreign show booths with mentor firms. Fiscal Year 2014: Projects proposed for funding in fiscal 2014 will not be proposed until February 2014.

CRITERIA FOR SELECTING PROPOSALS:

Each MDCP application is evaluated based on the following five criteria: potential to strengthen competitiveness; performance measurement; partnership potential with ITA and compatibility with ITA priorities; creativity and institutional capacity; and budget, cash match, and sustainability. Each application can receive up to 20 points in each of the five categories for a total possible score of 100.

Additional details on the selection criteria are published in the FFO, the annual solicitation for applications. The most recent solicitation is available at export.gov/mdcp.

11.113 ITA SPECIAL PROJECTS

special projects

FEDERAL AGENCY:

International Trade Administration, Department of Commerce

AUTHORIZATION:

Department of Commerce and Related Agencies Appropriations Act of 2002, Public Law 107-77., Public Law 107-77.

OBJECTIVES:

To assist various organizations identified by Congress to expand exports; to promote job creation through projects designed to provide small-medium sized businesses with export assistance to specified overseas markets.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Assistance is used to provide information, contracts, and research and development in shaping and implementing specific projects and programs that promote exports in various markets.

Applicant Eligibility:

Organizations or individuals specifically identified by Congress in agency appropriations legislation.

Beneficiary Eligibility:

Beneficiaries are the companies served by the organizations receiving awards, and the organizations or individuals specifically identified by Congress.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact

information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Submit all standard forms, project proposal and budget following the format specified by the Department of Commerce.

Award Procedure:

Grants result from legislation by the congress. There is no application process.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Renewals are contingent upon future Congressional funding.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Assistance duration is defined in the legislation passed by Congress. See the following for information on how assistance is awarded/released: Funds are drawn down as eligible expenses are claimed by recipient.

Reports:

Program report format and frequency vary depending on the terms and conditions of each award. Report frequency vary depending on the terms and conditions of each award. Yes, as described under program reports above. Financial report SF-425 is required per the terms and conditions, which may vary based on the provisions set forth by Congress and/or the terms of each award. As noted above, regular reports are required.

Audits:

Not Applicable.

Records:

All financial and programmatic records, supporting documents, statistical reports, and other records of recipients or sub-recipients must be maintained in accordance with the terms of the award. Generally, the recipient must retain records for 3 years from the date on which the final expenditure report is submitted.

Account Identification:

13-1250-0-1-376.

Obligations:

(Salaries) FY 12 \$6,000,000; FY 13 est \$6,000,000; and FY 14 Estimate Not Available

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Pamela Kirkland 14th Street & Constitution Ave., N.W., Washington, District of Columbia 20230 Email: Pamela.Kirkland@trade.gov Phone: 202-482-3587

Website Address:

None.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

11.300 INVESTMENTS FOR PUBLIC WORKS AND ECONOMIC DEVELOPMENT FACILITIES

FEDERAL AGENCY:

Economic Development Administration, Department of Commerce

AUTHORIZATION:

Public Works and Economic Development Act of 1965, as amended (PWEDA) (42 U.S.C. 3121 et seq.).

OBJECTIVES:

Public Works grants support the construction or rehabilitation of essential public infrastructure and facilities necessary to generate or retain private sector jobs and investments, attract private sector capital, and promote regional competitiveness, innovation, and entrepreneurship, including investments that expand and upgrade infrastructure to attract new industry, support technology-led development, accelerate new business development, and enhance the ability of regions to capitalize on opportunities presented by free trade.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Characteristic projects include investments in facilities such as water and sewer systems, industrial access roads, business parks, port facilities, rail spurs, skill-training facilities, business incubator facilities, brownfield redevelopment, eco-industrial facilities, and telecommunications and broadband infrastructure improvements necessary for business creation, retention and expansion. To be eligible, a project must be located in or benefit a region that, on the date EDA receives an application for investment assistance, satisfies one or more of the economic distress criteria set forth in 13 C.F.R. 301.3(a). All investments must be consistent with a current EDA-approved Comprehensive Economic Development Strategy (CEDS) or equivalent strategic economic development plan for the region in which the project will be located, and the applicant must have the required local share of funds committed, available, and unencumbered. Applicants must be able to start and complete proposed projects in a timely manner consistent with award terms and conditions. Recipients must award contracts in accordance with proper procurement procedures and ensure that contractors pay Davis-Bacon wage rates. All grants awarded are competitive in nature. EDA does not award formula grants.

Applicant Eligibility:

Pursuant to PWEDA, eligible applicants for and recipients of EDA investment assistance include a State, city, county, or other political subdivision of a State, including a(n): (a) District Organization as defined in 13 CFR 304.2; (b) Indian Tribe or a consortium of Indian Tribes; (c) State, city, or other political subdivision of a State, including a special purpose unit of a State or local government engaged in economic or infrastructure development activities, or a consortium of such political subdivisions; (d) institution of higher education or a consortium of institutions of higher education; or (e) public or private non-profit organization or association acting in cooperation with officials of a political subdivision of a State. See section 3 of PWEDA (42 U.S.C. 3122) and 13 C.F.R. 300.3. Projects eligible for Public Works investment assistance also include those located in regions meeting "Special Need" criteria as defined in 13 C.F.R. 300.3. For-profit, private-sector entities do not qualify for Public Works assistance under PWEDA. Therefore, EDA will not provide investments directly to individuals or for-profit entities. Such requests may be referred to State or local agencies, or to non-profit economic development organizations. As used in this paragraph, 'State' includes the Commonwealth of Puerto Rico, the U.S. Virgin Islands, Guam, American Samoa, and the Commonwealth of the Northern Mariana Islands, the Republic of the Marshall Islands, the Federated States of Micronesia, and the Republic of Palau.

Beneficiary Eligibility:

Beneficiaries of investments made under Public Works are those communities who satisfy one or more of the economic distress and/or Special Need criteria

set forth in 13 C.F.R. 301.3(a) and 13 C.F.R. 300.3 to revitalize, expand, or upgrade their physical infrastructure to attract new industry, encourage business expansion, diversify their local economies, and generate or retain long-term private sector jobs and capital investments.

Credentials/Documentation:

To be considered for EDA investment assistance, applicants must first submit a complete EDA application package. EDA conducts its review of applications on a quarterly cycle. Applicants must provide a complete application that includes: a copy of the region's Comprehensive Economic Development Strategy (CEDS); letters of commitment to document matching funds; a USGS map of the site; letter of commitment from private beneficiaries of the project; comments from the metropolitan area review/clearinghouse agency; a legal opinion and other documentation, as necessary, verifying the applicant's answer to questions regarding project ownership, operation, maintenance, and management; an engineering report; an Environmental Assessment (and possibly an Environmental Impact Statement if deemed necessary); and documented approval from the State Historic Preservation Officer (SHPO). Non-profit applicants will be required to submit additional documentation, such as a Certificate of Good Standing, Articles of Incorporation, and other documents as applicable for the project. Applicants should refer to the specific requirements of the current Federal Funding Opportunity to determine what documents must be submitted at the time of submission. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

EDA offers eligible applicants the option of consulting with EDA and receiving written feedback on their respective application before a funding cycle deadline. To take advantage of this option, the applicant must submit the following forms at a minimum: 1. One Form ED-900 (Application for Investment Assistance); 2. One Form SF-424 (Application for Federal Assistance) from each co-applicant, as applicable; AND 3. One Form SF-424A (Budget Information-Non-Construction Programs), if the project is non-construction related, OR 4. Form SF-424C (Budget Information-Construction Programs) if the project is construction-related. An environmental impact assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. An environmental impact assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. For information on the application process you may access the applicable federal funding opportunity (FFO) announcement for Public Works investment assistance at www.grants.gov and at www.eda.gov. EDA will review each application for Public Works investment assistance in accordance with the evaluation criteria, funding priorities, and selection procedures set forth in the applicable FFO.

Award Procedure:

Staff in the applicable EDA regional office review complete applications for eligibility and compatibility with EDA's mission and funding priorities. Applications deemed eligible and at least minimally consistent with EDA's mission and funding priorities are referred to an Investment Review Committee, which recommends applications for funding to the Regional Director. The Regional Director makes a funding determination as the Grants Officer for the respective region.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. To be considered for funding, applicants must submit their

complete applications by the specified quarterly deadline outlined in the Federal Funding Opportunity.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Generally, the amount of the EDA grant may not exceed 50 percent of the total cost of the project. Projects may receive an additional amount that shall not exceed 30 percent, based on the relative needs of the region in which the project will be located, as determined by EDA. In the case of EDA investment assistance to a(n) (i) Indian Tribe, (ii) State (or political subdivision of a State) that the Assistant Secretary determines has exhausted its effective taxing and borrowing capacity, or (iii) non-profit organization that the Assistant Secretary determines has exhausted its effective borrowing capacity, the Assistant Secretary has the discretion to establish a maximum EDA investment rate of up to 100 percent of the total project cost. This program does not have MOE requirements. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Project periods are specified in the grant award documents, but generally these do not exceed five years. See the following for information on how assistance is awarded/released: See the following for information on how assistance is awarded/released: Funds are disbursed on a reimbursement basis.

Reports:

No program reports are required. No cash reports are required. Project progress and financial reports are required, generally on a quarterly basis, as required by the Special Terms and Conditions governing the award. EDA requires the submission of the SF-425 Federal Financial Report. EDA reviews progress and financial reports for compliance with all award terms and conditions, as well as EDA regulations. EDA reserves the right to conduct site visits on an as-needed basis.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. The applicant is reminded that EDA or the Department of Commerce's Office of Inspector General also may conduct an audit of an award at any time.

Records:

All financial and programmatic records, supporting documents, statistical reports, and other records of recipients or sub-recipients are required to be maintained by the terms of the grant agreement.

Account Identification:

13-2050-0-1-452.

Obligations:

(Project Grants) FY 12 Not Available; FY 13 Estimate Not Available; and FY 14 Estimate Not Available

Range and Average of Financial Assistance:

The average size of a Public Works investment in FY 2011 was \$1.70 million. However, this average is informational only and is not intended to restrict the size of future awards.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Title 13 CFR Chapter III, Parts 300-302, 305, 310, and 314.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Philip Saputo 1401 Constitution Avenue, NW, Room 71030, Washington, District of Columbia 20230 Email: psaputo@eda.gov Phone: (202) 400-0662

Website Address:

www.eda.gov

RELATED PROGRAMS:

11.307 Economic Adjustment Assistance

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

EDA will review all applications for investment assistance against the evaluation criteria outlined in the applicable Federal Funding Opportunity, which generally includes a review of the feasibility of the budget presented, conformance with EDA statutory and regulatory requirements, and alignment with strategic areas of interest and priority considerations identified in the applicable announcement. For more information on these investment priorities and current funding opportunities, go to <http://www.eda.gov>.

11.302 ECONOMIC DEVELOPMENT SUPPORT FOR PLANNING ORGANIZATIONS

Planning Investments and Comprehensive Economic Development Strategies

FEDERAL AGENCY:

Economic Development Administration, Department of Commerce

AUTHORIZATION:

Public Works and Economic Development Act of 1965, as amended (PWEDA) (42 U.S.C. 3121 et seq.).

OBJECTIVES:

Through the Planning program, EDA provides assistance to eligible recipients to create regional economic development plans in order to stimulate and guide the economic development efforts of a community or region. As part of this program, EDA supports Partnership Planning investments to facilitate the development, implementation, revision, or replacement of Comprehensive Economic Development Strategies (CEDS), which leverage the unique assets of their respective regions to help create and retain higher-skill, higher-wage jobs, particularly for the unemployed and underemployed in the Nation's most economically distressed regions.

To facilitate CEDS, EDA provides Partnership Planning grants to the designated planning organization (e.g., District Organization) serving EDA-designated Economic Development Districts (EDDs) throughout the Nation. These planning organizations are typically recognized by the State in which they reside as multi-jurisdictional councils of governments, regional commissions, or planning and development centers. Partnership Planning grants enable planning organizations to manage and coordinate the development and implementation of CEDS. In addition, EDA provides Partnership Planning grants to Indian Tribes to help organize and assist with the implementation of economic development activities within their areas. The majority of funding under the Planning program is for Partnership Planning grants for Indian Tribes and EDA-designated EDDs.

The Planning program also helps support planning organizations and other eligible recipients, including District Organizations and Indian Tribes, with Short-Term and State Planning efforts in order to stimulate and guide the creation and/or retention of higher-skill, higher-wage jobs, particularly for the unemployed and underemployed in the Nation's most economically distressed regions. For example, EDA might provide Short-Term Planning funding to a coalition of Tribal and regional organizations to plan a coordinated response to the sudden loss of a major employer in the affected area(s).

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

EDA Planning investments support the preparation of CEDS that guide EDAs Public Works and Economic Adjustment Assistance implementation

investments, including Revolving Loan Funds. Sound local planning also attracts other Federal, state, and local funds plus private sector investments to implement long term development strategies. In this way, EDAs Planning program plays a foundational role in helping communities develop important strategies that inform future economic development decisions.

Comprehensive, market-based, local and regional planning is an essential component of successful economic development. Effective planning creates a road map for community growth and development with a focused approach towards creating higher-skill, higher-wage jobs. The Planning Program provides a foundation for EDAs infrastructure investments, which are designed to stimulate economic growth in distressed regions. The planning process supports an assessment of the regions economic conditions and the development of a CEDS to guide resource allocation and project development. The key value of this process is that it is locally determined and involves participation from all the diverse interests in the community. Planning activities supported by these Investments must be part of a continuous process involving the active participation of Private Sector Representatives, public officials and private citizens, and include:

- (a) Analyzing local economies;
- (b) Defining economic development goals;
- (c) Determining Project opportunities; and
- (d) Formulating and implementing an economic development program that includes systematic efforts to reduce unemployment and increase incomes. All EDA grants are discretionary grants. EDA does not award any formula grants.

Applicant Eligibility:

Pursuant to PWEDA, eligible applicants for and recipients of EDA investment assistance include a(n): (a) District Organization (as defined in 13 CFR 304.2); (b) Indian Tribe or a consortium of Indian Tribes; (c) State, city, or other political subdivision of a State, including a special purpose unit of a State or local government engaged in economic or infrastructure development activities, or a consortium of political subdivisions; (d) institution of higher education or a consortium of institutions of higher education; or (e) public or private non-profit organization or association acting in cooperation with officials of a political subdivision of a State. See section 3 of PWEDA (42 U.S.C. 3122) and 13 CFR 300.3. As used in this paragraph, State includes the Commonwealth of Puerto Rico, the U.S. Virgin Islands, Guam, American Samoa, and the Commonwealth of the Northern Mariana Islands, the Republic of the Marshall Islands, the Federated States of Micronesia, and the Republic of Palau. See 13 CFR 300.3 and 301.2.

Beneficiary Eligibility:

EDA Planning investments provide support to Planning Organizations for the development, implementation, revision, or replacement of a CEDS. They also may provide support for related short-term planning investments and State plans designed to create and retain higher-skill, higher-wage jobs, particularly for the unemployed and underemployed in the nation's most economically distressed regions.

Credentials/Documentation:

To be considered for EDA assistance, applicants must first submit a complete EDA application package. The applicant must provide a list of its proposed Strategy Committee members. The Committee must represent the main economic interests of the Region and must include Private Sector Representatives as a majority of its membership. The applicant should ensure that the Strategy Committee includes public officials, community leaders, representatives of workforce development boards, institutions of higher education, minority and labor groups, and private individuals. The Strategy Committee representing Indian Tribes or States may vary. Applicants must also submit documentation of matching fund availability. Finally, non-profit applicants will be asked to provide additional documentation. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not required, but EDA offers applicants assistance in developing applications through its network of field-based Economic Development Representatives (EDRs). Environmental impact information is not required for this program. This program is eligible for coverage under Executive Order (E.O.) 12372, Intergovernmental Review of Federal Programs. An applicant should consult the office or official designated

as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. For information on the application process you may access the applicable federal funding opportunity (FFO) announcement for Planning investment assistance at www.grants.gov and at www.eda.gov. EDA will review each application for Planning investment assistance in accordance with the evaluation criteria, funding priorities, and selection procedures set forth in the applicable FFO.

Award Procedure:

Staff in the applicable EDA regional office review applications for eligibility and compatibility with EDAs mission and funding priorities. Applications deemed eligible and at least minimally consistent with EDAs mission and funding priorities are referred to an Investment Review Committee, which recommends applications for funding to the Regional Director. The Regional Director makes a funding determination and sends a recommendation to EDA Headquarters for concurrence.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

EDA accepts new applications on a rolling basis and announces awards throughout the year. For continuation awards, regional offices may impose their own deadline for submission based on the previous award's period of performance.

Appeals:

Not Applicable.

Renewals:

For continuation awards, regional offices may impose their own deadline for submission based on the previous award's period of performance.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: The minimum EDA investment rate for planning projects is 50 percent, and the maximum allowable EDA investment rate may generally not exceed 80 percent. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Most grants are for 36-month periods. Method of awarding/releasing assistance: quarterly.

Reports:

No program reports are required. No cash reports are required. Grant recipients must submit financial and project progress reports either on a quarterly or bi-annual basis, as required by the Special Terms and Conditions (SAC) of the award. Recipients receiving CEDS assistance must submit an updated CEDS at least once every three years. EDA requires the submission of the SF-425 Federal Financial Report. EDA may elect to conduct site visits.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

All financial and programmatic records, supporting documents, statistical

reports, and other records of recipients and sub-recipients must be maintained according to the terms of the investment award.

Account Identification:

13-2050-0-1-452.

Obligations:

(Project Grants) FY 12 \$29,000,000; FY 13 Estimate Not Available; and FY 14 Estimate Not Available

Range and Average of Financial Assistance:

The average award in FY 2010 was \$61,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

13 CFR Parts 300-303.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

David Ives 1401 Constitution Avenue, NW Room 71030, Washington, District of Columbia 20230 Email: dives@eda.gov Phone: 202-482-0529

Website Address:

<http://www.eda.gov>

RELATED PROGRAMS:

11.307 Economic Adjustment Assistance

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

In addition to evaluating applications based on EDA's mission, funding priorities, and the evaluation criteria laid out in the applicable FFO, EDA also considers:

- (1) The proposed scope of work for the development, implementation, revision or replacement of the CEDS, or the relation of the CEDS to the proposed short-term planning activities or the State plan;
- (2) The qualifications of the applicant to implement the goals and objectives resulting from the CEDS, short-term planning activities or the State plan;
- (3) The involvement of the Region's business leadership at each stage of the preparation of the CEDS, short-term planning activities or State plan;
- (4) The extent of broad-based representation and involvement of the Region's civic, business, labor, minority and other interests in the proposed economic development activities; and
- (5) The feasibility of the proposed scope of work to create and retain higher-skill, higher-wage jobs through implementation of the CEDS.

In addition, for continuation awards, funded recipients are evaluated on the basis of the extent of continuing economic distress within the Region, their past performance, and the overall effectiveness of their CEDS.

For Planning Investment awards to a State, EDA will also consider the extent to which the State will integrate and coordinate its CEDS with local and Economic Development District plans.

11.303 ECONOMIC DEVELOPMENT TECHNICAL ASSISTANCE

National Technical Assistance; Local Technical Assistance; University Centers
FEDERAL AGENCY:

Economic Development Administration, Department of Commerce

AUTHORIZATION:

Public Works and Economic Development Act of 1965, as amended (PWEDA) (42 U.S.C. 3121 et seq.).

OBJECTIVES:

EDA oversees three technical assistance programs (National, Local and University Center) that promote economic development and alleviate

unemployment, underemployment, and out-migration in distressed regions. These programs provide grants or cooperative agreements to: (1) invest in institutions of higher education to establish and operate University Centers to support initiatives that are focused on advancing: regional commercialization efforts; entrepreneurship; and a high-skilled regional workforce. provide technical assistance to public and private sector organizations with the goal of enhancing local economic development; (2) support innovative approaches to stimulate economic development in distressed regions; (3) disseminate information and studies of economic development issues of national significance; and (4) finance feasibility studies and other projects leading to local economic development. These programs aid the long-range economic development of regions with severe unemployment and low per capita income.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

Technical assistance is used to provide information, data, resources and toolkits which practitioners and policymakers can utilize when evaluating, shaping and implementing specific projects and programs to promote economic development efforts in their respective economically distressed regions. EDA announces opportunities to compete for funding to support University Centers through its annual Federal Funding Opportunity (FFO) on University Centers, and the intent to support local technical assistance through its annual FFO on planning and local technical assistance. EDA may identify specific training, research or technical assistance projects it will fund under National Technical Assistance, which will be subject to competition. Ordinarily, these projects are specified in a FFO, which will provide the specific requirements, timelines and the appropriate points of contact and addresses. The EDA-supported University Center (UC) sub-program is specifically designed to marshal the resources located within colleges and universities to support job creation and economic growth in regions experiencing economic distress. UCs, which EDA considers long-term partners in economic development, are required to devote the majority of their funding to respond to technical assistance requests originating from organizations located in the economically distressed portions of their service regions. EDA-sponsored University Centers provide strategic technical assistance to regional partners; including, tribal entities, local governments, and public and private sector organizations; conduct economic impact assessments; support cluster development and conduct cluster studies; facilitate regional collaboration; support commercialization and technology transfer efforts; and undertake other activities with the goal of enhancing regional economic development by promoting a favorable business environment to attract private capital investment and higher-skill, higher-wage jobs.. EDA University Center competitions are held once every five years in each EDA Region. The project must be consistent with the CEDS of the region in which it is located, if applicable. At least eighty (80) percent of EDA funding under a University Center Economic Development award must be allocated to direct costs of program delivery; unrecovered indirect costs are not chargeable to the award without EDAs express approval. All EDA grants are competitive: EDA does not award formula grants. The Local Technical Assistance (Local TA) sub-program, one of EDAs smallest programs in terms of funding, is an extremely flexible and useful economic development tool. The most common purpose of a Local TA project has been to analyze the feasibility of a potential economic development project, such as an industrial park or a high-technology business incubator, or to support other critical studies necessary for economic development professionals to make informed business decisions, including economic impact assessments. The National Technical Assistance sub-program is designed to provide economic development professionals with access to tools and resources on cutting edge economic development concepts that can inform their decision-making and help support good decision making which will lead to strong, vibrant economic development and regional prosperity. Through this program, EDA provides a wide array of resources, including a cluster mapping tool (<http://clustermapping.us>), a website to identify innovation index of self-selected communities and compare against other geographies (www.statsamerica.org/innovation/), and a resource to help support best practices among venture development organizations (VDOs) (<http://regionalinnovation.org/>). The project must be consistent with the CEDS of the region in which it is located, if applicable. All EDA grants are discretionary. EDA does not award formula grants.

Applicant Eligibility:

Most technical assistance recipients are private or public nonprofit organizations and educational institutions. As defined in 13 C.F.R. 300.3, 'eligible recipients' include a State, city, county, or other political subdivision of a State, including a special purpose unit of a State or local government engaged in economic or infrastructure development activities, or a consortium of such political subdivision, an institution of higher education or a consortium of institutions of higher education, an Economic Development District organization, a private or public nonprofit organization or association, including a faith-based nonprofit organization, acting in cooperation with officials of a political subdivision of a State, or an Indian Tribe, or a consortium of Indian Tribes. Individuals, companies, corporations, and associations organized for profit are not eligible. As used in this paragraph, 'State' includes the Commonwealth of Puerto Rico, the U.S. Virgin Islands, Guam, American Samoa, the Commonwealth of the Northern Mariana Islands, the Republic of the Marshall Islands, the Federated States of Micronesia, and the Republic of Palau. Program funds cannot be awarded to individuals and for profit organizations.

Beneficiary Eligibility:

Investments are intended to finance projects that would be useful in alleviating or preventing conditions of excessive unemployment or underemployment.

Credentials/Documentation:

To be considered for EDA investment assistance, applicants must first submit a complete EDA application package, as specified by the applicable Federal Funding Opportunity, which generally includes requirements for project narratives, budget narratives, and the resumes of key personnel. Non-profit applicants applying for technical assistance may be asked to provide additional documentation, including documentation of Good Standing in the State in which they operate. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

This program is eligible for coverage under Executive Order (E.O.) 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Except for applications that have a national or multi-regional focus, applications are subject to the requirements of E.O. 12372, Intergovernmental Review of Federal Programs and implementing regulations at 13 CFR 302.9.

Award Procedure:

EDA will award investment assistance in accord with the procedures set forth in the applicable announcement of federal funding opportunity (FFO).

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

For National Technical Assistance and University Center competitions, the deadlines are set forth in the applicable FFO. For Local Technical Assistance, regional offices accept applications on a rolling basis. Approval or disapproval of an application is generally made within 4 months; in many cases, the timeline for award announcement is set forth in the applicable FFO.

Appeals:

Not Applicable.

Renewals:

Each fiscal year, two EDA regional offices will provide a five-year project period for each University Center selected for funding under the requisite competition, with the initial award being made for the first year of the project

period. Funding beyond the initial year is dependent upon the availability of funds and satisfactory performance, as determined by EDA and expressed in written notice. The servicing EDA regional office will contact current University Center operators regarding the procedures for applying for continuation funding. National and Local Technical Assistance projects are typically funded for a single project period without any pre-set expectation of renewal. Renewal of an award to increase funding or extend the period of performance is at EDA's sole discretion.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Generally, the amount of the EDA award may not exceed 50 percent of the total cost of the project. Projects may receive an additional amount that shall not exceed 30 percent, based on the relative needs of the region in which the project will be located, as determined by EDA. See section 204(a) of PWEDA (42 U.S.C. 3144) and 13 C.F.R. 301.4(b)(1). The Assistant Secretary of Commerce for Economic Development has the discretion to establish a maximum EDA investment rate of up to one-hundred (100) percent where the project (i) merits and is not otherwise feasible without an increase to the EDA investment rate; or (ii) will be of no or only incidental benefit to the recipient. See section 204(c)(3) of PWEDA (42 U.S.C. 3144) and 13 C.F.R. 301.4(b)(4). In-kind contributions, fairly evaluated by EDA, may provide the non-federal share of the total project cost. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Generally, the University Center Program funds applications on a five-year basis with continuation awards made for each of the three years within a project period. The length of the project period for National and Local Technical Assistance projects varies, but is usually for a period of one to two years. Funds are disbursed as required. See the following for information on how assistance is awarded/released: In accordance with the grant terms and conditions.

Reports:

No program reports are required. No cash reports are required. Project progress and financial reports are required, generally on a quarterly basis, as required by the Special Terms and Conditions governing the award. EDA requires the submission of the SF-425 Federal Financial Report. EDA reviews progress and financial reports for compliance with all award terms and conditions, as well as EDA regulations. EDA reserves the right to conduct site visits on an as-needed basis.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. The applicant is reminded that EDA or the Department of Commerce's Office of Inspector General also may conduct an audit of an award at any time.

Records:

All financial and programmatic records, supporting documents, statistical reports, and other records of recipients and sub-recipients are required to be maintained by the terms of the agreement.

Account Identification:

13-2050-0-1-452.

Obligations:

(Project Grants) FY 12 Not Available; FY 13 Estimate Not Available; and FY 14 Estimate Not Available

Range and Average of Financial Assistance:

It varies. See applicable FFO.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

13 C.F.R. parts 300-302, 306.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Philip Saputo 1401 Constitution Avenue, NW, Room 71030, Washington, District of Columbia 20230 Email: psaputo@eda.gov Phone: (202) 400-0662

Website Address:

www.eda.gov

RELATED PROGRAMS:

11.307 Economic Adjustment Assistance

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The evaluation criteria are set forth in the applicable FFO.

11.307 ECONOMIC ADJUSTMENT ASSISTANCE

Economic Adjustment

FEDERAL AGENCY:

Economic Development Administration, Department of Commerce

AUTHORIZATION:

Section 209 of the Public Works and Economic development Act of 1965, as amended (PWEDA), (42 U.S.C. 3149).

OBJECTIVES:

To address the needs of distressed communities experiencing adverse economic changes that may occur suddenly or over time, and generally result from industrial or corporate restructuring, new Federal laws or requirements, reduction in defense expenditures, depletion of natural resources, or natural disaster. Economic Adjustment Assistance grants are intended to enhance a distressed community's ability to compete economically by stimulating private investment in targeted areas. Current investment priorities include proposals that focus on (a) Collaborative Regional Innovation, (b) Public/Private Partnerships, (c) National Strategic Priorities, (d) Global Competitiveness, (e) Environmentally-Sustainable Development, (f) Economically Distressed and Underserved Communities. For more information on these investment priorities and current funding opportunities, go to <http://www.eda.gov>.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

The Economic Adjustment Assistance Program provides a wide range of technical, planning and public works and infrastructure assistance in regions experiencing adverse economic changes that may occur suddenly or over time. This program is designed to respond flexibly to pressing economic recovery issues and is well suited to help address challenges faced by U.S. communities and regions. To be eligible, a project must be located in or benefit a region that, on the date EDA receives an application for investment assistance, satisfies one or more of the economic distress criteria set forth in 13 C.F.R. 301.3(a). All investments must be consistent with a current EDA- approved Comprehensive Economic Development Strategy (CEDS) or equivalent strategic economic development plan for the region in which the project will be located, and the applicant must have the required local share of funds committed, available, and unencumbered. Applicants must be able to start and complete proposed projects in a timely manner consistent with award terms and conditions. Recipients must award contracts in accordance with proper procurement procedures and ensure that contractors pay Davis-Bacon wage rates. Indirect costs are not allowed. All grants awarded are competitive in nature. EDA does not award formula grants.

Applicant Eligibility:

Pursuant to PWEDA, eligible applicants for and recipients of EDA investment assistance include a State, city, county, or other political subdivision of a State, including a(n): (a) District Organization as defined in 13 CFR 304.2; (b) Indian Tribe or a consortium of Indian Tribes; (c) State, city, or other political subdivision of a State, including a special purpose unit of a State or local government engaged in economic or infrastructure development activities, or a consortium of such political subdivisions; (d) institution of higher education or a consortium of institutions of higher education; or (e) public or private

non-profit organization or association acting in cooperation with officials of a political subdivision of a State. See section 3 of PWEDA (42 U.S.C. 3122) and 13 CFR 300.3. Projects eligible for Economic Adjustment investment assistance also include those located in regions meeting Special Need criteria as defined in 13 CFR 300.3. For-profit, private sector entities do not qualify for Economic Adjustment assistance under PWEDA. Therefore, EDA will not provide investments directly to individuals or for-profit entities. Such requests may be referred to State or local agencies, or to non-profit economic development organizations. As used in this paragraph, 'State' includes the Commonwealth of Puerto Rico, the U.S. Virgin Islands, Guam, American Samoa, and the Commonwealth of the Northern Mariana Islands, the Republic of the Marshall Islands, the Federated States of Micronesia, and the Republic of Palau.

Beneficiary Eligibility:

Beneficiaries of investments made under Economic Adjustment are those communities who satisfy one or more of the economic distress and/or Special Need criteria set forth in 13 C.F.R. 301.3(a) and 13 C.F.R. 300.3 to revitalize, expand, or upgrade their economic development assets to attract new industry, encourage business expansion, diversify their local economies, and generate or retain long-term private sector jobs and capital investments. Investments are intended to alleviate long-term deterioration and sudden and severe economic dislocation in distressed communities and regions.

Credentials/Documentation:

To be considered for EDA investment assistance, applicants must first submit a complete EDA application package. EDA conducts its review of applications on a quarterly cycle. Applicants must provide a complete application that includes: a copy of the region's Comprehensive Economic Development Strategy (CEDS); letters of commitment to document matching funds; a USGS map of the site; letter of commitment from private beneficiaries of the project; comments from the metropolitan area review/clearinghouse agency; a legal opinion and other documentation, as necessary, verifying the applicant's answer to questions regarding project ownership, operation, maintenance, and management; an engineering report; an Environmental Assessment (and possibly an Environmental Impact Statement if deemed necessary); and documented approval from the State Historic Preservation Officer (SHPO). Non-profit applicants will be required to submit additional documentation, such as a Certificate of Good Standing, Articles of Incorporation, and other documents as applicable for the project. Applicants should refer to the specific requirements of the current Federal Funding Opportunity to determine what documents must be submitted at the time of submission. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

EDA offers eligible applicants the option of consulting with EDA and receiving written feedback on their respective application before a funding cycle deadline. To take advantage of this option, the applicant must submit the following forms at a minimum: 1. One Form ED-900 (Application for Investment Assistance); 2. One Form SF-424 (Application for Federal Assistance) from each co-applicant, as applicable; AND 3. One Form SF-424A (Budget Information-Non-Construction Programs), if the project is non-construction related, OR 4. Form SF-424C (Budget Information-Construction Programs) if the project is construction-related. An environmental impact assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. For information on the application process you may access the applicable federal funding opportunity (FFO) announcement for Economic Adjustment investment assistance at www.grants.gov and at www.eda.gov. EDA will review each application for Economic Adjustment investment assistance in accordance with the evaluation

criteria, funding priorities, and selection procedures set forth in the applicable FFO.

Award Procedure:

Staff in the applicable EDA regional office review applications for eligibility and compatibility with EDA's mission and funding priorities. Applications deemed eligible and at least minimally consistent with EDA's mission and funding priorities are referred to an Investment Review Committee, which recommends applications for funding to the Regional Director. The Regional Director makes a funding decision as the Grants Officer for the respective region.

Deadlines:

Oct 01, 2013: On a quarterly basis, as outlined in the Federal Funding Opportunity. On a quarterly basis, as outlined in the Federal Funding Opportunity.

Range of Approval/Disapproval Time:

From 60 to 90 days. To be considered for funding, applicants must submit their complete applications by the specified quarterly deadline outlined in the Federal Funding Opportunity.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Generally, the amount of the EDA grant may not exceed 50 percent of the total cost of the project. Projects may receive an additional amount that shall not exceed 30 percent, based on the relative needs of the region in which the project will be located, as determined by EDA.

In the case of EDA investment assistance to a(n) (i) Indian Tribe, (ii) State (or political subdivision of a State) that the Assistant Secretary determines has exhausted its effective taxing and borrowing capacity, or (iii) non-profit organization that the Assistant Secretary determines has exhausted its effective borrowing capacity, the Assistant Secretary has the discretion to establish a maximum EDA investment rate of up to 100 percent of the total project cost. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Project periods are specified in the grant award documents, but generally these do not exceed five years. See the following for information on how assistance is awarded/released: See the following for information on how assistance is awarded/released: As stated in the award terms and conditions. Funds are disbursed on a reimbursement basis.

Reports:

No program reports are required. No cash reports are required. Project progress and financial reports are required, generally on a quarterly basis, as required by the Special Terms and Conditions governing the award. EDA reviews progress and financial reports for compliance with all award terms and conditions, as well as EDA regulations. EDA requires the submission of the SF-425 Federal Financial Report. EDA reserves the right to conduct site visits on an as-needed basis.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Single or program-specific audits shall be performed. The applicant is reminded that EDA or the Department of Commerce Office of Inspector General also may conduct an audit of an award at any time.

Records:

All financial and programmatic records, supporting documents, statistical reports and other records of recipients and sub-recipients are required to be

maintained by the terms of the agreement.

Account Identification:

13-2050-0-1-452.

Obligations:

(Project Grants (Cooperative Agreements)) FY 12 Not Available; FY 13 Estimate Not Available; and FY 14 Estimate Not Available

Range and Average of Financial Assistance:

The average size of an Economic Adjustment investment in FY 2011 was approximately \$550,000. However, this average is informational only and is not intended to restrict the size of future awards.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

13 CFR parts 300-302, 307.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Kerstin Millius 1401 Constitution Ave, N.W. Room 71030, Washington, District of Columbia 20230 Email: kmillius@eda.gov Phone: 202-482-3280

Website Address:

www.eda.gov

RELATED PROGRAMS:

11.300 Investments for Public Works and Economic Development Facilities; 11.302 Economic Development_Support for Planning Organizations; 11.303 Economic Development_Technical Assistance

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

EDA will review all applications for investment assistance against the evaluation criteria outlined in the applicable Federal Funding Opportunity, which generally includes a review of the feasibility of the budget presented, conformance with EDA statutory and regulatory requirements, and alignment with strategic areas of interest and priority considerations identified in the applicable announcement. For more information on these investment priorities and current funding opportunities, go to <http://www.eda.gov>.

11.312 RESEARCH AND EVALUATION PROGRAM

FEDERAL AGENCY:

Economic Development Administration, Department of Commerce

AUTHORIZATION:

Public Works and Economic Development Act of 1965, as amended (PWEDA) (42 U.S.C. 3121 et seq.).

OBJECTIVES:

The Research and Evaluation Program of the Economic Development Administration (EDA) supports rigorous, practitioner accessible research of leading, world class economic development practice that advances our understanding of economic development. Current funding priorities include proposals that enhance regional competitiveness by focusing on entrepreneurship and innovation strategies. By working in conjunction with its research partners, EDA helps states, local governments, and community-based organizations to achieve their highest economic potential.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

The Research and Evaluation Program funds research, including evaluative research, and national technical assistance projects to promote competitiveness and innovation in urban and rural regions throughout the United States.

Applicant Eligibility:

In accordance with PWEDA, eligible applicants for and recipients of EDA

investment assistance include a(n): (a) District Organization (as defined in 13 CFR 304.2); (b) Indian Tribe or a consortium of Indian Tribes; (c) State, a city, or other political subdivision of a State, including a special purpose unit of a State or local government engaged in economic or infrastructure development activities, or a consortium of political subdivisions; (d) institution of higher education or a consortium of institutions of higher education; or (e) public or private non-profit organization or association acting in cooperation with officials of a political subdivision of a State. See section 3 of PWEDA (42 U.S.C. 3122) and 13 CFR 300.3. For-profit, private-sector entities do not qualify for investment assistance under this program.

Beneficiary Eligibility:

Not applicable. Research and Evaluation investments are designed to finance projects for research into techniques that promote competitiveness and innovation in urban and rural regions throughout the United States.

Credentials/Documentation:

Researcher qualifications are considered in the application review process. Applicants must have demonstrated expertise in the proposed area of study. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

This program is eligible for coverage under Executive Order (E.O.) 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Applicants should submit applications in accordance with the requirements of 13 C.F.R. part 306 and the applicable federal funding opportunity (FFO) announcement. EDA Headquarters can provide assistance in connection with proposed Research and Evaluation projects. This program is not subject to Executive Order 12372. This program is subject to provisions of 15 C.F.R. part 14 for institutions of higher education and other nonprofit organizations or provisions of 15 C.F.R. part 24 for State, local and Indian Tribal governments, as applicable. Costs will be determined in accordance with applicable federal cost principles and uniform administrative requirements.

Award Procedure:

The Assistant Secretary of Commerce for Economic Development (Assistant Secretary), or other designated individual, is the selecting official for Research and Evaluation projects. EDA will award investment assistance in accord with the procedures set forth in the applicable FFO.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. Generally, two months are required for EDA to reach a final decision after receipt of a completed application that meets all requirements.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title 13, Chapter 3, Part 306, Subpart A, Public Law 108-373.

Matching Requirements: Percent: 50.%. All matching shares must be allowable based on cost principles applicable to award.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The length of time of a project period varies, but is generally one to two years. Grant funds are disbursed as required and justified. See 13 C.F.R. 306.3. See the following for information on how assistance is awarded/released: Awards are released by reimbursement based on project expenses.

Reports:

No program reports are required. No cash reports are required. Grantees are required to provide project progress and financial reports, generally on a quarterly basis, in accord with the applicable terms and conditions of the award. EDA requires the submission of the SF-425 Federal Financial Report. EDA reserves the right to conduct site visits.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

All financial and programmatic records, supporting documents, statistical reports, and other records of recipients or sub-recipients must be maintained pursuant to the terms of investment award.

Account Identification:

13-2050-0-1-452.

Obligations:

(Project Grants (Cooperative Agreements)) FY 12 Not Available; FY 13 est \$1,469,000; and FY 14 Estimate Not Available

Range and Average of Financial Assistance:

Generally awards range between \$100K and \$400K.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

13 CFR parts 300-302, 306.

Regional or Local Office:

See Regional Agency Offices. Refer to Appendix IV of the Catalog for EDA regional office contact information.

Headquarters Office:

John Cobb 1401 Constitution Ave., NW, Room 71030, Washington, District of Columbia 20230 Email: jcobb@eda.gov Phone: 202-482-0951

Website Address:

<http://www.eda.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

EDA will review all Research and Evaluation applications for the feasibility of the budget presented and conformance with EDA statutory, regulatory requirements, and those enumerated in the applicable federal funding opportunity (FFO). Applications must meet EDA's investment policy guidelines as set forth in 13 C.F.R. 301.8, the requirements of 13 C.F.R. part 306, as applicable, and other requirements as provided in the applicable FFO.

11.313 TRADE ADJUSTMENT ASSISTANCE FOR FIRMS

Trade Adjustment Assistance for Firms

FEDERAL AGENCY:

Economic Development Administration, Department of Commerce

AUTHORIZATION:

Trade Act of 1974, as amended (19 U.S.C. 2341 et seq.).

OBJECTIVES:

The Trade Adjustment Assistance for Firms (TAAF) program helps economically distressed U.S. business in building strategies to increase exports and thereby create jobs. The program provides technical assistance to U.S. businesses that have lost sales and employment due to increased imports of similar goods and services. Technical assistance is provided through a nationwide network of eleven Economic Development Administration (EDA) funded Trade Adjustment Assistance Centers (TAACs).

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Under Chapters 3 and 5 of Title II of Trade Act of 1974, as amended (19 U.S.C. 2341 et seq.), a firm may apply to the applicable TAAC supported by the Economic Development Administration (EDA) of the Department of Commerce for certification of eligibility to apply for trade adjustment assistance (TAA) related technical assistance. Interested firms that believe they meet the requirements set forth in 13 CFR part 315 may contact EDA or one of the EDA-funded TAACs. TAACs will assist firms, at no cost, in completing and submitting a petition (Form ED-840P or any successor form) to EDA. Before a firm receives technical assistance under the program, the firm must have an adjustment proposal approved by EDA. Usually the adjustment proposal, which includes an unbiased diagnostic of the firm's strengths, weaknesses, opportunities and threats, is prepared by the TAAC in cooperation with the certified firm. The adjustment proposal also identifies technical assistance tasks, which when implemented, will guide the firm toward economic recovery. The adjustment proposal must also demonstrate that the implementation of the technical assistance tasks has a reasonable chance helping the firm recover. The firm must pay at least 25 percent of the cost of preparing the adjustment proposal. After a firm's adjustment proposal is accepted by EDA, the firm is authorized to apply for technical assistance to implement the recovery strategy. The technical assistance is usually provided by a private consultant, who is jointly hired by the certified firm and the TAAC. The certified firm and the TAAC each typically pay one half of the consultant's fees. Actual provision of TAA will depend on the feasibility of the firm's adjustment proposal, compliance with the requirements of the Trade Act, EDA's regulations and guidelines, and the availability of funds. Organizations representing trade-injured industries are eligible under the Trade Act to apply to EDA for industry-wide assistance.

Applicant Eligibility:

Section 253 of the Trade Act (19 U.S.C. 2343(b)) provides that grants may be awarded to "intermediary organizations (including Trade Adjustment Assistance Centers)" to provide assistance to trade-injured firms. For an industry association or other organizations to be eligible for industry assistance under section 265 of the Trade Act (19 U.S.C. 2355(a)), the applicant must submit evidence demonstrating that the industry faces import competition and includes a substantial number of Trade Act-certified firms or worker groups.

Beneficiary Eligibility:

Only firms certified by EDA on behalf of the Secretary of Commerce are eligible for assistance under the TAAF program. Industries that can demonstrate they have been injured by imports and have a substantial number of Trade Act certified firm or worker groups may also benefit. The principal benefit is cost-shared technical assistance: the TAA program pays up to one-half of the cost of assistance by private-sector consultants and contractors for operational improvements at certified firms.

Credentials/Documentation:

The TAACs act as intermediaries for this program between EDA and the firm. Entities seeking industry-wide assistance must contact EDA staff to discuss their needs, describe the import injury and determine if funding is available for industry-wide projects. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110

applies to this program. The nationwide network of 11 TAACs apply to EDA each year for funding for the coming fiscal year. Industry associations or other organizations seeking industry assistance must submit adjustment proposals to the applicable TAAC center.

Award Procedure:

Awards to TAACs are made based upon submitted applications, previous performance under cooperative agreements with EDA, and the availability of funds. Acceptance of an application or amended application for a cooperative agreement does not ensure funding by EDA.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

EDA is statutorily required to review petitions for certification of eligibility under the program within 40 days of petition acceptance; and has 60 days to make a final determination on an adjustment proposal.

Appeals:

Not Applicable.

Renewals:

EDA Cooperative Agreements are renewed annually.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Generally, the cooperative agreement to the TAAC is to provide one year of services to import-impact firms. The TAA program is a nationwide federal program designed to help U.S. firms that have experienced business decline and loss of employment due to imports of like or directly competitive products. See the following for information on how assistance is awarded/released:
Generally, grants are awarded annually. Grantees receive awards either in advance or monthly reimbursement.

Reports:

Periodic reporting of operating results and progress, financial statements, and summary of funds spent, consistent with EDAs Government Performance Results Act (GPRA) reporting requirements. No cash reports are required. TAACs are required to submit quarterly reports on their progress. EDA requires the submission a Form SF-425 Federal Financial Report. EDA reserves the right to conduct site visits.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records are required to be maintained for at least three years. All financial and programmatic records, supporting documents, statistical reports, and other records of recipients or sub-recipients are required to be maintained by the terms of the agreement. The recipient must retain records for at least three years after submission of the final financial report, and have them readily available for inspection and audit.

Account Identification:

13-2050-0-1-452.

Obligations:

(Cooperative Agreements) FY 12 Not Available; FY 13 Estimate Not Available; and FY 14 Estimate Not Available

Range and Average of Financial Assistance:

Awards range between \$1M-\$1.6M.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: \$15.8 million. Fiscal Year 2013: \$15.8 million. Fiscal Year 2014: N/A.

REGULATIONS, GUIDELINES, AND LITERATURE:

Copies of pertinent regulations (13 C.F.R. Part 315), guidelines, and forms are available from EDA, the TAACs or the TAAC website at www.taacenters.org. Allowable costs will be determined in accordance with OMB Circular A-87 for State, local, and Indian Tribal governments; OMB Circular A-122 for nonprofit organizations; OMB Circular A-21 for educational institutions; and 48 C.F.R. Part 31 for commercial organizations. Uniform administrative requirements will be in accordance with 15 C.F.R. Part 14 for institutions of higher education and other non-profit and commercial organizations, and with 15 C.F.R. Part 24 for State and local governments.

Regional or Local Office:

See Regional Agency Offices. <http://taacenters.org/>.

Headquarters Office:

Miriam J. Kearsse 1401 Constitution Avenue NW, Room 71030, Washington, District of Columbia 20230 Email: mkearse@eda.gov Phone: (202) 482-3963 Fax: (202) 482-2838

Website Address:

<http://www.eda.gov>

RELATED PROGRAMS:

17.245 Trade Adjustment Assistance

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

EDA invites existing (currently funded) TAACs to submit either new or amended applications provided they have performed successfully and complied with previous conditions in their cooperative agreements with EDA and contingent upon the availability of funds. EDA also may invite new TAACs to submit applications through an applicable Federal Funding Opportunity (FFO) announcement. EDA generally evaluates existing (currently funded) TAACs based on: (i) performance under cooperative agreements with EDA and compliance with terms and conditions if such cooperative agreements; (ii) proposed scope of work, budget and application or amended application; and (iii) availability of funds.

11.400 GEODETIC SURVEYS AND SERVICES (GEODESY AND APPLICATIONS OF THE NATIONAL GEODETIC REFERENCE SYSTEM)

FEDERAL AGENCY:

National Oceanic and Atmospheric Administration (NOAA), Department of Commerce

AUTHORIZATION:

Coast and Geodetic Survey Act., Public Law 80-373, 33 U.S.C 883 (a,d,e); Commerce and Trade, 15 U.S.C 1525; Coast and Geodetic Survey Act., Public Law 80-373, 33 U.S.C 892.

OBJECTIVES:

To provide national, coordinated spatial reference system at various specified intervals which provide scale, orientation, coordinated positions, and elevations of specific points for use in surveying, boundary delineations and demarcation, mapping, planning, and development; to provide assistance to State, local, municipal, and regional agencies in the development and implementation of Multipurpose Land Information Systems (MPLIS)/Geographic Information Systems (GIS) pilot projects and spatial reference system development and/or enhancement, and Height Modernization. For the Joint Hydrographic Center (JHC) improve the techniques and technology of hydrographic surveying, hydrographic services, and ocean mapping.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Extend National Spatial Reference System into areas not adequately covered to meet infrastructure needs. Use of basic data for survey system in local densification is unrestricted. Spatial Reference System coordinates and

elevations describe baselines from which restricted coastal boundaries are delineated and other boundaries are marked. The National Spatial Reference System forms the standard of measurement on which State plane coordinate systems, land and utility records, and boundary delineations are based. Federal funding (grants) are restricted to those State, local, municipal, or regional, and or universities specifically selected by the U.S. Congress, in annual Federal appropriations legislation. To conduct research and carry out development programs at the Joint Hydrographic Center at the University of New Hampshire to improve the techniques and technology of hydrographic surveying, hydrographic services, and ocean mapping, and to conduct bathymetric research in support of delineation of an extended continental shelf.

Applicant Eligibility:

State, local, municipal, universities and/or regional agencies. For the JHC, limited to the University of New Hampshire by Congress and through Joint Institute relationship with NOAA.

Beneficiary Eligibility:

State, local, municipal, universities and/or regional agencies. For the JHC limited to the University of New Hampshire by Congress and through Joint Institute relationship with NOAA.

Credentials/Documentation:

Annual Congressional appropriations legislation. An official of the State, local, municipal, university and/or regional agency should certify as the official authorized, in accordance with State, local, municipal, or regional agency law, to commit the State, local, municipal, university and/or regional agency to participate in the grant program, to sign project documents, and to receive payments. Eligibility of costs will be determined in accordance with OMB Circular No. A-87 for State and local governments. For the JHC, an approved official of the University of New Hampshire should certify the application to commit the University to participate in the cooperative agreement and to receive payments in accordance with OMB Circulars A-110 and A-21. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Proposals are submitted through Grants.gov. Applicants will need to enter the Funding Opportunity number and/or CFDA number to access the application package and instructions. Submission of grant application on Standard Form 424 to the Director, National Geodetic Survey listed in Appendix IV of the Catalog. This program is subject to the provisions of 15 CFR Part 24, and OMB Circular No. A-110. For the JHC, submission of grant application on Standard Form 424 to the NOAA Co-Director, Joint Hydrographic Center.

Award Procedure:

Applications are reviewed by the National Geodetic Survey and processed and awarded by the NOAA Grants Division. For the JHC, Applications are reviewed by the NOAA National Ocean Service and processed and awarded by the NOAA Grants Division.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 45 to 90 working days from date of receipt of proposal in the National Geodetic Survey. For the JHC, from 45 to 90 working days from date of receipt of proposal in the Joint Hydrographic Center.

Appeals:

No formal appeal procedure for grant applications. If grant applications are unacceptable, the reasons are fully stated to the applicants. If the applicants desire to resubmit grant applications, projects must be revised in accordance

with recommended changes.

Renewals:

No renewal, however, an approved on-going project can be extended by submission of an amendment request for continuation and/or supplemental funding, providing the request for extension is received 60 days prior to the end of the award period. For the JHC, An approved on-going project can be extended by submission of an amendment request for continuation and/or supplemental funding.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants are normally issued for a 12-month period. For JHC, the cooperative agreement is normally issued for a one to five year period. The funds are released as required. See the following for information on how assistance is awarded/released: The funds are released as required.

Reports:

Program reports are not applicable. Cash reports are not applicable. Semi-annual and final progress reports are required for Federally financed multi-year pilot projects. Progress reports may be in the form of a manuscript. Quarterly financial reports are also required. For JHC, semi-annual and final progress reports and financial reports are required. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

All financial and programmatic records, supporting documents, statistical reports, and other records of grantees or sub-grantees are required to be maintained by the terms of the agreement. The grantee must retain records for three years from the date when the final expenditure report is submitted.

Account Identification:

13-1450-0-1-306.

Obligations:

(Project Grants) FY 12 \$9,865,817; FY 13 est \$7,728,606; and FY 14 est \$6,000,000

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Began work necessary to initiate remote sensing subsidence study. LIDAR from the various instruments will be evaluated its use in determining elevation and to monitor elevation change. Continued densification and life cycle replacement of Mississippi Real Time Continuously Operating Reference Stations (CORS) Network. Enhanced Mississippi CORS by replacing GPS CORS with Global Navigation Satellite System (GNSS) stations where appropriate. Provided support for re-leveling projects and provided data to support Mississippi Department of Transportation Projects. This work is essential to study the rates of subsidence on benchmarks in critical areas of Mississippi, establish currently accurate vertical control in these critical areas and build the capacity of local Mississippi surveying firms to do this type of work. Studying the accuracy of the vertical solutions obtained from Real-Time Kinematic (RTK).

Joint Hydrographic Center Subsection: Developed improved technology for electronic charting applications, improved understanding of use of echo sounders for seafloor characterization, advanced understanding of western Atlantic and Arctic Ocean seafloor topography. Fiscal Year 2013: Competitive selection occurring July 2013. Modeling to be continued in the gulf region.

Joint Hydrographic Center Subsection: Develop improved technology for assessment and application of water column sound speed profiles for hydrographic surveys and ocean bathymetry. Develop improved capability for extracting recon bathymetry from satellite imagery. Fiscal Year 2014: Subject to availability of funding.

Joint Hydrographic Center Subsection: Improve technology for seafloor characterization and habitat mapping using multi-beam backscatter data, develop technology for parallel processing and creation of multi-resolution depth grids with multi-beam echo sounding data.

REGULATIONS, GUIDELINES, AND LITERATURE:

Allowable cost will be determined in accordance with OMB Circular A-87 for State and local governments and Indian Tribes; OMB Circular A-122 for nonprofit and for-profit organizations; OMB Circular A-21 for institutions of higher education; and 48 CFR Part 31 for commercial organizations. Financial assistance management will be in accordance with 15 CFR Part 14 for institutions of higher education, hospitals, and other non-profit and commercial organizations, and with 15 CFR Part 24 for state and local governments. For JHC, allowable cost will be determined in accordance with OMB Circular A-21 for institutions of higher education.

Regional or Local Office:

None.

Headquarters Office:

n/a Grant Program Office/Geodetic Service Division, National Geodetic Survey, National Ocean Service, National Oceanic and Atmospheric Administration, Silver Spring, Maryland 20910 Phone: (301) 713-3228

Website Address:

<http://www.ngs.noaa.gov/>, for the JHC: <http://chartmaker.ncd.noaa.gov/>

RELATED PROGRAMS:

11.003 Census Geography

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Data analysis, modeling, Mississippi SRC, data integration of National Geodetic Survey Real Time Networks (RTN) and CORS.

Joint Hydrographic Center Subsection: The NOAA/University of New Hampshire Joint Hydrographic Center. Fiscal Year 2013: Data analysis, modeling, data integration of National Geodetic Survey Real Time Networks (RTN) and CORS.

Joint Hydrographic Center Subsection: The NOAA/University of New Hampshire Joint Hydrographic Center. Fiscal Year 2014: Data analysis, modeling, data integration of National Geodetic Survey Real Time Networks (RTN) and CORS.

Joint Hydrographic Center Subsection: The NOAA/University of New Hampshire Joint Hydrographic Center.

CRITERIA FOR SELECTING PROPOSALS:

Projects are selected by Congress. The State, local, municipal, universities and/or regional agency designated to conduct the project is specified. The Joint Hydrographic Center was established by funding specifically designated by Congress. The University designated to conduct the project is specified.

11.417 SEA GRANT SUPPORT

FEDERAL AGENCY:

National Oceanic and Atmospheric Administration (NOAA), Department of Commerce

AUTHORIZATION:

National Sea Grant College Program Act, Public Law 100-220; Sea Grant College Program Improvement Act of 1976, Public Law 94-461, 33 U.S.C 1121.

OBJECTIVES:

To support the establishment and operation of major university centers for marine resources research, education, and training and to support marine

advisory services. Some individual efforts in these same areas also receive funding.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grants may be used for research and development, education and training, and advisory services. Coherent area, institutional and Sea Grant College support is provided to some institutions. Grant money cannot be used to purchase, or construct ships or facilities.

Applicant Eligibility:

Universities, colleges, junior colleges, technical schools, institutes, laboratories; any public or private corporation, partnership, or other association or entity; any State, political subdivision of a State or agency or officer thereof; any individual.

Beneficiary Eligibility:

Organizations and individuals with professional interest in marine affairs.

Credentials/Documentation:

Proposals with statement of work and estimated budget. Costs will be determined in accordance with OMB Circular Nos. A-87 for State and local governments, A-21 for educational institutions, and A-122 for nonprofit organizations. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Made in a proposal to Headquarters Office or local Sea Grant program fully documenting need for grant and proposed amount. This program is excluded from coverage under OMB Circular No. A-102.

Award Procedure:

Awards are made competitively on the basis of proposal evaluation.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 180 days from time of proposal submission.

Appeals:

Not Applicable.

Renewals:

By negotiation with Sea Grant Office.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

None. See the following for information on how assistance is awarded/released: n/a.

Reports:

Program reports are not applicable. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Financial status reports and performance reports required quarterly. Final reports are required at the end of the support period.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that

year, except as noted in Circular No. A-133.

Records:

Grantees expected to maintain complete records for each grant to insure funds are used for purpose for which grant was made.

Account Identification:

13-1450-0-1-306.

Obligations:

(Project Grants) FY 12 \$62,100,000; FY 13 est \$57,200,000; and FY 14 est \$72,700,000

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 600-635; Literature: Suggestions for Submission of Proposals and Administration of Grants, National Sea Grant Program (no charge). Federal Register Vol. 43, No. 70 dates April 1978 and Vol. 43, No. 153 dated August 8, 1978, Part III, Title 15, Chapter IX, Part 917. Allowable cost will be determined in accordance with OMB Circular A-87 for State and local governments and Indian Tribes; OMB Circular A-122 for nonprofit and for-profit organizations; OMB Circular A-21 for institutions of higher education; and 48 CFR Part 31 for commercial organizations. Financial assistance management will be in accordance with 15 CFR Part 14 for institutions of higher education, hospitals, and other non-profit and commercial organizations, and with 15 CFR Part 24 for State and local governments.

Regional or Local Office:

None.

Headquarters Office:

na Director, National Sea Grant College Program, National Oceanic and Atmospheric Administration, 1315 East-West Highway, Silver Spring, Maryland 20910 Phone: (301) 713-2448

Website Address:

<http://www.noaa.gov>.

RELATED PROGRAMS:

11.407 Interjurisdictional Fisheries Act of 1986; 11.419 Coastal Zone Management Administration Awards; 11.420 Coastal Zone Management Estuarine Research Reserves; 11.430 Undersea Research; 20.806 State Maritime Schools; 20.807 U.S. Merchant Marine Academy; 47.050 Geosciences

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications are judged on the degree to which they contribute to the purposes of the Act, along with their relevance to the oceans, Great Lakes, and the marine environment. They are also judged on expected results and benefits, soundness of scientific approach, cost effectiveness, and other factors.

11.419 COASTAL ZONE MANAGEMENT ADMINISTRATION AWARDS

FEDERAL AGENCY:

National Oceanic and Atmospheric Administration (NOAA), Department of Commerce

AUTHORIZATION:

Coral Reef Conservation Act of 2000, Section 6403, Public Law 106-562; Coastal Zone Management Act of Amendments 1976, Section 306, Public Law 94-370; Coastal Zone Management Act of 1972, Public Law 92-583, 16 U.S.C 1451; Coastal Zone Management Act of Amendments 1980, Public Law 96-464; Coastal Zone Management Act Amendments of 1984, Public Law 98-620; Coastal Zone Act Reauthorization Amendments of 1990, Public Law 101-508; Coastal Zone Management Act Amendments of 1986, Public Law 99-272.

OBJECTIVES:

To assist States in implementing and enhancing Coastal Zone Management and related programs that have been approved by the Secretary of Commerce.

TYPES OF ASSISTANCE:

FORMULA GRANTS; PROJECT GRANTS

USES AND USE RESTRICTIONS:

Cooperative Agreements may be used only to implement and enhance the States' approved Coastal Zone Management programs. This includes personnel salaries, travel and other related costs required to support the administration of the program. Ten to twenty percent of Section 306 funds are available annually to develop new program requirements under Section 309 in the areas of coastal wetlands management and protection; natural hazards management (including potential sea and Great Lakes level rise); public access improvements; reduction of marine debris; assessment of cumulative and secondary impacts of coastal growth and development; special area management planning; impacts of coastal growth and development; special area management planning; ocean resource planning; and citing of coastal energy and Government facilities. and program development grants as authorized by section. Section 310 can be used to provide grants for technical assistance and to address coastal issues such as Coastal Nonpoint Source Pollution Program Implementation and Coral Reef conservation activities. CELP can be used to provide grants for protecting important coastal and estuarine areas that have significant conservation, recreation, ecological, historical, or aesthetic values, or that are threatened by conversion from their natural or recreational state to other uses (16 USC 33 Section 1456d).

Applicant Eligibility:

Any coastal State, including those that border the Great Lakes, and including Puerto Rico, the U.S. Virgin Islands, Guam, American Samoa, the Commonwealth of the Northern Mariana Islands, and the Freely Associated States of the Pacific whose Coastal Zone Management program has been approved by the Secretary of Commerce. The Governor shall designate the State agency or entity that is to be the applicant.

Beneficiary Eligibility:

Any coastal State, including Puerto Rico, the U.S. Virgin Islands, Guam, American Samoa, the Commonwealth of the Northern Mariana Islands, and the Freely Associated States of the Pacific whose Coastal Zone Management program has been approved by the Secretary of Commerce.

Credentials/Documentation:

Letter from Governor designating the applicant. Proposal with statement of work and budget estimate. Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Proposals are submitted through Grants.gov. The Application for Federal Assistance (Non-construction Programs) SF-424 is to be submitted in original and two copies. This program is subject to provisions of 15 CFR Part 24 for State and local governments. CELP and Coral Reef conservation awards are competitively selected through a peer review process.

Award Procedure:

Applications are approved by the Office of Ocean and Coastal Resource Management.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 150 days.

Appeals:

No formal procedure. If application is unacceptable the applicant is fully informed and applicant may revise application.

Renewals:

Continuation grants on an annual basis are available. Individual grants may be extended, however, all funds must be obligated within the fiscal year following the fiscal year of the original award.

Formula and Matching Requirements:

Statutory Formula: Title 15 - Commerce and Foreign Trade, Chapter IX - National Oceanic and Atmospheric Administration, Part 923 - Coastal Zone Management Program Regulations, Subpart B. 16 U.S.C. 1455.

Administrative grants (Section 306) and CFR Title 15, Part 923.110 prescribe allocations for administration of programs based on length of shoreline and coastal population, plus other factors.

Matching Requirements: 16 U.S.C. 1455. Administrative grants (Section 306) and CFR Title 15, Part 923.110 prescribe non-federal match requirements, generally at a ratio of 1:1.

Sec 309 Enhancement awards do not require non-federal match.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants are normally made for 18 months. Funds are released as required. See the following for information on how assistance is awarded/released: Funds are released as required.

Reports:

Program reports are not applicable. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Financial status and performance reports are required semi-annually. Final financial status reports are required within 90 days of award ending date.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

All financial and programmatic records, supporting documents, statistical reports, and other records of grantees or sub-grantees are required to be maintained by the terms of the agreement. The grantee must retain records for three years from the date when the final expenditure report is submitted.

Account Identification:

13-1450-0-1-306.

Obligations:

(Cooperative Agreements) FY 12 \$67,331,568; FY 13 est \$62,214,558; and FY 14 est \$66,000,000

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: State coastal programs protected and restored key coastal habitat, managed development to promote water-dependent uses and minimize risks to coastal hazards, and helped protect coastal water quality. Provided matching grants to eligible governments to protect important coastal and estuarine areas through the purchase land or conservation easements. Fiscal Year 2013: State coastal programs will protect and restore coastal habitat, promote coastal community development, mitigate risks from coastal hazards, protect coastal water quality, and improve public access to the coast. State, territorial or local governments will protect important coastal and estuarine areas by purchasing land or conservation easements from willing sellers. Fiscal Year 2014: State coastal programs will protect and restore coastal habitat, promote coastal community development, mitigate risks from coastal hazards, protect coastal water quality, and improve public access to the coast.

REGULATIONS, GUIDELINES, AND LITERATURE:

15 CFR Part 923. Allowable cost will be determined in accordance with OMB Circular A-87 for State and local governments and Indian Tribes; OMB Circular A-122 for nonprofit and for-profit organizations; OMB Circular A-21 for institutions of higher education; and 48 CFR Part 31 for commercial organizations. Financial assistance management will be in accordance with 15 CFR Part 14 for institutions of higher education, hospitals, and other non-profit and commercial organizations, and with 15 CFR Part 24 for state and local governments.

Regional or Local Office:

None.

Headquarters Office:

n/a Chief, Coastal Programs Division, Office of Ocean and Coastal Resource Management, National Ocean Service, National Oceanic and Atmospheric Administration, Department of Commerce, 1305 East-West Highway, 11th Floor, Silver Spring, Maryland 20910 Phone: 3017133155

Website Address:

<http://coastalmanagement.noaa.gov/programs/czm.html>

RELATED PROGRAMS:

11.417 Sea Grant Support; 11.420 Coastal Zone Management Estuarine Research Reserves; 11.473 Coastal Services Center; 11.482 Coral Reef Conservation Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: The Massachusetts Coastal Management Program helped coastal communities address the challenges arising from storms, floods, sea level rise, and climate change through training and developing technical assistance tools for successful coastal floodplain management. Multiple states created or enhanced sites that allow public access to the coast for recreation, and engaged in ocean planning efforts. The Washington Department of Ecology and Department of Natural Resources protect approximately 695 acres on Dabob Bay, one of the most ecologically diverse and intact estuarine bays remaining in Puget Sound. Fiscal Year 2013: State and local projects for managing coastal erosion, collecting data for and mapping coastal and ocean habitat and uses, and develop best practices for addressing sea level rise. Purchase of critical coastal and estuarine areas for protection in perpetuity. Fiscal Year 2014: State and local projects for managing coastal erosion, collecting data for and mapping coastal and ocean habitat and uses, and develop best practices for addressing sea level rise. Purchase of critical coastal and estuarine areas for protection in perpetuity.

CRITERIA FOR SELECTING PROPOSALS:

While 80 to 90 percent of the appropriated funds are allocated to States through a formula based on coastal population and shoreline mileage, the tasks in the State application are reviewed for relevance to program objectives and cost effectiveness. The remainder of the funds are allocated according to criteria published by the Coastal Program Division. CELP and Coral Reef funds are distributed through a competitive process, subject to availability of funds for competitive awards.

11.420 COASTAL ZONE MANAGEMENT ESTUARINE RESEARCH RESERVES**FEDERAL AGENCY:**

National Oceanic and Atmospheric Administration (NOAA), Department of Commerce

AUTHORIZATION:

Coastal Zone Act Reauthorization Amendments of 1990, Stat. 508; Coastal Zone Management Act Amendments of 1980, Public Law 96-464; Coastal Zone Management Act Amendments of 1987, Section 315, Public Law 94-370; Coastal Zone Management Act Amendments of 1984, Public Law 98-960; Coastal Zone Management Act of 1972, Section 312, Public Law 92-583, 16 U.S.C 1451.

OBJECTIVES:

To assist States in the development, acquisition, monitoring, research, education, operation and facilities construction for National Estuarine Research Reserves for the purpose of creating natural field laboratories to gather data and make studies of, and educate people about the natural and human processes

occurring within the estuaries of the coastal zone.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grants may be used to cover the costs of land acquisition, development, research, education, operation and facilities construction for National Estuarine Research Reserves. Development and operation costs may include the administrative expenses necessary for implementing reserve programs. Grants are available to states for education projects at reserves. Competitive research grants are also available.

Applicant Eligibility:

Land acquisition, development, operation, and facilities construction grants are available to any coastal State and, in some cases, public or private person, including those bordering the Great Lakes. For the purposes of the Coastal Zone Management Act, the coastal State also applies to Puerto Rico, the U.S. Virgin Islands, Guam, American Samoa, and the Commonwealth of the Northern Mariana Islands. The Governor shall designate the State agency or entity to be the applicant. Competitive research grants are available to any coastal State or qualified public or private person. Education grants are available to any coastal State entity.

Beneficiary Eligibility:

Any coastal State including those bordering the Great Lakes, as well as Puerto Rico, the U.S. Virgin Islands, Guam, American Samoa, and the Commonwealth of the Northern Mariana Islands are eligible for reserve operation, land acquisition, or construction awards where a reserve has been designated and for development awards for designating new reserves. Also qualified students are eligible to apply for research fellowship grants.

Credentials/Documentation:

(1) For development grants: A letter from the Governor designating the lead agency for new reserve development; (2) for competitive research grant proposals which do not come from the lead agency: A letter from the reserve manager indicating formal support of the application by the lead agency; (3) for reserve operations, land acquisition, and construction: An application from the reserve lead agency or its designee. For all grants, a statement of work and budget estimate must be submitted. Costs will be determined in accordance with OMB Circular No. A-87 for state and local governments. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Proposals are submitted through Grants.gov. For development (construction) awards, the Application for Federal Assistance (For Construction Programs) SF-424, as furnished by NOAA is to be submitted in original plus two copies. For operation and management, education and research, acquisition and non-construction development awards, the application for Federal assistance (For Non-Construction Programs) SF-424 is to be used. This program is subject to the provisions of 15 CFR Part 24 for State and local governments.

Award Procedure:

Applications are approved by the Office of Ocean and Coastal Resource Management and Grants Management Division.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 150 workdays.

Appeals:

No formal procedure. If application is unacceptable the applicant is fully informed and may revise application.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title 15, Chapter IX, Part 921, Subpart D. 16 U.S.C. 1461 Section 315 and 15CFR 921.32 state that federal funds may not exceed 70% of the total cost of operating and managing a reserve for any one year. Per 15CFR 921.31, federal funds for awards for the acquisition of lands or waters, or interests therein, for any one reserve, may not exceed 50% of the total cost of the award, and for facilities may not exceed 70% of the total cost of the award. Matching Requirements: Range from 0 to 50 percent of the total project cost provided by the applicant depending upon the type of project. The Federal share for each reserve shall not exceed \$5,000,000 for acquisition of lands, waters or interests therein, unless using Congressionally-directed funds. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Generally, 18 months. Land acquisition and construction may be longer. Funds are released as required. See the following for information on how assistance is awarded/released: As required.

Reports:

Program reports are not applicable. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. All financial status and performance reports are required semi-annually.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

All financial and programmatic records, supporting documents, statistical reports, and other records of grantees or sub grantees are required to be maintained by the terms of the agreement. The grantee must retain records for three years from the date when the Final expenditure report is submitted.

Account Identification:

13-1460-0-1-306; 13-1450-0-1-306.

Obligations:

(Project Grants) FY 12 \$15,997,684; FY 13 est \$20,367,988; and FY 14 est \$21,900,000

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: The state-federal partnership program, the National Estuarine Research Reserves System, worked collaboratively to improve understanding of estuarine ecosystems through research and monitoring of water quality and habitat; train over 5,000 coastal decision-makers on key topics of local relevance and national significance, tools, and approaches to effectively manage coastal habitats and communities; educate over 90,000 citizens and K-12 audiences on key issues of importance to understanding and protecting estuaries and coasts, and served as stewards of estuarine resources. The NERRS protected valuable estuarine lands through investment in land acquisition. NERRS also made facilities improvements to support reserve operations and model sustainable practices. Fiscal Year 2013: The state-federal partnership program, the National Estuarine Research Reserves System, is working collaboratively to improve understanding of estuarine ecosystems through research and monitoring of water quality and habitat; train coastal decision-makers on key topics of local relevance and national significance, tools, and approaches to effectively manage coastal habitats and communities; educate citizens and K-12 audiences on key issues of importance to understanding and protecting estuaries and coasts, and served as stewards of estuarine resources. The NERRS is investing in land acquisition to protect

valuable estuarine habitats and facilities improvements to support reserve operations and model sustainable practices. Fiscal Year 2014: The state-federal partnership program, the National Estuarine Research Reserves System, is working collaboratively to improve understanding of estuarine ecosystems through research and monitoring of water quality and habitat; train coastal decision-makers on key topics of local relevance and national significance, tools, and approaches to effectively manage coastal habitats and communities; educate citizens and K-12 audiences on key issues of importance to understanding and protecting estuaries and coasts, and served as stewards of estuarine resources. The NERRS is investing in land acquisition to protect valuable estuarine habitats and facilities improvements to support reserve operations and model sustainable practices.

REGULATIONS, GUIDELINES, AND LITERATURE:

15 CFR Part 921 National Estuarine Research Reserve System Program Regulations. Allowable cost will be determined in accordance with OMB Circular A-87 for State and local governments and Indian Tribes; OMB Circular A-122 for nonprofit and for-profit organizations; OMB Circular A-21 for institutions of higher education; and 48 CFR Part 31 for commercial organizations. Financial assistance management will be in accordance with 15 CFR Part 14 for institutions of higher education, hospitals, and other non-profit and commercial organizations, and with 15 CFR Part 24 for State and local governments.

Regional or Local Office:

None.

Headquarters Office:

Chief of Estuarine Resources Division Chief, Estuarine Reserves Division, Office of Ocean and Coastal Resource Management, National Ocean Service, National Oceanic and Atmospheric Administration, Department of Commerce, 1305 East-West Highway, N/ORM5, 10th Floor, Silver Spring, Maryland 20910 Phone: (301) 713-3155

Website Address:

<http://www.nerrs.noaa.gov>.

RELATED PROGRAMS:

11.417 Sea Grant Support; 11.419 Coastal Zone Management Administration Awards; 11.429 Marine Sanctuary Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: The three Florida Reserves promoted reduction of non-point source pollution and improvement of the landscaping industry practices through their training efforts which translated into creation of a state law for all landscaping businesses. These efforts improve the environment, economy, and society. Fiscal Year 2013: Local specific examples of addressing water quality, habitat, and climate change for estuarine ecosystems and coastal communities. Fiscal Year 2014: Local specific examples of addressing water quality, habitat, and climate change for estuarine ecosystems and coastal communities.

CRITERIA FOR SELECTING PROPOSALS:

There are five types of awards to coastal States under the National Estuarine Research Reserve Program: (1) land acquisition; (2) development; (3) operation, education, monitoring; (4) research; and (5) facilities construction. Detailed criteria for the State's development of these awards and criteria for reviewing each award are provided in the regulations for the National Estuarine Research Reserves Program (15 CFR Part 921). Final regulations were published in the Federal Register, July 15, 1993.

11.426 FINANCIAL ASSISTANCE FOR NATIONAL CENTERS FOR COASTAL OCEAN SCIENCE

FEDERAL AGENCY:

National Oceanic and Atmospheric Administration (NOAA), Department of Commerce

AUTHORIZATION:

National Ocean Pollution Planning Act of 1978, Section 6, Public Law 095-273; Marine Protection, Research, and Sanctuaries Act of 1972, Title II, Section 201, Public Law 095-532; Executive Order 13158.

OBJECTIVES:

NCCOS provides research, scientific information and tools to help balance the

Nations ecological, social and economic goals. Our partnerships with local and national coastal managers are essential in providing science and services to benefit communities and the Nation. The research and tools we provide are central to addressing coastal issues raised in legislation and NOAA's priorities. We seek to help coastal communities protect themselves from harmful algae, contamination and the implications of changing climate. We also provide the research necessary for communities to develop effective and sustainable management of their resources. Through competitive research funding, NCCOS also engages the nation's best talent to develop cutting-edge science for coastal management applications. NCCOS's four major research priorities are: climate impacts, coastal ecosystem management, coastal pollution, and harmful algal blooms.

TYPES OF ASSISTANCE:

Cooperative Agreements; Project Grants

USES AND USE RESTRICTIONS:

None.

Applicant Eligibility:

Universities, colleges, junior colleges, technical schools, institutes, laboratories, State and local government agencies, public or private profit or nonprofit entities or individuals.

Beneficiary Eligibility:

Organizations and individuals utilizing science in effectively managing the Nation's coastal resources.

Credentials/Documentation:

Proposals with statement of work, estimated budget, and curriculum vitae. Costs will be determined in accordance with OMB Circular Nos. A-87 relocated to 2 CFR Part 225 for State and local governments, A-21 relocated to 2 CFR Part 220 for educational institutions, and A-122 relocated to 2 CFR Part 230 for nonprofit organizations. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

The standard application forms, as furnished by NOAA and required by 15 CFR Part 24, must be used when applying for Federal funding. These forms can be obtained from the NOAA Grants Management Division. Informal preapplication conferences are recommended. Consultation and assistance is available from NOAA in the preparation of an application. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. The standard application forms, as furnished by NOAA and required by 15 CFR Part 24, must be used when applying for Federal funding. These forms can be obtained from Grants.gov. Informal preapplication conferences are recommended. Consultation and assistance is available from NOAA in the preparation of an application. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Award Procedure:

Awards are made on the basis of proposal evaluation.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. From 90 to 210 days from the time of proposal receipt.

Appeals:

Not Applicable.

Renewals:

By application to the National Centers for Coastal Ocean Science, 1305 East-West Highway, Silver Spring, MD 20910. Attn: Mary C Erickson.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Support for projects may be provided for periods up to 5 years in annual increments. See the following for information on how assistance is awarded/released: Funds are released as required.

Reports:

Semi-annual and final performance reports; quarterly and final financial reports, and other special reports as may be required on a case-by-case basis. Cash reports are not applicable. Semi-annual and final performance reports; quarterly and final financial reports, and other special reports as may be required on a case-by-case basis. Cash reports are not applicable. Expenditure reports are not applicable. Expenditure reports are not applicable. Semi-annual and final performance reports; quarterly and final financial reports, and other special reports as may be required on a case-by-case basis.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. In accordance with the provisions of OMB Circular No. A-133, recipients that are States, Local Governments, Nonprofit Organizations, and Institutions of Higher Learning shall be subject to the audit requirements contained in the Single Audit Act Amendments of 1996 (31 U.S.C. 7501-7507). Commercial organizations shall be subject to the audit requirements as stipulated in the award document.

Records:

All financial and programmatic records, supporting documents, statistical reports, and other records of grantees or sub grantees are required to be maintained by the terms of the agreement (OMB Circular No. A110 for institutions of higher education and other nonprofit organizations and with 15 CFR Part 24 for State and local governments). The grantee must retain records for three years from the date when the final expenditure report is submitted.

Account Identification:

13-1450-0-1-306.

Obligations:

(Cooperative Agreements) FY 12 \$602,879; FY 13 est \$500,000; and FY 14 est \$500,000

Range and Average of Financial Assistance:

\$20,000 to \$550,000. Average \$250,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: NCCOS research was used by coastal communities to protect themselves from harmful algae, water contamination, eutrophication and climate impacts. NCCOS research also provided critical information for communities to develop effective and sustainable management of their resources. Research efforts led to faster and more accurate and efficient coastal mapping and monitoring and increased ability to predict and prepare for natural events and their impacts from major issues such as harmful algal blooms, and development of early warning systems and sub-lethal indicators to help communities and agencies assess risk and to target their resources more effectively. Fiscal Year 2013: NCCOS research is expected to be used by coastal communities to protect themselves from harmful algae, water contamination, eutrophication and climate impacts. NCCOS research also provided critical information for communities to develop effective and sustainable management of their resources. Research efforts are leading to faster and more accurate and efficient coastal mapping and monitoring and increased ability to predict and prepare for natural events and their impacts from major issues such as harmful algal blooms, and development of early warning systems and sub-lethal indicators to help communities and agencies assess risk and to target their resources more effectively. Fiscal Year 2014: NCCOS research is expected to be used by coastal communities to protect themselves from harmful algae, water contamination, eutrophication and

climate impacts. NCCOS research also provided critical information for communities to develop effective and sustainable management of their resources. Research efforts are leading to faster and more accurate and efficient coastal mapping and monitoring and increased ability to predict and prepare for natural events and their impacts from major issues such as harmful algal blooms, and development of early warning systems and sub-lethal indicators to help communities and agencies assess risk and to target their resources more effectively.

REGULATIONS, GUIDELINES, AND LITERATURE:

Allowable cost will be determined in accordance with OMB Circular A-87 for State and local governments and Indian Tribes; OMB Circular A-122 for nonprofit and for-profit organizations; OMB Circular A-21 for institutions of higher education; and 48 CFR Part 31 for commercial organizations. Financial assistance management will be in accordance with 15 CFR Part 14 for institutions of higher education, hospitals, and other non-profit and commercial organizations, and with 15 CFR Part 24 for state and local governments.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Mary Erickson National Ocean Service
National Center for Coastal Ocean Science (N/SCI)
1305 East-West Highway, Silver Spring, Maryland 20910 Phone:
301-713-3020

Website Address:

<http://coastalscience.noaa.gov>

RELATED PROGRAMS:

11.417 Sea Grant Support

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

For competitive grants/cooperative agreements, criteria for selecting proposals is contained in the individual program solicitation. For non-competitive grants, applications received by NCCOS are reviewed for completeness, accuracy, and compliance with all program requirements. Final funding decisions will be constrained by the actual amount of agency funding available and changes in programmatic priorities.

11.429 MARINE SANCTUARY PROGRAM**FEDERAL AGENCY:**

National Oceanic and Atmospheric Administration (NOAA), Department of Commerce

AUTHORIZATION:

National Marine Sanctuaries Amendments Act of 2000, Public Law 106-513; National Marine Sanctuaries Preservation Act of 1996, Public Law 104-283; Marine Protection, Research, and Sanctuaries Act of 1972, Title 3, Public Law 92-532, 16 U.S.C 1431.

OBJECTIVES:

To provide educational outreach to the citizens of the United State of America on ocean awareness through numerous competitive and non competitive grants to foundations, local public schools, scholarships, universities and many other entities.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

To provide educational outreach to the citizens of the United State of America on ocean awareness through numerous competitive and non competitive grants to foundations, local public schools, scholarships, universities and many other entities.

Applicant Eligibility:

Applicants can apply BWET and Great Lake Competitive Grants. Applicants must act in good faith, and look for potential grants in Grants.gov to apply. States, local governments, regional agencies, interstate agencies, K-through-12

public and independent schools and school systems, institutions of higher education, commercial and nonprofit organizations, Indian tribal Governments, or other persons. Agreements to solicit private donations may only be made with nonprofit organizations. Scholarships are awarded to individuals who are U.S. citizens and have been admitted to a masters or doctorate program for studies in Marine Biology, Maritime Archaeology, and Oceanography.

Beneficiary Eligibility:

Financial assistance is made for a public purpose. The ultimate beneficiary is the public. The scholarship program benefits individuals who do not have the financial means of pursuing graduate level studies and, in turn, ensures that women and minorities are representative in the areas supported by the scholarship program.

Credentials/Documentation:

Proposal with statement of work and budget estimate. Costs will be determined in accordance with OMB Circular Nos. A-87 for State and local governments, A-21 for educational institutions and A-122 for nonprofit organizations. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

The standard application forms, as furnished by NOAA and required by 15 CFR Part 24, must be used when applying for Federal funding. These forms can be obtained from the NOAA Grants Management Division. Informal preapplication conferences are recommended. Consultation and assistance is available from NOAA in the preparation of an application. This program is excluded from coverage under E.O. 12372. For the scholarships to individuals, there is no preapplication requirement. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Proposals are submitted through Grants.Gov. Applicants will need to enter the Funding Opportunity Number and/or CFDA number to access the application package and instructions. For cooperative agreements and competitive awards, the application package will be submitted directly to the National Marine Sanctuary Program. For scholarships, the application package will be submitted directly to the NOS Office of the assistant Administrator. This program is subject to the provisions of OMB Circular No. A-110, for institutions of higher education and other nonprofit organizations, and with 15 CFR Part 24 for State and local governments. The Bay Watershed Education and Training Program Awards are competitively selected. The application process is described in the annual Federal Register Notice. For the scholarship program, the application process is described in the annual Federal Register Notice.

Award Procedure:

The cooperative agreement and competitive grants awards are approved by the National Marine Sanctuary Program. Scholarship awards are approved by NOS Associate Assistant Administrator for Management.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

No formal procedure.

Renewals:

The National Marine Sanctuary Program may renew funding for existing grants that were funded in the previous application process. New grants may be awarded pending successful review of a new application package, and adequate progress reports and/or site visits.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awards are usually made for a 1 year period. Funds are released as required. However, there are instances when the recipient will request extensions due to projects taking longer than usual to complete. See the following for information on how assistance is awarded/released: as required.

Reports:

Program reports are not applicable. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Financial Status Reports and Performance Reports are required quarterly or semi-annually. For the scholarships, an annual Progress Report is required and a final Progress Report is required at the end of the award. For the competitive awards, Financial and Performance Reports are semi-annually and a comprehensive final Progress Report is required at the end of the award.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

All financial and programmatic records, supporting documents, statistical reports, and other records of grantees or sub grantees are required to be maintained by the terms of the agreement. The grantee must retain records for three years from the date when the Final expenditure report is submitted.

Account Identification:

13-1450-0-1-306.

Obligations:

(Cooperative Agreements) FY 12 \$7,332,039; FY 13 est \$4,605,623; and FY 14 est \$4,510,000

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: The ONMS supported Nancy Foster Scholarship Program provided funds to graduate students who are continuing their studies in ocean conservation and marine science. The ONMS supported Bay Watershed Education and Training Program (B-WET) grants to Great Lakes and California recipients for ocean conservation programs in local elementary schools, high schools and universities. The ONMS provided funds to the Northwest Tribes for ocean preservation programs. The ONMS provided funds to the National Marine Sanctuary Foundation for education and outreach efforts. Fiscal Year 2013: The ONMS supported National Foster Scholarship Program will continue to offer funds to graduate students who are continuing their studies in ocean conservation and marine science. The ONMS will continue to support B-WET grants to expand ocean conservation program in coastal communities. The National Marine Sanctuary Foundation will continue to provide Education and Outreach events such as Capital Oceans Week, and several round tables on ocean conservation where National Marine Sanctuaries are located. The Northwest Tribes will continue to provide ocean preservation programs within their respective tribes. Fiscal Year 2014: The ONMS supported National Foster Scholarship Program will continue to offer funds to graduate students who are continuing their studies in ocean conservation and marine science. The ONMS will continue to support B-WET grants to expand ocean conservation program in coastal communities. Funding for the National Marine Sanctuary Foundation, B-WET grants, and the Northwest Tribes are likely to continue in FY14.

REGULATIONS, GUIDELINES, AND LITERATURE:

15 CFR Part 922. Allowable cost will be determined in accordance with OMB Circular A-87 for State and local governments and Indian Tribes; OMB Circular A-122 for nonprofit and for-profit organizations; OMB Circular A-21 for institutions of higher education; and 48 CFR Part 31 for commercial organizations. Financial assistance management will be in accordance with 15 CFR Part 14 for institutions of higher education, hospitals, and other non-profit and commercial organizations, and with 15 CFR Part 24 for state and local governments.

Regional or Local Office:

None. Regional or Local Office. Local Offices at each sanctuary.

Headquarters Office:

Priti Brahma NOAA Office of Education, Route: OED, Bldg SSMC3 Room 10725, 1315 East West Highway, Silver Spring, Maryland 20910 Phone: 3017139437 x118 Fax: priti.brahma@noaa.gov

Website Address:

http://www.oesd.noaa.gov/scholarships_opps.html

RELATED PROGRAMS:

11.417 Sea Grant Support; 11.419 Coastal Zone Management Administration Awards; 11.420 Coastal Zone Management Estuarine Research Reserves; 11.457 Chesapeake Bay Studies; 11.473 Coastal Services Center

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: The Great Lakes BWET grants were awarded in the State of Michigan and two universities in Chicago. This generates more awareness for conservation of the Great Lakes. The National Marine Sanctuary Foundation continued to provide excellent education and outreach projects for marine science and ocean conservation. Fiscal Year 2013: The BWET Great Lakes and California BWET grants will be awarded to other recipients than FY12 which will expand ocean conservation programs to other communities, and local elementary schools, high schools and universities. By awarding these grants to different recipients in FY 13, it provides other communities and universities with funds that will be used in great lake conservation and education. Fiscal Year 2014: The Nancy Foster Scholarships will continue to provide much needed education scholarships for marine science. The National Marine Sanctuary Foundation will continue to provide crucial outreach and education support various ocean conservation and marine science projects. Depending upon budgets, B-WET California will be funded again, and these grants will continue to provide excellent outreach and education awareness on ocean conservation as they serve their local communities and provide young students programs in which they can use to promote ocean awareness.

CRITERIA FOR SELECTING PROPOSALS:

A set of ranking criteria was developed to establish the priority of proposed efforts funded through the National Marine Sanctuary Program. The criteria include: (1) importance of the information or transaction for sanctuary management decisions; (2) date of the available information or accomplishment for which funds are expended; (3) status of information or accomplishment; and (4) generic applicability of information or techniques receivable from effort. Criteria for scholarships include financial need, academic record, recommendations, organizational, analytical, and written communications skills based on the statement of intent, and research and career goals and objectives as described in the statement of intent. Criteria for Monterey Bay Watershed Education and Training Program competitive awards include: (1) Importance and/or relevance and applicability of proposal to the program goals; (2) Technical Merit; (3) Overall qualifications for applicants; (4) Project costs, and (5) Financial need of student.

11.432 NATIONAL OCEANIC AND ATMOSPHERIC ADMINISTRATION (NOAA) COOPERATIVE INSTITUTES FEDERAL AGENCY:

National Oceanic and Atmospheric Administration (NOAA), Department of Commerce

AUTHORIZATION:

Navigation and Navigable Waters, 33 U.S.C 1442; Department of Commerce Appropriation Act of 1999; Fish and Wildlife Act, 16 U.S.C 753a; Federal Aviation Act, 49 U.S.C 44720(b); Navigation and Navigable Waters, 33 U.S.C 883d; National Climate Program Act, 15 U.S.C 2904; Fish and Wildlife Coordination Act of 1956, 16 U.S.C 661; Cooperative Agreements/Reimbursement to Further NOAAs Mission, 15 U.S.C 1540; National Climate Program Act, 15 U.S.C 2901 et seq; Weather Service Organic Act, 15 U.S.C 313.

OBJECTIVES:

To increase the effectiveness of research and develop innovative approaches to education in the environmental sciences by facilitating cooperation between government, university, and nonprofit research institutions.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Program authorizes funds to be used for research and development, education and training, advisory services and operational systems as they relate to specific NOAA programs. Specific restrictions, other than those mandated for cooperative agreements, are evaluated on an individual program basis. Most Cooperative Institutes (CIs) operate under a Memorandum of Understanding/Memorandum of Agreement and are geographically located near a NOAA Research laboratory or a NOAA facility. In addition, 118 Stat. 71 (2004) authorizes the Secretary to designate (delegated to the Under Secretary of NOAA) CIs to use the personnel, services, or facilities of such organizations for research, education, training, and outreach to further NOAA's mission under a cooperative agreement.

Applicant Eligibility:

Single or multiple non-federal public and private non-profit universities, colleges and research institutions that collectively offer accredited graduate level degree-granting programs in NOAA related sciences.

Beneficiary Eligibility:

Organizations with interests in support of the NOAA mission to understand and predict changes in Earth's environment and conserve and manage coastal and marine resources to meet the nations' economic, social, and environmental needs.

Credentials/Documentation:

Proposals with statements of work and estimated budgets. Costs will be determined in accordance with OMB Circular Nos. A-21 for agreements with educational institutions, A-87 for State and local governments, and A-122 for nonprofit institutions. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

The standard application forms as furnished by the agency and required by OMB Circular Nos. A-21 and A-110 must be used for this program. This program is excluded from coverage under OMB Circular No. A-102. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Proposals for new cooperative agreements are solicited with an announcement in the Federal Register. These announcements include specific information for proposal submission. All proposals are subject to the requirements of OMB Circular Nos. A-21 and A-110, as applicable.

Award Procedure:

Accepted applications are subject to peer review by a review panel assembled by the Cooperative Institute Program Manager. All applicants are notified whether or not their proposal was funded.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 180 days from time of proposal submission.

Appeals:

Not Applicable.

Renewals:

Initial awards are for 5 years; renewals may be made for a period of up to 5 additional years, based upon the availability of funds and the results of an extensive scientific and administrative review, typically occurring in the fourth year of the first 5-year award..

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

This program has no matching requirements.

This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

Generally, five years with funding approved on individual and group proposal basis throughout the 5 years in accordance with DOC approval. See the following for information on how assistance is awarded/released: As described in Standard Terms and Agreement.

Reports:

In accordance with applicable report or announcement published in the Federal Register and/or Federal Funding Opportunities in Grants.gov. . . .

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Recipients are expected to maintain complete records for each cooperative agreement to insure funds are used for the purposes that the cooperative agreement was made. Records must be retained for 3 years; records shall be retained beyond the 3-year period if audit findings have not been resolved.

Account Identification:

13-1460-0-1-306; 13-1450-0-1-306.

Obligations:

(Project Grants) FY 12 \$1,048,265; FY 13 est \$1,063,493; and FY 14 est \$1,659,073

Range and Average of Financial Assistance:

Total annual funding at current Cooperative Institutes ranges from \$1,300,000 to \$30,000,000. Average funding is \$10,000,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Allowable cost will be determined in accordance with OMB Circular A-87 for State and local governments and Indian Tribes; OMB Circular A-122 for nonprofit and for-profit organizations; OMB Circular A-21 for institutions of higher education; and 48 CFR Part 31 for commercial organizations. Financial assistance management will be in accordance with 15 CFR Part 14 for institutions of higher education, hospitals, and other non-profit and commercial organizations, and with 15 CFR Part 24 for State and local governments.

Regional or Local Office:

None. OAR, Philip Hoffman - CI Director, NOAA Research, R/LCX2, 1315 East-West Highway, Room 11308, Silver Spring, MD 20910. Telephone: 301-734-1090. E-mail: Philip.Hoffman@noaa.gov. NESDIS, Ingrid Guch, Chief, Cooperative Research Programs Division, World Weather Building, Suite 701, 5200 Auth Road, Camp Springs, MD 20746. Telephone: (301) 763-8282 x152. E-mail: Ingrid.Guch@noaa.gov. NMFS, Kathleen Jewett, 2725 Montlake Blvd East, Seattle, WA 98112-2097. Telephone: (206) 860-3208. E-mail: Kathleen.Jewett@noaa.gov.

Headquarters Office:

Philip Hoffman OAR: Dr. Philip Hoffman, CI Director NOAA Research, R/LCX2, 1315 East-West Highway, Room 11308, Office: (301) 734-1090 Cell: (301) 452-3013 Fax: (301) 713-1459 E-mail: Philip.Hoffman@noaa.gov, NESDIS: Ingrid Guch, Chief, Cooperative Research Programs Division, World Weather Building, Suite 701, 5200 Auth Road, Camp Springs, MD 20746 Office: (301) 763-8282 x152 Fax: (301) 763-8108 E-mail: ingrid.guch@noaa.gov, NMFS: Kathleen Jewett, 2725 Montlake Blvd East Seattle, WA 98112-2097 Office: (206) 860-3208 Fax: (206) 860-3442 E-mail: kathleen.jewett@noaa.gov.

, Silver Spring, Maryland 20910 Phone: (301) 734-1090.

Website Address:

<http://www.nrc.noaa.gov/ci>

RELATED PROGRAMS:

11.417 Sea Grant Support; 11.420 Coastal Zone Management Estuarine

Research Reserves; 11.426 Financial Assistance for National Centers for Coastal Ocean Science; 11.430 Undersea Research; 11.431 Climate and Atmospheric Research; 11.459 Weather and Air Quality Research; 11.460 Special Oceanic and Atmospheric Projects; 11.462 Hydrologic Research; 11.468 Applied Meteorological Research; 11.473 Coastal Services Center

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Proposals are subject to peer-review evaluations, technical reviews, administrative reviews, and external reviews, if applicable, taking into primary consideration scientific merit and application to the mission goals of NOAA.

11.440 ENVIRONMENTAL SCIENCES, APPLICATIONS, DATA, AND EDUCATION

FEDERAL AGENCY:

National Oceanic and Atmospheric Administration (NOAA), Department of Commerce

AUTHORIZATION:

Navigation and Navigable Waters, U.S.C 883d; Meteorological Services, 49 U.S.C 44720(b); Navigation and navigable Waters, 33 U.S.C 1442; Organic Act of 1890, 15 U.S.C 313; National Climate Program Act, 15 U.S.C 2901.

OBJECTIVES:

To advance and promote applied research, data assimilation and management, technology development, and education in environmental science.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds can be used to support a wide variety of atmospheric and oceanographic research, development, data distribution and assimilation programs, education, advisory services, and to support long-term partnerships between the Federal government, universities, and institutions of research and higher education for cooperative science and education in environmental science.

Applicant Eligibility:

Any State university, college, institute or laboratory; any public or private nonprofit institution or consortium.

Beneficiary Eligibility:

Organizations and individuals with interests in support of managing effectively the Nation's oceanic and atmospheric resources.

Credentials/Documentation:

None. Costs are determined in accordance with applicable OMB circulars and applicable Federal Register notices. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Submit Standard Form 424, project proposal and budget following the format specified by the funding office in the annual solicitation. Proposals are submitted through Grants.gov. Applicants will need to enter the Funding Opportunity Number and/or CFDA number to access the application package and instructions. Application package will then be submitted directly to NOAA. Proposals are subject to the requirements of 15 CFR Parts 14 and 24, as applicable.

Award Procedure:

Awards are made on the basis of proposal evaluations, technical and administrative reviews, and external reviews, if applicable. Projects recommended for funding will be submitted to the NOAA Grants Management Division for review and approval.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application

deadlines.

Range of Approval/Disapproval Time:

From 90 to 180 days from time of receipt of the proposal.

Appeals:

Not Applicable.

Renewals:

Future or continued funding will be at the discretion of the Federal government based upon satisfactory performance and the availability of funds.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Normally from one to five years. See the following for information on how assistance is awarded/released: Funds will be released or reimbursed as agreed upon in the Standard Terms and Conditions required by each grant.

Reports:

Not Applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

All financial and programmatic records, supporting documents, statistical reports, and other related records of grantees or sub grantees are required to be maintained by the terms of the agreement. The grantee must retain records for three years from the date when the final expenditure and technical reports are submitted.

Account Identification:

13-1460-0-1-306; 13-1450-0-1-306.

Obligations:

(Cooperative Agreements) FY 12 \$7,233,344; FY 13 est \$3,896,419; and FY 14 est \$11,963,328

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Allowable cost will be determined in accordance with OMB Circular A-87 for State and local governments and Indian Tribes; OMB Circular A-122 for nonprofit and for-profit organizations; OMB Circular A-21 for institutions of higher education; and 48 CFR Part 31 for commercial organizations. Financial assistance management will be in accordance with 15 CFR Part 14 for institutions of higher education, hospitals, and other non-profit and commercial organizations, and with 15 CFR Part 24 for State and local governments. Department Administrative Order 203-36 dated May 15, 1985 (Department of Commerce Grants Administration) and the NOAA Grants and Cooperative Agreement Interim Policy Manual dated February 2002.

Regional or Local Office:

None.

Headquarters Office:

Ingrid Gulch Cooperative Research Program
DOC/NOAA/NESDIS/STAR, 5200 Auth Road, Room 701,

, Camp Springs, Maryland 20746 Phone: 3017638252 Fax: 3017138108

Website Address:

NOAA Homepage: www.noaa.gov; NESDIS Homepage:

www.nesdis.noaa.gov, STAR Homepage
<http://www.star.nesdis.noaa.gov/star/index.php>; Grant opportunities and request for applications at <http://grants.gov>

RELATED PROGRAMS:

11.431 Climate and Atmospheric Research; 11.432 Office of Oceanic and Atmospheric Research (OAR) Joint and Cooperative Institutes

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: JPSS, GOES-R, GOES-R Risk Reductions, Cal/Val, VIIRS, VISIT, POES Blended, Post Doctoral Program, Student Exchange Program. Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Proposals are evaluated technically and administratively primarily for scientific merit and application to the goals and objectives of NOAA. The qualifications of the principal investigator are considered and costs are subject to negotiation.

11.459 WEATHER AND AIR QUALITY RESEARCH

FEDERAL AGENCY:

National Oceanic and Atmospheric Administration (NOAA), Department of Commerce

AUTHORIZATION:

Clean Air Act Amendments of 1990, 42 U.S.C 7403; Weather Service Organic Act, 15 U.S.C 313; Federal Aviation Act, 49 U.S.C 1463; National Climate Program Act, 15 U.S.C 2901.

OBJECTIVES:

To develop the knowledge required to establish a predictive capability for short and long-term climate and air quality fluctuations and trends. To develop the base of scientific knowledge for better informed decisions.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grants may be used for research and development, science assessments, advisory services, and operational systems as they relate to specific programs. Specific restrictions, other than those mandated for grants, are evaluated on an individual grant or program basis. Grants and contracts currently exist with National Acid Precipitation Assessment Program (NAPAP) participants.

Applicant Eligibility:

Universities, colleges, junior colleges, technical schools, institutions, laboratories; any State, political subdivision of a State or agency or officer thereof; any individual.

Beneficiary Eligibility:

Organizations and individuals with interests in meteorology, oceanography, climate, and air-quality research and prediction.

Credentials/Documentation:

Proposals with statement of work, estimated budget, and curriculum vitae. Costs will be determined in accordance with OMB Circular Nos. A-87 for State and local governments, A-21 for educational institutions, and A-122 for nonprofit organizations. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Submit an electronic application to Grants.gov APPLY for financial assistance (SF 424) with statement of work to be performed and proposed amount. This program is subject to the requirements of OMB Circular No. A-110 and 15 CFR 24, "Grants and Cooperative Agreements with State and Local Governments," as applicable.

Award Procedure:

Awards are made on the basis of peer review of proposals.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 90 to 180 days from time of proposal receipt.

Appeals:

Not Applicable.

Renewals:

By negotiation with OAR.

Formula and Matching Requirements:

This program has no statutory formula.

Matching requirements are not applicable to this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Normally for a period of one year. See the following for information on how assistance is awarded/released: As described in agreement.

Reports:

Program reports are not applicable. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Quarterly performance and financial reports are required. Final reports are due 90 days after expiration of award.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees expected to maintain complete records for each grant to insure funds are used for the purpose for which the grant was made.

Account Identification:

13-1450-0-1-306.

Obligations:

(Project Grants (Contracts)) FY 12 \$1,048,265; FY 13 est \$1,063,493; and FY 14 est \$1,659,073

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Allowable cost will be determined in accordance with OMB Circular A-87 for State and local governments and Indian Tribes; OMB Circular A-122 for nonprofit and for-profit organizations; OMB Circular A-21 for institutions of higher education; and 48 CFR Part 31 for commercial organizations. Financial assistance management will be in accordance with 15 CFR Part 14 for institutions of higher education, hospitals, and other non-profit and commercial organizations, and with 15 CFR Part 24 for State and local governments.

Regional or Local Office:

None.

Headquarters Office:

na Director, Office of Weather and Air Quality, Office of Oceanic and Atmospheric Research, National Oceanic and Atmospheric Administration, 1315 East-West Highway, Silver Spring, Maryland 20910 Phone: (301) 713-9397

Website Address:

<http://www.noaa.gov>.

RELATED PROGRAMS:

11.431 Climate and Atmospheric Research; 11.432 Office of Oceanic and Atmospheric Research (OAR) Joint and Cooperative Institutes

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Proposals are subject to office and peer review process taking into consideration primarily scientific merit and application to office research goals. Principal investigators' qualifications and cost are also considered, with cost subject to negotiation.

11.469 CONGRESSIONALLY IDENTIFIED AWARDS AND PROJECTS**FEDERAL AGENCY:**

National Oceanic and Atmospheric Administration (NOAA), Department of Commerce

AUTHORIZATION:

Departments of Commerce, Justice, State, the Judiciary, and Related Agencies Appropriations Act.

OBJECTIVES:

The objectives of the overall program are to facilitate education, research and development in the fields of marine and atmospheric science, and to provide a provision of assistance for the construction of suitable facilities for these activities, as specified by Congress.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

To provide appropriated funds to facilitate education, research and development in the fields of marine and atmospheric science. Appropriated funds can also be used for construction of facilities and construction-related activities to support education, research and development associated with issues of atmospheric or marine sciences. Specific restrictions, other than those mandated for grants, are evaluated on an individual grant or program basis.

Applicant Eligibility:

Eligible applicants include State and local governments, including their universities and colleges, quasi-governmental agencies, private universities and colleges, and private profit and nonprofit organizations and/or individuals. Typically those specifically identified by Congress in agency appropriations legislation.

Beneficiary Eligibility:

Through the awarding of financial assistance to facilitate education, research and development in the fields of marine and atmospheric science, and for the construction of educational, research and development facilities, this program benefits Federal, State, local governments, public and private universities and colleges, profit and nonprofit organizations, and the general public.

Credentials/Documentation:

Applicants are required to satisfy all DOC/NOAA standards and regulations, including routine and special terms and conditions, for financial assistance programs. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Submission of an application on Standard Form 424 as furnished by NOAA, including all required certifications, to the Program Officer, Congressionally Identified Awards, Office of Finance and Administration, Acquisitions and Grants Office. This program is subject to the provisions of 15 CFR 24, Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments, and 15 CFR 14, Grants and Agreements with Institutions of Higher Education, Hospitals, and other Nonprofit Organizations. NOAA reserves the right to withhold the awarding of a grant or cooperative agreement to any individual or organization delinquent on a debt to the Federal

government until payment is made or satisfactory arrangements are made with the agency to which the debt is owed.

Award Procedure:

Applications reviewed by NOAA and are made on the basis of proposal evaluations and technical and administrative reviews.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approval time is expected to range from 90 to 180 days from the time of receipt of a complete application. This timeframe includes processing of the award through the NOAA and DOC financial assistance functions.

Appeals:

Not Applicable.

Renewals:

Contingent upon future Congressional funding. Renewal of an award to increase funding or extend the period of performance is at the sole discretion of the Department of Commerce/NOAA.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awards are usually for a 12-month period. In some instances, award periods may extend beyond 12 months. The funding is spent in the award's budget period unless otherwise negotiated between the recipient and NOAA and approved by the NOAA Grants Management Division. See the following for information on how assistance is awarded/released: As described in agreement.

Reports:

Program reports are not applicable. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Reports are due in accordance with the terms and conditions of the award. The Department's Financial Assistance Standard Terms and Conditions generally require that financial and performance reports be submitted semi-annually or as otherwise required under special award conditions. Progress reports summarize work accomplished, relate the progress to the original approved proposal and timelines, explain situations where expected work has not been completed, and request modifications to the project plan as necessary.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

All financial and programmatic records, supporting documents, statistical reports, and other records of grantees or sub-grantees are required to be maintained in accordance with the terms of the award. The recipient must retain records for three years from the date when the final financial status report is submitted.

Account Identification:

13-1450-0-1-306.

Obligations:

(Cooperative Agreements) FY 12 \$1,187,069; FY 13 Estimate Not Available; and FY 14 Estimate Not Available

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Congressional appropriations, Public Law (for FY 1998, Public Law 105-119, Nov. 13, 1997; for FY 1999, Public Law 105-277, October 19, 1998). Costs will be determined in accordance with OMB Circular No.A-21 for institutions of higher education, OMB Circular No.A-87 for State and local governments, and OMB Circular No.A-122 for nonprofit organizations. Grants management will be in accordance with provisions of 15 CFR Part 14 for institutions of higher education and other nonprofit organizations or 15 CFR 24 for State and local governments.

Regional or Local Office:

None.

Headquarters Office:

n/a Chief, Grants Management Division, OFA62, SSMC2, 1325 East-West Highway, Silver Spring, Maryland 20910 Phone: (301) 713-0926

Website Address:

<http://www.noaa.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

11.473 COASTAL SERVICES CENTER

(CSC)

FEDERAL AGENCY:

National Oceanic and Atmospheric Administration (NOAA), Department of Commerce

AUTHORIZATION:

Navigation and Navigable Waters, 33 U.S.C 1442; Commerce and Trade, 15 U.S.C 1540; Coastal Zone Management Act of 1972, 16 U.S.C 1456c.

OBJECTIVES:

To support projects aimed at developing a science-based, multi-dimensional approach that will allow for the maintenance or improvement of environmental quality while at the same time allowing for economic growth.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Uses will be in the following federal program areas: The Coastal Services Center's (CSC) goal is to build leadership skills and capabilities to meet the changing needs within the coastal community. The Coastal Services Center jointly manages the Regional Ocean Partnerships (ROP) funding program with the NOAA, National Ocean Service, Office of Ocean and Coastal Resource Management Program. This grant program advances effective coastal and ocean management through regional ocean governance, including the goals for national ocean policy and comprehensive ocean planning set out in the presidents Final Recommendations of Interagency Ocean Policy Task Force, July 19, 2010. The ROPFP program supported two categories of activities: 1) implementation of a spectrum of regional ocean partnership priorities, with a focus on marine planning activities and 2) support for development and operations for Regional Ocean Partnerships (ROP). CSC manages the Gulf of Mexico Alliance grant program to engage in cooperative agreements with entities from Gulf of Mexico States to implement Action Blueprint Steps identified in the Gulf of Mexico Alliance Governors Action Plan. These projects contribute to the beneficial public outcomes associated with the five priority issues including water quality for healthy beaches and shellfish beds; wetland and coastal conservation and restoration; environmental education; identification and characterization of Gulf habitats; and nutrient reduction as well as Coastal Community Resiliency, a priority issue elevated by the Alliance since release of the Plan. The CSC Pacific Services Center (PSC), located in Honolulu, provides competitive grants through the Bay Watershed Education and Training (B-WET) Hawaii program to foster the development of innovative science programs, partnerships and products throughout the State of Hawaii, as well as support environmental education efforts that align with national and

state education standards. B-WET Hawaii projects focus on providing meaningful outdoor experiences for students and professional development opportunities for teachers that target understanding earth system sciences, hazards, community resilience, and climate change. PSC manages the Coastal Resilience Networks grant program to implement activities that enhance resilience of coastal communities to natural hazard and climate risks through a regional or national network. Coastal Resilience Networks (CRest) proposals must leverage, enhance, or create a system in which one or more coastal hazard issues can be addressed through partnerships to improve coordination and collaboration throughout the region. Partnerships must include multiple institutions, disciplines, and sectors at the local, state, and federal levels.

The Coastal Services Center jointly manages the Regional Ocean Partnerships (ROP) funding program with the NOAA, National Ocean Service, Office of Ocean and Coastal Resource Management Program. This grant program advances effective coastal and ocean management through regional ocean governance, including the goals for national ocean policy and comprehensive ocean planning set out in the presidents Final Recommendations of Interagency Ocean Policy Task Force, July 19, 2010. The ROPFP program supported two categories of activities: 1) implementation of a spectrum of regional ocean partnership priorities, with a focus on marine planning activities and 2) support for development and operations for Regional Ocean Partnerships (ROP). The CSC Pacific Services Center (PSC), located in Honolulu, provides competitive grants through the Bay Watershed Education and Training (B-WET) Hawaii program to foster the development of innovative science programs, partnerships and products throughout the State of Hawaii, as well as support environmental education efforts that align with national and state education standards. B-WET Hawaii projects focus on providing meaningful outdoor experiences for students and professional development opportunities for teachers that target understanding earth system sciences, hazards, community resilience, and climate change. PSC manages the Coastal Resilience Networks grant program to implement activities that enhance resilience of coastal communities to natural hazard and climate risks through a regional or national network. Coastal Resilience Networks (CRest) proposals must leverage, enhance, or create a system in which one or more coastal hazard issues can be addressed through partnerships to improve coordination and collaboration throughout the region. Partnerships must include multiple institutions, disciplines, and sectors at the local, state, and federal levels.

Applicant Eligibility:

Institutions of higher educations, hospitals, other nonprofits, commercial organizations, foreign governments, organizations under the jurisdiction of foreign governments, international organizations, and state, local and Indian tribal governments.

Beneficiary Eligibility:

Institutions of higher educations, hospitals, other nonprofits, commercial organizations, foreign governments, organizations under the jurisdiction of foreign governments, international organizations, and State, local and Indian tribal governments.

Credentials/Documentation:

Proposals with statements of work and estimated budgets. Costs will be determined in accordance with OMB Circulars Nos. A-21 for agreements with education institutions, A-87 for State and local governments and A-122 for nonprofit institutions. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Proposals should be submitted through Grants.Gov. Applicants will need to enter the Funding Opportunity and/or CFDA number to access the application package and instructions. Application packages will then be submitted directly to the

applicable federal program. . Proposals are subject to the requirements of 15 CFR Parts 14 and 24, as applicable.

Award Procedure:

Awards are made on the basis of proposals evaluations, technical reviews and administrative reviews, if applicable.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Other - Not Specified.

Appeals:

Not Applicable.

Renewals:

Extension requests will be considered by the federal program office only if the recipient has submitted all required performance reports. At least sixty days prior to the end of the award, recipients must discuss with their federal program officer expected reprogramming and extensions requests. After preliminary negotiation on proposed projects and extensions, formal written reprogramming and extension requests should be received by the federal program office 45 days prior to the end date of the award. The federal program office will not recommend approval for any retroactive extension request (received after the end date of the award). Recipients should make every effort to submit one extension request for all projects needing an extension (when possible, multiple extension requests should be avoided). With sufficient justification, the federal program office will recommend approval of a six-month extension for the first extension request. First time or cumulative extension requests for more than six months but less than 12 months, will need more compelling justification as to why the project was not completed on time or could not be completed within the first six-month extension. Although the federal program office discourages extensions of more than 12 months, the federal program office will recommend approval in cases where the funds are already obligated. Renewal of an award to increase funding or extend the period of performance is at the total discretion of the Department.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

1-3 years with funding approved annually on individual and group proposals. See the following for information on how assistance is awarded/released: Funds are released as agreed to in the Standard Terms and Conditions document required for each grant.

Reports:

Program reports are not applicable. Cash reports are not applicable. Progress reports are not applicable. Financial Status Reports are required semi-annually. Performance Reports are required semi-annually.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Account Identification:

13-1450-0-1-306.

Obligations:

(Cooperative Agreements) FY 12 \$10,554,241; FY 13 est \$4,647,930; and FY 14 est \$4,650,000

Range and Average of Financial Assistance:

Will vary depending on when announcements are published. Contact the relevant federal program for details.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: The Coastal Services Center jointly managed the Regional Ocean Partnerships (ROP) funding program with the NOAA, National Ocean Service, Office of Ocean and Coastal Resource Management Program. This grant program advanced effective coastal and ocean management through regional ocean governance, including the goals for national ocean policy and comprehensive ocean planning set out in the presidents Final Recommendations of Interagency Ocean Policy Task Force, July 19, 2010. The ROPFP program supported two categories of activities: 1) implementation of a spectrum of regional ocean partnership priorities, with a focus on marine planning activities and 2) support for development and operations for Regional Ocean Partnerships (ROP).

The CSC Pacific Services Center (PSC), located in Honolulu, provided competitive grants through the Bay Watershed Education and Training (B-WET) Hawaii program to foster the development of innovative science programs, partnerships and products throughout the State of Hawaii, as well as support environmental education efforts that align with national and state education standards. B-WET Hawaii projects focused on providing meaningful outdoor experiences for students and professional development opportunities for teachers that target understanding earth system sciences, hazards, community resilience, and climate change.

PSC managed the Coastal Resilience Networks grant program to implement activities that enhance resilience of coastal communities to natural hazard and climate risks through a regional or national network. Coastal Resilience Networks (CRest) proposals leveraged, enhanced, or created a system in which one or more coastal hazard issues can be addressed through partnerships to improve coordination and collaboration throughout the region. Partnerships included multiple institutions, disciplines, and sectors at the local, state, and federal levels.

CSC managed the Gulf of Mexico Alliance grant program to engage in cooperative agreements with entities from Gulf of Mexico States to implement Action Blueprint Steps identified in the Gulf of Mexico Alliance Governors Action Plan. These projects contributed to the beneficial public outcomes associated with the five priority issues including water quality for healthy beaches and shellfish beds; wetland and coastal conservation and restoration; environmental education; identification and characterization of Gulf habitats; and nutrient reduction as well as Coastal Community Resiliency, a priority issue elevated by the Alliance since release of the Plan. Fiscal Year 2013: The Coastal Services Center is continuing to jointly manage the Regional Ocean Partnerships (ROP) funding program with the NOAA, National Ocean Service, Office of Ocean and Coastal Resource Management Program. This grant program advances effective coastal and ocean management through regional ocean governance, including the goals for national ocean policy and comprehensive ocean planning set out in the presidents Final Recommendations of Interagency Ocean Policy Task Force, July 19, 2010. The CSC Pacific Services Center (PSC), located in Honolulu, continues to provide competitive grants through the Bay Watershed Education and Training (B-WET) Hawaii program to foster the development of innovative science programs, partnerships and products throughout the State of Hawaii, as well as support environmental education efforts that align with national and state education standards. B-WET The Pacific Services Center continues to provide Coastal Resilience Networks (CRest) financial assistance to leverage, enhance, or create a system in which one or more coastal hazard issues can be addressed through partnerships to improve coordination and collaboration throughout the region. Fiscal Year 2014: The Coastal Services Center plans to continue to jointly manage the Regional Ocean Partnerships (ROP) funding program with the NOAA, National Ocean Service, Office of Ocean and Coastal Resource Management Program. This grant program advances effective coastal and ocean management through regional ocean governance, including the goals for

national ocean policy and comprehensive ocean planning set out in the presidents Final Recommendations of Interagency Ocean Policy Task Force, July 19, 2010.

The CSC Pacific Services Center (PSC, plans to continue to provide competitive grants through the Bay Watershed Education and Training (B-WET) Hawaii program to foster the development of innovative science programs, partnerships and products throughout the State of Hawaii, as well as support environmental education efforts that align with national and state education standards.

REGULATIONS, GUIDELINES, AND LITERATURE:

Department Administrative Order 203-36, dated May 15, 1985 (Department of Commerce Grants Administration) and also the NOAA Grants and Cooperative Agreement Policy Manual.

Regional or Local Office:

See Regional Agency Offices. Jeffrey L. Payne, Deputy Director, NOAA Coastal Services Center, 2234 South Hobson Ave., Charleston, SC 29405-2413. Telephone: (843) 740-1207 or contact James Lewis A. Free, Grants Coordinator, NOAA Coastal Services Center, Telephone: (843) 740-1185.

Headquarters Office:

David Kennedy NOS, 1305 East-West Highway, Silver Spring, Maryland 20910 Phone: 3001-713-3074

Website Address:

<http://www.csc.noaa.gov>, <http://ioos.noaa.gov/program/>

RELATED PROGRAMS:

11.417 Sea Grant Support; 11.419 Coastal Zone Management Administration Awards; 11.420 Coastal Zone Management Estuarine Research Reserves; 11.426 Financial Assistance for National Centers for Coastal Ocean Science; 11.429 Marine Sanctuary Program; 11.431 Climate and Atmospheric Research; 11.432 Office of Oceanic and Atmospheric Research (OAR) Joint and Cooperative Institutes; 11.440 Environmental Sciences, Applications, Data, and Education; 11.455 Cooperative Science and Education Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: The Regional Ocean Partnership team sponsored a FY 2012 project with the National Fish and Wildlife Federation to support and improve West Coast regional ocean governance efforts in the region by strengthening and sustaining implementation of the West Coast Governors' Agreement (WCGA) on Ocean Health. That project will further develop the administrative and operational capacities of WCGA and will advance the implementation of tasks in the WCGA's 2008 Action Plan and tasks identified in the WCGA 10 Action Coordination Team (ACT) implementation plans.

The Coastal Services Center sponsored a cooperative agreement with the Dauphin Island Sea Lab for Implementation of the Gulf of Mexico Alliance (GOMA) Environmental Education Priorities and Actions. This project continued and enhanced the activities of the Gulf of Mexico Alliance (GOMA) Environmental Education Priority Issue Team. The Dauphin Island Sea Lab (DISL) supported existing and future Gulf-wide GOMA educational programs in traditional K-12 and non-traditional outreach and communication activities.

The CSC Pacific Services Center, Bay Watershed and Education Program, sponsored a grant with the University of Hawaii for the capacity building for community resilience planning that promoted sustainable ecosystem and disaster resilient practices at the village level. Fiscal Year 2013: The Pacific Services Center, Coastal Resilience Networks program sponsored a project with the Olohana, Inc, to establish a formal network between the Gulf of Mexico Alliance (GOMA) Resilience Team partners and key industry leaders within the Gulf of Mexico states. Because of its relationship with local planners, GOMA intends to utilize existing partnerships with the Gulf Sea Grant programs to bridge gaps that currently exist between the business/industry sector and the local community when planning for resilience at the local level.

The Regional Ocean Partnership team sponsored a project with the University of Hawaii to support the administration and operations of the established Pacific Regional Ocean Partnership (PROP) so that it can better implement priorities of the Pacific region and the National Ocean Policy. The project will enhance the region's capacity to address important areas outlined in the PROP Action Plan. Fiscal Year 2014: The Coastal Services Center intends to continue

jointly managing the Regional Ocean Partnerships (ROP) funding program with the NOAA, National Ocean Service, Office of Ocean and Coastal Resource Management Program. This grant program will advance effective coastal and ocean management through regional ocean governance, including the goals for national ocean policy and comprehensive ocean planning set out in the presidents Final Recommendations of Interagency Ocean Policy Task Force, July 19, 2010.

The CSC Pacific Services Center (PSC), is planning to provide competitive grants through the Bay Watershed Education and Training (B-WET) Hawaii program to foster the development of innovative science programs, partnerships and products throughout the State of Hawaii, as well as support environmental education efforts that align with national and state education standards. PSC is planning to manage the Coastal Resilience Networks grant program to implement activities that enhance resilience of coastal communities to natural hazard and climate risks through a regional or national network.

CRITERIA FOR SELECTING PROPOSALS:

Proposals are subject to office evaluations, technical reviews, administrative reviews, and externals reviews, if applicable, taking into consideration primarily scientific merit and application to the goals of NOAA and the relevant federal program office.

11.478 CENTER FOR SPONSORED COASTAL OCEAN RESEARCH_COASTAL OCEAN PROGRAM

(CSCOR/(COP))

FEDERAL AGENCY:

National Oceanic and Atmospheric Administration (NOAA), Department of Commerce

AUTHORIZATION:

Harmful Algal Bloom and Hypoxia Research and Control Act of 1998, Public Law 105-383; Navigation and Navigable Waters, 33 U.S.C 883d; Commerce and Trade, 15 U.S.C 1540; Navigation and Navigable Waters, 33 U.S.C 1442; Coastal Zone Management Act of 1972, as amended, 16 U.S.C 1456c; Resources and Ecosystems Sustainability, Tourist Opportunities, and Revived Economies (RESTORE) of the Gulf Coast States Act of 2012 RESTORE Act; , Section 16, Public Law 112-141; Coastal Ocean Program, Section 201c, Public Law 102-567.

OBJECTIVES:

NOAA's Coastal Ocean Program in the Center for Sponsored Coastal Ocean Research (CSCOR/COP) is a unique Federal- academic partnership designed to provide predictive capability for managing coastal ecosystems. CSCOR/COP seeks to deliver the highest-quality science in a timely manner for important coastal policy decisions by supporting high-priority research and interagency initiatives related to NOAA's mission in three goal areas: harmful algal blooms, hypoxia and regional ecosystem research. CSCOR/COP supports research on critical issues which exist in the Nation's estuaries, coastal waters and the Great Lakes; and translates its findings into accessible information for coastal managers, planners, lawmakers and the public. For purposes of the RESTORE Act program; to increase understanding of the Gulf of Mexico ecosystem and support its long-term sustainability, including its fish stocks, habitats, wildlife, and fishing industries.

TYPES OF ASSISTANCE:

Cooperative Agreements; Project Grants

USES AND USE RESTRICTIONS:

Grants may be used to fund research and interagency initiatives under specific CSCOR/ COP programs related to NOAA's mission in harmful algal blooms, hypoxia and regional ecosystem research and to increase understanding of the Gulf of Mexico ecosystem and support its long-term sustainability, including its fish stocks, habitats, wildlife, and fishing industries.

Applicant Eligibility:

Institutions of higher education, not-for-profit institutions, State, local and Indian tribal governments, commercial organizations and Federal agencies. Foreign researchers may apply as subawards through an eligible US entity. All applicants will be treated equally in the competitive process.

Beneficiary Eligibility:

Organizations and individuals utilizing science in effectively managing the

Nations coastal resources.

Credentials/Documentation:

Proposals with statement of work, estimated budget, and curriculum vitae. Costs will be determined in accordance with OMB Circular Nos. A-87 for State and local governments, A-21 for educational institutions, and A-122 for nonprofit organizations. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Send an application for financial assistance (Standard Form 424) to CSCOR/COP with statement of work to be performed and proposed amount in response to a current funding announcement only. This program is subject to the requirements of CFR Part 14, "Uniform Administrative Requirements for Grants and Agreements with Institutions of High Education, Hospitals, Other Nonprofit and Commercial Organization, which replaces OMB Circular No. A-110 as the administrative rules applicable to these awards. In addition, this program is subject to the requirements of 15 CFR Part 24, "Grants and Cooperative Agreements with State and Local Governments", which replaces OMB A-102 as the administrative rule applicable to these awards. Proposals are submitted through Grants.Gov. Applicants will need to enter the funding opportunity number and/or the CFDA number to access the application package and instructions. Application package will then be submitted directly to the Center for Sponsored Coastal Ocean Research/Coastal Ocean Program. Proposals are subject to the requirements of 15 CFR Parts 14 and 24, as applicable.

Award Procedure:

Awards are made on the basis of competitive peer review of proposals.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 210 days from the time of proposal receipt.

Appeals:

Not Applicable.

Renewals:

By negotiation with the CSOR/COP Office. Multi-year awards of two to five years may be approved. Once awarded, multi-year projects will not compete for funding in subsequent years. Funding for multi-year projects beyond the first year is contingent upon the availability of program funds in subsequent fiscal years, and the extent to which the recipient meets project objectives and reporting requirements. Renewal of an award to increase funding or extend the period of performance is at the sole discretion of the Department.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

From one to five years, with funding approved annually. See the following for information on how assistance is awarded/released: Funds are released or reimbursed as agreed upon in the Standard Terms and Conditions required for each grant.

Reports:

Program reports are not applicable. Cash reports are not applicable. Implementation plans are required annually. Progress reports are due annually. Financial status reports are required semi-annually. Final reports are due ninety days after expiration of award. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit

Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Recipients and subrecipients that are State and local governments, institutions of higher education or other nonprofit organizations shall be subject to the audit requirements contained in the Single Audit Act Amendments of 1996 (3 U.S.C. 7501-7507) and revised OMB Circular No. A-133. Commercial organizations shall be subject to the audit requirements as stipulated in the award document.

Records:

Records will be retained in accordance with provisions of OMB Circular No. A-110 for institutions of higher education and other nonprofit organizations and with 15 CFR Part 24 for State and local governments.

Account Identification:

13-1450-0-1-306.

Obligations:

(Cooperative Agreements) FY 12 \$9,010,024; FY 13 est \$8,384,000; and FY 14 est \$15,000,000

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: CSCOR harmful algal bloom (HAB) research addressed the growing national HAB threat by expanding the number of coastal regions benefiting from new technology in algal identification, detection, modeling, and prediction which is enabling coastal resource managers to make informed, proactive, and scientifically based decisions to protect human health, living resources and coastal economies. CSCOR hypoxia research provided results and predictive forecasting tools to understand the causes and living resource and ecosystem impacts of hypoxia in key coastal regions around the US. CSCOR research has also developed new approaches to analyze and manage the cumulative effects of climate change, land use, invasive species, and other environmental stressors on the Great Lakes. Through its regional ecosystem research programs in the Gulf of Mexico, Caribbean, and Pacific islands, CSCOR developed novel approaches to developing alternative management strategies and capacity building to protect and mitigate anthropogenic threats to shallow and deep water coral ecosystems and effects of shoreline modification in the mid-Atlantic region. Fiscal Year 2013: CSCORs harmful algal bloom (HAB) and hypoxia research is integrating ocean observing system data with modeling capabilities in order to provide research managers with seasonal HAB and hypoxia forecasts in numerous regions in the US including the Gulf of Mexico and the Gulf of Maine. CSCOR is also developing forecasts for ecosystem responses to sea level rise and storms, enabling coastal managers the ability to more effectively assess alternative management strategies for mitigating future impacts of sea level rise. CSCORs regional ecosystem research is identifying threats to the health of ecosystems in the Great Lakes, Mid-Atlantic, the Gulf of Mexico, and shallow and deep water coral environments in the Pacific and Caribbean. The resulting information is supporting the development of unique tools to improve ecosystem management capabilities and mitigate impacts on coastal ecosystems. Fiscal Year 2014: CSCORs harmful algal bloom (HAB) and hypoxia research is planning to continue integrating ocean observing system data with modeling capabilities in order to provide research managers with seasonal HAB and hypoxia forecasts in numerous regions in the US including the Gulf of Mexico and the Gulf of Maine. CSCOR plans to also continue the development of forecasts for ecosystem responses to sea level rise and storms, enabling coastal managers the ability to more effectively assess alternative management strategies for mitigating future impacts of sea level rise. CSCORs regional ecosystem research will continue to identify threats to the health of ecosystems in the Great Lakes, Mid-Atlantic, the Gulf of Mexico, and shallow and deep water coral environments in the Pacific and Caribbean. The resulting information will support the development of unique tools to improve ecosystem management capabilities and mitigate impacts on coastal ecosystems.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Rob Magnien NOAA/CSCOR/COP, 1305 East-West Highway, Silver Spring, Maryland 20910 Phone: 3017133338 Fax: 3017134044

Website Address:

<http://coastalscience.noaa.gov/about/centers/cscor>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: The Center for Sponsored Coastal Ocean Research (CSCOR) supported research programs that provide the critical information and predictive capabilities required to manage the Nations coastal resources in an ecosystem context. CSCOR's stressor-related research was focused on the development of tools to predict, prevent and control Harmful Algal blooms, study the causes and predict the occurrence of hypoxia, and provide predictions of the effect of sea level rise on coastal ecosystems. Regional ecosystem research was focused on identifying the threats to the health of coastal ecosystems and the development of ecological forecasts. Research was focused in all major coastal ecosystems in the US including the Caribbean, Gulf of Mexico, Great Lakes, and the Northeast and Mid-Atlantic. Fiscal Year 2013: CSCOR continues to support research geared towards the development of predictive forecasts for Harmful Algal blooms, hypoxia, and sea level rise, as well as developing tools to mitigate the impacts of such stressors in major coastal ecosystems including the Gulf of Maine, Great Lakes, Mid-Atlantic and the Gulf of Mexico. CSCOR also is working toward synthesizing and transitioning the information from research conducted through its regional ecosystem research to the coastal resource managers in order to improve ecosystem management. Fiscal Year 2014: CSCOR plans to continue to take the lead in providing predictive tools to support ecosystem management in coastal regions such as the Great Lakes, Mid-Atlantic, Gulf of Maine, and the Gulf of Mexico.

CRITERIA FOR SELECTING PROPOSALS:

Proposals are evaluated by competitive peer review taking into consideration primarily scientific merit and applicability to COP's research goals. Principal investigators' qualifications and cost are also considered, with cost subject to negotiation.

11.481 EDUCATIONAL PARTNERSHIP PROGRAM

Educational Partnership Program (EPP) with Minority Serving institutions (MSI)

FEDERAL AGENCY:

National Oceanic and Atmospheric Administration (NOAA), Department of Commerce

AUTHORIZATION:

Executive Order 13256, 13230, 13021 and 13339, 33 U.S.C 893 a(a); Executive Order 13256, 13230, 13021 and 13339, 15 U.S.C 1540.

OBJECTIVES:

To continue development of education and research programs aimed at increasing education, training and graduation rates in NOAA mission STEM disciplines at Minority Serving Institutions. The EPP is administered through a national competitive process. Partnerships and capacity building are achieved through collaboration with NOAA scientists and manager and with the use of NOAA facilities and resources in atmospheric, oceanic, environmental sciences, and remote sensing technologies.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funds may be used to support student tuition assistance, stipends, course development, research, faculty and program enhancement and students undertaking course work and/or research in NOAA related sciences at minority serving institutions. Funds may only be used on activities that education and to train students in NOAA mission disciplines. Students who received support under EPP awards must be US citizens and no international travel is allowed under awards made through this program.

Applicant Eligibility:

Applicants are defined as qualified minority serving institutions based on the 2007 United States Department of Education definition of minority serving institutions. Non-minority institutions may also participate in this program when partnered with a minority serving institution. Lead institutions applying to become Cooperative Science Center must have a Ph.D. degree program in the science or technology disciplines (ocean, marine and environmental sciences and remote sensing technology) that directly supports NOAA mission.

Beneficiary Eligibility:

The benefits to the Nation are an increased number of academic institutions capable of providing services and products in support of the agency's mission to describe and predict changes in the environment, and to conserve and manage the nation's coastal and marine resources to ensure sustainable economic opportunities. Collaboration among NOAA and academic institutions will not only increase the number of trained professionals in the NOAA related sciences, it will also lead to a larger number of institutions participating in collaborative research and co-management of the nation's natural resources with State, local and tribal governments.

Credentials/Documentation:

Applicants are required to satisfy all DoC/NOAA standards and regulations, including routine and special terms and conditions, for financial assistance programs application and conduct. Costs will be determined in accordance with OMB Circular No. A-21 for educational institutions. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Submission of an application package which includes the Standard Form SF-424 Application for Federal Assistance, SF-424A Budget Information Non-Construction Programs, SF-424B Assurances Non-Construction Programs, statement of work, budget and supporting details, and CD-511 Certifications Regarding Debarment, Suspension and Other Responsibility Matters, Drug Free Workplace Requirements, and Lobbying must be used when applying for Federal funds. This program is subject to the provisions of 15 CFR Part 14.

Award Procedure:

Awards are made on the basis of proposal evaluation, technical review and administrative review.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approval time is anticipated to range from 90 to 150 days, which includes processing of the award through NOAA Education, NOAA and DoC financial assistance functions.

Appeals:

Not Applicable.

Renewals:

Cooperative activities are generally approved on an annual fiscal year basis, but may be continued for up to five years, subject to approved time frame, program development plan, satisfactory progress, and availability of funds.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. This program has no matching requirements. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Subject to availability funding may be awarded from one to five years with funding approved annually. Method of awarding/releasing assistance: lump sum.

Reports:

No reports are required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Recipients will be subject to the provisions of the Single Audit Act Amendments of 1996 and revised OMB Circular A-133, "Audits of States and Local Governments and Nonprofit Organizations".

Records:

Financial records and support documents and all other records, i.e., property, performance, work products, patents etc. pertinent to the agreement shall be maintained for a period of three years from the date on which the final expenditure report is submitted.

Account Identification:

13-1450-0-1-306.

Obligations:

(Formula Grants (Cooperative Agreements)) FY 12 \$10,413,889; FY 13 est \$13,029,570; and FY 14 est \$14,400,000

Range and Average of Financial Assistance:

\$35K - Undergraduate Scholarship awards
\$2.4 - \$2.6 annually Cooperative Science Centers.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: 11 Undergraduate Scholarship Program recipients selected. 2 students completed degree requirements and have been converted to career conditional appointments.
2 Graduate Sciences Program recipients were hired. Fiscal Year 2013: 11 Undergraduate Scholarship Recipients selected.
4 students completed degree requirements and converted to career conditional appointments. Fiscal Year 2014: 10 Undergraduate Scholarship Recipients selected
2 students completed degree requirements and converted to career conditional appointments.

REGULATIONS, GUIDELINES, AND LITERATURE:

For cost principles, see OMB Circular No. A-21, "Cost Principles for Educational Institutions". For grants administration requirements, see 15 CFR Part 14, "Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Nonprofit Organizations".

Regional or Local Office:

None.

Headquarters Office:

Jacqueline Rousseau National Oceanic And Atmospheric Association, Office of Education, Educational Partnership Program
1315 East-West Highway, SSMC 3, Room 10600., Silver Spring, Maryland
20910 Phone: (301) 713-9437

Website Address:

<http://www.epp.noaa.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: EPP funded Cooperative Science Centers to: Educate, train and graduate students, particularly from underrepresented communities, in NOAA mission sciences; Increase graduation rates of students from underrepresented minority communities in NOAA mission sciences; Impact NOAA and national Science, Technology, Engineering and Mathematics (STEM) workforce statistics by increasing the number of graduates from underrepresented communities in NOAA mission sciences; Contribute to NOAA's mission by strengthening and building capacity in NOAA scientific and management areas at MSIs as well as building research experience in NOAA scientific areas; and,

Leveraged NOAA funds to build the education, training and research capacity at the MSIs. Fiscal Year 2013: We anticipate funding Cooperative Science Centers to:

Educate, train and graduate students, particularly from underrepresented communities, in NOAA sciences and add new graduates to the national workforce

Increase the number of graduates from underrepresented minority communities who graduate from NOAA established and funded Science Centers at MSIs in NOAA mission sciences;

Impact NOAA and national Science, Technology, Engineering and Mathematics (STEM) workforce statistics by increasing the number of graduates from underrepresented communities in NOAA sciences;

Contribute to NOAA's mission by strengthening and building capacity in NOAA scientific and management areas at MSIs as well as building research capacity and experience in NOAA scientific areas; and,

Continue to leverage NOAA funds to build the education, training and research capacity at the MS. Fiscal Year 2014: - Conduct a comprehensive evaluation of 4 Cooperative Science Centers by an independent external panel to assess effectiveness of CSCs

- Initiate a pilot component of the Centers by selecting scholars to award 1 - 2 year fellows award.

CRITERIA FOR SELECTING PROPOSALS:

Proposals are subject to an internal administrative review and an external panel review process taking into consideration the applicant(s) performance plan, research plans, scientific merit, investigator's qualifications, cost and available funds. Proposals undergo a rigorous technical review and comply with all OMB, DoC and NOAA grant policies and procedures. Specific information about the selection process will be included in the solicitation.

11.482 CORAL REEF CONSERVATION PROGRAM

FEDERAL AGENCY:

National Oceanic and Atmospheric Administration (NOAA), Department of Commerce

AUTHORIZATION:

Coral Reef Conservation Act of 2000, 16 U.S.C 6401-6403.

OBJECTIVES:

To provide matching grants of financial assistance in support of coral reef conservation programs and projects that preserve, sustain, and restore U.S. and international coral reef ecosystems.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Awards made under this program may be used to support programmatic and project expenses related to coral reef conservation activities conducted by U.S. States and Territories, Regional Fishery Management Councils with jurisdiction over coral reefs, and International partners in four key regions, and project costs associated with research studies and conservation activities undertaken by other eligible non-governmental partner organizations in the U.S. The Coral Reef Conservation Act (16 USC6403) stipulates that at least 40% of funding must go to recipients in the Pacific and at least 40% must go to recipients in the Atlantic/Caribbean. In addition, 6403(g) requires that only projects that are consistent with the National Coral Reef Action Strategy and meet the ten specific criteria listed in that section may be funded through this program.

Applicant Eligibility:

Institutions of higher education, non-profit organizations, commercial organizations, local and Indian tribal government agencies, foreign governments, relevant Regional Fishery Management Councils (i.e., Caribbean Fishery Management Council, the Gulf of Mexico Fishery Management Council, the South Atlantic Fishery Management Council, and the Western Pacific Regional Fishery Management Council), and State, Territorial and Commonwealth resource management agencies that were appointed by their respective Governors to serve as the primary point of contact agencies for coral reef conservation activities in each of the jurisdictions of American Samoa, Florida, the Commonwealth of the Northern Mariana Islands, Guam, Hawaii, Puerto Rico, and the U.S. Virgin Islands.

Beneficiary Eligibility:

Organizations and individuals with professional expertise in managing and conserving coral reef ecosystems in the U.S. and internationally.

Credentials/Documentation:

Proposals with statements of work and estimated budgets. Costs will be determined in accordance with OMB Circulars (1) A-21 for agreements with education institutions; (2) A-87 for State and local governments; and (3) A-122 for nonprofit institutions. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Pre-applications are required for some funding categories. Environmental impact information is required for this program for projects that are not covered by the CRCPs PEA/FONSI. E.O. 12372, "Intergovernmental Review of Federal Programs" applies to some of the funding categories administered by the CRCP. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Proposals should be submitted through Grants.Gov. Applicants will need to enter the Funding Opportunity and/or CFDA number to access the application package and instructions. Application packages will then be forwarded directly to the applicable federal program. Proposals are subject to the requirements of 15 CFR Parts 14 and 24, as applicable.

Award Procedure:

Awards are made on the basis of proposals evaluations, technical reviews and administrative reviews, if applicable.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 150 to 210 days after the proposal submission deadline.

Appeals:

Not Applicable.

Renewals:

No-cost extension requests will be considered by the federal program office only if the recipient has submitted all required performance reports. At least sixty days prior to the end of the award, recipients must discuss with their federal program officer expected reprogramming and extensions requests. After preliminary negotiation on proposed projects and extensions, formal written reprogramming and extension requests should be received by the federal program office 45 days prior to the end date of the award. The federal program office will not recommend approval for any retroactive extension request (received after the end date of the award). Recipients should submit one extension request per award. With sufficient justification, the federal program office will recommend approval of a no-cost extension. Although the federal program office discourages extensions of more than 12 months, the federal program office may recommend approval in some cases. Renewal of an award to increase funding or extend the period of performance is at the total discretion of the Department.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Per the Coral Reef Conservation Act of 2000 (16 USC 6401 et seq; specifically 6403) Federal funds may not exceed 50% of the total cost of a project unless a waiver to this requirement is obtained by the applicant. Provision of a waiver is at the discretion of the CRCP Program Manager, who was delegated authority for this purpose by the NOAA Administrator. Matching funds contributed by the applicant may be comprised of cash, in-kind contributions and/or other non-cash support.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Support for projects may be provided for periods up to 3 years in annual increments. See the following for information on how assistance is awarded/released: Funds are released as required and agreed to in the Standard Terms and Conditions document applicable to each grant.

Reports:

Program reports are not applicable. Cash reports are not applicable. Progress reports are required on a semi-annual basis. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records will be retained in accordance with 15 CFR Parts 14 and 24 for institutions of higher education, other non-profit organizations, and for State and local governments.

Account Identification:

13-1450-0-1-306.

Obligations:

(Salaries) FY 12 \$4,718,964; FY 13 est \$5,831,623; and FY 14 est \$5,831,623

Range and Average of Financial Assistance:

Range: \$49,000-\$700,000

Average: \$325,946.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: The Coral Reef Conservation Program funded coral reef conservation projects proposed by State and Territorial Governments, relevant Fishery Management Councils, Coral Reef Institutes, Non-Governmental Organizations including community groups, and academic Institutions. Funded projects primarily focus on addressing three key threats to coral reef ecosystems: land-based pollution, fishing impacts, and climate change. Fiscal Year 2013: The Coral Reef Conservation Program (CRCP) intends to continue to fund projects proposed by State and Territorial Governments, Fishery Management Councils, Non-Governmental Organizations including community groups, and academic Institutions. Funded projects will focus on addressing three key threats to coral reef ecosystems including land-based pollution, fishing impacts, and climate change. Fiscal Year 2014: The Coral Reef Conservation Program (CRCP) intends to continue to fund projects proposed by State and Territorial Governments, Fishery Management Councils, Non-Governmental Organizations including community groups, and academic Institutions. Funded projects are expected to focus on addressing three key threats to coral reefs including land-based pollution, fishing impacts, and climate change.

REGULATIONS, GUIDELINES, AND LITERATURE:

Allowable costs will be determined in accordance with OMB Circular A-87 for State and local governments and Indian Tribes; OMB Circular A-122 for nonprofit and for-profit organizations; OMB Circular A-21 for institutions of higher education; and 48 CFR Part 31 for commercial organizations. Financial assistance management will be in accordance with 15 CFR Part 14 for institutions of higher education, hospitals, and other non-profit and commercial organizations, and with 15 CFR Part 24 for state and local governments. Further guidelines are outlined in Department Administrative Order 203-36, dated May 15, 1985 (Department of Commerce Grants Administration) and also the NOAA Grants and Cooperative Agreement Policy Manual. The CRCP Grant Program Implementation Guidelines are also applicable to these awards. The guidelines were first published in 2002; final revised guidelines that reflect the restructured CRCP grant program will be published in the June 2010 Omnibus FRN.

Regional or Local Office:

None. John Christensen, Program Manager, NOAA Coral Reef Conservation Program, 1305 East-West Hwy, SSMC4-N/ORM1, Silver Spring, MD 20910.

(301) 713-3155. Jenny Waddell, Grants and External Funding Coordinator and CRCP Federal Program Officer, NOAA Coral Reef Conservation Program, 1305 East-West Hwy, SSMC4-N/ORM1, Silver Spring, MD 20910. (301) 713-3155. Jennifer Koss, Federal Program Officer, NOAA Coral Reef Conservation Program and NMFS Office of Habitat Conservation, 1315 East-West Hwy, SSMC4-N/ORM1, Silver Spring, MD 20910. (301) 713-4300.

Headquarters Office:

Jenny Waddell, 1305 East-West Hwy, SSMC4-N/ORM1, Silver Spring, Maryland 20910 Phone: (301) 713-3155.

Website Address:

<http://coralreef.noaa.gov>

RELATED PROGRAMS:

11.419 Coastal Zone Management Administration Awards; 11.426 Financial Assistance for National Centers for Coastal Ocean Science; 11.430 Undersea Research; 11.441 Regional Fishery Management Councils; 11.463 Habitat Conservation

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: All funding is directed toward supporting the Coral Reef Conservation Programs Goals and Objectives and International Strategy as outlined at: <http://coralreef.noaa.gov/aboutcrp/strategy/currentgoals/>. Projects in FY12 supported a variety of activities, including efforts focused on erosion control measures, marine protected area management, watershed management plans, reef user education programs, coral disease issues, invasive reef species, fishing impacts to reefs, and regional conservation efforts. For a list of funded projects, see: http://coralreef.noaa.gov/aboutcrp/workwithus/funding/grants/resources/fy12_grant_awards.pdf. Fiscal Year 2013: Projects in FY13 will continue to support the Coral Reef Conservation Programs Goals and Objectives and International Strategy as outlined at: <http://coralreef.noaa.gov/aboutcrp/strategy/currentgoals/>. Funded projects are expected to focus on key threats to coral reef ecosystems and the specific local coral reef management priorities identified in collaboration with state and territorial partners. For information about these priorities, please see: <http://coralreef.noaa.gov/aboutcrp/strategy/reprioritization/managementpriorities/>. Fiscal Year 2014: Projects in FY14 will continue to support the Coral Reef Conservation Programs Goals and Objectives and International Strategy as outlined at: <http://coralreef.noaa.gov/aboutcrp/strategy/currentgoals/>. Funded projects are expected to focus on key threats to coral reef ecosystems and the specific local coral reef management priorities identified in collaboration with state and territorial partners. For information about these priorities, please see: <http://coralreef.noaa.gov/aboutcrp/strategy/reprioritization/managementpriorities/>.

CRITERIA FOR SELECTING PROPOSALS:

Proposals are subject to office evaluations, technical reviews, administrative reviews, and externals reviews, if applicable. Recommendations for funding to the selecting official take into consideration factors such as scientific/technical merit, applicability to the goals of NOAA CRCP and jurisdictional partners, direct benefit to coral reef resources and ecosystems, past performance of the recipient, cost-effectiveness, adequacy of the project implementation plan, and availability of matching funds, as described in annual Federal Funding Opportunity notices for each competition.

11.483 NOAA PROGRAMS FOR DISASTER RELIEF APPROPRIATIONS ACT - NON-CONSTRUCTION AND CONSTRUCTION

FEDERAL AGENCY:

National Oceanic and Atmospheric Administration (NOAA), Department of Commerce

AUTHORIZATION:

Disaster Relief Appropriations Act of 2013, Public Law 113-2.

OBJECTIVES:

1. Protect, Restore, and Manage the Use of Coastal and Ocean Resources through an Ecosystem Approach to Management;
2. Understand Climate Variability and Change to Enhance Society's Ability to Plan and Respond;

3. Serve Society's Needs for Weather and Water Information.

TYPES OF ASSISTANCE:

Cooperative Agreements; Project Grants

USES AND USE RESTRICTIONS:

Mapping, charting, geodesy services marine debris surveys for coastal States impacted by Hurricane Sandy;
Repair and replace ocean observing and coastal monitoring assets damaged by Hurricane Sandy;
Provide technical assistance to support State assessments of coastal impacts of Hurricane Sandy;
Improve weather forecasting and hurricane intensity forecasting capabilities, to include data assimilation from ocean observing platforms and satellites;
Laboratories and cooperative institutes research activities associated with sustained observations weather research programs, and ocean and coastal research; and
Necessary expenses related to fishery disasters during calendar year 2012 that were declared by the Secretary of Commerce as a direct result of impacts from Hurricane Sandy. Funds must be expended within 24 months of award date unless an OMB waiver is approved. Funds are not directed to specific recipients.

Applicant Eligibility:

Eligible applicants include public or private profit or not-for-profit organizations, institutions of higher education, state, local and Indian tribal governments.

Uses of assistance include: Mapping, charting, geodesy services marine debris surveys for coastal States impacted by Hurricane Sandy;
Repair and replace ocean observing and coastal monitoring assets damaged by Hurricane Sandy;
Provide technical assistance to support State assessments of coastal impacts of Hurricane Sandy;
Improve weather forecasting and hurricane intensity forecasting capabilities, to include data assimilation from ocean observing platforms and satellites;
Laboratories and cooperative institutes research activities associated with sustained observations weather research programs, and ocean and coastal research;
Necessary expenses related to fishery disasters during calendar year 2012 that were declared by the Secretary of Commerce as a direct result of impacts from Hurricane Sandy.

Beneficiary Eligibility:

Entities impacted by Hurricane Sandy in 2012; entities benefitting from other activities funded through the Act.

Credentials/Documentation:

Applicants for funding will be required to submit documentation responding to requirements developed and published by the Department of Commerce. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. See Federal Funding Opportunity (FFO) that will be developed and published by the Department of Commerce after the CFDA program is published.

Award Procedure:

See Federal Funding Opportunity (FFO) that will be developed and published by the Department of Commerce after the CFDA program is published.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

24 months. See the following for information on how assistance is awarded/released: See Federal Funding Opportunity (FFO) that will be developed and published by the Department of Commerce after the CFDA program is published.

Reports:

Yes, quarterly. See Federal Funding Opportunity (FFO) that will be developed and published by the Department of Commerce after the CFDA program is published. Yes, see Federal Funding Opportunity (FFO) that will be developed and published by the Department of Commerce after the CFDA program is published. Yes, see Federal Funding Opportunity (FFO) that will be developed and published by the Department of Commerce after the CFDA program is published. Yes, see Federal Funding Opportunity (FFO) that will be developed and published by the Department of Commerce after the CFDA program is published. Yes, see Federal Funding Opportunity (FFO) that will be developed and published by the Department of Commerce after the CFDA program is published.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

See Federal Funding Opportunity (FFO) that will be developed and published by the Department of Commerce after the CFDA program is published.

Account Identification:

13-1450-0-1-306; 13-1460-0-1-306.

Obligations:

(Project Grants (Cooperative Agreements)) FY 12 \$0; FY 13 est \$51,350,000; and FY 14 est \$0

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Yes, see Federal Funding Opportunity (FFO) that will be developed and published by the Department of Commerce after the CFDA program is published.

Regional or Local Office:

None. National Ocean Service - Jane Piercy, N/MB3, 1305 East-West Highway, SSMC4, #13267, Silver Spring, MD 20910, Jane.Piercy@NOAA.Gov, Phone: 301-713-3050, Extension 161
National Marine Fisheries Service - Dan Namur, F/MB, 1315 East-West Highway, SSMC3, #14358, Silver Spring, MD 20910, dan.namur@noaa.gov, Phone: 301-427-8730
National Weather Service - Carla Kirby, W/CFO2, 1325 East-West Highway, SSMC2, #18390, Silver Spring, MD 20910, Carla.Kirby@noaa.gov, Phone: 301-713-0420, Extension 113
National Environmental Satellite, Data, and Information Service, Ingrid Guch, E/RA, 5830 University Research Court, #2612, College Park, MD 20740, Ingrid.Guch@noaa.gov, Phone: 301-683-3510
Office of Oceanic and Atmospheric Research - Brenda Alford, R/CFO5, 1315 East-West Highway, SSMC3, #11618, Silver Spring, MD 20910,

Brenda.Alford@noaa.gov, Phone: 301-734-1174.

Headquarters Office:

Jane Piercy N/MB3, 1305 East-West Highway, SSMC4, #13267, Silver Spring, Maryland 20910 Email: jane.piercy@noaa.gov Phone: 301-713-3050 ext 161

Website Address:

http://www.noaa.gov

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

See Federal Funding Opportunity (FFO) that will be developed and published by the Department of Commerce after the CFDA program is published.

11.549 STATE AND LOCAL IMPLEMENTATION GRANT PROGRAM (SLIGP)

FEDERAL AGENCY:

National Telecommunications and Information Administration, Department of Commerce

AUTHORIZATION:

The Middle Class Tax Relief and Job Creation Act of 2012, Public Law 112-96.

OBJECTIVES:

To assist State, regional, tribal, and local jurisdictions to identify, plan, and implement the most efficient and effective way for such jurisdictions to utilize and integrate the infrastructure, equipment, and other architecture associated with the nationwide public safety broadband network to satisfy the wireless communications and data services needs of that jurisdiction, including with regards to coverage, siting, and other needs.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Provides grants to states to assist them, in collaboration with regional, tribal, and local jurisdictions, with activities related to planning for the establishment of a national wide public safety broadband network. The grants will not be used for activities related to broadband deployment, construction, or the acquisition of equipment used to provision wireless broadband services, including for long-term evolution (LTE) related activities.

Applicant Eligibility:

1. Grants will be awarded to the 56 States and Territories.
2. The grants will be used to assist applicants with activities related to planning for the establishment of a nationwide public safety broadband network.
3. The State must designate a single officer or governmental body to serve as the coordinator of the grant funds and to determine the method of consultation between the First Responder Network Authority and the State.

Beneficiary Eligibility:

Indirect beneficiaries of the grants are police officers, fire fighters, emergency medical professionals and other public safety officials, as well as the general public, who will receive improved communications capabilities from the creation of the single, nationwide interoperable public safety broadband network that these grants will facilitate.

Credentials/Documentation:

OMB Circular No. A-87 is required. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. The designee must apply for

grant funding through the Grants.gov online system when the application window opens.

Award Procedure:

Each application will be reviewed by NTIA for completeness and adherence to programmatic guidelines. If submissions do not meet the requirements, the application will be returned to the submitting State or Territory and funds will be withheld until the applicant meets all requirements. Upon approval of the application, the grants will be awarded to the State. Grantees can draw down and expend funds through the Automated Standard for Application for Payment (ASAP) system.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Award payments are generally available within 90 days of application approval.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Percent: 20%. The non-federal share of the cost is 20 percent for any activity carried out under the grant. The Assistant Secretary may waive, in whole or in part, the matching requirement for good cause shown upon determining that such a waiver is in the public interest.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The grant period of performance will be three years. Payment and Reporting System payments are generally within 90 days. See the following for information on how assistance is awarded/released: Payments under the award will be made using the Department of Treasury's Automated Standard Application for Payment (ASAP) system.

Reports:

Program reports are not applicable. Cash reports are not applicable. Department of Commerce Standard Terms and Conditions require the submission of Performance (Technical) Reports on a semi-annual basis. Department of Commerce Standard Terms and Conditions require the submission of Financial Status Reports (SF-269) on a semi-annual basis. Department of Commerce Standard Terms and Conditions require the submission of Performance (Technical) Reports on a semi-annual basis.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. OMB Circular A-133 is applicable.

Records:

Grant recipient must retain records for three years after award closeout.

Account Identification:

13-0516-0-1-376.

Obligations:

(Project Grants) FY 12 Not Available; FY 13 est \$121,439,089; and FY 14 Estimate Not Available - TBD.

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: N/A

Program was under development. Fiscal Year 2013: Applications received and awards to be announced. Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Michael E. Dame Office of Public Safety Communications
U.S. Department of Commerce
National Telecommunications and Information Administration
1401 Constitution Avenue, NW
Room 7324, Washington, District of Columbia 20230 Email:
mdame@ntia.doc.gov Phone: 202-482-1181

Website Address:

http://www.ntia.doc.gov

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

11.558 STATE BROADBAND DATA AND DEVELOPMENT GRANT PROGRAM

FEDERAL AGENCY:

National Telecommunications and Information Administration, Department of Commerce

AUTHORIZATION:

The Broadband Data Services Improvement Act, Public Law No. 110-385, 122 Stat. 4100 (October 10, 2008) and The American Recovery and Reinvestment Act of 2009, Public Law No. 111-5.

OBJECTIVES:

To establish a competitive grant program to develop and implement statewide initiatives to identify and track the availability and adoption of broadband services within each State.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Funding under the State Broadband Data and Development Grants Program will be awarded to provide for the collection of mapping data and the creation of a geographic broadband inventory map, within each state, so as to promote the development and maintenance of a comprehensive nationwide inventory map of existing broadband service capability and availability in the United States.

Though priority will be given to mapping-related uses, other uses provided for by the statute include: identification and tracking of low broadband deployment levels, broadband adoption rates, and possible broadband suppliers; identification of barriers to adoption of broadband and related technology services; identification of broadband connection speeds; creation and facilitation of technology planning teams; facilitation of collaborations between providers and information technology companies to encourage broadband deployment and use; establishment of programs to improve computer ownership and Internet access; collection and analysis of market data on broadband use and demand; and facilitation of information exchange between public and private sectors regarding broadband use and demand. Up to \$350 million.

Applicant Eligibility:

None.

Beneficiary Eligibility:

None.

Credentials/Documentation:

Applicants must certify that the organization is in compliance or will comply with the Department of Commerce requirements as provided in the Notice of Funds Availability (NOFA) and as included in the standard application and that they have been designated by the State as the single eligible entity designated by the State to receive a grant under this Program. OMB Circular No. A-87

applies to this program.

Preapplication Coordination:

The program is no longer accepting applications. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. The standard application forms as approved by OMB and provided by NTIA must be used for this program, including the Standard Form 424 (Rev 4-92) and the required certifications.

Award Procedure:

Applications were reviewed on the basis of funding criteria as established in the NOFA. The Secretary of Commerce, or its designate, may select an application for funding, in whole or in part, and would notify the applicant of final decisions.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 60 to 90 days. The Secretary of Commerce, or its designate, shall select and publish in the NOFA the date by which new applications must be filed in order to be considered for funding in the referenced fiscal year.

Awards for the Program will be announced within 60 days from the filing of an application.

Appeals:

All award decisions are final. There is no administrative appeal process.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Percent: 20%. Public Law 110-385-Oct. 10, 2008.

To be eligible to receive a grant an eligible entity shall contribute matching non-Federal funds in an amount equal to not less than 20 percent of the total amount of the grant.

This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

Successful applicants will implement their initiatives in the most efficient and expeditious manner practicable while complying with all applicable Federal, state and local laws and accounting for grant funds in an appropriate manner. The actual time will vary depending on the complexity of a particular project, although all projects must be completed before such deadline as the Secretary of Commerce, or its designate, shall select and publish in the NOFA. No-cost extensions may be requested in writing if circumstances require additional time, and extensions must be approved by the Grants Officer. Financial assistance is generally released as required during the award. See the following information on how assistance is awarded/released. See the following for information on how assistance is awarded/released: Financial assistance is generally released as required during the award.

Reports:

Progress reports are to be submitted. Financial reports are to be submitted. In accordance with the terms and conditions of the award and as required pursuant to section 1512 of the American Recovery and Reinvestment Act of 2009, Public Law No. 111-5. Grant recipients are also required to make such reports available for posting on the Department of Commerce website. Grant recipients shall provide collected data of such type and in such format as required in the NOFA and shall make such data available to the Department of Commerce, or its designate, for processing and posting on the Internet. The NTIA Assistant Secretary may establish additional reporting and information requirements.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audits will be conducted in accordance with the terms and conditions of the award and OMB Circular No. A-133, Audits of States, Local Governments, and Non-Profit Organizations.

Records:

All financial and programmatic records, supporting documents, statistical reports and other records of recipients or subrecipients must be maintained in accordance with the terms of the award. Generally, the recipient must retain records for three years from the date on which the final expenditure report is submitted.

Account Identification:

13-0554-0-1-376.

Obligations:

(Project Grants) FY 12 \$0; FY 13 est \$0; and FY 14 Estimate Not Available

Range and Average of Financial Assistance:

To be determined.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

The application package containing the NOFA, application forms and guidelines can be obtained at www.ntia.doc.gov/recovery. Cost will be determined in accordance with OMB Circular Nos. A-21 for institutions of higher education, A-87 for State and local governments, and with A-122 for nonprofit organizations. Grants management will be in accordance with the provisions of OMB Circular No. A-110 for institutions of higher education, other nonprofit organizations, and for-profit organizations; and 15CFR Part 24 for State and local governments.

Regional or Local Office:

None.

Headquarters Office:

Anne Neville National Telecommunications and Information Administration, Room 4887, Department of Commerce, 1401 Constitution Avenue NW, Washington, District of Columbia DC 20230 Email: aneville@ntia.doc.gov Phone: (202) 482-5802

Website Address:

<http://www.ntia.doc.gov/broadbandgrants>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

In determining whether to approve an application, in whole or in part, and the amount of the grant, the Secretary of Commerce, or its designate, will consider the projects conformance with the Program purposes and funding criteria set forth in the statute and the NOFA.

11.609 MEASUREMENT AND ENGINEERING RESEARCH AND STANDARDS**FEDERAL AGENCY:**

National Institute of Standards and Technology (NIST), Department of Commerce

AUTHORIZATION:

National Institute of Standards and Technology (NIST) Act, 15 U.S.C 271 - 282a; Trade Act, 19 U.S.C 2343 - 45.

OBJECTIVES:

To provide scientific research and technology transfer for measurement and engineering research and standards.

TYPES OF ASSISTANCE:

Cooperative Agreements; Project Grants

USES AND USE RESTRICTIONS:

Grant money will be used to further scientific research and technology transfer, in areas of fire research, building research, precision measurement, automation manufacturing, materials science, chemistry, physics, biotechnology, electronics, optical technologies, mathematics, neutron research, nanoscale science and technology, manufacturing engineering, communications and information science and technology and other areas of specific research. Under the Recovery Act, research grants and cooperative agreements will be used to support measurement science and engineering research proposals in the following six focus areas: energy, environment and climate change, information technology/cybersecurity, biosciences/healthcare, manufacturing, and physical infrastructure.

Applicant Eligibility:

Institutions of higher education (e.g. universities and colleges), professional institutes and associations, nonprofit organizations, State and local governments, laboratories, and commercial organizations.

Beneficiary Eligibility:

Universities, colleges, professional institutes and associations, nonprofit organizations, State and local governments, and commercial organizations.

Credentials/Documentation:

Applicants must have and demonstrate scientific competence in areas of research for which grants are requested. Costs will be determined in accordance with applicable cost principles found at 2 CFR Part 220, Cost Principles for Education Institutions (OMB Circular A-21), 2 CFR Part 225, Cost Principles for State, Local and Indian Tribal Governments (OMB Circular A-87), 2 CFR Part 230, Cost Principles for Non-profit Organizations (OMB Circular A-122), 48 CFR 31 for Commercial Organizations, 45 CFR 74, Appendix E for Hospitals. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applicants should reply to notices published as Federal funding opportunities at www.grants.gov.

Award Procedure:

Award process based upon published selection criteria.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Regular programs approval times are from 6 to 9 months. Recovery Act programs approval/disapproval times are approximately 3 months.

Appeals:

Not Applicable.

Renewals:

Future or continued funding will be at the discretion of NIST, based on such factors as satisfactory performance and the availability of funds. Renewals are competed whereas continuations of multi-year agreements are not subject to further competition.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Usually one to five years in length. Recovery Act awards are from one to three

years. See the following for information on how assistance is awarded/released:
Automated Standard Application for Payment System (ASAP).

Reports:

Semi-annual and final program and financial status reports are required; unless specified in award document. For Recovery Act, reporting requirements are quarterly. Semi-annual and final program and financial status reports are required; unless specified in award document. For Recovery Act, reporting requirements are quarterly. Semi-annual and final program and financial status reports are required; unless specified in award document. For Recovery Act, reporting requirements are quarterly. Semi-annual and final program and financial status reports are required; unless specified in award document. For Recovery Act, reporting requirements are quarterly. Semi-annual and final program and financial status reports are required; unless specified in award document. For Recovery Act, reporting requirements are quarterly.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

All records of accounts and supporting documents will be retained by recipient and subcontractors for a period of three years from the date of submission of final expenditure report.

Account Identification:

13-0500-0-1-376; 13-0549-0-1-376 - American Recovery Act;
13-0525-0-1-376; 13-4650-0-4-376; 13-0515-0-1-376 - American Recovery Act.

Obligations:

(Cooperative Agreements) FY 12 Not Available; FY 13 Estimate Not Available; and FY 14 Estimate Not Available - American Recovery Act. Assistance Type also includes PROJECT GRANTS/Project Grants. (Cooperative Agreements) FY 12 \$88,402,000; FY 13 est \$88,951,000; and FY 14 est \$131,071,000 - Assistance Type also includes PROJECT GRANTS/Project Grants.

Range and Average of Financial Assistance:

Dependent upon nature and type of grant.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: 415 grants, cooperative agreements, and amendments were funded in fiscal year 2012. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 15 CFR 24 and 15 CFR 14.

Regional or Local Office:

None.

Headquarters Office:

Leon Sampson 100 Bureau Drive, Mail Stop 1650
, Gaithersburg, Maryland 20899 Email: leon.sampson@nist.gov Phone:
301-975-3086

Website Address:

<http://www.nist.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria vary with competition. Specific criteria listed in the Federal Funding Opportunities posted at www.grants.gov.

11.611 MANUFACTURING EXTENSION PARTNERSHIP

FEDERAL AGENCY:

National Institute of Standards and Technology (NIST), Department of Commerce

AUTHORIZATION:

Omnibus Trade and Competitiveness Act of 1988, 15 U.S.C 278K; America Competes, Public Law 110-69.

OBJECTIVES:

To establish, maintain, and support manufacturing extension centers and services, the functions of which are to improve the competitiveness of firms accelerating the usage of appropriate manufacturing technology by smaller U.S. based manufacturing firms, and partner with the States in developing such technical assistance programs and services for their manufacturing base.

TYPES OF ASSISTANCE:

Cooperative Agreements; DISSEMINATION OF TECHNICAL INFORMATION

USES AND USE RESTRICTIONS:

Federal funding provided under this program shall be used for the creation and support of manufacturing extension services, or used by the States to plan for and pilot test state-wide extension services. It may also be used to plan for and pilot test services within a multi-state region which has sufficient regional linkages to justify such services. Extension service providers shall be affiliated with a U.S. based nonprofit institution or organization or group thereof. Funds may be used for the purpose of demonstrations, technology deployment, active transfer and dissemination of research findings and extension service expertise to a wide range of companies and enterprises, especially small manufacturers with fewer than 500 employees. Congressional funding has been provided annually since fiscal year 1988 (under Manufacturing Technology Centers and the State Technology Extension Program, which were combined into the Manufacturing Extension Partnership in fiscal year 1993).

Applicant Eligibility:

For extension services, eligible applicants shall be U.S.-based nonprofit institutions or organizations or groups thereof. Applicants must contribute 50 percent or more of the proposed service's capital, annual operating and maintenance costs. At least 50 percent of the applicant's share must be as cash or full-time personnel. For extension service planning and pilot services agreements, eligible applicants shall be State governments and State affiliated nonprofit organizations. For multi-state regional planning and pilot services agreements, eligible applicants shall be State and local governments, representing either themselves or a consortium of States, and appropriate private or public nonprofit organizations, operating on behalf of a consortium of States or as a representative of States.

Beneficiary Eligibility:

Beneficiary shall be U.S.-based manufacturing firms, especially smaller companies.

Credentials/Documentation:

An applicant for manufacturing extension centers must submit a proposal in accordance with 15 CFR 290, which provides assurances that they will contribute 50 percent or more of the proposed center's capital, annual operating and maintenance costs unless otherwise indicated in formal program announcements. Proposals also must contain sufficient information regarding management, technical, and technology strengths sufficient for a formal merit review of the proposal. Applicants must have and demonstrate competence in areas for which financial assistance is requested. Costs will be determined in accordance with applicable cost principles found in 2 CFR Part 225 for State and local governments, 2 CFR Part 220 for educational institutions, 2 CFR Part 230 for nonprofit organizations, 48 CFR 31 for commercial organizations, and 45 CFR 74, Appendix E for hospitals. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

The extension service planning and pilot testing portion of this program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs". An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. For manufacturing extension centers, no

formal preapplication is required, although applicants are advised to discuss their proposal during the early stages of development with NIST MEP regional managers. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applicants must submit proposals in accordance with deadlines and other details as published in formal program announcements including Standard Form 424 and Commerce Department Form 511. Applicants for manufacturing extension centers must follow the format set out in 15 CFR 290.

Award Procedure:

All timely submitted and qualified proposals are reviewed by a NIST selection panel. For proposals for manufacturing extension centers, the finalists' proposals are selected on the basis of NIST evaluations and judgments based on published evaluation criteria, and may undergo a site visit.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. Applicants should be notified within 60 to 90 days of the application deadline for extension service planning/pilot testing agreements, and 120 days for manufacturing extension centers.

Appeals:

Not Applicable.

Renewals:

Future or continued funding will be based on availability of funds, and will be based on satisfactory performance, and may be subject to competition.

Formula and Matching Requirements:

Statutory Formula: Chapter 15, Part 290. A State may be required to provide adequate assurances that it will increase its spending on technology extension by an amount at least equal to the amount of Federal assistance requested. For manufacturing extension centers, the program has no statutory formula.

Matching Requirements: For years 1-3, applicants must provide at least 50 percent of the capital, annual operating and maintenance funds required to create and maintain the center. For year 4, applicants must provide 60 percent, and 66.7 percent for year 5 and beyond. Funds may be provided by any non-Federal source. A minimum of 50 percent of the applicant's share must be as cash or full-time personnel.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

For extension service planning and pilot-testing agreements, one year. For manufacturing extension centers, duration of support is based upon positive evaluation every two years, and availability of funds. See the following for information on how assistance is awarded/released: On a reimbursable basis as expenses are incurred by the center.

Reports:

Quarterly progress and financial reports are required. Each request for reimbursement must be accompanied by a report of applicant's cost-share contribution. Quarterly progress and financial reports are required. Each request for reimbursement must be accompanied by a report of applicant's cost-share contribution. Quarterly progress and financial reports are required. Each request for reimbursement must be accompanied by a report of applicant's cost-share contribution. Quarterly progress and financial reports are required. Each request for reimbursement must be accompanied by a report of applicant's cost-share contribution. Each awardee receives an annual performance review prior to renewal of federal funding. In addition, 15 USCS 278k requires each awardee to be reviewed by an external panel during years three and six and every two years thereafter.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit

conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

All financial and programmatic records, supporting documents, statistical reports, and other records of awardees or subawardees are required to be maintained by the terms of the agreement. The awardees must retain records for 3 years after completion of the project or submission of the final financial report, whichever is later, and be readily available for inspection and audit.

Account Identification:

13-0525-0-1-376.

Obligations:

(Cooperative Agreements) FY 12 \$129,055,000; FY 13 est \$134,960,000; and FY 14 est \$129,307,000 - Dissemination of Technical Information.

Range and Average of Financial Assistance:

Individual awards for extension service planning and pilot testing agreements should range between \$25,000 and \$100,000. Awards for manufacturing extension centers fall in the range of \$200,000 to \$6,500,000 annually.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Each year the program tracks the impact of the MEP system. The most recent data based on services provided in FY 2011 have MEP clients reporting significant impacts, including:

New Sales	\$2.5 billion
Retained Sales	\$4.1 billion
Cost Savings	\$900 million
New Client Investment	\$2.5 billion
Jobs Created	18,069
Jobs Retained	43,070.

The MEP network continues to use and expand partnerships and connections to work with companies to stabilize operations, diversify their customers, and create new business plans for moving towards a stronger future saving jobs and helping firms identify new strategies for innovation and growth. The MEP continues to play an important role in the Administrations efforts to strengthen U.S. manufacturing, as evidenced in the various advanced manufacturing and Make it in America initiatives. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Program regulations are codified at 15 CFR 290-92. A Federal Register Notice announcing the request for proposals from qualified organizations will be published when funds are available. This program is subject to the provisions of 15 CFR 24 and 15 CFR 14.

Regional or Local Office:

None.

Headquarters Office:

Aimee Dobrzeniecki 100 Bureau Drive Stop 4800, Gaithersburg, Maryland 20899-4800 Email: aimee.dobrzeniecki@nist.gov Phone: (301) 975-8322

Website Address:

<http://www.mep.nist.gov>.

RELATED PROGRAMS:

11.303 Economic Development_Technical Assistance; 43.002 Technology Transfer; 59.037 Small Business Development Centers

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Proposals are selected on the basis of merit. Selection criteria are published in the Federal Funding Opportunity, and for manufacturing extension centers, appear in 15 CFR 290.

11.616 TECHNOLOGY INNOVATION PROGRAM (TIP) (TIP)

FEDERAL AGENCY:

National Institute of Standards and Technology (NIST), Department of Commerce

AUTHORIZATION:

The America Creating Opportunities to Meaningfully Promote Excellence in Technology, Education, and Science (COMPETES) Act, Section 3012, Public Law 110-69, 15 U.S.C 278n.

OBJECTIVES:

Technology Innovation Program (TIP), is a cost-shared federal financial assistance program to support, promote, and accelerate innovation in the United States through high-risk, high-reward research in areas of critical national need.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

Single small or medium sized company recipients can receive up to a total of \$3 million in TIP funding for R and D activities spanning up to 3 years. TIP funds may only be used to pay direct costs. Single company recipients are responsible for funding all of their indirect/overhead costs. Small and medium sized companies applying as single company proposers must cost share at least 50 percent of the yearly total project costs (direct plus all of the indirect costs). A large-sized company is not eligible to apply for TIP funding. Joint venture recipients can receive up to a total of \$9 million for R and D activities spanning up to 5 years. TIP funds may only be used to pay direct costs. Joint ventures are responsible for funding all of their indirect/overhead costs. Joint ventures must cost share at least 50 percent of the yearly total project costs (direct plus all of the indirect costs). A joint venture is a business arrangement that includes (1) at least two separately owned small-sized or medium-sized for-profit companies that are both substantially involved in the project and both of which are contributing to the cost-sharing requirement, with the lead entity of the joint venture being an eligible company as defined in the TIP regulations (15 CFR Part 296); or (2) at least one eligible company and one institution of higher education or other organization, such as a national laboratory, governmental laboratory (not including NIST), or nonprofit research institute, that are both substantially involved in the project and both of which are contributing to the cost sharing requirement, with the lead entity of the joint venture being either the eligible company or the institution of higher education.

Applicant Eligibility:

A U.S.-owned, single, small-sized or medium-sized company doing a majority of its business in the United States or a joint venture may apply for TIP funding. Members of joint ventures that are companies must also be doing a majority of their business in the United States. In addition, a company incorporated in the United States that has a parent company incorporated in another country may apply as either a single applicant or a joint venture member provided that certain requirements are met. Institutions of higher education, national laboratories, governmental laboratories (not including NIST), and nonprofit research institutions may also participate as a member of a joint venture.

Beneficiary Eligibility:

A U.S.-owned, single, small-sized or medium-sized company doing a majority of its business in the United States or a joint venture may apply for TIP funding. Members of joint ventures that are companies must also be doing a majority of their business in the United States. In addition, a company incorporated in the United States that has a parent company incorporated in another country may apply as either a single applicant or a joint venture member provided that certain requirements are met. Institutions of higher education, national laboratories, governmental laboratories (not including NIST), and nonprofit research institutions may also participate as a member of a joint venture.

Credentials/Documentation:

Proposals require statements of work and detailed budgets. Costs will be determined in accordance with applicable federal cost principles found in OMB Circular Nos. A-21 for institutions of higher education, A-122 for nonprofit organizations, 48 C.F.R. 31 for commercial organizations, and 45 C.F.R. 74, Appendix E for hospitals. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact

information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Proposals are submitted in response to formal competition announcements and requests for proposals published in the Federal Register and Grants.gov. Proposals must be prepared in accordance with the instructions in the TIP Proposal Preparation Kit.

Award Procedure:

Competitive award process based upon published evaluation and award criteria.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days.

Appeals:

Not Applicable.

Renewals:

TIP awards may be renewed within the statutory time limitation based on satisfactory performance and availability of funds.

Formula and Matching Requirements:

Statutory Formula: Chapter 15, Part 296.

Matching Requirements: Percent: 50%. Recipients must cost share at least 50 percent of the yearly total project costs (direct plus all of the indirect costs). This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Single recipients may receive TIP funding for up to 3 years. Joint venture recipients may receive funding for up to 5 years. See the following for information on how assistance is awarded/released: Automated Standard Application for Payment System (ASAP).

Reports:

Quarterly technical performance, impact assessment, and financial reports. Quarterly and financial reports. Quarterly technical performance, impact assessment reports. Quarterly financial reports. Quarterly technical performance and impact assessment reports.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audits of all recipients shall be conducted in accordance with Government Auditing Standards (GAS) issued by the Comptroller General of the United States (the Yellow Book). TIP recipients covered under OMB Circular No. A-133, Audits of States, Local Governments, and Nonprofit Organizations, should have an audit performed in accordance with the requirements of the OMB Circular.

Records:

All financial and programmatic records are required to remain available to the federal government for 3 years from the date of submission of the final financial status report.

Account Identification:

13-0525-0-1-376.

Obligations:

(Project Grants (Cooperative Agreements)) FY 12 \$4,361,000; FY 13 est \$1,414,000; and FY 14 est \$1,074,000

Range and Average of Financial Assistance:

New program; therefore, no range and average available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: At the end of FY 2012, there were 41 active projects. Fiscal

Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Implementing regulations are published at 15 C.F.R. Part 296. The TIP Proposal Preparation Kit is available at www.nist.gov/tip/helpful.html. This program is also subject to the provisions of 15 C.F.R. Part 14.

Regional or Local Office:

See Regional Agency Offices. Technology Innovation Program, National Institute of Standards and Technology, 100 Bureau Drive, Stop 4701, Gaithersburg, MD 20899-4701. Telephone: 1 (888) 847-6478. Fax: (301) 926-9524. Email: tip@nist.gov.

Headquarters Office:

Karen Williams 100 Bureau Drive
Admin Bldg Room A329, Gaithersburg, Maryland 20899 Email:
karen.williams@nist.gov Phone: 301-975-4429

Website Address:

www.nist.gov/tip.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Proposals are selected for funding based on the evaluation criteria listed in 15 C.F.R. 296.21 and the award criteria listed in 15 C.F.R. 296.22. Additionally, no proposal will be funded unless TIP determines that it has scientific and technical merit and that the proposed research has strong potential for addressing a societal challenge within the TIP-identified areas of critical national need.

11.617 CONGRESSIONALLY-IDENTIFIED PROJECTS

FEDERAL AGENCY:

National Institute of Standards and Technology (NIST), Department of Commerce

AUTHORIZATION:

Science, State, Justice, Commerce, and Related Agencies Appropriations Act.

OBJECTIVES:

To assist various organizations identified by Congress to achieve objectives specified by Congress.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Assistance is provided to implement specific projects identified by Congress.

Applicant Eligibility:

Organizations specifically identified by Congress in agency appropriations legislation.

Beneficiary Eligibility:

Beneficiaries are those served by the organizations receiving awards.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Submit all standard forms (SF-424 Series Forms), project proposal and budget following the format specified by the Department of Commerce.

Award Procedure:

Applications are reviewed by NIST and awards are issued by the Grants and Agreements Management Division.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Renewals are contingent upon future Congressional funding.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Not applicable. See the following for information on how assistance is awarded/released: Automated Standard Application for Payment System (ASAP).

Reports:

Financial and progress reports are to be submitted in accordance with the terms and conditions of the award. Financial reports are to be submitted in accordance with the terms and conditions of the award. Financial status reports (SF-269) are submitted on a quarterly basis. Progress reports are to be submitted in accordance with the terms and conditions of the award. Financial reports are to be submitted in accordance with the terms and conditions of the award. Financial status reports (SF-269) are submitted on a quarterly basis. Performance reports are submitted on a quarterly basis.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Commercial organizations shall be subject to the audit requirements as stipulated in the award document.

Records:

All financial and programmatic records, supporting documents, statistical reports, and other records of recipients or sub-recipients must be maintained in accordance with the terms of the award. Generally, the recipient must retain records for three years from the date on which the final expenditure report is submitted to NIST.

Account Identification:

13-0500-0-1-376.

Obligations:

(Project Grants (Cooperative Agreements)) FY 12 \$0; FY 13 est \$0; and FY 14 est \$0

Range and Average of Financial Assistance:

From \$900,000 to \$20,000,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Costs will be determined in accordance with applicable cost principles found at 2 CFR Part 220, Cost Principles for Education Institutions (OMB Circular A-21), 2 CFR Part 225, Cost Principles for State, Local and Indian Tribal Governments (OMB Circular A-87), 2 CFR Part 230, Cost Principles for Non-profit Organizations (OMB Circular A-122), 48 CFR 31 for Commercial Organizations, 45 CFR 74, Appendix E for Hospitals. Grants management will be in accordance with the provisions of 15 CFR Part 14 for institutions of higher education, other nonprofit organizations, for-profit organizations; and 15 CFR Part 24 for State and local governments.

Regional or Local Office:

None.

Headquarters Office:

Karen Williams 100 Bureau Drive
Gaithersburg, Maryland 20899 Phone: 301-975-2397

Website Address:

http://www.nist.gov.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

11.618 NATIONAL INSTITUTE OF STANDARDS AND TECHNOLOGY CONSTRUCTION GRANT PROGRAM**FEDERAL AGENCY:**

National Institute of Standards and Technology (NIST), Department of Commerce

AUTHORIZATION:

The American Recovery and Reinvestment Act of 2009, Public Law 111-5; The Omnibus Appropriations Act, 2009, Public Law 111-8.

OBJECTIVES:

To provide competitively awarded grant funds for research science buildings through the construction of new buildings or expansion of existing buildings. For purposes of this program, "research science building" means a building or facility whose purpose is to conduct scientific research, including laboratories, test facilities, measurement facilities, and/or observatories.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds may be used for the construction of new or expansion of existing research science buildings whose purpose is to conduct scientific research, including laboratories, test facilities, measurement facilities, and observatories. Projects to construct or expand a building not intended for performing research or that will predominately be equipped with routine office equipment and/or lecture/class room furnishings are ineligible. Unallowable/Ineligible Costs. The following items, regardless of whether they are allowable under the Federal cost principles, are unallowable under this program:

- (1) Any equipment used for research or otherwise that is not an integral part of the buildings structure, e.g., MRI, portable air conditioners, etc.
- (2) Costs or charges associated with routine maintenance, operation, interior decorating, or landscaping of any building.
- 3) Purchase of land.
- (4) Costs incurred prior to award are not eligible for reimbursement or as cost share.

Limit on Letters of Intent and Proposals per Applicant. Letters of Intent and full proposals are limited to one per applicant organization. Distinct academic campuses (that award their own degrees, have independent administrative structures, admission policies, alumni associations, etc.) within multi-campus systems qualify as separate institutions.

Applicant Eligibility:

Institutions of higher education and nonprofit organizations are eligible to apply.

Beneficiary Eligibility:

Institutions of higher education and nonprofit organizations are eligible to apply.

Credentials/Documentation:

Proposals with statements of work and detailed budgets. Costs will be determined in accordance with applicable federal cost principles found in: 1) Institutions of Higher Education: 2 CFR Subtitle A, Chapter II, Part 220 (OMB Circular A-21); 2) Nonprofit organizations: 2 CFR Subtitle A, Chapter II, Part

230 (OMB Circular A-122); and Hospitals: 45 CFR Part 74, Appendix E. This program is excluded from coverage under OMB Circular No. A-87. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Proposals are submitted in response to formal competition announcements and requests for proposals published in the Federal Register and Grants.gov. Proposals must be prepared in accordance with the instructions in the Announcement of Federal Funding Opportunity (FFO) published on the Grants.gov Web site.

Award Procedure:

Competitive award process based upon published evaluation criteria.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately nine months.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. This program has no matching requirements. Statutory formulas are not applicable to this program. Although cost sharing is not required it is encouraged for a proposal to be competitive. A minimum of 25 percent would be viewed favorably; 50 percent even more favorably. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Up to five years. See the following for information on how assistance is awarded/released: Automated Standard Application for Payment System (ASAP).

Reports:

Quarterly technical performance and financial reports. Additionally, grant recipients under the Recovery Act must also subject Recovery Act reports. No cash reports are required. Quarterly technical performance and financial reports. Additionally, grant recipients under the Recovery Act must also subject Recovery Act reports. Quarterly financial reports. Quarterly technical performance reports.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audits of all recipients shall be conducted in accordance with Government Auditing Standards (GAS) issued by the Comptroller General of the United States (the Yellow Book). Recipients covered under OMB Circular No. A-133, Audits of States, Local Governments, and Nonprofit Organizations, should have an audit performed in accordance with the requirements of the OMB Circular.

Records:

Pursuant to 15 CFR 14.53(b)(2), all financial and technical performance records are required to remain available to the federal government for 3 years after final disposition.

Account Identification:

13-0514-0-1-376 - The American Recovery and Reinvestment Act of 2009

(Recovery Act) ; 13-0515-0-1-376 - The Omnibus Appropriations Act, 2009.

Obligations:

(Project Grants) FY 12 \$0; FY 13 est \$0; and FY 14 est \$0 - American Recovery Act. (Project Grants) FY 12 \$226,753; FY 13 est \$292,000; and FY 14 est \$1,650,000

Range and Average of Financial Assistance:

\$10 million to \$15 million.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 15 CFR Part 14.

Regional or Local Office:

See Regional Agency Offices. Michael Diestel. National Institute of Standards and Technology, 100 Bureau Drive, Gaithersburg, MD 20899. Telephone: (301) 975-8809. E-mail: michael.diestel@nist.gov.

Headquarters Office:

Michael Diestel National Institute of Standards and Technology
100 Bureau Drive, Gaithersburg, Maryland 20899 Email:
michael.diestel@nist.gov Phone: 301-975-8809

Website Address:

www.nist.gov.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Proposals are selected for funding based on the evaluation criteria published in the Federal Register and Grants.gov notices.

11.619 ARRANGEMENTS FOR INTERDISCIPLINARY RESEARCH INFRASTRUCTURE

Not applicable

FEDERAL AGENCY:

National Institute of Standards and Technology (NIST), Department of Commerce

AUTHORIZATION:

National Institute of Standards and Technology (NIST) Act, 15 U.S.C. et seq. Trade Act, 19 U.S.C. 2343 - 45, Title 19, Part 2343, Section 45.

OBJECTIVES:

To establish a strengthen new and/or existing centers, consortia, or other arrangements for interdisciplinary research infrastructure where NIST, academic, industry and/or other federal researchers will collaborate on emerging areas of basic and applied research on innovations in measurement science, standards, technology, and/or data.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funds must be expended on interdisciplinary research infrastructure to further scientific research and catalyze technology and knowledge transfer in scientific areas relevant to NIST and emerging areas of national need. Fund amount to be determined.

Applicant Eligibility:

Public and private institutions of higher education, public and private hospitals, and other quasi-public and private non-profit organizations such as, but not limited to, community action agencies, research institutes, educational associations, and health centers. The term may include commercial organizations, foreign or international organizations (such as agencies of the United Nations) which are recipients, subrecipients, or contractors or subcontractors of recipients or subrecipients at the discretion of the DoC. The term does not include government-owned contractor-operated facilities or research centers providing continued support for mission-oriented, large-scale

programs that are government-owned or controlled, or are designated as federally-funded research and development centers.

Beneficiary Eligibility:

Public and private institutions of higher education, public and private hospitals, and other quasi-public and private non-profit organizations such as, but not limited to, community action agencies, research institutes, educational associations, and health centers. The term may include commercial organizations, foreign or international organizations (such as agencies of the United Nations) which are recipients, subrecipients, or contractors or subcontractors of recipients or subrecipients at the discretion of the DoC. The term does not include government-owned contractor-operated facilities or research centers providing continued support for mission-oriented, large-scale programs that are government-owned or controlled, or are designated as federally-funded research and development centers.institutions of research and/or education, professional institutes and associations, non-profit organizations, state and local governments, and commercial organizations.

Credentials/Documentation:

Yes, please note: costs will be determined in accordance with applicable cost principles found at 2 CFR Part 220, Cost Principles for Education Institutions (OMB Circular A-21), 2 CFR Part 225, Cost Principles for State, Local and Indian Tribal Governments (OMB Circular A-87), 2 CFR Part 230, Cost Principles for Non-profit Organizations (OMB Circular A-122), 48 CFR 31 for Commercial Organizations, 45 CFR 74, Appendix E for Hospitals. OMB Circular No. A-87 applies to this program. Any additional required credentials/documentation will be announced in a federal funding opportunity announcement. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Yes, OMB Circular No. A-110 applies to this program. Part 3 of this field (092) should include text Applicants should reply to notices published as Federal funding opportunities at www.grants.gov.

Award Procedure:

Award process based upon published selection criteria.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Regular programs approval times are from 3 to 6 months.

Appeals:

Not Applicable.

Renewals:

Future or continued funding will be at the discretion of NIST, based on such factors as satisfactory performance and the availability of funds. Renewals are competed whereas continuations of multi-year agreements are not subject to further competition.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Usually one to five years in length. See the following for information on how assistance is awarded/released: Automated Standard Application for Payment System (ASAP).

Reports:

Quarterly and final financial status reports are required; unless specified in the award document. From time to time, and in accordance with the Uniform Administrative Requirements and other terms and conditions governing the award, the recipient may need to submit property and patent reports. Quarterly and final financial status reports are required; unless specified in the award

document. Annual technical progress reports are required; unless specified in the award document. Quarterly and final financial status reports are required; unless specified in the award document. Annual technical progress reports are required; unless specified in the award document. From time to time, and in accordance with the Uniform Administrative Requirements and other terms and conditions governing the award, the recipient may need to submit property and patent reports. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. NIST, the DoC Office of Inspector General or another authorized Federal agency may conduct an audit of an award at any time.

Records:

All records of accounts and supporting documents will be retained by recipient and subcontractors for a period of three years from the date of submission of final expenditure report.

Account Identification:

13-0500-0-0-000 - Scientific & Technical Research & Services.

Obligations:

(Cooperative Agreements) FY 12 \$0; FY 13 est \$0; and FY 14 est \$0 - Estimate not currently available.

Range and Average of Financial Assistance:

Dependent upon nature and type of grant.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 15 CFR 24 and 15 CFR 14.

Regional or Local Office:

See Regional Agency Offices. National Institute of Standards and Technology Regular program - 100 Bureau Drive, Mail Stop 6000, Gaithersburg, Maryland 20899 Phone: 301-975-2300.

Headquarters Office:

Kathy Lee 100 Bureau Drive
, Gaithersburg, Maryland 20899 Email: kathy.lee@nist.gov Phone: 3019752685
Fax: 3019485603

Website Address:

<http://www.nist.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria vary with competition. Specific criteria listed in the Federal Funding Opportunities posted at www.grants.gov.

DEPARTMENT OF DEFENSE

12.101 BEACH EROSION CONTROL PROJECTS

(Small Beach Erosion Control Projects)

FEDERAL AGENCY:

Department of the Army, Office of the Chief of Engineers, Department of Defense

AUTHORIZATION:

River and Harbor Act of 1962, Section 103, as amended, Public Law 87-874, 33 U.S.C. 426g.

OBJECTIVES:

To control beach and shore erosion to public shores through projects not specifically authorized by Congress.

TYPES OF ASSISTANCE:

PROVISION OF SPECIALIZED SERVICES

USES AND USE RESTRICTIONS:

Corps of Engineers designs and constructs the project. Each project selected must be engineering feasible, complete within itself, and economically justified.

The nonfederal sponsoring agency must agree to: (1) Share equally in cash and in-kind services for feasibility studies; (2) share in the cost of the project, including a cash contribution, providing the necessary lands, easements, right-of-way, and relocations required for the project; (3) assume full responsibility for all project costs in excess of the Federal cost limit of \$2,000,000; (4) hold and save the United States free from damages; (5) assure that water pollution that would affect the health of bathers will not be permitted; (6) assure continued public ownership or public use of the beach, and its administration for public use; (7) provide project maintenance; and (8) provide and maintain necessary access roads, parking areas and other public use facilities open and available to all on equal terms.

Applicant Eligibility:

States, political subdivisions of States or other responsible local agencies established under State law with full authority and ability to undertake necessary legal and financial responsibilities.

Beneficiary Eligibility:

States, political subdivisions of States or other responsible local agencies established under State law with full authority and ability to undertake necessary legal and financial responsibilities.

Credentials/Documentation:

Applicants must furnish evidence of legal authorization, financial capability, and willingness to provide all necessary local participation and required cooperation. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact statement is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Formal letter to the District Engineer from a prospective sponsoring agency indicating clear intent to provide all required local participation.

Award Procedure:

The Division Engineer approves an individual project upon the basis of a comprehensive investigation and report by the District Engineer. Advertising and award are subject to availability of funds from the Chief of Engineers.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: Percent: 50%. Project planning studies are undertaken in a single feasibility phase. The first \$100,000 is federally funded. Additional study costs are shared 50/50 with local sponsor. Local cost participation requirements and procedures for determining the local share of project cost are similar to those for beach erosion control projects specifically

authorized by Congress under regular authorization procedures, but Federal participation cannot exceed \$2,000,000, the determination of local costs is based on the public use and ownership of the beach protected.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Not Applicable. See the following for information on how assistance is awarded/released: Not applicable.

Reports:

Program reports are not applicable. Cash reports are not applicable. A semiannual report is submitted to the District Engineer covering inspections, maintenance, and current project status. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

As necessary to show past project conditions and local actions.

Account Identification:

96-3122-0-1-301.

Obligations:

(Provision of Specialized Services) FY 12 \$160,000; FY 13 est \$1,794,386; and FY 14 Estimate Not Available

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Engineer Regulation 1105- 2-10, App. E (33 CFR 263) and information sheets describing this program are available from the District Engineer.

Regional or Local Office:

See Regional Agency Offices. See Appendix IV of the Catalog for a listing of District Engineers.

Headquarters Office:

Lee Tew 441 G. Street. NW
, Washington, District of Columbia 20314 Email:
Donnie.L.Tew@usace.army.mil Phone: 202-761-1504

Website Address:

<http://www.usace.army.mil/business.html>.

RELATED PROGRAMS:

12.102 Emergency Rehabilitation of Flood Control Works or Federally Authorized Coastal Protection Works

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

12.116 DEPARTMENT OF DEFENSE APPROPRIATION ACT OF 2003

(Section 8044)

FEDERAL AGENCY:

Department of the Army, Office of the Chief of Engineers, Department of Defense

AUTHORIZATION:

Department of Defense Appropriation Act 2003, Public Law 107-248.

OBJECTIVES:

For developing a system for prioritization of mitigation and cost to complete

estimates for mitigation, on Indian lands resulting from Department of Defense activities. (1) For the mitigation of environmental impacts, including training and technical assistance to tribes, related administrative support, the gathering of information, documenting of environmental damage, and developing a system for prioritization of mitigation and cost to complete estimates for mitigation, on Indian lands resulting from Department of Defense activities.

TYPES OF ASSISTANCE:

PROVISION OF SPECIALIZED SERVICES

USES AND USE RESTRICTIONS:

Funds, as appropriated by Congress, may be used by the State and Tribes of the funds appropriated to the Department of Defense under the heading "Operation and Maintenance, Defense-Wide", not less than \$10,000,000 shall be made available only for the mitigation of environmental impacts, including training and technical assistance to tribes, related administrative support, the gathering of information, documenting of environmental damage, and developing a system for prioritization of mitigation and cost to complete estimates for mitigation, on Indian lands resulting from Department of Defense activities.

Applicant Eligibility:

The 50 States, the District of Columbia, Guam, American Samoa, the Commonwealth of the Northern Marinas, Palau Island, the Commonwealth of Puerto Rico, the Virgin Islands, and Federally recognized Indian tribes.

Beneficiary Eligibility:

The 50 States, the District of Columbia, Guam, American Samoa, the Commonwealth of the Northern Marinas, Palau Island, the Commonwealth of Puerto Rico, the Virgin Islands, and Federally recognized Indian tribes.

Credentials/Documentation:

Applicants must furnish evidence is sufficient detail so that the relationship of a State's or Tribes request for Corps input for some particular aspect of the State or Tribe program may be appraised. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Requests are made in the form of a letter to the District Engineer documenting the need for assistance.

Award Procedure:

The appropriate Division Engineer reviews and approves federally recognized Tribe requests. The Office of the Chief of Engineers allocates funds to the appropriate Corps field office.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: 100 percent Federal upon award.
Matching requirements are not applicable to this program.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Funds are released from the Office of the Chief of Engineers on an annual basis after the start of the fiscal year. The length of the agreement is defined in the Cooperative Agreement, but is subject to some modification, depending on the availability of funds to each of the participating Federally recognized Tribe. See the following for information on how assistance is awarded/released: Federal assistance is provided as rapidly as possible depending on availability of funds and competent personnel resources. Federal assistance is not guaranteed beyond

the term of the Cooperative Agreement.

Reports:

Program reports are not applicable. Cash reports are not applicable. Quarterly reports are required including financial (SF 269). SEE MOA/CA for details. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. internal review is accomplished by BIA of Tribal programs.

Records:

Per OMB Circular No. A-102.

Account Identification:

97-2003-0-1-999.

Obligations:

(Provision of Specialized Services) FY 12 \$6,312,043; FY 13 est \$321,806; and FY 14 Estimate Not Available

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

DOD Regulation 3210.6-R Department of Defense Grant and Agreement Regulations.

Regional or Local Office:

None. See Appendix IV of the Catalog for listing of District Engineers.

Headquarters Office:

Lee Tew 441 G. Street. NW
, Washington, District of Columbia 20314 Email:
Donnie.L.Tew@usace.army.mil Phone: 202-761-1504

Website Address:

None.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

12.218 FVAP POLICY CLEARINGHOUSE

Overseas Voting Policy Clearinghouse for State Officials

FEDERAL AGENCY:

Federal Voting Assistance Program, Department of Defense

AUTHORIZATION:

10 USC 2358 Research and Development Projects
Pub. L. 107-107, div. A, title XVI, Sec. 1604, as amended by Pub. L. 108-375.
, Executive Order 12642, 42 U.S.C 1973ff- et sec.

OBJECTIVES:

A policy clearinghouse connected directly to all State officials that creates and disseminates innovative military and overseas voting research and ensures that the research aligns with the capabilities and objectives of the States and local jurisdictions. The recipient will facilitate and support FVAPs electronic voting assistance research efforts.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Collaboration and participation with State officials to enable FVAP to provide electronic absentee voting systems for a statistically relevant number of military voters so that they may cast votes for Federal elections. Research and inform State officials of electronic systems to support military and overseas voters including an electronic voter registration and absentee ballot delivery systems for Federal elections.

Research online absentee balloting delivery/information portals and execute research programs and initiatives required by FVAP.

Applicant Eligibility:

For FVAP to validate and promote policies derived from its research, technology demonstrations, and other programs, it requires a coordinating agent that works closely with FVAP and the States. The recipient must be best-shaped to serve the States and enhance their ability to comply with Federal voter registration and absentee voting laws.

Beneficiary Eligibility:

Organization membership must potentially include every elected and appointed State and territorial official in the United States that researches and disseminates comprehensive approaches to provide consistent State and local government policies and practices to facilitate voting in elections and thus serve as a clearing house of FVAP research, policies and procedures.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. When an eligible entity applies for a grant or cooperative agreement, the recipient must work closely with a Defense Human Resource Activity (DHRA) Grants Officer throughout the process.

Award Procedure:

The award process for sole source or competitive agreements requires FVAP and the DHRA Grants Officer to evaluate the sole source application to ensure that it meets the requirements set forth in Defense Grant and Agreement Regulatory System (DGARS). The funding and final award steps remain the same as a competitive action.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. The average time for processing an award is 90 days, but it can take longer depending on the complexity of the award and the number of awards issued.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. This program has no matching requirements. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

36 months. Method of awarding/releasing assistance: quarterly.

Reports:

The award terms and conditions shall prescribe the type and frequency with which program reports shall be submitted. Standard Form (SF) 425 (10a-10). Quarterly, Semi-Annual and Annual Interim Progress Reports that provide a written analysis of the quantitative and qualitative data, noting trends, changes

and usage of overseas voting assistance policies and tools. Standard Form (SF) 425 (10d-10h). The award terms and conditions shall prescribe the frequency with which the performance reports shall be submitted. Performance reports will contain brief information on the following: A comparison of actual accomplishments with the goals and objectives established for the period, the findings of the investigator, or both. Whenever appropriate and the output of programs or projects can be readily quantified, Reasons why established goals were not met, if appropriate, and Other pertinent information.

The recipient shall immediately notify the grants officer of developments that have a significant impact on the award-supported activities. Also, notification shall be given in the case of problems, delays, or adverse conditions which materially impair the ability to meet the objectives of the award. This notification shall include a statement of the action taken or contemplated, and any assistance needed to resolve the situation.

FVAP representatives will make site visits, as needed.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. As stated in OMB Circular No. A-133

Records:

Retention and Access Requirements IAW 32 CFR 32.50 - 32 CFR 32.53.

Account Identification:

97-0400-7-3-010.

Obligations:

(Salaries) FY 12 \$0; FY 13 est \$2,800,000; and FY 14 est \$0

Range and Average of Financial Assistance:

Up to two awards with an average of \$2,800,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None. Applications will be sent to: Robert (Bob) Lavelle, Grants Officer, Defense Human Resources Activity (DHRA), 4800 Mark Center Drive, Suite 07G12, Alexandria, VA 22350-1300
OFFICE PHONE: (571) 372-2614.

Headquarters Office:

Andrew A. Rivera 4800 Mark Center Drive, Alexandria, Virginia 22305
Email: andrew.rivera@fvap.gov Phone: 571-372-0722

Website Address:

<http://www.fvap.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

12.219 EASE 2.0

Effective Absentee Systems for Elections 2.0

FEDERAL AGENCY:

Federal Voting Assistance Program, Department of Defense

AUTHORIZATION:

10 USC 2358 Research and Development Projects, 10 USC 1566, 10 USC 1566a, Executive Order 12642, 42 U.S.C 1973ff- et sec.

OBJECTIVES:

1. Establish and ensure successful, sustainable, and effective methods to improve voting systems for voters protected by the Uniformed and Overseas Citizens Absentee Voting Act (UOCAVA); 2. Increase the percentage of ballots successfully returned by UOCAVA voters to be either equal to, or greater to that of the general population; and 3. Collect and analyze data to increase the effectiveness of absentee voting procedures and systems for voters protected by UOCAVA.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Research the effectiveness of online ballot delivery systems as well as other related methods to streamline the absentee voting process for voters covered by UOCAVA.

Applicant Eligibility:

Applicants are encouraged to develop ballot delivery systems or streamlined procedures for the processing of absentee voting materials for voters covered by UOCAVA.

Beneficiary Eligibility:

The amount and period of performance of each selected proposal may vary depending on the research area and technical approach pursued by the selected recipient.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

Award decisions will be based on a competitive selection of proposals based on a scientific, technical, and cost benefit analysis.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. The average time for processing an award is 90 days, but it can take longer depending on the complexity of the award and the number of awards issued.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Funds must be dispersed within 60 months of award. See the following for information on how assistance is awarded/released: Type of payment is based on the financial stability of the recipient.

Reports:

No program reports are required. Cash reports are not applicable. The award terms and conditions shall prescribe the type and frequency with which program reports shall be submitted. Progress reports must be submitted by recipients detailing their ability to facilitate the proposed project. Quarterly

financial reports (SF425). Data reports provide a written analysis of the quantitative and qualitative data; note trends, changes and usage of the implemented program; and fulfill the requirements set forth in the provided data reporting requirements document.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Retention and Access Requirements IAW 32 CFR 32.50- 32 CFR 32.53.

Account Identification:

97-0400-7-3-010.

Obligations:

(Salaries) FY 12 \$0; FY 13 est \$10,200,000; and FY 14 est \$0

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Robert J. Lavelle 4800 Mark Center Drive, Alexandria, Virginia 22032 Email: bob.lavelle@osd.pentagon.mil Phone: 571-372-2614

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

12.225 COMMERCIAL TECHNOLOGIES FOR MAINTENANCE ACTIVITIES PROGRAM

(CTMA)

FEDERAL AGENCY:

Office of the Secretary of Defense, Logistics and Material Readiness, Maintenance Policy & Programs, Department of Defense

AUTHORIZATION:

Research and Development Projects under Section 2358 of Title 10 United States Code, 10 U.S.C 2358.

OBJECTIVES:

In partnership with industry, advance the development, integration, and use of sustainment technologies and processes which: Improve weapon systems readiness and reliability; Improve weapon systems capability with lowered overall sustainment costs; Reduce cycle time for sustainment; Improve business practices and data collection/management.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

The assistance can be used to supplement the private investment being applied to develop, integrate and transition sustainment capabilities that: Improve weapon systems readiness and reliability; Improve weapon systems capability with lowered overall sustainment costs; Reduce cycle time for sustainment;

Improve business practices and data collection/management;

Applicant Eligibility:

N/A.

Beneficiary Eligibility:

N/A.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. N/A

Award Procedure:

Funding is received, funding is submitted and Grants officer signs the award vehicle.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 1 to 15 days. N/A.

Appeals:

N/A.

Renewals:

N/A.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: This program will cost share.

This program does not have MOE requirements. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Throughout the period of performance determined for any given project associated with the CA. Method of awarding/releasing assistance: lump sum.

Reports:

Quarterly reports will be submitted and based on the Government's fiscal year 901 October - 30 September). No cash reports are required. No progress reports are required. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

N/A.

Account Identification:

97-0100-1-2-225.

Obligations:

(Cooperative Agreements) FY 12 Not Available; FY 13 Estimate Not Available; and FY 14 Estimate Not Available

Range and Average of Financial Assistance:

The projected range of financial assistance to be applied to CTMA annually is \$10M-\$25M. The average expected financial assistance is \$15M annually.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Monica E Mende 1225 S. Clark Street, CG2 Suite 910, Arlington, Virginia
22202 Email: Monica.Mende@whs.mil Phone: 703-545-1417

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

A Technical Evaluation Panel (TEP) was selected to review applications that had been submitted by a cut-off date on Grants.gov.

12.300 BASIC AND APPLIED SCIENTIFIC RESEARCH

FEDERAL AGENCY:

Department of the Navy, Office of the Chief of Naval Research, Department of Defense

AUTHORIZATION:

Federal Grant and Cooperative Agreement Act, 31 U.S.C 6304-6305; Federal Grant and Cooperative Agreement Act, 10 U.S.C 5022-5023; Federal Grant and Cooperative Agreement Act, 10 U.S.C 2358; Federal Grant and Cooperative Agreement Act, Public Law 79-588.

OBJECTIVES:

To support and stimulate basic and applied research and technology at educational institutions, non-profits and other research organizations, which have potential for superiority in the improvement of naval operations, and to train and motivate future researchers in science and engineering disciplines.

TYPES OF ASSISTANCE:

Cooperative Agreements; Project Grants

USES AND USE RESTRICTIONS:

Basic, applied and advanced research in the physical, mathematical, environmental, engineering and life sciences providing knowledge necessary for the Department of the Navy to make informed decisions about further exploratory development to provide the technology base from which naval capabilities are drawn; and partial support of symposia in the listed disciplines. Programs to encourage careers in science, technology and engineering by supporting outstanding graduate and undergraduate students pursuing studies in areas of naval concern; programs to increase the number of science and engineering graduates from underrepresented minority groups and programs providing funding for universities to purchase major, high-cost research instrumentation.

Applicant Eligibility:

Grants and Agreements cannot be awarded to individuals or families.

Beneficiary Eligibility:

Grants and Agreements cannot be awarded to individuals or families.

Credentials/Documentation:

Costs will be determined in accordance with 2CFR 220 (OMB A-21), 2CFR 225 (OMB A-87), 2CFR 230 (OMB A-122) and Subpart 31.2 of the Federal Acquisition Regulation (FAR), which is applicable to non-profits exempted from 2CFR 230 and profit organizations. The administrative requirements are in accordance with OMB Circular.A-102, 2CFR 215 (OMB A-110), and OMB Circular A-133. Applicants must not appear on the debarred or suspended list for Federal contractors maintained by GSA. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact

information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Eligible organizations may submit proposals in response to the relevant Broad Agency Announcements and ONR Funding Opportunities published annually in the Federal Business Opportunities (www.fedbizopps.gov). See grants.gov (www.grants.gov) for actual submission instructions.

Award Procedure:

Award decisions will be based on a competitive selection of proposals resulting from a peer and/or scientific review. Evaluations will use the criteria contained in the relevant BAA. If selected, the proposal is incorporated into a grant/cooperative agreement document and the applicant agrees to perform the research described therein.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Awards may be made at any time throughout the year for which funding is authorized.

Appeals:

Not Applicable.

Renewals:

Reapplication with new proposal is permitted.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Funding will reflect period of performance, which is generally 3-5 years. See the following for information on how assistance is awarded/released: Awards may be funded in total or incrementally.

Reports:

Recipients are expected to publish or otherwise make results of work publicly available. No cash reports are required. Copies of cost/progress and final reports are furnished by the recipient to a prescribed list of addressees as set forth in the grant. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Each recipient will maintain purchase receipts and other expenditures and accounting records sufficient to determine the funds were used for the appropriate purposes.

Account Identification:

17-1319-0-1-051 - Office of Naval Research.

Obligations:

(Salaries) FY 12 \$723,000,000; FY 13 est \$700,000,000; and FY 14 est \$700,000,000

Range and Average of Financial Assistance:

\$5,000 - \$20,000,000; mean=\$500,000; median=\$300,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: 1211 Awards. Fiscal Year 2013: 1000 Awards. Fiscal Year 2014: 1000 Awards.

REGULATIONS, GUIDELINES, AND LITERATURE:

Department of Defense Grant and Agreement Regulations (DoDGARS) and refer to ONR website <http://www.onr.navy.mil>

Regional or Local Office:

None.

Headquarters Office:

(ONR Code 22) Office of Naval Research, 875 North Randolph Street, Arlington, Virginia 22203 Email: onr22@onr.navy.mil Phone: 703-696-2570

Website Address:

<http://www.onr.navy.mil>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Award decisions will be based on a competitive selection of proposals resulting from a scientific and cost review. Evaluations will be conducted using the following evaluation criteria: 1. Overall scientific and technical merits of the proposal; 2. Potential Naval relevance and contributions of the effort to the agency's specific mission; 3. The offeror's capabilities, related experience, facilities, techniques or unique combinations of these which are integral factors for achieving the proposal objectives; 4. The qualifications, capabilities and experience of the proposed Principal Investigator (PI), team leader and key personnel who are critical in achieving the proposal objectives; and 5. The realism of the proposed costs and availability of funds.

12.330 SCIENCE, TECHNOLOGY, ENGINEERING & MATHEMATICS (STEM) FOR K-12 & INSTITUTIONS OF HIGHER LEARNING- NAVY (STEM)**FEDERAL AGENCY:**

Department of the Navy, Office of the Chief of Naval Research, Department of Defense

AUTHORIZATION:

10 U.S.C 2192; 31 U.S.C. 6304.

OBJECTIVES:

To support educational programs in Science, Technology, Engineering and Mathematics (STEM) for K-12 & Institutions of Higher Education.

TYPES OF ASSISTANCE:

Cooperative Agreements; Project Grants

USES AND USE RESTRICTIONS:

Educational programs in science, technology, engineering and mathematics (STEM), which foster an interest in, knowledge of, and study in science, technology, engineering and mathematics nationwide to ensure an educated and well-prepared workforce capable of meeting the needs of the Navy and the Nation. In support of this mission, the following five goals have been identified:

- Inspire the next generation of scientists and engineers. [Grades K-10];
- Engage students in STEM-related hands-on learning activities using Navy content. [Grades 3-12];
- Educate students to be well-prepared for employment in STEM disciplines in the Navy or in supporting academic institutions or the Naval contractor community. [Higher Education];
- Employ, retain and develop Naval STEM professionals. [Higher Education, Professional Development, Faculty];
- Collaborate across Naval STEM programs to maximize benefits to participants and the Navy.

Applicant Eligibility:

All responsible sources from public nonprofit institutions/organizations to include elementary, middle and high schools, private non-profit institutions/organizations, small businesses, profit organizations, and other private institutions/organizations may submit proposals. Historically Black

Colleges and Universities (HBCUs) and Minority Institutions (MIs) are encouraged to submit proposals and join others in submitting proposals. Grants cannot be awarded to individuals.

Beneficiary Eligibility:

All responsible sources from public nonprofit institutions/organizations to include elementary, middle and high schools, private non-profit institutions/organizations, small businesses, profit organizations, other private institutions/organization, Black Colleges and Universities (HBCUs), and Minority Institutions (MIs).

Credentials/Documentation:

Grant awards greater than \$100,000 require a certification of compliance with a national policy mandate concerning lobbying. The certification is set forth at Appendix A to 32 CFR 28 regarding lobbying. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. This program is subject to 2 CFR Part 215. All eligible public nonprofit institutions/organizations to include elementary, middle and high schools, private non-profit institutions/organizations, small businesses, profit organizations, and other private institutions/organizations, so long as profit and fee are not proposed may submit proposals in response to the relevant STEM Announcement(s) published in the Federal Business Opportunities (www.fedbizopps.gov).

Award Procedure:

Award decisions will be based on a competitive selection of proposals resulting from a scientific, technical, management and cost review. Evaluations will use the criteria contained in the relevant Announcement. If selected for award, the proposal is incorporated into a grant or cooperative agreement document, and the applicant agrees to perform the mission described therein.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Awards may be made at any time throughout the open period for which funding is authorized.

Appeals:

Not Applicable.

Renewals:

Reapplication with new proposal is permitted.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Project Grants will reflect a period of performance. See the following for information on how assistance is awarded/released: Project Grants may be awarded incrementally.

Reports:

Grantee/recipient is expected to publish or otherwise make results of work publicly available. Copies of program reports are furnished by the grantee/recipient to a prescribed list of addressees (as set forth in the award instrument). Copies of cash reports are furnished by the grantee/recipient to a prescribed list of addressees (as set forth in the award instrument). Copies of progress reports are furnished by the grantee/recipient to a prescribed list of addressees (as set forth in the award instrument). Copies of expenditure reports are furnished by the grantee/recipient to a prescribed list of addressees (as set forth in the award instrument). Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June

27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Each grantee/recipient will maintain purchase receipts and other expenditure and accounting records sufficient to determination that the funds were used for the appropriate purposes.

Account Identification:

17-1319-0-1-051 - Office of Naval Research.

Obligations:

(Project Grants) FY 12 \$1,790,612; FY 13 est \$1,500,000; and FY 14 est \$1,500,000 - Funding may vary.

Range and Average of Financial Assistance:

\$200,000 - \$1,000,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Refer to ONR website: <http://www.onr.navy.mil>

Regional or Local Office:

None.

Headquarters Office:

(ONR Code 22) Office of Naval Research, 875 North Randolph Street, Arlington, Virginia 22203 Phone: 703-696-0690

Website Address:

<http://www.onr.navy.mil>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

1. Potential naval or defense relevance and contributions of the effort to the agency's specific education and science and engineering workforce. Scientific and technical merit of the proposal and its potential to achieve the educational objectives of the program, including the extent to which the proposed effort would enhance multidisciplinary studies relative to current capabilities. Appropriateness of the metrics used to determine impact and or success of the program. Appropriateness of the methodology for obtaining and validating the metrics.
2. Outreach initiatives proposed for increasing and maintaining the educational pipeline and the potential of the proposed program to educate future scientists and engineers in STEM disciplines critical to the defense mission. Increased or enhanced opportunities to disseminate information on navy or defense programs and careers. Impact of the initiatives toward improving science and engineering education in the United States. Extent to which the program engages naval or defense laboratories as active participants in program execution.
3. Potential contributions of the proposed programs to the national defense mission.
4. Past, present or proposed collaborative educational activities with other institutions, such as colleges/universities. The degree of partnership between the school system or local education agency, and the naval/defense laboratory, or warfare center.
5. Industry-Government Partnering ONR highly encourages partnering among industry and Government with a view toward speeding the incorporation of new science and technology into fielded systems. Proposals that utilize industry-Government partnering which enhances the development of novel educational programs will be given favorable consideration.
6. Personnel qualifications, capabilities, availability, and experience. Key personnel must commit time and attention to ensure success of the program.
7. Budgetary realism and cost effectiveness of the program.

12.335 NAVY COMMAND, CONTROL, COMMUNICATIONS, COMPUTERS, INTELLIGENCE, SURVEILLANCE, AND RECONNAISSANCE

Basic and applied research and development in areas relating to the advancement of the Navy Command, Control, Communications, Computers, Intelligence, Surveillance, and Reconnaissance (C4ISR)

FEDERAL AGENCY:

Department of the Navy, SPAWAR, Department of Defense

AUTHORIZATION:

National Defense Authorization Act, Public Law 111-84.

OBJECTIVES:

To support basic and applied research at educational, nonprofit, or commercial research institutions, which have potential for leading to the improvement of military operations or dual-use application, and to support training and stimulation of future researchers in science and engineering disciplines. This support may take the form of grants or cooperative agreements.

TYPES OF ASSISTANCE:

Cooperative Agreements; Project Grants

USES AND USE RESTRICTIONS:

Basic and applied research and prototype development in areas relating to the advancement of the Navy Command, Control, Communications, Computers, Intelligence, Surveillance, and Reconnaissance (C4ISR) capabilities to make informed decisions about further exploratory development to provide the technology base from which naval capabilities are drawn.

Applicant Eligibility:

Grants cannot be awarded to individuals.

Beneficiary Eligibility:

Grants cannot be awarded to individuals.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular Nos. A-21, A-87, A-88, and A-122 and Subpart 31.2 of the Federal Acquisition Regulation applicable to commercial firms and those nonprofits exempted from OMB Circular No. A-122. The administrative requirements of OMB Circular Nos. A-102, A-110, A-128 and A-133 apply. Patents, inventions, and rights in technical data and computer software are subject to 48 CFR 227 and 252, as amended, and 37 CFR 401.14. If the award is a grant or cooperative agreement the applicant must not appear on the nonprocurement debarred or suspended list. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. All eligible private/public educational institutions and other private/public nonprofit organizations may submit proposals in response to the relevant announcements published via grants.gov and in the Federal Business Opportunities (www.fedbizopps.gov) Information regarding areas of scientific interest, Points of Contact, application and award procedures, shall be contained in each individual announcement.

Award Procedure:

Award decisions will be based on a competitive selection of proposals resulting from a peer and/or scientific review. Evaluations will use the criteria contained in the relevant announcement. If selected for award, the proposal is incorporated into a grant document and the applicant agrees to perform the research described therein.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Awards may be made at any time throughout the year for which funding is authorized.

Appeals:

Not Applicable.

Renewals:

Reapplication with new proposal is permitted.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Funding will reflect period of performance and may be awarded incrementally.

See the following for information on how assistance is awarded/released:

Payments may be made incrementally.

Reports:

Grantee is expected to publish or otherwise make results of work publicly available. Copies of reports are furnished by the grantee to a prescribed list of addressees (as set forth in the grant), which includes the Defense Technical Information Center. Subsequent distribution to DoD agencies and their contractors is made by DTIC on a request basis. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Each grantee shall maintain accounting records which will permit determination that the funds were used for the award purpose.

Account Identification:

17-1319-0-1-051 - Space and Naval Warfare Systems Center, Pacific.

Obligations:

(Salaries) FY 12 \$105,000; FY 13 est \$64,000; and FY 14 est \$70,000

Range and Average of Financial Assistance:

\$1,000 to \$15,000,000. Average: \$297,138.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

SSC Pacific website www.spawar.navy.mil/sandiego/. This site lists the points of contact within the various scientific disciplines.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Space and Naval Warfare Systems Center 53560 Hull Street, San Diego, California 92152-5001 Phone: (619) 524-6202

Website Address:

<http://www.spawar.navy.mil/sandiego/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

As specific in each announcement.

12.350 DEPARTMENT OF DEFENSE HIV/AIDS PREVENTION PROGRAM**FEDERAL AGENCY:**

Department of the Navy, Office of the Chief of Naval Research, Department of Defense

AUTHORIZATION:

Authorization/amendment: P.L. 87-195, "Foreign Assistance Act" Memorandum of Agreement between the Department of State Office of the U.S. Global AIDS Coordinator (OGAC) and the U.S. Department of Defense (DOD) signed 17 June 2013 and Amendment #1 signed 5 September 2013.

OBJECTIVES:

To train and assist selected foreign militaries in establishing and implementing HIV/AIDS prevention, care, and treatment programs for their military personnel and dependents.

TYPES OF ASSISTANCE:

Cooperative Agreements; Project Grants

USES AND USE RESTRICTIONS:

Funds are authorized through grants and cooperative agreements, primarily with non-governmental U.S. organizations and educational institutions, to carry out activities that support HIV/AIDS prevention programs in militaries around the world. Funds are generally limited for direct support of activities conducted outside the United States.

Applicant Eligibility:

Generally any type of applicant may apply. Grants cannot be awarded to individuals.

Beneficiary Eligibility:

Beneficiaries are primarily foreign Governments.

Credentials/Documentation:

Costs will be determined in accordance with 2 CFR 220, 2 CFR 225, 2 CFR 230 and Subpart 31.2 of the Federal Acquisition Regulation (FAR), which is applicable to profit organizations and those nonprofits exempted from 2 CFR 230. The administrative requirements of OMB Circular A-102, 2 CFR 215, and OMB Circular A-133. Applicants must not appear on the debarred or suspended list for Federal contractors maintained by GSA. Further requirements are stated in the BAA. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. This program is subject to 2 CFR 215. All eligible organizations may submit proposals in response to general and specific broad agency announcements (BAAs) published annually or as the need arises. Information regarding areas of interest and points of contact are contained in the BAAs. Application and award procedures are also contained in the BAA brochures.

Award Procedure:

Award decisions will be based on a competitive selection of proposals resulting from a peer review. Evaluations will use the criteria contained in the relevant Broad Agency Announcement. If selected for award, the proposal is incorporated into a grant document and the applicant agrees to perform the work describes therein.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Formal proposals are normally reviewed and notification made within six months from submission. Grant awards are usually made within three months from notification.

Appeals:

Not Applicable.

Renewals:

Renewals are made by competitive applications and reviews.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Length and Time Phasing of Assistance: Funding will reflect the period of performance and may be awarded incrementally. Normally, projects are funded for one year of operations. Funds are normally provided in individual grants according to a quarterly schedule of payments. See the following for information on how assistance is awarded/released: Funding may be awarded incrementally.

Reports:

Refer to grant for Reporting Requirements. No cash reports are required. Refer to grant for Reporting Requirements. Refer to grant for Reporting Requirements. Refer to grant for Reporting Requirements.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In accordance with the provisions of OMB Circular A-133 (Revised June 27, 2003), Audits of States, Local Governments, and Nonprofit Organizations, nonfederal entities that receive financial assistance of \$500,000 or more in Federal awards will have a single or a program specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

In accordance with 22 CFR Part 226.53, grantees are to maintain accounting records for a minimum of 3 years after the end of the date of submission of the final expenditure report. If any litigation, claim, negotiation, audit or other action involving the records has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

97-6013-0-1-551; 97-1030-0-1-551; 97-1031-0-1-551.

Obligations:

(Project Grants) FY 12 \$28,000,000; FY 13 est \$30,000,000; and FY 14 Estimate Not Available

Range and Average of Financial Assistance:

\$50,000 to \$2,000,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 215, A-133, 2 CFR 230, and FAR Part 31.2; Department of Defense Grant and Agreement Regulations (DoDGARS), DoD 3210.6-R.

Regional or Local Office:

None.

Headquarters Office:

Department of Defense HIV/AIDS Prevention Program Naval Health Research Center
140 Sylvester Road, San Diego, California 92106 Email:
NHRC-DHAPP@med.navy.mil Phone: 619-553-8528

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are defined specifically in the BAAs when issued.

12.357 ROTC LANGUAGE AND CULTURE TRAINING GRANTS

ROTC Project GO (Global Officers)

FEDERAL AGENCY:

Office of the Secretary of Defense, Department of Defense

AUTHORIZATION:

National Defense Authorization Act of 2006, Section 535, Public Law 109-163.

OBJECTIVES:

Project GO (Global Officers) is a DoD-funded initiative that promotes critical language education, study abroad, and intercultural dialogue opportunities within the ROTC student population in order to develop future military officers who possess the cross-cultural communication skills required for effective leadership in the 21st Century operational environment.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Grants are awarded on a competitive basis to institutions of higher education to expand ROTC student participation in the study of critical languages and study abroad. Grant awards are only made to U.S. institutions of higher learning with ROTC units on their campuses. Grant funds may be used for any appropriate academic-oriented experience including independent research, service learning, or traditional study abroad. Funding may not be used for students in Junior ROTC or students below the legal age limit for participation in ROTC.

Applicant Eligibility:

Any accredited U.S. institution of higher education (defined in Section 1201(a) of the Higher Education Act of 1965, 20 U.S.C. 1141(a) is eligible to apply for a grant. This includes 2 and 4 year colleges and universities, both public and private. Other organizations, associations, agencies, and foreign institutions may be included in proposals but may not be direct recipients of a grant. Federal government schools are not eligible. Applicants must demonstrate an institutional commitment to increasing the critical language and cross-cultural proficiency of their ROTC students as well as proven institutional capability to provide quality instruction in these subject areas. Institutions applying for institutional grants must have an ROTC unit on their campus.

Beneficiary Eligibility:

Accredited U.S. institutions of higher education and their ROTC students.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. All eligible, private and public U.S. institutions of higher education may submit proposals in response to the relevant agency announcements.

Award Procedure:

Award decisions will be based on a competitive selection of proposals resulting from an independent merit review by a panel comprised of specialists in language acquisition and study abroad as well as representatives from the military services.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Renewals are negotiated and are conducted annually based on national program strategy as well as institutional performance.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. There are no matching or cost sharing requirements but applicants are encouraged to cost share project efforts. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grants are single or two-year awards. Additional renewal years are possible based on performance, program needs and availability of funding. Method of awarding/releasing assistance: quarterly.

Reports:

Challenges, Successes, Enrollment Figures, etc., on a quarterly basis. Funds expended on a quarterly basis. Challenges, Successes, Enrollment Figures, etc., on a quarterly basis. Funds expended on a quarterly basis. Challenges, Successes, Enrollment Figures, etc., on a quarterly basis. Funds expended on a quarterly basis.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. A program-specific audit will be conducted each year.

Records:

The Comptroller General of the United States or a duly authorized representative from the General Accountability Office shall, until 3 years after final payment under this grant have access to and right to examine any of the recipient's directly pertinent books, documents, papers, or other records involving transactions related to this grant.

Account Identification:

97-0100-7-3-001 - Defense-Wide Operations and Maintenance D-W O&M.

Obligations:

(Salaries) FY 11 \$4,800,000; FY 12 est \$8,200,000; and FY 13 est \$8,200,000

Range and Average of Financial Assistance:

Grants range from \$50,000 to \$350,000 annually for multi-year projects.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

The Awardee and any consultant/contractor operating under the terms of a grant or cooperative agreement shall comply with all Federal, State, and local laws applicable to its activities including the following: 32 CFR 32, Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-profit Organizations, OMB A-21, Cost Principles for Educational Institutions, OMB A-110/2 CFR 215, Uniform Administrative Requirements for Grants and Agreements With Institutions of Higher Education, Hospitals, and Other Non-Profit Organizations, and OMB A-133, Audits of States, Local Governments and Non-Profit Organizations.

Regional or Local Office:

None.

Headquarters Office:

Shirley T. Rapues 1101 Wilson Boulevard, Suite 1210, Arlington, Virginia 22209-2248 Email: shirley.rapues@wso.whs.mil Phone: (703) 696-6516 Fax: (703) 696-5667

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

All projects must involve a comprehensive and structured language component and study abroad component although projects do not need to offer each component under their own auspices. All projects must clearly demonstrate strong recruiting and retention plans as well as plans for institutional commitment.

12.400 MILITARY CONSTRUCTION, NATIONAL GUARD

FEDERAL AGENCY:

National Guard Bureau, Department of Defense

AUTHORIZATION:

Military Construction, Veterans Affairs and Related Agencies Appropriations Act, 10 U.S.C 1803.

OBJECTIVES:

Provide for the acquisition of facilities necessary for the training and administration of Army National Guard (ARNG) units in the 50 states, the District of Columbia, the Commonwealth of Puerto Rico, the Virgin Islands and Guam, by purchase, transfer, construction, expansion, rehabilitation or conversion.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Military Construction, National Guard are projects that create a complete and usable facility and encompasses one or more of the following: (1) erection, installation or assembly of new facility; (2) addition, expansion, extension, alteration, conversion (facility modification caused by a change in facility utilization), or complete replacement of an existing facility; (3) relocation of a facility from one installation to another; (4) installed building equipment made a part of the facility; (5) related site preparation, excavation, filing, landscaping or other land improvements; (6) foundations, site work and utility work associated with the setup of re-locatable buildings in accordance with Army Regulation 420-18; or (7) demolition of existing facilities when associated with one on of the actions listed above. Restrictions are listed in National Guard Regulation 415-5, paragraph 1-5, Statutory and Regulatory Limitations.

Applicant Eligibility:

The 50 States, the District of Columbia, the Commonwealth of Puerto Rico, Guam, and the territories. The State National Guard unit must be federally recognized. States must provide real estate for armory projects.

Beneficiary Eligibility:

The 50 States, the District of Columbia, the Commonwealth of Puerto Rico, Guam, and the territories. The State National Guard unit must be federally recognized. States must provide real estate for armory projects.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Environmental assessments and environmental impact statements are required as appropriate to the project. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. The State Adjutant General submits proposed projects in accordance with DOD and NGB construction criteria guidelines to the National Guard Bureau.

Award Procedure:

Congress authorizes funds in the National Defense Authorization Act and

appropriates funds in the Military Construction, Veterans Affairs and Related Agencies Appropriations Act. The National Guard Bureau reviews and approves all necessary plans, specifications, bidding documents, contracts and other documentation, before the award can be made.

Deadlines:

Sep 30, 2014 September 30 annually.

Range of Approval/Disapproval Time:

From 120 to 180 days. 6 to 9 months.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Percent: 25%. The Federal government contributes no more than 75 percent of the total cost of construction (including the cost of architectural, engineering and design services) for readiness centers, but may contribute 100 percent for construction of other facilities. The State must provide all necessary real estate and any remaining funds. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awarded funds must be used during the performance period approved for each assistance award. See the following for information on how assistance is awarded/released: States either request an advance or reimbursement of funds based on project phases and execution.

Reports:

No program reports are required. No cash reports are required. Progress reports are not applicable. States submit reports as directed by NGB. Final accounting and settlement must be accomplished in accordance with National Guard Regulation 5-1. Performance monitoring and review is done by the Facilities Management Office in each State.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. States are responsible for obtaining an audit in accordance with the Single Audit Act of 1984, as amended, and OMB Circular A-133.

Records:

States are required to maintain records adequate to reflect the nature and extent of their cost and expenditures and to ensure that the required costs of participation are achieved. Records must be maintained for 3 years following the date of submission of final requests for services rendered.

Account Identification:

21-2085-0-1-051.

Obligations:

(Cooperative Agreements) FY 12 \$131,835,566; FY 13 est \$125,000,000; and FY 14 est \$125,000,000

Range and Average of Financial Assistance:

\$300,000 and up.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Provided for the acquisition of facilities necessary for the training and administration of Army National Guard (ARNG) units in the 50 States, the District of Columbia, the Commonwealth of Puerto Rico, the Virgin Islands, and Guam by purchase, transfer, construction, expansion, rehabilitation or conversion. Fiscal Year 2013: Provided for the acquisition of facilities necessary for the training and administration of Army National Guard (ARNG) units in the 50 States, the District of Columbia, the Commonwealth of Puerto Rico, the Virgin Islands, and Guam by purchase, transfer, construction,

expansion, rehabilitation or conversion. Fiscal Year 2014: Provided for the acquisition of facilities necessary for the training and administration of Army National Guard (ARNG) units in the 50 States, the District of Columbia, the Commonwealth of Puerto Rico, the Virgin Islands, and Guam by purchase, transfer, construction, expansion, rehabilitation or conversion.

REGULATIONS, GUIDELINES, AND LITERATURE:

National Guard Regulation 5-1, Grants and Cooperative Agreements and National Guard Regulation 415-5, Army National Guard Military Construction Program Execution.

Regional or Local Office:

See Regional Agency Offices. State Adjutant General Office in each State.

Headquarters Office:

Elver Crow, 111 South George Mason Drive , Arlington, Virginia 22204 Email: elver.crow@us.army.mil Phone: (703) 601-7941

Website Address:

<http://www.ngb.dtic.mil/indexshtml/>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

12.401 NATIONAL GUARD MILITARY OPERATIONS AND MAINTENANCE (O&M) PROJECTS

FEDERAL AGENCY:

National Guard Bureau, Department of Defense

AUTHORIZATION:

Defense Appropriations Act 2009.

OBJECTIVES:

Provide funding for the States through cooperative agreements to support the operations and maintenance of Army National Guard (ARNG) and Air National Guard (ANG) facilities and provide authorized service support activities to National Guard units and personnel through assistance awards listed below. a. ARNG Real Property O&M Projects (RPOMA) - provide support for authorized facilities for leases, real property services, real property maintenance, and O & M minor construction projects. b. ARNG Environmental Programs Resources Management - for services provided to accomplish environmental actions such as Environmental Compliance/Corrective Projects, Environmental and Program Management. c. ARNG Security Guard Activities for security services provided at authorized facilities. d. ARNG Electronic Security System Installation - services to maintain Joint Services Interior Intrusion Detection Systems (J-SIIDS), Commercial Intrusion Detection Systems (CIDS), Exterior Instruction Detection Systems and Closed Circuit Television (CCTV) used for security surveillance and associated on site and off site remote monitoring equipment at authorized facilities. e. ARNG Telecommunications - services provided for installation telecommunication activities. f. ARNG Aviation Training Base Operation services provided for Air Traffic Control (ATC), Airport Agreements management and Aircraft Rescue Fire Fighting (AFF). g. ARNG Sustainable Range Program - services provided for range operations, range maintenance, leases, rentals and equipment at authorized ranges and training lands. h. ARNG Full Time Dining Facility Operations - services provided for Food Service Operations at authorized ARNG training sites. i. Office of the Chief - Administrative Services Activities - services provided for printing and duplication, mail distribution and records management services. j. ARNG Surface Petroleum support services provided for ARNG surface vehicle and equipment fuel. k. ARNG Aviation Reimbursable Maintenance Operations - support provided for aviation reimbursable maintenance operations to include the maintenance, fabrication, and/or repair of aircraft, aircraft subassemblies, and systems. l. ARNG Distributed Learning Program- services provided for the operation and management of linked Distance Learning centers using information technology (audio/video telecommunications) to train National Guard personnel. m. ARNG Anti-Terrorism Program Manager Activities- services

provided in support of security and anti-terrorism prevention measures in State ARNG facilities. n. ANG Facilities Operations & Maintenance (FOMA) Activities - services provided at authorized facilities for leases, real property operations, real property maintenance and repair, and O & M minor construction costs. o. ANG Environmental Program Management- services provided to accomplish environmental compliance/corrective projects, environmental services, program management, hazardous waste management, environmental planning activities and natural resources actions. p. ANG Security Guard - services in rendering security guard activities at authorized facilities.

q. ANG Fire Protection Activities - services provided for authorized fire protection activities. r. ANG Natural and Cultural Resources Management - for Natural and Cultural resource Management projects to comply with environmental laws, conserve and restore the environment. s. ANG Air Traffic Control Activities - service provided to perform non-approach control air traffic control and aviation weather observing/reporting. t. ANG Logistics Facilities - services provided for painting NG aircraft and related administrative support. u. ANG Services Resources Management services provided by employees for training, lodging and food service activities (cooks, custodial support and food service accounting) at authorized locations. v. ANG Combat Readiness Training Center (CRTC) Base Operating Support- services provided for CRTC operations at authorized ANG centers.

w. State Family Program Activities- services provided to ARNG and ANG families preparing for deployment, during deployment and upon return from deployment to include orientation workshops, conferences and social service activities briefings, counseling and assistance.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

O&M Projects support Army and Air National Guard activities approved by NGB and executed in accordance with National Guard Regulation (NGR) 5-1, NG Grants & Cooperative Agreements.

Applicant Eligibility:

The 50 States, District of Columbia, Commonwealth of Puerto Rico, Virgin Islands, and Guam. The State National Guard unit must be federally recognized.

Beneficiary Eligibility:

The 50 States, District of Columbia, Commonwealth of Puerto Rico, Virgin Islands, and Guam. The State National Guard must be federally recognized.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. The State Adjutant General annually submits proposed projects/activities in accordance with National Guard Bureau criteria guideline and directives.

Award Procedure:

Congress authorizes, approves and appropriates funds for National Guard Operations & Maintenance in the Defense Appropriations Act. NGB reviews and approves requests for project/activity execution.

Deadlines:

Jun 30, 2009 to Sep 30, 2009

Range of Approval/Disapproval Time:

From 30 to 60 days. From 1 to 2 months.

Appeals:

Not Applicable.

Renewals:

Requires submission of a budget proposal to NGB each Federal fiscal year.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: State matching funds vary depending on the assistance activity. Required State matching amount is identified in each agreement.

This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

Twelve month funding. See the following for information on how assistance is awarded/released: NGB Cooperative Agreements do not go through an award process. Agreements are funded at the beginning of the Fiscal Year or through quarterly allotments.

Reports:

Reports are required as directed by NGB. Final accounting and settlement must be accomplished in accordance with NGR 5-1. No cash reports are required.

Report requirements are specified in each activity agreement. Expenditure reports are required as specified in each activity agreement and in accordance with the Finance Chapter, NGR 5-1. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Single Audit Act of 1984, OMB A-133 Compliance Supplement and Chapter 4, NGR 5-1.

Records:

States are required to maintain records adequate to reflect the nature and extent of their costs and expenditures and to insure required costs of participation are achieved. Records must be maintained for 3 years following the request for payment date the service was rendered.

Account Identification:

57-3840-0-1-051 - For all ANG projects.; 21-2065-0-1-051 - For all ARNG projects.

Obligations:

(Salaries) FY 11 \$1,643,211,346; FY 12 est \$1,538,611,863; and FY 13 est \$1,538,611,863 - ARRA of 2009 funded projects for O&M are covered under this CFDA.

Range and Average of Financial Assistance:

\$100,000 and up.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

National Guard Regulation 5-1.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Mary Ellen Lewis 1411 Jefferson Davis Highway
NGB-PARC-A Suite 8200, Arlington, Virginia 22202-3231 Email:
maryellen.lewis@us.army.mil Phone: 703-607-1002 Fax: 703-607-1174

Website Address:

<http://www.ngb.army.mil>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

States shall submit annual financial plans which will be reviewed, prioritized, and approved by the appropriate NGB Program Manager. DESCRIBE FOR

THE READER THAT PROJECTS ARE IMPLEMENTED BY THE STATES AND THAT THE READER WOULD NEED TO CONTACT THE STATE ADJUTANT GENERAL TO OBTAIN INFORMATION ON PROJECTS THAT THE READER COULD SUBMIT PROPOSED BIDS.

12.431 BASIC SCIENTIFIC RESEARCH

FEDERAL AGENCY:

U.S. Army Materiel Command, Department of Defense

AUTHORIZATION:

Public Laws 79-588,87-651,97-86, 10 U.S.C. 5150-5153; 10 U.S.C. 2358, as amended; 10 U.S.C. 2361; 10 U.S.C. 6304; Includes American Recovery and Re-Investment Act (ARRA) funds.

OBJECTIVES:

To support basic research that is related to or has potential for leading to the improvement of Army programs or operations.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Basic Research in the mathematical, physical, engineering, biological and geosciences providing knowledge necessary for the Army to make informed decisions about further exploratory development to provide the technology base from which Army capabilities are drawn. Partial support of symposia in the listed disciplines. Programs to encourage careers in science and engineering by supporting outstanding graduate, undergraduate and high school students pursuing studies in areas of Army concern. Programs to increase the number of science and engineering graduates from under-represented minority groups. Programs providing means for universities to buy major, high-cost research equipment.

Applicant Eligibility:

Educational institutions and nonprofit scientific research organizations.

Beneficiary Eligibility:

Educational institutions and nonprofit scientific research organizations.

Credentials/Documentation:

The following documentation is required to be submitted with offeror's proposals: Cover page and information requested thereon (ARO Form 51); Statement of Disclosure Preference (AR Form 52 or 52A); Abstract; Technical Proposal; and Cost Proposal including SF 1411. The technical portion of the proposal should contain the following: A complete discussion stating the background and objectives of the proposed work; information that will identify the magnitude of the research program currently underway by the proposed principal investigator(s) such as (i) description of the work, (ii) the annual dollar volume of the effort, (iii) the names Federal, State, local agencies or other parties presently funding the work or requested to fund such work, and (iv) a complete breakdown of the time of the principal investigator and/or co-principal investigator; brief biographical information and list of recent publications of the offeror's key personnel who will be involved in the research; type of support requested, for example, facilities, equipment, materials; names of other Federal, State, local agencies or other parties receiving the proposal and/or funding the proposed effort; statement regarding possible impact if any of the proposed effort on the environment; brief description of organization; and identity of facilities to be used for the work if appropriate for an understanding of the proposal. The cost proposal must be a complete and thoroughly documented estimate of the proposed costs of the research project. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. All eligible organizations may submit proposals in response to ARO's general and specific broad agency announcements (BAAs) published annually or as the need arises in the Federal Business Opportunities (www.fedbizopps.gov). Information regarding areas of

scientific interest and points of contact are contained in the BAAs. Application and award procedures are also contained in the BAA brochures. Pre-proposal contacts are encouraged prior to submission of a formal application.

Award Procedure:

Upon receipt of a proposal, the ARO scientific staff will perform an initial review of its scientific merit and potential contribution to the Army mission and also determine if funds are expected to be available for the effort. Proposals not considered to have sufficient scientific merit or relevance to the Army's needs or those in areas for which funds are not expected to be available may be declined without further review. Proposals not declined as a result of the initial review may be subject to an extensive peer review by highly qualified scientists from within the government and leading scientists and other preeminent experts outside the government. Each proposal will be evaluated based on how the proposal relates to the overall ARO program rather than against other proposals for research in the same general area normally using the following factors: (1) overall scientific and/or technical merits; (2) military and program relevance; (3) capabilities, related experience, facilities, techniques or unique combinations of these; (4) qualifications, capabilities and experience of the proposed principal investigator, team leader or other key personnel; (5) record of past performance; and (6) reasonableness and realism of proposed costs. If selected for award, the proposal is incorporated into a grant document and the awardee agrees to perform the research described therein.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Formal proposals are normally reviewed and notification made within six months from submission. Grant awards are usually made within three months from notification.

Appeals:

Not Applicable.

Renewals:

Once a grant is awarded, any follow-on research proposal is treated as a new request. Follow-on work should allow for the review time noted in the "award procedure" above.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: MANTECH Funds may have a matching requirement.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The length of time for which support is requested should be consistent with the nature and complexity of the proposed research. It is preferred that proposals submitted cover a three year period with each year proposed as a severable unit.

The maximum period acceptable for a research proposal is five years. Funds are normally provided in individual grants according to a quarterly schedule of payments. Method of awarding/releasing assistance: lump sum.

Reports:

Usually annual progress reports and a final report are required, but more frequent reporting may be specified in individual awards. The SF 425 Federal Reporting Form is used to report expenditures. Progress reports include a description of activities, findings, conclusions, technical progress, accomplishments and planned activities for the next reporting period. Disbursements are reported for periods of performance concurrent with technical progress reports. Technical monitors review progress reports and make periodic visits to research facilities.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Recipients are required to maintain records adequate to document costs incurred. Financial records, supporting documents, statistical records and all other records pertinent to an agreement will be retained for a period of 3 years. The retention period starts from the date of the submission of the final expenditure report or, for grants that are renewed annually, from the date of the submission of the annual financial status report. The recipient will allow access to any pertinent books, documents, papers, and records of the recipient organization and their subrecipients to make audits, examinations, excerpts and transcripts.

Account Identification:

21-2040-0-1-051; 21-2041-0-1-051.

Obligations:

(Salaries) FY 12 \$284,026,672; FY 13 est \$369,264,135; and FY 14 Estimate Not Available - Total Project Grants- FY 09-\$282,227,814 ;FY 10-\$300,000,000.00 (est.)

ARRA Funding Amount Only associated with CFDA 12.431
FY 08-\$0; FY 09-\$0; FY 10-\$4,373,231.00; FY 11-\$TBD.

Range and Average of Financial Assistance:

Range: \$1,374,000.00-\$1,500,000.00/ARRA and Average Award: \$1,457,744.00/ARRA.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

U.S. Army Research Office Broad Agency Announcement (BAA) is available without cost from the sponsoring agency. OMB Circular Nos. A-110, A-133, A-88, and A-21; DoD Instruction 3218.2; DoD Directive 3210.2; DoD Directive 3210.6; are applicable to the project grants.

Regional or Local Office:

None.

Headquarters Office:

Ernest Dixon, Army Research Office, 4300 South Miami Blvd. , Durham, North Carolina 27703 Email: ernie.dixon@us.army.mil Phone: (919) 549-4270 Fax: (919) 549-4388.

Website Address:

<http://www.amc.army.mil>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

12.440 DISSERTATION YEAR FELLOWSHIP

CMH Dissertation Fellowship

FEDERAL AGENCY:

US Army Center of Military History, Department of Defense

AUTHORIZATION:

National Defense Authorization Act for Fiscal Year 1993, Public Law 102-484, 106 Stat. 2511-2512, X U.S.C 1076.

OBJECTIVES:

The U.S. Army Center of Military History Dissertation Year Fellowship program is designed to support scholarly research and writing among qualified civilian graduate students preparing dissertations in the history of land warfare. The area of study is broadly defined, and includes military campaigns, biography, military organization and administration, policy, strategy, tactics, weaponry, technology, training, logistics, and the evolution of civil-military relations. Topics focusing on the history of the U.S. Army are preferred but not required.

TYPES OF ASSISTANCE:

Project Grants (including individual awards)

USES AND USE RESTRICTIONS:

The fellowships can be used to cover any costs related to dissertation research, required visits to the Center of Military History, or as supplemental income during the writing/editing phase of the dissertation.

Applicant Eligibility:

Applicants must be civilian U.S. citizens pursuing a doctoral degree in history. They must be unaffiliated with the federal government. Military personnel, civilian federal service employees, and contractors with the U.S. government are ineligible.

Beneficiary Eligibility:

N/A.

Credentials/Documentation:

Applicants must provide official copies of all transcripts along with a statement from their graduate committee advisor indicating that the applicant has met all requirements for the doctoral degree except for the dissertation. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

Upon selection for the award, fellows must sign a statement formally accepting the fellowship and agreeing to its terms. Paperwork is then submitted by CMH and the fellow to the Army Contracting Command which issues payment in the form of a lump-sum grant.

Deadlines:

Jan 15, 2014

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. This program has no matching requirements. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Assistance is issued at the beginning of the fellowship year, based upon the academic calendar. Method of awarding/releasing assistance: lump sum.

Reports:

No reports are required.

Audits:

No audits are required for this program.

Records:

N/A.

Account Identification:

21-2020-0-1-503.

Obligations:

(Project Grants (Fellowships)) FY 12 \$30,000; FY 13 est \$20,000; and FY 14 est \$20,000

Range and Average of Financial Assistance:

Individual awards total \$10,000 per fellowship. The program offers between two (2) and three (3) fellowships per year depending upon funding availability.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

AR 870-5 Section 2-4

Regional or Local Office:

None.

Headquarters Office:

Brian F. Neumann Bldg 35
102 Fourth Ave. SW, Fort McNair, District of Columbia 20319 Email:
brian.f.neumann.civ@mail.mil Phone: 2026852393

Website Address:

<http://www.history.army.mil/html/about/fellowship.html>

RELATED PROGRAMS:

14.516 Doctoral Dissertation Research Grants

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Selection criteria is based upon a combination of the dissertation proposal's relevance to the program's objectives, the feasibility of the research program described in the application, and the applicants overall credentials (as reflected in letter's of recommendation, a writing sample, and transcripts). A special panel of U.S. Army Center of Military History historians review the proposals, rate them, and send recommendations to the Chief of Military History for a final selection.

12.556 COMPETITIVE GRANTS: PROMOTING K-12 STUDENT ACHIEVEMENT AT MILITARY-CONNECTED SCHOOLS

DoDEA Grant Program

FEDERAL AGENCY:

Department of Defense

AUTHORIZATION:

Section 574 (d) of P.L. 109-364, as amended by Section 553 of P.L. 110-417; Title 10 U.S.C. Section 2192(b) and Title 10 U.S.C. Section 2193a.

OBJECTIVES:

To enhance student learning opportunities, student achievement, and educator professional development at military-connected schools significantly impacted by military force structure changes. These grants may be used to address student achievement in science, technology, mathematics, or English language arts/reading.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Projects use nationally recognized, research-based best practices to enhance student achievement primarily in academic areas. Projects should address identified needs at the target school(s). Projects must have an evaluation plan that uses multiple sources to measure both the fidelity and the results of implementation, especially as they contribute to improving the student achievement of military students at the target schools. The definition of military student is specified in the Federal Register announcement.

Applicant Eligibility:

Grant funds are aimed at military-connected school districts that have been significantly impacted by force structure changes.

Beneficiary Eligibility:

K-12 students are the primary beneficiaries, although the grants may also fund teacher professional development.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Environmental impact information is not required

for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Applicants must complete Standard Forms 424,424A, 424B, and Certification regarding Lobbying.

Award Procedure:

1. A Federal Register notice lists local educational agencies (LEAs) which are invited to apply for the competitive grants. Even if an LEA is invited to apply, this does not mean the LEA is automatically eligible to apply.
2. LEAs listed in the Federal Register notice determine their eligibility based on their military dependent population in their schools. The eligibility criteria are specified in the Federal Register notice.
3. LEAs submit concept papers to the Department of Defense Education Activity (DoDEA).
4. DoDEA experts review the concept papers. LEAs with the highest scoring concept papers are invited to submit and are sent full applications.
5. LEAs complete applications for eligible school(s).
6. DoDEA, military service representatives, and other federal agency experts review the applications and DoDEA awards the highest scoring applications.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 30 to 60 days. In FY09, the competitive process required submission of a concept paper first. After the concept papers were reviewed, a percentage of applicants were invited to submit full applications.

Appeals:

Appeals may be made to the DoDEA grants officer.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

In FY09 grant were made during the fiscal year (October 2008-September 2009). LEAs have 36-38 months, that is, the last two months of FY09 and all of FY10-12 to submit invoices for payment under the terms of that grant.. In FY10, a similar time period will most likely be used. See the following for information on how assistance is awarded/released: School districts may be reimbursed after submitting invoices. Arrangements can also be made by the school district--through the grants officer--to receive advance payments.

Reports:

No program reports are required. No cash reports are required. No program reports are required. No cash reports are required. Districts submit semi-annual progress reports detailing program progress and results. The program's evaluation plan largely determines the specifics of each report. Data must be disaggregated to show military students' progress/achievement. Quarterly financial reports (SF424A), final transactions report (SF424B), request for advance or reimbursement (SF270) are required. Districts submit semi-annual reports detailing program progress and results. The program's evaluation plan largely determines the specifics of each report. Quarterly financial reports (SF424A), final transactions report (SF424B), request for advance or reimbursement (SF270) are required. Districts submit semesterly reports detailing program progress and results. The program's evaluation plan largely determines the specifics of each report.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Semi-annual Progress Reports
 Quarterly Financial Reports
 Request for Advance or Reimbursement.

Account Identification:

97-0100-0-6-000.

Obligations:

(Project Grants (Discretionary)) FY 12 \$57,520,002; FY 13 est \$39,068,985; and FY 14 est \$50,000,000

Range and Average of Financial Assistance:

In FY09, the range of awards for DoDEA's 3-year grants was \$300,000 to \$2,000,000. The average award was \$1,200,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

OMB Circular No. A-133; DoD Directive 3210.2 and Department of Defense Grant and Agreement Regulations are applicable to the project grants. The agency also lists grant information in the Federal Register.

Regional or Local Office:

None. The awarding agency is located at DoDEA headquarters in Arlington, VA.

Headquarters Office:

Brian J. Pritchard 4040 North Fairfax Drive, 9th Floor
 , Arlington, Virginia 22203 Email: brian.pritchard@hq.dodea.edu Phone: 7035883345

Website Address:

<http://militaryk12partners.dodea.edu/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

In FY09 the selection of proposals was based on five criteria. They were weighted in the following order: implementation plan, evaluation plan, needs assessment, goals/outcomes, and budget/sustainability.

12.558 DEPARTMENT OF DEFENSE IMPACT AID (SUPPLEMENT, CWSD, BRAC)

DoD Impact Aid

FEDERAL AGENCY:

Office of the Secretary of Defense, Department of Defense

AUTHORIZATION:

Floyd D. Spence National Defense Authorization Act for Fiscal Year 2001, , Public Law 106-398.

OBJECTIVES:

Reimbursement for education related costs for military dependent children enrolled in a local education agency (LEA) school for the previous school year.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

Congressional law dictates that there are no prescribed uses or restriction on this financial assistance.

Applicant Eligibility:

Congressional law dictates that there are no prescribed uses or restrictions in place for this financial assistance.

Beneficiary Eligibility:

Congressional law dictates that only LEAs that are impacted by the enrollment in all grades (0-12) of military dependent students or military dependent students with a severe disability may apply.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Congressional law dictates that the Department of Defense acquires eligibility data from the Office of Impact Aid at the Department of Education.

Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. DoD Impact Aid Supplement

How To Apply

No Application required. Eligible LEAs will be contacted via mail. Contact information and account details will be verified with the Authorized Representative according to the data received from the Office of Impact Aid at the Department of Education.

DoD Impact Aid for Children with Severe Disabilities**How to Apply**

An application must be completed and submitted to DoD as an attachment to an email. The signature sheet (page 1 of the application) must be returned by fax to (703) 588-5330. The application is identified as Secretary of Defense (SD) form number SD 816, with form number SD 816C as a continuation sheet for those who need it. A sample Section II - Payment Determination is included as part of the form attachment. To access the fillable application form, go to the Secretary of Defense Forms Inventory website at: <http://www.dtic.mil/whs/directives/infomgt/forms/sdforms.htm>.

To obtain the desired form, scroll down to SD816 and/or SD816C and click on the link to the form. The forms are available as a fillable ADOBE/PDF. It is necessary for applicants to download the form and save it to the hard drive on their computers to work on it.

Applications shall be submitted only by email and the signature sheet shall be faxed. Applications shall be sent to DoD Impact Aid by email at Dodea.impactaid@hq.dodea.edu, and the signature sheet sent by fax to DoD Impact Aid at (703) 588-5330. The email address of the certifying representative of the LEA, whether it is the Superintendent or a designated representative authorized to act on behalf of the Superintendent, shall be included on the signature sheet of the application.

PLEASE DO NOT MAIL APPLICATIONS OR SEND BY A DELIVERY SERVICE (FEDEX, UPS, Airborne Express, etc.). RETAIN THE ORIGINAL IN YOUR FILES. IF WE SHOULD LATER NEED ACCESS TO YOUR ORIGINAL APPLICATION, WE WILL ADVISE YOU.

DoD Impact Aid for Large Scale Rebasing (BRAC)**How To Apply**

No Application required. Eligible LEAs will be contacted via mail. Contact information and account details will be verified with the Authorized Representative according to the data received from the Office of Impact Aid at the Department of Education.

Award Procedure:

LEAs are notified by mail. Financial Assistance is deposited into a designated verified district bank account.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Annually this financial assistance is available prior to September 30th. Method of awarding/releasing assistance: lump sum.

Reports:

No reports are required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Although LEAs are not required to submit their cost justification with their application, it would be prudent to have a spreadsheet available to defend their submitted costs if it is requested by DoD prior to making an eligibility and payment determination.

Account Identification:

97-0010-0-6-000.

Obligations:

(Formula Grants) FY 12 \$45,667,398; FY 13 est \$39,201,773; and FY 14 Estimate Not Available - Not Applicable.

Range and Average of Financial Assistance:

Not Applicable.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Eligible LEAs must have submitted an application to the Department of Education for Federal Impact Aid for the current Fiscal Year (FY), on which they indicated that they provided a free appropriate public education to military dependent children as described in subparagraph (A)(ii), (B), (D)(i) or (D)(ii) of section 8003(a)(1) of the Elementary and Secondary Education Act of 1965, as amended (20 U.S.C. 7703(a)(1)). LEAs may apply to the DoD Impact Aid for Children with Severe Disabilities for military dependent children with severe disabilities to whom they provided services during school year 2007-2008. These children must have been claimed by the LEA on the Department of Education Application for Federal Impact Aid Section 8003, to be children with disabilities (CWD). If, for any reason, the child was not identified by the LEA on the above mentioned application sent to Department of Education (e.g., the child did not attend the school at the time the application was submitted, the parent did not complete the form stating military dependent, etc.), the LEA cannot claim the child for purposes of the DoD Impact Aid for Children with Severe Disabilities. If the LEA has specific questions regarding the child's eligibility for the Federal Impact Aid program, it should contact the Department of Education.

Regional or Local Office:

See Regional Agency Offices. Department of Defense Education Activity Educational Partnership Branch.

Headquarters Office:

Keisha Brown 4040 North Fairfax Drive, Arlington, Virginia 22203-1635
Email: keisha.brown@hq.dodea.edu Phone: 703-588-3178 Fax: 703-588-5330

Website Address:

<http://militaryk12partners.dodea.edu>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

12.560 DOD, NDEP, DOTC-STEM EDUCATION OUTREACH IMPLEMENTATION**FEDERAL AGENCY:**

Office of the Secretary of Defense, Department of Defense

AUTHORIZATION:

10 U.S.C. 2194, as amended.

OBJECTIVES:

To obtain support for the management, development, and furthering of new and existing partnerships between the Department of Defense, National Defense Education Program, and Defense Ordnance Technology Consortium, for Science, Technology, Engineering, and Mathematics education and other outreach organizations, professional societies, and local education activities. The scope will cover all 50 states, U.S. territories, and DoDEA schools.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

1. Recommend, manage, consult, and support; development, negotiation, and pilot execution of; partnerships between DoD/ NDEP/DOTC-STEM and various education outreach organizations, professional societies, and local education groups.

2. Provide management and logistics support to convene: a series of regional and national community dialogs, professional development and planning workshops, and conferences on DoD/ NDEP/DOTC-STEM education and community outreach issues.

3. Develop concepts and tests on applying distance learning to DoD/ NDEP/DOTC-STEM outreach.

4. Provide training, marketing and outreach videos.

5. Provide programmatic support to the DOD DOTC-STEM office for student learning modules (SLM) and pre-engineering partnership (PEP) activities and their development, selection, modification, field-testing and other DoD/ NDEP/DOTC-STEM activities.

6. Support the alignment of Materials World Modules (MWM) and other DoD/ NDEP/DOTC-STEM educational outreach activities.

7. Provide an established, effective evaluation model and techniques for assessing the effectiveness of MWM and other DoD/ NDEP/DOTC-STEM outreach activities when deployed to large numbers of schools and districts.

8. Informing K-12 students of the possibility of a career in the STEM fields so as to insure that a viable workforce will be available from which DoD can recruit future scientists and engineers.

Applicant Eligibility:

N/A.

Beneficiary Eligibility:

N/A.

Credentials/Documentation:

Applicants should reference the funding announcement on grants.gov. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. SF 424 R&R, SF-LLL

Award Procedure:

Grant opportunity is posted on Grants.gov and Fedbizops. All responsive proposals will be evaluated in accordance with the evaluation criteria contained in the posted announcement.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. N/A.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Any restrictions will be discussed in the grant opportunity document. See the following for information on how assistance is awarded/released: Initial funding by lump sum followed by varying amounts of incremental funding as it becomes available.

Reports:

Grant recipient will be required to submit financial and performance (technical) reports. All financial reports and performance (technical) reports shall be submitted to the DOTC Grants Officer and to the DOTC-STEM Director. Electronic submission of performance reports is preferred. All reports will be submitted on a quarterly schedule and must be submitted no later than 30 days following the end of each quarter from the start date of the award. The comprehensive final report is due 90 days after the award expiration. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Defense Contract Audit Agency (DCAA) performs the auditing function for this program.

Records:

Recipients are required to maintain records adequate to document costs incurred. Financial records, supporting documents, statistical records and all other records pertinent to an agreement will be retained for a period of 3 years. The retention period starts from the date of the submission of the final expenditure report or, for grants that are renewed annually, from the date of the submission of the annual financial status report. The recipient will allow access to any pertinent books, documents, papers, and records of the recipient organization and their subrecipients to make audits, examinations, excerpts and transcripts.

Account Identification:

97-0400-1-1-001.

Obligations:

(Project Grants) FY 12 \$5,335,452; FY 13 est \$4,102,404; and FY 14 est \$5,000,000 - FY 2012 is TBD. All other fiscal year funding amounts are TBD.

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

William Coolbaugh, Building 322, Picatinny Arsenal, New Jersey 07806
Email: william.coolbaugh@us.army.mil Phone: (973) 724-8115.

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The DOTC-STEM Program Manager may review the ranking of the proposals and recommendations of the technical review panel. The reviewers independent ratings will be reconciled into a single rating for source selection purposes and a consensus will be drawn. Recommendations will be made to the Selecting Official whereby; the technical factor is approximately equal in ranking to that of the past performance factor. And both the technical and past performance factors rankings are significantly more important than cost. The average rank order from the review panel will be the primary consideration for the Selection Official in deciding which of the new proposals will be recommended for funding to the Grants Officer on a best value basis. However, the Selecting Official will select proposals after considering the technical reviews and recommendations of the DOTC-STEM Program Manager.

12.579 LANGUAGE TRAINING CENTER

FEDERAL AGENCY:

Office of the Secretary of Defense, Department of Defense

AUTHORIZATION:

National Defense Authorization Act of 2010, Section 529, Public Law 111-84.

OBJECTIVES:

The Language Training Center is a DOD-funded initiative that seeks to accelerate the development of foundational or higher-level expertise in critical and strategic languages and regional studies for DOD personnel (both military and civilian) by leveraging U.S. institutions of higher education to meet the existing and demonstrated training needs of DOD units, offices, or agencies.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Grants are awarded on a competitive basis to institutions of higher education to increase DOD's training capacity in critical and strategic languages and regional area studies for DOD personnel. Grant awards are only made to U.S. institutions of higher learning.

Applicant Eligibility:

Any accredited U.S. institutions of higher education (defined in Section 1201(a) of the Higher Education Act of 1965, 20 U.S.C. 1141(a) is eligible for a grant. This includes 2- and 4-year colleges and universities, both public and private. Other organizations, associations, agencies, and foreign institutions may be included in proposals but may not be direct recipients of a grant. Federal government schools are not eligible. Applicants must demonstrate an institutional commitment to increasing the critical language and cross-cultural proficiency of DOD personnel as well as proven institutional capability to provide quality instruction in these subject areas.

Beneficiary Eligibility:

Accredited U.S. institutions of higher education.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. All eligible private and public U.S. institutions of higher education may submit proposals in response to the relevant agency announcements.

Award Procedure:

Award decisions will be based on competitive selection of proposals resulting from an independent merit review by a panel comprised of specialists in language acquisition and study abroad as well as representatives from the military services.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Renewals are negotiated and are conducted annually based on national program strategy as well as institutional performance and availability of funding.

Formula and Matching Requirements:

This program has no statutory formula. This program has no matching requirements. There are no matching or cost sharing requirements but applicants are encouraged to cost share project efforts. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grants are single year awards. Additional renewal years are possible based on performance, program needs, and availability of funding. Method of awarding/releasing assistance: quarterly.

Reports:

Challenges, success, enrollment figures are reported on a quarterly basis. Funds expended on a quarterly basis are reported. Challenges, success, enrollment figures are reported on a quarterly basis. Funds expended on a quarterly basis are reported. Annual site visits and program reviews are conducted.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. A program-specific audit will be conducted each year.

Records:

The Comptroller General of the United States or a duty authorized representative from the General Accounting Office shall, until 3 years after final payment under this grant have access to and right to examine any of the recipient's directly pertinent books, documents, papers, or other records involving transactions related to this grant.

Account Identification:

97-0100-7-3-001 - Defense-Wide Operations and Maintenance D-W O&M.

Obligations:

(Project Grants) FY 11 \$6,000,000; FY 12 est \$6,000,000; and FY 13 Estimate Not Available

Range and Average of Financial Assistance:

Grant range from \$150,000 to \$2,000,000 annually for a single year project.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

The awardee and any consultant/contractor operating under the terms of a grant or cooperative agreement shall comply with all Federal, State, and local laws applicable to its activities including the following: 32 CFR 32, "Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-profit Organizations," OMB A-21, "Cost Principles of Educational Institutions," OMB A-110/2 CFR 215, "Uniform Administrative Requirements for Grants and Agreements With Institutions of Higher Education, Hospitals and Other Non-Profit Organizations," and OMB A-133, "Audits of States, Local Governments and Non-Profit Organizations."

Regional or Local Office:

None.

Headquarters Office:

Shirley T. Rapues 1101 Wilson Blvd, Suite 1210
. Arlington, Virginia 22209 Email: shirley.rapues@wso.whs.mil Phone:
7036966516 Fax: 7036965667

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

All projects must demonstrate existing institutional capacity and commitment to provide high-quality language training to DOD personnel. It must also demonstrate a clear, collaborative partnership with a DOD unit, office, or agency and their ability to demonstrate how their proposed training meets a DOD mission requirement.

12.599 CONGRESSIONALLY DIRECTED ASSISTANCE

Annual Congressionally Directed Assistance

FEDERAL AGENCY:

Office of the Secretary of Defense, Department of Defense

AUTHORIZATION:

Department of Defense Appropriations Act (annual).

OBJECTIVES:

To implement Congressionally directed assistance for the purposes identified in the Department of Defense (DoD) annual appropriations act. The resulting assistance instruments support a variety of Congressional priorities. DoD awards the appropriate assistance instruments for the Congressionally identified purposes consistent with its authority, including applicable requirements for merit-based or competitive processes.

TYPES OF ASSISTANCE:

Cooperative Agreements; Project Grants

USES AND USE RESTRICTIONS:

Uses and use restrictions may vary in accordance with the nature of the assistance identified in DoD's annual appropriations act. DoD takes appropriate account of other appropriate sources of supporting information consistent with its authority, such as related conference report(s), committee print(s), and explanatory statement(s).

Applicant Eligibility:

Types of organizations that may apply vary with the statutory requirements and appropriate sources of supporting information. Examples of organizations that have received assistance include nonprofit organizations, educational institutions, memorial organizations, local governments and cultural organizations. Merit-based or competitive processes are followed when applicable.

Beneficiary Eligibility:

Beneficiary Eligibility (082):

Eligible beneficiaries are determined in accordance with the applicable statutory authorization.

Credentials/Documentation:

Costs will be determined in accordance applicable regulations, applicable cost principles and appropriate OMB guidance (such as OMB Circular A-21 for educational institutions). Applicants may be required to demonstrate that they have the appropriate background, training, experience, and/or equipment to carry out the purposes of the Congressionally designated assistance. Depending on the circumstances, other requirements may be imposed consistent with DoDs authority at the discretion of the responsible DoD Grants Officer to ensure Congressional intent is carried out. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

The responsible DoD Grants Officer generally will engage in appropriate pre-application assistance on a case-by-case basis, depending on the needs of the applicant and nature of the subject assistance.

Generally the purposes of the directed grants are not of the nature to require environmental impact information. However, in the event that a grant is directed to a purpose that is environmentally sensitive an EIS could be required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110.

Applicants generally will be directed to use <http://www.grants.gov> (Grants.gov) to obtain the necessary electronic forms for applying for the subject assistance. At the appropriate time, the responsible DoD Grants Officer will notify the successful applicant in writing of DoDs intent to make an award pursuant to statutory authority.

Award Procedure:

Although assistance awards for Congressionally identified purposes typically are not implemented in the context of existing DoD programs, award procedures may be subject to statutory requirements governing competition for such awards, as implemented in DoD regulations. DoD will administratively evaluate the adequacy of the applicants plan to fulfill the Congressionally specified purpose. If the plan is adequate, and relevant audit(s) do not disclose any issues, DoD will proceed with award.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Approval time varies and depends in part on how quickly DoD obtains the required information and forms. Awards may be made at any time throughout the year(s) for which funding is lawfully available.

Appeals:

None.

Renewals:

None, unless authorized by Congress in the relevant or subsequent appropriations acts.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The terms of the assistance shall be determined at time of award. The length and time-phasing of the assistance will be stated in the assistance instrument and appropriately limited by the lawful availability of funds. See the following for information on how assistance is awarded/released: Mutually agreeable schedule for release of funds.

Reports:

Program reports are not applicable. The SF425 is used for financial reporting. DoD includes financial reporting requirements in the terms and conditions of

the assistance instruments. Generally, DoD requires quarterly reports. However, other reporting requirements may be imposed at the discretion of the responsible DoD Grants Officer, but no more often than quarterly. DoD includes progress reporting requirements in the terms and conditions of the assistance instruments. Generally, DoD requires quarterly reports. However, other reporting requirements may be imposed at the discretion of the responsible DoD Grants Officer, but no more often than quarterly. Expenditure reports are not applicable. A Program Officer reviews each progress report to track progress toward project descriptions and goals established during the application process. For construction grants, site visits may be necessary to review progress toward established goals.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Assistance awards are subject to inspections and audits by any authorized representative of the Federal government. DoD generally will require a copy of the designated recipients most recent audit. However, the responsible DoD Grants Officer may require other audits, consistent with applicable regulations and OMB guidance. Further, the Grants Officer may request assistance in reviewing such audits from any appropriate agency of the Federal government.

Records:

Recipients must keep thorough financial records, including (but not limited to) all documents supporting entries in accounting records. Financial records must be thorough enough for the responsible DoD Grants Officer or any other authorized representative of the Federal government to determine whether or not the assistance funds were expended consistent with the purpose of the subject assistance. Recipients must maintain all such records in accordance with the requirements in applicable OMB guidance, as implemented in the DoD Grant and Agreement Regulations.

Account Identification:

97-0010-0-1-120 - Annual O & M funding.

Obligations:

(Project Grants) FY 12 \$44,000,000; FY 13 est \$10,732,924; and FY 14 Estimate Not Available - The annual Defense Appropriations Act reveals the amount for these directed awards.

Range and Average of Financial Assistance:

Dependent on the language and direction found in the annual Defense Appropriations Act.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

DOD Grants and Agreements Regulations and applicable OMB Circulars.

Regional or Local Office:

None.

Headquarters Office:

Karen Rooney, 1155 Defense Pentagon
, Washington , District of Columbia 20301 Email: karen.rooney@whs.mil
Phone: 703-545-1046 Fax: 703-607-5030

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

12.600 SPECIAL ASSISTANCE

FEDERAL AGENCY:

Office of Economic Adjustment, Department of Defense

AUTHORIZATION:

Special one-time or recurring authorities that may be enacted, such as Section 8109, Public Law 112-10 and Section 8110, Public Law 112-10.

OBJECTIVES:

To provide special assistance directed by the Department of Defense and/or statute.

TYPES OF ASSISTANCE:

Cooperative Agreements; Dissemination of Technical Information; Project Grants

USES AND USE RESTRICTIONS:

Uses and restrictions may be directed by statute and/or Department policy. For example, uses may include, or be restricted to, construction, planning, technical assistance or other forms of financial assistance, and program restrictions may include matching share requirements or limit eligibility to certain types of applicants.

Applicant Eligibility:

Applicants for this assistance are to contact the Office of Economic Adjustment and a Project Manager will be assigned to work with the applicant to determine eligibility for assistance under this program. Applicant eligibility for this program may be directed or restricted by statute or Department of Defense policy. Unsolicited applications will not be accepted.

Beneficiary Eligibility:

Beneficiaries under this program may be directed or restricted by statute or Department of Defense policy.

Credentials/Documentation:

Applicants will be required to provide documentation consistent with the authority under which the assistance is being sought. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

The applicant is notified of funding availability through letter, electronic mail communication, or a Federal Funding Opportunity Notice (FFO). The applicant will be advised on how to apply for the funding through this notification. Funding is subject to the National Environmental Policy Act. An environmental impact statement and/or an environmental impact assessment may be required. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. An application (SF 424, budget, program or project narrative and assurances) must be submitted that outlines the proposed project and expenditure of funds. Additional requirements may be provided in a Federal Funding Opportunity Notice. Applicants will be invited to submit their applications and supporting documentation electronically to the Director, Office of Economic Adjustment, Department of Defense. In instances where, for whatever reason, an electronic application cannot be submitted, provisions may be made to accept a paper application addressed to: Director, Office of Economic Adjustment, Department of Defense, 2231 Crystal Drive, Suite 520, Arlington, VA 22202-3711.

Award Procedure:

Applications are approved by the Director, Office of Economic Adjustment.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

To the extent practicable, the Office of Economic Adjustment will inform an applicant of approval within thirty business days of the receipt of a final, completed application. The Office of Economic Adjustment will promptly inform an applicant of the rejection of any application once its due diligence has been completed.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: Matching requirements may apply to this program.

This program has MOE requirements, see funding agency for further details.

This program may have MOE requirements.

Length and Time Phasing of Assistance:

Length of assistance will be determined on the basis of particular project needs.

See the following for information on how assistance is awarded/released:

Funds are disbursed as required.

Reports:

No program reports are required. Cash reports are required. The frequency of the reports will be identified in the "Terms and Conditions" of the award.

Progress reports are required. The frequency of the reports will be identified in the "Terms and Conditions" of the award. Expenditure reports are required.

The frequency of the reports will be identified in the "Terms and Conditions" of the award. Performance monitoring may be required. The frequency of the monitoring will be identified in the "Terms and Conditions" of the award.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Standard Federal audit requirements apply, as appropriate to the type of recipient.

Records:

Standard Federal recordkeeping requirements apply, as appropriate to the type of recipient.

Account Identification:

97-0100-0-7-051.

Obligations:

(Direct Payments for Specified Use) FY 12 \$368,906,783; FY 13 est \$489,896,235; and FY 14 Estimate Not Available

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Eight grants were funded under two authorities: Section 8110, Public Law 112-10 and Section 8109, Public Law 112-10. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

The Awardee, and any subawardee or consultant/contractor, operating under the terms of a grant or cooperative agreement shall comply with all Federal, State, and local laws including the following, where applicable: Part 33 of title 32, Code of Federal Regulations (CFR), "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments; Part 225 of title 2, CFR, "Cost Principles for State, Local, and Indian Tribal Governments; Part 215 of title 2, CFR, Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and other Non-Profit Organizations; Part 220 of title 2, CFR, Cost Principles for Educational Institutions; Part 230 of title 2, CFR, Cost Principles for Non-Profit Organizations; Part 34, of title 32, CFR, Administrative Requirements for Grants and Agreements with For-Profit Organizations; Chapter 2, of title 48, Defense Acquisition Regulations System; Parts 31

Contract Financing and 231 Contract Cost Principles and Procedures of title 48, CFR, Federal Acquisition Regulation System; Part 25 of title 32, CFR, OMB Circular A-133; Audits of States, Local Governments and Non-Profit Organizations; Part 28 of title 32, CFR, "New Restrictions on Lobbying;" Part 1125 of title 2, CFR, Department of Defense Nonprocurement Debarment and Suspension, Subpart B, Requirements for Recipients Other Than Individuals, of Part 26 of title 32, CFR, Governmentwide Requirements for Drug-Free Workplace (Financial Assistance); (and - if only State and Local) Part 25 of title 2, CFR, Universal Identifier and Central Contractor Registration; and Part 175 of title 2, CFR, Award Term for Trafficking in Persons.

Regional or Local Office:

See Regional Agency Offices. Regional or Local Office: Regional Director, Western Region Office of Economic Adjustment, Department of Defense, 1325 J Street, Suite 1500, Sacramento, CA 95814, Phone: (916) 557-7365.

Headquarters Office:

Patrick J. O'Brien 2231 Crystal Drive, Suite 520, Arlington, Virginia 22202-3711 Email: oeafeedback@wso.whs.mil Phone: (703) 697-2130 Fax: (703) 607-0170

Website Address:

<http://www.oea.gov>

RELATED PROGRAMS:

12.607 Community Economic Adjustment Assistance for Establishment, Expansion, Realignment, or Closure of a Military Installation; 12.610 Community Economic Adjustment Planning Assistance for Joint Land Use Studies; 12.611 Community Economic Adjustment Planning Assistance for Reductions in Defense Industry Employment; 12.614 Community Economic Adjustment Diversification Planning; 12.615 Research and Technical Assistance

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Maryland Route 187 & W.Cedar Lane/Oakmont Avenue Intersection Improvements serving Walter Reed National Military Medical Center, Bethesda, Maryland. [Section 8110, Public Law 112-10 for transportation infrastructure associated with medical facilities related to recommendations of the 2005 Base Closure and Realignment Commission]

Lawton Public Schools construction for Freedom Elementary School. [Section 8109, Public Law 112-10 to address capacity or facility condition deficiencies of public schools located on military installations, as determined by the Secretary of Defense]. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

12.604 COMMUNITY ECONOMIC ADJUSTMENT

FEDERAL AGENCY:

Office of Economic Adjustment, Department of Defense

AUTHORIZATION:

Section 204 of Public Law 100-526, as amended; 10 U.S.C. 2391; Section 2905 of Public Law 101-510, as amended, Public Law 101-510, 10 U.S.C 2391.

OBJECTIVES:

To provide assistance to State and local governments affected by qualifying Department of Defense actions.

TYPES OF ASSISTANCE:

Cooperative Agreements; Dissemination of Technical Information; Project Grants

USES AND USE RESTRICTIONS:

Technical and financial assistance to States and local governments to assess the impact of a Defense action, operate and maintain a community-based organization to represent an impacted area and its workers, businesses, and communities; prepare cost effective strategies and action plans for sustainable economic recovery, conduct research, and/or carry out a community adjustment and economic diversification program.

Applicant Eligibility:

Applicants for this assistance are to contact the Office of Economic Adjustment and a Project Manager will be assigned to work with the applicant to determine eligibility for assistance under this program. States, counties, municipalities, other political subdivisions of a State, special purpose units of a State or local government, and tribal nations are eligible for this assistance if there is: a proposed or actual establishment, realignment, or closure of a military installation cancellation or termination of a Department of Defense contract or failure to proceed with an approved weapon system program; a publicly announced planned major reduction in Department of Defense spending that would directly and adversely affect a community; encroachment of a civilian community on a military installation; closure or significantly reduced operations of a defense facility as the result of the merger, acquisition, or consolidation of the defense contractor operating the defense facility. In the case of the establishment or expansion of a military installation, assistance may be made only if community impact assistance is not otherwise available and threshold requirements are met as cited in 10 U.S.C. 2391 (b)(2)(1)(B).

Beneficiary Eligibility:

States and communities, including workers, businesses and other community interests that are affected by a qualifying Department of Defense action.

Credentials/Documentation:

Applicants will be required to provide documentation consistent with the authority under which the assistance is being sought. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

The applicant may be notified of funding availability in person, through letter, electronic mail, or a Federal Funding Opportunity Announcement (FFO). The applicant will be advised on how to apply for the funding through this notification. The Office of Economic Adjustment will work closely with the affected State and/or community to craft a responsive program of technical assistance and, where eligible, project grants. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. An application (SF 424, budget, program or project narrative and assurances) must be submitted that outlines the proposed project and expenditure of funds. Applicants will be invited to submit their applications and supporting documentation electronically to the Director, Office of Economic Adjustment, Department of Defense. In instances where, for whatever reason, an electronic application cannot be submitted, provisions may be made to accept a paper application addressed to: Director, Office of Economic Adjustment, Department of Defense, 2231 Crystal Drive, Suite 520, Arlington, VA 22202-3711

Award Procedure:

Applications are approved by the Director, Office of Economic Adjustment.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

To the extent practicable, the Office of Economic Adjustment will inform an applicant of approval within seven business days of the receipt of a final, completed application. The Office of Economic Adjustment will inform an applicant of approval for assistance to carry out a community adjustment program within thirty business days of the receipt of a final, completed application. The Office of Economic Adjustment will promptly inform an applicant of the rejection of any application once its due diligence has been completed.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Matching requirements may apply to this program. A minimum of ten percent of the project's total proposed funding is to be comprised of non-Federal sources. In the case of a realignment or announced mission expansion resulting in local growth, a minimum of twenty-five percent of the project's total proposed funding is to be comprised of non-Federal sources.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Length of assistance will be determined on the basis of particular community economic adjustment. See the following for information on how assistance is awarded/released: Funds are disbursed as required.

Reports:

Program reports are required. The frequency of the reports will be identified in the "Terms and Conditions" of the award. Cash reports are required. The frequency of the reports will be identified in the "Terms and Conditions" of the award. Progress reports are required. The frequency of the reports will be identified in the "Terms and Conditions" of the award. Expenditure reports are required. The frequency of the reports will be identified in the "Terms and Conditions" of the award. Performance monitoring may be required. The frequency of the reports will be identified in the "Terms and Conditions" of the award.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Standard Federal audit requirements apply, as appropriate to the type of recipient.

Records:

Standard Federal recordkeeping requirements apply, as appropriate to the type of recipient.

Account Identification:

97-0100-0-7-051.

Obligations:

(Salaries) FY 11 \$0; FY 12 est \$0; and FY 13 Estimate Not Available

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

The Awardee, and any subawardee or consultant/contractor, operating under the terms of a grant or cooperative agreement shall comply with all Federal, State, and local laws including the following, where applicable: Part 33 of title 32, Code of Federal Regulations (CFR), "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments; Part 225 of title 2, CFR, "Cost Principles for State, Local, and Indian Tribal Governments; Part 25 of title 32, CFR, OMB Circular A-133; Audits of States, Local Governments and Non-Profit Organizations; Part 28 of title 32, CFR, "New Restrictions on Lobbying;" Part 1125 of title 2, CFR, Department of Defense Nonprocurement Debarment and Suspension, Subpart B, Requirements for Recipients Other Than Individuals, of Part 26 of title 32, CFR, Governmentwide Requirements for Drug-Free Workplace (Financial Assistance); and Part 25 of title 2, CFR, Universal Identifier and Central Contractor Registration.

Regional or Local Office:

See Regional Agency Offices. Regional Director, Western Region Office of Economic Adjustment, Department of Defense, 1325 J Street, Suite 1500, Sacramento, CA 95814, Telephone: (916) 557-7365.

Headquarters Office:

Patrick J. O'Brien 2231 Crystal Drive, Suite 520, Arlington, Virginia 22202-3711 Email: oeafeedback@wso.whs.mil Phone: 703-697-2130 Fax: 703-607-0170

Website Address:

http://www.oea.gov

RELATED PROGRAMS:

12.600 Community Economic Adjustment ; 12.607 Community Economic Adjustment Assistance for Establishment, Expansion, Realignment, or Closure of a Military Installation; 12.610 Community Economic Adjustment Planning Assistance for Joint Land Use Studies; 12.611 Community Economic Adjustment Planning Assistance for Reductions in Defense Industry Employment; 12.614 Community Economic Adjustment Diversification Planning; 12.615 Research and Technical Assistance

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable. Awards are based on eligibility and availability of funds.

12.610 COMMUNITY ECONOMIC ADJUSTMENT ASSISTANCE FOR COMPATIBLE USE AND JOINT LAND USE STUDIES

FEDERAL AGENCY:

Office of Economic Adjustment, Department of Defense

AUTHORIZATION:

10 U.S.C. 2391.

OBJECTIVES:

Assist State and local governments in preparing and adopting a planning study to mitigate or prevent incompatible civilian land use/activity that is likely to impair the continued operational utility of a Department of Defense (DoD) military installation. Grantees and participating governments are expected to adopt and implement the study recommendations.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Respond to encroachment of the civilian community that is likely to impair the continue operational utility of the military installation through a Compatible Use or Joint Land Use Study. The State and/or local government partners with the military installation to plan and carry out strategies promoting compatible civilian development adjacent to installations, ranges, and military flight corridors. This study results in a strategic plan with specific implementation actions to ensure civilian growth and development are compatible with vital training, testing, and other military missions.

Applicant Eligibility:

Applicants for this assistance are to contact the Office of Economic Adjustment and a Project Manager will be assigned to work with the applicant to determine eligibility for assistance under this program. States, counties, municipalities, other political subdivisions of a State, and special purpose units of a State or local government are eligible for this assistance if: (a) the cognizant Military Department has nominated the installation for a study; (b) the Director, Office of Economic Adjustment determines that the encroachment of the civilian community is likely to impair the continued operational utility of the military installation; and (c) the affected jurisdictions desire to work with the local installation to address civilian encroachment through a locally-driven study/planning process.

Beneficiary Eligibility:

States and communities, including local property owners, as well as the local military installation.

Credentials/Documentation:

Applicants will be required to provide documentation consistent with the authority under which the assistance is being sought. Applicants must document their intent to work with the Military Department, Federal, State, and local officials, residents, businesses, and landowners to cooperatively participate in the development and implementation of a strategic plan and

specific implementation measures to ensure civilian growth and development are compatible with the continued operational utility of the military installation.

Applicants also must show evidence that the proposed planning process and implementation measures will prevent further encroachment on the military installation. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Upon receipt of a Military Department nomination, the Office of Economic Adjustment Director will notify the Installation Commander that an Office of Economic Adjustment Project Manager will schedule a visit to provide a program overview and confirm the Installation Commanders support to engage the affected local governments and/or State in a Joint Land Use Study. The Project Manager will then proceed to engage the affected local governments and/or State to confirm their interest and support to undertake the study and implement the study recommendations. Once support from the Installation Commander and affected local governments and/or State is confirmed, the Project Manager will work with the local governments and/or State to craft an effective and responsive program of assistance to ensure civilian growth and development are compatible with the continued operational utility of the military installation. The Project Manager will provide necessary information to assist the State or local government to prepare and submit an application for financial assistance under this program. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. An application (SF 424, budget, program or project narrative and assurances) must be submitted that outlines the proposed project and expenditure of funds. Applications and supporting documentation must be submitted to the Director, Office of Economic Adjustment, Department of Defense. Applicants will be invited to submit their applications and supporting documentation electronically to the Director, Office of Economic Adjustment, Department of Defense. In instances where, for whatever reason, an electronic application cannot be submitted, provisions may be made to accept a paper application addressed to: Director, Office of Economic Adjustment, Department of Defense, 2231 Crystal Drive, Suite 520, Arlington, VA 22202-3711.

Award Procedure:

Applications are approved by the Director, Office of Economic Adjustment.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

To the extent practicable, the Office of Economic Adjustment will inform an applicant of approval within seven business days of the receipt of a final, completed application. The Office of Economic Adjustment will inform an applicant for assistance to carry out community adjustment program of approval within thirty business days of the receipt of a final, completed application. The Office of Economic Adjustment will promptly inform an applicant of the rejection of any application once its due diligence has been completed.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: Matching requirements may apply to this program. A minimum of ten percent of the project's total proposed funding is to be comprised of non-Federal sources. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Length of assistance will be determined on the basis of local needs. See the

following for information on how assistance is awarded/released: Funds are disbursed quarterly or as required.

Reports:

No program reports are required. Cash reports are required. The frequency of the reports will be identified in the "Terms and Conditions" of the award. Progress reports are required. The frequency of the reports will be identified in the "Terms and Conditions" of the award. Expenditure reports are required. The frequency of the reports will be identified in the "Terms and Conditions" of the award. Performance monitoring may be required. The frequency of the monitoring will be identified in the "Terms and Conditions" of the award.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Standard Federal audit requirements apply, as appropriate to the type of recipient.

Records:

Standard Federal recordkeeping requirements apply, as appropriate to the type of recipient.

Account Identification:

97-0100-0-7-051.

Obligations:

(Project Grants) FY 11 \$38,249,915; FY 12 est \$4,000,000; and FY 13 Estimate Not Available

Range and Average of Financial Assistance:

\$50,000 - \$750,000; \$250,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

The Awardee, and any subawardee or consultant/contractor, operating under the terms of a grant or cooperative agreement shall comply with all Federal, State, and local laws including the following, where applicable: Part 33 of title 32, Code of Federal Regulations (CFR), "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments; Part 225 of title 2, CFR, "Cost Principles for State, Local, and Indian Tribal Governments; Part 25 of title 32, CFR, OMB Circular A-133; Audits of States, Local Governments and Non-Profit Organizations; Part 28 of title 32, CFR, "New Restrictions on Lobbying;" Part 1125 of title 2, CFR, Department of Defense Nonprocurement Debarment and Suspension, Subpart B, Requirements for Recipients Other Than Individuals, of Part 26 of title 32, CFR, Governmentwide Requirements for Drug-Free Workplace (Financial Assistance); and Part 25 of title 2, CFR, Universal Identifier and Central Contractor Registration.

Regional or Local Office:

See Regional Agency Offices. Regional Director, Western Region Office of Economic Adjustment, Department of Defense, 1325 J Street, Suite 1500, Sacramento, CA 95814. Phone: (916) 557-7365.

Headquarters Office:

Patrick J. O'Brien 2231 Crystal Drive, Suite 520, Arlington, Virginia 22202-3711 Email: oeafeedback@wso.whs.mil Phone: (703) 697-2130 Fax: (703) 607-0170

Website Address:

<http://www.oea.gov>

RELATED PROGRAMS:

12.600 Community Economic Adjustment ; 12.607 Community Economic Adjustment Assistance for Establishment, Expansion, Realignment, or Closure of a Military Installation; 12.611 Community Economic Adjustment Planning Assistance for Reductions in Defense Industry Employment; 12.614 Community Economic Adjustment Diversification Planning; 12.615 Research

and Technical Assistance

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available
Fiscal Year 2013: No Current Data Available
Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Not applicable. Awards are based on eligibility and availability of funds.

12.611 COMMUNITY ECONOMIC ADJUSTMENT ASSISTANCE FOR REDUCTIONS IN DEFENSE INDUSTRY EMPLOYMENT

FEDERAL AGENCY:

Office of Economic Adjustment, Department of Defense

AUTHORIZATION:

10 U.S.C. 2391.

OBJECTIVES:

Assist States and local governments to plan and carry out community adjustment and economic diversification activities in response to reductions in defense industry employment.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Plan and carry out local economic adjustment programs, including, but not limited to: assessment of local economic strengths, weaknesses, opportunities and threats; staffing, operating and administrative costs for an organization to represent the affected community; review of local Defense prime and sub contracting activity; outreach to local small businesses, workers, and other community interests; and, facility evaluations. Funds provided under this program may not be used to directly identify or assist a business, including a business expansion, in the relocation of a plant, facility, or operation from one Labor Market Area (LMA) to another if the relocation is likely to result in the loss of jobs in the LMA from which the relocation occurs.

Applicant Eligibility:

Applicants for this assistance are to contact the Office of Economic Adjustment and a Project Manager will be assigned to work with the applicant to determine eligibility for assistance under this program. States, counties, municipalities, other political subdivisions of a State, special purpose units of a State or local government, and tribal nations are eligible for this assistance if there is: a publicly announced planned major reduction in Department of Defense (DoD) spending; the closure or significantly reduced operations of a defense facility as the result of the merger, acquisition, or consolidation of the defense contractor operating the defense facility; the cancellation or termination of a DoD contract; or the failure to proceed with an approved major weapon system program if these actions will have a direct and significant adverse impact on a community or its residents. The local action must result in the loss of: 2,500 or more employee positions, in the case of a Metropolitan Statistical Area; 1,000 or more employee positions, in the case of a labor market area outside of a Metropolitan Statistical Area; or one percent of the total number of civilian jobs in that area to be considered for this assistance.

Beneficiary Eligibility:

States and communities, including workers, businesses, and other community interests, that may be affected by Defense actions.

Credentials/Documentation:

Applicants will be required to provide documentation consistent with the authority under which the assistance is being sought. Applicants must document: 1) the publicly announced Defense action that has occurred or will occur; and 2) the known or anticipated impacts on the applicant due to the closure, reduction, cancellation, termination, or failure to proceed. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

The applicant may be notified of funding availability in person, through letter, electronic mail, or a Federal Funding Opportunity Announcement (FFO). The applicant will be advised on how to apply for the funding through this notification. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental

Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. An application (SF 424, budget, program or project narrative and assurances) must be submitted that outlines the proposed project and expenditure of funds. Applicants will be invited to submit their applications and supporting documentation electronically to the Director, Office of Economic Adjustment, Department of Defense. In instances where, for whatever reason, an electronic application cannot be submitted, provisions may be made to accept a paper application addressed to: Director, Office of Economic Adjustment, Department of Defense, 2231 Crystal Drive, Suite 520, Arlington, VA 22202-3711.

Award Procedure:

Applications are approved by the Director, Office of Economic Adjustment.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

To the extent practicable, the Office of Economic Adjustment will inform an applicant of approval within seven business days of the receipt of a final, completed application. The Office of Economic Adjustment will inform an applicant for assistance to carry out community adjustment program of approval within thirty business days of the receipt of a final, completed application. The Office of Economic Adjustment will promptly inform an applicant of the rejection of any application once its due diligence has been completed.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: A minimum of ten percent of the project's total proposed funding is to be comprised of non-Federal sources.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Length of assistance will be determined on the basis of local needs. See the following for information on how assistance is awarded/released: Funds are disbursed quarterly or as required.

Reports:

No program reports are required. Cash reports are required. The frequency of the reports will be identified in the "Terms and Conditions" of the award. Progress reports are required. The frequency of the reports will be identified in the "Terms and Conditions" of the award. Expenditure reports are required. The frequency of the reports will be identified in the "Terms and Conditions" of the award. Performance monitoring is required. The frequency of the monitoring will be identified in the "Terms and Conditions" of the award.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Standard Federal audit requirements apply, as appropriate to the type of recipient.

Records:

Standard Federal recordkeeping requirements apply, as appropriate to the type of recipient.

Account Identification:

97-0100-0-7-051.

Obligations:

(Project Grants) FY 11 \$1,865,227; FY 12 est \$4,000,000; and FY 13 Estimate Not Available

Range and Average of Financial Assistance:

\$500,000 - \$1,500,000; \$1,000,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

The Awardee, and any subawardee or consultant/contractor, operating under the terms of a grant or cooperative agreement shall comply with all Federal, State, and local laws including the following, where applicable: Part 33 of title 32, Code of Federal Regulations (CFR), "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments; Part 225 of title 2, CFR, "Cost Principles for State, Local, and Indian Tribal Governments; Part 25 of title 32, CFR, OMB Circular A-133; Audits of States, Local Governments and Non-Profit Organizations; Part 28 of title 32, CFR, "New Restrictions on Lobbying;" Part 1125 of title 2, CFR, Department of Defense Nonprocurement Debarment and Suspension, Subpart B, Requirements for Recipients Other Than Individuals, of Part 26 of title 32, CFR, Governmentwide Requirements for Drug-Free Workplace (Financial Assistance); and Part 25 of title 2, CFR, Universal Identifier and Central Contractor Registration.

Regional or Local Office:

See Regional Agency Offices. Regional Director, Western Region Office of Economic Adjustment, Department of Defense, 1325 J Street, Suite 1500, Sacramento, CA 95814. Phone: (916) 557-7365.

Headquarters Office:

Patrick J. O'Brien 2231 Crystal Drive, Suite 520, Arlington, Virginia 22202-3711 Email: oeafeedback@wso.whs.mil Phone: (703) 697-2130 Fax: (703) 607-0170

Website Address:

<http://www.oea.gov>

RELATED PROGRAMS:

11.307 Economic Adjustment Assistance; 12.600 Community Economic Adjustment ; 12.607 Community Economic Adjustment Assistance for Establishment, Expansion, Realignment, or Closure of a Military Installation; 12.610 Community Economic Adjustment Planning Assistance for Joint Land Use Studies; 12.614 Community Economic Adjustment Diversification Planning; 12.615 Research and Technical Assistance; 14.218 Community Development Block Grants/Entitlement Grants; 17.260 WIA Dislocated Workers; 59.012 7(a) Loan Guarantees

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Not applicable. Awards are based on eligibility and availability of funds.

12.614 COMMUNITY ECONOMIC ADJUSTMENT ASSISTANCE FOR ADVANCE PLANNING AND ECONOMIC DIVERSIFICATION FEDERAL AGENCY:

Office of Economic Adjustment, Department of Defense

AUTHORIZATION:

10 U.S.C. 2391.

OBJECTIVES:

Assist State and local governments to lessen an area's dependence on defense expenditures by preparing economic diversification strategies, and contingency strategies and schematic land use plans for the potential redevelopment of a military installation prior to closure or realignment decisions.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Develop economic diversification studies to lessen local economic dependency

on Department of Defense and/or military installation-related expenditures; prepare contingency plans for organizing a community in response to a possible base closure or realignment; and/or produce strategies and schematic plans for the potential civilian reuse of a military installation prior to closure or realignment decision. Funds provided under this program shall not be used to directly identify or assist a business, including a business expansion, in the relocation of a plant, facility, or operation from one Labor Market Area (LMA) to another if the relocation is likely to result in the loss of jobs in the LMA from which the relocation occurs. Funds shall not be used to directly or indirectly inform and/or influence deliberations under Public Law 101-510, as amended, or subsequent Base Closure and Realignment laws, of the Department of Defense (including any of its components). In considering military installations for closure or realignment, the Secretary of Defense may not take into account for any purpose any advance planning undertaken by an affected community with respect to the anticipated closure or realignment of a military installation.

Applicant Eligibility:

Applicants for this assistance are to contact the Office of Economic Adjustment and a Project Manager will be assigned to work with the applicant to determine eligibility for assistance under this program. States, counties, municipalities, other political subdivisions of a State, special purpose units of a State or local government, and tribal nations are eligible for this assistance if a substantial portion of the economic activity or population of the applicant's geographic area is dependent on defense expenditures. The Office of Economic Adjustment must determine, in consultation with the affected state or community, that a substantial portion of the economic activity or population of the geographic area subject to the planning under this program is dependent on Department of Defense (Defense) expenditures. For purposes of eligibility determination, an area is considered Defense-dependent if it can demonstrate: (1) Direct military and civilian employment totals at least one and a half times the national level of Defense employment as a percent of the total U.S. labor force or (2) Defense-related expenditures comprise at least one and a half times the Defense percent of gross domestic product. The National Defense Budget Estimates for FY2012 states that the 2010 Defense employment as a percent of total labor force is 1.4% and the 2012 Defense share of gross domestic product is 4.0%. One and half times the Defense employment as a percent of the total labor force is 2.1%, and one and half times the Defense percent of gross domestic product is 6.0%. Technical and financial assistance is structured through an assigned Project Manager working with a defense-dependent State and/or local government.

Beneficiary Eligibility:

States and communities, including workers, businesses, and other community interests, that could be affected by Defense budget reductions and base closures/realignments.

Credentials/Documentation:

Applicants will be required to provide documentation consistent with the authority under which the assistance is being sought, and must document that a substantial portion of the economic activity or employment of a local area is dependent on Defense and/or related military installation expenditures. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

The applicant may be notified of funding availability in person, through letter, electronic mail, or a Federal Funding Opportunity Announcement (FFO). The applicant will be advised on how to apply for the funding through this notification. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. An application (SF 424, budget, program or project narrative and assurances) must be submitted that outlines the proposed project and expenditure of funds. Applicants will be invited to submit their applications and supporting documentation electronically to the Director, Office of Economic Adjustment, Department of Defense. In

instances where, for whatever reason, an electronic application cannot be submitted, provisions may be made to accept a paper application addressed to: Director, Office of Economic Adjustment, Department of Defense, 2231 Crystal Drive, Suite 520, Arlington, VA 22202-3711

Award Procedure:

Applications are approved by the Director, Office of Economic Adjustment.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

To the extent practicable, the Office of Economic Adjustment will inform an applicant of approval within seven business days of the receipt of a final, completed application. The Office of Economic Adjustment will promptly inform an applicant of the rejection of any application once its due diligence has been completed.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching Requirements: A minimum of ten percent of the project's total proposed funding is to be comprised of non-Federal sources. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Length of assistance will be determined on the basis of local needs and normally should not exceed one year. See the following for information on how assistance is awarded/released: Funds are disbursed quarterly or as required.

Reports:

No program reports are required. Cash reports are required. The frequency of the reports will be identified in the "Terms and Conditions" of the award. Progress reports are required. The frequency of the reports will be identified in the "Terms and Conditions" of the award. Expenditure reports are required. The frequency of the reports will be identified in the "Terms and Conditions" of the award. Performance monitoring is required. The frequency of the monitoring will be identified in the "Terms and Conditions" of the award.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Standard Federal audit requirements apply, as appropriate to the type of recipient.

Records:

Standard Federal recordkeeping requirements apply, as appropriate to the type of recipient.

Account Identification:

97-0100-1-7-051.

Obligations:

(Project Grants) FY 11 \$931,991; FY 12 est \$1,500,000; and FY 13 Estimate Not Available

Range and Average of Financial Assistance:

\$50,000 - \$500,000; \$350,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

The Awardee, and any subawardee or consultant/contractor, operating under the terms of a grant or cooperative agreement shall comply with all Federal, State, and local laws including the following, where applicable: Part 33 of title 32,

Code of Federal Regulations (CFR), "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments; Part 225 of title 2, CFR, "Cost Principles for State, Local, and Indian Tribal Governments; Part 25 of title 32, CFR, OMB Circular A-133; Audits of States, Local Governments and Non-Profit Organizations; Part 28 of title 32, CFR, "New Restrictions on Lobbying;" Part 1125 of title 2, CFR, Department of Defense Nonprocurement Debarment and Suspension, Subpart B, Requirements for Recipients Other Than Individuals, of Part 26 of title 32, CFR, Governmentwide Requirements for Drug-Free Workplace (Financial Assistance); and Part 25 of title 2, CFR, Universal Identifier and Central Contractor Registration.

Regional or Local Office:

See Regional Agency Offices. Western Region Director, Office of Economic Adjustment, Department of Defense, 1325 J Street, Suite 1500, Sacramento, CA 95814. Phone: (916) 557-7365.

Headquarters Office:

Patrick J. O'Brien 2231 Crystal Drive, Suite 520, Arlington, Virginia 22202-3711 Email: oeafeedback@wso.whs.mil Phone: (703) 697-2130 Fax: (703) 607-0170

Website Address:

<http://www.oea.gov>

RELATED PROGRAMS:

11.307 Economic Adjustment Assistance; 12.600 Community Economic Adjustment ; 12.607 Community Economic Adjustment Assistance for Establishment, Expansion, Realignment, or Closure of a Military Installation; 12.610 Community Economic Adjustment Planning Assistance for Joint Land Use Studies; 12.611 Community Economic Adjustment Planning Assistance for Reductions in Defense Industry Employment; 12.615 Research and Technical Assistance; 14.218 Community Development Block Grants/Entitlement Grants; 17.260 WIA Dislocated Workers; 59.012 7(a) Loan Guarantees

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Not applicable. Awards are based on eligibility and availability of funds.

12.615 RESEARCH AND TECHNICAL ASSISTANCE

FEDERAL AGENCY:

Office of Economic Adjustment, Department of Defense

AUTHORIZATION:

10 U.S.C. 2391.

OBJECTIVES:

To make awards to, or conclude cooperative agreements with States or local governments, or any nongovernmental or other private entity, to conduct research and provide technical assistance related to community economic adjustment needs and assistance under 10 U.S.C. Section 2391(c), or Executive Order 12788, as amended. Awards provided under this notice support the Office of Economic Adjustment as well as the Defense Economic Adjustment Program (DEAP) by: (1) developing and analyzing information for program and policy considerations; and, (2) undertaking special research.

TYPES OF ASSISTANCE:

Cooperative Agreements; Project Grants

USES AND USE RESTRICTIONS:

Topics and areas of specific interest to OEA may be identified in a Federal Funding Opportunity (FFO) issued under this program. Proposals outside of a FFO, or beyond the identified topics and areas of interest in a FFO, may also be considered. Activities under this program must relate to assisting state and local governments to plan and/or carry out community adjustments and economic diversification required by: the proposed or actual establishment, realignment, or closure of a military installation; the cancellation or termination of a Department of Defense contract or the failure to proceed with an approved major weapon system program; a publicly announced planned major reduction in Department of Defense spending that would adversely affect a community;

the encroachment on a military installation; or, the closure or the significantly reduced operations of a defense facility as the result of the merger, acquisition, or consolidation of the defense contractor operating the facility. Funding may not be used for direct hard- or soft-construction activities.

Applicant Eligibility:

Eligible respondents include any governmental or private entity. A "private entity" is defined for purposes of this listing as any entity that is non-governmental.

Beneficiary Eligibility:

States and communities, including workers, businesses, and other community interests that may be affected by Department of Defense activity.

Credentials/Documentation:

Applicants will be required to provide documentation consistent with the authority under which the assistance is being sought. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

The applicant is notified of funding availability through letter, electronic mail communication, or a Federal Funding Opportunity Notice (FFO). The applicant will be advised on how to apply for the funding through this notification. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. All interested respondents must submit a proposal within the solicitation period established in the FFO. Unless otherwise specified in the Federal Funding Opportunity (FFO), each proposal submitted must include a cover or transmittal letter and accompanying text that shall consist of no more than 10 pages (single-sided), consisting of: An abstract of the proposed research or technical assistance; A description of the scope of work to be undertaken and how it responds to OEA and the Defense Economic Adjustment Program; A proposed budget and budget justification; Detailed description of the project team and their relevant experience; A project schedule for completion of the work; A point of contact. In the case of a proposal on an unsolicited topic, a summary of the problem or issue the project will address. Proposals should be submitted by letter addressed to the Director, Office of Economic Adjustment, Department of Defense, 2231 Crystal Drive, Suite 520,, Arlington, VA 22202-3711. They may also be faxed to the Office of Economic Adjustment at (703) 607-0170, or submitted electronically to: rta.submit@wso.whs.mil.

Award Procedure:

OEA will notify respondents within the timelines established in the FFO whether or not their proposal will be invited for a formal application. At that point, OEA will assign a Project Manager to advise and assist with the preparation of an application. Applications will be reviewed for their completeness and accuracy, and, to the extent possible, an award notification will be issued within 30 days of the receipt of a complete application.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

OEA will notify respondents within the timelines established in the FFO whether their proposal was successful and invite an application.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Length of assistance will vary according to the nature of the proposal. See the following for information on how assistance is awarded/released: Funds are disbursed as required.

Reports:

Program reports are not applicable. Cash reports are required. The frequency of the reports will be identified in the "Terms and Conditions" of the award. Progress reports are required. The frequency of the reports will be identified in the "Terms and Conditions" of the award. Expenditure reports are required. The frequency of the reports will be identified in the "Terms and Conditions" of the award. Performance monitoring is required. The frequency of the monitoring will be identified in the "Terms and Conditions" of the award.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Standard Federal audit requirements apply, as appropriate to the type of recipient.

Records:

Standard Federal recordkeeping requirements apply, as appropriate to the type of recipient.

Account Identification:

97-0100-0-7-051.

Obligations:

(Project Grants (Cooperative Agreements)) FY 11 \$1,000,000; FY 12 est \$1,000,000; and FY 13 Estimate Not Available

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

The Awardee, and any subawardee or consultant/contractor, operating under the terms of a grant or cooperative agreement shall comply with all Federal, State, and local laws including the following, where applicable: Part 33 of title 32, Code of Federal Regulations (CFR), "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments; Part 225 of title 2, CFR, "Cost Principles for State, Local, and Indian Tribal Governments; Part 215 of title 2, CFR, Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and other Non-Profit Organizations; Part 220 of title 2, CFR, Cost Principles for Educational Institutions; Part 230 of title 2, CFR, Cost Principles for Non-Profit Organizations; Part 34, of title 32, CFR, Administrative Requirements for Grants and Agreements with For-Profit Organizations; Chapter 2, of title 48, Defense Acquisition Regulations System; Parts 31 Contract Financing and 231 Contract Cost Principles and Procedures of title 48, CFR, Federal Acquisition Regulation System; Part 25 of title 32, CFR, OMB Circular A-133; Audits of States, Local Governments and Non-Profit Organizations; Part 28 of title 32, CFR, "New Restrictions on Lobbying;" Part 1125 of title 2, CFR, Department of Defense Nonprocurement Debarment and Suspension, Subpart B, Requirements for Recipients Other Than Individuals, of Part 26 of title 32, CFR, Governmentwide Requirements for Drug-Free Workplace (Financial Assistance); (and - if only State and Local) Part 25 of title 2, CFR, Universal Identifier and Central Contractor Registration; and Part 175 of title 2, CFR, Award Term for Trafficking in Persons.

Regional or Local Office:

See Regional Agency Offices. Regional Director, Western Regional Office of Economic Adjustment, Department of Defense, 1325 J Street, Suite 1500, Sacramento, CA 95814, Phone: (916) 557-7365.

Headquarters Office:

Patrick J. O'Brien 2231 Crystal Drive, Suite 520, Arlington, Virginia
22202-3711 Email: oeafeedback@wso.whs.mil Phone: (703) 697-2130 Fax:
(703) 607-0170

Website Address:

<http://www.oea.gov>

RELATED PROGRAMS:

12.600 Community Economic Adjustment ; 12.607 Community Economic Adjustment Assistance for Establishment, Expansion, Realignment, or Closure of a Military Installation; 12.610 Community Economic Adjustment Planning Assistance for Joint Land Use Studies; 12.611 Community Economic Adjustment Planning Assistance for Reductions in Defense Industry Employment; 12.614 Community Economic Adjustment Diversification Planning

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

OEA considers each of the following equally-balanced factors as a basis for inviting formal award applications: Overall conformance with proposal requirements: Overall responsiveness of the proposal to support the Office of Economic Adjustment and Defense Economic Adjustment Program: Overall expertise, experience, qualifications and ability of investigators: Overall reasonableness of budgeted expenditures.

12.631 SCIENCE, TECHNOLOGY, ENGINEERING AND MATHEMATICS (STEM) EDUCATIONAL PROGRAM: SCIENCE, MATHEMATICS AND RESEARCH FOR TRANSFORMATION (SMART)

SMART Scholarship-for-Service Program

FEDERAL AGENCY:

Office of the Secretary of Defense, Department of Defense

AUTHORIZATION:

SMART Defense Education Program, Public Law 109-163, 119 Stat. 3448, 10 U.S.C 2192a.

OBJECTIVES:

To: (1) increase the intellectual capacity and proficiency of future scientists and engineers in disciplines critical to defense; and (2) to increase the number of science, technology, engineering and mathematics (STEM) qualified individuals in the DoD workforce.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Awards are made to undergraduate and graduate students majoring in a DoD-relevant STEM field. Applicants must be U.S. citizens and enrolled in an accredited U.S. institution of higher learning, e.g., university, college, etc. Qualifications are specified in detail in the application.

Applicant Eligibility:

All applicants must be at least 18 years of age and U.S. citizens. Applicants must be an undergraduate or graduate student majoring in a DoD-relevant STEM field. Applicants participate in internships at DoD laboratories. Participants will be required to obtain and/or maintain a security clearance. Upon completion of their degree, applicants are expected to work full-time for the DoD.

Beneficiary Eligibility:

Beneficiaries are undergraduate and graduate students enrolled in a DoD-relevant STEM field.

Credentials/Documentation:

See application (e.g. proof of citizenship, official transcripts, proof of enrollment in an accredited college or university). This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. All eligible U.S. undergraduate and graduate students may apply.

Award Procedure:

Award decisions will be based on a competitive selection process.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Eligible students may receive a SMART award from one to five years. See the following for information on how assistance is awarded/released: Varies depending on type of assistance.

Reports:

Program reports are not applicable. Cash reports are not applicable. Participant reports are required. Expenditure reports are not applicable. Participant performance reports are required (see program details).

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Defense Contract Audit Agency (DCAA) performs the auditing function for this program.

Records:

None.

Account Identification:

97-0400-0-1-120.

Obligations:

(Project Grants (Fellowships)) FY 12 \$26,900,000; FY 13 est \$15,675,000; and FY 14 Estimate Not Available

Range and Average of Financial Assistance:

The average annual award is approximately \$70,000. Awards can vary due to differences in educational level and tuition costs.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: To: (1) increase the intellectual capacity and proficiency of future scientists and engineers in disciplines critical to defense; and (2) to increase the number of science, technology, engineering and mathematics (STEM) qualified individuals in the DoD workforce. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Please view the website

Regional or Local Office:

See Regional Agency Offices. Defense, Research and Engineering (DDR&E) STEM Development Office, Naval Postgraduate School, American Society for Engineering Education.

Headquarters Office:

Deborah Shifflett Naval Postgraduate School, SMART Program, 1 University

Circle, Hermann Hall Rm 061, American Society for Engineering Education, 1818 N Street, N.W. Suite 600 Washington, DC 20036-2479, Monterey, California 93943 Email: smart@asee.org Phone: (202) 331-3544.

Website Address:

<http://smart.asee.org/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

SMART scholarship recipients are selected based on merit including (1) academic record and potential to succeed in their proposed study; (2) additional supporting documentation; and (3) commitment to Federal service.

12.750 UNIFORMED SERVICES UNIVERSITY MEDICAL RESEARCH PROJECTS

Uniformed Services University (USU)

FEDERAL AGENCY:

Uniformed Services University of the Health Sciences, Department of Defense

AUTHORIZATION:

10 U.S.C. 2113 (J) (1) (A), Public Law 92-426.

OBJECTIVES:

The University is authorized by statute (10 U.S.C. 2113) to enter into grant agreements on a sole-source basis with the Henry M. Jackson Foundation to carry out cooperative enterprises in medical research, medical consultation and medical education.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

USU's mission is to conduct medical research and to educate and prepare outstanding scientists and health care practitioners for careers in service to our nation and partners with USU to provide world-class research and education.

Applicant Eligibility:

Used for medical research and education grants.

Beneficiary Eligibility:

Available to USU Scientists/Researchers.

Credentials/Documentation:

No Credentials or documentation are required. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program.

Award Procedure:

- PI directs funding info to USU Finance (FMG) who will initiate the USU award process
- FMG records & prepares funding acceptance documents and forwards to Sponsored Prog.
- USU Grants Office (GRT) reviews document and returns to FMG to complete acceptance
- GRT issues a notice letter to inform PI and awardee that funds have arrived
- Awardee sends official request for funds to GRT who prepares draft award for USU review
- GRT coordinates the internal review process (scientific, legal, and program analysis) of awardee's official application. GRT obligates funds and sends fully

executed award to awardee and PI.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

From 60 to 90 days.

Renewals:

From 60 to 90 days.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

30 - 90 days. See the following for information on how assistance is awarded/released: OST reimbursement & advance payment.

Reports:

Program reports. No cash reports are required. Annual Progress Reports. Expenditure reports. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Annual Progress Reports on anniversary date of award.

Account Identification:

97-1013-0-1-885 - Appropriation Code.

Obligations:

(Salaries) FY 12 \$113,888,300; FY 13 est \$21,148,584; and FY 14 Estimate Not Available - Estimates are the total amount of awarded dollars.

Range and Average of Financial Assistance:

Range: \$50K - \$80M
Average: \$1.5M.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Ken Bell 4301 Jones Bridge Road, Bethesda, Maryland 20814 Email: kbelle@usuhs.mil Phone: 301-295-3861 Fax: 301-295-9536

Website Address:

<http://www.usuhs.mil>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

**12.800 AIR FORCE DEFENSE RESEARCH SCIENCES PROGRAM
FEDERAL AGENCY:**

Department of the Air Force, Materiel Command, Department of Defense

AUTHORIZATION:

Public Law 100-370, Section 1(g)(3), 1023 Stat. 846, 10 U.S.C. 2368(a); Public Law 100-456, Division A, Title II, Section 204; 84 Stat. 908, 10 U.S.C. 2358(b)(1), (c); 95 Stat. 1404, 1405, 10 U.S.C. 2361; Includes but not limited to American Recovery and Reinvestment Act (ARRA) funds.

OBJECTIVES:

To maintain technological superiority in the scientific areas relevant to Air Force needs; to prevent technological surprise to our nation and create it for our adversaries; to maintain a strong research infrastructure composed of Air Force laboratories, industry, and universities; and to complement the national research effort.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds must be expended in furtherance of the research identified in the proposal, in accordance with applicable Government-side cost principles as specified in the DoD Grant and Agreement Regulations.

Applicant Eligibility:

Private/public educational institutions; other private/public nonprofit organizations which are operated primarily for scientific, educational, or similar purposes in the public interest, and commercial concerns.

Beneficiary Eligibility:

Private/public educational institutions; other private/public nonprofit organizations which are operated primarily for scientific, educational, or similar purposes in the public interest, and commercial concerns.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Research proposals shall be prepared in accordance with instructions in individual program announcements. See Grants.gov (www.grants.gov).

Award Procedure:

Awards decisions will be based on a competitive selection of proposals resulting from a peer and/or scientific review.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 90 days, depending on the authority under which the funds would be awarded.

Appeals:

From 1 to 15 days.

Renewals:

Re-application with new proposal is permitted.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Normally from 12 to 36 months. Method of awarding/releasing assistance: lump sum.

Reports:

Cost/Technical Progress Reports. Reports of expenditures. Cost/Technical

progress. Cost. Continuous monitoring throughout the effort.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audit requirements are as stated in the Grant and Agreement Regulations.

Records:

Recipient will maintain accounting records which will permit determination that the funds were used for the award purposes. Financial records must be maintained for at least 3 years; records shall be retained beyond the 3-year period if audit findings have not been resolved.

Account Identification:

57-3605-0-1-051; 57-3600-0-1-051.

Obligations:

(Project Grants) FY 12 \$442,182,714; FY 13 est \$481,000,000; and FY 14 Estimate Not Available

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Department of Defense Grant and Agreement Regulations (DODGARS), February 8, 1994, and individual program announcements.

Regional or Local Office:

See Regional Agency Offices. Air Force Office of Scientific Research, 875 North Randolph Street, Arlington, VA 22203, 937-255-9493; Air Force Research Laboratory, Building 167, 2310 8th Street, Wright-Patterson AFB, OH 45433-7801, 937-656-9067; Air Force Research Laboratory, 2251 Maxwell Avenue SE, Rm 118, Kirtlandt AFB, NM 87117-5773, 505-846-4979; Air Force Research Laboratory, 26 Electronics Parkway, Rome, NY 13441-4514, 315-330-7146; Air Force Research Laboratory, 101 West Eglin Blvd, Suite 337, Eglin AFB, FL 32542-6810, 850-883-2675.

Headquarters Office:

Stephen P. Colvin, 1060 Air Force Pentagon, Washington, District of Columbia 20330 Email: stephen.colvin@pentagon.af.mil Phone: (571) 256-2408.

Website Address:

<http://ww3.safaq.hq.af.mil/contracting/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

12.901 MATHEMATICAL SCIENCES GRANTS PROGRAM

FEDERAL AGENCY:

National Security Agency, Department of Defense

AUTHORIZATION:

National Security Act of 1959, Sections 13 (a) and 10 (a), Public Law 086-86-36, 50 U.S.C 402.

OBJECTIVES:

1. The NSA grants program seeks to stimulate new and important developments in the field areas of algebra, discrete mathematics, number theory, probability and statistics.
2. The program also seeks to increase the quantity and quality of domestic mathematical talent for the benefit of NSA and the nation.
3. In addition, the program seeks to improve mathematical opportunities to

disadvantaged groups within the nation.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Summer salary, professional travel, publishing costs, undergraduate support, graduate student support, postdoctoral support, and conference support. No more than two summer months support based upon the type of grant that is awarded. No released time from teaching during the regular academic year.

Applicant Eligibility:

All those receiving support from our grants must be U.S. citizens or permanent residents of the United States. Investigators must be an employee of a U.S. college or university. The principal investigator and all graduate students must be a U.S. citizen or a permanent resident of the U.S..

Beneficiary Eligibility:

This will benefit researchers in the mathematical sciences who are U.S. citizens or permanent residents as well as their students who are U. S. citizens or permanent residents of the U.S..

Credentials/Documentation:

The grant recipient shall certify that individuals meet the eligibility requirements as detailed in the "Who may submit" section of the Submission guidelines. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Principal investigators submit proposals for the support of undirected research, for the support of research conferences or meetings, and for programs of enrichment in specified mathematical areas. Principal investigators submit proposals in accordance with the proposal submission guidelines outlined at <https://www.nsa.gov>.

Award Procedure:

Proposal submissions meeting the criteria of the NSA program are peer reviewed and ranked according to their merit and how well they advance the goals of the program. The reviewing and ranking are performed not only by a committee of experts within the NSA, but also by committees established by the American Mathematical Society. NSA determines which submissions receive support together with the amount of support to be provided and notifies those who have submitted proposals of the final decision regarding their submission. Subsequent to this notification, funds will be provided in a timely manner, contingent upon appropriation and authorization by Congress, to the NSA Grants office, for processing of a Grant Award. APPLICANTS SHALL NOT MAKE ANY EXPENDITURES PRIOR TO RECEIPT OF A GRANT AWARD DOCUMENT FROM THE NSA GRANTS OFFICER.

Deadlines:

Sep 01, 2011 to Oct 15, 2011: Proposals are accepted from Sep 1st through Oct 15th of each fiscal year for awards in the following fiscal year. No submissions received after the October 15th closing date will be accepted.

Range of Approval/Disapproval Time:

Approval/Disapproval time frame ranges generally from 6 to 9 months.

Appeals:

None.

Renewals:

Options for a second year of support may be proposed, and if accepted, grants with these options may be exercised at the sole discretion of the government. In all other situations, only no-cost extensions will be allowed when work is not completed. Funds will remain.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Assistance funds are available for a period of up to 12 months, unless an option has been proposed, and accepted for 12 additional months. Conferences, may be funded for a shorter period of time. See the following for information on how assistance is awarded/released: Funds are released upon receipt of an acceptable invoice by the Finance and Accounting Office, in accordance with OMB Circular No. A-110 and the General Provisions for NSA Grants and Cooperative Agreements Attachment provided with each awarded grant.

Reports:

Interim and final reports on the research or effort performed are required. Cash reports are not applicable. Interim reports on the research or effort being performed are required. Final expenditure reports are required detailing how the funds were expended. Details will be found in the General Provisions for NSA Grants and Cooperative Agreements which will be provided with the Grant Award document. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audits will be conducted in accordance with Circular No. A-133, as appropriate.

Records:

Records shall be maintained in accordance with the OMB Circulars A-110 and A-133, as appropriate.

Account Identification:

97-0400-0-1-051.

Obligations:

(Salaries) FY 12 \$39,454,226; FY 13 est \$13,814,759; and FY 14 Estimate Not Available

Range and Average of Financial Assistance:

Research Grants:	\$5,000. to \$60,000	Average
Award:	\$26,000.	
Conferences:	\$5,000. to \$195,000.	Average
Award:	\$32,000.	
Research Experiences for Undergraduates:	\$20,000. to \$300,000.	Average
Award:	\$110,000.	

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: 1) 266 Research proposals will be received; approximately 70 grants were awarded
2) 12 REU proposals were received; approximately 10 grants were awarded
3) 44 Conference proposals were; approximately 32 grants were awarded.
Fiscal Year 2013: No Current Data Available
Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Information on how to apply for grants is located at www.nsa.gov under the RESEARCH TAB at the top of the site (the drop down menu will show the Mathematical Sciences Program).

Additionally, a single page of information on the program is also available upon request from the Mathematical Sciences Program POCs, Dr. Floyd B. Cole or Ms. Barbara Johnson, who can both be reached at telephone number: (301) 688-0400.

Regional or Local Office:

See Regional Agency Offices. Mathematical Sciences Program
Department of Defense
National Security Agency
Attn: R1 (Dr. Floyd B. Cole or Ms. Barbara Johnson)
9800 Savage Road
Suite 6657
Fort George G. Meade, MD 20755-6557.

Headquarters Office:

Diane I. Boyer 9800 Savage Road
, Fort George G. Meade, Maryland 20755 Email: diboyer@nsa.gov Phone:
4434797660

Website Address:

<https://www.nsa.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Anticipated research areas include: (1) algebra, (2) discrete mathematics, (3) number theory, (4) probability, (5) statistics; and (6) mathematics conferences. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Criteria is dependent upon the type of proposal received. Individual research, conference/meeting, or undergraduate research experience. Primarily criteria for individual research are the qualifications of the principal investigator and quality of the research proposed. Cost is considered when deciding upon funding e.g., up to two months of summer salary and /or student support, depending on the research grant type. The government reserves the right to partially fund any successful proposal based upon availability of funds.

12.902 INFORMATION SECURITY GRANT PROGRAM

Information Assurance Scholarship Program

FEDERAL AGENCY:

National Security Agency, Department of Defense

AUTHORIZATION:

National Security Act of 1959, Sections 13 (a) , Public Law 106-398, 10 U.S.C 2200-2200f.

OBJECTIVES:

The National Security Agency (NSA) is involved with the development of secure computing systems. This grant program is designed to assist in the area of unclassified Information Security research. This research should provide significant advances in our nation's ability to design, build, and maintain computer systems that perform with a high degree of integrity, confidentiality, and service assurance. Additionally, this grant program is aimed at developing computer science graduates who are knowledgeable in the area of Information Security from which NSA can recruit.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Summer salary, professional travel, publishing costs, bachelor, graduate and doctoral student support, postdoctoral support, and conference support in support of the DoD Information Assurance Scholarship Program. No more than two summer months support from all sources. No released time from teaching during the regular academic year.

Applicant Eligibility:

Investigators must be an employee of a U.S. college or university. The principal investigator and supported graduate students must be a U. S. citizen or a permanent resident of the United States. Students eligibility for scholarships will determined under the DoD Information Assurance Scholarship Program.

Beneficiary Eligibility:

This will benefit researchers in the information security field of computer science that are permanent residents of the United States (U.S.) as well as their students that are permanent residents of the U.S. or U.S. citizens. Scholarships will benefit those pursuing a Bachelor's, Master's or Doctoral Degree in one of the academic areas cited under the DoD Information Assurance Scholarship Program.

Credentials/Documentation:

The grant recipient shall certify that individuals meet the eligibility requirements as detailed at the DoD Information Assurance Scholarship Program website located at <http://cio-nii.defense.gov/sites/iasp2/about.html>. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. An annual request for proposals is issued to the designated National Centers of Academic Excellence in Information Assurance Education and the National Centers of Academic Excellence in Research (herein after referred to as CAEs) each November for participation in the DoD Information Assurance Scholarship Program (IASP). Rising junior, senior and graduate students accepted at or enrolled in, one of the CAEs may apply for full scholarships to complete a bachelor's, master's or doctoral degree or graduate (post baccalaureate) certificate program in a relevant IA/IT discipline. Student application requirements are included in the solicitation released to the CAEs. For a listing of CAEs please go to www.nsa.gov, where you will find the CAEs listed under the Information Assurance tab's drop down menu under IA Academic Outreach. Students should consult their CAEs for application procedures.

Award Procedure:

The DoD IASP Executive Administrator provides each participating Component with a summary listing of all recruitment applicants. The listing includes each students academic major, GPA, projected degree and final graduation date; comments on the students knowledge, skills and attributes; and the CAE assessment rating. These proposals are peer reviewed and ranked according to their merit and how well they advance the goals of the IASP program.

Deadlines:

Nov 01, 2011 to Feb 28, 2012: Annually, the grant solicitation is issued each November with grant proposals due 28 February of the following year.

Range of Approval/Disapproval Time:

From 60 to 90 days. Generally within 3 months, but may be made at any time throughout the year for which funding is authorized.

Appeals:

Not Applicable.

Renewals:

Options for a second year of support may be proposed and if accepted, grants with these options may be exercised at the sole discretion of the government. In all other situations, only no-cost extensions will be allowed when work is not completed. Funds will remain.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Assistance funds are available for a period of up to 12 months, unless an option has been proposed and accepted for 12 additional months. See the following for information on how assistance is awarded/released: Funds are released upon receipt of an acceptable invoice by the Finance and Accounting Office, in accordance with OMB Circular No. A-110 and the General Provisions for NSA Grants and Cooperative Agreements attachment, which is provided with each awarded grant.

Reports:

Interim and final reports on the research or effort performed are required. No cash reports are required. Interim reports on the research or effort being performed are required. Final expenditure reports are required detailing how the funds are expended. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that

year, except as noted in Circular No. A-133.

Records:

Records shall be maintained in accordance with OMB Circulars A-110 and A-133, as appropriate.

Account Identification:

97-0400-0-1-051.

Obligations:

(Salaries) FY 12 \$7,478,063; FY 13 est \$3,885,227; and FY 14 Estimate Not Available

Range and Average of Financial Assistance:

IASP Grants: \$40,000.00 to \$312,000.00 Average Award: \$67,000.00.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: The National Security Agency (NSA) is involved with the development of secure computing systems. This grant program is designed to assist in the area of unclassified Information Security research. This research should provide significant advances in our nation's ability to design, build, and maintain computer systems that perform with a high degree of integrity, confidentiality, and service assurance. Additionally, this grant program is aimed at developing computer science graduates who are knowledgeable in the area of Information Security from which NSA can recruit. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Information on how to apply for IASP grants is located at www.nsa.gov under the INFORMATION ASSURANCE TAB at the top of the site (the drop down menu will show IA Academic Outreach, then Student Opportunities)

Additionally, information on the IASP program may be found at on the IASP website:

<http://cio-nii.defense.gov/sites/iasp2/index.html>

Regional or Local Office:

See Regional Agency Offices. Department of Defense
National Security Agency
Attn: I92 (Ms. Alice Shaffer)
9800 Savage Road
Suite 6722
Fort George G. Meade, MD 20755-6722
Phone: 410-854-6206
E-mail: aeshaff@nsa.gov.

Headquarters Office:

Diane I. Boyer 9800 Savage Road
Suite 6623, Fort George G. Meade, Maryland 20755 Email: diboyer@nsa.gov
Phone: 443-479-7660

Website Address:

<http://cio-nii.defense.gov/sites/iasp2/index.html>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The DoD IASP Executive Administrator provides each participating Component with a summary listing of all recruitment applicants. The listing includes each students academic major, GPA, projected degree and final graduation date; comments on the students knowledge, skills and attributes; and the CAE assessment rating. These proposals are peer reviewed and ranked according to their merit and how well they advance the goals of the IASP program.

Office of Housing-Federal Housing Commissioner, Department of Housing and Urban Development

AUTHORIZATION:

National Housing Act, as amended, Section 203(k).

OBJECTIVES:

The Section 203(k) program is HUD's primary program for the rehabilitation and repair of single family properties. As such, it is an important tool for community and neighborhood revitalization and for expanding homeownership opportunities. HUD's 203(k) program will allow a purchase or refinance transaction plus include in the loan amount the cost of making repairs and/or non luxury improvements.

TYPES OF ASSISTANCE:

GUARANTEED/INSURED LOANS

USES AND USE RESTRICTIONS:

These loans may be used to rehabilitate an existing 1 to 4 unit dwelling in one of four ways: (1) Purchase a structure and the land on which the structure is located and rehabilitate it; (2) purchase a structure on another site, move it onto a new foundation on the mortgaged property and rehabilitate it; (3) refinance the existing indebtedness and rehabilitate such a structure; or (4) rehabilitate such a structure. Maximum insurable mortgage loans for an occupant mortgagor are the same as prescribed for Section 203(b) - Program 14.117. HUD offers two 203K rehabilitation loan programs. The Streamlined (k) program which a minimum amount of repair costs is not required but, the program is capped at a total of \$35,000 for the cost of improvements/repairs. The other program is the Standard 203(k). A minimum of \$5,000 of repair/improvement costs are required but, there are no limitations on the amount of the repair/improvements. However, statutory loan limits apply. HUD insures lenders against loss on the 203(k) loan prior to the rehabilitation/improvements are completed.

Applicant Eligibility:

Individual and families.

Beneficiary Eligibility:

Individuals and families.

Credentials/Documentation:

Documentation regarding the characteristics of the property and the qualifications of the borrower are assembled by the lender and submitted with the application. This program is excluded from coverage under OMB Circular No. A-87. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Application is submitted through a HUD-approved lending institution.

Award Procedure:

See Application Procedure.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Varies.

Appeals:

The lender or HUD will state the reason for refusing an application. The applicant may reapply subject to concurrence of the lender.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program.

DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

14.108 REHABILITATION MORTGAGE INSURANCE

(203(k))

FEDERAL AGENCY:

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The mortgage term may extend for 30 years. Method of awarding/releasing assistance: quarterly.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

Mortgagees are required to service and maintain records in accordance with acceptable mortgage practices of prudent lending institutions and the FHA regulations.

Account Identification:

86-4587-0-3-371 - FY 2010 and future.

Obligations:

(Sale, Exchange, or Donation of Property and Goods) FY 12 Not Separately Identifiable(Exp: Reported Under 14.117); FY 13 Not Separately Identifiable(Exp: Reported Under 14.117); and FY 14 Not Separately Identifiable - Reported Under 14.117.

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None. Persons are encouraged to contact the FHA Resources Center at: <http://www.hud.gov/offices/hsg/sfh/fharesourcectr.cfm>.

Headquarters Office:

Wanda L. Sampedro 451 7th Street, SW, Washington, District of Columbia 20410 Email: info@fhaoutreach.com Phone: (800) 225-5342

Website Address:

http://portal.hud.gov/hudportal/HUD?src=/program_offices/housing/sfh/203k/203kmenu

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

14.110 MANUFACTURED HOME LOAN INSURANCE FINANCING PURCHASE OF MANUFACTURED HOMES AS PRINCIPAL RESIDENCES OF BORROWERS

(Title I)

FEDERAL AGENCY:

Office of Housing-Federal Housing Commissioner, Department of Housing and Urban Development

AUTHORIZATION:

National Housing Act, Title I, Section 2, as amended, 12 U.S.C. 1703.

OBJECTIVES:

To make possible reasonable financing of manufactured home purchases.

TYPES OF ASSISTANCE:

GUARANTEED/INSURED LOANS

USES AND USE RESTRICTIONS:

HUD insures lenders against loss on loans. Insured loans may be used to purchase manufactured home units by buyers intending to use them as their principal places of residence. Effective March 3, 2009, the maximum amount of the loan is \$69,678, whether single or multiple modules. The lender must give

assurance that the unit will be placed on a site which complies with local zoning and land development requirements.

Applicant Eligibility:

All persons are eligible to apply.

Beneficiary Eligibility:

Individuals/families.

Credentials/Documentation:

Documentation regarding the characteristics of the manufactured home unit and the qualifications of the borrower are submitted to the lender. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Application is submitted to a HUD-approved lender or through a lender's approved dealer for review and approval.

Award Procedure:

None.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Prior to June 1, 2009, HUD had no involvement in the approval or disapproval process of a loan. Effective June 1, 2009, lenders will submit loans to HUD for a pre-closing test approval period, which will average 3 to 5 days for complete submissions. Upon successful completion of the pre-closing test phase, a lender may then be authorized to participate in the Title I Direct Endorsement Program. HUD has no other participation or regulation over the lender approval processing time.

Appeals:

The applicant may reapply.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The average loan term is between 15 to 20 years. Method of awarding/releasing assistance: quarterly.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

Lenders are required to service and maintain records in accordance with acceptable lending practices of prudent lending institutions and the FHA regulations.

Account Identification:

86-4077-0-3-371.

Obligations:

(Sale, Exchange, or Donation of Property and Goods) FY 12 \$31,850,256; FY 13 est \$23,656,798; and FY 14 est \$26,022,478

Range and Average of Financial Assistance:

The maximum loan amount for Fiscal Year 2013 is \$69,678. The average loan amount in Fiscal Year 2013 is \$47,146.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

24 CFR Part 201.

Regional or Local Office:

None. Individuals are encouraged to contact the FHA Resource Center at <http://www.hud.gov/offices/hsg/sfh/fharesourcectr.cfm> for additional information.

Headquarters Office:

Wanda L. Sampedro, 451 7th Street, SW, Washington, District of Columbia 20410 Email: info@fhaoutreach.com Phone: (800) 225-5342.

Website Address:

http://portal.hud.gov/hudportal/HUD?src=/program_offices/housing/sfh/title/ma_nuf1414.117

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

14.117 MORTGAGE INSURANCE HOMES

(203(b))

FEDERAL AGENCY:

Office of Housing-Federal Housing Commissioner, Department of Housing and Urban Development

AUTHORIZATION:

National Housing Act, as amended, Section 203(b), Public Law 73-479, 12 U.S.C. 1709, 1715(b).

OBJECTIVES:

To help people undertake home ownership.

TYPES OF ASSISTANCE:

GUARANTEED/INSURED LOANS

USES AND USE RESTRICTIONS:

HUD insures lenders against loss on mortgage loans. These loans may be used to finance the purchase of proposed, under construction, or existing one-to-four-family housing, as well as to refinance indebtedness on existing housing. Maximum insurable loans are as follows: In areas where 125 percent of the median house price is less than 65 percent of the Freddie Mac limit (\$417,000), FHA floor limits are set at the 65 percent limit as follows: one-family \$271,050; two-family \$347,000; three-family \$419,425; and four-family \$521,250. Any area where the limits exceed the floor is known as a high cost area. In areas where 125 percent of the median house price exceeds 175 percent of the Freddie Mac limit for a one unit property, the mortgage limits are set at the 175 percent amount (ceiling) as follows: one-family \$729,750; two-family \$934,200; three-family \$1,129,250; and four-family \$1,403,400. Designated areas of limited housing opportunities and maximum mortgage amounts may be obtained from local HUD Offices.

Applicant Eligibility:

All persons intending to occupy the property are eligible to apply.

Beneficiary Eligibility:

Individuals/families.

Credentials/Documentation:

Documentation regarding the characteristics of the property and the qualifications of the mortgagor are assembled by the mortgagee and submitted with the application. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110.

Application is submitted for review and approval or disapproval to the local HUD Field Office through a HUD-approved lending institution. In addition, certain lending institutions have been approved to review applications and issue an approval or rejection, without additional HUD intervention. This program is referred to as Direct Endorsement.

Award Procedure:

Application is submitted for review and approval or disapproval to the local HUD Field Office through a HUD approved lending institution. In addition, certain lending institutions have been approved to review applications and issue an approval or rejection, without additional HUD intervention. This program is referred to as Direct Endorsement.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Varies.

Appeals:

The lender or HUD will state the reason for refusing an application. The applicant may reapply subject to concurrence of the lender.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The mortgage term may extend for 30 years. Method of awarding/releasing assistance: quarterly.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

Mortgagees are required to service and maintain records in accordance with acceptable mortgage practices of prudent lending institutions and the FHA regulations.

Account Identification:

86-4587-0-3-371.

Obligations:

(Sale, Exchange, or Donation of Property and Goods) FY 12 \$213,325,259,267; FY 13 est \$248,000,000,000; and FY 14 est \$178,000,000,000

Range and Average of Financial Assistance:

Maximum insurable loans are as follows: In areas where 125 percent of the median house price is less than 65 percent of the Freddie Mac limit (\$417,000), FHA floor limits are set at the 65 percent limit as follows: one-family \$271,050; two-family \$347,000; three-family \$419,425; and four-family \$521,250. Any area where the limits exceed the floor is known as a high cost area. In areas where 125 percent of the median house price exceeds 175 percent of the Freddie Mac limit for a one unit property, the mortgage limits are set at the 175 percent amount (ceiling) as follows: one-family \$729,750; two-family \$934,200; three-family \$1,129,250; and four-family \$1,403,400.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

100 Questions and Answers About Buying a New Home, no charge; The HUD Home Buying Guide, no charge; Residential Rehabilitation Program, no charge; Fact Sheet: Rehabilitation Mortgage Insurance, no charge. 24 CFR 203.50.

Regional or Local Office:

None. Persons are encouraged to contact the Homeownership Center serving their State (see <http://www.hud.gov/offices/hsg/sfh/hoc/hshgocs.cfm>), or the

nearest local HUD Office. See Catalog Address Appendix IV for a list of offices.

Headquarters Office:

Wanda L. Sampedro, 451 7th Street, SW, Washington, District of Columbia 20410 Email: info@fhaoutreach.com Phone: (800) 225-5342

Website Address:

http://portal.hud.gov/hudportal/HUD?src=/program_offices/housing/sfh/ins/sfh203b

RELATED PROGRAMS:

14.108 Rehabilitation Mortgage Insurance; 14.133 Mortgage Insurance_Purchase of Units in Condominiums; 14.163 Mortgage Insurance_Single Family Cooperative Housing

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

14.119 MORTGAGE INSURANCE_HOMES FOR DISASTER VICTIMS (203(h))

FEDERAL AGENCY:

Office of Housing-Federal Housing Commissioner, Department of Housing and Urban Development

AUTHORIZATION:

National Housing Act.

OBJECTIVES:

To help victims of a major disaster undertake homeownership on a sound basis.

TYPES OF ASSISTANCE:

GUARANTEED/INSURED LOANS

USES AND USE RESTRICTIONS:

HUD insures lenders against loss on mortgage loans. These loans may be used to finance the purchase or reconstruction of a one-family home that will be the principal residence of a borrower that is a victim of a major disaster. The maximum insurable loan for such an occupant mortgagor is the same as prescribed for Section 203(b) (14.117). Disaster victims are not required to meet the 3.5 percent minimum investment requirements. In order to qualify for assistance, the formerly occupied home must have been in an area designated by the President as a disaster area, and it must have been destroyed or damaged to such an extent that reconstruction or replacement is necessary.

Applicant Eligibility:

Anyone that is a victim of a major disaster as designated by the President is eligible to apply.

Beneficiary Eligibility:

Families or individuals that are victims of a major disaster as designated by the President.

Credentials/Documentation:

Documentation regarding the characteristics of the property and the qualifications of the mortgagor are assembled by the mortgagor and submitted with the application. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. An FHA-approved lender submits the application for review and approval or disapproval to the local HUD Field Office.

Award Procedure:

Application is submitted for review and approval or disapproval to the local HUD Field Office through a HUD approved lending institution. In addition,

certain lending institutions have been approved to review applications and issue an approval or rejection, without additional HUD intervention. This program is referred to as Direct Endorsement.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Varies.

Appeals:

HUD will state the reasons for refusing an application. The applicant may reapply subject to concurrence of the lender.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The mortgage term may extend for 30 years, except 35 years if the mortgagor is unacceptable for a 30-year term and the property was constructed subject to HUD or VA inspection. Method of awarding/releasing assistance: quarterly.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

Mortgagees are required to service and maintain records in accordance with acceptable mortgage practices of prudent lending institutions and the HUD regulations.

Account Identification:

86-4587-0-3-371.

Obligations:

(Sale, Exchange, or Donation of Property and Goods) FY 12 Not Separately Identifiable(Exp: Reported under 14.117); FY 13 Not Separately Identifiable(Exp: Reported under 14.117); and FY 14 Not Separately Identifiable - Reported Under 14.117 for all fiscal years.

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None. Persons are encouraged to contact the Homeownership Center serving their State, or the nearest local HUD Office. See Catalog Address Appendix IV for a list of offices.

Headquarters Office:

Wanda L. Sampedro, 451 7th Street, SW , Washington, District of Columbia 20410 Email: info@fhaoutreach.com Phone: (800) 225-5342.

Website Address:

http://portal.hud.gov/hudportal/HUD?src=/program_offices/housing/sfh/ins/203h-dft

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

14.123 MORTGAGE INSURANCE_HOUSING IN OLDER, DECLINING

AREAS

(223(e))

FEDERAL AGENCY:

Office of Housing-Federal Housing Commissioner, Department of Housing and Urban Development

AUTHORIZATION:

National Housing Act.

OBJECTIVES:

To assist in the purchase or rehabilitation of housing in older, declining urban areas.

TYPES OF ASSISTANCE:

GUARANTEED/INSURED LOANS

USES AND USE RESTRICTIONS:

HUD insures lenders against loss on mortgage loans under other sections of the National Housing Act, e.g., Section 221(d)(3) or (d)(4), pursuant to Section 223(e). Claims are paid from the Special Risk Insurance Fund, but this is not a separate program. The determination to use Section 223(e) is at the discretion of HUD. These loans may be used to finance the purchase, repair, rehabilitation, and construction of housing in older, declining urban areas where conditions are such that certain normal eligibility requirements for mortgage insurance under a particular program cannot be met. The property must be an acceptable risk giving consideration to the need for providing adequate housing for low-and moderate-income families.

Applicant Eligibility:

HUD-approved mortgagees.

Beneficiary Eligibility:

For single family purposes, an individual or family is eligible to apply through HUD approved mortgagees. Multifamily sponsorship is determined by applicable program requirements.

Credentials/Documentation:

For single family applications, documentation regarding the characteristics of the property and the qualifications of the mortgagor are assembled by the mortgagee and submitted with the application. Documentation required for multifamily applications is determined by applicable program requirements. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Applications may be submitted at any time for review and approval or disapproval to the local HUD Field Office through a HUD-approved lending institution.

Award Procedure:

See Application Procedure.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Varies.

Appeals:

HUD will state the reasons for refusing an application. The applicant may request the HUD-approved mortgagee to seek HUD reconsideration.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

None. Method of awarding/releasing assistance: quarterly.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

Mortgages are required to service and maintain records in accordance with acceptable practices of prudent lending institutions and the HUD regulations.

Account Identification:

86-4077-0-3-371.

Obligations:

(Sale, Exchange, or Donation of Property and Goods) FY 12 Not Separately Identifiable(Exp: Reported under 14.117); FY 13 Not Separately Identifiable(Exp: Reported under 14.117); and FY 14 Not Separately Identifiable - Reported under 14.117.

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None. Persons should contact the FHA Resource Center at 1-800-CALLFHA (1-800-225-5342) for more information.

Headquarters Office:

Wanda L. Sampedro 451 7th Street, SW, Washington, District of Columbia 20410 Email: info@fhaoutreach.com Phone: (800) 225-5342.

Website Address:

http://portal.hud.gov/hudportal/HUD?src=/program_offices/housing/sfh/ins/sfh_203b

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

14.129 MORTGAGE INSURANCE, NURSING HOMES, INTERMEDIATE CARE FACILITIES, BOARD AND CARE HOMES AND ASSISTED LIVING FACILITIES

(232)

FEDERAL AGENCY:

Office of Housing-Federal Housing Commissioner, Department of Housing and Urban Development

AUTHORIZATION:

National Housing Act, as amended, Section 232; Housing Act of 1959, as amended, Public Law 86-372; Housing and Urban Development Act of 1969, Public Law 91-152, 73 Stat. 654, 83 Stat. 379; Public Laws 95-128, 95-557, 96-153, and 98-181.

OBJECTIVES:

To provide mortgage insurance to HUD-approved lenders to facilitate the construction or rehabilitation of nursing homes, intermediate care facilities, board and care homes and assisted living facilities, to allow purchase or refinancing with or without repairs of projects not requiring substantial rehabilitation, and to provide loan insurance to install fire safety equipment.

TYPES OF ASSISTANCE:

GUARANTEED/INSURED LOANS

USES AND USE RESTRICTIONS:

Section 232 insures lenders against loss on mortgage defaults. Insured mortgages may be used to finance construction or renovation of facilities to accommodate 20 or more patients requiring skilled nursing care and related medical services, or those who while not in need of nursing home care, are in need of minimum but continuous care provided by licensed or trained personnel. Board and care homes and assisted living facilities are also eligible and must contain a minimum of five accommodations or units. Nursing homes, intermediate care facilities, board and care homes and assisted living facilities may be combined in the same facility covered by an insured mortgage or may be in separate facilities. Nursing homes, intermediate care facilities, board and care homes and assisted living facilities must be licensed or regulated by the appropriate state agency, municipality, or other political subdivision where located. Contractors for new construction and substantial rehabilitation projects must comply with prevailing wage requirements under the Davis-Bacon Act.

Applicant Eligibility:

Eligible mortgages include investors, builders, developers, public entities, nursing homes and private nonprofit corporations or associations.

Beneficiary Eligibility:

Residents requiring skilled nursing, custodial care, and assistance with activities of daily living are eligible to live in a structure whose mortgage is insured under the program.

Credentials/Documentation:

Documentation regarding the characteristics of the property and the qualifications of the mortgagor are assembled by the mortgagee and submitted with the application. Certification of need and inspection and licensing by the State agency designated by the Public Health Service Act for the State in which the nursing home or intermediate care facility is to be located is required, or, if no State Agency exists, an alternative market study is required. A Certificate of Need may be required for a board and care home or assisted living facility. A statement from the appropriate State Agency is required stating that the State is in compliance with Section 1616 (e) of the Social Security Act. This facility must be licensed. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Depending upon the project, an Environmental Impact Study may be required. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Applications for mortgage insurance are assembled and underwritten by FHA-Approved Lenders before submittable to HUD for processing of the Firm Commitment (there is also a Pre-application process for projects with new units whereby HUD comments on the market). Please see the "General Overview" document posted at www.fha.gov/232lenderprocessing for a list of lenders who offer Section 232 loans.

Award Procedure:

HUD makes the final decision to approve, or reject individual projects.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Processing time depends upon the degree of preparation by the sponsor and the completeness of the package submitted by the FHA Approved Lender.

Appeals:

If an application for mortgage insurance is refused, HUD will state the reasons for the refusal. If reapplication is desired, the applicant may modify the

application and reapply.

Renewals:

The term of a commitment to insure may be extended when more time is required to close the loan.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The maximum mortgage term is 40 years for new construction and substantial rehabilitation. The maximum term for existing projects without substantial rehabilitation is 35 years. Method of awarding/releasing assistance: lump sum.

Reports:

Not Applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. The Department of Housing and Urban Development reserves the right to audit the accounts of either the mortgagee or mortgagor, or lesser, in order to determine their compliance and conformance with HUD regulations and standards. Audited Annual Financial Statement are required of Mortgagor entities and Operators.

Records:

Mortgagees are required to service and maintain records in accordance with acceptable mortgage practices of prudent lending institutions and the FHA regulations.

Account Identification:

86-4077-0-3-371.

Obligations:

(Guaranteed/Insured Loans) FY 12 \$255,560,800; FY 13 est \$226,530,850; and FY 14 est \$196,705,600

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Fact Sheet: Nursing Homes (Mortgage Insurance); Minimum Property Standards for Nursing Homes; (FHA Regulations). Handbook for Nursing Homes and Intermediate Care Facilities; (4600.1); (FHA Regulations 24 CFR 232 and 200), available on HUDCLIPS at <http://www.hudclips.org>; Lean processing information available at www.fha.gov/232lenderprocessing

Regional or Local Office:

None. Applications for mortgage insurance are assembled and underwritten by FHA-Approved Lenders before submittable to HUD for processing of the Firm Commitment (there is also a Pre-application process for projects with new units whereby HUD comments on the market). Please see the "General Overview" document posted at www.fha.gov/232lenderprocessing for a list of lenders who work on Section 232 loans.

Headquarters Office:

Ivy M. Jackson, 451 7th Street, SW Rm 6264, Washington, District of Columbia 20410 Email: Ivy.M.Jackson@hud.gov Phone: (202) 402-2333.

Website Address:

<http://www.hud.gov/healthcare>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

14.133 MORTGAGE INSURANCE_PURCHASE OF UNITS IN CONDOMINIUMS

203 (b)

FEDERAL AGENCY:

Office of Housing-Federal Housing Commissioner, Department of Housing and Urban Development

AUTHORIZATION:

National Housing Act, as amended, Section 203(b) requirements.

OBJECTIVES:

To enable individuals and families to purchase or refinance eligible units in FHA-approved condominium projects.

TYPES OF ASSISTANCE:

GUARANTEED/INSURED LOANS

USES AND USE RESTRICTIONS:

HUD insures mortgagees against loss on FHA-insured mortgage loans. These loans may be used to finance the acquisition of or refinance individual units in FHA-approved proposed, under construction, existing or converted condominium projects containing two or more units. The maximum insurable loan for an occupant mortgagor is the same as Section 203(b) Program 14.117.

Applicant Eligibility:

All individuals and families are eligible to apply.

Beneficiary Eligibility:

Individuals/families.

Credentials/Documentation:

Eligible unit must be in an FHA-approved condominium project. Documentation regarding the function and characteristics of the property and the qualifications of the mortgagor are assembled by the mortgagee and submitted with the loan package. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

The application is approved by the mortgagee.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 15 to 30 days. Varies.

Appeals:

An FHA-approved mortgagee who has unconditional Direct Endorsement authority underwrites and approves or rejects the loan application. If the loan is denied, the mortgagee issues a denial letter to the mortgagor advising of the reasons(s) for rejection of the loan application. The mortgagor may appeal to the mortgagee in writing for reconsideration of the initial loan decision.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The mortgage term may extend for 30 years. See the following for information

on how assistance is awarded/released: As necessary.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

Mortgagees are required to service and maintain files in accordance with acceptable mortgage practices of prudent lending institutions and HUD regulations.

Account Identification:

86-4587-0-3-371.

Obligations:

(Sale, Exchange, or Donation of Property and Goods) FY 12 Not Separately Identifiable(Exp: Reported under 14.117); FY 13 Not Separately Identifiable(Exp: Reported under 14.117); and FY 14 Not Separately Identifiable - FY 10 is reported under 14.117
FY 11 is reported under 14.117
FY 12 is reported under 14.117.

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None. Interested parties are encouraged to contact the FHA Resource Center by phone at 1-800-CALL FHA (1-800-225-5342) or by email at answers@hud.gov. Interested parties may also visit the hud.gov or fha.gov websites for information.

Headquarters Office:

Wanda Sampedro 451 7th Street, SW, Washington, District of Columbia 20410
Email: answers@hud.gov Phone: 800-225-5342

Website Address:

http://portal.hud.gov/hudportal/HUD?src=/program_offices/housing/sfh/condo

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

14.142 PROPERTY IMPROVEMENT LOAN INSURANCE FOR IMPROVING ALL EXISTING STRUCTURES AND BUILDING OF NEW NONRESIDENTIAL STRUCTURES

(Title I)

FEDERAL AGENCY:

Office of Housing-Federal Housing Commissioner, Department of Housing and Urban Development

AUTHORIZATION:

National Housing Act, Title I, Section 2, as amended, 12 U.S.C. 1703.

OBJECTIVES:

To facilitate the financing of improvements to homes and other existing structures and the building of new nonresidential structures.

TYPES OF ASSISTANCE:

GUARANTEED/INSURED LOANS

USES AND USE RESTRICTIONS:

HUD insures lenders against loss on loans. Insured loans may be used to finance alterations, repairs, and improvements for existing structures and the building of new nonresidential structures which substantially protect or improve

the basic livability or utility of the properties. The maximum loan amount is \$25,000 for improving a single family home or for improving or building a nonresidential structure. For improving a multifamily structure, the maximum loan amount is \$12,000 per family unit, not to exceed a total of \$60,000 for the structure.

Applicant Eligibility:

Eligible borrowers include the owner of the property to be improved, a lessee having a lease extending at least 6 months beyond maturity of the loan, or a purchaser of the property under a land installment contract.

Beneficiary Eligibility:

Individuals/families.

Credentials/Documentation:

The responsibility for credit approval of borrowers is exercised by HUD-insured lenders. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. The borrower applies directly to an insured lender, or through a lender's approved dealer.

Award Procedure:

None.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

HUD does not participate in the approval or disapproval of individual loans.

Appeals:

Reapplication in case of refusal should be submitted to an approved lender.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Loans mature in 20 years and 32 days or earlier. Loans may be refinanced, but may not extend beyond 30 years from the date of the original note. Method of awarding/releasing assistance: quarterly.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

Lenders are required to service and maintain records in accordance with acceptable lending practices of prudent lending institutions and the HUD regulations.

Account Identification:

86-4077-0-3-371.

Obligations:

(Sale, Exchange, or Donation of Property and Goods) FY 12 \$101,292,235; FY 13 est \$127,522,290; and FY 14 est \$140,274,519

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

24 CFR Part 201

Regional or Local Office:

None. Contact HUD at (800) 767-7468 for a program brochure #2651 and list of lenders.

Headquarters Office:

Wanda L. Sampedro, 451 7th Street, SW, Washington, District of Columbia 20410 Email: answers@hud.gov Phone: (800) 225-5342.

Website Address:

http://portal.hud.gov/hudportal/HUD?src=/program_offices/housing/sfh/title/ti_home

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

14.159 SECTION 245 GRADUATED PAYMENT MORTGAGE PROGRAM

FEDERAL AGENCY:

Office of Housing-Federal Housing Commissioner, Department of Housing and Urban Development

AUTHORIZATION:

National Housing Act.

OBJECTIVES:

To facilitate early home ownership for households that expect their incomes to rise. Program allows homeowners to make smaller monthly payments initially and to increase their size gradually over time.

TYPES OF ASSISTANCE:

GUARANTEED/INSURED LOANS

USES AND USE RESTRICTIONS:

HUD insures lenders against loss on mortgage loans. These mortgage loans may be used by owner-occupant mortgagors to finance the purchase of proposed, under construction, or existing single family housing, and condominiums. The mortgage calculation requires consideration of three limits, the least of which determines the maximum mortgage: 1) the statutory limit for the area; 2) the maximum mortgage as if the loan were to be insured under Section 203(b) using outstanding instructions, and, 3) a separate calculation specific to Sec. 245(a). This final calculation will almost always result in a lower amount than would be available under Section 203(b). The Section 245(a) calculation is to make certain that the loan amount with all deferred interest added does not exceed 97 percent of the appraised value including closing costs at the time the loan was made.

Applicant Eligibility:

All persons intending to occupy the property are eligible to apply for Section 245(a).

Beneficiary Eligibility:

Individuals/families.

Credentials/Documentation:

Documentation regarding the characteristics of the property and the qualifications of the applicant are assembled by the mortgagee and submitted with the application. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110.

Applications are submitted for review and approval or disapproval to the local HUD Field Office through a HUD-approved lending institution.

Award Procedure:

See Application Procedure.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Varies.

Appeals:

The lender will state the reasons for refusing an application. The applicant may reapply to other lenders.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The term of the mortgage is limited to 30 years. Method of awarding/releasing assistance: quarterly.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

Mortgagees are required to service and maintain records in accordance with acceptable mortgage practices of prudent lending institutions and the HUD regulations.

Account Identification:

86-4587-0-3-371.

Obligations:

(Sale, Exchange, or Donation of Property and Goods) FY 12 Not Separately Identifiable(Exp: Reported under 14.117); FY 13 Not Separately Identifiable(Exp: Reported under 14.117); and FY 14 Not Separately Identifiable - Reported under 14.117 for Fiscal Years 2009 through present.

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None. Persons are encouraged to contact the Homeownership Center serving their State, or the nearest local HUD Office. Visit www.hud.gov for a list of offices.

Headquarters Office:

Wanda L. Sampedro 451 7th Street, SW, Washington, District of Columbia 20410 Email: answers@hud.gov Phone: (800) 225-5342

Website Address:

<http://portal.hud.gov/hudportal/HUD?src=/hudprograms/gpm>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

14.162 MORTGAGE INSURANCE_COMBINATION AND

MANUFACTURED HOME LOT LOANS

(Title I)

FEDERAL AGENCY:

Office of Housing-Federal Housing Commissioner, Department of Housing and Urban Development

AUTHORIZATION:

National Housing Act, Title I, Section 2, as amended, 12 U.S.C. 1703.

OBJECTIVES:

To make possible reasonable financing for the purchase of a manufactured home and a lot on which to place the home.

TYPES OF ASSISTANCE:

GUARANTEED/INSURED LOANS

USES AND USE RESTRICTIONS:

HUD insures lenders against loss on mortgage loans. Insured loans may be used to purchase manufactured homes and lots for buyers intending to use them as their principal places of residence. Effective March 3, 2009, the maximum mortgage amount is \$92,904 for a manufactured home and a suitably developed lot and \$23,226 for a developed lot only. The lot must be appraised by the HUD-approved appraiser. Maximum loan limits may be increased in designated high-cost areas.

Applicant Eligibility:

All persons are eligible to apply.

Beneficiary Eligibility:

Individuals/families.

Credentials/Documentation:

Documentation regarding the characteristics of the manufactured home unit, HUD-approved appraisal of the lot, and the qualifications of the borrower are submitted to the lender. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Application is submitted to a HUD-approved lender for review and approval.

Award Procedure:

See Application Procedure.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Prior to June 1, 2009, HUD had no involvement in the approval or disapproval process of a loan. Effective June 1, 2009, lenders will submit loans to HUD for a pre-closing test approval period, which will average 3 to 5 days for complete submissions. Upon successful completion of the pre-closing test phase, a lender may then be authorized to participate in the Title I Direct Endorsement Program. HUD has no other participation or regulation over the lender approval processing time.

Appeals:

The applicant may reapply.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The maximum loan term is 20 years for a manufactured home and lot; 25 years for a manufactured home and lot combination; and 15 years for a lot only.

Method of awarding/releasing assistance: quarterly.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

Lenders are required to service and maintain records in accordance with acceptable lending practices of prudent lending institutions and the HUD regulations.

Account Identification:

86-4077-0-3-371.

Obligations:

(Sale, Exchange, or Donation of Property and Goods) FY 12 Not Separately Identifiable(Exp: Reported under 14.110); FY 13 Not Separately Identifiable(Exp: Reported under 14.110); and FY 14 Not Separately Identifiable - Reported Under 14.110 for Fiscal Years 2009 through present.

Range and Average of Financial Assistance:

The maximum mortgage amount is \$92,904 for a manufactured home on a suitably developed lot and \$23,226 for a developed lot only.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Wanda L. Sampedro 451 7th Street, SW, Washington, District of Columbia 20410 Email: answers@hud.gov Phone: (800) 225-5342.

Website Address:

http://portal.hud.gov/hudportal/HUD?src=/program_offices/housing/sfh/title/ma_nuf146

RELATED PROGRAMS:

14.110 Manufactured Home Loan Insurance_Financing Purchase of Manufactured Homes as Principal Residences of Borrowers; 14.127 Mortgage Insurance_Manufactured Home Parks; 14.142 Property Improvement Loan Insurance for Improving All Existing Structures and Building of New Nonresidential Structures

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

14.163 MORTGAGE INSURANCE_SINGLE FAMILY COOPERATIVE HOUSING

(203(n))

FEDERAL AGENCY:

Office of Housing-Federal Housing Commissioner, Department of Housing and Urban Development

AUTHORIZATION:

National Housing Act; as amended, Section 203 (n), Emergency Home Purchase assistance act of 1974, Public Law 93-449, 88 Stat. 1364.

OBJECTIVES:

To provide insured financing for the purchase of the Corporate Certificate and Occupancy Certificate for a unit in a cooperative housing project. Ownership of the corporate certificate carries the right to occupy the unit located within the cooperative project.

TYPES OF ASSISTANCE:

GUARANTEED/INSURED LOANS

USES AND USE RESTRICTIONS:

HUD insures lenders against loss on mortgage loans. These insured loans may be used to assist individuals or families in acquiring corporate certificates and occupancy certificates in a cooperative housing project that is covered by a blanket mortgage insured under the National Housing Act. The maximum insurable mortgage loan is limited to the maximum limit under Section 203(b) minus the portion of the unpaid balance of the project mortgage attributable to the dwelling unit.

Applicant Eligibility:

Potential owner-occupant mortgagors are eligible to apply.

Beneficiary Eligibility:

Individuals/families.

Credentials/Documentation:

Documentation regarding the characteristics of the property and the qualifications of the mortgagor are assembled by the mortgagee and submitted with the application. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110.

Application is submitted for review and approval or disapproval to the HUD Homeownership Center having jurisdiction over the area where the property is located through a HUD approved lending institution.

Award Procedure:

See Application Procedure.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Varies.

Appeals:

HUD will state the reasons for refusing an application. The applicant may reapply subject to concurrence of the lender.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The maximum mortgage term is 30 years or the remaining term of the blanket mortgage covering the cooperative development, whichever is less. Method of awarding/releasing assistance: quarterly.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

Mortgagees are required to service and maintain records in accordance with acceptable mortgage practices of prudent lending institutions and the HUD regulations.

Account Identification:

86-4587-0-3-371.

Obligations:

(Sale, Exchange, or Donation of Property and Goods) FY 12 Not Separately Identifiable(Exp: Reported under 14.117); FY 13 Not Separately Identifiable(Exp: Reported under 14.117); and FY 14 Not Separately Identifiable - Reported Under 14.117.

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None. Persons are encouraged to contact the Homeownership Center serving their State (see <http://www.hud.gov/offices/hsg/sfh/hoc/hsgohocs.cfm>) or the nearest HUD Office. See Catalog Address Appendix IV for a list of Offices.

Headquarters Office:

Wanda L. Sampedro 451 7th Street, SW, Washington, District of Columbia 20410 Email: answers@hud.gov Phone: (800) 225-5342

Website Address:

<http://portal.hud.gov/hudportal/HUD?src=/programdescription/203n>

RELATED PROGRAMS:

14.117 Mortgage Insurance_Homes

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

14.172 MORTGAGE INSURANCE_GROWING EQUITY MORTGAGES (GEMs)**FEDERAL AGENCY:**

Office of Housing-Federal Housing Commissioner, Department of Housing and Urban Development

AUTHORIZATION:

National Housing Act.

OBJECTIVES:

To provide a rapid principal reduction and shorter mortgage term by increasing payments over a 10-year period, thereby expanding housing opportunities to the homebuying public.

TYPES OF ASSISTANCE:

GUARANTEED/INSURED LOANS

USES AND USE RESTRICTIONS:

HUD insures lenders against loss on mortgage loans. These loans may be used to finance the purchase of proposed, under construction, or existing single family housing, as well as to refinance indebtedness on existing housing, provided certain conditions are met. The maximum insurable mortgage loan for an occupant mortgagor is the same as prescribed for Section 203(b) - Program 14.117.

Applicant Eligibility:

All persons intending to occupy the property are eligible to apply.

Beneficiary Eligibility:

Individuals/families.

Credentials/Documentation:

Documentation regarding the characteristics of the property and the qualifications of the mortgagor are assembled by the mortgagee and submitted with the application. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Application is submitted for review and approval or disapproval to a HUD-approved mortgagee lending institution.

Award Procedure:

See Application Procedure.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Varies.

Appeals:

The lender will state the reasons for refusing an application. The applicant may reapply to another lender.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The Mortgage terms vary according to the plan chosen. Method of awarding/releasing assistance: quarterly.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

Mortgagees are required to service and maintain records in accordance with acceptable mortgage practices of prudent lending instructions and the HUD regulations.

Account Identification:

86-4587-0-3-371.

Obligations:

(Guaranteed/Insured Loans) FY 12 Not Separately Identifiable(Exp: Reported under 14.117); FY 13 Not Separately Identifiable(Exp: Reported under 14.117); and FY 14 Not Separately Identifiable(Exp: Reported under 14.117) - Reported Under 14.117.

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None. Persons are encouraged to contact the Homeownership Center (see <http://www.hud.gov/offices/hsg/sfh/hoc/hsgohocs.cfm>) serving their State, or the nearest local HUD Office. See Catalog Address Appendix IV for a list of Offices.

Headquarters Office:

Wanda L. Sampedro 451 7th Street, SW, Washington, District of Columbia 20410 Email: answers@hud.gov Phone: (800) 225-5342.

Website Address:

<http://portal.hud.gov/hudportal/HUD?src=/programdescription/245a>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

14.175 ADJUSTABLE RATE MORTGAGES (ARMS)

FEDERAL AGENCY:

Office of Housing-Federal Housing Commissioner, Department of Housing and Urban Development

AUTHORIZATION:

National Housing Act.

OBJECTIVES:

To provide mortgage insurance for an adjustable rate mortgage which offers lower than market interest rate initially but the loan term may rise later. The loan structure provides built-in limits or safeguards for both consumers and lenders, designed to minimize the effect of any rapid rise in interest rates.

TYPES OF ASSISTANCE:

GUARANTEED/INSURED LOANS

USES AND USE RESTRICTIONS:

HUD insures lenders against loss on mortgage loans. These loans may be used to finance the purchase of proposed, under construction, or existing one- to four-family housing as well as to refinance indebtedness on existing housing. The maximum insurable mortgage loan for an occupant mortgagor is the same as prescribed for Section 203(b) - program 14.117.

Applicant Eligibility:

All persons intending to occupy the property are eligible to apply.

Beneficiary Eligibility:

Individuals/families.

Credentials/Documentation:

Documentation regarding the characteristics of the property and the qualifications of the mortgagor are assembled by the mortgagee and submitted with the application. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Application is submitted for review and approval or disapproval to the local HUD Field Office through a HUD-approved lending institution.

Award Procedure:

See Application Procedure.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Varies.

Appeals:

HUD will state the reasons for refusing an application. The applicant may reapply subject to concurrence of the lender.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The mortgage term may extend for 30 years. Method of awarding/releasing assistance: quarterly.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

Mortgagees are required to service and maintain records in accordance with acceptable mortgage practices of prudent lending institutions and the HUD regulations.

Account Identification:

86-4587-0-3-371.

Obligations:

(Sale, Exchange, or Donation of Property and Goods) FY 12 Not Separately Identifiable(Exp: Reported under 14.117); FY 13 Not Separately Identifiable(Exp: Reported under 14.117); and FY 14 Not Separately Identifiable(Exp: Reported under 14.117) - Reported Under 14.117.

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None. Persons are encouraged to contact the Homeownership Center serving their State (see <http://www.hud.gov/offices/hsg/sfh/hoc/hsghoc.cfm>) or the nearest local HUD Office. See Catalog Address Appendix IV for a list of Offices.

Headquarters Office:

Wanda L. Sampedro 451 7th Street, SW, Washington, District of Columbia 20410 Email: answers@hud.gov Phone: (800) 225-5342.

Website Address:

http://portal.hud.gov/hudportal/HUD?src=/program_offices/housing/sfh/ins/203arnt

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

14.183 HOME EQUITY CONVERSION MORTGAGES

(Section 255)

FEDERAL AGENCY:

Office of Housing-Federal Housing Commissioner, Department of Housing and Urban Development

AUTHORIZATION:

National Housing Act.

OBJECTIVES:

To enable elderly homeowners to convert equity in their homes to monthly streams of income or lines of credit.

TYPES OF ASSISTANCE:

GUARANTEED/INSURED LOANS

USES AND USE RESTRICTIONS:

HUD insures lenders against loss on reverse mortgage loans. These loans may be used to provide monthly streams of income or lines of credit for older homeowners, 62 years of age or older.

Applicant Eligibility:

Eligible borrowers are persons 62 years of age or older and must complete counseling from a HUD-approved agency prior to obtaining the loan. Eligible properties include single family one-to-four unit owner-occupied dwelling units, units within FHA-approved condominium projects and Planned Unit Developments, and manufactured homes, if they meet FHA standards.

Beneficiary Eligibility:

Individuals.

Credentials/Documentation:

Documentation regarding the characteristics of the property and the qualifications of the mortgagor are assembled by the mortgagee. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. The borrower is required to receive counseling from a HUD-approved counseling agency prior to application. Approved Direct Endorsement Lenders process all aspects of the loan application and submit it to HUD for insurance endorsement.

Award Procedure:

See Application Procedure.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Varies.

Appeals:

Mortgagee will state the reasons for refusing an application. The applicant may reapply subject to concurrence of the lender.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The mortgage has no specified term. The mortgage is due and payable at the death of the borrower(s) or if the borrower sells, conveys, or moves from the property. The mortgage may also become due and payable if the borrower fails to perform an obligation of the mortgage, such as pay taxes and hazard insurance premiums or fails to maintain the property in good condition. Method of awarding/releasing assistance: quarterly.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

Mortgagees are required to service and maintain records in accordance with acceptable mortgage practices of prudent lending institutions and the HUD regulations.

Account Identification:

86-4587-0-3-371.

Obligations:

(Sale, Exchange, or Donation of Property and Goods) FY 12 \$13,165,079,650; FY 13 est \$11,723,000,000; and FY 14 est \$6,397,074,331

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None. Persons are encouraged to contact the FHA Resource Center for more information at 1-800-CallFHA or 1-800-225-5342.

Headquarters Office:

Wanda L. Sampedro, 451 7th Street, SW, Washington, District of Columbia 20410 Email: answers@hud.gov Phone: (800) 225-5342

Website Address:

http://portal.hud.gov/hudportal/HUD?src=/program_offices/housing/sfh/hecm/h.ecmhome

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

14.198 GOOD NEIGHBOR NEXT DOOR SALES PROGRAM

FEDERAL AGENCY:

Office of Housing-Federal Housing Commissioner, Department of Housing and Urban Development

AUTHORIZATION:

24 CFR 291.500-565, final rule published in Federal Register, October 31, 2006, effective December 1, 2006, following publication in the Federal Register of a proposed rule on September 8, 2005.

OBJECTIVES:

The purpose of the Good Neighbor Next Door Sales Program is to improve the quality of life in distressed urban communities. This is to be accomplished by encouraging law enforcement officers, teachers, and firefighters/emergency medical technicians, whose daily responsibilities and duties represent a nexus to the needs of the community, to purchase and live in homes in these communities.

TYPES OF ASSISTANCE:

SALE, EXCHANGE, OR DONATION OF PROPERTY OR GOODS

USES AND USE RESTRICTIONS:

Participants must be employed full-time as a law enforcement officer, pre-K through grade 12 teacher, firefighter or emergency medical technician and agree to live in the property purchased for 36 months from the date of occupancy following purchase of the home. Violation of the occupancy rule is under penalty of repayment of a prorata share of the discount of 50 percent of the list price. Properties offered through the program are single unit residences located in HUD-designated revitalization areas. The availability of properties is limited. Purchasers must provide financing for the purchases by their own means and are responsible for their portion of closing costs and broker fees. Teachers and Firefighters/EMTs are restricted to purchase homes located in an area served by their employer.

Applicant Eligibility:

Eligible law enforcement officer must be (a) Employed full-time by a law enforcement agency of the federal government, a state, a unit of general local government, or an Indian tribal government ; and (b) In carrying out such full-time employment, the person is sworn to uphold, and make arrests for violations of, Federal, state, county, or municipal law. A firefighter/EMT must be employed full-time as a firefighter or emergency medical technician by a fire department or emergency medical services responder unit of the federal government, a state, unit of general local government, or an Indian tribal government serving the area where the home is located. A teacher qualifies for the purposes of the GNND Sales Program if the person is: (a) Employed as a full-time teacher by a state-accredited public school or private school that provides direct services to students in grades pre-kindergarten through 12; and (b) The public or private school where the person is employed as a teacher serves students from the area where the home is located in the normal course of business.

Beneficiary Eligibility:

Law enforcement officers, firefighters, teachers, and EMTs purchasing a HUD-owned home in a designated revitalization area for use as their sole residence.

Credentials/Documentation:

Sales contract (HUD 9548); employer certification; second mortgage and note.

This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Interested persons apply by submitting an offer to purchase a property listed through HUD's property disposition program. Properties for sale are listed on the Internet at sites accessible to the general public. Offers are submitted electronically. The offer amount submitted must be the list price.

Award Procedure:

A winning offer is selected randomly by lottery each week in the event several bids are received on a single property. The selected offeror bids full price but is offered the property at a 50 percent discount off the list price, subject to the purchaser fully complying with the 36-month occupancy requirement and other program rules. The selected offeror is provided with a document package. The offeror accepts by signing and returning the sales documents. The selected offeror receives title to the home at a sales closing. The selected offeror arranges for financing of the purchase price.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Varies.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The assistance is provided in exchange for the buyer's commitment to occupy the homes as a personal residence for 36 months. Each month of occupancy results in the forgiveness of one thirty sixth of the discount value. Vacating the premises prior to the expiration of the owner-occupancy term requires repayment of a pro rata amount of the discount. Method of awarding/releasing assistance: quarterly.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

Not Applicable.

Account Identification:

86-4587-0-3-371; 86-4077-0-3-371.

Obligations:

(Sale, Exchange, or Donation of Property and Goods) FY 12 Not Separately Identifiable(Exp: reported under 14.311); FY 13 Not Separately Identifiable(Exp: reported under 14.311); and FY 14 Not Separately Identifiable(Exp: Reported under 14.311) - All fiscal year reporting is captured under 14.311.

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

HUD Regulations at 24 CFR Part 291. Fed. Register, October 31, 2006,

Volume, Number, Page. Program information is available on HUD's website at <http://www.hud.gov/offices/hsg/sfh/reo/goodn/gnndabot.cfm>

Regional or Local Office:

See Regional Agency Offices. Contact HUD's Homeownership Center (HOC) serving your state. Each Homeownership Center has Good Neighbor Next Door Coordinators. HOCs and their service areas may be found on the web at <http://www.hud.gov/offices/hsg/sfh/hoc/hsghoc.cfm>. Management and Marketing (M&M) contractors provide listings of properties available for purchase through the Good Neighbor Next Door program on the web and are a source of information. Go to <http://www.hudhomestore.com/home/index.aspx> and click on the state of your choice.

Headquarters Office:

Wanda L. Sampedro, 451 7th Street, SW, Washington, District of Columbia 20410 Email: answers@hud.gov Phone: (800) 225-5342

Website Address:

http://portal.hud.gov/hudportal/HUD?src=/program_offices/housing/sfh/reo/goodn/gnndabot

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

14.218 COMMUNITY DEVELOPMENT BLOCK GRANTS/ENTITLEMENT GRANTS

Community Development Block Grant program for Entitlement Communities.

FEDERAL AGENCY:

Office of Community Planning and Development, Department of Housing and Urban Development

AUTHORIZATION:

Housing and Community Development Act of 1974, Title I, Part 24, Section 570, Public Law 93-383, 88 Stat. 633, 42 U.S.C 5301-5321.

OBJECTIVES:

To develop viable urban communities by providing decent housing, a suitable living environment, and expanding economic opportunities, principally for persons of low and moderate income.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

Recipient communities may undertake a wide range of community-based activities directed toward neighborhood revitalization, economic development, and community services, facilities, and improvements. Entitlement communities develop their programs and set their funding priorities in conformance with the statutory standards, program regulations, and other federal requirements. Specific activities that can be carried out with Community Development Block Grant (CDBG) funds include acquisition of real property; relocation; clearance and demolition; rehabilitation of residential and nonresidential structures; provision of public facilities and improvements, such as water and sewer facilities (which require reviews by the State single point of contact or a Regional Planning Agency in accordance with Executive Order 12372), streets, and neighborhood centers. Within program limitations, CDBG funds can also pay for public services. Recipients may provide assistance to microenterprises or other for-profit entities when the recipient determines that such assistance is appropriate to carry out an economic development project. Community-based development organizations may carry out neighborhood revitalization, community economic development, or energy conservation activities. Each CDBG eligible activity must meet one of three national objectives: benefit low- and moderate-income persons, aid in the prevention or elimination of slums or blight, or meet other community development needs having a particular urgency that the grantee is unable to finance on its own. Recipients may only carry out eligible activities as listed in 24 CFR 570.201-207 or the statute.

Applicant Eligibility:

Recipients are states; cities in Metropolitan Areas designated by OMB as a central city of the Metropolitan Area; other cities over 50,000 in Metropolitan Areas; and qualified urban counties of at least 200,000 (excluding the population in entitlement cities located within the boundaries of such counties) are eligible to receive CDBG entitlement grants determined by a statutory formula.

Beneficiary Eligibility:

The principal beneficiaries of CDBG funds are low- and moderate-income persons (generally defined as a member of a family having an income equal to or less than the Section 8 low income limit established by HUD). The recipient must certify that at least 70 percent of the grant funds received during a 1, 2, or 3-year period, that it designates, are expended for activities that will principally benefit low- and moderate-income persons.

Credentials/Documentation:

Recipients must certify they will meet program requirements and applicable federal requirements. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Executive Order 12372 is applicable only where a recipient proposes to use funds for the planning or construction (reconstruction or installation) of water or sewer facilities. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Recipients submit a 5-year Consolidated Plan, an annual action plan, SF Form 424, and certifications to HUD. The Consolidated Plan and annual action plan cover four major formula-distribution HUD Community development programs, including CDBG. The annual action plan must include the local community development objectives and show the proposed use of the funds. If the grantee makes a complete submission within the established deadlines, the Department will make a grant award unless a determination is made by HUD that the grantee's performance is unsatisfactory. HUD will approve the submission generally within 45 days of receipt of the annual action plan and required certifications unless a determination has been made that the grantee has failed to carry out its CDBG program in a timely manner or has failed to conform to the requirements of the statute or other applicable laws. Under such circumstances, HUD may take appropriate actions, including a reduction in the amount of the original formula-allocated grant.

Award Procedure:

None.

Deadlines:

Nov 15, 2012 to Aug 15, 2013: See below. For formula grants, action plans associated with the Consolidated Plan must be submitted based on the grantee's program year, but no earlier than November 15 or no later than August 15 of the fiscal year for which the funds are allocated.

Range of Approval/Disapproval Time:

From 60 to 90 days. Generally within 45 days.

Appeals:

Administrative appeals process followed if entitlement grant funds are withheld or reduced, or repayment proposed for non-compliance or non-performance.

Renewals:

From 15 to 30 days. Every 3 to 5 years, localities submit a new Consolidated Plan. Each year in between, localities submit an annual action plan and program certifications.

Formula and Matching Requirements:

Statutory Formula: Title 24, Part 570.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Program of assistance is for an annual activities, but activities generally may be continued beyond one year until they are deemed completed. Method of awarding/releasing assistance: by letter of credit.

Reports:

The Consolidated Annual Performance and Evaluation Report (CAPER). The CAPER reports the recipient's program accomplishments for each program year. For 272. The recipient's efficient expenditure of grant funds is evaluated annually (through information provided in the IDIS (see below)) 60-days prior to the end of its program year. Through the program management's information system, known as the Inter-Disbursement and Information System (IDIS). Monitoring is "risk-assessment based" with high-risked grantees receiving priority status for monitoring and review.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. The auditee may elect to have a program-specific audit conducted under certain limited circumstances.

Records:

The recipient must maintain records with regard to eligibility, national objectives, financial management, citizen participation, relocation, other resources, acquisition, housing assistance to dwelling units and households, equal opportunity, environmental impact, labor standards and other requirements set forth in the program regulations. Records shall be retained for a period of four years after submission of the report in which the activity is reported as completed, except as otherwise prescribed in the regulations.

Account Identification:

86-0162-0-1-451.

Obligations:

(Formula Grants) FY 12 \$2,157,950,475; FY 13 est \$2,149,836,783; and FY 14 est \$1,948,870,000 - Allocations made to entitled metropolitan cities and urban counties.

Range and Average of Financial Assistance:

From low of \$72,231 to a high of \$178,008,585 for New York City; average grant \$2,956,494.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Administrative Regulations for Community Development Block Grants, 24 CFR 570.

Regional or Local Office:

None. Contact appropriate HUD Field Office listed in Appendix IV of the Catalog.

Headquarters Office:

Otis D.Collins, 451 7th Street S.W. Room 7282
, Washington., District of Columbia 20410 Email: otis.d.collins@hud.gov
Phone: 202-402-3416 Fax: 202-401-2044

Website Address:

<http://www.hud.gov/offices/cpd/index.cfm>.

RELATED PROGRAMS:

14.228 Community Development Block Grants/State's program and Non-Entitlement Grants in Hawaii

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

14.225 COMMUNITY DEVELOPMENT BLOCK GRANTS/SPECIAL PURPOSE GRANTS/INSULAR AREAS

Insular CDBG

FEDERAL AGENCY:

Office of Community Planning and Development, Department of Housing and Urban Development

AUTHORIZATION:

Housing and Community Development Act of 1974, as amended, Public Law 93-383, 42 U.S.C 5306(a)(2).

OBJECTIVES:

To provide community development assistance to the Pacific Islands of American Samoa, Guam, the Northern Mariana Islands, and the Virgin Islands in the Caribbean, by providing decent housing, a suitable living environment, and expanding economic opportunities, principally for persons of low- and moderate-income. Each activity funded must meet one of the program's National Objectives by: Benefiting low- and moderate-income families; aiding in the prevention or elimination of slums or blight; or meeting other community development needs having a particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community where other financial resources are not available.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Activities must be eligible under 24 CFR 570 Subpart C.

Applicant Eligibility:

The Island Governments of American Samoa, Guam, the Northern Mariana Islands, and the Virgin Islands.

Beneficiary Eligibility:

The principal beneficiaries of CDBG funds are low and moderate income persons. Low and moderate income is generally defined as 80 percent of the median income for the territory, as adjusted by family size.

Credentials/Documentation:

Grantees must certify that they will comply with program requirements as specified in 24 CFR Part 91. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. OMB Circular A-110 applies as implemented at 24 CFR Part 84. 24 CFR Part 85 also applies to this program. Each Insular Area shall submit a consolidated plan or an abbreviated consolidated plan to HUD no later than 45 days before the start of its program year.

Award Procedure:

The Honolulu Field Office and the HUD San Juan Field Office are responsible for reviewing each consolidated plan or abbreviated consolidated plan and approving them if the submissions are in accordance with 24 CFR 91 and the certifications are acceptable to HUD.

Deadlines:

Nov 15, 2012 to Aug 16, 2013 Applicants must submit final statements no later than 45 days before the start of its program year.

Range of Approval/Disapproval Time:

45 days.

Appeals:

The procedure is described in regulations (24 CFR Part 570 Subpart O).

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Assistance is available until project completion. Method of awarding/releasing assistance: by letter of credit.

Reports:

Financial management and annual performance reports as required by the Secretary. SF-425 Federal Cash Transactions Report. Annual Performance Report details the territory's performance in completing activities undertaken during the program year. Disbursement reports are a standard feature of the Integrated Disbursement and Information System used to access the grantee's line of credit. Program performance monitoring is conducted by field office staff based on risk analysis factors.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

All records applicable to the assistance project must be kept for three years following the submission of the final expenditure report or until all audit findings have been resolved.

Account Identification:

86-0162-0-1-451.

Obligations:

(Project Grants) FY 12 \$7,000,000; FY 13 est \$7,000,000; and FY 14 est \$7,000,000

Range and Average of Financial Assistance:

Funds allocated in FY 2013: American Samoa \$1,035,254; Guam \$3,012,933; Northern Marianas \$968,331; Virgin Islands \$1,983,482.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

24 CFR 570, 24 CFR Part 91, 24 CFR Part 58

Regional or Local Office:

See Regional Agency Offices. Honolulu Field Office and the HUD San Juan Field Office as listed in Appendix IV of the print edition of this Catalog. Or on the Internet, see: <http://www.hud.gov/local/index.cfm>.

Headquarters Office:

Stephen M. Rhodside 451 Seventh St. SW, Washington, District of Columbia 20410 Email: stephen.m.rhodside@hud.gov Phone: 202-402-7375 Fax: 202-485-9333

Website Address:

http://portal.hud.gov/hudportal/HUD?src=/program_offices/comm_planning/communitydevelopment/programs/insular

RELATED PROGRAMS:

14.218 Community Development Block Grants/Entitlement Grants; 14.228 Community Development Block Grants/State's program and Non-Entitlement Grants in Hawaii; 15.875 Economic, Social, and Political Development of the Territories

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

14.228 COMMUNITY DEVELOPMENT BLOCK GRANTS/STATE'S PROGRAM AND NON-ENTITLEMENT GRANTS IN HAWAII

State CDBG

FEDERAL AGENCY:

Office of Community Planning and Development, Department of Housing and

Urban Development

AUTHORIZATION:

Housing and Community Development Act of 1974, Title I, Section 101-122, Public Law 93-383, 88 Stat. 633, 42 U.S.C 5301-5322.

OBJECTIVES:

The primary objective of this program is the development of viable urban communities by providing decent housing, a suitable living environment, and expanding economic opportunities, principally for persons of low- and moderate-income. Each activity funded must meet one of the program's National Objectives by: Benefiting low- and moderate-income families; aiding in the prevention or elimination of slums or blight; or meeting other community development needs having a particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community where other financial resources are not available.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Thirty percent of funds available for distribution under each annual appropriation for the Community Development Block Grant (CDBG) program is allocated among the States and Puerto Rico (which is considered to be a state for the State CDBG program) for use in areas which are not a metropolitan city or part of an urban county (nonentitlement areas). All States except Hawaii have elected to administer these CDBG funds for areas that do not receive CDBG entitlement grants (14.218). HUD awards the formula grants to these States under the CDBG/State Program. In Hawaii, HUD awards the state's share of these funds to the three non-entitlement counties by formula. States must distribute CDBG funds to units of general local government (counties, towns, etc.) in nonentitlement areas. States develop their own programs and funding priorities. Units of general local government then carry out community development activities funded by the State. Eligible activities are directed toward neighborhood revitalization, economic development, or provision of improved community facilities and services. Specific activities that can be carried out with block grant funds include: Acquisition, rehabilitation or construction of certain public works facilities and improvements, such as streets, water and sewer facilities, neighborhood centers, recreation facilities, and other public works; demolition and clearance; rehabilitation of public and private buildings including housing; code enforcement; relocation payments and assistance; economic development; planning activities; certain public services with some restrictions; and administrative expenses. The projected use of funds must be developed to ensure that all activities will meet one of the three national objectives described under "OBJECTIVES". Communities receiving CDBG funds from a state may select subgrantees to carry out approved projects. Such subgrantees may include: Neighborhood-based nonprofit organizations; local development corporations; Small Business Investment Companies; or other nonprofit organizations serving the development needs of nonentitlement areas. Grant recipients may provide assistance to for-profit entities when the recipient determines that the provision of such assistance is appropriate to carry out an economic development project. Communities are restricted from constructing or rehabilitating public facilities for the general conduct of government and from making housing allowances or other income maintenance-type payments. Each State may use a limited portion of its grant, subject to a matching requirement, to administer the program. Each State may also use a limited portion of its grant, with no matching requirement, to provide technical assistance to local governments and nonprofit program recipients. At least seventy percent of the funds received must benefit low and moderate income persons.

Applicant Eligibility:

Forty-nine State governments and the Commonwealth of Puerto Rico receive funds from HUD under this program. The state of Hawaii does not participate and HUD allocates the state's share of funds to the three Hawaii non-entitled counties. Funds are allocated to each state based on a statutory formula. States must distribute the funds to units of general local government in nonentitlement areas.

Beneficiary Eligibility:

The principal beneficiaries of CDBG funds are low- and moderate- income persons. For non-metropolitan areas, low- and moderate- income is generally

defined as 80 percent of the median income for non-metropolitan areas of the State or of the county, whichever is higher, adjusted for family size. For eligible localities in metropolitan areas, low- and moderate-income is generally defined as 80 percent of the median income for the metropolitan area, adjusted for family size.

Credentials/Documentation:

Grantees must certify that they will comply with program requirements specified in 24 CFR Part 91. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. States must follow the fiscal requirements contained in 24 CFR 570.489. Each state and non-entitled Hawaii county must submit a Consolidated Plan, an annual action plan and certifications to HUD. The Consolidated Plan and annual action plan cover four major formula-distribution HUD community development programs, including CDBG. The annual action plan must contain the method by which the State will distribute its CDBG funds.

Award Procedure:

HUD will provide funds after the State or non-entitled Hawaii county submits its Consolidated Plan, annual action plan and Certifications.

Deadlines:

Nov 15, 2012 to Aug 16, 2013 Consolidated Plans may be submitted between November 15 and August 16 of each fiscal year in which the grantee will administer funds.

Range of Approval/Disapproval Time:

HUD will review plan submissions for completeness and consistency with the purposes of the Act, within 45 days of receipt from a grantee.

Appeals:

The procedure is described in regulations (24 CFR Part 570 Subpart I for states and 24 CFR Part 570 Subpart O for non-entitlement counties in Hawaii).

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title 24, Chapter V, Part 570, Subpart A, Public Law 93-383.

Matching Requirements: For state administration of the program, after an initial allowance of \$100,000 with no match, states may take an additional allowance of up to 3% of the grant amount but must match such expenditures on a dollar for dollar basis. There is no matching requirement for the non-entitlement counties in Hawaii. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Assistance is provided to States and non-entitlement counties in Hawaii on an annual basis. Individual States may impose time limitations on the implementation of grants to recipients. Method of awarding/releasing assistance: by letter of credit.

Reports:

Annual performance report covering all programs included in the Consolidated Plan as prescribed at 24 CFR 91.520. For Hawaii counties, SF-425 Federal Cash Transactions Report is required. Annual Performance and Evaluation Report details the state's performance in completing activities undertaken with each allocation of funds. Disbursement reports are a standard feature of the Integrated Disbursement and Information System used to access the grantee's line of credit. Program performance monitoring is conducted by field office staff.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000

or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records must demonstrate that the State or non-entitlement county in Hawaii has met the requirements of Title I of the Act.

Account Identification:

86-0162-0-1-451.

Obligations:

(Formula Grants) FY 12 \$1,006,966,251; FY 13 est \$921,358; and FY 14 est \$835,230,000

Range and Average of Financial Assistance:

State grant amounts are determined by formula. Of the 50 grants allocated to states under the State CDBG program in FY 2013, the average (mean) grant amount is \$18,328,133. Grant amounts range from \$2,005,029 to \$62,566,661. These totals do not include Hawaii's allocation awarded to its 3 non-entitled counties. Hawaii's share of the 2013 allocation is \$4,951,324.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

24 CFR Part 570, 24 CFR Part 91, 24 CFR Part 58.

Regional or Local Office:

See Regional Agency Offices. Contact the appropriate HUD Field Office listed in Appendix IV of the print edition of this Catalog. Or on the Internet, see: <http://www.hud.gov/local/index.cfm>. Contact the Honolulu Field Office for the non-entitled Hawaii counties.

Headquarters Office:

Stephen M. Rhodeside 451 Seventh St. SW
, Washington, District of Columbia 20410 Email:
Stephen.m.rhodeside@hud.gov Phone: 202-402-7375 Fax: 202-485-9333

Website Address:

http://portal.hud.gov/hudportal/HUD?src=/program_offices/comm_planning/communitydevelopment/programs/stateadmin

RELATED PROGRAMS:

14.218 Community Development Block Grants/Entitlement Grants; 14.225 Community Development Block Grants/Special Purpose Grants/Insular Areas

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

14.231 EMERGENCY SOLUTIONS GRANT PROGRAM

FEDERAL AGENCY:

Office of Community Planning and Development, Department of Housing and Urban Development

AUTHORIZATION:

McKinney-Vento Homeless Assistance Act of 1987, Title IV, as amended, 42 U.S.C. 11371-78.

OBJECTIVES:

The ESG program provides funding to: (1) engage homeless individuals and families living on the street; (2) improve the number and quality of emergency shelters for homeless individuals and families; (3) help operate these shelters; (4) provide essential services to shelter residents, (5) rapidly re-house homeless individuals and families, and (6) prevent families and individuals from becoming homeless.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

ESG funds may be used for five program components: street outreach,

emergency shelter, homelessness prevention, rapid re-housing assistance, and HMIS; as well as administrative activities (up to 7.5% of a recipient's allocation can be used for administrative activities). For specific uses and use restrictions, see 24 CFR part 576, subpart B. For specific uses and use restrictions, see 24 CFR part 576, subpart B.

Applicant Eligibility:

Eligible recipients generally consist of metropolitan cities, urban counties, territories, and states, as defined in 24 CFR 576.2. Metropolitan cities, urban counties and territories may subgrant ESG funds to private nonprofit organizations. States must subgrant all of their ESG funds (except for funds for administrative costs and, under certain conditions, HMIS costs) to units of general purpose local government and/or private nonprofit organizations. Each recipient must consult with the Continuum(s) of Care operating within the jurisdiction in determining how to allocate ESG funds.

Beneficiary Eligibility:

The minimum eligibility criteria for ESG beneficiaries are as follows: For essential services related to street outreach, beneficiaries must meet the criteria under paragraph (1)(i) of the homeless definition under 576.2. For emergency shelter, beneficiaries must meet the homeless definition in 24 CFR 576.2. For essential services related to emergency shelter, beneficiaries must be homeless and staying in an emergency shelter (which could include a day shelter). For homelessness prevention assistance, beneficiaries must meet the requirements described in 24 CFR 576.103. For rapid re-housing assistance, beneficiaries must meet requirements described in 24 CFR 576.104. Further eligibility criteria may be established at the local level in accordance with 24 CFR 576.400(e).

Credentials/Documentation:

Recipients must certify they will meet program requirements and applicable federal requirements. Government recipients and subrecipients must comply with OMB Circular No. A-87. Subrecipients that are private nonprofit organizations must comply with OMB Circular No. A-122. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. In addition to meeting the application submission requirements in 24 CFR part 5, subpart K, each State, urban county, or metropolitan city must submit a consolidated plan, an annual action plan, and certifications to HUD, in accordance with the requirements in 24 CFR part 91, and each territory must submit a consolidated plan, an annual action plan, and certifications to HUD, in accordance with the requirements that apply to local governments under 24 CFR part 91. The consolidated plan and annual action plan cover four major HUD formula programs, including ESG. The annual action plan must describe how the funds will be used. The uniform administrative requirements at 24 CFR parts 84 and 85 apply as specified in 24 CFR 576.407(c).

Award Procedure:

The HUD field office reviews the grantee's Consolidated Plan and, upon plan approval, notifies the applicant of the award and prepares the grant agreement.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Each jurisdiction should submit its consolidated plan to HUD at least 45 days before the start of its program year. In no event will HUD accept a submission earlier than November 15 or later than August 16 of the federal fiscal year for which the grant funds are appropriated.

Appeals:

Formula allocations may not be appealed. Disapproved plans may be resubmitted in accordance with 24 CFR 91.500.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: The formula for this program is part statutory and part regulatory (see section 414 of the McKinney-Vento Homeless Assistance Act and 24 CFR 576.3). HUD will set aside for allocation to the territories up to 0.2 percent, but not less than 0.1 percent, of the total amount of each appropriation in any fiscal year. The remainder will be allocated to States, metropolitan cities, and urban counties. The percentage allocated to each State, metropolitan city, and urban county will be equal to the percentage of the total amount available under section 106 of the Housing and Community Development Act of 1974 for the prior fiscal year that was allocated to the State, metropolitan city or urban county. If an allocation to a metropolitan city or urban county would be less than 0.05 percent of the total fiscal year appropriation for ESG, the amount is added to the allocation of the State in which the city or county is located. Metropolitan city and urban county recipients must match grant funds with an equal amount of funds from cash or the following in-kind sources: services contributed by volunteers, the donation of materials and buildings, or the value of any lease on a building. States must match all but \$100,000 of their awards, but must pass on the benefit of that \$100,000 exception to their subrecipients that are least capable of providing matching amounts. Territories are exempt from the match requirement. If the recipient or subrecipient is a unit of general purpose local government, its ESG funds cannot be used to replace funds the local government provided for street outreach and emergency shelter services during the immediately preceding 12-month period, unless HUD determines that the unit of general purpose local government is in a severe financial deficit in accordance with 24 CFR 576.101(c).

Matching Requirements: Each grantee must certify that it will supplement the assistance provided under the program with an equal amount of funds from other sources, except for State grantees under \$100,000 exemption. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Metropolitan cities, urban counties and territories must obligate all funds, except for the amount for administrative costs, within 180 days after HUD signs the grant agreement. States must obligate all funds, except the amount for administrative costs, to subrecipients within 60 days after the date that HUD signs the grant agreement. Each subrecipient that is a unit of general purpose local government must obligate its grant funds within 120 days after the date the State obligates its funds. All grant funds must be expended within 24 months after HUD signs the grant agreement with the recipient. Method of awarding/releasing assistance: by letter of credit.

Reports:

Each recipient must report its program accomplishments for each program year in the Consolidated Annual Performance and Evaluation Report (CAPER). Each recipient's efficient expenditure of grant funds is evaluated through information provided in the program management's information system, known as the Integrated Disbursement and Information System (IDIS). Each recipient must also comply with the reporting requirements under the Federal Funding Accountability and Transparency Act of 2006, (31 U.S.C. 6101 note), which are set forth in appendix A to 2 CFR part 170. Monitoring is "risk-assessment based" with high-risked grantees receiving priority status for monitoring and review. The performance report shall be submitted to HUD within 90 days after the close of the jurisdiction's program year. Recipients draw down ESG funds from the Integrated Disbursement and Information System (IDIS). This is HUD's method of capturing financial and expenditure data from the recipients. Progress reports are not applicable. Through the CAPER and IDIS draw down information, recipients provide reports on expenditures. In accordance with 24 CFR Part 85, recipients are required to monitor their subrecipients for compliance with the ESG requirements.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Sufficient records must be established and maintained to enable the recipient and HUD to determine whether ESG requirements are being met. All records pertaining to each fiscal year of ESG funds must be retained for the greater of 5 years or the period specified under 24 CFR 576.500(y).

Account Identification:

86-0192-0-1-604.

Obligations:

(Formula Grants) FY 12 \$286,000,000; FY 13 est \$215,000,000; and FY 14 est \$215,000,000

Range and Average of Financial Assistance:

The .05 percent minimum entitlement allocation resulted in \$48,743 minimum grant in FY2013. The maximum entitlement allocation was \$10,921,293.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: No Current Data Available. Fiscal Year 2013: No Current Data Available. Fiscal Year 2014: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

The program is governed by interim regulations published in the Federal Register on December 5, 2011, at 24 CFR Parts 91 and 576. Guidance on the interim regulations can be found at <http://www.onecpd.info/esg>.

Regional or Local Office:

See Regional Agency Offices. Contact appropriate HUD Field Office listed in Appendix IV of the Catalog.

Headquarters Office:

Michael Roanhouse, Office of Special Needs Assistance Programs, U.S. Department of Housing and Urban Development, Community Planning and Development, 451 7th Street, SW, Room 7262, Washington, District of Columbia 20410 Email: Michael.Roanhouse@hud.gov Phone: 202-708-4300 Fax: 202-401-0053

Website Address:

http://portal.hud.gov/hudportal/HUD?src=/program_offices/comm_planning/homeless/programs/esg

RELATED PROGRAMS:

14.235 Supportive Housing Program; 14.238 Shelter Plus Care; 14.241 Housing Opportunities for Persons with AIDS; 97.024 Emergency Food and Shelter National Board Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Proposed ESG projects are part of the prospective grantee's Consolidated Plan, which are reviewed according to criteria set forth in 24 CFR 91.

14.235 SUPPORTIVE HOUSING PROGRAM

(Transitional Housing; Permanent Housing for Homeless Persons with Disabilities; Innovative Supportive Housing; Supportive Services for Homeless Persons not in Conjunction with Supportive Housing; Safe Havens; and HMIS.)

FEDERAL AGENCY:

Office of Community Planning and Development, Department of Housing and Urban Development

AUTHORIZATION:

McKinney-Vento Homeless Assistance Act of 1987, Title IV, Subtitle C, as amended.

OBJECTIVES:

The Supportive Housing Program is designed to promote the development of supportive housing and supportive services to assist homeless individuals and families in the transition from homelessness and to enable them to live as independently as possible. Program funds may be used to provide: (i) transitional housing within a 24-month period as well as up to six months of follow-up services to former residents to assist their adjustment to independent living; (ii) permanent housing provided in conjunction with appropriate supportive services designed to maximize the ability of persons with disabilities

to live as independently as possible; (iii) supportive housing that is, or is part of, a particularly innovative project for, or alternative method of, meeting the immediate and long-term needs of homeless individuals and families; (iv) supportive services for homeless individuals not provided in conjunction with supportive housing; (v) safe havens for homeless individuals with serious mental illness currently residing on the streets who may not yet be ready for supportive services; and (vi) Homeless Management Information Systems (HMIS) for developing management information systems to maintain data on beds, units and homeless individuals.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE; PROJECT GRANTS

USES AND USE RESTRICTIONS:

Eight types of assistance may be provided for Supportive Housing: acquisition of structures for use as supportive housing or in providing supportive services; rehabilitation of structures for use as supportive housing or in providing supportive services; new construction of buildings for use as supportive housing under limited circumstances; leasing of structures for use as supportive housing or in providing supportive services; operating costs of supportive housing; costs of providing supportive services to homeless persons; costs of implementing and operating HMIS; and administrative costs not to exceed five percent of the SHP grant.

Applicant Eligibility:

States, local governments, other governmental entities, private nonprofit organizations, and community mental health associations that are public nonprofit organizations.

Beneficiary Eligibility:

Homeless individuals and families with children.

Credentials/Documentation:

Private nonprofit status is documented by submitting either (1) a copy of the Internal Revenue Service (IRS) ruling providing tax-exempt status under Section 501(c)(3) of the IRS Code; or (2) documentation showing that the entity is a certified United Way agency; or (3) a certification from a licensed CPA that no part of the net earnings of the organization inures to the benefit of any member, founder, contributor, or individual; that the organization has a voluntary board; that the organization practices nondiscrimination in the provision of assistance and that the organization has a functioning accounting system. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. One application package prescribing the specific information that applicants must submit is available. The standard application forms as furnished by HUD must be used for this program. Any proposed project under this program must be consistent with the applicable HUD-approved Consolidated Plan and must be permissible under applicable zoning ordinances and regulations.

Award Procedure:

HUD reviews applications, rates and ranks those meeting threshold requirements, and prepares a Grant Agreement for those applications selected.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Processing of applications will take place in HUD Field Offices and at HUD Headquarters, and selection of successful applicants will take place at HUD Headquarters. HUD will make its final selections and obligate funds as soon as the applications can be processed.

Appeals:

Applicants may appeal funding decision if they believe a HUD error has occurred.

Renewals:

Information on renewals is contained in the Notice of Funding Availability and the application.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Recipients must match grants for acquisition, rehabilitation, and new construction, with an equal amount of funds from other sources. Recipients must also provide a cash match for support services and operations. The Supportive Housing Program will pay no more than 80 percent of total support service costs, 75 percent of total operating costs or 80 percent of total HMIS costs. Recipients have the responsibility to ensure that the funds that the recipient uses to satisfy HUD's match requirements are not prohibited to be used for this purpose under any statute that may govern the matching funds. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants may be made available for up to 3 years for leasing, operating, supportive services and HMIS costs. Method of awarding/releasing assistance: by letter of credit.

Reports:

Each recipient must keep any records and make any reports as specified by HUD within the timeframe required. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. The financial management systems used by governmental entities that are recipients under this program must provide for audits in accordance with 24 CFR 45 as applicable. Private nonprofit entity recipients are subject to the audit requirements of 24 CFR 44 as applicable. Both 24 CFR Part 44 and 45 stipulate that grant recipients and their subgrantees provide audits in accordance with OMB Circular No. A-133. HUD may perform further and additional audits as it finds necessary or appropriate.

Records:

Each recipient must agree to participate in an evaluation of the program, and to keep any records and make any reports that HUD may require for the purpose of reporting to Congress on the cost of the program and the social, financial, and other advantages of supportive housing components as a means of assisting homeless persons.

Account Identification:

86-0192-0-1-604.

Obligations:

(Project Grants) FY 12 \$0; FY 13 est \$0; and FY 14 est \$0

Range and Average of Financial Assistance:

HUD imposes the following limitations: (1) Acquisition and/or rehabilitation construction grants for the Supportive Housing Program may not exceed 200,000 (up to \$400,000 in high cost areas); new construction may not exceed \$400,000; (2) funding of up to 75 percent for operating costs; (3) funding of up to 80 percent for supportive services and HMIS costs; and (4) the program provides grants for leasing costs for up to 3 years.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: No Current Data Available. Fiscal Year 2013: No Current Data Available. Fiscal Year 2014: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

24 CFR 583 published in the Federal Register. Other program information is available at www.onecpd.info.

Regional or Local Office:

See Regional Agency Offices. Designated contact person for the Supportive

Housing Program in HUD Field Offices with a Community Planning and Development Division.

Headquarters Office:

Tonya Proctor Office of Special Needs Assistance Programs, U.S. Department of Housing and Urban Development, Community Planning and Development, 451 7th Street, SW, Room 7262, Washington, District of Columbia 20410
Email: Tonya.Proctor@hud.gov Phone: 202-708-4300 Fax: 202-401-0053

Website Address:

<http://www.onecpd.info/shp>

RELATED PROGRAMS:

14.231 Emergency Shelter Grants Program; 14.238 Shelter Plus Care; 14.241 Housing Opportunities for Persons with AIDS; 14.249 Section 8 Moderate Rehabilitation Single Room Occupancy; 14.267 Continuum of Care Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The Homeless Emergency Assistance and Rapid Transition to Housing (HEARTH) Act amended and reauthorize the McKinney-Vento Homeless Assistance Act into the Continuum of Care Program. Fiscal Year 2011 was the last funding year under the Supportive Housing Program. Projects will continue to operate under the NOFA they were awarded under until they are renewed under the Continuum of Care Program. The resource for this program is still relevant.

14.238 SHELTER PLUS CARE

FEDERAL AGENCY:

Office of Community Planning and Development, Department of Housing and Urban Development

AUTHORIZATION:

Subtitle F of Title IV of the McKinney-Vento Homeless Assistance Act of 1987 as amended, 42 U.S.C.11403.

OBJECTIVES:

The Shelter Plus Care Program provides rental assistance, in connection with supportive services funded from sources other than this program, to homeless persons with disabilities (primarily persons who are seriously mentally ill; have chronic problems with alcohol, drugs, or both, or have acquired immunodeficiency syndrome and related diseases) and their families. The program provides assistance through four components: (1) Tenant-based Rental Assistance (TRA); (2) Sponsor-based Rental Assistance (SRA); (3) Project-based Rental Assistance (PRA); (4) and Single Room Occupancy for Homeless Individuals (SRO).

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Homeless persons with disabilities and their families. Homeless persons with disabilities.

Applicant Eligibility:

An eligible applicant is a State, unit of general local government, or public housing agency (PHA).

Beneficiary Eligibility:

Homeless persons with disabilities and their families. Except in single room occupancy dwellings that are only for homeless individuals with disabilities.

Credentials/Documentation:

Governmental entities and public housing agencies will certify to their eligibility. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Any site that would have a significant adverse impact on the human environment will not be eligible for funding. All components of this program are excluded from coverage under E.O. 12372. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. An electronic application package prescribing the specific information that applicants must submit through the local Continuum of Care (CoC) is available from HUD through the annual CoC Notice of Fund Availability, found at <https://www.onecpd.info/spc>. The standard electronic application form as furnished by HUD must be used for this program. Any proposed project under this program must be consistent with the applicable HUD-approved Consolidated Plan.

The Homeless Emergency Assistance and Rapid Transition to Housing (HEARTH) Act amended and reauthorize the McKinney-Vento Homeless Assistance Act into the Continuum of Care Program. Fiscal Year 2011 was the last funding year under the Supportive Housing Program. Projects will continue to operate under the NOFA they were awarded under until they are renewed under the Continuum of Care Program. The resource for this program is still relevant

Award Procedure:

HUD reviews applications, rates and ranks those meeting threshold requirements, and makes conditional grant awards to those applications most highly ranked, in accordance with the Notice of Fund Availability (NOFA).

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Processing of applications and selection of successful applicants will take place at HUD field offices and Headquarters. HUD Headquarters will make selection and obligate funds as soon as the applications can be processed.

Appeals:

Applicants may appeal the results of HUD's review and selection process if they believe a HUD error has occurred. Appeals must be in writing to the Assistant Secretary for Community Planning and Development and must state what HUD error the applicant believes occurred.

Renewals:

One year funding for projects eligible for renewal as contained in the Notice of Fund Availability and application.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: Recipients must match the rental assistance by supportive services that are equal in value to the aggregate amount of rental assistance and appropriate to the needs of the population to be served. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

HUD will provide rental assistance under the TRA and SRA components for a five year period. Rental assistance under the SRO component will be for a ten-year period. If units are rehabilitated, and meet the standards required, assistance under the PRA component will be for a ten-year period; otherwise it will be for a five-year period. See the following for information on how assistance is awarded/released: HUD award notification letter.

Reports:

No reports are required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. The financial management systems used by governmental entities that are recipients under this program must provide for audits as stipulated in 24 CFR 45. HUD may perform further and additional audits as it finds necessary or appropriate.

Records:

Each recipient must keep any records and make any reports that HUD may

require.

Account Identification:

86-0192-0-1-604.

Obligations:

(Project Grants) FY 12 \$0; FY 13 est \$0; and FY 14 est \$0 - There was no funding for new project grants after FY2011.

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: No Current Data Available. Fiscal Year 2013: No Current Data Available. Fiscal Year 2014: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

24 CFR Part 582 Shelter Plus Care Program Final Rule.

Regional or Local Office:

See Regional Agency Offices. Designated contact person or monitor for the Shelter Plus Care Program in HUD Field Offices with a Community Planning and Development Division. Program information may also be obtained from Community Connections at HUD at (1-800)998-9999.

Headquarters Office:

Brian Fitzmaurice, Office of Special Needs Assistance Programs, U.S. Department of Housing and Urban Development, Community Planning and Development, 451 7th Street, SW, Room 7262, Washington, District of Columbia 20410 Email: Brian.P.Fitzmaurice@hud.gov Phone: 202-708-4300 Fax: 202-401-0053

Website Address:

<https://www.onecpd.info/spc/>.

RELATED PROGRAMS:

14.231 Emergency Shelter Grants Program; 14.235 Supportive Housing Program; 14.241 Housing Opportunities for Persons with AIDS; 14.267 Continuum of Care Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The Homeless Emergency Assistance and Rapid Transition to Housing (HEARTH) Act amended and reauthorize the McKinney-Vento Homeless Assistance Act into the Continuum of Care Program. Fiscal Year 2011 was the last funding year under the Supportive Housing Program. Projects will continue to operate under the NOFA they were awarded under until they are renewed under the Continuum of Care Program. The resource for this program is still relevant.

14.239 HOME INVESTMENT PARTNERSHIPS PROGRAM

(HOME Program)

FEDERAL AGENCY:

Office of Community Planning and Development, Department of Housing and Urban Development

AUTHORIZATION:

National Affordable Housing Act 1990, Title II.

OBJECTIVES:

To expand the supply of affordable housing, particularly rental housing, for low and very low income Americans; to strengthen the abilities of State and local governments to design and implement strategies for achieving adequate supplies of decent, affordable housing; and to extend and strengthen partnerships among all levels of government and the private sector, including for-profit and nonprofit organizations, in the production and operation of affordable housing.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

For use by participating jurisdictions or Insular Areas for housing rehabilitation, tenant-based rental assistance, assistance to homebuyers, acquisition of housing

and new construction of housing. Funding may also be used for other necessary and reasonable activities related to the development of non-luxury housing, such as site acquisition, site improvements, demolition and relocation. Ten percent of a participating jurisdiction's allocation may be used for administrative costs. Funds may not be used for public housing modernization, matching funds for other Federal programs, reserve accounts or operating subsidies for rental housing, Annual Contributions Contracts, or activities under the Low Income Housing Preservation Act except for priority purchasers.

Applicant Eligibility:

States, cities, urban counties, and consortia (of contiguous units of general local governments with a binding agreement) are eligible to receive formula allocations; funds are also set aside for grants to Insular Areas.

Beneficiary Eligibility:

For rental housing, at least 90 percent of HOME funds must benefit low and very low income families at 60 percent of the area median income; the remaining ten percent must benefit families below 80 percent of the area median. Assistance to homeowners and homebuyers must be to families below 80 percent of the area median.

Credentials/Documentation:

Specific requirements of 24 CFR Part 85 apply to participating jurisdictions, State recipients and any governmental subrecipient receiving HOME funds. OMB Circular No. A-122 and specific requirements of 24 CFR Part 84 apply to nonprofit subrecipients which are not governmental. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact statement is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Submit a Consolidated Plan, an annual action plan and certifications to HUD. The Consolidated Plan and annual action plan cover four major formula-distribution HUD community development programs, including HOME. The annual action plan must describe how the funds will be used.

Award Procedure:

The responsible HUD Field Office notifies grantees of the formula awards. HUD prepares the grant agreement for formula applicants.

Deadlines:

Nov 15, 2013 to Aug 16, 2015 Action plans should be submitted to HUD at least 45 days before the start of the program year. In no event will HUD accept a submission earlier than November 15 or later than August 16 of the federal fiscal year for which funds are allocated.

Range of Approval/Disapproval Time:

HUD will review for completeness and consistency with the purposes of the Act, within 45 days of receipt from a jurisdiction.

Appeals:

Formula allocations may not be appealed.

Renewals:

Every fifth year, localities submit a Consolidated Plan. Each year jurisdictions must submit an action plan and certifications.

Formula and Matching Requirements:

Statutory Formula: Title HOME Investment Partnership Program, Chapter 24, Part 92, Subpart A-M. The formula is a system of factors established to reflect a jurisdiction's need for an increased supply of affordable housing for low and very low income families. Designed by HUD to meet statutory criteria, it is based on a jurisdiction's inadequate housing supply, substandard housing, the number of low-income households in housing units likely to be in need of rehabilitation, the cost of producing housing, poverty, and the relative fiscal incapacity of the jurisdiction to carry out housing activities without federal assistance. Each jurisdiction must make matching contributions for affordable housing throughout a fiscal year in an amount not less than 25 percent of the HOME funds drawn from the U.S. Treasury during the federal fiscal year for

projects. Jurisdictions in fiscal distress receive full or partial (50 percent) relief from this requirement.

Matching Requirements: Percent: 25.%.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grant funds are drawn down from the U. S. Treasury based upon individual project development progress. The HOME program uses an electronic fund transfer disbursement and information system. Once drawn down, funds must be expended within fifteen days. All funds must be committed within 24 months and expended within 5 years. Method of awarding/releasing assistance: lump sum.

Reports:

Financial management and annual performance reports. No cash reports are required. No progress reports are required. No expenditure reports are required. Annually.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records which enable HUD to determine whether the participating jurisdiction has met the requirements of the program must be kept for the most recent five year period. Those records required to document the period of affordability must be maintained for five years beyond the required period.

Account Identification:

86-0205-0-1-604.

Obligations:

(Formula Grants) FY 12 \$1,207,802,000; FY 13 est \$1,026,854,000; and FY 14 est \$958,778,000

Range and Average of Financial Assistance:

\$40,334 to \$57,819,798; \$769,805.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: 57,925 units completed; 15,929 units of tenant-based rental assistance. Fiscal Year 2013: est. of 43,387 units completed; est. of 10,550 units of tenant-based rental assistance. Fiscal Year 2014: est. of 39,430 units completed; est of 9,479 units of tenant-based rental assistance.

REGULATIONS, GUIDELINES, AND LITERATURE:

24 CFR Part 92.

Regional or Local Office:

See Regional Agency Offices. Contact appropriate HUD Field Office listed in Appendix IV of the Catalog.

Headquarters Office:

Virginia Sardone 451 7th Street, S.W. room 7164, Washington, District of Columbia 20410 Email: Virginia.Sardone@hud.gov Phone: 202-708-2685 Fax: 202-708-1744

Website Address:

<http://www.hud.gov/homeprogram/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

14.241 HOUSING OPPORTUNITIES FOR PERSONS WITH AIDS (HOPWA)

FEDERAL AGENCY:

Office of Community Planning and Development, Department of Housing and Urban Development

AUTHORIZATION:

AIDS Housing Opportunity Act, 42 U.S.C. 12901 et seq., Title 24, Part 574.

OBJECTIVES:

To provide States and localities with the resources and incentives to advance the National HIV/AIDS Strategy by devising long-term comprehensive strategies for meeting the housing needs of low-income persons and their families living with AIDS.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

Grantees and project sponsors may use HOPWA funds to provide for any of the following eligible activities, subject to certain standards and limitations provided in the regulations: 1) acquisition, rehabilitation, conversion, lease, and repair of facilities to provide housing and services; (2) new construction (for single room occupancy (SRO) dwellings and community residences only); (3) operating costs for housing facilities, including maintenance, security, operation, insurance, utilities, furnishings, equipment, supplies, and other incidental costs; (4) project or tenant-based rental assistance, including assistance for shared housing arrangements; (5) short-term rent, mortgage, and utility payments to prevent homelessness; (6) permanent housing placement to assist beneficiaries receiving rental assistance with the security deposits, first months rent and utility hook-up costs; (7) housing information services including, but not limited to, counseling, information, and referral services to assist eligible individuals and their families locate, acquire, finance, and maintain housing; (8) resource identification to establish, coordinate and develop housing assistance resources; (9) supportive services and case management including, mental health, drug and alcohol abuse treatment and counseling, day care, nutritional services, etc.; (10) technical assistance in establishing and operating a community residence, including planning and other pre-development or pre-construction expenses; and (11) administrative costs for general management, oversight, coordination, evaluation and reporting on eligible activities (grantees can use not more than three percent of the grant amount for their administrative expenses and project sponsors can use not more than seven percent of their grant award).

Additional program requirements: Appropriate supportive services are to be provided as part of any HOPWA assisted housing in order to ensure and promote the housing stability of program beneficiaries. General standards for housing activities are established, including minimum use periods for structures (ten years for new construction, substantial rehabilitation or acquisition and three years for other non-substantial rehabilitation or repair). Resident rent payments are required of program beneficiaries for rental assistance. Program beneficiaries must be low-income and living with HIV/AIDS.

Applicant Eligibility:

(1) Entitlement grants are awarded by formula to States and qualifying cities for eligible metropolitan statistical areas (EMSAs) with the largest number of cases of AIDS. The most populous city will serve as the applicant/grantee for the EMSAs award; and (2) competitively awarded grants may be awarded to: (a) States, local governments and nonprofit organizations for special projects of national significance; and (b) projects submitted by States and localities in areas that do not qualify for HOPWA formula allocations. Nonprofit organizations are eligible to apply for projects of national significance, but may also serve as a project sponsor to formula grantees. As required by the Appropriations Acts, HUD gives priority to the renewal of expiring permanent supportive housing projects.

Beneficiary Eligibility:

Eligible beneficiaries are low income (at or below 80% the area median income) persons and their families living with HIV/AIDS.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circulars Nos. A-87 and A-102 for State and local governments, and Nos. A-110 and A-122 for non-profit organizations. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. All HOPWA formula grantees must submit a Consolidated Plan and an Annual Action Plan that includes a comprehensive AIDS housing strategy that identifies and outlines how a community has identified local housing needs and how these federal resources will be targeted to address these local priorities. The Consolidated Plan and Annual Action Plan requirements are applicable for each of HUD's Community Planning and Development program, including HOPWA.

HOPWA funding requirements for expiring permanent supporting housing renewal grants are published through a HUD Notice that is issued annually (HUD's Appropriations Acts authorizes the Secretary to renew all expiring contracts for permanent supportive housing that were funded under section 854(c)(3) of AIDS Housing Act that meet all program requirements before awarding funds for new competitive grant applications). Funding announcements for new competitive grants and for technical assistance activities under the OneCPD Technical Assistance Transformation Initiative are published in the Department's SuperNOFA (Notice of Funding Availability).

Award Procedure:

HUD field offices are delegated responsibility for approving annual grantee Consolidated Plan and Annual Action Plan submissions as a condition for receiving annual formula funding. HUD executes a grant agreement outlining grant conditions and terms for both HOPWA formula and competitive grant recipients. HUD executes a cooperative agreement with technical assistance grant recipients.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Other - Not Specified.

Appeals:

Not Applicable.

Renewals:

HUD issues an annual Notice that solicits applications for expiring permanent supportive housing renewal grant applications. Application deadlines vary during the fiscal year.

Formula and Matching Requirements:

Statutory Formula: Title Formula Allocations, Subpart B - Formula Entitlements. Eligible States and qualifying cities are awarded HOPWA formula grants upon the submission and approval of a Consolidated Plan, pursuant to 24 CFR Part 91.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grant funds should be expended in a timely manner or may be subject to deobligation. Grant funding should be expended within a three period from the date of the executed grant agreement. Program requirements provide competitive grants with the allowance of a one year extension, if approved by HUD. Method of awarding/releasing assistance: lump sum.

Reports:

Formula grantees are required to submit a Consolidated Plan and an Annual Action Plan that outlines how HOPWA program resources are to be targeted to address the housing needs of individuals and their families living with HIV/AIDS. No cash reports are required. HOPWA formula and competitive grantees are required to submit annual performance reports. The Consolidated Annual Performance and Evaluation Report (CAPER) is required of formula grantees and provides annual performance reporting on households served that enables an assessment of grantee performance in promoting the housing stability of program beneficiaries. The CAPER, in conjunction with the

Integrated Disbursement Information System (IDIS), fulfills statutory and regulatory program reporting requirements. Starting in Fiscal year 2013, grantees began using IDIS for Performance and financial Reports. No cash reports are required. HOPWA formula and competitive grantees are required to submit annual performance reports. The Consolidated Annual Performance and Evaluation Report (CAPER) is required of formula grantees and provides annual performance reporting on households served that enables an assessment of grantee performance in promoting the housing stability of program beneficiaries. The CAPER, in conjunction with the Integrated Disbursement Information System (IDIS), fulfills statutory and regulatory program reporting requirements. Starting in Fiscal year 2013, grantees began using IDIS for Performance and Financial Reports.

The Annual Progress Report (APR) is required of competitive grantees and provides more detailed annual reporting on households served that enables an assessment of grantee performance in promoting the housing stability of program beneficiaries. Expenditure reports are not applicable. HUD Field Offices conduct annual risk assessments of all program grantees to identify and target programs. Competitive grantees will begin utilizing IDIS for Financial Annual Progress Reports transaction in FY2013 based upon their next renewal cycle. Expenditure reports are not applicable. HUD field offices conduct annual risk assessments of all program grantees to identify and target program compliance monitoring to be conducted during the federal fiscal year. Competitive grantees will begin utilizing IDIS for financial transactions and reporting in FY2013 based upon their next renewal cycle. Expenditure reports are not applicable. HUD field offices conduct annual risk assessments of all program grantees to identify and target program compliance monitoring to be conducted during the federal fiscal year.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. OMB Circular A-133 Section 220 outlines the standards for the frequency of audits which should be performed annually, unless the grantee's project sponsor is a state or local government that is required by constitution or statute to conduct a biennial audit.

Auditees shall comply with 24 CFR 85 (for governmental units) or Part 84 for private non-profit organizations.

Records:

HOPWA grantees must ensure that program records are maintained for a four-year period to document compliance with program requirements. Grantees must maintain current and accurate data on the race and ethnicity of program participants.

Account Identification:

86-0308-0-1-604.

Obligations:

(Formula Grants) FY 12 \$351,674,000; FY 13 est \$334,797,000; and FY 14 est \$332,000,000

Range and Average of Financial Assistance:

HOPWA formula grant awards:

In FY2013, the projected 125 HOPWA formula grantees will receive an estimated average award amount of \$2,376,000.

HOPWA competitive grant awards:

In FY2013, the estimated 30 eligible permanent supportive housing renewal grants will receive an average grant award amount of \$1,100,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: For FY 2012, 61,614 households received housing assistance. Fiscal Year 2013: For FY2013, it is estimated that 56,400 low-income households will receive HOPWA housing assistance (24,500 households with permanent supportive housing and 31,900 households with

transitional/short-term assistance). Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Final Rule at 24 CFR 574, as amended; and Consolidated Plan Final Rule at 24 CFR part 91.

Regional or Local Office:

None. Designated Community Planning and Development staff in HUD Headquarters and in local field offices as listed in Appendix IV of the Catalog.

Headquarters Office:

Will Rudy 451 7th Street, SW Room 7212, Washington, District of Columbia 20410 Email: william.g.rudy@hud.gov Phone: 202-402-1934 Fax: 202-402-9313

Website Address:

<http://www.hud.gov/offices/cpd/aidshousing>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

For competitive grants, the Department's NOFA (Notice of Funding Availability) establishes the rating criteria and factors governing the submission of grant applications.

14.247 SELF-HELP HOMEOWNERSHIP OPPORTUNITY PROGRAM

(Self-Help Homeownership Opportunity Program-SHOP)

FEDERAL AGENCY:

Office of Community Planning and Development, Department of Housing and Urban Development

AUTHORIZATION:

Housing Opportunity Extension Act of 1996, Section 11, as amended.

OBJECTIVES:

To facilitate and encourage innovative homeownership opportunities through the provision of self-help housing where the homebuyer contributes a significant amount of sweat equity toward the construction of the dwellings.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Eligible expenses for program funds are land acquisition and infrastructure improvements, which taken together may not exceed an average of \$15,000 in assistance per dwelling. Additionally, up to 20 percent of the grant amount may be used for administrative costs.

Applicant Eligibility:

Funds are awarded competitively to national or regional nonprofit organizations or consortia that have experience in providing self-help housing homeownership opportunities.

Beneficiary Eligibility:

Eligible homebuyers are low-income families who are otherwise unable to afford to purchase a dwelling, and who provide significant amounts of sweat equity or volunteer labor to the development of the dwellings.

Credentials/Documentation:

This program is subject to the provisions of 24 CFR part 84 for nonprofit organizations. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110.

Application Procedure: Applications may be submitted electronically in response to the annual publication of the SHOP NOFA in the Federal Register. The General Section of the NOFA and SHOP NOFA detail the submission requirements.

Award Procedure:

Based upon the requirements published in the Federal Register NOFA, respondents are notified of acceptance or rejection.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Leveraging of other public and/or private resources and homebuyer sweat equity and volunteer labor are required to complete housing construction.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Assistance is released on an as-needed basis over a 24-month period. For affiliates that develop 5 or more dwellings units, up to a 36-month period. See the following for information on how assistance is awarded/released:

Assistance is released on an as-needed basis.

Reports:

Quarterly and annual progress reports on construction and expenditures; as well as, final close-out reports. No cash reports are required. Quarterly and annual reports on construction and expenditure progress. Quarterly and annual progress reports. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. The audit requirements in 24 CFR Part 45 (implementing OMB Circular No. A-133) apply.

Records:

All records applicable to the program must be kept for three years following close-out.

Account Identification:

86-0176-0-1-604.

Obligations:

(Project Grants) FY 12 \$13,500,000; FY 13 est \$12,793,000; and FY 14 est \$10,000,000 - (NOTE: Amounts reported reflect allocation of new budget authority rather than obligation amounts.).

Range and Average of Financial Assistance:

Four grants were awarded with FY 2012 funds. They ranged from \$653,660 to \$6,693,040. The average grant was \$3,375,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: 650 homeownership units. Fiscal Year 2013: estimate 682 homeownership units. Fiscal Year 2014: estimated 533 homeownership units.

REGULATIONS, GUIDELINES, AND LITERATURE:

The statute and SHOP NOFA constitute the regulations.

Regional or Local Office:

None.

Headquarters Office:

Ginger Macomber 451 7th Street, S.W., Washington, District of Columbia
20410 Email: Ginger.Macomber@hud.gov Phone: 202-708-2684 Fax:
202-708-1744

Website Address:

<http://www.hud.gov/offices/cpd/affordablehousing/programs/shop/index.cfm>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications are reviewed according to the rating factor requirements set forth in the SHOP NOFA.

14.248 COMMUNITY DEVELOPMENT BLOCK GRANTS_SECTION 108 LOAN GUARANTEES

(Section 108)

FEDERAL AGENCY:

Office of Community Planning and Development, Department of Housing and Urban Development

AUTHORIZATION:

Housing and Community Development Act of 1974, as amended, Title 24, Part 570, Section Subpart M.

OBJECTIVES:

To provide communities with a source of financing for economic development, housing rehabilitation, public facilities, and large scale physical development projects.

TYPES OF ASSISTANCE:

GUARANTEED/INSURED LOANS

USES AND USE RESTRICTIONS:

For purposes of determining eligibility, the Community Development Block Grant (CDBG) rules and requirements apply. As with the CDBG program, all projects and activities must either principally benefit low- and moderate-income persons, aid in the elimination or prevention of slums and blight, or meet urgent needs of the community.

Applicant Eligibility:

Eligible Applicants include: metropolitan cities and urban counties, i.e., CDBG entitlement recipients; nonentitlement communities that are assisted in their submission of applications by States that administer the CDBG program; nonentitlement communities eligible to receive CDBG funds under the HUD-Administered Small Cities CDBG program; and Insular Areas (American Samoa, Guam, Northern Mariana Islands, and the Virgin Islands). The public entity may be the borrower or it may designate a public agency to be the borrower. Furthermore, HUD's FY 2013 appropriations act continues HUD's authority to provide loan guarantees to States borrowing on behalf of local governments in nonentitlement areas.

Beneficiary Eligibility:

The principal beneficiaries are low and moderate income persons.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication Coordination: Preapplication requirements are found in 24 CFR Section 570.704. Presubmission requirements are similar to those applicable for the Community Development Block Grants program. Proposed activities must be consistent with a unit of local government's Consolidated Plan submitted for HUD's Community Planning and Development Programs. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Environmental impact information is not required for this program. This program is excluded from coverage under E.O.

12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Application requirements are found in 24 CFR Section 570.704.

Award Procedure:

Upon approval of an application, HUD will issue to the applicant an offer of commitment to guarantee notes or other obligations issued by the applicant or its public agency designee. The commitment will be subject to compliance with applicable requirements and such conditions as HUD may specify in the commitment document. Furthermore, borrowers are required to pledge current and future CDBG funds as security for the loan guarantee. HUD also requires that the loan guarantee be secured with other collateral.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 60 to 90 days. Approximately 75 days from submission of complete application to appropriate HUD Field Office.

Appeals:

Not Applicable.

Renewals:

N/A.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The maximum repayment period for a Section 108 loan is twenty years.

Repayment schedules and forms are negotiable. See the following for information on how assistance is awarded/released: Loans funds are advanced under promissory notes or other obligations guaranteed by HUD.

Reports:

Consolidated Annual Performance Evaluation Report. Recipients are required to submit reports on cash balances, receipts, and disbursements of guaranteed loan funds and pledged amounts. No progress reports are required.

Consolidated Performance Annual Performance Evaluation Report. Grantee must report performance outcomes.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

The record keeping requirements of Subpart J of 24 CFR Part 570 apply to entitlement public entities receiving grants under Subpart F of 24 CFR Part 570.

The record keeping requirements of Subpart I of 24 CFR Part 570 apply to State-assisted public entities.

Account Identification:

86-0198-0-1-451.

Obligations:

(Guaranteed/Insured Loans) FY 12 \$206,391,000; FY 13 est \$240,000,000; and FY 14 est \$500,000,000

Range and Average of Financial Assistance:

In FY 2012 commitments were issued in amounts ranging from \$200,000 to \$39,000,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Created 4,446 jobs. Fiscal Year 2013: 3,500 jobs. Fiscal Year 2014: 5,000 jobs.

REGULATIONS, GUIDELINES, AND LITERATURE:

24 CFR 570 Subpart M.

Regional or Local Office:

See Regional Agency Offices. Contact appropriate HUD Field Office listed in Appendix IV of the Catalog.

Headquarters Office:

Paul D. Webster, 451 7th Street, SW Room 7180, Washington, District of Columbia 20410 Email: paul.webster@hud.gov Phone: (202) 708-1871 Fax: (202) 708-1798.

Website Address:

http://portal.hud.gov/hudportal/HUD?src=/program_offices/comm_planning/communitydevelopment/programs/108

RELATED PROGRAMS:

14.218 Community Development Block Grants/Entitlement Grants; 14.228 Community Development Block Grants/State's program and Non-Entitlement Grants in Hawaii

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications are reviewed against criteria set forth at 24 CFR 570.704.

14.252 SECTION 4 CAPACITY BUILDING FOR COMMUNITY DEVELOPMENT AND AFFORDABLE HOUSING**FEDERAL AGENCY:**

Office of Community Planning and Development, Department of Housing and Urban Development

AUTHORIZATION:

HUD Demonstration Act of 1993, Section 4, Public Law 103-120, 107 Stat. 1148, 42 U.S.C 9816 note.

OBJECTIVES:

To provide grants to national community development intermediaries to enhance the capacity and ability of community development corporations and community housing development organizations to carry out community development and affordable housing activities that benefit low-income families.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Five organizations, as specified in the authorizing legislation and amendment, are eligible: Living Cities/The National Community Development Initiative, Enterprise Community Partners, Inc. (formerly The Enterprise Foundation), Local Initiatives Support Corporation, Habitat for Humanity International and YouthBuild USA. Current appropriations laws have limited participation to Enterprise Community Partners Inc., Local Initiatives Support Corporation, and Habitat for Humanity International. The grantees may use the grant for one or more of the following activities relating to capacity building for affordable housing and community development: (1) Training, education, support, and advice to enhance the technical and administrative capabilities of community development corporations (CDCs) and community housing development organizations (CHDOs); (2) Loans, grants, development assistance, predevelopment assistance, or other financial assistance to CDCs and CHDOs to carry out community development and affordable housing activities that benefit low-income families and persons; and (3) Such other activities as may be determined by the grantees in consultation with the Secretary or his designee.

Applicant Eligibility:

Five organizations, as specified in the authorizing legislation and amendment, are eligible: Living Cities/The National Community Development Initiative, Enterprise Community Partners, Inc. (formerly The Enterprise Foundation), Local Initiatives Support Corporation, Habitat for Humanity International and YouthBuild USA. Current appropriations laws have limited participation to Enterprise Community Partners Inc., Local Initiatives Support Corporation, and Habitat for Humanity International.

Beneficiary Eligibility:

Community Development Corporations and Community Housing Development Organizations.

Credentials/Documentation:

This program is subject to the provisions of 24 CFR Part 84 (Uniform Administrative Requirements), 2 CFR Part 230 (OMB Circular A-122) (Cost Principles for Nonprofit Organizations), and OMB Circular A-133 (Audits of States, Local Governments, and Non-Profit Organizations). After selection for funding but prior to award, applicants must submit financial and administrative information and certifications to comply with applicable requirements. Applicants also must submit a certification from an Independent Public Accountant or the cognizant government auditor, stating that the applicant's financial management system meets prescribed standards for fund control and accountability. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. The annual publication of the Policy Requirements and General Section to HUDs NOFAs for Discretionary Programs and the Capacity Building for Community Development and Affordable Housing (Section 4) NOFA detail the submission requirements. Applications may be submitted in response to the annual publication of the Notice of Funding Availability (NOFA) for the Capacity Building for Community Development and Affordable Housing (Section 4) Program in the Federal Register.

Award Procedure:

Based upon the requirements published in the General Section and Program Section of the NOFA, respondents are notified of acceptance or rejection. Upon selection, the applicant and HUD shall negotiate and execute a grant agreement.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching Requirements: Each dollar awarded must be matched by three dollars in cash or in-kind contributions obtained from private sources. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The performance period for the capacity building grants is four years (48 months). Funds must be expended within four years (48 months) of the grant start date. See the following for information on how assistance is awarded/released: Funds are available for draw down from HUD's Line of Credit Control System (LOCCS).

Reports:

Grantees are required to submit semi-annual and annual performance and financial reports (Federal Financial Report SF-425) in accordance with 24 CFR part 84. A final closeout report is required at the end of the grant. Cash reporting will be submitted on the SF425. The semi-annual and annual reports are considered progress reports. Expenditure reporting will be submitted on the SF425. HUD will conduct on-site monitoring.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June

27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grantees and sub grantees shall be subject to the audit requirements contained in the Single Audit Act Amendments of 1996 (31 U.S.C. 7501-7507).

Records:

All records applicable to the program must be kept for 3 years following closeout.

Account Identification:

86-0405-0-1-451 - Code for FY 12.

Obligations:

(Project Grants) FY 12 \$35,000,000; FY 13 est \$33,169,290; and FY 14 Estimate Not Available

Range and Average of Financial Assistance:

For Fiscal Year 2012 the grant allocations ranged from \$4,145,958 to \$15,649,313 among three grantees.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Tasleem Albaari 451 7th Street, SW, Room 7228
, Washington, District of Columbia 20410 Email: Tasleem.Albaari@hud.gov
Phone: (202) 402-7346

Website Address:

<http://www.hud.gov/offices/cpd/about/capacitybuilding.cfm>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications are reviewed according to the Threshold Requirements and Rating Factor requirements set forth in the Capacity Building for Community Development and Affordable Housing Program NOFA and the General Section to HUD's NOFAs for Discretionary Programs.

14.259 CPD'S TRANSFORMATION INITIATIVE TECHNICAL ASSISTANCE

OneCPD Plus Technical Assistance and Capacity Building (OneCPD+)

FEDERAL AGENCY:

Office of Community Planning and Development, Department of Housing and Urban Development

AUTHORIZATION:

HUD's Transformation Initiative contained in the Full-year Continuing Appropriations Act, 2013, Public Law 113-6, approved March 26, 2013, Public Law 112-55.

OBJECTIVES:

OneCPD+ is intended as a collaborative effort among HUD, our customers state and local grantees, public housing agencies, owners and managers of HUD-assisted housing, Continuums of Care (CoCs), non-profit grantees, HUD-approved housing counseling agencies and counselors, and other stakeholders and successful applicants focused on building the kind of management systems and functional capacity necessary to successfully carry out comprehensive and sustainable place-based development and revitalization strategies. Just as importantly, OneCPD+ will allow customers to showcase accomplishments by measuring not only the outputs of this technical

and capacity building assistance but the outcomes and the impact on communities as well. Through OneCPD+, HUD can develop a new level of technical assistance and capacity building to meet the challenges facing its customers during the current nationwide economic retrenchment. Many of HUDs state and local government grantees, owners of assisted rental properties, and public housing agencies have lost some of the capacity, resources, and skills necessary to administer HUD programs within the contexts of their local markets. Additionally, many of HUDs customers are facing changing economic, demographic, and regulatory contexts in which they operate their programs, requiring them to learn new laws and rules, and to more effectively coordinate across sectors. HUDs role in administering grants is two-fold: (1) build the capacity of grantees to support local decision-making with federal grants, and (2) conduct monitoring and oversight to ensure compliance with applicable regulations and to ensure appropriate use of funding. To address both purposes, OneCPD+ will focus on skills, planning, and innovation to build the capacity of HUDs customers to successfully carry out HUD programs and policy objectives and comply with regulatory requirements.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

All successful applicants must operate within the structure of the demand-response system. Under the demand-response system, HUD identifies technical assistance and capacity building needs and prioritizes them based on Departmental, programmatic and jurisdictional priorities. HUD is solely responsible for determining the entities to be assisted, the location, and the nature of the assistance to be provided.

Applicant Eligibility:

Eligible OneCPD Applicants

A state or unit of general local government;

A public housing authority;

A public or private nonprofit organization or intermediary, including educational institutions and area-wide planning organizations; or Indian tribes; and

For profit organizations.

Beneficiary Eligibility:

Awardees tasked to provide technical assistance to HOME and/or CHDO grantees must have at least one certified HOME Program Specialist who has passed the Regulations Training exam with a score of 80 or higher available to conduct the work, unless HUD determines that a HOME Program Specialist is not necessary.

Credentials/Documentation:

No Credentials or documentation are required. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. All applicants are required to submit a one-page application summary cover page with the application. The requested funding amount should be based on the number of available staff, the range and quality of their skills and knowledge, and the ability of the applicant to manage these resources to successfully execute multiple TA or capacity building engagements involving several covered programs simultaneously over a three-year period and indicate if the organization is a small business.

Award Procedure:

HUD will send written notifications to both successful and unsuccessful applicants. A notification sent to a successful applicant is not an authorization to begin performance. Upon notification that an applicant has been selected for award, HUD will request additional information to be submitted or may work with the applicant to amend information that was already submitted as part of the application.

Deadlines:

Jun 24, 2013 to Jul 31, 2013 Applications submitted through <http://www.grants.gov> must be received by Grants.gov no later than 11:59:59 pm eastern time on the application deadline date.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Annual applications are required for new funding.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Awards will be for a period of 36 months. See the following for information on how assistance is awarded/released: After selection for funding but prior to award, applicants must submit financial and administrative information to comply with applicable requirements. These requirements are found in 24 CFR part 84 for all organizations, except states and local governments whose requirements are found in 24 CFR part 85. Cost principles requirements are found at OMB Circular A-122 for nonprofit organizations, OMB Circular A-21 for institutions of higher education, OMB Circular A-87 for states and local governments, and at 48 CFR 31.2 for commercial organizations. Applicants must submit a certification from an Independent Public Accountant or the cognizant government auditor, stating that the applicants financial management system meets prescribed standards for fund control and accountability.

Reports:

Awardees will be required to report to the GTM and GTR no less often than quarterly unless otherwise specified in the cooperative agreement. Cash reports are not applicable. Monthly status reports for invoices and quarterly reports are required. Detailed budget and expense reports are required consistent with established work plans. The GTR and GTM will review materials and training evaluations.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records which enable HUD to determine whether the grantee has met the requirements for the program and cooperative agreement.

Account Identification:

86-0402-0-1-451.

Obligations:

(Cooperative Agreements) FY 12 \$45,973,049; FY 13 est \$23,499,103; and FY 14 est \$8,000,000

Range and Average of Financial Assistance:

The Range is between \$750,000 and \$8,000,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

FY2013 Appropriations Act.

Regional or Local Office:

See Regional Agency Offices. See Regional Agency Offices. Contact appropriate HUD Field Office listed in Appendix IV of the Catalog.

Headquarters Office:

Julie Hovden 451 7th Street, SW

, Washington, District of Columbia 20410 Email: julie.d.hovden@hud.gov
Phone: (202) 402-4496

Website Address:

<http://www.hud.gov/offices/cpd/affordablehousing/programs/home/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

14.260 VETERANS HOMELESSNESS PREVENTION DEMONSTRATION PROGRAM

(VHPD)

FEDERAL AGENCY:

Office of Community Planning and Development, Department of Housing and Urban Development

AUTHORIZATION:

Omnibus Appropriations Act of 2009.

OBJECTIVES:

The purpose of the Veterans Homelessness Prevention Demonstration Program (VHPD) is to explore ways for the Federal Government to offer early intervention homelessness prevention, primarily to veterans returning from wars in Iraq and Afghanistan. The authorizing statutory language for the VHPD acknowledges the increasing number of female veterans, veterans with families especially with a single head of household, as well as those from the National Guard and Reserve who are being discharged from the military and whose unique needs should be more closely examined. It is anticipated that this demonstration program will provide an opportunity to understand the unique needs of this new cohort of veterans, and will support efforts to identify, reach, and assist them to regain and maintain housing stability.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Funding may be used for short-term housing assistance, including security deposits, up to 18 months of rent assistance, rental and/or utility arrearages, or related housing assistance. Grantees may also use funding for appropriate services for veterans and their families, including, but not limited to, child care, family services and case management. The grantee may stop the VHPD assistance to a program participant who violates program requirements. Grantees may resume assistance to a program participant whose assistance was previously suspended. In terminating assistance to a program participant, the grantee must provide a formal process that recognizes the rights of individuals receiving assistance to due process.

Applicant Eligibility:

HUD allows grantees significant discretion in program design and operations while targeting those who are most in need of homelessness prevention housing assistance.

Beneficiary Eligibility:

Veterans and veterans with families at risk of homelessness or experiencing short-term (less than 90 days) homelessness.

Credentials/Documentation:

The CoC selected for the demonstration must accept or decline the invitation to participate. Upon acceptance the CoC must submit a plan which describes the process used to select the grantee to carry out the demonstration project. Selected grantees must be part of the CoC. A HUD form SF-424 signed by the grantee must be submitted with the CoC plan. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Grantees will be collaborating with the Department of Veterans Affairs and the Department of Labor representatives on the local level to identify program participants and provide services and financial housing assistance. HUD was

authorized by Congress to design the VHPD Program and to select the sites. A competition was not required. The selected CoCs are responsible for identifying grantees that have the requisite VA/DOL experience to carry out the demonstration. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

The grant will be made by means of a grant agreement executed by HUD Headquarters staff and the selected entity. The selected entity will receive three copies of the grant agreement that must be signed and returned to HUD Headquarters within 15 days of the date postmarked. Within 90 days of the date that the grant agreement is signed for each selected grantee, HUD Headquarters will conduct a series of conference calls to assist grantees in fully developing their plans for carrying out the demonstration, and to discuss the proposed program evaluation design with grantees.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

While most funds should be expended prior to the expiration of the grant, all funds must be drawn down within 90 days of the expiration of the grant term.
Method of awarding/releasing assistance: by letter of credit.

Reports:

Grantees are required to report client level data in a Homeless Management Information System (HMIS) or a comparable database. Each grantee must also submit quarterly performance reports the first of which must cover the period between the grant agreement execution date and 3 months following that date. Finally, grantees must participate in an evaluation of the demonstration and submit reports as requested by HUD. To the extent possible, the evaluation will measure the effectiveness of the prevention assistance delivered through this demonstration program, including outcomes related to housing stability, earnings/employment, and access to needed health services. Cash reports are not applicable. Progress reports are not applicable. Grantees submit quarterly financial reports that show expenditures. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Each grantee must keep any records and make any reports (including those pertaining to race, ethnicity, gender, and disability status data) that HUD may require within the time frame required.

Account Identification:

86-0192-0-1-604.

Obligations:

(Project Grants) FY 12 \$0; FY 13 est \$0; and FY 14 est \$0 - (Project Grants)

FY 2011 - obligated \$10,740,256. In addition to the \$10 million that was appropriated in 2009 for HUD to carry out this demonstration project, another \$740,256 was obligated for evaluation of the project; Using this one time appropriation, the project began operating in February of 2011 and will expire in January 30, 2014.

Range and Average of Financial Assistance:

For fiscal year 2009, the mean average allocation was \$2,000,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: No Current Data Available. Fiscal Year 2013: No Current Data Available. Fiscal Year 2014: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

The Act allocates \$10 million to HUD for the purpose of conducting a demonstration program that addresses and researches homelessness prevention among veterans and their families, and specifies the actions that HUD should take in implementing and administering this demonstration program. HUD is directed to coordinate with VA and DOL to test the effectiveness of strategies to prevent veterans from becoming homeless.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Brian Fitzmaurice, Office of Special Needs Assistance Programs, U.S. Department of Housing and Urban Development, Community Planning and Development, 451 7th Street, SW, Room 7262, Washington, District of Columbia 20410 Email: Brian.P.Fitzmaurice@hud.gov Phone: 202-708-4300 Fax: 202-401-0053

Website Address:

<http://www.onecpd.info/vhpd>

RELATED PROGRAMS:

14.231 Emergency Shelter Grants Program; 14.235 Supportive Housing Program; 14.238 Shelter Plus Care; 14.241 Housing Opportunities for Persons with AIDS; 14.249 Section 8 Moderate Rehabilitation Single Room Occupancy

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

HUD reviewed and approved the five grantee proposals based on criteria established in the July 27, 2010 Notice of Availability: Notice of Fiscal Year (FY) 2009 Implementation of the Veterans Homelessness Prevention Demonstration Program.

14.261 HOMELESS MANAGEMENT INFORMATION SYSTEMS TECHNICAL ASSISTANCE

HMIS TA

FEDERAL AGENCY:

Office of Community Planning and Development, Department of Housing and Urban Development

AUTHORIZATION:

McKinney-Vento Act TA is authorized under the Full-Year Continuing Appropriations Act, 2013 (Public Law 113-6, approved March 26, 2013).

OBJECTIVES:

The Homeless Management Information Systems, Technical Assistance (HMIS-TA) will provide technical assistance to transfer skills and knowledge needed for grantees, applicants, project sponsors, eligible applicants and eligible project sponsors to achieve the highest level of performance and results for the implementation of local Homeless Management Information Systems (HMIS).

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funds are available to provide technical assistance for homeless programs in the Office of Special Needs Assistance Programs (SNAPS). An organization may not provide assistance to itself. An organization may not provide

assistance to another organization with which it contracts or sub-awards funds to carry out activities under the TA award.

Applicant Eligibility:

Awardees assist Continuums of Care (CoCs) and HMIS administering organizations to implement and operate their local HMIS in accordance with HUD requirements, participate in the Annual Homeless Assessment Report (AHAR), improve accuracy of PIT and Housing Inventory counts, data analysis and reporting.

Beneficiary Eligibility:

McKinney-Vento Homeless Assistance Program grantees, project sponsors, and applicants for the implementation of local Homeless Management Information Systems (HMIS).

Credentials/Documentation:

No Credentials or documentation are required. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program.

Award Procedure:

HUD will send written notifications to both successful and unsuccessful applicants. A notification sent to a successful applicant is not an authorization to begin performance. Upon notification that an applicant has been selected for award, HUD will request additional information to be submitted or may work with the applicant to amend information that was already submitted as part of the application.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Awards will be for a period of 36 months. See the following for information on how assistance is awarded/released: reimbursement basis.

Reports:

Program reports are not applicable. Cash reports are not applicable. HUD requires monthly status reports for invoices and quarterly reporting. CPD requires monthly reports that detail expenditures for each TA task. CPD monitors performance each month and includes the TA in its Monitoring Risk Analysis process.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

No Data Available.

Account Identification:

86-0192-0-1-604.

Obligations:

(Cooperative Agreements) FY 12 \$7,000,000; FY 13 est \$6,600,000; and FY 14 est \$7,000,000

Range and Average of Financial Assistance:

HUD has established a \$750,000 award minimum for successful HMIS-TA applications.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: 7,000,000. Fiscal Year 2013: 6,600,000. Fiscal Year 2014: unknown.

REGULATIONS, GUIDELINES, AND LITERATURE:

Applicants interested in providing technical assistance to entities participating in HUD's Special Needs Assistance Programs should carefully review the General Section and the information listed in the HMIS TA NOFA, including the exceptions to the General Section requirements found in the NOFA.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Michael Roanhouse Office of Special Needs Assistance Programs, Room 7262, Office of Community Planning and Development, Department of Housing and Urban Development, Washington, District of Columbia 20410 Email: Michael.Roanhouse@hud.gov Phone: (202) 708-1226

Website Address:

<http://www.onecpd.info>

RELATED PROGRAMS:

14.231 Emergency Shelter Grants Program; 14.235 Supportive Housing Program; 14.238 Shelter Plus Care; 14.241 Housing Opportunities for Persons with AIDS; 14.249 Section 8 Moderate Rehabilitation Single Room Occupancy

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

HUD will review applications and approve make awards based on criteria established in the NOFA..

14.265 RURAL CAPACITY BUILDING FOR COMMUNITY DEVELOPMENT AND AFFORDABLE HOUSING GRANTS

Rural Capacity Building Program

FEDERAL AGENCY:

Office of Community Planning and Development, Department of Housing and Urban Development

AUTHORIZATION:

Consolidated and Further Continuing Appropriations Act, 2013 , Public Law 113-6.

OBJECTIVES:

Through funding of national non-profits with expertise in rural housing and community development, the Rural Capacity Building program enhances the capacity and ability of local governments, Indian tribes, housing development organizations, rural community development corporations (CDCs), and community housing development organizations (CHDOs) to carry out community development and affordable housing activities that benefit low- and moderate-income families and persons in rural areas.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Funds may be used to provide the following activities:

(a) technical assistance, training, support, and advice to develop the business and administrative capabilities of rural community-based housing development organizations, rural CDCs, rural CHDOs, rural local governments, and Indian tribes;

(b) loans, grants, or other financial assistance to rural community-based housing development organizations, rural CDCs, rural CHDOs, rural local governments,

and Indian tribes to carry out community development and affordable housing activities for low- and moderate-income families and persons including the acquisition, construction, or rehabilitation of housing for low-income families and persons, and community and economic development activities that create jobs for low-income persons; and

(c) Such other activities as may be determined by the grantees in consultation with the Secretary or his or her designee. Activities a-c listed above may be provided only to rural community-based housing development organizations, rural community development organizations (CDC), rural community housing development organizations (CHDO), rural local governments, and Indian tribes. Loans to rural community-based housing development organizations, rural community development organizations (CDC), rural community housing development organizations (CHDO), rural local governments, and Indian tribes are used to carry out community development and affordable housing activities for low- and moderate-income families and persons including the acquisition, construction, or rehabilitation of housing for low-income families and persons, and community and economic development activities that create jobs for low-income persons.

Applicant Eligibility:

A national organization is defined as a non-profit entity that has on-going experience in rural housing, including experience working with rural housing organizations, local governments, and Indian tribes, as evidenced by past and continuing work in one or more States in five or more of the Federal regions described on the HUD website <http://portal.hud.gov/hudportal/HUD?src=/localoffices/regions>.

Beneficiary Eligibility:

Eligible beneficiaries are rural community-based housing development organizations, rural CDCs, CHDOs, local government and Indian tribes.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. The annual publication of the Policy Requirements and General Section to HUD's Notice of Funding Availability (NOFA) for Discretionary Programs and the Rural Capacity Building for Community Development and Affordable Housing NOFA detail the application submission requirements.

Award Procedure:

Based upon the requirements published in the General and Program Section of the NOFA, respondents are notified of acceptance or rejection. Upon selection, the applicant and HUD shall negotiate and execute a grant agreement.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. Deadline for submission to be published in the NOFA.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

the performance period for the Rural Capacity Building grant is four years (48 months). Funds must be expended within four years (48 months) of the grant start date. Funds are available for draw down from HUD's Line of Credit

Control System (LOCCS). Method of awarding/releasing assistance: by letter of credit.

Reports:

Grantees are required to submit semi-annual and annual performance and financial reports (Federal Financial Report SF-425) in accordance with 24 CFR part 84. A final closeout report is required at the end of the grant. Cash reporting would be submitted on the SF425. The semi-annual and annual reports are considered progress reports. Expenditure reporting will be submitted on the SF425. HUD will conduct on-site monitoring.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

All records concerning grant award and grant expenditures shall be maintained during the period of grant award and for 3 years after grant closeout.

Account Identification:

86-0176-0-1-604 - Rural Capacity Building Program.

Obligations:

(Project Grants) FY 12 \$5,000,000; FY 13 est \$4,738,470; and FY 14 Estimate Not Available

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

The Rural Capacity Building Program is a new program as of FY 2012. The annual NOFA will include guidance for applicants to implement and manage the Rural Capacity Building Program.

Regional or Local Office:

None.

Headquarters Office:

Tasleem Albaari 451 7th Street SW, Washington, District of Columbia 20410
Email: Tasleem.Albaari@hud.gov Phone: (202) 402-7346

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

1. Review Types. Two types of reviews will be conducted. First, HUD will review each application to determine whether it meets threshold eligibility requirements*. Second, HUD will review and assign scores to eligible applications using the Factors for Award noted in the Program Section of the NOFA.

2. Ranked Order. Once rating scores are assigned, rated applications will be listed in ranked order. After HUD has rated and ranked the applications, applicants within the fundable range of 75 or more points will be funded in ranked order up to the amount requested, or in a lesser amount if sufficient funds are not available. HUD reserves the right to adjust funding to meet urgent policy priorities.

*Note: Threshold Eligibility Requirements - All applicants must be in compliance with the threshold requirements found in the General Section and the eligibility requirements listed in Section III of this NOFA in order to be reviewed, scored, and ranked. Applications that do not meet these requirements

and applications that were received after the deadline will be considered ineligible for funding (see the General Section of the NOFA).

14.266 BORDER COMMUNITY CAPITAL INITIATIVE

FEDERAL AGENCY:

Office of Community Planning and Development, Department of Housing and Urban Development

AUTHORIZATION:

Departments of Veterans Affairs and Housing and Urban Development, and Independent

Agencies Appropriations Act, 1999, Public Law 105-276.

OBJECTIVES:

The Initiatives goal is to increase access to capital for affordable housing, business lending and community facilities in the chronically underserved and undercapitalized U.S./Mexico border region. Specifically, it will provide direct investment and technical assistance to community development lending and investing institutions that focus on affordable housing, small business and community facilities to benefit the residents of colonias.

Funds may be used to support eligible activities that serve the following objectives:

Expanding services in eligible colonias target markets (see below) by established lenders and investors in affordable housing, small business, and community facilities, and/or

Securing new public or private capital sources for lending or investing in affordable housing, small business and community facilities in existing or new eligible target markets.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Eligible activities include Innovative housing and economic development uses, including but not limited to loan or investment capital, loan loss reserves, program staff costs, information systems, market studies, portfolio analyses and business planning. Eligible activities must support the program objectives described above. Award must be used to support the eligible activities.

Applicant Eligibility:

Applicants that are eligible to participate in this initiative are community development lenders and investors, which may be local rural non-profit organizations or federally recognized tribes. Applicants do not need to be certified as Community Development Financial Institutions by the CDFI Fund at the time of application. Applicants may serve other markets than colonias residents and communities, using other sources of financing.

Beneficiary Eligibility:

Eligible target markets for use of Border Initiative funds include geographic areas defined as colonias by The Cranston-Gonzalez National Afford Housing Act of 1990 (Pub. L. 101-625, Nov. 28, 1990) (the Act) and the Appropriations Act of 1997 (Pub L. 104-204, Sept. 26, 1996, 110 Stat. 2887).

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. The BCCI NOFA is available on grants.gov. The grants.gov website offers a simple, unified application process. There are several registration steps applicants need to complete. Further information is contained in the General Section posted at www.grants.gov on August 8, 2012. Application via grants.gov requires a DUNS number, an active registration in the System for Award Management

(SAM), Registration of the Authorized Agency Representative (AOR), with password and ID in the grants.gov system and approval of the AOR by the eBusiness Point of Contact that was listed in the SAM registration to authorize the AOR to submit the application. See the General Section (Section IV.B.4.) for further details of the registration process and requirements.

Award Procedure:

HUD will review eligible submitted applications and determine application scores. Once rating scores are assigned, rated applications will be listed in ranked order. HUD will send written notifications to both successful and unsuccessful applicants, including the lead entity of the consortium. After award, grantees will submit their action plan and budget into HUDs web based system prior to approval to draw down. Applicants will be given the opportunity to amend their budget according to the grant award, in the event HUD awards less than the requested amount prior to entering into the grant agreement. HUD will approve the action plan(s) or notify the grantee of matters that need to be addressed prior to approval.

Deadlines:

Jun 27, 2013 to Aug 26, 2013

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. There is no matching requirement.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awards will be available for a period of 36 months from the date of execution of the grant agreement. Method of awarding/releasing assistance: by letter of credit.

Reports:

Grantees will be required to report to HUD, as specified in the grant agreement. Performance reports shall include reports on both performance and financial progress under action plans and shall include reports on the commitment and expenditure of leveraging resources utilized through the end of the reporting period. Reports shall conform to the reporting requirements of 24 CFR part 84 and 24 CFR part 85. Please see the BCCI NOFA published on grants.gov for full reporting requirements. The performance reports must contain the information required under 24 CFR part 84 and 24 CFR part 85, including a comparison of actual accomplishments with the objectives and performance goals of the action plans. Please see the BCCI NOFA published on grants.gov for full reporting requirements. Please see the BCCI NOFA published on grants.gov for full reporting requirements.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Applicants must maintain records to satisfy the reporting requirements listed above and in the BCCI NOFA.

Account Identification:

86-0304-0-1-604.

Obligations:

(Project Grants) FY 12 Not Separately Identifiable(Exp: No grants distributed); FY 13 Not Separately Identifiable(Exp: No grants distributed); and FY 14 est \$2,000,000 - This is a one time program using recaptured money from the

RHED program.

Range and Average of Financial Assistance:

Up to \$200,000 for individual applicants (category I) and up to \$1,000,000 for consortium applicants (category II).

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Please see the BCCI NOFA for a complete list of regulations, guidelines, and literature that are relevant to the BCCI.

Regional or Local Office:

None.

Headquarters Office:

Thann Young Office of Rural Housing and Economic Development
U.S. Department of Housing and Urban Development
451 7th Street SW, Room 7137
, Washington DC, District of Columbia 20410 Email: thann.young@hud.gov
Phone: 2024023651

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Two types of reviews will be conducted. First, HUD will review each application to determine whether it meets threshold eligibility requirements described in Section III.B.4. Second, HUD will review and assign scores to applications meeting the threshold requirements using the Factors for Award noted under Criteria, Section V.A of the BCCI NOFA. Once rating scores are assigned, rated applications will be listed in ranked order. After HUD has rated and ranked the applications, applicants within the fundable range of 75 or more points will be funded in ranked order up to the amount requested, or in a lesser amount if sufficient funds are not available. HUD reserves the right to reduce the amount of the award requested. HUD has determined that geographic diversity of coverage within the U.S.-Mexico border region is an appropriate consideration in selecting grantees in this competition.

14.267 CONTINUUM OF CARE PROGRAM

FEDERAL AGENCY:

Office of Community Planning and Development, Department of Housing and Urban Development

AUTHORIZATION:

Mc Kinney-Vento Homeless Assistance Act (42 U.S.C. 11381-11389, Title IV, Subtitle C, as amended).

OBJECTIVES:

The program is designed to promote community-wide commitment to the goal of ending homelessness; provide funding for efforts by nonprofit providers, States, and local governments to quickly re-house homeless individuals and families while minimizing the trauma and dislocation caused to homeless individuals, families, and communities by homelessness; promote access to and effective utilization of mainstream programs by homeless individuals and families; and optimize self-sufficiency among individuals and families experiencing homelessness. Continuum of Care Program funds may be used to pay for the eligible costs used to establish and operate projects under five program components: (i) permanent housing, which includes permanent supportive housing for persons with disabilities, and rapid rehousing; (ii) transitional housing; (iii) supportive services only; (iv) Homeless Management Information Systems (HMIS), and (v) in some cases, homelessness prevention.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use; Project Grants

USES AND USE RESTRICTIONS:

Thirteen types of assistance may be provided through the Continuum of Care (CoC) Program: (1) CoC planning activities/costs for designing and carrying out a collaborative process for the development of an application to HUD; (2) United Funding Agency (UFA) costs for fiscal control and accounting necessary to assure the proper disbursement of, and accounting for, Federal funds awarded to subrecipients under the Continuum of Care Program, (3) acquisition of real property (including structures) for use in the provision of housing or supportive services; (4) rehabilitation of structures to provide housing or supportive services; (5) new construction, including the building of a new structure or building an addition to an existing structure for use as supportive housing; (6) leasing of a structure or structures, or portions thereof, to provide housing or supportive services; (7) rental assistance, which may be short-term, medium-term, or long-term, as well as tenant-based, project-based, or sponsor-based, for transitional or permanent housing; (8) Supportive services to assist program participants obtain and maintain housing; (9) Operating costs of supportive housing; (10) costs of implementing and operating HMIS; (11) Project administrative costs; (12) relocation costs; and (13) indirect costs in accordance with OMB Circulars A-87 or A-122, as applicable.

In addition to using grant funds for the eligible costs described above, recipients and subrecipients in Continuums of Care designated as High Performing Communities may also use grant funds to provide housing relocation and stabilization services and short- and/or medium-term rental assistance to individuals and families at risk of homelessness as set forth in 24 CFR 576.103 and 24 CFR 576.104, if necessary to prevent the individual or family from becoming homeless. Limitation on use of funds

No assistance provided under program (or any State or local government funds used to supplement this assistance) may be used to replace State or local funds previously used, or designated for use, to assist homeless persons or persons at-risk of homelessness.

Applicant Eligibility:

States, local governments, other governmental entities, private nonprofit organizations, and community mental health associations that are public nonprofit organizations.

Beneficiary Eligibility:

Homeless individuals and families with children; homeless persons with disabilities and their families. Recipients in Continuums of Care that have been designated by HUD as High Performing Communities (HPC) may serve persons determined to be at-risk of homelessness, based on criteria established through the Notice of Funding Availability (NOFA).

Credentials/Documentation:

Private nonprofit status is documented by submitting either (1) a copy of the Internal Revenue Service (IRS) ruling providing tax-exempt status under Section 501(c)(3) of the IRS Code; or (2) documentation showing that the entity is a certified United Way agency, or (3) a certification from a licensed CPA that no part of the net earnings of the organization inures to the benefit of any member, founder, contributor, or individual; that the organization has a voluntary board; that the organization practices nondiscrimination in the provision of assistance and that the organization has a functioning accounting system. OMB Circular No. A-87 applies to this program.

In addition, governmental entities and public housing agencies will certify to their eligibility. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. In accordance with criteria established in the NOFA, applicants must complete their applications in a two step process through the electronic submission portal, called e-snaps. The first step is registration, which confirms a CoCs intent to submit an application in the annual Continuum of Care Competition. The second step is application for project funding and the CoC plan. Any proposed project under this program

must be consistent with the applicable HUD-approved Consolidated Plan and must be permissible under applicable zoning ordinances and regulations.

Award Procedure:

HUD reviews applications, rates and ranks those meeting threshold requirements, and makes conditional grant awards to those applications most highly ranked, in accordance with the NOFA.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Processing of applications and selection of successful applicants will take place at HUD. HUD Headquarters will make selection and obligate funds as soon as the applications can be processed.

Appeals:

Applicants may appeal the results of HUDs review and selection process if they believe a HUD error has occurred. Appeals must be in writing to the Assistant Secretary for Community Planning and Development, must specify the error, and provide sufficient documentation.

Renewals:

Information on renewals is contained in the Notice of Funding Availability and the application.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: This is a competitive program, however each community's Need amount is established by formula set forth in the CoC Regulations.

The recipient or subrecipient must match all grant funds, except for leasing funds, with no less than 25 percent of cash funds or in-kind contributions from other sources. For Continuum of Care geographic areas in which there is more than one grant agreement, the 25 percent match must be provided on a grant-by-grant basis. Recipients that are UFAs or are the sole recipient for their Continuum may provide match on a Continuum-wide basis.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grants may be made available for up to one, two, three, five or fifteen years, depending upon the type of assistance provided, and subject to the availability of annual appropriations. Method of awarding/releasing assistance: by letter of credit.

Reports:

Each recipient must keep any records and make any reports as specified by HUD within the timeframe required. Cash reports are not applicable. No progress reports are required. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. The financial management systems used by governmental entities that are recipients under this program must provide for audits in accordance with 24 CFR 45 as applicable. Private nonprofit entity recipients and their subrecipients provide audits in accordance with OMB Circular No. A-133. HUD may perform further and additional audits as it finds necessary or appropriate.

Records:

Each recipient must agree to participate in an evaluation of the program, and to keep any records and make any reports that HUD may require for the purpose of reporting to Congress on the cost of the program and the social, financial, and other advantages of Continuum of Care activities as a means of assisting homeless persons and those at-risk of becoming homeless.

Account Identification:

86-0192-0-1-604.

Obligations:

(Project Grants (Discretionary)) FY 12 \$1,629,684,000; FY 13 est \$1,711,658,878; and FY 14 est \$1,918,000,000

Range and Average of Financial Assistance:

HUD imposes the following limitations: Funding of up to 75 percent for acquisition, new construction, rehabilitation, supportive services, operating, and HMIS costs; funding for up to 10 percent for project administration costs; and indirect costs may be allocated to each eligible activity as long as that allocation is consistent with an indirect cost rate proposal developed in accordance with OMB Circulars A-87 or A-122, as applicable.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: No Current Data Available. Fiscal Year 2013: No Current Data Available. Fiscal Year 2014: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

24 CFR Part 578, Continuum of Care Program, is published in the Federal Register.

Regional or Local Office:

See Regional Agency Offices. See Regional Agency Offices. Designated contact person in the HUD Field Offices with a Community Planning and Development Division.

Headquarters Office:

Tonya Proctor Office of Special Needs Assistance Programs, U.S. Department of Housing and Urban Development, Community Planning and Development, 451 7th Street, SW, Room 7262, Washington, District of Columbia 20410 Email: Tonya.Proctor@hud.gov Phone: 202-708-4300 Fax: 202-401-0053

Website Address:

<http://www.onecpd.info>

RELATED PROGRAMS:

14.231 Emergency Shelter Grants Program; 14.235 Supportive Housing Program; 14.238 Shelter Plus Care; 14.241 Housing Opportunities for Persons with AIDS

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria for selecting applications for assistance are defined in a Notice of Funding Availability in the Federal Register and at www.onecpd.info.

14.268 RURAL HOUSING STABILITY ASSISTANCE PROGRAM

FEDERAL AGENCY:

Office of Community Planning and Development, Department of Housing and Urban Development

AUTHORIZATION:

Title IV Housing Assistance, Subtitle D Rural Housing Stability Assistance Program of the McKinney-Vento Homeless Assistance Act, as amended by the Homeless Emergency Assistance and Rapid Transition to Housing Act of 2009 (HEARTH Act).

OBJECTIVES:

The Rural Housing Stability Assistance Program (RHSP) is designed to provide assistance for rural counties to re-house or improve the housing situations of individuals and families who are homeless, at risk of homelessness, or in the worst housing situations, stabilize the housing of individuals and families who are at risk of becoming homeless, and improve the ability of the lowest-income residents to afford stable housing.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use; Project Grants

USES AND USE RESTRICTIONS:

Grant assistance is available for rent, mortgage and utility assistance; relocation assistance; short-term emergency lodging; new construction of buildings to provide transitional or permanent housing; acquisition of real property to

provide supportive services or transitional or permanent rental housing; rehabilitation of structures to provide supportive services or transitional or permanent rental housing; leasing; rental assistance; operating costs of transitional and permanent rental housing; rehabilitation and repairs to make premises habitable; supportive services; use of federal inventory property; capacity building activities that strengthen the capability of recipients to deliver housing and supportive services to eligible participants and to administer grants under this program; data collection costs; indirect costs in accordance with OMB Circulars A-87 or A-122 as applicable and administrative costs not to exceed 7.5 percent of the RHSP grant.

Applicant Eligibility:

Counties, that meet the definition of a rural county (a county that has no part of it within an area designated as a standard metropolitan statistical area by OMB; a county that is within an area designated as a metropolitan statistical area or considered as part of a metropolitan statistical area and at least 75 percent of its population is located on U.S. Census blocks classified as non-urban; a county that is located in a State that has population density of less than 30 persons per square mile [as reported in the most recent decennial census], and of which at least 1.25 percent of the total acreage of such State is under Federal jurisdiction, provided that no metropolitan city in such State is the sole beneficiary of the grant amounts awarded under this part. A metropolitan city means a city that was classified as a metropolitan city under section 102(a) of the Housing and Community Development Act of 1974 (42 U.S.C. 5302(a)) for the fiscal year immediately preceding the fiscal year for which Emergency Solutions Grant program funds are made available. Recipient includes the District of Columbia.) local units of government authorized by the county and private non-profit organizations authorized by the county.

Beneficiary Eligibility:

Individuals and families who are homeless, at risk of homelessness or are in a worst housing situation. Worst housing situation is defined as housing that has serious health and safety defects and at least one major system that has failed or is failing.

Credentials/Documentation:

The list of counties eligible to apply for RHSP will be published in the Notice of Funding Availability. Local units of government and private non-profit organizations applying on behalf of eligible counties, must provide a letter from the eligible county designating the entity is applying on their behalf. Private nonprofit status is documented by submitting either (1) a copy of the Internal Revenue Service (IRS) ruling providing tax-exempt status under Section 501(c)(3) of the IRS Code; or (2) documentation showing that the entity is a certified United Way agency; or (3) a certification from a licensed CPA that no part of the next earnings of the organization inures to the benefit of any member, founder, contributor, or individual; that the organization has a voluntary board; that the organization practices nondiscrimination in the provision of assistance; that the organization has a functioning accounting system. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. The requirements of 24 CFR Part 85 applies to this program. One application package prescribing the specific information that applicants must submit is available. The standard application forms as furnished by HUD must be used for this program. HUD will publish a list of counties eligible to apply for funds in a particular fiscal year. Every county government submitting an application must include either an abbreviated consolidated plan or consolidated plan that is consistent with the proposed program activities. Sections 491 (a) and 491 (m) of the Mc Kinney-Vento Act provide that funds awarded under this program are in lieu of grants awarded under subtitle C, which is the Continuum of Care (CoC) program, and that funds awarded to a county shall only be awarded under either the CoC program or the RHSP. This requirement is implemented by establishing that a county may apply for funds either under the CoC program

or RHSP, but not both.

Award Procedure:

HUD reviews applications, rates and ranks those meeting threshold requirements, and prepares a Grant Agreement for those applications selected.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Processing of applications will take place in HUD Field Offices and at HUD Headquarters, and selection of successful applicants will take place at HUD Headquarters. HUD will make its final selections and obligate funds as soon as the applications can be processed.

Appeals:

The appeal process will be outlined in the Notice of Funding Availability.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula:

Matching Requirements: (Formula): Section 427(b)(2) of the McKinney-Vento Act establishes the PPRN formula which determines the needs of a geographic area. PPRN is the dollar expression of the relative need assigned to metropolitan cities, urban counties, and all other counties determined by HUD in accordance with HUDs regulations for the Continuum of Care program. The formula uses nationally available data, including the following factors as used in the CDBG formula and Emergency Solutions Grant (ESG) formula on poverty, housing overcrowding, population, age of housing, and growth lag. To determine a rural county's PPRN, HUD will calculate the sum of the PPRN amount for the rural county as well as any metropolitan cities therein. The cumulative PPRN amount for the rural county will be the basis for determining the maximum award amount for which the county may apply.

Matching Requirements: There is a minimum of 25 percent cash or in-kind match on all eligible funding costs except leasing, data collection and administrative costs. For in-kind match, the government-wide grant requirements of HUDs regulations at 24 CFR 84.23 and CFR 85.24. The 25 percent match requirement is calculated on the total grant amount. Recipients have the responsibility to ensure that the funds that the recipient uses to satisfy HUD's match requirements are not prohibited to be used for this purpose under any statute that may govern the matching funds. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants may be made available for a 3 year term. Method of awarding/releasing assistance will be established in the Notice of Funding Availability. Method of awarding/releasing assistance: by letter of credit.

Reports:

Each recipient must keep any records and make any reports as specified by HUD within the timeframe required. Cash reports are not applicable. Progress reports are applicable. Expenditure reports are applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. The financial management systems used by governmental entities that are recipients under this program must provide for audits in accordance with 24 CFR 85. Private nonprofit entity recipients are subject to the audit requirements of 24 CFR 84. Both 24 CFR Part 84 and 85 stipulate that grant recipients and their subgrantees provide audits in accordance with OMB Circular No. A-133. HUD may perform further and additional audits as it finds necessary or appropriate.

Records:

Each recipient must agree to participate in an evaluation of the program, and to keep any records and make any reports that HUD may require for the purpose of reporting to Congress on the cost of the program and the social, financial, and other advantages of supportive housing components as a means of assisting homeless persons.

Account Identification:

86-0192-0-1-604.

Obligations:

(Project Grants) FY 12 \$0; FY 13 est \$0; and FY 14 est \$0

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: No Current Data Available. Fiscal Year 2013: No Current Data Available. Fiscal Year 2014: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

TBD published in the Federal Register. Other program information is available on the HUD Homelessness Resource Exchange at www.hudhre.info and www.hud.gov.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Brian Fitzmaurice, Office of Special Needs Assistance Programs, U.S. Department of Housing and Urban Development, Community Planning and Development, 451 7th Street, SW, Room 7262, Washington, District of Columbia 20410 Email: Brian.P.Fitzmaurice@hud.gov Phone: 202-708-4300 Fax: 202-401-0053

Website Address:

<http://www.hudhre.info>

RELATED PROGRAMS:

14.231 Emergency Shelter Grants Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria for selecting applications for assistance are defined in a Notice of Funding Availability in the Federal Register.

14.269 HURRICANE SANDY COMMUNITY DEVELOPMENT BLOCK GRANT DISASTER RECOVERY GRANTS (CDBG-DR)

Hurricane Sandy Community Development Block Grant Disaster Recovery Grants (CDBG DR)

FEDERAL AGENCY:

Office of Community Planning and Development, Department of Housing and Urban Development

AUTHORIZATION:

Disaster Relief Appropriations Act, 2013, Public Law 113-2.

OBJECTIVES:

The overall CDBG program objective is to develop viable urban communities, by providing decent housing and a suitable living environment, and by expanding economic opportunities, principally for persons of low and moderate income. CDBG DR program funds appropriated by P.L. 113-2 are for necessary expenses related to disaster relief, long-term recovery, restoration of infrastructure and housing, and economic revitalization in the most impacted and distressed areas resulting from a major disaster declared pursuant to the due to Hurricane Sandy and other eligible events in calendar years 2011, 2012, and 2013.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

Recipients may undertake a wide range of activities directed toward disaster relief, long-term recovery, restoration of infrastructure and housing, and economic revitalization. However, CDBG DR funds may not be used for activities reimbursable by or for which funds are made available by the Federal Emergency Management Agency or the Army Corps of Engineers. Grantees must conduct an assessment of community impacts and unmet needs to guide the development and prioritization of planned recovery activities and develop their own programs and funding priorities as long as programs/activities conform to the statutory standards and program regulations. Some of the specific activities that can be carried out with Community Development Block Grant Disaster recovery (CDBG DR) funds include buying damaged properties in a flood plain and relocating residents to safer areas; relocation payments for people and businesses displaced by the disaster; debris removal not covered by FEMA; rehabilitation of homes and buildings damaged by the disaster; buying, constructing, or rehabilitating public facilities such as streets, neighborhood centers, and water, sewer and drainage systems; code enforcement; homeownership activities such as down payment assistance, interest rate subsidies and loan guarantees for disaster victims; public services (generally limited to no more than 15 percent of the grant); helping businesses retain or create jobs in disaster impacted areas; and planning and administration costs (limited to no more than 20 percent of the grant). Eligible activities must meet at least one of three program national objectives: benefit persons of low and moderate income, aid in the prevention or elimination of slums or blight, or meet other urgent community development needs because existing conditions pose a serious and immediate threat to the health and welfare of the community where other financial resources are not available. CDBG Disaster Recovery grants primarily benefit low-income residents in and around communities that have experienced a natural disaster. Generally, grantees must use at least half of Disaster Recovery funds for activities that principally benefit low-and moderate-income persons. These can be either activities in which all or the majority of people who benefit have low or moderate incomes or activities that benefit an area or service group in which at least 51 percent of the populous are of low- and moderate-income. Recipients may contract with other local agencies or nonprofit organizations to carry out components of their programs. Recipients may only carry out eligible activities as listed in 24 CFR 570.201-207 or for which a waiver or alternative requirement has been granted by the Secretary, based upon a determination that good cause exists and that the waiver or alternative requirement is not inconsistent with the overall purposes of Title I of the HCD Act.

Applicant Eligibility:

CDBG Disaster Recovery funds are made available to States and units of general local governments designated by the President of the United States as disaster areas. These communities must have significant unmet recovery needs and the capacity to carry out a disaster recovery program (usually these are governments that already receive HOME or Community Development Block Grant allocations). Grantees may use CDBG Disaster Recovery funds for recovery efforts involving housing, economic development, infrastructure and prevention of further damage to affected areas. However, CDBG DR funds may not be used for activities reimbursable by or for which funds are made available by the Federal Emergency Management Agency or the Army Corps of Engineers.

Beneficiary Eligibility:

The principal beneficiaries of CDBG DR funds are low- and moderate-income persons (generally defined as a member of a family having an income equal to or less than the Section 8 low income limit established by HUD) in communities that have experienced a disaster event. Generally, grantees must use at least half of Disaster Recovery funds for activities that principally benefit low-and moderate-income persons. These can be either activities in which all or the majority of people who benefit have low or moderate incomes or activities that benefit an area or service group in which at least 51 percent of the populous are of low- and moderate-income.

Credentials/Documentation:

Recipients must certify they will meet program requirements and applicable federal requirements. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

As a condition of making any grant under this appropriation, the Secretary is required to certify in advance that grantees have in place proficient financial

controls and procurement processes and have established adequate procedures to prevent any duplication of benefits as defined by section 312 of the Robert T. Stafford Disaster Relief and Emergency Assistance Act (42 U.S.C. 5155), to ensure timely expenditure of funds, to maintain comprehensive websites regarding all disaster recovery activities assisted with these funds, and to detect and prevent waste, fraud, and abuse of funds. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Recipients submit an Action Plan for Disaster Recovery, SF Form 424, and certifications to HUD. In the Action Plan for Disaster Recovery, grantees must describe uses and activities that are authorized under Title I of the Housing and Community Development Act of 1974 (42 U.S.C. 5301 et seq.) (HCD Act) or allowed by a waiver or alternative requirement published in the federal register notice covering the grant funds and also respond to a disaster-related impact. HUD reviews grantee Action Plans within 45 days of receipt and approves the Plan according to criteria identified in the federal register notice covering the grant funds.

Award Procedure:

P.L. 113-2 provides that funds shall be awarded directly to a State or unit of general local government (UGLG) at the discretion of the Secretary. To comply with statutory direction that funds be used for disaster-related expenses in the most impacted and distressed areas, the Department computed allocations based on the best available data that cover all the eligible affected areas. States and local governments receiving a CDBG DR allocation may carry out programs and/or activities directly or through subrecipients, including local governments, governmental agencies, and non-profit entities.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

After a grantee receives an allocation under a published Federal Register notice, it must submit an initial Action Plan for Disaster Recovery no later than 90 days after the effective date of the notice.

Appeals:

Administrative appeals process followed if grant funds are withheld or reduced, or repayment proposed for non-compliance or non-performance.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title 24, Chapter 570. To comply with statutory direction that funds be used for disaster-related expenses in the most impacted and distressed areas, HUD computed allocations based on the best available data that cover all the eligible affected areas. P.L. 113-2 specifies that the CDBG DR funds be used for necessary expenses related to disaster relief, long-term recovery, restoration of infrastructure and housing, and economic revitalization in the most impacted and distressed areas resulting from a major disaster and further specifies that the funds are not to be used for activities reimbursable by FEMA or the Corps of Engineers. For this allocation, similar to prior CDBG DR allocations, the Department makes a determination of unmet needs by estimating unmet needs related to the main intended uses of the funds, including restoration of housing, economic revitalization, and restoration of infrastructure. These estimated needs are then summed together and an allocation is made among the grantee universe based on their proportional share of unmet needs..

This program has no matching requirements. This program has no matching requirements.

This program does not have MOE requirements. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

To ensure the timely expenditure of funds, section 904(c) under Title IX of P.L. 113-2 that all funds be expended within two years of the date HUD obligates funds to a grantee (funds are obligated to a grantee upon HUDs signing of the

grantees CDBG DR grant agreement). Action Plans must demonstrate how funds will be fully expended within two years of obligation. For any funds that the grantee believes will not be expended by the deadline, it must submit a letter to HUD justifying why it is necessary to extend the deadline for a specific portion of funds. Additionally, P.L. 113-2 requires that HUD obligate all funds provided under Chapter 9, Community Development Fund, not later than September 30, 2017. Method of awarding/releasing assistance: by letter of credit.

Reports:

HUD requires grantees to enter information in HUDs Disaster Recovery Grant Reporting (DRGR) system in sufficient detail to permit the Departments review of grantee performance on a quarterly basis through a Quarterly Performance Report (QPR). HUD reviews these quarterly reports to assess grantee compliance and performance. Quarterly reports include information on obligation, expenditures, drawdowns, and accomplishments for all grantee activities. Each grantee must submit a QPR through the DRGR system no later than 30 days following the end of each calendar quarter. No cash reports are required. No progress reports are required. The Quarterly Performance Report (QPR), submitted by grantees on a quarterly basis through HUD's Disaster Recovery Grant Reporting (DRGR) system includes information on expenditures for all their activities. The Department performs regular performance monitoring of grantees both remotely and through annual on-site reviews. The Department determines on-site monitoring priorities based on a risk-analysis of the grantees portfolio of activities or known high-risk areas. For all Headquarters-held grants under this appropriation, HUD staff will conduct at least one annual on-site monitoring review.

The Office of Community Planning and Development's CPD Notice CPD -12-02, 'Implementing Risk Analysis for Monitoring Community Planning and Development Grant Programs' describes the Department's risk analysis process. Monitoring exhibits can be found in Chapter 6 of HUD's Community Planning and Development (CPD) Grantee Monitoring Handbook.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. The auditee may elect to have a program-specific audit conducted under certain limited circumstances. CDBG DR grantees shall make reviews and audits, including onsite reviews of any subrecipients, designated public agencies, and UGLGs, as may be necessary or appropriate to meet the requirements of 42 U.S.C. 5304(e)(2), as amended, and as modified by the federal notice covering grant funds. Additionally, grantees must have an internal audit function in place for the detection of fraud, waste, and abuse where the responsible audit staff report independently to the chief officer or board of the organization designated to administer the CDBG DR award.

Records:

Grantees must maintain records with regard to eligibility, national objectives, financial management, citizen participation, relocation, other resources, acquisition, housing assistance to dwelling units and households, equal opportunity, environmental impact, labor standards and other requirements set forth in the program regulations. Records shall be retained for a period of four years after submission of the report in which the activity is reported as completed, except as otherwise prescribed in the regulations.

Account Identification:

86-0162-0-1-451.

Obligations:

(Formula Grants) FY 12 \$0; FY 13 est \$1,646,236,000; and FY 14 Estimate Not Available - The Department has obligated \$1,646,236,000 as of July 9, 2013 and expects to obligate an additional \$445,412,149 by September 2013. These funds represent a portion of the first round of Hurricane Sandy allocations, totaling \$5,400,000,000, to States of New York, New Jersey, Rhode Island, Connecticut, and Maryland and New York City. Additionally, the Department has made \$514,012,000 in allocations to communities impacted by

disasters in calendar years 2011 and 2012; however, these funds will not be obligated until the Department has approved grantee action plans for disaster recovery covering these allocations.

Range and Average of Financial Assistance:

From low of \$ 3,240,000 for the State of Rhode Island to a high of \$ 1,829,520,000 for the State of New Jersey.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Not applicable. Fiscal Year 2013: During FY13, 27 CDBG DR grants were allocated to local governments and states impacted by Hurricane Sandy and other qualifying events occurring in 2011, 2012, and 2013.. Fiscal Year 2014: Not available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Administrative Regulations for Community Development Block Grants, 24 CFR 570 and the following Federal Register notices: 78 FR 14329, 78 FR 32262, 78 FR 23578.

Regional or Local Office:

See Regional Agency Offices. None. Contact appropriate HUD Field Office listed in Appendix IV of the Catalog.

Headquarters Office:

Bennett R. Hilley 451 7th Street SW, Room 7282
, Washington, District of Columbia 20410 Email: bennett.r.hilley@hud.gov
Phone: 202- 708-3587 Fax: 202-401-2044

Website Address:

http://portal.hud.gov/hudportal/HUD?src=/program_offices/comm_planning/communitydevelopment/programs/drsl

RELATED PROGRAMS:

14.218 Community Development Block Grants/Entitlement Grants; 14.225 Community Development Block Grants/Special Purpose Grants/Insular Areas; 14.228 Community Development Block Grants/State's program and Non-Entitlement Grants in Hawaii

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

14.270 APPALACHIA ECONOMIC DEVELOPMENT INITIATIVE

Appalachia Economic Development Initiative

FEDERAL AGENCY:

Office of Community Planning and Development, Department of Housing and Urban Development

AUTHORIZATION:

Departments of Veterans Affairs and Housing and Urban Development, and Independent Agencies Appropriations Act, 1999, Public Law 105-276.

OBJECTIVES:

AEDIs goal is to increase access to capital for business lending and development in the chronically under-served and under-capitalized Appalachian region. Specifically, it will provide direct investment and technical assistance to community development lending and investing institutions in areas of the Appalachian Region that suffer from a lack of capacity to support business development. The AEDI will coordinate with ARCs economic development programs such as the ACC intermediary to identify areas within the Appalachian Region that are most in need of lending and investment capacity. The AEDI will provide funding and assistance to local rural non-profit organizations that will increase the capital and support available to businesses in under-served communities and enhance the effectiveness of economic development programs for these areas.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Innovative economic development uses, including but not limited to loan or investment capital, loan loss reserves, program staff costs, information systems,

market studies, portfolio analyses, business planning, and other activities supporting the program goals. Award must be used to support the eligible activities.

Applicant Eligibility:

Applicants that are eligible to participate in this initiative are State community and/or economic development agencies that apply on behalf of local rural nonprofit organizations in the Appalachia Region. Applicants may serve markets other than Appalachia residents and communities, using other sources of financing.

Beneficiary Eligibility:

Eligible target markets for use of AEDI funds include geographic areas and/or populations, as described below.

Geographic areas include the 420 counties in 13 states that make up the Appalachia Region, as defined by the Appalachian Regional Commission. Appalachian Regional Commission (ARC) is a federal-state partnership working to stimulate economic development. The ARC is composed of the governors of the 13 Appalachian states and a federal co-chair, who is appointed by the president. Local participation is provided through multi-county local development districts. The thirteen states that are included in the region are: Alabama, Georgia, Kentucky, Maryland, Mississippi, New York, North Carolina, Ohio, Pennsylvania, South Carolina, Tennessee, West Virginia, and Virginia.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Information on application procedures is not yet available. Information will be available in Notice of Funds Availability to be released in fiscal year 2014. Application procedures will be similar to those listed in the Border Community Capital Initiative, with is available on the grants.gov website.

Award Procedure:

Information on award procedures is not yet available. Information will be available in Notice of Funds Availability to be released in fiscal year 2014. Award procedures will be similar to those listed in the Border Community Capital Initiative, with is available on the grants.gov website.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. Information on application review procedures is not yet available. Information will be available in Notice of Funds Availability to be released in fiscal year 2014.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. This program has no matching requirements. This program has no matching requirements. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awards will be available for a period of 36 months from the date of execution of the grant agreement. Method of awarding/releasing assistance: by letter of credit.

Reports:

Information on reporting requirements and procedures are not yet available. Information will be available in Notice of Funds Availability to be released in fiscal year 2014. Reporting requirements will be similar to those listed in the Border Community Capital Initiative NOFA, which is available on the grants.gov website. Information on reporting requirements and procedures are not yet available. Information will be available in Notice of Funds Availability to be released in fiscal year 2014. Information on reporting requirements and procedures are not yet available. Information will be available in Notice of Funds Availability to be released in fiscal year 2014. Information on reporting requirements and procedures are not yet available. Information will be available in Notice of Funds Availability to be released in fiscal year 2014. Information on reporting requirements and procedures are not yet available. Information will be available in Notice of Funds Availability to be released in fiscal year 2014. Monitoring requirements will be similar to those listed in the Border Community Capital Initiative NOFA, which is available on the grants.gov website.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Information on record keeping requirements and procedures are not yet available. Information will be available in Notice of Funds Availability to be released in fiscal year 2014. Record keeping requirements will be similar to those listed in the Border Community Capital Initiative NOFA, which is available on the grants.gov website.

Account Identification:

86-0304-0-1-604.

Obligations:

(Project Grants) FY 12 Not Separately Identifiable(Exp: No grants distributed); FY 13 Not Separately Identifiable(Exp: No grants distributed); and FY 14 est \$1,000,000

Range and Average of Financial Assistance:

Up to \$1,000,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Information on specific regulations and guidelines are not yet available. Information will be available in Notice of Funds Availability to be released in fiscal year 2014.

Regional or Local Office:

None.

Headquarters Office:

Thann Young Office of Rural Housing and Economic Development
U.S. Department of Housing and Urban Development
451 7th Street SW, Room 7137
, Washington DC, District of Columbia 20410 Email: thann.young@hud.gov
Phone: 1-877-787-2526

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Information on application review and selection criteria are not yet available. Information will be available in Notice of Funds Availability to be released in

fiscal year 2014.

14.271 DELTA COMMUNITY CAPITAL INITIATIVE

Delta Community Capital Initiative

FEDERAL AGENCY:

Office of Community Planning and Development, Department of Housing and Urban Development

AUTHORIZATION:

Departments of Veterans Affairs and Housing and Urban Development, and Independent Agencies Appropriations Act, 1999, Public Law 105-276.

OBJECTIVES:

DCCIs goal is to increase access to capital for business lending and development in the chronically under-served and under-capitalized Lower Mississippi Delta Region. Specifically, it will provide direct investment and technical assistance to community development lending and investing institutions so that funding opportunities will be more effective and economic development services will expand to the under-served residents of Lower Mississippi Delta Region. DCCI will focus on helping local financial institutions improve their capacity to raise capital, and to lend and invest in local communities. Strengthening local community development lenders and investors will also widen the channels through which larger private institutions and federal agencies can reach small business owners and entrepreneurs who need their support.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Innovative economic development uses, including but not limited to loan or investment capital, loan loss reserves, program staff costs, information systems, market studies, portfolio analyses, business planning, and other activities supporting the program goals. Award must be used to support the eligible activities.

Applicant Eligibility:

Applicants that are eligible to participate in this initiative are community development lenders and investors, which may be local rural non-profit organizations or federally recognized tribes. Applicants do not need to be certified as Community Development Financial Institutions by the CDFI Fund at the time of application. If applicants propose to become certified as a CDFI, they should lay out milestones and time frames toward the CDFI certification process in their application. Applicants may serve other markets than Delta residents and communities, using other sources of financing.

Beneficiary Eligibility:

Eligible target markets for use of DCCI funds include businesses owned by or serving low income residents of the Lower Mississippi Delta Region. The Delta region includes the 252 counties and parishes in eight states that make up the Lower Mississippi Delta Region, as defined by the Delta Regional Authority. The Delta Regional Authority (DRA) is a federal-state partnership working to stimulate economic development and governed by the Delta Regional Authority Board, comprised of the Federal Co-Chairman, appointed by the President and confirmed by the U.S. Senate, and the governors of the eight states. The eight states that are included in the region are: Alabama, Arkansas, Illinois, Kentucky, Louisiana, Mississippi, Missouri, and Tennessee.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Information on application procedures is not yet available. Information will be available in Notice of Funds Availability to be released in fiscal year 2014.

Application procedures will be similar to those listed in the Border Community Capital Initiative, with is available on the grants.gov website.

Award Procedure:

Information on award procedures is not yet available. Information will be available in Notice of Funds Availability to be released in fiscal year 2014. Award procedures will be similar to those listed in the Border Community Capital Initiative, with is available on the grants.gov website.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. Information on application review procedures is not yet available. Information will be available in Notice of Funds Availability to be released in fiscal year 2014.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. This program has no matching requirements. The Delta Community Capital Initiative will not have matching requirements. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awards will be available for a period of 36 months from the date of execution of the grant agreement. Method of awarding/releasing assistance: by letter of credit.

Reports:

Information on reporting requirements and procedures are not yet available. Information will be available in Notice of Funds Availability to be released in fiscal year 2014. Reporting requirements will be similar to those listed in the Border Community Capital Initiative NOFA, which is available on the grants.gov website. Information on reporting requirements and procedures are not yet available. Information will be available in Notice of Funds Availability to be released in fiscal year 2014. Reporting requirements will be similar to those listed in the Border Community Capital Initiative NOFA, which is available on the grants.gov website. Information on reporting requirements and procedures are not yet available. Information will be available in Notice of Funds Availability to be released in fiscal year 2014. Reporting requirements will be similar to those listed in the Border Community Capital Initiative NOFA, which is available on the grants.gov website. Information on reporting requirements and procedures are not yet available. Information will be available in Notice of Funds Availability to be released in fiscal year 2014. Reporting requirements will be similar to those listed in the Border Community Capital Initiative NOFA, which is available on the grants.gov website. Information on reporting requirements and procedures are not yet available. Information will be available in Notice of Funds Availability to be released in fiscal year 2014. Reporting requirements will be similar to those listed in the Border Community Capital Initiative NOFA, which is available on the grants.gov website. Information on reporting requirements and procedures are not yet available. Information will be available in Notice of Funds Availability to be released in fiscal year 2014. Reporting requirements will be similar to those listed in the Border Community Capital Initiative NOFA, which is available on the grants.gov website.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Information on record keeping requirements and procedures are not yet available. Information will be available in Notice of Funds Availability to be released in fiscal year 2014. Record keeping requirements will be similar to those listed in the Border Community Capital Initiative NOFA, which is available on the grants.gov website.

Account Identification:

86-0304-0-1-604.

Obligations:

(Project Grants) FY 12 Not Separately Identifiable(Exp: No grants distributed); FY 13 Not Separately Identifiable(Exp: No grants distributed); and FY 14 est \$1,000,000

Range and Average of Financial Assistance:

Up to \$1,000,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Information on specific regulations and guidelines are not yet available. Information will be available in Notice of Funds Availability to be released in fiscal year 2014.

Regional or Local Office:

None.

Headquarters Office:

Thann Young Office of Rural Housing and Economic Development
U.S. Department of Housing and Urban Development
451 7th Street SW, Room 7137
, Washington DC, District of Columbia 20410 Email: thann.young@hud.gov
Phone: 1-877-787-2526

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Information on application review and selection criteria are not yet available. Information will be available in Notice of Funds Availability to be released in fiscal year 2014.

14.311 SINGLE FAMILY PROPERTY DISPOSITION

FEDERAL AGENCY:

Office of Housing-Federal Housing Commissioner, Department of Housing and Urban Development

AUTHORIZATION:

National Housing Act, as amended 12 U.S.C. 1710, Sections 203(b), 203(k), 204, 24 CFR 291.

OBJECTIVES:

To sell the inventory of HUD-acquired properties in a manner that expands home ownership opportunities, strengthens neighborhoods and communities, and ensures a maximum return to the FHA mortgage insurance fund.

TYPES OF ASSISTANCE:

SALE, EXCHANGE, OR DONATION OF PROPERTY OR GOODS

USES AND USE RESTRICTIONS:

The Single Family Property Disposition Program is the marketing and sale of one to four unit properties acquired by HUD after foreclosure of an FHA-insured or Secretary-Held mortgage. Within the general program there are special disposition programs through which a portion of the property inventory is sold. These programs include Good Neighbor Next Door Sales program for law enforcement officers, teachers and firefighters/emergency medical technicians, Discount Sales to Nonprofits and Local Governments program and Dollar HOME Sales to Local Governments program. Information for each of these programs is covered separately. The majority of foreclosed one to four unit properties are sold through the general disposition program. HUD contracts with private companies to manage and market the properties. Properties are listed at HUD's website located at <http://hudhomestore.com/Home/Index.aspx>, where links are provided to the listings for each state. The listings provide the appraised value of the property, the property address, a brief description of the property and access to a Property

Condition Report prepared by the contractor. Complete information explaining the sales process and earnest money requirements is also available. Bids must be submitted electronically by a real estate broker. An earnest money deposit is required with each bid submitted. Special opportunities are available for bidders who intend to occupy purchased properties as their principal residence. Properties not purchased during this Exclusive Listing Period are then available for the general public, including investors, during an Extended Listing Period. FHA financing may be available for purchasing many homes, including the FHA 203(k) program providing a loan to purchase a property and pay for repairs or improvements as permitted by FHA rules. Interested persons should contact their FHA approved lender. Refer to <http://www.hud.gov/ll/code/llplcrit.html> for assistance in locating a lender.

Applicant Eligibility:

Local Governments and Nonprofit Organizations: HUD contractors in the specific area should be contacted regarding eligibility requirements. Contact HUD at Toll free: (800) CALL FHA or (800) 225-5342 for a listing of nationwide HUD contractors. FHA Insured Financing: Generally, anyone who has a satisfactory credit rating; enough cash to close the loan; sufficient, steady income to make the monthly payments without difficulty; and who will live in the home can be approved for an FHA insured mortgage. Income is only one of several factors that apply in the lending process. Interested buyers should contact their lender for more information.

Beneficiary Eligibility:

Individual, governmental and organizational homebuyers.

Credentials/Documentation:

Nonprofit Organizations must have IRS 501(c)(3) status. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. HUD homes are managed and marketed by private contractors. A list of contractors and the areas each serves may be found at <http://www.hud.gov/offices/hsg/sfh/reo/mm/mminfo.cfm>. The contractors list all HUD homes for sale on their individual Internet websites. Bids for a particular property can be submitted online. Purchasers must secure financing of their choice. FHA financing may be available. A list of HUD approved mortgage lenders is located at our website, <http://www.hud.gov/ll/code/llslcrit.cfm>.

Award Procedure:

Properties are generally sold competitively to the highest bidder. Direct sales may be made where permitted by regulations.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Varies.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

quarterly. Method of awarding/releasing assistance: quarterly.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

Nonprofit organizations purchasing homes at a discount must retain information about the acquisition, rehabilitation and resale of discounted properties. This includes copies of HUD-1 Settlement Statements for the resale and other documents (see <http://www.hud.gov/groups/grantees.cfm>).

Account Identification:

86-4077-0-3-371; 86-4587-0-3-371.

Obligations:

(Sale, Exchange, or Donation of Property and Goods) FY 12 \$6,959,636,132; FY 13 est \$15,789,712,136; and FY 14 est \$18,971,462,133

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: 97000. Fiscal Year 2013: 90,000. Fiscal Year 2014: 90000.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None. Contact the appropriate HUD Homeownership Center (see <http://www.hud.gov/offices/hsg/sfh/hoc/hsgohcs.cfm>) or HUD Field Office listed in Appendix IV of the Catalog.

Headquarters Office:

Wanda L. Sampedro, 451 7th Street, SW, Washington, District of Columbia 20410 Email: answers@hud.gov Phone: (800) 225-5342.

Website Address:

http://www.hud.gov/offices/hsg/sfh/reo/reo_home.cfm

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

14.313 DOLLAR HOME SALES

FEDERAL AGENCY:

Office of Housing-Federal Housing Commissioner, Department of Housing and Urban Development

AUTHORIZATION:

Housing Notice 00-07, dated April 28, 2000 (and subsequent extensions).

OBJECTIVES:

To expand HUD's partnership with local governments in helping to foster housing opportunities for low-to-moderate income families and address specific community needs.

TYPES OF ASSISTANCE:

SALE, EXCHANGE, OR DONATION OF PROPERTY OR GOODS

USES AND USE RESTRICTIONS:

Single family homes with appraised values of \$25,000 or less that are acquired through foreclosure of Federal Housing Administration (FHA) insured loans will be available for purchase by local governments at a price of \$1 plus closing costs, after the properties have been actively marketed for at least 6 months and remain unsold. The property must be located with the jurisdiction of the purchasing local government with the intent of using the property for a "clear public purpose".

Housing Notice 2013-19, dated April 3, 2013, announced the extension of the Dollar Home Sales to Local Governments Program as described in Notice H 2011-16, which can be found at <http://portal.hud.gov/hudportal/documents/huddoc?id=11-16hsgn.pdf>

Available properties are listed at HUD's website located at

<http://www.hudhomestore.com/Home/Index.aspx> where eligible governmental entities can search listings by location and buyer type.

Applicant Eligibility:

Local government legally authorized by local law to make the purchase.

Beneficiary Eligibility:

Low- to moderate-income families and communities.

Credentials/Documentation:

Evidence of authority to purchase. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Submit HUD-9548, Sales Agreement, stating a purchase price of one dollar (\$1.00) plus closing costs, together with the Addendum to Sales Contract in the form attached to Housing Notice 06-02, as further detailed in Housing Notice 00-07, to HUD by close of the 10-day listing period for a property.

Award Procedure:

Evaluation of the documentation required by the application procedure evidenced by HUD's execution of the Sales Agreement and approval of the purchaser's plan for use of the property.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Properties assigned to this program are offered for 10 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Not Applicable. Method of awarding/releasing assistance: quarterly.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

All annual reports submitted by local governments will be maintained by HOC Program Support Staff Director.

Account Identification:

86-4077-0-3-371; 86-4587-0-3-371.

Obligations:

(Sale, Exchange, or Donation of Property and Goods) FY 12 Not Separately Identifiable(Exp: Reported under 14.311); FY 13 Not Separately Identifiable(Exp: Reported under 14.311); and FY 14 Not Separately Identifiable(Exp: Reported under 14.311) - Reported Under 14.311.

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices. Contact the appropriate HUD Homeownership Center listed in Appendix IV of the Catalog.

Headquarters Office:

Wanda L. Sampedro, 451 7th Street, SW, Washington, District of Columbia 20410 Email: answers@hud.gov Phone: (800) 225-5342.

Website Address:

<http://www.hud.gov/offices/hsg/sfh/reo/goodn/dhmbabout.cfm>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

14.324 FHA POWERSAVER HOME ENERGY IMPROVEMENT PILOT PROGRAM

FHA PowerSaver Home Energy Improvement Pilot Program

FEDERAL AGENCY:

Office of Housing-Federal Housing Commissioner, Department of Housing and Urban Development

AUTHORIZATION:

The Consolidated Appropriations Act 2010.

OBJECTIVES:

To conduct an Energy Efficient Mortgage Innovation pilot program targeted to the single family housing market.

TYPES OF ASSISTANCE:

Guaranteed/Insured Loans

USES AND USE RESTRICTIONS:

Lenders may use grant funds to support costs associated with creating or enhancing staffing to deliver or report on PowerSaver-insured loans; Fund the costs of loan marketing, originating, and/or underwriting; offset costs associated with appraisals and for those lenders that service their own loans, reduce servicing costs.

Applicant Eligibility:

Lenders applying for grant must be an FHA Title I approved lender and describe sufficient capabilities to participate and carry out the program goals.

Beneficiary Eligibility:

none.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Lenders were required to submit a non-binding Expression of Interest and provide information as directed in Federal Register Notice published November 10, 2010. OMB Circulars 102 and 110 are applicable.

Award Procedure:

A panel of reviewed, evaluated applicants based on information supplied in their Expression of Interest. Award amounts were weighed on their forecasted program volume.

Deadlines:

Jan 31, 2011 As provided in Federal Register Notice, the deadline for lenders to submit an Expression of Interest was January 31, 2011.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Program has been extended to May 4, 2015. Method of awarding/releasing assistance: lump sum.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

No Data Available.

Account Identification:

86-4077-0-3-371.

Obligations:

(Direct Loans) FY 12 Not Separately Identifiable(Exp: Reported under 14.142); FY 13 Not Separately Identifiable(Exp: Reported under 14.142); and FY 14 Not Separately Identifiable(Exp: Reported under 14.142) - Reported under 14.142 - HUD obligated \$24,750,000 to participating grantees.

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Patricia A. McBarron 451 7th Street, SW
, Washington, District of Columbia 20410 Email: patricia.a.mcbaron@hud.gov
Phone: 202-402-5389

Website Address:

<http://www.hud.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

14.400 EQUAL OPPORTUNITY IN HOUSING

Fair Housing

FEDERAL AGENCY:

Office of Fair Housing and Equal Opportunity, Department of Housing and Urban Development

AUTHORIZATION:

The Fair Housing Act, 42 U.S.C. 3600 et seq.

OBJECTIVES:

To provide fair housing throughout the United States and an administrative enforcement system which is subject to judicial review.

TYPES OF ASSISTANCE:

INVESTIGATION OF COMPLAINTS

USES AND USE RESTRICTIONS:

With limited exceptions, no housing offered commercially for sale or rental, may be advertised, sold, leased out, financed or appraised in a manner which discriminates on the basis of race, color, national origin, religion, sex, disability or familial status (the presence of children under the age of 18 in a household or a pregnant woman). In addition, housing providers and others must allow reasonable modifications to units and provide reasonable accommodations when these are necessary to allow a person with a disability full housing rights. Finally, multi-family housing built for occupancy after March 13, 1991, must comply with the Accessibility Guidelines established. Complaints of discrimination are investigated and conciliated. When conciliation is unsuccessful and where investigation indicates reasonable cause to believe that discrimination occurred or is about to occur, complainants may pursue their complaints before an Administrative law judge or in Federal district court (The Department of Justice litigates on behalf of complainants in Federal district court, or HUD litigates on their behalf in the administrative forum). Also conferences and training sessions are held to explain rights and responsibilities under the law to attorneys, developers, the real estate industry and the general public.

Applicant Eligibility:

Any aggrieved person, or the Assistant Secretary, may file a complaint based on an alleged discriminatory housing practice because of race, color, religion, sex, familial status, disability, or national origin. The complaint may be filed with the Department of Housing and Urban Development or a State or local fair housing agency with processes, procedures and remedies substantially equivalent to those of the Department, and which the Department has approved.

Beneficiary Eligibility:

None.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Complaints may be sent to any HUD Field Office, or to HUD's Washington, DC Headquarters.

Award Procedure:

None.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

None.

Appeals:

Depends upon result of complaint processing.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

None. See the following for information on how assistance is awarded/released:
None.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

None.

Account Identification:

86-0340-0-1-751 - Salaries and Expenses Personnel Services; 86-0333-0-1-604 - Salaries and Expenses Executive Direction.

Obligations:

(Salaries) FY 12 \$69,735,000; FY 13 est \$66,789,948; and FY 14 est \$74,542,000 - This is for Personnel Services and Appropriation Account 86-0340-0-1-751. (Salaries) FY 12 \$0; FY 13 est \$0; and FY 14 est \$0 - This is for Executive Direction and Appropriation Account 86-0333-0-1-604.

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations implementing the Fair Housing Act, 24 CFR Part 100; "Fair Housing It's Your Right," English (HUD-1686-FHEO); Fair Housing - Complaint Processing, 24 Part 103; Administrative Proceedings Under Section 812 of the Fair Housing Act, 24 CFR Part 180; Consolidated HUD Hearing Procedures for Civil Rights Matters Certification of Substantially Equivalent Agencies, 24 CFR 115; Preamble to Final Rule Implementing Fair Housing Amendments Act of 1988, 54 Federal Register 3232 (1989); CFR Ch I, Subch. A, App II.

Regional or Local Office:

None. HUD Fair Housing and Equal Opportunity Office listed in Appendix IV of the Catalog.

Headquarters Office:

Turner Russell, 451 7th Street, S.W., Room 5226, Washington, District of Columbia 20410 Email: TurnerRussell@hud.gov Phone: (202) 708-2904.

Website Address:

<http://www.hud.gov/complaints/housediscrim.cfm>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

14.401 FAIR HOUSING ASSISTANCE PROGRAM_STATE AND LOCAL

(FHAP)

FEDERAL AGENCY:

Office of Fair Housing and Equal Opportunity, Department of Housing and Urban Development

AUTHORIZATION:

The Fair Housing Act 42 U.S.C. 3600 et seq.

OBJECTIVES:

To provide assistance to State and local fair housing enforcement agencies for complaint processing, training, technical assistance, education and outreach, data and information systems and other activities that will further fair housing within the agency's jurisdiction. The intent of the Fair Housing Assistance Program (FHAP) is to build a coordinated intergovernmental enforcement of fair housing laws and provide incentives for States and localities to assume a greater share of responsibility for administering fair housing laws.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

State and local fair housing enforcement agencies in the first year of participation in the FHAP receive a fixed amount of funding to build the capacity of the agency to enforce substantially equivalent fair housing laws. Agencies in the second year and beyond are eligible to receive contributions

funding which provides funds for complaint processing, administrative costs, and training associated with the enforcement of a substantially equivalent fair housing law.

Applicant Eligibility:

Eligible applicants include State and local government fair housing enforcement agencies administering fair housing laws that have been interim certified by HUD as providing substantially equivalent rights and remedies as those provided by the Fair Housing Act and which have executed formal written Agreements with HUD to process housing discrimination complaints. In determining eligibility, HUD may also take into consideration whether a jurisdiction is already served by a FHAP agency.

Beneficiary Eligibility:

Any person or group of persons aggrieved by a discriminatory housing practice because of race, color, religion, sex, handicap, familial status or national origin.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. None.

Award Procedure:

None.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title Certification and Funding of State and Local Fair Housing Enforcement Agencies, Chapter 24, Part 115.

Matching requirements are not applicable to this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Cooperative agreements are executed for one year, they are non-competitive, and provide funding for complaint processing, administrative costs, and training for contribution agencies. Capacity building funds are also provided under a cooperative agreement for a one year period. For detailed program information under each funding component, contact the Fair Housing and Equal Opportunity Region or Headquarters Office. Fixed price cooperative agreements are anticipated to be awarded with payments to be made in accordance with a schedule of dates set out in the cooperative agreements. Method of awarding/releasing assistance: lump sum.

Reports:

Not Applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. .

Records:

State and local agencies are required to maintain housing discrimination

complaint files for a period of one year after closure.

Account Identification:

86-0144-0-1-751.

Obligations:

(Formula Grants) FY 12 \$28,932,303; FY 13 est \$32,345,121; and FY 14 est \$24,400,000

Range and Average of Financial Assistance:

Funding is provided for capacity building, complaint processing, training, and administrative costs.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: State and local agencies participating in the FHAP investigated and attempted/reached resolution in approximately 7,000 complaints of housing discrimination cognizable under the federal Fair Housing Act in FY2012. Fiscal Year 2013: No current data available. Fiscal Year 2014: No data available.

REGULATIONS, GUIDELINES, AND LITERATURE:

See 24 CFR Part 115 for regulations on the Certification and Funding of State and local Fair Housing Enforcement Agencies.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Kenneth J. Carroll 451 7th Street, S.W. Room 5206, Washington, District of Columbia 20410 Email: Kenneth.J.Carroll@hud.gov Phone: 202 402-7044

Website Address:

<http://www.hud.gov/offices/fheo/partners/FHAP/index.cfm>.

RELATED PROGRAMS:

14.408 Fair Housing Initiatives Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Investigation, conciliation, and litigation of housing discrimination complaints brought under state and local laws that are substantially equivalent to the federal Fair Housing Act and fair housing partnerships that assist states and localities carry out the provisions of state and local laws that are substantially equivalent to the federal Fair Housing Act. Fiscal Year 2013: Investigation, conciliation, and litigation of housing discrimination complaints brought under state and local laws that are substantially equivalent to the federal Fair Housing Act and fair housing partnerships that assist states and localities carry out provisions of state and local laws that are substantially equivalent to the federal Fair Housing Act. Fiscal Year 2014: Investigation, conciliation, and litigation of housing discrimination complaints brought under state and local laws that are substantially equivalent to the federal Fair Housing Act and fair housing partnerships that assist states and localities carry out provisions of state and local laws that are substantially equivalent to the federal Fair Housing Act.

CRITERIA FOR SELECTING PROPOSALS:

Funding to a state or local agency is contingent on appropriation from Congress and the state or local agency's enforcement of a law that, on its face and in operation, is substantially equivalent to the Fair Housing Act.

14.408 FAIR HOUSING INITIATIVES PROGRAM

FHIP

FEDERAL AGENCY:

Office of Fair Housing and Equal Opportunity, Department of Housing and Urban Development

AUTHORIZATION:

Housing and Community Development Act of 1987, Section 561, Public Law 100-242, as amended; Housing and Community Act of 1992, Section 905, Public Law 102-550, 101 Stat. 1815.

OBJECTIVES:

To develop, implement, carry out, or coordinate programs and/or activities to educate the public about, as well as provide enforcement of, fair housing rights under the Fair Housing Act; 42 U.S.C. 3601-3619 or State or local laws that provide substantially equivalent rights and remedies for alleged discriminatory

housing practices. Objectives include provisions for strengthening existing fair housing enforcement organizations as well as establishing new ones.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Financial assistance is provided for projects to prevent or eliminate discriminatory housing practices. Eligible projects and activities must be conducted in accordance with procedures contained in 24 CFR Part 125 and in an annual Notice of Funding Availability. Financial assistance is provided for projects to affirmatively further fair housing conducted by public or private entities. However, fair housing enforcement projects, including those to support the continued development of existing fair housing organizations and to establish new ones, may only be awarded to fair housing enforcement organizations under the Fair Housing Act or State or local laws that provide substantially equivalent rights and remedies for alleged discriminatory housing practices. Education and outreach projects may generally be awarded to a wider variety of organizations including public or private or not-for-profit organizations or institutions, or other public or private entities that are working to prevent or eliminate discriminatory housing practices, as well as faith-based community-based organizations and State or local government agencies.

Applicant Eligibility:

State and local government agencies, public or private nonprofit organizations or institutions and other public or private entities that are formulating or carrying out programs to prevent or eliminate discriminatory housing practices. Applicants for funding of testing activities must have at least one year of experience in complaint intake, complaint investigation, testing for fair housing violations, and enforcement of meritorious claims. Applicants for funding of testing activities must certify that they will not solicit funds from or seek to provide services or products for compensation to any person or organization which has been the subject of testing by the applicant for a 12-month period following a test. Fair housing enforcement organizations and nonprofit groups organizing to build their capacity to provide fair housing enforcement are also eligible applicants.

Beneficiary Eligibility:

Any person or group of persons aggrieved by discriminatory housing practices because of race, color, religion, sex, disability, familial status or national origin. Also, any person or group of persons, including landlords and real estate agents, to prevent discriminatory housing practices because of race, color, religion, sex, disability, familial status or national origin.

Credentials/Documentation:

Costs for applicants that are private nonprofit entities will be determined in accordance with OMB Circular No. A-122. Costs for applicants that are educational institutions will be determined in accordance with OMB Circular No. A-21. Applicants for enforcement related activities must provide verification of 501(c)(3) status. Applicants for funding of testing activities must certify that they will not solicit funds from or seek to provide services or products for compensation to any person or organization which has been the subject of testing by the applicant for a 12-month period following a test. Also, their testing methodology must be approved by HUD before being implemented. OMB Circular No. A-87 applies to this program. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program.

Award Procedure:

Funds are awarded in accordance with the Notice of Funding Availability. The signing official or designee has the final authority for awards.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Deadlines are listed under www.grants.gov

30-60 days.

Appeals:

From 30 to 60 days. 30-60 days.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Funding is in accordance with requirements and forms provided in the Notice of Funding Availability with project periods generally ranging from 12 to 36 months. Assistance provided quarterly or as specified in the grant agreement. See the following for information on how assistance is awarded/released: Funds are awarded under the Notice of Funding Availability. Funds are released under funding documents as negotiated under the grant agreement. Funds are released under HUD's financial system as reimbursements for activities completed. See the following for information on how assistance is awarded/released: Funds are awarded under the Notice of Funding Availability. Funds are released under funding documents as negotiated under the grant agreement. Funds are released under HUD's financial system as reimbursements for activities completed.

Reports:

Program reports are not applicable. Cash reports are not applicable. Program reports are not applicable. Cash reports are not applicable. Quarterly progress reports will be required. Additionally, State and local governments must submit all reports required pursuant to OMB Circulars A-102 and A-133. Educational institutions and private nonprofit organizations must submit all reports required pursuant to OMB Circulars A-110 and A-133, and reporting under Form HUD 96010. Expenditure reports are not applicable. No performance monitoring is required. Expenditure reports are not applicable. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

None.

Account Identification:

86-0144-0-1-751.

Obligations:

(Project Grants) FY 12 \$44,428,815; FY 13 est \$38,805,501; and FY 14 est \$42,300,000

Range and Average of Financial Assistance:

\$125,000-\$2,000,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

24 CFR Part 125, Fair Housing Initiatives Program.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Paula Stone 451 7th Street, S.W.
Room 5222
Washington, D.C. 20410, Washington, District of Columbia 20410 Email:
Paula.Stone@hud.gov Phone: 202 402-7054

Website Address:

<http://www.hud.gov/offices/fheo/partners/FHIP/fhip.cfm>.

RELATED PROGRAMS:

14.401 Fair Housing Assistance Program_State and Local

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The selection criteria that will be considered in reviewing applications will be included in the Notices of Funding Availability, as published in the Federal Register.

14.416 EDUCATION AND OUTREACH INITIATIVES

FHIP EOI

FEDERAL AGENCY:

Office of Fair Housing and Equal Opportunity, Department of Housing and Urban Development

AUTHORIZATION:

Housing and Community Development Act of 1987, Section 561, Public Law 100-242, as amended; Housing and Community Development Act of 1992, Section 905, Public Law 102-550, 101 Stat. 1815.

OBJECTIVES:

To assist organizations that are regional, local, community based and National based. To develop, implement, carry out, or coordinate programs and/or activities to educate the public about, as well as provide enforcement of fair housing rights under the Fair Housing Act; 42 U.S.C. 3601-3619 or State or local laws that provide substantially equivalent rights and remedies for alleged discriminatory housing practices.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Financial assistance is provided for projects to educate the public in order to prevent or eliminate discriminatory housing practices. Eligible projects and activities must be conducted in accordance with procedures contained in 24 CFR Part 125 and in an annual Notice of Funding Availability. Financial assistance is provided for projects to affirmatively further fair housing conducted by public or private entities. Education and outreach projects may generally be awarded to a wider variety of organizations including public or private- not-for-profit organizations or institutions, or other public or private entities that are working to prevent or eliminate discriminatory housing practices. Financial assistance is provided for projects to educate the public in order to prevent or eliminate discriminatory housing practices. Eligible projects and activities must be conducted in accordance with procedures contained in 24 CFR Part 125 and in an annual Notice of Funding Availability. Financial assistance is provided for projects to affirmatively further fair housing conducted by public or private entities. Education and outreach projects may generally be awarded to a wider variety of organizations including public or private- not-for-profit organizations or institutions, or other public or private entities that are working to prevent or eliminate discriminatory housing practices.

Applicant Eligibility:

Qualified Fair Housing Enforcement Organizations, Fair Housing Enforcement Organizations, Public or private not-for-profit organizations or institutions, or other public or private entities that are working to prevent or eliminate discriminatory housing practices, and State and local agencies under the Fair Housing Assistance Program.

Beneficiary Eligibility:

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Credentials/Documentation:

Costs for State and local government units will be determined in accordance with OMB Circular No. A-87. Costs for applicants that are private nonprofit entities will be determined in accordance with OMB Circular No. A-122. Costs for applicants that are educational institutions will be determined in accordance with OMB Circular No. A-21. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. OMB Circular No. A-122 applies to this program. OMB Circular No. A-110 applies to this program. Applications must be submitted in accordance with requirements and forms provided in the Notice of Funding Availability as published in the Federal Register. State and local government applicants must use the standard forms as furnished by the federal agency and required by OMB Circular No. A-87. Nonprofit entities and institutions of higher education must use standard forms as furnished by the federal agency.

Award Procedure:

Applications will be evaluated competitively and awarded points based on the Selection Criteria included in the published Notice of Funding Availability. The final decision rests with the Assistant Secretary for Fair Housing and Equal Opportunity or her/his designee.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days. Two to six months.

Appeals:

Two to six months.

Renewals:

None.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Funding is in accordance with requirements and forms provided in the Notice of Funding Availability with project periods generally ranging from 12 to 18 months. Assistance is provided quarterly or as specified in the grant agreement. See the following for information on how assistance is awarded/released: Grant.

Reports:

Program reports are not applicable. Cash reports are not applicable. Quarterly progress reports will be required. Additionally, State and local governments must submit all reports required pursuant to OMB Circulars A-102 and A-133. Educational institutions and private nonprofit organizations must submit all reports required pursuant to OMB Circulars A-110 and A-133, and reporting under Form HUD 96010. Expenditure reports are not applicable. Quarterly progress reports will be required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. .

Records:

None.

Account Identification:

86-0144-0-1-751.

Obligations:

(Cooperative Agreements) FY 12 \$8,003,816; FY 13 est \$3,816,387; and FY 14 est \$7,950,000

Range and Average of Financial Assistance:

\$125,000 to 1,500,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: 39 grants were funded in FY 2012. Fiscal Year 2013: No current data available. Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

24 CFR Part 125, Fair Housing Initiatives Program.

Regional or Local Office:

See Regional Agency Offices. HUD Field Office Fair Housing Enforcement Center or Program Operations and Compliance Center: Director, Fair Housing and Equal Opportunity in the appropriate HUD Office listed in Appendix IV of the Catalog.

Headquarters Office:

Paula Stone 451 7th Street, S.W.
Room 5222, Washington, District of Columbia 20410 Email:
Paula.Stone@HUD.gov Phone: (202) 402-7054

Website Address:

<http://www.hud.gov/offices/fheo/partners.FHIP/fhip.cfm>

RELATED PROGRAMS:

14.408 Fair Housing Initiatives Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Approximately 39 grants were funded in 2012. Fiscal Year 2013: Not known. Fiscal Year 2014: Not known.

CRITERIA FOR SELECTING PROPOSALS:

The selection criteria that will be considered in reviewing applications will be included in the Notices of Funding Availability, as published in the Federal Register.

14.417 FAIR HOUSING ORGANIZATION INITIATIVES

FHIP FHOI

FEDERAL AGENCY:

Office of Fair Housing and Equal Opportunity, Department of Housing and Urban Development

AUTHORIZATION:

Housing and Community Development Act of 1987, Section 561, Public Law 100-242, as amended; Housing and Community Development Act of 1992, Section 905, Public Law 102-550, 101 Stat. 1815.

OBJECTIVES:

To assist in the organizing of fair housing enforcement in order to develop, implement, carry out, or coordinate programs and/or activities that provide enforcement of, fair housing rights under the Fair Housing Act; 42 U.S.C. 3601-3619 or State or local laws that provide substantially equivalent rights and remedies for alleged discriminatory housing practices. Objectives include provisions for strengthening existing fair housing enforcement organizations as well as establishing new ones.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Financial assistance is provided for projects to prevent or eliminate discriminatory housing practices. Eligible projects and activities must be conducted in accordance with procedures contained in 24 CFR Part 125 and in an annual Notice of Funding Availability. Financial assistance is provided for projects to affirmatively further fair housing conducted by public or private entities. However, fair housing enforcement projects, including those to support the continued development of existing fair housing organizations and to establish new ones, may only be awarded to fair housing enforcement organizations under the Fair Housing Act or State or local laws that provide substantially equivalent rights and remedies for alleged discriminatory housing practices.

Applicant Eligibility:

Qualified Fair Housing Enforcement Organizations or Fair Housing Enforcement Organizations and nonprofit groups organizing to build their capacity to provide fair housing enforcement.

Beneficiary Eligibility:

Any person or group of persons aggrieved by discriminatory housing practices because of race, color, religion, sex disability familial status or national origin. Also, any person or group of persons, including landlords or real estate agents, to prevent discriminatory housing practices because of race, color, religion, sex, disability, familial status or national origin.

Credentials/Documentation:

Costs for applicants that are private nonprofit entities will be determined in accordance with OMB Circular No. A-122. Applicants for enforcement related activities must provide verification of 501(c)(3) status. Applicants for funding of testing activities must certify that they will not solicit funds from or seek to provide services or products for compensation to any person or organization which has been the subject of testing by the applicant for a 12-month period following a test. Also, their testing methodology must be approved by HUD before being implemented. This program is excluded from coverage under OMB Circular No. A-87. This program is excluded from coverage under OMB Circular No. A-87. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. OMB Circular No. A-102 is excluded from this program. OMB Circular No. A-110 applies to this program. Applications must be submitted in accordance with requirements and forms provided in the Notice of Funding Availability as published in the Federal Register. Nonprofit entities and institutions of higher education must use standard forms as furnished by the Federal agency and required by OMB Circular No. A-110.

Award Procedure:

Applications will be evaluated competitively and awarded points based on the selection criteria included in the published Notice of Funding Availability. The final decision rests with the Assistant Secretary for Fair Housing and Equal Opportunity or her/his designee.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Deadline published on www.grants.gov or follow instructions above. Two to six months.

Appeals:

Two to six months.

Renewals:

None.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. This program does not have MOE requirements. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Length and Time Phasing of Assistance: Funding is in accordance with requirements and forms provided in the Notice of Funding Availability with project periods generally ranging from 12 to 36 months. Assistance provided quarterly or as specified in the grant agreement. See the following for information on how assistance is awarded/released: This assistance is awarded through funding documents and grant agreements obligating documents. Funds are released through HUD's financial system. See the following for information on how assistance is awarded/released: This assistance is awarded through funding documents and grant agreements obligating documents. Funds are released through HUD's financial system.

Reports:

Program reports are not applicable. Cash reports are not applicable. Program reports are not applicable. Cash reports are not applicable. Quarterly progress reports will be required. Private nonprofit organizations must submit all reports required pursuant to OMB Circulars A-110 and A-133, and reporting under Form HUD 96010. Expenditure reports are not applicable. Grantees are assessed on their performance of timely submission of deliverables, the quality of their submissions and their efficiency in meeting specified timelines for drawing down funds and financial management. Expenditure reports are not applicable. Grantees are assessed on their performance of timely submission of deliverables, the quality of their submissions and their efficiency in meeting specified timelines for drawing down funds and financial management.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Not applicable

Records:

None.

Account Identification:

86-0144-0-1-751.

Obligations:

(Project Grants) FY 12 \$6,374,999; FY 13 est \$9,940,420; and FY 14 est \$5,075,000

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

24 CFR Part 125, Fair Housing Initiatives Program.

Regional or Local Office:

See Regional Agency Offices. HUD Field Office Fair Housing Enforcement Center or Program Operations and Compliance Center: Director, Fair Housing and Equal Opportunity in the appropriate HUD Office listed in Appendix IV of the Catalog.

Headquarters Office:

Paula Stone 451 7th Street, S.W.
Room 5222
Washington, D.C. 20410, Washington, District of Columbia 20410 Email:
Paula.Stone@HUD.gov Phone: 2024027054

Website Address:

<http://www.hud.gov/office/ftheo/partners.FHIP/fhip.cfm>

RELATED PROGRAMS:

14.408 Fair Housing Initiatives Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No data available. Fiscal Year 2013: No current data available. Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The selection criteria that will be considered in reviewing applications will be included in the Notices of Funding Availability, as published in the Federal Register.

14.418 PRIVATE ENFORCEMENT INITIATIVES

FHIP PEI

FEDERAL AGENCY:

Office of Fair Housing and Equal Opportunity, Department of Housing and Urban Development

AUTHORIZATION:

Housing and Community Development Act of 1987, Section 561, Public Law

100-242, as amended; Housing and Community Development Act of 1992, Section 905, Public Law 102-550, 101 Stat. 1815.

OBJECTIVES:

To assist private and tax exempt fair housing enforcement in the investigation and enforcement of all alleged violations. To develop, implement, and carry out, related activities and enforcement under the Fair Housing Act; 42 U.S.C. 3601-3619 or State or local laws that provide substantially equivalent rights and remedies for alleged discriminatory housing practices. Objectives include carrying out testing and other investigative activities.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funds can be used to assist tax exempt non-profit fair housing enforcement organizations in enforcement activities.

Applicant Eligibility:

Eligible applicants be other private institutions/organizations with at least one year of enforcement related experience.

Beneficiary Eligibility:

No additional information.

Credentials/Documentation:

Applicants for funding of testing activities must certify that they will not solicit funds from or seek to provide services or products for compensation to any person or organization which has been the subject of testing by the applicant for a 12-month period following a test. Also, their testing methodology must be approved by HUD before being implemented. OMB Circular No. A-87 applies to this program. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Applications must be submitted in accordance with requirements and forms provided in the Notice of Funding Availability as published in the Federal Register.

Award Procedure:

Applications will be evaluated competitively and awarded points based on the Selection Criteria included in the published Notice of Funding Availability. The final decision rests with the Assistant Secretary for Fair Housing and Equal Opportunity or her/his designee.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Deadlines may be found at www.Grants.gov or contact the headquarters or regional office as appropriate for application deadlines.

One to two months.

Appeals:

One to two months.

Renewals:

None.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Funding is in accordance with requirements and forms provided in the Notice of Funding Availability with project periods ranging from 12 to 36 months. See the following for information on how assistance is awarded/released:
Assistance is awarded through funding documents and negotiated grant

agreements and HUD financial system releases funds based on reimbursement of activities. See the following for information on how assistance is awarded/released: Assistance is awarded through funding documents and negotiated grant agreements and HUD financial system releases funds based on reimbursement of activities.

Reports:

Program reports are not applicable. Cash reports are not applicable. Program reports are not applicable. Cash reports are not applicable. Quarterly progress reports will be required. Additionally, private nonprofit organizations must submit all reports required pursuant to OMB Circulars A-110 and A-133, and reporting under Form HUD 96010. Tax exempt fair housing enforcement organizations. Expenditure reports are not applicable. Quarterly progress reports will be required. Expenditure reports are not applicable. Quarterly progress reports will be required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

None.

Account Identification:

86-0144-0-1-751.

Obligations:

(Cooperative Agreements) FY 12 \$30,050,000; FY 13 est \$25,048,694; and FY 14 est \$29,275,000

Range and Average of Financial Assistance:

\$325,000-\$975,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: 65 grants were funded. Fiscal Year 2013: No current data available. Fiscal Year 2014: No data available.

REGULATIONS, GUIDELINES, AND LITERATURE:

24 CFR Part 125, Fair Housing Initiatives Program.

Regional or Local Office:

See Regional Agency Offices. HUD Field Office Fair Housing Enforcement Center or Program Operations and Compliance Center: Director, Fair Housing and Equal Opportunity in the appropriate HUD Office listed in Appendix IV of the Catalog.

Headquarters Office:

Paula Stone 451 7th Street, S.W.
Room 5222
Washington, D.C. 20410, Washington, District of Columbia 20410 Email:
Paula.Stone@HUD.gov Phone: (202) 402-7054

Website Address:

<http://www.hud.gov/offices/fheo/partners/FHIP/fhip.cfm>

RELATED PROGRAMS:

14.408 Fair Housing Initiatives Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: 50 grants were projected to be funded in 2012. There were 65 grants actually funded. Fiscal Year 2013: Not known. Fiscal Year 2014: Not known.

CRITERIA FOR SELECTING PROPOSALS:

The selection criteria that will be considered in reviewing applications will be included in the Notices of Funding Availability, as published in the Federal Register.

14.419 SECTION 3 COORDINATION AND IMPLEMENTATION

STB

FEDERAL AGENCY:

Office of Fair Housing and Equal Opportunity, Department of Housing and Urban Development

AUTHORIZATION:

Housing and Urban Development Act of 1968 as amended. 12 U.S.C. 1701u-Economic Opportunities for Low-and Very Low-Income Persons; 42 U.S.C. 3535(d). 24 CFR Part 135.

OBJECTIVES:

To ensure that new employment, training, and contracting opportunities generated from the expenditure of certain HUD funding are directed to low- and very low-income residents of the community where the funds are spent and the businesses that are either owned by, or substantially employ, these persons.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Competitive funding awarded to subsidize the administrative expenses associated with hiring coordinators to carry out day-to-day Section 3 responsibilities consistent with 24 CFR Part 135 for a period of one-year. Eligible projects and activities must be conducted in accordance with procedures contained in the HUD Notice of Funding Availability. Competitive funding awarded to subsidize the administrative expenses associated with hiring coordinators to carry out day-to-day Section 3 responsibilities consistent with 24 CFR Part 135 for a period of one-year. Eligible projects and activities must be conducted in accordance with procedures contained in the HUD Notice of Funding Availability.

Applicant Eligibility:

Any entity which receives Section 3 covered financial assistance directly from HUD is eligible to compete for funding. Such entities include: any State, unit of local government, Public Housing, Indian Tribe, other public body, or public or private nonprofit organization. Applicants must be in compliance with the regulatory requirements of Section 3 in order to apply for competitive funding.

Beneficiary Eligibility:

Public Housing Residents; Individuals residing in the metropolitan area or non-metropolitan county in which the Section 3 covered assistance is expended who meet HUD's definitions of low or very low-income in Section 3(b)(2) of the 1937 Housing Act; and Business that meet the definition of a Section 3 Business Concern as set forth at 24CFR Part 135.5.

Credentials/Documentation:

Costs for applicants will be determined in accordance with OMB Circular No. A-122. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applications must be submitted in accordance with requirements and forms provided in the HUD Notice of Funding Availability as published in the Federal Register. State and local government applicants must use the standard forms as furnished by the Federal agency and required by OMB Circular No. A-102. Nonprofit entities and institutions of higher education must use standard forms as furnished by the Federal agency and required by OMB Circular No. A-110.

Award Procedure:

Applications are evaluated competitively and awarded points based on the Selection Criteria set forth in the published HUD Notice of Funding Availability. The final decision rests with the Assistant Secretary for Fair Housing and Equal Opportunity or her/his designee.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days. Deadlines for submissions are set forth in the published HUD Notice of Funding Availability. 2 to 6 months.

Appeals:

From 120 to 180 days. 2 to 6 months.

Renewals:

None.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: Applicants must demonstrate the ability to subsidize additional administrative costs and/or fully fund this position beyond the 1-year timeframe that competitive funding was provided.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Funding is provided in accordance with requirements and forms provided in the Notice of Funding Availability and shall not extend beyond a 1-year (12 month) period. Assistance provided quarterly or as specified in the grant agreement.

Method of awarding/releasing assistance: lump sum.

Reports:

Program reports are not applicable. Cash reports are not applicable. Quarterly progress reports and final reports are required. Recipient agencies must submit all reports required pursuant to OMB Circulars A-110 and A-133, and reporting under Form HUD 96010. Expenditure reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

None.

Account Identification:

86-0402-0-2-751.

Obligations:

(Project Grants) FY 12 \$600,000; FY 13 est \$0; and FY 14 est \$0

Range and Average of Financial Assistance:

\$50,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: In FY 2013, eleven of the twelve grantees that received funding under this program successfully completed all required activities, submitted final reports, and implemented closeout procedures. Grantee assessments indicate that each Section 3 Coordinator that was hired as a result of this funding carried out to ensure compliance with the regulatory requirements at 24 CFR part 135. The remaining grantee will complete all required activities and closeout procedures in FY 2014. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

24 CFR Part 135, Economic Opportunities for Low- and Very Low-Income Persons

Regional or Local Office:

See Regional Agency Offices. HUD Field Office of Fair Housing and Equal Opportunity in the appropriate HUD Office listed in Appendix IV of the Catalog.

Headquarters Office:

Staci Gilliam 451 7th Street, S.W., Room 5236, Washington, District of Columbia 20410 Email: staci.gilliam@hud.gov Phone: 202-402-3468

Website Address:

<http://www.hud.gov/Section3>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The selection criteria for reviewing applications are set forth in the HUD Notices of Funding Availability, as published in the Federal Register.

14.420 FAIR HOUSING INITIATIVES PROGRAM ENFORCEMENT TESTING TECHNICAL ASSISTANCE

ETA

FEDERAL AGENCY:

Office of Fair Housing and Equal Opportunity, Department of Housing and Urban Development

AUTHORIZATION:

Housing and Community Development Act of 1987, Section 561, Public Law 100-242, as amended; Housing and Community Development Act of 1992, Section 905, Public Law 102-550, 101 Stat. 1815.

OBJECTIVES:

To assist private and tax exempt fair housing enforcement organizations in the investigation and enforcement of all alleged violations. To develop, and implement tester training, and enforcement under the Fair Housing Act: 42 U.S.C. 3601-3619 or State or local laws that provide substantially equivalent rights and remedies for alleged discriminatory housing practices. Objectives also include providing tester guidance and other investigative activities.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Training of all organizations carrying out fair housing testing and testing activities.

Applicant Eligibility:

Organizations with the capability to provide training and have knowledge of testing and testing methodologies. Non-profit tax exempt organization with at least three years of experience in training of large groups.

Beneficiary Eligibility:

Qualified fair housing enforcement organizations and fair housing enforcement organizations and others interested in conducting fair housing testing.

Credentials/Documentation:

Beneficiaries and applicants for funding of testing activities must certify that they will not solicit funds from or seek to provide services or products for compensation to any person or organization which has been the subject of testing by the applicant for a 12-month period following a test. Also, their testing methodology must be approved by HUD before being implemented. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Applications must be submitted in accordance with requirements and forms provided in the Notice of Funding Availability as published in the Federal Register.

Award Procedure:

Applications will be evaluated competitively and awarded points based on the Selection Criteria included in the published Notice of Funding Availability. The final decision rests with the Assistant Secretary for Fair Housing and Equal Opportunity or her/his designee.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days. Two to six months.

Appeals:

From 120 to 180 days. Two to six months.

Renewals:

None.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Funding is in accordance with requirements and forms provided in the Notice of Funding Availability with project periods ranging from 12 to 36 months. See the following for information on how assistance is awarded/released: Grant. Method of awarding/releasing assistance: lump sum.

Reports:

Program reports are not applicable. Cash reports are not applicable. Quarterly progress reports will be required. Additionally, private nonprofit organizations must submit all reports required pursuant to OMB Circulars A-110 and A-133, and reporting under Form HUD 96010. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

None.

Account Identification:

86-0402-0-1-751.

Obligations:

(Cooperative Agreements) FY 12 \$380,000; FY 13 est \$0; and FY 14 est \$0

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

24 CFR Part 125, Fair Housing Initiatives Program for information on testing

Regional or Local Office:

See Regional Agency Offices. Myron Newry, 451 7th Street, S.W. Room 5224, Washington, District of Columbia 204010
Email: Myron.P.Newry@hud.gov Phone 202-402-7095.

Headquarters Office:

Myron Newry, 451 7th Street, S.W., Room 5224, Washington, District of Columbia 20410 Email: Myron.P.Newry@hud.gov Phone: (202) 402-7095.

Website Address:

<http://www.hud.gov/offices/fheo/partners/FHIP/fhip.cfm>

RELATED PROGRAMS:

14.401 Fair Housing Assistance Program_State and Local; 14.408 Fair Housing Initiatives Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: No current data available. Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The selection criteria that will be considered in reviewing applications will be included in the Notices of Funding Availability, as published in the Federal Register.

14.421 LIMITED ENGLISH PROFICIENCY INITIATIVE

FHENG

FEDERAL AGENCY:

Office of Fair Housing and Equal Opportunity, Department of Housing and Urban Development

AUTHORIZATION:

Consolidated Appropriations Act of 2012, Public Law 112-55.

OBJECTIVES:

To help ensure meaningful access to HUD-related programs, services, and activities by Limited English Proficient (LEP) persons.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Financial assistance is provided to develop tools and products and deliver seminars that provide assistance to LEP communities in accessing HUD-related programs, services, and activities.

Applicant Eligibility:

Eligible applicants must be non-profit organizations engaged in the process of providing meaningful access to HUD-related programs, services, and activities by Limited English Proficient (LEP) persons.

Beneficiary Eligibility:

None.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applications must be submitted in accordance with requirements and forms provided in the Notice of Funding Availability as published in the Federal Register. State and local government applicants must use the standard forms as furnished by the federal agency and required by OMB Circular No. A-102. Nonprofit entities and institutions of higher education must use standard forms furnished by the federal agency.

Award Procedure:

Applications will be evaluated competitively and awarded points based on the Selection Criteria included in the published Notice of Funding Availability. The final decision rests with the Assistant Secretary for Fair Housing and Equal Opportunity or her/his designee.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days.

Appeals:

From 120 to 180 days.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Funding is in accordance with requirements and forms provided in the Notice of funding Availability with project periods generally ranging from 12 to 18 months. Assistance is provided quarterly or as specified in the grant agreement.

See the following for information on how assistance is awarded/released: In FY 2012, funds are being contracted and projected for FY 2013. Method of awarding/releasing assistance: quarterly.

Reports:

Program reports are not applicable. Cash reports are not applicable. Quarterly progress reports will be required. private nonprofit organizations must submit all reports required pursuant to OMB Circulars A-110 and A-113, and reporting under Form HUD 96010. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

None.

Account Identification:

86-0144-0-1-751.

Obligations:

(Cooperative Agreements) FY 12 Not Available; FY 13 est \$584,308; and FY 14 est \$500,000

Range and Average of Financial Assistance:

Approximately \$100,000 per award.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

24 CFR Part 1 Non-discrimination in Federally Assisted programs of the Department of Housing and Urban Development - Affection of the Title VI of the Civil Rights Act of 1964, "Final Guidance to Federal Financial Assistance Recipients Regarding Title VI Prohibition Against National Origin Discrimination Affecting Limited English Proficient persons," 72 Federal Register 2732.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

George D. Williams 451 7th Street, S.W>, Washington, District of Columbia 20410 Email: George.D.Williams@Hud.gov Phone: 202-402-3983

Website Address:

<http://www.hud.gov/offices/ftheo/promotingfh/lep.cfm>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The selection criteria that will be considered in reviewing applications will be included in the Notices of Funding Availability, as published in the Federal Register.

14.535 TRANSFORMATION INITIATIVE: RENTAL ASSISTANCE DEMONSTRATION SMALL RESEARCH GRANT PROGRAM**FEDERAL AGENCY:**

Office of Policy Development and Research, Department of Housing and Urban Development

AUTHORIZATION:

Consolidated and Further Continuing Appropriations Act, 2012. This program is undertaken by HUD's research authority under the Transformation Initiative (TI) account., Public Law 112-55.

OBJECTIVES:

To support research projects that will produce policy-relevant new knowledge about the implementation and consequences of HUDs Rental Assistance Demonstration (RAD), which allows proven financing tools to be applied to

at-risk public and assisted housing.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

Funds awarded under this NOFA must be used to support direct and indirect costs incurred in the timely completion of the research product. 1. Funds awarded under this NOFA may not be used to pay for activities outside the scope of this NOFA. 2. Funding will only be provided to researchers who meet the standards for eligible applicants outlined in Section III (A). 3. Funds awarded under this NOFA may not be used to pay for computer hardware and/or meals.

4. Honorariums cannot be charged against awards under this program.

5. Fee or profit will not be paid for work done under this NOFA. 6.

Dissertation support is not eligible under this program. 7. Applicants must comply with the Delinquent Federal Debt Requirement as defined in the.

Applicant Eligibility:

N/A.

Beneficiary Eligibility:

N/A.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102.

OMB Circular No. A-110 applies to this program. Applications can be downloaded from the web at

<http://www.grants.gov/applicants/apply-for-grants.jsp>. Applicants must submit complete applications including all content described in the NOFA, Section IV.

B. Applications must be submitted through grants.gov.

Award Procedure:

Grants will be awarded as cooperative agreements. Proposals will be ranked according to criteria specified in the NOFA Section V. HUD will fund applications in rank order until all available program funds are awarded.

Applications must receive a minimum of 75 points out of a possible 100 points to be considered for funding.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching requirements are not applicable to this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Award period is for 3 years or (36 months). Method of awarding/releasing assistance: by letter of credit.

Reports:

Program reports are not applicable. Cash reports are not applicable. Report is required quarterly until the end of the grant. At the end of the grant a final report is required. The SF-425 is required to be submitted. The GTR will monitor the status of each grant/cooperative agreement awarded.

Audits:

Not Applicable.

Records:

The official applicant must maintain information on the grant/cooperative agreement, which includes disbursements.

Account Identification:

86-0402-0-1-451.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 12 \$0; FY 13 est \$400,000; and FY 14 Estimate Not Available

Range and Average of Financial Assistance:

The maximum award amount is \$200,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None. N/A.

Headquarters Office:

Sandra Susan Brunson 451 7th Street, Room 8226, Washington, District of Columbia 20410 Email: Susan.S.Brunson@hud.gov Phone: 2024023852

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

A competitive process is used in selecting applications for award. Criteria will be published in the Notice of Funding Availability.

14.705 CAPACITY BUILDING FOR SUSTAINABLE COMMUNITIES

Capacity Building

FEDERAL AGENCY:

Office of Sustainable Housing and Community, Department of Housing and Urban Development

AUTHORIZATION:

HUDs FY 2012 Transformation Initiative (Consolidated and Further Continuing Appropriations Act, 2012 (Public Law 112-55, November 18, 2011)) and HUDs FY 2013 Transformation Initiative (Consolidated and Further Continuing Appropriations Act, 2013 (Public Law 113-6, March 26, 2013)).

OBJECTIVES:

The Capacity Building for Sustainable Communities Program will identify one or two consortia comprised of intermediary organizations that can provide capacity building support for communities engaged in planning efforts that support community involvement and integrate economic and workforce development, housing, land use, land cleanup and preparation for reuse, transportation, and infrastructure investments. The grantee(s) selected will be expected to deliver capacity building support to communities across the United States and to maintain a national coalition and leadership network to provide online, virtual, and in-person training, technical assistance, and peer exchange to share successful strategies, lessons learned, emerging tools and public engagement strategies..

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

Eligible activities include tasks necessary to achieve the overall capacity building outcomes of the Partnership for Sustainable Communities including, but not limited to, the following:

a. Build technical skills of Sustainable Communities Grantees to achieve their

program objectives.

- b. Document model sustainability policies, codes, and standards for broad dissemination.
- c. Provide selected case management as designated by HUD.
- d. Distribute models for governance and engagement.
- e. Enable peer exchange.
- f. Facilitate conference and convening opportunities.
- g. Develop appropriate monitoring and evaluation tools. Funds use will be subject to statutory constraints. All awards are subject to the funding restrictions described in detail in Section IV, Subpart E of the General Section of the NOFA.

Applicant Eligibility:

Under this program, it is anticipated that a consortium will be comprised of a combination of the following eligible entities:

- a. A 501(c)(3) nonprofit organization;
- b. A local or state public agency;
- c. A for-profit organization (for-profit firms are eligible, however, while they are allowed to cover their direct and indirect costs, they are not allowed to earn a profit from the project, and they are not eligible to receive EPA funding);
- d. A nationally recognized and accredited University or College; or
- e. Any combination of the aforementioned entities as a Capacity Building Team or Consortium to combine their skills and offer a coordinated program. A Capacity Building Team must designate a Lead Applicant to act as the fiscal agent for the grant.

Beneficiary Eligibility:

The selected grantees(s) will provide technical assistance to the FY 2010 and FY 2011 HUD Sustainable Communities Regional Planning and Community Challenge grant recipients, HUD Preferred Sustainability Status Communities, and select EPA Sustainable Community Technical Assistance (pending availability of EPA funding) recipients (collectively Sustainable Communities Grantees). Eligible EPA beneficiaries include those under the Brownfield Area-Wide Planning Program, the Partnership for Sustainable Communities Brownfields Planning Pilot Program, and technical assistance recipients from EPA's Office of Sustainable Communities from June 2009 to April 2013 (e.g., under the Smart Growth Implementation Assistance Program, the Greening Americas Capitals Program, and the Building Blocks for Sustainable Communities Program).

Credentials/Documentation:

To receive an award of funds, all members of the applicant consortium must meet all threshold requirements contained in HUDs Fiscal Year 2013 Notice of Funding Availability (NOFA) Policy Requirements, the General Section to HUDs FY 2013 NOFAs for Discretionary Programs, and the requirements of this program, including the Dun and Bradstreet Universal Numbering System (DUNS) Number and System for Awards Management (SAM) that consolidated the capabilities of Central Contractor Registration (CCR), compliance with Fair Housing and Civil Rights Laws, provisions relating to Delinquent Federal Debts, and Name Check Review.

Additional threshold requirements include:

- a. Match. Applicants are required to provide a minimum of 10 percent of the total grant funds requested in match or in-kind contributions. In-kind contributions may be in the form of staff time, donated materials, services, or other allowable uses that contribute directly to the completion of the funding request.
- b. Eligibility requirements. Applicants must meet the eligibility requirements in Section III.A.1. Applicants, if selected for funding, must be prepared to be fully staffed and ready to perform all approved work activities within 30 days of award. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program.

Award Procedure:

Successful applicants will receive a letter from the Office of Sustainable

Housing and Communities providing details regarding the effective start date of the cooperative agreement and any additional data and information that must be submitted to execute the award. This letter is not an authorization to begin work or incur costs under the cooperative agreement.

HUD will require selected intermediaries to participate in negotiations to determine the specific terms of the cooperative agreement, and budget. Selected intermediaries will also be required to develop a Logic Model which identifies the activities and associated outputs as well as outcomes, upon which HUD will rely. If HUD is not able to successfully conclude negotiations with a selected intermediary, HUD will not make an award. If the applicant accepts the terms and conditions of the cooperative agreement, a signed cooperative agreement must be returned by the date specified.

For a period of at least 60 days, beginning 15 days after the awards for assistance are publicly announced, HUD will provide to a requesting applicant a debriefing related to its application. A request for debriefing must be made in writing or by email by the authorized official whose signature appears on the SF424 or by his or her successor in office, and be submitted to the person or organization identified as the contact under the section entitled Agency Contact in the NOFA.

Deadlines:

Aug 07, 2013 Applications are due by 11:59:59 PM Eastern time on August 7, 2013.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
Matching Requirements: Percent: 10%.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The period of performance will be 18 months. The period of availability of funds shall be in compliance with 24 CFR part 85.23. A grantee must liquidate all obligations incurred under the award not later than 90 days after the end of the performance period. See the following for information on how assistance is awarded/released: Assistance is released upon approval of vouchers for reimbursement of expenditures.

Reports:

Successful applicants will be required to submit semiannual and final program and financial reports according to the requirements of the Office of Sustainable Housing and Communities. Grantees must complete the SF-425. and the Office of Sustainable Housing and Communities Program Report Template. Awards and subawards made either as pass-through awards, subrecipient awards, or vendor awards and executive compensation information must be reported in the federal government-wide website www.fhrs.gov or its successor system in accordance with the requirements of Federal Financial Assistance Accountability and Transparency Act of 2006, as amended by section 6202 of Public Law 110-252, and OMB Guidance issued to the Federal agencies on September 14, 2010 (75 FR 55669) and in OMB Policy guidance. Performance monitoring will be conducted by the Office of Sustainable Housing and Communities.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

No Data Available.

Account Identification:

Obligations:

(Cooperative Agreements) FY 12 \$0; FY 13 est \$4,500,000; and FY 14 Estimate Not Available

Range and Average of Financial Assistance:

\$2,000,000 to \$4,500,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Roula K. Sweis 451 7th Street SW
Room 10180, Washington, District of Columbia 20410 Email:
Roula.K.Sweis@hud.gov Phone: (202) 402-5645 Fax: (202) 708-0465

Website Address:

http://portal.hud.gov/hudportal/HUD?src=/program_offices/sustainable_housing_communities

RELATED PROGRAMS:

14.703 Sustainable Communities Regional Planning Grant Program; 14.704 Community Challenge Planning Grants and the Department of Transportation's TIGER II Planning Grants

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No new awards. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Selection Criteria

Rating Factor 1: Capacity of the Applicant and Relevant Organizational Experience (40 points).

- a. Organizational Capacity and Qualification (20 points).
- b. Capability and Qualification of Key Personnel (10 points).
- c. Experience Delivering Capacity Specific to the Needs of Sustainable Communities Grantees (10 points).

Rating Factor 2: Understanding of Sustainability (10 points).

Rating Factor 3: Soundness of Approach (40 points).

- a. Assessing the Needs for Capacity within the Cohort (10 points).
- b. Capacity Building and Technical Assistance Delivery (15 points).
- c. Ability to Bring Capacities to Grantees that Explicitly Advance their Project Implementation (2 points).
- d. Process to Disseminate Lessons Learned from Capacity Building to a Broader Constituency (3 points).
- e. Ability to Build on the Existing Sustainable Communities Learning Network (7 points).
- f. Budget Proposal (3 points).

Rating Factor 4: Leveraging Additional Resources (5 points).

Rating Factor 5: Achieving Results and Program Evaluation (5 points).

14.850 PUBLIC AND INDIAN HOUSING

FEDERAL AGENCY:

Office of Public and Indian Housing, Department of Housing and Urban Development

AUTHORIZATION:

Housing Act of 1937, as amended; Omnibus Appropriations Act 2009, Public Law 111-8; Further Continuing Appropriations Act, 2013, Public Law 113-6.

OBJECTIVES:

To provide and operate cost-effective, decent, safe and affordable dwellings for lower income families through an authorized local Public Housing Agency (PHA).

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

Operating subsidy funds are provided to PHAs to achieve and maintain adequate operating and maintenance service and reserve funds. Funds are made to PHAs based on the extent to which their projected total allowable expenses (as determined by formula) exceed their projected income (also as determined by formula) from rents and other sources. Use restricted to activities specified in the Housing and Community Development Act of 1937, Section 9 (e), Operating Fund.

Applicant Eligibility:

Public Housing Agencies established in accordance with State law are eligible. The proposed program must be approved by the local governing body. Pursuant to the Native American Housing Assistance and Self Determination Act of 1996, Indian Housing Authorities (IHAs) are no longer eligible for funding under the U.S. Housing Act (of 1937) or the Indian Housing Act.

Beneficiary Eligibility:

Lower income families which include citizens or eligible immigrants. The term "Families" includes but is not limited to: (1) a family with or without children; (2) an elderly family (head, spouse, or sole member 62 years or older), (3) near elderly family (head, spouse, or sole member 50 years old but less than 62 years old); (4) a disabled family; (5) a displaced family; (6) the remaining member of a tenant family; or (7) a single person who is neither elderly, near-elderly, displaced, or a person with disabilities.

Credentials/Documentation:

The applicant must support the application/proposal by furnishing data that the program is consistent with the Public Housing Agency (PHA) Plan (Quality Housing and Work Responsibility Act of 1998). The PHA Plan is designed for both long-term and short-term strategies for addressing the housing needs of the community. The proposed program should demonstrate that it is responsive to the PHA Plan by identifying conditions of the housing stock in the community and the housing assistance needs of lower-income families (including the elderly, persons with disabilities, large families and those displaced or who will be displaced) residing in or expected to reside in the community. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. PHAs may submit a full proposal (HUD-52483-A) which includes, but is not limited to a project description, development method, offer of sale of real property, site information and financial feasibility pursuant to 24 CFR Part 941 and Handbook 7417.1 REV-1 and, if applicable, additional statutory or policy requirements governing the funds provided for the particular fiscal year. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

Usually the HUD Field Office Manager makes final decision to approve individual applications. Also, agencies must have Annual Contribution Contract and Cooperative Agreement.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. Approximately 60 days from the deadline for applications for processing applications/proposals.

Appeals:

From 30 to 60 days.

Renewals:

From 30 to 60 days.

Formula and Matching Requirements:

Statutory Formula: Title Operating Fund, Part 24 CFR , Subpart 990.

This program has no matching requirements.

This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

Contributions made annually for up to 30 years for development and 20 years for modernization program reservations approved through September 30, 1986 for projects with permanent financing. Annual appropriations of capital funds for development and modernization started October 1, 1986. Projects funded with capital funds after October 1, 1986 will have contract terms of 40 years. See the following for information on how assistance is awarded/released: Funding is provided based on submission of Operating Fund calculation (Form HUD-52723 and HUD-52722).

Reports:

Program reports are not applicable. Cash reports are not applicable. Progress reports are not applicable. Financial statements are required from PHAs annually. Submission of family report (HUD-50058) is required from PHAs.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Periodic fiscal, management, maintenance and energy audits.

Records:

Those necessary to indicate compliance with Annual Contributions Contract.

Account Identification:

86-0163-0-1-604.

Obligations:

(Formula Grants) FY 12 \$3,349,119,303; FY 13 est \$3,434,761,792; and FY 14 est \$3,893,098,959

Range and Average of Financial Assistance:

From \$92 to \$895,799,412; Average \$1,516,186.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: The Department made available \$3.35 billion in operating subsidies for approximately 1.2 million public housing units. Fiscal Year 2013: The Department projects to make available \$3.43 billion in operating subsidies for approximately 1.1 million public housing units. Fiscal Year 2014: The Department projects to make available \$3.9 billion in operating subsidies for approximately 1.1 million public housing units.

REGULATIONS, GUIDELINES, AND LITERATURE:

24 CFR 990, 24 CFR 941, Handbook 7417.1 (Rev.-1) (Public Housing-Development Handbook), Handbook 7465.1 Rev-2. (Public Housing Occupancy: Admission).

Regional or Local Office:

See Regional Agency Offices. HUD Field Office listed in the Catalog Address Appendix IV that has jurisdiction over the area in which the dwellings are to be located.

Headquarters Office:

Shauna Sorrells 451 7th Street, S.W., Washington, District of Columbia 20410
Email: Shauna.M.Sorrells@hud.gov Phone: 202.402.2769

Website Address:

<http://www.hud.gov/offices/pih/programs/ph/am/>

RELATED PROGRAMS:

14.866 Demolition and Revitalization of Severely Distressed Public Housing;
14.867 Indian Housing Block Grants; 14.872 Public Housing Capital Fund

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

14.856 LOWER INCOME HOUSING ASSISTANCE PROGRAM SECTION 8 MODERATE REHABILITATION

(Section 8 Housing Assistance Payments Program for Very Low Income Families-Moderate Rehabilitation)

FEDERAL AGENCY:

Office of Public and Indian Housing, Department of Housing and Urban Development

AUTHORIZATION:

Housing Act of 1937; Omnibus Appropriations Act 2009, Public Law 111-8.

OBJECTIVES:

To aid very low income families in obtaining decent, safe and sanitary rental housing.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

Provides housing assistance payments to participating owners on behalf of eligible tenants to provide decent, safe and sanitary housing for very low income families at rents they can afford. Housing assistance payments are used to make up the difference between the approved rent due to the owner for the dwelling unit and the occupant family's required contribution towards rent. Assisted families must pay the highest of 30 percent of their monthly adjusted family income, 10 percent of gross family income, or the portion of welfare assistance designated for housing toward rent. This program is inactive, i.e., no new projects are being approved.

Applicant Eligibility:

An authorized Public Housing Agency (any State, county, municipality or other governmental entity or public body (or agency or instrumentality thereof).

Beneficiary Eligibility:

Very low income families (whose income does not exceed 50 percent of the median income for the area as determined by the Secretary with adjustments for smaller and larger families) and, on an exception basis, lower income families (whose income does not exceed 80 percent of the median income for the area adjusted for small and large families). A very low income or, on an exception basis, lower income single person who is elderly, disabled or handicapped, displaced, or the remaining member of an eligible tenant family is also eligible.

Credentials/Documentation:

This program is no longer funded for new applications and awards. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. No applications for new projects are being accepted.

Award Procedure:

No applications for new projects are being accepted.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Eligible owners may request a one-year Housing Assistance Payments Contract renewal. Requests must be made at least 75 days prior to Contract expiration.

Formula and Matching Requirements:

Statutory Formula: Title 24 CFR.

This program has no matching requirements.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Under the Moderate Rehabilitation Program, payments may be made for 180 days. Assistance may be extended for 12 months at a time when an eligible owner requests a Housing Assistance Payments Contract renewal. See the following for information on how assistance is awarded/released: Funds are disbursed monthly.

Reports:

Supporting Data for Annual Contributions Estimates, HUD Form 52672; Estimate of Total Required Annual Supporting Data for Annual Contributions Estimates, HUD Form 52672; Estimate of Total Required Annual Contributions, HUD Form 52673; and Requisition for Partial Payment of Annual Contributions, HUD Form 52663; Voucher for Payment of Annual Contributions and Operating Statement, HUD Form 52681 and 52681-B; Report on Program Utilization, HUD Form 52683; Family Report, HUD Form 50058. Cash reports are not applicable. Progress reports are not applicable. Voucher for Payment of Annual Contributions and Operating Statement, HUD Form 52681 and 52681-B; Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Periodic fiscal, occupancy, general management and maintenance audits.

Records:

Those necessary to indicate compliance with Annual Contributions Contract/Housing Assistance Payments Contract.

Account Identification:

86-0303-0-1-604.

Obligations:

(Direct Payments for Specified Use) FY 12 \$199,251,571; FY 13 est \$208,492,680; and FY 14 est \$217,000,000

Range and Average of Financial Assistance:

N/A.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: The program served 23,398 families. Fiscal Year 2013: The program projects to serve 23,000 families. Fiscal Year 2014: The program projects to serve 22,500 families.

REGULATIONS, GUIDELINES, AND LITERATURE:

24 CFR Part 882; Section 8 Housing Assistance Payments Program, Existing Housing and Moderate Rehabilitation Processing Handbook, 7420.3; PHA Administrative Practices Handbook for the Section 8 Existing Housing Program, 7420.7; Accounting Handbook 7420.6; Section 8 Rental Certificate, Rental Voucher, and Moderate Rehabilitation Programs Forms, Legal Contracts and Publications, Handbook 7420.7; PIH Notice 2001-13.

Regional or Local Office:

See Regional Agency Offices. The HUD Office listed in the Catalog Address Appendix IV has jurisdiction over the area in which the dwellings are to be located.

Headquarters Office:

Laure Rawson, 451 7th Street, S.W., Room 4210, Washington, District of Columbia 20410 Email: Laure.Rawson@hud.gov Phone: (202) 708-0477.

Website Address:

<http://www.hud.gov/progdsc/pihindx.html>.

RELATED PROGRAMS:

14.850 Public and Indian Housing; 14.871 Section 8 Housing Choice Vouchers

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

14.862 INDIAN COMMUNITY DEVELOPMENT BLOCK GRANT PROGRAM

FEDERAL AGENCY:

Office of Public and Indian Housing, Department of Housing and Urban Development

AUTHORIZATION:

Housing and Community Development Act of 1974.

OBJECTIVES:

To provide assistance to Indian tribes and Alaska Native villages in the development of viable Indian communities.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Indian tribes and Alaska Native villages may use block grants to improve the housing stock, provide community facilities, make infrastructure improvements, and expand job opportunities by supporting the economic development of their communities. Activities which are eligible for funding include housing rehabilitation programs, acquisition of land for housing, direct assistance to facilitate homeownership among low and moderate income persons, construction of tribal and other facilities for single or multi-use, streets and other public facilities, and economic development projects particularly those by nonprofit tribal organizations or local development corporations when the recipient determines that the provision of such assistance is appropriate to carry out an economic development project. Tribes and Alaska Native villages are restricted from using block grants for construction and improvement of governmental facilities, the purchase of equipment, general government expenses, operating and maintenance expenses, political activities, new housing construction (except through community-based development organizations (CBDOs)), and income payments.

Applicant Eligibility:

Any Indian tribe, band, group, nation, or tribal organization, including Alaska Indians, Aleuts, and Eskimos, and any Alaska Native village that is eligible for assistance under the Indian Self-Determination and Education Assistance Act or which had been eligible under the State and Local Fiscal Assistance Act of 1972.

Beneficiary Eligibility:

The principal beneficiaries of ICDBG funds are low and moderate income persons. Low and moderate income is generally defined as 80 percent of the median income, as determined by HUD, adjusted for family size.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State, local, and Indian tribal governments. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Applicants must file an application on forms prescribed by HUD, which describe the community development need and how that need will be addressed by the proposed project. The application must provide sufficient information for the project to be rated against selection criteria.

Award Procedure:

The Office of Native American Programs (ONAP) Area Office is responsible for rating and approving applications and for notifying applicants of the results.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Limitations, conditions, and requirements specified in NOFA.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Assistance is available until project completion, usually within two years. See the following for information on how assistance is awarded/released: Grant agreements are executed and funds are made available through HUD's financial system.

Reports:

No program reports are required. Grant recipients are required to submit quarterly financial reports. Grant recipients are required to submit annual status and evaluation reports. No expenditure reports are required. No performance monitoring is required.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. In accordance with the provisions of OMB Circular No. A-133, (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," non-federal entities that expend financial assistance of \$500,000 or more in Federal Awards will have a single or a program-specific audit conducted for that year. Non-federal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for the year, except as noted in Circular No. A-133.

Records:

All records applicable to the assistance project must be kept for three to five years following the submission of the final expenditure report or until all audit findings have been resolved.

Account Identification:

86-0162-0-1-451.

Obligations:

(Project Grants) FY 12 \$60,000,000; FY 13 est \$56,861,640; and FY 14 est \$70,000,000

Range and Average of Financial Assistance:

\$600,000 to \$5,500,000; Average \$700,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: The program provided funding for housing rehabilitation, new construction, infrastructure, community facilities, reducing imminent threats, and economic development in tribal communities. Fiscal Year 2013: The program will provide funding for housing rehabilitation, new construction, infrastructure, community facilities, reducing imminent threats, and economic development in tribal communities. Fiscal Year 2014: The program will provide funding for housing rehabilitation, new construction, infrastructure, community facilities, reducing imminent threats, and economic development in tribal communities.

REGULATIONS, GUIDELINES, AND LITERATURE:

24 CFR 1003.

Regional or Local Office:

See Regional Agency Offices. Contact appropriate HUD Office of Native American Programs (ONAP) Area Office listed in Appendix IV of the Catalog.

Headquarters Office:

Rodger Boyd, 451 7th Street, S.W., Room 4126, Washington, District of Columbia 20410 Email: Rodger.J.boyd@hud.gov Phone: (202) 401-7914.

Website Address:

<http://www.hud.gov/offices/pih/ih/grants/icdbg.cfm>.

RELATED PROGRAMS:

14.867 Indian Housing Block Grants; 15.124 Indian Loans_Economic Development; 15.141 Indian Housing Assistance; 93.612 Native American Programs

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications must be submitted by any eligible tribe or Alaska Native Village which has the capacity to administer a grant. Applications are then rated against factors which measure impact and quality.

14.865 PUBLIC AND INDIAN HOUSING_INDIAN LOAN GUARANTEE PROGRAM

(Loan Guarantees for Indian Housing)

FEDERAL AGENCY:

Office of Public and Indian Housing, Department of Housing and Urban Development

AUTHORIZATION:

Housing and Community Development Act of 1992, Public Law 102-550, 12 U.S.C 1515z - 13a.

OBJECTIVES:

To provide homeownership opportunities to Native Americans, Tribes, Indian Housing Authorities including Tribally Designated Housing Entities (TDHEs), and Indian Housing Authorities on Indian land, through a guaranteed mortgage loan program available through private financial institutions.

TYPES OF ASSISTANCE:

GUARANTEED/INSURED LOANS

USES AND USE RESTRICTIONS:

Mortgage loans are for the acquisition or rehabilitation of existing homes, purchase and rehabilitation of a home or construction of a new home; and refinancing of existing debt. The financial institution which originates the mortgage loan must meet certain requirements noted in the law.

Applicant Eligibility:

The loan applicant must be members of a federally recognized Indian tribe, band or community, which includes Native Americans, Alaska Natives, or an Indian Housing Authority including a Tribally Designated Housing Authority (TDHE) or a Tribe which meets certain requirements. Applicant eligibility is validated by current enrollment in a federally recognized tribe.

Beneficiary Eligibility:

The homeowner is the ultimate beneficiary of the program. When the Indian Housing Authority, TDHE or Tribe is the homebuyer, they may then rent the property. In these cases, the person renting the home would be an indirect beneficiary.

Credentials/Documentation:

Loan applicants must provide the lender with documentation on eligibility, assets, income, debts and liabilities to show ability to obtain and repay the mortgage loan. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Applications are submitted to eligible financial institutions. With the loan application, the homebuyer must submit documentation such as pay stubs, W-2 forms (income tax returns if self-employed), evidence of assets such as bank accounts, and any other documentation requested by the lender to support the applicant's ability to obtain and repay the mortgage. The lender verifies the land status of the proposed property.

Award Procedure:

The financial institution obtains and reviews all documentation and forwards it

to HUD's Office of Native American Programs. HUD sends the Section 184 Loan Guarantee Firm Commitment Form to the Lender if the mortgage meets the Section 184 Program underwriting criteria. The financial institution is responsible for assuring Tribal eligibility, land status, property acceptability and the creditworthiness of the loan applicant. HUD has responsibility for the approval or disapproval of the loan (the application for loan guarantee). Eligible lenders may originate loans under the Direct Guarantee Program. Direct Guarantee lenders underwrite, approve and close the loan prior to submission to HUD for the Loan Guarantee Certificate.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 1 to 15 days. There are no specific time requirements for the processing of these loans.

Appeals:

From 15 to 30 days. Applicants whose loans are disapproved may appeal the disapproval if the applicant can provide additional documentation to resolve the issues which lead to the disapproval.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The term of the loan cannot exceed 30 years. The processing time varies from time of application to the time the loan closes, but in general will average from 30 to 120 days unless there are significant land issues. See the following for information on how assistance is awarded/released: This is a loan guarantee program. Therefore assistance is given in the form of a guarantee. No funds are awarded or released unless there is a default on a guaranteed loan.

Reports:

Participating financial institutions must provide HUD with copies of loan files and must report to HUD on the status of the loan, once it has closed. No cash reports are required. Progress reports are part of the reporting process described above. Expenditure reports are not applicable. Performance monitoring is also part of the reporting process described above.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Participating lenders and closed loan files are reviewed to assure compliance with the program's requirements.

Records:

Copies of loan files are maintained by HUD. The lender that originates the loan must maintain a copy of the loan file for 2 years after loan closing. The lender that services the loan must maintain the loan file for the life of the loan plus 3 years.

Account Identification:

86-0223-0-1-371.

Obligations:

(Guaranteed/Insured Loans) FY 12 \$670,788,365; FY 13 est \$850,000,000; and FY 14 est \$1,050,000,000

Range and Average of Financial Assistance:

The average loan amount in FY 2012 was \$171,121.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: The program guaranteed 3,943 loans. Fiscal Year 2013: The program projects to guarantee 4,857 loans. Fiscal Year 2014: The program projects to guarantee 4,857 loans.

REGULATIONS, GUIDELINES, AND LITERATURE:

24 CFR Part 1005; a Guidebook and literature is available from HUD's Office of Native American Programs.

Regional or Local Office:

None.

Headquarters Office:

Thomas C. Wright, 451 7th Street, S.W., Room 5156, Washington, District of Columbia 20410 Email: Thomas.C.Wright@hud.gov Phone: (202) 402-4978

Website Address:

<http://www.hud.gov/offices/pih/ih/homeownership/184>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

14.866 DEMOLITION AND REVITALIZATION OF SEVERELY DISTRESSED PUBLIC HOUSING

(HOPE VI)

FEDERAL AGENCY:

Office of Public and Indian Housing, Department of Housing and Urban Development

AUTHORIZATION:

Omnibus Appropriation Act 2009, Public Law 111-8.

OBJECTIVES:

Revitalization Grants enable PHAs to improve the living environment for public housing residents of severely distressed public housing projects through the demolition, substantial rehabilitation, reconfiguration, and/or replacement of severely distressed units; revitalize the sites on which severely distressed public housing projects are located and contribute to the improvement of the surrounding neighborhood; lessen isolation and reduce the concentration of low-income families; build sustainable mixed-income communities; and provide well-coordinated, results-based community and supportive services that directly complement housing redevelopment and that help residents to achieve self-sufficiency, young people to obtain educational excellence, and the community to secure a desirable quality of life.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

HOPE VI Revitalization grant funds may be used to fund the revitalization of severely distressed public housing developments. Such activities include the demolition of severely distressed public housing developments or portions thereof; relocation costs for affected residents; disposition activities; rehabilitation of existing public housing units and/or community facilities; development of new public and other housing units and community facilities; homeownership activities; acquisition activities; necessary management improvements and administrative costs; and community and supportive services. Up to 15 percent of a grant may be used for community and supportive services activities.

Applicant Eligibility:

For HOPE VI Revitalization Grants, Public Housing Agencies (PHAs) operating public housing units are eligible to apply. Indian Housing Authorities and PHAs that only administer the Section 8 Program are not eligible to apply.

Beneficiary Eligibility:

For HOPE VI Revitalization Grants, the ultimate beneficiaries are residents of the severely distressed public housing and residents of the revitalized development.

Credentials/Documentation:

Eligibility requirements to apply for a HOPE VI grant are included in each year's Notice of Funding Availability (NOFA). OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Applicant indicates on the SF-424 (Application for federal assistance) whether they are subject to E.O 12372 or not. An environmental impact assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. For FY 2009, the issuance of the NOFA is announced in the Federal Register. The NOFA and all necessary application materials are made available on the Grants.gov website and the HUD website.

Award Procedure:

HUD Headquarters reviews each eligible application for threshold compliance, assigns rating points to applications that pass all thresholds, and the highest-rated applications are notified that they have been selected to participate in the HOPE VI program. Funds are obligated when HUD and the applicant execute a form HUD-1044, "Assistance Award/Amendment".

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. Approximately 3 months from the application deadline date.

Appeals:

Applicants are notified by HUD if an application is not approved.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: 1. Overall match of 5 percent.

2. Community and Supportive Services (CSS) match: if applicants are requesting over 5% of the grant to be used for CSS, they must provide match for that amount that exceeds the 5% (note: per statute at most, 15% of the total grant may be used for CSS).

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Each year's grant agreement sets out the requirements regarding timetables and milestones. See the following for information on how assistance is awarded/released: Following execution of the HUD-1044 obligating document, HUD approves (over the life of the grant) budget requests from the grantee, releasing funds through HUD's financial system - Line of Credit Control System (LOCCS).

Reports:

No program reports are required. No cash reports are required. Grantees report quarterly into the HOPE VI Quarterly Progress Reporting system. This system is the central data source for the HOPE VI program on an individual grantee and aggregate level. Grantees report on such things as demolition, relocation, unit production, occupancy and financial information. HUD uses this system in order to develop its Annual Report to Congress on HOPE VI, and for other reporting purposes. The HOPE VI Quarterly Progress Reporting system includes extracts from LOCCS data on grant obligation and expenditures. Performance monitoring is not applicable.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. HOPE VI Revitalization grants are audited in conjunction with regular HUD or independent public accountant audits of PHA operations in accordance with 24 CFR 85.26, and after grant close-out.

Records:

Copies of budgets and accumulative cost records through the grant period, and other records necessary to indicate compliance with the Grant Agreement.

Account Identification:

86-0218-0-1-604.

Obligations:

(Project Grants) FY 12 \$8,589,463; FY 13 est \$2,000,000; and FY 14 est \$0 -

Range and Average of Financial Assistance:

N/A.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: HOPE VI funds were used for the revitalization of distressed communities. Fiscal Year 2013: N/A. Fiscal Year 2014: N/A.

REGULATIONS, GUIDELINES, AND LITERATURE:

No regulations have been published. Each grant is subject to the terms of its NOFA and Grant Agreement, which is the contract signed by the Grantee and HUD. HUD posts guidance to its Home Page (<http://www.hud.gov/offices/pih/programs/ph/hope6/>) which provides Grantees with information on timelines, budgets, financial instructions, and other program guidance. HUD also publishes a Mixed-Finance Guidebook that is available to the public by calling (800) 955-2232.

Regional or Local Office:

None. The level of field office involvement in the administration of a project varies grant-by-grant. All HOPE VI Demolition grants are administered in the Field, and most Revitalization grants are administered in Headquarters. Applicants should contact the HUD Field Office listed on the internet at <http://www.hud.gov/local/> that has jurisdiction for their area.

Headquarters Office:

Leigh vain Rij, 451 7th Street, S.W., Room 4130, Washington, District of Columbia 20410 Email: leigh.e.vanrij@hud.gov Phone: (202) 402-5788.

Website Address:

<http://www.hud.gov/offices/pih/programs/ph/hope6/>

RELATED PROGRAMS:

14.850 Public and Indian Housing; 14.872 Public Housing Capital Fund

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

14.867 INDIAN HOUSING BLOCK GRANTS**FEDERAL AGENCY:**

Office of Public and Indian Housing, Department of Housing and Urban Development

AUTHORIZATION:

Native American Housing Assistance and Self-Determination Reauthorization Act 2008, Public Law 110-411.

OBJECTIVES:

To provide Federal assistance for Indian tribes in a manner that recognizes the right of tribal self-governance, and for other purposes.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Affordable housing activities such as: Indian Housing Assistance; Development; Housing Services; Housing Management Services; Crime Prevention and Safety Activities; and Model Activities. IHBG program activities are restricted to those listed in subpart B of the regulations at 24 CFR, part 1000.

Applicant Eligibility:

Indian tribes or tribally designated housing entities (TDHE) (to be eligible for Indian housing block grant funds the tribe or TDHE must submit an Indian housing plan that is in compliance with the requirements of Section 102 of NAHASDA).

Beneficiary Eligibility:

Primarily low-income families and in limited cases, over-income families may be eligible.

Credentials/Documentation:

Recipients shall comply with requirements and standards of OMB Circular No. A-133, and specified sections of 24 CFR 85. A Self-governance Indian tribe (Public Law 93- 638) may certify that its administrative requirements meet or exceed the comparable requirements of OMB Circular No. A-133 and 24 CFR 85. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

The environmental effects of each activity must be evaluated in accordance with the National Environmental Policy Act and 24 CFR parts 50 and 58. An environmental review does not have to be completed prior to HUD approval of an Indian Housing Plan. Both an impact statement or an impact assessment may be required. In some circumstances a project could be exempted from either or both. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

None.

Deadlines:

Jul 01, 2011 Indian housing plans must be submitted prior to July 1st of each Federal Fiscal Year.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula:

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Indian Housing Block Grant funds are drawn down from the Treasury based on need using an electronic fund transfer disbursement and information system. Within two years of grant award, no less than 90 percent of the grant must be obligated. See the following for information on how assistance is awarded/released: Upon execution of grant agreements, funds are drawn from HUD's financial system as needed.

Reports:

The Indian Housing Block Grant program requires an Annual Performance Report. No cash reports are required. Progress reports are a required part of the Annual Performance Report. In addition, expenditure reports should be included in the Annual Performance Report. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grants files are maintained by HUD for one year after grant close out and destroyed six years after the grant close out pursuant to the HUD Records and Disposition Handbook.

Account Identification:

86-0313-0-1-604.

Obligations:

(Formula Grants) FY 12 \$691,088,209; FY 13 est \$610,315,000; and FY 14 est

\$647,000,000

Range and Average of Financial Assistance:

\$50,399 to \$90,000,000; Median grant \$276,090.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Native American Housing Block Grant (NAHBG) program assisted 6,916 families by either building, acquiring, or substantially rehabilitating their homes. Fiscal Year 2013: Native American Housing Block Grant (NAHBG) program is projected to assist 5,795 families by either building, acquiring, or substantially rehabilitating their homes. Fiscal Year 2014: Native American Housing Block Grant (NAHBG) program is projected to assist 5,795 families by either building, acquiring, or substantially rehabilitating their homes.

REGULATIONS, GUIDELINES, AND LITERATURE:

24 CFR 1000.

Regional or Local Office:

See Regional Agency Offices. Inquiries should be addressed to the Administrator, Office of Native American Programs at the appropriate area office (see Catalog Address Appendix IV for HUD Office of Native American Programs area office addresses in Chicago, Denver, Phoenix, Seattle, Oklahoma City and Anchorage).

Headquarters Office:

Rodger Boyd, 451 7th Street, S.W., Room 4126, Washington, District of Columbia 20410 Email: Rodger.J.boyd@hud.gov Phone: (202) 401-7914.

Website Address:

<http://www.hud.gov/offices/pih/ih/grants/ihbg.cfm>

RELATED PROGRAMS:

14.862 Indian Community Development Block Grant Program; 15.124 Indian Loans_Economic Development; 15.141 Indian Housing Assistance

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

14.869 TITLE VI FEDERAL GUARANTEES FOR FINANCING TRIBAL HOUSING ACTIVITIES**FEDERAL AGENCY:**

Office of Public and Indian Housing, Department of Housing and Urban Development

AUTHORIZATION:

Native American Housing Assistance and Self-Determination Reauthorization Act (NAHASDA) of 2008, Public Law 110-411.

OBJECTIVES:

To assist an Indian tribe or Tribally Designated Housing Entity (TDHE) to obtain financing for affordable housing activities under Title II of NAHASDA where an obligation cannot be completed without such guarantee.

TYPES OF ASSISTANCE:

GUARANTEED/INSURED LOANS

USES AND USE RESTRICTIONS:

Limited to the eligible affordable housing activities listed in Section 202 of the NAHASDA to include Indian Housing Assistance, Development, Housing Services, Housing Management Services, Crime Prevention and Safety Activities, and Model Activities approved by the Secretary.

Applicant Eligibility:

The applicant must be a Federally recognized Indian tribe or TDHE that is either a beneficiary or recipient of Indian Housing Block Grants (IHBG) funds.

Beneficiary Eligibility:

Indian tribes and their members are the beneficiaries. A TDHE acts on behalf of a tribe, as authorized by Tribal and TDHE resolutions.

Credentials/Documentation:

The applicant must provide the lender the documentation detailed in the "Title

VI Application Process," including the certifications required under 24 CFR Section 1000.424(d) that the applicant possesses the legal authority to pledge, and if approved, the applicant will make the pledge of IHBG funds required by section 602(a)(2) of NAHASDA. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Eligible lenders are detailed in the statute. The lender assembles the Title VI application package and submits it to HUD. HUD will review the application for compliance with Title VI of NAHASDA and the implementing regulations.

Award Procedure:

HUD issues a Letter of Firm Commitment to the lender when HUD approves the Title VI application and the mortgage meets the Title VI Program underwriting criteria. The lender then closes the loan and submits the closing package to HUD for review. Following a successful review, HUD issues a Certificate of Loan Guarantee to the lender.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 15 to 30 days. The Title VI regulation at 24 CFR 1000.430(a) states that HUD will make every effort to approve an application within 30 days of the receipt of a completed application, and if HUD is unable to do so, will notify the lender (the applicant via the lender) within the 30 day time frame of the need for additional time to process the application and/or if additional information is required.

Appeals:

From 15 to 30 days. HUD will notify the lender in writing that the application has been reduced or disapproved and the specific reasons for the reduction or the disapproval. The lender may submit additional documentation to reverse the HUD decision.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: Percent: 5%. Borrowers may be required to pledge security in addition to IHBG funds to secure the remaining 5 percent of the loan balance that is not secured by the 95 percent federal loan guarantee. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The term of the loan may exceed 20 years, as approved by HUD. The lender and applicant shall determine the terms of the repayment for each project, subject to HUD's approval. See the following for information on how assistance is awarded/released: This is a loan guarantee program. Therefore assistance is given in the form of a guarantee. No funds are awarded or released unless there is a default on a guaranteed loan.

Reports:

The lender will provide HUD a quarterly report on the guaranteed loan, including the status of the guaranteed loan, the current principal balance, and the amount collected during the quarter just ended. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that

year, except as noted in Circular No. A-133. Participating lenders and closed loan files are reviewed to assure compliance with the program's requirements.

Records:

Copies of loan files are maintained by HUD. The originating lender must maintain a copy of the loan file for 2 years after loan is guaranteed. The servicing lender must maintain a loan file for the line of the loan plus 3 years.

Account Identification:

86-0313-0-1-604.

Obligations:

(Guaranteed/Insured Loans) FY 12 \$20,267,303; FY 13 est \$17,379,000; and FY 14 est \$20,000,000

Range and Average of Financial Assistance:

A borrower's guaranteed obligations may not exceed an amount equal to the borrower's IHBG, less the amount needed to operate and maintain current assisted stock (CAS), times five (IHBG - CAS X 5 = maximum guaranteed amount).

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: In fiscal year 2013, the Department guaranteed 6 loans. Fiscal Year 2013: In fiscal year 2013, the Department anticipates to guarantee 5 loans. Fiscal Year 2014: In fiscal year 2013, the Department anticipates to guarantee 5 loans.

REGULATIONS, GUIDELINES, AND LITERATURE:

24 CFR Part 1000, subpart E; "The Title VI Application Process" and promotional literature are available from HUD's National Program Office of Native American Programs, Office of Loan Guarantee; and the Title VI web page (see the address below).

Regional or Local Office:

None. (see Headquarters Office below).

Headquarters Office:

Thomas C. Wright, 451 7th Street, S.W., Room 5156, Washington, District of Columbia 20410 Email: Thomas.C.Wright@hud.gov Phone: (202) 402-4978.

Website Address:

<http://www.hud.gov/offices/pih/ih/homeownership/titlevi/>

RELATED PROGRAMS:

14.865 Public and Indian Housing_Indian Loan Guarantee Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Title VI and Section 202 of NAHASDA; criteria is detailed in the "The Title VI Application Process" available from HUD's National Program Office, Office of Loan Guarantee, and on the Title VI web site (<http://www.hud.gov/offices/pih/ih/homeownership/titlevi/>).

14.871 SECTION 8 HOUSING CHOICE VOUCHERS

FEDERAL AGENCY:

Office of Public and Indian Housing, Department of Housing and Urban Development

AUTHORIZATION:

Housing Act of 1937; Omnibus Appropriations Act of 2009, Consolidated and Further Continuing Appropriations Act of 2012, Public Law 112-55.

OBJECTIVES:

To aid very low income families in obtaining decent, safe, and sanitary rental housing. For Fair Share Vouchers and Welfare-to-Work Vouchers, Public Housing Agencies (PHA) must meet certain selection criteria. For Welfare-to-Work rental vouchers, families must also meet special welfare-to-work criteria. HUD regulations merged the former Section 8 Rental Voucher program (14.855) with the former Section 8 Certificate program (14.857). Section 502 of the Public Housing Reform Act states that a purpose of the legislation is "consolidating the voucher and certificate programs for rental assistance under Section 8 of the United States Housing Act of 1937 (the

"USHA") into a single market-driven program that will assist in making tenant-based rental assistance more successful at helping low-income families obtain affordable housing and will increase housing choice for low-income families".

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

Provides housing assistance payments to participating owners on behalf of eligible tenants to provide decent, safe, and sanitary housing for very low income families at rents they can afford. Housing assistance payments are generally the difference between the local payment standard and 30 percent of the family's adjusted income.

Applicant Eligibility:

Applicants are limited to public housing agencies. A public housing agency (PHA) is defined as any State, county, municipality or other governmental entity or public body (or agency or instrumentality thereof) which is authorized to engage in or assist in the development or operation of housing for very low income families; and, a consortium of PHAs; any other nonprofit entity that was administering a Section 8 tenant-based program on October 21, 1998; or, for an area outside the jurisdiction of a PHA administering a voucher program, a private nonprofit entity or a governmental entity or public body that would otherwise lack jurisdiction to administer the program in such area.

Beneficiary Eligibility:

Very low income families (whose income does not exceed 50 percent of the median income for the area as determined by the Secretary with adjustments for smaller and larger families) and, on an exception basis, lower income families (whose income does not exceed 80 percent of the median income for the area, adjusted for smaller and larger families). At least 75 percent of families admitted to the voucher program during the PHA fiscal year must be extremely low income families (whose income does not exceed 30 percent of the median income for the area).

Credentials/Documentation:

In the Housing Choice Voucher Program, the PHA must support its application by furnishing data that the program proposed is responsive to the condition of the housing stock in the community and the housing assistance needs of very low income families (including the elderly, handicapped and disabled, large families and those displaced) residing in the community. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Submission of Application for Housing Assistance Payments Program (Form HUD-52515).

Award Procedure:

The HUD Grants Management Center generally makes the final decision based on the results of the rating and ranking process. Welfare-to-Work vouchers were awarded through a national competition in fiscal year 1999.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Expiring increments of Section 8 housing choice voucher assistance are automatically renewed by HUD (subject to appropriations).

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

New funding is provided for 12 months. See the following for information on how assistance is awarded/released: Funds are disbursed monthly.

Reports:

Voucher for Payment of Annual Contributions and Operating Statement, HUD Form 52681-B; and Family Report, HUD Form 50058. Cash reports are not applicable. Progress reports are not applicable. Voucher for Payment of Annual Contributions and Operating Statement, HUD Form 52681-B (Supplemental). Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Periodic fiscal, occupancy, general management and maintenance audits.

Records:

Those necessary to indicate compliance with Annual Contributions Contract/Housing Choice Voucher Contract.

Account Identification:

86-0302-0-1-604.

Obligations:

(Direct Payments for Specified Use) FY 12 \$13,317,179,952; FY 13 est \$13,424,856,386; and FY 14 est \$14,717,228,439

Range and Average of Financial Assistance:

\$5,088 \$989,918,779; Average \$7,581,701.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: The program served 2.2 million families. Fiscal Year 2013: The program projects to serve 2.1 million families. Fiscal Year 2014: The program projects to serve 2.3 million families.

REGULATIONS, GUIDELINES, AND LITERATURE:

24 CFR 982; Section 8 Rental Certificate, Rental Voucher and Moderate Rehabilitation Programs Forms, Legal Contracts, and Publications Handbook, 7420.8; Housing Choice Voucher Program Guidebook, 7420.10G.

Regional or Local Office:

See Regional Agency Offices. HUD Field Office listed in the Catalog Address Appendix IV that has jurisdiction over the area in which the dwellings are located.

Headquarters Office:

Laure Rawson, 451 7th Street, S.W., Room 4210, Washington, District of Columbia 20410 Email: Laure.Rawson@hud.gov Phone: (202) 708-0477.

Website Address:

<http://www.hud.gov/offices/pih/programs/hcv/index.cfm>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

See NOFA.

14.872 PUBLIC HOUSING CAPITAL FUND

(CFP)

FEDERAL AGENCY:

Office of Public and Indian Housing, Department of Housing and Urban Development

AUTHORIZATION:

Housing Act of 1937, as amended; Quality Housing and Work Responsibility Act of 1998, Omnibus Appropriations Act 2009, Public Law 111-8.

OBJECTIVES:

The Capital Fund provides funds annually to Public Housing Agencies (PHAs) for capital and management activities, including modernization and development of public housing. The Capital fund also permits PHAs to use Capital Funds for financing activities, including payments of debt service and customary financing costs, in standard PHA developments and in mixed-finance developments which include Public Housing.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

The funds may be used for the development, financing and modernization of public housing developments and for management improvements. The funds may not be used for luxury improvements, direct social services, costs funded by other programs, and ineligible activities as determined by HUD on a case-by-case basis. Assistance must be used to modernize and/or develop public housing and must comply with the eligible activities of Section 9(d) of the U.S. Housing Act of 1937.

Applicant Eligibility:

The PHA must demonstrate that it has the legal authority to develop, own, modernize and operate a public housing development in accordance with the 1937 Act.

Beneficiary Eligibility:

Low-income public housing residents.

Credentials/Documentation:

PHAs must submit the following documents with their PHA Plan which includes a component for the Capital Fund Program: Form HUD-50075, PHA Certification of Compliance with The PHA Plan and Related Regulations; State or Local Certification of Consistency with the Consolidated Plan; Form HUD-5070, Certification of a Drug-Free Workplace; Form HUD-50071, Certification of Payments To Influence Federal Transactions; and Standard Form SF-LLL and SF-LLLa, Disclosure of Lobbying Activities (where applicable). OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. PHAs annually submit a PHA Plan to the local HUD Field Office that consolidates information submitted by the PHA for various HUD programs into one document. The CFP is one component of the Plan.

Award Procedure:

The Field Office reviews and approves the PHA Plan, which includes information relative to the CFP.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. The Field Office must notify the PHA within 75 days after the PHA submits the Plan of disapproval. If the PHA is not notified of disapproval within 75 days, the Plan shall be considered approved.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title 24, Part 905, Subpart 10.

Matching requirements are not applicable to this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The statute requires that CFP funds allocated to a PHA be fully obligated within two years and expended in four years unless the Secretary extends the time period. Method of awarding/releasing assistance: lump sum.

Reports:

PHAs must provide performance and evaluation reports annually for all open grants. Cash reports are not applicable. Progress reports are also part of the performance and evaluation reports. PHAs are required to report on expenditures monthly in HUD's financial system. Performance monitoring is also part of the performance and evaluation reports.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. The CFP is audited in conjunction with the regular HUD or independent public accountant audit of PHA operations.

Records:

The PHA must maintain budgets and cumulative cost records for review by HUD and independent auditors, as applicable.

Account Identification:

86-0304-0-1-604.

Obligations:

(Formula Grants) FY 12 \$1,594,940,307; FY 13 est \$1,648,206,163; and FY 14 est \$1,696,596,263

Range and Average of Financial Assistance:

\$5,740 to \$284,331,562; Average: \$606,309.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: The Department made available \$1.59 billion to approximately 3,100 grantees for modernization, improvement, and new development to Public Housing projects. Fiscal Year 2013: The Department will make available \$1.65 billion to approximately 3,100 grantees for modernization, improvement, and new development to Public Housing projects. Fiscal Year 2014: The Department will make available \$1.69 billion to approximately 3,100 grantees for modernization, improvement, and new development to Public Housing projects.

REGULATIONS, GUIDELINES, AND LITERATURE:

24 CFR Part 905.

Regional or Local Office:

See Regional Agency Offices. Inquiries should be addressed to the Public Housing Director who has jurisdiction over the PHA (See the Catalog, Appendix IV for HUD Field Office addresses).

Headquarters Office:

Jeffrey Riddel, 451 7th St. SW, Room 4130, Washington, District of Columbia 20410 Email: Jeffrey.Riddel@hud.gov Phone: (202) 708-1640.

Website Address:

<http://www.hud.gov/offices/pih/programs/ph/capfund/index.cfm>.

RELATED PROGRAMS:

14.850 Public and Indian Housing; 14.881 Moving to Work Demonstration Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

14.873 NATIVE HAWAIIAN HOUSING BLOCK GRANTS

FEDERAL AGENCY:

Office of Public and Indian Housing, Department of Housing and Urban Development

AUTHORIZATION:

Hawaiian Homelands Homeownership Act of 2000; Omnibus Appropriations Act 2009, Public Law 111-8.

OBJECTIVES:

To provide housing assistance to low-income Native Hawaiian families who are eligible to reside on Hawaiian Home Lands.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Eligible affordable housing activities are development, housing services, crime prevention and safety activities, and model activities. NHHBG funds may only be used for eligible activities that are consistent with the Department of Hawaiian Home Lands housing plan.

Applicant Eligibility:

The Department of Native Hawaiian Home Lands (DHHL) is the only eligible grant recipient.

Beneficiary Eligibility:

Native Hawaiian families who are eligible to reside on the Hawaiian Home Lands.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Submission of Housing Plan.

Award Procedure:

Native Hawaiian Housing Block Grant funds are provided to the Department of Hawaiian Home Lands (DHHL), the sole eligible grant recipient.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Grant funds are made available on an annual basis, subject to annual congressional appropriations.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Annual grants. See the following for information on how assistance is awarded/released: Upon execution of grant agreement, funds are drawn from HUD's financial system.

Reports:

Program reports are not applicable. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Annual performance reports to HUD.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Compliance with the Single Audit Act and OMB Circular A-133.

Records:

Grant files are maintained by HUD for one year after grant closeout and destroyed six years after grant closeout pursuant to the HUD Records Disposition Handbook.

Account Identification:

86-0235-0-1-604.

Obligations:

(Project Grants) FY 12 \$12,705,045; FY 13 est \$14,001,000; and FY 14 est \$13,000,000

Range and Average of Financial Assistance:

N/A.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Through this program, the grant recipient assisted 105 homeowners by either building, acquiring, or substantially rehabilitating their homes. Fiscal Year 2013: Through this program, the grant recipient projects to assist 105 homeowners by either building, acquiring, or substantially rehabilitating their homes. Fiscal Year 2014: Through this program, the grant recipient projects to assist 105 homeowners by either building, acquiring, or substantially rehabilitating their homes.

REGULATIONS, GUIDELINES, AND LITERATURE:

Interim Rule published June 13, 2002. Final regulations effective December 29, 2003.

Regional or Local Office:

None.

Headquarters Office:

Claudine Allen, 500 Ala Moana Boulevard, Suite 3A, Honolulu, Hawaii 96813
Email: Claudine.C.Allen@hud.gov Phone: (808) 522-8175 Ext. 223.

Website Address:

<http://www.hud.gov/offices/pih/ih/codetalk/onap/nhhbgprogram.cfm>

RELATED PROGRAMS:

14.867 Indian Housing Block Grants

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

14.874 LOAN GUARANTEES FOR NATIVE HAWAIIAN HOUSING

(Section 184A)

FEDERAL AGENCY:

Office of Public and Indian Housing, Department of Housing and Urban Development

AUTHORIZATION:

American Homeownership and Economic Opportunity Act of 2000, Consolidated Appropriations Act of 2012, Section 514, Public Law 112-55.

OBJECTIVES:

To provide eligible Native Hawaiian families with greater access to private mortgage resources by guaranteeing loans for one-to four family housing located on Hawaiian Home Lands.

TYPES OF ASSISTANCE:

GUARANTEED/INSURED LOANS

USES AND USE RESTRICTIONS:

A loan guaranteed under this part may only be used to construct, acquire, or

rehabilitate eligible housing. The housing must be located on Hawaiian Home Lands for which a housing plan has been determined by HUD to be in compliance with regulations.

Applicant Eligibility:

A Native Hawaiian family; the Department of Hawaiian Home Lands; the Office of Hawaiian Affairs; a private nonprofit organization experienced in the planning and development of affordable housing for Native Hawaiians.

Beneficiary Eligibility:

Native Hawaiian homeowners are the beneficiaries of the program.

Credentials/Documentation:

Individual Loan Applicants must meet the definitions of Native Hawaiian and provide the eligible lender with documentation of that eligibility, assets, income, debts and liabilities to show ability to obtain and repay the loan. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Applications are submitted to eligible financial institutions. With the loan application, the homebuyer submits documentation such as pay stubs, W-2 forms, tax returns, evidence of assets such as bank accounts, and any other documentation requested by the lender to support the applicant's ability to obtain and repay the mortgage. The lender will verify the eligibility of the land status.

Award Procedure:

The financial institution obtains and reviews all documentation and submits it to HUD. HUD is responsible for ensuring the individual applicants Native Hawaiian eligibility, land status per Section 204 of the Hawaiian Homes Commission Act, housing acceptability, and the creditworthiness of the applicant. HUD has responsibility for the approval or disapproval of the applicant and the issuance of the loan guarantee. If the loan guarantee funds are available, a firm commitment is issued to the lender. Firm commitments are valid for a period not to exceed 90 days from issuance.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 15 to 30 days. HUD may approve a loan for guarantee under this part and issue a certificate under this section only if HUD determines that there is a reasonable prospect of repayment of the loan.

Appeals:

From 15 to 30 days. Applicants whose loans are disapproved may appeal the disapproval. Additional documentation to resolve the issues which led to the disapproval may be required.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. This program has no matching requirements. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The loan term shall not exceed thirty years. Processing time of the individual loans will depend on the quality of the lenders submittal and HUD's ability to ascertain proper land and lease status. See the following for information on how assistance is awarded/released: This is a loan guarantee program. Therefore assistance is given in the form of a guarantee. No funds are awarded or released unless there is a default on a guaranteed loan.

Reports:

Lenders will be required to report loan delinquencies on a monthly basis. Cash reports are not applicable. No progress reports are required. Expenditure reports

are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Participating lenders will be subject to review and closed loan files are subject to review to assure compliance with the requirements of Section 184A.

Records:

Copies of loan files will be maintained by HUD. The originating lender must maintain copies of the guaranteed loan file for two years after loan closing. The servicing lender must maintain the loan file for the life of the loan plus three years.

Account Identification:

86-0233-0-1-371.

Obligations:

(Guaranteed/Insured Loans) FY 12 \$281,231; FY 13 est \$76,000; and FY 14 est \$80,000

Range and Average of Financial Assistance:

The average loan amount in FY 2012 was \$242,859.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: The program guaranteed 21 loans. Fiscal Year 2013: The program projects to guarantee 55 loans. Fiscal Year 2014: The program projects to guarantee 55 loans.

REGULATIONS, GUIDELINES, AND LITERATURE:

24 CFR Part 1007.

Regional or Local Office:

None.

Headquarters Office:

Thomas C. Wright, 451 7th Street, S.W., Room 5156, Washington, District of Columbia 20410 Email: Thomas.C.Wright@hud.gov Phone: (202) 402-4978.

Website Address:

<http://www.hud.gov/offices/pih/ih/codetalk/onap/program184a.cfm>

RELATED PROGRAMS:

14.865 Public and Indian Housing_Indian Loan Guarantee Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

14.877 PUBLIC HOUSING FAMILY SELF-SUFFICIENCY UNDER RESIDENT OPPORTUNITY AND SUPPORTIVE SERVICES

(Public Housing Family Self-Sufficiency (PH FSS))

FEDERAL AGENCY:

Office of Public and Indian Housing, Department of Housing and Urban Development

AUTHORIZATION:

The Consolidated Appropriations Act 2008, Public Law 110-161.

OBJECTIVES:

To promote the development of local strategies to coordinate the use of assistance under the Public Housing program with public and private resources to enable participating families to achieve economic independence and housing self-sufficiency.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grant funds may be used to pay the salary and fringe benefits of a program

coordinator. Only residents of conventional public housing may benefit from this program.

Applicant Eligibility:

Public Housing Authorities (PHAs) and Tribes and tribally designated housing entities (TDHEs).

Beneficiary Eligibility:

Individuals and families who are residents of conventional public or Indian housing are eligible to receive benefits from the ROSS program.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. A Notice of Funding Availability (NOFA) prescribing the specific information to be submitted will be published in the Federal Register by HUD Headquarters. HUD provides the application and standard forms for this program.

Award Procedure:

HUD will review those applications in accordance with the NOFA published in the Federal Register.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days. HUD will undertake processing of applications and selection of successful applicants. HUD will make final selection and obligate funds.

Appeals:

There is no appeals process. Applicants whose applications did not receive funding may request a debriefing. Further information is included in the NOFA.

Renewals:

From 120 to 180 days.

Formula and Matching Requirements:

Statutory Formula: Chapter 24, Part 984.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

HUD will provide assistance for a 1-year time period. See the following for information on how assistance is awarded/released: Grant agreements are executed and funds are made available through HUD's financial system.

Reports:

Grantees must keep program records and submit annual reports as specified by HUD. No cash reports are required. Grantees must keep progress reports and submit annual reports as specified by HUD. Grantees must keep expenditure reports and submit annual reports as specified by HUD. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grantees must adhere to the policies, guidelines, and requirements of 24 CFR Part 84 or 85 and OMB Circular No. A-87; OMB Circulars A-110 and A-122 apply to the acceptance and use of assistance by private nonprofit agencies.

Records:

Records will be retained in accordance with the HUD regulation, 24 CFR Part 84 or 85.

Account Identification:

86-0304-0-1-604.

Obligations:

(Project Grants) FY 12 \$15,041,719; FY 13 est \$15,000,000; and FY 14 est \$0

Range and Average of Financial Assistance:

The average financial assistance was \$60,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: The program provided 275 awards to eligible grantees for service and support coordination. Fiscal Year 2013: The program plans to provide awards thru NOFA process. Fiscal Year 2014: N/A.

REGULATIONS, GUIDELINES, AND LITERATURE:

HUD appropriation statutes and the specific fiscal year NOFA published in the Federal Register provide program requirements and guidelines. See also 24 CFR 984

Regional or Local Office:

None. Designated personal in the offices of Public Housing and Native American Programs listed in Appendix IV of the Catalog.

Headquarters Office:

Anice Schervish, 451 7th St. SW, Room 4238, Washington, District of Columbia 20410 Email: anice.m.schervish@hud.gov Phone: (202) 402-2341.

Website Address:

<http://www.hud.gov/offices/pih/programs/ph/ross/>

RELATED PROGRAMS:

14.870 Resident Opportunity and Supportive Services - Service Coordinators

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The criteria for selecting proposals will be listed in the NOFA.

14.879 MAINSTREAM VOUCHERS

FEDERAL AGENCY:

Office of Public and Indian Housing, Department of Housing and Urban Development

AUTHORIZATION:

Cranston Gonzalez National Affordable Housing Act; Omnibus Appropriations Act 2009, Public Law 111-8.

OBJECTIVES:

To aid persons with disabilities (elderly and non-elderly) in obtaining decent, safe, and sanitary rental housing.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

Provides housing assistance payments to participating owners on behalf of eligible tenants to provide decent, safe, and sanitary housing at rents they can afford. Housing assistance payments are generally the difference between the local payment standard and 30 percent of the family's adjusted income. Mainstream Vouchers can be provided to only elderly and non-elderly disabled families.

Applicant Eligibility:

Public housing agencies (PHA) that is defined as any State, county, municipality or other governmental entity or public body (or agency or instrumentality thereof) which is authorized to engage in or assist in the development or operation of housing for very low income families; and a consortium of PHAs; any other nonprofit entity that was administering a Section 8 tenant-based program on October 21, 1998; or, for an area outside the jurisdiction of a PHA administering a voucher program, a private nonprofit entity or a governmental entity or public body that would otherwise lack jurisdiction to administer the program in such area and non-profit organization that provide services to the

disabled as defined in 42 U.S.C.

Beneficiary Eligibility:

Disabled family that is income-eligible under the Housing Choice Voucher program regulations at 24 CFR 982.201(b)(1) as well as other wise eligible under the regulations at 24CFR 982.201, may receive a voucher awarded on the Mainstream Program Applicants with disabilities must be selected from the PHA's or nonprofit organization's housing choice voucher waiting list.

Credentials/Documentation:

In the Mainstream Voucher Program, the PHA or non-profit organization must demonstrate in its application a need for these vouchers by providing information documenting that the demand for housing for non-elderly and elderly persons with disabilities would equal or exceed the requested number of vouchers. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Submission of Application for Housing Assistance Payments Program (Form HUD-52515).

Award Procedure:

The HUD Grants Management Center generally makes the final decision based on the results of the rating and ranking process.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

However, after the assistance awards are publicly announced, HUD will provide a debriefing, if requested, to unsuccessful applicants.

Renewals:

Expiring increments of Mainstream voucher program assistance are automatically renewed by HUD.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

New funding is provided for 5 years. See the following for information on how assistance is awarded/released: Funds are disbursed monthly.

Reports:

Supporting Data for Annual Contributions Estimates, HUD Form 52672; Estimate of Total Required Annual Contributions, HUD Form 52673; Requisition for Partial Payment of Annual Contributions, HUD Form 52663; Voucher for Payment of Annual Contributions and Operating Statement, HUD Form 52681 and 52681-B; and Family Report, HUD Form 50058 and Logic Model, HUD Form 96010. Cash reports are not applicable. Progress reports are not applicable. Voucher for Payment of Annual Contributions and Operating Statement, HUD Form 52681 and 52681-B (Supplemental). Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Periodic fiscal, occupancy, general

management and maintenance audits.

Records:

Those necessary to indicate compliance with Annual Contributions Contract/Mainstream/Housing Choice Voucher Contract.

Account Identification:

86-0237-0-1-604; 86-0237-0-1-604.

Obligations:

(Direct Payments for Specified Use) FY 12 \$82,549,770; FY 13 est \$106,158,786; and FY 14 est \$110,564,000 - Although renewal funding has been provided by Congress annually, no incremental (new) funding for this program has been provided since FY 2005.

Range and Average of Financial Assistance:

\$29,483 to \$2,562,023; Average \$575,265.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: The program served 14,811 families. Fiscal Year 2013: The program anticipates serving 14,811 families. Fiscal Year 2014: The program anticipates serving 14,811 families.

REGULATIONS, GUIDELINES, AND LITERATURE:

24 CFR 982; Housing Choice Voucher Program, Form, Legal Contracts, and Publications Handbook, 7420.8; and the Housing Choice Voucher Program Guidebook, 7420.10G.

Regional or Local Office:

See Regional Agency Offices. HUD Field Office listed in the Catalog Address Appendix IV that has jurisdiction over the area in which the dwellings are located.

Headquarters Office:

Laure Rawson, 451 7th Street, S.W., Room 4210, Washington, District of Columbia 20410 Email: Laure.Rawson@hud.gov Phone: (202) 708-0477.

Website Address:

http://www.hud.gov/offices/pih/programs/hcv/about/fact_sheet.cfm.

RELATED PROGRAMS:

14.871 Section 8 Housing Choice Vouchers

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

See NOFA.

14.880 FAMILY UNIFICATION PROGRAM (FUP)

FEDERAL AGENCY:

Office of Public and Indian Housing, Department of Housing and Urban Development

AUTHORIZATION:

Section 8 (x) of the United States Housing Act of 1937; Omnibus Appropriations Act 2009, Public Law 111-8.

OBJECTIVES:

To aid: (1) families for whom the lack of adequate housing is the primary factor in the separation, or the threat of imminent separation, of children from their families, and; (2) youths ages 18-21 years old who left foster care at age 16 or older and lack adequate housing. FUP- eligible families and youths may use these Housing Choice Voucher (HVC) to obtain decent, safe, and affordable housing units in the private rental market.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

Provides housing assistance payments to participating owners on behalf of eligible tenants to provide decent, safe, and sanitary housing for very low income families at rents they can afford. Housing assistance payments are generally the difference between the local payment standard and 30 percent of the family's adjusted income.

Applicant Eligibility:

Applicants are limited to public housing agencies. A public housing agency (PHA) is defined as any State, county, municipality or other governmental entity or public body (or agency or instrumentality thereof) which is authorized to engage in or assist in the development or operation of housing for very low income families; and, a consortium of PHAs; any other nonprofit entity that was administering a Section 8 tenant-based program on October 21, 1998; or, for an area outside the jurisdiction of a PHA administering a voucher program, a private nonprofit entity or a governmental entity or public body that would otherwise lack jurisdiction to administer the program in such area.

Beneficiary Eligibility:

Families and youths that are income eligible under the HCV program regulations at 24 CFR 982.201 may receive a voucher awarded under the FUP. Families and youths must be referred to the PHA from the local Child Welfare Agency (CWA).

Credentials/Documentation:

For FUP, the PHA must provide a Memorandum of Understanding (MOU), executed by the chief executive officer of the PHA and the Public Child Welfare Agency (PCWA). The MOU must identify the actions that the PHA and the PCWA will take to identify and assist FUP-eligible families and/or FUP-eligible youths, and the resources for supportive services each organization will commit. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Submission of Funding Application, Section 8 Tenant-Based Assistance (Form HUD-52515).

Award Procedure:

The HUD Grants Management Center generally makes the final decision based on the results of the rating and ranking process.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

However, after the assistance awards are publicly announced, HUD will provide a debriefing, if requested, to unsuccessful applicants.

Renewals:

Expiring increments of Section 8 housing choice voucher assistance are automatically renewed by HUD (subject to appropriations).

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

New funding is provided for 12 months. See the following for information on how assistance is awarded/released: Funds are disbursed monthly.

Reports:

Voucher for Payment of Annual Contributions and Operating Statement, HUD Form 52681-B; and Family Report, HUD Form 50058. Cash reports are not applicable. Progress reports are not applicable. Voucher for Payment of Annual Contributions and Operating Statement, HUD Form 52681-B (Supplemental). Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000

or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Periodic fiscal, occupancy, general management and maintenance audits.

Records:

Those necessary to indicate compliance with Annual Contributions Contract/Housing Choice Voucher Contract.

Account Identification:

86-0302-0-1-604.

Obligations:

(Direct Payments for Specified Use) FY 12 \$92,930; FY 13 est \$0; and FY 14 est \$0

Range and Average of Financial Assistance:

N/A.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: N/A. Fiscal Year 2013: N/A. Fiscal Year 2014: N/A.

REGULATIONS, GUIDELINES, AND LITERATURE:

24 CFR 982; Section 8 Housing Choice Voucher Program Forms, Legal Contracts, and Publications Handbook, 7420.8; Housing Choice Voucher Program Guidebook, 7420.10G.

Regional or Local Office:

See Regional Agency Offices. HUD Field Office listed in the Catalog Address Appendix IV that has jurisdiction over the area in which the dwellings are located.

Headquarters Office:

Laure Rawson, 451 7th Street, S.W., Room 4210, Washington, District of Columbia 20410 Email: Laure.Rawson@hud.gov Phone: (202) 708-0477.

Website Address:

<http://www.hud.gov/offices/pih/programs/hcv/index.cfm>

RELATED PROGRAMS:

14.871 Section 8 Housing Choice Vouchers

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

14.881 MOVING TO WORK DEMONSTRATION PROGRAM

FEDERAL AGENCY:

Office of Public and Indian Housing, Department of Housing and Urban Development

AUTHORIZATION:

Omnibus Consolidated Rescissions and Appropriations Act of 1996, Public Law 104-134.

OBJECTIVES:

The purpose of this demonstration is to give public housing agencies and the Secretary of Housing and Urban Development the flexibility to design and test various approaches for providing and administering housing assistance that: reduce cost and achieve greater cost effectiveness in Federal expenditures; give incentives to families with children where the head of household is working, seeking work, or is preparing for work by participating in job training, educational programs, or programs that assist people to obtain employment and become economically self-sufficient; and increase housing choices for low-income families.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Under the demonstration, notwithstanding any provision of the United States Housing Act of 1937 except as provided in section 204(e) of Public Law 104-134, an agency may combine operating assistance provided under section 9

of the United States Housing Act of 1937, and tenant-based assistance provided under section 8 of such Act, to provide housing assistance for low-income families, as defined in section 3(b)(2) of the United States Housing Act of 1937, and services to facilitate the transition to work on such terms and conditions as the agency may propose and the Secretary may approve.

Applicant Eligibility:

Public housing agencies administering the public housing program and/or the section 8 housing assistance payments program may be selected by the Secretary to participate.

Beneficiary Eligibility:

Low-income public housing residents.

Credentials/Documentation:

PHAs must enter into an agreement with the Department that details the terms and conditions of participation in the demonstration. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. PHAs annually submit an MTW Plan to the program office that documents new and ongoing MTW activities. Plans must include criteria for: (A) families to be assisted, which shall require that at least 75 percent of the families assisted by participating demonstration public housing authorities shall be very low-income families, as defined in section 3(b)(2) of the United States Housing Act of 1937; (B) establishing a reasonable rent policy, which shall be designed to encourage employment and self-sufficiency by participating families, consistent with the purpose of this demonstration, such as by excluding some or all of a family's earned income for purposes of determining rent; (C) continuing to assist substantially the same total number of eligible low-income families as would have been served had the amounts not been combined; (D) maintaining a comparable mix of families (by family size) as would have been provided had the amounts not been used under the demonstration; and (E) assuring that housing assisted under the demonstration program meets housing quality standards established or approved by the Secretary; and (4) may request assistance for training and technical assistance to assist with design of the demonstration and to participate in a detailed evaluation.

Award Procedure:

The program office reviews and approves the MTW Plan.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. The program office must notify the PHA within 75 days after the PHA submits the Plan of disapproval. If the PHA is not notified of disapproval within 75 days, the Plan shall be considered approved.

Appeals:

To be determined.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

MTW agencies must meet the requirements stated in their MTW agreement. See the following for information on how assistance is awarded/released: Grant agreements are executed and funds are made available through HUD's financial system.

Reports:

Annual MTW report is required 90 days after the end of the PHAs fiscal year. No cash reports are required. No progress reports are required. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. The MTW program is audited in conjunction with the regular HUD or independent public accountant audit of PHA operations.

Records:

The PHA must maintain budgets and cumulative cost records for review by HUD and independent auditors, as applicable.

Account Identification:

86-0163-0-1-604; 86-0304-0-1-604; 86-0302-0-1-604.

Obligations:

(Formula Grants) FY 12 \$4,063,856,764; FY 13 est \$3,842,935,632; and FY 14 est \$4,261,354,339

Range and Average of Financial Assistance:

N/A.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: The program completed the process of soliciting for 4 additional PHAs in accordance with FY 2010 and FY 2011 appropriations statute. Fiscal Year 2013: The program selected 4 additional MTW PHAs in accordance with FY 2010 and FY 2011 appropriations statute. Additional guidance was provided regarding serving substantially the same number of families. Fiscal Year 2014: FY 2014 administration budget proposal includes a request to expand the MTW program.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None. Inquiries should be addressed to the Public Housing Director who has jurisdiction over the PHA (See the Catalog, Appendix IV for HUD Program office addresses).

Headquarters Office:

Ivan Pour, 451 7th St, SW, Room 4130, Washington, District of Columbia 20410 Email: ivan.m.pour@hud.gov Phone: (202) 402-2488.

Website Address:

<http://www.hud.gov/offices/pih/programs/ph/mtw/>.

RELATED PROGRAMS:

14.850 Public and Indian Housing; 14.871 Section 8 Housing Choice Vouchers; 14.872 Public Housing Capital Fund

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

**14.889 CHOICE NEIGHBORHOODS IMPLEMENTATION GRANTS
FEDERAL AGENCY:**

Office of Public and Indian Housing, Department of Housing and Urban Development

AUTHORIZATION:

Consolidated Appropriations Act, 2010, Consolidated and Further Continuing Appropriations Act of 2012, Public Law 112-55.

OBJECTIVES:

Choice Neighborhoods Implementation Grants will employ a comprehensive approach to community development centered on housing transformation. The program aims to transform neighborhoods of poverty into viable mixed-income

neighborhoods with access to economic activities by revitalizing severely distressed public and assisted housing and investing and leveraging investments in well-functioning services, effective schools, and education programs, public assets, public transportation, and improved access to jobs.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Choice Neighborhoods will fund competitive grants to transform neighborhoods of extreme poverty into sustainable mixed-income neighborhoods with well-functioning services, schools, public assets, transportation and access to jobs.

Applicant Eligibility:

For Choice Neighborhoods Implementation Grants, Public Housing Agencies (PHAs), local governments, non-profits, and for-profit developers that apply jointly with a public entity.

Beneficiary Eligibility:

For Choice Neighborhoods Implementation Grants, the ultimate beneficiaries are residents of the severely distressed public and/or assisted housing projects and residents of the surrounding community these grants aim to transform.

Credentials/Documentation:

For Choice Neighborhoods Implementation Grants, the ultimate beneficiaries are residents of the severely distressed public and/or assisted housing projects and residents of the surrounding community these grants aim to transform. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. An environmental impact statement is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program.

Award Procedure:

HUD will issue a Notice of Funding Availability (NOFA), as provided by annual appropriations, via the Grants.gov website. HUD headquarters will coordinate reviews of each eligible application for threshold compliance, assigns rating points to applications that pass all thresholds, and the highest rated applications are notified that they have been selected to participate in the Choice Neighborhoods Implementation Grant program.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days. Approximately 150-180 days following the grant application deadline established by each NOFA. Please refer to each Notice of Funding Availability (NOFA) for application deadlines.

Appeals:

Applicants are notified by HUD if an application is not approved.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: This program has no statutory formula. Matching

Requirements: Choice Neighborhoods Implementation Grants will leverage funds from state, local or private sources as well as other HUD and Federal programs. HUD is required by section 24(c)(1)(A) of the 1937 Act (42 U.S.C. 1437v(c)(1)(A)) to include the requirement for matching funds for all HOPE VI-related grants, which includes Choice Neighborhoods. Applicants are required to have matching funds in the amount of five percent of the requested grant amount in cash or in-kind donations. This program does not have MOE requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Each grant agreement will establish timetables and milestones. Method of awarding/releasing assistance: lump sum. Method of awarding/releasing assistance: lump sum.

Reports:

No reports are required.

Audits:

No audits are required for this program.

Records:

Grantees are required to maintain copies of budgets and other cost records through the grant period to indicate compliance with the Grant Agreement.

Account Identification:

86-0218-0-1-604 - The above account is for FY 11.; 86-0349-0-1-604 - This account is for FY 12.

Obligations:

(Project Grants) FY 12 \$500,000; FY 13 est \$230,234,000; and FY 14 est \$390,000,000 -

Range and Average of Financial Assistance:

\$30,000,000 to \$40,000,000; Average \$33,000,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: HUD made one grant award of \$500,000 that will help support affordable housing and community development. Fiscal Year 2013: HUD expects to make 10-11 grant awards with a maximum grant size of \$30,000,000 that will help support affordable housing and community development. Fiscal Year 2014: HUD expects to make 10 grant awards with a maximum grant size of \$30,000,000 that will help support affordable housing and community development.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Caroline Clayton, 451 7th Street S.W. Room 4130, Washington, District of Columbia 20410 Email: choiceneighborhoods@hud.gov Phone: (202) 402-5461.

Website Address:

<http://www.hud.gov/cn/>

RELATED PROGRAMS:

14.866 Demolition and Revitalization of Severely Distressed Public Housing

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

14.890 CAPITAL FUND EDUCATION AND TRAINING COMMUNITY FACILITIES

FEDERAL AGENCY:

Office of Public and Indian Housing, Department of Housing and Urban Development

AUTHORIZATION:

Consolidated Appropriations Act, 2010.

OBJECTIVES:

To provide funds for the capital and management activities of Public Housing Agencies as authorized under section 9 of the United States Housing Act of 1937 (42 U.S.C. 1437g) (the Act), with the exception that funds cannot be used for operations or rental assistance. The funds shall be awarded by competition for priority investments, including investments that leverage private sector funding or financing for the construction, rehabilitation, or purchase of facilities to provide early childhood education, adult education, and/or job training programs for public housing residents. Funds shall be obligated through competitive funding by DATE. Public housing agencies shall prioritize capital projects that are already underway or included in the 5-year capital fund plans required by the Act (42 U.S.C. 1437c1(a)). Funds provided under this heading shall serve to supplement and not supplant expenditures from other Federal, State, or local sources or funds independently generated by the grantees.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Funds in this Category may be used to pay for any eligible Capital Fund expenses, including substantial rehabilitation, acquisition, and construction, as defined in 24 CFR Parts 905, 941 and 968, that help achieve the program objective of improving educational and economic outcomes for public housing residents through the use of education and/or training community facilities. Specifically, the following are eligible activities:

- A. Improvements to or construction of non-dwelling areas to provide additional space to offer or provide education and/or training services to public housing residents.
- B. Conversion of dwelling units to provide space for service providers targeted to public housing residents, i.e. the renovation, conversion, or joining of vacant units within a PHA development to create an education and/or training community facility.
- C. The renovation or conversion of existing common areas in a PHA development to accommodate an education and/or training community facility.
- D. If renovation, conversion, or repair is done off-site, the PHA must provide documentation with its application that it has control of the proposed property and will continue to have control for the term of the ACC. Control can be demonstrated through a lease agreement, ownership documentation, or other documentation that demonstrates that the PHA will have control of the proposed property for the duration of the ACC term.
- E. Security and related costs for physical improvements and other equipment for safeguarding the center and other longer-term security measures, as needed, but not including personnel costs and salaries for security guards.
- F. Hard costs of construction plus costs associated with new construction and rehabilitation, such as architectural, engineering, and related professional services, required to prepare plans or drawings, write-ups, specifications, or inspections.
- G. Costs associated with acquisition and rehabilitation, such as renovation, conversion, and repair costs.
- H. In accordance with their Section 3 plan, applicants shall, to the greatest extent feasible and consistent with existing federal, state, and local laws and regulations, utilize low and very low income persons, particularly public housing residents, to help with the renovation or construction of community facilities under this program. Specifically, the following are ineligible activities:
 - A. Operating or rental assistance activities. Capital funds awarded under this program cannot be transferred to or used for operations or rental assistance activities. These funds cannot be transferred to Account 1406 Operations.
 - B. Routine maintenance activities.
 - C. Activities carried out before the date of the ACC amendment obligating the grant.
 - D. Luxury improvements, direct social services, and costs funded by other programs.
 - E. Moving to Work Agency Requirements. Agencies participating in the Moving to Work Demonstration (MTW) are authorized to combine operating and capital assistance provided under Section 9 and voucher assistance under Section 8 of the United States Housing Act of 1937 as part of the regular appropriation process. However, line item appropriations, which are supplemental to the regular appropriations process, may be used only for the specific purpose for which they were appropriated. As such, funds under this

program may not be combined with other Section 8 or Section 9 assistance. F. Facilities located in a development that is currently receiving a HOPE VI Revitalization grant or that was constructed, acquired, or rehabilitated using a HOPE VI Revitalization grant.

Applicant Eligibility:

None.

Beneficiary Eligibility:

None.

Credentials/Documentation:

Documents and Forms
Site Control-Section VII, D
Program Schedule-Section XI, C
Budget-HUD 50075.1, Parts I and II-Section XI, D, 1
Physical Description of proposed facility-Section XI, F
Form SF-LLL-Section XI, M
Development Schedule-Section XIII, C, 2
Elogic Model-Section XIII, E
HUD-2990-Section XIII, G, 1

Certifications and Commitments

That each funding source is firmly committed-Section XI, D, 3 and Section XIII, D

That each partner is firmly committed-Section XI, E and Section XIII, C, 4, a
That PHA will affirmatively further fair housing-Section XI, L

That PHA will follow the NOFA and all applicable law and regulations-Section XI, N

That PHA has firm commitments for any match-Section XIII, D, 2

That PHA has complied with Section 3 during the past year-Section XIII, F, 2, a, 1

That PHA has a feasible Section 3 plan on file-Section XIII, F, 2, a, 2

That PHA has a letter of commitment from the local Workforce Investment Board-Section XIII, F, 2, b, 1

That PHA has a relationship with other organizations that can help the programs-Section XIII, F, 2, b, 2. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Submittals via grants.gov

Award Procedure:

Funds will be awarded in accordance with the Funding Notice.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

These terms will be established in the Funding Notice.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching requirements are not applicable to this program.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Four years with the following restrictions: funds allocated to a PHA be 90 percent obligated within 24 months of the date when the funds became available and be 100 percent expended within 48 months of the date when the funds became available. Method of awarding/releasing assistance: lump sum.

Reports:

No reports are required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. The CFCF program is audited in conjunction with the regular HUD or independent public accountant audit of PHA operations.

Records:

The PHA must maintain budgets and cumulative cost records for review by HUD and independent auditors, as applicable.

Account Identification:

86-0304-0-1-614.

Obligations:

(Project Grants) FY 12 \$6,515,196; FY 13 est \$0; and FY 14 est \$0 - None.

Range and Average of Financial Assistance:

\$278,656 to \$4,000,000; \$1,628,799 average.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: 4 grants were awarded for the purpose of developing educational and job training facilities for public housing residents. Fiscal Year 2013: N/A. Fiscal Year 2014: N/A.

REGULATIONS, GUIDELINES, AND LITERATURE:

24 CFR Part 905, as applicable

Regional or Local Office:

None. Inquiries should be addressed to the Public Housing Director who has jurisdiction over the PHA (See the Catalog, Appendix IV for HUD Field Office addresses).

Headquarters Office:

Jeffrey Riddel, 451 7th St. SW, Room 4146, Washington, District of Columbia 20410 Email: Jeffrey.Riddel@hud.gov Phone: (202) 708-1640.

Website Address:

No Data Available

RELATED PROGRAMS:

14.872 Public Housing Capital Fund

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

14.891 PUBLIC AND INDIAN HOUSING TRANSFORMATION INITIATIVE (TI) TECHNICAL ASSISTANCE (TA)

FEDERAL AGENCY:

Office of Public and Indian Housing, Department of Housing and Urban Development

AUTHORIZATION:

Consolidated Appropriations Act, 2010.

OBJECTIVES:

The Public and Indian Housing Transformation Initiative Technical Assistance shall be used for the technical assistance and capacity building. TI TA funds will increase the operational efficiency of PIH processes and is a key step toward capacity building and technical awareness that are at the heart of HUDs mission. Public and Indian Housing TI TA provides for the underlying systems that have formed the basis for PIH programs, as well as incentivizes improved service provision and increases operational efficiency.

TYPES OF ASSISTANCE:

Provision of Specialized Services

USES AND USE RESTRICTIONS:

Transformation Initiative Technical Assistance was designed to create sufficient funding for HUD to transform and modernize its operations. TI TA funds will be used to improve operations including: improving operational efficiency of projects, disaster outreach projects, training and development initiatives, and green initiatives.

Applicant Eligibility:

Increasing the operation efficiency of PIH processes is a key step toward capacity building and technical awareness that are at the heart of HUDs mission.

Beneficiary Eligibility:

Public and Indian Housing Transformation Initiatives Technical Assistance ultimate beneficiaries are those who receive assistance. These initiatives will improve the way the Department operates.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

Awards are internal to the Department.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Amounts transferred into the TI TA account shall be available until September 30, 2012. See the following for information on how assistance is awarded/released: Assistance is awarded internally within the Department.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

No Data Available.

Account Identification:

86-0402-0-1-604.

Obligations:

(Provision of Specialized Services) FY 12 \$1,741,434; FY 13 est \$6,500,000; and FY 14 est \$2,795,441

Range and Average of Financial Assistance:

Range \$364,000-\$6,200,000
Average \$2,892,900.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: FY 2012: Independent assessments for Troubled PHAs; Information Resource Center Services; and Integrated Technical Assistance for PIH programs. Fiscal Year 2013: TI TA will continue to build on actions started

in FY 2011 and FY 2012 and address any new areas where efficiency can be improved. Fiscal Year 2014: TI TA will continue to build on actions started in FY 2012 and FY 2013 and address any new areas where efficiency can be improved.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Gary VanBuskirk 451 7th Dt, SW, Room 4246
, Washington, District of Columbia 20410 Email: Gary.F.VanBuskirk@hud.gov
Phone: 20.402.4307 Fax: 202.708.8937

Website Address:

No Data Available

RELATED PROGRAMS:

14.195 Section 8 Housing Assistance Payments Program_Special Allocations;
14.850 Public and Indian Housing; 14.872 Public Housing Capital Fund;
15.141 Indian Housing Assistance

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

14.892 CHOICE NEIGHBORHOODS PLANNING GRANTS

FEDERAL AGENCY:

Office of Public and Indian Housing, Department of Housing and Urban Development

AUTHORIZATION:

Consolidated Appropriations Act, 2010, Consolidated and Further Continuing Appropriations Act, 2012, Public Law 111-111-117.

OBJECTIVES:

Choice Neighborhoods Planning Grants will support the development of comprehensive neighborhood Transformation Plans. The Transformation Plan should integrate effective strategies to implement public and/or assisted housing revitalization, the coordination and design of supportive services, including educational opportunities for children, and neighborhood-level planning to improve a range of neighborhood assets. The Transformation Plan should be created as part of a collaborative planning process that involves neighborhood stakeholders and local governmental entities to build the necessary support to successfully implement the plan.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Choice Neighborhoods Planning Grants will fund the creation of Transformation plans that are intended to become the guiding document for the revitalization of the public and/or assisted housing units while simultaneously directing the transformation of the surrounding neighborhood and outlining the steps necessary to create positive outcomes for families within the targeted neighborhood. Refer to NOFA.

Applicant Eligibility:

Choice Neighborhoods Planning Grants will support the development of comprehensive neighborhood Transformation Plans. The Transformation Plan should integrate effective strategies to implement public and/or assisted housing revitalization, the coordination and design of supportive services, including educational opportunities for children, and neighborhood-level planning to improve a range of neighborhood assets. The Transformation Plan should be created as part of a collaborative planning process that involves neighborhood stakeholders and local governmental entities to build the necessary support to successfully implement the plan.

Beneficiary Eligibility:

For Choice Neighborhoods Planning Grants, the ultimate beneficiaries are residents of the severely distressed public and/or assisted housing unit and

residents of the surrounding community these grants aim to engage in the creation of the community drafted Transformation Plan.

Credentials/Documentation:

Eligibility requirements to apply for Choice Neighborhoods Planning Grants are included in the Notice of Funding Availability (NOFA). OMB Circular No. A-87 applies to this program. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program.

Award Procedure:

HUD will issue a Notice of Funding Availability (NOFA), as provided by annual appropriations, via the Grants.gov website. HUD headquarters will coordinate reviews of each eligible application for threshold compliance, assigns rating points to applications that pass all thresholds, and the highest rated applications are notified that they have been selected to participate in the Choice Neighborhoods Planning Grant program.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. Refer to each Notice of Funding Availability (NOFA) for application deadlines.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: Percent: 5%. This program has no statutory formula. Matching Requirements: Choice Neighborhoods Planning Grants will leverage funds from state, local or private sources as well as other HUD and Federal programs. HUD is required by section 24(c)(1)(A) of the 1937 Act (42 U.S.C. 1437v(c)(1)(A)) to include the requirement for matching funds for all HOPE VI-related grants, which includes Choice Neighborhoods. Applicants are required to have matching funds in the amount of five percent of the requested grant amount in cash or in-kind donations. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

24 months from grant execution. Method of awarding/releasing assistance: lump sum.

Reports:

Grantees are required to submit quarterly reports to HUD that will track Grantee progress against approved budgets and schedules. No cash reports are required. Grantees are required to submit quarterly reports to HUD that will track Grantee progress against approved budgets and schedules. No expenditure reports are required. No performance monitoring is required.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. This program is excluded from coverage under OMB Circular No. A-133. Grants are audited in conjunction with regular HUD or independent public accountant audits in accordance with 24 CFR 85.26, and after grant close-out.

Records:

Grantees are required to maintain copies of budgets and other cost records through the grant period to indicate compliance with the Grant Agreement.

Account Identification:

86-0218-0-1-604; 86-0349-0-1-604.

Obligations:

(Project Grants) FY 12 \$0; FY 13 est \$10,000,000; and FY 14 est \$10,000,000

Range and Average of Financial Assistance:

N/A.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: N/A. Fiscal Year 2013: HUD plans to award 30 Planning Grants. Fiscal Year 2014: HUD plans to award 20 Planning Grants.

REGULATIONS, GUIDELINES, AND LITERATURE:

No regulations have been published. Each grant is subject to the terms of its NOFA and Grant Agreement, which is the contract signed by the Grantee and HUD. HUD posts guidance to the Choice Neighborhoods website, www.hud.gov/cn.

Regional or Local Office:

None.

Headquarters Office:

Caroline Clayton, 451 7th Street S.W. Room 4130, Washington, District of Columbia 20410 Email: choiceneighborhoods@hud.gov Phone: (202) 402-5461.

Website Address:

<http://www.hud.gov/cn>

RELATED PROGRAMS:

14.866 Demolition and Revitalization of Severely Distressed Public Housing;
14.889 Choice Neighborhoods

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

14.913 HEALTHY HOMES PRODUCTION PROGRAM

Healthy Homes Production Grant Program

FEDERAL AGENCY:

Office of Healthy Homes and Lead Hazard Control, Department of Housing and Urban Development

AUTHORIZATION:

Omnibus Appropriations Act, 2011, Public Law 111-8.

OBJECTIVES:

The purpose of the Healthy Homes Production Program is to comprehensively address multiple residential health and safety hazards, including mold, carbon monoxide, home safety hazards, pesticides and allergens (from pets and pests).

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

For Fiscal Year 2011, potential applicants should consult the NOFA for available grant funding, particular requirements and restrictions, and application due dates. Submission information is provided in the NOFA.

Applicant Eligibility:

Eligible applicants include not-for-profit institutions and for-profit firms, state and local governments, , federally-recognized Indian Tribes, and colleges and universities located in the United States. For-profit firms are not allowed to make a profit from the project. Individuals are not eligible to apply.

Beneficiary Eligibility:

Healthy Homes Production Grants provide funding to not-for-profit institutions and for-profit firms, state and local governments, , federally-recognized Indian Tribes, and colleges and universities located in the United States for projects that include the assessment and mitigation of residential environmental health and safety hazards, development of local capacity and the delivery of

education/outreach services to the community.

Credentials/Documentation:

Credentials/Documentation: Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments and specific requirements of 24 CFR 85. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. The competitive Notification of Funding Availability (NOFA) for fiscal year 2011 Healthy Homes Production Program grants provides information about the www.grants.gov application procedure.

Award Procedure:

HUD's review and awarding procedures are explained in the NOFA.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The Healthy Homes Production Program award is for 36 months. If approved, grantees are eligible to receive a single period of performance extension of up to 12 months in accordance with 24 CFR 85.24(e)(2) or 85.30(d)(2). Method of awarding/releasing assistance: lump sum.

Reports:

No program reports are required. No cash reports are required. No progress reports are required. Quarterly financial management and performance reports are required of participating jurisdictions. Quarterly financial management and performance reports are required of participating jurisdictions.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

As required by OMB Circular A-110, "Uniform Administrative Requirements for Grants and Agreements With Institutions of Higher Education, Hospitals and Other Organizations."

Account Identification:

86-0174-0-1-451.

Obligations:

(Project Grants) FY 12 Not Available; FY 13 Not Available; and FY 14 Not Available

Range and Average of Financial Assistance:

With Fiscal Year 2010 , approximately 10 awards, of approximately \$1,000,000 each for the entire

period of performance are anticipated.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

All relevant information if provided in the The Notice of Funding Availability.

Regional or Local Office:

None.

Headquarters Office:

Jeff W. Simpkins, 451 Seventh Street, SW (Room 8236), Washington, District of Columbia 20410 Email: Jeffrey.W.Simpkins@hud.gov Phone: (202) 402-7180.

Website Address:

No Data Available

RELATED PROGRAMS:

14.900 Lead-Based Paint Hazard Control in Privately-Owned Housing; 14.905 Lead Hazard Reduction Demonstration Grant Program; 14.906 Healthy Homes Technical Studies Grants

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

For Fiscal Year 2010 HUD will use the technical and financial selection criteria listed in the NOFA.

DEPARTMENT OF THE INTERIOR

15.027 ASSISTANCE TO TRIBALLY CONTROLLED COMMUNITY COLLEGES AND UNIVERSITIES

FEDERAL AGENCY:

Bureau of Indian Education, Department of the Interior

AUTHORIZATION:

Tribally Controlled Community College Assistance Act, Public Law 95-471, 25 U.S.C. 640c - 1(c); 25 U.S.C. 1815.

OBJECTIVES:

To provide grants for the operation and improvement of Tribal Colleges and Universities (TCUs) to insure continued and expanded educational opportunities for Indian students, and to allow for the improvement and expansion of the physical resources of such institutions.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds must not be used in connection with religious worship or sectarian instruction. Funds may be used for the general operating costs of the Tribally Controlled Community College to defray, at the determination of the College, expenditures for academic, educational and administrative purposes and for the operation and maintenance of the College.

Applicant Eligibility:

Colleges chartered by Federally Recognized Indian Tribes or tribal organizations which are governed by a board of directors, are in operation more than one year, admit students with a certificate of graduation from a secondary institution or equivalent, provide certificates, associate, baccalaureate and graduate degrees, are nonprofit and nonsectarian, and are accredited by a nationally recognized agency or association.

Beneficiary Eligibility:

Indian students who are a member of or are at least a one-fourth degree Indian blood descendant of a member of an Indian tribe which is eligible for the special programs and services provided by the United States through the Bureau of Indian Affairs to Indians because of their status as Indians.

Credentials/Documentation:

Written evidence of approval of the application by the governing body of one or more Indian tribes of the students served by or to be served by the college. "Tribal governing body" means the tribal governing body or tribal governing

bodies that represent at least 90 percent of the students served by such school. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

An applicant should consult the Division of Post-Secondary Education. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. The organization should submit written evidence of approval of the application by the governing body of one or more Indian tribes of the students served by or to be served by the college to the Director, Bureau of Indian Education.

Award Procedure:

After receiving approval of the application by the governing body or governing bodies, the Director will designate a study team to determine whether there is justification to encourage maintaining a college.

Deadlines:

Jul 01, 2011 Colleges must submit the Tribe's approval of the application by March 1 of the fiscal year preceding the year in which they wish to be eligible for funding. Evidence that all administrative systems are in place such as accounting, personnel and property must be submitted by July 1 of the preceding year in which they wish to be eligible for funding.

Range of Approval/Disapproval Time:

The study team will be designated within 30 days. Within 60 days the team must complete its eligibility study. The tribe will be notified of approval within 60 days of completion of the study.

Appeals:

A negative determination may be appealed to the Assistant Secretary - Indian Affairs. Within 30 days of receiving a notice of appeal the Assistant Secretary must conduct a hearing at which the applicant may present evidence and offer arguments in support of the appeal. Within 30 days after the hearing the Assistant Secretary must issue a written ruling on the appeal. Specifics relative to the appeals process are found in 25 CFR 41.7.

Renewals:

Colleges must file an annual application to receive continued funding.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awards are made on an annual basis and the funds remain available without fiscal year limitation until expended by the contractor/grantee. See the following for information on how assistance is awarded/released: Funds are calculated based on the recipient's Indian Student Count and are issued with a Form 21 Grant Agreement.

Reports:

OMB 1076-0105, Annual Report. Cash reports are not applicable. No progress reports are required. Federal Financial Report, SF 425 is required. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Financial records must be retained for 3 years from the date of submission of the single audit report. Procurement records must be retained for 3 years from the date of final payment. Property records must be retained for 3 years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions or claims must be retained until the dispute has been

resolved.

Account Identification:

14-2100-0-1-501.

Obligations:

(Project Grants) FY 12 \$66,583,158; FY 13 est \$63,254,000; and FY 14 est \$63,254,000

Range and Average of Financial Assistance:

Range is \$338,460 to \$ 13,149,043; Average \$2,466,042. The amount of the award is determined by the number of eligible Indian students enrolled in the college. Indian Tribes may choose to supplement the funding provided by the grant program by identifying additional amounts in the Tribal Priority Allocations portion of the Bureau of Indian Affairs budget.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: No Current Data Available. Fiscal Year 2013: No Current Data Available. Fiscal Year 2014: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

25 CFR, Part 41; 25 CFR, Part 276.

Regional or Local Office:

See Regional Agency Offices. See BIE Education Line Officers' addresses in Catalog Appendix IV of the Catalog.

Headquarters Office:

James Martin Bureau of Indian Education, 1849 C Street, NW, , Washington, District of Columbia 20240 Email: james.martin@bie.edu Phone: (202) 208-5810

Website Address:

<http://www.bie.edu>.

RELATED PROGRAMS:

15.026 Indian Adult Education; 15.114 Indian Education_Higher Education Grant Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available. Fiscal Year 2013: No Current Data Available. Fiscal Year 2014: No Current Data Available.

CRITERIA FOR SELECTING PROPOSALS:

Applicants which the study team determines as eligible will be funded.

15.028 TRIBALLY CONTROLLED COMMUNITY COLLEGE ENDOWMENTS

FEDERAL AGENCY:

Bureau of Indian Education, Department of the Interior

AUTHORIZATION:

Tribally Controlled College Assistance Act, Public Law 95-471, 25 U.S.C. 640c - 1(c); 25 U.S.C. 1815.

OBJECTIVES:

To provide grants to establish endowments for the Tribally Controlled Community Colleges and Universities.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds are to be used to establish endowments. Interest earned on the invested funds may be used to defray expenditures associated with the operation of the College including maintenance, administration, academic and support costs, and community and student services programs. No part of the net earnings of the trust fund may be used to benefit any private person.

Applicant Eligibility:

Colleges chartered by Federally Recognized Indian Tribes which are governed by an Indian board of directors, are in operation more than one year, admit students with a certificate of graduation from a secondary institution or equivalent, provide certificates, associate, baccalaureate and graduate degrees, are nonprofit and nonsectarian, and are accredited by a nationally recognized agency or association.

Beneficiary Eligibility:

Indian students who are a member of or are at least a one-fourth degree Indian blood descendant of a member of an Indian tribe which is eligible for the special programs and services provided by the United States through the Bureau of Indian Affairs to Indians because of their status as Indians.

Credentials/Documentation:

Approved status as a Tribal College or University. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Colleges must complete an Endowment Fund agreement in accordance with 25 U.S.C. 1832(b).

Award Procedure:

The headquarters office calculates the amount of the award and the grantee is notified the Division of Post-Secondary Education.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Established by the Division of Post-Secondary Education.

Appeals:

An applicant who disputes the amount of the award may request an informal conference with the deciding official, or may appeal the denial of the application to the Interior Board of Indian Appeals. Full appeal procedures are found in 25 CFR Part 2.

Renewals:

Colleges must file an annual application to receive continued funding.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching Requirements: The Colleges must match an endowment grant with a capital contribution equal to half of the amount of the Federal contribution. Personal or real property received as a donation or gift may be applied toward the matching requirement. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awards are made on an annual basis. See the following for information on how assistance is awarded/released: Funds are prorated on the number of eligible and are issued with a Form 21 Grant Agreement.

Reports:

OMB 1076-0105, Annual Report. Cash reports are not applicable. Progress reports are not applicable. Federal Financial Report, SF 425 is required. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Financial records must be retained for 3 years from the date of submission of the single audit report. Procurement records must be retained for 3 years from the date of final payment. Property records must be retained for 3 years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions or claims must be retained until the dispute has been resolved.

Account Identification:

14-2100-0-1-501.

Obligations:

(Project Grants) FY 12 \$109,000; FY 13 est \$103,300; and FY 14 est \$103,300

Range and Average of Financial Assistance:

Range is \$0.00 to \$4,542; Average \$4,542.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: No Current Data Available. Fiscal Year 2013: No Current Data Available. Fiscal Year 2014: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

25 CFR Part 41.

Regional or Local Office:

See Regional Agency Offices. See BIA Regional Agency Offices. See BIE Education Line Officers' addresses in Catalog Appendix IV of the Catalog.

Headquarters Office:

James Martin 1849 C Street, Washington, District of Columbia 20240 Email: james.martin@bie.edu Phone: (202) 208-5810

Website Address:

<http://www.oiep.bia.edu;> <http://www.doi.gov/bureau-indian-affairs.html>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available. Fiscal Year 2013: No Current Data Available. Fiscal Year 2014: No Current Data Available.

CRITERIA FOR SELECTING PROPOSALS:

Applicants meeting eligibility criteria are funded.

15.033 ROAD MAINTENANCE_INDIAN ROADS

FEDERAL AGENCY:

Bureau of Indian Affairs, Department of the Interior

AUTHORIZATION:

Federal Highway Act of 1921, as amended, Public Law 70-520, 45 Stat. 750, 25 U.S.C. 318(a); Indian Self-Determination and Education Assistance Act, Public Law 93-638, as amended, 25 U.S.C. 450; 23 U.S.C. Chapter 2, as amended; and 25 CFR 170, Subpart G, BIA Road Maintenance.

OBJECTIVES:

To provide limited routine and preventive maintenance on BIA transportation facilities as described below: (1) BIA road systems and related road appurtenances such as signs, traffic signals, pavement striping, trail markers, guardrails, etc.; (2) Highway bridges and drainage structures; (3) Airport runways and heliport pads, including runway lighting; (4) Boardwalks; (5) Adjacent parking areas; (6) Maintenance yards; (7) Bus stations; (8) System public pedestrian walkways, paths, bike and other trails; (9) Motorized vehicle trails; (10) Public access roads to heliports and airports; (11) BIA and tribal post-secondary school roads and parking lots built with IRR Program funds; and (12) Public ferry boats and boat ramps.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

These funds are used to repair and maintain BIA transportation facilities serving Indian reservations, with particular emphasis on school bus routes and arterial highways; for snow removal, ice control, washout repair, and landslide removal; for replacement, rehabilitation, or maintenance of the 900 bridges on Indian reservation roads; for operation and maintenance of a ferry across Lake Roosevelt serving the Colville Indian Reservation; and for the maintenance of public airstrips located on Indian reservations. Access to these funds is restricted to tribes with qualifying BIA road miles or other facilities required to be maintained by this program.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments with BIA transportation facilities qualifying for this program.

Beneficiary Eligibility:

Federally Recognized Indian Tribal Governments; individual tribal members and the public that use the BIA transportation system on Indian Reservation and lands.

Credentials/Documentation:

Initial application must be accompanied by a resolution of the governing body of the Indian tribe. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Tribes with BIA transportation facilities must submit a needs request to receive consideration for funding to maintain roads or facilities that are eligible under this program. An informal preapplication conference is recommended. Technical assistance in preparing the application is available upon request. All applicable laws and regulations associated with this program must be complied with. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Initial applications must contain the information specified in 25 CFR, Part 900, Subpart C, "Contract Proposal Contents." Completed applications should be submitted to the local Bureau of Indian Affairs agency office listed in Appendix IV of the Catalog.

Award Procedure:

The dollar value of the awards depends upon the inventory of BIA roads, BIA bridges and other BIA transportation facilities and the amounts appropriated annually to the Bureau of Indian Affairs, U.S. Department of the Interior.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Applications will be processed within 90 days.

Appeals:

An unsuccessful applicant may request an informal conference with the deciding official or may appeal the denial of the application directly to the Interior Board of Indian Appeals, or may bring suit in U.S. District Court. Full appeal procedures are found in 25 CFR, Part 900.

Renewals:

Awards may be renewed indefinitely upon satisfactory performance by the contractor/grantee. A notice of intent to renew should be submitted at least 90 days prior to the expiration of the current award. The amount of the award may be adjusted as a result of individual tribal priorities established in the budget formulation process.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awards are made on an annual basis and the funds remain available until expended by the contractor/grantee. Payments may be made in advance or by way of reimbursement. The timing of payments will be negotiated with the grantee. See the following for information on how assistance is awarded/released: Not available.

Reports:

Not Applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Financial records must be retained for 3 years from the date of submission of

the single audit report. Procurement records must be retained for 3 years from the date of final payment. Property records must be retained for 3 years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions or claims must be retained until the dispute has been resolved.

Account Identification:

14-2100-0-1-452.

Obligations:

(Direct Payments for Specified Use) FY 12 \$25,345,482; FY 13 est \$25,155,000; and FY 14 Estimate Not Available

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

25 CFR 170, Indian Reservation Roads Program; 25 CFR 900, Contracts Under the Indian Self-Determination and Education Assistance Act; and 25 CFR 1000, Annual Funding Agreements Under the Tribal Self-Government Act Amendments to the Indian Self-Determination and Education Act.

Regional or Local Office:

See Regional Agency Offices. Applications may be filed with the local Bureau of Indian Affairs' agency office as listed in Appendix IV of the Catalog.

Headquarters Office:

LeRoy Gishi, Office of Indian Services, Division of Transportation, Bureau of Indian Affairs, 1849 C Street NW, MS-4532 MIB, Washington, District of Columbia 20240 Phone: (202) 513-7714.

Website Address:

<http://www.bia.gov/WhoWeAre/BIA/OIS/Transportation>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Road Maintenance: \$14,442,013
Program Management: \$2,211,795
Ferry O&M: \$370,500
Snow/Ice Removal: \$508,004
Airstrip Maintenance: \$326
Emergency Maintenance: \$18,200
Routine Maintenance: \$6,201,867
Bridge Maintenance: \$46,469. Fiscal Year 2013: Information Not Available.
Fiscal Year 2014: Information Not Available.

CRITERIA FOR SELECTING PROPOSALS:

All applicants meeting the criteria in 25 CFR Part 900 will be funded.

15.034 AGRICULTURE ON INDIAN LANDS

FEDERAL AGENCY:

Bureau of Indian Affairs, Department of the Interior

AUTHORIZATION:

Indian Self-Determination and Education Assistance Act, Public Law 93-638, as amended, 25 U.S.C. 450; American Indian Agriculture Resource Management Act, Public Law 103-177, 107 Stat. 2011, 25 U.S.C. 3701.

OBJECTIVES:

To protect and restore the agricultural (cropland and rangeland) resources on trust lands and facilitate the development of renewable agricultural resources.

TYPES OF ASSISTANCE:

ADVISORY SERVICES AND COUNSELING; DIRECT PAYMENTS FOR A SPECIFIED USE; PROVISION OF SPECIALIZED SERVICES

USES AND USE RESTRICTIONS:

Funds are used to support inventory, analysis, management, and improvement of Indian agricultural lands; to administer authorized use of Indian agricultural lands; and to support efforts to control noxious weeds and other invasive species on Indian lands.

Applicant Eligibility:

Native American individuals, tribal governments and native organizations authorized by tribal governments, and individuals and entities authorized to make use of Indian agricultural lands and resources.

Beneficiary Eligibility:

Native American landowners, Indian tribes and their members, native organizations authorized by tribal governments, and individuals and entities authorized to make use of Indian agricultural lands and resources. Agricultural grant programs (Rangeland Inventory and Noxious Weed Control) are not currently available to individuals or to non-tribal land-user entities.

Credentials/Documentation:

Must have a landownership, tribal government, or authorized-user interest in the Indian agricultural land or resource that is the basis of assistance request. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. For Public Law 93-638 requests, awards of noxious weed control funding are made by the Central Office of the BIA. Individual advisory services and counseling, and provision of specialized services are handled at the Agency, Region, or Central Office level as appropriate.

Award Procedure:

In most cases, the award can be approved at the agency level. In some instances, the application will be forwarded to the Regional Director for approval. The dollar value of Agriculture awards depends upon the amount that has been prioritized by the individual tribe through tribal participation in the BIA's budget formulation process (TPA).

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

For Public Law 93-638 requests applications will be processed within 90 days.

Appeals:

For Public Law 93-638 requests an unsuccessful applicant may request an informal conference with the deciding official, or may appeal the denial of the application to the Interior Board of Indian Appeals, or may bring suit in U.S. District Court. Full appeal procedures are found in 25 CFR, Part 900.

Renewals:

For Public Law 93-638 requests agriculture awards may be renewed indefinitely upon satisfactory performance by the contractor/grantee. A notice of intent to renew should be submitted at least 90 days prior to the expiration of the current award. Noxious Weed Eradication assistance is awarded for projects on a year-by-year basis and is not intended to provide perennial support for tribal programs.

Formula and Matching Requirements:

This program has no statutory formula.
Matching Requirements: Percent: 50%. Noxious Weed Eradication requires a minimum 50 percent cost-share agreement for the control projects.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

For Public Law 93-638 requests noxious weed control funding is awarded annually and must be obligated by the second year. See the following for information on how assistance is awarded/released: Contact the headquarters or regional office.

Reports:

The timing and nature of program accomplishment data will be negotiated with the contractor/grantee. Cash reports are not applicable. Progress reports are not applicable. Federal Financial Reports, SF 425 are required. The timing and nature of program accomplishment data will be negotiated with the contractor/grantee. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Financial records must be retained for 3 years from the date of submission of the single audit report. Procurement records must be retained for 3 years from the date of final payment. Property records must be retained for 3 years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions, or claims must be retained until the dispute has been resolved.

Account Identification:

14-2100-0-1-302.

Obligations:

(Direct Payments for Specified Use) FY 12 \$28,836,787; FY 13 est \$27,494,016; and FY 14 Estimate Not Available

Range and Average of Financial Assistance:

Agriculture: \$200 to \$575,000; \$50,000. Noxious Weed Eradication: \$500 to \$300,000; \$6,200.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: No Current Data Available. Fiscal Year 2013: No Current Data Available. Fiscal Year 2014: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

25 CFR, Parts 161, 162, 166, 167, 168, and 900.

Regional or Local Office:

See Regional Agency Offices. Applications may be filed with the local Bureau of Indian Affairs agency office as listed in Appendix IV of the Catalog.

Headquarters Office:

David Edington, Office of Trust Responsibilities, Division of Water and Land Resources, Branch of Agriculture and Range, Bureau of Indian Affairs, 1849 C Street NW, MS 4513 MIB, Washington, District of Columbia 20240 Phone: (202) 513-0866.

Website Address:

<http://www.doi.gov/bia/otrhome.htm>; <http://www.doi.gov/bureau-indian-affairs.html>.

RELATED PROGRAMS:

15.033 Road Maintenance_Indian Roads

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available. Fiscal Year 2013: No Current Data Available. Fiscal Year 2014: No Current Data Available.

CRITERIA FOR SELECTING PROPOSALS:

For contracts under the Indian Self-Determination and Education Assistance Act: All applicants for assistance meeting the criteria in 25 CFR Part 900 will be funded. Noxious Weed Eradication: Project proposals are ranked according to land use and indicators of cooperators support, pest management integration, degree of establishment of targeted weed, and support of noxious weed control.

15.037 WATER RESOURCES ON INDIAN LANDS**FEDERAL AGENCY:**

Bureau of Indian Affairs, Department of the Interior

AUTHORIZATION:

Indian Self-Determination and Education Assistance Act, Public Law 93-638, as amended, 25 U.S.C. 450; Snyder Act of 1921, 25 U.S.C. 13, Public Law 67-85, 42 Stat. 208.

OBJECTIVES:

To support Indian tribes in the effective and efficient management, planning, and use of their water resources.

TYPES OF ASSISTANCE:

ADVISORY SERVICES AND COUNSELING; DIRECT PAYMENTS FOR A SPECIFIED USE; PROVISION OF SPECIALIZED SERVICES

USES AND USE RESTRICTIONS:

Funds are used by tribes to conduct water management and planning project and activities for the purpose of managing and conserving their water resources and to participate in the on-going water rights negotiation and litigation activities to protect and secure their lawful water rights. All awards are project/proposal specific and are the result of a nationwide competitive process. Contact the headquarters office for more information.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments and Native American Organizations authorized by Indian tribal governments.

Beneficiary Eligibility:

Federally Recognized Indian Tribal Governments and their members and Native American Organizations.

Credentials/Documentation:

Initial application for financial assistance must be accompanied by a resolution of the governing body of the Indian tribe. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Informal preapplication conference is recommended. Technical assistance in preparing the application is available upon request. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. The Bureau's application and prioritization procedure for water programs funding has been published as a Notice in the Federal Register, Vol. 70, No. 201, Wednesday, October 19, 2005 ("Notice of Revised Instructions for Preparing and Prioritizing Water Program Funding Requests"). Completed applications should be submitted by the established deadline to the local BIA agency office listed in Appendix IV of the Catalog.

Award Procedure:

Awards are made by the Deputy Bureau Director, Office of Trust Services, Central Office, based on the prioritization of all applications received through a thorough evaluation and scoring by a six-person review team. Awards are usually made shortly after the review team has completed its reviews of all proposals received in Central Office by the due date of September 15th. Any awards are subject to the availability of the congressional appropriations for the new fiscal year.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

There are no set times for approval or disapproval.

Appeals:

No appeal process. The Water Programs funds are annual, non-recurring congressional appropriations and any awards made on the basis of the published prioritization process and the availability of funds.

Renewals:

Funds are appropriated for use in non-recurring projects/activities on a year-to-year basis and are not intended to provide perennial support for tribal programs.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: Applications are evaluated and scored based on weighted criteria that have a maximum scoring range of 100 points (congressional and court mandates automatically score 100). No matching requirement, however, applications with available matching funds may score higher.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awards are made on an annual basis and the funds remain available until expended by the contractor or grantee. See the following for information on how assistance is awarded/released: Information not available.

Reports:

Water program funds awarded to tribes through Public Law 93-638, as amended, are subject to the requirements of the Act and 25 CFR Part 900. Cash reports are not applicable. Progress reports are not applicable. The Federal Financial Report, SF 425 is required. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Financial records must be retained for 3 years from the date of submission of the single audit report. Procurement records must be retained for 3 years from the date of final payment. Property records must be retained for 3 years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions, or claims must be retained until the dispute has been resolved.

Account Identification:

14-2100-0-1-302.

Obligations:

(Direct Payments for Specified Use) FY 12 Not Available; FY 13 est \$13,578,233; and FY 14 est \$11,064,000 - FY-13 budgets for Water Rights / Water Management Planning and Pre-Development were \$8,151,051 and \$5,427,182 .

Range and Average of Financial Assistance:

The range is \$10,000 to \$200,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: No Current Data Available. Fiscal Year 2013: No Current Data Available. Fiscal Year 2014: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Notice of Revised Instructions for Preparing and Prioritizing Water Program Funding Requests, Federal Register, Vol. 70, No. 201, Wednesday, October 19, 2005.

Regional or Local Office:

See Regional Agency Offices. Applications may be filed with the local Bureau of Indian Affairs' agency office as listed in Appendix IV of the Catalog.

Headquarters Office:

Division Chief, Bureau of Indian Affairs, Division of Natural Resources, Office of Trust Services, 1849 C Street, NW, MS 4650 MIB, Washington, District of Columbia 20240 Phone: (202) 208-3956.

Website Address:

None.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available. Fiscal Year 2013: No Current Data Available. Fiscal Year 2014: No Current Data Available.

CRITERIA FOR SELECTING PROPOSALS:

Water Management, Planning, and Pre-development proposals are evaluated according to the type of proposal (as published in the Federal Register Notice, Vol. 70, No. 201, October 19, 2005): Water Management - Indian Water and Associated Resources; Water Management - Coordination/Cooperation/Consultation/Conservation; Water Management -

Other; Water Planning and Pre-development - Indian Water; and Water Planning and Pre-development - Other: In addition, all proposals are evaluated on the basis of: Cost Effectiveness, Compliance with Requesting Guidelines; and Efficiency and Accomplishment. Projects are funded based on rankings of the projects Bureau wide, and in accordance with the national program priorities established for the program. Some preference is given to proposals that offer matching funds. Proposals are evaluated on the capability of the prospective applicant to successfully conduct the project, and on the budget and its effective use.

15.042 INDIAN SCHOOL EQUALIZATION PROGRAM (ISEP)

FEDERAL AGENCY:

Bureau of Indian Education, Department of the Interior

AUTHORIZATION:

Indian Self-Determination and Education Assistance Act, Public Law 93-638, as amended, 25 U.S.C. 450 et seq.; Indian Education Amendments of 1978, Public Law 95-561, 25 U.S.C. 2001 et seq.; Tribally Controlled Schools Act, 25 U.S.C. 2501 et seq.

OBJECTIVES:

To provide funding for primary and secondary education.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

Funds may be used for the education of Indian children in Bureau of Indian Education (BIE) funded schools. Funds may not be used for construction.

Applicant Eligibility:

Federally Recognized Indian Tribes or tribal organizations currently served by a Bureau of Indian Education funded school.

Beneficiary Eligibility:

Children between the ages of 5 and 21 who are a member of or are at least a one-fourth degree Indian blood descendant of a member of an Indian tribe which is eligible for the special programs and services provided by the United States through the Bureau of Indian Affairs to Indians because of their status as Indians.

Credentials/Documentation:

Written evidence of approval of the application by the tribal governing body of the students served by or to be served by the school. "Tribal governing body" means the tribal governing body or tribal governing bodies that represent at least 90 percent of the students served by such school. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

An applicant should consult the BIE Education Line Officer who will provide technical assistance. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. To become a contract school, applications should be developed in accordance with 25 CFR Part 900. To become a grant school, applications should be developed in accordance with 25 USC 2501 et. seq.

Award Procedure:

The BIE Education Line Officer will ensure the application is complete and forward the application to the Director, Bureau of Indian Education, with a recommendation for approval or disapproval.

Deadlines:

Jul 01, 2013 Schools must submit their applications and a copy of the tribe's approval of the application by March 1. Evidence that all administrative systems are in place such as accounting, personnel and property must be submitted by July 1.

Range of Approval/Disapproval Time:

Applications for contract schools will be processed within 90 days; applications

for grant schools will be processed within 180 days after the date the application is submitted to the Education Line Officer.

Appeals:

An unsuccessful applicant may request an informal conference with the deciding official, or may appeal the denial of the application to the Interior Board of Indian Appeals, or may bring suit in U.S. District Court. Full appeal procedures are found in 25 CFR, Part 900.

Renewals:

Awards are made annually and are renewed automatically based on satisfactory performance by the grantee.

Formula and Matching Requirements:

Statutory Formula: Title 25, Chapter 39. Approximately ninety-nine percent of the ISEP funds will be allocated in accordance with the ISEP formula contained in 25 CFR 39. One percent of the funds shall be reserved for the Director's discretion.

Matching requirements are not applicable to this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Funds may be paid in advance, are awarded annually on an indefinite basis based on performance, and remain with the Self Determination contractor or grantee until spent. Funds are added to the recipient school's Public Law 100-297 Grant or Public Law 93-638 Contract on July 1 and December 1. Method of awarding/releasing assistance: lump sum.

Reports:

Annual Narrative Report and a program evaluation by an impartial third party such as an accrediting agency. Cash reports are not applicable. Progress reports are not applicable. Federal Financial Report, SF 425 is required. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Financial records must be retained for 3 years from the date of submission of the single audit report. Procurement records must be retained for 3 years from the date of final payment. Property records must be retained for 3 years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions or claims must be retained until the dispute has been resolved.

Account Identification:

14-2100-0-1-501.

Obligations:

(Direct Payments for Specified Use) FY 12 \$241,593,600; FY 13 est \$229,514,000; and FY 14 est \$229,513,000

Range and Average of Financial Assistance:

The FY 2012 range was \$154,100 to \$9,294,400. The average was \$2,031,263 per school.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: No Current Data Available. Fiscal Year 2013: No Current Data Available. Fiscal Year 2014: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

25 CFR 39; 25 CFR 45; 25 CFR 900.

Regional or Local Office:

See Regional Agency Offices. See BIE Education Line Officers' addresses in Appendix IV of the Catalog.

Headquarters Office:

Joe Herrin Headquarters Office: Office of Indian Education Programs, Bureau of Indian Affairs, MS-3609 MIB, 1849 C Street, N.W., Washington, District of

Columbia 20240 Email: joe.herrin@bie.edu Phone: (202) 208-7658

Website Address:

http://www.bie.edu; http://www.doi.gov/bureau-indian-affairs.html.

RELATED PROGRAMS:

15.043 Indian Child and Family Education; 15.044 Indian Schools_Student Transportation

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: No Current Data Available. Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Applicants meeting eligibility requirements are funded.

15.043 INDIAN CHILD AND FAMILY EDUCATION

FACE

FEDERAL AGENCY:

Bureau of Indian Education, Department of the Interior

AUTHORIZATION:

Indian Education Amendments of 1978, Public Law 95-561, 25 U.S.C. 2001 et seq.

OBJECTIVES:

The Family And Child Education (FACE) program is designed to serve families with children from prenatal to age 5 in home and center-based settings. Families may receive services in one or both settings. FACE provides early childhood for all children from birth - to age five and adult education for their parents through family literacy, parental involvement, increasing school readiness, high school graduation rates among Indian parents, and encouraging life-long learning.

TYPES OF ASSISTANCE:

PROJECT GRANTS; TRAINING

USES AND USE RESTRICTIONS:

Funds must be used for early childhood education, adult education and parenting skills. Funds may not be used for administration or construction.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments and tribal organizations authorized by Indian tribal governments on reservations with Bureau of Indian Education funded elementary schools may apply to administer the program.

Beneficiary Eligibility:

Parents and their Indian children under 5 years of age who live on a reservation with a Bureau-funded school.

Credentials/Documentation:

The initial application must include the SF 424 and SF 424B, and may be accompanied by a resolution of the tribal governing body of the Tribe of the children served by or to be served by the organization. No documentation is required of the parents or children. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. The Tribe should contact the BIE Education Line Officer.

Award Procedure:

Once an application is received, the Coordinator of the FACE program appoints a panel of readers from such organizations as the National Center for Family Literacy, the Parents as Teachers, Research Training Associates and BIE staff who are familiar with early childhood development. The panel reads, rates and ranks the applications. The coordinator then recommends to the Director, Office of Indian Education, those applications for approval.

Deadlines:

Jun 01, 2011 Applications should be submitted no later than June 1 of the year in which the applicant wishes to begin the program.

Range of Approval/Disapproval Time:

Applications by Tribal governments to administer the program will be processed within 90 days.

Appeals:

Tribal Governments wishing to appeal should write to the Director, Bureau of Indian Education. Appeals beyond this level are covered by 25 CFR Part 2.

Renewals:

Awards to Tribal Governments to administer the program may be renewed every 3 years based upon satisfactory performance. A notice of intent to renew should be submitted yearly and a full application must be submitted every 3 years.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awards are made on an annual basis based on satisfactory performance, and the funds remain available until expended by the contractor or grantee. See the following for information on how assistance is awarded/released: Funds are added to the recipient school's Public Law 100-297 Grant or Public Law 93-638 Contract on July 1.

Reports:

Monthly progress reports, annual participation data, screening, work sampling, and other program data requested is required. Cash reports are not applicable. Progress reports are not applicable. Federal Financial Report, SF 425 is required. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Financial records must be retained for 3 years from the date of submission of the single audit report. Procurement records must be retained for 3 years from the date of final payment. Property records must be retained for 3 years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions or claims must be retained until the dispute has been resolved.

Account Identification:

14-2100-0-1-501.

Obligations:

(Project Grants) FY 12 \$7,280,000; FY 13 est \$7,280,000; and FY 14 est \$7,280,000

Range and Average of Financial Assistance:

Each site receives approximately \$260,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: No Current Data Available. Fiscal Year 2013: No Current Data Available. Fiscal Year 2014: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

A copy of the guidelines may be obtained by contacting the Education Line Officers.

Regional or Local Office:

See Regional Agency Offices. See the BIE Education Line Officers' addresses in Appendix IV of the Catalog.

Headquarters Office:

Jeffrey Hamley Bureau of Indian Education, Division of Performance and

Accountability, BIA Building 2, 1011 Indian School Road, N.W., 3rd Floor, Suite 332, Albuquerque, New Mexico 87104 Email: Jeffrey.Hamley@bie.edu Phone: (505) 563-5260

Website Address:

http://www.bie.edu

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Little Singer Community School received a \$260,000 grant. Fiscal Year 2013: No Current Data Available. Fiscal Year 2014: No Current Data Available.

CRITERIA FOR SELECTING PROPOSALS:

Proposals are selected based on the review of the team appointed by the Coordinator. Final determination made by the Director, BIE, based on the Coordinator's recommendation.

15.044 INDIAN SCHOOLS_STUDENT TRANSPORTATION

(Student Transportation)

FEDERAL AGENCY:

Bureau of Indian Education, Department of the Interior

AUTHORIZATION:

Indian Self-Determination and Education Assistance Act, Public Law 93-638, as amended, 25 U.S.C. 450 et seq.; Indian Education Amendments of 1978, Public Law 95-561, 25 U.S.C. 2001 et seq.; Tribally Controlled Schools Act, 25 U.S.C. 2501 et seq.

OBJECTIVES:

To provide funds to each Bureau of Indian Education (BIE) funded school for the round trip transportation of students between home and the school site.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

Funds are to only be used for the transportation of students who are eligible under the Indian School Equalization Program (ISEP) as provided for under 25 CFR 39 and are enrolled in a BIE- funded school or dormitory.

Applicant Eligibility:

Federally Recognized Indian Tribes or tribal organizations currently served by a BIE-funded school.

Beneficiary Eligibility:

Children between the ages of 5 and 21 who are members of or are at least a one-fourth degree Indian blood descendant of a member of an Indian tribe which is eligible for the special programs and services provided by the United States through the Bureau of Indian Affairs to Indians because of their status as Indians.

Credentials/Documentation:

Written evidence of approval of the application by the tribal governing body of the students served by or to be served by the school. "Tribal governing body" means the tribal governing body or tribal governing bodies that represent at least 90 percent of the students served by such school. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

An applicant should consult the Education Line Officer who will provide technical assistance. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. To become a contract school, applications should be developed in accordance with 25 CFR Part 900. To become a grant school, applications should be developed in accordance with 25 USC 2501 et seq.

Award Procedure:

The Education Line Officer will ensure the application is complete and forward

the application to the Director, Bureau of Indian Education, with a recommendation for approval/disapproval.

Deadlines:

Jul 01, 2013 Schools must submit their applications and a copy of the tribe's approval of the application by March 1. Evidence that all administrative systems are in place such as accounting, personnel and property must be submitted by July 1.

Range of Approval/Disapproval Time:

Applications for contract schools will be processed within 90 days; applications for grant schools will be processed within 180 days after the date the application is submitted to the BIE Education Line Officer.

Appeals:

An unsuccessful applicant may request an informal conference with the deciding official, or may appeal the denial of the application to the Interior Board of Indian Appeals, or may bring suit in U.S. District Court. Full appeal procedures are found in 25 CFR Part 900.

Renewals:

Awards are made annually and are renewed automatically based on satisfactory performance by the grantee.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching Requirements: Funds are allocated in accordance with 25 CFR 39 Subpart G. The Director, Bureau of Indian Education will review transportation allotment factors each year and make changes in factors based on changes in transportation costs.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awards are made on an annual basis and the funds remain available until expended by the contractor/grantee. Funds are added to the recipient school's Public Law 100-297 Grant or Public Law 93-638 Contract on July 1 and December 1. See the following for information on how assistance is awarded/released: Payments are made in advance or by way of reimbursement.

Reports:

A narrative report and a program evaluation by an impartial third party such as an accrediting agency. Cash reports are not applicable. Progress reports are not applicable. Federal Financial Report, SF 425 is required. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Financial records must be retained for 3 years from the date of submission of the single audit report. Procurement records must be retained for 3 years from the date of final payment. Property records must be retained for 3 years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions or claims must be retained until the dispute has been resolved.

Account Identification:

14-2100-0-1-501.

Obligations:

(Direct Payments for Specified Use) FY 12 \$35,271,434; FY 13 est \$33,508,000; and FY 14 est \$33,508,000

Range and Average of Financial Assistance:

Range is \$1,900 to \$1,596,540; Average \$325,590.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: No Current Data Available. Fiscal Year 2013: No Current Data Available. Fiscal Year 2014: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

25 CFR 39; 25 CFR 45; 25 CFR 900.

Regional or Local Office:

See Regional Agency Offices. See Education Line Officers' addresses in Catalog Appendix IV.

Headquarters Office:

Joe Herrin Headquarters Office: Office of Indian Education Programs, Bureau of Indian Affairs, MS-3609 MIB, 1849 C Street, N.W., Washington, District of Columbia 20240 Email: joe.herrin@bie.edu Phone: (202)208-7658

Website Address:

http://www.bie.edu; http://www.doi.gov.bureau-indian-affairs.html.

RELATED PROGRAMS:

15.042 Indian School Equalization Program; 15.043 Indian Child and Family Education

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Chief Leschi School System received \$1,596,540. Fiscal Year 2013: No Current Data Available. Fiscal Year 2014: No Current Data Available.

CRITERIA FOR SELECTING PROPOSALS:

Applicants meeting eligibility criteria are funded.

**15.046 ADMINISTRATIVE COST GRANTS FOR INDIAN SCHOOLS
FEDERAL AGENCY:**

Bureau of Indian Education, Department of the Interior

AUTHORIZATION:

Indian Self-Determination and Education Assistance Act, Public Law 93-638, as amended, 25 U.S.C. 450 et seq.; Indian Education Amendments of 1978, Public Law 95-561, 25 U.S.C. 2001 et seq.; Tribally Controlled Schools Act, 25 U.S.C. 2501 et seq.

OBJECTIVES:

To provide grants to tribes and tribal organizations operating schools for the purpose of paying administrative and indirect costs.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds may be used for program services related to administrative overhead, operations necessary to meet requirements of the law, prudent management practices, and other necessary support functions.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments or Tribal Organizations operating a Bureau of Indian Education funded school.

Beneficiary Eligibility:

Indian Tribal Governments or tribal organizations operating a Bureau funded elementary or secondary school under a Public Law 100.297 grant or Public Law 93-638 Self-determination Contract with the Bureau of Indian Education.

Credentials/Documentation:

Approved status as a Public Law 100-297 Grant or a Public Law 93-638 Self Determination Contract School. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. No separate application is required. The additional allocation will be calculated by the Bureau of Indian Education and added to the underlying award.

Award Procedure:

The headquarters office calculates the amount of the award and the grantee is

notified by the BIE Education Line Officer.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

An applicant who disputes the amount of the award may request an informal conference with the deciding official, or may appeal the denial of the application to the Interior Board of Indian Appeals, or may bring suit in U.S. District Court. Full appeal procedures are found in 25 CFR Part 900.

Renewals:

Awards are renewed automatically based on satisfactory performance by the grantee.

Formula and Matching Requirements:

Statutory Formula: Public Law 25 U.S.C. 2008(a).

Matching requirements are not applicable to this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awards are made available on July 1 and December 1 each year and remain available without fiscal year limitation until expended by the contractor/grantee. See the following for information on how assistance is awarded/released: Information not available.

Reports:

Narrative Report and a program evaluation by an impartial third party such as an accrediting agency. Cash reports are not applicable. Progress reports are not applicable. Federal Financial Report, SF 425 is required. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Financial records must be retained for 3 years from the date of submission of the single audit report. Procurement records must be retained for 3 years from the date of final payment. Property records must be retained for 3 years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions or claims must be retained until the dispute has been resolved.

Account Identification:

14-2100-0-1-501.

Obligations:

(Project Grants) FY 12 \$46,252,877; FY 13 est \$43,834,000; and FY 14 est \$43,834,000

Range and Average of Financial Assistance:

Range is \$127,600 to \$1,166,200; Average \$405,967.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Santa Fe Indian School received \$1,166,200. Fiscal Year 2013: No Current Data Not Available. Fiscal Year 2014: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

25 CFR 39; 25 CFR 45; 25 CFR 900.

Regional or Local Office:

See Regional Agency Offices. See BIE Education Line Officers' addresses in Appendix IV of the Catalog.

Headquarters Office:

Joe Herrin Headquarters Office: Office of Indian Education Programs, Bureau of Indian Affairs, MS-3609 MIB, 1849 C Street, N.W., Washington, District of

Columbia 20240 Email: joe.herrin@bie.edu Phone: (202)208-7658

Website Address:

<http://www.bie.edu>; <http://www.doi.gov/bureau-indian-affairs.html>.

RELATED PROGRAMS:

15.042 Indian School Equalization Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data. Fiscal Year 2013: No Current Data. Fiscal Year 2014: No Current Data.

CRITERIA FOR SELECTING PROPOSALS:

Applicants meeting eligibility criteria are funded.

15.047 INDIAN EDUCATION FACILITIES, OPERATIONS, AND MAINTENANCE

FEDERAL AGENCY:

Bureau of Indian Education, Department of the Interior

AUTHORIZATION:

Indian Self-Determination and Education Assistance Act, Public Law 93-638, as amended, 25 U.S.C. 450 et seq., Public Law 103-413, Title II Tribal Self-Governance Act, Indian Education Amendments of 1978, Public Law 95-561, 25 U.S.C. 2001; Tribally Controlled Schools Act, Public Law 100-297, and 25 U.S.C. 2501.

OBJECTIVES:

To provide funds to BIE funded elementary schools, secondary schools and peripheral dormitories for facilities operations and maintenance.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

Funds may be used for salaries and related expenses of maintenance personnel, utilities, maintenance of school grounds, supplies, equipment, materials, and for minor repairs to facilities.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments or tribal organizations currently served by a Bureau of Indian Education (BIE) funded elementary school, secondary school or peripheral dormitory.

Beneficiary Eligibility:

Federally Recognized Indian Tribal Governments and occupants and visitors of BIE funded elementary or secondary schools or peripheral dormitories.

Credentials/Documentation:

Initial application must be accompanied by a resolution of the governing body of the Indian tribe. If the school serves students from multiple tribes, resolutions from tribal governing bodies representing at least 90 percent of the students are required. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Initial applications for status as a contract school must contain the information specified in 25 CFR, Part 900, Subpart C, "Contract Proposal Contents." Initial applications for status as a grant school should be developed in accordance with 25 U.S.C. 2501 et seq.

Award Procedure:

Applications should be submitted to the BIE Education Line Officer (ELO) who will ensure that the application is complete. The application is forwarded by the ELO to the Director, Office of Indian Education Programs, with a recommendation for approval or disapproval. The dollar value of the awards is based upon school age and condition, size, utilities costs, and other pertinent factors.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application

deadlines.

Range of Approval/Disapproval Time:

Applications will be processed within 90 to 180 days.

Appeals:

An unsuccessful applicant may request an informal conference with the deciding official, or may appeal the denial of the application to the Interior Board of Indian Appeals, or may bring suit in U.S. District Court. Full appeal procedures are found in 25 CFR Part 900.

Renewals:

Awards may be renewed indefinitely upon satisfactory performance by the contractor/grantee and continued use of the facilities. A notice of intent to renew should be submitted at least 90 days prior to the expiration of the current award. The amount of the award may be adjusted based on appropriations and need.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awards are made on an annual basis and the funds remain available until expended by the contractor/grantee. Payments may be made in advance or by way of reimbursement. The timing of the payments will be negotiated with the Indian tribe. See the following for information on how assistance is awarded/released: Information not available.

Reports:

Program accomplishment reporting requirements will be negotiated with the contractor/grantee. Cash reports are not applicable. Progress reports are not applicable. Federal Financial Report, SF 425 is required. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Financial records must be retained for 3 years from the date of submission of the single audit report. Procurement records must be retained for 3 years from the date of final payment. Property records must be retained for 3 years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions or claims must be retained until the dispute has been resolved.

Account Identification:

14-2100-0-1-501.

Obligations:

(Direct Payments for Specified Use) FY 12 \$60,967,439; FY 13 est \$60,967,439; and FY 14 est \$60,967,439 - FY 2011 Operations \$36,236,058 Maintenance \$28,074,408; FY 2012 Operations est. \$36,200,000 Maintenance \$28,000,000 and FY 2013 est. Operations \$36,200,000 and Maintenance \$28,000,000.

Range and Average of Financial Assistance:

Federally Recognized Indian Tribal Governments or tribal organizations currently served by a Bureau of Indian Education (BIE) funded elementary school, secondary school or peripheral dormitory.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: No Current Data Available. Fiscal Year 2013: No current data available. Fiscal Year 2014: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

25 CFR Part 900; OMB Circular A-87, "Cost Principles for State, Local, and Indian Tribal Governments."

Regional or Local Office:

See Regional Agency Offices. Applications may be filed with the local BIE Education Line Office as listed in Appendix IV of the Catalog.

Headquarters Office:

Joe Herrin 1849 C Street, NW, MS-3609 MIB, Washington, District of Columbia 20240 Email: joe.herrin@bie.gov Phone: (202) 208-7658

Website Address:

http://www.bie.edu; http://www.doi.gov/bureau-indian-affairs.html

RELATED PROGRAMS:

15.042 Indian School Equalization Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

All applicants meeting the requirements of 25 CFR Part 900 or the requirements of the Tribally Controlled Schools Act will be selected if they are currently served by a BIE-funded school.

15.058 INDIAN POST SECONDARY SCHOOLS

Haskell Indian Nations University (Haskell) and Southwestern Indian Polytechnic Institute (SIPI)

FEDERAL AGENCY:

Bureau of Indian Education, Department of the Interior

AUTHORIZATION:

Snyder Act of 1921 Public Law 67-85, 25 U.S.C. 13.

OBJECTIVES:

To provide postsecondary educational opportunities for American Indian Students.

TYPES OF ASSISTANCE:

TRAINING

USES AND USE RESTRICTIONS:

Students may attend one of two post-secondary schools operated by the Bureau of Indian Affairs with minimal charge for tuition, room and board. Married student housing is not available on campus. For more information contact the headquarters office.

Applicant Eligibility:

American Indians and Alaskan Natives.

Beneficiary Eligibility:

American Indians and Alaskan Natives.

Credentials/Documentation:

Application must be accompanied by a verification of Tribal affiliation. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Interested applicants may obtain a Standard Form 5-6621 from a local BIE Education Line Office or Tribal Government education office, or applicants may contact the schools directly.

Award Procedure:

Applications will be reviewed by the colleges' admissions committees.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

HINU: Fall Semester - June 30; Spring Semester - November 15; Summer School - (if funds are available) - April 15. SIPI: Fall Trimester - September 1; Spring Trimester - December 29; Summer Trimester - May 5. Students will be

notified within 4 weeks of having submitted a completed application packet.

Appeals:

Not Applicable.

Renewals:

HINU: Fulltime students are considered making satisfactory academic progress if they are not on academic probation and have not completed the minimum hours to graduate with an associate degree in six semesters or with a baccalaureate degree in 12 semesters. SIPI: Awards may be renewed until the student completes the chosen program of study so long as satisfactory progress is maintained and the student adheres to the student code of conduct.

HINU: Lodging full time: \$215 per semester for housing, student activities, and textbooks, \$110 for students living off campus; SIPI: Lodging full-time: \$280.00, Commuter full-time: \$225.00, Commuter part-time: \$150.00. All Fees are non-refundable.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awards are made on an annual basis and the funds remain available until expended by the recipient. Financial assistance grants to individual students are based on each student's certified financial aid requirements as identified in the Department of Education's Student Financial Assistance programs. See the following for information on how assistance is awarded/released: Payments may be made in advance or by way of reimbursement. The timing of the payments will be negotiated with the Indian tribe.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

Applicants are to submit official transcript from high school or other institution attended or certificate of GED.

Account Identification:

14-2100-0-1-501.

Obligations:

(Training) FY 12 \$18,476,766; FY 13 est \$17,476,766; and FY 14 est \$17,476,766 - HINU: Lodging full time: \$215 per semester for housing, student activities, and textbooks, \$110 for students living off campus; SIPI Lodging full-time: \$280, Commuter full-time \$225, Commuter part-time \$150. All fees are non-refundable.

Range and Average of Financial Assistance:

Not identifiable.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: No Current Data Available. Fiscal Year 2013: No Current Data Available. Fiscal Year 2014: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

25 CFR Part 40; Haskell Indian Nations University Catalog; Southwestern Indian Polytechnic Institute Catalog.

Regional or Local Office:

See Regional Agency Offices. Haskell Indian Nations University, 155 Indian Avenue, Lawrence, Kansas 66046 Phone: (785) 749-8454. Southwestern Indian Polytechnic Institute, 9169 Coors Blvd, NW., Albuquerque, NM, 87120 (505)-346-2348 Applications may be obtained at the local Bureau of Indian Affairs agency/area education program administrator as listed in Appendix IV.

Headquarters Office:

Jim Martin Bureau of Indian Education, Room MS 3609-MIB, 1849 C Street, N.W., Washington, District of Columbia 20240 Email: ames.martin@bie.edu Phone: (202) 208-5820

Website Address:

<http://www.bie.edu>; WWW.Haskell.edu; WWW.SIPI.edu; <http://www.doi.gov/bureau-indian-affairs.html>.

RELATED PROGRAMS:

15.027 Assistance to Tribally Controlled Community Colleges and Universities; 15.060 Indian Vocational Training_United Tribes Technical College; 15.114 Indian Education_Higher Education Grant Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

To the extent that space is available, all applicants meeting the eligibility criteria and demonstrating the ability to benefit from post-secondary education will be accepted.

15.059 INDIAN GRADUATE STUDENT SCHOLARSHIPS

(Special Higher Education Scholarships)

FEDERAL AGENCY:

Bureau of Indian Education, Department of the Interior

AUTHORIZATION:

Snyder Act of 1921, Public Law 67-85, 25 U.S.C. 13.

OBJECTIVES:

To provide financial aid to eligible Indian students to enable them to obtain advanced degrees.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grant funds may be used to defray the costs of obtaining a graduate degree.

Applicant Eligibility:

Individual applicants must be Indian students who are members of Federally Recognized Indian Tribes, who have been admitted to a graduate program and have unmet financial need.

Beneficiary Eligibility:

Members of Federally Recognized Indian Tribes who are a member of or are at least one-fourth degree Indian blood descendant of a member of an Indian tribe which is eligible for the special programs and services provided by the United States through the Bureau of Indian Affairs to Indians because of their status as Indians.

Credentials/Documentation:

Individual applicants must have proof of membership or one-fourth degree of Indian blood or descendant of a member of a Federally Recognized Indian Tribe. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Applicants should contact the American Indian Graduate Center which administers the program on behalf of the Bureau. The 2012 AIGC award includes \$1,317,889 for scholarships and \$745,509 for financial assistance loans with a service pay back option. (The American Indian Law Center also receives \$97,140 for pre-law courses.). Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Application forms may be obtained from the local BIA agency or BIE Education Line Office listed in Appendix IV of the Catalog.

Award Procedure:

The applicant will be notified of the amount of the award by the financial aid office of the admitting university.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

20 to 30 days from the receipt of the application by the American Indian

Graduate Center.

Appeals:

Not Applicable.

Renewals:

Individuals must reapply annually.

Formula and Matching Requirements:

This program has no statutory formula.

Matching requirements are not applicable to this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awards made are not to exceed one academic year. Method of awarding/releasing assistance: lump sum.

Reports:

Program reports are not applicable. Cash reports are not applicable. Successful applicants are required to show proof of satisfactory performance. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

Not Applicable.

Records:

None.

Account Identification:

14-2100-0-1-501.

Obligations:

(Project Grants) FY 12 \$2,160,538; FY 13 est \$2,142,298; and FY 14 est \$2,142,298 - Applicants should contact the American Indian Graduate Center which administers the program on behalf of the Bureau. The 2011 AIGC award includes \$1,317,360 for scholarships and \$745,207 for financial assistance loans with a service pay back option. (The American Indian Law Center also receives \$97,105 for pre-law courses).

Range and Average of Financial Assistance:

Range is \$250 to \$4,000; Average \$3,947.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: No Current Data Available. Fiscal Year 2013: No Current Data Available. Fiscal Year 2014: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

25 CFR, Part 40.

Regional or Local Office:

See Regional Agency Offices. Chris Redman, Bureau of Indian Education, 215 Dean A. McGee, Suite 610, Oklahoma City, OK 73102, Email: james.redman@bie.edu, Phone: (405) 605-6001.

Headquarters Office:

Jim Martin, Office of Indian Education Programs, Room MS 3609-MIB, 1849 C Street, N.W., Washington, District of Columbia 20240 Email: james.martin@bie.gov Phone: (202) 208-5820.

Website Address:

<http://www.bie.edu/contact.htm>

RELATED PROGRAMS:

15.026 Indian Adult Education

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

15.065 SAFETY OF DAMS ON INDIAN LANDS

FEDERAL AGENCY:

Bureau of Indian Affairs, Department of the Interior

AUTHORIZATION:

Indian Self-Determination and Education Assistance Act, Public Law 93-638, as amended, 25 U.S.C. 450; Snyder Act of 1921, 25 U.S.C. 13, Public Law

67-85, 42 Stat. 208; Indian Dams Safety Act of 1994, Public Law 103-302, 108 Stat. 1560; Secretarial Order No. 3048.

OBJECTIVES:

To improve the structural integrity of dams on Indian lands, including operations and maintenance of these dams.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

Funds allow for the inspection, operation and maintenance, early warning systems, and emergency action plans of high and significant hazard dams under the responsibility of the Bureau of Indian Affairs, and for safety of dam rehabilitation construction necessary to correct identified deficiencies on the most unsafe dams. Financial awards are specific to a given site and awards are restricted to Indian tribes or tribal organizations affected by the projects.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments and Native American Organizations authorized by Indian tribal governments to be benefited by the award.

Beneficiary Eligibility:

Federally Recognized Indian Tribal Governments and their members and Native American Organizations.

Credentials/Documentation:

The application must be accompanied by a resolution of the governing body of the Indian tribe. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Informal preapplication conference is recommended. Technical assistance in preparing the application is available upon request. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Initial applications must contain the information specified in 25 CFR Part 900, Subpart C, "Contract Proposal Contents." For the rehabilitation construction, applications must contain the information specified in 25 CFR Part 900, Subpart J, "Construction." An Indian tribe or tribal organization that wishes to secure a construction project should contact the appropriate local BIA agency office listed in Appendix IV of the Catalog.

Award Procedure:

In most cases, the award will be approved at the regional level with the approval of BIA Dam Safety Officer.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Applications for construction projects will be processed within 90 days after receipt of the final proposal.

Appeals:

An unsuccessful applicant may request an informal conference with the deciding official, or may appeal the denial of the application to the Interior Board of Indian Appeals, or may bring suit in U.S. District Court. Full appeal procedures are found in 25 CFR, Part 900, Subpart L.

Renewals:

Projects are generally multi-year agreements with no renewal. Under extenuating circumstances, extensions may be granted.

Formula and Matching Requirements:

This program has no statutory formula.

Matching requirements are not applicable to this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Contracts are for the duration of the project, often one to five years, and the

funds remain available until expended by the contractor/grantee. The timing of payments will be negotiated with the grantee. See the following for information on how assistance is awarded/released: Information not available.

Reports:

The timing and nature of program accomplishment data will be negotiated with the contractor/grantee. Cash reports are not applicable. Progress reports are not applicable. Federal Financial Report, SF 425 is required. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Financial records must be retained for 3 years from the date of submission of the single audit report. Procurement records must be retained for 3 years from the date of final payment. Property records must be retained for 3 years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions, or claims must be retained until the dispute has been resolved.

Account Identification:

14-2100-0-1-302.

Obligations:

(Direct Payments for Specified Use) FY 12 \$11,973,306; FY 13 Estimate Not Available; and FY 14 Estimate Not Available

Range and Average of Financial Assistance:

Range is variable depending upon the scope of work. Awards are commonly awarded for various phases of the safety program. For example, conception design \$100,000 to \$300,000; final design \$300,000 to \$1,000,000; and construction repair \$1,000,000 to \$17,000,000. For the non-construction portion, awards can be from \$10,000 up to \$250,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: No Current Data Available. Fiscal Year 2013: No Current Data Available. Fiscal Year 2014: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

25 CFR Part 900; and Department of the Interior Safety of Dams (SOD) Program.

Regional or Local Office:

See Regional Agency Offices. Applications may be filed with the local Bureau of Indian Affairs agency office as listed in Appendix IV of the Catalog.

Headquarters Office:

Jack Byers Office of Trust Services, Division of Water and Power, Branch of Safety of Dams, Bureau of Indian Affairs, 13922 Denver West Pkwy, Lakewood, Colorado 80401 Email: jack.byers@bia.gov Phone: (303) 231-5222.

Website Address:

None.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available. Fiscal Year 2013: No Current Data Available. Fiscal Year 2014: No Current Data Available.

CRITERIA FOR SELECTING PROPOSALS:

The relative probability of dam failure (risk-of-failure) is determined from the technical factors used in the SEED report to determine placement on the Department of the Interior's Technical Priority Rating List. This priority list is then used to prioritize budget requests for repair of the Bureau's most unsafe dams. Approximately \$22,000,000 of the funds for the Safety of Dams program are distributed in accordance with the Technical Priority Rating listing for Department of the Interior dams.

15.148 TRIBAL ENERGY DEVELOPMENT CAPACITY GRANTS (TEDC)

FEDERAL AGENCY:

Bureau of Indian Affairs, Department of the Interior

AUTHORIZATION:

Title XXVI (Indian Energy) of the Energy Policy Act of 1992, as amended (25 CFR, Section 3502(a)(2)(A)).

OBJECTIVES:

To provide development grants to Indian tribes for use in developing and sustaining the managerial and technical capacity needed to develop their energy resources, and to properly account for resulting energy production and revenues. Proposals from tribes should strive to achieve the following stated goals: Evaluated the type and range of energy development activities that a tribe way want to assume under a TERA; Determine the current level of scientific, technical, administrative, for financial management capacity of the tribe to assume responsibility for the identified development activities; and determine which scientific, technical, administrative, or financial management capacities need enhancement and what process and/or procedures the grantee may use to eliminate these capacity gaps.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

Federally recognized tribes whose lands are held in trust or restricted fee by the Federal government. For more information contact the headquarters office.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments.

Beneficiary Eligibility:

Federally Recognized Tribal Governments and their members.

Credentials/Documentation:

Application for financial assistance must contain a formal signed resolution of the governing body of the tribe; a proposal describing the planned activities and deliverable products; and a detailed budget estimate. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Applications must meet all requirements published in the Federal Register notice and be submitted to IEED, Division of Indian Energy Policy Development, Room 20 - South Interior Building, 1951 Constitution Avenue, NW, Washington, DC 20245.

Award Procedure:

Recommendations from an IEED technical evaluation committee must be approved by the Director, IEED.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

30 to 45 days.

Appeals:

An applicant with a rejected TEDC proposal may appeal the denial of the application to the Department of the Interior's Board of Indian Appeals or may bring suit in U.S. District Court. Full appeal procedures are found in 25 CFR Part 900.

Renewals:

The TEDC program cannot award multi-year funding for a project. Funding available for building energy development capacity is subject to annual appropriations by Congress and therefore IEED can only consider single-year projects.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awards are made on an annual basis and the funds remain available until expended by the recipient. The timing of payments will be negotiated by the Regional Office, Contract Officer, and the recipient. See the following for information on how assistance is awarded/released: Information not available.

Reports:

During the life of the TEDC project, SF-425 Federal Financial Reports and quarterly progress reports are to be submitted to the IEED project coordinator assigned to the project. The beginning and ending quarter periods are based on the actual start date of the TEDC project. This date can be determined between the IEED project coordinator and the tribe. The quarterly report can be a one to two page summary of events, accomplishments, problems and/or results that took place during the quarter. Quarterly reports are due two weeks after the end of the a project's fiscal quarter. For Final Report, the tribe must deliver all products and data generated by the proposed assessment project to IEED through the TEDC project coordinator within two weeks after completion of the project. Cash reports are not applicable. Progress reports are not applicable. The SF 425, Federal Financial Report is required. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Financial records must be retained for three years from the date of submission of the single audit report. Procurement records must be retained for three years from the date of the final payment. Property records must be retained for three years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions, or claims must be retained until the dispute has been resolved.

Account Identification:

14-2100-7-8-302.

Obligations:

(Direct Payments for Specified Use) FY 12 \$0; FY 13 est \$400,000; and FY 14 Estimate Not Available

Range and Average of Financial Assistance:

Determined on an annual basis, subject to appropriations.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Published in Federal Register Notice annually.

Regional or Local Office:

See Regional Agency Offices. Regional Office Contract Officer.

Headquarters Office:

Director IEED, Division of Indian Energy Policy Development, Room 20 - South Interior Building, 1951 Constitution Avenue, Washington, District of Columbia 20245 Phone: (202) 219-0740 Fax: (202) 208-4564

Website Address:

None.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Chippewa Cree Tribe of Rocky Boys Reservation - Tribal Energy Resource Development Plant; Passamaquoddy Tribe of Pleasant Point -

Development of tribal governmental development entity and skills needs assessment; Prairie Band Potawatomi - Develop energy vision, viable energy development options, and action plan. Fiscal Year 2013: Information Not Available. Fiscal Year 2014: Information Not Available.

CRITERIA FOR SELECTING PROPOSALS:

The proposal ranking criteria factors are as follows: (1) Resource potential; (2) Energy development history and current status; (3) Existing energy development capabilities; (4) Intent to develop and retain energy development capacity within tribal government or business entities; (5) Demonstrated willingness to develop independent energy development business entity; and (6) Tribal commitment to staff, training, or monetary resources.

15.149 FOCUS ON STUDENT ACHIEVEMENT PROJECT**FOCUS****FEDERAL AGENCY:**

Bureau of Indian Education, Department of the Interior

AUTHORIZATION:

Indian Self-Determination and Education Assistance Act, Public Law 93-638, as amended, 25 U.S.C. 450 et seq.; Indian Education Amendments of 1978, Public Law 95-561, 25 U.S.C. 2001 et seq.; Tribally Controlled Schools Act, 25 U.S.C. 2501 et seq.; The No Child Left Behind Act of 2001, Public Law 107-110, 20 U.S.C. 7401 et seq.

OBJECTIVES:

The FOCUS program targets schools where student achievement is close to meeting annual measurable objectives as set by their states achievement test and where additional resources could facilitate achievement of Adequate Yearly Progress (AYP) as required by Public Law 107-110, the No Child Left Behind Act of 2001.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

FOCUS funds may be used for staff development and to implement proven instructional strategies designed to achieve annual growth for all students and catch-up growth for students performing below grade level expectations in reading and math.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments and tribal organizations authorized by Indian tribal governments on reservations with Bureau-funded schools may apply to administer the program.

Beneficiary Eligibility:

Children between the ages of 5 and 21 who are members of or are at least a one-fourth degree Indian blood descendant of a member of an Indian tribe which is eligible for the special programs and services provided by the United States through the Bureau of Indian Affairs to Indians because of their status as Indians.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. The Tribe should contact the Education Line Officer.

Award Procedure:

The BIE conducts a thorough review of all BIE schools AYP status and data. The BIE then funds schools that are at the cusp of nearly meeting AYP status. Applications should be submitted no later than June 1 of the year in which the applicant wishes to begin the program.

Deadlines:

Jun 01, 2013 June 01, 2013 applications should be submitted no later than June 1 of the year in which the applicant wishes to begin the program.

Range of Approval/Disapproval Time:

Applications by Tribal governments to administer the program will be processed within 90 days; and or after AYP determination is made by BIE.

Appeals:

Tribal Governments wishing to appeal should write to the Director, Bureau of Indian Education. Appeals beyond this level are covered by 25 CFR Part 2.

Renewals:

Awards are made on an annual basis and the funds remain available until expended by the contractor/grantee. Funds are added to the recipient schools Public Law 100-297 Grant or Public Law 93-638 Contract on July 1. Awards to Tribal Governments to administer the program may be renewed every year based upon satisfactory performance. A notice of intent to participate should be submitted yearly.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awards are made on an annual basis based on satisfactory performance, and the funds remain available until expended by the contractor or grantee. See the following for information on how assistance is awarded/released: See the following for information on how assistance is awarded/released.

Reports:

Program reports, annual participation data, and other program data requested are required. Cash reports are not applicable. Progress reports are not applicable. Federal Financial Report, SF 425 is required. Performance monitoring is applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Financial records must be retained for 3 years from the date of submission of the single audit report. Procurement records must be retained for 3 years from the date of final payment. Property records must be retained for 3 years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions or claims must be retained until the dispute has been resolved.

Account Identification:

14-2100-0-1-501.

Obligations:

(Project Grants) FY 12 \$920,000; FY 13 est \$920,000; and FY 14 est \$920,000

Range and Average of Financial Assistance:

Range is \$100,000 to \$225,000; Average \$153,333.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: No Current Data Available. Fiscal Year 2013: No Current Data Available. Fiscal Year 2014: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Use of funds must meet the purpose and intent of funding.

Regional or Local Office:

See Regional Agency Offices. See BIE Education Line Officers' addresses in Appendix IV of the Catalog.

Headquarters Office:

Joel Longie Bureau of Indian Education, Division of Performance and Accountability, BIA Building 2, 1011 Indian School Road, N.W., 3rd Floor,

Suite 332, Albuquerque, New Mexico 87104 Email: joel.longie@bie.edu
Phone: (505) 563-5250

Website Address:

http://www.bie.edu

RELATED PROGRAMS:

15.042 Indian School Equalization Program; 15.043 Indian Child and Family Education; 15.044 Indian Schools_Student Transportation

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Hannahville Indian School received a \$225,000 grant. Fiscal Year 2013: No Current Data Available. Fiscal Year 2014: No Current Data Available.

CRITERIA FOR SELECTING PROPOSALS:

Proposals are selected based on school performance as measured by their states achievement test and the review of the team appointed by the Coordinator.

15.151 EDUCATION PROGRAM ENHANCEMENTS

BIE Reads!and Math Counts

FEDERAL AGENCY:

Bureau of Indian Education, Department of the Interior

AUTHORIZATION:

Indian Self-Determination and Education Assistance Act, Public Law 93-638, as amended, 25 U.S.C. 450 et seq.; Indian Education Amendments of 1978, Public Law 95-561, 25 U.S.C. 2001 et seq.; Tribally Controlled Schools Act, 25 U.S.C. 2501 et seq.

OBJECTIVES:

Education Program Enhancements provide resources for special studies, projects, new activities, and other costs associated with enhancing the basic educational programs provided to students. These funds allow BIE to provide specialized assistance to schools struggling to make Adequate Yearly Progress (AYP) that is targeted to address the schools unique needs and specific gaps in achievement. Typically, assistance involves implementation of specialized programs in reading and math, and staff development for principals, teachers and support staff.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funds must be used for staff development, and approved math and reading enhancement programs.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments and tribal organizations authorized by Indian tribal governments on reservations with Bureau-funded schools may apply to administer the program.

Beneficiary Eligibility:

Children between the ages of 5 and 21 who are members of or are at least a one-fourth degree Indian blood descendant of a member of an Indian tribe which is eligible for the special programs and services provided by the United States through the Bureau of Indian Affairs to Indians because of their status as Indians.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. The Tribe should contact the Education Line Officer.

Award Procedure:

Schools submit an application and sign assurances that they will implement the components of instructional model that includes use of scientifically based research programs in reading and/or math, use of a reading and/or math instructional coach(es), a scheduled block of instructional time in reading and/or math, a multi-tiered instructional delivery, use of specified formative assessments to determine effectiveness of instruction, and participation in professional development for principals, instructional coaches and teachers.

Deadlines:

Jun 01, 2013 June 01, 2013. Applications should be submitted no later than June 1 of the year in which the applicant wishes to begin the program.

Range of Approval/Disapproval Time:

Applications by Tribal governments to administer the program will be processed within 90 days.

Appeals:

Tribal Governments wishing to appeal should write to the Director, Bureau of Indian Education. Appeals beyond this level are covered by 25 CFR Part 2.

Renewals:

Awards to Tribal Governments to administer the program may be renewed every year based upon satisfactory performance. A notice of intent to participate should be submitted yearly.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awards are made on an annual basis based on satisfactory performance, and the funds remain available until expended by the contractor or grantee. See the following for information on how assistance is awarded/released. See the following for information on how assistance is awarded/released: Funds are added to the recipient schools Public Law 100-297 Grant or Public Law 93-638 Contract on July 1 or when application is approved.

Reports:

Monthly program reports, annual participation data, screening, work sampling, and other program data requested is required. Cash reports are not applicable. Progress reports are not applicable. Federal Financial Report, SF 425 is required. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Financial records must be retained for 3 years from the date of submission of the single audit report. Procurement records must be retained for 3 years from the date of final payment. Property records must be retained for 3 years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions or claims must be retained until the dispute has been resolved.

Account Identification:

14-2100-0-1-501.

Obligations:

(Project Grants (Cooperative Agreements)) FY 12 \$4,767,768; FY 13 est \$4,767,768; and FY 14 est \$4,767,768

Range and Average of Financial Assistance:

In Fiscal Year 2012 the average Reads grant was \$23,052, and the maximum Reads grant was \$176,038; the average Math Counts grant was \$17,353 and the maximum Math Counts grant was 232,507..

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: No Current Data Available. Fiscal Year 2013: No Current

Data Available. Fiscal Year 2014: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

A copy of the guidelines may be obtained by contacting the BIE Education Line Officers.

Regional or Local Office:

See Regional Agency Offices. See BIE Education Line Officers' addresses in Appendix IV of the Catalog.

Headquarters Office:

Joel Longie Bureau of Indian Education, Division of Performance and Accountability, BIA Building 2, 1011 Indian School Road, N.W., 3rd Floor, Suite 332, Albuquerque, New Mexico 87104 Email: joel.longie@bie.edu Phone: (505) 563-5250

Website Address:

<http://www.BIE.EDU>, <http://www.doi.gov/bureau-indian-affairs.html>.

RELATED PROGRAMS:

15.042 Indian School Equalization Program; 15.043 Indian Child and Family Education; 15.044 Indian Schools_Student Transportation

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Gila Crossing Day School received a \$176,038 Reads grant; the Chief Leschi School System received a \$232,507 Math Counts grant. Fiscal Year 2013: No Current Data Available. Fiscal Year 2014: No Current Data Available.

CRITERIA FOR SELECTING PROPOSALS:

Proposals are selected based on the review of the team appointed by the Coordinator.

15.152 LAND BUY-BACK PROGRAM FOR TRIBAL NATIONS

Buy-Back Program

FEDERAL AGENCY:

Department of the Interior

AUTHORIZATION:

Section 2212(b)(3)(C) of the Indian Land Consolidation Act and the Settlement Agreement in *Cobell v. Salazar*, as confirmed by the Claims Resolution Act of 2010, Public Law 111-291.

OBJECTIVES:

To work in partnership with eligible tribes to reduce the number of fractional interests in trust or restricted lands by purchasing fractional interests from willing sellers and transferring them to tribes.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funds may be used to enter into cooperative agreements with eligible tribes. Funded activities are restricted to activities supporting the purchase of fractional interests in trust or restricted lands.

Applicant Eligibility:

Eligibility is restricted to federally recognized Indian tribal governments with jurisdiction over fractionated lands as identified in the Land Buy-Back Program for Tribal Nations Initial Implementation Plan.

Beneficiary Eligibility:

Federally recognized Indian tribal governments as identified in the Land Buy-Back Program for Tribal Nations Initial Implementation Plan.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

It is recommended that eligible tribal governments interested in entering into a cooperative agreement contact the Land Buy-Back Program for Tribal Nations (Buy-Back Program). Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Tribal Governments should contact the Buy-Back Program for application procedures.

Award Procedure:

A cooperative agreement will be made to an eligible entity in the specific amounts and for the specific purposes set forth in the signed agreement.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

None.

Appeals:

Contact the Land Buy-Back Program for Tribal Nations appeal information.

Renewals:

Contact the Land Buy-Back Program for Tribal Nations for renewal and extension information.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The period of performance for the cooperative agreements will be dependent on the activities to be funded and is specified in the agreement terms and conditions. See the following for information on how assistance is awarded/released: Funds may be advanced or disbursed. Fund payment frequency will be determined on a case-by-case basis.

Reports:

Program reports are not applicable. Cash reports are not applicable. Progress reports are not applicable. The SF-425 Federal Financial Report is required as dictated by the agreement terms and conditions. Financial reports are due within 90 days of completion or termination of agreement. The Performance Report is required as dictated by the agreement terms and conditions. Financial reports are due within 90 days of completion or termination of agreement.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Cooperative Agreements are also subject to inspection and audit by Interior's Office of the Inspector General, Buy-Back Program officials, or any other authorized representative of the Federal government.

Records:

Tribes must preserve, protect, and manage all fiduciary trust records created and/or maintained during its participation in the Buy-Back Program. Additionally, the tribe must store and permanently retain all fiduciary trust records, active and inactive, at the tribes expense and with full unlimited access thereto by the Department, or allow such records to be removed and stored at the American Indian Records Repository in Lenexa, Kansas.

Account Identification:

14-5670-0-2-452.

Obligations:

(Cooperative Agreements) FY 12 \$0; FY 13 est \$1,000,000; and FY 14 est \$2,000,000

Range and Average of Financial Assistance:

There is no minimum and maximum award amount. An average has yet to be determined. (This a New Program.)

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

43 CFR 12, Subpart C, Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments

Please visit the website; <http://www.doi.gov/buybackprogram>, for additional literature.

Regional or Local Office:

None.

Headquarters Office:

Faride Kraft Land Buy-Back Program for Tribal nations, Department of the Interior, 1849 C St. N.W., Room 7222, Washington, District of Columbia 20240 Email: faride_kraft@ios.doi.gov Phone: (202)219-1335

Website Address:

<http://www.doi.gov/buybackprogram>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Proposals will be selected for funding based upon criteria developed by the Land Buy-Back Program for Tribal Nations.

15.153 HURRICANE SANDY DISASTER RELIEF COASTAL RESILIENCY GRANTS.

FEDERAL AGENCY:

Department of the Interior

AUTHORIZATION:

Disaster Relief Appropriations Act of 2013; Public Law 113-2; Jan. 29, 2013.

OBJECTIVES:

The purpose of this program is to issue grants for disaster assistance for Hurricane Sandy. Funds shall be used by recipients to assist Interior and its bureaus/offices to restore and rebuild national parks, national wildlife refuges, and increase the resiliency and capacity of coastal habitat and infrastructure to withstand storms and reduce the amount of damage caused by such storms.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Recipients of these grants will have submitted a proposal and competed for grants to be issued in order to increase the resiliency and capacity of coastal habitat and infrastructure to withstand storms and reduce the amount of damage caused by such storms. Grants will be executed consistent with the projects selected through the competition. Grants are to be used consistent with the approved project selected through the competition. The use of these funds for land acquisition should be explicitly detailed in the grant agreement and is subject to approval by the Executive Council. 100% Discretionary.

Applicant Eligibility:

. anyone / general public.

Beneficiary Eligibility:

. anyone / general public.

Credentials/Documentation:

None. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applicants shall submit an application package in accordance with the requirements set forth in 43 CFR, Subtitle A, Part 12.

Award Procedure:

Criteria and additional information will be made available at time of announcement and posted online (Grants.gov).

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 90 to 120 days. 30-120 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

Matching requirements are not applicable to this program.

This program has MOE requirements, see funding agency for further details. (selected programs or projects).

Length and Time Phasing of Assistance:

Dependent of specifics of project. See the following for information on how assistance is awarded/released: Dependent of specifics of project.

Reports:

Recipients must submit a final program report no later than 90 calendar days after the award end date. Recipients of awards with performance periods longer than 12 months will be required to submit interim reports. Program may require recipients to submit interim reports annually, semiannually, or quarterly.

Program will detail all performance reporting requirements, including frequency and due dates, in the notice of award letter. Recipients must submit a final cash report no later than 90 calendar days after the award end date.

Recipients of awards with performance periods longer than 12 months will be required to submit interim reports. Program may require recipients to submit interim reports annually, semiannually, or quarterly. Program will detail all performance reporting requirements, including frequency and due dates, in the notice of award letter. Recipients must submit a final progress report no later than 90 calendar days after the award end date. Recipients of awards with performance periods longer than 12 months will be required to submit interim reports. Program may require recipients to submit interim reports annually, semiannually, or quarterly. Program will detail all performance reporting requirements, including frequency and due dates, in the notice of award letter.

Recipients must report expenditures using the SF 425, Federal Financial Report form. Recipients must submit a final report no later than 90 calendar days after the award end date. Recipients of awards with performance periods longer than 12 months will be required to submit interim reports. Program may require recipients to submit interim reports annually, semiannually, or quarterly. Program will detail all financial reporting requirements, including frequency and due dates, in the notice of award letter. Recipients are responsible for monitoring performance for each award and sub-award under this program in accordance with 43 CFR Part 12.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records determined by the type of project recipient, in accordance with 43 CFR.

Account Identification:

14-0102-0-1-306.

Obligations:

(Project Grants (Discretionary)) FY 12 \$0; FY 13 est \$200,000,000; and FY 14 est \$100,000,000

Range and Average of Financial Assistance:

New program, have not allocated yet.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

43 CFR, Subtitle A, Part 12

Regional or Local Office:

None.

Headquarters Office:

Felicia Baker Office of the Secretary

1849 C St NW, Washington, District of Columbia 20240 Email: ftbaker@ios.doi.gov Phone: (202)-208-5183

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Proposals will be reviewed by a panel of technical experts within the Federal Government, including the Department of the Interior and other Federal agencies. Projects will be judged on combination of factors, including the relevance to the programs objectives, the cost of the project, qualifications of the institution, and other factors. Additional guidance will be provided at time of announcement.

15.222 COOPERATIVE INSPECTION AGREEMENTS WITH STATES AND TRIBES

Section 202 Agreements

FEDERAL AGENCY:

Bureau of Land Management, Department of the Interior

AUTHORIZATION:

Federal Oil and Gas Royalty Act of 1982, Public Law 97-451, Title II, Section 202, 30 U.S.C 1732.

OBJECTIVES:

To provide for the Bureau of Land Management (BLM) to enter into cooperative agreements with Tribes so that authorized Tribal inspectors can carry out inspection activities on Indian oil and gas leases within Tribal jurisdiction.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Assistance to be used only for costs directly required to carry out the agreed upon activities as described in the signed agreement.

Applicant Eligibility:

Indian tribes with producing tribal oil and gas leases for which with Federal government has trust responsibility. State may enter into a cooperative agreement for inspection of tribal oil and gas leases with the permission of the Tribe.

Beneficiary Eligibility:

Indian tribes with producing tribal oil and gas leases for which the Federal government has trust responsibility. States that have tribal permission to enter into cooperative agreements to conduct inspections on tribal oil and gas leases.

Credentials/Documentation:

No Credentials or documentation are required. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

For more information and local requirements, cooperative project proposals should be coordinated with the Bureau of Land Management local State or District/Field Offices. No state plan is required with this application.

Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Completed SF 424,

Application for Federal Assistance; 424A, Budget Information - Non-Construction Programs; and 424B, Assurances - Nonconstruction Programs and proposal must be submitted to the appropriate BLM State Director. The proposal must include a description of the type and extent of inspection activities proposed under the agreement, the number of proposed inspectors, the proposed period or time the agreement will be in effect; and the name, address and telephone number of the State or Tribal contact.

Award Procedure:

Projects are reviewed at the Bureau of Land Management State and District Office level and funding recommendations are made through the State's annual work plan. Final budget approvals rest with the State Director.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Most awards are anticipated within 90 days or less after the announcement closes.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: The program has no statutory formula matching requirements. As provided in 43 CFR 3190.2-2, funding will be up to 100 percent of allowable cost. However, matching funds or in-kind services by the applicants are encouraged and those projects are more likely to be funded. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Funding shall be at least quarterly, upon submission of invoice or request for reimbursement. See the following for information on how assistance is awarded/released: Frequency of recipient payments will be determined for each awarded assistance agreement at time of award.

Reports:

Unless otherwise stated in the award document, recipients are required to submit quarterly semi-annual, or annual Program Performance Reports 30 days following the end of the reporting period. For any grant or cooperative agreement that is terminated, transferred to a new grantee, or will not be extended, the grantees must submit a final performance report 90 days after the end date of grant performance. Cash reports are not applicable. Progress reports are not applicable. Unless otherwise stated in the award document, recipients are required to submit quarterly SF-425, Federal Financial Reports 30 days following the end of the reporting period. A final SF-425 is required 90 days after the end date of grant performance. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records are required to be maintained for three years following submission of the final expenditure report.

Account Identification:

14-1109-0-1-302.

Obligations:

(Cooperative Agreements) FY 12 \$750,000; FY 13 est \$750,000; and FY 14 est \$750,000

Range and Average of Financial Assistance:

Past partnership projects have ranged from \$346,000 to \$402,600. Average amount is \$244,650 or less.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: The Bureau of Land Management awards financial assistance agreements with the Navajo and Jicarilla Nations. The agreements establish procedures for cooperation and coordination in planning and programming for oil and gas inspections of Nation leases with a goal of increasing the frequency of inspections providing for the uniform application of regulations, and timely communications between the BLM and the Nation in performance of their regulatory functions. The inspection and enforcement staff are Navajo and Jicarilla nationals who have the appropriate technical training and language skills to operate freely on their reservation. The Navajo Nation has three certified Inspection and Enforcement staff and one inspector that is currently in training with certification anticipated within the year. The Jicarilla Nation has two certified Inspection and Enforcement staff, one inspector that is currently in training with certification anticipated within the year and one new hire that will attend a six-module training for certification for Petroleum Engineering Technicians. Fiscal Year 2013: No current data available. Fiscal Year 2014: No current data available.

REGULATIONS, GUIDELINES, AND LITERATURE:

43 CFR 3190 and 43 CFR 3192.

Regional or Local Office:

See Regional Agency Offices. See Catalog Appendix IV for list of Bureau of Land Management State offices.

Headquarters Office:

Assistant Director, Bureau of Land Management, Resource Use and Protection (300), 1849 C St., NW, LS 300, Washington, District of Columbia 20240
Phone: (202) 208-4201.

Website Address:

<http://www.blm.gov/nhp/index.htm>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: BLM continues to provide training and coordination for the Navajo Nation and Jicarillo Apache Tribal Inspection and Enforcement staff to carry out inspection and enforcement activities on Indian oil and gas leases within their Tribal jurisdiction. Inspection statistics for 2012 are not available. Fiscal Year 2013: No current data available. Fiscal Year 2014: No current data available.

CRITERIA FOR SELECTING PROPOSALS:

General criteria used to select assistance proposals are based on the Tribes direct relationship to federal and Tribal lands and a balanced review including relevance to program objectives, merit, and cost effectiveness.

15.224 CULTURAL RESOURCE MANAGEMENT

FEDERAL AGENCY:

Bureau of Land Management, Department of the Interior

AUTHORIZATION:

Federal Land Policy and Management Act, Public Law 94-579; Archaeological Resources Protection Act, Public Law 96-95; National Historic Preservation Act of 1966, Public Law 89-665, as amended.

OBJECTIVES:

To manage and protect cultural resources on the public lands and to increase public awareness and appreciation of these resources. Most of these lands are located in the Western United States and Alaska.

TYPES OF ASSISTANCE:

Advisory Services on Compliance; DISSEMINATION OF TECHNICAL INFORMATION; INVESTIGATION OF COMPLAINTS; Project Grants; PROVISION OF SPECIALIZED SERVICES; Training; USE OF PROPERTY, FACILITIES, OR EQUIPMENT

USES AND USE RESTRICTIONS:

All projects are restricted to lands and resources administered by BLM. Most

of these lands are located in the Western United States and Alaska. Assistance can be used for cooperation in managing cultural or paleontological resources, e.g., survey for sites and localities, stabilization of historic structures, and public contact/education/interpretation or for improving information about cultural resources, consistent with needs identified in BLM planning documents. No regular discretionary fund is available but is highly variable each fiscal year.

Applicant Eligibility:

Anyone/general public.

Beneficiary Eligibility:

All Public Land users.

Credentials/Documentation:

No Credentials or documentation are required. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

For more information and local requirements, cooperative project proposals should be coordinated with the Bureau of Land Management local State, or District or Field offices. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. A Standard Form 424, Application for Federal Assistance, Standard Form 424A, Budget Information for Non-Construction Programs, Standard Form 424B, Assurances for Non-Construction Programs, and a written proposals should be submitted through Grants.gov in response to the advertised announcements and must include: the title, objectives, timeframes, and a budget breakdown as specified in the funding opportunity announcement. State plan is not required for this application.

Award Procedure:

Projects are reviewed at BLM State Office level and funding recommendations are made through each State's annual work plan. Final budget approvals rest with the Washington Office.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Award time varies depending on the type and complexity of the project. Most awards are anticipated within 90 days or less after the announcement closes. Further information will be available for each project at the time the funding opportunity announcement is posted on www.grants.gov and may be obtained by contacting the point of contact listed in the funding opportunity announcement.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: The program has no statutory formula matching requirements except when use is for the Challenge Cost Share program which has a 1:1 matching requirement. However, matching funds or in-kind services by the applicants are encouraged and those projects are more likely to be funded.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

No specific restrictions, however, most projects are funded on a year to year basis and funds are expended during a particular fiscal year. See the following for information on how assistance is awarded/released: Frequency of recipient payments will be determined for each awarded assistance agreement at the time of award.

Reports:

Unless otherwise stated in the award document, recipients are required to submit quarterly, semi-annual, or annual Program Performance Reports 30 days following the end of the reporting period. For any grant or cooperative agreement terminated, transferred to a new grantee, or will not be extended, that grantees must submit a final Program Performance Report 90 days after the end date of grant performance. Cash reports are not applicable. Progress reports are not applicable. Unless otherwise stated in the award document, recipients are required to submit quarterly SF-425, Federal Financial Reports 30 days following the end of the reporting period. A final SF-425 is required 90 days after the end date of grant performance. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

State, local and Indian Tribal governments shall maintain project records in accordance with 43 CFR 12.82. All other recipients shall maintain project records in accordance with 43 CFR 12.953.

Account Identification:

14-1109-0-1-302.

Obligations:

(Project Grants) FY 12 \$2,059,795; FY 13 est \$2,600,795; and FY 14 est \$2,600,000

Range and Average of Financial Assistance:

Past partnership projects have ranged from \$2,000 to \$552,400. Average amount is \$33,000 or less.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: BLM's cultural resources program continued its efforts to promote Heritage Education through partnerships and its Adventures in the Past initiative. BLM worked with over 15 university archeology field schools to train students in archaeological methods, worked with partners to study 1,450 cultural properties, stabilize 300 archaeological sites, present gave more than 800 public programs, and curate 100 collections. BLM uses challenge cost-share grants whenever possible on projects located on lands managed by the Federal Government, to enhance cultural and heritage resource management on Public Lands. Projects are restricted to lands administered by BLM in the Western United States and Alaska. Fiscal Year 2013: No current data available. Fiscal Year 2014: No current data available.

REGULATIONS, GUIDELINES, AND LITERATURE:

BLM's cultural resource management program is basically guided by the provisions in 43 CFR Part 3, 43 CFR Part 7, 36 CFR Part 60 and 36 CFR Part 800. A variety of public interest publications on these programs are available free of charge by contacting the appropriate State Office. Manuals providing basic program operational guidance for cultural resources (8100) may be obtained by contacting the Washington Office.

Regional or Local Office:

See Regional Agency Offices. See Catalog Appendix IV for addresses.

Headquarters Office:

Division Chief Bureau of Land Management, Division of Cultural and Paleontological Resources and Tribal Coordination (WO 240), 1849 C St., NW, Washington, District of Columbia 20240 Phone: (202) 912-7241.

Website Address:

<http://www.blm.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Cooperative agreements with universities to conduct management-focused archaeological or paleontological field schools and

scholarly research on public lands located in Western United States and Alaska, cooperative agreements with states to compile and maintain cultural inventory data, cooperative agreements to curate and interpret museum collections from the public lands, and cooperative agreements to produce education materials enhancing public awareness and appreciation of cultural and paleontological resources. Fiscal Year 2013: No current data available. Fiscal Year 2014: No current data available.

CRITERIA FOR SELECTING PROPOSALS:

Criteria used to select assistance proposals are based on a balanced review including relevance to program objectives, merit and cost effectiveness.

15.225 RECREATION RESOURCE MANAGEMENT

FEDERAL AGENCY:

Bureau of Land Management, Department of the Interior

AUTHORIZATION:

Federal Land Policy and Management Act, Public Law 94-579.

OBJECTIVES:

To manage recreational resource values on the public lands administered by the Bureau of Land Management and to increase public awareness and appreciation of these values.

TYPES OF ASSISTANCE:

ADVISORY SERVICES AND COUNSELING; DISSEMINATION OF TECHNICAL INFORMATION; PROJECT GRANTS; PROVISION OF SPECIALIZED SERVICES; TRAINING; USE OF PROPERTY, FACILITIES, OR EQUIPMENT

USES AND USE RESTRICTIONS:

All projects are restricted to lands administered by the BLM. Most of these lands are located in the Western United States and Alaska. Assistance can be used for helping the BLM manage and/or upgrade recreational resources and related facilities, and in providing related public contact/education opportunities. No regular discretionary fund is available. Funding is highly variable each fiscal year.

Applicant Eligibility:

Anyone/general public.

Beneficiary Eligibility:

All Public Land users.

Credentials/Documentation:

For grants awarded, cost will be determined in accordance with OMB Circular No. A-87 for State and Local Governments; OMB Circular No. A-21 for educational institutions; OMB Circular No. A-122 for nonprofit organizations; and Federal Acquisition Regulation Subpart 31.2 for private foundations, firms, individuals, and other nonprofits excluded from coverage under OMB Circular No. A-122. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

For more information and local requirements, cooperative project proposals should be coordinated with Bureau of Land Management local State or District/Field Offices. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Standard Form 424, Application for Federal Assistance, Standard Form 424A, Budget Information for Non-Construction Programs, Standard Form 424B, Assurances for Non-Construction Programs, and a written proposal should be submitted through Grants.gov in response to the advertised announcements and must include: the title, objectives, timeframes, and a budget breakdown as specified in the funding opportunity announcement. State plan is not required for this application.

Award Procedure:

Projects are reviewed at the Bureau of Land Management State and District Office level and funding recommendations are made through the State's annual work plan. Final budget approvals rest with the State Director.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. Most awards are anticipated within 90 days or less after the announcement closes.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: This program has no statutory formula requirements. However, matching funds by the applicants are encouraged and those projects are more likely to be funded.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

No specific restrictions for most projects, however, most projects are awarded for a one to five year period and funded on a year-by-year basis and funds are expended during a particular fiscal year. No commitment will be made to fund projects beyond one year. New and continuing projects will be re-evaluated each year based on performance, merit, and funding availability. See the following for information on how assistance is awarded/released: Frequency of recipient payments will be determined for each awarded assistance agreement at time of award.

Reports:

Unless otherwise stated in the award document, recipients are required to submit quarterly, semi-annual, or annual Program Performance Reports 30 days following the end of the reporting period. For any grant or cooperative agreement that is terminated, transferred to a new grantee, or will be extended, the recipient must submit a final Program Performance Report 90 days after the end date of grant performance. Projects funded under the American Recovery and Reinvestment Act of 2009 will be subjected to additional reporting requirements as described in the announcement packages at www.grants.gov. Cash reports are not applicable. Progress reports are not applicable. Unless otherwise stated in the award document, recipients are required to submit quarterly SF-425, Federal Financial Reports 30 days following the end of the reporting period. A final SF-425 must be submitted 90 days after the end date of grant performance. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

State, local and Indian Tribal governments shall maintain project records in accordance with 43 CFR 12.82. All other recipients shall maintain project records in accordance with 43 CFR 12.953.

Account Identification:

14-1109-0-1-302; 14-1108-0-1-302 - 14-09/10 ARRA Fund Code.

Obligations:

(Cooperative Agreements) FY 12 \$7,780,177; FY 13 est \$7,780,177; and FY 14 est \$7,789,177

Range and Average of Financial Assistance:

Past partnership projects have ranged from \$2000 to \$552,400. Average amounts approximately \$33,000 or less.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: BLM continues to maintain and expand partnerships in the Tread Lightly, Leave No Trace, Interpretive programming, Recreational Fishing, Corridor Management (rivers and trails) and numerous travel and tourism activities. BLM uses challenge cost share grants wherever possible on

projects of mutual benefit to rural communities, counties, and cities in the western United States for management of public lands. Fiscal Year 2013: No current data available. Fiscal Year 2014: No current data available.

REGULATIONS, GUIDELINES, AND LITERATURE:

BLM's recreation program is generally guided by provisions in 43 CFR Part 8000. A variety of public interest publications on these programs are available free of charge by contacting the appropriate State Office. Manuals providing basic program operational guidance for recreation (BLM Manual 8300 and 8400) may be obtained by contacting the Washington Office.

Regional or Local Office:

See Regional Agency Offices. See Catalog Appendix IV for addresses.

Headquarters Office:

Chief, Recreation Visitor Services Division, Bureau of Land Management (WO 250), Division of Recreation and Visitor Services, 1849 C Street, NW, Room 2134LM, Washington, District of Columbia 20240-9998 Phone: (202) 912-7250.

Website Address:

<http://www.blm.gov/nhp/index.htm>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Develop of recreation facilities on BLM managed lands; cooperative visitor services to the general public using BLM managed lands; agreements to managed land resources cooperatively; and assistance agreements promote more effective management of caves on public lands; and an assistance agreement with a university to conduct visitor surveys. Fiscal Year 2013: No current data available. Fiscal Year 2014: No current data available.

CRITERIA FOR SELECTING PROPOSALS:

Criteria used to select assistance proposals are based on their direct relationship to BLM land management and a balanced review including relevance to program objectives, merit and cost effectiveness.

15.226 PAYMENTS IN LIEU OF TAXES

(PILT)

FEDERAL AGENCY:

Department of the Interior

AUTHORIZATION:

Payment for Entitlement Land, Public Law 97-258, as amended, 31 U.S.C. 6901-6907.

OBJECTIVES:

To compensate local taxing units (usually County governments) for the loss of taxes from Federally-owned and acquired lands. Payment amounts are determined by several codified formulas (U.S.C. 6901-6907).

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE; DIRECT PAYMENTS WITH UNRESTRICTED USE

USES AND USE RESTRICTIONS:

Payments made under Section 6902 of the Law may be used for any governmental purpose. Payments made under Sections 6904 and 6905 must be distributed to units and school districts that lost real property taxes because of the acquisition of lands by the Federal government.

Applicant Eligibility:

Local units containing eligible acres of PILT entitlement land as defined in Section 6901 will receive automatic payment distribution as computed in accordance with Section 6903 of the Law.

Beneficiary Eligibility:

County governments in which Federal lands are located or have been acquired for purposes defined under Objectives.

Credentials/Documentation:

Payments under Section 6902 are computed based on: 1) the number of acres of

PILT entitlement land owned or administered by the Federal government, 2) the amount of prior year payments received by the unit under other Federal programs, and 3) the population of the unit as reported by the U.S. Census Bureau. Payments under Section 6904 and Section 6905 are computed based on: 1) the fair market value of the acquired land, and 2) the amount of real property taxes paid on the land in the year prior to Federal acquisition. This program is excluded from coverage under OMB Circular No. A-87. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Automatic distribution is made as authorized by 31 U.S.C. 6901-6907. No application is necessary. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

Not applicable.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. Payments under Section 6902 are computed based on: 1) the number of acres of PILT entitlement land owned or administered by the Federal government, 2) the amount of prior year payments received by the unit under other Federal programs, and 3) the population of the unit as reported by the U.S. Census Bureau. Payments under Section 6904 and Section 6905 are computed based on: 1) the fair market value of the acquired land, and 2) the amount of real property taxes paid on the land in the year prior to Federal acquisition. There are no matching requirements. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Not applicable. See the following for information on how assistance is awarded/released: Funding is released by automatic distribution and payments are computed based upon Section 6902.

Reports:

Not Applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. The Governor of each State is required under Section 6903 to submit audited or certified information to the authorized officer for the PILT program on the amounts of payments received by the units in the prior year under other Federal programs as detailed in Section 6903.

Records:

None.

Account Identification:

14-1114-0-1-806.

Obligations:

(Direct Payments for Specified Use) FY 12 \$393,840,454; FY 13 Estimate Not Available; and FY 14 Estimate Not Available - Payments: FY12 \$393,044,454; FY13 and FY14 estimates are not available.

Administrative Expenses: FY12 \$400,000; FY 13 and FY14 estimates are not available.

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Niall O'Connor Office of Budget, Department of the Interior, 1849 C St N.W., (MS 4116, MIB), Washington, District of Columbia 20240 Phone: (202) 513-7785

Website Address:

<http://www.doi.gov/pilt/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

15.227 DISTRIBUTION OF RECEIPTS TO STATE AND LOCAL GOVERNMENTS

FEDERAL AGENCY:

Bureau of Land Management, Department of the Interior

AUTHORIZATION:

Mineral Lands Leasing Act, 30 U.S.C. 191, 275, 286 and 355, Public Law 85-88, as amended; Taylor Grazing Act, 43 U.S.C. 315i and 315m, Section 15; as amended, 48 Stat. 1275; Executive Orders 10322, 10787, 10890, and 10046, Section 15; Taylor Grazing Act, 43 U.S.C. 315i, and 315m, Section 15, 43 U.S.C. 315b, Section 3; 48 Stat. 1270 and 1275; The Department of the Interior and Related Agencies Appropriations Act of 1981, 42 U.S.C. 6508, Public Law 96-514, 94 Stat. 2964; Outer Continental Shelf Lands Act, 43 U.S.C. 1337, 67 Stat. 462, as amended; Lake Tahoe Basin-U.S. Lands-Disposal and Acquisition, Sections 1 and 2, Public Law 96-586, 94 Stat. 3381-3382; Federal Land Policy and Management Act of 1976, Title I, Section 102, Public Law 94- 579, 90 Stat. 2744; Southern Nevada Public Land Management Act of 1998, 31 U.S.C. 6901, Public Law 105-263, 90 Stat. 2343; Lincoln County Land Act of 2000, Public Law 106-298; 30 U.S.C. 230, 62 Stat. 576; 65 Stat. 248; Public Buildings Omnibus Act, 30 U.S.C. 603; Money and Finance, 31 U.S.C. 711 and 717, Public Law 97-258, 96 Stat. 889 and 893; National Reclamation Law, 43 U.S.C. 391, 32 Stat. 388; Chapter 139, 18 Stat. 474; 58 Stat. 745; 65 Stat. 252; Department of the Interior and Related Agencies Appropriations Act of 1993, Title I, Public Law 102-381, 106 Stat. 1378; Federal Land Transaction Facilitation Act, Title II, Public Law 106-248, 106 Stat. 613; Public Law 85-569, 7 U.S.C. 1012, 72 Stat. 348; Federal Land Policy and Management Act of 1976, Title II, Section 213, Public Law 94-579, 90 Stat. 2760, Mineral Revenue Payments Clarification Act of 2000, Title V, Public Law 106-393.

OBJECTIVES:

By various Laws, the Bureau of Land Management (BLM) shares revenue with State, county, and local governments from fees charged for sale or use of public lands, minerals, and vegetation. Payment amounts are determined by several codified formulas and supplements other Federal land revenue sharing payments that local units may be receiving. Payments may be used for any governmental purpose as specified in the various Public Laws.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE; DIRECT PAYMENTS

WITH UNRESTRICTED USE

USES AND USE RESTRICTIONS:

Are specified in the various Public Laws. For more specific information contact the headquarters office.

Applicant Eligibility:

No application is necessary. Local units which generate revenue from public land and resources will receive automatic payment distribution as computed in accordance with the Law for that distribution.

Beneficiary Eligibility:

State and county governments in which Federal lands and minerals are located or have been acquired for purposes defined under Objectives.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Automatic distribution is made as authorized by the various Laws for that distribution subject. No application is necessary.

Award Procedure:

Not applicable.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Not applicable. See the following for information on how assistance is awarded/released: Automatic distribution is made as authorized by various Public Laws for that distribution subject.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

None.

Account Identification:

14-9921-0-2-999.

Obligations:

(Direct Payments with Unrestricted Use) FY 12 \$91,000,000; FY 13 est \$87,500,000; and FY 14 est \$87,500,000 - Assistance type includes direct payments with unrestricted use and direct payments for specified use.

Range and Average of Financial Assistance:

Not Applicable.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Distribution of Receipts Accountant, Bureau of Land Management, OC-621 Building 50, Denver Federal Center, Denver, Colorado 80225 Phone: (303) 236-0144.

Website Address:

<http://www.blm.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

15.228 NATIONAL FIRE PLAN - WILDLAND URBAN INTERFACE COMMUNITY FIRE ASSISTANCE

FEDERAL AGENCY:

Bureau of Land Management, Department of the Interior

AUTHORIZATION:

Department of the Interior, Environment, and Related Agencies Appropriations Act, 2012, Public Law 112-74, Division E, Title I, Department-wide Programs, Wildland Fire Management; This program was first implemented in the Department of the Interior and Related Agencies Appropriations Act of 2001, Title IV, Public Law 106-291.

OBJECTIVES:

To implement the National Fire Plan and assist communities at risk from catastrophic wildland fires by providing assistance in the following areas: Provide community programs that develop local capability including; assessment and planning, mitigation activities, and community and homeowner education and action; plan and implement hazardous fuels reduction activities, including the training, monitoring or maintenance associated with such hazardous fuels reduction activities, on federal land, or on adjacent nonfederal land for activities that mitigate the threat of catastrophic fire to communities and natural resources in high risk areas; enhance local and small business employment opportunities for rural communities; enhance the knowledge and fire protection capability of rural fire districts by providing assistance in education and training, protective clothing and equipment purchase, and mitigation methods on a cost share basis.

TYPES OF ASSISTANCE:

ADVISORY SERVICES AND COUNSELING; DISSEMINATION OF TECHNICAL INFORMATION; PROJECT GRANTS; PROVISION OF SPECIALIZED SERVICES; TRAINING; USE OF PROPERTY, FACILITIES, OR EQUIPMENT

USES AND USE RESTRICTIONS:

Hazard reduction activities are restricted to Federal lands, or adjacent nonfederal land for activities that benefit resources on Federal land. Most of these lands are located in the Western United States and Alaska. Assistance can be used for helping BLM support community based efforts to address defensible space and fuels management issues to support outreach and education efforts associated with fuels management and risk reduction activities and to increase the effectiveness of rural fire protection. Funding is highly variable each fiscal year. For more specific information please contact the headquarters office.

Applicant Eligibility:

States and local governments at risk as published in the Federal Register, Indian Tribes, public and private education institutions, nonprofit organizations, and rural fire departments serving a community with a population of 10,000 or less in the wildland/urban interface.

Beneficiary Eligibility:

States and local governments at risk as published in the Federal Register, Indian

Tribes, public and private education institutions, nonprofit organizations, and rural fire departments serving a community with a population of 10,000 or less in the wildland/urban interface.

Credentials/Documentation:

For grants awarded, cost will be determined in accordance with OMB Circular No. A-87 for State and Local Governments; OMB Circular No. A-21 for educational institutions; OMB Circular No. A-122 for nonprofit organizations; and Federal Acquisition Regulation Subpart 31.2 for private foundations, firms, individuals, and other nonprofits excluded from coverage under OMB Circular No. A-122. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

For more information and local requirements, cooperative project proposals should be coordinated with the Bureau of Land Management local State or District/Field Offices. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Wildland Urban Interface Community Assistance is coordinated by Bureau State and Field Offices. No specific application forms apply, except for grants awarded, the standard application forms furnished by the Federal agency and required by 43 CFR Part 12, Subpart C, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments," and 43 CFR Part 12, Subpart F, "Uniform Administrative Requirements for Grants and Agreements With Institutions of Higher Education, Hospitals, and Other Nonprofit Organizations", must be used by this program.

Award Procedure:

Wildland Urban Interface community projects are reviewed at State level and funding recommendations are made through each State's annual work plan. Final budget approvals rest with the State Director or Field Office Manager.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Award time varies depending on the type of complexity of the project. Most awards are anticipated within 90 days or less after the announcement closes. Further information will be available for each project at the time of the funding opportunity announcement is posted on www.grants.gov and may be obtained by contacting the point of contact listed in the funding opportunity announcement.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: The program has no matching requirements, however, matching funds by the applicant are encouraged and those projects are most likely to be funded. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

No specific restrictions, however, most projects are funded on a year-to-year basis and funds are expended during a particular fiscal year. See the following for information on how assistance is awarded/released: Frequency of recipient payment will be determined for each awarded assistance agreement at time of award.

Reports:

Unless otherwise stated in the award document, recipients are required to submit quarterly, semi-annual or annual performance reports 30 days following the end of the reporting period. For any grant or cooperative agreement that is terminated, transferred to a new grantee, or will not be extended, grantees must submit a final performance report 90 days after the end date of grant performance. Cash reports are not applicable. Progress reports are not applicable. Unless otherwise stated in the award document, recipients are

required to submit quarterly, SF-425, Federal Financial Reports 30 days following the end of the reporting period. A final SF-425 is required 90 days after the end date of grant performance. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records relating to work performed and costs are kept by the Bureau. There is no fixed records schedule. Records for grants awarded to State, Local and Indian Tribal Governments will be maintained in accordance with the provisions of 43 CFR Part 12, Subpart C, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments." Records for grants awarded to institutions of higher education and other nonprofit organizations will be maintained in accordance with the provisions of 43 CFR Part 12, Subpart F, "Uniform Administrative Requirements for Grants and Agreements With Institutions of Higher Education, Hospitals, and Other Nonprofit Organizations".

Account Identification:

14-1125-0-1-302.

Obligations:

(Cooperative Agreements) FY 12 \$5,970,578; FY 13 est \$5,970,578; and FY 14 est \$5,970,578

Range and Average of Financial Assistance:

Past partnership projects have ranged from \$5,000 to \$686,000. Average amount is \$47,400 or less.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Communities at risk from catastrophic wildland fires have been provided assistance in the following areas: assessment and planning, mitigation activities, and community and homeowner education and action; plan and implement hazardous fuels reduction activities, including the training, monitoring or maintenance associated with such hazardous fuels reduction activities, on federal land, or on adjacent nonfederal land for activities that mitigate the threat of catastrophic fire to communities and natural resources in high risk areas; and enhance local and small business employment opportunities for rural communities. Fiscal Year 2013: No current data available. Fiscal Year 2014: No current data available.

REGULATIONS, GUIDELINES, AND LITERATURE:

BLM's wildland urban interface community assistance program is guided by the provisions of the National Fire Plan. A variety of public information on this plan is available by contacting the appropriate State Office or the National Interagency Fire Center's web site at: <http://www.nifc.gov>.

Regional or Local Office:

See Regional Agency Offices. See Catalog Appendix IV for addresses.

Headquarters Office:

Chief, Division of Fire Planning and Fuels Management, Bureau of Land Management, (FA-600), National Interagency Fire Center, 3833 S. Development Ave., Boise, Idaho 83705 Phone: (208) 387-5186.

Website Address:

<http://www.blm.gov/nhp/index.htm>; <http://www.nifc.gov>.

RELATED PROGRAMS:

15.242 National Fire Plan - Rural Fire Assistance

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Assessment and planning for reducing wildland fire risks to communities and their associated watersheds and natural resources. Hazard fuel reduction activities and projects that mitigate wildfire hazards to communities and natural resources. Implementation of programs, workshops or training that distributes educational materials and develop partnerships between stakeholders

in wildland urban interface communities. Assistance and technical transfer of information that promotes homeowner's and rural fire department's action to implement community wildfire mitigation activities. Fiscal Year 2013: No current data available. Fiscal Year 2014: No current data available.

CRITERIA FOR SELECTING PROPOSALS:

Criteria used to select assistance proposals are based on their ability to reduce the risk of wildland fire to community values and to increase the capabilities of rural fire organizations, in the vicinity of Federal lands.

15.229 WILD HORSE AND BURRO RESOURCE MANAGEMENT

FEDERAL AGENCY:

Bureau of Land Management, Department of the Interior

AUTHORIZATION:

Wild Free-Roaming Horses and Burros Act of 1971, Public Law 92-195, as amended; Federal Land Policy and Management Act of 1976, Public Law 94-579; Public Rangelands Improvement Act of 1978, Public Law 95-514.

OBJECTIVES:

The goal of the Wild Horses and Burro Resource Management program is to achieve and maintain healthy, viable wild horse and burro populations on the public lands.

TYPES OF ASSISTANCE:

ADVISORY SERVICES AND COUNSELING; DISSEMINATION OF TECHNICAL INFORMATION; PROJECT GRANTS; PROVISION OF SPECIALIZED SERVICES; TRAINING; USE OF PROPERTY, FACILITIES, OR EQUIPMENT

USES AND USE RESTRICTIONS:

Projects are designated to manage and protect wild free-roaming horses and burros to achieve and maintain a thriving natural ecological balance on the public lands. Project assistance can be used in researching for improved censusing techniques and fertility control methods; and adopting or long term care of excess animals removed from the range. For more specific information contact the local or headquarters office.

Applicant Eligibility:

Anyone/general public.

Beneficiary Eligibility:

All Public Land users.

Credentials/Documentation:

No Credentials or documentation are required. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Environmental impact information may be required for this program. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Standard Form 424, Application for Federal Assistance, Standard Form 424A Budget Information for Non-Construction Programs, Standard Form 424B, Assurances for Non-Construction Programs, and a written proposal should be submitted through Grants.gov in response to the advertised announcements and must include: the title, objectives, timeframes, and a budget breakdown as specified in the funding opportunity announcement. State plan is not required for this application.

Award Procedure:

Projects are reviewed at the Bureau of Land Management and District Office level and funding recommendations are made through each State's annual work plan. Final budget approvals rest with the State Director.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Award time varies depending on the type and complexity of the project. Most

awards are anticipated within 90 days or less after the announcement closes. Further information will be available for each project at the time the funding opportunity announcement is posted on www.grants.gov and may be obtained by contacting the point of contact listed in the funding opportunity announcement.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: The program has no statutory formula matching requirements. However, matching funds or in-kind services by the applicants are encouraged and those projects are more likely to be funded.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

No specific restrictions for most projects, however, most projects are awarded for a one to five year period and funded on a year-by-year basis and funds are expended during a particular fiscal year. No commitment will be made to fund projects beyond one year. New and continuing projects will be re-evaluated each year based on performance, merit, and funding availability. See the following for information on how assistance is awarded/released: Frequency of recipient payments will be determined for each awarded assistance agreement at time of award.

Reports:

Unless otherwise stated in the award document, recipients are required to submit quarterly, semi-annual, or annual Program Performance Reports 30 days following the end of the reporting period. For any grant or cooperative agreement that is terminated, transferred to a new grantee, or will not be extended, recipients must submit a final Program Performance Report 90 days after the end of the grant performance. Cash reports are not applicable. Progress reports are not applicable. Unless otherwise stated in the award document, recipients are required to submit quarterly SF-425, Federal Financial Reports 30 days following the end of the reporting period. A final SF-425 is required 90 days after the end of the grant performance. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

State, local and Indian Tribal governments shall maintain project records in accordance with 43 CFR 12.82. All other recipients shall maintain project records in accordance with 43 CFR 12.953.

Account Identification:

14-1109-0-1-302.

Obligations:

(Cooperative Agreements) FY 12 \$10,000,000; FY 13 est \$10,000,000; and FY 14 est \$10,000,000

Range and Average of Financial Assistance:

Past partnerships projects have run between \$85,000 to \$3,180,000. Average amounts are \$532,300 or less.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: The BLM currently manages approximately 45,400 horses and burros in 190 herd management areas in 10 western states. Nationally, the appropriate management level (optimum number of animals on the range -AML) is about 30,000 animals. BLM has made significant progress towards achieving AML and continues removes excess animals from the range to reach AML and to avoid range deterioration. BLM plans to reduce population growth

by increasing fertility control in partnership with the Humane Society (gather, treat and release mares using PZP) and adjusting sex ratios (gather, geld and release stallions) on the range. BLM looks forward to expanding partnerships for long term care of animals and creating new policies to increase adoptions and sales. Fiscal Year 2013: No current data available. Fiscal Year 2014: No current data available.

REGULATIONS, GUIDELINES, AND LITERATURE:

The wild horse and burro program is generally guided by provisions in 43 CFR Part 4700. BLM Manuals and Handbooks 4700 - 4770 provide basic program operational guidance for the wild horses and burro program and may be obtained by accessing the BLM library through the internet.

Regional or Local Office:

See Regional Agency Offices. See Catalog Appendix IV for addresses.

Headquarters Office:

Division Chief Bureau of Land Management, Division of Wild Horses and Burros, (WO 260), 1849 C St., NW, Room 2134LM, Washington, District of Columbia 20240-9998 Phone: (202) 912-7296

Website Address:

<http://www.wildhorseandburro.blm.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: BLM developed partnerships for research into population level fertility control along with research on population census techniques and programs to help place excess wild horses and burros in good homes. Assistance agreements have been awarded to state departments of correction in Colorado, Nevada, Kansas, Utah, Wyoming, and Mississippi to train wild horses making them more adoptable. Universities have been awarded to grants to conduct research on the genetic analysis of wild horse and burro herds. Fiscal Year 2013: No current data available. Fiscal Year 2014: No current data available.

CRITERIA FOR SELECTING PROPOSALS:

Criteria used to select assistance proposals are based on their direct relationship to BLM's management of wild horse and burro herds and a balanced review including relevance to program objectives, merit and cost effectiveness.

15.230 INVASIVE AND NOXIOUS PLANT MANAGEMENT

FEDERAL AGENCY:

Bureau of Land Management, Department of the Interior

AUTHORIZATION:

Federal Noxious Weed Act of 1974, 7 U.S.C. 2814; Watershed Restoration and Enhancement Agreements, 16 U.S.C. 1011, Public Law 104-208, Section 124, as amended, Public Law 105-277, Section 135; and Executive Order 13112, Invasive Species, February 3, 1999.

OBJECTIVES:

To encourage interested State and local governments and Federal agencies to work together to inventory, manage, educate, reduce the spread of, and prevent the further invasion and establishment of noxious, invasive weeds, and other species. These entities will develop and implement Integrated Pest Management Plans to develop and implement projects that foster consultation and cooperation among stakeholders, interested parties, and the public and to organize, finalize, and develop projects to implement integrated pest management plans for invasive species within a specific geographic area.

TYPES OF ASSISTANCE:

ADVISORY SERVICES AND COUNSELING; PROJECT GRANTS; TRAINING

USES AND USE RESTRICTIONS:

Funds may be used on public, State county, and private lands for approved projects that prioritize and target undesirable plant species or group of species to be controlled or contained within a specific geographic area; describe the integrated pest management system to be used to control or contain the targeted undesirable invasive species or groups of species; and detail the means of implementing the integrated pest management system, define the duties of

the Federal agency and the State agency in prosecuting that method, and establish a timeframe for the initiation and completion of the tasks specified in the integrated management system.

Applicant Eligibility:

State and local governments.

Beneficiary Eligibility:

Anyone/General public.

Credentials/Documentation:

None. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Coordinate cooperative project proposals with Bureau of Land Management local State or District office for more information and local requirements. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. A Standard Form 424, Application for Federal Assistance, Standards Form 424A, Budget Information for Non-Construction Programs, Standard Form 424B, Assurances for Non-Construction Programs, and a written proposals should be submitted through Grants.gov or via hardcopy to the project office and include: a title, objectives, timeframe, and a budget breakdown as specified in the funding opportunity announcement. No State plan is required with this application.

Award Procedure:

Projects are reviewed at the Bureau of Land Management State and District Office level and funding recommendations are made through the State's annual work plan. Final budget approvals rest with the State Director.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Most awards are anticipated within 90 days or less after the announcement closes.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching Requirements: This program has no statutory match requirements. However, applicant's matching funds are encouraged and those projects are more likely to be funded. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

No specific restrictions for most projects, however, most projects are awarded for a five year period and funded on a year-by-year basis and funds are expended during a particular fiscal year. See the following for information on how assistance is awarded/released: Frequency of recipient payments will be determined for each awarded assistance agreement at the time of award.

Reports:

Unless otherwise stated in the award document, recipients are required to submit quarterly, semi-annually, or annual Program Performance Reports 30 days following the end of the reporting period. For any grant or cooperative agreement that is terminated, transferred to a new grantee, or will not be extended, grantees must submit a final Program Performance Report 90 days after the end date of grant performance. Cash reports are not applicable. Progress reports are not applicable. Unless otherwise stated in the award document, recipients are required to submit quarterly SF-425, Federal Financial Reports 30 days following the end of the reporting period. A final SF-425 is required 90 days after the end of grant performance. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records are required to be maintained for three years following submission of the final expenditure report.

Account Identification:

14-1109-0-1-302.

Obligations:

(Cooperative Agreements) FY 12 \$3,500,000; FY 13 est \$3,500,000; and FY 14 est \$3,500,000

Range and Average of Financial Assistance:

Past partnership projects have run between \$1,000 to \$567,000. Average amounts run about \$31,600 or less.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: The State and Bureau of Land Management have worked together with private and non-Federal landowners to prevent, contain, and control the spread of invasive and noxious weeds and other invasive species. Because the plants and other invasive species move rapidly from one owner's water and land to another, joint efforts to control, manage, and eradicate, contain or control invasive and noxious weeds, and other invasive species can reduce adverse impacts on public and private lands and reduce costs to all land owners. Fiscal Year 2013: No current data available. Fiscal Year 2014: No current data available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Information about the Bureau of Land Management invasive and noxious plant management program can be found at <http://www.blm.gov/wo/st/en/prog/more/weeds.html>.

Regional or Local Office:

See Regional Agency Offices. See Catalog Appendix IV for addresses of Bureau of Land Management State Offices.

Headquarters Office:

Senior Weeds Specialist, Division of Rangeland Resources, Bureau of Land Management, 1849 C Street, MS: 2134LM, Washington, District of Columbia 20240 Phone: (202) 912-7226.

Website Address:

For general information see website <http://www.blm.gov/wo/st/en/prog/more/weeds/html>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Projects funded encouraged the State and the Bureau of Land Management to work together to control, manage and eradicate undesirable invasive species on Federal, State, and private lands. By working together entire areas are treated to control and prevent the spread of invasive and noxious or undesirable plants and other invasive species within the close proximity of public lands. Fiscal Year 2013: No current data available. Fiscal Year 2014: No current data available.

CRITERIA FOR SELECTING PROPOSALS:

General criteria used to select assistance proposals are based on their direct relationship to federal lands and a balanced review including relevance to program objectives, merit and cost effectiveness.

**15.232 WILDLAND FIRE RESEARCH AND STUDIES PROGRAM
FEDERAL AGENCY:**

Bureau of Land Management, Department of the Interior

AUTHORIZATION:

Federal Land Policy and Management Act, as amended, Public Law 94-579;

The Consolidated Appropriations Act of 2010, Division A, Department of the Interior and Related Appropriations Act of 2010, Department-Wide Programs, Wildland Fire Management, Public Law 111-88; The Joint Fire Science Program authorization is in the Department of the Interior and Related Agencies Appropriation Act of 1998, Public Law 106-291 and funded yearly.

OBJECTIVES:

To encourage interested parties to perform research and studies pertaining to wildland fire and resource management, to develop products and tools for all levels of decision making to meet the objectives of the National Fire Plan, and to seek information to improve decision making in wildland fire management. In addition, to generally funded wildland fire research and studies there are two separate research programs; Climate applications with the National Climate Data Center: The purpose of the program is to develop products and tools for wildland fire resource management utilizing the climate data archives of the National Climate Data Centers. The National Climate Data Center is authorized to describe the climate of the United States. For example, the Western Regional Climate Center is one of the six regional centers. Western Regional Climate Center is the archive of interagency fire weather station observations. The objectives for the National Climate Data Center and its regional centers are to perform basic climate and ecosystem studies pertaining to wildland fire and resource management, to develop climate and ecosystem products and tools for all levels of decision-making. The Joint Fire Science Program: This program seeks research proposals that respond to its announcements for proposals that seek information on seven critical wildland fire and fuels issues: These issues are: Wildland fuels and inventory mapping; Effects of fuels treatments; Scheduling of fuels treatments; Monitoring and evaluation of fuels treatments; Evaluating post fire stabilization and rehabilitation projects; Aircraft-based remote sensing; and Regional and local offices.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funds may be used for approved projects that address wildland fire management needs of federal and local government land managers. For more specific information please contact the headquarters office.

Applicant Eligibility:

Anyone/General Public.

Beneficiary Eligibility:

Anyone/General Public.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

For more information and local requirements, cooperative project proposals should be coordinated with the Bureau of Land Management local State or District/Field Offices. No State Plan is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. A Standard Form 424, Application for Federal Assistance, Standard Form 424A, Budget Information for Non-Construction Programs, Standard Form 424B, Assurances for Non-Construction Programs, and a written proposal should be submitted through Grants.gov or via hardcopy to the project office and include: a title, objectives, timeframe, a budget breakdown, and any other requirements specified in the funding opportunity announcement.

Award Procedure:

Projects are reviewed at the National and State level and funding recommendations are made through National and State's annual work plan. Final budget approvals rest with the National Office and State Director. For the Joint Fire Science Program all applications are peer-reviewed. The ten person Joint Fire Science Program Governing Board will analyze peer review comments and make all funding decisions.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

The deadline will be published in the Grants.gov announcement. Applications for projects for the Joint Fire Science program will also be posted www.firescience.gov generally in the fall of each year. Award time varies depending on the type and complexity of the project. Most awards are anticipated within 90 days or less after the announcement closes. Further information will be available for each project at the time the funding opportunity announcement is posted on www.grants.gov and may be obtained by contacting the point of contact listed in the funding opportunity announcement. JFSP awards are anticipated within 6-8 months after announcement closes.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: This program has no statutory match requirements. However, the applicant's matching funds are encouraged and those projects are more likely to be funded.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

No specific restrictions for most projects, however, most projects are funded on a year-by-year basis and funds are expended during a particular fiscal year. No commitment will be made to fund projects beyond one year. New and continuing projects will be re-evaluated each year based upon performance, merit, and funding availability. For the Joint Fire Science Program, projects are generally funded for no more than three years. Most projects funded are awarded in one lump sum at the start of the project; however some projects are funded year-by-year. See the following for information on how assistance is awarded/released: Frequency of recipient payments will be determined for each awarded assistance agreement at time of award.

Reports:

Unless otherwise stated in the award document, recipients are required to submit quarterly, semi-annual, or annual Program Performance Reports 30 days following the end of the reporting period. For any grant or cooperative agreement that is terminated, transferred to a new grantee, or will be extended, grantees must submit a final Program Performance Report 90 days after the end date of grant performance. Joint Fire Science Program projects are required to submit an annual progress report (generally September 30th). Cash reports are not applicable. Progress reports are not applicable. Unless otherwise stated in the award document, recipients are required to submit quarterly SF-425, Federal Financial Reports 30 days following the end of the reporting period. A final SF-425 is required 90 days after the end date of grant performance. Joint Fire Science Program projects are required to submit financial reports for cooperative agreements annually. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

State, local and Indian Tribal governments shall maintain project records in accordance with 43 CFR 12.82. All other recipients shall maintain project records in accordance with 43 CFR 12.953.

Account Identification:

14-1125-0-1-302.

Obligations:

(Cooperative Agreements) FY 12 \$3,500,000; FY 13 est \$3,500,000; and FY 14 est \$3,500,000. (Cooperative Agreements) FY 12 \$265,000; FY 13 est \$265,000; and FY 14 est \$265,000 - Obligations specific to the Joint Fire Science Program.

Range and Average of Financial Assistance:

Past partnership projects range from \$15,000 to \$90,000. Average amounts are \$30,000 or less. For the Joint Fire Science Program awards range from \$60,000 to \$500,000. Average amounts are \$350,000 or less.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Program accomplishments provided new information and tools to improve decision-making in wildland fire management. Program accomplishments include Climate and Ecosystem studies that benefit fire and resource management programs both directly and through the inherently interagency nature of many of the climate-related projects. Projects have had direct benefit to, among others, fire management, Predictive Services, meteorologists, fuels analysts, intelligence officers, fire behavior analysts, fire specialists, fire planners, resource managers and State and local government leaders. Specific accomplishments include user access to Western Regional Climate Center Remote Automated Weather System data archive through field-requested queries; lightning climatologies; comparisons of drought indices for fire management; operational 15-day fire danger forecasts; operations of an interagency regional modeling facility; model output statistics products; real-time drought assessment for rangelands; and quality-checked, complete Remote Automated Weather System weather data sets for the Fire Program Analysis effort. For the Joint Fire Science Program the implementation of the regional consortia projects and the smoke science plan. Fiscal Year 2013: No current data available. Fiscal Year 2014: No current data available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Information about the National Fire Plan can be found at website: <http://www.forestsandrangelands.gov/>. Western Regional Climate Center reports and publications pertaining to projects and accomplishments are posted on <http://cefa.dri.edu>. Joint Fire Science information, including announcements for proposals, project information, and literature can be found at website www.firescience.gov/.

Regional or Local Office:

See Regional Agency Offices. See Catalog Appendix IV for addresses of BLM State Offices.

Headquarters Office:

Group Manager, Planning and Resources Group, Bureau of Land Management, Office of Fire and Aviation, 3833 S. Development Ave., Boise, Idaho 83705. For the Joint Fire Science Program: Program Manager, Joint Fire Science Program, address same as above, (208) 387-5349., Boise, Idaho 83705 Phone: (208) 387-5153.

Website Address:

For general information see website <http://www.forestsandrangelands.gov/>. For information on the Western Regional Climate Center website see <http://cefa.dri.edu/>. Joint Fire Science Program information may be found at <http://www.firescience.gov/>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: JFSP will be focusing on fuels treatments in Wildland Urban Interface, smoke and black carbon, and fuel moisture influences. Fiscal Year 2013: No current data available. Fiscal Year 2014: No current data available.

CRITERIA FOR SELECTING PROPOSALS:

General criteria used to select assistance proposals are based on their direct relationship to federal wildland fire management, qualifications of principal investigator and institution, and a balanced review including relevance to program objectives, merit and cost effectiveness. Joint Fire Science Program proposals will be reviewed for relevancy in relation to the posted announcement for proposals task statement, scientific methods and study design, science deliverables, collaboration/leverage, and administrative adequacy. Successful proposals will provide a strong science delivery and application plan that clearly demonstrates the use of both passive and active technology transfer

methods.

**15.233 FORESTS AND WOODLANDS RESOURCE MANAGEMENT
FEDERAL AGENCY:**

Bureau of Land Management, Department of the Interior

AUTHORIZATION:

American Recovery and Reinvestment Act of 2009, Public Law 111-5; Federal Land Policy and Management Act of 1976, 43 U.S.C. 1737(b), Public Law 94-579, as amended; and Stewardship End Result Contracting Projects, 16 U.S.C. 2104, Public Law 108-7, Consolidated Appropriation Resolution, 2003, Division F, Title III, Section 323 as amended Public Law 105-277, Department of the Interior and Related Agencies Appropriations Act, 1999, Division A, Section 101(e), Title III, Section 347, as amended Public Law 106-113, Making Consolidated Appropriations For the Fiscal Year Ending September 30, 2000, and For Other Purposes, Division B, Section 1000(a)(3), Title III, Section 341 and Public Law 107-63, Department of the Interior and Related Agencies Appropriations Act, 2002, Title III, Section 332.

OBJECTIVES:

To provide financial assistance, through grants or cooperative agreements, to public or private organizations for the improvement of forests on public lands. Improvements will include the maintenance of forest health, forest regeneration, restoration, rehabilitation, insect and disease control, forest development, implementation of stewardship projects and providing for biomass utilization of public lands. For Recovery and Reinvestment Act funded projects additional objectives include: To preserve and create jobs and promote economic recovery; to assist those most impacted by the recession; to provide investments needed to increase economic efficiency by spurring technological advances in science and health; to invest in transportation, environmental protection, and other infrastructure that will provide long-term economic benefits; and to stabilize State and local government budgets, in order to minimize and avoid reductions in essential services and counterproductive state and local tax increases.

TYPES OF ASSISTANCE:

ADVISORY SERVICES AND COUNSELING; Cooperative Agreements (Discretionary Grants); TRAINING

USES AND USE RESTRICTIONS:

Projects are limited to forestry projects on public lands administered by the Bureau of Land Management located mostly in the Western United States and Alaska. For more specific information contact the headquarters office.

Applicant Eligibility:

Anyone/General public.

Beneficiary Eligibility:

Anyone/General public.

Credentials/Documentation:

Not applicable. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

For more information and local requirements, cooperative forestry project proposals should be coordinated with Bureau of Land Management local State or District Office. State plan is not required for this program. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. A Standard Form 424, Application for Federal Assistance, Standard Form 424A, Budget Information for Non-Construction Programs, Standard Form 424B, Assurances for Non-Construction Programs, and a written proposal should be submitted through Grants.gov and must include: a title, objectives, timeframes, and a budget breakdown as specified in the funding opportunity announcement.

Award Procedure:

Projects are reviewed at the Bureau of Land Management State and District Office level and funding recommendations are made through the State's annual work plan. Final budget approvals rest with the State Director.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Award time varies depending on the type and complexity of the project. Most awards are anticipated within 90 days or less after the announcement closes. Further information will be available for each project at the time the funding opportunity announcement is posted on www.grants.gov and may be obtained by contacting the point of contact listed in the funding opportunity announcement.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: This program has no statutory formula requirements. However, matching funds are encouraged by the applicants and those projects are more likely to be funded.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

No specific restrictions for most projects, however, most projects are awarded for a five year period and funded on a year-by-year basis and funds are expended during a particular fiscal year. No commitment will be made to fund projects beyond one year. New and continuing projects will be re-evaluated each year based on performance, merit, and funding availability. See the following for information on how assistance is awarded/released: Frequency of recipient payments will be determined for each awarded assistance agreement at time of award.

Reports:

Unless otherwise stated in the award document, recipients are required to submit quarterly, semi-annual, or annual Program Performance Reports 30 days following the reporting period. For any grant or cooperative agreement that is terminated, transferred to a new grantee, or will not be extended a final report is due 90 days after the end date of grant performance. Projects funded under the American Recovery Act of 2009 will be subjected to additional reporting requirements as described in the announcement packages at www.grants.gov. No cash reports are required. Progress reports are not applicable. Unless otherwise stated in the award document, recipients are required to submit quarterly financial status reports using Standard Form 425, Federal Financial Report 30 days following the end of the reporting period. A final SF-425 is due 90 days after the end of the grant performance. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

State, local and Indian Tribal governments shall maintain project records in accordance with 43 CFR 12.82. All other recipients shall maintain project records in accordance with 43 CFR 12.953.

Account Identification:

14-1108-0-1-302 - Recovery Act (14-09/10-1108-0-1-302); 14-1109-0-1-302.

Obligations:

(Cooperative Agreements) FY 12 \$2,700,000; FY 13 est \$2,700,000; and FY 14 est \$2,700,000

Range and Average of Financial Assistance:

Past partnership projects have ranged from \$1,000 to \$450,900. Average amounts approximately \$61,500 or less.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: BLM continues to maintain and expand partnerships to include funding for continued support of collaborative efforts to build community capacity and support for ecological restoration efforts in forest and woodlands. The public and BLM benefit from its support with partners in heightening public understanding of the social, economic, as well as environmental, aspects of ecosystem restoration and maintenance. BLM awarded stewardship cooperative agreements to the Rocky Mountain Elk Foundation to improve wildlife habitat, reduce hazardous fuels and restore woodlands. The project will cover nearly 30,000 acres over the next 10 years. Fiscal Year 2013: No current data available. Fiscal Year 2014: No current data available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Division Chief, Forest and Woodland Management, Bureau of Land Management (WO 270), 1849 C St., N.W, 204 LS, Washington, District of Columbia 20240 Phone: (202) 452-0316.

Website Address:

http://www.blm.gov/wo/st/en/prog/more/forests_and_woodland.html.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Stewardship projects that removed vegetation to promote healthy forest stands and reduced fire hazards. Projects that promoted an integrated approach that included local community participation in the developing strategies for biomass utilization, recognizing the connections between biomass utilization and forest restoration, and encouraged sustainable development through a variety of enterprises at different and appropriate scales. Projects that cooperatively supported common goals and interests in managing, developing and protecting federal and private land and water resources in an environmentally and economically sound manner. The BLM continued to fund the Wyoming Front Aspen Restoration project. This project is a 10 year stewardship cooperative agreement between BLM and the Rocky Mountain Elk Foundation. The project is reducing hazardous fuels and improving wildlife habitat by restoring historical aspen stands. Products from this project have included sawlogs, biomass for energy and oil pad remediation and Christmas trees. The BLM funded the Boise District Wildlife Habitat project. This project is a 10 year stewardship cooperative agreement between BLM and Rocky Mountain Elk Foundation. The project is reducing hazardous fuels and improving wildlife habitat. Biomass from the project will be used for power. Fiscal Year 2013: No current data available. Fiscal Year 2014: No current data available.

CRITERIA FOR SELECTING PROPOSALS:

General criteria used to select assistance proposals are based on their direct relationship to Federal lands and a balanced review including relevance to program objectives, merit and cost effectiveness.

15.234 SECURE RURAL SCHOOLS AND COMMUNITY SELF-DETERMINATION**FEDERAL AGENCY:**

Bureau of Land Management, Department of the Interior

AUTHORIZATION:

Secure Rural Schools and Community Self-Determination Act of 2000, Public Law 106-393, Title II, as reauthorized by the Emergency Economic Stabilization Act of 2008, Public Law 110-343.

OBJECTIVES:

To restore stability and predictability to the annual payments made to States and counties containing National Forest System lands and Oregon and California and Coos Bay Wagon Road lands managed by the Bureau of Land Management for use by the counties for the benefit of public schools, roads, and other purposes.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Project funds shall be expended solely on projects that meet the requirements of Secure Rural Schools and Community Self-Determination Act of 2000, Public Law 106-393, as reauthorized by the Emergency Economic Stabilization Act of 2008, Public Law 110-343. Project funds may be used for the purpose of entering into and implementing cooperative agreements with willing Federal agencies, State and local governments, private and nonprofit entities, and landowners for protection of restoration, and enhancement of fish and wildlife habitat, and other resource objectives consistent with the purposes of the law on Federal land and on non-federal land where projects would benefit these resources on Federal land. For more specific information contact the headquarters office.

Applicant Eligibility:

State and local governments, public nonprofit institutions/organizations, other public institution/organization, private nonprofit institution/organization, other private institution/organization and landowners.

Beneficiary Eligibility:

Anyone/General public.

Credentials/Documentation:

Not applicable. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

For more information and local program requirements, cooperative project proposals should be coordinated with the Bureau of Land Management local State or District/Field Offices. No State plan is required with this application. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. A Standard Form 424, Application for Federal Assistance, Standard Form 424A, Budget Information for Non-Construction Programs, Standard Form 424B, Assurances for Non-Construction Programs, and a written technical and cost proposal should be submitted through Grants.gov or via hardcopy to the project office and include: a title, objectives, timeframe, and a budget breakdown as specified in the funding opportunity announcement. Title II Project Application Information is available from the BLM Western Oregon Districts or their websites.

Award Procedure:

Bureau of Land Management District staff screen applications to ensure they meet project criteria. The projects are then forwarded to the Resource Advisory Committees whose members consider each project for funding and make recommendations to the Designated Federal Officials by September 30 for each fiscal year. Final budget approval authority rests with the Bureau of Land Management Designated Federal Official.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Award time varies depending on the type and complexity of the project. Most awards are anticipated within 90 days or less after the announcement closes. Further information will be available for each project at the time the funding opportunity announcement is posted on www.grants.gov and may be obtained by contacting the point of contact listed in the funding opportunity announcement. Projects are approved by the Designated Federal Official at their sole discretion. Within 30 days after making a rejection decision, the Designated Federal Official shall notify the Resource Advisory Committee in writing with the reasons for the rejection.

Appeals:

None. Final award decisions are not subject to appeal, however, the Bureau of Land Management will provide all applicants with information regarding why their proposal was not selected for award.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: This program has no statutory formula requirements. However, applicant's matching funds are encouraged and may be looked upon more favorably by the Resource Advisory Committees.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

No specific restrictions for most projects, however, most projects are funded on a year-to-year basis and funds are expended during a particular fiscal year. Funds that are not expended during a particular fiscal year may be carried forward to the next fiscal year, except for funds approved in FY 2011. See the following for information on how assistance is awarded/released: Frequency of recipient payments will be determined for each awarded assistance agreement at the time of the award.

Reports:

Unless otherwise stated in the award document, recipients are required to submit quarterly, semi-annual, or annual Program Performance Reports 30 days following the end of the reporting period. For any grant or cooperative agreement that is terminated, transferred to a new grantee, or will be extended, the grantee must submit a final Program Performance Report 90 days after the end of the grant performance. Cash reports are not applicable. Progress reports are not applicable. Unless otherwise stated in the award document, recipients are required to submit quarterly Standard Form 425, Federal Financial Reports 30 days following the end of the reporting period. A final SF-425 is required 90 days after the end date of grant performance. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

State and local governments shall maintain project records in accordance with 43 CFR 12.82. All other recipients shall maintain project records in accordance with 43 CFR 12.953.

Account Identification:

14-5485-0-1-302.

Obligations:

(Cooperative Agreements) FY 12 \$3,727,187; FY 13 est \$3,162,517; and FY 14 est \$3,162,517

Range and Average of Financial Assistance:

Past partnership projects have run between \$1,100 to \$596,700. Average amounts run about \$83,600 or less.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: The Secure Rural Schools and Community Self-Determination Act program continues its efforts to investment in and create additional employment opportunities through projects that improve the maintenance of existing infrastructure, implement stewardship objectives that enhance forest ecosystems and restore and improve land health and water quality. Simultaneously, the projects improve cooperative relationships among the people that use and care for Federal lands and the agencies that manage these lands. Since the implementation of this program in fiscal year 2002, the Resource Advisory Committees have recommended over 1200 projects that were subsequently approved by the Designated Federal Officials. Many of the projects are multi-year projects that are funded in phases and implemented over several years. Fiscal Year 2013: No current data available. Fiscal Year 2014: No current data available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Public Law 106-393 as amended by Public Law 110-343, and the Resource Advisory Committee Charters basically guide BLM's Secure Rural Schools

Program. For links to the Law and additional information see website <http://www.blm.gov/or/rac/ctypayhistory.php>.

Regional or Local Office:

See Regional Agency Offices. Secure Rural Schools Coordinator (OR931), Oregon State Office, Bureau of Land Management, 333 S.W. 1st Ave., P.O. Box 2965, Portland, OR 97204. Telephone: (503) 808-6451. E-mail: Lindsey_Babcock@blm.gov.

Headquarters Office:

Division Chief, Forest and Woodland Management, Bureau of Land Management (WO 270), 1849 C St., NW, Room 2134LM, Washington, District of Columbia 20240 Phone: (202) 912-7246

Website Address:

<http://www.blm.gov/or/rac/ctypayhistory.php>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Assistance agreements with cooperators, watershed councils, and counties to perform road and trail construction, maintenance or obliteration, culvert replacements for fish passage; vegetation and density management, wildfire hazard reduction, stream channel enhancement; watershed restoration, wildlife and fisheries habitat restoration and improvement; control of noxious and exotic plants; and reestablishment of native species. Assistance agreements with cooperators for removal of noxious weeds in Triangle Lake, Blachy and Horton areas of Oregon which will allow for restoration of fish and wildlife habitat; promote the use of native plant material in the re-vegetation of public lands in Polk County, Oregon; educate the public about the illegal dumping and reduce dumping on public lands in the Medford and Eugene Districts and Polk County, Oregon; and implementation projects in Sandy River Basin, Oregon, to restore priority aquatic and terrestrial habitats of the basin's four threatened fish populations. Fiscal Year 2013: Assistance agreements with cooperators for removal of noxious weeds on Dixie Mountain and other areas to restore native plants; remove culverts in various streams to improve fish passage; large wood placements to restore fish habitat; educate the public about the illegal dumping and reduce dumping on public lands in Western Oregon, including abandoned vehicle removal; and partnering with youth organizations to monitor projects and educate and inspire upcoming generations. Fiscal Year 2014: No current data available.

CRITERIA FOR SELECTING PROPOSALS:

Criteria used to approve project proposals are: The project complies with all applicable Federal laws and regulations; the project is consistent with the applicable resource management plan and with any watershed or subsequent plan developed pursuant to the resource management plan and approved by the Secretary of the Interior; the project has been recommended by the Resource Advisory Committee in accordance with section 205 of the Act; a project description has been submitted by the Resource Advisory Committee to the Secretary of the Interior; and the project will improve the maintenance of existing infrastructure, implement stewardship objectives that enhance forest ecosystems and restore and improve land health and water quality.

15.235 SOUTHERN NEVADA PUBLIC LAND MANAGEMENT

FEDERAL AGENCY:

Bureau of Land Management, Department of the Interior

AUTHORIZATION:

Southern Nevada Public Land Management Act of 1998, 31 U.S.C. 6901 Public Law 105-263, as amended; Clark County Conservation of Public Land and National Resources Act of 2002, 16 U.S.C. 460qqq, Public Law 107-282; Department of the Interior and Related Agencies Appropriation Act of 2004, Public Law 108-108, Section 147, Title I, Section 146; Lincoln County Conservation, Recreation and Development Act of 2004, 16 U.S.C. 1241, Public Law 108-424, Tax Relief and Health Care Act of 2006, Public Law 109-432, Title III, Subtitle H, Sec. 382, Amendments to the Southern Nevada Public Land Management Act of 1998, Omnibus Public Land Management Act of 2009, Public Law 111-11, Title II, Subtitle G, Sec. 2601(g) Availability of Funds, Section 4(e) of the Southern Nevada Public Land Management Act of 1998; Federal Land Policy Management Act (FLPMA) of 1976, 43 U.S.C.

1737(b), Public Law 94-579, as amended, and; Federal Noxious Weed Act of 1974, 7 USC 2814, Public Law 93-629, as amended by Public Law 101-624 Section 2814.

OBJECTIVES:

To provide for the orderly disposal of certain Federal lands in Clark County, Nevada, and to provide for the acquisition of environmentally sensitive lands in the State of Nevada.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Cooperative projects are restricted to the development of parks, trails, and natural areas on lands owned by local governments and units of as designated in Public Law 105-263, as amended, in Clark County, Lincoln County, and White Pine County, Nevada, and, to the development and implementation of comprehensive, cost-effective, multijurisdictional hazardous fuels reduction and wildfire prevention plans, including sustainable biomass and biofuel energy development and production activities, for the Lake Tahoe Basin (Nevada and California), for the Carson Range in Douglas and Washoe Counties, and Carson City in the State of Nevada, and that are: subject to approval by the Secretary of the Interior; and not more than 10 years in duration; and as further in the Southern Nevada public Land Management Act Implementation Agreement. For more specific information contact the regional or headquarters office.

Applicant Eligibility:

Local governments and regional government entities within the State of Nevada as specifically identified in Public Law 105-263, as amended.

Beneficiary Eligibility:

Not applicable.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. A Standard Form 424, Application for Federal Assistance, Standard Form 424A, Budget Information for Non-Construction Programs, Standard Form 424B, Assurances for Non-Construction Programs, and a written proposal should be submitted through Grants.gov or via hardcopy to the project office and include: a title, objectives, timeframe, and a budget breakdown as specified in the funding opportunity announcement. No State plan is required with this application.

Award Procedure:

For any grants awarded as a component of any project, approved by the Secretary of the Interior, and funded under Public Law 105-263 and subsequent amendments, for any eligible beneficiary entity, all applicable Federal rules, regulations, and agency specific guidelines for awarded procedures will be followed.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Award time varies depending on the type and complexity of the project. Most awards are anticipated within 90 days or less after announcement closes. Projects are approved in accordance with Public Law 105-263, as amended, and as further delineated in the Southern Nevada Public Land Management Act Implementation Agreement. Further information will be available for each project at the time the funding opportunity announcement is posted on www.grants.gov and may be obtained by contacting the point of contact listed in the funding opportunity announcement.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: This program has no statutory match requirements, however, applicant's matching funds are encouraged and those projects are more likely to be funded.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

No specific restrictions for most projects, however, most projects are awarded for a five year period and funded on a year-by-year basis and funds are expended during a particular fiscal year. See the following for information on how assistance is awarded/released: Frequency of recipient payments will be determined for each awarded assistance agreement at time of award.

Reports:

Unless otherwise stated in the award document, recipients are required to submit quarterly, semi-annual or annual performance reports 30 days following the end of the reporting period. For any grant or cooperative agreement that is terminated, transferred to a new grantee, or will not be extended, grantees must submit a final performance report 90 days after the end of grant performance. Cash reports are not applicable. No progress reports are required. Unless otherwise stated in the award document, recipients are required to submit quarterly SF-425, Federal Financial Reports 30 days following the end of the reporting period. A final SF-425 is required 90 days after the end of grant performance. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

State and local governments shall maintain project records in accordance with 43 CFR 12.82.

Account Identification:

14-5232-0-1-302.

Obligations:

(Cooperative Agreements) FY 12 \$22,500,000; FY 13 est \$22,500,000; and FY 14 est \$22,500,000

Range and Average of Financial Assistance:

Past partnership projects have been between \$30,000 to \$12,593,700. Average project amount is \$240,300 or less.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Cooperative Agreements with local government and units of local governments resulted in eight complete projects in the Parks, Trails, and Natural Areas category in FY 2011. These multi-year projects included the Duck Creek Habitat Restoration, Wetlands Park Acquisition Phase IV, Mesquite Regional Park and Trail System Phase I, Multi-Use Tributary Las Vegas Wash Regional Trail, Urban Park Renovation, Anthem East Trails, Rose Park Improvements, and City of Pioche Park Phase II. For Clark County, Lincoln County, and the cities of Henderson, Pioche, Mesquite, and Las Vegas, Nevada, these projects reinvigorated residential communities. They provided ADA access for multi-use trails, added miles of trails and trailheads, improved water quality and park/open-space shaded areas, restored T-ball fields and swimming pools, created senior citizen activity areas, provided additional scenic overlooks and benches for hikers and bikers, provided new pavilions, gazebos, picnic and playground areas to existing parks, one with at least 50 years of continuous use, repaired and constructed new pedestrian pathways, and provided restoration to habitat and native vegetation. Fiscal Year 2013: No current data available. Fiscal Year 2014: No current data available.

REGULATIONS, GUIDELINES, AND LITERATURE:

All guidance for this program rests with the Southern Nevada Public Land

Management Act of 1998, as amended, Public Law 105-263, and can be found on website <http://www.nv.blm.gov/snplma/>.

Regional or Local Office:

See Regional Agency Offices. SNPLMA Business Manager, Nevada State Office, Bureau of Land Management, 1340 Financial Blvd., P.O. Box 12000, Reno, NV 89520-0006. Telephone: (775) 861-6613. E-mail: Sharon_Gore@blm.gov .

Headquarters Office:

Division Chief Division of Lands and Realty, Bureau of Land Management (WO 350), 20 M Street, SE, Room 2134, Washington, District of Columbia 20003 Email: kim_berns@blm.gov Phone: (202) 912-7350

Website Address:

<http://www.nv.blm.gov/snplma/>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: The Parks, Trails and Natural Areas category includes the following funded and in progress projects. The Camp Success Preservation and Enhancement Project in White Pine County, Nevada, in existence since the 1940s, will provide continued use of the Camp and enhance the recreational and environmental education opportunities for youth and families in eastern Nevada. The existing outhouses will be removed and replaced with vault toilets. Shower facilities and ADA accessible outdoor living area near the lodge will upgrade and preserve this partnership facility. The land is owned by the U.S. Forest Service with the Camp itself being owned by White Pine County, Nevada. With the recent 20-year permit renewal by U.S. Forest Service the camp will continue to enhance the areas comprehensive economic development strategy while providing recreational and outdoor opportunities. The Warm Springs Natural Area Project in Clark County, Nevada is regionally significant as it contains more than 20 perennial springs that form the headquarters of the Muddy River. The site contains numerous landscapes including Mojave desert, riparian forest, mesquite woodlands, and alkali meadows through which 3.8 miles of the Muddy River wanders. These resources provide ideal habitat for a number of listed, threatened and sensitive species, including the endangered Moapa dace and the Southwestern Willow Flycatcher. The Doolittle Park Family Area Renovation Project in Clark County, Nevada will reinvigorate this older park space, encouraging sustainable living in the city's core. Heavy use in West Las Vegas, Nevada has resulted in deterioration of this family park. More modern playground equipment and relocation of playground equipment for ages two to five years old will provide a safer play area for children. Increasing tree canopy, improving the park entry point, and adding a senior citizens activity area will improve the family environment of this heavily utilized local park. Horseshoe pits, new BBQs, new sidewalks, new grass and irrigation system, a medium ramada, and new lighting, will complete the much needed renovations. Fiscal Year 2013: No current data available. Fiscal Year 2014: No current data available.

CRITERIA FOR SELECTING PROPOSALS:

Criteria used for selecting projects is outlined and defined in the Southern Nevada Public Lands Management Act Implementation Agreement, based upon the established Ranking and Rating Criteria, a balanced review including relevance to program objectives, merit and cost effectiveness, and consistent with the Southern Nevada Public Land Management Act of 1998, Public Law 105-263, as amended.

**15.237 RANGELAND RESOURCE MANAGEMENT
FEDERAL AGENCY:**

Bureau of Land Management, Department of the Interior

AUTHORIZATION:

Federal Land Policy and Management Act of 1976, 43 U.S.C. 1737(b), Public Law 94-579, as amended; Public Rangelands Improvement Act of 1978, 43 U.S.C. 1906, Public Law 95-514 and the Taylor Grazing Act of 1934, 43 U.S.C. 315a, as amended.

OBJECTIVES:

To provide financial assistance, through grants or cooperative agreements to

manage, develop and protect public lands and enhance the understanding of rangeland and watershed resources, their ecological processes, and capabilities in order to meet rangeland and water quality standards for the improvement of rangelands on public lands. Projects and livestock administration for the management of rangeland ecosystems are conducted in a coordinated manner and consider the interrelationships of living organisms of plants and animals, the physical environment of soil, water, air, and landscape characteristics when developing and implementing resource objectives and management actions. Systematic assessments and evaluations are conducted at the watershed, allotment, and in some instances, the landscape scale to determine if the standards and fundamentals for rangeland ecosystem health are being achieved.

TYPES OF ASSISTANCE:

ADVISORY SERVICES AND COUNSELING; Cooperative Agreements; TRAINING

USES AND USE RESTRICTIONS:

Projects are limited to rangeland projects on public lands administered by the Bureau of Land Management located mostly in the Western United States and Alaska. For more specific information contact the local or headquarters office.

Applicant Eligibility:

Not applicable.

Beneficiary Eligibility:

Not applicable.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

For more information and local requirements, cooperative project proposals should be coordinated with the Bureau of Land Management local State or District/Field Offices. No State plan is required with this application. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. A Standard Form 424, Application for Federal Assistance, Standard Form 424A, Budget Information for Non-Construction Programs, Standard Form 424B, Assurances for Non-Construction Programs, and a written proposal should be submitted through Grants.gov or via a hardcopy to the project office and include: a title, objectives, timeframe, and a budget breakdown as specified in the funding opportunity announcement.

Award Procedure:

Projects are reviewed at the Bureau of Land Management State and District Office level and funding recommendations are made through the State's annual work plan. Final budget approvals rest with the State Director.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Award time varies depending on the type and complexity of the project. Most awards are anticipated within 90 days or less after the announcement closes. Further information will be available for each project at the time the funding opportunity announcement is posted on www.grants.gov and may be obtained by contacting the point of contact listed in the funding opportunity announcement.

Appeals:

None. Final award decisions are not subject to appeal; however, the Bureau of Land Management will provide all applicants with information on why their proposal was not selected for award.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: The program has not statutory matching

requirements. Matching funds or in-kind services by the applicant are encouraged and those projects are more likely to be funded. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

No specific restrictions for most projects, however, most projects are awarded for a one to five year period and funded on a year-by-year basis and funds are expended during a particular fiscal year. No commitment will be made to fund projects beyond one year. New and continuing projects will be re-evaluated each year based on performance, merit, and fund availability. See the following for information on how assistance is awarded/released: Frequency of recipient payments will be determined for each awarded assistance agreement at the time of award.

Reports:

Unless otherwise stated in the award document, recipients are required to submit quarterly, semi-annual, or annual Program Performance Reports 30 days following the end of the reporting period. For any grant or cooperative agreement that is terminated, transferred to a new grantee, or will be extended, the grantee must submit a final Program Performance Report 90 days after the end date of grant performance. Cash reports are not applicable. Progress reports are not applicable. Unless otherwise stated in the award document, recipients are required to submit quarterly SF-425, Federal Financial Reports 30 days following the end of the reporting period. A final SF-425 is required 90 days after the end date of grant performance. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

State, local and Indian Tribal governments shall maintain project records in accordance with 43 CFR 12.82. All other recipients shall maintain project records in accordance with 43 CFR 12.953.

Account Identification:

14-1109-0-1-302.

Obligations:

(Cooperative Agreements) FY 12 \$1,300,000; FY 13 est \$1,300,000; and FY 14 est \$1,300,000

Range and Average of Financial Assistance:

Past partnership projects have run between \$5,000 to \$180,000. Average amounts run about \$49,300 or less.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: The Bureau of Land Management maintained and expanded partnerships to include funding for activities within this program to include resource monitoring on rangelands, conducting upland health assessments and evaluations, use authorizations, allotment planning and administration, development of vegetation objectives, development of criteria and indicators to measure rangeland sustainability, integrated weed management, and activity plan development in connection with land use planning. Fiscal Year 2013: No current data available. Fiscal Year 2014: No current data available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Bureau of Land Management's Rangeland and Management program is generally guided by the provisions in 43 CFR Part 4000. A variety of public interest publications on these programs are available free of charge by contacting the appropriate State Office. Manuals and handbooks providing basic program operational guidance for Rangeland Management are found in BLM Manuals 4000 series and may be obtained by contacting the Washington Office.

Regional or Local Office:

See Regional Agency Offices. See Catalog Appendix IV for addresses of Bureau of Land Management State Offices.

Headquarters Office:

Division Chief Rangeland Resources, Bureau of Land Management (WO 220), 1849 C St., N.W., 20 M St., SE., Washington, District of Columbia 20240 Phone: (202) 912-7222

Website Address:

<http://www.blm.gov/wo/st/en/pro/grazing.1.html>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Cooperative rangeland management projects that developed criteria and indicators for measuring sustainability of rangelands. Projects that provided range management education and training for grazing permittees and agency staff. Conducted invasive and weed species inventory. Conducted integrated weed management planning and treatment. Projects that supported technical and applied rangeland and grazing management information for dissemination, such as support for Grazinglands Conservation Initiative Convention and the International Rangelands Congress. Projects that promoted general public education and information on values of proper livestock grazing management. Fiscal Year 2013: No current data available. Fiscal Year 2014: No current data available.

CRITERIA FOR SELECTING PROPOSALS:

General criteria used to select assistance proposals are based on their direct relationship to federal lands and a balanced review including relevance to program objectives, merit and cost effectiveness.

15.238 CHALLENGE COST SHARE**FEDERAL AGENCY:**

Bureau of Land Management, Department of the Interior

AUTHORIZATION:

Department of the Interior and Related Agencies Appropriation Act for Fiscal Year 1991, Public Law 101-512, Title I.

OBJECTIVES:

The Challenge Cost Share Program works through cooperative partners to help accomplish high priority work to support habitat improvement, comprehensive travel management, recreation and cultural projects. The types of projects that can be funded include monitoring and inventory of resources; implementing habitat improvement or protection projects; developing threatened and endangered species recovery plans; protecting, stabilizing or documenting cultural resources; travel management, including managing off-highway vehicle use; and providing enhanced recreational experiences, including visitor services, information, and facilities for public health and safety.

TYPES OF ASSISTANCE:

ADVISORY SERVICES AND COUNSELING; Cooperative Agreements; TRAINING; USE OF PROPERTY, FACILITIES, OR EQUIPMENT

USES AND USE RESTRICTIONS:

All projects are restricted to public lands administered by the Bureau of Land Management or that directly benefit public lands. Most of these lands are located in the Western United States and Alaska. Assistance can be used to leverage funds with partners and other external funding sources to enhance the Bureau of Land Management's ability/capacity to survey, monitor and inventory resources; to restore and maintain land in a healthy condition; to support threatened and endangered species management; to manage heritage resources; to enhance recreational experiences; provide visitor services or facilities; to conduct public outreach and education projects; and to support emerging partnership opportunities. For more specific information contact the regional or headquarters office.

Applicant Eligibility:

Not applicable.

Beneficiary Eligibility:

Not applicable.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

For more information on local requirements, cooperative projects proposals should be coordinated with the Bureau of Land Management local State or District/Field Offices. No State plan is required with the application. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. A Standard Form 424, Application for Federal Assistance, Standard Form 424A, Budget Information for Non-Construction Programs, Standard Form 424B, Assurances for Non-Construction Programs, and a written proposal should be submitted through Grants.gov or via hardcopy to the project office and include: a title, objectives, timeframe, and a budget breakdown as specified in the funding opportunity announcement.

Award Procedure:

Projects are reviewed at the Bureau of Land Management State and District Office level and funding recommendations are made through the State's annual work plan. Final budget approvals rest with the State Director.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Most awards are anticipated within 90 days or less after the announcement closes.

Appeals:

None. Final award decisions are not subject to appeal; however, the Bureau of Land Management will provide all applicants with information on why their proposal was not selected for award.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching Requirements: This program has statutory requirement for non-federal cost share funds or in-kind service requirements. Funds are required to be cost-shared one non-federal dollar for each federal dollar per State office. The matching funds must be non-federal in origin. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Most projects are awarded for one to five year period and funded on a year-by-year basis. Funds are expended during the year awarded although carry-over monies can be distributed to additional years or to projects that have been pre-approved. No commitment is made to fund projects beyond one year and there is no set, historical allocation to each State. New and continuing projects will be re-evaluated every other year based on performance, merit, and funding availability. See the following for information on how assistance is awarded/released: Frequency of recipient payments will be determined for each awarded assistance agreement at the time of award.

Reports:

Unless otherwise stated in the award document, recipients are required to submit quarterly, semi-annual, or annual Program Performance Reports 30 days following the end of the reporting period. For any grant or cooperative agreement that is terminated, transferred to a new grantee, or will not be extended, the grantee must submit a final Program Performance Report 90 days after the end date of grant performance. Cash reports are not applicable. Progress reports are not applicable. Unless otherwise stated in the award document, recipients are required to submit quarterly SF-425, Federal Financial Reports 30 days following the end of the reporting period. A final SF-425 is required 90 days after the end date of grant performance. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit

conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

State, local and Indian Tribal governments shall maintain project records in accordance with 43 CFR 12.82. All other recipients shall maintain project records in accordance with 43 CFR 12.953.

Account Identification:

14-1109-0-1-302.

Obligations:

(Cooperative Agreements) FY 12 \$2,500,000; FY 13 est \$2,500,000; and FY 14 est \$2,500,000

Range and Average of Financial Assistance:

Past partnership projects have been between \$1,500 to \$182,000 depending on the policies of that particular state and the money available. Average amounts are \$23,100 or less.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Past partnership projects have completed wildlife habitat improvement, protection, and restoration projects with durable lasting results, conducted wildlife studies, and developed threatened and endangered species recovery plans. Cultural resources projects have been conducted to protect and stabilize cultural sites, inventory and protecting existing collections and conduct surveys to find artifacts. Recreation projects have been conducted to build trails, obliterate road and trails, and enhance visitor recreation experiences on public lands. Recreation projects have improved and stabilized Riparian areas and washed out bridges or walkways. Overall, projects have also included support for environmental education, University research, and interactive youth initiatives based on the programs within the BLM. Fiscal Year 2013: No current data available. Fiscal Year 2014: No current data available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations, manuals and information about the Bureau of Land Management fish, wildlife, botany, recreation and cultural programs can be found at website <http://www.blm.gov/>.

Regional or Local Office:

See Regional Agency Offices. See Catalog Appendix IV for addresses of Bureau of Land Management State Offices.

Headquarters Office:

Program Manager and Agency Liaison, Bureau of Land Management (WO 200), 1849 C St., N.W., Room 2134LM, Washington, District of Columbia 20240 Phone: (202) 912-7203

Website Address:

<http://www.blm.gov/>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Past projects funded include monitoring and inventorying of resources; implementing habitat improvement or protection projects; developing threatened and endangered species recovery plans; protecting, stabilizing, or documenting cultural resources; travel management, including managing off-highway vehicle use and support of monitoring partnerships; providing enhanced recreational experiences including the support of friends groups in National Landscape Conservation System units, visitor services, information, and facilities for public health and safety; and supporting environmental education awareness through local events and partnership entities. Fiscal Year 2013: No current data available. Fiscal Year 2014: No current data available.

CRITERIA FOR SELECTING PROPOSALS:

General criteria used to select assistance proposals are based on their direct relationship to federal lands and a balanced view including relevance to program objectives, merit and cost effectiveness.

15.239 MANAGEMENT INITIATIVES

FEDERAL AGENCY:

Bureau of Land Management, Department of the Interior

AUTHORIZATION:

Federal Land Policy and Management Act of 1976, 43 U.S.C. 1737(b), Public Law 94-579, as amended.

OBJECTIVES:

To provide a variety of Bureau of Land Management financial assistance awards not covered by any other program entry. This program will support mission program efforts for the management, protection, and development of public lands managed by the Bureau of Land Management. Awards are typically supported by funding one-time specific legislation and internal projects and programs.

TYPES OF ASSISTANCE:

ADVISORY SERVICES AND COUNSELING; Cooperative Agreements; TRAINING; USE OF PROPERTY, FACILITIES, OR EQUIPMENT

USES AND USE RESTRICTIONS:

Assistance support for the management, protection, and development of public lands within the Bureau's area of responsibility and supported by specific authorities. Awards are restricted to activities on Federal public lands for activities that benefit resources on Federal land. Most of these public lands are located in the Western United States and Alaska. The assistance may support outreach and education efforts associated with partnership programs.

Applicant Eligibility:

Anyone/General public.

Beneficiary Eligibility:

Anyone/General public.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

For more information and local requirements, cooperative project proposals should be coordinated with the Bureau of Land Management local State or District/Field Offices. No State plan is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. A Standard Form 424, Application for Federal Assistance, Standard 424A, Budget Information for Non-Construction Programs, and a written proposal should be submitted through Grants.gov or via hardcopy to the project office and include: a title, objectives, timeframe, and a budget breakdown as specified in the funding opportunity announcement.

Award Procedure:

Projects are reviewed at the Bureau of Land Management State and District Office level and funding recommendations are made through the State's annual work plan. Final budget approvals rest with the State Director.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Projects are approved through the Bureau budget cycle that normally requires at least one year to receive funding. Award time varies depending on the type and complexity of the project. Most awards are anticipated within 90 days or less after the announcement closes. Further information will be able for each project at the time of funding opportunity announcement is posted on www.grant.gov and may be obtained by contacting the point of contact listed in the funding opportunity announcement.

Appeals:

None. Final award decisions are not subject to appeal. The Bureau of Land Management will provide all applicants with information on why their proposal was not selected for award.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: The program has no statutory matching requirements, however, matching funds or in-kind services by the applicants are encouraged and those projects are more likely to be funded. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

No specific restrictions for most projects, however, some projects are awarded for one to five year period and funded on a year basis. Funds are normally expended during a particular fiscal year. See the following for information on how assistance is awarded/released: Frequency of recipient payments will be determined for each awarded assistance agreement at time of award.

Reports:

Unless otherwise stated in the award document, recipients are required to submit quarterly, semi-annual, or annual Program Performance Reports 30 days following the end of the reporting period. For any grant or cooperative agreement that is terminated, transferred to a new grantee, or will be extended, grantees must submit a final Program Performance Report 90 days after the end date of grant performance. Cash reports are not applicable. Progress reports are not applicable. Unless otherwise stated in the award document, recipients are required to submit quarterly SF-425, Federal Financial Reports. A final SF-425 is required 90 days after the end date of grant performance. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

State, local and Indian Tribal governments shall maintain project records in accordance with 43 CFR 12.82. All other recipients shall maintain project records in accordance with 43 CFR 12.953.

Account Identification:

14-1109-0-1-302.

Obligations:

(Cooperative Agreements) FY 12 \$2,500,000; FY 13 est \$2,500,000; and FY 14 est \$250,000

Range and Average of Financial Assistance:

Past partnerships have been between \$2,900 to \$800,000. Average amounts are \$117,400 or less.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Developing partnerships to include the funding for continued support of collaborative efforts to build community capacity, increase youth employment opportunities, and support for the management, protection and development of public lands. The public and BLM benefit from its support with partners in heightening public understanding of the social and economic, as well as environmental, aspects of ecosystem restoration and maintenance. Fiscal Year 2013: No current data available. Fiscal Year 2014: No current data available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program information is included in the Bureau of Land Management Manuals, available at <http://www.blm.gov>. These documents may also be obtained by contacting the Bureau of Land Management Office listed below.

Regional or Local Office:

See Regional Agency Offices. See Catalog Appendix IV for addresses of Bureau of Land Management State Offices.

Headquarters Office:

Corey Grant Bureau of Land Management (WO 850), 1849 C Street, NW, Room 2134LM, Washington, District of Columbia 20240 Phone: (202) 912-7040

Website Address:

<http://www.blm.gov/>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Assistance for State and local governments, Indian Tribal governments, public and private colleges and universities, public land corps and nonprofit organizations, as directed in the annual appropriations. Support of Historical Black Colleges and Universities and Hispanic Association of Colleges and Universities in support of students interested in pursuing careers in the natural resource area. Supporting the energy pilot offices in Wyoming and Montana, and updating and developing the Geographic Coordinate Data Base to develop the most dependable coordinates available for the US Public Land Survey System corners. Fiscal Year 2013: No current data available. Fiscal Year 2014: No current data available.

CRITERIA FOR SELECTING PROPOSALS:

General criteria used to select assistance proposals are based on the applicant's direct relationship to the management, protection and development of federal lands and a balanced review including relevance to program objectives, merit and cost effectiveness.

15.240 HELIUM RESOURCE MANAGEMENT**FEDERAL AGENCY:**

Bureau of Land Management, Department of the Interior

AUTHORIZATION:

Helium Privatization Act of 1996, 50 U.S.C 167, Public Law 104-273.

OBJECTIVES:

To meet the requirements of the Helium Privatization Act of 1996, Section 8, a crude helium enrichment unit was designed, constructed, and is now operated to ensure that the U.S. Government can upgrade and deliver crude helium from an underground storage field, by upgrading the gas to pipeline quality, compressing the gas and transmitting via the governments conservation pipeline which supplies helium to private helium plants located along the governments pipeline. Private plants refine the helium, supply private industry, government agencies and research projects. To pay back the helium debt, the cost that was incurred by purchasing the helium, and to ensure that the U.S. Government can redeliver crude helium into the conservation pipeline system in order to meet private and Government customer needs, as defined in the Helium Privatization Act.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Projects are limited to entities that have a direct involvement with the implementation of the Helium Privatization Act and projects related to the elimination of the Helium Debt. For more specific information contact the regional or headquarters office.

Applicant Eligibility:

Not applicable.

Beneficiary Eligibility:

Not applicable.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Coordinate cooperative project proposals with the Bureau of Land Management, New Mexico State Office for more information and requirements. No State plan is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. A Standard Form 424, Application for Federal Assistance, Standard Form 424A, Budget Information

for Non-Construction Programs, Standard Form 424B, Assurances for Non-Construction Programs, and a written proposal should be submitted through Grants.gov or via hardcopy to the project office and include: a title, objectives, timeframe, and a budget breakdown as specified in the funding opportunity announcement.

Award Procedure:

Projects are reviewed at the Bureau of Land Management, New Mexico State Office. Final approvals rest with the State Director.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Awards are anticipated within 90 days or less after the announcement closes. Further information will be available for the project at the time the funding opportunity announcement is posted on www.grants.gov and may be obtained by contacting the point of contact listed in the funding opportunity announcement.

Appeals:

None. Final award decisions are not subject to appeal. The Bureau of Land Management will provide all unsuccessful applicants with information on why their proposal was not selected for award.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: The program has no matching requirement, however, matching funds or in-kind services by the applicants are encouraged and those projects are more likely to be funded.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Projects are awarded for a one to five year period and funded on a year-by-year basis. Continuing funding will be re-evaluated each year based on performance, merit, and funding availability. See the following for information on how assistance is awarded/released: Frequency of recipient payments will be determined for each awarded assistance agreement at the time of award.

Reports:

Unless otherwise stated in the award document, recipients are required to submit quarterly, semi-annual or annual Program Performance Reports 30 days following the end of the reporting period. For any grant or cooperative agreement that is terminated, transferred to a new grantee, or will not be extended, the grantee must submit a final Program Performance Report 90 days after the end date of grant performance. Cash reports are not applicable. Progress reports are not applicable. Unless otherwise stated in the award document, recipients are required to submit quarterly SF-425, Federal Financial Reports. A final SF-425 is required 90 days after the end date of grant performance. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Recipients shall maintain project records in accordance with 43 CFR 12.953.

Account Identification:

14-4053-0-1-306.

Obligations:

(Cooperative Agreements) FY 12 \$6,720,000; FY 13 est \$6,720,000; and FY 14 est \$6,720,000

Range and Average of Financial Assistance:

Past partnership projects range between \$720,000 and \$6,000,000. Average amount is \$336,000 or less.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Through a partnership the Bureau of Land Management, Helium Operation, now delivers crude helium from an underground storage field, by upgrading the gas to pipeline quality, compressing the gas and transmitting through the governments conservation pipeline which supplies helium to private helium plants located along the government's pipeline. Private plants refine the helium, and supply private industry, government agencies and research projects. The helium sold is used to pay back the helium debt to ensure that the U.S. Government can redeliver crude helium into the conservation pipeline. Total Natural Gas Sales for FY 2012 were \$15,576,373. The Helium Plant experienced only 20 days of outage (both controlled and uncontrolled) for the entire year. In FY 2012, the Helium Debt has been reduced by \$145,000,000. Fiscal Year 2013: The projected Natural Gas Sales for FY 2013 are estimated at \$15,000,000. Fiscal Year 2014: No current data available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Information can be found at the website listed below.

Regional or Local Office:

See Regional Agency Offices. Chief, Division of Minerals, Bureau of Land Management, New Mexico State Office, Division of Minerals, 301 Dinosaur Trail, Sante Fe, NM 87508
Phone: (505) 670-2135.

Headquarters Office:

Tim Spisak Bureau of Land Management, (WO-300), 1848 C Street, NW, MIB 5625, Washington, District of Columbia 20240 Phone: (202) 208-4201

Website Address:

http://www.blm.gov/nm/st/en/fo/Amarillo_Field_Office.html .

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No current data available. Fiscal Year 2013: No current data available. Fiscal Year 2014: No current data available.

CRITERIA FOR SELECTING PROPOSALS:

General criteria used to select assistance proposals are based on their direct relationship to helium projects and a balanced review including relevance to program objectives, merit and cost effectiveness.

15.241 INDIAN SELF-DETERMINATION ACT CONTRACTS, GRANTS AND COOPERATIVE AGREEMENTS

FEDERAL AGENCY:

Bureau of Land Management, Department of the Interior

AUTHORIZATION:

Indian Self-Determination and Education Assistant Act, Public Law 93-638, 25 U.S.C 450.

OBJECTIVES:

To provide maximum Indian participation in the government and education of the Indian people; to provide the full participation of the Indian tribes in programs and services conducted by the Bureau of Land Management for Indians and to encourage the development of human resources of the Indian people; and to establish program assistance to upgrade Indian education that will support the right of Indian citizens and for other purposes.

TYPES OF ASSISTANCE:

Cooperative Agreements; Training; Use of Property, Facilities, and Equipment

USES AND USE RESTRICTIONS:

Contracts, grants and cooperative agreements are authorized for non-construction projects in the seventeen Western states and Alaska for programs and services conducted by the Bureau of Land Management for the benefit of Indian tribes. Programs available to tribes because they deliver services to Indians in their protected status are: cadastral survey and minerals management. Other programs, services, functions and activities administered by the Bureau of Land Management which are of specific geographic,

historical, or cultural significance to the Indian tribes are: cultural heritage, forestry management, range management, riparian management, recreation management, and wildlife and fisheries management. Certain restrictions will be applicable based on the specific statutory authority that authorizes the construction activities which are primarily for the benefit of Indians because of their status as Indians. For more specific information contact the headquarters office.

Applicant Eligibility:

Federally recognized Indian tribal governments.

Beneficiary Eligibility:

Federally recognized Indian tribal governments and American Indians.

Credentials/Documentation:

The application for a contract, grant or cooperative agreement must contain an authorizing resolution of the governing body of the Indian tribe. Each contract, grant or cooperative agreement requires project specific authority. Detailed written technical proposals including background data regarding the applicants, technical approaches proposed to accomplish the work, scopes of work that separate the work into major tasks, types of personnel implementing the proposals, experience in performing these types of work, timeliness, the levels of cooperation with other parties involved in the proposals, and other information that may be useful for proposal evaluation. Detailed project cost proposals, including budgets with estimated project costs, including salaries and wages, fringe benefits, travel, equipment, supplies, contracts, and indirect costs, and the values of any in-kind contribution of goods and services. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Initial proposals must contain the information specified in 25 CFR Part 900.8. Proposals shall be submitted to the applicable Bureau of Land Management State Office with responsibility for the specific project for which an Indian tribe or tribal organization proposes to participate. Bureau of Land Management personnel will provide technical assistance to tribes in the development of their proposals as requested, pending availability of appropriations.

Award Procedure:

The Bureau of Land Management and the tribe develop a negotiation schedule and then negotiate the overarching contract/award terms, provisions and conditions based on the requirements of Public Law 93-638, 25 CFR Part 900, any specific requirements of the project authorizing legislation, and other applicable Federal laws and regulations. The Bureau of Land Management and the tribe also negotiate an annual funding agreement, which identifies activities to be performed, funds to be provided, and the method of payment for the initial year of the PL 93-638 contract. A final agreement is prepared based upon the outcome of the negotiations; attachments include the work plan, budget, tribal resolution, initial annual funding agreement, property list, list of any applicable provisions, terms and conditions.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

As specified within 25 CFR Part 900.

Renewals:

Will vary with project and/or award.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The length of programs will vary. See the following for information on how assistance is awarded/released: Not available.

Reports:

The reporting of financial status reports and progress reports requirements will be negotiated within the annual funding agreement or overarching terms and conditions. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Indian tribal governments and organizations shall maintain project records in accordance with 43 CFR 12.82.

Account Identification:

14-1109-0-1-302.

Obligations:

(Cooperative Agreements) FY 12 \$3,000,000; FY 13 est \$3,000,000; and FY 14 est \$3,000,000

Range and Average of Financial Assistance:

Past partnership projects range between \$12,600 to \$1,302,100. Average amount is \$244,300 or less.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Indian tribes and Bureau of Land Management have worked together to authorize tribes to take over the administration and program for oil and gas inspections on tribal lands in Montana. Alaska Native villages and regional or village corporations are conducting cadastral land surveys of their tribal lands. Fiscal Year 2013: No current data available. Fiscal Year 2014: No current data available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Indian Self-Determination and Education Assistance Act, 25 USC 450, as amended, Public Law 93-638, and 25 CFR Part 900.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Native American Coordinator Bureau of Land Management, 1849 C Street, NW, Room 2134LM, Washington, District of Columbia 20240 Phone: (202) 912-7245

Website Address:

<http://www.blm.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Past project funded were for mineral management of tribal lands in Montana where two tribes are conducting the inspection and enforcement of Indian oil and gas operations, inspection, enforcement and production of Indian operations. In addition, many cadastral surveys are being conducted by the tribes on tribal and allottee lands in Alaska. Fiscal Year 2013: No current data available. Fiscal Year 2014: No current data available.

CRITERIA FOR SELECTING PROPOSALS:

Review and approval of contract proposals is in accordance with 25 CFR Part 900, Subpart D - Review and Approval of Contract Proposals.

15.242 NATIONAL FIRE PLAN - RURAL FIRE ASSISTANCE

FEDERAL AGENCY:

Bureau of Land Management, Department of the Interior

AUTHORIZATION:

The Consolidated Appropriations Act of 2010, Division A, Department of the Interior, Environment and Related Agencies Appropriations Act of 2010, Department-Wide Programs, Wildland Fire Management, Public Law 111-88. This program was first implemented in the Department of the Interior and Related Agencies Appropriations Act of 2001, Title IV, Public Law 106-291.

OBJECTIVES:

To implement the National Fire Plan by increasing firefighter safety and enhancing the knowledge and fire protection capability of rural fire departments by providing assistance in education and training, protective clothing and equipment purchase, and support to public education efforts on a cost share basis.

TYPES OF ASSISTANCE:

ADVISORY SERVICES AND COUNSELING; DISSEMINATION OF TECHNICAL INFORMATION; PROJECT GRANTS; PROVISION OF SPECIALIZED SERVICES; TRAINING; USE OF PROPERTY, FACILITIES, OR EQUIPMENT

USES AND USE RESTRICTIONS:

Emphasis is placed on fire departments that protect rural communities and play a substantial cooperative role in the protection of the Department of the Interior managed public lands. Frequency of recipient payments will be determined for each awarded assistance agreement at time of award.

Applicant Eligibility:

State and local government, Public nonprofit institution/organizations, Other public institution/organization, Federally Recognized Indian Tribal Government, Private nonprofit institution/organization, Native American Organization, and rural fire departments serving a community with a population of 10,000 or less in the wildland/urban interface. All applicants must be rural fire departments serving a community with a population of 10,000 or less in the wildland/urban interface.

Beneficiary Eligibility:

State, Local, Public Nonprofit Institution/Organization, Other Public Institution/Organization, Federally Recognized Indian Tribal Government, Small Business, Profit Organization, Private Organization, Anyone/General Public, Native American Organization, Farmer/Rancher/Agriculture Producer, Homeowner, Land/Property Owner, Suburban, and Rural.

Credentials/Documentation:

For grants awarded, cost will be determined in accordance with OMB Circular No. A-87 for State and Local Governments; OMB Circular No. A-21 for educational institutions; OMB Circular No. A-122 for nonprofit organizations; and Federal Acquisition Regulation Subpart 31.2 for private foundations, firms, individuals, and other nonprofits excluded from coverage under OMB Circular No. A-122. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Coordinate applications with the local BLM State or District Office, Bureau of Indian Affairs Regional Office, Fish and Wildlife Service Regional Office, or a National Park Service Regional Office or National Park Service unit. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Rural Fire Assistance is coordinated through each State Forester and the Bureau/Service State or Regional Office, or National Park unit. Procedures may be found on the National Fire Plan website at: <http://www.fireplan.gov>. No specific application forms apply, except for grants awarded, the standard application forms furnished by the Federal agency and required by 43 CFR Part 12, Subpart C, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments," and 43 CFR Part 12, Subpart F, "Uniform Administrative Requirements for Grants and Agreements With Institutions of Higher Education, Hospitals, and Other Nonprofit Organizations", must be used by this program.

Award Procedure:

To ensure consistency within existing rural fire assistance programs, the

Department of the Interior bureaus work with the State Foresters and other Federal partners to ensure that funding is allocated in the amount of the award to qualified and suitable rural fire districts. The following criteria applies for a fire department to participate in the program: Statewide agreement with the State Forester, who maintains cooperative fire agreements with the rural fire department/volunteer fire department, or a cooperative fire agreement with an Interior Bureau; rural fire department serving a community with a population of 10,000 or less in the wildland/urban interface; and the funding request is limited to training, equipment, and prevention activities. The rural fire department must have the capability to meet cost share at a minimum of 10% which may include in-kind services.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Rural fire assistance projects are approved by the Bureau/Service/Park Unit in coordination with the State Forester and other Federal partners. Normally all grant requests are received and awards made during the same fiscal year.

Appeals:

Other - Not Specified.

Renewals:

Other - Not Specified.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: Rural fire departments must have the capability to meet cost share at a minimum of 10 percent, which may include in kind services.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Most projects are funded on a single year basis and funds are expended during the fiscal year of the award. See the following for information on how assistance is awarded/released: For more specific information please contact headquarters office.

Reports:

Unless otherwise stated in the award document, the recipients (grantees) are required to submit quarterly, semi-annual or annual performance reports 30 days following the end of the reporting period. For any grant or cooperative agreement that is terminated, transferred to a new grantee, or will not be extended, the grantees must submit a final performance report 90 days after the end date of grant performance. Cash reports are not applicable. Progress reports are not applicable. Unless otherwise stated in the award document, the recipients (grantees) are required to submit quarterly financial status reports using Standard Form 425, Federal Financial Report 30 days following the end of the reporting period. For any grant or cooperative agreement that is terminated, transferred to a new grantee, or will not be extended, the grantees must submit a final financial status report 90 days after the end date of grant performance. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records relating to work performed and costs are kept by the recipient. There is no fixed records schedule. Records for grants awarded to State, Local and Indian Tribal Governments will be maintained in accordance with the provisions of 43 CFR Part 12, Subpart C, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments." Records for grants awarded to nonprofit organizations will be maintained in accordance with the provisions of 43 CFR Part 12, Subpart F, "Uniform Administrative Requirements for Grants and Agreements With

Institutions of Higher Education, Hospitals, and Other Nonprofit Organizations".

Account Identification:

14-1125-0-1-302.

Obligations:

(Cooperative Agreements) FY 12 \$550,000; FY 13 est \$550,000; and FY 14 est \$550,000

Range and Average of Financial Assistance:

Past partnership projects have ranged from \$1,600 to \$125,000. Average amount is \$28,600 or less.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: An average of 1,200 Rural Fire Departments are provided assistance each year for technical assistance, training, supplies, equipment and public education support. This program enhances the knowledge and fire protection capability of rural fire districts by providing assistance in education and training. Fiscal Year 2013: No current data available. Fiscal Year 2014: No current data available.

REGULATIONS, GUIDELINES, AND LITERATURE:

The Department of the Interior's rural fire assistance program is guided by the provisions of the National Fire Plan. A variety of public information on this plan is available by contacting the appropriate Bureau/Service Office or the National Fire Plan's web site at: <http://www.fireplan.gov>.

Regional or Local Office:

See Regional Agency Offices. Contact Regional/State/Local Office: See Catalog Appendix IV for addresses.

Headquarters Office:

Director Division of Fire Planning and Fuels Management (FA-600), National Interagency Fire Center, 3833 S. Development Ave., , Boise, Idaho 83705
Phone: (208) 387-5186

Website Address:

<http://www.nifc.gov/rfa/index.html>

RELATED PROGRAMS:

15.228 National Fire Plan - Wildland Urban Interface Community Fire Assistance

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Wildland fire assistance to rural fire departments through technical assistance, training, supplies, equipment and public education support. Fiscal Year 2013: No current data available. Fiscal Year 2014: No current data available.

CRITERIA FOR SELECTING PROPOSALS:

Criteria for ranking eligible Rural Fire Departments, relative to other applicants, for funding allocation are: The fire department's frequency of responses to wildland/urban fire incidents in the local area; the fire department's wildland prevention and education program needs; the fire department's training program needs; the communities and Department of the Interior's values to be protected; the percentage of wildland/urban lands; and the number of wildland fire engines in the department relative to the percentage of wildland/urban interface acres protected.

15.250 REGULATION OF SURFACE COAL MINING AND SURFACE EFFECTS OF UNDERGROUND COAL MINING

FEDERAL AGENCY:

Office of Surface Mining, Department of the Interior

AUTHORIZATION:

Surface Mining Control and Reclamation Act of 1977, Public Law 95-87, 91 Stat. 445-532.

OBJECTIVES:

To assist the States and Tribes with active coal mining in administering approved regulatory programs.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE; PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grants for permanent program development are available to fund staff and related costs in connection with preparing State legislative proposals; preparing State regulations; preparing permanent program applications to the Office of Surface Mining Reclamation and Enforcement (OSM); and for other related purposes. Administration and enforcement grants are awarded to assist States that have received approval of permanent regulatory programs. Funded cooperative agreements provide for State regulation of coal mining activities on Federal lands. Technical assistance is available on any aspect of regulating surface impacts of coal mining.

Applicant Eligibility:

The State must have an approved program to regulate surface coal mining and a designated State agency to receive and administer grants.

Beneficiary Eligibility:

State agencies responsible for regulation, reclamation and enforcement of provisions protecting the environment from negative effects of coal mining operations.

Credentials/Documentation:

The Governor of a State designates an agency to act as recipient of the grant or funded cooperative agreement. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

No preapplication is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. The standard application SF 424 Application for Federal Assistance forms required by 2 CFR Part 215.12 and 43 CFR Part 12, Subpart F, or its revisions, must be used. State regulatory grant applications are required to submit applications to their appropriate Regional Office. Applications may be submitted through www.Grants.gov and the appropriate OSM Regional/Field Office. Please contact the appropriate Office of Surface Mining Reclamation and Enforcement Regional Office for assistance.

Award Procedure:

Review by appropriate OSM Regional staff within 60 days of receipt of application and approval by the OSM Regional Office is required. If the application is not approved, the Regional Office must set forth in writing the reasons for disapproval and may propose modifications if appropriate. The application may submit the revised application or appropriate revised portions of the application.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

60 days.

Appeals:

An applicant may appeal OSM's decision not to approve a grant or to reduce or terminate a grant to the Director, OSM, no later than 30 days after the Regional or Field Office official's decision.

Renewals:

Renewal of annual applications required for grants.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Permanent program development grants and administration and enforcement grants are limited to 80 percent of costs in the first year, 60 percent in the second, and 50 percent thereafter. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

All administration and enforcement grants are for a one year period and are

annually renewable. See the following for information on how assistance is awarded/released: Information not available.

Reports:

Program reports are not applicable. Cash reports are not applicable. Annual reports as specified by the agency are required. SF 425 Federal Financial Report is required. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records will be maintained in accordance with the provisions of 43 CFR Part 12, Subpart C, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments."

Account Identification:

14-1801-0-1-302.

Obligations:

(Project Grants) FY 12 \$68,553,526; FY 13 est \$65,075,000; and FY 14 est \$57,700,000

Range and Average of Financial Assistance:

Range is \$67,645 to \$13,333,840; Average \$2,409,529.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Information not available. Fiscal Year 2013: Twenty-four coal producing States have approved programs and are receiving administration and enforcement grants to control the environment impacts of surface coal mining. Fiscal Year 2014: Information not available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Federal Register, Volume 42, No. 62706, Tuesday, December 13, 1977 and Volume 47, No. 169, Tuesday, August 31, 1982, Volume 48, No. 12, Tuesday, January 18, 1983; and informal agency guidelines titled "Federal Assistance Manual."

Regional or Local Office:

See Regional Agency Offices. See Catalog Appendix IV.

Headquarters Office:

Chief, Division of Reclamation Support Office of Surface Mining Reclamation and Enforcement, Department of the Interior, 1951 Constitution Ave., N.W., Washington, District of Columbia 20240 Phone: (202) 208-2868 Fax: (202) 219-0239.

Website Address:

<http://www.osmre.gov>.

RELATED PROGRAMS:

15.252 Abandoned Mine Land Reclamation (AMLR) Program; 15.253 Not-for-Profit AMD Reclamation; 15.254 Summer Watershed Intern; 15.255 Applied Science Program Cooperative Agreements Related to Coal Mining and Reclamation

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Information not available. Fiscal Year 2013: Governor-designated State agencies in coal producing States receive annual grants to assist in administering and enforcing the States' approved regulatory programs as provided by the Surface Mining Control and Reclamation Act of 1977. Fiscal Year 2014: Information not available.

CRITERIA FOR SELECTING PROPOSALS:

Designated agencies that choose to comply with the Surface Mining Reclamation and Enforcement Provisions, Parts 725, 735, and 795 (Federal Register, Vol. 423, No. 239, Tuesday, December 13, 1977, Vol. 47, No. 169, August 31, 1982 and Vol. 48, No. 12, January 18, 1983), are eligible for awards. Criteria for amounts awarded are the State's need relative to other eligible States.

15.252 ABANDONED MINE LAND RECLAMATION (AMLR) PROGRAM

FEDERAL AGENCY:

Office of Surface Mining, Department of the Interior

AUTHORIZATION:

Surface Mining Control and Reclamation Act of 1977, Public Law 95-87, 91 Stat. 445-532.

OBJECTIVES:

To protect the public, health, safety and general welfare, and restore land, water and environmental resources affected by coal and noncoal mining practices that occurred prior to August 3, 1977.

TYPES OF ASSISTANCE:

FORMULA GRANTS; PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grants support the operation of an approved State or Tribal Abandoned Mine Land (AML) reclamation program. Approved programs use grant funds for mine site reclamation projects on eligible lands, which are lands and waters mined or affected by coal mining processes that occurred prior to August 3, 1977 (as well as certain post-1977 and noncoal mining activities). Grants also support project administration. Grants may also include funding for AML-related activities including: abating emergency mining-related dangers to public health and safety; restoring water supply facilities impacted by coal mining; setting aside funds in a trust account to fund future acid mine drainage treatment; and developing a self-sustaining State subsidence insurance program. Approved programs which have completed coal mine reclamation in their state or on lands within their jurisdiction may use funds for other activities as authorized in SMCRA. Please contact the headquarters office more information.

Applicant Eligibility:

The program is restricted to States with (1) an approved coal mining regulatory program, (2) lands eligible for reclamation, and (3) active coal mining operations within their borders that are paying coal reclamation fees into the Abandoned Mine Reclamation Fund; and to federally-recognized Indian Tribes with (1) eligible lands, and (2) active mining operations paying fees into the Fund. An eligible State or Tribe may submit a State reclamation plan to the Office of Surface Mining (OSM) for approval.

Beneficiary Eligibility:

Coal field citizens and the general public benefit from reduced exposure to safety and health risks from abandoned mine lands.

Credentials/Documentation:

A State or Tribal Indian reclamation plan must be approved by the Assistant Secretary for Land and Minerals Management. AML grants to approved projects must be approved by an authorized official of the appropriate OSM Regional or Field Office. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Environmental clearance actions for each individual reclamation project are completed as part of the recipient's request for OSM's approval of the Authorization to proceed, which is the point of federal action for that reclamation project. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. The standard application SF 424, Application for Federal Assistance as required by 2 CFR Part 215.12 and 43 CFR Part Part 12, Subpart C, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments," are required to apply for a grant. The forms may be obtained and the application is to be submitted through www.Grants.gov. Grant applications may also be submitted to the applicable OSM Regional/Field Office. Please contact the

appropriate OSM Regional Office for assistance.

Award Procedure:

The Regional Office should act upon a grant application within 60 days of submittal of a complete application. If the application is not approved, the Regional Office must set forth in writing the reasons for disapproval and may propose modifications if appropriate. Subsequently, the applicant may submit the revised application or appropriate revised portions of the original application.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Normally 60 days.

Appeals:

An applicant may appeal the OSM's decision not to approve a grant or to reduce or terminate a grant to the Director, OSM no later than 30 days after the disapproval action.

Renewals:

New grants may be awarded annually upon satisfactory performance by the State/Tribal AML Program.

Formula and Matching Requirements:

Statutory Formula: Public Law 95-87. Grants are 100 percent Federally funded. Grant funds are mandatory, are not subject to fiscal year appropriation limitations, and are calculated using a distribution formula. The distribution formula is based on annual reclamation fee collections and historical coal production. Source of funding is provided from the Abandoned Mine Reclamation Fund and from the General Fund of the Treasury. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grant periods are variable; however, funds for reclamation construction activities should be normally expended within three years after award. See the following for information on how assistance is awarded/released: Information not available.

Reports:

Annual performance report is required. Cash reports are not applicable. Progress reports are not applicable. The Federal Financial Report, SF 425 is required. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records will be maintained in accordance with the provisions of 43 CFR Part 12, Subpart C, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments."

Account Identification:

14-5015-0-2-999; 14-1803-0-1-999; 14-1804-0-1-551.

Obligations:

(Project Grants) FY 12 \$485,513,466; FY 13 est \$322,090,600; and FY 14 est \$300,548,300

Range and Average of Financial Assistance:

Range is \$259,781 to \$61,735,153; Average \$11,504,794.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: 25 States and three Indian Tribes have approved reclamation programs. Since 1978, nearly 470,393 acres of mined land with safety, health and environmental problems have been reclaimed. Fiscal Year 2013: Information not available. Fiscal Year 2014: Information not available.

REGULATIONS, GUIDELINES, AND LITERATURE:

30 Code of Federal Regulations, Parts 870-887. Final Guidelines for Reclamation Programs and Projects, Federal Register, 66 Federal Register, 31250-31258, June 11, 2001.

Regional or Local Office:

See Regional Agency Offices. See Catalog Appendix IV for addresses.

Headquarters Office:

Chief, Department of the Interior, Office of Surface Mining, Division of Reclamation Support, 1951 Constitution Avenue, NW, Washington, District of Columbia 20240 Phone: (202) 208-2868 Fax: (202) 219-0239

Website Address:

<http://www.osmre.gov>

RELATED PROGRAMS:

15.250 Regulation of Surface Coal Mining and Surface Effects of Underground Coal Mining; 15.253 Not-for-Profit AMD Reclamation; 15.254 Summer Watershed Intern; 15.255 Applied Science Program Cooperative Agreements Related to Coal Mining and Reclamation

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: State and tribal AML programs reclaim hazardous or environmentally damaged features on mined lands, including landslides, mine openings, impoundments, dangerous highwalls, underground mine fires and burning refuse banks, mine subsidence, coal refuse piles, and acid mine drainage. Fiscal Year 2013: Information not available. Fiscal Year 2014: Information not available.

CRITERIA FOR SELECTING PROPOSALS:

Section 403 and 411 of Public Law 95-87, cited Abandoned Mine Land Reclamation Program regulations, and approved State/Tribal Reclamation plans.

15.253 NOT-FOR-PROFIT AMD RECLAMATION

FEDERAL AGENCY:

Office of Surface Mining, Department of the Interior

AUTHORIZATION:

Surface Mining Control and Reclamation Act of 1977, Public Law 95-87, 91 Stat. 445-532.

OBJECTIVES:

To fund local 501(c)(3) status organizations and groups that undertake local acid mine drainage (AMD) reclamation projects.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

To assist local organizations, especially watershed groups, to begin actual construction projects to clean streams impacted by AMD. Lands and water eligible for reclamation or drainage abatement expenditures under Section 404 of Public Law 95-87 are those which were mined for coal or which were affected by such mining, wastebanks, coal processing, or other coal mining processes, except as provided for under Section 411 of Public Law 95-87, prior to August 3, 1977, and for which there is no continuing reclamation responsibility under State or other Federal laws. For other provisions relating to lands and waters eligible for such expenditures, see Section 402(g)(4), Section 403(b)(1), and Section 409 of Public Law 95-87. Surface coal mining operations on lands eligible for reclamation shall not affect the eligibility of such lands for reclamation and restoration under this title after the release of the bond or deposit for any such operation as provided under Section 509. In the event the bond or deposit for a surface coal mining operation on lands eligible for reclamation is forfeited, funds available under this title may be used if the amount of such bond or deposit is not sufficient to provide for adequate reclamation or abatement, except that if conditions warrant, the secretary shall immediately exercise his authority under Section 410.

Applicant Eligibility:

Primary recipients must be not-for-profit IRS 501(c)(3) status, established organizations. Federal, State, local governments, colleges, and universities are not eligible to receive funding directly.

Beneficiary Eligibility:

Communities impacted by streams polluted by acid mine drainage will benefit from this program.

Credentials/Documentation:

Proof of not-for-profit IRS 501(c)(3) status is required of nonprofit organizations and institutions. A State certification of project eligibility is required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. The standard application SF-424 Application for Federal Assistance and forms furnished by the Federal agency and required by 43 CFR Part 12, Subpart F, "Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-Profit Organizations," or its revisions must be used for this program. Please contact the appropriate Office of Surface Mining Reclamation and Enforcement (OSM) Regional Office for details listed in the Catalog Address Appendix IV.

Award Procedure:

Review by appropriate OSM Regional staff and approval by the Regional Office is required.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Applications will be accepted until all available funds have been awarded.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: This program has no statutory formula. Partners are encouraged to make monetary contributions or provide in-kind services. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The cooperative agreements have a performance period of two years. See the following for information on how assistance is awarded/released: Information not available.

Reports:

Programmatic reports are required semi-annually. A final report is required. Cash reports are not applicable. Progress reports are not applicable. Federal Financial Report, SF 425 is required semi-annually. A final report is required. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records will be maintained in accordance with the provisions of 43 CFR Part

12, Subpart F," Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-Profit Organizations".

Account Identification:

14-5015-0-2-999.

Obligations:

(Cooperative Agreements) FY 12 \$1,419,972; FY 13 est \$1,200,000; and FY 14 est \$1,200,000

Range and Average of Financial Assistance:

Range is \$15,000 to \$100,000; Average \$89,784.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Restore streams affected by acid mine drainage to a level that will support a diverse biological community and provide recreational opportunities for the community.

The total projected cost (including all funding and in-kind sources) for the 16 new projects funded in FY 2012 totaled \$1,419,972. Of the total project cost, OSM's funding level was approximately 25%. Fiscal Year 2013: It is anticipated that 20 applications will be received and approved at an award of \$100,000 per application for Fiscal Year FY 2013. Fiscal Year 2014: Information not available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Surface Mining Control and Reclamation Act of 1977, Section 404, Public Law 95-87, as amended.

Regional or Local Office:

See Regional Agency Offices. See Catalog Appendix IV.

Headquarters Office:

Chief, Division of Reclamation Support, Department of the Interior, Office of Surface Mining Reclamation and Enforcement, 1951 Constitution Ave., NW, Washington, District of Columbia 20240 Phone: (202) 208-2868 Fax: (202) 219-0239.

Website Address:

<http://www.osmre.gov>

RELATED PROGRAMS:

15.252 Abandoned Mine Land Reclamation (AMLR) Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Information not available. Fiscal Year 2013: In 2012, OSM awarded \$15,000 in Watershed Cooperative Agreement funds to Stream Restoration Inc., a 501(c)(3) non-profit organization, for the rehabilitation of a passive treatment system located at the Pennsylvania Jennings Environmental Education Center, which is operated by the Pennsylvania Department of Conservation and Natural Resources. This AMD treatment system was constructed in 1997, and successfully operated until 2011, when the treatment media finally became clogged with metals and lost permeability. The treatment system provides good quality water to the streams and wetlands at the Center, which are an integral part of the environmental program and visited by thousands of students and other interested people every year. An interpretive trail provides information about how the treatment system works. Other partners contributing funding to the project are the Foundation for Pennsylvania Watersheds ; The Pennsylvania DCNR ; and the Western PA Coalition for Abandoned Mine Reclamation for a total project cost of \$73,522. Various local companies are also donating materials, equipment use and labor. Fiscal Year 2014: Information not available.

CRITERIA FOR SELECTING PROPOSALS:

Section 404 of Public Law 95-87, State certification of eligibility is required. No opposition to the project from the State Abandoned Mine Land (AML) agency. All applicable Federal and State clearances and permits must be secured. The project should propose to use proven or innovative technology that has a high probability of success and produce tangible results, e.g., fishery restored, stream miles improved, educational and community benefits, pollutants removed from the streams. Funds must be used for the construction phase of a project and there must be demonstrated public support for the project. Also, there must be a plan to address any ongoing

operation/maintenance considerations.

15.254 OSM/VISTA AMERICORPS PROGRAM

FEDERAL AGENCY:

Office of Surface Mining, Department of the Interior

AUTHORIZATION:

Surface Mining Control and Reclamation Act of 1977; Public Law 95-87; 91 Stat. 445-532.

OBJECTIVES:

To work with volunteer watershed groups in the Appalachian Coal Country to build local capacity, monitor water quality, enhance outreach initiatives and education, to engage economic redevelopment and professional development, to develop school criteria, and build stronger partnerships with agencies and industry.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

To support and innovative partnership working directly for watershed groups concerned with poverty, environmental reclamation and safety, and community watershed groups.

Applicant Eligibility:

All interns accepted to participate in the OSM Watershed Intern Program must be interested in and able to work in support of a public or private nonprofit institution/organization. Applicants are required to have completed at least two years of undergraduate studies or the equivalent.

OSM/AmeriCorps* VISTA requires qualified watershed groups to be nonprofit organizations or be sponsored by a nonprofit. Established watershed organizations in the following states are currently participating: Alabama, Colorado, Illinois, Iowa, Kentucky, Maryland, Montana, New Mexico, Ohio, Oklahoma, Pennsylvania, Tennessee, Virginia, and West Virginia.

Beneficiary Eligibility:

Watershed organizations in communities impacted by streams polluted by acid mine drainage will benefit from this program.

Credentials/Documentation:

Proof of not-for-profit, IRS 501(c)(3), status is required of nonprofit watershed organizations. Proof of student status or the completion of two years of college is required of all intern applicants. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. The SF-424 application forms required by 2 CFR Part 215.12, and the Department of the Interior Code of Federal Regulations at 43 CFR Part 12, Subpart F, or its revisions, must be used. Guidelines and application procedures can be obtained from the OSM Website, www.osmre.gov. Paper copy of guidelines and application procedures can be obtained from the OSM Headquarters.

Award Procedure:

OSM has Cooperative Agreements with two non-profit sponsors of two OSM/VISTA teams. Team support offices handle OSM intern and OSM/VISTA applications from sites and individuals, screen and rank applications, and oversee the administration of both programs.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: This program has no statutory formula. Partners are encouraged to make monetary contributions or provide in-kind services. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The intern program is a full-time position for 10 weeks. Each OSM/VISTA position is awarded for a three year full-time period. Each OSM/VISTA volunteer must make, at least, a one year commitment. See the following for information on how assistance is awarded/released: Information not available.

Reports:

Quarterly and a final programmatic report is required. Cash reports are not applicable. Progress reports are not applicable. Quarterly and a final financial report using the Federal Financial Report SF 425 is required. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records will be maintained in accordance with the provisions of 43 CFR Part 12, Subpart F, "Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Nonprofit Organizations."

Account Identification:

14-5015-0-2-999.

Obligations:

(Direct Payments for Specified Use) FY 12 \$179,500; FY 13 est \$100,000; and FY 14 est \$100,000

Range and Average of Financial Assistance:

Each OSM/VISTA Volunteer receives a monthly living stipend for a year of full-time work and an AmeriCorps Siegel Education Award upon completion of term. OSM/VISTA living stipend and other benefits are set by AmeriCorps VISTA.

PROGRAM ACCOMPLISHMENTS:

- Fiscal Year 2012: 1. Formed 392 new partnerships among community groups, both state and federal agencies, and local businesses; spreading commitment, adding new sources of support and enabling an expanded agenda for community improvement.
2. Awarded 68 year-long, full-time OSM/VISTA Volunteers at 64 sponsor sites to engage in environmental stewardship activities.
 3. OSM/VISTAs secured \$981,917 in grants for their communities.
 4. OSM/VISTA Volunteers logged 89,162 volunteer service hours in FY12 from 9,503 local community volunteers to monitor polluted water, plant trees on abandoned mine land sites, work with local schools and much more.
 5. OSM/VISTA Volunteers generated \$687,156 in-kind resources, a measure of partnership success. Fiscal Year 2013: Information not available. Fiscal Year 2014: Information not available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Surface Mining Control and Reclamation Act of 1977, Section 404, Public Law 95-87.

Regional or Local Office:

See Regional Agency Offices. See Catalog Appendix IV for addresses.

Headquarters Office:

Director, Department of the Interior, Office of Surface Mining Reclamation and

Enforcement, 1951 Constitution Avenue, NW, Washington, District of Columbia 20240 Phone: (202) 208-2585.

Website Address:

<http://www.osmre.gov>

RELATED PROGRAMS:

15.253 Not-for-Profit AMD Reclamation

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Appalachian Coal Country Team

The OSM/VISTA with Crooked Creek/Evergreen Conservancy Mission in Indiana, Pennsylvania, successfully built a sustainable partnership between the Evergreen Conservancy and the local Girl Scouts organization that plans and promotes Girl Scouts events in the three-county area around Indiana, PA. Evergreen will now offer four programs (two in the fall, two in the spring) around wetland education at the Tanoma AMD Wetlands to all ages of Girl Scouts. These programs will be featured in the Girl Scout Program brochure that is distributed to over 100 Scout families. This partnership has great potential to reach a wide audience of people and to inform them of the Tanoma AMD Wetlands.

The OSM/VISTA with the Upper Tennessee River Roundtable (UTRR) in Abingdon, Virginia helped generate more than \$300,000 in grant funding for three separate grants. A \$2,500 grant from Outdoor Nation allows UTRR to host five canoe trips that will focus on engaging high school students and youth organizations through fun and educational experiences about conservation and the environment. A \$60,000 grant awarded by the Blue Moon Fund is for a partnership between Institute for Environmental Negotiation, Clinch River Planning Initiative and UTRR. The project focuses on "enhancing environmental protection, education, and collaboration on the Clinch River and helps to support the Clinch River Planning Initiative. UTRR and Lonesome Pine Soil & Water Conservation District have been conditionally approved for a \$250,000 grant from the Virginia Department of Conservation and Recreation that will focus on septic tank repairs/replacements/pump outs, pet waste education, and agricultural Best Management Practices.

Western Hardrock Watershed Team

In Carpenter, Colorado, an OSM/VISTA with the Greater Arkansas River Nature Association (GARNA) leveraged a partnership with the Salida Ranger District and Yampatika, a non-profit in Steamboat Springs, to receive a grant from the US Forest Service Childrens Forest program. GARNA received \$17,000 for summer youth and visitor programming. Funding will support a number of tasks for GARNA including: the hiring of two Summer AmeriCorps members through the Department of the Interior Environmental Stewards Program; hiring a summer seasonal to give nature walks; providing transportation costs for youth trips with the Boys and Girls Club and Chaffee County Mentors and funding the High School Apprenticeship which offers 10th graders scholarships upon completion. Together the partners have created a comprehensive, year-round programming connecting Chaffee Countys visitors and community members to their abundant public lands.

The OSM/VISTA with the Rio Puerco Alliance (RPA) in Santa Fe, New Mexico gave two presentations that helped push the idea to develop community gardens in regions considered to be food deserts on tribal lands. In February 2012, the OSM/VISTA successfully secured a resolution with the Torreon Chapter to collaborate on the implementation of a garden project. The Ojo Encino School Board provided the OSM/VISTA with a letter of support to build a community garden at the school. The RPA received \$10,000 through the New Mexico Centennial Foundation to support the new garden projects. The funding will be used to construct community garden plots in the Eastern Navajo Nation chapters of Torreon and Ojo Encino. The RPA hopes to use the community garden projects as a way of moving towards a larger goal of restoring food production capabilities within the tribal chapters. Fiscal Year 2013: Information not available. Fiscal Year 2014: Information not available.

CRITERIA FOR SELECTING PROPOSALS:

Each proposed watershed project must clearly enhance the sustainability of the watershed organization and must contribute directly to the remediation of acid

mine drainage. Candidates must be able to organize their work, work well with community groups and on their own, have an understanding of acid mine drainage remediation and the national Clean Streams program, and write well.

15.255 SCIENCE AND TECHNOLOGY PROJECTS RELATED TO COAL MINING AND RECLAMATION

FEDERAL AGENCY:

Office of Surface Mining, Department of the Interior

AUTHORIZATION:

Surface Mining Control and Reclamation Act of 1977 (SMCRA), Public Law 95-87; Federal Grant and Cooperative Agreement Act of 1977, Public Law 95-224.

OBJECTIVES:

The Office of Surface Mining Reclamation and Enforcement (OSM) Science Technology Grants Program supports three separate but related efforts:

(1) projects that develop and demonstrate improved technologies to address public safety and environmental issues related to the mining of coal and reclamation of the lands affected after mining (applied science projects),

(2) projects that encourage efforts to collect, preserve and convert into digital format, maps of the underground mines and other relevant geologic, hydrologic and biologic data that provide valuable information regarding: miner safety; mine pool evaluation; mine subsidence investigation, and protection of the public and the environment from the adverse effects of underground coal mining (underground mine map projects); and,

(3) projects that convert into digital form, mine permit data and maps related to evaluating the impacts of coal mining so that the information may be used in a geographic information system (GIS) accessible by all Federal, state, and tribal agencies for assessing impacts of coal mining and reclamation on the environment (data conversion projects). Data conversion projects may also convert existing legacy databases to modern database software, and/or provide hardware and programming assistance for states to transition to managing and using this digital data.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Projects must focus on issues related to mining in the United States and its territories. Funding will be limited to projects that can be completed without additional funding from the Office of Surface Mining (OSM). Projects that are long term in nature or larger in scope that can be accomplished with funds available this fiscal year, must be described such that OSM can identify specific measurable accomplishments associated with the requested funds.

Applicant Eligibility:

Applied Science Projects: Recipients may be any of the following: public, private, or non-profit entities; Federal, state, local or Tribal governments; and colleges, and universities located in the United States.

Mine Map Projects: Recipients may be any state, tribe, or U.S. territory. Additionally, mining must have occurred within its boundaries.

Data Conversion Projects: Recipients may be in any state or tribe where coal mining or reclamation activities authorized under SMCRA are occurring.

Beneficiary Eligibility:

Communities impacted by coal mining and reclamation of the land after mining.

Credentials/Documentation:

All proposals with commitments for applicant or partner cost sharing must be accompanied by a letter on official letterhead from the manager of the program committing these funds, acknowledging such commitment. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact

information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. The standard application SF-424 and forms furnished by the Federal agency and required by 43 CFR Part 12, Subpart C, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments," or Subpart F, "Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-Profit Organizations," or its revisions must be used for this program. Please contact the appropriate Office of Surface Mining Reclamation and Enforcement (OSM) Regional Office for visit the web site below for details.

Details on the Applied Science solicitation are available at:
<http://www.techtransfer.osmre.gov/NTTMainSite/appliedscience.shtm>

Details on Mine Map Projects are available at:
<http://www.techtransfer.osmre.gov/NTTMainSite/Initiatives/UGMM/umm.shtm>

Details on Data Conversion Projects are available at:
<http://www.tips.osmre.gov/Geospatial.shtml>

Award Procedure:

The OSM Director or his/her designee approves and awards all grants and/or cooperative agreements for this program.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Estimated from 120 to 150 days.

Appeals:

Not Applicable.

Renewals:

Not applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching Requirements: This program has no statutory formula and no mandatory cost share or matching requirements. However, OSM recommends that applicants participate in the support of the project in the following manner: (1) Applied Science Projects: OSM recommends a minimum cost share of 25 percent with the applicant providing some portion of this percentage. The remainder may come from academia, industry, or other non-federal sources. (2) Underground Mine Map Projects: OSM encourages cost sharing through an advantaged score in the rating process, but cost-share is not required for the applicant to supply a proposal. (3) Data Conversion Projects: OSM encourages cost sharing, but cost share is not required for the applicant to supply a proposal.

Recommended cost share percentages can comprise contributions from any of the following: applicant, academia, industry, or other non-Federal sources. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The grants and cooperative agreements may have a performance period of up to two years. See the following for information on how assistance is awarded/released: Information not available.

Reports:

Programmatic reports are required. Cash reports are not applicable. Progress reports are not applicable. Financial (SF-425 and SF 425A) and programmatic reports are required. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000

or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Recipients will maintain records in accordance with the provisions of 43 CFR Part 12, Subparts C and F.

Account Identification:

14-5015-0-2-999.

Obligations:

(Cooperative Agreements) FY 12 \$1,554,939; FY 13 est \$0; and FY 14 Estimate Not Available - While there are no funds specifically earmarked for projects in 2012 and 2013, funding may be allocated by OSM during the year based on agency priorities.

Range and Average of Financial Assistance:

Applied Science 2012 Projects: Range \$148,858 to \$200,000; Average \$191,323. The FY 2012 Underground Mine Mapping program received no funding. Data Conversion Projects: total of \$407,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: OSM Applied Science Projects completed in FY 2012 provided final reports on new science and technology related to: (1) stream restoration in Appalachia; (2) Hardwood Tree Growth Using the Forestry Reclamation Approach; (3) mitigation of blasting effects; (4) mitigation of acid mine drainage; and (5) investigation on alternatives for control of invasive species.

The Underground Mine Map program funded no projects in 2012. Projects funded in previous years have made thousands of previously unreachable mine maps available to the public in digital form, thereby increasing protection of the public and miner safety, environmental quality, and property from adverse effects of underground coal mines.

Data Conversion Projects were first funded under this program in FY 2012 in Kentucky, Virginia and West Virginia in support of the GeoMine Pilot Project. These pilot project States created additional geospatial site layers, map locations and attribution for use in GeoMine. These projects will result in high quality digital data sets related to coal mines permitted under SMCRA that Federal agencies, states and tribes may use for coordinated permitting and inspection activities. The digital data will be suitable for populating national geographic information systems like OSMs prototype GeoMine. Fiscal Year 2013: Information not available. Fiscal Year 2014: Information not available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Surface Mining Control and Reclamation Act of 1977, Public Law 95-87; as amended; and informal agency guidelines titled "Federal Assistance Manual."

Regional or Local Office:

See Regional Agency Offices. See Appendix IV of the Catalog.

Headquarters Office:

Chief, Division of Reclamation Support Office of Surface Mining Reclamation and Enforcement, Department of the Interior, 1951 Constitution Ave., N.W., Washington, District of Columbia 20240 Phone: (202) 208-2868 Fax: (202) 219-0239.

Website Address:

<http://www.grants.gov/>

RELATED PROGRAMS:

15.250 Regulation of Surface Coal Mining and Surface Effects of Underground Coal Mining; 15.252 Abandoned Mine Land Reclamation (AMLR) Program; 15.253 Not-for-Profit AMD Reclamation; 15.254 Summer Watershed Intern

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: The Applied Science program funded a study by the University of West Virginia titled Assessing Geomorphic Reclamation in Valley Fill Design for West Virginia. This research will obtain field data needed to calibrate conceptual geomorphic designs for West Virginia valley fills.

The Underground Mine Mapping program funded no projects in 2012.

The OSM approved and fully funded GeoMine data conversion projects submitted by each Pilot Project State in 2011 under Federal cooperative agreements. Also, another round of funding was approved in May 2012 to support further data creation work in the Pilot Project States. Each State prioritized the digital data conversion of active coal mining and reclamation data and AML project data not currently available in digital form. Business process enhancements were made to facilitate the creation of future digital geospatial data through permitting and data translation procedures. Fiscal Year 2013: Information not available. Fiscal Year 2014: Information not available.

CRITERIA FOR SELECTING PROPOSALS:

Applied Science Projects funding criteria include: the level of external financial support (cost sharing), the potential for technology transfer, technical merit, the value of proposal to SMCRA programs, and if the proposal is from a Minority Higher Education Program (MHEP) institution.

Mine Map Projects funding criteria include: overall proposal quality, innovation, and viability, proposal responsiveness to a special interest topic, and the level of external financial support (cost sharing).

Data Conversion Projects funding criteria include: overall proposal quality, level of external financial support, viability, and responsiveness to agency priorities.

15.406 NATIONAL PARK SERVICE CENTENNIAL CHALLENGE.

FEDERAL AGENCY:

National Park Service, Department of the Interior

AUTHORIZATION:

Challenge Cost Share Provision, Public Law 104-333, 16 U.S.C.; Centennial Challenge Provision (2008), FY 2008 Appropriations Act (December 17, 2007)(see H.R. Rep. No. 110-497, Division F, Title I, to accompany H. Res. 878, at 266).

OBJECTIVES:

The National Park Centennial Initiative is a ten year effort to prepare national parks for another century of conservation, preservation, and public enjoyment. Project efforts will improve parks and serve all Americans, especially our nation's youth. Centennial Challenge signature projects provide no less than fifty percent (50 percent of the total cost of each project or program is derived from non-Federal sources).

TYPES OF ASSISTANCE:

Formula Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

For the purpose of sharing costs or services in carrying out authorized functions and responsibilities of the Secretary of Interior with respect to any unit or program of the National Park System through Centennial Challenge projects within the following five areas of emphasis: Stewardship, Environmental Leadership, Recreational Experience, Educational and Professional Excellence.

Applicant Eligibility:

Non-federal partners may include state agencies, Tribes, local governments, non-governmental organizations, private companies, and private individuals. Non-federal partners are expected to match NPS Centennial Challenge Cost Share funds at or above 1:1 for their respective projects.

Beneficiary Eligibility:

State and local governments, private, public, profit, nonprofit organizations and institutions who are positioned to contribute at least 5 percent of the value of projects included in the list of approved projects and which support the NPS Centennial Challenge.

Credentials/Documentation:

Proposals that support the goals of the NPS Centennial Challenge including commitment letters from partners demonstrating ability to contribute the required match and readiness to complete the project. Proposals include description of the project, proposed budget, commitments from recipients.

OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Proposals are developed in coordination with NPS managers which are then submitted through the NPS Project Management Information System (PMIS) for priority setting by a panel who recommends to Regional Directors and the NPS Director projects for approval in accordance with Centennial Challenge priorities. The approved projects are placed on an eligible list to receive funding, when available. The NPS makes the final decisions on funding. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Upon determination of funded proposals by the NPS, selected applicants will complete SF-424, SF 424B Assurances for Non-Construction.

Award Procedure:

Applicants/Proposals selected for funding are notified regarding the next steps to implement a project and are required to submit a project plan that outlines the project objectives and milestones and additional required documentation depending upon the method of project execution. A panel of NPS staff recommend approval to the NPS Director, who makes the final decision on awards.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Projects eligible for funding are submitted up to 12 months in advance of funding determinations.

Appeals:

NONE.

Renewals:

NONE.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: Requested funds shall not exceed 50 percent (50 percent) of eligible projects costs and shall be made on the condition that non-Federal sources, including in-kind contributions of services or materials, provide the remainder of eligible project costs.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The project approval, execution and completion period is typically for a time period not to exceed 24 months. Specific requirements for project execution and completion are outlined in detailed project plans and budgets. See the following for information on how assistance is awarded/released: Payment terms vary by agreement awarded under this program.

Reports:

Program reports are not applicable. Cash reports are not applicable. For projects under \$100,000 the NPS will require a budget status update and project progress report on an annual basis. Projects over \$100,000 must submit quarterly reports to the Centralized Centennial Acquisitions and Financial Assistance Team. All projects and activities are monitored for progress and compliance with the use of Quarterly and Final Narrative Reports and the Financial Status Report SF-269. Recipients are reimbursed by using ASAP. All projects and activities are monitored for progress and compliance with the use of Quarterly and Final Narrative Reports and the Financial Status Report SF-269. Recipients are required to submit an SF-270 for reimbursement payments. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that

year, except as noted in Circular No. A-133. The NPS will conduct reviews of a sample of Centennial Challenge projects each year to assess compliance with the program's requirements and the effectiveness of the project in advancing centennial goals. The centennial project reviews will be conducted as part of the Service's annual A-123 programmatic review process and Acquisition Management Reviews.

Records:

The recipient must maintain a complete, detailed accounting system to report expenditures of funds and accomplishments achieved under the award. Records, accounts, and supporting documents must be retained three years after submission for final request for reimbursement.

Account Identification:

14-2645-0-1-303.

Obligations:

(Formula Grants (Cooperative Agreements)) FY 12 \$0; FY 13 est \$50,000; and FY 14 est \$50,000

Range and Average of Financial Assistance:

Range N/A
Average \$0.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: No information available. Fiscal Year 2013: No information available. Fiscal Year 2014: No information available.

REGULATIONS, GUIDELINES, AND LITERATURE:

The Future of America's National Parks, May 2007, a report to the President presenting a bold vision for our National Parks.

Regional or Local Office:

None.

Headquarters Office:

Centennial Initiative Department of the Interior, National Park Service, 1849 C Street, N.W., Washington, District of Columbia 20240 Phone: (202)-208-0000

Website Address:

www.nps.gov/2016 .

RELATED PROGRAMS:

15.916 Outdoor Recreation_Acquisition, Development and Planning; 15.921 Rivers, Trails and Conservation Assistance

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Proposed projects and programs must: Provide for authorized activities to benefit one or more of the 391 units of the National Park System; Contribute toward at least one of the five centennial overarching goals as stated in The Future of America's National Parks; Be consistent with all Federal, Department of the Interior, and the National Park Service (NPS) management policies; and park planning, compliance, and acquisition/assistance law, regulation and policy; Require little or not additional recurring NPS operating funds to be sustainable; Have a partner(s) willing to contribute at least 50% of the project cost in cash from non-Federal sources as evidenced by a letter of commitment from the partner(s); Are for projects that support specific performance goals. Priority will be given to those identified in the centennial report, such as: address a critical, high priority need of the NPS, have a ready, willing and able partner, improve the efficiency and effectiveness of park management, operations, and employees, imaginative, innovative and collaborative in meeting centennial goals, benefit multiple parks or contribute to national initiatives, produce measurable results, and consistent with Federal assistance regulations and laws.

15.407 KEWEENAW NATIONAL HISTORICAL PARK (NHP) AND KEWEENAW NHP ADVISORY COMMISSION PARTNER ENHANCEMENT GRANTS

Keweenaw Heritage Grants

FEDERAL AGENCY:

National Park Service, Department of the Interior

AUTHORIZATION:

Keweenaw NHPs Enabling Legislation

16 U.S.C. 410yy Specifically:

- 410yy-6 Cooperative Agreements: The Secretary, after consultation with the Commission, may enter into cooperative agreements with owners of property within the park of nationally significant historic or other cultural resources in order to provide for interpretive exhibits or programs.
- 410yy-7(a) Financial and Technical Assistance: The Secretary may provide to any owner of property within the park containing nationally significant historic or cultural resources, in accordance with cooperative agreements or grant agreements, as appropriate, such financial and technical assistance to mark, interpret, and restore non-Federal properties within the park as the Secretary determines appropriate to carry out the purposes of this subchapter.
- 410yy-8(a)(7) Keweenaw NHP Advisory Commission Establishment and Duties: The Commission shall be authorized to carry out historical, educational, or cultural programs which encourage or enhance appreciation of the historic resources in the park, surrounding areas, and on the Keweenaw Peninsula.

OBJECTIVES:

To provide funds to enhance partner projects that will mark, interpret, or restore non-Federal properties.

TYPES OF ASSISTANCE:

Advisory Services and Counseling; Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

NPS Grants: Funding is to be used by owners of properties containing nationally significant historic or cultural resources to mark, interpret, and restore non-Federal properties within the park. The National Park Service shall have right of access at reasonable times to public portions of the property related to the enhancement funds for the purpose of conducting visitors through such property and interpreting them to the public. No changes or alterations shall be made in such properties except by mutual agreement between the NPS and the other parties to the grant agreement(s). The availability of discretionary funding is determined by Keweenaw NHP each fiscal year. Keweenaw NHP Advisory Commission Grants: Funding is to be used by owners or operators of properties containing local, state, or nationally significant historic or cultural resources to mark, interpret, and restore properties on the Keweenaw Peninsula. The availability of discretionary funding is determined by Keweenaw NHP Advisory Commission each fiscal year. For further information please contact the regional office.

Applicant Eligibility:

For NPS Grants: Owners of properties within the legislated boundaries of Keweenaw National Historical Park containing nationally significant historic or cultural resources.

For Keweenaw NHP Advisory Commission Grants: Owners or operators of property containing local, state, or nationally significant historic or cultural resources on the Keweenaw Peninsula. For the Commissions 2013 grant program, the proposed project or program must be located within the boundaries of the Keweenaw NHP; affiliated with an existing Keweenaw Heritage Site; or located at the Cliff Mine, along Torch Lake, or within the community of Painesdale.

Beneficiary Eligibility:

Owners of properties within the legislated boundaries of Keweenaw National Historical Park containing nationally significant historic or cultural resources including state and local governments; public and private nonprofit organizations; and individual, partnership, and corporate private property owners. For Keweenaw NHP Advisory Commission 2013 Grants: Owners or operators of properties on the Keweenaw Peninsula located within the boundaries of Keweenaw NHP; affiliated with an existing Keweenaw Heritage Site; or located at the Cliff Mine, along Torch Lake or within the community of Painesdale containing nationally significant historic or cultural resources including state and local governments; public and private nonprofit organizations; and individual, partnership, and corporate private property owners.

Credentials/Documentation:

Documentation of ownership of the subject property is required of all applicants in the form of a registered deed or presentation of the most recent tax bill. All applicants other than individual property owners are required to cite laws or by-laws that authorize the organization to accept funds. For Keweenaw NHP Advisory Commission Grants: Proof of property/project/program location, if requested. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Applications will be accepted by mail or in person at the headquarters: Keweenaw NHP, ATTN: Grants Manager, 25970 Red Jacket Road, Calumet, MI 49913. Applications will be evaluated for eligibility, and then reviewed by a panel comprised of NPS staff, Advisory Commission members, and subject matter experts as appropriate to the specific grant offering. In the event that applications exceed the available grant funds, the panel will determine awards through a Choosing by Advantage (CBA) process, and may negotiate amendments in the terms as necessary to meet program requirements. For Keweenaw NHP Advisory Commission Grants: Applications will be accepted by mail or in person at park headquarters: Keweenaw NHP Advisory Commission, ATTN: Grants Manager, 25970 Red Jacket Road, Calumet, MI 49913. Applications will be evaluated for eligibility, and then reviewed by a panel comprised of NPS staff, Advisory Commission members, and subject matter experts as appropriate to the specific grant offering. In the event that applications exceed the available grant funds, the panel will determine awards through a Choosing by Advantage (CBA) process, and may negotiate amendments in the terms as necessary to meet program requirements. Environmental compliance in accordance with the National Environmental Policy Act (NEPA) and Section 106 of the National Historic Preservation Act (NHPA) are required, and will be completed in collaboration with the NPS Interdisciplinary Team (IDT). An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. For NPS Grants: Eligible applicants will be required to submit a written proposal/application and an Application for Federal Assistance (SF-424) to: Keweenaw NHP, ATTN: Grants Manager, 25970 Red Jacket Road, Calumet, MI 49913, and will include a project title, property location of requested assistance, project objectives, and a budget proposal for the project reflecting federal and matching funds.

For Keweenaw NHP Advisory Commission Grants: Eligible applicants will be required to submit a written proposal/application and an Application for Federal Assistance (SF-424) to: Keweenaw NHP Advisory Commission, ATTN: Grants Manager, 25970 Red Jacket Road, Calumet, MI 49913, and will include a project title, property location of requested assistance, project objectives, and a budget proposal for the project reflecting federal and matching funds.

Award Procedure:

Application announcements will be posted utilizing the <http://www.grants.gov> website. Upon receipt of timely submissions, applications will be reviewed by a panel of reviewers. Notification of Awards will be provided to applicants by issuance of a Cooperative Agreement or Grant Agreement by the Agreements Technical Representative within the time specified on the announcement. Successful applicants must register on the Central Contractor Register (CCR) website at <http://www.ccr.gov> within 15 days of notice of award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Generally, 30 - 90 days.

Appeals:

Not Applicable.

Renewals:

No. Awards will be project-specific or for a specified time period.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: For NPS Grants: The property owner/ organization must be able to provide a cash match in accordance with the prevailing legislation at the time of disbursement. Current legislative match requirements will be posted with specific grant opportunity. For Keweenaw NHP Advisory Commission Grants: The property owner or operator must be able to provide a one-to-one match, with up to 100% of the match being in-kind. For Advisory Commission grants awarded within the designated residential properties set-aside, the property owner must provide a three-to-one cash match (i.e. - \$3 of property owner cash for each \$1 of Advisory Commission grant funds). MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Assistance is provided on a project-specific basis or within a specified time period. Most projects are completed within months, but may vary depending on complexity and other factors. See the following for information on how assistance is awarded/released: Method of awarding/releasing assistance: lump sum; for NPS grants, on a reimbursable basis.

Reports:

Program reports are not applicable. Cash reports are not applicable. All projects and activities are monitored for progress and compliance with mutually agreed upon scope of work. Specific projects or activities for which funds are awarded shall be tracked and reported by submittal of Standard Form 270, and an annual submittal of Standard Form 425 the Federal Financial Report as outlined in 2 CFR 215.52. Additionally, grant recipients will be required to submit yearly narrative summary of accomplishments report to the National Park Service at Keweenaw NHP or the Keweenaw NHP Advisory Commission, as appropriate, at the end of each Fiscal Year until the project is completed and a final report is submitted.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Recipients will maintain records in accordance with the provisions of 43 CFR Part 12, Subpart C, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments" and 43 CFR Part 12, Subpart F, "Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Nonprofit Organizations. The Cooperator must maintain a complete detailed accounting system to report expenditures of Cooperative Agreement or Grant Agreement funds and accomplishments achieved under the award. Records, accounts and supporting documents must be retained for 3 years after submission for final request for reimbursement.

Account Identification:

14-1036-0-1-303.

Obligations:

(Advisory Services and Counseling) FY 12 \$100,000; FY 13 est \$100,000; and FY 14 est \$100,000 - Keweenaw NHP Advisory Commission. (Project Grants (Discretionary)) FY 12 \$49,000; FY 13 est \$50,000; and FY 14 est \$50,000 - Project Grants (Discretionary) - Funds will be obligated within the fiscal year of the award. Nothing in the Agreements will be construed as binding the NPS or the Keweenaw NHP Advisory Commission to expend in any fiscal year any sum in excess of the appropriation made by Congress for purposes of the Agreements in that fiscal year.

Range and Average of Financial Assistance:

NPS Financial Assistance
Range \$ 0 to \$50,000
Average \$ 8,000

Keweenaw NHP Advisory Commission Financial Assistance
Range \$0 to \$100,000
Average \$5,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: NPS awarded 6 grants totaling \$49,000; the Keweenaw NHP Advisory Commission awarded 20 grants totaling \$100,000. 2012 combined grant program leveraged an additional \$447,838 in non-Federal investment. Fiscal Year 2013: To be determined. Fiscal Year 2014: To be determined.

REGULATIONS, GUIDELINES, AND LITERATURE:

Further information on Keweenaw NHP grant programs is available at <http://www.nps.gov/kewe/grants>.

Regional or Local Office:

None.

Headquarters Office:

Tom Baker, 25970 Red Jacket Road., Calumet, Michigan 49913 Email: thomas_m_baker@nps.gov Phone: (906) 483-3040

Website Address:

<http://www.nps.gov/kewe/grants>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Storefront rehabilitation; public archaeology project at historic mine site; sandstone architecture exhibit; historic school library restoration; school groups field trip program; movie era exhibit at historic theater; mine tour safety and accessibility; historic district commission programs; asbestos abatement. Fiscal Year 2013: To be determined. Fiscal Year 2014: To be determined.

CRITERIA FOR SELECTING PROPOSALS:

- Demonstrated ability of the organization or individual to successfully complete the project.
- Past performance in Federal Financial Assistance programs.
- Organization's ability to provide the required match.
- For NPS grants, ability to provide public access in accordance with Keweenaw NHP's enabling legislation. 16 U.S.C. 410yy-6(1) and 7(1).
- Ability to comply with the Secretary of the Interior's Standards for the Preservation of Historic Properties.
- Other specific project criteria developed for each grant cycle.

15.408 BUREAU OF OCEAN ENERGY MANAGEMENT RENEWABLE ENERGY PROGRAM

Renewable Energy Program

FEDERAL AGENCY:

Bureau of Ocean Energy Management, Department of the Interior

AUTHORIZATION:

43 U.S.C. 1337; 43 U.S.C. 1345 (e); 43 U.S.C. 1346.

OBJECTIVES:

The Renewable Energy Program oversees the leasing of areas on the outer continental shelf for development of renewable energy facilities. The program not only supports decisions made within the Department of the Interior, but also provides coastal states and local governments with the information necessary to ensure that all stages of offshore renewable energy projects are conducted in a manner to protect both the human and natural environments. The Outer Continental Shelf Lands Act (OCSLA) Section 1345 authorizes the use of cooperative agreements with affected states to meet the requirements of OCSLA, including sharing information, joint utilization of available expertise, formation of joint monitoring arrangements to carry out applicable Federal and state laws, regulations, and stipulations relevant to outer continental shelf operations both onshore and offshore.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

The assistance can be used to acquire information to satisfy the information needs associated with leasing and plan review for renewable energy development on the outer continental shelf. Applications must be for projects which are within BOEM's area of responsibility.

Applicant Eligibility:

State agencies and public universities may apply. More than one institution may collaborate in the preparation of an application for assistance.

Beneficiary Eligibility:

Research scientists, Federal, state and local decision makers, Native American Organizations, and the general public will ultimately benefit from the program.

Credentials/Documentation:

Proposals must furnish evidence of the applicant's qualifications for performance of the proposed project. This includes submission of proposals that describe the proposed activities, identify methods for accomplishing the activities, and/or describe the process for ensuring that qualified personnel are used to conduct the activities. The regulations at 2 CFR 220 Cost Principles for Educational Institutions (OMB Circular A-21) and 2 CFR 225 Cost Principles for State, Local and Indian Tribal Governments (OMB Circular No. A-87) apply to this program. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Limited discussions regarding funding availability and program interest are permitted. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. The regulations under 43 CFR Part 12 apply to this program. Applicants are required to post their applications on www.grants.gov.

Award Procedure:

Awards are made on the basis of competitive peer review of proposals by a panel consisting of government research managers and external reviewers, as appropriate. Criteria for judging proposals may include mission relevance, technical merit, and the quality and appropriateness of the personnel, project management, logistics plan, safety management, permits and interagency coordination, budget/matching funds, and where appropriate, minimizing of impacts. Notification of an award is issued. It is anticipated that five applicants will be received and five cooperative agreement awards will be made in FY 2013.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 - 270 days.

Appeals:

Not Applicable.

Renewals:

Renewals can be made through submission and approval of a project agreement amendment.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: Cost sharing of 1:1 is encouraged.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Cooperative agreements will normally be awarded for 1 to 5 years, with funding awarded as a lump sum, or added incrementally as funds become available. Method of awarding/releasing assistance: lump sum.

Reports:

Program reports are not applicable. Cash reports are not applicable. Quarterly progress reports; draft and final report; draft and final technical summary; data must be made publicly available. Progress reporting requirements are met through the quarterly progress report. Annual financial reports and a final report using the Federal Financial Report SF-425 are also required. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000

or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

All records must be maintained for 3 years after submission of the final financial report.

Account Identification:

14-1917-0-1-302.

Obligations:

(Cooperative Agreements) FY 12 Not Available; FY 13 est \$2,000,000; and FY 14 est \$2,000,000 - FY 2015 est. \$2,000,000.

Range and Average of Financial Assistance:

Range is \$100,000 to \$1,000,000; Average \$500,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Geological Survey of the Wind Energy Area off the Virginia Coast, Field Surveys and Marine Resource Characterization for Offshore Wind Energy Planning in Massachusetts Wind Energy Area. Fiscal Year 2013: No Current Data Available. Fiscal Year 2014: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Information about plan requirements can be found in 30 CFR Part 585.

Regional or Local Office:

None.

Headquarters Office:

Mary Boatman 381 Elden Street, HM 3115, Herndon, Virginia 20170 Email: mary.boatman@boem.gov Phone: (703) 787-1662 Fax: (703) 787-1708

Website Address:

<http://www.boem.gov/>

RELATED PROGRAMS:

15.423 Minerals Management Service (MMS) Environmental Studies Program (ESP); 15.424 Marine Minerals Activities

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Geological Survey of the Wind Energy Area off the Virginia Coast, Field Surveys and Marine Resource Characterization for Offshore Wind Energy Planning in the Massachusetts Wind Energy Area. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Panels of BOEM, and non-BOEM scientist (government, public and private sector as appropriate), review and evaluate proposals. Criteria for judging proposals may include mission relevance, technical merit, and the quality and appropriateness of the personnel, including past performance and publication record, project management, logistics plan, safety management, permits and interagency coordination, budget/matching funds, and where appropriate, minimization of impacts.

15.421 ALASKA COASTAL MARINE INSTITUTE

(ALASKA CMI)

FEDERAL AGENCY:

Bureau of Ocean Energy Management, Department of the Interior

AUTHORIZATION:

43 U.S.C. 1345 (e); 43 U.S.C. 1346 Section 20.

OBJECTIVES:

The Bureau of Ocean Energy Management (BOEM) provides major economic and energy benefits on a national and local level to the taxpayers, States and the American Indian community. The BOEM oversees the exploration and development of oil, natural gas and other minerals and renewable energy alternatives on the Nations outer continental shelf. BOEM continues to look for better ways to serve the American people and to ensure that the Nation receives the best value for its resources now and into the future. The program not only supports decisions made within the Department of Interior, but also provides coastal States and local governments with the information necessary to ensure

that all stages of offshore energy and mineral activities are conducted in a manner to protect both the human and natural environments. Outer Continental Shelf Lands Act (OCSLA) Section 1346 mandates the conduct of environmental and socioeconomic studies needed for the assessment and management of environmental impacts on the human, marine, and coastal environments which may be affected by oil and gas or other mineral development. OCSLA Section 1345 authorizes the use of cooperative agreements with affected States to meet the requirements of OCSLA, including sharing of information, joint utilization of available expertise, formation of joint monitoring arrangements to carry out applicable Federal and State laws, regulations, and stipulations relevant to outer continental shelf operations both onshore and offshore. The purpose of the Alaska Coastal Marine Institute is to use highly qualified scientific expertise at local levels to collect and disseminate environmental information needed for OCS oil and gas and marine minerals decisions; address local and regional OCS-related environmental and resource issues of mutual interest; and strengthen the BOEM-State partnership in addressing OCS oil and gas and marine minerals information needs.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

The Environmental Studies Program allocates up to \$100,000 per year for cooperative research at the University of Alaska. The University of Alaska invites proposals that are reviewed by a Technical Steering Committee including the University, State of Alaska, and BOEM, and funds individual awards. Applications must be for scientific research projects which are within the BOEM' area of responsibility. The majority of funds are used to support research that will enhance understanding of long-term effects of oil and gas, and marine minerals projects. Funds can also be used for attendance at national conferences for the dissemination of project results. Funds are not normally used for capitalization.

Applicant Eligibility:

University of Alaska may make application for support by a named principal investigator. Non-UA scientists may participate in collaboration with a UA principal investigator.

Beneficiary Eligibility:

Research scientists, Federal, State and local decision-makers, Native American Organizations, and the general public will ultimately benefit from the program.

Credentials/Documentation:

Evidence of the applicants qualifications for performance of the proposed research including past professional publications, resumes, and lists of past projects. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Limited discussions regarding funding availability and program interest are permitted. Environmental Impact Statements are not required for these projects. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Letters of intent responding to particular information needs/areas will be requested. From these, selected full proposals will be invited for mission-relevant research likely to provide needed information for OCS oil and gas resource management decisions. Proposals must include a full statement of work, estimated budget, and resumes.

The standard SF-424 application forms required by 2 CFR Part 215.12, and the Department of the Interior Code of Federal Regulations at 43 CFR Part 12, Subpart F, or its revisions, must be used.

Award Procedure:

Awards are made on the basis of a competitive peer review of proposals by a panel consisting of government research managers and external reviewers, as appropriate. Criteria for judging proposals include mission relevance, technical merit, and the quality and appropriateness of the personnel including past performance and publication record, project management, logistics plan, safety management, permits and interagency coordination, outreach, budget/matching funds, and where appropriate, minimization of impacts. Notification of an

award is issued. It is anticipated that 6 applications will be received and 6 awards will be granted in FY 2013.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 150 to 360 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching Requirements: Recipients share costs on a one-dollar-for-one-dollar contribution across the program. In any fiscal year, the collective CMI match commitment must equal or exceed BOEM contributions. On rare occasions exceptions may be considered. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Cooperative agreements will normally be awarded for 1 to 5 years, with funding awarded as a lump sum, or added as funds become available. See the following for information on how assistance is awarded/released: Information not available.

Reports:

Quarterly progress reports and an annual report on the progress of the project funded under the cooperative agreement and presentations at a session of the publicly held BOEM Information Transfer Meetings are required. A draft final, proof copy, and final report; draft and final technical summary; articles based on the research published in journals, and presentation slides are required for each award. Cash reports are not applicable. Progress reports are not applicable. Annual and final Federal Financial Reports using OMB Standard Form SF-425 are required. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

All records must be maintained for 3 years after submission of the final financial report.

Account Identification:

14-1917-0-1-302.

Obligations:

(Cooperative Agreements) FY 12 \$748,610; FY 13 est \$1,000,000; and FY 14 est \$100,000

Range and Average of Financial Assistance:

Range is \$12,000 to \$360,000; Average \$100,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Three new projects received funding in FY 2012. Projects include outreach materials on the bowhead whale, dispersal and distribution patterns of dolly varden fish, and trace metals and hydrocarbons in the Chukchi Sea. Fiscal Year 2013: No Current Data Available. Fiscal Year 2014: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

The Environmental Studies Program is described in 30 CFR Chapter II Subchapter B Subpart M Studies. Final Reports are available online in the BOEM Environmental Studies Program Information System (ESPIS) at: <http://www.boem.gov/Environmental-Stewardship/Data-and-Information-System.ms.aspx>.

Regional or Local Office:

See Regional Agency Offices. Heather Crowley, Telephone: 907-334-5281; Fax 907-334-5242; Email address: heather.crowley@boem.gov.

Headquarters Office:

Rodney Cluck Division of Environmental Sciences, Bureau of Ocean Energy Management, 381 Elden Street, HM 3115, Herndon, Virginia 20170 Email: rodney.cluck@boem.gov Phone: (703) 787-1087 Fax: (703) 787-1053

Website Address:

<http://www.boem.gov>

RELATED PROGRAMS:

15.422 Louisiana State University (LSU) Coastal Marine Institute (CMI); 15.423 Minerals Management Service (MMS) Environmental Studies Program (ESP)

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Satellite-Tracked Drifter Measurements in the Northeast Chukchi Sea, A Year in the Life of a Bowhead Whale, Dispersal Patterns and Summer Ocean Distribution of Adult Dolly Varden from the Wulik River, Evaluating Chukchi Sea Trace Metals and Hydrocarbons Sourced from Nearby Coastal Rivers. Fiscal Year 2013: Not Available. Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Panels of BOEM, and non-BOEM scientists (government, public and private sector as appropriate), review and evaluate proposals. Criteria for judging proposals include mission relevance, technical merit, and the quality and appropriateness of the personnel including past performance and publication record, project management, logistics plan, safety management, permits and interagency coordination, budget/matching funds, and where appropriate, minimization of impacts.

15.422 LOUISIANA STATE UNIVERSITY (LSU) COASTAL MARINE INSTITUTE (CMI)

(LSU CMI)

FEDERAL AGENCY:

Bureau of Ocean Energy Management, Department of the Interior

AUTHORIZATION:

43 U.S.C. 1345 (e); 43 U.S.C. 1346 Section 20.

OBJECTIVES:

The Bureau of Ocean Energy Management (BOEM) provides major economic and energy benefits on a national and local level to the taxpayers, States and the American Indian community. The BOEM oversees the exploration and development of oil, natural gas and other minerals and renewable energy alternatives on the Nations outer continental shelf. BOEM continues to look for better ways to serve the American people and to ensure that the Nation receives the best value for its resources now and into the future. The program not only supports decisions made within the Department of Interior, but also provides coastal States and local governments with the information necessary to ensure that all stages of offshore energy and mineral activities are conducted in a manner to protect both the human and natural environments. Outer Continental Shelf Lands Act Section 1346 mandates the conduct of environmental and socioeconomic studies needed for the assessment and management of environmental impacts on the human, marine, and coastal environments which may be affected by oil and gas or other mineral development. OCSLA Section 1345 authorizes the use of cooperative agreements with affected States to meet the requirements of OCSLA, including sharing of information, joint utilization of available expertise, formation of joint monitoring arrangements to carry out applicable Federal and State laws, regulations, and stipulations relevant to outer continental shelf operations both onshore and offshore. The purpose of the Louisiana State University Coastal Marine Institute (CMI) is to use highly qualified scientific expertise at local levels to collect and disseminate environmental information needed for OCS oil and gas and marine minerals decisions; address local and regional OCS-related environmental and resource issues of mutual interest; and strengthen the BOEM-State partnership in addressing OCS oil and gas and marine minerals information needs.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

The Environmental Studies Program allocates \$100,000 for cooperative research via LSU. Applications must be for scientific research projects which are within the BOEM area of responsibility. The majority of funds are used to support research that will enhance understanding of long-term effects of oil and gas, marine minerals and alternate energy development projects. Funds can also be used for attendance at national conferences for the dissemination of project results. Funds are not normally used for capitalization.

Applicant Eligibility:

To apply for a research award, the recipient is asked to provide the name of the Principal Investigator. If an applicant other than LSU wants to apply, they must do so in collaboration with an LSU research scientist.

Beneficiary Eligibility:

Research scientists, Federal, State and local decision-makers, Native American Organizations, and the general public will ultimately benefit from the program.

Credentials/Documentation:

Evidence of the applicants qualifications for performance of the proposed research including past professional publications, resumes, and lists of past projects must be provided. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Limited discussions regarding funding availability and program interest are permitted. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Letters of intent responding to particular information needs/areas will be requested. From these, selected full proposals will be invited. Applicants shall post their applications on Grants.gov. The standard SF-424 application forms required by 2 CFR Part 215.12, and the Department of the Interior Code of Federal Regulations at 43 CFR Part 12, Subpart F, or its revisions, must be used.

Award Procedure:

Awards are made on the basis of a competitive peer review of proposals by a panel consisting of government research managers and external reviewers, as appropriate. Criteria for judging proposals include mission relevance, technical merit, and the quality and appropriateness of the personnel including past performance and publication record, project management, logistics plan, safety management, permits and interagency coordination, budget/matching funds, and where appropriate, minimization of impacts. Notification of an award is issued. It is anticipated that twelve applications will be received and six awards will be granted in FY 2013.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 180 to 270 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching Requirements: Recipients share costs on a dollar-for-dollar contribution across the program. In any fiscal year, the collective LSU CMI match commitment must equal or exceed BOEM contributions. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Cooperative agreements will normally be awarded for 1 to 5 years, with funding awarded as a lump sum, or added as funds become available. See the following for information on how assistance is awarded/released: Information

not available.

Reports:

Quarterly progress reports and an annual report on the progress of the project funded under the cooperative agreement and presentations at a session of the publicly held BOEM Information Transfer Meetings are required. A draft final, proof copy, and final report; draft and final technical summary; articles based on the research published in journals, and presentation slides are required for each award. Cash reports are not applicable. Progress reports are not applicable. Annual and financial reports using the Federal Financial Report SF-425 are required. Applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

All records must be maintained for 3 years after submission of the final financial report.

Account Identification:

14-1917-0-1-302.

Obligations:

(Cooperative Agreements) FY 12 \$1,000,000; FY 13 est \$1,000,000; and FY 14 est \$1,000,000

Range and Average of Financial Assistance:

Range is \$2,500,000 to \$330,000; Average \$165,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Four new projects received funding in FY 2012. Studies are investigating the preservation potential of pre-historic cultural resources and sand resources, cataloging benthic fauna specimens, evaluating rigs as habitat for new fish recruits, and testing genetic affinities of invasive corals. The projects undertaken represent the shared values and sense of stewardship for living and historic cultural resources and environmental protection. They contribute to an informed public discourse and decisions related to offshore energy and mineral development. Fiscal Year 2013: No current data available. Fiscal Year 2014: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

The Environmental Studies Program is described in 30 CFR Chapter II Subchapter B Subpart M Studies. Final reports are available online in the BOEM Environmental Studies Program Information System (ESPIS) at: <http://www.boem.gov/Environmental-Stewardship/Data-and-Information-System.aspx>.

Regional or Local Office:

See Regional Agency Offices. Heather Crowley, Telephone: 907-334-5281 Fax: 907-334-5242; E-mail: heather.crowley@boem.gov.

Headquarters Office:

Rodney Cluck Division of Environmental Sciences, Bureau of Ocean Energy Management, 381 Elden Street, HM 3115, Herndon, Virginia 20170 Email: rodney.cluck@boem.gov Phone: (703) 787-1087 Fax: (703) 787-1053.

Website Address:

<http://www.boem.gov>

RELATED PROGRAMS:

15.421 Alaska Coastal Marine Institute; 15.423 Minerals Management Service (MMS) Environmental Studies Program (ESP)

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Late Quaternary Stream and Estuarine Systems to Holocene Sea Level Rise on the OCS Louisiana and Mississippi: Preservation Potential of Pre-Historic Cultural Resources and Sand Resources, Optimization of Non-Voucher Gulf of Mexico Benthic Fauna Specimen Archives: A

Cooperative Effort with the U.S. National Museum of Natural History, Evaluating the Importance of Shallow Water Rigs as Habitat for Newly Recruited Reef Associated Fishes in the OCS off Louisiana, Genetic Affinities in Populations of the Invasive Indo-Pacific Coral *Tubastraea micranthus* on Northern Gulf of Mexico Platforms: Multiple Invasions. Fiscal Year 2013: No Current Data Available. Fiscal Year 2014: No Current Data Available.

CRITERIA FOR SELECTING PROPOSALS:

Panels of BOEM, and non-BOEM scientists (government, public and private sector as appropriate), review and evaluate proposals. Criteria for judging proposals include mission relevance, technical merit, and the quality and appropriateness of the personnel including past performance and publication record, project management, logistics plan, safety management, permits and interagency coordination, budget/matching funds, and where appropriate, minimization of impacts.

15.423 BUREAU OF OCEAN ENERGY MANAGEMENT (BOEM) ENVIRONMENTAL STUDIES PROGRAM (ESP)

(ESP)

FEDERAL AGENCY:

The Bureau of Ocean Energy Management, Regulation, and Enforcement , Department of the Interior

AUTHORIZATION:

43 U.S.C. 1345 (e); 43 U.S.C. 1346 Section 20.

OBJECTIVES:

The Bureau of Ocean Energy Management (BOEM) provides major economic and energy benefits on a national and local level to the taxpayers, states and the American Indian community. The BOEM oversees the exploration and development of oil, natural gas and other minerals and renewable energy alternatives on the nations outer continental shelf. BOEM continues to look for better ways to serve the American people and to ensure that the Nation receives the best value for its resources now and into the future. The program not only supports decisions made within the Department of Interior, but also provides coastal States and local governments with the information necessary to ensure that all stages of offshore energy and mineral activities are conducted in a manner to protect both the human and natural environments. The Outer Continental Shelf Lands Act (OCSLA) Section 1346 mandates the conduct of environmental and socioeconomic studies needed for the assessment and management of environmental impacts on the human, marine, and coastal environments which may be affected by oil and gas or other mineral development. OCSLA Section 1345 authorizes the use of cooperative agreements with affected States to meet the requirements of OCSLA, including sharing of information, joint utilization of available expertise, formation of joint monitoring arrangements to carry out applicable Federal and State laws, regulations, and stipulations relevant to outer continental shelf operations both onshore and offshore. The purpose of the Environmental Studies Program is to obtain the information needed for the assessment and the management of environmental impacts; to predict impacts on marine biota; and to monitor the human, marine, and coastal environments to provide time series and data trend information.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

The assistance can be used to conduct environmental research to satisfy the information needs associated with oil and gas, alternate energy and marine mineral development on the outer continental shelf. Applications must be for scientific research projects which are within the BOEM' area of responsibility. The majority of funds are used to support research that will enhance understanding of long-term effects of oil and gas, marine minerals and alternate energy development projects. Funds can also be used for attendance at national conferences for the dissemination of project results. Funds are not normally used for capitalization.

Applicant Eligibility:

State agencies and public universities may apply. More than one institution may collaborate in the preparation of an application for assistance.

Beneficiary Eligibility:

Research scientists, Federal, State and local decision-makers, Native American Organizations, and the general public will ultimately benefit from the program.

Credentials/Documentation:

Evidence of the applicant's qualifications for performance of the proposed research including past professional publications, resumes, and lists of past projects. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Limited discussions regarding funding availability and program interest are permitted. Applicants should consult the office or official designated as the single point of contact in their State to find out if the State has selected this program for review and for information on the process the State requires when applying for Federal assistance. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Applicants shall post their applications on www.grants.gov. The standard SF-424 application forms required by OMB Circular A-102, 2 CFR Part 215.12, and the Department of the Interior Code of Federal Regulations at 43 CFR Part 12, Subparts C and F, or its revisions, must be used.

Award Procedure:

Awards are made on the basis of a competitive peer review of proposals by a panel consisting of government research managers and external reviewers, as appropriate. Criteria for judging proposals include mission relevance, technical merit, and the quality and appropriateness of the personnel, project management, logistics plan, safety management, permits and interagency coordination, budget/matching funds, and where appropriate, minimization of impacts. Notification of an award is issued. It is anticipated that five applications will be received and five new cooperative agreement awards will be granted in FY 2013.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 - 270 days.

Appeals:

Not Applicable.

Renewals:

Renewals can be made through submission and approval of a project agreement.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: No statutory requirements. Cost sharing of 1:1 is encouraged.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Cooperative agreements will normally be awarded for 1 to 5 years, with funding awarded as a lump sum, or added as funds become available. Method of awarding/releasing assistance: lump sum.

Reports:

Quarterly progress reports; a presentation at periodic BOEM Information Transfer Meetings; a draft final, proof copy, and final report; draft and final technical summary; articles based on the research published in journals, and presentation slides are required. Dissemination of the research results at professional society meetings and symposia is encouraged. Cash reports are not applicable. Progress reports are not applicable. Annual and final financial reports using the Federal Financial Report SF-425 are also required. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

All records must be maintained for 3 years after submission of the final financial report.

Account Identification:

14-1917-0-1-302.

Obligations:

(Cooperative Agreements) FY 12 \$9,704,989; FY 13 est \$6,107,029; and FY 14 est \$10,000,000

Range and Average of Financial Assistance:

Range is \$100,000 to \$2,000,000; Average \$330,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Nineteen projects received funding in FY 2012 ranging from the study of oil and dispersed oil-sediment interactions in Deepwater environments to developing protocols for reconstructing submerged paleocultural landscapes and identifying ancient Native American archaeological sites in submerged environments. The projects undertaken represent the shared values and sense of stewardship for living and historic cultural resources and environmental protection. They contribute to an informed public discourse and decisions related to offshore energy and mineral development. Fiscal Year 2013: No Current Data Available. Fiscal Year 2014: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

The Environmental Studies Program is described in 30 CFR Chapter II Subchapter B Subpart M-Studies. Final reports are available online in the BOEM Environmental Studies Information System at: <http://www.boem.gov/Environmental-Stewardship/Data-and-Information-System.aspx>

Regional or Local Office:

None.

Headquarters Office:

Rodney Cluck Bureau of Ocean Energy Management, 381 Elden Street, HM-3115, Herndon, Virginia 20170 Email: rodney.cluck@boem.gov Phone: (703) 787-1087 Fax: (703) 787-1053

Website Address:

<http://www.boem.gov>

RELATED PROGRAMS:

15.421 Alaska Coastal Marine Institute; 15.422 Louisiana State University (LSU) Coastal Marine Institute (CMI)

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Deep-Water Reconnaissance of Potentially Sensitive Biological Features (PSBF's) Surrounding Shelf-Edge Topographic Banks in the Northern Gulf of Mexico; Abundance and Distribution of Commercially Important Estuarine Dependent Species Populations within the Gulf of Mexico; Assessing Vulnerability of Sectors and Regions to OCS Oil and Gas Industry Volatility; Oil/Dispersed Oil-Sediment Interactions in Deepwater Environments; Social Impacts of Deepwater Horizon Oil Spill on Coastal Communities along the U.S. Gulf of Mexico; Developing Protocols for Reconstructing Submerged Paleocultural Landscapes and Identifying Ancient Native American Archaeological Sites in Submerged Environments; Atlantic Offshore Wind Energy Development: Values and Implications for Recreation and Tourism; Beaufort Sea Marine Fish Monitoring: Pilot Survey in the Central Beaufort Sea; Hanna Shoal Ecosystem Study; Distribution of Fish, Crab and Lower Trophic Communities in the Chukchi Sea Lease Area; ANIMIDA III: Arctic Kelp Communities in the Beaufort Sea: Sentinels of Long-Term Change; Characterization of the Circulation on the Continental Shelf Areas of

the Northeast Chukchi and Western Beaufort Seas; U.S. Canada Transboundary Fish and Lower Trophic Communities; Application of High-Frequency Radar to Potential Hydrocarbon Development Areas in the Northeast Chukchi Sea; MMS MARINE - Multiagency Rocky Intertidal Network; Analysis of Fish Populations at Platforms off Summerland California; Biological Productivity of Fish Associated with Offshore Oil and Gas Structures on the Pacific OCS; Oregon Marine Renewable Energy Science Conference; Improving Oil-Spill Risk Analysis in the Gulf of Mexico: A Multi-Model Approach - Part A Data Assimilative Ocean Hindcast for Oil Spill Risk Analysis in the Gulf of Mexico. Fiscal Year 2013: No Current Data Available. Fiscal Year 2014: No Current Data Available.

CRITERIA FOR SELECTING PROPOSALS:

Panels of BOEM, and non-BOEM scientists (government, public and private sector as appropriate), review and evaluate proposals. Criteria for judging proposals include mission relevance, technical merit, and the quality and appropriateness of the personnel, including past performance and publication record, project management, logistics plan, safety management, permits and interagency coordination, budget/matching funds, and where appropriate, minimization of impacts.

15.424 MARINE MINERALS ACTIVITIES - HURRICANE SANDY
(Marine Minerals Activities)

FEDERAL AGENCY:

The Bureau of Ocean Energy Management, Regulation, and Enforcement, Department of the Interior

AUTHORIZATION:

Outer Continental Shelf (OCS) Lands Act, 43 U.S.C. 1331.

OBJECTIVES:

To evaluate OCS sand deposits for coastal restoration and beach nourishment needs, and to foster good working relationships regarding OCS mineral issues with coastal States due to effects from hurricanes and coastal erosion. This can be accomplished through a variety of activities such as conducting state needs assessments, geophysical and geological surveys of specific areas on the OCS, analysis of existing or new geophysical and geological data to delineate new borrow areas, offshore bathymetry research, seismic data analysis and interpretation, application of marine resistivity or other geophysical techniques for sand resource identification, and cultural resources identification.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

The funds must be spent for OCS-related sand activities. These cooperative agreements are awarded to assist States in order to identify needs and sand supplies for beach nourishment projects.

Applicant Eligibility:

Proposals are received from coastal States in need of coastal restoration.

Beneficiary Eligibility:

State agencies and organizations participating in the project, as well as the general public.

Credentials/Documentation:

States submit proposals to BOEM, which furnish evidence of the applicant's qualifications for performance of the proposed research. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

A Notice of Funding Availability will be posted on Grants.gov notifying applicants that BOEM is accepting proposals. Qualified applicants will have an opportunity to define their requirements and needs through the submittal of a proposal. After review, BOEM, and potentially other Federal agencies, will review the applications and chose award recipients.

Applicants should consult the office of official designated as the single point of contact in their State to find out if the State has selected this program for review and for information on the process the State requires when applying for Federal assistance. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official

designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. This program is subject to the Department of the Interior regulations at 43 CFR Part 12, or any subsequent revisions. The standard SF-424 application forms must be used for this program. Applicants will be asked to submit their proposals electronically through Grants.gov.

Award Procedure:

BOEM and potentially representatives from other Federal agencies will review and discuss the States' proposals and make the final decision on the funding for a project. A notification of a cooperative agreement award is issued so the project can begin.

Deadlines:

Dec 02, 2013 Funding requests are due 60 days after the Notice of Funding Availability is posted on Grants.gov.

Range of Approval/Disapproval Time:

90 days.

Appeals:

Not Applicable.

Renewals:

Projects that were approved and funded during the previous fiscal year may be submitted as renewals for subsequent years unless otherwise stated in the Notice of Funding Availability.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: The Leasing Division-Marine Minerals Program encourages a 1-to-1 match of each Federal dollar with a nonfederal dollar. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Cooperative agreements will normally be awarded in 12-month budget periods. See the following for information on how assistance is awarded/released: Information not available.

Reports:

Annual and final technical reports are required. Cash reports are not applicable. Applicable. Annual and final financial reports using the OMB SF-425 form are required. Applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

All records must be maintained for 3 years after submission of the final financial report.

Account Identification:

14-1917-0-0-306.

Obligations:

(Cooperative Agreements) FY 12 \$0; FY 13 est \$500,000; and FY 14 est \$1,000,000

Range and Average of Financial Assistance:

Range is \$30,000 to \$760,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: No Data Available. Fiscal Year 2013: No Data Available. Fiscal Year 2014: No Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations include the OCS Lands Act, Endangered Species Act, Magnuson-Stevens Fisheries Conservation Act, the National Environmental Policy Act (NEPA) of 1969, and the Coastal Zone Management Act.

Regional or Local Office:

See Regional Agency Offices. BOEM New Orleans Office: GOM OCS Region, 1201 Elmwood Park Blvd., New Orleans, LA 70123-2394; BOEM Pacific Office: 770 Paseo Camarillo, 2nd Floor, Camarillo, CA 93010.

Headquarters Office:

Chief BOEM, Leasing Division, 381 Elden Street, MS-4010, Herndon, Virginia 20170 Phone: (703) 787-1215

Website Address:

<http://www.boem.gov/MarineMineralsProgram>

RELATED PROGRAMS:

15.423 Minerals Management Service (MMS) Environmental Studies Program (ESP)

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Data Available. Fiscal Year 2013: No Data Available. Fiscal Year 2014: No Data Available.

CRITERIA FOR SELECTING PROPOSALS:

The most important criteria are the relevance to State and Federal needs, technical ability of the State agency, and cost of the project.

15.427 FEDERAL OIL AND GAS ROYALTY MANAGEMENT STATE AND TRIBAL COORDINATION

(FOGRMA)

FEDERAL AGENCY:

Office of Natural Resources Revenue, Department of the Interior

AUTHORIZATION:

Federal Oil and Gas Royalty Management Act of 1982, Public Law 97-451, as amended.

OBJECTIVES:

To ensure that all oil, gas, and solid minerals originated on the public lands and on the Outer Continental Shelf are properly accounted for under the direction of the Secretary of the Interior, and for other purposes.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

All projects are restricted to State and Federally recognized Tribal Governments with audit agreements executed in compliance with the Authorization above. No discretionary funds are available under this program.

Applicant Eligibility:

State and Tribal Governments as specified in Title II of the Federal Oil and Gas Royalty Management Act of 1982, as amended.

Beneficiary Eligibility:

States and Federally recognized Tribal Governments that receive funds collected as a result of the compliance activities on Federal and Indian mineral leases.

Credentials/Documentation:

Must follow 30 CFR Parts 1200 to 1699 to end Mineral Resources, Government Accountability Offices (GAO) Generally Accepted Government Audit Standards (Yellowbook), and Office of Natural Resources Revenue Audit and Compliance Procedures. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

An applicant should consult the office or official designated as the single point-of-contact in his or her State for more information on the process the State requires in applying for assistance, if the State has selected the program for review. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the

State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. For grants awarded, the standard application forms furnished by the Federal agency as required by 43 CFR Part 12, Subpart C, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments" will apply. Also, reference the procedures outlined in 30 CFR 1227.103 entitled, "What must a States delegation proposal contain?" For Indian tribes reference the procedures outlined in 30 CFR 1228.100 entitled, "Entering into an Agreement."

Award Procedure:

Projects are reviewed at the Office of Natural Resources Revenue (ONRR) State and Tribal Support (STS). Program level and funding recommendations are made through each State and Tribe's annual work plan and budget. Final budget approvals rest with the ONRR Director's Washington Office.

Deadlines:

Apr 01, 2013 For recipients on the Federal fiscal year cycle, applications are due on July 1st of each year. For recipients operating on an alternative fiscal year cycle, such as the State fiscal year cycle, applications are due 90 days prior to award.

Range of Approval/Disapproval Time:

New projects are approved through the project review and budget cycle that normally requires 90 days to receive funding.

Appeals:

Not Applicable.

Renewals:

For State agreements, 30 CFR 1227.110 entitled, When and for how long are delegation agreements effective? For Tribal agreements, 30 CFR 1228.101 entitled, Terms of Agreement..

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

No specific restrictions, however, projects are funded on a year to year basis, and funds are expended during a particular fiscal year. See the following for information on how assistance is awarded/released: Funding is drawn down either monthly or quarterly throughout the fiscal year.

Reports:

No program reports are required. Cash reports are not applicable. Progress reports are required quarterly. Federal Financial Reports (SF 425) are required at the end of the yearly performance period. Performance reports are required quarterly.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records relating to work performed and costs are kept by ONRR. There is no fixed records schedule. Records for grants awarded to State and Local Governments will be maintained in accordance with the provisions of 43 CFR Part 12, Subpart C, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments."

Account Identification:

14-1917-0-1-302.

Obligations:

(Project Grants) FY 12 \$12,100,000; FY 13 Estimate Not Available; and FY 14 Estimate Not Available

Range and Average of Financial Assistance:

Awards range from \$200,000 to \$3 million. Average is \$500,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: For fiscal year 2012, 16 applications were received and awarded. Fiscal Year 2013: Information is not available. Fiscal Year 2014: Information is not available.

REGULATIONS, GUIDELINES, AND LITERATURE:

ONRRs cooperative (tribal) and delegated (State) audit program is basically guided by the provisions in 30 CFR Parts 1227 and 1228. States and Tribes use the guidance contained in the GAO Yellowbook and two ONRR procedural manuals for audits and compliance activities.

Regional or Local Office:

See Regional Agency Offices. Ms. Heidi Badaracco, P.O. Box 25165, Mail Stop 64110C, Denver, CO 80225-0165. Telephone: (303) 231-3434, Fax: (303) 445-9897, Email: heidi.badaracco@onrr.gov.

Headquarters Office:

Director Office of Natural Resources Revenue, 1849 C Street, N.W., Mail Stop 4211, Washington, District of Columbia 20240 Phone: (202) 513-0600

Website Address:

<http://www.onrr.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: States: Alaska, California, Colorado, Montana, New Mexico, North Dakota, Oklahoma, Texas, Utah, and Wyoming.
Tribes: Blackfeet, Navajo Nation, Shoshone and Arapaho, Southern Ute, Northern Ute, and Ute Mountain Ute. Fiscal Year 2013: States: Alaska, California, Colorado, Montana, New Mexico, North Dakota, Oklahoma, Texas, Utah, and Wyoming.
Tribes: Blackfeet, Navajo Nation, Shoshone and Arapaho, Southern Ute, Northern Ute, and Ute Mountain Ute. Fiscal Year 2014: States: Alaska, California, Colorado, Montana, New Mexico, North Dakota, Oklahoma, Texas, Utah, and Wyoming.
Tribes: Blackfeet, Navajo Nation, Shoshone and Arapaho, Southern Ute, Northern Ute, and Ute Mountain Ute.

CRITERIA FOR SELECTING PROPOSALS:

Criteria used to select assistance proposals are based on a balanced review including relevance to program objectives, merit, and cost effectiveness in accordance with the requirements in 30 CFR 1227 for States and 30 CFR 1228 for Indian Tribes.

15.428 MARINE GAS HYDRATE RESEARCH ACTIVITIES

FEDERAL AGENCY:

Bureau of Ocean Energy Management, Department of the Interior

AUTHORIZATION:

Outer Continental Shelf (OCS) Lands Act, 43 U.S.C. 1331, Gas Hydrates Research Act and the Marine Minerals Research Act.

OBJECTIVES:

To characterize and monitor gas hydrate deposits and environmental conditions on OCS Block Mississippi Canyon 118 (MC-118) in the Gulf of Mexico.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

The funds must be used for gas hydrate research activities in the OCS of the Gulf of Mexico, specifically for a permanent gas hydrates monitoring station in Block MC-118. The cooperative agreements are awarded to the Center for Marine Resources and Environmental Technology (CMRET) and the Gulf of Mexico Gas Hydrates Research Consortium (GOMGHRC), based at the University of Mississippi.

Applicant Eligibility:

Proposals are received from the CMRET (and qualified subcontractors through CMRET) for research and scientific sensory equipment for the monitoring

station. Applicants must have expertise in marine gas hydrates.

Beneficiary Eligibility:

Organizations participating in the project, as well as the general public.

Credentials/Documentation:

Technical Proposals are submitted to the BOEM which furnish evidence of the applicant's qualifications for performance of the proposed research. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Applicants attended workshops and general meetings of the Gulf of Mexico Gas Hydrates Research Consortium held by the CMRET at the University of Mississippi. Research requirements are developed at these meetings for the upcoming year. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. This program is subject to the Department of the Interior regulations at 43 CFR Part 12, Subparts C and D, or any subsequent revisions. The standard SF-424 application forms must be used for this program. Applicants will be asked to submit their proposals electronically through Grants.gov.

Award Procedure:

An internal BOEM review of the proposals is conducted including convening a panel to discuss the proposals and making the final decision on the funding for the project. A notification of a cooperative agreement award is issued so the project can begin.

Deadlines:

Jun 03, 2013 The first Monday of June of each year, as long as the BOEM has received specific funding for this program.

Range of Approval/Disapproval Time:

90 days.

Appeals:

Not Applicable.

Renewals:

Projects that were approved and funded during the previous fiscal year may be submitted as renewals for subsequent years.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching Requirements: The BOEM Gas Hydrates Research Program encourages matched contributions from other interested Federal agencies. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Cooperative agreements will normally be awarded in 12-month budget periods. See the following for information on how assistance is awarded/released: Information not available.

Reports:

Annual and final technical reports are required. Cash reports are not applicable. Progress reports are not applicable. Annual and final financial reports using the OMB SF-425 form are required. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

All records must be maintained for 3 years after submission of the final financial report.

Account Identification:

14-1917-0-1-302.

Obligations:

(Cooperative Agreements) FY 12 \$0; FY 13 est \$0; and FY 14 est \$0

Range and Average of Financial Assistance:

\$0 to \$0.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: No funding. Fiscal Year 2013: No funding. Fiscal Year 2014: No expected funding.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations include the OCS Lands Act, Gas Hydrates Research Act, and the Marine Minerals Research Act (1996).

Regional or Local Office:

See Regional Agency Offices. BOEM New Orleans Office, GOM OCS Region, 1201 Elmwood Park Blvd., New Orleans, LA 70123-2394.

Headquarters Office:

Matthew Frye BOEM Resource Evaluation Division, 381 Elden Street, MS-4070, Herndon, Virginia 20170-4817 Phone: (703) 787-1514

Website Address:

<http://www.boem.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Data Available. Fiscal Year 2013: No Data Available. Fiscal Year 2014: No Data Available.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

15.429 STATE SELECT

FEDERAL AGENCY:

Office of Natural Resources Revenue, Department of the Interior

AUTHORIZATION:

Public Lands, 43 U.S.C 852 (a)(4); Minerals, Lands and Mining, 30 U.S.C 191a.

OBJECTIVES:

ONRR shares 90 percent of oil and gas royalties with the State to be paid monthly subject to late disbursement interest.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

No specific restrictions on use.

Applicant Eligibility:

Revenue from public land leasing will trigger automatic payment distribution computed in accordance with the law.

Beneficiary Eligibility:

ONRR distributes these funds to state governments for leased lands within the State and the State government has sole discretion in their use in accordance with the enabling legislation.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. No application is necessary.

Award Procedure:

Automatic distribution is made as authorized by law.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching requirements are not applicable to this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Not applicable. See the following for information on how assistance is awarded/released: Monthly automatic payment distribution.

Reports:

Not Applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Not applicable.

Account Identification:

14-5003-0-0-000.

Obligations:

(Direct Payments with Unrestricted Use) FY 12 \$527,968; FY 13 est \$520,621; and FY 14 est \$541,850

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices. U.S. Department of the Interior, Office of Natural Resource Revenue, Financial Management, Denver Federal Center, Building 85, MS 63210B, P.O. Box 25165, Denver, CO 80225-0165.

Headquarters Office:

Director U.S. Department of the Interior, Office of Natural Resources Revenue, 1849 C Street, NW, Mail Stop 4211, Washington, District of Columbia 20240
Phone: (202) 513-0600

Website Address:

<http://www.ONRR.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

15.430 8(G) STATE COASTAL ZONE**FEDERAL AGENCY:**

Office of Natural Resources Revenue, Department of the Interior

AUTHORIZATION:

Minerals, Lands and Mining, Title 43, Part 256.47(g), 30 U.S.C 191a; Public Lands, 43 U.S.C 1337.

OBJECTIVES:

ONRR shares 27 percent of mineral leasing revenue derived from any lease issued after September 18, 1978, of any Federal tract which lies wholly or partially within 3 nautical miles of the Seaward boundary of any coastal state. Shared revenue is paid monthly.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

No specific restrictions.

Applicant Eligibility:

Revenue from qualified leasing will trigger automatic payment distribution computed in accordance with the law.

Beneficiary Eligibility:

Leased Outer Continental Shelf Lands must be located within the 8(g) zone of a coastal state.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. No application is necessary.

Award Procedure:

Automatic distribution is made as authorized by law.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching requirements are not applicable to this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Not applicable. See the following for information on how assistance is awarded/released: Information not available.

Reports:

Not Applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Not applicable.

Account Identification:

14-6707-0-0-000.

Obligations:

(Direct Payments with Unrestricted Use) FY 12 \$36,658,095; FY 13 est \$35,773,241; and FY 14 est \$36,374,758

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices. U.S. Department of the Interior, Office of Natural Resources Revenue, Financial Management, Denver Federal Center, Building 85, MS 63210B, P.O. Box 25165, Denver, Colorado 80225-0165.

Headquarters Office:

Director U.S. Department of the Interior, Office of Natural Resources Revenue, 1849 C Street, NW, Mail Stop 4211, Washington, District of Columbia 20240 Phone: (202) 513-0600

Website Address:

<http://www.ONRR.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

15.431 ALASKA SETTLEMENT AGREEMENT**FEDERAL AGENCY:**

Office of Natural Resources Revenue, Department of the Interior

AUTHORIZATION:

Public Lands; January 12, 1990 Settlement, 43 U.S.C 1635(l).

OBJECTIVES:

ONRR shares 100 percent with the State of Alaska to be paid monthly subject to late disbursement interest for contracts, leases, permits, rights-of-way, or easements under Section 6 of the Alaska Statehood Act.

TYPES OF ASSISTANCE:

Direct Payments with Unrestricted Use

USES AND USE RESTRICTIONS:

No specific restrictions on use.

Applicant Eligibility:

Revenue from effected leases will trigger automatic payment distribution computed in accordance with the law.

Beneficiary Eligibility:

Limited to Leases A-028056, A-028063, A-028135, A-028143, A-028103, A-028140, A-19230, A028055, and A-028047.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. No application is necessary.

Award Procedure:

Automatic distribution is made as authorized by law.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching requirements are not applicable to this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Not applicable. See the following for information on how assistance is awarded/released: Information not available.

Reports:

Not Applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Not applicable.

Account Identification:

14-5003-0-0-000.

Obligations:

(Direct Payments for Specified Use) FY 12 \$587,436; FY 13 est \$579,261; and FY 14 est \$602,881

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices. U.S. Department of the Interior, Office of Natural Resources Revenue, Financial Management, Denver Federal Center, Building 85, MS 63210B, P.O. Box 25165, Denver Colorado 80225-0165.

Headquarters Office:

Director Office of Natural Resources Revenue, 1849 C Street, NW, Mail Stop 4211, Washington, District of Columbia 20240 Phone: (202) 513-0600

Website Address:

<http://www.ONRR.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

15.432 CALIFORNIA REFUGE ACCOUNT**FEDERAL AGENCY:**

Office of Natural Resources Revenue, Department of the Interior

AUTHORIZATION:

Public Lands; Settlement agreement dated March 25, 1997, 43 U.S.C

1337(g)(2), (3).

OBJECTIVES:

ONRR shares 40.87 percent with the State of California.

TYPES OF ASSISTANCE:

Direct Payments with Unrestricted Use

USES AND USE RESTRICTIONS:

No specific restrictions on use.

Applicant Eligibility:

Revenue from effected land leasing will trigger automatic payment distribution computed in accordance with the law.

Beneficiary Eligibility:

The State shares 38 percent of well production attributable to the portion of the well within 500 feet of the State/Federal boundary, 62 percent outside the 500 feet. Consequently, the total State share of the revenue equals 40.87 percent.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. No application is necessary.

Award Procedure:

Automatic distribution is made as authorized by law.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Distributions are made accordance with law.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Not applicable. See the following for information on how assistance is awarded/released: Information not available.

Reports:

Not Applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Not applicable.

Account Identification:

14-6707-0-0-000 - 14X6707.

Obligations:

(Direct Payments for Specified Use) FY 12 \$5,132; FY 13 est \$5,008; and FY 14 est \$5,092

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices. U.S. Department of the Interior, Office of Natural Resources Revenue, Financial Management, Denver Federal Center, Building 85, MS 63210B, P.O. Box 25165, Denver, CO 80225-0165.

Headquarters Office:

Director Office of Natural Resources Revenue, 1849 C Street, NW, Mail Stop 4211, Washington, District of Columbia 20240 Phone: (202) 513-0600

Website Address:

<http://www.ONRR.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

15.433 FLOOD CONTROL ACT LANDS

FEDERAL AGENCY:

Office of Natural Resources Revenue, Department of the Interior

AUTHORIZATION:

Minerals, Lands and Mining, 30 U.S.C. 191(a), 355(b), 33 U.S.C 701c-3, 191(a).

OBJECTIVES:

ONRR shares 75 percent of mineral leasing revenue with the State, paid monthly and is subject to late disbursement interest.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

To be used as the State legislature may prescribe for the benefit of public schools and public roads of the county or counties in which such property is situated, or for defraying any of the expenses of county government in such county or counties, including public obligations of levee and drainage districts for flood control and drainage improvements.

Applicant Eligibility:

Revenue from acquired Flood Control land leasing will trigger automatic payment distribution computed in accordance with the law.

Beneficiary Eligibility:

ONRR distributes these funds to state governments for leased lands within a state, and the State governments has sole discretion in their use in accordance with the enabling legislation.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. No application is necessary.

Award Procedure:

Automatic distribution is made as authorized by law.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Not applicable. See the following for information on how assistance is awarded/released: Information not available.

Reports:

Not Applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Not applicable.

Account Identification:

14-5248-0-0-000.

Obligations:

(Direct Payments with Unrestricted Use) FY 12 \$24,342,891; FY 13 est \$26,058,937; and FY 14 est \$36,379,850

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices. U.S. Department of the Interior, Office of Natural Resources Revenue, Financial Management, Denver Federal Center, Building 85, MS 61211B, P.O. Box 25165, Denver, CO 80225-0165.

Headquarters Office:

Director Office of Natural Resources Revenue, 1849 C Street, NW, Mail Stop 4211, Washington, District of Columbia 20240 Phone: (202) 513-0600

Website Address:

<http://www.ONRR.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

15.434 GEOTHERMAL RESOURCES**FEDERAL AGENCY:**

Office of Natural Resources Revenue, Department of the Interior

AUTHORIZATION:

Minerals, Lands, and Mining, 30 U.S.C 191a, 1019.

OBJECTIVES:

ONRR shares 50 percent of mineral leasing revenue with the State, and 25 percent with the county.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

To be used as the legislature directs giving priority to those subdivisions of the State that are socially or economically impacted by the development of minerals leased, for (1) planning, (2) construction and maintenance of public facilities, (3) provision of public service.

Applicant Eligibility:

Revenue from government owned land leasing will trigger automatic payment distribution computed in accordance with the law.

Beneficiary Eligibility:

ONRR distributes these funds to state and county governments for leased lands within the state or county and the state or county government has sole discretion in their use in accordance with the enabling legislation.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. No application is necessary.

Award Procedure:

Automatic distribution is made as authorized by law.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

> 180 Days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Not applicable. See the following for information on how assistance is awarded/released: Information not available.

Reports:

Not Applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Not applicable.

Account Identification:

14-5574-0-0-000 - Counties; 14-5003-0-0-000 - States.

Obligations:

(Direct Payments for Specified Use) FY 12 \$10,994,290; FY 13 est \$11,255,132; and FY 14 est \$7,467,189

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices. U.S. Department of the Interior, Office of Natural Resources Revenue, Financial Management, Denver Federal Center, Building 85, MS 63210B, P.O. Box 25165, Denver, CO 80225-0165.

Headquarters Office:

Director Office of Natural Resources Revenue, 1849 C Street, NW, Mail Stop 4211, Washington, District of Columbia 20240 Phone: (202) 513-0600

Website Address:

<http://www.ONRR.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

15.435 GOMESA

FEDERAL AGENCY:

Office of Natural Resources Revenue, Department of the Interior

AUTHORIZATION:

Public Law 109-432, 120 STAT 3000.

OBJECTIVES:

ONRR shares 37.5 percent of selected revenue with Gulf producing states and political subdivisions; payable annually during the year after receipt in accordance with 30 CFR Part 219.414.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

To be used in accordance with all applicable Federal and State laws, only for 1 or more of the following purposes: A) Projects and activities for the purposes of coastal protection, including conservation, coastal restoration, hurricane protection, and infrastructure directly affected by coastal wetland losses; B) mitigation of damage to fish, wildlife or natural resources; C) implementation of a federally-approved marine, coastal or comprehensive conservation management plan; D) Mitigation of the impact of Outer Continental Shelf activities through the funding of onshore infrastructure projects; E) Planning assistance and the administrative costs of complying with this section. (2) Limitation: Not more than 3 percent of amount received may be spent on planning assistance and compliance administrative costs.

Applicant Eligibility:

Revenue from selected leases will automatically trigger distribution to states and political subdivisions.

Beneficiary Eligibility:

Eligible states and political subdivisions with Louisiana, Texas, Alabama, and Mississippi.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. No application is necessary.

Award Procedure:

Automatic distribution is made as authorized by law.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Not applicable. See the following for information on how assistance is awarded/released: Information not available.

Reports:

Not Applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Not applicable.

Account Identification:

14-5535-0-0-000 - 14X5535.

Obligations:

(Direct Payments for Specified Use) FY 12 \$313,999; FY 13 est \$245,160; and FY 14 est \$2,807,565

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices. U.S. Department of Interior, Office of Natural Resources Revenue, Financial Management, Denver Federal Center, Building 85, MS 63210B, P.O. Box 25165, Denver, CO 80225-0165.

Headquarters Office:

Director Office of Natural Resources Revenue, 1849 C Street, NW. Mail Stop 4211, Washington, District of Columbia 20240 Phone: (202) 513-0600

Website Address:

<http://www.ONRR.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

15.436 LATE DISBURSEMENT INTEREST

FEDERAL AGENCY:

Office of Natural Resources Revenue, Department of the Interior

AUTHORIZATION:

Minerals, Lands and Mining, Public Law 108-447, 30 U.S.C 1721 (b).

OBJECTIVES:

ONRR pays late disbursement interest on subject payments made to States after the due date.

TYPES OF ASSISTANCE:

Direct Payments with Unrestricted Use

USES AND USE RESTRICTIONS:

No specific restrictions on use.

Applicant Eligibility:

Late disbursements will trigger automatic payment distribution computed in accordance with the Law.

Beneficiary Eligibility:

ONRR pays late disbursement interest on subject payments made to States after the due date.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. No application is necessary.

Award Procedure:

The distributions are automatic and are computed based on receipts.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Not applicable. See the following for information on how assistance is awarded/released: Information not available.

Reports:

Not Applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Not applicable.

Account Identification:

14-5003-0-0-000 - 14X5003.

Obligations:

(Direct Payments with Unrestricted Use) FY 12 \$47,320; FY 13 est \$271,049; and FY 14 est \$48,565

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices. U.S. Department of the Interior, Office of Natural Resources Revenue, Financial Management, Denver Federal Center, Building 85, MS 63210B, P.O. Box 25165, Denver, CO 80225-0165.

Headquarters Office:

Director Office of Natural Resources Revenue, 1849 C Street, NW, Mail Stop 4211, Washington, District of Columbia 20240 Phone: (202) 513-0600

Website Address:

<http://www.ONRR.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: Not applicable. Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

15.437 MINERALS LEASING ACT

FEDERAL AGENCY:

Office of Natural Resources Revenue, Department of the Interior

AUTHORIZATION:

Minerals, Lands and Mining, 30 U.S.C 191, 191a, 355.

OBJECTIVES:

As directed by the Minerals Leasing Act, the Office of Natural Resources Revenue (ONRR) shares 50 percent (90 percent for Alaska) of mineral leasing revenue with States. Since 2008 payments were subjected to a two percent reduction, as required by Public Law 111-322.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

To be used by States as the legislature directs giving priority to those subdivisions of the State that are socially or economically impacted by the development of minerals leased, for (1) planning, (2) construction and maintenance of public facilities, and (3) provision of public service.

Applicant Eligibility:

Revenue from public land leasing will trigger automatic payment distribution computed in accordance with the Law.

Beneficiary Eligibility:

State governments in which Federal leased lands and minerals are located.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded

from coverage under OMB Circular No. A-110. No application is necessary.

Award Procedure:

Automatic distribution is made as authorized by Law.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

No applicable. See the following for information on how assistance is awarded/released: Information not available.

Reports:

No reports are required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Not applicable.

Account Identification:

14-5003-0-0-000 - 14X5003.

Obligations:

(Direct Payments for Specified Use) FY 12 \$2,036,589,443; FY 13 est \$2,008,023,727; and FY 14 est \$2,090,137,557

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices. Office of Natural Resources Revenue, 1849 C Street, NW, Mail Stop 4211, Washington, DC 20240.

Headquarters Office:

Director U.S. Department of Interior, Office of Natural Resources Revenue, 1849 C Street, NW, Mail Stop 4211, Washington, District of Columbia 20240
Phone: (202) 513-0600

Website Address:

<http://www.ONRR.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

15.438 NATIONAL FOREST ACQUIRED LANDS

FEDERAL AGENCY:

Office of Natural Resources Revenue, Department of the Interior

AUTHORIZATION:

Minerals, Lands and Mining, 30 U.S.C 191(a). 355(b); Conservation, 16 U.S.C 499-500.

OBJECTIVES:

ONRR shares 25 percent of minerals leasing revenue with the State in which such National Forest is situated. Revenue is paid monthly and is subject to late disbursement interest.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

To be used as the state legislature prescribed for the benefit of the public schools and public roads of the country or counties in which such National Forest is situated.

Applicant Eligibility:

Revenue from acquired National Forest land leasing will trigger automatic payment distribution computed in accordance with the Law.

Beneficiary Eligibility:

ONRR distributes these funds to State governments for leased lands within the state and the State government had sole discretion in their use in accordance with the enabling legislation.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. No application is necessary.

Award Procedure:

Automatic distribution are computed based on receipts and made as authorized by Law.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Not applicable. See the following for information on how assistance is awarded/released: Revenue is paid monthly and is subject to late disbursement interest.

Reports:

Not Applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000

or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Not applicable.

Account Identification:

14-5243-0-0-000 - 14X5243.

Obligations:

(Direct Payments for Specified Use) FY 12 \$10,457,878; FY 13 est \$8,144,838; and FY 14 est \$8,264,504

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices. U.S. Department of the Interior, Office of Natural Resources Revenue, Financial Management, Denver Federal Center, Building 85, MS 63210B, P.O. Box 25165, Denver, CO 80225-0165.

Headquarters Office:

Director Office of Natural Resources Revenue, 1849 C Street, NW, Mail Stop 4211, Washington, District of Columbia 20240 Phone: (202) 513-0600

Website Address:

<http://www.ONRR.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

15.439 NATIONAL PETROLEUM RESERVE - ALASKA

FEDERAL AGENCY:

Office of Natural Resources Revenue, Department of the Interior

AUTHORIZATION:

Public Lands, 43 Stat. 3231.5(f); Public Health and Welfare, 42 U.S.C 6508.

OBJECTIVES:

ONRR shares 50 percent of mineral leasing revenue with the State of Alaska, to be paid monthly (oil and gas royalties) subject to late disbursement interest. Other than oil and gas royalties are paid semi-annually.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

To be used for (1) planning; (2) construction, maintenance, and operation of essential public facilities; and (3) other necessary provisions of public service, giving priority to use by subdivisions of the State most directly or severely impacted by development of oil and gas leasing.

Applicant Eligibility:

Revenue from public land leasing will trigger automatic payment distribution computed in accordance with the Law.

Beneficiary Eligibility:

Lease lands must be located in the National Petroleum Reserve-Alaska.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. No application is necessary.

Award Procedure:

Automatic distribution is made as authorized by Law.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Not applicable. See the following for information on how assistance is awarded/released: Information not available.

Reports:

Not Applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Not applicable.

Account Identification:

14-5045-0-0-000 - 14X5045.

Obligations:

(Direct Payments for Specified Use) FY 12 \$4,749,254; FY 13 est \$2,900,000; and FY 14 est \$3,010,000

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices. U.S. Department of the Interior, Office of Natural Resources Revenue, Financial Management, Denver Federal Center, Building 85, MS 63210B, P.O. Box 25165, Denver, CO 80225-0165.

Headquarters Office:

Director U.S. Department of the Interior, Office of Natural Resources Revenue, 1849 C Street, NW, Mail Stop 4211, Washington, District of Columbia 20240 Phone: (202) 513-0600

Website Address:

<http://www.ONRR.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: Information is not available. Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

15.440 SOUTH HALF OF THE RED RIVER

FEDERAL AGENCY:

Office of Natural Resources Revenue, Department of the Interior

AUTHORIZATION:

Minerals, Lands and Mining, 65 Stat. 252, 30 U.S.C 191(a), 355(b).

OBJECTIVES:

ONRR shares 37.5 percent of mineral leasing revenue with the State of Oklahoma paid monthly and is subject to late disbursement interest.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

To be used by the State as the legislature directs giving priority to those subdivisions of the State that are socially or economically impacted by the development of minerals leased, for (1) planning, (2) construction and maintenance of public facilities, and (3) provision of public service.

Applicant Eligibility:

Revenue from public land leasing will trigger automatic payment distribution computed in the accordance with the Law.

Beneficiary Eligibility:

Leased lands must be located within the south half of the Red River.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. No application is necessary.

Award Procedure:

Automatic distribution is made as authorized by law.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Not applicable. See the following for information on how assistance is awarded/released: Automatic distribution as authorized by Law.

Reports:

Not Applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000

or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Not applicable.

Account Identification:

14-5134-0-0-000 - 14X5134.

Obligations:

(Direct Payments for Specified Use) FY 12 \$19,526; FY 13 est \$3,000; and FY 14 est \$3,000

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices. U.S. Department of the Interior, Office of Natural Resources Revenue, Financial Management, Denver Federal Center, Building 85, MS 63210B, P.O. Box 25165, Denver, CO 80225-0165.

Headquarters Office:

Director U.S. Department of the Interior, Office of Natural Resources Revenue, 1849 C Street, NW, Mail Stop 4211, Washington, District of Columbia 20240 Phone: (202) 513-0600

Website Address:

<http://www.ONRR.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

15.441 SAFETY AND ENVIRONMENTAL ENFORCEMENT RESEARCH AND DATA COLLECTION FOR OFFSHORE ENERGY AND MINERAL ACTIVITIES

Office of Offshore Regulatory Programs (OORP)

FEDERAL AGENCY:

Bureau of Safety and Environmental Enforcement, Department of the Interior

AUTHORIZATION:

43 U.S.C. 1345 (e); 43 U.S.C. 1346 Section 20.

OBJECTIVES:

The Bureau of Safety and Environmental Enforcement (BSEE) program provides major economic and energy benefits on a national and local level to the taxpayers and states. The Agency oversees the exploration and development of oil, natural gas and other materials and renewable energy alternatives on the Nation's outer continental shelf. BSEE continues to look for better ways to serve the American people and to ensure that the nation receives the best oversight and regulation of National resource development now and into the future. The program not only supports decisions made within the Department of the Interior, but also provides coastal states and local governments with the information necessary to ensure that all stages of offshore energy and mineral activities are conducted in a manner to protect both the human and natural environments. The Outer Continental Shelf Lands Act (OCSLA) Sections 1347 and 1348 respectively mandate the development and enforcement of regulations that protect safety, health and the environment. OCSLA Section 1345 authorizes the use of cooperative agreements with affected States to meet the requirements of OCSLA, including sharing of information, joint utilization of available expertise, formation of joint monitoring arrangements to carry out applicable Federal and State laws,

regulations, and stipulations relevant to outer continental shelf operations both onshore and offshore. The purposes of the Office of Offshore Regulatory Program (OORP) is to obtain the information needed to improve the knowledge, practices, and technologies used to promote operational safety and pollution prevention for offshore oil and gas activities and alternate energy projects.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

The assistance can be used to conduct technical research to satisfy the information needs associated with oil and gas, alternate energy and marine animal development on the outer continental shelf. Applications must be for technical research projects which are within the BSEE area of responsibility. The majority of funds are used to support research that will enhance understanding of exploration and production techniques to enhance safe operations and oil-spill prevention and cleanup from oil and gas, marine minerals, and alternate energy development projects. Funds can be also be used for attendance at national conferences for the dissemination of project results. Funds are not normally used for capitalization.

Applicant Eligibility:

State agencies and public universities may apply. More than one institution may collaborate in the preparation of an application for assistance.

Beneficiary Eligibility:

Research scientists, Federal, State and local decision-makers, and the general public will ultimately benefit from the program.

Credentials/Documentation:

Evidence of the applicant's qualifications for performance of the proposed research including past professional publications, resumes, and lists of past projects. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Limited discussions regarding funding availability and program interest are permitted. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applicants are encouraged to post their applications on www.grants.gov. The standard SF-424 application forms required by 2 CFR Part 215.12, and the Department of the Interior Code of Federal Regulations at 43 CFR Part 12 or its revisions, must be used.

Award Procedure:

Awards are made on the basis of a competitive peer review of proposals by a panel consisting of government research managers and external reviewers, as appropriate. Criteria for judging proposals include mission relevance, technical merit, and the quality and appropriateness of the personnel, project management, logistics plan, safety management, permits and interagency coordination, budget/matching funds, and where appropriate, minimization of impacts. Notification of an award is issued. It is anticipated that one to two applications will be received and one to two cooperative agreement awards will be granted in FY 2012.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 - 270 days.

Appeals:

Not Applicable.

Renewals:

Renewals can be made through submission and approval of a project agreement.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Cost sharing of 1:1 is encouraged.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Cooperative agreements will normally be awarded for 1 to 5 years, with funding awarded as a lump sum, or added as funds become available. See the following for information on how assistance is awarded/released: Information not available.

Reports:

Quarterly reports; a draft final, proof copy, and final report; draft and final technical summary; articles based on the research published in journals, and presentation slides are required; also possibly a presentation at a BSEE public meeting. Dissemination of the research results at a professional society meeting and symposia is encouraged. Cash reports are not applicable. Progress reports are not applicable. Annual financial reports using the Federal Financial Report SF-425 are also required. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

All records must be maintained for 3 years after submission of the final financial report.

Account Identification:

00-0000-0-0-000 - EEEE200000.

Obligations:

(Cooperative Agreements) FY 12 Not Available; FY 13 est \$0; and FY 14 Estimate Not Available

Range and Average of Financial Assistance:

Range is \$100,000 to \$700,000; Average \$300,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

The Offshore Regulatory Programs are described in 30 CFR 250, Oil and Gas and Sulphur Operations in the Outer Continental Shelf. Final reports are available online in the BSEE website at: <http://www.bsee.gov/>.

Regional or Local Office:

None.

Headquarters Office:

Keith Good 381 Elden Street, MS-E3313, Herndon, Virginia 20170 Email: keith.good@bsee.gov Phone: (703) 787-1611 Fax: (703) 787-1555

Website Address:

<http://www.bsee.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Panels of BSEE, and non-BSEE scientists/engineers (government, public and private sector as appropriate), review and evaluate proposals. Criteria for judging proposals include mission relevance, technical merit, and the quality and appropriateness of the personnel, including past performance and publication record, project management, logistics plan, safety management, permits and interagency coordination, budget/matching funds, and where appropriate, minimization of impacts.

15.504 TITLE XVI WATER RECLAMATION AND REUSE PROGRAM

Title XVI Program

FEDERAL AGENCY:

Bureau of Reclamation, Department of the Interior

AUTHORIZATION:

Reclamation Wastewater and Groundwater Study and Facilities Act, Title XVI, Public Law 102-575, as amended; Reclamation Recycling and Water Conservation Act of 1996, Public Law 104-266; Oregon Public Lands Transfer and Protection Act of 1998, Public Law 105-321; Consolidated Appropriation Act of 2000, Public Law 106-544; Hawaii Water Resources Act of 2000, Public Law 106-566; Lakehaven Utilities District, WA, Project, 2002, Public Law 107-344; Consolidated Appropriations Resolution, 2003, Public Law 108-7; San Diego Creek Watershed, CA, Project, 2004, Public Law 108-233; Williamson County, TX, Project, Public Law 108-316; Hawaii Water Resources Act of 2005, Public Law 109-70. American Recovery and Reinvestment Act of 2009 (ARRA) (P.L. 111-5).

OBJECTIVES:

Title XVI of Public Law 102-575, as amended (Title XVI), directs the Secretary of Interior, acting through the Commissioner, to undertake a program to identify and investigate opportunities to reclaim and reuse wastewaters and naturally impaired ground and surface water in the 17 Western States and Hawaii. Title XVI also provides authority for the Secretary to provide up to the lesser of 25 percent of, or the Federal appropriations ceiling (typically \$20 million), for the cost of planning, design, and construction of specific water recycling projects, as well as up to 50 percent of the cost of Title XVI feasibility studies. FOR RECOVERY ACT: For ARRA funded projects and in addition to the program objectives above, the Section 3 of the ARRA states the following objectives: (1) To preserve and create jobs and promote economic recovery. (2) To assist those most impacted by the recession. (3) To provide investments needed to increase economic efficiency by spurring technological advances in science and health. (4) To invest in transportation, environmental protection, and other infrastructure that will provide long-term economic benefits. (5) To stabilize State and local government budgets, in order to minimize and avoid reductions in essential services and counterproductive state and local tax increases.

TYPES OF ASSISTANCE:

Formula Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

The Title XVI Program applies to reclamation, recycling, and reuse of municipal, industrial, domestic, and agricultural wastewater and to naturally impaired ground and surface waters. Through this program, Reclamation provides financial and technical assistance for feasibility studies, demonstration projects, and full-scale construction of facilities to deliver and/or treat wastewater for reuse applications. For purposes of the Title XVI Program, a water reuse project is a project (including the necessary facilities and features) that reclaims and reuses municipal, industrial, domestic, or agricultural wastewater and naturally impaired groundwater and/or surface waters. Consistent with State law, reclaimed water can be used, for a variety of purposes including, but not limited to, environmental restoration, fish and wildlife, groundwater recharge, municipal, domestic, industrial, agricultural, power generation, or recreation. Funds can be used for water treatment features where the improvement of the water quality is necessary to allow for reuse. Reclamation has general authority to participate in planning, research and demonstration of water recycling projects. Specific project authority is required for Reclamation to participate in the construction of a project.

Applicant Eligibility:

Eligible recipients of Title XVI funding are identified under the 1902 Act and include a State, regional, or local authorities; Indian tribes or tribal organizations; or other entities such as a water conservation or conservancy district, wastewater district, rural water district, all located within the 17 Western States or Hawaii. To be eligible to receive funding for construction activities, a water reclamation and reuse project must be specifically authorized under Title XVI.

Beneficiary Eligibility:

Water users, including municipal, industrial, and agricultural, that benefit from the additional drought resistant water source created.

Credentials/Documentation:

To be eligible to receive funding for construction activities, a water reclamation

and reuse project must be specifically authorized under Title XVI. Funds for construction activities associated with an individual project will not be disbursed until all Title XVI pre-construction requirements have been met for that project. These include: (1) a finding that the feasibility study meets the requirements of Title XVI; (2) complete compliance with the National Environmental Policy Act and other environmental clearances; (3) an approved determination of financial capability; and (4) an executed grant or cooperative agreement for financial assistance. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

To be eligible to receive funding for construction activities, a water reclamation and reuse project must be specifically authorized under Title XVI. Funds for construction activities associated with an individual project will not be disbursed until all Title XVI pre-construction requirements have been met for that project. These include: (1) a finding that the feasibility study meets the requirements of Title XVI; (2) complete compliance with the National Environmental Policy Act and other environmental clearances; (3) an approved determination of financial capability; and (4) an executed grant or cooperative agreement for financial assistance between Reclamation and the project sponsor. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Discretionary funding opportunities will be posted on Grants.gov and will be subject to competitive funding process.

Award Procedure:

Reclamation will work with the funded recipient to negotiate a grant or cooperative agreement.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Continuation of funding from one fiscal year to the next to complete a project is contingent on the final Federal appropriations.

Formula and Matching Requirements:

Statutory Formula: Title XVI, Public Law 102-575. Reclamation can pay up to 50% for feasibility study costs. Federal funding for Title XVI construction projects is limited to 25% of the total project or a specified maximum amount, whichever is less. The maximum amount varies by project. Generally, projects authorized after 1996 have a ceiling of \$20 million or less; the specific details are available in the authorizing legislation. If a project conducted under the Title XVI Program includes planning, design, and construction, the Reclamation Wastewater and Groundwater Study and Facilities Act of 1992 (the Act) requires the sponsor to provide at least 75 percent of all the costs incurred, including Reclamations administrative costs. In addition, the sponsor must pay all operation, maintenance and replacement (OM&R) costs of the project.

Matching requirements are not applicable to this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Feasibility studies which receive Reclamation funding must be completed in 12 to 18 months. See FOA for details. For authorized Title XVI projects, Reclamation will provide funding for planning, design, or construction that can be completed in a two year period. See FOA for details. See the following for information on how assistance is awarded/released: Payment terms vary by agreement awarded under this program.

Reports:

Unless otherwise stated in the agreement document, recipients shall submit on

an annual basis Program Performance Reports. Upon completion of the agreement, recipients shall submit a final Program Performance Report and other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. For Recovery Act projects, quarterly reporting is required. For Recovery Act projects, quarterly reporting is required. Cash reports are not applicable. Progress reports are not applicable. Unless otherwise stated in the agreement document, recipients shall submit on an annual basis the SF-425, Federal Financial Report and upon completion of the agreement, recipients shall submit a final SF-425. For Recovery Act projects, quarterly reporting is required. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

State, local and Indian Tribal governments shall maintain project records in accordance with 43 CFR 12.82. All other recipients shall maintain project records in accordance with 43 CFR 12.953.

Account Identification:

14-0680-0-1-301; 14-0681-0-1-301 - Recovery Act.

Obligations:

(Cooperative Agreements) FY 12 \$22,475,387; FY 13 est \$20,271,000; and FY 14 est \$0. (Cooperative Agreements) FY 12 \$0; FY 13 est \$0; and FY 14 est \$0

Range and Average of Financial Assistance:

Range \$43,000 - \$4,000,000

Average \$14,248,796.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Some of the Title XVI water reuse benefits include improving water-use efficiency, providing flexibility during water shortages, and diversifying the water supply. In areas where municipalities and industry (M&I) are looking to agriculture as a water source, Title XVI projects reduces the need to transfer agricultural water to M&I uses.

Fifty-three projects are specifically authorized to receive funds for construction.

Two demonstration projects have also been undertaken through the general authority. Since the program's inception in 1992, more than \$558 million in Federal cost-share has been leveraged to design and construct water recycling projects.

In FY 2011, eight authorized Title XVI projects received \$11.34 million for construction activities and more than \$1 million was awarded for nine new Title XVI feasibility studies.

In FY 2012, eight authorized Title XVI projects received \$20.3 million for planning, design, and construction, and more than \$900,000 was awarded for eight new Title XVI feasibility studies. Fiscal Year 2013: Information not available. Fiscal Year 2014: Information not available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Code of Federal Regulations (CFR) 43 CFR 12.

Regional or Local Office:

See Regional Agency Offices. For addresses, see Appendix IV of the Catalog. <http://www.usbr.gov/main/regions.html>.

Headquarters Office:

Dean Marrone, Bureau of Reclamation, Office of Program & Policy Services, Mail Code: 84-51000, P.O. Box 25007, Denver Federal Center, Denver, Colorado 80225 Email: dmarrone@usbr.gov Phone: (303) 445-3577 Fax: (303)445-6464

Website Address:

<http://www.usbr.gov/WaterSMART/title/index.html>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: The Delta Diablo Sanitation District, in Antioch, California received \$150,000 in FY 2011 Title XVI funding to assess options for expanding and improving the District's current tertiary treatment system. The feasibility study will examine the operations of the overall system for ways to maximize recycled water production and conveyance, while minimizing operations costs, including energy costs.

The Inland Empire Utilities Agency in Chino, California, received \$4 million in FY 2012 Title XVI funding that will be used to construct a pump station and 40,000 feet of pipeline, which will enable the delivery of 7,067 acre-feet of water annually to the Chino Basin Desalter member agencies including the cities of Ontario and Norco and the Western Municipal Water District. The water produced by this project will replace water that would otherwise be imported from the Colorado River and/or California Bay-Delta. Fiscal Year 2013: Information not available. Fiscal Year 2014: Information not available.

CRITERIA FOR SELECTING PROPOSALS:

Reclamation will place priority on funding projects that reduce existing water diversions or address specific water supply issues in a cost-effective manner, address environmental and water quality concerns, are economically justified, and meet other program goals. For ARRA funded projects: The purposes of the Recovery Act are, among others, to quickly and prudently commence activities that preserve and create jobs and to promote economic recovery, and to invest in transportation, environmental protection, and other infrastructure that will provide long-term economic benefits. To meet these purposes, Reclamation will allocate funds based on the criteria set forth in the Recovery Act and the accompanying conference report, and will target activities that quickly infuse money into the economy.

15.506 WATER DESALINATION RESEARCH AND DEVELOPMENT PROGRAM

FEDERAL AGENCY:

Bureau of Reclamation, Department of the Interior

AUTHORIZATION:

Water Desalination Act of 1996, Public Law 104-298, as amended.

OBJECTIVES:

The Water Desalination Act of 1996 is based on the fundamental need in the United States and world-wide for additional sources of high quality water. There are three goals for the program: (1) augment the supply of usable water in the United States; (2) understand the environmental impacts of desalination and develop approaches to minimize these impacts relative to other water supply alternatives; (3) develop approaches to lower the financial costs of desalination so that it is an attractive option relative to other alternatives in locations where traditional sources of water are inadequate. The three principal parts of the program are: (1) perform research on desalination technologies and related issues to advance the state of the art forward (research and studies), and (2) conduct development and demonstration activities to test technological advancements, confirm economics, and gain public acceptance (development projects), and (3) support operation and maintenance at the Brackish Groundwater National Desalination Research Facility (BGNDRF).

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

To be used to support research and studies, demonstration and development projects and related activities, and operation and maintenance at the BGNDRF as described in the Act and amendments.

Applicant Eligibility:

Any responsible source, to include individuals, State and local entities, public nonprofit institutions/organizations, other public institutions/organizations, Federally recognized Indian Tribal Governments, small businesses, profit organizations, private nonprofit institutions/organizations, quasi-public nonprofit institutions/organizations, and other private institutions/organizations may submit a proposal which will be considered by Reclamation. Foreign

entities, other than United States-Mexico binational research foundations and inter-university research programs established by the two countries, are not eligible for funding under the Act. Federal agencies are not eligible to apply.

Beneficiary Eligibility:

Individuals, State and local entities, public nonprofit institutions/organizations, other public institutions/organizations, Federally recognized Indian Tribal Governments, small businesses, profit organizations, private nonprofit institutions/organizations, quasi-public nonprofit institutions/organizations, other private institutions/organizations, and United States-Mexico binational research foundations and inter-university research programs established by the two countries.

Credentials/Documentation:

(1) A detailed written technical proposal including the current status of the technology supporting the proposed research, technical approach proposed to accomplish the work, a scope of work which separates the work into major tasks, applicability to local and/or national water problems, type of personnel participating in the research, type and location of facilities and equipment that will be utilized by the project applicant, experience in this type of research, the environmental impact of the research, an estimate of the costs and/or cost savings (\$/1000 gal) and economic and environmental benefit of the technology, and other information that may be useful for proposal evaluation.
(2) A detailed project cost proposal including a budget covering salaries and wages, fringe benefits, travel, equipment, supplies, subcontracts, indirect cost rates, funding sources and commitments, cost-sharing, and a breakdown of costs by task. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Environmental compliance for this program may be required depending on the scope of the specific project funded. This may result in the need for an environmental impact assessment or environmental impact statement prior to the commencement of project activities. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. A full proposal solicitation package is issued annually by Reclamation. The package may solicit laboratory and pilot-scale research projects. Submission of full technical and cost proposals in accordance with the instructions stated in the announcement document is mandatory. Additionally, applicants must submit all applicable SF-424 forms and a DI-2010 form which will be included in the announcement document.

Award Procedure:

The number of awards, maximum funding amount per award, and areas of interest for which proposals will be considered, are determined on an annual basis and are dependent upon the annual appropriation from Congress. Proposals received in response to the annual solicitation are reviewed on the basis of a competitive, merit- review process, and are rated in accordance with evaluation criteria stated in the solicitation document. Reclamation will make awards for the highest rated proposals based on the amount of funding available each year. Reclamation reserves the right to make awards to maintain balance among the program objectives listed in the solicitation document.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Contact Reclamation for the range of time when proposals are approved or disapproved.

Appeals:

None. Final award decisions are not subject appeal; however, the Bureau of Reclamation will provide all applicants with information on why their proposals were not selected for award.

Renewals:

Continuation of funding for the Program from one fiscal year to the next is at the discretion of the Congress. Yearly solicitation is made for projects of 12 months duration or less (follow-on funding may be available for additional research studies, pilot-plant projects, or demonstration projects). No-cost extensions to awarded agreements are provided for unique projects that can justify additional time beyond the 12-month duration.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: The Federal share of the cost of a project or activity carried out under this Act shall not exceed 50 percent of the total cost of the project or activity. A Federal contribution in excess of 25 percent for a project carried out under this Act may not be made unless the Secretary of the Interior determines that the project is not feasible without such increased Federal contribution. Costs of operation, maintenance, repair, and rehabilitation of facilities funded under the authority of this Act shall be nonfederal responsibilities with the exception of the BGNDRF.. In each fiscal year, up to \$1,000,000 may be awarded to institutions of higher education, including United States-Mexico binational research foundations and interuniversity research programs established by the two countries, for research grants or cooperative agreements without any cost-sharing requirement. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Solicitation is made for projects of 12 months duration or less (follow-on funding may be available for additional research studies, pilot-plant projects, or demonstration projects). No-cost extensions to awarded agreements are provided for unique projects that can justify additional time beyond the 12-month duration. See the following for information on how assistance is awarded/released: Information not available.

Reports:

The Recipient shall furnish quarterly reports and a final report as a permanent record of the work accomplished under the agreement. A midterm project review shall be held at the Recipient's site approximately 3 to 6 months after project award. Approximately at the time of delivery of the draft final report, the Project Manager shall make a presentation in Denver on the overall progress of the project. Cash reports are not applicable. Progress reports are not applicable. Federal Financial Report, SF 425 is required. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

State, local and Indian Tribal governments shall maintain project records in accordance with 43 CFR 12.82. All other recipients shall maintain project records in accordance with 43 CFR 12.953.

Account Identification:

14-0680-0-1-301.

Obligations:

(Cooperative Agreements) FY 12 \$149,894; FY 13 est \$1,500,000; and FY 14 est \$1,500,000

Range and Average of Financial Assistance:

Range is \$100,000 to \$500,000, Average \$1,049,965.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: In Fiscal Year 2011, \$1,500,000 funded nine competed, merit reviewed research projects, five research and studies, two pilot studies, one second year pilot, and one second year demonstration. In Fiscal Year 2012, one research project was funded. Fiscal Year 2013: Information not available. Fiscal Year 2014: Information not available.

REGULATIONS, GUIDELINES, AND LITERATURE:

43 CFR 12 and applicable Office of Management and Budget Circulars. These

documents may also be obtained by contacting the Bureau of Reclamation Office listed below.

Regional or Local Office:

None. Solicitation documents: Bureau of Reclamation, Acquisitions Operations Group, Attn: Michelle Maher, Mail Code: 84-27810, P.O. Box 25007, Denver Federal Center, Denver, CO 80225. Telephone: (303) 445-2025. E-mail: mmaher@usbr.gov.

Headquarters Office:

M. Kevin Price Bureau of Reclamation, Research and Development Office, Mail Code: 86-69000, P.O. Box 25007, Denver Federal Center, Denver, Colorado 80225 Email: research@usbr.gov Phone: (303) 445-2260 Fax: (303) 445-6328

Website Address:

<http://www.usbr.gov/pmts/water/desalination/index.html>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Projects that are funded with the FY11 and FY12 funds include reducing environmental impacts, integrating renewable energy, reducing long-term costs, expanding scientific knowledge, improving the quality and suitability of treated water for reuse, and testing pilot and demonstration-scale projects. Fiscal Year 2013: Information not available. Fiscal Year 2014: Information not available.

CRITERIA FOR SELECTING PROPOSALS:

Evaluation factors may include, but are not limited to the following: Technical Factors: (1) Demonstrated familiarity with the current technology in the field of work and understanding of the potential difficulties in carrying out the work. (2) Impact of the proposed work on the current technology and on its related economics if a completely successful outcome were achieved. (3) Novelty of approach to the work. (4) Relationship to research priorities. (5) Availability of equipment, instruments, and test facilities required for the work. Managerial Factors: (1) The qualifications, capabilities, and experience of the proposed project manager and other key personnel who are critical to achievement of the proposed objectives. (2) Adequacy, completeness and realism of the research schedule, task phasing, and milestones. (3) The applicants capabilities, related experience, facilities, techniques, or unique combinations of these which are integral factors for achieving the proposed objectives. Pricing Factors: (1) Cost realism and reasonableness. This requires that costs be directly relatable to items in the research work plan or Project Management Plan, be reasonable, and be appropriate to the project in terms of dollar amount and quantity. (2) Cost-share. Applicants proposing to provide the most cost-share shall be given greater consideration.

15.507 WATERSMART (SUSTAINING AND MANAGE AMERICA'S RESOURCES FOR TOMORROW)

WaterSMART Grants

FEDERAL AGENCY:

Bureau of Reclamation, Department of the Interior

AUTHORIZATION:

Omnibus Public Lands Management Act of 2009, Section 9504, Public Law 111- 111.

OBJECTIVES:

To make funding available for eligible applicants to leverage their money and resources by cost sharing with Reclamation on projects that that conserve and use water more efficiently, increase the use of renewable energy and improve energy efficiency, benefit endangered and threatened species, facilitate water markets, or carry out other activities to address climate-related impacts on water or prevent any water-related crisis or conflict. For Recovery Act: For ARRA funded projects in addition to the program objectives above, the section 3 of the ARRA states the following objectives:

- (1) To preserve and create jobs and promote economic recovery.
- (2) To assist those most impacted by the recession.
- (3) To provide investments needed to increase economic efficiency by spurring technological advances in science and health.

(4) To invest in transportation, environmental protection, and other infrastructure that will provide long-term economic benefits.

(5) To stabilize State and local government budgets, in order to minimize and avoid reductions in essential services and counterproductive state and local tax increases.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

WaterSMART grants provide cost-shared financial assistance on a competitive basis for the following types of projects: (1) Water and Energy Efficiency Grants; (2) Advanced Water Treatment Pilot and Demonstration Projects Grants; (3) System Optimization Reviews; and (4) Grants to Develop Climate Analysis Tools.

In addition, Section 9504 of Public Law 111-11 requires the following: (1) The Recipient shall not use any associated water savings to increase the total irrigated acreage of the Recipient or otherwise increase the consumptive use of water in the operation of the Recipient, as determined pursuant to the law of the State in which the operation of Recipient is located. (2) If the activities funded under this Agreement result in an infrastructure improvement that is integral to the existing operations of a federally owned facility, the Federal Government shall continue to hold title to the facility and improvements to the facility. (3) The non-Federal share of the cost of operating and maintaining any infrastructure improvement funded through this Agreement shall be 100 percent. (4) Except as provided under chapter 171 of title 28, United States Code (commonly known as the "Federal Tort Claims Act"), the United States shall not be liable for monetary damages of any kind for any injury arising out of an act, omission, or occurrence that arises in relation to any facility created or improved under this Agreement, the title of which is not held by the United States. For further information please contact the regional office.

Applicant Eligibility:

In accordance with P.L. 111-11, Section 9502, eligible applicants for Water and Energy Efficiency Grants, System Optimization Reviews, and Advance Water Treatment Pilot and Demonstration Projects include any: state, Indian tribe, irrigation district, water district, or other organization with water or power delivery authority. In accordance with P.L. 111-11, Section 9504(b), eligible applicants for Grants to Develop Climate Analysis Tool include any: university, nonprofit research institution, or other organization with water or power delivery authority.

Applicants must also be located in the western U.S. or Territories as identified in the Reclamation Act of June 17, 1902, as amended and supplemented; specifically, Arizona, California, Colorado, Idaho, Kansas, Montana, Nebraska, Nevada, New Mexico, North Dakota, Oklahoma, Oregon, South Dakota, Texas, Utah, Washington, Wyoming, American Samoa, Guam, the Northern Mariana Islands, and the Virgin Islands.

Beneficiary Eligibility:

The general public, agricultural, municipal and industrial water users; irrigation or water districts; and state governmental entities with water or power delivery authority, located in the states identified in the Act of June 17, 1902.

Credentials/Documentation:

- (1) A detailed written technical proposal including background data regarding the applicant, such as location, total project diversions, and relevant water use efficiency measurements, a detailed scope of work separating the work into major tasks, including any engineering or design work already completed, and describing data responsive to the evaluation criteria listed in the announcement.
- (2) When applicable, a funding plan showing how the applicant will pay for its 50 percent cost share requirement, and an official resolution adopted by the irrigation or water district's governing board of directors, resolving that, if selected, it will negotiate and execute a grant or cooperative agreement with Reclamation.
- (3) A detailed project cost proposal, including a budget with the annual estimated project costs, including salaries and wages, fringe benefits, travel, equipment, supplies, contracts, and indirect costs, an estimate of annual operation and maintenance costs, and the value of in-kind contributions of goods and services. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Environmental compliance for this program may be required depending on the scope of the specific project funded. This may result in the need for an

environmental impact assessment or environmental impact statement prior to the commencement of project activities. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Multiple funding opportunity announcements are annually posted on www.grants.gov. Submission of a complete technical proposal and projects budget is mandatory by the due date identified in the announcement. In addition, applicants must submit all applicable SF-424 forms referenced in the Announcement.

Award Procedure:

Proposals received in response to the announcement are reviewed on the basis of a competitive, merit-based review process, and are rated in accordance with the evaluation criteria stated in the announcement. Reclamation will make awards to the highest rated proposals based on the amount of funding available each year.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Contact Reclamation for the range of time when proposals are approved or disapproved.

Appeals:

Not applicable. Upon request, Reclamation will provide all applicants with information on why their proposals were not selected.

Renewals:

Most awarded projects are fully funded at the time of award. Some Water and Energy Efficiency Grant projects (i.e. phased project) may be funded each year for up to three years.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: The Federal share of the cost of a project or activity carried out under the Program shall not exceed 50 percent of the total cost of the project or activity. Costs of operation, maintenance, repair and rehabilitation of facilities funded under the authority for this Program shall be nonfederal responsibilities.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

In general, projects should be completed within 2 years of award. Applicants for Water and Energy Efficiency Grants must select one of two funding groups and must therefore propose either a project that can be completed within 2 years of award or a phased project that can be completed within 3 years of award with Federal funding to be provided separately for each phase. Applications for projects requiring more time will be considered for funding only under limited circumstances. See the following for information on how assistance is awarded/released: Payment terms vary by agreement awarded under this program.

Reports:

Unless otherwise stated in the agreement document, recipients shall submit Program Performance Reports, on a semi-annual basis. Upon completion of the agreement, recipients shall submit a final Program Performance Report and other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. Cash reports are not applicable. Progress reports are not applicable. Recipients shall submit the Federal Financial Report, SF-425 during the term of the agreement and a final report upon completion of the agreement. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a

year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

State, local and Indian Tribal governments shall maintain project records in accordance with 43 CFR 12.82. All other recipients shall maintain project records in accordance with 43 CFR 12.953.

Account Identification:

14-0680-0-1-301.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 12 \$15,228,984; FY 13 est \$21,500,000; and FY 14 est \$0

Range and Average of Financial Assistance:

Range \$18,960 - \$750,000

Average \$12,242,995.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Since 2011, 90 WaterSMART Grant projects have been funded, combining more than \$37.5 million in Federal funding with local partnerships to construct over \$131 million worth of water management improvements in 14 western states. Once completed, those projects are expected to result in over 162,000 acre-feet of conserved water each year. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

43 CFR 12, OMB Circulars, standard forms, and program information, <http://www.usbr.gov/WaterSMART/grants.html>. Documents may also be obtained by contacting Reclamation.

Regional or Local Office:

See Regional Agency Offices. <http://www.usbr.gov/main/regions.html>.

Headquarters Office:

Josh German Bureau of Reclamation, Office of Policy and Administration, Mail Code: 84-51000, P.O. Box 25007, Denver Federal Center, Denver, Colorado 80225 Email: jgerman@usbr.gov Phone: (303) 445-2839 Fax: (702) 544-4207

Website Address:

<http://www.usbr.gov/WaterSMART/grants.html>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: A number of projects will address the connection between water use and energy use. For example, Three Sisters Irrigation District in Oregon will replace 20,000 of open canal with pipe expected to result in 750 acre-feet of water savings annually. The District also will install a 950-kilowatt capacity turbine generator as part of the project.

Several projects will save energy through water conservation. For example, the Sidney Water Users Irrigation District in Montana will replace 3.1 miles of open lateral canals with PVC pipeline and will install flow meters at turnouts to improve water efficiency, expected to result in 1,510 acre-feet of water savings annually. The District estimates that completion of the project will decrease pumping needs and reduce energy consumption by approximately 179,100 kilowatt-hours per year.

Other projects are expected to make contributions to environmental restoration by conserving water or making other improvements that benefit endangered or threatened species. For example, in Oregon the North Unit Irrigation District will undertake water management improvements with expected savings of 1,300 acre feet of water annually. Through a partnership with the Deschutes River Conservancy, conserved water will be marketed to restore instream flows in a critical reach of the Crooked River.

Examples of all projects funded to date are available at <http://www.usbr.gov/WaterSMART/weeg>. Fiscal Year 2013: Information not available. Fiscal Year 2014: Information not available.

CRITERIA FOR SELECTING PROPOSALS:

Projects should seek to conserve and use water more efficiently, increase the use of renewable energy and improve energy efficiency, protect endangered and threatened species, facilitate water markets, or carry out other activities to address climate-related impacts on water or prevent any water-related crisis or conflict.

15.508 PROVIDING WATER TO AT-RISK NATURAL DESERT TERMINAL LAKES

Desert Terminal Lakes Program

FEDERAL AGENCY:

Bureau of Reclamation, Department of the Interior

AUTHORIZATION:

Farm Security and Rural Investment Act of 2002, Sec. 2507, Public Law 107-171; Energy and Water Development Appropriations Act, 2003, Title II, Sec. 207, Public Law 108-7 (to implement the Truckee River Settlement Act, Public Law 101-618); and Energy and Water Development Appropriations Act, 2004, Title II, Sec. 217, Public Law 108-137; and Public Law 109-103, Energy and Water Development Appropriations Act, 2006, Title II, Sec. 208; Public Law 110-246, Food Conservation and Energy Act of 2008, Sec. 2807; Public Law 111-8, Omnibus Appropriations Act of 2009, Section 207 and 208, Public Law 111-85, Energy and Water Development and Related Agencies Appropriations Act of 2010, Sec. 206-208; Public Law 111-88, Department of the Interior Environment and Related Agencies Appropriations Act of 2010, Sec. 440; Public Law 112-74, Consolidated Appropriations Act, 2012, Title II; Division B, Sec. 208a and b.

OBJECTIVES:

Under this authority, Reclamation will fund various activities for the benefit of three specified at-risk natural desert terminal lakes and associated riparian and watershed resources in Nevada and California or fund designated activities.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants); Direct Payments for Specified Use (Cooperative Agreements)

USES AND USE RESTRICTIONS:

Funding is directed to be used for Walker Lake, Pyramid Lake, and Summit Lake and associated watersheds in the State of Nevada and California. Directed legislative allocation funding is also in legislation for specific projects. For further information please contact the regional office.

Applicant Eligibility:

State and local public agencies, Indian tribes, nonprofit organizations, and individuals may submit a proposal which will be considered by Reclamation. Foreign entities and Federal agencies are not eligible to apply.

Beneficiary Eligibility:

State and local entities, public nonprofit institutions/organizations, other public institutions/organizations, Federally recognized Indian Tribal Governments, individuals, small businesses, profit organizations, private organizations, quasi-public nonprofit organizations, other private institutions/organization, general public, native American organizations, farmers/ranchers/agriculture producers, and land/property owners.

Credentials/Documentation:

(1) A detailed written technical proposal including background data regarding the applicant, technical approach proposed to accomplish the work, a scope of work that separates the work into major tasks, type of personnel implementing the proposal, experience in performing this type of work, benefits to be received by implementation of the project(s), environmental impact(s), timeline(s), the level of cooperation with other parties involved in the proposal, and other information that may be useful for proposal evaluation. (2) A detailed cost proposal by project including a budget covering labor categories, salaries and wages with estimated hours, fringe benefits, travel, equipment, supplies, subcontracts, indirect cost rates, other funding sources and commitments, cost-sharing, value of in-kind contributions of goods and services, and a narrative discussion. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Funding is allowed for work that will for the benefit of at-risk natural desert terminal lakes and associated riparian and watershed resources in Nevada and

California or fund designated activities. An environmental NEPA document may be required depending on the nature of the proposal and its impact on the environment. Compliance with National Historic Preservation Act and Endangered Species Act is also required. Summit and Walker Lakes Preapplication Coordination: Although it is not mandatory, Reclamation strongly encourages offerors to submit a pre-proposal to eliminate the possibility of submitting a full proposal that would not be considered for award.

The pre-proposal should describe 1) how the proposal will provide benefits to a terminal lake or associated watershed, 2) the quantity of water anticipated to be provided if part of the proposal, 3) the associated estimated cost, 4) potential environmental impacts, 5) state, local and other permits or approvals needed to implement the proposal. Pyramid Lake Pre-Application Coordination: Initially pre-proposal solicitation announcement was issued by Reclamation; the initial solicitation occurred in FY05. No additional solicitation announcement are planned. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Funding is allowed for work for the benefit of three specified at-risk natural desert terminal lakes and associated riparian and watershed resources in Nevada and California or fund designated activities. For Summit Lake, Reclamation will only accept proposals from the Summit Lake Paiute Tribe, since the lake is entirely within the reservation boundaries and any proposal affecting the lake would need the consent of the Tribe; the exception is if DTL funding is reprogrammed in another DTL grant for Summit Lake. For all three lakes, Reclamation, may accept applications after the applicant provides enough pre-application information to Reclamation to assure that the proposed work will be for the benefit of at-risk natural desert terminal lakes and associated riparian and watershed resources in Nevada and California or fund designated activities, the associated cost is reasonable, and it is likely Reclamation can satisfy its federal Indian trust, endangered species environmental, and other responsibilities. Discretionary funding opportunities will be posted on Grants.gov. Submission of a complete technical proposal and a project budget proposal in accordance with the instructions in the FOA is mandatory. In addition, applicants must submit all applicable SF-424 forms, which are referenced in the FOA. Reclamation does not anticipate accepting unsolicited applications in FY13 because all remaining funding has been obligated except that which will be used for program administration.

Award Procedure:

For Summit Lake, Walker Lake, and Pyramid Lake, proposals are evaluated using a merit-based review process. Some Pyramid Lake proposals were also reviewed on the basis of a competitive, merit-based review process, and were rated in accordance with the evaluation criteria stated in the FOA. Reclamation made awards to the highest-rated proposals based on the amount of available funding at that time. Reclamation reserves the right to prioritize projects based on availability of funds and to ensure balance among the program objectives listed in the FOA.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Contact Reclamation for the range of time when proposals are approved or disapproved.

Appeals:

Reclamation will, however, provide all applicants with information on why their proposals were not selected.

Renewals:

Funding is available until all funds have been expended for this program. Additional funding for the program is at the discretion of Congress. Any request for a time extension must be in writing in accordance with the provisions stated in the agreement.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: This program has no statutory formula or matching

requirements. Applicants are encouraged to cost share with other funding partners when possible.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

There is no time restriction placed on the use of the funds. A schedule will be established between Reclamation and the recipient at the time of award. Any request for a time extension must be in writing in accordance with the provisions stated in the agreement. See the following for information on how assistance is awarded/released: Payment terms vary by agreement awarded under this program.

Reports:

Unless otherwise stated in the agreement document, recipients shall submit on a quarterly or semi-annual basis Program Performance Reports. Upon completion of the agreement recipients shall submit a final Program Performance Report; and other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. Cash reports are not applicable. Progress reports are not applicable. Unless otherwise stated in the agreement document, recipients shall submit on a quarterly or semi-annual basis the SF-425, Federal Financial Report. Upon completion of the agreement recipients shall submit a final SF-425. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

State, local and Indian Tribal governments shall maintain project records in accordance with 43 CFR 12.82. All other recipients shall maintain project records in accordance with 43 CFR 12.953.

Account Identification:

14-0680-0-1-301.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 12 \$2,500,000; FY 13 est \$400,000; and FY 14 est \$0

Range and Average of Financial Assistance:

Range is \$36,000 to \$206,200,000; Average \$966,666.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Through the end of Fiscal Year 2012, from the \$375 million of available funding, approximately \$371 million has been obligated 52 projects and approximately \$3.6 million has been used for administrative purposes. In FY 11 and FY12, approximately \$120.94 million was obligated. Fiscal Year 2013: Information not available. Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

43 CFR 12 and applicable OMB Circulars. Documents may also be obtained by contacting Reclamation.

Regional or Local Office:

See Regional Agency Offices. Technical/Program Information contact Bureau of Reclamation, Lahontan Basin Area Office, Attention: Caryn Hunt DeCarlo, 705 North Plaza Street, Room 320, Carson City, Nevada 89701-4015. Telephone (775) 882-3436. Fax (775) 882-7592. Email chunttdecarlo@usbr.gov. Acquisition Office contact Bureau of Reclamation, Mid-Pacific Regional Office, Acquisition Services, 2800 Cottage Way, Room E-1815, Sacramento, California 95825-1898. Telephone (916) 978-5130. Fax (916) 978-5175/5182. Email 2WG3@usbr.gov.

Headquarters Office:

Caryn Hunt DeCarlo Bureau of Reclamation, Lahontan Basin Area, 705 North Plaza Street, Room 320, Carson City, Nevada 89701-4015 Email: chunttdecarlo@usbr.gov Phone: (775) 882-3436 Fax: (775) 882-7592

Website Address:

http://www.usbr.gov/mp/lbao/desert_terminal/index.html

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: In Fiscal Year 2011, \$93 million was awarded to the National Fish and Wildlife foundation to augment water rights purchase funding; \$1 million to the Summit Lake Paiute Tribe to plan and complete restoration efforts at Summit Lake; \$17.2 million to the Pyramid Lake Paiute Tribe for water acquisitions, and \$1 million to Walker River Paiute Tribe for legal and professional services in support of settling tribal water claims in the Walker River Basin.

In Fiscal Year 2012 funding was awarded for activities related to implementation of the Truckee River Operating Agreement including: \$500,000 to the State of California, Department of Water Resources, \$500,000 to the City of Fernly, \$1 million to the Pyramid Lake Paiute Tribe and \$1 million to the Truckee Meadows Water Authority. Fiscal Year 2013: Information not available. Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Evaluation factors may include, but are not limited to the following: 1) Mandatory: for the benefit of at-risk natural desert terminal lakes and associated riparian and watershed resources in Nevada and California or fund designated activities from legislative allocations; 2) Quantity of water supplied to the terminal lake, and the time period it will be supplied if applicable; 3) Total project cost and cost per acre-foot of water gained for a specified desert terminal lake if applicable; 4) Other benefits; 5) Funding from other sources; and 6) Broad support for the proposal.

15.509 TITLE II, COLORADO RIVER BASINSALINITY CONTROL PROGRAM

Basinwide Program

FEDERAL AGENCY:

Bureau of Reclamation, Department of the Interior

AUTHORIZATION:

Public Law 93-320, 59 Stat. 1219, 43 U.S.C. 1571-1573 and U.S.C. 1592(c), as amended; Sec. 2, Public Law 104-20, 43 U.S.C. 1592; Title III, Subtitle D, Chapter 4, Public Law 104-127, 110 Stat. 1006; Public Law 106-459, 114 Stat. 1987; and American Reinvestment Act of 2009, Public Law 111-5.

OBJECTIVES:

To provide financial and technical assistance to: (1) identify salt source areas; (2) develop project plans to carry out conservation practices to reduce salt loads; (3) install conversation practices to reduce salinity levels; (4) carry out research, education, and demonstration activities; (5) carry out monitoring and devaluation activities; and (6) to decrease salt concentration and salt loading which causes increased salinity levels within the Colorado River and to enhance the supply and quality of water available for use in the United States and the Republic of Mexico. Such programs shall consist of cost-effective measures and associated works to reduce salinity from saline springs, leaking wells, irrigation sources, industrial sources, erosion of public and private land, or other sources. For ARRA funded projects and in addition to the program objectives above, the section 3 of the ARRA states the following objectives:

- (1) To preserve and create jobs and promote economic recovery.
- (2) To assist those most impacted by the recession.
- (3) To provide investments needed to increase economic efficiency by spurring technological advances in science and health.
- (4) To invest in transportation, environmental protection, and other infrastructure that will provide long-term economic benefits.
- (5) To stabilize State and local government budgets, in order to minimize and avoid reductions in essential services and counterproductive state and local tax increases.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

Eligible owners or operators in approved project areas may receive assistance to treat salinity problems. For further information, please contact the regional

office.

Applicant Eligibility:

Federal, Interstate, Intrastate, State, Local, Sponsored organizations, Public nonprofit institution/organizations, Federally Recognized Indian Tribal Government, Individual/Family, Minority Group, Specialized Group, Small Business, Profit Organization, Other private institution/organization, general public, and Native American Organizations.

Beneficiary Eligibility:

Any person in the Colorado River Basin will benefit who uses or reuses water for irrigation, domestic, municipal or industrial water supply, or for fish and wildlife habitat.

Credentials/Documentation:

Some projects may require compliance with the National Environmental Policy Act and Endangered Species Act. Information regarding whether these requirements must be met will be included in the funding opportunity announcement posted on www.grants.gov. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Environmental compliance for this program may be required depending on the scope of the specific project funded. This may result in the need for an environmental impact assessment or environmental impact statement prior to the commencement of project activities. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Funding opportunity announcements for this program, along with registration procedures, application packages and instructions, SF-424 forms and any other forms to be used to submit application information, points of contact, and procedures for submitting applications will be available on www.grants.gov.

Award Procedure:

Reclamation will solicit proposals and award funding through a Funding Opportunity Announcement (FOA) process. The FOA will request proposals from public and private sectors that would control salinity based on cost per ton. The proposals will be ranked based on their cost effectiveness for preventing salt from entering the Colorado River system. The ranking would consider risk factors that might affect the project's performance. Upon receipt of applications/proposals by the date identified in the announcement(s), the applications will be reviewed to determine if application/proposal(s) are consistent with requirements identified in the announcements. The application/proposal(s) are reviewed against identified criteria by a Federal technical team of experts, that may include representatives of states as advisors. Based on the review, a ranking will be defined. Negotiations will be conducted and/or awards will be made based on recommendations from the technical experts. Award will be based on those applications best meeting the needs of the program, including cost and risk factors.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 90 days.

Appeals:

Final award decisions are not subject to appeal; however, the Bureau of Reclamation will provide all applicants with information on why their proposals were not selected for award.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: Cost share in the amount of \$.43 per \$1.00 of appropriated funds is provided from the Upper Colorado River Basin Fund and the Lower Colorado River Basin Development Fund (Basin Funds). Moneys in the Basin Funds come from a surcharge on power produced at Reclamation power generation facilities on the Colorado River.

\$1.00 Appropriated+\$.43 Basin Fund = \$1.43 for the Basinwide Salinity Control Program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Cooperative agreements and grants are normally written for a 1 to 5 year period. See the following for information on how assistance is awarded/released: Payment terms vary by agreement awarded under this program.

Reports:

Unless otherwise stated in the agreement document, recipients shall submit the following reports on a quarterly basis program performance reports. Annually, recipients shall submit an annual program performance report. Upon completion of the agreement, recipients shall submit a final program performance report, and other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. For Recovery Act projects recipient quarterly reporting is required in www.FederalReporting.gov. Cash reports are not applicable. Progress reports are not applicable. Unless otherwise stated in the agreement document, recipients shall submit the following reports on a quarterly basis the Federal Financial Report SF 425. Upon completion of the agreement, recipients shall submit a final Federal Financial Report SF 425. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

State, local and Indian Tribal governments shall maintain project records in accordance with 43 CFR 12.82. All other recipients shall maintain project records in accordance with 43 CFR 12.953.

Account Identification:

14-0680-0-3-301 - Appropriated; 14-4081-0-3-301 - Basin Funds (Not Appropriated).

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 12 \$7,884,113; FY 13 est \$8,000,000; and FY 14 est \$7,800,000. (Cooperative Agreements (Discretionary Grants)) FY 12 \$3,378,857; FY 13 est \$3,428,571; and FY 14 est \$3,342,857

Range and Average of Financial Assistance:

Range \$7,000,000 to \$20,000,000

Average \$11,278,133.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Reclamation had 18 active construction projects, in the Basinwide Program that will control an estimated 35,000 tons of salt. Fiscal Year 2013: Information not available. Fiscal Year 2014: Information not available.

REGULATIONS, GUIDELINES, AND LITERATURE:

43 CFR 12 and applicable OMB Circulars. These documents may also be obtained by contacting the Bureau of Reclamation Office listed below.

Regional or Local Office:

See Regional Agency Offices. Salinity Program: Mr. Kib Jacobson, Bureau of Reclamation, 125 South State Street, Salt Lake City UT 84138-1147, Phone: (801) 524-3753, Fax: (801) 524-5499, email: kjacobson@uc.usbr.gov; or Mr.

John Redlinger, Bureau of Reclamation, 400 Railroad Ave, Boulder City NV 89005, Phone: 702-293-8592, Fax: 702-293-8042, email: jredlinger@lc.usbr.gov. Western Colorado: Mr. Terry Stroh, Bureau of Reclamation, 2764 Compass Drive, Grand Junction CO 81506, Phone: 970-248-0637, Fax: 970-248-0601, email: tstroh@usbr.gov Eastern Utah and Western Wyoming: Mr. Ben Radcliffe, Bureau of Reclamation, 302 East 1860 South, Provo UT 84606, Phone: 801-379-1213, Fax: 801-379-1159, email: BRadcliffe@uc.usbr.gov.

Headquarters Office:

Terry Stroh Bureau of Reclamation, 2764 Compass Drive, Grand Junction, Colorado 20240 Email: tstroh@usbr.gov Phone: (970)248-0637 Fax: (970)248-0601

Website Address:

<http://www.usbr.gov/dataweb/html/crwq.html>, and
<http://www.usbr.gov/uc/progact/salinity/index.html>

RELATED PROGRAMS:

15.529 Upper Colorado and San Juan River Basins Endangered Fish Recovery Programs; 15.535 Upper Colorado River Basin Fish and Wildlife Mitigation Program.; 15.538 Lower Colorado River Multi-Species Conservation Program.; 15.541 Colorado River Basin Projects Act of 1968

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

In 1984, the Salinity Control Act was amended to direct the Secretary of the Interior to give preference to units which reduce salinity at the least cost per unit of salinity reduction (or cost-effectiveness). Cost-effectiveness is defined as the Salinity Program's annual cost per ton of salt prevented from entering the Colorado River system. Conceptually, cost-effectiveness is analogous to determining the cost per mile to own and operate a car. That computation combines the annual expenses (loan payments, gas, maintenance, etc.) and divides by the miles traveled each year. The key to understanding this approach is to appreciate that the government evaluates all projects as if the money is borrowed from a loan institution and repaid in annual installments over the life of the project. This economic evaluation principle is required by the "Economic and Environmental Principles Guidelines for Water and Related Land Resources Implementation Studies", March 1983. This method lends consistency in the comparison of non-federally financed alternatives (which must borrow funds) to Federally financed projects. Each proposal will be evaluated by a technical review panel in accordance with the following criteria and corresponding percentage weights: (a) Technical merit - those passing will be evaluated further, (b) Cost effectiveness 70 percent of the annual cost for each ton of salt load reduction, expressed in dollars per ton, (c) Performance risk 30 percent of performance risk will evaluate the cost and effectiveness risks of the proposal. More detailed information will be included in each funding announcement or may be obtained from the Salinity Control Program contacts listed above. For Recovery Act projects: The purposes are among others, to quickly and prudently commence activities that preserve and create jobs and to promote economic recovery, and to invest in transportation, environmental protection, and other infrastructure that will provide long-term economic benefits. To meet these purposes, Reclamation will allocate funds based on the criteria set forth in the Recovery Act and the accompanying conference report, and will target activities that quickly infuse money into the economy.

15.510 COLORADO UTE INDIAN WATER RIGHTS SETTLEMENT ACT

Colorado Ute Settlement Act/Animas La Plata

FEDERAL AGENCY:

Bureau of Reclamation, Department of the Interior

AUTHORIZATION:

Colorado River Basin Project Act, Public Law 90-537, 82 Stat.885; Colorado Ute Indian Water Rights Settlement Act of 1988, as amended, Public Law 100-585, 102 Stat. 1973; Colorado Ute Settlement Act Amendments of 2000, Public Law 106-554, 114 Stat. 2763A-266; and American Recovery and Reinvestment Act of 2009, Public Law 111-5.

OBJECTIVES:

To supply both municipal and industrial water supply to the Ute Mountain Ute, Southern Ute Indian Tribe, the Navajo Nation and non-Tribal participants from the Animas-La Plata Project in settlement of water rights claims for the Tribes, and also fulfill other project activities that may be required as a result of the construction, such as relocation of roads and moving power lines. To complete construction of a reconfigured Animas-La Plata Project, consisting of facilities to divert and store water from the Animas River to provide for an average annual depletion of 57,100 acre-feet of water, to be used for municipal and industrial water supply only, for the Ute Mountain and Southern Ute Indian Tribes, and other tribal and non-tribal entities in the Four Corners Region of the United States. Also, to supply 4,680 acre-feet per year to the Navajo Nation through a pipeline from Farmington to Shiprock, NM.

For Recovery Act funded projects and in addition to the program objectives stated above, section 3 of the Act states the following objectives: (1) To preserve and create jobs and promote economic recovery; (2) To assist those most impacted by the recession. (3) To provide investments needed to increase economic efficiency by spurring technological advances in science and health; (4) To invest in transportation, environmental protection, and other infrastructure that will provide long-term economic benefits; and (5) To stabilize State and local government budgets, in order to minimize and avoid reductions in essential services and counterproductive state and local tax increases.

TYPES OF ASSISTANCE:

Cooperative Agreements; Direct Payments for Specified Use; Project Grants

USES AND USE RESTRICTIONS:

The Bureau of Reclamation is specifically authorized to: (1) Construct a reservoir, a pumping plant, a reservoir inlet conduit, and appurtenant facilities with sufficient capacity to divert and store water from the Animas River to provide for an average annual depletion of 57,100 acre-feet of water to be used for a municipal and industrial water supply; (2) Meet specific annual depletion rates for water allocation delivery. (3) To supply 4,680 acre-feet per year to the Navajo Nation through a pipeline from Farmington to Shiprock, NM. The design and construction functions of the Bureau of Reclamation with respect to the Dolores and Animas-LaPlata Projects shall be subject to the provisions of the Indian Self-Determination and Education Assistance Act (ii Stat. 2203; 25 U.S.C. 450 et seq.) to the same extent as if such functions were performed by the Bureau of Indian Affairs.

Applicant Eligibility:

Federally Recognized Indian Tribal Government - Projects shall be subject to the provisions of the Indian Self-Determination and Education Assistance Act (ii Stat. 2203; 25 U.S.C. 450 et seq.) to the same extent as if such functions were performed by the Bureau of Indian Affairs; and for projects not awarded subject to Public Law 93-638: State, local, Federally recognized Indian Tribal governments, small businesses, individuals, and profit organizations.

Beneficiary Eligibility:

Federally recognized Indian Tribal Government members and the general public in southwestern Colorado and northwestern New Mexico.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. The Bureau of Reclamation is required by Public Law 100-585 to use Public Law 93-638 procedures to contract for construction of the Animas-La Plata Project with the Ute Mountain Ute Tribe and the Southern Ute Indian Tribe. Construction contracts for estimated quantities and with firm fixed unit pricing are issued to accomplish the work. For projects under this program that are not required to be awarded subject to Public Law 93-638, funding opportunity announcements, along with

registration procedures, application packages and instructions, SF-424 forms and any other forms to be used to submit application information, points of contact, and procedures for submitting applications will be available on <http://www.grants.gov>.

Award Procedure:

An initial statement of work is issued to the Tribe for review and pricing by the Contract Specialist. The Tribe reviews the initial statement of work, submits and proposal with an estimate for completion of the work. The proposal with cost estimate is evaluated by the Government Technical Team, the Government Cost and Price Analyst, Contract Specialist, Contract Administrator, and Contracting Officer. Negotiations are held on the various elements until an agreement is reached and an award is then made. For projects not awarded subject to Public Law 93-638, all applications will be initially screened for eligibility and compliance with the requirements stated in the program funding announcement. Applications passing this screening process will be forwarded for review by a proposal evaluation panel comprised of qualified experts in the program area. Applications will be reviewed against the proposal evaluation criteria, and any additional review factors, as stated in the funding announcement. Final selection will be determined by the Commissioner, Bureau of Reclamation, or regional officials, as applicable to the project.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Varies depending on the type and complexity of the project. Further information will be available for each specific project at the time the funding opportunity announcement is posted on www.grants.gov and may be obtained by contacting the Reclamation personnel listed as the point of contact in the funding announcement.

Appeals:

Final award decisions are subject to appeal; however, the Bureau of Reclamation will provide all applicants with information on why their proposals were not selected for award.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Public Law 93-638 contracts and agreements are written for a specific period of time to complete a project, but normally not over 5 years. Funds are disbursed by progress payments, based on percentage of completion of estimated quantities of work. Section 207, Section 17(b) of the Act as amended extended the project period through Fiscal Year 2012. See the following for information on how assistance is awarded/released: Funds are disbursed by progress payments, based on percentage of completion of estimated quantities of work. Negotiated indirect cost rate agreements for tribes are negotiated on behalf of the National Business Center, Acquisition Services Division.

Reports:

Annually, recipients shall submit an annual program performance report. Upon completion of the agreement, recipients shall submit a final program performance report, and other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. For Recovery Act projects, quarterly reporting is required. Cash reports are not applicable. Progress reports are not applicable. The Federal Financial Report, SF 425 is required and a final SF 425 is required upon on the completion of the agreement. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a

year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audit info." If 'No' is selected: "This program is excluded from coverage under OMB Circular No. A-133."

Records:

State, local and Indian Tribal governments shall maintain project records in accordance with 43 CFR 12.82. All other recipients shall maintain project records in accordance with 43 CFR 12.953.

Account Identification:

14-0680-0-3-301; 14-0681-0-3-301 - Recovery Act.

Obligations:

(Direct Payments for Specified Use) FY 12 \$3,764,089; FY 13 est \$3,167,661; and FY 14 est \$5,000. (Direct Payments for Specified Use) FY 12 \$175,427; FY 13 est \$550,000; and FY 14 est \$0

Range and Average of Financial Assistance:

Range is \$173,000 to \$93,519,000
Average \$2,554,059.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Three construction contracts and one agreement for components to Navajo Nation Municipal Pipeline are underway. The design and construction of the Permanent Operating Facility is underway. Construction of the entire Animas-La Plata Projects is nearly 76 percent complete. Fiscal Year 2013: Information not available. Fiscal Year 2014: Information not available.

REGULATIONS, GUIDELINES, AND LITERATURE:

43 CFR 12 and 18, OMB Circulars, Public Law 93-638, 25 CFR Part 900. These documents may also be obtained by contacting the Bureau of Reclamation Office listed below.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Barry Longwell, FCCO 100, Four Corners Construction Office, 103 Everett Street, Durango, Colorado 81303 Email: blongwell@usbr.gov Phone: (505) 325-1794 Fax: (505) 326-4388.

Website Address:

http://www.usbr.gov/library/annual_reports/FY2008/MDAPart1.pdf

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Durango Pumping Plant, Navajo Nation Municipal Pipeline, Design and Construct Permanent Operating Facility, Lake Nighthorse Boundary Fencing, Relocation of County Road 211, Lake Nighthorse Boat Ramp and Road Construction; Cultural Resources Investigations. Fiscal Year 2013: Information not available. Fiscal Year 2014: Information not available.

CRITERIA FOR SELECTING PROPOSALS:

There are no criteria for selecting proposals for projects awarded under Public Law 93-638 procedures. When needed work is identified, a request for proposal is issued with a statement of work. The Tribe submits a proposal based on the statement of work. Negotiations are held to arrive at a mutually agreed upon Public Law 93-638 contract for award of the project and the costs associated with performance of the work. For any projects that may be identified that are not subject to Public Law 93-638 procedures, specific evaluation criteria will be included in the funding announcements posted on www.grants.gov.

For ARRA funded project: The purposes of the Recovery Act are, among others, to quickly and prudently commence activities that preserve and create jobs and to promote economic recovery, and to invest in transportation, environmental protection, and other infrastructure that will provide long-term economic benefits. To meet these purposes, Reclamation will allocate funds based on the criteria set forth in the Recovery Act and accompanying conference report, and will target activities that quickly infuse money into the economy.

15.511 CULTURAL RESOURCES MANAGEMENT

FEDERAL AGENCY:

Bureau of Reclamation, Department of the Interior

AUTHORIZATION:

National Historic Preservation Act of 1966, Public Law 89-665, as amended; Archaeological and Historic Preservation Act of 1974, Public Law 93-291 and 16 U.S.C. 469-469c.

OBJECTIVES:

To manage and protect cultural resources on Reclamation land; provide for the curation of and public access to collectible heritage assets, including the increase of public awareness, appreciation, and knowledge of these resources; and provide for the protection and preservation of the tribal cultural resources impacted by operations of some Reclamation projects.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

All activities are related to Reclamation programs and activities. Cooperative agreements are used for providing long-term care of Reclamation-owned heritage assets. Grants are provided for the preservation of tribal cultural resources in support of the Glen Canyon Adaptive Management Program. Awards made under the National Historic Preservation Act are limited to project grants for providing heritage education services and, per 36 CFR Part 79, the curation of museum property. The amount of funding available for projects is highly variable from year to year. Projects are approved through the budget cycle and may require up to three years for funding approval. For further information, please contact the regional office.

Applicant Eligibility:

State and local agencies, sponsored organizations, public nonprofit institutions/organizations, other public institutions/organizations, Federally-recognized Indian Tribal governments, minority groups, specialized groups, small businesses, profit organizations, private nonprofit institutions/organizations, quasi-public nonprofit institutions/organizations, other private institutions/organizations, and Native American Organizations, educational or scientific organization, or any institution, corporation, association, or individual that possesses the requisite professional requirements.

Beneficiary Eligibility:

State and local governments, Tribes, universities, general public, entities that have an education mission or mission-component, and repositories that meet the standards of the Department of the Interior, Department Manual, Part 411: Managing Museum Property, Chapters 1, 2, and 3, for facilities managing Federal museum property. Further information regarding the general purpose and scope of the Department Manual (DM) is included in Part 001, Chapter 1, of the DM. The DM may be accessed at http://elips.doi.gov/app_DM/index.cfm?fuseaction=home.

Credentials/Documentation:

Repositories must meet the standards for managing museum property at 411 DM Chapters 1 through 3; and repositories holding archeological collections must also meet the standards for "Curation of Federally-owned and Administered Archeological Collections" included in the Code of Federal Regulations at Title 36, Part 79, available at <http://www.access.gpo.gov/nara/cfr/cfr-table-search.html#page1>. Activities under the Archaeological and Historic Preservation Act must be conducted by educational or scientific organizations, or any institution, corporation, association, or qualified individual. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Funding opportunity announcements for this program, along with registration procedures, application packages and instructions, SF-424 forms and any other forms to be used to submit application information,

points of contact, and procedures for submitting applications will be available on www.grants.gov.

Award Procedure:

All applications will be initially screened by Bureau of Reclamation personnel for eligibility and compliance with the requirements stated in the program funding announcement. Applications passing this screening process will be forwarded for review by the issuing office. Qualified experts from the Bureau of Reclamation will review applications against the proposal evaluation criteria, and any additional review factors, as stated in the funding announcement. Final selection will be determined by the Commissioner, Bureau of Reclamation, or regional officials, as applicable to the project.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Varies depending on the number of proposals received and the complexity of the project. Generally, offices review and award assistance agreements within 60 days of proposal submission.

Appeals:

None. Final award decisions are not subject to appeal; however, the Bureau of Reclamation will provide all applicants with information on why their proposals were not selected for award.

Renewals:

Some projects may be eligible for extension. If renewals or extensions are applicable to the project, this information will be included in the funding opportunity announcement. When renewals or extensions are applicable, continuation of funding for these activities is at the discretion of Congress and will be subject to availability of appropriated funds.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Agreements are typically awarded for periods of 1 to 5 years. See the following for information on how assistance is awarded/released: Information not available.

Reports:

Unless otherwise stated in the agreement document, recipients shall submit on a quarterly basis program performance reports. Upon completion of the agreement, recipients shall submit a final Performance Report; and other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. Cash reports are not applicable. Progress reports are not applicable. Unless otherwise stated in the agreement document, recipients shall submit on a quarterly basis the SF 425 Federal Financial Report. Upon completion of the agreement, recipients shall submit a final SF-425. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records, accounts, and supporting documents must be retained for 3 years after final request of reimbursement. For museum property, records must be in accordance with the specifications of 36 CFR Part 79 and 411 DM 1 through 3.

Account Identification:

14-0680-0-1-301.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 12 \$702,102; FY 13 est \$420,499; and FY 14 est \$392,800

Range and Average of Financial Assistance:

\$2,000 to \$194,000; average \$505,134.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Numerous assistance agreements have been awarded to governmental entities and universities for the curation museum property. Assistance agreements have been awarded to universities, museums, a state historical society, and a state historic preservation office for activities associated with the Archaeological and Historic Preservation Act, Native American Graves Protection and Repatriation Act, Archaeological Resources Protection Act and National Historic Preservation Act. Accomplishments include excavation of archaeological sites at a reservoir drawn down for safety of dams work, the repatriation of Native American human remains to the Navajo Nation, curating collections and making them accessible to the public, educating the public regarding archaeological resources, copying archaeological site records, developing a restoration plan and beginning restoration of the smokestack at the Heart Mountain Relocation Center National Historic Landmark, and investigating human burials exposed by low water levels at Reclamation's Lake Atlas. In addition, Reclamation completed the digital archiving of the report entitled Vanishing River. Grants have been awarded to tribes in support of preservation of their cultural resources along the banks of the Colorado River as part of the Glen Canyon Adaptive Management Program. Fiscal Year 2013: Information not available. Fiscal Year 2014: Information not available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Reclamation's cultural resources management program is governed by 36 CFR Part 800; 43 CFR Part 7; Department of the Interior Manual Chapter 411, Chapters 1 through 3; and Reclamation Policy and Directives & Standards for Cultural Resources Management and Museum Property Management available at <http://www.access.gpo.gov/nara/cfr/cfr-table-search.html> - page1/. 43 CFR 12 and 18, <http://www.access.gpo.gov/nara/cfr/cfr-table-search.html> - page1/; OMB Circulars, http://www.whitehouse.gov/omb/grants/grants_circulars.html/. These documents may also be obtained by contacting the Reclamation Office listed below.

Regional or Local Office:

See Regional Agency Offices. Please see listing of contacts at www.usbr.gov/cultural/crmstaff.html .

Headquarters Office:

Thomas Lincoln, Bureau of Reclamation, P.O. Box 25007 (Mail Code: 84-53000), Denver, Colorado 80225-0007 Phone: (303) 445-3311.

Website Address:

<http://www.usbr.gov/cultural/> and <http://www.usbr.gov/museumproperty/>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: The examples of funded projects include agreements with the University of Texas San Antonio and the Institute of the Great Plains for cataloging and curation of Reclamation museum property; University of Northern Colorado for curation of two sets of human remains from Pueblo Reservoir; Colorado Historical Society for public education efforts; University of Nebraska State Museum for testing sites at Red Willow Reservoir; Kansas State University for cultural resource inventory, evaluation and excavation of sites at Red Willow Reservoir and other Reclamation lands; University of North Dakota for archaeological investigations at Reclamation's Shadehill, Jamestown, and Heart Butte Reservoirs and McClusky Canal and producing electronic versions of cultural resource site records; University of Oklahoma for archaeological fieldwork and investigation of unanticipated discoveries; Sam Noble Oklahoma Museum of Natural History for curation of Reclamation collections and archaeological fieldwork for unanticipated discoveries; and Wyoming Department of State Parks and Cultural Resources for analysis and development of a restoration plan for the smokestack at the Heart Mountain Relocation Center National Historic Landmark. Fiscal Year 2013: Information not available. Fiscal Year 2014: Information not available.

CRITERIA FOR SELECTING PROPOSALS:

Criteria used for selecting a recipient are based on a balanced and informed review of a technical proposal, budget proposal, and relevancy to program

objectives. The specific evaluation criteria for each project will be included in the funding announcement posted on www.grants.gov.

15.512 CENTRAL VALLEY PROJECT IMPROVEMENT ACT, TITLE XXXIV**FEDERAL AGENCY:**

Bureau of Reclamation, Department of the Interior

AUTHORIZATION:

Reclamation Projects Authorization and Adjustment Act of 1992, Title XXXIV, Central Valley Project Improvement Act, Public Law 102-575; American Recovery and Reinvestment Act of 2009 (ARRA) (P.L. 111-5).

OBJECTIVES:

The purposes of the CVPIA are (1) to protect, restore, and enhance fish, wildlife, and associated habitats in the Central Valley and Trinity River basins of California, (2) to address impacts of the Central Valley Project on fish, wildlife, and associated habitats, (3) to improve the operational flexibility of the Central Valley Project, (4) to increase water-related benefits provided by the Central Valley Project to the State of California through expanded use of voluntary water transfers and improved water conservation, (5) to contribute to the State of California's interim and long-term efforts to protect the San Francisco Bay/Sacramento-San Joaquin Delta Estuary, and (6) to achieve a reasonable balance among competing demands for use of Central Valley Project water, including the requirements of fish and wildlife, agricultural, municipal and industrial and power contractors.

For Recovery Act funded projects and in addition to the the program objectives above, the section 3 of the Act states the following objectives: (1) To preserve and create jobs and promote economic recovery. (2) To assist those most impacted by the recession. (3) To provide investments needed to increase economic efficiency by spurring technological advances in science and health. (4) To invest in transportation, environmental protection, and other infrastructure what will provide long-term economic benefits. (5) To stabilize State and local government budgets, in order to minimize and avoid reductions in essential services and counterproductive state and local tax increases.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

Agreements will be awarded for projects that will result in attaining the objectives noted above and the mandates set out in the CVPIA. Areas of emphasis include fish and wildlife restoration activities and investigations, evaluation of ecologic and hydrologic effects of existing and alternative operations of water facilities and systems, and the effects of the CVP on anadromous fish populations. The Secretary of the Interior is authorized and directed to promulgate such regulations and enter into such agreements as may be necessary to implement the intent, purposes and provisions of Title XXXIV. This title does not and shall not be interpreted to authorize construction of water storage facilities. For further information, please contact the regional office.

Applicant Eligibility:

Irrigation and/or water districts; state, local, and county governmental entities with water management authority (e.g., State and Federal agencies, departments, boards, etc.); and other entities with water delivery authority as well as private nonprofit or profit organizations, and individuals located in the State of California.

Beneficiary Eligibility:

General public, government agencies, and water management authorities located in the State of California.

Credentials/Documentation:

None. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. An environmental impact statement is required for this program. This program is eligible for coverage under E.O. 12372,

"Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Funding opportunity announcements for this program, along with registration procedures, application packages and instructions, SF-424 forms and any other forms to be used to submit application information, points of contact, and procedures for submitting applications will be available on www.grants.gov.

Award Procedure:

All applications will be initially screened for eligibility and compliance with the requirements stated in the program funding announcement. Applications passing this screening process will be forwarded for review by a proposal evaluation panel comprised of qualified Federal experts in the program area. Applications will be reviewed against the proposal evaluation criteria, and any additional review factors, as stated in the funding announcement. Final selection will be determined by the Commissioner, Bureau of Reclamation, or regional officials, as applicable to the project.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

For current information on any upcoming funding opportunity announcements dates and deadlines, contact the Mid-Pacific Region, MP-400 Division of Resources Management, Sacramento, California, as listed in the Contacts section below.

Appeals:

None. Final award decisions are not subject to appeal; however, the Bureau of Reclamation will provide all applicants with information on why their proposals were not selected for award.

Renewals:

Continuation of funding for the Program from one fiscal year to the next is at the discretion of Congress. Yearly solicitation is made for projects of 24 months in duration or less, although multi-year projects may be considered if annual accomplishments can be demonstrated.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching Requirements: The Federal share of the costs of a project or activity carried out under this Program varies in accordance with the provisions of the CVPIA. Cost-share requirements will be included in the funding opportunity announcement posted on www.Grants.gov. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Proposals are requested for projects of 24 months in duration or less; however, funding for multi-year projects may be considered if annual accomplishments can be demonstrated. See the following for information on how assistance is awarded/released: Information not available.

Reports:

Annually, recipients shall submit an annual program performance report. Upon completion of the agreement, recipients shall submit a final program performance report, and other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures.

For Recovery Act projects, quarterly reporting is required. Cash reports are not applicable. Progress reports are not applicable. Federal Financial Report, SF 425 is required on a quarterly basis. Upon completion of the agreement recipients shall submit a final SF 425. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000

or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audit info." If "No" is selected: "This program is excluded from coverage under OMB Circular No. A-133.

Records:

State, local and Indian Tribal governments shall maintain project records in accordance with 43 CFR 12.82. All other recipients shall maintain project records in accordance with 43 CFR 12.953.

Account Identification:

14-5173-0-2-301.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 12 \$12,208,908; FY 13 est \$19,000,000; and FY 14 est \$17,000,000

Range and Average of Financial Assistance:

Range is \$5,000 to \$10,000,000; Average \$16,069.636.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: For Fiscal Year 2011, accomplishments included completion of four small fish screen projects on the Sacramento River, and a major 195 cfs fish screen on the San Joaquin River for Patterson Irrigation District. Construction continued on the American Basin Fish Screen and Habitat Improvement Project, a new pumping plant and fish screen upstream of the Red Bluff Diversion Dam, and a new screened diversion at Rock Slough for Contra Costa Water District. Preconstruction funding was also provided to Reclamation District 2035 for a new screened diversion on the Sacramento River. Work at Red Bluff and Rock Slough received ARRA funding in previous years. In FY 2011 and 2012, continued funding was provided to colleges, universities, non-profit organizations, and the State of California for ecological, biological, and environmental research and studies to provide a scientific foundation to inform habitat restoration and fishery mitigation activities. In FY 2011, the Refuge Water Conveyance Program delivered 367,592 acre-feet of Level 2 water to refuges; and delivered 101,854 acre-feet of Incremental Level 4 water to refuges. Construction on the Red Bluff Pumping Plant and Fish Screen, Rock Slough Fish Screen, and the American Basin Fish Screen was completed during FY 2012. In addition, funds were obligated on an agreement with Reclamation District 2035 for construction of a new screened pumping facility which is due to start construction in FY 2013. Smaller screen projects were completed at Bella Vista on the Sacramento River and Yuba City on the Feather River.

For FY 2012, the Refuge Water Conveyance Program delivered 402,454 a.f. of Level 2 water supply and 55,515 a.f. of Incremental Level 4 water. Refuge water delivery was accomplished through 9 long-term conveyance agreements which serve to convey refuge water through Federal, State, and private water distributions systems. Fiscal Year 2013: Information not available. Fiscal Year 2014: Information not available.

REGULATIONS, GUIDELINES, AND LITERATURE:

43 CFR 12, OMB Circulars, Program information: http://www.usbr.gov/mp/cvpia/title_34/public_law_complete.html . These documents may also be obtained by contacting the Reclamation Office listed below.

Regional or Local Office:

See Regional Agency Offices. Richard Woodely, Resources Chief, MP-400, (916)978-5556 and Shana Kaplan, CVPIA Program Manager, MP-400, (916)978-5190.

Headquarters Office:

None None, NA, Non-U.S. NA Phone: None

Website Address:

<http://www.usbr.gov/mp/>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: In FY 2011 and FY 2012, a number of large fish screen

projects were funded including those at Patterson Irrigation District, Rock Slough for Contra Costa Water District, Red Bluff for Tehema-Colusa Canal Authority, American Basin Fish Screen for Natomas Mutual Water Company, and pre-construction funding for a pumping plant and Reclamation District 2035. All of these projects were funded under cost-shared cooperative agreements under the Anadromous Fish Screen Program.

Funding agreements were also used to convey water to CVPIA designated refuges through 9 long-term conveyance agreements which serve to convey refuge water through Federal, State, and private water distribution systems.

Under the Habitat Restoration Program funds were provided in FY 2012 to Santa Clara College to fund the Metcalf Canyon Jewelflower Captive Propagation and Reintroduction Project in Southern Santa Clara County. This project reintroduces a federal endangered plant species at three sites on Tulare Hill and to supplement existing populations at Motorcycle County Park.

Illustrative of other work funded in FY 2012 was funding provided to the Pacific States Marine Fisheries Commission for the constant fractional marking and tagging of Chinook salmon. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Specific evaluation criteria will be included in the funding announcements posted on www.grants.gov.

For ARRA funded projects: The purposes of the Recovery Act are, among others, to quickly and prudently commence activities that preserve and create jobs and to promote economic recovery, and to invest in transportation, environmental protection, and other infrastructure that will provide long-term economic benefits. To meet these purposes, Reclamation will allocate funds based on the criteria set forth in the Recovery Act and the accompanying conference report, and will target activities that quickly infuse money into the economy.

15.513 DUTCH JOHN FEDERAL PROPERTY AND DISPOSITION ASSISTANCE ACT

FEDERAL AGENCY:

Bureau of Reclamation, Department of the Interior

AUTHORIZATION:

Dutch John Federal Property Disposition and Assistance Act of 1998, Public Law 105-326, 16 U.S.C. 460v.

OBJECTIVES:

To privatize certain lands in and surrounding Dutch John, Utah; to transfer jurisdiction to certain Federal property between the Secretary of Agriculture and the Secretary of the Interior; to improve the Flaming Gorge National Recreation Area; to dispose of certain residential units, public buildings, and facilities; to provide interim financial assistance to local government to defray the cost of providing basic governmental services; to achieve efficiencies in operation of the Flaming Gorge Dam and Reservoir and the Flaming Gorge National Recreation Area; to reduce long-term Federal outlays; and to serve the interests of the residents of Dutch John and Daggett County, Utah, and the general public.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

For the purpose of defraying costs of transition in administration and provision of basic community services, an annual payment of \$300,000 (as adjusted by the Secretary for changes in the Consumer Price Index for all-urban consumers published by the Department of Labor) shall be provided from the Upper Colorado River Basin Fund to Daggett County, Utah, for a period not to exceed 15 years. At the present time, no new project awards are being made under this authority and none are anticipated. Funding is only available for continuation of the existing grant with Daggett County.

Applicant Eligibility:

The residents in and around Daggett County, Utah.

Beneficiary Eligibility:

The residents in and around Daggett County, Utah.

Credentials/Documentation:

None. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. None.

Award Procedure:

At the present time, program funding is limited to providing additional annual funding to the existing project agreement with Daggett County. The Bureau of Reclamation will transfer on an annual basis the sum of \$300,000 to Daggett County, Utah, (as adjusted by the Secretary for changes in the Consumer Price Index for all-urban consumers published by the Department of Labor) by a amendment to the existing agreement.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grant funding is for a total of 15 years. See the following for information on how assistance is awarded/released: Payment terms vary by agreement awarded under this program.

Reports:

Unless otherwise stated in the agreement document, recipients shall submit Program Performance Reports. Annually, recipients shall submit an annual Program Performance Report. Upon completion of the agreement, recipients shall submit a final Program Performance Report, and other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. Cash reports are not applicable. Progress reports are not applicable. Unless otherwise stated in the agreement document, recipients shall submit the SF 425 Federal Financial Report. Upon completion of the agreement, recipients shall submit a final SF-425 Federal Financial Report. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records, accounts, and supporting documents must be retained for 3 years after submission for final request of reimbursement. State, local and Indian Tribal governments shall maintain project records in accordance with 43 CFR 12.82. All other recipients shall maintain project records in accordance with 43 CFR 12.953.

Account Identification:

14-4081-0-3-301.

Obligations:

(Project Grants) FY 12 \$0; FY 13 est \$814,830; and FY 14 est \$0

Range and Average of Financial Assistance:

\$0 to \$410,000; average \$271,610.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Funding that was on hand in FY2012 was used for administrative costs to the county that were created by Dutch John and also used to help fund the new Sheriff's office. Fiscal Year 2013: Information not available. Fiscal Year 2014: Information not available.

REGULATIONS, GUIDELINES, AND LITERATURE:

43 CFR 12 and OMB Circulars. These documents may also be obtained by contacting the Bureau of Reclamation Office listed below.

Regional or Local Office:

See Regional Agency Offices. Mr. C. Steven Hulet, Manager, Telephone Office: 435-885-3238, Fax 435-885-3224, chulet@usbr.

Headquarters Office:

Mr. C. Steven Hulet 1849 C Street, NW, District of Columbia, Washington 20240 Email: chulet@usbr.gov Phone: (435) 885-3238 Fax: (435) 885-3224

Website Address:

<http://www.usbr.gov/uc/news/dutchjohnfacts.html>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No funding was received in FY2012 but Dutch John still acquired administration cost of over \$400,000. Fiscal Year 2013: Information not available. Fiscal Year 2014: Information not available.

CRITERIA FOR SELECTING PROPOSALS:

No proposals are issued. Funding is transferred annually to Daggett County, Utah, in accordance with Section 13 of the Act.

15.516 FORT PECK RESERVATION RURAL WATER SYSTEM

Fort Peck Water Supply Project

FEDERAL AGENCY:

Bureau of Reclamation, Department of the Interior

AUTHORIZATION:

Fort Peck Reservation Rural Water System Act of 2000, Public Law 106-382; American Recovery and Reinvestment Act of 2009 (ARRA) (P.L. 111-5).

OBJECTIVES:

To ensure a safe and adequate municipal, rural, and industrial water supply for the residents of the Fort Peck Indian Reservation in the State of Montana; and to assist the citizens in those portions of Roosevelt, Sheridan, Daniels, and Valley Counties that are outside the Fort Peck Indian Reservation, in developing safe and adequate municipal, rural, and industrial water supplies.

FOR RECOVERY ACT:

For ARRA funded projects and in addition to the program objectives above, the section 3 of the ARRA states the following objectives:

- (1) To preserve and create jobs and promote economic recovery.
- (2) To assist those most impacted by the recession.
- (3) To provide investments needed to increase economic efficiency by spurring technological advances in science and health.
- (4) To invest in transportation, environmental protection, and other infrastructure that will provide long-term economic benefits.
- (5) To stabilize State and local government budgets, in order to minimize and avoid reductions in essential services and counterproductive state and local tax increases.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use (Cooperative Agreements); Project Grants (Cooperative Agreements or Contracts)

USES AND USE RESTRICTIONS:

The project is for the construction of municipal, rural, and industrial water supply systems that will serve the Fort Peck Indian Reservation (Assiniboine

and Sioux Rural Water System), and all or portions of Valley, Daniels, Sheridan and Roosevelt counties in northeastern Montana (Dry Prairie Rural Water System). At the present time, no new project awards are being made under this authority. Funding is only available for continuation of existing agreements.

Applicant Eligibility:

The Fort Peck Tribal Executive Board, Dry Prairie Rural Water Association Incorporated (or any successor non-Federal entity).

Beneficiary Eligibility:

The Fort Peck Indian Reservation, the Dry Prairie Rural Water Association, and the residents of all or portions of Valley, Daniels, Sheridan and Roosevelt counties in the State of Montana.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

These projects have met the requirements of the National Environmental Policy Act (NEPA) of 1969, and final engineering reports and water conservation plans have been approved. Any proposed changes in the scope of these projects will be reviewed for continued compliance. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Annual work plans and budgets are negotiated annually. The applicants must submit all applicable SF-424 Application for Federal Assistance forms, along with technical proposals and budget narratives.

Award Procedure:

Once the parties agree with their respective annual scopes of work and budgets, Reclamation's Great Plains Region finalizes the amendments.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Funding is available until all currently appropriated funds have been expended for this program. Additional funding for the program is at the discretion of Congress. Any request for a time extension must be in writing and in accordance with the provisions stated in the agreement.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching Requirements: The Federal share of the Fort Peck project is 100 percent (Sec. 4(j)); the Federal share of the Dry Prairie Rural Water System project is 76 percent (Sec. 5(a)(2)). Construction costs for both projects will total \$193 million (1998 dollars) with Dry Prairie's cost being \$68 million and the Reservation's portion being \$125 million. Funds are to be available until expended. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Beginning in fiscal year 2001, funding for these projects was authorized to be appropriated until year 2015. See the following for information on how assistance is awarded/released: Payment terms vary by agreement awarded under this program.

Reports:

Draft and final technical reports; quarterly recipient reports are required in www.FederalReporting.gov; annual reports; and reports on significant developments (as necessary).

For Recovery Act projects, quarterly reporting is required. Cash reports are not applicable. Progress reports are not applicable. Quarterly and final Federal Financial Reports, SF 425. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

State, local and Indian Tribal governments shall maintain project records in accordance with 43 CFR 12.82. All other recipients shall maintain project records in accordance with 43 CFR 12.953.

Account Identification:

14-0680-0-1-301; 14-0681-0-1-301 - Recovery Act.

Obligations:

(Project Grants (Cooperative Agreements or Contracts)) FY 12 \$1,490,000; FY 13 est \$0; and FY 14 est \$0 - ARRA. (Project Grants (Cooperative Agreements or Contracts)) FY 12 \$9,387,000; FY 13 est \$7,500,000; and FY 14 est \$5,000,000 -

Range and Average of Financial Assistance:

Range is \$50,000 to \$40,000,000; Average \$7,792,333.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Required technical and environmental reports for the construction of municipal, rural, and industrial water supply systems; partial construction of the systems in accordance with the final engineering report. Fiscal Year 2013: Information not available. Fiscal Year 2014: Information not available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations: 43 CFR 12 and OMB Circulars - These documents may also be obtained by contacting the Reclamation Office listed below.

Regional or Local Office:

See Regional Agency Offices. Montana Area Office: Mr. Dan Jewell, Area Manager, Bureau of Reclamation, PO Box 30137, Billings, MT, 59107-0137, Telephone: (406)247-7298, E-mail: djewell@usbr.gov.

Headquarters Office:

Doug Davis Bureau of Reclamation, Great Plains Regional Office, P.O. Box 36900, 316 North 26th Street, Billings, Montana 59107 Email: DouglasDavis@usbr.gov Phone: (406) 247-7710 Fax: (406) 247-7695

Website Address:

http://None.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Construction of municipal, rural, and industrial water supply systems for the Fort Pek Indian Reservation and for all or portion of Valley, Daniels, Sheridan, and Roosevelt counties in northeastern Montana. These projects are for the supply and distribution of clean drinking water to these areas. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The scopes of work must address and be responsive to the authorizing legislation, the final engineering report and the appropriation ceiling.

For ARRA funded projects:

The purposes of the Recovery Act are, among others, to quickly and prudently commence activities that preserve and create jobs and to promote economic recovery, and to invest in transportation, environmental protection, and other infrastructure that will provide long-term economic benefits. To meet these purposes, Reclamation will allocate funds based on the criteria set forth in the

Recovery Act and the accompanying conference report, and will target activities that quickly infuse money into the economy.

15.517 FISH AND WILDLIFE COORDINATION ACT

FEDERAL AGENCY:

Bureau of Reclamation, Department of the Interior

AUTHORIZATION:

Fish and Wildlife Coordination Act of 1934, Public Law 85-624, 16 U.S.C. 661 et seq., as amended, and Section 7(a) of the Fish and Wildlife Coordination Act (FWCA) (70 Stat 1122; 16 U.S.C. 742f(a)); as limited and delegated by the Secretary of the Interior delegation of authority to the Bureau of Reclamation at 255 DM 1.1B:

1.1 Delegation. Subject to the exceptions in Section 1.2, the Commissioner of Reclamation (Commissioner) is delegated the authority of the Assistant Secretary Water and Science to:

B. Take the following actions, either directly or by providing financial assistance to non-Federal parties, pursuant to the Conservation of Wild Life, Fish and Game Act of March 10, 1934 (Public Law 73-121; 48 Stat. 401) as amended by the Fish and Wildlife Coordination Act of August 14, 1946 (Public Law 85-624; 72 Stat. 563; 16 U.S.C. 661-666c); Section 5 of the Endangered Species Act of 1973, December 28, 1973 (Public Law 93-205; 87 Stat. 884; 16 U.S.C. 1534); and Section 7(a) of the Fish and Wildlife Coordination Act of 1956, August 8, 1956 (70 Stat. 1122; 16 U.S.C. 742f(a)), regarding the construction and/or continued operation and maintenance of any Federal reclamation project:

(1) plan, design, and construct, including acquiring lands or interest therein as needed for:

(a) fish passage and screening facilities at any non-Federal water diversion or storage project; or

(b) projects to create or improve instream habitat.

(2) acquire or lease water or water rights from willing sellers or lessors; or

(3) monitor and evaluate the effect of Reclamation actions on Endangered Species Act- listed species.

FOR RECOVERY ACT:

American Recovery and Reinvestment Act of 2009 (ARRA) (Public Law 111-5).

OBJECTIVES:

To provide financial assistance, through grants or cooperative agreements, to public or private organizations for the improvement of fish and wildlife habitats associated with water systems or water supplies affected by Bureau of Reclamation projects.

FOR RECOVERY ACT:

For ARRA funded projects and in addition to the program objectives above, the section 3 of the ARRA states the following objectives:

(1) To preserve and create jobs and promote economic recovery.

(2) To assist those most impacted by the recession.

(3) To provide investments needed to increase economic efficiency by spurring technological advances in science and health.

(4) To invest in transportation, environmental protection, and other infrastructure that will provide long-term economic benefits.

(5) To stabilize State and local government budgets, in order to minimize and avoid reductions in essential services and counterproductive state and local tax increases.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

In accordance with the delegation of authority to the Bureau of Reclamation in the Department of the Interior Department Manual, Part 255, Chapter 1, Section 1.1.B, projects are limited to the improvement of fish and wildlife habitat associated with water systems or water supplies affected by Bureau of Reclamation projects, and in isolated cases, off-site locations not affected by Bureau of Reclamation projects. For further information, please contact the regional office.

Applicant Eligibility:

State and local governments, nonprofit organizations and institutions, public and private institutions and organizations, Federally recognized Indian Tribal Governments, individuals, small businesses, for-profit organizations, and Native American Organizations.

Beneficiary Eligibility:

Anyone/general public, governmental entities, Tribal governments, Native American organizations, and/or public or private organizations in the specific project area.

Credentials/Documentation:

None. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Environmental compliance for this program may be required depending on the scope of the specific project funded. This may result in the need for an environment impact assessment or environmental impact statement prior to the commencement of project activities. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Funding opportunity announcements for this program, along with registration procedures, application packages and instructions, SF-424 forms and any other forms to be used to submit application information, points of contact, and procedures for submitting applications will be available on www.grants.gov.

Award Procedure:

For competitive awards: Proposals received in response to the Announcement were reviewed on the basis of a competitive, merit-based review process, and are rated in accordance with the evaluation criteria stated in the Announcement. Reclamation will make awards to the highest rated proposals based on the amount of funding available each year.

For single-source awards, a Notice of Intent to Award is announced on www.grants.gov providing justification for the noncompetitive research award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Varies depending on the type and complexity of the project. Further information will be available for each specific project at the time the funding opportunity announcement is posted on www.grants.gov and may be obtained by contacting the Reclamation personnel listed as the point of contact in the funding announcement.

Appeals:

None. Final award decisions are not subject to appeal; however, the Bureau of Reclamation will provide all applicants with information on why their proposals were not selected for award.

Renewals:

If renewals or extensions are applicable to the project, this information will be included in the funding opportunity announcement. When renewals or extensions are applicable, continuation of funding for these activities is at the discretion of Congress and will be subject to availability of appropriated funds.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. This program has no matching requirements. Specific projects or announcements may require nonfederal cost-share. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Projects are generally awarded for 1 to 5 years. See the following for information on how assistance is awarded/released: Payment terms vary by agreement awarded under this program.

Reports:

Unless otherwise stated in the agreement document, recipients shall submit program performance reports. Upon completion of the agreement, recipients

shall submit a final program performance report, and other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures.

For Recovery Act projects, quarterly reporting is required. Cash reports are not applicable. Progress reports are not applicable. Unless otherwise stated in the agreement document, recipients shall submit the Federal Financial Report, SF 425. Upon completion of the agreement, recipients shall submit a final Federal Financial Report, SF 425. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

State, local and Indian Tribal governments shall maintain project records in accordance with 43 CFR 12.82. All other recipients shall maintain project records in accordance with 43 CFR 12.953.

Account Identification:

14-0680-0-1-301; 14-0681-0-1-301 - Recovery Act.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 12 \$20,476,717; FY 13 est \$20,000,000; and FY 14 est \$20,000,000. (Cooperative Agreements (Discretionary Grants)) FY 12 \$0; FY 13 est \$0; and FY 14 est \$0 - ARRA.

Range and Average of Financial Assistance:

Range is \$1,000 to \$12,500,000; Average \$20,158,905.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Improvements to fish and wildlife habitat through award of projects that track fish and wildlife movement, habitat temperature monitoring, collection and analysis of invertebrates, genetics studies, surveying and monitoring of fish and wildlife and salinity studies, and construction of fish passage improvements. Fiscal Year 2013: Information not available. Fiscal Year 2014: Information not available.

REGULATIONS, GUIDELINES, AND LITERATURE:

43 CFR 12 and applicable Office of Management and Budget Circulars. These documents may also be obtained by contacting the Bureau of Reclamation Office listed below.

Regional or Local Office:

See Regional Agency Offices. Specific contact information will be provided in each funding announcement.

Headquarters Office:

Director Bureau of Reclamation, 1849 C Street, NW, Washington, District of Columbia 20240 Phone: (202)208-3100

Website Address:

<http://www.usbr.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Examples of funded projects include: Beaver Creek Rock Weirs Modification, Lapwai basin Fish Flow Studies, Brook Trout Suppression Monitoring Project, Endangered Species Restoration Program Support - Monitoring Lake Limnology Upper Klamath Lake. Fiscal Year 2013: Information not available. Fiscal Year 2014: Information not available.

CRITERIA FOR SELECTING PROPOSALS:

Specific evaluation criteria will be included in the funding announcements posted on www.grants.gov.

For ARRA funded projects:

The purposes of the Recovery Act are, among others, to quickly and prudently

commence activities that preserve and create jobs and to promote economic recovery, and to invest in transportation, environmental protection, and other infrastructure that will provide long-term economic benefits. To meet these purposes, Reclamation will allocate funds based on the criteria set forth in the Recovery Act and the accompanying conference report, and will target activities that quickly infuse money into the economy.

15.518 GARRISON DIVERSION UNIT

Garrison Diversion Unit Project

FEDERAL AGENCY:

Bureau of Reclamation, Department of the Interior

AUTHORIZATION:

Garrison Diversion Unit, Missouri River Basin Project, Public Law 89-108, as amended; Energy and Water Development Appropriation Act of 1985, Public Law 98-360; Garrison Diversion Unit Reformulation Act of 1986, Public Law 99-294; Dakota Water Resources Act of 2000, Public Law 106-554, Indian Self-Determination and Education Act, Public Law 930638, as amended; American Recovery and Reinvestment Act of 2009.

OBJECTIVES:

To meet the water needs within the State of North Dakota by providing funds on a non-reimbursable basis for the planning and construction of a multi-purpose water resource development project within the State of North Dakota for irrigation; municipal, rural, and industrial water; fish, wildlife, and other natural resource conservation and development; recreation; flood control; augmented stream flows; ground water recharge; and other project purposes. For Recovery Act funded projects and in addition to the program objectives stated above, Section 3 of the Act states the following objectives: (1) To preserve and create jobs and promote economic recovery; (2) To assist those most impacted by the recession; (3) To provide investments needed to increase economic efficiency by spurring technological advances in science and health; (4) To invest in transportation, environmental protection and other infrastructure that will provide long-term economic benefits; and (5) To stabilize State and local government budgets, in order to minimize and avoid reductions in essential services and counterproductive state and local tax increases.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements or Contracts)

USES AND USE RESTRICTIONS:

Different projects and programs under the authorization have different cost ceilings. The Bureau of Reclamation's Great Plains Region shall enter into cooperative agreements with the State of North Dakota, the Garrison Conservancy District, the Standing Rock Sioux, the Three Affiliated Tribes, the Spirit Lake Nation, and the Turtle Mountain Band of Chippewa and the Trenton Indian Service Area to undertake the authorized activities, programs and projects as the Secretary of the Interior determines necessary.

Applicant Eligibility:

The State of North Dakota, the Garrison Conservancy District, the Standing Rock Sioux, the Three Affiliated Tribes, the Spirit Lake Nation, the Turtle Mountain Band of Chippewa and the Trenton Indian Service Area.

Beneficiary Eligibility:

The citizens of the State of North Dakota and the Standing Rock Sioux, the Three Affiliated Tribes, the Spirit Lake Nation, and the Turtle Mountain Band of Chippewa.

Credentials/Documentation:

See Public Law 99-294, Section 2, for considerations to be given to fish and wildlife conservation, including habitat impacts, mitigation procedures, and enhancement. See Public Law 99-294, Section 3, regarding when it may be necessary for applicants to complete a comprehensive report covering feasibility, capability, impacts, cost and efficiency measures, National Environmental Policy Act requirements, and other matters. Please contact the Bureau of Reclamation personnel listed in the Contacts section, below, for further information regarding these requirements. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication is not required. Depending on the project, an environmental impact assessment or statement may be required. If requested, the Bureau of Reclamation's Great Plains Region will assist the State of North Dakota, the Garrison Conservancy District, the Standing Rock Sioux, the Three Affiliated Tribes, the Spirit Lake Nation, and the Turtle Mountain Band of Chippewa and the Trenton Indian Service Area in preparing their respective proposals. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Applications shall include: (1) Detailed written technical proposals including background data regarding the applicants, technical approaches proposed to accomplish the work, scopes of work that separate the work into major tasks, types of personnel implementing the proposals, experience in performing these types of work, timelines, the levels of cooperation with other parties involved in the proposals, and other information that may be useful for proposal evaluation; and (2) Detailed project cost proposals, including budgets with estimated project costs, including salaries and wages, fringe benefits, travel, equipment, supplies, contracts, and indirect costs, and the values of any in-kind contributions of goods and services. Applicants must submit all applicable SF-424 Application for Federal Assistance forms, along with their technical and budget proposals.

Award Procedure:

Once the parties agree with their respective scopes of work and cooperative agreements, the Bureau of Reclamation's Great Plains Region will finalize the agreements. The Bureau of Reclamation's Great Plains Region will negotiate and enter into cooperative agreements with the State of North Dakota, the Garrison Conservancy District, the Standing Rock Sioux, the Three Affiliated Tribes, the Spirit Lake Nation, the Turtle Mountain Band of Chippewa and the Trenton Indian Service Area. The tribes may choose to enter into an agreement under Public Law 93-638, the Indian Self-Determination and Education Assistance Act. For those agreements that are in place, the Bureau of Reclamation negotiates annually the workplan and budget for that fiscal year.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Funding is available until all currently appropriated funds have been expended for this program. Additional funding for the program is at the discretion of Congress. Any request for a time extension must be in writing in accordance with the provisions stated in the agreement.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

There is no time restriction placed on the use of the funds. A schedule will be established between the Bureau of Reclamation and the recipient at the time of award. Any request for a time extension must be in writing in accordance with the provisions stated in the agreement. See the following for information on how assistance is awarded/released: Payment terms vary by agreement awarded under this program.

Reports:

Quarterly Program Performance Reports. Upon completion of the agreement, recipients shall submit a final Program Performance Report; and other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. For Recovery Act projects, quarterly online reporting is required by the 10th of the month following each quarter end, in accordance with ARRA PL 111-5, Section 1512 and related regulations

at 2 CFR 176, Subpart A. Cash reports are not applicable. Progress reports are not applicable. Quarterly SF-425, Federal Financial Report and upon completion of the agreement, recipients shall submit a final SF-425. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. FOR RECOVERY ACT: 2 CFR 176, Subpart D Single Audit Information for Recipients of Recovery Act Funds and specific ARRA information in OMB Circular A-133. Separate tracking of ARRA funds. Separately identify the expenditures for Federal awards under the Recovery Act on the Schedule of Expenditures of Federal Awards (SEFA) and the Data Collection Form (SFSAC). Identify ARRA titles by inclusion of the prefix ARRA- in identifying the name of the Federal program on the SEFA and SFSAC.

Records:

State, local and Indian Tribal governments shall maintain project records in accordance with 43 CFR 12.82 or 25 CFR 900 Subpart F, if applicable. All other recipients shall maintain project records in accordance with 43 CFR 12.953.

Account Identification:

14-0681-0-1-301 - ARRA; 14-0680-0-1-301.

Obligations:

(Project Grants (Cooperative Agreements or Contracts)) FY 12 \$12,286,304; FY 13 est \$10,100,000; and FY 14 est \$0. (Project Grants (Cooperative Agreements or Contracts)) FY 12 \$0; FY 13 est \$0; and FY 14 est \$0 - ARRA.

Range and Average of Financial Assistance:

Range is \$2,000 to \$40,000,000; Range \$7,462,101.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Standing Rock, Three Affiliated, Spirit Lakes Tribes, Turtle Mountain Band of Chippewa and the Trenton Indian Service Area entered into and completed a number of construction contracts for MR&I of the Garrison Project. North Dakota State MR&I Program also completed a number of rural water contracts. Operation and maintenance activities were also accomplished on the tribal rural water systems and GDU principal supply works and wildlife areas. Fiscal Year 2013: Information not available. Fiscal Year 2014: Information not available.

REGULATIONS, GUIDELINES, AND LITERATURE:

43 CFR 12 and the OMB Circulars. These documents may also be obtained by contacting the Bureau of Reclamation Office listed below.

Regional or Local Office:

See Regional Agency Offices. Dakotas Area Office: Mr. Richard Long, Area Manager, Bureau of Reclamation, Address: P.O. Box 1017, Bismarck, ND 58501, Telephone: (701) 221-1201, E-mail: rlong@usbr.gov.

Headquarters Office:

Lindsey Nafts, Bureau of Reclamation, Great Plains Regional Office, P.O. Box 36900, 316 North 26th Street

Linda Parker, Senior Grants & Agreements Specialist, Phone: (406) 247-7818; Email: lparker@usbr.gov, Billings, Montana 59101-6900 Email: LNafts@usbr.gov Phone: (406) 247-7684.

Website Address:

<http://www.usbr.gov/gp/>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Funds were used to construct the Standing Rock Memorial Intake Structure the new Standing Rock water treatment plant and raw water pipeline. Expansion of the pipeline distribution systems for the Three Affiliated

Tribes water systems. Continuing the Spirit Lake Tribe Water Treatment Plant construction. Construction of new water distribution for Emmons County and Southwest Pipeline projects. Continued operation and maintenance of tribal rural water systems and GDU principal supply works. Fiscal Year 2013: Information not available. Fiscal Year 2014: Information not available.

CRITERIA FOR SELECTING PROPOSALS:

The scopes of work must address and be responsive to the authorizing legislation and the appropriation ceiling, and any stated evaluation criteria. For Recovery Act projects: The purposes are among others, to quickly and prudently commence activities that preserve and create jobs and to promote economic recovery, and to invest in transportation, environmental protection, and other infrastructure that will provide long-term economic benefits. To meet these purposes, Reclamation will allocate funds based on the criteria set forth in the Recovery Act and the accompanying conference report, and will target activities that quickly infuse money into the economy.

15.519 INDIAN TRIBAL WATER RESOURCES DEVELOPMENT, MANAGEMENT, AND PROTECTION

Indian Tribal Water Resources

FEDERAL AGENCY:

Bureau of Reclamation, Department of the Interior

AUTHORIZATION:

Consolidated Appropriations Resolution, 2003, Division D Energy and Water Development Appropriations, Title II, Section 201, Public Law 108-7. American Recovery and Reinvestment Act of 2009 (ARRA) (P.L. 111-5).

OBJECTIVES:

To increase opportunities for Indian tribes to develop, manage, and protect their water resources.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants); Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

Grants and cooperative agreements are authorized to increase opportunities for Indian tribes to develop, manage, and protect their water resources. Nothing in this Act is intended to modify or limit the provisions of the Indian Self-Determination Act (25 U.S.C. 45 et seq.). For further information, please contact the regional office.

Applicant Eligibility:

Federally recognized Indian tribes, institutions of higher education, national Indian organizations, and tribal organizations located in the 17 western States identified in the Act of June 17, 1902, as amended; specifically, Arizona, California, Colorado, Idaho, Kansas, Montana, Nebraska, Nevada, New Mexico, North Dakota, Oklahoma, Oregon, South Dakota, Texas, Utah, Washington, and Wyoming.

Beneficiary Eligibility:

Federally recognized Indian tribes in the 17 western states.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Funding opportunity announcements for this program, along with registration procedures, application packages and instructions, points of contact, and procedures for submitting applications will be available on www.grants.gov.

Award Procedure:

All applications will be initially screened for eligibility and compliance with the requirements stated in the program funding announcement. Applications passing this screening process will be forwarded for review by a proposal

evaluation panel comprised of Bureau of Reclamation program managers who are qualified experts in the program area. Applications will be reviewed against the proposal evaluation criteria, and any additional review factors, as stated in the funding announcement.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Varies depending on the type and complexity of the project. Further information will be available for each specific project at the time the funding opportunity announcement is posted on www.grants.gov and may be obtained by contacting the Bureau of Reclamation personnel listed as the point of contact in the funding announcement.

Appeals:

None. Final award decisions are not subject to appeal; however, the Bureau of Reclamation will provide all applicants with information on why their proposals were not selected for award.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Projects generally last one to two years. See the following for information on how assistance is awarded/released: Payment terms vary by agreement awarded under this program.

Reports:

Unless otherwise stated in the agreement document, recipients shall submit on an annual basis Program Performance Reports. Upon completion of the agreement, recipients shall submit a final Program Performance Report, and other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures.

For Recovery Act projects, quarterly reporting is required. Cash reports are not applicable. Progress reports are not applicable. Unless otherwise stated in the agreement document, recipients shall submit on an annual basis the SF-425, Federal Financial Report. Upon completion of the agreement, recipients shall submit a final SF-425. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Indian Tribal governments and organizations shall maintain project records in accordance with 43 CFR 12.82. Institutions of higher education shall maintain project records in accordance with 43 CFR 12.953.

Account Identification:

14-0680-0-1-301.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 12 \$1,930,294; FY 13 est \$1,000,000; and FY 14 est \$1,000,000

Range and Average of Financial Assistance:

\$5,000 to \$120,000; Average \$1,310,098.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Projects have been awarded which address a wide variety of tribal water resources needs and issues, including water quality, groundwater, water conservation, habitat restoration, and water needs assessments. Fiscal

Year 2013: Information not available. Fiscal Year 2014: Information not available.

REGULATIONS, GUIDELINES, AND LITERATURE:

43 CFR 12 and OMB Circulars. These documents may also be obtained by contacting the Bureau of Reclamation Office listed below.

Regional or Local Office:

See Regional Agency Offices. Pacific Northwest Region: Telephone:(208)378-5008, Web: <http://www.usbr.gov/native/regions/pn/index.html>; Mid-Pacific Region: Telephone: (916) 978-5194, Web: <http://www.usbr.gov/native/regions/mp/index.html>; Lower Colorado Region: Telephone: (702) 293-8426, Web: <http://www.usbr.gov/native/regions/lc/index.html>; Upper Colorado Region: Telephone: (801) 524-3674, Web: <http://www.usbr.gov/native/regions/uc/index.html>; Great Plains Region: Telephone: (406) 247-7710, Web: <http://www.usbr.gov/native/regions/gp/index.html>.

Headquarters Office:

Director Native American and International Affairs Office, 1849 C Street, NW, Washington, District of Columbia 20240 Phone: (202)513-0550

Website Address:

<http://www.usbr.gov/native/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Water quality monitoring and remediation, infrastructure assessments, irrigation project rehabilitation, watershed improvement, habitat restoration, water conservation, drought relief, aquifer studies and monitoring, erosion control, and infrastructure improvement. Fiscal Year 2013: Information not available. Fiscal Year 2014: Information not available.

CRITERIA FOR SELECTING PROPOSALS:

Proposed projects must be related to the development, management and protection of Indian tribal water resources.

15.520 LEWIS AND CLARK RURAL WATER SYSTEM

(Lewis and Clark Project)

FEDERAL AGENCY:

Bureau of Reclamation, Department of the Interior

AUTHORIZATION:

Fiscal Year 2000, Department of Defense Supplemental Appropriations Act, Division B, Chapter 3, Title IV, Lewis and Clark Rural Water System, Public Law 106-246, Public Law 111-5.

OBJECTIVES:

To provide safe and adequate municipal, rural, and industrial water supplies, mitigation of wetland areas and water conservation for the Lewis and Clark Rural Water System member entities located in southeastern South Dakota, southwestern Minnesota, and northwestern Iowa.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

This project is for the planning and construction of a bulk water supply system to provide municipal, rural, and industrial water supplies, mitigation of wetland areas and water conservation for the Lewis and Clark Rural Water System member entities located in southeastern South Dakota, southwestern Minnesota member entities located in southeastern South Dakota, southwestern Minnesota, and northwestern Iowa. Mitigation of wetlands areas have been incorporated into the project. Congress has specifically authorized the Secretary of the Interior to administer this project in southwestern Minnesota and northwestern Iowa. At the present time, no new project awards are being made under this authority. Funding is only available for continuation of existing agreements.

Applicant Eligibility:

The Lewis and Clark Rural Water Supply System, Inc., and its member entities

(rural water systems and municipalities that meet the requirements for membership in the Lewis and Clark Rural Water Supply System, Inc.).

Beneficiary Eligibility:

Fifteen communities and 5 rural water systems in Lake, McCook, Minnehaha, Turner, Lincoln, Clay, and Union Counties, in southeastern South Dakota; Rock and Nobles Counties, in Southwestern Minnesota; and Lyon, Sioux, Osceola, O'Brien Dickinson, and Clay Counties, in northwestern Iowa.

Credentials/Documentation:

The project requires submission of a final engineering report, National Environmental Policy Act compliance, a plan for water conservation and mitigation plans for fish and wildlife losses in accordance with Section 4104 of the Act. OMB Circular A-87 requirements are applicable. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Amendments to incorporate annual work plans and budgets are negotiated annually. The recipient must submit all applicable SF-424 Application for Federal Assistance forms, along with (1) Detailed written technical proposals including background data regarding the applicants, technical approaches proposed to accomplish the work, scopes of work that separate the work into major tasks, types of personnel implementing the proposals, experience in performing these types of work, timelines, the levels of cooperation with other parties involved in the proposals, and other information that may be useful for proposal evaluation; and (2) Detailed project cost proposals, including budgets with estimated project costs, including salaries and wages, fringe benefits, travel, equipment, supplies, contracts, and indirect costs, and the values of any in-kind contributions of goods and services.

Award Procedure:

Once the parties agree with their respective annual scopes of work and budgets, the Bureau of Reclamation's Great Plains Region finalizes the amendments.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Grantees are required to update their work plan and submit a current year budget annually.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching Requirements: The Federal share of the project is 80 percent; except that Federal funds for the City of Sioux Falls, South Dakota, shall be 50 percent of the incremental cost to the city of participation in the project. (Public Law 106-246, Section 4108). MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Funding will be available until all appropriated funds have been expended for this program, or at such time the Grants Officer declares construction of the project complete. Additional funding for the program is at the discretion of Congress. Any request for a time extension must be in writing in accordance with the provisions stated in the agreement. As of September 30, 2009, the federal appropriation ceiling (October 2011 price levels) as prepared by the Bureau of Reclamation was \$393,769,000 and the projected cost ceiling from all funding sources was \$499,806,000. The amount and timing of disbursement of assistance is based on progress and need. Payments will be made using the Automated Standard Application for Payment (ASAP). See the following for information on how assistance is awarded/released: Payment terms vary by agreement awarded under this program.

Reports:

Unless otherwise stated in the agreement document, recipients shall submit the following reports during the term of agreement Program Performance Reports. Upon completion of the agreement, recipients shall submit a final Program Performance Report; and other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. Cash reports are not applicable. Progress reports are not applicable. Quarterly and final Federal Financial Report, SF 425. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. For Recovery Act: 2 CFR 176, Subpart D - Single Audit Information for Recipients of Recovery Act Funds and specific ARRA information on OMB Circular A-133. Separate tracking of ARRA funds. Separately identify the expenditures for Federal awards under the Recovery Act on the Schedule of Expenditures of Federal Awards (SEFA) and the Data Collection Form (SF-SAC). Identify ARRA titles by inclusion of the prefix "ARRA-" in identifying the name of the Federal program on the SEFA and SF-SAC.

Records:

Project records shall be maintained in accordance with 43 CFR 12.953.

Account Identification:

14-0680-0-1-301.

Obligations:

(Cooperative Agreements) FY 12 \$5,346,000; FY 13 est \$4,500,000; and FY 14 est \$0

Range and Average of Financial Assistance:

Range is \$600,000 to \$83,801,869; Average \$3,282,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Program accomplishments include completion of installation of 6 pumps, motors and piping in existing wells and pump houses, and 5 new wells, pumps, motors and piping in the Mulberry Point Well Field along with the associated electrical and SCADA facilities. Construction on Phase 1 of the Water Treatment Plant is complete. Phase 2 of the Water Treatment Plant was awarded on May 28, 2009 and placed into service on July 30, 2012 with water delivery to 12 to 25 connections of the first 11 members. The Tea Reservoirs, Tea Pump Station, 85th Street Tower, 5 Meter Buildings and the 54-inch Pipeline Commissioning are complete and have been placed into service. The 6-mile, 24-inch Minnesota Segment 1A and 21 miles of 6, 8 and 10-inch PVC service lines have also been completed and placed into service. Fiscal Year 2013: Information not available. Fiscal Year 2014: Information not available.

REGULATIONS, GUIDELINES, AND LITERATURE:

43 CFR 12 and applicable OMB Circulars; these documents may also be obtained by contacting the Bureau of Reclamation office listed below.

Regional or Local Office:

See Regional Agency Offices. Dakotas Area Office: Mr. Richard Long, Area Manager, Bureau of Reclamation, Address: P.O. Box 1017, Bismarck, ND 58501, Telephone: (701)221-1201, E-mail: rlong@usbr.gov.

Headquarters Office:

Lindsey Nafts, Bureau of Reclamation, Great Plains Regional Office, P.O. Box 36900, 316 North 26th Street, Billings, Montana 59101-6900 Email: LNafts@usbr.gov Phone: (406) 247-7684

Website Address:

<http://www.lcrws.org/>.

RELATED PROGRAMS:

15.518 Garrison Diversion Unit; 15.522 Mni Wiconi Rural Water Supply Project

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Planning, design, and construction of the Lewis & Clark Rural Water Supply System. Fiscal Year 2013: Information not available. Fiscal Year 2014: Information not available.

CRITERIA FOR SELECTING PROPOSALS:

The scope of work must address and be responsive to the authorizing legislation, the final engineering report and the appropriation ceiling. Reclamation will allocate funds based on the recently implemented funding criteria.

15.521 LOWER RIO GRANDE VALLEY WATER RESOURCES CONSERVATION AND IMPROVEMENT

Lower Rio Grande Valley Irrigation Projects

FEDERAL AGENCY:

Bureau of Reclamation, Department of the Interior

AUTHORIZATION:

Lower Rio Grande Valley Water Resources Conservation and Improvement Act of 2000, Public Law 106-576, as amended; Lower Rio Grande Valley Water Resources Conservation and Improvement Act of 2002, Public Law 107-351.

OBJECTIVES:

Awards will be made to investigate and identify opportunities to improve the supply of water in the program area identified as the counties in the State of Texas in the Rio Grande Regional Water Planning Area known as Region "M" as designated by the Texas Water Development Board; and the counties of Hudspeth and El Paso, Texas. The program includes the review of studies and planning reports, conduct of or participation in funding engineering work, infrastructure construction, and improvements for the purpose of conserving and transporting raw water.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Cost ceilings of \$8,000,000 for conservation and improvements (section 3(g)) and \$47,000,000 for construction (section 4(c)). Reclamations Great Plains Region will enter into cooperative agreements with the State of Texas, water users in the program area, specified irrigation districts, and other non-Federal entities to carry out the project if the Secretary of the Interior determines such agreements would be cost-effective and efficient. A substantial portion of this program is directed toward funding 19 construction projects specifically identified in the Lower Rio Grande Valley Water Resources Act, as amended.

Applicant Eligibility:

The State of Texas, water users in the program area, specified irrigation districts, and other non-Federal entities.

Beneficiary Eligibility:

The general public located in the state of Texas.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Applicants shall provide a report in accordance to Sec. 3(c) of the Act that includes, but is not limited to, (a) estimated project costs, conservation measures, and operational efficiency improvements, (b) a project plan and map, and (c) a cost-sharing commitment for applicant funding of its proportional share of project construction costs on an annual basis. Environmental compliance for this program may be required depending on the scope of the specific project funded. This may result in the need for an environmental impact assessment or environmental impact statement prior to the commencement of project activities. Environmental compliance for this program may be required depending on the scope of the specific project funded. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Scopes of Work are provided to the Bureau of Reclamation for annual award and funding.

Award Procedure:

Specific recipients are identified in the authorizing legislation.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Varies depending on the type and complexity of the project.

Appeals:

Not Applicable.

Renewals:

Funding is available until all currently appropriated funds have been expended for this program. Additional funding for the program is at the discretion of Congress. Any request for a time extension must be in writing in accordance with the provisions stated in the agreement.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: The non-Federal share of total project costs shall be 50 percent. Not more than 40 percent of the project costs may be paid by the State. The remainder of the non-Federal share may include in-kind contributions of goods and services, and the actual funds previously spent on feasibility and engineering studies. Awarded agreements must contain a commitment by the non-Federal project sponsors to fund their proportionate share of the project's construction costs on an annual basis. (Public Law 106-576, Section 4(b), as amended).

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

There is no time restriction placed on the use of the funds. A schedule will be established with the recipient at the time of award. Any request for a time extension must be in writing in accordance with the provisions stated in the agreement. See the following for information on how assistance is awarded/released: Payment terms vary by agreement awarded under this program.

Reports:

Unless otherwise stated in the agreement document, recipients shall submit on an annual basis Program Performance Reports. Upon completion of the agreement, recipients shall submit a final Program Performance Report; and other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. Cash reports are not applicable. Progress reports are not applicable. Unless otherwise stated in the agreement document, recipients shall submit on an annual basis the SF-425, Federal Financial Report. Upon completion of the agreement, recipients shall submit a final SF-425. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

State, local and Indian Tribal governments shall maintain project records in accordance with 43 CFR 12.82. All other recipients shall maintain project records in accordance with 43 CFR 12.953.

Account Identification:

14-0680-0-1-301.

Obligations:

(Cooperative Agreements) FY 12 \$302,983; FY 13 est \$0; and FY 14 est \$0

Range and Average of Financial Assistance:

\$400,000 to \$7,400,000; Average \$100,994.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Program accomplishments include completion of the Delta Lake Irrigation District Project, and three construction projects are ongoing with Cameron County Irrigation District #2, Hidalgo County Irrigation District #6, and United Irrigation District. Fiscal Year 2013: Information not available. Fiscal Year 2014: Information not available.

REGULATIONS, GUIDELINES, AND LITERATURE:

43 CFR 12 and OMB Circulars. These documents may also be obtained by contacting the Reclamation Office listed below.

Regional or Local Office:

See Regional Agency Offices. Oklahoma Texas Area Office: Mr. Mark Trevino, Area Manager, Bureau of Reclamation, Address: 5316 Highway 290 West, Suite 510, Austin, TX 78735-8931, Telephone: (512) 899-4150, E-mail: mtrevino@gp.usbr.gov .

Headquarters Office:

Mr. Kimball M. Banks, Bureau of Reclamation, P.O. Box 36900, 316 North 26th Street, Billings, Montana 59101 Email: kbanks@gp.usbr.gov Phone: (406) 247-7710 Fax: (406) 247-7695.

Website Address:

<http://www.usbr.gov/gp/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: The examples of funded projects include agreements with Harlingen Irrigation District for canal lining/piping existing canals and automation and telemetry; Cameron County ID #2 for pump station replacement/canal lining/piping existing canals; Delta Lake ID for canal lining/piping existing canals and telemetry and gate automation; Hidalgo County ID #6 for lining of existing canals and telemetry; and United ID for piping existing canals and pump station rehabilitation. Fiscal Year 2013: Information not available. Fiscal Year 2014: Information not available.

CRITERIA FOR SELECTING PROPOSALS:

The scopes of work must be compatible with the authorizing legislation and the appropriation ceiling.

15.522 MNI WICONI RURAL WATER SUPPLY PROJECT

Mni Wiconi Project

FEDERAL AGENCY:

Bureau of Reclamation, Department of the Interior

AUTHORIZATION:

Mni Wiconi Rural Project Act of 1988, Public Law 100-516, as amended; Yavapai-Prescott Indian Tribe Water Rights Settlement Act of 1994, Title VIII, Mni Wiconi Act Amendments of 1994, Public Law 103-434; American Recovery and Reinvestment Act of 2009, Public Law - .

OBJECTIVES:

To ensure a safe and adequate municipal, rural, and industrial water supply for the residents of the Pine Ridge Indian, Rosebud Indian, and Lower Brule Indian Reservations in South Dakota; to assist the citizens of Haakon, Jackson, Jones, Lyman, Mellette, Pennington, and Stanley Counties, South Dakota, to develop safe and adequate municipal, rural, and industrial water supplies; to promote the implementation of water conservation programs at these locations; to provide certain benefits to fish, wildlife, and the natural environment of South Dakota; and in consultation with the Oglala, Rosebud, and Lower Brule Sioux Tribes, to conduct feasibility studies on the need to develop water disposal facilities and systems and rehabilitate existing waste water disposal facilities and systems on the Pine Ridge, Rosebud, and Lower Brule Indian Reservations.

FOR RECOVERY ACT:

For ARRA funded projects and in addition to the program objectives above, the section 3 of the ARRA states the following objectives:

(1) To preserve and create jobs and promote economic recovery.

(2) To assist those most impacted by the recession.

(3) To provide investments needed to increase economic efficiency by spurring technological advances in science and health.

(4) To invest in transportation, environmental protection, and other infrastructure that will provide long-term economic benefits.

(5) To stabilize State and local government budgets, in order to minimize and avoid reductions in essential services and counterproductive state and local tax increases.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements or Contracts)

USES AND USE RESTRICTIONS:

Agreements are limited to planning, designing, constructing, operating, maintaining, and replacing the Oglala Sioux, the Rosebud, and the Lower Brule Sioux Rural Water Supply Systems; and for planning and construction of the West River Rural Water System and the Lyman-Jones Rural Water System. At the present time, no new project awards are being made under this authority. Funding is only available for continuation of existing agreements.

Applicant Eligibility:

West River/Lyman-Jones Water Systems; the Oglala, Rosebud, and Lower Brule Sioux Tribes; and non-Federal entity or entities. Section 3(b) of Public Law 100-516 states the Secretary, with the concurrence of the Oglala Sioux Tribal Council, shall enter into agreements with the appropriate non-Federal entity or entities for planning, designing, constructing, operating, maintaining and replacing the Oglala Sioux Rural Water Supply System..

Beneficiary Eligibility:

The citizens of the southwest quarter of the State of South Dakota, including the Oglala, Rosebud, and Lower Brule Indian Reservations.

Credentials/Documentation:

Agreements for construction of projects entered into for the West River/Lyman-Jones Rural Water Systems must be in accordance with the final engineering reports submitted by each project applicant. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication is not required. Depending on the project, an environmental impact assessment or statement may be required. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. At the present time, program funding is limited to amendments of existing agreements. Amendments to incorporate annual work plans and budgets are negotiated annually.

Award Procedure:

Once the parties agree with their respective annual scopes of work and budgets, the Bureau of Reclamation's Great Plains Region finalizes the modifications. Responsibilities for needs assessments, feasibility and environmental studies, design, conservation measures and administration are to be included in agreements negotiated under this authority.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Funding is available until all currently appropriated funds have been expended for this program. Additional funding for the program is at the discretion of Congress. Any request for a time extension must be in writing in accordance with the provisions stated in the agreement.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: The Federal share of tribal projects is 100 percent. The Federal share of the West River/Lyman Jones projects is 80 percent. (Public Law 103-434, Section 805).

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The current sunset date for the Mni Wiconi Project is December 31, 2013. See the following for information on how assistance is awarded/released: Payment terms vary by agreement awarded under this program.

Reports:

Unless otherwise stated in the agreement document, recipients shall submit on an annual basis Program Performance Reports. Upon completion of the agreement, recipients shall submit a final Program Performance Report; and other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. For Recovery Act projects, quarterly reporting is required.

For Recovery Act projects, quarterly online reporting is required by the 10th of the month following each quarter end, in accordance with ARRA PL 111-5, Section 1512 and related regulations at 2 CFR 176, Subpart A. Cash reports are not applicable. Progress reports are not applicable. Unless otherwise stated in the agreement document, recipients shall submit on an annual basis the SF-425, Federal Financial Report and upon completion of the agreement a final report. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

State, local and Indian Tribal governments shall maintain project records in accordance with 43 CFR 12.82. All other recipients shall maintain project records in accordance with 43 CFR 12.953.

Account Identification:

14-0681-0-1-301 - Recovery Act; 14-0680-0-1-301.

Obligations:

(Project Grants (Cooperative Agreements or Contracts)) FY 12 \$0; FY 13 est \$0; and FY 14 est \$0 - ARRA. (Project Grants (Cooperative Agreements or Contracts)) FY 12 \$26,411,376; FY 13 est \$35,200,000; and FY 14 est \$0

Range and Average of Financial Assistance:

Range is \$50,000 to \$20,000,000; Average \$20,537,125.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Construction pipeline distribution systems on the Pine Ridge Reservation, Rosebud Reservation and West River Lyman/Jones is continuing. Fiscal Year 2013: Information is not available. Fiscal Year 2014: Information is not available.

REGULATIONS, GUIDELINES, AND LITERATURE:

43 CFR 12, <http://www.access.gpo.gov/nara/cfr/cfr-table-search.html#page1>; 25 CFR 900, <http://ecfr.gpoaccess.gov/cgi/t/text/text-idx?c=ecfr&sid=2564e880f187e8168bb217e74c55909d&rgn=div5&view=text&node=25:2.0.4.10.1&idno=25/>; and OMR Circulars, http://www.whitehouse.gov/omb/grants/grants_circulars.html. These documents may also be obtained by contacting the Bureau of Reclamation Office listed below.

Regional or Local Office:

See Regional Agency Offices. Dakotas Area Office: Mr. Denny Breitzman, Area Manager, Bureau of Reclamation, Address: PO Box 1017, Bismarck, ND 58501, Telephone: (701) 250-4242, E-mail: rlong@usbr.gov.

Headquarters Office:

Doug Davis, Bureau of Reclamation, Great Plains Region Office , P.O. Box 36900, 316 North 26th Street , Billings, Montana 59101 Email:

ddavis@usbr.gov Phone: (406) 247-7710 Fax: (406) 247-7695.

Website Address:

<http://www.usbr.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: The Mni Wiconi Project constructed primarily rural water pipeline conveyance and distribution systems in Fiscal Year 2011. Fiscal Year 2013: Information not available. Fiscal Year 2014: Information not available.

CRITERIA FOR SELECTING PROPOSALS:

The scopes of work must address and be responsive to the authorizing legislation, the final engineering reports, and the appropriation ceiling.

For ARRA funded projects:

The purposes of the Recovery Act are, among others, to quickly and prudently commence activities that preserve and create jobs and to promote economic recovery, and to invest in transportation, environmental protection, and other infrastructure that will provide long-term economic benefits. To meet these purposes, Reclamation will allocate funds based on the criteria set forth in the Recovery Act and the accompanying conference report, and will target activities that quickly infuse money into the economy.

15.524 RECREATION RESOURCES MANAGEMENT

Title XXVIII

FEDERAL AGENCY:

Bureau of Reclamation, Department of the Interior

AUTHORIZATION:

Federal Water Projects Recreation Act of 1965, Pub. L. 89-72 as amended; Reclamation Management Act of 1992, Pub. L. 102-575, Title XXVIII.

FOR RECOVERY ACT:

American Recovery and Reinvestment Act of 2009 (ARRA) (P.L. 111-5) , Public Law - .

OBJECTIVES:

To provide cost-share opportunities with non-Federal recreation partners (e.g. state, county, local governments, etc.), to assist in planning, development, operation, maintenance, and replacement of recreation and fish and wildlife resource facilities at partner managed Reclamation project recreation areas.

FOR RECOVERY ACT:

For ARRA funded projects and in addition to the program objectives above, the section 3 of the ARRA states the following objectives:

- (1) To preserve and create jobs and promote economic recovery.
- (2) To assist those most impacted by the recession.
- (3) To provide investments needed to increase economic efficiency by spurring technological advances in science and health.
- (4) To invest in transportation, environmental protection, and other infrastructure that will provide long-term economic benefits.
- (5) To stabilize State and local government budgets, in order to minimize and avoid reductions in essential services and counterproductive state and local tax increases.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

Cost share agreements are used to provide assistance to non-Federal managing partners, who currently manage or will be managing one or more Reclamation project recreation areas, for the long-term management and care of publicly-owned recreation resources, and for the planning, construction and other facets of improving recreation access and assets. Concession contracts are not authorized by the above authorities. For further information, please contact the regional office.

Applicant Eligibility:

Non-Federal managing partners (e.g., state, county, local government entities, etc.), that currently manage or will be managing one or more Reclamation

project recreation areas.

Beneficiary Eligibility:

Non-Federal recreation management partners.

Credentials/Documentation:

Pub. L. 89-72, as amended, requires that perspective non-Federal managing partners that will be entering into long-term agreements for management of Reclamation project recreation areas indicate their intent in writing to administer Reclamation project recreation areas and enter into such long-term agreements for management of recreation and fish and wildlife facilities. Management agreements must be current for existing partners. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Environmental compliance for this program may be required depending on the scope of the specific project funded. This may result in the need for categorical exclusion, environmental assessment, or environmental impact statement prior to the commencement of project activities. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. State and local governments that have managing partner agreements with Reclamation submit proposals with detailed scopes of work and budgets for recreation as well as fish and wildlife improvement projects.

Award Procedure:

All applications will be initially screened by Bureau of Reclamation personnel for eligibility and compliance with the requirements stated in the program funding announcement. Applications are reviewed by the Bureau of Reclamation office having administrative jurisdiction of the land and water areas being considered for public recreation purposes.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Varies depending on the type and complexity of the project.

Appeals:

None. Final award decisions are not subject to appeal; however, the Bureau of Reclamation will provide all applicants with information on why their proposals were not selected for award.

Renewals:

If renewals or extensions are applicable to the project, this information will be communicated to the Recipient. The financial assistance agreements are renewable if both the Bureau of Reclamation and the state or local partner are in mutual agreement. When renewals or extensions are applicable, continuation of funding for these activities is at the discretion of Congress and will be subject to availability of appropriated funds.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: In the case of recreation development, a minimum cost share of 50 percent is required from a non-Federal partner. For fish and wildlife enhancement projects, the Federal share is exactly 75 percent of the project cost. (16 U.S.C. 4601-13(a)).

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Agreements are typically for 1 to 5 years depending on the type of project. See

the following for information on how assistance is awarded/released: Payment terms vary by agreement awarded under this program.

Reports:

Unless otherwise stated in the agreement, recipients shall submit the following reports on an annual basis: (1) SF-425, Federal Financial Report; and (2) Program Performance Reports. Upon completion of the agreement, recipients shall submit a final: (1) SF-425, Federal Financial Report; and (2) Program Performance Report; and (3) other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. For Recovery Act projects, quarterly reporting is required. Cash reports are not applicable. Progress reports are not applicable. Unless otherwise stated in the agreement document, recipients shall submit on a quarterly basis the Federal Financial Report SF 425. Upon completion of the agreement, recipients shall submit a final Federal Financial Report SF 425. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

State, local and Indian Tribal governments shall maintain project records in accordance with 43 CFR 12.82. All other recipients shall maintain project records in accordance with 43 CFR 12.953.

Account Identification:

14-0680-0-1-301.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 12 \$6,165,611; FY 13 est \$4,539,579; and FY 14 est \$2,003,579

Range and Average of Financial Assistance:

Range is \$5,000 to \$15,000,000; Average \$4,236,256.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Management agreements with Reclamation's non-Federal managing recreation partners facilitate long-term recreation and fish and wildlife management at Reclamation project recreation areas. Program accomplishments at Reclamation's project recreation areas include, but are not limited to, the following: Architectural Barriers Act (ABA), Rehabilitation Act, Americans with Disabilities Act (ADA) compliance projects at campsites, day-use areas, restroom facilities, etc.; new water treatment systems to service the recreation area facilities; boat ramp installations/replacements; public health and safety improvements; preparation of resource and or recreation management plans and, operation and maintenance of Reclamation's designated recreation and fish and wildlife areas and facilities. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

43 CFR 12 and applicable OMB Circulars. Program information is included in the Reclamation Manual, Directive and Standards, Implementing Cost-Sharing Authorities for Recreation and Fish and Wildlife Enhancement Facilities, LND 01-01, available at <http://www.usbr.gov/recman/Ind/Ind01-01.pdf>. These documents may also be obtained by contacting the Bureau of Reclamation Office listed below.

Regional or Local Office:

See Regional Agency Offices. Pacific Northwest Region: (208) 378-5228; Mid-Pacific Region: (916) 978-5558; Lower Colorado Region: (702) 293-8375; Upper Colorado Region: (801) 524-3765; Great Plains Region: (406) 247-7714.

Headquarters Office:

Vic Grizzle Bureau of Reclamation, P.O. Box 25007 (84-53000), Denver, Colorado 80225-0007 Email: vgrizzle@usbr.gov Phone: (303) 445-2711 Fax: (303) 445-6690

Website Address:

<http://www.usbr.gov/pmts/planning/> .

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Cost-share cooperative agreements were awarded to non-Federal partners (e.g., state, county, local governmental entities, etc.) to facilitate planning, development, operation, and maintenance of recreation and fish and wildlife facilities on numerous Bureau of Reclamation water project recreation areas. Examples of funded projects include Oregon Parks and Recreation Department completed improvements for protection of public health and safety, managing service and law enforcement contracts and repairing vandalized structures around the reservoir. Washington County Parks and Recreation completed retrofits due to deteriorating structures to get sites back into Accessibility compliance. Town of Coulee City completed marina enhancement with new outer jetty, new moorage jetty, and aluminum piers for future installation of docks. City of American Falls completed trail improvements to meet accessibility compliance. Santa Barbara County Parks Department completed and designed a new treatment plant and a new roof was constructed over the facility. Lake Cachuma (Cachuma Project) was identified by the county and Reclamation to utilize funds for expansion of the marina boat launch. The launch expansion was needed to increase the number of lanes, parking and slope of the launch area to accommodate the aquatic invasive species inspection area and wash station. Funds were also used to complete the installation and service works for the recreation area potable water storage tank. Reclamation is providing cooperative reimbursements to Nevada State Parks for operations and maintenance to avoid a recreation area "turn back." There were continued cost-share arrangements with States of Colorado, New Mexico, and Utah to rehabilitate facilities and perform accessibility retrofits, including construction of new pay booth, entrance plaza, maintenance and administrative buildings at Elephant Butte Lake State Park, New Mexico (2011 and 2012); labor and supply costs for invasive species boat inspections at six state parks (excluding Navajo Lake SP) in New Mexico (2012); improvements to the visitor center at Brantley Lake State Park, New Mexico (2012); phase II rehabilitation of recreation facilities at Starvation Lake State Park Utah, including expansion of Mountain View Campground to increase capacity to meet demand (2012); activities begin reconstruction of the Rock Cliff Campground, Jordanelle Reservoir, Utah (2012); and accessibility improvements at Rifle Gap Reservoir, Colorado (2011). The Lahontan Basin Area Office entered into a Financial Assistance Agreement with the Nevada Division of State Parks in 2010-2015. Funds are to be used for operations and maintenance of Rye Patch and Lahontan State Recreation Areas (Humboldt and Newland Projects). The Casitas Municipal Water District, which manages Lake Casitas (Ventura Project), utilized assistance funds to resurface the marina docks and water park features thereby improving visitor safety. Provided funds were also used to resurface a portion of the recreation area roads which have been damaged by tree roots and potholes. South Central California Area Office assisted in funding the replacement of a vault restroom and improvement of the condition of the community amphitheater at Millerton State Recreation Area (Friant Project, CVP). Reclamation is providing funding to the Salton Sea California State Park for Operations and Maintenance of the park. Fiscal Year 2013: Information not available. Fiscal Year 2014: Information not available.

CRITERIA FOR SELECTING PROPOSALS:

In no priority order and at the discretion of each Reclamation office, cost-share funding that will: (a) Provide for the public's health and safety; (b) Comply with Federal, State, and local laws and regulations; (c) Protect critical resources such as wetlands, critical wildlife habitat, endangered and threatened species, and cultural resources; and (d) Modernize existing facilities to meet current standards.

For ARRA funded projects: The purposes of the Recovery Act are, among others, to quickly and prudently commence activities that preserve and create jobs and to promote economic recovery, and to invest in transportation, environmental protection, and other infrastructure that will provide long-term economic benefits. To meet these purposes, Reclamation will allocate funds based on the criteria set forth in the Recovery Act and the accompanying conference report, and will target activities that quickly infuse money into the economy.

15.525 ROCKY BOYS/NORTH CENTRAL MONTANA REGIONAL WATER SYSTEM

North Central Montana Rural Water Supply Project

FEDERAL AGENCY:

Bureau of Reclamation, Department of the Interior

AUTHORIZATION:

Indian Financing Amendments Act of 2002, Title IX Rocky Boys/North Central Montana Regional Water System Act of 2002, Pub. L. 107-331.

FOR RECOVERY ACT:

American Recovery and Reinvestment Act of 2009 (ARRA) (P.L. 111-5) , Public Law - .

OBJECTIVES:

To ensure a safe and adequate rural, municipal, and industrial water supply for the residents of the Rocky Boy's Reservation in the State of Montana, and to assist the citizens residing in Chouteau, Glacier, Hill, Liberty, Pondera, Teton, and Toole Counties, Montana, but outside the Reservation, in developing safe and adequate rural, municipal, and industrial water supplies. FOR RECOVERY ACT:

For ARRA funded projects and in addition to the program objectives above, the section 3 of the ARRA states the following objectives:

- (1) To preserve and create jobs and promote economic recovery.
- (2) To assist those most impacted by the recession.
- (3) To provide investments needed to increase economic efficiency by spurring technological advances in science and health.
- (4) To invest in transportation, environmental protection, and other infrastructure that will provide long-term economic benefits.
- (5) To stabilize State and local government budgets, in order to minimize and avoid reductions in essential services and counterproductive state and local tax increases.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements or Contracts)

USES AND USE RESTRICTIONS:

Construction of a rural water supply system that will serve the Chippewa-Cree of the Rocky Boy's Indian reservation and the surrounding, off-reservation communities in north central Montana. At the present time, no new project awards are being made under this authority. Funding is only available for continuation of existing agreements.

Applicant Eligibility:

The Chippewa-Cree of the Rocky Boy's Indian Reservation and the North Central Montana Regional Water Authority.

Beneficiary Eligibility:

The Chippewa-Cree of the Rocky Boy's Indian Reservation and inhabitants of the areas served by the North Central Montana Regional Water Authority in Chouteau, Glacier, Hill, Liberty, Pondera, Teton, and Toole Counties, Montana.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

The Bureau of Reclamations Great Plains Region will assist the Chippewa-Cree of the Rocky Boys Indian Reservation and members of the North Central Montana Regional Water Authority in preparing their respective annual funding agreements or financial assistance agreements. Environmental compliance for this program may be required depending on the scope of the specific project funded. This may result in the need for an environmental impact assessment or environmental impact statement prior to the commencement of project activities. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. At the present time, program funding is provided only for the continuation of existing agreements. Amendments to incorporate annual work plans and budgets are negotiated

annually with the current recipients. Current recipients submit applicable SF-424 forms, technical proposals, and budget narratives for Bureau of Reclamation review.

Award Procedure:

Once the parties agree with their respective annual scopes of work and budgets, the Bureau of Reclamation's Great Plains Region finalizes the modifications.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Funding is available until all currently appropriated funds have been expended for this program. Additional funding for the program is at the discretion of Congress. Any request for a time extension must be in writing in accordance with the provisions stated in the agreement.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching Requirements: The Federal share of the Rocky Boy's Rural Water System project is 100 percent. The Federal share of the North Central Montana Regional Water Authority project is 80 percent. (Section 904(b) and (c), Section 905(b)). MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Funds are to be available until expended. See the following for information on how assistance is awarded/released: Payment terms vary by agreement awarded under this program.

Reports:

Program reports are not applicable. Cash reports are not applicable. Unless otherwise stated in the agreement document, recipients shall submit on an annual basis the Program Performance Report. Upon completion of the agreement, recipients shall submit a final Program Performance Report; and other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. For Recovery Act projects, quarterly reporting is required. Unless otherwise stated in the agreement document, recipients shall submit on an annual basis the SF-425, Federal Financial Report. Upon completion of the agreement, recipients shall submit a final SF-425. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

State, local and Indian Tribal governments shall maintain project records in accordance with 43 CFR 12.82. All other recipients shall maintain project records in accordance with 43 CFR 12.953.

Account Identification:

14-0680-0-1-301.

Obligations:

(Project Grants (Cooperative Agreements or Contracts)) FY 12 \$4,347,000; FY 13 est \$4,000,000; and FY 14 est \$2,000,000

Range and Average of Financial Assistance:

\$50,000 to \$10,000,000; Average \$3,449,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Required technical and environmental reports for the construction of municipal, rural, and industrial water supply systems; beginning

construction of the systems in accordance with the final engineering report. Fiscal Year 2013: Information not available. Fiscal Year 2014: Information not available.

REGULATIONS, GUIDELINES, AND LITERATURE:

43 CFR 12 and OMB Circulars. These documents may also be obtained by contacting the Bureau of Reclamation Office listed below.

Regional or Local Office:

See Regional Agency Offices. Montana Area Office: Mr. Dan Jewell, Area Manager, Bureau of Reclamation, PO Box 30137, Billings, MT, 59107-0137. Telephone: (406) 247-7298, E-mail: djewell@usbr.gov.

Headquarters Office:

Doug Davis, Bureau of Reclamation, Great Plains Region Office, P.O. Box 36900, 316 North 26th Street, Billings, Montana 59101 Email: DouglasDavis@usbr.gov Phone: (406) 247-7710 Fax: (406) 247-7695.

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Initial construction activities for the water supply system, such as pipelines, Water Treatment Plant, and raw water intake building construction. Once built out, the system will supply water to the Rocky Boy Indian Reservation and for all or portions of Hill, Liberty, Toole, Pondera, Teton and Chouteau counties in North Central Montana. These projects are for the supply and distribution of clean drinking water to these areas. Fiscal Year 2013: Information not available. Fiscal Year 2014: Information not available.

CRITERIA FOR SELECTING PROPOSALS:

The scopes of work must address and be responsive to the authorizing legislation, the final engineering report and the appropriation ceiling. For ARRA funded projects: The purposes of the Recovery Act are, among others, to quickly and prudently commence activities that preserve and create jobs and to promote economic recovery, and to invest in transportation, environmental protection, and other infrastructure that will provide long-term economic benefits. To meet these purposes, Reclamation will allocate funds based on the criteria set forth in the Recovery Act and the accompanying conference report, and will target activities that quickly infuse money into the economy.

15.526 SAN GABRIEL BASIN RESTORATION PROJECT

FEDERAL AGENCY:

Bureau of Reclamation, Department of the Interior

AUTHORIZATION:

San Gabriel Basin Restoration Project, Appendix D, Division B, Title I, Section 110, Public Law 106-554, as amended; Energy and Water Development Appropriations Act, 2002, Title II, Section 202, Public Law 107-66; and Section 2 of Reorganization Plan Number 3 of 1950, 64 Stat. 1262, as amended.

OBJECTIVES:

To design, construct, operate, and maintain water quality projects within the San Gabriel Basin, Los Angeles County, California.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Project grants can only be issued to reimburse recipients for the Federal share of costs associated with the design, construction, operation, and maintenance of water quality improvement projects within the San Gabriel Basin, located in Los Angeles County, California.

Applicant Eligibility:

San Gabriel Basin Water Quality Authority (or its successor agency) and/or the Central Basin Municipal Water District.

Beneficiary Eligibility:

General public, all users and indirect users of groundwater supplies in the San Gabriel Basin.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Applicants are encouraged to consult with the Bureau of Reclamation, Southern California Area Office (SCAO), for assistance in preparing project proposals and preliminary review of project descriptions and budgets, including assurance of compliance with the National Environmental Policy Act and related laws and orders. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. The applicant must submit SF 424 Application for Federal Assistance documents; detailed project technical descriptions and budgets; and all information required under the terms of the applicable appropriations law.

Award Procedure:

Bureau of Reclamation personnel will review (1) the application package to ensure that all required documents are complete, accurate, and satisfy all provisions of applicable law, (2) the project budgets and description to ensure the appropriation language requirements are met, and (3) the project descriptions for technical issues and consistency with applicable policies, and budgets for appropriateness of cost estimates. Final selection will be determined by the Commissioner, Bureau of Reclamation, or regional officials, as applicable to the project. After the application package is acceptable to both parties, a grant agreement is drafted, reviewed, and signed by both parties.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Typical range of 2-6 weeks.

Appeals:

Not Applicable.

Renewals:

Extensions may be applicable and are subject to appropriated funds. Revised SF-424 forms are required when applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching Requirements: Percent: 35%. A minimum of 35 percent non-Federal cost share is required by Public Law 106-554, Section 110(a)(3)(B), as amended. The cost share can be provided from any non-Federal source; and, in accordance with Section 110(a)(3)(B)(iii), credit toward the non-Federal cost share can be given for the value of certain prior project expenditures.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Agreements for planning and construction projects are generally awarded for up to 5 years. Funds for operation and maintenance can be provided for a period not to exceed 10 years following the initial date of operation of the project, or until the project funding ceiling has been reached, whichever occurs first. See the following for information on how assistance is awarded/released: Payment terms vary by agreement awarded under this program.

Reports:

Unless otherwise stated in the agreement document, recipients shall submit on an annual basis the Program Performance Reports. Upon completion of the agreement, recipients shall submit a final Program Performance Report, and other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. Cash reports are not applicable. Progress reports are not applicable. Unless otherwise stated in the agreement document, recipients shall submit the following reports on an annual basis the SF-425, Federal Financial Report. Upon completion of the agreement, recipients shall submit a final SF-425. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

During the course of its activities under a grant agreement and for three years thereafter, the applicant must agree to retain intact and to provide any data, documents, reports, records, contracts, and supporting materials relating to its performance under the agreement as FRA may require. State, Local and Indian Tribal governments shall maintain project records in accordance with 43 CFR 12.82. All other recipients shall maintain project records in accordance with 43 CFR 12.953.

Account Identification:

14-5483-0-2-301.

Obligations:

(Project Grants) FY 12 \$0; FY 13 est \$0; and FY 14 est \$0

Range and Average of Financial Assistance:

\$2,149,000 to \$69,000,000; Average \$0.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Three existing grant agreements are being funded. One will complete the construction of a specific facility, and the other two provide funds for the operation and maintenance of four facilities that extract contaminated groundwater from the San Gabriel Basin, treat it to potable standards, as use the water for potable purposes. The projects provide a safe source of potable water while remediating groundwater contamination that has been designated as operable units under the EPA superfund program. Fiscal Year 2013: Information not available. Fiscal Year 2014: Information not available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations: 43 CFR 12, OMB Circulars, Program guidelines: Department of the Interior, Delegation of Authority for the San Gabriel Basin Restoration Project, Order No. 3241, Amendment No. 4; San Gabriel Basin Water Quality Authority, Federal Funding Program Administration guidelines, <http://www.wqa.com/pdfs/FFPA3.pdf>. These documents may also be obtained by contacting the Bureau of Reclamation Office listed below.

Regional or Local Office:

See Regional Agency Offices. Dennis Wolfe, Area Engineer, Southern California Area Office, Telephone: (951) 695-5310; Fax: (951) 695-5319; E-mail: dwolfe@usbr.gov.

Headquarters Office:

NA NA, Washington, District of Columbia NA Phone: NA

Website Address:

<http://www.usbr.gov/lc/socal/>

RELATED PROGRAMS:

15.504 Water Reclamation and Reuse Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: San Gabriel Valley Groundwater Remediation, Central Basin Water Quality Protection Plan. Fiscal Year 2013: Information not available. Fiscal Year 2014: Information not available.

CRITERIA FOR SELECTING PROPOSALS:

Applicants are limited to the San Gabriel Basin Water Quality Authority or the Central Basin Municipal Water District. Projects must improve the quality of the groundwater in the San Gabriel Basin, located in Los Angeles County, California. The scopes of work must address and be responsive to the authorizing legislation, the final engineering reports, and the appropriation ceiling.

**15.527 SAN LUIS UNIT, CENTRAL VALLEY PROJECT
FEDERAL AGENCY:**

Bureau of Reclamation, Department of the Interior

AUTHORIZATION:

San Luis Unit, Central Valley Project Act of June 3, 1960, Public Law 86-488, 74 Stat. 156, Section 5.

OBJECTIVES:

Construction and operation of facilities to provide drainage service to lands within the San Luis Unit of central California.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

To be used for projects that will result in construction and operation of drainage facilities in the San Luis Unit and adjacent areas. For further information, please contact the regional office.

Applicant Eligibility:

Any responsible source, to include irrigation and/or water districts, State and local entities, public nonprofit institutions/organizations, other public institutions/organizations, Federally recognized Indian Tribal Governments, small businesses, profit organizations, private nonprofit institutions/organizations, quasi-public nonprofit institutions/organizations, and other private institutions/organizations.

Beneficiary Eligibility:

General public, irrigation and/or water districts, State and local entities, farmers and ranchers, agricultural producers, and property owners.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Environmental compliance for this program may be required depending on the scope of the specific project funded. This may result in the need for an environmental impact assessment or environmental impact statement prior to the commencement of project activities. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Funding opportunity announcements for this program, along with registration procedures, application packages and instructions, SF-424 forms and any other forms to be used to submit application information, points of contact, and procedures for submitting applications will be available on www.grants.gov.

Award Procedure:

All applications will be initially screened for eligibility and compliance with the requirements stated in the program funding announcement. Applications passing this screening process will be forwarded for review by a proposal evaluation panel comprised of qualified Federal experts in the program area; however, non-Federal experts may be utilized for specific project reviews. Applications will be reviewed against the proposal evaluation criteria, and any additional review factors, as stated in the funding announcement. Final selection will be determined by the Commissioner, Bureau of Reclamation, or regional officials, as applicable to the project.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Varies depending on the type and complexity of the project. Further information will be available for each specific project at the time the funding opportunity announcement is posted on www.grants.gov and may be obtained by contacting the Bureau of Reclamation personnel listed as the point of contact in the funding announcement.

Appeals:

None. Final award decisions are not subject to appeal; however, the Bureau of Reclamation will provide all applicants with information on why their proposals were not selected for award.

Renewals:

If renewals or extensions are applicable to the project, this information will be included in the funding opportunity announcement. When renewals or extensions are applicable, continuation of funding for these activities is at the discretion of Congress and will be subject to availability of appropriated funds.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: There is no statutory requirement for cost sharing; however, applicants are encouraged to provide a cost share whenever possible. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Length and time phasing information, as applicable, will be included in funding announcements for this program on www.grants.gov. See the following for information on how assistance is awarded/released: Payment terms vary by agreement awarded under this program.

Reports:

Unless otherwise stated in the agreement document, recipients shall submit on an annual basis the Program Performance Reports. Upon completion of the agreement, recipients shall submit a final Program Performance Report, and other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. Cash reports are not applicable. Progress reports are not applicable. Unless otherwise stated in the agreement document, recipients shall submit on an annual basis the SF-425, Federal Financial Report. Upon completion of the agreement, recipients shall submit a final SF-425. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

State, local and Indian Tribal governments shall maintain project records in accordance with 43 CFR 12.82. All other recipients shall maintain project records in accordance with 43 CFR 12.953.

Account Identification:

14-0680-0-1-301.

Obligations:

(Project Grants (Cooperative Agreements)) FY 12 \$81,000; FY 13 est \$85,000; and FY 14 est \$89,000

Range and Average of Financial Assistance:

\$0 to \$81,000; Average \$85,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Four agreements in support of the Grassland Bypass Project. Fiscal Year 2013: Information not available. Fiscal Year 2014: Information not available.

REGULATIONS, GUIDELINES, AND LITERATURE:

43 CFR 12 and OMB Circulars. These documents may also be obtained by contacting the Bureau of Reclamation.

Regional or Local Office:

See Regional Agency Offices. Technical/Program Information: Bureau of Reclamation, South-Central California Area Office, Attention: Michael C.S. Eacock, 1243 N Street, Fresno, CA, 93721. Telephone: (559) 487-5133. Fax: (559) 487-5130. E-mail: ceacock@mp.usbr.gov. Acquisition Office: Bureau of Reclamation, Mid-Pacific Regional Office, Acquisition Services, 2800 Cottage Way, Room E-1815, Sacramento, California 95825-1898. Telephone: (916) 978-5130. Fax: (916) 978-5175/5182. E-mail: 2WG3@mp.usbr.gov.

Headquarters Office:

NA NA, Washington, District of Columbia NA Phone: NA

Website Address:

<http://www.mp.usbr.gov>.

RELATED PROGRAMS:

15.506 Water Desalination Research and Development Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: During Fiscal Years 2011 and 2012, agreements funded activities in support of the Grassland Bypass Project: monitoring of physical and biological parameters; operation of a field digital equipment at six places that provided real-time measurements of flow, salinity, and temperature; compilation, peer review, and publication of technical reports. Fiscal Year 2013: We will continue prior agreements with modifications to suit new regulatory requirements, plus new agreements related to construction and operation of new treatment facilities at Panoche and Westlands. Fiscal Year 2014: Information not available.

CRITERIA FOR SELECTING PROPOSALS:

Specific evaluation criteria will be included in the funding announcement posted on www.grants.gov.

15.529 UPPER COLORADO AND SAN JUAN RIVER BASINS ENDANGERED FISH RECOVERY PROGRAMS

Upper Colorado and San Juan River Recovery Implementation Program

FEDERAL AGENCY:

Bureau of Reclamation, Department of the Interior

AUTHORIZATION:

Upper Colorado and San Juan River Basins Endangered Fish Recovery Programs, Public Law 106-392, 114 Stat. 1602, as amended; Upper Colorado and San Juan River Basins Endangered Fish Recovery Programs Extension, Public Law 107-375, 116 Stat. 3113.

OBJECTIVES:

To authorize the Bureau of Reclamation to provide cost sharing for the endangered fish recovery implementation programs for the Upper Colorado and San Juan River Basins.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

The Recovery Implementation Programs are designed to help recover the Colorado Pikeminnow, Razorback Sucker, Bonytail, and the Humpback Chub while allowing water development to continue in the Upper Colorado and San Juan River Basins. The term Recovery Implementation Programs mean the intergovernmental programs established pursuant to the 1988 and 1992 Cooperative Agreement described in Sec. 2 of the Act. The major portion of the work (between 80% to 90%) will be accomplished through mandatory grant and cooperative agreement awards established by these intergovernmental Cooperative Agreements. The remaining work is done under contracts to purchase equipment for O&M or monitoring work. The types of projects authorized by these Cooperative Agreements include Administration of Water Right; Oversight of the Development of Water Resources; Protection and Management of Fish and Wildlife Resources; Projects related to Tribal Sovereignty; Long Range Plan and Program Goal Development; Protection, Management and Augmentation of Habitat; Interactions Between Native and Non-Native Fish Species; and Monitoring and Data Management. Periodically, the Bureau of Reclamation will have discretionary funding available to make awards for certain types of projects. When this project funding becomes available, funding opportunity announcements will be posted to www.Grants.gov or Fedbizopps.gov.

Applicant Eligibility:

Federal, Interstate, Intrastate, State and Local governments; Public Institution/Organizations, and Federally Recognized Indian Tribal Governments and private contractors.

Beneficiary Eligibility:

Indian Tribes in the location of the San Juan River Basin and in the Duchesne River Basin, and the general public in the Colorado River Basin.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Depending on the project to be accomplished, an environmental impact statement may be required for this program, but not until after receipt of applications. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. All discretionary funding opportunities will be posted on www.grants.gov or fedbizopps.gov. The funding opportunity will include the name of the Federal agency, the Funding Opportunity Title of work associated with the project, the application due date, eligible applicants, the federal funding amounts per agreement, the estimated number of agreements to be awarded, and the overall total amount of funding available for awards. All applicants, whether submitting projects under the mandatory or discretionary portions of this authority, will be required to submit a Standard Form 424, Application for Federal Assistance, and Standard Forms 424B or 424D for Assurances must also be submitted. A detailed budget worksheet guide form will be included to assist in preparation of the budgeted amount requested. The Funding Opportunity posting will identify the program description, background and purpose, program objectives, objective or request for proposals, eligible projects, types of projects, and program authority. General provisions and special provisions, along with reporting requirements will be identified to provide applicants with information that must be followed if an award is made. The funding opportunity will identify a point of contact for assistance or consultation for preparing the form.

Award Procedure:

Upon receipt of applications by the date identified in the announcement(s), the applications will be reviewed to determine if applications are consistent with requirements identified in the announcements. The application/proposal(s) are reviewed by a Federal technical team of experts (along with state and private advisors) against identified criteria. Negotiations, revised applications, or awards will be made based on recommendations from the technical experts. Proposals submitted under the mandatory authority portion of this program will undergo a similar review and screening procedure.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

90 Days.

Appeals:

The Bureau of Reclamation will, however, provide all applicants with information on why their proposals were not selected.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: Non-Federal contributions to capital projects shall be made in accordance with the provisions of Sec. 3(c) of the Act. Contact the Regional Office listed in the Contacts section, below, for further information regarding cost sharing requirements. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Cooperative agreements and grants are normally written for a 1 to 5 year period. See the following for information on how assistance is awarded/released: Payment terms vary by agreement awarded under this program.

Reports:

Unless otherwise stated in the agreement document, recipients shall submit on an annual basis the Program Performance Reports. Upon completion of the agreement, recipients shall submit final Program Performance Report, and other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. Cash reports are not applicable. Progress reports are not applicable. Unless otherwise stated in the agreement document, recipients shall submit on an annual basis the SF-425, Federal Financial Report. Upon completion of the agreement, recipients shall submit final SF-425. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

State, local and Indian Tribal governments shall maintain project records in accordance with 43 CFR 12.82. All other recipients shall maintain project records in accordance with 43 CFR 12.953.

Account Identification:

14-4081-0-3-301.

Obligations:

(Project Grants (Cooperative Agreements)) FY 12 \$2,617,685; FY 13 est \$2,670,000; and FY 14 est \$2,724,000

Range and Average of Financial Assistance:

\$10,000 to \$322,000; Average \$2,670,561.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: The Upper Colorado River and San Juan River Endangered Fish Recovery Programs (Recovery Programs) are partnerships composed of State, Tribal and Federal agencies, water and power interests, and environmental groups working to recover endangered fish in the Upper Colorado and San Juan River basins while water development proceeds in accordance with federal and state laws and interstate compacts. In Fiscal Year 2012, the Recovery Programs continued to provide ESA coverage to thousands of state, federal and private water development projects while advancing endangered fish recovery. The programs implemented management actions and projects to recover endangered fish in the areas of in-stream flow protection, habitat restoration, nonnative fish management, propagation and genetics, research and monitoring, information and education, and program management. Specific examples include operation and maintenance of river gages and infrastructure (fish passages and screens), installation of electronic fish monitoring antennae, coordination of reservoir operations, management of floodplain wetland, removal of and translocation of nonnative fish, propagation and stocking of endangered fish, planning for pond construction, research and monitoring of early life history endangered fish survival including larval fish monitoring, database management, population estimates, maintenance of program website and publication of outreach materials. Fiscal Year 2013: Information not available. Fiscal Year 2014: Information not available.

REGULATIONS, GUIDELINES, AND LITERATURE:

43 CFR 12 and OMB Circulars. These documents may also be obtained by contacting the Bureau of Reclamation Office listed below.

Regional or Local Office:

See Regional Agency Offices. Mark McKinstry and Dave Speas, Bureau of Reclamation, 125 South State Street, Salt Lake City, UT 84138; (801) 524-3835; mmckinstry@usbr.gov.

Headquarters Office:

NA NA, Washington, District of Columbia NA Phone: NA

Website Address:

<http://www.usbr.gov/uc/wcao/rm/sjrip/>
<http://www.fws.gov/southwest/sjrip/index.html>
<http://www.coloradoriverrecovery.org/index.html>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: During Fiscal Year 2011 and 2012, the examples of funded projects include the Recovery Program Gage stream gage operation and maintenance; Grand Valley diversion dam fish screen and passage operation and maintenance; Construction of Horsethief Rearing Ponds, Middle Yampa, Green and Colorado river smallmouth bass and pike management; San Juan river nonnative fish removal; Wahweap and Mumma State Fish Hatchery operation and maintenance; Dexter, Ouray, 24-Road, and Uvalde National Fish hatcheries operation and maintenance Yampa and middle Green Colorado pikeminnow and razorback sucker larval, young-of-year fish surveys and adult fish surveys; UCRIP and San Juan RIP information and education; propagation, rearing and stocking of Colorado pikeminnow and razorback sucker; Operation of PNM Fish Passage Facility by Navajo Nation; sub-adult and adult large-bodied fish monitoring in the San Juan River; larval fish monitoring in the San Juan River; specimen identification and curation of fishes from the San Juan River; evaluation of razorback sucker use of the San Juan River inflow area of Lake Powell; temperature and habitat monitoring in the San Juan river; and purchase of PIT tags and readers for endangered fish in the Colorado River Basin. Fiscal Year 2013: Information not available. Fiscal Year 2014: Information not available.

CRITERIA FOR SELECTING PROPOSALS:

Specific evaluation criteria will be included in the funding announcement posted on www.grants.gov for fedbizopps.gov.

15.530 WATER CONSERVATION FIELD SERVICES PROGRAM (WCFSP)**FEDERAL AGENCY:**

Bureau of Reclamation, Department of the Interior

AUTHORIZATION:

Section 9504(a) of the Omnibus Lands Management Act of 2009, P.L. 111-11 and the Reclamation Projects Authorization and Adjustment Act of 1992, Title XXXIV-Central Valley Project Improvement Act, Pub. L. 102-575.

OBJECTIVES:

The goal of the Water Conservation Field Services Program (WCFSP) is to proactively encourage water conservation in the operations of recipients of water from Federal water projects, to assist agricultural and urban water districts in preparing and implementing water conservation plans in accordance with the Reclamation Reform Act of 1982 (RRA), and to complement and support State and other conservation programs.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Funding is to be used for projects to develop water management and conservation plans, implement efficiency measures, or complete demonstration activities. Financial assistance is generally limited to \$100,000 or less. For further information, please contact the regional office.

Applicant Eligibility:

Subject to authorizing legislation. For projects funded under the authority of P.L. 111-11, eligible applicants include any State, Indian tribe, irrigation district, water district, or other organization with water or power delivery authority.

Applicants must also be located in the western U.S. or Territories as identified in the Reclamation Act of June 17, 1902, as amended and supplemented; specifically, Arizona, California, Colorado, Idaho, Kansas, Montana, Nebraska, Nevada, New Mexico, North Dakota, Oklahoma, Oregon, South Dakota, Texas, Utah, Washington, Wyoming, American Samoa, Guam, the Northern Mariana Islands, and the Virgin Islands.

Beneficiary Eligibility:

The general public and irrigation or water districts located in the 17 western States identified in the Act of June 17, 1902, as amended; specifically, Arizona, California, Colorado, Idaho, Kansas, Montana, Nebraska, Nevada, New Mexico, North Dakota, Oklahoma, Oregon, South Dakota, Texas, Utah,

Washington, and Wyoming.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Environmental compliance for this program may be required depending on the scope of the specific project funded. This may result in the need for an environmental impact assessment or environmental impact statement prior to the commencement of project activities. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Funding opportunity announcements for this program, along with registration procedures, application packages and instructions, points of contact, and procedures for submitting applications will be available on www.grants.gov.

Award Procedure:

All applications will be initially screened for eligibility and compliance with the requirements stated in the program funding announcement. Applications passing this screening process will be forwarded for review by a proposal evaluation panel comprised of qualified experts in the program area. Applications will be reviewed against the proposal evaluation criteria, and any additional review factors, as stated in the funding announcement. Final selection will be determined by the Commissioner, Bureau of Reclamation, or regional officials, as applicable to the project. The number of awards and maximum funding amount per award are determined on an annual basis and are dependent upon the amount of available funding in each Region.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Varies depending on the type and complexity of the project. Further information will be available for each specific project at the time the funding opportunity announcement is posted on www.grants.gov and may be obtained by contacting the Bureau of Reclamation personnel listed as the point of contact in the funding announcement.

Appeals:

None. Final award decisions are not subject to appeal; however, the Bureau of Reclamation will provide all applicants with information on why their proposals were not selected for award.

Renewals:

Generally, applicants should propose projects that can be completed within 24 months from the project start date. However, multi-year proposals may be considered if it can be demonstrated that there will be measurable on-the-ground accomplishments each year.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: The Federal share of the cost of a project or activity carried out under the WCFSP shall generally not exceed 50 percent of the total cost of the project or activity, depending on the project and authorizing legislation, the Federal share of project funding may be less than 50 percent. Costs of operation, maintenance, repair and rehabilitation of facilities funded under the authority of this program shall be nonfederal responsibilities. Cost-share requirements may vary by Region. Please contact the Regional or Area Office Water Conservation Coordinator located in the Region in which the

proposed project is located for specific information. Projects awarded under the following authorities have mandatory recipient cost-sharing requirements as follows: Reclamation Projects Authorization and Adjustment Act of 1992, Title XXXIV-Central Valley Project Improvement Act, Pub. L. 102-575, cost share varies in accordance with the type of project (see Sec. 3406 and 3407); and Section 9504 of the Omnibus Lands Management Act of 2009, P.L. 111-11 requires a 50% cost share.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Projects typically are awarded for up to 24 months; however, some projects may be awarded for periods of up to 5 years. See the following for information on how assistance is awarded/released: Payment terms vary by agreement awarded under this program.

Reports:

Unless otherwise stated in the agreement document, recipients shall submit annually Program Performance Reports. Upon completion of the agreement, recipients shall submit a final Program Performance Report, and other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. Cash reports are not applicable. Progress reports are not applicable. Unless otherwise stated in the agreement document, recipients shall submit annually the SF-425, Federal Financial Report. Upon completion of the agreement, recipients shall submit a final SF-425, Federal Financial Report. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

State, local and Indian Tribal governments shall maintain project records in accordance with 43 CFR 12.82. All other recipients shall maintain project records in accordance with 43 CFR 12.953.

Account Identification:

14-0680-0-1-301.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 12 \$1,893,991; FY 13 est \$5,800,000; and FY 14 est \$0

Range and Average of Financial Assistance:

\$6,938 to \$100,000; Average \$2,564,664.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Assistance to districts towards development of water conservation plans, assistance with implementation of efficiency improvement measures identified in plans, demonstrations of new technology, leveraging of resources through collaboration between water districts and other entities by encouraging and developing partnerships. Fiscal Year 2013: Information not available. Fiscal Year 2014: Information not available.

REGULATIONS, GUIDELINES, AND LITERATURE:

43 CFR 12 and OMB Circulars. These documents may also be obtained by contacting the Reclamation Office listed below.

Regional or Local Office:

See Regional Agency Offices. Regional contact information may be found at <http://www.usbr.gov/waterconservation/wtrctrs.html>. This website includes a map to help you locate the appropriate office for your area.

Headquarters Office:

NA NA, NA, District of Columbia NA Phone: NA

Website Address:

<http://www.usbr.gov/waterconservation/>.

RELATED PROGRAMS:

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Examples of funded projects under this program include the following: the Riverside Irrigation District, the Truckee-Carson Irrigation, and the Kern-Tulare Water District. The Riverside Irrigation District is installing two overshot water control gates at the Indian Creek diversion structure to replace existing stoplogs. The gates will be motorized and connected to electronic Supervisory Control and Data Acquisition (SCADA) equipment. SCADA components that will be installed include controllers, gate actuators, water level sensors, stilling wells, cabling power supply equipment, weatherproof enclosures, and UHF radio communication equipment. The Truckee-Carson Irrigation District is upgrading water measurement devices off the Trucker Canal, an improvement expected to result in 1,004 acre-feet of conserved water per year once completed. The Kern-Tulare Water District will fund a mobile irrigation laboratory for completing on farm irrigation system evaluations. The project is expected to result in better management of 7,500 acre-feet of water per year once completed. Fiscal Year 2013: Information not available. Fiscal Year 2014: Information not available.

CRITERIA FOR SELECTING PROPOSALS:

Specific evaluation criteria will be included in the funding announcements posted on www.grants.gov. Reclamation-wide Evaluation Criteria are as follows:

Water Management Planning 100 Total Points Available
 Association with Reclamation Project Water Supplies
 Reasonableness of cost
 Extent to which Federal funding would promote completion of an activity that might otherwise be delayed or postponed
 Amount and sources of non-Federal funding (i.e., cost share)
 Other criteria as developed by regional and area offices to address local goals and priorities.

Implementation of Efficiency Measures 95 Total Points Available
 Association with Reclamation Project Water Supplies
 Extent to which applicants Water Management Plan is complete and updated (N/A if applicant is not required to have a plan in place)
 Reasonableness of cost
 Extent to which the proposed activity is expected to improve water use efficiency
 Extent to which Federal funding would promote completion of an activity that might otherwise be delayed or postponed
 Amount and sources of non-Federal funding (i.e., cost share)
 Likelihood that any environmental and cultural resources issues associated with the proposal can be addressed at low cost and in a timely manner
 Extent to which proposal demonstrates a sound implementation strategy
 The applicants strategy for monitoring performance and reporting and disseminating results
 Other criteria as developed by regional and area offices to address local goals and priorities.

Demonstration Projects 90 Total Points Available
 Association with Reclamation Project Water Supplies
 Extent to which applicants Water Management Plan is complete and updated (N/A if applicant is not required to have a plan in place)
 Reasonableness of cost
 Extent to which the proposed activity will demonstrate innovative conservation technologies for improving water use efficiency (including applied research to benefit development of new technologies; demonstration of a specific conservation technology; or innovative application of existing technology with the intent of evaluating results)
 Extent to which Federal funding would promote completion of an activity that might otherwise be delayed or postponed
 Amount and sources of non-Federal funding (i.e., cost share)
 Likelihood that any environmental and cultural resources issues associated with the proposal can be addressed at low cost and in a timely manner
 Extent to which proposal demonstrates a sound implementation strategy
 The applicants strategy for monitoring performance and reporting and disseminating results

Other criteria as developed by regional and area offices to address local goals and priorities.

15.531 YAKIMA RIVER BASIN WATER ENHANCEMENT PROJECT (YRBWEP)**FEDERAL AGENCY:**

Bureau of Reclamation, Department of the Interior

AUTHORIZATION:

Yavapai-Prescott Indian Tribe Water Rights Settlement Act of 1994, Title XII, Yakima River Basin Water Enhancement Project, Public Law 103-434.

OBJECTIVES:

Within the Yakima River Basin: (1) to protect, mitigate, and enhance fish and wildlife through improved water management; improved instream flows; improved water quality; protection, creation and enhancement of wetlands; and by other appropriate means of habitat improvement; (2) to improve the reliability of water supply for irrigation; (3) to authorize a Yakima River basin water conservation program that will improve the efficiency of water delivery and use; enhance basin water supplies; improve water quality; protect, create and enhance wetlands, and determine the amount of basin water needs that can be met by water conservation measures; (4) to realize sufficient water savings from the Yakima River Basin Water Conservation Program so that not less than 40,000 acre-feet of water savings per year are achieved by the end of the fourth year of the Basin Conservation Program; (5) to encourage voluntary transactions among public and private entities which result in the implementation of water conservation measures, practices and facilities; and (6) to provide for the implementation by the Yakima Indian Nation at its sole discretion of (A) an irrigation demonstration project on the Yakima Indian Reservation using water savings from system improvements to the Wapato Irrigation Project, and (B) a Toppenish Creek corridor enhancement project integrating agricultural, fish, wildlife, and cultural resources.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

To participate in the Conservation Basin Program an entity must submit a proposed water conservation plan to the Secretary of the Interior. Assistance is limited to those entities (districts, irrigation districts, individuals, or other entities) that equip all surface water delivery systems within their boundaries with volumetric water meters or equally effective water measuring methods within five years of the date of enactment of this Act. The Yakima Nation is not included in the provisions of this, Section 1203, except as to any funds specifically applied for from the Basin Conservation Program. The Secretary of the Interior may use appropriated funds to purchase, or lease, land, water, or water rights from an entity or individual willing to limit or forego water use on a temporary or permanent basis. Funds used for purchase or lease under this paragraph are not subject to the cost sharing provisions of Section 1203(d).

Applicant Eligibility:

State of Washington, and Federally Recognized Indian Tribal Governments, water and irrigation districts, and water rights owners located in the project area.

Beneficiary Eligibility:

Anyone/General Public, Intrastate, Local, Individual/Family, and Federally Recognized Indian Tribal Governments within the project area.

Credentials/Documentation:

Eligible entities must submit a proposal to develop a Water Conservation Plan. Once the Water Conservation Plan has been developed it is to be submitted to the Bureau of Reclamation, Pacific Northwest Region, Columbia-Cascades Area Office, in accordance with the information included in the funding opportunity announcement that will be posted at www.grants.gov. Only entities with approved Water Conservation Plans are eligible for consideration for feasibility studies. Only entities with an approved Water Conservation Plan and approved feasibility study are eligible for potential implementation of conservation measures. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Environmental compliance for this program may be required depending on the

scope of the specific project funded. This may result in the need for an environmental impact assessment or environmental impact statement prior to the commencement of project activities. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Single Source/Notice of Intent to Award announcements for this program, along with registration procedures, application packages and instructions, SF-424 forms and any other forms to be used to submit application information, points of contact, and procedures for submitting applications will be available on www.grants.gov.

Award Procedure:

All applications will be initially screened for eligibility and compliance with the requirements stated in the program funding announcement. Applications passing this screening process will be forwarded for review by a proposal evaluation panel comprised of personnel from the Bureau of Reclamation, Pacific Northwest Regional Office, who are qualified experts in the program area. Applications will be reviewed against YRBWEP Authority criteria, and any additional review factors, as stated in the funding announcement. Final selection will be determined by the Commissioner, Bureau of Reclamation, or regional officials, as applicable to the project. Entities meeting the basic criteria for participation in the YRBWEP must enter into a cost sharing agreement prior to beginning project work.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Varies depending on the type and complexity of the project.

Appeals:

None. Final award decisions are not subject to appeal; however, the Bureau of Reclamation will provide all applicants with information on why their proposals were not selected for award.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: Unless otherwise stated in the Act: (1) Development of water conservation plans 50 percent nonFederal share, but not more than \$200,000 per recipient; (2) Investigation of specific water conservation measures 50 percent State share and 20 percent local share, but sum of (1) and (2) shall not be greater than \$200,000 per recipient; (3) and (4) Implementation and post implementation monitoring and evaluation 17.5 percent State share, and 17.5 percent local share. (Section 1203(d)).

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Agreements are normally written for a 1 to 5 year period. Implementation agreements may be written to cover the period estimated in the approved Water Conservation Plan and Feasibility Study. See the following for information on how assistance is awarded/released: Payment terms vary by agreement awarded under this program.

Reports:

Unless otherwise stated in the agreement document, recipients shall submit the following reports on a quarterly basis Program Performance Reports. Annually, recipients shall submit an annual Program Performance Report. Upon completion of the agreement, recipients shall submit a final Program Performance Report, and other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. For Recovery Act projects, quarterly reporting is required. Cash reports are not applicable. Progress reports are not applicable. Unless otherwise stated in the agreement document, recipients shall submit the SF-425, Federal Financial Report. Upon completion of the agreement, recipients shall submit a final SF-425, Federal Financial Report. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

State, local and Indian Tribal governments shall maintain project records in accordance with 43 CFR 12.82. All other recipients shall maintain project records in accordance with 43 CFR 12.953.

Account Identification:

14-0680-0-1-301.

Obligations:

(Cooperative Agreements) FY 12 \$5,860,723; FY 13 est \$6,466,000; and FY 14 est \$7,737,000

Range and Average of Financial Assistance:

Range is \$5,000 to \$44,700,000; Average \$6,687,907.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: In Fiscal Year 2011 and 2012, the Benton Irrigation District completed construction of five major laterals of their piped water delivery system. They abandoned their old main canal and are diverting all irrigation water from the new river pump station; the Roza Irrigation District completed their Feasibility Study in 2012 identifying water conservation improvements for implementation; the Kennewick Irrigation District completed their Feasibility study in 2011 identifying water conservation improvements for implementation; and the Sunnyside Division Board of Control completed construction of their regulating reservoir in 2011, installed twelve additional automated check structures in 2011 and 2012, and completed thirty-one miles of enclosed lateral pipe installation. Fiscal Year 2013: Information not available. Fiscal Year 2014: Information not available.

REGULATIONS, GUIDELINES, AND LITERATURE:

43 CFR 12 and OMB Circulars. These documents may also be obtained by contacting the Reclamation Office listed below.

Regional or Local Office:

See Regional Agency Offices. Timothy McCoy, YRBWEP Manager, 1917 Marsh Rd., Yakima, WA 98901, Phone: 509-575-5848 ext. 209, Fax: 509-454-5611, email: tmccoy@usbr.gov.

Headquarters Office:

Director, Bureau of Reclamation, 1849 C Street, NW, Washington, District of Columbia 20240 Phone: (202) 208-3100.

Website Address:

<http://www.usbr.gov/pn/>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Funding projects for Fiscal Years 2011 and 2012 include the Feasibility Investigations by the Roza and Kennewick Irrigation Districts of measures identified in their respective Water Conservation Plans, including investigation of regulating reservoirs and lateral piping projects; also funded was construction of enclosed lateral piping by Benton Irrigation District as water conservation measures; and construction of a reregulating reservoir, automated canal check structures, and enclosed lateral piping by Sunnyside Division Board of Control as water conservation measures. Fiscal Year 2013: Information not available. Fiscal Year 2014: Information not available.

CRITERIA FOR SELECTING PROPOSALS:

Specific evaluation criteria will be included in the funding announcements posted on www.grants.gov. Initial selection based on projects that would meet/fulfill the objectives of YRBWEP. After Water Conservation Plans are approved, feasibility studies are approved for those projects/measures that appear to result in the greatest benefit to YRBWEP. Implementation awards are based on those that are the most cost effective and result in the greatest water savings.

For ARRA funded projects:

The purposes of the Recovery Act are, among others, to quickly and prudently commence activities that preserve and create jobs and to promote economic recovery, and to invest in transportation, environmental protection, and other infrastructure that will provide long-term economic benefits. To meet these purposes, Reclamation will allocate funds based on the criteria set forth in the Recovery Act and the accompanying conference report, and will target activities that quickly infuse money into the economy.

15.532 CENTRAL VALLEY PROJECT, TRINITY RIVER DIVISION, TRINITY RIVER FISH AND WILDLIFE MANAGEMENT

Trinity River Restoration Program

FEDERAL AGENCY:

Bureau of Reclamation, Department of the Interior

AUTHORIZATION:

Central Valley Project Improvement Act (CVPIA), 1992, Public Law 102-575, Title 34, Section 3406(b)(1).

OBJECTIVES:

To address impacts of the Central Valley Project (CVP) on fish, wildlife, and associated habitats in the Trinity River basin of California by protecting, restoring, and enhancing such habitats and to address other identified adverse environmental impacts of the Central Valley Project.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

All funding is discretionary and intended for projects that support the goals and objectives of the Program and meet the requirements specified in the CVPIA and Record of Decision. For further information, please contact the regional office.

Applicant Eligibility:

State and local entities, public nonprofit institutions/organizations, other public institutions/organizations, Federally recognized Indian Tribal governments, small businesses, profit organizations, private nonprofit institutions/organizations, quasi- public nonprofit institutions/organizations, and other private institutions/organizations.

Beneficiary Eligibility:

General public, public institutions/organizations, Federally recognized Indian Tribal Governments, small businesses, profit organizations, private nonprofit institutions/organizations, quasi-public nonprofit institutions/organizations, and other private institutions/organizations.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Environmental compliance for this program may be required depending on the scope of the specific project funded. This may result in the need for an environmental impact assessment or environmental impact statement prior to the commencement of project activities. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. A Funding Opportunity Announcement (FOA) will be posted at Grants.gov as funding is made available by the Bureau of Reclamation. Applications shall include: 1) A detailed written technical proposal that should include information such as a scope of work which separates the work into major tasks, the approach proposed to accomplish the work, type of personnel who will participate in accomplishing the work, background and location of applicant, any equipment that will be utilized by the project applicant, experience in this type of work, an estimate of the costs and/or cost savings, any economic benefit, and any other information that may be useful for proposal evaluation. 2) A detailed cost proposal including a budget covering salaries and wages, fringe benefits, travel, equipment, supplies,

subcontracts, indirect cost rates, funding sources and commitments, cost-sharing, and a breakdown of costs by task. In addition, applicants must submit all applicable SF 424 forms, which are referenced in the FOA.

Award Procedure:

The number of awards, maximum funding amount per award, and areas of interest for which proposals will be considered, are determined on an annual basis and are dependent upon the annual appropriation from Congress. Proposals received in response to the FOA are reviewed on the basis of a competitive, merit-based review process, and are rated in accordance with the evaluation criteria stated in the FOA. The review team will consist of Federal personnel and qualified non-Federal personnel when specific expertise is required by the review team. The Bureau of Reclamation will make awards to the highest rated proposals based on the amount of funding available each year. The Bureau of Reclamation reserves the right to prioritize projects based on availability of funds and to ensure balance among the program objectives listed in the FOA.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Varies depending on the type and complexity of the project. Further information will be provided for each specific project at the time the funding opportunity announcement is posted on www.grants.gov.

Appeals:

None. Final award decisions are not subject to appeal; however, the Bureau of Reclamation will provide all applicants with information on why their proposals were not selected for award.

Renewals:

If renewals or extensions are applicable to the project, this information will be included in the funding opportunity announcement. When renewals or extensions are applicable, continuation of funding for these activities is at the discretion of Congress and will be subject to availability of appropriated funds.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching Requirements: This program has no statutory formula or matching requirements; however, project cost sharing is encouraged. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Proposals will be requested for projects of varying durations. See the following for information on how assistance is awarded/released: Payment terms vary by agreement awarded under this program.

Reports:

Unless otherwise stated in the agreement document, recipients shall submit the following reports on an annual basis the Program Performance Reports. Upon completion of the agreement, recipients shall submit a final Program Performance Report, and other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. Cash reports are not applicable. Progress reports are not applicable. Unless otherwise stated in the agreement document, recipients shall submit on an annual basis the SF-425, Federal Financial Report. Upon completion of the agreement, recipients shall submit a final SF-425. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

State, local and Indian Tribal governments shall maintain project records in accordance with 43 CFR 12.82. All other recipients shall maintain project records in accordance with 43 CFR 12.953.

Account Identification:

14-0680-0-1-300.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 12 \$5,264,761; FY 13 est \$5,043,820; and FY 14 est \$5,200,000

Range and Average of Financial Assistance:

Range is \$75,000 to \$2,200,000; Average \$5,169,527.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Program accomplishments for Fiscal Year 2012 include four in-channel rehabilitation sites with 63,000CY of earthwork and placement of 400 pieces of large wood adding geomorphic complexity and fish habitat to the river, and enhancement of 45 acres of riparian habitat. In 2011 the first Phase 2 project was completed entirely on private land, with 48,000CY of earthwork, 200 large wood placements, and 7 acres of riparian habitat improved.; In 2011-2012 eleven collaborative watershed projects were developed and implemented, garnering 40% matched funding; innovative wood structure designs and placement were implemented at all sites; high flow augmentation was conducted in 2011 adding 5,300 CY of coarse gravel; and the first highest flow release allowable by the program, 11,000 cfs, was successfully achieved. Public Information and Outreach activities included two Public Outreach Meetings for each Restoration Project, multiple landowner meetings, six Community Outreach Meetings along the river and Program booths at two Salmon Festivals and the County Fair; three brochures, three information bulletins, and two interpretive signs. Fiscal Year 2013: Information not available. Fiscal Year 2014: Information not available.

REGULATIONS, GUIDELINES, AND LITERATURE:

43 CFR 12 and OMB Circulars.

Regional or Local Office:

See Regional Agency Offices. Bureau of Reclamation, Trinity River Restoration Program, Attn: Robin Schrock, P.O. Box 1300, Weaverville, CA 96093. Telephone: 530-623-1817. Fax: 530-623-5944.

Headquarters Office:

NA NA, NA, District of Columbia NA Phone: NA

Website Address:

<http://www.usbr.gov/mp/ncal/>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Examples of funded projects include: 28 of 47 projects identified in the Record of Decision were completed. Watershed Coordination identified Trinity Basin watershed improvements needed to reduce fine sediment inputs to the Trinity River watershed: Middle Trinity Road Decommissioning and Upgrade, Union Hill Road Improvement, Indian Creek Rehabilitation and Sediment Control, West Weaver Creek Rapid Assessment, Conner Creek Migration Barrier, Browns Creek Road Sediment Assessment, BLM Roads Sediment Reduction, Lower East Weaver Creek Habitat and Infrastructure Project, Sidney Gulch at Forest Service Compound Feasibility, Conner Creek Fish Passage Feasibility, LiDAR Tributary Data Acquisition.

In 2011 and 2012 most funding was dedicated through these agreements to: smolt outmigration population size and timing, adult spawning escapement separated by natural and hatchery, sport and tribal harvest, system wide habitat assessment, evaluation of habitat produced through construction, and riparian vegetation recruitment and encroachment evaluations Fisheries Surveys include in-river run size estimation for spring run, fall run Chinook, fall run steelhead and coho salmon, harvest out migration population estimates for Chinook and coho salmon, prespawn mortality estimates, for Chinook and coho salmon, late summer adult disease monitoring in the lower Klamath, redd distribution and density across the 40 mile rehabilitation reach in 2011 and in 2012, Technical assistance for channel rehabilitation designs, surveying, and construction of 2011 and 2012 restoration sites. Over 50 products were posted for 2012 on the TRRP Online Data Portal, <http://odp.trrp.net>). Representative publications funded through these agreements include: Chamberlain, C. D., S. Quinn, and W. Matilton (2012) Distribution and abundance of Chinook salmon redds in the mainstem Trinity River 2002 to 2011. Arcata Fisheries Technical

Report TR 2012-16, U.S. Fish and Wildlife Service, Arcata, CA.

Krause, A. F. (2012) History of mechanical sediment augmentation and extraction on the Trinity River, California, 1912-2011. Technical Report TR-TRRP-2012-2 (Revised). Bureau of Reclamation. Trinity River Restoration Program. Weaverville, California.

Goodman, D. H.; Alvarez, J.; Martin, A.; Nicholas, A. S.; and Polos, J. (2012) Estimation of age-0 Chinook and coho salmon rearing habitat area within the restoration reach of the Trinity River at an index streamflow - annual report 2010. U.S. Fish and Wildlife Service. Arcata Fish and Wildlife Office, Arcata Fisheries Technical Report Number TR 2012-17, Arcata, California. Fiscal Year 2013: Information not available. Fiscal Year 2014: Information not available.

CRITERIA FOR SELECTING PROPOSALS:

Evaluation criteria will vary according to project and will be included in the funding announcements posted on www.grants.gov.

15.533 CALIFORNIA WATER SECURITY AND ENVIRONMENTAL ENHANCEMENT

California Bay-Delta Authorization Act (CALFED)

FEDERAL AGENCY:

Bureau of Reclamation, Department of the Interior

AUTHORIZATION:

Water Supply, Reliability, and Environmental Improvement Act, Title I California Water Security and Environmental Enhancement, Public Law 108-361 and Public Law 111-11, Section 9504(a).

OBJECTIVES:

The purpose of the CALFED Bay-Delta Authorization Act authorizes the Secretary of the Interior and the heads of the other Federal implementing agencies to carry out specific activities identified in the Act in furtherance of the CALFED Bay-Delta Program as set forth in the CALFED Bay-Delta Program Record of Decision dated August 28, 2000. The financial assistance objectives of the Program are to provide assistance for outreach efforts to improve the public's awareness and understanding of the water supply and eco-system issues in California's Sacramento San Joaquin River Delta, and to expand water supplies to ensure efficient use through an array of projects and approaches, improve water quality from source to tap, improve the health of the Bay-Delta system through restoring and protecting habitats and native species, and improve the Bay-Delta levees to provide flood protection, ecosystem benefits, and protect water supplies.

The development of the BDCP is expected to result in a 50-year, ecosystem-based Habitat Conservation Plan designed to restore fish and wildlife species in the Delta in a way that also provides for the protection of reliable water supplies while minimizing impacts to Delta communities and farms. The San Joaquin River Salinity Management Project implements the stakeholder developed Westside Regional Drainage Plan (WRDP), which includes activities to manage, reduce, and eliminate agricultural drainage to the lower San Joaquin River and adjacent wetlands water supply channels. The WRDP is a key element of Reclamations Action Plan to Address the Lower San Joaquin River Salinity total maximum daily load and contributes to resolution of San Luis Unit drainage provision responsibilities.

The Science program provides for the collection and assembly of environmental data and information needed to help inform the biological requirements for threatened and endangered species that are affected by the Central Valley Project. The Bay Area Regional Water Recycling Program (BARWRP) is a partnership of federal, state, and local agencies focused on the feasible use of recycled water in the five-county San Francisco Bay Area. BARWRP will assist CALFED in meeting its objectives related to water supply, water quality, and ecosystem enhancement. By maximizing utilization of recycled water for its permitted demands, water agencies can reduce the demands on their current high-quality water supplies and limit the need for new, possibly lower-quality supplies in the future. Water conservation is designed to encourage cost shared water conservation projects that meet the objectives contained in the CALFED Water Use Efficiency Program. Benefits include increased water supply reliability, water quality improvements, and contributions to ecosystem restoration.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funding is for grants and cooperative agreements with agencies of the State of California for Program-wide management, oversight and coordination activities as defined in section 103(f)(4) of Public Law 108-361. California water districts for water conservation grants and Title XVI in accordance with Section 9504(a) of Public Law 111-11. Relative to the use of the Fish and Wildlife Coordination Act Program Authority, the delegation of authority to the Bureau of Reclamation in the Department of Interior Manual, Part 255, Chapter 14, projects are limited to the improvement of fish and wildlife habitat associated with water systems or water supplies affected by Bureau of Reclamation projects. The Secretary of Interior is authorized to enter into agreements as may be necessary to implement the intent, purposes and provisions of Title XXXIV.

Applicant Eligibility:

Agencies of the state of California and water districts within the CALFED solution area as defined in the CALFED Bay-Delta Program Record of Decision.

Beneficiary Eligibility:

State agencies and water districts within the CALFED solution area as defined in the CALFED Bay-Delta Program Record of Decision.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Environmental compliance maybe required depending on the scope of the specific project funded. This may result in the need for an environmental impact assessment or environmental impact statement prior to commencement of project activities. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Applicant must submit all applicable SF-424 forms; and a statement of work separating the work into major tasks, level of cooperation with parties involved, and how the subject proposal will provide the outcome sought.

Award Procedure:

Applications will be initially screened for eligibility and compliance with the requirements stated in the program funding announcement. Applications passing this screening process will be forwarded for review by a proposal evaluation panel comprised of qualified Federal or non-Federal experts in the program area. Applications will be reviewed against the proposal evaluation criteria, and any additional review factors, as stated in the funding announcement. Final selection will be determined by the Commissioner, Bureau of Reclamation, or regional officials, as applicable to the project. Maximum funding amount is dependent upon the annual appropriation from Congress.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Varies depending on the type and complexity of the project or activity.

Appeals:

Not Applicable.

Renewals:

Continuation of funding from one fiscal year to the next is at the discretion of Congress.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: The Federal share of the costs of a project or activity carried out under this Program may vary dependent upon activity and authority.

Costs of operation, maintenance, repair and rehabilitation of facilities shall be a non-federal responsibility.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Generally for 36 months or less; multi-year projects may be considered if justified and annual accomplishments can be demonstrated. See the following for information on how assistance is awarded/released: Payment terms vary by agreement awarded under this program.

Reports:

Unless otherwise stated in the agreement document, recipients shall submit on an annual basis the Program Performance Reports. Upon completion of the agreement, recipients shall submit a final Program Performance Report, and other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. Cash reports are not applicable. Progress reports are not applicable. Unless otherwise stated in the agreement document, recipients shall submit on an annual basis quarterly basis the Federal Financial Report SF-425 and upon completion of the agreement, recipients shall submit a final SF 425. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

State, local and Indian Tribal governments shall maintain project records in accordance with 43 CFR 12.82. All other recipients shall maintain project records in accordance with 43 CFR 12.953.

Account Identification:

14-0687-0-1-301.

Obligations:

(Cooperative Agreements) FY 12 \$17,741,645; FY 13 est \$14,000,000; and FY 14 est \$13,600,000

Range and Average of Financial Assistance:

Range is \$100,000 to \$5,000,000; Average \$15,113,882.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: In Fiscal Year (FY) 2012, \$4,185,286 was made available for water use efficiency grants. Implemented water conservation measures through a competitive, water use efficiency grant program offered to water districts, irrigation districts, resource conservation districts, urban water agencies, etc. Reclamation and NRCS are continuing the collaborative process through the Bay-Delta Restoration Program: Agricultural Water Conservation and Efficiency Grants. Provided additional funds for Bay Area Regional Water Recycling Program. In FY 2012, \$345,134 was made available for the Sacramento-San Joaquin Delta Conservancy for Water Education Through Public Outreach Funding is being used to support the development of the BDCP EIR/EIS. Reclamation has supported implementation of the WRDP for more than ten years through the development of a reuse project where agricultural drainwater irrigates salt tolerant crops. Federal funds, in FY 2012 of \$4,200,000 with equivalent recipient match, have been used to design and construct infrastructure to manage and distribute agricultural drainwater across the entire 6,000 acre reuse project. In FY 2012, \$8,557,796 was made available for Science grants. The data from grants and resulting information are used for the Bay Delta Conservation Plan and other planning efforts for future projects involving Reclamation and its partners. The Federal Science Task Force funded a number of grants to address recommendations from the National Academy of Science Report and to facilitate development of an integrated biological opinion.

In FY 2012, a grant for \$303,428 was awarded to the Delta Stewardship Council to convene an expert panel to review written products generated by the Bay-Delta Conservation Plan (BDCP) Effects Analysis.

A \$150,000 was used to provide support to Cooperating Agencies for their role under the National Environmental Policy Act to assist in the development of the BDCP Environmental Impact Statement. Fiscal Year 2013: Information not

available. Fiscal Year 2014: Information not available.

REGULATIONS, GUIDELINES, AND LITERATURE:

43 CFR 12, www.access.gpo.gov/nara/cfr/cfr-table-search.html#page1, and OMB Circulars www.whitehouse.gov/omb/grants/grants_circulars.html. These documents may also be obtained by contacting the Bureau of Reclamation Office listed below.

Regional or Local Office:

See Regional Agency Offices. U.S. Bureau of Reclamation, Mid-Pacific Region, 801 I St., Suite 140 Sacramento, California 95825; phone: 916-414-2400; fax: 916-414-2439.

Headquarters Office:

NA NA, NA, District of Columbia NA Phone: NA

Website Address:

www.mp.usbr.gov.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: As a participating agency in the CALFED Bay-Delta Program, the Bureau of Reclamation's Mid-Pacific and Lower Colorado Regions worked in partnership with the California Department of Water Resources, State Water Resources Control Board, and to award Water Use Efficiency grants in FY 2012 to water agencies that had a defined relationship to the Bay-Delta.

A grant was provided to Panoche Drainage District to continue design and construct infrastructure to manage and distribute agricultural drainwater across the entire 6,000 acre reuse project.

For the Science program grants include funding for the Interagency Ecological Program, Pelagic Organism Decline and near-term scientific research issues arising from the National Academy of Sciences. For the Bay-Delta Conservation Plan grants were awarded for expert panels to review written products. Fiscal Year 2013: Information not available. Fiscal Year 2014: Information not available.

CRITERIA FOR SELECTING PROPOSALS:

Specific evaluation criteria will be included in application packages and any funding announcements posted on www.grants.gov.

15.534 MISCELLANEOUS PUBLIC LAW 93-638 CONTRACTS, GRANTS, AND COOPERATIVE AGREEMENTS

FEDERAL AGENCY:

Bureau of Reclamation, Department of the Interior

AUTHORIZATION:

Indian Self-Determination and Education Assistance Act, Public Law 93-638, Title I, 25 U.S.C. 450 est. seq., as amended; and statutory authorities that authorize each specific project. Assistance Act; American Recovery and Reinvestment Act of 2009 (ARRA) (P.L. 111-5), Public Law -.

OBJECTIVES:

Project funds are to be provided on a non-reimbursable basis for construction and/or non-construction activities which are primarily for the benefit of Indians because of their status as Indians.

FOR RECOVERY ACT:

For ARRA funded projects and in addition to the program objectives above, the section 3 of the ARRA states the following objectives:

- (1) To preserve and create jobs and promote economic recovery.
- (2) To assist those most impacted by the recession.
- (3) To provide investments needed to increase economic efficiency by spurring technological advances in science and health.
- (4) To invest in transportation, environmental protection, and other infrastructure that will provide long-term economic benefits.
- (5) To stabilize State and local government budgets, in order to minimize and avoid reductions in essential services and counterproductive state and local tax increases.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants); Direct Payments for Specified Use (Cooperative Agreements)

USES AND USE RESTRICTIONS:

Contracts, grants, and cooperative agreements are authorized for construction and non-construction projects. Certain restrictions will be applicable based on the specific statutory authority that authorizes the project. This CFDA number will not be utilized if there is a more specific CFDA number applicable to the project. For further information, please contact regional office.

Applicant Eligibility:

Federally recognized Indian tribes and tribal organizations in the 17 western states are eligible applicants; however, eligibility alone does not establish a "program."

Beneficiary Eligibility:

Federally recognized Indian tribes and tribal organizations.

Credentials/Documentation:

(1) Each contract, grant, or cooperative agreement requires project specific authority. (2) Detailed written technical proposals including background data regarding the applicants, technical approaches proposed to accomplish the work, scopes of work that separate the work into major tasks, types of personnel implementing the proposals, experience in performing these types of work, timeliness, the levels of cooperation with other parties involved in the proposals, and other information that may be useful for proposal evaluation. (3) Detailed project cost proposals, including budgets with estimated project costs, including salaries and wages, fringe benefits, travel, equipment, supplies, contracts, and indirect costs, and the values of any in-kind contribution of goods and services. (4) The awarded contract, grant, or cooperative agreement must contain an authorizing resolution of the governing body of the Indian tribe. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Environmental compliance for this program may be required depending on the scope of the specific project funded. This may result in the need for an environmental impact assessment or environmental impact statement prior to the commencement of project activities. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Initial proposals must contain the information specified as 25 CFR Part 900. Proposals shall be submitted to the applicable Reclamation office with responsibility for the specific project for which a Tribe or tribal organization proposes to participate. Reclamation will provide technical assistance to Tribes in the development of their proposals as requested, pending availability of appropriations.

Award Procedure:

Reclamation and the Tribe develop a negotiation schedule and then negotiate the overarching contract/award terms, provisions and conditions based on the requirements of Public Law 93-638, 25 CFR Part 900, any specific requirements of the project authorizing legislation, and other applicable Federal laws and regulations. Reclamation and the Tribe also negotiate an Annual Funding Agreement (AFA), which identifies activities to be performed, funds to be provided, and the method of payment for the initial year of the contract. A final contract agreement is prepared based upon the outcome of the negotiations; attachments include the work plan, budget, tribal resolution, initial AFA, property list, list of any applicable provisions, terms, and conditions.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

As specified for the project.

Appeals:

Not Applicable.

Renewals:

Will vary with project or award.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Length of projects will vary. See the following for information on how assistance is awarded/released: Payment terms vary by agreement awarded under this program.

Reports:

Unless otherwise stated in the agreement document, tribal organizations shall submit the following reports as negotiated within the contract agreement: Program Performance Report and other specific reports that may be applicable to the contract agreement such as property inventories. Cash reports are not applicable. Progress reports are not applicable. The Federal Financial Report SF 425 is required. The reporting of financial status report requirements will be negotiated within the AFA or overarching terms and conditions. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Indian Tribal governments and organizations shall maintain project records in accordance with Public Law 93-638 and 25 CFR Part 900.

Account Identification:

14-0680-0-1-301.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 12 \$249,506; FY 13 est \$500,000; and FY 14 est \$500,000

Range and Average of Financial Assistance:

Range is \$5,000 to \$74,000,000; Average \$416,502.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Funding has been provided to support the development and management of Indian tribal water resources such as studies, evaluations, assessments involving water quality, groundwater, conservation, and habitat restoration. Fiscal Year 2013: Information not available. Fiscal Year 2014: Information not available.

REGULATIONS, GUIDELINES, AND LITERATURE:

25 U.S.C. 450 (Public Law 93-638, 25 CFR Part 900, OMB Circular A-87 (2 CFR 225), and OMB Circular A-133.

Regional or Local Office:

None.

Headquarters Office:

Commissioner, Office of the Commissioner, Bureau of Reclamation, 1849 C Street, N.W., Washington, District of Columbia 20240-0001 Phone: (202) 513-0501.

Website Address:

<http://www.usbr.gov/native/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Funded projects include various features of a project designed to deliver and distribute water for irrigation. Fiscal Year 2013: Information not available. Fiscal Year 2014: Information not available.

CRITERIA FOR SELECTING PROPOSALS:

All applicants must meet the requirements of Public Law 93-638, 25 CFR Part

900 and any additional criteria specific to the authorizing legislation for the project. The project must be contractible under Public Law 93-638.

15.535 UPPER COLORADO RIVER BASIN FISH AND WILDLIFE MITIGATION PROGRAM

FEDERAL AGENCY:

Department of the Interior

AUTHORIZATION:

Reclamation Projects Authorization and Adjustment Act of 1992, Title III-Fish, Wildlife, and Recreation Mitigation and Conservation, Sec. 314, Public Law 102-575, as amended., Title , Section , Public Law -.

OBJECTIVES:

To protect, restore and enhance wetland and upland ecosystems for the conservation of fish and wildlife resources in the upper Colorado River basin.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

The purpose is to benefit fish and wildlife resources adversely affected by the construction and operation of Federal water resource developments authorized by the Colorado River Storage Project Act of 1956 (Public Law 84-485). Projects are for fish and wildlife habitat improvements and recreation in accordance with the goals and criteria established by Congress in Section 314 of the Central Utah Project Completion Act (CUPCA). Projects supplement historic water resource development mitigation that has occurred, expand previous mitigation efforts to address unforeseen circumstances, or otherwise benefit associated wildlife habitat and public interests. By statutory restriction, funds are expended outside the state of Utah. For further information, please contact the regional office.

Applicant Eligibility:

State and local government agencies, Federal recognized Indian Tribal governments, private nonprofit institutions/organizations; public nonprofit institutions/organizations; for-profit organizations, interstate and intrastate entities, and individuals. While entities within the state of Utah are eligible to receive funds under this program, funds must be expended for project activities outside the State of Utah.

Beneficiary Eligibility:

State and local government agencies, Federally recognized Indian Tribal governments, private nonprofit institutions/organizations; public nonprofit institutions/organizations; for-profit organizations, interstate and intrastate entities, and individuals. While entities within the state of Utah are eligible to receive funds under this program, funds must be expended for project activities outside the State of Utah.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Environmental impact statements or assessments may be required based on potential impacts to the human environment. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Applicants submit written technical and budget proposals in accordance with guidance stated in the annual program announcement. The standard application forms required by 43 CFR 12, Subparts C and F, must be used. Preparation of Environmental Impact Statement/Environmental Assessment and compliance with the Federal Endangered Species Act and other Federal environmental laws may be prerequisites, based on impacts of the proposed action, prior to receiving federal assistance/funding. State Plans are not required.

Award Procedure:

Projects ranking highest against the program criteria for selecting proposals as

stated in the annual funding announcement (see Criteria for Selecting Proposals, below), and consistent with available funding, are requested to submit a draft grant or cooperative agreement along with supporting forms and documents. Applicants will work with the CUPCA program office to complete required documents and execute the award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. Funding decisions are made at least annually. Deadlines for applications are stated in the annual program solicitation of proposals. Contact the program office for information on deadlines for applications in the current year. The range of the approval time is 90 days.

Appeals:

Not Applicable.

Renewals:

Recipients may request renewal of existing projects or additional projects to meet program goals and objectives. Renewals are contingent upon Congressional appropriations to the program, a continued high relevancy ranking based on program criteria, and recipients satisfactory progress on the approved scope of work.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: This program does not have a statutory formula or matching requirement. However, the program seeks to leverage available Federal funding and will give enhanced consideration to applicants offering cost-sharing participation in the form of contributed funds, in-kind labor, materials or equipment contributions.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants and cooperative agreements are normally written for a 1 to 5 year period with funding provided only for the current year. See Renewals, above. See the following for information on how assistance is awarded/released: No information provided.

Reports:

All projects and activities are monitored for progress and compliance with the agreed-upon scope of work. Recipients are required to submit periodic requests for advance or reimbursement of funds using the SF-270, or may request payments through the Automated Standard Application for Payments (ASAP) system. Recipients also submit Financial Status Reports annually within 90 calendar days after the grant year (i.e., 12 months after the approved effective date of the assistance agreement and every 12 months thereafter until the expiration date of the assistance agreement). A final Financial Status Report is required within 90 calendar days after the expiration or termination of the assistance agreement. Written quarterly progress reports detailing project accomplishments are generally required, as are written reports upon completion of the project. Expenditure reports are not applicable. Periodic site visits and internal reports are completed by the CUPCA program office.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

State, local and Indian Tribal governments shall maintain project records in accordance with 43 CFR 12.82. All other recipients shall maintain project records in accordance with 43 CFR 12.953.

Account Identification:

14-0787-0-1-301.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 12 \$85,400; FY 13 est \$30,000; and FY 14 est \$30,000

Range and Average of Financial Assistance:

\$5,000 to \$20,000; Average \$48,467.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Created, restored and enhanced waterfowl wetlands on the Green River near Green River, WY and on the Little Snake River near Bagg, WY. Modified the upstream structure on the Green River Double Sills site to improve sediment passage and downstream bank stability, reduce maintenance requirements for Seedskafee National Wildlife Refuge and maintain water delivery to the Refuge ditch system and adjacent side channels. Assisted the Montezuma Land Conservancy in acquiring a conservation easement near Mancos, Co. Tamarisk removal along the Green River within Dinosaur National Monument. Performed Cheat Grass Survey in Sublette County Wyoming. Removed Russian Olives and restored Cottonwoods near Rangely, CO. Fiscal Year 2013: Information not available. Fiscal Year 2014: Information not available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program Requirements and previous Program Accomplishment Reports are available from the Information Contacts listed below (151).

Regional or Local Office:

See Regional Agency Offices. Central Utah Project Completion Act Office, Program Director
302 East 1860 South
Provo, Utah 84606
Telephone: 801/379-1237
FAX: 801/379-1209 E-mail: rrmurray@usbr.gov.

Headquarters Office:

Assistant Secretary of the Interior for Water and Science 1849 C Street, N.W.,
Mail Stop MIB6640, Washington, District of Columbia 20240 Email:
rswanson@uc.usbr.gov Phone: (801) 379-1254 Fax: (801) 379-1209.

Website Address:

<http://www.cupcao.gov>

RELATED PROGRAMS:

15.623 North American Wetlands Conservation Fund; 15.631 Partners for Fish and Wildlife; 15.637 Migratory Bird Joint Ventures

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Constructed a diversion dam on the Green River that will allow for controlled releases into an existing but dewatered oxbow to enhance waterfowl and shorebird wetlands improvements; constructed rock vanes in the Little Snake River that will re-establish and maintain a natural hydrological connection between the river and four oxbows; planted nearly two acres of cottonwood seedlings or cuttings to establish a cottonwood nursery that will provide trees to be used in habitat replacement as tamarisk are eliminated along the Colorado River and including its tributaries. Funded the removal of tamarisk along the Green River in Dinosaur National Monument. Funded Cheat Grass Survey near Pinedale, WY to be used in Sage Grouse habitat improvement. Provided funding to assist in the acquisition of a Conservation Easement near Mancos, CO. Fiscal Year 2013: Information not available. Fiscal Year 2014: Information not available.

CRITERIA FOR SELECTING PROPOSALS:

Criteria for funding projects have been established by Congress in the authorizing legislation (Public Law 102-575) at Section 314(c). Generally, these criteria require that projects restore damaged natural ecosystems on public lands and waterways affected by Federal Reclamation projects in the upper Colorado River basin. In addition, priority is given to projects that assist recovery of endangered or sensitive species or ecosystems, allow reintroduction of native flora and fauna, control or eliminate exotic pest species, or provide enhanced conservation value to state and local government lands. A complete list of program criteria is available at the address listed in Information Contacts (:151). Projects that leverage grant funds with applicant funds, or in-kind services, will receive bonus consideration, but not automatic preference. Specific evaluation criteria will be included in the annual funding opportunity announcement.

15.537 MIDDLE RIO GRANDE ENDANGERED SPECIES COLLABORATIVE PROGRAM

Collaborative Program

FEDERAL AGENCY:

Bureau of Reclamation, Department of the Interior

AUTHORIZATION:

P.L. 110-161, Consolidated Appropriations Act of 2008: (c) In compliance with applicable Federal and State laws, the Secretary (acting through the Commissioner of Reclamation), in collaboration with the Executive Committee, may enter into any grants, contracts, cooperative agreements, interagency agreements, or other agreements that the Secretary determines to be necessary to comply with the 2003 Biological Opinion described in section 205(b) of the Energy and Water Development Appropriations Act, 2005 (Public Law 108-447; 118 Stat. 2949) as amended by section 121(b) of the Energy and Water Development Appropriations Act, 2006 (Public Law 109-103; 119 Stat. 2256) or in furtherance of the objectives set forth in the collaborative program long-term plan. P.L. 111-8, Omnibus Appropriations Act, 2009: (b) The Secretary of the Interior (referred to in this section as the "Secretary") shall establish and maintain an Executive Committee of the Middle Rio Grande Endangered Species Collaborative Program (referred to in this section as the "Executive Committee") consistent with the bylaws of the Middle Rio Grande Endangered Species Collaborative Program adopted on October 2, 2006. (c) Hereafter, in compliance with applicable Federal and State laws, the Secretary (acting through the Commissioner of Reclamation), in collaboration with the Executive Committee, may enter into any grants, contracts, cooperative agreements, interagency agreements, or other agreements that the Secretary determines to be necessary to comply with the 2003 Biological Opinion described in section 205(b) of the Energy and Water Development Appropriations Act, 2005 (Public Law 108-447; 118 Stat. 2949) as amended by section 121(b) of the Energy and Water Development Appropriations Act, 2006 (Public Law 109-103; 119 Stat. 2256) or any related subsequent biological opinion or in furtherance of the objectives set forth in the collaborative program long-term plan., Public Law 111- 8.

OBJECTIVES:

The Middle Rio Grande Endangered Species Collaborative Program (Program) is a collaborative effort consisting of 16 stakeholders including federal, state, and local governmental entities; Indian tribes and pueblos; and non-governmental organizations representing diverse interests working to support compliance with the Endangered Species Act (ESA). The Program is transitioning to a Recovery Implementation Program (RIP) through development of a new Program document, action plan, and cooperative agreement to formalize the RIP as the action agencies' conservation measure in new biological opinions (BOs). A new Long-Term Plan linked to Rio Grande silvery minnow (silvery minnow) and Southwestern willow flycatcher (flycatcher) endangered species recovery plans is also under development. The purpose of the Program RIP is to protect and improve the status of endangered listed species along the Middle Rio Grande (MRG) by implementing certain recovery activities to benefit those species and their associated habitats and, simultaneously, to protect existing and future water uses while complying with applicable state and federal laws, including Rio Grande compact delivery obligations, and to serve as the ESA coverage vehicle for water uses and management actions in the Program area. "Listed species" means federally listed species under the ESA, with special emphasis on the Rio Grande silvery minnow (silvery minnow) and the southwestern willow flycatcher (flycatcher).

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

Financial assistance may be used in furtherance of the goals and objectives of the Executive Committee and the Collaborative Long-Term Plan which focuses on beneficial activities for improving the status of the silvery minnow and the flycatcher, and the conservation and contribution to the recovery of both listed species while protecting existing and future water uses within the geographic boundaries of the Program area. The Program Area is defined as "the headwaters of the Rio Chama watershed and the Rio Grande, including tributaries, from the New Mexico-Colorado state line downstream to the

elevation of the spillway crest of the Elephant Butte Reservoir at 4,450 ft above mean sea level, excluding the land area reserved for the full pool of the Elephant Butte Reservoir. Indian Pueblo and Tribal lands and resources within the Program area will not be included in the Program without their express written consent of the affected Indian Pueblo or Tribe. All appropriated funds are discretionary. For further information, please contact the regional office.

Applicant Eligibility:

Not available.

Beneficiary Eligibility:

Not available.

Credentials/Documentation:

Whenever applicable, all necessary federal, state, local, and/or private access, collection, and other environmental permits must be provided. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Funding opportunity announcements for this program, along with registration procedures, application packages and instructions, SF-424 forms and any other forms to be used to submit application information, points of contact, and procedures for submitting applications will be available on www.grants.gov.

Award Procedure:

All applications will be initially screened for eligibility and compliance with the requirements stated in the funding opportunity announcement. Applications passing this screening process will be forwarded for review by a proposal evaluation panel comprised of qualified experts (federal and non-federal stakeholders) in the program area. Applications will be reviewed against the proposal evaluation criteria and any additional review factors stated in the funding announcement. Final selection will be determined by the designated Grants Officer.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Varies by project. Deadline information will be included in all funding opportunity announcements posted on www.grants.gov. The range of approval or disapproval time varies depending on the type and complexity of the project. Further information will be available for each specific project at the time the funding opportunity announcement is posted on www.grants.gov and may be obtained by contacting the Reclamation personnel listed as the point of contact in the funding announcement.

Appeals:

None. Final award decisions are not subject to appeal; however, upon request, the Bureau of Reclamation will provide applicants with information on why their proposals were not selected for award.

Renewals:

If renewals or extensions are applicable to the project, this information will be included in the funding opportunity announcement. When renewals or extensions are applicable, continuation of funding for these activities is at the discretion of Congress and will be subject to availability of appropriated funds.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. P.L. 111-8, Omnibus Appropriations Act, 2009: (e)(1) The non-Federal share of activities carried out under subsection (c) other than an activity or a cost described in subsection (d)(1) shall be 25 percent. The non-Federal cost share shall be determined on a programmatic, rather than a project-by-project basis. (2) The non-Federal share required under paragraph (1) may be in the form of in-kind contributions, the value of which shall be determined by the Secretary in consultation with the

executive committee.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Federal assistance is typically available during the current fiscal year of appropriations. For planning purposes, grant opportunities are posted during the first and second quarters of the fiscal year. Depending on the number and magnitude of qualified projects, more funds are available during the first half of the fiscal year. The Program expects all awarded funds to be expended during the fiscal year in which grant awards were made or no more than 2 years from the time of grant award. For more complex projects that may involve environmental compliance, design, feasibility studies, construction and implementation, effectiveness monitoring, and other related activities, the project will be divided into phases. The project will then be funded incrementally at phase level costs. Funding of subsequent phases will be based on project viability, effectiveness, and fund availability. See the following for information on how assistance is awarded/released: No information provided.

Reports:

Unless otherwise stated in the agreement document, recipients shall submit on annual basis Program Performance Reports. Upon completion of the agreement, recipients shall submit a final Program Performance Report, and other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. Cash reports are not applicable. Progress reports are not applicable. Unless otherwise stated in the agreement document, recipients shall submit on an annual basis the SF-425, Federal Financial Report. Upon completion of the agreement, recipients shall submit a final SF-425, Federal Financial Report. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

State, local and Indian Tribal governments shall maintain project records in accordance with 43 CFR 12.82. All other recipients shall maintain project records in accordance with 43 CFR 12.953.

Account Identification:

14-0680-0-1-301.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 12 \$407,464; FY 13 est \$75,000; and FY 14 est \$200,000

Range and Average of Financial Assistance:

\$75,000 to \$300,000; Average \$227,488..

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Program accomplishments include projects funded for the furtherance of the goals and objectives of the Executive Committee and the Collaborative Long-Term Plan which focuses on beneficial activities for improving the status of silvery minnow and the flycatcher, and the conservation and contribution to the recovery of both listed species while protecting existing and future water uses within the geographic boundaries fo the Program area. In FY 2011 and FY 2012, these activities included: endangered species propagation; and habitat restoration planning, monitoring, and construction. Fiscal Year 2013: Information not available. Fiscal Year 2014: Information not available.

REGULATIONS, GUIDELINES, AND LITERATURE:

43 CFR 12 and OMB Circulars. Program guidelines: <http://www.mrgesa.com>.

Regional or Local Office:

See Regional Agency Offices. Yvette McKenna, Program Manager
505-462-3640, phone
505-462-3783, fax
yrmckenna@usbr.gov.

Headquarters Office:

Director Bureau of Reclamation, Albuquerque Area Office, 555 Broadway Blvd., NE, Albuquerque, New Mexico 87102 Phone: (505)462-3540 Fax: (505)462-3794

Website Address:

<http://www.mrgesa.com> and <http://www.usbr.gov/uc/albuq/index.html>

RELATED PROGRAMS:

15.517 Fish and Wildlife Coordination Act

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: The following are examples of funded projects under this Program:

Endangered Species Propagation - The City of Albuquerque's BioPark and the New Mexico Interstate Stream Commission's Los Lunas Refugium rear and breed endangered silvery minnow for release and experimentation in the MRG in accordance with the U.S. Fish and Wildlife Service's propagation and genetics annual work plan. The University of New Mexico performed the associated annual genetics studies.

Habitat restoration planning, environmental compliance and surveys were performed as part of the Santa Ana Pueblo Rio Grande/Rio Jemez Biological Habitat Survey, the Santo Domingo Tribe Bosque Floodplain Project, and by the New Mexico State Land Office, the Ohkay Owingeh Pueblo, and the Pueblo of Santa Clara.

Habitat restoration monitoring and construction occurred at Sandia Pueblo. Fiscal Year 2013: Information not available. Fiscal Year 2014: Information not available.

CRITERIA FOR SELECTING PROPOSALS:

Specific evaluation criteria for projects benefiting the silvery minnow and the Southwestern willow flycatcher within the MRG will be included in the funding announcements posted on www.grants.gov.

15.538 LOWER COLORADO RIVER MULTI-SPECIES CONSERVATION PROGRAM.

MSCP

FEDERAL AGENCY:

Bureau of Reclamation, Department of the Interior

AUTHORIZATION:

Omnibus Public Land Management Act of 200, Public Law 111-11, Title IX, Section 9401-9404.

FOR RECOVERY ACT:

American Recovery and Reinvestment Act of 2009 (ARRA) (P.L. 111-5).

OBJECTIVES:

The MSCPs purposes are (1) Protect the lower Colorado River environment while ensuring the certainty of existing river water and power operations, (2) Address the needs of threatened and endangered wildlife under the Endangered Species Act, and (3) Reduce the likelihood of listing additional species along the lower Colorado River. FOR RECOVERY ACT: For ARRA funded projects and in addition to the program objectives above, the section 3 of the ARRA states the following objectives:

- (1) To preserve and create jobs and promote economic recovery.
- (2) To assist those most impacted by the recession.
- (3) To provide investments needed to increase economic efficiency by spurring technological advances in science and health.
- (4) To invest in transportation, environmental protection, and other infrastructure that will provide long-term economic benefits.
- (5) To stabilize State and local government budgets, in order to minimize and avoid reductions in essential services and counterproductive state and local tax increases.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

The planning area for the LCR MSCP is defined as areas up to and including the full-pool elevations of Lakes Mead, Mohave, and Havasu and the historical floodplain of the Colorado River from Lake Mead downstream to the Southerly International Boundary with Mexico. The planning area provides habitat for Covered Species listed as endangered or threatened under the ESA; additionally there are region specific species that are inclusive of this program. Visit <http://www.lcrmscp.gov> for specific information. For further information, please contact the regional office.

Applicant Eligibility:

State and local governments, nonprofit organizations and institutions, public and private institutions and organizations, Federally recognized Indian Tribal Governments, individuals, small businesses, for-profit organizations, and Native American Organizations.

Beneficiary Eligibility:

Anyone/general public, governmental entities, Tribal governments, Native American organizations, and/or public or private organizations in the specific project area.

Credentials/Documentation:

None. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

State and local governments, nonprofit organizations and institutions, public and private institutions and organizations, Federally recognized Indian Tribal Governments, individuals, small businesses, for-profit organizations, and Native American Organizations. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Funding opportunity announcements for this program, along with registration procedures, application packages and instructions, SF-424 forms and any other forms to be used to submit application information, points of contact, and procedures for submitting applications will be available on www.grants.gov.

Award Procedure:

All applications will be initially screened for eligibility and compliance with the requirements stated in the program funding announcement. Applications passing this screening process will be forwarded for review by the proposal evaluation criteria, and any additional review factors, as stated in the funding announcement. Final selection will be determined by the Commissioner, Bureau of Reclamation, or regional officials, as applicable to the project.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. Varies by project. Deadline information will be included in all funding opportunity announcements posted on www.grants.gov.

Appeals:

None. Final award decisions are not subject to appeal; however, the Bureau of Reclamation will provide all applicants with information on why their proposals were not selected for award.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Projects are generally awarded for 1 to 3 years. See the following for information on how assistance is awarded/released: Payment terms vary by

agreement awarded under this program.

Reports:

Periodic performance progress reports, final reports, and other specific reports that may be applicable to the agreement such as property inventories, patent, and invention disclosure as specified in the award terms and conditions. Projects funded under the American and Recovery and Reinvestment Act of 2009 will have additional quarterly reporting requirements as described in the terms and conditions are required. Cash reports are not applicable. Progress reports are not applicable. Periodic SF 425 Federal Financial Report and final SF 425 as specified in the agreement terms and conditions. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

State, local and Indian Tribal governments shall maintain project records in accordance with 43 CFR 12.82. All other recipients shall maintain project records in accordance with 43 CFR 12.953.

Account Identification:

14-0680-0-1-301.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 12 \$2,031,337; FY 13 est \$2,100,000; and FY 14 est \$2,100,000

Range and Average of Financial Assistance:

\$100,000 to \$500,000; Average \$2,077,112.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Implementation of LCR MSCP conservation measures. Completed yearly research and monitoring for bird, bat, mammal, and fish species. Fiscal Year 2013: Information not available. Fiscal Year 2014: Information not available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Code of Federal Regulations (CFR) 43 CFR 12 and applicable Office of Management and Budget Circulars.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

John Swett PO Box 61470, Boulder City, Nevada 89006 Phone: (702) 293-8555

Website Address:

<http://www.lcrmscp.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: See annual work plan at <http://www.lcrmscp.gov>. Research and monitoring for various fish, and bird species and for research for habitat restoration. Fiscal Year 2013: Information not available. Fiscal Year 2014: Information not available.

CRITERIA FOR SELECTING PROPOSALS:

Need to meet LCR MSCP requirements for implementation of conservation measures outlined in the Habitat Conservation Plan. This can be found at <http://www.lcrmscp.gov>. For ARRA funded projects: The purposes of the Recovery Act are, among others, to quickly and prudently commence activities that preserve and create jobs and to promote economic recovery, and to invest in transportation, environmental protection, and other infrastructure that will provide long-term economic benefits. To meet these purposes, Reclamation will allocate funds based on the criteria set forth in the Recovery Act and the accompanying conference report, and will target

activities that quickly infuse money into the economy.

15.539 EQUUS BEDS DIVISION ACQUIFER STORAGE RECHARGE PROJECT

Equus Beds Aquifer Storage Recharge Project

FEDERAL AGENCY:

Bureau of Reclamation, Department of the Interior

AUTHORIZATION:

Wichita Project Equus Beds Division Authorization Act of 2005 (Public Law 109-299, Oct 5, 2006).

OBJECTIVES:

To design and construct the City of Wichitas Aquifer Storage and Recovery project to divert flood flows from the Little Arkansas River into the Equus Beds Aquifer in order to recover depleted storage and protect the Aquifer.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

The program exists to provide funding (as appropriated by Congress) to the city of Wichita for reimbursement of the design and construction of the Citys ASR project to divert flood flows from the Little Arkansas River into the Equus Beds Aquifer in order to recover depleted storage and protect the Aquifer. Authority terminates 10 years from enactment of P.L 109-299. The City of Wichita will hold title to all facilities.

Applicant Eligibility:

The City of Wichita.

Beneficiary Eligibility:

M & I and agricultural waters users of South-central Kansas.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Project construction could not be initiated until Reclamation completed the NEPA compliance process (EIS) which was completed January 19, 2010. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Annual work plans and a budget are submitted annually. Applicant must submit quarterly progress and expenditure reports along with a SF-271 and SF-425.

Award Procedure:

The Great Plains Regional Office approves award and distribution of award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

City must submit quarterly requests within 30 days of the end of the reporting period. Authority to cost share expires September 2016.

Appeals:

Not Applicable.

Renewals:

Additional funding for the program is at the discretion of Congress.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: There is authorization to be appropriated as the Federal share of the total cost of the Equus Beds Division, an amount not to exceed 25 percent of the total cost or \$30,000,000 (January, 2003 prices), whichever is less, plus or minus such amounts, if any, as may be justified by reason of ordinary fluctuations in construction costs as indicated by engineering cost indexes applicable to the type of construction involved herein, whichever

is less. Such sums shall be non-reimbursable.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

A 10-authorization currently exists for this program. See the following for information on how assistance is awarded/released: Payment terms vary by agreement awarded under this program.

Reports:

Unless otherwise stated in the agreement document, recipients shall submit Program Performance Report on an annual basis. Upon completion of the agreement, recipients shall submit a final Program Performance Report and other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. Cash reports are not applicable. Progress reports are not applicable. Unless otherwise stated in the agreement document, recipients shall submit the SF-425, Federal Financial Report on an annual basis. Upon completion of the agreement, recipients shall submit a final SF-425, Federal Financial Report. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

State, local and Indian Tribal governments shall maintain project records in accordance with 43 CFR 12.82. All other recipients shall maintain project records in accordance with 43 CFR 12.953.

Account Identification:

14-0680-0-1-301.

Obligations:

(Cooperative Agreements) FY 12 \$23,899; FY 13 est \$50,000; and FY 14 est \$50,000

Range and Average of Financial Assistance:

Range is \$20,000 to \$50,000; Average \$41,300.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Phase II program administration, design, and construction occurred in Fiscal Year 2011 and 2012. Fiscal Year 2013: Information not available. Fiscal Year 2014: Information not available.

REGULATIONS, GUIDELINES, AND LITERATURE:

43 CFR Part 12 and the OMB Circulars. These documents may be obtained by contacting the Bureau of Reclamation Office listed below.

Regional or Local Office:

See Regional Agency Offices. John Gage (GOTR), Oklahoma-Texas Area Office, 5924 NW 2nd Street, Suite 200, Oklahoma City, OK 73127-6514. Phone: (405)470-4815, Fax: (405)470-4807 and E-mail: jgage@usbr.gov.

Headquarters Office:

Lindsey Nafts, Bureau of Reclamation, Great Plains Regional Office, P.O. Box 36900, 316 North 26th Street, Billings, Montana 59101-6900 Email: LNafits@usbr.gov Phone: (406) 247-7684.

Website Address:

<http://www.usbr.gov/gp/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: All funding for program goes to City of Wichita for Equus ASR related expenses. Construction for Phase II started in the 2nd quarter of Fiscal Year 2010 and was completed in the 4th quarter of Fiscal 2012. Fiscal Year 2013: Information not available. Fiscal Year 2014: Information not available.

CRITERIA FOR SELECTING PROPOSALS:

The scopes of work must address and be responsive to the authorizing legislation, the final engineering report and the appropriation ceiling. The City of Wichita is specified in the legislation for funding of any work to be completed by September 2016.

15.540 LAKE MEAD/LAS VEGAS WASH PROGRAM

Las Vegas Wash (LVW Program)

FEDERAL AGENCY:

Bureau of Reclamation, Department of the Interior

AUTHORIZATION:

Public Law 106-541, Water Resources Development Act of 2000, December 11, 2000; as amended, and Energy and Water Appropriations Acts., Public Law -.

OBJECTIVES:

The objective of this program is to develop and implement management strategies for the Las Vegas Wash to improve water quality, habitat integrity, and reduce the salinity and sediment transport while providing environmental enhancement and recreational opportunities.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

The Secretary of the Interior shall continue to participate in implementation of the Project at the Las Vegas Wash and Lake Mead in accordance with the Comprehensive Adaptive Management Plan (Plan): Provided, that issuance of any such grants shall not modify the cost sharing requirements provided in section 529(b) of Public Law 106-541. For further information, please contact the regional office.

Applicant Eligibility:

The Southern Nevada Water Authority (SNWA), a Special District Government.

Beneficiary Eligibility:

The Southern Nevada Water Authority (SNWA) and the General Public.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. A Standard Form 424, Application for Federal Assistance, Standard Form 424A, Budget Information for Non-Construction Projects, Standard Form 424B, Assurances for Non-Construction Projects, Standard Form 424C, Budget for Information for Construction Projects, Standard Form 424D, Assurance for Construction Projects, Standard Form LLL, Disclosure of Lobbying Activities, and written proposals should be submitted via hard-copy, directly to the Program Manager and include: a title, background, objectives, performance schedule, points of contact, most current audit and indirect cost documentation, corrective action plan if needed, any other documentation that is directly associated with the Projects. Funding is authorized, mandatory, so posting on grants.gov is not necessary. This is a cost share program.

Award Procedure:

For grant agreements awarded as a component of the eligible beneficiary (SNWA) and project, approved by the Secretary of the Interior, and funded under Public Law 106-541 and subsequent amendments, all Federal rules, regulations, and agency specific guidelines for awarded procedures will be followed.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Award time varies depending on the type and complexity of the project. Most awards are anticipated within 90 days or less after receipt of the application forms and proposal submissions, and rely on the passing of a fiscal year appropriations bill for the availability of authorized funds.

Appeals:

None. Final award decisions are not subject to appeal; however, upon request, the Bureau of Reclamation will provide applicants with information on why their proposals were not selected for award.

Renewals:

When renewals or extensions are applicable, continuation of funding for these activities is at the discretion of Congress and will be subject to the availability of appropriated funds. The SNWA must submit written requests for renewals or extensions director to the Program Manager for approval, at least 45 days prior to the expiration of the specific project agreement. Once the term of the project is expired, no renewal or extension will be approved, due to non-compliance with the terms and conditions of the specific projects agreement.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: In accordance with Section 529(b) of Public Law 106-541, the cost share for the SNWA is 35 percent, Reclamations is 65 percent, and the authorized appropriated amount is limited to a ceiling of \$20,000,000.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

There are no specific restrictions for these projects; however, most of the projects are awarded for a 1 to 3 year period and funded on a year-by-year basis. No projects exceed a 5 year period. See the following for information on how assistance is awarded/released: Payment terms vary by agreement awarded under this program.

Reports:

Unless otherwise stated in the agreement document, recipients shall submit the on an annual basis Program Performance Reports. Upon completion of the agreement, recipients shall submit a final Program Performance Reports; and other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. Cash reports are not applicable. Progress reports are not applicable. Unless otherwise stated in the agreement document, recipients shall submit on an annual basis the SF-425, Federal Financial Report. Upon completion of the agreement, recipients shall submit a final SF-425. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

State, local and Indian Tribal governments shall maintain project records in accordance with 43 CFR 12.82. All other recipients shall maintain project records in accordance with 43 CFR 12.953.

Account Identification:

14-0680-0-1-301.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 12 \$205,000; FY 13 est \$165,000; and FY 14 est \$100,000

Range and Average of Financial Assistance:

Range is \$25,000 to \$500,000; Average \$156,667.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Program accomplishments include bank protection at Firestation weir and along approximately 2,205 feet of bank throughout the

Wash; revegetated approximately 171 acres with native plants; ongoing activities including biological resource studies, revegetation site landscape design and monitoring, archaeological investigations, water quality monitoring and monitoring of threatened and endangered bird species; selenium characterization and removal. Fiscal Year 2013: Information not available. Fiscal Year 2014: Information not available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations 43 Part 12 and the OMB Circulars. These documents may be obtained by contacting the Reclamation Office listed below.

Regional or Local Office:

See Regional Agency Offices. Bureau of Reclamation, Resources Management Office, P.O. Box 61470, Boulder City, Nevada 89006. Telephone: (702) 293-8109. LC-2722.

Headquarters Office:

Director, Bureau of Reclamation, Resources Management Office, P.O. Box 61470 , Boulder City, Nevada 89006 Phone: (702) 293-8109.LC-2722.

Website Address:

<http://www.usbr.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Examples of funded projects include Water Quality Monitoring in the LVW Mainstream and Tributaries; LVW T&E and Aquatic Bird Species Monitoring; LVW Coordination Committee Program Management; LVW Archaeological Investigations; Selenium Characterization and Removal Study. Fiscal Year 2013: Information not available. Fiscal Year 2014: Information not available.

CRITERIA FOR SELECTING PROPOSALS:

The Las Vegas Wash Coordination Committee has decided that the SNWA will be the lead agency for receiving funding for this program due to the high costs and types of environmental improvement and enhancement, and ability to maintain restoration projects. The Las Vegas Wash Program plays an important role in environmental and water resource issues in Southern Nevada. Historically, the Las Vegas was an ephemeral stream carrying storm flows from the Las Vegas Valley to Lake Mead and the Colorado River. Urban development over the past 60 years has resulted in the formation of the wetlands that helped remove nutrients from these wastewater flows. As the rate of discharges increased, erosion also increased, gradually destroying the existing natural treatment systems and wildlife habitat and creating a large delta in the Las Vegas Bay of Lake Mead. Accelerating erosion, declining water quality, and loss habitat are some of the more pressing issues. Proposals submitted will be reviewed and awarded based on priority fulfillment of the 44 action items identified in the Plan and the availability of funding appropriations.

15.541 COLORADO RIVER BASIN PROJECTS ACT OF 1968

Colorado River Basin Projects Act

FEDERAL AGENCY:

Bureau of Reclamation, Department of the Interior

AUTHORIZATION:

Colorado River Basin Act of September 30, 1968 amended by the Colorado River Basin Project Act, December 20, 1982., Public Law 90-537.

OBJECTIVES:

It is the objective of this Act under Title I, to provide a program for the further comprehensive development of water resources of the Colorado River Basin and for the provision of additional and adequate water supplies for the use in the upper as well as in the lower Colorado Basin. This program is declared to be for the purposes, among others, of regulating the flow of the Colorado River; controlling floods; improving navigation; providing for the storage and delivery of waters of the Colorado River for reclamation of lands, including supplemental water supplies, and for municipal, industrial, and other beneficial purposes; improving water quality; providing for basic public outdoor recreation facilities; improving conditions of fish and wildlife, and the generation and sale of electrical power as an incident of the foregoing purpose.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Title II, Investigations and Planning -- With respect to coordination of studies, investigations and assessments, the Secretary of Interior, through the Bureau of Reclamation, shall conduct full and complete reconnaissance investigation for the purpose of developing a general plan to meet the future water needs of the Western United States. Such investigations shall include the long-range water supply available and the long-range water requirements in each water resource region of the Western United States.

Title III, Authorized Units: Protection of Existing Uses -- The "Central Arizona Project" (CAP) is a multipurpose water resource development and management project which provides irrigation, municipal and industrial water, power generation, flood control, outdoor recreation, environmental enhancement, and sediment control. In addition, the project will provide delivery of tribal homeland water, partial settlement of Indian water rights claims, and economic benefits accruing from leasing of Indian agricultural water rights to municipal entities.

Applicant Eligibility:

Must have a water allocation and water delivery from Reclamation water resource project.

Beneficiary Eligibility:

The general public in the state of Arizona, irrigation and water districts and local entities.

Credentials/Documentation:

None.

For Public Law 93-638 Contracts, Grants or Agreements Only

- (1) Each 638 contract, grant, or cooperative agreement requires project specific authority;
- (2) Detailed written technical proposals including background data regarding the tribal client, technical approaches proposed to accomplish the work, scopes of work that separate the work into major tasks, type of personnel to implement the proposals, experience in performing these types of work, timeliness, the levels of cooperation with other parties involved in the proposals, and other information that may be useful for proposal evaluation;
- (3) Detailed project cost proposals, including budgets with estimated project costs, including salaries and wages, fringe benefits, travel, equipment, supplies, contracts, and indirect costs, and the values of any in-kind contributions of goods and services; and
- (4) The awarded 639 contract, grant or cooperative agreement must contain an authorizing resolution from a federally recognized Tribe or Tribal Organization. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Environmental compliance for this program may be required depending on the scope of the specific project funded. This may result in the need for an environmental impact assessment or environmental impact statement prior to the commencement of project activities. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Funding opportunity announcements for this program, along with registration procedures, application packages and instructions, SF24 forms and any other forms to be used to submit application information, points of contact, and procedures for submitting applications will be available on www.grants.gov.

For Public Law 93-638 Contracts, Grants or Agreements Only Initial proposals must contain the information specified in 25 CFR Part 900. Proposals shall be submitted to the applicable Reclamation office with the responsibility for the specific project for which a federally recognized Tribe or Tribal Organization with responsibility for the specific project is requesting to contract.

Award Procedure:

All applications will be initially screened for eligibility and compliance with the requirements stated in the funding opportunity announcement. Applications

passing this screening process will be forwarded for review by a proposal evaluation panel comprised of qualified experts in the program area. Applications will be reviewed against the proposal evaluation criteria and any additional review factors stated in the funding announcement. Final selection will be determined by the Area Office Manager or his designated representative. For Public Law 93-638 Contracts, Grants or Agreements Only Reclamation and the federally recognized Tribe or Tribal Organization develop a negotiation schedule and then negotiate the overarching contract/award terms, provisions and conditions based on the requirements of Public Law 93-638, 25 CFR Part 900, any specific requirements of the project authorizing legislation, and other applicable Federal laws and regulations. Reclamation and the Tribe/Tribal Organization also negotiate an annual funding agreement (AFA), which identifies activities to be performed, funds to be provided, and the method of payment of the initial year of the contract. A final contract agreement is prepared based upon the outcome of the negotiations; attachments include the work plan, budget, tribal resolution, initial AFA, property listing, project schedule, and list of any other applicable provision, terms and conditions.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Contingent upon the type and complexity of authorized units under the program or project. Further information will be available for each specific program or project at the time the funding opportunity announcement is posted on www.grants.gov and may be obtained by contacting the Reclamation personnel listed as the point of contact on the funding announcement.

Appeals:

None. Final award decisions are not subject to appeal; however, upon request, the Bureau of Reclamation will provide applicants with information on why their proposals were not selected for award.

Renewals:

If renewals or extensions are applicable to the project, this information will be included in the funding opportunity announcement. When renewals or extensions are applicable, continuation of funding for these activities is at the discretion of Congress and will be subject to availability of appropriated funds. For Public Law 93-638 Contracts, Grants or Agreements Only Will vary by project component.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Will be included in each funding announcement for this program on www.grants.gov/. For Public Law 93-638 Contracts, Grants or Agreements Only Length and time phasing will vary. See the following for information on how assistance is awarded/released: Payment terms vary by agreement awarded under this program.

Reports:

Unless otherwise stated in the agreement document, recipients shall submit on an annual basis Program Performance Reports. Upon completion of the agreement, recipients shall submit a final Program Performance Report; and other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. For Public Law 93-638 Contracts, Grants, and Agreements Only Reporting (financial status report and progress reports) requirements will be negotiated and contained within the AFA or the overarching terms and conditions. Cash reports are not applicable. Progress reports are not applicable. Unless otherwise stated in the agreement document, recipients shall submit on an annual basis the SF-425, Federal Financial Report. Upon completion of the agreement, recipients shall submit a final SF-425. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit

Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

State, local and Indian Tribal governments shall maintain project records in accordance with 43 CFR 12.82. All other recipients shall maintain project records in accordance with 43 CFR 12.953. For Public Law 93-638 Contracts, Grants, and Agreements Only Indian Tribal governments and organizations shall maintain project records in accordance with 43 CFR 12.83; Public Law 93-638, and 25 CFR Part 900.

Account Identification:

14-4079-0-3-301.

Obligations:

(Cooperative Agreements) FY 12 \$20,000; FY 13 est \$25,000; and FY 14 est \$25,000

Range and Average of Financial Assistance:

Range is \$10,000 to \$25,000; Average \$23,333.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: A financial assistance award to Arizona Department of Water Resources to fund the maintenance of the statewide floodwide warning system. Fiscal Year 2013: Information not available. Fiscal Year 2014: Information not available.

REGULATIONS, GUIDELINES, AND LITERATURE:

43 CFR 12 and OMB Circulars.

For Public Law 93-638 Contracts, Grants, and Agreements Only 25 USC 450 (Public Law 93-638, 25 CFR Part 900, OMB Circular A-87 (codified at 2 CFR 225), and OMB Circular 133)

Regional or Local Office:

See Regional Agency Offices. Phoenix Area Office: Mr. Randy N. Chandler, Area Manager, Bureau of Reclamation, Address: 6150 W. Thunderbird Rd., Glendale, AZ 85306-4001, Telephone: (623) 773-6200.

Headquarters Office:

Carol Lynn Erwin, Bureau of Reclamation, 6150 W., Thunderbird Road, Glendale, Arizona 85306-4001 Phone: (623) 773-6215.

Website Address:

<http://www.usbr.gov/lc/phoenix/>

RELATED PROGRAMS:

15.542 Arizona Water Settlement Act of 2004

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: An example of a funded project for Fiscal Year 2012 include a financial assistance award to Arizona Department of Water Resources. Fiscal Year 2013: Information not available. Fiscal Year 2014: Information not available.

CRITERIA FOR SELECTING PROPOSALS:

Specific evaluation criteria will be included in each funding announcements posted on www.grants.gov. For Public Law 93-638 Contracts, Grants, and Agreements Only

Federally recognized Tribes and Tribal organizations must meet the requirements of Public Law 93-638; 25 CFR Part 900 and any additional criteria specific to the authorizing legislation.

15.542 ARIZONA WATER SETTLEMENT ACT OF 2004

Arizona Water Settlement Act

FEDERAL AGENCY:

Bureau of Reclamation, Department of the Interior

AUTHORIZATION:

Arizona Water Settlement Act of 2004, Public Law 108-451.

OBJECTIVES:

To provide for adjustments to the Central Arizona Project in Arizona, to authorize the Gila River Indian Community water rights settlement, to reauthorize and amend the Southern Arizona Water Rights settlement Act of 1982, for the settlement of water rights to the San Carlos Apache Tribe and for other purposes.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use; Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

Title I, "Central Arizona Project Settlement" - With respect to: (1) the water provided by the Central Arizona Project to Maricopa, Pinal, and Pima Counties in the State of Arizona, is vital to citizens of the State; and (2) an agreement on the allocation of Central Arizona Project water among interested persons, including Federal and State interests, would provide important benefits to the Federal Government, the State of Arizona, Arizona Indian Tribes, and the citizens of the State. In accordance with the CAP repayment contract, the Central Arizona Project may be used to transport non-project water for: (1) domestic, municipal, fish and wildlife, and industrial purposes; and (2) any purpose authorized under the Colorado River Basin Project Act (43 U.S.C. 1501 et seq.). Title I, Section 104, Authorization of Appropriation: Authorized to be appropriated such sums as are necessary to comply with: (1) the 1994 biological opinion, including any funding transfers required by the opinion; (2) the 1996 biological opinion, including any funding transfers required by the opinion; and (3) any final biological opinion resulting from the 1999 biological opinion, including any funding transfers required by the opinion. Title II, "Gila River Indian Community Water Rights Settlement" - the purposes of this title is: (1) to resolve permanently certain damage claims and all water rights claims among the United States on behalf of the Community, its members, allottees, and the Community and its neighbors; (2) to authorize, ratify, and confirm the Gila River agreement; (3) to authorize and direct the Secretary to execute and perform all obligations of the Secretary under the Gila River agreement; (4) to authorize the actions and appropriations necessary for the United States to meet obligations of the United States under the Gila River agreement and this title; and (5) to authorize and direct the Secretary to execute the New Mexico Consumptive Use and Forbearance Agreement to allow the Secretary to exercise the rights authorized by subsections (d) and (f) of section 304 of the Colorado River Basin Project Act (43 U.S.C. 1524). Title III, "Southern Arizona Water Rights Settlement" - the purposes of this title are: (1) to authorize, ratify, and confirm the agreements referred to in section 309(h); (2) to authorize and direct the Secretary to execute and perform all obligations of the Secretary under those agreements; and (3) to authorize the actions and appropriations necessary for the United States to meet obligations of the United States, under those agreements and this title. Title IV, San Carlos Apache Tribes Water Rights Settlement- with respect to the: none of the provisions of title I, II, or III or the agreements, attachments, exhibits, or stipulations referenced in those titles shall be construed to: (1) amend, alter, or limit the authority of (A) the United States to assert any claim against any party, including any claim for water rights, injury to water rights, or injury to water quality in its capacity as trustee for the San Carlos Apache Tribe, its members and allottees, or in any other capacity on behalf of the San Carlos Apache Tribe, its members, and allottees, in any judicial, administrative, or legislative proceeding; or (B) the San Carlos Apache Tribe to assert any claim against any party, including any claim for water rights, injury to water rights, or injury to water quality in its own behalf or on behalf of its members and allottees in any judicial, administrative, or legislative proceeding consistent with title XXXVII of Public Law 102-575 (106 Stat. 4600, 4740); or (2) amend or alter the CAP Contract for the San Carlos Apache Tribe dated December 11, 1980, as amended April 29, 1999.

Applicant Eligibility:

Must have a water allocation and water delivery contract from a Reclamation water resource project.

Beneficiary Eligibility:

The general public, state of Arizona, state of New Mexico, irrigation and water districts, local entities and Tribal Government.

Credentials/Documentation:

None.

For Public Law 93-638 Contracts, Grants or Agreements Only

- (1) Each 638 contract, grant, or cooperative agreement requires project specific authority;
- (2) Detailed written technical proposals including background data regarding the tribal client, technical approaches proposed to accomplish the work, scopes of work that separate the work into major tasks, type of personnel to implement the proposals, experience in performing these types of work, timeliness, the levels of cooperation with other parties involved in the proposals, and other information that may be useful for proposal evaluation;
- (3) Detailed project cost proposals, including budgets with estimated project costs, including salaries and wages, fringe benefits, travel, equipment, supplies, contracts, and indirect costs, and the values of any in-kind contributions of goods and services; and
- (4) The awarded 638 contract, grant or cooperative agreement must contain an authorization resolution from a federally recognized Tribe or Tribal Organization. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Environmental compliance for this program may be required depending on the scope of the specific project funded. This may result in the need for an environmental impact assessment or environmental impact statement prior to the commencement of project activities. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Funding opportunity announcements for this program, along with registration procedures, application packages and instructions, SF 424 forms and any other forms to be used to submit application information, points of contact, and procedures for submitting applications will be available on www.grants.gov.

For Public Law 93-638 Contracts, Grants, and Agreements Only

Initial proposals must contain the information specified in 25 CFR Part 900. Proposals shall be submitted to the applicable Reclamation office with the responsibility for the specific project for which a federally recognized Tribe or Tribal Organization with responsibility.

Award Procedure:

All applications will be initially screened for eligibility and compliance with the requirements stated in the funding opportunity announcement. Applications passing this screening process will be forwarded for review by a proposal evaluation panel comprised of qualified experts in the program area (indicate whether reviewers are Federal personnel). Applications will be reviewed against the proposal evaluation criteria and any additional review factors stated in the funding announcement. Final selection will be determined by the Area Office Manager or his designated representative.

For Public Law 93-638 Contracts, Grants, and Agreements Only

Reclamation and the federally recognized Tribe or Tribal Organization develop a negotiation schedule and then negotiate the overarching contract award terms, provisions and conditions based on the requirements of Public Law 93-638, 25 CFR Part 900, any specific requirements of the project authorizing legislation, and other applicable Federal laws and regulations. Reclamation and the Tribe/Tribal Organization also negotiate an annual funding agreement (AFA), which identifies activities to be performed, funds to be provided, and the method of payment of the initial year of the contract. A final contract agreement is prepared based upon the outcome of negotiations; attachments include the work plan, budget, tribal resolution, initial ADA, property listing, project schedule, and list of any other applicable provisions, terms and conditions.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Contingent upon the type and complexity of authorized units under the Act. Further information will be available each specific authorized activity under the Act at the time the funding opportunity announcement is posted on

www.grants.gov and may be obtained by contacting the Reclamation personnel listed as the point of contact on the funding announcement.

Appeals:

None. Final award decisions are not subject to appeal; however, upon request, the Bureau of Reclamation will provide applicants with information on why their proposals were not selected for award.

Renewals:

If renewals or extensions are applicable to the project, this information will be included in the funding opportunity announcement. When renewals or extensions are applicable, continuation of funding for these activities is at the discretion of Congress and will be subject to availability of appropriated funds.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Length and time phasing of assistance will be included in award issued under this program. See the following for information on how assistance is awarded/released: Payment terms vary by agreement awarded under this program.

Reports:

Unless otherwise stated in the agreement document, recipients shall submit on an annual basis Program Performance Reports. Upon completion of the agreement, recipients shall submit a final Program Performance Report and other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosure.

For Public Law 93-638 Contracts, Grants, and Agreements Only Reporting (financial status reports and progress reports) requirements will be negotiated and contained within the AFA or the overarching terms and conditions. Cash reports are not applicable. Progress reports are not applicable. Unless otherwise stated in the agreement document, recipients shall submit on an annual basis the SF 425, Federal Financial Report. Upon completion of the agreement, recipients shall submit a final SF 425, Federal Financial Report. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

State, local and Indian Tribal governments shall maintain project records in accordance with 43 CFR 12.82. All other recipients shall maintain project records in accordance with 43 CFR 12.953.

For Public Law 93-638 Contracts, Grants, and Agreements Only Indian Tribal governments and organizations shall maintain project records in accordance with 43 CFR 12.83; Public Law 93-638, and 25 CFR Part 900.

Account Identification:

14-4079-0-3-301.

Obligations:

(Project Grants (Cooperative Agreements or Contracts)) FY 12 \$39,148,157; FY 13 est \$1; and FY 14 est \$70,000,000

Range and Average of Financial Assistance:

Range \$215,000 to \$40,000,000; average \$54,716,052.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Beginning in January 2010 with the passage of the Arizona Water Settlement Act, this authorization is to resolve the water rights claims of the Gila River Indian Community and San Xavier District of the Tohono O'odam Nation, through amendments to Southern Arizona Water Rights

Settlement Act of 1982.

As of January 2012, the Gila River Indian Community continued its work on the SCIP/PMIP construction; the San Carlos Irrigation and Drainage District rehabilitation of Ashurst-Hayden Dam completed and construction of associated sediment basin (and continue design activities for Reaches 1 and 2); the Tohono O'odam Nation continues implementation of AWSA-authorized farm extension (San Xavier District); and Shuk Toak water management/ground water inventory studies. Fiscal Year 2013: Information not available. Fiscal Year 2014: Information not available.

REGULATIONS, GUIDELINES, AND LITERATURE:

43 CFR 12 and OMB Circulars.

For Public Law 93-638 Contracts, Grants, and Agreements Only 25 USC 40 (Public Law 93-638, 25 CFR Part 900, OMB Circular A-87 (codified at 2 CFR 225), and OMB Circular 133

Regional or Local Office:

See Regional Agency Offices. Phoenix Area Office: Mr. Randy N. Chandler, Area Manager, Bureau of Reclamation, Address: 6150 W. Thunderbird Rd., Glendale, AZ 85306-4001, Telephone: (623) 773-6200.

Headquarters Office:

Carol Lynn Erwin, Bureau of Reclamation, Phoenix Area Office, 6150 W. Thunderbird Road, Glendale, Arizona 85306-4001 Phone: (623) 773-6200.

Website Address:

<http://www.usbr.gov/lc/phoenix>

RELATED PROGRAMS:

15.541 Colorado River Basin Projects Act of 1968

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: In Fiscal Year 2012, a Title IV AFA was awarded to the Gila River Indian Community allowing it to continue construction of the P-MIP and SCIP projects. Fiscal Year 2013: Information not available. Fiscal Year 2014: Information not available.

CRITERIA FOR SELECTING PROPOSALS:

Specific evaluation criteria will be included in each funding announcement posted on www.grants.gov.

For Public Law 93-638 Contracts, Grants, and Agreements Only Federally recognized Tribes and Tribal organizations must meet the requirements of Public Law 93-638; 25 CFR Part 900 and any additional criteria specific to the authorizing legislation.

15.543 LAKE TAHOE REGIONAL WETLANDS DEVELOPMENT PROGRAM

FEDERAL AGENCY:

Bureau of Reclamation, Department of the Interior

AUTHORIZATION:

Title II, Division D, Public Law 108-7, Consolidated Appropriations Resolution, Water and Related Resources:

Provided further, That the Bureau of Reclamation is authorized hereafter to negotiate and enter into financial assistance agreements with public and private agencies, organizations, and institutions for activities under the Lake Tahoe Regional Wetlands Development Program: Provided further, That the costs associated with such activities will be nonreimbursable., Title , Public Law -.

OBJECTIVES:

To assist in addressing the past degradation of Lake Tahoe and its watershed by undertaking projects to meet the environmental thresholds as defined in the Tahoe Regional Planning Agency's Environment Improvement Program. The environmental thresholds of interest include water quality, soil conservation, wildlife, fisheries, and vegetation. To achieve environmental restoration in the Lake Tahoe basin, funded activities may include project planning and implementation, program coordination and management, and public outreach.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

All activities are environmental improvement projects to improve water quality and conditions for species and habitats in the Lake Tahoe Basin. The amount of funding available for projects is highly variable from year to year.

Applicant Eligibility:

State and local agencies, public nonprofit institutions/organizations, other public institutions/organizations, Federally-recognized Indian Tribal governments, profit organizations, private nonprofit institutions/organizations, other private institutions/organizations, and educational or scientific organizations may apply.

Beneficiary Eligibility:

Awards made under this program are intended to benefit the general public by maintaining and improving environmental quality in general, and water quality in particular, of the Lake Tahoe Basin.

Credentials/Documentation:

NONE. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Environmental compliance for this program may be required depending on the scope of the specific project funded. This may result in the need for an environmental impact assessment or environmental impact statement prior to the commencement of project activities. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Funding announcements for this program, along with registration procedures, application packages and instructions, points of contact, and procedures for submitting applications will be available on www.grants.gov.

Award Procedure:

All applications will be initially screened by Bureau of Reclamation personnel for eligibility and compliance with the requirements stated in the program funding announcement. Applications passing this screening process will be forwarded for review by the issuing office. Qualified experts from the Bureau of Reclamation will review applications against the proposal evaluation criteria, and any additional review factors, as stated in the funding announcement. Final selection will be determined by the Commissioner, Bureau of Reclamation, or regional officials, as applicable to the project.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Varies depending on the number of proposals received and the complexity of the project. Generally, offices review and select proposals for award within 60 days of proposal submission.

Appeals:

None. Final award decisions are not subject to appeal; however, the Bureau of Reclamation will provide all applicants with information on why their proposals were not selected for award.

Renewals:

Some projects may be eligible for extension. If renewals or extensions are applicable to the project, this information will be included in the funding opportunity announcement. When renewals or extensions are applicable, continuation of funding for these activities is at the discretion of Congress and will be subject to availability of appropriated funds.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Agreements are typically awarded for periods of 1 to 3 years, with potential for extension up to 5 years total. See the following for information on how assistance is awarded/released: Payment terms vary by agreement awarded

under this program.

Reports:

Unless otherwise stated in the agreement document, recipients shall submit on an annual basis Program Performance Reports. Upon completion of the agreement, recipients shall submit a final Program Performance Report, and other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. Cash reports are not applicable. Progress reports are not applicable. Unless otherwise stated in the agreement document, recipients shall submit on an annual basis the SF 425, Federal Financial Report. Upon completion of the agreement, recipients shall submit a final SF 425, Federal Financial Report. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

State, local and Indian Tribal governments shall maintain project records in accordance with 43 CFR 12.82. All other recipients shall maintain project records in accordance with 43 CFR 12.953.

Account Identification:

14-0680-0-1-301.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 12 \$0; FY 13 est \$0; and FY 14 est \$0

Range and Average of Financial Assistance:

\$75,000 to \$500,000; average \$0.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Because of no funding was available for new grants in either 2011 and 2012, program accomplishments for this period consisted of continued administration of existing grants. Fiscal Year 2013: None. Fiscal Year 2014: None.

REGULATIONS, GUIDELINES, AND LITERATURE:

43 CFR 12 and applicable Office of Management and Budget Circulars. These documents may also be obtained by contacting the Bureau of Reclamation Office listed below.

Regional or Local Office:

None. Myrnie Mayville, Bureau of Reclamation, 3800 Cottage Way (Mail Code 150), Sacramento, CA 95825.

Headquarters Office:

Myrnie Mayville, Bureau of Reclamation, 3800 Cottage Way, Mail Code 150, Sacramento, California 95825 Phone: (916) 978-5037.

Website Address:

<http://www.usbr.gov/mp>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Because of no funding was available for new grants in either 2011 and 2012, FY 2012 consisted of continued administration of existing grants. Fiscal Year 2013: None. Fiscal Year 2014: None.

CRITERIA FOR SELECTING PROPOSALS:

Criteria used for selecting a recipient are based on a balanced and informed review of a technical proposal, budget proposal, and relevancy to program objectives. The specific evaluation criteria for each project will be included in the funding announcement posted on www.grants.gov.

15.544 PLATTE RIVER RECOVERY IMPLEMENTATION PROGRAM PRRIP

FEDERAL AGENCY:

Bureau of Reclamation, Department of the Interior

AUTHORIZATION:

Section 515 of the Consolidated Natural Resources Act of 2008 (Public Law 110-229), Section , Public Law - .

OBJECTIVES:

Implementation of the Platte River Recovery Program Cooperative Agreement which implements certain aspects of the U.S. Fish and Wildlife Services recovery plans for four target species (interior least tern, whooping crane, piping plover and pallid sturgeon) listed as threatened or endangered pursuant to the Endangered Species Act.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

To be used for projects to implement the PRRIP. For further information, please contact the regional office.

Applicant Eligibility:

Legislation authorizes the agreement. The term Agreement means the Platte River Recovery Implementation Program Cooperative Agreement entered into by the Governors of the States of Wyoming, Nebraska, and Colorado and the Secretary.

Beneficiary Eligibility:

General public, irrigation and/or water districts, State and local entities, farmers and ranchers, agricultural producers, property owners, municipal water users, and power users.

Credentials/Documentation:

None. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

None. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. The recipient submits annual work plans and budgets.

Award Procedure:

The cooperative agreement is modified to implement annual work plan and reserve additional funds.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: Percent: 50%. Per authorizing legislation, the States of Colorado, Nebraska, and Wyoming shall contribute not less than 50% of the total contributions necessary to carry out the PRRIP. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Length and Time Phasing of Assistance is set forth in the current cooperative agreement. See the following for information on how assistance is awarded/released: Payment terms vary by agreement awarded under this program.

Reports:

Unless otherwise stated in the agreement document, recipients shall submit on an annual basis Program Performance Reports. Upon completion of the

agreement, recipients shall submit a final Program Performance Report and other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. Cash reports are not applicable. Progress reports are not applicable. Unless otherwise stated in the agreement document, recipients shall submit on an annual basis the SF 425, Federal Financial Report. Upon completion of the agreement, recipients shall submit a final SF 425, Federal Financial Report. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

State, local and Indian Tribal governments shall maintain project records in accordance with 43 CFR 12.82. All other recipients shall maintain project records in accordance with 43 CFR 12.953.

Account Identification:

14-0680-0-1-301.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 12 \$11,854,000; FY 13 est \$9,609,000; and FY 14 est \$8,749,000

Range and Average of Financial Assistance:

\$1,000,000 to \$15,000,000; average \$10,070,667.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Program accomplishments in Fiscal Years 2011 and 2012 include: continued implementation of the PRRIP Land, Water, and Adaptive Management Plans; approximately 3,123 acres of land was obtained for habitat through purchase agreements; PRRIP monitoring protocols for species and habitat continue to be implemented on an annual basis; completed a pilot demonstration of a groundwater recharge project; began the first phase of a sediment augmentation pilot demonstration project; and the State of Wyoming's Pathfinder Modification Project was declared substantially complete in January 2012. Fiscal Year 2013: Information not available. Fiscal Year 2014: Information not available.

REGULATIONS, GUIDELINES, AND LITERATURE:

43 CFR 12 and applicable OMB Circulars. These documents may also be obtained by contacting the Bureau of Reclamation Office listed below.

Regional or Local Office:

See Regional Agency Offices. Bureau of Reclamation, Wyoming Area Office, P.O. Box 1630, Mills, WY 82644.

Headquarters Office:

John Lawson, Bureau of Reclamation, Great Plains Region, P.O. Box 36900, Billings, Montana 59107-6900 Phone: (307) 261-5671 Fax: (307) 261-5683.

Website Address:

<http://www.platteriverprogram.org>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Examples of funded projects include: the purchase of six tracts of land parcels in Nebraska for habitat conservation; completed least tern, piping plover, and whooping crane habitat availability assessments; began a public access partnership with the Nebraska Game & Parks Commission to allow for limited public access to Program owned lands; and continued funding the implementation of aspects of land, water, and adaptive management plans, including habitat restoration and species monitoring activities. Fiscal Year 2013: Information not available. Fiscal Year 2014: Information not available.

CRITERIA FOR SELECTING PROPOSALS:

No new proposals are accepted at this time. Currently all projects are funded

through a long-term cooperative agreement with the Nebraska Community Foundation.

15.545 BUNKER HILL GROUNDWATER BASIN, RIVERSIDE-CORONA FEEDER PROJECT

Riverside Corona Feeder

FEDERAL AGENCY:

Bureau of Reclamation, Department of the Interior

AUTHORIZATION:

Section 9112, P.L. 111-11, Section , Public Law -.

OBJECTIVES:

Section 9112 of Public Law 111-11 directs the Secretary of the Interior, acting through the Commissioner, to participate in the planning, design, and construction of the Riverside-Corona Feeder Project. Section 9112 authorizes the Secretary to provide up to 25 percent of the total cost of the project, not to exceed \$26 million.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

This authority is restricted to the planning, design, and construction of the Riverside-Corona Feeder Project.

Applicant Eligibility:

The Secretary is authorized to cooperate with the Western Municipal Water District, Riverside County, California, in the planning, design, and construction of the Riverside-Corona Feeder Project.

Beneficiary Eligibility:

Water users serviced by the Western Municipal Water District.

Credentials/Documentation:

Funds for planning, design, and construction of the Riverside-Corona Feeder will not be disbursed until a cooperative agreement is executed. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Environmental compliance for this program will be required prior to execution of a construction agreement. This may result in the need for an environmental assessment or environmental impact statement prior to the commencement of project construction activities. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Funding provided for the Riverside-Corona Feeder is mandatory funding.

Award Procedure:

Reclamation will work with the Western Municipal Water District to negotiate cooperative agreements for one or more phases of the project.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Continuation of funding from one fiscal year to the next to complete one or more phases of the project is dependent on federal appropriations for the project.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. In accordance with Section 9112 of Public Law 111-11, Reclamation can pay up to 25 percent of the costs of planning, designing, and construction the project, not to exceed a total of \$26 million. The Western Municipal Water District must pay all operation,

maintenance, and replacement costs.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

There are no time restrictions placed on the use the funds and the funds have generally been made available periodically throughout year on a reimbursable basis. A schedule will be planned between Reclamation and the Western Municipal Water District when the cost sharing agreement is negotiated. However, this schedule can be impacted by the availability of funds during the year. See the following for information on how assistance is awarded/released: Payment terms vary by agreement awarded under this program.

Reports:

Unless otherwise stated in the agreement document, recipients shall submit based on the frequency determined in the financial assistance agreement Program Performance Reports, a final Program Performance Report, and specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. Cash reports are not applicable. Progress reports are not applicable. Unless otherwise stated in the agreement document, recipients shall submit the following reports based on the frequency determined in the financial assistance agreement to submit the SF-425, Federal Financial Reports and a final SF-425. In addition to this requirement and as a result of the U.S. Department of the Interior Office of the Inspector General, Survey Report No 97-I-874 (dated January 13, 1997), Reclamation has instituted a close-out expenditure review and site-visit requirement. This expenditure review is a site visit conducted by Reclamation staff, consisting generally of the Grants Officer Technical Representative (GOTR) and other representative(s) of the Grants Officer with an audit background (usually personnel from the region's finance section). This review is required prior to final closeout for all construction projects.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition to this requirement and as a result of the U.S. Department of the Interior Office of Inspector General, Survey Report No 97-I-874 (dated January 13, 1997), Reclamation has instituted a close-out expenditure review and site-visit requirement. This expenditure review and site-visit requirement. This expenditure review is a site visit conducted by Reclamation staff, consisting generally of the Grants Officer Technical Representative (GOTR) and other representative(s) of the Grants Officer with an audit background (usually personnel from the region's finance section). This review is required prior to final closeout for all construction projects.

Records:

State, local and Indian Tribal governments shall maintain project records in accordance with 43 CFR 12.82. All other recipients shall maintain project records in accordance with 43 CFR 12.953.

Account Identification:

14-0680-0-1-301.

Obligations:

(Cooperative Agreements) FY 12 \$0; FY 13 est \$0; and FY 14 est \$0 - There are no funds requested for this program within the Presidents budget; however, in previous years, funding was provided through congressional earmarking.

Range and Average of Financial Assistance:

\$100,000 to \$5,000,000; \$0.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: The Riverside-Corona Feeder will provide an additional source of potable water to the cities of Riverside and Corona and their vicinities. Program accomplishment in Fiscal Year 2011 included preparation and circulation of the draft environmental impact statement/supplementation environmental impact report (EIS/SEIR). In Fiscal Year 2012, we received concurrence from the California State Historic Preservation Officer for the La Sierra pipeline component. The Western Municipal Water District Board of

Directors certified the environmental document under the California Environmental Quality Act (CEQA). The final EIS/SEIR has been prepared and we are drafting the Federal Register Notice of Availability. Fiscal Year 2013: Information not available. Fiscal Year 2014: Information not available.

REGULATIONS, GUIDELINES, AND LITERATURE:

43 CFR 12 and applicable OMB Circulars. These documents may also be obtained by contacting the Bureau of Reclamation Office listed below.

Regional or Local Office:

See Regional Agency Offices. Bureau of Reclamation, Southern California Area Office, 27708 Jefferson Ave., Suite 202, Temecula, CA 92590. Telephone (951)695-5310.

Headquarters Office:

Will Shipp, Bureau of Reclamation, Office of Program and Policy Services, Mail Code 84-52000, P.O. Box 25007, Denver Federal Center, Denver, Colorado 80225 Email: wshippp@usbr.gov Phone: (303) 445-2799 Fax: (303) 445-6464.

Website Address:

<http://www.usbr.gov/lc/socal/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Development of draft and final EIS/SEIR; and project planning, right of way support, and preliminary Phase 1 design for the La Sierra Pipeline. Fiscal Year 2013: Information not available. Fiscal Year 2014: Information not available.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

15.546 YOUTH CONSERVATION PROGRAM

FEDERAL AGENCY:

Bureau of Reclamation, Department of the Interior

AUTHORIZATION:

Public Lands Corps Act of 1993 (16 U.S.C. 1721-1730) and Youth Conservation Corps Act of 1970 (16 U.S.C. 1701-07)

FOR RECOVERY ACT:

American Recovery and Reinvestment Act of 2009 (ARRA) (P.L. 111-5), 16 U.S.C 1701-07.

OBJECTIVES:

Promote and stimulate public purposes such as education, job training, development of responsible citizenship, productive community involvement, and furthering the understanding and appreciation of natural and cultural resources through the involvement of local youth and young adults in the care and enhancement of public resources.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

Not applicable. For further information, please contact the regional office.

Applicant Eligibility:

Eligibility is limited to youth, veteran, or conservation corps that are able to involve youth ages 15-25 in the 17 Western United States and the Intern Program in Reclamation projects on a nation-wide basis. A qualified service and conservation corps means any program established by a State, or local government, by the governing body of any Indian tribe, or by nonprofit organization.

Beneficiary Eligibility:

Youth and local communities that benefit from conservation improvements and involvement in youth programs activities on Reclamation-owned lands and facilities.

Credentials/Documentation:

Not applicable. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Environmental compliance for this program by the Recipient is not required. Reclamation will address all environmental compliance requirements prior to the start of activities funded under this program. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Funding opportunity announcements for this program, along with registration procedures, application packages and instructions, SF-424 forms and any other forms to be used to submit application information, points of contact, and procedures for submitting applications will be available on www.grants.gov.

Award Procedure:

All applications will be initially screened for eligible and compliance with the requirements stated in the program funding opportunity announcement. Applications passing this screening process will be forwarded for review by the proposal evaluation criteria, and any additional review factors, as stated in the funding opportunity announcement. Final selection will be determined by the Commissioner, Bureau of Reclamation, or regional officials, as applicable to the project.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

None. Final award decisions are not subject to appeal; however, the Bureau of Reclamation will provide all applicants with information why their proposals were not selected for award.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Percent: 25%. This program requires a nonfederal cost-share, through cash or in-kind services, of at least 25% of the total project costs.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Cooperative agreements are generally awarded for five years, with a reassessment of program accomplishments on an annual basis. See the following for information on how assistance is awarded/released: Payment terms vary by agreement awarded under this program.

Reports:

Unless otherwise stated in the agreement document, recipients shall submit on an annual basis Program Performance Reports. Upon completion of the agreement, recipients shall submit a final Program Performance Report; and other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. Cash reports are not applicable. Progress reports are not applicable. Unless otherwise stated in the agreement document, recipients shall submit on an annual basis the SF 425, Federal Financial Report. Upon completion of the agreement, recipients shall submit a final SF 425, Federal Financial Report. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

State, local and Indian Tribal governments shall maintain project records in accordance with 43 CFR 12.82. All other recipients shall maintain project records in accordance with 43 CFR 12.953.

Account Identification:

14-0680-0-1-301.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 12 \$567,232; FY 13 est \$650,000; and FY 14 est \$650,000

Range and Average of Financial Assistance:

\$3,000 to \$1,000,000; average \$622,411.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: The program accomplishments include employing over 200 diverse youth in cooperative efforts in cultural and natural resource conservation related to Reclamation projects, including habitat restoration of native plant and aquatic species; site restoration, re-vegetation, and fuels reduction. Fiscal Year 2013: Information not available. Fiscal Year 2014: Information not available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Code of Federal Regulations (CFR) 43 CFR 12 and applicable Office of Management and Budget Circulars.

Regional or Local Office:

None.

Headquarters Office:

Amy Sjerven Bureau of Reclamation, Mail Code D,P.O. Box 25007, Denver, Colorado 80255 Email: ASjerven@usbr.gov Phone: 303-445-2849

Website Address:

<http://www.usbr.gov>

RELATED PROGRAMS:

15.931 Conservation Activities by Youth Service Organizations

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Funded projects include water conservation projects, water quality monitoring, and fisheries research; trail clearing work, trail assessment work; work on the Historic Railroad Trail; desert clean-up; invasive species removal, and erosion control work; harvesting, processing, and marking native fish; vegetation removal; measuring and sorting fish; habitat and trail maintenance at Big Bend Conservation Area; research and biological data collection; fuels reduction and wildlife habitat improvement at Lake Cascade; and trails and fence maintenance and repair. Fiscal Year 2013: Information not available. Fiscal Year 2014: Information not available.

CRITERIA FOR SELECTING PROPOSALS:

The Corps shall consist of individuals between ages of 16 and 25. The Secretary is authorized to enter into contracts and cooperative agreements with any qualified youth or conservation corps to perform appropriate conservation projects.

15.548 RECLAMATION RURAL WATER SUPPLY PROGRAM

Rural Water Program

FEDERAL AGENCY:

Bureau of Reclamation, Department of the Interior

AUTHORIZATION:

Reclamation Rural Water Supply Act of December 22, 2006 (Pub. L. 109-451, Title I, 120 Stat. 3346, 43 U.S.C. 2401, et seq.).

OBJECTIVES:

To provide the basic requirements and framework for conducting water and related resource feasibility studies in order to formulate, evaluate, and select project plans for implementation. The benefits will include improved consistency in the content and quality of feasibility studies and reports prepared by or for Reclamation.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

Construction assistance not available unless authorized and funds appropriated by Congress. Payment terms vary by agreement awarded under this program.

Applicant Eligibility:

Eligible applicants include states and political subdivisions of states, such as departments, agencies, municipalities, counties, and other regional or local authorities; Indian tribes and tribal organizations; and entities created under state law that have water management or water delivery authority, such as irrigation or water districts, canal companies, water users associations, rural water associations or districts, joint powers authorities, and other qualifying entities; and any combination of the entities listed above. Applicants must be located in the 17 Western States as identified in the Reclamation Act of June 17, 1902, as amended.

Beneficiary Eligibility:

Eligible beneficiaries include small communities or group of small communities, including Indian tribes and tribal organizations. For the purpose of the Rural Water Supply Program, a small community is defined as having a population of no more than 50,000 people. More than one small community may be served by a rural water project if each small community has a population of 50,000 or less.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Funding announcements for this program, along with registration procedures, application packages and instructions, points of contact, and procedures for submitting applications will be available on www.grants.gov.

Award Procedure:

Grants, cooperative agreements, and technical assistance awards funded under the Rural Water Program will follow all applicable Federal rules, regulations, and agency specific guidelines.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Appraisal investigations: 180 business days;

Feasibility studies: 270 business days.

Appeals:

None. Final award decisions are not subject to appeal; however, the Bureau of Reclamation will provide all applicants with information on why their proposals were not selected for award.

Renewals:

Appraisal investigations may generally be renewed on an annual basis, not to exceed a total of 2 years. Feasibility studies may generally be renewed on an annual basis, not to exceed a total of 5 years. When renewals or extensions are applicable, continuation of funding for these activities is at the discretion of Congress and will be subject to availability of appropriated funds.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: In accordance with Sec. 105 & 106 of Public Law 109-451, Federal funding for appraisal investigations is 100 percent of the total cost of the investigation, up to \$200,000. If the cost of conducting an appraisal investigation is more than \$200,000, the non-Federal share of the costs in excess of \$200,000 shall be 50 percent. With some exceptions, the Federal share of the cost to conduct a feasibility study shall not exceed 50%.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Appraisal investigations must be completed in 2 years. Feasibility studies are not limited but generally take 2-3 years to complete. There is no specific period of time that the assistance is available. See the following for information on how assistance is awarded/released: Fund appraisal investigations and feasibility studies are awarded on an annual basis. It depends on how much is requested during the fiscal year and how long it will take to conduct the study.

Reports:

Unless otherwise stated in the agreement document, recipients shall submit the Program Performance Reports on an annual basis. Upon completion of the agreement, recipients shall submit a final Program Performance Report; and other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. Cash reports are not applicable. Progress reports are not applicable. Unless otherwise stated in the agreement document, recipients shall submit the SF-425, Federal Financial Report; on an annual basis. Upon completion of the agreement, recipients shall submit a final SF-425, Federal Financial Report. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

State, local and Indian Tribal governments shall maintain records in accordance with 43 CFR 12.82. All other recipients shall maintain records in accordance with 43 CFR 12.953.

Account Identification:

14-0680-0-1-301.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 12 \$300,000; FY 13 est \$0; and FY 14 est \$0

Range and Average of Financial Assistance:

Range \$75,000 to \$500,000
Average \$100,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: In Fiscal Year FY (2011), the program awarded seven appraisal investigations and two feasibility studies. Two appraisal reports were developed and approved in FY 2011: Lower Niobrara Natural Resource District Appraisal Report, Nebraska and Southern Black Hills Regional Water System Appraisal Report, South Dakota.

In FY 2012, Reclamation developed and approved the Lower Clearwater Exchange Project and began reviewing the Payson feasibility study. Fiscal Year 2013: Information not available. Fiscal Year 2014: Information not available.

REGULATIONS, GUIDELINES, AND LITERATURE:

43 CFR 12 and OMB Circulars. These documents may also be obtained by contacting the Bureau of Reclamation Office listed below.

Regional or Local Office:

See Regional Agency Offices. Bureau of Reclamation, Water and Environmental Resources Division
Bldg. 67
PO Box 25007 (84-55000)
Denver, CO 80225-0007.

Headquarters Office:

Bureau of Reclamation Water and Environmental Resources Division
Bldg. 67
PO Box 25007 (84-55000), Denver, Colorado 80225-0007 Phone:
(303)445-2711

Website Address:

<http://www.usbr.gov/ruralwater/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Examples of appraisal investigations include Nye County Appraisal Investigation; McKinley County Appraisal Investigation; City of Sulphur Appraisal Investigation; and the City of Strong Appraisal Investigation.

The examples of feasibility studies include Lower Niobrara Natural Resources District Feasibility Study; and Payson Craigin Pipeline Project Feasibility Study. Fiscal Year 2013: Information not available. Fiscal Year 2014: Information not available.

CRITERIA FOR SELECTING PROPOSALS:

Specific evaluation criteria will be included in the funding announcements posted on www.grants.gov and in supplemental instructions posted on the program website at www.usbr.gov/ruralwater/.

15.549 INTEGRATED REGIONAL WATER PLAN FOR THE CENTRAL VALLEY OF CALIFORNIA

California Water Institute Regional Water Plan

FEDERAL AGENCY:

Bureau of Reclamation, Department of the Interior

AUTHORIZATION:

Public Law 111-11, Title X Part II, Section 10101, Omnibus Public Land Management Act, 2009; Public Law 111-85, Energy and Water Development Appropriations Act, 2010.

OBJECTIVES:

The California Water Institute at Fresno State University (Institute) has been authorized under federal law, acting through the United States Bureau of Reclamation (Reclamation) to conduct a study regarding the coordination and integration of sub-regional integrated regional water management plans into a unified Integrated Regional Water Management Plan for the eight counties and two hydrologic basins that encompass the San Joaquin Valley that would address issues related to: water quality; water supply (both surface, ground water banking, and brackish water desalination); water conveyance; water reliability; water conservation and efficient use (by distribution systems and by end users); flood control; water resource-related environmental enhancement; and population growth.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

The Integrated Regional Water Management Plan developed for the two hydrologic basins that encompass the San Joaquin Valley shall serve as a guide for the counties in the study area described in Section 10101(a)(2) to use as a mechanism to address and solve long-term water needs in a sustainable and equitable manner.

Applicant Eligibility:

California Water Institute at California State University, Fresno. Education and Research Center.

Beneficiary Eligibility:

California Water Institute and the General Public.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. A Standard Form 424, Application for Federal Assistance, Standard Form 424A, Budget Information for Non-Construction

Projects, Standard Form 424B, Assurances for Non-Construction Projects, Standard Form 424C, Budget Information for Construction Projects, Standard Form 424D, Assurances for Construction Projects, Standard Form LLL, Disclosure of Lobbying Activities, and written proposals should be submitted via hard-copy, directly to the Program Manager and include: a title, background, objectives, performance schedule, points of contact, most current audit and indirect cost documentation, corrective action plan if needed, any other documentation that is directly associated with the Projects. Funding is authorized, mandatory, so posting on grants.gov is not necessary.

Award Procedure:

For Grant Agreements awarded as a component of the eligible beneficiary (SCCAO) and project, approved by the Secretary of the Interior, and authorized under Public Law 111-85 and subsequent amendments, all Federal rules, regulations, and agency specific guidelines for awarded procedures will be followed.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Award time varies depending on the type and complexity of the project. Most awards are anticipated within 90 days or less after receipt of the application forms and proposal submissions, and rely on the passing of a fiscal year appropriations bill for the availability of authorized funds.

Appeals:

None. Final award decisions are not subject to appeal; however, upon request, the Bureau of Reclamation will provide applicants with information on why their proposals were not selected for award.

Renewals:

When renewals or extensions are applicable, continuation of funding for these activities is at the discretion of Congress and will be subject to the availability of appropriated funds. The SCCAO must submit written requests for renewals or extensions directly to the Program Manager for approval, at least 45 days prior to the expiration of the specific projects agreement. Once the term of the project is expired, no renewal or extension will be approved, due to non-compliance with the terms and conditions of the specific projects agreement.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

December 31, 2015. See the following for information on how assistance is awarded/released: Payment terms vary by agreement awarded under this program.

Reports:

Unless otherwise stated in the agreement document, recipients shall submit the Program Performance Reports on an annual basis. Upon completion of the agreement, recipients shall submit a final Program Performance Report; and other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. Cash reports are not applicable. Progress reports are not applicable. Unless otherwise stated in the agreement document, recipients shall submit the SF-425, Federal Financial Report on an annual basis. Upon completion of the agreement, recipients shall submit a final SF-425, Federal Financial Report. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

State, local and Indian Tribal governments shall maintain project records in accordance with 43 CFR 12.82. All other recipients shall maintain project records in accordance with 43 CFR 12.953.

Account Identification:

14-0680-0-1-300.

Obligations:

(Project Grants) FY 12 \$0; FY 13 est \$50,000; and FY 14 est \$25,000

Range and Average of Financial Assistance:

Range \$50,000 to \$200,000

Average \$25,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Fiscal Year 2012 program accomplishments included Flood Management activities, analysis of water systems for disadvantaged communities, environmental restoration in the SJV, and water supply reliability study. Fiscal Year 2013: Information not available. Fiscal Year 2014: Information not available.

REGULATIONS, GUIDELINES, AND LITERATURE:

43 CFR 12 Subparts A,C,E,F and OMB circulars A-21, A-87 A-122

Regional or Local Office:

See Regional Agency Offices. Land Management Division
U.S. Bureau of Reclamation
1243 "N" Street
Fresno, CA 93721
Office: (559) 487-5049.

Headquarters Office:

Land Management Division U.S. Bureau of Reclamation
1243 "N" Street
, Fresno, California 93721 Phone: (559) 487-5049

Website Address:

<http://www.usbr.gov/mp/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Semitropic Water Storage District in which funds were for a water storage and recovery basin they built for groundwater recharge programs and North Kern Water Storage District for construction of a canal intertie that connected two canals used to deliver water in the Southern San Joaquin Valley with the intent to more effectively use water where and when it was needed. Fiscal Year 2013: Information not available. Fiscal Year 2014: Information not available.

CRITERIA FOR SELECTING PROPOSALS:

Study area was the San Joaquin River Hydrologic Region, as defined by DWR Bulletin 160-05 Volume 3 Chapter 7 & 8. Secretary of the Interior shall provide direct financial assistance to California Water Institute, per P.L. 111-11.

**15.550 INCREASING PUBLIC AWARENESS OF RECREATIONAL OPPORTUNITIES AT RECLAMATION RESERVOIRS FOR PHYSICALLY CHALLENGED AND DISADVANTAGED CHILDREN
FEDERAL AGENCY:**

Bureau of Reclamation, Department of the Interior

AUTHORIZATION:

Take Pride in America (TPIA) Public Law 101-628 (16 U.S.C. 4601 et seq.) and the TPIA Delegation Agreement between the Department of the Interior and the Bureau of Reclamation dated September 22, 2008.

OBJECTIVES:

To provide opportunities for disabled and disadvantaged children to use and enjoy public waters and related lands; to assist Reclamation in meeting its goal to provide accessible programs, facilities and activities to create a positive outdoor experience for all citizens; to increase awareness of all the participants (parents/caregivers, sportsmen, partners, volunteers) to the capabilities of disabled and disadvantaged children; and to provide educational opportunities

for disabled and disadvantaged children to learn more about fish and water as natural resources.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

TPIA has delegated authority to Reclamation to carry out a Program to instill in the public the importance of the appropriate use of and appreciation for Reclamation facilities. Eligible activities under the Delegation are limited to activities authorized under the TPIA. Such activities may include events designed to increase public awareness of recreational opportunities at Reclamation reservoirs, for physically challenged and disadvantaged children as well as other members of the public, and may also include financial assistance agreements to eligible entities for the purpose of carrying out the Program. For further information, please contact the regional office.

Applicant Eligibility:

Eligible applicants are State governments, County governments, City or townships governments, Special districts governments, Independent school districts, Public and State controlled institutions of higher education, Native American tribal governments (federally recognized), Public Housing Authorities/Indian Housing Authorities, Native American tribal organization (other than Federally recognized tribal governments) Non-Profits having a 501(c)(3) status with the Internal Revenue Service (IRS), other than institutions of higher education, Private institutions of higher education, Individuals, Small businesses.

Beneficiary Eligibility:

Disabled and disadvantaged children.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Environmental compliance for this program may be required depending on the scope of the specific project funded. This may result in the need for an environmental impact assessment or environmental impact statement prior to the commencement of project activities. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Funding announcements for this program, along with registration procedures, application packages and instructions, points of contact, and procedures for submitting applications will be available on www.grants.gov.

Award Procedure:

For grant and cooperative agreements awarded and funded through Public Law 101-628 (16 U.S.C. 4601 et seq.) and the TPIA Delegation Agreement between the Department of the Interior and the Bureau of Reclamation dated September 22, 2008, and subsequent amendments, all Federal rules, regulations, and agency specific guidelines for awarding procedures will be followed.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Varies depending on the type and complexity of the project. Further information will be available for each specific project at the time the funding opportunity announcement is posted on www.grants.gov and may be obtained by contacting the Bureau of Reclamation personnel listed as the point of contact in the funding announcement.

Appeals:

None. Final award decisions are not subject to appeal; however, the Bureau of Reclamation will provide all applicants with information on why their proposals were not selected for award.

Renewals:

Projects may generally be renewed on an annual basis, not to exceed a total of 5

years. If renewals or extensions are applicable to the project, this information will be included in the funding opportunity announcement. When renewals or extensions are applicable, continuation of funding for these activities is at the discretion of Congress and will be subject to availability of appropriated funds.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

5 years. See the following for information on how assistance is awarded/released: Payment terms vary by agreement awarded under this program.

Reports:

Unless otherwise stated in the agreement document, recipients shall submit Program Performance Reports on an annual basis. Upon completion of the agreement, recipients shall submit a final Program Performance Report and other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. Cash reports are not applicable. Progress reports are not applicable. Unless otherwise stated in the agreement document, recipients shall submit SF-425, Federal Financial Report on an annual basis. Upon completion of the agreement, recipients shall submit a final SF-425, Federal Financial Report. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

State, local and Indian Tribal governments shall maintain project records in accordance with 43 CFR 12.82. All other recipients shall maintain project records in accordance with 43 CFR 12.953.

Account Identification:

14-0680-0-1-300.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 12 \$117,306; FY 13 est \$167,205; and FY 14 est \$173,897

Range and Average of Financial Assistance:

Range: \$160,000.00 \$230,000.00
Average: \$152,803.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Over 800 disabled and disadvantaged children participated in 20 events in 9 states during 2012. It is anticipated that a similar number of events and participants will be served in 2013. Fiscal Year 2013: Information not available. Fiscal Year 2014: Information not available.

REGULATIONS, GUIDELINES, AND LITERATURE:

43 CFR 12 and OMB Circulars. These documents may also be obtained by contacting the Bureau of Reclamation Office listed below.

Regional or Local Office:

See Regional Agency Offices. Bureau of Reclamation
Michelle Maher, Grants Officer
Email address: mmaher@usbr.gov
Telephone number: 303-445-2025
Acquisition Operations Group 84-27810
P.O. Box 25007, Denver Federal Center
Denver CO 80225.

Headquarters Office:

Bureau of Reclamation Acquisition Operations Group 84-27810
P.O. Box 25007, Denver Federal Center
, Denver , Colorado 80225 Phone: (303)445-2849

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Fishing events for special needs children at 20 different Reclamation owned managed reservoirs servicing over 800 children. Fiscal Year 2013: Information not available. Fiscal Year 2014: Information not available.

CRITERIA FOR SELECTING PROPOSALS:

Specific evaluation criteria will be included in the funding announcements posted on www.grants.gov.

15.551 MADERA WATER SUPPLY ENHANCEMENT PROJECT

Madera Irrigation District WSEP or Madera Ranch

FEDERAL AGENCY:

Bureau of Reclamation, Department of the Interior

AUTHORIZATION:

Public Law 111-11, Omnibus Public Land Management Act of 2009, Title X, Part II, Section 9102.

OBJECTIVES:

The Madera Water Supply Enhancement Project, a groundwater bank on the 10,842-acre Madera Ranch in Madera, California, owned, operated, maintained, and managed by the Madera Irrigation District that will plan, design, and construct recharge, recovery, and delivery systems to be able to store up to 250,000 acre-feet of water and recover up to 55,000 acre-feet of water per year. This will increase storage capacity and supply reliability for the district.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

All final planning and design and the construction of the Madera Water Supply Enhancement Project (Project) authorized by Section 9102(c) shall be undertaken in accordance with a cooperative agreement between the Secretary of the Interior and the Madera Irrigation District for the Project. Such cooperative agreement shall set forth in a manner acceptable to the Secretary of the Interior and the Madera Irrigation District the responsibilities of the District for participating, which shall include: (1) engineering and design; (2) construction; (3) the administration of contracts pertaining to any of the foregoing. The authorization for this project sunsets on March 30, 2019.

Applicant Eligibility:

Madera Irrigation District.

Beneficiary Eligibility:

Madera Irrigation District and the General Public.

Credentials/Documentation:

Not applicable. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. A Standard Form 424, Application for Federal Assistance, Standard Form 424A, Budget Information for Non-Construction Projects, Standard Form 424B, Assurances for Non-Construction Projects, Standard Form 424C, Budget Information for Construction Projects, Standard Form 424D, Assurances for Construction Projects, Standard Form LLL, Disclosure of Lobbying Activities, and written proposal have been provided and includes: a title, background, objectives, performance schedule, points of contact, most current audit and indirect cost documentation, corrective action plan if needed, any other documentation that is directly associated with the Projects. Funding is authorized, mandatory, so posting on grants.gov was not necessary.

Award Procedure:

Reclamation worked with the Madera Irrigation District to negotiate a cooperative agreement for the various phases of the project. The cooperative agreement was executed by the Bureau of Reclamation on August 12, 2011.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Award time for each project phase varies depending on the type and complexity of the project.

Appeals:

None.

Renewals:

Continuation of funding from one fiscal year to the next to complete one or more phases of the project is dependent on federal appropriations for the project.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: This program requires Madera Irrigation District to pay 75% of the total cost of the project. P.L. 111-11 authorizes the Secretary of the Interior to reimburse the district for 25% of the costs they incur (minus Reclamations non-project costs), not to exceed \$22.5 million. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The initial estimated completion date of this project is 2016. This date may be extended due to delays in permitting. See the following for information on how assistance is awarded/released: Payment terms vary by agreement awarded under this program.

Reports:

In addition to what is stated in the cooperative agreement, recipients shall submit Program Performance Reports on an annual basis. Upon completion of the agreement, recipients shall submit a final Program Performance Report and other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. Cash reports are not applicable. Progress reports are not applicable. In addition to what is stated in the cooperative agreement, recipients shall submit SF-425, Federal Financial Report on an annual basis. Upon completion of the agreement, recipients shall submit a final SF-425, Federal Financial Report. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Madera ID is scheduled to have a Single Audit in 2013.

Records:

State, local and Indian Tribal governments shall maintain project records in accordance with 43 CFR 12.82. All other recipients shall maintain project records in accordance with 43 CFR 12.953.

Account Identification:

14-0680-0-1-301.

Obligations:

(Cooperative Agreements) FY 12 \$1,500,000; FY 13 est \$0; and FY 14 est \$0

Range and Average of Financial Assistance:

The range is \$0 to \$22,500,000; Average is \$613,701.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: The program accomplishments involved completing engineering, administrative, and legal tasks, and District contributions. In 2011 and 2012, the engineering tasks included Madera Ranch 2011 and 2012 Groundwater Sampling; Federal permitting, consulting, other permitting

reporting and costs; WSEP Permitting Assistance; and start up of vernal pool services. The administrative and legal tasks included the continued CEQA support, ADEIS reviews, NEPA reviews, meetings, reports, accounting, and other project items. The Madera Irrigation District contributions are scoping, preparation and review process of the long term storing and extraction of groundwater; repair and maintenance of turnouts, ditches, and pipelines; and daily measurements of flows through conveyances to be used for the Pilot Project. In 2011 and 2012, the engineering tasks included Vollmar Consulting services for vernal pool project and continued federal permitting and consulting. The administrative and legal tasks continued. The Districts contributions are repair and maintenance of turnouts, ditches, and pipelines and daily measurements of flows through conveyances to be used for the Pilot Project. Fiscal Year 2013: Information not available. Fiscal Year 2014: Information not available.

REGULATIONS, GUIDELINES, AND LITERATURE:

43 CFR 12 Subparts A,C,E,F and OMB circulars A-21, A-87, and A-122

Regional or Local Office:

See Regional Agency Offices. South-Central California Area Office
Bureau of Reclamation
1243 N Street
Fresno, CA 93721
Office: (559)487-5116.

Headquarters Office:

Chief U.S. Bureau of Reclamation, 2800 Cottage Way
, Sacramento, California 95825 Phone: (916) 978-5148

Website Address:

<http://www.usbr.gov/mp/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Madera Irrigation District Groundwater Banking Project.
No construction has taken place at this time as the permitting process has not been completed. Fiscal Year 2013: Information not available. Fiscal Year 2014: Information not available.

CRITERIA FOR SELECTING PROPOSALS:

Applicants are limited to the Madera Irrigation District. The scope of work must address and be responsive to the authorizing legislation and the appropriation ceiling.

15.552 NAVAJO-GALLUP WATER SUPPLY PROJECT

FEDERAL AGENCY:

Bureau of Reclamation, Department of the Interior

AUTHORIZATION:

Public Law 111-11, Omnibus Public Land Management Act, Title X, Part III, Section 10602 (a).

OBJECTIVES:

To provide financial assistance to design and construct portions of the Navajo-Gallup Water Supply Project (Project).

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

The Project is a component of a water right settlement with the Navajo Nation. In general, financial assistance may be provided to profit or non-profit entities to design and construct portions of the Project. Specifically, financial assistance may be provided to the Navajo Nation (Nation) and City of Gallup, New Mexico (City) to design and construct portions of the Project to provide water deliveries to Navajo and City water distribution systems. Financial assistance may be specifically directed to the Nation and City because they are uniquely qualified to perform the design and construction work; will ultimately own and operate Project facilities; and, legislative authority exists to provide this financial assistance to the Nation and City.

Applicant Eligibility:

Native American Organization (Navajo Nation)
Local governments (City of Gallup New Mexico)
Profit organization(s)
Private nonprofit institution(s)/organization(s).

Beneficiary Eligibility:

Federally Recognized Indian Tribal Government (Navajo Nation)
Local Governments (City of Gallup New Mexico).

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

A Planning Report/Final Environmental Impact Statement/Record of Decision has been completed. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Funding opportunity announcements for this program, along with registration procedures, application packages and instructions, SF-424 forms and any other forms to be used to submit application information, points of contact, and procedures for submitting applications will be available on www.grants.gov.

Award Procedure:

Reclamation will award, on a non-competitive basis, two agreements with the City of Gallup and the Navajo Nation.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 60 to 90 days. Approximately 90 days.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
This program has no matching requirements. All Project construction costs allocated to the Nation are nonreimbursable. There will be no Nation matching or cost share associated with this financial assistance. See Public Law 111-11, Omnibus Public Land Management Act, Title X, Part III, Section 10604 (a) (3).

The City is obligated to pay, with interest, 25 to 35 percent of Project construction costs allocated to the City based on the ability of the City to pay. There will be no City matching or cost share associated with this financial assistance. See Public Law 111-11, Omnibus Public Land Management Act, Title X, Part III, Section 10604 (b) (3).
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

2010 to 2024. See the following for information on how assistance is awarded/released: Payment terms vary by agreement awarded under this program.

Reports:

Unless otherwise stated in the agreement document, recipients shall submit the following reports on an annual basis: (1) SF-425, Federal Financial Report; and (2) Program Performance Reports. Upon completion of the agreement, recipients shall submit a final: (1) SF-425, Federal Financial Report; and (2) Program Performance Report; and (3) other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit

Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

State, local and Indian Tribal governments shall maintain project records in accordance with 43 CFR 12.82. All other recipients shall maintain project records in accordance with 43 CFR 12.953.

Account Identification:

14-0680-0-1-301.

Obligations:

(Project Grants (Cooperative Agreements)) FY 12 \$25,408,230; FY 13 est \$15,065,293; and FY 14 est \$24,489,000

Range and Average of Financial Assistance:

Range: \$500,000 - \$27,000,000

Average: \$21,654,174.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: In Fiscal Year 2011, the City of Gallup started their cultural resource, NEPA compliance and design requirements. Those will continue throughout Fiscal Year 2012 (FY12). In FY12, the Navajo Nation will begin their cultural resource, NEPA compliance and project design. Fiscal Year 2013: Information not available. Fiscal Year 2014: Information not available.

REGULATIONS, GUIDELINES, AND LITERATURE:

43 CFR 12 and OMB Circulars. These documents may also be obtained by contacting the Bureau of Reclamation Office listed below.

Regional or Local Office:

See Regional Agency Offices. Upper Colorado Region, Four Corner Construction Office, Barry Longwell (COTR), blongwell@usbr.gov, 970-259-1110.

Headquarters Office:

Barry Longwell, FCCO 100, Four Corners Construction Office, 103 Everett Street, Durango, Colorado 81303 Email: blongwell@usbr.gov Phone: (505) 325-1794 Fax: (505) 326-4388.

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Financial assistance agreement awarded to City to provide for the design and construction of Reach 13 27.5 of the Navajo Gallup Water Supply Project. This work includes initial environmental and cultural resource compliance tasks. Fiscal Year 2013: Information not available. Fiscal Year 2014: Information not available.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

15.553 EASTERN NEW MEXICO RURAL WATER SYSTEM PROJECT (ENMRWS)

FEDERAL AGENCY:

Bureau of Reclamation, Department of the Interior

AUTHORIZATION:

Public Law 111-11, Omnibus Public Land Management Act of 2009, Title IX, Section 9103.

OBJECTIVES:

Provide potable water to communities in Eastern New Mexico.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

The Project will be constructed, owned, operated, and maintained by the

Eastern New Mexico Water Utility Authority (Authority), the Projects local sponsor.

Applicant Eligibility:

Eastern New Mexico Water Utility Authority (Authority) was formed for the sole purpose of administration of this new water supply project for the surrounding communities.

Beneficiary Eligibility:

The Project would pipe 16,450 acre-feet of water per year from Ute Reservoir to the eastern New Mexico municipalities of Clovis, Elida, Grady, Melrose, Portales, and Texico. Curry County, Roosevelt County, and Cannon Air Force Base are also participating in the Project. The present population of the Project service area is about 60,000 people.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

In December 2010, the U.S. Fish and Wildlife Service issued a concurrence memorandum which completes the Endangered Species Act Section 7 consultation. In January 2011, a Finding of No Significant Impact was signed, thus completing National Environmental Policy Act compliance. Also in January 2011, a Memorandum of Agreement was executed between the Authority and Reclamation describing roles and responsibilities during construction of the Project. An environmental impact statement is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Funding announcements for this program, along with registration procedures, application packages and instructions, points of contact, and procedures for submitting applications will be available on www.grants.gov.

Award Procedure:

Once the parties agree with their respective annual scopes of work and budgets, the Bureau of Reclamations Upper Colorado Region finalizes the modifications. Responsibilities for needs assessments, feasibility and environmental studies, design, conservation measures and administration are to be included in agreements negotiated under this authority.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: Percent: 75.%. The Federal share of the total cost of any activity or construction carried out using amounts made available shall be not more than 75 percent of the total cost of the Eastern New Mexico Rural Water System. An amount not greater than \$327,000,000 (January 1, 2007 price levels) is authorized to be appropriated for non-reimbursable financial assistance to plan, design, and construct the Project. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

September 30, 2021. See the following for information on how assistance is awarded/released: Payment terms vary by agreement awarded under this program.

Reports:

Unless otherwise stated in the agreement document, recipients shall submit Program Performance Reports on an annual basis. Upon completion of the agreement, recipients shall submit a final Program Performance Report and other specific reports that may be applicable to the agreement such as property

inventories, and patent and invention disclosures. No cash reports are required. No progress reports are required. Unless otherwise stated in the agreement document, recipients shall submit the SF-425 Federal Financial Report on an annual basis. Upon completion of the agreement, recipients shall submit a final SF-425, Federal Financial Report. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

State, local and Indian Tribal governments shall maintain project records in accordance with 43 CFR 12.82. All other recipients shall maintain project records in accordance with 43 CFR 12.953.

Account Identification:

14-0680-0-1-301.

Obligations:

(Project Grants (Cooperative Agreements)) FY 12 \$1,698,472; FY 13 est \$1,948,000; and FY 14 est \$4,475,600

Range and Average of Financial Assistance:

Range: \$0-\$1,000,000

Average: \$2,707,357.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: In 2010, Reclamation determined that the Authority's operation, maintenance, and replacement plan for the Project meets the requirements of Public Law 111-11. In December 2010, the U.S. Fish and Wildlife Service issued a concurrence memorandum which completes the Endangered Species Act Section 7 consultation. In January 2011, a Finding of No Significant Impact was signed, thus completing National Environmental Policy Act compliance. Also in January 2011, a Memorandum of Agreement was executed between the Authority and Reclamation describing roles and responsibilities during construction of the Project.

All of the prerequisites for construction have been completed and a ground breaking ceremony took place at Ute Reservoir on August 11, 2011. The contract for Phase I was awarded to ASI Dam and Water Contractors for the bid price of \$13,980,562.50. Fiscal Year 2013: Information not available. Fiscal Year 2014: Information not available.

REGULATIONS, GUIDELINES, AND LITERATURE:

43 CFR 12 and OMB Circulars. These documents may also be obtained by contacting the Bureau of Reclamation Office listed below.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Mr. Joe Alderete Albuquerque Area Office
Bureau of Reclamation
555 Broadway NE, Albuquerque, New Mexico 87102 Phone: 505.462.3578

Website Address:

<http://enmrwa.com/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Phase -1 Intake Structure at Ute Dam. Fiscal Year 2013: Information not available. Fiscal Year 2014: Information not available.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

15.554 COOPERATIVE WATERSHED MANAGEMENT PROGRAM (CWMP)

FEDERAL AGENCY:

Bureau of Reclamation, Department of the Interior

AUTHORIZATION:

Public Law 111-11, Omnibus Public Land Management Act of 2009, Title VI, Subtitle A, Sec. 6001-6003 (16 USC 1015 et seq.).

OBJECTIVES:

The purpose of the Cooperative Watershed Management Program is to enhance water conservation, including alternative uses; improve water quality; improve ecological resiliency of a river or stream; and to reduce conflicts over water at the watershed level by supporting the formation of watershed groups to develop local solutions to address water management issues.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

Funding is to be used for activities that fall under the following categories: (1) establishment or expansion of a watershed group; (2) development of a mission statement for the watershed group; (3) development of watershed management project concepts, and (4) development of watershed restoration plan.

In FY 2013, funding is limited to Phase I activities to establish or expand an existing watershed group. Funding shall be used to develop a mission statement; develop project concepts; and to develop a restoration plan. When additional funding is available, Reclamation will expand implementation of the CWMP to include funding for Phase II and III water management projects through a separate funding opportunity.

Applicants may request up to \$50,000 in Federal funds each year, for a period of up to two years for Phase I activities. Second-year funding will be awarded to applicants that demonstrate sufficient progress throughout the year, contingent on availability of appropriations.

Applicant Eligibility:

Applicants eligible to receive a financial assistance agreement under the Cooperative Watershed Management Program include:

States;

Indian tribes;

Local and special districts; (e.g., irrigation and water districts, county soil conservation districts, etc.);

Local governmental entities; and non-profit organizations.

To be eligible, applicants must also significantly affect or be affected by the quality or quantity of water in a watershed; be capable of promoting the sustainable use of water resources within the given watershed area; and be located within the 17 western United States or Territories as identified in the Reclamation Act of June 17, 1902, as amended and supplemented.

Beneficiary Eligibility:

The Cooperative Watershed Management Program benefits a diverse array of stakeholders, which may include but is not limited to, private property owners, Federal, State, or local agencies, and Indian tribes that are located in the 17 western States identified in the Act of June 17, 1902, as amended, and have authority with respect to the watershed.

Credentials/Documentation:

(1) A detailed written technical proposal including background data regarding the applicant, such as: location; diversity, and the geographic scope of the proposed or existing watershed group; a description of the watershed, including the source of water supply, the water rights involved, current water uses (i.e., agricultural, municipal, domestic, instream uses or industrial), and the types of water issues faced in the affected watershed (e.g., shortfalls in water supply, endangered species concerns, environmental issues, or other issues that the planned watershed group would like to address); an overview of the goals and approach of establishing or expanding an existing watershed group; an estimated project schedule that shows the stages and duration of the proposed work, including major tasks, milestones, and dates for establishing or expanding the watershed group upon entering into a financial assistance agreement; and describing data responsive to the evaluation criteria listed in the Announcement. (2) When applicable, applicants proposing a non-federal

cost-share must submit a funding plan that shows how the applicant will pay for its non-Federal cost share requirement, and an official resolution adopted by the applicants board of directors or governing body, or for state government entities and universities, an official authorized to commit the applicant to the financial and legal obligations associated with receipt, resolving that, if selected, it will negotiate and execute a cooperative agreement with Reclamation. (3) A detailed project cost proposal, including a budget with the annual estimated project costs, including salaries and wages, fringe benefits, travel, equipment, supplies, contracts, and indirect costs, an estimate of annual operation & maintenance costs, and the value of in-kind contributions of goods and services. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Environmental compliance for this program may be required depending on the scope of the specific project funded. This may result in the need for an environmental impact assessment or environmental impact statement prior to the commencement of project activities. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Funding Opportunity Announcements (Announcement) for this program are posted on www.grants.gov. Submission of a complete technical proposal and budget is mandatory by the due date identified in the Announcement. In addition, applicants must submit all applicable SF 424 forms referenced in the Announcement.

Award Procedure:

Proposals received in response to the Announcement are reviewed on the basis of a competitive, merit-based review process, and are rated in accordance with the evaluation criteria stated in the Announcement. Reclamation will make awards to the highest rated proposals based on the amount of funding available each year.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

The review, scoring and announcement of the recipients typically take three to five months.

Appeals:

Upon request, Reclamation will provide all applicants with information on why their proposals were not selected.

Renewals:

Projects which requested funding for one year only are fully funded at time of award. For projects requesting two consecutive years of funding, second-year may be awarded to applicants that demonstrate sufficient progress throughout the year, contingent on the availability of appropriations.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: Phase I (establishment or expansion of a watershed group): A non-Federal cost-share is not required for Phase I activities.

Phase II and Phase III (watershed management projects): A 50% non-Federal cost-share is required for watershed management projects. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Proposed activities should be completed within 2 years from the project start date. Applicants seeking funding for activities requiring more than 2 years will be considered if you can demonstrate that there will be measureable accomplishments each year. See the following for information on how assistance is awarded/released: Payment terms vary by agreement awarded under this program.

Reports:

Grant recipients shall submit semi-annual Program Performance Reports. Upon completion of the agreement, recipients shall submit a final Program Performance Report that demonstrates the applicants successful completion of

the activities described in the financial assistance agreement. No cash reports are required. No progress reports are required. Grant recipients shall submit the SF-425, Federal Financial Report on a semi-annual basis. Upon completion of the agreement, a final SF-425 shall be submitted. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

State, local and Indian Tribal governments shall maintain project records in accordance with 43 CFR 12.82. All other recipients shall maintain project records in accordance with 43 CFR 12.953.

Account Identification:

14-0680-0-1-301.

Obligations:

(Project Grants (Cooperative Agreements)) FY 12 \$310,480; FY 13 est \$250,000; and FY 14 est \$0

Range and Average of Financial Assistance:

Range: \$0- \$50,000

Average: \$186,827.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Reclamation awarded funding to eight applicants. Two applicants were awarded Federal funding to establish a watershed group and six were awarded funding to expand an existing watershed group. Fiscal Year 2013: Information not available. Fiscal Year 2014: Information not available.

REGULATIONS, GUIDELINES, AND LITERATURE:

43 CFR 12 and OMB Circulars. These documents may also be obtained by contacting the Bureau of Reclamation Office listed below.

Regional or Local Office:

See Regional Agency Offices. <http://www.usbr.gov/main/regions.html>.

Headquarters Office:

Avra Morgan Bureau of Reclamation, Office of Program & Policy Services, Mail Code: 84-51000, P.O. Box 25007, Denver Federal Center, Denver, Colorado 80225 Email: aomorgan@usbr.gov Phone: (303)445-2906

Website Address:

<http://www.usbr.gov/WaterSMART/cwmp/index.html>

RELATED PROGRAMS:

15.669 Cooperative Landscape Conservation

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: The Ojai Valley Land Conservancy, a 25 year old nonprofit, proposed a two-year project to expand the watershed group, assess watershed issues and develop a management plan to promote sustainable use. This project will increase outreach efforts, which include stakeholder meetings, brochures, the expansion of their website and videos, to be available in both English and Spanish.

The Uncompahgre Watershed Partnership (UWP) plans to address local issues such as water quality and quantity by expanding the watershed group. Expansion will occur by compiling information from stakeholders, drafting articles of incorporation, funding a watershed coordinator and conducting outreach activities in the watershed, ultimately resulting in the development of a watershed restoration plan. The proposed project will take two years to complete. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Specific evaluation criteria will be included in the funding announcements posted on www.grants.gov. All applications will be evaluated using criteria

that give priority based on the diversity and geographic scope of the proposed watershed group; the critical nature of the issues to be addressed by the group; whether the proposed group (or its proposed activities) is aligned with a state water plan; whether the amount of funding requested is reasonable for the work proposed; and whether the proposed schedule and milestones are reasonable and appropriate.

The funding opportunity will also prioritize proposals that establish a nexus to a Landscape Conservation Cooperative (LCC).

15.555 SAN JOAQUIN RIVER RESTORATION PROGRAM

FEDERAL AGENCY:

Bureau of Reclamation, Department of the Interior

AUTHORIZATION:

Public Law 111-11, Omnibus Public Land Management Act of 2009, Title X, Subtitle A, Part I, San Joaquin River Restoration Settlement Act (123 Stat. 1349), and Part III, Friant Division Improvements.

OBJECTIVES:

The objective of the San Joaquin River Restoration Program (SJRRP) is to implement the

Stipulation of Settlement in NRDC, et al., v. Rodgers, et al., consistent with and as supplement by, the San Joaquin River Restoration Settlement Act. The Settlement identifies the following two goals:

- (1) Restoration Goal - Restore and maintain fish populations in good condition in the main stem of the San Joaquin River below Friant Dam to the confluence of the Merced River, including naturally-reproducing and self-sustaining populations of salmon and other fish; and,
- (2) Water Management Goal - Reduce or avoid adverse water supply impacts to all of the Friant Division long-term contractors that may result from the Interim Flows and Restoration Flows provided for in this Settlement.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

Assistance can be used only to implement the Settlement consistent with and as supplement by, the San Joaquin River Restoration Settlement Act. It is anticipated that all activities funded will be Project Grants that will relate to implementing specific activities in the Settlement and the San Joaquin River Restoration Settlement Act or specific monitoring, mitigation or other commitments that are necessary to implement the Settlement or the San Joaquin River Restoration Settlement Act (such as project implementation or mitigation commitments in environmental compliance documents prepared for SJRRP actions, including the SJRRP's Program Environmental Impact Statement and Record of Decision signed in September 2012).

Applicant Eligibility:

State, tribal, and local governmental agencies, and with private parties, including agreements related to construction, improvement, and operation and maintenance of facilities, subject to any terms and conditions that the Secretary deems necessary to achieve the purposes of the Settlement.

Beneficiary Eligibility:

State, tribal, and local governmental agencies, private parties, and the general public.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Environmental compliance for this program may be required depending on the scope of the specific project funded. This may result in the need for an environmental impact assessment or environmental impact statement prior to the commencement of project activities. Federal, state, or local permits and approvals for the program may be required depending on the scope of the specific project funded. This may result in the need for the issuance of the required permits and approvals prior to the commencement of project activities. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Funding announcements for this program, along with registration procedures, application packages and instructions, points of contact, and procedures for submitting applications will be available on www.grants.gov.

Award Procedure:

All applications will be initially screened by Bureau of Reclamation personnel for eligibility and compliance with the requirements stated in the program funding announcement. Applications passing this screening process will be forwarded for review by the issuing office. Qualified experts from the Bureau of Reclamation will review applications against the proposal evaluation criteria, and any additional review factors, as stated in the funding announcement. Final selection will be determined by the Commissioner, Bureau of Reclamation, or regional officials, as applicable to the project.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Varies depending on the type and complexity of the project. Further information will be available for each specific project at the time the funding opportunity announcement is posted on www.grants.gov and may be obtained by contacting the Bureau of Reclamation personnel listed as the point of contact in the funding announcement.

Appeals:

None. Final award decisions are not subject to appeal; however, the Bureau of Reclamation will provide all applicants with information on why their proposals were not selected for award.

Renewals:

Projects may generally be renewed on an annual basis, not to exceed a total of 5 years. If renewals or extensions are applicable to the project, this information will be included in the funding opportunity announcement. When renewals or extensions are applicable, continuation of funding for these activities is at the discretion of Congress and will be subject to availability of appropriated funds.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Projects undertaken under Section 10004 have no matching requirements. Projects undertaken under Section 10202 require a 50 percent share of the costs associated with planning, design, and environmental compliance activities associated with such a project and a 50 percent share of the costs associated with construction of any such project. For these projects, all operation, maintenance, and replacement and rehabilitation costs of such projects shall be the responsibility of the local agency. The Secretary shall not provide funding for any operation, maintenance, or replacement and rehabilitation costs of projects funded.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Varies depending on the type and complexity of the project. Further information will be available for each specific project at the time the funding opportunity announcement is posted on www.grants.gov and may be obtained by contacting the Bureau of Reclamation personnel listed as the point of contact in the funding announcement. See the following for information on how assistance is awarded/released: Payment terms vary by agreement awarded under this program.

Reports:

Unless otherwise stated in the agreement document, recipients shall submit Program Performance Reports on an annual basis. Upon completion of the agreement, recipients shall submit a final Program Performance Report and other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. No cash reports are required. No progress reports are required. Unless otherwise stated in the agreement document, recipients shall submit the SF-425, Federal Financial Report on an annual basis. Upon completion of the agreement, recipients shall submit a final SF-425, Federal Financial Report. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

State, local and Indian Tribal governments shall maintain project records in accordance with 43 CFR 12.82. All other recipients shall maintain project records in accordance with 43 CFR 12.953.

Account Identification:

14-5537-0-2-301.

Obligations:

(Project Grants (Cooperative Agreements)) FY 12 \$4,015,724; FY 13 est \$30,789,952; and FY 14 est \$33,868,947

Range and Average of Financial Assistance:

Range: \$10,000 - \$20,000,000
Average: \$22,891,541.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: All of the project funded will work towards the implementation of the Stipulation of Settlement in NRDC, et al., v. Rodgers, et al., consistent with and as supplement by, the San Joaquin River Restoration Settlement Act. These projects will contribute towards meeting the Restoration and Water Management goals of the Settlement. The SJRRP focuses on restoring flows and fish, including Chinook salmon, to the San Joaquin River. These efforts will have wide reaching benefits, including: restoring riparian habitat to more than 30 miles of the San Joaquin River; restoring ecosystem function and aquatic, riparian, and upland species habitats along the river; improving river channel capacities and flood control operations; and reconnecting the upper San Joaquin River to the Sacramento-San Joaquin Delta. Beyond the scope of the Settlement, a restored river will enhance recreational access and opportunities for many communities along the river. Fiscal Year 2013: Information not available. Fiscal Year 2014: Information not available.

REGULATIONS, GUIDELINES, AND LITERATURE:

43 CFR 12 and OMB Circulars. These documents may also be obtained by contacting the Bureau of Reclamation Office listed below.

Regional or Local Office:

See Regional Agency Offices. Alicia Forsythe, Program Manager
San Joaquin River Restoration Program
Bureau of Reclamation
2800 Cottage Way, MP-170
Sacramento, CA 95825
916-978-5464
aforsythe@usbr.gov.

Headquarters Office:

Alicia Forsythe Bureau of Reclamation
Mid-Pacific Regional Office
2800 Cottage Way, MP-170
, Sacramento, California 95825 Email: aforsythe@usbr.gov Phone:
(916)978-5464

Website Address:

<http://www.usbr.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Four example projects from FY 2012 and FY 2012 are provided below. Other types of projects would be considered in the future.

1. Financial assistance awarded to a local levee maintenance agency to undertake additional operation and maintenance activities as a result of the Water Year 2011 Interim Flows Project.
2. Grant awarded to a not for profit organization for the management and

removal of invasive vegetation species along the San Joaquin River from Friant Dam to the confluence with the Merced River consistent with commitments made in the Finding of No Significant Impact statements for the Water Year 2010 and Water Year 2011 Interim Flows Projects.

3. Financial assistance awarded to a local water district to install remotely operated gates on a dam to improve worker safety and better measure and manage passing the SJRRP's Interim Flows past the structure.

4. Financial assistance awarded to a local reclamation district to participate in the environmental compliance and design activities for possible modifications to their facilities consistent with the Settlement. Fiscal Year 2013: Information not available. Fiscal Year 2014: Information not available.

CRITERIA FOR SELECTING PROPOSALS:

Specific evaluation criteria will be included in the funding announcements posted on www.grants.gov.

15.556 CROW TRIBE WATER RIGHTS SETTLEMENT

Crow Tribe Water Rights Settlement Act of 2010

FEDERAL AGENCY:

Bureau of Reclamation, Department of the Interior

AUTHORIZATION:

Public Law 111-291, Claims Resolution Act of 2010, Title IV, Crow Tribe Water Rights Settlement (31 USC 1101).

OBJECTIVES:

To rehabilitate and improve the water diversion and delivery features of the Crow Irrigation Project (CIP). To plan, design and construct a new municipal, rural & industrial (MR&I) water system for the benefit of the Crow Tribe and its members.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

The project is for the rehabilitation and improvement of the existing Crow Irrigation Project and for the construction of a new MR&I system on the Crow Reservation. These projects are authorized under Title IV of the Claims Resolution Act of 2010.

Applicant Eligibility:

The Crow Tribe of Montana.

Beneficiary Eligibility:

Irrigable lands within the Crow Reservation.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

These projects will meet the requirements of the National Environmental Policy Act (NEPA) of 1969, and final engineering reports are being developed. Any proposed changes in the scope of these projects will be reviewed for continued compliance. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Annual work plans and budgets are negotiated annually.

Award Procedure:

Annually, the Crow Tribe and Bureau of Reclamation negotiate their respective annual scopes of work and budgets, and then the Crow Tribe and Reclamations Great Plains Region execute an annual funding agreement.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Funding is available until all currently appropriated funds both mandatory and discretionary - have been expended for this program. Additional funding for the program is at the discretion of Congress. Any request for a time extension must be in writing in accordance with the provisions stated in the contract.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: The Federal share of the CIP is 100 percent (Sec. 405(d)) and of the MR&I is 100 percent (Sec. 406(d)). There is a provision (Sec. 406(m)) to negotiate non-Federal cost share if non-Federal parties use the MR&I system. Construction costs for the CIP and MR&I, respectively, will total \$131.843 million and \$246.381 million (indexed to May 2008). Funds are to be available until expended.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Beginning in fiscal year 2011, funding for these projects was authorized to be appropriated. See the following for information on how assistance is awarded/released: Payment terms vary by agreement awarded under this program.

Reports:

No program reports are required. No cash reports are required. Unless otherwise stated in the agreement document, recipients shall submit the following reports: draft and final technical reports; progress reports (quarterly); annual reports; reports on significant developments (as necessary). SF-425 (quarterly). No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

State, local and Indian Tribal governments shall maintain project records in accordance with 43 CFR 12.82. All other recipients shall maintain project records in accordance with 43 CFR 12.953.

Account Identification:

14-0680-0-1-301.

Obligations:

(Direct Payments for Specified Use) FY 12 \$154,236,000; FY 13 est \$10,000,000; and FY 14 est \$5,000,000

Range and Average of Financial Assistance:

Range: \$50,000 to \$131,000,000

Average: \$56,412,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: The Crow Tribe and Reclamation conducted a Value Engineering study on the Reno Diversion Dam. The Crow Tribe submitted 95% Plans and Specifications for Lodge Grass #1 and #2 Diversion Dams to Reclamation for review and comment. Reclamation provided review comments to the Crow Tribe. The Tribe began construction on the two Lodge Grass Units. Fiscal Year 2013: Information not available. Fiscal Year 2014: Information not available.

REGULATIONS, GUIDELINES, AND LITERATURE:

25 CFR 900 and OMB Circulars - These documents may also be obtained by contacting the Bureau of Reclamation Office listed below.

Regional or Local Office:

See Regional Agency Offices. Great Plains Regional Office:
Mr. Doug Oellermann, Project Manager, Bureau of Reclamation
PO Box 36900
316 North 26th Street
Billings, MT, 59107-6900
Telephone 406.247.7737

Fax 406.247-7604

E-mail: doellermann@usbr.gov.

Headquarters Office:

Mr. Doug Davis Great Plains Regional Office

Bureau of Reclamation

316 North 26th Street, Billings, Montana 59101 Email: douglasdavis@usbr.gov

Phone: (406)247-7710 Fax: (406)247-7892

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Rehabilitation and improvement on Lodge Grass Units #1

and #2. Fiscal Year 2013: Information not available. Fiscal Year 2014:

Information not available.

CRITERIA FOR SELECTING PROPOSALS:

The scopes of work must address and be responsive to the authorizing legislation, the final engineering report and the appropriation ceiling.

15.557 DESERT AND SOUTHERN ROCKIES LANDSCAPE CONSERVATION COOPERATIVES

Landscape Conservation Cooperatives (LCC)

FEDERAL AGENCY:

Bureau of Reclamation, Department of the Interior

AUTHORIZATION:

Public Law 111-11, Omnibus Public Land Management Act of 2009, Subtitle F, Secure Water, Section 9504(b)(42 USC 10364) and Fish and Wildlife Coordination Act of 1934, Public Law 85-624, 16 U.S.C. 661 et seq., as amended, and Section 7(a) of the Fish and Wildlife Coordination Act (FWCA) (70 Stat 1122; 16 U.S.C. 742f(a)); as limited and delegated by the Secretary of the Interior delegation of authority to the Bureau of Reclamation at 255 DM 1.1B.

OBJECTIVES:

The objective of the Desert and Southern Rockies LCCs is to enhance the management of natural and cultural resources that have a nexus to water resource management. This includes developing tools to assess and adapt to the impacts of climate change and other landscape scale stressors within the geographic boundaries of the Desert and Southern Rockies LCC and adapt to those stressors.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

The Desert and Southern Rockies LCCs provide cost-shared financial assistance on a competitive basis for the following types of projects: (1) projecting future water availability and quality, (2) projecting the resiliency and vulnerability of natural or cultural resources, and (3) assessing and evaluating natural or cultural resource management practices and opportunities to adapt. Eligible projects are restricted to within the geographic boundaries of the Desert or Southern Rockies LCCs.

Applicant Eligibility:

Eligible applicants include any: States; Tribes; Irrigation districts; Water districts; Organizations with water or power delivery in the Western United States or Territories as identified in the Reclamation Act of June 17, as amended and supplemented; specifically, Arizona, California, Colorado, Idaho, Kansas, Montana, Nebraska, Nevada, New Mexico, North Dakota, Oklahoma, Oregon, South Dakota, Texas, Utah, Washington, Wyoming, American Samoa, Guam, the Northern Mariana Islands, and the Virgin Islands); Universities located in the United States; Non-profit research institutions located in the United States; or Non-profit organizations (Non-profit organizations are eligible to apply for funding under all three task areas so long as the proposal addresses fish or wildlife habitat in wetland, riparian, or aquatic areas and there is a nexus to a Reclamation project.)

Beneficiary Eligibility:

The general public; agricultural, municipal and industrial water users; irrigation or water districts; state governmental entities with water or power delivery authority; tribes; non-profit research institutions; and non-profit organizations located in the states identified in the Act of June 17, 1902.

Credentials/Documentation:

(1) A detailed written technical proposal including background data regarding the applicant, such as location, a detailed scope of work separating the work into major tasks, detail the stages of the proposed project, include an estimated project schedule showing the stages and the duration of the proposed work, including major milestones and dates, and substantiate the method(s) selected, the principles or techniques which are proposed to solve the problem, and the degree of success expected, and describing data responsive to the evaluation criteria listed in the Announcement. (2) When applicable, a funding plan showing how the applicant will pay for its 50% cost share requirement, and an official resolution adopted by the applicants board of directors or governing body, or for state government entities and universities, an official authorized to commit the applicant to the financial and legal obligations associated with receipt, resolving that, if selected, it will negotiate and execute a cooperative agreement with Reclamation. (3) A detailed project cost proposal, including a budget with the annual estimated project costs, including salaries and wages, fringe benefits, travel, equipment, supplies, contracts, and indirect costs, an estimate of annual operation & maintenance costs, and the value of in-kind contributions of goods and services. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Environmental compliance for this program may be required depending on the scope of the specific project funded. This may result in the need for an environmental impact assessment or environmental impact statement prior to the commencement of project activities. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Multiple Funding Opportunity Announcements (Announcement) are annually posted on www.grants.gov. Submission of a complete technical proposal and projects budget is mandatory by the due date identified in the Announcement. In addition, applicants must submit all applicable SF 424 forms referenced in the Announcement.

Award Procedure:

Proposals received in response to the Announcement are reviewed on the basis of a competitive, merit-based review process, and are rated in accordance with the evaluation criteria stated in the Announcement. Reclamation will make awards to the highest rated proposals based on the amount of funding available each year.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

The review, scoring and announcement of the recipients typically take three to five months.

Appeals:

Upon request, Reclamation will provide all applicants with information on why their proposals were not selected.

Renewals:

The awarded projects are fully funded at the time of award.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: Percent: 50%. The Federal share of the cost of a project or activity carried out under this Program shall not exceed 50% of the total cost of the project or activity. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Proposed projects should be completed within 24 months from the project start date. Applications for projects requiring more than 2 years will be considered if

it is demonstrable that there will be on-the-ground accomplishments each year. See the following for information on how assistance is awarded/released: Payment terms vary by agreement awarded under this program.

Reports:

Upon completion of the agreement, recipients shall submit Program Performance Reports (e.g., semi-annual, final report); and other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. No cash reports are required. No progress reports are required. Upon completion of the agreement, recipients shall submit a SF-425, Federal Financial Report (e.g., semi-annual, final report). No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

State, local and Indian Tribal governments shall maintain project records in accordance with 43 CFR 12.82. All other recipients shall maintain project records in accordance with 43 CFR 12.953.

Account Identification:

14-0680-0-1-301.

Obligations:

(Project Grants (Cooperative Agreements)) FY 12 \$1,247,030; FY 13 est \$1,500,000; and FY 14 est \$0

Range and Average of Financial Assistance:

Range: \$35,113 to \$200,014

Average: \$915,677.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Since Fiscal Year (FY) 2011, Applied Science Grants for the Desert and Southern Rockies LCCs combined more than \$2.4 million in Federal funding to enhance the management of natural and cultural resources that have a nexus to water resource management. For example, once completed, the funded projects are expected to develop decision support tools and methodologies to address the effects of climate change; use Light Detecting and Ranging and multispectral imagery to analyze water and sedimentation dynamics; develop a decision support tool for incorporating ecological flows into water management models used for basin-wide water supply planning; digitize original National Wetland Inventory maps; develop new biological information about springs and seeps; examine climate change impacts on stream low flows and effects on riparian vegetation; and develop a soil vulnerability index. Fiscal Year 2013: Information not available. Fiscal Year 2014: Information not available.

REGULATIONS, GUIDELINES, AND LITERATURE:

43 CFR 12, OMB Circulars, Standard Forms, and Program information, <http://www.usbr.gov/WaterSMART/lcc/index.html> Documents may also be obtained by contacting Reclamation.

Regional or Local Office:

See Regional Agency Offices. <http://www.usbr.gov/main/regions.html>.

Headquarters Office:

Avra Morgan Bureau of Reclamation, Office of Program & Policy Services, Mail Code: 84-51000, P.O. Box 25007, Denver Federal Center, Denver, Colorado 80225 Email: aomorgan@usbr.gov Phone: (303)445-2906 Fax: (720)544-4207

Website Address:

<http://www.usbr.gov/WaterSMART/lcc/index.html>

RELATED PROGRAMS:

15.507 Water 2025

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Desert LLC: The Desert Botanical Garden and other partners will determine how the tamarisk leaf beetle combined with climate change will affect tamarisk populations throughout the DLCC region. The project will determine 1) if climate warming coupled with the tamarisk leaf beetle will reduce the negative impact of tamarisk on water resource management in western North America, 2) assess whether some tamarisk populations are more susceptible to the combination of climate change and tamarisk leaf beetle and 3) evaluate how genetic change in the tamarisk beetle species will enable beetle colonies to expand in the lower Colorado River Basin and extend the period of active feeding, thereby changing riparian vegetation in this region.

Southern Rockies: The New Mexico Office of the State Engineer is planning to improve crop coefficients for the Middle Rio Grande by assessing actual crop water use through the use of remote sensing technologies that estimate the evapotranspiration of individual crops within the basin. The results from this project will provide local state and federal water managers with a better estimate of future water manager with a better estimate of future water demand estimates from decision-making models based on climate change and other water limiting factors by improving the accuracy of the calculation of water used by crops. Fiscal Year 2013: Information not available. Fiscal Year 2014: Information not available.

CRITERIA FOR SELECTING PROPOSALS:

Specific evaluation criteria will be included in the funding announcements posted on www.grants.gov. All applications will be evaluated using criteria that give priority to a project's technical merit, the relevance to the LCC, dissemination of results to Reclamation and LCC partners, connections to Reclamation activities and exceed the minimum 50 percent non-Federal cost-share requirement.

15.558 WHITE MOUNTAIN APACHE TRIBE RURAL WATER SYSTEM

Miner Flat Project

FEDERAL AGENCY:

Bureau of Reclamation, Department of the Interior

AUTHORIZATION:

The White Mountain Apache Tribe Rural Water System Loan Authorization Act. Public Law 110-390, as amended by the White Mountain Apache Tribe Water Rights Quantification Act of 2010, Public Law 111-291.

OBJECTIVES:

Planning, engineering, design and construction of the Miner Flat Project.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use (Cooperative Agreements)

USES AND USE RESTRICTIONS:

Public Law 93-638 contracts, grants, and cooperative agreements are authorized for construction and non-construction projects.

Applicant Eligibility:

White Mountain Apache Tribe.

Beneficiary Eligibility:

White Mountain Apache Tribe.

Credentials/Documentation:

- (1) Each 638 contract, grant, or cooperative agreement requires project specific authority;
- (2) Detailed written technical proposals including background data regarding the tribal client, technical approaches proposed to accomplish the work, scopes of work that separate the work into major tasks, type of personnel to implement the proposals, experience in performing these types of work, timeliness, the levels of cooperation with other parties involved in the proposals, and other information that may be useful for proposal evaluation;
- (3) Detailed project cost proposals, including budgets with estimated project costs, including salaries and wages, fringe benefits, travel, equipment, supplies, contracts, and indirect costs, and the values of any in-kind contributions of good and services; and
- (4) the awarded 638 contract, grant or cooperative agreement must contain an authorization resolution from the White Mountain Apache Tribe. OMB Circular

No. A-87 applies to this program.

Preapplication Coordination:

Environmental compliance for this program is required depending on the scope of the specific project component funded. An environmental impact statement and Record of Decision approving that construction of the White Mountain Apache Tribe rural water system will be in a configuration substantially similar to that described in section 307 of Public Law 111-291 will be required prior to the commencement of Miner Flat Project activities. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Initial proposals must contain the information specified in 25 CFR Part 900. Proposals shall be submitted to the applicable Reclamation office with the responsibility for the specific project for which the White Mountain Apache Tribe with responsibility for the specific project which the White Mountain Apache Tribe or Tribe

Award Procedure:

Reclamation and the Tribe develop a negotiation schedule and then negotiate the overarching contract/award terms, provisions and conditions based on the requirements of Public Law 93-63 , 25 CFR Part 900, any specific requirements of the project authorizing legislation, and other applicable Federal laws and regulations. Reclamation and the tribe also negotiate an annual funding agreement (AFA), which identifies activities to be performed, funds to be provided, and the method of payment of the initial year of the contract. A final contract agreement is prepared based upon the outcome of the negotiations; attachments include the work plan, budget, tribal resolution, initial AFA, property listing, project schedule, and list of any other applicable provision, terms and conditions.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

As specified for the project.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Length and time phasing will vary. See the following for information on how assistance is awarded/released: Payment terms vary by agreement awarded under this program.

Reports:

No program reports are required. No cash reports are required. Reporting (Federal financial report and progress reports) requirements will be negotiated and contained with in the AFA or the overarching terms and conditions. Reporting (Federal financial report and progress reports) requirements will be negotiated and contained with in the AFA or the overarching terms and conditions. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Indian Tribal governments and organizations shall maintain project records in accordance with 43 CFR 12.82; Public Law 93-638; and 25 CFR Part 900.

Account Identification:

14-0680-0-1-301.

Obligations:

(Direct Payments for Specified Use (Cooperative Agreements)) FY 12 \$5,450,000; FY 13 est \$2,000,000; and FY 14 est \$1,800,000

Range and Average of Financial Assistance:

Range: \$1,800,000 - \$4,500,000
Average: \$3,083,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Reclamation awarded a Public Law 93-638 Cooperative Agreement to the White Mountain Apache Tribe enabling the Tribe to prepare 30% designs of project components and develop a legally defensible Environmental Impact Statement leading to a Reclamation-approved Record of Decision to proceed with the Miner Flat Rural Water Project. Fiscal Year 2013: Information not available. Fiscal Year 2014: Information not available.

REGULATIONS, GUIDELINES, AND LITERATURE:

25 USC 450 (Public Law 93-638, 25 CFR Part 900, OMB Circular A-87 (2 CFR 225), and OMB Circular 133.

Regional or Local Office:

See Regional Agency Offices. Phoenix Area Office: Mr. Randy N. Chandler, Area Manager, Bureau of Reclamation, Address: 6150 W. Thunderbird Rd., Glendale, AZ 85306-4001, Telephone: (623) 773-6200.

Headquarters Office:

Mr. Randy N. Chandler Bureau of Reclamation
6150 W. Thunderbird Rd., Glendale, Arizona 85306-4001 Phone: (623) 773-6200

Website Address:

<http://www.usbr.gov/lc/phoenix/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: White Mountain Apache Tribal Environmental and Conceptual Design Activities. Fiscal Year 2013: Information not available. Fiscal Year 2014: Information not available.

CRITERIA FOR SELECTING PROPOSALS:

The White Mountain Apache Tribe must meet the requirements of Public Law 93-638; 25 CFR Part 900 and any additional criteria specific to the authorization legislation.

15.559 NEW MEXICO RIO GRANDE BASIN PUEBLOS IRRIGATION INFRASTRUCTURE PROJECT

New Mexico Pueblos Irrigation Project

FEDERAL AGENCY:

Bureau of Reclamation, Department of the Interior

AUTHORIZATION:

Public Law 111-11, Omnibus Public Lands Management Act of 2009, Section 9106. 123 Stat. 1304.

OBJECTIVES:

Rehabilitate and repair irrigation infrastructure of the Rio Grande Pueblos to conserve water and help address potential conflicts over water in the Rio Grande Basin.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use; Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

Funds are for two purposes: (1) to prepare a study for Congress on existing conditions and potential irrigation rehabilitation projects; and (2) to construct irrigation rehabilitation projects after the study is approved by Congress.

Applicant Eligibility:

Funds are for two purposes: (1) to prepare a study for Congress on existing conditions and potential irrigation rehabilitation projects; and (2) to construct irrigation rehabilitation projects after the study is approved by Congress.

Beneficiary Eligibility:

Funds are for two purposes: (1) to prepare a study for Congress on existing conditions and potential irrigation rehabilitation projects; and (2) to construct irrigation rehabilitation projects after the study is approved by Congress.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Environmental compliance for this program may be required depending on the scope of the specific project funded. This may result in the need for an environmental impact assessment or environmental impact statement prior to the commencement of project activities. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Each pueblo has submitted a form consenting to participate in the project, as required by the authorizing legislation.

Award Procedure:

Proposed irrigation rehabilitation projects qualify and are prioritized based on eligibility requirements set forth in the authorizing legislation.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approval of the study by Congress will depend on how quickly Congress acts. Approval of individual rehabilitation projects varies depending on the amount and timing of funding appropriated by Congress.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: None for the study report. For construction, the authorizing legislation requires a 25% non-federal cost share. The cost share requirements can be waived by the Secretary of the Interior due to financial hardship on the part of pueblos. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

To be determined. See the following for information on how assistance is awarded/released: Payment terms vary by agreement awarded under this program.

Reports:

Unless otherwise stated in the agreement document, recipients shall submit on an annual basis Program Performance Reports. Upon completion of the agreement, recipients shall submit a final Program Performance Report and other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. No cash reports are required. No progress reports are required. Unless otherwise stated in the agreement document, recipients shall submit on an annual basis SF-425, Federal Financial Report. Upon completion of the agreement, recipients shall submit a final SF-425, Federal Financial Report. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit

conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

State, local and Indian Tribal governments shall maintain project records in accordance with 43 CFR 12.82. All other recipients shall maintain project records in accordance with 43 CFR 12.953.

Account Identification:

14-0680-0-1-301.

Obligations:

(Direct Payments for Specified Use (Cooperative Agreements)) FY 12 \$240,374; FY 13 est \$170,000; and FY 14 est \$170,000

Range and Average of Financial Assistance:

Range: \$5,000 - \$250,000
Average: \$193,458.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: In Fiscal Year 2011, utilizing funds from the Native American Program, land surveying was done at portions of four pueblos: Taos, Ohkay Owingeh, Santo Domingo, and San Felipe. In FY 2012, utilizing Congressionally appropriated funds, land surveying was done at portions of three pueblos: Ohkay Owingeh, Santo Domingo, and Laguna. Land surveying was done at Picuris Pueblo as part of the planning program, and this survey may also be utilized for the New Mexico Pueblos Irrigation Project. Fiscal Year 2013: Information not available. Fiscal Year 2014: Information not available.

REGULATIONS, GUIDELINES, AND LITERATURE:

43 CFR 12 and OMB Circulars. These documents may also be obtained by contacting the Bureau of Reclamation Office listed below.

Regional or Local Office:

See Regional Agency Offices. Viola Sanchez, 555 Broadway NE Suite 100, Albuquerque, NM 87102, 505-270-3046, vsanchez@usbr.gov
Brian Parry, 125 South State Street Room 6107, Salt Lake City, UT 84138-1147, 801-524-3674, bparry@usbr.gov.

Headquarters Office:

Richard Ives Bureau of Reclamation
1849 C Street NW
, Washington, District of Columbia 87102 Email: rives@usbr.gov Phone: (202)513-0550

Website Address:

<http://www.usbr.gov/uc/albuq/progact/NMPueblos/index.html>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are listed in the authorizing legislation.

15.560 SECURE WATER ACT RESEARCH AGREEMENTS

FEDERAL AGENCY:

Bureau of Reclamation, Department of the Interior

AUTHORIZATION:

Section 9504(b), Omnibus Public Lands Management Act of 2009, (Public Law 111-11).

OBJECTIVES:

To fund research activities that are designed to conserve water resources, increase the efficiency of the use of water resources, and/or enhance the management of water resources, including increasing the use of renewable energy in the management and delivery of water.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

Specific use restrictions are identified within specific program announcements available on www.grants.gov.

Applicant Eligibility:

In accordance with P.L. 111-11, Section 9504(b), eligible applicants include any: university, nonprofit research institution, or other organization with water or power delivery authority.

Beneficiary Eligibility:

The general public; agricultural, municipal and industrial water users; irrigation or water districts; and state governmental entities with water or power delivery authority.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Environmental compliance for this program may be required depending on the scope of the specific project funded. This may result in the need for an environmental impact assessment or environmental impact statement prior to the commencement of project activities. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Funding announcements for this program, along with registration procedures, application packages and instructions, points of contact, and procedures for submitting applications will be available on www.grants.gov.

Award Procedure:

For competitive awards: Proposals received in response to the Announcement are reviewed on the basis of a competitive, merit-based review process, and are rated in accordance with the evaluation criteria stated in the Announcement. Reclamation will make awards to the highest rated proposals based on the amount of funding available each year.

For single-source awards, a Notice of Intent to Award is announced on www.grants.gov providing the justification for the noncompetitive research award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Varies depending on the type and complexity of the project. Further information will be available for each specific project at the time the funding opportunity announcement is posted on www.grants.gov and may be obtained by contacting the Bureau of Reclamation personnel listed as the point of contact in the funding announcement.

Appeals:

None. Final award decisions are not subject to appeal; however, the Bureau of Reclamation will provide all applicants with information on why their proposals were not selected for award.

Renewals:

Projects may generally be renewed on an annual basis, not to exceed a total of 5 years. If renewals or extensions are applicable to the project, this information will be included in the funding opportunity announcement. When renewals or extensions are applicable, continuation of funding for these activities is at the discretion of Congress and will be subject to availability of appropriated funds.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. This program has no matching requirements. There are no statutory matching requirements under Section 9504(b). However, specific projects or announcements may require nonfederal cost-share. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Projects are generally awarded for 1 to 5 years. See the following for

information on how assistance is awarded/released: Payment terms vary by agreement awarded under this program.

Reports:

Unless otherwise stated in the agreement document, recipients shall submit on an annual basis Program Performance Reports. Upon completion of the agreement, recipients shall submit a final Program Performance Report; and other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. No cash reports are required. No progress reports are required. Unless otherwise stated in the agreement document, recipients shall submit on an annual basis an SF-425, Federal Financial Report. Upon completion of the agreement, recipients shall submit a final (1) SF-425, Federal Financial Report. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

State, local and Indian Tribal governments shall maintain project records in accordance with 43 CFR 12.82. All other recipients shall maintain project records in accordance with 43 CFR 12.953.

Account Identification:

14-0680-0-1-301.

Obligations:

(Project Grants (Cooperative Agreements)) FY 12 \$428,495; FY 13 est \$400,000; and FY 14 est \$400,000

Range and Average of Financial Assistance:

Range: \$50,000 - \$1,000,000
Average: \$409,498.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Climate change research in support of water management in the western United States. Fiscal Year 2013: Information not available. Fiscal Year 2014: Information not available.

REGULATIONS, GUIDELINES, AND LITERATURE:

43 CFR 12 and OMB Circulars. These documents may also be obtained by contacting the Bureau of Reclamation Office listed below.

Regional or Local Office:

See Regional Agency Offices. <http://www.usbr.gov/main/regions.html>.

Headquarters Office:

Wilson Orvis Bureau of Reclamation, Acquisition and Assistance Management Division, Mail Code 84-27850, P.O. Box 25007, Denver Federal Center, Denver, Colorado 80225 Email: worvis@usbr.gov Phone: (303)445-2444 Fax: (303)445-6344

Website Address:

<http://None>.

RELATED PROGRAMS:

15.507 Water 2025

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Integrating Bubble, Sound, and Light Modeling for Modeling Fish Deterrence, Predicting the Interactions between flow, sediment and riparian vegetation, and Climate Change Research Modeling. Fiscal Year 2013: Information not available. Fiscal Year 2014: Information not available.

CRITERIA FOR SELECTING PROPOSALS:

Specific evaluation criteria will be included in the funding announcements posted on www.grants.gov.

**15.562 DIXIE VALLEY WATER EXPORT STUDY
FEDERAL AGENCY:**

Bureau of Reclamation, Department of the Interior

AUTHORIZATION:

Public Law 107-171 (Section 2507) and 110-161, Sec. 204, Consolidated Appropriations Act, 2008, (Continuing Resolution) Division C - Energy and Water Development and Related Agencies Appropriations Act, 2008.

OBJECTIVES:

To study and prepare plans for the development and construction of a pipeline to convey water from Dixie Valley to Churchill County, Nevada.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Notwithstanding any other provision of law, of amounts made available under section 2507 of the Farm Security and Rural Investment Act of 2002 (43 U.S.C. 2211 note; Public 107-171), the Secretary of the Interior, acting through the Commissioner of Reclamation, shall Use, in consultation with the Corps of Engineers, as applicable, \$5,000,000 to study and prepare plans for the development and construction of a pipeline to convey water from Dixie Valley to Churchill County, Nevada.

Applicant Eligibility:

Churchill County, NV.

Beneficiary Eligibility:

Water users in the Dixie Valley, Churchill County, NV area.

Credentials/Documentation:

Not applicable. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Environmental compliance for this program may be required depending on the scope of the specific project funded. This may result in the need for an environmental impact assessment or environmental impact statement prior to the commencement of project activities. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Notice of Intent to Award funds under this program will be announced on www.grants.gov when applicable.

Award Procedure:

For cooperative agreements awarded under Public Law 107-171 (Section 2507) and 110-161, Sec. 204, Consolidated Appropriations Act, 2008, (Continuing Resolution) DIVISION C - ENERGY AND WATER DEVELOPMENT AND RELATED AGENCIES APPROPRIATIONS ACT, 2008, all Federal rules, regulation, and agency specific guidelines for awarding procedures will be followed.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Varies depending on the type and complexity of the project. Further information will be available for each specific project at the time the notice of intent to award announcement is posted on www.grants.gov and may be obtained by contacting the Bureau of Reclamation personnel listed as the point of contact in the announcement.

Appeals:

None. Final awards decisions are not to appeal; however, the Bureau of Reclamation will provide all applicants with information on why their proposals were not selected for award.

Renewals:

Projects may generally be renewed on an annual basis, not to exceed a total of five years. If renewals or extensions are applicable to the project, this information will be included in the funding opportunity announcement. When renewals or extensions are applicable, continuation of funding for these activities is at the discretion of Congress and will be subject to availability of

appropriated funds.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

5 years. See the following for information on how assistance is awarded/released: Payment terms vary by agreement awarded under this program.

Reports:

Unless otherwise stated in the agreement document, recipients shall submit the following reports on an annual and a final report, the Program Performance Reports. Also, other reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. Cash reports are not applicable. Progress reports are not applicable. An annual and a final SF-425, Federal Financial Report. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

State, local and Indian Tribal governments shall maintain project records in accordance with 43 CFR 12. All other recipients shall maintain project records in accordance with 43 CFR 12.953.

Account Identification:

14-0680-0-1-301.

Obligations:

(Cooperative Agreements) FY 12 \$0; FY 13 est \$0; and FY 14 est \$0

Range and Average of Financial Assistance:

Range is \$1,000,000 to \$5,000,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: The Dixie Ground Water Export Study will determine how much water is available for export from Dixie Valley, and evaluate the feasibility of conveying the water to various points of use within Churchill County, Nevada. It is to be completed in three phases over a 5 year period, beginning in early 2009, concluding in 2014. The first phase includes data collection/analysis to develop an understanding of the study area's hydrogeologic framework, ground-water flow, and water quality. The second phase will include development of ground-water models for estimating hydrologic impacts and analysis of associated economic and environmental impacts. The third phase will include pipeline design and cost estimating activities. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

43 CFR 12 and OMB Circulars. These documents may also be obtained by contacting the Bureau of Reclamation Office listed below.

Regional or Local Office:

None. Bureau of Reclamation, Financial Assistance Services 84-27850, P.O. Box 25007, Denver Federal Center, Denver, CO 80225.

Headquarters Office:

Director Bureau of Reclamation, Financial Assistance Services 84-27850, P.O. Box 25007, Denver Federal Center, Denver, Colorado 80225 Phone: 000-000-0000

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: The primary purpose of this project is to establish a cooperative effort for the purpose of providing financial assistance to Churchill County for its participation in the Dixie Ground Water Export Study. The primary beneficiary is the State of Nevada and Churchill County. Submittals required consist of standard monitoring reports tracking the progress of the recipient in the project. The study will determine how much water is available for export from Dixie Valley, and evaluate the feasibility of conveying the water to various points of use within Churchill County, Nevada. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Specific evaluation criteria will be included in the notice of intent to award announcement posted on www.grants.gov.

15.563 SUISUN MARSH PRESERVATION AGREEMENT

(SMPA)

FEDERAL AGENCY:

Bureau of Reclamation, Department of the Interior

AUTHORIZATION:

Coordinated Operations Agreement, Suisun Marsh Preservation Agreement; and Small Reclamation Project Act Amendments (Act of October 27, 1986, Public Law 99-546, Title II, Sections 201-204).

OBJECTIVES:

Execute and implement the agreement between the Department of Interior, the State of California, and the Suisun Resource Conservation District to ensure a dependable water supply of adequate quantity and quality for the protection and preservation of Suisun Marsh fish and wildlife habitat in accordance with PL 99-546, State and Water Resources Control Board Decision 1641, and the Revised Suisun Marsh Preservation Agreement.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Reclamation's mandated share of the associated cost of SMPA activities, including operation and maintenance of State facilities (including Suisun Marsh Salinity Control Gates, Roaring River Distribution System, Morrow Island Distribution System, and Goodyear Outfall) to meet channel water salinity standards; implementation of water manager, portable pumps, individual ownership adaptive habitat management plans, and drought response programs; water and biological monitoring programs to meet established objectives and fulfill regulatory requirements; and provision of wetlands mitigation in accordance with applicable regulatory permits and biological opinions required for activities in the Marsh, as further detailed in the SMPA.

Applicant Eligibility:

Per P.L. 99-546 and the SMPA limits the applicant to the State of California.

Beneficiary Eligibility:

State of California and individual landowners within the Suisun Marsh as represented by Suisun Resource Conservation District.

Credentials/Documentation:

Not applicable. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. The applicant must submit SF-424 Application for Federal Assistance documents; detailed project technical descriptions and budgets; and all information required under the terms of the applicable appropriations law.

Award Procedure:

Bureau of Reclamation personnel will review (1) the application package to ensure that all required documents are complete, accurate, and satisfy all provisions of applicable law, (2) the project budgets and description to ensure the appropriation language project requirements are met, and (3) the project

descriptions for technical issues and consistency with applicable policies, and budgets for appropriateness of cost estimates. After the application package is acceptable to both parties, a cooperative agreement is drafted, reviewed and signed by both parties.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Approximately 60 days.

Appeals:

Not Applicable.

Renewals:

Funding is available until all currently appropriated funds have been expended for this program. Additional funding for the program is at the discretion of Congress. Any request for a time extension must be in writing in accordance with the provisions stated in the agreement.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: The costs implementing the SMPA are specified in Section 202, Title II, of P.L. 99-546. The costs will be shared by the Bureau of Reclamation and the California Department of Water Resources in strict accordance with SMPA: Provided, That - (a) payments made by the Secretary of the Interior shall not exceed 40 percent of the construction costs incurred under articles 6, 7, and 8 of the agreement, or \$50,000,000, whichever is less, plus or minus such amounts are justified by reason of ordinary fluctuations in construction costs as indicated by engineering cost indices applicable to the types of construction involved therein; and, (b) the Federal share of continuing annual operation and maintenance costs, including monitoring, shall not exceed 40 percent of the actual operation and maintenance costs. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The time periods will be determined during the application and negotiation process. See the following for information on how assistance is awarded/released: Payment terms vary by agreement awarded under this program.

Reports:

Unless otherwise stated in the agreement document, recipients shall submit on an annual and final basis Program Performance Reports. Also, other reports that maybe applicable to the agreement such as property inventories, and patent and invention disclosures. Cash reports are not applicable. Progress reports are not applicable. Recipients submit an annual and final SF-425, Federal Financial Report. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

State, local and Indian Tribal governments shall maintain project records in accordance with 43 CFR 12.82. All other recipients shall maintain project records in accordance with 43 CFR 12.953.

Account Identification:

14-0680-0-1-301.

Obligations:

(Cooperative Agreements) FY 12 \$0; FY 13 est \$1,430,000; and FY 14 est \$1,474,000

Range and Average of Financial Assistance:

Range is \$0 to \$1,430,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices. Becky Victorine, Natural Resource Specialist, Bureau of Reclamation, Bay-Delta Area Office, 801 I Street, Ste 140, Sacramento, CA 95814. Phone: 916-414-2429; Email: rvictorine@usbr.gov.

Headquarters Office:

Becky Victorine Bureau of Reclamation, Bay-Delta Area Office, 801 I Street, Ste 140, Sacramento, California 95814 Email: rvictorine@usbr.gov Phone: 916-414-2429

Website Address:

<http://www.usbr.gov/mp>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The scopes of work must be compatible with the authorizing legislation and SMPA.

15.564 CENTRAL VALLEY PROJECT CONSERVATION PROGRAM (CVPCP)

FEDERAL AGENCY:

Department of the Interior

AUTHORIZATION:

Fish and Wildlife Coordination Act, as amended, 16 U.S.C. Section 661 et seq, of 1956.

OBJECTIVES:

Provide financial support for activities to benefit federally listed endangered and threatened species to compensate for impacts to species resulting from the operation and maintenance of the Central Valley Project, including helping to meet the requirements of State Water Resources Control Board Decision 1641 for the CVP Consolidated Place of Use.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Funds may be used for land acquisition, habitat restoration, research, and captive propagation of listed species. Funds must be applied to projects located within a specified geographic area centered on the Central Valley of California. Cannot fund activities required as regulatory mitigation. Projects must directly benefit at least one federally listed species.

Applicant Eligibility:

Any person(s) without regard to specified eligibility criteria.

Beneficiary Eligibility:

Not applicable.

Credentials/Documentation:

Not applicable. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Environmental compliance for this program may be required depending on the scope of the specific project funded depending on the scope of the specific project funded. This may result in the need for an environmental impact assessment or environmental impact statement prior to the commencement of project activities. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Funding announcements for this program, along with registration procedures, application packages and instructions, points of contact, and procedures for submitting applications will be available on www.grants.gov.

Award Procedure:

Financial Assistance Grants Officer determines awards based on recommendations from the program manager.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Varies depending on the type and complexity of the project. Further information will be available for each specific project at the time the funding opportunity announcement is posted on www.grants.gov and may be obtained by contacting the Bureau of Reclamation personnel listed as the point of contact in the funding announcement.

Appeals:

Final award decisions are not subject to appeal; however, the Bureau of Reclamation will provide all applicants with information on why their proposals were not selected for award.

Renewals:

Projects may generally be renewed on an annual basis, not to exceed a total of 5 years. If renewals or extensions are applicable to the project, this information will be included in the funding opportunity announcement. When renewals or extensions are applicable, continuation of funding for these activities is at the discretion of Congress and will be subject to availability of appropriated funds.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The period of time of assistance varies by project, depending on project objectives. Assistance is released on a reimbursement basis. See the following for information on how assistance is awarded/released: Payment terms vary by agreement awarded under this program.

Reports:

Unless otherwise stated in the agreement document, recipients shall submit an annual and final Program Performance Report. Other specific reports that maybe applicable to the agreement are property inventories, and patent and invention disclosures. Cash reports are not applicable. Progress reports are not applicable. An annual and final SF-425, Federal Financial Report is required. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

State, local and Indian Tribal governments shall maintain project records in accordance with 43 CFR 12.82. All other recipients shall maintain project records in accordance with 43 CFR 12.953.

Account Identification:

14-0680-0-1-301.

Obligations:

(Project Grants) FY 12 \$1,858,815; FY 13 est \$1,250,000; and FY 14 est \$1,532,000

Range and Average of Financial Assistance:

The range is \$25,000 to \$1,000,000; Average \$371, 763.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: 21 proposals received for a total request of \$6,607,863. 5 assistance awards were given to acquire 1,615 acres of habitat for endangered species, restore 198 acres of riparian woodland, and conduct research and

captive propagation for three endangered plant species. Fiscal Year 2013: 22 proposals were received for a total request of \$7,389,190. It is estimated that 7 assistance awards will be given to acquire 2,000 acres of habitat for endangered species, restore habitat for endangered species, and conduct research on three endangered species. Fiscal Year 2014: It is estimated that 7 assistance awards will be given to acquire 3,000 acres of habitat for endangered species, and conduct research on three endangered species.

REGULATIONS, GUIDELINES, AND LITERATURE:

43 CFR 12 and OMB Circulars. These documents may be obtained by contacting the Bureau of Reclamation Office listed below.

Regional or Local Office:

See Regional Agency Offices. Division of Environmental Affairs.

Headquarters Office:

Assistant Secretary of the Interior for Water and Science 1849 C Street, N.W., Mail Stop MIB6640, Washington, District of Columbia 20240 Email: rswanson@uc.usbr.gov Phone: (202) 208-3100 Fax: (202) 208-3100

Website Address:

<http://www.usbr.gov/mp/cvpcp/>

RELATED PROGRAMS:

15.512 Central Valley Project Improvement Act, Title XXXIV

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Stubblefield Ranch Conservation Easement; Dos Rios Ranch Riparian Restoration; Large-flowered Fiddleneck Captive Propagation; Metcalf Canyon Jewelflower Research; Tiburon Paintbrush Research. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Specific evaluation criteria will be included in the funding announcements posted on www.grants.gov.

15.605 SPORT FISH RESTORATION PROGRAM

Dingell-Johnson Sport Fish Restoration Program

FEDERAL AGENCY:

Fish and Wildlife Service, Department of the Interior

AUTHORIZATION:

Dingell-Johnson Sport Fish Restoration Act of 1950, 64 Stat. 430, as amended 16 U.S.C. 777-777n; and 50 CFR Part 80-Administrative Requirements, Pittman Robertson Wildlife Restoration and Dingell-Johnson Sport Fish Restoration Act amended July 24, 2008.

OBJECTIVES:

Sport Fish Restoration funds support activities designed to restore, conserve, manage, or enhance sport fish populations; the public use and benefits from these resources; and activities that provide boat access to public waters. Sport fisheries research and management activities; boating access development and maintenance; aquatic resource education activities; lake construction and maintenance; land acquisition; technical assistance; planning; habitat enhancement; administration; coordination; and hatchery construction are eligible under the Act.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

Approvable activities include land acquisition; development (including boating access sites); research; operations and maintenance; sport fish population management; and program coordination. Law enforcement and public relations are not eligible under the Act.

Applicant Eligibility:

Agencies from the 50 States, the District of Columbia, the Commonwealths of Puerto Rico and the Northern Mariana Islands, and the territories of Guam, the U.S. Virgin Islands, and American Samoa with primary responsibility for fish and wildlife conservation may submit grant proposals to the U.S. Fish and Wildlife Service. To be eligible, they must pass assent legislation to the provisions of the Act for the conservation of sport fish that includes a prohibition against the diversion of license fees paid by anglers for any purpose

other than the administration of the fish and wildlife agency.

Beneficiary Eligibility:

General Public (While direct participation is limited to fish and wildlife agencies, the public will ultimately benefit from these fishery conservation measures.).

Credentials/Documentation:

Each year within 60 days of the apportionment notice, States, the District of Columbia, Commonwealths, and territories must notify the Secretary of the Interior that they want to participate in the program for the year. The State, the District of Columbia, Commonwealth, or territorial fish and wildlife Director must furnish a certification of the number of paid angler license holders. Allowable costs are determined in accordance with 43 CFR Part 12, Subpart C, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments." OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

An applicant should consult the office or official designated as the single point of contact in his or her State, the District of Columbia, Commonwealth, or territory for more information on this process when applying for assistance if the State has selected the program for review. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Applicant must complete the Standard Form (SF) 424, and the appropriate Budget and Assurances forms (SF 424A and SF 424B Non-construction; or SF 424C and SF 424D construction). In addition, applicants will submit a grant proposal that includes a narrative statement describing the need, objectives, benefits, approach, and estimated cost for the proposed grant along with the standard application forms furnished by the Federal agency and required by 43 CFR Part 12, Subpart C, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments" and the Fish and Wildlife Service Manual. For further instructions and forms go to <http://wsfrprograms.fws.gov/subpages/toolkitfiles/toolkit.pdf> or www.grants.gov.

Award Procedure:

The Regional Director of the U.S. Fish and Wildlife Service or his or her designee approves or disapproves proposed grants. Regional Offices are responsible for notification of grant approval to the grantee.

Deadlines:

Aug 31, 2014 Grant application packages are due to a Regional Wildlife and Sport Fish Restoration (WSFR) Office no later than August 31, 2014.

Range of Approval/Disapproval Time:

Approximately 30 days.

Appeals:

Regional Directors will consider differences of opinion concerning the eligibility of proposals. Final determination rests with the Director of the U.S. Fish and Wildlife Service.

Renewals:

Grantees may renew projects on an annual basis if justifiable and if funds are available.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: Matching requirements are up to 25 percent of grant. Grant funds may be disbursed to States up to 75 percent of the total cost. Grant funds may be disbursed from 75 to 100 percent of the total project costs to the District of Columbia, Commonwealths of Puerto Rico, Northern Mariana Islands and the territories of Guam, the U.S. Virgin Islands, and American Samoa.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Sport Fish Restoration funds are available for a period of two years. Any funds not obligated within two years by a State, the District of Columbia, Commonwealth, or territorial fish and wildlife agency will revert to the U.S. Fish and Wildlife Service and placed back into the Sport Fish Restoration and Boating Trust Fund for obligation to States, the District of Columbia, Commonwealths, or territories in the following year's apportionment. See the following for information on how assistance is awarded/released: Funds are disbursed to recipients as requested and in accordance with the payment methods prescribed in 43 CFR Part 12, or as otherwise prescribed in program-specific legislation.

Reports:

A Performance Report is required for each grant award annually within 90 days after the anniversary date or end of the grant. Cash reports are not applicable. Contact the headquarters or regional office, as appropriate, for further information. A Federal Financial Report SF 425 is required for each grant award annually within 90 days after the anniversary date or end of the grant. Recipients are responsible for monitoring and reporting performance of each award and sub-award under this program in accordance with 43 CFR Part 12 and 2 CFR Part 170.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Cost records must be maintained separately for each grant. Records, accounts, and supporting documents must be retained for 3 years after submission of the final Federal Financial Report.

Account Identification:

14-8151-0-7-303.

Obligations:

(Formula Grants) FY 12 \$349,763,692; FY 13 est \$355,000,000; and FY 14 est \$360,000,000

Range and Average of Financial Assistance:

Range is \$900,000 to \$14,700,000; Average \$5,200,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: There were approximately 1,159,993 students/participants/educators trained in Aquatic Education; nearly 247 million fish stocked; States constructed 212 boating access sites and renovated 189 boating access sites; and conducted 1,125 SFR Research Projects. Approximately 625 applications were received and 625 were funded. Fiscal Year 2013: Over 1,000,000 students/participants/educators will be trained in Aquatic Education, approximately 250,000,000 fish will be stocked, approximately 200 boating access sites will be constructed and 190 renovated, and over 1,000 research and survey projects will be conducted. The program anticipates receiving over 600 applications and funding over 600. Fiscal Year 2014: The Program anticipates that over 1,000,000 students/participants/educators will be trained in Aquatic Education, approximately 250,000,000 fish will be stocked, approximately 200 boating access sites will be constructed and 190 renovated, and over 1,000 research and survey projects will be conducted. The program anticipates receiving over 600 applications and funding over 600.

REGULATIONS, GUIDELINES, AND LITERATURE:

50 CFR 80 and Fish and Wildlife Service Manual. Matching and cost-sharing requirements are discussed in 50 CFR 80.12, 43 CFR 12.64 and 43 CFR 12.923. Applicants can visit these regulations and guidelines at <http://wsfrprograms.fws.gov/subpages/toolkitfiles/toolkit.pdf>.

Regional or Local Office:

See Regional Agency Offices. See Catalog Appendix IV for addresses of

Regional U.S. Fish and Wildlife Service Offices.

Headquarters Office:

Director, Policy and Program, Fish and Wildlife Service, Wildlife and Sport Fish Restoration Program - Policy and Programs, 4401 North Fairfax Drive, WSFR-4020, Arlington, Virginia 22203 Phone: (703) 358-2156.

Website Address:

<http://wsfrprograms.fws.gov/>.

RELATED PROGRAMS:

11.407 Interjurisdictional Fisheries Act of 1986; 15.608 Fish and Wildlife Management Assistance; 15.611 Wildlife Restoration

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: The California Department of Fish & Game (Department) Aquatic Education Program is divided into five projects; Conservation Education, Classroom Aquarium Education, Fishing-in-the-City, Interpretive Services and Mobile Fish Exhibit. The Departments Program is designed to broaden public awareness and create stewards of Californias vital aquatic ecosystems.

The Kentucky Department of Fish and Wildlife Resources continued to conduct surveys of the state's public fishing lakes and major reservoirs and tail waters to gather information on water quality, fish population status, and fishing results that shape management strategies; to conduct various individual studies; perform habitat enhancement work; rear and stock fish as needed; operate and maintain district offices; and provide technical guidance to assure resources meet the state's recreational fishing public needs.

The Nevada Department of Wildlifes Aquatic/Angler Education program focused on employing different strategies and approaches (new classes and clinics, lapsed angler outreach, species specific seminars, etc.), aimed at recruiting new anglers and retaining existing current or lapsed anglers. Fiscal Year 2013: The Florida Fish and Wildlife Conservation Commission will continue to collect important data that was used by fisheries managers to determine trends in relative abundance of juvenile and adult sport fishes. Age, size, and sex composition data will be obtained for management of important recreationally-caught species, primarily hogfish, grouper, and snapper. Monitoring the adult fishes enables the stock assessment group to estimate the status of the fishable stocks.

The California Department of Fish and Game will continue its Anadromous Sport Fish Management and Research project. The project will conduct habitat mapping for Coho salmon and steelhead populations on coastal streams of San Mateo and Santa Cruz counties, as well as the Pajaro River watershed. A priority list of habitat restoration projects will be developed. Restoration partnerships will be developed. Baseline life-history studies on fishes in the Butte and Big Chico Creeks will be conducted.

The Vermont Fish and Wildlife Departments aquatic resource education program will continue to aim to increase understanding and support of land conservation issues, facilitate a land stewardship ethic in Vermont citizens and support responsible fish and wildlife-based recreation, consistent with resource management goals. This will be accomplished through the Green Mountain Conservation Camps Program, which provides hands-on training in watershed and wetland function as well as information regarding fishing and fisheries. The program provides teacher training through Aquatic WILD workshops and a summer Teachers' Course and angler education including family clinics on fishing skills, ethics and aquatic ecology. The educational experience will enable new and existing anglers to enjoy sport fish resources in a safe and responsible way. Fiscal Year 2014: Program has not yet selected projects for funding to date. Program anticipates funding projects that increase sport fishing and boating opportunities through wise investment of excise tax dollars in sport fishery development and management projects.

CRITERIA FOR SELECTING PROPOSALS:

The State, the District of Columbia, Commonwealth, or territorial agency having lead responsibility for the management of their fish resources must submit the projects. The State, the District of Columbia, Commonwealth, or territorial agency selects those projects submitted for funding under the

program. If approved, projects must meet the basic criteria outlined in the regulations and the Fish and Wildlife Service Manual.

15.608 FISH AND WILDLIFE MANAGEMENT ASSISTANCE

FEDERAL AGENCY:

Fish and Wildlife Service, Department of the Interior

AUTHORIZATION:

Fish and Wildlife Act of 1956, as amended, 16 U.S.C. 742a-742j; Fish and Wildlife Coordination Act of 1958, 16 U.S.C. 661-666; Sikes Act of 1974, as amended, 16 U.S.C. 670(a)-670(o); Alaska National Interest Lands Conservation Act, 16 U.S.C. 3101; Marine Mammal Protection Act of 1972, Public Law 92-522, as amended, 16 U.S.C. 1361-1421; Fish and Wildlife Conservation Act of 1980; Nonindigenous Aquatic Nuisance Prevention and Control Act of 1990, as amended, 16 U.S.C. 4701-4741; the Lacey Act (18 U.S.C. 42; 50 CFR 16).

OBJECTIVES:

To provide technical and financial assistance to other federal agencies, states, local governments, native American tribes, non-governmental organizations, citizen groups, and land owners on the conservation and management of fish and wildlife resources, including minimizing the establishment, spread, and impact of aquatic invasive species. This includes co-management of marine mammals by Alaskan natives for subsistence use.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Funds may be used to conduct fish and wildlife management activities that align with the conservation, restoration, and management goals and priorities of the Fish and Wildlife Conservation Offices. This includes goals and priorities identified by the National Fish Passage Program (NFPP) and individual partnerships under the National Fish Habitat Partnership (NFHP). Restoration work can consist of habitat construction activities such as culvert replacements, dam removals, fishway construction, installation of fish habitat structures and vegetation plantings. Examples of funded activities include habitat restoration (stream improvements or deconstruction of barriers to increase quality of aquatic habitats), monitoring and assessment, removal of barriers to passage, fish propagation, and aquatic plant establishment. This also includes efforts to minimize the establishment, spread, and impact of aquatic invasive species, including those efforts conducted under the auspices of the State/Interstate Aquatic Nuisance Species (ANS) Management Plan Grant Program. Technical assistance in the form of advice on biological, chemical, and/or physical aspects of a project is also available to awardees. Awardees are expected to include a public outreach component in their project. Applicants applying for State/Interstate ANS Management Plan funds must be a State or Interstate organization with an ANS Task Force approved plan.

Applicant Eligibility:

Applicants may be other federal agencies, state agencies, local governments, native American organizations, interstate, Intrastate, public nonprofit institution/organization, other public institution/organization, private nonprofit/organization, or any other organization subject to the jurisdiction of the United States with interests that support the mission of the Service on a cost recoverable basis.

Beneficiary Eligibility:

Federal agencies, state agencies, local governments, Native Americans, Interstate, Intrastate, public nonprofit institution/organization, other public institution/organization, private nonprofit/organization, or any other organization subject to the jurisdiction of the United States with interests that support the mission of the Service on a cost recoverable basis.

Credentials/Documentation:

There must be factual evidence of the need for management assistance. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Applicants must have (or obtain) a DUNS number and EIN/TIN to apply for funding.

If a proposed project will cause a significant environmental impact, then an environmental impact statement should be prepared and provided with the application.

Applicants must provide documentation detailing the findings of an environmental impact assessment for sites where proposed habitat improvements will occur as part of the application process for NFHP funding. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. An approved State ANS Management Plan is needed for the State ANS Management Plan Grant program. See www.Grants.gov for additional information and application procedures. To apply for NFHP funds, applicants must contact the appropriate Fish Habitat Partnership or visit www.Grants.gov for application procedures and requirements.

Award Procedure:

After considering program commitments and obligations, grant or cooperative agreement recipients will generally be informed by electronic correspondence via email.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Awards are approved annually. Unfunded projects that are ranked highly by the Regional Directors of the 8 USFWS Regions are maintained in a FWS database until the project is funded or no longer viable.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. The State ANS Management Plan Grant Program requires a 25% match by the States. The National Fish Passage Program and National Fish Habitat Partnership do not have matching requirements, however; partner contributions of at least 50% of project costs are encouraged. The extent of partner contributions may be a factor in selection of projects to be funded.

This program does not have MOE requirements. None.

Length and Time Phasing of Assistance:

Annual awards. See the following for information on how assistance is awarded/released: Program obligates funds and sends a notice of award to successful applicants. Recipients request funds in accordance with 43 CFR Part 12, unless otherwise dictated by program-specific legislation or special award terms. Program will include any special payment terms and conditions in the notice of award.

Reports:

See award notice for reporting requirements and procedures. Cash reports are not required; however, cash reports may be required for some awardees. Refer to the award notice for cash reporting requirements and procedures. Recipients must submit a final performance report no later than 90 calendar days after the award end date. Recipients of awards with performance periods longer than 12 months will be required to submit interim reports. Program may require recipients to submit interim reports annually, semiannually, or quarterly. Program will detail all performance reporting requirements, including frequency and due dates, in the notice of award letter. Recipients must report expenditures using the SF 425, Federal Financial Report form. Recipients must submit a final report no later than 90 calendar days after the award end date.

Recipients of awards with performance periods longer than 12 months will be required to submit interim reports. Program may require recipients to submit interim reports annually, semiannually, or quarterly. Program will detail all financial reporting requirements, including frequency and due dates, in the notice of award letter. Recipients are responsible for monitoring performance for each award and sub-award under this program in accordance with 43 CFR Part 12.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grants awarded to state and local governments will be maintained in accordance with the provisions of 43 CFR Part 12, Subpart C, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments." Records for grants awarded to institutions of higher education and other nonprofit organizations will be maintained in accordance with the provisions of 43 CFR Part 12, Subpart F, "Uniform Administrative Requirements for Grants and Agreements With Institutions of Higher Education, Hospitals, and Other Nonprofit Organizations."

Account Identification:

14-1611-0-1-302.

Obligations:

(Project Grants (Discretionary)) FY 12 \$1,000,000; FY 13 est \$1,000,000; and FY 14 est \$0 - State ANS Management Plan Grants: FY12 \$1 million; FY13 \$1 million; FY14 \$0. (Project Grants (Discretionary)) FY 12 \$3,700,000; FY 13 est \$3,500,000; and FY 14 est \$3,500,000 - National Fish Habitat Partnership: FY12 \$3.7 million; FY13 \$3.5 million; FY14 \$3.5 million. (Project Grants (Discretionary)) FY 12 \$11,300,000; FY 13 est \$11,300,000; and FY 14 est \$12,800,000 - National Fish Passage Program: FY12 \$11.3 million, FY13 \$11.3, FY 14 12.8 million.

Range and Average of Financial Assistance:

Range is \$1,000 to \$750,000; Average \$75,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: 38 State Aquatic Invasive Species programs supported. Fiscal Year 2013: 200 barriers removed/bypassed to fish passage; 2,000 stream miles opened to fish passage; 15,000 acres opened to fish passage and 700 habitat assessments completed; up to 41 State/Interstate AIS Programs will be supported. Fiscal Year 2014: No data available.

REGULATIONS, GUIDELINES, AND LITERATURE:

See Fish Passage Policy 710 FW 1 (<http://www.fws.gov/policy/710FW1.html>) and the National Fish Habitat Action Plan Policy (<http://www.fws.gov/policy/717fw1.html>). Annual reports, special reports, and Fish and Wildlife Management Plans are also available at www.fws.gov/fisheries.

Regional or Local Office:

See Regional Agency Offices. See Catalog Appendix IV for addresses.

Headquarters Office:

Jarrad Kosa Fish and Wildlife Service, Fisheries and Aquatic Restoration Conservation, Branch of Fish and Wildlife Management Assistance, 4401 North Fairfax Drive Room 770, Arlington, Virginia 22203 Email: jarrad_kosa@fws.gov Phone: (703) 358-2542.

Website Address:

[HTTP://fisheries.fws.gov](http://fisheries.fws.gov).

RELATED PROGRAMS:

15.605 Sport Fish Restoration Program; 15.631 Partners for Fish and Wildlife

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: 1) Clear Creeks Stream Habitat Restoration in NY. The project used natural channel design approaches to restore stream habitat and

floodplain function along 2,000 linear feet of Clear Creek.

- 2) A multi-cell treatment system including a sediment basin, wetland marsh/forested treatment area, and lower aquatic environment cells was constructed to reduce nutrient and sediment delivery to McKinley Lake.
- 3) Woody and rock structure will be added to Smith Lake, MO to stabilize eroding shoreline and replace standing timber that has deteriorated since reservoir impoundment. Fiscal Year 2013: The State/Intestate ANS Management Plan Grant program anticipates providing support for approximately 41 State/Interstate aquatic invasive species programs in 2013, which includes supporting the salaries of State AIS Coordinators, a wide array of education and outreach activities, and activities to minimize the establishment, spread, and impact of aquatic invasive species; activities depend on the recommendations prescribed in the states ANS Management plan. Fiscal Year 2014: The National Fish Passage Program is a non-regulatory initiative that provides financial and technical assistance. The program anticipates funding projects that aid in the removal or bypass of artificial barriers that impede the movement of fish and contribute to their declining numbers.

National Fish Habitat Partnership has not yet selected projects for funding. Program anticipates funding projects that protect, restore and enhance the habitats of the Nations marine and freshwater fish populations.

CRITERIA FOR SELECTING PROPOSALS:

See 710FW1 Fish Passage Policy <http://www.fws.gov/policy/710FW1.html>.

15.611 WILDLIFE RESTORATION AND BASIC HUNTER EDUCATION

(Pittman-Robertson Wildlife Restoration Program)

FEDERAL AGENCY:

Fish and Wildlife Service, Department of the Interior

AUTHORIZATION:

Pittman-Robertson Wildlife Restoration and Dingell-Johnson Sport Fish Restoration Acts, Title 50, Part 80; Pittman-Robertson Wildlife Restoration Act of 1937, 50 Stat. 917 as amended; 16 U.S.C. 669-669k.

OBJECTIVES:

The Act provides grants to State, Commonwealth, and territorial fish and wildlife agencies for projects to restore, conserve, manage, and enhance wild birds and mammals and their habitat. Projects also include providing public use and access to wildlife resources; hunter education and safety; and the development and management of shooting ranges.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Approved activities include selection, restoration, rehabilitation, and improvement of wildlife habitat; wildlife management research; wildlife population surveys and inventories; land acquisition; coordination; development of facilities; facilities and services for conducting hunter safety. Law enforcement and public relations are not eligible under the Act.

Applicant Eligibility:

Agencies from the 50 States, the Commonwealths of Puerto Rico and the Northern Mariana Islands, and the territories of Guam, the U.S. Virgin Islands, and American Samoa with primary responsibility for fish and wildlife conservation may submit grant proposals to the Fish and Wildlife Service. To be eligible, they must pass assent legislation to the provisions of the Act for conservation of wildlife that includes a prohibition against the diversion of license fees paid by hunters for any other purpose than the administration of the fish and wildlife agency.

Beneficiary Eligibility:

General Public (While direct participation is limited to fish and wildlife agencies, the general public will ultimately benefit from these wildlife conservation measures.)

Credentials/Documentation:

States, Commonwealths, or territories must notify the Secretary of the desire to participate annually. The State, Commonwealth, or territorial fish and wildlife Director must furnish a certification of the number paid hunting-license holders.

Allowable costs are determined in accordance with 43 CFR Part 12, Subpart C, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments." OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

An applicant should consult the office or official designated as the single point of contact in his or her State, Commonwealth, or territory for more information on this process when applying for assistance if the State has selected the program for review. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Applicant must complete the Standard Form (SF) 424, and the appropriate Budget and Assurances forms (SF 424A and SF 424B Non-construction; or SF 424C and SF 424D construction). In addition, applicants will submit a grant proposal that includes a narrative statement describing the need, objectives, benefits, approach, and estimated cost for the proposed grant along with the standard application forms furnished by the Federal agency and required by 43 CFR Part 12, Subpart C, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments" and the Fish and Wildlife Service Manual. For further instructions and forms go to <http://wsfrprograms.fws.gov/subpages/toolkitfiles/toolkit.pdf> or www.grants.gov.

Award Procedure:

The Regional Director of the Fish and Wildlife Service or his designee approves or disapproves proposed grants. Regional Offices are responsible for notification of grant approval to the grantee.

Deadlines:

Aug 31, 2014 Grant application packages are due to a Regional Wildlife and Sport Fish Restoration (WSFR) Office no later than August 31, 2014.

Range of Approval/Disapproval Time:

Average 30 days.

Appeals:

Regional Directors will consider differences of opinion concerning the eligibility of proposals. Final determination rests with the Director of the Fish and Wildlife Service.

Renewals:

Grantees may renew projects on an annual basis if justifiable and if funds are available.

Formula and Matching Requirements:

Statutory Formula: Public Law 16 U.S.C. 669b. The program is funded by a permanent appropriation from revenues collected from taxes on bows, arrows, archery equipment, sporting firearm, ammunition, handguns, pistols, and revolvers. The revenues are deposited in the Federal Aid to Wildlife Restoration fund in the U.S. Treasury.

These funds are annually apportioned according to the formula prescribed by the Act: 50 percent based on land area of the State, Commonwealth, or territory and 50 percent based on paid hunting license holders; no State may receive more than 5 percent or less than one-half of 1 percent of the total apportionment; the Commonwealth of Puerto Rico is apportioned up to one-half of 1 percent; and Guam, U.S. Virgin Islands, American Samoa, and Commonwealth of Northern Mariana Islands each receive up to one-sixth of 1 percent of the total apportionment. Section 4(c) Hunter Education and Safety Program Funds are formula-based apportionment based on State population. No State may receive more than 3 percent or less than 1 percent of the total Hunter Education funds apportioned. Commonwealth of Puerto Rico, Guam, U.S. Virgin Islands, American Samoa, and the Commonwealth of Northern Mariana Islands receive up to one-sixth of 1 percent of the total apportionment. Matching Requirements: Matching requirements are up to 25 percent of grant.

Grant funds may be disbursed to States up to 75 percent of the total cost. Grant funds may be disbursed from 75 to 100 percent of the total project costs to the Commonwealths of Puerto Rico, Northern Mariana Islands and the territories of Guam, the U.S. Virgin Islands, and American Samoa. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Apportioned funds are available for obligation for a period of two years. Balances remaining unobligated after the period of availability revert to the U.S. Fish and Wildlife Service. Any funds not obligated within two years by a State, Commonwealth, or territorial fish and wildlife agency revert to the U.S. Fish and Wildlife Service and will be spent under the provision of the Migratory Bird Conservation Act. In addition, under the provisions of the Wildlife Restoration Act, the interest accumulated by Wildlife Restoration account is available to the North American Wetland Conservation program. See the following for information on how assistance is awarded/released: Funds are disbursed to recipients as requested and in accordance with the payment methods prescribed in 43 CFR Part 12, or as otherwise prescribed in program-specific legislation.

Reports:

A Performance Report is required for each grant award annually within 90 days after the anniversary date or end of the grant. Cash reports are not applicable. Contact the headquarters or regional office. A Federal Financial Report SF 425 is required for each grant award annually within 90 days after the anniversary date and/or end of the grant. Recipients are responsible for monitoring and reporting performance each award and sub-award under this program in accordance with 43 CFR Part 12 and 2 CFR Part 170.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Cost records must be maintained separately for each grant. Records, accounts, and supporting documents must be retained for 3 years after submission of the final Financial Status Report.

Account Identification:

14-5029-0-2-303.

Obligations:

(Formula Grants) FY 12 \$371,274,752; FY 13 est \$423,000,000; and FY 14 est \$417,247,000 - Wildlife Restoration. (Formula Grants) FY 12 \$71,107,348; FY 13 est \$75,000,000; and FY 14 est \$71,500,000 - Hunter Education and Safety.

Range and Average of Financial Assistance:

Range is \$268,000 to \$7,187,000; Average \$2,750,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: States trained approximately 450,000 students to be safe, responsible, conservation-minded hunters; improved wildlife habitat on 588,011 acres; and operated and maintained approximately 11,500,000 acres for wildlife species and recreational use. In FY12 the program received 660 applications and issued 660 awards. Fiscal Year 2013: States will train approximately 450,000 students to be safe, responsible, conservation-minded hunters; improve wildlife habitat on 575,000 acres; and operate and maintain approximately 11,500,000 acres for wildlife species and recreational use. In FY13 the program anticipates 650 applications and 650 awards. Fiscal Year 2014: States will train approximately 450,000 students to be safe, responsible, conservation-minded hunters; improve wildlife habitat on 575,000 acres; and operate and maintain approximately 11,500,000 acres for wildlife species and recreational use. In FY14 the program anticipates 650 applications and 650 awards.

REGULATIONS, GUIDELINES, AND LITERATURE:

50 CFR 80, Fish and Wildlife Service Manual, Matching and cost-sharing requirements are discussed in 50 CFR 80.12, 43 CFR 12.64, and 43 CFR

12.923. Applicants can visit these regulations and guidelines at <http://wsfrprograms.fws.gov/subpages/toolkitfiles/toolkit.pdf>.

Regional or Local Office:

See Regional Agency Offices. See Catalog Appendix IV for addresses of Regional U.S. Fish and Wildlife Service Offices.

Headquarters Office:

Policy and Programs, Fish and Wildlife Service, Wildlife and Sport Fish Restoration Program, 4402 North Fairfax Drive, WSFR-4020, Arlington, Virginia 22203 Phone: (703) 358-2156.

Website Address:

<http://wsfrprograms.fws.gov/>.

RELATED PROGRAMS:

15.605 Sport Fish Restoration Program; 15.615 Cooperative Endangered Species Conservation Fund; 15.626 Hunter Education and Safety Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: The Alaska Department of Fish and Game continued to fund projects to address the needs in research, survey, planning, management and coordination related to Alaska wildlife populations. These projects benefit over 96,000 hunting license buyers, approximately 600,000 state residents and over a million visitors. Wildlife is very important as a food resource for Alaskans. Planning, research and management provide for over 400,000 recreational days of hunting, allowing a harvest of over 61,000 big game animals plus numerous furbearers, small game and waterfowl. Over 50% of Alaskans and 69% of visitors observe or photograph wildlife. Assessing populations, understanding natural systems, protecting habitat, controlling disease, managing state refuges and disturbance, and monitoring harvest and other mortality are critical to maintaining this important resource.

The California Department of Fish & Game together with five other partners continue to develop, manage, and maintain over 35,000 acres of diverse habitats for over 550 wildlife and plant species that inhabit or migrate through the San Joaquin Valley Wildlife Habitat Development Area.

Connecticuts Department of Energy and Environmental Protection provided instruction in the safe use of hunting equipment, hunter responsibility, and knowledge of wildlife management via a trained corps of volunteer instructors. Approximately 5,000 students annually go through the Conservation Education/Firearm Safety, bow, and trapping programs. Fiscal Year 2013: The Colorado Division of Wildlife will continue to estimate post-hunt deer population size, sex and age ratios for deer across various parts of the state. Specifically, the Division will estimate annual doe, winter fawn and buck survival rates using radio-marked deer. In addition, the Division will estimate deer harvest and hunter recreation days across the State. The Division will also continue to maintain databases of all deer population data, deer harvest data, and deer licenses sold. Accomplishment of the objectives will help the Division continue to improve its ability to collect and manage deer populations.

Harvest data will help ensure sound management of Colorado's deer populations in accordance with herd management plans. The North Carolina Wildlife Resources Commission will work on a project to complete a comprehensive inventory of all game lands allocated to the agency for wildlife management. The project includes inventory of habitats, infrastructure (roads, gates, and bridges), public access, and associated needs. The Commission will also upgrade, renovate and improve infrastructure where needed to improve wildlife management and public use. Upgrades will be made to permanent fire lines, bridges and parking areas, as well as handicap accessible areas.

The Texas Parks and Wildlife Department will continue to provide technical assistance to land managers and other concerned individuals and organizations through seminars, demonstrations and individual contacts to assist them in the management, conservation, development, and enhancement of wildlife habitat in Texas. Providing technical assistance to land managers will promote cost effective wildlife management practices, counteract the effects of habitat loss and fragmentation, help prevent waste or depletion of wildlife resources, and provide increased opportunities for public recreation. Technical assistance targeted at conserving and improving wildlife populations and habitat on private lands will have long term positive results, especially in a state where 94

percent of the land is privately owned. Working with landowners to conserve key habitat types will benefit a diversity of game and nongame species including rare and declining plants and animals. Fiscal Year 2014: Program anticipates funding projects to restore, conserve, manage and enhance wild birds and mammals and their habitats; and provide hunter development and safety programs.

CRITERIA FOR SELECTING PROPOSALS:

The State, Commonwealth, or territorial agency having lead responsibility for the management of their wildlife resources must submit the projects. The State, Commonwealth, or territorial agency selects those projects submitted for funding under the program. If approved, projects must meet the basic criteria outlined in the regulations and the Fish and Wildlife Service Manual.

15.614 COASTAL WETLANDS PLANNING, PROTECTION AND RESTORATION ACT

National Coastal Wetlands Grants

FEDERAL AGENCY:

Fish and Wildlife Service, Department of the Interior

AUTHORIZATION:

Coastal Wetlands Planning, Protection and Restoration Act, Title III, Public Law 101-646, 16 U.S.C 3951-3956.

OBJECTIVES:

To provide competitive matching grants to coastal States for coastal wetlands conservation projects. The Coastal Wetlands Planning, Protection, and Restoration Act (Section 305, Title III, Public Law 101-646 U.S.C. 3954) established the National Coastal Wetlands Conservation Grant Program to acquire, restore, and enhance wetlands in coastal States.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Funds are available to coastal States for acquisition of interests in coastal lands or waters, and for restoration, enhancement or management of coastal wetlands ecosystems on a competitive basis. 100% of funds are discretionary and awarded on a competitive basis.

Applicant Eligibility:

Eligible applicants include any agency or agencies designated by the Governor of a coastal State. It is usually a State natural resource or fish and wildlife agency. Eligible coastal States are States bordering the Great Lakes (Illinois, Michigan, Minnesota, New York, Ohio, Pennsylvania, and Wisconsin); States bordering the Atlantic, Gulf (except Louisiana), and Pacific coasts (Alabama, Alaska, California, Connecticut, Delaware, Florida, Georgia, Hawaii, Maine, Maryland, Massachusetts, Mississippi, New Hampshire, New Jersey, New York, North Carolina, Oregon, Rhode Island, South Carolina, Texas, Virginia, and Washington); and American Samoa, Commonwealth of the Northern Mariana Islands, Guam, Puerto Rico, and the Virgin Islands.

Beneficiary Eligibility:

States, Commonwealths, or territories as designated in the applicant eligibility section.

Credentials/Documentation:

No credentials or documentation are required. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. The applicant must complete the Standard Form (SF) 424, and the appropriate Budget and Assurances forms (SF 424 and SF 424B if the project does not involve construction or SF 424C and SF 424D if it involves

construction). In addition, applicants will submit a grant proposal that includes a narrative statement describing the need, objectives, benefits, approach, and estimated cost for the proposed grant along with the standard application forms furnished by the Federal agency are required by 43 CFR Part 12, Subpart C, Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments and the U.S. Fish and Wildlife Service Manual. For further instructions and forms, visit <http://wsfrprograms.fws.gov/subpages/toolkitfiles/toolkit.pdf>, <http://www.fws.gov/coastal/coastalgrants>, or www.grants.gov

Award Procedure:

The Director of the Fish and Wildlife Service will select projects to be funded based on established criteria.

Deadlines:

Jun 29, 2013 The FY 14 deadline will likely be in June 2013.

Range of Approval/Disapproval Time:

Approximately 150 days.

Appeals:

Regional Directors will consider differences of opinion concerning the eligibility of proposals. Final determination rests with the Director of the U.S. Fish and Wildlife Service.

Renewals:

Applications for successive project phases are competed as new project applications.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: States with a dedicated fund for land conservation are required to provide a minimum cost share equal to 25 percent of the grant request. All other States are required to provide a 50 percent cost share. We waive the first \$200,000 of match for the following insular areas: American Samoa, Guam, the Commonwealth of the Northern Mariana Islands, and the U.S. Virgin Islands.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Projects normally funded through annual proposals. See the following for information on how assistance is awarded/released: Projects normally funded through annual competed applications. Funds are disbursed to recipients as requested and in accordance with the payment methods prescribed in 43 CFR Part 12, or as otherwise prescribed in program-specific legislation.

Reports:

Program reports are not applicable. Cash reports are not applicable. Recipients must submit a final performance report no later than 90 calendar days after the award end date. Recipients of awards with performance periods longer than 12 months will be required to submit interim reports. Program may require recipients to submit interim reports annually, semiannually, or quarterly. Program will detail all performance reporting requirements, including frequency and due dates, in the notice of award letter. Recipients must report expenditures using the SF 425, Federal Financial Report form. Recipients must submit a final report no later than 90 calendar days after the award end date. Recipients of awards with performance periods longer than 12 months will be required to submit interim reports. Program may require recipients to submit interim reports annually, semiannually, or quarterly. Program will detail all financial reporting requirements, including frequency and due dates, in the notice of award letter. Recipients are responsible for monitoring performance for each award and sub-award under this program in accordance with 43 CFR Part 12.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, they are subject to Program specific audits contracted by the Fish and Wildlife Service.

Records:

Cost records must be maintained separately for each project. Grantees must retain records, accounts, and supporting documents for 3 years after submission final request for reimbursement.

Account Identification:

14-8151-0-7-303.

Obligations:

(Project Grants (Discretionary)) FY 12 \$20,500,000; FY 13 est \$20,000,000; and FY 14 est \$15,000,000 – Project Grants (Discretionary): FY 12 \$20,500,000 (if sequestration is avoided, \$21,400,000); FY 13 \$20,000,000 (if sequestration is avoided, \$21,400,000); FY 14 \$15,000,000

FY 13 amount will vary depending on potential sequestration of funds. FY14 amount is an estimate based on prior year funding levels only.

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: In FY 12, the program received 26 applications and made 24 awards. The projects funded in FY 2012 will protect, restore or enhance 14,020 acres of important coastal wetland habitat, including several miles of shoreline. Fiscal Year 2013: In FY 13, the program received 32 applications and will make 24 to 26 awards (24 if sequestration, 26 if sequestration is avoided). Under sequestration, the projects recommended for funding in FY 2013 will protect, restore or enhance between 4,693 acres of important coastal wetland habitat, including several miles of shoreline. If sequestration is avoided that number will increase to 5,448 acres. Fiscal Year 2014: In FY 14, the program anticipates receiving between 25 and 40 applications and issuing approximately 20 awards.

REGULATIONS, GUIDELINES, AND LITERATURE:

50 CFR 84

Regional or Local Office:

None. See Regional Agency Offices or visit the Program web site at <http://www.fws.gov/coastal/CoastalGrants/>.

Headquarters Office:

Chief, U.S. Fish and Wildlife Service, Wildlife and Sport Fish Restoration Program, Mail Stop FA-4020, Arlington, Virginia 22203 Phone: 703) 358-2156.

Website Address:

<http://wsfrprograms.fws.gov> and http://www.fws.gov/coastal/coastal_grants .

RELATED PROGRAMS:

15.623 North American Wetlands Conservation Fund

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Upper Knik Arm Coastal Wetlands Conservation Project The Alaska Department of Natural Resources, in partnership with the Great Land Trust, propose to permanently protect 3,091 acres of coastal wetlands located in the Upper Cook Inlet. The project area provides nesting and rearing habitat for 168 species of migratory birds and estuarine habitat important to Beluga whales. This project is part of a larger conservation strategy called the Knik Arm Coastal Wetland Initiative to protect wetland areas adjacent to the Palmer Hay Flats State Game Refuge, Goose Bay State Game Refuge, Chugach State Park, and other important estuarine and near shore habitat in the Matanuska-Susitna Borough. Once acquired, the Alaska Department of Fish and Game will incorporate 988 acres of the project acreage into the Palmer Hay Flats State Game Refuge. A conservation easement on the remaining 2,000 acres will be held by the Great Land Trust.

Long Cove, Seal Cove and Oscars Pond Wetlands Acquisition Project The Maine Department of Inland Fisheries and Wildlife (MDIFW), partnering with the Pleasant River Wildlife Foundation, , proposes to purchase in fee 177 acres of essential upland, freshwater wetland and intertidal lands that will be part of the newly created Pleasant Bay Wildlife Management Area (WMA) in Downeast Maine. The goal of the project is the long-term conservation of coastal wetland ecosystems that support many threatened and endangered species, important fishery resources, migratory shorebirds, and migratory

wintering waterfowl. This project will benefit American black ducks, migratory dabbling ducks, numerous shorebirds, American woodcock, raptors, Atlantic salmon, and shellfish. The WMA project will also provide public access to this stretch of coastline and numerous recreational opportunities. The MDIFW considers the development threat to this region of the State to be significant.

Nooksack River: Smugglers Slough Estuary Restoration Phase III The Washington Department of Ecology, partnering with the Lummi Indian Nation, proposes to acquire 101 acres of Nooksack River palustrine emergent wetlands, historic salt marsh and forested wetlands. This project builds on previous phases that acquired 415 acres of estuarine wetlands and provided hydrologic connectivity to the project area. The Smugglers Slough projects main objective is to reconnect a relic estuarine slough channel between Lummi and Bellingham Bays in the Nooksack River watershed and restore adjacent wetlands and salt marsh habitats. In addition to the property acquisition, the project will also partially fund the construction of a berm, replace existing tidegates with tidal regulators, install new tidegates, remove a levee access road, regrade drainage channels and excavate new tidal channels. Implementation of this project will support the Pacific Coast Joint Venture Strategic Plan. Fiscal Year 2013: Program has not yet selected projects for funding. Program anticipates funding projects that acquire, restore, and enhance wetlands in coastal States. Fiscal Year 2014: Program has not yet selected projects for funding. Program anticipates funding projects that acquire, restore, and enhance wetlands in coastal States.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

15.615 COOPERATIVE ENDANGERED SPECIES CONSERVATION FUND**FEDERAL AGENCY:**

Fish and Wildlife Service, Department of the Interior

AUTHORIZATION:

Endangered Species Act of 1973, 16 U.S.C. 1531 et seq., as amended; Land and Water Conservation Fund Act of 1965, 16 U.S.C. 4601, as amended.

OBJECTIVES:

To provide Federal financial assistance to any State or Territory (hereafter, "States"), through its appropriate State or territorial agency, to assist in the development of programs for the conservation of endangered and threatened species.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

The assistance provided to the State fish and wildlife agency can include animal, plant, and habitat surveys; research; planning; monitoring; habitat protection, restoration, management, and acquisition; and public education. Assistance is restricted to those State agencies with which the Fish and Wildlife Service has a current cooperative agreement for the species involved. Yes, restrictions can be found in the annual request for proposals found at <http://www.fws.gov/endangered/grants/index.html>. 100 % of appropriated funds are set aside for discretionary activities.

Applicant Eligibility:

Participation limited to State agencies that have a cooperative agreement with the Secretary of the Interior. The annual Request for Proposals announced through www.grants.gov and posted to the U.S. Fish and Wildlife Service Web site at <http://www.fws.gov/endangered/grants/section6/index.html> will describe the criteria that must be satisfied for a proposal to be eligible for funding.

Beneficiary Eligibility:

All States that have entered into a cooperative agreement with the Secretary of the Interior.

Credentials/Documentation:

A current cooperative agreement(s) between the Secretary of the Interior and the State Conservation agency(ies) concerning endangered and threatened species is necessary. Costs will be determined in accordance with 43 CFR Part 12, Subpart C, "Uniform Administrative Requirements for Grants and

Cooperative Agreements to State and Local Governments." Section 12.62 (of the common rule), identifies Federal cost principles for determining allowable costs. Separate agreements for animals and plants are normally made with each State's responsible agency. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

The standard application forms furnished by the Federal agency and required by 43 CFR Part 12, Subpart C, "Uniform Administrative requirements for Grants and Cooperative Agreements to State and Local Governments," must be used for this program. An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. An applicant must complete the Standard Form SF-424, Application for Federal Assistance and the appropriate Budget and Assurances forms (SF-424A and SF-424B - Non Construction; or SF-424C and SF-424D - Construction). The project description section of the application should address the evaluation factors identified in the annual request for proposals that will be posted at www.grants.gov and to the U.S. Fish and Wildlife Service website at <http://www.fws.gov/Endangered/grants/index.html>.

Award Procedure:

Final selection is made by the Secretary of the Interior, based on recommendations by the Director or Regional Directors of the U.S. Fish and Wildlife Service. Funds are obligated by an authorized signature on an award agreement.

Deadlines:

Jan 14, 2013 Variable. Information regarding deadline dates will be made available annually in the request for proposals posted at www.grants.gov and at the U.S. Fish and Wildlife Service Web site at <http://www.fws.gov/Endangered/grants/section6/index.html>.

Range of Approval/Disapproval Time:

Information regarding approval/disapproval time frames will be made available annually in the request for proposals posted at www.grants.gov and at the U.S. Fish and Wildlife Service Web site at <http://www.fws.gov/Endangered/grants/section6/index.html>.

Appeals:

Decisions can be appealed to the Fish and Wildlife Service, Chief, Endangered Species Division of Consultation, Habitat Conservation Planning, Recovery, and State Grants. Final determination rests with the Secretary of the Interior.

Renewals:

Renewals can be made through submission of a project proposal and approval of a grant award document.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: States may receive up to 75 percent of the program costs. When two or more States have a common interest in one or more endangered or threatened species and enter into a joint agreement, the Federal share of the total project cost may be increased to 90 percent. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

So much of the annual appropriation allocated for obligation to any State for any fiscal year as remains unobligated at the close thereof is authorized to be made available to that State until the close of the succeeding fiscal year. Any amount allocated to any State which is unobligated at the end of the period during which it is available for expenditure is authorized to be made available for expenditure by the Secretary for conducting programs authorized by Section

6 of the Endangered Species Act. See the following for information on how assistance is awarded/released: Funds are disbursed to recipients as requested and in accordance with the payment methods prescribed in 43 CFR Part 12, or as otherwise prescribed in program-specific legislation.

Reports:

Program reports are not applicable. Cash reports are not applicable. A performance report is required for each project segment within 90 days following the close of the segment. The Federal Financial Report, SF 425 is required. Recipients are responsible for monitoring and reporting performance each award and sub-award under this program in accordance with 43 CFR Part 12 and 2 CFR Part 170.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records must be maintained for 3 years following the submission of request for final reimbursement.

Account Identification:

14-5143-0-2-302 - FY 13 amounts are based on the Presidents budget request and FY 14 amounts are estimates based on prior year funding levels only.

Obligations:

(Project Grants (Discretionary)) FY 12 \$9,485,000; FY 13 est \$7,000,000; and FY 14 est \$7,000,000 - 5944: Habitat Conservation Planning Assistance Grants. (Project Grants (Discretionary)) FY 12 \$10,529,000; FY 13 est \$12,601,000; and FY 14 est \$12,601,000 - 5941: Conservation Grants. (Project Grants (Discretionary)) FY 12 \$2,707,000; FY 13 est \$2,974,000; and FY 14 est \$2,974,000 - 5942: Administration. (Project Grants (Discretionary)) FY 12 \$14,976,000; FY 13 est \$21,938,000; and FY 14 est \$21,938,000 - 5943: Habitat Conservation Plan Land Acquisition Grants. (Project Grants (Discretionary)) FY 12 \$9,984,000; FY 13 est \$15,487,000; and FY 14 est \$15,487,000 - 5954: Species Recovery Land Acquisition Grants.

Range and Average of Financial Assistance:

Varies by program element.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: \$45 million in grant funding was awarded to 50 states and territories to support conservation planning and acquisition of vital habitat for threatened and endangered fish, wildlife, and plants. Out of the over 350 proposals submitted, 306 grants were awarded partial or full funding. Fiscal Year 2013: We expect to award an estimated 388 grants to fund similar projects that benefit threatened and endangered species on non-Federal lands. Fiscal Year 2014: We expect to award an estimated 388 grants to fund similar projects that benefit threatened and endangered species on non-Federal lands.

REGULATIONS, GUIDELINES, AND LITERATURE:

Endangered Species Act of 1973, 16 U.S.C. 1531 et seq., as amended; Land and Water Conservation Fund Act of 1965, 16 U.S.C. 4601, as amended; 50 CFR 81, The U.S. Fish and Wildlife Service Manual Chapter 521 FW 4 (<http://www.fws.gov/policy/521fw4.html>); The Wildlife and Sport Fish Restoration Program Toolkit (<http://wsfrprograms.fws.gov/Subpages/ToolkitFiles/toolkit.pdf>); and the annual request for proposals posted at www.grants.gov and at the U.S. Fish and Wildlife Service web site at <http://www.fws.gov/Endangered/grants/section6/index.html>.

Regional or Local Office:

See Regional Agency Offices. Please visit <http://www.fws.gov/Endangered/grants/section6/index.html> and click on the "How to contact us for information" link to access a list of regional program contacts.

Headquarters Office:

Chief, Endangered Species Division of Consultation, HCPs, Recovery and State

Grants, 4401 N. Fairfax Dr. Room 420, , Arlington, Virginia 22203 Phone: (703) 358-2171.

Website Address:

<http://www.fws.gov/endangered/grants/index.html>

RELATED PROGRAMS:

15.605 Sport Fish Restoration Program; 15.611 Wildlife Restoration; 15.634 State Wildlife Grants

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: \$45 million in grants was awarded to 50 states and territories to support conservation planning and acquisition of vital habitat for threatened and endangered fish, wildlife, and plants.

For example, the State of California was awarded an HCP Land Acquisition grant in the amount \$4,000,000 to support the acquisition of approximately 900 acres of land in Riverside County. This acquisition will benefit 13 federally listed species including California gnatcatcher, Arroyo southwestern toad, and Quino checkerspot butterfly. The acquisition will support the assembly of a 500,000-acre preserve that is part of the Western Riverside MSHCP by protecting large blocks of coastal sage scrub, chaparral, and grassland habitats.

An HCP Planning Assistance grant in the amount of \$300,250 was also awarded in FY 2012 to the State of Florida to assist in initiating the planning effort for a county-wide HCP for scrub habitats, the Florida scrub-jay, eastern indigo snake, sand skink, blue-tailed mole skink, and other dry scrub species. Implementation of an HCP in Highlands County marks a significant step forward for scrub conservation in the heart of Floridas central ridge and will enhance similar conservation efforts in neighboring counties.

A Recovery Land Acquisition grant in the amount of \$1,242,209 was awarded to the State of Texas to acquire the 289-acre Barker tract located in Hays County to benefit the golden-cheeked warbler and black-capped vireo and will also provide benefits to seven listed Edwards Aquifer species. The acquisition will provide additional protected habitat for these species that lie adjacent to the existing 463-acre Purgatory Creek Natural Area. Acquisition of the Barker Tract will protect an area of documented golden-cheeked warbler habitat and provide suitable nesting areas for the black-capped vireo, both of which are spotlight species for the region.

Finally, the State of Rhode Island received a Traditional Conservation grant to fund monitoring and management activities to benefit the American burying beetle in Fiscal Year 2012. Fiscal Year 2013: The program has not yet selected projects for funding. However, we expect to award an estimated 560 grants to fund similar projects that benefit threatened and endangered species on non-Federal lands. Fiscal Year 2014: The program has not yet selected projects for funding. However, we expect to award an estimated 560 grants to fund similar projects that benefit threatened and endangered species on non-Federal lands.

CRITERIA FOR SELECTING PROPOSALS:

Proposals for grant funding must be submitted by the State fish and wildlife agency. The State must have an approved cooperative agreement with the Secretary of the Interior which provides for sharing responsibilities for endangered species, prior to receiving Cooperative Endangered Species Conservation Fund (section 6 of the Endangered Species Act) funds. Individual project proposals must compete with other State submissions for funding. For additional information please visit <http://www.fws.gov/endangered/grants/index.html>.

15.616 CLEAN VESSEL ACT

CVA

FEDERAL AGENCY:

Fish and Wildlife Service, Department of the Interior

AUTHORIZATION:

Clean Vessel Act of 1992, Section 5604, Public Law 102-587, Subtitle F; 16 U.S.C. 777c, and the Safe, Accountable, Flexible, Efficient Transportation Equity Act, Public Law 109-059.

OBJECTIVES:

This program provides funding to States, the District of Columbia, Commonwealths, and territories for the construction, renovation, operation, and maintenance of sewage pumpout stations, waste reception facilities, and pumpout boats for recreational boaters and for educational programs that inform boaters of the importance of proper disposal of their sewage.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

This program authorizes matching funds on a competitive basis for development of surveys and plans for installing sewage pumpouts and dump stations for recreational boats in the coastal zone of coastal States; and for construction of sewage pumpouts and dump stations for recreational boats; and for implementation of education programs. These stations must provide protection of sensitive areas from recreational boat sewage. Prohibited activities include: law enforcement; construction/renovation of upland restroom facilities; construction, renovation, operation and maintenance of sewage treatment plants; and any activity that does not provide public benefits. 100% of funds are awarded on a competitive basis.

Applicant Eligibility:

Agencies from the 50 States, the District of Columbia, the Commonwealths of Puerto Rico and the Northern Mariana Islands, and the territories of Guam, the U.S. Virgin Islands, and American Samoa may submit grant proposals to the U.S. Fish and Wildlife Service.

Beneficiary Eligibility:

General public, recreational boaters, municipalities, and private marinas within eligible States, the District of Columbia, Commonwealths, and territories.

Credentials/Documentation:

Costs will be determined in accordance with 43 CFR Part 12, Subpart C, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments." Section 12.62 (of the common rule) identifies Federal cost principles for determining allowable costs. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

An applicant should consult the office or official designated as the single point of contact in his or her State, the District of Columbia, Commonwealth or territory for more information on this process when applying for assistance. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applicant must complete the Standard Form (SF) 424, and the appropriate Budget and Assurances forms (SF 424A and SF 424B Non-construction; or SF 424C and SF 424D Construction). In addition, applicants will submit a grant proposal that includes a narrative statement describing the need, objectives, benefits, approach, and estimated cost for the proposed grant along with the standard application forms furnished by the Federal agency and required by 43 CFR Part 12, Subpart C, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments" and the Fish and Wildlife Service Manual. For further instructions and forms go to <http://wsfrprograms.fws.gov/subpages/toolkitfiles/toolkit.pdf> or www.grants.gov.

Award Procedure:

The Director of the U.S. Fish and Wildlife Service will select projects based on established criteria and available funding. Regional Offices make awards to approved applicants.

Deadlines:

Dec 05, 2012 December 5, 2012 for FY 2013 grant applications. Application deadlines are typically in early December. The U.S. Fish and Wildlife Service will annually notify all potential applicants about the application deadline

through www.grants.gov.

Range of Approval/Disapproval Time:

Approximately 120 days.

Appeals:

Regional Directors will consider differences of opinion over the eligibility of proposals. Final determination rests with the Director of the U.S. Fish and Wildlife Service.

Renewals:

None.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: The annual program funding comes from the Sport Fish Restoration and Boating Trust Fund. It receives two percent of the funds in the Trust Fund. Trust funds are derived from excise taxes on fishing equipment, motorboat and small engine fuels, import duties, and interest on the fund. The Federal share of the project costs cannot exceed 75 percent for the 50 States, the District of Columbia, and the Commonwealth of Puerto Rico. The first \$200,000 of match must be waived for the Commonwealth of the Northern Mariana Islands and the territories of Guam, the U.S. Virgin Islands, and American Samoa.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The U.S. Fish and Wildlife Service annually notifies all States, the District of Columbia, Commonwealths, and the territories about the grant program. See the following for information on how assistance is awarded/released: Projects normally funded through annual proposals.

Reports:

No program reports are required. No cash reports are required. See Service Manual Chapter 516 FW 2. Recipients must submit a final performance report no later than 90 calendar days after the award end date. Recipients of awards with performance periods longer than 12 months will be required to submit interim reports. Program may require recipients to submit interim reports annually, semiannually, or quarterly. Program will detail all performance reporting requirements, including frequency and due dates, in the notice of award letter. See Service Manual Chapter 516 FW 1. Recipients must report expenditures using the SF 425, Federal Financial Report form. Recipients must submit a final report no later than 90 calendar days after the award end date. Recipients of awards with performance periods longer than 12 months will be required to submit interim reports. Program may require recipients to submit interim reports annually, semiannually, or quarterly. Program will detail all financial reporting requirements, including frequency and due dates, in the notice of award letter. Recipients are responsible for monitoring performance for each award and sub-award under this program in accordance with 43 CFR Part 12.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Cost records must be maintained separately for each project. Records, accounts, and supporting documents must be retained for three years after submission of the final Financial Status Report.

Account Identification:

14-8151-0-7-303.

Obligations:

(Project Grants (Discretionary)) FY 12 \$11,359,272; FY 13 est \$12,000,000; and FY 14 est \$12,000,000 - FY 13 and FY 14 amounts are estimates based on prior year funding levels.

Range and Average of Financial Assistance:

Maximum Federal award is \$1,500,000. The average award is approximately \$350,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: We received 34 applications from 24 States requesting \$11.36 million of CVA funds for FY12. We fully funded all applications. Fiscal Year 2013: We expect to receive approximately 35 to 45 applications. We anticipate having sufficient funding for all applications. Fiscal Year 2014: We expect to receive approximately 35 to 45 applications. We anticipate issuing all awards at 80 to 100 percent of requested funds.

REGULATIONS, GUIDELINES, AND LITERATURE:

50 CFR Part 85; Final Technical Guidelines published in the Federal Register Vol. 59, No. 47, March 10, 1994; final Grant Guidelines published in the Federal Register Vol. 59, No. 47, March 10, 1994; and final Pumpout Symbol Guidelines published in the Federal Register, Vol. 62, No. 166, August 27, 1997.

Regional or Local Office:

None. See Catalog Appendix IV for addresses of Regional Fish and Wildlife Service Offices.

Headquarters Office:

Fish and Wildlife Service Wildlife and Sport Fish Restoration Program - Policy and Programs, 4401 North Fairfax Drive, WSFR-4020, Arlington, Virginia 22203 Phone: (703) 358-2156.

Website Address:

<http://wsfrprograms.fws.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Hawaii - \$ 150,000 The State of Hawaii, Division of Boating and Ocean Recreation plan to construct pumpout facilities at Honokohau Boat Harbor, Kona, Island of Hawaii. This will increase the number of pumpout facilities on the island to two. Inter-island boaters and international transients frequent this area and it is the location of several fishing tournaments. The State will couple construction with boater education.

Maine - \$ 352,442 The Maine Department of Environmental Protection plans to construct 10 new pumpout stations and give operation funds to three pumpout boats. They also provide CVA funds for maintenance of existing pumpouts. The State plans to review existing educational materials and develop new materials, if needed, to distribute to boaters during the 2012 boating season.

South Carolina - \$ 714,721 The South Carolina Department of Natural Resources plans to construct six new pumpouts, renovate or replace nine pumpouts, purchase three boats, install one new floating restroom, and provide operation and maintenance in its coastal and inland waters. They will also fund an education program that includes dock walkers that visit boaters at marinas, ask them survey questions, and give them information about pumpouts.

Utah - \$ 40,517 The Utah Division of Wildlife Resources plans to partner with the United States coast guard Auxiliary and Utah State Parks and recreation to produce a boater education program related to CVA. They will also fund replacement of the pumpout at Jordanelle State Park Marina on the Jordanelle Reservoir.

Washington - \$ 949,875 The Washington State Parks and Recreation Commission, in conjunction with its Puget Sound Cleanup Plan, will install nine new pumpout projects, replace 11 aging facilities, and provide operation and maintenance to 126 facilities. They will continue their boater education program. Projects will encompass both coastal and inland areas of the State and partnerships with other organizations and adjoining States. Fiscal Year 2013: Program has not yet selected projects for funding. Program anticipates funding projects that construct, renovate, operate, and maintain sewage pumpout stations, waste reception facilities, and pumpout boats for recreational boaters and for educational programs that inform boaters of the importance of proper disposal of their sewage. Fiscal Year 2014: Program has not yet selected projects for funding. Program anticipates funding projects that construct, renovate, operate, and maintain sewage pumpout stations, waste reception facilities, and pumpout boats for recreational boaters and for educational programs that inform boaters of the importance of proper disposal of their

sewage.

CRITERIA FOR SELECTING PROPOSALS:

Projects must be submitted by the State, the District of Columbia, Commonwealth, or territorial agency given responsibility for the program by the Governor of that State, the District of Columbia, Commonwealth, or territory. Purpose of the project must be consistent with the purpose and conditions of the Act. The criteria for selecting proposals for coastal States are based upon the extent the project will contribute to conducting a survey; develop a plan for installing pumpouts/dump stations; and construct pumpouts/dump stations according to the approved plan. Criteria for selecting proposals for all States, the District of Columbia, Commonwealths, or territories are based upon the extent the project will contribute to public/private partnerships; innovative approaches to increasing availability and use of pumpouts/dump stations; implementation of an education program; contribution toward protecting sensitive areas; and lowering the ration of vessels with holding tanks and portable toilets to pumpouts and dump stations.

15.619 RHINOCEROS AND TIGER CONSERVATION FUND

FEDERAL AGENCY:

Fish and Wildlife Service, Department of the Interior

AUTHORIZATION:

Rhinoceros and Tiger Conservation Act of 1994, Public Law 103-391, 108 Stat. 4094 et seq., 16 U.S.C. 5301 et seq., as amended by the Rhino and Tiger Product Labeling Act of 1998, Public Law 105-312, 112 Stat. 2956 et seq., 16 U.S.C. 5301 et seq.; Mutlinalational Species Conservation Act of 2007, Public Law 110-132, 121 Stat. 1360.

OBJECTIVES:

To provide financial assistance for projects for the effective long-term conservation of rhinoceros and tigers. This program supports projects that focus on one or more of the following areas: Enhanced protection of at-risk rhinoceros and tiger populations; protected area/reserve management in important rhinoceros and tiger range; Veterinary care for wild populations; habitat conservation and management; reintroduction to former range; restoration of habitat; wildlife inspection, law enforcement, and forensics skills; conservation education and community outreach; efforts to decrease human-rhinoceros and human-tiger conflicts; strengthening local capacity to implement conservation programs; transfrontier rhinoceros and tiger conservation; applied research on rhinoceros and tiger populations and their habitats, including surveys and monitoring; development and execution of rhinoceros and tiger conservation management plans; and compliance with applicable treaties and laws that prohibit or regulate the taking or trade of rhinoceros and tigers or regulate the use and management of their habitat.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Proposed project work should occur within the rhinoceros or tiger range, or, if work is to be conducted outside of the range, the proposal should show clear relevance to rhinoceros or tiger conservation. If the project includes research, the applicant must provide a convincing argument that the results will address management needs or threats from disease and climate change and will result in management actions. Funds provided under this program will not be used for: the purchase of firearms or ammunitions; buying intelligence information or paying informants; gathering information by persons who conceal their true identity; law enforcement operations that, to arrest suspects, prompt them to carry out illegal activities (entrapment); any activity that would circumvent sanctions, laws or regulations of either the U.S. or the country of proposed activity; material support or resources to individuals, entities, or organizations of countries that the U.S. Department of State has identified as state sponsors of terrorism. These countries are: Cuba, Iran, Sudan and Syria. (Exceptions may be allowed with clearance from U.S. Department of State.). 100%.

Applicant Eligibility:

Applications may be submitted by any government agency responsible for the conservation and protection of rhinoceroses and/or tigers and any other organization, multi-national secretariat or individual with demonstrated experience in rhinoceros and/or tiger conservation may submit proposals to this

Fund. U.S. non-profit, non-governmental organizations must submit documentary evidence of their Section 501(c)(3) non-profit status.

Beneficiary Eligibility:

Any government agency responsible for conservation and protection of rhinoceros and/or tigers and any other organization or individual with demonstrated experience in rhinoceros or tiger conservation.

Credentials/Documentation:

No Credentials or documentation are required. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. The program announcement and application instructions for this program can be found at the Grants.gov website: <http://www.grants.gov>. The Notice of Funding Availability and Application Instructions are also located on this program's website at <http://www.fws.gov/international/DIC/species/rhino/rhino.html> and <http://www.fws.gov/international/DIC/species/tiger/tiger.html>. If you are unable to access the Internet, hard copies are available upon request from the Branch of Near East, South Asia & Africa, Division of International Conservation, U.S. Fish and Wildlife Service, 4401 N. Fairfax Drive, Room 100, Arlington, Virginia 22203. A proposal will not be considered complete if all required elements are not submitted as instructed. U.S. applicants must submit (among other elements) a complete, signed Standard Form 424 "Application for Federal Assistance."

Award Procedure:

Projects are competitively selected for funding using criteria from the Rhinoceros and Tiger Conservation Act (16 USC 5301 et seq.). Review criteria can be found in the application information at <http://www.fws.gov/international/DIC/howtoapply.html>. Once a proposal has been selected for funding, an Assistance Award between the Fish and Wildlife Service and the Recipient is executed by an FWS approving official. Fully executed Awards are sent to the Recipient either electronically or through the mail. The Division of International Conservation then administers all other aspects of the Assistance Award.

Deadlines:

Nov 01, 2012 December 1.

Range of Approval/Disapproval Time:

Decisions on funding of project proposals are made within 180 days after the receipt of the project proposal.

Appeals:

Not Applicable.

Renewals:

None. However; at the discretion of the Division of International Conservation, active awards can be modified, e.g.: time extensions or budget changes.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: To the extent possible, grant funds are matched by nonfederal funds. In determining whether to approve project proposals, preference is given to projects for which matching funds are available. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awarded funds must be spent during the performance period that is approved for each Assistance Award, and in accordance with program financial and performance reporting procedures. See the following for information on how assistance is awarded/released: Funds are disbursed to recipients as requested and in accordance with the payment methods prescribed in 43 CFR Part 12, or as otherwise prescribed in program-specific legislation.

Reports:

Program reports are not applicable. Cash reports are not applicable. Recipients

must submit a final performance report no later than 90 calendar days after the award end date. Recipients of awards with performance periods longer than 12 months will be required to submit interim reports. Program may require recipients to submit interim reports annually, semiannually, or quarterly. Program will detail all performance reporting requirements, including frequency and due dates, in the notice of award letter. Domestic recipients must report expenditures using the SF 425, Federal Financial Report form. Non-domestic recipients must submit a financial table or spreadsheet in the same format as the original project proposal budget, showing itemized expenditures for the reporting period. Recipients must submit a final report no later than 90 calendar days after the award end date. Recipients of awards with performance periods longer than 12 months will be required to submit interim reports. Program may require recipients to submit interim reports annually, semiannually, or quarterly. Program will detail all financial reporting requirements, including frequency and due dates, in the notice of award letter.. Recipients are responsible for monitoring performance for each award and sub-award under this program in accordance with 43 CFR Part 12. Complete reporting requirements can be found at this program's web page at <http://www.fws.gov/international/pdf/assistance-award-guidelines.pdf>.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Recipients will maintain records in accordance with the provisions of 43 CFR Part 12, Subpart C, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments" and 43 CFR Part 12, Subpart F, "Uniform Administrative Requirements for Grants and Agreements With Institutions of Higher Education, Hospitals, and Other Nonprofit Organizations."

Account Identification:

14-1652-0-1-302.

Obligations:

(Project Grants (Discretionary)) FY 12 \$2,618,296; FY 13 est \$2,500,000; and FY 14 est \$2,000,000 - Frequency of obligations: Other.

Range and Average of Financial Assistance:

Variable amounts. Largely \$50,000 or less. Higher amounts may be requested.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Received 92 applications, issued 52 awards. Fiscal Year 2013: Received 74 applications, program anticipates issuing 40 awards. Fiscal Year 2014: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Awards will be administered in conformance with the "Assistance Award Guidelines" established by the Division of International Conservation, which uses as its source all applicable award terms and conditions found at <http://www.doi.gov/pam/TermsandConditions.html>. The "Assistance Award Guidelines" can be found on-line at <http://www.fws.gov/international/pdf/assistance-award-guidelines.pdf> or in hard copy by contacting the Division of International Conservation.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Chief Department of the Interior, U.S. Fish and Wildlife Service, Division of International Conservation, 4401 North Fairfax Drive, ARLSQ 100, Arlington, Virginia 22203 Email: MSCF_RhinoTiger@fws.gov Phone: (703) 358 1754 Fax: (703) 358 2115

Website Address:

<http://www.fws.gov/international/wildlife-without-borders/rhino-and-tiger-conservation-fund.html>

RELATED PROGRAMS:

15.620 African Elephant Conservation Fund; 15.621 Asian Elephant Conservation Fund; 15.629 Great Apes Conservation Fund; 15.645 Marine Turtle Conservation Fund

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: The program supported: 1) The purchase of two motorcycles for supervisors to check the twelve remote ranger camps in Mkhuze Game Reserve affordably and reliably. Mkhuze is home to one of the two black rhino populations that provided source animals for all the restocking efforts in South Africa in the 1980s, and the rhinos are currently threatened by targeted poaching and non-targeted snaring. 2) Essential patrol and aerial surveillance costs for security purposes in Hluhluwe-iMfolozi which has 200 southern black rhinos and the second largest white rhino population in the world.3) The empowerment of local communities to play their part in saving tigers and securing their villages from tiger human conflict by strengthening links between the local communities and local government and by enhancing the response to tiger conflict situations. This will be done by increasing the, Improving village tiger response team (VTRT) non-financial incentives; clarifying and publicizing the roles and responsibilities of the VTRTs in managing conflict situations, taking steps to increase the authority of the VTRTs in their communities and developing 20 additional VTRT teams along the Sundarbans-village boundary. Fiscal Year 2013: Program has not yet selected projects for funding. Program anticipates funding projects that are effective for long-term conservation of rhinoceros and tigers, and those that are similar in scope to FY 2012. Fiscal Year 2014: Program anticipates funding projects that are effective for long-term conservation of rhinoceros and tigers, and those that are similar in scope to FY 2013.

CRITERIA FOR SELECTING PROPOSALS:

Proposals will be reviewed on the basis of criteria developed from the requirements of the Rhinoceros and Tiger Conservation Act (16 U.S.C. 5301 et seq.). Additional details on the selection criteria can be found in the Rhinoceros and Tiger Notice of Funding Availability and Application Instructions <http://www.fws.gov/international/grants-and-reporting/how-to-apply.html>. Hard copies of the criteria are available upon request from the Division of International Conservation.

15.620 AFRICAN ELEPHANT CONSERVATION FUND

FEDERAL AGENCY:

Fish and Wildlife Service, Department of the Interior

AUTHORIZATION:

African Elephant Conservation Act, Title II Section 2001 et seq., Public Law 100-478, 102 Stat. 2315 et seq., 16 U.S.C. 201 et seq., 4211 et seq., 4221 et seq., 4241 et seq., and 1538. ; Multinational Species Conservation Act of 2007, Public Law 110-132, 121 Stat. 1360.

OBJECTIVES:

To provide financial assistance to support projects that will enhance sustainable conservation programs to ensure effective, long-term conservation of African elephants. The African Elephant Conservation Fund supports projects that promote conservation through: Applied research on elephant populations and their habitat, including surveys and monitoring; Development and execution of elephant conservation management plans; Compliance with applicable treaties and laws that prohibit or regulate the taking or trade of elephants or regulate the use and management of elephant habitat; Conservation education and community outreach; Enhanced protection of at-risk elephant populations; Efforts to decrease human-elephant conflict; Habitat conservation and management; Protected area/reserve management in important elephant range; Strengthening local capacity to implement conservation programs; Transfrontier elephant conservation; and Wildlife inspection, law enforcement, and forensics skills.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Project work should occur within the range of the African elephant, or, if work is to be conducted outside of the range, the proposal should show a clear relevance to African elephant conservation. Applied research projects should address specific management needs and actions. Funds provided under this

program will not be used for: the purchase of firearms or ammunitions; buying of intelligence information or paying informants; gathering information by persons who conceal their true identity; law enforcement operations that prompt suspects to carry out illegal activities so they may be arrested (entrapment); or any activity that would circumvent sanctions, laws or regulations of either the U.S. or the country in which the activity would occur. Funds may not be used to provide material support or resources to individuals, entities, or organizations of countries that have been identified by the U.S. Department of State as state sponsors of terrorism. The countries currently identified are: Cuba, Iran, North Korea, Sudan and Syria. This program is administered in compliance with the Federal Grants and Cooperative Agreements Act of 1977, as amended. These funds may not be used towards training U.S. Federal Government personnel. 100%.

Applicant Eligibility:

Applications may be submitted by any African government agency responsible for African elephant conservation and protection and any other organization or individual with demonstrated experience in African elephant conservation.

Beneficiary Eligibility:

Any African government agency responsible for African elephant conservation and protection and any other organization or individual with demonstrated experience in African elephant conservation.

Credentials/Documentation:

No Credentials or documentation are required. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. The program announcement and application instructions for this program can be found at the Grants.gov website: <http://www.grants.gov>. The Notice of Funding Availability and Application Instructions are also located on this program's website at http://www.fws.gov/international/DIC/species/afe/african_elephant.html. If you are unable to access the Internet, hard copies are available upon request from the Branch of Near East, South Asia and Africa, Division of International Conservation, U.S. Fish and Wildlife Service, 4401 N. Fairfax Drive, Room 100, Arlington, Virginia 22203. A proposal will not be considered complete if all required elements are not submitted as instructed. U.S. applicants must submit (among other elements) a complete, signed Standard Form 424 "Application for Federal Assistance."

Award Procedure:

Projects are reviewed and competitively selected for funding using criteria developed on the requirements of the African Elephant Conservation Fund (16 USC et seq.) Review criteria can be found in the application information at <http://www.fws.gov/international/grants-and-reporting/how-to-apply.html>. Once a proposal has been selected for funding, an FWS approving official executes an Assistance Award between the U.S. Fish and Wildlife Service and the Recipient. Fully executed Awards are sent to the Recipient either electronically or through the mail. The Division of International Conservation then administers all other aspects of the Assistance Award.

Deadlines:

Dec 01, 2012 December 1.

Range of Approval/Disapproval Time:

Decisions on funding of project proposals are made within 180 days after the receipt of the project proposal.

Appeals:

No procedure for appeals.

Renewals:

None. However, at the discretion of the Division of International Conservation, active awards can be modified, e.g.: time extensions or budget changes.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: To the extent possible, grant funds are matched by nonfederal funds. This program has no statutory formula. In determining whether to approve project proposals, preference is given to projects for which matching funds are available. Maintenance of Effort is not required. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awarded funds must be spent during the performance period that is approved for each Assistance Award, and in accordance with program financial and performance reporting procedures. See the following for information on how assistance is awarded/released: Funds are disbursed to recipients as requested and in accordance with the payment methods prescribed in 43 CFR Part 12, or as otherwise prescribed in program-specific legislation.

Reports:

Program reports are not applicable. Cash reports are not applicable. Recipients must submit a final performance report no later than 90 calendar days after the award end date. Recipients of awards with performance periods longer than 12 months will be required to submit interim reports. Program may require recipients to submit interim reports annually, semiannually, or quarterly. Program will detail all performance reporting requirements, including frequency and due dates, in the notice of award letter. Domestic recipients must report expenditures using the SF 425, Federal Financial Report form. Non-domestic recipients must submit a financial table or spreadsheet in the same format as the original project proposal budget, showing itemized expenditures for the reporting period. Recipients must submit a final report no later than 90 calendar days after the award end date. Recipients of awards with performance periods longer than 12 months will be required to submit interim reports. Program may require recipients to submit interim reports annually, semiannually, or quarterly. Program will detail all financial reporting requirements, including frequency and due dates, in the notice of award letter. Recipients are responsible for monitoring performance for each award and sub-award under this program in accordance with 43 CFR Part 12. Complete reporting requirements can be found at this program's web page at <http://www.fws.gov/international/pdf/assistance-award-guidelines.pdf>.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Recipients will maintain records in accordance with the provisions of 43 CFR Part 12, Subpart C, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments" and 43 CFR Part 12, Subpart F, "Uniform Administrative Requirements for Grants and Agreements With Institutions of Higher Education, Hospitals, and Other Nonprofit Organizations."

Account Identification:

14-1652-0-1-302.

Obligations:

(Project Grants (Discretionary)) FY 12 \$1,577,576; FY 13 est \$1,500,000; and FY 14 est \$1,000,000

Range and Average of Financial Assistance:

Variable amounts. Generally \$50,000 or less. Higher amounts may be requested.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Received 55 applications, issued 22 awards. Fiscal Year 2013: Received 42 applications, program anticipates issuing 25 awards. Fiscal Year 2014: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Awards will be administered in conformance with the "Assistance Award Guidelines" established by the Division of International Conservation, which

uses as its source all applicable award terms and conditions found at <http://www.doi.gov/pam/TermsandConditions.html>. The "Assistance Award Guidelines" can be found on-line at <http://www.fws.gov/international/pdf/assistance-award-guidelines.pdf> or in hard copy by contacting the Division of International Conservation.

Regional or Local Office:

None.

Headquarters Office:

Chief U.S. Fish and Wildlife Service, Division of International Conservation, Room 100, 4401 N. Fairfax Drive, Arlington, Virginia 22203 Email: MSCF_AfricanElephant@fws.gov Phone: (703) 358-1754 Fax: (703) 358-2115

Website Address:

<http://www.fws.gov/international/wildlife-without-borders/african-elephant-conservation-fund.html>

RELATED PROGRAMS:

15.619 Rhinoceros and Tiger Conservation Fund; 15.621 Asian Elephant Conservation Fund; 15.629 Great Apes Conservation Fund; 15.645 Marine Turtle Conservation Fund

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: The Program supported: 1) the purchase of new equipment and supported essential road maintenance for park management purposes in North Luangwa National Park in Zambia. Funds will be used to purchase rations for field patrols, upgrade the radio system for law enforcement communications, and improve park. 2)Funding also enhanced the protection and monitoring activities in Nouabale-Ndoki National Park in the Republic of Congo, one of the most important forest elephant areas in Central Africa, by supporting and equipping ecoguards, improving outposts to support mobile and rapid response patrols at strategic locations throughout the area, working with logging concessionaires to implement effective conservation management in the zones adjacent to the park, and maintaining a spatially explicit monitoring system to analyze wildlife distribution data and law enforcement information in order to detect and respond to threats quickly. 3) Grant funds also provided continued support for anti-poaching teams from scouts of numerous community-owned conservancies in key elephant areas in Kenyas arid northern rangelands. Fiscal Year 2013: Program has not yet selected projects for funding. Program anticipates funding projects that are effective for the long-term conservation of African elephants, and those that are similar in scope to FY 2012. Fiscal Year 2014: Program anticipates funding projects that are effective for long-term conservation of African elephants, and those that are similar in scope to FY 2013.

CRITERIA FOR SELECTING PROPOSALS:

Proposals will be reviewed based on criteria developed from the requirements of the African Elephant Conservation Act (16 U.S.C. 4201 et. seq.). Additional details on the selection criteria can be found in the African Elephant Notice of Funding Availability and Application Instructions <http://www.fws.gov/international/grants-and-reporting/how-to-apply.html>. Hard copies of the criteria are available upon request from the Division of International Conservation.

15.621 ASIAN ELEPHANT CONSERVATION FUND

FEDERAL AGENCY:

Fish and Wildlife Service, Department of the Interior

AUTHORIZATION:

Asian Elephant Conservation Reauthorization Act of 2007, Public Law 110-133, 121 Stat. 1362; Asian Elephant Conservation Act, Public Law 105-96, 111 Stat. 2150, 16 U.S.C. 4261-4266.

OBJECTIVES:

To provide financial assistance to support the effective long-term conservation of Asian elephants. This program provides support for projects that focus on one or more of the following areas: Applied research, including surveys and monitoring; enhanced compliance with treaties and laws that prohibit the take or trade of Asian elephant or regulate the use and management of their habitat; conservation education and community outreach; development and execution of conservation management plans; enhanced protection of at-risk populations;

habitat conservation and management, including protected area and reserve management; local capacity building; reduction of human-elephant conflicts; transfrontier conservation; and wildlife inspection, law enforcement, and forensics skills.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Project work should occur within the range of the Asian elephant, or, if work is to be conducted outside of the range, the proposal should show a clear relevance to Asian elephant conservation. Applied research projects should address specific management needs and actions. Funds provided under this program will not be used for: the purchase of firearms or ammunitions; buying of intelligence information or paying informants; gathering information by persons who conceal their true identity; law enforcement operations that prompt suspects to carry out illegal activities so they may be arrested (entrapment); or any activity that would circumvent sanctions, laws or regulations of either the U.S. or the country in which the activity would occur. Funds may not be used to provide material support or resources to individuals, entities, or organizations of countries that have been identified by the U.S. Department of State as state sponsors of terrorism. The countries currently identified are: Cuba, Iran, Sudan, and Syria. This program is administered in compliance with the Federal Grants and Cooperative Agreement Act of 1977, as amended. These funds may not be used towards training U.S. Federal Government personnel. 100%.

Applicant Eligibility:

Applications may be submitted by any Asian government agency responsible for Asian elephant conservation and protection, and any other organization or individual with demonstrated experience in Asian elephant conservation.

Beneficiary Eligibility:

Any Asian government agency responsible for Asian elephant conservation and protection, and any other organization or individual with demonstrated experience in Asian elephant conservation.

Credentials/Documentation:

No Credentials or documentation are required. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. The program announcement and application instructions for this program can be found at Grants.gov website <http://www.grants.gov/search/basic.do>. The Notice of Funding Availability and Application Instructions are also located on this program's website at <http://www.fws.gov/international/pdf/notice-of-funding-availability-asian-elephant.pdf>. If you are unable to access the Internet, hard copies are available upon request from the Branch of Near East, South Asia & Africa, Division of International Conservation, U.S. Fish and Wildlife Service, 4401 N. Fairfax Drive, Room 100, Arlington, Virginia 22203. A proposal will not be considered complete if required elements are not submitted as instructed. U.S. applicants must submit (among other elements) a complete, signed Standard Form 424 "Application for Federal Assistance."

Award Procedure:

Projects are reviewed and competitively selected for funding using criteria developed on the requirements of the Asian Elephant Conservation Fund (16 USC 4261 4266.) Review criteria can be found in the application information at <http://www.fws.gov/international/grants-and-reporting/how-to-apply.html>. Once a proposal has been selected for funding, an FWS approving official executes an Assistance Award between the U.S. Fish and Wildlife Service and the Recipient. Fully executed Awards are sent to the Recipient either electronically or through the mail. The Division of International Conservation then administers all other aspects of the Assistance Award.

Deadlines:

Nov 01, 2012 November 1.

Range of Approval/Disapproval Time:

Decisions on funding of project proposals are made within 180 days after receipt of the project proposal.

Appeals:

No procedure for appeals.

Renewals:

None. However; at the discretion of the Division of International Conservation, active awards can be modified, e.g.: time extensions or budget changes.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: To the extent possible, grant funds are matched by nonfederal funds. This program has no statutory formula. In determining whether to approve project proposals, preference is given to projects for which matching funds are available. Maintenance of Effort is not required.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awarded funds must be spent during the performance period that is approved for each Assistance Award, and in accordance with program financial and performance reporting procedures. See the following for information on how assistance is awarded/released: Funds are disbursed to recipients as requested and in accordance with the payment methods prescribed in 43 CFR Part 12, or as otherwise prescribed in program-specific legislation.

Reports:

Program reports are not applicable. Cash reports are not applicable. Recipients must submit a final performance report no later than 90 calendar days after the award end date. Recipients of awards with performance periods longer than 12 months will be required to submit interim reports. Program may require recipients to submit interim reports annually, semiannually, or quarterly. Program will detail all performance reporting requirements, including frequency and due dates, in the notice of award letter. Domestic recipients must report expenditures using the SF 425, Federal Financial Report form. Non-domestic recipients must submit a financial table or spreadsheet in the same format as the original project proposal budget, showing itemized expenditures for the reporting period. Recipients must submit a final report no later than 90 calendar days after the award end date. Recipients of awards with performance periods longer than 12 months will be required to submit interim reports. Program may require recipients to submit interim reports annually, semiannually, or quarterly. Program will detail all financial reporting requirements, including frequency and due dates, in the notice of award letter. Recipients are responsible for monitoring performance for each award and sub-award under this program in accordance with 43 CFR Part 12. Complete reporting requirements can be found at this program's web page at <http://www.fws.gov/international/pdf/assistance-award-guidelines.pdf>.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Recipients will maintain records in accordance with the provisions of 43 CFR Part 12, Subpart C, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments" and 43 CFR Part 12, Subpart F, "Uniform Administrative Requirements for Grants and Agreements With Institutions of Higher Education, Hospitals, and Other Nonprofit Organizations."

Account Identification:

14-1652-0-1-302.

Obligations:

(Project Grants (Discretionary)) FY 12 \$1,926,072; FY 13 est \$1,550,000; and FY 14 est \$1,500,000

Range and Average of Financial Assistance:

Variable amounts. Generally \$50,000 or less. Higher amounts may be requested.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Received 55 applications, issued 39 awards. Fiscal Year 2013: Received 39 applications, program anticipates issuing 20 awards. Fiscal Year 2014: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Awards will be administered in conformance with the "Assistance Award Guidelines" established by the Division of International Conservation, which uses as its source all applicable award terms and conditions found at <http://www.doi.gov/pam/TermsandConditions.html>. The "Assistance Award Guidelines" can be found on-line at <http://www.fws.gov/international/pdf/assistance-award-guidelines.pdf> or in hard copy by contacting the Division of International Conservation.

Regional or Local Office:

None.

Headquarters Office:

Chief, Fish and Wildlife Service, Division of International Conservation, Room 100, 4401 N. Fairfax Drive, Arlington, Virginia 22203 Phone: (703) 358-1754 Fax: (703) 358-2115.

Website Address:

<http://www.fws.gov/international/wildlife-without-borders/asian-elephant-conservation-fund.html>

RELATED PROGRAMS:

15.619 Rhinoceros and Tiger Conservation Fund; 15.620 African Elephant Conservation Fund; 15.629 Great Apes Conservation Fund; 15.645 Marine Turtle Conservation Fund

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: The program supported: 1) Funding for an innovative educational program and associated materials that can be used to raise awareness about elephants and human-elephant conflict, and bring about desirable attitude and behavior changes in people living in or near elephant habitats in Thailand. 2) prevent poaching of elephants, rhinos, tigers, and other wildlife, and counteract all forms of wildlife crime, habitat destruction, illegal logging and encroachment in Bukit Barisan Selatan National Park. 3) Funding to apply human-elephant conflict mitigation techniques that have been successful southern Sumatra, in two HEC prone districts of Aceh Province in northern Sumatra. The project aims to develop and initiate demonstration sites for the implementation of low-cost conflict mitigation by local communities, and facilitate a collaborative community-led guarding team for mitigating HEC. Fiscal Year 2013: Program has not yet selected projects for funding. Program anticipates funding projects that are effective for the long-term conservation of Asian elephants, and those that are similar in scope to FY 2012. Fiscal Year 2014: Program anticipates funding projects that are effective for long-term conservation of Asian elephants, and those that are similar in scope to FY 2013.

CRITERIA FOR SELECTING PROPOSALS:

Proposals will be reviewed based on criteria developed from the requirements of the Asian Elephant Conservation Act (16 U.S.C. 4261-4266). Additional details on the selection criteria can be found in the Asian Elephant Notice of Funding Availability and Application Instructions <http://www.fws.gov/international/grants-and-reporting/how-to-apply.html>. Hard copies of the criteria are available upon request from the Division of International Conservation.

15.622 SPORTFISHING AND BOATING SAFETY ACT

FEDERAL AGENCY:

Fish and Wildlife Service, Department of the Interior

AUTHORIZATION:

Sportfishing and Boating Safety Act, Title VII, Subtitle D, Section 7404, Public Law 105-178, 16 U.S.C. 777g-1, and the Safe, Accountable, Flexible, Efficient Transportation Equity Act, Public Law 109-059.

OBJECTIVES:

This program provides funding to States, the District of Columbia, Commonwealths, and territories for the construction, renovation, and maintenance of tie-up facilities for transient, recreational boats 26 feet or greater.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

This program authorizes matching funds on a competitive basis for constructing, renovating, or maintaining tie-up facilities for transient, recreational boats 26 feet or more in length and to produce and distribute information and educational materials about the program. Prohibited activities include; law enforcement, construct, renovate, or maintain tie up facilities for boats less than 26 feet and any activity that does not provide public benefits. For Further information, please contact the regional office. Approximately 70% of funds are discretionary, but this varies annually.

Applicant Eligibility:

Agencies from the 50 States, the District of Columbia, the Commonwealths of Puerto Rico and the Northern Mariana Islands, and the territories of Guam, the U.S. Virgin Islands, and American Samoa may submit grant proposals to the U.S. Fish and Wildlife Service.

Beneficiary Eligibility:

General Public, specifically owners and/or users of transient, recreational boats more than 26 feet or greater, and municipalities and private marinas within those eligible States, the District of Columbia, Commonwealths, and territories.

Credentials/Documentation:

Applicants must be a governor designated State agency eligible to apply to the program. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Environmental impact statement not required not to apply. However, sometimes one is required before an award can be made. For further information, please contact the regional office. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applicant must complete the Standard Form (SF) 424, and the appropriate Budget and Assurances forms (SF 424A and SF 424B Non-construction; or SF 424C and SF 424D construction). In addition, applicants will submit a grant proposal that includes a narrative statement describing the need, objectives, benefits, approach, and estimated cost for the proposed grant along with the standard application forms furnished by the Federal agency and required by 43 CFR Part 12, Subpart C, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments" and the Fish and Wildlife Service Manual. For further instructions and forms go to <http://wsfrprograms.fws.gov/subpages/toolkitfiles/toolkit.pdf> or www.grants.gov.

Award Procedure:

The Director of the U.S. Fish and Wildlife Service will select projects for funding based on established criteria and available funding. Regional Offices make awards to approved applicants.

Deadlines:

Sep 30, 2013 The deadline for submission of applications for BIG Tier 1 and Tier 2 subprograms is typically in late September.

Range of Approval/Disapproval Time:

Approximately 120 days.

Appeals:

Regional Directors will consider differences of opinion concerning the eligibility of proposals. Final determination rests with the Director of the U.S.

Fish and Wildlife Service.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: The annual program funding comes from the Sport Fish Restoration and Boating Trust Fund. It receives two percent of the funds in the Trust Fund. Trust funds and derived from excise taxes on fishing equipment, motorboat and small engine fuels, import duties, and interest on the fund. The Federal share of the project costs cannot exceed 75 percent for the 50 States, the Commonwealth of Puerto Rico, and the District of Columbia. The first \$200,000 of match must be waived for the Commonwealth of Northern Mariana Islands and the territories of Guam, the U.S. Virgin Islands, and American Samoa.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Projects are normally funded through one-time, periodic, or annual awards, depending on the applicant and funding year. See the following for information on how assistance is awarded/released: The program obligates funds and sends a notice of award to successful applicants. Recipients request funds in accordance with 43 CFR Part 12, unless otherwise dictated by special award terms. The program will include any special payment terms and conditions in the notice of award.

Reports:

Program reports are not applicable. Cash reports are not applicable. A performance report must be submitted for each grant award annually within 90 days after the anniversary date or end of the grant. Recipients are responsible for monitoring and reporting performance for each award and sub-award under this program in accordance with 43 CFR Part 12 and 2 CFR Part 170. A Federal Financial Report SF 425 is required. Recipients of awards with performance periods longer than 12 months will be required to submit interim reports. The program will detail all performance reporting requirements, including frequency and due dates, in the notice of award letter.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Cost records must be maintained separately for each grant. Records, accounts, and supporting documents must be retained for three years after final submission of the final Federal Financial Report.

Account Identification:

14-8151-0-7-303.

Obligations:

(Project Grants (Discretionary)) FY 12 \$15,000,000; FY 13 est \$13,500,000; and FY 14 est \$14,000,000 - (estimate based on prior year funding levels only).

Range and Average of Financial Assistance:

Tier 1 grants average \$100,000; Tier 2 range from \$100,000 to \$1,500,000; Average \$500,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: As of 2012, the program has resulted in the development of over 1,600 tie-up or mooring facilities for eligible boats, as well as other amenities such as restrooms and fueling facilities. In FY12 the program received 39 applications and issued 38 awards. Fiscal Year 2013: Anticipated accomplishments include construction of 200 new tie-up facilities for eligible boaters (estimate only). In FY13 the program received 26 applications and anticipates issuing 24 awards. Fiscal Year 2014: Anticipated accomplishments include construction of 200 new tie-up facilities and other benefits for eligible boaters (estimate only). In FY14 the program anticipates receiving 35 applications and issuing 32 awards. (estimate only).

REGULATIONS, GUIDELINES, AND LITERATURE:

50 CFR Part 86.

Regional or Local Office:

See Regional Agency Offices. See Catalog Appendix IV for addresses of Regional Fish and Wildlife Service Offices.

Headquarters Office:

Policy and Programs Director U.S. Fish and Wildlife Service, Wildlife and Sport Fish Restoration Program - Policy and Programs, 4401 North Fairfax Drive, WSFR-4020, Arlington, Virginia 22203 Phone: (703)358-2156

Website Address:

<http://wsfrprograms.fws.gov>.

RELATED PROGRAMS:

15.605 Sport Fish Restoration Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Examples of FY12 funded projects include: construction of tie-up facilities for non-trailerable, recreational vessels of 26 feet or greater in length; development of amenities for eligible boaters, such as restrooms and other facilities; development of utilities such as shore power and fueling stations for eligible boaters. Fiscal Year 2013: Program has not yet selected projects for funding. Program anticipates funding projects that include: construction of tie-up facilities for non-trailerable, recreational vessels of 26 feet or greater in length; development of amenities for eligible boaters, such as restrooms or other facilities; development of utilities or other services for eligible vessels. Fiscal Year 2014: Program has not yet selected projects for funding. Program anticipates funding projects that include: construction of tie-up facilities for non-trailerable, recreational vessels of 26 feet or greater in length; development of amenities for eligible boaters, such as restrooms or other facilities; development of utilities or other services for eligible vessels.

CRITERIA FOR SELECTING PROPOSALS:

The designated State, the District of Columbia, Commonwealth, or territorial agency must submit proposals to participate in this program. The purpose of these projects must be consistent with the purpose and conditions of the Act. The criteria for selecting proposals are based upon the extent the proposed project: contributes to construction of tie-up facilities according to the State's, the District of Columbia's, Commonwealth's, or territory's approved plan; contributes to public/private partnerships; implements innovative approaches to increasing availability and use of tie-up facilities; includes private, local, or other State, District of Columbia, Commonwealth, or territorial funds in addition to the 25 percent match; is cost efficient; provides a significant link to prominent destination way points such as those near cultural or natural sites; provides access to recreational, historic, cultural, natural, or scenic opportunities of local, regional, or national significance; provides significant economic impacts to a community; and includes multistate efforts that result in coordinating location of tie-up facilities.

15.623 NORTH AMERICAN WETLANDS CONSERVATION FUND

(NAWCF)

FEDERAL AGENCY:

Fish and Wildlife Service, Department of the Interior

AUTHORIZATION:

North American Wetlands Conservation Act, Public Law 101-233, as amended, 16 U.S.C. 4401.

OBJECTIVES:

To provide grant funds for wetlands conservation projects in the United States, Canada, and Mexico.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Funds may be used to acquire real property interest in lands or waters, including water rights. Funds may also be used to restore, manage, and/or enhance wetland ecosystems and other habitat for migratory birds and other fish and wildlife. Projects must provide long-term conservation for wetlands-associated migratory birds and other wetlands-associated wildlife. Coastal Wetlands

Planning, Protection and Restoration act-derived funds eligible for NAWCA projects may be used only in U.S. coastal wetlands ecosystems. More specific restrictions are outlined on the NAWCA grants information and instructions website. For further information, please contact the headquarters office. 100%.

Applicant Eligibility:

Available to private or public organizations or to individuals who have developed partnerships to carry out wetlands conservation projects in the U.S., Canada, and Mexico.

Beneficiary Eligibility:

Available to any private or public organization or individual.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Complete program information and application instruction can be found on the Division of Bird Habitat Conservation (DBHC) website, at <http://www.fws.gov/birdhabitat/Grants/NAWCA>, or can be accessed through [grants.gov](http://www.grants.gov). Grant applications, including Standard Form 424 Application for Federal Assistance, and the appropriate Assurance forms (SF 424C or SF 424D) are submitted through www.grants.gov.

Award Procedure:

Applications are screened by the Coordinator's staff, and further reviewed and ranked by the North American Wetlands Conservation Council (Council) Staff and North American Waterfowl Management Plan Joint Venture Coordinators. Site visits are conducted as needed. Grant proposals are recommended for approval by the Council to the Migratory Bird Conservation Commission (Commission). The U.S. Fish and Wildlife Service conducts a National Environmental Policy Act and National Historic Preservation Act review of the projects that the Council selects to recommend to the Commission. The Council selects proposals in March, July, and December that are recommended to the Commission for final funding approval in September, March, and June respectively. The grant is administered by FWS Division of Bird Habitat Conservation.

Deadlines:

Oct 31, 2013: Small Grant Proposals. U.S. Standard grants are due March 1, 2013 and July 26, 2013, small grant proposals are due October 31, 2013. Specific proposal due dates are published on the NAWCA website: <http://www.fws.gov/birdhabitat/Grants/NAWCA/index.shtm>.

Range of Approval/Disapproval Time:

The range of time from proposal submission to the Commission meeting for standard grants is 7 to 11 months. Small grants approvals are usually known in 4 months.

Appeals:

Not Applicable.

Renewals:

Proposals are funded on a project-specific basis. Requests for future funding must complete with other requests for project funding. A grant agreement can be modified or extended at the discretion of the Division of Bird Habitat Conservation.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: The required matching share varies on a grant-by-grant basis and is set forth in the grant award, but must be at least 50 percent of the project costs, except that the project activities located on Federal lands and waters can be funded with 100 percent Federal funding. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grant funds should be spent within the 1-2 year grant period, although the grant

period can be extended for reasonable cause. All grants issued to non-Federal entities are paid through the Department of Treasury's Automated Standard Application for Payment System. Funds provided directly to a Federal entity by FWS are governed by a separate agreement between FWS and the recipient Federal entity. See the following for information on how assistance is awarded/released. See the following for information on how assistance is awarded/released: Funds are disbursed to recipients as requested and in accordance with the payment methods prescribed in 43 CFR Part 12, or as otherwise prescribed in program-specific legislation.

Reports:

Final reports must be filed with the Division of Bird Habitat Conservation within 90 days of the end of the project expiration or termination date. Cash reports are not applicable. A performance report is required for each grant award annually, at the end of the fiscal quarter following the award anniversary date. A final performance report is due at the end of the fiscal quarter following the end of the award period of performance. Interim and final reports must be submitted in accordance with the terms and conditions of the grant agreement and must include SF-425 Federal Financial Report. Recipients must report expenditures using the SF 425, Federal Financial Report form. Recipients are responsible for monitoring and reporting performance each award and sub-award under this program in accordance with 43 CFR Part 12 and 2 CFR Part 170.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

The Recipient shall maintain a complete, detailed accounting system to report expenditures of grant funds and a detailed accounting of receipts and expenditures of non-Federal matching dollars or value of services. Records, accounts, and supporting documents must be retained for 3 years after submission for final report.

Account Identification:

14-5241-0-2-302.

Obligations:

(Project Grants (Discretionary)) FY 12 \$70,540,304; FY 13 est \$70,000,000; and FY 14 est \$70,000,000 - FY13 and FY14 amounts are estimates based on prior year funding levels only.

Range and Average of Financial Assistance:

Range is \$0 to \$75,000 for Small Grants; over \$75,000 to \$1,000,000 for U.S. Standard Grants. Average award is approximately \$42,000 and \$710,000 for Small Grants and U.S. Standard Grants, respectively.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: The program received 141 applications and issued 102 awards. Fiscal Year 2013: The program anticipates receiving 140 applications and issuing 100 awards. Fiscal Year 2014: The program anticipates receiving 140 applications and issuing 100 awards.

REGULATIONS, GUIDELINES, AND LITERATURE:

U.S. Standard Grants Application Instructions; Small Grants Instructions; U.S. Grant Administration Standards; 43 CFR Part 12 and 49 CFR Part 24.

Regional or Local Office:

See Regional Agency Offices. Regional Office or Local Office For additional program information, see Appendix IV for addresses of USFWS Regional Offices.

Headquarters Office:

Chief, Office Fish and Wildlife Service, Department of the Interior, Washington, DC 20240. Division of Bird Habitat Conservation, MBSP 4075, 4401 N. Fairfax Drive, Arlington, Virginia 22203 Phone: (703) 358-1784.

Website Address:

<http://www.fws.gov/birdhabitat/Grants/NAWCA>

RELATED PROGRAMS:

15.605 Sport Fish Restoration Program; 15.611 Wildlife Restoration; 15.614 Coastal Wetlands Planning, Protection and Restoration Act; 15.635 Neotropical Migratory Bird Conservation

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Prairies Without Borders phase 3, South Dakota - The goal of Prairies Without Borders is to use multiple NAWCA grants to protect 26,000 acres of wetlands and grasslands in the eastern areas of the Prairie Pothole Region. The long-term benefits of these actions are primarily accomplished through perpetual conservation easements and the promotion of sustainable grassland ranching. The 3,217 acres of habitat preserved by this project will provide direct benefits not only to waterfowl, but also to numerous species of shorebirds, wading birds, marsh birds, and grassland songbirds and other grassland-dependent wildlife species.

Heads of the Estuaries Partnership phase 2, Maine - The Heads of the Estuaries Partnership, a consortium of government agencies, regional conservation organizations and local land trusts, is engaged in a five-year campaign to protect 25 miles of coastline, 5,000 acres of coastal uplands and 2,500 acres of coastal wetlands along the tidal embayments stretching from the Corea Peninsula to Machias Bay in coastal Downeast Maine a region of concentrated, high-value wetland habitat for waterfowl and shorebirds. This project will permanently protect 11 parcels totaling 1,217 acres. Surveys indicate that the wetlands targeted for permanent protection provide habitat for waterfowl, and the project region provides roosting and feeding areas for tens of thousands of migrating shorebirds of at least 36 species.

Ace Basin phase 6: Edisto River Corridor, South Carolina - The purpose of the ACE Basin: Edisto River Corridor initiative is to create the largest forested wetland preserve possible (20,000 acres or more) at the Audubon Center and Sanctuary at the Francis Beidler Forest (Beidler Forest) in Four Holes Swamp, and to add substantively to the matrix of protected lands within the riparian and coastal zone of the Edisto River and the ACE Basin. Beidler Forest in Four Holes Swamp of the Edisto River Corridor is on the Ramsar List of Wetlands of International Importance and is ACE a Registered National Natural Landmark. This proposal is the sixth phase of a multi-year project to protect strategic freshwater, forested and estuarine wetlands, and associated uplands in the ACE Basin and the Edisto River corridor, and will protect 13 new tracts, totaling 2,803 new acres, including 935 acres of wetlands and 1,868 acres of upland to benefit breeding, migrating, and wintering birds. Fiscal Year 2013: Projects are still being selected. Program will fund projects that provided long-term conservation for wetlands-associated migratory birds and other wetlands-associated wildlife throughout the U.S., Mexico, and Canada. Fiscal Year 2014: Program anticipates funding projects similar in scope to those in FY 12 and FY 13.

CRITERIA FOR SELECTING PROPOSALS:

The Council selects proposals based on factors given in Section 5 of the North American Wetlands Conservation Act, results of site visits, available funds and other factors deemed important to meeting Act objectives. U.S. standard and small grant proposals are additionally evaluated against answers to Technical Assessment Questions taken from Section 5 of the Act and annually published on the web site in application instructions.

15.625 WILDLIFE CONSERVATION AND RESTORATION

FEDERAL AGENCY:

Fish and Wildlife Service, Department of the Interior

AUTHORIZATION:

Department of Transportation and Related Agencies (i.e., Commerce, Justice, and State) Appropriations Act of 2001, Public Law 106-553, Title IX, Sec. 901; 16 USC 669-669i.

OBJECTIVES:

The program provides financial assistance to States, the District of Columbia, Commonwealths, and territories under the Pittman-Robertson Wildlife Restoration Act to plan and implement projects for benefit of a diverse array of wildlife and associated habitats, including species that are not hunted or fished.

It also fulfills unmet needs of wildlife within States, the District of Columbia, Commonwealths, and territories that includes wildlife education and recreation activities.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Approved activities include those, which plan, enhance, and implement programs for wildlife and their habitats, including species that are not hunted or fished. States, the District of Columbia, Commonwealths, and territories must pass laws for the conservation of wildlife, which include a prohibition against diversion of license fees paid by hunters for purposes other than the administration of the State, the District of Columbia, Commonwealth, and territorial fish and wildlife agencies. No funds must be used for projects that oppose legal hunting. Federal share may not exceed 75 percent of total funding.

Applicant Eligibility:

Agencies from the 50 States, the District of Columbia, the Commonwealths of Puerto Rico and the Northern Mariana Islands, and the territories of Guam, the U.S. Virgin Islands, and American Samoa with primary responsibility for fish and wildlife conservation may submit grant proposals to the U.S. Fish and Wildlife Service. To be eligible, they must pass assent legislation to the provisions of the Act for the conservation of wildlife that includes a prohibition against the diversion of license fees paid by hunters for any other purpose than the administration of the fish and wildlife agency. In addition, the States, the District of Columbia, Commonwealths, and territories must have an approved comprehensive plan as described by the Appropriations Act.

Beneficiary Eligibility:

General Public (While direct participation is limited to fish and wildlife agencies, the public will ultimately benefit from these wildlife conservation measures.)

Credentials/Documentation:

The State, the District of Columbia, Commonwealth, or territory must have an approved Comprehensive Wildlife Conservation Plan on file to receive grant funds. The Plan must consider the broad range of the State, the District of Columbia, Commonwealth, or territory agencies wildlife and associated habitats, with appropriate priority placed on those species of greatest conservation need. Each year within 60 days of the apportionment notice, States, the District of Columbia, Commonwealths, and territories must notify the Secretary of the Interior that they want to participate in the program for the year. The State, the District of Columbia, Commonwealth, or territorial fish and wildlife Director must furnish a certification of the number of paid hunting-license holders. Allowable costs are determined in accordance with 43 CFR Part 12, Subpart C, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments.". This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Applicants may document initial program by submission of a satisfactory Comprehensive Wildlife Conservation Plan. Applicant must complete the Standard Form (SF) 424, and the appropriate Budget and Assurances forms (SF 424A and SF 424B Non-construction; or SF 424C and SF 424D construction). In addition, applicants will submit a grant proposal that includes a narrative statement describing the need, objectives, benefits, approach, and estimated cost for the proposed grant along with the standard application forms furnished by the Federal agency and required by 43 CFR Part 12, Subpart C, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments" and the Fish and Wildlife Service Manual. For further instructions and forms go to

<http://wsfrprograms.fws.gov/subpages/toolkitfiles/toolkit.pdf> or www.grants.gov.

Award Procedure:

The Regional Director of the U.S. Fish and Wildlife Service or his designee approves or disapproves proposed grants. Regional Offices are responsible for notification of grant approval to the grantee.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Approximately 30 days.

Appeals:

Regional Directors will consider differences of opinion concerning the eligibility of proposals. Final determination rests with the Director of the U.S. Fish and Wildlife Service.

Renewals:

Grantees may renew projects on an annual basis if justifiable and if funds are available.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: This program is required by statute to provide funds using a specified formula. The authorizing Appropriation Act states: (1) The Secretary of the Interior must make the following apportionment from the Wildlife Conservation and Restoration Account: (A) to the District of Columbia and to the Commonwealth of Puerto Rico, each a sum equal to not more than one-half of 1 percent thereof; (B) to the Commonwealth of the Northern Mariana Islands and the territories of American Samoa, Guam, and the U.S. Virgin Islands, each a sum equal to not more than one-fourth of 1 percent thereof; (2) (A) The Secretary of the Interior, after making the apportionment under paragraph (a), must apportion the remaining amount in the Wildlife Conservation and Restoration Account for each fiscal year among the States in the following manner: (i) one-third of which is based on the ratio to which the land area of such State bears to the total land area of all such States; and (ii) two-thirds of which is based on the ratio to which the population of such State bears to the total population of all such States. (B) The amounts apportioned under this paragraph must be adjusted equitably so that no such State shall be apportioned a sum which is less than one percent of the amount available for apportionment under this paragraph for any fiscal year or more than five percent of such amount. The State, the District of Columbia, Commonwealth, or territory must have an approved Comprehensive Wildlife Conservation Plan on file to receive grant funds. The Federal share of funded programs or projects may not exceed 75 percent. More discuss on Matching and Cost-sharing requirements can be found in 43 CFR 12.64 and 43 CFR 12.923.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Not applicable. See the following for information on how assistance is awarded/released: For further information, please contact the regional office.

Reports:

A performance report is required for each grant award annually within 90 days after the anniversary date and/or end of the grant. Cash reports are not applicable. Program will detail all performance reporting requirements, including frequency and due dates, in the notice of award letter. A SF-425, Federal Financial Report, is required for each grant award annually within 90 days after the anniversary date and/or end of the grant. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Cost records must be maintained separately for each grant. Records, accounts,

and supporting documents must be retained for three years after submission of the final Financial Status Report.

Account Identification:

14-5029-0-2-303.

Obligations:

(Formula Grants) FY 12 \$60,000; FY 13 est \$60,000; and FY 14 est \$60,000 - Program was funded in 2001 only. CFDA should remain active to allow Bureau to close all open awards and report any associated amendments to government-wide systems that require the identification of an active CFDA number as a key reporting element.

Range and Average of Financial Assistance:

Based on statutory formula - \$121,000 to \$2,425,000; \$904,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: The program anticipates receiving 0 applications and issuing 0 awards. Fiscal Year 2013: The program anticipates to receive 0 applications and issue 0 awards. Fiscal Year 2014: The program anticipates receiving 0 applications and issuing 0 awards.

REGULATIONS, GUIDELINES, AND LITERATURE:

50 CFR 80; Fish and Wildlife Service Manual; Federal Aid in Fish and Wildlife Restoration Annual Report (limited number available at no cost).

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

U.S. Fish and Wildlife Service Wildlife and Sport Fish Restoration Program Policy and Programs, 4401 North Fairfax Drive, WSFR 4020, Arlington, Virginia 22203 Phone: (703) 358-2156

Website Address:

<http://wsfrprograms.fws.gov>

RELATED PROGRAMS:

15.605 Sport Fish Restoration Program; 15.608 Fish and Wildlife Management Assistance; 15.611 Wildlife Restoration; 15.615 Cooperative Endangered Species Conservation Fund

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Massachusetts Department of Fish and Game incorporated a property into their Wildlife Management Area system through the fee purchase of 106.95 acres of important habitat. The purchase permanently protects the parcel from development, provides critical habitat for species of greatest conservation need, and protects a clearly identified, vital natural resource area. The purchase also expands compatible recreational opportunities for hunters, fishermen, naturalists, birders and anyone with an interest in the outdoors.

In the District of Columbia, a project teaches citizens the importance of backyard habitats, how citizens can develop them and how these improved sites can help provide needed habitat for wildlife (especially birds and small mammals) while enhancing peoples' properties and environments. Participants increase their knowledge and understanding of local wildlife ecology while developing 200 active backyard habitat sites to increase wildlife habitat for urban species, increase citizen awareness and knowledge of the importance of habitat and how they can take action to help provide it, and reduce the amount of fertilizer and pesticides (nonpoint source pollution) used on District lands.

In Illinois, funds result in production of 27,000,000 fish at fish hatcheries each year, which are stocked in public waters throughout the State, promoting high quality fishing in Illinois. These efforts establish sport fisheries in new waters, restore and maintain sport fisheries in existing waters, and reduce the gap between supply and demand for quality angling days in Illinois. Fiscal Year 2013: New Hampshire plans for wildlife management on public lands, develops and maintains these lands for wildlife, and provides for consumptive and non-consumptive public use of these resources on 208,400 acres of owned or leased land at 326 sites statewide. The benefits from this work include more diverse and stable wildlife populations and more, better, and safer access to wildlife related recreation in New Hampshire.

In Minnesota, funds restore, conserve, manage and enhance approximately 70,000 acres of farmland, prairie, and grassland habitats on State Wildlife Management Areas (WMAs) annually, as well as 30,000 acres of forest habitats located on State WMAs. The project also restores wetlands habitats on 200,000 acres and 1,000 existing sites on State WMAs annually. The project results in increased wildlife-dependant recreation by providing hunting opportunities, and improved wildlife habitat.

In Rhode Island, funds support construction of a building to provide conservation development activities. This building replaces the obsolete structure at Round Top WMA which was destroyed by fire in March, 2009. This building provides improved facilities for housing and repairing maintenance equipment used on Fish and Wildlife public access facilities and management areas in Northern Rhode Island. Fiscal Year 2014: Program has not selected new projects for funding but anticipates funding projects similar in scope to those of FY 13 and FY 14.

CRITERIA FOR SELECTING PROPOSALS:

The State, the District of Columbia, Commonwealth, or territorial agency having lead responsibility for the management of their wildlife resources must submit the projects. The State, the District of Columbia, Commonwealth, or territorial agency selects those projects submitted for funding under the program. If approved, projects must meet the basic criteria outlined in the regulations and the Fish and Wildlife Service Manual.

**15.626 ENHANCED HUNTER EDUCATION AND SAFETY PROGRAM
FEDERAL AGENCY:**

Fish and Wildlife Service, Department of the Interior

AUTHORIZATION:

Pittman-Robertson Wildlife Restoration Act of 1937, 50 Stat. 917 as amended; 16 U.S.C. 669h-1; and 50 CFR Part 80-Administrative Requirements, Pittman-Robertson Wildlife Restoration and Dingell-Johnson Sport Fish Restoration Acts.

OBJECTIVES:

This program provides funds for the enhancement of hunter and archery education programs and the enhancement or construction of firearm shooting ranges and archery ranges.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

This program provides funds for the enhancement of: 1. hunter education programs, hunter and sporting firearms programs, and hunter development programs; 2. interstate coordination and development of hunter education programs; 3. bow hunter and archery education, safety, and development programs; 4. construction of firearm or archery ranges; and 5. updating safety features of firearm and archery ranges. Law enforcement and public relations are not eligible under the Act. Law enforcement and public relations are not eligible under the Act.

Applicant Eligibility:

Agencies from the 50 States, the Commonwealths of Puerto Rico and the Northern Mariana Islands, and the territories of Guam, the U.S. Virgin Islands, and American Samoa with primary responsibility for fish and wildlife conservation may submit grant proposals to the Fish and Wildlife Service. To be eligible, they must pass assent legislation to the provisions of the Act for the conservation of wildlife that include a prohibition against the diversion of license fees paid by hunters for any other purpose than the administration of the fish and wildlife agency.

Beneficiary Eligibility:

General Public (While direct participation is limited to fish and wildlife agencies, the general public will ultimately benefit from these wildlife conservation measures).

Credentials/Documentation:

States, Commonwealths, or territories must notify the Secretary the desire to participate annually. The State, Commonwealth, or territorial fish and wildlife

Director must furnish a certification of the number of paid hunting-license holders. Allowable costs are determined in accordance with 43 CFR Part 12, Subpart C, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments." This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Agencies from the 50 States, the Commonwealths of Puerto Rico and the Northern Mariana Islands, and the territories of Guam, the U.S. Virgin Islands, and American Samoa with primary responsibility for fish and wildlife conservation may submit grant proposals to the Fish and Wildlife Service. To be eligible, they must pass assent legislation to the provisions of the Act for the conservation of wildlife that include a prohibition against the diversion of license fees paid by hunters for any other purpose than the administration of the fish and wildlife agency. An applicant should consult the office or official designated as the single point of contact in his or her State, Commonwealth, or territory for more information on this process when applying for assistance if the State has selected the program for review. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Applicant must complete the Standard Form (SF) 424, and the appropriate Budget and Assurances forms (SF 424A and SF 424B Non-construction; or SF 424C and SF 424D construction). In addition, applicants will submit a grant proposal that includes a narrative statement describing the need, objectives, benefits, approach, and estimated cost for the proposed grant along with the standard application forms furnished by the Federal agency and required by 43 CFR Part 12, Subpart C, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments" and the Fish and Wildlife Service Manual. For further instructions and forms go to <http://wsfrprograms.fws.gov/subpages/toolkitfiles/toolkit.pdf> or www.grants.gov.

Award Procedure:

The Regional Director of the U.S. Fish and Wildlife Service or his or her designee approves or disapproves proposed grants. Regional Offices are responsible for notification of grant approval to the grantee.

Deadlines:

Aug 31, 2014 Grant application packages are due to a Regional Wildlife and Sport Fish Restoration (WSFR) Office no later than August 31, 2014.

Range of Approval/Disapproval Time:

Approximately 30 days.

Appeals:

Regional Directors will consider the differences of opinion about eligibility of proposals. Final determination rests with the Director of the U.S. Fish and Wildlife Service.

Renewals:

Grantees may renew projects on an annual basis if justifiable and if funds are available.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching Requirements: Matching requirements are up to 25 percent of the grant. Grant funds may be disbursed to States up to 75 percent of the total cost. Grant funds may be disbursed from 75 to 100 percent of the total project costs to the Commonwealths of Puerto Rico, Northern Mariana Islands and the territories of Guam, the U.S. Virgin Islands, and American Samoa. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Any funds not obligated within one year by a State, Commonwealth, or

territorial fish and wildlife agency revert to the U.S. Fish and Wildlife Service and reapportioned to States, Commonwealths, and territories that obligated their Section 4(c) Hunter Education and Safety apportionment on eligible hunter education and safety activities. See the following for information on how assistance is awarded/released: Funds are disbursed to recipients as requested and in accordance with the payment methods prescribed in 43 CFR Part 12, or as otherwise prescribed in program-specific legislation.

Reports:

Program reports are not applicable. Cash reports are not applicable. A Performance Report is required for each grant award annually within 90 days after the anniversary date or end date of the award. A Federal Financial Report SF 425. Recipients are responsible for monitoring performance for each award and sub-award under this program in accordance with 43 CFR Part 12.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Cost records must be maintained separately for each grant. Records, accounts, and supporting documents must be retained for three years after submission of the final Financial Status Report.

Account Identification:

14-5029-0-2-303.

Obligations:

(Formula Grants) FY 12 \$8,000,000; FY 13 est \$8,000,000; and FY 14 est \$8,000,000

Range and Average of Financial Assistance:

Range is \$13,300 to \$240,000; Average \$145,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: States enhanced existing shooting and archery range facilities and hunter-education, firearm safety, and archery programs. The program received approximately 55 applications and approved 55 awards. Fiscal Year 2013: States will enhance existing shooting and archery range facilities. Funds will also be utilized to enhance interstate coordination of hunter-education programs, enhance firearm and archery programs, and to update safety programs. The program anticipates receiving approximately 55 applications and approving 55 awards. Fiscal Year 2014: States typically utilize these funds to enhance existing shooting and archery range facilities. Funds can also be used for interstate coordination of hunter-education programs, to enhance firearm and archery programs, and to update safety programs. The program anticipates receiving approximately 55 applications and approving 55 awards.

REGULATIONS, GUIDELINES, AND LITERATURE:

50 CFR 80, Fish and Wildlife Service Manual, matching and cost-sharing requirements are discussed in 50 CFR 80.12, 43 CFR 12.64, and 43 CFR 12.923. Applicants can visit these regulations and guidelines at <http://wsfrprograms.fws.gov/subpages/toolkitfiles/toolkit.pdf>.

Regional or Local Office:

See Regional Agency Offices. See Regional Agency Offices.

Headquarters Office:

Director, Policy and Programs, Fish and Wildlife Service, Wildlife and Sport Fish Restoration Program - Policy and Programs, 4401 North Fairfax Drive, WSFR-4020, Arlington, Virginia 22203 Phone: (703) 358-2156.

Website Address:

<http://wsfrprograms.fws.gov/>.

RELATED PROGRAMS:

15.611 Wildlife Restoration

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: The Mississippi Department of Wildlife, Fisheries and Parks

worked to complete and maintain the Turcotte Shooting Range and education facility in order to promote public shooting sports and introduce hunting among youth and physically impaired persons. Advanced and regular hunter education, instructor training and public programs will be held at the facility. This project will ensure that hunting in Mississippi remains a safe and responsible recreational activity.

The Kentucky Department of Fish and Wildlife Resources certified educators and others to teach archery shooting and safety skills to 350,000 Kentucky physical education students in grade 4 through 12. The Archery in the Schools program gives many young people exposure to a sport they otherwise would not have been introduced to. This also provides an avenue for introducing Hunter Education to a non-traditional audience through activities and clubs outside the school program thus enhancing Kentucky's Hunter Education Program.

The Colorado Department of Natural Resources continued to upgrade the indoor range facility at the Northwest Facility Center located in Grand Junction. Upgrades include the following: bullet traps range lane target retriever system, ventilation system, electrical, and steel wall plating protection. The indoor shooting range will allow hunters and the shooting sports enthusiast to practice and improve their shooting skills at a supervised and safe facility. This will help ensure an enjoyable experience for all individuals interested in shooting sports. Fiscal Year 2013: The Louisiana Department of Wildlife and Fisheries will continue work at the Bodcau Shooting Range and provide additional features to improve and enhance range.. The Department will also: develop the Floy McElroy Wildlife Management Area to provide hunter education instruction for the general public; and provide for shotgun shooting opportunities at the Lafourche Parish Range for the public in an area of the state where few exist. Other planned activities include: the use of enhancement funding to maintain and upgrade various shooting ranges for public recreational shooting opportunities.

The North Carolina Wildlife Resources Commission will provide an enhanced level of support and service to volunteer instructors in the Hunter Education Program which will in turn improve instructor retention rates and increase the quality of student instruction. The Commission will also continue to develop and refine an Internet Hunter Education course. Archery education, including a pilot program for youth archery in schools will be developed. Muzzleloader education, advanced wing shooting education and tree stand safety will be added to the overall program. All of these components will contribute to increased hunter education classes offered to the public via internet and traditional classes, educate archery and muzzleloader hunters about the use of their specialized equipment and safety, educate bird hunters on bird wounding loss and how to increase their hunting skills to reduce loss, and how to use fall restraint systems for various elevated tree stand types thus reducing tree stand accidents.

The Tennessee Wildlife Resources Agency will be working on educating the public regarding deer herd health, population dynamics, and safety. The agency will be increasing the number of public hunter education programs and further developing their Scholastic Clay Target Program (SCTP) to provide for a safe and convenient location for participants. Fiscal Year 2014: Program has not yet selected projects for funding. Program anticipates funding Section 10 Hunter Educational projects and activities that are eligible each year.

CRITERIA FOR SELECTING PROPOSALS:

The State, Commonwealth, or territory agency having responsibility for the management of their resources must submit the projects. The State, Commonwealth's, or territory's wildlife resources must submit the projects. The State, Commonwealth, or territorial agency selects those projects submitted for funding under the program. If approved, projects must meet the basic criteria outlined in the regulations and the Fish and Wildlife Service Manual.

15.628 MULTISTATE CONSERVATION GRANT PROGRAM

FEDERAL AGENCY:

Fish and Wildlife Service, Department of the Interior

AUTHORIZATION:

The Wildlife and Sport Fish Restoration Programs Improvement Act of 2000,

Public Law 106-408, as amended; the Dingell-Johnson Sport Fish Restoration Act, 64 Stat. 430 as amended, 16 U.S.C. 777m and the Pittman-Robertson Wildlife Restoration Act, 50 Stat. 917 as amended, 16 U.S.C. 669h-2.

OBJECTIVES:

This program provides funding for sport fish and wildlife restoration projects identified by the Association of Fish and Wildlife Agencies.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Project types that are generally selected for funding are those considered of national importance according to state fish and wildlife agencies. Funding activities focus on: education, training, data analysis, values and use of sport fish and wildlife restoration programs; new technologies, hunting and fishing participant recruitment/retention; and evaluation in restoration, recreation, enhancement, or protection of sport fish and wildlife and their habitats. For further information, please contact the Washington office. 100% of the funds are for discretionary activities.

Applicant Eligibility:

States, group of States, and non-governmental organizations. By October 1st of each year the Association of Fish and Wildlife Agencies (AFWA) provides the U.S. Fish and Wildlife Service a priority list of projects recommended for funding. The U.S. Fish and Wildlife Service Director may only fund project proposals on the priority list.

Beneficiary Eligibility:

Projects must benefit at least 26 States, a majority of States in a Region of the U.S. Fish and Wildlife Service, or a Regional association of State fish and game departments.

Credentials/Documentation:

None. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

An applicant should consult the office or official designated as the single point of contact in his or her State, the District of Columbia, Commonwealth, or territory for more information on this process when applying for assistance. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applicants will use standard Federal Application and Assurances forms approved by OMB, as well as proposal formatting requirements established by the Association of Fish and Wildlife Agencies (AFWA) in their requests for Multi-State Conservation Grant project proposals. Applicant must complete the Standard Form (SF) 424, and the appropriate Budget and Assurances forms (SF 424A and SF 424B Non-construction; or SF 424C and SF 424D construction). In addition, applicants will submit a grant proposal that includes a narrative statement describing the need, objectives, benefits, approach, and estimated cost for the proposed grant along with the standard application forms furnished by the Federal agency and required by 43 CFR Part 12, Subpart C, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments" and the Fish and Wildlife Service Manual. Specific application procedures for Nonprofits are described in 43 CFR Part 12, Subpart F, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Nonprofit Organizations. Specific application procedures for commercial applicants and Fish and Wildlife Service applicants are described in the FAR, Subpart 311.2, Contracts with Commercial Organizations, and 50 CFR 80. For further instructions and forms go to <http://wsfprograms.fws.gov/subpages/toolkitfiles/toolkit.pdf> or www.grants.gov.

Award Procedure:

The Director of the U.S. Fish and Wildlife Service will select projects for

funding from a priority list developed by the Association of Fish and Wildlife Agencies (AFWA) based on established criteria.

Deadlines:

Oct 01, 2013 The Wildlife and Sport Fish Restoration (WSFR) Program and Association of Fish and Wildlife Agencies (AFWA) posts a Request for Proposals (RFP) in the spring of each year in [grants.gov](http://www.grants.gov), http://www.fishwildlife.org/multistate_grants.html. Full proposals are due to AFWA in mid July of each year. AFWA must submit its priority list of project proposals recommended for funding to the U.S. Fish and Wildlife Service Assistant Director for Wildlife and Sport Fish Restoration Programs by October 1 of each year. The list of priority projects submitted by AFWA will be published in the Federal Register by the end of each calendar year.

Range of Approval/Disapproval Time:

Approximately 6 to 9 months from the deadline established by AFWA for submitting proposals.

Appeals:

Any differences of opinion over the eligibility of proposed activities or differences arising over the conduct of work may be appealed to the Director of the U.S. Fish and Wildlife Service.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Projects may be approved for up to three years with funding dependant on annual competition. See the following for information on how assistance is awarded/released: Program obligates funds and sends a notice of award to successful applicants. Recipients request funds in accordance with 43 CFR Part 12, unless otherwise dictated by program-specific legislation or special award terms. Program will include any special payment terms and conditions in the notice of award.

Reports:

Program reports are not applicable. Cash reports are not applicable. A Performance Report is required for each grant award annually within 90 days after the anniversary date or end of the grant. A Federal Financial Report SF 425 is required. A final performance report is due within 90 days of the end of the award period of performance.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Cost records must be maintained separately for each project for three years after submission of the final Financial Status Report. Record keeping requirements for grants to States are described in 43 CFR 12, Subpart C, and OMB Circular A-102. Record keeping requirements for grants to nonprofit organizations are described in 43 CFR 12, Subpart F, and OMB Circular A-110. For Commercial grantee and U.S. Fish and Wildlife Service grantees must use record keeping procedures described in 43 CFR 12, Subpart C, and OMB Circular A-110.

Account Identification:

14-5029-0-2-303; 14-8151-0-7-303.

Obligations:

(Project Grants (Discretionary)) FY 12 \$6,000,000; FY 13 est \$6,000,000; and FY 14 est \$6,000,000

Range and Average of Financial Assistance:

Range is \$25,000 to \$500,000; Average \$140,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: The program received approximately 100 applications, considered 25 and issued 20 awards. Fiscal Year 2013: The program anticipates to receive approximately 100 applications and issue 20 awards. Program accomplishments vary and are based upon National Conservation Needs (NCNs) which are selected annually by AFWA's National Grants Committee. All proposals must address at least one of the selected NCNs. Fiscal Year 2014: The Program has not yet received any applications.

REGULATIONS, GUIDELINES, AND LITERATURE:

43 CFR 12; 50 CFR 80; Sections 521, 522, and 523 of the Fish and Wildlife Service Manual.

Regional or Local Office:

See Regional Agency Offices. See Catalog Appendix IV for Regional Fish and Wildlife Service Offices.

Headquarters Office:

Director, Policy and Programs, Fish and Wildlife Service, Wildlife and Sport Fish Restoration Program- Policy and Programs, 4401 North Fairfax Drive, WSFR-4020, Arlington, Virginia 22203 Phone: (703) 358-2156.

Website Address:

<http://wsfrprograms.fws.gov/> or http://www.fishwildlife.org/multistate_grants.html.

RELATED PROGRAMS:

15.605 Sport Fish Restoration Program; 15.611 Wildlife Restoration

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: The National Wild Turkey Federation is conducting a long-term longitudinal study of hunting, fishing, and shooting recruitment and retention program participants by analyzing trends in interest, participation rates, and attitudes.

The Atlantic States Marine Fisheries Commission is conducting a project to support and enhance the continued operation of and coordination between three fishery habitat partnerships and assist each partnerships habitat assessments through identification of mutual data needs, data acquisition and landscape-level analysis techniques for the benefit of fish, and other aquatic animals.

The U.S. Sportsmen Alliance Foundation is funding a nationwide youth and family education program designed to attract non-traditional individuals to outdoor activities. This Trailblazer Adventure Program fulfills an urgent need to recruit and retain outdoor sports participants. Fiscal Year 2013: The Arkansas Game and Fish Commission will be compiling and designing Best Management Practices suitable for addressing regional differences in fisheries habitat impairments afflicting U.S. reservoirs.

The Western Association of Fish and Wildlife Agencies will be coordinating long-term studies examining the potential impacts of wind energy development on sage-grouse across their range, including potential displacement and demographic impacts, with the goal of informing wind development and sage-grouse management strategies.

The Wildlife Management Institute will be conducting a program evaluation of hunter recruitment and retention activities conducted in the U.S. The goal is to provide program evaluation tools to aid agencies and organizations administrating programs and efforts to recruit and retain hunters. Fiscal Year 2014: Program has not yet selected projects for funding. Program anticipates funding projects that address species or habitat research activities, hunting and fishing recruitment and retention information collection, aquatic or hunter educational activities, conservation planning, outreach and coordination.

CRITERIA FOR SELECTING PROPOSALS:

Projects will be reviewed and evaluated for scope, significance, feasibility, and cost effectiveness. In addition, projects must address one or more focus areas as described in the National Conservation Needs established annually by the Association of Fish and Wildlife Agencies (AFWA).

15.629 GREAT APES CONSERVATION FUND

FEDERAL AGENCY:

Fish and Wildlife Service, Department of the Interior

AUTHORIZATION:

Great Ape Conservation Act of 2000, Public Law 106-411, 16 U.S.C. 6301.
Tylersville Fish Hatchery Conveyance, Public Law 109-363.

OBJECTIVES:

To provide financial assistance for projects for the effective long-term conservation of great apes and their habitats. This program supports projects that focus on one or more of the following areas: applied research on ape populations and their habitats, including surveys and monitoring; development and execution of ape conservation management plans; compliance with applicable treaties and laws that prohibit or regulate the taking or trade of ape or regulate the use and management of ape habitat; conservation education and community outreach; enhanced protection of at-risk ape populations; efforts to decrease human-ape conflicts; habitat conservation and management; protected area/reserve management in important ape range; strengthening local capacity to implement conservation programs; transfrontier ape conservation; and wildlife inspection, law enforcement, and forensics skills.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Project work should occur within the ape range, or, if work is to be conducted outside of the range, the proposal should show a clear relevance to ape conservation. Applied research projects should address specific management needs and actions. Funds provided under this program will not be used for: the purchase of firearms or ammunition; buying of intelligence information or paying informants; gathering information by persons who conceal their true identity; law enforcement operations that prompt suspects to carry out illegal activities so they may be arrested (entrapment); or any activity that would circumvent sanctions, laws or regulations of either the U.S. or the country in which the activity would occur. Funds may not be used to provide material support or resources to individuals, entities, or organizations of countries that have been identified by the Department of State as state sponsors of terrorism. The countries currently identified are: Cuba, Iran, Sudan and Syria. This program is administered in compliance with the Federal Grants and Cooperative Agreements Act of 1977, as amended. These funds may not be used towards training Federal Government personnel. 100%.

Applicant Eligibility:

Applicants may be submitted by any government agency responsible for conservation and protection of apes and any other organization or individual with demonstrated experience in ape conservation.

Beneficiary Eligibility:

Any government agency responsible for conservation and protection of apes and any other organization or individual with demonstrated experience in ape conservation.

Credentials/Documentation:

No Credentials or documentation are required. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. The program announcement and application instructions for this program can be found at Grants.gov website <http://www.grants.gov/search/basic.do>. The Notice of Funding Availability and Application Instructions are also located on this program's website at <http://www.fws.gov/international/pdf/notice-of-funding-availability-great-ape.pdf>. If you are unable to access the Internet, hard copies are available upon request from the Branch of Near East, South Asia & Africa, Division of International Conservation, U.S. Fish and Wildlife Service, 4401 N. Fairfax Drive, Room 100, Arlington, Virginia 22203. A proposal will not be considered

complete if required elements are not submitted as instructed. U.S. applicants must submit (among other elements) a complete, signed Standard Form 424 "Application for Federal Assistance."

Award Procedure:

Projects are reviewed and competitively selected for funding using criteria developed on the requirements of the Great Ape Conservation Act (16 USC 6301 et seq.). Review criteria can be found in the application information at <http://www.fws.gov/international/grants-and-reporting/how-to-apply.html>. Once a proposal has been selected for funding, an FWS approving official executes an Assistance Award between the U.S. Fish and Wildlife Service and the Recipient. Fully executed Awards are sent to the Recipient either electronically or through the mail. The Division of International Conservation then administers all other aspects of the Assistance Award.

Deadlines:

Dec 01, 2012 December 1.

Range of Approval/Disapproval Time:

Decisions on funding of project proposals will be made within 180 days after the receipt of the project proposal.

Appeals:

Not Applicable.

Renewals:

None. However, at the discretion of the Division of International Conservation, active awards can be modified, e.g.: time extensions or budget changes.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: To the extent possible, grant funds are matched by nonfederal funds. This program has no statutory formula. In determining whether to approve project proposals, preference is given to projects for which matching funds are available. Maintenance of Effort is not required. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awarded funds must be spent during the performance period that is approved for each Assistance Award, and in accordance with program financial and performance reporting procedures. See the following for information on how assistance is awarded/released: Funds are disbursed to recipients as requested and in accordance with the payment methods prescribed in 43 CFR Part 12, or as otherwise prescribed in program-specific legislation.

Reports:

Program reports are not applicable. Cash reports are not applicable. Recipients must submit a final performance report no later than 90 calendar days after the award end date. Recipients of awards with performance periods longer than 12 months will be required to submit interim reports. Program may require recipients to submit interim reports annually, semiannually, or quarterly. Program will detail all performance reporting requirements, including frequency and due dates, in the notice of award letter. Domestic recipients must report expenditures using the SF 425, Federal Financial Report form. Non-domestic recipients must submit a financial table or spreadsheet in the same format as the original project proposal budget, showing itemized expenditures for the reporting period. Recipients must submit a final report no later than 90 calendar days after the award end date. Recipients of awards with performance periods longer than 12 months will be required to submit interim reports. Program may require recipients to submit interim reports annually, semiannually, or quarterly. Program will detail all financial reporting requirements, including frequency and due dates, in the notice of award letter. Recipients are responsible for monitoring performance for each award and sub-award under this program in accordance with 43 CFR Part 12. Complete reporting requirements can be found at this program's web page at <http://www.fws.gov/international/pdf/assistance-award-guidelines.pdf>.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a

year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Recipients will maintain records in accordance with the provisions of 43 CFR Part 12, Subpart C, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments" and 43 CFR Part 12, Subpart F, "Uniform Administrative Requirements for Grants and Agreements With Institutions of Higher Education, Hospitals, and Other Nonprofit Organizations."

Account Identification:

14-1652-0-1-302.

Obligations:

(Project Grants (Discretionary)) FY 12 \$3,333,562; FY 13 est \$3,000,000; and FY 14 est \$3,000,000

Range and Average of Financial Assistance:

Variable amounts. Generally \$50,000 or less. Higher amounts may be requested.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Received 98 applications, issued 47 awards. Fiscal Year 2013: Received 77 applications, program anticipates issuing 30 awards. Fiscal Year 2014: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Awards will be administered in conformance with the "Assistance Award Guidelines" established by the Division of International Conservation, which uses as its source all applicable award terms and conditions found at <http://www.doi.gov/pam/TermsandConditions.html>. The "Assistance Award Guidelines" can be found on-line at <http://www.fws.gov/international/pdf/assistance-award-guidelines.pdf> or in hard copy by contacting the Division of International Conservation.

Regional or Local Office:

None.

Headquarters Office:

Chief, Department of the Interior, Fish and Wildlife Service, Division of International Conservation, Room 100, 4401 N. Fairfax Drive, Arlington, Virginia 22203 Email: MSCF_GreatApe@fws.gov Phone: (703) 358-1754 Fax: (703) 358-2115.

Website Address:

<http://www.fws.gov/international/wildlife-without-borders/great-ape-conservation-fund.html>

RELATED PROGRAMS:

15.619 Rhinoceros and Tiger Conservation Fund; 15.620 African Elephant Conservation Fund; 15.621 Asian Elephant Conservation Fund; 15.645 Marine Turtle Conservation Fund

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: The program supported 1) the development of culturally and economically feasible alternatives bushmeat in Equatorial Guinea to reduce the illegal hunting, sale, and consumption of great apes. 2) An economic incentive for local communities and the government of Democratic Republic of the Congo to ensure the protection of Virunga National Park through the development of chimpanzee tourism. 3) On-the-ground anti-poaching efforts within all of Gabon's thirteen national parks by increasing surveillance capability; enhancing resource allocation and motivation of national park wardens and teams through law enforcement monitoring (LEM); and improving the effectiveness of patrols via tailored protection strategies. Fiscal Year 2013: Program has not yet selected projects for funding. Program anticipates funding projects that are effective for the long-term conservation of great apes, and those that are similar in scope to FY 2012. Fiscal Year 2014: Program anticipates funding projects that are effective for long-term conservation of great apes, and those that are similar in scope to FY 2013.

CRITERIA FOR SELECTING PROPOSALS:

Proposals will be reviewed based on criteria developed from the requirements of the Great Ape Conservation Fund (16 U.S.C. 6301 et seq.). Additional details on the selection criteria can be found in the Great Ape Notice of Funding

Availability and Application Instructions

<http://www.fws.gov/international/grants-and-reporting/how-to-apply.html>. Hard copies of the criteria are available upon request from the Division of International Conservation.

15.630 COASTAL PROGRAM

FEDERAL AGENCY:

Fish and Wildlife Service, Department of the Interior

AUTHORIZATION:

Fish and Wildlife Act of 1956, 16 U.S.C. 742a-c, 747e-742j; Fish and Wildlife Coordination Act of 1958, 16 U.S.C. 661 667(e).

OBJECTIVES:

To provide technical and financial assistance via partnerships to identify, protect, and restore or improve habitats in priority coastal areas for fish and wildlife.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

Funds are used for habitat assessments, planning, and coastal habitat protection and restoration on public or private lands. The Coastal Program is geographically limited to 24 high priority areas. These are Gulf of Maine, Southern New England/New York Bight, Delaware Bay, Chesapeake Bay, Albemarle/Pamlico Sound, South Carolina Coast, South Florida/Everglades, Panama City, Florida, Florida Tampa Bay, the Caribbean, Gulf of Mexico, Texas Coast (Upper), Texas Coast (Southern), Great Lakes (Northern), Great Lakes (Southern), San Diego Bay, San Francisco Bay, Humboldt Bay, Central California Coast, Oregon Coast, Puget Sound, Alaska (South East), Alaska (South Central), and the Pacific Islands. Coastal Program funds may not be used to acquire property or support program administrative costs.

Applicant Eligibility:

Federal, State, interstate and intrastate agencies; local and tribal governments; public nonprofit institutes and organizations (such as conservation organizations, watershed councils, land trusts, schools and institutions of higher learning); U.S. territories and possessions; private landowners including individuals and businesses.

Beneficiary Eligibility:

Federal, State, interstate and intrastate agencies; local and tribal governments; public nonprofit institutes and organizations (such as conservation organizations, watershed councils, land trusts, schools and institutions of higher learning); U.S. territories and possessions; private landowners including individuals and businesses.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Contact the local Coastal Program Coordinator regarding potential projects and application requirements. Program contact information can be found at <http://www.fws.gov/coastal>.

Award Procedure:

After a project has been designed and the cost calculated, the local Coastal Program coordinator will be able to inform the respective cooperator(s) whether or not the Service will provide financial assistance. When the cooperator has signed a cooperative agreement work can begin on the project. The Service reimburses the landowner after the project is completed.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

None. However, project partners may request additional projects on their lands.

Formula and Matching Requirements:

This program has no statutory formula.

Matching requirements are not applicable to this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Projects normally funded through annual segments. See the following for information on how assistance is awarded/released: Funds are disbursed to recipients as requested and in accordance with the payment methods prescribed in 43 CFR Part 12, or as otherwise prescribed in program-specific legislation.

Reports:

Program reports are not applicable. Cash reports are not applicable. Recipients must submit a final performance report no later than 90 calendar days after the award end date. Recipients of awards with performance periods longer than 12 months will be required to submit interim reports. Program may require recipients to submit interim reports annually, semiannually, or quarterly. Program will specify all performance reporting requirements in the cooperative agreement and/or the notice of award letter. Recipients must report expenditures using the SF 425, Federal Financial Report form. Recipients must submit a final report no later than 90 calendar days after the award end date. Recipients of awards with performance periods longer than 12 months will be required to submit interim reports. Program may require recipients to submit interim reports annually, semiannually, or quarterly. Program will specify all financial reporting requirements in the cooperative agreement and/or notice of award letter. Recipients are responsible for monitoring performance for each award and sub-award under this program in accordance with 43 CFR Part 12.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Accomplishments are recorded for each project in the Habitat Information Tracking System (HabITS). HabITS tracks habitat improvement accomplishments and program-specific technical assistance workloads associated with the Coastal Program and Partners for Fish and Wildlife Program.

Account Identification:

14-1611-0-1-302.

Obligations:

(Project Grants (Discretionary)) FY 12 \$6,000,000; FY 13 est \$6,000,000; and FY 14 est \$6,000,000

Range and Average of Financial Assistance:

Range is \$5,000 to \$50,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: The Program Restored/Protected 27,632.96 acres of Upland, 19,539.65 acres of Wetland, 362.08 miles of Stream habitat. Fiscal Year 2013: The Program estimates that it will initiate 40 projects in FY13. Fiscal Year 2014: The Program estimates that it will initiate 40 projects in FY14.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices. See <http://www.fws.gov/coastal> for contact information and addresses.

Headquarters Office:

Director, U.S. Fish and Wildlife Service, Division of Habitat Resource Conservation, Branch of Habitat Restoration, 4401 N. Fairfax Drive, Suite 750, Arlington, Virginia 22203 Phone: (703) 358-2232.

Website Address:

<http://www.fws.gov/coastal>.

RELATED PROGRAMS:

15.631 Partners for Fish and Wildlife

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Anguilla Brook Fish Restoration Project - The Coastal Program worked with many partners throughout the Long Island Sound to protect and restore important habitats for trust species. In collaboration with Connecticut's Division of Inland Fisheries and The Nature Conservancy, the Coastal Program modified a dam to allow fish passage, removed another rock and earthen dam, and restored 300 feet of stream channel. The project reopened 13 river miles and 51 acres of wetlands - restoring the river herring's entire historic spawning range.

Knik Islands Conservation Project - The Coastal Program worked with different partners to prioritize land for conservation in the Matanuska-Susitna Borough, located in the south-central region of Alaska. The Knik Island, located at the confluence of the Matanuska and Knik Rivers, were identified as critical for conservation. The Coastal Program biologists were instrumental in gaining landowner support for this conservation project and providing funding support for strategic habitat prioritization. Program biologists helped conduct habitat assessments, participated in landowner outreach, and facilitated a conservation partnership cooperative agreement between private landowner, Eklutna, Inc., and the Great Land Trust. The Knik Islands Conservation Project safeguards, in perpetuity, approximately 5,000 acres containing an anadromous river system, nationally-declining estuarine wetlands, and upland habitat. The conservation area contains extraordinary migratory bird habitat, significant spawning and rearing habitat for Pacific salmon, which in turn, support the federally-endangered Cook Inlet Beluga Whales - a species that relies on the salmon as a main food source. The conservation area is located adjacent to the Palmer Hayflats State Game Refuge, which is over 40 square miles of conserved land, and the 780-square mile Chugach State Park. This conservation project provides a permanently protected habitat corridor between the refuge and the park.

Schaus Swallowtail Habitat Restoration - Following the Schaus swallowtail butterfly listing, the U.S. Fish and Wildlife prepared a recovery plan that identified specific conservation actions needed to ensure the survival of the species. The Coastal Program, working with the National Park Service and other partners, provided technical and financial assistance to remove over two acres of invasive vegetation, and replant the area with torchwood and wild lime. In addition to restoring important habitat for the Schaus swallowtail butterfly and a variety of migratory birds, the project provided enhanced significant socio-economic benefits to the park and local economy by enhancing park visitors' experience and drawing additional visitors to the park. Fiscal Year 2013: The Coastal Program has not yet selected projects to support. The Program anticipates funding projects that help protect and restore fish and wildlife habitat such as coastal wetlands and watersheds that benefit Federal trust species. Fiscal Year 2014: The Coastal Program has not yet selected projects to support. The Program anticipates funding projects that help protect and restore fish and wildlife habitat such as coastal wetlands and watersheds that benefit Federal trust species.

CRITERIA FOR SELECTING PROPOSALS:

All projects must benefit Federal trust species and be cost effective. Project selection occurs at the field level. See the annual "Notice of Availability of Federal Assistance" in <http://grants.gov> for more details.

15.631 PARTNERS FOR FISH AND WILDLIFE

FEDERAL AGENCY:

Fish and Wildlife Service, Department of the Interior

AUTHORIZATION:

Partners for Fish and Wildlife Act of 2006, 16 U.S.C. 3771-3774; Fish and Wildlife Act of 1956; 16 U.S.C. 742a-c, 742e-j; Fish and Wildlife Coordination Act of 1958, 16 U.S.C. 661-667(e).

OBJECTIVES:

Provide technical and financial assistance to private landowners and Native American Tribes interested in voluntarily restoring or otherwise improving native habitats for fish and wildlife on their lands.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

Assistance provided to private landowners (defined to include individuals, local governments, non-governmental organizations) and Native American Tribes. Program goal is to achieve a 50 percent cost share on projects with matching funds and in-kind contributions provided by the landowners and other partners. Projects must be located on private lands. The term private land is defined in the Act as any land that is not owned by the Federal Government or a State. It also includes tribal land and Hawaiian homeland. Projects must have a minimum duration of 10 years. Program funds may not be used for land acquisition or to support routine maintenance and management activities.

Applicant Eligibility:

Private landowners, tribal governments, local and state governments, educational and non-profit institutions and organizations are eligible for financial and technical assistance from Partners for Fish and Wildlife Program. Projects must be located on private lands. Private land is defined by the Partners for Fish and Wildlife Act of 2006, 16 U.S.C. 3771-3774, as any land that is not owned by the Federal Government or a State. Private land includes tribal land and Hawaiian homeland.

Beneficiary Eligibility:

Private landowners, tribal governments, local and state governments, educational and non-profit institutions and organizations are eligible for financial and technical assistance from Partners for Fish and Wildlife Program. Projects must be located on private lands. Private land is defined by the Partners for Fish and Wildlife Act of 2006, 16 U.S.C. 3771-3774, as any land that is not owned by the Federal Government or a State. Private land includes tribal land and Hawaiian homeland.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. The local Partners for Fish and Wildlife Program Coordinator works with the applicant and makes a site visit to design a project that will benefit Federal trust resources and is acceptable for the landowner's needs. Contact the appropriate Partners for Fish and Wildlife Program Office for application procedures. Applicant must complete the Standard Form (SF) 424, and the appropriate Budget and Assurances forms (SF424A and SF 424B - Non-construction; or SF 424C and SF 424D - Construction) Information is also available at <http://www.grants.gov>.

Award Procedure:

After a project has been designed and the cost calculated, the local Partners Program coordinator will be able to inform the private landowner whether or not the Service will provide cost-share assistance. When the landowner has signed a cooperative agreement (a minimum of 10 years) work can begin on the project. The Service reimburses the landowner after the project is completed.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

None. Private land owners may request additional projects on their lands.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. The Program goal is to obtain a 50 percent match of Federal monies by partnering with landowners, local community groups and other local and national conservation organizations. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Cost-share is a one time event that occurs after project construction. See the following for information on how assistance is awarded/released: Program obligates funds and sends a notice of award to successful applicants. Recipients request funds in accordance with 43 CFR Part 12, unless otherwise dictated by program-specific legislation or special award terms. Program will include any special payment terms and conditions in the notice of award.

Reports:

No program reports are required. No cash reports are required. Recipients must submit a final performance report no later than 90 calendar days after the award end date. Recipients of awards with performance periods longer than 12 months will be required to submit interim reports. Program may require recipients to submit interim reports annually, semiannually, or quarterly. Program will detail all performance reporting requirements, including frequency and due dates, in the notice of award letter. Recipients must report expenditures using the SF 425, Federal Financial Report form. Recipients must submit a final report no later than 90 calendar days after the award end date. Recipients of awards with performance periods longer than 12 months will be required to submit interim reports. Program may require recipients to submit interim reports annually, semiannually, or quarterly. Program will detail all financial reporting requirements, including frequency and due dates, in the notice of award letter. Recipients are responsible for monitoring performance for each award and sub-award under this program in accordance with 43 CFR Part 12.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Accomplishments are recorded for each project in the Habitat Information Tracking System (HabITS). HabITS tracks habitat improvement accomplishments and program-specific technical assistance workloads associated with the Coastal Program and Partners for Fish and Wildlife Program.

Account Identification:

14-1611-0-1-302.

Obligations:

(Project Grants (Discretionary)) FY 12 \$22,000,000; FY 13 est \$22,000,000; and FY 14 est \$22,000,000 - Figures are estimated not actual.

Range and Average of Financial Assistance:

Cost-share range per project is from \$200 to \$25,000. The average cost per project is \$5,400.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: The Program Restored/Enhanced 170,177.92 Upland Acres, 75,590.51 Wetland Acres, and 592.85 Miles of Stream. Fiscal Year 2013: The Program estimates it will fund 90 new projects. Fiscal Year 2014: The Program estimates it will fund 90 new projects.

REGULATIONS, GUIDELINES, AND LITERATURE:

For information about Program policy and guidelines see the Partners for Fish and Wildlife Program Management Policy in the Fish and Wildlife Service Manual, 640 FW1 (at <http://www.fws.gov/policy/640fw1.html>).

Regional or Local Office:

See Regional Agency Offices. See Regional Agency Offices. Visit <http://www.fws.gov/partners> for contact information.

Headquarters Office:

Director, U.S. Fish and Wildlife Service, Division of Habitat and Resource Conservation, Branch of Habitat Restoration, 4401 N. Fairfax Drive, MS 730, Arlington, Virginia 22203 Phone: (703) 358-2201. Fax: (703)358-2232

Website Address:

<http://www.fws.gov/partners>.

RELATED PROGRAMS:

15.630 Coastal Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Teeland River Rangers Matanuska-Susitna County, AK
With the Partners For Fish and Wildlife Program approximately two hundred 7th graders participated in a restoration project to improve riparian habitat that has been degraded by human use, such as vehicle traffic or trampling. This teaches students valuable techniques of stream bank restoration and instills why this work is necessary and important. It also gives the students the opportunity to contribute to the well-being of their local salmon-bearing streams. The end results of this project are a restored stream bank, healthy waterways and the cultivation of stream stewards, scientists, and informed citizens for the future.
Coolidge Fish Ladder Fremont County, Wyoming - In partnership with The Tribes, BIA and the State of Wyoming, the Partners for Fish and Wildlife Program addressed one of four fish passage obstructions. Coolidge Irrigation on the Little Wind River was modified to open an additional 20 miles in the South and North Forks of the Wind River while removal of the Ray canal dam opened an additional 10 miles of the South Fork. A fish ladder constructed adjacent to the concrete weir spanning the river provides passage for the Little Wind River. This project benefits Tribal designated priority species of Yellowstone cutthroat trout, ling and mountain whitefish. For this project, the Service provided funding, design input, obtained permits and was involved in construction management. Through this collaborative partnership, fish passage on 30 miles of the Little Wind River was restored, saving 500,000 fish annually.

Cape Code New England Cottontail Habitat Restoration Barnstable County, Massachusetts -

On Cape Cod, the Partners for Fish and Wildlife has joined forces with various partners to return fire to the land and restore resilient habitats for both New England cottontails and the residents. The team targeted areas with the most burnable vegetation and strategically cut undergrowth, thinned the forest, and conducted controlled, planned burns that will continue the natural renewal process and reduce fire danger to nearby homes and businesses. With over 8,000 homes valued at \$2.5 billion, the town of Mashpee was designated a community at risk to wildfire in 2001 due to volatile pitch pines and scrub oaks in the neighboring Mashpee National Wildlife Refuge. The Service provided technical and financial assistance and partners cleared over vegetation from 64,000 feet of roads. The team successfully burned 13.5 acres in an effort to restore fire-dependent systems on Cape Cod, improve habitat for the New England cottontail and protect an estimated 250 homes worth \$87 million.
Fiscal Year 2013: Balmoral Dam Restoration Project- Balmoral Dam is the first barrier to passage on the Shawsheen River, and both impounds riverine habitat and restricts fish movement. A Feasibility Study has preliminarily identified the preferred alternative of removing this structure to improve habitat connectivity and allow free access to migratory fish such as American shad, river herring and American eel. Removing the Balmoral Dam will restore 165 feet of fluvial habitat, reconnect over five miles of river, and provide diadromous fish passage up to the base of the Marland Place Dam. Once fish passage has been secured at all three dams, diadromous fish will have access to over 20 miles of mainstem and tributary habitat. Fiscal Year 2014: The Program has not yet selected projects to support. The Program anticipates funding projects that help protect and restore fish and wildlife habitats that benefit Federal trust species.

CRITERIA FOR SELECTING PROPOSALS:

All projects must benefit Federal trust species, involve on-the-ground habitat restoration, and be cost-effective. Specific criteria for reviewing and ranking projects were established in the national Partners policy, at <http://www.fws.gov/policy/640fw1.html>. The program gives high priority to proposed projects that benefit the National Wildlife Refuge System, species at risk, conserve globally or nationally imperiled habitats; and reduce habitat fragmentation. Also take a look at the annual Notice of Availability of Federal Assistance referenced in <http://grants.gov>.

15.633 LANDOWNER INCENTIVE PROGRAM

(LIP)

FEDERAL AGENCY:

Fish and Wildlife Service, Department of the Interior

AUTHORIZATION:

Department of the Interior and Related Agencies Appropriations Act of 2006, Public Law 109-148; Title I; Land and Water Conservation Fund Act of 1965; 16 U.S.C. 4601-4 through 11. , Public Law 109-148, 16 U.S.C 4601-4 through 11.

OBJECTIVES:

This program establishes or supplements existing landowner incentive programs that provide technical or financial assistance. This includes habitat protection and restoration; to assist private landowners in protecting and managing habitats to benefit federally listed, proposed, or candidate species, or other at-risk species; and to encourage States, the District of Columbia, Commonwealths, or territories to enhance private landowner conservation.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Tier 1 projects provide the States, the District of Columbia, Commonwealths, or territories to support development of a new program, or to enhance an existing landowner incentive program, through administration, development of plans, outreach, and other associated activities that assist in the accomplishment of projects on private lands. Tier 2 projects should place a priority on the implementation of State, the District of Columbia, Commonwealth, or territorial programs that provide technical and financial assistance to private landowners for the protection and restoration of habitats that benefit federally listed, proposed, or candidate species, or other species-at-risk.

Applicant Eligibility:

Agencies from the 50 States, the District of Columbia, the Commonwealths of Puerto Rico and the Northern Mariana Islands, and the territories of Guam, the U.S. Virgin Islands, and American Samoa with primary responsibility for fish and wildlife conservation may submit grant proposals to the U.S. Fish and Wildlife Service.

Beneficiary Eligibility:

Private landowners and the public (While direct participation is limited to State, the District of Columbia, Commonwealth, or territorial fish and wildlife agencies, private landowners will directly benefit from financial and technical assistance and the public will ultimately benefit from these wildlife conservation measures implemented on private lands).

Credentials/Documentation:

Only that agency that has lead management responsibility for fish and wildlife resources within the State, the District of Columbia, Commonwealth, or territory may apply for these grants. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Applicant must complete the Standard Form (SF) 424, and the appropriate Budget and Assurances forms (SF 424A and SF 424B Non-construction; or SF 424C and SF 424D construction). In addition, applicants will submit a grant proposal that includes a narrative statement describing the need, objectives, benefits, approach, and estimated cost for the proposed grant along with the standard application forms furnished by the Federal agency and required by 43 CFR Part 12, Subpart C, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments" and the Fish and Wildlife Service Manual. For further instructions and forms go to

<http://wsfrprograms.fws.gov/subpages/toolkitfiles/toolkit.pdf> or www.grants.gov.

Award Procedure:

The Regional Director of the U.S. Fish and Wildlife Service or his designee approves or disapproves proposed grants. Regional Offices are responsible for notification of grant approval to the grantee.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Applicants will receive notification of approval/disapproval within approximately 60 working days after proposal due date.

Appeals:

Regional Directors will consider differences of opinion concerning the eligibility of proposals. Final determination rests with the Director of the U.S. Fish and Wildlife Service.

Renewals:

None.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching Requirements: Revenues collected from the Outer Continental Shelf Oil and Gas Royalties are deposited into the Land and Water Conservation Fund and appropriated annually by Congress for the program. These funds are awarded to fish and wildlife agencies based on a two-tiered award system. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Annual awards. See the following for information on how assistance is awarded/released: Program obligates funds and sends a notice of award to successful applicants. Recipients request funds in accordance with 43 CFR Part 12, unless otherwise dictated by program-specific legislation or special award terms. Program will include any special payment terms and conditions in the notice of award.

Reports:

Program reports are not applicable. Cash reports are not applicable. A Program Report is required for each grant annually within 90 days after the anniversary date or end of the grant. A Federal Financial Report (SF-425) is required for each grant annually within 90 days after the anniversary date or end of the grant. Recipients are responsible for monitoring and reporting performance each award and sub-award under this program in accordance with 43 CFR Part 12 and 2 CFR Part 170.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Cost records must be maintained separately for each grant. Records, accounts, and supporting documents must be retained for three years after submission of the final Financial Status Report.

Account Identification:

14-5496-0-2-302.

Obligations:

(Project Grants (Discretionary)) FY 12 \$7,500,000; FY 13 est \$7,500,000; and FY 14 est \$0 - Project Grants (Discretionary): FY12 \$7,500,000; FY13 \$7,500,000, FY14 \$0 Program was last funded in 2007. No new funding for this program is expected. CFDA should remain active through FY14 to allow Bureau to close all currently open awards and report any associated amendments to government-wide systems that require the identification of an active CFDA number as a key reporting element.

Range and Average of Financial Assistance:

Funds are available until expended.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Habitat improvement, restoration, land protection, and technical guidance activities will continue. The program anticipates receiving 0 applications and issuing 0 awards. Fiscal Year 2013: Habitat improvement, restoration, land protection, and technical guidance activities will continue. The program anticipates receiving 0 applications and issuing 0 awards. Fiscal Year 2014: Habitat improvement, restoration, land protection, and technical guidance activities will continue. The program anticipates receiving 0 applications and issuing 0 awards.

REGULATIONS, GUIDELINES, AND LITERATURE:

Department of the Interior and Related Agencies Appropriations Act, 2006, Public Law 109-148, Title I, language on Landowner Incentive Program, and 43 CFR 12.

Regional or Local Office:

See Regional Agency Offices. Region 1 U.S. Fish and Wildlife Service
Native American Liaison
Eastside Federal Complex
911 NE 11th Avenue
Portland, OR 97232-4181

Region 2 U.S. Fish and Wildlife Service
Native American Liaison
500 Gold Avenue, SW
P.O. Box 1306
Albuquerque, NM 87103-1306

Region 3 U.S. Fish and Wildlife Service
Native American Liaison
1 Federal Drive
Fort Snelling, MN 55111-4080

Region 4 U.S. Fish and Wildlife Service
Native American Liaison
1875 Century Boulevard
Atlanta, GA 30345

Region 5 U.S. Fish and Wildlife Service
Native American Liaison
300 Westgate Center Drive, Hadley, MA 01035-9589

Region 6 U.S. Fish and Wildlife Service
Native American Liaison
P.O. Box 25486
Denver, CO 80225

Region 7 U.S. Fish and Wildlife Service
Native American Liaison
1011 East Tudor Road
Anchorage, AK 99503-6199

Region 8 U.S. Fish and Wildlife Service
Tribal Partnerships Specialist
Habitat Restoration Division
2800 Cottage Way, Rm W-2606
Sacramento, CA 95825.

Headquarters Office:

Wildlife and Sport Fish Restoration Program Policy and Programs, 4401 North Fairfax Drive, WSFR 4020, , Arlington, Virginia 22203 Phone: (703) 358-2156

Website Address:

<http://wsfrprograms.fws.gov>

RELATED PROGRAMS:

15.605 Sport Fish Restoration Program; 15.608 Fish and Wildlife Management Assistance; 15.611 Wildlife Restoration; 15.615 Cooperative Endangered

Species Conservation Fund; 15.634 State Wildlife Grants

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Kansas Department of Wildlife and Parks used funds to restore, protect, and enhance 5000 acres of upland habitat, 500 acres of wetland, and 200 miles of stream/riparian habitat to benefit targeted at-risk species. Implementation of this objective will be based on issues and concerns identified in Kansas' Comprehensive Wildlife Conservation Strategy.

In Alabama, funds established the Middle Tennessee River Habitat Restoration Initiative to expand protection and restoration efforts on private lands with an emphasis on 48 species of special concern. The project improved approximately 14 miles of streamside habitat and 170 acres of streamside buffer. Gates and fences were installed on selected cave habitat for bat species of conservation concern. This effort continues the community-based approach to education of landowners and other stakeholders on land use practices that benefit protected species and their habitat.

North Carolina provided financial assistance to private landowners who are undertaking management actions to restore or enhance Red-cockaded woodpecker habitat under the Safe Harbor Program. This project also provides assistance for restoration or enhancement of longleaf pine ecosystem subtypes, or associated wetland communities, harboring other species at risk in addition to the targeted woodpeckers. Fiscal Year 2013: In New Hampshire, funds will help develop a program to assist private landowners in protecting and managing at-risk species and their habitats. The program will also identify quantifiable outcomes that can evaluate progress in restoring and conserving habitats and viable populations of species at risk on private lands. The expected benefits of this grant are improved conditions for at-risk species on private lands throughout state and expanded partnerships with private landowners in conserving these species.

In Virginia, program funds provide, via technical assistance, private landowners and partners with information and techniques necessary to restore, protect, enhance, and manage important habitats on their properties resulting in significant gains for species recovery and conservation. Virginia expects that implementing this grant will result in improved and protected habitat for at least 100 at-risk species and improved water quality in at least five of the major river systems (Upper Tennessee, New, Roanoke, James and Shenandoah) of Virginia.

In Utah, funds protect species of greatest conservation need in Cache, Juab, and Sanpete counties through acquisition of conservation easements including: Green Canyon (Cache County) - 434 acres for Bonneville cutthroat trout and sharp-tailed grouse; Crawford, Sanpete County - 75 acres for Columbia spotted frog and southern leatherside chub; Graham, Sanpete County - 20 acres for Columbia spotted frog and southern leatherside chub; Turpin, Sanpete County - 100 acres for Columbia spotted frog and southern leatherside chub; and Mills Valley, Juab County - 110 acres for least chub. Fiscal Year 2014: The program does not anticipate funding any projects in FY 14.

CRITERIA FOR SELECTING PROPOSALS:

The State, the District of Columbia, Commonwealth, or territorial agency having lead responsibility for the management of their fish and wildlife resources must submit the projects. The State, the District of Columbia, Commonwealth, or territorial agency selects those projects submitted for funding under the program. If approved, projects must meet the basic criteria outlined in the regulations and the Fish and Wildlife Service Manual.

15.634 STATE WILDLIFE GRANTS

(SWG)

FEDERAL AGENCY:

Fish and Wildlife Service, Department of the Interior

AUTHORIZATION:

Consolidated Appropriations Act of 2012. , Public Law 112- 74.

OBJECTIVES:

This program funds the development and implementation of projects for the benefit of fish and wildlife and their habitats, including species that are not

hunted or fished. Priority is placed on projects that benefit species of greatest conservation concern.

TYPES OF ASSISTANCE:

FORMULA GRANTS; Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Approved activities include those which develop and implement programs or projects for the benefit of wildlife and their habitat, including species that are not hunted or fished. In order to receive program funds, a State fish and wildlife agency must have an approved State Wildlife Action Plan. For discretionary project grants, eligibility is restricted to partnerships of two or more State fish and wildlife agencies. Alaska and the insular jurisdictions are exempt from this requirement. Approximately 10% of funds are set aside for discretionary project grants.

Applicant Eligibility:

Agencies from the 50 States, the District of Columbia, the Commonwealths of Puerto Rico and the Northern Mariana Islands, and the territories of Guam, the U.S. Virgin Islands, and American Samoa with primary responsibility for fish and wildlife conservation may submit grant proposals to the U.S. Fish and Wildlife Service if they maintain a current, Service-approved Comprehensive Wildlife Conservation Plan (also known as a State Wildlife Action Plan).

Beneficiary Eligibility:

General Public (While direct participation is limited to fish and wildlife agencies, the public will ultimately benefit from these wildlife conservation measures.).

Credentials/Documentation:

The State, District of Columbia, Commonwealth, or territory must have a Service-approved Comprehensive Wildlife Conservation Strategy (also known as a State Wildlife Action Plan) on file to receive grant funds. The Plan must consider the broad range of the State, the District of Columbia, Commonwealth, or territory agencies' wildlife and associated habitats, with appropriate priority placed on those species of greatest conservation need. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

An applicant should consult the office or official designated as the single point of contact in his or her State, the District of Columbia, Commonwealth, or territory for more information on this process when applying for assistance if the State has selected the program for review. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Applicant must complete the Standard Form (SF) 424, and the appropriate Budget and Assurances forms (SF 424A and SF 424B Non-construction; or SF 424C and SF 424D construction). In addition, applicants will submit a grant proposal that includes a narrative statement describing the need, objectives, benefits, approach, and estimated cost for the proposed grant along with the standard application forms furnished by the Federal agency and required by 43 CFR Part 12, Subpart C, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments" and the Fish and Wildlife Service Manual. For further instructions and forms go to <http://wsfrprograms.fws.gov/subpages/toolkitfiles/toolkit.pdf> or www.grants.gov. Grant proposals must clearly indicate how the proposed objectives address the conservation needs identified within the State's, the District of Columbia's, Commonwealths or territory's Comprehensive Wildlife Conservation Plan.

Award Procedure:

SWG-Formula: The Regional Director of the U.S. Fish and Wildlife Service or his or her designee approves or disapproves proposed projects. Regional offices are responsible for notification of grant approval to the grantee. SWG-Competitive: The Director of the U.S. Fish and Wildlife Service or his or

her designee approves or disapproves proposed projects, based on an objective scoring and ranking procedure as outlined in the Application Instructions, available at Grants.gov.

Deadlines:

Mar 27, 2013 For Formula grants, contact the regional office for application deadlines. For Competitive Project grants, the deadline for submission of applications for funding is March 27, 2013.

Range of Approval/Disapproval Time:

SWG-Formula: Approximately 30-60 days. SWG-Competitive: Approximately 120 days.

Appeals:

Regional Directors will consider differences of opinion concerning the eligibility of proposals. Final determination rests with the Director of the U.S. Fish and Wildlife Service.

Renewals:

SWG-Formula: Grantees may renew projects on an annual basis if justifiable and if funds are available. SWG-Competitive: Not applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching Requirements: SWG-Formula: Planning grants require 25% matching; federal share not to exceed 75% of total costs. Implementation grants require 35% matching; federal share not to exceed 65% of total costs. SWG-Competitive: Grants require 25% matching; federal share not to exceed 75% of total costs. The Department of the Interior and Related Agencies Appropriations Act of 2009, Public Law 111-88 requires that the Secretary of the Interior shall, after deducting administrative expenses and an annually-designated amount for Tribal grants, apportion the remaining amount in the following manner: (A) to the District of Columbia and to the Commonwealth of Puerto Rico, each a sum equal to not more than one-half of 1 percent thereof; and (B) to the territories of American Samoa, Guam, the Commonwealth of Virgin Islands, and the Commonwealth of Northern Mariana Islands, each a sum equal to not more than one-fourth of one percent thereof. (2) The Secretary must apportion the remaining amount in the following manner: (A) one-third of which is based on the ratio to which the land area of such State bears to the total land area of all such States; and (B) two-thirds of which is based on the ratio to which the population of such State bears to the total population of all such States. The amounts apportioned under this paragraph must be adjusted equitably so that no State must be apportioned a sum which is less than 1 percent of the amount available for apportionment under this paragraph for any fiscal year or more than 5 percent of such amount. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Apportioned funds are available for obligation by the State, the District of Columbia, Commonwealth, or territory for a period of two years. Reimbursements can be requested on a continuing basis as expenditures are verified. Balances remaining unobligated after the period of availability or unspent after the final grant closeout must return to the U.S. Fish and Wildlife Service for reapportionment. See the following for information on how assistance is awarded/released: Apportioned and project funds are available for obligation by the State, the District of Columbia, Commonwealth, or territory for a period of two years. Balances remaining unobligated after the period of availability or unspent after the final grant closeout must return to the U.S. Fish and Wildlife Service for reapportionment.

Reports:

Program reports are not applicable. Cash reports are not applicable. A Performance Report is required for each grant award annually within 90 days after the anniversary date or end of the grant. A Federal Financial Report SF 425 is required for each grant award annually within 90 days after the anniversary date or end of the grant. Recipients are responsible for monitoring and reporting performance each award and sub-award under this program in accordance with 43 CFR Part 12 and 2 CFR Part 170.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000

or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Cost records must be maintained separately for each grant. Records, accounts, and supporting documents must be retained for three years after submission of the final Financial Status Report.

Account Identification:

14-1694-0-1-302.

Obligations:

(Formula Grants) FY 12 \$51,000,000; FY 13 est \$44,000,000; and FY 14 est \$44,000,000. (Project Grants (Discretionary)) FY 12 \$5,700,000; FY 13 est \$13,000,000; and FY 14 est \$13,000,000

Range and Average of Financial Assistance:

Range is \$155,000 to \$3,104,000; Average \$1,109,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: The program has helped State fish and wildlife agencies create, enhance, and protect nearly 1.8 million acres of habitat, and acquire more than 120,000 acres of critical habitat, for imperiled species. In FY12 the program received sixteen applications for competitive project grants (discretionary) and issued 7 awards. Fiscal Year 2013: The competitive (discretionary) program anticipates receiving 35 applications and issuing 30 awards. Fiscal Year 2014: The competitive (discretionary) program anticipates receiving 35 applications and issuing 30 awards.

REGULATIONS, GUIDELINES, AND LITERATURE:

Department of the Interior and Related Agencies Appropriations Act of 2009, Public Law 111-88 language on State Wildlife Grants, and 43 CFR Part 12.

Regional or Local Office:

See Regional Agency Offices. See Regional Agency Offices.

Headquarters Office:

Director, Policy and Programs, Fish and Wildlife Service, Division Wildlife and Sport Fish Restoration Program - Branch of Policy and Programs, 4401 North Fairfax Drive, WSFR-4020, Arlington, Virginia 22203 Phone: (703) 358-2156.

Website Address:

<http://wsfrprograms.fws.gov/>.

RELATED PROGRAMS:

15.605 Sport Fish Restoration Program; 15.608 Fish and Wildlife Management Assistance; 15.611 Wildlife Restoration; 15.615 Cooperative Endangered Species Conservation Fund

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Examples of FY12 funded projects include: removal of predators from a 4,000 hectare area on the Island of Hawaii, to assist in the recovery of the Palila, a rapidly declining Honeycreeper; protection of 1,600 acres of habitat for the endangered Karner Blue Butterfly in Michigan; and enhancement to 5,000 acres of longleaf pine habitats in Alabama that are critical for recovery of the threatened gopher tortoise. Fiscal Year 2013: Program has not yet selected projects for funding. Program anticipates funding projects that create or enhance wildlife habitat, support research and monitoring, or acquire lands with high value to species of greatest conservation need. Fiscal Year 2014: Program has not yet selected projects for funding. Program anticipates funding projects that create or enhance wildlife habitat, support research and monitoring, or acquire lands with high value to species of greatest conservation need.

CRITERIA FOR SELECTING PROPOSALS:

Proposals must propose to implement projects or priority conservation actions that are included in the State, District, Commonwealth, or Territory Comprehensive Wildlife Conservation Strategy (also known as a State Wildlife Action Plan). For SWG-Competitive grants, the criteria are described in the Application Instructions, available at Grants.gov.

15.635 NEOTROPICAL MIGRATORY BIRD CONSERVATION

FEDERAL AGENCY:

Fish and Wildlife Service, Department of the Interior

AUTHORIZATION:

Neotropical Migratory Bird Conservation Act of 2000, Public Law 106-247, 16 U.S.C. 6101.

OBJECTIVES:

To assist in the conservation of neotropical migratory birds by providing financial resources for the projects of partnerships in countries within the ranges of neotropical migratory birds.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Funds may be used for approved neotropical migratory bird conservation projects. Projects must: enhance the conservation of neotropical migratory bird species in the U.S., Canada, Latin America, or the Caribbean; ensure adequate local public participation in project development and implementation; be implemented in consultation with relevant wildlife management authorities and other appropriate government officials with jurisdiction over the resources addressed by the project; be sensitive to local historic and cultural resources and comply with applicable laws; promote sustainable effective, long-term programs to conserve neotropical migratory birds; and other requirements the Secretary considers to be necessary. The Act requires that not less than 75 percent of the amounts made available each fiscal year shall be expended for projects outside of the U.S. Projects are approved for up to a 2-year period of time. For further information, please contact the headquarters office. 100%. Funds provided under this program will not be used for: the purchase of firearms or ammunitions; buying of intelligence information or paying informants; gathering information by persons who conceal their true identity; law enforcement operations that prompt suspects to carry out illegal activities so they may be arrested (entrapment); or any activity that would circumvent sanctions, laws or regulations of either the U.S. or the country in which the activity would occur. Funds may not be used to provide material support or resources to individuals, entities, or organizations of countries that have been identified by the U.S. Department of State as state sponsors of terrorism. The countries currently identified are: Cuba, Iran, North Korea, Sudan and Syria. This program is administered in compliance with the Federal Grants and Cooperative Agreements Act of 1977, as amended. These funds may not be used towards salaries or travel of U.S. Federal Government personnel. 100%.

Applicant Eligibility:

An individual, corporation, partnership, trust, association, or other private entity; an officer, employee, agent, department, or instrumentality of the Federal Government, of any State, municipality, or political subdivision of a State, or of any foreign government; a State municipality, or political subdivision of a State; or any other entity subject to the jurisdiction of the United States or of any foreign country; or international organization with an interest in neotropical migratory bird conservation.

Beneficiary Eligibility:

An individual, profit organization, other private institution/organization, public nonprofit institution/organization, an officer, employee, agent, department, or instrumentality of the Federal Government, of any State, municipality, or political subdivision of a State, or of any foreign government; a State municipality, or political subdivision of a State; or any other entity subject to the jurisdiction of the United States or of any foreign country; or international organization with an interest in neotropical migratory bird conservation.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applicant must complete the Standard Form (SF)-424,

and the appropriate Budget (SF-424A and SF-424B Non-construction) and Assurances (SF-424C and SF-424D Construction) forms. Grant application, including Standard Form (SF) Application for Federal Assistance, and the appropriate Assurances forms (SF-424B and/or SF-424D), is submitted electronically to the address referenced on the website, at <http://www.fws.gov/birdhabitat/Grants/NMBCA> or through www.grants.gov. Information may also be accessed through www.grants.gov. If unable to access the website, request a proposal package, which includes specific requirement information, from the Chief, Division of Bird Habitat Conservation, Fish and Wildlife Service, Mail Stop MBP 4075, 4401 North Fairfax Drive, Arlington, VA 22203; Telephone: (703) 358-1784. Instructions are available in English and Spanish.

Award Procedure:

Proposals are reviewed and competitively selected for funding using criteria developed on the requirements of the Neotropical Migratory Bird Conservation Act. Review criteria can be found in the application information on the program website. Proposals are reviewed by a panel of experts from the Fish and Wildlife Service and other government agencies, who make funding recommendations to the Director. After projects are selected for funding, a grant agreement between the Fish and Wildlife Service and the grantee is drafted by the Division of Bird Habitat Conservation (DBHC). The agreement is then processed by DBHC and sent to the recipient. The agreement is considered to be executed on the date of signature by the Chief of DBHC.

Deadlines:

Nov 29, 2011 Proposals are due November 29, 2012. For future fiscal years, contact the headquarters office for application deadlines.

Range of Approval/Disapproval Time:

Decisions on funding of project proposals are usually made no later than 180 days after the receipt of the project proposal.

Appeals:

None. Proposals not funded may be resubmitted.

Renewals:

Proposals are funded on a project-specific basis. Requests for future funding must compete with other requests for project funding. A grant agreement can be modified or extended at the discretion of the Division of Bird Habitat Conservation.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Matching Requirements: In determining whether to accept projects for funding approval, applicants must guarantee a 3:1 match amount. That is, the applicant must match each Act dollar with 3 non-Federal dollars. Federal dollars refers to U.S. Federal dollars. Federal dollars of non-U.S. governments are eligible. In the U.S. and Canada, match must be in cash; outside of the U.S. and Canada, match may either be in cash or in-kind. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Unless the project is extended, awarded funds must be spent during the approved Period of Performance of the grant agreement, and in accordance with financial and reporting procedures specified in the grant agreement. Projects are usually two years in duration. See the following for information on how assistance is awarded/released: Funds are disbursed to recipients as requested and in accordance with the payment methods prescribed in 43 CFR Part 12, or as otherwise prescribed in program-specific legislation.

Reports:

Program reports are not applicable. Cash reports are not applicable. A performance report is required for each grant award annually, at the end of the fiscal quarter following the award anniversary date. A final performance report is due at the end of the fiscal quarter following the end of the award period of performance. Interim and final reports must be submitted in accordance with the terms and conditions of the grant agreement and must include SF-425 Federal Financial Report. Recipients must report expenditures using the SF 425, Federal Financial Report form. Recipients are responsible for monitoring and reporting performance for each award and sub-award under this program in accordance with 43 CFR Part 12 and 2 CFR Part 170.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

The Recipient shall maintain a complete, detailed accounting system to report expenditures of grant funds and a detailed accounting of receipts and expenditures of non-Federal matching dollars or value of services. Records, accounts, and supporting documents must be retained for 3 years after submission for final report.

Account Identification:

14-1696-0-0-302.

Obligations:

(Project Grants (Discretionary)) FY 12 \$3,781,488; FY 13 est \$3,672,000; and FY 14 est \$3,672,000 - FY13 and FY14 amounts are estimates based on prior year funding levels only.

Range and Average of Financial Assistance:

Ranged from \$2,000 to \$200,000, with an average of \$100,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Program received 88 project applications and funded 28 projects. Fiscal Year 2013: Program received 77 proposals and anticipates funding 30 projects. Fiscal Year 2014: Program anticipates receiving 80 proposals and funding 30 projects.

REGULATIONS, GUIDELINES, AND LITERATURE:

NMBCA Grant Application Instructions; U.S. Grant Administration Standards (for grants within the U.S.); 43 CFR Part 12 and 49 CFR Part 24.

Regional or Local Office:

None.

Headquarters Office:

Chief, Department of the Interior, Fish and Wildlife Service, Division of Bird Habitat Conservation, Mail Stop MBSP 4075, 4401 N. Fairfax Drive, Arlington, Virginia 22203 Phone: (703) 358-1784 Fax: (703) 358-2282.

Website Address:

<http://www.fws.gov/birdhabitat/Grants/NMBCA>.

RELATED PROGRAMS:

15.623 North American Wetlands Conservation Fund

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: U.S.-Mexico Grassland Bird Conservation X This project will continue work funded by the NMBCA since 2002 to conserve high-priority and declining grassland bird species of western North America. This phase focuses on implementing conservation actions to reduce and mitigate threats to Spragues pipits, mountain plovers and 26 other high-priority grassland bird species. Strategies include: outreach to engage grassland owners in habitat management and restoration in wintering habitat in Chihuahua; protecting shortgrass prairie in a portion of the 200,000-acre Mountains to Plains conservation area in northern Colorado; and management, monitoring, education and law enforcement to benefit mountain plover and other high-priority grassland bird species on 55,000 acres in this same conservation area in Colorado.

Protecting and Restoring Migratory Bird Habitat in the Osa Peninsula This project will protect wintering habitat for migratory birds on properties managed by Osa Conservation; restore degraded and deforested habitat; and create conservation agreements with neighboring landowners to protect habitat important for wintering migratory birds. Neotropical migratory species of concern that will be positively impacted by this project include swallow,tailed kite, mangrove cuckoo, olive, sided and willow flycatchers, wood thrush, and golden,winged warbler.

Harmonizing Rice Cultivation with Migratory Bird Conservation in Paraguay

Rice fields act as temporary wetlands and have been documented to provide important habitat, especially for feeding, for waterbirds such as buff-breasted sandpiper, lesser yellowlegs, solitary sandpiper, American golden plover and bobolink. Through a previous NMBCA-funded project, Guyra Paraguay began documenting the importance of the rice fields in southern Paraguay for conservation of neotropical migrants. Through the current project, partners will expand knowledge of the importance of rice fields in southern Paraguay for conservation of neotropical migrants; promote and test the adoption of best management practices that benefit both migratory birds and rice production; restore wetland habitats for neotropical migrants; and continue to raise awareness among producers of the importance of rice fields for the conservation of migratory birds. Fiscal Year 2013: Projects not yet selected. Program expects to fund 25-35 awards to support public-private partnerships carrying out projects in the United States, Canada, Latin America, and the Caribbean that promote the long-term conservation of Neotropical migratory birds and their habitats through 1) Protection and management of neotropical migratory bird populations; 2) Maintenance, management, protection, and restoration of neotropical migratory bird habitat; 3) Research and monitoring; 4) Law enforcement; and 5) Community outreach and education. Fiscal Year 2014: Projects not yet selected. Program expects to fund 25-35 awards to support public-private partnerships carrying out projects in the United States, Canada, Latin America, and the Caribbean that promote the long-term conservation of Neotropical migratory birds and their habitats through 1) Protection and management of neotropical migratory bird populations; 2) Maintenance, management, protection, and restoration of neotropical migratory bird habitat; 3) Research and monitoring; 4) Law enforcement; and 5) Community outreach and education.

CRITERIA FOR SELECTING PROPOSALS:

Proposals will be screened and selected for funding primarily on the basis of criteria contained in 16 U.S.C. 6101. However, proposals will also be evaluated on: scope and significance of effort; importance of resource values; relationship of project to other efforts locally/regionally; and feasibility and cost-effectiveness. Selection criteria are available on the program website.

15.636 ALASKA SUBSISTENCE MANAGEMENT

FEDERAL AGENCY:

Fish and Wildlife Service, Department of the Interior

AUTHORIZATION:

Alaska National Interest Lands Conservation Act (ANILCA) of 1980, Title VIII, Public Law 96-487, 16 U.S.C. 3101-3233, Section 809. Fish and Wildlife Coordination Act (16 U.S.C. 661 to 666).

OBJECTIVES:

To identify and provide information needed to sustain subsistence fisheries and wildlife management on Federal public lands, for rural Alaskans, through a multidisciplinary, collaborative program. Three programs are administered under ANILCA to fulfill this objective. The Fisheries Resource Monitoring Program (Monitoring Program) funds approximately 80 studies annually to provide information on stock status and trends, harvests, and traditional ecological knowledge to manage and regulate Federal subsistence fisheries. The Partners for Fisheries Monitoring Program (Partners Program) strengthens Alaska Native and rural involvement in subsistence fisheries management and research. The program provides funds directly to Alaska Native and rural organizations to hire fishery biologists, social scientists, and educators to implement fisheries monitoring projects and rural internship programs. The Liaison and Staff Support Grant to the State of Alaska is directed specifically to the Alaska Department of Fish and Game. The grant defrays salary and travel expenses that occur in support of the coordination between State and Federal subsistence fish and wildlife programs.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

Funds may be used for approved fish and wildlife subsistence management, fisheries monitoring and traditional ecological knowledge projects. 100%. Funds may be used for approved fish and wildlife subsistence management, fisheries monitoring and traditional ecological knowledge projects. For further information, please contact the regional office.

Applicant Eligibility:

An individual/family, profit organization, other private institution/organization, public nonprofit institution/organization; an officer, employee, agent, department or instrumentality of the Federal government, of the State of Alaska, municipality or political subdivision of the State of Alaska; Federally recognized Indian Tribal Government (including any Native village as defined in the Alaska Native Claims Settlement Act).

Beneficiary Eligibility:

An individual/Family, profit organization, other private institution/organization, public nonprofit institution/organization; an officer, employee, agent, department, or instrumentality of the Federal government, of the State of Alaska, municipality or political subdivision of the State of Alaska, or of any foreign government.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

An applicant should consult the office or official designated as the single point of contact for more information on the process. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs.". Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applicant must complete the Standard Form (SF)-424, the appropriate Budget and Assurances forms (SF-424A and SF-424B - Non-construction, Certifications Regarding Debarment, Suspension and Other Responsibility Matters, Drug-Free Workplace Requirements and Lobbying, and Certifications for Federal Assistance.

Award Procedure:

For the Monitoring Program, an inter-agency technical review committee evaluates proposals, and, for those selected for further consideration, subsequently the full investigation plans. The committee develops a draft monitoring program from the highest priority projects, based on their technical review and available funds. The draft monitoring plan is reviewed by ten regional advisory councils and the public. An inter-agency staff committee reviews all recommendations, and provides recommendations. The Federal Subsistence Board selects projects for the Monitoring Plan taking into account all recommendations and comments. Funding of the Monitoring Plan is administered by the Office of Subsistence Management (OSM).

For the Partners Program, an internal review is conducted to evaluate proposals and make recommendations for funding. The evaluations are based on the criteria outlined in the request for federal assistance. The proposals are ranked and the OSM Assistant Regional Director selects proposals that best support the program within available funding. Once a Monitoring Program project or Partners Program position is selected for funding, a funding instrument, i.e., notice of award, between Fish and Wildlife Service and the recipient is drafted by the OSM. The award is processed by OSM through the contracting office and sent to the recipient for signature. The award is considered executed when signed copies of the agreement are received from the recipient and the contracting office has obligated funds to the agreement. The awards are administered by OSM. For the Liaison and Staff Support Grant, the Alaska Department of Fish and Game is the only non-Federal entity with management authority over fish and wildlife resources. This grant agreement is not open to competition outside the Alaska Department of Fish and Game.

Deadlines:

Apr 04, 2013 Contact the regional office for application deadlines. Currently, the Fisheries Resource Monitoring Program has solicitation F13AS00043 posted for submission of Investigation Plans by April 4, 2013, 5:00 PM Alaska Time. Information can be found at <http://alaska.fws.gov/asm/fis.cfm?fissel=1>.

Range of Approval/Disapproval Time:

Federal Subsistence Board action on the Monitoring Plan is taken no later than January 20, with administrative follow-up by OSM taking place immediately thereafter. OSM decisions on Partners Program positions are announced within one year of the close of the Request for Proposals.

Appeals:

Not Applicable.

Renewals:

Monitoring projects and positions may be approved for one to four years duration. Applicants may re-apply during subsequent Request for Proposals.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: There is no requirement for cost sharing, matching, or cost participation. However, applicants are encouraged to document matching contributions. Proposals with substantial cost sharing will be ranked higher than similar proposals with no identified cost sharing. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

For the Monitoring and Partners Programs, upon approval, the project may be extended or the agreement modified for a period not to exceed five years from initial award. Awarded funds must be spent during the approved Period of Performance of the award, and in accordance with financial and reporting procedures specified in the award. For the Liaison and Staff Support Grant, award is for one year. For the Monitoring and Partners Programs visit the website <http://alaska.fws.gov/asm/fis.cfm>. See the following for information on how assistance is awarded/released: Funds are disbursed to recipients as requested and in accordance with the payment methods prescribed in 43 CFR Part 12, or as otherwise prescribed in program-specific legislation.

Reports:

Program reports are not applicable. Cash reports are not applicable. Recipients must submit a final performance report no later than 90 calendar days after the award end date. Recipients of awards with performance periods longer than 12 months will be required to submit interim reports. Program may require recipients to submit interim reports annually, semiannually, or quarterly. Program will detail all performance reporting requirements, including frequency and due dates, in the notice of award letter. Interim and final Federal Financial Reports, SF-425 are required in accordance with the terms and conditions of the award. Recipients are responsible for monitoring and reporting performance for each award and sub-award under this program in accordance with 43 CFR Part 12.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records for cooperative agreements awarded to State and Local Governments will be maintained in accordance with the provisions of 43 CFR Part 12, Subpart C, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments." Records for cooperative agreements awarded to institutions of higher education and other nonprofit organizations will be maintained in accordance with the provisions of 43 CFR Part 12, Subpart F and 2 CFR, Part 215 "Uniform Administrative Requirements for Grants and Agreements With Institutions of Higher Education, Hospitals, and Other Nonprofit Organizations."

Account Identification:

14-1611-0-1-302.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 12 \$4,215,000; FY 13 est \$3,152,000; and FY 14 est \$5,150,000 - Inclusive of funding for fisheries and wildlife programs.

Range and Average of Financial Assistance:

Variable amounts. Fisheries Resource Monitoring Program: Awards range from \$10,000 to \$500,000 up to a 4-year period. Partners for Fisheries Monitoring Program: Awards range from \$30,000 to \$600,000 over a 4-year period. Liaison and Staff Support Grant: Awards are approximately \$420,000 annually.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: 26 new awards; 11 continuing awards. Fiscal Year 2013: 28 continuing awards. Fiscal Year 2014: 26 continuing awards; expect to receive 60 investigation plans, estimate 20 new awards.

REGULATIONS, GUIDELINES, AND LITERATURE:

Cooperative agreements/project grants will be administered in conformance with the Fish and Wildlife Service Administrative Service Manual and 43 CFR 12; 2 CFR 215.

Regional or Local Office:

See Regional Agency Offices. For the Fisheries Monitoring and Partners for Fisheries Monitoring Programs: Kathleen Orzechowski, Program Specialist, Office of Subsistence Management, 1011 E Tudor Road, MS 121, Anchorage, Alaska 99503. Telephone: (907)786-3645, E-mail: kathleen_orzechowski@fws.gov. For the Liaison and Staff Support Grant: Chuck Ardizzone, Wildlife Biologist, Office of Subsistence Management, 1011 E Tudor Road, MS 121, Anchorage, AK 99503, Telephone: (907) 786-3871, E-mail: chuck_ardizzone@fws.gov.

Headquarters Office:

Karie Crow, Office of Subsistence Management, 1011 E. Tudor Road, MS 121, Anchorage, Alaska 99503 Email: karie_crow@fws.gov Phone: (907) 786-3387.

Website Address:

<http://alaska.fws.gov/asm/index.cfm>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Ex. 1 - 12-352 Upper Kuskokwim River Whitefish Climate Change Trends. This three-year project examines whitefish harvests within the broader context of non-salmon harvest efforts in two Kuskokwim River drainage communities, Lime Village and Nikolai. Given the importance of whitefish subsistence harvests in the upper Kuskokwim River, this research will attempt to determine why harvest levels for key species have declined over the past few decades.

Ex. 2 - 12-500 Copper River Chinook Salmon RFID Feasibility. This two-year project examines the feasibility of placing radio frequency identification readers into a Copper River tributary to monitor Chinook salmon escapement.

Ex. 3 - 12-207 Yukon River Bering Cisco Spawning Origins Telemetry. This three-year project would use radio telemetry to document the spawning locations and timing of Bering cisco in the Yukon River drainage. Fiscal Year 2013: No new awards. Fiscal Year 2014: No new awards until completion of investigation plan reviews in 2013 and Federal Subsistence Board approval in January 2014. An example as identified in the Information Needs document for the 2014 Funding Opportunity:

For the Northern Alaska Region, the 2014 Request for Proposals is focused on the following priority information needs:

Baseline and ongoing harvest assessment and monitoring of subsistence fisheries in the Northwest Arctic and North Slope regions to supplement available information.

Historic trends and variability in harvest locations, harvests, and uses of non-salmon fish, particularly for North Slope communities.

Ipiaq natural history of fish, land use, place name mapping, species distribution, and methods for and timing of harvests, and Ipiaq taxonomy of nonsalmon fish species.

CRITERIA FOR SELECTING PROPOSALS:

For the Monitoring Program, each proposal is evaluated using the following four criteria: (1) Strategic Priorities: Studies must be responsive to identified issues and information needs. All projects must have a direct association to a Federal subsistence fishery, and either the subsistence fishery or fish stocks in question must occur in waters within or adjacent to Federal public lands; (2) Technical and Scientific Merit: Technical quality of the study design must meet accepted standards for information and collection, compilation, analysis, and

reporting. Studies must have clear objectives, appropriate sampling design, correct analytical procedures, and specified progress and final reports; (3) Investigators Ability and Resources: Investigators and their organizations should have demonstrated technical and administrative expertise to complete prior studies, or have co-investigators or appropriate partnerships with other organizations to meet all requirements of the study; (4) Partnership-Capacity Building: Studies must include appropriate partners and contribute to building capacities of rural organizations, local communities, and residents to participate in fisheries resource management. Investigators and their organizations should be able to demonstrate the ability to maintain effective local relationships and a commitment to capacity building. For the Partners Program, each proposal is evaluated using the following equally weighted criteria: (1) Each project must have direct linkage to Monitoring Program projects; (2) Ability to successfully implement program identified under section IV, ii, Content and Form of Application, Describe the Program; (3) Strategic priority and past performance of the Monitoring Program projects identified in the proposal; (4) Letters of support from tribal and rural organizations, government agencies, project investigators, and schools/ universities; (5) Annual and total cost of the proposal, including use of in-kind or matching contributions that either decrease cost or expand support for implementing the Partners Program; (6) Past performance on implementing Monitoring Program projects; (7) Past performance on current or past agreements with the Office of Subsistence Management; (7) Previous experience in mentoring, training, and supervising personnel; and (8) Past and current accounting practices to ensure deliverables and accountability.

Each of these items carries a "Go/No-Go" impact: direct linkage of a Monitoring Program project, enrollment in CCR; affirming in writing in your application that you have enrolled in CCR; providing your DUNS Number; submission of completed SF-424, SF-424A, and SF-424B package; and submission of approved Indirect Cost Negotiation Agreement if requesting reimbursement for indirect costs. For the Liaison and Staff Support Grant, the Alaska Department of Fish and Game is the only non-federal entity with management authority over fish and wildlife resources. This grant agreement is not open to competition outside the Alaska Department of Fish and Game.

15.637 MIGRATORY BIRD JOINT VENTURES**FEDERAL AGENCY:**

Fish and Wildlife Service, Department of the Interior

AUTHORIZATION:

Fish and Wildlife Act of 1956, as amended, 16 U.S.C. 742a-754; Fish and Wildlife Coordination Act of 1958, 16 U.S.C.661-667(e); Fish and Wildlife Conservation Act, 16 U.S.C, 2901-2911; and Migratory Bird Treaty Act, (16U.S.C. 709a).

OBJECTIVES:

To protect, restore, and enhance wetland and upland ecosystems for the conservation of migratory birds.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

Joint Ventures are public/private partnerships, guided by a Management Board of public/private representatives and use a U.S. Fish and Wildlife Service (USFWS) approved Implementation Plan that establishes conservation goals, priorities, and strategies. The Implementation Plan typically includes stepped down goals and objectives from a variety of national and international bird planning efforts. A Joint Venture Coordinator facilitates the coordination and implementation of the Joint Venture program. The USFWS provides basic administrative funding to the Joint Venture to cover costs associated with coordination; planning; monitoring, evaluation and applied research; communications and outreach; and project development and implementation. Joint Ventures may provide Federal assistance to partners within these categories to achieve the goals of the Joint Venture. The USFWS does not fund the entire scope of Joint Venture operations. Joint Venture partners are expected to contribute funding towards one or more of these categories. Non-federal match is not required, but leveraging USFWS dollars provided for Joint Ventures administration is a critical component of the Joint Ventures program.

Varies annually.

Applicant Eligibility:

Federal, State and local government agencies, Federally recognized Indian Tribal governments, private nonprofit institutions/organizations; public nonprofit institutions/organizations; profit organizations, interstate and intrastate entities, and individuals or families who are also private landowners.

Beneficiary Eligibility:

General Public.

Credentials/Documentation:

Applicant must participate or intend to participate in strategic conservation planning, implementation and evaluation activities designed to meet the Joint Venture objectives as identified in an approved Implementation Plan and all related plans. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Joint Venture partner/potential partner may contact Joint Venture Coordinator to discuss Joint Venture (JV) needs and objectives, or JV Coordinator may initiate the contact. Coordinators work with partners/potential partners to design projects and discuss cost-share programs/activities that will contribute to meeting Joint Venture goals. Preparation of Environmental Impact Statements is not a prerequisite for receiving Federal assistance/funding. State Plans are not required. Applicant must complete the Standard Form (SF) 424, and the appropriate Budget and Assurances forms (SF 424A and SF 424B Non-construction; or SF 424C and SF 424D Construction)

Award Procedure:

Once the project is designed and either fits within an approved Implementation Plan or is reviewed and approved by a Joint Ventures Management Board, a cooperative agreement or grant agreement is written, executed, and work can begin.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

180 Days.

Appeals:

Not Applicable.

Renewals:

None. Partners/potential partners may request additional projects to meet Joint Venture goals and objectives.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Cooperative agreements are normally written for a 1 to 5 year period. For project cost-share grants, a 2 year period for expenditure of funds is the norm. Payments are generally made on a quarterly basis. See the following for information on how assistance is awarded/released: Funds are disbursed to recipients as requested and in accordance with the payment methods prescribed in 43 CFR Part 12, or as otherwise prescribed in program-specific legislation.

Reports:

Program reports are not applicable. Cash reports are not applicable. All projects and activities are monitored for progress and compliance with agreed on scope of work. Written reports on completion of the project are generally required. Recipients are required to submit annual Federal Financial Report SF 425 within 90 calendar days after the grant year (i.e., 12 months after the approved effective date of the assistance agreement and every 12 months thereafter until

the expiration date of the assistance agreement). A final Federal Financial Report SF 425 is required 90 calendar days after the expiration or termination of the assistance agreement. Recipients are responsible for monitoring and reporting performance each award and sub-award under this program in accordance with 43 CFR Part 12 and 2 CFR Part 170.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

The Recipient shall maintain a complete, detailed accounting system to report expenditures of grant funds and accomplishments achieved under the award. Records, accounts, and supporting documents must be retained for 3 years after submission of the final Federal Financial Status Report (SF 425).

Account Identification:

14-1611-2-3-302.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 12 \$5,372,563; FY 13 est \$4,000,000; and FY 14 est \$4,000,000

Range and Average of Financial Assistance:

Range is \$2,400 to \$900,000; Average \$225,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: The \$14.0 million appropriated for the North American Waterfowl Management Plan and Migratory Bird Joint Ventures was used to leverage approximately \$600 million in partner contributions to support landscape conservation planning, and habitat projects, for the benefit of migratory birds. Fiscal Year 2013: It is estimated that similar results to those achieved in FY12 will be achieved in FY13. Fiscal Year 2014: Currently no data is available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Fish and Wildlife Service Policy 721 FW6, Joint Venture Implementation Plans, Joint Venture Accomplishment Reports.

Regional or Local Office:

See Regional Agency Offices. See Catalog Appendix IV for addresses.

Headquarters Office:

Joint Venture Liaison Officer, Department of the Interior, Fish and Wildlife Service, Division of Bird Habitat Conservation, Mail Stop MBSP 4075, 4401 N. Fairfax Drive, Arlington, Virginia 22203 Email: DBHC@fws.gov Phone: (703) 358-1784 Fax: (703) 358-2282.

Website Address:

www.grants.gov; <http://www.fws.gov/birdhabitat/JointVentures/index.shtm>.

RELATED PROGRAMS:

15.623 North American Wetlands Conservation Fund; 15.631 Partners for Fish and Wildlife; 15.635 Neotropical Migratory Bird Conservation ; 15.647 Migratory Bird Conservation

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Examples of projects funded include: North Atlantic and Great Lakes sea duck migration study; habitat use and energetic carrying capacity among managed and unmanaged sites for the American Black Duck in the interior United States; and the Audubon Prairie Bird Initiative Collaboration. Fiscal Year 2013: Projects not yet selected. Projects will support: the effective operation and implementation of joint ventures; the development of integrated migratory bird habitat landscape planning; monitoring, evaluation, and applied research; habitat conservation/protection, restoration, and enhancement; communications and outreach; and technical assistance and training for partners. Fiscal Year 2014: It is anticipated that Projects will support the effective operation and implementation of joint ventures; the development of integrated migratory bird habitat landscape planning; monitoring, evaluation, and applied research; habitat

conservation/protection, restoration, and enhancement; communications and outreach; and technical assistance and training for partners.

CRITERIA FOR SELECTING PROPOSALS:

Applicants must be an existing or potential partner of a Joint Venture, and must agree to support Joint Venture goals and objectives. Project must fall within scope of a Management Board approved Joint Venture Implementation Plan and related conservation plans. Projects must contribute to overall Joint Venture goals and objectives. Project must be cost-effective. Projects that leverage grant funds with partner funds or in-kind services will receive preference.

15.639 TRIBAL WILDLIFE GRANTS PROGRAM

(TWG)

FEDERAL AGENCY:

Fish and Wildlife Service, Department of the Interior

AUTHORIZATION:

Department of the Interior and Related Agencies Appropriations Act, FY 2004, Title I, Public Law 108-108, Land and Water Conservation Fund Act of 1965, 16 U.S.C. 4601-4 through 11., Public Law 108-108, 16 U.S.C 4601-4 thru 11.

OBJECTIVES:

To develop and implement programs for the benefit of wildlife and their habitat, including species that are not hunted or fished.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Approved activities include those which develop and implement programs for the benefit of wildlife and their habitat, including species of Native American cultural or traditional importance and species that are not hunted or fished. Activities may include, but are not limited to: Planning for conservation of fish and wildlife, and their habitats; Conservation management actions for fish and wildlife, and their habitats; Field and laboratory research related to fish and wildlife resources; Natural history studies; Habitat mapping or evaluation; Field surveys and population monitoring; Conservation easements; Restoration of habitat; Management of invasive species; and Public education relevant to the proposed project.

Applicant Eligibility:

Participation is limited to Federally recognized Indian tribal governments. For the purposes of inclusion under TLIIP, tribal trust lands are treated similarly to lands under private ownership.

Beneficiary Eligibility:

Anyone/General Public (While direct participation is limited to Federally recognized Indian tribal governments, the general public will ultimately benefit from these wildlife conservation measures).

Credentials/Documentation:

Only Federally recognized Tribes in all parts of the United States are eligible to receive grants under this program, including Federally recognized Tribes, Pueblos, Rancherias, and Alaska Native Villages or traditional councils as defined by the Alaska Native Claims Settlement Act. Federally recognized Tribes are listed on page 60810 Federal Register / Vol. 75, No. 190 / Friday, October 1, 2010 / Notices. OMB Circular No. A-87 applies to this program. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Applicant must complete the Application for Federal Assistance SF 424, and the appropriate Budget and Assurances forms, SF 424A and SF424B - Non Construction; or SF 424C and SF 424 D - Construction. Application procedures are spelled out in the "Tribal Wildlife Grant Application Kit" available electronically at: <http://grants.fws.gov/tribal.html>. To receive a paper copy, contact the regional Office of the Native American Liaison.

Award Procedure:

The Director of the Fish and Wildlife Service or his or her designee approves or disapproves proposed projects. Regional offices are responsible for notification of grant approval to the grantee and will coordinate the development of the grant agreement.

Deadlines:

May 01, 2013 to Sep 02, 2013 Project proposals will be accepted between May 1, 2013 through September 2, 2013.

Range of Approval/Disapproval Time:

Proposals will be scored, ranked and selected approximately 90 working days after the deadline for submitting proposals.

Appeals:

Any differences of opinion over the eligibility of proposed activities or differences arising over the conduct of work may be appealed to the Director, Fish and Wildlife Service. Final determination rests with the Secretary of the Interior.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

This program has no matching requirements. There is no matching requirement, however, the Service will consider matching funds as an indication of tribal commitment to the program and to encourage partnerships. Matching and cost sharing requirements are discussed in 43 CFR Part 12, Section 12.64.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Apportioned funds are available for obligation by the Tribe until expended. See the following for information on how assistance is awarded/released: Funds are disbursed to recipients as requested and in accordance with the payment methods prescribed in 43 CFR Part 12, or as otherwise prescribed in program-specific legislation.

Reports:

Program reports are not applicable. Cash reports are not applicable. A Performance Report is required for each grant annually within 90 days after the anniversary date or end of the grant. A Federal Financial Report SF 425 is required for each grant annually within 90 days after the anniversary date or end of the grant. Recipients are responsible for monitoring and reporting performance each award and sub-award under this program in accordance with 43 CFR Part 12 and 2 CFR Part 170.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Cost records must be maintained separately for each project. Records, accounts, and supporting documents must be retained for three years after submission of the final Federal Financial Report (SF-425).

Account Identification:

14-1694-0-1-302.

Obligations:

(Project Grants (Discretionary)) FY 12 \$4,268,000; FY 13 est \$4,268,000; and FY 14 est \$4,268,000 - FY12 shows an across the board reduction applied to the State and Tribal Wildlife Grants programs and FY13 carries this base amount forward and may be subject to modification.

Range and Average of Financial Assistance:

Range \$0 - \$200,000; Average \$167,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: 125 proposals were received; 23 awards issued. Fiscal Year 2013: 113 proposals were received; 20-25 awards are expected to be issued. Fiscal Year 2014: 110-130 proposals are expected; 20-25 awards expected to be issued.

REGULATIONS, GUIDELINES, AND LITERATURE:

Application Kit is located at <http://www.fws.gov/nativeamerican/>

Regional or Local Office:

See Regional Agency Offices. Region 1 Fish and Wildlife Service
Native American Liaison
Eastside Federal Complex
911 NE 11th Avenue
Portland, OR 97232-4181

Region 2 Fish and Wildlife Service
Native American Liaison
500 Gold Avenue, SW
P.O. Box 1306
Albuquerque, NM 87103-1306

Region 3 Fish and Wildlife Service
Native American Liaison
1 Federal Drive
Fort Snelling, MN 55111-4080

Region 4 Fish and Wildlife Service
Native American Liaison
1875 Century Boulevard
Atlanta, GA 30345

Region 5 Fish and Wildlife Service
Native American Liaison
300 Westgate Center Drive, Hadley, MA 01035-9589

Region 6 Fish and Wildlife Service
Native American Liaison
P.O. Box 25486
Denver, CO 80225

Region 7 Fish and Wildlife Service
Native American Liaison
1011 East Tudor Road
Anchorage, AK 99503-6199

Region 8 Fish and Wildlife Service
Tribal Partnerships Specialist
Habitat Restoration Division
2800 Cottage Way, Rm W-2606
Sacramento, CA 95825.

Headquarters Office:

Native American Liaison, Fish and Wildlife Service, Department of the Interior, 4401 N Fairfax Drive: Mail Stop-330 ARL, Arlington, Virginia 22203
Phone: (202) 208-4133 Fax: (202) 501-3524.

Website Address:

<http://www.fws.gov/nativeamerican/>

RELATED PROGRAMS:

15.623 North American Wetlands Conservation Fund; 15.630 Coastal Program; 15.631 Partners for Fish and Wildlife

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Lamprey Conservation in the Umpqua Basin Project 2012 ‐ \$200,000

The Cow Creek Band of Umpqua Indians partnered with the Service to affect long-term change toward conservation of lamprey in the Umpqua Basin and meet the goals established in the Pacific Lamprey Conservation Plan. The Pacific lamprey is a culturally important species to the Tribe and an historic food source. Restoration of this unique fish can meet tribal and Service objectives.

Kukutali Preserve 2012 ‐ \$200,000

The Swinomish Indian Tribal Community will inventory, manage, protect, and enhance wildlife and habitat resources on the 118 acres of tidelands, nearshore, and old growth forests of Kukutali Preserve on the Swinomish Reservation in Washington. A key element will include the creation of a 50‐year management plan for the Kukutali Preserve by the Tribe and Washington State Parks as co‐owners and managers of the Preserve. The restoration project is designed to protect the threatened Skagit Chinook salmon by providing protection to critical rearing habitat.

Roundtail Chub Conservation Management 2012 ‐ \$186,707

The endangered Roundtail chub is found throughout the Colorado River basin from Colorado and Wyoming to the northern Sonora. The Southern Ute Indian Tribe Wildlife department is taking measures to insure the stability of chub populations in reservation riverine habitats. Targeted sites will include typically open areas in the deepest pools and eddies of middle sized to larger streams.

For more examples see the Summary of Projects Supported by the U.S. Fish And Wildlife Service Tribal Wildlife Grants Program (20072012) at <<http://www.fws.gov/nativeamerican/>> under the heading Tribal Wildlife Grants. Fiscal Year 2013: Program has not yet selected projects for funding. Program anticipates funding projects that develop or implement programs that benefit wildlife and their habitat, including species of Native American cultural or traditional importance and species that are not hunted or fished. Activities may include, but are not limited to: Planning for conservation of fish and wildlife, and their habitats; Conservation management actions for fish and wildlife, and their habitats; Field and laboratory research related to fish and wildlife resources; Natural history studies; Habitat mapping or evaluation; Field surveys and population monitoring; Conservation easements; Restoration of habitat; Management of invasive species; and Public education relevant to the proposed project. Fiscal Year 2014: Program has not yet selected projects for funding. Program anticipates funding projects that develop or implement programs that benefit wildlife and their habitat, including species of Native American cultural or traditional importance and species that are not hunted or fished. Activities may include, but are not limited to: Planning for conservation of fish and wildlife, and their habitats; Conservation management actions for fish and wildlife, and their habitats; Field and laboratory research related to fish and wildlife resources; Natural history studies; Habitat mapping or evaluation; Field surveys and population monitoring; Conservation easements; Restoration of habitat; Management of invasive species; and Public education relevant to the proposed project.

CRITERIA FOR SELECTING PROPOSALS:

The following criteria is used to select proposals: (1) Resource Benefit: What are the expected benefits to fish and wildlife resources, including species that are not hunted or fished, and their habitat if this program is successfully completed? The Service requires that the Tribe articulate how the benefits of its proposal support the goals and objectives of the TWG and Service and Tribal Performance Goals in their proposal narratives. (2) Performance Measures: To what extent does the proposal provide obtainable and quantifiable performance measures and a means to monitor, evaluate, and report on these measures compared to an initial baseline? The measures should be specific and clear, and should provide demonstrable benefits to the target species of the action. These actions must support the goals and objectives of the TWG, the Service and the Tribe. (3) Work plan: Are the program activities and objectives well-designed and achievable? (4) Budget: Are all major budget items justified in relation to the program objectives and clearly explained in the narrative description? (5) Capacity Building: To what extent does the program increase the grantee's capacity to provide for the benefit of wildlife and their habitat? (6) Contributions and Partnerships: To what extent does the applicant display commitment to the project proposal through in-kind contribution or matching funds and to what extent does it incorporate contributions from other nonfederal partners in the form of either cash or in-kind services?.

15.640 WILDLIFE WITHOUT BORDERS- LATIN AMERICA AND THE CARIBBEAN

FEDERAL AGENCY:

Fish and Wildlife Service, Department of the Interior

AUTHORIZATION:

Endangered Species Act of 1973, as amended (16 U.S.C. 1531-43).

OBJECTIVES:

To support the creation, implementation, and/or replication of innovative training programs in Latin America and the Caribbean (LAC) that will train future generations of resource managers through a holistic, cooperative, ecosystem-oriented approach adapted to the complexities of modern society and the interconnectedness of the landscapes upon which society depends.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Projects should take place in Latin America or the Caribbean, ideally in a recognized high priority ecosystem or ecoregion of biome, or a key location associated with flagship, endangered or migratory species of regional concern. If work is to be conducted outside that region, the proposal must explain why it is preferable that the project is implemented elsewhere. Funds provided under this program will not be used for the following activities: the purchase of firearms or ammunitions; buying of intelligence information or paying informants; gathering information by persons who conceal their true identity; law enforcement operations that prompt suspects to carry out illegal activities so they may be arrested (entrapment); or any activity that would circumvent sanctions, treaties, laws or regulations of either the U.S. or the country in which the activity would occur. Funds may not be used to provide material support or resources to individuals, entities, or organizations of countries that have been identified by the U.S. Department of State as state sponsors of terrorism. The countries currently identified are: Cuba, Iran, Sudan and Syria. This program is administered in compliance with the Federal Grants and Cooperative Agreements Act of 1977, as amended. These funds may not be used towards training U.S. Federal Government personnel. 100%.

Applicant Eligibility:

Participation is limited to Federal, State and local governments, non-profit, non-governmental organizations; public and private institutions of higher education; and any other organization or individual with demonstrated experience deemed necessary to carry out the proposed project.

Beneficiary Eligibility:

Federal, State and local government agencies; non-profit, non-governmental organizations; public and private institutions of higher education; and any other organization or individual with demonstrated experience deemed necessary to carry out the proposed project.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. The program announcement and application instructions for this program can be found at <http://www.fws.gov/international/DIC/howtoapply.html>. The Notice of Funding Availability and Application Instructions are also located on the program website at <http://www.fws.gov/international/wildlife-without-borders/latin-america-and-the-caribbean/index.html>. If you are unable to access the Internet, hard copies are available upon request from the Branch of Latin America & the Caribbean, Division of International Conservation, Fish and Wildlife Service, 4401 N. Fairfax Drive, Room 100, Arlington, VA 22203.

Award Procedure:

Projects are reviewed and competitively selected for funding using criteria developed specifically for this program. Review criteria can be found in the application information at <http://www.fws.gov/international/DIC/howtoapply.html>. Once a proposal has

been selected for funding, an Assistance Award between the Fish and Wildlife Service and the Recipient is executed by an FWS approving official. Fully executed Awards are sent to the Recipient either electronically or through the mail. The Division of International Conservation then administers all other aspects of the Assistance Award.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Decisions on funding of project proposals are normally made no later than 180 days after the receipt of the project proposals.

Appeals:

Not Applicable.

Renewals:

None. However, at the discretion of the Division of International Conservation awards can be modified (e.g. extended for time or budget changes made).

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: Applicants and/or other project partners must contribute funds to the project, which may include cash or in-kind contributions.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Upon approval, the project may be extended or the agreement modified. Awarded funds must be spent during the period of performance indicated on the Assistance Award, and in accordance with the financial and performance reporting procedures specified in the Assistance Award. See the following for information on how assistance is awarded/released: Funds are disbursed to recipients as requested and in accordance with the payment methods prescribed in 43 CFR Part 12, or as otherwise prescribed in program-specific legislation.

Reports:

Program reports are not applicable. Cash reports are not applicable. Complete reporting requirements can be found at this program's web page at <http://www.fws.gov/international/DIC/pdf/NOFA-AAG/AAG.pdf>. For financial reporting, Domestic Recipients with no program income to report shall use Federal Financial Report, Standard Form 425. Domestic recipients with program income to report shall use Federal Financial Report SF 425. Non-domestic Recipients shall submit a financial report that follows the same format of the original project proposal budget table, itemizes expenditures for the reporting period and describes any deviations from the approved project budget. Recipients are responsible for monitoring and reporting performance each award and sub-award under this program in accordance with 43 CFR Part 12 and 2 CFR Part 170.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Recipient will maintain records in accordance with the provisions of 43 CFR part 12, Subpart C, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments" and 43 CFR Part 12, Subpart F, "Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and other Nonprofit Organizations".

Account Identification:

14-1611-0-1-302.

Obligations:

(Project Grants (Discretionary)) FY 12 \$840,253; FY 13 est \$0; and FY 14 est \$0 - No new funding is expected for this program, however, the CFDA should remain active through FY 14.

Range and Average of Financial Assistance:

Variable amounts. Generally \$50,000 or less. Higher amounts may be requested.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Program received 47 applications and issued 9 awards. Fiscal Year 2013: Program anticipates receiving no application and issuing no awards. Fiscal Year 2014: Undetermined.

REGULATIONS, GUIDELINES, AND LITERATURE:

Grants will be administered in conformance with the Assistance Award Guidelines established by the Division of International Conservation, which uses as its source all applicable award terms and conditions found at <http://www.doi.gov/pam/TermsandConditions.html>. The Assistance Award Guidelines can be found on-line at http://www.fws.gov/international/DIC/pdf/AAG_9-6-07.pdf or in hard copy by contacting the Division of International Conservation at (703) 358-1754.

Regional or Local Office:

None.

Headquarters Office:

Chief, Fish and Wildlife Service, Department of the Interior, Division of International Conservation, 4401 North Fairfax Drive, Room 100, Arlington, Virginia 22203-1622 Email: WWB_LAC@fws.gov Phone: (703) 358-1754 Fax: (703) 358-2115.

Website Address:

<http://www.fws.gov/international/wildlife-without-borders/latin-america-and-the-caribbean/index.html>

RELATED PROGRAMS:

15.641 Wildlife Without Borders-Mexico ; 15.651 Wildlife Without Borders-Africa Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: The program supported 1) Funding for the development of a self-sustaining Graduate Program in Conservation Biology at the University of Buenos Aires, Argentina, and the design and initiation of a Conservation Network that brings together academics, governmental organizations, non-governmental organizations, conservation practitioners, policy makers, and students. Activities during the first year include conducting a 5-day workshop to design and refine the Conservation Program's curriculum, identify instructors, and address implementation strategies and requirements. The workshop will also address the requirements of creating a Conservation Network including identifying the core implementation team and mentoring body. Four demonstrative field courses of 10 days each for 20-25 students will be conducted during two consecutive semesters between June 2013 and June 2014 to evaluate the program content and delivery. 2)The Graduate School for Environmental Conservation and Sustainability by covering operating costs of the program, such as meals, lodging, and travel costs for student field activities and other practical experiences that emphasize the importance of the social context of biodiversity conservation. 3) A 3-day meeting in Panama in Spring of 2013. The meeting will bring together approximately 50 current conservation practitioners from across the region to discuss ways to promote a social approach to biodiversity conservation in the Latin America and Caribbean region. The Organization of American States will serve as the point of contact for all logistical and other aspects of meeting design and delivery. Fiscal Year 2013: Program will not award any projects. Fiscal Year 2014: Undetermined.

CRITERIA FOR SELECTING PROPOSALS:

A complete list of the criteria used to select projects under this program can be found in the Latin America & the Caribbean Notice of Funding Availability and Application Instructions located on line at <http://www.fws.gov/international/DIC/howtoapply.html>. Hard copies are available upon request from the Division of International Conservation at (703) 358-1754.

15.641 WILDLIFE WITHOUT BORDERS-MEXICO**FEDERAL AGENCY:**

Fish and Wildlife Service, Department of the Interior

AUTHORIZATION:

Endangered Species Act of 1973, as amended, 16 U.S.C. 1531-43.

OBJECTIVES:

To Build human and institutional capacity for biodiversity conservation and management in Mexico through training. Of interest are projects that provide direct and significant training to Mexican personnel in terms of number of individuals trained, strategic or innovative nature of the training, and impact of the training on the conservation of biodiversity.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

To be considered, projects must fall into one or more of the following three strategic categories:

(1) Managing for Excellence: Training in natural resource conservation and management for Mexican

Government personnel, including policy-makers, federal, state, and municipal-level resource managers, and reserve guards. This includes short-term (2-3 weeks) on-the-job courses certified by an educational institution (Diplomados), workshops, exchanges of personnel, etc.

(2) Stewards of the Land: Training in natural resource conservation/management for resource owners and/or direct users, including local communities, rural peasant farmer organizations, and indigenous peoples. This includes training provided through on-the-ground practices, workshops, exchanges of personnel, and other delivery mechanisms appropriate to the training needs of these target groups.

(3) Voices for Nature: Training in environmental education and/or public outreach for targeted society stakeholder groups, including teachers, school children, journalists, tourists, legislators, nongovernmental organizations, and private sector organizations or businesses. This includes workshops, educational programs, production of educational and training material, etc.

Applicants should be proposing work to be conducted in Mexico. If work is to be conducted in the United States, the proposal must show a clear connection to capacity building for biodiversity conservation in Mexico to be eligible for funding. Funds provided under this program will not be used for the following activities: the purchase of firearms or ammunitions; buying of intelligence information or paying informants; gathering information by persons who conceal their true identity; law enforcement operations that prompt suspects to carry out illegal activities so they may be arrested (entrapment); or any activity that would circumvent sanctions, treaties, laws or regulations of either the U.S. or Mexico. Funds may not be used to provide material support or resources to individuals, entities, or organizations of countries that have been identified by the U.S. Department of State as state sponsors of terrorism. The countries currently identified are: Cuba, Iran, Sudan and Syria. This program is administered in compliance with the Federal Grants and Cooperative Agreements Act of 1977, as amended. These funds may not be used towards training U.S. Federal Government personnel. For further information, please contact the regional office. 100%.

Applicant Eligibility:

Participation is limited to Federal, State and local governments, non-profit, non-governmental organizations; public and private institutions of higher education; and any other organization or individual with demonstrated experience deemed necessary to carry out the proposed project.

Beneficiary Eligibility:

Federal, State and local government; public nonprofit institution/organizations; public and private institutions of higher education; and any other organization or individual with demonstrated experience deemed necessary to carry out the proposed project.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact

information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. The program announcement and application instructions for this program can be found at <http://www.grants.gov>. The Notice of Funding Availability and Application Instructions are also located on this programs website at <http://www.fws.gov/international/wildlife-without-borders/mexico/index.html>. If you are unable to access the Internet, hard copies are available upon request from the Branch of Mexico, Division of International Conservation, U.S. Fish and Wildlife Service, 4401 N. Fairfax Drive, Room 100, Arlington, VA 22203.

Award Procedure:

Projects are simultaneously reviewed by a panel convened by the U.S. Fish and Wildlife Service and a panel convened by the Mexican Ministry of the Environment and Natural Resources (SEMARNAT). Final funding decisions are made jointly by representatives of the Service and SEMARNAT based on the recommendations of the two review panels. Review criteria can be found in the application information at <http://www.fws.gov/international/DIC/howtoapply.html>. Once a proposal has been selected for funding, an Assistance Award between the Fish and Wildlife Service and the Recipient is executed by an FWS approving official. Fully executed Awards are sent to the Recipient either electronically or through the mail. The Division of International Conservation then administers all other aspects of the Assistance Award.

Deadlines:

Oct 01, 2012 October 1.

Range of Approval/Disapproval Time:

Decisions on funding of project proposals are normally made no later than 180 days after the receipt of the project proposals.

Appeals:

Not Applicable.

Renewals:

None. However, at the discretion of the Division of International Conservation awards can be modified, e.g.; extended for time or budget changes made.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching Requirements: Applicants or other project partners must contribute funds to the project, which may include cash or in-kind contributions. In determining whether to approve project proposals, preference is given to projects for which matching funds are available.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Upon approval, the project may be extended or the agreement modified. Awarded funds must be spent during the period of performance that is approved for each Assistance Award, and in accordance with the program financial and performance reporting procedures specified in the Assistance Award document. See the following for information on how assistance is awarded/released: Funds are disbursed to recipients as requested and in accordance with the payment methods prescribed in 43 CFR Part 12, or as otherwise prescribed in program-specific legislation.

Reports:

Program reports are not applicable. Cash reports are not applicable. Recipients must submit a final performance report no later than 90 calendar days after the award end date. Recipients of awards with performance periods longer than 12 months will be required to submit interim reports. Program may require recipients to submit interim reports annually, semiannually, or quarterly. Program will detail all performance reporting requirements, including frequency and due dates, in the notice of award letter. Domestic recipients must report expenditures using the SF 425, Federal Financial Report form. Non-domestic recipients must submit a financial table or spreadsheet in the same format as the original project proposal budget, showing itemized expenditures for the reporting period. Recipients must submit a final report no later than 90 calendar days after the award end date. Recipients of awards with

performance periods longer than 12 months will be required to submit interim reports. Program may require recipients to submit interim reports annually, semiannually, or quarterly. Program will detail all financial reporting requirements, including frequency and due dates, in the notice of award letter. Recipients are responsible for monitoring performance for each award and sub-award under this program in accordance with 43 CFR Part 12. Complete reporting requirements can be found at this program's web page at <http://www.fws.gov/international/pdf/assistance-award-guidelines.pdf>.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Recipient will maintain records in accordance with the provisions of 43 CFR part 12, Subpart C, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments" and 43 CFR Part 12, Subpart F, "Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and other Nonprofit Organizations".

Account Identification:

14-1611-0-1-302.

Obligations:

(Project Grants (Discretionary)) FY 12 \$630,561; FY 13 est \$600,000; and FY 14 est \$500,000

Range and Average of Financial Assistance:

Variable amounts. The average grant amount is \$35,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Program received 74 applications and issued 18 awards. Fiscal Year 2013: Program received 54 applications and anticipates issuing 20 awards. Fiscal Year 2014: Undetermined.

REGULATIONS, GUIDELINES, AND LITERATURE:

Grants will be administered in conformance with the Assistance Award Guidelines established by the Division of International Conservation, which uses as its source all applicable award terms and conditions found at <http://www.doi.gov/pam/TermsandConditions.html>. The Assistance Award Guidelines can be found on-line at <http://www.fws.gov/international/DIC/pdf/NOFA-AAG/AAG.pdf> or in hard copy by contacting the Division of International Conservation.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Chief, Fish and Wildlife Service, Department of the Interior, Division of International Conservation, 4401 North Fairfax Drive, Room 100, Arlington, Virginia 22203-1622 Email: WWB_Mexico@fws.gov Phone: (703) 358-1754 Fax: (703) 358-2115.

Website Address:

<http://www.fws.gov/international/DIC/regionalprograms/mexico/mexico.html>

RELATED PROGRAMS:

15.640 Wildlife Without Borders- Latin America and the Caribbean ; 15.651 Wildlife Without Borders-Africa Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: This program supports 1) Environmental education by implementing a replicable model of environmental education that increases the number of schools, teachers, students, and community members that will become long-term stewards of their local environment in Mexicali, Baja California. The Smart Schools Program model offers a well-defined, step-by-step process for schools to create sustainable, environmentally friendly changes in the day-to-day running of the school, and helps local schools to fulfill their mandate to teach environmental education. 2) Establishing

Community Patrols for the Protection of Wildlife in the Rural Region of Guadalucazar and Implementing Training Workshops for the Management of Confiscated Wildlife Species in Urban Areas of San Luis Potosi, Mexico. 3) A Certificate Course on the Management of Environmental Units for the Conservation of the Wildlife (UMAS), State of Veracruz, Mexico. The purpose of this project is to strengthen the capacity of land owners, workers, and authorities in charge of the establishment, administration and certification of the more than 500 managed environmental units (UMAs) in the state of Veracruz. Fiscal Year 2013: Program has not yet selected projects for funding. Program anticipates funding projects that are similar in scope to FY 2012. Fiscal Year 2014: Program has not yet selected projects for funding. Program anticipates funding projects that are similar in scope to FY 2013.

CRITERIA FOR SELECTING PROPOSALS:

A complete list of the criteria used to select projects under this program can be found in the Mexico Program Notice of Funding Availability and Application Instructions located on line at <http://www.fws.gov/international/DIC/howtoapply.html>. Hard copies are available upon request from the Division of International Conservation at (703) 358-1754.

15.642 CHALLENGE COST SHARE

(CCS)

FEDERAL AGENCY:

Fish and Wildlife Service, Department of the Interior

AUTHORIZATION:

Department of the Interior and Related Agencies Appropriations Act, 2004, Title I, Public Law 108-108.

OBJECTIVES:

Encourage partnerships with nonfederal governments, private individuals and organizations, educational institutions, philanthropic and charitable groups, and businesses to support the mission of the Service. Projects must conserve, protect, and enhance fish, wildlife, and plants for the continuing benefit of the American people.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Funds may be used for regionally approved Challenge Cost Share projects. Field managers plan and implement the projects which must be on, or directly benefitting, Service (federal) lands. The program requires that the Service expend no more than 50% of the cost to complete a project and that a minimum of the other 50% of the funds be of nonfederal origin. The cooperator share may be a non-monetary contribution, such as materials, or in-kind services. For further information, please contact the regional office.

Applicant Eligibility:

Applicants may be an individual/family, minority group, specialized group, small business, profit organization, private nonprofit/organization, quasi-public nonprofit institution/organization, native American, Federal, Interstate, Intrastate, State, Local, Sponsored organization, public nonprofit institution/organization, other public institution/organization, U.S. territory, or any organization with interests which support the mission of the Service. This program requires the cooperator(s) to provide a minimum of 50 percent of cost share from non-Federal sources, for local programs on National Wildlife Refuges, or benefitting other Service lands.

Beneficiary Eligibility:

The Challenge Cost Share program is not a grant program, although they do use cooperative agreements for donations to these field projects. Service field station managers are encouraged to form partnerships and to secure project cost-sharing for projects initiated at that refuge or field station. By Service policy, the matching 50% cost share is provided by non-Federal sources, state/local governments, private individuals/organizations, business enterprises, and philanthropic and charitable groups.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. The Service identifies projects or objects on or directly benefitting a national wildlife refuge or other Service lands for which cost sharing may be sought, and then solicits project proposals from partners/cooperators. Interested parties may contact the local National Wildlife Refuge or other Service office to participate or otherwise donate time, goods, or funds for these projects in order to support the mission of the Service. Proposals, including Standard Form 424 "Application for Federal Assistance," are submitted to the cooperating Service office that forwards them to their servicing Regional Office.

Award Procedure:

The Regional Challenge Cost Share Coordinator assembles a committee of Fish and Wildlife Service employees from a variety of disciplines to review, rank, and select the proposals for refuges or hatcheries for funding.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Decisions on funding of project proposals are usually made no later than 180 days after the receipt of the project proposals. If funding is approved, a Challenge Cost Share Agreement (CCSA) is written between the Service and a cooperator(s) in which there is an equal partnership between the Federal government and the cooperator(s) that produces or provides a tangible product which mutually benefits Service lands and objectives, and the public. The Regional Contracting and General Services offices will review all CCSA's for contractual sufficiency. The agreements will be signed by the cooperator(s) and the Regional Director.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching Requirements: The Service shall expend no more than 50% of the cost to complete projects. The matching 50% cost share is provided by non-Federal sources, state/local governments, private individuals/organizations, business enterprises, and philanthropic and charitable groups. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Challenge Cost Share Agreements are written for funds that should be spent within 1 year; upon approval, agreements may be extended beyond the 1 year for reasonable cause. See the following for information on how assistance is awarded/released: Funds are disbursed to recipients as requested and in accordance with the payment methods prescribed in 43 CFR Part 12, or as otherwise prescribed in program-specific legislation.

Reports:

Program reports are not applicable. Cash reports are not applicable. Financial and performance reporting frequency and other requirements will be detailed in the award terms and conditions. Interim reports cannot be requested more frequently than quarterly, and no less frequently than annually. Interim reports are due 30 calendar days after the expiration of the quarter/midterm/year anniversary, as appropriate. Final reports are required within 90 calendar days from the end date of the award. Submission of payment requests using the SF-270, Request for Advance or Reimbursement (Non-Construction) or SF-271, Outlay Report and Request for Reimbursement (Construction) satisfies interim financial reporting requirements. A final SF-425, Federal Financial Report is required 90 days from the end date of the award. This form can be accessed on the Internet at http://www.whitehouse.gov/omb/grants_forms/. For additional information regarding financial reporting requirements and sanctions for noncompliance please see

http://www.doi.gov/pam/financialassistance/postaward/docs/Policy_regarding_Fin_Status_Reporting_Req.pdf. A final performance report is required within 90 calendar days from the end date of the award. Performance reports must contain a comparison of actual accomplishments with the goals and objectives of the award as detailed in the approved scope of work, a description of reasons why established goals were not met, if appropriate, and any other pertinent information relevant to the project results.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

None.

Account Identification:

14-1611-0-1-302.

Obligations:

(Project Grants (Discretionary)) FY 12 \$0; FY 13 est \$0; and FY 14 est \$10,000 - In FY 2012 and FY 2013, the Department of the Interior disallowed the use Challenge Cost Share programs within the Department due to issues with how some agencies were managing their respective programs. Due to this, no federal assistance opportunities were able to be funded using this CFDA.

In FY 2014, it is anticipated that the use of the Challenge Cost Share program will be allowed therefore CFDA should remain active.

Range and Average of Financial Assistance:

Not available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Department of the Interior disallowed the use Challenge Cost Share programs within the Department due to issues with how some agencies were managing their respective programs: Since the Challenge Cost Share Program has not been funded, there is no data for future years. Fiscal Year 2013: Department of the Interior disallowed the use Challenge Cost Share programs within the Department due to issues with how some agencies were managing their respective programs: Since the Challenge Cost Share Program has not been funded, there is no data for future years. Fiscal Year 2014: The Challenge Cost share program anticipates program activity for FY 14.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices. Bob Peyton, National Wildlife Refuge System, 911 NE 11th Avenue, Portland, OR 97232; (503) 872-2720

Patti Plagge, USFWS Regional Office, P.O. Box 1306, Albuquerque, NM 87103; (505) 248-7487

Rob Bruesewitz, 1 Federal Drive, Bishop Whipple Federal Building, Fort Snelling, MN 55111-4056; (612) 713-5403

Laura Housh, Regional Planner, Okefenokee National Wildlife Refuge, Route 2 Box 3330, Folkston, GA 31537; (912) 496-7366 Ext. 244

Lamar Gore, U.S. Fish and Wildlife Service, 300 Westgate Center Drive, Hadley, MA 01035; (413) 253-8542

James Graves, Planning and Budget Development, Region 6 Regional Office, 134 Union Blvd, Suite 300, Lakewood, CO 80228; (303) 236-4376

Kristen Gilbert, Division of Visitor Services, R7 Alaska Regional Office, 1011 East Tudor Road, Anchorage, AK 99503; (907) 786-3391

Carol Damberg, U.S. Fish and Wildlife Service, 2800 Cottage Way, Suite

W-2606, Sacramento, CA 95825; (916) 414-6461.

Headquarters Office:

Assistant Director, Fish and Wildlife Service, Department of the Interior, National Wildlife Refuge System, 4401 N. Fairfax Drive, Suite 670., Arlington, Virginia 22203 Phone: (703) 358-2248.

Website Address:

www.fws.gov.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Data Available. Fiscal Year 2013: No Data Available. Fiscal Year 2014: The Challenge Cost Share Program anticipates implementing projects which directly benefit a National Wildlife Refuge or other Service (federal) lands.

CRITERIA FOR SELECTING PROPOSALS:

Refuge managers and other Service managers develop, in concert with partners, project proposals on, or benefitting Service lands, that are evaluated on the lasting effect on conservation, enhancement and protection. They will also be evaluated on scope of effect, number of partners involved, importance of resource values, feasibility, and cost effectiveness.

15.643 ALASKA MIGRATORY BIRD CO-MANAGEMENT COUNCIL (AMBCC)

FEDERAL AGENCY:

Fish and Wildlife Service, Department of the Interior

AUTHORIZATION:

U.S. Fish and Wildlife Coordination Act of 1958, 16 U.S.C. 661 to 666C; Migratory Bird Treaty Act, 16 U.S.C. 703 et seq.

OBJECTIVES:

To facilitate and administer regional programs to involve subsistence hunters of migratory birds in the management and regulation of migratory birds.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Funds will be used to help offset travel, administrative and associated expenses required to establish a regional migratory bird subsistence program in keeping with the recently amended Migratory Bird Treaty. This regional program will become a part of the statewide (Alaska) and national migratory bird management program, for which the - Fish and Wildlife Service (Service) has responsibility. Funds must be used to support program objectives of the Alaska Migratory Bird Co-Management Council.

Applicant Eligibility:

Native American Organizations, Public nonprofit institutions/organizations, other public institutions/organizations, Federally Recognized Indian Tribal Governments, and Local governments.

Beneficiary Eligibility:

Native American Organizations, Public nonprofit institutions/organizations, Federally recognized Indian Tribal Governments, local governments, and Alaska Native American Indians.

Credentials/Documentation:

None. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Awards are made on a non-competitive basis pursuant to the authorities mandated in the 1997 Migratory Bird Treaty Act Amendment Protocols. Applicant must complete the Standard Form (SF) 424, SF 424A and SF 424B and an annual proposal and submit through Grants.Gov.

Award Procedure:

The Executive Officer for the Alaska Migratory Bird Co-Management Council reviews the applications and makes recommendations. The process ends with concurrence by the Service.

Deadlines:

Nov 02, 2011 to Dec 28, 2011 For FY 13, 11/02/12-12/28/12. Future deadlines will be as stated in the annual Grants.Gov announcement.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Renewals are available annually, and are based on available funding levels.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awards must be spent during the approved period of performance of the grant agreement. See the following for information on how assistance is awarded/released: Program obligates funds and sends a notice of award to successful applicants. Recipients request funds in accordance with 43 CFR Part 12, unless otherwise dictated by program-specific legislation or special award terms. Program will include any special payment terms and conditions in the notice of award.

Reports:

Program reports are not applicable. Cash reports are not applicable. Recipients must submit a final performance report no later than 90 calendar days after the award end date. Additional reports, such as meeting agendas and summaries, may be required. Yes. Recipients must report expenditures using the SF 425, Federal Financial Report form. Recipients must submit a final report no later than 90 calendar days after the award end date. Recipients are responsible for monitoring performance for each award and sub-award under this program in accordance with 43 CFR Part 12.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records for grants awarded to State and Local Governments will be maintained in accordance with the provisions of 43 CFR Part 12, Subpart C, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments." Records for grants awarded to institutions of higher education and other nonprofit organizations will be maintained in accordance with the provisions of 43 CFR Part 12, Subpart F, "Uniform Administrative Requirements for Grants and Agreements With Institutions of Higher Education, Hospitals, and Other Nonprofit Organizations."

Account Identification:

14-1611-0-1-302.

Obligations:

(Project Grants (Discretionary)) FY 12 \$266,000; FY 13 est \$288,600; and FY 14 est \$288,600 - FY 2014 is an estimated amount contingent on future funding.

Range and Average of Financial Assistance:

Range: \$14,800 to \$89,400, Average: \$28,860.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Emphasis is on holding an Alaska Native Summit Meeting (by invitation only) in April discussing progress and objectives of the program

how the co-management concept can be better implemented. Regional emphasis will continue on the Bering Straits area due to a focus on endangered species conservation as it relates to the subsistence harvest.

11 applications received, issued 10 awards. Fiscal Year 2013: : The emphasis will be on co-management reorganization with the duties of the Executive Director divided between one of the Native Representatives and a member of the Federal staff. The new leadership duties of the Native Representative acting as the Executive Director are being funding through their financial assistance grant. In addition we will be exploring tabled subsistence harvest issues through committee work, and identifying new outreach pathways and integrating them into the Statewide Council system.

10 applications received, issued 10 awards. Fiscal Year 2014: The trial year of the new co-management organization will be evaluated, with changes made as necessary. Program emphasis will include evaluation of the existing harvest surveys, reinvigorating the outreach program, and continuing committee work to address long term issues.

10 applications anticipated, and issue 10 awards.

REGULATIONS, GUIDELINES, AND LITERATURE:

Grants will be administered in conformance with 43 CFR and the Fish and Wildlife Service Administrative Manual.

Regional or Local Office:

See Regional Agency Offices. See Appendix IV for addresses.

Headquarters Office:

Donna Dewhurst, Fish and Wildlife Service, Office of the Alaska Migratory Bird Co-Management Council, 1011 E. Tudor Road, MS 201, Anchorage, Alaska 99503 Email: donna_dewhurst@fws.gov Phone: (907) 786-3499 Fax: 907-786-3641.

Website Address:

<http://Alaska.fws.gov/ambcc/index.htm>.

RELATED PROGRAMS:

15.608 Fish and Wildlife Management Assistance

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: The funding meets three primary objectives: 1) Ensure an effective and meaningful role for indigenous inhabitants in the conservation of migratory birds; 2) Facilitate submission of migratory bird management proposals where spring migratory bird harvest is a customary and traditional practice; and 3) Facilitate the review of submitted migratory bird management proposals through meetings of the regional management bodies. These objectives were continued specifically by providing travel, lodging and other associated expenses for elected representatives from the regional management bodies to attend all meetings of the Statewide Council and a separate representative to attend technical committee meetings associated with the Council. The regions will be taking up endangered species and seasonal regulatory issues with their area villages and making recommendations to the Statewide Council. In addition, a Alaska Native Summit (by invitation only) will be held in April to discuss the progress and evolving objectives of the Co-Mgt Council and how the concept of co-management is being implemented. Fiscal Year 2013: The regions will continue to follow-up on issues raised during the Alaska Native Summit meeting in 2012 including re-organization of the co-management structure, ongoing endangered species and seasonal regulatory issues with their area villages and making recommendations to the Statewide Council. The regions will also be involved in evaluation of the harvest survey program and participating in a second Native Forum to address how to proceed to solve long term conflicts between the regulations and the needs of the rural users. Fiscal Year 2014: Emphasis will likely continue on resolving the long term regulatory issues and on the evolving leadership organization of co-management. The original objectives are always the underlying structure and purpose for the overall program.

CRITERIA FOR SELECTING PROPOSALS:

You must be a regional partner organization of the council to be selected. Criteria included, but is not limited to, past performance, ability to provide administrative support, and ability to send an elected representative from the

regional management body to meetings.

15.644 FEDERAL JUNIOR DUCK STAMP CONSERVATION AND DESIGN

Junior Duck Stamp Contest

FEDERAL AGENCY:

Fish and Wildlife Service, Department of the Interior

AUTHORIZATION:

Junior Duck Stamp Conservation and Design Program Act of 1994, Public Law 103-340, 108 Stat. 3119-3120, 16 U.S.C. 719; Reauthorization of the Junior Duck Stamp Conservation and Design Program Act of 1994, Public Law 106-316, 114 Stat. 1276, 16 U.S.C. 719, Fish and Wildlife Act of 1956.

OBJECTIVES:

To use visual arts programs and a nation-wide art contest to teach students in kindergarten through high school environmental science, wildlife management, wetlands ecology, and the importance of habitat conservation.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

Assistance may be used to: 1. provide awards and scholarships to students who submitted winning designs in State/Territory rounds of the Junior Duck Stamp Contest; 2. provide awards to schools and other program participants in order to promote the conservation goals of the program; and 3. cover program outreach and marketing costs.

Applicant Eligibility:

Federal, State and Local Governments, Non-profit organizations both with and without 501(c)(3) IRS status, Private Institutions of Higher Education, Public and State controlled Institutions of Higher Education.

Beneficiary Eligibility:

Individual/Family, Student/Trainee, Artist/Humanist, U.S. citizens, resident aliens, or nationals who are in kindergarten through twelfth grades at a public, private, or home school in the United States or U.S. territories.

Credentials/Documentation:

43 CFR Part 12 establishes requirements for grants awarded by agencies of the U.S. Department of the Interior. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Partner/potential partner contacts Regional Junior Duck Stamp Coordinators to discuss Contest needs and objectives, or Regional Junior Duck Stamp Coordinators may initiate the contact. Coordinator works with partners/potential partners to design projects.

Award Procedure:

Applicant must complete the Standard Form (SF-424). Once the project is designed and approved by the Regional Junior Duck Stamp Coordinator, a cooperative agreement or grant agreement written and work can begin.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

3-4 months.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching requirements are not applicable to this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

3-6 months. See the following for information on how assistance is awarded/released: Funds are disbursed to recipients as requested and in accordance with the payment methods prescribed in 43 CFR Part 12, or as otherwise prescribed in program-specific legislation.

Reports:

Program reports are not applicable. Cash reports are not applicable. One report upon completion of project. Applicant must complete the Standard Form (SF-424). Recipients are responsible for monitoring and reporting performance each award and sub-award under this program in accordance with 43 CFR Part 12 and 2 CFR Part 170.

Audits:

Not Applicable.

Records:

Cost records must be maintained separately for each awarded agreement. Records, accounts and supporting documents must be retained for 3 years after submission of final financial and performance reports.

Account Identification:

14-1611-0-1-302.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 12 \$60,000; FY 13 est \$50,000; and FY 14 est \$50,000 - FY 14 is estimated based on prior year funding.

Range and Average of Financial Assistance:

Each award may range from \$500 to \$2,500.

Awards are based on previous year participation numbers and base funding of \$500.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Sale of the Junior Duck Stamp generates approximately \$80,000 for program marketing and student awards annually; in 2012 over 27,000 art entries were submitted and many others participated and benefited from the environmental education component of the program. Fiscal Year 2013: The program anticipates to receive 53 applications and issue 53 awards. Fiscal Year 2014: The program anticipates to receive 53 applications and issue 53 awards.

REGULATIONS, GUIDELINES, AND LITERATURE:

U.S. Fish and Wildlife Service Manual, Part 901 FW 5. Copies of the Federal Junior Duck Stamp Art Competition Rules and Entry Form and reference forms, and the Junior Duck Stamp Conservation and Design Program Curriculum Guide can be obtained free of charge from the Federal Duck Stamp Office.

Regional or Local Office:

See Regional Agency Offices. Alaska: Tamara Zeller, 1011 E. Tudor Rd., Anchorage, AK 99503; Telephone (907) 786-3517

Colorado: Beverly Boecher, 134 Union Blvd., Suite 300, Lakewood, CO, 80228; Telephone: (303) 236-4391

Georgia: Toni Westland, 1 Wildlife Dr., Sanibel, FL 33957; Telephone: (239) 472-1100 x237

Massachusetts: Annelee Motta, 300 Westgate Center Drive, Hadley, MA 01035; Telephone: (413) 253-8539

Minnesota: Valerie Redmond, 500 American Blvd. West, Suite 500, Bloomington, MN 55437 612-713-5316

New Mexico: Art Needleman, 500 Gold Ave. SW/Room 4504, Albuquerque, NM 87102; Telephone: (505) 248-6822

Oregon: Chris McKay, 911 NE 11th Ave., Portland, OR 97232, 503-231-6159

California: Lora Haller, 752 Country Road, 99W, Sacramento, CA 95988,

530934-2801.

Headquarters Office:

National Junior Duck Stamp Program Coordinator, Federal Duck Stamp Office, US Fish and Wildlife Service, Mail Stop: MBSP-4070, 4401 North Fairfax Drive, Arlington, Virginia 22203 Phone: (703) 358-1784 Fax: (703) 358-2009

Website Address:

<http://www.fws.gov/juniorduck>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Provided awards to students who submitted winning designs in State/Territory rounds of the Junior Duck Stamp Contest; provided materials and resources to increase and promote the conservation goals of the Junior Duck Stamp Program; provided assistance to increase outreach and marketing opportunities at local, state and regional functions. Fiscal Year 2013: Program has not yet selected projects for funding. Program anticipates funding projects that will use the Junior Duck Stamp Contest to promote environmental science, wildlife management, wetlands ecology, and the importance of habitat conservation among kindergarten through high school students through the visual arts. Fiscal Year 2014: Program has not yet selected projects for funding. Program anticipates funding projects that will use the Junior Duck Stamp Contest to promote environmental science, wildlife management, wetlands ecology, and the importance of habitat conservation among kindergarten through high school students through the visual arts.

CRITERIA FOR SELECTING PROPOSALS:

Cooperative agreements are awarded based on unique technical expertise and knowledge of the Junior Duck Stamp Program, background in natural resources, knowledge of conservation practices and environmental education experience. In addition, the ability to provide partnership support and volunteers is essential.

15.645 MARINE TURTLE CONSERVATION FUND

FEDERAL AGENCY:

Fish and Wildlife Service, Department of the Interior

AUTHORIZATION:

Maine Turtle Conservation Act of 2004, Public Law 108-266, 16 U.S.C 6601.

OBJECTIVES:

To assist in the conservation of marine turtles and the nesting habitats of marine turtles in foreign countries by supporting and providing financial resources in the following areas: academic and technical training in the conservation and management of marine turtles; applied research on marine turtle populations and their habitats, including surveys and monitoring; conservation education and community outreach for the protection of nesting and near shore foraging populations; development and execution of marine turtle conservation management plans; habitat conservation and management; information exchange to promote international collaboration; projects to protect nesting females and eggs on important nesting beaches; promotion of networks, partnerships, and coalitions that assist in the implementation of conventions, treaties, protocols and other international activities for the conservation and management of marine turtles; protected area/reserve management of important nesting beaches; strengthening local capacity to implement conservation programs on nesting beaches; and strengthening law enforcement capacity and forensics skills through training and equipment.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Project work should occur in foreign countries (outside of the United States) that have within their boundaries marine turtle nesting habitats and be conducted by any person or group with the demonstrated expertise required for the conservation of marine turtles. Applied research projects should address specific management needs and actions. Funds provided under this program will not be used for: the purchase of firearms or ammunitions; buying of intelligence information or paying informants; gathering information by persons who conceal their true identity; law enforcement operations that prompt

suspects to carry out illegal activities so they may be arrested (entrapment); or any activity that would circumvent sanctions, laws or regulations of either the U.S. or the country in which the activity would occur. Funds may not be used to provide material support or resources to individuals, entities, or organizations of countries that have been identified by the U.S. Department of State as state sponsors of terrorism. The countries currently identified are: Cuba, Iran, North Korea, Sudan and Syria. This program is administered in compliance with the Federal Grants and Cooperative Agreements Act of 1977, as amended. These funds may not be used towards training U.S. Federal Government personnel. 100%.

Applicant Eligibility:

Applications may be submitted by any government agency responsible for conservation and protection of marine turtles and any other organization or individual with demonstrated experience in marine turtle conservation.

Beneficiary Eligibility:

Applications may be submitted by any government agency responsible for conservation and protection of marine turtles and any other organization or individual with demonstrated experience in marine turtle conservation.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. The program announcement and application instructions for this program can be found at Grants.gov website <http://www.grants.gov/search/basic.do>. The Notice of Funding Availability and Application Instructions are also located on this program's website at <http://www.fws.gov/international/pdf/notice-of-funding-availability-marine-turtle.pdf>. If you are unable to access the Internet, hard copies are available upon request from the Branch of Near East, South Asia & Africa, Division of International Conservation, U.S. Fish and Wildlife Service, 4401 N. Fairfax Drive, Room 100, Arlington, Virginia 22203. A proposal will not be considered complete if required elements are not submitted as instructed. U.S. applicants must submit (among other elements) a complete, signed Standard Form 424 "Application for Federal Assistance."

Award Procedure:

Projects are reviewed and competitively selected for funding using criteria developed on the requirements of the Marine Turtle Conservation Act of 2004 (P.L. 108-266). Review criteria can be found in the application information at <http://www.fws.gov/international/grants-and-reporting/how-to-apply.html>. Once a proposal has been selected for funding, an FWS approving official executes an Assistance Award between the U.S. Fish and Wildlife Service and the Recipient. Fully executed Awards are sent to the Recipient either electronically or through the mail. The Division of International Conservation then administers all other aspects of the Assistance Award.

Deadlines:

Oct 01, 2013 This program has two annual deadlines. The first deadline is October 1 each year. We strongly encourage applicants with nesting season projects beginning April/May to submit proposals on or before the October 1 deadline. The second deadline is April 1 each year. We strongly encourage applicants with nesting season projects beginning September/October to submit proposals on or before the April 1 deadline.

Range of Approval/Disapproval Time:

Decisions on funding of project proposals will be made within 180 days after the receipt of the project proposal.

Appeals:

Not Applicable.

Renewals:

None. However, at the discretion of the Division of International Conservation,

active awards can be modified, e.g.: time extensions or budget changes.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awarded funds must be spent during the approved project period of performance, in accordance with program financial and performance reporting procedures. See the following for information on how assistance is awarded/released: Funds are disbursed to recipients as requested and in accordance with the payment methods prescribed in 43 CFR Part 12, or as otherwise prescribed in program-specific legislation.

Reports:

Program reports are not applicable. Cash reports are not applicable. Recipients must submit a final performance report no later than 90 calendar days after the award end date. Recipients of awards with performance periods longer than 12 months will be required to submit interim reports. Program may require recipients to submit interim reports annually, semiannually, or quarterly. Program will detail all performance reporting requirements, including frequency and due dates, in the notice of award letter. Domestic recipients must report expenditures using the SF 425, Federal Financial Report form. Non-domestic recipients must submit a financial table or spreadsheet in the same format as the original project proposal budget, showing itemized expenditures for the reporting period. Recipients must submit a final report no later than 90 calendar days after the award end date. Recipients of awards with performance periods longer than 12 months will be required to submit interim reports. Program may require recipients to submit interim reports annually, semiannually, or quarterly. Program will detail all financial reporting requirements, including frequency and due dates, in the notice of award letter.. Recipients are responsible for monitoring performance for each award and sub-award under this program in accordance with 43 CFR Part 12. Complete reporting requirements can be found at this program's web page at <http://www.fws.gov/international/pdf/assistance-award-guidelines.pdf>.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Recipients will maintain records in accordance with the provisions of 43 CFR Part 12, Subpart C, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments" and 43 CFR Part 12, Subpart F, "Uniform Administrative Requirements for Grants and Agreements With Institutions of Higher Education, Hospitals, and Other Nonprofit Organizations".

Account Identification:

14-1652-0-1-302.

Obligations:

(Project Grants (Discretionary)) FY 12 \$1,929,320; FY 13 est \$1,500,000; and FY 14 est \$1,000,000 - Frequency of obligations: Other.

Range and Average of Financial Assistance:

Variable amounts. Generally \$50,000 or less. Higher amounts may be requested.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Received 86 applications, issued 44 awards. Fiscal Year 2013: Program anticipates receiving 80 applications and anticipates issuing 30 awards. Fiscal Year 2014: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Awards will be administered in conformance with the "Assistance Award Guidelines" established by the Division of International Conservation, which uses as its source all applicable award terms and conditions found at

<http://www.doi.gov/pam/TermsandConditions.html>. The "Assistance Award Guidelines" can be found on-line at

<http://www.fws.gov/international/grants/grants.html> or in paper copy by contacting the Division of International Conservation at (703) 358-1754.

Regional or Local Office:

None.

Headquarters Office:

Chief, Department of the Interior, Fish and Wildlife Service, Division of International Conservation, Room 100, 4401 N. Fairfax Drive, Arlington, Virginia 22203 Phone: (703) 358-1754 Fax: (703) 358-2115.

Website Address:

<http://www.fws.gov/international/wildlife-without-borders/marine-turtle-conservation-fund.html>

RELATED PROGRAMS:

15.619 Rhinoceros and Tiger Conservation Fund; 15.620 African Elephant Conservation Fund; 15.621 Asian Elephant Conservation Fund; 15.629 Great Apes Conservation Fund

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: The program supported 1) Night time beach patrols in collaboration with the military to protect nesting loggerhead females from rampant illegal slaughter on important nesting beaches on the Cape Verde Island of Boa Vista which hosts about 90 % of the Cape Verde nesting population. The grantee will also organize the annual meeting for the Cape Verde Sea Turtle Partnership and implement public awareness programs in schools and communities throughout the island.2) Hawksbill conservation programs for the largest remaining hawksbill nesting population in the Eastern Pacific at three sites covering 37 km of beach in El Salvador. The grantee will also address the threat of blast fishing to adult and juvenile turtles through training programs for regional fisheries authorities and law enforcement officers to increase the effectiveness of blast fishing enforcement. The grantee will work with fishing communities to adopt more sustainable fishing practices. 3) To provide training for local Cape Verdians to carry out marine turtle conservation activities on the islands of Sal, Maio and San Nicolau; and support conservation outreach activities on Sal to local and municipal government, police, military, local citizens and tourists; This grant will also support night patrols on the most important loggerhead nesting beaches on the island of Sal to prevent killing of nesting females. Fiscal Year 2013: : Program has not yet selected projects for funding. Program anticipates funding projects that are effective for the long-term conservation of marine turtles, and those that are similar in scope to FY 2012. Fiscal Year 2014: Program anticipates funding projects that are effective for long-term conservation of marine turtles, and those that are similar in scope to FY 2013.

CRITERIA FOR SELECTING PROPOSALS:

Proposals will be reviewed based on criteria developed from the requirements of the Marine Turtle Conservation Fund (Public Law 108-266). Additional details on the selection criteria can be found in the Marine Turtle Notice of Funding Availability and Application Instructions <http://www.fws.gov/international/grants-and-reporting/how-to-apply.html>. Hard copies of the criteria are available upon request from the Division of International Conservation.

15.647 MIGRATORY BIRD CONSERVATION

FEDERAL AGENCY:

Fish and Wildlife Service, Department of the Interior

AUTHORIZATION:

Fish and Wildlife Act of 1956, as amended, 16 U.S.C. 742a-754; Fish and Wildlife Coordination Act of 1958, 16 U.S.C.661-667(e); Fish and Wildlife Conservation Act, 16 U.S.C. 2901-2911; and Migratory Bird Treaty Act, 16 U.S.C. 709a.

OBJECTIVES:

To maintain and enhance populations and habitats of migratory bird species found in the Upper Midwest (IL, IN, IA, MI, MN, MO, OH, WI).

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE; Project Grants

(Discretionary)

USES AND USE RESTRICTIONS:

Grants and payments may be used for the conservation of any bird species protected by the Migratory Bird Treaty Act and occurring in U.S. Fish and Wildlife Service (USFWS) Region 3 (Upper Midwest, including IL, IN, IA, MI, MN, MO, OH, and WI). Special emphasis will be placed on Birds of Concern in the Midwest (see <http://www.fws.gov/midwest/midwestbird/concern.html>) as these species are experiencing known or suspected population declines, are recreationally important, or are causing biological or social conflicts. Implementing national, regional, and flyway bird conservation plans, including the Partners in Flight North American Landbird Conservation Plan (<http://www.partnersinflight.org>), U.S. Shorebird Conservation Plan (<http://shorebirdplan.fws.gov>), North American Waterbird Conservation Plan (<http://www.waterbirdconservation.org>), North American Waterfowl Management Plan (<http://www.fws.gov/birdhabitat/NAWMP/index.shtm>), and Mississippi Flyway bird management plans, is the USFWS's highest priority, and proposals related to the priorities identified in these plans and Bird Conservation Region and state-level bird conservation planning efforts will receive high funding consideration. The following activities are also of high interest to the USFWS: a) Population surveys, monitoring, and assessments to determine the status, trends, distribution, and demography of bird species and groups of concern. Emphasis will be placed on landscape-level applications of standardized inventory and monitoring protocols as identified through the Midwest Coordinated Bird Monitoring Partnership (<http://midwestbirdmonitoring.ning.com>). Local-scale bird monitoring (e.g., local land management units) will generally not be a focus of this grant program, nor will support for long-term operational bird monitoring efforts. Rather, the program seeks to address coordination of monitoring efforts and development and evaluation of new survey and monitoring techniques (both field and analytical); b) Conservation of focal species for which conservation plans and/or working groups have been developed and research, monitoring, and other priorities have been identified. Examples of focal species include: Golden-winged Warbler, Cerulean Warbler, Henslows Sparrow, Bobolink, other Midwestern grassland birds, American Woodcock, Wilsons Phalarope, Upland Sandpiper, Marbled Godwit, Common Tern, Black Tern, King Rail, and Lesser Scaup (for further information on focal species, contact Steve Lewis, USFWS, steve_j_lewis@fws.gov); c) Strategic Conservation, wherein the USFWS integrates biological planning, landscape design, conservation delivery, and monitoring and evaluation in a way that generates the adaptive feedback loops that enable sound decisions and constantly improve our efficiency and effectiveness in saving birds (<http://www.fws.gov/midwest/science/SHC/FAQ.htm>). Strategic conservation involves applied research, including studies of bird habitat requirements, threats, limiting factors, and population responses to habitat conservation activities; and use of Geographic Information Systems, modeling, and similar tools to identify bird habitat focus areas and further bird conservation planning efforts; d) Avian health and disease. Increasing expansion of human populations has led to habitat fragmentation, changes in land-use patterns, and increased pressure on wild bird populations, and globalization of marketing and distribution has increased avian zoonotic and emerging disease risks. Many of these emerging diseases involve avian reservoirs or susceptible hosts. Increasing the complexity of this picture are changes in climate; avian populations may need to alter site fidelity in response to weather patterns, and this will introduce new opportunities for transmission of avian diseases and place pressure on populations already stressed by anthropogenic factors. To address these issues, the USFWS has established the Avian Health and Disease Program to conduct work in avian health and disease surveillance, response, and management in wild bird populations. Among our areas of interest are: establishing avian health baselines; identifying existing and emerging avian health and disease risks, especially related to trematodiasis, Newcastle disease, avian influenza, and botulism; ensuring avian disease preparedness and prevention; and developing, guiding, and implementing appropriate and effective avian disease management actions; e) Bald Eagle Permitting Information Needs: In 2007, the Bald Eagle was removed from the Endangered Species List and in 2009 the USFWS established two types of permits that allow for limited take and disturbance of Bald Eagles that may be the result of otherwise legal activities. We seek proposals that address information needs related to the processing of these permits. In particular, we are interested in

research that addresses the following subjects, in priority order: Bald Eagle migration pathways; Bald Eagle local flight pathways and behavior; and approaches for assessing the impacts of wind development, powerlines, and other disturbances near Bald Eagle nests or roosts. 100%.

Applicant Eligibility:

Federal, State and local government agencies; Federally-recognized Indian Tribal governments; private nonprofit institutions/organizations; and public nonprofit institutions/organizations.

Beneficiary Eligibility:

Federal, State and local government agencies; Federally-recognized Indian Tribal governments; private nonprofit institutions/organizations; public nonprofit institutions/organizations; and general public.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applicant must complete the Standard Form (SF) 424, and the appropriate Budget and Assurances forms (SF 424A and SF 424B Non-construction; or SF 424C and SF 424D Construction)

Award Procedure:

The Regional Migratory Bird Program Chief convenes a committee of FWS biologists to review, rank, and select proposals for funding. Once a proposal is accepted, a grant agreement is written and work can begin.

Deadlines:

Feb 15, 2013 [February 15, 2013.].

Range of Approval/Disapproval Time:

Decisions on funding of a proposal are usually made no later than 180 days after receipt of the proposal.

Appeals:

None.

Renewals:

A grant agreement may be modified at the discretion of the Regional Office.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Unless a project is extended, awarded funds must be spent during the approved Period of Performance of the grant agreement and in accordance with financial and reporting procedures specified in the agreement. Grants are normally written for a period of 1 to 5 years and payments can be made in a lump sum or on a periodic basis. See the following for information on how assistance is awarded/released: Funds are disbursed to recipients as requested and in accordance with the payment methods prescribed in 43 CFR Part 12, or as otherwise prescribed in program-specific legislation.

Reports:

Program reports are not applicable. Cash reports are not applicable. All projects and activities are monitored for progress and compliance with agreed upon scope of work. Written progress and financial reports using the SF-425 Federal Financial Report, and final reports on the project are generally required. Recipients are responsible for monitoring and reporting performance each award and sub-award under this program in accordance with 43 CFR Part 12 and 2 CFR Part 170.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000

or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

None.

Account Identification:

14-1611-0-1-302.

Obligations:

(Project Grants (Discretionary)) FY 12 \$894,000; FY 13 est \$655,000; and FY 14 est \$550,000 - FY13 and FY14 amounts are estimates based on prior year funding levels and projected budget allocations.

Range and Average of Financial Assistance:

\$5,000 to \$134,000; \$36,000 average in FY 12.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Received 29 proposals and funded 25 for \$894,000. Fiscal Year 2013: Anticipate receiving approximately 30 proposals and funding approximately 25 for approximately \$655,000. Fiscal Year 2014: Anticipate receiving approximately 21 proposals and funding approximately 21 for approximately \$550,000.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices. Steve Lewis, U.S. Fish and Wildlife Service, Division of Migratory Birds, 5600 American Blvd. West, Suite 990, Bloomington, MN 55437-1458; phone 512-713-5473; email steve_j_lewis@fws.gov.

Headquarters Office:

Steve Lewis, U.S. Fish and Wildlife Service, Division of Migratory Birds, 5600 American Blvd. West, Suite 990, Bloomington, Minnesota 55437-1458 Email: steve_j_lewis@fws.gov Phone: (512)713-5473

Website Address:

<http://www.fws.gov/midwest/midwestbird>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: (1) Development of a range-wide Golden-winged Warbler Conservation Plan; (2) Development of the Midwest Avian Data Center; (3) Assessing the status and management of grassland birds on conservation lands in Minnesota. Fiscal Year 2013: Program has not yet selected projects for funding. Program anticipates funding projects that relate to coordinated bird monitoring, focal species conservation, Bald Eagle permitting, and avian health and disease. Fiscal Year 2014: Program has not yet selected projects for funding. Program anticipates funding projects that relate to coordinated bird monitoring, focal species conservation, and Bald Eagle permitting.

CRITERIA FOR SELECTING PROPOSALS:

Proposals will be reviewed to determine how well they address the migratory bird program priorities outlined above, including relevance to bird conservation plan priorities, focal and other priority species, and the bird conservation activities listed above. Proposals will also be evaluated for their biological and statistical soundness, feasibility, geographic scope of applicability, and cost effectiveness. Projects involving matching funds, in-kind services, materials, and equipment from other agencies and organizations will be given greater consideration for funding than similar projects without matching resources.

**15.648 CENTRAL VALLEY PROJECT IMPROVEMENT (CVPI)
ANADROMOUS FISH RESTORATION PROGRAM (AFRP)**

(CVPI, AFRP)

FEDERAL AGENCY:

Fish and Wildlife Service, Department of the Interior

AUTHORIZATION:

Central Valley Project Improvement Act, Title 34, Public Law 102-575; Federal

Grant and Cooperative Agreement Act, Public Law 97-258, 31 U.S.C. 6301-6308.

OBJECTIVES:

(a) To protect, restore, and enhance fish, wildlife, and associated habitats in the Central Valley and Trinity River basins of California; (b) To address impacts of the Central Valley Project on fish, wildlife and associated habitats; (c) To improve the operational flexibility of the Central Valley Project; (d) To increase water-related benefits provided by the Central Valley Project to the State of California through expanded use of voluntary water transfers and improved water conservation; (e) To contribute to the State of California's interim and long-term efforts to protect the San Francisco Bay/Sacramento-San Joaquin Delta Estuary; (f) To achieve a reasonable balance among competing demands for use of Central Valley Project water, including the requirements of fish and wildlife, agricultural, municipal and industrial and power contractors.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Funds may be used for research to improve management and increase Anadromous fish resources; spawning area improvement; installation of fish passages; construction of fish protection devices, and data collection. No other Federal agencies may apply for award opportunities. 100%.

Applicant Eligibility:

Applicants may be State, local governments, Native American Organizations, other public nonprofit institutions/organizations, private nonprofit/organization. No other Federal agency may apply.

Beneficiary Eligibility:

General public.

Credentials/Documentation:

No Credentials or documentation are required. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Basic application requires the request for proposal narrative, scope of work with deliverables, budget (SF 424A), SF 424 Application for Federal Assistance form, and SF424B Assurances. Information submitted to the Stockton FWO for review by a locally convened panel. The panel will select the awardee and forward the information to the station project leader for final approval. No state plan required.

Award Procedure:

The successful applicant will be notified after selection and confirmation of available funding. An applicant should not initiate a project in expectation of USFWS funding, nor should they purchase materials or begin work until such time as they receive the final award document signed by an authorized Service official.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Awards are anticipated within 90 days or less.

Appeals:

Contact the headquarters or regional office.

Renewals:

Contact the headquarters or regional office.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Project awards are generally from one to five years, depending on the complexity of the project and availability of funds. The five year restriction on the length of time is due to the Period of Availability of Funds limited to the five (5) year rule. See the following for information on how assistance is awarded/released: Awardees are now required to use the U.S. Treasury ASAP reimbursement program.

Reports:

Program reports are not applicable. Cash reports are not applicable. Progress (quarterly) and final reports must be submitted in accordance with the terms and conditions of the grant agreement. Expenditure reports, SF425 Federal Financial Report, are required annually. Recipients are responsible for monitoring performance for each award and sub-award under this program IAW 43 CFR Part 12.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records are required to be maintained for three years following submission of the final expenditure report.

Account Identification:

14-5173-0-2-301 - Note: The FWS receives the CVPIA funds through the BOR hence the Account ID associated with the BOR.

Obligations:

(Project Grants (Discretionary)) FY 12 \$3,050,254; FY 13 est \$2,493,462; and FY 14 est \$9,000,000 - For carrying out the programs, projects, plans, habitat restoration, improvement, and acquisition provisions of the Central Valley Project Improvement Act, \$53,068,000, to be derived from such sums as may be collected in the Central Valley Project Restoration Fund pursuant to sections 3407(d), 3404(c)(3), and 3405(f) of Public Law 102575, to remain available until expended. Provided, that the Bureau of Reclamation is directed to assess and collect the full amount of the additional mitigation and restoration payments authorized by section 3407(d) of Public Law 102575. Provided further, that none of the funds made available under this heading may be used for the acquisition or leasing of water for in-stream purposes if the water is already committed to in-stream purposes by a court adopted decree or order.

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: The U.S. Fish and Wildlife Services Anadromous Fish Restoration Program (AFRP) completed fish passage projects in the Calaveras River and at the Antelope Creek Tehama Wildlife Area which restored access to 14.5 miles of anadromous fish habitat. The AFRP restored spawning habitat by placing approximately 28,000 cubic yards of spawning gravel in the Merced River, 4,600 cubic yards of gravel in the Mokelumne River, 16,000 cubic yards of gravel in the Tuolumne River, 12,500 cubic yards of gravel in the Stanislaus River, and 5,000 cubic yards of gravel in the American River. AFRP also restored 4.4 acres of floodplain habitat in the Stanislaus River and 8 acres of floodplain habitat in the Tuolumne River. Fiscal Year 2013: The U.S. Fish and Wildlife Services Anadromous Fish Restoration Program (AFRP) anticipates implementing fish passage projects on Deer Creek, Cottonwood Creek, and Mill Creek in the Upper Sacramento River Basin. The AFRP also anticipates funding channel and floodplain restoration projects in the Yuba River, Stanislaus River, and American River. AFRP also anticipates restoring 6 acres of floodplain and place approximately 28,000 cubic yards of spawning gravel in the Merced River. Fiscal Year 2014: The U.S. Fish and Wildlife Services Anadromous Fish Restoration Program (AFRP) anticipates implementing fish passage projects on Deer Creek, Cottonwood Creek, Mill Creek, and Cow Creek in the Upper Sacramento River Basin. The AFRP also anticipates funding channel and floodplain restoration projects in the Yuba River, Stanislaus River, Sacramento River, and American River.

REGULATIONS, GUIDELINES, AND LITERATURE:

None.

Regional or Local Office:

See Regional Agency Offices. Anadromous Fish Restoration Program Stockton Fish and Wildlife Service Office
850 S. Guild Ave Suite 105, Lodi, California 95240. Telephone: (209) 334-2968, Fax (209) 334-2171.

Headquarters Office:

Ren Lohoefer, 2800 Cottage Way, Suite W2606, Sacramento, California 95825 Phone: (916) 414-6464 Fax: (916) 414-6464.

Website Address:

<http://www.fws.gov/stockton/afrp>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: The U.S. Fish and Wildlife Services Anadromous Fish Restoration Program (AFRP) funded fish habitat assessments for Deer Creek, Antelope Creek, and Cottonwood Creek in the upper Sacramento River basin to evaluate anadromous fish barriers, riparian habitat, and geomorphic processes. The AFRP is also funding floodplain restoration projects in the Stanislaus River, a tidal wetland restoration project at the Cosumnes River Preserve, and a riparian habitat restoration pilot project in the Yuba River at Hammon Bar. The program also funded a channel restoration project in the Yuba River in the Narrows Reach to restore Chinook salmon and steelhead spawning habitat. The AFRP funded a Chinook salmon outmigration survival study in the Stanislaus River and a study to evaluate contaminants, age, and growth of white sturgeon from the Sacramento-San Joaquin Delta. Fiscal Year 2013: The U.S. Fish and Wildlife Services Anadromous Fish Restoration Program (AFRP) anticipates funding fish passage projects on Deer Creek, Cottonwood Creek, and Mill Creek in the Upper Sacramento River Basin. The AFRP anticipates funding channel and floodplain restoration projects in the Yuba River, Stanislaus River, Merced River, and American River. Fiscal Year 2014: Program has not yet selected projects for funding. Program anticipates funding projects that (a) protect, restore, and enhance fish, wildlife, and associated habitats in the Central Valley and Trinity River basins of California; (b) address impacts of the Central Valley Project on fish, wildlife and associated habitats; (c) improve the operational flexibility of the Central Valley Project; (d) increase water-related benefits provided by the Central Valley Project to the State of California through expanded use of voluntary water transfers and improved water conservation; (e) contribute to the State of California's interim and long-term efforts to protect the San Francisco Bay/Sacramento-San Joaquin Delta Estuary; (f) achieve a reasonable balance among competing demands for use of Central Valley Project water, including the requirements of fish and wildlife, agricultural, municipal and industrial and power contractors.

CRITERIA FOR SELECTING PROPOSALS:

Proposals will be reviewed by a team of Federal staff based on the following criteria: Addresses high priority need as described in the Request for Proposal (RFP) "Purposes and Funding Priorities"; Clearly stated goals, objectives, hypotheses, and relevance of idea; Approach is valid and technically sound; Primary Investigators and/or subcontractors record of performance and expertise; Budget is reasonable and provides good value for funds requested; and Cost sharing or in-kind contributions.

15.649 SERVICE TRAINING AND TECHNICAL ASSISTANCE (GENERIC TRAINING)

FEDERAL AGENCY:

Fish and Wildlife Service, Department of the Interior

AUTHORIZATION:

Fish and Wildlife Act of 1956 and the Fish and Wildlife Coordination Act, for the development and implementation of programs for the benefit of fish and wildlife species and their habitat (16 U.S.C. 661 and 742 et seq.), Fish and Wildlife Improvement Act of 1978, as amended (16 U.S.C. 753). Endangered Species Act of 1973 (16 U.S.C. 1531-1544, 87 Stat. 884).

OBJECTIVES:

To assist in the conservation of the Nation's natural resources by supporting and providing financial resources for training, meetings, workshops and conferences to promote the public's conservation awareness.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Training and technical assistance are used to enhance public awareness and understanding of natural resource conservation and preservation. Projects may include natural resources related education, meetings, training, workshops, and conferences. Details on funding opportunity can be found on www.Grants.gov. 100%.

Applicant Eligibility:

Federal, State and local government agencies; Federally-recognized Tribal governments; private nonprofit institutions/organizations; public nonprofit institutions/ organizations.

Beneficiary Eligibility:

Federal, State and local government agencies; Federally-recognized Tribal governments; private nonprofit institutions/organizations; public nonprofit institutions/ organizations; general public.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Any applicable pre-application coordination or environmental impact information requirements will be detailed in the funding opportunities posted under this program on [Grants.gov](http://www.grants.gov) (<http://www.grants.gov>). An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. A Standard Form (SF)424 and a written proposal should be submitted through [Grants.gov](http://www.Grants.gov) or via hardcopy to the project office and include: a title, justification (including relevance to the Fish and Wildlife Service's priorities as outlined above), objectives, timeframe, and a budget breakdown. Note that the USFWS may circulate proposals to others for review. All Service financial assistance discretionary funding opportunities will be posted on www.Grants.gov.

Award Procedure:

Notification of an award is the issuance of a grant or cooperative agreement document by Awarding Official.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

This will vary based on the funding opportunity and will range between 3 and 6 months.

Appeals:

Applicant may revise and resubmit proposal for consideration.

Renewals:

Contact the headquarters or regional office.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching Requirements: Statutory formulas and/or matching requirements may be applicable to activities funded under this CFDA. Any formulas or matching requirements will be specified in the funding opportunities posted under this program on [Grants.gov](http://www.Grants.gov). MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Varies by activity being funded, but typically funds are awarded for projects with a one year period of performance. See the following for information on how assistance is awarded/released: Funds are disbursed to recipients as

requested and in accordance with the payment methods prescribed in 43 CFR Part 12, or as otherwise prescribed in program-specific legislation.

Reports:

Program reports are not applicable. Cash reports are not applicable. Financial and performance reporting frequency and other requirements will be detailed in the award terms and conditions. Interim reports will not be requested more frequently than quarterly, and no less frequently than annually. Interim reports are due 30 calendar days after the expiration of the quarter/midterm/year anniversary, as appropriate. Final reports are required within 90 calendar days from the end date of the award. Submission of payment requests using the SF-270, Request for Advance or Reimbursement (Non-Construction) or SF-271, Outlay Report and Request for Reimbursement (Construction) satisfies interim financial reporting requirements. A final SF-425, Federal Financial Report is required 90 days from the end date of the award. This form can be accessed on the Internet at http://www.whitehouse.gov/omb/grants_forms/. For additional information regarding financial reporting requirements and sanctions for noncompliance please see http://www.doi.gov/pam/financialassistance/postaward/docs/Policy_regarding_Fin_Status_Reporting_Req.pdf. A final performance report is required within 90 calendar days from the end date of the award. Performance reports must contain a comparison of actual accomplishments with the goals and objectives of the award as detailed in the approved scope of work, a description of reasons why established goals were not met, if appropriate, and any other pertinent information relevant to the project results.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Recipients will maintain records in accordance with the provisions of 43 CFR Part 12, Subpart C, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments" and 43 CFR Part 12, Subpart F, "Uniform Administrative Requirements for Grants and Agreements With Institutions of Higher Education, Hospitals, and Other Nonprofit Organizations."

Account Identification:

14-1611-0-1-302.

Obligations:

(Project Grants (Discretionary)) FY 12 \$750,000; FY 13 est \$1,500,000; and FY 14 est \$1,500,000 - FY 13 and FY 14 amounts may be less than prior year funding levels.

Range and Average of Financial Assistance:

Range and average varies by program activity.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: FWS provided support for 96 new grants and cooperative agreements for natural resources related education, meetings, trainings workshops, and conferences focused on enhancing public awareness, through support of programs like the Youth in the Great Outdoors Initiative and professional development through various programs that engage, educate and/or employ youth. Fiscal Year 2013: FWS will continue to provide support for grants and cooperative agreements for natural resources related education, meeting, training, workshops, and conferences focused on enhancing public awareness and understanding of natural resource conservation and preservation. Fiscal Year 2014: FWS anticipates providing support for natural resources related education, meetings, training, workshops, and conferences focused on enhancing public awareness and understanding of natural resource conservation and preservation.

REGULATIONS, GUIDELINES, AND LITERATURE:

Acceptance of a Federal Financial Assistance award from the Department of the Interior (DOI) carries with it the responsibility to be aware of and comply with the terms and conditions of award per

<http://www.doi.gov/pam/TermsandConditions.html>.

Regional or Local Office:

See Regional Agency Offices. Contact Information related to each funding opportunity under this program will be stated in the funding opportunities posted on Grants.gov (<http://www.grants.gov>).

Headquarters Office:

Chief, Branch of Financial Assistance Coordination Division of Wildlife Sport Fish Restoration, 4401 N. Fairfax Drive, MS-4020, Arlington, Virginia 22203
Phone: (703) 358-2701.

Website Address:

<http://www.fws.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: National Archery in the Schools Program Pilot Program for NASP and BIE Schools in New Mexico; Children & Nature Network Appalachian Trail Millennial Youth Leaders; Aldo Leopold Foundation Finding the Next Generation of Land Ethic Leaders. Fiscal Year 2013: National Hispanic Environmental Council Minority Youth Environmental Training Institute; Coastal America Foundation 2013 Student Ocean Summit; National Fishing in the Schools Program Pilot Program for NFSP in New Mexico. Fiscal Year 2014: FWS anticipates funding projects that support the Youth in the Great Outdoors initiative and professional development and providing support for natural resources related education, meetings, training, and conferences focused on enhancing public awareness and understanding of natural resource conservation and preservation.

CRITERIA FOR SELECTING PROPOSALS:

Programs within the Fish and Wildlife Service will review and evaluate all applications consistent with authorized Fish and Wildlife Service program purposes.

15.650 RESEARCH GRANTS (GENERIC)

FEDERAL AGENCY:

Fish and Wildlife Service, Department of the Interior

AUTHORIZATION:

Fish and Wildlife Act of 1956, as amended, 16 U.S.C. (742f (a)(4); Fish and Wildlife Coordination Act, as amended, 16 U.S.C. 661.; Fish and Wildlife Improvement Act of 1978, as amended (16 U.S.C. 753), Land and Water Conservation Fund Act of 1965, as amended (16 U.S.C. 4601-4 through 11), Endangered Species Act of 1973 (16 U.S.C. 1531-1543).

OBJECTIVES:

To assist in the conservation of the Nation's natural resources by providing financial assistance for land management, research, and data collection/analysis to further the preservation of natural resources.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Research grants will be used for improving scientific support for natural resource management, to enhance public awareness and understanding of natural resource conservation and preservation. Projects may include land management practices; literature research, data/information analysis and dissemination improving scientific support for natural resource management. Details on funding opportunities can be found on www.Grants.gov. 100%.

Applicant Eligibility:

Federal, State and local government agencies; Federally-recognized Tribal governments; private nonprofit institutions/organizations; public nonprofit institutions/organizations.

Beneficiary Eligibility:

Federal, State and local government agencies; Federally-recognized private nonprofit institutions/organizations; public nonprofit institutions/ organizations; general public.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Any applicable pre-application coordination or environmental impact information requirements will be detailed in the funding opportunities posted under this program on Grants.gov (<http://www.grants.gov>). An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applicant must complete the Standard Form (SF)-424, and the appropriate Budget and Assurances forms (SF-424A and SF-424B Non-construction; or SF-424C and SF-424D Construction)

Award Procedure:

Notification of an award is by the issuance of a grant or cooperative agreement document by Awarding Official.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

This will vary based on the funding opportunity and will range between 3 and 6 months.

Appeals:

Applicants may revise and resubmit proposals for consideration.

Renewals:

Contact the headquarters or regional office.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: Statutory formulas and/or matching requirements may be applicable to activities funded under this CFDA. Any formulas or matching requirements will be specified in the funding opportunities posted under this program on Grants.gov.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Varies by activity being funded, but typically funds are awarded for projects with a one year period of performance. See the following for information on how assistance is awarded/released: Funds are disbursed to recipients as requested and in accordance with the payment methods prescribed in 43 CFR Part 12, or as otherwise prescribed in program-specific legislation.

Reports:

Program reports are not applicable. Cash reports are not applicable. A final performance report is required within 90 calendar days from the end date of the award. Performance reports must contain a comparison of actual accomplishments with the goals and objectives of the award as detailed in the approved scope of work, a description of reasons why established goals were not met, if appropriate, and any other pertinent information relevant to the project results. Submission of payment requests using the SF-270, Request for Advance or Reimbursement (Non-Construction) or SF-271, Outlay Report and Request for Reimbursement (Construction) satisfies interim financial reporting requirements. A final SF-425, Federal Financial Report is required 90 days from the end date of the award. This form can be accessed on the Internet at http://www.whitehouse.gov/omb/grants_forms/. For additional information regarding financial reporting requirements and sanctions for noncompliance please see

http://www.doi.gov/pam/financialassistance/postaward/docs/Policy_regarding_Fin_Status_Reporting_Req.pdf. Financial and performance reporting frequency and other requirements will be detailed in the award terms and conditions. Interim reports will not be requested more frequently than quarterly, and no less frequently than annually. Interim reports are due 30 calendar days after the expiration of the quarter/midterm/year anniversary, as appropriate. Final reports are required within 90 calendar days from the end date of the award.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June

27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Recipients will maintain records in accordance with the provisions of 43 CFR Part 12, Subpart C, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments" and 43 CFR Part 12, Subpart F, "Uniform Administrative Requirements for Grants and Agreements With Institutions of Higher Education, Hospitals, and Other Nonprofit Organizations."

Account Identification:

14-1611-0-1-302.

Obligations:

(Project Grants (Discretionary)) FY 12 \$3,991,245; FY 13 est \$1,500,000; and FY 14 est \$1,500,000

Range and Average of Financial Assistance:

Range and average varies by program activity.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: FWS provided support for 92 grants and cooperative agreements for research grants focused on improving scientific support for natural resource management. Fiscal Year 2013: FWS continues to assist in the conservation of the Nations natural resources by providing financial assistance for research grants focused on improving scientific support for natural resource management . Fiscal Year 2014: FWS will continue to assist in the conservation of the Nations natural resources by providing financial assistance for research grants focused on improving scientific support for natural resource management .

REGULATIONS, GUIDELINES, AND LITERATURE:

Acceptance of a Federal Financial Assistance award from the Department of the Interior (DOI) carries with it the responsibility to be aware of and comply with the terms and conditions of award per <http://www.doi.gov/pam/TermsandConditions.html>.

Regional or Local Office:

See Regional Agency Offices. Contact Information related to each funding opportunity under this program will be stated in the funding opportunities posted on Grants.gov.

Headquarters Office:

Chief, Branch of Financial Assistance Coordination, Division of Wildlife Sport Fish Restoration, 4401 N. Fairfax Drive, MS-4020, Arlington, Virginia 22203
Phone: (703) 358-2701.

Website Address:

<http://www.fws.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: FWS provided support for 92 grants and cooperative agreements for research grants focused on improving scientific support for natural resource management. Examples of projects funded include: Research of conservation and assessment of Little Kern Golden Trout with Trout Unlimited of California; federal land livability assessment research by the Conservation Fund; development of regional estuarine and marine natural resource maps for the South Atlantic. Fiscal Year 2013: : FWS will continue to provide support for grants and cooperative agreements for research grants focused on improving scientific support for natural resource management. Fiscal Year 2014: (anticipated): Projects have not yet been selected for funding. Projects to be funded may include support for land management, literature research, data/information analysis and dissemination, and other activities focused on improving scientific support for natural resources management.

CRITERIA FOR SELECTING PROPOSALS:

Programs within the Fish and Wildlife Service will review and evaluate all

applications consistent with authorized Fish and Wildlife Service program purposes.

15.651 WILDLIFE WITHOUT BORDERS-AFRICA PROGRAM

FEDERAL AGENCY:

Fish and Wildlife Service, Department of the Interior

AUTHORIZATION:

Endangered Species Act of 1973, 16 U.S.C 1531-43.

OBJECTIVES:

The Wildlife Without Borders-Africa Program funds projects designed to strengthen the ability of African individuals and institutions to manage and conserve species, habitats, and ecological processes for the benefit of the people of Africa and the world. The Wildlife Without Borders Program defines capacity building as strengthening the ability of individuals and organizations to conserve biodiversity. Capacity building approaches can include training, facilitating dialogue, program development, and provision of equipment and other resources.

Projects should build capacity in one (or more) of the following themes:

1. Threat Reduction: Includes projects that increase individual and institutional capacity to reduce threats to African wildlife, including extractive industries, human-wildlife conflict, hunting for bushmeat, wildlife disease in and around protected areas, and climate change;
2. Protected Area Management: Includes projects that strengthen the management ability of institutions responsible for protected areas, by conducting a training program or capacity needs assessment, or by undertaking activities that improve management effectiveness, conservation financing, protected area coverage, community outreach and education, conflict resolution, coalition building, human resource management, financial management, vehicle and facility maintenance, law enforcement, grant writing, and/or project implementation;
3. Training Programs: Includes projects that increase the capacity of universities, colleges or other institutions to deliver education and training programs on protected area management and species conservation;
4. Decision Makers: Includes projects that enhance the knowledge of decision makers and other stakeholders in order to strengthen their ability to positively influence wildlife conservation, management, legislation, policy, and finance; and to harmonize these with other national policies.

For all themes listed above, the priority audiences for capacity building include: protected area managers, administrators, guards and rangers; conservation outreach specialists and educators, including professors, teachers, and trainers; community conservationists and staff of local non-governmental and civil society organizations; decision makers, including legislators, legal and other public officials.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

The Congo Basin is a globally important region for forest and biodiversity conservation. The U.S. Fish and Wildlife Service (USFWS) works closely with national governments, U.S. agencies, and a range of other partners to ensure a strategic, results-based approach to wildlife conservation in the basin. In collaboration with U.S. Agency of International Developments (USAID) Central Africa Regional Program for the Environment (CARPE), the USFWS is providing this funding opportunity to improve protected area management,

reduce threats to key wildlife populations, and develop the requisite individual and institutional conservation capacity to undertake long-term conservation programs. It is anticipated that grant funding through the USFWS Multinational Species Conservation Funds will continue to provide support on a competitive basis to Congo Basin countries and corresponding landscapes not covered in the priorities outlined below, in future funding cycles. The FY 2013 call for applications will be limited to specific geographic and thematic areas. 100%.

Applicant Eligibility:

Federal, State and local government agencies; non-profit, non-governmental organizations; and public and private institutions of higher education, or any of the stated associate entities on behalf of an eligible individual.

Beneficiary Eligibility:

Federal, State and local government. agencies; nonprofit, non-governmental organizations; and public and private institutions of higher education. All recipients, both individual and institutional, must have a bank account and have the ability to receive funds directly.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. The program announcement and application instructions for this program can be found at the Grants.gov website: <http://www.grants.gov>. Complete application information can also be found at <http://www.fws.gov/international/pdf/notice-of-funding-availability-africa.pdf>, or, if unable to access the website, by requesting a paper copy from the Division of International Conservation, U.S. Fish and Wildlife Service, 4401 N. Fairfax Drive, Room 100, Arlington, VA 22203, Telephone: (703) 358-1754. A proposal will not be considered complete if all required elements are not submitted as instructed. U.S. applicants must submit (among other elements) a complete, signed Standard Form 424 Application for Federal Assistance.

Award Procedure:

Projects are reviewed and competitively selected for funding based on established criteria. Review criteria can be found in the application information at <http://www.fws.gov/international/pdf/notice-of-funding-availability-africa.pdf>. Once a proposal has been selected for funding, an FWS approving official executes an Assistance Award between the Fish and Wildlife Service and the Recipient. Fully executed Awards are sent to the Recipient either electronically or through the mail. The Division of International Conservation then administers all other aspects of the Assistance Award.

Deadlines:

Nov 01, 2012 November 1.

Range of Approval/Disapproval Time:

Decisions on funding of project proposals are normally made no later than 180 days after the receipt of the project proposals.

Appeals:

Not Applicable.

Renewals:

None. However, at the discretion of the Division of international Conservation awards can be modified, e.g.; extended for time or budget changes.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awarded funds must be spent during the approved project period of performance, in accordance with program financial and performance reporting procedures. See the following for information on how assistance is

awarded/released: Funds are disbursed to recipients as requested and in accordance with the payment methods prescribed in 43 CFR Part 12, or as otherwise prescribed in program-specific legislation.

Reports:

Program reports are not applicable. Cash reports are not applicable. Recipients must submit a final performance report no later than 90 calendar days after the award end date. Recipients of awards with performance periods longer than 12 months will be required to submit interim reports. Program may require recipients to submit interim reports annually, semiannually, or quarterly. Program will detail all performance reporting requirements, including frequency and due dates, in the notice of award letter. Domestic recipients must report expenditures using the SF 425, Federal Financial Report form. Non-domestic recipients must submit a financial table or spreadsheet in the same format as the original project proposal budget, showing itemized expenditures for the reporting period. Recipients must submit a final report no later than 90 calendar days after the award end date. Recipients of awards with performance periods longer than 12 months will be required to submit interim reports. Program may require recipients to submit interim reports annually, semiannually, or quarterly. Program will detail all financial reporting requirements, including frequency and due dates, in the notice of award letter. Recipients are responsible for monitoring performance for each award and sub-award under this program in accordance with 43 CFR Part 12. Complete reporting requirements can be found at this program's web page at <http://www.fws.gov/international/pdf/assistance-award-guidelines.pdf>.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Recipient will maintain records in accordance with the provisions of 43 CFR part 12, Subpart C, Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments and 43 CFR Part 12, Subpart F, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and other Nonprofit Organizations.

Account Identification:

14-1611-0-1-302.

Obligations:

(Project Grants (Discretionary)) FY 12 \$2,992,420; FY 13 est \$2,000,000; and FY 14 est \$2,000,000

Range and Average of Financial Assistance:

Variable amounts. Generally \$50,000 or less. Higher amounts may be requested.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Program received 15 applications and issued 7 awards. Fiscal Year 2013: Program received 38 applications and anticipates issuing 20 awards. Fiscal Year 2014: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Awards will be administered in conformance with the "Assistance Award Guidelines" established by the Division of International Conservation, which uses as its source all applicable award terms and conditions found at <http://www.doi.gov/pam/TermsandConditions.html>. The "Assistance Award Guidelines" can be found on-line at <http://www.fws.gov/international/DIC/pdf/NOFA-AAG/AAG.pdf> or in hard copy by contacting the Division of International Conservation.

Regional or Local Office:

See Regional Agency Offices. Region 1, (Washington, Oregon, California, Nevada, Idaho, Hawaii, Trust Territories of the Pacific) Regional Director: Renne Lohofener, 911 NE 11th Avenue, Portland, OR 97232-4181. Telephone: (503) 872-2716; Fax: (503)231-6118. California Nevada Operations

Office Regional Director: Steve Thompson, 2800 Cottage Way, Suite W2606 Sacramento, CA 95825. Telephone: (916) 414-6486; Fax: (916) 414-6464
Region 2 (New Mexico, Arizona, Oklahoma, Texas) Regional Director: Benjamin Tuggle, P.O. Box 1306, Albuquerque, NM 87103. Telephone: (505) 248-6910; Fax: (505) 248-6282. Region 3, (Minnesota, Indiana, Missouri, Michigan, Wisconsin, Ohio, Illinois, Iowa). Regional Director, Robyn Thorson Federal Building, 1 Federal Drive, Fort Snelling, MN 55111. Telephone: (612) 713-5284; Fax: (612) 713-5301. Region 4, (Kentucky, Arkansas, Tennessee, North Carolina, South Carolina, Georgia, Alabama, Mississippi, Louisiana, Florida, Virgin Islands, Puerto Rico). Regional Director: Sam Hamilton, 1875 Century Boulevard, Atlanta, GA 30345. Telephone: (404)679-4006 Fax: (404) 679-4000. Region 5, (Virginia, West Virginia, Maryland, Pennsylvania, New York, Delaware, New Jersey, Connecticut, Maine, Massachusetts, Vermont, New Hampshire, Rhode Island) Regional Director: Marvin Moriarty, 300 Westgate Center Drive, Hadley, MA 01035. Telephone: (413) 253-8308. Fax: (413) 253-8300. Region 6, (Colorado, Montana, Nebraska, Utah, Wyoming, Kansas, North Dakota, South Dakota) Regional Director, Mitch King, P.O. Box 25486, DFC Denver, CO 80225. Telephone: (303) 236-7920 Fax: (303) 236-8295 Region 7 (Alaska) Regional Director: Tom Melius, 1011 East Tudor Road, Anchorage, AK 99503. Telephone: (907) 786-3306 Fax: (907) 786-3542.

Headquarters Office:

Chief, Department of the Interior, Fish and Wildlife Services, Division of International Conservation, 4401 North Fairfax Drive, Room 100, Arlington, Virginia 22203-1622 Email: WWB_Africa@fws.gov Phone: (703) 358-1754 Fax: (703) 358-2115.

Website Address:

<http://www.fws.gov/international/wildlife-without-borders/africa/index.html>

RELATED PROGRAMS:

15.640 Wildlife Without Borders- Latin America and the Caribbean ; 15.641 Wildlife Without Borders-Mexico

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: The program supported 1) The establishment of a model community-run small-scale ecotourism site to create an alternative income source to bushmeat hunting; and to provide environmental education activities in the lake region of LambarGabon through the development of a model ecotourism site and bushmeat outreach program. 2) An entertainment-education led effort to increase appreciation of wildlife and reduce the illegal and unsustainable commercial bushmeat trade by individuals and communities in the Kilimanjaro, Tanga, Arusha, and Manyara regions of Tanzania. The coalition will work together to design and pilot local radio dramas and assess their impact on conservation efforts in the region. 3) The Law Enforcement Monitoring (LEM) system and will include training on data collection methods and data management (using MIST), and providing equipment for the LEM system and supporting on site mentoring. The project will result in a standardized data management and reporting system of anti-poaching missions within the 14 DGFAP managed sites, which will serve as the start of a nationwide implementation program. Fiscal Year 2013: Program has not yet selected projects for funding. Program anticipates funding projects that support capacity building in Central Africa only. Fiscal Year 2014: Undetermined.

CRITERIA FOR SELECTING PROPOSALS:

A complete list of the criteria used to select projects under this program will be included as part of the Wildlife Without Borders-Africa Notice of Funding Availability and Application Instructions at <http://www.fws.gov/international/pdf/notice-of-funding-availability-africa.pdf>. Hard copies can be obtained by contacting the Division of International Conservation at (703) 358-1754 or WWB_Africa@fws.gov.

15.652 UNDESIRABLE/NOXIOUS PLANT SPECIES

(Weeds Program)

FEDERAL AGENCY:

Fish and Wildlife Service, Department of the Interior

AUTHORIZATION:

Fish and Wildlife Act of 1956, as amended, 16 U.S.C. 742a-754; Fish and Wildlife Coordination Act of 1958, 16 U.S.C. 661-667(e); and National

Wildlife Refuge System Administration Act, 16 U.S.C. 668dd.

OBJECTIVES:

To encourage coordination and integration of efforts between the U.S. Fish and Wildlife Service (USFWS) and interested parties to accomplish successful management of undesirable plant species. Projects must conserve, protect, and enhance fish, wildlife and plants for the continuing benefit of the American people.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Funds may be used for approved projects on or off Service lands within the landscape of FWS property or projects that facilitate the identification, management and eradication of undesirable plant species. 100%.

Applicant Eligibility:

Applicants may be State and local governments, educational institutions, private and public nonprofit organizations, and institutions and private individuals which support the mission of the Service.

Beneficiary Eligibility:

State and local governments; private and public nonprofit organizations; other private and public organizations; and private individuals who support the mission of the Service.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

The Regional Director of the U.S. Fish and Wildlife Service or designee approves or disapproves submitted proposals. Criteria for selection are outlined in the funding announcement and application package posted to Grants.gov. Successful applicant(s) will be notified after selection and confirmation of available funding. An applicant(s) should not initiate a project in expectation of USFWS funding, nor should they purchase materials or begin work until such time as they receive the final award document signed by an authorized Service official.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Awards are anticipated within 90 days or less.

Appeals:

Contact the headquarters or regional office.

Renewals:

Contact the headquarters or regional office.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Project awards are generally from one to five years, depending on the complexity of the project and availability of funds. The five year restriction on the length of time is due to the period of availability of funds, which is limited to the five-year rule. See the following for information on how assistance is awarded/released: Funds are disbursed to recipients as requested and in accordance with the payment methods prescribed in 43 CFR Part 12, or as otherwise prescribed in program-specific legislation.

Reports:

No program reports are required. No cash reports are required. All projects and activities are monitored for progress and compliance with the agreed upon scope of work. Recipients of funding are required to submit semi-annual financial reports (SF-425, Federal Financial Report). Performance reports are due within 30 days of the award anniversary date. Final performance and financial reports are due within 90 days of the end date of the award period of performance.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records are required to be maintained for three years following submission of the final expenditure report.

Account Identification:

14-1611-0-1-302.

Obligations:

(Project Grants (Discretionary)) FY 12 \$200,600; FY 13 est \$200,600; and FY 14 est \$200,600

Range and Average of Financial Assistance:

3,000 to 80,500.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: The Fish and Wildlife Service has collaborated and will continue to collaborate with private, State, and county government to jointly address issues surrounding the management of noxious and undesirable plant species. Fiscal Year 2013: Anticipates continued collaboration with private, state and county governments to jointly address issues surrounding the management of noxious and undesirable plant species. Fiscal Year 2014: Anticipates continued collaboration with private, state and county governments to jointly address issues surrounding the management of noxious and undesirable plant species.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices. Contact Regional offices.

Headquarters Office:

U.S. Fish and Wildlife Service U.S. Department of the Interior, National Wildlife Refuge System, 4401 N. Fairfax Drive, Suite 670 , Arlington, Virginia 22203 Phone: (703) 358-1744 Fax: (703) 358-2248.

Website Address:

<http://www.fws.gov> .

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Teton County Weed and Pest Control, \$15,000; Loup Basin Resource Conservation, \$10,000; New England Wildflower Society, \$11,500. The program also continued support for projects in Teton County Weed & Pest Control District (\$28,000) and Sweetwater County Weed & Pest District (\$10,000). Fiscal Year 2013: Projects not yet selected for funding. Projects will address issues surrounding the management of noxious and undesirable plant species. Fiscal Year 2014: Projects not yet selected for funding. Projects will address issues surrounding the management of noxious and undesirable plant species.

CRITERIA FOR SELECTING PROPOSALS:

Proposals are evaluated on the basis of lasting effect on conservation, preservation, wildlife and their habitats.

15.653 NATIONAL OUTREACH AND COMMUNICATION PROGRAM

(NOC)

FEDERAL AGENCY:

Fish and Wildlife Service, Department of the Interior

AUTHORIZATION:

Transportation Equity Act for the 21st Century 1998, Public Law 105-178, 112 Stat. 107, 23 U.S.C. 101, Sportfishing and Boating Safety Act of 1998, Section 7402, Public Law 105-178, Subtitle D and subsequent reauthorizations.

OBJECTIVES:

To improve communications with anglers, boaters, and the general public regarding angling and boating opportunities, to reduce barriers to participation in these activities, to advance adoption of sound fishing and boating practices, to promote conservation and the responsible use of the nation's aquatic resources, and to further safety in fishing and boating.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

The recipients work is focused on strategies and activities identified in the Strategic Plan for the National Outreach and Communication Program as required by the Sportfishing and Boating Safety Act of 1998. These activities are intended to increase participation in recreational boating and fishing. For example, program funds have been used to develop a national database of fishing and boating locations that is available on the internet. If work is to be conducted outside of those identified in the original plan, the activity must show a clear relevance to the Strategic Plan. 100% of the programs funding.

Applicant Eligibility:

Participation limited to applicant with expertise to conduct work within the scope of the Strategic Plan for the National Outreach and Communication Program as required by the Sportfishing and Boating Safety Act of 1998, or if work is to be conducted outside of the range, the applicant must document a clear relevance to the Strategic Plan and the ability to conduct the proposed work.

Beneficiary Eligibility:

Anyone/General Public.

Credentials/Documentation:

To apply for grant funds, the applicant must provide documentation of marketing expertise in sport fishing and boating safety and submit an online application through Grants.gov. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applicant must complete the Standard Form (SF) 424, and the appropriate Budget and Assurances forms (SF 424A and SF 424B Non-construction; or SF 424C and SF 424D Construction)

Award Procedure:

Funding recommendations, based upon a process outlined in the funding announcements, will be forwarded to the Awarding Official. Applicants will be notified by Awarding Official.

Deadlines:

Jan 31, 2013 January 31, 2013.

Range of Approval/Disapproval Time:

Within 120 days.

Appeals:

Contact the headquarters or regional office.

Renewals:

Contact the U.S. Fish and Wildlife Services national headquarters office.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants and cooperative agreements will normally be awarded in, but not restricted to, 12-month budget periods. See the following for information on how assistance is awarded/released: Funds are disbursed to recipients as requested and in accordance with the payment methods prescribed in 43 CFR Part 12, or as otherwise prescribed in program-specific legislation.

Reports:

Program reports are not applicable. Cash reports are not applicable. The recipient shall submit progress and financial reports as specified in the award. At a minimum, the recipient shall submit an SF-425, Federal Financial Report within 90 days of the one year anniversary date of the award. A final SF-425 is due within 90 days of the end of the award period of performance. At a minimum, a performance progress report summarizing accomplishments and including an explanation of any milestones not accomplished is to be submitted within 90 days of the one year anniversary date of the award. A final performance progress report is due within 90 days of the end of the award period of performance; one copy of all printed products completed using awarded funds is also due within 90 days of the end of the award period of performance.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

All records must be maintained for 3 years after submission of the financial report.

Account Identification:

14-8151-0-7-303.

Obligations:

(Project Grants (Discretionary)) FY 12 \$12,027,783; FY 13 est \$12,859,680; and FY 14 est \$11,833,957

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: In FY12 the program reached more than 850,000 youth through education programs, more than 40 state fish and wildlife agencies used program products, and a national outreach campaign to increase interest in angling and boating was implemented. Fiscal Year 2013: The program reached more than 1 million youth through education programs, 47 state fish and wildlife agencies participated in an angler and boater recruitment and retention workshop, and an informative PSA was released and received national coverage. Fiscal Year 2014: The program anticipates reaching more than 1 million youth through education programs, state fish and wildlife agency angler and boater recruitment and retention programs will continue, projects focused on increasing participation of minority populations will be implemented.

REGULATIONS, GUIDELINES, AND LITERATURE:

Guidelines and literature on the U.S. Fish and Wildlife Service programs is available at: <http://fws.gov> and <http://www.fws.gov/contracts/index.html>. Federal financial assistance regulations and relevant OMB circulars are located at <http://www.whitehouse.gov/omb/circulars/index.html>, <http://www.doi.gov/pam/financialassistance.html>.

Regional or Local Office:

None.

Headquarters Office:

Recreational Boating and Fishing Liaison 4401 N. Fairfax Drive, MS-3103-AEA, Arlington, Virginia 22203 Phone: (703) 358-2435.

Website Address:

<http://www.fws.gov/conservationpartnerships/>.

RELATED PROGRAMS:

15.605 Sport Fish Restoration Program; 15.616 Clean Vessel Act; 15.622 Sportfishing and Boating Safety Act; 15.628 Multistate Conservation Grant Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: : A single cooperative agreement was funded by the program. The recipient provided subgrants to state fish and wildlife agencies for boater and angler recruitment and retention efforts, provided subgrants to several organizations for applied angler recruitment efforts and also for aquatic education focused school programs. Fiscal Year 2013: A single cooperative agreement was funded by the program. The recipient provided subgrants to state fish and wildlife agencies for boater and angler recruitment and retention efforts, provided subgrants to several organizations for applied angler recruitment efforts and also for aquatic education focused school programs. Fiscal Year 2014: The program anticipates funding subgrants to state fish and wildlife agencies and other conservation organizations for angler and boater recruitment programs, developing informational materials for anglers and boaters to facilitate participation, and youth education programs.

CRITERIA FOR SELECTING PROPOSALS:

Each grant application will be reviewed for documentation required in the Program Guidelines and Application Kit.

15.654 VISITOR FACILITY ENHANCEMENTS - REFUGES AND WILDLIFE

Refuges and Wildlife

FEDERAL AGENCY:

Fish and Wildlife Service, Department of the Interior

AUTHORIZATION:

Fish and Wildlife Conservation Act of 1934 (16 U.S.C. 2901-2911); Fish and Wildlife Act of 1956 (16 U.S.C. 742(a)-754); Refuge Recreation Act of 1962 (16 U.S.C. 460k-460k(4)); National Wildlife Refuge System Administration Act of 1966 (16 U.S.C. 668dd); Youth Conservation Corps Act of 1972 (16 U.S.C. 1701-1706); Archaeological Resources Protection Act of 1979 (16 U.S.C. 470aa-47011); The National Wildlife Refuge System Act of 1997 (Public Law 105-57); National Wildlife Refuge System Volunteer and Community Partnership Act of 2004 (Public Law 108-327).

OBJECTIVES:

To provide technical and financial assistance to identify, protect, conserve, manage, enhance, or restore the ecological condition of the National Wildlife Refuge System (NWRS) trust resources through work on and off NWRS lands.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

Funds may be used for approved activities that support the objectives of the National Wildlife Refuge System (NWRS). For further information, please contact regional office.

Applicant Eligibility:

Applicants may be State and local governments, private, public, nonprofit organizations, institutions and private individuals, which support the mission of the Service.

Beneficiary Eligibility:

State and local governments; private and public nonprofit organizations; other private and public organizations; and private individuals who support the mission of the Service.

Credentials/Documentation:

43 CFR Part 12 establishes requirements for grants awarded by agencies of the U.S. Department of the Interior. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should

consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. At a minimum, applicant must complete the Standard Form (SF)-424, and the appropriate Budget and Assurances forms (SF-424A and SF-424B Non-construction; or SF-424C and SF-424D Construction).

Award Procedure:

The regional Refuge Chief, or designee, will approve/disapprove proposed projects. Regional Offices are responsible for notification of grant approval to the grantee by return of a completed agreement.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 30-60 days. Target is approximately 45 working days after receipt of proposal/application, dependent upon the complexity of the agreement.

Appeals:

Contact the headquarters or regional office.

Renewals:

Projects may be renewed as needed, if justified and depending on the availability of funds.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: No matching is required; however, matching funds are encouraged.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The release of assistance funds may vary depending upon the recipient. Regional Office staff can explain procedures for requiring either lump sum or quarterly payment methods. See the following for information on how assistance is awarded/released: The release of assistance funds may vary depending upon the recipient. Regional Office staff can explain procedures for requiring either lump sum or quarterly payment methods.

Reports:

No program reports are required. No cash reports are required. The recipient shall submit progress and financial reports as specified in the award. At a minimum, the recipient shall submit an SF-425, Federal Financial Report within 90 days of the one year anniversary date of the award. A final SF-425 is due within 90 days of the end of the award period of performance. An interim performance progress report summarizing accomplishments and including an explanation of any milestones not accomplished may be required and is to be submitted within 90 days of the one year anniversary date of the award. A final performance progress report is required and is due within 90 days of the end of the award period of performance.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records for agreements awarded to State and Local Governments will be maintained in accordance with the provisions of 43 CFR Part 12, Subpart C, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments." Records for agreements awarded to institutions of higher education and other nonprofit organizations will be maintained in accordance with the provisions of 43 CFR Part 12, Subpart F, "Uniform Administrative Requirements for Grants and Agreements With Institutions of Higher Education, Hospitals, and Other Nonprofit Organizations".

Account Identification:

14-1611-0-1-302.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 12 \$9,973,000; FY 13 est \$9,973,000; and FY 14 est \$9,300,000 - Wildlife and Habitat Management. (Cooperative Agreements (Discretionary Grants)) FY 12 \$110,000; FY 13 est \$110,000; and FY 14 est \$110,000 - Conservation Planning. (Cooperative Agreements (Discretionary Grants)) FY 12 \$2,799,000; FY 13 est \$2,799,000; and FY 14 est \$2,500,000 - Visitor Services.

Range and Average of Financial Assistance:

\$1,000 to \$1,000,000, or greater.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Program will continue to address the ecological condition of Refuge System lands; performed conservation work at landscape scales both on and off Refuge System lands; and improved quality of wildlife-dependent recreation for Refuge System visitors. Fiscal Year 2013: Program will continue to address the ecological condition of Refuge System lands; performed conservation work at landscape scales both on and off Refuge System lands; and improved quality of wildlife-dependent recreation for Refuge System visitors. Fiscal Year 2014: Program anticipates on addressing ecological conditions on Refuge System lands and perform conservation work at landscape scales.

REGULATIONS, GUIDELINES, AND LITERATURE:

Cooperative agreements/project grants are administered in conformance with the U.S. Fish and Wildlife Service Administrative Service Manual and 43 CFR 12.

Regional or Local Office:

See Regional Agency Offices. See Regional Agency Offices. Please visit www.fws.gov/refuges to locate the Regional and Local Office contacts.

Headquarters Office:

Assistant Director, Fish and Wildlife Service, Department of the Interior, National Wildlife Refuge System, 4401 N. Fairfax Drive, Suite 670, Arlington, Virginia 22203 Phone: (703) 358-1744 Fax: (703) 358-2248.

Website Address:

www.fws.gov .

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Support for conservation-related conferences through work with Friends Groups and partners; Invasive species work both on- and off-refuge lands; support for migratory bird-related programs with partners. Fiscal Year 2013: Support for conservation-related conferences through work with Friends Groups and partners; Invasive species work both on- and off-refuge lands; support for migratory bird-related programs with partners. Fiscal Year 2014: Program anticipates providing support for projects similar to those funded in FY 12 and FY 13.

CRITERIA FOR SELECTING PROPOSALS:

Program specific criteria is specified in the announcements of the individual financial assistance programs.

15.655 MIGRATORY BIRD MONITORING, ASSESSMENT AND CONSERVATION

(Migratory Bird)

FEDERAL AGENCY:

Fish and Wildlife Service, Department of the Interior

AUTHORIZATION:

Fish and Wildlife Act of 1956, as amended, 16 U.S.C. 742a-754; Fish and Wildlife Coordination Act of 1958, 16 U.S.C. 661-667(e); Fish and Wildlife Conservation Act, 16 U.S.C. 2901-2911; Migratory Bird Treaty Act, 16 U.S.C. 709a.

OBJECTIVES:

Working with others to conserve, enhance, and better understand the ecology

and habitats of migratory bird species.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants); Direct Payments for Specified Use (Cooperative Agreements)

USES AND USE RESTRICTIONS:

Grants and payments may be used for the conservation of any bird species protected by the Migratory Bird Treaty Act. Special emphasis will be placed on bird species listed in USFWS conservation and management priorities documents (e.g. USFWS Birds of Conservation Concern 2008, Focal Species list). Implementing national, regional, flyway Bird Conservation Region, and state-level bird conservation plans (e.g., Partners in Flight North American Landbird Conservation (<http://www.partnersinflight.org>), U.S. Shorebird Conservation Plan (<http://shorebirdplan.fws.gov>) North American Waterbird Conservation Plan (<http://birdhabitat.fws.gov/NAWMP/nawmphp.htm>) are among the Service's highest priorities. Projects related to the priorities identified in these plans will receive the greatest funding consideration. Projects should address one or more of the following activities: a) Population surveys and monitoring to determine the health, status, trends, and distribution of bird species and groups of concern. Emphasis will be placed on landscape-level applications of standardized inventory and monitoring protocols (e.g., Breeding Bird Atlases, national marshbird call playback surveys). Local-scale monitoring (e.g., local land management units) activities will generally not be a focus of this program; b) Applied research, including but not limited to, studies of habitat requirements, limiting factors, and population responses to habitat conservation activities; development of new monitoring techniques and programs for poorly-surveyed species; use of Geographic Information Systems, bird habitat modeling, and similar tools to identify bird habitat focus areas and further bird conservation planning efforts; and investigation of avian mortality events and monitoring of health and disease problems; c) Compilation of technical information, such as status reviews of bird species of concern, development of habitat management and restoration guidelines, disseminating updates and providing training about current and emerging diseases which impact migratory bird populations, and other outreach and education programs including programs structured towards youth and connecting people with nature. Habitat management projects are generally not covered under this program. For further information, please contact the regional office. Approximately 35% of program funding may be available depending on annual appropriations and program priorities.

Applicant Eligibility:

Federal; Interstate; Intrastate; State; Local; including Tribal Government; Public Nonprofit Institution/Organization; Other Public Institution/Organization; Federally Recognized Tribal Government; U.S. Territory or Possession; Institutions of Higher Education including Public Private, State College, University, Junior, and Community College; Individual/Family; Specialized Group; Small Business; Profit Organization; Private Nonprofit Institution/Organization; Quasi-Public Nonprofit Institution/Organization; Other Private Institution/Organization; or Native American Organization.

Beneficiary Eligibility:

Federal; Interstate; Intrastate; State; Local; including Tribal Government; Public Nonprofit Institution/Organization; Other Public Institution/Organization; Federally Recognized Tribal Government; U.S. Territory or Possession; Institutions of Higher Education including Public Private, State College, University, Junior, and Community College; Individual/Family; Specialized Group; Small Business; Profit Organization; Private Nonprofit Institution/Organization; Quasi-Public Nonprofit Institution/Organization; Other Private Institution/Organization.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Pre-application coordination is not required. Environmental impact information may be required and will be specified in program funding opportunity postings on Grants.gov. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Projects and informal or formal proposals may be requested by the Regional or National Migratory Bird Program office directly from appropriate eligible applicants. These funding opportunities will be posted at www.grants.gov and will clearly outline the required forms or supplements (e.g. SF-424, full budget, etc.). Unsolicited project proposals from eligible applicants may also be submitted for consideration to Regional or National offices. Projects may also be mutually developed by the Regional or National office and appropriate eligible applicants. All projects contain mutually agreed upon deliverables and funding. Applicant must complete the Standard Form (SF)-424, and the appropriate Budget Assurances forms (SF-424A and SF 424B - Non-construction; or SF-424C and SF-424D - Construction).

Award Procedure:

The Migratory Bird Program Chief reviews and approves conservation projects based on proposals meeting the objectives. Once a proposal is accepted and mutually agreed upon deliverables and funding are approved, a formal agreement is written. Upon signing both parties, work can begin.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Decisions on funding a proposal are usually made no later than 180 days after receipt of the proposal. However, funding opportunities may present themselves at a later opportunity at which time projects may be reconsidered.

Appeals:

Not Applicable.

Renewals:

An award may be modified at the discretion of the issuing Regional or National office.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The period of performance of awards will vary. The time phasing of assistance will vary based on timing of appropriation and funding opportunity posting dates and deadlines. See the following for information on how assistance is awarded/released: Funds are disbursed to recipients as requested and in accordance with the payment methods prescribed in 43 CFR Part 12, or as otherwise prescribed in program-specific legislation.

Reports:

Program reports are not applicable. Cash reports are not applicable. All projects and activities are monitored for progress and for compliance with award scope of work. The recipient shall submit progress and financial reports as specified in the award. At a minimum, a performance progress report summarizing accomplishments and including an explanation of any milestones not accomplished is to be submitted within 90 days of the one year anniversary date of the award. A final performance progress report is due within 90 days of the end of the award period of performance. All project reports may be evaluated and reviewed by project supervisor and his or her appointees, including but not limited to outside sources such as other appropriate federal; staff at national and regional levels, state and local government employees, and other individuals with project area expertise, to insure quality and fulfillment of obligation. At a minimum, the recipient shall submit an SF-425, Federal Financial Report within 90 days of the one year anniversary date of the award. A final SF-425 is due within 90 days of the end of the award period of performance. Recipients are responsible for monitoring and reporting performance each award and sub-award under this program in accordance with 43 CFR Part 12 and 2 CFR Part 170.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit

conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Recipients will maintain records in accordance with the provisions of 43 CFR Part 12 C, "Uniform Administrative Requirements for Grans and Cooperative Agreements to State and Local Governments" and 43 CFR Part 12, Subpart F, "Uniform Administrative Requirements for Grants and Cooperative Agreements With Institutions of Higher Education, Hospitals, and Other Nonprofit Organizations."

Account Identification:

14-1611-0-1-302.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 12 \$1,900,000; FY 13 est \$1,700,000; and FY 14 est \$1,500,000 - FY13 and FY14 amounts are estimates based on prior year funding levels only.

Range and Average of Financial Assistance:

Information not available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: The program conducts biological, planning, project implementation and evaluations within the framework of regional, national and international bird management plans and initiatives. Fiscal Year 2013: The Migratory Bird Program will continue to work with partners in the development and implementation of conservation plans that contribute to improving the health of migratory bird species and their habitats. Fiscal Year 2014: The Migratory Bird Program will continue to work with partners in the development and implementation of conservation plans that contribute to improving the health of migratory bird species and their habitats.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices. Additional information is available from Regional and National Migratory Bird Offices as listed in Appendix IV of the Catalog.

Headquarters Office:

Chief, Migratory Bird Monitoring, Assessment and Conservation, Migratory Bird Program, U.S. Fish and Wildlife Service, 4401 N. Fairfax Dr. MS MBSP-4107, Arlington, Virginia 22203 Phone: (703) 358-1757

Website Address:

<http://www.fws.gov/migratorybirds/>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Types of projects funded: 1) develop and implement surveys and other monitoring and assessment activities to determine the status of numerous migratory bird populations, 2) coordinate efforts to reduce bird mortalities resulting from collisions with equipment and structures, such as communication towers, wind turbines, transmission lines, as well as other human related causes such as pesticides and fisheries by-catch. 3) the Urban Treaty Program, promotes the engagement of children and adults in bird conservation activities and strives to increase awareness of and appreciation for the value of migratory birds, and other outdoor bird-related experiences. Fiscal Year 2013: Program anticipates funding projects that support bird conservation projects similar to prior fiscal years. The number of projects funded will depend upon annual appropriations and program priorities. Fiscal Year 2014: Program anticipates funding projects that support bird conservation projects similar to prior fiscal years. The number of projects funded will depend upon annual appropriations and program priorities.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

15.656 RECOVERY ACT FUNDS - HABITAT ENHANCEMENT, RESTORATION AND IMPROVEMENT.

(ARRA)

FEDERAL AGENCY:

Fish and Wildlife Service, Department of the Interior

AUTHORIZATION:

American Recovery and Reinvestment Act of 2009; Fish and Wildlife Act of 1956, 16 U.S.C 742a-742j; Fish and Wildlife Coordination Act of 1958, 16 U.S.C 661-667; Alaska National Interest Lands Conservation Act, 16 U.S.C 3101; Sikes Act of 1974, as amended, 16 U.S.C 670a-670o; Marine Mammal Protection Act of 1972, as amended, Public Law 92-522; Nonindigenous Aquatic Nuisance Prevention and Control Act of 1990, as amended, 16 U.S.C 4701-4741; Great Lakes Fish and Wildlife Restoration Act, as amended; Partners for Fish and Wildlife Act, 16 U.S.C 3771; Endangered Species Act of 1973, 16 U.S.C 1531.

OBJECTIVES:

To provide technical and financial assistance to identify, protect, conserve, manage, enhance or restore habitat or species on both public and private lands.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

Assistance is provided to fund projects that improve habitat and/or any related activity necessary to accomplish this goal. Funds may be used for habitat assessments, protection and restoration of public and private land and may include alternative/renewable energy efficient projects. Funds may also be used to encourage public usage by providing access and education. Providing fish passage and stocking of fish from National and/or State Fish Hatcheries and advising on wildlife management techniques may be management tools considered. Working with interns on habitat restoration projects is acceptable. 100%.

Applicant Eligibility:

Not applicable.

Beneficiary Eligibility:

Not applicable.

Credentials/Documentation:

43 CFR Part 12 establishes requirements for grants awarded by agencies of the U.S. Department of Interior. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. The application procedures are specified in www.grants.gov, which require, at a minimum, the submission of a project proposal along with the completed SF 424 Application for Federal Assistance.

Award Procedure:

The Regional Director of the Fish and Wildlife Service or the designee will approve or disapprove proposed projects. Regional Offices are responsible for notification of grant approval to the grantee by return of a completed agreement.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 30 to 60 days. Approximately 45 working days after receipt, dependent upon the complexity of the Cooperative Agreement.

Appeals:

None.

Renewals:

Projects may be renewed as needed, if justified and if funds are available.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: No matching is required, however, matching funds

are encouraged to allow for additional restoration.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The Recovery Act places restrictions on the time permitted to spend the money awarded. The Regional Office Contact Person can further explain the restrictions placed upon the time period to fully spend the money awarded under the project. See the following for information on how assistance is awarded/released: The release of assistance award funds may vary depending upon the recipient. The Regional Office Contact Person can further explain the procedures for requiring either lump sum or quarterly payment methods.

Reports:

Program reports are not applicable. Cash reports are not applicable. Projects funded under the American Recovery and Reinvestment Act of 2009 will have additional performance reporting requirements as described in the award terms and conditions, announcement packages available on www.grants.gov, and Recovery.gov. Financial Status Report, using the Federal Financial Report (SF-425), are required for each grant or grant segment annually within 90 days after the anniversary date and/or end date of the agreement. Additional recipient reporting requirements of the Recovery Act will be identified in the agreement. A Performance Report is required for each grant or grant segment annually within 90 days after the anniversary date and/or end date of the agreement. Additional recipient reporting requirements of the Recovery Act will be identified in the agreement.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Cost records must be maintained separately for each awarded cooperative agreement. Records, accounts and supporting documents must be retained for 3 years after submission of final financial and performance reports. If questions, such as those raised as the results of audits, remain following the 3-year period, recipients must retain records until all matters are resolved.

Account Identification:

14-1613-1-1-302 - 1403101613 TAFS, 105R Fund Code; 14-1610-1-1-302 - 1409101610 TAFS, 109R Fund Code.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 12 \$0; FY 13 est \$0; and FY 14 est \$0 - No new funding provided for FY 12 or FY 13 and no new funding is expected in FY 14, however, the CFDA should remain active through FY 14 to allow programs to close open awards and report any associated amendments to government-wide systems that require the identification of an active CFDA number as a key reporting element.

Range and Average of Financial Assistance:

Projects may range from \$1,000 to \$1,000,000, or greater.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: FWS continued to support for grants and cooperative agreements to identify, protect, conserve, manage, enhance or restore habitat or species which were previously funded. Fiscal Year 2013: No new funding provided in FY 13. Fiscal Year 2014: No new funding expected in FY 14.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices. Please visit www.fws.gov to locate the Regional and Local Office contacts.

Headquarters Office:

Assistant Director, Fisheries and Habitat Conservation, Fish and Wildlife Service, Department of the Interior, 1849 C Street, N.W., Washington, District of Columbia 20240 Phone: (202) 208-6394 Fax: (202) 208-4674.

Website Address:

<http://www.fws.gov>

RELATED PROGRAMS:

15.605 Sport Fish Restoration Program; 15.608 Fish and Wildlife Management Assistance; 15.630 Coastal Program; 15.631 Partners for Fish and Wildlife; 66.708 Pollution Prevention Grants Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No new awards were funded in FY 12. Fiscal Year 2013: No new awards were funded in FY 13. Fiscal Year 2014: No new awards will be funded in FY 14.

CRITERIA FOR SELECTING PROPOSALS:

Program specific criteria is specified in the announcements of the individual financial assistance programs.

15.657 ENDANGERED SPECIES CONSERVATION RECOVERY IMPLEMENTATION FUNDS

FEDERAL AGENCY:

Fish and Wildlife Service, Department of the Interior

AUTHORIZATION:

Endangered Species Act of 1973, 16 U.S.C. 1531 et. seq, as amended; Public Law 93-205, 87 Stat. 884, Endangered Species Act Amendments of 1978, Public Law 95-632, 92 Stat. 3751, and Endangered Species Act Amendments of 1982, Public Law 97-304, 96 Stat. 1411.

OBJECTIVES:

To provide federal financial assistance to secure endangered or threatened species information, undertake restoration actions that will lead to delisting of a species; help prevent extinction of a species, or aid in the recovery of species. The purpose of the Endangered Species Act is to provide a means by which the ecosystems upon which endangered and threatened species depend may be conserved, to provide a program for the conservation of these species, and to take appropriate steps to achieve the purposes of treaties and conventions set forth in the law.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

This opportunity is limited to projects carrying out actions described in a species' approved recovery plan, in the implementation schedule of a species' approved recovery plan, actions recommended in complete 5-year status review of the species or in a spotlight species action plan, or projects documenting species' response to climate change. These are discretionary funds that are used for project purposes to help ensure the use of the best available scientific information in making management decisions. The percentage of funds set aside for discretionary activities varies each year. 100% of appropriated funds are discretionary.

Applicant Eligibility:

State and local government agencies. Institutions of higher education, including public, private state colleges and universities, nonprofits that have 501(c)(3) status with the IRS, Native American tribal organizations (other than recognized tribal governments), city, county or township governments, individuals, Native American tribal governments (federally-recognized), for-profit organizations, and small businesses.

Beneficiary Eligibility:

State and local government agencies, institutions of higher education, including public, private, state colleges and universities, nonprofits that have a 501(c)(3) status with the IRS, Native American tribal organizations (other than recognized tribal governments), city, county or township governments, individuals, Native American tribal governments (federally-recognized), for-profit organizations, and small businesses.

Credentials/Documentation:

Costs will be determined in accordance with 43 CFR Part 12, Subpart C, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments." Section 12.62 (of the common rule), identifies Federal cost principles for determining allowable cost. OMB

Circular No. A-87 applies to this program.

Preapplication Coordination:

FWS Regional and Field Office contacts can provide specific information on the amount of funding available, as well as Regional recovery priorities. We encourage you to contact the appropriate FWS Regional and Field Office contacts to discuss potential projects. If funding is available and the potential project addresses a high priority Regional recovery need, then the Regional contact will refer the potential applicant of the appropriate FWS Ecological Services Field Office for further coordination. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. The following Federal regulations apply to this program: (1) Federal Acquisition Regulation Subpart 31.2; 2 CFR Parts 215, 220, 225, 230; 43 CFR Parts 12 and 18; and 48 CFR 31. The following OMB Circulars apply to this program: OMB Circular No. A-102 and A-133. Applicant must complete the Standard Form (SF)-424, and the appropriate Budget and Assurances forms (SF-424A and SF-424B Non-construction; or SF-424C and SF-424D Construction). Applicants must also submit a project summary page, and a project description. The project description section of the application should address the evaluation factors identified in the Full Announcement posted on www.grants.gov.

Award Procedure:

Awards will be announced by August 1 of each Fiscal Year via letters sent directly to the applicants. No work may begin on a project until the appropriate legal contracting or procurement documents, and the required environmental compliance documents, have been signed by the authorized FWS official. Unsuccessful applicants will be notified either by letter, fax, email or by telephone.

Deadlines:

Jul 31, 2013 July 31, 2013.

Range of Approval/Disapproval Time:

From 120 to 180 days. Applications are due by July 2 of each year so that the proposal selection and funding process can be completed before the end of each Fiscal Year (September 30). However, you may submit applications throughout the year. Consideration of applications will ultimately be based on the FWS budget cycle. We encourage partners (especially existing partners who already have a working relationship with local FWS Field Offices) to talk to the local FWS Field Offices about possible project ideas and submittal timeframes.

Appeals:

Not Applicable.

Renewals:

> 180 Days. However, project applicants are not prohibited from submitting applications for consideration for subsequent funding for multi-year projects though there is no governmental guarantee that multiple years of the project will be funded.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The funding is for projects that can be completed within 1 year of the award date. See the following for information on how assistance is awarded/released: Funds are disbursed to recipients as requested and in accordance with the payment methods prescribed in 43 CFR Part 12, or as otherwise prescribed in program-specific legislation.

Reports:

Program reports are not applicable. No cash reports are required. Recipients of Recovery Implementation funding will be required to provide a written final report for each funded project detailing project results. If a project is funded for more than one year, the recipient will be required to provide a written, annual report at the end of each year detailing the status of each project, in addition to the final report when the project is completed. In general, OMB Circulars

A-102 Sections 40 and 41, and 2 CFR 215 specify requirements for both financial and program performance reporting. A performance report is due annually within 90 days of the anniversary of the start date of the funding award and a final report is due 90 days after the funding expiration. Award recipients may be required to complete the following financial form: Standard Form (SF)-425, Federal Financial Report. Recipients are responsible for monitoring and reporting performance each award and sub-award under this program in accordance with 43 CFR Part 12 and 2 CFR Part 170.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records must be maintained for 3 years following the submission of the final expenditure report or until final action has been taken on any litigation, claim or audit finding.

Account Identification:

14-1611-0-1-302.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 12 \$1,095,000; FY 13 est \$840,000; and FY 14 est \$0 - FY 13 funding is an estimate and we anticipate a decrease in available funding for FY 14.

Range and Average of Financial Assistance:

Varies by Region.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: We funded one project for \$1,095,000 to expand the captive breeding program of the Attwaters Prairie Chicken by establishing another dedicated Attwaters breeding facility in Oklahoma. Fiscal Year 2013: We anticipate 15 applications for grants. We expect to fund approximately three projects in FY 13. Fiscal Year 2014: We anticipate 15 applications for grants and expect to fund fewer than three projects should there be a decrease in available funding.

These numbers are based on estimates from prior years. We intend to continue to fund conservation actions including securing scientific information about endangered or threatened species, implementing restoration actions that will lead to delisting of a species, help prevent extinction of a species, or aid in the recovery of a species. Projects that address species response to climate change will receive additional consideration.

REGULATIONS, GUIDELINES, AND LITERATURE:

A table lists administrative requirements that may apply.

Regional or Local Office:

See Regional Agency Offices. A list of Regional and Field Office contacts may be accessed at 15.657 posted on grants.gov.

Headquarters Office:

Chief, Endangered Species, Division of Consultation, HCPs, Recovery and State Grants, 4401 N. Fairfax Dr., Room 420, Arlington, Virginia 22203 Phone: (703) 358-2171.

Website Address:

<http://www.fws.gov/endangered/recovery/index.html>

RELATED PROGRAMS:

15.605 Sport Fish Restoration Program; 15.611 Wildlife Restoration; 15.615 Cooperative Endangered Species Conservation Fund; 15.631 Partners for Fish and Wildlife

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: One project was funded in FY12 to expand the captive breeding program of the Attwaters Prairie Chicken by establishing another dedicated Attwaters breeding facility in Oklahoma. Fiscal Year 2013: We intend to fund similar projects in FY 2013 to contribute to the recovery of

FWS-managed endangered and threatened species in the United States. Projects that address species response to climate change receive additional consideration. Fiscal Year 2014: We intend to fund similar projects in FY 2014 to contribute to the recovery of FWS-managed endangered and threatened species in the United States. Projects that address species response to climate change receive additional consideration.

CRITERIA FOR SELECTING PROPOSALS:

This opportunity is limited to projects carrying out actions described in a species approved recovery plan, in the implementation schedule of a species approved recovery plan, actions recommended in a completed 5-year status review of the species or in a spotlight species action plan, or projects documenting species response to climate change.

15.658 NATURAL RESOURCE DAMAGE ASSESSMENT, RESTORATION AND IMPLEMENTATION (NRDAR)

FEDERAL AGENCY:

Fish and Wildlife Service, Department of the Interior

AUTHORIZATION:

Oil Pollution Act of 1990, Public Law 101-380; Federal Water Pollution Control Act, 33 U.S.C 1251-1387; Comprehensive Environmental Response, Compensation and Liability Act, 42 U.S.C 9601, et seq.; Fish and Wildlife Act of 1956, 16 U.S.C 742a-742j.

OBJECTIVES:

To restore natural resources injured by oil spills or hazardous substance releases. This is to be done by Natural Resource Trustees; seeking and identifying natural resources injured, determining the extent of the injuries, recovering damages from responsible parties, and planning and carrying out natural resource restoration activities.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Assistance is provided to individual and groups to fund assessments, implementation, recovery of damages or any related restoration activity necessary to meet the intent of the Natural Resource Damage Assessment and Restoration program. 100%.

Applicant Eligibility:

Not applicable.

Beneficiary Eligibility:

Not applicable.

Credentials/Documentation:

Not applicable. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applicant must complete the Standard Form (SF) 424, and the appropriate Budget and Assurances forms (SF 424A and SF 424B - Non-construction; or SF 424C and SF 424D - Construction) Application for Federal Assistance.

Award Procedure:

The Regional Director of the Fish and Wildlife Service or his or her designee approves or disapproves of proposed projects. Regional Offices are responsible for notifying the grantee of grant approval by the return of a completed agreement.

Deadlines:

Oct 01, 2011 to Sep 30, 2013 Open application from Oct 1, 2012-September 30, 2013.

Range of Approval/Disapproval Time:

From 30 to 60 days. Approximately 45 working days after receipt, dependent

upon the complexity of the agreement.

Appeals:

Not Applicable.

Renewals:

Project may be renewed as needed, if justified and if funds are available.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: There are no matching fund requirements, however, matching funds are encouraged to allow for additional restoration.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Project duration is between 1 and 5 years. See the following for information on how assistance is awarded/released: Funds are disbursed to recipients as requested and in accordance with the payment methods prescribed in 43 CFR Part 12, or as otherwise prescribed in program-specific legislation.

Reports:

Program reports are not applicable. Cash reports are not applicable. A performance report is required for each grant award annually within 90 days after the anniversary date. A final performance report is due within 90 days of the end of the award period of performance. Submission of payment requests using the SF-270, Request for Advance or Reimbursement (Non-Construction) or SF-271, Outlay Report and Request for Reimbursement (Construction) satisfies interim financial reporting requirements. A final SF-425, Federal Financial Report is required 90 days from the end date of the award. This form can be accessed on the Internet at

http://www.whitehouse.gov/omb/grants_forms/. For additional information regarding financial reporting requirements and sanctions for noncompliance please see

http://www.doi.gov/pam/financialassistance/postaward/docs/Policy_regarding_Fin_Status_Reporting_Req.pdf. Financial and performance reporting frequency and other requirements will be detailed in the award terms and conditions.

Interim reports will not be requested more frequently than quarterly, and no less frequently than annually. Interim reports are due 30 calendar days after the expiration of the quarter/midterm/year anniversary, as appropriate. Final reports are required within 90 calendar days from the end date of the award.

A final performance report is required within 90 calendar days from the end date of the award. Performance reports must contain a comparison of actual accomplishments with the goals and objectives of the award as detailed in the approved scope of work, a description of reasons why established goals were not met, if appropriate, and any other pertinent information relevant to the project results.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Cost records must be maintained separately for each awarded grant. Records, accounts and supporting documents must be retained for 3 years after submission of final financial and performance reports.

Account Identification:

14-1611-0-1-302; 14-1618-0-1-302; 14-5198-1-4-303 - Recovered.

Obligations:

(Project Grants (Discretionary)) FY 12 \$5,033,327; FY 13 est \$5,033,327; and FY 14 est \$5,033,327 - FY13 and FY14 amounts are estimates based on prior year funding levels only.

Range and Average of Financial Assistance:

Projects may range from \$1,000 to \$1,000,000 or greater.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Enhanced/Restored: 5,084 acres and 62 riparian/shoreline miles.

Managed/Protected 92,720 acres and 291 riparian/shoreline miles. Made available for recreation: 57,388 acres and 408 riparian/shoreline miles. Fiscal Year 2013: The program anticipates to: Enhance/Restore: 5,084 acres and 62 riparian/shoreline miles. Manage/Protect 92,720 acres and 291 riparian/shoreline miles. Make available for recreation: 57,388 acres and 408 riparian/shoreline miles. Fiscal Year 2014: The program anticipates to: Enhance/Restore: 5,084 acres and 62 riparian/shoreline miles. Manage/Protect 92,720 acres and 291 riparian/shoreline miles. Make available for recreation: 57,388 acres and 408 riparian/shoreline miles.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices. Please see www.fws.gov to locate Regional and Local Office Contacts.

Headquarters Office:

Chief, Division of Environmental Quality U.S. Fish and Wildlife Service, 4401 North Fairfax Drive, Rm. 820, Arlington, Virginia 22203 Phone: (703) 358-2171

Website Address:

<http://www.fws.gov/contaminants/Issues/Restoration.cfm>

RELATED PROGRAMS:

15.236 Environmental Quality and Protection Resource Management; 66.309 Surveys, Studies, Investigations and Special Purpose Activities Relating to Environmental Justice; 66.708 Pollution Prevention Grants Program; 66.802 Superfund State, Political Subdivision, and Indian Tribe Site_Specific Cooperative Agreements; 66.806 Superfund Technical Assistance Grants (TAG) for Community Groups at National Priority List (NPL) Sites; 66.810 Chemical Emergency Preparedness and Prevention (CEPP) Technical Assistance Grants Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Project to conduct restoration of Freshwater Mussel Populations in the Upper Clinch River Watershed; Acquired the 293 acre Bowen Tract along the Clinch River; Bald Eagle restoration and outreach on the Catalina Islands. Fiscal Year 2013: Program has not yet selected projects for funding. Program anticipates funding projects that restore trust species and their habitats to compensate for injuries from contaminant releases and oil spills. Fiscal Year 2014: Program has not yet selected projects for funding. Program anticipates funding projects that restore trust species and their habitats to compensate for injuries from contaminant releases and oil spills.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

15.659 NATIONAL WILDLIFE REFUGE FUND

Refuge Revenue Sharing

FEDERAL AGENCY:

Fish and Wildlife Service, Department of the Interior

AUTHORIZATION:

Refuge Revenue Sharing Act, Public Law 88-523, 78 Stat. 701, 16 U.S.C 715s; Refuge Revenue Sharing Act of 1978, Public Law 95-469, 92 Stat. 1319.

OBJECTIVES:

To provide revenue sharing payments to local governments to offset tax losses for fee and withdrawn domain lands within the approved acquisition boundaries, using revenues derived from the sale of products from National Wildlife Refuges and other fee acquisition lands administered solely or primarily by the Service including public domain lands. Congress may appropriate funds to cover any shortfall for payment to counties.

TYPES OF ASSISTANCE:

Direct Payments with Unrestricted Use

USES AND USE RESTRICTIONS:

Counties may use the funds for any governmental purpose and may pass the funds to lesser units of local government within the county that experience a

reduction of real property taxes as a result of the existence of fee and withdrawn domain lands. 100%.

Applicant Eligibility:

Natural Resources (mineral, water, wildlife, land).

Beneficiary Eligibility:

Intrastate, State, Local.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

Award procedure is available in the grants.gov announcement.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: Payments under the Act are made on the basis of: (a) 3/4 of 1 percent of the market value based on the appraised value of the land conducted every 5 years; or (b) 25 percent of the net receipts; or (c) 75 cents per acre. Payment of 25 percent of the net receipts is made to counties for public domain land that was never on the tax rolls. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Not applicable. See the following for information on how assistance is awarded/released: Funds are disbursed to recipients as requested and in accordance with the payment methods prescribed in 43 CFR Part 12, or as otherwise prescribed in program-specific legislation.

Reports:

Not Applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Recipients shall maintain records in accordance with 43 CFR 12.82 and 12.953.

Account Identification:

14-5091-0-2-806; 14-1691-0-1-806.

Obligations:

(Direct Payments with Unrestricted Use) FY 12 \$3,000,000; FY 13 est \$3,000,000; and FY 14 est \$3,000,000

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: In FY12 the program anticipates to receive 10 applications and issuing 3 awards; Accomplishments include invasive plant control, Ducks Unlimited, Humbolt Botanical Gardens, River Management. Fiscal Year 2013: In FY13 the program anticipates to receive 8 applications and issue approximately 5 awards. Fiscal Year 2014: Program has not yet selected projects for funding.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Eric Alvarez, Fish and Wildlife Service, National Wildlife Refuge System, 4401 N. Fairfax Drive, Arlington, Virginia 22203 Email: a_eric_alvarez@fws.gov Phone: (703) 358-1713 Fax: (703) 358-2223.

Website Address:

<http://www.fws.gov>

RELATED PROGRAMS:

15.226 Payments in Lieu of Taxes

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Program anticipates funding projects that provide revenue sharing to offset tax losses for fee and withdrawn domain lands within the approved acquisition boundaries, using revenues derived from the sale of products from National Wildlife Refuges and other fee acquisition lands administered solely or primarily by the Service including public domain lands. Congress may appropriate funds to cover any shortfall for payment to counties. Fiscal Year 2013: Program anticipates funding projects that provide revenue sharing to offset tax losses for fee and withdrawn domain lands within the approved acquisition boundaries, using revenues derived from the sale of products from National Wildlife Refuges and other fee acquisition lands administered solely or primarily by the Service including public domain lands. Congress may appropriate funds to cover any shortfall for payment to counties. Fiscal Year 2014: Program has not yet selected projects for funding.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

15.660 ENDANGERED SPECIES - CANDIDATE CONSERVATION ACTION FUNDS

FEDERAL AGENCY:

Fish and Wildlife Service, Department of the Interior

AUTHORIZATION:

Endangered Species Act of 1973, as amended., Public Law 93-205, 87 Stat. 884, 16 U.S.C 1531 et. seq.; Land and Water Conservation Fund Act of 1965, 16 U.S.C 4601.

OBJECTIVES:

The objective is to secure candidate and other at-risk species information or undertake restoration actions that will help avert federal listing of species. The purpose is to provide a means by which the ecosystems upon which candidate and at-risk species depend may be conserved, to provide a program for the conservation of these species.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

The assistance can be used for Candidate Conservation. Funding maybe used for actions related to: (1) the development of scientific information that is useful in supporting candidate assessments, conservation actions and candidate conservation agreements; (2) reduce threats to a candidate and other high priority species, such as propagation of imperiled freshwater mussels; or (3) conservation of candidate species, such as biological field work to conduct species surveys; or (4) in a spotlight species action plan, or projects documenting species' response to climate change. These are discretionary funds that are used for project purposes to help ensure the use of the best available scientific information in making management decisions. The percentage of funds set aside for discretionary activities varies each year. An estimated ten

percent of the total funds are available as discretionary grants.

Applicant Eligibility:

Natural Resources.

Beneficiary Eligibility:

Anyone/general public.

Credentials/Documentation:

Cost will be determined in accordance with 43 CFR Part 12, Subpart C, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments." Section 12.62 (of the common rule), identifies Federal cost principles for determining allowable costs. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

FWS Regional Field Office contacts can provide specific information on the amount of funding available, as well as Regional recovery priorities. We encourage you to contact the appropriate FWS Regional and Field Office contacts to discuss potential projects. If funding is available and the potential project address a high priority Regional recovery need, then the Regional contact will refer the potential applicant of the appropriate FWS Ecological Services Field Office for further coordination. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. The following Federal regulations apply to this program: (1) Federal Acquisition Regulation Subpart 31.2; 2 CFR Parts 215, 220, 225, 230; 43 CFR Parts 12 and 18; and 48 CFR 31. The following OMB Circulars apply to this program: OMB Circular No. A-102 and A-133. Applicant must complete the Standard Form (SF) 424, and the appropriate Budget and Assurances Forms (SF 424A and SF 424B - Non-construction; or SF 424C and SF 424D - Construction). Applicants must also submit a project summary page, and a project description. The project description section of the application should address the evaluation factors identified in the Full Announcement posted on www.grants.gov.

Award Procedure:

Awards will be announced by August 1 of each Fiscal year via letters sent directly to the applicants. No work may begin on a project until the appropriate legal contracting or procurement documents, and the required environmental compliance documents, have been signed by the authorized FWS official. Unsuccessful applicants will be notified either by letter, fax, email or by telephone.

Deadlines:

Jul 31, 2013 July 31, 2013.

Range of Approval/Disapproval Time:

From 120 to 180 days.

Appeals:

Not Applicable.

Renewals:

None, however project applicants are not prohibited from submitting applications for consideration for subsequent funding for multi-year projects though there is no governmental guarantee that multiple years of the project will be funded.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The funding is for projects that can be completed within one year of the date of award. See the following for information on how assistance is awarded/released: Funds are disbursed to recipients as requested and in accordance with the payment methods prescribed in 43 CFR Part 12, or as otherwise prescribed in program-specific legislation.

Reports:

Program reports are not applicable. Cash reports are not applicable. Recipients of Candidate Conservation Action funding will be required to provide a written final report for each funded project detailing project results. If a project is funded for more than one year, the recipient will be required to provide a written, annual report at the end of each year detailing the status of each project, in addition to the final report when the project is completed. In general, OMB circulars A-102 40 and 41, and 2 CFR 215 specify requirements for both financial and program performance reporting. A performance report is due annually within 90 days of the anniversary of the start date of the funding award and a final report is due 90 days after the funding expiration. Funding recipients may be required to complete the following financial form: SF-425, Federal Financial Report. A performance report is due annually within 90 days of the anniversary of the start date of the funding award and a final report is due 90 days after the funding expiration.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records must be maintained for 3 years following the submission of the final expenditure report or until final action has been taken on any litigation, claim or audit finding.

Account Identification:

14-1611-0-1-302.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 12 \$714,000; FY 13 est \$600,000; and FY 14 est \$600,000 - This funding opportunity uses U.S. Fish and Wildlife Service Regional and Field Office discretionary funding, if available. The amount of actual funding available in each Regional and Field Office will vary from year to year. Funding may not be available every year in each Regional or Field Office. If funding is available, it may be obligated on a per-project basis, rather than by fiscal year.

Range and Average of Financial Assistance:

Varies by Region.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: 36 projects were funded across the country utilizing \$714,000 in grant funds. Based on estimated funding available in FY 2012. Assuming the average grant amount remains consistent, we expect to be able to fund an estimated 30 projects for similar project activities in each of the next two fiscal years. Fiscal Year 2013: 36 projects were funded across the country utilizing \$714,000 in grant funds. Based on estimated funding available in FY 2013. Assuming the average grant amount remains consistent, we expect to be able to fund an estimated 30 projects for similar project activities in each of the next two fiscal years. Fiscal Year 2014: Program does not have information.

REGULATIONS, GUIDELINES, AND LITERATURE:

A table lists administrative requirements that may apply.

Regional or Local Office:

See Regional Agency Offices. A list of Regional and Field Office contacts may be accessed at 15.660 posted on grants.gov.

Headquarters Office:

Chief, Endangered Species Division of Conservation and Classification, 4401 N. Fairfax Drive, Room 420, Arlington, Virginia 22203 Phone: (703) 358-2171.

Website Address:

No Data Available

RELATED PROGRAMS:

15.615 Cooperative Endangered Species Conservation Fund; 15.631 Partners for Fish and Wildlife

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Examples of the 36 projects funded in FY2012 include

surveys, monitoring, and translocation of candidate species such as the Louisiana pine snake. Funding was also awarded to projects to study the distribution and threat assessment of 14 species of crayfish proposed for listing. Fiscal Year 2013: Similar types of projects that secure candidate and other at-risk species information or undertake restoration actions that will help avert federal listing of species are expected to be awarded in FY 13. Fiscal Year 2014: Similar types of projects that secure candidate and other at-risk species information or undertake restoration actions that will help avert federal listing of species are expected to be awarded in FY 14.

CRITERIA FOR SELECTING PROPOSALS:

This opportunity is limited to projects carrying out actions for candidate species describe in annual assessment; or for at-risk species in a conservation plan or strategy; or in a spotlight species action plan; or projects documenting species' response to climate change.

15.661 LOWER SNAKE RIVER COMPENSATION PLAN (LSRCP)

FEDERAL AGENCY:

Fish and Wildlife Service, Department of the Interior

AUTHORIZATION:

Fish and Wildlife Coordination Act , 16 U.S.C 661-666; Water Resources Development Act of 1976, Public Law 94-587.

OBJECTIVES:

To mitigate for the losses of fish and wildlife caused by the construction of four hydroelectric dams on the lower Snake River by the U.S. Army Corps of Engineers.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

To operate and maintain LSRCP authorized fish hatcheries owned by the U.S. Fish and Wildlife Service, to evaluate survival and harvest of fish released from LSRCP hatcheries, to assess impacts of LSRCP hatcheries and fish released on Endangered Species Act (ESA) listed species and to coordinate LSRCP programs with other fish hatchery and research programs in the Columbia Basin. Non-LSRCP activities at a Service owned facility must have written approval.

Applicant Eligibility:

56 - Natural Resources (mineral, water, wildlife, land).

Beneficiary Eligibility:

39 - Anyone/general public Anyone/general public; 49 - Farmer/Rancher/Agriculture Producer.

Credentials/Documentation:

Applicants must have legal authority to operate fish hatcheries and release fish in the states of Oregon, Washington and Idaho and demonstrated competence to operate fish hatcheries and conduct scientific research. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Application package must include an SF 424 Application for Federal Assistance, a statement of work or production summary, and an estimated budget.

Award Procedure:

Applications are evaluated by the LSRCP Program Administrator based on authorizing legislation and program goals. The Assistant Regional Director for Fisheries in the Specific Region approves all cooperative agreements.

Deadlines:

Jun 01, 2013 Applications must be submitted by June 1 each year.

Range of Approval/Disapproval Time:

Applications must be submitted by June 1 each year. Applicant will be notified no later than July 31.

Appeals:

Not Applicable.

Renewals:

Must be submitted each year by June 1.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The LSRCP projects are approved annually. See the following for information on how assistance is awarded/released: Funds are disbursed to recipients as requested and in accordance with the payment methods prescribed in 43 CFR Part 12, or as otherwise prescribed in program-specific legislation.

Reports:

Program reports are not applicable. Cash reports are not applicable. A final performance report is required 90 calendar days from the end date of the award. No interim SF-425, Federal Financial Reports are required. A final SF-425, Federal Financial Report is required 90 days from the end date of the award. Recipients are responsible for monitoring and reporting performance each award and sub-award under this program in accordance with 43 CFR Part 12 and 2 CFR Part 170.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

All files will be maintained for 3 years after the completion of the project and submission of the final financial and performance reports.

Account Identification:

14-1611-0-1-302.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 12 \$27,800,000; FY 13 est \$27,880,000; and FY 14 est \$31,000,000 - FY13 and 14 amounts are estimates based on prior year funding levels.

Range and Average of Financial Assistance:

Range \$260,370 to \$4,893,740.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Fish hatcheries released fish into the Snake Basin. Recreational, tribal or commercial fisherman harvest tracking to confirm if they are returning adult salmon and steelhead released from LSRCP hatcheries in Southeast Alaska, British Columbia, the Columbia River and Snake River. Rainbow trout produced by LSRCP hatcheries to be harvested in lakes, rivers and ponds in Idaho and Washington. Fiscal Year 2013: The program anticipates to receive 11 applications and issue 11 awards to accomplish the same activities in FY12. Fiscal Year 2014: Anticipate to receive 11 applications and issue 11 awards.

REGULATIONS, GUIDELINES, AND LITERATURE:

The program is operated under the authority of the Water Resources Development Act of 1976. Reports and publications are published on the web at <http://www.fws.gov/lsnakecomplan>.

Regional or Local Office:

See Regional Agency Offices. All inquires should be directed to the local program office. Local Office: U.S. Fish and Wildlife Service, Lower Snake River Compensation Plan Office, 1387 S. Vinnell Way, Suite 343, Boise, ID 83709, (208)378-5321.

Headquarters Office:

FWS Regional Office, U.S. Fish & Wildlife Service, 911 NE 11th Avenue, Portland, Oregon 97232-4181 Phone: (503)231-2763

Website Address:

<http://www.fws.gov/lsnakecomplan>

RELATED PROGRAMS:

15.605 Sport Fish Restoration Program; 15.608 Fish and Wildlife Management Assistance

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Projects did or will support operation and maintenance of fish hatcheries, juvenile salmon and steelhead release programs, and monitoring and evaluation of survival and harvest rates. Fiscal Year 2013: Projects did or will support operation and maintenance of fish hatcheries, juvenile salmon and steelhead release programs, and monitoring and evaluation of survival and harvest rates. Fiscal Year 2014: Projects did or will support operation and maintenance of fish hatcheries, juvenile salmon and steelhead release programs, and monitoring and evaluation of survival and harvest rates.

CRITERIA FOR SELECTING PROPOSALS:

Past program performance which demonstrated competency to fulfill program objectives.

15.662 GREAT LAKES RESTORATION

Great Lakes Restoration Initiative; Great Lakes Restoration Program

FEDERAL AGENCY:

Fish and Wildlife Service, Department of the Interior

AUTHORIZATION:

Anadromous Fish Conservation Act (16 U.S.C. 757a); Bald Eagle Protection Act (16 U.S.C. 668); Coastal Wetlands Planning, Protection and Restoration Act (16 U.S.C. 3951); Clean Water Act (33 U.S.C. 1254(b)(3)); Comprehensive Environmental Response, Compensation, and Liability Act (42 U.S.C. 103); Emergency Wetlands Resources Act (16 U.S.C. 3901); Endangered Species Act (16 U.S.C. 1561); Fish and Wildlife Act (16 U.S.C. 742a); Fish and Wildlife Conservation Act (16 U.S.C. 2901); Fish and Wildlife Coordination Act (16 U.S.C. 661) Great Lakes Fish and Wildlife Restoration Act (Public Law 109-326); Great Lakes Fishery Act (16 U.S.C. 931); Lacey Act (16 U.S.C. 3371-3378); Migratory Bird Treaty Act (16 U.S.C. 703); Non-indigenous Aquatic Nuisance Prevention and Control Act (16 U.S.C. 4701); North American Wetlands Conservation Act (16 U.S.C. 4401); Partners for Fish and Wildlife Act (16 U.S.C. 3771).

OBJECTIVES:

To provide technical and financial assistance to implement the highest priority actions in order to protect and restore the Great Lakes. Priority actions are to: identify, protect, conserve, manage, enhance or restore habitat or species on both public and private lands within the Great Lakes Basin.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

This funding, in conjunction with other Great Lakes federal agency funding opportunities under the Great Lakes Restoration Program, will be used to address the most significant Great Lakes Basin ecosystem problems. Efforts will be made in five major focus areas:

Toxic Substances and Areas of Concern, including pollution prevention and cleanup of the most polluted areas in the Great Lakes.

Invasive Species, including efforts to institute a zero tolerance policy toward new invasions.

Nearshore Health and Nonpoint Source Pollution, including a targeted geographic focus on high priority watersheds and reducing polluted runoff from urban, suburban and agricultural sources.

Habitat and Wildlife Protection and Restoration, including comprehensive assessment of Great Lakes coastal wetlands for the purpose of strategically targeting restoration and protection efforts in a science-based manner.

Accountability, Education, Monitoring, Evaluation, Communication and Partnerships, including the implementation of goal- and results-based accountability measures, learning initiatives, outreach and strategic partnerships. 100%. (All).

Applicant Eligibility:

Program-specific.

Beneficiary Eligibility:

Program-specific.

Credentials/Documentation:

43 CFR Part 12 establishes administrative and audit requirements for assistance awarded by bureaus of the U.S. Department of Interior. 43 CFR 2 also applies to this program. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is program-specific. Refer to Application Procedure (092) and Award Procedure (093) sections.

Some programs may require an environmental impact statement. Refer to Application Procedure (092) and Award Procedure (093) sections.

Some programs may require an environmental impact statement. Refer to Application Procedure (092) and Award Procedure (093) sections. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. The application procedures are specified on www.grants.gov. At minimum, the applicant must submit a project proposal along with the completed SF 424 Application for Federal Assistance.

An applicant must complete the Standard Form (SF) 424, and the appropriate Budget and Assurances forms (SF 424A and SF 424B Non-construction; or SF 424C and SF 424D Construction).

Award Procedure:

Program requirements are specified in the announcements of the individual financial assistance programs. More information on Great Lakes Restoration Initiative programs is available at <http://www.fws.gov/glri/>.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: Program requirements are specified in the announcements of the individual financial assistance programs. More information on Great Lakes Restoration Initiative programs is available at <http://www.fws.gov/glri>.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The Great Lakes Restoration Initiative places restrictions on the time permitted to spend the funds awarded. The Regional Office Contact Person can further explain the restrictions placed on the time period in which to fully expend the funds awarded under the project. See the following for information on how assistance is awarded/released: Funds are disbursed to recipients as requested and in accordance with the payment methods prescribed in 43 CFR Part 12, or as otherwise prescribed in program-specific legislation.

Reports:

Program reports are not applicable. Cash reports are not applicable. Projects funded under the Great Lakes Restoration Initiative will have performance and financial reporting requirements as described in the award terms and conditions and announcement packages available on www.grants.gov and www.greatlakesrestoration.us. At a minimum, the recipient is required to

submit a final SF-425, Federal Financial Report within 90 days of the end of the award period of performance. Projects funded under the Great Lakes Restoration Initiative will have additional performance reporting requirements as described in the award terms and conditions; and announcement packages available on www.grants.gov and www.greatlakesrestoration.us.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Cost records must be maintained separately for each awarded cooperative agreement. Records, accounts and supporting documents must be retained for 3 years after submission of final financial and performance reports. If questions, such as those raised as the results of audits, remain following the 3-year period, recipients must retain records until all matters are resolved.

Account Identification:

14-1611-0-1-302.

Obligations:

(Project Grants (Discretionary)) FY 12 \$44,000,000; FY 13 est \$41,000,000; and FY 14 est \$40,000,000

Range and Average of Financial Assistance:

Projects may range from \$1,000 to \$1,000,000, or greater.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: More than 80 competitive grants and cooperative agreements awarded to non-federal partners under various sub programs. Fiscal Year 2013: Projects not yet selected for funding. Anticipate continuing to fund projects to address aquatic invasive species, environmental contaminants, and protection and restoration of fish and wildlife and habitats in the Great Lakes. Fiscal Year 2014: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices. Please visit <http://www.fws.gov/glri> for appropriate Regional Office Great Lakes program contacts, or visit www.fws.gov/midwest.

Headquarters Office:

U.S. Fish and Wildlife Service Department of the Interior, 1849 C Street, N.W., Washington, District of Columbia 20240 Phone: 202-208-6394 Fax: 202-208-4674

Website Address:

<http://www.fws.gov/glri>

RELATED PROGRAMS:

15.608 Fish and Wildlife Management Assistance; 15.630 Coastal Program; 15.631 Partners for Fish and Wildlife

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Restoring Coastal Habitat for the Federally-Endangered Piping Plover; Restoring River Connectivity: Removal of Lyons Dam on the Grand River (Michigan); Monitoring and spatial mapping of migratory bird and bat habitat use along Great Lakes Coasts; Implementation of habitat restoration in conjunction with streamside rearing for Great Lakes lake sturgeon. Fiscal Year 2013: Program has not yet selected projects for funding. Program anticipates funding projects that contribute to the protection and restoration of fish and wildlife habitat and species in the Great Lakes basin. Funded activities will directly address the goals and objectives identified in the Great Lakes Restoration Initiative Action Plan, www.glri.us. Fiscal Year 2014: Program has not yet selected projects for funding. Program anticipates funding projects that contribute to the protection and restoration of fish and wildlife habitat and species in the Great Lakes basin. Funded activities will directly address the goals and objectives identified in the Great Lakes Restoration Initiative Action

Plan, www.gfri.us.

CRITERIA FOR SELECTING PROPOSALS:

Program criteria are specified in the announcements of the individual financial assistance programs.

15.663 NATIONAL FISH AND WILDLIFE FOUNDATION

(NFWF)

FEDERAL AGENCY:

Fish and Wildlife Service, Department of the Interior

AUTHORIZATION:

National Fish and Wildlife Foundation Establishment Act (16 U.S.C. 3701-3709, Public Law 98-244, March 26, 1984, 98 Stat. 107, as amended by Public Law 100-240, January 11, 1988, 101 Stat. 1785, Public Law 100-653, November 14, 1988, 102 Stat. 3834; and Public Law 103-232, 108 Stat. 336).

OBJECTIVES:

Created by Congress in 1984, NFWF directs public conservation dollars to the most pressing environmental needs and matches those investments with private funds. NFWFs method is simple and effective: to work with a full complement of individuals, foundations, government agencies, non-profits, and corporations to identify and fund targeted conservation challenges throughout the nation where a focused investment is likely to result in a conservation success.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

To aid cost-share conservation projects to further the conservation and management of the fish, wildlife, and plant resources of the United States and its territories and possessions for present and future generations of Americans. Funds must be matched on a one-to-one basis by NFWF sub-recipients.

Applicant Eligibility:

Specified in the National Fish and Wildlife Foundation Establishment Act.

Beneficiary Eligibility:

Beneficiaries include fish, wildlife and their habitats, the American people and, where applicable, international partners, wildlife and natural resources.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

None.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

None.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: There are no matching requirements for Federal dollars transferred to NFWF. The National Fish and Wildlife Foundation Establishment Act (Public Law 98-244) requires a one-to-one (1:1) match for subrecipients.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Payments are made during the fiscal year on an annual basis. See the following for information on how assistance is awarded/released: Funds are disbursed to recipients as requested and in accordance with the payment methods prescribed in 43 CFR Part 12, or as otherwise prescribed in program-specific legislation.

Reports:

Program reports are not applicable. Cash reports are not applicable. The U.S. Fish and Wildlife Service program manager conducts monitoring activities to track accomplishments. NFWF provides the Service with annual reports on projects as well as completed SF-425 forms (Federal Financial Report). Recipients are responsible for monitoring and reporting performance each award and sub-award under this program in accordance with 43 CFR Part 12 and 2 CFR Part 170.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

NFWF maintains records in accordance with 43CFR12(3) (i.e. three years after submission of the final financial and performance reports).

Account Identification:

14-1611-0-1-302.

Obligations:

(Project Grants) FY 12 \$7,525,000; FY 13 est \$7,525,000; and FY 14 est \$7,525,000

Range and Average of Financial Assistance:

Not Applicable.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: With Federal dollars appropriated to the U.S. Fish and Wildlife Service and passed through to NFWF, NFWF has supported a total of more than 3,800 grants among 1,865 conservation partners, leveraging more than \$180 million in Service funds into \$665 million for projects benefitting conservation in all 50 States. This appropriation does not support the Foundations administrative expenses, and all of the monies are targeted to on-the-ground conservation. In FY12, NFWF awarded 145 grants leveraging over \$7.6 million of funds appropriated through the U.S. Fish and Wildlife Service into over \$60 million in total project funds. NFWF awarded grants primarily to support the work of the organizations Keystone Initiatives, which focus on Bird Conservation, Fish Conservation, Marine and Coastal Conservation, and Wildlife and Habitat Conservation. Fiscal Year 2013: Using anticipated funding appropriated through the U.S. Fish and Wildlife Service, NFWF is expected to award grants primarily to support the work of the organizations Keystone Initiatives, which focus on Bird Conservation, Fish Conservation, Marine and Coastal Conservation, and Wildlife and Habitat Conservation. Fiscal Year 2014: Using anticipated funding appropriated through the U.S. Fish and Wildlife Service, NFWF is expected to award grants primarily to support the work of the organizations Keystone Initiatives, which focus on Bird Conservation, Fish Conservation, Marine and Coastal Conservation, and Wildlife and Habitat Conservation.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices. N/A.

Headquarters Office:

Laury Parramore, Fish and Wildlife Service, Division of Program and Partnership Support, 4401 N. Fairfax Drive, MS-AEA-3013

, Arlington, Virginia 22203 Phone: (703) 358-2541.

Website Address:

[http://Laury_Parramore@fws.gov](mailto:Laury_Parramore@fws.gov)

RELATED PROGRAMS:

10.683 National Fish and Wildlife Foundation

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: NFWF awarded grants primarily to support the work of the organizations Keystone Initiatives, which focus on Bird Conservation, Fish Conservation, Marine and Coastal Conservation, and Wildlife and Habitat. In 2012, the NFWF worked with the Service to implement strategic funding plans for each Keystone Initiative. The Wildlife and Habitat Initiative focuses on a landscape approach with a particular emphasis on developing sustainable solutions to energy development, improving wildlife corridors, addressing the impacts of climate change, and recovering select spotlight wildlife populations. The Fish Initiative focuses on the implementation of the National Fish Habitat Initiative through targeted investments addressing Eastern brook trout, Colorado native fish, and select diadromous fish. The Bird Initiative focuses on the recovery of targeted bird species/habitats and is closely correlated with the goals of the Services migratory bird activities. The Marine and Coastal Initiative focuses on targeted estuary programs and programs focused on sea turtles, corals and other species of mutual concern. Fiscal Year 2013: NFWF is expected to award grants primarily to support the work of the organizations Keystone Initiatives, which focus on Bird Conservation, Fish Conservation, Marine and Coastal Conservation, and Wildlife and Habitat. In 2013, the NFWF will work with the Service to continue implementing the strategic funding plans developed for each Keystone Initiative. The Wildlife and Habitat Initiative will focus on a landscape approach with a particular emphasis on developing sustainable solutions to energy development, improving wildlife corridors, addressing the impacts of environmental stressors, and recovering select spotlight wildlife populations. The Fish Initiative will focus on the implementation of the National Fish Habitat Action Plan through targeted investments addressing Eastern brook trout, Lahontan cutthroat trout, and select diadromous fish. The Bird Initiative will focus on the recovery of targeted bird species/habitats such as lesser prairie chickens, sea birds, and early successional forest-dependent species. The Marine and Coastal Initiative will focus on targeted estuary programs and programs focused on sea turtles, corals and other species of mutual concern. Fiscal Year 2014: NFWF is expected to award grants primarily to support the work of the organizations Keystone Initiatives, which focus on Bird Conservation, Fish Conservation, Marine and Coastal Conservation, and Wildlife and Habitat. NFWF is expected to work with the Service to continue implementing strategic funding plans developed for each Keystone Initiative. The Wildlife and Habitat Initiative will focus on a landscape approach with a particular emphasis on developing sustainable solutions to energy development, improving wildlife corridors, addressing the impacts of environmental stressors, and recovering select spotlight wildlife populations. The Fish Initiative will focus on implementation of the National Fish Habitat Action Plan through targeted investments addressing Eastern brook trout, Lahontan cutthroat trout, and select diadromous fish. The Bird Initiative will focus on the recovery of targeted bird species/habitats such as lesser prairie chickens, Gunnison sage grouse, sea birds, and early successional forest-dependent species. The Marine and Coastal Initiative will focus on targeted estuary programs and programs focused on sea turtles, corals and other species of mutual concern.

CRITERIA FOR SELECTING PROPOSALS:

All final proposal decisions are made by NFWFs Board of Directors per Public Law 98-244. See <http://www.nfwf.org/>.

15.664 FISH AND WILDLIFE COORDINATION AND ASSISTANCE PROGRAMS

(FWCA)

FEDERAL AGENCY:

Fish and Wildlife Service, Department of the Interior

AUTHORIZATION:

Legislative authority based on U. S. Fish and Wildlife Coordination Act of 1958, 16 U.S.C. 661-666; Fish and Wildlife Act of 1956, as amended, 16 U.S.C. 742a; Fish and Wildlife Conservation Act, 16 U.S.C. 2901-2911; and/or specific Congressional action, generally through the annual Appropriations Act,

i.e., The Department of the Interior, Environment, and Related Agencies Appropriations Act, that cites new or relevant environmental and/or conservation statutes and activities for a defined purpose consistent with the mission, expertise, and goals of the Agency.

OBJECTIVES:

To implement: a) legislation mandating specific conservation and/or environmental project activity(ies), including, but not limited to, financial assistance funding for special appropriations projects to a designated recipient(s); and/or b) unfunded Congressional mandates, i.e., funding limited to costs associated with actual program/project implementation, not administrative costs.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

To fulfill the intent of Congress as specified in legislation authorizing the Agency to obligate and manage financial assistance funds; special appropriations projects; and awards for purposes consistent with the mission, expertise, and goals of the Agency. 100%.

Applicant Eligibility:

Eligibility is limited to entity(ies) designated by the authorizing legislation.

Beneficiary Eligibility:

The general public benefits from the conservation and environmental efforts as identified by the authorizing legislation.

Credentials/Documentation:

Applicant(s) must have financial and internal controls systems in place to manage/segregate Federal funds and report expenditures under the terms and conditions of the award(s). This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Any applicable pre-application coordination or environmental impact information requirements will be detailed in the funding opportunities posted under this program on Grants.gov (<http://www.grants.gov>). An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. At a minimum, an application package must include an Application for Federal Assistance (SF-424), a description of project activity(ies), an estimated budget, and relevant and signed Assurances forms (SF 424A and SF 424B Non-construction; or SF 424C and SF 424D Construction).

Award Procedure:

Notification of an award is the issuance of a grant or cooperative agreement document by Awarding Official.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Deadlines will vary, and will be specified in the funding opportunities posted under this program on Grants.gov (<http://www.grants.gov>).

Appeals:

None.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: Statutory formulas and/or matching requirements may be applicable to activities funded under this CFDA. Any formulas or matching requirements will be specified in the funding opportunities posted under this program on Grants.gov.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Varies by activity being funded, but typically funds are awarded for projects with a one year period of performance. See the following for information on how assistance is awarded/released: Funds are disbursed to recipients as requested and in accordance with the payment methods prescribed in 43 CFR Part 12, or as otherwise prescribed in program-specific legislation.

Reports:

Program reports are not applicable. Cash reports are not applicable. Financial and performance reporting frequency and other requirements will be detailed in the award terms and conditions. Interim reports will not be requested more frequently than quarterly, and no less frequently than annually. Interim reports are due 30 calendar days after the expiration of the quarter/midterm/year anniversary, as appropriate. Final reports are required within 90 calendar days from the end date of the award. Submission of payment requests using the SF-270, Request for Advance or Reimbursement (Non-Construction) or SF-271, Outlay Report and Request for Reimbursement (Construction) satisfies interim financial reporting requirements. A final SF-425, Federal Financial Report is required 90 days from the end date of the award. This form can be accessed on the Internet at http://www.whitehouse.gov/omb/grants_forms/. For additional information regarding financial reporting requirements and sanctions for noncompliance please see http://www.doi.gov/pam/financialassistance/postaward/docs/Policy_regarding_Fin_Status_Reporting_Req.pdf. A final performance report is required within 90 calendar days from the end date of the award. Performance reports must contain a comparison of actual accomplishments with the goals and objectives of the award as detailed in the approved scope of work, a description of reasons why established goals were not met, if appropriate, and any other pertinent information relevant to the project results.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Recipients will maintain records in accordance with the provisions of 43 CFR Part 12, Subpart C, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments and 43 CFR Part 12, Subpart F, "Uniform Administrative Requirements for Grants and Agreements With Institutions of Higher Education, Hospitals, and Other Nonprofit Organizations."

Account Identification:

14-1611-0-1-302.

Obligations:

(Project Grants (Discretionary)) FY 12 \$970,000; FY 13 est \$150,000; and FY 14 est \$150,000

Range and Average of Financial Assistance:

Range and average varies by program activity.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: FWS supported participation and coordination for projects related to restoration of natural resources, survey of wildlife habitat and mitigation of climate change. Fiscal Year 2013: FWS will continue to award funding to recipients of special appropriations projects as mandated by Congress. Fiscal Year 2014: (anticipated): FWS will continue to award funding to recipients of special appropriations projects as mandated by Congress.

REGULATIONS, GUIDELINES, AND LITERATURE:

Acceptance of a Federal Financial Assistance award from the Department of the Interior (DOI) carries with it the responsibility to be aware of and comply with the terms and conditions of award per <http://www.doi.gov/pam/TermsandConditions.html>.

Regional or Local Office:

See Regional Agency Offices. Contact Information related to each funding

opportunity under this program will be stated in the funding opportunities posted on Grants.gov.

Headquarters Office:

Office of the Director and/or Science Advisor, Fish & Wildlife Service, Rm 3258, MIB, 1849 C Street NW, Washington, District of Columbia 20240 Phone: (202) 208-3100.

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: FY12: FWS continued to support the coordination for landscape conservation activities in the North Eastern United States; supports assessment of the impact of stressors (urban growth, climate change etc..) to wildlife habitat in MA; and support projects that facilitate collaborative landscape scale climate change planning among diverse partnerships in the Rocky Mountains. Fiscal Year 2013: FWS will continue to award funding to recipients of special appropriations projects as mandated by Congress. Fiscal Year 2014: (anticipated): FWS will continue to award funding to recipients of special appropriations projects as mandated by Congress.

CRITERIA FOR SELECTING PROPOSALS:

As specified by the authorizing legislation or Congressional action.

15.665 NATIONAL WETLANDS INVENTORY

(NWI)

FEDERAL AGENCY:

Fish and Wildlife Service, Department of the Interior

AUTHORIZATION:

Emergency Wetlands Resources Act (EWRA) of 1986 (Public Law 99-645, 16 U.S.C. 3931-3932, November 10, 1986, as amended).

OBJECTIVES:

The EWRA requires the NWI to map wetlands of the nation, digitize the maps, archive and distribute the data, and produce a 10-year national wetlands status and trends report to Congress.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Funds can be used for any purpose authorized under the EWRA. Depending on appropriations and national priorities, the program has annual discretionary funding for priority mapping projects or status and trends analysis and may use CESUs (Cooperative Ecosystem Studies Units) to complete scope of work specified under the award with substantial involvement of the U.S. Fish & Wildlife Service, Interagency Agreements, or contracts for project-level support or products needed. The Service projects may cover any aspect of inventorying wetlands, including but not limited to training, technology research, and analysis of data. Project funds 100% discretionary; amount varies annually.

Applicant Eligibility:

Organizations receiving financial assistance must be experienced in image-interpretation for wetlands and for arid western riparian for targeted ecosystems using National standards (Cowardin et al. 1979 and Wetlands Mapping Standard 2009); in wetlands mapping or delivery research or technologies, or in supporting activities (e.g. mapping standards training or analysis) for wetlands mapping; or have the project-specific imagery or ancillary data needed for wetlands mapping. Based on Agency need, financial assistance, in general, is available to qualified Americans, profit or nonprofit corporations or institutions, domestic organizations, and Federal, State, Tribal, Territorial, and local agencies.

Beneficiary Eligibility:

The American public, and Federal, State, Tribal, Territorial, and local agencies, profit and nonprofit corporations or institutions benefit from having wetlands geospatial data to help inform decision making for clean water, fish and wildlife conservation, wetlands conservation, landscape-level planning, green infrastructure, and urban, rural, infrastructure, and energy development.

Credentials/Documentation:

If we provide financial assistance to States or Tribes, OMB Circular A-87 may apply. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. There is no external application or award process. The Service funds projects based on changing national program priorities.

Award Procedure:

N/A.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

N/A.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

N/A. See the following for information on how assistance is awarded/released: Funds are disbursed to recipients as requested and in accordance with the payment methods prescribed in 43 CFR Part 12, or as otherwise prescribed in program-specific legislation.

Reports:

Program reports are not applicable. Cash reports are not applicable. Recipients must submit a final performance report no later than 90 calendar days after the award end date. Recipients of awards with performance periods longer than 12 months will be required to submit interim reports. Program may require recipients to submit interim reports annually, semiannually, or quarterly. Program will detail all performance reporting requirements, including frequency and due dates, in the notice of award letter. At a minimum, the recipient is required to submit a final SF-425, Federal Financial Report within 90 days of the end of the award period of performance. Recipients are responsible for monitoring performance for each award and sub-award under this program in accordance with 43 CFR Part 12.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Entities must maintain Federally-required financial records; see Grants.gov.

Account Identification:

14-1611-0-1-302.

Obligations:

(Project Grants (Discretionary)) FY 12 \$1,300,000; FY 13 est \$275,000; and FY 14 est \$300,000 - Discretionary funds may be used for contracting or other. Contributed or reimbursable funding cannot be accurately projected for FY 2013 and 2014.

Range and Average of Financial Assistance:

FY 2013: Estimated range of potential projects \$10,000 - \$215,000; Average \$100,000; FY 2014: Estimated range \$10,000 - \$300,000, Average \$100,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: NWI acquired wetlands data for 82 million acres; with 18 million updated, and produced 16 reports. The program issued 10 awards. Fiscal Year 2013: NWI anticipates acquiring wetlands data for 212 million acres, 61 million updated, mostly from contributors and prior year projects, producing 11 reports, and issuing 1 to 4 awards. Fiscal Year 2014: NWI anticipates acquiring wetlands data for 176 million acres; with 18 million acres of updated wetlands data, mostly from contributors and prior year projects, and 6 reports. NWI anticipates issuing 1 to 4 awards.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices. Regional Wetlands Coordinators: <http://www.fws.gov/wetlands/Organization/RWC.html>.

Headquarters Office:

Chief, Division of Habitat and Resource Conservation, FHC (Attn: NWI), Fish and Wildlife Service, 4401 N Fairfax Dr., Rm 840, Arlington, Virginia 22203
Phone: (703) 358-2161.

Website Address:

<http://www.fws.gov/wetlands>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Digitizing NWI legacy maps for Texas and Kansas, and new mapping of No-Data Slivers in Mississippi, Louisiana, and Arkansas. Fiscal Year 2013: Program has not yet selected most projects for funding. Program anticipates funding projects that fill in the wetlands data gaps for the nation and update data for areas of high Service priorities. Fiscal Year 2014: Program has not yet selected projects for funding. Program anticipates funding projects that fill in the wetlands data gaps for the nation and update data for areas of high Service priorities.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

15.666 ENDANGERED SPECIES CONSERVATION-WOLF LIVESTOCK LOSS COMPENSATION AND PREVENTION

Wolf Livestock Demonstration Project Grant Program

FEDERAL AGENCY:

Fish and Wildlife Service, Department of the Interior

AUTHORIZATION:

Fish and Wildlife Coordination Act of 1934, U.S.C. 16 et seq.; Endangered Species Act of 1973, 16 U.S.C. 1531 et. seq., as amended; Public Law 111-11, 123 Stat. 1171.

OBJECTIVES:

To provide federal financial assistance to livestock producers undertaking proactive, non-lethal activities to reduce the risk of livestock loss due to predation by wolves and to compensate livestock producers for livestock losses due to such predation.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

This financial assistance opportunity can be used by eligible States and Indian tribes in supporting projects that assist livestock producers in undertaking proactive, non-lethal activities to reduce the risk of livestock loss due to predation by wolves or to compensate livestock producers for livestock losses caused by wolves. Appropriated funds will be divided equally in support of these two objectives. The creation of two separate sources of funding will allow the Service to ensure that the two objectives of the program: 1) assisting livestock producers in undertaking proactive, non-lethal activities to reduce the risk of livestock loss due to predation by wolves and 2) compensating livestock

producers for livestock losses caused by wolves, are addressed equally. Qualifying projects must include at a minimum a 50 percent non-Federal cost share. Yes, these restrictions can be found in Public Law 111-11, 123 Stat.1171 and Public Law 112-74. 100% of appropriated funds are set aside for discretionary activities.

Applicant Eligibility:

State governments/agencies
Indian tribes as defined in Public Law 111-11.

Beneficiary Eligibility:

Individual/ Family
Small Business
Profit Organization
Private Organization
Anyone/ General Public
Farmer/ Rancher/ Agricultural Producer
Small Business Person
Land/ Property Owner.

Credentials/Documentation:

Funds are available only to States and Indian tribes with prior history of wolf depredation. To be eligible to receive a grant, a State or Indian tribe must (1) designate an appropriate agency of the State or Indian tribe to administer the one or more programs funded by the grant; (2) establish one or more accounts to receive grant funds; (3) maintain files of all claims received under programs funded by the grant, including supporting documentation; (4) submit annual reports to the Secretary of the Interior that include a summary of claims and expenditures under the program during the year, a description of any action taken on the claims, and such other reports as the Secretary may require to assist the Secretary in determining the effectiveness of activities provided assistance through this program; (5) promulgate rules for reimbursing livestock producers under the program. Applicants are also required to fully assess any claim of livestock depredation prior to issuing payment. OMB Circular No. A-87 applies to this program. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Eligible State and Tribal applicants are encouraged to contact the appropriate Regional Coordinators to ensure all eligibility, credentials, and documentation requirements are met prior to application. An incomplete application will not be considered for funding. An eligible State or Indian tribe may apply for either or both sources of funding, but a separate application must be provided for each source of funding. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Eligible State and Tribal applicants must submit a project narrative identifying that they will adhere to P.L. 111-11. Applicants must also provide an Application for Federal Assistance (SF 424) and supporting information. This form must be signed by the official with the authority and responsibility to commit the State or Tribal body to participate in the program. An eligible State or Indian tribe may apply for either or both sources of funding.

Award Procedure:

Awards to eligible State and Indian tribes will be made as grants based on 1) the level of livestock predation in the State or on the land owned by, or held in trust for the benefit of, the Indian tribe; 2) whether the State or Indian tribe is located in a geographic area that is at high risk for livestock predation; 3) any other factors that the Secretary of the Interior determines appropriate.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

120 days.

Appeals:

Decisions can be appealed to the Fish and Wildlife Service, Chief, Endangered Species Division of Consultation, Habitat Conservation Planning, Recovery, and State Grants. Final determination rests with the Secretary of the Interior.

Renewals:

None. However, project applicants are not prohibited from submitting applications for consideration for funding in a subsequent year.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching Requirements: To meet the two objectives of the program, awards to eligible State and Indian tribes will be made as grants based on 1) the level of livestock predation in the State or on the land owned by, or held in trust for the benefit of, the Indian tribe; 2) whether the State or Indian tribe is located in a geographic area that is at high risk for livestock predation; 3) any other factors that the Secretary of the Interior determines appropriate.

Qualifying projects must include at a minimum a 50 percent non-Federal cost share. The cost share may be cash or a third party in-kind contribution, such as volunteer efforts and donations of goods or services.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The Services goal is to obligate funds to States and Indian tribes shortly following the award notification. States and Indian tribes will assist the Service in meeting this goal by providing necessary documents for grant issuance as soon as possible following the grant award notifications. The Service will follow all applicable laws and regulations in regard to the expenditure of awarded funding. See the following for information on how assistance is awarded/released: Funds are disbursed to recipients as requested and in accordance with the payment methods prescribed in 43 CFR Part 12, or as otherwise prescribed in program-specific legislation.

Reports:

Program reports are not applicable. Cash reports are not applicable. In general, OMB Circulars A-102 Section _40 and _41 and 2 CFR 215 specify requirements for both financial and program performance reporting. The Services interim guidance on financial status and performance reporting is available at <http://wsfrprograms.fws.gov/subpages/toolkitfiles/intgdrpt.pdf>. Grantees may be required to complete one or more of the following financial forms:
SF - 270, Request for Advance or Reimbursement;
SF-425, Federal Financial Report

OMB Circulars A-102 Section _40 and 2 CFR 215 also set forth requirements for submission of grantee program performance reports. A performance report is due annually within 90 days of the anniversary of the start date of the grant and a final report is due 90 days after the grant expiration.

A State or Indian tribe shall submit to the Secretary annual reports that include a summary of claims and expenditures under the program during the year and a description of any action taken on the claims. Financial reporting requirements are specified in 43 CFR 12.80 and in the Interim Guidance for Financial Status and Performance Reporting, dated May 12, 2009. Performance reporting requirements are specified in 43 CFR 12.81 and in the Interim Guidance for Financial Status and Performance Reporting, dated May 12, 2009.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

The recipient is required to maintain files of all claims received under programs funded by the grant, including supporting documentation. Records must be maintained for 3 years following the submission of the final expenditure report or until final action has been taken on any litigation, claim, or audit finding.

Account Identification:

14-1611-0-1-302.

Obligations:

(Project Grants (Discretionary)) FY 12 \$998,000; FY 13 est \$1,000,000; and FY 14 est \$1,000,000 - FY13 amount is based on Presidents budget request and the FY14 amount is an estimate based on prior year funding levels only.

Range and Average of Financial Assistance:

\$4,000 - \$140,000. Average award amount is \$60,000 based on FY10 grant awards.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: FY 12 funding has not yet been awarded and no funding was appropriated in FY11. However, in FY10, funds were awarded to ten states and five tribes for projects that assist livestock producers in undertaking proactive, non-lethal activities to reduce the risk of livestock loss due to predation by wolves or to compensate livestock producers for livestock losses caused by wolves. Eligible projects include but are not limited to the purchase of livestock guarding dogs to reduce conflicts between wolves and livestock, the purchase of a portable electric fence to prevent conflicts, and support towards hiring range riders to monitor cattle to prevent conflicts between livestock and wolves. Fiscal Year 2013: Similar numbers and types of projects are expected to be funded in FY13 should funds be appropriated for this program. Fiscal Year 2014: Similar numbers and types of projects are expected to be funded in FY14 should funds be appropriated for this program.

REGULATIONS, GUIDELINES, AND LITERATURE:

Omnibus Public Land Management Act of 2009 (P.L. 111-11)

Regional or Local Office:

See Regional Agency Offices. FWS Region 1: If your project will occur in Idaho, Oregon, or Washington, contact: Regional Director, U.S. Fish and Wildlife Service Eastside Federal, Complex, 911 N.E. 11th Avenue, Portland, OR 97232-4181. (208/ 685-6963).

FWS Region 2: If your project will occur in Arizona or New Mexico, contact: Regional Director, U.S. Fish and Wildlife Service, 500 Gold Avenue SW., Room 4012 Albuquerque, NM 87102. (505/248-7465).

FWS Region 3: If your project will occur in Michigan, Minnesota, or Wisconsin, contact: Regional Director, U.S. Fish and Wildlife Service, Bishop Henry Whipple, Federal Building, One Federal Drive, Fort Snelling, MN 55111-4056. (612/713-5343).

FWS Region 6: If your project will occur in Montana or Wyoming, contact: Regional Director, U.S. Fish and Wildlife Service, 134 Union Blvd., Suite 645, Lakewood, CO 80228. (303/ 236-4211).

Headquarters Office:

Chief, Endangered Species, Fish and Wildlife Service, Department of the Interior, 1849 C Street, NW (MS 420 ARL SQ) Division of Consultation, HCPs, Recovery and State Grants., Washington, District of Columbia 20240 Phone: (703) 358-2171.

Website Address:

No Data Available

RELATED PROGRAMS:

15.605 Sport Fish Restoration Program; 15.611 Wildlife Restoration; 15.615 Cooperative Endangered Species Conservation Fund; 15.631 Partners for Fish and Wildlife

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: For FY12, the program has not yet selected projects for funding. The program anticipates funding projects that promote proactive, non-lethal activities in order to reduce the risk of livestock loss due to predation by wolves and compensate livestock producers for livestock losses due to such predation. Examples of projects eligible for funding include the purchase of livestock guarding dogs to reduce conflicts between wolves and livestock, the purchase of a portable electric fence to prevent conflicts, and support towards hiring range riders to monitor cattle to prevent conflicts between livestock and wolves. Fiscal Year 2013: Similar numbers and types of projects are expected to be funded in FY13 should funds be appropriated for this program. Fiscal Year 2014: Similar numbers and types of projects are expected to be funded in FY14 should funds be appropriated for this program.

CRITERIA FOR SELECTING PROPOSALS:

Awards to eligible State and Indian tribes will be made as grants based on (1) the level of livestock predation in the State or on the land owned by, or held in trust for the benefit of, the Indian tribe; (2) whether the State or Indian tribe is located in a geographic area that is at high risk for livestock predation; (3) any other factors that the Secretary of the Interior determines appropriate.

15.667 HIGHLANDS CONSERVATION PROGRAM

FEDERAL AGENCY:

Fish and Wildlife Service, Department of the Interior

AUTHORIZATION:

H.R. 1964, 2004; 16 U.S.C. 3901.

OBJECTIVES:

To conserve priority lands and natural resources and recognize the importance of the water, forest, agricultural, wildlife, recreational, and cultural resources, and the national significance of the Highlands region of the United States. Preserve and protect high-priority conservation land in the Highland regions.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

A state or state agency with authority to own and manage land located within the Highlands region may use a land conservation partnership project to acquire conservation-valued land, either through fee acquisition or conservation easement, from a willing seller to permanently protect, conserve, or preserve land. Project lands of conservation value are identified by the U.S. Forest Service, Department of Agriculture, with input from pertinent units of local government and the public. The list of lands of conservation value is provided to the governors of the states within the Highlands region. The list of projects to be funded is submitted to the Secretary of the Interior, who, along with the Secretary of Agriculture, submits the eligible project list to Congress. The Federal grant share of the land conservation partnership project will not exceed 50 percent of the total cost of partnership to acquire conservation-valued land.

Applicant Eligibility:

Any state or state agency with authority to own and manage land located within the Highlands region for conservation purposes may apply by identifying the source of non-Federal funds, describing the management objectives for the project land, identifying the purpose of the use of the land, and providing that the land will not be converted, used or disposed of for a purpose inconsistent with land conservation.

Beneficiary Eligibility:

Beneficiaries are states or state agencies with authority to own and manage land within the Highlands region for conservation purposes, including the Palisades Interstate Park Commission.

Credentials/Documentation:

Must be a state or or state agency with the authority to own and manage land within the Highlands region for conservation purposes. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

The U.S. Forest Service, Department of Agriculture, is responsible for conducting the resource assessment and preparing the Highlands project lands of conservation value update for the states involved. The U.S. Forest Service will identify in the update the lands that have high conservation value. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. It is the responsibility of the Highland states to submit projects to the Department of the Interior for funding. The Highland states may do this individually or they may jointly submit land conservation partnership projects.

Award Procedure:

The U.S. Forest Service is responsible for doing the resource assessment and preparing the priority land update for the states involved. The U.S. Forest

Service will identify in the update the lands that have high conservation value. Only lands available from willing sellers will be considered in the program. The Department of the Interior (DOI) has the sole responsibility for project grants. The states will apply directly to DOI for project funding. The DOI will award grants to the States or their entities for the acquisition of land, or interests in land, for conservation purposes in the Highlands.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: The Federal share of the project cost shall not exceed 50 percent of the total project cost.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Length and Time Phasing of Assistance:

Varies. See the following for information on how assistance is awarded/released: Funds are disbursed to recipients as requested and in accordance with the payment methods prescribed in 43 CFR Part 12, or as otherwise prescribed in program-specific legislation.

Reports:

Program reports are not applicable. Cash reports are not applicable. Interim performance reports are required for each grant award annually within 30 days after the anniversary date. A final performance report is due within 90 days after the award end date. SF-425, Federal Financial Reports are required for each grant award annually within 30 days after the anniversary date. A final SF-425, Federal Financial Report is due within 90 days after the award end date. Recipients are responsible for monitoring and reporting performance each award and sub-award under this program in accordance with 43 CFR Part 12 and 2 CFR Part 170.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Cost records must be maintained separately for each grant award. Records, accounts, and supporting documents must be retained for three years after submission of the final reports.

Account Identification:

14-5020-0-1-806.

Obligations:

(Project Grants (Discretionary)) FY 12 \$4,026,667; FY 13 est \$0; and FY 14 est \$0 - FY13 and subsequent years grant approval pending budget loaded in FBMS CFDA should remain active through FY14.

Range and Average of Financial Assistance:

Range : \$646,600 to \$1,940,000; Average \$970,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: In FY12, \$4,832,000 in grant funding was made available for Highlands Conservation Act grants. Four project area proposals (1 from each State) were ranked by the Highlands Conservation Act Ranking Subcommittee. To date, \$805,333 of the available funding has been obligated. With that grant funding, 184.06 acres of important wildlife habitat will be protected in perpetuity. An additional \$426,000 is expected to be obligated within the next

45 days. Fiscal Year 2013: Four project area proposals (1 from each State) have been ranked by the Highlands Conservation Act Ranking Subcommittee in anticipation of funding for the Act. Any funding received in FY13 will be distributed among those 4 ranked and approved project areas, with 50% going to the top ranked project area and 50% divided equally among the remainder of the project areas. Fiscal Year 2014: Four project area proposals (1 from each State) have been ranked by the Highlands Conservation Act Ranking Subcommittee in anticipation of funding for the Act. Any funding received in FY14 will be distributed among those 4 ranked and approved project areas, with 33.3% going to the top 2 ranked project areas and 16.7% going to the remaining 2 project areas.

REGULATIONS, GUIDELINES, AND LITERATURE:

Per <http://www.doi.gov/parnlTermsandConditions.html>, acceptance of a Federal Financial award from the Department of the Interior carries with it the responsibility to be aware of and comply with the terms and conditions of the award. Acceptance is defined as starting work, drawing down funds, or accepting the award via electronic means. Awards are based on the application submitted to, and as approved by the Department of the Interior and are subject to the terms and conditions incorporated in to the Award either directly or by reference to the following: Program legislation/regulation, assurances, special conditions, the Code of Federal Regulations, and other regulatory requirements, as applicable.

Regional or Local Office:

None.

Headquarters Office:

John Organ US Fish and Wildlife Service, Wildlife and Sport Fish Restoration, 300 Westgate Center Drive, Hadley, Massachusetts 01035 Email: John_Organ@fws.gov Phone: (413)253-8200 Fax: (413)253-8300

Website Address:

<http://www.fws.gov/r5fedaid/index.html>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: For FY12, the following project area proposals were ranked and determined eligible for funding: East Hudson Highlands, New York; Twin Watersheds, New Jersey; Shepaug River Headwaters, Connecticut; and South Mountain, Pennsylvania. Half of funding available in FY12 will go toward New Yorks East Hudson Highlands project area and the remainder will be split equally among the other 3 project areas. Grants will fund the acquisition of land or an interest in land by a State Agency or the Palisades Interstate Park Commission. Fiscal Year 2013: For FY13, the following project area proposals were ranked and determined eligible for funding: East Hudson Highlands, New York; Musconetcong River and Mountain Region, New Jersey; Candlewood/Macedonia, Connecticut; and Oley Hills, Pennsylvania. If the Highlands Conservation Act receives funding in FY13, 50% of funding will go toward New Yorks East Hudson Highlands project area and the remainder will be split equally among the other 3 project areas. Grants will fund the acquisition of land or an interest in land by a State Agency or the Palisades Interstate Park Commission. Fiscal Year 2014: For FY14, the following project area proposals were ranked and determined eligible for funding: East Hudson Highlands, New York; Walkkill River and Pimple Hills, New Jersey; Camerons Line, Connecticut; Schulykill Highlands, Pennsylvania. If the Highlands Conservation Act receives funding in FY14, 33.3% of funding will go towards New Yorks East Hudson Highlands project area, 33.3% will go towards New Jerseys Walkkill River and Pimple Hills project area, and the remainder will be split equally between the approved project areas of Connecticut and Pennsylvania. Grants will fund the acquisition of land or an interest in land by a State Agency or the Palisades Interstate Park Commission.

CRITERIA FOR SELECTING PROPOSALS:

The U.S. Forest Service is responsible for completing the resource assessment and preparing the priority lands update for the states involved. The U.S. Forest Service will identify in the update the lands that have high conservation value. The Highland states meet annually and apply directly to DOI for funding from the project list of lands provided by U.S. Forest Service. Only lands with high conservation value that can be obtained by fee acquisition or conservation

ease from willing sellers will be considered.

15.668 COASTAL IMPACT ASSISTANCE PROGRAM

(CIAP)

FEDERAL AGENCY:

Fish and Wildlife Service, Department of the Interior

AUTHORIZATION:

Outer Continental Shelf (OCS) Lands Act, as amended; 31 U.S.C. 6301-6305.

OBJECTIVES:

The intent of the program is to disburse funding to eligible producing states and coastal political subdivisions for the purpose of conservation, protection, or restoration of coastal areas including wetlands; mitigation of damage to fish, wildlife, or natural resources; planning assistance and the administrative costs of complying with these objectives; implementation of a federally-approved marine, coastal, or comprehensive conservation management plan; and mitigation of the impact of outer Continental Shelf activities through funding of onshore infrastructure projects and public service needs.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

The intent of the program is to disburse funding to eligible producing states and coastal political subdivisions for the purpose of conservation, protection, or restoration of coastal areas including wetlands; mitigation of damage to fish, wildlife, or natural resources; planning assistance and the administrative costs of complying with these objectives; implementation of a federally-approved marine, coastal, or comprehensive conservation management plan; and mitigation of the impact of outer Continental Shelf activities through funding of onshore infrastructure projects and public service needs.

Applicant Eligibility:

States eligible to receive funding are Alabama, Alaska, California, Louisiana, Mississippi, and Texas and 67 coastal political subdivisions among the six States.

Beneficiary Eligibility:

The producing coastal states, their eligible coastal political subdivisions, and the public will ultimately benefit from the program.

Credentials/Documentation:

Yes. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Prior to submitting any grant applications, an eligible State must submit a State Plan that provides detail on how a State and its Coastal Political Subdivisions (CPS) will use the CIAP funds and implement the State plan. An applicant should consult the office or official designated as the single point of contact in his or her State for more information on this process when applying for assistance and on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Specific projects submitted for funding are subject to the Department of the Interior Regulations at 43 CFR Part 12, Subparts C and E, or any subsequent revisions. The OMB SF 424 application forms must be used for this program. Applications are submitted electronically through Grants.gov.

Award Procedure:

The U.S. Fish and Wildlife Service (FWS) provides a notice of award to the recipient.

Deadlines:

Dec 31, 2013 The deadline for submission of new grant applications is

December 31, 2013. Projects submitted must fall under one or more of the five authorized uses established under the program authority.

Range of Approval/Disapproval Time:

FWS will have 30-60 days to notify the State in writing that the Plan or amendment is approved, disapproved, or remains under review (in which case the Plan or amendment is neither approved nor disapproved). FWS will have 30-60 days to review projects submitted by the State or the coastal political divisions and notify applicant of approval/disapproval.

Appeals:

Applicants can appeal the disapproval of their plan or project application no later than 90 days after the notice of decision. Applicants must contact FWS headquarters office in writing if they are appealing a decision.

Renewals:

Proposals are submitted for a project period. Projects that were approved and funded during the previous project period may be submitted again in subsequent project periods.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: In accordance with the language of the Act, no State will receive less than 1 percent of the \$250 million to be disbursed per year.

The CIAP Program does not require recipients to cost share or match CIAP funds. Of the percentage received by a State, 65 percent remains with the State, and 35 percent is awarded to the coastal political subdivisions. Maintenance of effort requirements is not applicable to this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants will normally be awarded for 12-month periods. See the following for information on how assistance is awarded/released: Funds are disbursed to recipients as requested and in accordance with the payment methods prescribed in 43 CFR Part 12, or as otherwise prescribed in program-specific legislation.

Reports:

Program reports are not applicable. Cash reports are not applicable. A performance report is required for each grant award annually within 90 days after the anniversary date or within 90 days of the end of the grant. A Federal Financial Report (SF-425) is required for each grant award annually within 90 days after the anniversary date or within 90 days of the end of the grant. Recipients are responsible for monitoring and reporting performance of each award and sub-award under this program in accordance with 43 CFR Part 12 and 2 CFR Part 170.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Cost records must be maintained separately for each grant. Records, accounts, and supporting documents must be retained for three years after submission of the final Financial Status Report.

Account Identification:

14-5579-0-2-306.

Obligations:

(Formula Grants) FY 12 \$479,426,165; FY 13 est \$75,000,000; and FY 14 est \$10,000,000 - FY12 \$479,426,165 awarded; FY13 \$ 75,000,000 awarded, FY14 \$10,000,000 awarded, FY15 \$120,000,000 disbursed, FY16 \$120,000,000 disbursed
FY13, FY14, are estimated on funds awaiting award; FY15, and FY16 are estimated based on prior year disbursements. No new funding for this program is expected. All grant work must be completed by December 31, 2016 with financial and performance reports submitted 90 to 180 days after the end of the performance period. CFDA should remain active through FY17 to allow grantees to finish grant funded work and to allow Bureau to close-out the

program.

Range and Average of Financial Assistance:

\$20,000 - \$20,000,000; average \$1,000,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: In FY 12 the program received 531 applications and issued 446 awards. Fiscal Year 2013: In FY13 the program anticipates to receive 150 applications and issue 140 awards. Fiscal Year 2014: In FY14 the program anticipates to receive 40 applications and issue 40 awards.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Wildlife and Sport Fish Restoration Program, 4401 North Fairfax Drive, WSFR-4020, Arlington, Virginia 22203 Phone: (703) 358-2156

Website Address:

<http://wsfrprograms.fws.gov/>

RELATED PROGRAMS:

11.419 Coastal Zone Management Administration Awards; 11.420 Coastal Zone Management Estuarine Research Reserves; 11.426 Financial Assistance for National Centers for Coastal Ocean Science; 11.473 Coastal Services Center; 11.474 Atlantic Coastal Fisheries Cooperative Management Act; 11.478 Center for Sponsored Coastal Ocean Research_Coastal Ocean Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Bay / Double Bayou Habitat Restoration and Protection, Dauphin Island Shoreline Stabilization Project, Bayou Lamoque Floodgate Removal Project. Fiscal Year 2013: Analysis of Hurricane Ike Storm Surge, B-14 Stream Restoration for Tributary to DOlive Creek, South Padre Island Beach and Dune Restoration. Fiscal Year 2014: Program anticipates funding ongoing projects awarded in FY12 and FY13.

CRITERIA FOR SELECTING PROPOSALS:

Criteria for approving proposals are identified in the Coastal Impact Assistance Program Guidelines authorizing legislation and the annual program announcement found on Grants.gov.

15.669 COOPERATIVE LANDSCAPE CONSERVATION

Landscape Conservation Cooperatives (LCCs)

FEDERAL AGENCY:

Fish and Wildlife Service, Department of the Interior

AUTHORIZATION:

Fish and Wildlife Conservation Act (16 U.S.C. 2901-2911; 94 Stat. 1322); The Fish and Wildlife Act of 1956 (16 U.S.C. 742a-742j, not including 742 d-1; Stat. 1119), as amended; Endangered Species Act of 1973 (16 U.S.C. 1531-1544, 87 Stat. 884); The National Wildlife Refuge System Improvement Act of 1997 (P.L. 105-57).

OBJECTIVES:

By leveraging resources and strategically targeting science to inform conservation decisions and actions, Landscape Conservation Cooperatives (LCCs) create a network of partners working in unison to ensure the sustainability of Americas land, water, wildlife and cultural resources. Facilitated by the Department of the Interior (DOI) as part of its collaborative, science-based response to climate change, each of the 22 LCCs operates within a specific landscape, covering the entire United States. Defined based on ecological boundaries, the LCCs also include portions of Canada, Mexico, the Caribbean and Pacific Islands. Partners include federal, state, and local governments, tribes, universities, nongovernmental organizations, landowners, and other stakeholders. These landscape-scale, conservation science partnerships support and enhance on-the-ground conservation efforts by facilitating the production and dissemination of applied science products for resource management decisions.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Support will be provided for LCC-prioritized projects to include: decision-support tools to inform management plans/decisions and ESA Recovery Plans, conservation delivery strategies and actions, and landscape-scale conservation strategies that can direct management expenditures where they have the greatest effect and lowest relative cost. This program is administered in compliance with the Federal Grants and Cooperative Agreements Act of 1977, as amended. These funds may not be used towards training U.S. Federal Government personnel. 100%.

Applicant Eligibility:

None.

Beneficiary Eligibility:

None.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Title 43, Part 12 of the Code of Federal Regulations applies to this program. Funding opportunity announcements and complete application instructions are posted online at Grants.gov at <http://www.grants.gov>. All applicants must submit a complete, signed Standard Form 424, Application for Federal Assistance.

Award Procedure:

Each LCC has a steering committee, comprised of representatives from partner organizations, and participates in identifying priority needs for the LCC. Applications for funding will be reviewed and approved by FWS staff based on the project-specific review criteria to be detailed in the funding opportunity posting. FWS staff will notify applicants of review results by either issuing a fully executed Award either electronically or through the mail, or by sending written notification to the applicant that the application will not be funded.

Per the Department of the Interiors Standard Award Terms and Conditions (accessible on the Internet at <http://www.doi.gov/pam/TermsandConditions.html>) acceptance of a Federal Financial award from the Department of the Interior carries with it the responsibility to be aware of and comply with the terms and conditions of the award. Acceptance is defined as the start of work, drawing down funds, or accepting the award via electronic means. Awards are based on the application submitted to, and as approved by DOI and are subject to the terms and conditions incorporated either directly or by reference to the following: Program legislation/regulation, Assurances, Special Conditions and Code of Federal Regulations and other Regulatory Requirements, as applicable.

Deadlines:

Mar 01, 2013 to Feb 28, 2014 March 1, 2013 through February 28, 2014.

Range of Approval/Disapproval Time:

Typically within 180 days of proposal receipt.

Appeals:

Not Applicable.

Renewals:

None. However; at the request of the recipient and as approved by USFWS, active awards may be amended (e.g., time extensions or budget changes).

Formula and Matching Requirements:

This program has no statutory formula. This program has no matching requirements. However, to the extent possible, recipient in-kind and/or cash match is encouraged. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Project period of performance may be up to five years. See the following for

information on how assistance is awarded/released: Funds are disbursed to recipients as requested and in accordance with the payment methods prescribed in 43 CFR Part 12, or as otherwise prescribed in program-specific legislation.

Reports:

Performance reports are to be submitted in accordance with the specific reporting requirements as detailed in the Award document. At a minimum, a performance report will be required annually, within 30 calendar days after the annual anniversary date. Final performance reports are required within 90 calendar days from the end date of the award. Cash reports are not applicable. Progress reports are not applicable. SF-425, Federal Financial Reports are to be submitted in accordance with the specific reporting requirements as detailed in the Award document. At a minimum, a financial report will be required annually, within 30 calendar days after the annual anniversary date. Final SF-425, Federal Financial Reports are required within 90 calendar days from the end date of the award. Recipients are responsible for monitoring and reporting performance of each award and sub-award under this program in accordance with 43 CFR Part 12 and 2 CFR Part 170.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Recipients are to maintain records in accordance with the provisions of 43 CFR Part 12, Subpart C, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments" and 43 CFR Part 12, Subpart F, "Uniform Administrative Requirements for Grants and Agreements With Institutions of Higher Education, Hospitals, and Other Nonprofit Organizations, as applicable. Cost records must be maintained separately for each grant. Records, accounts, and supporting documents must be retained for three years after submission of the final reports.

Account Identification:

14-1611-0-1-302.

Obligations:

(Project Grants (Discretionary)) FY 12 \$2,693,000; FY 13 est \$2,880,000; and FY 14 est \$3,050,000

Range and Average of Financial Assistance:

Range is \$1,000 - \$1,000,000. Average award amount varies by project type and duration.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: In FY12 the program received about 55 applications and issued 27 awards. Fiscal Year 2013: In FY13 the program anticipates receiving 50 applications and issuing 25 awards. Fiscal Year 2014: In FY14 the program anticipates receiving 50 applications and issuing 25 awards.

REGULATIONS, GUIDELINES, AND LITERATURE:

Per <http://www.doi.gov/parnlTermsandConditions.html>, acceptance of a Federal

Financial award from the Department of the Interior carries with it the responsibility to be aware of and comply with the terms and conditions of the award. Acceptance is defined as starting work, drawing down funds, or accepting the award via electronic means. Awards are based on the application submitted to, and as approved by the Department of the Interior and are subject to the terms and conditions incorporated in to the Award either directly or by reference to the following: Program legislation/regulation, assurances, special conditions, the Code of Federal Regulations, and other regulatory requirements, as applicable.

Regional or Local Office:

See Regional Agency Offices. The list of contacts for each LCC can be accessed on the Internet at:

<http://www.fws.gov/science/shc/lccinfocontacts.html>.

Headquarters Office:

Doug Austen Office of the Science Advisor U.S. Fish and Wildlife Service, 4401 N Fairfax Dr, Room 222, Arlington, Virginia 22203 Email: doug_austen@fws.gov Phone: 703-358-2055

Website Address:

<http://www.fws.gov/science/shc/lcc.html>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Pilot a strategic approach to conservation planning and design for the National Wildlife Refuge System. Develop an integrated data management network for LCCs and partners. Assemble and develop foundational spatial datasets needed for large-scale conservation design. Fiscal Year 2013: Program has not yet selected projects for funding. Program anticipates funding projects that are similar in scope. Fiscal Year 2014: Program has not yet selected projects for funding. Program anticipates funding projects that are similar in scope.

CRITERIA FOR SELECTING PROPOSALS:

Proposed projects will be selected on the basis of how well they meet the program and/or project-specific criteria detailed in individual Landscape Conservation Cooperative funding opportunity postings. Criteria will vary depending on the scope of the program or project for which applications are being accepted.

15.670 ADAPTIVE SCIENCE

FEDERAL AGENCY:

Fish and Wildlife Service, Department of the Interior

AUTHORIZATION:

The Fish and Wildlife Act of 1956 (16 U.S.C. 742a-742j, not including 742 d-1; Stat. 1119), as amended; Migratory Bird Treaty Act of 1918, as amended (16 U.S.C. 703-712); Fish and Wildlife Conservation Act (16 U.S.C. 2901-2911; 94 Stat. 1322); The National Wildlife Refuge System Improvement Act of 1997 (P.L. 105-57); Endangered Species Act of 1973 (16 U.S.C. 1531-1544, 87 Stat. 884).

OBJECTIVES:

The U.S. Fish and Wildlife Service (USFWS) uses a science-based, adaptive framework for setting and achieving broad-scale conservation objectives that strategically address the problems fish and wildlife will face in the future. This framework, called Strategic Habitat Conservation, is based on the principles of adaptive management and uses population and habitat data, ecological models, and focused monitoring and assessment efforts to develop and implement strategies that result in measurable fish and wildlife population outcomes. This process uses the best available scientific information to predict how fish and wildlife populations will respond to changes in the environment, thus enabling the USFWS to focus habitat conservation and other management activities where they will be most effective. In addition, the USFWS needs focused, applied science directed at high impact questions surrounding threats to fish and wildlife resources for which management and/or mitigation is required to maintain species at healthy, sustainable, desired levels. USFWS must base its decisions on the best science available, in order to defend its regulatory decisions, biological opinions and species conservation recommendations to land managers.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Support will be provided for science projects: to include species risk and vulnerability assessments, inventory and monitoring, population and habitat assessments, biological planning and conservation design, management evaluation and research, and conservation genetics; to identify and implement landscape-scale conservation solutions to such issues as climate change, land use change, invasive species spread, and fire frequency changes; and to address high impact questions surrounding threats to fish wildlife, and plants, providing answers needed to manage species to healthy, sustainable, desired levels. This program is administered in compliance with the Federal Grants and Cooperative Agreements Act of 1977, as amended. These funds may not be

used towards training U.S. Federal Government personnel. 100%.

Applicant Eligibility:

None.

Beneficiary Eligibility:

None.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact statement is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Title 43, Part 12 of the Code of Federal Regulations applies to this program. Funding opportunity announcements and complete application instructions are posted online at Grants.gov at <http://www.grants.gov>. All applicants must submit a complete, signed Standard Form 424, Application for Federal Assistance.

Award Procedure:

The Science Advisor to the Director identifies priority needs for the USFWS. Applications for funding will be reviewed and approved by USFWS staff based on the project-specific review criteria to be detailed in the funding opportunity posting. USFWS staff will notify applicants of review results by either issuing a fully executed Award either electronically or through the mail, or by sending written notification to the applicant that the application will not be funded.

Deadlines:

Mar 01, 2013 to Feb 28, 2014 March 1, 2013 through February 28, 2014.

Range of Approval/Disapproval Time:

From 120 to 180 days. Typically within 180 days of proposal receipt.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. However, to the extent possible, recipient in-kind and/or cash match is encouraged.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Project period of performance may be up to five years. See the following for information on how assistance is awarded/released: Funds are disbursed to recipients as requested and in accordance with the payment methods prescribed in 43 CFR Part 12, or as otherwise prescribed in program-specific legislation.

Reports:

Performance reports are to be submitted in accordance with the specific reporting requirements as detailed in the Award document. At a minimum, a performance report will be required annually, within 30 calendar days after the annual anniversary date. Final performance reports are required within 90 calendar days from the end date of the award. Cash reports are not applicable. Progress reports are not applicable. SF-425, Federal Financial Reports are to be submitted in accordance with the specific reporting requirements as detailed in the Award document. At a minimum, a financial report will be required annually, within 30 calendar days after the annual anniversary date. Final SF-425, Federal Financial Reports are required within 90 calendar days from the end date of the award. Recipients are responsible for monitoring and reporting performance of each award and sub-award under this program in accordance with 43 CFR Part 12 and 2 CFR Part 170.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000

or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Recipients are to maintain records in accordance with the provisions of 43 CFR Part 12, Subpart C, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments" and 43 CFR Part 12, Subpart F, "Uniform Administrative Requirements for Grants and Agreements With Institutions of Higher Education, Hospitals, and Other Nonprofit Organizations, as applicable. Cost records must be maintained separately for each grant. Records, accounts, and supporting documents must be retained for three years after submission of the final reports.

Account Identification:

14-1611-0-1-302.

Obligations:

(Project Grants (Discretionary)) FY 12 \$10,954,000; FY 13 est \$14,078,000; and FY 14 est \$15,466,000

Range and Average of Financial Assistance:

Range is \$1,000 - \$1,000,000. Average award amount varies by project type and duration.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: In FY12 the program received about 200 applications and issued 57 awards. Fiscal Year 2013: In FY 13 the program anticipates receiving 225 applications and issuing 60 awards. Fiscal Year 2014: In FY14 the program anticipates receiving 225 applications and issuing 60 awards.

REGULATIONS, GUIDELINES, AND LITERATURE:

Per <http://www.doi.gov/paml/termsandconditions.html>, acceptance of a Federal

Financial award from the Department of the Interior carries with it the responsibility to be aware of and comply with the terms and conditions of the award. Acceptance is defined as starting work, drawing down funds, or accepting the award via electronic means. Awards are based on the application submitted to, and as approved by the Department of the Interior and are subject to the terms and conditions incorporated in to the Award either directly or by reference to the following: Program legislation/regulation, assurances, special conditions, the Code of Federal Regulations, and other regulatory requirements, as applicable.

Regional or Local Office:

See Regional Agency Offices. Contacts for regional science programs can be found on the Internet at:

Region 1- <http://www.fws.gov/pacific/>

Region 2- <http://www.fws.gov/southwest/>

Region 3- <http://www.fws.gov/midwest/>

Region 4- <http://www.fws.gov/southeast/>

Region 5- <http://www.fws.gov/northeast/index.cfm>

Region 6- <http://www.fws.gov/mountain-prairie/>

Region 7- <http://alaska.fws.gov/>

Region 8- <http://www.fws.gov/cno/>.

Headquarters Office:

Office of the Science Advisor Office of the Science Advisor, U.S. Fish and Wildlife Service, 4401 N Fairfax Dr, Room 222, Arlington, Virginia 22203
Phone: 703-358-2055

Website Address:

<http://www.fws.gov/science/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Modeling exercise to examine the impact of changes in atmospheric circulation on shorebird migrations. Assess the current capability of habitats in a region to support sustainable populations of wildlife. Model the influence of landscape and climate change on breeding birds. Fiscal Year 2013: Program has not yet selected projects for funding. Program anticipates funding

projects that are similar in scope. Fiscal Year 2014: Program has not yet selected projects for funding. Program anticipates funding projects that are similar in scope.

CRITERIA FOR SELECTING PROPOSALS:

Proposed projects will be selected on the basis of how well they meet the program and/or project-specific criteria detailed in individual Adaptive Science funding opportunity postings. Criteria will vary depending on the scope of the program or project for which applications are being accepted.

15.671 YUKON RIVER SALMON RESEARCH AND MANAGEMENT ASSISTANCE

R&M Fund

FEDERAL AGENCY:

Fish and Wildlife Service, Department of the Interior

AUTHORIZATION:

The Yukon River Salmon Act of 2000 (16 USC 5701 et seq., P.L. 104-43, November 3, 1995, 109 Stat 392) as amended by P.L. 106-450, November 7, 2000, 114 Stat 1941; P.L 108-219, April 13, 2004, 118 Stat 615.

OBJECTIVES:

The U.S. Fish and Wildlife Service (Service) receives funds for implementation of the Yukon River Salmon Agreement with Canada which was reached in March 2001. The Yukon River Salmon Act of 2000 authorizes funds for implementation of the Agreement including funds for cooperative research and management projects on the Alaska portion of the Yukon River. The Service administers these funds through financial assistance on a competitive basis for projects/studies that advance the scientific community's understanding and management of Chinook and chum salmon in the Yukon River of Alaska.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

This funding is intended to support projects that are focused on improving the understanding of the biology and management of Yukon River Chinook salmon and summer and fall chum salmon.

Applicant Eligibility:

The intended result of this funding is to improve the understanding of the biology and management of Yukon River salmon species.

Beneficiary Eligibility:

Projects that are awarded money from this source will ultimately benefit the rural and urban American and Canadian public that subsist off of the salmon resources of the Yukon River.

Credentials/Documentation:

Federal law mandates that all organizations applying for Federal financial assistance must have a valid Dun & Bradstreet Data Universal Number System (DUNS) number and have a current registration in the Central Contractor Registry (CCR). Individuals submitting an application on their own behalf and not on behalf of a company, state, local or tribal government, academia or other type of organization are exempt from the DUNS number and CCR requirements. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. This is a two-step proposal process: 1) submit a one page initial proposal; 2) If initial proposal is approved, provide a detailed proposal including a completed and signed SF424 Application for Federal Assistance, a completed SF424A Budget Information (Non-construction) form, and a signed SF424B Assurances form. Detailed instructions for submitting both an initial proposal and a detailed proposal will be posted on Grants.gov. The Project Officer for the grant announces the call for initial proposals via newspapers, email, and Grants.gov. Links to the initial call for proposals are posted on the Fairbanks Fish and Wildlife Field Office website and are

distributed to state, federal, NGOs, and universities.

Award Procedure:

The initial project proposals will be evaluated by an interagency review committee which will provide recommendations to the U.S. Section of the Yukon River Panel (Panel) for final approval. Authors of selected initial proposals will be notified by the Service to submit detailed proposals for final review and selection. Service staff will notify applicants of review results by either sending them an approval letter stating their selection and a project agreement to sign and return to the Service or by sending written notification to the applicant that the application was not selected. Potential recipients must sign the project agreement and return it before funds can be obligated. Once the potential recipients sign the project agreement, the Service will obligate funds and issuing a fully executed Award either electronically or through the mail.

Deadlines:

Oct 02, 2013 October 2, 2013.

Range of Approval/Disapproval Time:

Applicants will receive notice of approval/disapproval within 60 calendar days of submitting an initial proposal and within 60 calendar days of submitting a detailed proposal.

Appeals:

Not Applicable.

Renewals:

Recipients may request funding for a subsequent year/cycle of a currently funded project. However, these requests are subject to the same submission, review, and approval requirements as all new applications. This program will fund any approved renewal application as a new award, not as an amendment to an existing award. Recipients may request approval for budget and project plan revisions of funded projects, including period of performance and reporting due date extensions, in accordance with 43 CFR Part 12.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Program will include any special award terms and conditions in the notice of award. See the following for information on how assistance is awarded/released: Program obligates funds and sends a notice of award to successful applicants. Recipients request awarded funds as needed and in accordance with 43 CFR Part 12, unless otherwise dictated by program-specific legislation/regulation or special award terms.

Reports:

No program reports are required. No cash reports are required. Recipients must submit a final performance report no later than 90 calendar days after the award end date. Recipients of awards with performance periods longer than 12 months will be required to submit interim reports. Program may require recipients to submit interim reports annually, semiannually, or quarterly. Program will detail all performance reporting requirements, including frequency and due dates, in the notice of award letter. Recipients must report expenditures using the SF 425, Federal Financial Report form. Recipients must submit a final report no later than 90 calendar days after the award end date. Recipients of awards with performance periods longer than 12 months will be required to submit interim reports. Program may require recipients to submit interim reports annually, semiannually, or quarterly. Program will detail all financial reporting requirements, including frequency and due dates, in the notice of award letter. Recipients are responsible for monitoring performance for each award and sub-award under this program in accordance with 43 CFR Part 12.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that

year, except as noted in Circular No. A-133.

Records:

Recipients that are states and local governments will maintain records in accordance with 43 CFR Part 12, Subpart C (section 12.82). All other recipients will maintain records in accordance with 43 CFR Part 12, Subpart F (section 12.953). Program-specific legislation/regulation may dictate additional records retention requirements. Program will detail all non-standard records retention requirements in the notice of award.

Account Identification:

14-1611-0-1-302.

Obligations:

(Project Grants (Discretionary)) FY 12 \$352,538; FY 13 est \$338,000; and FY 14 est \$0

Range and Average of Financial Assistance:

Range: \$3,000-220,456; Average: \$40,756.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: In FY12 the program received 24 conceptual proposal applications and issued nine awards. Fiscal Year 2013: In FY13 the program received 22 conceptual proposal applications and anticipates awarding nine or ten awards. Fiscal Year 2014: The program anticipates receiving similar numbers of applications as the previous two years.

REGULATIONS, GUIDELINES, AND LITERATURE:

Per <http://www.doi.gov/pam/TermsandConditions.html>, acceptance of a Federal Financial award from the Department of the Interior carries with it the responsibility to be aware of and comply with the terms and conditions of the award. Acceptance is defined as starting work, drawing down or otherwise requesting funds, or accepting the award via electronic means. Awards are based on the application submitted to, and as approved by the Department of the Interior and are subject to the terms and conditions incorporated in to the Award either directly or by reference to the following: Program legislation/regulation, assurances, special conditions, the Code of Federal Regulations, and other regulatory requirements, as applicable.

Regional or Local Office:

See Regional Agency Offices. Research and Management Fund Coordinator, Fairbanks Fish and Wildlife Field Office, 101 12th Avenue, Room 110, Fairbanks, Alaska, 99701, 907-456-0418, FAIR_USRM@fws.gov.

Headquarters Office:

Alaska Region Fish and Wildlife Office, 1011 East Tudor Road, Anchorage, Alaska 99503 Phone: 907-786-3523

Website Address:

<http://alaska.fws.gov/fisheries/fieldoffice/fairbanks/index.htm>

RELATED PROGRAMS:

15.608 Fish and Wildlife Management Assistance; 15.664 Fish and Wildlife Coordination and Assistance Programs

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: FY12: The program selected nine projects in 2012 that included projects such as:

- a) Building & Maintaining Public Support of Salmon Resource Management
- b) Annual Catch-Per-Unit-Effort Data Collected by the Yukon River Sub-District YSA Test Fish Wheel Project
- c) Technical Assistance, Development, and Support to Tanana River Fish Wheel Salmon Monitoring Projects using Remote Video Technology
- d) Mountain Village Fall Season Gillnet Test Fishery
- e) Salcha River Chinook and Chum Salmon Counting Tower, 2010
- f) Pilot Station Extended Field Operations
- g) Chena River juvenile salmon presence and habitat selection, citizen scientists
- h) Nulato River Chinook Genetic Collection. Fiscal Year 2013: Program has not yet selected projects for funding. Program anticipates funding projects that improve the ability to better conserve and manage salmon stocks of the Yukon River in Alaska. Fiscal Year 2014: Program has not yet selected projects for funding. Program anticipates funding projects that improve the ability to better conserve and manage salmon stocks of the Yukon River in Alaska.

CRITERIA FOR SELECTING PROPOSALS:

Review criteria are based on the applications requirements as posted in the call for proposals. Selections for funding are principally based on the merit of the project and how well the project addresses one or more of the priorities and factors identified in the call for proposals. Proponents success in previous projects and their ability to successfully and efficiently execute the new project is also taken into consideration. Finally, timeliness in the submission process is also used as a criterion for approval.

15.672 WILDLIFE WITHOUT BORDERS AMPHIBIANS IN DECLINE FEDERAL AGENCY:

Fish and Wildlife Service, Department of the Interior

AUTHORIZATION:

Endangered Species Act of 1973, as amended (16 U.S.C. 1531-43).

OBJECTIVES:

The goal of this grants program is to provide funding for specific conservation actions that have a high likelihood of creating durable benefits to amphibian species facing immediate threat of extinction, such as: Protection of at-risk populations; Veterinary/wildlife health interventions; Activities related to execution of species survival plans; Strengthening local capacity to conserve the target species; Actions addressing unsustainable exploitation; Efforts to mitigate or stop wildlife-human conflict; and Rehabilitation and rescue. Proposed project work should occur within the species range, or, if work is to be conducted outside of the range, the proposal should show a clear relevance to its conservation.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

The program will fund projects that conserve the worlds rapidly declining amphibian species. Species eligible for funding are those frogs, toads, salamanders, newts, and caecilians that face a very high risk of extinction in the immediate future. Species should meet the criteria to be listed as Critically Endangered or Endangered on the International Union for the Conservation of Nature (IUCN) Red List. Species listed as Data Deficient on the IUCN Red List are also eligible if the applicant can provide information that suggests a similar urgency for conservation action. Species listed as Extinct in Wild are eligible if the applicant is proposing a reintroduction attempt. The following species are NOT eligible for funding: Species with natural habitat range located primarily within the United States, territories of the United States, Canada, and the high income economies of Europe; and Non-amphibian species.

Applied research projects should address specific management needs and actions. Funds provided under this program will not be used for: the purchase of firearms or ammunitions; buying of intelligence information or paying informants; gathering information by persons who conceal their true identity; law enforcement operations that prompt suspects to carry out illegal activities so they may be arrested (entrapment); or any activity that would circumvent sanctions, laws or regulations of either the U.S. or the country in which the activity would occur. Funds may not be used to provide material support or resources to individuals, entities, or organizations of countries that have been identified by the U.S. Department of State as state sponsors of terrorism. This program is administered in compliance with the Federal Grants and Cooperative Agreements Act of 1977, as amended. These funds may not be used towards training U.S. Federal Government personnel. Project work should occur in countries outside of the United States that have within their boundaries amphibian habitats and be conducted by any person or group with the demonstrated expertise required for the successful implementation of the proposed project. 100%.

Applicant Eligibility:

Applications may be submitted by any non-domestic (outside of the United States) government agency responsible for amphibian conservation and any other U.S.-based or non-domestic public or private organization or institution or individual with demonstrated experience in amphibian conservation.

Beneficiary Eligibility:

Non-domestic (outside of the United States) government agencies responsible for amphibian conservation and any other U.S.-based or non-domestic public or

private non-governmental organization or institution or individual with demonstrated experience in amphibian conservation.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. The program announcement and application instructions for this program can be found at the Grants.gov website: <http://www.grants.gov>. Complete application information can also be found at <http://www.fws.gov/international/grants-and-reporting/how-to-apply.html>, or, if unable to access the website, by requesting a paper copy from the Division of International Conservation, U.S. Fish and Wildlife Service, 4401 N. Fairfax Drive, Room 100, Arlington, VA 22203, Telephone: (703) 358-1754. A proposal will not be considered complete if required elements are not submitted as instructed. U.S. applicants must submit (among other elements) a complete, signed Standard Form 424 Application for Federal Assistance.

Award Procedure:

Projects are reviewed and competitively selected for funding based on established criteria. Review criteria can be found in the application information at <http://www.fws.gov/international/grants-and-reporting/how-to-apply.html>. Once a proposal has been selected for funding, an FWS approving official executes an Assistance Award between the Fish and Wildlife Service and the Recipient. Fully executed Awards are sent to the Recipient either electronically or through the mail. The Division of International Conservation then administers all other aspects of the Assistance Award.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Decisions on funding of project proposals will be made within 180 days after the receipt of the project proposal.

Appeals:

Not Applicable.

Renewals:

Recipients may request funding for a subsequent year/cycle of a currently funded project. However, these requests are subject to the same submission, review, and approval requirements as all new applications. This program will fund any approved renewal application as new award, not as an amendment to an existing award. Recipients may request approval for budget and project plan revisions of funded projects, including period of performance and reporting due date extensions, in accordance with 43 CFR Part 12.

Formula and Matching Requirements:

This program has no statutory formula. This program has no matching requirements. This program does not have a statutory matching requirement. However, to the extent possible, Federal funds should be matched by non-Federal in-kind or cash contributions. Selection preference is given to projects for which matching funds are available. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Program obligates funds and sends a notice of award to successful applicants. See the following for information on how assistance is awarded/released: Recipients request awarded funds as needed and in accordance with 43 CFR Part 12, unless otherwise dictated by program-specific legislation/regulation or special award terms. Program will include any special award terms and conditions in the notice of award.

Reports:

No program reports are required. No cash reports are required. Recipients must submit a final performance report no later than 90 calendar days after the award end date. Recipients of awards with performance periods longer than 12

months will be required to submit interim reports. Program may require recipients to submit interim reports annually, semiannually, or quarterly. Program will detail all performance reporting requirements, including frequency and due dates, in the notice of award letter. Domestic recipients must report expenditures using the SF 425, Federal Financial Report form. Non-domestic recipients must submit a financial table or spreadsheet in the same format as the original project proposal budget, showing itemized expenditures for the reporting period. Recipients must submit a final report no later than 90 calendar days after the award end date. Recipients of awards with performance periods longer than 12 months will be required to submit interim reports. Program may require recipients to submit interim reports annually, semiannually, or quarterly. Program will detail all financial reporting requirements, including frequency and due dates, in the notice of award letter.. Recipients are responsible for monitoring performance for each award and sub-award under this program in accordance with 43 CFR Part 12. Complete reporting requirements can be found at this program's web page at <http://www.fws.gov/international/pdf/assistance-award-guidelines.pdf>.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Recipients that are states and local governments will maintain records in accordance with 43 CFR Part 12, Subpart C (section 12.82). All other recipients will maintain records in accordance with 43 CFR Part 12, Subpart F (section 12.953). Program-specific legislation/regulation may dictate additional records retention requirements. Program will detail all non-standard records retention requirements in the notice of award.

Account Identification:

14-1611-0-1-302.

Obligations:

(Project Grants (Discretionary)) FY 12 \$96,701; FY 13 est \$100,000; and FY 14 est \$0 - CFDA should remain active through FY 14.

Range and Average of Financial Assistance:

Range: \$10,000- \$40,000. Average: \$25,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Program received 20 applications and issued 4 awards. Fiscal Year 2013: No Current Data Available. Fiscal Year 2014: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Per <http://www.doi.gov/pam/TermsandConditions.html>, acceptance of a Federal Financial award from the Department of the Interior carries with it the responsibility to be aware of and comply with the terms and conditions of the award. Acceptance is defined as starting work, drawing down or otherwise requesting funds, or accepting the award via electronic means. Awards are based on the application submitted to, and as approved by the Department of the Interior and are subject to the terms and conditions incorporated in to the Award either directly or by reference to the following: Program legislation/regulation, assurances, special conditions, the Code of Federal Regulations, and other regulatory requirements, as applicable.

Awards will be administered in conformance with the Assistance Award Guidelines established by the Division of International Conservation. The Assistance Award Guidelines can be found on-line at http://www.fws.gov/international/DIC/pdf/AAG_9-6-07.pdf or in paper copy by contacting the Division of International Conservation at (703) 358-1754.

Regional or Local Office:

None.

Headquarters Office:

Dr. Matt Muir U.S. Fish and Wildlife Service, 4401 North Fairfax Drive, Room

100, , Arlington, Virginia 22203 Email:
fw9_wwb_ad@fws.gov;matt_muir@fws.gov Phone: (703) 358 2061 Fax: (703)
358 2115

Website Address:

<http://www.fws.gov/international/wildlife-without-borders/amphibians-in-decline.html>

RELATED PROGRAMS:

15.640 Wildlife Without Borders- Latin America and the Caribbean ; 15.641 Wildlife Without Borders-Mexico ; 15.651 Wildlife Without Borders-Africa Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: The program supported 1) a conservation effort for multiple amphibian species in the Atlantic Forest of Brazil, by determining the prevalence of chytrid fungus infection among six endangered frog species in relation to the abundance of the non-native American bullfrog (*Lithobates catesbeianus*). Specific activities include: field surveys at five Atlantic Forest sites to determine the abundance of American bullfrogs; field surveys of chytrid fungus prevalence among focal endangered species; DNA sequence analysis of the chytrid fungus detected at the survey sites to determine the relative distribution and frequency of different chytrid genotypes; and outreach to government personnel and other key stakeholders to inform them of the presence of chytrid fungus in the Atlantic forest, the association between chytrid, bullfrog farms, and escaped bullfrogs, and to discuss mitigation measures. 2) a conservation effort for a harlequin frog species (*Atelopus varius*) in southeastern Costa Rica, by establishing the species status and by preventing the spread of the chytrid fungal pathogen. Specific activities include: field surveys to determine the species distribution, community, and ecology in Las Tablas Protected Area in the Talamanca region, where a remnant population was detected in 2009 after most populations had gone extinct; field surveys of native and non-native predators, including trout; field surveys of chytrid prevalence and of potential carriers of the fungal pathogen; and community outreach to reduce water contamination and to promote endangered species conservation. 3) Conducted field surveys in areas outside the Lao Newts known distribution and by working with local communities and other key stakeholders to reduce unsustainable harvest. Specific activities include: training of field assistants from the National University of Laos Faculty and Science Department on survey techniques; field surveys in the southern part of the Nam Et Phou Louey National Protected Area and the Kham District along the Vietnam border to determine if the species occurs within a protected area and outside its known distribution; outreach to local communities to inform villagers of the species status and legal protection and to reduce harvesting for the illegal international pet trade; and (4) collaboration with the Provincial Agriculture and Forestry Services Offices to ensure that the species occurs within a conservation area. Fiscal Year 2013: Program has not yet selected projects for funding. Fiscal Year 2014: Program has not yet selected projects for funding.

CRITERIA FOR SELECTING PROPOSALS:

To be considered for funding, proposals must specify a conservation action for an eligible species. The Division of International Conservation ranks proposals by scoring how well each addresses the program priority areas and the requested proposal elements. High priority, well-justified and feasible projects that address all of the requested proposal elements will receive higher scores.

These scores are not the sole determining factor for final funding decisions.

Other review criteria include considering the degree to which a project:

Demonstrates the high degree of threat or extinction risk that the target species experiences;

Addresses a conservation need of a species that is exceptional ecologically, evolutionarily, or in conservation (Note that it is the responsibility of the applicant to articulate what quality makes the species exceptional, but examples would include a species that strongly influences the ecological community in which it occurs, a species that is genetically distinct with few close relatives, a species that exhibits a distinctly unusual behavior, or a species whose conservation would catalyze the conservation of multiple species);

Occurs in a region or conserves a taxon poorly represented in the Amphibians in Decline portfolio;

Makes a significant and durable contribution to the survival of the species, or to the integrity of its habitat or an area with significant conservation value for

the species;

Addresses a conservation need identified by a specialist group or by a regional, national or global strategy;

Applies the best scientific and technical information and methods available in support of project activities;

Includes the participation of local partners in project activities, including meaningful involvement of government, community or civil society stakeholders;

Is feasible and likely to be successfully implemented as stated;

Advances the practice of conservation by being designed and implemented in a way that the successes and failures of activities can be credibly assessed and shared;

Emphasizes conservation action rather than data collection; and

Implements activities or provides benefits that have the potential to be sustaining beyond the period of performance of the grant, including training that develops local capacity to implement or manage conservation projects.

The above considerations are not listed in any order of importance. All considerations listed do not necessarily apply to every proposal. Following review, applicants may be asked to revise the project scope and/or budget before a final funding decision can be made.

15.673 WILDLIFE WITHOUT BORDERS CRITICALLY ENDANGERED ANIMAL CONSERVATION FUND

FEDERAL AGENCY:

Fish and Wildlife Service, Department of the Interior

AUTHORIZATION:

Endangered Species Act of 1973, as amended (16 U.S.C. 1531-43).

OBJECTIVES:

The goal of this grants program is to reduce threats to highly endangered wildlife in their natural habitat. Proposals should identify specific conservation actions that have a high likelihood of creating durable benefits, such as: Protection of at-risk populations; Veterinary/wildlife health interventions; Activities related to execution of species survival plans; Strengthening local capacity to conserve the target species; Actions addressing unsustainable exploitation; Efforts to mitigate or stop wildlife-human conflict; and Rehabilitation and rescue.

Project activities that emphasize data collection and status assessment should describe a direct link to management action, and explain how lack of information has been a key limiting factor for management action in the past. Proposals that do not identify how actions will reduce threats, or do not demonstrate a strong link between data collection and management action, have a lower likelihood of being selected. Proposed project work should occur within the species range, or, if work is to be conducted outside of the range, the proposal should show a clear relevance to its conservation.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Species eligible for funding are those that face a very high risk of extinction in the immediate future. Species should meet the criteria to be listed as Critically Endangered or Endangered on the International Union for the Conservation of Nature (IUCN) Red List. Species listed as Data Deficient on the IUCN Red List are also eligible if the applicant can provide information that suggests a similar urgency for conservation action. Species listed as Extinct in Wild are eligible if the applicant is proposing a reintroduction attempt. The following species are NOT eligible for funding: Species with natural habitat range located primarily within the United States, territories of the United States, Canada, and the high income economies of Europe; Species that are eligible for funding under one of the Multinational Species Conservation Fund programs, including: Asian elephant, African elephant, rhinoceros (all species), tiger (all subspecies), gorilla, chimpanzee, bonobo, orangutan, gibbons (all species) and marine turtles (all species); and Amphibian species that are eligible for funding through the Wildlife Without Borders - Amphibians in Decline program, including frogs, toads, salamanders, newts, and caecilians. Proposed project work should occur within the species range, or, if work is to be conducted

outside of the range, the proposal should show a clear relevance to its conservation.

Funds provided under this program will not be used for: the purchase of firearms or ammunitions; buying of intelligence information or paying informants; gathering information by persons who conceal their true identity; law enforcement operations that prompt suspects to carry out illegal activities so they may be arrested (entrapment); or any activity that would circumvent sanctions, laws or regulations of either the U.S. or the country in which the activity would occur. Funds may not be used to provide material support or resources to individuals, entities, or organizations of countries that have been identified by the U.S. Department of State as state sponsors of terrorism. This program is administered in compliance with the Federal Grants and Cooperative Agreements Act of 1977, as amended. These funds may not be used towards training U.S. Federal Government personnel. Program will fund projects that conserve the worlds most endangered species. 100%.

Applicant Eligibility:

Applications may be submitted by any non-domestic (outside of the United States) government agency responsible for endangered species conservation and any other U.S.-based or non-domestic public or private organization or institution or individual with demonstrated experience in endangered species conservation.

Beneficiary Eligibility:

Non-domestic (outside of the United States) government agencies responsible for endangered species conservation and any other U.S.-based or non-domestic public or private non-governmental organization or institution or individual with demonstrated experience in endangered species conservation.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. The program announcement and application instructions for this program can be found at the Grants.gov website: <http://www.grants.gov>. Complete application information can also be found at <http://www.fws.gov/international/grants-and-reporting/how-to-apply.html>, or, if unable to access the website, by requesting a paper copy from the Division of International Conservation, U.S. Fish and Wildlife Service, 4401 N. Fairfax Drive, Room 100, Arlington, VA 22203, Telephone: (703) 358-1754. A proposal will not be considered complete if required elements are not submitted as instructed. U.S. applicants must submit (among other elements) a complete, signed Standard Form 424 Application for Federal Assistance.

Award Procedure:

Projects are reviewed and competitively selected for funding based on established criteria. Review criteria can be found in the application information at <http://www.fws.gov/international/grants-and-reporting/how-to-apply.html>. Once a proposal has been selected for funding, an FWS approving official executes an Assistance Award between the Fish and Wildlife Service and the Recipient. Fully executed Awards are sent to the Recipient either electronically or through the mail. The Division of International Conservation then administers all other aspects of the Assistance Award.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Decisions on funding of project proposals will be made within 180 days after the receipt of the project proposal.

Appeals:

Not Applicable.

Renewals:

Recipients may request funding for a subsequent year/cycle of a currently

funded project. However, these requests are subject to the same submission, review, and approval requirements as all new applications. This program will fund any approved renewal application as new award, not as an amendment to an existing award. Recipients may request approval for budget and project plan revisions of funded projects, including period of performance and reporting due date extensions, in accordance with 43 CFR Part 12.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. This program does not have a statutory matching requirement. However, to the extent possible, Federal funds should be matched by non-Federal in-kind or cash contributions. Selection preference is given to projects for which matching funds are available. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Program obligates funds and sends a notice of award to successful applicants. See the following for information on how assistance is awarded/released: Recipients request awarded funds as needed and in accordance with 43 CFR Part 12, unless otherwise dictated by program-specific legislation/regulation or special award terms. Program will include any special award terms and conditions in the notice of award.

Reports:

No program reports are required. No cash reports are required. Recipients must submit a final performance report no later than 90 calendar days after the award end date. Recipients of awards with performance periods longer than 12 months will be required to submit interim reports. Program may require recipients to submit interim reports annually, semiannually, or quarterly. Program will detail all performance reporting requirements, including frequency and due dates, in the notice of award letter. Domestic recipients must report expenditures using the SF 425, Federal Financial Report form. Non-domestic recipients must submit a financial table or spreadsheet in the same format as the original project proposal budget, showing itemized expenditures for the reporting period. Recipients must submit a final report no later than 90 calendar days after the award end date. Recipients of awards with performance periods longer than 12 months will be required to submit interim reports. Program may require recipients to submit interim reports annually, semiannually, or quarterly. Program will detail all financial reporting requirements, including frequency and due dates, in the notice of award letter.. Recipients are responsible for monitoring performance for each award and sub-award under this program in accordance with 43 CFR Part 12. Complete reporting requirements can be found at this program's web page at <http://www.fws.gov/international/pdf/assistance-award-guidelines.pdf>.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Recipients that are U.S. states and local governments will maintain records in accordance with 43 CFR Part 12, Subpart C (section 12.82). All other recipients will maintain records in accordance with 43 CFR Part 12, Subpart F (section 12.953). Program-specific legislation/regulation may dictate additional records retention requirements. Program will detail all non-standard records retention requirements in the notice of award.

Account Identification:

14-1611-0-1-302.

Obligations:

(Project Grants (Discretionary)) FY 12 \$269,463; FY 13 est \$100,000; and FY 14 est \$0 - CFDA should remain active through FY 14.

Range and Average of Financial Assistance:

Funding range of projects is \$10,000 40,000. Average funding is \$25,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Program received 100 applications and issued 11 awards.
Fiscal Year 2013: Program has not yet selected projects for funding. Fiscal
Year 2014: Program has not yet selected projects for funding.

REGULATIONS, GUIDELINES, AND LITERATURE:

Per <http://www.doi.gov/pam/TermsandConditions.html>, acceptance of a Federal Financial award from the Department of the Interior carries with it the responsibility to be aware of and comply with the terms and conditions of the award. Acceptance is defined as starting work, drawing down or otherwise requesting funds, or accepting the award via electronic means. Awards are based on the application submitted to, and as approved by the Department of the Interior and are subject to the terms and conditions incorporated in to the Award either directly or by reference to the following: Program legislation/regulation, assurances, special conditions, the Code of Federal Regulations, and other regulatory requirements, as applicable.

Awards will be administered in conformance with the Assistance Award Guidelines established by the Division of International Conservation. The Assistance Award Guidelines can be found on-line at http://www.fws.gov/international/DIC/pdf/AAG_9-6-07.pdf or in paper copy by contacting the Division of International Conservation at (703) 358-1754.

Regional or Local Office:

None.

Headquarters Office:

Dr. Matt Muir U.S. Fish and Wildlife Service, 4401 North Fairfax Drive, Room 100, , Arlington, Virginia 22203 Email: fw9_wwb_ad@fws.gov; matt_muir@fws.gov Phone: (703) 358 2061 Fax: (703) 358 2115

Website Address:

<http://www.fws.gov/international/wildlife-without-borders/critically-endangered-animals-conservation-fund.html>

RELATED PROGRAMS:

15.640 Wildlife Without Borders- Latin America and the Caribbean ; 15.641 Wildlife Without Borders-Mexico ; 15.651 Wildlife Without Borders-Africa Program; 15.672 Wildlife Without Borders Amphibians in Decline

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: The program supported 1) A conservation effort for the snow leopard (*Panthera uncia*) in the Annapurna and Manaslu Conservation Areas, Nepal, by reducing predation on livestock and improving animal husbandry practices. Specific activities include: stakeholder meetings to build consensus on project goals, to develop village plans to reduce depredations, and to promote animal husbandry practices that deter predation; a corral survey to identify depredation hotspots and husbandry practices; predator-proofing of at least six corral sites; and a camera trap and genotype study to engage communities in monitoring and to identify individual problematic snow leopards. 2)The protection of remaining Siamese crocodile breeding colonies in the Cardamom Mountains of southwest Cambodia and supplementing the wild population through the release of captive juveniles. Specific activities include: release of at least 20 juveniles into the wild from the Phnom Tamao Wildlife Rescue Centre, including five individuals with radio-telemetry tags for post-release monitoring; field surveys of crocodile abundance and reproduction; community patrols of at least three wetland sites and one reintroduction site to deter and report illegal activities; development of income-generating projects that establish livelihood alternatives to poaching and encroachment on natural habitats; and government and stakeholder meetings to build support for six national crocodile sanctuaries and to coordinate activities between groups. 3) A conservation effort for the Chinese crested tern (*Sterna bergsteini*) in the Jiushan Islands, China, by using bird decoys and audio playbacks to encourage terns to nest on suitable islands. Specific activities include: an island survey to identify suitable nesting habitat; installation of at least 400 decoys, at least 3 audio playback systems, and observation blinds to encourage use of endangered species and to monitor human disturbance; and outreach with government officials and local communities to build support for endangered species conservation. Fiscal Year 2013: Program has not yet selected projects for funding. Fiscal Year 2014: Program has not yet selected projects for funding.

CRITERIA FOR SELECTING PROPOSALS:

To be considered for funding, proposals must specify a conservation action for an eligible species, listed above in the Description of Funding Opportunity. The Division of International Conservation ranks proposals by scoring how well each addresses the program priority areas and the requested elements listed in the Application and Format section above. High priority, well-justified and feasible projects that address all of the requested proposal elements will receive higher scores. These scores are not the sole determining factor for final funding decisions. Other review criteria include considering the degree to which a project:

- Demonstrates the qualification of the target species as experiencing a high degree of threat or extinction risk; Addresses a conservation need of a species that is exceptional ecologically, evolutionarily, or in conservation (Note that it is the responsibility of the applicant to articulate the exceptional quality of the species, but examples would include a species that strongly influences the ecological community in which it occurs, that is genetically distinct with few close relatives, that exhibits a distinctly unusual behavior, or whose conservation would catalyze the conservation of multiple species); Occurs in a region or conserves a taxon poorly represented in the CEACF portfolio;
- Makes a significant and durable contribution to the survival of the species, or to the integrity of its habitat or an area with significant conservation value for the species;
- Addresses a conservation need identified by a specialist group or by a regional, national or global strategy;
- Applies the best scientific and technical information and methods available in support of project activities;
- Includes the participation of local partners in project activities, including meaningful involvement of government, community or civil society stakeholders;
- Is feasible and likely to be successfully implemented as stated;
- Advances the practice of conservation by being designed and implemented in a way that the successes and failures of activities can be credibly assessed and shared;
- Emphasizes conservation action rather than data collection; and
- Implements activities or provides benefits that have the potential to be sustaining beyond the period of performance of the grant, including training that develops local capacity to implement or manage conservation projects.

These considerations are not listed in order of importance. All considerations listed do not necessarily apply to every proposal. The Division of International Conservation occasionally receives and funds proposals outside of the announced submission deadlines that are of such a critical nature (examples include projects resulting from a natural disaster, disease outbreak, other imminent threats or population crash, among others) that they warrant immediate consideration.

15.674 NATIONAL FIRE PLAN-WILDLAND URBAN INTERFACE COMMUNITY FIRE ASSISTANCE

FEDERAL AGENCY:

Fish and Wildlife Service, Department of the Interior

AUTHORIZATION:

Department of the Interior and Related Agencies: 42 U.S.C. 1856 et seq., Appropriations Act of 2001, Title IV, Public Law 106-291. The Consolidated Appropriations Act of 2010, Division A, Department of the Interior, Environment and Related Agencies Appropriations Act, Department-wide Programs, Wildland Fire Management, Public Law 111-88.

OBJECTIVES:

To implement the National Fire Plan and assist communities at risk from catastrophic wildland fires by providing assistance in the following areas: Implementation of community programs that develop and enhance local capability in the areas of risk assessment and planning, training, mitigation activities, and community and homeowner education and action; Planning and implementation of hazardous fuels reduction activities aimed at mitigating the threat of catastrophic wildfire to communities and natural resources in high risk areas, including associated monitoring or maintenance, on federal land and/or on adjacent nonfederal land ; Enhancement of local and small business employment opportunities for rural

communities; and Providing education and training focused on increasing knowledge and fire protection capability of rural fire districts. Projects that include a cost-share or service in-kind contribution will have priority for selection.

TYPES OF ASSISTANCE:

Advisory Services and Counseling; Cooperative Agreements (Discretionary Grants); Dissemination of Technical Information; Provision of Specialized Services; Training; Use of Property, Facilities, and Equipment

USES AND USE RESTRICTIONS:

GIS analysis for HFR, support outreach and education efforts associated with HFR management and risk reduction activities; and increasing the effectiveness of wildland urban interface fire protection. In addition, FWS staff may be involved in project activities. FWS involvement may include the provision of technical assistance, advising in the development of Community Wildfire Protection Plans or equivalent. All projects selected must meet all applicable National Environmental Protection Act (NEPA) laws. Funding levels vary across fiscal years. For more information, please contact the announcing regional office fire program. Hazardous fuel reduction (HFR) projects are restricted to those that protect both Federal lands and adjacent non-Federal land. FWS will fund projects that support community-based efforts including, but not limited to: addressing defensible space and hazardous fuels management issues. 100 percent of discretionary funds is used for discretionary activities.

Applicant Eligibility:

State and local governments and communities at risk and communities of interest, as published in the Federal Register/Vol. 66, No. 160 / Friday, August 17, 2001 or updated Governor-signed list, Indian Tribes, private land owners, public and private education institutions, and nonprofit organizations that manage lands. All selectees must be identified as significant to FWS, deemed by a cooperative agreement, memorandum of understanding, and/or part of an interagency agreement that serves as a clearinghouse for HFR assistance monies.

Federal law mandates that all organizations applying for Federal financial assistance must have a valid Dun & Bradstreet Data Universal Number System (DUNS) number and have a current registration in the Central Contractor Registry (CCR). Individuals submitting an application on their own behalf and not on behalf of a company, state, local or tribal government, academia or other type of organization are exempt from the DUNS number and CCR requirements.

Beneficiary Eligibility:

State and local governments and communities at risk and communities of interest, as published in the Federal Register or updated Governor-signed list, Indian Tribes, private land owners, public and private education institutions, and nonprofit organizations that manage lands. All selectees must be identified as significant to FWS. For mitigation activities (projects that are removing hazardous fuels) all work funded must be identified from a signed CWPP or CWPP/E or will be signed by expected funding date.

Credentials/Documentation:

The applicant must provide evidence that they are: party to an agreement with the State Forester (or equivalent) or Tribe; party to a cooperative fire agreement/memorandum of understanding with a DOI USFWS; or is a cooperator, or in progress of being a cooperator, with the Department of the Interior (DOI) through a cooperative agreement with the DOI or their respective State, or equivalents such as Fire Safe Councils, Prescribed Fire Councils, etc. Applicants must reference the applicable CWPP or CWPP/E by name and community. Applicants must be in close proximity to DOI lands and communities within the wildland urban interface. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

All projects must meet Federal, State, and Local laws for environmental considerations. This will vary between states and is site specific. This is the responsibility of the applicant. For mitigation activities (projects that are removing hazardous fuels), all parties must have a signed CWPP or CWPP/E or will be signed by expected funding date. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applicants must provide a detailed proposal including a completed and signed SF424 Application for Federal Assistance, a completed SF424A Budget Information (Non-construction) form, and a signed SF424B Assurances form. Detailed instructions for submitting a proposal will be posted on Grants.gov.

Award Procedure:

The FWS reviews Wildland Urban Interface community projects at a Regional level and makes funding recommendations through each Region's annual work plan process. Applications are reviewed to ensure applicants meet the eligibility requirements and have the necessary documentation/credentials. The workgroup then establishes each applicant's level of significance (LOS). Factors to be considered in this assessment include: The applicant's proximity to DOI managed lands and cooperation role for the Wildland/Urban Interface; how well the applicant's proposals would increase Service success for limiting damages from wildfire; Other local or regional criteria, disclosed in advance to grant applicants.

Favorable consideration will be directed to those applicants that are in compliance with environmental regulations; have promptly expended previous funds awarded; comply with monitoring and reporting requirements in timely fashion; and, have consistently maintained cost-share commitments. The workgroup will also evaluate the applicant's relationship in supporting initiatives, direction plans such as the 10-year Comprehensive Strategy and its Implementation Plan, State fire plans, community wildfire protection plans or equivalent(s), and fire management plans. USFWS staff will notify applicants of review results by either issuing a fully executed Award either electronically or through the mail, or by sending written notification to the applicant that the application will not be funded.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

The approval time for financial assistance applications varies depending on the type and complexity of the project, funding levels, and expertise availability.

Appeals:

Not Applicable.

Renewals:

Recipients may request funding for a subsequent year/cycle of a currently funded or new project. However, these requests are subject to the same submission, review, and approval requirements as all new applications. This program will fund any approved renewal application as new award, not as an amendment to an existing award. Recipients may request approval for budget and project plan revisions of funded projects, including period of performance and reporting due date extensions, in accordance with 43 CFR Part 12.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Matching contributions can be in-kind or cash. The applicant must demonstrate the capability to meet cost share requirements which may include in-kind services. Cooperator contribution may exceed, but not amount to less than 10 percent of the amount awarded. Examples of in-kind services may include, but are not limited to, facility use incurred by an applicant for hosting training courses; Funding or in-kind resources may not be derived from other federal funding programs.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Recipients request awarded funds as needed and in accordance with 43 CFR Part 12, unless otherwise dictated by program-specific legislation/regulation or special award terms. Program will include any special award terms and conditions in the notice of award. See the following for information on how assistance is awarded/released: Program obligates funds and sends a notice of award to successful applicants.

Reports:

No program reports are required. No cash reports are required. Financial assistance recipients must submit a final performance report no later than 90

calendar days after the award end date. Recipients of awards with performance periods longer than 12 months will be required to submit interim reports. Program may require recipients to submit interim reports annually, semiannually, or quarterly. Program will detail all performance reporting requirements, including frequency and due dates, in the notice of award letter. Financial assistance recipients must report expenditures using the SF 425, Federal Financial Report form. Recipients must submit a final report no later than 90 calendar days after the award end date. Recipients of awards with performance periods longer than 12 months will be required to submit interim reports. Program may require recipients to submit interim reports annually, semiannually, or quarterly. Program will detail all financial reporting requirements, including frequency and due dates, in the notice of award letter. Financial assistance recipients are responsible for monitoring performance for each award and sub-award under this program in accordance with 43 CFR Part 12.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Financial assistance recipients that are states and local governments will maintain records in accordance with 43 CFR Part 12, Subpart C (section 12.82). All other recipient will maintain records in accordance with 43 CFR Part 12, Subpart F (section 12.953). Program-specific legislation/regulation may dictate additional records retention requirements. Program will detail all non-standard records retention requirements in the notice of award.

Account Identification:

14-1125-0-1-302.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 12 \$250,000; FY 13 est \$150,000; and FY 14 est \$150,000

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: 3 applications and issue 3 awards. Fiscal Year 2013: 4 applications and issue 4 awards. Fiscal Year 2014: The program anticipates 4 applications and 4 awards.

REGULATIONS, GUIDELINES, AND LITERATURE:

Per <http://www.doi.gov/pam/TermsandConditions.html>, acceptance of a Federal Financial award from the Department of the Interior carries with it the responsibility to be aware of and comply with the terms and conditions of the award. Acceptance is defined as starting work, drawing down or otherwise requesting funds, or accepting the award via electronic means. Awards are based on the application submitted to, and as approved by the Department of the Interior and are subject to the terms and conditions incorporated in to the Award either directly or by reference to the following: Program legislation/regulation, assurances, special conditions, the Code of Federal Regulations, and other regulatory requirements, as applicable.

The Fish and Wildlife Services wildland urban interface community assistance program is guided by the provisions of the National Fire Plan. A variety of public information on this plan is available by contacting the appropriate Regional Office Fire Management program or the National Interagency Fire Center's web site at: <http://www.nifc.gov>.

Regional or Local Office:

None.

Headquarters Office:

Kimberly Van Hemelryck National Fuels Program, U.S. Fish and Wildlife Service, National Interagency Fire Center, 3833 South Development Avenue , Boise, Idaho 83705 Phone: 208-387-5957

Website Address:

<http://www.fws.gov/fire>

RELATED PROGRAMS:

15.228 National Fire Plan - Wildland Urban Interface Community Fire Assistance; 15.948 National Fire Plan-Wildland Urban Interface Community Fire Assistance

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: The program funded 3 WUI projects in 3 communities at risk from wildfire. Projects funded accomplished mitigation activities (projects that are removing hazardous fuels) identified as a priority from a CWPP or CWPP/E and reduced the risk from wildland fire to community values and resources in the vicinity of Fish and Wildlife Service lands. Fiscal Year 2013: The program funded 4 WUI projects in 4 communities at risk from wildfire. Fiscal Year 2014: The program anticipates funding WUI projects in communities at risk from wildfire.94444.

CRITERIA FOR SELECTING PROPOSALS:

Criteria used to select assistance proposals are based on their State and local governments and communities at risk and/or communities of interest, as published in the Federal Register or updated Governor- signed list, Indian Tribes, private landowners, public and private education institutions, and nonprofit organizations that manage lands. All selectees must be identified as significant to FWS. For mitigation activities (projects that are removing hazardous fuels) all work funded must be identified from a have a signed CWPP or CWPP/E or will be signed by expected funding date on, and have the ability to reduce the risk from wildland fire to community values and resources, and to increase the capabilities of rural fire organizations, in the vicinity of Fish and Wildlife Service lands.

15.676 YOUTH ENGAGEMENT, EDUCATION, AND EMPLOYMENT PROGRAMS

(YEEEP)

FEDERAL AGENCY:

Fish and Wildlife Service, Department of the Interior

AUTHORIZATION:

Powers and Duties of the Secretary of the Interior (16 U.S.C. 460 Sec. 4601 (lower case L)-1(d) and (f); Fish and Wildlife Act of 1956, as amended by the Fish and Wildlife Improvement Act of 1978 (16 U.S.C. 742a-754j-2, as amended; Fish and Wildlife Coordination Act (16 U.S.C. 661); National Fish Hatchery System Volunteer Act of 2006 (Public Law 109-360); National Wildlife Refuge System Improvement Act of 1997; National Wildlife Refuge System Volunteer and Community Partnership Enhancement Act of 1998 (16 U.S.C. 742j); Public Lands Corps Act of 1993, as amended 2005 (16 U.S.C. 1721-1726, P.L. 109-154); Youth Conservation Corps Act of 1972 (P.L. 93-408).

OBJECTIVES:

To provide experiential, education, and employment program opportunities for youth of all ages (pre-K through early adult life, including students, associates, fellows, interns, members, and volunteers) to participate in conservation activities conducted by the U.S. Fish and Wildlife Service and/or in collaboration with other Department of the Interior bureaus, e.g., Bureau of Lands Management, National Park Service, U.S. Geological Survey; other federal agencies, i.e., Agriculture, Commerce, Corporation for National and Community Service, Environmental Protection Agency, and Labor; and non-Federal entities. The intent of these education, career and leadership development programs is to engage, educate, and employ youth participants to fields of natural resources conservation. A goal of these programs is to promote intra-agency and external partnership that support or enhance youth conservation initiatives and potential career and employment opportunities.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Recipients will use the assistance in rural and urban settings to fulfill the need for exposure of youth of all ages to nature and conservation; stewardship of our lands, waters and wildlife; as well as to enhance and sustain cultural heritage. The intent of these education, career and leadership development programs is to

engage, educate, and employ youth participants in the various fields of natural resources conservation fisheries management, habitat restoration, invasive species, pollinators, marine biology, migratory birds, strategic planning and lands management consistent with the Services mission. These activities and projects are conducted by the U.S. Fish and Wildlife Service often in collaboration with other Department of the Interior bureaus, e.g., Bureau of Lands Management, National Park Service, U.S. Geological Survey; other federal agencies, i.e., Agriculture, Commerce, Corporation for National and Community Service, Environmental Protection Agency, and Labor; and non-Federal entities. Refer to Program Guidelines attached to the Application Package of the funding opportunity posted, as appropriate, to www.grants.gov. Yes. 75 percent are discretionary funds.

Applicant Eligibility:

Applicants may be state agencies, local governments, Tribal organizations, interstate, Intrastate, public nonprofit institution/organization, other public institution/organization, private nonprofit/organization, or any other organization subject to the jurisdiction of the United States with interests that support the mission of the Service.

Beneficiary Eligibility:

Applicants may be state agencies, local governments, Tribal organizations, interstate, Intrastate, public nonprofit institution/organization, other public institution/organization, private nonprofit/organization, or any other organization subject to the jurisdiction of the United States with interests that support the mission of the Service.

Credentials/Documentation:

NONE. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Submit an Application for Federal Assistance (SF-424), Budget Narrative, and other standard forms as specified in the funding opportunity posted, as appropriate, to www.grants.gov.

Award Procedure:

The program posting a funding opportunity to www.grants.gov, as appropriate, adheres to the award review, selection and award procedures described in its authorizing legislation.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Refer to the Application Guidelines attached to the funding opportunity posted, as appropriate, to www.grants.gov.

Appeals:

NONE.

Renewals:

None. Recipients may request funding for a subsequent year/cycle of a currently funded project. However, these requests are subject to the same submission, review, and approval requirements as all new applications. This program will fund any approved renewal application as new award, not as an amendment to an existing award. Recipients may request approval for budget and project plan revisions of funded projects, including period of performance and reporting due date extensions, in accordance with 43 CFR Part 12.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. Refer to the Application Guidelines attached to the funding opportunity posted, as appropriate, to www.grants.gov.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Refer to the Application Guidelines attached to the funding opportunity posted,

as appropriate, to www.grants.gov. See the following for information on how assistance is awarded/released: Program obligates funds and sends a notice of award to successful applicants. Recipients request awarded funds as needed and in accordance with 43 CFR Part 12, unless otherwise dictated by program-specific legislation/regulation or special award terms. Program will include any special award terms and conditions in the notice of award.

Reports:

No program reports are required. No cash reports are required. Recipients must submit a final performance report no later than 90 calendar days after the award end date. Recipients of awards with performance periods longer than 12 months will be required to submit interim reports. Program may require recipients to submit interim reports annually, semiannually, or quarterly. Program will detail all performance reporting requirements, including frequency and due dates, in the notice of award letter. Recipients must report expenditures using the SF 425, Federal Financial Report form. Recipients must submit a final report no later than 90 calendar days after the award end date. Recipients of awards with performance periods longer than 12 months will be required to submit interim reports. Program may require recipients to submit interim reports annually, semiannually, or quarterly. Program will detail all financial reporting requirements, including frequency and due dates, in the notice of award letter. Recipients are responsible for monitoring performance for each award and sub-award under this program in accordance with 43 CFR Part 12.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Recipients that are states and local governments will maintain records in accordance with 43 CFR Part 12, Subpart C (section 12.82). All other recipients will maintain records in accordance with 43 CFR Part 12, Subpart F (section 12.953). Program-specific legislation/regulation may dictate additional records retention requirements. Program will detail all non-standard records retention requirements in the notice of award.

Account Identification:

14-1611-0-1-302; 14-1125-0-1-302.

Obligations:

(Project Grants (Discretionary)) FY 12 \$2,500,000; FY 13 est \$4,000,000; and FY 14 est \$4,000,000

Range and Average of Financial Assistance:

\$750.00 - \$200,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Collectively these programs received 350 applications and issued 285 awards. Fiscal Year 2013: Collectively these programs anticipate receiving 400 applications and issuing 300 awards. Fiscal Year 2014: Collectively these programs anticipate receiving 400 applications and issuing 300 awards.

REGULATIONS, GUIDELINES, AND LITERATURE:

43 CFR Part 12.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Denise Wagner 4401 NORTH FAIRFAX DRIVE
. Arlington, Virginia 22203 Email: denise_wagner@fws.gov Phone: (405) 635-4784

Website Address:

<http://www.fws.gov/letsgooutside/>

RELATED PROGRAMS:

15.649 Service Training and Technical Assistance (Generic Training); 15.654

Visitor Facility Enhancements - Vehicle Pullouts, Interpretive Trails, and Kiosks; 15.664 Fish and Wildlife Coordination and Assistance Programs

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: FY12: National Hispanic Environmental Council - Minority Youth Environmental Institute; National Archery in the Schools Program Bureau of Indian Education Physical Education; Ding Darling Wildlife Society Americas Darling documentary; Americas Great Outdoors (AGO), SCA Career Discovery Internship Program (CDIP), Connecting People With Nature (CPWN), Education Programs for Youth: Schools Out!, Lets Move in Indian Country (LMIC), Lets Move Outside (LMO), Montana Conservation Corps (MCC), Pathways, Public Lands Corps (PLC), Schoolyard Habitat/Outdoor Classroom Program (SHP), Student Educational Employment Program (SEEP), Urban Bird Treaty Program, Wilderness Fellows, Youth Conservation Corps (YCC), Youth in the Great Outdoors (YGO). Fiscal Year 2013: FY13: The Program has not yet selected projects for funding. Program anticipates funding projects that support the Youth in the Great Outdoors initiative and professional development. Fiscal Year 2014: FY14: Projects as yet not selected or funded.

CRITERIA FOR SELECTING PROPOSALS:

Criteria for selection vary and are dependent upon authorizing legislation and/or program-specific guidelines posted with the funding opportunity posted, as appropriate, on www.grants.gov.

15.677 HURRICANE SANDY DISASTER RELIEF ACTIVITIES-FWS

FEDERAL AGENCY:

Fish and Wildlife Service, Department of the Interior

AUTHORIZATION:

Disaster Relief Appropriations Act of 2013, Public Law 113-2.

OBJECTIVES:

To provide technical and financial assistance to identify, protect, conserve, manage, enhance or restore habitat and structures on both public and private lands that have been negatively impacted by Hurricane Sandy.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Assistance is provided to fund projects that relate to relief and rebuilding activities that improve or restore habitat and structures affected by the disaster. These projects may include, but are not limited to assessments, protection, restoration and monitoring activities. 100 % discretionary.

Applicant Eligibility:

Awards may be made to State/Local Governments, Indian Tribal Governments, Non-Profits, Institutes of Higher Education, Hospitals and For-Profit companies.

Beneficiary Eligibility:

These projects will directly benefit the public as a whole.

Credentials/Documentation:

Yes (use OMB Circular A-87 and supplement 2 CFR 225), if award is made to a State, Local or Indian Tribal Government. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. This program is subject to the Department of the Interior regulations at 43 CFR Part 12, or any subsequent revisions. The standard SF-424 application forms must be used as part of an application.

Award Procedure:

Announcement of funding opportunity will be posted on grants.gov, seeking applications from various entities. Selection criteria will be posted at that time.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. Approximately 45 working days after either the receipt of an application package or the closing date of the grants.gov announcement, dependent upon the complexity of the proposal.

Appeals:

Not Applicable.

Renewals:

Projects may be renewed as needed, if justified and if funds are available.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. No matching is required, however, matching funds are encouraged to allow for additional restoration and may be used as an evaluation factor for the selection of projects to award. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Program obligates funds and sends a notice of award to successful applicants. Recipients request awarded funds as needed and in accordance with 43 CFR Part 12, unless otherwise dictated by program-specific legislation/regulation or special award terms. Program will include any special award terms and conditions in the notice of award. See the following for information on how assistance is awarded/released: Program obligates funds and sends a notice of award to successful applicants. Recipients request awarded funds as needed and in accordance with 43 CFR Part 12, unless otherwise dictated by program-specific legislation/regulation or special award terms. Program will include any special award terms and conditions in the notice of award.

Reports:

No program reports are required. No cash reports are required. Recipients must submit a final performance report no later than 90 calendar days after the award end date. Recipients of awards with performance periods longer than 12 months will be required to submit interim reports. Program may require recipients to submit interim reports annually, semiannually, or quarterly. Program will detail all performance reporting requirements, including frequency and due dates, in the notice of award letter. Recipients must report expenditures using the SF 425, Federal Financial Report form. Recipients must submit a final report no later than 90 calendar days after the award end date. Recipients of awards with performance periods longer than 12 months will be required to submit interim reports. Program may require recipients to submit interim reports annually, semiannually, or quarterly. Program will detail all financial reporting requirements, including frequency and due dates, in the notice of award letter. Recipients are responsible for monitoring performance for each award and sub-award under this program in accordance with 43 CFR Part 12.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Recipients that are states and local governments will maintain records in accordance with 43 CFR Part 12, Subpart C (section 12.82). All other recipients will maintain records in accordance with 43 CFR Part 12, Subpart F (section 12.953). Program-specific legislation/regulation may dictate additional records retention requirements. Program will detail all non-standard records retention requirements in the notice of award.

Account Identification:

14-1612-0-1-302.

Obligations:

(Project Grants (Discretionary)) FY 12 \$0; FY 13 est \$450,000; and FY 14 est \$30,000,000 - FY 14: est \$10-30,000,000. FY 14 total is an estimate and based

on preliminary information.

Range and Average of Financial Assistance:

Newly established program.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

43 CFR Part 12.

Regional or Local Office:

See Regional Agency Offices. Refer to Program Guidelines attached to funding opportunity as appropriate, on www.grants.gov for national, regional, or field office contact information.

Dean Rhine-Refuges

Jan Rowan-Fisheries

Christine Guimond-Ecological Services.

Headquarters Office:

Dean Rhine U.S. Fish and Wildlife Service, 300 Westgate Center Drive, Hadley, Massachusetts 01035 Phone: 413-253-8200

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Program specific criteria is specified in the announcements of individual financial assistance opportunities.

15.805 ASSISTANCE TO STATE WATER RESOURCES RESEARCH INSTITUTES

(Water Research Institute Program)

FEDERAL AGENCY:

U.S. Geological Survey, Department of the Interior

AUTHORIZATION:

Water Resources Research Act of 1984, Section 104, Public Law 98-242 as amended, 42 U.S.C. 10301 et seq.

OBJECTIVES:

To provide financial support to Water Resources Research Institutes located at designated State universities in each of the 50 States, Puerto Rico, the District of Columbia, Guam, the Virgin Islands, American Samoa, the Commonwealth of the Northern Mariana Islands, and the Federated States of Micronesia for research, information transfer and student training on one or more aspects of priority water problems.

TYPES OF ASSISTANCE:

FORMULA GRANTS; PROJECT GRANTS

USES AND USE RESTRICTIONS:

This program has two parts: a mandatory formula grant program and a competitive project grant program. The mandatory grant program provides the State institutes with funds to support a State and regional program of water resources research and information transfer. This may include basic or applied research, setting up conferences to discuss research needs or results, conduct of research, information dissemination, and training of students as a byproduct of research. In general the funds are used to study regional, State, or local water problems, but the results may often have national significance. The competitive project grant program supports research focused on water problems of a regional or interstate nature and which relate to program priorities identified jointly by the State institutes and the Geological Survey. Federal funds cannot be used for (1) formal instructional activities and general education, and (2) the cost of permanent buildings, either directly or indirectly.

Applicant Eligibility:

One University Water Research Institute is authorized in each State and other

jurisdictions specified in Section 104. Other colleges and universities within a State are encouraged to participate in the program in cooperation with the designated institute.

Beneficiary Eligibility:

Researchers at qualified universities and colleges in the State through the designated institute for the State.

Credentials/Documentation:

Proposed Research and Information Transfer Program application responsive to instructions issued by the U.S. Geological Survey. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Formula Grant Program: Requires each Institute to collaborate with State interests in defining research priorities and to coordinate with other institutes in region to avoid duplication of effort. Competitive Grant Program: Requires that the Institutes collectively collaborate with the Geological Survey in setting research priorities. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. This program is subject to the provisions of OMB Circular No. A-110 and the Department of the Interior regulations at 43 CFR Part 401, Subpart C. State institutes must submit proposed annual programs on the Internet at <https://niwr.net>, as required by the individual program announcement 11HQPA0002. State Institutes must submit applications for competitive project grants on the Internet at <https://niwr.net> and <http://www.grants.gov>, as required by the program announcement.

Award Procedure:

Formula Grant Program: Geological Survey approves or rejects, in part or as a whole, the program submitted by each State institute. The State institutes are notified of any amendments necessary to obtain Geological Survey approval. Competitive Grant Program: Geological Survey conducts a formal review and selection procedure and approves or rejects applications as a whole. Notification of an award is by receipt of a grant or cooperative agreement from a U.S. Geological Survey contracting officer through FedConnect (<https://www.fedconnect.net/FedConnect/>).

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

90 days.

Appeals:

Formula Grant Program: Deficiencies noted by the Geological Survey can normally be corrected by the State institutes. Competitive Grant Program: None.

Renewals:

None.

Formula and Matching Requirements:

Statutory Formula: Title 42 U.S.C. 10303(c) and (g). Amount to each State Water Research Institute under the formula grant is on a basis of one Federal share to not less than two nonfederal shares. Amount to each State Water Research Institute under the competitive grant is on a basis of one Federal share to not less than one nonfederal shares.

Matching requirements are not applicable to this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Formula Grant Program: Each State institute is on fiscal year basis.

Competitive Grant Program: Assistance is provided for the period of the project award. See the following for information on how assistance is awarded/released: Payments are advanced at least quarterly upon application and consistent with Financial Plan submitted previously by institute for use of grant.

Reports:

Formula Grant Program: Each State institute makes an annual and final report

on accomplishments with grant, including synopsis of research projects. Competitive Grant Program: Each institute makes an annual and final report on results of the award. Cash reports are not applicable. Progress reports are not applicable. Annual and final SF 425 Federal Financial Report are required and must be submitted as described in the award terms and conditions. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Directors of the State institutes are responsible for conduct of programs and maintaining books and records reflecting financial and technical transactions of the program. Records shall be retained and made available for audit for three years after submission of final financial report.

Account Identification:

14-0804-0-1-306.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 12 \$5,925,055; FY 13 est \$4,000,000; and FY 14 est \$0

Range and Average of Financial Assistance:

(Formula Grants) \$92,335 to \$277,005; average \$95,755. (Competitive Grants) \$140,162 to \$249,949; average \$212,950.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: No Current Data Available. Fiscal Year 2013: No Current Data Available. Fiscal Year 2014: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Rule, 30 CFR Part 401, 50 FR 27203, June 7, 1993; Geological Survey Request for Applications under the Water Resources Research Institute Grant Program (Section 104), Announcement 11HQPA0003, December 2012 at <https://niwr.net>. Applications under the Water Resources Research National Competitive Grant Program, Announcement No. G13AS200009, December, 2012 at <https://niwr.net>.

Regional or Local Office:

None.

Headquarters Office:

Earl Greene Department of the Interior, Geological Survey, Office of External Research, 5522 Research Park Drive., Baltimore, Maryland 21228 Email: eaagreene@usgs.gov Phone: (443) 498-5505

Website Address:

<http://water.usgs.gov/wrri/>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available. Fiscal Year 2013: No Current Data Available. Fiscal Year 2014: No Current Data Available.

CRITERIA FOR SELECTING PROPOSALS:

Competitive Grant Program: Relevance to announced priorities, technical merit, prospects of attaining objectives in period specified and potential for student training.

15.807 EARTHQUAKE HAZARDS REDUCTION PROGRAM

Earthquake Hazards Program Grants

FEDERAL AGENCY:

U.S. Geological Survey, Department of the Interior

AUTHORIZATION:

42 U.S.C. 7701 et seq.

OBJECTIVES:

To support earthquake hazards research in order to develop information, knowledge, and methods relevant to the major Earthquake Hazards Program elements: national and regional earthquake hazards assessments; research related to earthquake information, monitoring, and notification; research on earthquake occurrence, physics, and effects; and earthquake safety policy. Specific objectives and research tasks identified as measures of progress towards the goals of these program elements are described in greater detail in the Research Priorities section of the annual Program Announcement.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Specific research objectives are identified in the annual program announcement. Applications for research projects not covered by one of the objectives are not solicited.

Applicant Eligibility:

Public and private colleges and universities; Non-profit, non-academic organizations; For-profit organizations; State and Local Governments; and unaffiliated scientists.

Beneficiary Eligibility:

Research scientists, engineers, and the general public will ultimately benefit from the program.

Credentials/Documentation:

Proposals must furnish evidence of the principal investigator's qualifications for performance of the proposed research. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applicants will use the SF-424 Application for Federal Assistance and Assurances. This program is subject to the Department of the Interior regulations at 43 CFR Part 12, Subparts C and D, or any subsequent revisions. The application requirements as contained in the announcement package and the above regulations must be used for this program. Applications may be submitted only through the internet site <http://www.grants.gov>.

Award Procedure:

Notification of an award is by receipt of a grant or cooperative agreement from a U.S. Geological Survey contracting officer through FedConnect (<https://www.fedconnect.net/FedConnect/>).

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

The application period for grants is generally open from mid-March until mid-May each year. The range of approval is 5 to 9 months.

Appeals:

Not Applicable.

Renewals:

A renewal proposal competes with all other proposals and must be developed fully as though the proposer is applying for the first time.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Recipients are encouraged to share in the cost of each research project.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awards generally have 12 to 36 month project periods. See the following for information on how assistance is awarded/released: Recipients request payment as needed throughout the award period.

Reports:

Annual and final technical reports are required and must be described as described in the award terms and conditions. Cash reports are not applicable. Progress reports are not applicable. Annual and final financial reports are required and must be submitted as described in the award terms and conditions. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

All records must be maintained for 3 years after submission of the final financial report.

Account Identification:

14-0804-0-1-306.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 12 \$6,596,171; FY 13 est \$7,000,000; and FY 14 est \$7,000,000

Range and Average of Financial Assistance:

\$6,000 to \$1,300,000; average \$75,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: 194 competitive grant applications received, 72 earthquake hazards research and assessments grants award. Fiscal Year 2013: 231 competitive applications received, and 8 earthquake hazards research and assessment grants. Fiscal Year 2014: 200 competitive applications received 70 earthquake hazards research and assessment grants.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Elizabeth Lemersal, Department of the Interior, Geological Survey, MS 905 National Center, 12201 Sunrise Valley Drive, Reston, Virginia 20192 Email: gd-erp-coordinator@usgs.gov Phone: (703) 648-6701 Fax: (703) 648-6642.

Website Address:

<http://earthquake.usgs.gov/research/external/>.

RELATED PROGRAMS:

47.041 Engineering Grants; 47.050 Geosciences

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Panels composed of expert scientists and engineers drawn from government, universities, and private industry evaluate all grant applications. Criteria for judging applications includes: relevance and timeliness of the proposed research to the goals of the program; technical quality of the proposal; competence of the principal investigator and recipient organization; and appropriateness and reasonableness of the budget.

15.808 U.S. GEOLOGICAL SURVEY_ RESEARCH AND DATA COLLECTION**FEDERAL AGENCY:**

U.S. Geological Survey, Department of the Interior

AUTHORIZATION:

Organic Act of 1879, 43 U.S.C. 31, 43 U.S.C. 36c., 43 U.S.C. 36d.

OBJECTIVES:

To support research complementary to USGS program efforts in classification

of the public lands and examination of the geological structure, water, mineral, and biological resources, and products of the national domain. This entry covers a variety of USGS assistance awards not covered by any other CFDA program entries. Awards are typically supported by funding from internal projects and programs, and funds are not separately budgeted or reserved for external projects or proposals under this entry.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

Applications must be for scientific research projects which are within the Survey's area of responsibility. The majority of funds are used to support research that will enhance existing, long-term collaborative projects. Funds can be used for attendance at national conferences for the dissemination of project results. Further, conferences and symposia will be supported only if it is clear that equivalent results cannot be obtained at regular meetings of professional societies. Office furniture, office equipment, and foreign travel are not normally considered for support. Funds are set aside for discretionary activities.

Applicant Eligibility:

Profit organizations, public nonprofit institutions/organizations, private nonprofit institutions/organizations, and State and local governments may make application for support by a named principal investigator. Due to limited availability of funds to support new external projects, consultation with USGS is strongly recommended prior to submission of applications.

Beneficiary Eligibility:

Profit organizations, public nonprofit institutions/organizations, private nonprofit institutions/organizations, and State and local governments, industry and public decision makers, research scientists, engineers, and the general public will ultimately benefit from knowledge gained under the program.

Credentials/Documentation:

Proposals must furnish evidence of the applicant's qualifications for performance of the proposed research. Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments; OMB Circular No. A-21 for educational institutions; OMB Circular No. A-122 for nonprofit organizations; and Federal Acquisition Regulation Subpart 31.2 for private firms, individuals, and other nonprofits excluded from coverage under OMB Circular No. A-122. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. These are typically individually evaluated and funded projects; there is no annual program announcement, prospectus or other invitation for applications. The standard application form SF 424 and other forms required by OMB Circular Nos. A-102 and A-110, or their successor(s), and the Department of the Interior regulation at 43 CFR Part 12, Subparts C and D, or its revisions. The application requirements as contained in the announcement package and the above regulations must be used for this program. Applications may be submitted only through the internet site <http://www.grants.gov>.

Award Procedure:

Notification of an award is by receipt of a grant or cooperative agreement from a U.S. Geological Survey contracting officer through FedConnect (<https://www.fedconnect.net/FedConnect/>).

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 3 to 6 months.

Appeals:

None. Applications may be revised and resubmitted.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: Generally, no statutory requirement. Recipients are encouraged to share in the cost of each research project. Appropriations language requires cooperating State and local governments to contribute at least one-half the cost of certain cooperative water resources investigation and cooperative mapping projects. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants and cooperative agreements will normally be awarded in, but not restricted to, 12 month budget periods. See the following for information on how assistance is awarded/released: Recipients request payment as needed throughout the award period.

Reports:

Annual and final technical reports, as well as other products, are required and must be submitted as described in the award terms and conditions. Cash reports are not applicable. Progress reports are not applicable. Annual and final financial reports are required and must be submitted as described in the award terms and conditions. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

All records must be maintained for 3 years after submission of the final financial report.

Account Identification:

14-0804-0-1-306.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 12 \$46,966,941; FY 13 est \$50,000,000; and FY 14 est \$50,000,000

Range and Average of Financial Assistance:

\$1,175 to \$1,690,000; average \$50,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Approximately 300 new grants and cooperative agreements were made to support the Geological Survey's earth science research mission. Fiscal Year 2013: Not current data available. Fiscal Year 2014: Not current data available.

REGULATIONS, GUIDELINES, AND LITERATURE:

USGS Guide for Submission of Unsolicited Proposals is available at: <http://www.usgs.gov/contracts/grants/unsolbk.html>.

Regional or Local Office:

See Regional Agency Offices. Contact the appropriate regional office, depending on the location of the study. For Alabama, Connecticut, District of Columbia, Florida, Georgia, Illinois, Indiana, Kentucky, Maine, Maryland, Massachusetts, Michigan, Mississippi, New Hampshire, New Jersey, New York, North Carolina, Ohio, Pennsylvania, Puerto Rico, Rhode Island, South Carolina, Tennessee, Vermont, Virginia, West Virginia, and Wisconsin, write: Office of Acquisition and Grants, U.S. Geological Survey, 12201 Sunrise Valley Drive, MS 211, Reston, VA 20192. Telephone (703) 648-7485. For Arkansas, Colorado, Iowa, Kansas, Louisiana, Minnesota, Missouri, Montana, Nebraska, New Mexico, North Dakota, Oklahoma, South Dakota, Texas and Wyoming, write: Office Acquisitions and Grants, U.S. Geological Survey, Denver Federal Center, Denver, CO 80225. Telephone: (303) 236-9315. For Alaska, Arizona, California, Hawaii, Idaho, Nevada, Oregon, Utah, and Washington, write: Office of Acquisition and Grants, U.S. Geological Survey, Modoc Hall, USGS, 3020 State University Drive East, Suite 2002, Sacramento, CA 95819. Telephone: (916) 278-9325.

Headquarters Office:

Geological Survey, This is not a single formal program; awards are not centrally managed or coordinated. Refer to Branch or Local Office contacts., Reston, Virginia 20192 Phone: (703) 648-4582.

Website Address:

<http://www.usgs.gov/contracts>.

RELATED PROGRAMS:

47.050 Geosciences

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: Not Available. Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Scientists within the Geological Survey will review and evaluate all applications consistent with authorized USGS program purposes. Criteria for judging applications will include scientific excellence and relevance to the solution of important problems within the mission of the Geological Survey. Applications will be funded only to the extent permitted by available funds.

15.809 NATIONAL SPATIAL DATA INFRASTRUCTURE COOPERATIVE AGREEMENTS PROGRAM

(NSDI CAP)

FEDERAL AGENCY:

U.S. Geological Survey, Department of the Interior

AUTHORIZATION:

Organic Act of March 3, 1879, 43 U.S.C. 31; Executive Order 12906, April 13, 1994.

OBJECTIVES:

The purpose of the National Spatial Data Infrastructure Cooperative Agreements Program (NSDI CAP) is to fund innovative projects in the geospatial data community to build the infrastructure necessary to effectively discover, access, share, manage, and use digital geospatial data. The NSDI consists of the technologies, policies, organizations, and people necessary to promote cost-effective production, ready availability, and greater utilization of geospatial data among a variety of sectors, disciplines, and communities. Specific NSDI CAP areas of emphasis include: documenting, implementing, and providing outreach standards including metadata; expanding geographic information coordination across and between organizational levels; promoting geospatial best practices; advancing geospatial related Web services; framework development; and clearinghouse establishment. The results of the awarded projects benefit multiple Federal agencies as well as the overall geospatial community.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

Specific objectives are identified in the annual program announcement. Applications for projects not covered by one of the objectives are not solicited. Funds are set aside for discretionary activities.

Applicant Eligibility:

Proposals from State and local government agencies, educational institutions, private firms, private foundations, nonprofit organizations and Federally acknowledged or state-recognized Indian Tribes or groups are invited.

Beneficiary Eligibility:

Agencies and organizations participating in the project, as well as the general public will ultimately benefit from this program.

Credentials/Documentation:

Proposals must furnish evidence of the applicant's qualifications for performance of the proposed project. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Application will use the standard SF 424 Application for Federal Assistance and Assurances. This program is subject to the Department of the Interior regulations at 43 CFR Part 12, Subpart C and D, or any subsequent revisions. The applications requirements as contained in the announcement package and the above regulations must be used for this program. Applications may be submitted only through the internet site <http://www.grants.gov>.

Award Procedure:

Notification of an award is by receipt of a grant or cooperative agreement from a U.S. Geological Survey contracting officer through FedConnect (<http://www.fedconnect.net/FedConnect/>).

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 1 to 2 months.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. This program has no matching requirements. Recipients are expected to cost-share depending upon the category of the project up to 100 percent of the amount of funding received from the Federal government. In 2013 the matching requirement for all categories 1 and 5 is 30-50%, for the rest of the categories the match is 50% of the amount awarded. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Cooperative agreements will normally be awarded in 12-month budget periods. See the following for information on how assistance is awarded/released: Recipients request payment as needed throughout the award period.

Reports:

Semi-annual and final technical reports, formal oral presentation, and second and third year follow-up reports are required and must be submitted as described in the award terms and conditions. Cash reports are not applicable. Progress reports are not applicable. Annual and final financial reports are required and must be submitted as described in the award terms and conditions. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

All records must be maintained for 3 years after submission of the final financial report.

Account Identification:

14-0804-0-1-306.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 12 \$603,294; FY 13 est \$700,000; and FY 14 est \$700,000

Range and Average of Financial Assistance:

\$25,000 to \$70,000; average \$35,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: 23 agreements were awarded. Fiscal Year 2013: Up to 20 agreements may be awarded. Fiscal Year 2014: To be determined. For more information see <http://www.fgdc.gov/grants>.

REGULATIONS, GUIDELINES, AND LITERATURE:

Federal Register Vol. 60, No. 242, December 18, 1995, page 65064; Commerce Business Daily announcement January 25, 1996, Section T, program Announcement No. 8187; internet URL <http://www.fgdc.gov/funding/cap2002.html>.

Regional or Local Office:

See Regional Agency Offices. Brigitta Urban-Mathieux, FGDC CAP Coordinator; Telephone: (703) 648-5175, Fax: (703) 648-5755, burbanma@fgdc.gov.

Headquarters Office:

Director, Department of the Interior, Geological Survey, Federal Geographic Data Committee Secretariat, MS 590 National Center, 12201 Sunrise Valley Drive, Reston, Virginia 20192 Email: gdc@usgs.gov Phone: (703) 648-4150 Fax: (703) 648-5755.

Website Address:

<http://www.fgdc.gov/grants>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Project supports implementation of different aspects of the National Spatial Data Infrastructure such as: implementation of standards including metadata through training and outreach assistance; development and implementation of strategic plans or business plans in support of the NSDI Fifty States Initiative; deployment of geospatial cloud service testbed; development collaborative groups for the Geospatial Platform; and development and use of the Arctic Spatial Data Infrastructure (ASDI). See the FGDC website (<http://www.fgdc.gov/grants>) for summaries of funded projects. Fiscal Year 2013: Information not available. Fiscal Year 2014: Information not available.

CRITERIA FOR SELECTING PROPOSALS:

Proposals will be evaluated through formal review panels. Proposals will be evaluated on the quality and relevance of the project to the NSDI; the technical approach taken to implement the project; the level and diversity of participation and the applicant's experience and competence to perform the proposed effort successfully; and the extent to which the applicant shows evidence of a capability and willingness to continue and build upon the project when the agreement period ends.

15.810 NATIONAL COOPERATIVE GEOLOGIC MAPPING PROGRAM
(StateMap and EdMap)

FEDERAL AGENCY:

U.S. Geological Survey, Department of the Interior

AUTHORIZATION:

National Geologic Mapping Reauthorization Act of 2009, Public Law 111-11.

OBJECTIVES:

To produce geologic maps of areas in which knowledge of geology is important to the economic, social, or scientific welfare of individual States. StateMap supports: (1) projects which produce new geologic maps with attendant explanatory information including correlation of map units, description of map units and symbols, stratigraphic columns, geologic sections, and other pertinent information; and (2) projects which compile existing geologic data in a digital form for inclusion in the National Digital Geologic Map Database. EdMap: Provide funding for undergraduate and graduate students in academic research programs, through cooperative agreements, that involve geologic mapping and scientific data analysis as major components; expand research and educational capacity of graduate programs that teach earth science students the techniques of geologic mapping and field data analysis; and facilitate the publication and distribution of geologic maps generated in field-based graduate academic research programs.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

STATEMAP: Only one application will be accepted from each State geological survey. State proposals cannot include in-kind services by the U.S.

Geological Survey. Travel to meetings of professional societies is not an acceptable expense for the program, nor are funds intended for the purchase of computer hardware, software, or other nonexpendable property. EdMap: Proposals cannot include a request for in-kind services by the U.S. Geological Survey. Funds are not intended for the purchase of capital equipment. For further information, please contact the regional office. For further information, please contact the regional office.

Applicant Eligibility:

StateMap program is restricted by statute to State geological surveys. Where State surveys are organized under a State university system, an application may be submitted by a State college or university on behalf of the State geological survey. EdMap program is restricted to universities with geoscience or related departments or programs.

Beneficiary Eligibility:

State geological surveys participating in this program and the general public will ultimately benefit from this program.

Credentials/Documentation:

Proposals for StateMap must include a letter of support from the State Geologic Mapping Advisory Committee or equivalent to qualify for funding. Proposals for EdMap must include a letter of support from a State Geologist or USGS Project Chief. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Applicants will use the standard SF 424 Application for Federal Assistance and Assurances. This program is subject to the Department of the Interior regulations at 43 CFR Part 12, Subparts C and D, or any subsequent revisions. The application requirements as contained in the announcement package and the above regulations must be used for this program. Proposals must include copies of letters of support, figures, and tables. Proposals including forms cannot exceed 30 pages for StateMap and 10 paged for EdMap. Applications may be submitted only through the internet site <http://www.grants.gov>.

Award Procedure:

Notification of an award is by receipt of a cooperative agreement from a U.S. Geological Survey contracting officer through FedConnect (<https://www.fedconnect.net/FedConnect/>).

Deadlines:

Sep 01, 2012 to Nov 01, 2013 STATEMAP Each year the Requests for Proposals (RFP) will be distributed in early September. Applications must be received in early November (the exact date will be announced in the RFP). EDMAP Each year the Requests for Proposals (RFP) will be distributed in early September. Applications must be received in early November (the exact date will be announced in the RFP).

Range of Approval/Disapproval Time:

StateMap: Approval/disapproval will be announced by mid-February. EdMap: Approval/disapproval will be announced by late February.

Appeals:

Not Applicable.

Renewals:

StateMap: Proposals must be submitted for a 1-year project period only. However, projects that were approved and funded during the previous fiscal year may be submitted as renewals (competing continuations). A completely new proposal subject to full review, must be submitted each year. EdMap: Proposals may be submitted which request a performance period of 1 year at a time. Student mapping projects may last up to 2 years, however, each year a separate proposal must be submitted. Students are only allowed two years of EdMap funding. Funding for year two will be determined through the annual competitive announcement.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: The StateMap program is required by Public Law 111-11 to be carried out on a 1:1 matching basis; each recipient must match each Federal dollar with a nonfederal dollar. The nonfederal share may be contributions of services or cash provided to contractors to perform geologic mapping or other services directly applicable to proposed work on the project. The specific source(s) of the State contribution, such as State legislative appropriation, must be provided in the proposal. The EdMap program is required by Public Law 111-11 to be carried out on a 1:1 matching basis; each recipient must match each Federal dollar with a nonfederal dollar. The nonfederal share may be a contribution of funds or services. Such services can include those related to the student research project or cash provided to contractors, i.e., field assistants to perform geologic mapping or other services directly applicable to proposed work on the project. Student tuition is not an allowable expense for direct cost or match for either StateMap or EdMap. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Cooperative agreements will normally be awarded in 1 year budget periods. See the following for information on how assistance is awarded/released: Recipients request payment as needed throughout the award period.

Reports:

Annual and final technical reports, as well as other products, are required and must be submitted as described in the award terms and conditions. StateMap and EdMap: All deliverables (geologic maps and accompanying information) are due on or before the last day of the grant year. Cash reports are not applicable. Progress reports are not applicable. Annual and final financial reports are required and must be submitted as described in the award terms and conditions. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Applicants agree to give the sponsoring agency, the Comptroller General or other authorized audit agency access to and the right to examine records related to the award. Awards to educational institutions and nonprofit organizations are subject to the audit requirements of OMB Circular No. A-110, or superseding regulations.

Records:

All records must be maintained for 3 years after submission of the final financial report.

Account Identification:

14-0804-0-1-306.

Obligations:

(Cooperative Agreements) FY 12 \$492,493; FY 13 est \$500,000; and FY 14 est \$500,000 - EdMap. (Cooperative Agreements (Discretionary Grants)) FY 12 \$6,340,949; FY 13 est \$6,300,000; and FY 14 est \$6,300,000 - StateMap.

Range and Average of Financial Assistance:

StateMap: \$29,022 to \$232,509; average \$157,815. EdMap: \$9,015 to \$17,308 per student; average \$13,657. The maximum award allowed per graduate student is \$17,500 and \$10,000 per undergraduate student.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: StateMap: 45 awards were made to State Geological Surveys which matched every federal dollar; EdMap: 33 awards were made to fund 68 students at US Universities and Colleges. Each institution matched the award funds dollar for dollar. Fiscal Year 2013: No Current Data Available. Fiscal Year 2014: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

The program announcements are available on the Internet at <http://www.grants.gov>.

Regional or Local Office:

See Regional Agency Offices. Contact Douglas A. Howard, Program

Coordinator, National Cooperative Geologic Mapping Program, E-mail: dahoward@usgs.gov.

Headquarters Office:

Douglas A. Howard Department of the Interior, U.S. Geological Survey, MS 908 National Center, 12201 Sunrise Valley Drive, Reston, Virginia 20192
Email: dahoward@usgs.gov Phone: (703) 648-6978 Fax: (703) 648-6937.

Website Address:

<http://www.ncgmp.usgs.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The criteria for selecting proposals for both Statemap and EdMap are included in the Geological Survey program announcements.

15.811 GAP ANALYSIS PROGRAM

(GAP)

FEDERAL AGENCY:

U.S. Geological Survey, Department of the Interior

AUTHORIZATION:

Endangered Species Act of 1973, 16 U.S.C. 1531 et seq., Fish and Wildlife Act of 1956, 16 U.S.C. 742 et seq., Fish and Wildlife Conservation Act, 16 U.S.C. 661 et seq., Omnibus appropriations Act, Public Law 108-7.

OBJECTIVES:

Studies where native animal species and natural plant communities occur and identifies "gaps" in their representation to support conservation of biodiversity.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

GAP is used by Federal agencies, State agencies and other governmental bodies to develop land use or acquisition plans that incorporate information about the presence and distribution of biodiversity. There are no use restrictions. Funds are set aside for discretionary activities.

Applicant Eligibility:

Profit organizations, public nonprofit institutions/organizations, private nonprofit institutions/organizations, and State and local governments may make application for support by a named principal investigator.

Beneficiary Eligibility:

Profit organizations, public nonprofit institutions/organizations, private nonprofit institutions/organizations, State and local governments, as well as the general public will ultimately benefit from this program.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applicants will use the form SF 424 Application for Federal Assistance and Assurances. This program is subject to the Department of the Interior regulations at 43 CFR Part 12, Subparts C and D, or any subsequent revisions. The application requirements contained in the announcement package and the above regulations must be used for this program. Applications may be submitted only through the internet site <http://www.grants.gov>.

Award Procedure:

Notification of an award is by receipt of a grant or cooperative agreement from a U.S. Geological Survey contracting officer through FedConnect

(<https://www.fedconnect.net/FedConnect/>).

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Information not available.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Cooperative agreements will normally be awarded in 12 month budget periods. See the following for information on how assistance is awarded/released: Recipients request payment as needed through the award period.

Reports:

Annual and final technical reports, as well as other products, are required and must be submitted as described in the award terms and conditions. Cash reports are not applicable. Progress reports are not applicable. Annual and final financial reports are required and must be submitted as described in the award terms and conditions. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

All records must be maintained for 3 years after submission of the final financial report.

Account Identification:

14-0804-0-1-306.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 12 \$200,000; FY 13 est \$100,000; and FY 14 est \$10,000

Range and Average of Financial Assistance:

\$20,000 to \$25,000; average of \$22,222.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Nine states added to Protected Database of the US (PAD-US) partnership. Fiscal Year 2013: No Current Data Available. Fiscal Year 2014: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

The program announcement is available on the Internet at <http://www.gap.analysis.nbii.gov> and www.grants.gov.

Regional or Local Office:

None. Upon release, program announcements will be available on the Internet at <http://www.gapanalysis.gov>.

Headquarters Office:

Program Coordinator, National GAP Program, 970 Lusk Avenue, Forest and Rangeland Ecosystem Science Center - Snake River Field Station, Boise, Idaho 83706 Phone: (208) 426-5219.

Website Address:

<http://gapanalysis.usgs.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: State grants for PAD-US. Fiscal Year 2013: No current data available. Fiscal Year 2014: No current data available.

CRITERIA FOR SELECTING PROPOSALS:

Scientists within the Geological Survey will review and evaluate all applications submitted. Criteria for judging applications will include scientific excellence and relevance to the solution of important problems within the mission of the Geological Survey. Generally, applications will be funded only to the extent permitted by available funds.

15.812 COOPERATIVE RESEARCH UNITS PROGRAM

(CRUP)

FEDERAL AGENCY:

U.S. Geological Survey, Department of the Interior

AUTHORIZATION:

Cooperative Research Units Act, Public Law 86-686, Sec. 1, Sept. 2, 1960, 74 Stat. 733, as amended by the Fish and Wildlife Improvement Act of 1978, Public Law 95-616, Sec. 2, Nov. 8, 1978, 92 Stat. 3110.

OBJECTIVES:

To work in partnership with States and universities to address the information needs of local, State, and Federal fish, wildlife, and natural resource agencies through research, technical assistance, and education.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

Authority is limited to research with an educational component conducted through one of 40 Cooperative Fish and Wildlife Research Units and their host universities. Awards are limited to information needs related to fish, wildlife, ecology, and natural resources of interest to program cooperators and partners. Funds are for discretionary activities.

Applicant Eligibility:

Universities hosting Cooperative Fish and Wildlife Research Units established under authority of the Cooperative Research Units Act of 1960.

Beneficiary Eligibility:

Federal, State and local governments, industry and public decision makers, research scientists, State institutions of higher education, and the general public will ultimately benefit from the program.

Credentials/Documentation:

Proposed research application responsive to the instructions issued by the USGS. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Requires each research project to be conducted in collaboration and cooperation with USGS personnel stationed at Cooperative Fish and Wildlife Research Units, and cooperating agency representatives. Discussions to determine interest and appropriateness of research projects for a Cooperative Fish and Wildlife Research Unit are required before proposals are submitted.

Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Application form Standard Form (SF) 424 must be used. This program is subject to the provisions of OMB Circular No. A-110, or its successor, and the Department of the Interior regulations at 43 CFR Part 401, Subpart C. The application requirements as contained in the announcement package and the above regulations must be used for this program. Applications may be made only through the internet site <http://www.grants.gov>.

Award Procedure:

Notification of an award is by receipt of a Research Work Order document from a U.S. Geological Survey contracting officer through FedConnect (<https://www.fedconnect.net/FedConnect/>).

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Up to 3 months.

Appeals:

Not Applicable.

Renewals:

All projects have a limited duration not to exceed 5 years.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Project duration may not exceed 5 years. Funds are released as required. See the following for information on how assistance is awarded/released: Recipients request payment as needed throughout the award period.

Reports:

Annual and final technical reports, as well as other products, are required and must be submitted as described in the award terms and conditions. Cash reports are not applicable. Progress reports are not applicable. Annual and final financial reports are required and must be submitted as described in the award terms and conditions. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

All records must be maintained for 3 years after submission of the final financial report.

Account Identification:

14-0804-0-1-306.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 12 \$17,951,413; FY 13 est \$18,000,000; and FY 14 est \$18,000,000 - Research Work Orders funded with reimbursable funds provided by other Federal programs. No funds appropriated to the program are set aside or are separately identifiable for project grants. Recipients request payment as needed throughout the award period.

Range and Average of Financial Assistance:

\$5,000 to \$1,501,733; average \$54,730.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: The number of Research Work Orders active in FY 12 is expected to be about 328. Fiscal Year 2013: est. 350. Fiscal Year 2014: est. 350.

REGULATIONS, GUIDELINES, AND LITERATURE:

Provided to each of the 40 universities participating in the Cooperative Research Units Program.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Kevin G. Whalen, Department of the Interior, Geological Survey, Cooperative Research Units, 12201 Sunrise Valley Drive, Mail Stop 303, Reston, Virginia 20192 Email: kwhalen@usgs.gov Phone: (703) 648-4062 Fax: (703) 648-4269.

Website Address:

<https://www.coopunits.org>

RELATED PROGRAMS:

15.813 Cooperative Research Units Training Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Development and assessment of Adaptive Management methods; lamprey and salmonid migration and survival past dams; grassland birds following Hurricane Katrina; king rail habitat models; risk of fish disease introductions; biomarkers of fish endocrine disruptors; effects of commercial harvest on shovelnose sturgeon; human disturbance effects on warbler behavior; fish and amphibian conservation genetics, invasive species control techniques, use of UAV systems for natural resource management; climate change and water management effects in ridge-slough mosaics; hierarchical soil nutrient mapping for improved ecosystem change detection; endangered/threatened species research; factors effecting spread of CWD; effects of contaminants and toxins on fish; blood fractions as indicators of protein balance in reindeer and caribou; modeling the dynamics of avian influenza in wild birds; assessment of wildlife vulnerability to energy development. Fiscal Year 2013: No current data available. Fiscal Year 2014: No current data available.

CRITERIA FOR SELECTING PROPOSALS:

Proposals must address natural resource and environmental issues of concern to federal agencies and be acceptable to local program cooperators and universities hosting Cooperative Fish and Wildlife Research Units. The Unit Leader of each Unit must determine this before the Unit and its host university submits a proposal to USGS. Projects must be for research and have educational components for graduate training, including post-doctoral fellows.

15.814 NATIONAL GEOLOGICAL AND GEOPHYSICAL DATA PRESERVATION PROGRAM**FEDERAL AGENCY:**

U.S. Geological Survey, Department of the Interior

AUTHORIZATION:

The Federal Energy Policy Act of 2005, Section 351, Public Law 109-58.

OBJECTIVES:

To preserve and provide access to geological, geophysical, and engineering samples (and any related data) extracted from the Earth to improve the breadth of information available and to inform science and decision making now and in the future.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Specific objectives are identified in the annual program announcement. Applications for proposals not covered by one of the objectives are not solicited. For more information, please contact the Program Office. For further information, please contact the regional office.

Applicant Eligibility:

State geological surveys are eligible to apply. For State geological surveys organized under a State university system, such universities may submit a proposal on behalf of or through the State geological survey.

Beneficiary Eligibility:

Research scientists, engineers, and the general public will ultimately benefit from the program.

Credentials/Documentation:

Not applicable. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Applicants will use the standard SF 424 Application for Federal Assistance and Assurances. This program is subject to the Department of the Interior regulations at 43 CFR Part 12, Subparts C and D, or any subsequent revisions. The application

requirements as contained in the announcement package and the above regulations must be used for this program. Applications may be made only through the internet site <http://www.grants.gov>.

Award Procedure:

Notification of an award is by receipt of a grant or cooperative agreement from a U.S. Geological Survey contracting officer through FedConnect (<https://www.fedconnect.net/FedConnect/>).

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Three to six months after submittal of proposal or bureau receipt of appropriations, whichever is later.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: In order to gain the greatest leverage of limited Federal and State funds, each proposed State originated program must show a 1:1 Federal/State match of direct-and indirect-cost dollars.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants will normally be awarded in 12-month budget periods. See the following for information on how assistance is awarded/released: Recipients request payment as needed throughout the award period.

Reports:

Annual and final technical reports, as well as other products, are required and must be submitted as described in the award terms and conditions. Cash reports are not applicable. Progress reports are not applicable. Annual and final financial reports must be submitted as described in the award terms and conditions. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Applicants agree to give the sponsoring agency, the Comptroller General, or other authorized audit agency access to and the right to examine records related to the award. Awards to educational institutions and nonprofit organizations are subject to the audit requirements of OMB Circular No. A-110, or superseding regulations.

Records:

Records shall be retained and made available for audit for three years after submission of final financial report.

Account Identification:

14-0804-0-1-306.

Obligations:

(Project Grants (Discretionary)) FY 12 \$620,394; FY 13 est \$600,000; and FY 14 est \$600,000 - Recipients request payment as needed throughout the award period.

Range and Average of Financial Assistance:

\$9,992 to \$48,000; average \$28,186.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: 35 State geological surveys submitted proposals and the Program awarded \$620,094 to 22 states to continue inventorying collections, creating metadata for items in those collections, for digital conversion, and to rescue geoscience collections and data in imminent danger of loss. Fiscal Year 2013: Information Not Available. Fiscal Year 2014: Information Not Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Betty Adrian Department of the Interior, U.S. Geological Survey, Box 25046, MS 975 Denver Federal Center, Core Science Systems, Denver, Colorado 80225 Email: badrian@usgs.gov Phone: (303) 202-4852

Website Address:

<http://datapreservation.usgs.gov/index.shtml>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: State geological surveys applied for funds from the National Geological and Geophysical Data Preservation Program to inventory their geoscience collections, create metadata for items in those collections, to convert paper or digital files to new digital formats, and to rescue geoscience collections and data in imminent danger of loss. Thirty-five states applied and 22 were granted funding. Fiscal Year 2013: Information Not Available. Fiscal Year 2014: Information Not Available.

CRITERIA FOR SELECTING PROPOSALS:

Relevance to announced priorities, technical merit, and prospect of attaining program objectives.

15.815 NATIONAL LAND REMOTE SENSING_ EDUCATION OUTREACH AND RESEARCH

National Cooperative Geographic Information System

FEDERAL AGENCY:

U.S. Geological Survey, Department of the Interior

AUTHORIZATION:

Public Law 110-161, Organic Act 1879, 43 U.S.C. 31.

OBJECTIVES:

To promote the uses of space-based land remote sensing data and technologies through education and outreach at the State and local level and through university based and collaborative research projects. Technologies of interest include multispectral and hyper-spectral electro-optical, thermal, and radar.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Specific objectives are identified in the annual program announcement. Applications for research projects not covered by one of the objectives are not solicited. Funds are set aside for discretionary activities.

Applicant Eligibility:

Nonprofit organizations, Public and Private colleges and universities, and State and local governments may make an application for support by a named principal investigator.

Beneficiary Eligibility:

States, research scientists, engineers, education (0-13+) and the general public will ultimately benefit from the program.

Credentials/Documentation:

Proposals must furnish evidence of the applicant's qualifications for performance of the proposed education, outreach and research activities. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. This program is subject to the Department of the Interior regulations at 43 CFR Part 12, Subparts C and D, or any subsequent

revisions. The application requirements as contained in the announcement package and the above regulations must be used for this program. Applications may be made only through the internet site <http://www.grants.gov>.

Award Procedure:

Notification of an award is by receipt of a grant or cooperative agreement from a U.S. Geological Survey contracting officer through FedConnect (<https://www.fedconnect.net/FedConnect/>).

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants will normally be awarded in 1 to 2 year budget periods. The project duration is not to exceed 5 years. See the following for information on how assistance is awarded/released: Recipients request payment as needed throughout the award period.

Reports:

Annual and final technical reports, as well as other products, are required and must be submitted as described in the award terms and conditions. No cash reports are required. No progress reports are required. Annual and final financial reports must be submitted as described in the award terms and conditions. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Applicants agree to give the sponsoring agency, the Comptroller General or other authorized audit agency access to and the right to examine records related to the award. Awards to educational institutions and nonprofit organizations are subject to the audit requirements of OMB Circular A-110, or superseding regulations.

Records:

All records must be retained and made available for audit for 3 years after submission of the final financial report.

Account Identification:

14-0804-0-1-306.

Obligations:

(Project Grants (Discretionary)) FY 12 \$967,400; FY 13 est \$967,400; and FY 14 est \$967,400

Range and Average of Financial Assistance:

\$950,000 to \$1,000,000; average \$967,400.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: This activity focused on satellite remote sensing data and technologies in support of applied research, K-16 education, workforce development and technology transfer. Federal funds have been leveraged to work together with other State organizations, Federal agencies and across States (State Views). Continued educational and technical working groups to increase efficiencies. Continued work to develop remote sensing curricula that can be shared with and tailored to each participating educational institution (as well as work force training). Select States worked to create a shareable archive of all

kinds of remote sensing data that all State organizations can access. Increased education and training in Earth sciences (K-16). Conducted critical applied research - real-time applications relevant to each State. Provided feedback to the U.S. Geological Survey to better understand State needs. Fiscal Year 2013: Continuing to focus on satellite remote sensing data and technologies in support of applied research, K-16 education, workforce development and technology transfer. Federal funds are being leveraged to work together with other State organizations. Federal agencies and across States (State Views). Continuing educational and technical work groups to increase efficiencies. Continuing work to develop remote sensing curricula that can be shared with and tailored to each participating educational institution (as well as work force training). An American View blog is being fine-tuned to serve as a better communication tool. Interned based kinds of remote sensing data that all State organizations can access. Increased education and training in Earth sciences (K-16). Conducting critical applied research - real-time applications relevant to each State, sometimes expanding to a region of the U.S. Providing feedback to the U.S. Geological Survey to better understand State needs. A final report is due this fiscal year to summarize the competitive grant activities that have occurred over the five year period. Fiscal Year 2014: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Thomas Cecere, Land Remote Sensing Program Office, Geography Division, Geological Survey, 517 National Center, Reston, Virginia 20192 Email: tcecere@usgs.gov Phone: (703) 648-5551 Fax: (703) 648-5939.

Website Address:

<http://www.usgs.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: The National Land Remote Sensing Education, Outreach and Research Activity has funded projects that assure that all users of remotely sensed data have equal, affordable access to the data and can establish the means to conduct research using the data. Additionally, this program has funded projects focusing on K-16 outreach, remote sensing course development for the public, and the tailoring of remotely sensed imagery for State and local scientific and public use. Fiscal Year 2013: The National Land Remote Sensing Education, Outreach and Research Activity is continuing to fund projects that assure that all users of remotely sensed data have equal, affordable access to the data and can establish the means to conduct research using the data. Additionally, this program is funding projects focusing on K-16 outreach, remote sensing course development for the public, and the tailoring of remotely sensed imagery for State and local scientific and public use. Fiscal Year 2014: No Current Data Available.

CRITERIA FOR SELECTING PROPOSALS:

Panel composed of expert scientists and administrators drawn from government evaluate all assistance applications. Criteria for judging applications includes: relevance and timeliness of the proposed research to the goals of the program; technical quality of the proposal; competence of the principal investigator and recipient organization; and appropriateness and reasonableness of the budget.

15.816 MINERALS RESOURCES EXTERNAL RESEARCH PROGRAM

(MRERP)

FEDERAL AGENCY:

U.S. Geological Survey, Department of the Interior

AUTHORIZATION:

30 U.S.C. 1601 et. seq.

OBJECTIVES:

To conduct research in topics related to nonfuel mineral resources in order to (1) understand fundamental Earth processes forming mineral resources, (2) understand the environmental behavior of mineral resources and their waste

products, (3) provide inventories and assessments of mineral resources, (4) understand the effects of mineral development on natural resources, and (5) understand the availability and reliability of mineral resource supplies.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

Specific objectives are identified in the annual program announcement. Applications for research projects not covered by one of the objectives are not solicited. Funds are set aside for discretionary activities.

Applicant Eligibility:

Colleges, universities, profit-making, and nonprofit organizations, and State and local governments may make application for support by a named principal investigator.

Beneficiary Eligibility:

Research scientists and the general public will ultimately benefit from the program.

Credentials/Documentation:

Notification of an award is by receipt of a grant or cooperative agreement from a U.S. Geological Survey contracting officer through FedConnect (<https://www.fedconnect.net/FedConnect/>). OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applicants will use the standard SF 424 Application for Federal Assistance and Assurances. This program is subject to the Department of the Interior regulation at 43 CFR Part 12, Subparts C and D, or any subsequent revisions. The application requirements as contained in the announcement package and the above regulations must be used for this program. Applications must be submitted only through the internet site <http://www.grants.gov>.

Award Procedure:

Notification of an award is by receipt of a grant or cooperative agreement from a U.S. Geological Survey contracting officer through FedConnect (<https://www.fedconnect.net/FedConnect/>).

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 7 to 9 months.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: No statutory requirement. Recipients are encouraged to share in the cost of each research project.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants and cooperative agreements will normally be awarded in 12 or 24 month budget periods. See the following for information on how assistance is awarded/released: Recipients request payment as needed throughout the award period.

Reports:

Annual and final technical reports, as well as products, are required and must be submitted as described in the award terms and conditions. No cash reports are required. No progress reports are required. Annual and final financial reports

must be submitted as described in the award terms and conditions. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Applicants agree to give the sponsoring agency, the Comptroller General or other authorized audit agency access to and the right to examine records related to the award. Awards to educational institutions and nonprofit organizations are subject to the audit requirements of OMB Circular No. A-110, or superseding regulations.

Records:

All records shall be retained and made available for audit for 3 years after submission of final financial report.

Account Identification:

14-0804-0-1-306.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 12 \$261,645; FY 13 est \$250,000; and FY 14 est \$250,000

Range and Average of Financial Assistance:

\$15,000 to \$151,000; average \$58,643.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Six grants were awarded. Fiscal Year 2013: No Current Data Available. Fiscal Year 2014: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Jeff Doebrich, Mineral Resources Program, Geological Survey, 913 National Center, Reston, Virginia 20192 Email: mrerp@usgs.gov Phone: (703) 648-6103 Fax: (703) 648-6057.

Website Address:

<http://minerals.usgs.gov/mrerp> .

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Characterization, genesis, and mineral resource potential of critical mineral-bearing systems and regions in the U.S. Fiscal Year 2013: No Current Data Available. Fiscal Year 2014: No Current Data Available.

CRITERIA FOR SELECTING PROPOSALS:

Panels composed of expert scientists drawn from government, universities, and private industry evaluate all assistance applications. Criteria for judging applications includes: relevance and timeliness of the proposed research to the goals of the program; technical quality of the proposal; competence of the principal investigator and recipient organization; and appropriateness and reasonableness of the budget.

15.817 NATIONAL GEOSPATIAL PROGRAM: BUILDING THE NATIONAL MAP

The National Map

FEDERAL AGENCY:

U.S. Geological Survey, Department of the Interior

AUTHORIZATION:

Organic Act of 1879; 43 U.S.C. 31; 43 U.S.C. 36c; 43 U.S.C. 36d; Department of the Interior and Related Agencies Appropriations Act of 2003, Public Law 108-7; and American Recovery and Reinvestment Act of 2009 (ARRA) (P.L. 111-5).

OBJECTIVES:

To organize, maintain, publish, and disseminate the geospatial baseline of the Nations topography, natural landscape, and built environment through The National Map, a set of basic geospatial information provided as a variety of products and services; to foster a general understanding of broad geographic patterns, trends, and conditions through The National Atlas of the United States of America; and to increase the efficiency of the Nations geospatial community by improving communications about geospatial data, products, services, projects, needs, standards, and best practices.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

Applications must be for data collection and research projects which are consistent with the objectives of the National Geospatial Program. The majority of funds are used to support new data collections that will enhance the The National Map and The National Atlas of the United States of America and to research new methods to use those data. For further information, please contact the regional office.

Applicant Eligibility:

Proposals from State, Local, Interstate, Federally or State recognized Indian Tribal Governments, US Territories and Possessions, Institutions of Higher Education, Private Foundations, and Nonprofit Organizations are invited. Due to limited availability of funding to support new external projects, consultation with the Geological Survey is strongly recommended prior to submission of applications.

Beneficiary Eligibility:

Interstate, State, intrastate, and local government agencies, educational institutions, private firms, private foundations, nonprofit organizations, Federally-acknowledged or state-recognized Native American tribes or groups, U.S. territories and possessions, public decision makers, research scientists, engineers, graduate students, students/trainees, and the general public will ultimately benefit from knowledge gained under the program.

Credentials/Documentation:

Proposals must furnish evidence of the applicant's qualifications for performance of the proposed research. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Notwithstanding the provision OMB Circular A-110, Subpart C, _25(e)(1), discussions regarding funding availability and program interest are strongly encouraged, as limited funding is available for new projects. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applicants will use the standard SF 424 Application for Federal Assistance and Assurances. This program is subject to the Department of the Interior regulations at 43 CFR Part 12, Subparts C and D, or any subsequent revisions. The application requirements as contained in the Grants.gov announcement packages and the above regulations must be used for this program. Instructions for submitting applications are contained in each announcement package posted to www.grants.gov.

Award Procedure:

Notification of an award is by receipt of a grant or cooperative agreement from a U.S. Geological Survey contracting officer through FedConnect (<https://www.fedconnect.net/FedConnect/>).

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

One to three months.

Appeals:

Not applicable except that application may be revised and resubmitted.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. Recipients are encouraged to share in the cost of each project. Typical appropriations language requires cooperating State and local governments to contribute at least one-half of the cost of cooperative mapping projects. Proposals with 50% or greater matching funds will receive the highest consideration in order to maximize benefits from these assistance funds. Maintenance of effort requirements are not applicable to this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Cooperative agreements will normally be awarded for, but not restricted to, 12 to 18 month budget periods. See the following for information on how assistance is awarded/released: Recipients request payment as needed throughout the award period.

Reports:

Annual and final technical reports are required and must be submitted as described in the award terms and conditions. Cash reports are not applicable. Progress reports are not applicable. Annual and final financial reports are required and must be submitted as described in the award terms and conditions. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Applicants agree to give the sponsoring agency, the Comptroller General or authorized audit agency access to and the right to examine records related to the award. Audit info." If 'No' is selected: "This program is excluded from coverage under OMB Circular No. A-133."

Records:

Records shall be retained and made available for audit for 3 years after submission of final financial report.

Account Identification:

14-0804-0-1-306.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 12 \$1,000,000; FY 13 est \$1,000,000; and FY 14 est \$1,000,000

Range and Average of Financial Assistance:

\$3,750 to \$176,866; average \$54,166.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: No Current Data Available. Fiscal Year 2013: No Current Data Available. Fiscal Year 2014: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

U.S. Geological Survey Guide for Submission of Unsolicited Proposals is available at <http://www.usgs.gov/contracts/grants/unsolbk.html>.

Regional or Local Office:

See Regional Agency Offices. For individually-awarded projects, contact the appropriate office, depending on the location of the study. See the "Partnership Network" listing at http://nationalmap.gov/tnm_partnerships.html.

Headquarters Office:

National Geospatial Program, Geological Survey, 12201 Sunrise Valley Drive, MS 510, Reston, Virginia 20192 Phone: (703) 648-5519.

Website Address:

<http://www.nationalmap.gov>

RELATED PROGRAMS:

10.903 Soil Survey; 15.808 U.S. Geological Survey_ Research and Data Collection

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available. Fiscal Year 2013: No Current Data Available. Fiscal Year 2014: No Current Data Available.

CRITERIA FOR SELECTING PROPOSALS:

Panels of expert geographers, cartographers, physical scientists and geodesists within the Geological Survey will review and evaluate all applications consistent with authorized USGS program purposes. Criteria for judging applications will include scientific excellence and relevance to the mission of the National Geospatial Program of the Geological Survey. Other factors include geographic location and areal extent, value to potential partners, technical qualifications of the recipients, and amount of matching funds.

15.818 VOLCANO HAZARDS PROGRAM RESEARCH AND MONITORING

Volcano Hazards Program

FEDERAL AGENCY:

U.S. Geological Survey, Department of the Interior

AUTHORIZATION:

Outer Continental Shelf Resource Management, 43 U.S.C. 36d.

OBJECTIVES:

To advance the scientific understanding of volcanic processes and to lessen the harmful impacts of volcanic activity. The Volcano Hazards Program (VHP) monitors active and potentially active volcanoes, assesses their hazards, responds to volcanic crises, and conducts research on how volcanoes work to fulfill a Congressional mandate (Public Law 93-288) that the USGS issue "timely warnings" of potential volcanic hazards to responsible emergency-management authorities and to the populace affected. VHP provides domestic assistance in order to expand the expertise and capabilities applied to fulfilling its mission.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

Cooperative Agreements are entered into for the purpose of conducting monitoring and research as specified in Public Law 93-288. For further information, please contact the regional office.

Applicant Eligibility:

None.

Beneficiary Eligibility:

None.

Credentials/Documentation:

Proposals must furnish evidence of the applicant's qualifications for performance of the proposed research and operations. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Notwithstanding the provision at OMB Circular A-110, Subpart C, __.25 (e)(1), discussions regarding funding availability and program interest are strongly encouraged, as limited funding is available for new projects. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applicants will use the standard SF 424 Application for Federal Assistance and Assurances. This program is subject to the Department of the Interior regulations at 43 CFR Part 12, Subparts C and D, or any subsequent revisions. The application requirements as contained in the announcement package and the above regulations must be used for this program. Applications may be submitted only through the internet site <http://www.grants.gov>.

Award Procedure:

Notification of an award is by receipt of a grant or cooperative agreement from a U.S. Geological Survey contracting officer through FedConnect (<https://www.fedconnect.net/FedConnect/>).

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Established annually. The range of approval/disapproval time is from 3 to 6 months.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
Matching Requirements: Recipients are encouraged to share in the cost of each research project.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Cooperative agreements will normally be awarded in, but not restricted to, 12 month budget periods. Project duration may not exceed 5 years. See the following for information on how assistance is awarded/released: Recipients request payment as needed throughout the award period.

Reports:

Annual and final technical reports are required and must be submitted as described in the award terms and conditions. Cash reports are not applicable. No progress reports are required. Annual and final financial reports are required and must be submitted as described in the award terms and conditions. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Applicants agree to give the sponsoring agency, the Comptroller General or other authorized audit agency access to and the right to examine records related to the award. Awards to educational institutions and nonprofit organizations are subject to the audit requirements of OMB Circular No. A-110, or superseding regulations.

Records:

Records must be maintained and made available for audit for three years after submission of the final financial report.

Account Identification:

14-0804-0-1-306.

Obligations:

(Cooperative Agreements) FY 12 \$2,000,000; FY 13 est \$2,000,000; and FY 14 Estimate Not Available

Range and Average of Financial Assistance:

\$20,000 to \$2,000,000; average \$250,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: 7 agreements were awarded. Fiscal Year 2013: No Current Data Available. Fiscal Year 2014: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Charles Mandeville Volcano Hazards Program Office, Geologic Discipline, Geological Survey, 3A204 National Center, Reston, Virginia 20192 Email: cmandeville@usgs.gov Phone: (703) 648-4773 Fax: (703) 648-5483

Website Address:

<http://volcanoes.usgs.gov/>

RELATED PROGRAMS:

47.050 Geosciences

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available. Fiscal Year 2013: No Current Data Available. Fiscal Year 2014: No Current Data Available.

CRITERIA FOR SELECTING PROPOSALS:

Scientists within the Geological Survey will review and evaluate all applications consistent with authorized USGS program purposes. Criteria for judging applications will include scientific excellence and relevance to the solution of important problems within the mission of the Geological Survey. Applications will be funded only to the extent permitted by available funds.

15.819 ENERGY COOPERATIVES TO SUPPORT THE NATIONAL COAL RESOURCES DATA SYSTEM (NCRDS) (NCRDS)**FEDERAL AGENCY:**

U.S. Geological Survey, Department of the Interior

AUTHORIZATION:

Public Lands, 43 U.S.C 31 et. seq.; Minerals, Lands and Mines, 30 U.S.C 208-1; Public Health and Welfare, 42 U.S.C 15801.

OBJECTIVES:

To collect, interpret, correlate, and evaluate energy-related stratigraphic, chemical, and GIS data that support USGS Energy Resources Program research initiatives; to build and maintain national energy databases of; to conduct energy related research and apply knowledge gained to conduct resource assessments within the United States in order to further the understanding of energy resources within a global, geologic, economic and environmental context.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

Funds may be used to conduct research to further the understanding of U.S. energy resource occurrence (coal, gas shale, coalbed methane, etc.) and their geologic characteristics. Applications for proposals not covered by at least one of the objectives in the Program Announcement are not solicited. For further information, please contact the regional office.

Applicant Eligibility:

Applicants can be affiliated (but are not required to be affiliated) with State agencies, universities, Tribal governments or organizations. Applications must have the ability to conduct research consistent with the Energy Resources Program goals. Applicants must not be employed by a U.S. Federal agency.

Beneficiary Eligibility:

Research scientists, their agencies, and ultimately the general public will benefit from the program.

Credentials/Documentation:

None specific, although proposal must contain evidence of personnel qualifications for performance of the work. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applicants will use the standard SF 424 Application for Federal Assistance and Assurances. This program is subject to the Department of the Interior regulations at 43 CFR Part 12, Subparts C and D, or any subsequent revisions. The application requirements as contained in the announcement package and the above regulations must be used for this program. Applications may be submitted through the internet site <http://www.grants.gov>.

Award Procedure:

Notification of an award is by receipt of a grant or cooperative agreement from a U.S. Geological Survey contracting officer through FedConnect (<https://www.fedconnect.net/FedConnect/>).

Deadlines:

Jul 01, 2010 to Jun 30, 2015: Most projects start on July 1 and end on June 30 on a year-by-year basis. Program Announcement 10HQPA0010 instituted a 5-year cycle from FY10 through FY14 (June 30, 2015).

Range of Approval/Disapproval Time:

Four to six months after submittal of proposal or bureau receipt of appropriations, whichever is later.

Appeals:

Not Applicable.

Renewals:

Annual renewals for continuation of work may occur through the 5-year cycle (FY 2010 to FY 2014), subject to the availability of annual funds.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: No statutory requirement. In order to gain the greatest leverage of limited Federal and State funds, each proposed State-originated program should indicate some State matching of direct- and indirect-cost dollars. Exceptions may be granted for ratios far below 1:1. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants will normally be awarded in 12 month budget periods, with the budget periods cumulative to the end of the total project period. In FY 10, a new 5-year cycle project period was initiated, with annual funding for FY 11-14 based on future availability of funds. See the following for information on how assistance is awarded/released: Not available.

Reports:

Annual and final technical reports, as well as other products, are required and must be submitted as described in the award terms and conditions. Cash reports are not applicable. Progress reports are not applicable. Annual and final financial reports must be submitted as described in the award terms and conditions. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Applicants must agree to give the sponsoring agency, the Comptroller General, or other authorized audit agency access to and the right to examine records related to the award. Awards to educational institutions and nonprofit organizations are subject to the audit requirements of OMB Circular A-110, or superseding regulations.

Records:

Recipient records shall be retained and made available for audit for three years after submission of final financial report.

Account Identification:

14-0804-0-1-306.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 12 \$418,146; FY 13 est \$437,562; and FY 14 est \$300,000

Range and Average of Financial Assistance:

\$15,000- \$26,000; average \$15,190.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Information Not Available. Fiscal Year 2013: 39,000 points were added to USTRAT. Replacement of some existing USTRAT USGS points in the Powder River Basin will occur, but many new points used in the assessment of economically extractable resources by the assessment project staff were also added. This data will be made publicly available early in 2013.

Fiscal Year 2014: Information Not Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Joseph A. East Department of the Interior, Geological Survey, Energy Resources Program, MS 956 National Center, Reston, Virginia 20192 Email: jeast@usgs.gov Phone: (703) 648-6450 Fax: (703) 648-6419

Website Address:

http://energy.er.usgs.gov/coal_quality/state_coops

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Information Not Available. Fiscal Year 2013: AL-data entry for deep coal resources of the Pottsville Formation in the Warrior coal basin.

AK-acquire new coal-quality data for the North Slope and Healy area coal fields; digitize GIS information of mined and unmined areas of the Healy and Matanuska Fields; determine CO2 storage potential for deep coals in Cook Inlet basin.

AR-evaluate the reservoir characteristics of the Brown Dense through petrophysical and petrographic analysis on core samples.

CO-add coalbed methane (CBM) drill data and verify data already in NCRDS; tie data from CBM subsurface to surface measured sections in order to identify areas of potential surface methane seepage.

IL-collect, check, interpret and encode coal, coal quality, and mine data; include mined-out areas GIS.

IN-collect data where current NCRDS point distribution is sparse; begin databases on coal-bed methane and gas-shale information.

KYGS-three concurrent tasks of: borehole data entry, surface mine GIS geodatabase creation, and coal quality compilation.

UKY CAER-support UKY students to work on petrography of USGS coal and coal combustion byproduct samples as well as samples from TX cooperators

LAGS-generate atlas of CBM potential in LA north of the 31st parallel (expand the past 5 years' work in central LA northward).

ULA-compile site-specific stratigraphic data on coal distribution to study CBM potential and provide it digitally. Conduct geomorphologic study on an existing 3-D seismic survey to attempt to characterize the producibility of CBM; initiate study of Haynesville gas shale.

MS-inventory Tertiary lignites in northern and central MS (to tie into TN lignites) with new data from 10 to 15 agency-drilled holes per year; begin ACCESS databases of shale gas and CBM data.

MO-enter drill hole logs into NCRDS and validate existing points; compile geologic maps of a portion of the Browns and Worland quads to aid in assessing resources.

MT-expand database on coal to include all regions covered by the Fort Union Formation (much existing data is shallow only); begin effort to create MT geochemical data base.

NM-collect, organize, interpret, and enter point-source data into NCRDS through internet; map scans and scanned images of coal outcrops can be incorporated.

NDGS-enter formerly confidential stratigraphic data into NCRDS; publish

groundwater chemistry and methane concentration maps on methanogenesis work jointly with USGS and University of Arizona staff.

OH-capture geologic data from underground mine maps for stratigraphic database and scan and capture geologic data from OH Dept. of Transportation bridge coring dataset.

OK-collect all stratigraphic, chemical, petrographic and production data (including CBM and gas shale well completions).

TX-collect gas-shale samples from cores; determine reflectance to predict thermal maturity.

UT-collect data on deep coal deposits for potential in CO₂ sequestration and CBM for database; verify existing coal data.

VA-enter new records for coal for 3-D model of SW coalfields.

WV-field work, data entry and correlation towards State-wide resource assessment.

WY-add data for coal and uranium in Greater Green River Basin. Fiscal Year 2014: Information Not Available.

CRITERIA FOR SELECTING PROPOSALS:

A panel evaluates application for relevance to announced priorities, technical merit, applicant's professional qualifications and prospect of attaining program objectives. The review process is detailed in a Technical Evaluation document.

15.820 NATIONAL CLIMATE CHANGE AND WILDLIFE SCIENCE CENTER

NCCWSC and DOI Climate Science Centers (DOI CSC)

FEDERAL AGENCY:

U.S. Geological Survey, Department of the Interior

AUTHORIZATION:

Consolidated Appropriations Act of 2008, Public Law 110-161, Public Law 110-161, 43 U.S.C 36(d).

OBJECTIVES:

To enable the National Climate Change and Wildlife Science Center (NCCWSC) and the eight Department of the Interior Climate Science Centers (DOI CSC) to be responsive to the research and management needs of Federal and State agencies by working with partners to provide science and technical support regarding the impacts of climate change in fish, wildlife, plants and ecological processes and the mechanisms for adaptation to, mitigation of, or prevention of those impacts. Center activities will focus on providing national and regional habitat and population modeling and forecasting tools, integrating physical climate models with ecological models, assessing vulnerabilities and forecasting changes, and developing standardized approaches.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

The Department of the Interior (DOI) Climate Science Centers have been developed according to a consistent national strategy and are managed at the national level by the NCCWSC. National coordination of research, modeling, and other scientific and data management matters at the DOI CSCs will ensure uniformity of downscaling and forecasting models and standardized information to support management of fish and wildlife resources and regional partnership collaborations. NCCWSC will develop cooperative agreements and/or grants with the DOI CSCs at the primary hosting Universities to conduct specific research, data gathering, data management and similar scientific activities. For further information, please contact the regional office.

Applicant Eligibility:

There are eight (8) DOI CSCs; each CSC has a single "Host Institution" that is eligible to apply on behalf of themselves and members of the CSC university consortium: Alaska CSC: University of Alaska, Fairbanks; Southeast CSC: North Carolina State University, Raleigh; Northwest CSC: Oregon State

University, Corvallis; Southwest CSC: University of Arizona, Tucson; North Central CSC: Colorado State University, Ft. Collins; South Central CSC: Oklahoma University, Norman; Northeast CSC: University of Massachusetts, Amherst; Pacific Islands CSC: University of Hawaii, Manoa.

Beneficiary Eligibility:

Research scientists, policy makers, natural resource managers, educators, and the general public will benefit from the program.

Credentials/Documentation:

Proposals must furnish evidence of the applicant's qualifications for performance of the proposed education, outreach, science coordination and research activities. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Applicants will use the standard SF 424 Application for Federal Assistance and Assurances. This program is subject to the Department of the Interior regulations at 43 CFR Part 12, Subpart F, or any subsequent revisions. The application requirements as contained in the announcement package and the above regulations must be used for this program. Applications may be made only through the internet site <http://www.grants.gov>.

Award Procedure:

Notification of an award is by receipt of a grant or cooperative agreement from a U.S. Geological Survey contracting officer through FedConnect (<https://www.fedconnect.net/FedConnect/>).

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Four to six months after submittal of proposal or Bureau receipt of appropriations, whichever is later.

Appeals:

Not Applicable.

Renewals:

Awards for specific scientific activities and research may be from one to several years depending upon the subject matter. Renewals will be subject to adequate reporting and performance and are also subject to the availability of funds.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: Recipients are encouraged to share in the cost of each project.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Award project periods will normally be for the appropriate term for scientific activities and/or research.. Annual renewals are subject to the availability of funds. See the post assistance requirements section below for information on how assistance is awarded/released. Recipients request payment as needed throughout the award period. See the following for information on how assistance is awarded/released: Recipients request payment as needed throughout the award period.

Reports:

Annual and final technical reports, as well as other products, are required and must be submitted as described in the award terms and conditions. Annual and final financial reports must be submitted as described in the award terms and conditions. Cash reports are not applicable. Progress reports are not applicable. Annual Federal Financial Reports SF 425 and final reports must be submitted as described in the award terms and conditions. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records shall be retained and made available for audit for 3 years after submission of final financial report.

Account Identification:

14-0804-0-1-306.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 12 \$8,700,000; FY 13 est \$8,700,000; and FY 14 est \$8,700,000 - Recipients request payment as needed throughout the award period.

Range and Average of Financial Assistance:

The range for individual DOI CSCs financial assistance awards (individual projects) is up to \$1,000,000 in FY 2013.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: 69 climate change science projects across eight DOI CSCs. Fiscal Year 2013: No Current Data Available. Fiscal Year 2014: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices. See Headquarters Office, below.

Headquarters Office:

Nadine Hartke, Department of the Interior, U.S. Geological Survey, National Climate Change and Wildlife Science Center (NCCWSC), MS-300 National Center, 12201 Sunrise Valley Drive, Reston, Virginia 20192 Email: nhartke@usgs.gov Phone: (703) 648-4607.

Website Address:

<http://nccwsc.usgs.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Not Available. Fiscal Year 2013: Not Available. Fiscal Year 2014: Not Available.

CRITERIA FOR SELECTING PROPOSALS:

Application proposals will be reviewed by the appropriate geographical DOI CSC Center Director and/or the headquarters NCCWSC Director to ensure projects fall within the authorized program purpose. Criteria for judging applications will include scientific excellence and relevance to the solution of important problems within the mission of the Department of the Interior. Applications will be funded only to the extent permitted by available funds.

15.875 ECONOMIC, SOCIAL, AND POLITICAL DEVELOPMENT OF THE TERRITORIES

FEDERAL AGENCY:

Office of Insular Affairs, Department of the Interior

AUTHORIZATION:

The Guam Organic Act, 64 Stat. 384, 48 U.S.C. 1421 et. seq., Act of February 20, 1929, 48 U.S.C. 1661; Executive Order 10264; Public Law 83-517, 48 U.S.C. et. seq.; Public Law 94-241, Public Law 104-134, Public Law 108-188, and Public Law 99-658.

OBJECTIVES:

To empower insular communities by improving the quality of life, creating economic opportunity and promoting efficient and effective governance in the U. S. territories of Guam, American Samoa, the U.S. Virgin Islands, and the Commonwealth of the Northern Mariana Islands as well as the three Freely

Associated States: The Federated States of Micronesia, the Republic of the Marshall Islands, and the Republic of Palau.

TYPES OF ASSISTANCE:

Direct Payments with Unrestricted Use; Formula Grants; PROJECT GRANTS

USES AND USE RESTRICTIONS:

Financial assistance is limited to the U.S. territories, the Freely Associated States and to non-profit organizations whose missions directly benefit these seven insular areas. A notable exception is the state of Hawaii which receives Compact Impact aid mandated by Public Law 108-188 and Brown Treesnake Control Program funding. Financial assistance is used for a variety of activities including capital improvements, government operations, and technical assistance.

Applicant Eligibility:

Eligible applicants are the U.S. territories of Guam, American Samoa, the U.S. Virgin Islands, and the Commonwealth of the Northern Mariana Islands, the state of Hawaii; the Freely Associated States of the Federated States of Micronesia, the Republic of the Marshall Islands, and the Republic of Palau; and any non-profit institutions/organizations whose missions directly benefit the seven insular areas.

Beneficiary Eligibility:

Beneficiaries are the U.S. territories of Guam, American Samoa, the U.S. Virgin Islands, and the Commonwealth of the Northern Mariana Islands; the state of Hawaii; and the Freely Associated States of the Federated States of Micronesia, the Republic of the Marshall Islands, and the Republic of Palau.

Credentials/Documentation:

No Credentials or documentation are required. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

An environmental impact statement or an environmental impact assessment may be required depending on the project grant. All proposed projects shall be reviewed for applicability. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Application procedures vary. Please contact the Headquarters Office for specific information. The standard SF-424 forms are required for project grants with the exception of projects funded by the Compacts of Free Association.

Award Procedure:

A grant award is made to an eligible entity in the specific amounts and for the specific purposes set forth in the annual Department of the Interior and Related Agencies Appropriation Act signed by the President.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Public Law 108-188.

Matching Requirements: The majority of OIA's financial assistance programs do not have a matching requirement. The two exceptions are the American Samoa Capital Improvement Project Operations and Maintenance Set-Aside Program, which has a 5% local match requirement, and the Maintenance

Assistance Program (MAP). However, MAP match requirements are left to the discretion of OIA and may be waived should the application warrant it. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The period of performance for OIA grants is dependent on the activity to be funded and is specified in the grant terms and conditions. See the following for information on how assistance is awarded/released: Award payments are generally made through wire transfer arrangements. The frequency of the payment varies. Please contact the Headquarters Office for more information.

Reports:

Program reports are not applicable. Cash reports are not applicable. Narrative progress reports are required as dictated by the grant terms and conditions. A final report is due within 90 days of grant completion or termination. The SF-425 Federal Financial Report is required as directed by the grant terms and conditions. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audits of the three Freely Associated States, when receiving funding under the Compacts of Free Association, are governed by those compacts (Public Law 108-188 and Public Law 99-658) and their related agreements. Financial assistance awards are also subject to inspection and audit by Interior's Office of the Inspector General, OIA staff, or any other authorized representative of the Federal government.

Records:

Record retention requirements are the same as those contained in 43 CFR Part 12, "Administrative and Audit Requirements and Cost Principles for Assistance Programs," and those dictated by the Compacts of Free Association (Public Law 108-188 and Public Law 99-658) and their related agreements (as applicable).

Account Identification:

14-0418-0-1-806; 14-0414-0-1-806; 14-0415-0-1-806; 14-0412-0-1-806.

Obligations:

(Direct Payments with Unrestricted Use) FY 12 \$321,547,000; FY 13 est \$339,627,000; and FY 14 est \$314,627,000. (Project Grants) FY 12 \$312,582,134; FY 13 est \$300,326,000; and FY 14 est \$363,622,000

Range and Average of Financial Assistance:

There is no minimum or maximum award. OIA financial assistance awards range from a few thousand dollars to tens of millions annually. The average award amount varies annually.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Information is not available. Fiscal Year 2013: OIA financial assistance has enabled the seven insular areas to develop economically, socially, and politically helping to empower these communities. Recent accomplishments include the construction of classrooms, the installation of software to enhance government accountability, and the purchase of public safety equipment such as fire trucks and ambulances.

Data on the number and ratio of applications is currently not available. Approximately 120 awards are made annually. Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Grants Common Rule at 43 CFR Part 12.

Please visit the OIA website: <http://www.doi.gov/oia>, for additional literature.

Regional or Local Office:

None.

Headquarters Office:

Faride Kraft, Department of the Interior, Office of Insular Affairs, 1849 C

Street, NW, Mail Stop 2429, Washington, District of Columbia 20240 Email: faride_kraft@ios.doi.gov Phone: (202) 219-1335 Fax: (202) 208-7585.

Website Address:

<http://www.doi.gov/oia>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Operational support to the American Samoa Government and grants for the construction of basic infrastructure including marina renovations, solid waste facility construction, and power generation improvements. Fiscal Year 2013: Operational support to insular area governments, invasive species control tool development, coral reef protection, public safety equipment, staff training, and construction of basic infrastructure. Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The following consideration will be used in determining projects to be funded: (1) Directed appropriations, (2) Relevance to program priorities, and (3) Availability of funds.

15.904 HISTORIC PRESERVATION FUND GRANTS-IN-AID

(HPF)

FEDERAL AGENCY:

National Park Service, Department of the Interior

AUTHORIZATION:

National preservation Act of 1996 as amended, 16 U.S.C. 470 et seq., 16 U.S.C 470.

OBJECTIVES:

To provide matching grants to States for the identification, evaluation, and protection of historic properties by such means as survey, planning technical assistance, acquisition, development, and certain Federal tax incentives available for historic properties; to provide matching grants to States to expand the National Register of Historic Places, (the Nation's listing of districts, sites, buildings, structures, and objects significant in American history, architecture, archeology, engineering and culture at the National, State and local levels) to assist Federal, State, and Local Government agencies, nonprofit organizations and private individuals in carrying out historic preservation activities; and to provide grants to Indian Tribes and Alaskan Native Corporations to preserve their culture.

TYPES OF ASSISTANCE:

FORMULA GRANTS; PROJECT GRANTS

USES AND USE RESTRICTIONS:

Matching grants can directly finance State staff salaries, equipment, and materials, and travel necessary to accomplish program purposes. States may transfer funds to third parties to carry out historic preservation activities such as surveys, preservation plans, National Register nominations, architectural plans and specifications, historic structures reports, and engineering studies necessary to restore properties listed on the National Register of Historic Places, and for acquisition or repair of these properties. Development projects must comprise one or more of the 4 allowable treatments defined in the "Secretary of the Interior's Standards for the Treatment of Historic Properties" (36 CFR 78). These treatments are preservation, restoration, rehabilitation, and reconstruction. Major reconstruction is not eligible. Other activities must meet the applicable Secretary of the Interior's Standards for Archeology and Historic Preservation. In 2012, the amount appropriated from the Historic Preservation Fund for financial assistance to the States, Territories, and the Freely Associated States of Micronesia, was \$46.9 million, with an additional \$8.9 million for grants to Indian tribes. In accordance with Section 102(a)(5) of the National Historic Preservation Act, as amended, grantees must agree to assume, after completion of the restoration project, the total cost of the continued maintenance, repair, and administration of the property in a manner satisfactory to the Secretary.

Applicant Eligibility:

Eligible applicants are States and Territories as defined in the National Historic Preservation Act, as amended, operating programs administered by a State

Historic Preservation Officer appointed by the Governor or according to State law, and which are otherwise in compliance with the requirements of the Act. Eligible applicants for the Tribal Grant Program are Federally recognized Indian Tribes, Alaska Native Corporations, and Native Hawaiian organizations.

Beneficiary Eligibility:

Eligible beneficiaries include State and local governments, public and private nonprofit organizations, and individuals. According to their own priorities and plans, States select their own projects and may subgrant to public and private parties, including local governments, federally recognized Indian tribal governments, nonprofit and for-profit organizations, and/or individuals to accomplish program objectives. At least ten percent of each year's appropriation must be subgranted to local governments certified as eligible to carry out preservation functions according to 36 CFR 61. Grants are awarded at a ratio of 60 percent Federal, 40 percent State (public and/or private funds and/or allowable in-kind donations). American Samoa, Guam, Commonwealth of Northern Mariana Islands, Federated States of Micronesia, Republic of Palau, Republic of Marshall Islands and Virgin Islands are exempt from matching share per Public Law 96-205.

Credentials/Documentation:

Each State must have a qualified Review Board, employ professionally qualified staff, and maintain an approved statewide historic preservation plan in accordance with 36 CFR 61. OMB Circular No. A-87 applies to this program. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Application is made by the States for an annual grant in the form of planned activities and projects. 43 CFR Part 12, Subpart C, "Uniform Administrative Requirements For Grants and Cooperative Agreements to State and Local Governments," applies to awards to States. Applicants for financial aid for subgrants and contracts must contact the State Historic Preservation Office for application information. Applicants for HPF Tribal Grant projects should visit the National Park Service website, at <http://www.nps.gov/history/hps/hpg/>

Award Procedure:

The Annual appropriation is allocated by the Secretary of the Interior among States and Territories.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Up to 1 month for Federal grants; subgrant time depends on State procedures.

Appeals:

None.

Renewals:

Grants to States are for 2 years, subject to "Use or Lose" procedures established by the Federal agency.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Fiscal year in which funds are appropriated and one succeeding fiscal year. See

the following for information on how assistance is awarded/released: Fiscal year in which funds are appropriated and one succeeding fiscal year.

Reports:

Program reports are not applicable. Cash reports are not applicable. Progress reports are not applicable. Summary completion reports must be maintained for subgrant expenditures. Expenditure reports as specified in 43 CFR Part 12, Subpart C, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments" or in OMB Circular No. A-110 are required. An annual performance report is required comparing planned accomplishments with actual results.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Full fiscal and project records to be maintained by grantees in accordance with OMB Circular Nos. A-87, and 43 CFR Part 12 for States and Tribes; OMB Circular Nos. A-122 and A-110 as required.

Account Identification:

14-5140-0-2-303.

Obligations:

(Formula Grants) FY 12 \$55,910,400; FY 13 est \$55,910,000; and FY 14 est \$55,910,000

Range and Average of Financial Assistance:

Range \$53,514 - \$1,494,220
Average \$292,725.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: A total of 191 awards were made in FY12. Fiscal Year 2013: To be determined. Fiscal Year 2014: To be determined.

REGULATIONS, GUIDELINES, AND LITERATURE:

Information about this program may be found on the National Park Services website, <http://www.nps.gov/history/hps/hpg/>. See the "Catalog of Historic Preservation Publications." Important examples include: "The National Register of Historic Places," 1966-1994 issue, a softbound volume describing National Register properties published in conjunction with the National Trust for Historic Preservation Press and the National Conference for State Historic Preservation Officers, (John Wiley and Sons, Preservation Press, 1 Wiley Drive, Somerset, New Jersey 08875, Telephone 1-800-225-5945, Reference ISBN 0471-144-037), the Historic Buildings Preservation Briefs series; the Preservation Planning series; the Preservation Tech Note Series; and, the National Register Bulletin series. Program regulations are specified in Volume 36 of the Code of Federal Regulations. Program standards are found in "The Secretary of the Interior's Standards and Guidelines for Archeology and Historic Preservation."

Regional or Local Office:

See Regional Agency Offices. State programs applicants should refer to Catalog Appendix IV for list of State Historic Preservation Offices. Contact the appropriate State agency for subgrant eligibility information. This list is also available on the National Park Service's website, at <http://www.nps.gov/history/hps/hpg/>. Corrections and additions should be directed to Tawana Jackson, Historic Preservation Grants Division, National Park Service, 1849 C Street, NW., Mail Stop 2256, Washington, DC 20240, or E-mail: Tawana_Jackson@nps.gov. Program inquiries should be directed to Hampton Tucker at Hampton_Tucker@nps.gov.

Headquarters Office:

Cultural Resource National Park Service, Department of the Interior, 1849 C St. N.W., Washington, District of Columbia 20240 Phone: (202) 354-2020

Website Address:

<http://www.nps.gov/history/hps/hpg/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Each State selects subgrant proposals for funding in accordance with its own priorities.

15.912 NATIONAL HISTORIC LANDMARK**FEDERAL AGENCY:**

National Park Service, Department of the Interior

AUTHORIZATION:

Historic Sites Act of 1935, Public Law 74-292, 16 U.S.C. 461 et seq.; National Historic Preservation Act of 1966, as amended, Public Law 89-665, 16 U.S.C. 470(t); National Historic Preservation Act Amendments of 1980, Public Law 96-515; 16 U.S.C. 470.

OBJECTIVES:

To study, identify, and encourage preservation of nationally-significant historic properties; a bronze plaque is awarded in a presentation ceremony when requested. This provides permanent identification of nationally significant properties.

TYPES OF ASSISTANCE:

ADVISORY SERVICES AND COUNSELING

USES AND USE RESTRICTIONS:

Properties recognized as National Historic Landmarks will, upon application by the owner or administrator, be awarded a bronze plaque attesting to the property's national significance. At the same time, the applicant is requested to observe certain simple preservation precepts with regard to the property. Should subsequent occurrences alter the historic qualities of the property, landmark status may be revoked and the plaque removed. Automatic listing of National Historic Landmark properties in the National Register of Historic Places affords a degree of Federal protection under Section 106 of the Historic Preservation Act of 1966, and fulfills one of the prerequisites for Federal assistance under the National Register grants-in-aid program (see 15.904). Certain landmarks are also made eligible for Federal tax benefits and protections and technical preservation assessment and assistance.

Applicant Eligibility:

Anyone may suggest that a property be considered for inclusion in an appropriate National Historic Landmark theme study, provided the property has a high degree of historic integrity and potential national significance with relation to some broad facet of American history. The owner of the property may be an individual, government, or corporate body. Properties of only State or local significance do not qualify.

Beneficiary Eligibility:

Anyone may suggest that a property be considered for inclusion in an appropriate National Historic Landmark theme study, provided the property has a high degree of historic integrity and potential national significance with relation to some broad facet of American history. The owner of the property may be an individual, government, or corporate body. Properties of only State or local significance do not qualify.

Credentials/Documentation:

Request should be supported by full discussion of national significance of property and documented integrity. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. If the property is not listed in the National Register of Historic Places, write the National Historic Landmarks Survey giving a brief resume of the history of the

property and its present condition and advising what assistance can be provided in the nomination process. If the property is already listed in the National Register, provide a brief resume of the historical facts that justify consideration of national significance. Properties nominated to, or already listed in, the National Register of Historic Places at a national level of significance by the State Historic Preservation Officer may be reviewed by the National Historic Landmarks Survey staff.

Award Procedure:

When the property has been investigated, findings, in the form of a thorough NHL nomination form, are presented to the National Park System Advisory Board which evaluates the property's national significance and high integrity and recommends a final action to the Secretary of the Interior. Owners of proposed landmarks are contacted prior to a landmark study, given an opportunity to comment on a final study, and then notified after designation of the landmark.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Determined by scheduling of theme studies, availability of staff or funds, and proximity to twice yearly Advisory Board meetings.

Appeals:

Owner comments are sought prior to the meeting of the Advisory Board. Board meetings are open to the public. Appeals process is outlined in program regulations.

Renewals:

NONE.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

None. See the following for information on how assistance is awarded/released: None.

Reports:

Program reports are not applicable. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. National Park Service personnel make periodic inquiries and inspections to determine continuing eligibility of National Historic Landmark properties and to identify those which exhibit known or anticipated damage or threats to the integrity of their resources.

Audits:

Not Applicable.

Records:

None.

Account Identification:

14-1042-0-1-303.

Obligations:

(Advisory Services and Counseling) FY 12 \$473,853; FY 13 est \$1,239,522; and FY 14 est \$1,239,522

Range and Average of Financial Assistance:

Range \$22,210 to \$221,878
Average \$94,770.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: A total of 5 awards were made in FY2012. Fiscal Year 2013: To be determined. Fiscal Year 2014: To be determined.

REGULATIONS, GUIDELINES, AND LITERATURE:

List of National Historic Landmarks available upon request. Regulations were published in the "Federal Register" on February 2, 1983. Copies of regulations also available upon request. Upon request, a bulletin on "How to Prepare National Historic Landmark Nominations" is available. The documents are also

available at the NHL website <http://www2cr.nps.gov/nhl>.

Regional or Local Office:

See Regional Agency Offices. See Catalog Appendix IV for addresses.

Headquarters Office:

Paul Loether National Park Service, Department of the Interior, 1849 C St., N.W., Mail Stop 2280, Washington, District of Columbia 20240 Email: Paul_Loether@nps.gov Phone: (202) 354-2210

Website Address:

<http://www.cr.nps.gov/nhl>

RELATED PROGRAMS:

15.904 Historic Preservation Fund Grants-In-Aid; 15.914 National Register of Historic Places; 15.915 Technical Preservation Services

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: National Historic Landmarks are automatically entered in the National Register of Historic Places. See Uses and Use Restrictions for funding project capability. Fiscal Year 2013: To be determined. Fiscal Year 2014: To be determined.

CRITERIA FOR SELECTING PROPOSALS:

See program 15.914, National Register of Historic Places.

15.914 NATIONAL REGISTER OF HISTORIC PLACES

(National Register)

FEDERAL AGENCY:

National Park Service, Department of the Interior

AUTHORIZATION:

National Historic Preservation Act of 1966, as amended, Public Law 89-665, 16 U.S.C. 470, 80 Stat. 915 et seq.; Executive Order 11593, May 13, 1971; Tax Reform Act of 1976, Public Law 94-455; Public Law 94-442; 90 Stat. 1519; Revenue Act of 1978; Public Law 95-600; National Historic Preservation Act Amendments of 1980; Public Law 96-515; Tax Treatment Extension Act of 1980; Public Law 96-541; Economic Recovery Tax Act of 1981, Public Law 97-340; Tax Reform Act of 1984, Public Law 98-369; Tax Reform Act of 1986, Public Law 99-514; National Historic Preservation Act Amendments of 1992, Public Law 102-575; Executive Order 13287, March 3, 2003.

OBJECTIVES:

To expand and maintain the National Register of Historic Places for planning, preservation, research, public education and tourism efforts; to make the information on districts, sites, buildings, structures and objects of historical, architectural, archeological, engineering and cultural significance more accessible to the public in order to increase and broaden the public's understanding of the information, foster a national preservation ethic, and to promote greater appreciation of America's heritage; to coordinate with Federal agencies, State and Tribal preservation offices, and the Advisory Council on Historic Preservation in the implementation of laws and policies pertaining to historic preservation; and to establish liaison with and give guidance to communities under Title I of the Housing and Community Development Act of 1974 (see 14.218).

TYPES OF ASSISTANCE:

ADVISORY SERVICES AND COUNSELING

USES AND USE RESTRICTIONS:

Professional advice is provided in determining whether a property qualifies for inclusion in the National Register according to the Criteria for Evaluation contained in 36 CFR Part 60. Listing does not affect the range of actions an owner may take, as long as Federal funding, licensing or assistance is not involved and no governmental unit receives any authority or control over the property, including its use and disposition. However, if a Federal project might have an effect on a property listed or eligible for listing, the agency involved must provide the Advisory Council on Historic Preservation a reasonable opportunity to comment pursuant to 36 CFR Part 800. Registered properties also become eligible to receive grants from the Historic Preservation grant-in-aid program of the Department of the Interior (see 15.904), when funding is available, to receive home improvement loans from the Department of Housing and Urban Development under Title I of the National Housing Act

as amended on October 18, 1974, or to participate in the community grant program provided by the Housing and Community Development Act of 1974. Major Federal tax advantages can be received on certain rehabilitation expenditures for "certified historic structures" and for charitable contributions for conservation purposes of partial interests in historic properties.

Applicant Eligibility:

Eligible applicants are the States and territories as defined in the National Historic Preservation Act, operating under programs administered by State Historic Preservation Officers appointed by the Governors (listed in Appendix IV of the Catalog); or the Tribal Preservation Officers; Federal agencies required to nominate and consider historic properties within their jurisdiction or as a result of the National Environmental Policy Act of 1969 and Executive Order 11593, and the National Historic Preservation Act operating under programs administered by representatives (listed in Appendix IV of the Catalog) appointed by the heads of the agencies; and, in States without approved State Historic Preservation Programs, persons and local governments. Applicants eligible for Federal Tax benefits include owners of individually listed properties and properties certified by the Secretary of Interior as being historic and in a district certified as historic.

Beneficiary Eligibility:

Public and private owners of historic properties listed in the National Register of Historic Places or of properties certified by the Secretary of Interior as being historic and in a district certified as historic.

Credentials/Documentation:

Nominations are submitted on standard nomination forms provided upon request to the State Historic Preservation Officers, Federal Preservation Officers, and Tribal Preservation Officers who must certify that each property was properly nominated. Procedures for National Register nominations are found in 36 CFR Part 60. Request for determinations of eligibility must be adequately documented as described in Appendix A of 36 CFR Part 63. Requests for certification of historic properties must be documented as described in Section 36 CFR Part 67 and requests for certification of State and local statutes and districts must be documented as described in Section 36 CFR Part 67. To participate in the program, each State must have an approved review board, an approved State staff, and an accepted State historic preservation plan. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

For National Register nominations the signature of the State Historic Preservation Officer on the nomination form certifies that the State review board has reviewed the nomination and that all applicable procedures, including notification to the property owner at least once during the nomination process, have been followed. The signature of the Federal Preservation Officer for Federal property or the Tribal Preservation Officer on Tribal lands on the nomination form certifies that the property has been nominated in compliance with the National Historic Preservation Act. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Anyone may prepare a National Register nomination. After the property is approved by the State Historic Preservation Officer, Tribal Preservation Officer, or Federal Preservation Officer, the nomination form or letter of request for a determination of eligibility should be forwarded to the Keeper of the National Register, National Park Service, Department of the Interior, 1849 C Street, NW, Mail Stop 2280, Washington, DC 20240. Nominations submitted for review are announced in the Federal Register to provide a period of comment. Documentation for certification for Federal tax benefits of historic buildings and structures, State and local statutes and districts should be forwarded to the appropriate State Historic Preservation Office, which sends it to the appropriate National Park Service Office.

Award Procedure:

The professional review staff of the National Register determines whether the properties meet the National Register criteria as contained in 36 CFR Part 60. Properties that meet the criteria are then officially listed in the National Register

and become eligible for Federal tax benefits and Historic Preservation Fund grants when they are available.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

After nomination by State, 45 days at Federal level.

Appeals:

A nomination returned to the States, Tribes, or Federal agencies may be resubmitted, depending upon the reason for its rejection. Appeals for listing and removal may be made in accord with 36 CFR 60.

Renewals:

None.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

None. See the following for information on how assistance is awarded/released:
None.

Reports:

No reports are required.

Audits:

No audits are required for this program.

Records:

None.

Account Identification:

14-1042-0-1-303.

Obligations:

(Advisory Services and Counseling) FY 12 \$141,668; FY 13 est \$50,000; and FY 14 est \$50,000

Range and Average of Financial Assistance:

Range \$30,000 to \$111,668
Average \$70,834.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: A total of 2 awards were made in FY2012. Fiscal Year 2013: To be determined. Fiscal Year 2014: To be determined.

REGULATIONS, GUIDELINES, AND LITERATURE:

36 CFR Part 60; 36 CFR Part 63; 36 CFR Part 67, The National Register of Historic Places, a free leaflet explaining the program, Guidelines for Completing National Register of Historic Places Forms, and other technical guidance are available from the National Register of Historic Places Reference Desk, National Register, History, and Education, 1849 C Street, N.W., (Mail Stop 2280) Washington, DC 20240 (www.cr.nps.gov/nr/publications). Lists of nominations submitted to the National Register for review are published in the Federal Register. The National Register database of all listings is accessible through the Internet at <http://www.cr.nps.gov/nr>.

Regional or Local Office:

See Regional Agency Offices. See Appendix IV for list of State Historic Preservation Officers and National Park Service Regional Offices.

Headquarters Office:

Paul Loether National Register of Historic Places, National Register, History, and Education, National Park Service, National Park Service, Department of the Interior, 1849 C Street, N.W., Mail Stop 2280, Washington, District of Columbia 20240 Email: Paul_Loether@nps.gov Phone: (202) 345-2213

Website Address:

<http://www.cr.nps.gov/nr>.

RELATED PROGRAMS:

14.218 Community Development Block Grants/Entitlement Grants; 15.904 Historic Preservation Fund Grants-In-Aid; 15.912 National Historic Landmark; 15.915 Technical Preservation Services

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria for Evaluation: The following criteria are designed to guide the States and the Secretary of the Interior in evaluating potential entries (other than areas of the National Park System and National Historic Landmarks) to the National Register: The quality of significance in American history, architecture, archeology, engineering, and culture is present in districts, sites, building, structures, and objects that possess integrity of location, design, setting, materials, workmanship, feeling, and association, and; (A) that are associated with events that have made a significant contribution to the broad patterns of our history; or (B) that are associated with the lives of persons significant in our past; or (C) that embody the distinctive characteristics of a type, period, or method of construction, or that represent the work of a master, or that possess high artistic values, or that represent a significant and distinguishable entity whose components may lack individual distinction; or (D) that have yielded, or may be likely to yield, information important in prehistory or history. Ordinarily cemeteries, birthplaces, or graves of historical figures, properties owned by religious institutions or used for religious purposes, structures that have been moved from their original locations, reconstructed historic buildings, properties primarily commemorative in nature, and properties that have achieved significance within the past 50 years shall not be considered eligible for the National Register. However, such properties will qualify if they are integral parts of districts that do meet the criteria or if they fall within the following categories. (A) a religious property deriving primary significance from architectural or artistic distinction or historical importance; or (B) a building or structure removed from its original location but which is significant primarily for architectural value, or which is the surviving structure most importantly associated with a historic person or event; or (C) a birthplace or grave of a historical figure of outstanding importance if there is no other appropriate site or building directly associated with his productive life; or (D) a cemetery which derives its primary significance from graves of persons of transcendent importance, from age, from distinctive design features, or from association with historic events; or (E) a reconstructed building when accurately executed in a suitable environment and presented in a dignified manner as part of a restoration master plan, and when no other building or structure with the same association has survived; or (F) a property primarily commemorative in intent if design, age, tradition, or symbolic value has invested it with its own historical significance, or (G) a property achieving significance within the past 50 years if it is of exceptional importance.

15.915 TECHNICAL PRESERVATION SERVICES

FEDERAL AGENCY:

National Park Service, Department of the Interior

AUTHORIZATION:

Federal Property and Administration Services Act, Executive Order Executive Order 11593, Public Law 81-152, 471 U.S.C., Internal Revenue Code Sections 47 and 170.

OBJECTIVES:

To establish technical preservation policies and standards and to develop technical information for the treatment of historic properties. This includes development and distribution of the "Secretary of the Interior's Standards for Historic Preservation Projects," which provide guidance regarding all aspects of preservation work for the use of Federal, State and local officials, property owners, developers, and architects. To advise Congress and Federal agencies on the preservation of historic properties. To provide policy direction and guidance on Federal surplus properties which are available for transfer for historic monument purposes.

To review rehabilitations of investment property for the 20% investment tax credit and to certify that historic properties contribute to the significance of historic district for the purpose of donating a conservation easement.

TYPES OF ASSISTANCE:

ADVISORY SERVICES AND COUNSELING; DISSEMINATION OF TECHNICAL INFORMATION; PROVISION OF SPECIALIZED SERVICES
USES AND USE RESTRICTIONS:

Technical information is provided to assist Federal agencies, State and local

governments, and owners of certified historic structures to preserve and maintain historic properties under their jurisdiction or control. The 20% investment tax credit applies only to income-producing buildings listed in the National Register of Historic Places or contributing to registered historic districts; and only for rehabilitations determined by NPS to meet the Secretary of the Interior's Standards for Rehabilitation. The income tax deduction for the donation of conservation easements applies to income-producing and non-income producing buildings. NPS does not award tax credits or deductions, but only certifies to the Internal Revenue Service whether buildings qualify as certified historic structures and whether rehabilitations undertaken qualify as certified rehabilitations. For matching grants, see CFDA #15.904, Historic Preservation Grants-in-Aid.

Applicant Eligibility:

For technical information on preservation of historic buildings: Federal agencies, State and local governments, private, public, profit and nonprofit organizations and individuals. For the rehabilitation tax credit: private owners of historic buildings held for investment purposes. For the conservation easement deduction; private owners of historic buildings proposed as the subject of charitable easement donations. For Grants-in-Aid see program 15.904, Historic Preservation Grants-in-Aid.

Beneficiary Eligibility:

For technical information on preservation of historic buildings: Federal agencies, State and local governments, private, public, profit and nonprofit organizations and individuals. For the rehabilitation tax credit: private owners of historic buildings held for investment purposes. For the income tax deduction for the donation of conservation easements: private owners of buildings. For Grants-in-Aid see CFDA# 15.904, Historic Preservation Grants-in-Aid.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is encouraged. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. By Historic Preservation Certification Application (OMB No. 1024-0009) through the State Historic Preservation Office to the Technical Preservations Services of the NPS. For Grants-in-Aid, see 15.904, Historic Preservation Grants-in-Aid.

Award Procedure:

NPS reviews rehabilitation projects seeking the investment tax credit. NPS certifies that the subject building is a certified historic structure, and thus eligible for the tax credits or tax deduction [Part 1 review] and reviews the work to the building [Part 2 review]. If the rehabilitation project meets the Secretary of the Interior's Standards for Rehabilitation, NPS designates the project a certified rehabilitation eligible for the tax credit to the Internal Revenue Service [Part 3 review]. However, the NPS does not award tax credits or deductions or make any other financial awards under this program.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Applications for rehabilitation tax incentives are reviewed by State Historic Preservation Offices normally within 30 days of receipt, and then forwarded to NPS. Decisions on tax incentives applications normally are made by NPS within 30 days of receipt of review fee, but the time can vary according to the thoroughness of the complete application or the nature of problems encountered and the range of alternatives.

Appeals:

Investment tax credit applications that are initially denied may be appealed to NPS' Chief Appeals Officer. A decision by the Chief Appeals Officer is the final administrative decision on behalf of the Department of the interior. Where possible, denials also include instructions on how a rehabilitation project may be modified in order to meet the Standards and thus achieve approval.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Not applicable. Method of awarding/releasing assistance: lump sum.

Reports:

Completed rehabilitation work must be documented in photographs submitted with the Request for Certification Work (NPS Form 10-168c). Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Completed rehabilitation work must be documented in photographs submitted with the Request for Certification Work (NPS Form 10-168c).

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. The Secretary of the Interior reserves the right to inspect the property at any time up to 5 years after the completion of the rehabilitation and to withdraw certification of rehabilitation work not undertaken as described or of additional unapproved project work undertaken inconsistent with the Secretary of the Interior's Standards for Rehabilitation.

Records:

None.

Account Identification:

14-1042-0-1-303.

Obligations:

(Advisory Services and Counseling) FY 12 \$0; FY 13 est \$0; and FY 14 est \$0 - This program does not make monetary awards, but is limited to certifying eligibility for historic preservation tax incentives to the Internal Revenue Service. Obligations: (Payment terms vary by agreement awarded under this program).

Range and Average of Financial Assistance:

None.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: 1,171 Part 1 certifications, 1,020 Part 2 applications approved representing proposed investment of \$5.33 billion; 744 Part 3 certifications of completed work, representing approved project work of approximately \$3.15 billion. Fiscal Year 2013: No Data Available. Fiscal Year 2014: N/A.

REGULATIONS, GUIDELINES, AND LITERATURE:

36 CFR Part 67 (for tax incentives program). Treasury Regulations Section 1.48-12. For a free catalog listing publications of the Cultural Resources programs of the National Park Service, write Headquarters Office listed below or see website at <http://www.nps.gov/tps/>

Regional or Local Office:

None. See Catalog Appendix IV for addresses.

Headquarters Office:

Brian Goeken 1849 C Street, N.W., ORG 2255, Washington, District of Columbia 20240 Phone: (202) 513-7270

Website Address:

<http://www.nps.gov/tps/>

RELATED PROGRAMS:

15.904 Historic Preservation Fund Grants-In-Aid; 15.914 National Register of Historic Places

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

15.916 OUTDOOR RECREATION_ACQUISITION, DEVELOPMENT AND PLANNING

Land and Water Conservation Fund Grants

FEDERAL AGENCY:

National Park Service, Department of the Interior

AUTHORIZATION:

16 U.S.C. 1-4 et seq.; Land and Water Conservation Fund Act of 1965, Public Law 88-578, 78 Stat. 897, as amended; Public Law 90-401, 82 Stat. 354; Public Law 91-485, 84 Stat. 1084; Public Law 91-308, 84 Stat. 410; Public Law 92-347, 86 Stat. 460; Public Law 93-81, 87 Stat. 178; Public Law 94-422, 90 Stat. 1313; Public Law 95-42, 91 Stat. 210; Public Law 100-203, 101 Stat. 1330.

OBJECTIVES:

To provide financial assistance to the States and their political subdivisions for the preparation of Statewide Comprehensive Outdoor Recreation Plans (SCORPs) and acquisition and development of outdoor recreation areas and facilities for the general public, to meet current and future needs.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Land acquisition and development grants may be used for a wide range of outdoor recreation projects, such as picnic areas, inner city parks, campgrounds, tennis courts, boat launching ramps, bike trails, swimming pools, playing fields, and support facilities such as roads, water supply, etc. Facilities must be open to the general public and not limited to special groups. Every park or outdoor recreation area acquired or developed with assistance under this program must remain available and accessible for public outdoor recreation use forever. Development of basic rather than elaborate facilities is favored. Operation and maintenance of facilities are not eligible expenses for funding. States only are also eligible to apply for grants to revise and update existing SCORPs; prepare new SCORPs; conduct statewide surveys, technical studies, data collection and analysis; and for other planning purposes that are clearly related to SCORP refinement and improvement.

Applicant Eligibility:

For planning grants, only the State agency formally designated by the Governor or State law as responsible for the preparation and maintenance of the SCORP is eligible to apply. (Treated as States for this purpose are the District of Columbia, Puerto Rico, the Virgin Islands, American Samoa, the Northern Mariana Islands, and Guam.) For acquisition and development grants, the above designated agency may apply for assistance for itself, or on behalf of other State agencies or political subdivisions, such as cities, counties, and park districts. Additionally, Indian tribes that are organized to govern themselves and perform the functions of a general purpose unit of government qualify for assistance. Individuals, nonprofit organizations, and private organizations are not eligible.

Beneficiary Eligibility:

The general public. For planning grants, same as Applicant Eligibility.

Credentials/Documentation:

The State Liaison Officer (SLO), who is appointed by the Governor or designated in State legislation to administer the program in the State or Territory, must provide assurance that the project is consistent with the SCORP; i.e., that it meets high priority recreation needs shown in the action program portion of the Plan. The State must have a sufficient balance of LWCF funding and the required match available for the project. The sponsoring agency must permanently dedicate the project to public outdoor recreation and assume responsibility for its operation and maintenance. SCORPs must cite the State's legal authority to participate in the Land and Water Conservation Fund program. Eligibility of costs will be determined in accordance with OMB Circular A-102 (43 CFR Part 12, Subpart C), "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments" and OMB Circular A-87, Cost Principles for State, Local and Indian Tribal Governments. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

The SLO has the prerogative for initial determination of project eligibility, priority need, and order of fund assistance within the State. All project proposals are submitted to the National Park Service by the SLO. Environmental impact information is required for this program; a screening form is used to determine whether an EA or EIS is necessary. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." If the State has selected the program for review, the applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires when applying for federal assistance. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. States and Territories must have a current SCORP approved by NPS to be eligible to submit grant applications. Proposals selected by the State to forward to NPS must be accompanied by the standard federal application forms required by 43 CFR Part 12, Subpart C, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments." Proposal budgets must comport with OMB Circulars A-87 and A-102. Basic environmental information or evaluation is required and will be assessed by the National Park Service to determine whether an environmental impact statement is needed. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." If the State has selected the program for review, the applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires when applying for federal assistance.

Award Procedure:

Proposals are reviewed by the NPS field office, where final action may be taken. All grants are made to the State lead agency and if applicable passed through to the project sponsor, whether State or local government.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Approximately 30-60 days for acquisition and development projects; 60 days for planning projects.

Appeals:

State may appeal to the Secretary of the Interior.

Renewals:

Project agreements may be amended to change the scope, funding amount, or duration. Must be approved by the National Park Service.

Formula and Matching Requirements:

Statutory Formula: Each year, States and Territories receive an overall allocation of funds based on a statutory formula. Depending on the amount appropriated by Congress, a certain percentage (forty percent of appropriations of up to \$225 million, thirty percent of the next \$275 million, and twenty percent of any additional appropriation) is split equally among the 51 States (for this purpose American Samoa, the District of Columbia, Guam, the Northern Mariana Islands, Puerto Rico, and the Virgin Islands are treated as one State and split an apportionment). The remaining percentage is apportioned on the basis of need.

Matching Requirements: The Land and Water Conservation Fund Act requires that the share of federal funds not exceed 50 percent of the total project cost. Generally, match must be derived from State, local, or private sources, but under certain conditions all or part of the project sponsor's matching share may be from other Federal assistance programs, such as Community Development Block Grants, when such use is allowable under the other programs sponsoring legislation. Otherwise, no other Federal funds may be applied to the project cost, even if all matching requirements are met.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Funds are available for obligation during the fiscal year in which they are appropriated and for the two following fiscal years. The assistance period for individual projects varies and may be extended but on average is three years. Complex projects may be broken down into stages, with one being initially approved and the remainder qualified for activation at a later date. Except for project preparation costs, all costs must be incurred within the project period to be eligible for reimbursement. Planning projects may not be phased. See the following for information on how assistance is awarded/released: On an average of three years.

Reports:

Program reports are not applicable. Cash reports are not applicable. All projects are monitored for progress through consolidated performance reports, which should be at least annually. State inspection reports are submitted every 5 years on completed projects stating whether the properties acquired and/or developed with fund assistance are still being used in accordance with the agreement. For planning projects, end products are specified in the application for assistance. Financial reports are required with all billings which should be at least annually. Consolidated performance reports are required which should be at least annually.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Maintain records to facilitate audit, including records that fully disclose the amount and disposition of assistance; the total cost of the project; and the amount and nature of that portion of the cost supplied by other sources.

Account Identification:

14-5035-0-2-303.

Obligations:

(Project Grants) FY 12 \$31,194,369; FY 13 est \$42,138,470; and FY 14 est \$56,000,000

Range and Average of Financial Assistance:

Range \$3,096 to \$1,473,478

Average \$115,108.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: A total of 271 awards were made in FY12. Fiscal Year 2013: No Current Data Available. Fiscal Year 2014: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulation: 36 CFR Part 59, FR 51 No. 186, September 25, 1986 (amended June 15, 1987). The Federal Financial Assistance Manual for the Land and Water Conservation Fund State Assistance Program (vol. 69, effective 10/1/2008) is available at www.nps.gov/lwcf/ ... Program brochure available from the Department of the Interior, National Park Service, MS-2225, 1849 C Street, Washington, DC 20240, free.

Regional or Local Office:

See Regional Agency Offices.

http://www.nps.gov/nrcr/programs/lwcf/contact_list.html.

Headquarters Office:

Chief State and Local Assistance Programs Division, National Park Service, (2225), Department of the Interior, 1849 C Street, NW., Washington, District of Columbia 20240 Email: LWCF_grants@nps.gov Phone: (202)354-6900 Fax: (202)371-5179

Website Address:

<http://www.nps.gov/lwcf>.

RELATED PROGRAMS:

15.918 Disposal of Federal Surplus Real Property for Parks, Recreation, and Historic Monuments

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: No Current Data Available. Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

At the Federal level each project must be in accord with a State Comprehensive Outdoor Recreation Plan. Beyond this, the selection of projects is made by the State Liaison Officer of each State who is responsible for the administration of the 15.916 program in his State. Each State has its own selection process and project rating system, which is approved by NPS.

15.918 DISPOSAL OF FEDERAL SURPLUS REAL PROPERTY FOR PARKS, RECREATION, AND HISTORIC MONUMENTS

Federal Lands to Parks Program (FLP); Historic Surplus Property Program (HSPP)

FEDERAL AGENCY:

National Park Service, Department of the Interior

AUTHORIZATION:

FLP: 40 U.S.C. 550(b) and (e) Public Law 107-217, originally under the Federal Property and Administrative Services Act of 1949, Section 203(k), 63 Stat. 385 as amended, 40 U.S.C. 484, Public Law 91-485; Federal Lands for Parks and Recreation, 16 U.S.C. 4601-5. HSPP: 40 U.S.C. 550(h) Public Law 107-217, originally under the Federal Property and Administrative Services Act of 1949.

OBJECTIVES:

FLP: To transfer surplus Federal real property for state and local public park and recreation use; HSPP: To transfer Federal historic real property to state and local governments for historic preservation purposes.

TYPES OF ASSISTANCE:

Sale, Exchange, or Donation of Property and Goods

USES AND USE RESTRICTIONS:

FLP: Surplus real property may be conveyed for public park and recreation use at discounts up to 100 percent of fair market value. Property conveyed for park and recreation use must be used for these purposes in perpetuity or otherwise may be reverted to Federal ownership at the discretion of the federal government. HSPP: Surplus historic property is conveyed at no cost for historic preservation purposes in perpetuity there are no restrictions on use, so long as the proposed program for the property meets the Secretary of the Interiors Standards for Rehabilitation.

Applicant Eligibility:

FLP: Only State or local units of government and territories are eligible to apply for surplus real property for public parks and recreation. Recipients must agree to manage the property in the public interest and for public recreational use.

HSPP: Only State or local units of government and territories are eligible to apply for surplus real property for historic preservation purposes. A recipient must agree to preserve and maintain the property in perpetuity and may accomplish this goal by leasing the property to a non-profit or for-profit entity. Additionally, a property may be used for revenue-producing activities to support it, and such activities may be eligible for Federal Historic Preservation Tax Incentives (see 15.915, Technical Preservation Services).

Beneficiary Eligibility:

FLP: Only State or local units of government and territories are eligible to apply for surplus real property for public park and recreation, and must agree to manage the property in the public interest and for public use.

HSPP: Only State or local units of government and territories are eligible to apply for surplus real property for historic preservation purposes. A recipient must agree to preserve and maintain the property in perpetuity and may accomplish this goal by leasing the property to a non-profit or for-profit entity. Additionally, a property may be used for revenue-producing activities to support it, and such activities may be eligible for Federal Historic Preservation

Tax Incentives (see 15.915, Technical Preservation Services).

Credentials/Documentation:

FLP: The applicant must submit an application, proposed program of use for the property and evidence of its ability to finance the program. This program is excluded from coverage under OMB Circular No. A-87. HSPP: The applicant must submit an application that includes a Program for Preservation and Utilization, which is comprised of a preservation plan, a use plan and a financial plan. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

FLP: Park and recreation applications are coordinated by the National Park Service, Department of the Interior, and the General Services Administration (GSA), or in the case of legislated military base closures, the Department of Defense (DOD) and its military components.

HSPP: Historic surplus property applications are coordinated by the National Park Service, Department of the Interior, and the GSA, or in the cases of legislated military base closures, the DOD and its military components. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Applications for park and recreation use and historic uses are submitted to the appropriate National Park Service Regional Office. Application forms are issued by the National Park Service.

Award Procedure:

FLP: Upon approval of a park and recreation application, the National Park Service will request GSA or DOD military service, in the case of base closures, to assign the property to the Secretary of the Interior for transfer. Upon assignment by DOD or GSA, the National Park Service will transfer the property to the applicant.

HSPP: To ensure the adequate preservation of the historic surplus property, the National Park Service will provide the technical assistance to the applicant during the development of the application. Upon approval of the application, the National Park Service will formally recommend to GSA or DOD that the property be transferred to the applicant. GSA or DOD will prepare the deed, which includes provisions for maintaining the property in perpetuity, and the property will be conveyed to the applicant.

Deadlines:

Oct 01, 2010 FLP: The National Park Service must notify the General Services Administration within 30 calendar days after the date of the notice of determination of surplus if there is an eligible applicant interested in acquiring the property, or otherwise comply with the DOD Base Realignment and Closure (BRAC) planning and disposal schedules. For a park and recreation transfer, the National Park Service must request assignment of property from GSA within 30 calendar days after the expiration of the 30 day period, or otherwise comply with DOD Base Realignment and Closure (BRAC) planning and disposal schedules. HSPP: An eligible state or local government must submit a letter of interest to the disposal agency (GSA or DOD) within 20 calendar days after the date of the notice of determination of surplus. To request and move forward with an application, the eligible applicant must also contact the National Park Service during this time frame. Approval of the application and conveyance of the property follows the process described above.

Range of Approval/Disapproval Time:

FLP and HSPP: From 3 to 6 months (could be longer for HSPP depending on the circumstances).

Appeals:

Not Applicable.

Renewals:

None.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

NONE. See the following for information on how assistance is awarded/released: NONE.

Reports:

Program reports are not applicable. Cash reports are not applicable. FLP and HSPP: Recipients of surplus properties are required to submit periodic stewardship and compliance reports (ranging from 2-5 year intervals) on the use of the property. Recipients of surplus properties are required to submit periodic stewardship and compliance reports (ranging from 2-5 year intervals) on the use of the property. FLP: Ranges from 2-5 years intervals: HSPP: biennial reporting, which includes financial information for revenue-producing property. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. The National Park Service conducts periodic on-site compliance inspections and reviews periodic submitted stewardship reports to assure that the properties are being utilized for the purposes for which they are conveyed. Properties in noncompliance may be reverted back to the Federal Government.

Records:

The National Park Service maintains official records concerning the property.

Account Identification:

14-1042-0-1-303.

Obligations:

(Sale, Exchange, or Donation of Property and Goods) FY 12 \$584,000; FY 13 est \$584,000; and FY 14 est \$584,000 - FLP. (Sale, Exchange, or Donation of Property and Goods) FY 12 \$147,000; FY 13 est \$147,000; and FY 14 est \$147,000 - HSPPA.

Range and Average of Financial Assistance:

Range FLP: None. Value varies by the value of the available real property. HSPP: None

Average FLP: None. Value varies by the value of the available real property. HSPP: None.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: FLP: Fiscal Year 2012: Disposal of 717 acres (10 properties in 9 states);. Fiscal Year 2013: No Current Data Available. Fiscal Year 2014: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

FLP: "The Federal Lands to Parks Program," 2011 brochure (no charge), "Disposal of Surplus Real Property," booklet published by the General Services Administration (no charge). 40 U.S.C. 550(b), (e), and (h), Public Law 107-217. <http://www.nps.gov/flp>; HSPP: The Historic Surplus Property Program, 2009 brochure 40 U.S.C. 550(h) Public Law 107-217, <http://www.nps.gov/tps/historic-surplus.htm>

Regional or Local Office:

See Regional Agency Offices. Applicants should contact the National Park Service Regional Offices.

Headquarters Office:

FLP: Joel Lynch and Wendy Ormont or HSPP: Gary Sachau National Park Service, State and Local Assistance Division, Federal Lands to Parks Program, 1201 I Street N.W. Org Code 2225, Washington, District of Columbia 20005 Phone: (202) 354-6915

Website Address:

<http://www.nps.gov/flp>

RELATED PROGRAMS:

15.915 Technical Preservation Services; 15.916 Outdoor Recreation_Acquisition, Development and Planning; 39.002 Disposal of Federal Surplus Real Property

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Reportedly the townships largest public improvement project in its history, Collier Township, PA, acquired approximately 72 acres of a former missile facility for a multi-faceted community park to develop athletic fields, trails, a hockey rink, picnic areas and other park features. Fiscal Year 2013: Approximately 14 transfers of public land are in process. Fiscal Year 2014: No data available.

CRITERIA FOR SELECTING PROPOSALS:

Suitability of real property for the proposed use and the ability of the applicant unit of government to carry out the proposed program of use.

15.921 RIVERS, TRAILS AND CONSERVATION ASSISTANCE

Rivers and Trails; RTCA

FEDERAL AGENCY:

National Park Service, Department of the Interior

AUTHORIZATION:

National Park Service Organic Act, 16 U.S.C. 1 to 16 Wild and Scenic Rivers Act of 1968, 16 U.S.C. 1271-1287 as amended, Public Law 90-542; National Trails System Act, 16 U.S.C. 1241 as amended, Public Law 90-543; Outdoor Recreation Act of 1963, 16 U.S.C. 4601-3, Public Law 88-29; Federal Power Act, 16 U.S.C. 797-828c.

OBJECTIVES:

The Rivers, Trails and Conservation Assistance Program implements the natural resource conservation and outdoor recreation mission of the National Park Service in communities across America. RTCA will help create local, regional and state networks of parks, rivers, trails, greenways and open spaces by collaborating with community partners and National Park areas in every state.

TYPES OF ASSISTANCE:

ADVISORY SERVICES AND COUNSELING

USES AND USE RESTRICTIONS:

None. For more specific information contact the regional or headquarters office. For more specific information contact the regional or headquarters office.

Applicant Eligibility:

Private nonprofit organizations and Federal, State and local government agencies.

Beneficiary Eligibility:

Private nonprofit organizations and Federal, State and local government agencies.

Credentials/Documentation:

Not applicable. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Program advice and information is available from the appropriate NPS Regional Office or the National Office. Consultation with an RTCA staff before an application for assistance is made helps clarify RTCA roles and contributions and also helps identify how the selection criteria might apply to your conservation and outdoor recreation goals. An environmental impact statement is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Information is available electronically at <http://www.nps.gov/rtca> or you may contact the appropriate regional RTCA program leader.

Award Procedure:

Decisions are based upon adherence to program criteria and the level of available staff time.

Deadlines:

Aug 01, 2013 Proposals should be submitted by August 1 for the following fiscal year.

Range of Approval/Disapproval Time:

Generally about 4 months.

Appeals:

Not Applicable.

Renewals:

Assistance is for one year and may be renewed for a second year if warranted.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching Requirements: No fixed amount. Applications must demonstrate commitment by interested public agencies and non-profit organizations. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Generally 1 to 2 years. See the following for information on how assistance is awarded/released: Generally 1 to 2 years.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

None.

Account Identification:

14-1042-0-1-303.

Obligations:

(Advisory Services and Counseling) FY 12 \$2,472,639; FY 13 est \$3,531,352; and FY 14 est \$3,531,352

Range and Average of Financial Assistance:

Range \$3,000 to \$237,000
Average \$57,303.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: A total of 43 awards were made in FY12. Fiscal Year 2013: No Current Data Available. Fiscal Year 2014: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Project reports are available from NPS offices and the Web.

Regional or Local Office:

None. Applicants are requested to communicate with National Park Service Regional Offices. Refer to Catalog Appendix IV or the web site, <http://www.nps.gov/rtca>, for addresses.

Headquarters Office:

Charlie Stockman 1849 C Street, NW., (ORG CODE 2235), Washington, District of Columbia 20240 Phone: (202) 354-6900

Website Address:

<http://www.nps.gov/rtca>.

RELATED PROGRAMS:

15.916 Outdoor Recreation_Acquisition, Development and Planning; 15.918 Disposal of Federal Surplus Real Property for Parks, Recreation, and Historic Monuments

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: No Current Data Available. Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

(1) Initial project partners are identified and a lead project partner is designated. Note: The lead partner may be a state or local agency, tribe, non-profit organization, or citizens' group. Federal agencies, including the National Park Service, maybe the lead partner only in collaboration with a nonfederal partner. (2) Project has specific, partner- defined goals and anticipated measurable results that are: clearly stated; support the National Park Service mission and

RTCA mission and strategic plan goals; protect important natural resources or enhance outdoor recreation opportunities; and will have results that occur within an appropriate timeframe. (3) There is a commitment by lead project partners to significant public involvement and outreach in the project. (4) Roles and contributions of initial project partners, including RTCA, are identified. There is a commitment by initial project partners to cooperate and to provide or obtain the resources necessary to implement the project. (5) There is evidence of broad support for the project. Preference is given to a project that also: (a) provides physical connections among resources; (b) includes an NPS area as an actively involved project partner; (c) includes both natural resource conservation and outdoor recreation; (d) partners with a health organization.

15.922 NATIVE AMERICAN GRAVES PROTECTION AND REPATRIATION ACT

(NAGPRA)

FEDERAL AGENCY:

National Park Service, Department of the Interior

AUTHORIZATION:

Native American Graves Protection and Repatriation Act of 1990 (NAGPRA).

OBJECTIVES:

To provide grants to museums to assist in the consultation on and documentation of Native American human remains and cultural items; to provide grants to Indian tribes and Native Hawaiian organizations, as defined in the Act, to assist in identifying human remains and cultural items and to provide grants to museums, Indian tribes and Native Hawaiian organizations to assist in the repatriation of human remains and cultural items.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Project grants may support the following: Consultation/Documentation grants for museums may be used to: (a) bring lineal descendants, traditional religious leaders, and authorized representatives of Indian tribes and Native Hawaiian organizations to inspect collections and consult regarding the identification, cultural affiliation, proper care, treatment, and repatriation of Native American cultural items; (b) bring traditional religious leaders, and authorized representatives of Indian tribes and Native Hawaiian organizations to inspect collections and consult regarding culturally unidentifiable Native American human remains; (c) provide NAGPRA training for staff members; (d) fund travel by staff members to consult with lineal descendants, traditional religious leaders, and Indian tribe and Native Hawaiian organization officials regarding the proper care, treatment, and disposition of Native American cultural items; and (e) update documentation of unassociated funerary objects, sacred objects, and objects of cultural patrimony in consultation with lineal descendants, traditional religious leaders, and authorized representatives of Indian tribes and Native Hawaiian organizations. Consultation/Documentation grants for Indian tribes and Native Hawaiian organizations may be used to: (a) assess and evaluate summaries, inventories, and other documentation provided by museums and Federal agencies; (b) provide NAGPRA training for staff members; (c) communicate with museum and Federal agency officials regarding culturally affiliated human remains and/or cultural items of interest to the Indian tribe or Native Hawaiian organization; (d) travel to inspect collections and consult with museum and Federal agency officials regarding the identification, cultural affiliation, proper care, treatment, and repatriation of Native American human remains and other cultural items; (e) document the cultural affiliation of Native American human remains and other cultural items currently in the control of museums and Federal agencies. Repatriation grants for museums, Indian tribes and Native Hawaiian organizations may be used for: (a) travel by authorized representatives of Indian tribes Native Hawaiian organizations, or museums to accept and/or accompany human remains or other cultural items where control has transferred; (b) transportation of Native American human remains or other cultural items where control has transferred; and (c) construction of appropriate containers for the transport and disposition of subject Native American human remains and other cultural items. Grant funds may not be used for: activities related to the documentation or repatriation of human remains and cultural items from the Smithsonian Institution; activities related to the inadvertent discovery and/or intentional

excavation of Native American human remains and other cultural items on Federal or tribal lands pursuant to NAGPRA Section 3 and 43 CFR 10 Subpart B; museum or tribal litigation costs, including expert testimony; care and curation of repatriated Native American human remains and cultural items; construction or renovation of facilities; or purchase of land or buildings; costs associated with the transport of cultural items for which control is not transferred to a lineal descendant, Indian tribe, or Native Hawaiian organization; overhead or indirect cost rates above 25 percent; projects proposed by applicants who have previously received a NAGPRA grant, but whose grant expired without successfully completing major elements of the proposed work, or without meeting the conditions of the grant award. For further information, please contact the regional office. For further information, please contact the regional office.

Applicant Eligibility:

Eligible applicants for museum documentation awards include any institution or State or local government agency (including any institution of higher learning) that has possession of, or control over, of Native American human remains or other cultural items and which has completed the following NAGPRA obligations: provided a written summary of their Native American collections to the National Park Service and appropriate lineal descendants, Indian tribes, and Native Hawaiian organizations; and submitted an inventory of Native American human remains and associated funerary objects in their collections to the National Park Service and culturally affiliated Indian tribes and Native Hawaiian organizations. Eligible applicants for tribal documentation and repatriation awards are Indian tribes and Native Hawaiian organizations that meet the criteria outlined in the Native American Graves Protection and Repatriation Act. An Indian tribe is defined in NAGPRA as any tribe, band, nation, or other organized group or community of Indians including any Alaska Native village (as defined in, or established pursuant to, the Alaska Native Claims Settlement act) [43 U.S.C. 1601 et seq.], which is recognized as eligible for the special programs and services provided by the United States to Indians because of their status as Indians. Native Hawaiian organizations include any organization that: serves and represents the interests of Native Hawaiians; has as a primary and stated purpose the provision of services to Native Hawaiians; and has expertise in Native Hawaiian Affairs. NAGPRA states that such Native Hawaiian organizations shall include the Office of Hawaiian Affairs and Hui Malama I Na Kapuna `O Hawai`i Nei. Applications for repatriation awards will be considered only after publication of the required Notice of Inventory Completion or Notice of Intent to Repatriate in the Federal Register. Funding is not available for activities that occur prior to the submissions of the application.

Beneficiary Eligibility:

Eligible beneficiaries for museum documentation awards include any institution or State or local government agency (including any institution of higher learning) that has possession of, or control over, Native American human remains or other cultural items and which has completed the following NAGPRA obligations: provided a written summary of their Native American collections to the National Park Service and appropriate lineal descendants, Indian tribes, and Native Hawaiian organizations; and submitted an inventory of Native American human remains and associated funerary objects in their collections to the National Park Service and culturally affiliated Indian tribes and Native Hawaiian organizations. Eligible beneficiaries for tribal documentation and repatriation awards are Indian tribes or Native Hawaiian organizations that meet the criteria outlined in the Native American Graves Protection and Repatriation Act. An Indian tribe is defined in the statute as any tribe, band, nation, or other organized group or community of Indians, including any Alaska Native village (as defined in, or established pursuant to, the Alaska Native Claims Settlement act) [43 U.S.C. 1601 et seq.], which is recognized as eligible for the special programs and services provided by the United States to Indians because of their status as Indians. Native Hawaiian organizations include any organization that: serves and represents the interests of Native Hawaiians; has as a primary and stated purpose the provision of services to Native Hawaiians; and has expertise in Native Hawaiian Affairs. NAGPRA states that such Native Hawaiian organizations shall include the Office of Hawaiian Affairs and Hui Malama I Na Kapuna `O Hawai`i Nei. Applications for repatriation awards will be considered only after publication of the required Notice of Inventory Completion or Notice of Intent to Repatriate in the Federal Register. Funding is not available for activities that occur prior to the submissions of the application.

Credentials/Documentation:

Office of Management and Budget (OMB) Circular A-87 "Cost Principles for State and Local Governments" applies for Indian tribes and State institutions. OMB Circular A-122 applies for non-profit institutions. OMB Circular A-21 applies for universities. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Museums, federally recognized Indian tribes, and Native Hawaiian organizations may apply for annual grants in the form of planned activities and projects. Provisions of 43 CFR Part 12 apply. Applicants may contact the National Park Service National NAGPRA program by telephone at (202) 354-2203, by E-mail: NAGPRA_Grants@nps.gov, or on the Web at <http://www.cr.nps.gov/nagpra/grants> OR <http://grants.gov>.

Award Procedure:

An annual appropriation is allocated by the Secretary of the Interior.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants are awarded for up to 18 months. Payments are made upon request for costs incurred and for repatriation grants after documentation of transfer of control of the human remains and/or cultural items from the museum or Federal agency to the recipient Indian tribe or Native Hawaiian organization. See the following for information on how assistance is awarded/released: Grants are awarded for up to 18 months.

Reports:

Not Applicable.

Audits:

No audits are required for this program.

Records:

Full fiscal and project records to be maintained by grantees in accordance with OMB Circular Nos. A-87 and A-102 (for Indian tribes and State and local governments) or OMB Circular Nos. A-110 (for nonprofit institutions) and A-122 or OMB Circular Nos. A-21 and A-110 (for all other grantees).

Account Identification:

14-1042-0-1-303.

Obligations:

(Project Grants (Discretionary)) FY 12 \$25,000; FY 13 est \$30,000; and FY 14 est \$30,000

Range and Average of Financial Assistance:

Range: \$25,000 to \$25,000

Average: \$25,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: A total of 1 award was made in FY12. Fiscal Year 2013: No Current Data Available. Fiscal Year 2014: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Guidelines and proposal materials are available upon request from the National Park Service, National NAGPRA Program, 1201 Eye Street, NW (2253), Washington, DC 20005. Requests may also be directed to the National NAGPRA Program at (202) 354-2203, or via E-mail: NAGPRA_Grants@nps.gov.

Regional or Local Office:

None.

Headquarters Office:

Sherry Hutt 1201 Eye Street, NW. (2253), Washington, District of Columbia 20005 Email: Sherry_Hutt@nps.gov Phone: 202- 354-2203

Website Address:

<http://www.cr.nps.gov/nagpra/grants>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Grant proposals will be evaluated according to four criteria: Criterion A - Are the objectives of the project consistent with the goals of Native American Graves Protection and Repatriation Act? (Projects that are inconsistent with NAGPRA's goals will not be considered for funding). Are the project objectives clearly described? Special consideration will be given to collaborative proposals, especially proposals that focus on sharing and disseminating information among multiple museums and/or Indian tribes, or outline a plan to work with specific Indian tribes and/or museums. Criterion B - Is the project designed to accomplish the project objectives efficiently? Are the activities clearly described in detail, with an explicitly stated link between the activities and the stated objectives? Are activities organized logically? Are project methods and techniques described clearly? Are the roles and responsibilities of all participants defined clearly and described? Applicants with previously funded NAGPRA grants will have to include a description of the progress achieved and describe how the new project fits with the previously funded one. Criterion C - Is the budget reasonable to accomplish project tasks and activities? Are budget items necessary to accomplish project activities? Is the budget justification sufficient to explain project costs in detail? Criterion D - Are project personnel qualified to accomplish project objectives? Are project personnel are qualified for the activities and tasks assigned? Are unfilled positions and consultancies clearly described? Are search criteria defined for all unfilled positions and consultancies?.

15.923 NATIONAL CENTER FOR PRESERVATION TECHNOLOGY AND TRAINING**FEDERAL AGENCY:**

National Park Service, Department of the Interior

AUTHORIZATION:

National Historic Preservation Act Amendments of 1992, Public Law 102-575, Section 405, 16 U.S.C. 470x.

OBJECTIVES:

To develop and distribute preservation technologies and conservation skills for the identification, evaluation, conservation, and interpretation of prehistoric and historic resources; to develop and facilitate training for Federal, State and local resource preservation professionals, cultural resource managers, maintenance personnel, and others working in the preservation field; to apply preservation technology benefits from ongoing research by other agencies and institutions; to facilitate the transfer of preservation technology among Federal agencies, State and local governments, universities, international organizations, the private sector; and to cooperate with related international organizations for the benefit of cultural resource conservation in the United States and worldwide.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants); Project Grants

USES AND USE RESTRICTIONS:

Support work in archeology, historic architecture, historic landscapes, objects

and materials conservation through cooperative agreements and the Preservation Technology and Training Grants program. The PTT Grants program funds innovative projects that advance the application of science and technology to historic preservation. Projects that develop new technologies or adapt existing technologies to preserve cultural resources are considered for funding. Projects may include, but are not limited to: laboratory or field research that explores or assesses novel or adaptive methods; training activities, including workshops, and course or curriculum development that promote the use of new adaptive technologies; documentation using new methods; manuscript, website or social media development that disseminates innovative preservation technologies; and meetings that convene experts to discuss the use of technologies to address preservation problems. NCPTT does not fund "bricks and mortar" projects or straight-forward documentation projects using well-established methods. Ineligible projects include those whose principal focus is the treatment of a specific site, structure, object or collection; proposals exclusively for undergraduate internships or graduate fellowships; projects that are underway prior to the grant award date; projects that duplicate proposals previously submitted; or proposals for conferences for which equivalent results can be obtained at regular meetings of professional societies. Detailed use and use restrictions are specified in the applicable Request for Proposals. Contact the headquarters office for more specific information. Contact the headquarters office for more specific information.

Applicant Eligibility:

Eligible applicants include: U.S. universities and two and four year colleges; U.S. private nonprofit institution/organizations and quasi-public nonprofit institution/organizations that are directly associated with educational or research activity; Federal, State, local government agencies, Federally recognized Indian Tribal governments and their Tribal Historic Preservation Offices; For-profit organizations and private individuals may submit proposals only in partnership with an eligible U.S. organization.

Beneficiary Eligibility:

Individuals and organizations working to advance the use of science and technology in preservation including the fields of archeology, historic architecture, historic landscapes, objects and materials conservation.

Credentials/Documentation:

Grants and cooperative agreements are awarded to eligible organizations who demonstrate institutional capability and commitment to the Center's mission to preserve historic and prehistoric resources; and who evidence the capacity to perform the proposed work successfully. Proof of nonprofit status is required of nonprofit organizations and institutions. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. A Call for Proposals is published annually that includes guidelines for preparing proposals within each project type. The information is available upon request via mail, e-mail (ncptt@ncptt.nps.gov), or our website <http://www.ncptt.nps.gov>. Only materials described under proposal requirements for each project type within the annual Call for Proposals will be considered. Standard application forms, as furnished by the National Park Service must be completed.

Award Procedure:

Applications are evaluated by NCPTT staff, peer reviewers, and a designated National Park Service grants administrator according to selection criteria stated in the Guidelines for Preparing PTT Grants Proposals. Reviews determine the feasibility of the proposed project, and consistency with National Park Service financial and policy requirements concerning the project's scope and purpose. Final award is by the Director of the National Park Service.

Deadlines:

Sept 01, 2013 to Oct 15, 2013: Grant applications are accepted between September 1 and October 15. Grant applications are accepted between September 1 and October 15.

Range of Approval/Disapproval Time:

From 90 to 180 days.

Appeals:

Applicants may reapply if a revised application is submitted in the subsequent competition.

Renewals:

Renewal may be requested through a new, competing application. Extensions of time may be requested subject to acceptable work progress and reasons for the delay.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: PTT Grants must be matched dollar for dollar.

Eligible match may be in-kind, federal or nonfederal cash.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The Treasury Check method of payment is used for advances or reimbursement.

A SF 270, Request for Advance or Reimbursement, must be submitted for each payment requested. Requests for reimbursement or advanced funds may be requested monthly in amounts greater than \$500. Expenses charged to the grant or cooperative agreement may not be incurred prior to the beginning date specified in the agreement or subsequent to the grant end date, and incurred only to carry out the approved objectives, scope of work, and budget. See the following for information on how assistance is awarded/released: Award amounts are subject to availability of funds. In accordance with Title IV, Public Law 102-575, Section 405(b): (1) Grants shall be allocated in such a fashion to reflect the diversity of the historic preservation fields and shall be geographically distributed. (2) No recipient may receive more than 10 percent of the awards allocated within any year. (3) Total administrative costs, direct and indirect, charged for carrying out these grants may not exceed 25 percent of aggregate costs. Matching share is not required by law, but is an administrative requirement imposed by NPS.

Reports:

Program reports are not applicable. Cash reports are not applicable. An interim progress report and a final report are required. If an advance payment is requested, an SF 272, Federal Cash Transactions Report, must be submitted demonstrating that advanced funds have been promptly expended. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

For programs under OMB Circular No. A-133, financial records, supporting documents, statistical records, and all other records pertinent to an award shall be retained for 3 years from the date of submission of the final expenditure report. If any audit is started before the 3-year period ends, the records shall be retained until all issues have been resolved and final action taken. For programs under the purview of 43 CFR Part 12, Subpart C, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments," records must be retained at least 3 years; and must be retained beyond 3 years if audit findings are not resolved.

Account Identification:

14-1042-0-1-303.

Obligations:

(Cooperative Agreements) FY 12 \$235,550; FY 13 est \$235,000; and FY 14 est \$235,000

Range and Average of Financial Assistance:

Range \$12,500 to \$25,000
Average \$18,119

(Grants are awarded competitively with a maximum award of \$25,000 (including indirect costs). All grants require a one-on-one match of cash or in-kind services. Source of the match may be federal or non-federal resources. Grants are funded by annual federal appropriation and are subject to availability of funds.)

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: A total of 13 awards were made in FY12. Fiscal Year 2013: No current data available. Fiscal Year 2014: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Application requirements for PTT Grants Proposals are available online at www.ncptt.nps.gov. The "Common Rule" adopting relevant OMB Circulars governing grants and cooperative agreements implemented by the Department of the Interior is published at 43 CFR Part 12.

Regional or Local Office:

See Regional Agency Offices. Direct inquiries to PTTGrants, NCPTT, 645 University Parkway, Natchitoches, LA 71457. Telephone: (318) 356-7444; Fax: (318) 356-9119; E-mail: ncptt@ncptt.nps.gov. Mary Striegel, Chief, Materials Research.

Headquarters Office:

Hampton Tucker Heritage Preservation Services Program, National Park Service, 1201 Eye St., NW, (6th Floor), Washington, District of Columbia 20005 Phone: (202) 354-2067 Fax: (318) 356-9119.

Website Address:

<http://www.ncptt.nps.gov/>

RELATED PROGRAMS:

15.904 Historic Preservation Fund Grants-In-Aid; 15.914 National Register of Historic Places; 15.915 Technical Preservation Services

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: No Current Data Available. Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The successful proposed project should thoroughly: address an identifiable national need in preservation technology, present innovative technologies, demonstrate a technically sound methodology, have a principal investigator well qualified to conduct the proposed work, disseminate project results effectively, be cost effective given the scope of work and the audience, provide a one-to-one match of funding with cash or in-kind services, and result in tangible grant products that disseminate information beyond traditional ways (e.g. online web based training, webinars, podcasts, videos, DVDs, electronic publishing, etc). NCPTT reviews proposals for disciplinary, geographical and institutional distribution; and for financial and policy matters. Special consideration will be given to proposals that leverage resources through public and private partnerships.

15.926 AMERICAN BATTLEFIELD PROTECTION

ABPP Planning Grants

FEDERAL AGENCY:

National Park Service, Department of the Interior

AUTHORIZATION:

Consolidated Appropriations Act 2012, Public Law 112-74.

OBJECTIVES:

To promote the protection and preservation of battlefield lands on American soil by funding non- acquisition preservation methods such as planning, education, survey and inventory.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds may be used for projects resulting in the protection and preservation of battlefield lands and their associated sites on American soil. No funding is

given to projects dealing with acquisition of properties or land in fee or interest, payment of rent on properties or land, fund raising, lobbying, battle reenactments, new construction or reconstruction of historic resources, permanent staff positions, object or material culture curation or other on-going activities, academic scholarships, capital construction or improvement projects (site excavation, trail or road construction, parking lots, visitor centers, scene restoration, etc.). Applications for projects associated with NPS-owned sites must focus on resources outside the NPS boundaries. For further information, please contact Regional Office. For further information, please contact Regional Office.

Applicant Eligibility:

Applicant may be Federal, intrastate, interstate, State and local agencies, public or private nonprofit institutions/organizations, federally recognized Indian tribal governments, U.S. territory and possessions, Native American organizations, State colleges and universities, public and private colleges and universities. Multi-organizational applications are encouraged.

Beneficiary Eligibility:

Federal, intrastate, interstate, State and local agencies, public and private nonprofit institutions/organizations, Federally recognized Indian tribal governments, U.S. territories and possessions, Native American organizations, State college or universities, public and private colleges and universities.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Informal pre-application coordination with ABPP staff is highly recommended. Coordination with appropriate State Historic Preservation Office/s is recommended. Projects with access to private property require land owners written permission. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. A complete ABPP Battlefield Grant Application package consists of the following: One (1) Standard Form (SF) 424; One (1) ABPP Battlefield Grant application form (current year available on the web site); One (1) Grant application checklist signed and dated (also on web site); Letters of support from: Project Area Land Owner(s); Involved and interested Partners, SHPOs, and THPOs; Matching Share Donors confirming their contribution(s) (if applicable); Tribes indicating their level of support for the project (if applicable); One (1) map - "8 1/2 x 11" copy of USGS 1:24,000 scale, 7.5 min topographic map showing location of battlefield land boundaries, proposed project area, and, if applicable, associated sites. Up to four (4) "5 x 7" photographs/graphics of project site.

Award Procedure:

Reviewers evaluated the applications using the following criteria: demonstrated threat to the battlefield; reasonable and justified budget; preservation need and appropriateness of the project; well-planned objectives, tasks, and schedule; qualifications of applicant and partner organizations.

Deadlines:

Jan 15, 2013 Annual applications are due in mid-January.

Range of Approval/Disapproval Time:

From 120 to 180 days. Applications are reviewed and approved or disapproved by the National Park Service by the end of the Federal fiscal year.

Appeals:

None.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grant agreements are established for a set period of time, usually one year.

Some projects that depend on seasonal access may require more time, but only with prior ABPP approval. Multi-year projects that can be completed in one-year phases are eligible for funding. However, applicants may only request funding for one year, or one phase, at a time. If the ABPP funds the first phase of a multiyear project, it is not obligated to fund the later phases. Additional funding for a multi-phase or continuing project must be requested in subsequent grant cycles. Funds are available to grantees on a reimbursement basis. See the following for information on how assistance is awarded/released: Funds are available to grantees on a reimbursement basis.

Reports:

Grantees are required to report both financial and progress reports on a quarterly basis. Products of the grant will be reviewed in draft and final format. Final performance reports are expected at the close of the grant. Cash reports are not applicable. Grantees are required to report both financial and progress reports on a quarterly basis. Products of the grant will be reviewed in draft and final format. Final performance reports are expected at the close of the grant. Expenditure reports are not applicable. Final performance reports are expected at the close of the grant.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. .

Records:

The grantee agrees to maintain property, financial, and other records and accounts pertinent to the funds awarded by this grant in accordance with OMB Circulars A-122, A-110 and A-133. The grantee and its contractors will permit on-site inspections by NPS representatives, and will effectively require employees, agents, and subcontractors to furnish such information as, in the judgment of NPS representatives, may be relevant to a question of compliance with grant conditions and directives on the effectiveness, legality, and achievements of the grant-supported activity. The grantees regular Single Audit(s), required by OMB Circular A-133, must contain this grant award.

Account Identification:

14-1042-0-1-303.

Obligations:

(Project Grants) FY 12 \$1,200,000; FY 13 est \$1,300,000; and FY 14 est \$1,100,000

Range and Average of Financial Assistance:

Range \$5,000 to Unspecified Amount
Average \$80,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: A total of 27 grants went to 17 states in FY12. Fiscal Year 2013: No Current Data Available. Fiscal Year 2014: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

A more complete description of grant requirements can be found in the ABPP 2012 application guidelines. The 2012 guidelines and application form are available online at <http://www.nps.gov/history/hps/abpp/>

Regional or Local Office:

None. None.

Headquarters Office:

Kristen McMasters 1201 Eye Street, NW (2255) 6th Floor, Washington, District of Columbia 20005 Phone: (202) 354-2037.

Website Address:

<http://www.nps.gov/history/hps/abpp/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: The LAMAR Institute Inc. Georgia (\$68,527)

During the American Revolution, Georgia was the scene of intense fighting between Loyalist and Patriot forces. One such fight was the siege of Carrs Fort, which began Feb. 11, 1779. This project will locate Carrs Fort using archaeological practices and will delineate the battlefield boundaries in order to better preserve the landscape. Fiscal Year 2013: No Current Data Available. Fiscal Year 2014: No Current Data Available.

CRITERIA FOR SELECTING PROPOSALS:

Applications received are scored on the following criteria: Site significance; Level of Threat; Preservation Opportunity; Well-Planned Objectives, Tasks, and Schedules; Qualifications and Coordination of Partner Organization(s); Realistic Budget and Matching Resources.

15.927 HYDROPOWER RECREATION ASSISTANCE

Rivers and Trails, Hydropower Licensing

FEDERAL AGENCY:

National Park Service, Department of the Interior

AUTHORIZATION:

Federal Power Act, 16 U.S.C. 797-828c; Wild and Scenic Rivers Act of 1968, 16 U.S.C. 1271-1287 as amended. Public Law 90-542; Outdoor Recreation Act of 1963, 16 U.S.C., 4601-3, Public Law 88-29.

OBJECTIVES:

To serve as a national technical resource to support government, industry, and nonprofit partnerships in ongoing consultations and negotiations about applications for hydropower licensing; to meet present and future outdoor recreation and river conservation needs; to maintain and enhance a project's riparian areas.

TYPES OF ASSISTANCE:

ADVISORY SERVICES AND COUNSELING

USES AND USE RESTRICTIONS:

Staff assistance is available to stakeholders in FERC hydropower licensing proceedings to assist with recreation issues and river conservation. Assistance is applicable to hydropower projects both within and outside units of the National Park System.

Applicant Eligibility:

Private nonprofit organizations, Federal, State, and Local government agencies and hydropower licensing applicants.

Beneficiary Eligibility:

Private nonprofit organizations, Federal, State, and Local government agencies and hydropower licensing applicants, as well as the general public.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Program advice is and information is available from the appropriate NPS Regional Office. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Contact the program leader for Rivers, Trails and Conservation Assistance in the appropriate NPS Regional Office.

Award Procedure:

Decisions are based upon adherence to program criteria and the availability of staff.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Length of licensing process. See the following for information on how assistance is awarded/released: For more specific information contact the headquarters office.

Reports:

Not Applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

None.

Account Identification:

14-1042-0-1-303.

Obligations:

(Advisory Services and Counseling) FY 12 \$862,000; FY 13 est \$869,000; and FY 14 est \$869,000

Range and Average of Financial Assistance:

Range Information not available.
Average Information not available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: No Current Data Available. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Information is available on the Web. Assistance complies with Federal Energy Regulatory Commission requirements for licensing nonfederal hydropower projects; 18 CFR Parts 4, 5, and 16. Policy and Guidelines for Recreational Technical Assistance in Hydropower Licenses, 57 FR 61915, December 29, 1992.

Regional or Local Office:

See Regional Agency Offices. Applicants are requested to communicate with National Park Service Regional Offices. See Appendix IV in the Catalog or www.nps.gov/hydro.

Headquarters Office:

Joan Harn 1849 C. Street, NW, Washington, District of Columbia 20240 Email: joan_harn@nps.gov Phone: (202) 354-6900

Website Address:

www.nps.gov/hydro.

RELATED PROGRAMS:

15.916 Outdoor Recreation_Acquisition, Development and Planning; 15.921 Rivers, Trails and Conservation Assistance

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available. Fiscal Year 2013: No Current Data Available. Fiscal Year 2014: No Current Data Available.

CRITERIA FOR SELECTING PROPOSALS:

Project should have clear opportunity to enhance recreation and lead to tangible conservation of significant natural and cultural resources.

15.928 CIVIL WAR BATTLEFIELD LAND ACQUISITION GRANTS

LWCF Battlefield Acquisition Grants

FEDERAL AGENCY:

National Park Service, Department of the Interior

AUTHORIZATION:

Consolidated Appropriations Act 2012, Public Law 112-74.

OBJECTIVES:

To assist States and local communities acquire and preserve threatened Civil War battlefields.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grants are available for the fee simple acquisition of land, or for the acquisition of permanent, protective interests in land, at Civil War Battlefields listed in the Civil War Sites Advisory Commission's (CWSAC) 1993 Report on the Nation's Civil War Battlefields. Funds may not be used to acquire land or interests in land within the legislative boundary of a National Park. Grantees must provide for the appropriate public access to and enjoyment of any lands or interests in lands acquired with assistance from this program, subject to necessary and reasonable measures on the part of the beneficiary to protect the historic features of the battlefield from damage or loss. Grantees may not charge costs for administering the project to the grant or to the required matching share.

Applicant Eligibility:

Eligible applicants are State and local governments. Private nonprofit organizations seeking to acquire battlefield land with assistance from this program must apply in partnership with the State or local government agency that has jurisdiction over the proposed acquisition parcel. The government agency may then subgrant the Federal funds to the nonprofit organization. In any case where a local government or a private non-profit organization acquires land or an interest in land with assistance from this program, it must convey an acceptable perpetual protective easement on the land to the State Historic Preservation Office or other governmental agency acceptable to the National Park Service.

Beneficiary Eligibility:

State and local governments benefit.

Credentials/Documentation:

Prior to the expenditure of grant funds for the purchase of real property, a current appraisal must be obtained. Appraisal preparation, documentation and reporting must be made in conformance with the standards and practices of the Uniform Appraisal Standards for Federal Land Acquisitions (UASFLA), as codified in 49 CFR 24.103, and the Uniform Standards of Professional Appraisal Practices (USPAP Standards 1 and 2) published by the Interagency Land Acquisition Conference. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Informal preapplication coordination with ABPP staff is highly recommended. Coordination with appropriate State Historic Preservation Office(s) is recommended. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Proposal packages must be submitted in hard copy. Faxed proposals and proposals submitted electronically will not be accepted. Incomplete proposals will not be considered. Proposals must contain all of the following: 1) a USGS quadrangle map showing the boundaries of the Civil War battlefield and identifying within those boundaries the specific lands to be acquired; 2) a statement defining the threat to the battlefield and the proposed acquisition parcel; 3) a statement from the property owner(s) indicating a willingness to sell or to negotiate for the sale of the property; and 4) a list of sources of the required nonfederal matching share and verification of the availability of the matching funds. Applicants will receive a Standard Form 424-Request for Federal Assistance, and a Certificate Regarding Debarment, Suspension and Other Responsibility Matters, Drug-Free Workplace Requirements and Lobbying, DI-2010. Forms must be

completed and returned to the National Park Service.

Award Procedure:

The Director of the National Park Service makes awards to approved applicants.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 90 to 120 days. 30 to 120 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: A dollar-for-dollar nonfederal match is required for all projects receiving funding through this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Battlefield land or interests in land are purchased immediately after receipt of funds, usually no later than one year after receipt of funds. Method of awarding/releasing assistance: lump sum.

Reports:

In all cases, grantees or subgrantees must encumber the title to the acquired battlefield property with easement, in favor of enforceable in court by the State Historic Preservation Officer, or by another government agency acceptable to the NPS, in perpetuity. Both the letters of agreement and easements must acknowledge Section 6(f)(3) restrictions and must be sent to the NPS for review and approval prior to their execution and recordation. The fundamental purpose of the Land and Water Conservation Fund is to help acquire and/or develop public outdoor recreation areas. Accordingly, grantees must provide for public access to lands or interests in lands acquired with assistance from this program, subject to necessary and reasonable measures on the part of the grantee to protect the historic features of the battlefield from damage or loss. Where the grantee will place an easement on the property, the grantee must include language in the easement that indicates the type and degree of public access to be made available to the property. At a minimum, lands purchased with LWCF funds must be visible from public rights-of-way. Cash reports are not applicable. Grantees are required to report progress semiannually. Products of the grant will be reviewed in draft and final format. Final performance reports are expected at the close of the grant. The SF 425 Federal Financial Report is required. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

The grantee must maintain the property, personnel, financial, procurement and other records and accounts pertinent to the funds awarded by this grant in accordance with 43 CFR 12. The grantee and its contractors will permit on-site inspections by NPS representatives, and will effectively require employees and board members to furnish such information as, in the judgment of NPS representatives, may be relevant to a question of compliance with grant conditions and directives on the effectiveness, legality and achievements of project work. Land acquired with these funds must be preserved in perpetuity. To that end, grantees must encumber the title to the acquired battlefield property with a preservation easement running with the land, in favor of and enforceable in court by the State Historic Preservation Office, or by another government agency acceptable to the National Park Service, in perpetuity.

Account Identification:

14-5035-0-2-303.

Obligations:

(Project Grants) FY 12 \$9,000,000; FY 13 est \$9,000,000; and FY 14 est \$8,500,000

Range and Average of Financial Assistance:

There is no established minimum and the annual funding allocation would be the maximum. In FY 11, the minimum was \$41,000 and the maximum was \$883,700. For 2011, the following amounts indicate the average grant: Perryville Battlefield, KY \$129,764; Cedar Creek Battlefield, VA \$430,000; Bentonville, NC \$114,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: No Current Data Available. Fiscal Year 2013: No Current Data Available. Fiscal Year 2014: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

A more complete description of grant requirements can be found in the ABPP 2012 application guidelines. The 2012 guidelines and application form are available online at <http://www.cr.nps.gov/abpp>. The American Battlefield Protection Program Act of 2009 (16 USC 469k-1), authorizes this grant program. This legislation allows Land and Water Conservation Fund monies to be used to provide the Federal share of the cost of acquiring interests in eligible Civil War battlefield land. It requires that any interest in land acquired under this program shall be subject to section 6(f)(3) of the Land and Water Conservation Fund Act of 1965 (16 U.S.C. 4601-8(f)(3))." Section 6(f)(3) requires that any land acquired with these funds be preserved and not converted to other uses without the express written consent of the Secretary of the Interior.

Regional or Local Office:

None.

Headquarters Office:

Kristen McMasters 1201 Eye Street, NW (2255) 6th Floor, Washington, District of Columbia 20005 Phone: (202) 354-2037

Website Address:

<http://www.nps.gov/history/hps/abpp/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available. Fiscal Year 2013: No Current Data Available. Fiscal Year 2014: No Current Data Available.

CRITERIA FOR SELECTING PROPOSALS:

Reviewers evaluate applications according to the following considerations: significance of the battlefield land as defined in the CWSAC's Report; whether the proposed acquisition parcel lies within CWSAC-defined Core and/or Study areas and outside the legislative boundaries of any associated National Parks; availability of required matching funds; acquisition schedule; and threat to the battlefield land.

15.929 SAVE AMERICA'S TREASURES

FEDERAL AGENCY:

National Park Service, Department of the Interior

AUTHORIZATION:

Omnibus Public Land Management Act of 2009, Public Law 111-11; National Historic Preservation Act of 1966, as amended, Section 108; Public Law 108-108, 110 Stat. 4174; 16 USC 470.

OBJECTIVES:

To provide matching grants for preservation or conservation work on nationally significant intellectual and cultural artifacts and nationally significant historic structures and sites. Intellectual and cultural artifacts include artifacts, collections, documents, sculpture and works of art.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funds may be used for projects resulting in the protection and preservation of

nationally significant historic structures and sites, as well as nationally significant collections of intellectual and cultural artifacts, documents, sculpture and works of art. Projects to repair structures must comply with treatments defined in the "Secretary of the Interior's Standards for the Treatment of Historic Properties" (36 CFR 78). No funding is given to projects dealing with acquisition or reconstruction of properties or, collections, payment of rent on properties or land, fund raising, and lobbying. The Grantee must grant a preservation easement or preservation agreement for each grant-assisted property to the State Historic Preservation Officer (SHPO) for at least 50 years from the end date of the grant agreement.

Applicant Eligibility:

Federal (if part of the Interior and Related Agencies Appropriation), Intrastate, Interstate, State and Local agencies, Public or Private nonprofit institutions/organizations, State Colleges and Universities, Public and Private Colleges and Universities, and Federally Recognized Indian Tribes. Individuals are not eligible applicants. Properties receiving Save America's Treasures (SAT) grant assistance must be listed in the National Register of Historic Places for National significance.

Beneficiary Eligibility:

Any Federal, Intrastate, Interstate, State and local agencies, Public or Private nonprofit institution/organization, State Colleges or University, Public and Private College or University, or Federally recognized Indian tribes.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applicants should visit the Save America's Treasures Program website, at <http://www.nps.gov/history/hps/hpg/> to obtain an application for funding. Forms must be completed and submitted to Grants.gov by the date listed in the Application Guidelines. The Application Guidelines can be found at <http://www.nps.gov/history/hps/hpg/>. The Program is included in Grants.gov FIND and APPLY. If you don't have internet access, the request for an application may be sent to: Grants Manager, Save America's Treasures, National Park Service, 1849 C Street, NW, Mail Stop 2256, Washington, DC 20240.

Award Procedure:

Award amounts are based on the review panel recommendations. Each application is scored individually based on standardized criteria.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Applications are reviewed and approved or disapproved by the Save America's Treasures Program by the end of the Federal fiscal year.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching Requirements: Grants must be fully matched on a dollar-to-dollar basis. This program has MOE requirements, see funding agency for further details. Contact local or headquarters office for further details.

Length and Time Phasing of Assistance:

Project duration is generally for two years. All awarded funds must be expended within 90 days of the grant end date specified in the Grant agreement. See the following for information on how assistance is awarded/released:

Funds for the project may be requested as advances and/or reimbursements via SMARTLINK electronic payment system, with the final payment being a reimbursement. All conditions of the project must be complete at the time of requesting the final payment.

Reports:

Grantees are required to report progress reports on a semi-annual basis. Products of the grant will be reviewed in draft and final format. Final performance reports are expected at the close of the grant. Cash reports are not applicable. Progress reports are not applicable. Grantees are required to report financial reports on a semi-annual basis. Final financial reports are expected at the close of the grants. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records for grants awarded to State and Local Governments must be maintained in accordance with the provisions of 43 CFR 12, Subpart C, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments." Records for grants awarded to institutions of higher education and other nonprofit organizations must be maintained in accordance with the provisions of 43 CFR 12, Subpart F, "Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Nonprofit Organizations."

Account Identification:

14-5140-0-1-303.

Obligations:

(Cooperative Agreements) FY 12 \$0; FY 13 est \$0; and FY 14 est \$0

Range and Average of Financial Assistance:

Range \$\$25,000 - \$700,000
Average \$226,214.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: No Current Data Available. Fiscal Year 2013: No Current Data Available. Fiscal Year 2014: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulated by 43 CFR Part 12.

Regional or Local Office:

None.

Headquarters Office:

Save America's Treasures Program, National Park Service, 1849 C Street, NW, Washington, District of Columbia 20240 Phone: (202) 354-2020 Fax: (202) 371-1794.

Website Address:

<http://www.nps.gov/history/hps/hpg/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available. Fiscal Year 2013: No Current Data Available. Fiscal Year 2014: No Current Data Available.

CRITERIA FOR SELECTING PROPOSALS:

Applications received are scored on the following criteria: critical preservation need; well-planned objectives, tasks, and schedules; qualifications and coordination of partner organization(s); and realistic budget and matching resources.

15.930 CHESAPEAKE BAY GATEWAYS NETWORK

Chesapeake Bay Gateways

FEDERAL AGENCY:

National Park Service, Department of the Interior

AUTHORIZATION:

Chesapeake Bay Initiative Act of 1993, Public Law 105-312, Section 501-2.

OBJECTIVES:

To aid State and local governments, local communities, nonprofit organizations and the private sector in conserving, restoring and interpreting important historic, cultural, recreational and natural resources within the Chesapeake Bay watershed and increasing public access to the Bay.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Gateways awards assist projects at designated Gateways in the Chesapeake Bay Gateways and Watertrails Network that provide or improve access to the Chesapeake Bay, promote stewardship of the Bay, and provide interpretive or educational material or programs that tell the Gateway's story as it relates to the Bay. Only projects related to a currently designated Gateway are eligible for assistance. Gateways can be sites, water trails, land trails, byways, regional information centers or hubs and are designated through a separate nomination process. Information on the nomination process can be found at www.baygateways.net.

Applicant Eligibility:

Eligible applicants include: State and local governments and non-profit organizations, as long as the organization is connected with the designated Chesapeake Bay Gateways, and non-federal non-profit partners who support a federally managed Gateway. Only projects related to a currently designated Gateway are eligible for assistance. Gateways are designated through a separate nomination process.

Beneficiary Eligibility:

General Public.

Credentials/Documentation:

Financial Assistance applications may be submitted by either the managing organization for a designated Gateway or a partner organization designated to carry out the project at the Gateway. The applicant must either be a non-profit organization qualified as such under section 501(c) of the Internal Revenue Code or a state or local government. Those organizations, acting as a non-federal partner to a federally managed Gateway, may also submit an application supporting a project at a federally managed Gateway. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Applicants must comply with all applicable federal, state, and local environmental laws and regulations. Applicants are required to complete an Environmental Screening Form (ESF) for their proposed project. Applicants are required to document local support for the project. Applicants are also required to consult with affected agencies (including the State Historic Preservation Office (SHPO), state agencies in charge of endangered species, and local planning and zoning officials) in developing their projects as required under the National Environmental Policy Act of 1969 (NEPA) and the National Historic Preservation Act of 1967 (NHPA) as amended. Applicants are required to obtain any Federal, state or local permits that may be necessary. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. The grant opportunity will be posted on www.grants.gov. Additional information can be found at the Chesapeake Bay Gateways and Watertrails Network web site, www.baygateways.net. Typically, by the first of each year, the Chesapeake Bay Gateway and Watertrails Network web site, www.baygateways.net, displays information about the application

process. Any information about activities that may receive priority attention will also be posted.

Award Procedure:

The application request is reviewed by National Park Service (NPS) staff. Staff discusses the proposals and recommend to the Superintendent, NPS Chesapeake Bay Program Office, proposals to be funded. The Superintendent makes the final decision on funding. Applicants selected for funding are then contacted regarding next steps.

Deadlines:

Mar 07, 2013: March or early April. For public information, deadlines are displayed at www.grants.gov and at the Gateways website, www.baygateways.net. The application deadline is typically set for March or early April.

Range of Approval/Disapproval Time:

It typically takes an estimated 45 to 60 days after the application deadline for selection and award or disapproval.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching Requirements: Percent: 50%. Per Public Law 105-312, Section 501 requested funds shall not exceed 50 percent (50%) of eligible project costs and shall be made on the condition that non-federal sources, including in-kind contributions of services or materials, provide the remainder of eligible project costs. This means that Federal funds must be matched by an equal or greater amount of non-federal funds which may include in-kind contributions of services or materials including volunteer labor, cash or revenue sources dedicated to the same project. This matching requirements is for the period of performance of the award only. Applicants should read the financial assistance program guidelines for detail on the various kinds and qualifications of matching funds. In addition, no more than 10 percent (10%) of all eligible direct project costs can be used for administrative expenses. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The project period is set by the requirements of the project but is typically for a time period not to exceed 24 months. Assistance is provided on a reimbursement basis. See the following for information on how assistance is awarded/released: Assistance is provided on a reimbursement basis.

Reports:

All Gateway projects and activities are monitored for progress and compliance with the use of semi-annual and final narrative reports. Cash reports are not applicable. Progress reports are not applicable. An interim and final SF 425 Federal Financial Report is required. Recipients are also required to submit an SF 270 for reimbursement payments. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

The recipient must maintain a complete, detailed accounting system to report expenditures of funds and accomplishments achieved under the award. Records, accounts, and supporting documents must be retained for 3 years after submission for final request for reimbursement.

Account Identification:

14-1042-0-1-303.

Obligations:

(Cooperative Agreements) FY 12 \$832,852; FY 13 est \$500,000; and FY 14 est

\$500,000

Range and Average of Financial Assistance:

Range \$17,000 to \$115,000

Average \$69,404.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: A total of 12 award were made in FY12. Fiscal Year 2013:

No current data available. Fiscal Year 2014: No current data available.

REGULATIONS, GUIDELINES, AND LITERATURE:

43 CFR part 12, Subpart C and Subpart F and check www.baygateqays.net

Regional or Local Office:

See Regional Agency Offices. Peggy Wall, Administrative Officer, Chesapeake Bay Office, NPS, 410 Severn Avenue, Suite 314, Annapolis, Maryland 21403. (410) 260-2473, Fax: (410) 263-2135. E-mail: peggy_wall@nps.gov.

Headquarters Office:

Bob Campbell 410 Severn Avenue, Suite 314, Annapolis, Maryland 21403
Email: bob_campbell@nps.gov Phone: (410) 260-2478

Website Address:

www.baygateways.net.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Awards Made 12; Examples include \$70,000 for planning and design of 2 public access points on Cat Point Creek, VA; \$58,000 for developing public water access at Piscataway Park; and \$85,000 to improve dock and water access at Mount Harmon Plantation. Fiscal Year 2013: No Current Data Available. Fiscal Year 2014: No Current Data Available.

CRITERIA FOR SELECTING PROPOSALS:

Proposals are evaluated on the basis of: clarity and credibility of the projects scope and budget; clarity of project objectives and deliverables, and the plans for sustaining them over time; effectiveness in improving public access to the Chesapeake Bay and its tributaries; and adherence to the requirements of low impact development and universal accessibility. Consideration is also given to: advancing the development of designated CBN water trails, the Captain John Smith Chesapeake National Historic Trail (CAJO), and/or the Star-Spangled Banner National Historic Trail (STSP); creating access that addresses gaps in the accessibility and usability of a CBN water trail and/or a segment of the CAJO and/or STSP; readiness for construction, especially status of environmental and cultural consultation, compliance and permitting; leveraging of Federal funds; opportunity for citizen volunteers to be directly involved in project development and implementation; and building working relationships among Gateways partners through cooperative projects.

15.931 CONSERVATION ACTIVITIES BY YOUTH SERVICE ORGANIZATIONS

FEDERAL AGENCY:

National Park Service, Department of the Interior

AUTHORIZATION:

Omnibus Consolidated Appropriations Act of 1997, Public Law 104-208, 110 Stat. 3009 (181,189), 16 U.S.C 1g; Challenge Cost Share Agreement Authority, Title 43, Part 12, Public Law 107-273, 110 Stat. 4199; American Recovery and Reinvestment Act of 2009 (Section 702), Public Law 111-5; Public Lands Corps Healthy Forests Restoration Act of 2005, Public Law 109-154, 119 Stat. 2891, 2893, 16 U.S.C 1701-1706, 1723; Omnibus Parks and Public Lands Management Act of 1996, Public Law 104-333, 16 U.S.C 1f.

OBJECTIVES:

To utilize qualified youth or conservation corps to carry out appropriate conservation projects which the Secretary is authorized to carry out under other authority of law on public lands. Work cooperatively with the NPS on cultural and natural resource related conservation projects such as trail development and maintenance, historic, cultural, forest and timber management, minor construction work, archaeological conservation, and native plant habitat restoration and rehabilitation. Promote and stimulate public purposes such as education, job training, development of responsible citizenship, productive

community involvement, and further the understanding and appreciation of natural and cultural resources through the involvement of youth and young adults in care and enhancement of public resources. Continue the longstanding efforts of the NPS to provide opportunities for public service, youth employment, minority youth development and training, and participation of young adults in accomplishing conservation-related work. For Recovery Act funded projects and in addition to the program objectives above, Section 3 of the Act states the following objectives: to preserve and create jobs and promote economic recovery; to assist those most impacted by the recession; to provide investments needed to increase economic efficiency by spurring technological advances in science and health; to invest in transportation, environmental protection, and other infrastructure that will provide long-term economic benefits; and to stabilize State and local government budgets, in order to minimize and avoid reductions in essential services and counterproductive state and local tax increases.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funding is to be used by organizations to support youth career training and development in the areas of resource management, conservation and cultural resources while working with the NPS to accomplish particular projects. The availability of discretionary funding is determined by various units of the NPS each fiscal year. Funding is to be used by organizations to support youth career training and development in the areas of resource management, conservation and cultural resources while working with the NPS to accomplish particular projects. The availability of discretionary funding is determined by various units of the NPS each fiscal year. For American Recovery and Reinvestment Act of 2009 (ARRA) funded projects the requirements will be followed and incorporated, as necessary, within the opportunity announcement and agreement documents. Funding is to be used by organizations to support youth career training and development in the areas of resource management, conservation and cultural resources while working with the NPS to accomplish particular projects. The availability of discretionary funding is determined by various units of the NPS each fiscal year. For American Recovery and Reinvestment Act of 2009 (ARRA) funded projects the requirements will be followed and incorporated, as necessary, within the opportunity announcement and agreement documents.

Applicant Eligibility:

Private nonprofit institutions and organizations, state and local government agencies and quasi-public nonprofit institutions and organizations that support youth career training and development in the areas of resource management, conservation and cultural resources.

Beneficiary Eligibility:

Private nonprofit institutions and organizations, state and local government agencies and quasi-public nonprofit institutions and organizations that support youth career training and development in the areas of resource management, conservation and cultural resources; Individuals/Families; Graduate Students; youth or corps located in a specific area that have a substantial portion of members who are economically physically, or educationally disadvantaged (Public Land Corps Act of 1993); General Public, specifically, young people, minority groups, social and economically disadvantaged individuals will benefit from the education and skill development in the area of conservation as well as instilling a conservation ethics.

Credentials/Documentation:

Documentation demonstrating that an organizations purpose is strongly related or solely for the purpose of youth/student education in a conservation oriented environment. Proof of past performance in the areas of resource management, conservation and cultural resources and assistance to youth. If applying as a nonprofit organization, proof of nonprofit status will be required. Additional information on awards made under Challenge Cost Share, is available at the NPS web site Challenge Cost Share Program Guidelines. Each organization submitting an application will be required to follow the Grants.gov registration requirements in advance of submitting an application. The procedures are provided at http://www.Grants.gov/applicants/get_registered.jsp. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Eligible applicants will be required to submit an Application, SF-424, and a written proposal through the <http://www.Grants.gov> website following the procedures outlined in the announcement, and will include a title, geographic area(s) of requested assistance, objectives, and a budget proposal to reflect a typical project.

Award Procedure:

Application packages will be posted for an Eligible Applicant's response utilizing the <http://www.grants.gov> website. Upon receipt of timely submissions, applications will be reviewed by the NPS and may be circulated for others to review. Notification of Awards will be provided to applicants by issuance of a Cooperative Agreement by the Awarding Official within the time specified on the announcement. Additional information on awards made under Challenge Cost Share, is available at the NPS web site Challenge Cost Share Program Guidelines.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Generally, 30 to 90 days.

Appeals:

Not Applicable.

Renewals:

Yes. Renewals are available at the end of each term and will be negotiated between the awardee and the National Park Service.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching Requirements: Projects completed under the Public Land Corp (PLC) Act require a 25 percent nonfederal match (cash and/or in-kind services) for all entities except where an award is provided to a State government and on Indian Lands as defined in the PLC Act. A 25 percent match (cash and/or in-kind services) is required when using the PLC authority and providing assistance to a State government entity. Challenge Cost Share projects are required to follow the National Park Service's Challenge Cost Share Program Guidelines.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Assistance is provided on a project basis. Most projects are two to three months in duration depending on complexity and other factors. Method of awarding/releasing assistance: lump sum.

Reports:

Program reports are not applicable. Cash reports are not applicable. Additionally all organizations will be required to submit a yearly narrative summary accomplishments reports to the National Park Service WASO Youth Programs Office at the end of each Fiscal Year. All projects and activities are monitored for progress and compliance with agreed upon scope of work. For ARRA funds, recipients and agencies must comply with the regulations promulgated in 2 CFR Part 176 - Award Terms For Assistance Agreements that Include Funds Under the American Recovery and Reinvestment Act of 2009, Public Law 111-5. These reports will be made publicly available. Specific projects or activities for which funds are advanced shall be tracked and reported by submittal of, Standard Form 270 Request for Advance or Reimbursement, and a quarterly submittal of Standard Form 425 Federal Financial Report as outlined in 2 CFR 215.52. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a

year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Recipients will maintain records in accordance with the provisions of 43 CFR Part 12, Subpart C, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments" and 43 CFR Part 12, Subpart F, "Uniform Administrative Requirements for Grants and Agreements With Institutions of Higher Education, Hospitals, and Other Nonprofit Organizations. The Cooperator must maintain a complete detailed accounting system to report expenditures of Cooperative Agreement funds and accomplishments achieved under the award. Records, accounts and supporting documents must be retained for 3 years after submission for final request for reimbursement. For ARRA funds, the provisions of 2 CFR, Part 176 apply.

Account Identification:

14-1042-0-1-303; 14-1035-1-1-303 - ARRA TAFS 14-1035 2009/2010.

Obligations:

(Cooperative Agreements) FY 12 \$14,441,193; FY 13 est \$12,500,000; and FY 14 est \$13,000,000 - FY 14(Projected) This amount includes a specific appropriation, and other fund sources identified at individual operating units of the NPS. NPSs largest provider of youth partnership programs was the Student Conservation Association (SCA).

Range and Average of Financial Assistance:

Range \$1,000 to \$1,025,081

Average \$23,830.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: A total of 606 awards were made in Fiscal Year 2012. Fiscal Year 2013: No Current Data Available. Fiscal Year 2014: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

NPS Youth Programs at <http://www.nps.gov/youthprograms/index.htm>.

Regional or Local Office:

See Regional Agency Offices. Alaska Region, John Morris, 2525 Gambell Street, Room 107, Anchorage, AK 99503; Telephone: (907) 644-3372. Northeast Region, Kelly Fellner, 14 Beacon Street, Room 503, Boston, MA 02108; Telephone: (617) 227-9963. Midwest Region, Phyllis Cremonini, 601 Riverfront Drive, Omaha, NE 68102; Telephone: (402) 661-1638; National Capital Region Wendy OSullivan, 110 Ohio Drive S.W., Washington, DC 20242; (202) 619-7492, Intermountain Region, Nathan Souder, 12795 West Alameda Parkway, Denver, CO 80225-0287; Telephone: (303) 969-2319, Southeast Region, Priscilla Nalls, Atlanta Federal Center, 100 Alabama Street S.W., Atlanta, GA 30303; Telephone: (404) 562-5633, Pacific West Region, Charles H. Thomas, 805-370-2329.

Headquarters Office:

George McDonald National Park Service, 1201 I Street, N.W., Washington, District of Columbia 20005 Email: george_mcdonald@nps.gov Phone: (202)513-7146 Fax: (202) 371-2263

Website Address:

<http://www.nps.gov/youthprograms/index.html>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available. Fiscal Year 2013: No Current Data Available. Fiscal Year 2014: No Current Data Available.

CRITERIA FOR SELECTING PROPOSALS:

(1) Qualifications of the organization or institution; (2) The Secretary may give preference to qualified youth or conservation corps located in a specific area that have a substantial portion of members who are economically, physically, or educationally disadvantaged to carry out projects within the area. The Secretary may give preference to qualified youth or conservation corps located in a specific geographic area that have a substantial portion of members who are economically, physically, or educationally disadvantaged to carry out projects within the area; (3) Past performance in the area of youth conservation corps activities; (4) Organizations ability to reach undeserved and disadvantaged

population groups; (5) Offering meaningful, full-time, productive work for individuals between the ages of 15 and 25 in a natural or cultural resource setting; (6) Giving participants a mix of work experience, basic and life skills, education, training, and support service; and (7) Providing participants with the opportunity to develop citizenship values and skills through service to their community and the United States.

15.932 PRESERVATION OF HISTORIC STRUCTURES ON THE CAMPUSES OF HISTORICALLY BLACK COLLEGES AND UNIVERSITIES (HBCUS).

HBCU Grants

FEDERAL AGENCY:

National Park Service, Department of the Interior

AUTHORIZATION:

Executive Order Executive Order 12232, White House Initiative on HBCU's; National Historic Preservation Act, U.S.C 101.3.e.b.

OBJECTIVES:

To provide assistance to restore/rehabilitate those historic structures on HBCU campuses considered to be the most historically significant and physically threatened-- the likelihood of loss of the structure if work was not performed as soon as possible to save it. Program was established in direct response to the needs of many historically black colleges and universities, which were presented with critical rehabilitation needs, but lacked the resources to repair these buildings.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Funds may be used for the preservation and restoration of properties listed in the National Register of Historic Places. All work must comply with the Secretary of Interiors Standards for Treatment of Historic Properties.

Applicant Eligibility:

Historically Black Colleges and Universities.

Beneficiary Eligibility:

Historically Black Colleges and Universities.

Credentials/Documentation:

National Register listing of properties for which grant assistance be being applied. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. The application should include (1) a completed SF-424 Application for Federal Assistance; (2) a concise history of the building and its significance; (3) high quality photographs that clearly depict the present condition of the building (interior and exterior), including damaged areas; photographs should include details of architectural character defining elements, including doors, windows, roof, porches, lobby, corridors, decorative elements, fireplaces, and any additional features addressed in the proposed preservation project; (4) a copy of the information in the National Register of Historic Places; (5) any available architectural analysis and/or assessment of the building; and (6) an Environmental Screening Form.

Award Procedure:

Applications and accompanying documentation are reviewed by the National Park Service (NPS) staff in the Southeast Regional Office (SERO) and Washington Office (WASO) for program eligibility. Their recommendations are approved by the Secretary of the Interior through an apportionment process. Grants are awarded by NPS SERO directly to HBCUs.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

30 to 90 days.

Appeals:

Not Applicable.

Renewals:

Extensions may be requested by grant recipients within interim progress reports.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The length of time is 2 to 3 years. Assistance is released on a reimbursable basis as costs are incurred. See the following for information on how assistance is awarded/released: No information provided. See the following for information on how assistance is awarded/released: Reimbursable basis as costs are incurred.

Reports:

Projects under the American Recovery and Reinvestment Act of 2009 will have additional reporting requirements as described in the award terms and conditions and in announcement packages at www.grants.gov. Cash reports are not applicable. Annual interim progress reports and final project report. Financial reports (SF 425). Performance will be monitored to ensure that reports satisfy requirements; moreover, interventions with the historic sites will be periodically inspected to ensure compliance with The Secretary of the Interiors Standards for the Treatment of Historic Properties.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Recipients will maintain records in accordance with the provisions of 43 CFR Part 12, Subpart C, Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments and 43 CFR Part 12, Subpart F, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and other Nonprofit Organizations..

Account Identification:

14-5140-0-2-303.

Obligations:

(Project Grants) FY 12 \$0; FY 13 est \$0; and FY 14 est \$0

Range and Average of Financial Assistance:

Range N/A
Average N/A.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

43 CFR Part 12; HPF Grants Manual http://www.nps.gov/history/hps/HPG/HPF/HPF_Manual.htm; The Secretary of the Interiors Standards for the Treatment of Historic Properties <http://www.nps.gov/history/hps/tps/standguide>, and National, State, and Local Codes.

Regional or Local Office:

See Regional Agency Offices. Linda Hall, NPS SERO, (404) 507-5779.

Headquarters Office:

Historic Preservation Grants Division, National Park Service, 1849 C Street, N.W., (Org. code 2256), Washington, District of Columbia 20240 Email: preservation_grants_info@nps.gov Phone: (202) 354-2020.

Website Address:

<http://www.nps.gov/history/hps/hpg/hbcu/index.htm>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

(1) Properties of major historical and architectural significance; (2) Properties retaining architectural integrity (the building has not been so altered as to have lost its historic appearance); (3) Properties demonstrating the most critical need for immediate intervention to correct structural and safety defects in order to preserve the building; (4) Applicants demonstrating the ability to complete the project successfully within the established timeline. The timeline shall indicate the start and completion dates for each activity (planning and design, general conditions, mobilization, site preparation, etc.) and the scope of work for the project. The timeline shall strictly adhere to the following guidelines: (a) The project must begin within six months after the grant agreement has been signed. If activities have not begun in accordance with the approved project timeline the grant will be suspended or terminated and the funds recaptured by the National Park Service; (b) 50 percent of the total project shall be completed within 18 months after the signing of the grant agreement (including planning, design, construction documents, and actual treatment of the historic property); (c) The project scope of work must be completed within 3 years of the start date of the grant agreement. Planning and design shall be completed within 1 year maximum, and the construction phase shall be completed within 2 years maximum. (5) The cost estimates for the project must be estimates for the expenses of eligible activities as defined by the Historic Preservation Fund (HPF) and appear reasonable and necessary for the proposed work. (6) The grant application must be received by NPS by the announced deadline. (7) The proposed plan for intervention with the historic structure should be a technically well planned proposal, and the proposed work should achieve a quality treatment of the historic structure. (8) Does the applicant demonstrate an ability to complete the project in a timely, cost-effective, and professional manner, ensuring the laws and standards are met? (9) Has adequate planning been completed for the project? (10) How much support and participation does the project have from former internees, stakeholders, or the general public?.

15.933 PRESERVATION OF JAPANESE AMERICAN CONFINEMENT SITES

Japanese American Confinement Sites Grant Program

FEDERAL AGENCY:

National Park Service, Department of the Interior

AUTHORIZATION:

Preservation of Japanese American Confinement Sites Act, Public Law 109-441, 120 Stat. 3288, 16 U.S.C 461 and Public Law 111-88.

OBJECTIVES:

To provide for the preservation and interpretation of historic confinement sites where Japanese Americans were detained during World War II. The program was established to encourage projects that identify, research, evaluate, interpret, protect, restore, repair, and acquire historic confinement sites in order that present and future generations may learn and gain inspiration from these sites and that these sites will demonstrate the Nation's commitment to equal justice under the law.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Japanese American Confinement Sites grant funds may be used for identifying, researching, evaluating, interpreting, protecting, restoring, repairing, and acquiring historic confinement sites where Japanese Americans were detained during World War II as authorized by the Preservation of Japanese American Confinement Sites Act of 2006. These historic confinement sites are defined as the ten War Relocation Authority internment camps (Gila River, Granada, Heart Mountain, Jerome, Manzanar, Minidoka, Poston, Rohwer, Topaz, and Tule Lake), as well as other historically significant locations, as determined by the Secretary of the Interior, where Japanese Americans were detained during

World War II. These sites are specifically identified in Confinement and Ethnicity: An Overview of World War II Japanese American Relocation Sites, published by the Department of the Interior, National Park Service, Western Archaeological and Conservation Center, in 1999.

Eligible project types include: capital projects, documentation, oral history interviews, interpretation and education related to historic confinement sites, preservation of confinement sites and related historic resources, planning projects, and non-Federal real property acquisition (allowed only with the owners written permission at only Heart Mountain, Honouliuli, Jerome, Rohwer, and Topaz, per stipulations of Public Laws 109-441 and 111-88), all benefitting one or more historic Japanese American confinement sites (as established by Public Law 109-441, 16 USC 461). For further information, please contact the Regional Office. For further information, Please contact the Regional Office.

Applicant Eligibility:

Applicant may be State and local agencies, public or private nonprofit institutions/organizations, Federally recognized Indian tribal governments, State colleges and universities, public and private colleges and universities.

Beneficiary Eligibility:

State and local agencies, public and private nonprofit institutions/organizations, Federally recognized Indian tribal governments, State colleges and universities, public and private colleges and universities.

Credentials/Documentation:

Applicant must submit proof of applicant's governmental, non-profit or institutional status; a letter from the owner giving consent to the grant applicant as the grantee of record to undertake work on the property or collection (if applicable); and a federally approved Indirect Cost Rate Agreement (if applicable).

Office of Management and Budget (OMB) Circular A-110 (relocated to 2 CFR, Part 215); Circular A-102; Circular A-122 (relocated to 2 CFR, Part 230); Circular A-21 (relocated to 2 CFR, Part 220); Circular A-87 (relocated to 2 CFR, Part 225); and Circular A-133 apply to this program. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Annual announcements will provide detailed information on proposal procedures and submission requirements. Required forms include the DI-2010 Certifications Regarding Debarment, Suspension and Other Responsibility Matters, Drug-Free Workplace Requirements and Lobbying; SF 424 Application for Federal Assistance; SF 424A Budget Information (Non-Construction Programs); SF 424B Assurances (Non-Construction Programs); SF 424C Budget Information (Construction); and SF 424D Assurances (Construction).

Award Procedure:

Eligible applications and accompanying documentation will be reviewed by the Japanese American Confinement Sites Grants Selection Panel, a panel of Federal agency experts representing applicable preservation, history, education, and conservation disciplines. The panel will provide recommendations to the Secretary of the Interior for final project selection. The panel's recommendations will be approved by the Secretary of Interior through an apportionment process. Grants will be awarded by NPS directly to selected grantees. The NPS and grant recipient will execute a grant agreement.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

60 to 120 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: Each grant requires a 2:1 Federal to non-Federal match; that is, to receive two dollars of Federal funds at least one dollar non-Federal match is required. The match may be composed of cash or in-kind contributions. The non-Federal match may be raised and spent during the grant period; it does not have to be "in the bank" at the time of application.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

1-2 years will be the typical duration of funded awards. See the following for information on how assistance is awarded/released: Assistance released on a reimbursable basis as costs are incurred.

Reports:

Program reports are not applicable. Cash reports are not applicable. Grantees are required to report both financial and progress reports on a semi-annual basis. Products of the grant will be reviewed in draft and final format. Final performance reports are expected at the close of the grant. SF 425 Federal Financial Report as specified in the award and SF 270 Request for Advance or Reimbursement must be submitted to the NPS Grant Awarding Official for approval of payment requests. Final financial reports must be submitted. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

The grantee agrees to maintain property, personnel, financial, and other records and accounts pertinent to funds awarded by this grant in accordance with OMB Circulars A-122, A-110 and A-133. The grantee and its contractors will permit on-site inspections by NPS representatives, and will effectively require employees, agents, and subcontractors to furnish such information as, in the judgment of NPS representatives, may be relevant to a question of compliance with grant conditions and directives on the effectiveness, legality, and achievements of the grant-supported activity.

Account Identification:

14-1042-0-2-303.

Obligations:

(Project Grants) FY 12 \$2,995,200; FY 13 est \$3,000,000; and FY 14 est \$3,000,000

Range and Average of Financial Assistance:

Range \$24,132 to \$714,314

Average \$170,021.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Visit the Japanese American Confinement Sites Grant website for information on completed projects: <http://www.nps.gov/history/hps/hpg/JACS/index.html>. Fiscal Year 2013: No Current Data Available. Fiscal Year 2014: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Department of the Interior Administrative, Audit Requirements and Cost Principles for Assistance Programs, 43 CFR Part 12.

Japanese American Confinement Sites Grant website:

<http://www.nps.gov/history/hps/HPG/JACS/index.html>

Regional or Local Office:

See Regional Agency Offices. Kara Miyagishima, NPS - Intermountain Regional Office, Phone (303) 969-2885, Email: Kara_Miyagishima@nps.gov.

Headquarters Office:

Kara Miyagishima, National Park Service - Intermountain Regional Office, 12795 W. Alameda Parkway, Lakewood, Colorado 80228 Email: Kara_Miyagishima@nps.gov Phone: (303) 969-2885.

Website Address:

<http://www.nps.gov/history/hps/hpg/JACS/index.html>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Visit the Japanese American Confinement Sites Grant website for information on funded projects: <http://www.nps.gov/history/hps/hpg/JACS/index.html>. Fiscal Year 2013: No current data available. Fiscal Year 2014: No current data available.

CRITERIA FOR SELECTING PROPOSALS:

A. Need:

- i. A critical problem exists and needs to be addressed.
- ii. The desired outcome is identified and the project addresses the critical problem with an appropriate solution.

B. Impact:

- i. The project will have an impact(s) on the interpretation and/or preservation of the confinement site experience and will reach an audience. The project impact(s) will be widespread and the project will be publicized and shared with others.
- ii. Public Law 109-441 (16 USC 461) the grant programs authorizing legislation states that the program was created for the purpose of identifying, researching, evaluating, interpreting, protecting, restoring, repairing, and acquiring historic confinement sites in order that present and future generations may learn and gain inspiration from these sites and that these sites will demonstrate the Nations commitment to equal justice under the law. The project meets the purpose of the grant programs authorizing legislation, and addresses how these messages will be conveyed and shared with the public.

C. Feasibility: The applicant has the ability to successfully complete the project.

- i. The project budget is reasonable.
- ii. Selected personnel have the qualifications and ability to complete the project in a timely and professional manner.
- iii. The project will be effectively managed and completed.

D. Sustainability: The project will be sustained over time. The applicant demonstrates the ability for long-term maintenance, operation, distribution, and/or follow-up of the project.

E. Support: The project has support and participation from former internees, stakeholders, and/or the public.

15.934 ABANDONED MINE HAZARD MITIGATION

Cleanup Of Abandoned Mine Sites On Park Lands/Abandoned Mine Land Mitigation

FEDERAL AGENCY:

National Park Service, Department of the Interior

AUTHORIZATION:

American Recovery and Reinvestment Act of 2009, Public Law 111-5; Conservation, 16 U.S.C 1g; Consolidated Natural Resources Act of 2008, Title III, Section 301, Public Law 110-229, 122 Stat.

OBJECTIVES:

To mitigate mine hazards on park lands or effectively nearby state, federal or local land. An estimated 3,100 AML sites with 8,400 individual features exist in 127 units of the National Park System. AML features include deep underground mine openings, surface mine pits, and unplugged orphaned oil and

gas wells. AML sites often pose severe human safety hazards, environmental contamination, and usually have disturbances to land, vegetation and related ecosystems. AML sites often provide critical habitat for protected and/or sensitive wildlife species such as bats and desert tortoise. Many AML sites are protected cultural sites. The AML Program mitigates AML sites throughout the national park system. Mitigation can involve: "bata gate" installation, plugging mine openings with polyurethane foam and earthen backfill, and site restoration through reshaping the land surface and planting native vegetation.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants); Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

For ARRA funded projects the requirements of the American Recovery and Reinvestment Act of 2009 will be followed and incorporated, as necessary, within the opportunity announcement and agreement documents. Applicant entity must have expertise in hazard mine mitigation in natural areas or research support for remediation plan development.

Applicant Eligibility:

Natural Resources (mineral, water, wildlife, land).

Beneficiary Eligibility:

Public nonprofit, institution/organization, Other public institution/organization, Federally Recognized Indian Tribal, Governments, U.S. Territories, Individual/Family, Minority group, Specialized group (e.g. health professionals, students, veterans), Small business, Profit organization, Private nonprofit institution/organization, Quasi-public nonprofit organization, Other private institution/organization, Anyone/general public, Native American Organizations, Health Professional, Education Professional, Student/Trainee, Graduate Student, Scientist/Researchers.

Credentials/Documentation:

Examples of prior work in technical area of mine mitigation in natural areas or specific resource expertise to develop mitigation plans. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. A completed SF 424 Application for Federal Assistance and other material are required by the Funding Opportunity Announcement - these will be announced upon funding availability and project needs. The standard application forms required by 43 CFR Part 12, Subparts C and F, must be used. Applications will be made available on www.grants.gov.

Award Procedure:

Timely submissions will be reviewed in relationship to the evaluation criteria specified in the opportunity announcement. Awards will be made in the form of a cooperative agreement.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. Deadlines will be posted in specific Funding Opportunity Announcements on Grants.gov.

Appeals:

Not Applicable.

Renewals:

As allowed in 43 CFR Part 12 Department of Interior Regulations for Financial Assistance.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Assistance is provided on a project basis. See the following for information on how assistance is awarded/released: Reimbursable basis as costs are incurred.

Reports:

Program reports are not applicable. Cash reports are not applicable. Progress reports are not applicable. Financial reports SF-425 will be required in conjunction with requests for Reimbursement SF-270. The requirements for interim and final reports will be specified in the agreement. Recipients of ARRA funded agreements will be required to report the performance progress on a quarterly basis within ten (10) calendar days of the end of the quarter. Current user registration and instructions is provided at Recovery.gov. Recipients are required to report data directly into www.FederalReporting.gov. If sub-recipients are in receipt of these funds, additional information will be required by the recipient to report the data in www.FederalReporting.gov regarding the sub-recipient. These reports will be made publicly available.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Recipients will maintain records in accordance with the provisions of 43 CFR Part 12 and 2 CFR Part 176 for ARRA funded projects.

Account Identification:

14-1401-1-1-303 - TAS 1409/101041.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 12 \$50,000; FY 13 est \$50,000; and FY 14 est \$0

Range and Average of Financial Assistance:

Range No data reported
Average No data reported.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: No Current Data Available. Fiscal Year 2013: No Current Data Available. Fiscal Year 2014: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Department of the Interior regulations regarding financial assistance is 43 CFR Part 12.

Regional or Local Office:

None. Dave Steensen, Geologic Resource Division, National Park Service, Denver Colorado.

Headquarters Office:

Dave Steensen, National Park Service, Geologic Resource Division, Abandoned Mine Land Program, National Resource Program Center, P.O. Box 25287, Denver, Colorado 80225-0287 Email: Dave_Steensen@nps.gov Phone: (303) 969-2014.

Website Address:

<http://www.nature.nps.gov/geology/aml/index.cfm>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No current data available. Fiscal Year 2013: No current data available. Fiscal Year 2014: No current data available.

CRITERIA FOR SELECTING PROPOSALS:

For ARRA funded projects, the evaluation criteria also address the following priorities established for Title IV: (a) Programs, projects, or activities that can be obligated and executed quickly; (b) Programs, projects, or activities that will result in high, immediate employment; (c) Programs, projects, or activities that have little schedule risk; (d) Programs, projects, or activities that will be executed by contract or direct hire of temporary labor; and (e) Programs,

projects, or activities that will complete either a project phase, a project, or will provide a useful service that does not require additional funding. In addition, the project will meet the guidelines established by the NPS AML program. These guidelines are available at Handbook for Remediation of Abandoned Mineral Lands. The proposed cost estimates for the mine mitigation under the abandoned mine land assistance should appear reasonable and necessary for the proposed work. The proposal must be received by NPS by the announced deadline. The proposed agreement for mitigation of abandoned mine lands should be a technically well planned proposal and the proposed work likely to achieve a quality mitigation procedure in order to receive the assistance.

15.935 NATIONAL TRAILS SYSTEM PROJECTS

FEDERAL AGENCY:

National Park Service, Department of the Interior

AUTHORIZATION:

The National Trails System Act, 16 U.S.C 1241-51.

OBJECTIVES:

To preserve, protect, and develop the components of the National Trails System, with a strong emphasis on volunteer involvement, the Secretary of the Interior may enter into written cooperative agreements to operate, develop, and maintain any portion of such a trail either within or outside a federally administered area. The Secretary may provide financial assistance to encourage participation in the acquisition, protection, operation, development, or maintenance of such trails.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funding is to be used by States or their political subdivisions, landowners, private organizations, or individual to operate, develop, and maintain any portion of such a trail either within or outside a federally administered area. Such agreements may include provisions for limited financial assistance to encourage participation in the acquisition, protection, operation, development or maintenance of such trails. The NPS shall also initiate consultations with affected States and their political subdivisions to encourage (A) the development and implementation by such entities of appropriate measures to protect private landowners from trespass resulting from trail use and from unreasonable person liability and property damage caused by trail use, and (B) the development and implementation by such entities of provisions for land practices compatible with the purposes of this Act, for property within or adjacent to trail rights-of-way. After consulting with States and their political subdivisions under the preceding sentence, the Secretary may provide assistance to such entities under appropriate cooperative agreements in the manner provided by this subsection. The availability of discretionary funding is determined by individual trail offices each fiscal year.

Applicant Eligibility:

State, Local, Interstate, Intrastate, Federally Recognized Indian Tribes, Individual/Family, Native American Organizations.

Beneficiary Eligibility:

Interstate, Intrastate, State, Local, Federally Recognized Indian Tribal Governments, Individual/Family, Profit Organization, Anyone/general public.

Credentials/Documentation:

No Credentials or documentation are required. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Eligible applicants will be required to submit a SF 424, Application for Federal Assistance, and other required documentation.

Award Procedure:

A proposal for financial assistance can start with a letter of intent to the

National Park Service office servicing the agreement. Or, direct face to face negotiations can result in NPS framing the agreement for later review and ratification by the receiving party. Before the agreement is finalized, it should include a detailed budget breakdown for each task. The mutually agreed-upon cooperative agreement is reviewed within NPS and submitted to the appropriate offices for approval. Once the agreement is approved and signed by a qualifying NPS contracting official, the work outlined in the agreement can begin.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Generally 30 to 90 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: Percent: 50%. When trail base funds are available through these cooperative agreements, this program has no matching requirements, although matching may provide incentives as mutually agreeable. When funds are made available from the NPS Challenge Cost-Share program, if the project will be credited to that program, at least a 50-50 non-Federal match is required. Such matches can include in-kind services, volunteer hours, and other non-monetary services.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Cooperative Agreements generally have a period of performance of up to five years. Funding availability and source will be a factor in determining the length of assistance. Reimbursement is accomplished as outlined in the proposal and subsequent task agreements based on the particular project requirements. See the following for information on how assistance is awarded/released: Not available.

Reports:

All organizations will be required to submit a minimum of a yearly narrative summary accomplishments reports to the National Park Service in accordance with the terms of each agreement. All projects and activities are monitored for progress and compliance with agreed upon scope of work. Cash reports are not applicable. Progress reports are not applicable. Specific projects or activities for which funds are advanced shall be tracked and reported by submittal of Standard Form 425 Federal Financial Report. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Recipients will maintain records in accordance with the provisions of 43 CFR Part 12, Subpart C, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments" and 43 CFR 12, Subpart F, "Uniform Administrative Requirements for Grants and Agreements With Institutions of Higher Education, Hospitals, and Other Nonprofit Organizations. The Cooperator must maintain a complete detailed accounting system to report expenditures of Cooperative Agreement funds and accomplishments achieved under the award. Records, accounts and supporting documents must be retained for 3 years submission for final request for reimbursement.

Account Identification:

14-1035-1-1-303 - TAFS 1409/101035; TAS 14-1036-0-1-303.

Obligations:

(Project Grants) FY 12 \$4,827,671; FY 13 est \$4,500,000; and FY 14 est \$0

Range and Average of Financial Assistance:

Range \$1,243 to \$372,838

Average \$62,697.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: A total of 77 awards were made in FY12. National Trails System Cooperative Agreements have provided essential benefits to the Trails System above and beyond basic operations, including organizational support to partners, volunteer support, assistance for construction and trail enhancement projects, mapping, research, and publications websites. Fiscal Year 2013: No current data available. Fiscal Year 2014: No current data available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Government-wide Guidance located at 2 CFR Part 12; Department of Interior Regulations regarding financial assistance located at 43 CFR Part 12, Guidance <http://www.nps.gov/nts/index.htm>

Regional or Local Office:

See Regional Agency Offices. Ala Kahakai National Historic Trail (NHT) Arik Arakaki, Supt., arik_arakaki@nps.gov.

Appalachian National Scenic Trail (NST) Pam Underhill, Park Manager, Pamela_underhill@nps.gov.

California, El Camino Real de los Tejas, El Camino Real de Tierra Adentro, Mormon Pioneer, Old Spanish, Oregon, Pony Express, Santa Fe, and Trail of Tears NHTs Aaron Mahr, Supt., aaron_mahr@nps.gov.

Captain John Smith Chesapeake and Star-Spangled Banner NHTs John Maounis, Supt., john_maounis@nps.gov.

Ice Age and North Country NSTs Pamela Schuler, Acting Supt., pam_schuler@nps.gov.

Juan Bautista de Anza NHT Naomi Torres, Supt., naomi_torres@nps.gov.

Lewis and Clark NHT Mark Weekley, Supt., mark_weekley@nps.gov.

Natchez Trace NST Cam Sholly, Supt., cam_sholly@nps.gov.

New England NST Charlie Tracy, Supt., Charles_tracy@nps.gov.

Overmountain Victory NHT Paul Carson, Supt., paul_carson@nps.gov.

Potomac Heritage NST Don Briggs, Supt., don_briggs@nps.gov.

Selma to Montgomery NHT Sandy Taylor, Supt., sandy_taylor@nps.gov.

Washington-Rochambeau Revolutionary Route NHT Joe DiBello, Supt., joe_dibello@nps.gov.

Headquarters Office:

Steve Elkinton, National Park Service, National Trails, 1849 C Street, Washington, District of Columbia 20240 Email: steve_elkinton@nps.gov Phone: (202) 354-6938.

Website Address:

<http://www.nps.gov/nts/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Engaging Young People on the New England NST, Janesville Mobile Skills Workshop (Ice Age NST), Appalachian Trail Boardwalk in Pauling, NY, Friday at the Fort Living History (Juan Bautista de Anza NHT). Fiscal Year 2013: No current data available. Fiscal Year 2014: No current data available.

CRITERIA FOR SELECTING PROPOSALS:

These criteria may vary somewhat from region to region. Fosters components of the National Trails System. Helps local trail office carry current program objectives. Helps complete work started in previous years. Enhances public understanding and appreciation of a trail or the entire Trails System. Can be accomplished within the next year.

15.936 SHENANDOAH VALLEY BATTLEFIELDS NATIONAL HISTORIC DISTRICT BATTLEFIELD ACQUISITION AND PROTECTION**FEDERAL AGENCY:**

National Park Service, Department of the Interior

AUTHORIZATION:

The Shenandoah Valley Battlefields Foundation's land and easement acquisition efforts concentrate on the battlefields named by Congress in the Shenandoah Valley Battlefields National Historic District and Commission Act of 1996. These battlefields, listed in the table below, include more than 21,000 acres of core battlefield that retain historic integrity, largely because they are still in active farm and forest uses. Less than ten percent of this essential resource is protected from development that would destroy its historic value., Section 606, Public Law 104-333.

OBJECTIVES:

The Shenandoah Valley Battlefields Foundation works to protect eligible Civil War battlefields in the National Historic District through land and conservation easement acquisition and preservation planning.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

Grants must be used to acquire battlefield land or to acquire a permanent, protective interest (i.e., a perpetual protective easement) in battlefield land. Additional costs associated with the acquisition - such as appraisal costs, survey fees, title insurance, and other closing costs - are also eligible grant costs. Lands located within the legislative boundaries of the Cedar Creek and Belle Grove National Historic Park may receive funding through this program. Battlefield Land Acquisition Grants may be used to pay up to 100% of the total cost- the sum of both the cost of the land or protective easement to be purchased and any necessary fees- of the real estate transaction. No match is required. Shenandoah Valley Battlefields National Historic District Commission Act of 1996 (Public Law 104-333). authorizes this grant program. The Act allows Land and Water Conservation Fund (LWFC) monies to be used to provide the Federal share of the cost of acquiring interests in eligible Civil War battlefield land. the Act requires that any interest in land acquired under this program "...shall be subject to section 6(f)(3) of the Land and Water Conservation Fund Act of 1965 (16 U.S.C. 4601-8(f)(3))." Section 6(f)(3) requires that any land acquired with these funds be preserved and not converted to other uses without the express written consent of the Secretary of the Interior. The grantee Shenandoah Valley Battlefield Foundation must record with or in the deed and record in the easement (as applicable) the following: (1) That the property was acquired with assistance from Federal Land and Water Conservation Act funds pursuant to the Shenandoah Valley Battlefields National Historic District and Commission Act of 1996 (Public Law 104-333). (2) That the property, therefore, is subject to the provisions of Section 6 (f)(3) of the Land and Water Conservation Act: (3) That the property, therefore, may never be converted to other than preservation uses without the written approval of the Secretary of the Interior; (4) That in the event of a breach of the requirements of Section 6(f)(3) (unauthorized conversion), the only remedy is immediate compliance with Section 6(f)(3); and (5) That grant funds cannot be repaid to the NPS to nullify the requirements of Section 6(f)(3). The fundamental purpose of the Land and Water Conservation Fund is to help acquire and/or develop public outdoor recreation areas. Accordingly, grantee Shenandoah Valley Battlefield Foundation must provide for public access to lands or interests in lands acquired with assistance from this program, subject to necessary and reasonable measures on the part of the grantee to protect to protect the historic features of the battlefield from damage or loss. Where the grantee will place an easement that indicates the type and degree of public access to be made available to the property. At a minimum, lands purchased with LWCF funds must be visible from public right-of-way. Before the NPS will release grant funds, the NPS must receive and approve a property appraisal to the NPS that supports the proposed acquisition cost. The appraisal must be completed within 1 year of the signing of the contract to purchase the property. The cost of the appraisal is an allowable cost for this grant. None of the funds may be used to process any grant or contract documents which do not include the text of 18 U.S.C. 1913 prohibiting lobbying with appropriated funds. Grants will be awarded pursuant to duly approved written applications. Use of these funds is subject to compliance with 43 CFR 12 and the terms of the grant agreement to be executed by the grantee and the National Park Service. There is a dollar-for-dollar matching share requirement to receive these funds. Shenandoah Valley Battlefields National Historic District Commission Act of 1996 (Public Law 104-333). authorizes this grant program. The Act allows

Land and Water Conservation Fund (LWCF) monies to be used to provide the Federal share of the cost of acquiring interests in eligible Civil War battlefield land. The Act requires that any interest in land acquired under this program "...shall be subject to section 6(f)(3) of the Land and Water Conservation Fund Act of 1965 (16 U.S.C. 4601-8(f)(3))." Section 6(f)(3) requires that any land acquired with these funds be preserved and not converted to other uses without the express written consent of the Secretary of the Interior. The grantee Shenandoah Valley Battlefield Foundation must record with or in the deed and record in the easement (as applicable) the following: (1) That the property was acquired with assistance from Federal Land and Water Conservation Act funds pursuant to the Shenandoah Valley Battlefields National Historic District and Commission Act of 1996 (Public Law 104-333). (2) That the property, therefore, is subject to the provisions of Section 6 (f)(3) of the Land and Water Conservation Act; (3) That the property, therefore, may never be converted to other than preservation uses without the written approval of the Secretary of the Interior; (4) That in the event of a breach of the requirements of Section 6(f)(3) (unauthorized conversion), the only remedy is immediate compliance with Section 6(f)(3); and (5) That grant funds cannot be repaid to the NPS to nullify the requirements of Section 6(f)(3). The fundamental purpose of the Land and Water Conservation Fund is to help acquire and/or develop public outdoor recreation areas. Accordingly, grantee Shenandoah Valley Battlefield Foundation must provide for public access to lands or interests in lands acquired with assistance from this program, subject to necessary and reasonable measures on the part of the grantee to protect to protect the historic features of the battlefield from damage or loss. Where the grantee will place an easement that indicates the type and degree of public access to be made available to the property. At a minimum, lands purchased with LWCF funds must be visible from public right-of-way. Before the NPS will release grant funds, the NPS must receive and approve a property appraisal to the NPS that supports the proposed acquisition cost. The appraisal must be completed within 1 year of the signing of the contract to purchase the property. The cost of the appraisal is an allowable cost for this grant. None of the funds may be used to process any grant or contract documents which do not include the text of 18 U.S.C. 1913 prohibiting lobbying with appropriated funds. Grants will be awarded pursuant to duly approved written applications. Use of these funds is subject to compliance with 43 CFR 12 and the terms of the grant agreement to be executed by the grantee and the National Park Service. There is a dollar-for-dollar matching share requirement to receive these funds.

Applicant Eligibility:

SVBF is the sole eligible applicant for these grants.

Beneficiary Eligibility:

The SVBF grant is to be used to acquire land and interests in land only the following National Historic District Battlefields will be considered:

- 1- Second Winchester
- 2- Second Kernstown
- 3- Cedar Creek
- 4- Fisher's Hill
- 5- Tom's Brook
- 6- Third Winchester
- 7- New Market
- 8- Port Republic
- 9- Cross Keys
- 10- McDowell.

Credentials/Documentation:

SVBF is the sole eligible applicant for this program. The grants will be administered by the American Battlefield Protection Program (ABPP). SVBF will carefully review the following guidelines before preparing an application package. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

SVBF will engage in informal preapplication coordination with ABPP staff and with the Virginia State Historic Preservation Office. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the

program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Only SVBF staff will prepare applications. Eligible applicants will be required to submit a SF 424, Application for Federal Assistance and other required documentation. The procedures will be outlined in the announcement, and will include a title, geographic area(s) of requested assistance, objectives, and a budget proposal to reflect a typical project.

Award Procedure:

SVBF may submit proposals to the ABPP at any time. The ABPP will review all complete application packages as they are received.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 15 to 30 days. 30 days.

Appeals:

NONE.

Renewals:

NONE.

Formula and Matching Requirements:

Statutory Formula: Title 43, Part 12. Use of these funds is subject to compliance with 43 CFR 12 and the terms of the grant agreement to be executed by the grantee and the National Park Service.

Matching Requirements: There is a dollar-for-dollar matching share requirement to receive these funds.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

This lump sum grant is awarded as a single award and the length of time is approximately 90 days. Method of awarding/releasing assistance: lump sum.

Reports:

SVBF will report both financial and progress reports to ABPP on a regular basis. Products of the grant may be reviewed by ABPP in draft and final format. Final performance reports are expected at the close of the grant. Cash reports are not applicable. SVBF will report both financial and progress reports to ABPP on a regular basis. Products of the grant may be reviewed by ABPP in draft and final format. Final performance reports are expected at the close of the grant. Specific projects or activities for which funds are advanced shall be tracked and reported by submittal of, Standard Form, 270 Request for Advance or Reimbursement, and a quarterly submittal of Standard Form 425 the Financial Report . Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

SVBF must maintain the property, personnel, financial, procurement and other records and accounts pertinent to the funds awarded by this grant in accordance with 43 CFR 12. The grantee and its contractors will permit on-site inspections by NPS representatives, and will effectively require employees and board members to furnish such information as, in the judgment of NPS representatives may be relevant to a question of compliance with grant conditions and directives on the effectiveness, legality and achievements of project work.

Account Identification:

14-5035-0-2-303.

Obligations:

(Direct Payments for Specified Use) FY 12 \$0; FY 13 est \$0; and FY 14 est \$0 - Land and Water Conservation Fund appropriations.

Range and Average of Financial Assistance:

Range \$0

Average \$0.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

A more complete description of grant requirements can be found at http://www.shenandoahatwar.org/frame_rpro.html

Regional or Local Office:

None.

Headquarters Office:

Kristen McMasters 1201 Eye Street, NW (2255) 6th Floor , Washington, District of Columbia 20005 Phone: (202) 354-2037

Website Address:

<http://www.cr.nps.gov/abpp>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: No Current Data Available. Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The SVBF staff reviews and evaluates all proposals. Reviewers evaluate applications according to the following considerations.

- Significance of the battlefield land
- Whether the proposed acquisition parcel lies within the SVBF-defined Core and/or Study areas
- Availability of funds
- Acquisition schedule
- Threat to the battlefield land.

15.937 REDWOOD NATIONAL PARK COOPERATIVE MANAGEMENT WITH THE STATE OF CALIFORNIA**FEDERAL AGENCY:**

National Park Service, Department of the Interior

AUTHORIZATION:

Department of the Interior and Related Agencies Appropriations Act of 1998, Public Law 105-83, 111 Stat. 1543, 16 U.S.C 471j; American Recovery and Reinvestment Act of 2009, Public Law 111-5 Section 702; Executive Order Title 16 Conservation Subchapter Subchapter 1 National Park Service, Title 16, Part 1, Section 1a-2j; Omnibus Parks and Public Lands Management Act of 1996, Public Law 104-333, 16 U.S.C 1f; Omnibus Consolidated Appropriations Act of 1997, Public Law 104-208, 110 Stat. 3009-181, 189, 16 U.S.C 1g, Executive Order , Title , Part , Section.

OBJECTIVES:

To exchange goods and services to be used by the Secretary of the Interior and the State of California for cooperative management of lands within Headwaters Forest, Redwood National Park, and proximate State lands. Develop joint operating procedures and standards to ensure effective and efficient accomplishment of park activities, including but not limited to: visitor services, resource protection, public information, interpretation and publications, resource management, maintenance, administration, design and construction, planning, signing, and the development of policies. For American Recovery and Reinvestment Act (ARRA) funded projects and in addition to the program objectives above, section 3 of the ARRA states the following objectives: to preserve and create jobs and promote economic recovery; to assist those most impacted by the recession, to provide investments needed to increase economic efficiency by spurring technological advances in science and health; to invest in transportation, environmental protection, and other infrastructure that will provide long-term economic benefits; to stabilize State and local government budgets, in order to minimize and avoid reductions in essential services and counterproductive state and local tax increases.

TYPES OF ASSISTANCE:

Advisory Services and Counseling; Cooperative Agreements; Dissemination of Technical Information; Sale, Exchange, or Donation of Property and Goods; Training; Use of Property, Facilities, and Equipment

USES AND USE RESTRICTIONS:

The Secretary of the Interior must determine that appropriations for the purpose of cooperative management of projects are available and an agreement is in the best interests of the United States. Assistance is authorized between the Department of the Interior, National Park Service, Redwood National Park and the State of California government agencies. For ARRA funded projects the requirements the Act will be followed and incorporated, as necessary, within the opportunity announcement and agreement documents.

Applicant Eligibility:

Agencies within the State of California Government.

Beneficiary Eligibility:

State of California.

Credentials/Documentation:

As determined by project requirements. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Consultation or assistance between the Secretary (generally through the Superintendent, Redwood National Park) and the State of California will occur.

Projects will be awarded as determined by the Secretary (generally through the Superintendent, Redwood National Park) within the requirements of applicable existing bureau and agency regulation and policy.

Award Procedure:

After project needs and requirements are developed, the National Park Service will issue the State of California a written agreement (Cooperative Agreement or Cooperative Management Agreement) for execution upon which the project can proceed. Department of the Interior policy allows projects that meet the criteria for single source opportunities to be issued by an agency, which are specifically directed to a known recipient. A Notice of Intent shall be placed on www.grants.gov to disclose the single source announcement.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

In accordance with applicable requirements for extensions and renewals.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching Requirements: There are no legislative requirements for matching. Some projects may be conducted on a pre-determined cost share basis. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Assistance is provided on a project basis. See the following for information on how assistance is awarded/released: Information not available.

Reports:

Program reports are not applicable. Cash reports are not applicable. All organizations will be required to submit a yearly narrative summary accomplishments reports to the National Park Service at the end of each project year. All projects and activities are monitored for progress and compliance

with agreed upon scope of work. For ARRA funds, recipients and agencies must comply with the regulations in 2 CFR Part 176 - Award Terms for Assistance Agreements that include Funds under the American Recovery and Reinvestment Act of 2009 that describes the requirements for 1512 award recipient reporting requirements. Supplemental guidance is available at <http://www.Recovery.gov> and <http://www.FederalReporting.gov>. Specific projects or activities for which funds are advanced shall be tracked and reported by submittal of the SF 270 Request for Advance or Reimbursement or SF 271 Outlay Report and Request for Reimbursement for Construction Programs, and a quarterly or annual submittal of the SF 425 Federal Financial Report. The SF 270/SF 271 and SF 425 require a final report. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Recipients will maintain records in accordance with the provisions of 43 CFR Part 12, Subpart C, Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments. The Cooperator must maintain a complete detailed accounting system to report expenditures of funds and accomplishments achieved under the award. Records, accounts and supporting documents must be retained for 3 years after submission for final request of disbursement. If any litigation, claim, or audit is started before the expiration of the 3-year period, the records shall be retained until all litigation, claims or audit findings involving the records have been resolved and final action taken. The provisions of 2 CFR Part 176 apply.

Account Identification:

14-1042-0-1-303 - NR&P; 14-1035-1-1-303 - ARRA ONPS; TAFS 1409/101035; 14-1036-0-1-303 - Operation of the National Park System; 14-1039-0-1-303 - Construction; 14-1041-1-1-303 - ARRA Construction; TAFS 1409/101035.

Obligations:

(Cooperative Agreements) FY 12 \$30,773; FY 13 est \$80,101; and FY 14 est \$80,101

Range and Average of Financial Assistance:

Range: \$0 to \$16,000

Average: \$4,396.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: A total of 7 awards were made in FY12. Fiscal Year 2013: No current data available. Fiscal Year 2014: No current data available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices. Superintendent, Redwood National and State Parks, 111 Second Street, Crescent City, CA 95531
NPS, PWR, Contracting and Property Division, 1111 Jackson St., Ste. 700, Oakland, CA 94607.

Headquarters Office:

Director, 1849 C Street, NW, Washington, District of Columbia 20240 Phone: (202) 208-3100.

Website Address:

<http://www.nps.gov/redw>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available. Fiscal Year 2013: No Current Data Available. Fiscal Year 2014: No Current Data Available.

CRITERIA FOR SELECTING PROPOSALS:

Appropriations for the purpose of cooperative management projects must be available and an agreement is in the best interests of the United States. For ARRA funded projects, the evaluation of projects also address the following priorities established in the Conference Report for Title IV: programs, projects, or activities that can be obligated and executed quickly; programs, projects, or activities that will result in high and immediate employment; programs, projects, or activities that have little schedule risk; programs, projects, or activities that will be executed by contract or direct hire of temporary labor; and programs, projects, or activities that will complete either a project phase, a project, or will provide a useful service that does not require additional funding.

15.938 BOSTON AFRICAN-AMERICAN NATIONAL HISTORIC SITE COOPERATIVE AGREEMENT WITH THE MUSEUM OF AFRICAN AMERICAN HISTORY

Rehab African Meetinghouse

FEDERAL AGENCY:

National Park Service, Department of the Interior

AUTHORIZATION:

Department of the Interior and Related Agencies Appropriations Act of 1998, Public Law 105-83, 111 Stat. 1543, 16 U.S.C. 471j; Executive Order Title 16 Conservation Subchapter 1 National Park Service, Title 16, Part 1, Section 1a-2j; American Recovery and Reinvestment Act of 2009, Public Law 111-5, Section 702, Omnibus Consolidated Appropriations Act of 1997, Public Law 104-208, 110 Stat. 3009-181, 189, 16 U.S.C. 1g; Omnibus Parks and Public Lands Management Act of 1996, Public Law 104-333, 16 U.S.C. 1f. Public Law 96-430 Sec. 101 and 103.

OBJECTIVES:

The National Park Service and the Museum of African-American History are partnering for the purpose of rehabilitating the African Meetinghouse in Boston, MA. The African Meetinghouse was entered on the National Register in 1971 and is a contributing resource to the Beacon Hill National Register District, as well as, a contributing resource to the Boston African-American National Historic Site. It is the oldest extant African-American church building in the United States and the first house of worship built for people of African descent in Boston. In order to preserve this structure which has both historic and architectural experience to complete the project.

TYPES OF ASSISTANCE:

Advisory Services and Counseling; Cooperative Agreements (Discretionary Grants); Dissemination of Technical Information; Sale, Exchange, or Donation of Property and Goods; Training; Use of Property, Facilities, and Equipment

USES AND USE RESTRICTIONS:

The Secretary of the Interior must determine that appropriations for the purpose of providing federal assistance are available and an agreement is in the best interests of the United States. Assistance is authorized between the Department of the Interior, National Park Service, Boston African-American National Historic Site and the Museum of African-American History. For ARRA funded projects, the requirements of the Act will be followed and incorporated, as necessary, within the opportunity announcement and agreement documents.

Applicant Eligibility:

Not applicable.

Beneficiary Eligibility:

Not applicable.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Section 106 compliance was signed by the SHPO on July 28, 2005 and a Categorical Exclusion was signed on March 11, 2010. Plans and specifications have been completed and appropriate approvals received. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Consultation or assistance

between the Secretary (generally through the Superintendent, Boston African-American National Historic Site) and the Museum of African-American History will occur. Projects will be awarded as determined by the Secretary (generally through the Superintendent, Boston African-American National Historic Site) within the requirements of applicable existing bureau and agency regulation and policy.

Award Procedure:

After project needs and requirements are developed, the National Park Service will issue the Museum of African-American History a written cooperative agreement for execution upon which the project can proceed. Department of the Interior policy allows projects that meet the criteria for single source opportunities to be issued by an agency, which are specifically directed to a known recipient. A Notice of Intent shall be placed on www.grants.gov to disclose the single source announcement.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

In accordance with applicable requirements for extensions and renewals.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Recovery Act restrictions related to the time permitted to obligate and expend program funds apply. Additionally information on the length and time phasing of assistance is not available. See the following for information on how assistance is awarded/released: Information not available.

Reports:

Program reports are not applicable. Cash reports are not applicable. All organizations will be required to submit a yearly narrative summary accomplishments report to the National Park Service at the end of each project year. For ARRA funds, recipients and agencies must comply with the regulations in 2 CFR Part 176 - Award Terms for Assistance Agreements, which describes the requirements for 1512 recipient reporting requirements. Supplemental guidance is available at <http://www.Recovery.gov> and <http://FederalReporting.gov>. Specific projects or activities for which funds shall be tracked and reported by submittal of the the SF 271 Outlay Report and Request for Reimbursement for Construction Programs, and a quarterly or annual submittal of the SF 425 Federal Financial Report. The SF 271 and SF 425 require a final report. All projects and activities are monitored for progress and compliance with agreed upon scope of the work.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Recipients will maintain records in accordance with the provisions of 43 CFR Part 12, Subpart F, Uniform Administrative Requirements for Grants and Agreements to Institutions of Higher Education, Hospitals and Other Non-Profit Organizations. The Cooperator must maintain a complete detailed accounting system to report expenditures of funds and accomplishments achieved under the award. Records, accounts and supporting documents must be retained for 3 years after submission for final request of disbursement. If any litigation, claim, or audit is started before the expiration of the 3-year period, the records shall be retained until all litigation, claims or audit findings involving the

records have been resolved and final action taken. The provisions of 2 CFR 176 apply.

Account Identification:

14-1041-1-1-303 - ARRA Construction; TAFS 1409/101035; 14-1036-0-1-303 - Operation of the National Park System; 14-1042-0-1-303 - NR&P; 14-1035-1-1-303 - ARRA ONPS; TAFS 1409/101035; 14-1039-0-1-303 - Construction.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 12 \$26,500; FY 13 est \$50,000; and FY 14 est \$50,000

Range and Average of Financial Assistance:

Range \$26,500 to \$26,500
Average \$26,500.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: A total of 1 award was made in FY12. Fiscal Year 2013: No current data available. Fiscal Year 2014: No current data available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None. Superintendent, Boston African-American National Historic Site, 14 Beacon Street, Suite 503, Boston, MA 02108; Phone (617)742-5415. Northeast Regional Office, 200 Chestnut Street, Suite 306, Philadelphia, PA 19106; Phone (215)597-0815.

Project Management Office: Denver Service Center, 12795 W. Alameda Parkway, Denver, CO 80225-0287; Phone (303)969-2459.

Headquarters Office:

Director National Park Service, 1849 C Street, NW, Washington, District of Columbia 20240 Phone: (202)208-3100

Website Address:

<http://www.nps.gov.boaf>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available. Fiscal Year 2013: No Current Data Available. Fiscal Year 2014: No Current Data Available.

CRITERIA FOR SELECTING PROPOSALS:

Appropriations for the purpose of cooperative projects must be available and an agreement is in the best interests of the United States. For ARRA funded projects, the evaluation of projects must also address the following priorities established in the Conference Report for Title IV: programs, projects, or activities that can be obligated and executed quickly; programs, projects or activities that will result in high and immediate employment; programs, projects, or activities that have little risk schedule; programs, projects, or activities that will be executed by contract or direct hire of temporary labor; and programs, projects, or activities that will be completed either a project phase, or will provide a useful service that does not require additional funding.

15.939 NATIONAL HERITAGE AREA FEDERAL FINANCIAL ASSISTANCE

National Heritage Area Preservation and Conservation Assistance

FEDERAL AGENCY:

National Park Service, Department of the Interior

AUTHORIZATION:

NOTES and related authorization legislation for individual National Heritage Areas, 16 U.S.C 461a.

OBJECTIVES:

The purpose of designation as a National Heritage Area is to preserve and interpret for the educational and inspirational benefit of present and future generations the unique and significant contributions to our national heritage of certain historic and cultural lands, waterways, and structures, to encourage a broad range of economic opportunities enhancing the quality of life within the designated area, and provide a management framework to assist state/local

government entities, non profits, and others in developing policies and programs that will preserve, enhance, and interpret the cultural, historical, natural, recreation, and scenic resources of the heritage area.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use (Cooperative Agreements)

USES AND USE RESTRICTIONS:

- Funding and/or assistance is managed by an agency, nonprofit or other organized party identified in the public law that created the National Heritage Area
- Funding is to accomplish intended purpose specified within each individual National Heritage Area's public law
- Federal funding and its match must meet all federal requirements as defined in OMB circulars and enabling legislation combined
- Funding applies to interpretation and education, recreation, preservation and conservation and economic development of the region applied specifically to the nationally important story for which they were established.

Applicant Eligibility:

Generally, states, their political subdivisions, non profits, private entities, the heritage area management/coordinating entity, and other federal agencies are eligible for assistance.

Beneficiary Eligibility:

Generally, states, their political subdivisions, non profits, private entities, the heritage area management/coordinating entity, and other federal agencies are eligible for assistance.

Credentials/Documentation:

Applicants are required to register with www.ccr.gov and obtain a DUNS number. Designated management/coordinating entities must submit an annual work plan. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Eligible applicants submit the SF424, SF424A, SF424B and a management entity work plan as required by OMB circular A-110 to the responsible regional office. The work plan or task agreement includes a title, geographic area(s) of requested assistance, objectives, match commitments, priority and budget proposal for each individual project. Special application procedures will be posted at grants.gov.

Award Procedure:

Upon receipt of timely submissions, applications will be reviewed by the NPS and may be circulated for others to review to assure compliance requirements are met and actions fit the legislative requirements. Notification of Awards will be provided to applicants by issuance of Cooperative Agreement, modification or Grant Agreement by the Agreement Technical Assistance Officer.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Generally, 30-90 days.

Appeals:

NONE.

Renewals:

Yes, In accordance with the applicable requirements for extensions and renewal under the appropriate regulations and OMB circulations.

Formula and Matching Requirements:

This program has no statutory formula.
Matching Requirements: Percent: 50%. Authorizing legislation for individual National Heritage Areas specifies matching requirements. Generally, the organization must be able to provide a 50% cash match for their appropriated finds.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

In accordance with the individual award documents. See the following for information on how assistance is awarded/released: Advances or reimbursements for no more than 50% of their authorized financial activities.

Reports:

Program reports are not applicable. Cash reports are not applicable. All projects and activities are monitored for progress and compliance with agreed upon scope of work. Specific projects or activities for which funds are advanced shall be tracked and reported by submittal of, Standard Form 270, Request for Advance or Reimbursement; SF425 Financial Status Report as outlined in 2 CFR 215.52. Additionally, all organizations will be required to submit a yearly narrative summary of accomplishments report to the National Park Service Agreements Technical Assistance Officer and to the Cognizant Regional Heritage Area Coordinator. An annual report is also submitted to the Washington Office that identifies federal/match investments and other statistics.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Recipients will maintain records in accordance with the provisions of 43 CFR Part 12, Subpart C, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments" and 43 CFR Part 12 Subpart F, "Uniform Administrative Requirements for Grants and Agreements With Institutions of Higher Education, Hospitals, and Other Nonprofit Organizations. The Cooperator must maintain a complete detailed accounting system to report expenditures of Cooperative Agreement or Grant Agreement funds and accomplishments achieved under the award. Records, accounts and supporting documents must be retained for 3 years after submissions for final request for reimbursement.

Account Identification:

14-1036-0-1-303.

Obligations:

(Direct Payments for Specified Use (Cooperative Agreements)) FY 12 \$9,463,763; FY 13 est \$7,869,912; and FY 14 est \$7,869,912

Range and Average of Financial Assistance:

Range \$0 to \$652,000
Average \$215,085.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: A total of 44 awards were made in FY12. Fiscal Year 2013: Data Not Yet available. Fiscal Year 2014: Data Not Yet available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Recipients of awards will comply with the applicable OMB Circular and DOI Regulations governing Financial Assistance awards at 43 CFR Part 12 and 2 CFR Part 215 and Part 230.

Regional or Local Office:

See Regional Agency Offices. Regional Coordinators and individual heritage area contacts are listed at <http://www.nps.gov/history/heritageareas/CNTC/>.

Headquarters Office:

Martha Raymond, 1201 "Eye" Street, NW 6th Floor, Washington, District of Columbia 20005 Email: martha_raymond@nps.gov Phone: (202) 354-2222.

Website Address:

<http://www.nps.gov/history/heritageareas>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Projects support area responsibilities per legislation and

management planning documents. Included are projects to develop natural and cultural heritage education programs, curricula, and materials; sponsor cultural and natural resource stewardship events, education and capacity-building for partners; support matching grant programs for conservation and preservation projects and interpretive programs; provide youth employment and educational activities; develop and maintain interpretive and recreational trails; plan and install interpretive signage. Fiscal Year 2013: Data Not Yet Available. Fiscal Year 2014: Data Not Yet Available.

CRITERIA FOR SELECTING PROPOSALS:

- Actions proposed in Workplan/Task Agreement meet legislative requirements
- Actions proposed in Workplan/Task Agreement meet Office of Management Budget (OMB) standards
- Match meets all legal requirements and is in hand or commitments are in writing.

15.940 NEW BEDFORD WHALING NATIONAL HISTORIC PARK COOPERATIVE MANAGEMENT

FEDERAL AGENCY:

National Park Service, Department of the Interior

AUTHORIZATION:

New Bedford Whaling National Historic Park - U. S.C.; 410ddd(e)(2).

OBJECTIVES:

To work collaboratively with interested entities and individuals to provide for visitor understanding, appreciation and enjoyment.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use (Cooperative Agreements)

USES AND USE RESTRICTIONS:

Subject to DOI policy (43 CFR Part 12) on Financial Assistance, NPS Director's Order #20, NPS Agreements Handbook, and the park's enabling legislation.

Applicant Eligibility:

Eligible cooperators include: State, local and tribal governments, other public entities, education institutions, and private nonprofit organizations.

Beneficiary Eligibility:

General Public.

Credentials/Documentation:

Proposals may be submitted by entities interested in working collaboratively with the park. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Interested parties contact the park superintendent to discuss possible projects. Some projects are subject to compliance with the National Environmental Policy Act (NEPA). An environmental impact statement is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Submit a cover letter, project budget demonstrating matching requirements and a project scope of work, including the nature of the park's substantial involvement.

Award Procedure:

Proposals are reviewed and approved by the park superintendent.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Dependent on eligibility of funds.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: Per the park's enabling legislation every one dollar of Federal funds shall be matched by four dollars of funds contributed by non-federal sources to provide for the preservation, development, interpretation, and use of the park; every dollar of Federal funds shall be matched by one dollar of funds contributed by non-federal sources for construction, restoration and rehabilitation of visitors and interpretive facilities.

This program does not have MOE requirements. Newly established program.

Length and Time Phasing of Assistance:

Dependent on fund source and type of project. See the following for information on how assistance is awarded/released: Dependent on availability of funds.

Reports:

Program reports are not applicable. Cash reports are not applicable. Cooperators are required to submit an annual progress report. Cooperators are required to submit a Federal Financial Report, SF-425, on a quarterly basis for all financial transaction. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

The cooperator must maintain a complete, detailed accounting system to report expenditures of funds and accomplishments achieved under the agreements.

Account Identification:

14-1036-0-1-130.

Obligations:

(Direct Payments for Specified Use (Cooperative Agreements)) FY 12 \$45,100; FY 13 est \$50,000; and FY 14 est \$50,000 - Newly established program 2010.

Range and Average of Financial Assistance:

Range \$10,000 to \$20,000
Average \$15,033.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: A total of 3 awards were made in FY12. Fiscal Year 2013: No current data available. Fiscal Year 2014: No current data available.

REGULATIONS, GUIDELINES, AND LITERATURE:

DOI policy on cooperative agreements, NPS Director's Order #20 and the NPS Agreements Handbook, and the park's enabling legislation; Other park information can be found on the park's website at www.nps.gov/nebe/parkmgmt/index.htm

Regional or Local Office:

See Regional Agency Offices. Superintendent
New Bedford Whaling National Historic Park
33 William Street
New Bedford, MA 02740.

Headquarters Office:

Park Superintendent, New Bedford Whaling National Historic Park 33 William Street, New Bedford, Massachusetts 02740 Phone: xxx.xxx.xxxx

Website Address:

<http://www.nps.gov/nebe>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available. Fiscal Year 2013: No Current

Data Available. Fiscal Year 2014: No Current Data Available.

CRITERIA FOR SELECTING PROPOSALS:

Proposals are evaluated on the basis of:

- Appropriateness to the themes and mission of the park
- Contribution to visitor understanding, appreciation and enjoyment
- Clarity of project objectives including final product or result
- Ability to clearly demonstrate matching requirement
- Ability to clearly demonstrate collaborative nature and park's substantial involvement
- Availability of funds.

15.941 MISSISSIPPI NATIONAL RIVER AND RECREATION AREA STATE AND LOCAL ASSISTANCE

FEDERAL AGENCY:

National Park Service, Department of the Interior

AUTHORIZATION:

Mississippi National River and Recreation Area, Public Law 100-696; 704(a) 16 USC section 460 zz 5(a) - Grants, Public Law 100-696; 704(a) 16 U.S.C. section 460 zz 5(b) - Cooperative Agreements, and Public Law 100-696; 704(a) 16 U.S.C. section 460 zz (c) - Technical Assistance.

OBJECTIVES:

For grants, provide no more than 50% of cost of acquisition and development within the area of lands or waters. Provide assistance and/or funds to enhance partner planning for and interpretation of non-Federal publicly owned lands within the area.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funding and/or assistance is to be used by the State of Minnesota or its political subdivisions for the acquisition and development within the Area of lands and waters or interest therein in a manner within the legislation. Funding and/or assistance may be used to assist in the planning for and interpretation of non-Federal publicly owned lands within the Area and to develop and implement programs compatible with the comprehensive management plan. The availability of funding is determined by the Mississippi NRRRA each fiscal year. For more specific information contact the regional office.

Applicant Eligibility:

The State of Minnesota or its political subdivisions, counties, cities, non-profits.

Beneficiary Eligibility:

The State of Minnesota or its political subdivisions, counties, cities, non-profits.

Credentials/Documentation:

Each applicant will also be required to have a DUNS number and be registered in the SAM located at <http://sam.gov>. Payments to recipients vendors are administered through the ASAP located at www.asap.gov. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Consultation or assistance from the agency with this application may be available from the NPS. Projects may be subject to compliance with the National Environmental Policy Act. There are no pre-application requirements. An environmental impact statement is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Eligible applicants will be required to submit an Application, SF424, SF424A, SF424B and a written proposal through the <http://www.Grants.gov> website following the procedures outlined in the announcement, and will include a title, geographic area(s) of requested assistance, objectives, and a budget proposal to reflect a typical

project.

Award Procedure:

Application packages will be posted for an Eligible Applicant's response utilizing the <http://www.Grants.gov> website. Upon receipt of timely submissions, applications will be reviewed by the NPS and may be circulated for others to review to assure compliance requirements are met and actions fit the legislative requirements. Notification of Awards will be provided to applicants by issuance of Cooperative Agreement or Grant Agreement by the Agreements Officer.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Generally, 30-90 days.

Appeals:

Not Applicable.

Renewals:

In accordance with the applicable requirements for extensions and renewals under the appropriate regulations and OMB circulars.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: The recipient must be able to provide 50 percent cash match for grants in accordance with 16 U.S.C. 460zz-5(a). If funds are being provided from the Challenge Cost Share program, there must be a 50 percent match for a cooperative agreement. However, funds from this category are infrequent. On-going effort not required - land acquisition projects.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

In accordance with individual award requirements. Method of awarding/releasing assistance: lump sum.

Reports:

All organizations will be required to submit a yearly narrative summary of accomplishments report to the National Park Service Agreements Officer and to the Mississippi National River and Recreation Area. Cash reports are not applicable. All projects and activities are monitored for progress and compliance with agreed upon scope of work. Specific projects or activities for which funds are advanced shall be tracked and reported by submittal of, Standard Form 270, Request for Advance or Reimbursement; SF 425 Financial Status Report as outlined in 2 CFR 215.52. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Recipients will maintain records in accordance with the provisions of 43 CFR Part 12, Subpart C, "Uniform Administrative Requirements for Grants and Cooperative Agreements With Institutions of Higher Education, Hospitals, and Other Nonprofit Organizations. The Cooperator must maintain a complete detailed accounting system to report expenditures of Cooperative Agreement or grant Agreement funds and accomplishments achieved under the award. Records, accounts and supporting documents must be retained for 3 years after submission for final request for reimbursement.

Account Identification:

14-1036-0-1-303.

Obligations:

(Project Grants (Cooperative Agreements)) FY 12 \$1,329,140; FY 13 est \$580,000; and FY 14 est \$580,000 - Payments made in lump sum, and upon request for reimbursement under cooperative agreements (new program

established in 2010).

Range and Average of Financial Assistance:

Range \$1,000 to \$140,000

Average \$70,500.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Fiscal Year 2012: We implemented an alternative transportation plan and were able to plan and install Nice Ride bike stations within the parks boundaries for \$1,323,140 (\$843,140 of that amount was made possible from the Paul S. Sarbanes Transit in Parks program). We established a new cooperative agreement to operate our Mississippi River Visitor Center for \$1,000. We modified an existing cooperative agreement to provide educational outdoor trips for \$5,0000.. Fiscal Year 2013: We may expand further alternative transportation plans. Fiscal Year 2014: No current data available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Denise St. Marie, 111 E Kellogg Blvd., Suite 105, St. Paul, Minnesota 55101
Email: denise_st_marie@nps.gov Phone: (651) 290-3030 ext. 226.

Website Address:

<http://www.nps.gov/miss>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Provide alternate transportation and parks. Continue educational outdoor recreation. Continue to operate the Mississippi River Visitor Center. Fiscal Year 2013: No Current Data Available. Fiscal Year 2014: No Current Data Available.

CRITERIA FOR SELECTING PROPOSALS:

Demonstrated ability of the organization to successfully compete the project; Past performance in Federal Financial Assistance programs, if applicable; Organization's ability to provide the required cash match, if required; Projects serve a public purpose; and Other criteria may be provided for specific projects.

15.942 ENVIRONMENTAL EDUCATION AND CONSERVATION - NORTH CASCADES BIOREGION

FEDERAL AGENCY:

National Park Service, Department of the Interior

AUTHORIZATION:

16 U.S.C. 1g provides that the National Park Service may enter into cooperative agreements that involve the transfer of National Park Service appropriated funds to State, local and tribal governments, other public entities, educational institutions, and private nonprofit organizations for the public purpose of carrying out National Park Service programs pursuant to 31 U.S.C. 6305 to carry out public purposes of National Park Service programs. The Outdoor Recreation Act, as amended, 16 U.S.C., 4601-1(f), (1988), states that the Secretary is authorized to sponsor, engage in, and assist in research, undertake studies through cooperative agreements, and cooperate with educational institutions in establishing educational programs and activities relating to outdoor recreation. The North Cascades Environmental Learning Center (NCEL) is a residential education facility located on the shore of Diablo Lake in North Cascades National Park. The Learning Center is one of the central elements of the 1995 federal relicensing of Seattle City Light's Skagit River dams. This project and others are designed to mitigate the impact of hydroelectric projects on the Skagit River environment. Seattle City Light, the National Park Service and North Cascades Institute each have unique responsibilities in this partnership:

- Seattle City Light funded the design and the construction of the facility, own the buildings, and are leasing them to North Cascades Institute.
- The National Park Service dedicated land for the Learning Center within the Ross Lake National Recreation Area of North Cascades National Park Service

Complex. The National Park Service also provides services such as water, sewer, fire management and educational support.

- North Cascades Institute is a non-profit organization based in Sedro-Woolley, Washington. It operates the Learning Center and provide environmental education programs for all ages and backgrounds.

OBJECTIVES:

The environmental programs offered help people of all ages learn, enjoy and understand more about Skagit River and the North Cascades. The primary objectives include field-based environmental education (focusing on natural and cultural history), providing programs of public education, involvement in conservation, natural science, history and related fields of study, and conducting interpretive activities. All of these activities are to foster and enhance understanding and appreciation of the North Cascades bioregion. North Cascades Institute (operating the Learning Center under an agreement with Seattle City Light, provides all of the Learning Center's environmental education programs, serving children and adults from Skagit and Methow Valleys, the Puget Sound region and beyond. The Learning Center reaches more than 10,000 people each year in a wide variety of field-based and overnight programs. Scholarships are available. Programs include:

- Natural and cultural history seminars for adults
- Professional development experiences for teachers
- Mountain School for elementary school students
- Youth summer experiences such as North Cascades Wild and Parks Climate Challenge
- Volunteer stewardship programs
- Environmental education internships
- Graduate program: Master of Education in Environmental Education.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use (Cooperative Agreements); Provision of Specialized Services

USES AND USE RESTRICTIONS:

North Cascades National Park Service Complex was established in 1968 "In order to preserve for the benefit, use, and inspiration of present and future generations certain majestic mountain scenery, snowfields, glaciers, alpine meadows and other unique natural features in the North Cascade Mountains" (North Cascades's Act, Oct. 2, 1968, 82 Stat. 926, 16 U.S.C. 90). North Cascades National Park contains three hydroelectric power plants operated by Seattle City Light that predate establishment of the Park. As part of the license agreement from the Federal Energy Regulatory Commission, mitigation elements were required. North Cascades Institute operates the residential North Cascades Environmental Learning Center, an 11.6 million dollar facility which is imbedded within NOCA as required by a Federal Energy Regulatory Commission (FERC) agreement with Seattle City Light, operator of three hydroelectric dams within NOCA. The Learning Center is one of the required mitigation elements for Seattle City Light's federal FERC license for continued operation of three hydroelectric reservoirs on the Skagit River. The NPS has, or is able to obtain, qualified personnel to conduct interpretive training, participate in related programs with a public purpose of support or stimulation of outdoor recreation, resource preservation, and appreciation, and recognizes the Institute as an educational organization. Discretionary appropriated funds shall be made available for Task Agreements on a case specific basis.

Applicant Eligibility:

The North Cascades Institute has a legal relationship with Seattle City Light to provide the facilities and programs that meet the requirements of the FERC to provide the learning center within North Cascades National Park Complex. Ability to provide qualified personnel to conduct training and develop programs for educational purposes in support of outdoor recreation, resource preservation and appreciation. Experience in cooperatively developing successful, cost-effective programs that meet both park and Institute educational and budgetary goals, including providing integrated educational programming.

Beneficiary Eligibility:

- General Public
- Education Professional
- Student/Trainee
- Graduate Student
- Scientists/Researcher

Education(0-8)
Education(9-12)
Education(13+)
Credentials/Documentation
Sponsored Organization
Public Nonprofit Institution/Organization
Other Public Institution/Organization.

Credentials/Documentation:

Unique qualifications met by North Cascades Institutes. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

All funded projects will be developed collaboratively between the three partners and executed in accordance with availability of appropriations, application law and regulations including DOI Regulations regarding Financial Assistance awards at 43 CFR Part 12 and the National Environmental Policy Act (NEPA). An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Projects will be documented in task agreements to be issued under the terms and conditions of a master Cooperative Agreement. Each project will include the appropriate documentation for a Financial Assistance award in accordance with National Park Service policy and regulation.

Award Procedure:

Projects will be selected based on criteria developed with the partners and as approved by the Superintendent, North Cascades. All awards will be made in accordance with Department of the Interior's Policy, Departmental Manual 505DM2 based upon Unique Qualifications.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

In accordance with applicable law and regulation.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Not applicable. Method of awarding/releasing assistance: lump sum.

Reports:

Reports, if any, shall be specified in each Task Agreement and DOI regulations (OMB Circular A-110 and the Common Rule 43 CFR Part 12, Subpart F, paragraphs 12.951 through 12.953, and 12.971 through 12.973) and subsequent modifications as implemented by OMB. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

NCI is recognized as a Nonprofit having 501(c)(3) status with the IRS, all applicable record keeping applies. The Cooperative Agreement and each task agreement will specify requirements, and all applicable regulatory record keeping applies.

Account Identification:

14-1036-0-1-303.

Obligations:

(Direct Payments for Specified Use (Cooperative Agreements)) FY 12 \$20,693; FY 13 est \$50,000; and FY 14 est \$50,000 - Request for Reimbursement schedules vary depending on projects.

Range and Average of Financial Assistance:

Range: \$20,693 to \$20,693

Average: \$20,693.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: A total of 1 award was made in FY12. Fiscal Year 2013: No Current Data Available. Fiscal Year 2014: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

The following OMB Circulars and other regulations will be incorporated by reference into the Cooperative Agreement: 1. (a) OMB Circular A-110, as codified by 43 CFR Part 12, Subpart F, "Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and other Non-Profit Organizations." (b) OMB Circular A-122, "Cost Principles for Non-Profit Organizations." (c) OMB Circular A-133, "Audits of States, Local Governments, and Non-Profit Organizations." (d) 43 CFR Part 12, Subpart D, "Government-wide Debarment and Suspension (Non-procurement) and Government-wide Requirements for Drug-Free Workplace (Grants)." (e) 43 CFR Part 12, Subpart E, "Buy American Requirements for Assistance Programs." FAR Clause 52.203-12, Paragraphs (a) and (b), Limitation on Payments to Influence Certain Federal Transactions." 2. Non-Discrimination - All activities pursuant to this Agreement shall be in compliance with the requirements of Executive Order 11246; Title VI of the Civil Rights Act of 1964, as amended, (78 Stat. 252; 42 U.S.C. 2000d et seq.); Title V, Section 504 of the Rehabilitation Act of 1973, as amended, (87 Stat. 394; 29 U.S.C. 794); the Age Discrimination Act of 1975 (89 Stat. 728; 42 U.S.C. 6101 et seq.); and with all other federal laws and regulations prohibiting discrimination on grounds of race, color, sexual orientation, national origin, disabilities, religion, age, or sex. 3. Lobbying Prohibition - 18 U.S.C. 1913, Lobbying with Appropriated Moneys, as amended by Public Law 107-273, Nov. 2, 2002 - No part of the money appropriated by any enactment of Congress shall, in the absence of express authorization by Congress, be used directly or indirectly to pay for any personal service, advertisement, telegram, telephone, letter, printed or written matter, or other device, intended or designed to influence in any manner a Member of Congress, a jurisdiction, or an official of any government, to favor, adopt, or oppose, by vote or otherwise, any legislation, law, ratification, policy, or appropriation, whether before or after the introduction of any bill, measure, or resolution proposing such legislation, law, ratification, policy or appropriation, whether before or after the introduction of any bill, measure, or resolution proposing such legislation, law, ratification, policy, or appropriation; but this shall not prevent officers or employees of the United States or of its departments or agencies from communicating to any such Members or official, at his request, or to Congress or such official, through the proper official channels, requests for legislation, law ratification, policy, or appropriations which they deem necessary for the efficient conduct of the public business, or from making any communication whose prohibition by this section might, in the opinion of the Attorney General, violate the Constitution or interfere with the conduct of foreign policy, counter-intelligence, intelligence, or national security activities. Violations of this section shall constitute violations of section 1352(a) of title 31. 4. Anti-Deficiency Act - 31 U.S.C. 1341 - Nothing contained in this Agreement shall be construed as binding the NPS to expend in any one fiscal year any sum in excess of appropriations made by Congress for the purpose of this Agreement for that fiscal year, or other obligation for the further expenditure of money in excess of such appropriations. 5. Minority Business Enterprise Development - Executive Order 12432 - It is national policy to award a fair share of contracts to small and minority firms. The NPS is strongly committed to the objectives of this policy and encourages all recipients of its Cooperative Agreements to take

affirmative steps to ensure such fairness by ensuring procurement procedures are carried out in accordance with 43 CFR 12.944 for Institutions of Higher Education; Hospital and other Non-Profit Organizations, and 43 CFR 12.76 for State and Local Governments.

Regional or Local Office:

See Regional Agency Offices. Charles Beall
Chief of Interpretation and Education
North Cascades National Park
810 State Route 20
Sedro-Woolley, WA 98284
360-854-7302
Charles_beall@nps.gov.

Headquarters Office:

Deanne Adams, National Park Service, Pacific West Regional Office, 1111 Jackson Street, Suite 700, Oakland, California 94607 Phone: (360) 854-7302.

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available. Fiscal Year 2013: No Current Data Available. Fiscal Year 2014: No Current Data Available.

CRITERIA FOR SELECTING PROPOSALS:

Projects will be accomplished in cooperation with the three partners and be in accordance with established priorities. Funding source will be determine criteria for prioritization.

15.943 CHALLENGE COST SHARE

National Park Service Challenge Cost Share

FEDERAL AGENCY:

National Park Service, Department of the Interior

AUTHORIZATION:

Cost Share Arrangements with Partners Public Law 103-333 (16 U.S.C. 1f).

OBJECTIVES:

To increase participation by qualified partners in the preservation and improvement of NPS natural, cultural, and recreational resources in all authorized Service programs and activities.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

This program supports small-scale partnership projects with units of the National Park System, NPS centers and program areas, and National Trails. All projects and activities receiving these funds must meet a minimum 50:50 match of cash, goods, or services from a non-Federal source. Applications must be approved by appropriate NPS park, program, center, or trails staff. The maximum CCS award is \$30,000. For more specific information contact the regional office.

Applicant Eligibility:

Applications are considered on a regional basis and are submitted by NPS park, center, or program staff for competitive selection by the Regional Directors. Applications must also contain a non-Federal partner. Non-Federal partners include.

Beneficiary Eligibility:

General public, trail system users, and organizations with conservation missions.

Credentials/Documentation:

Equal or greater eligible non-Federal contribution with appropriate letter(s) of partner commitment documenting match, and approval of supporting NPS unit, center, program, or trail staff. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Contact Regional/Trail offices for more specific application information. Some

projects may be subject to the National Environmental Policy Act (NEPA). An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applicants must complete an application. Applicants should contact the appropriate Regional or Trail office for information regarding more specific project selection requirements. All applications must be approved by the appropriate park, center, program or trails staff. Project proposals are forwarded by parks, centers, program, and/or trail offices for competitive selection by the Regional Directors.

Award Procedure:

Project proposals received are forwarded by parks, centers, program, and/or trail offices for competitive selection by the Regional Directors. To be eligible for competitive evaluation, the applicant must meet the selection criteria as outlined in the Challenge Cost Share Program guidelines. Additional Regional criteria may apply. Within each region, projects will be ranked and rated. Available funding will be divided among the highest ranking projects. A Memorandum of Understanding (MOU) or the CCSP application can be used as the initial Partnership Agreement to establish the relationship and the intent of the partnership. If CCSP funds are transferred directly to a partner, a cooperative agreement or contract must be used. Direct procurement may be used if the award is used to purchase goods.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Regional approval times vary. Contact the appropriate Regional or Trail office Challenge Cost Share Coordinator for more information.

Appeals:

Not Applicable.

Renewals:

For those projects for which (1) planned work has not been completed during the fiscal year for whatever reason; or (2) work was intended to be phased or staged, a project status form must be filed with the appropriate Regional or Trail office for continuation of the project. All awards are dependent on appropriations.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: The non-Federal contribution(s) is at least 50% of the CCSP share and all costs detailed in the budget.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Funds must be used within the fiscal year during which they are allocated. Selected projects are intended to be completed within one year. A status report may be filed if a project is unable to be completed during the time allocated and projects funds can be extended into the next fiscal year. See the following for information on how assistance is awarded/released: Contact the headquarters or regional office.

Reports:

Regional Offices are expected to receive and maintain Project Completion Forms for all funded CCSP projects at their completion. Upon receipt, Regions are to ensure that the Form is complete and accurate. Copies of Project Completion Forms should be forwarded to the National CCSP Coordinator. A status report may be filed if a project is unable to be completed during the time allocated and projects funds can be extended into the next fiscal year. In cases where CCSP funds are awarded to develop publications, videos, pamphlets, displays, exhibits, and signs and/or the recipient disseminates the findings or products developed from the project in professional journals, books, film, videos, or conferences, a copy must be sent to the park, trail or program office; Regional Office; and National CCSP Coordinator as soon as these become available, even if they appear after completion of project work. Cash reports are not applicable. Progress reports are not applicable. The SF-425, Federal

Financial Report interim and final are required. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Project partners must provide a final listing of the matching share that was used to complete the assisted work. This includes records of cash contributions, donated supplies or loaned equipment, and volunteer services.

Account Identification:

14-1036-1-1-303.

Obligations:

(Direct Payments for Specified Use) FY 12 \$399,000; FY 13 est \$390,000; and FY 14 est \$374,400 - CCSP funds were requested in the Presidents budget; FY 12 No data available.

Range and Average of Financial Assistance:

Range: \$1,300 - \$25,000
Average: \$20,010.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: 26 projects were funded. Fiscal Year 2013: No Current Data Available. Fiscal Year 2014: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Steve Golden National Park Service, National Challenge Cost Share Coordinator, 1201 Eye St., NW, 9th Floor -Org Code 2240, Washington, District of Columbia 20005 Email: steve_golden@nps.gov Phone: (202) 354-6913 Fax: (202) 371-5179

Website Address:

<http://www.nps.gov/ccsp>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available. Fiscal Year 2013: No Current Data Available. Fiscal Year 2014: No Current Data Available.

CRITERIA FOR SELECTING PROPOSALS:

1. PROPOSED PROJECT MEETS A CRITICAL NEED: The proposal must demonstrate that, if funded, the project will address a critical resource or visitor use management need or issue faced by the park, site or NPS program.
2. PARTNER PARTICIPATION: The proposal ensures and demonstrates active full support, partnership, and participation by non-NPS entities ensuring a strong collaborative effort. All parties involved in the project benefit from its completion with work potentially strengthening a cooperative relationship between NPS and the partner(s). New partnerships, innovative/creative partnerships and multi-partner projects are encouraged. NOTE: Supporting letters from potential partners must accompany the proposal to document their willingness to participate in the project if funded.
3. TANGIBLE RESULTS: The proposal adequately addresses the outcomes and products of the project, in addition, the quality and usefulness of the products are adequately described. Within reason, the project adheres to a one year time frame.
4. COST EFFECTIVE: The budget narrative details sufficient, necessary, and reasonably valued costs for materials and services to complete proposed work within the scheduled time frame.
5. EQUAL OR GREATER ELIGIBLE NON-FEDERAL CONTRIBUTION:

The non-Federal contribution(s) is at least 50% of the CCSP share and all costs detailed in the budget narrative are directly related, necessary, eligible, and properly valued in accordance with 43 CFR 12. The contribution may be cash or in-kind.

6. COMPLETE APPLICATION PACKAGE: The application proposal must be complete.

7. PROJECT COMPLETION FORM: If applicable, the applicant has submitted a Project Completion Form or Project Status Form for a prior project. If one has not been received, or has been found unacceptable by the Regional Office CSSP Coordinator, then the proposal is not eligible for consideration for a new CSSP award until the deficiency is remedied.

8. ADDITIONAL REGION-SPECIFIC SELECTION CRITERIA: At a minimum, projects must meet the items listed above. However, Regional Directors may, at their discretion, develop additional criteria.

15.944 NATURAL RESOURCE STEWARDSHIP

FEDERAL AGENCY:

National Park Service, Department of the Interior

AUTHORIZATION:

Consolidated Natural Resources Act of 2008, Title III Section 301, Public Law 110-229, 122 Stat. 754-876; Omnibus Consolidated Appropriations Act of 1997 Public Law 104-208, Stat. 3009-181; Cooperative Agreements, 16 U.S.C. 1g; Omnibus Parks and Public Lands Management Act of 1996, Public Law 104-333; Challenge Cost Share 16 U.S.C. 1f; Research and Training 16 U.S.C. 1a-2j; Cooperative Ecosystem Study Units 16 U.S.C. 5933.

OBJECTIVES:

As a steward of the Nation's natural and cultural heritage, the primary responsibility of the NPS is to preserve and protect park resources and values. The NPS actively manages and conducts research on natural resources in the national park system to meet its statutory responsibility to preserve these resources unimpaired for future generations.

The Natural Resource Stewardship program is the principle means through which the NPS evaluates and improves the health of watersheds, landscapes, and marine and coastal resources, sustains biological communities on the lands and waters in parks, and actively endeavors to improve the resiliency of these natural resources and adapt them to the effects of climate change.

The National Park Service (NPS) conducts natural resource stewardship largely at the park level, utilizing park personnel and contractor or cooperative support. To carry out and further this stewardship responsibility, the Service implements programs that encompass a broad range of research, operational, and educational activities. The NPS inventories, evaluates, documents, preserves, protects, monitors, maintains, and interprets the natural resources at 392 park units, 21 trails and 58 wild and scenic rivers. NPS stewardship helps to perpetuate resources and allows for their continued appreciation, understanding, and enjoyment.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants); Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

Funds used for all aspects of natural resource (air, water, geological, biological) stewardship activities, including but not limited to resource inventories and monitoring, restoration projects, wildlife and plant management, and climate change mitigation and adaptation. The Secretary of Interior must determine that appropriations for the purpose of cooperative management of projects are available and an agreement is in the best interests of the United States. Funds may not be used for acquisition of real property. Contact the Headquarters Office for more information. Contact the Headquarters Office for more information.

Applicant Eligibility:

Applicant entity must have expertise in the contemplated resource management and/or research project.

Beneficiary Eligibility:

State and local governments, Federal recognized Indian Tribal governments,

public/private nonprofit organizations, nonprofit institutions of higher education, and individuals.

Credentials/Documentation:

All organizations will be required to comply with the requirements provided in the specific Funding Opportunity Announcement and award document. If applying as a nonprofit organization, proof of nonprofit status will be required. Examples of prior work in technical areas related to management and research in natural areas is required. Each organization submitting an application will be required to follow the Grants.gov registration requirements including registration in the DUNS and CCR systems. The procedures are provided at http://www.Grants.gov/applicants/get_registered.jsp. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination will be specified in the Funding Opportunity Announcement, if applicable. Environmental impact assessment or statement may be required for projects within this program. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Application packages will be posted for an Eligible Applicant's response utilizing the <http://www.grants.gov> website. Upon receipt of timely submissions, applications will be reviewed by the NPS and may be circulated for others to review. Notification of Awards will be provided to applicants by issuance of a Cooperative Agreement by the Awarding Official within the time specified on the announcement. The standard application forms required by 43 CFR Part 12, Subparts C and F, must be used. Application packages will be made available on www.Grants.gov.

Award Procedure:

Timely submissions will be reviewed in relationship to the evaluation criteria specified in the opportunity announcement. Awards will be made in the form of a cooperative agreement.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Not Applicable.

Renewals:

Recipients may request renewal of existing projects or additional projects to meet program goals and objectives. NPS will make awards based on mission needs and priorities. Renewals are contingent upon available appropriations to the program.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: Recipients share some project or program costs. The cost-sharing arrangements are developed between NPS and the recipients in advance of the program unless otherwise stated by Congress. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Assistance is provided on a project basis. See the following for information on how assistance is awarded/released: Funds are released on a reimbursable basis as costs are incurred.

Reports:

Program reports are not applicable. Cash reports are not applicable. Progress Reports are required at a minimum annually and final progress reports. Specific requirements are indicated in the award documents and may vary for given programs. The SF 425 Federal Financial Report is required. Both interim and final reports are required as described in the award documents. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Recipients will maintain records in accordance with the provisions of 43 CFR Part 12 and all other requirements specified in the Funding Opportunity Announcement and Cooperative Agreement.

Account Identification:

14-1036-0-1-303.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 12 \$8,089,163; FY 13 est \$6,000,000; and FY 14 est \$5,500,000 - In FY 2010 the program was established so no obligations are available to report. FY11 first year the CFDA number used. FY 2012 obligation estimate is \$3,000,000.

Range and Average of Financial Assistance:

Range: \$0 to \$628,578

Average: \$55,028.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: A total of 147 awards were made in FY12. Fiscal Year 2013: No current data available. Fiscal Year 2014: No current data available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Department of the Interior regulations regarding financial assistance is 43 CFR Part 12.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Gary Mason, National Park Service, Natural Resources Science and Stewardship, 1201 Eye Street, Washington, District of Columbia 20006 Email: gary_mason@nps.gov Phone: (202) 513-7204.

Website Address:

<http://www.nature.nps.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Specific criteria will be developed based on the objectives of individual projects and will be provided in Funding Opportunity Announcements. Generally, relevance to agency program mission and qualification of principle investigator and institution will be important to the acceptance of proposals.

15.945 COOPERATIVE RESEARCH AND TRAINING PROGRAMS RESOURCES OF THE NATIONAL PARK SYSTEM

Cooperative Ecosystem Studies Units (CESU) Network

FEDERAL AGENCY:

National Park Service, Department of the Interior

AUTHORIZATION:

TITLE 16 CONSERVATION; CHAPTER 79 - NATIONAL PARK SERVICE

MANAGEMENT; SUBCHAPTER II National Park System Resource

Inventory and

Management; Sections 5932, Research Mandate; Sec. 5933, Cooperative Agreements, (a)

Cooperative Study Units; and Sec. 5935, Availability for Scientific Study; NPS Challenge

Cost Share 16 U.S.C. 1f; Research and Training 16 U.S.C. 1a-2j; Funding of Research

Agreements (43 U.S.C. 1471f); Cooperative Agreements, 16 U.S.C. 1g, Title

II of the
1998 NPS Omnibus Act (P.L. 105-391).

OBJECTIVES:

To develop adequate, coordinated cooperative research, offer training programs and/or develop information products and to create, through cooperative agreements, cooperative study units to conduct multidisciplinary research to provide a solid science basis for the management of the National Park System or the larger region of which parks are a part as necessary to form the basis of sound park management. To establish cooperative study units to conduct multi-disciplinary research and develop integrated information products on the resources of the National Park System, or the larger region of which parks are a part. The establishment of a comprehensive network of such college and university based cooperative study units as will provide full geographic and topical coverage for research on the resources contained in units of the National Park System and their larger regions. (16 USC 5933). To more effectively achieve the mission of the National Park Service; to enhance management and protection of national park resources by providing clear authority and direction for the conduct of scientific study in the National Park System and to use the information gathered for management purposes; to ensure appropriate documentation of resource conditions in the National Park System; to encourage others to use the National Park System for study to the benefit of park management as well as broader scientific value; to encourage the publication and dissemination of information derived from studies in the National Park System. The objectives of the units (cooperative ecosystem study units) are to: Provide resource managers with high-quality scientific research, technical assistance, and education; provide resource managers with solid natural resource information; deliver research and technical assistance that is timely, relevant to resource managers, and needed to develop and implement sound adaptive management approaches; ensure the independence and objectivity of research; create and maintain effective partnerships among federal agencies and universities to share resources and expertise; take full advantage of university resources while benefiting faculty and students; provide research, technical assistance, and educational functions within the context of cultural, social, biological, and physical sciences; enhance the ability of Federal natural and cultural resource managers to generate, acquire, synthesize, act upon, and articulate to the public sound research and scientific information; encourage professional development of federal scientists and enhance their ability to manage federal science resources efficiently.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants); Direct Payments for Specified Use (Cooperative Agreements); Dissemination of Technical Information; Training; Use of Property, Facilities, and Equipment

USES AND USE RESTRICTIONS:

Administrative Requirements for Department of the Interior Financial Assistance Awards at 43 CFR Part 12, Subpart F must be followed. The Secretary of Interior must determine that appropriations for the purpose of cooperative management of projects are available and an agreement is in the best interests of the United States. Funds may not be used for acquisition of real property. Contact the Headquarters Office for more information. All awards require the application of the appropriate Cost Principles for Federal Financial Assistance, as specified for each type of organization. (2 CFR 220, 225, or 230). For further information, please contact the regional office.

Applicant Eligibility:

The CESU Network consists of colleges and universities, including but not limited to Land Grant schools, nongovernmental conservation organizations, state and local governments, and federally recognized American Indian tribal governments, in partnership with Federal natural resource and scientific agencies. State and local governments, federally recognized American Indian tribal governments, public/private nonprofit organizations, nonprofit institutions of higher education, and individuals. Applicant entity must have expertise in the contemplated scientific research, technical assistance, information dissemination, and educational functions within the context of cultural, social, biological, and physical sciences as applicable to park management. Prospective CESU Network nonfederal and federal partners follow a procedure which is outlined at the programs website.

Beneficiary Eligibility:

University faculty; federal employees by accessing increased opportunities for interdisciplinary, multi-agency research projects related to federal resource management issues; any entity which may, will, or can benefit from the contemplated activity; students, researchers, experts, and instructors as members of the general public.

Credentials/Documentation:

All organizations will be required to comply with the requirements provided in the specific Funding Opportunity Announcement and award document. If applying as a nonprofit organization, proof of nonprofit status will be required. Examples of prior work in technical areas related to management and research on public lands (e.g., National Park) may be required. Each organization submitting an application will be required to follow the Grants.gov registration requirements including registration in the DUNS and CCR systems. The procedures are provided at: http://www.Grants.gov/applicants/get_registered.jsp. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Pre-application coordination will be specified in the Funding Opportunity Announcement, if applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applicants, who wish to submit proposals for identified needs, will coordinate with the designated NPS CESU Research Coordinator. Opportunities will be announced in accordance with current policy and procedure of the NPS. Projects will be awarded as determined by the Secretary (generally through the project office or Superintendent) within the requirements of applicable existing bureau and agency regulation and policy. Cooperators will submit the required SF-424, Application for Federal Assistance and identify the entity and the project proposal in addition to a budget and SF-424B, Assurances for Federal Assistance.

Award Procedure:

Projects are identified and awarded based on priorities of the agency and available funding. Network partners may respond to a Funding Opportunity Announcement or Request for Interest. Timely submissions will be reviewed in relationship to the evaluation criteria specified in the opportunity announcement. Awards will be made in the form of a cooperative agreement.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Determined based on project specific requirements.

Appeals:

Not Applicable.

Renewals:

Recipients may request renewal of existing projects or additional projects to meet program goals and objectives. NPS will grant renewals based on mission needs, priorities, regulations, and Administrative Requirements. Renewals are contingent upon available appropriations to the program and need for further assistance.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching Requirements: Some projects may be conducted on a predetermined cost share basis. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Assistance is provided on a project basis and available appropriations. A project may last from 3 months to 5 years. See the following for information on how assistance is awarded/released: For further information, please contact the regional office.

Reports:

Unless otherwise specified in the award, additionally, all organizations will be required to submit yearly narrative summary accomplishment reports to the

National Park Service at the end of each project year. All projects and activities are monitored for progress and compliance within the agreed upon scope of work. Cash reports are not applicable. Progress reports are not applicable. Specific projects or activities for which funds are advanced shall be tracked and reported by submittal of Standard Form 270, Request for Advance or Reimbursement, and a quarterly or annual submittal of Standard Form 425, the Financial Report. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Recipients will maintain records in accordance with the provisions of 43 CFR Part 12, Subpart C, Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments. The Cooperator must maintain a complete detailed accounting system to report expenditures of funds and accomplishments achieved under the award. Records, accounts, and supporting documents must be retained for 3 years after submission for final request for reimbursement.

Account Identification:

14-1042-0-1-303 - NR&P; 14-1036-0-1-303 - Operation of the National Park System.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 12 \$34,635,002; FY 13 est \$38,098,502; and FY 14 est \$41,908,352

Range and Average of Financial Assistance:

Range: \$1,800 to \$972,903
Average: \$48,508.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: More than 500 awards were made in FY12. Fiscal Year 2013: No Current Data Available. Fiscal Year 2014: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Recipients of awards will comply with the applicable OMB Circular and DOI Regulations governing Financial Assistance awards at 43 CFR Part 12.

Regional or Local Office:

See Regional Agency Offices. <http://www.cesu.org>

Dr. Thomas E. Fish
National Coordinator
Cooperative Ecosystem Studies Units (CESU) Network
1849 C Street NW, Room #2723
Washington, DC 20240
Email: Tom_Fish@nps.gov
Phone: (202) 208-5972.

Headquarters Office:

(CESU) Network Dr. Thomas E. Fish
National Park Service.
Main Interior Building
1849 C Street NW, Room #2737, Washington, District of Columbia 20240
Email: Tom_Fish@nps.gov Phone: (202) 208-5972

Website Address:

<http://www.cesu.org>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: No Current Data Available. Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Specific projects will have particular requirements. The criteria will be contained in the Funding Opportunity Announcements on Grants.gov. Criteria may include: ability to provide research, technical assistance and education; demonstrated involvement with and responsiveness to Federal agency and interagency requirements, particularly in the area of Federal land management, environmental and research agencies; demonstrated potential contribution to fostering multi-disciplinary/multi-agency research related to ecosystem management; demonstrated ability to contribute to fostering interdisciplinary research related to cultural resource management, including historic preservation and heritage education; potential contribution to increasing the diversity of the scientific workforce. Host University Criteria: research Extensive/Intensive University which meet current Carnegie Classification (i.e., research focus, Ph.D. and M.S. students) are qualified to serve as host university; Involvement of faculty and graduate students in state-of-the-art research in disciplines relevant to ecosystem management, including biological, physical, social, and cultural sciences; ability to fully integrate Federal employee(s) into university activities (e.g., appropriate faculty appointments and equivalent support facilities, graduate committee memberships, opportunities for teaching advanced courses and seminars); ability to partner with at least one minority-serving institution; potential of the university to address significant ecosystem management issues and concerns of regional and national importance; ability to deliver research, technical assistance, and educational services to managers in multiple Federal land management, environmental and research agencies; access to critical resources (e.g., computing, GIS/remote sensing, library services, research laboratories, office space, etc.); focus on ecosystem-level issues and multi-disciplinary collaboration; potential of the university to provide complementary and needed research, technical assistance, and educational services to participating Federal agencies, and other characteristics relevant to regional and national science needs; inclusion as host or partner in the CESU no fewer than one of the following institutions: Historically Black College or University (HBCU), Predominantly Hispanic Serving Institution, Tribal College or University, or other post secondary minority institution; ability to attract minority students in graduate programs relevant to CESU; current programs to involve minority students in graduate programs relevant to the CESU; willingness to accept the network negotiated indirect cost rate by host, partner universities, and other partner institutions.

15.946 CULTURAL RESOURCES MANAGEMENT

FEDERAL AGENCY:

National Park Service, Department of the Interior

AUTHORIZATION:

Historic Sites Act 16 U.S.C.462e & 464; Archeological and Historic Preservation Act 16 U.S.C.469b1; National Historic Preservation Act 16 U.S.C.470a(b)6, 470w-7(b)2Biv, 470w-5(a), & 470a(d)4; Cooperative Agreements, 16 U.S.C. 1g; Omnibus Parks and Public Lands Management Act of 1996, Public Law 104-333; Research and Training 16 U.S.C. 1a-2j; Cost Sharing, 16 U.S.C. 1f; Omnibus Public Land Management Act of 2009, Public Law 111-11.

OBJECTIVES:

As a steward of the Nation's natural and cultural heritage, the primary responsibility of the NPS is to preserve and protect park resources and values. The NPS actively manages cultural resources in the national park system to meet its statutory responsibility to preserve these resources unimpaired for future generations.

The National Park Service (NPS) conducts cultural resource stewardship largely at the park level. To carry out and further this stewardship responsibility, the Service implements programs that encompass a broad range of research, operational, and educational activities. The NPS conducts: Research to identify, evaluate, document, register, and establish basic information about cultural resources and traditionally associated peoples; Planning to ensure that management processes for making decisions and setting priorities integrate information about cultural resources, and provide for consultation and collaboration with outside entities; and Stewardship to ensure that cultural resources are preserved and protected, receive appropriate treatments (including maintenance), and are made available

for public understanding and enjoyment. This work is conducted at all units of the NPS and helps to perpetuate resources and allows for their continued appreciation, understanding, and enjoyment. The solicitation, award and administration of Cooperative Agreements is done on a project by project bases generally at the park level. Additionally, the National Park Service is authorized by Congress as the principal federal agency to lead the Nation's efforts in historic preservation, providing for the identification, documentation, registration of, treatment of, and preservation planning for, the nation's historic and cultural resources. The National Park Service is directed by Congress and the National Historic Preservation Act to administer programs and partnerships with State, Tribal, and Local governments and nonprofit organizations.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants); Direct Payments for Specified Use (Cooperative Agreements)

USES AND USE RESTRICTIONS:

Funds used for all aspects of cultural resource stewardship activities, including but not limited to inventory, monitoring, research, rehabilitation, reconstruction, restoration, preservation, documentation, data recovery, education, and climate change mitigation and adaptation. The Secretary of Interior must determine that appropriations for the purpose of cooperative management of projects are available and an agreement is in the best interests of the United States. Funds may not be used for acquisition of real property. For further information, please contact the regional office.

Applicant Eligibility:

Generally, applicant entity must have expertise in the contemplated resource management and/or research project. Applicant eligibility will be specified in the Funding Opportunity Announcement, if applicable.

Beneficiary Eligibility:

State and local governments, Federal recognized Indian Tribal governments, nonprofit organizations, educational or scientific institutions, associations, individuals and in some cases corporations.

Credentials/Documentation:

Will be specified in the Funding Opportunity Announcement, if applicable. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program.

Award Procedure:

Projects are identified and awarded based on priorities of the agency and available funding. Will be specified in the Funding Opportunity Announcement, if applicable.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Generally 30- 120 days.

Appeals:

Will be specified in the Funding Opportunity Announcement, if applicable.

Renewals:

Recipients may request renewal of existing projects or additional projects to meet program goals and objectives. NPS will make awards based on mission needs and priorities. Renewals are contingent upon available appropriations to the program, and applicable regulations.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: Recipients may share some project or program costs. The cost-sharing arrangements are developed between NPS and the recipients in advance of the program unless otherwise stated by Congress.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Will be specified in the Funding Opportunity Announcement, if applicable. See the following for information on how assistance is awarded/released: Will be specified in the Funding Opportunity Announcement, if applicable.

Reports:

Program reports are not applicable. Cash reports are not applicable. Unless otherwise specified in the award, additionally, all organizations will be required to submit a yearly narrative summary accomplishment reports to the National Park Service at the end of each project year. Specific projects or activities for which funds are advanced shall be tracked and reported by submittal of, Standard Form 270, Request for Advance or Reimbursement, and a quarterly or annual submittal of Standard Form 425, the Financial Report . All projects and activities are monitored for progress and compliance within the agreed upon scope of work and in accordance with 43 CFR Part 12. Specific requirements will be specified in the Funding Opportunity Announcement.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Recipients will maintain records in accordance with the provisions of 43 CFR Part 12 and all other requirements specified in the Funding Opportunity Announcement and Cooperative Agreement.

Account Identification:

14-1042-0-1-303 - NR&P; 14-1036-0-1-303 - Operation of the National Park System; 14-5140-0-2-303 - HPF.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 12 \$17,268,551; FY 13 est \$14,819,094; and FY 14 est \$14,819,094

Range and Average of Financial Assistance:

Range: No minimum reported to \$5,533,000

Average: \$191,872.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: A total of 90 awards were made in FY12. Fiscal Year 2013: No Current Data Available. Fiscal Year 2014: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Recipients of awards will comply with the applicable OMB Circular and DOI Regulations governing Financial Assistance awards at 43 CFR Part 12

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Stephanie Toothman U.S. Department of the Interior, Main Building, 1849 C Street NW, Room #2737 , Washington , District of Columbia 20240 Phone: 202 2087625

Website Address:

<http://www.nps.gov/history/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Specific criteria will be developed based on the objectives of individual projects and will be provided in Funding Opportunity Announcements. Generally, relevance to agency program mission and qualification of principle investigator and institution will be important to the acceptance of proposals.

15.947 BOSTON HARBOR ISLANDS PARTNERSHIP

FEDERAL AGENCY:

National Park Service, Department of the Interior

AUTHORIZATION:

Boston Harbor Islands National Recreational Area 16 U.S.C. 460kkk(d); Boston Harbor Islands National Recreation Area to be Administered in Partnership - Boston Harbor islands National Recreation Area was established as a unit of the national park system by the Omnibus Parks and Public Lands Management Act of 1996 (P.L. 104-333), as amended. 16 U.S.C. 460 kkk (e)(2): Federal Operating Committee Established at Boston Harbor Islands - The Omnibus Parks and Public Lands Management Act of 1996 (P.L. 104-333), as amended. 16 U.S.C. 460 kkk (d): Cooperative Management of Lands Within Boston Harbor Islands National Recreation Area - The omnibus Public Land Management Act of 2009 (P.L. 111-11) amends 16 U.S.C. 460kkk(d) NPS Challenge Cost Share 16 U.S.C. If; NPS Cooperative Agreements, 16 U.S.C. Ig.

OBJECTIVES:

That "the recreation area shall be administered in partnership by the Secretary [of the Interior], the Commonwealth of Massachusetts, City of Boston and its applicable subdivisions and others in accordance with the provisions of law generally applicable to units of the National Park System," including the NPS Organic Act. Improvement of access through use of public water transportation, provide education and visitor information programs regarding natural and cultural resources are specific objectives related to the management of the recreation area. The Partnership will coordinate the activities of federal, state, and local authorities and the private sector in the development and implementation plan. NPS may enter into a cooperative management agreements with certain management partners of the park to acquire from and provide to the partners goods and services for cooperative management of lands within the Park. Work collaboratively with Partnership agencies and interested entities to provide for safe visitor access, public information, youth programs, resource management and citizen science and scholarly research.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

The Secretary may consult and enter into cooperative agreements with the Commonwealth of Massachusetts or its political subdivisions to acquire from and provide to the Commonwealth or its political subdivisions goods and services to be used in the cooperative management of lands within the recreation area, if the Secretary determines that appropriations for that purpose are available and the agreement is in the best interest of the United States. The Secretary is authorized, subject to the appropriation of necessary funds in advance, to construct essential administrative or visitor use facilities on non-Federal public lands within the recreation area. The Secretary shall provide the Partnership with such staff and the technical assistance as the Secretary, after consultation with the Partnership, considers appropriate to enable the partnership to carry out its duties. The Secretary may accept the services of personnel detailed from the Commonwealth of Massachusetts, any political subdivision of the Commonwealth or any entity represented on the Partnership. The Partnership may enter into cooperative agreements with the Commonwealth of Massachusetts, any political subdivision thereof, or with any organization or person for the purposes of carrying out the plan. Subject to DOI Regulations (43 CFR Part 12) and other policy on Financial Assistance, NPS Director's Order #20, NPS Agreements Handbook, and the park's enabling legislation. For further information, please contact the regional office.

Applicant Eligibility:

Eligible cooperators include: Members of the Boston Harbor Islands Partnership, and other public entities, education institutions, and private nonprofit organizations.

Beneficiary Eligibility:

General Public.

Credentials/Documentation:

As determined by project requirements. Each applicant will be required to have a DUNS number and be registered in the CCR at www.ccr.gov. OMB Cost Principles Circulars apply. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Consultation, coordination or assistance between the National Park Service (generally through Superintendent, Boston Harbor islands national park area) and the Partnership agencies or partners Will occur. Cooperators will submit SF 424, SF 424A, SF 424B and SF 424C and SF 424D when construction is involved in a project.

Award Procedure:

In accordance with programmatic authority, after project needs and requirements are developed, the National Park Service will issue the Partnership agency or partner a written agreement (Cooperative Agreement or Cooperative Management Agreement) for execution upon which the project can proceed. Department of the Interior policy allows projects that meet the criteria for single source opportunities to be issued by an agency. A Notice of Intent shall be placed on www.grants.gov to disclose the single source announcement and the criteria used to justify the noncompetitive award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

In accordance with applicable requirements for extensions and renewals under appropriate regulations.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

This program has no matching requirements. There is no legislative requirements for matching in individual agreements unless required by a specific fund source which will then be made known. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Dependent on fund source and type of project. See the following for information on how assistance is awarded/released: For further information, please contact the regional office.

Reports:

Program reports are not applicable. Cash reports are not applicable. In accordance with DOI Financial Assistance regulations (43 CFR Part 12), cooperators are required to submit an annual narrative progress report. Specific requirements will be outlined in any award document.disbursement. In accordance with DOI Financial Assistance regulations (43 CFR Part 12), cooperators are required to submit a Federal Financial Report, SF 425, for all financial transactions. Specific requirements will be outlined in any award document.disbursement. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records are maintained in accordance with 43 CFR 12.951. The cooperator must maintain a complete, detailed accounting system to report expenditures of funds and accomplishments achieved under the agreements. Records, accounts and supporting documents must be retained for three years after submission for final request of.

Account Identification:

14-1036-0-1-303 - Operation of National Park Service; 14-1039-0-1-303 - Construction.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 12 \$240,606; FY 13 est \$263,657; and FY 14 est \$263,657

Range and Average of Financial Assistance:

Range \$10,000 to \$123,050
Average \$ 60,151.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: A total of 4 awards were made in FY12. Fiscal Year 2013: No Current Data Available. Fiscal Year 2014: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Recipients of awards will comply with the applicable OMB Circular and DOI Regulations governing Financial Assistance awards at 43 CFR Part 12. NPS and DOI policy on cooperative agreements, the park's enabling legislation; Other park information can be found on the park's website at www.nps.gov/boha 16 USC 460kk(i)(11) Donations notwithstanding any other provision of law, the partnership may seek and accept donations of funds, property, or services from individuals, foundations, corporations, and other private and public entities for the purpose of carrying out this section.

Regional or Local Office:

See Regional Agency Offices. Superintendent, Boston Harbor Islands National Park Area, 408 Atlantic Avenue, Boston, MA 02110.

Headquarters Office:

Superintendent Boston Harbor Islands National Park Area, 408 Atlantic Avenue, Boston, Massachusetts 02110 Phone: Contact Park Superintendent

Website Address:

<http://www.nps.gov/boha>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available. Fiscal Year 2013: No Current Data Available. Fiscal Year 2014: No Current Data Available.

CRITERIA FOR SELECTING PROPOSALS:

Proposals are evaluated on the basis of: appropriateness for cooperative management of the park; relationship to parks strategic plan and goals; clarity of project objectives including final product or result; and availability of funds.

15.948 NATIONAL FIRE PLAN-WILDLAND URBAN INTERFACE COMMUNITY FIRE ASSISTANCE

FEDERAL AGENCY:

National Park Service, Department of the Interior

AUTHORIZATION:

Department of the Interior and Related Agencies Appropriations Act of 2001, Title IV, Public Law 106-291; 16 USC 1f, NPS Challenge Cost Share.

OBJECTIVES:

To implement the National Fire Plan and assist communities at risk from catastrophic wildland fires by providing assistance in the following areas: Provide community programs that develop local capability including: assessment and planning, mitigation activities, and community and homeowner education and action; plan and implement hazardous fuels reduction activities, monitoring or maintenance associated with such hazardous fuels reduction activities, on federal land, or on adjacent nonfederal land for activities that mitigate the threat of catastrophic fire to communities and natural resources in high risk areas; enhance local and small business employment opportunities for rural communities; enhance the knowledge and fire protection capability of rural fire districts by providing assistance in education, and mitigation methods on a cost share basis.

TYPES OF ASSISTANCE:

Advisory Services and Counseling; Cooperative Agreements (Discretionary Grants); Dissemination of Technical Information; Provision of Specialized Services; Use of Property, Facilities, and Equipment

USES AND USE RESTRICTIONS:

Hazard reduction activities are restricted to Federal lands, or adjacent nonfederal land for activities that benefit resources on Federal land. Assistance can be used to help the NPS support community based efforts addressing defensible space and fuels management issues; support outreach and education efforts associated with fuels management and risk reduction activities; and increase the effectiveness of rural fire protection. Funding is highly variable each fiscal year. For further information, please contact the regional office.

Applicant Eligibility:

States and local governments at risk as published in the Federal Register, Indian Tribes, public and private education institutions, nonprofit organizations.

Beneficiary Eligibility:

States and local governments at risk as published in the Federal Register, Indian Tribes, public and private education institutions, nonprofit organizations.

Credentials/Documentation:

For grants awarded, cost will be determined in accordance with OMB Circular No. A-87 for State and Local Governments; 2 CFR Part 225 (OMB Circular No. A-21 for educational institutions; 2 CFR 230)OMB Circular No. A-122 for nonprofit organizations; and Federal Acquisition Regulation Subpart 31.2 for private foundations, firms, individuals, and other nonprofits) excluded from coverage under OMB Circular No. A-122. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

Wildland Urban Interface community projects are reviewed at Region level and funding recommendations are made through each Regions annual work plan. Final budget approvals rest with the Regional Director or Park Unit Manager.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Award time varies depending on the type of complexity of the project.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formal and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. The program has no matching requirements, however, matching funds by the applicant are encouraged and those projects are more heavily weighted in the selection process.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

No specific restrictions apply, however, most projects are funded on a year-to-year basis and funds are normally expended during the current fiscal year. See the following for information on how assistance is awarded/released: Frequency of recipient payment will be determined for each awarded assistance agreement at time of award.

Reports:

Unless otherwise stated in the award document, recipients are required to submit quarterly, semi-annual or annual performance reports 30 days following the end of the reporting period. For any grant or cooperative agreement that is terminated, transferred to a new grantee, or will not be extended, grantees must submit a final performance report 90 days after the end date of grant performance. Cash reports are not applicable. Progress reports are not applicable. Recipients are required to submit quarterly, SF-425, Federal Financial Reports 30 days following the end of the reporting period unless

otherwise stated in the award document . A final SF-425 is required 90 days after the end date of grant performance. Performance monitoring is not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records relating to work performed and costs are kept by the Bureau. There is no fixed records schedule. Records for grants awarded to State, Local and Indian Tribal Governments will be maintained in accordance with the provisions of 43 CFR Part 12, Subpart C, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments." Records for grants awarded to institutions of higher education and other nonprofit organizations will be maintained in accordance with the provisions of 43 CFR Part 12, Subpart F, "Uniform Administrative Requirements for Grants and Agreements With Institutions of Higher Education, Hospitals, and Other Nonprofit Organizations".

Account Identification:

14-1125-0-1-302.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 12 \$25,603; FY 13 est \$183,200; and FY 14 est \$183,200

Range and Average of Financial Assistance:

Range \$25,603 to \$25,603

Average \$25,603.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: 1 award was made in FY12. Fiscal Year 2013: No Current Data Available. Fiscal Year 2014: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Recipients of awards will comply with the applicable regulations and OMB Circular and DOI Regulations governing Financial Assistance awards at 43 CFR Part 12.

For grants awarded, cost will be determined in accordance with OMB Circular No. A-87 for State and Local Governments; 2 CFR Part 225 (OMB Circular No. A-21 for educational institutions; 2 CFR 230)OMB Circular No. A-122 for nonprofit organizations; and Federal Acquisition Regulation Subpart 31.2 for private foundations, firms, individuals, and other nonprofits) excluded from coverage under OMB Circular No. A-122. OMB Circular No. A-87 applies to this program.

NPS's wildland urban interface community assistance program is guided by the provisions of the National Fire Plan. A variety of public information on this plan is available by contacting the appropriate Regional Office or the National Interagency Fire Center's web site at: <http://www.nifc.gov>.

Regional or Local Office:

See Regional Agency Offices. See Catalog Appendix IV for addresses.

Headquarters Office:

National Community Assistance Program Lead National Park Service , National Interagency Fire Center, 3833 S. Development Ave., , Idaho Phone: . , Boise, Idaho 83705 Phone: (208) 387-5206

Website Address:

<http://www.forestsandrangelands.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available. Fiscal Year 2013: No Current Data Available. Fiscal Year 2014: No Current Data Available.

CRITERIA FOR SELECTING PROPOSALS:

Criteria used to select assistance proposals are based on their ability to reduce the risk of wildland fire to community values and to increase the capabilities of rural fire organizations, in the vicinity of Federal lands.

15.949 NATIONAL FIRE PLAN - RURAL FIRE ASSISTANCE

FEDERAL AGENCY:

National Park Service, Department of the Interior

AUTHORIZATION:

Department of the Interior and Related Agencies Appropriations Act of 2005, Title I, Public Law 108-447. This program was first implemented in the Department of the Interior and Related Agencies Appropriations Act of 2001, Title IV, Public Law 106-291; 16 USC 1f, NPS Challenge Cost Share.

OBJECTIVES:

To implement the National Fire Plan by increasing firefighter safety and enhancing the knowledge and fire protection capability of rural fire departments by providing assistance in education and training, protective clothing and equipment purchase, and support to public education efforts on a cost share basis.

TYPES OF ASSISTANCE:

Advisory Services and Counseling; Cooperative Agreements (Discretionary Grants); Dissemination of Technical Information; Provision of Specialized Services; Training; Use of Property, Facilities, and Equipment

USES AND USE RESTRICTIONS:

Emphasis is placed on fire departments that protect rural communities and play a substantial cooperative role in the protection of the Department of the Interior managed public lands. For further information, please contact the regional office.

Applicant Eligibility:

State and local government, Public nonprofit institution/organizations, Other public institution/organization, Federally Recognized Indian Tribal Government, Private nonprofit institution/organization, Native American Organization, and rural fire departments serving a community with a population of 10,000 or less in the wildland/urban interface. All applicants must be rural fire departments serving a community with a population of 10,000 or less in the wildland/urban interface.

Beneficiary Eligibility:

State, Local, Public Nonprofit Institution/Organization, Other Public Institution/Organization, Federally Recognized Indian Tribal Government, Small Business, Profit Organization, Private Organization, Anyone/General Public, Native American Organization, Farmer/Rancher/Agriculture Producer, Homeowner, Land/Property Owner, Suburban, and Rural.

Credentials/Documentation:

The following criteria applies for a fire department to participate in the program: Statewide agreement with the State Forester, who maintains cooperative fire agreements with the rural fire department/volunteer fire department, or a cooperative fire agreement with an Interior Bureau; rural fire department serving a community with a population of 10,000 or less in the wildland/urban interface; and the funding request is limited to training, equipment, and prevention activities. The rural fire department must have the capability to meet cost share at a minimum of 10% which may include in-kind services. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Rural Fire Assistance is coordinated through each State Forester and the Regional Office, or National Park unit. Procedures may be found on the National Interagency Fire Center website at: <http://www.nifc.gov/rfa/index.html>. No specific application forms apply, except for grants awarded, the standard application forms furnished by the Federal agency and required by 43 CFR Part 12, Subpart C, "Uniform Administrative Requirements for Grants and Cooperative

Agreements to State and Local Governments," and 43 CFR Part 12, Subpart F, "Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Nonprofit Organizations", must be used by this program.

Award Procedure:

To ensure consistency within existing rural fire assistance programs, the Department of the Interior bureaus work with the State Foresters and other Federal partners to ensure that funding is allocated in the amount of the award to qualified and suitable rural fire districts. Specific information will be provided at the local or regional office, as appropriate for application deadlines or visit the National Interagency Fire Centers website at: <http://www.nifc.gov/rfa/index.html>.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Rural fire assistance projects are approved by the Bureau/Service/Park Unit in coordination with the State Forester and other Federal partners. Normally all grant requests are received and awards made during the same fiscal year.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching Requirements: This program includes matching requirements. For example, rural fire departments must have the capability to meet cost share at a minimum of 10% which may include in kind services. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Projects are funded on a single year basis and normally funds are expended during the fiscal year of the award. See the following for information on how assistance is awarded/released: For further information, please contact the regional office.

Reports:

No program reports are required. No cash reports are required. Performance monitoring schedules and/or progress reports will be developed in consultation with the applicant, but at a minimum will take place at least once during the life of a project, in accordance with 43 CFR Part 12, Department of the Interior regulations for Federal Financial Assistance. SF 425. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records relating to work performed and costs are kept by the recipient. There is no fixed records schedule. Records for grants awarded to State, Local and Indian Tribal Governments will be maintained in accordance with the provisions of 43 CFR Part 12, Subpart C, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments." Records for grants awarded to nonprofit organizations will be maintained in accordance with the provisions of 43 CFR Part 12, Subpart F, "Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Nonprofit Organizations".

Account Identification:

14-1125-0-1-302.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 12 \$0; FY 13 est \$55,500; and FY 14 est \$55,500

Range and Average of Financial Assistance:

Range No data reported
Average No data reported.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: No Current Data Available. Fiscal Year 2013: No Current Data Available. Fiscal Year 2014: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Recipients of awards will comply with the applicable regulations and OMB Circular and DOI Regulations governing Financial Assistance awards at 43 CFR Part 12.

For grants awarded, cost will be determined in accordance with OMB Circular No. A-87 for State and Local Governments; OMB Circular No. A-21 for educational institutions; OMB Circular No. A-122 for nonprofit organizations; and Federal Acquisition Regulation Subpart 31.2 for private foundations, firms, individuals, and other nonprofits excluded from coverage under OMB Circular No. A-122.

The Department of the Interior's rural fire assistance program is guided by the provisions of the National Fire Plan. A variety of public information on this plan is available by contacting the appropriate Bureau/Service Office or the National Interagency Fire Center website at: <http://www.nifc.gov/rfa/index.html>.

Regional or Local Office:

See Regional Agency Offices. See Catalog Appendix IV for addresses.

Headquarters Office:

National RFA Program Lead National Park Service, National Interagency Fire Center, 3833 S. Development Ave, Boise, Idaho 83705 Phone: (208) 387-5200

Website Address:

<http://www.nifc.gov/rfa/index.html>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available. Fiscal Year 2013: No Current Data Available. Fiscal Year 2014: No Current Data Available.

CRITERIA FOR SELECTING PROPOSALS:

Criteria for ranking eligible Rural Fire Departments, relative to other applicants, for funding allocation are: The fire department's frequency of responses to wildland/urban fire incidents in the local area; the fire department's wildland prevention and education program needs; the fire department's training program needs; the communities and Department of the Interior's values to be protected; the percentage of wildland/urban lands; and the number of wildland fire engines in the department relative to the percentage of wildland/urban interface acres protected.

15.954 NATIONAL PARK SERVICE CONSERVATION, PROTECTION, OUTREACH, AND EDUCATION

FEDERAL AGENCY:

National Park Service, Department of the Interior

AUTHORIZATION:

16 U.S.C. 1g Cooperative Agreements, Title 16 Conservation, Chapter 1 National Parks, Military Parks, Monuments, and Seashores, Subchapter 1 National Park Service, Section 1g Cooperative Agreements.

OBJECTIVES:

To support projects complementary to National Park Service program efforts in resource conservation and protection, historical preservation and environmental sustainability. These projects may include but are not limited to research, education, outdoor recreation and community outreach and safety. The objectives include promoting the use of culturally diverse resources and environmental advocacy in collaboration and cooperation with state, tribal, and local governments, nonprofit organizations and educational institutions.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Applications must be for projects within the National Park Service area of responsibility. The Secretary of the Interior must determine that appropriations for the purpose of cooperative management of projects are available and an agreement is in the best interests of the United States. Funds may not be used for acquisition of real property. Contact Headquarters Office for more information.

Applicant Eligibility:

State, local and tribal governments, other public entities, educational institutions, and nonprofit organizations may submit an application for support. Due to limited availability of funds to support new external projects, consultation with NPS is strongly recommended prior to submission of applications.

Beneficiary Eligibility:

Profit organizations, public nonprofit institutions/organizations, private nonprofit institutions/organizations, and State, local and tribal governments, industry and public decision makers, research scientists, engineers, and the general public will ultimately benefit from knowledge gained under the program.

Credentials/Documentation:

Proposals must furnish evidence of the applicant's qualifications for performance of the proposed project. Costs will be determined in accordance with 2 CFR Part 225 for State, local, and Indian Tribal governments; 2 CFR Part 220 for educational institutions; 2 CFR Part 230 for nonprofit organizations; and Federal Acquisition Regulation Subpart 31.2 for private firms, individuals, and other nonprofits excluded from coverage under 2 CFR 230. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. OMB Circular No. A-102; 2 CFR Parts 215, 220, 225, and 230 apply to this program. These are typically individually evaluated and funded projects; there is no annual program announcement, prospectus or other invitation for applications. The standard application form SF 424 and other forms required by OMB Circular No. A-102 and 2 CFR Part 215, or their successor(s), and the Department of the Interior regulation at 43 CFR Part 12, Subparts C and D, or its revisions. The application requirements as contained in the announcement package and the above regulations must be used for this program. Applications may be submitted through the internet site <http://www.grants.gov> or as instructed by the Contracting Officer/Agreements Officer.

Award Procedure:

Notification of an award is by receipt of a Cooperative Agreement from a National Park Service Contracting Officer/Agreements Officer.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Generally, 30-120 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: Recipients may share some project or program costs. The cost-sharing arrangements are developed between NPS and the recipients in advance of the program unless otherwise stated by Congress.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Cooperative Agreements will normally be awarded in, but not restricted to, 12-month budget periods. See the following for information on how assistance is awarded/released: Recipients request payment or draw down as needed throughout the award period in accordance with the approved budget for immediate needs.

Reports:

No program reports are required. No cash reports are required. In accordance with the provisions of 2 CFR Part 215 and OMB Circular No. A-102. In accordance with the provisions of 2 CFR Part 215 and OMB Circular No. A-102. In accordance with the provisions of 2 CFR Part 215 and OMB Circular No. A-102.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Recipients will maintain records in accordance with the provisions of 43 CFR Part 12 and all other requirements specified in the Funding Opportunity Announcement and Cooperative Agreement.

Account Identification:

14-1036-0-1-303.

Obligations:

(Cooperative Agreements) FY 12 \$9,372,746; FY 13 est \$11,500,000; and FY 14 est \$11,500,000

Range and Average of Financial Assistance:

Range: \$2,000 to \$925,000

Average: \$66,473.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: A total of 141 awards were made in FY12. FY2013: No current data available. Fiscal Year 2013: No current data available. Fiscal Year 2014: No current data available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Recipients of awards will comply with the applicable regulations and OMB Circular and DOI Regulations governing Financial Assistance awards at 43 CFR Part 12.

For Cooperative Agreements awarded, cost will be determined in accordance with 2 CFR 225 for State and Local Governments; 2 CFR 220 for educational institutions; 2 CFR 230 for nonprofit organizations; and Federal Acquisition Regulation Subpart 31.2 for private foundations, firms, individuals, and other nonprofits excluded from coverage under OMB Circular No. A-122.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Heidi Sage National Park Service, 12795 W. Alameda Parkway, Lakewood, Colorado 80228 Email: Heidi_Sage@nps.gov Phone: 303-969-2065

Website Address:

<http://www.nps.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Specific criteria will be developed based on the objectives of individual projects

and will be provided in Funding Opportunity Announcements. Generally, relevance to agency program mission and qualification of principle investigator and organization will be important to the acceptance of the proposals.

15.955 MARTIN LUTHER KING JUNIOR NATIONAL HISTORIC SITE AND PRESERVATION DISTRICT

FEDERAL AGENCY:

National Park Service, Department of the Interior

AUTHORIZATION:

Martin Luther King, Junior, National Historic Site and Preservation District, Public Law 96-428, Oct 10, 1980; 16 U.S.C. 1g Cooperative Agreements, Title 16 Conservation, Chapter 1 National Parks, Military Parks, Monuments, and Seashores, Subchapter 1 National Park Service, Section 1g Cooperative Agreements.

OBJECTIVES:

The Martin Luther King, Junior, National Historic Site and Preservation District was established October 10, 1980 in order to protect and interpret for the benefit, inspiration and education of present and future generations the places where Martin Luther King, Junior, was born, where he lived, worked, and worshipped, and where he is buried.

TYPES OF ASSISTANCE:

Advisory Services and Counseling; Cooperative Agreements

USES AND USE RESTRICTIONS:

The Secretary may enter into cooperative agreements with the owners of properties of historical or cultural significance as determined by the Secretary, pursuant to which the Secretary may mark, interpret, improve, restore, and provide technical assistance with respect to the preservation and interpretation of such properties. Such agreements shall contain, but need not be limited to, provisions that the Secretary shall have the right of access at reasonable times to public portions of the property for interpretive and other purposes, and that no changes or alterations shall be made in the property except by mutual agreement. The authorities in this subsection shall also be available to the Secretary with respect to properties within the Martin Luther King, Junior, Preservation District.

Applicant Eligibility:

Eligible applicants for cooperative agreements and other appropriate technical assistance are owners of properties of historical or cultural significance and properties within the Martin Luther King, Junior, Preservation District.

Beneficiary Eligibility:

State, local and tribal governments, public nonprofit institutions/organizations, private nonprofit institutions/organizations, general public, institutions of higher education will ultimately benefit from knowledge gained under this program.

Credentials/Documentation:

Proposals must furnish evidence of the applicant's qualifications for performance of the proposed project. Costs will be determined in accordance with 2 CFR Part 225 for State, local, and Indian Tribal governments; 2 CFR Part 220 for educational institutions; 2 CFR Part 230 for nonprofit organizations; and Federal Acquisition Regulation Subpart 31.2 for private firms, individuals, and other nonprofits excluded from coverage under 2 CFR 230. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. 2 CFR Parts 215, 220, 225, and 230 apply to this program. Consultation, coordination or assistance with the National Park Service will occur. Submittal of SF 424, SF 424A, SF 424B, or SF 424C, SF 424D when construction is involved in a project, is required.

Award Procedure:

Projects are identified and awarded based on priorities of the agency and available funding and will be specified in the applicable Funding Opportunity Announcement.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Generally 30-120 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Recipients may share some project or program costs. The cost-sharing arrangements are developed between NPS and the recipients in advance of the program unless otherwise stated by Congress.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Specific project information will be specified in each Funding Opportunity Announcement. See the following for information on how assistance is awarded/released: Specific project information will be specified in each Funding Opportunity Announcement.

Reports:

Program reports are not applicable. Cash reports are not applicable. Progress reports are not applicable. Specific projects or activities for which funds are advanced shall be tracked and reported by quarterly or annual submittal of Standard Form 425, the Financial Report. Unless otherwise specified in the award, all organizations will be required to submit yearly narrative summary accomplishment reports to the National Park Service at the end of each project year. All projects and activities are monitored for progress and compliance within the agreed upon scope of work.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Recipients will maintain records in accordance with the provisions of 43 CFR Part 12 and all other requirements specified in the Funding Opportunity Announcement and/or award document.

Account Identification:

14-1036-0-1-303.

Obligations:

(Cooperative Agreements) FY 12 \$971,000,000; FY 13 est \$971,000,000; and FY 14 est \$0

Range and Average of Financial Assistance:

Range: \$809,196.00 to \$971,000.00

Average: \$890,098.00.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Recipients of awards will comply with the applicable OMB Circular and DOI Regulations governing Financial Assistance awards at 43 CFR Part 12.

For Cooperative Agreements, cost will be determined in accordance with 2 CFR 225 for State and Local Governments;

2 CFR 220 for educational institutions; 2 CFR 230 for nonprofit organizations; and Federal Acquisition Regulation Subpart 31.2 for private foundations, firms, individuals, and other nonprofits excluded from coverage under OMB Circular No. A-122.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Heidi Sage National Park Service, 12795 W. Alameda Parkway, Lakewood, Colorado 80228 Phone: 303-987-6739

Website Address:

<http://www.nps.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria will be developed based on the objectives of individual projects and will be provided in specific project Funding Opportunity Announcements. Relevance to legislative goals/outcomes will be an essential element of the criteria cited.

15.956 EBEYS LANDING NATIONAL HISTORICAL RESERVE AND EBEYS LANDING NATIONAL HISTORICAL RESERVE TRUST BOARD

FEDERAL AGENCY:

National Park Service, Department of the Interior

AUTHORIZATION:

16 USC 1g provides that the National Park Service may enter into cooperative agreements that involve the transfer of National Park Service appropriated funds to State, local and tribal governments, other public entities, educational institutions, and private nonprofit organizations for the public purpose of carrying out National Park Service programs pursuant to 31 U.S.C. 6305 to carry out public purposes of National Park Service programs.

The Outdoor Recreation Act, as amended, 16 U.S.C. 4601-1(f), (1988), states that the Secretary is authorized to sponsor, engage in, and assist in research, undertake studies through cooperative agreements, and cooperate with educational institutions in establishing educational programs and activities relating to outdoor recreation.

PL 95-625 authorizes the Secretary of Interior to transfer management and administration of the Reserve to an appropriate unit of local government, known as the Ebey's Landing National Historical Reserve. The Comprehensive Plan for the Reserves calls for a Cooperative Agreement between Island County, the Town of Coupeville, the Washington State Park and Recreation Commission (State Parks), and the United States Department of the Interior.

The Interlocal Cooperation Act (Chapter 39.34 RCW) permits local governmental units to enter into joint powers agreements with each other, with State Parks and the National Park Service (RCW 39.34.030).

OBJECTIVES:

Management of the Reserve as provided in the Comprehensive Plan for the Reserve and in accordance with the Cooperative Agreement between the NPS and the Trust Board

Administration and protection of sites, facilities and interests in land acquired and retained by the NPS, and by mutual written agreement between the Trust Board and a land-owner, other lands within the Reserve.

Administration of programs within the scope of the Reserve purposes. Monitoring and evaluation of compliance with and effectiveness of various conservation measures being used within the Reserve.

Participation in the land use review process of both Island County and the Town of Coupeville, to assure protection of valuable resources of the Reserve.

Cooperation with Town and County departments and staff to assure awareness and protection of valuable resources of the Reserve during routine

government activities.

Entering into contracts to provide necessary material and services to develop and maintain facilities and enhance and protect the resources of the Reserve.

Entering into contracts with individuals, private organizations and local community and governmental bodies to protect, research enhance document and interpret the resources of the Reserve.

The Trust Board shall have the authority to accept and expend funds from the parties to this agreement and from other public and private sources for activities and purposes related to the operation of the Reserve, subject to the limitations established by the granting authority, organization or individual.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use (Cooperative Agreements); Provision of Specialized Services

USES AND USE RESTRICTIONS:

The Ebey's Landing National Historical Reserve was established in 1978 to preserve and protect a rural community which provides an unbroken historical record from nineteenth century exploration and settlement in Puget Sound to the present time. (National Parks and Recreation Act 1978, PL 95-625).

The Trust Board of Ebey's Landing National Historical Reserve was established to manage and administer the Reserve. The Trust Board is comprised of nine members, from Island County (3), Town of Coupeville (3), State Parks (1), and the National Park Service (1). Discretionary appropriated funds shall be made available for Task Agreements on a case-specific basis.

Applicant Eligibility:

The authorizing legislation for Ebey's Landing National Historical Reserve states that the Secretary of Interior is authorized to transfer management and administration of the Reserve to an appropriate unit of local government. A joint administrative board called the Ebey's Landing National Historical Reserve Trust Board (Trust Board), was created in accordance with the provision of RCW 39.34.030. The Trust Board consists of nine members: Three representatives of Island County, residing in the Reserve, three representatives from the Town of Coupeville, one [Washington] State Park representative, and one National Park Service representative. The Trust Board complies with the Open Public Meeting Act Chapter 42.30 RCW and members of the Trust Board are enrolled as Volunteers in Parks (VIP).

Beneficiary Eligibility:

Other Public Institution/organization.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

All funded projects will be developed collaboratively between the National Park Service and the Trust Board and executed in accordance with availability of appropriations, application law and regulations including DOI Regulations regarding Financial Assistance awards at 43 CFR Part 12. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Projects will be documented in task agreements to be issued under the terms and conditions of a master Cooperative Management Agreement. Each project will include the appropriate documentation for a Financial Assistance award in accordance with National Park Service and DOI policy and regulation.

Award Procedure:

Projects will be selected based on criteria developed with the partners and as approved by the Deputy Regional Director, Pacific West Regional Office. All awards will be made in accordance with Department of Interior Policy, Departmental Manual 505DM2 based upon Unique Qualifications.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

In accordance with applicable law and regulation.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: The US Department of the interior through the National Park Service shall request an appropriation through customary budgetary procedures to defray a portion not to exceed 50%--of annual operational costs of the Reserve. The remaining costs, which may consist of direct financial contributions or in-kind services, will be provided for from other sources.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Not applicable. See the following for information on how assistance is awarded/released: Not applicable.

Reports:

Program reports are not applicable. Cash reports are not applicable. Progress reports are not applicable. Reports, if any, shall be specified in each Task Agreement and DOI regulations (OMB Circular A-110 and the Common Rule 43 CFR Part 12, Subpart F, Paragraphs 12.951 through 12.953, and 12.971 through 12.973) and subsequent modifications as implemented by OMB. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

The Trust Board is a unit of Local Government. The Cooperative Management Agreement and each task agreement will specify requirements, and all applicable regulatory record keeping applies.

Account Identification:

14-1036-0-1-303.

Obligations:

(Direct Payments for Specified Use (Cooperative Agreements)) FY 12 \$180,000; FY 13 est \$194,000; and FY 14 est \$194,000

Range and Average of Financial Assistance:

Insert the range of your awards Operations \$108,100 - \$180,000; Average award - \$155,620.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Host Preservation Field School, Replaced various ineffective signs throughout Ebey's Landing NHR, Replaced failing fences. Fiscal Year 2013: No current data available. Fiscal Year 2014: No current data available.

REGULATIONS, GUIDELINES, AND LITERATURE:

The following OMB Circulars and other regulations will be incorporated by reference into the Cooperative Agreement:

(a) OMB Circular A-133, Audits of States, Local Governments, and Non-Profit Organizations.

(b) 43 CFR Part 12, Subpart D, Government-wide Debarment and Suspension (Non-procurement) and Government-wide Requirements for Drug-Free Workplace (Grants).

(c) 43 CFR Part 12, Subpart E, Buy American Requirements for Assistance Programs. FAR Clause 52.203-12, Paragraphs (a) and (b), Limitation on Payments to Influence Certain Federal Transactions.

2. Non-Discrimination - All activities pursuant to this Agreement shall be in

compliance with the requirements of Executive Order 11246; Title VI of the Civil Rights Act of 1964, as amended, (78 Stat. 252; 42 U.S.C. 2000d et seq.); Title V, Section 504 of the Rehabilitation Act of 1973, as amended, (87 Stat. 394; 29 U.S.C. 794); the Age Discrimination Act of 1975 (89 Stat. 728; 42 U.S.C. 6101 et seq.); and with all other federal laws and regulations prohibiting discrimination on grounds of race, color, sexual orientation, national origin, disabilities, religion, age, or sex.

3. Lobbying Prohibition - 18 U.S.C. 1913, Lobbying with Appropriated Moneys, as amended by Public Law 107-273, Nov. 2, 2002 - No part of the money appropriated by any enactment of Congress shall, in the absence of express authorization by Congress, be used directly or indirectly to pay for any personal service, advertisement, telegram, telephone, letter, printed or written matter, or other device, intended or designed to influence in any manner a Member of Congress, a jurisdiction, or an official of any government, to favor, adopt, or oppose, by vote or otherwise, any legislation, law, ratification, policy, or appropriation, whether before or after the introduction of any bill, measure, or resolution proposing such legislation, law, ratification, policy, or appropriation; but this shall not prevent officers or employees of the United States or of its departments or agencies from communicating to any such Members or official, at his request, or to Congress or such official, through the proper official channels, requests for legislation, law, ratification, policy, or appropriations which they deem necessary for the efficient conduct of the public business, or from making any communication whose prohibition by this section might, in the opinion of the Attorney General, violate the Constitution or interfere with the conduct of foreign policy, counter-intelligence, intelligence, or national security activities. Violations of this section shall constitute violations of section 1352(a) of title 31.

4. Anti-Deficiency Act - 31 U.S.C. 1341 - Nothing contained in this Agreement shall be construed as binding the NPS to expend in any one fiscal year any sum in excess of appropriations made by Congress for the purposes of this Agreement for that fiscal year, or other obligation for the further expenditure of money in excess of such appropriations.

5. Minority Business Enterprise Development - Executive Order 12432 - It is national policy to award a fair share of contracts to small and minority firms. The NPS is strongly committed to the objectives of this policy and encourages all recipients of its Cooperative Agreements to take affirmative steps to ensure such fairness by ensuring procurement procedures are carried out in accordance with 43 CFR 12.944 for Institutions of Higher Education; Hospitals and other Non-Profit Organizations, and 43 CFR 12.76 for State and Local Governments.

Regional or Local Office:

See Regional Agency Offices. Mark Preiss, Reserve Manager
PO Box 774
Coupeville, WA 98239
360-678-6084
Mark_Preiss@partner.nps.gov

Craig Holmquist, Operations Manager
Ebey's Landing National Historical Reserve
Reuble Farmstead
593 Fort Casey Road
Coupeville, Washington 98239
360-678-5787
Craig_holmquist@nps.gov

Barry Fetzer
Supervisory Contract Specialist
North Cascades National Park
810 State Route 20
Sedro-Woolley, WA 98284
360-854-7219
Barry_fetzer@nps.gov.

Headquarters Office:

Pacific West Regional Office 909 First Ave #500, Seattle, Washington 98104

Phone: 206-220-4000

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Rehabilitation of Coupeville Wharf Kiosk, Ebeyes Forever Heritage Program, 30th Anniversary Conference. Fiscal Year 2013: No current data available. Fiscal Year 2014: No current data available.

CRITERIA FOR SELECTING PROPOSALS:

Projects will be accomplished in cooperation with the three partners and be in accordance with established priorities. Funding source will determine criteria for prioritization.

15.957 HISTORIC PRESERVATION FUND GRANTS TO PROVIDE DISASTER RELIEF TO HISTORIC PROPERTIES DAMAGED BY HURRICANE SANDY

HPF Hurricane Sandy Relief Grant Program

FEDERAL AGENCY:

National Park Service, Department of the Interior

AUTHORIZATION:

Disaster Relief Appropriations Act, 2013 (P.L. 113-2)

National Historic Preservation Act, as amended, 16 U.S.C. 470(e) and (h), Establishment of Historic Preservation Fund

National Historic Preservation Act, as amended, 16 U.S.C. 470a(j), Develop and implement a comprehensive preservation education and training program.

OBJECTIVES:

The purpose of this supplemental funding is to provide technical assistance and emergency repairs to historic and archeological resources impacted by Hurricane Sandy. Only hurricane-related damage is eligible for grant assistance. The NPS will rely on the assessment and documentation of the SHPO offices to determine eligibility of storm damaged resources and eligible grant expenditures related to the storm damage.

TYPES OF ASSISTANCE:

Formula Grants; Project Grants

USES AND USE RESTRICTIONS:

Hurricane Sandy HPF relief grants must be used to preserve properties listed in or eligible for listing in the National Register of Historic Places. States may also use the funds for 1) hiring additional term, temporary, or contractor staff to enable the SHPOs to expeditiously conduct the reviews of all Federally funded or sponsored projects required by Section 106 of the National Historic Preservation Act, 2) providing technical assistance to local government officials and to all owners of properties listed in or considered eligible by the State Historic Preservation Officer for listing in the National Register of Historic Places; and 3) architectural/engineering plans and specifications, economic feasibility studies, survey and damage assessments, construction work to stabilize and repair historic and archeological properties, and survey of hurricane-damaged properties.

Applicant Eligibility:

Eligible applicants are State and Tribal Historic Preservation Offices located within areas receiving major disaster declarations from FEMA.

Beneficiary Eligibility:

States and Tribes.

Credentials/Documentation:

National Register listing, or certification of eligibility, of properties for which grant assistance be being applied. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact

information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. A completed Grant Application Form. The standard application forms required by 43 CFR 12, Subparts C and F, must be used. In addition, applicants must also submit an Action Plan Narrative providing an overview of needs in the State.

Award Procedure:

Using the FEMA major disaster declarations as a starting point for determining the method of apportioning the \$47.5 million, the NPS will divide the appropriation into two groups. Group 1 includes \$38 million and will be apportioned to the four States containing counties that FEMA designated as eligible to receive both Individual and Public Assistance Connecticut, New Jersey, New York, and Rhode Island. The remaining funds will comprise Group 2, and will be awarded to the remaining eight States, the District of Columbia, and any Federally-recognized Indian Tribes located in those States, through an application process and based on need as described in proposals submitted by the States and Tribes to the NPS.

In apportioning the \$38 million from Group 1 that will be assigned to CT, NJ, NY, and RI, because State Historic Preservation Offices (SHPO) in those States have not yet completed damage assessments to historic properties, there is no single best method of determining need. Funds will be distributed using a formula that takes into account three evaluation factors, each having equal weight: 1) population of counties eligible for both IA and PA, 2) land area of counties eligible for both IA and PA, and 3) the number of properties listed in the National Register of Historic Places in counties eligible for both IA and PA.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

30 - 90 days.

Appeals:

NONE.

Renewals:

Recipients may request renewal of existing projects or additional projects to meet program goals and objectives. Extensions may be requested by grant recipients through interim progress reports.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

2-3 years. See the following for information on how assistance is awarded/released: Assistance released on a reimbursable basis as costs are incurred.

Reports:

Program reports are not applicable. Cash reports are not applicable. Progress reports are not applicable. Recipients of HPF Hurricane Sandy relief grant agreements will be required to report the financial status on a quarterly basis within ten (10) calendar days of the end of the calendar quarter. Recipients of HPF Hurricane Sandy relief grant agreements will be required to report the performance progress on a quarterly basis within ten (10) calendar days of the end of the calendar quarter.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

No Data Available.

Account Identification:

14-5140-0-2-303.

Obligations:

(Formula Grants) FY 12 \$0; FY 13 est \$47,026,000; and FY 14 est \$0 - No additional appropriations are expected under the Disaster Relief Appropriations Act.

Range and Average of Financial Assistance:

Formula Grants:

Min - \$3,206,493

Max - \$13,634,696

Competitive Grants

Min-\$100,000

Max-\$1.5million

Average -\$1 million.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Not applicable. Fiscal Year 2013: It is anticipated that 13 applications will be received and 13 awards will be granted in FY 2013. Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Department of the Interior regulations regarding Financial Assistance, 43 CFR Part 12

Historic Preservation Fund Grants Manual

http://www.nps.gov/history/hpg/HPF_Manual.pdf

Secretary of the Interiors Standards for the Treatment of Historic Properties

Regional or Local Office:

See Regional Agency Offices. Hampton Tucker, Chief

State, Tribal, and Local Plans & Grants

National Park Service

202-354-2067

Hampton_Tucker @NPS.gov.

Headquarters Office:

Hampton Tucker National Park Service

State, Tribal, and Local Plans & Grants

1201 Eye Street, 6th Floor

, Washington, District of Columbia 20005 Phone: 202-354-2020

Website Address:

<http://www.nps.gov/history/hpg>

RELATED PROGRAMS:

15.914 National Register of Historic Places

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Not applicable. Fiscal Year 2013: -Roof replacement on National Register on damaged home contributing to a National Register historic district

-Repair of historic siding on National Register listed Courthouse

-Weatherproofing and foundation repair on home contributing to a National Register historic district

-Survey and damage assessment of National Register historic district. Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The following criteria will be used by the National Park Service and States when selecting recipients for Disaster Relief grant Assistance:

- a) Historic significance,
- b) Ability to complete the project promptly and successfully,
- c) Ability of the project to correct the storm related damage,
- d) Degree and nature of the damage (but see Section VI, Ineligible Activities),
- e) Completeness and accuracy of the grant application.

15.978 UPPER MISSISSIPPI RIVER SYSTEM LONG TERM RESOURCE MONITORING PROGRAM

(LTRMP)

FEDERAL AGENCY:

U.S. Geological Survey, Department of the Interior

AUTHORIZATION:

Water Resources Development Act of 1986, Public Law 99-662, Section 1103, 33 U.S.C. 652.

OBJECTIVES:

To provide decision makers with information needed to maintain the Upper Mississippi River System (UMRS) as a sustainable large river ecosystem given its multiple-use character. Congress has declared the UMRS to be both a nationally significant ecosystem and a nationally significant commercial navigation system. The long-term goals of the LTRMP are to: understand the UMRS ecosystem, monitor trends and effects with respect to selected resources, develop resource management alternatives, manage information, and develop useful products.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

Cooperative Agreements are entered into for the purpose of conducting resource monitoring and research as specified in the LTRMP Operations Plan and for other activities to carry out the purposes of the Water Resources Development Act of 1986. For further information, please contact the regional office.

Applicant Eligibility:

State agencies stipulated in the Water Resources Development Act of 1986 (Public Law 99-662) are eligible to apply.

Beneficiary Eligibility:

UMRS State, Federal, and private natural resource managers, users, and the general public.

Credentials/Documentation:

Proposals must furnish evidence of the applicant's qualifications for performance of the proposed activity. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Application form Standard Form (SF) 424, 424-A, and 424-B. The States of Illinois, Iowa, Minnesota, Missouri, and Wisconsin; the Upper Mississippi River Basin Association; or any other agency established under paragraph (d)(1) of the Water Resources Development Act of 1986, Public Law 99-662 are exempt from the application procedures by virtue of the Act. Applications may be submitted only through the internet site <http://www.grants.gov>.

Award Procedure:

Notification of an award is by receipt of a grant or cooperative agreement from a U.S. Geological Survey contracting officer through FedConnect (<https://www.fedconnect.net/FedConnect/>).

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

The LTRMP is a permanent program. Agreements may be renewed on an annual basis dependent upon funding availability.

Formula and Matching Requirements:

This program has no statutory formula.

Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Cooperative Agreements will be awarded in 12-month budget periods. Funds are released as required. See the following for information on how assistance is awarded/released: Recipients request payment as needed throughout the award period.

Reports:

Annual and final technical reports, as well as other products, are required and must be submitted as described in the award terms and conditions. Cash reports are not applicable. Progress reports are not applicable. Annual and final financial reports are required and must be submitted as described in the award terms and conditions. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records shall be retained and made available for audit for three years after submission of the final financial report.

Account Identification:

14-0804-0-1-306.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 12 \$2,668,968; FY 13 est \$2,479,370; and FY 14 Estimate Not Available

Range and Average of Financial Assistance:

\$323,593 to \$685,986 average \$413,228.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: 6 cooperative agreements were funded to support the LTRMP. Fiscal Year 2013: No current data available. Fiscal Year 2014: No current data available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Public Law 99-662, Section 1103, as amended. Information regarding LTRMP publications may be found at the web site address.

Regional or Local Office:

See Regional Agency Offices. Inquiries regarding the LTRMP may be addressed to the Upper Midwest Environmental Sciences Center, 2630 Fanta Reed Road, La Crosse, Wisconsin 54603, POC: Michael Jawson, Center Director, (608) 781-6221, Fax: (608) 783-6066, E-mail: or Barry Johnson, LTRMP Coordinator (608) 781-6230, email bljohnson@usgs.gov; or Irene Nissalke, Budget Analyst (608) 781-6389, email inissalke@usgs.gov or web site address, <http://www.umesc.usgs.gov>.

Headquarters Office:

None None, None, Non-U.S. None Phone: None

Website Address:

<http://www.usgs.gov/ltrmp.html>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: To date, cooperative agreements and amendments in the amount of approximately \$53,556,512 have been granted to States, universities, and other recipients to conduct resource (fisheries, water quality, and vegetation) monitoring and research of the Upper Mississippi River System. Fiscal Year 2013: No current data available. Fiscal Year 2014: No current data available.

CRITERIA FOR SELECTING PROPOSALS:

The States of Illinois, Iowa, Minnesota, Missouri, and Wisconsin, and their

institutions and agencies; States, universities, and others having expertise and experience conducting monitoring and research of the Upper Mississippi River System; States, universities, and others having expertise and experience conducting monitoring and research of large, impounded river systems.

DEPARTMENT OF JUSTICE

16.015 MISSING ALZHEIMER'S DISEASE PATIENT ASSISTANCE PROGRAM

FEDERAL AGENCY:

Bureau of Justice Assistance, Department of Justice

AUTHORIZATION:

Consolidated Appropriations Act, 2009, Public Law 111-8.

OBJECTIVES:

This program provides funds for projects that aid in the protection and location of missing persons living with Alzheimers disease and related dementias and other missing elderly individuals. It is currently estimated that 5.1 million people in the United States alone are living with Alzheimers disease or some form of dementia. Over 60 percent (nearly 3.1 million individuals) of those with dementia will wander at some point. More than 50 percent of those who wander become lost and separated from their loved ones. Law enforcement agencies often expend resources searching for missing persons with Alzheimers disease, and if not found quickly, they are at high risk of serious injury or even death. In addition, law enforcement officers may not be trained or equipped to handle the special needs of those with Alzheimers, once they are found.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Applications are solicited for projects, pursuant to Congressional appropriations, that may include one or more of the following: (1) Develop or enhance local and national outreach programs to increase awareness among law enforcement agencies and the public in addressing the needs of missing persons with Alzheimers disease; (2) Develop and/or implement a process for aiding in the location of lost persons with Alzheimers disease; and/or (3) Provide training and substantive informational material to law enforcement agencies through a national or regional initiative.

Applicant Eligibility:

None.

Beneficiary Eligibility:

None.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program.

Award Procedure:

An award is granted by the Assistant Attorney General, Office of Justice Programs, and must be accepted by the applicant agency or institution according to the special conditions of the grant or cooperative agreement.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Determined by the Assistant Attorney General, OJP.

Renewals:

Grants can be extended by submitting a written justification for an extension to the Bureau of Justice Assistance office for review. Extensions are subject to approval by the Bureau of Justice Assistance and renewals of congressional funding.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Project duration period will be up to 18 months. The project start date should be on or after October 1, 2011. See the following for information on how assistance is awarded/released: Contact BJA for further assistance.

Reports:

Program reports are not applicable. Cash reports are not applicable. Progress Reports which consist of semi-annual program progress reports. Quarterly Financial Reports are required. To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Payments and transactions are subject to audits by the Government Accountability Office, Department of Justice's Office of the Inspector General, State or local government auditors, and auditors from independent public accounting firms. Jurisdictions must follow their local policies and procedures, including maintenance of reliable and accurate accounting systems, record keeping, and systems of internal control.

Records:

All grantees are required to establish and maintain accounting systems and financial records in order to account for funds awarded to them.

Account Identification:

15-0404-0-1-754.

Obligations:

(Project Grants) FY 12 \$893,630; FY 13 est \$930,000; and FY 14 est \$0

Range and Average of Financial Assistance:

BJA may make one or more awards under this category.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

For additional guidance reference the Office of Justice Programs Financial Guide 2011 (www.ojp.usdoj.gov/financialguide/index.htm) and Post award Instructions (www.ojp.usdoj.gov/funding/pdfs/post_award_instructions.pdf). Applicable OMB Circulars and Department of Justice regulations applicable to specific types of grantees can be found in title 28 of the Code of Federal Regulations (28 C.F.R.).

Regional or Local Office:

None.

Headquarters Office:

Linda Hammond-Deckard Office of Justice Programs
Bureau of Justice Assistance
810 7th Street, NW, Washington, District of Columbia 20531 Email:
AskBJA@usdoj.gov Phone: (202) 616-6500

Website Address:

<http://www.bja.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are described in the OJP Program Announcement available at <http://www.ojp.gov/funding/solicitations.htm>.

16.111 JOINT LAW ENFORCEMENT OPERATIONS (JLEO)

FEDERAL AGENCY:

Criminal Division, Department of Justice

AUTHORIZATION:

Comprehensive Crime Control Act of 1984, 28 U.S.C 524(c)(1)(I).

OBJECTIVES:

The Department of Justice Asset Forfeiture Program (the Program) is a Federal law enforcement initiative that removes the tools of crime from criminal organizations, deprives wrongdoers of the proceeds of their crimes, recovers property that may be used to compensate victims, and deters crime. Section 524(c)(1)(I) of title 28, United States Code, states that the Assets Forfeiture Fund (AFF) may be used for payment of overtime salaries, travel, fuel, training, equipment, and other similar costs of State or local law enforcement officers that are incurred in a joint law enforcement operation with a Federal law enforcement agency participating in the Assets Forfeiture Fund.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Under the statutes enacted by Congress, the primary function of the AFF is to fund the operations of the federal forfeiture program, including victim compensation payments and equitable sharing. Congress has enabled the Department to use remaining funds in various ways to support federal law enforcement activities. As available, DOJ makes funding available for State and local cost incurred in joint law in joint law enforcement operations. Use of the AFF for State and local law enforcement operation is neither appropriate nor available in every situation where State and local law enforcement is seeking operational funding. Assets Forfeiture Fund (AFF) may be used for payment of overtime salaries, travel, fuel, training, equipment, and other similar costs of State or local law enforcement officers that are incurred in a joint law enforcement operation with a Federal law enforcement agency participating in the Assets Forfeiture Fund.

Applicant Eligibility:

A state or local law enforcement agency must be part of a joint law enforcement operation with a Federal law enforcement agency participating in the AFF. The AFF may reimburse only those expenses authorized by the statute within Department policy. The statute does not authorize the payment of basic salaries of state or local officers, either directly or indirectly.

Beneficiary Eligibility:

To be eligible for reimbursement from the AFF, the costs must be incurred in direct support of the joint law enforcement operation under an existing pre-approved agreement that is in effect for the period the eligible costs are incurred.

Credentials/Documentation:

No request or reimbursement including terms negotiated in a task force or other agreement is final until approved by the designated federal official with JLEO funding authority. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

Under agreement approved by the designated federal official with JLEO

funding authority, in effect during the period which the eligible costs are incurred in direct support of the joint law enforcement operation.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. Generally from 60 to 90 days after submission of eligible expenses under authorized JLEO agreements, however, longer periods may be required in complex cases.

Appeals:

The exercise of this authority is discretionary and limited by statute. It is not subject to appeal or court review.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Assistance is provided under agreement and must be expended within the fiscal year awarded. Method of awarding/releasing assistance: quarterly.

Reports:

As a prerequisite to participating in the Department of Justice JLEO and Equitable Sharing Programs, a state or local law enforcement agency must annually submit to AFMLS a signed Equitable Sharing Agreement and Certification form. The agreement must be signed by the head of the law enforcement agency and a designated official of the governing body. By signing the Affidavit, the signatories agree to be bound by the statutes and guidelines that regulate the Program and certify that the law enforcement agency will comply with these guidelines and statutes. JLEO costs incurred in direct support of the joint law enforcement operation must be reported to the sponsoring Federal Agency under the JLEO agreement. As a prerequisite to participating in the Department of Justice JLEO and Equitable Sharing Programs, a state or local law enforcement agency must annually submit to AFMLS a signed Equitable Sharing Agreement and Certification form. Progress reports may be required as requested by AFMLS or other authorities with program oversight responsibilities. JLEO costs incurred in direct support of the joint law enforcement operation must be reported to the sponsoring Federal Agency under the JLEO agreement. As a prerequisite to participating in the Department of Justice JLEO and Equitable Sharing Programs, a state or local law enforcement agency must annually submit to AFMLS a signed Equitable Sharing Agreement and Certification form. State and local law enforcement agencies must submit this form within 60 days after the end of an agency's fiscal year, regardless of whether funds were received or maintained during the fiscal year. The report must be completed electronically and emailed to aca.submit@usdoj.gov.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Financial records, supporting documents, and all other records pertinent to equitable sharing and JLEO shall be retained for a period of 5 years following the conclusion of Federal assistance.

Account Identification:

15-5042-0-0-000.

Obligations:

(Cooperative Agreements) FY 12 \$140,000,000; FY 13 est \$154,000,000; and FY 14 Estimate Not Available

Range and Average of Financial Assistance:

NOT APPLICABLE.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Guide to Equitable Sharing for State and Local Law Enforcement Agencies.

Guidance on Use of the Assets Forfeiture Fund (AFF) to Pay State and Local Law Enforcement Officer Overtime and Other Costs In Joint Law Enforcement Operations.

Regional or Local Office:

None.

Headquarters Office:

Gene Patton 1400 New York Avenue, NW
2nd Floor, Washington, District of Columbia 20005 Email:
afmls.aca@usdoj.gov Phone: 202-514-1263

Website Address:

<http://www.justice.gov/criminal/afmls/equitable-sharing/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

16.203 PROMOTING EVIDENCE INTEGRATION IN SEX OFFENDER MANAGEMENT DISCRETIONARY GRANT PROGRAM

FEDERAL AGENCY:

Office of Sex Offender Sentencing, Monitoring, Apprehending, Registering, and Tracking, Department of Justice

AUTHORIZATION:

Violent Crime Control and Law Enforcement Act of 1994; Public Law 103-322, Section 40152, codified at 42 U.S.C. Section 13941, Training; Adam Walsh Child Protection and Safety Act of 2006 (AWA), Public Law 103-322, 42 U.S.C 13941.

OBJECTIVES:

To assist state, local and tribal jurisdictions in improving their adult and/or juvenile sex offender management policies and practices by supporting training, technical assistance, and demonstration projects which will include sex offender management fellowship opportunities in the Office of Sex Offender Sentencing, Monitoring, Apprehending, Registering and Tracking (SMART). These efforts will generate evidence-based knowledge to enhance sex offender management practices and protect the public from sex offenders in the community.

TYPES OF ASSISTANCE:

PROJECT GRANTS; TRAINING

USES AND USE RESTRICTIONS:

The Promoting Evidence Integration in Sex Offender Management grant funds may be used to:

- 1) provide training and technical assistance to jurisdictions with reference to sex offender management and accountability;
- 2) support local demonstration projects in the areas of case management, supervision and relapse prevention; and
- 3) fund sex offender management fellowship opportunities in the SMART Office.

Training and technical assistance should be targeted to probation and parole officers and other personnel who manage non-incarcerated sex offenders or who are responsible for sex offender registration compliance.

Applicant Eligibility:

Non-profit organizations (including tribal organizations), institutions of higher education (including tribal institutions of higher education), States, the District of Columbia, the Commonwealth of Puerto Rico, the Virgin Islands, America

Samoa, Guam, the Northern Mariana Islands, local, and tribal communities who are interested in addressing the management of juvenile, adult, or a mixed population of sex offenders are eligible to apply for this grant program. Applicants must coordinate their proposal with others in their jurisdiction to ensure a collaborative response to this solicitation as well as to ensure that agencies within a single jurisdiction are not competing against one another in the grant process.

For sex offender management fellowships, eligible applicants include individuals.

Beneficiary Eligibility:

State, local and tribal agencies (e.g. parole, probation, pretrial services, etc.) responsible for sex offender management, accountability, registration and supervision to implement programs to enhance strategies for managing sex offender in the community. Non-profit or for-profit organizations, institutions of higher learning and tribal entities with demonstrated experience in sex offender management and accountability issues and delivery of training and technical assistance to implement national training and technical assistance program on sex offender management, accountability, registration and supervision.

For sex offender management fellowships: individuals with knowledge and experience in the field of sex offender management.

Credentials/Documentation:

The application must include: Application for Federal Assistance (Standard Form 424); Program Narrative; Budget and Budget Narrative. This information may be found in the solicitation section, What an Application Should Include. In addition to the standard requirements, an application may include timelines, resumes, letters of support, etc. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applicants must submit completed applications via the Office of Justice Programs, Grants Management System or through grants.gov following established criteria. The receipt, review, and analysis of applications will follow Office of Justice Programs policies and procedures for the administration of grant applications. Specific application instructions for solicitations are available at the Office of Justice Programs web site (<http://www.ojp.gov/funding/solicitations.htm>).

Award Procedure:

Upon approval by the Assistant Attorney General, successful applicants are notified via the Grants Management System. One copy of the grant award must be signed by the authorized official and returned to the Office of Justice Programs.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Deadlines are included with the application instructions, which are posted on the Office of Justice Programs web site (<http://www.ojp.gov/funding/solicitations.htm>).

Appeals:

Determined by the Assistant Attorney General, OJP.

Renewals:

Grants can be extended by submitting a written justification for an extension to the SMART Office for review. Extensions are subject to approval by the SMART Office and congressional funding.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. This program has no matching requirements. Grants are discretionary and are

awarded based on the merits of the application submitted to the SMART Office. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Funds for each fiscal year will be awarded for a period that includes the fiscal year of the appropriation plus one additional year. See the following for information on how assistance is awarded/release: See the following for information on how assistance is awarded/released: Office of Justice Programs Financial Guide (www.ojp.usdoj.gov/financialguide/index.htm) and Post Award Instructions (www.ojp.usdoj.gov/funding/pdfs/post_award_instructions.pdf).

Reports:

Program reports are not applicable. Cash reports are not applicable. Semi-annual progress reports are required. Quarterly financial reports are required. To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Recipients of federal funds are expected to retain documentation supporting all program transactions for at least 3 years after the closure of audit reports related to such funding. If any litigation, claim, negotiation, audit, or other action involving records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all related issues, or until the end of the regular 3-year period, whichever is later.

Account Identification:

15-0404-0-1-754.

Obligations:

(Project Grants) FY 12 \$1,120,658; FY 13 est \$1,359,727; and FY 14 est \$1,000,000

Range and Average of Financial Assistance:

\$600k for implementation sites, \$1.5M for TTA, and \$250k per fellowship opportunities.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Office of Justice Programs web site (<http://www.ojp.gov/funding/solicitations.htm>); Office of Justice Programs Financial Guide (www.ojp.usdoj.gov/financialguide/index.htm) and Post award Instructions (www.ojp.usdoj.gov/funding/pdfs/post_award_instructions.pdf), applicable OMB Circulars, and Department of Justice regulations applicable to specific types of grantees, which can be found in title 28 of the Code of Federal Regulations (28 C.F.R.).

Regional or Local Office:

None.

Headquarters Office:

SMART Office U.S. Department of Justice
Office of Justice Programs
SMART Office
810 Seventh Street, N.W., Washington, District of Columbia 20531 Phone:
(202) 514-4689

Website Address:

<http://www.ojp.usdoj.gov/smart>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are described in the OJP Program Announcement available at <http://www.ojp.gov/funding/solicitations.htm>.

16.320 SERVICES FOR TRAFFICKING VICTIMS**FEDERAL AGENCY:**

Office for Victims of Crime, Department of Justice

AUTHORIZATION:

22 USC 7105(b2)(A).

OBJECTIVES:

To provide assistance to victims of severe forms of trafficking (i.e., sex trafficking in which a commercial sex act is induced by force, fraud, or coercion, or in which the person induced to perform such act has not attained 18 years of age; or the recruitment, harboring, transportation, provision or obtaining of a person for labor or services, through the use of force, fraud, or coercion for the purpose of subjection to involuntary servitude, peonage, debt bondage, or slavery) without regard to the immigration status of the victim. A set aside of the total appropriation for the following purposes is as follows: three percent for research, evaluation, and statistics; five percent for training and technical assistance; and one percent for management and administration of grant funds.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE; PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funding under this program is intended to address the needs of victims of severe forms of human trafficking as defined by the Trafficking Victims Protection Act (TVPA) of 2000. This includes male and female victims of sex and labor trafficking. The Office for Victims of Crime (OVC) awarded its first cooperative agreements to victim service organizations in FY03 to provide a comprehensive array of direct services to pre-certified foreign national victims. Pre-certification refers to the period of time between when trafficking victims are initially identified by law enforcement and officially certified by the Federal government as such.

OVC funds victim service agencies to provide high-quality services to victims of human trafficking as defined by the Trafficking Victims Protection Act of 2000, as amended, and to enhance interagency collaborative and coordination in the provision of services to such victims. Administered jointly by OJPs Bureau of Justice Assistance (BJA) and the OVC, grant funding is made available each year to state and local law enforcement agencies and victim services organizations in support of multidisciplinary, victim-centered task forces dedicated to investigating trafficking crimes and providing culturally competent assistance to victims. These task forces include federal law enforcement agencies, such as the Federal Bureau of Investigation (FBI) and Immigration and Customs Enforcement (ICE), as well as U.S. Attorneys Offices and state and local prosecutors.

OVC also makes annual grant funding available exclusively for direct, comprehensive services tailored to the needs of human trafficking victims. These services include intensive case management, food, clothing, shelter, medical and dental care, mental health treatment, translation and interpretation services, immigration legal assistance, and more. This OVC funding also supports efforts to increase the capacity of communities to respond to victims through the development of interagency partnerships and public outreach and awareness campaigns.

Applicant Eligibility:

The Attorney General may make grants to States, Indian tribes, units of local government, and nonprofit, non-governmental victim service organizations.

Beneficiary Eligibility:

Eligible victim assistance agencies. Eligibility depends on the nature of the grant but may include a wide variety of public and private nonprofit agencies.

Credentials/Documentation:

Applications for this program must be submitted electronically via Grants.gov; at a time specified by the Office for Victims of Crime, Office of Justice Programs and must contain the following certification and assurances: (1) assure that the applicant will provide such accounting, auditing, monitoring and evaluation procedures as may be necessary, and keep such records as the Office of Justice Programs may prescribe, to assure fiscal control, proper management and efficient disbursement of Federal funds; (2) assure that the applicant will adhere to the audit and financial management requirements set forth in the effective edition of the OJP Financial Guide; (3) assure that the applicant will comply with all applicable nondiscrimination requirements including civil rights compliance, non-discrimination against eligible applicants that are faith or community-based organizations, services to persons with Limited English Proficiency, and protection of human research subjects; (4) certify that the applicant will comply with certifications regarding Lobbying, Debarment, Suspension, and Drug-Free Workplace Requirements (OJP Form 4061/6); and other responsibility matters; and, (5) certify that the information in the application is correct and that the applicant will comply with all applicable provisions of the Victims of Crime Act and other Federal laws, (including subtitle A, Title II of the Americans with Disabilities Act (ADA) 1990) regulations, and circulars. Costs will be determined in accordance with OMB Circular No. A-87 or OMB Circular No. A-133. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. In accordance with the Common Rule, Standard Form 424 must be submitted by nonfederal agencies in applying for funding under this program electronically via grants.gov. Forms for funds other than grants or for use by Federal Agencies will be supplied by OVC.

Award Procedure:

Generally awards will be made on a competitive basis with applications reviewed by a panel of subject matter experts who will assess application submissions based on established criteria and forward a recommendation to the Director of the Office for Victims of Crime.

The Assistant Attorney General, Office of Justice Programs has final approval authority.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 3-5 months.

Appeals:

Hearing by the Assistant Attorney General, Office of Justice Programs.

Renewals:

See the following for information on how assistance is awarded/release: Office of Justice Programs Financial Guide 2011 (www.ojp.usdoj.gov/financialguide/index.htm) and Post Award Instructions (www.ojp.usdoj.gov/funding/pdfs/post_award_instructions.pdf).

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: Percent: 25%. Eligible applicants must match the Trafficking Victims Protection Act grant program funds with a 25 percent cash contribution or the value equivalent of an in-kind contribution(s). In-kind match refers to the value of something received or provided that does not have a cost associated with it.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Trafficking funds may be carried for obligation by the grantee for the duration of their grant award. Funds are released via electronic funds transfer. Grantees must become enrolled in the Automated Clearinghouse (ACH) Vendor Express

Program to request any Federal funds. See the following for information on how assistance is awarded/released: Please see program office for more information.

Reports:

Program reports are not applicable. Cash reports are not applicable. Semi-annual progress reports are required. Quarterly financial reports are required. To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. All organizations that expend financial assistance of \$500,000 or more in any fiscal year must have a single audit for that year in accordance with OMB Circular No. A-133, as amended, unless the audit condition on the award says otherwise. These audits are due to the cognizant Federal agency not later than 9 months after the end of the grantee's fiscal year.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to a grant shall be retained for a period of 3 years.

Account Identification:

15-0404-0-1-754.

Obligations:

(Project Grants) FY 12 \$9,145,700; FY 13 est \$12,554,000; and FY 14 est \$12,500,000

Range and Average of Financial Assistance:

OVC anticipates awarding grants ranging from \$200,000 to \$400,000 for 2 years to support services to trafficking victims. Additional awards to support research and evaluation, and training and technical assistance may be made.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: NA. Fiscal Year 2013: NA. Fiscal Year 2014: NA.

REGULATIONS, GUIDELINES, AND LITERATURE:

OVC discretionary grant solicitations, application guidelines, and the current edition of the OJP Financial Guide are available on-line at the OVC webpage: <http://www.ojp.usdoj.gov/ovc>. BJA grant solicitations and application guidelines are available on the BJA webpage: <https://www.bja.gov/funding.aspx>

Regional or Local Office:

None.

Headquarters Office:

Zoe French Department of Justice
Office of Justice Programs
Office of Victims of Crime
810 Seventh Street, NW, Washington, District of Columbia 20531 Phone: 202-305-2601

Website Address:

<http://www.ovc.gov>

RELATED PROGRAMS:

93.566 Refugee and Entrant Assistance_State Administered Programs; 93.598 Services to Victims of a Severe Form of Trafficking

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are described in the OJP Program Announcement available at <http://www.ojp.gov/funding/solicitations.htm>.

16.321 ANTITERRORISM EMERGENCY RESERVE

FEDERAL AGENCY:

Office for Victims of Crime, Department of Justice

AUTHORIZATION:

42 U.S.C. 10601(d)(5), 42 U.S.C. 10603b(b), 42 U.S.C. 10603c.

OBJECTIVES:

Encompasses two programs for victims of terrorism and/or mass violence: (1) Antiterrorism and Emergency Assistance Program (AEAP) and (2) The International Terrorism Victim Expense Reimbursement Program (ITVERP). The AEAP provides assistance and compensation services for victims of domestic terrorism and intentional mass criminal violence and assistance for victims of international terrorism. ITVERP provides reimbursement for victims of acts of international terrorism that occur outside the United States for expenses associated with that victimization.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

AEAP funds are available specifically for services to victims of terrorism and/or intentional mass criminal violence. Funded activities may include compensation, emergency relief, including crisis response efforts, assistance, and ongoing assistance, including during any investigation or prosecution to victims of terrorist acts or mass violence occurring within and outside the United States. Nonmonetary assistance is available for training and technical assistance. ITVERP provides direct reimbursement for out-of-pocket expenses to victims of international terrorism. Claimants may seek reimbursement for expenses incurred for medical, mental health, funeral and burial, property loss, and other miscellaneous costs (including emergency travel, temporary lodging, and telephone costs).

Applicant Eligibility:

AEAP: Criteria will vary depending on the grant. For terrorism or mass violence occurring within or outside the U.S., eligible applicants for funding under VOCA, Title II, 42 U.S.C. 10603b, 1404B, include states, victim service organizations, and public agencies (including federal, State and local governments) and non-governmental organizations that provide assistance to victims of crime. With the exception of ITVERP, OVC does not provide funding directly to individual crime victims. ITVERP: For an applicant to be eligible to receive reimbursement under ITVERP as authorized under VOCA, Title II, 42 U.S.C. 10603c, 1404C, an individual must be a national of the United States or an officer or employee of the U.S. Government, as of the date on which the act of terrorism occurred. In addition, the individual must have suffered direct physical or emotional injury or death as a result of an act of international terrorism occurring on or after October 23, 1983, with respect to which an investigation or prosecution was ongoing after April 24, 1996.

Beneficiary Eligibility:

AEAP: Public and private nonprofit victim assistance agencies; victims of domestic and international terrorism. Eligibility depends on the nature of the grant. ITVERP: The law requires that the individual victim must have suffered direct physical or emotional injury or death as a result of an act of international terrorism occurring on or after October 23, 1983, with respect to which an investigation or prosecution was ongoing or was commenced after April 24, 1996. In the case of a victim who is a minor, incompetent, incapacitated, or is killed, a family member or legally designated representative of the victim may receive expense reimbursement on behalf of the victim. In addition to the victim, claimants may include the following: Spouse of the victim, Parents of the victim, Children of the victim, Siblings of the victim, Legally designated victim representative.

Credentials/Documentation:

All potential applicants shall submit a written proposal along with a budget and budget narrative to the OVC Director for consideration. Proposals submitted by State crime victim compensation program administrators should include the following: (1) a description of the qualifying crime; (2) the projected number of claims to be paid and the projected number of claimants to receive payments; (3) the state's maximum award amount by category, such as medical, mental health, lost wages, funeral, etc.; and (4) a description of the range of expenses covered by the program and the amount of state funding available to cover

victim claims. Proposals submitted by State crime victim assistance administrators, and all other applicants seeking crime victim assistance, should include the following: (1) type of crime and description of the criminal event; (2) identification of the lead law enforcement agency conducting the investigation; (3) estimated number of victims affected by the crime; (4) description of the applicant's role in responding to the victim population since the date of the incident; (5) description of services that this funding will support and how these efforts will complement services already in place or will respond to an unmet need; (6) the amount of funding requested and the time frame for support; and (7) description of outreach and coordination with other public and private entities during the process of preparing the request for assistance. Once approved for applying, an AEAP solicitation, with detailed instructions on applying as well as reporting requirements, will be posted on the OJP electronic Grants Management System (GMS). Applicants, except Federal agencies, must submit through GMS (1) SF-424, Application for Federal Funding and applicable assurances and forms; and (2) Budget and budget narrative including a description of all other federal and nonfederal contributions (cash or in-kind). Applications for the AEAP must be submitted to the OVC Director as soon as practical following a terrorist or mass violence event by the appropriate State or federal official or private victim service and non-governmental organizations. ITVERP: All potential applicants shall submit a written application along with all supporting documentation to OVC for consideration. Claimants may apply for itemized, interim emergency, or supplemental reimbursement. An Itemized application should be used by those eligible claimants who are making a first-time request for reimbursement of out-of-pocket expenses that were paid following an international terrorism event. An Interim Emergency application should be used by eligible claimants seeking funds for an immediate need such as medical treatment, short-term lodging, or emergency transportation. A Supplemental application should be used by eligible claimants whose expenses have changed since they submitted an initial application. The supplemental application is for bills that may have been received late, or for new services that were not originally thought necessary or included in the original application. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. AEAP: Whereas the previously submitted proposal letter, budget, and budget narrative were key factors in determining eligibility for funding, they were submitted prior to the posting of a solicitation. Application materials submitted electronically through GMS in response to either the assistance or compensation solicitation become permanent parts of the award file. Thus, it will be necessary to resubmit the proposal letter, budget, and budget narrative documents as part of the application package. Applications will be accepted following an incident of terrorism or mass violence in accordance with the timetable set forth in the AEAP Guidelines. There are two factors that determine the application submission requirements for the AEAP: (1) the applicant status, i.e., government agency (Federal, State, or local), non-governmental organization, or victim service organization; and (2) the type of support requested, i.e., crisis response, consequence management, criminal justice, victim compensation, technical assistance (nonmonetary assistance). Application requirements are listed below. Application requirements for State crime victim compensation programs - Funding will be made available to State crime victim compensation programs in response to an incident of terrorism or intentional mass criminal violence within the United States in the form of a grant. Requests for funding from State crime victim compensation programs may be made at any time in the aftermath of an incident and should include: (1) Responses to the questions or issues raised in the AEAP Compensation Solicitation ; (2) Proposal letter ; (3) Budget.; (4) Budget narrative; and (5) SF-424, Application for Federal Assistance and applicable assurances and forms.. Application requirements for all other recipients of funds -All other applicants seeking Antiterrorism Emergency Reserve support are required to submit: (1) Responses to the questions or issues raised in the AEAP Assistance Solicitation; (2) Proposal letter; (3) Budget; (4) Budget narrative; and (5) SF-424, Application for Federal Assistance and applicable assurances and

forms. While no direct funding is available, OVC may provide its own consultants to meet the training and technical assistance needs of applicants. Training and technical assistance may be requested by submitting a letter describing the nature of the problem; the type of expertise or assistance needed; the duration of assistance; and the projected outcomes of the technical assistance or training. See OVC guidelines published in the Federal Register (Vol. 67, No. 21, Thursday, January 31, 2002) for additional information on application requirements for the AEAP. ITVERP: Step 1: Identify the type of application you are applying for by marking the appropriate type at the top of the application form. In addition, a supplemental sheet must be filled out by applicants. Sections B, F and H should be answered by all applicants; section G should be answered by Itemized and Supplemental applicants only. Detailed instructions are included. Step 2: If you believe that you are an eligible victim of a "designated" international terrorism event, please use the following forms and information to prepare your application for reimbursement. Required Documentation: A victim is required to submit documentation that provides proof of identity, citizenship, employment, and damage/injury sustained during the international terrorism event. A victim's family member or representative is required to submit documentation of his/her relationship to the victim plus medical information or a death certificate as appropriate, in addition to the items named above. In all cases, receipts for expenses incurred in the recovery process will be required. If any of the required documents have been destroyed and are unavailable from any other source, you must provide and certify a list of destroyed documents and how each would apply to your ITVERP application.

Award Procedure:

AEAP: Upon receipt and internal review of a complete application, it is the intention of OVC to notify applicants of funding decision within 5 business days or as soon as practicable. The applicant may be notified by telephone, Internet or facsimile. ITVERP: After review of each application, the Director shall determine the eligibility of the victim or representative and the amount, if any, eligible for reimbursement, specifying the reasons for such determination and the findings of fact and conclusions of law supporting it. A copy of the determination shall be mailed to the claimant at his last known address.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

AEAP: It is OVC's intention to make a funding decision within 5 business days of receipt of a complete application or as soon as practicable. ITVERP: It is OVC's intention to review and process payments as soon as practicable. For itemized and supplemental claims, range of approval/disapproval is dependant upon receipt of an official determination of a reasonable indication of an act of international terrorism from the Assistant Attorney General of National Security for a particular event. Processing time is also dependant upon timely response by claimants of any outstanding documents or information required to process claim applications. Claimants may apply for an interim emergency payment, prior to a determination under Section 94.21(a). If the Director determines that such payment is necessary to avoid or mitigate substantial hardship that may result from delaying reimbursement until complete and final consideration of an application, such payment may be made to cover immediate expenses such as those of medical care, funeral and burial, short-term lodging, and emergency transportation.

Appeals:

For both programs, hearing by the Assistant Attorney General, Office of Justice Programs.

Renewals:

AEAP: See OVC guidelines for Antiterrorism and Emergency Assistance Program for Terrorism and Mass Violence Crimes published in the Federal Register, Vol. 67, No. 21, Thursday, January 31, 2002. ITVERP: Not applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. This program has no matching requirements. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

AEAP: For grants, dollars remaining unspent by the State or program within 3 fiscal years after the end of the fiscal year in which the award was made, will revert to the Crime Victims Fund/Antiterrorism Emergency Reserve. Funds are released via the Electronic Transfer System (formerly the Letter of Credit System) on an as needed basis to the recipient.

ITVERP: Reimbursements are released via the Electronic Transfer System directly into the claimants bank account. See the following for information on how assistance is awarded/released: Please contact program office for more information.

Reports:

No program reports are required. No cash reports are required. AEAP: semi-annual progress reports are required. ITVERP: There are no reporting requirements for claimants under ITVERP. AEAP: Quarterly financial reports will be required as stipulated in the effective edition of the OJP Financial Guide. A final financial and program report also will be required. ITVERP: There are no reporting requirements for claimants under ITVERP. Performance measure data, as stipulated in the solicitation, are required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. AEAP: All organizations that expend financial assistance of \$500,000 or more in any fiscal year must have a single audit for that year in accordance with OMB Circular No. A-133, as amended, unless the audit condition on the award says otherwise. These audits are due to the cognizant Federal agency not later than 9 months after the end of the grantee's fiscal year. Not applicable to ITVERP.

Records:

AEAP: Financial records, supporting documents, statistical records, and all other records pertinent to a grant shall be retained for a period of 3 years. ITVERP: All claim files and automated data files are retained on hard copy and on a computer database. All claim files and automated data pertaining to a claim are destroyed 10 years after the date the claim has been fully processed and/or payment made, as approved by the National Archives and Records Administration (NARA). Automated data is retained in its most current form only, however, and as information is updated, outdated information is deleted.

Account Identification:

15-5041-0-2-754.

Obligations:

(Project Grants (Contracts)) FY 12 \$495,597; FY 13 Estimate Not Available; and FY 14 Estimate Not Available

Range and Average of Financial Assistance:

Varies depending on terrorism/mass violence event and specific need(s).

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Further guidance on the AEAP application procedure and current edition of the OJP Financial Guide are available on the OVC web site at www.ovc.gov or by writing to Office for Victims of Crime, Office of Justice Programs, Department of Justice, 810 Seventh Street, NW., Washington, DC 20531; Telephone: (202) 307-5983. ITVERP application materials and program information are available on the OVC web site at www.ovc.gov/itverp or by writing to Office for Victims of Crime, Office of Justice Programs, Department of Justice, 810 Seventh Street, NW., Washington, DC 20531; Telephone: (1-800) 363-0441.

Regional or Local Office:

None.

Headquarters Office:

J. Robert Cantrall Office of Justice Programs, Department of Justice, 810 Seventh Street, NW., Washington, District of Columbia 20531 Phone: (202)

307-5983.

Website Address:

<http://www.ovc.gov>.

RELATED PROGRAMS:

16.575 Crime Victim Assistance; 16.576 Crime Victim Compensation; 16.582 Crime Victim Assistance/Discretionary Grants

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: Fiscal Year 2013: No Current Data Available. Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

General criteria for selecting proposals are spelled out in the guidelines, regulations, and application kits for these programs. Additional criteria may be developed by the Office for Victims of Crime and will be published in the application packages.

16.523 JUVENILE ACCOUNTABILITY BLOCK GRANTS

JABG

FEDERAL AGENCY:

Office of Juvenile Justice and Delinquency Prevention, Department of Justice

AUTHORIZATION:

Public Law 107-273.

OBJECTIVES:

To provide States, units of local government, and Indian Tribes as defined by Section 102 of the Federally Recognized Indian Tribe List Act of 1994, with funds to develop programs to strengthen and promote greater accountability in the juvenile justice system. To survey the field and identify projects that would benefit from research, demonstration, and evaluation in the 18 purpose areas identified in the JABG Program. To provide training and technical assistance to States, units of local government, and Indian Tribes so they may develop programs outlined in the 18 program areas to promote greater accountability in the juvenile justice system.

TYPES OF ASSISTANCE:

FORMULA GRANTS; PROJECT GRANTS

USES AND USE RESTRICTIONS:

Program Areas include: (1) Developing, implementing, and administering graduated sanctions for juvenile offenders. (2) Building, expanding, renovating or operating temporary or permanent juvenile correction, detention, or corrections facilities. (3) Hiring juvenile court judges, probation officers, and court-appointed defenders and special advocates, and funding pretrial services (including mental health screening and assessment) for juvenile offenders, to promote the effective and expeditious administration of the juvenile justice system. (4) Hiring additional prosecutors so that more cases involving violent juvenile offenders can be prosecuted and case backlogs reduced. (5) Providing funding to enable prosecutors to address drug, gang, and youth violence problems more effectively and for technology, equipment and training to assist prosecutors in identifying and expediting the prosecution of violent juvenile offenders. (6) Establishing and maintaining training programs for law enforcement and other court personnel with respect to preventing and controlling juvenile crime. (7) Establishing juvenile gun courts for the prosecution and adjudication of juvenile firearms offenders. (8) Establishing drug court programs for juvenile offenders that provide continuing judicial supervision over juvenile offenders with substance abuse problems and the integrated administration of other sanctions and services for such offenders. (9) Establishing and maintaining a system of juvenile records designed to promote public safety. (10) Establishing and maintaining interagency information-sharing programs that enable the juvenile and criminal justice systems, schools, and social services agencies to make more informed decisions regarding the early identification, control, supervision, and treatment of juveniles who repeatedly commit serious delinquent or criminal acts. (11) Establishing and maintaining accountability-based programs designed to reduce recidivism amount juveniles who are referred by law referred by law enforcement personnel or agencies. (12) Establishing and maintaining programs to conduct risk and needs assessments of juvenile offenders that facilitate effective early intervention and the provision of comprehensive services,

including mental health screening and treatment and substance abuse testing and treatment, to such offenders. (13) Establishing and maintaining accountability-based programs that are designed to enhance school safety. (14) Establishing and maintaining restorative justice programs. (15) Establishing and maintaining programs to enable juvenile courts and juvenile probation officers to be more effective and efficient in holding juvenile offenders accountable and reducing recidivism. (16) Hiring detention and corrections personnel and establishing and maintaining training programs for such personnel, to improve facility practices and programming. (17) Establishing, improving and coordinating pre-release and post-release systems and programs to facilitate the successful reentry of juvenile offenders from state and local custody in the community. (18) Hiring court-appointed defenders and providing training, coordination, and innovative strategies for indigent defense services. Not more than 10 percent of appropriated funds are available to support research, evaluation, and demonstration projects consistent with this program. Not more than 2 percent of appropriated funds are available for training and technical assistance. Not more than 2 percent of appropriated funds shall be made available to Indian Tribes on a competitive basis. Input will be solicited from the States to determine which of the 18 areas are most important and where the greatest needs are. This information will guide and provide insight for research, evaluation, and demonstration activities under this program.

Applicant Eligibility:

Each State and territory (except Palau) is eligible to receive an allocation and award of funds for State and units of local government if the Governor certifies, consistent with guidelines established by the Attorney General.

In addition to being eligible for JABG funds as a unit of local government, American Indian/Alaska Native Tribes, as defined by Section 102 of the Federally Recognized Indian Tribe List Act of 1994 (25 U.S.C 470a), or a consortium of such tribes, are eligible for JABG funding through OJJDP's Tribal Juvenile Accountability Discretionary Grant (T-JADG) program. OJJDP awards cooperative agreements on a competitive basis.

Beneficiary Eligibility:

All States and territories are eligible to receive an allocation and award of funds for State and units of local government. Funds are available to public and private agencies, organizations, or individuals to apply for discretionary and training and technical assistance funds.

Credentials/Documentation:

Each applicant must submit a completed application, including signed assurances that it will comply with statutory and administrative requirements. For Research and Evaluation grants, costs will be determined in accordance with OMB Circular No. A-87 for State and local governments. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applicants must submit completed applications via the Office of Justice Programs, Grants Management System. The receipt, review and analysis of applications will follow Office of Justice Programs policies and procedures for the administration of grant applications. The State Relations and Assistance Division is subject to the provisions of OMB Circular No. A-110 and the Common Rule.

Award Procedure:

A letter with copies of the grant award is sent to the applicant agency upon approval by the Office of Justice Programs. One copy of the grant award must be signed by the authorized official and returned to the Office of Justice Programs. Local units of government will receive JABG funds through the applicant agency subgrant award process. Each State and territory that receives monies under the JABG program must establish an interest-bearing trust fund to deposit program funds. Each State and territory (recipient) or unit of local government (subrecipient) that receives program funds must establish a coordinated enforcement plan for reducing juvenile crime, developed by an Advisory Board.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Formula grant applications will generally be approved within 2 to 4 months of receipt of a complete application. Discretionary grant applications also take 2 to 4 months.

Appeals:

Hearing and appeal procedures will follow 28 CFR, Part 18, of the Department of Justice Regulations.

Renewals:

Renewals are subject to appropriations.

Formula and Matching Requirements:

Statutory Formula:

Matching Requirements: Percent: 10.%. Public Law 107-273 allocates 0.5 percent of the appropriated amount for each State and territory and of the total funds remaining, allocates to each State an amount that bears the same ratio as the population of people under the age of 18 living in each State for the most recent calendar year in which the data is available. The Program requires a cash match of 10 percent of total program costs; Federal funds may not exceed 90 percent of total program costs. (Each State and territory that receives money under the JABG program must establish an interest-bearing trust fund to deposit program funds.) Interest derived from the award does not have to be matched, but interest generated from the trust fund cannot be used to match the Federal award. Matching contributions need not be applied at the exact time or in proportion to the obligation of Federal funds. However, the full match amount must be obligated by the end of the 36 month project period. For discretionary grants, no match is required. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Formula and discretionary grant awards are made for 36 months. See the following for information on how assistance is awarded/released: Please contact the program office for more information.

Reports:

Program reports are due on an annual basis. Cash reports are not applicable. Recipients are required to submit semi-annual Progress Reports. Recipients are required to submit quarterly Financial Reports. To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Recipients of federal funds are expected to retain documentation supporting all program transactions for at least 3 years after the closure of audit reports related to such funding. If any litigation, claim, negotiation, audit, or other action involving records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all related issues, or until the end of the regular 3-year period, whichever is later.

Account Identification:

15-0404-0-1-754.

Obligations:

(Formula Grants) FY 12 \$21,820,621; FY 13 est \$23,341,000; and FY 14 est \$30,000,000

Range and Average of Financial Assistance:

Public Law 107-273 allocates 0.5 percent of the appropriated amount for each

State and territory and of the total funds remaining, allocates to each State an amount that bears the same ratio as the population of people under the age of 18 living in each State for the most recent calendar year in which the data is available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Not available. Fiscal Year 2013: Not available. Fiscal Year 2014: Not available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Office of Justice Programs Financial Guide 2011 (www.ojp.usdoj.gov/financialguide/index.htm) and Post award Instructions (www.ojp.usdoj.gov/funding/pdfs/post_award_instructions.pdf), applicable OMB Circulars, and Department of Justice regulations applicable to specific types of grantees, which can be found in title 28 of the Code of Federal Regulations (28 C.F.R.).

Regional or Local Office:

None.

Headquarters Office:

Jennifer Yeh U.S. Department of Justice
Office of Justice Programs
Office of Juvenile Justice and Delinquency Prevention
810 7th Street, N.W., Washington, District of Columbia 20531 Phone: (202) 616-9135

Website Address:

<http://www.ojjdp.ncjrs.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are established by the Juvenile Accountability Block Grants (JABG) 2007 Guidance Manual as established by the Office of Juvenile Justice and Delinquency Prevention.

16.540 JUVENILE JUSTICE AND DELINQUENCY PREVENTION ALLOCATION TO STATES

State Formula Grants

FEDERAL AGENCY:

Office of Juvenile Justice and Delinquency Prevention, Department of Justice

AUTHORIZATION:

Juvenile Justice and Delinquency Prevention Act of 2002, Sections 221-223, 42 U.S.C. Sections 5631-5633.

OBJECTIVES:

To increase the capacity of State and local governments to support a variety of programs related to delinquency prevention and reduction, juvenile justice system improvement, research, evaluation, statistical analysis, and training and technical assistance.

TYPES OF ASSISTANCE:

FORMULA GRANTS; PROJECT GRANTS

USES AND USE RESTRICTIONS:

This program, established by the Juvenile Justice and Delinquency Prevention (JJDP) Act of 2002, allocates formula grant funds to States and territories on the basis of their relative population under age 18. The minimum allocation to each State is \$600,000 and to the Territories is \$100,000. States/Territories must demonstrate compliance with four core requirements of the JJDP Act in order to receive their full allocation of Formula Grants funds. The core requirements are: Section 223(a) (11) deinstitutionalization of status offenders; (12) separation of adults and juveniles in secure custody; (13) removal of juveniles from adult jails and lockups; and (22) the elimination of the disproportionate contact of minority juveniles, where such conditions exist. The State's allocation is reduced by 20 percent for each core requirement with which the State is in non-compliance. Technical Assistance: Not in excess of two percent of the funds available each fiscal year to formula grants is available for

grants and contracts with public and private agencies, organizations and individuals to provide assistance to States, units of general local governments, and combinations thereof, and local private agencies to facilitate compliance with Section 223 of the JJDP Act and implementation of the State Plan approved by OJJDP. Technical assistance provided under this provision is coordinated with the State agencies designated to implement the formula grants program. To be eligible for formula grant funds, a State must submit electronically a comprehensive plan applicable to a 3-year period embodying the purposes of the Act and including provisions that: (1) provide for an advisory group appointed by the chief executive of the State to carry out specified functions and to participate in the development and review of the State's juvenile justice plan; (2) provide that juveniles who are charged with or who have committed offenses that would not be criminal if committed by an adult, or offenses which do not constitute violations of valid court orders or such non-offenders as dependent and neglected children, are removed from secure juvenile detention and secure correctional facilities; (3) provide that juveniles alleged to be or found to be delinquent and youths within the purview of the deinstitutionalization core requirement not be confined or detained in any institution in which they have contact with adult persons incarcerated because they have been convicted of a crime or are awaiting trial on criminal charges; (4) provide that no juvenile shall be detained or confined in any jail or lockup for adults (with specified exceptions); and (5) provide for programs to eliminate the disproportionate contact of minority juveniles where such condition exists. Once the plan is approved, each State determines the specific programs to be funded. The States are responsible for processing applications for subgrants and administering funded projects. Two-thirds of funds must be passed through to units of general local government, private nonprofit agencies, and Indian Tribes performing law enforcement functions unless a waiver is granted.

Applicant Eligibility:

The Chief Executive of each State which chooses to apply for a formula grant shall establish or designate a State agency as the sole agency for supervising the preparation and administration of the plan, in accordance with the Juvenile Justice and Delinquency (JJDP) Act of 2002. Technical Assistance: Grants and contracts may only be made to agencies, organizations and individuals that have experience in providing technical assistance to State agencies in implementing State plans, and in facilitating compliance with Section 223 of the JJDP Act, Public Law 102-586.

Beneficiary Eligibility:

Units of a State and its local government, public and private organizations, Indian tribes performing law enforcement functions, and agencies involved in juvenile delinquency prevention, treatment, and rehabilitation.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Applicants must submit completed applications via the Office of Justice Programs, Grants Management System or through grants.gov following established criteria. The receipt, review, and analysis of applications will follow Office of Justice Programs policies and procedures for the administration of grant applications. Specific application instructions for solicitations are available at the Office of Justice Programs web site (<http://www.ojp.gov/funding/solicitations.htm>).

Award Procedure:

Upon approval by the Assistant Attorney General, successful applicants are notified via the Grants Management System. One copy of the grant award must be signed by the authorized official and returned to the Office of Justice Programs.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. Formula Grants Plans will generally be approved within 30 to 45 days of receipt of a complete application. Technical Assistance: Approval/disapproval time is provided on three levels (immediate response, total system response, and specialized, developmental response) from 1 to 2 hours to 10 days.

Appeals:

Hearings held by OJJDP. Technical assistance: Federal Acquisition Regulations apply.

Renewals:

Comprehensive Plan submission required every 3 years. Annual updates and applications required each of the other 2 years. Technical Assistance: Contracts are renewed throughout contract modifications and competition processes.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching Requirements: Percent: 10.%. State grantees may use no more than 10 percent of their Formula Grant allocation for planning and administration and they must match planning and administration funds dollar for dollar. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Fiscal year action funds may be carried forward for obligation for 2 years subsequent to the fiscal year of award. Under a Letter of Credit, drawdowns may be made. Technical Assistance: Three year incremental contract is funded. Method of awarding/releasing assistance: by letter of credit.

Reports:

Program reports are not applicable. Cash reports are not applicable. Recipients are required to submit semi-annual Progress Reports. Recipients are required to submit quarterly Financial Reports. To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Recipients of federal funds are expected to retain documentation supporting all program transactions for at least 3 years after the closure of audit reports related to such funding. If any litigation, claim, negotiation, audit, or other action involving records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all related issues, or until the end of the regular 3-year period, whichever is later.

Account Identification:

15-0405-0-1-754.

Obligations:

(Formula Grants) FY 12 \$33,105,175; FY 13 est \$41,080,000; and FY 14 est \$70,000,000

Range and Average of Financial Assistance:

Allocation of formula grants to States and territories are based on of relative populations under 18.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Office of Justice Programs Financial Guide 2011 (www.ojp.usdoj.gov/financialguide/index.htm) and Post award Instructions

(www.ojp.usdoj.gov/funding/pdfs/post_award_instructions.pdf), applicable OMB Circulars, and Department of Justice regulations applicable to specific types of grantees, which can be found in title 28 of the Code of Federal Regulations (28 C.F.R.).

Regional or Local Office:

None.

Headquarters Office:

Jennifer Yeh U.S. Department of Justice
Office of Justice Programs
Office of Juvenile Justice and Delinquency Prevention
810 7th Street, N.W., Washington, District of Columbia 20531 Phone: (202) 616-9135

Website Address:

<http://www.ojjdp.ncjrs.gov>.

RELATED PROGRAMS:

16.541 Part E - Developing, Testing and Demonstrating Promising New Programs; 16.548 Title V_Delinquency Prevention Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are established by the Juvenile Justice and Delinquency Prevention Act, as amended, and the regulations governing the Formula Grant Program provisions of the Juvenile Justice and Delinquency Prevention Act as published in the Federal Register.

16.541 PART E - DEVELOPING, TESTING AND DEMONSTRATING PROMISING NEW PROGRAMS

(Program Grants, Discretionary Grants and Contracts)

FEDERAL AGENCY:

Office of Juvenile Justice and Delinquency Prevention, Department of Justice

AUTHORIZATION:

Juvenile Justice and Delinquency Prevention Act of 2002, Sections 261, 262, Public Law 93-415, as amended; Public Laws 95-509, 98-473, 100-690, and 102-586, 42 U.S.C. 5601 et seq.

OBJECTIVES:

To develop and implement programs that design, test, and demonstrate effective approaches, techniques and methods for preventing and controlling juvenile delinquency such as community based- alternatives to institutional confinement; developing and implementing effective means of diverting juveniles from the traditional juvenile justice system; programs stressing advocacy activities aimed at improving services to youth impacted by the juvenile justice system; model programs to strengthen and maintain the family unit including self-help programs; prevention and treatment programs relating to juveniles who commit serious crimes; programs to prevent hate crimes; programs to provide aftercare and reintegration services; programs to prevent youth gun and gang violence.

TYPES OF ASSISTANCE:

PROJECT GRANTS; PROVISION OF SPECIALIZED SERVICES

USES AND USE RESTRICTIONS:

To be eligible for an Award or contract under Part E, an applicant must: (1) respond to legislative requirements contained in Section 261 (a) and (b) of the JJDP Act, as amended as well as specific program guidelines issued by the Office of Juvenile Justice and Delinquency Prevention (OJJDP); (2) be consistent with the objectives and priorities of OJJDP; (3) provide for proper program administration, evaluation, and fiscal reporting; (4) demonstrate, in the overall quality of the proposal, that the program is technically sound and will achieve the required program objectives at the highest possible level; and (5) respond to clear and documentable needs.

Applicant Eligibility:

Part E funds are available under the Juvenile Justice and Delinquency Prevention Act of 2002, as amended, to public and private nonprofit agencies, organizations, individuals, Indian Tribal government, State and local units of government, combinations of State or local units. (Section 263 of JJDP Act as

Amended).

Beneficiary Eligibility:

Public and private youth serving agencies/organizations, State and local units of government, combinations of such units, or other private agencies, organizations, institutions or individuals.

Credentials/Documentation:

Administration and cost appropriateness will be determined in accordance with the provisions of OMB circulars and government-wide common rules applicable to grants and cooperative agreements, including OMB Circular Nos. A-87 for State and local governments, A-21 for educational institutions, and A-122 for nonprofit organizations. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. The applicant must submit an application electronically through the Office of Justice Programs' Grant Management System or grants.gov as directed by the program announcement. Applicants are expected to address each concern or requirement in the guidelines as clearly and specifically as possible, giving particular attention to goal and objective statements, methodology and data requirements. A peer review group is established as mandated in Section 262(d)(1)(A) of JJDP Act and applications are rated and ranked in relation to pre-defined selection criteria. This program is subject to the provisions of OMB Circular No. A-110 and the Common Rule.

Award Procedure:

Assistance awards and contracts are awarded directly to applicants Deadlines (094):
Published in program announcements or requests for proposals.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 1 to 3 months.

Appeals:

Informal reconsideration by Administrator for assistance applicants, administrative hearings for assistance award terminations. See CFR Part 18, 50 FR 28199, July 11, 1985.

Renewals:

Continuation grant, supplemental award or contract modification.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Initial Awards usually are made for 12 to 36 months with further funding based upon the project period, grantee performance, and availability of funds. Drawdowns are possible under a Letter of Credit. Method of awarding/releasing assistance: by letter of credit.

Reports:

Semi-annual progress reports and quarterly financial reports are required. Quarterly financial reports are required. Semi-annual progress reports. Quarterly financial reports are required. Semi-annual progress reports.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a

year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. All organizations that expend financial assistance of \$300,000 or more in any fiscal year must have a single audit for that year in accordance with OMB Circular No. A-133, as amended, unless the audit condition on the award says otherwise. These audits are due to the cognizant Federal agency not later than 9 months after the end of the grantee's fiscal year.

Records:

Grantee must keep complete records on the disposition of funds, and records related to the grant must be retained for three years after the date of the final report.

Account Identification:

15-0405-0-1-754.

Obligations:

(Cooperative Agreements) FY 12 \$426,693; FY 13 Not Separately Identifiable; and FY 14 Not Separately Identifiable

Range and Average of Financial Assistance:

None.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Developing, Testing and Demonstrating Promising New Programs awards are governed by the OJP Financial Guide which is available upon request.

Regional or Local Office:

None.

Headquarters Office:

Kellie J. Dressler, Child Protection Division, Office of Juvenile Justice and Delinquency Prevention, Department of Justice, Washington, District of Columbia 20531 Phone: (202) 514-4817.

Website Address:

<http://www.ojjdp.ncjrs.org>

RELATED PROGRAMS:

16.540 Juvenile Justice and Delinquency Prevention_Allocation to States

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Applications are judged according to their consistency with the policies and program priorities established by the Juvenile Justice and Delinquency Prevention Act. Specific criteria are applied that are related to the particular program areas under which projects are funded. The criteria are published in the Federal Register as part of the individual program announcements. Applications undergo a competitive peer review process as outlined in the OJJDP Competition and Peer Review Policy 28 CFR Part 34.

16.543 MISSING CHILDREN'S ASSISTANCE

FEDERAL AGENCY:

Office of Juvenile Justice and Delinquency Prevention, Department of Justice

AUTHORIZATION:

Juvenile Justice and Delinquency Prevention Act, Section 405, 42 U.S.C. Section 5775, The Missing Children's Assistance Act, and the Protect Our Children Act of 2008, 42 U.S.C 5775.

OBJECTIVES:

To coordinate Federal missing and exploited children activities and to support research, training, technical assistance, and demonstration programs to enhance the overall response to missing children and their families. Establish and maintain a national resource center and clearinghouse dedicated to missing and exploited children issues that: (1) provides a toll-free hotline where citizens can report investigative leads and parents and other interested individuals can receive information concerning missing children; (2) provides technical assistance to parents, law enforcement, and other professionals working on

missing and exploited children cases; (3) promotes information sharing and provides technical assistance by networking with regional nonprofit organizations, State missing children clearinghouses, and law enforcement agencies; (4) develops publications that contain practical, timely information; and (5) provides information regarding programs offering free or low-cost transportation services that assist in reuniting children with their families. On a periodic basis, as funding is designated by Congress for this purpose, conduct national incidence studies to determine the type and extent of missing children in America. Support law enforcement demonstration programs (e.g., the Internet Crimes Against Children Task Force Program) to enhance the investigative response to missing and exploited children cases. Support research to broaden understanding of a wide range of missing and exploited children issues (e.g., abduction homicide investigation solvability factors), to inform training and technical assistance efforts and to identify promising practices and programs for replication. Develop training programs for law enforcement, child protective services, medical personnel, and prosecutors to enhance coordination and effectiveness of missing and exploited children investigations and to enhance the overall system response. Identify service gaps and develop programs to meet specialized needs of parents or guardians of children who are reported missing.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

The Administrator is authorized to make grants to and enter into contracts with public agencies or private nonprofit organizations, or combinations thereof, for research, demonstration projects, or service programs designed (1) to educate parents, children, and community agencies and organizations in ways to prevent the abductions and sexual exploitation of children; (2) to provide information to assist in the locating and return of missing children; (3) to aid communities in the collection of materials which would be useful to parents in assisting others in the identification of missing children; (4) to increase knowledge of and develop effective treatment pertaining to the psychological consequences, on both parents and children, of (a) the abduction of a child, both during the period of disappearance and after the child is recovered; and (b) the sexual exploitation of a missing child; (5) to collect detailed data from selected States or localities on the actual investigative practices utilized by law enforcement agencies in missing children's cases; (6) to address the particular needs of missing children by minimizing the negative impact of judicial and law enforcement procedures on children who are victims of abuse or sexual exploitation and by promoting the active participation of children and their families in cases involving abuse or sexual exploitation of children; (7) to address the needs of missing children and their families following the recovery of such children; and (8) reduce the likelihood that individuals under 18 years of age will be removed from the control of such individuals legal custodians without such custodians' consent; (9) and to establish or operate statewide clearinghouses to assist in recovering or locating missing children.

Applicant Eligibility:

Missing Children's funds are available under the Juvenile Justice and Delinquency Prevention Act of 1974, as amended, to public and private nonprofit agencies, organizations, individuals, State and local units of government, combinations of State or local units.

Beneficiary Eligibility:

State and local units of government, private nonprofit agencies, organizations, institutions or individuals.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular Nos. A-87 for State and local governments and A-122 for nonprofit organizations. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110.

Applicants must submit completed applications via the Office of Justice Programs, Grants Management System or through grants.gov following established criteria. The receipt, review, and analysis of applications will follow Office of Justice Programs policies and procedures for the administration of grant applications. Specific application instructions for solicitations are available at the Office of Justice Programs web site (<http://www.ojp.gov/funding/solicitations.htm>).

Award Procedure:

Upon approval by the Assistant Attorney General, successful applicants are notified via the Grants Management System. One copy of the grant award must be signed by the authorized official and returned to the Office of Justice Programs.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 1 to 6 months.

Appeals:

See 28 CFR Part 18.

Renewals:

Supplemental grants or contract modification.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Initial awards usually are made for 12 to 36 months with further funding based upon the project period and grantee performance. Drawdowns are possible under a Letter of Credit. Method of awarding/releasing assistance: by letter of credit.

Reports:

No program reports are required. No cash reports are required. Recipients are required to submit semi-annual Progress Reports. Recipients are required to submit quarterly Financial Reports. To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Recipients of federal funds are expected to retain documentation supporting all program transactions for at least 3 years after the closure of audit reports related to such funding. If any litigation, claim, negotiation, audit, or other action involving records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all related issues, or until the end of the regular 3-year period, whichever is later.

Account Identification:

15-0405-0-1-754.

Obligations:

(Project Grants) FY 12 \$63,715,834; FY 13 est \$62,553,000; and FY 14 est \$67,000,000

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Office of Justice Programs Financial Guide 2011 (www.ojp.usdoj.gov/financialguide/index.htm) and Post award Instructions (www.ojp.usdoj.gov/funding/pdfs/post_award_instructions.pdf), applicable OMB Circulars, and Department of Justice regulations applicable to specific types of grantees, which can be found in title 28 of the Code of Federal Regulations (28 C.F.R.).

Regional or Local Office:

None.

Headquarters Office:

Jeff Slowikowski U.S. Department of Justice
Office of Justice Programs
Office of Juvenile Justice and Delinquency Prevention
810 7th Street, NW, Washington, District of Columbia 20531 Phone: (202) 616-3646

Website Address:

<http://www.ojjdp.ncjrs.org>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Vary by program. Applications are judged according to their consistency with the policies and program priorities established by the Juvenile Justice and Delinquency Prevention Act. Specific criteria are published in the Federal Register as part of the individual program announcement. Applications undergo a competitive peer review process as outlined in the OJJDP Competition and Peer Review Policy, 28 CFR Part 34.

16.544 YOUTH GANG PREVENTION

FEDERAL AGENCY:

Office of Juvenile Justice and Delinquency Prevention, Department of Justice

AUTHORIZATION:

Juvenile Justice and Delinquency Prevention Act of 1974, Sections 281 and 282, Public Law 93-415, as amended.
. Public Law 93-415.

OBJECTIVES:

To prevent, intervene, and reduce the participation of juveniles in the activities of gangs that commit crimes. Such programs and activities may include: 1) individual, peer, family, and group counseling, including provision of life skills training and preparation for living independently, which shall include cooperation with social services, welfare, and health care programs; 2) education and social services designed to address the social and developmental needs of juveniles; 3) crisis intervention and counseling to juveniles, who are particularly at risk of gang involvement, and their families; 4) the organization of the neighborhood and community groups to work closely with parents, schools, law enforcement, and other public and private agencies in the community; 5) supporting the coordination of existing comprehensive community-based anti-gang initiatives; and 6) training and assistance to adults who have significant relationships with juveniles who are or may become members of gangs, to assist such adults in providing constructive alternatives to participating in the activities of gangs. To develop within the juvenile adjudicatory and correctional systems new and innovative means to address the problems of juveniles convicted of serious drug-related and gang-related offenses. To provide treatment to juveniles who are members of such gangs, including members who are accused of committing a serious crime and members who have been adjudicated as being delinquent. To promote the involvement of juveniles in lawful activities in geographical areas in which gangs commit crimes. To promote and support, with the cooperation of community-based organizations experienced in providing services to juveniles engaged in gang-related activities and cooperation of local law enforcement agencies, the development of policies and activities in public elementary and secondary schools which will assist such schools in maintaining a safe environment conducive to learning. To assist juveniles who are or may become

members of gangs to obtain appropriate educational instruction, in or outside a regular school program, including the provision of counseling and other services to promote and support the continued participation of such juveniles in such instructional programs. To expand the availability of prevention and treatment services relating to the illegal use of controlled substances and controlled substances analogues (as defined in paragraphs (6) and (32) of section 102 of the Controlled Substances Act (21 U.S.C. 802) by juveniles, provided through State and local health and social services agencies. To provide services to prevent juveniles from coming into contact with the juvenile justice system again as a result of gang-related activity. To provide services at a special location in a school or housing project. To facilitate coordination and cooperation among: 1) local education, juvenile justice, employment, law enforcement, and social service agencies; and 2) community-based programs with a proven record of effectively providing intervention services to juvenile gang members for the purpose of reducing the participation of juveniles in illegal gang activities.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

To be eligible for an award or contract, an applicant must: (1) respond to legislative requirements contained in Section 281A and 282A of the JJDP Act, as amended as well as specific program guidelines issued by the Office of Juvenile Justice and Delinquency Prevention (OJJDP); (2) be consistent with the objectives and priorities of OJJDP; (3) provide for adequate program administration, evaluation and fiscal reporting; (4) demonstrate, in the overall quality of the proposal, that the program is technically sound and will achieve the required program objectives at the highest possible level; and (5) respond to clear and documentable needs.

Applicant Eligibility:

Part D funds are available under the Juvenile Justice and Delinquency Prevention Act of 1974, as amended, to public or private nonprofit agencies, organizations or individuals.

Beneficiary Eligibility:

Public or private nonprofit agencies, organizations or individuals.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular Nos. A-87 for State and local governments, A-21 for educational institutions, and A-122 for nonprofit organizations. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applicants must submit completed applications via the Office of Justice Programs, Grants Management System or through grants.gov following established criteria. The receipt, review, and analysis of applications will follow Office of Justice Programs policies and procedures for the administration of grant applications. Specific application instructions for solicitations are available at the Office of Justice Programs web site (<http://www.ojp.gov/funding/solicitations.htm>).

Award Procedure:

Upon approval by the Assistant Attorney General, successful applicants are notified via the Grants Management System. One copy of the grant award must be signed by the authorized official and returned to the Office of Justice Programs.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Determined by the Assistant Attorney General, OJP.

Renewals:

Office of Justice Programs Financial Guide 2011 (www.ojp.usdoj.gov/financialguide/index.htm) and Post Award Instructions (www.ojp.usdoj.gov/funding/pdfs/post_award_instructions.pdf).

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Initial awards usually are made for a period of 12 to 36 months with further funding based upon the project period and grantee performance and availability of funds. Drawdowns are possible under a Letter of Credit. Method of awarding/releasing assistance: by letter of credit.

Reports:

Program reports are not applicable. Cash reports are not applicable. Recipients are required to submit semi-annual Progress Reports. Recipients are required to submit quarterly Financial Reports. To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Recipients of federal funds are expected to retain documentation supporting all program transactions for at least 3 years after the closure of audit reports related to such funding. If any litigation, claim, negotiation, audit, or other action involving records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all related issues, or until the end of the regular 3-year period, whichever is later.

Account Identification:

15-0405-0-1-754.

Obligations:

(Formula Grants) FY 12 \$4,414,426; FY 13 est \$4,668,000; and FY 14 est \$0

Range and Average of Financial Assistance:

Varies.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Office of Justice Programs Financial Guide 2011 (www.ojp.usdoj.gov/financialguide/index.htm) and Post award Instructions (www.ojp.usdoj.gov/funding/pdfs/post_award_instructions.pdf), applicable OMB Circulars, and Department of Justice regulations applicable to specific types of grantees, which can be found in title 28 of the Code of Federal Regulations (28 C.F.R.).

Regional or Local Office:

None.

Headquarters Office:

Kellie Dressler U.S. Department of Justice
Office of Justice Programs
Office of Juvenile Justice and Delinquency Prevention
810 7th Street, NW, Washington, District of Columbia 20351 Phone: (202) 514-4817

Website Address:

<http://www.ojjdp.gov/>

RELATED PROGRAMS:

16.540 Juvenile Justice and Delinquency Prevention_Allocation to States;
16.541 Part E - Developing, Testing and Demonstrating Promising New Programs

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications are assessed according to their consistency with the policies and program priorities established by the Juvenile Justice and Delinquency Prevention Act. Specific criteria are applied that are related to the particular program areas under which projects are funded. The criteria are published in the Federal Register as part of each program announcement. Applications may undergo a competitive peer review process as outlined in the OJJDP Competition and Peer Review Policy 28 CFR Part 34.

16.548 TITLE V DELINQUENCY PREVENTION PROGRAM**FEDERAL AGENCY:**

Office of Juvenile Justice and Delinquency Prevention, Department of Justice

AUTHORIZATION:

The Incentive Grants for Local Delinquency Prevention Programs Act of 2002.

OBJECTIVES:

Title V focuses on reducing risks and enhancing protective factors to prevent youth at risk of becoming delinquent from entering the juvenile justice system and to intervene with first-time and nonserious offenders to keep them out of the juvenile justice system. The programs goal is to increase the availability and types of prevention programs to improve juvenile justice systems (Section 504(a) of the JJDP Act). The objective of the program is to support both state and local prevention efforts. Each year, state grantees will provide information that OJJDP will include in its annual Title V report to Congress (Section 503(4) of the JJDP Act).

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

This program authorizes the Administrator to make grants to a State, to be transmitted through the State Advisory Group (SAG), to units of local government for delinquency prevention programming.

Applicant Eligibility:

Only the agency designated by the chief executive (e.g., the governor) of each state that has a properly constituted state advisory group, as specified in the JJDP Act, is eligible to apply under this solicitation. The term state means any state of the United States, the District of Columbia, the Commonwealth of Puerto Rico, the Virgin Islands, Guam, American Samoa, and the Commonwealth of the Northern Mariana Islands. Applicants who do not meet this criterion are ineligible to apply.

States will invite units of local government that meet the statutorily mandated eligibility requirements to apply for funding and competitively select for funding those jurisdictions that meet the minimum selection criteria specified in the guidelines as published in the Federal Register, and other such criteria as the State shall adopt.

Beneficiary Eligibility:

Youth at risk of juvenile justice involvement and their families.

Credentials/Documentation:

Cost will be determined in accordance with OMB Circular No. A-87 for State and local governments. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. State applicants are submitted to OJJDP electronically following pre-established criteria.

Award Procedure:

After OJJDP awards grants to the States, the SAG, in consultation with the State Agency, awards subgrants to units of local government through a competitive process.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Hearings for State applicants held by OJJDP, subject to applicable Federal regulations. Appeal process for units of local government are determined by each State, subject to applicable Federal regulations.

Renewals:

Applications are invited annually. Fund availability will be determined by Congressional appropriation.

Formula and Matching Requirements:

Statutory Formula:

Matching Requirements: Percent: 50%. Formula based on population of youth under the maximum age of original juvenile court delinquency jurisdiction.

State and/or units of local government must match Title V fund with a 50 percent cash or the value of in-kind contributions.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grants to States will be awarded for a 36-month project period. Method of awarding/releasing assistance: by letter of credit.

Reports:

No program reports are required. No cash reports are required. Recipients are required to submit semi-annual Progress Reports, and additional reporting requirements listed in OJJDP guidelines for Title V. Recipients are required to submit quarterly Financial Reports, and additional reporting requirements listed in OJJDP guidelines for Title V. To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work; and, additional reporting requirements listed in OJJDP guidelines for Title V.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Recipients of federal funds are expected to retain documentation supporting all program transactions for at least 3 years after the closure of audit reports related to such funding. If any litigation, claim, negotiation, audit, or other action involving records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all related issues, or until the end of the regular 3-year period, whichever is later.

Account Identification:

15-0405-0-1-754.

Obligations:

(Formula Grants) FY 12 \$20,000,000; FY 13 est \$18,673,000; and FY 14 est \$56,000,000

Range and Average of Financial Assistance:

Available in the OJP Program Announcement available at <http://www.ojp.gov/funding/solicitations.htm>.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations for Formula Grants and the OJP Financial Guide applicable editions, and Title V guidelines issued by OJJDP.

Regional or Local Office:

None.

Headquarters Office:

Jennifer Yeh U.S. Department of Justice
Office of Justice Programs
Office of Juvenile Justice and Delinquency Prevention
810 7th Street, NW, Washington, District of Columbia 20531 Phone: (202) 616-9135

Website Address:

<http://www.ojjdp.ncjrs.org/titleV>

RELATED PROGRAMS:

16.540 Juvenile Justice and Delinquency Prevention_Allocation to States;
16.541 Part E - Developing, Testing and Demonstrating Promising New Programs

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are established by the Juvenile Justice and Delinquency Prevention Act, as amended, and the Guideline governing the Title V Grant Program provisions of the JJDP Act. OJP Program Announcement available at <http://www.ojp.gov/funding/solicitations.htm>.

16.550 STATE JUSTICE STATISTICS PROGRAM FOR STATISTICAL ANALYSIS CENTERS

SACs

FEDERAL AGENCY:

Bureau of Justice Statistics, Department of Justice

AUTHORIZATION:

Omnibus Crime Control and Safe Streets Act of 1968, as amended; 42 U.S.C. 3731-3732.

OBJECTIVES:

To provide financial and technical assistance to state governments for the establishment and operation of Statistical Analysis Centers (SACs) to collect, analyze, and disseminate justice statistics.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

To improve the administration of justice by encouraging the development of State-level capabilities for collecting, analyzing, utilizing, and disseminating statistical information pertaining to crime, criminal justice, sex offenses, incident based reporting, and for providing statistical information to the Federal Government for national compilations. To analyze particular criminal justice issues of current concern and significance to criminal justice practitioners, as identified by BJS in conjunction with other Office of Justice Programs components, the Justice Research and Statistics Association, and selected SAC Directors.

Applicant Eligibility:

Eligible applicants are state agencies whose responsibilities include statistical activities consistent with the goals of the specific programs and are designated as the state Statistical Analysis Center through an Executive Order or legislation.

Beneficiary Eligibility:

Eligible beneficiaries are state agencies whose responsibilities include statistical

activities consistent with the goals of the specific programs.

Credentials/Documentation:

The applicant must furnish, along with the application for an award, details of the program budget composition, goals, impact, methods, evaluation plan, and resources of the project. Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Applicants must submit completed applications via the Office of Justice Programs, Grants Management System or through grants.gov following established criteria. The receipt, review, and analysis of applications will follow Office of Justice Programs policies and procedures for the administration of grant applications. Specific application instructions for solicitations are available at the Office of Justice Programs web site (<http://www.ojp.gov/funding/solicitations.htm>).

Award Procedure:

An award is granted by the Director of the Bureau of Justice Statistics and must be accepted by the applicant agency or institution according to the special conditions of the grant or cooperative agreement. Successful applicants are notified via the Grants Management System. One copy of the grant award must be signed by the authorized official and returned to the Office of Justice Programs.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Deadlines are included with the application instructions, which are posted on the Office of Justice Programs web site (<http://www.ojp.gov/funding/solicitations.htm>).

Appeals:

Hearings by the Director.

Renewals:

Most awards are for periods of 12 months. Under some circumstances, a subsequent award may be made to continue the project.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grants will be awarded for a 24 to 36 month project period. See the following for information on how assistance is awarded/released: Office of Justice Programs Financial Guide (www.ojp.usdoj.gov/financialguide/index.htm) and Post Award Instructions (www.ojp.usdoj.gov/funding/pdfs/post_award_instructions.pdf). See the following for information on how assistance is awarded/released: Please contact the program office for further information.

Reports:

No program reports are required. No cash reports are required. Recipients are required to submit semi-annual Progress Reports. Recipients are required to submit quarterly Financial Reports. To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Recipients of federal funds are expected to retain documentation supporting all program transactions for at least 3 years after the closure of audit reports related to such funding. If any litigation, claim, negotiation, audit, or other action involving records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all related issues, or until the end of the regular 3-year period, whichever is later.

Account Identification:

15-0401-0-1-754.

Obligations:

(Cooperative Agreements) FY 12 Not Separately Identifiable; FY 13 est \$300,000; and FY 14 Not Separately Identifiable

Range and Average of Financial Assistance:

\$65,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: See <http://www.bjs.gov/index.cfm?ty=tp&tipd=48#state>.
Fiscal Year 2013: No Current Data Available
Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Office of Justice Programs funding opportunities
<http://www.ojp.gov/funding/solicitations.htm>
Office of Justice Programs Financial Guide
(www.ojp.usdoj.gov/financialguide/index.htm) and Post award Instructions
(www.ojp.usdoj.gov/funding/pdfs/post_award_instructions.pdf), applicable OMB Circulars, and Department of Justice regulations applicable to specific types of grantees, which can be found in title 28 of the Code of Federal Regulations (28 C.F.R.).

Regional or Local Office:

None.

Headquarters Office:

Stephanie L. Burroughs U.S. Department of Justice
Office of Justice Programs
Bureau of Justice Statistics
810 7th Street, NW, Washington, District of Columbia 20531 Email:
Stephanie.Burroughs@usdoj.gov Phone: (202) 514-9012

Website Address:

<http://www.bjs.gov/index.cfm?ty=tp&tipd=48>

RELATED PROGRAMS:

16.734 Special Data Collections and Statistical Studies

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are described in the program announcement available at <http://www.ojp.gov/funding/solicitations.htm>.

16.554 NATIONAL CRIMINAL HISTORY IMPROVEMENT PROGRAM (NCHIP)

NCHIP

FEDERAL AGENCY:

Bureau of Justice Statistics, Department of Justice

AUTHORIZATION:

Omnibus Crime Control and Safe Streets Act of 1968, Public Law 105-251; 42 U.S.C. 3760.

OBJECTIVES:

To enhance the quality and completeness of the nation's criminal history record systems; to provide financial and technical assistance to states and tribes for the establishment or improvement of computerized criminal history record systems and in their efforts to collect data on stalking and domestic violence; to improve data accessibility and support data transmissions to national systems will permit the immediate identification of persons who are prohibited from purchasing firearms, are subject to domestic violence protective orders, or are ineligible to hold positions of responsibility involving children, the elderly, or the disabled; to develop and improve the processes for identifying, classifying, collecting, and entering data regarding stalking and domestic violence into local, state, and national crime information databases; to ensure that criminal justice systems are designed, implemented, or upgraded to be compliant where applicable, with the FBI operated National Instant Criminal Background Check System and Interstate Automated Fingerprint Identification System, meet other applicable statewide or regional criminal justice information sharing standards and plans; and, build upon ongoing efforts so as to support the wide range of technology based, criminal justice information, identification, and communications needs.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Allowable uses of funds are outlined in the NCHIP Program Announcement which are posted on the Office of Justice Programs web site (<http://www.ojp.gov/funding/solicitations.htm>).

Applicant Eligibility:

Applicants are limited to the agency designated by the governor in each state to administer the NCHIP program, and federally recognized tribal entities. States and tribes may choose to submit applications as part of a multi-state consortium, multi-tribe consortium, or other entity. In such cases, please contact your BJS program manager for further information..

Beneficiary Eligibility:

Funds awarded to the state or tribe may be allocated for use in state or local/tribal agencies or the courts, but should have an impact on national record systems. Private organizations may receive funds under contract arrangements with a state/tribal agency or its subgrantees to which NCHIP funds are allocated by the state/tribe.

Credentials/Documentation:

The applicant must furnish, along with the application for an award, a detailed budget, a budget narrative, and a program narrative including the accomplishments, goals, impact, methods, evaluation plan, and resources of the project. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

An applicant should consult the office or official designated as the single point of contact in his or her state for more information on the process the state requires to be followed in applying for assistance, if the state has selected the program for review. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her state for more information on the process the state requires to be followed in applying for assistance, if the state has selected the program for review. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applicants must submit completed applications via the Office of Justice Programs, Grants Management System or through grants.gov following established criteria. The receipt, review, and analysis of applications will follow Office of Justice Programs policies and procedures for the administration of grant applications. Specific application instructions for solicitations are available at the Office of Justice Programs web site (<http://www.ojp.gov/funding/solicitations.htm>).

Award Procedure:

Upon approval by the Assistant Attorney General, successful applicants are notified via the Grants Management System. One copy of the grant award must be signed by the authorized official and returned to the Office of Justice Programs.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Hearings by the Director.

Renewals:

Awards may be for up to 12 months. Activities must be completed by the end of the Federal fiscal year, September 30th.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Percent: 10.%. Provision of a 10 percent cash or in-kind match by the recipient is required.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Project duration period will be for up to 12 months. There are three methods for requesting payment of grant funds: the Letter of Credit Electronic Certification System (LOCES), the Phone Activated Paperless Request System (PAPRS) and the Automated Standard Application for Payment (ASAP). See the following for information on how assistance is awarded/released: Please contact the program office for more information.

Reports:

No program reports are required. No cash reports are required. Recipients are required to submit semi-annual Progress Reports. Recipients are required to submit quarterly Financial Reports. To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Recipients of federal funds are expected to retain documentation supporting all program transactions for at least 3 years after the closure of audit reports related to such funding. If any litigation, claim, negotiation, audit, or other action involving records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all related issues, or until the end of the regular 3-year period, whichever is later.

Account Identification:

15-0401-0-1-754; 15-0404-0-1-754.

Obligations:

(Project Grants) FY 12 \$6,095,305; FY 13 est \$5,579,000; and FY 14 est \$50,000,000

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: <http://bjs.gov/index.cfm?ty=tp&tid=47#accomplishments>.
Fiscal Year 2013: No Current Data Available
Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Office of Justice Programs Financial Guide (www.ojp.usdoj.gov/financialguide/index.htm) and Post award Instructions (www.ojp.usdoj.gov/funding/pdfs/post_award_instructions.pdf), applicable OMB Circulars, and Department of Justice regulations applicable to specific types of grantees, which can be found in title 28 of the Code of Federal Regulations (28 C.F.R.).

Regional or Local Office:

None.

Headquarters Office:

Devon B. Adams, U.S. Department of Justice
Office of Justice Programs
Bureau of Justice Statistics
810 7th Street, NW
, Washington, District of Columbia 20531 Email: Devon.Adams@usdoj.gov
Phone: (202) 307-0765.

Website Address:

<http://bjs.gov/index.cfm?ty=tp&tid=47>

RELATED PROGRAMS:

16.813 NICS Act Record Improvement Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Criteria are described in the OJP Program Announcement available at <http://www.ojp.gov/funding/solicitations.htm>.

16.560 NATIONAL INSTITUTE OF JUSTICE RESEARCH, EVALUATION, AND DEVELOPMENT PROJECT GRANTS

FEDERAL AGENCY:

National Institute of Justice, Department of Justice

AUTHORIZATION:

Omnibus Crime Control and Safe Streets Act of 1968, Section 201, as amended; Anti-Drug Abuse Act of 1988, Public Law 100-690.

OBJECTIVES:

To encourage and support research, development, and evaluation to further understanding of the causes and correlates of crime and violence, methods of crime prevention and control, and criminal justice system responses to crime and violence and contribute to the improvement of the criminal justice system and its responses to crime, violence, and delinquency.

TYPES OF ASSISTANCE:

DISSEMINATION OF TECHNICAL INFORMATION; PROJECT GRANTS

USES AND USE RESTRICTIONS:

The funds may be used to conduct research and development pertaining to the above objectives, including the development of new or improved approaches, techniques, systems, and technologies and to carry out programs of research on the causes of crime and means of preventing crime, and to evaluate criminal justice programs and procedures, and responses to crime, violence, and delinquency.

Applicant Eligibility:

The National Institute of Justice (NIJ) is authorized to make grants to, or enter into contracts or cooperative agreements with State and local governments, private nonprofit organizations, public nonprofit organizations, profit organizations, nonprofit organizations, institutions of higher education, and qualified individuals. Applicants from the Territories of the United States and federally recognized Indian Tribal Governments are also eligible to participate in this program. Federal agencies are eligible to apply for funding under this solicitation. If an award is made to a Federal agency, it will be through an Interagency Agreement (IAA) with the National Institute of Justice, Office of Justice Programs, Department of Justice.

Beneficiary Eligibility:

State and local governments; private nonprofit organizations, public nonprofit organizations, profit organizations, nonprofit organizations, institutions of

higher education, and qualified individuals.

Credentials/Documentation:

The applicant must furnish, along with the application for a grant, cooperative agreement or contract, resumes of principal investigator and key personnel, details of the budget composition, goals, impact, methods, evaluation, schedule and resources of the project. Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments and OMB Circular No. A-21 for educational institutions. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. General information is provided in individual program solicitations, which are obtained by accessing the web site at www.ojp.usdoj.gov/nij, sending a self-addressed mailing label to NCJRS, Box 6000, Rockville, MD 20849-6000; or calling toll free to request a copy. Telephone: (800) 851-3420. This program is subject to the provisions of OMB Circular No. A-110 or the Common Rule, where applicable. The Office of Justice Programs (OJP) requires that funding applications be submitted electronically through the OJP Grants Management System (GMS), which will be accessed at <http://www.ojp.usdoj.gov/fundopps.htm>. On-line submission of an application represents legal binding acceptance of the terms of the application. For further information about GMS, call the OJP GMS Hotline at (1-888) 549-9901.

Award Procedure:

All applications are reviewed and evaluated by program managers for compatibility with the needs of the Institute's research plan, on-going or prior studies, and the particular promise of the proposal itself. The Institute uses external peer review processes, in addition to NIJ program manager review, in order to weigh each application in terms of proposed methodology, economy, and other criteria bearing on the technical merit of the proposal. The Institute Director makes final award decisions, incorporating the recommendations of the relevant NIJ staff and management-level personnel and external peer reviewers.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 60 days for concept papers and 180 days for full proposals.

Appeals:

Hearing by the Director.

Renewals:

Please contact the program office for more information.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. This program has no statutory formula requirement. However, the Institute shall require, whenever feasible, as a condition of approval of a grant or contract that the recipient contribute money, facilities, or services to carry out the purpose for which the grant or contract is sought.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Project durations are normally up to 24 months but longer awards are possible. See the following for information on how assistance is awarded/released: Please contact the program office for more information.

Reports:

Program reports are not applicable. Cash reports are not applicable. Semiannual. Quarterly. Performance measures are required, as specified in the solicitation.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. All

organizations that expend financial assistance of \$500,000 or more in any fiscal year must have a single audit for that year in accordance with OMB Circular No. A-133, as amended, unless the audit condition on the award says otherwise.

These audits are due to the cognizant Federal agency not later than 9 months after the end of the grantee's fiscal year.

Records:

Records and accounts concerning the expenditure of Institute and grantee or contractor contributed funds shall be maintained during the grant and contract period and retained for 3 years thereafter.

Account Identification:

15-0401-0-1-754.

Obligations:

(Project Grants) FY 12 \$29,485,756; FY 13 est \$40,336,000; and FY 14 est \$44,500,000

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Grants and funding information are available free from the National Criminal Justice Reference Service, Box 6000, Rockville, MD 20849-6000. Telephone: (800) 851-3420. It is also available electronically through the NCJRS web site at www.ncjrs.org or through the NIJ website under "Funding Opportunities" at www.ojp.usdoj.gov/nij.

Regional or Local Office:

None.

Headquarters Office:

NIJ, U.S. Department of Justice
Office of Justice Programs
National Institute of Justice
810 7th Street, N.W., Washington, District of Columbia 20531 Phone: (202) 307-6394.

Website Address:

<http://www.ojp.usdoj.gov/nij>.

RELATED PROGRAMS:

16.562 Criminal Justice Research and Development_Graduate Research Fellowships; 16.566 National Institute of Justice W.E.B. DuBois Fellowship Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Proposals are evaluated according to the criteria specified in the program solicitation. With few exceptions, all proposals are reviewed competitively by a peer review panel consisting of outside experts in the field for technical quality and the general merits of the problems addressed. The Institute uses the peer review process to ensure fair and knowledgeable evaluation of preapplications and proposals. Program solicitations are announced in the Federal Register and advertised on the Institute's website at www.ojp.usdoj.gov/nij.

16.566 NATIONAL INSTITUTE OF JUSTICE W.E.B. DUBOIS FELLOWSHIP PROGRAM

FEDERAL AGENCY:

National Institute of Justice, Department of Justice

AUTHORIZATION:

Omnibus Crime Control and Safe Streets Act of 1968, Section 201, as amended; Anti-Drug Abuse Act of 1988, Public Law 100-690.

OBJECTIVES:

To provide opportunities for researchers with an interest in building knowledge for the enhancement of justice system administration, delinquency prevention, and violence reduction with opportunities to pursue projects aimed at addressing these issues from diverse cultural perspectives and evaluating the

operational complexities of justice system administration manifested in various cultural contexts.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

The funds may be used to conduct research for both adult and juvenile systems on crime causation, crime measurements, crime prevention, law enforcement, criminal justice administration, and the effectiveness and efficiency of anti-crime programs. Fellows conduct their studies while based at the National Institute of Justice.

Applicant Eligibility:

Fellowship grants are awarded to individuals or to their parent agencies or organizations. IPA appointments also may be negotiated with Fellows' parent agencies. Generally, researchers and academicians working in the criminal justice field, including university or college-based academic researchers and upper-level managers in criminal justice agencies are eligible.

Beneficiary Eligibility:

Generally, researchers and academicians with research experience in criminal-justice or criminal-justice relevant fields are eligible for grants; those working for law enforcement related branches of State or local government units are eligible for grants or IPA appointments. Each prospective candidate must have at least a doctoral-level or a legal degree of J.D. or higher.

Credentials/Documentation:

The applicant must furnish, along with the application for a grant, a resume, a project description which includes the project's scope, a discussion of the state-of-the-art methodology, project period, and the anticipated impact of the study on the justice system. Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Detailed information is provided in a program solicitation which is obtained by sending a self-addressed mailing label to NCJRS, Box 6000, Rockville, MD 20849-6000; calling toll free to request a copy. Telephone: (800) 851-3420; or accessing the web site at www.ojp.usdoj.gov/nij under "Funding Opportunities." This program is subject to the provisions of OMB Circular No. A-110 or the Common Rule, where applicable.

Award Procedure:

Grants are awarded by the Institute Director based on the recommendations of the Institute staff and outside reviewers.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. Approximately 90 days from application deadline.

Appeals:

Hearing by the Director.

Renewals:

Please contact program office for more information.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Project durations are normally not less than 6 months nor more than 12 months. See the following for information on how assistance is awarded/released: Please contact program office for more information.

Reports:

Program reports are not applicable. Cash reports are not applicable. Reports consisting of bi-annual progress reports; and a final report including a summary are required. Other reports may be requested. Fiscal report consisting of quarterly expenditures and budget expenditure reports are required. Performance measure data, as stipulated in the solicitation, are required. To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records and accounts concerning the expenditure of Institute and grantee or appointee-contributed funds shall be maintained during the grant period and retained for 3 years thereafter.

Account Identification:

15-0401-0-1-754.

Obligations:

(Project Grants) FY 12 Not Separately Identifiable; FY 13 Not Separately Identifiable; and FY 14 Not Separately Identifiable

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Two Fellowships awarded. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Applicants may obtain program information by accessing the Institute's web site at www.ojp.usdoj.gov/nij under "Funding Opportunities" or calling NCJRS toll free. Telephone: (800) 851-3420.

Regional or Local Office:

None.

Headquarters Office:

NIJ, U.S. Department of Justice
Office of Justice Programs
National Institute of Justice
810 7th Street, N.W., Washington, District of Columbia 20531 Phone: (202) 307-2942.

Website Address:

<http://www.nij.gov/nij/funding/fellowships/dubois-fellowship/welcome.htm>

RELATED PROGRAMS:

16.562 Criminal Justice Research and Development_Graduate Research Fellowships

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

All proposals will be reviewed by a peer review panel. Their selection will be based on the applicant's experience, the significance and conceptualization of the project topic, the quality and feasibility of the research design, and the potential impact of the project on the criminal justice system.

16.571 PUBLIC SAFETY OFFICERS' BENEFITS PROGRAM**PSOB****FEDERAL AGENCY:**

Bureau of Justice Assistance, Department of Justice

AUTHORIZATION:

Public Safety Officers' Benefits Act of 1976.

OBJECTIVES:

To provide death benefits to the eligible survivors of federal, state, or local public safety officers whose deaths are the direct and proximate result of a personal (traumatic) injury sustained in the line of duty. Effective November 29, 1990, the Act also provides the same benefit to a public safety officer who has been permanently and totally disabled as the direct result of a catastrophic personal injury sustained in the line of duty. The injury must permanently prevent the officer from performing any gainful work.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS WITH UNRESTRICTED USE

USES AND USE RESTRICTIONS:

To provide a one-time financial death benefit to the eligible survivors of federal, state, or local public safety officers, and disability benefit to the federal, state, or local public safety officer whose permanent and total disability is the direct and proximate result of a catastrophic personal injury sustained in the line of duty. There are no restrictions to how the monies are spent once they reach the recipient with the exception that monies paid on behalf of minor children must be used for their care and benefit.

Applicant Eligibility:

Public safety officer means--

(A) an individual serving a public agency in an official capacity, with or without compensation, as a law enforcement officer, as a firefighter, or as a chaplain;

(B) an employee of the Federal Emergency Management Agency who is performing official duties of the Agency in an area, if those official duties (i) are related to a major disaster or emergency that has been, or is later, declared to exist with respect to the area under the Robert T. Stafford Disaster Relief and Emergency Assistance Act; and (ii) are determined by the Administrator of the Federal Emergency Management Agency to be hazardous duties;

(C) an employee of a State, local, or tribal emergency management or civil defense agency who is performing official duties in cooperation with the Federal Emergency Management Agency in an area, if those official duties (i) are related to a major disaster or emergency that has been, or is later, declared to exist with respect to the area under the Robert T. Stafford Disaster Relief and Emergency Assistance Act; and (ii) are determined by the head of the agency to be hazardous duties; or

(D) a member of a rescue squad or ambulance crew who, as authorized or licensed by law and by the applicable agency or entity, is engaging in rescue activity or in the provision of emergency medical services.

Disabled public safety officers and eligible survivors of deceased public safety officers in the District of Columbia, Puerto Rico, Guam, Virgin Islands, American Samoa, the Pacific Trust Territories and the Northern Mariana Islands are also eligible for benefits under the Act. Death benefit coverage for (1) state and local law enforcement officers and firefighters applies to deaths occurring on or after September 29, 1976; (2) federal law enforcement officers and firefighters applies to deaths occurring on or after October 12, 1984; (3) federal, state, and local rescue squad and ambulance crew members applies to death occurring on or after October 15, 1986; and (4) Federal Emergency Management Agency (FEMA) personnel and state, local, and tribal emergency management and civil defense agency employees applies to deaths occurring on or after October 30, 2000. Disability benefit coverage for federal, state, and local law enforcement officers, firefighters and members of public rescue squads and ambulance crews applies to injuries sustained on or after November 29, 1990. FEMA personnel and state, local, and tribal emergency management and civil defense agency employees are covered for such injuries sustained on or after October 30, 2000. Disability benefit coverage for federal, state, and local law enforcement officers, firefighters and members of public rescue squads and ambulance crews applies to injuries sustained on or after November 29, 1990. FEMA personnel and state, local, and tribal emergency management

and civil defense agency employees are covered for such injuries sustained on or after October 30, 2000 and Chaplains as of September 11, 2001.

Beneficiary Eligibility:

The Public Safety Officers' Benefits (PSOB) Act, enacted in 1976, was designed to offer peace of mind to men and women seeking careers in public safety and to make a strong statement about the value American society places on the contributions of those who serve their communities in potentially dangerous circumstances. The PSOB Program provides a one-time financial benefit to the eligible survivors of public safety officers whose deaths are the direct and proximate result of a traumatic injury sustained in the line of duty. As of October 1, 2011, the death benefit amount is \$,328,613.

Credentials/Documentation:

Claim forms entitled "Claim for Death Benefits" and "Report of Public Safety Officer's Death" or "Report of Public Safety Officers' Permanent and Total Disability," and supporting family, medical and investigative documentation. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Employing agencies and/or claimants should initiate a claim via the online system at www.psob.gov, or by emailing, telephoning, or writing to the Public Safety Officers' Benefits Program, Bureau of Justice Assistance, 810 7th Street NW, Washington, DC 20531. Telephone: (1-888) 744-6513. Upon receiving such notification, program staff will provide claim forms and advise the employing agency and claimant of claim documentation requirements. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Submit "Claim for Death Benefits" and "Report of Public Safety Officer's Death" or "Report of Public Safety Officers' Permanent and Total Disability," and supporting documentation to the Public Safety Officers' Benefits Office, Bureau of Justice Assistance, 810 7th Street NW, Washington, DC 20531.

Award Procedure:

Upon a finding of eligibility by the Bureau of Justice Assistance, eligible claimants are awarded a lump sum benefit. For Death Benefit: If the officer is survived by spouse, but there are no eligible children, the spouse will receive 100% of the benefit. If the officer is survived by spouse and eligible children, the spouse will receive 50% of the benefit and the children will receive equal shares of the remaining 50%. If the officer is survived by eligible children but no spouse, the children will receive equal shares of the benefit. If the officer is survived by neither a spouse nor eligible children and did not have a PSOB designee or life insurance policy on file with his or her employing agency, then the benefit will be made payable to the surviving parents in equal shares. If there are no surviving parents, then children who would be eligible but for age will receive the benefit in equal shares.

Deadlines:

Oct 01, 2009 A claim may be filed up to 3 years following the date of a public safety officer's death or catastrophic personal injury; or, 1 year after a final determination of entitlement to receive, or denial of, benefits allowed by his/her jurisdiction for such a death or catastrophic personal injury.

Range of Approval/Disapproval Time:

Dependent on circumstances of death, sufficiency of claim documentation, and/or the need for expert medical reviews. This varies from case to case.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Not Applicable. Method of awarding/releasing assistance: lump sum.

Reports:

No reports are required.

Audits:

No audits are required for this program.

Records:

None.

Account Identification:

15-0403-0-1-754.

Obligations:

(Direct Payments with Unrestricted Use) FY 12 Not Available; FY 13 est \$77,911,000; and FY 14 est \$81,300,000

Range and Average of Financial Assistance:

None.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Title 28, Code of Federal Regulations, Part 32.

Regional or Local Office:

None.

Headquarters Office:

Hope Janke Office of Justice Programs
Bureau of Justice Assistance
Public Safety Officers' Benefits Office
810 7th Street, NW, Washington, District of Columbia 20531 Email:
AskPSOB@usdoj.gov Phone: 1-888-744-6513

Website Address:

<http://www.psob.gov>

RELATED PROGRAMS:

16.575 Crime Victim Assistance; 16.576 Crime Victim Compensation; 16.615 Public Safety Officers' Educational Assistance

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

16.575 CRIME VICTIM ASSISTANCE

FEDERAL AGENCY:

Office for Victims of Crime, Department of Justice

AUTHORIZATION:

42 U.S.C. 10603(a).

OBJECTIVES:

Enacted in 1984, the Victims of Crime Act (VOCA) is the central source of federal financial support for direct services to victim of crime. VOCA is administered at the federal level through the U.S. Department of Justice, Office for Victims of Crime which annually awards a grant to each state, the District of Columbia and U.S. Territories to support victim assistance services for victims and survivors of domestic violence, sexual assault, child abuse, drunk driving, homicide, and other crimes. Each state has a designated VOCA assistance agency to administer VOCA grants. Those state agencies in turn, subgrant to organizations that provide direct services to victims of crime. While minimal federal requirements must be met, each state is given great discretion in awarding specific subgrants.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Funds under this program shall be used by States and territories to provide direct services to crime victims with the following exception: States may retain up to 5 percent of their grant for administrative and training purposes. All States and most Territories receive an annual VOCA victim assistance grant. Each State, the District of Columbia, the U.S. Virgin Islands, and Puerto Rico receive

a base amount of \$500,000. The territories of the Northern Mariana Islands, Guam, and American Samoa, each receive a base amount of \$200,000. That portion of the then remaining funds will be divided among all States or territories according to population (U.S. Census Bureau). If the amount available for grants under this program is insufficient to provide \$500,000 to each State, the base amount available shall be distributed equally among the States and territories. A victim assistance program is an eligible crime victim assistance program under VOCA if it: (A) is operated by a public agency or a nonprofit organization, or combination; (B) meets the appropriate match requirements; (C) uses volunteers unless the VOCA administrator grants a waiver for a compelling reason; (D) promotes coordinated public and private efforts to aid crime victims within the community served; (E) assists victims in applying for crime victim compensation; (F) complies with Federal rules regulating VOCA, including Program Guidelines/Rules and the effective edition of the OJP Financial Guide; (G) maintains civil rights information; (H) complies with additional eligibility or service criteria established by the State grantees; (I) provides services to victims of Federal crimes on the same basis as services are provided to victims of State and local crimes; (J) provides services to crime victims without charge unless a waiver is obtained from the State grantee; and, (K) maintains confidentiality of client-counselor and research information. An eligible crime victim assistance program shall expend sums received under subsection (a) only for providing direct services to victims of crime. The chief executive of each State shall (A) certify that priority shall be given to eligible crime victim assistance programs providing assistance to victims of sexual assault, spousal abuse, or child abuse, and to programs serving previously underserved victims of violent crime, as determined by the State, (B) certify that funds awarded to eligible crime victim assistance programs will not be used to supplant State and local funds otherwise available for crime victim assistance; and (C) provide such other information and assurances related to the purposes of this section as the Director may reasonably require. As used in this program, (1) the term "State" includes the District of Columbia, the Commonwealth of Puerto Rico, the United States Virgin Islands, and any other territory or possession of the United States; and (2) the term "services to victims of crime" includes (a) crisis intervention services to provide emotional support in cases arising from the occurrence of crime; (b) providing, in an emergency, transportation to court, short-term child care services, and temporary housing and security measures; (c) assistance in participating in criminal justice proceedings; and (d) payment of all reasonable costs for a forensic medical examination of sexual assault victims, to the extent that such costs are otherwise not reimbursed or paid; (3) the term "chief executive" includes a person designated by the governor to perform the functions of the chief executive under this section.

Applicant Eligibility:

Any State, the District of Columbia, all Commonwealths and any other territory or possession of the United States are eligible. Funds will be subgranted to eligible public and nonprofit organizations or combinations of such agencies or organizations or of both such agencies and organizations, who provide direct services to victims of crime.

Beneficiary Eligibility:

Any member of the general public who has been a victim of a crime or those who are survivors of victims of crime.

Credentials/Documentation:

Applications from the designated State agency for this program must be submitted on Standard Form 424 electronically via the Office of Justice Programs Grants Management System (GMS), at a time specified by the Office for Victims of Crime, Office of Justice Programs. The State grant applicant, by completing the grant application, and by receiving a VOCA victim assistance grant award, certifies: (1) that funds awarded to eligible crime victim assistance programs will not be utilized to supplant State and/or local funds that would otherwise be available for crime victim assistance; (2) that the State will provide such accounting, auditing, monitoring and evaluation procedures as may be necessary, and keep such records as the Office of Justice Programs may prescribe, to assure fiscal control, proper management and efficient disbursement of Federal funds; (3) that the State shall give priority to programs aiding victims of sexual assault, spousal abuse, or child abuse, and to programs serving previously underserved victims of violent crimes as determined by the State; (4) that the State will submit to the Office of Justice Programs Subgrant

Award Reports and Performance Reports concerning the activities carried out with the Federal funds received and will maintain and report such data and information as required; (5) that the State will adhere to the audit and financial management requirements set forth in the OJP Financial Guide; (6) that the State will comply with all applicable Federal nondiscrimination requirements; and, (7) certify that the information in the application is correct and that the State will comply with all applicable provisions of the Victims of Crime Act and other Federal laws, regulations, and circulars. Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. The applicant applies on-line at <http://www.ojp.usdoj.gov> under "Grants/Funding" once posted by the Office for Victims of Crime.

Award Procedure:

The Director, Office for Victims of Crime, Office of Justice Programs has final approval authority. Once an award is granted to a State, the State will subgrant the monies to private nonprofit and/or public agencies for the purpose specified in the application and in conformance with all general and special conditions.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 3 to 4 weeks.

Appeals:

Hearing by the Assistant Attorney General, Office of Justice Programs.

Renewals:

Awards are for the year of award plus 3 years; applications will be submitted annually.

Formula and Matching Requirements:

Statutory Formula: Awards will be made on the basis of population with a base amount of \$500,000 for States; \$200,000 for the territories of N. Mariana Islands, Guam, and American Samoa. Fund availability may necessitate a base change.

Matching requirements are not applicable to this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

States have the year of award plus 3 years to expend the funds. See the following for information on how assistance is awarded/released: Please contact the program office for more information.

Reports:

No program reports are required. No cash reports are required. Subgrant Award Report for each subrecipient of VOCA funds. Quarterly, Final Financial Reports and Annual Program Performance reports will be required as stipulated in the Program Guidelines/Rules and the OJP Financial Guide. Annual Program Performance reports will be required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. All organizations that expend \$500,000 or more in federal funds per organizational fiscal year must have a single audit for that year in accordance with OMB Circular No. A-133, as amended. The audit report and transmittal letter must be submitted within 9 months from the end of the state fiscal year.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to a grant shall be retained for a period of at least three years after the grant has been closed.

Account Identification:

15-5041-0-2-754.

Obligations:

(Formula Grants) FY 12 \$379,669,038; FY 13 Estimate Not Available; and FY 14 Estimate Not Available

Range and Average of Financial Assistance:

Base of \$500,000 to each State, Commonwealth, and the District of Columbia. Territories of the United States receive a base of \$200,000. Remaining dollars will be divided based on population.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program Guidelines/Rules are published in the Federal Register. The OJP Financial Guide is available at <http://www.ojp.usdoj.gov/financialguide/>, or call the Office of the Chief Financial Officer, Customer Service Center at 1-800-458-0786 to request a copy.

Regional or Local Office:

None.

Headquarters Office:

Toni L. Thomas, Domestic and International Victim Assistance Division, Office for Victims of Crime, Office of Justice Programs, Department of Justice, 810 Seventh Street, NW., Washington, District of Columbia 20531 Phone: (202) 307-5983.

Website Address:

<http://www.ojp.usdoj.gov/ovc/>.

RELATED PROGRAMS:

16.576 Crime Victim Compensation

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria for selecting proposals are specifically spelled out in the Victims of Crime Act of 1984, as amended. Additional criteria will be developed by the Office for Victims of Crime. These criteria are listed on the OVC web page www.ojp.usdoj.gov.

16.576 CRIME VICTIM COMPENSATION**FEDERAL AGENCY:**

Office for Victims of Crime, Department of Justice

AUTHORIZATION:

42 U.S.C. 10602 (a).

OBJECTIVES:

The Office for Victims of Crime (OVC), Department of Justice, administers the Crime Victim Compensation formula grant program. OVC annually awards a grant to each state, the District of Columbia, the U.S. Virgin Islands, and the Commonwealth of Puerto Rico to support state crime victim compensation programs. These programs help pay for some of the expenses resulting from crimes involving violence or abuse.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Funds under this program shall be used by the States for awards of compensation benefits to crime victims, with the following exception: States may retain up to 5 percent of their total grant for administrative and training purposes. As provided in recent VOCA amendments, formula grant funds to an eligible State crime victim compensation program is based on 60 percent of the amounts States expended during the fiscal year preceding the year of collections for the Crime Victims Fund, other than amounts awarded for

property damage. If the sums available in the Fund for grants under this program are insufficient to provide grants of 60 percent as provided above, grants from the sums available will be made to each eligible crime victim compensation program so that all such programs receive the same percentage of the amounts awarded by such programs during the preceding fiscal year, other than amounts awarded for property damage. A crime victim compensation program is an eligible crime victim compensation program if (1) such program is operated by a State and offers compensation to victims and survivors of victims of criminal violence, including drunk driving and domestic violence for: (A) medical expenses attributable to a physical injury resulting from a compensable crime, including expenses for mental health counseling and care; (B) loss of wages attributable to a physical injury resulting from a compensable crime; and (C) funeral expenses attributable to a death resulting from a compensable crime; (2) such program promotes victim cooperation with the reasonable requests of law enforcement authorities; (3) such State, possession or territory certifies that grants received under this program will not be used to supplant funds otherwise available to provide crime victim compensation; (4) such program, as to compensable crimes occurring within the State, possession or territory, makes compensation awards to victims who are nonresidents of the State, possession or territory on the basis of the same criteria used to make awards to victims who are residents of the State, possession or territory; (5) such program provides compensation to victims of Federal crimes occurring within the State on the same basis that such program provides compensation to victims of State crimes; (6) such program does not, except pursuant to rules issued by the program to prevent unjust enrichment of the offender, deny compensation to any victim because of that victim's familial relationship to the offender, or because of the sharing of a residence by the victim and the offender; (7) such program does not provide compensation to any person who has been convicted of an offense under Federal law with respect to any time period during which the person is delinquent in paying a fine, other monetary penalty, or restitution imposed for the offense; and (8) such program provides such other information and assurances related to the purposes of this section as the Director may reasonably require. Section 1403(c), any amount of crime victim compensation that the applicant receives through a crime victim compensation program under this section shall not be included in the income of the applicant until the total amount of assistance that the applicant receives from all such programs is sufficient to fully compensate the applicant for losses suffered as a result of the crime. As used in this section: (1) the term "property damage" does not include damage to prosthetic devices, eyeglasses or other corrective lenses, or dental devices; (2) the term "medical expenses" includes, to the extent provided under the eligible crime victim compensation program, expenses for eyeglasses and other corrective lenses, for dental services and devices and prosthetic devices, and for services rendered in accordance with a method of healing recognized by the law of the State; (3) the term "compensable crime" means a crime the victims of which are eligible for compensation under the eligible crime victim compensation program, and includes crimes involving driving while intoxicated and domestic violence; and (4) the term "State" includes the District of Columbia, the Commonwealth of Puerto Rico, the U.S. Virgin Islands, and any other possession or territory of the United States.

Applicant Eligibility:

States, the District of Columbia, the Commonwealth of Puerto Rico, the U.S. Virgin Islands, Guam, and any other possession or territory of the United States who have an established eligible crime victim compensation program, and who meet the eligibility requirements discussed above.

Beneficiary Eligibility:

Victims of crime that results in death or physical or personal injury and are determined eligible under the State victim compensation statute. State compensation statutes either declare that coverage extends generally to any crime resulting in physical or personal injury, or they list all specific crimes that can be covered.

Credentials/Documentation:

The Governor designated State agencies are required to submit the following information and assurance along with the application for assistance: (1) a statement certified by the individual designated by the Governor to administer the VOCA crime victims compensation grant. This statement should specify the total amount of money spent by the program from State funding sources for

crime victim compensation awards in a given Federal fiscal year ; (2) the amount of such compensation paid for "property damage"; (3) the total amount and each source of revenue for the program; (4) a copy of the State statute or other legal authority establishing the program and any amendments thereto, and (5) an assurance that funds received under the Act will not be used to supplant State funds otherwise available for crime victim compensation. Costs will be determined in accordance with OMB Circular No. A 87 for State and local governments. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applicants must submit completed applications via the Office of Justice Programs, Grants Management System or through grants.gov following established criteria. The receipt, review, and analysis of applications will follow Office of Justice Programs policies and procedures for the administration of grant applications. Specific application instructions for solicitations are available at the Office of Justice Programs web site (<http://www.ojp.gov/funding/solicitations.htm>).

Award Procedure:

Upon approval by the Assistant Attorney General, successful applicants are notified via the Grants Management System. One copy of the grant award must be signed by the authorized official and returned to the Office of Justice Programs.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

30 to 180 days.

Appeals:

Hearing by the Assistant Attorney General, Office of Justice Programs.

Renewals:

Awards are for the year of award plus 3 years. Applications will be annually.

Formula and Matching Requirements:

Statutory Formula: Formula grant funds to an eligible State crime victim compensation program is based on 60 percent of the amounts States expended during the fiscal year preceding the year of collections for the Crime Victims Fund, other than amounts awarded for property damage. If the sums available in the Fund for grants under this program are insufficient to provide grants of 60 percent as provided above, grants from the sums available will be made to each eligible crime victim compensation program so that all such programs receive the same percentage of the amounts awarded by such programs during the preceding fiscal year, other than amounts awarded for property damage. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Compensation funds may be carried forward for obligation by the grantee for 3 years subsequent to the fiscal year of award. Funds are released via electronic funds transfer. States must be enrolled in the Automated Clearinghouse (ACH) Vendor Express Program to request any Federal funds. See the following for information on how assistance is awarded/released: Please contact program office for more detail.

Reports:

Program reports are not applicable. Cash reports are not applicable. Recipients are required to submit semi-annual Progress Reports. Recipients are required to submit quarterly Financial Reports. To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Recipients of federal funds are expected to retain documentation supporting all program transactions for at least 3 years after the closure of audit reports related to such funding. If any litigation, claim, negotiation, audit, or other action involving records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all related issues, or until the end of the regular 3-year period, whichever is later.

Account Identification:

15-5041-0-2-754.

Obligations:

(Formula Grants) FY 12 \$178,147,000; FY 13 est \$159,067,000; and FY 14 Estimate Not Available

Range and Average of Financial Assistance:

Each State receives 60 percent of its prior year payout of State compensation funds.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Office of Justice Programs Financial Guide 2011 (www.ojp.usdoj.gov/financialguide/index.htm) and Post award Instructions (www.ojp.usdoj.gov/funding/pdfs/post_award_instructions.pdf), applicable OMB Circulars, and Department of Justice regulations applicable to specific types of grantees, which can be found in title 28 of the Code of Federal Regulations (28 C.F.R.).

Regional or Local Office:

None.

Headquarters Office:

Toni L. Thomas U.S. Department of Justice
Office of Justice Programs
Office for Victims of Crime
State Compensation and Assistance Division
810 Seventh Street, NW, Washington, District of Columbia 20531 Phone: (202) 307-5983.

Website Address:

<http://www.ovc.gov>

RELATED PROGRAMS:

16.575 Crime Victim Assistance

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are established by the Victims of Crime Act of 1984, as amended, and Program Guidelines/Rules published in the Federal Register.

16.582 CRIME VICTIM ASSISTANCE/DISCRETIONARY GRANTS

FEDERAL AGENCY:

Office for Victims of Crime, Department of Justice

AUTHORIZATION:

42 U.S.C. 10603(c)(1)(A); 42 U.S.C. 10603(c)(1)(B); 42 U.S.C. 10603d(a); and 42 U.S.C. 10603e(a).

OBJECTIVES:

The Office for Victims of Crime (OVC) administers a discretionary grant program and other assistance programs for crime victims with amounts set-aside from deposits into the Crime Victims Fund for (a) demonstration projects and training and technical assistance services to eligible crime victims

assistance programs; and (b) for the financial support of services to victims of Federal crime by eligible crime victim assistance programs. The purpose of the demonstration and training and technical assistance grants is to improve the overall quality of services delivered to crime victims through the provision of training and technical assistance to providers. Of the amount available for training and technical assistance and services to victims of Federal crimes, no less than 50 percent shall be used for demonstration programs and training and technical assistance, and not more than 50 percent for services to victims of Federal crimes.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE; PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds are available specifically for (a) demonstration projects and training and technical assistance service to eligible crime victim assistance programs; and (b) for the financial support of services to victims of Federal crime by eligible crime victim assistance programs. For the purpose of the grants authorized in 42 U.S.C. 10603, an eligible crime victim assistance program is defined as: (a) operated by a public agency or a nonprofit organization, or a combination of such agencies or organizations or both such agencies and organizations, and providing service to victims of crime; (b) demonstrating (i) a record of providing effective services to victims of crime and financial support from sources other than the Fund; or (ii) substantial financial support from sources other than the Fund; (c) utilizing volunteers in providing such services, unless to the extent the chief executive determines that compelling reasons exist to waive this requirement; (d) promoting within the community served coordinated public and private efforts to the crime victims; and (e) assisting potential recipients in seeking crime victim compensation benefits. For the purpose of grants authorized for assistance to victims of federal crime, services includes (a) training of law enforcement personnel in the delivery of services to victims of Federal crime; (b) preparation, publication, and distribution of informational materials, setting forth services offered to victims of crime; and concerning services for victims of Federal crime for use by Federal law enforcement and other responsible Federal officials; and (c) salaries of personnel who provide services to victims of crime, to the extent that these personnel provide such services.

Applicant Eligibility:

Criteria will vary depending on the grant or grant program. Generally, eligible applicants may include American Indian/Alaska Native Tribes and tribal organizations, States, United States Attorneys' offices, universities and colleges, eligible public agencies that provide victim services and private nonprofit agencies. Applicants for Tribal Victim Assistance grants must be an Indian Tribe, Tribal organization, partnership or nonprofit organization that provides direct services to victims of crime in Indian Country.

Beneficiary Eligibility:

Eligible victim assistance agencies. Eligibility depends on the nature of the grant but may include a wide variety of public and private nonprofit agencies.

Credentials/Documentation:

Competitive applications under this program must be submitted electronically via Grants.gov or the Office of Justice Programs Grants Management System, as specified. OVC develops solicitations and application guidelines that includes funding strategy, eligibility requirements, required certifications that must be submitted at a time specified by the Office for Victims of Crime, Office of Justice Programs and must contain the following certification and assurances: (1) assure that the applicant will provide such accounting, auditing, monitoring and evaluation procedures as may be necessary, and keep such records as the Office of Justice Programs may prescribe, to assure fiscal control, proper management and efficient disbursement of Federal funds; (2) assure that the applicant will adhere to the audit and financial management requirements set forth in the effective edition of the OJP Financial Guide; (3) assure that the applicant will comply with all applicable nondiscrimination requirements including civil rights compliance, non-discrimination against eligible applicants that are faith or community-based organizations, services to persons with Limited English Proficiency, and protection of human research subjects; (4) certify that the applicant will comply with certifications regarding Lobbying, Debarment, Suspension, and Drug-Free Workplace Requirements (OJP Form 4061/6); and other responsibility matters; and, (5) certify that the

information in the application is correct and that the applicant will comply with all applicable provisions of the Victims of Crime Act and other Federal laws, (including subtitle A, Title II of the Americans with Disabilities Act (ADA) 1990) regulations, and circulars. Costs will be determined in accordance with OMB Circular No. A-87 or OMB Circular No. A-133. Applicants from other types of agencies will use forms to be provided by OVC. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. In accordance with the Common Rule, Standard Form 424 must be submitted electronically at Grants.gov by nonfederal agencies in applying for funding under this program. Forms for funds other than grants or for use by Federal agencies will be supplied by OVC.

Award Procedure:

Generally awards will be made on a competitive basis with applications reviewed by a panel of subject matter experts who will assess application submissions based on established criteria and forward a recommendation to the Director. The Assistant Attorney General, Office of Justice Programs has final approval authority.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 4 to 6 months.

Appeals:

Hearing by the Assistant Attorney General, Office of Justice Programs.

Renewals:

Awards average 12 months and range from 12 to 36 months, unless otherwise noted. Supplements are considered on a case by case basis.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: There are no formula or matching requirements for discretionary funding, unless stated in the funding solicitations. The State Victim Assistance Academy grants require a 25 percent "in-kind" match. Federal agencies are not expected to provide a financial contribution, but in general are asked to demonstrate a commitment to continuing the funded effort after OVC funding is terminated.

MOE requirements are not applicable to this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Any amount awarded that remains unspent at the end of a fiscal year in which the award is made may be expended for the purpose for which the award is made at any time during the three succeeding fiscal years, at the end of which period, any remaining unobligated sums shall be available for deposit into the emergency reserve fund referred to in subsection (d)(5) of the VOCA at the discretion of the Director. Any remaining unobligated sums shall be returned to the Fund. Funds are released via the Electronic Transfer System (formerly the Letter of Credit System) on an as needed basis to the Recipient. See the following for information on how assistance is awarded/released: Please contact the program office for more information.

Reports:

Program reports are not applicable. Cash reports are not applicable. Semi-annual progress reports will be required as stipulated by the program office. A final financial and program report also will be required. Quarterly financial reports will be required as stipulated in the effective edition of the OJP Financial Guide. A final financial and program report also will be required. To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the

GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. All organizations that expend financial assistance of \$500,000 or more in any fiscal year must have a single audit for that year in accordance with OMB Circular No. A-133, as amended, unless the audit condition on the award says otherwise. These audits are due to the cognizant Federal agency not later than 9 months after the end of the grantee's fiscal year.

Records:

Recipients of federal funds are expected to retain documentation (financial records, supporting documents, statistical records, and all other records pertinent to a grant) supporting all program transactions for at least 3 years after the closure of audit reports related to such funding. If any litigation, claim, negotiation, audit, or other action involving records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all related issues, or until the end of the regular 3-year period, whichever is later.

Account Identification:

15-5041-0-2-754.

Obligations:

(Project Grants) FY 12 \$29,070,739; FY 13 est \$30,750,932; and FY 14 Estimate Not Available

Range and Average of Financial Assistance:

OVC anticipates awarding grants ranging from \$35,000 to \$2.4 million, with an average award amount of \$250,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Current solicitations and application guidelines and the current edition of the OJP Financial Guide, are available on line at the OVC webpage at <http://www.ovc.gov>.

Regional or Local Office:

None.

Headquarters Office:

Office for Victims of Crime, 810 Seventh Street, N.W., Washington, District of Columbia 20531 Phone: (202) 307-5983.

Website Address:

<http://www.ovc.gov>.

RELATED PROGRAMS:

16.320 Services for Trafficking Victims; 16.321 Antiterrorism Emergency Reserve; 16.575 Crime Victim Assistance

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

General criteria for selecting proposals are spelled out in the Victims of Crime Act, 42 U.S.C. Section 10601-10604 (Supp. 1981). Additional criteria may be developed by the Office for Victims of Crime and will be published in OVC solicitations and application guidelines available at <http://www.ojp.gov/funding/solicitations.htm>.

16.583 CHILDREN'S JUSTICE ACT PARTNERSHIPS FOR INDIAN COMMUNITIES

FEDERAL AGENCY:

Office for Victims of Crime, Department of Justice

AUTHORIZATION:

Victims of Crime Act of 1984, as amended, Public Law 98-473; Children's Justice and Assistance Act of 1986, Public Law 99-401, as amended; Anti-Drug Abuse Act of 1988, Public Law 100-690, Section 1402 (g)(L); Departments of Commerce, Justice, State, the Judiciary, and Related Agencies Appropriations Act of 1995; Violent Crime Control and Law Enforcement Act of 1994; Subtitle C, Public Law 104-132; Anti-Terrorism and Effective Death Penalty Act of 1996; Child Abuse Prevention and Treatment Enforcement Act of 2000; Omnibus Consolidated Appropriations Act of 1997, Public Law 104-298; Victims of Trafficking and Violence Protection Act of 2000, Public Law 106-386.

OBJECTIVES:

Fifteen percent of the first \$20 million of funds from the Crime Victims Fund that are transferred to the Department of Health and Human Services as part of the Children's Justice Act are to be statutorily reserved by the Office for Victims of Crime (OVC) to make grants for the purpose of assisting American Indian/Alaska Native communities improve the investigation, prosecution and case management of child abuse, sexual abuse, and physical abuse cases, particularly cases of child sexual abuse, in a manner which lessens trauma to the child victims.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE; PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds are available specifically for the purpose of assisting American Indian/Alaska Native communities improve the investigation, prosecution and case management of child abuse, sexual abuse, and physical abuse cases, particularly cases of child sexual abuse, in a manner which lessens trauma to the child victims.

Applicant Eligibility:

Federally recognized Indian tribal governments and nonprofit Indian organizations that provide services to American Indians and Alaska Natives. Specific criteria will vary depending on the grant.

Beneficiary Eligibility:

American Indian youth who are victims of child abuse and/or child sexual abuse.

Credentials/Documentation:

Applications must be on Standard Form 424 at a time specified by the Office for Victims of Crime, Office of Justice Programs and must: (1) contain OJP Form 4061/6 (Certifications Regarding Lobbying; Debarment, Suspension and Other Responsibility Matters; and Drug-Free Workplace Requirements); (2) assure that the grantee will provide such accounting, auditing, monitoring and evaluation procedures as may be necessary, and keep such records as the Office of Justice Programs may prescribe, to assure fiscal control, proper management and efficient disbursement of Federal funds; (3) assure that the Grantee will submit to the Office of Justice Programs a semiannual program performance report of data and information as required; (4) assure that the Grantee will adhere to the audit and financial management requirements set forth in the effective edition of the OJP Financial Guide, and (5) certify that the information in the application is correct and that the Grantee will comply with all applicable provisions of the Victims of Crime Act and other Federal laws, regulations, and circulars, including Subtitle A, Title II of the Americans With Disabilities Act (ADA) 1990. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. In accordance with the Common Rule, Standard Form 424 must be submitted by nonfederal agencies applying for funding under this program. Competitive solicitations are announced on Grants.gov.

Award Procedure:

The Director, Office for Victims of Crime, Office of Justice Programs has final approval authority. Grants are made directly to Indian tribes as defined in Section 450(b) of the Indian Self Determination and Education Assistance Act.

Awards may also be granted to nonprofit organizations that provide services to American Indians or State or local governments. Generally, awards will be made on a competitive basis with applications reviewed by a panel of subject matter experts who will assess application submissions based on established criteria and forward a recommendation to the Director.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 4 to 6 months.

Appeals:

Hearing by the Assistant Attorney General, Office of Justice Programs.

Renewals:

Awards range from 12 to 36 months. Renewals are considered on a case-by-case basis.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants are generally awarded for a 12 to 36 month time period. See the following for information on how assistance is awarded/released: Please see program office for more information.

Reports:

Program reports are not applicable. Cash reports are not applicable.

Semi-annual program performance reports will be required as stipulated by the program office. Quarterly Federal Financial Reports (SF 425) will be required as stipulated in the effective edition of the OJP Financial Guide. A final financial and program report also will be required. Performance measure data are required as specified in the solicitation.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. All organizations that expend financial assistance of \$500,000 or more in any fiscal year must have a single audit for that year in accordance with OMB Circular No. A-133, as amended, unless the audit condition on the award says otherwise. These audits are due to the cognizant Federal agency not later than 9 months after the end of the grantee's fiscal year.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to a grant shall be retained for a period of three years.

Account Identification:

15-5041-0-2-754.

Obligations:

(Project Grants) FY 12 \$3,000,000; FY 13 Estimate Not Available; and FY 14 Estimate Not Available

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Applications and current edition of the Financial Guide are available by writing to the Office for Victims of Crime, Office of Justice Programs, Department of Justice, 810 Seventh Street, N.W., Washington, DC 20531.

Regional or Local Office:

None.

Headquarters Office:

Tanya Miller 810 Seventh Street, N.W., , Washington, District of Columbia 20531 Email: Tanya.Miller4@ojp.usdoj.gov Phone: 202-616-3453

Website Address:

<http://www.usdoj.gov>.

RELATED PROGRAMS:

16.582 Crime Victim Assistance/Discretionary Grants

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

General criteria for selecting proposals are spelled out in the Anti-Drug Abuse Act of 1988, Public Law 100-690, Section 1402. Additional criteria will be developed by the Office for Victims of Crime and will be announced at www.ojp.usdoj.gov/fundopps.htm.

16.585 DRUG COURT DISCRETIONARY GRANT PROGRAM

Drug Court Program (DCP)

FEDERAL AGENCY:

Bureau of Justice Assistance, Department of Justice

AUTHORIZATION:

The Drug Court Program is authorized under Title I, Part EE, of the Omnibus Crime Control and Safe Streets Act of 1968, Public Law 90-351d, Title I, 82 Stat. 197, as amended by the 21st Century Department of Justice Appropriations Authorization Act, Public Law 107-273, Division B, Title II, Subtitle C, 116 Stat. 1758 (2002).

OBJECTIVES:

The Drug Court Discretionary Grant Program provides financial and technical assistance to states, state courts, local courts, units of local government, and Indian tribal governments to develop and implement treatment drug courts that effectively integrate substance abuse treatment, mandatory drug testing, sanctions and incentives, and transitional services in a judicially supervised court setting with jurisdiction over nonviolent, substance-abusing offenders.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Allowable uses of funds are outlined in the Drug Court Program Guideline available from the Department of Justice Response Center. Drug Court Programs under Title V will be for nonviolent adult and juvenile offenders and involve early and continuous judicial supervision over the nonviolent substance abusing offenders and the integrated administration of sanctions and services including: (1) mandatory periodic testing for the use of controlled substances or other addictive substances during any period of supervised release or probation; (2) substance abuse treatment for each participant; (3) diversion, probation, or other supervised release involving the possibility of prosecution, confinement, or incarceration based on noncompliance with program requirements; and (4) programmatic offender management and aftercare services.

Applicant Eligibility:

Grants can be given to states, state courts, local courts, units of local government and Indian tribal governments, acting directly or through agreements with other public or private entities. Applicants may choose to submit joint applications with other eligible jurisdictions for statewide, regional, and multijurisdictional drug court programs. With joint applications, one organization must be designated as the applicant and any coapplicants designated accordingly. The applicant organization must be eligible and the other agencies/organizations must provide supporting documentation. All applicants must demonstrate that they have the management and financial capabilities to effectively plan and implement projects of the size and scope described in the application kit. Nonprofit and for-profit agencies are not eligible applicants. For an application from a subunit of government (e.g., county probation department, district attorney's office, pretrial services agency) to be considered, it must be designated by letter as representing an eligible applicant (described above). For example, the county court or county executive

may designate the county probation or county district attorney's office as its representative for the purpose of application. In this instance, the applicant continues to be the designating state, court system, or unit of local government. The county probation, district attorney's office, or other designated subunit, is the organization authorized to submit an application on behalf of the eligible applicant.

Beneficiary Eligibility:

States, local governments, Indian tribal governments, public or private entities.

Credentials/Documentation:

The application must include: Application for Federal Assistance (Standard Form 424); applicant Information page; a one-page program abstract summarizing the goals and objectives of the grant request; program narrative; letters of support; detailed budget and budget narrative; administrative requirements; and assurances and certifications. See program announcement for more information. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Prospective applicants may view the preapplication requirements by visiting the web site at https://www.bja.gov/ProgramDetails.aspx?Program_ID=58. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. All competitive grant applications must be submitted electronically through the Grant Management System (GMS) at <http://grants.ojp.usdoj.gov>. Applications or supplemental materials received by facsimile or postal mail will not be accepted.

Award Procedure:

An award is granted by the Assistant Attorney General, Office of Justice Programs, and must be accepted by the applicant agency or institution according to the special conditions of the grant or cooperative agreement.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 60 days.

Appeals:

Determined by the Assistant Attorney General, OJP.

Renewals:

Grants can be extended by submitting a written justification for an extension to the Bureau of Justice Assistance office for review. Extensions are subject to approval by the Bureau of Justice Assistance and renewals subject to congressional funding.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: Percent: 25%. The federal share of a grant received under this subtitle may not exceed 75 percent of the total cost of an applicant's projected budget. The matching requirement is a 25 percent match provided by the applicant. A portion of the match must be cash. This is required by statute; the term "portion" is not defined. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Project duration period will be up to 3 years for Implementation Grants, up to 2 years for Enhancement Grants. See the following for information on how assistance is awarded/released: By reimbursement.

Reports:

Drug Court Grantee Data Collection Survey. No cash reports are required. Recipients are required to submit semi-annual Progress Reports. Recipients are required to submit quarterly Financial Reports. To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the

results of their work.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Payments and transactions are subject to audits by the Government Accountability Office, the Department of Justice's Office of the Inspector General, state or local government auditors, and auditors from independent public accounting firms. Jurisdictions must follow their local policies and procedures, including maintenance of reliable and accurate accounting systems, record keeping, and systems of internal control.

Records:

All grantees are required to establish and maintain accounting systems and financial records in order to account for funds awarded to them.

Recipients of federal funds are expected to retain documentation supporting all program transactions for at least 3 years after the closure of audit reports related to such funding. If any litigation, claim, negotiation, audit, or other action involving records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all related issues, or until the end of the regular 3-year period, whichever is later.

Account Identification:

15-0404-0-1-754.

Obligations:

(Project Grants) FY 12 \$32,334,664; FY 13 est \$38,126,000; and FY 14 est \$0

Range and Average of Financial Assistance:

Varies based upon appropriation. Consult the solicitation available at <http://www.ojp.usdoj.gov/funding/solicitations.htm>.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Solicitation guidelines are posted on the Office of Justice Programs web site at <http://www.ojp.gov/funding/solicitations.htm>. For additional guidance reference the Office of Justice Programs Financial Guide 2011 (www.ojp.usdoj.gov/financialguide/index.htm) and Post award Instructions (www.ojp.usdoj.gov/funding/pdfs/post_award_instructions.pdf). Applicable OMB Circulars and Department of Justice regulations applicable to specific types of grantees can be found in title 28 of the Code of Federal Regulations (28 C.F.R.).

Regional or Local Office:

None.

Headquarters Office:

Tim Jeffries U.S. Department of Justice
Office of Justice Programs
Bureau of Justice Assistance
810 7th Street, NW, Washington, District of Columbia 20531 Email:
AskBJA@usdoj.gov Phone: (202) 616-6500 or 1-866-859-2687

Website Address:

<http://www.bja.gov>.

RELATED PROGRAMS:

16.523 Juvenile Accountability Block Grants; 93.959 Block Grants for Prevention and Treatment of Substance Abuse

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are described in the OJP Program Announcement available at <http://www.ojp.gov/funding/solicitations.htm>.

16.593 RESIDENTIAL SUBSTANCE ABUSE TREATMENT FOR

STATE PRISONERS

RSAT

FEDERAL AGENCY:

Bureau of Justice Assistance, Department of Justice

AUTHORIZATION:

Omnibus Crime Control and Safe Streets Act of 1968, Title I, Section 1001, as amended, Public Law 90-351, 42 U.S.C. 3796ff et seq.

OBJECTIVES:

The Residential Substance Abuse Treatment (RSAT) for State Prisoners Program helps states and local governments to develop and implement substance abuse treatment programs in state and local correctional and detention facilities and to create and maintain community-based aftercare services for offenders.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

The Residential Substance Abuse Treatment formula grant funds may be used to implement residential substance abuse programs that provide individual and group treatment activities for offenders in residential facilities operated by State and local correctional agencies. These programs must 1) last between 6 and 12 months; 2) be provided in residential treatment facilities set apart from the general correctional population; 3) focus on the substance abuse problems of the inmate; and 4) develop the inmate's cognitive, behavioral, social, vocational, and other skills to solve the substance abuse and related problems. Grant funds shall not be used for land acquisition or construction projects.

Applicant Eligibility:

States, the District of Columbia, the Commonwealth of Puerto Rico, the Virgin Islands, America Samoa, Guam, and the Northern Mariana Islands are eligible to apply. By statute (42 U.S.C. ' 3796ff-1(e)), the Bureau of Justice Assistance must award RSAT grants to the State office (www.ojp.usdoj.gov/saa/index.htm) designated to administer the Byrne Justice Assistance Grant Program. The State office may award subgrants to state agencies and units of local government (including federally recognized Indian tribal governments that perform law enforcement functions, as determined by the Secretary of the Interior). Applicant States must agree to implement or continue to require urinalysis and/or other proven reliable forms of drug and alcohol testing of individuals assigned to residential substance abuse treatment programs in correctional facilities.

Beneficiary Eligibility:

State and local correctional agencies will implement programs to provide treatment to incarcerated offenders.

Credentials/Documentation:

The applicant must submit a completed Application for Federal Assistance (Standard Form 424), including signed assurances that it will comply with statutory and administrative requirements. The applicant is also required to submit a description that includes the goals of the program, the implementation process, timetable for implementation, how the State will coordinate substance abuse treatment activities at the State and local levels, and the State's law or policy requiring substance abuse testing of individuals in correctional residential substance abuse treatment programs. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Immediately after enactment of the appropriations (usually in the first quarter of the fiscal year), BJA notifies the Byrne State Administering Agencies (SAAs) that the program solicitation is available online. The Byrne SAAs then have 60

days in which to submit an application through the online, Internet-based Grants Management System (GMS) at: <https://grants.ojp.usdoj.gov/>

Award Procedure:

Upon approval by the Assistant Attorney General, successful applicants are notified via the Grants Management System. One copy of the grant award must be signed by the authorized official and returned to the Office of Justice Programs.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Applications will generally be approved or denied within 60 days of receipt of a complete application.

Appeals:

Formula grant applicants will be notified in writing of reasons for disapproval of their application and will be given an opportunity for reconsideration.

Renewals:

Formula grants will be renewed each year.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Percent: 25.%. Grant funds are allocated to the States using the following formula: a) each participating State is allocated a base amount of 0.4 percent of the total funds available for the program; and b) the remaining funds are allocated to each participating State in the ratio its prison population bears to the total prison population of all participating States. The most recent National Prisoner Statistics collected by the Bureau of Justice Statistics are used to make these allocations. The Federal share of a grant-funded project may not exceed 75 percent of the total costs of the project. The 25 percent matching funds must be in the form of a cash match. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Funds for each fiscal year will be awarded for a period that includes the fiscal year of the appropriation plus three additional years, for a total of four years. See the following for information on how assistance is awarded/released: Office of Justice Programs Financial Guide 2011 (www.ojp.usdoj.gov/financialguide/index.htm) and Post Award Instructions (www.ojp.usdoj.gov/funding/pdfs/post_award_instructions.pdf).

Reports:

Program reports are not applicable. Cash reports are not applicable. Recipients are required to submit semi-annual Progress Reports. Recipients are required to submit quarterly Financial Reports. To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. .

Records:

In accordance with the requirement set forth in 28 CFR Parts 66 and 70, grantees must maintain all financial records, supporting documents, statistical records, and all other records pertinent to the award for at least 3 years following the close of the most recent audit.

Account Identification:

15-0404-0-1-754.

Obligations:

(Formula Grants) FY 12 \$8,840,113; FY 13 est \$11,624,000; and FY 14 est \$19,000,000

Range and Average of Financial Assistance:

In FY 13, individual state and territory awards ranged from \$42,357 to \$1,103,224.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Solicitation guidelines are posted on the Office of Justice Programs web site at <http://www.ojp.gov/funding/solicitations.htm>.

For additional guidance reference the Office of Justice Programs Financial Guide (www.ojp.usdoj.gov/financialguide/index.htm) and Post award Instructions (www.ojp.usdoj.gov/funding/pdfs/post_award_instructions.pdf). Applicable OMB Circulars and Department of Justice regulations applicable to specific types of grantees can be found in title 28 of the Code of Federal Regulations (28 C.F.R.).

Regional or Local Office:

None.

Headquarters Office:

Bureau of Justice Assistance U.S. Department of Justice
Office of Justice Programs
Bureau of Justice Assistance
810 7th Street, NW, Washington, District of Columbia 20531 Email:
AskBJA@usdoj.gov Phone: (202) 616-6500

Website Address:

<http://www.bja.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria for selecting proposals to implement State and local residential substance abuse treatment programs for prisoners with formula grant funds will be defined by the States.

16.596 CORRECTIONAL GRANT PROGRAM FOR INDIAN TRIBES

Correctional Facilities on Tribal Lands

FEDERAL AGENCY:

Bureau of Justice Assistance, Department of Justice

AUTHORIZATION:

Omnibus Consolidated Rescissions and Appropriations Act of 1996, Section 114, Public Law 104-134; Violent Crime Control and Law Enforcement Act of 1994, Title II, Subtitle A, Public Law 103-322, 105 Stat. 1796, as amended, 42 U.S.C. 13701 et seq.

OBJECTIVES:

To renovate and/or construct correctional facilities on tribal lands for the incarceration and supervision of offenders subject to tribal jurisdiction.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

The Grant Program for Indian Tribes may be used to assist Indian tribes in developing or expanding correctional facilities for both adult and juvenile offenders.

Applicant Eligibility:

Indian tribes may apply. An Indian tribe is defined as any Indian or Alaska Native tribe, band, nation, pueblo, village, or community that the Secretary of the Interior acknowledges to exist as an Indian tribe pursuant to Public Law 103-454, 108 Stat. 4791, and which performs law enforcement functions as determined by the Secretary of the Interior.

Beneficiary Eligibility:

Indian tribes may apply. An Indian tribe is defined as any Indian or Alaska Native tribe, band, nation, pueblo, village, or community that the Secretary of the Interior acknowledges to exist as an Indian tribe pursuant to Public Law

103-454, 108 Stat. 4791, and which performs law enforcement functions as determined by the Secretary of the Interior.

Credentials/Documentation:

The applicant must submit a completed Application for Federal Assistance (Standard Form 424), including signed assurances that it will comply with statutory and administrative requirements. The applicant is also required to submit a description of the program, the implementation process, and a timetable for implementation. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Targeted tribes are invited to submit applications for construction of juvenile correctional facilities.

Award Procedure:

Upon approval by the Assistant Attorney General, successful applicants are notified via the Grants Management System. One copy of the grant award must be signed by the authorized official and returned to the Office of Justice Programs.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Renewals are subject to appropriation and program priorities.

Formula and Matching Requirements:

This program has no statutory formula.
Matching Requirements: Percent: 10%.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Funds for each fiscal year will be awarded for a period of 24 to 60 months based on the facility constructed and/or renovated. See the following for information on how assistance is awarded/released: See the following for information on how assistance is awarded/release: Office of Justice Programs Financial Guide 2011 (www.ojp.usdoj.gov/financialguide/index.htm) and Post Award Instructions (www.ojp.usdoj.gov/funding/pdfs/post_award_instructions.pdf).

Reports:

No program reports are required. No cash reports are required. Recipients are required to submit semi-annual Progress Reports. Recipients are required to submit quarterly Financial Reports. To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. All organizations that expend financial assistance of \$500,000 or more in any fiscal year must have a single audit for that year in accordance with OMB Circular No. A-133, as amended, unless the audit condition on the award says otherwise. These audits are due to

the cognizant Federal agency not later than 9 months after the end of the grantee's fiscal year.

Records:

In accordance with the requirement set forth in 28 CFR, Parts 66 and 70, grantees must maintain all financial records, supporting documents, statistical records and all other records pertinent to the award for at least 3 years following the close of the most recent audit.

Account Identification:

15-0404-0-1-754.

Obligations:

(Project Grants) FY 12 \$9,500,794; FY 13 est \$0; and FY 14 est \$0

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Solicitation guidelines are posted on the Office of Justice Programs web site at <http://www.ojp.gov/funding/solicitations.htm>.

For additional guidance reference the Office of Justice Programs Financial Guide 2011 (www.ojp.usdoj.gov/financialguide/index.htm) and Post award Instructions (www.ojp.usdoj.gov/funding/pdfs/post_award_instructions.pdf). Applicable OMB Circulars and Department of Justice regulations applicable to specific types of grantees can be found in title 28 of the Code of Federal Regulations (28 C.F.R.).

Regional or Local Office:

None.

Headquarters Office:

James Burch, U. Department of Justice
Office of Justice Programs
Bureau of Justice Assistance
810 Seventh Street, N.W., Washington, District of Columbia 20531 Email:
AskBJA@usdoj.gov Phone: (202) 616-6500 or 1-866-859-2687

Website Address:

<http://www.bja.gov>

RELATED PROGRAMS:

16.731 Tribal Youth Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Past projects were selected on the basis of need for correctional facility space, demonstrated ability to operate the facilities, soundness of implementation plan, thoroughness of budget submission, and proposed strategy to measure impact/outcomes. Additionally, BJA considers BIAs priority list for facilities that are in need of repair or new construction.

16.606 STATE CRIMINAL ALIEN ASSISTANCE PROGRAM

(SCAAP)

FEDERAL AGENCY:

Bureau of Justice Assistance, Department of Justice

AUTHORIZATION:

Omnibus Appropriations Act, Public Law 106-113, Division B, Section 1000(a); Immigration and Nationality Act, 8 U.S.C. 1252, Section 242 as Amended; Title II, subtitle C, Section 20301; Violent Crime Control and Law Enforcement Act of 1994, Public Law 103-322.

OBJECTIVES:

The State Criminal Alien Assistance Program (SCAAP) is a payment program designed to provide federal assistance to states and localities that incur costs for incarcerating undocumented criminal aliens who are being held as a result of state and/or local convictions. The Bureau of Justice Assistance (BJA) administers this program in conjunction with the Department of Homeland Security's (DHS) Immigration and Customs Enforcement (ICE), and U.S.

Citizenship and Immigration Services branches.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

The Department of Justice Reauthorization Act of 2005 (Public Law 109-162, Title XI) included the following requirement regarding the use of SCAAP funds: "Amounts appropriated pursuant to the authorization of appropriations in paragraph (5) that are distributed to a State or political subdivision of a State, including a municipality, may be used only for correctional purposes." All funds must be used for correctional purposes only. To be eligible for reporting, inmates must have been convicted of a felony or second misdemeanor for violations of state or local law, and housed in the applicant's state or local correctional facility for 4 or more consecutive days during the reporting period. Once a person meets these criteria, all pre-trial and post-conviction time served may be included in the application. Salary information reported in the SCAAP application must reflect the total salaries and wages paid to full and part-time correctional officers and others who meet the SCAAP definition. See the SCAAP Guidelines at <http://www.ojp.usdoj.gov/BJA/>. Funds may be used only for correctional purposes. BJA may further define the eligible uses of funds. For further information, see <http://www.ojp.usdoj.gov/BJA/grant/scaap.html>.

Applicant Eligibility:

Eligible applicants include States, the District of Columbia, Puerto Rico, Guam, the Virgin Islands and localities or local jurisdictions exercising authority with respect to the incarceration of an undocumented criminal alien. This covers state prison facilities (including those housing juveniles convicted as adults of felony offenses or two or more misdemeanors) and local jails, whether operated by counties or cities. Applicants are generally States and units of local government. Note: Only one application is accepted from each separate political division (i.e., State, county, city).

Beneficiary Eligibility:

States, the District of Columbia, Puerto Rico, Guam, the Virgin Islands and localities or local jurisdictions.

Credentials/Documentation:

Applicants who are seeking funding must complete an electronic application form via the Office of Justice Programs Grants Management System (GMS). Inmate data, including the proper inmate identifiers, will either be manually key-stroked into the on-line application system, or submitted on-line by electronically attaching the applicable portions of the applicants inmate databases. The authorized official certifies compliance with all SCAAP requirements, including that the applicant has been authorized by the Chief Executive Officer of the applicable unit of government; the inmate identifiers required have been submitted to BJA in the proper format(s) for Immigration and Customs Enforcement (ICE) verification within the prescribed deadlines; all inmates counted by the applicant have been convicted of either a felony or two misdemeanor offenses under the applicant's law; and, only salary costs for corrections officers were included in the Total Salary Costs. By certifying on-line, the authorizing signing official is also assuring that all federal certifications and assurances are being met. The certification and assurance forms (Assurances, OJP Form 4000/3 and Certifications Regarding Lobbying; Debarment, Suspension and Other Responsibility Matters; and Drug-Free Workplace Requirements, OJP Form 4061/6) are provided on-line to allow applicants to review and accept them electronically. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. SCAAP applications are administered via the Office of Justice Programs Internet-based Grants Management System. Inmate data, including the proper inmate

identifiers, may either be manually key-stroked into the on-line application system, or submitted on-line by electronically attaching the applicable portions of their inmate databases. Awards are announced after the ICE inmate verification process is done. Technical assistance is available to applicants for preparation of the on-line application process by calling the OJP Grants Management System Hotline at 1-888-549-9901.

Award Procedure:

The final payment process requires that all applications and all data be fully processed and verified before any payments are made. Inmate data will be verified by the ICE, who will attempt to match the inmate data records provided to records in its databases to obtain the maximum number of confirmed matches. These matches are expected to include three categories: 1) those positively identified (matched) as qualifying undocumented criminal aliens; 2) those unknowns and 3) those positively identified as non- criminal aliens. Applicants will be given credit for a percentage of inmates whose eligibility cannot be determined through positive identification or "match," but have valid foreign countries of birth. Payments will be based on the days of incarceration for the verified number of aliens incarcerated by each applicant. Payments are granted by the Director of the Bureau of Justice Assistance, Office of Justice Programs, Department of Justice. The payment must be accepted on-line and the acceptance will effect a simultaneous assurance of compliance with conditions. Acceptance of the payment on-line initiates the automatic electronic transfer of funds from OJP to the recipient.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Contact BJA.

Appeals:

Not Applicable.

Renewals:

Applications may be submitted annually, subject to availability of funding.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

SCAAP awards are usually obligated and announced by the end of September and released for drawdown by mid-October. There are no restrictions placed on the time permitted to spend the money awarded because SCAAP funds are considered no year funds. As of fiscal year 2008, SCAAP funds must be used for corrections purposes. Method of awarding/releasing assistance: lump sum.

Reports:

No reports are required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Recipients who expend \$500,000 or more of Federal funds during their fiscal year are required to submit an organization-wide financial and compliance audit report in accordance with OMB Circular No. A-133, as amended, and as stated in the on-line payment process. Receipt of SCAAP payments is considered in establishing the requirement for an audit under the Single Audit Act.

Records:

Financial records, supporting documents, statistical records, a copy of the inmate records submission, and all other records pertinent to a grant shall be retained for a period of at least three (3) years following the closeout of the grant.

Account Identification:

15-0404-0-1-754.

Obligations:

(Direct Payments for Specified Use) FY 12 \$240,000,000; FY 13 est \$237,123,000; and FY 14 est \$0

Range and Average of Financial Assistance:

Contact Bureau of Justice Assistance for funding information or see the SCAAP Guidelines at <http://www.ojp.usdoj.gov/BJA/>.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Solicitation guidelines are posted on the Office of Justice Programs web site at <http://www.ojp.gov/funding/solicitations.htm>. For additional guidance reference the Office of Justice Programs Financial Guide 2011 (www.ojp.usdoj.gov/financialguide/index.htm) and Post award Instructions (www.ojp.usdoj.gov/funding/pdfs/post_award_instructions.pdf). Applicable OMB Circulars and Department of Justice regulations applicable to specific types of grantees can be found in title 28 of the Code of Federal Regulations (28 C.F.R.).

Regional or Local Office:

None.

Headquarters Office:

Joseph Husted Office of Justice Programs
Bureau of Justice Assistance
810 7th Street, NW, Washington, District of Columbia 20531 Email: SCAAP@usdoj.gov Phone: 202-353-4411

Website Address:

<http://www.bja.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

16.607 BULLETPROOF VEST PARTNERSHIP PROGRAM (BVP)

FEDERAL AGENCY:

Bureau of Justice Assistance, Department of Justice

AUTHORIZATION:

Bulletproof Vest Partnership Grant Act of 1998, Public Law 105-181, June 16, 1998, and Bulletproof Vest Program Act of 2000, Public Law 106-517.

OBJECTIVES:

To protect the lives of law enforcement officers by helping State, local, and tribal law enforcement agencies provide officers with armored vests.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

The program pays up to 50 percent of the total cost of each vest order. Total cost includes the cost of the vests, vest carriers, attachments, inserts, and covers considered integral or essential for its proper care, use, and wearability, shipping, handling, fitting charges, and applicable taxes. The total invoiced price, after all vendor and prompt payment discounts have been deducted, is what the Bureau of Justice Assistance (BJA) uses to determine the Federal match. This program only allows the purchase of body armor that has been tested and found to comply with applicable ballistic and stab standards promulgated by the National Institute of Justice's (NIJ) Standard 0101.06 Ballistic Resistance of Police Body Armor. In FY 2001, stab-resistant body armor was also included in the program as an allowable purchase.

Applicant Eligibility:

Only chief executives of jurisdictions (or their designees) may apply for funds. Jurisdictions are defined as general purpose units of local government (e.g.,

cities, towns, townships, boroughs, counties, etc.), Federally-recognized Indian tribes, the 50 State governments, the District of Columbia, the Commonwealth of Puerto Rico, the U.S. Virgin Islands, American Samoa, Guam, and the Northern Mariana Islands. The chief executive of the jurisdiction registers on-line, submits the jurisdiction application, and requests payment for completed vest orders.

Beneficiary Eligibility:

Only law enforcement officers may receive vests through this program. According to the Act, "law enforcement officer" means any officer, agent, or employee of a State, unit of local government, or an Indian tribe authorized by law or by a government agency to engage in or supervise the prevention, detection, or investigation of any violation of criminal law, or authorized by law to supervise sentenced criminal offenders. Eligible officers may be full-time, part-time, paid or volunteer.

Credentials/Documentation:

Eligible jurisdictions must be general purpose units of local government, Federally-recognized Indian tribes, the 50 state governments, the District of Columbia, the Commonwealth of Puerto Rico, the U.S. Virgin Islands, American Samoa, Guam, and the Northern Mariana Islands. The validity of the on-line registration process is verified through independent reviews which may include certification by the banking institutions serving the jurisdiction, the U.S. Census Bureau, and agencies within the U.S. Department of Justice. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

This is an Internet-based program. The jurisdiction is required to complete and submit an on-line registration form, providing information about the jurisdiction's chief executive officer, program contact person, and electronic banking information. The registration process is handled through the program's web site <http://vests.ojp.gov>. Only those jurisdictions with approved registrations are permitted to make application. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. The jurisdiction submits the application to BJA via the Internet web site <http://vests.ojp.gov>. On-line instructions guide the jurisdiction through the application process. Law enforcement agencies or agencies with law enforcement functions which meet the Act's definition, will assist in completing the jurisdiction's on-line application by indicating the types, numbers, and costs of vests they intend to order. Only those jurisdictions that complete and submit applications will be eligible for matching funds from BJA.

Award Procedure:

Applications received by BJA are reviewed for completeness, accuracy, and compliance with all program requirements. Each application is then checked against the availability of funds to cover up to 50 percent of the application's total cost, in keeping with established criteria for program fund allocation. When all checks are complete and the application approved, the jurisdiction is notified through e-mail that the necessary funds have been obligated.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

A minimum of 15 working days may be required for complete registration validation, although jurisdictions and law enforcement agencies will have immediate access to certain program components during this validation process.

A minimum of 60 working days may be required after the program closes for approval of the jurisdiction's application for funding. A minimum of 25 working days may be required for the electronic transfer of funds from the U.S. Treasury to the jurisdiction's bank account, once the request for funds has been received, reviewed, and approved by the Office of Justice Programs.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: Percent: 50.%. The program requires that each applicant jurisdiction be responsible for providing at least 50 percent of the cost of each vest purchased. Tribal governments may use Federal funds to provide this match; all other jurisdictions must use nonfederal match funds. Nonfederal fund sources include State and/or local jurisdiction revenues, private or personal funds, and contributions from insurance or workman's compensation consortiums. Asset forfeiture funds may also be used to meet the jurisdiction's matching requirement. Beginning in FY 2002, changes (Bulletproof Vest Partnership Act of 2000; Public Law 106-517) gives preference to small jurisdictions (those populations below 100,000) guaranteeing them the full 50 percent match payment before payments are calculated and approved for larger jurisdictions. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Jurisdictions with approved applications must request the federal match no later than August 31st of the second federal fiscal year following the end of the federal fiscal year in which their application was approved. In other words, the jurisdiction has two years beyond the year of their application to request payments for vests contained in that application. Payments of the federal match will be made whenever the jurisdiction indicates that at least part of the approved vest order was received and invoiced. See the following for information on how assistance is awarded/released: See program office for more information.

Reports:

No reports are required.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. Payments and transactions are subject to audits by the General Accounting Office, Department of Justice's Office of the Inspector General, state or local government auditors, and auditors from independent public accounting firms. Jurisdictions must follow their local procurement policies and procedures, including maintenance of reliable and accurate accounting systems, record keeping, and systems of internal control.

Records:

Recipients of federal funds are expected to retain documentation supporting all program transactions for at least three years after the closure of audit reports related to such funding. If any litigation, claim, negotiation, audit, or other action involving records has been started before the expiration of the three-year period, the records must be retained until completion of the action and resolution of all related issues, or until the end of the regular three-year period, whichever is later.

Account Identification:

15-0404-0-1-754.

Obligations:

(Direct Payments for Specified Use) FY 12 \$240,000,000; FY 13 est \$19,993,000; and FY 14 est \$0

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Interim Final Rule, Federal Register, September 23, 1998 (Volume 63, Number 184).

Regional or Local Office:

None.

Headquarters Office:

Joseph Husted Office of Justice Programs
Bureau of Justice Assistance
810 7th Street, N.W., Washington, District of Columbia 20531 Email:
vests@usdoj.gov Phone: 1-877-758-3787

Website Address:

<http://www.ojp.usdoj.gov/bvvpbasi/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

16.608 TRIBAL COURT ASSISTANCE PROGRAM

TCAP

FEDERAL AGENCY:

Bureau of Justice Assistance, Department of Justice

AUTHORIZATION:

Indian Tribal Justice Technical and Legal Assistance Act of 2000; Statue 2778; Public Law 106-559 Title II Indian Tribal Court, Section 201 (a) (25 USC 3681).

OBJECTIVES:

To assist tribal governments in the development, enhancement, and continuing operation of tribal judicial systems, including inter-tribal court systems.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

CATEGORY I: Planning and implementing an intertribal court system for smaller populations. CATEGORY II: Planning and implementing a single tribe court system. CATEGORY III: Enhancing or continuing the operation of the tribal courts. The following tribes are subject to the above restriction on use of funds: (1) tribes in which fewer than 25 Native members live in the village year round; and (2) tribes that are located within the boundaries of the Fairbanks North Star Borough, the Matanuska Susitna Borough, the Municipality of Anchorage, the Kenai Peninsula Borough, the City and Borough of Juneau, the Sitka Borough, or the Ketchikan Borough. Match is not required for personnel. However, if a position under this program is supported with BJA funds, total costs associated with salary and fringe benefits may not exceed 50 percent of the grant.

Applicant Eligibility:

Federally recognized Indian Tribal governments are eligible to apply for and receive funds under this program.

Beneficiary Eligibility:

Indian Tribal governments.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

The standard application forms (SF-424) as furnished by the Federal agency in accordance with 28 CFR, Part 66 (Common Rule), must be used for this program. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. The standard application forms as furnished by the Federal agency and required by the Common Rule, must be used for this program. Awards through this program will be competitive, based upon the extent and urgency of the need of each applicant. Applications must be submitted electronically at: <http://www.grants.gov/>. Applications or supplemental materials received by facsimile or postal mail will not be accepted.

Award Procedure:

BJA reviews applications for completeness, accuracy, and compliance with all program requirements. Upon approval by the Assistant Attorney General, successful applicants are notified via the Grants Management System. One copy of the grant award must be signed by the authorized official and returned

to the Office of Justice Programs.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Normally, 90 days after receipt of applications.

Appeals:

See 28 CFR Part 18.

Renewals:

Contingent upon congressional funding.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Up to 24 months. See the following for information on how assistance is awarded/released: See the following for information on how assistance is awarded/release: Office of Justice Programs Financial Guide 2011 (www.ojp.usdoj.gov/financialguide/index.htm) and Post Award Instructions (www.ojp.usdoj.gov/funding/pdfs/post_award_instructions.pdf).

Reports:

In some cases, evaluation reports may be required. No cash reports are required. Progress reports are due semi-annually. Unless otherwise specified in the award's special conditions, financial reports are due quarterly. To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Recipients of Federal funds are expected to retain documentation supporting all program transactions for at least 3 years after the closure of audit reports related to such funding. If any litigation, claim, negotiation, audit, or other action involving records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all related issues, or until the end of the regular 3-year period, whichever is later.

Account Identification:

15-0404-0-1-754.

Obligations:

(Project Grants) FY 12 \$12,016,910; FY 13 est \$0; and FY 14 est \$0

Range and Average of Financial Assistance:

See solicitation guidelines posted on the Office of Justice Programs web site at <http://www.ojp.gov/funding/solicitations.htm>.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Solicitation guidelines are posted on the Office of Justice Programs web site at <http://www.ojp.gov/funding/solicitations.htm>.

For additional guidance reference the Office of Justice Programs Financial Guide 2011 (www.ojp.usdoj.gov/financialguide/index.htm) and Post award Instructions (www.ojp.usdoj.gov/funding/pdfs/post_award_instructions.pdf). Applicable OMB Circulars and Department of Justice regulations applicable to specific types of grantees can be found in title 28 of the Code of Federal Regulations (28 C.F.R.).

Regional or Local Office:

None.

Headquarters Office:

Eunice Pierre, U.S. Department of Justice
Office of Justice Programs
Bureau of Justice Assistance
810 Seventh Street, N.W., Washington, District of Columbia 20531 Email:
AskBJA@usdoj.gov Phone: 202-514-1473 or 1-866-859-2687

Website Address:

<http://www.bja.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

16.609 PROJECT SAFE NEIGHBORHOODS

(PSN)

FEDERAL AGENCY:

Bureau of Justice Assistance, Department of Justice

AUTHORIZATION:

Omnibus Crime Control and Safe Streets Act of 1968, as amended, Title I; 42 U.S.C. 50 et seq.; Crime Control Act of 1990, Public Law 101-647; Appropriations Act of 2001, Public Law 106-553; Appropriations Act of 2002, Public Law 107-77. Appropriations Act of 2003, 2004, 2006.

OBJECTIVES:

Project Safe Neighborhoods (PSN) is a comprehensive, evidence-based strategic approach to reducing gun and gang crime in America by networking existing local programs that target gun and gang crime and providing those programs with additional tools and/or resources needed to reduce gun and gang violence.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

The Project Safe Neighborhoods program focuses on action research, problem solving, strategic planning, and working in partnership with the community to reduce gun and gang violence, prevent crime and violence, as well as to improve public safety. Project Safe Neighborhoods is a national strategy designed to reduce offenses committed with guns in streets and local neighborhoods. In addition to hiring new state and local prosecutors, the funds will be available to support investigations, provide training, develop and promote community outreach efforts, and improve public safety by supporting gun and gang crime reduction strategies.

Applicant Eligibility:

A PSN Task Force has been established in each of the 93 U.S. Attorney Districts. Each task force selects a fiscal agent to apply for this program. Eligible fiscal agents include states, units of local government, educational institutions, faith-based and other community organizations, and private nonprofit organizations.

Beneficiary Eligibility:

State and local governments, public and private organizations, Indian Tribal government, prosecutor offices.

Credentials/Documentation:

Eligible applicants must be certified by the U.S. Attorney's Office. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. The standard application forms (SF-424) as furnished by the Federal agency in accordance with 28 CFR, Part 66 (Common Rule), must be used for this program. The application procedure and time lines will be contained in the program solicitation. Applications must be submitted on-line at the Office of Justice Programs, Grant Management System: <https://grants.ojp.usdoj.gov/>.

Award Procedure:

BJA reviews applications for completeness, accuracy, and compliance with all program requirements. Upon approval by the Assistant Attorney General, successful applicants are notified via the Grants Management System. One copy of the grant award must be signed by the authorized official and returned to the Office of Justice Programs.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Varies for competitive applications.

Appeals:

Not Applicable.

Renewals:

Contingent on available funding.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Varies. Up to 36 months. See the following for information on how assistance is awarded/released: See the following for information on how assistance is awarded/release: Office of Justice Programs Financial Guide 2011 (www.ojp.usdoj.gov/financialguide/index.htm) and Post Award Instructions (www.ojp.usdoj.gov/funding/pdfs/post_award_instructions.pdf).

Reports:

In some cases, evaluation reports may be required. No cash reports are required. Progress reports are due semi-annually. Unless otherwise specified in the awards special conditions, financial reports are due quarterly. To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Payments and transactions are subject to audits by the Government Accountability Office, Department of Justice's Office of the Inspector General, State or local government auditors, and auditors from independent public accounting firms. Jurisdictions must follow their local policies and procedures, including maintenance of reliable and accurate accounting systems, record keeping, and systems of internal control.

Records:

Recipients of federal funds are expected to retain documentation supporting all program transactions for at least three years after the closure of audit reports related to such funding. If any litigation, claim, negotiation, audit, or other action involving records has been started before the expiration of the three-year period, the records must be retained until completion of the action and resolution of all related issues, or until the end of the regular three-year period, whichever is later.

Account Identification:

15-0404-0-1-754.

Obligations:

(Project Grants) FY 12 \$4,481,082; FY 13 est \$4,649,000; and FY 14 est \$5,000,000

Range and Average of Financial Assistance:

Prior awards have ranged from approximately \$150,000 to \$500,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Solicitation guidelines are posted on the Office of Justice Programs web site at <http://www.ojp.gov/funding/solicitations.htm>.

For additional guidance reference the Office of Justice Programs Financial Guide 2011 (www.ojp.usdoj.gov/financialguide/index.htm) and Post award Instructions (www.ojp.usdoj.gov/funding/pdfs/post_award_instructions.pdf). Applicable OMB Circulars and Department of Justice regulations applicable to specific types of grantees can be found in title 28 of the Code of Federal Regulations (28 C.F.R.).

Regional or Local Office:

None.

Headquarters Office:

James Chavis U.S. Department of Justice
Office of Justice Programs
Bureau of Justice Assistance
810 7th Street, NW, Washington, District of Columbia 20735 Email:
AskBJA@usdoj.gov Phone: 202-616-6500

Website Address:

<http://www.bja.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

For competitive grants, criteria for selecting proposals are contained within the individual program solicitations. For non-competitive grants, applications received by BJA are reviewed for completeness, accuracy, and compliance with all program requirements.

16.610 REGIONAL INFORMATION SHARING SYSTEMS

(RISS)

FEDERAL AGENCY:

Bureau of Justice Assistance, Department of Justice

AUTHORIZATION:

Omnibus Crime Control and Safe Streets Act of 1968, Public Law 90-351; 42 U.S.C. 3796h.

OBJECTIVES:

The RISS is a nationwide program supporting local, state, federal, and tribal law enforcement combat efforts in the areas of terrorism, drug trafficking, organized criminal activity, criminal gangs, violent crime, human trafficking, and other regional criminal priorities. RISS provides secure, nationwide information and intelligence-sharing capabilities, secure, but unclassified electronic communications capabilities, investigative analysis support, specialized investigative equipment, and other investigative support services.

RISS operates in all 50 states, the District of Columbia, U.S. territories, Canada, and England. RISS is the collective effort of six regional centers. The goal is to promote officer safety, enhance the ability to identify, target, and remove criminal conspiracies and activities spanning multi-jurisdictional, multi-state, and sometime international boundaries. While the RISS centers operate independently and are tailored to support the unique needs of the region served, they also operate as a collective body to address national criminal justice issues. RISS is governed by a National Policy Group comprised of the six RISS

Directors and the Chair of each regional centers board of directors. The Bureau of Justice Assistance (BJA), U.S. Department of Justice (DOJ), administers the RISS Program.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Up to 100 percent of total project grant costs are funded through Federal assistance. Cost-sharing is governed by Appropriation Act requirements. However, projects are encouraged to obtain and utilize additional funds through voluntary contributions, membership fees or dues, fees for service, or other sources from member agencies or governmental units benefiting from project services. Such funds will be considered program income and must be used to augment project operations or to reduce the Federal share of project costs.

Applicant Eligibility:

Six RISS Centers are authorized as eligible to receive funding to provide services to law enforcement agencies throughout the nation. The Centers are: The Middle Atlantic Great-Lakes Organized Crime Law Enforcement Center (MAGLOCLLEN), the Mid-States Organized Crime Information Center (MOCIC), the New England State Police Information Network (NESPIN), the Regional Organized Crime Information Center (ROCIC), the Rocky Mountain Information Network (RMIN), and the Western States Information Network (WSIN). Additionally, the Institute of Intergovernmental Research (IIR) is designated as the administrator of network and support system capabilities serving the aforementioned six RISS Centers.

Beneficiary Eligibility:

State and local criminal justice agencies; Federal, State, and local law enforcement agencies and personnel benefit from this program.

Credentials/Documentation:

The six RISS Centers are required to submit a Federal application for assistance, as well as a detailed program and budget narrative. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

The standard application forms (SF-424) as furnished by the federal agency in accordance with 28 CFR Part 66 (Common Rule), must be used for this program. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. The standard application forms furnished by the Federal agency and required by the Common Rule, must be used for this program.

Award Procedure:

BJA reviews applications for completeness, accuracy, and compliance with all program requirements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 90 days prior to the expiration of the project's current active grant.

Appeals:

Not Applicable.

Renewals:

A project must submit a written request justifying the need for the extension and how the extension would be consistent with the objectives of the grant 60 days prior to the expiration of the current grant.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awards are made for a period of 12 months. See the following for information on how assistance is awarded/released: Reimbursement.

Reports:

Reporting requirements for grants/projects awarded under the RISS Program are articulated in the OJP Financial Guide, as well as the Funding and Administration Guidelines of the Regional Information Sharing Systems (RISS) Program Guide. No cash reports are required. No progress reports are required. No expenditure reports are required. To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Payments and transactions are subject to audits by the General Accounting Office, the Department of Justice's Office of the Inspector General, State or local government auditors, and auditors from independent public accounting firms. Jurisdictions must follow their local policies and procedures, including maintenance of reliable and accurate accounting systems, record keeping, and systems of internal control.

Records:

Recipients of Federal funds are expected to retain documentation supporting all program transactions for at least 3 years after the closure of audit reports related to such funding. If any litigation, claim, negotiation, audit, or other action involving records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all related issues, or until the end of the regular 3-year period, whichever is later.

Account Identification:

15-0401-0-1-754.

Obligations:

(Project Grants) FY 12 \$24,268,721; FY 13 est \$27,000,000; and FY 14 est \$0

Range and Average of Financial Assistance:

In FY 2012, \$27 million to be divided among the six RISS Centers and IIR for administrative support for the project and operation of the secure backbone, RISSNet.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Office of Justice Programs Financial Guide 2011 (www.ojp.usdoj.gov/financialguide/index.htm); BJA's Funding and Administration Guidelines of the Regional Information Sharing Systems Program, and the Criminal Intelligence Systems Operating Policies (28 CFR Part 23).

Regional or Local Office:

See Regional Agency Offices. Summary information about each RISS Center is available on the central RISS Website. See <http://www.riss.net>.

Headquarters Office:

David P. Lewis U.S. Department of Justice
Office of Justice Programs
Bureau of Justice Assistance
810 7th Street, NW, Washington, District of Columbia 20531 Email: AskBJA@usdoj.gov Phone: 202-616-6500

Website Address:

<http://www.iir.com/riss/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

16.614 STATE AND LOCAL ANTI-TERRORISM TRAINING SLATT

FEDERAL AGENCY:

Bureau of Justice Assistance, Department of Justice

AUTHORIZATION:

Department of Justice Appropriations Act of 2010, Public Law 111-117.

OBJECTIVES:

To provide specialized, terrorism/extremism orientation, interdiction, investigation, and prevention to state and local law enforcement executives, command personnel, intelligence officers, investigators, analytical personnel, training directors, and prosecutors.

TYPES OF ASSISTANCE:

ADVISORY SERVICES AND COUNSELING; DISSEMINATION OF TECHNICAL INFORMATION; TRAINING

USES AND USE RESTRICTIONS:

Grant program funds are intended to: (1) provide state and local law enforcement (including prosecution authorities) a general awareness and working knowledge of domestic terrorist and "political" extremist movements; (2) disseminate information relating to vital elements of law enforcement anti-terrorism preparedness and readiness; (3) provide a general planning orientation to State and local law enforcement pertaining to crisis and consequence management and incident command, including essential elements requisite to conducting criminal investigations and prosecutions of terrorist threats and incidents; and (4) maintain and enhance a domestic terrorism public source database. Standard restrictions as outlined in OJP's Financial Guide apply.

Applicant Eligibility:

For-profit (commercial) organizations; nonprofit organizations; faith-based and community organizations; institutions of higher education; and consortiums with significant and demonstrated experience in terror prevention strategies and in delivering training and technical assistance to law enforcement and tribal communities are eligible to apply. For-profit organizations must agree to waive any profit or fees for services. Joint applications from a consortium must identify the primary applicant and co-applicant(s), and letters of support that outline the partners' responsibilities must be provided.

Beneficiary Eligibility:

State and local criminal justice agencies are the primary beneficiaries of this program.

Credentials/Documentation:

All applicants are required to submit an application for assistance, as well as a detailed program and budget narrative. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

The standard application forms (SF-424) as furnished by the Federal agency in accordance with 28 CFR Part 66 (Common Rule), must be used for this program. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Applicants must submit completed applications via the Office of Justice Programs, Grants Management System or through grants.gov following established criteria. The receipt, review, and analysis of applications will follow

Office of Justice Programs policies and procedures for the administration of grant applications. Specific application instructions for solicitations are available at the Office of Justice Programs web site (<http://www.ojp.gov/funding/solicitations.htm>). If you experience difficulties at any point during this process, please call the Grants.gov Customer Support Hotline at (1-800) 518-4726.

Award Procedure:

BJA reviews applications for completeness, accuracy, and compliance with all program requirements. Upon approval by the Assistant Attorney General, successful applicants are notified via the Grants Management System. One copy of the grant award must be signed by the authorized official and returned to the Office of Justice Programs.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Applications will generally be approved or denied within 60 days of receipt of a completed application.

Appeals:

Not Applicable.

Renewals:

Renewal is contingent upon: (1) Congressional funding; and (2) Justification. A project must submit a written request justifying the need for the extension and how an extension would be consistent with the objectives of the grant within 60 days of expiration.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

12 months. See the following for information on how assistance is awarded/released: Reimbursement. See the following for additional information on how assistance is awarded/release: Office of Justice Programs Financial Guide 2011 (www.ojp.usdoj.gov/financialguide/index.htm) and Post Award Instructions (www.ojp.usdoj.gov/funding/pdfs/post_award_instructions.pdf).

Reports:

Program reports are not applicable. Cash reports are not applicable. Recipients are required to submit semi-annual Progress Reports. Recipients are required to submit quarterly Financial Reports. To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Payments and transactions are subject to audits by the General Accounting Office, Department of Justice's Office of the Inspector General, State or local government auditors, and auditors from independent public accounting firms. Jurisdictions must follow their local policies and procedures, including maintenance of reliable and accurate accounting systems, record keeping, and systems of internal control.

Records:

Recipients of Federal funds must retain all project related documentation for 3 years from the date of the final financial status report unless an audit has been initiated or an unresolved audit finding remains. This is in accordance with Federal retention requirements to ensure the availability of complete information should an audit be conducted.

Account Identification:

15-0401-0-1-754.

Obligations:

(Training) FY 12 \$1,639,683; FY 13 est \$1,860,000; and FY 14 est \$2,000,000

Range and Average of Financial Assistance:

One cooperative agreement for up to \$2 million will be awarded for a project period of 12 months.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

For additional guidance reference the Office of Justice Programs Financial Guide 2011 (www.ojp.usdoj.gov/financialguide/index.htm) and <http://www.ojp.usdoj.gov/training/training.htm>.

Regional or Local Office:

None.

Headquarters Office:

Michael Medaris, U.S. Department of Justice
Office of Justice Programs
Bureau of Justice Assistance
810 7th Street, N.W., Washington, District of Columbia 20531 Email: AskBJA@usdoj.gov Phone: 202-616-6500 or (1-866) 859-2647

Website Address:

<http://www.ojp.usdoj.gov/training/training.htm>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications received by BJA are reviewed for completeness, accuracy, and compliance with all program requirements.

16.615 PUBLIC SAFETY OFFICERS' EDUCATIONAL ASSISTANCE (PSOEA)

FEDERAL AGENCY:

Bureau of Justice Assistance, Department of Justice

AUTHORIZATION:

Educational Assistance to Dependents of Civilian Federal Law Enforcement Officers Killed or Disabled in the Line of Duty, 42 U.S.C. 3796d, Section 1211 and Section 1212; Public Law 104-238, Section 2(2), 110 Statute 3114; Public Law 105-390, Section 2 (2) Statute 3495.

OBJECTIVES:

The PSOEA Program, an expansion of the Federal Law Enforcement Dependents Assistance (FLEDA) Program, provides financial assistance for higher education to the spouses and children of public safety officers killed in line of duty. The PSOEA Program also makes assistance available to spouses and children of public safety officers permanently and totally disabled by catastrophic injuries sustained in the line of duty. Under the PSOEA program, the families of state and local police, fire, and emergency public safety officers are covered for line-of-duty deaths that occurred on or after January 1, 1978. The families of federal law enforcement officers and firefighters are covered for line of duty deaths occurring on or after October 12, 1984. The effective date for families of permanently and totally disabled Federal law enforcement officers is October 3, 1996. Families of state and local police, fire, and emergency public safety officers are covered for line-of-duty permanent and totally disabling injuries that occurred on or after November 13, 1998. Families of Federal Emergency Management Agency (FEMA) personnel and state, local, and tribal emergency management and civil defense agency employees are covered for such injuries sustained on or after October 30, 2000.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS WITH UNRESTRICTED USE

USES AND USE RESTRICTIONS:

Program funds are intended solely to defray eligible applicants' educational

expenses, which may include tuition, fees, room and board, books, supplies, and other expenditures consistent with the educational, professional, or vocational objectives of the applicant. Funds are restricted to defray above costs only.

Applicant Eligibility:

Dependents who (1) attend a program of education at an eligible institution, or (2) are the spouse and/or surviving children under the age of 27 of federal, state, and local public safety officers whose deaths or permanent and totally disabling injuries are covered by the Public Safety Officers' Benefits (PSOB) Program (42 U.S.C. 3796 et seq.) are eligible for this program. A public safety officer is

(A) an individual serving a public agency in an official capacity, with or without compensation, as a law enforcement officer, as a firefighter, or as a chaplain;

(B) an employee of the Federal Emergency Management Agency who is performing official duties of the Agency in an area, if those official duties (i) are related to a major disaster or emergency that has been, or is later, declared to exist with respect to the area under the Robert T. Stafford Disaster Relief and Emergency Assistance Act; and (ii) are determined by the Administrator of the Federal Emergency Management Agency to be hazardous duties;

(C) an employee of a State, local, or tribal emergency management or civil defense agency who is performing official duties in cooperation with the Federal Emergency Management Agency in an area, if those official duties (i) are related to a major disaster or emergency that has been, or is later, declared to exist with respect to the area under the Robert T. Stafford Disaster Relief and Emergency Assistance Act; and (ii) are determined by the head of the agency to be hazardous duties; or

(D) a member of a rescue squad or ambulance crew who, as authorized or licensed by law and by the applicable agency or entity, is engaging in rescue activity or in the provision of emergency medical services.

Beneficiary Eligibility:

The spouse and surviving children of federal, state, and local public safety officers receive the ultimate benefits from this program. The children may receive benefits for classes taken before their 27th birthday. There is no age restriction for spouses.

Credentials/Documentation:

Documentation needed to apply for assistance includes: the Application for Public Safety Officer's Educational Assistance (42 U.S.C. 3796 d); educational transcripts; tuition/fees statements; acceptance letters to educational/professional institutions; schedule of classes; verification of full- or part-time status; and certification of other private educational assistance. Contact the PSOB office at 1-888-744-6513 or by e-mail at AskPSOB@usdoj.gov for full credential/documentation requirements. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Claimants may initiate a claim by filing online at www.psob.gov, telephoning, or writing the PSOE Program, PSOB Office, Bureau of Justice Assistance, 810 Seventh Street, N.W., Washington, DC 20531, (1-888) 744-6513 or AskPSOB@usdoj.gov. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. The applicant must submit a fully executed application to the PSOE Program, PSOB Office, Bureau of Justice Assistance, 810 Seventh Street, N.W., Washington, DC 20531.

Award Procedure:

Upon a finding of eligibility by the Bureau of Justice Assistance, claimants are typically awarded funds for the current or upcoming term, and they may apply for the following term once the earlier term has been completed. Funds can be received for multiple terms at one time if they have already been completed.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Dependent upon validity of claim and submission of required documentation.

Appeals:

Applicants may appeal within 30 days of denial notification. The appeal process consists of a record review by an administration hearing officer.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Assistance is available for the equivalent of 45 months of full-time education or training, or for a proportional period of time for a part-time program. Assistance is typically awarded in 4-month installments to coincide with the length of a school term. Method of awarding/releasing assistance: lump sum.

Reports:

No reports are required.

Audits:

No audits are required for this program.

Records:

None.

Account Identification:

15-0403-0-1-754.

Obligations:

(Direct Payments with Unrestricted Use) FY 12 Not Available; FY 13 est \$0; and FY 14 est \$0

Range and Average of Financial Assistance:

For classes taken since October 1, 2012, the rates of assistance are \$987 per month for full-time students, \$740 for three-quarter-time student, \$491 for half-time students, and \$246.75 for less-than-half-time students. The amount of assistance is subject to change consistent with the current computation of educational assistance allowance set forth in Title IV of the Higher Education Act, Section 3532 of Title 38, U.S.C.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

The Bureau of Justice Assistance's Public Safety Officers' Educational Assistance Program Fact Sheet (April 2011) at https://www.bja.gov/Publications/PSOB_FS.pdf.

Regional or Local Office:

None.

Headquarters Office:

Eric Martin Office of Justice Programs
Bureau of Justice Assistance
810 7th Street, NW, Washington, District of Columbia 20531 Email: AskPSOB@usdoj.gov Phone: 1-888 744-6513 or (202) 616-6500

Website Address:

https://www.bja.gov/ProgramDetails.aspx?Program_ID=78

RELATED PROGRAMS:

16.571 Public Safety Officers' Benefits Program; 16.575 Crime Victim Assistance; 16.576 Crime Victim Compensation

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications received by BJA are reviewed for completeness, accuracy, and compliance with all program requirements.

16.616 INDIAN COUNTRY ALCOHOL AND DRUG PREVENTION

FEDERAL AGENCY:

Bureau of Justice Assistance, Department of Justice

AUTHORIZATION:

The Indian Alcohol and Substance Abuse Demonstration Program was created by the FY 2001 Consolidated Appropriations Act (Public Law 106-553).

OBJECTIVES:

To assist tribal governments in the development and enhancement of tribal justice strategies to address crime issues related to alcohol and substance abuse.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

The Indian Alcohol and Substance Abuse Program (IASAP) provides funding and technical assistance to federally recognized tribal governments, including eligible Alaska Native villages and corporations, and authorized intertribal consortia, to plan, implement, or enhance tribal justice strategies to address crime issues related to alcohol and substance abuse. No match is required for personnel. However, if a position under IASAP is supported with BJA funds, total costs associated with salary and fringe benefits may not exceed 50 percent of the grant. Funds awarded through this program to the following tribes may not be used for courts or law enforcement officers for a tribe or village, pursuant to Public Law 108-199 S. 112(a)(1): (1) tribes in which fewer than 25 Native members live in the village year round; and (2) tribes that are located within the boundaries of the Fairbanks North Star Borough, the Matanuska Susitna Borough, the Municipality of Anchorage, the Kenai Peninsula Borough, the City and Borough of Juneau, the Sitka Borough, or the Ketchikan Borough.

Applicant Eligibility:

Pursuant to 28 U.S.C. Section 524 note, applicants are limited to federally recognized tribal governments, including eligible Alaska Native villages and corporations, and authorized intertribal consortia see 25 U.S.C. Section 450. Intertribal consortia must have and retain written authorization from each member tribe prior to this solicitation's submission deadline and certify the same in the application.

Beneficiary Eligibility:

Federally recognized Tribal governments.

Credentials/Documentation:

Costs will be determined in accordance with 2 CFR, Part 225 for State and local governments. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. The standard application forms as furnished by the federal agency and required by 28 CFR, Part 66, must be used for this program. Awards through this program will be competitive, based upon the extent and the urgency of the need of each applicant. All competitive grant applications must be submitted electronically through the Grant Management System (GMS) at: <http://grants.ojp.usdoj.gov>. Applications or supplemental materials received by facsimile or postal mail will not be accepted.

Award Procedure:

All applications will be peer reviewed. The BJA Director will then make award recommendations to OJPs Assistant Attorney General, who will make final determinations. Upon approval by the Assistant Attorney General, successful applicants are notified via the Grants Management System. One copy of the grant award must be signed by the authorized official and returned to the Office of Justice Programs.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Applications will generally be approved or denied within 120 days of the receipt of a completed application.

Appeals:

See 28 CFR Part 18 for standards.

Renewals:

Contingent upon Congressional funding.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Not to exceed 36 months. Method of awarding/releasing assistance: by letter of credit.

Reports:

No program reports are required. No cash reports are required. Recipients are required to submit semi-annual Progress Reports. Recipients are required to submit quarterly Financial Reports. To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Performance Measures: To assist in fulfilling the Department's responsibilities under the Government Performance and Results Act (GPRA), Public Law 103-62, applicants who receive funding under this solicitation must provide data that measures the results of their work.

Records:

Recipients of federal funds are expected to retain documentation supporting all programs transactions for at least 3 years after the closure of audit reports related to such funding. If any litigation, claim, negotiation, audit, or other action involving records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all related issues, or until the end of the regular 3-year period, whichever is later.

Account Identification:

15-0404-0-1-754.

Obligations:

(Project Grants) FY 12 \$10,122,549; FY 13 est \$0; and FY 14 est \$0

Range and Average of Financial Assistance:

Program announcement contains information regarding the range and average level of financial assistance. Additional information is posted on the BJA website.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Solicitation guidelines are posted on the Office of Justice Programs web site at <http://www.ojp.gov/funding/solicitations.htm>.

For additional guidance reference the Office of Justice Programs Financial Guide 2011 (www.ojp.usdoj.gov/financialguide/index.htm) and Post award Instructions (www.ojp.usdoj.gov/funding/pdfs/post_award_instructions.pdf). Applicable OMB Circulars and Department of Justice regulations applicable to specific types of grantees can be found in title 28 of the Code of Federal Regulations (28 C.F.R.).

Regional or Local Office:

None.

Headquarters Office:

Tim Jeffries U.S. Department of Justice
Office of Justice Programs
Bureau of Justice Assistance
810 7th Street, N.W.
, Washington, District of Columbia 20531 Email: AskBJA@usdoj.gov Phone:
(202) 616-6500

Website Address:

https://www.bja.gov/ProgramDetails.aspx?Program_ID=63

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Contact BJA for more information.

16.710 PUBLIC SAFETY PARTNERSHIP AND COMMUNITY POLICING GRANTS

COPS Office

FEDERAL AGENCY:

Office of Community Oriented Policing Services, Department of Justice

AUTHORIZATION:

Omnibus Crime Control and Safe Streets Act of 1968, 42 U.S.C. 3796dd, as amended; Violent Crime Control and Law Enforcement Act of 1994, Title I, Part Q, Public Law 103-322.

OBJECTIVES:

To advance the practice of community policing as an effective strategy in communities' efforts to improve public safety. COPS grants support innovative programs that respond directly to the existing and emerging needs of state, local, and tribal law enforcement, to shift law enforcements focus to preventing, rather than solely responding to crime and disorder within their communities; develop state-of-the-art training and technical assistance to enhance law enforcement officers problem- solving and community interaction skills, promote collaboration between law enforcement and community members to develop innovative initiatives to prevent crime, and provide responsive, cost effective service delivery to our grantees to ensure success in advancing community policing strategies within their communities.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

The COPS Office was established in 1994 to assist law enforcement agencies in enhancing public safety through the implementation of community policing strategies. The COPS Office will continue to fulfill its mission of advancing the practice of community policing by:

- *providing grants under COPS Hiring to meet the Administrations goal of an additional 50,000 sworn community policing professionals nationwide,
- *continuing to support innovative programs that respond directly to the emerging needs of state, local, and tribal law enforcement, to shift law enforcements focus to preventing, rather than reacting to crime and disorder within their communities,
- *developing state-of-the-art training and technical assistance to enhance law enforcement officers problem-solving and community interaction skills,
- *promoting collaboration between law enforcement and community members to develop innovative initiatives to prevent crime,
- *providing responsive, cost effective service delivery to our grantees to ensure success in advancing community policing strategies within their communities,
- *supporting evidenced-based community policing practices that have proven to be effective; can be easily replicated by a broad cross-section of law enforcement agencies; and, are sustainable, and
- *providing national leadership to the law enforcement field regarding the adaptation of Values Based Policing Principles; which is a results driven philosophy that minimizes an organizations process while emphasizing

outcomes. It integrates the core beliefs of an organization into every aspect of its operations. Values Based Policing allows an employee to be driven by what is right instead of what is in writing.. The COPS Office authorizing statute contains specific restrictions applicable to all programs. In addition, annual appropriations acts may impose additional restrictions. 100% of COPS funds are Discretionary funds.

Applicant Eligibility:

States, units of local government, Federally Recognized Indian tribal governments, U.S. territories or possessions (including the Commonwealth of Puerto Rico, the Virgin Islands, Guam, American Samoa, and the Mariana Islands), other public and private entities, and multi-jurisdictional or regional consortia thereof.

Beneficiary Eligibility:

States, units of local government, Federally Recognized Indian tribal governments, U.S. territories or possessions (including the Commonwealth of Puerto Rico, the Virgin Islands, Guam, American Samoa, and the Mariana Islands), other public and private entities, and multi-jurisdictional or regional consortia thereof.

Credentials/Documentation:

Documentation varies by program, but may include proof of primary law enforcement authority, any specified Memorandums of Understanding, and Certifications, Assurances and Disclosure of Lobbying Activities. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applicant submits application and budget forms as well as other required documentation (including required assurances, certifications, retention information, lobbying, etc.) prescribed by the grantor agency and approved by OMB, as outlined in the Application Guide. Application Guides are available from the grantor agency. At the time of submission, original or certified electronic signatures of duly authorized representatives (usually the Law Enforcement and Government Executive). The COPS Office offers the option of applying for COPS Grants online through the COPS website and using the collaborative, multiple-agency E-government initiative Grants.gov. If applicants apply online, the agency is required to complete the mandatory online forms and submit the application through their Authorized Organizational Representative.

Award Procedure:

Upon approval by the Director, Office of Community Oriented Policing Services, award notification letters will be sent to grantees. The grant award must be signed by a duly authorized representative and returned to the designated office within 90 days of the award mail date.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days. Typically between 4-6 months after receipt of application.

Appeals:

See 28 CFR Part 18.

Renewals:

COPS grants are made for between 1 and 3 years depending on the program.

Renewals and/or extensions may be available under some grant programs and will be considered on a case-by-case basis. If available, extensions should be requested not sooner than 90 days prior to the expiration of the original project period.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: There is no local match requirement for CHRP, CSPP, Tech, Meth, SSI, and TRGP. The COPS Office funds 100% of total allowable project costs.

The COPS Office funds up to \$125,000 of total project costs under the COPS in Schools grant program. Any costs exceeding this cap are the grantees responsibility.

COPS MORE, Interoperable Communications Technology Program (ICTP) , and UHP grantees are obligated to contribute at least 25 percent of the costs of the total allowable project or activity, as funded by the COPS Office, unless a waiver is obtained from the COPS Office (when available under program guidelines). Grant awards may cover up to 75 percent of the costs over the grant period as outlined in the application submission (42 USC 3796dd(I)).

Secure Our Schools grantees are obligated to contribute at least 50 percent of the project or activity.

All matching contributions are cash matches. The COPS Office does not allow in-kind matches.

This program has MOE requirements, see funding agency for further details. COPS grants have Non-supplanting and Retention requirements.

Length and Time Phasing of Assistance:

COPS grants are made for between 1 and 3 years depending on the program. Assistance is released on a reimbursement or limited advance basis. See the following for information on how assistance is awarded/released: Grantees submit request for payment when needed.

Reports:

Program reports are not applicable. Cash reports are not applicable. Annual programmatic reports and quarterly financial status reports will be required as stipulated in the effective edition of the relevant grant owner's manual distributed by the grantor agency. Other reporting requirements, such as a final progress report and a closeout report may also be required depending on the grant program. For COPS Hiring grants awarded under ARRA, agencies that receive funding are required to comply with the additional quarterly reporting requirements as outlined in the Act. Grantees are required to submit quarterly SF-425 financial expenditure reports for each individual COPS grant awarded. COPS uses a risk-assessment model to determine on-site monitoring locations.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Financial records, supporting documents, and all other records pertinent to a grant shall be retained for a period of 3 years following the conclusion of Federal assistance.

Account Identification:

15-0406-0-1-754; 15-0412-0-1-754 - COPS ARRA Funding.

Obligations:

(Project Grants) FY 12 Not Available; FY 13 Estimate Not Available; and FY 14 Estimate Not Available. (Project Grants) FY 12 Not Available; FY 13 Estimate Not Available; and FY 14 Estimate Not Available

Range and Average of Financial Assistance:

\$1,914 to \$19,747,117; \$647,823 (average).

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Application Guides and current edition of M7100.1, which are available from Headquarters.

Regional or Local Office:

None.

Headquarters Office:

Ashley Hoomstra 145 N Street NE, Washington, District of Columbia 20530
Email: ashley.hoomstra@usdoj.gov Phone: 202-616-1314

Website Address:

<http://www.cops.usdoj.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

General criteria for selecting proposals are set forth in the Violent Crime Control and Law Enforcement Act of 1994, Public Law 103-322. Additional criteria may be developed by the Office of Community Oriented Policing Services and will be published in COPS application materials.

16.726 JUVENILE MENTORING PROGRAM

FEDERAL AGENCY:

Office of Juvenile Justice and Delinquency Prevention, Department of Justice

AUTHORIZATION:

Juvenile Justice and Delinquency Prevention Act of 1974, Section 288, as amended, Public Law 93-415, as amended; Public Law 94-503, 95-115, 96-509, 98-473, 100-690, and 102-586, and Public Law 110-161, 42 U.S.C. 5667c.

OBJECTIVES:

To provide funding to state, local, community, and national organizations to propose the enhancement or expansion of initiatives that will assist in the development and maturity of community programs to provide mentoring services to high-risk populations that are underserved due to location, shortage of mentors, special physical or mental challenges of the targeted population, or other analogous situations identified by the community in need of mentoring services.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

To be eligible for an Award under the Juvenile Mentoring Program, an applicant must: (1) respond to legislative requirements contained in Section 261 (a) and (b) of the JJDP Act, as amended as well as specific program guidelines issued by the Office of Juvenile Justice and Delinquency Prevention (OJJDP); (2) be consistent with the objectives and priorities of OJJDP; (3) provide for proper program administration, evaluation, and fiscal reporting; (4) demonstrate, in the overall quality of the proposal, that the program is technically sound and will achieve the required program objectives at the highest possible level; and (5) respond to clear and documentable needs.

Applicant Eligibility:

Please see individual solicitations for applicant eligibility.

Beneficiary Eligibility:

States, Territories, and public/private nonprofit organizations.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments, and OMB Circular No. A-110 for Institutions of Higher Education, Hospitals and Other Nonprofit Organizations. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should

consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Applicants must submit completed applications via the Office of Justice Programs, Grants Management System or through grants.gov following established criteria. The receipt, review, and analysis of applications will follow Office of Justice Programs policies and procedures for the administration of grant applications. Specific application instructions for solicitations are available at the Office of Justice Programs web site (<http://www.ojp.gov/funding/solicitations.htm>).

Award Procedure:

Upon approval by the Assistant Attorney General, successful applicants are notified via the Grants Management System. One copy of the grant award must be signed by the authorized official and returned to the Office of Justice Programs.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Informal reconsideration by Administrator for assistance applicants; administrative hearings for assistance award terminations, SCE CFR Pat. 18, 50 FR 28199, July 11, 1985.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Awards will be made for one to four years depending on the solicitation. Drawdowns are possible under a letter of credit. Method of awarding/releasing assistance: by letter of credit.

Reports:

No program reports are required. No cash reports are required. Recipients are required to submit semi-annual Progress Reports. Recipients are required to submit quarterly Financial Reports. To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Recipients of federal funds are expected to retain documentation supporting all program transactions for at least 3 years after the closure of audit reports related to such funding. If any litigation, claim, negotiation, audit, or other action involving records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all related issues, or until the end of the regular 3-year period, whichever is later.

Account Identification:

15-0405-0-1-754.

Obligations:

(Project Grants) FY 12 \$78,000,000; FY 13 est \$84,027,000; and FY 14 est \$58,000,000

Range and Average of Financial Assistance:

Award amounts vary according to solicitation.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Office of Justice Programs Financial Guide 2011 (www.ojp.usdoj.gov/financialguide/index.htm) and Post award Instructions (www.ojp.usdoj.gov/funding/pdfs/post_award_instructions.pdf), applicable OMB Circulars, and Department of Justice regulations applicable to specific types of grantees, which can be found in title 28 of the Code of Federal Regulations (28 C.F.R.).

Regional or Local Office:

None.

Headquarters Office:

Jeff Slowikowski U.S. Department of Justice
Office of Justice Programs
Office of Juvenile Justice and Delinquency Prevention, Washington, District of Columbia 20531 Phone: (202) 616-3646

Website Address:

<http://www.ojjdp.ncjrs.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications are judged according to their consistency with the policies and program priorities established by the Juvenile Justice and Delinquency Prevention Act. Specific criteria are published in the individual program announcement. Applications undergo a competitive peer review process as outlined in the OJJDP Competition and Peer Review Policy, 28 CFR Part 34.

16.727 ENFORCING UNDERAGE DRINKING LAWS PROGRAM

EUDL

FEDERAL AGENCY:

Office of Juvenile Justice and Delinquency Prevention, Department of Justice

AUTHORIZATION:

The statutory authority for the Enforcing Underage Drinking Laws (EUDL) Block Grant Program may be found within Section 504 of the Juvenile Justice and Delinquency Prevention Act, 42 U.S.C. 5783. Congress has delegated the authority to administer the EUDL Program to the Office of Juvenile Justice and Delinquency Prevention (OJJDP), in the Department of Justice's (DOJ's) Office of Justice Programs (OJP) under the Consolidated Appropriations Act, 2010, Public Law 111-117.

OBJECTIVES:

The EUDL Discretionary Program supports and enhances efforts by States, Territories, and the District of Columbia, in cooperation with local jurisdictions, to prohibit the sale of alcoholic beverages to, or consumption of alcoholic beverages by minors. (For the purpose of this solicitation, "minors" are defined as individuals under the age of 21.) Funds will be dispersed to support discretionary program activities in local jurisdictions, as well as training and technical assistance to all States. The local programs implement the comprehensive approach to curtailing access and consumption of alcoholic beverages by minors, including the enforcement of laws pertaining to underage alcohol purchase, possession, and use.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

For purposes of this initiative, minors are defined as individuals under 21 years of age. Activities under the EUDL Program may include:
-Statewide task forces of state and local law enforcement and prosecutorial

agencies to target establishments suspected of a pattern of violations of state laws governing the sale and consumption of alcohol by minors.

-Public advertising programs to educate establishments about statutory prohibitions and sanctions.

-Innovative programs to prevent and combat underage drinking. These funds cannot be used to supplant existing programs and activities (Supplanting means to deliberately reduce State or local funds because of the existence of Federal funds).

Applicant Eligibility:

To receive a Block Grant, Governors and the Mayor of the District of Columbia designated an agency to serve as the point of contact to apply for, receive, and administer the targeted grant funds. The designated State agencies may apply to receive a Discretionary Grant. Their applications must detail a comprehensive approach to curtailing access and consumption of alcoholic beverages by minors, including the enforcement of underage drinking laws and how that approach will be implemented by State-selected local communities. States are encouraged to link with ongoing public and private efforts (including those of foundations and national organizations). Training and technical assistance were made available through a separate application process.

Beneficiary Eligibility:

States are eligible to apply for discretionary funds through a separate application process.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Applicants must submit completed applications via the Office of Justice Programs, Grants Management System or through grants.gov following established criteria. The receipt, review, and analysis of applications will follow Office of Justice Programs policies and procedures for the administration of grant applications.

Each applicant must detail a comprehensive approach to enforcing underage drinking laws and describe how the Federal funds will be used to contribute to the implementation of that comprehensive approach. States are encouraged to link with ongoing public and private efforts (including law enforcement agencies and those of foundations and national organizations). Specific application instructions for solicitations are available at the Office of Justice Programs web site (<http://www.ojp.gov/funding/solicitations.htm>).

Award Procedure:

Upon approval by the Assistant Attorney General, successful applicants are notified via the Grants Management System. One copy of the grant award must be signed by the authorized official and returned to the Office of Justice Programs. Local units of government will receive Enforcing Underage Drinking Laws funds through the applicant agency subgrant award process.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Hearings and appeal procedures will follow 28 CFR Part 18, of the Department of Justice Regulations.

Renewals:

Renewals are subject to appropriations.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Awards are made for 24 months. Method of awarding/releasing assistance: by letter of credit.

Reports:

No program reports are required. No cash reports are required. Recipients are required to submit semi-annual Progress Reports. Recipients are required to submit quarterly Financial Reports. To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Recipients of federal funds are expected to retain documentation supporting all program transactions for at least 3 years after the closure of audit reports related to such funding. If any litigation, claim, negotiation, audit, or other action involving records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all related issues, or until the end of the regular 3-year period, whichever is later.

Account Identification:

15-0405-0-1-754.

Obligations:

(Project Grants) FY 12 \$4,212,053; FY 13 est \$4,668,000; and FY 14 est \$0

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Office of Justice Programs Financial Guide 2011 (www.ojp.usdoj.gov/financialguide/index.htm) and Post award Instructions (www.ojp.usdoj.gov/funding/pdfs/post_award_instructions.pdf), applicable OMB Circulars, and Department of Justice regulations applicable to specific types of grantees, which can be found in title 28 of the Code of Federal Regulations (28 C.F.R.).

Regional or Local Office:

None.

Headquarters Office:

Jennifer Yeh U.S. Department of Justice
Office of Justice Programs
Office of Juvenile Justice and Delinquency Prevention
810 7th Street, NW, Washington, District of Columbia 20531 Phone: (202) 616-9135

Website Address:

<http://www.ojjdp.ncjrs.org>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are described in the OJP Program Announcement available at

16.730 REDUCTION AND PREVENTION OF CHILDREN'S EXPOSURE TO VIOLENCE

Safe Start Program

FEDERAL AGENCY:

Office of Juvenile Justice and Delinquency Prevention, Department of Justice

AUTHORIZATION:

Public Law 105-277.

OBJECTIVES:

To develop an initiative for the purpose of understanding, preventing, and reducing the impact of family and community violence on young children. The initiative will help communities expand existing partnerships between service providers (such as law enforcement, mental health, health, early childhood education and others) to create a comprehensive service delivery system. Efforts will involve studying the efficacy of these service delivery systems, replicating the most effect systems, and studying the scope of the problem of children's exposure to violence in the United States.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Applicant selected to receive Safe Start cooperative agreements may use funds to establish and enhance a broad range of local prevention, intervention and treatment services for young children who have been exposed and are at risk of being exposed to violence; develop effective multi-agency protocols; coordinate services to develop a community-wide system for responding to the needs of children exposed or at risk of exposure to violence; study the efficacy of these systems; and study the scope of the problem of children's exposure to violence in the U.S.

Applicant Eligibility:

To be eligible for a Safe Start cooperative agreement, applicants must be public agencies (including state agencies, local units of government, and tribal governments) and/or private organizations who agree to waive any profit or fee.

Beneficiary Eligibility:

Eligible applicants for the Safe Start Demonstration Projects are communities that have formed a strong collaborative group (or shown the ability and commitment to expand coordination with key partners such as courts, law enforcement, early childhood development and domestic violence agencies, and mental health services) to prevent and address the impact that exposure to violence has on young children. Applicants for the research and evaluation projects are public and private agencies (private, for-profit organizations must agree to waive any profit for fee), organizations, institutions, or individuals that have demonstrated experience in evaluating broad-based community initiatives and whose experience includes the design of studies capable of analyzing process and measuring impact across multiple communities and the development and delivery of evaluation-based training and technical assistance.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments, Circular No. A-21 for educational institutions, and Circular No. A-122 for nonprofit organizations. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applicants must submit completed applications via the Office of Justice Programs, Grants Management System or through grants.gov following established criteria. The receipt, review, and analysis of applications will follow Office of Justice Programs policies and procedures for the administration of grant applications. Specific application instructions for solicitations are available at the Office of Justice Programs web site (<http://www.ojp.gov/funding/solicitations.htm>).

Applicants are expected to address each concern or requirement in the solicitation as clearly and specifically as possible, giving particular attention to goal and objective statements, methodology and data requirements. A peer review group is established as mandated in Section 262(d)(1)(A) of JJDP Act and applications are rated and ranked in relation to pre-defined selection criteria. This program is subject to the provisions of OMB Circular No. A-110 and the Common Rule.

Award Procedure:

Cooperative agreements are awarded directly to selected applicants, who are notified of a pending award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 1 to 6 months.

Appeals:

Not Applicable.

Renewals:

Continuation grant and/or supplemental award.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Awards may be for projects from 12 to 48 months. Specific direction about the length of the projects to be funded is given in the solicitation. Method of awarding/releasing assistance: by letter of credit.

Reports:

No program reports are required. No cash reports are required. Recipients are required to submit semi-annual Progress Reports. Recipients are required to submit quarterly Financial Reports. To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Recipients of federal funds are expected to retain documentation supporting all program transactions for at least 3 years after the closure of audit reports related to such funding. If any litigation, claim, negotiation, audit, or other action involving records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all related issues, or until the end of the regular 3-year period, whichever is later.

Account Identification:

15-0405-0-1-754.

Obligations:

(Cooperative Agreements) FY 12 \$0; FY 13 est \$0; and FY 14 est \$0

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

The Safe Start solicitation/guideline is published in the Federal Register and

awards are governed by the OJP Financial Guide, which is available upon request. Reports and studies developed through the OJJDP National Institute are available and can be secured by contacting OJJDP in Washington, DC.

Regional or Local Office:

None.

Headquarters Office:

Robin Delany-Shabazz U.S. Department of Justice
Office of Justice Programs
Office of Juvenile Justice and Delinquency Prevention
810 7th Street, NW, Washington, District of Columbia 20531 Phone: (202) 307-9963

Website Address:

<http://www.ojjdp.ncjrs.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications are judged according to their consistency with the policies and program priorities established by the Juvenile Justice and Delinquency Prevention Act. Specific criteria are published in the Federal Register as part of the individual program announcement. Applications undergo a competitive peer review process as outlined in the OJJDP Competition and Peer Review Policy, 28 CFR Part 34.

16.731 TRIBAL YOUTH PROGRAM

TYP

FEDERAL AGENCY:

Office of Juvenile Justice and Delinquency Prevention, Department of Justice

AUTHORIZATION:

Departments of Commerce, Justice, State, the Judiciary, and Related Agencies Appropriations Act, Public Law 105-277.

OBJECTIVES:

To support and enhance tribal efforts for comprehensive delinquency prevention, control, and juvenile justice system improvement for Native American youth.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

To reduce, control, and prevent crime both by and against tribal youth; to provide interventions for court-involved tribal youth; to improve tribal juvenile justice systems; and to provide prevention programs focusing on alcohol and drugs.

Applicant Eligibility:

Applications are only invited from Federally recognized tribes and Alaskan Native villages, however, tribes and villages may partner with others as applicable. If partnering with others, a Memorandum of Understanding (MOU) should be submitted with the application. Additionally, one tribe must be chosen as the primary agency for funding and reporting purposes. Only tribal governments and tribal organizations that have a tribal resolution sharing the endorsement of the tribal government are eligible to apply. A tribal resolution must be included with the application.

Beneficiary Eligibility:

All Federally recognized tribes and Alaskan Native villages.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Applicants must submit completed applications via the Office of Justice Programs, Grants Management System or through grants.gov following established criteria. The receipt, review, and analysis of applications will follow Office of Justice Programs policies and procedures for the administration of grant applications. Specific application instructions for solicitations are available at the Office of Justice Programs web site (<http://www.ojp.gov/funding/solicitations.htm>).

Award Procedure:

Upon approval by the Assistant Attorney General, successful applicants are notified via the Grants Management System. One copy of the grant award must be signed by the authorized official and returned to the Office of Justice Programs.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Hearing and appeal procedures will follow 28 CFR Part 18, of the Department of Justice Regulations.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Awards are made for 36 months. Method of awarding/releasing assistance: by letter of credit.

Reports:

No program reports are required. No cash reports are required. Recipients are required to submit semi-annual Progress Reports. Recipients are required to submit quarterly Financial Reports. To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Recipients of federal funds are expected to retain documentation supporting all program transactions for at least 3 years after the closure of audit reports related to such funding. If any litigation, claim, negotiation, audit, or other action involving records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all related issues, or until the end of the regular 3-year period, whichever is later.

Account Identification:

15-0405-0-1-754.

Obligations:

(Project Grants (Discretionary)) FY 12 \$8,593,730; FY 13 est \$9,336,000; and FY 14 est \$0

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Office of Justice Programs Financial Guide 2011 (www.ojp.usdoj.gov/financialguide/index.htm) and Post award Instructions (www.ojp.usdoj.gov/funding/pdfs/post_award_instructions.pdf), applicable OMB Circulars, and Department of Justice regulations applicable to specific types of grantees, which can be found in title 28 of the Code of Federal Regulations (28 C.F.R.).

Regional or Local Office:

None.

Headquarters Office:

James Antal U.S. Department of Justice
Office of Justice Programs
Office of Juvenile Justice and Delinquency Prevention
810 7th Street, NW , Washington , District of Columbia 20531 Phone: (202) 514-1289

Website Address:

<http://www.ojjdp.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applicants will be evaluated and rated by a modified peer review process according to prescribed selection criteria. Criteria are described in the OJP Program Announcement available at <http://www.ojp.gov/funding/solicitations.htm>.

16.734 SPECIAL DATA COLLECTIONS AND STATISTICAL STUDIES

Statistics

FEDERAL AGENCY:

Bureau of Justice Statistics, Department of Justice

AUTHORIZATION:

Omnibus Crime Control and Safe Streets Act of 1968, as amended; 42 U.S.C. 3731-3735.

OBJECTIVES:

To produce official national statistics on crime and the administration of justice to be used to guide Federal, State, and local policy-making and improve the quality of and access to information used for decision making.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funds are to be used for a variety of activities in support of BJS's statistical programs including: to conduct data collection and processing activities; carry out statistical and methodological research; provide technical assistance to State, local, and tribal governments to develop their capabilities to produce justice statistics; provide dissemination and clearinghouse functions, and other information services to data users.

Applicant Eligibility:

The Bureau of Justice Statistics is authorized to award grants and cooperative agreements to State and local governments, private nonprofit organizations, public nonprofit organizations, profit organizations, institutions of higher education, and qualified individuals. Applicants from the Territories of the United States and federally recognized Indian Tribal Governments are also eligible to participate in this program.

Beneficiary Eligibility:

Eligible beneficiaries are State and local governments, private nonprofit organizations, public nonprofit organizations, profit organizations, institutions of higher education, and qualified individuals.

Credentials/Documentation:

The applicant must furnish, along with the application for an award, details of the program budget composition, goals, impact, methods, evaluation plan, and resources of the project. Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments and OMB Circular No. A-21 for educational institutions. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applicants must submit completed applications via the Office of Justice Programs, Grants Management System or through grants.gov following established criteria. The receipt, review, and analysis of applications will follow Office of Justice Programs policies and procedures for the administration of grant applications. Specific application instructions for solicitations are available at the Office of Justice Programs web site (<http://www.ojp.gov/funding/solicitations.htm>).

Award Procedure:

Upon approval by the Assistant Attorney General, successful applicants are notified via the Grants Management System. One copy of the grant award must be signed by the authorized official and returned to the Office of Justice Programs.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Hearings by the Director.

Renewals:

Most awards are for periods of 12 months. Under some circumstances, a subsequent award may be made to continue the project.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Project duration period is generally 12 months. Assistance is released by "direct disbursement" at the request of the grantee. See the following for information on how assistance is awarded/released: Contact program office for more information.

Reports:

No program reports are required. No cash reports are required. Progress Reports presenting information relevant to the performance of the project must be submitted online through GMS for the reporting periods June 30 and December 31. Financial Status Reports containing the actual expenditures for the reporting period and cumulative for the award must be submitted quarterly online through GMS. To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Recipients of federal funds are expected to retain documentation supporting all program transactions for at least 3 years after the closure of audit reports related

to such funding. If any litigation, claim, negotiation, audit, or other action involving records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all related issues, or until the end of the regular 3-year period, whichever is later.

Account Identification:

15-0401-0-1-754.

Obligations:

(Cooperative Agreements) FY 12 \$8,255,350; FY 13 est \$45,026,000; and FY 14 est \$52,900,000

Range and Average of Financial Assistance:

\$50,000 to \$750,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Office of Justice Programs Financial Guide (www.ojp.usdoj.gov/financialguide/index.htm) and Post Award Instructions (www.ojp.usdoj.gov/funding/pdfs/post_award_instructions.pdf).

Regional or Local Office:

None.

Headquarters Office:

Gerard Ramker U.S. Department of Justice
Office of Justice Programs
Bureau of Justice Statistics
810 7th Street, NW, Washington, District of Columbia 20531 Email:
Gerard.Ramker@usdoj.gov Phone: 202-307-0765

Website Address:

<http://www.bjs.ojp.usdoj.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria will be outlined in the program announcements or solicitations. Program announcements or solicitations can be found on BJS's website at <http://bjs.ojp.usdoj.gov/index.cfm?ty=fun>.

16.738 EDWARD BYRNE MEMORIAL JUSTICE ASSISTANCE GRANT PROGRAM

Byrne JAG Program

FEDERAL AGENCY:

Bureau of Justice Assistance, Department of Justice

AUTHORIZATION:

Part E of title I of the Omnibus Crime Control and Safe Streets Act of 1968 as amended Subpart 1 of such part (42 U.S.C. 3751-3759). Consolidated Appropriations Act, 2008, Public Law 110-161. Public Law 109-162, Title XI, Department of Justice Reauthorization, Subtitle B, Improving the Department of Justice's Grant Programs, Chapter 1, Assisting Law Enforcement and Criminal Justice Agencies, Section 1111. Merger of Byrne Grant Program and Local Law Enforcement Block Grant Program.

OBJECTIVES:

JAG funds support all components of the criminal justice system from multijurisdictional drug and gang task forces to crime prevention and domestic violence programs, courts, corrections, treatment, and justice information sharing initiatives. JAG funded projects may address crime through the provision of services directly to individuals and/or communities and by improving the effectiveness and efficiency of criminal justice systems, processes, and procedures.

TYPES OF ASSISTANCE:

FORMULA GRANTS; PROJECT GRANTS

USES AND USE RESTRICTIONS:

Law enforcement programs; prosecution and court programs; prevention and

education programs; corrections and community corrections programs; drug treatment programs; and planning, evaluation, and technology improvement programs, and crime victim and witness programs. Funds may not be used to supplant State and local funds.

Applicant Eligibility:

All States, the District of Columbia, Guam, American Samoa, the Commonwealths of Puerto Rico, the Virgin Islands, and the Northern Mariana Islands. Units of local government are eligible consistent with established guidelines.

Beneficiary Eligibility:

States, Territories, and units of local government.

Credentials/Documentation:

Each applicant must submit a completed application that meets the requirements specified in the program guidance. Costs will be determined in accordance with 2 CFR, Part 225 for State and local governments. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

The standard application forms (SF-424) as furnished by the Federal agency in accordance with 28CFR, Part 66 must be used with this program. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Applications are submitted on-line through the Office of Justice Programs Grant Management System at: <https://grants.ojp.usdoj.gov/> and must follow the criteria outlined in the solicitation as well as the JAG Program Guidance Manual.

Award Procedure:

An award is granted by the Director of the Bureau of Justice Assistance, and must be accepted by the applicant agency or institution according to the special conditions of the grant.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

The Bureau of Justice Assistance will not disapprove any application (or amendment to that application) without affording the applicant reasonable notice of any deficiencies and providing an opportunity for correction and reconsideration.

Appeals:

Hearing and appeal procedures will follow 28 CFR Part 18 Code of Federal Regulations.

Renewals:

Subject to annual appropriations.

Formula and Matching Requirements:

Statutory Formula: Title I, Chapter Subchapter V, Part A, Subpart 42 USC 3756. Statutory Formula: Once each fiscal years overall JAG Program funding level is determined, BJA partners with the Bureau of Justice Statistics (BJS) to begin a four-step grant award calculation process which consists of:

1. Computing an initial JAG allocation for each state and territory, based on their share of violent crime and population (weighted equally).
2. Reviewing the initial JAG allocation amount to determine if the state or territory allocation is less than the minimum (de minimus) award amount defined in the JAG legislation (0.25 percent of the total). If this is the case, the state or territory is funded at the minimum level, and the funds required for this are deducted from the overall pool of JAG funds. Each of the remaining states

receives the minimum award plus an additional amount based on their share of violent crime and population.

3. Dividing each state's final award amount (except for the territories and District of Columbia) between state and local governments at a rate of 60 and 40 percent, respectively.

4. Determining local unit of government award allocations, which are based on their proportion of the state's three-year violent crime average. If a local eligible award amount is less than \$10,000, the funds are returned to the state to be awarded to these local units of government through the state agency. If the eligible award amount is \$10,000 or more, then the local government is eligible to apply for a JAG award directly from BJA.

Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The period of formula grant awards under this program is 4 years. See the following for information on how assistance is awarded/released:
Reimbursement.

Reports:

No program reports are required. No cash reports are required. Progress reports are required in accordance with the Office of Justice Programs Financial Guide 2011 (www.ojp.usdoj.gov/financialguide/index.htm). Quarterly financial reports are required in accordance with the Office of Justice Programs Financial Guide 2011 (www.ojp.usdoj.gov/financialguide/index.htm). To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Payments and transactions are subject to audits by the Government Accountability Office, Department of Justice's Office of the Inspector General, State or local government auditors, and auditors from independent public accounting firms. Jurisdictions must follow their local policies and procedures, including maintenance of reliable and accurate accounting systems, record keeping, and systems of internal control.

Records:

In accordance with the requirements set forth in 28 CFR Parts 66 and 70, grantees must maintain all financial reports and other supporting documents pertinent to the award for at least 3 years following the close of the most recent audit.

Account Identification:

15-0404-0-1-754.

Obligations:

(Formula Grants) FY 12 \$295,580,175; FY 13 est \$278,392,240; and FY 14 est \$395,000,000

Range and Average of Financial Assistance:

\$10,000 to \$37,000,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Fiscal Year 2012: 1,128 local and 56 state applications totaling more than \$287 million in JAG funding (approximately \$193.8 million to states and territories and \$93.6 million to local units of government.). Fiscal Year 2013: Fiscal Year 2013: 1,078 local and 56 state applications totaling more than \$287 million in JAG funding (approximately \$194.1 million to states and territories and \$86.9 million to local units of government.). Fiscal Year 2014: No data currently available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Solicitation guidelines are posted on the Office of Justice Programs web site at <http://www.ojp.gov/funding/solicitations.htm>.

For additional guidance reference the Office of Justice Programs Financial Guide 2011 (www.ojp.usdoj.gov/financialguide/index.htm) and Post award Instructions (www.ojp.usdoj.gov/funding/pdfs/post_award_instructions.pdf). Applicable OMB Circulars and Department of Justice regulations applicable to specific types of grantees can be found in title 28 of the Code of Federal Regulations (28 C.F.R.).

Regional or Local Office:

See Regional Agency Offices. Contact the State Administering Agency, listed at <http://www.ojp.usdoj.gov/saa/>.

Headquarters Office:

Tracy Trautman U.S. Department of Justice
Office of Justice Programs
Bureau of Justice Assistance
810 7th Street, NW, Washington, District of Columbia 20531 Email:
AskBJA@usdoj.gov Phone: 202-616-6500

Website Address:

http://www.bja.gov/ProgramDetails.aspx?Program_ID=59

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications are reviewed to determine compliance with applicable guideline requirements.

16.739 NATIONAL PRISON RAPE STATISTICS PROGRAM

PREA

FEDERAL AGENCY:

Bureau of Justice Statistics, Department of Justice

AUTHORIZATION:

Omnibus Crime Control and Safe Streets Act of 1968, as amended; 42 U.S.C. 3732, and Prison Rape Elimination Act, Public Law 108-79.

OBJECTIVES:

To collect and analyze data on the incidence of sexual assault among individuals held in Federal and State prisons, local jails, and juvenile facilities as well as former inmates.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funds are to be used for a variety of activities in support of the Implementation of the National Prison Rape Statistics program including: to conduct data collection and processing activities, and carry out statistical and methodological research.

Applicant Eligibility:

The Bureau of Justice Statistics is authorized to award grants and cooperative agreements to State and local governments, private nonprofit organizations, public nonprofit organizations, profit organizations, institutions of higher education, and qualified individuals.

Beneficiary Eligibility:

Eligible beneficiaries are State and local governments, private nonprofit organizations, public nonprofit organizations, profit organizations, institutions of higher education, and qualified individuals.

Credentials/Documentation:

The applicant must furnish, along with the application for an award, details of the program budget composition, goals, impact, methods, evaluation plan, and resources of the project. Costs will be determined in accordance with OMB Circular No. A-21 for educational institutions. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applicants must submit completed applications via the Office of Justice Programs, Grants Management System or through grants.gov following established criteria. The receipt, review, and analysis of applications will follow Office of Justice Programs policies and procedures for the administration of grant applications. Specific application instructions for solicitations are available at the Office of Justice Programs web site (<http://www.ojp.gov/funding/solicitations.htm>).

Award Procedure:

Procedures for awarding funds are available at the Office of Justice Programs web site (<http://www.ojp.gov/funding/solicitations.htm>).

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Hearing by Director.

Renewals:

Most awards are for periods of 12 - 18 months. Under some circumstances, a subsequent award may be made to continue the project.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Project duration period is generally 12-18 months. See the following for information on how assistance is awarded/released: Assistance is released by "direct disbursement" at request of grantee.

Reports:

No program reports are required. No cash reports are required. Recipients are required to submit semi-annual Progress Reports. Recipients are required to submit quarterly Financial Reports. To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work. Performance monitoring is included on the site visit check list.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Recipients of federal funds are expected to retain documentation supporting all program transactions for at least 3 years after the closure of audit reports related to such funding. If any litigation, claim, negotiation, audit, or other action involving records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all related issues, or until the end of the regular 3-year period, whichever is later.

Account Identification:

15-0404-0-1-754.

Obligations:

(Cooperative Agreements) FY 12 Not Separately Identifiable; FY 13 Not Separately Identifiable; and FY 14 Not Separately Identifiable

Range and Average of Financial Assistance:

\$1,000,000 to \$10,000,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Office of Justice Programs Financial Guide (www.ojp.usdoj.gov/financialguide/index.htm) and Post award Instructions (www.ojp.usdoj.gov/funding/pdfs/post_award_instructions.pdf), applicable OMB Circulars, and Department of Justice regulations applicable to specific types of grantees, which can be found in title 28 of the Code of Federal Regulations (28 C.F.R.).

Regional or Local Office:

None.

Headquarters Office:

Gerard Ramker U.S. Department Justice
Office of Justice Programs
Bureau of Justice Statistics
810 7th Street, NW, Washington, District of Columbia 20531 Email: gerard.ramker@usdoj.gov Phone: 202-307-0765

Website Address:

<http://www.ojp.usdoj.gov/bjs>.

RELATED PROGRAMS:

16.735 Protecting Inmates and Safeguarding Communities Discretionary Grant Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are included with the application instructions, which are posted on the Office of Justice Programs web site (<http://www.ojp.gov/funding/solicitations.htm>).

16.741 DNA BACKLOG REDUCTION PROGRAM

FEDERAL AGENCY:

National Institute of Justice, Department of Justice

AUTHORIZATION:

Department of Justice Appropriations Act, 2012; 42 USC 14136a; 42 USC 14136e(b); 42 USC 14135(j).

OBJECTIVES:

To assist eligible States and units of local government to process, record, screen, and analyze forensic DNA and/or DNA database samples, and to increase the capacity of public forensic DNA and DNA database laboratories to process more DNA samples, thereby helping to reduce the number of forensic DNA and DNA database samples awaiting analysis.

TYPES OF ASSISTANCE:

FORMULA GRANTS; Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Expenditures from Forensic DNA Backlog Reduction Program awards may include the following:

(1) Salary and benefits of additional laboratory employees: Funds may be used to hire additional full-time or part-time laboratory employees to directly process, record, screen, and/or analyze forensic DNA and/or DNA database samples. Funds may also be used to hire additional full-time or part-time laboratory employees to directly perform capacity enhancement-specific activities, such as validating new DNA analysis technologies for the forensic DNA laboratory and/or the laboratory responsible for analysis of DNA database samples.

Funds are subject to applicable restrictions on supplanting and may be used to retain full-time or part-time laboratory employees for the above purposes if there are no other funding sources allocated for the retention of such personnel (e.g., personnel acquired through previous Federal assistance). Matching funds are not required.

Note: NIJ makes no assurance that funds will be available for this purpose in future award announcements.

(2) Overtime for existing laboratory staff: Funds may be used to pay overtime for existing laboratory employees to directly process, record, screen, and/or analyze forensic DNA and/or DNA database samples. Funds may also be used to pay overtime for existing laboratory employees to directly perform capacity enhancement-specific activities such as validating new DNA analysis technologies for the forensic DNA or DNA database laboratory. Any payments for overtime must be in accordance with the applicable provisions of the OJP Financial Guide, available at www.ojp.usdoj.gov/financialguide/.

(3) Training: Funds may be used for appropriate training of forensic DNA and DNA database laboratory personnel. Existing members of the DNA Unit: Appropriate training includes internal or external training, continuing education/training opportunities, and/or applicable graduate-level coursework that is directly related to the applicant's forensic DNA or DNA database laboratory operation and is for members of the DNA laboratory.

Funds used towards travel and registration expenses for appropriate continuing education/training opportunities that are associated with professional meetings and conferences (including workshops provided at such meetings and conferences) are limited to no more than 5 percent of the total award. Funds used towards travel expenses, registration fees, and tuition and required learning aids (e.g., textbooks) for appropriate training and continuing education opportunities that are not associated with professional meetings and conferences are not subject to the 5-percent cap.

In general, funds used for training are intended to aid existing members of the DNA laboratory to meet continuing education requirements mandated in the DNA Quality Assurance Standards established by the Director of the Federal Bureau of Investigation. New members of the DNA Unit: States and units of local government that wish to use funds to train new employees to process, record, screen, and/or analyze forensic DNA and/or DNA database samples should submit a detailed plan in their proposal. There is no fixed-percentage cap on use of funds for this purpose.

(4) Travel (Limited): Funds may be used for travel to conduct required site visits to public or private accredited laboratories that will be conducting DNA analyses on behalf of the applicant agency to review procedures and practices prior to initial sample shipment; funds may also be used to make one additional unannounced site visit. Funds may be used for travel associated with DNA training, described in 3, above. Travel expenses must be reasonable and must comply with the applicable provisions of the OJP Financial Guide.

Note that, absent prior express written approval from NIJ, rates for lodging charged to any award under this solicitation may not exceed the posted GSA rate for the location. If an award recipient opts to book lodging at a higher rate, the cost differential, including associated taxes, may not be charged to the award.

(5) Equipment: Funds may be used to upgrade, replace, or purchase laboratory equipment, instrumentation, and computer hardware for the forensic DNA and/or the DNA database laboratory.

(6) Laboratory supplies for validation: Allowable supply expenses include the purchase of laboratory supplies that can be directly attributed to the validation of new DNA analysis technologies.

(7) Supplies for DNA database sample collection: Convicted offender and/or arrestee related sample collection kits may be purchased.

(8) Laboratory supplies for in-house processing, recording, screening, and analysis of forensic DNA casework and/or DNA database samples.

(9) Renovation: Funds may be used to renovate existing space within the crime laboratory, if it can be demonstrated that the renovation will directly and specifically improve the efficiency of the analysis of forensic DNA samples

and/or DNA database samples. Expenses that may be allowable include the purchase or upgrade of benches, cabinets, interior dividing walls, plumbing, HVAC systems, electrical wiring, evidence examination and preparation rooms, evidence storage rooms, drying rooms, walk-in freezers, and extraction or amplification rooms.

If renovations are requested, they must be justified in the application and renovations must be completed within the initial award period of 18 months. Grant recipients should not anticipate that NIJ will approve no-cost extensions in order to complete renovation projects. Renovations may not be requested as part of a Grant Adjustment Notice once the award has been made.

(10) Contracts for analysis of forensic DNA casework samples or DNA database samples by public or private accredited DNA laboratories: Funds may be used to send forensic DNA and/or DNA database samples to fee-for-service laboratories to conduct DNA analyses. Funds may also be used to enter into agreements with government-owned laboratories to conduct forensic DNA and/or DNA database sample analyses, perform data review, enter eligible DNA profiles into CODIS and, where applicable, upload to NDIS.

Every laboratory that is contracted to conduct forensic DNA or DNA database sample analyses under this program must undergo an external audit, not less than once every 2 years, in order to demonstrate compliance with the requirements of the Quality Assurance Standards for Forensic DNA Testing Laboratories and/or the Quality Assurance Standards for DNA Database Laboratories established by the Director of the Federal Bureau of Investigation, and must be accredited by a nonprofit professional organization actively involved in forensic science that is nationally recognized within the forensic science community.

Note: All contracts and procurements made under this program are subject to the standards set forth in 28 C.F.R. section 66.36 and other applicable Federal law, including the provisions of 28 C.F.R. section 66.36 that relate to competition. Prior approval from OJP is required for all sole-source procurements in excess of \$100,000. Approval may be obtained in the form of a sole-source request with adequate justification submitted directly to GMS with the application for funding.

(11) Contracts for DNA audits (after September 30, 2012): DNA laboratories may establish contracts with vendors (individuals or entities) that can provide an external DNA audit once every 2 years, as required by the Quality Assurance Standards for Forensic DNA Testing and DNA Database Laboratories established by the Director of the Federal Bureau of Investigation. DNA Backlog Reduction Program funds may not be used to defray the cost of an external DNA audit that is part of an accreditation inspection/assessment, or of an internal DNA audit.

An award recipient is expected to examine carefully any costs charged by the vendor for a DNA Audit, including auditor fees (not to exceed \$450 per auditor for each day on site) and auditor travel expenses, and to determine whether all such charges are reasonable and justified. All audit-related costs must be detailed in the budget. Note that NIJ will use the rates established by the GSA schedule (www.gsa.gov/portal/category/21287) as a benchmark in examining the reasonableness of audit-related travel expenses.

Auditors must meet the requirements specified in the Quality Assurance Standards for Forensic DNA Testing and/or DNA Database Laboratories and, must reside outside the State where the laboratory to be audited operates. The same auditors cannot be used in consecutive audits, and all auditors must sign a conflict of interest and nondisclosure form prior to performing any work.

(12) Additional contracts and contractor services: Contracts may be established to purchase and install DNA modules to existing Laboratory Information Management Systems (LIMS), or for service, maintenance, or licenses for the DNA unit users for an existing LIMS system.

Contracts may be established for Lean Six Sigma-type studies or process mapping. One example of the potential benefits from such approaches is highlighted in the following report:

Contracts may be established to hire contract staff to process, record, screen, and analyze forensic DNA casework; to process, record, and analyze DNA database samples; or to validate new DNA analysis technologies. Contracts may be established for data review in accordance with the Quality Assurance Standards for Forensic DNA Testing and DNA Database Laboratories.

Contracts may also be established for in-house training, or for validation testing.

(13) Direct administrative expenses or indirect costs: Up to 3 percent of the Federal portion of an award under this program may be used for either: (1) direct administrative expenses specifically related to grant administration and management, or (2) indirect costs. Applicants may not request both direct administrative expenses and indirect costs.

Applicant Eligibility:

Eligible applicants are State and units of local government with existing crime laboratories conducting DNA analysis that are either: (1) accredited by a nonprofit professional organization actively involved in forensic science that is nationally recognized within the forensic science community, (2) Undergo external audits, not less than once every 2 years, that demonstrate compliance with DNA Quality Assurance Standards established by the Director of the Federal Bureau of Investigation, (3) Participate in the National DNA Index System (NDIS) or have an agreement with an NDIS participating laboratory to upload their data.

Beneficiary Eligibility:

Eligible applicants are State and units of local government with existing crime laboratories conducting DNA analysis that are either: (1) accredited by a nonprofit professional organization actively involved in forensic science that is nationally recognized within the forensic science community, (2) Undergo external audits, not less than once every 2 years, that demonstrate compliance with DNA Quality Assurance Standards established by the Director of the Federal Bureau of Investigation, (3) Participate in the National DNA Index System (NDIS) or have an agreement with an NDIS participating laboratory to upload their data.

Credentials/Documentation:

The application must include: Application for Federal Assistance (Standard Form 424); Program Abstract; Program Narrative; Budget and Budget Narrative. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applicants must submit completed applications via the Office of Justice Programs, Grants Management System following established criteria. The receipt, review, and analysis of applications will follow Office of Justice Programs policies and procedures for the administration of grant applications. Specific application instructions for solicitations are available at the Office of Justice Programs web site (<http://www.ojp.gov/funding/solicitations.htm>).

Award Procedure:

Grants are awarded by the Institute Director based on a formula distribution of available funds. Successful applicants are notified via the Grants Management System. One copy of the grant award must be signed by the authorized official and returned to the Office of Justice Programs.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. Deadlines are included with the application instructions, which are posted on the Office of Justice Programs web site (<http://www.ojp.gov/funding/solicitations.htm>).

Appeals:

Hearings by the Director.

Renewals:

Please contact the program office for more information.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awards under this program are issued for a period of 18 months. See the following for information on how assistance is awarded/released: Please contact program office for more information.

Reports:

No program reports are required. No cash reports are required. Progress reports consisting of bi-annual performance metrics and a narrative describing progress made towards the goals and objectives of the project ; and a final report including a summary of cumulative performance measures and completion of the goals and objectives of the project are required. Fiscal report consisting of quarterly expenditures and budget expenditure reports; final financial report giving costs and expenditures of the complete project. To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Recipients of federal funds are expected to retain documentation supporting all program transactions for at least 3 years after the closure of audit reports related to such funding. If any litigation, claim, negotiation, audit, or other action involving records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all related issues, or until the end of the regular 3-year period, whichever is later.

Account Identification:

15-0401-0-1-754.

Obligations:

(Formula Grants) FY 12 \$74,347,305; FY 13 est \$75,000,000; and FY 14 est \$0

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Information about the DNA Backlog Reduction Program solicitation may be obtained by calling NCJRS at (800) 851-3420 or accessing the web site at www.ojp.usdoj.gov/nij under Funding Opportunities.

Regional or Local Office:

None.

Headquarters Office:

Charles Heurich U.S. Department of Justice
Office of Justice Programs
National Institute of Justice
810 7th Street, N.W., Washington, District of Columbia 20531 Email:
Charles.Heurich@usdoj.gov Phone: (202) 616-9264

Website Address:

<http://www.usdoj.gov/nij>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

All eligible State and units of local government with existing crime laboratories conducting DNA analysis that are accredited by a nonprofit professional association actively involved in forensic science that is nationally recognized within the forensic science community, and undergo external audits, not less than once every 2 years, that demonstrate compliance with DNA Quality Assurance Standards established by the Director of the Federal Bureau of Investigation, (3) Participate in the National DNA Index System (NDIS) or have an agreement with an NDIS participating laboratory to upload their data.

16.742 PAUL COVERDELL FORENSIC SCIENCES IMPROVEMENT GRANT PROGRAM**FEDERAL AGENCY:**

National Institute of Justice, Department of Justice

AUTHORIZATION:

Paul Coverdell National Forensic Sciences Improvement Act of 2000, Public Law 106-561; as amended by Public Law 107-273; as amended by the Justice for All Act of 2004, Public Law 108-405.

OBJECTIVES:

To improve the quality and timeliness of forensic science and medical examiner services and/or to eliminate backlogs in the analysis of forensic evidence, including controlled substances, firearms examination, forensic pathology, latent prints, questioned documents, toxicology, and trace evidence for criminal justice purposes.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Grants may be used to improve the quality and timeliness of forensic science and medical examiner services, including services provided by laboratories operated by States and those operated by units of local government. Among other purposes, funds may be used to eliminate backlogs in the analysis of general forensic evidence, or to train and employ forensic laboratory personnel to eliminate such backlogs. State Administering Agencies and units of local government may apply directly to NIJ for funding. Funds may be used for personnel, computerization, laboratory equipment, supplies, accreditation, education, training, and certification, facilities' a formula, found in the funding announcement, determines the limit to the amount of funding that can be used for facilities, and administration expenses (not to exceed 10 percent). Funds may not be used for expenses other than those listed above.

Applicant Eligibility:

Coverdell State Administering Agencies and units of local government may apply directly to NIJ for funding. All applicants for Coverdell grants - whether States or units of local government - must have developed a plan for forensic science laboratories that is designed to improve the quality and timeliness of forensic science or medical examiner services, and must specifically describe the manner in which grant funds will be used to carry out that plan. States are expected to consider the needs of laboratories operated by units of local government as well as those operated by the State. Any forensic laboratory, forensic laboratory system, medical examiner's office, or coroner's office that will receive any portion of a Coverdell grant must use generally accepted laboratory practices and procedures as established by accrediting organizations or appropriate certifying bodies. Each applicant for a Coverdell grant must be able to certify with respect to any forensic laboratory system, medical examiner's office, coroner's office, law enforcement storage facility, or medical facility that will receive any portion of the grant that a government entity exists and an appropriate process is in place to conduct independent external investigations into allegations of serious negligence or misconduct by employees or contractors substantially affecting the integrity of forensic results.

Beneficiary Eligibility:

In accordance with the Paul Coverdell National Forensic Sciences Improvement Act of 2000, Public Law 106-561, amended by Public Law 107-273, and the amendments in the Justice for All Act, Public Law 108-405, eligible applicants must be State or local (i.e., county and municipal) governments or combinations thereof. The purpose of this solicitation is to request applications for grants from State and local (i.e., county and municipal) governments to improve the quality and timeliness of forensic science and medical examiner services and/or to eliminate backlogs in the analysis of forensic evidence, including controlled substances, firearms examination, forensic pathology, latent prints, questioned documents, toxicology, and trace evidence for criminal justice purposes in State and local forensic laboratories.

Credentials/Documentation:

The applicant must furnish the following along with the application for a grant: a certification that states there exists a plan for forensic science laboratories that is designed to improve the quality and timeliness of forensic science or medical examiner services; a certification that states each forensic laboratory, forensic laboratory system, medical examiner's office, or coroner's office that will receive any portion of a Coverdell grant uses generally accepted laboratory practices and procedures as established by accrediting organizations or appropriate certifying bodies; a certification that states that a government entity exists and an appropriate process is in place to conduct independent external investigations into allegations of serious negligence or misconduct by employees or contractors substantially affecting the integrity of forensic results, and resumes of key personnel. Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Potential applicants are encouraged to request the program announcement for additional information about eligibility requirements and application and selection procedures. The standard application form as furnished by the Federal agency, in accordance with 28 CFR Part 66 (Common Rule), must be used for this program. Applicants must contact their State Administering Agency to determine if the program has been selected for review by the State. The date that the application was sent to the State Administering Agency, or the reason such submission is not required, must be included in the application. A. Base Funds for States: Seventy-five percent of the funds available for Coverdell grants will be allocated among eligible States based on population (State base funds). To be eligible, a State must satisfy the specific application requirements outlined in this announcement and all other general requirements for NIJ and OJP grants. Applications for State base funding must be submitted by the Coverdell State Administering Agency listed on the Office of Justice Programs web site at: <http://www.ojp.usdoj.gov/state.htm>. B. Competitive Funds for States and Units of Local Government: Twenty-five percent of the available funds will be allocated among States and units of local government through a competitive process. Units of local government that provide forensic science or medical examiner services (whether through a forensic science laboratory, medical examiner's office, or coroner's office) may apply directly to NIJ for competitive funds. Coverdell State Administering Agencies may apply for competitive funds for forensic sciences improvements above and beyond those it can accomplish with its base funds. State applications for competitive funding will only be accepted from Coverdell State Administering Agencies. Other State agencies or departments who wish to apply for competitive funds must coordinate with their respective State Administering Agency. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Detailed information is provided in a program solicitation which is obtained by sending a self-addressed mailing label to NCJRS, Box 6000, Rockville, MD 20849-6000; calling toll-free to request a copy at (800) 851-3420; or accessing the web site at www.ojp.usdoj.gov/nij under Funding Opportunities. This program is subject

to the Common Rule where applicable. The Office of Justice Programs (OJP) requires that funding applications be submitted electronically through the OJP Grants Management System (GMS), which will be accessed at <https://grants.ojp.usdoj.gov>. On-line submission of an application represents legal binding acceptance of the terms of the application. For further information about GMS, call the OJP GMS Hotline at (1-888) 549-9901.

Award Procedure:

Base applications are reviewed by the program office. The NIJ Director makes the final award decision. Competitive applications will be reviewed by a peer review panel selected for their operational expertise as well as their knowledge in the substantive areas covered by this program. The NIJ Director makes the final award decision.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

It generally takes 3 to 6 months after the closing date of the announcement to receive Coverdell funding.

Appeals:

Hearing by the NIJ Director.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. This program has no matching requirements. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

In general, NIJ will limit grants under the Coverdell program to a maximum of 12 months. See the following for information on how assistance is awarded/released: See program office for more information.

Reports:

In addition, Coverdell grantees must submit a final report that must include a summary and assessment of the program carried out with the fiscal year grant funds and must cite the specific improvements in the quality and/or timeliness of forensic science and medical examiner services (including any reduction in forensic analysis backlog) that occurred as a direct result of the grant award. Other reports may be requested. No cash reports are required. Each State and unit of local government that receives funding under the Coverdell program must submit semiannual progress reports. Quarterly financial status reports for the life of the award. Fiscal reports consist of quarterly expenditures and budget expenditure reports; the final financial report gives costs and expenditures of the complete project. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records and accounts concerning the expenditure of Institute and grantee or appointee-contributed funds shall be maintained during the grant period and retained for 3 years thereafter. Each State or local unit of government within the State that receives funding under this program must maintain adequate records relating to the receipt and use of the total grant amount. The Attorney General and the Comptroller General of the United States (or designees thereof) will have access to these records for audit and examination purposes.

Account Identification:

15-0404-0-1-754.

Obligations:

(Formula Grants) FY 12 \$10,586,098; FY 13 est \$12,000,000; and FY 14 Estimate Not Available

Range and Average of Financial Assistance:

In amounts consistent with the applicant's proposed project and the Institute's plans, priorities and levels of financing.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Information about the Coverdell grant announcement may be obtained by calling NCJRS at (800) 851-3420 or accessing the web site at www.ojp.usdoj.gov/nij under Funding Opportunities.

Regional or Local Office:

None.

Headquarters Office:

NIJ, U.S. Department of Justice
Office of Justice Programs
National Institute of Justice
810 7th Street, N.W., Washington, District of Columbia 20531 Phone: (202) 307-2942.

Website Address:

<http://www.usdoj.gov/nij>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Base applications are reviewed by the program office. The NIJ Director makes the final award decision. Competitive applications will be reviewed by a peer review panel selected for their operational expertise as well as their knowledge in the substantive areas covered by this solicitation. The NIJ Director makes the final award decision.

16.745 CRIMINAL AND JUVENILE JUSTICE AND MENTAL HEALTH COLLABORATION PROGRAM

FEDERAL AGENCY:

Bureau of Justice Assistance, Department of Justice

AUTHORIZATION:

Public Law 108-414, Mentally Ill Offender Treatment and Crime Reduction Act; Public Law 110-416, Mentally Ill Offender Treatment and Crime Reduction Reauthorization and Improvement Act of 2008.

OBJECTIVES:

To increase public safety through innovative cross-system collaboration for individuals with mental illness or co-occurring mental health and substance use disorders who come into contact with the criminal justice system.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grant funds may be used to: plan, create, or expand programs that promote public safety and public health by providing appropriate services for system-involved individuals with mental illnesses or co-occurring disorders; plan, create, or expand specialized training programs for criminal justice and mental health and substance abuse treatment personnel; plan, create, or expand law enforcement strategies to provide response options that are tailored to the needs of people with mental illnesses; plan, create, or expand mental health courts, other court-based programs, pre-trial, and diversion and alternative prosecution and sentencing programs; and/or promote and provide mental health or co-occurring treatment and transitional services for those incarcerated or transitional reentry programs for those released from a correctional institution.

Funding for planning grants can be used to design a strategic, collaborative plan to initiate systemic change for the identification and treatment of system-involved individuals with mental illnesses or co-occurring disorders. Funding for planning and implementation grants can be used to complete an already initiated strategic plan for their criminal justice and mental health

collaboration program, and then begin implementation of the plan during the project period. Funding for expansion grants can be used to expand upon or improve a well-established collaboration plan. Expansion funding must clearly demonstrate an expansion to the current functioning of an existing program. There are limitations on funding certain activities, including construction funds. See also the OJP financial guide for other funding prohibitions.

Applicant Eligibility:

Applicants are limited to States, units of local government, Indian tribes, and tribal organizations. BJA will only accept joint applications; each application must include a mental health agency as well as a unit of government with responsibility for criminal justice activities.

Beneficiary Eligibility:

General Public.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Applicants must submit completed applications via the Office of Justice Programs, Grants Management System or through grants.gov following established criteria. The receipt, review, and analysis of applications will follow Office of Justice Programs policies and procedures for the administration of grant applications. Specific application instructions for solicitations are available at the Office of Justice Programs web site (<http://www.ojp.gov/funding/solicitations.htm>).

Award Procedure:

Upon approval by the Assistant Attorney General, successful applicants are notified via the Grants Management System. One copy of the grant award must be signed by the authorized official and returned to the Office of Justice Programs.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. Approximately 120 days from application deadline.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching Requirements: This solicitation does not require a match. However, if a successful application proposes a voluntary match amount, the match amount incorporated into the OJP-approved budget becomes mandatory and subject to audit. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grants are awarded for a 12-month project period. See the following for information on how assistance is awarded/released: Reimbursement. See the following for information on how assistance is awarded/release: Office of Justice Programs Financial Guide 2011 (www.ojp.usdoj.gov/financialguide/index.htm) and Post Award Instructions (www.ojp.usdoj.gov/funding/pdfs/post_award_instructions.pdf).

Reports:

Program reports consisting of quarterly performance metrics reports, bi-annual progress reports; and a final report including a summary of program accomplishments. Cash reports are not applicable. Progress reports are not

applicable. Fiscal report consisting of quarterly expenditures and budget expenditure reports; final financial report giving costs and expenditures of the complete project. To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Recipients of federal funds are expected to retain documentation supporting all program transactions for at least 3 years after the closure of audit reports related to such funding. If any litigation, claim, negotiation, audit, or other action involving records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all related issues, or until the end of the regular 3-year period, whichever is later.

Account Identification:

15-0404-0-1-754.

Obligations:

(Project Grants) FY 12 \$7,989,554; FY 13 est \$0; and FY 14 Estimate Not Available

Range and Average of Financial Assistance:

In amounts consistent with the applicant's proposed project and the BJA's plans, priorities and levels of financing.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Information about the Criminal and Juvenile Justice and Mental Health Collaboration Program solicitation may be obtained by calling NCJRS at (800) 851-3420 or accessing the web site at <http://www.ojp.usdoj.gov/BJA/funding/index.html>.

Regional or Local Office:

None.

Headquarters Office:

Ruby Qazilbash U.S. Department of Justice
Office of Justice Programs
Bureau of Justice Assistance
810 7th Street, NW, Washington, District of Columbia 20531 Phone:
202-616-6500 or 1-866- 859-2647.

Website Address:

https://www.bja.gov/ProgramDetails.aspx?Program_ID=66

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are described in the OJP Program Announcement available at <http://www.ojp.gov/funding/solicitations.htm>.

16.746 CAPITAL CASE LITIGATION

(CCL)

FEDERAL AGENCY:

Bureau of Justice Assistance, Department of Justice

AUTHORIZATION:

42 U.S.C. Section 14163; 42 U.S.C. Section 14163a.

OBJECTIVES:

Capital Case Litigation Program - In an effort to improve the quality of legal representation and to ensure reliable jury verdicts in state capital cases, the Capital Case Litigation Program seeks to improve the quality and effectiveness of representation in death penalty cases through training for both the prosecutors who represent the public and the defense attorneys who represent indigent defendants in state capital cases. Wrongful Conviction Review Program - The objective of the Wrongful Conviction Review Program is to provide high quality and efficient representation for defendants in post-conviction claims of innocence. Post-conviction innocence claims are likely to include complex challenges to the reliability or accuracy of evidence presented at trial that fall mainly into three categories: 1) eyewitness identification evidence; 2) confession evidence; and 3) forensic evidence. In some cases, post-conviction DNA testing alone can establish innocence, but the majority of cases will rely on other forms of evidence, and many will involve DNA testing together with additional sources of proof and/or expert testimony, which may be extremely costly.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Capital Case Litigation Program: Funds are given to States that currently enforce their death penalty statutes. Wrongful Conviction Review Program: For Category 1, funds are awarded to institutions of higher education, non-profit organizations with missions dedicated (in whole or in part) to exonerating the innocent, and to state or local public defender offices. For Category 2, funds are awarded to public and nonprofit organizations that have experience and expertise in providing representation to wrongfully convicted defendants in post-conviction claims of innocence cases as well as providing training and technical assistance on the subject. Funding under this initiative cannot be used for training on the appellate process. Funds may not be used to supplant State and local funds.

Applicant Eligibility:

Capital Case Litigation Program - Applicants are limited to state agencies in states that authorize capital punishment and that conduct, or will conduct prosecutions in which capital punishment is sought. For the state agency to be eligible, its state must have an effective system for providing competent legal representation for indigent defendants in capital cases. An effective system is defined in 42 U.S.C. 14163(e) as a system that invests the responsibility for appointing qualified attorneys to represent indigent defendants in capital cases either: (A) In a public defender program that relies on staff attorneys, members of the private bar, or both, to provide representation in capital cases; (B) In an entity established by statute or by the highest state court with jurisdiction in criminal cases, which is composed of individuals with demonstrated knowledge and expertise in capital cases, except for individuals employed as prosecutors; or (C) Pursuant to a statutory procedure enacted before the date of the enactment of the CCLI Act [October 30, 2002] under which the trial judge is required to appoint qualified attorneys from a roster maintained by a state or regional selection committee or similar entity. Wrongful Conviction Review Program - For Category 1, eligible applicants are limited to institutions of higher education, non-profit organizations with missions dedicated (in whole or in part) to exonerating the innocent, and to state or local public defender offices. For Category 2, applicants are limited to public and nonprofit organizations that have experience and expertise in providing representation to wrongfully convicted defendants in post-conviction claims of innocence cases.

Beneficiary Eligibility:

Capital Case Litigation Program: Defense counsel, prosecutors and judges in the States, the District of Columbia, the Commonwealth of Puerto Rico, the Virgin Islands, America Samoa, Guam, and the Northern Mariana Islands that currently enforce their death penalty statutes. Wrongful Conviction Review Program: State or local government public defenders and non-profit organizations dedicated to exonerating the innocent.

Credentials/Documentation:

The applicant must submit a completed Application for Federal Assistance (Standard Form 424), including signed assurances that it will comply with statutory and administrative requirements. By certifying on-line, the authorizing signing official is also assuring that all Federal certifications and assurances are being met. The certification and assurance forms (Assurances,

OJP Form 4000/3 and Certifications Regarding Lobbying; Debarment, Suspension and Other Responsibility Matters; and Drug-Free Workplace Requirements, OJP Form 4061/6) are provided on-line to allow applicants to review and accept them electronically. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Applicants must submit completed applications via the Office of Justice Programs, Grants Management System (GMS) or through grants.gov following established criteria. The receipt, review, and analysis of applications will follow Office of Justice Programs policies and procedures for the administration of grant applications. Specific application instructions for solicitations are available at the Office of Justice Programs web site (<http://www.ojp.gov/funding/solicitations.htm>). Faxed or mailed applications or supplemental materials will not be accepted.

Award Procedure:

All applications will be peer reviewed. The BJA Director will then make award recommendations to OJP's Assistant Attorney General, who will make a final determination. Upon approval by OJP's Assistant Attorney General, successful applicants are notified via the Grants Management System. One copy of the grant award must be signed by the authorized official and returned to the Office of Justice Programs.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. Applications will generally be approved or denied within 60 days of receipt of a complete application.

Appeals:

Not Applicable.

Renewals:

Subject to congressional appropriation.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The terms for these grant program budget periods range from 12 to 24 months. See the following for information on how assistance is awarded/released: See the following for information on how assistance is awarded/release: Office of Justice Programs Financial Guide 2011 (www.ojp.usdoj.gov/financialguide/index.htm) and Post Award Instructions (www.ojp.usdoj.gov/funding/pdfs/post_award_instructions.pdf).

Reports:

Program reports are not applicable. Cash reports are not applicable. Recipients are required to submit semi-annual Progress Reports. Recipients are required to submit quarterly Financial Reports. To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that

year, except as noted in Circular No. A-133.

Records:

Recipients of federal funds are expected to retain documentation supporting all program transactions for at least 3 years after the closure of audit reports related to such funding. If any litigation, claim, negotiation, audit, or other action involving records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all related issues, or until the end of the regular 3-year period, whichever is later.

Account Identification:

15-0404-0-1-754.

Obligations:

(Project Grants) FY 12 \$3,000,000; FY 13 est \$2,790,000; and FY 14 est \$2,000,000

Range and Average of Financial Assistance:

Awards for the Capital Case Litigation and Wrongful Conviction Review programs range up to \$250,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Solicitation guidelines are posted on the Office of Justice Programs web site at <http://www.ojp.gov/funding/solicitations.htm>. For additional guidance reference the Office of Justice Programs Financial Guide 2011 (www.ojp.usdoj.gov/financialguide/index.htm) and Post award Instructions (www.ojp.usdoj.gov/funding/pdfs/post_award_instructions.pdf). Applicable OMB Circulars and Department of Justice regulations applicable to specific types of grantees can be found in title 28 of the Code of Federal Regulations (28 C.F.R.).

Regional or Local Office:

None.

Headquarters Office:

Capital Case Litigation: Linda Hammond-Deckard
Wrongful Conviction Review: Catherine McNamee
Office of Justice Programs
Bureau of Justice Assistance
810 7th Street, NW, Washington, District of Columbia 20531
Email: AskBJA@usdoj.gov
Phone: 202-616-6500

Website Address:

https://www.bja.gov/ProgramDetails.aspx?Program_ID=52

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are described in the OJP Program Announcement available at <http://www.ojp.gov/funding/solicitations.htm>.

16.750 SUPPORT FOR ADAM WALSH ACT IMPLEMENTATION GRANT PROGRAM

Adam Walsh Act

FEDERAL AGENCY:

Office of Sex Offender Sentencing, Monitoring, Apprehending, Registering, and Tracking, Department of Justice

AUTHORIZATION:

Adam Walsh Child Protection and Safety Act of 2006 (AWA).

OBJECTIVES:

To assist State, local, and tribal jurisdictions with developing and/or enhancing programs designed to implement requirements of the Sex Offender Registration and Notification Act (SORNA), Title I of the Adam Walsh Child Protection and Safety Act of 2006; to support other grant programs authorized by the AWA, and to provide for the maintenance and operation of the Dru Sjodin National Sex Offender Public Website (NSOPW). In summary, SORNA requires: (1) all States, the District of Columbia, the principal U.S. territories, and federally

recognized American Indian tribes that are eligible under SORNA section 127 to carry out the functions of SORNA and have elected to do so to maintain a sex offender registry; and (2) sex offenders to register and maintain a current registration in each jurisdiction where the offender resides, is an employee, and is a student. SORNA also sets forth requirements for sex offender registries to include: specified required information, duration of registration, and in-person verification of sex offender identity as well as participation in the NSOPW. NSOPW links to state, territory and tribal public sex offender registries thus allowing nation-wide searches for registered sex offenders.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

The AWA Implementation grant funds must be used to assist jurisdictions in implementing requirements of SORNA or to support the adoption of effective sex offender management practices, including risk assessment, supervision, treatment and victim-centeredness. Funds must be used to implement or enhance sex offender registration and tracking programs in jurisdictions for the purpose of substantial compliance with SORNA; to support training and technical assistance regarding SORNA implementation and other effective sex offender management practices; and to ensure continued maintenance and operation of NSOPW, the Tribe and Territory Sex Offender Registry System (TTSORS), and the Exchange Portal.

Applicant Eligibility:

States, the District of Columbia, the Commonwealth of Puerto Rico, the Virgin Islands, America Samoa, Guam, the Northern Mariana Islands, and Federally recognized Indian tribes who have elected to carry out the requirements of SORNA. Only applicant jurisdictions that have the intention of substantially implementing the requirements of SORNA are eligible to apply for AWA Implementation Grants. Nonprofit and/or for-profit organizations, and institutions of higher learning may apply for training and technical assistance and other AWA-authorized grant programs. For the Grant for the maintenance and operation of NSOPW, eligible applicants include nonprofit and for-profit entities.

Beneficiary Eligibility:

State (including D.C.), local (through funds granted to States), U.S. territory and tribal government agencies that have sex offender registry and tracking responsibilities. For training and technical assistance funds: nonprofit and for-profit organizations with experience in SORNA implementation and sex offender management practices. For NSOPW: nonprofit and/or for-profit organizations with experience in providing web site development, hosting and management; web services; service-oriented architecture, and distributed information sharing systems.

Credentials/Documentation:

The application must include: Application for Federal Assistance (Standard Form 424); Program Narrative; Budget and Budget Narrative. This information may be found in the solicitation section, What an Application Should Include. In addition to the standard requirements, an application may include timelines, resumes, letters of support, etc. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Applicants must submit completed applications via the Office of Justice Programs, Grants Management System or through grants.gov following established criteria. The receipt, review, and analysis of applications will follow Office of Justice Programs policies and procedures for the administration of grant applications. Specific application instructions for solicitations are

available at the Office of Justice Programs web site (<http://www.ojp.gov/funding/solicitations.htm>).

Award Procedure:

Upon approval by the Assistant Attorney General, successful applicants are notified via the Grants Management System. One copy of the grant award must be signed by the authorized official and returned to the Office of Justice Programs.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days. Deadlines are included with the application instructions, which are posted on the Office of Justice Programs web site (<http://www.ojp.gov/funding/solicitations.htm>).

Appeals:

Determined by the Assistant Attorney General, OJP.

Renewals:

Awards are for 24 months unless otherwise noted.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. This program has no matching requirements. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Funds for each fiscal year will be awarded for a period of 24 months unless otherwise noted. See the following for information on how assistance is awarded/released: Office of Justice Programs Financial Guide 2011 (www.ojp.usdoj.gov/financialguide/index.htm) and Post Award Instructions (www.ojp.usdoj.gov/funding/pdfs/post_award_instructions.pdf).

Reports:

Program reports are not applicable. Cash reports are not applicable. Semi-annual progress reports are required. Quarterly financial reports are required. To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Recipients of federal funds are expected to retain documentation supporting all program transactions for at least 3 years after the closure of audit reports related to such funding. If any litigation, claim, negotiation, audit, or other action involving records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all related issues, or until the end of the regular 3-year period, whichever is later.

Account Identification:

15-0404-0-1-754.

Obligations:

(Project Grants) FY 12 \$17,277,954; FY 13 est \$18,598,000; and FY 14 est \$20,000,000

Range and Average of Financial Assistance:

Up to \$400K for AWA grants; and NSOPW, TTSORS, Exchange Portal up to \$1 million.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Office of Justice Programs web site (<http://www.ojp.gov/funding/solicitations.htm>); Office of Justice Programs Financial Guide (www.ojp.usdoj.gov/financialguide/index.htm) and Post award Instructions (www.ojp.usdoj.gov/funding/pdfs/post_award_instructions.pdf), applicable OMB Circulars, and Department of Justice regulations applicable to specific types of grantees, which can be found in title 28 of the Code of Federal Regulations (28 C.F.R.).

Regional or Local Office:

None.

Headquarters Office:

SMART Office U.S. Department of Justice
Office of Justice Programs
SMART Office
810 Seventh Street, NW, Washington, District of Columbia 20531 Phone: (202) 514-4689

Website Address:

<http://www.ojp.usdoj.gov/smart>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are described in the OJP Program Announcement available at <http://www.ojp.gov/funding/solicitations.htm>.

16.751 EDWARD BYRNE MEMORIAL COMPETITIVE GRANT PROGRAM

Byrne Competitive Program

FEDERAL AGENCY:

Bureau of Justice Assistance, Department of Justice

AUTHORIZATION:

Violence Against Women and Department of Justice Reauthorization Act of 2005, Public Law 109-162, 42 U.S.C 3751(a).

OBJECTIVES:

To improve the functioning of the criminal justice system, such as activities pertaining to crime prevention, control, or reduction, or the enforcement of the criminal law, including, but not limited to, police efforts to prevent, control, or reduce crime or to apprehend criminals, including juveniles, activities of courts having criminal jurisdiction, and related agencies (including but not limited to prosecutorial and defender services, juvenile delinquency agencies and pretrial service or release agencies), activities of corrections, probation, or parole authorities and related agencies assisting in the rehabilitation, supervision, and care of criminal offenders, and programs relating to the prevention, control, or reduction of narcotic addiction and juvenile delinquency.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

The Byrne Competitive Program helps improve the capacity of local criminal justice systems and provides for national support efforts such as training and technical assistance projects to strategically address needs. Funds can be used for national scope replication, expansion, enhancement, training, and/or technical assistance programs.

Applicant Eligibility:

Eligible applicants include national, regional, State, or local public and private entities, including for-profit (commercial) and nonprofit organizations, faith-based and community organizations, institutions of higher education, tribal jurisdictions, and units of local government.

Beneficiary Eligibility:

State and local governments, public and private organizations, individuals and tribal governments.

Credentials/Documentation:

Costs will be determined in accordance with 2 CFR Part 225 for State and local

governments and 2 CFR Part 230 for nonprofit organizations. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

The standard application form (SF-424), as furnished by the federal agency in accordance with 28 CFR Part 66 (Common Rule), must be used for this program. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. The standard application forms, as furnished by the federal agency and required by the Common Rule, must be used for this program. All applications must be submitted electronically via Grants.gov (www.grants.gov) or the Office of Justice Programs' Grants Management System (GMS) at <https://grants.ojp.usdoj.gov>. Applications or supplemental materials received by facsimile or postal mail will not be accepted.

Award Procedure:

Upon approval by the Assistant Attorney General, successful applicants are notified via the Grants Management System. One copy of the grant award must be signed by the authorized official and returned to the Office of Justice Programs.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Varies for competitive programs.

Appeals:

See 28 CFR Part 18.

Renewals:

Continuation grants are renewable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. This program has no matching requirements. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Varies. Contingent upon program goals and objectives, generally 12-18 months. See the following for information on how assistance is awarded/released: Reimbursement. See the following for information on how assistance is awarded/release: Office of Justice Programs Financial Guide 2011 (www.ojp.usdoj.gov/financialguide/index.htm) and Post Award Instructions (www.ojp.usdoj.gov/funding/pdfs/post_award_instructions.pdf).

Reports:

In some cases, evaluation reports may be required. No cash reports are required. Unless otherwise specified in the award's special conditions, progress reports are due semi-annually. Unless otherwise specified in the award's special conditions, financial reports are due quarterly. To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Payments and transactions are subject to audits by the Government Accountability Office, Department of Justice's Office of the Inspector General, state or local government auditors, and auditors from independent public accounting firms. Jurisdictions must follow their local policies and procedures, including maintenance of reliable and accurate accounting systems, record keeping, and systems of internal control.

Records:

Recipients of federal funds are expected to retain documentation supporting all program transactions for at least 3 years after the closure of audit reports related to such funding. If any litigation, claim, negotiation, audit, or other action involving records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all related issues, or until the end of the regular 3-year period, whichever is later.

Account Identification:

15-0404-0-1-754.

Obligations:

(Cooperative Agreements) FY 12 \$11,439,116; FY 13 est \$25,000,000; and FY 14 Estimate Not Available

Range and Average of Financial Assistance:

Varies.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Solicitation guidelines are posted on the Office of Justice Programs web site at <http://www.ojp.gov/funding/solicitations.htm>.

For additional guidance reference the Office of Justice Programs Financial Guide 2011 (www.ojp.usdoj.gov/financialguide/index.htm) and Post award Instructions (www.ojp.usdoj.gov/funding/pdfs/post_award_instructions.pdf). Applicable OMB Circulars and Department of Justice regulations applicable to specific types of grantees can be found in title 28 of the Code of Federal Regulations (28 C.F.R.).

Regional or Local Office:

None.

Headquarters Office:

BJA, U.S. Department of Justice
Office of Justice Programs
Bureau of Justice Assistance
810 7th Street, NW, Washington, District of Columbia 20531 Email: AskBJA@usdoj.gov Phone: 202-616-6500 or 1-866-859-2647.

Website Address:

<http://www.bja.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are described in the OJP Program Announcement available at <http://www.ojp.gov/funding/solicitations.htm>.

16.753 CONGRESSIONALLY RECOMMENDED AWARDS

Congressionally Recommended

FEDERAL AGENCY:

Bureau of Justice Assistance, Department of Justice

AUTHORIZATION:

Consolidated Appropriations Act, 2008, Public Law 110-161.

OBJECTIVES:

To provide leadership and direction in improving the functioning of the criminal justice system.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Congressionally recommended grant funds provide federal financial assistance to public or private agencies and private nonprofit organizations for law enforcement program; prosecution and court program; prevention and education programs; corrections and community corrections programs; drug treatment and enforcement program; programs, planning, evaluation, and technology improvement programs; and crime victim and witness programs (other than

compensation).

Applicant Eligibility:

State and local government agencies as well as public and private nonprofit organizations and federally recognized Indian Tribal governments are eligible to receive funds including faith-based and community organizations, under this program.

Beneficiary Eligibility:

State and local governments, public and private organizations, and tribal governments.

Credentials/Documentation:

. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

The standard application forms (SF-424) as furnished by the federal agency in accordance with 28 CFR Part 66 (Common Rule), must be used for this program. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. The standard application forms as furnished by the federal agency and required by the Common Rule, must be used for this program. All applications must be submitted electronically via the Office of Justice Programs' Grants Management System (GMS) at <https://grants.ojp.usdoj.gov>. Applications or supplemental materials received by facsimile or postal mail will not be accepted.

Award Procedure:

Upon approval by the Assistant Attorney General, successful applicants are notified via the Grants Management System. One copy of the grant award must be signed by the authorized official and returned to the Office of Justice Programs.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Varies.

Appeals:

See 28 CFR Part 18.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Varies. Contingent upon program goals and objectives, generally 12-18 months. See the following for information on how assistance is awarded/released: Please contact the program office for further information.

Reports:

Program reports are not applicable. Cash reports are not applicable. Recipients are required to submit semi-annual Progress Reports. Recipients are required to submit quarterly Financial Reports. To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000

or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Payments and transactions are subject to audits by the Government Accountability Office, Department of Justice's Office of the Inspector General, State or local government auditors, and auditors from independent public accounting firms. Jurisdictions must follow their local policies and procedures, including maintenance of reliable and accurate accounting systems, record keeping, and systems of internal control.

Records:

Recipients of federal funds are expected to retain documentation supporting all program transactions for at least 3 years after the closure of audit reports related to such funding. If any litigation, claim, negotiation, audit, or other action involving records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all related issues, or until the end of the regular 3-year period, whichever is later.

Account Identification:

15-0404-0-1-754.

Obligations:

(Project Grants) FY 12 \$1,500,000; FY 13 est \$0; and FY 14 Estimate Not Available

Range and Average of Financial Assistance:

Varies.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

For guidance reference the Office of Justice Programs Financial Guide 2011 (www.ojp.usdoj.gov/financialguide/index.htm) and Post award Instructions (www.ojp.usdoj.gov/funding/pdfs/post_award_instructions.pdf). Applicable OMB Circulars and Department of Justice regulations applicable to specific types of grantees can be found in title 28 of the Code of Federal Regulations (28 C.F.R.).

Regional or Local Office:

None.

Headquarters Office:

BJA, U.S. Department of Justice
Office of Justice Programs
Bureau of Justice Assistance
810 7th Street, NW, Washington, District of Columbia 20531 Email: AskBJA@usdoj.gov Phone: 202-616-6500 or 1-866-859-2647.

Website Address:

<http://www.bja.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Contact BJA for more information.

16.754 HAROLD ROGERS PRESCRIPTION DRUG MONITORING PROGRAM

(PDMP)

FEDERAL AGENCY:

Bureau of Justice Assistance, Department of Justice

AUTHORIZATION:

Prescription Drug Monitoring Program was created by the FY 2002 U.S. Department of Justice Appropriations Act (Public Law 107-77) and has received funding under each subsequent years Appropriations Act.

OBJECTIVES:

To enhance the capacity of regulatory and law enforcement agencies to collect

and analyze controlled substance prescription data through a centralized database administered by an authorized state agency and federally recognized Indian tribal governments.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

The Harold Rogers Prescription Drug Monitoring Program allows for states discretion as they plan, implement, or enhance a PDMP to accommodate local decision-making based on state laws and preferences, while encouraging the replication of promising practices. In FY 2012, the program is expanding to provide funding to federally recognized Indian tribal governments for the specific purpose of enabling tribal health care providers to provide data to and access data contained within state PDMPs.

Grant funds under this program can be used to:

- Build a state-level data collection and analysis system to enhance the capacity of regulatory and law enforcement agencies and public health officials for future prevention efforts.

- Enhance existing programs abilities to analyze and use collected data to identify drug abuse trends, identify and address sources of diversion, and increase the number of users of the PDMP.

- Facilitate and participate in national evaluation efforts to assess efficiency and effectiveness.

- Encourage and implement the exchange of information under the PMIX Architecture among states to prevent cross-border diversion.

- Assess the efficiency and effectiveness of state-level programs to make improvements and encourage additional states to implement programs.

- Enhance collaborations with law enforcement, prosecutors, treatment professionals, the medical community, pharmacies, and regulatory boards to establish a comprehensive PDMP strategy.

- Enable federally recognized Indian tribal governments to establish the policy, legal, and technological infrastructure to share PDMP data from health care facilities with the appropriate state PDMP.

Applicant Eligibility:

None.

Beneficiary Eligibility:

Planning Grants (Category 1): Applicants are limited to state governments without enabling statute or regulation requiring the submission of controlled substance prescription data to an authorized state agency.

Implementation (Category 2) and Enhancement Grants (Category 3): Applicants are limited to state governments that have in place an enabling statute or regulation requiring the submission of controlled substance prescription data to an authorized state agency.

Tribal Prescription Drug Monitoring Information Sharing Grants (Category 4): Applicants are limited to federally recognized Indian tribal governments as determined by the Secretary of the Interior.

Credentials/Documentation:

The applicant must submit a completed Application for Federal Assistance (Standard Form 424), including signed assurances that it will comply with statutory and administrative requirements. By certifying on-line, the authorizing signing official is also assuring that all federal certifications and assurances are being met. The certification and assurance forms (Assurances, OJP Form 4000/3 and Certifications Regarding Lobbying; Debarment, Suspension and Other Responsibility Matters; and Drug-Free Workplace Requirements, OJP Form 4061/6) are provided on-line to allow applicants to review and accept them electronically. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

No formal pre application coordination is required. While informal pre application coordination is not required, it is encouraged that applicants coordinate with any facilities for which they have responsibility to detain or incarcerate undocumented criminal aliens. Environmental impact information is not required for this program. This program is excluded from coverage under

E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110.

Applicants must submit completed applications via the Office of Justice Programs, Grants Management System or through grants.gov following established criteria. The receipt, review, and analysis of applications will follow Office of Justice Programs policies and procedures for the administration of grant applications. Specific application instructions for solicitations are available at the Office of Justice Programs web site (<http://www.ojp.gov/funding/solicitations.htm>).

Award Procedure:

Upon approval by the Assistant Attorney General, successful applicants are notified via the Grants Management System. One copy of the grant award must be signed by the authorized official and returned to the Office of Justice Programs.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Applications will generally be approved or denied within 60 days of receipt of a complete application.

Appeals:

Not Applicable.

Renewals:

Subject to congressional appropriation.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching requirements are not applicable to this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The terms for these grant program budget periods range from 18 to 24 months. See the following for information on how assistance is awarded/released: Office of Justice Programs Financial Guide 2011 (www.ojp.usdoj.gov/financialguide/index.htm) and Post Award Instructions (www.ojp.usdoj.gov/funding/pdfs/post_award_instructions.pdf).

Reports:

Program reports are not applicable. Cash reports are not applicable. Recipients are required to submit semi-annual Progress Reports. Successful applicants will be required to work with designated national organizations to implement the training at the state level and keep the training current with changing laws. Recipients are required to submit quarterly Financial Reports. To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Recipients of federal funds are expected to retain documentation supporting all program transactions for at least 3 years after the closure of audit reports related to such funding. If any litigation, claim, negotiation, audit, or other action involving records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all related issues, or until the end of the regular 3-year period, whichever is later.

Account Identification:

15-0404-0-1-754.

Obligations:

(Project Grants) FY 12 \$6,199,401; FY 13 est \$7,000,000; and FY 14 Estimate Not Available

Range and Average of Financial Assistance:

For Planning grants, applicant can request up to \$50,000. The maximum project period for planning grants is 18 months.

For Implementation grants, applicant can request up to \$400,000. The maximum project period for implementation grants is 24 months.

For Enhancement grants, applicant can request up to \$400,000. The maximum project period for enhancement grants is 24 months.

For Tribal Prescription Monitoring Information Sharing Grants, applicant can request up to \$150,000. The maximum project period is 18 months.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Solicitation guidelines are posted on the Office of Justice Programs web site at <http://www.ojp.gov/funding/solicitations.htm>.

For additional guidance reference the Office of Justice Programs Financial Guide 2011 (www.ojp.usdoj.gov/financialguide/index.htm) and Post award Instructions (www.ojp.usdoj.gov/funding/pdfs/post_award_instructions.pdf). Applicable OMB Circulars and Department of Justice regulations applicable to specific types of grantees can be found in title 28 of the Code of Federal Regulations (28 C.F.R.).

Regional or Local Office:

None.

Headquarters Office:

Catherine McNamee U.S. Department of Justice
Office of Justice Programs
Bureau of Justice Assistance
810 7th Street, NW, Washington, District of Columbia 20531 Email:
AskBJA@usdoj.gov Phone: 202-616-6500 or 1-866-859-2647.

Website Address:

https://www.bja.gov/ProgramDetails.aspx?Program_ID=72

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

See solicitation for selection criteria and required elements.

16.755 SOUTHWEST BORDER PROSECUTION INITIATIVE PROGRAM

(SWBPI)

FEDERAL AGENCY:

Bureau of Justice Assistance, Department of Justice

AUTHORIZATION:

Public Law 106-246, as amended by Public Law 106-554, authorizes the reimbursement of county and municipal governments in the States of California, Texas, Arizona, and the New Mexico state government, for specific categories of expenses associated with the handling and processing of federally initiated controlled substances cases along the Southwest Border.

OBJECTIVES:

The objective of the Southwest Border Prosecution Initiative (SWBPI) is to reimburse state, county, parish, tribal, or municipal governments only for costs associated with the prosecution of criminal cases declined by local U.S. Attorneys' offices.

TYPES OF ASSISTANCE:

Direct Payments with Unrestricted Use

USES AND USE RESTRICTIONS:

While funds from the SWBPI federal payments may be used by applicant

jurisdictions for any purpose not otherwise prohibited by federal law, using funds for the support and enhancement of prosecutorial services is encouraged.

Applicant Eligibility:

Eligible county and state governments in Arizona, California, New Mexico, and Texas may apply for funds, in accordance with established reporting periods and funding availability.

An applicant must be the Chief Executive Officer (CEO) or an authorized designee of any eligible jurisdiction in Arizona, California, New Mexico, or Texas. CEOs are the highest ranking administrative or elected official of a unit of general government, such as the Governor, President of the County Commissioners, County Executive, or County Judge (for counties in Texas). Only employees, such as a Sheriff or County Prosecutor, of the applicant jurisdiction can serve as an authorized designee for SWBPI.

Beneficiary Eligibility:

N/A.

Credentials/Documentation:

No Credentials or documentation are required. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

No formal pre application coordination is required. Previous year applicants are notified two to three weeks prior to the opening of each application period. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Applications must be submitted through the online, Internet-based Southwest Border Prosecution Initiative system: <https://external.ojp.usdoj.gov/swbpi/login.jsp>. Faxed or mailed applications or supplemental materials will not be accepted.

Award Procedure:

Applications are reviewed and approved on the basis of their adherence to program guidelines and funding availability. Applicants will receive up to 100 percent of eligible case costs, based on the availability of funds. Should application amounts exceed available funding, applicants will receive funds on a uniform, pro-rata basis.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Not Applicable.

Renewals:

Subject to congressional appropriation.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Contact program office for specifics. See the following for information on how assistance is awarded/released: Office of Justice Programs Financial Guide (www.ojp.usdoj.gov/financialguide/index.htm) and Post Award Instructions (www.ojp.usdoj.gov/funding/pdfs/post_award_instructions.pdf).

Reports:

Not Applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit

conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Documents supporting a federally initiated and declined/referred case must be retained for three years after the submission of the case for SWBPI funds. Documents can include paper or electronic case files, e-mails from the U.S. Attorneys Office, case notes, or documentation of telephone or face-to-face meetings with U.S. Attorneys Office staff or federal law enforcement officials.

Account Identification:

15-0404-0-1-754.

Obligations:

(Direct Payments with Unrestricted Use) FY 12 \$10,000,000; FY 13 est \$4,649,000; and FY 14 est \$0

Range and Average of Financial Assistance:

Applicants do not request an amount. Instead their award is based on available funding and the number of eligible cases. There is no maximum project period associated with this program since it is a payments reimbursement program.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Guidelines: <http://www.ojp.usdoj.gov/swbpi/index.html>.

Regional or Local Office:

None.

Headquarters Office:

Maria Anderson U.S. Department of Justice
Office of Justice Programs
Bureau of Justice Assistance
810 7th Street, NW, Washington, District of Columbia 20531 Email:
southwest@usdoj.gov Phone: (202) 514-7057 or 1-866-817-9274

Website Address:

<http://www.ojp.usdoj.gov/swbpi>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

See solicitation for selection criteria and required elements.

16.756 COURT APPOINTED SPECIAL ADVOCATES

FEDERAL AGENCY:

Office of Juvenile Justice and Delinquency Prevention, Department of Justice

AUTHORIZATION:

Victims of Child Abuse Act, 42 U.S.C. Section 13001, et seq.

OBJECTIVES:

The Court Appointed Special Advocates (CASA) Program ensures that abused and neglected children receive high quality, timely representation in dependency court hearings. Under this program, OJJDP provides grant support and training and technical assistance to local and state programs to support existing and new CASA programs across the nation and CASA services in communities where representation rates are low, the numbers of abused and neglect children are high, and service systems do not meet the needs of families and children.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funds are available specifically to achieve the objectives of the Court Appointed Special Advocates (CASA) Program as described in the Victims of Child Abuse Act, 42 U.S.C. Section 13001, et seq.

Applicant Eligibility:

Refer to specific program application guidelines for eligibility criteria.

Beneficiary Eligibility:

None.

Credentials/Documentation:

The application must include: Application for Federal Assistance (Standard Form 424); Program Abstract; Program Narrative; Budget and Budget Narrative. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program.

Award Procedure:

Applicants must submit completed applications via the Office of Justice Programs, Grants Management System or through grants.gov following established criteria. The receipt, review, and analysis of applications will follow Office of Justice Programs policies and procedures for the administration of grant applications. Specific application instructions for solicitations are available at the Office of Justice Programs web site (<http://www.ojp.gov/funding/solicitations.htm>).

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

The award will be renewable for a period not to exceed 5 years.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Length and time phasing of assistance vary by project and are posted at <http://www.ojjdp.gov/funding/FundingList.asp> as solicitations are released. See the following for information on how assistance is awarded/released: See the following for information on how assistance is awarded/release: Office of Justice Programs Financial Guide 2011 (www.ojp.usdoj.gov/financialguide/index.htm) and Post Award Instructions (www.ojp.usdoj.gov/funding/pdfs/post_award_instructions.pdf).

Reports:

Program reports are not applicable. Cash reports are not applicable. Recipients are required to submit semi-annual Progress Reports and performance measure data is submitted via the DCTAT reporting system bi-annually. Recipients are required to submit quarterly Financial Reports. To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Recipients of federal funds are expected to retain documentation supporting all

program transactions for at least 3 years after the closure of audit reports related to such funding. If any litigation, claim, negotiation, audit, or other action involving records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all related issues, or until the end of the regular 3-year period, whichever is later.

Account Identification:

15-0404-0-1-754.

Obligations:

(Cooperative Agreements) FY 12 \$6,110,795; FY 13 est \$5,579,000; and FY 14 est \$0

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Office of Justice Programs Financial Guide 2011 (www.ojp.usdoj.gov/financialguide/index.htm) and Post award Instructions (www.ojp.usdoj.gov/funding/pdfs/post_award_instructions.pdf), applicable OMB Circulars, and Department of Justice regulations applicable to specific types of grantees, which can be found in title 28 of the Code of Federal Regulations (28 C.F.R.).

Regional or Local Office:

None.

Headquarters Office:

Jeff Slowikowski U.S. Department of Justice
Office of Justice Programs
Office of Juvenile Justice and Delinquency Prevention
810 7th Street, NW, Washington, District of Columbia 20735 Phone: (202) 616-3646

Website Address:

<http://www.ojjdp.ncjrs.org>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Vary by program. Applications are judged according to their consistency with the policies and program priorities established by the Juvenile Justice and Delinquency Prevention Act. Specific criteria are published in the Federal Register as part of the individual program announcement. Applications undergo a competitive peer review process as outlined in the OJJDP Competition and Peer Review Policy, 28 CFR Part 34.

16.757 JUDICIAL TRAINING ON CHILD MALTREATMENT FOR COURT PERSONNEL JUVENILE JUSTICE PROGRAMS

FEDERAL AGENCY:

Office of Juvenile Justice and Delinquency Prevention, Department of Justice

AUTHORIZATION:

Victims of Child Abuse Act, 42 U.S.C. Section 13001 et seq.

OBJECTIVES:

Courts and child welfare agencies face unprecedented challenges in managing complex child abuse and neglect cases and voluminous caseloads. In addition, they must comply with federal child welfare reform laws and reform initiatives designed to achieve safe, permanent homes for abused and neglected children. Through this program, OJJDP seeks to provide judicial, legal, and social service professionals with the training and technical assistance they need to meet the numerous challenges facing juvenile and family courts.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funds are available specifically to achieve the objectives of the Judicial

Training on Child Maltreatment for Court Personnel Program.

Applicant Eligibility:

None.

Beneficiary Eligibility:

None.

Credentials/Documentation:

The application must include: Application for Federal Assistance (Standard Form 424); Program Abstract; Program Narrative; Budget and Budget Narrative. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applicants must submit completed applications via the Office of Justice Programs, Grants Management System or through grants.gov following established criteria. The receipt, review, and analysis of applications will follow Office of Justice Programs policies and procedures for the administration of grant applications. Specific application instructions for solicitations are available at the Office of Justice Programs web site (<http://www.ojp.gov/funding/solicitations.htm>).

Award Procedure:

Upon approval by the Assistant Attorney General, successful applicants are notified via the Grants Management System. One copy of the grant award must be signed by the authorized official and returned to the Office of Justice Programs.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days.

Appeals:

See 28 CFR Part 18.

Renewals:

Please contact the program office for more information.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Length and time phasing of assistance vary by project and are posted at <http://www.ojjdp.gov/funding/FundingList.asp> as solicitations are released. See the following for information on how assistance is awarded/released: See the following for information on how assistance is awarded/release: Office of Justice Programs Financial Guide 2011 (www.ojp.usdoj.gov/financialguide/index.htm) and Post Award Instructions (www.ojp.usdoj.gov/funding/pdfs/post_award_instructions.pdf).

Reports:

Program reports are not applicable. Cash reports are not applicable. Recipients are required to submit semi-annual Progress Reports and performance measure data via the DCTAT reporting system bi-annually. Recipients are required to submit quarterly Financial Reports. To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit

conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Recipients of federal funds are expected to retain documentation supporting all program transactions for at least 3 years after the closure of audit reports related to such funding. If any litigation, claim, negotiation, audit, or other action involving records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all related issues, or until the end of the regular 3-year period, whichever is later.

Account Identification:

15-0405-0-1-754.

Obligations:

(Cooperative Agreements) FY 12 \$1,317,432; FY 13 est \$1,400,000; and FY 14 est \$0

Range and Average of Financial Assistance:

Range and average of financial assistance vary by project and are posted at <http://www.ojjdp.gov/funding/FundingList.asp> as solicitations are released.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Office of Justice Programs Financial Guide 2011 (www.ojp.usdoj.gov/financialguide/index.htm) and Post award Instructions (www.ojp.usdoj.gov/funding/pdfs/post_award_instructions.pdf), applicable OMB Circulars, and Department of Justice regulations applicable to specific types of grantees, which can be found in title 28 of the Code of Federal Regulations (28 C.F.R.).

Regional or Local Office:

None.

Headquarters Office:

Jeff Slowikowski U.S. Department of Justice
Office of Justice Programs
Office of Juvenile Justice and Delinquency Prevention
810 7th Street, NW, Washington, District of Columbia 20531 Phone: (202) 616-3646

Website Address:

<http://ojjdp.ncjrs.org/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Vary by program. Applications are judged according to their consistency with the policies and program priorities established by the Juvenile Justice and Delinquency Prevention Act. Specific criteria are published in the Federal Register as part of the individual program announcement. Applications undergo a competitive peer review process as outlined in the OJJDP Competition and Peer Review Policy, 28 CFR Part 34.

16.758 IMPROVING THE INVESTIGATION AND PROSECUTION OF CHILD ABUSE AND THE REGIONAL AND LOCAL CHILDREN'S ADVOCACY CENTERS

FEDERAL AGENCY:

Office of Juvenile Justice and Delinquency Prevention, Department of Justice

AUTHORIZATION:

Victims of Child Abuse Act, 42 U.S.C. Section 13001, et seq.

OBJECTIVES:

The Improving the Investigation and Prosecution of Child Abuse Program has the following objectives: (1) To train criminal justice system professionals on innovative techniques for investigating, and prosecuting child abuse cases; (2) To promote a multidisciplinary approach to coordinating the investigations

and prosecution of child abuse cases and, thereby limiting the number of necessary pre-trial interviews for child victims, as well as to better assure the accuracy of each interview; (3) To increase the number of communities utilizing a Childrens Advocacy Center approach to the investigation, prosecution and treatment of child abuse cases; (4) To assist communities in developing child-focused programs designed to improve the resources available to children and families; (5) To provide support to non-offending family members; (6) To enhance coordination among community agencies, professionals, and provide medical support to health care and mental health care professionals involved in the intervention, prevention, prosecution, and investigation systems that respond to child abuse cases; and (7) To improve the quality of child abuse prosecution by providing specialized training and technical assistance to prosecutors.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funds are available specifically to achieve the objectives of Improving the Investigation and Prosecution of Child Abuse and the Regional and Local Childrens Advocacy Centers as set forth in Victims of Child Abuse Act, 42 U.S.C. Section 13001, et seq.

Applicant Eligibility:

None.

Beneficiary Eligibility:

None.

Credentials/Documentation:

The application must include: Application for Federal Assistance (Standard Form 424); Program Abstract; Program Narrative; Budget and Budget Narrative. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applicants must submit completed applications via the Office of Justice Programs, Grants Management System or through grants.gov following established criteria. The receipt, review, and analysis of applications will follow Office of Justice Programs policies and procedures for the administration of grant applications. Specific application instructions for solicitations are available at the Office of Justice Programs web site (<http://www.ojp.gov/funding/solicitations.htm>).

Award Procedure:

Upon approval by the Assistant Attorney General, successful applicants are notified via the Grants Management System. One copy of the grant award must be signed by the authorized official and returned to the Office of Justice Programs.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days.

Appeals:

See 28 CFR Part 18.

Renewals:

Continuation grant and/or supplemental award.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Length and time phasing of assistance varies by project and are posted at <http://www.ojjdp.gov/funding/FundingList.asp> as solicitations are released. See

the following for information on how assistance is awarded/released: See the following for information on how assistance is awarded/release: Office of Justice Programs Financial Guide 2011 (www.ojp.usdoj.gov/financialguide/index.htm) and Post Award Instructions (www.ojp.usdoj.gov/funding/pdfs/post_award_instructions.pdf).

Reports:

Program reports are not applicable. Cash reports are not applicable. Recipients are required to submit semi-annual Progress Reports and performance measure data via the DCTAT reporting system bi-annually. Standard reporting requirements will be required for subaward recipients. Recipients are required to submit quarterly Financial Reports. To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Recipients of federal funds are expected to retain documentation supporting all program transactions for at least 3 years after the closure of audit reports related to such funding. If any litigation, claim, negotiation, audit, or other action involving records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all related issues, or until the end of the regular 3-year period, whichever is later.

Account Identification:

15-0405-0-1-754.

Obligations:

(Cooperative Agreements) FY 12 \$19,259,259; FY 13 est \$17,739,000; and FY 14 est \$0

Range and Average of Financial Assistance:

Range and average of financial assistance varies by project and are posted at <http://www.ojjdp.gov/funding/FundingList.asp> as solicitations are released.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Office of Justice Programs Financial Guide 2011 (www.ojp.usdoj.gov/financialguide/index.htm) and Post award Instructions (www.ojp.usdoj.gov/funding/pdfs/post_award_instructions.pdf), applicable OMB Circulars, and Department of Justice regulations applicable to specific types of grantees, which can be found in title 28 of the Code of Federal Regulations (28 C.F.R.).

Regional or Local Office:

None.

Headquarters Office:

Jeff Slowikowski U.S. Department of Justice
Office of Justice Programs
Office of Juvenile Justice and Delinquency Prevention
810 7th Street, NW, Washington, District of Columbia 20531 Phone: (202) 616-3646

Website Address:

<http://www.ojjdp.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Vary by program. Applications are judged according to their consistency with the policies and program priorities established by the Juvenile Justice and Delinquency Prevention Act. Specific criteria are published in the Federal Register as part of the individual program announcement. Applications undergo a competitive peer review process as outlined in the OJJDP Competition and Peer Review Policy, 28 CFR Part 34.

16.812 SECOND CHANCE ACT PRISONER REENTRY INITIATIVE

Second Chance

FEDERAL AGENCY:

Bureau of Justice Assistance, Department of Justice

AUTHORIZATION:

Second Chance Act of 2007 (H.R. 1593/S. 1060).

OBJECTIVES:

The Second Chance Act Programs are designed to help communities develop and implement comprehensive and collaborative strategies that address the challenges posed by offender reentry and recidivism reduction.

The Second Chance Act is a commonsense response to the increasing number of people who are released from prison and jail and returning to communities. There are currently 2.5 million people serving time in our federal and state prisons, and millions of people cycling through local jails every year. Ninety-five percent of all prisoners incarcerated today will eventually be released and will return to communities. Of those serving a sentence in state or federal prisons, approximately 750,000 will be released each year. The Second Chance Act will help ensure the transition people make from prison or jail to the community is safe and successful.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

To facilitate offenders successful reintegration into society, the following sections of the Second Chance Act have been funded:

State, Tribal, and Local Reentry Courts authorizes the creation of state, local, and tribal reentry courts to monitor offenders and provide them with the treatment services needed to establish a self-sustaining and law-abiding life.

Family-Based Substance Abuse Treatment authorizes grants to states, local governments, and Indian tribes to develop and implement prison-based and family-based treatment programs for incarcerated parents who have minor children.

Evaluate and Improve Education at Prisons, Jails, and Juvenile Facilities authorizes a grant to study the effectiveness of educational programs in prisons and jails and identify best or promising practices.

Technology Careers Training Demonstration Grants authorizes grants to develop training programs for offenders no more than 3 years from release to find jobs in higher paying, technology-related jobs.

Offender Reentry Substance Abuse and Criminal Justice Collaboration authorizes grants to develop treatment programs to better address the needs of returning offenders with co-occurring substance abuse and mental health issues.

Adult and Juvenile Demonstration authorizes grants to states and local governments that may be used to promote the safe and successful reintegration into the community of individuals who have been incarcerated. Allowable uses of funds include mentorship, housing, education and job training, engagement with community colleges, family programming, initiatives addressing victim priorities and restorative justice, research to improve the effectiveness of release and revocation decisions using risk assessment tools, and reentry court programs.

Mentoring Grants to Nonprofit Organizations authorizes grants to nonprofit organizations that may be used for mentoring of adult offenders or providing transitional services for reintegration into the community.

Applicant Eligibility:

None.

Beneficiary Eligibility:

None.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State

and local governments. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

The standard application form (SF-424), as furnished by the federal agency in accordance with 28 CFR, Part 66 (Common Rule), must be used for this program. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. The standard application forms, as furnished by the federal agency and required by the Common Rule, must be used for this program. This program is also subject to the provisions of OMB Circular No. A-110. All applications must be submitted electronically via the Office of Justice Programs Grants Management System (GMS) at <https://grants.ojp.usdoj.gov>. Applications or supplemental materials received by facsimile or postal mail will not be accepted.

Award Procedure:

Upon approval by the Assistant Attorney General, letters and an award package are sent to the grantee. One copy of the grant award must be signed by a duly authorized representative and returned to BJA.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Varies.

Appeals:

See 28 C.F.R. Part 18.

Renewals:

Continuation grants are renewable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching Requirements: Match is required for the state and local grants. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Contingent upon program goals and objectives, generally 12-18 months. See the following for information on how assistance is awarded/released: Please contact the program office for more information.

Reports:

Program reports are not applicable. Cash reports are not applicable. Unless otherwise specified in the awards special conditions, recipients are required to submit semi-annual Progress Reports. Unless otherwise specified in the awards special conditions, recipients are required to submit quarterly Financial Status Reports. To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. These audits are due to the cognizant federal agency not later than 9 months after the end of the grantees fiscal year. Performance Measures: To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act (GPRA), Public Law 103-62, applicants who receive funding under this solicitation must provide data that measures the results of their work.

Records:

Recipients of federal funds are expected to retain documentation supporting all program transactions for at least 3 years after the closure of audit reports related to such funding. If any litigation, claim, negotiation, audit, or other action involving records has been started before the expiration of the 3-year period,

the records must be retained until completion of the action and resolution of all related issues, or until the end of the regular 3-year period, whichever is later.

Account Identification:

15-0401-0-1-754.

Obligations:

(Cooperative Agreements) FY 12 \$40,277,384; FY 13 est \$80,000,000; and FY 14 Estimate Not Available

Range and Average of Financial Assistance:

Varies, see solicitation guidelines posted on the Office of Justice Programs web site at <http://www.ojp.gov/funding/solicitations.htm>.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Solicitation guidelines are posted on the Office of Justice Programs web site at <http://www.ojp.gov/funding/solicitations.htm>. For additional guidance reference the Office of Justice Programs Financial Guide 2011 (www.ojp.usdoj.gov/financialguide/index.htm) and Post award Instructions (www.ojp.usdoj.gov/funding/pdfs/post_award_instructions.pdf). Applicable OMB Circulars and Department of Justice regulations applicable to specific types of grantees can be found in title 28 of the Code of Federal Regulations (28 C.F.R.).

Regional or Local Office:

None.

Headquarters Office:

Thurston Bryant U.S. Department of Justice
Office of Justice Programs
Bureau of Justice Assistance
810 Seventh Street, NW, Washington, District of Columbia 20351 Email:
AskBJA@usdoj.gov Phone: 202-616-6500 or 1-866- 859-2647

Website Address:

https://www.bja.gov/ProgramDetails.aspx?Program_ID=90

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are described in the OJP Program Announcement available at <http://www.ojp.gov/funding/solicitations.htm>.

16.813 NICS ACT RECORD IMPROVEMENT PROGRAM

NARIP

FEDERAL AGENCY:

Bureau of Justice Statistics, Department of Justice

AUTHORIZATION:

The NICS Improvement Amendments Act of 2007, Public Law 110-180.

OBJECTIVES:

To improve the FBI's National Instant Criminal Background Check System (NICS) by providing assistance to states to improve the completeness, automation and transmittal to state and federal systems the records utilized by the NICS. Such records include criminal history records, records of felony convictions, warrants, records of protective orders, convictions for misdemeanor involving domestic violence and stalking, records of mental health adjudications, and others, which may disqualify an individual from possessing or receiving a firearm under federal law. Helping states to automate these records will also reduce delays for law-abiding gun purchasers.

The NICS Improvement Act amends the Brady Handgun Violence Prevention Act of 1993 (the Brady Act) (Public Law 103-159), under which the Attorney General established NICS. The Brady Act requires Federal Firearms Licensees (FFLs) to contact the NICS before transferring a firearm to an unlicensed person for information on whether the proposed transferee is prohibited from receiving or possessing a firearm under state or federal law.

The NICS Improvement Act was enacted in the wake of the April 2007 shooting tragedy at Virginia Tech. The Virginia Tech shooter was able to purchase firearms from an FFL because information about his prohibiting mental health history was not available to the NICS and the system was therefore unable to deny the transfer of the firearms used in the shootings.

The Act seeks to address the gap in information available to NICS about such prohibiting mental health adjudications and commitments and other prohibiting backgrounds. Filling these information gaps will better enable the system to operate as intended to keep guns out of the hands of persons prohibited by federal or state law from receiving or possessing firearms.

The Act authorized two grant programs to assist states in providing certain information to the NICS, and prescribes grant penalties for non-compliance with the Acts record completeness goals. Pursuant to the Act, there are certain conditions, described below, that a state must satisfy in advance of receiving grants under the Act. The NICS Improvement Act has provisions that require states to meet specified goals for completeness of the records submitted to the Attorney General on individuals prohibited by federal law from possessing firearms. The records covered include automated information needed by the NICS to identify felony convictions, felony indictments, fugitives from justice, drug arrests and convictions, prohibiting mental health adjudications and commitments, domestic violence protection orders, and misdemeanor crimes of domestic violence.

The Act provides for a number of incentives for states to meet the goals it sets for greater record completeness. First, the Act allows states to obtain a waiver, beginning in 2011, of the state matching requirement under the National Criminal History Improvement Program (NCHIP) grant program, if a state provides at least 90 percent of its records identifying persons in specified prohibited categories. Second, the Act authorizes grant programs described herein, which, pursuant to the Act, are being administered consistent with NCHIP, for state executive and judicial agencies to establish and upgrade information automation and identification technologies for timely submission of final criminal record dispositions and other information relevant to NICS checks. Finally, the Act provides for discretionary and mandatory Byrne Justice Assistance Grant (JAG) program grant penalties, beginning 3 years after enactment, for non-compliance with specified record completeness requirements within certain timeframes: after 3 years, 3 percent may be withheld in the case of less than 50 percent completeness; after 5 years, 4 percent may be withheld in the case of less than 70 percent completeness; and after 10 years, 5 percent shall be withheld in the case of less than 90 percent completeness (although the mandatory reduction can be waived if there is substantial evidence of the state making a reasonable effort to comply).

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

The Act outlines the allowable uses of grant funds:

Grants to States:

Section 103 of the NICS Improvement Act, regarding implementation assistance to the states, provides that the grants "shall be used by the States and Indian tribal governments, in conjunction with units of local government and State and local courts, to establish or upgrade information and identification technologies for firearms eligibility determinations." In accordance with the Act, a grant to a state, territory or Indian tribes may only be used to:

Create electronic systems, which provide accurate and up-to-date information which is directly related to checks under the NICS, including court disposition and corrections records;

Assist states in establishing or enhancing their own capacities to perform NICS background checks;

Supply accurate and timely information to the Attorney General concerning final dispositions of criminal records to databases accessed by NICS;

Supply accurate and timely information to the Attorney General concerning the identity of persons who have a federally prohibiting mental health adjudication or commitment;

Supply accurate and timely court orders and records of misdemeanor crimes of domestic violence for inclusion in federal and state law enforcement databases used to conduct NICS background checks;

Collect and analyze data needed to demonstrate levels of state compliance with the Act; and

Maintain the required relief from disabilities program in accordance with the Act however, not less than 3 percent and no more than 10 percent of each grant shall be used for this purpose.

State Court Grants

Section 301 of the Act provides that grants shall be made to each state and territory, consistent with the states plans for the integration, automation, and accessibility of criminal history records, for use by the court systems to improve automation and transmittal to federal and state repositories of: (1) criminal history dispositions; (2) records relevant to determining whether a person has been convicted of a misdemeanor crime of domestic violence or a prohibiting domestic violence protection order; and (3) prohibiting mental adjudications and commitments. Further, the law provides that the amounts granted shall be used by the state court system only to:

Carry out, as necessary, assessments of the capabilities of state courts to automate and transmit arrest and conviction records, court orders, and mental health adjudications or commitments to federal and state record repositories; and

Implement policies, systems, procedures to automate and transmit arrest and conviction records, court orders, and mental health adjudications or commitments to federal and state record repositories.

Applicant Eligibility:

Applications must be submitted by (a) the agency designated by the Governor to administer the National Criminal History Improvement Program (NCHIP); (b) the state or territory central administrative office or similar entity designated by statute or regulation to administer federal grant funds on behalf of the jurisdiction's court system; or (c) a federally recognized Indian tribal government.

Beneficiary Eligibility:

In accordance with the NICS Improvement Amendments Act (see 18 U.S.C. 922 note), there are two specific conditions that each state must satisfy before being eligible to receive grants:

1. First, each State shall provide the Attorney General with a reasonable estimate, as calculated by a method determined by the Attorney General of the number of the records subject to the NIAA completeness requirements. (Id.)
2. Second, to be eligible for a grant under this [program], a State shall certify, to the satisfaction of the Attorney General, that the State has implemented a relief from disabilities program. (Id.)

For the purpose of this solicitation, a relief from disabilities program is a program that permits persons who have been adjudicated a mental defective or committed to a mental institution to obtain relief from the firearms disabilities imposed by law as a result of such adjudication or commitment. This relief must be based on a finding, in accordance with principles of due process, by a state court, board, commission, or other lawful authority, that the circumstances of the disability and the persons record and reputation are such that the person will not be likely to act in a manner dangerous to the public safety and that the granting of relief would not be contrary to the public interest. The certification form is available on the ATF website at www.atf.gov/forms/download/atf-f-3210-12.pdf. For further information, please visit NICS Improvement Act Questions and Answers on the BJS website.

Further, applications submitted on behalf of state court systems must specifically assure that: (1) the court system has the capability to contribute and will transmit pertinent information to the NICS established under section 103(b) of the Brady Handgun Violence Prevention Act (18 U.S.C. 922 note), and (2) that it will coordinate the programs proposed for NARIP funding with other federally funded information technology programs, including directly funded local programs.

Credentials/Documentation:

The applicant must furnish, along with the application for an award, a detailed budget, a budget narrative, and a program narrative describing how funds would be utilized. Applicants must also furnish a NICS Record Improvement Plan as part of the application which reflects (a) the formation of a NICS Record Improvement Task Force, an assessment of the quality and availability of qualifying NICS records in the State, obstacles preventing full and complete transmission to the NICS of the available records, and strategies planned to address such challenges and achieve completeness goals. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

A potential grantee should contact the Headquarters Office, Devon B. Adams, NARIP Program Administrator, Bureau of Justice Statistics, Department of Justice, Washington, DC 20531; Telephone: (202) 307-0765, or E-mail: Devon.Adams@usdoj.gov, to obtain a copy of the current program announcement. The standard application forms as furnished by the Office of Justice Programs (OJP), in accordance with 28 CFR Part 66 (Common Rule), must be used for this program. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applicants must submit completed applications via the Office of Justice Programs, Grants Management System or through grants.gov following established criteria. The receipt, review, and analysis of applications will follow Office of Justice Programs policies and procedures for the administration of grant applications. Specific application instructions for solicitations are available at the Office of Justice Programs web site (<http://www.ojp.gov/funding/solicitations.htm>).

Award Procedure:

An award is granted by the Director of the Bureau of Justice Statistics and must be accepted by the applicant agency or institution according to the special conditions of the grant or cooperative agreement. Successful applicants are notified via the Grants Management System. One copy of the grant award must be signed by the authorized official and returned to the Office of Justice Programs.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 120 days. Deadlines are included with the application instructions, which are posted on the Office of Justice Programs web site (<http://www.ojp.gov/funding/solicitations.htm>).

Appeals:

Hearings by the Director.

Renewals:

Awards may be for up to 12 months.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Project duration period will be for 12. There are three methods for requesting payment of grant funds: the Letter of Credit Electronic Certification System (LOCES), the Phone Activated Paperless Request System (PAPRS) and the Automated Standard Application for Payment (ASAP). See the following for information on how assistance is awarded/released: Please contact the program office for more information.

Reports:

No program reports are required. No cash reports are required. Recipients are required to submit semi-annual Progress Reports. Recipients are required to submit quarterly Financial Reports. To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their

work.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Recipients of federal funds are expected to retain documentation supporting all program transactions for at least 3 years after the closure of audit reports related to such funding. If any litigation, claim, negotiation, audit, or other action involving records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all related issues, or until the end of the regular 3-year period, whichever is later.

Account Identification:

15-0401-0-1-754.

Obligations:

(Cooperative Agreements) FY 12 \$10,432,499; FY 13 est \$11,159,000; and FY 14 est \$5,000,000

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: <http://bjs.gov/index.cfm?ty=tp&tid=491#promising>. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Office of Justice Programs Financial Guide (www.ojp.usdoj.gov/financialguide/index.htm) and Post award Instructions (www.ojp.usdoj.gov/funding/pdfs/post_award_instructions.pdf), applicable OMB Circulars, and Department of Justice regulations applicable to specific types of grantees, which can be found in title 28 of the Code of Federal Regulations (28 C.F.R.).

Regional or Local Office:

None.

Headquarters Office:

Devon B. Adams U.S. Department of Justice
Office of Justice Programs
Bureau of Justice Statistics
810 7th Street, NW, Washington, District of Columbia 20531 Email:
Devon.Adams@usdoj.gov Phone: (202) 307-0765

Website Address:

<http://bjs.gov/index.cfm?ty=tp&tid=49>

RELATED PROGRAMS:

16.554 National Criminal History Improvement Program (NCHIP)

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are described in the OJP Program Announcement available at <http://www.ojp.gov/funding/solicitations.htm>.

16.814 NORTHERN BORDER PROSECUTION INITIATIVE PROGRAM

Northern Border (NBPI)

FEDERAL AGENCY:

Bureau of Justice Assistance, Department of Justice

AUTHORIZATION:

Public Law No. 111-8, 123 Stat. 524, 580.

OBJECTIVES:

The Northern Border Prosecution Initiative (NBPI) provides funds to eligible jurisdictions in the fourteen northern border states, using a uniform

payment-per-case basis for qualifying federally initiated and declined-referred criminal cases that were disposed of after October 1, 2005. Eligible jurisdictions include the county governments and the fourteen state governments in Alaska, Idaho, Maine, Michigan, Minnesota, Montana, New Hampshire, New York, North Dakota, Ohio, Pennsylvania, Vermont, Washington, and Wisconsin.

TYPES OF ASSISTANCE:

Direct Payments with Unrestricted Use

USES AND USE RESTRICTIONS:

While funds from Northern Border Prosecution Initiative federal payments may be used by applicant jurisdictions for any purpose not otherwise prohibited by federal law, using funds for the support and enhancement of prosecutorial services is encouraged.

Applicant Eligibility:

N/A.

Beneficiary Eligibility:

N/A.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

No formal preapplication coordination is required. Previous year applicants are notified two to three weeks prior to the opening of each application period. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Applications must be submitted through the online, Internet-based Northern Border Prosecution Initiative system: <http://www.ojp.usdoj.gov/nbpi/>. Faxed or mailed applications or supplemental materials will not be accepted.

Award Procedure:

Applications are reviewed and approved on the basis of their adherence to program guidelines and funding availability. Applicants will receive up to 100 percent of eligible case costs, based on the availability of funds. Should application amounts exceed available funding, applicants will receive funds on a uniform, pro-rata basis.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Not Applicable.

Renewals:

Subject to congressional appropriation. Consult the Application Kit or contact BJA for additional information at BJAborderinitiatives@usdoj.gov.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awards are made within 90 days of application end date. See the following for information on how assistance is awarded/released: Once an award has been made for an applicant jurisdiction the jurisdiction may request payment through their on-line account. Awards will be deposited directly to the jurisdiction's bank account once the payment request has been approved by the BJA. All electronic transactions and information are secure, using the latest Internet encryption technology.

Reports:

Not Applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Documents supporting a federally initiated and declined/referred case must be retained for three years after the submission of the case for NBPI funds. Documents can include paper or electronic case files, e-mails from the U.S. Attorneys Office, case notes, or documentation of telephone or face-to-face meetings with U.S. Attorneys Office staff or federal law enforcement officials.

Account Identification:

15-0404-0-1-754.

Obligations:

(Direct Payments with Unrestricted Use) FY 12 Not Separately Identifiable(Exp: Border Initiatives funding \$10,0); FY 13 est \$0; and FY 14 Not Separately Identifiable(Exp: Border Initiatives funding \$0)

Range and Average of Financial Assistance:

Applicants do not request an amount. Instead their award is based on available funding and the number of eligible cases. There is no maximum project period associated with this program since it is a payments reimbursement program.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

See the NBPI website: <http://www.ojp.usdoj.gov/nbpi/>

Regional or Local Office:

None.

Headquarters Office:

Maria Anderson U.S. Department of Justice
Office of Justice Programs
Bureau of Justice Assistance
810 7th Street, NW, Washington, District of Columbia 20531 Email:
BJAborderinitiatives@usdoj.gov Phone: (202) 514-7057 or 1-866-859-268

Website Address:

<http://www.ojp.usdoj.gov/nbpi/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

See solicitation for selection criteria and required elements.

16.815 TRIBAL CIVIL AND CRIMINAL LEGAL ASSISTANCE GRANTS, TRAINING AND TECHNICAL ASSISTANCE

TCCLA TTA

FEDERAL AGENCY:

Bureau of Justice Assistance, Department of Justice

AUTHORIZATION:

Indian Tribal Justice Technical and Legal Assistance Act of 2000, Public Law 106-559, 2778 Stat. , 25 U.S.C 3661.

OBJECTIVES:

To enhance tribal court systems and improve access to civil and criminal court systems through legal services and 2) to support the development and enhancement of tribal justice systems.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Category 1: Tribal Civil Legal Assistance Grants: Applications are solicited to provide civil legal assistance services for Federally-recognized Indian tribes, members of Federally-recognized Indian tribes and tribal justice systems, pursuant to the Federal poverty guidelines. Activities and services include, but are not limited to, civil legal assistance services, guardian ad-litem appointments, court-appointed special advocates, and development and enhancement of tribal court policies, procedures, and codes.

Category 2: Tribal Criminal Legal Assistance Grants: Applications are solicited to provide criminal legal assistance services for Federally-recognized Indian tribes, members of Federally-recognized Indian tribes and tribal justice systems, pursuant to the Federal poverty guidelines. Criminal legal assistance services may include adult criminal actions, juvenile delinquency actions, and guardian-ad-litem appointments arising out of criminal or delinquency acts. Legal support to tribal governments and tribal justice systems may include the enhancement of tribal court policies, procedures, and codes.

Category 3: Tribal Justice Training and Technical Assistance (TTA) Grants: Applications are solicited to provide the following deliverables:

- develop a training assessment tool to gather data on training needs;
- plan and conduct 2 training events based on gaps in service currently provided to Tribal Justice Systems in priority area(s) defined annually;
- provide ongoing technical assistance, including three on-site assistance visits, not limited to the legal assistance grantees. Technical assistance formats include, but are not limited to, telephone, distance learning, web-based, written, and/or onsite assistance;
- develop and disseminate three publications to be determined in conjunction with the Bureau of Justice Assistance (BJA), as well as other program and training related materials;
- present at BJA or national U.S. Department of Justice (DOJ) events;
- provide travel resources to attend tribal justice system trainings including the Tribal Civil and Criminal Legal Assistance (TCCLA)-specific trainings;
- coordinate with DOJ Tribal Justice TA providers including the BJA National Training and Technical Assistance Center; and,
- support DOJ's Tribal Justice and Safety website and other BJA Tribal specific websites. The TTA provider must coordinate with DOJ Tribal Justice Training and Technical Assistance providers including the BJA National Training and Technical Assistance Center; support OJP's Tribal Justice and Safety website and other BJA Tribal specific websites.

Applicant Eligibility:

For Category 1 Tribal Civil Legal Assistance Grants and Category 2 Tribal Criminal Legal Assistance Grants, applicants are limited to non-profit organizations with a tax status of 501(c)(3) under the Internal Revenue Service (IRS) Code that provide legal assistance services for Federally-recognized Indian tribes, members of Federally-recognized Indian tribes, or tribal justice systems pursuant to Federal poverty guidelines. Non-profit organizations may be chartered under a Federally-recognized Indian tribe or a State and must retain a current 501(c)(3) tax status under the IRS Code.

For Category 3, Tribal Justice Training and Technical Assistance Grants, applicants are limited to national or regional membership organizations and associations whose membership or a membership section consists of judicial system personnel within tribal justice systems. Judicial personnel are defined as any judge, magistrate, court counselor, court clerk, court administrator, bailiff, probation officer, officer of the court, dispute resolution facilitator, or other official, employee, or volunteer within the tribal judicial system. Training and technical assistance supports the development and enhancement of tribal justice systems.

Tribal justice systems are defined as the entire judicial branch, and members of Indian tribes, dispute resolution forums and other culturally relevant justice approaches, trial courts, appellate courts, inter-tribal courts, alternative dispute resolution systems, and circuit rider systems, established by inherent tribunal authority whether or not they constitute a court of record. Grants are to serve to strengthen and improve the representation of indigent defendants in criminal cases and indigent respondents in civil causes of action under the jurisdiction of Indian tribes.

Beneficiary Eligibility:

N/A.

Credentials/Documentation:

Please check the annual solicitation requirements available at the Office of Justice Programs website (<http://www.ojp.gov/funding/solicitations.htm>) and Frequently Asked Questions at the BJAs TCCLA webpage (https://www.bja.gov/ProgramDetails.aspx?Program_ID=102) for documentation such as a Tribal Resolution authorized and issued by a Federally-recognized Indian tribe or verification by non-profits that they have 501(c)(3) status. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

BJA reviews applications for completeness, accuracy, and compliance with all program requirements. Upon approval by the Assistant Attorney General, OJP, successful applicants are notified via the Grants Management System. One copy of the grant award must be signed by the authorized official and returned to the Office of Justice Programs.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Determined by the Assistant Attorney General, OJP.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Award project periods are up to 24 months. See the following for information on how assistance is awarded/release: Office of Justice Programs Financial Guide 2011 (www.ojp.usdoj.gov/financialguide/index.htm) and Post Award Instructions (www.ojp.usdoj.gov/funding/pdfs/post_award_instructions.pdf). Method of awarding/releasing assistance: lump sum.

Reports:

Program reports are not applicable. Cash reports are not applicable. Recipients are required to submit semi-annual Progress Reports. Recipients are required to submit quarterly Financial Reports. To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Recipients of Federal funds are expected to retain documentation supporting all program transactions for at least 3 years after the closure of audit reports related to such funding. If any litigation, claim, negotiation, audit, or other action involving records has been started before the expiration of the 3-year period,

the records must be retained until completion of the action and resolution of all related issues, or until the end of the regular 3-year period, whichever is later.

Account Identification:

15-0404-0-1-754.

Obligations:

(Project Grants) FY 12 \$2,286,218; FY 13 est \$1,900,000; and FY 14 est \$0

Range and Average of Financial Assistance:

The awards vary by fiscal year and resources appropriated. Please see the solicitation for funding amounts.

From FY 2010 2014 the range is as follows for each Category:

CATEGORY 1: TRIBAL CIVIL LEGAL ASSISTANCE GRANTS. Grants did not exceed \$875,000 in FY 10, \$1,150,000 in FY 11, \$875,000 in FY 12-13 and the amount TBD for FY 14.

CATEGORY 2: TRIBAL CRIMINAL LEGAL ASSISTANCE GRANTS. Grants did not exceed \$875,000 in FY10, \$1,150,000 in FY11, \$875,000 in FY 12-13 and the amount TBD for FY 14.

CATEGORY 3: TRIBAL JUSTICE TRAINING AND TECHNICAL ASSISTANCE GRANTS. Grants did not exceed \$500,000 in FY 10, \$600,000 in FY 11, \$500,000 in FY 11, and the amount TBD for FY 14.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Solicitation guidelines are posted on the OJP web site at <http://www.ojp.gov/funding/solicitations.htm>. OJP Financial Guide 2011 (www.ojp.usdoj.gov/financialguide/index.htm) and Post award Instructions (www.ojp.usdoj.gov/funding/pdfs/post_award_instructions.pdf), applicable OMB Circulars, and Department of Justice regulations applicable to specific types of grantees, which can be found in title 28 of the Code of Federal Regulations (28 C.F.R.).

Regional or Local Office:

None.

Headquarters Office:

Norena Henry U.S. Department of Justice
Office of Justice Programs
Bureau of Justice Assistance
810 7th Street, NW, Washington, District of Columbia 20531 Email:
AskBJA@usdoj.gov Phone: 1-877-927-5657

Website Address:

<http://www.bja.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

16.816 JOHN R. JUSTICE PROSECUTORS AND DEFENDERS INCENTIVE ACT

JRJ Grant Program

FEDERAL AGENCY:

Bureau of Justice Assistance, Department of Justice

AUTHORIZATION:

42 U.S.C. 3797cc-21.

OBJECTIVES:

To encourage qualified attorneys to choose careers as prosecutors and public defenders and to continue in that service.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

Grants are made directly by the Office of Justice Programs to the applicant State agency, based on results of a competitive review process conducted by the States. Grant funds will be used by States to make repayments, on behalf of eligible beneficiaries, of loan obligations to institutions holding eligible beneficiary loans. Direct payment of grant funds to beneficiaries is strictly prohibited. Grant funds may be applied as repayments of eligible loans only. See program solicitation for additional information regarding eligible beneficiaries, eligible loans, and contingent obligations of eligible beneficiaries.

Priority consideration will be given to those eligible beneficiaries who have the least ability to repay their student loans, except that a fair allocation of repayment benefits among prosecutors and public defenders, and among employing entities nationwide, will be determined.

Applicant Eligibility:

Applicants are limited to state government agencies, designated by their Governor, and the District of Columbia, designated by the Mayor, to manage this program. The States and the District of Columbia will make loan payments on behalf of eligible beneficiaries. See below for eligibility information.

Beneficiary Eligibility:

For purposes of this program the following persons shall be considered eligible:

Prosecutor - full-time employee of a State or unit of local government (including tribal government) who is continually licensed to practice law and prosecutes criminal or juvenile delinquency cases at the State or unit of local government level including supervision, education, or training of other persons prosecuting such cases.

Public Defender - an attorney who is continually licensed to practice law and is a full time employee of a State or unit of local government (including tribal government) who provides legal representation to indigent persons in criminal or juvenile delinquency cases including supervision, education, or training of other persons providing such representation; is a full time employee of a nonprofit organization operating under a contract with a State or unit of local government who devotes substantially all of the employees full-time employment to providing legal representation to indigent persons in criminal or juvenile delinquency cases including supervision, education, or training of other persons providing such representation; or employed as a full-time Federal defender attorney in a defender organization pursuant to subsection (g) of section 3006A of Title 18, United States Code, that provides legal representation to indigent persons in criminal or juvenile delinquency cases.

Credentials/Documentation:

The designated agency must submit a completed Application for Federal Assistance (Standard Form 424), including a signed certification form stating that they will comply with statutory and administrative requirements. The applicant is also required to submit a description that includes the goals of their program, the implementation process, and a timetable for implementation. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. BJA will post the allocation tables and a copy of the Solicitation and notify the designated State agencies that they are posted online. The State agencies will then generally have 45 - 60 days in which to submit an application through the online, Internet-based Grants Management System (GMS) at <https://grants.ojp.usdoj.gov/>.

Award Procedure:

A letter with copies of the grant award are sent to the designated agency upon approval by the Office of Justice Programs. A copy of the grant award must be signed by the authorizing official and returned to the Office of Justice Programs.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. Applications will generally be approved or denied within 60 days of receipt of a complete application.

Appeals:

Not Applicable.

Renewals:

Contingent upon Congressional appropriations.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Generally awards will be for a one-year project and budget period. See the following for information on how assistance is awarded/released: Contact the headquarters office for additional information. Office of Justice Programs Financial Guide (www.ojp.usdoj.gov/financialguide/index.htm) and Post Award Instructions (www.ojp.usdoj.gov/funding/pdfs/post_award_instructions.pdf).

Reports:

Program reports are not applicable. Cash reports are not applicable. Recipients are required to submit individual progress reports. Recipients are required to submit quarterly financial reports. To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work.

Audits:

Not Applicable.

Records:

In accordance with the requirement set forth in 28 CFR, Parts 66 and 70, grantees must maintain all financial records, supporting documents, statistical records, and all other records pertinent to the award for at least 3 years following the close of the most recent audit.

Account Identification:

15-0404-0-1-754.

Obligations:

(Formula Grants) FY 12 \$3,563,762; FY 13 est \$3,720,000; and FY 14 est \$0

Range and Average of Financial Assistance:

No data available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

JRJ Program Manager U.S. Department of Justice
Office of Justice Programs
Bureau of Justice Assistance
810 7th Street, NW
, Washington, District of Columbia 20531 Email: AskBJA@usdoj.gov Phone:
(202) 616-6500

Website Address:

<http://www.bja.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

16.817 BYRNE CRIMINAL JUSTICE INNOVATION PROGRAM

BCJI

FEDERAL AGENCY:

Bureau of Justice Assistance, Department of Justice

AUTHORIZATION:

FY 2012 Appropriations Act Pub L. No. 112-55

BCSI Pub L. No. 113-6, 127 Stat. 198, 254.

OBJECTIVES:

The Byrne Criminal Justice Innovation (BCJI) program resources are targeted specifically to persistently distressed neighborhoods that have significant crime challenges and produce a significant proportion of crime or type of crime within the larger community or jurisdiction impeding broader neighborhood development goals. The goal of BCJI is to improve community safety by designing and implementing effective, comprehensive approaches to addressing crime within a targeted neighborhood as part of a broader strategy to advance neighborhood revitalization through cross-sector community-based partnerships. To achieve these goals, successful strategies must commit to accomplishing the following objectives:

- a) Identify a neighborhood with a concentration of crime hot spots which have for a period of time composed a significant proportion of crime or types of crime;
- b) Identify and build upon existing planning efforts, if any, to revitalize the neighborhood or address issues that relate to the crime issues identified;
- c) Enhance a community-based team with the presence of criminal justice, social service and neighborhood revitalization partners to implement the project;
- d) Offer ongoing community engagement and leadership building support and ensure the community is engaged in the process;
- e) Collaborate with local law enforcement and a research partner to conduct an analysis of crime drivers, an assessment of needs and available resources;
- f) Develop a strategy that offers a continuum of approaches to address the drivers of crime, including potentially, enforcement, prevention, intervention, and revitalization strategies;
- g) Establish effective partnerships both to provide solutions along the continuum and commit resources to sustain what works; and
- h) Implement a comprehensive and coordinated strategy with support from the BCJI training and technical assistance provider.
- i) Assess program implementation in collaboration with research partners, and plan for sustainment of effective strategies with private and public state, local and tribal funding.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Allowable uses of funds include the development and implementation of evidence-based strategies that target crime in locations where most crime is occurring and can have the biggest impact while also building the capacity of the community to deter future crime through neighborhood revitalization.

Applicant Eligibility:

Allowable uses of funds include the development and implementation of evidence-based strategies that target crime in locations where most crime is occurring and can have the biggest impact while also building the capacity of the community to deter future crime through neighborhood revitalization.

Beneficiary Eligibility:

Eligible entities to serve as fiscal agent include states, unit of local governments, non-profit organizations, and federally recognized Indian tribal governments as determined by the Secretary of the Interior.

Credentials/Documentation:

The application must include: Application for Federal Assistance (Standard Form 424); Program Abstract; Program Narrative; Budget and Budget Narrative; Project Timeline and Position Descriptions; Letters of Support. This

program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

The BCJI application requires a consortium of partners (hereinafter cross-sector partnership) to work together to design a strategy addressing a targeted crime problem and responding to the scope of this solicitation. The application must also show commitment from the local law enforcement agency, community leaders, and a research partner as part of this partnership through detailed letters of support outlining their participation and partnership in the project. This cross-sector partnership must designate one agency or organization as the Fiscal Agent. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. All competitive grant applications must be submitted electronically through Grants.gov. Applications or supplemental materials received by facsimile or postal mail will not be accepted.

Award Procedure:

Upon approval by the Assistant Attorney General, successful applicants are notified via the Grants Management System. One copy of the grant award must be signed by the authorized official and returned to the Office of Justice Programs.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Determined by the Assistant Attorney General, OJP.

Renewals:

Subject to annual appropriations.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Up to 36 months. See the following for information on how assistance is awarded/released: See the Office of Justice Programs Financial Guide 2011 (www.ojp.usdoj.gov/financialguide/index.htm) and Post award Instructions (www.ojp.usdoj.gov/funding/pdfs/post_award_instructions.pdf) for specifics.

Reports:

No program reports are required. No cash reports are required. Recipients are required to submit semi-annual Progress Reports. Recipients are required to submit quarterly Financial Reports. Recipients are required to submit semi-annual Progress Reports and quarterly Financial Reports. To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Payments and transactions are subject to audits by the Government Accountability Office, Department of Justices Office of the Inspector General, state or local government auditors, and auditors from independent public accounting firms. Jurisdictions must follow their local policies and procedures, including maintenance of reliable and accurate accounting systems, record keeping, and systems of internal

control.

All organizations that expend financial assistance of \$500,000 or more in any fiscal year must have a single audit for that year in accordance with OMB Circular No. A-133, as amended, unless the audit condition on the award says otherwise. These audits are due to the cognizant federal agency not later than 9 months after the end of the grantees fiscal year.

Records:

Recipients of federal funds are expected to retain documentation supporting all program transactions for at least 3 years after the closure of audit reports related to such funding. If any litigation, claim, negotiation, audit, or other action involving records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all related issues, or until the end of the regular 3-year period, whichever is later.

Account Identification:

15-0404-0-1-754.

Obligations:

(Project Grants (Discretionary)) FY 12 \$13,393,248; FY 13 est \$16,738,000; and FY 14 est \$35,000,000

Range and Average of Financial Assistance:

Category 1 Award: up to \$1 million
Category 2 Award: up to \$600,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Solicitation guidelines are posted on the Office of Justice Programs web site at <http://www.ojp.gov/funding/solicitations.htm>. For additional guidance reference the Office of Justice Programs Financial Guide 2011 (www.ojp.usdoj.gov/financialguide/index.htm) and Post award Instructions (www.ojp.usdoj.gov/funding/pdfs/post_award_instructions.pdf). Applicable OMB Circulars and Department of Justice regulations applicable to specific types of grantees can be found in title 28 of the Code of Federal Regulations (28 C.F.R.).

Regional or Local Office:

None.

Headquarters Office:

Bureau of Justice Assistance, U.S. Department of Justice
Office of Justice Programs
Bureau of Justice Assistance
810 7th Street, NW, Washington, District of Columbia 20531 Email: AskBJA@usdoj.gov Phone: (202) 616-6500 or 1-866-859-2647

Website Address:

<http://www.bja.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are described in the OJP Program Announcement available at <http://www.ojp.gov/funding/solicitations.htm>.

16.818 CHILDREN EXPOSED TO VIOLENCE

Defending Childhood

FEDERAL AGENCY:

Department of Justice

AUTHORIZATION:

Department of Justice Appropriations Act, 2012, Public Law 112-55, 552 Stat. 615.

OBJECTIVES:

Every year, millions of children and adolescents in the United States are

victimized and exposed to violence in their homes, schools, and neighborhoods. Child victims of or witnesses to violence may suffer devastating consequences beyond physical harm, which may include attachment difficulties, regressive behavior, anxiety, depression, and aggression. When these problems go unaddressed, children are at greater risk for school failure, substance abuse, repeat victimization, delinquency, gang involvement, and criminal behavior during adulthood. In short, mitigating children's exposure to violence is central to breaking the cycle of violence.

The Children Exposed to Violence program builds on and incorporates the knowledge gained through research, programs, and demonstration initiatives that have addressed the problem of children exposed to violence over the past decade. The Program features a comprehensive effort to identify successful policies and practices that address the trauma and harm that children exposed to violence experience in their homes, communities, and schools. The Program supports prevention, intervention, treatment, and community organizing strategies to implement a comprehensive continuum of care for children and teens, from birth through age 17, which have been exposed to violence. The ultimate goals of the Children Exposed to Violence Program is to reduce the severity of violence, reduce the frequency of violence, reduce the short- and long-term traumatic impact of violence, increase community safety and accountability, improve the response to children exposed to violence, improve the safety and well-being of children, and create a national dialogue on the issue of children exposed to violence.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Funds are available specifically to achieve the objectives of the Children Exposed to Violence Program. Use of the funds may be in support of prevention, intervention, treatment, and community organizing strategies to implement a comprehensive continuum of care for children and teens, from birth through age 17, who have been exposed to violence. As this is a discretionary grant programs, 100% of the funds are used for discretionary activities in support of program implementation.

Applicant Eligibility:

The Program supports prevention, intervention, treatment, and community organizing strategies to implement a comprehensive continuum of care for children and teens, from birth through age 17, which have been exposed to violence.

Beneficiary Eligibility:

The Program features a comprehensive effort to identify successful policies and practices that address the trauma and harm that children exposed to violence experience in their homes, communities, and schools.

Credentials/Documentation:

The application must include: Application for Federal Assistance (Standard Form 424); Program Abstract; Program Narrative; Budget and Budget Narrative.

Allowable cost will be determined in accordance with OMB Circular No. A-87 for State and local governments, A-21 for educational institutions, and A-122 for nonprofit organizations. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program.

Award Procedure:

Upon approval by the Assistant Attorney General, successful applicants are notified via the Grants Management System. One copy of the grant award must be signed by the authorized official and returned to the Office of Justice Programs.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 180 days.

Appeals:

Not Applicable.

Renewals:

Subject to annual appropriations.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants will be awarded for a 36 to 48 month project period. See the following for information on how assistance is awarded/released: See the following for information on how assistance is awarded/release: Office of Justice Programs Financial Guide 2011 (www.ojp.usdoj.gov/financialguide/index.htm) and Post Award Instructions (www.ojp.usdoj.gov/funding/pdfs/post_award_instructions.pdf).

Reports:

Program reports are not applicable. Cash reports are not applicable. Recipients are required to submit semi-annual Progress Reports. Recipients are required to submit quarterly Financial Reports. To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Recipients of federal funds are expected to retain documentation supporting all program transactions for at least 3 years after the closure of audit reports related to such funding. If any litigation, claim, negotiation, audit, or other action involving records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all related issues, or until the end of the regular 3-year period, whichever is later.

Account Identification:

15-0404-0-1-754.

Obligations:

(Project Grants (Discretionary)) FY 12 \$9,434,878; FY 13 est \$23,000,000; and FY 14 Estimate Not Available

Range and Average of Financial Assistance:

Awards may range up to \$2.5 million.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Children Exposed to Violence Program solicitations, Office of Justice Programs Financial Guide 2011 (www.ojp.usdoj.gov/financialguide/index.htm) and Post award Instructions (www.ojp.usdoj.gov/funding/pdfs/post_award_instructions.pdf), applicable OMB Circulars, and Department of Justice regulations applicable to specific types of grantees, which can be found in title 28 of the Code of Federal Regulations (28 C.F.R.).

Regional or Local Office:

None.

Headquarters Office:

Catherine Pierce U.S. Department of Justice
Office of Justice Programs
Office of Juvenile Justice and Delinquency Prevention
810 7th Street, NW, Washington, District of Columbia 20531 Email:
Catherine.Pierce@usdoj.gov Phone: 202-616-3637 Fax: 202-353-9093

Website Address:

<http://ojjdp.ncjrs.org>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are described in the OJP Program Announcement.

16.819 NATIONAL FORUM ON YOUTH VIOLENCE PREVENTION

FEDERAL AGENCY:

Department of Justice

AUTHORIZATION:

Department of Justice Appropriations Act, 2012, Public Law 112-55, 125 Stat. 552, 617.

OBJECTIVES:

The purpose of this project is to provide training and technical assistance to localities participating in the Forum and to assist the Forum Coordination Team (FCT) with the development and support of new Forum sites. The Forum was created to build the capacity of localities across the country to more effectively address youth violence through multi-disciplinary partnerships, balanced approaches, data-driven strategies, comprehensive planning and the sharing of common challenges and promising strategies. The Forum has the following overarching goals that serve as benchmarks of success: 1. Elevate youth and gang violence as an issue of national significance; 2. Enhance the capacity of participating localities, as well as others across the country, to more effectively prevent youth and gang violence; and, 3. Promote systems and policy change by expanding engagement, collaboration, and coordination in addressing youth violence at the national, state, and local levels.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Uses of these funds may be for one or more of the following purpose areas: Staff Coordination and Support; Management Information System Development or Enhancements; Development or Enhancements of Program Monitoring, Process Evaluation, and Outcome or Performance Evaluation (e.g. hiring a local research partner); Data Collection Efforts; and Training and Technical Assistance for Preventing School Violence and Community-Based Violence Prevention Strategies. Construction is an unallowable use of funds.

Applicant Eligibility:

NA.

Beneficiary Eligibility:

NA.

Credentials/Documentation:

The application must include: Application for Federal Assistance (Standard Form 424); Program Abstract; Program Narrative; Budget and Budget Narrative. Cost will be determined in accordance with OMB Circular No. A-87 for State and local governments, A-21 for educational institutions, and A-122 for nonprofit organizations. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applicants must submit completed applications via the Office of Justice Programs, Grants Management System or through grants.gov

following established criteria. The receipt, review, and analysis of applications will follow Office of Justice Programs policies and procedures for the administration of grant applications.

Award Procedure:

Upon approval by the Assistant Attorney General, successful applicants are notified via the Grants Management System. One copy of the grant award must be signed by the authorized official and returned to the Office of Justice Programs.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 180 days.

Appeals:

Not Applicable.

Renewals:

Subject to annual appropriations.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grants will be awarded for a 24 to 36 month project period. See the following for information on how assistance is awarded/released: See the following for information on how assistance is awarded/release: Office of Justice Programs Financial Guide 2011 (www.ojp.usdoj.gov/financialguide/index.htm) and Post Award Instructions (www.ojp.usdoj.gov/funding/pdfs/post_award_instructions.pdf).

Reports:

Program reports are not applicable. Cash reports are not applicable. Recipients are required to submit semi-annual Progress Reports. Recipients are required to submit quarterly Financial Reports. To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Payments and transactions are subject to audits by the Government Accountability Office, Department of Justices Office of the Inspector General, state or local government auditors, and auditors from independent public accounting firms. Jurisdictions must follow their local policies and procedures, including maintenance of reliable and accurate accounting systems, record keeping, and systems of internal control. These audits are due to the cognizant federal agency not later than 9 months after the end of the grantees fiscal year.

Records:

Recipients of federal funds are expected to retain documentation supporting all program transactions for at least 3 years after the closure of audit reports related to such funding. If any litigation, claim, negotiation, audit, or other action involving records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all related issues, or until the end of the regular 3-year period, whichever is later.

Account Identification:

15-0405-0-1-754.

Obligations:

(Project Grants (Discretionary)) FY 12 \$1,674,252; FY 13 est \$2,000,000; and FY 14 Estimate Not Available

Range and Average of Financial Assistance:

Capacity Building Awards up to \$125,000
 Training and Technical Assistance Award up to \$1 million.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

The National Forum on Youth Violence Prevention Program solicitation, Office of Justice Programs Financial Guide 2011 (www.ojp.usdoj.gov/financialguide/index.htm) and Post award Instructions (www.ojp.usdoj.gov/funding/pdfs/post_award_instructions.pdf), applicable OMB Circulars, and Department of Justice regulations applicable to specific types of grantees, which can be found in title 28 of the Code of Federal Regulations (28 C.F.R.).

Regional or Local Office:

None.

Headquarters Office:

Dennis Mondoro U.S. Department of Justice
 Office of Justice Programs
 Office of Juvenile Justice and Delinquency Prevention
 810 7th Street, NW, Washington, District of Columbia 20531 Phone:
 202-514-3913

Website Address:

<http://ojjdp.ncjrs.org/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The purpose of the Forum Capacity-Building Grants will be to foster the implementation and/or enhancement of local Youth Violence Prevention Plans for the existing six (6) sites that have been participating in the National Forum on Youth Violence Prevention initiative. This funding will provide jurisdictions with the ability to leverage other funds and give them tangible support as they continue the implementation process. Non-competitive awards in the amount of \$125,000 for a two year period will be made to each of the six (6) cities (Boston, Chicago, Detroit, Memphis, Salinas, and San Jose) that have completed plans. Applications for the National Forum on Youth Violence Prevention Training and Technical Assistance Program solicitation will be peer-reviewed and will be selected based on the following criteria: Statement of the Problem (5 percent of 100); Goals, Objectives and Performance Measures (20 percent of 100); Project Design and Implementation (20 percent of 100); Capabilities and Competencies (40 percent of 100); Budget (complete; reasonable and allowable; cost-effective, and necessary for project activities) (15 percent of 100).

16.820 POSTCONVICTION DNA TESTING PROGRAM

Kirk Bloodsworth Program

FEDERAL AGENCY:

National Institute of Justice, Department of Justice

AUTHORIZATION:

Department of Justice Appropriations Act, 2012, Public Law 112-55.

OBJECTIVES:

This program offers assistance to States to help defray the costs associated with postconviction DNA testing of cases of violent felony offenses where actual innocence might be demonstrated. Specifically, funding may be used to review postconviction cases of violent felony offenses (as defined by State law), and to locate and analyze biological evidence samples associated with these cases. Where a strong justification is provided, a small percentage of Federal award funds (not to exceed 15 percent) may be used for permissible case identification activities. For the purposes of this program Case identification means performing outreach and/or initial screening activities, other than case review as defined below, designed to identify postconviction cases of violent felony offenses (as defined by State law) where DNA analysis might demonstrate

actual innocence. Permissible outreach and initial screening mechanisms are face-to-face meetings, closed-circuit television meetings, eligibility letters/questionnaires/applications, telephone calls, and computerized searches of state court records. Case review means review of files or documentation of postconviction cases of violent felony offenses (as defined by State law) by appropriate persons (e.g., prosecutors, public defenders, law enforcement personnel, and medical examiners) to determine whether biological evidence exists that might, through DNA analysis, demonstrate the actual innocence of the person previously convicted. Locate evidence means seeking to locate, following a case review, biological evidence that, through DNA analysis, might demonstrate actual innocence, through activities such as the searching of files, storage facilities, and evidence rooms. DNA analysis of biological evidence includes the handling, screening, and DNA analysis of biological evidence located in connection with a case review. States receiving Postconviction DNA Testing Assistance funds are expected to--1. Review appropriate postconviction cases to identify those in which DNA testing could prove the actual innocence of a person convicted of a violent felony offense(s) as defined by State law. 2. Locate biological evidence associated with such postconviction cases. 3. Perform DNA analysis of appropriate biological evidence.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Funds may be used to acquire laboratory supplies for DNA analysis of biological evidence; pay overtime for people directly engaged in case review, location of evidence, or DNA analysis of biological evidence; to hire consultants and/or temporary contract staff to conduct case reviews, locate evidence, or conduct DNA analysis of biological evidence; for contracts with accredited fee-for-service vendors to conduct DNA analysis of biological evidence; to upgrade, replace, lease, or purchase computer hardware or software that will be used exclusively for case review, location of evidence, or DNA analysis of biological evidence; for salaries and benefits of additional full- or part-time employees to the extent such employees are directly engaged in case review, location of evidence, or DNA analysis of biological evidence; and, in limited cases, for case identification activities. Funds may not be used for salaries and benefits for victim advocacy services; laboratory equipment; construction; renovation; and outreach and initial screening activities not included in case identification."

Applicant Eligibility:

Not applicable.

Beneficiary Eligibility:

Not applicable.

Credentials/Documentation:

To be eligible for an award, a State must submit an express certification from the chief legal officer of the State (typically the Attorney General) that the State 1. Provides postconviction DNA testing of specified biological evidence under a State statute, or under State rules, regulations, or practices, to persons convicted after trial and under a sentence of imprisonment or death for a State offense of murder or forcible rape, in a manner intended to ensure a reasonable process for resolving claims of actual innocence. 2. Preserves biological evidence secured in relation to the investigation or prosecution of a State offense of murder or forcible rape, under a State statute, local ordinances, or State or local rules, regulations, or practices, in a manner intended to ensure that reasonable measures are taken by all jurisdictions within the State to preserve such evidence. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

A State must submit a specific certification personally executed by its chief legal officer (typically the Attorney General) regarding the provision of postconviction DNA testing and preservation of biological evidence. To establish eligibility, the certification must be received by the National Institute of Justice (NIJ) no later than the application deadline as an attachment to the application. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Applicants must submit

completed applications via the Grants.gov following established procedures. The application must include: Application for Federal Assistance (Standard Form 424); Program Abstract; Program narrative; Budget Detail and Narrative; and Certification as to Provision of Postconviction DNA Testing and Preservation of Biological Evidence. The receipt, review, and analysis of applications will follow Office of Justice Programs policies and procedures for the administration of grant applications.

Award Procedure:

Grants are awarded by the Institute Director based on the recommendations of criminal justice experts from outside reviewers and Institute staff. Successful applicants are notified via the Grants Management System. One copy of the grant award must be signed by the authorized official and returned to the Office of Justice Programs.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

If the entity is a successful applicant and the project is selected for funding, the National Institute of Justice (NIJ) will notify the applicant of the award no later than September 30 of the calendar year via the Office of Justice Programs Grants Management System. If unsuccessful, NIJ will issue a rejection letter by December 30 of the calendar year.

Appeals:

Hearings by the Director.

Renewals:

The request to change the end date may be submitted by the recipient within 30 calendar days before the project period end date or at any time by the grant manager. Extensions for no more than 12 months past the original end date are typically granted. A request to extend the project period for more than 12 months requires justification of extraordinary circumstances.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grants under this program are limited to a maximum period of 24 months after the start of the award. See the following for information on how assistance is awarded/released: See the following for information on how assistance is awarded/release: Office of Justice Programs Financial Guide 2011 (www.ojp.usdoj.gov/financialguide/index.htm) and Post Award Instructions (www.ojp.usdoj.gov/funding/pdfs/post_award_instructions.pdf).

Reports:

Program reports are not applicable. Cash reports are not applicable. Recipients are required to submit semi-annual and final Progress Report(s). Recipients are required to submit quarterly Financial Reports. To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. These audits are due to the cognizant federal agency not later than 9 months after the end of the grantees fiscal year. Payments and transactions are subject to audits by the Government Accountability Office, Department of Justices Office of the Inspector General, state or local government auditors, and auditors from independent public accounting firms. Jurisdictions must follow their local policies and procedures, including maintenance of reliable and accurate accounting systems, record

keeping, and systems of internal control.

Records:

Recipients of federal funds are expected to retain documentation supporting all program transactions for at least 3 years after the closure of audit reports related to such funding. If any litigation, claim, negotiation, audit, or other action involving records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all related issues, or until the end of the regular 3-year period, whichever is later.

Account Identification:

15-0404-0-1-754.

Obligations:

(Project Grants (Discretionary)) FY 12 \$4,000,000; FY 13 est \$3,720,000; and FY 14 est \$0

Range and Average of Financial Assistance:

Approximately \$3.5 million may become available for up to 10 awards. All awards are subject to the availability of appropriated funds and to any modifications or additional requirements that may be imposed by law.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Office of Justice Programs Financial Guide 2011 (www.ojp.usdoj.gov/financialguide/index.htm) and Post award Instructions (www.ojp.usdoj.gov/funding/pdfs/post_award_instructions.pdf), applicable OMB Circulars, and Department of Justice regulations applicable to specific types of grantees, which can be found in title 28 of the Code of Federal Regulations (28 C.F.R.).

Regional or Local Office:

None.

Headquarters Office:

Michael Dillon United States Department of Justice
Office of Justice Programs
National Institute of Justice
810 7th Street, NW, Washington, District of Columbia 20531 Email:
Michael.Dillon3@usdoj.gov Phone: 202-514-5528

Website Address:

<http://www.nij.gov>

RELATED PROGRAMS:

16.560 National Institute of Justice Research, Evaluation, and Development Project Grants

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: Not applicable. Fiscal Year 2014: Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are described in the OJP Program Announcement available at <http://www.ojp.gov/funding/solicitations.htm>.

16.822 NATIONAL CENTER FOR CAMPUS PUBLIC SAFETY

National Center

FEDERAL AGENCY:

Bureau of Justice Assistance, Department of Justice

AUTHORIZATION:

The Consolidated and Further Continuing Appropriations Act, 2013, Public Law 113-6.

OBJECTIVES:

To be a resource for campus police chiefs, directors of public safety, emergency managers, and key campus safety stakeholders. The National Center will be a catalyst that brings together all forms of campus public safety, professional associations, advocacy organizations, community leaders, and others to improve and expand services to those who are charged with providing a safe environment on the campuses of the nation's colleges and universities.

The National Center is envisioned as a think tank and clearinghouse for the identification and dissemination of information, research, training, and promising best practices and emerging issues in campus public safety. The National Center will identify and examine emerging risks to campus safety and security and develop resources to combat those risks and facilitate the transfer of research to practice.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

The National Center will work closely with the Department of Justice to identify and prioritize the needs of the field and to reach out to key stakeholders and identify their role in the National Center; serve as the one-stop shop for campus public safety; connect existing federal and non-federal resources with the needs of constituents; connect major campus public safety entities with one another, and with federal agencies, as well as highlight and promote best and innovative practices specific to campus public safety efforts and integrate key issues in public safety in order to develop comprehensive responses that meet the complexity of needs on campus; and, deliver essential training and technical assistance specific to campus public safety.

Applicant Eligibility:

A demonstrated knowledge of campus public safety needs and demonstrated experience and infrastructure for successfully carrying out a multi-faceted initiative with multiple campus stakeholder groups is required.

Beneficiary Eligibility:

State and local governments, public and private organizations.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. The standard application forms, as furnished by the federal agency and required by the Common Rule, must be used for this program. All applications must be submitted electronically via Grants.gov (www.grants.gov) or the Office of Justice Programs' Grants Management System (GMS) at <https://grants.ojp.usdoj.gov>. Applications or supplemental materials received by facsimile or postal mail will not be accepted.

Award Procedure:

Upon approval by the Assistant Attorney General, successful applicants are notified via the Grants Management System. One copy of the grant award must be signed by the authorized official and returned to the Office of Justice Programs.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Varies for competitive programs, from 30 to 180 days.

Appeals:

See 28 CFR Part 18.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The term of the program budget period is 24 months. See the following for

information on how assistance is awarded/released: See the following for information on how assistance is awarded/release: Office of Justice Programs Financial Guide 2011 (www.ojp.usdoj.gov/financialguide/index.htm) and Post Award Instructions (www.ojp.usdoj.gov/funding/pdfs/post_award_instructions.pdf).

Reports:

No program reports are required. No cash reports are required. Yes, unless otherwise specified in the award's special conditions, progress reports are due semi-annually. Yes, unless otherwise specified in the award's special conditions, financial reports are due quarterly. Yes, to assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Payments and transactions are subject to audits by the Government Accountability Office, Department of Justice's Office of the Inspector General, state or local government auditors, and auditors from independent public accounting firms. Jurisdictions must follow their local policies and procedures, including maintenance of reliable and accurate accounting systems, record keeping, and systems of internal control.

Records:

Recipients of federal funds are expected to retain documentation supporting all program transactions for at least 3 years after the closure of audit reports related to such funding. If any litigation, claim, negotiation, audit, or other action involving records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all related issues, or until the end of the regular 3-year period, whichever is later.

Account Identification:

15-0404-0-1-754.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 12 \$0; FY 13 est \$2,557,000; and FY 14 est \$0

Range and Average of Financial Assistance:

One award not to exceed \$2,296,850.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Solicitation guidelines are posted on the Office of Justice Programs web site at <http://www.ojp.gov/funding/solicitations.htm>.

For additional guidance reference the Office of Justice Programs Financial Guide 2011 (www.ojp.usdoj.gov/financialguide/index.htm) and Post award Instructions (www.ojp.usdoj.gov/funding/pdfs/post_award_instructions.pdf). Applicable OMB Circulars and Department of Justice regulations applicable to specific types of grantees can be found in title 28 of the Code of Federal Regulations (28 C.F.R.).

Regional or Local Office:

None.

Headquarters Office:

BJA, Office of Justice Programs, Bureau of Justice Assistance, Department of Justice, 810 Seventh Street, NW., Washington, District of Columbia 20531
Email: AskBJA@usdoj.gov Phone: 202-616-6500 or 1-866- 859-2647.

Website Address:

<http://www.bja.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are described in the OJP Program Announcement available at <http://www.ojp.gov/funding/solicitations.htm>.

16.823 EMERGENCY PLANNING FOR JUVENILE JUSTICE FACILITIES

Emergency Planning for Juvenile Justice Facilities

FEDERAL AGENCY:

Office of Juvenile Justice and Delinquency Prevention, Department of Justice

AUTHORIZATION:

Department of Justice Appropriations Act, 2013.

OBJECTIVES:

The purpose of this project is to provide funding to States to develop comprehensive plans that address the specific needs of children, youth, and families involved in the justice system during an emergency. Specifically, the initiative supports implementation of the principles outlined in the OJJDP report, Emergency Planning for Juvenile Justice Residential Facilities (www.ncjrs.gov/pdffiles1/ojjdp/234936.pdf). This report is targeted to state, county, and local juvenile justice authorities charged with the custodial care and supervision of youth in the juvenile justice system, with particular focus on those authorities who oversee residential treatment and correctional and detention facilities that house juveniles via court-ordered placements. The principles outlined in this document may also apply to emergency planning for youth in out-of-home placement.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Describe how assistance can potentially be used. The primary purpose of the use of these funds is to support the development of comprehensive emergency plans for juvenile facilities within the State. To accomplish this task, assistance may be used for a number of key activities to include hiring consultants to facilitate the planning process, emergency preparedness training for staff; conducting needs assessments; development of protocols for communication with families, other agencies, and the public; and arranging for effective emergency medical care and mental health services. The goal of developing the emergency plan is to help ensure the efficient continuation of operations during an emergency, the reduction of risk to the physical plant, and, most importantly, the safety and well-being of youth and staff in our nations juvenile justice residential facilities. Funds may not be used for construction.

Applicant Eligibility:

The primary purpose of the use of these funds is to support the development of comprehensive emergency plans for juvenile facilities within the State. Funds may be used to hire consultants to facilitate the planning process, emergency preparedness training for staff; conducting needs assessments; development of protocols for communication with families, other agencies, and the public; and arranging for effective emergency medical care and mental health services. The goal of developing the emergency plan is to help ensure the efficient continuation of operations during an emergency, the reduction of risk to the physical plant, and, most importantly, the safety and well-being of youth and staff in our nations juvenile justice residential facilities.

Beneficiary Eligibility:

The only eligible applicant for these funds is the designated state agency that receives Title II Formula Grant funds from OJJDP. However, the activities supported by this initiative will also benefit local facilities within the state, delinquent youth and their families. Note that the term state means any state of the United States, the District of Columbia, American Samoa, Guam, the Northern Mariana Islands, Puerto Rico, and the U.S. Virgin Islands.

Credentials/Documentation:

The application must include: Application for Federal Assistance (Standard Form 424); Program Abstract; Program Narrative; Budget and Budget Narrative. In addition, applicants must provide signed and dated letters of support or memoranda of understanding for the following key partners:

State Office/Agency of Emergency Management

State Juvenile Justice Agency and State Agency responsible for Juvenile Corrections (if not the same agency). OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Applicants must submit completed applications via the Office of Justice Programs, Grants Management System or through grants.gov following established criteria. The receipt, review, and analysis of applications will follow Office of Justice Programs policies and procedures for the administration of grant applications.

Award Procedure:

Upon approval by the Assistant Attorney General, successful applicants are notified via the Grants Management System. One copy of the grant award must be signed by the authorized official and returned to the Office of Justice Programs.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

See 28 CFR Part 18 for Appeals.

Renewals:

The terms for these grant program budget periods range from 18 to 24 months. No cost extensions of up to 1 year are available.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grants will be awarded for a 18 month project period but can be extended. There is no restriction placed on the time permitted to spend the money awarded. See the following for information on how assistance is awarded/released: See the following for information on how assistance is awarded/release: Office of Justice Programs Financial Guide 2011 (www.ojp.usdoj.gov/financialguide/index.htm) and Post Award Instructions (www.ojp.usdoj.gov/funding/pdfs/post_award_instructions.pdf).

Reports:

see award. No cash reports are required. Recipients are required to submit semi-annual Progress Reports and quarterly Financial Reports. Recipients are required to report quarterly financial reports to OJP. To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Recipients of federal funds are expected to retain documentation supporting all program transactions for at least 3 years after the closure of audit reports related to such funding. If any litigation, claim, negotiation, audit, or other action

involving records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all related issues, or until the end of the regular 3-year period, whichever is later.

Account Identification:

15-0405-0-1-754.

Obligations:

(Project Grants (Discretionary)) FY 12 \$0; FY 13 est \$467,000; and FY 14 est \$0

Range and Average of Financial Assistance:

\$70,000-\$91,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

The Emergency Planning for Juvenile Justice Facilities solicitation, Office of Justice Programs Financial Guide 2011

(www.ojp.usdoj.gov/financialguide/index.htm) and Post award Instructions (www.ojp.usdoj.gov/funding/pdfs/post_award_instructions.pdf) applicable OMB Circulars, and Department of Justice regulations applicable to specific types of grantees, which can be found in title 28 of the Code of Federal Regulations (28 C.F.R.).

Regional or Local Office:

None.

Headquarters Office:

Kellie Dressler U.S. Department of Justice
Office of Justice Programs
Office of Juvenile Justice and Delinquency Prevention
810 7th Street, NW, Washington, District of Columbia 20531 Phone:
202-514-4817

Website Address:

<http://www.ojjdp.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The following five selection criteria will be used to evaluate each application, with the different weight given to each based on the percentage value listed after each individual criteria

1. Statement of the Problem (15 percent)
2. Goals, Objectives and Performance Measures (5 percent)
3. Project Design and Implementation (45 percent)
4. Capabilities and Competencies (30 percent)
5. Budget: complete, cost effective, and allowable (e.g., reasonable, allocable, and necessary for project activities) (5 percent).

16.824 EMERGENCY LAW ENFORCEMENT ASSISTANCE GRANT (EFLEA)

FEDERAL AGENCY:

Bureau of Justice Assistance, Department of Justice

AUTHORIZATION:

Justice Assistance Act of 1984, Section 609M, Public Law 98-473, 42 U.S.C 10513.

OBJECTIVES:

The purpose is to provide necessary assistance to (and through) a state government to provide an adequate response to an uncommon situation that requires law enforcement or threatens to become of serious or epidemic proportions, and in which state and local resources are inadequate to protect the lives and property of citizens or to enforce the criminal law.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Assistance can be used for personnel costs, to include overtime or straight time for officers affected by the emergency situation. The funds may not be used as noted below:

The perceived need for planning or other activities related to crowd control for general public safety projects; and

A situation requiring the enforcement of laws associated with scheduled public events, including political conventions and sporting events.

Applicant Eligibility:

Not Applicable.

Beneficiary Eligibility:

Not Applicable.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

The applicant will submit the required paperwork through OJP's Grants Management System (GMS), and the program office will review all relevant materials. Before the award can be processed, a financial clearance must be approved. BJA will make the award through the GMS system and provide notification to the grantee. The grantee must sign the acceptance of the award package before the award is complete. BJA will review all applications in consultation with appropriate members of the federal law enforcement community, including the United States Attorney(s) in the affected District(s).

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 1 to 15 days. BJA is responsible for approving or disapproving the application not later than 10 days after receiving the application.

Appeals:

See CFR 28, part 18 for applicable OJP appeals procedures.

Renewals:

> 180 Days. The recipient may request a no-cost extension to the performance period, which is typically between 180 and 365 days.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The project period is normally 12 months, with availability of project period extensions.

See the following for information on how assistance is awarded/release: Office of Justice Programs Financial Guide 2011 (www.ojp.usdoj.gov/financialguide/index.htm) and Post Award Instructions (www.ojp.usdoj.gov/funding/pdfs/post_award_instructions.pdf). Method of awarding/releasing assistance: lump sum.

Reports:

No program reports are required. No cash reports are required. Recipients are required to submit semi-annual Progress Reports. Recipients are required to report quarterly financial reports to OJP. To assist in fulfilling the Department's responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111-352, recipients must provide data that measures the results of their work.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Recipients of federal funds are expected to retain documentation supporting all program transactions for at least 3 years after the closure of audit reports related to such funding. If any litigation, claim, negotiation, audit, or other action involving records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all related issues, or until the end of the regular 3-year period, whichever is later.

Account Identification:

15-0404-0-1-754.

Obligations:

(Project Grants) FY 12 \$0; FY 13 est \$3,255,000; and FY 14 Estimate Not Available

Range and Average of Financial Assistance:

Awards may be made up to the amount available under this program.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of Section 609M of the Justice Assistance Act of 1984 (42 USC 10513, Public Law 98-473).

Regional or Local Office:

None.

Headquarters Office:

Tracy Trautman US Department of Justice
Office of Justice Programs
Bureau of Justice Assistance
810 7th Street, NW, Washington, District of Columbia 20531 Phone:
202-616-6500

Website Address:

<http://www.bja.gov>

RELATED PROGRAMS:

97.036 Disaster Grants - Public Assistance (Presidentially Declared Disasters)

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

- 1) Problem: The nature and extent of such emergency throughout a state or in any part of a state;
- 2) Cause: The situation or extraordinary circumstances which produced such emergency;
- 3) Resources: The availability of state and local criminal justice resources to resolve the problem;
- 4) Assistance required: The cost associated with the increased federal presence;
- 5) Other assistance: The need to avoid unnecessary federal involvement and intervention in matters primarily of state and local concern; and

Other requirements: Any assistance that the state or other appropriate unit of government has received, or could receive, under any provision of Title I of the Omnibus Crime Control and Safe Streets Act of 1968 (JAG funding).

16.922 EQUITABLE SHARING PROGRAM**FEDERAL AGENCY:**

Criminal Division, Department of Justice

AUTHORIZATION:

The Controlled Substances Act, 21 U.S.C 881(e); Civil Asset Forfeiture Reform

Act (CAFRA), 18 U.S.C 981(e).

OBJECTIVES:

The Department of Justice Asset Forfeiture Program (the Program) is a nationwide law enforcement initiative that removes the tools of crime from criminal organizations, deprives wrongdoers of the proceeds of their crimes, recovers property that may be used to compensate victims, and deters crime. The most important objective of the Program is law enforcement. Equitable sharing further enhances this law enforcement objective by fostering cooperation among federal, state, and local law enforcement agencies. Federal law authorizes the Attorney General to share federally forfeited property with participating state and local law enforcement agencies. The exercise of this authority is discretionary and limited by statute. The Attorney General is not required to share property in any case. The U.S. Department of the Treasury administers its own Asset Forfeiture Program. For policies and program detail, please visit the Treasury Executive Office for Asset Forfeiture website www.treas.gov/offices/enforcement/teofaf/.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use (Cooperative Agreements)

USES AND USE RESTRICTIONS:

The Comprehensive Crime Control Act of 1984 authorized federal officials to implement a national asset forfeiture program, asset forfeiture has become one of the most powerful tools for targeting criminals, including drug dealers and white collar criminals who prey on the vulnerable for financial gain. Forfeiture statutes are now prevalent throughout the federal legal code and their use, along with other important anti-crime measures, has had a significant impact on crime. One of the most important provisions of asset forfeiture is the authorization to share federal forfeiture proceeds with cooperating state and local law enforcement agencies. The Department of Justice Asset Forfeiture Program serves not only to deter crime but also to provide valuable additional resources to state and local law enforcement agencies. The Guide to Equitable Sharing for State and Local Law Enforcement Agencies Guide, as revised from time to time, serves to promote and maintain the integrity of the equitable sharing program so that it can continue to merit public confidence and support. The Guide is supplemented with the National Code of Professional Conduct for Asset Forfeiture (see Appendix B) and all seizing and prosecutorial agencies must ensure compliance with the Code. The Guide seeks to assist state and local law enforcement agencies participating in the program by clarifying the directives they must follow to obtain and use equitably shared funds. The goal is to make the process as clear as possible so that local communities and the nation can thrive from reduced crime and from quality law enforcement. Except as noted in the Guide, equitably shared funds must be used by law enforcement agencies for law enforcement purposes only. Subject to laws, rules, regulations, and orders of the state or local jurisdiction governing the use of public funds available for law enforcement purposes, specified expenses are pre-approved as permissible uses of shared funds and property. To avoid a conflict of interest or the appearance of a conflict of interest, any employee of any federal, state, or local governmental agency (or members of his or her immediate family or those residing in his or her household) who was involved in the investigation which led to the forfeiture of the property to be sold by the USMS contractor is prohibited from purchasing, either directly or indirectly, forfeited property. Additionally, Department of Justice employees and contractors may not, without prior written approval of a designated agency official, directly or indirectly purchase property that has been forfeited to the United States; or personally use such property that has been directly or indirectly purchased from the United States by a member of his or her immediate family. The fact that shared property was forfeited as a result of a particular federal violation does not limit its use. For example, when an agency receives a share of property that was forfeited for a federal drug violation, the recipient is not limited in its use of the property in the recipient agency's drug enforcement program. Among the permissible uses of equitable sharing funds, priority should be given to supporting community policing activities, training, and law enforcement operations. Except as noted in the Guide, equitably shared funds must be used by law enforcement agencies for law enforcement purposes only. Subject to laws, rules, regulations, and orders of the state or local jurisdiction governing the use of public funds available for law enforcement purposes, specified expenses are pre-approved as permissible uses of shared funds and property. The fact that shared property was forfeited as a result of a

particular federal violation does not limit its use. For example, when an agency receives a share of property that was forfeited for a federal drug violation, the recipient is not limited in its use of the property in the recipient agency's drug enforcement program. Among the permissible uses of equitable sharing funds, priority should be given to supporting community policing activities, training, and law enforcement operations.

Applicant Eligibility:

A state or local law enforcement agency meeting eligibility requirements set forth in the Guide and that directly participates in an investigation or prosecution that results in a federal forfeiture may request an equitable share of the net proceeds of the forfeiture. For purposes of equitable sharing, the Department of Justice defines law enforcement agency as a state or local government organization authorized to engage in as its primary function the investigation and apprehension, or the prosecution of individuals suspected or convicted of offenses against the criminal laws of the United States or of any state, county, municipality, or territory of the United States. A state National Guard generally does not meet the law enforcement agency criteria for participation in the Department of Justice Equitable Sharing Program because its primary mission is a military or other non-law enforcement purpose. An individual National Guard unit, however, may qualify for sharing if: (1) It is a distinct unit of a state National Guard that has counterdrug activities as its primary mission and receives funding solely for this purpose; or (2) It is a military police or similar state National Guard unit that provides support to federal law enforcement agencies in counterdrug activities. No sharing request or recommendation, including shares negotiated in a task force or other agreement, is final until approved by the federal deciding authority. In accordance with the Crime Victims Rights Act and the Attorney Generals authority, the Department of Justice gives priority in the distribution of forfeited assets to valid owners, lien holders, federal financial regulatory agencies, and victims (in that order) through petitions for remission or mitigation or requests for restoration. After losses to the above parties have been satisfied, any remaining net proceeds can be shared with eligible state and local law enforcement agencies.

Beneficiary Eligibility:

A state or local law enforcement agency meeting eligibility requirements set forth in the Guide and that directly participates in an investigation or prosecution that results in a federal forfeiture may request an equitable share of the net proceeds of the forfeiture.

Credentials/Documentation:

No sharing request or recommendation pertaining to an eligible equitable sharing participant, including shares negotiated in a task force or other agreement, is final until approved by the federal deciding authority as set forth in the Guide. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. After the seizure in a joint investigation or adoption in an adoptive case, a participating state or local agency meeting all requirements set forth in the Guide may request a share of the property by submitting a Form DAG-71, Application for Transfer of Federally Forfeited Property, to the federal seizing agency. A separate Form DAG-71 must be completed for each asset to be shared. An eligible state or local law enforcement agency requesting federal adoption of a state or local seizure must comply with all applicable state laws and regulations pertaining to the transfer of seized property to a federal law enforcement agency, including any requirement for a state judicial order or prosecutorial consent (e.g., declination letter) for such transfer.

Award Procedure:

Federal law authorizes the Attorney General to share federally forfeited property with participating state and local law enforcement agencies. The exercise of this authority is discretionary and limited by statute. The Attorney General is not required to share property in any case. No sharing request or

recommendation is final until approved by the federal deciding authority. The equitable sharing deciding authority levels are specified in the Guide.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days. Generally from 120 to 180 days after forfeiture, however longer periods may be required in complex cases.

Appeals:

The exercise of this authority is discretionary and limited by statute. It is not subject to appeal or court review.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. Equitable Sharing funds are eligible to cover the costs associated with paying a state or local law enforcement agency's matching contribution or share in a federal grant program, provided that the grant funds are used for a permissible law enforcement purpose in accordance with the Guide or where such use is authorized by federal law. Equitable Sharing awards have Non-supplanting requirements.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Not Applicable. See the following for information on how assistance is awarded/released: Funds are disbursed to participating agencies upon approval of individual asset sharing decisions.

Reports:

To ensure effective management, promote public confidence in the integrity of the Equitable Sharing Program, and protect the Program against potential waste, fraud, and abuse, the Department of Justice has established reporting requirements for participating law enforcement agencies that include the annual submission of the Equitable Sharing Agreement and Certification form and, if applicable, an audit report. A state or local law enforcement agency must be in compliance with the reporting requirements set forth in this Guide to receive any distribution of money or property under the Equitable Sharing Program. As a prerequisite to participating in the Department of Justice Equitable Sharing Program, a state or local law enforcement agency must annually submit to AMIL'S a signed Equitable Sharing Agreement and Certification form. The agreement must be signed by the head of the law enforcement agency and a designated official of the governing body. By signing the Affidavit, the signatories agree to be bound by the statutes and guidelines that regulate the equitable sharing program and certify that the law enforcement agency will comply with these guidelines and statutes. State and local law enforcement agencies must submit this form within 60 days after the end of an agency's fiscal year, regardless of whether funds were received or maintained during the fiscal year. The report must be completed electronically and emailed to aca.submit@usdoj.gov. Please see description under "Program Report". Please see description under "Program Report". Please see description under "Program Report". Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Financial records, supporting documents, and all other records pertinent to equitable sharing shall be retained for a period of 5 years following the conclusion of Federal assistance.

Account Identification:

15-5042-0-2-752 - Asset Forfeiture Fund, Equitable Sharing.

Obligations:

(Direct Payments for Specified Use (Cooperative Agreements)) FY 12 Not Available; FY 13 Estimate Not Available; and FY 14 Estimate Not Available

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Guide to Equitable Sharing for State and Local Law Enforcement Agencies (April 2009).

Regional or Local Office:

None.

Headquarters Office:

AFMLS ACA 1400 New York Ave, NW, Washington DC, District of Columbia 20005 Email: AFMLS.ACA@usdoj.gov Phone: 202-514-1263

Website Address:

<http://www.justice.gov/criminal/afmls/equitable-sharing/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

DEPARTMENT OF LABOR

17.201 REGISTERED APPRENTICESHIP**FEDERAL AGENCY:**

Employment Training Administration, Department of Labor

AUTHORIZATION:

National Apprenticeship Act of 1937 (Fitzgerald Act), Title 29, Part 29, Public Law 075-75-308, 50 Stat. 664, 29 U.S.C 50-50a-50b.

OBJECTIVES:

To promote, engage, and assist industry in the development, expansion, and improvement of Registered Apprenticeship. This program is designed to provide the skilled workers required by U.S. employers, ensure equal employment opportunities, and the quality of all new and existing Registered Apprenticeship programs.

TYPES OF ASSISTANCE:

Information; Project Grants (for specified projects); Training

USES AND USE RESTRICTIONS:

Under the Office of Apprenticeship (OA), apprenticeship training programs and apprentices are registered in 25 States. OA also provides technical assistance and works closely with State Apprenticeship Agencies (SAAs) in the remaining 25 States, the District of Columbia, Guam, and two territories that register programs and apprentices in accordance with Federal standards. OA provides information on existing and recommended standards of training in Registered Apprenticeship. The wage rates of apprentices in registered programs (Federal and State) are exempt from the prevailing wage requirements of the Davis-Bacon Act and the Service Contract Act.

Registered Apprenticeship combines job-related technical instruction with structured on-the-job learning experiences in a skilled occupation. Registered programs are driven directly by the demands of the labor market. The training is industry-recognized, voluntarily sponsored by an individual business or an employer association and may be partnered with a labor organization through a collective bargaining agreement. OA brings these entities together, as appropriate, to formulate Registered Apprenticeship training programs which meet the federal standards. Various educational institutions, including institutions employing distance and computer-based learning approaches, and sponsors provide related instruction, technical training, or other certified training. Registered Apprenticeship is highly active in traditional industries

such as construction and manufacturing. With the goal to provide a pipeline of skilled workers, the program is also instrumental in providing skill occupational training in other sectors such as health care, energy, and homeland security.

The Advisory Committee on Apprenticeship (ACA) is an advisory board to the Secretary of Labor whose members represents employers, labor organizations, members of the public, as well as the education community, and others entities with an interest in skill training. The ACA provides advice and recommendations to the Secretary of Labor on apprenticeship and training issues. Special grants are provided to eligible organizations to assist employers and labor unions in placement and retention of women in apprenticeship and nontraditional occupations.

Applicant Eligibility:

For Registered Apprenticeship programs, employers, a group of employers, or an association of employers, or individual employers with or without in each case the participation of a labor union. There are no grant funds available to employers for construction of training facilities, curricula development, and apprentices' subsidies. Women in Apprenticeship and Non-Traditional Occupations (WANTO) grants fund innovative projects that are designed to improve women's participation in Registered Apprenticeship programs for nontraditional occupations in a broad range of industries. The funds are awarded to community-based organizations that assist employers to improve the recruitment, hiring, training, employment, and retention of women in apprenticeships. These grants are jointly administered by the Department of Labor's Women's Bureau and the Employment and Training Administration.

Beneficiary Eligibility:

Registered Apprenticeship program sponsors identify the minimum qualifications to apply into their apprenticeship programs. Individuals applying for acceptance into an apprenticeship program must be at least 16 years old. To be an apprentice in a hazardous occupation, the individual must usually be 18 years. Program sponsors may also identify additional minimum qualifications and credentials to apply, e.g., education, ability to physically perform the essential functions of the occupation, ability to complete the related technical instruction, proof of age.

Credentials/Documentation:

Along with the completed application form, each prospective apprentice may be required by the program sponsor to submit a transcript of school subjects and grades, proof of age, an honorable military discharge (if applicable), and a high-school diploma or equivalence certificate (if applicable). References from all previous employers may be required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Prospective program sponsors must meet with an OA or SAA field representative, as appropriate, to develop a set of apprenticeship training program standards. These include the age and educational background of apprentices, and a schedule of the work processes and related technical instruction subjects to be covered during the training program. The program will be registered if it meets Federal requirements covering (a) the apprenticeability of the occupation(s) and (b) the suitability of the training standards for providing apprentices with sufficient knowledge to become skilled workers. Grants for projects are awarded on a competitive basis, announced in Solicitation for Grant Applications (SGAs) in the Federal Register and also on the Employment and Training Administration's (ETA's) Internet website at <http://www.doleta.gov>. To compete for a grant, organizations develop a proposal and budget that demonstrate how the organization will provide services to a targeted population. The SGA provides all of the necessary information for applying for federal assistance.

Award Procedure:

Not applicable for advisory services and counseling type of assistance. Procedure for award is specified in the applicable request for applications.

Generally, the procedure is as follows: A technical review panel will carefully evaluate applications against the selection criteria. These criteria are based on the policy goals, priorities, and emphases set forth in the Solicitation for Grant Award. The ranked scores (which may include the mathematical normalization of review panels) will serve as the primary basis for selection of applications for funding, in conjunction with other factors such as geographic balance, the availability of funds, and which proposals are most advantageous to the government. Award Notices are posted on the Employment and Training Administration (ETA) Homepage (<http://www.doleta.gov>). If an application is rejected, a letter is sent to the applicant as notification that they were not selected as a recipient of the grant.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

For grants, from 45 to 90 days.

Appeals:

OA refusal to register programs and OA de-registration of existing programs may be appealed to the OA Administrator as provided in Title 29 CFR Part 29. For grants, procedures for each project are specified in the applicable request for proposals.

Renewals:

Reinstatement of program registration is set out in Title 29 CFR Part 29.9.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

For grants, procedures for each project are specified in the applicable request for proposals. See the following for information on how assistance is awarded/released: For grants, procedures for each project are specified in the applicable request for proposals.

Reports:

No program reports are required. No cash reports are required. The program sponsor reports new apprentice registrations, suspensions, cancellations, completions, and program status to the OA or SAA field representative. Expenditure reports are not applicable. Quarterly performance reports are normally required for grants. See SGA for further information.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

For program sponsors: Apprenticeship selection records showing compliance with the nondiscrimination requirements set out in Title 29 CFR Part 30 are required to be kept for 5 years. Also, recording and maintenance of all records concerning apprenticeship as may be required by OA or recognized SAA and other applicable law as provided in Title 29 CFR Part 29.

Account Identification:

16-0174-0-1-504 - Project Grants; 16-0172-0-1-504 - Federal Salaries and Expenses.

Obligations:

(Salaries) FY 12 \$28,000,000; FY 13 est \$26,000,000; and FY 14 est \$28,000,000. (Project Grants) FY 12 \$2,000,000; FY 13 est \$0; and FY 14 est \$1,000,000 - Women in Apprenticeship and Non-traditional Occupations (WANTO).

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Total Active Apprentices: 358,789; Total Active Programs: 21,278. For more information, see Data and Statistics at http://www.doleta.gov/OA/data_statistics.cfm

Budget Performance Information is available at:www.dol.gov/dol/aboutdol/main.htm#budget. Fiscal Year 2013: Estimates for Total Active Apprentices: 355,500; Total Active Programs: 21,250.

Budget Performance Information is available at:www.dol.gov/dol/aboutdol/main.htm#budget. Fiscal Year 2014: Budget Performance Information is available at:www.dol.gov/dol/aboutdol/main.htm#budget.

REGULATIONS, GUIDELINES, AND LITERATURE:

Further information concerning the program may be found in Title 29 CFR 29: "Apprenticeship Programs, Labor Standards for the Registration, Amendment of Regulations," Title 29 CFR Part 30: "Equal Employment Opportunity in Apprenticeship and Training."

Regional or Local Office:

See Regional Agency Offices. Regional or Local Office persons are encouraged to communicate with the Regional Directors of the Office of Apprenticeship (OA), as appropriate. The Regional Directors are the following: Region I (Connecticut, Maine, Massachusetts, New Hampshire, New Jersey, New York, Puerto Rico, Rhode Island, Vermont, and Virgin Islands), Ms. Jill M. Houser, Boston Regional Director, USDOL/ETA/OA, JFK Federal Building, 15 New Sudburg Street, Room E 370, Boston, MA 02203, Telephone (617) 788-0177, FAX (617) 788-0304, E-mail: houser.jill@dol.gov; Region II (Delaware, District of Columbia, Maryland, Pennsylvania, Virginia, and West Virginia), Mr. Thomas J. Bydion, Philadelphia Regional Director, USDOL/ETA/OA, 170 S. Independence Mall West, Suite 825 East, Philadelphia, PA 19106, Telephone (215) 861-4832, FAX (215) 861-4833, E-mail: bydion.thomas@dol.gov; Region III (Alabama, Florida, Georgia, Kentucky, Mississippi, North Carolina, South Carolina, and Tennessee), Mr. Garfield G. Garner, Jr., Atlanta Regional Director, USDOL/ETA/OA, 61 Forsyth Street, SW, Room 6T100, Atlanta, GA 30303, Telephone (404) 302-5478, FAX (404) 302-5479, E-mail: garner.garfield@dol.gov; Region IV (Arkansas, Colorado, Louisiana, Montana, New Mexico, North Dakota, Oklahoma, South Dakota, Texas, Utah, and Wyoming), Mr. Steven D. Opitz, Dallas Regional Director, USDOL/ETA/OA, Federal Building, 525 S. Griffin Street, Suite 317, Dallas, TX 75202, Telephone (972) 850-4681, FAX (972) 850-4688, E-Mail: opitz.steve@dol.gov; Region V (Illinois, Indiana, Iowa, Kansas, Michigan, Minnesota, Missouri, Nebraska, Ohio, and Wisconsin), Mr. Dean Guido, Chicago Regional Director, USDOL/ETA/OA, Federal Building, 230 South Dearborn Street, Room 656, Chicago, IL 60604, Telephone (312) 596-5500, FAX (312) 596-5501, E-Mail: guido.dean@dol.gov; Region VI (Alaska, Arizona, California, Guam, Hawaii, Idaho, Nevada, Oregon, and Washington), Mr. Michael W. Longueay, San Francisco Regional Director, USDOL/ETA/OA, 90 Seventh St., Suite 17-100, San Francisco, CA 94103, Telephone (415) 625-2230, FAX (415) 625-2235, E-Mail longueay.michael@dol.gov.

Headquarters Office:

Anna Velez USDOL/ETA/OA
Frances Perkins Building
200 Constitution Ave., NW, N-5311, Washington, District of Columbia 20210
Email: velez.anna@dol.gov Phone: (202) 693-2796 Fax: (202) 693-3799

Website Address:

<http://www.doleta.gov/oa>

RELATED PROGRAMS:

17.207 Employment Service/Wagner-Peyser Funded Activities; 17.278 WIA Dislocated Worker Formula Grants; 17.303 Wage and Hour Standards

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: FY 2012 WANTO grants - 1.8 million (two-years of funding combined) were awarded to six consortia in six states Apprenticeship programs are effective pipelines into growing industries. Too often, these programs are not as accessible to women. These federal grants will better connect women with apprenticeships and help them to gain skills in fields that offer long-term career opportunities. Fiscal Year 2013: No data available. Fiscal Year 2014: No data available.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

17.207 EMPLOYMENT SERVICE/WAGNER-PEYSER FUNDED ACTIVITIES

Wagner- Peyser Act

FEDERAL AGENCY:

Employment Training Administration, Department of Labor

AUTHORIZATION:

Wagner-Peyser Act of 1933, 48 Stat. 113.

OBJECTIVES:

To assist persons to secure employment and workforce information by providing a variety of job search assistance and information services without charge to job seekers, including persons with disabilities and to employers seeking qualified individuals to fill job openings.

TYPES OF ASSISTANCE:

FORMULA GRANTS; Project Grants

USES AND USE RESTRICTIONS:

FORMULA GRANTS:

Wagner-Peyser Employment Services Grants: The Wagner-Peyser Act of 1933 established a nationwide system of public employment offices which is known as the Employment Service. Wagner-Peyser was amended by the Workforce Investment Act of 1998, making the Employment Service part of the One-Stop Career Center delivery system. Wagner-Peyser funded activities are an integral part of the American Job Center, also known as One-Stop Career Center delivery system that provides an integrated array of high-quality services that workers, job seekers, and businesses can access services under one roof in easy-to-reach locations, with many services also offered through self-service electronic access. These services provide universal access to all including a variety of persons with multiple challenges to employment, including persons with disabilities.

These services include assessment of skill levels, abilities, and aptitudes, career guidance when appropriate, job search workshops, and referral to jobs or training as appropriate. The services offered to employers, in addition to referral of job seekers to job openings, include matching job requirements with job seeker experience, skills and other attributes, helping with special recruitment needs, assisting employers analyze hard-to-fill job orders, assisting with job restructuring and helping employers deal with layoffs.

Under Wagner-Peyser Act Section 7(b), ten percent of the total sums allotted to each state workforce agency shall be reserved for use by the governor to provide performance incentives; services for groups with special needs; and for the extra costs of exemplary models for delivering job services.

Labor Market Information Grants to States: This allows for the development and distribution of state and local workforce information which allows job seekers, employers, and providers and planners of job training and economic development to obtain information pertaining to job opportunities, labor supply, labor market or workforce trends, and the market situation in particular industries.

PROJECT GRANTS:

Disability Employment Initiative (DEI): The Disability Employment Initiative (DEI) was established by Congress in 2010. Through three rounds of grant awards, DOL has awarded \$63 million in competitive grants to 23 state WIA-administering entities to improve educational, training, and employment opportunities and outcomes of youth and adults with disabilities who are unemployed, underemployed, and receiving Social Security disability benefits. The funds awarded via cooperative agreements, range from \$1.5 to 6 million for a three-year period. This funding will continue the Disability Program Navigator Initiative's effective practices, as well as other promising practices.

The DEI projects to hire staff with expertise in disability and workforce development to serve as Disability Resource Coordinators (DRCs) to implement their strategic service delivery approaches. The DRCs are hired at the local workforce investment areas. DEI projects support extensive

partnerships, collaboration and service coordination across multiple workforce, generic, and disability systems in each state. The goal of the DEI is to promote economic self-sufficiency for persons with disabilities through career pathway jobs.

By October 1, 2013, DOL will be awarding a fourth round of DEI grants to fund cooperative agreements with state WIA administering entities for 40 months. DOL anticipates funding approximately six DEI grants for a total of \$18 million. The focus of these projects will continue to be on developing and maintaining partnerships and collaboration, blending and branching resources to leverage funding, and becoming active participants in the Social Security Administration's Ticket to Work Program.

Electronic Tool grants: These include 1) a computerized career information system including access to state job bank resources and institutions and organizations that provide training and 2) the development and distribution of state and local workforce information which allows job seekers, employers, and providers and planners of job training and economic development to obtain information pertaining to job opportunities, labor supply, labor market or workforce trends, and the market situation in particular industries. For Wagner-Peyser Employment Services Grants to states, the funds must be used for labor exchange activities, labor market, and workforce information purposes. Training is not an allowable activity. The DEI is a competitively awarded grant program.

Applicant Eligibility:

For Wagner-Peyser Employment Service grants and Labor Market Information grants, eligible applicants include all 50 States, the District of Columbia, Virgin Islands, Puerto Rico, and Guam.

The DEI grant funds are available only to state WIA-administering entities.

Beneficiary Eligibility:

The system affords universal access to all jobseekers. In addition, services are available to employers seeking workers to fill job openings. Priority of service is given to veterans and other covered persons. The individuals receive priority referral to jobs, as well as specialized employment services and assistance.

Credentials/Documentation:

No Credentials or documentation are required. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. FORMULA GRANTS: The governor submits a single Strategic State Plan in accordance with one of the Planning Guidances, either Stand-Alone or Unified, issued through the Federal Register. The Planning Guidance provides detailed instruction on what must be included in the State Plan. The Unified Planning Guidance provides a framework for collaboration across programs and integration of services beyond WIA Title I programs and Wagner-Peyser Activities, including non-DOL programs and other DOL programs. The plan is submitted to the Federal Coordinator for Plan Review and Approval (currently Robert Kight), Division of WIA Adult Services and Workforce System, Office of Workforce Investment, Employment and Training Administration, Department of Labor, 200 Constitution Avenue, NW, Room S-4209, Washington, DC 20210.

DEI GRANTS: Funds are awarded to state WIA-administering agencies, which will collaborate with workforce investment boards and local areas. Grant awards range from \$1.5 to \$6 million each to be spent over a three-year period. Cooperative agreements will be used to foster service delivery through the public workforce investment system for job seekers with disabilities. The

complete solicitation for grant applications is available at <http://www.doleta.gov/grants>.

Award Procedure:

Those portions of the State Plan over which the Assistant Secretary for Employment and Training exercises authority are reviewed and approved by the Employment and Training Administration. Formula funds are awarded to the states based on a statutory formula provided in the authorizing legislation or, as in the case of LMI grants, based on an administrative formula. The State Plans include services to persons with disabilities and other targeted groups.

Projects:

The DEI funds are awarded via a competitive grant solicitation.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. As provided in regulations.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title The Wagner-Peyser Act , Chapter Section 6 (b)(4), Public Law 29 U.S.C. 493.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Formula funds are provided at the beginning of a program year and are available for expenditure during that program year and the succeeding two program years.

DEI funds are awarded for a three-year period.

E-Tools funds are renewed annually. Method of awarding/releasing assistance: by letter of credit.

Reports:

For formula grants, quarterly performance reporting is required in addition to quarterly financial, activities and outcomes reports. No cash reports are required. No progress reports are required. For formula grants, quarterly financial reports are required.

The DEI grants require fiscal and programmatic quarterly reporting. Monitoring is conducted by Regional Federal Project Officers based on quarterly reports.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Standard records for audits are required.

Account Identification:

16-0179-0-1-999.

Obligations:

(Formula Grants) FY 12 \$762,000,000; FY 13 est \$699,000,000; and FY 14 est \$763,000,000. (Project Grants) FY 12 \$39,000,000; FY 13 est \$31,000,000; and FY 14 est \$37,000,000

Range and Average of Financial Assistance:

Wagner-Peyser Formula Grants vary by year. Funding is published annually in the Federal Register.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Performance results are posted at:<http://www.doleta.gov/performance/results/reports.cfm>. Fiscal Year 2013: Once finalized, performance budgets are posted annually on the Internet and are available at: <http://www.dol.gov/dol/budget/>. Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

20 CFR Part 652 - Establishment and Functioning of State Employment Services: Part 662 - Description of the One Stop System under Title I of the Workforce Investment Act; Part 663 - Adult and Dislocated Worker Activities under Title I of the Workforce Investment Act; Subpart A - Delivery of Adult and Dislocated Worker Services through the One Stop Service Delivery System; Part 1001-Services for Veterans.

Regional or Local Office:

None.

Headquarters Office:

Amanda Ahlstrand 200 Constitution Ave., NW, Room C-4526, Washington, District of Columbia 20210 Email: ahlstrand.amanda@dol.gov Phone: 202-693-3052 Fax: 202-693-3981

Website Address:

<http://www.doleta.gov>.

RELATED PROGRAMS:

17.225 Unemployment Insurance; 17.258 WIA Adult Program; 17.260 WIA Dislocated Workers; 17.801 Disabled Veterans' Outreach Program (DVOP); 17.804 Local Veterans' Employment Representative Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

17.225 UNEMPLOYMENT INSURANCE

FEDERAL AGENCY:

Employment Training Administration, Department of Labor

AUTHORIZATION:

Federal Unemployment Tax Act, 26 U.S.C 3301 et seq.; Social Security Act (Titles III, IX, XI, XII) 42 USC 501-504; 1101-1110; 1320b-7; 1321-1324; Unemployment Compensation for Federal Civilian Employees, 5 U.S.C 8501 et seq.; Robert T. Stafford Disaster Relief and Emergency Assistance Act, 42 U.S.C 5177; Unemployment Compensation for Ex-Servicemembers, 5 U.S.C 8521 et seq.; Federal-State Extended Unemployment Compensation Act, Title II, Section 201 et seq., Public Law 91-373, 26 U.S.C 3304(a)(11); Trade Act of 1974, 19 U.S.C 2291 et seq.; Supplemental Appropriations Act of 2008, P.L. 110-252 as amended P.L. 110-449, 111-5, 111-92, 111-118, 111-144, 111-157, 111-205, 111-312, 112-78; 112-96, 112-240.

OBJECTIVES:

To oversee unemployment insurance programs for eligible workers through federal and state cooperation, including unemployment compensation for federal employees or ex-service members, disaster unemployment assistance, and to assist in the oversight of trade adjustment assistance and alternative trade adjustment assistance, and reemployment trade adjustment assistance programs.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS WITH UNRESTRICTED USE; FORMULA GRANTS USES AND USE RESTRICTIONS:

The states have the direct responsibility for establishing and operating their own unemployment insurance programs, while the federal government finances the cost of administration. State unemployment insurance tax collections are used solely for the payment of benefits. Federal unemployment insurance tax collections are used to finance expenses deemed necessary for proper and efficient administration of the state unemployment insurance laws; to reimburse state funds for one-half (temporarily 100 percent) the costs of extended benefits paid under the provisions of state laws which conform to the provisions of the Social Security Act and the Federal Unemployment Tax Act; and to make repayable advances to states when needed to pay benefit costs. Funds used for

benefit payments may not be used for any program administration costs nor for training, job search, and job relocation payments. Disaster Unemployment Assistance (DUA) is paid out of funds provided by the Federal Emergency Management Agency (FEMA). Benefits for former Federal civilian employees, including postal workers, and former members of the Armed Forces are paid out of the Federal Employees Compensation Account (FECA) in the Unemployment Trust Fund, subject to reimbursement by the former employing agency. Trade Adjustment Assistance, Alternative Trade Adjustment Assistance and Reemployment Trade Adjustment Assistance payments are made by states for workers adversely affected by trade imports or shifts in production. The Emergency Unemployment Compensation program is temporarily authorized.

Applicant Eligibility:

State workforce agencies, including those in the District of Columbia, Puerto Rico and Virgin Islands.

Beneficiary Eligibility:

All workers whose wages are subject to state unemployment insurance laws, federal civilian employees, ex-service members, and workers whose unemployment is caused by a presidentially declared disaster under the Robert T. Stafford Disaster Relief and Emergency Assistance Act, are eligible if they are involuntarily unemployed, able to work, available for work, meet the eligibility and qualifying requirements of the state law, and are free from disqualifications. Some states provide Short Time Compensation or Self Employment Assistance for eligible individuals. Workers who became unemployed or underemployed because of the adverse effect of increased imports or because of shifts in production outside the U.S. may be eligible for Trade Readjustment Allowance, Alternative Trade Adjustment Assistance or Reemployment Adjustment Assistance and other types of Trade Adjustment Assistance (see program 57.001). Emergency Unemployment Compensation is temporarily available through January 1, 2014. Individual state information on eligibility requirements is available from local American Job Centers or at <http://www.servicelocator.org/>.

Credentials/Documentation:

Awards of grants to states are conditioned on the Secretary of Labor's determination that the states' unemployment compensation laws are in conformity with the Social Security Act and the Federal Unemployment Tax Act and that state practices substantially comply with these laws. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

National and regional offices issue guidance to states annually regarding the procedures and documents for the application process. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Eligible applicants are furnished applications (State Quality Service Plan) by the Employment and Training Administration for this program. States submit the plans to apply for grants to the appropriate regional office of the Employment and Training Administration based on target performance levels pursuant to nationally-developed workload estimates. All plans are to be constructed in terms of selected workloads to be undertaken and the resources and numbers of employees needed to accomplish them.

Award Procedure:

The Regional Administrator for Employment and Training issues a preliminary approval for a state within the dollar target provided by the ETA national office, based on the state plan submitted.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. At the national office level 30 to 60 days. Approximately

45 days at the regional office level.

Appeals:

Contact federal agency. A hearing is required if state law is not certified to be in conformity.

Funding is based on workload formula.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Annual grants for base operation of programs are based on workload projections pursuant to economic assumptions and nationally developed workload estimates. Additional quarterly funding is based on actual workloads. Financing of administrative costs is accomplished through Letter of Credit drawdowns needed to meet immediate cash requirements. Method of awarding/releasing assistance: by letter of credit. Method of awarding/releasing assistance: by letter of credit.

Reports:

Weekly, monthly, quarterly and yearly statistical reports of unemployment insurance workloads are required. Cash reports include the following transactions: federal cash receipts/disbursements; Federal expenditures and unobligated balance; recipient share of obligations and expenditures; program income. Additional expenditure data required includes other federal funds expended and real property proceeds expended. The comprehensive performance management system requires a State Quality Service Plan (SQSP) be submitted as part of the application. Where performance is below the established minimum criteria, a corrective action plan (CAP) is required as part of the SQSP. The CAP identifies state activities and planning targets for performance improvement activities. Separate quarterly financial status reports are required for grants used for administration of each type of program. Data and progress towards performance targets are reviewed by the regional and national office staff. The SQSP and CAPs are reviewed quarterly by regional office staff.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. State workforce agencies are subject to audits by the Department of Labor or other authorized government agencies.

Records:

State laws and requirements are to be in conformity with federal statutes. States are expected to report any legislative and regulatory changes to the federal agency. National office staff provide guidance on the time frame for data submission and corrections to data reports. The state determines the time period to maintain tax records; however, the IRS recommends 4 years after the date tax is due or is paid, whichever is later. The recommendation for performance records is 3 years.

Account Identification:

16-0168-0-1-603 - Grants and Benefits; 16-0326-0-1-999 - Benefits; 16-0178-0-1-603 - Grants and Benefits; 16-1800-0-1-603 - Benefits; 16-8042-0-7-999 - Benefits; 16-0179-0-1-999 - Grants.

Obligations:

(Direct Payments with Unrestricted Use) FY 12 \$2,000,000; FY 13 est \$0; and FY 14 est \$0. (Direct Payments with Unrestricted Use) FY 12 \$88,862,000,000; FY 13 est \$76,985,000,000; and FY 14 est \$53,022,000,000. (Formula Grants) FY 12 \$3,882,000,000; FY 13 est \$3,061,000,000; and FY 14 est \$2,906,000,000. (Formula Grants) FY 12 \$0; FY 13 est \$0; and FY 14 est \$0

Range and Average of Financial Assistance:

For FY 2012, the minimum grant award for state administration was \$3,219,705 and the maximum award was \$439,523,944. The average award for FY 2011 was \$ 59,557,594.

Estimates for FY 2013 are: \$1.8 million minimum award, \$418 million maximum award and a \$53.7 million average award.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: State agencies collected \$59.4 billion in state unemployment taxes and paid \$91.5 billion in federal and state unemployment benefits to 13.8 million beneficiaries. \$3.1 billion in administrative dollars was provided to 53 states and territories to support program operations in FY 2012. Fiscal Year 2013: State agencies collected \$52.6 billion in state unemployment taxes and paid \$76.0 billion in federal and state unemployment benefits to 13.5 million beneficiaries. \$2.9 billion in administrative dollars is anticipated to be provided to 53 states and territories to support program operations in FY 2013. Fiscal Year 2014: States are expected to collect \$51.5 billion in state unemployment taxes and pay an estimated \$52.3 billion in federal and state UI benefits to 11.8 million beneficiaries. \$2.8 billion in administrative dollars is anticipated to be provided to 53 states and territories to support program operations in FY 2014.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations: 20 CFR Part 601, 602, 603, 604, 606, 609, 614, 615, 616, 617, 625, 640, 650.

Literature: "Unemployment Insurance For Ex-Service Members;" "Unemployment Insurance For Federal Workers;" "Significant Provisions of State Unemployment Insurance Laws;" "Comparison of State Unemployment Insurance Laws;" factsheets, and other program information are available on the Internet at <http://www.workforcesecurity.doleta.gov/unemploy/laws.asp#overview>.

Regional or Local Office:

None. Employment and Training Administration regional offices listed in Appendix IV of the Catalog.

Headquarters Office:

Gay Gilbert Francis Perkins Building - 200 Constitution Avenue, Room S4524, Washington, District of Columbia 20210 Email: gilbert.gay@dol.gov Phone: 202-693-3029 Fax: 202-693-3229

Website Address:

<http://ows.doleta.gov/unemploy/>

RELATED PROGRAMS:

17.207 Employment Service/Wagner-Peyser Funded Activities; 17.245 Trade Adjustment Assistance; 57.001 Social Insurance for Railroad Workers; 97.034 Disaster Unemployment Assistance

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

17.235 SENIOR COMMUNITY SERVICE EMPLOYMENT PROGRAM

Senior Community Service Employment Program (SCSEP)

FEDERAL AGENCY:

Employment Training Administration, Department of Labor

AUTHORIZATION:

Older Americans Act of 2006, Title V, Public Law 109-365, 120 Stat. 2522, 42 U.S.C 3001; Older Americans Act of 1965.

OBJECTIVES:

To foster individual economic self sufficiency; provide training in meaningful part-time opportunities in community service activities for unemployed low-income persons who are age 55 years of age or older, particularly persons who have poor employment prospects; and to increase the number of older persons who may enjoy the benefits of unsubsidized employment in both the public and private sectors.

TYPES OF ASSISTANCE:

FORMULA GRANTS; PROJECT GRANTS

USES AND USE RESTRICTIONS:

Organizations that receive grants use the funds to create and pay for part-time community service training positions for unemployed persons age 55 and above whose income is at or below 125 percent of the poverty level. The individuals who are enrolled may be trained in work assignments at local 501(c)(3) non-profit or government agencies. A portion of the funds may be used to provide participants with classroom training and supportive services. Participants may not train in projects involving political activities, sectarian activities, nor may participants displace any employed worker or perform work which impairs existing contracts for service. Each local project is required to coordinate its activities with local Workforce Investment Act projects and One-Stop Career Centers and the State Office of the Aging. Seventy-five percent of funding must be used to pay the wages and fringe benefits of participants for their part-time assignments. (If justified, the seventy-five percent funding requirement can be reduced to sixty-five percent with the ten percent funds used for additional participant training.) The remaining funds may be used to provide participants with classroom training and supportive services. No more than 13.5 percent of the Federal share of the costs may be spent for administration. (If justified, a waiver to 15 percent may be provided by the Department of Labor.). The Secretary of Labor may reserve up to 1.5 percent of annual appropriation for pilots, demonstration, and evaluation activities and related technical assistance.

Applicant Eligibility:

The following types of organizations are eligible to receive grants: (1) states and U.S. territories; (2) national public and private non-profit institutions/organizations, other than political parties but including faith-based and community organizations; and (3) tribal organizations.

Beneficiary Eligibility:

Unemployed adults 55 years or older with a family income at or below 125 percent of the Department of Health and Human Services (DHHS) poverty level. Prospective participants must provide documentation relative to age and personal financial status which is required to determine whether the individual is program eligible. With certain exceptions, the Census Bureau's Current Population Survey definition of income governs the determination of SCSEP applicant income eligibility. Section 518 (a)(3)(A)OAA-2006 specifies that any income that is unemployment compensation, a benefit received under title XVI of the Social Security Act; a payment made to or on behalf of veterans or former members of the Armed forces under the laws administered by the Secretary of Veterans Affairs, or 25 percent of a benefit received under title II of the Social Security Act is excluded from SCSEP income eligibility determinations.

Credentials/Documentation:

Applicants must meet the eligibility test under Section 514(c) and the responsibility test under Section 514(d) of the Older Americans Act. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. National nonprofit organizations are required under Section 502(d) of the Older Americans Act to submit an application to the state agency, in addition to the Department of Labor. The Office of Workforce Investment in the Employment and Training Administration will provide information concerning proper application, format, and content and furnish standard application forms. Governors may comment on SCSEP grant proposals prior to award and may make recommendations to the Secretary of Labor to improve the distribution of SCSEP services.

Award Procedure:

Awards are made directly to eligible applicants by the Employment and Training Administration of the Department of Labor.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. The approval range for formula grants is approximately 30 to 60 days.

Appeals:

From 1 to 15 days. Appeals may be filed according to the regulations at 20 CFR 641.900.

Renewals:

Grantees must meet the eligibility and responsibility test each year in order to be funded. In addition, grantees must meet negotiated performance measures described in Section 513(b) of OAA-2006, in administrative guidance, and in the Final Rule on SCSEP Performance Accountability published September 1, 2010.

Formula and Matching Requirements:

Statutory Formula: Title Older Americans Act 2006 Amendments, Chapter 20 CFR 641.100 of the 2010 Final Rule, Public Law P.L. 109-365.

Matching Requirements: Grantees must provide or arrange through third parties at least ten percent of the cost of the project from non-Federal sources. The grantee share of the cost may be contributed in cash or in-kind. This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

Funding is provided annually. The program year runs from July 1 to June 30. The Secretary has the authority to extend the period of performance under specified conditions. Method of awarding/releasing assistance: by letter of credit.

Reports:

The Annual Equitable Distribution Report and the Four Year Strategic State Plan (for state grantees only), to be reviewed and updated no less frequently than every two years. Quarterly Financial Status Report (FSR) - SF9130. The Quarterly Progress Report (QPR). QPRs are generated by the SCSEP Performance and Results QPR (SPARQ) system located within the Department of Labor based on participant data entered by grantees into the SPARQ on-line system. Quarterly Financial Status Report (FSR) - SF9130. Permitted applicant organizational costs will be determined in accordance with OMB Circular Nos A-87 for state and local governments and A-122 for non profit organizations. Federal Project Officers conduct quarterly desk reviews and on-site reviews as needed. Performance is evaluated annually.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Normally to be retained by the grant or contract recipient for a three-year period following the completion of the grant or contract. Grantees are required to submit participant data via high-speed Internet access to a DOL-sponsored data collection system (SPARQ).

Account Identification:

16-0175-0-1-504.

Obligations:

(Formula Grants) FY 12 \$347,000,000; FY 13 est \$334,000,000; and FY 14 est \$0 - National Programs. (Formula Grants) FY 12 \$98,000,000; FY 13 est \$94,000,000; and FY 14 est \$0 - State Programs.

Range and Average of Financial Assistance:

For Program Year 2011, grants ranged from \$336,800 to \$89.2M.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Budget Performance Information is available at www.dol.gov/dol/aboutdol/main.htm#budget. Fiscal Year 2013: Budget Performance Information is available at

www.dol.gov/dol/aboutdol/main.htm#budget. Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

20 CFR 641; Training and Employment Guidance Letters, Training and Employment Notices, previously issued Older Worker Bulletins that are still in effect and other SCSEP directives.

Regional or Local Office:

See Regional Agency Offices. Region 1, Keeva Davis, Telephone: (617) 788-0141; Region 2, Barbara Shelly, Telephone: (215) 861-5541; Region 3, Andrew Gill, Telephone: (404) 302-5366; Region 4, Brie Burleson, Telephone: (972) 850-4652; Region 5, Alice Mitchell, Telephone: (312) 596-5413; Region 6, John Jacobs, Telephone: (415) 625-7940.

Headquarters Office:

Judith Chase Gilbert 200 Constitution Avenue NW
Room S-4209, Washington, District of Columbia 20210 Email:
gilbert.judith@dol.gov Phone: 202-693-3938 Fax: 202-693-3817

Website Address:

<http://www.doleta.gov/seniors/>.

RELATED PROGRAMS:

17.258 WIA Adult Program; 17.260 WIA Dislocated Workers

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The Department annually renews grant agreements. The Department may have an open competition from time to time to select national grantees. National grants were competed in 2003 and 2006. The next competition opportunity, as specified in the authorizing legislation, will be no sooner than 2011. When such a competition occurs, a notice will be published in the Federal Register.

17.245 TRADE ADJUSTMENT ASSISTANCE

FEDERAL AGENCY:

Employment Training Administration, Department of Labor

AUTHORIZATION:

Trade Act of 1974, as amended, Title II, Public Law 93-618, 88 Stat. 1979, 19 U.S.C 2271-2322.

OBJECTIVES:

To provide adjustment assistance to qualified workers adversely affected by foreign trade which will assist them to obtain suitable employment.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

State Workforce Agencies (SWAs) serve as agents of the United States for administering the worker adjustment assistance benefit provisions of the Trade Act. SWAs, through the local offices, provide testing, counseling, and job placement services; job search and relocation assistance; training; and payment of weekly trade readjustment allowances (TRA). State unemployment compensation and extended benefits must be exhausted before TRA is paid to eligible claimants. Trade impacted workers are eligible to receive job search and relocation allowances in addition to the costs of training. They may be paid subsistence and transportation allowances to attend approved training outside the normal commuting distance of a worker's regular place of residence.

Applicant Eligibility:

In FY 2012 ETA administered three separate TAA programs the program implemented by the Trade Adjustment Assistance Extension Act of 2011 (TAAEA) that is in effect for petitions filed on or after October 21, 2011; the program implemented by the Trade and Globalization Adjustment Assistance Act of 2009 (TGAAA), that is in effect for petitions filed between May 18, 2009 and February 15, 2011; and the program administered under the Trade Act as amended by the TAA Reform Act of 2002, which existed before May 18, 2009, and resumed after February 15, 2011 as part of the Omnibus Trade Act of 2010. The TAAEA maintained the expansions to the program made by the

TGAAA: expanded TAA coverage to more workers and firms, including workers and firms in the service sector; made benefits available to workers whose jobs have been off-shored to any country (as opposed to covering a more limited set of shifts in production), improved workers training options, and increased the affordability of health insurance coverage. The TAAEA maintained the TGAAA's expansion in the scope of the program to better assist adversely affected workers in finding new employment. It reauthorized funding for employment and case management services, and encouraged the type of long-term training necessary for jobs in the 21st century economy through an extension of income support, an increase in the cap for training funding, and access to training for adversely affected incumbent workers. The TAAEA differs slightly from the TGAAA in the amount and type of weekly income support, as well as the benefit level of the wage supplement program for older workers.

For a worker to be eligible to apply for TAA, the worker must be part of a group of workers that are the subject of a petition filed with the Department. Three workers of a company, a company official, a union or other duly authorized representative, or a One-Stop Career Center operator or One-Stop partner may file that petition with the Department. In response to the filing, the Department institutes an investigation to determine whether foreign trade was an important cause of the workers job loss or threat of job loss. If the Department determines that the workers meet the statutory criteria for group certification of eligibility for the workers in the group to apply for TAA, the Department grants the petition and issues a certification.

Once covered by a certification, individual workers apply for benefits and services through the One-Stop delivery system. Most benefits and services have specific individual eligibility criteria that must be met, such as previous work history, unemployment insurance eligibility, and individual skill levels.

Beneficiary Eligibility:

The TAA program currently offers the following services to eligible individuals: training, weekly income support, out-of-area job search and relocation allowances, case management and employment services, assistance with payments for health insurance coverage through the utilization of the Health Coverage Tax Credit (HCTC), and wage insurance for some older workers through ATAA or RTAA. ATAA is the wage insurance option available to reemployed older workers authorized by the TAA Reform Act of 2002. As a pilot project under this legislation, ATAA provides wage insurance to reemployed older workers for adversely affected workers covered by certifications of petitions filed before May 18, 2009 and after February 15, 2011 through October 21, 2011. Under the TGAAA, ATAA was replaced with RTAA, which is a wage insurance benefit for older workers that provided expanded eligibility options and benefits in comparison with the ATAA program. Under the TAAEA, RTAA was reauthorized, but at the 2002 benefit levels.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. To apply for TAA under the Trade Act of 1974, as amended, a "Petition for Trade Adjustment Assistance" must be filed directly with the Office of Trade Adjustment Assistance at the address listed below and with the State official or organization designated by the Governor of the State in which the workers' firm or subdivision is located. OTAA and State contact information, as well as petition forms in English and Spanish, are available through the State Workforce Agencies or from the Office of Trade Adjustment Assistance listed below. The Petition forms may also be downloaded from our web-site, or filed online, at: <http://www.doleta.gov/tradeact>. Petitions must be filed with both the OTAA and the State agency in which the worker group's plant is located. Notice of such filing shall be promptly published in the Federal Register.

Within 10 days after publication, the petitioner or any other person found by the Secretary to have a substantial interest may request a hearing and be afforded the opportunity to be present, to present evidence, and to be heard.

Award Procedure:

A Certification of Eligibility to apply for worker adjustment assistance may be issued by the Secretary of Labor to groups of workers who have been found by the Department of Labor to have met the requirements concerning foreign trade-affected separations from employment. Individual workers covered by the certification can apply to the local office of their State Workforce Agency for individual determinations of eligibility to receive benefits.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 30 to 60 days. For the TAA program, eligibility investigations begun by the receipt of a valid petition are completed, and a determination issued, within 40 calendar days of the receipt of the petition.

Appeals:

From 30 to 60 days. There are two courses of appeal, one administrative and the other judicial. Petitioners aggrieved by a final determination by the Secretary may, within 30 days after notice of such determination is published in the Federal Register, file an application for reconsideration with the Director of the Office of Trade Adjustment Assistance. If the determination following reconsideration is negative, they may, within 60 days after notice of the negative determination upon reconsideration, file a petition for review of such determination with the United States Court of International Trade in New York City. Aggrieved petitioners may request judicial appeal without first seeking administrative reconsideration, within 60 days after notice of such determination is published in the Federal Register.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Cash trade readjustment allowances (TRA) generally becomes payable only after workers have exhausted their entitlement to State unemployment compensation including extended benefits. For TAA certifications on petitions filed between May 18, 2009 and February 15, 2011, the maximum number of weeks of State unemployment compensation, extended benefits and trade readjustment allowances cannot exceed 52 weeks except that up to 78 additional weeks may be paid to workers while participating in approved training and an additional 26 weeks for workers whose approved training program includes pre-requisite and/or remedial education. Participation in RTAA allows older workers, for whom retraining may not be appropriate, to accept reemployment at a lower wage and receive a wage subsidy instead of benefits under the regular TAA program. The RTAA allows for payments of 50 percent of the wage difference between old employment and new employment, not to exceed \$12,000 for up to two years. The individual must earn not more than \$55,000 a year in wages in reemployment, and must not return to employment from which the worker was separated. Base formula funding grants for training services are provided to States at the beginning of the year; additional funds may be requested by States based on additional needs above the base funding provided.

For TAA certifications on petitions filed before May 18, 2009, and after February 12, 2011 through October 21, 2011, the maximum number of weeks of State unemployment compensation, extended benefits and trade readjustment allowances cannot exceed 52 weeks except that up to 52 additional weeks may be paid to workers while participating in approved training and an additional 26 weeks for workers whose approved training program includes remedial education. Participation in ATAA allows older workers, for whom retraining may not be appropriate, to accept reemployment at a lower wage and receive a wage subsidy instead of benefits under the regular TAA program. The ATAA allows for payments of 50 percent of the wage difference between old

employment and new employment, not to exceed \$10,000 for up to two years. The individual must earn not more than \$50,000 a year in wages in reemployment, and must not return to employment from which the worker was separated. Base formula funding grants for training services are provided to States at the beginning of the year; additional funds may be requested by States based on additional needs above the base funding provided.

For TAA certifications on petitions filed after October 21, 2011, the maximum number of weeks of State unemployment compensation, extended benefits and trade readjustment allowances cannot exceed 52 weeks except that up to 65 additional weeks may be paid to workers while participating in approved training and an additional 13 weeks for workers who are pursuing a degree or industry recognized credential, continue to make satisfactory progress and meeting the training benchmarks, and will complete the training within the period of eligibility. Participation in RTAA allows older workers, for whom retraining may not be appropriate, to accept reemployment at a lower wage and receive a wage subsidy instead of benefits under the regular TAA program. The RTAA allows for payments of 50 percent of the wage difference between old employment and new employment, not to exceed \$10,000 for up to two years. The individual must earn not more than \$50,000 a year in wages in reemployment, and must not return to employment from which the worker was separated. Base formula funding grants for training services are provided to States at the beginning of the year; additional funds may be requested by States based on additional needs above the base funding provided. Method of awarding/releasing assistance: lump sum.

Reports:

Quarterly Performance Reports are required. No cash reports are required. No progress reports are required. Quarterly financial reports on grants for administration of TAA/TRA program are required. Program performance is monitored both by state staff and by Federal Regional Office staff.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Subject to audits by the U.S. Department of Labor or other authorized Government agencies.

Records:

Not applicable.

Account Identification:

16-0326-0-1-999.

Obligations:

(Formula Grants) FY 12 \$575,000,000; FY 13 est \$534,000,000; and FY 14 est \$235,000,000

Range and Average of Financial Assistance:

This amount is published yearly in the Report to the Committee on Finance of the Senate and Committee on Ways and Means of the House of Representatives, which can be found on the program website at www.doleta.gov/tradeact.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Budget Performance Information is available at www.dol.gov/dol/aboutdol/main.htm#budget. Fiscal Year 2013: Budget Performance Information is available at www.dol.gov/dol/aboutdol/main.htm#budget. Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations at 29 CFR 90, Certification of Eligibility to Apply for Worker Adjustment Assistance, 20 CFR 617, Trade Adjustment Assistance for Workers, and 20 CFR 618, Regulation Regarding TAA Funding.

Regional or Local Office:

See Regional Agency Offices. Regional offices of the Employment and Training Administration, Department of Labor listed in Appendix IV, and local

offices of affiliated State Employment Security Agencies.

Headquarters Office:

Rachel B. Howard 200 Constitution Ave N.W., Washington, District of Columbia 20210 Phone: 2026933560

Website Address:

<http://www.doleta.gov/tradeact/>

RELATED PROGRAMS:

17.225 Unemployment Insurance; 17.260 WIA Dislocated Workers

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

17.258 WIA ADULT PROGRAM

(WIA-Adult Program)

FEDERAL AGENCY:

Employment Training Administration, Department of Labor

AUTHORIZATION:

Workforce Investment Act of 1998, Title I, Part subtitle B, Section Chapter 5, Public Law 105-220, 20 U.S.C 9201.

OBJECTIVES:

To prepare workers -- particularly disadvantaged, low-skilled, and underemployed adults -- for good jobs by providing job search assistance and training. Program performance is measured by entry into unsubsidized employment, retention in unsubsidized employment, and earnings. The program serves individuals and helps employers meet their workforce needs. The employment goals will be measured using the Unemployment Insurance Wage Records Information System and customer satisfaction goals will be measured by sampling.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

The Act specifies that most services for adults will be provided through the American Job Centers, also known as One Stop Career Centers. The Act authorizes three levels of service which are available to all jobseekers. "Core" services include outreach, job search, placement assistance, and labor market information, and are available to all jobseekers. "Intensive" services include more comprehensive assessments, development of "Individual Employment Plans" (IEP) and counseling, and career planning. Those customers who need additional services to find or retain employment may receive "training" services linked to job opportunities in their communities, including both occupational training and training in basic skills. To promote customer choice and involvement in career decisions, participants use an "Individual Training Account (ITA)" to select an appropriate training program from an eligible training provider. The Act also authorizes the provision of supportive services (e.g., transportation and child care assistance) to enable an individual to participate in the program. Consistent with the allowable activities in the statute.

Applicant Eligibility:

Under WIA, the organizations eligible to receive funding from the Department are the 50 States, Puerto Rico, the District of Columbia and the outlying areas. Funds are allotted based on a statutory formula. The states in turn allocate funds to local workforce investment boards (approximately 600), by formula.

Beneficiary Eligibility:

All adults 18 years and older are eligible for core services. Priority for intensive and training services must be given to public assistance recipients and low income populations when funds are limited. Priority of service is given to veterans and other covered persons. States and local areas are responsible for establishing procedures for applying the priority requirements.

Credentials/Documentation:

Formula-funded programs are subject to Governor/Secretary Agreement and State Plan. States sign a grant document agreeing to comply with the Act and

regulations for the formula-allotted program. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. The governor submits a single Strategic State Plan in accordance with one of the Planning Guidances, either Stand-Alone or Unified, issued through the Federal Register. The Planning Guidances provide detailed instruction on what must be included in the State Plan. The Unified Planning Guidance provides a framework for collaboration across programs and integration of services beyond WIA Title I programs and Wagner-Peyser Activities, including non-DOL programs and other ETA and non-ETA DOL programs. The plan is submitted to the Federal Coordinator for Plan Review and Approval (currently Robert Kight), Division of WIA Adult Services and Workforce System, Office of Workforce Investment, Employment and Training Administration, Department of Labor, 200 Constitution Avenue, NW, Room S-4209, Washington, DC 20210.

Award Procedure:

Those portions of the State Plan over which the Assistant Secretary for Employment and Training exercises authority are reviewed and approved by the Employment and Training Administration. Formula funds are awarded to the states based on a statutory formula provided in the authorizing legislation.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. State plans will be reviewed in accordance with 20 CFR 661.220(e), which provides that the Secretary must approve all state plans within 90 days of their submission, unless the Secretary determines in writing that: (1) the State Plan is inconsistent with the provisions of Title I of WIA or the WIA regulations, including 29 CFR Part 37; or (2) the portion of the State Plan impacting the Wagner-Peyser Act plan does not satisfy the criteria for approval in Section 8(d) of the Wagner-Peyser Act or the Wagner-Peyser Act regulations at 20 CFR Part 652.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title Workforce Investment Act of 1998, Public Law 105-220.

This program has no matching requirements.

This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

Formula funds are allotted every year to a State in two portions during a program year and are available for expenditure by the State for that program year and the two succeeding program years. Method of awarding/releasing assistance: by letter of credit.

Reports:

Performance reporting requires:

1. WIA Annual Report
2. WIA Annual Report narrative
3. required to submit WIASRD file for both participant and exiters on quarterly basis in addition to the annual reporting.
4. Quarterly Financial Reports

Note: Monitoring is conducted by Regional Federal Project Officers based on a risk assessment. No cash reports are required. No progress reports are required.

Quarterly Financial Reports. Monitoring is conducted by Regional Federal Project Officers based on a risk assessment.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Subject to audits by the Department of Labor or other authorized Government agencies.

Records:

States are required to maintain adequate records in accordance with 29 CFR 95 and 97.

Account Identification:

16-0174-0-1-504.

Obligations:

(Formula Grants) FY 12 \$772,000,000; FY 13 est \$731,000,000; and FY 14 est \$812,000,000

Range and Average of Financial Assistance:

WIA formula grant amounts vary annually and are published in the Federal Register.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Performance results are posted at:

<http://www.doleta.gov/performance/results/reports.cfm>. Fiscal Year 2013: Once finalized, performance budgets are posted annually on the Internet and are available at:

<http://www.dol.gov/dol/aboutdol/main.htm#budget>. Fiscal Year 2014: Once finalized, performance budgets are posted annually on the Internet and are available at:

<http://www.dol.gov/dol/aboutdol/main.htm#budget>.

REGULATIONS, GUIDELINES, AND LITERATURE:

20 CFR Part 652 et al, WIA; Final Rules Federal Register, Friday, August 11, 2000.

Regional or Local Office:

See Regional Agency Offices. Contact appropriate Regional Employment and Training Office listed in Appendix IV of the Catalog.

Headquarters Office:

Amanda Ahlstrand 200 Constitution Ave., NW, Room C-4526, Washington, District of Columbia 20210 Email: ahlstrand.amanda@dol.gov Phone: 202-693-3052 Fax: 202-693-3981

Website Address:

<http://www.doleta.gov>.

RELATED PROGRAMS:

17.207 Employment Service/Wagner-Peyser Funded Activities; 17.245 Trade Adjustment Assistance; 17.259 WIA Youth Activities; 17.260 WIA Dislocated Workers; 17.277 Workforce Investment Act (WIA) National Emergency Grants; 17.278 WIA Dislocated Worker Formula Grants

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

17.259 WIA YOUTH ACTIVITIES

(WIA Formula Youth)

FEDERAL AGENCY:

Employment Training Administration, Department of Labor

AUTHORIZATION:

Workforce Investment Act of 1998, Title I, Part Subtitle B, Section Chapter 4, Public Law 105-220, (U.S.C 9201.

OBJECTIVES:

To help low income youth, between the ages of 14 and 21, acquire the educational and occupational skills, training, and support needed to achieve academic and employment success and successfully transition into careers and productive adulthood.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Title I of the Act authorizes the Workforce Investment System and establishes state workforce investment boards with oversight responsibility by the governors for local workforce investment boards; and establishes the process by which eligible providers of training and youth activities are identified. The Act authorizes the use of funds for youth employment and training activities that will provide eligible youth assistance in achieving careers and academic and employment success; ensures ongoing mentoring opportunities; provides opportunities for training; provides continued supportive services; provides incentives for recognition and achievement; and provides opportunities for leadership, development, decision making, citizenship, and community service. Funds must be used in accordance with the rules and regulations.

Applicant Eligibility:

Under WIA, 50 states, Puerto Rico, the District of Columbia, and the outlying areas are identified as the recipients of youth training activities funds. For a state to be eligible to receive youth funds, the governor of the state will submit to the Secretary for consideration by the Secretary, a single state plan that outlines a 5-year strategy for the statewide workforce investment system.

Beneficiary Eligibility:

An eligible youth is an individual who: (1) is 14 to 21 years of age*; and (2) is an individual who received an income or is a member of a family that received a total family income that, in relation to family size, does not exceed the higher of (a) the poverty line; or (b) 70 percent of the lower living standard income; and (3) meets one or more of the following criteria: is an individual who is deficient in basic literacy skills; a school dropout; homeless; a runaway; a foster child; pregnant or a parent; an offender; or requires additional assistance to complete their education or secure and hold employment.

Credentials/Documentation:

In order to establish a continuing relationship under the Act, the governor and the Secretary of Labor shall sign a governor/Secretary Agreement. This agreement assures that the state will comply with the Workforce Investment Act and the applicable rules and regulations. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. The governor submits a single Strategic State Plan in accordance with one of the Planning Guidelines, either Stand-Alone or Unified, issued through the Federal Register. The Planning Guidance provides detailed instruction on what must be included in the State Plan. The Unified Planning Guidance provides a framework for collaboration across programs and integration of services beyond WIA Title I programs and Wagner-Peyser Activities, including non-DOL programs and other DOL programs. The plan is submitted to the Federal Coordinator for Plan Review and Approval (currently Robert Kight), Division of WIA Adult Services and Workforce Systems, Office of Workforce Investment, Employment and Training Administration, Department of Labor, 200 Constitution Avenue, NW, Room S-4209, Washington, DC 20210.

Award Procedure:

Those portions of the State Plan over which the Assistant Secretary for Employment and Training exercises authority are reviewed and approved by the Employment and Training Administration. Formula funds are awarded to the states based on a statutory formula provided in the authorizing legislation.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. A State plan submitted to the Secretary will be considered to be approved by the Secretary at the end of the 90-day period beginning on the day the Secretary received the plan, unless the Secretary makes a written determination, during the 90-day period, that the plan is inconsistent with the provisions of Title I.

Appeals:

Contact Headquarters Office to obtain information on appeal procedures.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title I, Chapter 4, Subpart B, Public Law 105-220.

This program has no matching requirements.

This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

Formula funds allotted to a state each year are available for expenditure by the State for that program year plus two succeeding program years. Method of awarding/releasing assistance: by letter of credit.

Reports:

Reporting requirements as specified by the Secretary in accordance with 20 CFR 667.300. No cash reports are required. Quarterly reports are required. Quarterly financial reports are required. Regional Federal Project Offices conduct risk analysis, desk reviews, and on-site monitoring. They also review quarterly reports.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

States are required to maintain adequate records in accordance with 29 CFR 95 and 97.

Account Identification:

16-0174-0-1-504 - Annual Appropriation.

Obligations:

(Formula Grants) FY 12 \$814,000,000; FY 13 est \$812,000,000; and FY 14 est \$812,000,000

Range and Average of Financial Assistance:

Formula grant award amounts vary annually and are published in the Federal Register.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Performance budgets are posted annually on the Internet and are available at:

<http://www.dol.gov/dol/aboutdol/main.htm#budget>. Fiscal Year 2013: No Current Data Available. Once finalized, performance budgets are posted annually on the Internet and are available at:

<http://www.dol.gov/dol/aboutdol/main.htm#budget>. Fiscal Year 2014: No Current Data Available. Once finalized, performance budgets are posted annually on the internet and are available at:

<http://www.dol.gov/dol/aboutdol/main.htm#budget>.

REGULATIONS, GUIDELINES, AND LITERATURE:

20 CFR PART 652 et al, WIA; Final Rules Federal Register, Friday, August 11, 2000. Federal Register: Thursday, February 28, 2002 (Volume 67, Number 403) "Solicitation of Comments on the Reauthorization of the Workforce Investment Act (WIA) and Linkages with the Temporary Assistance for Needy Families (TANF); Notice.

Regional or Local Office:

See Regional Agency Offices. Contact the appropriate Regional Employment and Training Office listed in Appendix IV of the Catalog.

Headquarters Office:

Jennifer Kemp 200 Constitution Avenue, NW, Washington, District of Columbia 20210 Email: Kemp.Jennifer.N@dol.gov Phone: (202) 693-3377 Fax: (202) 693-3861

Website Address:

http://www.doleta.gov/youth_services

RELATED PROGRAMS:

17.207 Employment Service/Wagner-Peyser Funded Activities

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

17.261 WIA PILOTS, DEMONSTRATIONS, AND RESEARCH PROJECTS

WIA Pilots, Demonstrations, and Research Projects

FEDERAL AGENCY:

Employment Training Administration, Department of Labor

AUTHORIZATION:

Workforce Investment Act of 1998, Title I, Part D, Section 171, Public Law 105-220.

OBJECTIVES:

Address national employment and training issues through demonstrations, pilot projects, and applied research that have interstate validity and will aid policymakers and stakeholders in addressing these issues and for continuous improvement of the public workforce system..

TYPES OF ASSISTANCE:

Project Grants (Contracts)

USES AND USE RESTRICTIONS:

Projects shall include demonstration of innovative direct services or improvements to existing service strategies for individuals to enhance employment opportunities, and include a rigorous research or evaluation component and support the Department of Labors stated strategic goal of Good Jobs for Everyone, by: (1) Preparing workers for good jobs; (2) For those not working, providing income security. 3) Focusing on key segments of the workforce; 4) Building a comprehensive workforce system through innovative approaches; and 5) Evaluating program effectiveness. Grants and contracts awarded for carrying out demonstration and pilot projects under this subsection are intended to be awarded only on a competitive basis, except that a noncompetitive award may be made in the case of a project that is funded jointly with other public or private sector entities that provide a portion of the funding for the project. 100 percent of the funds are discretionary, excluding a significant portion directed by Congress for use on specific projects.

Applicant Eligibility:

State and local governments, Federal agencies, private non-profit and for-profit organizations, including faith-based and community-based organizations, and educational institutions. Note: Applicant eligibility may be restricted to one or more applicant classes under the particular announcement or solicitation.

Beneficiary Eligibility:

Generally limited to the economically disadvantaged and those who are underemployed, unemployed, need to upgrade their skills in order to retain jobs, at-risk youth, and/or to those who have barriers to employability.

Credentials/Documentation:

Non-governmental entities ordinarily must furnish documentary evidence of adequate financial controls. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from

coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Grants for projects are awarded on a competitive basis, announced in Solicitation for Grant Applications (SGAs) in the Federal Register and also on the Employment and Training Administration (ETA) Internet Web site at <http://www.doleta.gov>. To compete for a grant, organizations develop a proposal and budget that demonstrate how the organization will provide services to a targeted population. The SGA provides all of the necessary information for applying for federal assistance.

Award Procedure:

Procedures for each project are specified in the applicable SGA. Generally, the procedure is as follows: A technical review panel composed of staff from ETA program offices as well as peer reviewers evaluates eligible submitted applications. The panel prepares a report for the ETA Grant Officer identifying the strengths and weaknesses of the application and the cumulative rating. Once selections are made by the Grant Officer, an Award Notification is sent through the appropriate congressional channels for notification. After awardees are notified, the list of awardees is posted on the ETA Web site at www.doleta.gov. If an application is rejected, a letter is sent to the applicant.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 45 to 90 days.

Appeals:

Procedures for each project are specified in the applicable SGA.

Renewals:

Project extensions available upon approval by the Grant Officer (renewals are not automatic). Requests for extensions or renewals must be submitted in writing.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Most projects last one to two years. See the following for information on how assistance is awarded/released: Most grants and contracts are awarded on a fixed cost basis.

Reports:

Program reports are required for accountability purposes. Cash reports are required for accounting purposes. Quarterly progress reports on all grants awarded are a mandatory requirement of all solicitations. Monthly progress reports are required for contracts/task orders. Quarterly expenditure reports on all grants awarded are a mandatory requirement of all solicitations. Monthly expenditure reports are required for contracts/task orders. Performance monitoring is done by the Contracting Officer's or Grant Officer's Technical Representative in accordance with Federal Acquisition or Department of Labor Management Series Guidelines.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Recipients are required to maintain books, records, documents and other evidence of accounting procedures and practices sufficient to reflect properly all direct and indirect costs claimed to have been incurred for the performance of the grant. Records are to be retained for three years from the date of final

payment unless the grant officer authorizes earlier disposal.

Account Identification:

16-0174-0-1-504.

Obligations:

(Project Grants) FY 12 \$12,000,000; FY 13 est \$6,000,000; and FY 14 est \$6,000,000

Range and Average of Financial Assistance:

Grant amounts vary and are influenced by the complexity of the initiative being studied. A general range of grant amounts would be two hundred thousand to one million dollars and an average five hundred thousand.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Final reports that are made publically available by the Employment and Training Administration at: <http://wdr.doleta.gov/research/>. Fiscal Year 2013: Since grants are associated with research and evaluation initiatives, outcomes, impacts, and program accomplishments are presented in final reports that are made publically available by the Employment and Training Administration at: <http://wdr.doleta.gov/research/>. Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Notices of SGAs are published periodically in the Federal Register on the ETA Web site at www.doleta.gov.

Regional or Local Office:

See Regional Agency Offices. Contact the nearest ETA Regional Office listed in Appendix IV of the Catalog.

Headquarters Office:

Wayne S. Gordon 200 Constitution Ave, N.W. Room N-5641, Washington, District of Columbia 20210 Email: gordon.wayne@dol.gov Phone: 2026933179

Website Address:

<http://www.doleta.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: No projections at this time. Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Criteria for each proposal are specified in the applicable SGA.

17.264 NATIONAL FARMWORKER JOBS PROGRAM

FEDERAL AGENCY:

Employment Training Administration, Department of Labor

AUTHORIZATION:

Workforce Investment Act of 1998; Title I, Subtitle D, Section 167; Public Law 105-220.

OBJECTIVES:

To help individuals (and their dependents) who are primarily employed in agricultural labor that is characterized by chronic unemployment and underemployment to find and retain stable employment. Grantees provide job training and other employment services and related assistance.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

In the National Farmworker Jobs Program (NFJP), eligible migrant and seasonal farmworkers and their dependents may be offered core employment services (such as eligibility determination, initial assessment, and job placement); intensive employment services (such as comprehensive skills assessment, individual employment plans, case management and career planning, and workplace readiness); training services (such as occupational skills training or on-the-job training); and related assistance (such as emergency assistance and other supportive services that allow a farmworker to stay employed or continue his or her participation in program activities). In addition, permanent and temporary housing assistance is also provided to

migrant and seasonal farmworkers. Funds must be used in accordance with Federal Regulations. The WIA statute requires a biennial grants competition to operate the NFJP program. The funds for the program are allocated through an administrative formula to state service areas; all funds are allocated for program activities.

Applicant Eligibility:

The provisions of 20 CFR Part 669.200(a), which implement WIA section 167(b), describe entities eligible to receive a grant as those that have: 1) An understanding of the employment challenges facing eligible MSFWs and their dependents; 2) A familiarity with the agricultural industry and the labor market needs of the geographic area to be served; 3) A capacity to administer effectively a diversified program of workforce investment activities and related assistance for eligible MSFWs; and 4) The capacity to work effectively as an American Job Center (AJC) (also known as a One-Stop Career Center) partner. Entities such as state government agencies, state workforce investment boards, local government agencies, local workforce investment boards, faith-based and community-based organizations, institutions of higher learning, and other entities are examples of organizations that could be eligible to apply for NFJP grants.

Beneficiary Eligibility:

The beneficiaries are low-income individuals and their dependents who have, during any consecutive 12-month period in the 24 months preceding their application for enrollment, been primarily employed in agricultural labor that is characterized by chronic unemployment or under employment due to the seasonal or migratory nature of the work. Individuals must also be legally able to work and males must not have violated the Selective Service Act registration requirement.

Credentials/Documentation:

No Credentials or documentation are required. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Grants for operating NFJP programs are awarded under a biennial competitive process. Applications are solicited, normally for each state's service area, through a Solicitation for Grant Applications (SGA) announcement published in the Federal Register and on ETA's Web site, www.doleta.gov. Applicants are required under the solicitation to provide their proposed plan to operate NFJP services in the areas where they propose to operate. A separate program is funded to serve the geographic area of each State, except as follows: more than one applicant may be selected to serve areas within California and some service areas comprise the geography of two adjoining states. (A provision for waiver of competition for a maximum four-year period may be exercised by the Department).

Award Procedure:

Competing applications will be rated according to criteria included in the Solicitation for Grant Application. Awards are made directly to eligible applicants by the Employment and Training Administration.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. Sixty to ninety days.

Appeals:

Petition for reconsideration of non-selection as a potential grantee may be made to an Administrative Law Judge within 21 days of notification of the Department's decision.

Renewals:

The WIA gives the Secretary of Labor the authority to waive the grants competition, thereby renewing those grants without further competition for a third and fourth year, provided performance for the first two years has been satisfactory and upon receipt of a satisfactory annual plan..

Formula and Matching Requirements:

Statutory Formula: Title 20 CFR , Part 652; and 660 through 671, Public Law P.L. 105-220.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grant awards are for two years. Method of awarding/releasing assistance: by letter of credit.

Reports:

Quarterly reports are required on grant expenditures, program activities, and participant services and outcomes. No cash reports are required. Quarterly reports are required on program activities and participant services and outcomes. Financial reports are required quarterly. Regional Federal Project Officers conduct monitoring - desk audits, risk assessments, monitoring site visits and filed audits are utilized.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Subject to audits by the Department of Labor or other authorized Government agencies.

Records:

Grantees are required to maintain complete accounting, personnel, and individual participant records in accordance with OMB Circulars A-102 and A-110. Participant records must support eligibility and the need for services provided.

Account Identification:

16-0174-0-1-504.

Obligations:

(Formula Grants) FY 12 \$84,000,000; FY 13 est \$80,000,000; and FY 14 est \$84,000,000

Range and Average of Financial Assistance:

The range and average varies by year according to appropriation levels. State allocations are published annually in the Federal Register.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: See the Department of Labor budget at www.dol.gov/dol/aboutdol/main.htm#budget and the NFJP website at <http://www.doleta.gov/MSFW/html/NFJP.cfm>. Fiscal Year 2013: See the Department of Labor budget at www.dol.gov/dol/aboutdol/main.htm#budget and the NFJP website at <http://www.doleta.gov/MSFW/html/NFJP.cfm>. Fiscal Year 2014: See the Department of Labor budget at www.dol.gov/dol/aboutdol/main.htm#budget and the NFJP website at <http://www.doleta.gov/MSFW/html/NFJP.cfm>.

REGULATIONS, GUIDELINES, AND LITERATURE:

20 CFR Part 652 and 660 through 671.

Regional or Local Office:

See Regional Agency Offices. Regional contact information is available on the NFJP website at http://www.doleta.gov/msfw/html/Contact_Us.cfm.

Headquarters Office:

Kimberly Vitelli 200 Constitution Ave. NW Rm C-4510, Washington, District of Columbia 20210 Email: vitelli.kimberly@dol.gov Phone: 202-693-3639

Website Address:

<http://www.doleta.gov/MSFW/html/NFJP.cfm>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Selection criteria are described in the Solicitation for Grant Application published in the Federal Register whenever there is a competition for grant awards. (Also, see paragraph 92, above.).

17.265 NATIVE AMERICAN EMPLOYMENT AND TRAINING

Workforce Investment Act (WIA), Section 166

FEDERAL AGENCY:

Employment Training Administration, Department of Labor

AUTHORIZATION:

Workforce Investment Act of 1998 (Title I, Subtitle D, Section 166 and Subtitle B, Chapter 2), Title I, Section 166, Public Law 105-220, 112 Stat. 936, 29 U.S.C 2801 et seq.; Workforce Investment Act of 1998 (Title I, Subtitle D, Section 166 and Subtitle B, Chapter 2), Section 2, Public Law 111-5, Stat. 58.

OBJECTIVES:

To support employment and training activities for Indian, Alaska Native, and Native Hawaiian individuals in order to develop more fully the academic, occupational, and literacy skills of such individuals; to make such individuals more competitive in the workforce; and to promote the economic and social development of Indian, Alaska Native, and Native Hawaiian communities in accordance with the goals and values of such communities. All programs assisted under this section shall be administered in a manner consistent with the principles of the Indian Self-Determination and Education Assistance Act (25 U.S.C. 450 et seq.) and the government-to-government relationship between the Federal Government and Indian tribal governments. Supplemental youth funding is also awarded to help low-income Native American youth and Native Hawaiian youth, between the ages of 14 and 21, acquire the educational and occupational skills needed to achieve academic and employment success and transition to careers and productive adulthood.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

Funds may be utilized for employment and training programs and services, including classroom training, On-The-Job training, training assistance, work experience, youth employment programs, day care, health care, job search, relocation, and transportation allowances designed to help eligible participants to obtain employment. There are specified restrictions on the amount of grant funds which can be used for administrative costs. Supplemental youth funds may be utilized to provide employment and training activities that assist youth in achieving academic and employment success. Such activities may include; mentoring, career exploration, work experience, community service, education programs including cultural education, leadership development, and supportive services. Funds are restricted to Indian, Alaska Native, and Native American youth and Native Hawaiian youth, between the ages of 14 and 21, living on or near reservations and the States of Oklahoma, Hawaii, and Alaska. Administrative costs are limited to 15% but are negotiable up to 20% upon prior agency approval. See above. Federally-recognized Indian Tribal Governments, bands or groups, Alaska Native villages or groups (as defined in the Alaska Native Claims Settlement Act, 43 U.S.C. 1602(b)), Hawaiian Native communities meeting the eligibility criteria, and Native American Organizations (public bodies or private nonprofit agencies) are selected by the Secretary on a competitive basis to run programs in designated service areas.

Applicant Eligibility:

Federally-recognized Indian Tribal Governments, bands or groups, Alaska Native villages or groups (as defined in the Alaska Native Claims Settlement Act, 43 U.S.C. 1602(b)), Hawaiian Native communities meeting the eligibility criteria, and Native American Organizations (public bodies or private nonprofit agencies) selected by the Secretary on a competitive basis. Tribes, bands, and groups may also form consortia in order to qualify for designation as a grantee. An independently eligible grantee shall be a Federally-recognized tribe or other Indian or Native American entity which has: (1) A governing body as defined in 20 CFR 668.200(a); (2) (for new grantees) an identifiable Native American

resident population sufficient to generate the funding level(s) outlined at 20 CFR 668.200(a)(3) within its designated service area; and (3) the capability to administer Indian and Native American employment and training programs as outlined at 20 CFR 668.220. Detailed requirements for consortium grantee applicants are set forth at 20 CFR 668.200(b). Supplemental funding is automatically awarded to entities that serve Native American youth and Native Hawaiian youth living on or near reservations, and the States of Oklahoma, Hawaii, and Alaska.

Beneficiary Eligibility:

American Indians (members of federally- recognized Indian tribes, bands, and groups); other individuals of Native American descent, such as, but not limited to, the Klamaths in Oregon, Micmac and Maliseet in Maine, the Lumbees in North Carolina and South Carolina; Indians variously described as terminated or landless, Eskimos and Aleuts in Alaska, and Hawaiian Natives. ("Hawaiian Native" means an individual any of whose ancestors were natives prior to 1778 of the area which now comprises the State of Hawaii.) Applicants must also be economically disadvantaged, unemployed, or underemployed. A Native American grantee may in some cases enroll participants who are not economically disadvantaged, unemployed, or underemployed in upgrading and retraining programs. See 20 CFR 668.300(b)(4) and (5). Native American youth between the ages of 14 and 21 who live on or near a reservation or in the States of Oklahoma, Alaska, and Hawaii and are low income, are eligible to receive supplemental youth services.

Credentials/Documentation:

An entity requesting to apply for a grant must submit a notification of intent to apply biennially. Consortium applicants must include a formal consortium agreement with attachments specified in 20 CFR 668.200. A Comprehensive Services Plan must be submitted by the designated grantees. It includes, among other things: (1) a program narrative description; (2) a planning summary; and (3) a brief budget summary. Individuals requesting services through this grant must provide documentation of Native American Descent such and Certificate Degree of Indian Blood (CDIB). Individuals must also provide documentation that they are low income or unemployed, or working part-time but are seeking full-time work or employed in a job that is not commensurate with the individual's demonstrated level of education or skill achievement. Males eligible for Selective Service must provide proof of registration. Youth receiving services under the Supplemental Youth Services Program (SYSP) must be low income and be between the age of 14 and 21. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

The standard application forms as furnished by the Federal agency must be used for this program. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. The Employment and Training Administration publishes a Solicitation for Grant Application (SGA) in the Federal Register every two years. The SGA provides the application and award process for interested entities to apply for funding to serve specified geographic areas. After prospective grantees have filed a notice of intent and new applicants have additionally provided the information cited in 20 CFR 668.240, designation decisions are made. Subsequently, designated grantees must submit a Comprehensive Services Plan to the Employment and Training Administration, Office of Workforce Investment, Division of Indian and Native American Programs (DINAP), Department of Labor. In addition, grantees must describe the administrative, planning, and operational elements needed to implement a WIA Section 166 program. Instructions for completing these documents are issued by the Employment and Training Administration through a Training and Employment Guidance Letter (TEGL).

Award Procedure:

Grants will be made directly to eligible grantees for their service areas.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 90 days.

Appeals:

From 60 to 90 days. Applicants will be notified of approval or disapproval of the Comprehensive Services Plan and if disapproved, given a reasonable time to make adjustments and resubmit the Plan. Final disapproval of an application or plan submitted by a designated grantee will not be made without affording the grantee an opportunity for reconsideration.

Renewals:

From 30 to 60 days. Grant awards may be renewed annually, while designation as a grantee applies for two years.

Formula and Matching Requirements:

Statutory Formula: Title 1, Part Subtitle D - National Programs, , Subpart Section 166 - Indian and Native American Programs, Public Law Workforce Investment Act of 1998.

Matching requirements are not applicable to this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Funds are made available through annual program year grants. The program year runs from July 1 to June 30, except that the Supplemental Youth Services Program runs from April 1 to March 31. Funds obligated for any program year may be expended by each recipient during the program year and the two succeeding program years. Method of awarding/releasing assistance: by letter of credit.

Reports:

OMB 9084 form for comprehensive services to adult program and OMB 9085 for supplemental youth services program are submitted quarterly. Cash reports are not applicable. Progress reports are not applicable. OMB 9130 Form financial report is submitted quarterly. National office staff monitors performance using the Grants Management System (GEMS) to conduct risk assessments and desk reviews. On-site reviews are also conducted every three years.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Financial records, supporting documents, statistical records, and all other pertinent records shall normally be retained for a period of three years after the grant is closed out. Participant records shall be retained for five years. Records must be retained longer in certain cases, such as when audit findings have not been resolved.

Account Identification:

16-0174-0-1-504.

Obligations:

(Formula Grants) FY 12 \$60,000,000; FY 13 est \$56,000,000; and FY 14 est \$60,000,000

Range and Average of Financial Assistance:

The range and average of financial assistance varies by year. Amounts are published annually in the Federal Register.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Federal Register, Volume 65, No. 156, Friday, August 11, 2000, 20 CFR Parts 667 and 668 (20 CFR 652 et al.), Employment and Training Administration, United States Department of Labor.

Regional or Local Office:

None.

Headquarters Office:

Evangeline M. Campbell, 200 Constitution Avenue, NW, Room S-4209, Washington, District of Columbia 20210 Email: campbell.evangeline@dol.gov Phone: (202) 693-3737 Fax: (202) 693-3817.

Website Address:

<http://www.doleta.gov/dinap>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Selection criteria are described in the regulations and DINAP administrative instructions issued biennially in the Solicitation for Grant Application published in the Federal Register. In general, designation is based on the regulatory requirements found at 20 CFR 668.210, 668.220, and 668.230, concerning legal status, ability to administer Federal funds, and prior experience and success in providing employment and training services to the client population. In addition, the other requirements for designation found at 20 CFR Part 668, Subpart B, must also be met.

17.267 INCENTIVE GRANTS - WIA SECTION 503

WIA Incentive Grants

FEDERAL AGENCY:

Employment Training Administration, Department of Labor

AUTHORIZATION:

Adult Education and Family Literacy Act, 20 U.S.C 9211; Workforce Investment Act of 1998, Title I, Part B, Section 174, 503, Public Law 105-220, 20 U.S.C 9273.

OBJECTIVES:

To carry out innovative programs consistent with the purposes of Title I of WIA (Workforce Investment Systems), Title II of WIA (Adult Education and Family Literacy Act (AEFLA), 20 U.S.C. 9201 et seq.), the Carl D. Perkins Vocational and Applied Technology Education Amendments of 1998 (Public Law 105-332, 20 U.S.C. 2301 et seq.) or a combination of two or more of these acts.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

States have great flexibility in using these funds and are not limited to conducting only one type of innovative program. However, the use of grant funds must be consistent with WIA Section 503, including the requirement that they be used for innovative programs which further the purposes of WIA Titles I and II, AEFLA and/or the Perkins Act. In addition, grantees must comply with the requirements of whichever program the innovation affects. For example, if the incentive funds will be used for innovations in the delivery of youth activities under WIA Title I, the program must be consistent with WIA Title I provisions applicable to youth activities and the WIA regulations at 20 CFR part 664. States must submit a plan describing the innovative activities to be funded with this grant. States are expected to use funds for services and activities: (1) beyond those provided with regular funds from the three programs, particularly those authorized by one of these programs and another program; (2) which serve the needs of populations intended to be served by one or more of these programs; and (3) targeted to improving the performance of State systems of employment, training, and education. In addition, States are encouraged to plan activities that promote cooperation and collaboration among the agencies administering WIA Title I, AEFLA, and Perkins Act programs. States may only use funds in accordance with WIA, AEFLA, or Perkins Law III/IV.

Applicant Eligibility:

A listing of States eligible to receive incentive grants for program year 2010 performance was published in the Federal Register on May 25, 2012. To qualify for a grant, a State must exceed performance levels agreed to by the Secretary of Education, the Secretary of Labor, the Governor, and the State Education Officer, for outcomes in Titles I and II of the Workforce Investment Act (WIA) and the American Education and Family Literacy Act (AEFLA), which include

placement after training, retention in employment, and improvement in literacy levels, among other measures.

Beneficiary Eligibility:

50 U.S. States, including Washington, DC, the Virgin Islands, and Puerto Rico.

Credentials/Documentation:

Documentation of the exceeded performance measures must be available. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

The application must include assurances that: The State legislature was consulted with respect to the development of the application; the application was approved by the Governor, the eligible agency for adult education, as defined in WIA Section 203(4) (20 U.S.C. 9202(4)); and the State agency responsible for vocational and technical education programs, as defined in Perkins Act Section 3(9) (20 U.S.C. 2302(9)); and the State and the eligible agency, as appropriate, exceeded the State adjusted levels of performance for WIA Title I, the State adjusted levels of performance for AEFLA, and the performance levels established for Perkins Act programs. This program is excluded from coverage under E.O. 12372. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Grants for projects are awarded each year to those states and territories that exceed their WIA and AEFLA performance measures. A Federal Register Notice (FRN) is published announcing the award winning states as well as the amount of the award. A Training and Employment Guidance Letter (TEGL) is published to provide guidance for the submission of the application. The FRN and the TEGL are published on the Performance Web site at <http://www.doleta.gov>. States develop a proposal and budget that demonstrate how they will provide services in accordance with the WIA, AEFLA and or Perkins IV laws. ETA coordinates the review of applications with the Department of Education.

Award Procedure:

States must exceed performance for WIA Adult, Dislocated Worker and Youth programs as well as meet performance in AEFLA. Representatives from DOL and Education review states' performance and compile a list of those states meeting both sets of performance requirements. States are allocated a share of the incentive funds based on their proportionate share of WIA and AEFLA title funds. States must submit an application indicating they will meet all requirements of WIA and AEFLA as well as Perkins IV before funds can be granted. See USDOL ETA guidance TEGL 20-01, Change 10 at: http://wdr.doleta.gov/directives/corr_doc.cfm?DOC=9112.

Deadlines:

May 25, 2011 to Jul 09, 2011: 45 days from FRN posting. Specified in the applicable TEGL, but generally 45 days from announcement in the Federal Register.

Range of Approval/Disapproval Time:

From 1 to 15 days.

Appeals:

From 15 to 30 days. Appeal procedures are at 20 CFR Part 667, Subpart H.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Funds are awarded on a program year (July to June) basis and are available for expenditure for two years. Method of awarding/releasing assistance: by letter of credit.

Reports:

When funds are expended for programs under AEFLA, Perkins IV or WIA, the applicable program report requirements apply. See TEGL 14-00, Change 3,

TEGL 9-07, and TEGL 14-03, Change 1 for additional reporting guidance. When funds are expended for programs under AEFLA, Perkins IV or WIA, the applicable program report requirements apply. See TEGL 14-00, Change 3 and TEGL 14-03, Change 1 for additional reporting guidance. When funds are expended for programs under AEFLA, Perkins IV or WIA, the applicable program report requirements apply. See TEGL 14-00, Change 3 and TEGL 14-03, Change 1 for additional reporting guidance. When funds are expended for programs under AEFLA, Perkins IV or WIA, the applicable program report requirements apply. See TEGL 14-00, Change 3 and TEGL 14-03, Change 1 for reporting guidance. When funds are expended for programs under either AEFLA, Perkins IV or WIA, the applicable program report requirements apply. See TEGL 14-00, Change 3, TEGL 9-07, and TEGL 14-03, Change 1 for reporting guidance.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audits shall be conducted in accordance with 20 CFR 667.200(b).

Records:

States are required to maintain adequate records in accordance with DOL uniform administrative requirements in 29 CFR Sections 97.20 and 97.42.

Account Identification:

16-0174-0-1-504.

Obligations:

(Project Grants) FY 12 \$10,000,000; FY 13 est \$10,000,000; and FY 14 est \$10,000,000

Range and Average of Financial Assistance:

For PY10 awards, the range is \$824,616-\$2,651,995.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

20 CFR 666.200 - 666.230; Training and Employment Guidance Letters No. 20-01, change 10, TEGL 14-03, Change 1 and TEGL 14-00, Change 3.

Regional or Local Office:

See Regional Agency Offices. Federal Project Officers for those states receiving the awards.

Headquarters Office:

Luke A. Murren Frances Perkins Building, 200 Constitution Ave., NW, Washington, District of Columbia 20210 Email: murren.luke@dol.gov Phone: 2026933733

Website Address:

<http://www.doleta.gov/performance>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

17.268 H-1B JOB TRAINING GRANTS

FEDERAL AGENCY:

Employment Training Administration, Department of Labor

AUTHORIZATION:

American Competitiveness and Workforce Improvement Act of 1998, Section 414(c), Public Law 105-227.

OBJECTIVES:

The H-1B Job Training Grant Program funds projects that provide training and

related activities to workers to assist them in gaining the skills and competencies needed to obtain or upgrade employment in high-growth industries or economic sectors. Over time, these education and training programs will help businesses reduce their use of skilled foreign professionals permitted to work in the U.S. on a temporary basis under the H-1B visa program.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Funds are to be used to provide job training services and related activities to assist workers in gaining the skills and competencies needed to obtain or upgrade employment positions in high-growth industries and economic sectors which currently use H-1B visas to employ foreign workers. Grant opportunities are published in the Federal Register. Please refer to the Solicitation for Grant Applications (SGAs) for specific eligibility requirements. See above. A very small percentage of funds will be used by the Department of Labor for grants administration, technical assistance, and performance reporting.

Applicant Eligibility:

Grants may be awarded to a partnership of private and public sector entities as defined in the American Competitiveness and Workforce Improvement Act (ACWIA). Applicants may generally be public and non-profit organizations. See the Solicitation for Grant Applications (SGAs) for specific requirements.

Beneficiary Eligibility:

The scope of potential trainees under these programs can be very broad. Please review the Solicitation for Grant Applications (SGAs) for specific requirements. Training may be targeted to a wide variety of populations including unemployed individuals and incumbent workers.

Credentials/Documentation:

Generally grantees must demonstrate an adequate organizational capacity, both fiscally and programmatically, including a project management structure. Additionally, grantees must provide evidence of the use of data systems to track outcomes in a timely and accurate manner. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Grants for projects are awarded on a competitive basis, announced in Solicitation for Grant Applications (SGAs) in the Federal Register and also on ETA's Internet Web site at <http://www.doleta.gov>. To compete for a grant, organizations develop a proposal and budget that demonstrate how the organization will provide services to a targeted population. The SGAs provide all of the necessary information for applying for federal assistance.

Award Procedure:

Procedures for each project are specified in the applicable request for proposals. Generally, the procedure is as follows: A technical review panel composed of staff from ETA program offices as well as peer reviewers evaluates eligible submitted applications. The panel prepares a report for the ETA Grant Officer identifying the strengths and weaknesses of the application and the cumulative rating. Selections are made based on the best rated proposals, as well as other factors cited in the SGA. Once selections are made by the Grant Officer, an Award Notification is sent through the appropriate congressional channels for notification. After awardees are notified, the list of awardees is posted on the ETA website at www.doleta.gov. If an application is rejected, a letter is sent to the applicant as notification that they were not selected as a recipient of the grant.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. ETA announces grant recipients once the paneling

process is complete, generally 90 to 120 days after the application deadline.

Appeals:

Procedures for each project are specified in the applicable Solicitation for Grant Application.

Renewals:

Renewals with funds are generally not available. However, with significant justification ETA may elect to exercise its option to award no-cost extensions to these grants for an additional period at its own discretion, based on the success of the program and other relevant factors.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: The requirement for match is contingent on the activities proposed by the applicant. Applicants that propose incumbent worker training activities must provide resources as matching funds, if applicable. For detailed information about match requirements, applicants should refer to the specific Solicitation for Grant Applications (SGAs). For applicants that do not include incumbent worker training activities, cost sharing or matching funds are not required as a condition for application.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Most projects last three to four years. Payments to grantees are usually made by Letter of Credit draw-down procedures. Method of awarding/releasing assistance: by letter of credit.

Reports:

No program reports are required. No cash reports are required. Grantees are required to submit quarterly reports within 45 days of the end of the calendar quarter regarding the status of their project. Quarterly financial reports are required within 45 days of the end of the quarter. Regional Federal Project Officers conduct performance monitoring using risk assessments, desk reviews, and on-site monitoring. Final project reports are due to ETA 90 days after the grant ends.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Recipients are required to maintain books, records, documents, and other evidence of accounting procedures and practices sufficient to reflect properly all direct and indirect costs of whatever nature claimed to have been incurred for the performance of the grant. Records are to be retained for three years from the date of final payment unless the grant officer authorizes earlier disposal.

Account Identification:

16-0174-0-1-504.

Obligations:

(Project Grants) FY 12 \$343,000,000; FY 13 est \$24,000,000; and FY 14 est \$150,000,000

Range and Average of Financial Assistance:

Awards generally range from \$1,000,000 to \$5,000,000. Please review specific Solicitations for Grant Applications for specific details.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: DOL Budget and Performance information is available at www.dol.gov/dol/aboutdol/main.htm#budget. Fiscal Year 2013: Performance plans are posted annually and are available on the Internet at: www.doleta.gov/Performance/eta_default.cfm. DOL Budget information is available at www.dol.gov/dol/aboutdol/main.htm#budget. Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Provided in applicable Solicitation for Grant Applications.

Regional or Local Office:

See Regional Agency Offices. Contact the nearest Employment and Training Administration Regional Office listed in Appendix IV of the Catalog.

Headquarters Office:

Jennifer W. Troke 200 Constitution Avenue, NW, Room C-4526, Washington, District of Columbia 20210 Email: businessrelations@dol.gov Phone: (202) 693-3949 Fax: (202) 693-3890

Website Address:

<http://www.doleta.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria for selecting proposals are specified in the applicable Solicitation for Grant Applications.

17.270 REINTEGRATION OF EX-OFFENDERS

FEDERAL AGENCY:

Employment Training Administration, Department of Labor

AUTHORIZATION:

Workforce Investment Act of 1998, Title I, Part Subtitle D, Section 171, Public Law 105-220, 20 U.S.C 9201.

OBJECTIVES:

This program includes both Adult Reentry grants to serve adult returning offenders and Youthful Offender grants aimed at youth involved or at risk of involvement in crime and violence. The objectives of the Adult Reentry grants include increasing the employment rate, employment retention rate, and earnings of released prisoners, and decreasing their recidivism. The objectives of the Youthful Offender grants include preventing in-school youth from dropping out of school, increasing the employment rate of out-of-school youth, increasing the reading and math skills of youth, reducing the involvement of youth in crime and violence, and reducing the recidivism rate of youth.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Services under the Adult Reentry grants include assistance in finding employment, mentoring, job training, remedial education, and other comprehensive transitional services. Services under the Youthful Offender grants include a wide array of educational, employment, mentoring, case management, violence reduction, diversion, and record expungement efforts. Restrictions for specific projects are listed in grant announcements. One hundred percent of these funds are set aside for discretionary activities to be distributed almost exclusively through competitive procurements.

Applicant Eligibility:

Eligible applicants for Reintegration of Ex-Offender-Adult (REXO) grants are community-based organizations (CBOs) that are located in, or have a staff presence in the community being served. Eligible applicants for Youthful Offenders vary depending on the solicitation. Examples of possible eligible applicants include community-based organizations, school districts, state and local government juvenile justice agencies, tribal entities, and entities carrying out activities under WIA, such as local workforce investment boards or American Job Centers.

Beneficiary Eligibility:

Reintegration of Ex-Offender-Adult grants serve individuals, 18 years old and older, who have been convicted as an adult and have been imprisoned for violating a state or federal law, and who have never been convicted of a sex-related offense. Depending on the solicitation, enrollment may be limited based on whether the presenting offense was violent or whether the individual has previously committed a violent crime. Individuals eligible for Youthful Offender grants vary depending on the solicitation.

Credentials/Documentation:

Non-governmental entities ordinarily must furnish documentary evidence of adequate financial controls. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Grants for projects are awarded on a competitive basis, announced in Solicitation for Grant Applications (SGAs) in Grants.gov and also on ETA's Web site at <http://www.doleta.gov>. Notices of the availability of SGAs are published in the Federal Register. To compete for a grant, organizations develop a proposal and budget that demonstrate how the organization will provide services to a targeted population. The SGA provides all of the necessary information for applying for Federal assistance.

Award Procedure:

Procedures for each project are specified in the applicable request for proposals. The general procedure is as follows. A technical review panel composed of staff from ETA program offices as well as peer reviewers evaluates eligible submitted applications. The panel prepares a report for the ETA Grant Officer identifying the strengths and weaknesses of the application and the cumulative rating. Once selections are made by the Grant Officer, an Award Notification is sent through the appropriate congressional channels for notification. After awardees are notified, the list of awardees is posted on the ETA Web site at www.doleta.gov. If an application is rejected, a letter is sent to the applicant as notification that they were not selected as a recipient of the grant.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

From 60 to 90 days. Procedures for each project are specified in the applicable request for proposals.

Renewals:

> 180 Days. ETA may provide no-cost extensions at its own discretion based on the success of the project and other relevant factors.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The period of performance varies by grant announcement. The possibility of additional years of funding varies by grant announcement. Payments to grantees are usually made by Letter of Credit draw-down procedures. Method of awarding/releasing assistance: by letter of credit.

Reports:

Program reports are not applicable. Cash reports are not applicable. Quarterly progress reports are required. Depending on the solicitation, grantees also may be required to participate in DOL's Management Information System data collection system. Quarterly financial reports are required. Regional Federal Project Officers are responsible for monitoring performance.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Varies

Records:

Recipients are required to maintain books, records, documents, and other evidence of accounting procedures and practices sufficient to reflect properly

all direct and indirect costs of whatever nature claimed to have been incurred for the performance of the grant. Records are to be retained for three years from the date of final payment unless the grant officer authorizes earlier disposal.

Account Identification:

16-0174-0-1-504 - Project Grants.

Obligations:

(Project Grants) FY 12 \$82,000,000; FY 13 est \$79,000,000; and FY 14 est \$81,000,000

Range and Average of Financial Assistance:

The range and average of financial assistance varies by grant announcement. Adult offender grants have recently varied from \$680,000 to \$1.4 million for two years of operation. Youth offender grants have recently varies from \$800,000 to \$5 million for two years of operation.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Not Available. Fiscal Year 2013: Performance targets and results can be found in the DOL budget located at www.dol.gov/dol/aboutdol/main.htm#budget. Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Specified in SGA Announcement.

Regional or Local Office:

None.

Headquarters Office:

Richard G. Morris, 200 Constitution Ave, NW, Room N-4511, Washington, District of Columbia 20210 Email: morris.richard@dol.gov Phone: (202) 693-3603 Fax: (202) 693-3113.

Website Address:

<http://www.doleta.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria for each proposal are specified in the applicable request for proposals.

17.271 WORK OPPORTUNITY TAX CREDIT PROGRAM (WOTC)

Work Opportunity Tax Credit (WOTC) Program

FEDERAL AGENCY:

Employment Training Administration, Department of Labor

AUTHORIZATION:

The American Taxpayer Relief Act of 2012; Internal Revenue Code of 1986, as amended, Section 51.

OBJECTIVES:

The tax credit was designed to help individuals from certain target groups who consistently face significant barriers to employment move from economic dependency to self-sufficiency by encouraging businesses to hire target group members and be eligible to claim tax credits against the wages paid to the new hires during the first year of employment.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

States are to use these formula grants for: determining eligibility of individuals as members of the target groups; issuing employer certifications or denials; developing working agreements with partner agencies in American Job Centers (also called One-Stop Career Centers) or other State agencies to issue Conditional Certifications; and coordinating efforts to promote WOTC to employers and job seekers and other Workforce Investment Act (WIA) partners. The Federal government provides general direction, funding, and oversight to the states. See above.

Applicant Eligibility:

States (not individuals), the District of Columbia, the Virgin Islands, and Puerto Rico.

Beneficiary Eligibility:

All employers seeking WOTC target group workers and target group members seeking employment. The members of the different target groups have statutory definitions (per Public Law 104-188, as amended) with specific eligibility requirements that must be verified by the state workforce agencies before a certification can be issued to an employer or his/her representative. Participating employers and their representatives must file their certification requests using IRS Form 8850 and ETA Form 9061 or 9062 within 28 days after the employment-start day of the new hires.

Credentials/Documentation:

No Credentials or documentation are required. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

WOTC grant funds are provided to State Workforce Agencies on an annual basis. Grant amounts are determined by formula.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 60 to 90 days. As provided in policy guidance issued by ETA and IRS.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Funding is provided by annual formula allotments. Method of awarding/releasing assistance: by letter of credit.

Reports:

Quarterly program reports are required. No cash reports are required. Quarterly program reports are required. Quarterly financial reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Standard records for audits are required. The Internal Revenue Service (IRS) requires that the SWAs keep and maintain certification records for four years and denial records for one year in the event of an employer audit by IRS or an employer appeal to the SWAs.

Account Identification:

16-0179-0-1-999.

Obligations:

(Formula Grants) FY 12 \$18,000,000; FY 13 est \$18,000,000; and FY 14 est \$18,000,000

Range and Average of Financial Assistance:

FY 2013 grants to states ranged from \$ 66,000 to \$ 2,018,297.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Section 51 of the Internal Revenue Code, Title 26 U.S.C. ETA Handbook No. 408, Third Edition, November 2002 as amended. The handbook is available on the WOTC program website at www.doleta.gov/wotc.

Regional or Local Office:

See Regional Agency Offices. Contact information for regional and state WOTC coordinators is available on the WOTC program website at www.doleta.gov/wotc.

Headquarters Office:

Kimberly Vitelli 200 Constitution Ave. NW Rm C-4510, Washington, District of Columbia 20210 Email: vitelli.kimberly@dol.gov Phone: 202-693-3639

Website Address:

<http://www.doleta.gov/wotc>

RELATED PROGRAMS:

10.551 Supplemental Nutrition Assistance Program; 93.558 Temporary Assistance for Needy Families

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

17.272 PERMANENT LABOR CERTIFICATION FOR FOREIGN WORKERS

FEDERAL AGENCY:

Employment Training Administration, Department of Labor

AUTHORIZATION:

Immigration and Nationality Act of 1952, as amended, Sections 101 (a) (15) H (II), 214 (c) and 212 (a) (5) (A), Section 101, 212, 214, Public Law 82-414, 66 Stat. 163, 8 U.S.C 1101 et seq.

OBJECTIVES:

To protect the jobs of American workers; ensure the wages and working conditions of U.S. workers will not be adversely affected by the admission of permanent foreign workers; and assist employers by supplementing the work force with needed skills.

TYPES OF ASSISTANCE:

PROVISION OF SPECIALIZED SERVICES

USES AND USE RESTRICTIONS:

Under Section 212 (a)(5)(A) of the Immigration and Nationality Act, foreign workers who seek to immigrate to the United States for employment shall be excluded from admission unless the Secretary of Labor determines and certifies to the Secretary of State and to the Secretary of Homeland Security that there are not sufficient U.S. workers available for the position, and that the employment of such foreign workers will not adversely affect the wages and working conditions of U.S. workers similarly employed. The certified employer must hire the foreign worker as a full-time employee; there must be a bona fide job opening available to U.S. workers; job requirements must adhere to what is customarily required for the occupation in the U.S. and may not be tailored to the foreign worker's qualifications. In addition, the employer shall document that the job opportunity has been and is being described without unduly restrictive job requirements, unless adequately documented as arising from business necessity. The employer must pay at least the prevailing wage for the occupation in the area of intended employment.

Applicant Eligibility:

(1) Any employer who is unable to find qualified U.S. workers to meet his or her needs and seeks to hire a foreign worker to fill a given job vacancy on a permanent basis is eligible to file an application for permanent labor certification with the Department of Labor. (2) An employer who seeks to employ a foreign worker whose category of employment is included in the Department of Labor, Schedule A list of precertified occupations contained in Part 656, Title 20, Code of Federal Regulations is eligible to file an application directly with the appropriate U.S. Citizenship and Immigration Services Office.

Beneficiary Eligibility:

Employers and foreign workers.

Credentials/Documentation:

Employers seeking labor certification, and thereafter filing an immigration petition to sponsor a foreign worker for employment-based permanent residency, must document that worker's education, experience, and job qualifications to the satisfaction of the U.S. Citizenship and Immigration Services. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Prior to filing the application for permanent employment certification, the employer must request a prevailing wage determination from the National Prevailing Wage Center (NPWC). When filing, must attest, in addition to a number of other conditions of employment, to having conducted recruitment prior to filing the application. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Employers must file labor certification applications for permanent employment directly with the Atlanta National Processing Center (except for Schedule A occupations). See <http://workforcsecurity.doleta.gov/foreign/perm.asp> for additional details.

Award Procedure:

If the Atlanta National Processing Center approves the application, the application form is "certified" (approved) by the Certifying Officer and returned to the employer/agent who submitted the application. The labor certification is then filed by the sponsoring employer in support of its immigrant worker petition with USCIS.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Timeframe for processing of permanent labor certification applications varies with the circumstances of each case.

Appeals:

The permanent labor certification program provides for reconsideration by a Certifying Officer and/or administrative review by the Department of Labor's Board of Alien Labor Certification Appeals (BALCA) of a denial of an application, upon the employer's request.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The Employment and Training Administration issues formula grants to State Workforce Agencies for activities supporting federal labor certification programs. Grants are issued on a fiscal year basis. See the following for information on how assistance is awarded/released. See the following for information on how assistance is awarded/released: Fiscal year basis.

Reports:

Activity reports and workload data. Cash reports are not applicable. Quarterly reports from states on progress of spending against the total grant. Quarterly reports from states on progress of spending against the total grant. Performance

monitoring is not applicable.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. Selected audits are conducted by the Department of Labor.

Records:

The employer is required to retain all supporting documentation for five years from the date of filing the Application for Permanent Employment Certification.

Account Identification:

16-0179-0-1-999.

Obligations:

(Salaries) FY 12 \$25,000,000; FY 13 est \$24,000,000; and FY 14 est \$25,000,000 - Funds for the Permanent Labor Certification Program are not appropriated separately from other foreign labor certification funds.

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Budget Performance Information is available at www.dol.gov/dol/aboutdol/main.htm#budget. Fiscal Year 2013: Budget Performance Information is available at www.dol.gov/dol/aboutdol/main.htm#budget. Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

References in the Code of Federal Regulations: For permanent employment-Title 20, CFR Part 656. Applicable statutes, regulations, and policies are described on the website of the Employment and Training Administration, Office of Foreign Labor Certification.

Regional or Local Office:

None. The following addresses, phone numbers, and FAX numbers should be used by employers and by State Workforce Agencies for either inquiries or the forwarding of applications materials, as appropriate. Please note, for all application materials, inquiries, and other correspondence regarding the Permanent Labor Certification Program are to be sent to the Atlanta National Processing Center, at the following address: Department of Labor, Employment and Training Administration, Atlanta National Processing Center, Harris Tower, 233 Peachtree Street, Suite 410 Atlanta, GA 30303, Telephone: (404) 893-0101 Fax: (404) 893-4642.

Headquarters Office:

Anna Kasior Office of Foreign Labor Certification, National Office, 200 Constitution Avenue, NW, Room C-4312, Washington, District of Columbia 20210 Email: kasior.anna@dol.gov Phone: (202) 693-3010 Fax: (202) 693-2768

Website Address:

<http://www.foreignlaborcert.doleta.gov/>.

RELATED PROGRAMS:

17.207 Employment Service/Wagner-Peyser Funded Activities; 17.273 Temporary Labor Certification for Foreign Workers

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

17.273 TEMPORARY LABOR CERTIFICATION FOR FOREIGN WORKERS

FEDERAL AGENCY:

Employment Training Administration, Department of Labor

AUTHORIZATION:

Immigration Act of 1990, Public Law 101-649, 104 Stat. 4978, 8 U.S.C 1182(n), 1184; Immigration Reform and Control Act of 1986, Public Law 99-603, 100 Stat. 3359, 8 U.S.C 1186; Immigration and Nationality Act of 1952, as amended, Sections 101(a)(15)(H), 212(n), 214(c)

and 214(i), Section 101, 212, 214, Public Law 82-414, 66 Stat. 163, 8 U.S.C. 1101 et seq; Omnibus Insular Areas Act of 1991, Public Law 102-232, 105 Stat. 1733, 8 U.S.C. 1182.

OBJECTIVES:

To provide greater protection for U.S. and foreign workers while assisting U.S. employers to obtain temporary foreign workers, when needed. Also, to enable agricultural and other employers to obtain foreign workers for temporary or seasonal jobs when domestic workers are not available; and to assure adequate working and living conditions for domestic and foreign workers employed in similar tasks.

TYPES OF ASSISTANCE:

Federal Employment; Formula Grants; PROVISION OF SPECIALIZED SERVICES

USES AND USE RESTRICTIONS:

The Department of Labor issues labor certifications for temporary employment under several programs: H-1B Specialty (Professional) Worker Program, H-1B1 Specialty Worker Program (professionals from Chile or Singapore working in specialty occupations), E-3 Specialty Worker Program (professionals from Australia working in specialty occupations), H-2A Temporary Employment Certification (Agricultural), H-2B Temporary Employment Certification (Non-Agricultural), and D-1 Crewmember Program.

Applicant Eligibility:

H-2A Program: An agricultural employer who anticipates a shortage of U.S. workers needed to perform agricultural labor or services of a temporary or seasonal nature. The employer may be an individual proprietorship, a partnership or a corporation. An association of agricultural producers may file as a sole employer, a joint employer with its members, or as an agent of its members. An authorized agent or attorney, whether an individual or an entity (e.g., an association), may file an application on behalf of an employer. Associations may file master applications on behalf of their members. H-2B Program: The job and the employer's need must be one time, seasonal, peak load or intermittent; the job must be for less than one year; and there must be no qualified and willing U.S. workers available for the job. An employer must file an application for employment certification with the Chicago National Processing Center of the Employment and Training Administration. State Workforce Agencies are federally funded to issue prevailing wage determinations for foreign labor certification programs.

Beneficiary Eligibility:

Grants support state activities to help employers recruit U.S. workers before seeking to hire a foreign worker. These labor conditiona applications and foreign labor certification programs govern employers who have a need for workers in specialty occupations, or as fashion models of distinguished merit and ability, or in temporary agricultural or non-agricultural employment.

Credentials/Documentation:

In the major temporary employment certification programs, employers sponsoring foreign workers to fill a temporary need file the certification from the Department of Labor as documentation in support of their respective I-129 nonimmigrant worker petitions with the Department of Homeland Security, United States Citizenship and Immigration Services (USCIS). In the H-1B1 and E-3 programs, a USCIS petition is not needed for initial visa issuance, and the foreign national may use proof of labroconditiona application certification in support of the visa application. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. States and U.S. territories receive these to support federal foreign labor certification activities. They submit work plans each year to obtain continued eligibility for the grant. Employers who participate in foreign labor certification programs do not receive funding from these grants, but do benefit from the services offered by these state agencies to recruit U.S. workers for positions before they apply to

hire a foreign worker. Employers contact the appropriate office of the Employment and Training Administration. Also see <http://www.doleta.gov/business/gw/guestwkr/> for additional details on specific programs.

Award Procedure:

State Workforce Agencies receive notification of grant award after federal approval of their workplans. Employers must prove they qualify for foreign labor certification before they can move to the next step of the employment-based immigration process. Certifications are sent to the employer in support of the petition to be filed with the U.S. Citizenship and Immigration Services which determines admissibility and Visa classification. The employer, via the ETA Form 9142, advises as to the type of visa which is supported by the application. Certified copies of accepted attestations for the D-1 Crewmember program are returned to the employer and the USCIS is notified of the filing in writing. Grants: State Workforce Agencies receive funds upon acceptance of their work plans.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

H-1B: From one to seven days. H-2A: Statute requires an initial acceptance by ETA within seven days of filing, and a final determination 30 days prior to the employer's date of need. 8 U.S.C. Section 1188(c).

Appeals:

There is no procedure for appealing Employment and Training Administration determination to accept or reject a Labor Condition Application submitted for the H-1B, H1-B1, and E3 Programs. Complaints regarding misrepresentation in the attestation or failure of the employer to carry out the terms of the attestation may be filed with the Wage and Hour Division at the Department of Labor.

Renewals:

Treated as the filing of a new labor condition application.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Fiscal year basis. See the following for information on how assistance is awarded/released: ETA issues formula grants to State Workforce Agencies to fund activities supporting federal labor certification programs.

Reports:

Activity and workload reports. Cash reports are not applicable. States file quarterly financial reports to report on spending against the total grant. Grants: Quarterly financial reports are required. States file quarterly financial reports to report on spending against the total grant. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. States are regularly monitored for compliance with grant requirements. Employer may make a filed labor condition application and necessary supporting documentation available for public examination at the employer's principal place of business in the United States, or at the place of employment within one working day after the date on which the labor condition application is filed with the Employment and Training Administration. The employer may post a hard copy notice or an electronic notice. The employer may post a completed copy of the LCA or may post all the information required in 20 CFR 655.734(a) (1) (ii). Complaints concerning misrepresentation in the attestation or failure of the employer to carry out the terms of the attestation may be filed with the Wage and Hour Division, Employment Standards Administration, Department of Labor. The

Administrator, Wage and Hour Division, performs the entire Secretary's investigative and enforcement functions under 8 U.S.C. 1182(n) and subparts I and K of 29 C.F.R. Part 504.

Records:

Program-related records, including financial records. Employer shall make the Labor Condition Application (LCA) and necessary supporting documentation available for public examination at the employer's principal place of business in the United States or at the place of employment within one working day after the date on which the LCA is filed with Employment and Training Administration.

Account Identification:

16-0179-0-1-999.

Obligations:

(Formula Grants) FY 12 \$15,000,000; FY 13 est \$14,000,000; and FY 14 est \$15,000,000 - Congressional funding for temporary employment certification programs is not appropriated separately from funds for other federal labor certification activities. (Provision of Specialized Services) FY 12 \$25,000,000; FY 13 est \$24,000,000; and FY 14 est \$25,000,000 - Congressional funding for temporary labor certification programs is not appropriated separately from funds for other federal labor certification activities.

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Budget Performance Information is available at www.dol.gov/dol/aboutdol/main.htm#budget. Fiscal Year 2013: Budget Performance Information is available at www.dol.gov/dol/aboutdol/main.htm#budget. Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Further information concerning the program may be found at 20 CFR 655. Additional information on applicable statutes, regulations, and Departmental guidance is posted on the website of the Employment and Training Administration, Office of Foreign Labor Certification.

Regional or Local Office:

None. Contact the appropriate State Workforce Agency (See Appendix IV) or Employment and Training Administration Chicago National Processing Center. The following addresses, phone numbers, and FAX numbers should be used by employers and by State Workforce Agencies for either inquiries or the forwarding of applications materials, as appropriate. Please note, for all application materials, inquiries, and other correspondence sent to either the Chicago National Processing Center, or the Employment and Training Administrations Office of Foreign Labor Certification, envelopes should be clearly marked according to the appropriate program type, i.e. H-1B, H-1B1, E-3, H-2A, H-2B, or D-1. Chicago Processing Center Address: U.S. Department of Labor, Employment and Training Administration, Office of Foreign Labor Certification, Chicago National Processing Center, 11 West Quincy Court, 844 North Rush Street, 12th Floor, Chicago, IL 60611 60604-2105 Phone : (312) 886-8000 FAX: (312) 886-1688.

Headquarters Office:

Anna Kasior U.S. Department of Labor, Employment and Training Administration, Office of Foreign Labor Certification, Employment and Training Administration Department of Labor, 200 Constitution Avenue, NW, Room C-4312, Washington, District of Columbia 20210 Email: kasior.anna@dol.gov Phone: (202) 693-3010.

Website Address:

<http://www.foreignlaborcert.doleta.gov/>.

RELATED PROGRAMS:

17.272 Permanent Labor Certification for Foreign Workers

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

17.274 YOUTHBUILD

YouthBuild

FEDERAL AGENCY:

Employment Training Administration, Department of Labor

AUTHORIZATION:

Workforce Investment Act (WIA) of 1998, Title I, Subtitle D, Section 173A, YouthBuild Program, Public Law 109-28. Public Law 105-220, 20 U.S.C. 9201. , Section 2, Public Law 111-5, 115 Stat. 59, VIII U.S.C 2.

OBJECTIVES:

Grant funds will be used to provide disadvantaged youth with: the education and employment skills necessary to achieve economic self sufficiency in occupations in high demand and post secondary education and training opportunities; opportunities for meaningful work and service to their communities; and opportunities to develop employment and leadership skills and a commitment to community development among youth in low-income communities. As part of their programming, YouthBuild grantees will tap the energies and talents of disadvantaged youth to increase the supply of permanent affordable housing for homeless individuals and low-income families and to assist youth develop the leadership, learning, and high-demand occupational skills needed to succeed in today's global economy.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

The funds must be used for: (1) Education and workforce activities, such as: basic skills instruction and remedial education; language instruction educational programs for individuals with limited English proficiency; secondary education services and activities, including tutoring, study skills training, and dropout prevention activities, designed to lead to the attainment of a secondary school diploma, General Education Development (GED) credential, or other state-recognized equivalent (including recognized alternative standards for individuals with disabilities); counseling and assistance in obtaining post secondary education and required financial aid; alternative secondary school services; work experience and skills training (coordinated, to the maximum extent feasible, with pre-apprenticeship and registered apprenticeship programs) in housing rehabilitation and construction activities; occupational skills training; and other paid and unpaid work experiences, including internships and job shadowing. (2) Counseling services and related activities, such as comprehensive guidance and counseling on drug and alcohol abuse and referral; (3) Youth development activities, such as: community service and peer-centered activities encouraging responsibility and other positive social behaviors, and activities related to youth policy committees that allow YouthBuild participants to engage in local policy and decision-making related to the program. (4) Supportive services and provision of need-based stipends necessary to enable individuals to participate in the program. (5) Supportive services to assist individuals, for a period not to exceed 12 months after the completion of training, in obtaining or retaining employment, or applying for and transitioning to post secondary education; and job search and assistance. (6) Supervision and training for participants in the rehabilitation or construction of housing, including residential housing for homeless individuals or low-income families, or transitional housing for homeless individuals. (7) Supervision and training for participants in the rehabilitation or construction of community and other public facilities. (8) Payment of a portion of the administrative costs of the grantee. (9) Mentoring of participants by qualified adults (10) Provision of wages, stipends, or benefits to participants in the program. (11) Ongoing training and technical assistance for staff that are related to developing and carrying out the program. (12) Follow-up services. (13) Equipment and/or supplies related to the YouthBuild activities funded through this grant. Funds must be used to provide prescribed education and workforce activities for eligible youth, including construction of housing, including residential housing for homeless individuals or low income families, or transitional housing for homeless individuals. Up to ten percent of the funds may be used in the rehabilitation or construction of a community or other public facilities. One hundred percent of funds are distributed through a competitive grant process.

Applicant Eligibility:

Eligible applicants for these grants are public or private nonprofit agency or

organization (including a consortium of such agencies or organizations), including: community-based organizations; faith-based organizations; an entity carrying out activities under this WIA, such as a local workforce investment board or One-Stop Career Center; a community action agency; a state or local housing development agency; an Indian tribe or other agency primarily serving Indians; a community development corporation; a state or local youth service conservation corps; or any other relevant public or private nonprofit entity that provides education or employment training and can meet the required elements of the grant.

Beneficiary Eligibility:

An eligible youth is an individual who is (1) between the ages of 16 and 24 on the date of enrollment; and (2) a member of a disadvantaged youth population such as a member of a low-income family, a youth in foster care (including youth aging out of foster care), a youth offender, a youth who is an individual with a disability, a child of an incarcerated parent, or a migrant youth; and (3) an individual who has dropped out of high school and re-enrolled in an alternative school, if that re-enrollment is part of a sequential service strategy. Up to (but not more than) 25 percent of the participants in the program may be youth who do not meet the education and disadvantaged criteria above but who are: (1) basic skills deficient, despite attainment of a secondary school diploma, General Education Development (GED) credential, or other State-recognized equivalent (including recognized alternative standards for individuals with disabilities); or (2) have been referred by a local secondary school for participation in a YouthBuild program leading to the attainment of a secondary school diploma.

Credentials/Documentation:

Grantees must demonstrate adequate organizational capacity to implement a YouthBuild program. Additionally, grantees must provide evidence of the capacity to track and report performance outcomes. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Grants for projects are awarded on a competitive basis, announced in Solicitation for Grant Applications (SGAs) in the Federal Register and also on ETA's Internet Web site at <http://www.doleta.gov>. To compete for a grant, organizations develop a proposal and budget that demonstrate how the organization will provide services to a targeted population. The SGA provides all of the necessary information for applying for Federal assistance.

Award Procedure:

Procedures for each project are specified in the applicable request for proposals. Generally, the procedure is as follows: A technical review panel composed of staff from ETA program offices as well as peer reviewers evaluates eligible submitted applications. The panel prepares a report for the ETA Grant Officer identifying the weaknesses of the application and the cumulative rating. Once selections are made by the Grant Officer, an Award Notification is sent through the appropriate congressional channels for notification. After awardees are notified, the list of awardees is posted on the ETA Web site at www.dol.gov. If an application is rejected, a letter is sent to the applicant as notification that they were not selected as a recipient of the grant.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days.

Appeals:

Procedures for each project are specified in the applicable Solicitation for Grant Applications.

Renewals:

Renewals with funds are not available. However, with significant justification

ETA may elect to exercise its option to award no-cost extensions to these grants for an additional period at its own discretion, based on the success of the program and other relevant factors.

Formula and Matching Requirements:

This program has no statutory formula.
Matching Requirements: Percent: 25%.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

YouthBuild funds are awarded for a period of 3 years and 4 months which includes four months of planning, two years of program operations and one year of follow-up services to youth. Method of awarding/releasing assistance: by letter of credit.

Reports:

A quarterly narrative report must be submitted within 45 days following the quarter. Cash reports are not applicable. The quarterly performance report must be submitted within 45 days following the quarter. A quarterly financial report is submitted within 45 days following the quarter. Regional Federal Project Offices conduct monitoring using risk assessments, desk audits, and on-site monitoring.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Recipients are required to maintain books, records, documents, and other evidence of accounting procedures and practices sufficient to reflect properly all direct and indirect costs of whatever nature claimed to have been incurred for the performance of the grant. Records are to be retained for three years from the date of final payment, unless the grant officer authorizes earlier disposal.

Account Identification:

16-0174-0-1-504.

Obligations:

(Project Grants) FY 12 \$81,000,000; FY 13 est \$69,000,000; and FY 14 est \$79,000,000

Range and Average of Financial Assistance:

Grants ranged from \$ 700,000 to \$1.1 million.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Performance budgets are posted annually and are available on the Internet at: www.dol.gov/dol/aboutdol/main.htm#budget. Fiscal Year 2013: Once finalized, performance budgets are posted annually and are available on the Internet at: www.dol.gov/dol/aboutdol/main.htm#budget. Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Provided in the applicable Solicitation for Grant Application.

Regional or Local Office:

See Regional Agency Offices. Contact the nearest Employment and Training Administration regional office listed in Appendix IV of the Catalog.

Headquarters Office:

Sanzanna C. Dean 200 Constitution Avenue, NW, Room N-4508, Washington, District of Columbia 20210 Email: dean.sanzanna@dol.gov Phone: (202) 693-3604 Fax: (202) 693-3110

Website Address:

<http://www.doleta.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria for each proposal are specified in the applicable Solicitation for Grant Application.

17.275 PROGRAM OF COMPETITIVE GRANTS FOR WORKER TRAINING AND PLACEMENT IN HIGH GROWTH AND EMERGING INDUSTRY SECTORS

FEDERAL AGENCY:

Employment Training Administration, Department of Labor

AUTHORIZATION:

American Recovery and Reinvestment Act of 2009, Title VIII, Public Law 111-5.

OBJECTIVES:

The American Recovery and Reinvestment Act of 2009 (ARRA) is intended to preserve and create jobs and promote economic recovery; assist those most impacted by the recession; provide investments needed to increase economic efficiency by spurring technological advances in science and health; and invest in transportation, environmental protection, and other infrastructure that will provide long-term economic benefits.

The ARRA makes \$750,000,000 available for a program of competitive grants for worker training and placement in high growth and emerging industry sectors.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Competitive grants awarded through this program are to be used for worker training and placement in high-growth and emerging industry sectors: \$500 million shall be for research, labor exchange and job training projects that prepare workers for careers in energy efficiency and renewable energy; and the Recovery Act further provided that in awarding grants for the remaining \$250 million, projects that prepare workers for careers in the health care sector would receive priority. Restrictions are specified in Solicitation for Grant Announcements. A very small portion of the funds will be used to provide technical assistance and performance reporting support to the grantees.

Applicant Eligibility:

Varies - please refer to the Solicitations for Grant Awards for specific eligibility.

Beneficiary Eligibility:

Varies - please refer to the Solicitations for Grant Awards for specific eligibility.

Credentials/Documentation:

Grantees must demonstrate an adequate organizational capacity, both fiscally and programmatically. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Grants for projects are awarded on a competitive basis, announced in Solicitation for Grant Application (SGAs) in the Federal Register, on Grants.gov, and also on ETA's Internet Web site at www.doleta.gov.

Award Procedure:

Procedures for each grant award are specified in the applicable request for grant applicants. Generally, the procedure is as follows: A technical review panel composed of Department of Labor staff as well as other peer reviewers evaluates the grant applications from eligible applicants. The panel prepares a report for the ETA Grant Officer identifying the strengths and weaknesses of the application and the cumulative rating. Once selections are made by the

Grant Officer, an Award Notification is sent through the appropriate congressional channels for notification. After awardees are notified, the list of awardees is posted on the ETA Web site at www.doleta.gov. If an application is rejected, a letter is sent to the applicant as notification that they were not selected as a grant recipient.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 90 to 120 days. Please check the Federal Register or Grants.gov for deadlines.

Appeals:

Procedures for each project are specified in the applicable Solicitation for Grant Applications.

Renewals:

Renewals of funds are generally not available. However, with significant justification ETA may elect to exercise its option to award no-cost extensions to these grants for an additional period at its own discretion, based on the success of the program and other relevant factors.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. Cost sharing or matching funds are not required as a condition for application unless specified in the SGA.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The period of performance will be from one to three years, as specified in the SGA. Payments to grantees are usually made by Letter of Credit draw-down procedures. Method of awarding/releasing assistance: by letter of credit.

Reports:

No program reports are required. No cash reports are required. At a minimum, quarterly progress and financial reports and final reports are required.

Additional requirements are included in the solicitation. Regional Federal Project Officers conduct performance monitoring using risk assessments, desk monitoring, and on-site reviews.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Recipients are required to maintain books, records, documents, and other evidence of accounting procedures and practices sufficient to reflect properly all direct and indirect costs of whatever nature claimed to have been incurred for the performance of the grant. Records are to be retained for three years from the date of final payment unless the grant officer authorizes earlier disposal.

Account Identification:

16-0184-0-1-504 - ARRA.

Obligations:

(Project Grants) FY 12 \$0; FY 13 est \$0; and FY 14 est \$0

Range and Average of Financial Assistance:

Applicants should refer to individual SGAs to determine the range of awards.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Specified in applicable Solicitation for Grant Applications.

Regional or Local Office:

See Regional Agency Offices. Contact the nearest Employment and Training Administration regional office listed in Appendix IV of the Catalog.

Headquarters Office:

Thomas Hooper, Business Relations Group, Office of Workforce Investment, Employment and Training Administration, Department of Labor, 200 Constitution Ave., NW., Room N-4643, Washington, District of Columbia 20210 Email: hooper.thomas@dol.gov Phone: (202) 693-3949. Fax: (202) 693-3865.

Website Address:

http://www.doleta.gov

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria for each proposal are specified in the applicable Solicitation for Grant Applications.

17.276 HEALTH CARE TAX CREDIT (HCTC) NATIONAL EMERGENCY GRANTS (NEGS)

FEDERAL AGENCY:

Employment Training Administration, Department of Labor

AUTHORIZATION:

American Recovery and Reinvestment Act of 2009 (Public Law 111-5), Trade Act of 1974 (Public Law 93-618), as amended; Trade Adjustment Assistance (TAA) Reform Act of 2002 (Public Law 107-210), as reauthorized by the TAA Extension Act of 2011; Workforce Investment Act Section 173(g)(29 U.S.C. 2918), Public Law 111-5.

OBJECTIVES:

To provide health insurance coverage assistance and support related services to individuals eligible for Trade Adjustment Assistance (TAA), alternative TAA, or a PBGC pension, as authorized under the Trade Adjustment Assistance Reform Act of 2002, and reauthorized under the TAA Extension Act of 2011.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Please Note: The legislation that authorizes the HCTC program expires on January 1, 2014 and the tax credit will no longer be available. With the expiration of the IRS HCTC program, ETA will no longer accept applications or award HCTC NEGs.

There are two types of HCTC NEGs: infrastructure and Gapfiller. Infrastructure NEG funds can be used to help states establish the systems and procedures needed to make healthcare benefits available for eligible individuals. Gapfiller NEGs can be used to provide assistance and support services, including qualifying health insurance coverage, transportation, child care, dependent care and income assistance, to eligible individuals waiting to receive payments through the HCTC. The HCTC is equal to 72.5 percent of the amount paid by an eligible individual for qualified health coverage. Monthly payments are made directly to a health plan administrator on behalf of participants, allowing them to receive the benefit of the tax credit at the time of need. States may request HCTC NEG funds to cover up to three months of gap payments to support 72.5 percent of the qualified premium for eligible individuals. The gap period is defined as the period after the state determines a candidate's eligibility for HCTC until the IRS enrolls, processes, and pays a candidate's first payment under the HCTC program. This period is usually one month, but depending on the timing and other factors can take up to three months, and sometimes slightly longer in rare instances. NEG funding for gap periods is predicated on the fact that the individual remains eligible for the HCTC for all gap months. NEGs funds are discretionary.

Applicant Eligibility:

Not Applicable.

Beneficiary Eligibility:

Not Applicable.

Credentials/Documentation:

TAA certification. This program is excluded from coverage under OMB

Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. HCTC applications are submitted electronically through the National Emergency Grant Electronic Application System. States are required to complete and submit the following forms:

Completed and signed SF 424 Application for Federal Assistance. This form is the required application for requesting federal funds.

Project Synopsis (ETA 9106) - This form summarizes key aspects of the proposed project such as project type, planned number of participants and contact information.

Planning Form (ETA 9103) This form provides cumulative quarterly estimates on project scope (e.g. number of participants, exits), designs (e.g. mix of services), and budget (e.g., cost by type of activity, administrative costs)

Narrative Statement Explanation must be provided in cases where one or more of the following are reflected in the project plan:

Indirect costs are included in the budget, which requires identifying the following: cognizant approval agency, approved cost rate and base, and date of approval.

Other costs are included in the budget, which requires identifying the specific cost items and amounts

Administrative costs related to processing payments for qualified health insurance coverage and/or income support are included in the budget, which requires explaining how the administrative cost estimate was derived (i.e. based on the number of check payments and check processing costs)

TAA Certification Report - The applicant must include, as an attachment to its grant submission, information which identifies the trade certification number(s) for the workers included in the target group for the project. In cases where a petition has been filed but the certification is pending, identify the trade petition number and the date the petition was filed.

Award Procedure:

The Grant Officer issues a Notice of Obligation to the grantee providing the dollar amount, purpose of the funds and the terms and conditions of the grant, based on the information provided in the application.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 15 to 30 days. A decision on an initial application and monetary grant modifications such as requests for incremental funding, are made within 30 working days from receipt of a complete and responsive request.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

HCTC grants are awarded on a rolling basis. Method of awarding/releasing assistance: by letter of credit.

Reports:

Program reports are not applicable. Cash reports are not applicable. Quarterly Performance Reports (ETA 9104) are required. This report is submitted through the NEG Electronic Application System on actual performance to date. A separate quarterly financial report (ETA 9130) is also required. Performance monitoring occurs on a quarterly basis. HCTC NEG Infrastructure reports outline the administrative costs of and the premium payments processed. HCTC gap filler reports outline the number of participants receiving payments and the amount of payments issued.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

State requirements are to be in conformity with Federal statutes.

Account Identification:

16-0184-0-1-504 - ARRA.

Obligations:

(Project Grants) FY 12 \$2,000,000; FY 13 est \$4,000,000; and FY 14 est \$3,000,000

Range and Average of Financial Assistance:

Grant amounts awarded PY2011/FY2012 ranged from \$280,000 - 1,389,568. The average was \$686,153.

Grant amounts awarded PY2012/FY2013 ranged from \$1,058,254 - 2,000,000. The average was \$1,414,564.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Training and Employment Guidance Letter (TEGL) 10-11, which advises "State Workforce Agencies or agencies designated by Governors as "Cooperative State Agencies" (CSAs) (also jointly referred to as "states") implement the provisions of the TAAEA enacted on October 21, 2011."

Training and Employment Guidance Letter (TEGL) 25-09, which "provides guidance and direction regarding the availability of Health Coverage Tax Credit (HCTC) National Emergency Grant (NEG) resources for health insurance coverage assistance and support services for eligible Trade Adjustment Assistance (TAA) recipients and other eligible individuals, as authorized under the Workforce Investment Act of 1998.

Unemployment Insurance Program Letter (UIPL) 12-11, which advises "State Workforce Agencies of their responsibilities under the HCTC program after the expiration of the provisions of the American Recovery and Reinvestment Act of 2009 (Recovery Act) that temporarily expanded the HCTC."

Regional or Local Office:

See Regional Agency Offices. Employment and Training Administration regional offices.

Headquarters Office:

Erica R. Cantor, Francis Perkins Building, Room C-5321, Washington, District of Columbia 20210 Email: cantor.eric@dol.gov Phone: (202) 693-3500 Fax: (202) 693-3149.

Website Address:

<http://www.doleta.gov/neg/>

RELATED PROGRAMS:

17.245 Trade Adjustment Assistance; 17.260 WIA Dislocated Workers

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

17.277 WORKFORCE INVESTMENT ACT (WIA) NATIONAL EMERGENCY GRANTS

National Emergency Grants (NEGs)

FEDERAL AGENCY:

Employment Training Administration, Department of Labor

AUTHORIZATION:

Workforce Investment Act of 1998, Title I, Subtitle B, Chapter 5, Title I, Public Law 105-220, 20 U.S.C 9201.

OBJECTIVES:

The purpose of the National Emergency Grant program is to temporarily expand service capacity at the state and local levels by providing time-limited funding assistance in response to significant dislocation events. Significant events are those that create a sudden need for assistance that cannot reasonably be expected to be accommodated within the on-going operations of the formula-funded Dislocated Worker program, including the discretionary resources reserved at the state level.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Eligible circumstances for funding include: Federal Emergency Management Agency (FEMA)-declared disasters; plant closures and mass layoffs affecting 50 or more workers; and assistance to trade impacted workers and other individuals eligible under the Trade Adjustment Assistance (TAA) Reform Act as amended.

Applicant Eligibility:

Entities that are generally eligible to receive a National Emergency Grant include: designated state Workforce Investment Act (WIA) program grantee agencies; a Local Workforce Investment Area; a consortium of local boards for adjoining local areas; a designated organization receiving WIA funding through the Native American Program provisions of WIA; and a consortium of states.

Funds can be used to provide employment and training services (including some supportive services) to eligible participants.

Beneficiary Eligibility:

Individuals who are eligible for assistance vary by type of National Emergency Grant project; however, they must meet the criteria provided in the Workforce Investment Act: National Emergency Grants - Application Procedures, 69 Federal Register (April 27, 2004).

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Applications for NEGs are submitted via the NEG Electronic Application System.

Award Procedure:

The Grant Officer issues a Notice of Obligation to the grantee providing the dollar amount, purpose of the funds, and the terms and conditions of the grant based on the information provided in the application.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 15 to 30 days. A decision on an initial application and monetary grant modifications such as requests for incremental funding, are made within 30

working days from receipt of a complete and responsive request.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title Workforce Investment Act of 1998, Public Law PL 105-220.

This program has no matching requirements.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Applications for NEG funds can be funded in whole or in part. Generally, NEGs are funded incrementally. Awarded NEG funds may be expended during the months remaining in the Program Year in which the grant award is made plus the subsequent two program years. Generally, planned project durations should not exceed 24 months. Method of awarding/releasing assistance: by letter of credit.

Reports:

Program reports are not applicable. No cash reports are required. Workforce Investment Act Performance Reports are submitted quarterly. Financial reports are submitted quarterly. Performance monitoring occurs on a quarterly basis.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

States are required to maintain adequate records in accordance with 29 CFR 95 and 97.

Account Identification:

16-0174-0-1-504.

Obligations:

(Project Grants) FY 12 \$203,000,000; FY 13 est \$188,000,000; and FY 14 est \$185,000,000 - Prior to July 2010 NEG grants were issued under CFDA # 17.260. Beginning in PY 2010 (July 1, 2010 to June 30, 2011) NEG grants or older grant increments began going out under 17.277.

Range and Average of Financial Assistance:

Grant amounts awarded PY2011/FY2012 ranged from \$41,347 - \$16,532,485. The average was \$2,156,742.

Grant amounts awarded PY2012/FY2013 ranged from \$175,318 - \$17,171,188. The average was \$2,336,785.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Performance results are posted at:

<http://www.doleta.gov/performance/results/reports.cfm>. Fiscal Year 2013: See DOL Budget located at <http://www.dol.gov/dol/aboutdol/main.htm#budget>.

Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

20 CFR 652 et al., Workforce Investment Act: Final Rules (August 11, 2000); 69 Fed. Reg. 23051 - 23081, Workforce Investment Act: National Emergency Grants - Application Procedures (April 27, 2004)

Regional or Local Office:

See Regional Agency Offices. Contact appropriate Regional Employment and Training Office listed in the appendix of the Catalog.

Headquarters Office:

Erica R. Cantor, 200 Constitution Ave. NW, Room C-5321, Washington, District of Columbia 20210 Email: cantor.eric@dol.gov Phone: (202) 693-3500 Fax: (202) 693-3149.

Website Address:

<http://www.doleta.gov/neg>

RELATED PROGRAMS:

17.207 Employment Service/Wagner-Peyser Funded Activities; 17.245 Trade Adjustment Assistance; 17.258 WIA Adult Program; 17.259 WIA Youth Activities; 17.260 WIA Dislocated Workers; 17.276 Health Coverage Tax Credit (HCTC)

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

17.278 WIA DISLOCATED WORKER FORMULA GRANTS

(WIA Dislocated Workers)

FEDERAL AGENCY:

Employment Training Administration, Department of Labor

AUTHORIZATION:

Workforce Investment Act of 1998, Title I, Part Subtitle B, Section Chapter 5, Public Law 105-220, 20 U.S.C 9201.

OBJECTIVES:

The purpose of the WIA Dislocated Worker program is to help dislocated workers become reemployed through job search assistance and/or training that builds their occupational skills to meet labor market needs. This program's success is measured by participants' entry into unsubsidized employment, retention in unsubsidized employment, and average earnings.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

The Act authorizes three levels of service for dislocated workers. "Core" services include outreach, job search and placement assistance, and labor market information. "Intensive" services include more comprehensive assessments, development of individual employment plans and counseling, and career planning. Customers who cannot find employment with their current skills may receive "training" services linked to job opportunities in their communities, including both occupational training and training in basic skills. To promote customer choice and involvement in career decisions, participants use an "Individual Training Account" (ITA) to select an appropriate training program from an eligible training provider. The Act also authorizes the provision of supportive services (e.g., transportation and child care assistance) to enable an individual to participate in the program. Funds reserved by the Secretary are also used for technical assistance and training and demonstration programs.

Beginning in Program Year (PY) 2010 CFDA number 17.260 will no longer be used for any new funding. Funding previously covered under 17.260 which is allocated after June 30, 2010 will be referenced using several new CFDA numbers: 17.277 for National Emergency Grants (NEGs), 17.278 for WIA Dislocated Worker Formula Grants, 17.280 WIA Dislocated Worker National Reserve Demonstration Grants, and 17.281 WIA Dislocated Worker National Reserve Technical Assistance and Training Grants. All subsequent information listed here under 17.278 refers to WIA Dislocated Worker Formula Grants. Funds must be used in accordance with the statute and regulations.

Applicant Eligibility:

Under the Act, the organizations eligible to receive formula-based funding from the Department are the 50 states, Puerto Rico, the District of Columbia and the outlying areas. Funds are allotted based on a statutory formula and states, in turn, allocate funds to local workforce investment boards (approximately 600), by formula prescribed by the governor.

Beneficiary Eligibility:

Individuals eligible for assistance through the Act include workers who have lost their jobs, including those dislocated as a result of plant closings or mass layoffs, and are unlikely to return to their previous industry or occupation; formerly self-employed individuals; and displaced homemakers who depend on income of another family member, but are no longer supported by that income. Priority of Service is given to veterans and other covered persons.

Credentials/Documentation:

Formula-funded programs are subject to an agreement between the Governor and Secretary and an approved State Plan. States sign a grant document agreeing to comply with the Act and regulations for the formula-allotted program. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. The governor submits a single Strategic State Plan in accordance with one of the Planning Guidance, either Stand-Alone or Unified, issued through the Federal Register. The planning guidance provides detailed instruction on what must be included in the State Plan. The Unified Planning Guidance provides a framework for collaboration across programs and integration of services beyond WIA Title I programs and Wagner-Peyser Activities, including non-DOL programs and other DOL programs. The plan is submitted to the Federal Coordinator for Plan Review and Approval (currently Robert Kight), Division of WIA Adult Services and Workforce System, Office of Workforce Investment, Employment and Training Administration, Department of Labor, 200 Constitution Avenue, NW, Room S-4209, Washington, DC 20210.

Award Procedure:

Those portions of the State Plan over which the Assistant Secretary for Employment and Training exercises authority are reviewed and approved by the Employment and Training Administration. Formula funds are awarded to the states based on a statutory formula provided in the authorizing legislation.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. State plans will be reviewed in accordance with 20 CFR 661.220(e), which provides that the Secretary must approve all state plans within 90 days of their submission, unless the Secretary determines in writing that: (1) the state plan is inconsistent with the provisions of Title I of WIA or the WIA regulations, including 29 CFR Part 37; or (2) the portion of the state plan impacting the Wagner-Peyser Act plan does not satisfy the criteria for approval in section 8(d) of the Wagner-Peyser Act or the Wagner-Peyser Act regulations at 20 CFR Part 652.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title Workforce Investment Act of 1998, Public Law 105-220.

This program has no matching requirements.

This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

Formula funds are annually allotted to states and local workforce investment areas under statutory formulas based on the distribution of unemployed individuals. States and local areas have three years to obligate the funds before they expire. Method of awarding/releasing assistance: by letter of credit.

Reports:

Performance reporting requires:

1. WIA Annual Report
2. WIA Annual Report narrative
3. required to submit WIASRD file for both participants and exiters on quarterly basis in addition to the annual reporting.
4. Quarterly Financial Reports

Note: Monitoring is conducted by Regional Federal Project Officers based on a risk assessment. No cash reports are required. No progress reports are required. Quarterly financial reports are required in accordance with 20 CFR 667.300. Regional Federal Project Officers conduct monitoring using risk assessments, desk reviews, and on-site visits.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Subject to audits by the Department of Labor or other authorized Government agencies.

Records:

States are required to maintain adequate records in accordance with 29 CFR 95 and 97.

Account Identification:

16-0174-0-1-504.

Obligations:

(Formula Grants) FY 12 \$1,019,000,000; FY 13 est \$959,000,000; and FY 14 est \$1,049,000,000 - Prior to July 2010, Dislocated Worker Formula Grants to States went out under CFDA # 17.260. This includes all state allotments from PY 2009 and earlier. Starting in PY 2010 (July 1, 2010 to 30 June 2011) Dislocated Worker state allotments went out under CFDA number 17.278.

Range and Average of Financial Assistance:

WIA formula grants vary annually and are published in the Federal Register.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Once finalized and available, performance results are posted at: <http://www.doleta.gov/performance/results/reports.cfm>. Fiscal Year 2013: Once finalized and available, performance budgets are posted annually on the Internet and are available at: <http://www.dol.gov/dol/aboutdol/main.htm#budget>. Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

20 CFR 652 et al., Federal Register of August 2000.

Regional or Local Office:

See Regional Agency Offices. Contact appropriate Regional Employment and Training Office listed in Appendix IV of the Catalog.

Headquarters Office:

Amanda Ahlstrand 200 Constitution Ave., NW, Room C-4526, Washington, District of Columbia 20210 Email: ahlstrand.amanda@dol.gov Phone: 202-693-3052 Fax: 202-693-3981

Website Address:

<http://www.doleta.gov>

RELATED PROGRAMS:

17.207 Employment Service/Wagner-Peyser Funded Activities; 17.245 Trade Adjustment Assistance; 17.258 WIA Adult Program; 17.260 WIA Dislocated Workers; 17.277 Workforce Investment Act (WIA) National Emergency Grants

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

17.279 GREEN JOBS INNOVATION FUND GRANTS

Green Jobs Innovation Fund Grants

FEDERAL AGENCY:

Employment Training Administration, Department of Labor

AUTHORIZATION:

Workforce Investment Act of 1998, Title 1, Section 171, Public Law 105-220, 1

U.S.C 171.

OBJECTIVES:

The Green Jobs Innovation Fund is part of the Department's vision for ensuring that workers have the necessary skills, knowledge, and abilities to succeed in a knowledge-based economy that includes high growth and emerging green industries. Green industries include the energy efficiency and renewable energy industries as well as sectors that impact our environment such as transportation, green construction, environmental protection, sustainable agriculture, forestry, and recycling and waste reduction. The Green Jobs Innovation Fund will allow ETA to build upon the lessons learned from the green job investments provided under the American Recovery and Reinvestment Act. Through a competitive grant opportunity, the Department is emphasizing critical steps along green career pathways by: 1) forging linkages between Registered Apprenticeship and pre-apprenticeship programs, and/or 2) integrating the delivery of technical and basic skills training through community-based partnerships. The Fund will help workers receive job training in green industry sectors and occupations and access green career pathways.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

The funds must be used to train workers for careers in green industry sectors and occupations and help them access green career pathways. Specific rules and requirements are published in each Solicitation for Grant Application. Approximately one hundred percent of available funds are used for discretionary activities. Grant opportunities are published in the Federal Register. See Applicant Eligibility Requirements in the Solicitation for Grant Application (SGA). A small portion of the funds will be used to provide technical assistance to Green Job Innovation Fund grantees to support successful performance.

Applicant Eligibility:

See above.

Beneficiary Eligibility:

The scope of potential trainees is very broad. Specific requirements are published in each Solicitation for Grant Application (SGA). WIA Section 171 authorizes demonstration programs to serve unemployed workers, dislocated workers, and incumbent workers. Within these categories, grantees may serve a wide range of individuals, such as individuals receiving public assistance, high school dropouts, new entrants to the workforce, individuals with disabilities, veterans, Indians and Native Americans, and individuals with Limited English Proficiency. Eligible participants must be at least 16 years of age. If participants are 16-18 years of age, then they must be high school dropouts or out of school youth.

Credentials/Documentation:

Grantees must demonstrate an adequate organizational capacity, both fiscally and programmatically, including a project management structure. Additionally, grantees must provide evidence of the use of data systems to track outcomes in a timely and accurate manner. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Grants for projects are awarded on a competitive basis, announced in Solicitation for Grant Applications (SGAs) in the Federal Register and also on ETA's Internet Web site at <http://www.doleta.gov>. To compete for a grant, organizations develop a proposal and budget that demonstrate how the organization will provide services to a targeted population. The SGA provides all of the necessary information for applying for federal assistance.

Award Procedure:

Procedures for each project are specified in the applicable request for proposals. Generally, the procedure is as follows: A technical review panel composed of

federal staff and peer reviewers evaluates eligible submitted applications. The panel prepares a report for the ETA Grant Officer identifying the strengths and weaknesses of each application and the cumulative rating. Selections are made based on the best rated proposals, as well as other factors as cited in the SGA. Once selections are made by the Grant Officer, an Award Notification is sent through the appropriate congressional channels for notification. After awardees are notified, the list of awardees is posted on the ETA website at www.doleta.gov. If an application is rejected, a letter is sent to the applicant as notification that they were not selected as a recipient of the grant.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 90 to 120 days. ETA announces grant recipients once the paneling process is complete, generally 90 to 120 days after the application deadline.

Appeals:

Procedures for each project are specified in the applicable Solicitation for Grant Application (SGA).

Renewals:

Renewals with funds are not available. However, with significant justification ETA may elect to exercise its option to award no-cost extensions to these grants for an additional period at its own discretion, based on the success of the program and other relevant factors.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Most projects last 2 to 3 years. Payments to grantees are usually made by Letter of Credit draw-down procedures. Method of awarding/releasing assistance: by letter of credit. Method of awarding/releasing assistance: by letter of credit.

Reports:

No program reports are required. No cash reports are required. Quarterly progress reports are required within 45 days following the end of the quarter. Quarterly financial reports are required within 45 days following the end of the quarter. Final progress reports are required no later than 90 days after all grant funds have been expended, or the period of grant funds availability has expired. Regional Federal Project Officers conduct monitoring using risk assessments, desk monitoring, and on-site reviews.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Recipients are required to maintain books, records, documents, and other evidence of accounting procedures and practices sufficient to reflect properly all direct and indirect costs claimed to have been incurred for the performance of the grant. Records are to be retained for three years from the date of final payment unless the grant officer authorizes earlier disposal.

Account Identification:

16-0174-0-1-504.

Obligations:

(Project Grants (Discretionary)) FY 12 \$0; FY 13 est \$0; and FY 14 est \$0

Range and Average of Financial Assistance:

Grants typically range from \$5-8 million per award.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Provided in the applicable Solicitation for Grant Application (SGA).

Regional or Local Office:

See Regional Agency Offices. See Regional Agency Offices. Contact the nearest Employment and Training Administration regional office listed in Appendix IV of the Catalog.

Headquarters Office:

Jennifer W. Troke, Department of Labor, 200 Constitution Ave. NW, N-4643, Washington, District of Columbia 20210 Email: green.jobs@dol.gov Phone: (202) 693-3949 Fax: (202) 693-3890

Website Address:

<http://www.doleta.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria for each proposal are specified in the applicable Solicitation for Grant Application.

17.280 WORKFORCE INVESTMENT ACT (WIA) DISLOCATED WORKER NATIONAL RESERVE DEMONSTRATION GRANTS**FEDERAL AGENCY:**

Employment Training Administration, Department of Labor

AUTHORIZATION:

Workforce Investment Act, Title 1, Part D, Section 171(d), Public Law 105-220, 29 U.S.C 2916.

OBJECTIVES:

To carry out demonstration and pilot projects for the purpose of developing and implementing techniques and approaches, and demonstrating the effectiveness of specialized methods, in addressing employment and training needs of Dislocated Workers.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

To carry out demonstration and pilot projects for the purpose of developing and implementing techniques and approaches, and demonstrating the effectiveness of specialized methods, in addressing employment and training needs of Dislocated Workers. Grants awarded for carrying out demonstration and pilot projects under this subsection shall generally be awarded on a competitive basis to non-state entities. Awards to states are provided on a non-competitive basis.

Applicant Eligibility:

Eligible applicants include: State and local governments, Federal agencies, private non-profit and for profit organizations, including faith-based and community-based organizations, and educational institutions. Note: Applicant eligibility may be restricted to one or more applicant classes under the particular announcement or solicitation.

Beneficiary Eligibility:

Project participants are dislocated workers and incumbent workers.

Credentials/Documentation:

Non-governmental entities ordinarily must furnish documentary evidence of adequate financial controls. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Grants for projects are awarded on a competitive basis, announced in Solicitations for Grant Applications (SGA) in the Federal Register and also on ETA's Internet website <http://www.doleta.gov>. To compete for a grant, organizations develop

a proposal and budget that demonstrate how the organization will provide services to a targeted population. The SGA provides all of the necessary information for applying for federal assistance.

Award Procedure:

Procedures for each project are specified in the applicable SGA. Generally, the procedure is as follows: A technical review panel composed of staff from ETA program offices as well as peer reviewers evaluate eligible submitted applications. The panel prepares a report for the ETA Grant Officer identifying the strengths and weaknesses of the application and the cumulative rating. Once selections are made by the Grant Officer, an award notification is sent through the appropriate congressional channels for notification. After awardees are notified, the list of awardees is posted on the ETA Web site at www.doleta.gov. If an application is rejected, a letter is sent to the applicant.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Procedures for each project are specified in the applicable SGA.

Renewals:

Project extensions available upon approval by the Grant Officer (renewals are not automatic). Requests for extensions or renewals must be submitted in writing.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Most projects last 1 to 2 years. See the following for information on how assistance is awarded/released: Reports are required for accountability purposes.

Reports:

Program reports are not applicable. Cash reports are required for accountability purposes. Quarterly progress reports on all grants awarded are a mandatory requirement of all solicitations. Monthly progress reports are required for contracts/task orders. Expenditure reports are not applicable. Performance monitoring is done by the Contracting Officer's or Grant Officer's Technical Representative in accordance with Federal Acquisition or Department of Labor Management Series Guidelines.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Recipients are required to maintain books, records, documents and other evidence of accounting procedures and practices sufficient to reflect properly all direct and indirect costs claimed to have been incurred for the performance of the grant. Records are to be retained for three years from the date of final payment unless the grant officer authorized earlier disposal.

Account Identification:

16-0174-0-1-504.

Obligations:

(Project Grants) FY 12 \$7,000,000; FY 13 est \$0; and FY 14 est \$9,000,000 - A maximum of ten percent of the WIA Dislocated Worker National Reserve may be used for Demonstration and Pilot projects.

Range and Average of Financial Assistance:

The total grant award for PY2011/FY2012 totaled \$8,889,267.

The total grant award for PY2012/FY 2013 totaled \$499,891.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Notices of SGAs are published periodically in the Federal Register on the ETA website at www.doleta.gov

Regional or Local Office:

See Regional Agency Offices. Contact the nearest Employment and Training Administration Regional Office listed in Appendix IV of the Catalog.

Headquarters Office:

Erica R. Cantor 200 Constitution Avenue, NW, Room C5321, Washington, District of Columbia 20210 Email: cantor.eric@dol.gov Phone: (202) 693-3500 Fax: (202) 693-3149

Website Address:

<http://www.doleta.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria for each proposal are specified in the applicable SGA.

17.281 WORKFORCE INVESTMENT ACT (WIA) DISLOCATED WORKER NATIONAL RESERVE TECHNICAL ASSISTANCE AND TRAINING

FEDERAL AGENCY:

Employment Training Administration, Department of Labor

AUTHORIZATION:

Workforce Investment Act, Title 1, Part D, Section 170, Public Law 105-220, 29 U.S.C 2915.

OBJECTIVES:

To support the coordination, development, and provision of appropriate training, technical assistance, staff development, and other activities, including assistance in replicating programs of demonstrated effectiveness to States, local areas, and other entities involved in providing assistance to dislocated workers, as well as promoting the continuous improvement of assistance provided to dislocated workers under the Workforce Investment Act of 1998.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Grants awarded to entities other than States or local units of government that are for amounts in excess of \$100,000 shall only be awarded on a competitive basis.

Of the amounts available pursuant to WIA Section 132(a)(2), the National Reserve Account, the Secretary shall reserve not more than 5 percent of such amounts to provide technical assistance to States, local areas, and other entities involved in providing reemployment services to dislocated workers to promote the continuous improvement of assistance provided to dislocated workers under this title.

Applicant Eligibility:

Eligible applicants include: State and local governments, Federal agencies, private non-profit and for profit organizations, including faith-based and community-based organizations, and educational institutions. Note: Applicant eligibility may be restricted to one or more applicant classes under the particular announcement or solicitation.

Beneficiary Eligibility:

Funds are used to promote the continuous improvement of assistance provided to dislocated workers.

Credentials/Documentation:

Non-Governmental entities ordinarily must furnish documentary evidence of

adequate financial controls. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Applicants submit application to US Department of Labor, Employment and Training Administration.

Award Procedure:

The Grant Officer issues a Notice of Obligation to the grantee providing the dollar amount, purpose of the funds and the terms and conditions of the grant, based on information provided in the application.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. This program has no matching requirements. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Not applicable. Method of awarding/releasing assistance: lump sum.

Reports:

Program reports are required for accountability purposes. Cash reports are required for accountability purposes. Quarterly progress reports on all grants are a mandatory requirement of all solicitations. Expenditure reports are not applicable. Performance monitoring is done by the Contracting Officer's or Grant Officer's Technical Representative in accordance with Federal Acquisition or Department of Labor Management Series Guidelines.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Recipients are required to maintain books, records, documents and other evidence of accounting procedures and practices sufficient to reflect properly all direct and indirect costs claimed to have been incurred for the performance of the grant. Records are to be retained for three years from the date of final payment unless the grant officer authorized earlier disposal.

Account Identification:

16-0174-0-1-504.

Obligations:

(Project Grants) FY 12 \$5,000,000; FY 13 est \$6,000,000; and FY 14 est \$6,000,000 - Grants and cooperative agreements. Contracts are excluded.

Range and Average of Financial Assistance:

The total grant awards/cooperative agreements for PY2011/FY2012 totaled \$5,010,250.

The total grant awards/cooperative agreements for PY2012/FY2013 totaled \$11,197,246.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Erica R. Cantor 200 Constitution Avenue, NW, Room C5321, Washington, District of Columbia 20210 Email: cantor.eric@dol.gov Phone: (202) 693-3500. Fax: (202) 693-3149

Website Address:

<http://www.doleta.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

17.282 TRADE ADJUSTMENT ASSISTANCE COMMUNITY COLLEGE AND CAREER TRAINING (TAACCCT) GRANTS

Trade Adjustment Assistance Community College and Career Training (TAACCCT) Grants

FEDERAL AGENCY:

Employment Training Administration, Department of Labor

AUTHORIZATION:

Trade Act of 1974 as amended by the American Recovery and Reinvestment Act of 2009, Public Law 111-5, 19 U.S.C 2372a(b); Health Care and Education Reconciliation Act of 2010, Public Law 111-152.

OBJECTIVES:

The Trade Adjustment Assistance Community College and Career Training (TAACCCT) Grants Program provides community colleges and other eligible institutions of higher education with funds to expand and improve their ability to deliver education and career training programs that can be completed in two years or less, are suited for workers who are eligible for training under the Trade Adjustment Assistance for Workers program, and prepare program participants for employment in high-wage, high-skill occupations. The targeted population of this program is workers who have lost their jobs or are threatened with job loss as a result of foreign trade. The Department intends to fund multi-year grants to eligible institutions for either developing innovative programs or replicating evidence-based strategies. As a result of these Solicitation for Grant Applications (SGAs), the Department is helping to ensure that our nations institutions of higher education are able to help the targeted population succeed in acquiring the skills, degrees, and credentials needed for high-wage, high-skill employment while also meeting the needs of employers for skilled workers.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

The Health Care and Education Reconciliation Act includes \$2 billion over four years for the Trade Adjustment Assistance Community College and Career Training grant program. The grants awarded under this Solicitation will help eligible institutions expand and improve their ability to deliver education and career training programs that can be completed in two years or less, and are suited for workers who are eligible for training under the TAA for Workers program. The Department of Labor is implementing this program in partnership with the Department of Education. Specific rules and requirements are published in the Solicitation for Grant Application. Approximately one hundred percent of available funds are used for discretionary activities. Grant opportunities are published in the Federal Register. Eligible institutions are institutions of higher education as defined in Section 102 of the Higher Education Act of 1965 (20 U.S.C. 1002) which offer programs that can be completed in not more than 2 years. Please refer to the Solicitation for Grant

Application for specific eligibility.

Applicant Eligibility:

See Uses Section Above.

Beneficiary Eligibility:

See Information Above.

Credentials/Documentation:

Grantees must demonstrate an educational capacity need in their community and the impact that the new capacity will have on training workers in that community. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Section 278 of the Trade Act of 1974 as amended by the American Recovery and Reinvestment Act of 2009 requires community outreach to employers and other entities such as the workforce system, including Trade Adjustment Assistance (TAA) programs, to identify shortcomings in existing educational and career training opportunities available to workers in the community and any future employment opportunities within the community. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. OMB Circulars No. A-21, A-110, A-122, A-133 apply to this program. Grants for projects are awarded on a competitive basis, announced in Solicitation for Grant Applications (SGAs) in the Federal Register and also on ETA's Internet Web site at <http://www.doleta.gov>. To compete for a grant, organizations develop a proposal and budget that demonstrate how the organization will provide services to a targeted population. The SGA provides all of the necessary information for applying for federal assistance.

Award Procedure:

Procedures for each project are specified in the applicable request for proposals. Generally, the procedure is as follows: A technical review panel composed of federal staff and peer reviewers evaluates eligible submitted applications. The panel prepares a report for the ETA Grant Officer identifying the strengths and weaknesses of each application and the cumulative rating. Selections are made based on the best rated proposals, as well as other factors as cited in the SGA. Once selections are made by the Grant Officer, an Award Notification is sent through the appropriate congressional channels for notification. After awardees are notified, the list of awardees is posted on the ETA website at www.doleta.gov. If an application is rejected, a letter is sent to the applicant as notification that they were not selected as a recipient of the grant.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 90 to 120 days. ETA announces grant recipients once the paneling process is complete (see above).

Appeals:

Procedures for each project are specified in the applicable Solicitation for Grant Application.

Renewals:

Renewals with funds are not available. However, with significant justification ETA may elect to exercise its option to award no-cost extensions to these grants for an additional period at its own discretion, based on the success of the program and other relevant factors.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Most projects last anywhere from 3 to 4 years. Payments to grantees are usually made by Letter of Credit draw-down procedures. Method of awarding/releasing assistance: by letter of credit. Method of awarding/releasing assistance: by letter of credit.

Reports:

No program reports are required. No cash reports are required. Quarterly progress reports are required within 45 days following the end of the quarter. Quarterly financial reports are required within 45 days following the end of the quarter. Annual performance reports are required within 45 days following the end of each project year. Final progress reports are required no later than 90 days after all grant funds have been expended, or the period of grant funds availability has expired. Regional Federal Project Officers conduct monitoring using risk assessments, desk monitoring, and on-site reviews.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Recipients are required to maintain books, records, documents, and other evidence of accounting procedures and practices sufficient to reflect properly all direct and indirect costs claimed to have been incurred for the performance of the grant. Records are to be retained for three years from the date of final payment unless the grant officer authorizes earlier disposal.

Account Identification:

16-0187-0-1-504.

Obligations:

(Project Grants (Discretionary)) FY 12 \$486,000,000; FY 13 est \$460,000,000; and FY 14 est \$485,000,000

Range and Average of Financial Assistance:

We expect grant awards to be no lower than \$2.5 million per award. Please see Solicitation for Grant Application for details.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Provided in the applicable Solicitation for Grant Applications.

Regional or Local Office:

See Regional Agency Offices. See Regional Agency Offices. Contact the nearest Employment and Training Administration regional office listed in Appendix IV of the Catalog.

Headquarters Office:

Jennifer W. Troke Department of Labor, 200 Constitution Ave. NW, C-4526, Washington, District of Columbia 20210 Email: taaccct@dol.gov Phone: (202) 693-3949 Fax: (202) 693-3890

Website Address:

<http://www.doleta.gov/taaccct/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria for each proposal are specified in the applicable Solicitation for Grant Application.

17.283 WORKFORCE INNOVATION FUND

Workforce Innovation Fund

FEDERAL AGENCY:

Employment Training Administration, Department of Labor

AUTHORIZATION:

Full Year Continuing Appropriations Act, 2011, Title VIII, Section 1801, Public Law 112-10.

OBJECTIVES:

The Workforce Innovation Fund will fund projects that demonstrate innovative strategies or replicate effective evidence-based strategies that align and strengthen the workforce investment system in order to improve program delivery and education and employment outcomes for program beneficiaries.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Funds can be used for a broad range of activities. Please see the grant solicitation for specific allowable activities. Restrictions are established by law and through the grant solicitation. All of the Workforce Innovation Fund grants are discretionary. They will be awarded through a competitive process.

Applicant Eligibility:

Eligible institutions are: (i) State Workforce Agencies; (ii) Local Workforce Investment Boards; (iii) entities eligible to apply for WIA Section 166 grants; (iv) consortia of State Workforce Agencies; (v) consortia of Local Workforce Investment Boards; and (vi) consortia of entities eligible to apply for WIA Section 166 grants.

Beneficiary Eligibility:

The scope of potential beneficiaries under these programs can be very broad. Please review the Solicitation for Grant Application for specific requirements.

Credentials/Documentation:

Generally grantees must demonstrate an adequate organizational capacity, both fiscally and programmatically, and strong proposed plans for implementing their project. Applicants should review the Solicitation for Grant Applications for specific requirements. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. OMB Circulars No. A-87, A-122, and A-133 apply to this program. Grants for projects are awarded on a competitive basis, announced in Solicitation for Grant Applications (SGAs) in the Federal Register and also on ETA's Internet Web site at <http://www.doleta.gov>. To compete for a grant, eligible applicants develop a proposal and budget. The SGA provides all of the necessary information for applying for federal assistance.

Award Procedure:

Procedures are specified in the Solicitation for Grant Applications. Generally, the procedure is as follows: A technical review panel composed of federal staff and peer reviewers evaluates eligible submitted applications. The panel prepares a report for the ETA Grant Officer identifying the strengths and weaknesses of each application and the cumulative rating. Selections are made based on the best rated proposals, as well as other factors as cited in the SGA. Once selections are made by the Grant Officer, an Award Notification is sent through the appropriate congressional channels for notification. After awardees are notified, the list of awardees is posted on the ETA website at www.doleta.gov. If an application is rejected, a letter is sent to the applicant as notification that they were not selected as a recipient of the grant.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. ETA announces grant recipients once the paneling process is complete (see above).

Appeals:

Procedures are specified in the applicable Solicitation for Grant Application.

Renewals:

Renewals with funds are not available. However, with significant justification ETA may elect to exercise its option to award no-cost extensions to these grants for an additional period at its own discretion, based on the success of the program and other relevant factors.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Most projects last approximately 3 years. Method of awarding/releasing assistance: by letter of credit.

Reports:

No program reports are required. No cash reports are required. Quarterly progress reports are required within 45 days following the end of the quarter. Quarterly financial reports are required within 45 days following the end of the quarter. Annual performance reports are required within 45 days following the end of each project year. Final progress reports are required no later than 90 days after all grant funds have been expended, or the period of grant funds availability has expired. Regional Federal Project Officers conduct monitoring using risk assessments, desk monitoring, and on-site reviews.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Recipients are required to maintain books, records, documents, and other evidence of accounting procedures and practices sufficient to reflect properly all direct and indirect costs claimed to have been incurred for the performance of the grant. Records are to be retained for three years from the date of final payment unless the grant officer authorizes earlier disposal.

Account Identification:

16-0174-0-1-504.

Obligations:

(Project Grants) FY 12 \$147,000,000; FY 13 est \$23,000,000; and FY 14 est \$100,000,000 - P.L. 112-10 appropriated \$125 million for the Workforce Innovation Fund. About 95% of the appropriated amount will be awarded through competitive grants, and the remainder will be used by the Department of Labor to provide technical assistance and evaluate grantee projects.

Range and Average of Financial Assistance:

See SGA when published.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Gina King Wells 200 Constitution Ave, NW, S4209, Washington, District of Columbia 20210. Email: wells.gina@dol.gov Phone: 202-693-3763

Website Address:

http://www.doleta.gov/workforce_innovation/

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

See SGA.

17.284 HURRICANE SANDY DISASTER RELIEF APPROPRIATIONS ACT SUPPLEMENTAL - NATIONAL EMERGENCY GRANTS (NEGS) FEDERAL AGENCY:

Employment Training Administration, Department of Labor

AUTHORIZATION:

Disaster Relief Appropriations Act, 2013, Public Law 113-002.

OBJECTIVES:

The purpose of the Hurricane Sandy Disaster Relief Appropriations Act Supplemental - National Emergency Grants is to provide states with time-limited funding assistance for the creation of disaster relief employment for workers in areas affected by Hurricane Sandy, and declared eligible for public assistance, as defined by the Stafford Act administered by the Federal Emergency Management Agency (FEMA).

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Eligible circumstances for funding include: Federal Emergency Management Agency (FEMA) declared disaster areas affected by Hurricane Sandy.

Applicant Eligibility:

Entities that are eligible to receive a Hurricane Sandy Disaster Relief Appropriations Act Supplemental - National Emergency Grant are the designated state Workforce Investment Act (WIA) program grantee agencies in states that have been affected by Hurricane Sandy and have received a public assistance declaration from the Federal Emergency Management Agency (FEMA) for Hurricane Sandy. Funds can be used to create temporary disaster employment to assist with clean up and humanitarian activities as well as to provide employment services (including some supportive services) to eligible participants.

Beneficiary Eligibility:

Individuals eligible to participate in a Hurricane Sandy Disaster Relief Appropriations Act Supplemental - National Emergency Grant are those who have been temporarily or permanently laid off as a consequence of Hurricane Sandy, other dislocated workers, or an individual who is long-term unemployed, as defined by the State.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Applicants are encouraged to coordinate with the appropriate Employment and Training Administration regional office. Preapplication consultation and assistance is available from the regional offices and is highly recommended prior to application submission. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Applications for the Hurricane Sandy Disaster Relief Appropriations Act Supplemental - National Emergency Grants are submitted via the National Emergency Grants Electronic Application System.

Award Procedure:

The Grant Officer issues a Notice of Obligation to the grantee providing the dollar amount, purpose of the funds, and the terms and conditions of the grant based on the information provided in the application.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 15 to 30 days. A decision on an initial Hurricane Sandy Disaster Relief Appropriations Act - National Emergency Grant application and monetary grant modifications such as requests for incremental funding, are made within 30 working days from the receipt of a complete and responsive request.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title Workforce Investment Act of 1998, Public Law 105-220.

This program has no matching requirements.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Applications for the Hurricane Sandy Disaster Relief Appropriations Act - National Emergency Grant (NEGs) funds can be awarded in whole or in part. Awarded NEG funds are available 24 months from the date of Federal obligation. Method of awarding/releasing assistance: by letter of credit.

Reports:

Program reports are submitted bi-weekly and monthly. No cash reports are required. Workforce Investment Act Performance Reports are submitted quarterly. Financial reports are submitted quarterly. Performance monitoring occurs on a quarterly basis.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

States are required to maintain adequate records in accordance with 29 CFR 95 and 97.

Account Identification:

16-0174-0-1-504.

Obligations:

(Project Grants) FY 12 \$0; FY 13 est \$20,000,000; and FY 14 est \$0

Range and Average of Financial Assistance:

The grant amount awarded PY2012/FY2013 was \$20,494,622.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

20 CFR 652 et al., Workforce Investment Act: Final Rules (August 11, 2000); 69 Fed. Reg 23051-23081, Workforce Investment Act: National Emergency Grants - Application Procedures (April 27, 2004)

Regional or Local Office:

See Regional Agency Offices. Contact appropriate Employment and Training Administration Regional Office listed in the appendix of the Catalog.

Headquarters Office:

Erica R Cantor 200 Constitution Avenue, NW
Room C5321, Washington, District of Columbia 20210 Email:
cantor.eric@dol.gov Phone: (202) 693-3500 Fax: (202) 693-3149

Website Address:

<http://www.doleta.gov/neg>

RELATED PROGRAMS:

17.277 Workforce Investment Act (WIA) National Emergency Grants

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

**17.302 LONGSHORE AND HARBOR WORKERS' COMPENSATION
FEDERAL AGENCY:**

Office of Workers' Compensation Programs, Department of Labor

AUTHORIZATION:

Longshore and Harbor Workers' Compensation Act as extended, Public Law 92-576, 5 U.S.C 8171; Longshore and Harbor Workers' Compensation Act as

extended, 43 U.S.C 1331.

OBJECTIVES:

To provide compensation for disability or death resulting from injury, including occupational disease, to eligible private employees.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS WITH UNRESTRICTED USE

USES AND USE RESTRICTIONS:

This program provides income replacement and supplement; schedule awards for the loss of sight or hearing, dismemberment, disfigurement etc., medical expenses (including hospital care); and funeral expenses up to \$3,000. Benefits are paid by private insurance carriers or self-insured employers.

Applicant Eligibility:

Longshore workers, harbor workers, and certain other employees engaged in maritime employment on the navigable waters of the United States and adjoining pier and dock areas, employees engaged in activities on the Outer Continental Shelf, employees of Nonappropriated Fund Instrumentalities, employees of private employers engaged in work outside the United States under contracts with the United States Government, and others as specified, including survivors of the above. Employees of private concerns in the District of Columbia and their survivors are eligible for benefits under an extension of the Act, applicable to injuries or deaths based upon employment events that occurred prior to July 26, 1982. Puerto Rico is not covered by the Longshore and Harbor Workers' Compensation Act.

Beneficiary Eligibility:

Longshore workers, harbor workers, and certain other employees engaged in maritime employment on the navigable waters of the United States and adjoining pier and dock areas, employees engaged in activities on the Outer Continental Shelf, employees of nonappropriated fund instrumentalities, employees of private employers engaged in work outside of the United States under contracts with the United States Government, and other as specified, including survivors of the above. Employees of private concerns in the District of Columbia and their survivors are eligible for benefits under an extension of the Act, applicable to injuries or deaths based upon employment events that occurred prior to July 26, 1982. Puerto Rico is not covered by the Longshore and Harbor Workers' Compensation Act.

Credentials/Documentation:

Longshore workers, harbor workers, and certain other employees engaged in maritime employment on the navigable waters of the United States and adjoining pier and dock areas, employees engaged in activities on the Outer Continental Shelf, employees of Nonappropriated Fund Instrumentalities, employees of private employers engaged in work outside the United States under contracts with the United States Government, and others as specified, including survivors of the above. Employees of private concerns in the District of Columbia and their survivors are eligible for benefits under an extension of the Act, applicable to injuries or deaths based upon employment events that occurred prior to July 26, 1982. Necessary documentation is developed when claim is filed by employee/employer or insurance carrier. The claimant may be required to submit to physical examination. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Employee or his or her survivor files written claim for compensation (Form LS-203 or LS-262) to the Office of Workers' Compensation Programs (OWCP). Employer may pay benefits voluntarily.

Award Procedure:

Authority to informally oversee the adjudication of claims under this program rests with the District Director in (OWCP) district offices. Claims unresolved on voluntary basis are referred to the Office of Administrative Law Judges for formal hearing under the Administrative Procedure Act. Claim must be filed

within one year after (a) the injury or death (2 years if injury is an occupational disease which does not immediately result in death or disability), or (b) the last compensation payment. Time begins to run when prudent person should have been aware of relationship between injury or death and employment. For hearing loss claims, time does not begin to run until an audiogram together with a report showing a hearing loss, is given claimant.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Insurance carrier or self-insured employer must pay compensation after 14 days of having knowledge of an injury causing disability or death, or controvert claim.

Appeals:

Application for modification of awards must be filed within 1 year after (a) the last compensation payment, or (b) rejection of a claim by an Administrative Law Judge or District Director Office of Workers' Compensation Programs. Appeal from Administrative Law Judge's decision must be filed within 30 days; Appeals from Benefits Review Board decision must be filed within 60 days.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Injury: The period of total or partial disability, with no monetary limit. Death: Spouse - until death or remarriage; children - until age 18 or to age 23 if qualified as a student as defined in the Act. Employers or their insurance carriers are required to begin compensation within 14 days of (a) knowledge of the injury or death or (b) injured employee's report of loss of wages. Payments are generally disbursed on a bi-weekly basis. See the following for information on how assistance is awarded/released: Payments are generally disbursed on a bi-weekly basis.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

Not applicable.

Account Identification:

16-1521-0-1-601.

Obligations:

(Direct Payments with Unrestricted Use) FY 12 \$132,481,000; FY 13 est \$138,210,000; and FY 14 est \$137,665,000

Range and Average of Financial Assistance:

Disability - 66-2/3 percent of average weekly wage; death benefits 50 percent average wages of deceased to such widow or widower, plus 16-2/3 percent for one or more surviving children with 66-2/3 percent limit. Average benefit unknown. Weekly compensation payments limited to between 50-200 percent of national average weekly wage.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Longshore and Harbor Workers' Compensation Act, as extended. Copies may be obtained from the Office of Workers' Compensation Programs, Division of Longshore and Harbor Workers' Compensation, 200 Constitution Ave. NW, Suite C-4315, Washington, DC 20210.

Regional or Local Office:

None. Persons are encouraged to communicate with the district offices of the Office of Workers' Compensation Programs (OWCP), Division of Longshore

and Harbor Worker's Compensation (DLHWC). Contact information is available at the following website:
<http://www.dol.gov/owcp/dlhwc/lscntac.htm>.

Headquarters Office:

Antonio Rios Office of Workers' Compensation Programs, Division of Longshore and Harbor Workers' Compensation, 200 Constitution Avenue NW, Washington, District of Columbia 20210 Email: DLHWC-PUBLIC@dol.gov Phone: (202) 693-0038.

Website Address:

<http://www.dol.gov/esa/owcp/dlhwc>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

17.307 COAL MINE WORKERS' COMPENSATION

(Black Lung)

FEDERAL AGENCY:

Office of Workers' Compensation Programs, Department of Labor

AUTHORIZATION:

Black Lung Benefits Act, as amended, 30 U.S.C 901 et seq.

OBJECTIVES:

To provide benefits to coal miners who have become totally disabled due to coal workers' pneumoconiosis (CWP), and to widows and other surviving dependents of miners who have died of this disease.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS WITH UNRESTRICTED USE

USES AND USE RESTRICTIONS:

Monthly cash benefits are paid to coal miners disabled from coal workers' pneumoconiosis and to widows and other survivors of miners who have died of this disease. There are no restrictions on the use of these benefits by a beneficiary. Medical payments for treatment related to coal workers' pneumoconiosis are available to miners only after January 1, 1974.

Applicant Eligibility:

The miner (including some workers involved in coal transportation in and around mines and coal mine construction workers) must have worked in the Nation's coal mines or a coal preparation facility and become "totally disabled" (as defined in the Act) from pneumoconiosis. The applicant may be able to work in areas other than coal mines and still be eligible for benefits. Benefits to miner shall be reduced on account of excess earnings as determined under sections 203(b) through (1) of the Social Security Act for claims filed on or after January 1, 1982. Widows and other surviving dependents of coal miners whose death resulted from coal workers' pneumoconiosis are also eligible for benefits with earnings offsets applicable to certain classes of dependents. Applicants can reside anywhere in the world at the time they apply.

Beneficiary Eligibility:

Disabled coal miners, widows and other surviving dependents of the deceased.

Credentials/Documentation:

The miner (including some workers involved in coal transportation in and around mines and coal mine construction workers) must have worked in the Nation's coal mines or a coal preparation facility and become "totally disabled" (as defined in the Act) from pneumoconiosis. The applicant may be able to work in areas other than coal mines and still be eligible for benefits. Benefits to miner shall be reduced on account of excess earnings as determined under sections 203(b) through (1) of the Social Security Act for claims filed on or after January 1, 1982. Widows and other surviving dependents of coal miners whose death resulted from coal workers' pneumoconiosis or where the miner had been found to be qualified for Black Lung Benefits are also eligible for benefits with earnings offsets applicable to certain classes of dependents. Applicants can reside anywhere in the world at the time they apply. Medical

tests, at Department of Labor expense, which include an x-ray, pulmonary function study, physical examination, and blood gas tests. Proof of work in or around a coal mine or coal preparation facility. Also proof of death, relationship and dependency required for widows and other survivors applying. Identification of a responsible mine operator is made as specified in regulations published in the Code of Federal Regulations (20 CFR 725). This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Applicants are required to state on the claim form whether a claim for disability benefits due to the same condition has been filed under any State or other Federal workers' compensation law. Benefits received as a result of such a claim could be offset against Federal benefits. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Telephone, write-in, or visit the local Division of Coal Mine Workers' Compensation district or local office listed in Appendix IV of the Catalog.

Award Procedure:

After review of the application is completed, the applicant will be notified by mail.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 2 months to 8 months for proposed decisions and orders depending on availability of supporting evidence. A miner must file a claim for benefits within 3 years after a medical determination of total disability due to pneumoconiosis or within 3 years after the date of enactment of Public Law 95-239, whichever comes later, (Black Lung Benefits Reform Act of 1977). There are no time limitations for dependent survivors to file claims.

Appeals:

Notify Office of Workers' Compensation Programs (OWCP). The appeal process includes de novo formal hearings before the Office of Administrative Law Judges, review by the Benefits Review Board, Federal courts of Appeal, and U.S. Supreme Court.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The period of total disability with no monetary limit. Death: Spouse - until death or remarriage; children - until age 18 or to age 23 if qualified as a student as defined by the Act unless under a disability as defined in Section 223(d) of the Social Security Act, 42 U.S.C. 423(d). Employers or their insurance carriers are required to begin compensation within 30 days of the initial determination of eligibility. See the following for information on how assistance is awarded/released: Payments are disbursed on a monthly basis.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

Any change in an eligibility requirement or in a factor affecting the amount of benefits, such as, State workers' compensation awards, or change in status of a dependent, must be reported to the Office of Workers' Compensation Programs when it occurs.

Account Identification:

20-8144-0-7-601.

Obligations:

(Direct Payments with Unrestricted Use) FY 12 \$371,150,542; FY 13 est \$347,094,000; and FY 14 est \$309,380,000 - These are benefits for both Parts B & C of the program.

Range and Average of Financial Assistance:

On January 1, 2010, new monthly rates went into effect for Black Lung benefits that included a 1.5 percent increase over the 2009 benefit amounts. The rates are as follows: PART B MONTHLY BENEFITS RATES (claims approved by the Social Security Administration - payments received around the 3rd of each month): Primary beneficiary: \$625.00, Primary beneficiary and one dependent: \$938.00, Primary beneficiary and two dependents: \$1,094.00, Primary beneficiary and three or more dependents: \$1,251.00. PART C BLACK LUNG MONTHLY BENEFIT RATES (claims approved by the Department of Labor - payments received around the 15th of each month): Primary beneficiary: \$625.60, Primary beneficiary and one dependent: \$938.30, Primary beneficiary and two dependents: \$1,094.70, Primary beneficiary and three or more dependents: \$1,251.10. Rates are linked to GS salary levels and did not increase in 2013.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Code of Federal Regulations, Title 20, Parts 410.401 et seq., 718, 722, 725, and 726. "Black Lung Benefits," Fact Sheet No. ESA 91-14.

Regional or Local Office:

None. Persons are encouraged to communicate with the district offices of the Office of Workers' Compensation Programs (OWCP), Division of Coal Mine Workers' Compensation (DCMWC). Contact information is available at the following website: <http://www.dol.gov/owcp/dcmwc/blcontact.htm>.

Headquarters Office:

Steve Breeskin Office of Workers' Compensation Programs, Division of Coal Mine Workers' Compensation, 200 Constitution Avenue NW, Washington, District of Columbia 20210 Email: DCMWC-PUBLIC@dol.gov Phone: (202) 693-0046.

Website Address:

<http://www.dol.gov/esa/owcp/dcmwc/index.htm>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

17.309 LABOR ORGANIZATION REPORTS

(Landrum-Griffin Act)

FEDERAL AGENCY:

Office of Labor-Management Standards, Department of Labor

AUTHORIZATION:

Labor-Management Reporting and Disclosure Act of 1959, Public Law 86-257.

OBJECTIVES:

To provide for the reporting and disclosure of financial transactions and administrative practices of labor organizations, employers, labor consultants and others required to report under the Landrum-Griffin Act; to provide standards for the election of union officers, trusteeships, fiduciary responsibilities of union officers, and rights of union members; and to provide investigative authority to the Secretary of Labor.

TYPES OF ASSISTANCE:

ADVISORY SERVICES AND COUNSELING; DISSEMINATION OF TECHNICAL INFORMATION; INVESTIGATION OF COMPLAINTS

USES AND USE RESTRICTIONS:

Provides union members the authority to bring civil action in the district courts of the United States to enforce the rights and protections granted them under the Bill of Rights of Members of Labor Organizations; enables union members and

employees whose rights are affected by a collective bargaining contract, to obtain or examine a copy of the agreement; enables union members to secure information on the organization, administrative practices, and financial transactions of their unions and to prevent abuses in these areas and in the administration of trusteeships imposed upon labor organizations; assures union members free and democratic officer elections through enforcement of standards and procedures with respect to such elections, and the removal of officers; and safeguards assets of labor organizations by imposing upon union officials fiduciary responsibility, enforceable in the courts, and by requiring the bonding of persons who handle union funds.

Applicant Eligibility:

Officers of unions may obtain assistance in preparing reports or otherwise complying with the Act. Union members or union organizations may request assistance in investigating alleged violations. All reports required to be filed are available for inspection and disclosure to the general public.

Beneficiary Eligibility:

Union officers, union members, union organizations. All reports required to be filed are available for inspection and disclosure to the general public.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Write or call the nearest Department of Labor, Office of Labor-Management Standards field office listed in Appendix IV of the Catalog.

Award Procedure:

None.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Union members may file an election complaint with the Department of Labor within one calendar month after having exhausted internal union remedies or within one calendar month after having invoked internal union remedies without obtaining a final decision within three calendar months after invoking them.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Not applicable. See the following for information on how assistance is awarded/released: Not applicable.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

Not applicable.

Account Identification:

16-0104-0-1-505.

Obligations:

(Salaries) FY 12 \$41,367; FY 13 est \$39,129; and FY 14 est \$46,891

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: In fiscal year 2012, OLMS conducted 333 union audits, 308 civil and criminal investigations, supervised 123 union officer election reruns and conducted 21,104 participant hours of compliance assistance to be provided for union officials to administer and enforce the LMRDA. Fiscal Year 2013: In fiscal year 2013, OLMS projects conducting 321 union audits, 296 civil and criminal investigations, supervise 123 union officer election reruns and conduct 20,000 participation hours of compliance assistance to be provided for union officials to administer and enforce the LMRDA. Fiscal Year 2014: In fiscal year 2014, OLMS projects conducting 270 union audits, 260 civil and criminal investigations, supervise 123 union officer election reruns and conduct 12,000 participation hours of compliance assistance to be provided for union officials to administer and enforce the LMRDA.

REGULATIONS, GUIDELINES, AND LITERATURE:

29 CFR 401-453. 29 CFR 457-459. The Labor-Management Reporting and Disclosure Act of 1959, as amended, Rights and Responsibilities under the LMRDA; Reports Required under the LMRDA (Spanish edition also available); Bonding Requirements under LMRDA; Trusteeship Requirements under the LMRDA, Electing Union Officers (Spanish edition also available); LMRDA Regulations and Interpretative Bulletins: Election of Officers of Labor Organizations, 29 CFR 452; Standards of Conduct Regulations, 29 CFR 457-459. The above literature is available free of charge in limited quantities from the Headquarters Office listed below or field offices in Appendix IV of the Catalog. Conducting Local Union Officer Elections - a guide for election officials is available for sale by the U.S. Government Printing Office.

Regional or Local Office:

None. Persons desiring assistance should contact the nearest Department of Labor, Office of Labor-Management Standards field office listed in Appendix IV of the Catalog.

Headquarters Office:

Steve J. Willertz, Department of Labor, ESA/OLMS/DOE, Room N-511, 200 Constitution Avenue NW, Washington, District of Columbia 20020 Email: Willertz.Stephen@dol.gov Phone: (202) 693-1182 Fax: (202) 693-1343.

Website Address:

<http://www.dol.gov>. E-mail: OLMS-Public@dol.gov

RELATED PROGRAMS:

17.150 Employee Benefits Security Administration

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

17.310 ENERGY EMPLOYEES OCCUPATIONAL ILLNESS COMPENSATION

FEDERAL AGENCY:

Office of Workers' Compensation Programs, Department of Labor

AUTHORIZATION:

Energy Employees Occupational Illness Compensation Program Act of 2000, as amended, 42 U.S.C 7384 et seq.

OBJECTIVES:

Part B provides lump-sum monetary payments and medical benefits to covered employees and, where applicable, to survivors of such employees, of the Department of Energy (DOE), its predecessor agencies and certain of its vendors, contractors and subcontractors. Part B also provides lump-sum payments and medical benefits to individuals found eligible by the Department of Justice (DOJ) under section 5 of the Radiation Exposure Compensation Act (RECA) and, where applicable, to their survivors. Part E of the Act provides variable lump-sum payments (based on a worker's permanent impairment and/or calendar years of qualifying wage-loss) and medical benefits for covered

DOE contractor employees and, where applicable, provides variable lump-sum payments to survivors of such employees (based on a worker's death due to a covered illness and either 10 or 20 calendar years of qualifying wage-loss). Part E also provides these same payments and benefits to uranium miners, millers and ore transporters covered by section 5 of RECA and, where applicable, to survivors of such employees.

TYPES OF ASSISTANCE:

Direct Payments with Unrestricted Use

USES AND USE RESTRICTIONS:

This program provides lump-sum monetary payments to employees and former employees (or their eligible survivors) as compensation for occupational illnesses under Part B, and covered illnesses under Part E; medical expenses (including hospital care). Benefits are paid from a mandatory appropriation of Federal funds.

Applicant Eligibility:

Employees and, where applicable, to survivors of such employees, of the Department of Energy (DOE), its predecessor agencies, certain of its vendors, contractors and subcontractors, and uranium miners, millers and ore transporters covered by section 5 of RECA, who are or were engaged in covered employment related to the testing or production of nuclear weapons.

Beneficiary Eligibility:

Employees and, where applicable, to survivors of such employees, of the Department of Energy (DOE), its predecessor agencies, certain of its vendors, contractors and subcontractors, and uranium miners, millers and ore transporters covered by section 5 of RECA, who are or were engaged in covered employment related to the testing or production of nuclear weapons.

Credentials/Documentation:

Necessary documentation is developed when claim is filed with the program. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Employee or his or her survivor(s) files written claim for compensation (Form EE-1 or EE-2) with the local district office of the Office of Workers' Compensation Programs (OWCP), or with a Resource Center.

Award Procedure:

Authority to issue recommended decisions under this program rests with OWCP district offices. Authority to issue final decisions rests with the Final Adjudication Branch.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

> 180 Days.

Appeals:

From 120 to 180 days. Request for review of or hearing on a recommended decision must be made within 60 days. Request for reconsideration of a final agency decision must be filed within 30 days of issuance of the final decision. Request to reopen claim after issuance of a final decision may be made at any time, if supported by material evidence.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Benefits are not length-based. Maximum monetary lump-sum payment under Part B is \$150,000 per covered employee; maximum monetary lump-sum

payment under Part E is \$250,000 per covered employee. No limitation on payment of medical expenses. Method of awarding/releasing assistance: lump sum.

Reports:

No reports are required.

Audits:

No audits are required for this program.

Records:

Not applicable.

Account Identification:

16-1523-0-1-053.

Obligations:

(Direct Payments with Unrestricted Use) FY 12 \$1,163,845,542; FY 13 est \$1,210,917,680; and FY 14 est \$1,173,260,445

Range and Average of Financial Assistance:

Maximum monetary lump-sum payment under Part B is \$150,000 per covered employee; maximum monetary lump-sum payment under Part E is \$250,000 per covered employee. No limitation on payment of medical expenses.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Claims for Compensation Under the Energy Employees Occupational Illness Compensation Program Act, 20 CFR Part 30, are available on the Internet at <http://www.dol.gov>, or from OWCP.

Regional or Local Office:

None. Persons are encouraged to communicate with the district offices of the Office of Workers' Compensation Programs (OWCP), Division of Energy Employees Occupational Illness Compensation (DEEOIC). Contact information is available at the following website: <http://www.dol.gov/owcp/energy/regs/compliance/law/JurisdictionMap.htm>.

Headquarters Office:

Rachel Leiton, Office of Workers' Compensation Programs, Division of Energy Employees Occupational Illness Compensation, 200 Constitution Avenue NW, Washington, District of Columbia 20210 Email: DEEOIC-PUBLIC@dol.gov Phone: (202) 693-0081.

Website Address:

<http://www.dol.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

17.502 OCCUPATIONAL SAFETY AND HEALTH_SUSAN HARWOOD TRAINING GRANTS

Susan Harwood Training Grants

FEDERAL AGENCY:

Occupational Safety and Health Administration, Department of Labor

AUTHORIZATION:

Occupational Safety and Health Act, Public Law 91-596, 29 U.S.C 670(c).

OBJECTIVES:

To provide occupational safety and health training and education to employees and employers, particularly in the recognition, avoidance and abatement of workplace hazards.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grants to nonprofit organizations to provide training or other educational

services to employees and/or employers in priority areas designated by OSHA.

Applicant Eligibility:

Nonprofit organizations.

Beneficiary Eligibility:

Individuals employed in workplaces that receive training and/or educational services under grants.

Credentials/Documentation:

Applicants must show nonprofit status. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Application instructions are distributed by Occupational Safety and Health Administration, Directorate of Training and Education. Completed applications are returned to the Directorate of Training and Education.

Award Procedure:

Final award decisions are made by the Assistant Secretary of Labor for Occupational Safety and Health.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Grants are awarded for a minimum of 12 months.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grants are awarded for a minimum of 12 months. Grantees receive funds through the Department of Health and Human Services' Payment Management System. Method of awarding/releasing assistance: lump sum.

Reports:

No program reports are required. No cash reports are required. Progress reports are required quarterly. Federal Financial Reports are required to be completed quarterly. Performance monitoring is conducted by the Regional Offices on a quarterly and annual basis.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records must be retained for 3 years following grant closeout or final audit, whichever is later.

Account Identification:

16-0400-0-1-554.

Obligations:

(Training) FY 12 \$10,709,000; FY 13 est \$10,149,000; and FY 14 est \$10,709,000

Range and Average of Financial Assistance:

Fiscal Year 2012 grants ranged from \$50,000 to \$643,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: 72 training and education grants were awarded. Fiscal Year 2013: An estimate of 67 training and education grants will be awarded. Fiscal Year 2014: An estimate of 67 training and education grants will be awarded.

REGULATIONS, GUIDELINES, AND LITERATURE:

29 CFR Parts 95 and 96; OMB Circulars A-21 (2 CFR Part 220), A-110 (2 CFR Part 215), and A-122 (2 CFR Part 230).

Regional or Local Office:

See Regional Agency Offices. See Appendix IV of the Catalog for Regional Offices of the Occupational Safety and Health Administration.

Headquarters Office:

Heather Wanderski U.S. Department of Labor
Occupational Safety and Health Administration
200 Constitution Avenue, NW
N-3419, Washington, District of Columbia 20210 Email:
harwoodgrants@dol.gov Phone: (847) 297-4810

Website Address:

<https://www.osha.gov>

RELATED PROGRAMS:

17.503 Occupational Safety and Health_State Program; 17.504 Consultation Agreements; 17.505 OSHA Data Initiative; 17.602 Mine Health and Safety Education and Training

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are contained in the Federal Register Notice soliciting applications. They include program, administration and budget categories.

17.503 OCCUPATIONAL SAFETY AND HEALTH_STATE PROGRAM

State Plan Grant Awards

FEDERAL AGENCY:

Occupational Safety and Health Administration, Department of Labor

AUTHORIZATION:

Occupational Safety and Health Act, Section 23(g), Public Law 91-596, 29 U.S.C 60(c).

OBJECTIVES:

To fund federally approved comprehensive State occupational safety and health programs that are "at least as effective" as the Federal program.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grants to States to administer and enforce State programs for occupational safety and health, limited to States having federally approved plans.

Applicant Eligibility:

Designated State agencies which have federally approved occupational safety and health plans.

Beneficiary Eligibility:

Any employer, worker or their representative from a business engaged in interstate commerce except those under jurisdiction of other Federal agencies.

Credentials/Documentation:

State agency responsible for occupational safety and health must show that its program is "at least as effective" as the Federal occupational safety and health program. This is accomplished by providing standards covering occupational safety and health issues, an enforcement program for those standards, and adequate legal authority and resources to operate the program. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is

not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Application instructions are distributed by the Occupational Safety and Health Administration to the approved State plan States through OSHA's Directives System. Completed applications are returned to the National Office.

Award Procedure:

Final approval of funding requests is given annually by the Assistant Secretary of Labor for Occupational Safety and Health.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Not Applicable.

Renewals:

Grants to States for administering their own safety and health programs that can be renewed indefinitely, provided they continue to meet the "at least as effective" requirements. Applications for renewals are submitted to the Occupational Safety and Health Administration's National Grants Office.

Formula and Matching Requirements:

This program has no statutory formula.
Matching Requirements: Percent: 50%. The Federal share may not exceed 50 percent of the total cost to the State.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

State program grants are aspects of a continuing program. Grantees receive funds through the Department of Health and Human Services' Payment Management System. Method of awarding/releasing assistance: lump sum.

Reports:

Program reports are required quarterly and annually. Cash reports are not applicable. Progress reports are not applicable. Federal Financial Reports are required quarterly. Performance monitoring is conducted by the Regional Offices on a quarterly and annual basis.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. .

Records:

Records must be retained for 3 years following grant closeout or final audit, whichever is later.

Account Identification:

16-0400-0-1-554.

Obligations:

(Salaries) FY 12 \$104,196,000; FY 13 est \$98,746,000; and FY 14 est \$104,196,000. (Salaries) FY 12 \$0; FY 13 est \$0; and FY 14 est \$0

Range and Average of Financial Assistance:

Fiscal year 2012 grants ranged from \$202,000 to \$27,419,000. These awards represent approximately 50 percent of total program cost.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: State inspections were 51,133. Fiscal Year 2013: State inspections are estimated at 52,100. Fiscal Year 2014: State inspections are estimated at 50,350.

REGULATIONS, GUIDELINES, AND LITERATURE:

29 CFR Parts 1902, 1903, 1904, 1905, 1908, 1952, 1953, 1954, 1955, 1956, 2200; OMB Circulars A-102 and A-87 (2 CFR Part 225); and 29 CFR Parts 96 and 97.

Regional or Local Office:

See Regional Agency Offices. See Appendix IV of the Catalog for Regional Offices of the Occupational Safety and Health Administration.

Headquarters Office:

Elizabeth Norris 200 Constitution Avenue, NW, Washington, District of Columbia 20210 Email: norris.elizabeth.a@dol.gov Phone: 202-693-2422 Fax: 202-693-1696

Website Address:

<https://www.osha.gov>

RELATED PROGRAMS:

17.502 Occupational Safety and Health_Susan Harwood Training Grants; 17.504 Consultation Agreements; 17.505 OSHA Data Initiative; 17.600 Mine Health and Safety Grants; 17.601 Mine Health and Safety Counseling and Technical Assistance; 17.602 Mine Health and Safety Education and Training; 93.262 Occupational Safety and Health Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Grants may be awarded only to a State agency, designated by the Governor of a State, which has had its State Plan approved by the Assistant Secretary and which has submitted an application for a grant.

17.504 CONSULTATION AGREEMENTS

Consultation Grant Program

FEDERAL AGENCY:

Occupational Safety and Health Administration, Department of Labor

AUTHORIZATION:

Occupational Safety and Health Act, Section 21(d), Public Law 105-197, 29 U.S.C 651.

OBJECTIVES:

To fund consultative workplace safety and health services, targeting smaller employers with more hazardous operations.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Cooperative Agreements to States to provide occupational safety and health consultative services to employers.

Applicant Eligibility:

Designated State agencies which have been authorized by the Governor to enter into a Cooperative Agreement with full power to perform the obligations funded therein and to expend Federal funds as well as State funds as required.

Beneficiary Eligibility:

Any private employer operating within a State, with priority given to smaller employers with the more hazardous operations.

Credentials/Documentation:

State agency responsible for providing consultative services to employers must meet the minimum requirements of the consultation program set forth in 29 CFR 1908. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Application instructions are distributed to the States by the Occupational Safety and Health Administration (OSHA) to the designated State agencies through OSHA's Directives System. Completed applications are returned to OSHA's National Office.

Award Procedure:

Final approval of funding requests is given annually by the Assistant Secretary of Labor for Occupational Safety and Health.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Funding to States for administering their consultation program can be renewed indefinitely, provided that State consultation projects continue to meet the requirements of the program. Applications for renewals are submitted to the Occupational Safety and Health Administration's National Grants Office.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: Percent: 10.%. The Federal share may not exceed 90 percent of the total cost to the recipient. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Consultation Cooperative Agreements are aspects of a continuing program. Grantees receive funds through the Department of Health and Human Services' Payment Management System. Method of awarding/releasing assistance: lump sum.

Reports:

Program Reports are required annually. Cash reports are not applicable. Progress reports are not applicable. Federal Financial Reports are required and submitted on a quarterly basis. Performance Monitoring is conducted.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records must be retained for 3 years following grant closeout or final audit, whichever is later.

Account Identification:

16-0400-0-1-554.

Obligations:

(Salaries) FY 12 \$57,890,000; FY 13 est \$54,862,000; and FY 14 est \$57,890,000

Range and Average of Financial Assistance:

Fiscal year 2012 grants ranged from \$196,000 to \$5,457,000. These awards represent approximately 90 percent of total program costs.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: State consultation visits were 29,310. Fiscal Year 2013: State consultation visits are estimated at 28,500. Fiscal Year 2014: State consultation visits are estimated at 28,500.

REGULATIONS, GUIDELINES, AND LITERATURE:

29 CFR Parts 95, 97, and 1908; and OMB Circular Nos. A-21, A-87, A-102 and A-110.

Regional or Local Office:

See Regional Agency Offices. See Appendix IV of the Catalog for regional offices of the Occupational Safety and Health Administration.

Headquarters Office:

Elizabeth Norris 200 Constitution Avenue, NW, Washington, District of Columbia 20210 Email: norris.elizabeth.a@dol.gov Phone: 202-693-2422 Fax:

202-693-1696

Website Address:

<https://www.osha.gov>

RELATED PROGRAMS:

17.502 Occupational Safety and Health_Susan Harwood Training Grants; 17.503 Occupational Safety and Health_State Program; 17.505 OSHA Data Initiative; 17.600 Mine Health and Safety Grants; 17.601 Mine Health and Safety Counseling and Technical Assistance; 17.602 Mine Health and Safety Education and Training; 93.262 Occupational Safety and Health Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Agreements may be awarded only to a State agency, designated by the Governor of a State, which has submitted an application for funding.

17.505 OSHA DATA INITIATIVE

OSHA Data Collection Award

FEDERAL AGENCY:

Occupational Safety and Health Administration, Department of Labor

AUTHORIZATION:

Occupational Safety and Health Act, Section 7(c)(1), Public Law 91-596, 29 U.S.C 651.

OBJECTIVES:

To fund collection of occupational injury and illness data from employers in specified industries nationwide.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements or Contracts)

USES AND USE RESTRICTIONS:

Cooperative Agreements to States to collect occupational injury and illness data from employers in specified industries.

Applicant Eligibility:

Designated State agencies that have been authorized by the Governor to enter into a Cooperative Agreement with full power to perform the obligations funded therein and to expend Federal funds.

Beneficiary Eligibility:

Any state agency that is capable of collecting data.

Credentials/Documentation:

State agency that is designated and authorized by the Governor that is capable of collecting data. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Application instructions are distributed to the States by the Occupational Safety and Health Administration (OSHA) to the designated State agencies. Completed applications are returned to OSHA's National Office.

Award Procedure:

Final approval of funding requests is given annually by the Director of Administrative Programs.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Not Applicable.

Renewals:

Funding to States for administering their collection program can be renewed indefinitely, provided that the States continue to meet the requirements of the program. Applications for renewals are submitted to the Occupational Safety and Health Administration's National Grants Office.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
This program has no matching requirements.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Cooperative Agreements are aspects of a continuing program. Grantees receive funds through the Department of Health and Human Services' Payment Management System. Method of awarding/releasing assistance: lump sum.

Reports:

Program reports are not applicable. Cash reports are not applicable. Progress reports are submitted. A Federal Financial Report is required quarterly. Performance monitoring is conducted.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records must be retained for 3 years following grant closeout or final audit, whichever is later.

Account Identification:

16-0400-0-1-554.

Obligations:

(Cooperative Agreements) FY 12 \$1,430,000; FY 13 est \$281,000; and FY 14 est \$0

Range and Average of Financial Assistance:

Fiscal year 2012 grant awards ranged from \$5,800 to \$186,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: 80,000 employer samples were collected. Fiscal Year 2013: 0 employer samples estimated to be collected. Fiscal Year 2014: 0 employer samples estimated to be collected.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None. See Appendix IV of the Catalog for regional offices of the Occupational Safety and Health Administration.

Headquarters Office:

Elizabeth Norris 200 Constitution Avenue, NW, Washington, District of Columbia 20210 Email: norris.elizabeth.a@dol.gov Phone: 202-693-2422 Fax: 202-693-1696

Website Address:

<https://www.osha.gov>

RELATED PROGRAMS:

17.502 Occupational Safety and Health_Susan Harwood Training Grants; 17.503 Occupational Safety and Health_State Program; 17.504 Consultation Agreements; 17.600 Mine Health and Safety Grants; 17.601 Mine Health and Safety Counseling and Technical Assistance; 17.602 Mine Health and Safety Education and Training; 93.262 Occupational Safety and Health Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Agreements may be awarded only to a State agency, designated by the Governor of a State, which has submitted an application for funding.

17.506 DISASTER RELIEF APPROPRIATIONS ACT, SUSAN HARWOOD TRAINING GRANTS

FEDERAL AGENCY:

Occupational Safety and Health Administration, Department of Labor

AUTHORIZATION:

Disaster Relief Appropriations Act 2013, Public Law 113-2; Occupational Safety and Health Act, Public Law 91-596, 29 U.S.C 670(c).

OBJECTIVES:

To provide occupational safety and health training and education to employees and employers, particularly in the recognition, avoidance and abatement of workplace hazards related to Hurricane Sandy disaster relief.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Disaster relief grants to nonprofit organizations to provide training or other educational services to employees and/or employers in priority areas designated by OSHA that are limited to the state of New Jersey and New York City.

Applicant Eligibility:

Nonprofit Organizations.

Beneficiary Eligibility:

Individuals employed in workplaces affected by Hurricane Sandy that receive training and/or educational services under disaster relief grants.

Credentials/Documentation:

Applicants must show nonprofit status. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Application instructions are distributed by Occupational Safety and Health Administration, Directorate of Training and Education. Completed applications are submitted electronically.

Award Procedure:

Final award decisions are made by the Assistant Secretary of Labor for Occupational Safety and Health.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grants are awarded for 18 months. Grantees receive funds through the Department of Health and Human Services' Payment Management System. Method of awarding/releasing assistance: lump sum.

Reports:

No program reports are required. No cash reports are required. Progress reports are required quarterly, and more frequently, as needed. Federal Financial Reports are required to be completed quarterly. Performance monitoring is conducted by the Regional Office on a monthly basis.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records must be retained for 3 years following grant closeout or final audit, whichever is later.

Account Identification:

16-0400-0-1-554.

Obligations:

(Training) FY 12 \$0; FY 13 est \$1,250,000; and FY 14 est \$0

Range and Average of Financial Assistance:

The average grant will be approximately \$250,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Not applicable. Fiscal Year 2013: An estimate of 5 training and education grants will be awarded. Fiscal Year 2014: Not applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

29 CFR Parts 95 and 96; OMB Circulars A-21 (2 CFR Part 220), A-110 (2 CFR Part 215), and A-122 (2 CFR Part 230).

Regional or Local Office:

None. New York Regional Office of the Occupational Safety and Health Administration.

Headquarters Office:

Heather Wanderski 200 Constitution Avenue, NW, N-3419, Washington, District of Columbia 20210 Email: harwoodgrants@dol.gov Phone: (847) 297-4810

Website Address:

<http://www.osha.gov>

RELATED PROGRAMS:

17.502 Occupational Safety and Health_Susan Harwood Training Grants; 17.503 Occupational Safety and Health_State Program; 17.504 Consultation Agreements

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are contained in the Solicitation for Grant Applications. They include program, administration and budget categories.

17.600 MINE HEALTH AND SAFETY GRANTS

FEDERAL AGENCY:

Mine Safety and Health Administration, Department of Labor

AUTHORIZATION:

Section 503 of the Federal Mine Safety and Health Amendments Act of 1977, 30 U.S.C. 953 , Public Law 095-164.

OBJECTIVES:

To assist States in providing safety and health training and developing programs to improve mine health and safety conditions.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Provides grants (1) To assist States in developing and enforcing effective coal or other mine health and safety laws and regulations consistent with the provisions of Section 503 of the Federal Mine Safety and Health Act of 1977; (2) to improve State workers' compensation and occupational disease laws and programs related to coal or other mine employment; and (3) to provide Federal-State coordination and cooperation in improving the health and safety conditions in coal or other mines.

Applicant Eligibility:

Any mining State of the United States.

Beneficiary Eligibility:

States.

Credentials/Documentation:

In addition to items as stated in Solicitation for Grant Application (SGA) from Mine Safety and Health Administration (MSHA), State mine inspection or safety agency has or will employ an adequate and competent staff of qualified trainers to provide health and safety training for miners and trained inspectors qualified under the laws of the State to make mine inspections within the State. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. The application will be submitted in an original and two copies to the Assistant Secretary of Labor for Mine Safety and Health.

Award Procedure:

Notification will be made by the Office responsible for monitoring performance.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. Within 3 months.

Appeals:

From 15 to 30 days. Within 30 days from the final decision date, an appeal may be filed with the U.S. Court of Appeals for the District of Columbia.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Public Law 30 U.S.C. Section 953 (h).
Matching Requirements: Percent: 20.%. A maximum of 80 percent of the amount expended by any mining State for a fiscal year is paid from Federal funds and at least 20 percent are paid by the Applicant State.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Length of assistance is on a fiscal year basis or a portion thereof. Time phasing of assistance is on a cost reimbursable basis, normally quarterly. Method of awarding/releasing assistance: lump sum.

Reports:

Semi-annual Technical reports, as required by MSHA, shall be furnished to the Assistant Secretary of Labor for Mine Safety and Health stating work accomplished, benefits derived, project status. No cash reports are required. No progress reports are required. Expenditure reports must show quarterly obligations listing description of expenditures and amounts. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records relating to each grant shall be retained and made available until the expiration of 3 years after the filing of the grantee's final expenditure report for the period.

Account Identification:

16-1200-0-1-554.

Obligations:

(Project Grants) FY 12 \$8,233,000; FY 13 est \$2,991,000; and FY 14 est \$0 - President's Budget Request for FY 2014 includes a \$2,991,000 reduction to this program.

Range and Average of Financial Assistance:

From \$26,839 to \$627,659. Average: \$172,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: applicable Alabama - \$199,000; Alaska - \$51,000; Arizona - \$287,000; Arkansas - \$99,000; California - \$338,000; Colorado - \$210,000; Connecticut - \$54,000; Delaware - \$4,000; Florida - \$171,000; Georgia - \$193,000; Idaho - \$0; Illinois - \$220,000; Indiana - \$192,000; Iowa - \$0; Kansas - \$83,000; Kentucky \$628,000; Louisiana - \$82,000; Maine - \$56,000; Maryland - \$58,000; Massachusetts - \$66,000; Michigan - \$215,000; Minnesota - \$274,000; Mississippi - \$61,000; Missouri - \$207,000; Montana - \$137,000; Nebraska - \$67,000; Nevada - \$235,000; New Hampshire - \$41,000; New Jersey - \$50,000; New Mexico - \$147,000; New York - \$259,000; North Carolina - \$153,000; North Dakota - \$59,000; Ohio - \$263,000; Oklahoma - \$108,000; Oregon - \$106,000; Pennsylvania - \$533,000; South Carolina - \$66,000; South Dakota - \$59,000; Tennessee - \$140,000; Texas - \$430,000; Utah - \$172,000; Vermont - \$70,000; Virginia - \$269,000; Washington - \$148,000; West Virginia - \$563,000; Wisconsin - \$192,000; Wyoming - \$193,000; and Navajo Nation - \$27,000. Fiscal Year 2013: Alabama - \$70,691; Alaska - \$18,104; Arizona - \$101,798; Arkansas - \$35,199; California - \$119,987; Colorado - \$74,556; Connecticut - \$19,168; Delaware - \$0; Florida - \$60,773; Georgia - \$68,332; Idaho - \$32,804; Illinois - \$77,937; Indiana - \$67,903; Iowa - \$40,945; Kansas - \$29,434; Kentucky \$222,406; Louisiana - \$28,929; Maine - \$19,744; Maryland - \$20,496; Massachusetts - \$23,263; Michigan - \$76,350; Minnesota - \$97,039; Mississippi - \$21,583; Missouri - \$73,330; Montana - \$48,708; Nebraska - \$23,607; Nevada - \$83,326; New Hampshire - \$14,416; New Jersey - \$17,702; New Mexico - \$52,196; New York - \$91,734; North Carolina - \$54,261; North Dakota - \$20,745; Ohio - \$93,143; Oklahoma - \$38,198; Oregon - \$37,464; Pennsylvania - \$189,003; South Carolina - \$23,327; South Dakota - \$21,017; Tennessee - \$49,560; Texas - \$152,269; Utah - \$60,956; Vermont - \$24,975; Virginia - \$95,264; Washington - \$52,592; West Virginia - \$199,585; Wisconsin - \$68,122; Wyoming - \$68,550; and Navajo Nation - \$9,510. Fiscal Year 2014: The President's Budget for FY 2014 eliminates funding for this program.

REGULATIONS, GUIDELINES, AND LITERATURE:

29 CFR Part 97.

Regional or Local Office:

None.

Headquarters Office:

Jeffrey A. Duncan 1100 Wilson Boulevard, Arlington, Virginia 22209 Phone: (202) 693-9570

Website Address:

<http://www.msha.gov> .

RELATED PROGRAMS:

17.601 Mine Health and Safety Counseling and Technical Assistance

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: (1) Training and retraining of miners by State instructors; (2) mine emergency operations; (3) assisting States in training miners in health related injury; (4) assisting in maintaining mine rescue teams at State mine rescue stations; and (5) development and implementation of accident prevention programs. Fiscal Year 2013: (1) Training and retraining of miners by State instructors; (2) mine emergency operations; (3) assisting States in training miners in health related injury; (4) assisting in maintaining mine rescue teams at State mine rescue stations; and (5) development and implementation of accident prevention programs. Fiscal Year 2014: N/A.

CRITERIA FOR SELECTING PROPOSALS:

Responses related to the following proposal criteria enable the applicant to show that the proposed grant is consistent with the purposes of the Act: (a) Programs, policies, and methods to be followed in administering the grant, (b) designation of the State mining agency as the sole agency with authority and responsibility for administering the grant throughout the State, (c) assurances that such agency has an adequate and competent staff of trained and qualified inspectors, (d) use of the grant will extend and improve mine health and safety in the State while providing for no advance notice of an inspection, (e) assurances that grant will supplement not supplant existing State mine health and safety programs, (f) period over which program will be pursued, (g) financial plan, (h) fiscal control and accounting procedures, (i) name and title of person who will direct the program, (j) approximate number of qualified personnel who will work on the program, (k) location or locations where program will be pursued, (l) assurance that matching funds from nonfederal sources will be forthcoming, (m) indication of whether the programs or any part have been or will be submitted to other organizations for the purpose of obtaining a grant, and (n) agreement that the official State mine agency shall make required reports.

17.601 MINE HEALTH AND SAFETY COUNSELING AND TECHNICAL ASSISTANCE

FEDERAL AGENCY:

Mine Safety and Health Administration, Department of Labor

AUTHORIZATION:

Federal Mine Safety and Health Amendments Act of 1977, Public Law 095-164.

OBJECTIVES:

To improve conditions of health and safety in and around coal, metal and nonmetallic mines and mineral processing facilities through technical advice, special studies, investigations, and development of mine health and safety programs.

TYPES OF ASSISTANCE:

ADVISORY SERVICES AND COUNSELING; DISSEMINATION OF TECHNICAL INFORMATION

USES AND USE RESTRICTIONS:

Assistance can be used for establishing or improving mine health and safety programs. Technical advice and assistance is available to states, organizations, companies, or persons with mine health and safety programs and/or problems.

Applicant Eligibility:

Any state, organization or person interested in employing healthful and safe mine or mineral industry working conditions.

Beneficiary Eligibility:

States, organizations, individuals.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Requests for assistance or information may be submitted In the form of a letter to the Mine Safety and Health Administration.

Award Procedure:

None.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

None. See the following for information on how assistance is awarded/released:

None.

Reports:

No reports are required.

Audits:

No audits are required for this program.

Records:

None.

Account Identification:

16-1200-0-1-554.

Obligations:

(Salaries) FY 12 \$33,593,000; FY 13 est \$32,050,000; and FY 14 est

\$34,113,000

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: MSHA reported the lowest fatality and injury rates in the history of U.S. mining, along with the lowest rate of contractor fatalities since the agency began calculating those rates in 1983. MSHA provided technical assistance to reduce mine accidents, illnesses and disasters. Fiscal Year 2013:

Technical Support will continue initiatives that prevent and further reduce mine accidents and disasters by providing engineering and scientific expertise to mine inspectors, state agencies, and industry stakeholders. Activities include equipment testing and approvals, engineering and scientific evaluation of health and safety hazards, laboratory support, environmental sampling, accident investigations, mine rescue team training, air and dust sampling. Fiscal Year 2014: Technical Support will continue initiatives that prevent and further reduce mine accidents and disasters by providing engineering and scientific expertise to mine inspectors, state agencies, and industry stakeholders.

Activities include equipment testing and approvals, engineering and scientific evaluation of health and safety hazards, laboratory support, environmental sampling, accident investigations, mine rescue team training, air and dust sampling.

REGULATIONS, GUIDELINES, AND LITERATURE:

Title 30, Mineral Resources (Code of Federal Regulations); Federal Mine Safety and Health Act of 1977; 2006 Mine Improvement and New Emergency Response (MINER) Act; Bureau of Mines/NIOSH Information Circulars; Bureau of Mines/NIOSH Reports of Investigations; MSHA Informational Reports.

Regional or Local Office:

See Regional Agency Offices. See Appendix IV of the Catalog for a list of the addresses of Mine Safety and Health Administration Field Offices. Information on health and safety programs, inspections, and enforcement should be sought from Coal Mine Safety and Health or Metal and Nonmetal Mine Safety and Health District Offices listed in Appendix IV of the Catalog, or the Headquarters Office. Technical information can be sought from the same sources or from the Technical Support Field Centers.

Headquarters Office:

George Fesak 1100 Wilson Boulevard, Arlington, Virginia 22209 Phone: (202) 693-9752

Website Address:

<http://www.msha.gov>.

RELATED PROGRAMS:

17.600 Mine Health and Safety Grants; 17.602 Mine Health and Safety Education and Training; 17.603 Brookwood-Sago Grant

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

**17.602 MINE HEALTH AND SAFETY EDUCATION AND TRAINING
FEDERAL AGENCY:**

Mine Safety and Health Administration, Department of Labor

AUTHORIZATION:

Federal Mine Safety and Health Amendments Act of 1977, Public Law 095-164.

OBJECTIVES:

To provide technical training for Federal mine inspectors and representatives of the mining industry.

TYPES OF ASSISTANCE:

TRAINING

USES AND USE RESTRICTIONS:

Provide initial and advanced Mine Safety and Health Training for Federal Mine Inspectors. This Technical training is available to Mine Operators, Miners, States, and Labor Organizations. Assistance is also provided in the form of written and audio visual training materials. These materials deal with Mining Safety and Health Technical Materials.

Applicant Eligibility:

Any mine operator, miner or their agent can request training or training materials.

Beneficiary Eligibility:

Mine operators, miners or their agent, organizations and individuals.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applicants may make inquiries by telephone or written request.

Award Procedure:

None.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

None. See the following for information on how assistance is awarded/released:
None.

Reports:

No reports are required.

Audits:

No audits are required for this program.

Records:

None.

Account Identification:

16-1200-0-1-554.

Obligations:

(Salaries) FY 12 \$14,758,000; FY 13 est \$13,075,000; and FY 14 est \$13,110,000

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Training was provided through approximately 1,270 course days for Federal and other employees and 270 course days for the Mining Industry, as well as distributing approximately 5,000,000 mine safety publications. Fiscal Year 2013: Training will be provided through approximately 1,200 course days for Federal and other employees and 150 course days for the Mining Industry, as well as distributing approximately 6,000,000 mine safety publications. Fiscal Year 2014: Training will be provided through approximately 1,200 course days for Federal and other employees and 150 course days for the Mining Industry, as well as distributing approximately 4,000,000 mine safety publications.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices. See Appendix IV of the Catalog for listing of Mine Safety and Health Administration Offices.

Headquarters Office:

Jeffrey A. Duncan, 1100 Wilson Boulevard, Arlington, Virginia 22213 Phone: (202) 693-9570.

Website Address:

<http://www.msha.gov> .

RELATED PROGRAMS:

17.600 Mine Health and Safety Grants; 17.603 Brookwood-Sago Grant

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

17.603 BROOKWOOD-SAGO GRANT

FEDERAL AGENCY:

Mine Safety and Health Administration, Department of Labor

AUTHORIZATION:

Brookwood-Sago Mine Safety Grants, 30 U.S.C 965; Mine Improvement and New Emergency Response Act of 2006 (MINER Act), Public Law 109-236. Section 14, Public Law 109-236; Brookwood-Sago Mine Safety Grants, 30 U.S.C 965.

OBJECTIVES:

To provide mine safety and health training and education programs for workers and mine operators, to better identify, avoid, and prevent unsafe working conditions in and around mines. To establish and implement education and training programs, or to develop training materials for employers and miners, concerning safety and health topics in mines, as determined appropriate by Mine Safety and Health Administration (MSHA).

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Provides grants to states, public, and private nonprofit organizations to provide training and other educational programs or develop training materials for

employers and miners concerning safety and health topics in priority areas designated by MSHA.

Applicant Eligibility:

Any mining State of the United States, nonprofit public and private organizations.

Beneficiary Eligibility:

Mine operators and any organization employing miners or creating training materials.

Credentials/Documentation:

Any credential or documentation requirements are detailed in each solicitation for grant applications. See the Federal Register for solicitation for grant applications. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Application procedures are detailed in each solicitation for grant applications. See the Federal Register for each solicitation for grant applications.

Award Procedure:

Competitive grant applications, submitted in response to a solicitation for grant application, will be reviewed by a panel for consistency with the solicitation for grant application requirements, and are subject to availability of funds and performance achievement. Funding decisions are at the discretion of the Assistant Secretary.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Timeframes are detailed in each solicitation for grant applications. See the Federal Register for solicitation for grant applications.

Appeals:

None.

Renewals:

Renewal and option year availability is detailed in each solicitation for grant applications. All awards are based on single-year budget authority. Renewal and option year funding, when provided, is subject to availability of funds and performance achievement. See the Federal Register for solicitation for grant applications.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Length of time and phasing of assistance are detailed in each solicitation for grant applications. See the Federal Register for current solicitations for grant applications. Method of awarding/releasing assistance: lump sum.

Reports:

Quarterly Program Reports. No cash reports are required. No progress reports are required. Grantees are required by Departmental regulations to submit financial and project reports, as described below, each quarter (grant quarters match calendar quarters, i.e., January to March, April to June).

(a) Financial Reports.

All financial reports are due no later than 30 days after the end of the quarter and shall be submitted to MSHA electronically. Grantees will be contacted with instructions on how to submit reports.

(b) Technical Project Reports.

After signing the agreement, the grantee shall submit technical project reports to MSHA no later than 30 days after the end of each quarter. Technical project

reports provide both quantitative and qualitative information and a narrative assessment of performance for the preceding three-month period. See 29 CFR 95.51 and 29 CFR 97.40. This should include the current grant progress against the overall grant goals as provided in Part IV.B.3. Between reporting dates, the grantee shall immediately inform MSHA of significant developments or problems affecting the organizations ability to accomplish the work. See 29 CFR 95.51(f) and 29 CFR 97.40(d).

(c) Final Reports.

At the end of each 12-month performance period, each grantee must provide a final financial report, a summary of its technical project reports, and an evaluation report. These final reports are due no later than 90 days after the end of the 12-month performance period. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records must be retained for 3 years following grant closeout or final audit, whichever is later.

Account Identification:

16-1200-0-1-554.

Obligations:

(Project Grants) FY 12 \$1,153,694; FY 13 est \$550,000; and FY 14 est \$1,000,000

Range and Average of Financial Assistance:

At a minimum, \$50,000, depending on the project needs as detailed in the solicitation for grant application and availability of funds.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Federal Mine Safety and Health Act of 1977, Public Law 91-173 as amended by Public Law 95-164.

Regional or Local Office:

None.

Headquarters Office:

Robert Glatter 1100 Wilson Boulevard, Arlington, Virginia 22209 Phone: (202) 693-9570

Website Address:

<http://www.msha.gov> .

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are contained in the Federal Register Notice soliciting applications.

17.720 DISABILITY EMPLOYMENT POLICY DEVELOPMENT

ODEP

FEDERAL AGENCY:

Office of Disability Employment Policy, Department of Labor

AUTHORIZATION:

The Consolidated Appropriations Act, 2001, Public Law 106-554, 29 U.S.C 557b.

OBJECTIVES:

The Office of Disability Employment Policy (ODEP) provides national leadership on disability employment policy to the Department of Labor (DOL) and other Federal agencies. ODEP develops and fosters the adoption and

implementation of comprehensive and integrated disability employment policies and strategies throughout the workforce development system, in public and private workplaces, and in employment-related supports programs and services. Implementation of these effective policies and strategies increase employment opportunities, the recruitment of, and reduce barriers to the hiring, retention and promotion of people with disabilities. ODEP's response to the low labor force participation of people with disabilities is comprehensive and aggressive, and includes securing the active involvement of and cooperation among a number of stakeholders, including Federal, State and local government agencies, private and public employers, educational and training institutions, and the disability community.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

Uses and use restrictions are detailed in each solicitation for cooperative agreement or grant applications and in each request for proposals. All ODEP funds are discretionary.

Applicant Eligibility:

Nonprofit and for-profit organizations, State and local government agencies, academic institutions, and other entities are typically eligible. Eligibility requirements are detailed in each solicitation for cooperative agreements or grant applications and request for proposals.

Beneficiary Eligibility:

Beneficiary eligibility is detailed in each solicitation for cooperative agreements or grant applications and request for proposals. Information for open cooperative agreement and grant solicitations are also typically carried on ODEP's website: www.dol.gov/ODEP.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87. Costs for educational institutions also will be determined in accordance with OMB Circular No. A-21. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program.

Award Procedure:

Awards are made based on a panel review to ensure adherence to solicitation requirements and Federal principles. Awards are made on approval of the Assistant Secretary of the Office of Disability Employment Policy and the subject to availability of funds and performance achievement.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Timeframes are detailed in each solicitation for cooperative agreement or grant applications and request for proposals.

Appeals:

Appeal information and contact procedures for each solicitation are contained and detailed within the solicitation for cooperative agreement or grant application and request for proposals. See www.grants.gov, the Federal Register, or FedBizOpps for current SGAs, SCAAs and RFPs.

Renewals:

Renewal and additional year availability is detailed in each solicitation for cooperative agreement or grant applications. All awards are based on single-year budget authority. Renewal and additional year funding, when provided, is subject to availability of funds and performance achievement.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Length of time and phasing of assistance are detailed in each solicitation for cooperative agreements or grant applications and request for proposals. See the following for information on how assistance is awarded/released: Length of time and phasing of assistance are detailed in each solicitation for cooperative agreement or grant applications.

Reports:

All cooperative agreements and grants require quarterly programmatic reports and financial reports (SF 425 and SF 272) as well as a final report. Additional reporting requirements are detailed in each solicitation for cooperative agreement or grant applications. Cash reports are not applicable. All cooperative agreements and grants require quarterly programmatic reports and financial reports (SF 425 and SF 272) as well as a final report. Additional reporting requirements are detailed in each solicitation for cooperative agreement or grant applications. All cooperative agreements and grants require quarterly programmatic reports and financial reports (SF 425 and SF 272) as well as a final report. Additional reporting requirements are detailed in each solicitation for cooperative agreement or grant applications. All cooperative agreements and grants require quarterly programmatic reports and financial reports (SF 425 and SF 272) as well as a final report. Additional reporting requirements are detailed in each solicitation for cooperative agreement or grant applications.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

All cooperative agreements and grants are subject to financial compliance site reviews and independent evaluations. Quarterly programmatic and financial reporting as well as close-out reporting and documentation must be maintained under the standard Federal record retention requirements.

Account Identification:

16-0166-0-1-505.

Obligations:

(Salaries) FY 12 Not Available; FY 13 Estimate Not Available; and FY 14 Estimate Not Available

Range and Average of Financial Assistance:

Recent awards for cooperative agreements, grants and contracts have ranged from approximately \$100,000 to \$2,500,000 per funding year, depending on the project needs as detailed in the solicitation for cooperative agreement or grant application or proposal and availability of funds. Awards have been to non-profit and for-profit organizations, State and local government agencies, academic institutions, and other entities.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: No Current Data Available. Fiscal Year 2013: No Current Data Available. Fiscal Year 2014: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Information about the Office of Disability Employment Policy is located at www.dol.gov/odep. Information and resources on disability employment and other disability-related topics can be found at www.Disability.gov, for which ODEP is the managing partner of more than 20 Federal agencies.

Regional or Local Office:

None.

Headquarters Office:

Office of Disability Employment Policy, 200 Constitution Ave., N.W., Room S1303, Washington, District of Columbia 20210 Email: infoodep@dol.gov Phone: (202) 693-7880 / (202) 693-7881 Fax: (202) 693-7888.

Website Address:

<http://www.dol.gov/odep> .

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available. Fiscal Year 2013: No Current Data Available. Fiscal Year 2014: No Current Data Available.

CRITERIA FOR SELECTING PROPOSALS:

Criteria for selecting proposals are detailed in each solicitation for cooperative agreement or grant application and request for proposal. Criteria always include the availability of ODEP funds, alignment with ODEP's mission to develop national policy to reduce barriers to employment for people with disabilities, performance achievement, and provision of data to support performance reporting.

DEPARTMENT OF STATE

19.009 ACADEMIC EXCHANGE PROGRAMS - UNDERGRADUATE PROGRAMS

Undergraduate programs include: the Global Undergraduate Exchange Program UGRAD; Study of the US Institutes for Student Leaders; the Community College Initiative Program CCIP; and the Critical Language Scholarships Program (CLS).

FEDERAL AGENCY:

Bureau of Educational and Cultural Affairs, Department of State

AUTHORIZATION:

The Fulbright-Hays Act of 1961, as amended, Public Law 87-256, 22 U.S.C 2451.

OBJECTIVES:

As authorized by the Fulbright-Hays Act, the Bureau of Educational and Cultural Affairs (ECA) seeks to increase mutual understanding between the people of the United States and the people of other countries by means of educational and cultural exchange programs, including the exchange of scholars, researchers, professionals, students, and educators. ECA programs foster engagement and encourage dialogue with citizens around the world. Educational and cultural engagement is premised on the knowledge that mutual understanding, the development of future leaders, and the benefits of education programs influence societies and affect official decision-making almost everywhere in the world today. ECA programs inform, engage, and influence participants across strategic sectors of society including young people, women, teachers, scholars, journalists, and other professionals increasing the number of foreign individuals who have first-hand experience with Americans and with the values of freedom, representative government, rule of law, economic choice, and individual dignity, while building international knowledge and capacity among Americans. The purpose of Undergraduate Programs is to provide targeted support for American students to pursue intensive language study abroad and for foreign students to gain a better understanding of the United States, while developing new generations of world leaders.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funding is provided to non-profit organizations, colleges, and universities to support their work in designing and administering programs as well as coordinating program logistics. Funding supports publicity and recruitment, screening of applications, communication with participants, payment of participant costs, and the provision of enrichment activities. The Global Undergraduate Exchange Program provides one semester and academic year scholarships to outstanding undergraduate students from underrepresented sectors for non-degree full-time study combined with community service, internships and cultural enrichment.

The Study of the U.S. Institutes for Student Leaders are five- to six-week academic programs focusing on topics in U.S. studies for groups of foreign undergraduates. Hosted by universities, colleges, and NGOs throughout the United States, the Institutes include an intensive academic residency, an educational study tour, leadership development, community service activities,

and opportunities for participants to engage with American peers. The Institutes aim to increase the undergraduates understanding of U.S. history, government, culture, society, and institutions with the overall goal of increasing mutual understanding between the people of the United States and the people of other countries.

The Critical Language Scholarship Program provides fully-funded, group-based intensive language instruction and structured cultural enrichment experiences to U.S. undergraduate and graduate students through overseas summer institutes.

The Community College Initiative Program (CCIP) provides educational opportunities to students from underrepresented sectors in participating countries. Students are placed at U.S. community colleges in one-year certificate programs in the fields of business management and administration; tourism and hospitality management; health professions; media; information technology; agriculture; and engineering science. Participants increase their employment-related skills and knowledge of English, increase their ability to contribute to the economic development of their countries, and promote mutual understanding. Please refer to the Grants.gov announcement for further information.

Applicant Eligibility:

Pursuant to the Mutual Educational and Cultural Exchange Act of 1961, as amended (Fulbright-Hays Act) the Bureau of Educational and Cultural Affairs of the U.S. Department of State awards grants and cooperative agreements to educational and cultural public or private nonprofit foundations or institutions. Applications may be submitted by public and private non-profit organizations meeting the provisions described in Internal Revenue Code section 26 USC 501(c)(3). Organizations must have nonprofit status with the IRS at the time of application. Please refer to the grants.gov announcement for further eligibility criteria.

Beneficiary Eligibility:

Beneficiaries include recipient organizations, educational institutions, other non-government organizations (NGOs) that meet the provisions described in Internal Revenue Code section 26 USC 501(c)(3), as well as sponsored participants, and the American people and the people of participating countries who interact with the international participants.

Credentials/Documentation:

Pursuant to the Mutual Educational and Cultural Exchange Act of 1961, as amended (Fulbright-Hays Act) the Bureau of Educational and Cultural Affairs of the U.S. Department of State awards project grants and cooperative agreements to educational and cultural public or private nonprofit foundations or institutions. Applications may be submitted by public and private non-profit organizations meeting the provisions described in Internal Revenue Code section 26 USC 501(c)(3). Organizations must have nonprofit status with the IRS at the time of application. Please refer to the Grants.gov for further eligibility criteria. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Announcements are made on the grants.gov website for organizations meeting eligibility requirements. Announcements are made throughout the fiscal year and are posted to the Department of State's website: <http://eca.state.gov/organizational-funding>. The application procedures are described in the grants.gov announcement.

Award Procedure:

Final awards cannot be made until funds have been appropriated by Congress, allocated and committed through internal Bureau procedures. Successful applicants will receive a Federal Assistance Award (FAA) from the Bureau Grants Office. The FAA and the original proposal with subsequent modifications (if applicable) shall be the only binding authorizing document between the recipient and the U.S. Government. The FAA will be signed by an authorized Grants Officer, and mailed to the recipients responsible officer identified in the application.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

As stated in www.Grants.gov announcements.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. None.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

As stated in Grants.gov announcements. See the following for information on how assistance is awarded/released: Assistance is awarded/released through the Department's central financial management database.

Reports:

Financial and Program Reporting requirements are described in the Grants.gov announcement. Cash reports are not applicable. As stated in Grants.gov announcements. As stated in Grants.gov announcements. As stated in Grants.gov announcements.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. The Recipient must comply with the OMB audit requirements. For all DOS awards, regardless of business type, the Recipients are subject to the audit requirements found in OMB audit requirements. In addition, the Recipients are subject to the audit requirements found in the Single Audit Act of 1984, 31 U.S.C. 7501-7507. Please refer to the Department of State Standard Terms and Conditions for Domestic Financial Assistance Awards (<http://fa.statebuy.state.gov>) for additional guidance.

Records:

The Recipients must maintain financial records, supporting documents, statistical records, and all other records pertinent to an award for a period of three years from the date of submission of the final expenditure report. Please refer to the Department of State Standard Terms and Conditions for Domestic Financial Assistance Awards (<http://fa.statebuy.state.gov>) for additional guidance.

Account Identification:

19-0201-0-1-154.

Obligations:

(Cooperative Agreements) FY 12 \$42,315,309; FY 13 est \$40,199,543; and FY 14 est \$40,199,543

Range and Average of Financial Assistance:

\$124,293 to \$5,001,334.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: In 2012, the Critical Language Scholarship Program fully-funded 631 U.S. undergraduate and graduate students to study Arabic, Azerbaijani, Bangla, Chinese, Hindi, Indonesian, Japanese, Korean, Persian, Punjabi, Russian, Turkish, and Urdu. Participants improved their language skills at institutes in Europe, North Africa, the Near East, and Asia.

The Global UGRAD Program awarded approximately 630 scholarships to outstanding undergraduate students from East Asia, Eurasia and Central Asia, the Near East and South Asia, and the Western Hemisphere in 2012.

The Study of the U.S. Institutes supported 506 undergraduates from 60 countries in 2012. Fiscal Year 2013: No Current Data Available. Fiscal Year

2014: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

As stated in the grants.gov announcements. In addition, organizations should be familiar with OMB Circulars A-110 (Revised) (Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals and Other Nonprofit Organizations), A-122/A-21 (Cost Principles for Nonprofit Organizations; Indirect Costs), and A-133/A-128 (Audits of Institutions of Higher Education and Other Nonprofit Organizations) on cost accounting principles. For a copy of the OMB circulars cited, please contact Government Publications or download from <http://www.whitehouse.gov/OMB/>.

Regional or Local Office:

None.

Headquarters Office:

Lana Muck Bureau of Educational and Cultural Affairs, Office of Academic Exchange Programs, 2200 C Street, NW, SA-5, Fourth Floor, Room 4B07, Washington, District of Columbia 20037 Email: fulbright@state.gov Phone: (202) 632-3238

Website Address:

<http://exchanges.state.gov/academicexchanges/index.html>

RELATED PROGRAMS:

19.400 Educational Exchange_Graduate Students; 19.401 Educational Exchange_University Lecturers (Professors) and Research Scholars; 19.408 Educational Exchange_Teachers from Secondary and Postsecondary Levels and School Administrators

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

As stated in Grants.gov announcements.

19.010 ACADEMIC EXCHANGE PROGRAMS - HUBERT H. HUMPHREY FELLOWSHIP PROGRAM

Hubert H. Humphrey Fellowship Program

FEDERAL AGENCY:

Bureau of Educational and Cultural Affairs, Department of State

AUTHORIZATION:

The Fulbright-Hays Act of 1961, as amended, Public Law 87-256, 22 U.S.C 2451.

OBJECTIVES:

As authorized by the Fulbright-Hays Act, the Bureau of Educational and Cultural Affairs (ECA) seeks to increase mutual understanding between the people of the United States and the people of other countries by means of educational and cultural exchange programs, including the exchange of scholars, researchers, professionals, students, and educators. ECA programs foster engagement and encourage dialogue with citizens around the world. Educational and cultural engagement is premised on the knowledge that mutual understanding, the development of future leaders, and the benefits of education programs influence societies and affect official decision-making almost everywhere in the world today. ECA programs inform, engage, and influence participants across strategic sectors of society including young people, women, teachers, scholars, journalists, and other professionals increasing the number of foreign individuals who have first-hand experience with Americans and with the values of freedom, representative government, rule of law, economic choice, and individual dignity, while building international knowledge and capacity among Americans. The Hubert H. Humphrey Fellowship Program brings mid-career professionals from countries in states of development and transition to the U.S. for a year of non-degree, graduate-level study and professional development.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funding is provided to a non-profit organization to support program administration as well as coordinating program logistics. Funding supports activities such as publicity and recruitment, screening of participant

applications, recruitment and selection of U.S. host institutions, communication with host institutions and recipients, payment of stipends, and the provision of enrichment activities. The Hubert H. Humphrey Fellowship Program is a Fulbright exchange activity that brings young and mid-career professionals from designated countries to the United States for a year of non-degree graduate-level study, leadership development, and professional collaboration with U.S. counterparts. Humphrey Fellows are selected based on their potential for leadership and their commitment to public service in either the public or the private sector. The Humphrey Program fosters a mutual exchange of knowledge and understanding about issues of common concern in the United States and the Fellows home countries. The Program offers Fellows opportunities for leadership development and professional engagement with Americans and their counterparts from many nations. Up to eighteen major universities in the United States serve as host campuses for Humphrey Fellows. These host universities are chosen for their excellence in relevant Humphrey fields of study and for the resources and support they offer Humphrey Fellows. Please refer to the Grants.gov announcement for further information.

Applicant Eligibility:

None.

Beneficiary Eligibility:

None.

Credentials/Documentation:

Pursuant to the Mutual Educational and Cultural Exchange Act of 1961, as amended (Fulbright-Hays Act) the Bureau of Educational and Cultural Affairs of the U.S. Department of State awards project grants and cooperative agreements to educational and cultural public or private nonprofit foundations or institutions. Applications may be submitted by public and private non-profit organizations meeting the provisions described in Internal Revenue Code section 26 USC 501(c)(3). Organizations must have nonprofit status with the IRS at the time of application. Please refer to the Grants.gov for further eligibility criteria. OMB Circular No. A-87 applies to this program. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Announcements are made on the grants.gov website for organizations meeting eligibility requirements. Announcements are made as necessary during the fiscal year and are posted to the Department of State's website: <http://eca.state.gov/organizational-funding>. The application procedures are described in the grants.gov announcement.

Award Procedure:

Final awards cannot be made until funds have been appropriated by Congress, allocated and committed through internal Bureau procedures. Successful applicants will receive a Federal Assistance Award (FAA) from the Bureau Grants Office. The FAA and the original proposal with subsequent modifications (if applicable) shall be the only binding authorizing document between the recipient and the U.S. Government. The FAA will be signed by an authorized Grants Officer, and mailed to the recipients responsible officer identified in the application.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

As stated in the Grants.gov announcements.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

As stated in the Grants.gov announcements. See the following for information on how assistance is awarded/released: Assistance is awarded/released through the Department's central financial management database.

Reports:

Financial and Program Reporting requirements are described in the Grants.gov announcement. Cash reports are not applicable. Progress reports are not applicable. As stated in the Grants.gov announcements. As stated in the Grants.gov announcements.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. The Recipient must comply with the OMB audit requirements. For all DOS awards, regardless of business type, the Recipients are subject to the audit requirements found in OMB audit requirements. In addition, the Recipients are subject to the audit requirements found in the Single Audit Act of 1984, 31 U.S.C. 7501-7507. Please refer to the Department of State Standard Terms and Conditions for Domestic Financial Assistance Awards (<http://fa.statebuy.state.gov>) for additional guidance.

Records:

The Recipients must maintain financial records, supporting documents, statistical records, and all other records pertinent to an award for a period of three years from the date of submission of the final expenditure report. Please refer to the Department of State Standard Terms and Conditions for Domestic Financial Assistance Awards (<http://fa.statebuy.state.gov>) for additional guidance.

Account Identification:

19-0201-0-1-154.

Obligations:

(Cooperative Agreements) FY 12 \$14,094,260; FY 13 est \$13,389,547; and FY 14 est \$13,389,547

Range and Average of Financial Assistance:

Not Applicable, \$14,094,260.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: The Hubert H. Humphrey Fellowship Program awarded 192 certificates of completion to Fellows from 93 countries for academic year 2012-2013. Fiscal Year 2013: No Current Data Available. Fiscal Year 2014: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

As stated in the grants.gov announcements. In addition, organizations should be familiar with OMB Circulars A-110 (Revised) (Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals and Other Nonprofit Organizations), A-122/A-21 (Cost Principles for Nonprofit Organizations; Indirect Costs), and A-133/A-128 (Audits of Institutions of Higher Education and Other Nonprofit Organizations) on cost accounting principles. For a copy of the OMB circulars cited, please contact Government Publications or download from <http://www.whitehouse.gov/OMB/>.

Regional or Local Office:

None.

Headquarters Office:

John Sedlins Department of State
Bureau of Educational and Cultural Affairs
Office of Global Educational Programs
Humphrey and Institutional Linkages Branch
2200 C Street, NW
SA-5, Rm. 4CC13, Washington, District of Columbia 20037 Email:

sedlinsjz@state.gov Phone: (202) 632-6328

Website Address:

<http://exchanges.state.gov/non-us/program/hubert-h-humphrey-fellowship-program>

RELATED PROGRAMS:

19.400 Educational Exchange_Graduate Students; 19.401 Educational Exchange_University Lecturers (Professors) and Research Scholars; 19.408 Educational Exchange_Teachers from Secondary and Postsecondary Levels and School Administrators

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

As stated in Grants.gov announcements.

19.011 ACADEMIC EXCHANGE PROGRAMS - SPECIAL ACADEMIC EXCHANGE PROGRAMS

Special Academic Exchange programs include: American Overseas Research Centers, Benjamin A. Gilman Scholarship Program; National Clearinghouse on Disability, South Pacific Scholarship Program; Tibetan Scholarship Program, Timor-Leste Scholarship Program, among others.

FEDERAL AGENCY:

Bureau of Educational and Cultural Affairs, Department of State

AUTHORIZATION:

The Fulbright-Hays Act of 1961, as amended, Public Law 87-256, 22 U.S.C 2451.

OBJECTIVES:

As authorized by the Fulbright-Hays Act, the Bureau of Educational and Cultural Affairs (ECA) seeks to increase mutual understanding between the people of the United States and the people of other countries by means of educational and cultural exchange programs, including the exchange of scholars, researchers, professionals, students, and educators. ECA programs foster engagement and encourage dialogue with citizens around the world. Educational and cultural engagement is premised on the knowledge that mutual understanding, the development of future leaders, and the benefits of education programs influence societies and affect official decision-making almost everywhere in the world today. ECA programs inform, engage, and influence participants across strategic sectors of society including young people, women, teachers, scholars, journalists, and other professionals increasing the number of foreign individuals who have first-hand experience with Americans and with the values of freedom, representative government, rule of law, economic choice, and individual dignity, while building international knowledge and capacity among Americans. The purpose of Special Academic Exchange Programs is to provide targeted support for U.S. and foreign students who may not otherwise have the resources to pursue international exchange opportunities or who are in fields directly relevant to identified needs in their countries.

TYPES OF ASSISTANCE:

Cooperative Agreements; Project Grants

USES AND USE RESTRICTIONS:

Special Academic Exchange Programs support participants in undergraduate, graduate, and non-degree professional development programs. Special Academic Exchange Programs target underserved populations from the U.S., developing world or strategically important areas. These programs provide selected participants with the opportunity to participate in exchanges and develop international competencies and skills, which allow them to serve as leaders in their professions and communities. Funding is provided to non-profit organizations, colleges, and universities to support their work in designing and administering programs as well as coordinating program logistics. Funding supports publicity and recruitment, screening of applications, communication with participants, payment of participant costs, and the provision of enrichment activities. American Overseas Research Centers are located throughout the world -- in Europe, Latin America, the Near and Middle East, South and Southeast Asia, and West Africa -- and provide services to scholars, including assistance with research and publication.

The Benjamin A. Gilman International Scholarship Program provides scholarships to U.S. undergraduates with financial need for study abroad, including students from diverse backgrounds and students going to non-traditional study abroad destinations. The National Clearinghouse on Disability and Exchange (NCDE) provides information to the disabilities community about exchange opportunities and assists exchange organizations with incorporating people with disabilities into their programs. The United States-South Pacific Scholarship Program provides educational opportunities for academically talented individuals from South Pacific island nations to pursue bachelor's and master's degrees at U.S. institutions in fields that are directly relevant to development needs in the South Pacific region. The Tibetan Scholarship Program (TSP) provides scholarships for students from the Tibetan refugee communities of India, Bhutan, and Nepal to study at U.S. graduate institutions in fields that will contribute to those communities. Student enrichment activities include a U.S. based mid-year and reentry workshop and a mentoring component to support students during and after their exchanges. The Timor-Leste Scholarship Program provides educational opportunities for academically talented future leaders from Timor-Leste to pursue bachelor's degrees at U.S. institutions in priority fields that are directly relevant to development needs in Timor-Leste. Special Academic Programs include several other academic exchange initiatives in which the U.S. Congress has particular interest. Please refer to grants.gov for further information.

Applicant Eligibility:

Pursuant to the Mutual Educational and Cultural Exchange Act of 1961, as amended (Fulbright-Hays Act) the Bureau of Educational and Cultural Affairs of the U.S. Department of State awards grants and cooperative agreements to educational and cultural public or private nonprofit foundations or institutions. Applications may be submitted by public and private non-profit organizations meeting the provisions described in Internal Revenue Code section 26 USC 501(c)(3). Organizations must have nonprofit status with the IRS at the time of application. Please refer to the grants.gov announcement for further eligibility criteria.

Beneficiary Eligibility:

Beneficiaries include recipient organizations, educational institutions, other non-government organizations (NGOs) that meet the provisions described in Internal Revenue Code section 26 USC 501(c)(3), as well as sponsored participants, and the American people and the people of participating countries who interact with the international participants.

Credentials/Documentation:

Pursuant to the Mutual Educational and Cultural Exchange Act of 1961, as amended (Fulbright-Hays Act) the Bureau of Educational and Cultural Affairs of the U.S. Department of State awards grants and cooperative agreements to educational and cultural public or private nonprofit foundations or institutions. Applications may be submitted by public and private non-profit organizations meeting the provisions described in Internal Revenue Code section 26 USC 501(c)(3). Organizations must have nonprofit status with the IRS at the time of application. Please refer to the grants.gov announcement for further eligibility criteria. OMB Circular No. A-87 applies to this program. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Announcements are made on the grants.gov website for organizations meeting eligibility requirements. Announcements are made throughout the fiscal year and are posted to the Department of State's website: <http://eca.state.gov/organizational-funding>. The application procedures are described in the grants.gov announcements.

Award Procedure:

Final awards cannot be made until funds have been appropriated by Congress, allocated and committed through internal Bureau procedures. Successful

applicants will receive a Federal Assistance Award (FAA) from the Bureau Grants Office. The FAA and the original proposal with subsequent modifications (if applicable) shall be the only binding authorizing document between the recipient and the U.S. Government. The FAA will be signed by an authorized Grants Officer, and mailed to the recipients responsible officer identified in the application.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. Final awards cannot be made until funds have been appropriated by Congress, allocated and committed through internal Bureau procedures. Successful applicants will receive a Federal Assistance Award (FAA) from the Bureau Grants Office. The FAA and the original proposal with subsequent modifications (if applicable) shall be the only binding authorizing document between the recipient and the U.S. Government. The FAA will be signed by an authorized Grants Officer, and mailed to the recipients responsible officer identified in the application.

Appeals:

Not Applicable.

Renewals:

As stated in the grants.gov announcements.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

As stated in the grants.gov announcements. See the following for information on how assistance is awarded/released: Assistance is awarded/released through the Department's central financial management database.

Reports:

Financial and Program Reporting requirements are described in the grants.gov announcement. Cash reports are not applicable. Progress reports are not applicable. As stated in the grants.gov announcements. As stated in the grants.gov announcements.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. The Recipient must comply with the OMB audit requirements. For all DOS awards, regardless of business type, the Recipients are subject to the audit requirements found in OMB audit requirements. In addition, the Recipients are subject to the audit requirements found in the Single Audit Act of 1984, 31 U.S.C. 7501-7507. Please refer to the Department of State Standard Terms and Conditions for Domestic Financial Assistance Awards (<http://fa.statebuy.state.gov>) for additional guidance.

Records:

The Recipients must maintain financial records, supporting documents, statistical records, and all other records pertinent to an award for a period of three years from the date of submission of the final expenditure report. Please refer to the Department of State Standard Terms and Conditions for Domestic Financial Assistance Awards (<http://fa.statebuy.state.gov>) for additional guidance.

Account Identification:

19-0201-0-1-154.

Obligations:

(Cooperative Agreements) FY 12 \$24,907,897; FY 13 est \$23,662,502; and FY 14 est \$23,662,502 - None.

Range and Average of Financial Assistance:

\$469,988 to \$13,039,998.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: In FY 2012, there were 13 participants on the Tibetan Scholarship Program.

In addition to ongoing Clearinghouse functions, the National Clearinghouse on Disability and Exchange, in 2012, paid special attention to promoting opportunities for people with disabilities to participate in international exchanges within the Western Hemisphere.

The USTL scholarship is very highly regarded in Timor-Leste. Selected students come not only from the capital city of Dili, but also from the outlying districts. In 2012, four scholarships were awarded out of a pool of 128 applicants.

The USSP scholarship is well-known throughout the Pacific as an excellent opportunity for outstanding students each year. In 2012, four scholarships (two bachelors level and two masters level) were awarded out of a pool of 148 applicants. Finalists were from Vanuatu, Samoa, Papua New Guinea, and Niue. Fiscal Year 2013: No Current Data Available. Fiscal Year 2014: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

As stated in the grants.gov announcements. In addition, organizations should be familiar with OMB Circulars A-110 (Revised) (Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals and Other Nonprofit Organizations), A-122/A-21 (Cost Principles for Nonprofit Organizations; Indirect Costs), and A-133/A-128 (Audits of Institutions of Higher Education and Other Nonprofit Organizations) on cost accounting principles.

For a copy of the OMB circulars cited, please contact Government Publications or download from <http://www.whitehouse.gov/OMB/>.

Regional or Local Office:

None.

Headquarters Office:

Lana Muck Department of State, Bureau of Educational and Cultural Affairs, Office of Academic Exchange Programs, 2200 C Street, NW, SA-5, Fourth Floor, Room 4B07, Washington, District of Columbia 20037 Email: fulbright@state.gov Phone: (202) 632-3238

Website Address:

<http://exchanges.state.gov/academicexchanges/index.html>

RELATED PROGRAMS:

19.400 Educational Exchange_Graduate Students

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

As stated in the Grants.gov announcements.

19.012 PROFESSIONAL AND CULTURAL EXCHANGE PROGRAMS - SPECIAL PROFESSIONAL AND CULTURAL PROGRAMS

Special Professional and Cultural Programs include the Ngwang Choephel Fellows Program, among other programs.

FEDERAL AGENCY:

Bureau of Educational and Cultural Affairs, Department of State

AUTHORIZATION:

The Fulbright-Hays Act of 1961, Public Law 87-256.

OBJECTIVES:

As authorized by the Fulbright-Hays Act, the Bureau of Educational and Cultural Affairs (ECA) seeks to increase mutual understanding between the people of the United States and the people of other countries by means of educational and cultural exchange programs, including the exchange of scholars, researchers, professionals, students, and educators. ECA programs foster engagement and encourage dialogue with citizens around the world. Educational and cultural engagement is premised on the knowledge that mutual understanding, the development of future leaders, and the benefits of education programs influence societies and affect official decision-making almost everywhere in the world today. ECA programs inform, engage, and influence

participants across strategic sectors of society including young people, women, teachers, scholars, journalists, and other professionals increasing the number of foreign individuals who have first-hand experience with Americans and with the values of freedom, representative government, rule of law, economic choice, and individual dignity. The purpose of the Special Professional and Cultural Programs is to carry out Congressionally-directed initiatives that support professional and youth exchanges between the United States and select countries through grants to American non-profit, non-governmental institutions and organizations, including community organizations, professional associations, and universities.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Funding is provided to eligible non-profit organizations, colleges and universities to support their work in designing and administering programs as well as coordinating program logistics. Funding to those organizations supports activities such as publicity and recruitment, screening of participant applications, communication with participants, domestic and international travel, payment of stipends and program benefits, and the provision of enrichment activities. Special Professional and Cultural Programs constitute a range of professional and youth exchange initiatives in which the U.S. Congress has particular interest. The programs are designed to promote U.S. interests in designated countries around the world by developing mutual understanding of key issues and promoting long-lasting ties between the United States and those countries. Programs are designed to promote good governance, civil society and civic responsibility, educational reform, conflict prevention and management, trade, leadership, cultural diversity and heritage, and educational reform, as well as other topics through people-to-people exchanges. Please refer to the grants.gov for further information.

Applicant Eligibility:

Pursuant to the Mutual Educational and Cultural Exchange Act of 1961, as amended (Fulbright-Hays Act) the Bureau of Educational and Cultural Affairs of the U.S. Department of State awards grants and cooperative agreements to educational and cultural public or private nonprofit foundations or institutions. Applications may be submitted by public and private non-profit organizations meeting the provisions described in Internal Revenue Code section 26 USC 501(c)(3). Organizations must have nonprofit status with the IRS at the time of application. Please refer to the grants.gov announcement for further eligibility criteria.

Beneficiary Eligibility:

Beneficiaries include recipient organizations, educational institutions, other non-government organizations (NGOs) that meet the provisions described in Internal Revenue Code section 26 USC 501(c)(3), as well as sponsored participants, and the American people and the people of participating countries who interact with the international participants.

Credentials/Documentation:

Pursuant to the Mutual Educational and Cultural Exchange Act of 1961, as amended (Fulbright-Hays Act) the Bureau of Educational and Cultural Affairs of the U.S. Department of State awards grants and cooperative agreements to educational and cultural public or private nonprofit foundations or institutions. Applications may be submitted by public and private non-profit organizations meeting the provisions described in Internal Revenue Code section 26 USC 501(c)(3). Organizations must have nonprofit status with the IRS at the time of application. Please refer to the grants.gov or Federal Register announcement for further eligibility criteria. OMB Circular No. A-87 applies to this program. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Announcements are made on the grants.gov website for organizations meeting eligibility requirements. Announcements are made throughout the fiscal year and are posted to the Department of State's website:

<http://eca.state.gov/organizational-funding>. The application procedures are described in the grants.gov announcements.

Award Procedure:

Final awards cannot be made until funds have been appropriated by Congress, allocated and committed through internal Bureau procedures. Successful applicants will receive a Federal Assistance Award (FAA) from the Bureau Grants Office. The FAA and the original proposal with subsequent modifications (if applicable) shall be the only binding authorizing document between the recipient and the U.S. Government. The FAA will be signed by an authorized Grants Officer, and mailed to the recipients responsible officer identified in the application.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

As stated in the Grants.gov announcements.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

As stated in the Grants.gov announcements. See the following for information on how assistance is awarded/released: Assistance is awarded/released through the Department's central financial management database.

Reports:

Financial and Program Reporting requirements are described in the Grants.gov announcement.

Cash reports are not applicable. Progress reports are not applicable. As stated in the Grants.gov announcements. As stated in the Grants.gov announcements.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. The Recipient must comply with the OMB audit requirements. For all DOS awards, regardless of business type, the Recipients are subject to the audit requirements found in OMB audit requirements. In addition, the Recipients are subject to the audit requirements found in the Single Audit Act of 1984, 31 U.S.C. 7501-7507. Please refer to the Department of State Standard Terms and Conditions for Domestic Financial Assistance Awards (<http://fa.statebuy.state.gov>) for additional guidance.

Records:

The Recipients must maintain financial records, supporting documents, statistical records, and all other records pertinent to an award for a period of three years from the date of submission of the final expenditure report. Please refer to the Department of State Standard Terms and Conditions for Domestic Financial Assistance Awards (<http://fa.statebuy.state.gov>) for additional guidance.

Account Identification:

19-0201-0-1-154.

Obligations:

(Project Grants) FY 12 \$7,036,952; FY 13 est \$6,685,104; and FY 14 est \$6,685,104

Range and Average of Financial Assistance:

\$119,000 to \$1,045,800.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: The Ngwang Choephel Fellows Program provides general support to non-governmental organizations located outside of China which promote activities that preserve cultural traditions and enhance sustainable development and environmental conservation in Tibetan communities in China. There were approximately 5 U.S. participants, and approximately 30 non-U.S. participants in FY 2012. Fiscal Year 2013: No Current Data Available. Fiscal Year 2014: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

As stated in the grants.gov and Federal Register announcements. In addition, organizations should be familiar with OMB Circulars A-110 (Revised) (Uniform

Administrative Requirements for Grants and Agreements with Institutions of Higher

Education, Hospitals and Other Nonprofit Organizations), A-122/A-21 (Cost Principles for Nonprofit Organizations; Indirect Costs), and A-133/A-128 (Audits of Institutions of Higher Education and Other Nonprofit Organizations) on cost accounting principles. For a copy of the OMB circulars cited, please

contact Government Publications or download from

<http://www.whitehouse.gov/OMB/>.

Regional or Local Office:

None.

Headquarters Office:

Sheila Casey Department of State
Bureau of Educational and Cultural Affairs
Office of Citizen Exchange Programs

2200 C Street, NW

SA-05, Rm. 3B14, Washington, District of Columbia 20037 Email:

caseysd@state.gov Phone: 202-632-6070

Website Address:

<http://exchanges.state.gov/citizens/index.html>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

As stated in Grants.gov announcements.

**19.014 ONE-TIME INTERNATIONAL EXCHANGE GRANT PROGRAM
FEDERAL AGENCY:**

Bureau of Educational and Cultural Affairs, Department of State

AUTHORIZATION:

The Fulbright-Hays Act of 1961, Public Law 87-256.

OBJECTIVES:

To provide one-time grants for international exchanges that address issues of mutual interest to the United States and other countries, consistent with the program criteria established in the Departments annual appropriation. As directed by the Congress, The program shall be only for the actual exchange of people and should benefit a population that is not being addressed through existing authorized exchanges. As authorized by the Fulbright-Hays Act, the Bureau of Educational and Cultural Affairs (ECA) seeks to increase mutual understanding between the people of the United States and the people of other countries by means of educational and cultural exchange programs, including the exchange of scholars, researchers, professionals, students, and educators. Educational and cultural engagement is premised on the knowledge that mutual understanding, the development of future leaders, and the benefits of education programs influence societies and affect official decision-making almost everywhere in the world today.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

The One-Time International Exchange Grant Program may include Academic and Professional, Cultural, and Youth exchange activities. The activities are

designed to promote U.S. interests in designated countries around the world by developing mutual understanding of key issues and promoting long-lasting ties between the United States and other countries. The activities funded under this program provide selected individuals with the opportunity to participate in exchanges and develop international competencies and skills, which allow them to serve as leaders in their professions and communities. Funding is provided to eligible non-profit organizations, colleges and universities to support their work in designing and administering exchange programs as well as coordinating program logistics.

Academic grants may support such activities as study abroad capacity building, the administration of intensive English or thematic Study of the U.S. institutes for underserved populations from the developing world or strategically important areas, or other academic exchanges and international educational programs. Program models, themes and world regions of emphasis may vary from year to year.

Professional, Cultural, and Youth grants may support two-way exchanges for Emerging Youth Leaders, Emerging Young Professionals, and Emerging Cultural Leaders. Themes and world regions vary by program. Program models, themes and world regions may vary from year to year.

Please refer to the grants.gov announcements for further information.

Applicant Eligibility:

None.

Beneficiary Eligibility:

None.

Credentials/Documentation:

Pursuant to the Mutual Educational and Cultural Exchange Act of 1961, as amended (Fulbright-Hays Act) the Bureau of Educational and Cultural Affairs of the U.S. Department of State awards grants and cooperative agreements to educational and cultural public or private nonprofit foundations or institutions. Applications may be submitted by organizations specifically identified by Congress in agency appropriations legislation as well as public and private non-profit organizations meeting the provisions described in Internal Revenue Code section 26 USC 501(c)(3). Organizations must have nonprofit status with the IRS at the time of application. Please refer to the grants.gov announcement for further eligibility criteria. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Announcements are made on the grants.gov website for organizations meeting eligibility requirements. Announcements are made throughout the fiscal year and are posted to the Department of State's website: <http://eca.state.gov/organizational-funding>. The application procedures are described in the grants.gov announcements.

Award Procedure:

Final awards cannot be made until funds have been appropriated by Congress, allocated and committed through internal Bureau procedures. Successful applicants will receive a Federal Assistance Award (FAA) from the Bureau Grants Office. The FAA and the original proposal with subsequent modifications (if applicable) shall be the only binding authorizing document between the recipient and the U.S. Government. The FAA will be signed by an authorized Grants Officer, and mailed to the recipients responsible officer identified in the application.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

As stated in the Grants.gov announcements. See the following for information on how assistance is awarded/released: Assistance is awarded/released through the Department's central financial management database.

Reports:

As stated in the Grants.gov announcements. Cash reports are not applicable. Progress reports are not applicable. As stated in the Grants.gov announcements. As stated in the Grants.gov announcements.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. The Recipient must comply with the OMB audit requirements. For all DOS awards, regardless of business type, the Recipients are subject to the audit requirements found in OMB audit requirements. In addition, the Recipients are subject to the audit requirements found in the Single Audit Act of 1984, 31 U.S.C. 7501-7507. Please refer to the Department of State Standard Terms and Conditions for Domestic Financial Assistance Awards (<http://fa.statebuy.state.gov>) for additional guidance.

Records:

The Recipients must maintain financial records, supporting documents, statistical records, and all other records pertinent to an award for a period of three years from the date of submission of the final expenditure report. For awards that are renewed quarterly or annually, from the date of the submission of the quarterly or annual financial report as authorized by the DOS. Please refer to the Department of State Standard Terms and Conditions for Domestic Financial Assistance Awards (<http://fa.statebuy.state.gov>) for additional guidance.

Account Identification:

19-0201-0-1-154.

Obligations:

(Project Grants) FY 12 \$1,618,154; FY 13 est \$0; and FY 14 est \$0

Range and Average of Financial Assistance:

\$129,219 to \$239,267.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: No Current Data Available. Fiscal Year 2013: No Current Data Available. Fiscal Year 2014: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

As stated in the grants.gov announcements. In addition, organizations should be familiar with OMB Circulars A-110 (Revised) (Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals and Other Nonprofit Organizations), A-122/A-21 (Cost Principles for Nonprofit Organizations; Indirect Costs), and A-133/A-128 (Audits of Institutions of Higher Education and Other Nonprofit Organizations) on cost accounting principles. For a copy of the OMB circulars cited, please contact Government Publications or download from <http://www.whitehouse.gov/OMB/>.

Regional or Local Office:

None.

Headquarters Office:

Lana Muck for Academic Programs / Sheila Casey for Professional Exchanges
Department of State
Bureau of Educational and Cultural Affairs
2200 C Street, NW, SA-05, Washington, District of Columbia 20037 Email:
fulbright@state.gov;caseysd@state.gov Phone: (202) 632-9330 / 632-6070

Website Address:

<http://exchanges.state.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

As stated in the grants.gov announcements.

19.015 CULTURAL, TECHNICAL AND EDUCATIONAL CENTERS

FEDERAL AGENCY:

Bureau of Educational and Cultural Affairs, Department of State

AUTHORIZATION:

The Fulbright-Hays Act of 1961 (Public Law 87-256), as amended., 22 U.S.C 2054 2078 2451; Department of State, Foreign Operations, and Related Programs Appropriations Act.

OBJECTIVES:

To assist various organizations identified by Congress to achieve objectives specified by Congress. As authorized by the Fulbright-Hays Act, the Bureau of Educational and Cultural Affairs (ECA) seeks to increase mutual understanding between the people of the United States and the people of other countries by means of educational and cultural exchange programs, including the exchange of scholars, researchers, professionals, students, and educators. Educational and cultural engagement is premised on the knowledge that mutual understanding, the development of future leaders, and the benefits of education programs influence societies and affect official decision-making almost everywhere in the world today.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Assistance is provided to implement specific projects identified by Congress. Funding is provided to the Center for Cultural and Technical Interchange Between East and West (East-West Center) to promote better relations and understanding between the United States and the nations of Asia and the Pacific through cooperative study, training, and research. Scholars, students, teachers, government officials, NGO leaders, journalists and other professionals in various fields from the U.S. and the Asia Pacific region may study and carry out research, exchange ideas and views, lead and participate in seminars and training activities, take part in cultural programs, and conduct other activities primarily in support of the objectives of the Fulbright-Hays Act, as amended, and other Acts promoting the international, educational, cultural, and related activities of the United States.

Funding is appropriated each fiscal year from interest and earnings credited to the Center for Middle Eastern-Western Dialogue Trust Fund for operations of the International Center for Middle Eastern-Western Dialogue to promote dialogue and scholarship in the Middle East.

Applicant Eligibility:

Organizations specifically identified by Congress in agency appropriations legislation.

Beneficiary Eligibility:

Beneficiaries are those served by the organizations receiving awards.

Credentials/Documentation:

Eligible organizations are those specifically identified by Congress in agency appropriations legislation. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from

coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. As stated in the award solicitation documents.

Award Procedure:

Final awards cannot be made until funds have been appropriated by Congress, allocated and committed through internal Bureau procedures. Successful applicants will receive a Federal Assistance Award (FAA) from the Bureau Grants Office. The FAA and the original proposal with subsequent modifications (if applicable) shall be the only binding authorizing document between the recipient and the U.S. Government. The FAA will be signed by an authorized Grants Officer, and mailed to the recipients responsible officer identified in the application.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Renewals are contingent upon future Congressional funding.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Not applicable. See the following for information on how assistance is awarded/released: Assistance is awarded/released through the Department's central financial management database.

Reports:

As stated in the award solicitation documents. Cash reports are not applicable. Progress reports are not applicable. As stated in the award solicitation documents. As stated in the award solicitation documents.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. The Recipient must comply with the OMB audit requirements. For all DOS awards, regardless of business type, the Recipients are subject to the audit requirements found in OMB audit requirements. In addition, the Recipients are subject to the audit requirements found in the Single Audit Act of 1984, 31 U.S.C. 7501-7507. Please refer to the Department of State Standard Terms and Conditions for Domestic Financial Assistance Awards (<http://fa.statebuy.state.gov>) for additional guidance.

Records:

The Recipients must maintain financial records, supporting documents, statistical records, and all other records pertinent to an award for a period of three years from the date of submission of the final expenditure report. For awards that are renewed quarterly or annually, from the date of the submission of the quarterly or annual financial report as authorized by the DOS. Please refer to the Department of State Standard Terms and Conditions for Domestic Financial Assistance Awards (<http://fa.statebuy.state.gov>) for additional guidance.

Account Identification:

19-0201-0-1-154.

Obligations:

(Cooperative Agreements) FY 12 \$16,700,000; FY 13 est \$16,589,000; and FY 14 est \$16,589,000 - -.

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Organizations should be familiar with OMB Circulars A-110 (Revised) (Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals and Other Nonprofit Organizations), A-122/A-21 (Cost Principles for Nonprofit Organizations; Indirect Costs), and A-133/A-128 (Audits of Institutions of Higher Education and Other Nonprofit Organizations) on cost accounting principles. For a copy of the OMB circulars cited, please contact Government Publications or download from <http://www.whitehouse.gov/OMB/>. Refer to solicitation documents for additional requirements.

Regional or Local Office:

None.

Headquarters Office:

Lana Muck Department of State
Bureau of Educational and Cultural Affairs
Office of Academic Programs
2200 C Street, NW, SA-05
Rm. Room 4B07
, Washington, District of Columbia 20037 Email: MuckLS@state.gov Phone: 202-632-3238

Website Address:

<http://exchanges.state.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

As stated in the award solicitation documents.

19.018 RESETTLEMENT SUPPORT CENTERS (RSCS) FOR U.S. REFUGEE RESETTLEMENT

PRM

FEDERAL AGENCY:

Bureau of Population, Refugees, and Migration, Department of State

AUTHORIZATION:

The Immigration and Nationality Act, as amended by the Refugee Act of 1980.

OBJECTIVES:

The purpose of the Overseas Processing Entity (OPE) is to assist the Bureau in preparing the necessary casework for persons eligible for interview by United States Citizenship and Immigration Services (USCIS) of the Department of Homeland Security (DHS) under the U.S. Refugee Admissions Program and, for those approved, to provide assistance in completing the additional requirements for refugee admission under Section 207 of the Immigration and Nationality Act. In addition, the OPE forwards the necessary data on all DHS-approved cases to the Refugee Processing Center (RPC) for distribution to Reception and Placement agencies according to procedures established by the Bureau.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

The cooperative agreement, grant or contribution provides funds to meet the organization's objectives as approved by the Bureau. Funding documents authorize funds based on the organization's budget submission after Bureau approval.

Applicant Eligibility:

International and non-governmental organizations.

Beneficiary Eligibility:

Refugees approved under the U.S. Refugee Admissions Program will benefit.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Non-governmental organizations (NGOs) must submit proposals for the Bureau's consideration via Grants.gov in response to funding opportunity announcements posted by the Bureau on Grants.gov to fill critical needs, with Bureau review occurring following the deadline of the funding announcement. International organizations submit appeals to the Bureau. (Funding announcements are also posted at <http://www.state.gov/g/prm/c27111.htm>.) Organizations interested in submitting applications in response to Bureau funding opportunity announcements are encouraged to communicate directly with the Bureau program officers regarding priorities and funding timelines. NGOs that have never received PRM funding must be prepared to demonstrate that their organizations meet the financial and accounting requirements of the U.S. Government before they can be eligible to receive funding.

Award Procedure:

The Assistant Secretary of the Bureau makes funding decisions based on recommendations from the Bureau's Office of Admissions and other Bureau offices. The Comptroller or Deputy Comptroller of the Bureau signs the cooperative agreement.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

May be renewable for up to two additional fiscal years based upon budget submissions on an annual basis, as long as there remains a need for the OPE and the organization operating the OPE provides satisfactory service, and subject to the availability of funds. At the end of three years, if the need to operate the OPE continues, the Bureau will re-compete the project, and the recipient of this award may participate in that process.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Generally, funds are awarded for a 12-month period. Funds may be renewable for up to two additional fiscal years based upon budget submissions on an annual basis, as long as there remains a need for the OPE and the organization operating the OPE provides satisfactory service, and subject to the availability of funds. At the end of three years, if the need to operate the OPE continues, the Bureau will re-compete the project, and the recipient of this award may participate in that process. See the following for information on how assistance is awarded/released: Payments will be made through the U.S. Department of Health and Human Services Payment Management System (PMS). Recipients request funds based on immediate disbursement requirements and disburse funds as soon as possible to minimize the Federal cash on hand in accordance with the policies established by the U.S. Treasury Department and mandated by the OMB Circulars.

Reports:

A final program report is due ninety (90) days following the end of the

agreement. The submission date for program report will be written into the grant or cooperative agreement. Financial reports are required within thirty (30) days following the end of each calendar year quarter during the validity period of the agreement (January 30th, April 30th, July 30th, October 30th). The final financial report covering the entire period of the agreement is required within ninety (90) days after the expiration date of the agreement. For agreements containing indirect costs, final financial reports are due within 60 days of the finalization of the applicable negotiated indirect cost rate agreement (NICRA). No progress reports are required. Financial reports are required within thirty (30) days following the end of each calendar year quarter during the validity period of the agreement (January 30th, April 30th, July 30th, October 30th). The final financial report covering the entire period of the agreement is required within ninety (90) days after the expiration date of the agreement. For agreements containing indirect costs, final financial reports are due within 60 days of the finalization of the applicable negotiated indirect cost rate agreement (NICRA). The general performance of the Recipient's operations will be evaluated and monitored to ensure that the established objectives are being successfully met and to determine whether the performance is in compliance with the terms of the award.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Bureau funds must be included in an appropriate audit or audits performed by independent public accountants in accordance with U.S. Government Auditing Standards established by the Comptroller General of the United States covering financial audits. The audit report is due 30 days after issuance or nine months after the end of the audit period.

Records:

Retention and access requirements for records are set forth in 22 CFR 145.53.

Account Identification:

19-1143-0-1-151.

Obligations:

(Cooperative Agreements) FY 12; FY 13; and FY 14 - FY10 not available.

Range and Average of Financial Assistance:

In FY08 under MRA, assistance for OPEs was given to 2 IOs, 3 NGOs and other entities totaling \$46,615,074.

PROGRAM ACCOMPLISHMENTS:

No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

All inquiries should be directed to the Bureau contact(s) listed below

Regional or Local Office:

None.

Headquarters Office:

Kelly Gauger U.S. Department of State
Bureau of Population, Refugees and Migration (PRM)
2401 E Street NW, L505, Washington, District of Columbia 20522-0105 Email:
GaugerKA@state.gov Phone: 202-663-1055 Fax: 202-663-1364

Website Address:

<http://www.state.gov/g/prm/index.htm>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

No Current Data Available.

CRITERIA FOR SELECTING PROPOSALS:

The purpose of the Overseas Processing Entity (OPE) is to assist the Bureau in preparing the necessary casework for persons eligible for interview by United States Citizenship and Immigration Services (USCIS) of the Department of

Homeland Security (DHS) under the U.S. Refugee Admissions Program and, for those approved, to provide assistance in completing the additional requirements for refugee admission under Section 207 of the Immigration and Nationality Act. In addition, the OPE forwards the necessary data on all DHS-approved cases to the Refugee Processing Center (RPC) for distribution to Reception and Placement agencies according to procedures established by the Bureau. Organizations seeking funding to provide these services must demonstrate that they can:

- a) Provide refugee processing services for all U.S. Refugee Admissions Program applicants in the location outlined in the relevant funding announcement and by the date listed in the announcement; and
- b) Relate equitably to all domestic resettlement agencies. This will include sharing relevant biographic and other background information with domestic resettlement agencies working in the Reception and Placement program (to facilitate their provision of required sponsorship assurances). Specific objectives are listed in detail in the relevant funding announcement.

19.022 EDUCATIONAL AND CULTURAL EXCHANGE PROGRAMS APPROPRIATION OVERSEAS GRANTS

FEDERAL AGENCY:

Bureau of Educational and Cultural Affairs, Department of State

AUTHORIZATION:

The Mutual Educational and Cultural Exchange Act of 1961 (Fulbright-Hays Act), as amended, Public Law 87-256, 22 U.S.C 2451.

OBJECTIVES:

To provide assistance awards by U.S. diplomatic missions abroad made directly to eligible organizations in the United States using funds appropriated to and authorized by the Bureau of Educational and Cultural Affairs for the purpose of supporting international exchanges that address issues of mutual interest to the United States and other countries, consistent with the program criteria established in the Departments annual appropriation. As authorized by the Fulbright-Hays Act, the Bureau of Educational and Cultural Affairs (ECA) seeks to increase mutual understanding between the people of the United States and the people of other countries by means of educational and cultural exchange; to strengthen the ties which unite us with other nations by demonstrating the educational and cultural interests, developments, and achievements of the people of the United States and other nations, and the contributions being made toward a peaceful and more fruitful life for people throughout the world; to promote international cooperation for educational and cultural advancement; and thus to assist in the development of friendly, sympathetic, and peaceful relations between the United States and the other countries of the world."

TYPES OF ASSISTANCE:

Cooperative Agreements; Project Grants

USES AND USE RESTRICTIONS:

Awards made by Overseas Public Diplomacy Offices may support any activity authorized by the Fulbright-Hays Act for which funding has been appropriated by Congress through the annual Educational and Cultural Exchange Programs Appropriation administered by the Department of State (DOS) Bureau of Educational and Cultural Affairs. The activities are designed to promote U.S. interests in designated countries around the world by developing mutual understanding of key issues and promoting long-lasting ties between the United States and other countries. Awards are made to eligible profit or non-profit corporations, institutions or individuals. Awards under this program are specific to those made to domestic (U.S.) institutions or individuals and supported by funds appropriated to and approved by the Bureau of Educational and Cultural Affairs.

Applicant Eligibility:

Projects must support the development of mutual understanding between the United States and other countries in any area of importance to U.S. interests in those countries.

Beneficiary Eligibility:

Beneficiaries include individuals selected for participation in the project, educational institutions, and Americans and people of other countries who interact with international participants.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program.

Award Procedure:

Program announcements and application procedures may vary widely depending on the overseas post and program, including through grants.gov as applicable. Note that overseas posts may also administer programs limited to overseas applicants, which are not covered by this CFDA entry.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

See announcement at Grants.gov.

Appeals:

Not Applicable.

Renewals:

As stated in the original solicitation document at Grants.gov.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The period of time for which assistance is available varies depending on the specific program and will be stated in the terms and conditions of the award. See the following for information on how assistance is awarded/released: The method of assistance may vary depending on the specific program and will be stated in the terms and conditions of the award.

Reports:

Program reports are to be submitted in accordance with the terms and conditions of the award. Cash reports may be required in accordance with the terms and conditions of the award. Progress reports may be required in accordance with the terms and conditions of the award. Expenditure reports are to be submitted in accordance with the terms and conditions of the award. Performance monitoring may be required in accordance with the terms and conditions of the award.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Please refer to the Department of State Standard Terms and Conditions for Domestic Financial Assistance Awards (<http://fa.statebuy.state.gov>) for additional guidance.

Records:

The Recipients must maintain financial records, supporting documents, statistical records, and all other records pertinent to an award for a period of three years from the date of submission of the final expenditure report. For awards that are renewed quarterly or annually, records pertinent to the award must be maintained for three years from the date of the submission of the quarterly or annual financial report as authorized by the DOS. Please refer to the Department of State Standard Terms and Conditions for Domestic Financial Assistance Awards (<http://fa.statebuy.state.gov>) for additional guidance.

Account Identification:

19-0201-0-1-154.

Obligations:

(Project Grants) FY 12 \$2,017,418; FY 13 est \$2,017,418; and FY 14 est \$2,017,418

Range and Average of Financial Assistance:

Award amounts may vary widely depending on the nature of the project, from less than \$10,000 to a maximum of \$250,000. A typical institutional award may total \$50,000 or less.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: No Current Data Available. Fiscal Year 2013: No Current Data Available. Fiscal Year 2014: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Guidelines for programs will vary with individual activities and posts. In addition, organizations should be familiar with OMB Circulars A-110 (Revised) (Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals and Other Nonprofit Organizations), A-122/A-21 (Cost Principles for Nonprofit Organizations; Indirect Costs), and A-133/A-128 (Audits of Institutions of Higher Education and Other Nonprofit Organizations) on cost accounting principles. For a copy of the OMB circulars cited, please contact Government Publications or download from <http://www.whitehouse.gov/OMB/>.

Regional or Local Office:

None.

Headquarters Office:

Yolanda Robinson, Bureau of Educational and Cultural Affairs, Department of State, SA-5, Room 04-P04, Washington, District of Columbia 20522-0504
Email: RobinsonYJ@state.gov Phone: (202) 632-3358.

Website Address:

<http://exchanges.state.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria for selecting proposals vary by awards supporting individual activities and are outlined in the solicitations for individual activities.

19.032 GLOBAL ENGAGEMENT

Special Representative to Muslim Communities

FEDERAL AGENCY:

Secretary Office Representative to Muslim Communities, Department of State

AUTHORIZATION:

Foreign Assistance Act of 1961 as amended, Executive Order (P.L. 87-195), part II Chapter 4.

OBJECTIVES:

To support projects that fulfill U.S. government global engagement goals to strengthen civil society and counter extremism, including: social media training, media empowerment, leadership and social entrepreneurship development that establishes civil society capacity in Muslim communities around the world.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

The target audience is in Economic Support Fund - eligible countries. See www.grants.gov for specific requests for proposals.

Applicant Eligibility:

See www.grants.gov for specific requests for proposals.

Beneficiary Eligibility:

See www.grants.gov for specific requests for proposals.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from

coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. See www.grants.gov for specific requests for proposals.

Award Procedure:

See www.grants.gov for specific requests for proposals.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 90 to 120 days. See www.grants.gov for specific requests for proposals.

Appeals:

See www.grants.gov for specific requests for proposals.

Renewals:

See www.grants.gov for specific requests for proposals.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Generally one year. See the following for information on how assistance is awarded/released: Funds are released either up front, or over the duration of the project, depending on the project and goals.

Reports:

See www.grants.gov for specific requests for proposals.(Specifically, see terms and conditions as presented in award document.). See www.grants.gov for specific requests for proposals. (Specifically, see terms and conditions as presented in award document.). See www.grants.gov for specific requests for proposals.(Specifically, see terms and conditions as presented in award document.). See www.grants.gov for specific requests for proposals. See www.grants.gov for specific requests for proposals.(Specifically, see terms and conditions as presented in award document.).

Audits:

Not Applicable.

Records:

Records must be maintained for three years after the end of the award.

Account Identification:

19-2301-1-3-000.

Obligations:

(Project Grants) FY 12 \$1,000,000; FY 13 Estimate Not Available(Exp: Funds not yet available); and FY 14 Estimate Not Available(Exp: Funds not yet available) - See www.grants.gov for specific requests for proposals.

Range and Average of Financial Assistance:

\$10,000 - \$100,000 dollars, average \$20,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: n/a. Fiscal Year 2013: n/a. Fiscal Year 2014: n/a.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None. See www.grants.gov for specific requests for proposals.

Headquarters Office:

Adnan Kifayat HST Building
2201 C Street
, Washington, District of Columbia 20520 Email: KifayatA@state.gov Phone: 2027367884

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

See www.grants.gov for specific requests for proposals.

19.040 PUBLIC DIPLOMACY PROGRAMS

FEDERAL AGENCY:

Under Secretary for Public Diplomacy and Public Affairs , Department of State

AUTHORIZATION:

Fulbright Hayes Act, the Mutual and Cultural Exchange Act of 1961 and PL 256, Foreign Assistance Act of 1961 as amended. USC 22, Smith-Mundt Act - US Information and Education Exchange Act of 1948, PL 80-402.

OBJECTIVES:

To support the achievement of U.S. foreign policy goals and objectives, advance national interests, and enhance national security by informing and influencing foreign publics and by expanding and strengthening the relationship between the people and government of the United States and citizens of the rest of the world.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

To support the achievement of U.S. foreign policy goals and objectives, advance national interests, and enhance national security by informing and influencing foreign publics and by expanding and strengthening the relationship between the people and government of the United States and citizens of the rest of the world.

See specific announcement in www.grants.gov.

Applicant Eligibility:

See specific announcement in www.grants.gov.

Beneficiary Eligibility:

Local organizations, See specific announcement in www.grants.gov. Citizens throughout the world as determined by post.

Credentials/Documentation:

See specific announcement in www.grants.gov. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. See individual announcement at www.grants.gov.

Award Procedure:

A technical review panel reviews proposals and scores them in accordance with published review criteria and determines priority program recommendations for final negotiation on the grant. For further details see individual program announcement @ www.grants.gov.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

As determined by post and Embassies.

For further details see individual program announcement @ www.grants.gov.

Appeals:

Not Applicable.

Renewals:

Based on program needs and availability of funding.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

For further details see individual program announcement @ www.grants.gov.
See the following for information on how assistance is awarded/released: For further details see individual program announcement @ www.grants.gov.

Reports:

Usually quarterly, further details see individual program announcement @ www.grants.gov. Usually quarterly, further details see individual program announcement @ www.grants.gov. Usually quarterly, further details see individual program announcement @ www.grants.gov. Reporting requirement will be outlined in the grant or cooperative agreement. Reporting requirement will be outlined in the grant or cooperative agreement.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Per OMB regulations all records should be kept for three years, beginning from the expiration date of the award.

Account Identification:

19-1201-1-3-000.

Obligations:

(Project Grants) FY 12 Not Separately Identifiable; FY 13 Not Separately Identifiable; and FY 14 Not Separately Identifiable - Public Diplomacy funds are for use by Embassies worldwide.

Range and Average of Financial Assistance:

Range between \$10,000 and \$5,000,000. Average \$50,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices. See Embassy for additional information.

Headquarters Office:

Carol F. Keith 2200 C Street, N.W.
R/PPR/R, 5th Floor, Washington, District of Columbia 20522. Email: KeithCF@state.gov Phone: 202-632-3341.

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

19.121 CONFLICT AND STABILIZATION OPERATIONS

CSO

FEDERAL AGENCY:

Bureau of Conflict and Stabilization Operations, Department of State

AUTHORIZATION:

State Department Basic Authorities Act; Foreign Assistance Act.

OBJECTIVES:

The Bureau of Conflict and Stabilization Operations (CSO) is part of the Under Secretariat for Civilian Security, Democracy, and Human Rights (J) within the Department of State (DOS). CSO's mission is to strengthen U.S. national security by breaking cycles of violent conflict and mitigating crisis in priority countries. Guided by local dynamics, CSO acts quickly to devise sustainable solutions to address the full spectrum of conflict, from prevention to crisis response to stabilization. Building more effective partnerships with capable implementing organizations with experience in conflict prevention and stabilization activities is integral to the CSO mission and as such, CSO seeks to identify qualified partners, facilitators and implementers from among private and nonprofit entities. Funding may be made available for flexible, rapid, targeted, and high-impact awards to organizations to prevent, mitigate and/or respond to conflict situations and stabilize countries around the world.

TYPES OF ASSISTANCE:

Cooperative Agreements; Project Grants

USES AND USE RESTRICTIONS:

Some examples of past CSO activities include: Burma: CSO is supporting efforts to increase trust between the Burmese government and ethnic minorities by developing locally-owned programs, including mine-risk education, survivor assistance, and non-technical surveys. Kenya: CSO is working with the U.S. Embassy in Nairobi and other partners to prevent the sort of violence that occurred after the 2007 elections. The goal is to promote free, fair, and peaceful elections that will help ensure Kenya's stability and prosperity. To help achieve this goal, our team is focusing on hotspots and is working alongside Kenyan groups such as Champions of Peace. Sierra Leone: CSO piloted a project from June 2012-January 2013 to strengthen the role of women in conflict mitigation and prevention during the 2012 election cycle in Sierra Leone. The project focused on boosting the involvement of women in conflict prevention and non-violence advocacy at the national and sub-national levels and developing relationships between women and local election authorities to build and strengthen early warning and response networks in the lead up to and during the elections. Honduras: CSO is working to improve security in Honduras by reforming the security sector and visibly reducing violence. CSO works with local partners to increase public confidence in government institutions as well as to decrease impunity by supporting civil society and government institutions to reform the security sector. CSO also attempts to decrease homicide rates and other manifestations of intimidation in urban centers by mobilizing civil society and local leaders. The above examples are illustrative only. CSO recommends applicants view the open application on www.grants.gov for more information. CSO recommends applicants view the open application on www.grants.gov for more information.

Applicant Eligibility:

CSO recommends applicants view the open application on www.grants.gov for more information.

Beneficiary Eligibility:

CSO recommends applicants view the open application on www.grants.gov for more information.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. CSO recommends applicants view the open application on www.grants.gov for more information.

Award Procedure:

CSO recommends applicants view the open application on www.grants.gov for more information.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 60 to 90 days. Due to the rapid response nature of CSOs engagements, organizations should be prepared to respond to subsequent invitations for proposals within a short time, such as within 10 working days. CSO recommends applicants view the open application on www.grants.gov for more information.

Appeals:

Not Applicable.

Renewals:

CSO recommends applicants view the open application on www.grants.gov for more information.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. CSO recommends applicants view the open application on www.grants.gov for more information.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

CSO recommends applicants view the open application on www.grants.gov for more information. See the following for information on how assistance is awarded/released: SF-270, PMS, or other methods as necessary, CSO recommends applicants view the open application on www.grants.gov for more information.

Reports:

Quarterly or as stipulated by the award, CSO recommends applicants view the open application on www.grants.gov for more information. Quarterly or as stipulated by the award, CSO recommends applicants view the open application on www.grants.gov for more information. Quarterly or as stipulated by the award, CSO recommends applicants view the open application on www.grants.gov for more information. Quarterly or as stipulated by the award, CSO recommends applicants view the open application on www.grants.gov for more information. CSO recommends applicants view the open application on www.grants.gov for more information.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. OMB Circular A-133 Audit

Records:

Records are maintained for three years after the close-out of the award.

Account Identification:

19-0113-0-0-000 - 1207; 19-7223-1-0-370 - ESF; 19-0121-0-0-000 - CSO Account.

Obligations:

(Project Grants) FY 12 \$20,969,185; FY 13 est \$10,666,942; and FY 14 est \$0

Range and Average of Financial Assistance:

CSO recommends applicants view the open application on www.grants.gov for more information.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: In 2012, CSO focused 80 percent of its effort on four major engagements Burma, Honduras, Kenya and Syria. CSO also worked in more than 15 other countries, including Afghanistan, Belize, the Central African Republic, Democratic Republic of Congo, Libya, Nigeria, Senegal, Sierra Leone, and South Sudan.

In Syria, CSO is focused on strengthening the unarmed opposition. Working from Turkey, CSO allocated \$23 million in program funds to:

- Enable the opposition to build mass communications and improve internal and external communications networks;
- Develop civilian leadership capacity for governance transition.

CSO co-funded the Syrian-run Office of Syrian Opposition Support, the hub for an expanding network of nearly 500 Syrian activists, administrators, and journalists. This connection provides insights about events inside Syria, expands assistance networks, and identifies local leaders. Through U.S., Canadian, and UK support, creative Syrian opposition TV ads and social media promote unity and tolerance among broad audiences.

In Kenya, where more than 1,000 people died and 350,000 people were displaced after the 2007 elections, CSO is integrating State Department efforts to help Kenyans prevent violence during the March 2013 election and potential runoff. CSO staff are assisting embassy efforts to build and focus Kenyan partnerships, particularly at the provincial and local levels. CSO supported existing local entities to organize nearly 50 Kenyans to establish a violence early-warning network in targeted hotspots.

In Honduras, where homicide levels are the highest in the world outside of war zones, CSO is sharpening Honduran efforts to reduce violence and address impunity through:

- Assistance for Honduran-created TV spots that show how citizens can help stem violence
- Support for government and NGO efforts to reform the police and the attorney generals office through confidence-building purges of corrupt officials and reform legislation
- Funding for a model community homicide-reduction initiative

In Burma, one of the last two countries still employing anti-personnel landmines, CSO is working with the government, ethnic minorities, and international partners to assist 5.2 million people living in landmine-contaminated areas. These efforts aim to build confidence through mine risk education and support to survivors of landmines. Fiscal Year 2013: N/A. Fiscal Year 2014: N/A.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Sara A. Mangiaracina 2121 Virginia Ave., NW Suite 7100, Washington, District of Columbia 20037 Email: MangiaracinaSA@state.gov Phone: 202-663-0335

Website Address:

<http://www.state.gov/j/cso/index.htm>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

CSO recommends applicants view the open application on www.grants.gov for more information.

19.123 EUR/ACE HUMANITARIAN ASSISTANCE PROGRAM

EUR/ACE Humanitarian Assistance Program

FEDERAL AGENCY:

Department of State

AUTHORIZATION:

Freedom Support Act, Title FSA, Public Law 102-511.

OBJECTIVES:

Provide vital humanitarian assistance to vulnerable populations in countries of the former Soviet Union.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Provide vital humanitarian assistance to vulnerable populations in countries of the former Soviet Union.

Applicant Eligibility:

Since 1992, the EUR/ACE HA program has been assisting those in need with food, clothing and medicine. Small Reconstruction Projects fund structural repairs such as bathrooms and kitchens of recipient institutions in a cost-effective way. Materials and engineering costs are covered by the project, unskilled labor is often provided by local residents, and contributions (such as utility costs) are sometimes made by NGOs and local governments. The long-term result of HA is threefold: the suffering of the vulnerable is relieved, partnerships are formed between the USG and the people of the recipient country, and, from this example, their governments are better able to recognize and react to the needs of their citizens. Since its inception in 1992, the EUR/ACE program has provided over \$4 billion worth of donated assistance to the people of the former Soviet Union.

In 2012, the EUR/ACE program provided \$137.57 million in assistance using only \$10.77 million in program funds; that is, \$12.77 of assistance delivered for each dollar of program funding. Similar results are expected in FY13, with diminished returns in FY14, the final year of the program. The EUR/ACE Humanitarian program is scheduled to phase out effective 30 September 2014.

Beneficiary Eligibility:

All grantees under this program are U.S. based non-profit organizations.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program.

Award Procedure:

Grants under this program are sole sourced and are directed by the program office.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Most grants have a one-year period of performance. Time permitted to spend funds is in alignment with FAR regulations. See the following for information on how assistance is awarded/released: As requested by grantee and confirmed by program office.

Reports:

Requirement determined on a case-by-case basis by the program office. In compliance with USG regulations. Quarterly progress reports. In compliance with USG regulations. Performance monitoring by program office is conducted on, at minimum, a yearly basis.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that

year, except as noted in Circular No. A-133.

Records:

Recipient must maintain all grant file records for 3 years.

Account Identification:

19-7201-0-3-060.

Obligations:

(Salaries) FY 12 \$10,770,000; FY 13 est \$11,000,000; and FY 14 est \$6,000,000

Range and Average of Financial Assistance:

between \$ 100,000 and \$,600.00.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Nicole Shank, 2201 C Street, NW, Washington, District of Columbia 20003
Email: shankn@state.gov Phone: (202) 647-7272.

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

19.224 NONPROLIFERATION AND DISARMAMENT FUND

(NDF)

FEDERAL AGENCY:

Bureau of International Security and Nonproliferation, Department of State

AUTHORIZATION:

Section 504 of the FREEDOM Support Act (P.L. -102-511) and is funded each year by the "Nonproliferation, Anti-terrorism, Demining and Related Programs" (NADR) appropriation in the annual Foreign Operation, Export financing, and Related Programs appropriations Acts., Public Law 102-511.

OBJECTIVES:

The Nonproliferation and Disarmament Fund (NDF) provides a means for the U.S. Government to respond rapidly to nonproliferation and disarmament opportunities, circumstances or conditions that are unanticipated or unusually difficult, but of high priority. Activities include assistance worldwide for various nonproliferation and disarmament projects that involve (a) efforts to halt the spread of weapons of mass destruction, their delivery systems, and conventional weapons systems, and (b) the destruction of existing weapons, delivery systems, and production facilities.

TYPES OF ASSISTANCE:

Cooperative Agreements; Project Grants

USES AND USE RESTRICTIONS:

These funds will be used to allow the U.S. Government to respond rapidly to unanticipated, high-priority nonproliferation and disarmament requirements/opportunities that promote bilateral and multilateral nonproliferation and disarmament activities, as it relates to weapons of mass destruction (WMD) and conventional weapons. As specified on the grant.

Applicant Eligibility:

All program grants must be related to and support nonproliferation and disarmament efforts to be eligible.

Beneficiary Eligibility:

See grants.gov or Grantsolutions.gov.

Credentials/Documentation:

See grants.gov or Grantsolutions.gov; Any recipient with experience and credentials in security, eliminating, or disabling WMD, conventional weapons or other dangerous weapons and their means of delivery. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Please see grants.gov. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program.

Award Procedure:

See grants.gov or Grantsolutions.gov.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Dependent upon mission objectives, funds, program results, grantee capability with final determination by the Department of State Grants Officer and Program Manager.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: Dependent upon grant. See grants.gov for specific requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Funds are no-year and are available until expended. See the following for information on how assistance is awarded/released: Depends on grantee status, type of project and amount of funds.

Reports:

Quarterly Program and Financial Reports. As applicable. --. Quarterly narrative and financial reports are required as well as a final project report with clear and measurable deliverables. --.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. All international grantees that are not bound by OMB Circular No. A-133 must agree in their terms and conditions to similar audit requirements. Grants will not be awarded to organizations that refuse to comply with audit requirements.

Records:

All records must be retained for a minimum of three (3) years from the date the program office verifies that all deliverables are successfully completed, funds accounted for and validated for closeout. If an investigation is started before this period ends, all records must be kept for 3 years after the close of the investigation.

Account Identification:

19-1110-7-5-000 - No-year funds (X).

Obligations:

(Project Grants (for specified projects)) FY 12 \$0; FY 13 est \$5,000,000; and FY 14 Estimate Not Available

Range and Average of Financial Assistance:

\$100,000 - \$5,000,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Karla R. Blunt Main State HST Room 3208, Washington, District of Columbia 20520 Email: BluntKR@state.gov Phone: 2026473476 Fax: 2026472951

Website Address:

<http://www.state.gov/t/isn/offices/c55414.htm>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

See Grants.gov.

19.400 ACADEMIC EXCHANGE PROGRAMS - GRADUATE STUDENTS

The Fulbright Student Program includes program components for U.S. students, foreign students, Foreign Language Teaching Assistantships, English Teaching Assistantships, Western Hemisphere Fulbright Faculty Development Program, Fulbright Graduate Fellows, , and J. William Fulbright - Hillary Rodham Clinton Fellows program, among others.

FEDERAL AGENCY:

Bureau of Educational and Cultural Affairs, Department of State

AUTHORIZATION:

The Fulbright-Hays Act of 1961, Public Law 87-256, 22 U.S.C 2451.

OBJECTIVES:

As authorized by the Fulbright-Hays Act, the Bureau of Educational and Cultural Affairs (ECA) seeks to increase mutual understanding between the people of the United States and the people of other countries by means of educational and cultural exchange programs, including the exchange of scholars, researchers, professionals, students, and educators. ECA programs foster engagement and encourage dialogue with citizens around the world. Educational and cultural engagement is premised on the knowledge that mutual understanding, the development of future leaders, and the benefits of education programs influence societies and affect official decision-making almost everywhere in the world today. ECA programs inform, engage, and influence participants across strategic sectors of society including young people, women, teachers, scholars, journalists, and other professionals increasing the number of foreign individuals who have first-hand experience with Americans and with the values of freedom, representative government, rule of law, economic choice, and individual dignity, while building international knowledge and capacity among Americans. The purpose of the Fulbright Student Program is to promote mutual understanding by providing opportunities for American and foreign students to study, do research, and/or serve as teaching assistants of their native languages abroad.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

The flagship international exchange program sponsored by the United States Government, the Fulbright Program is designed to increase mutual understanding between the people of the United States and the people of other countries. Funding is provided to non-profit organizations to support their work in designing and administering programs as well as coordinating program logistics. Funding to those organizations supports activities such as publicity and recruitment, screening of applications, communication with participants, payment of participant costs, and the provision of enrichment activities. The Fulbright English Teaching Assistant ship (ETA) Program, an element of the Fulbright U.S. Student Program, places recent college graduates and young

professionals as English teaching assistants in schools, universities, and language-learning institutions overseas.

The Fulbright Foreign Language Teaching Assistant (FLTA) Program brings young educators from all over the world to the U.S. to refine their teaching skills, increase their English language proficiency and extend their knowledge of the cultures and customs of the United States while engaging in non-degree studies at accredited post-secondary U.S. educational institutions. FLTAs teach or assist in the instruction of language courses, supervise language labs, and lead language table discussions and may also act as resource persons and cultural representatives, as they represent their language and culture in the United States. The Fulbright Public Policy Fellowship was inaugurated in 2012 as a component of the Fulbright U.S. Student Program. Following the departure of U.S. Secretary of State Clinton, the Fulbright Foreign Scholarship Board renamed this fellowship the J. William Fulbright - Hillary Rodham Clinton Fellows program (Fulbright-Clinton Fellows). Fellows serve in professional placements as special assistants in foreign government ministries to gain hands-on public sector experience in participating foreign countries while simultaneously carrying out an academic research project. Please refer to the Grants.gov announcement for further information.

Applicant Eligibility:

Pursuant to the Mutual Educational and Cultural Exchange Act of 1961, as amended (Fulbright-Hays Act) the Bureau of Educational and Cultural Affairs of the U.S. Department of State awards grants and cooperative agreements to educational and cultural public or private nonprofit foundations or institutions. Applications may be submitted by public and private non-profit organizations meeting the provisions described in Internal Revenue Code section 26 USC 501(c)(3). Organizations must have nonprofit status with the IRS at the time of application. Please refer to the grants.gov announcement for further eligibility criteria.

Beneficiary Eligibility:

Beneficiaries include recipient organizations, educational institutions, other non-government organizations (NGOs) that meet the provisions described in Internal Revenue Code section 26 USC 501(c)(3), as well as sponsored participants, and the American people and the people of participating countries who interact with the international participants.

Credentials/Documentation:

Pursuant to the Mutual Educational and Cultural Exchange Act of 1961, as amended (Fulbright-Hays Act) the Bureau of Educational and Cultural Affairs of the U.S. Department of State awards grants and cooperative agreements to educational and cultural public or private nonprofit foundations or institutions. Applications may be submitted by public and private non-profit organizations meeting the provisions described in Internal Revenue Code section 26 USC 501(c)(3). Organizations must have nonprofit status with the IRS at the time of application. Please refer to the grants.gov announcement for further eligibility criteria. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Announcements are made on the grants.gov website for organizations meeting eligibility requirements. Announcements are made throughout the fiscal year and are posted to the Department of State's website: <http://eca.state.gov/organizational-funding> and grants.gov. The application procedures are described in the grants.gov announcements.

Award Procedure:

Final awards cannot be made until funds have been appropriated by Congress, allocated and committed through internal Bureau procedures. Successful applicants will receive a Federal Assistance Award (FAA) from the Bureau Grants Office. The FAA and the original proposal with subsequent modifications (if applicable) shall be the only binding authorizing document between the recipient and the U.S. Government. The FAA will be signed by an authorized Grants Officer, and mailed to the recipients responsible officer identified in the application.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

As stated in the Grants.gov announcements.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. This program has no matching requirements. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

As stated in the Grants.gov announcements. See the following for information on how assistance is awarded/released: Assistance is awarded/released through the Department's central financial management database.

Reports:

Financial and Program Reporting requirements are described in the Grants.gov announcement. Cash reports are not applicable. Progress reports are not applicable. As stated in the Grants.gov announcements. As stated in the Grants.gov announcements.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. The Recipient must comply with the OMB audit requirements. For all DOS awards, regardless of business type, the Recipients are subject to the audit requirements found in OMB audit requirements. In addition, the Recipients are subject to the audit requirements found in the Single Audit Act of 1984, 31 U.S.C. 7501-7507. Please refer to the Department of State Standard Terms and Conditions for Domestic Financial Assistance Awards (<http://fa.statebuy.state.gov>) for additional guidance.

Records:

The Recipients must maintain financial records, supporting documents, statistical records, and all other records pertinent to an award for a period of three years from the date of submission of the final expenditure report. Please refer to the Department of State Standard Terms and Conditions for Domestic Financial Assistance Awards (<http://fa.statebuy.state.gov>) for additional guidance.

Account Identification:

19-0201-0-1-154.

Obligations:

(Project Grants (Cooperative Agreements)) FY 12 \$110,024,069; FY 13 est \$104,522,865; and FY 14 est \$104,522,865

Range and Average of Financial Assistance:

\$11,041,427 to \$98,982,642.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: In FY2012, there were 1,933 U.S. Fulbright students and 3,189 visiting/foreign Fulbright students, including 400 FLTAs, 19 Fulbright-Clinton Fellows, and approximately 950 ETAs.

The 19 Fulbright-Clinton Fellows were hosted by government ministries in seven countries -- Bangladesh, Cote d'Ivoire, Dominican Republic, Guatemala, Haiti, Jamaica, and Thailand. Fiscal Year 2013: No Current Data Available. Fiscal Year 2014: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

As stated in the Grants.gov announcements. In addition, organizations should be familiar with OMB Circulars A-110 (Revised) (Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education,

Hospitals and Other Nonprofit Organizations), A-122/A-21 (Cost Principles for Nonprofit Organizations; Indirect Costs), and A-133/A-128 (Audits of Institutions of Higher Education and Other Nonprofit Organizations) on cost accounting principles.

For a copy of the OMB circulars cited, please contact Government Publications or download from <http://www.whitehouse.gov/OMB/>.

Regional or Local Office:

None.

Headquarters Office:

Lana Muck Department of State, Bureau of Educational and Cultural Affairs, Office of Academic Exchange Programs, 2200 C Street, NW, SA-5, Fourth Floor, Room 4B07, Washington, District of Columbia 20037 Email: fulbright@state.gov Phone: (202) 632-3238

Website Address:

<http://exchanges.state.gov>.

RELATED PROGRAMS:

19.401 Educational Exchange_University Lecturers (Professors) and Research Scholars

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

As stated in the grants.gov announcements.

19.401 ACADEMIC EXCHANGE PROGRAMS - SCHOLARS

The Fulbright Scholar program includes program components for U.S. scholars, visiting foreign scholars, the Scholar-in-Residence Program, Regional Research programs, and Study of the U.S. Institutes for Scholars.

FEDERAL AGENCY:

Bureau of Educational and Cultural Affairs, Department of State

AUTHORIZATION:

The Fulbright-Hays Act of 1961, as amended., Public Law 87-256, 22 U.S.C 2451.

OBJECTIVES:

As authorized by the Fulbright-Hays Act, the Bureau of Educational and Cultural Affairs (ECA) seeks to increase mutual understanding between the people of the United States and the people of other countries by means of educational and cultural exchange programs, including the exchange of scholars, researchers, professionals, students, and educators. ECA programs foster engagement and encourage dialogue with citizens around the world. Educational and cultural engagement is premised on the knowledge that mutual understanding, the development of future leaders, and the benefits of education programs influence societies and affect official decision-making almost everywhere in the world today. ECA programs inform, engage, and influence participants across strategic sectors of society including young people, women, teachers, scholars, journalists, and other professionals increasing the number of foreign individuals who have first-hand experience with Americans and with the values of freedom, representative government, rule of law, economic choice, and individual dignity, while building international knowledge and capacity among Americans. The Fulbright Scholar Program offers opportunities for American and foreign scholars to conduct research, lecture, and/or consult with other scholars and institutions in the U.S. and abroad. The Study of the U.S. Institutes for Scholars strengthen curricula and improve the quality of teaching about the United States in academic institutions overseas.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

The flagship international exchange program sponsored by the United States Government, the Fulbright Program is designed to increase mutual understanding between the people of the United States and the people of other countries. Funding is provided to non-profit organizations, colleges, and universities to support their work in designing and administering programs as well as coordinating program logistics. Funding supports publicity and

recruitment, screening of applications, communication with participants, payment of recipient costs, and the provision of enrichment activities. Study of the U.S. Institutes (SUSIs) for Scholars are designed to strengthen curricula and improve the quality of teaching about the United States in academic institutions overseas. Foreign university faculty, secondary educators and other scholars spend approximately four weeks at host universities where they take part in a series of lectures, seminar discussions and site visits related to each institutes theme. They learn about American educational philosophies, explore new teaching methods and pursue related research interests. Participants spend the remaining two weeks on a study tour, which complements the academic program and includes visits to sites of interest in another geographic region of the country. Each institute concludes with several days of meetings and related study in Washington, D.C. The Fulbright Specialist Program (FSP) assists overseas universities or institutions with education-focused programming by funding short-term visits from U.S. scholars and experienced professionals. The Fulbright Scholar-in-Residence Program enables U.S. colleges and universities to host foreign academics to lecture on a wide range of subject fields for a semester or academic year. Preference is given to institutions developing an international agenda and/or serving a minority audience, including Historically Black Colleges and Universities, Hispanic Serving Institutions, Tribal Colleges, small liberal arts colleges and community colleges. Please refer to the grants.gov announcement for further information.

Applicant Eligibility:

Pursuant to the Mutual Educational and Cultural Exchange Act of 1961, as amended (Fulbright-Hays Act) the Bureau of Educational and Cultural Affairs of the U.S. Department of State awards grants and cooperative agreements to educational and cultural public or private nonprofit foundations or institutions. Applications may be submitted by public and private non-profit organizations meeting the provisions described in Internal Revenue Code section 26 USC 501(c)(3). Organizations must have nonprofit status with the IRS at the time of application. Please refer to the grants.gov announcement for further eligibility criteria.

Beneficiary Eligibility:

Beneficiaries include recipient organizations, educational institutions, other non-government organizations (NGOs) that meet the provisions described in Internal Revenue Code section 26 USC 501(c)(3), as well as sponsored participants, and the American people and the people of participating countries who interact with the international participants.

Credentials/Documentation:

Pursuant to the Mutual Educational and Cultural Exchange Act of 1961, as amended (Fulbright-Hays Act) the Bureau of Educational and Cultural Affairs of the U.S. Department of State awards grants and cooperative agreements to educational and cultural public or private nonprofit foundations or institutions. Applications may be submitted by public and private non-profit organizations meeting the provisions described in Internal Revenue Code section 26 USC 501(c)(3). Organizations must have nonprofit status with the IRS at the time of application. Please refer to the grants.gov announcement for further eligibility criteria. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Announcements are made on the grants.gov website for organizations meeting eligibility requirements. Announcements are made throughout the fiscal year and are posted to the Department of State's website: <http://eca.state.gov/organizational-funding>. The application procedures are described in the grants.gov announcements.

Award Procedure:

Final awards cannot be made until funds have been appropriated by Congress, allocated and committed through internal Bureau procedures. Successful applicants will receive a Federal Assistance Award (FAA) from the Bureau Grants Office. The FAA and the original proposal with subsequent modifications (if applicable) shall be the only binding authorizing document between the recipient and the U.S. Government. The FAA will be signed by an

authorized Grants Officer, and mailed to the recipients responsible officer identified in the application.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

As stated in the Grants.gov announcements.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

As stated in the Grants.gov announcements. See the following for information on how assistance is awarded/released: Assistance is awarded/released through the Department's central financial management database.

Reports:

Financial and Program Reporting requirements are described in the Grants.gov announcement. Cash reports are not applicable. Progress reports are not applicable. As stated in the Grants.gov announcements. As stated in the Grants.gov announcements.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. The Recipient must comply with the OMB audit requirements. For all DOS awards, regardless of business type, the Recipients are subject to the audit requirements found in OMB audit requirements. In addition, the Recipients are subject to the audit requirements found in the Single Audit Act of 1984, 31 U.S.C. 7501-7507. Please refer to the Department of State Standard Terms and Conditions for Domestic Financial Assistance Awards (<http://fa.statebuy.state.gov>) for additional guidance.

Records:

The Recipients must maintain financial records, supporting documents, statistical records, and all other records pertinent to an award for a period of three years from the date of submission of the final expenditure report. Please refer to the Department of State Standard Terms and Conditions for Domestic Financial Assistance Awards (<http://fa.statebuy.state.gov>) for additional guidance.

Account Identification:

19-0201-0-1-154.

Obligations:

(Cooperative Agreements) FY 12 \$40,752,093; FY 13 est \$38,714,488; and FY 14 est \$38,714,488

Range and Average of Financial Assistance:

\$284,813 to \$36,013,079.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: In 2012, there were 923 visiting Fulbright Scholars and 1,010 U.S. Fulbright Scholars including 421 Specialist projects which took place in 96 countries. There were 25 Scholars-in-Residence.

In 2012, there were 182 scholars from 97 countries who participated in Study of the U.S. Institutes. Fiscal Year 2013: No Current Data Available. Fiscal Year 2014: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

As stated in the grants.gov announcements. In addition, organizations should be familiar with OMB Circulars A-110 (Revised) (Uniform Administrative

Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals and Other Nonprofit Organizations), A-122/A-21 (Cost Principles for Nonprofit Organizations; Indirect Costs), and A-133/A-128 (Audits of Institutions of Higher Education and Other Nonprofit Organizations) on cost accounting principles. For a copy of the OMB circulars cited, please contact Government Publications or download from <http://www.whitehouse.gov/OMB/>.

Regional or Local Office:

None.

Headquarters Office:

Lana Muck Department of State, Bureau of Educational and Cultural Affairs, Office of Academic Exchange Programs, 2200 C Street, NW, SA-5, Fourth Floor, Room 4B07, Washington, District of Columbia 20037 Email: fulbright@state.gov Phone: (202) 632-3238

Website Address:

<http://exchanges.state.gov>.

RELATED PROGRAMS:

19.400 Educational Exchange_Graduate Students

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

As stated in the Grants.gov announcements.

19.402 PROFESSIONAL AND CULTURAL EXCHANGE PROGRAMS - INTERNATIONAL VISITOR LEADERSHIP PROGRAM

International Visitor Leadership Program

FEDERAL AGENCY:

Bureau of Educational and Cultural Affairs, Department of State

AUTHORIZATION:

The Fulbright-Hays Act of 1961, as amended., Public Law 87-256, 22 U.S.C 2451.

OBJECTIVES:

As authorized by the Fulbright-Hays Act, the Bureau of Educational and Cultural Affairs (ECA) seeks to increase mutual understanding between the people of the United States and the people of other countries by means of educational and cultural exchange programs, including the exchange of scholars, researchers, professionals, students, and educators. ECA programs foster engagement and encourage dialogue with citizens around the world. Educational and cultural engagement is premised on the knowledge that mutual understanding, the development of future leaders, and the benefits of education programs influence societies and affect official decision-making almost everywhere in the world today. ECA programs inform, engage, and influence participants across strategic sectors of society including young people, women, teachers, scholars, journalists, and other professionals increasing the number of foreign individuals who have first-hand experience with Americans and with the values of freedom, representative government, rule of law, economic choice, and individual dignity, while building international knowledge and capacity among Americans. The purpose of the International Visitor Leadership Program is to bring to the U.S. current and emerging foreign leaders in government, politics, the media, education, the arts, business and other fields to meet and confer with their professional counterparts and to experience America firsthand.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

Funding is provided for nonprofit organizations to support their work in planning, organizing and directing programs of travel, observation, consultation, study and practical experience for foreign visitors from countries throughout the world selected and assigned by the Department. Programs are intended to bring U.S. embassy selected international visitors into contact with influential Americans and representative organizations and institutions across the United States, with the intention of increasing communication and mutual understanding between the people of the United States and the people of other countries. Recipients are responsible for insuring that programs are balanced,

non-political, non-partisan, and representative of the diversity of American political, social, and cultural life. The Bureau of Educational and Cultural Affairs seeks balance and diversity in terms of itinerary, geography and community-size; the range of opinions to which visitors are exposed; the professional backgrounds of those commenting on the issues; the ethnic, socio-economic and age diversity of the American people; and other factors which may be of particular relevance to the particular subject or particular visitor(s) for whom programming is being arranged. Please refer to the grants.gov announcement for further information.

Applicant Eligibility:

Pursuant to the Mutual Educational and Cultural Exchange Act of 1961, as amended (Fulbright-Hays Act) the Bureau of Educational and Cultural Affairs of the U.S. Department of State awards grants and cooperative agreements to educational and cultural public or private nonprofit foundations or institutions. Applications may be submitted by public and private non-profit organizations meeting the provisions described in Internal Revenue Code section 26 USC 501(c)(3). Organizations must have nonprofit status with the IRS at the time of application. Please refer to the grants.gov announcement for further eligibility criteria.

Beneficiary Eligibility:

Beneficiaries include recipient organizations, educational institutions, other non-government organizations (NGOs) that meet the provisions described in Internal Revenue Code section 26 USC 501(c)(3), as well as sponsored participants, and the American people and the people of participating countries who interact with the international participants.

Credentials/Documentation:

Pursuant to the Mutual Educational and Cultural Exchange Act of 1961, as amended (Fulbright-Hays Act), the Bureau of Educational and Cultural Affairs of the U.S. Department of State awards grants and cooperative agreements to educational and cultural public or private nonprofit foundations or institutions. Applications may be submitted by public and private non-profit organizations meeting the provisions described in Internal Revenue Code section 26 USC 501(c)(3). Organizations must have nonprofit status with the IRS at the time of application. Please refer to the grants.gov announcement for further eligibility criteria. OMB Circular No. A-87 applies to this program. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Announcements are made on the Grants.gov website for organizations meeting eligibility requirements. Announcements are made throughout the fiscal year and are posted to the Department of State's website: <http://eca.state.gov/organizational-funding>. The application procedures are described in the Grants.gov announcements.

Award Procedure:

Final awards cannot be made until funds have been appropriated by Congress, allocated and committed through internal Bureau procedures. Successful applicants will receive a Federal Assistance Award (FAA) from the Bureau Grants Office. The FAA and the original proposal with subsequent modifications (if applicable) shall be the only binding authorizing document between the recipient and the U.S. Government. The FAA will be signed by an authorized Grants Officer, and mailed to the recipients responsible officer identified in the application.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

As stated in the Grants.gov announcements.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

As stated in the Grants.gov announcements. See the following for information on how assistance is awarded/released: Assistance is awarded/released through the Department's central financial management database.

Reports:

Financial and Program Reporting requirements are described in the Grants.gov announcement. Cash reports are not applicable. As stated in the Grants.gov announcements. As stated in the Grants.gov announcements. As stated in the Grants.gov announcements.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. The Recipient must comply with the OMB audit requirements. For all DOS awards, regardless of business type, the Recipients are subject to the audit requirements found in OMB audit requirements. In addition, the Recipients are subject to the audit requirements found in the Single Audit Act of 1984, 31 U.S.C. 7501-7507. Please refer to the Department of State Standard Terms and Conditions for Domestic Financial Assistance Awards (<http://fa.statebuy.state.gov>) for additional guidance.

Records:

The Recipients must maintain financial records, supporting documents, statistical records, and all other records pertinent to an award for a period of three years from the date of submission of the final expenditure report. Please refer to the Department of State Standard Terms and Conditions for Domestic Financial Assistance Awards (<http://fa.statebuy.state.gov>) for additional guidance.

Account Identification:

19-0201-0-1-154.

Obligations:

(Cooperative Agreements) FY 12 \$65,637,495; FY 13 est \$62,355,620; and FY 14 est \$62,355,620

Range and Average of Financial Assistance:

\$128,750 to \$23,627,736.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: The International Visitor Leadership Program (IVLP) helps strengthen U.S. engagement with countries around the world by connecting current and emerging foreign leaders with their American counterparts through short-term visits to the United States. The Department of State accomplishes this through cooperative agreements with National Program Agencies (NPAs), a group of private, not-for-profit organizations based in Washington, D.C. In FY 2012, NPAs designed and implemented nearly 800 projects for more than 5,000 participants. Fiscal Year 2013: No Current Data Available. Fiscal Year 2014: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

As stated in the grants.gov announcements. In addition, organizations should be familiar with OMB Circulars A-110 (Revised) (Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals and Other Nonprofit Organizations), A-122/A-21 (Cost Principles for Nonprofit Organizations; Indirect Costs), and A-133/A-128 (Audits of Institutions of Higher Education and Other Nonprofit Organizations) on cost accounting principles. For a copy of the OMB circulars cited, please contact Government Publications or download from <http://www.whitehouse.gov/OMB/>.

Regional or Local Office:

None.

Headquarters Office:

Diane Crow Department of State
Bureau of Educational and Cultural Affairs
Office of International Visitors
Community Resources Division
2200 C Street, NW
SA-05, Room 03U06, Washington, District of Columbia 20037 Email:
crowde@state.gov Phone: (202) 632-6162

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

As stated in the Grants.gov announcements.

19.408 ACADEMIC EXCHANGE PROGRAMS - TEACHERS

Teacher Exchange programs include: the Distinguished Fulbright Awards in Teaching Program, International Leaders in Education Program, Teaching Excellence and Achievement Program, Teachers of Critical Languages Program, and other targeted professional development programs for teachers and administrators

FEDERAL AGENCY:

Bureau of Educational and Cultural Affairs, Department of State

AUTHORIZATION:

The Fulbright-Hays Act of 1961, as amended., Public Law 87-256, 22 U.S.C 2451.

OBJECTIVES:

As authorized by the Fulbright-Hays Act, the Bureau of Educational and Cultural Affairs (ECA) seeks to increase mutual understanding between the people of the United States and the people of other countries by means of educational and cultural exchange programs, including the exchange of scholars, researchers, professionals, students, and educators. ECA programs foster engagement and encourage dialogue with citizens around the world. Educational and cultural engagement is premised on the knowledge that mutual understanding, the development of future leaders, and the benefits of education programs influence societies and affect official decision-making almost everywhere in the world today. ECA programs inform, engage, and influence participants across strategic sectors of society including young people, women, teachers, scholars, journalists, and other professionals increasing the number of foreign individuals who have first-hand experience with Americans and with the values of freedom, representative government, rule of law, economic choice, and individual dignity, while building international knowledge and capacity among Americans. The purpose of the Teacher Exchange programs is to improve mutual understanding among teachers, school administrators, and their schools and communities in the U.S. and abroad through professional development and exchange. Teacher exchanges support the internationalization and increased quality of schools and classrooms, increase the knowledge of students and communities about global issues and cultures, and improve knowledge of foreign languages.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funding is provided to nonprofit organizations and educational institutions to implement exchange and professional development programs for teachers and other educators from the U.S. and approximately 65 countries around the world. Organizations are responsible for the following broad categories: program planning and management; placement; orientation; enrichment activities; participant supervision and support services; fiscal management and budgeting; and program reporting and evaluation. The goal of the programs is to create mutual understanding between the people of the United States and the people of other countries. Please refer to the Grants.gov announcement for further information.

Applicant Eligibility:

Pursuant to the Mutual Educational and Cultural Exchange Act of 1961, as amended (Fulbright-Hays Act) the Bureau of Educational and Cultural Affairs of the U.S. Department of State awards grants and cooperative agreements to educational and cultural public or private nonprofit foundations or institutions. Applications may be submitted by public and private non-profit organizations meeting the provisions described in Internal Revenue Code section 26 USC 501(c)(3). Organizations must have nonprofit status with the IRS at the time of application. Please refer to the grants.gov announcement for further eligibility criteria.

Beneficiary Eligibility:

Beneficiaries include recipient organizations, educational institutions, other non-government organizations (NGOs) that meet the provisions described in Internal Revenue Code section 26 USC 501(c)(3), as well as sponsored participants, and the American people and the people of participating countries who interact with the international participants.

Credentials/Documentation:

Pursuant to the Mutual Educational and Cultural Exchange Act of 1961, as amended (Fulbright-Hays Act) the Bureau of Educational and Cultural Affairs of the U.S. Department of State awards grants and cooperative agreements to educational and cultural public or private nonprofit foundations or institutions. Applications may be submitted by public and private non-profit organizations meeting the provisions described in Internal Revenue Code section 26 USC 501(c)(3). Organizations must have nonprofit status with the IRS at the time of application. Please refer to the grants.gov announcement for further eligibility criteria. OMB Circular No. A-87 applies to this program. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Announcements are made on the grants.gov website for organizations meeting eligibility requirements. Announcements are made throughout the fiscal year and are posted to the Department of State's website: <http://eca.state.gov/organizational-funding>. The application procedures are described in the grants.gov announcements.

Award Procedure:

Final awards cannot be made until funds have been appropriated by Congress, allocated and committed through internal Bureau procedures. Successful applicants will receive a Federal Assistance Award (FAA) from the Bureau Grants Office. The FAA and the original proposal with subsequent modifications (if applicable) shall be the only binding authorizing document between the recipient and the U.S. Government. The FAA will be signed by an authorized Grants Officer, and mailed to the recipients responsible officer identified in the application.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

As stated in the Grants.gov announcements.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

As stated in the Grants.gov announcements. See the following for information on how assistance is awarded/released: Assistance is awarded/released through

the Department's central financial management database.

Reports:

Financial and Program Reporting requirements are described in the Grants.gov announcement. Cash reports are not applicable. Progress reports are not applicable. As stated in the Grants.gov announcements. As stated in the Grants.gov announcements.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. The Recipient must comply with the OMB audit requirements. For all DOS awards, regardless of business type, the Recipients are subject to the audit requirements found in OMB audit requirements. In addition, the Recipients are subject to the audit requirements found in the Single Audit Act of 1984, 31 U.S.C. 7501-7507. Please refer to the Department of State Standard Terms and Conditions for Domestic Financial Assistance Awards (<http://fa.statebuy.state.gov>) for additional guidance.

Records:

The Recipients must maintain financial records, supporting documents, statistical records, and all other records pertinent to an award for a period of three years from the date of submission of the final expenditure report. Please refer to the Department of State Standard Terms and Conditions for Domestic Financial Assistance Awards (<http://fa.statebuy.state.gov>) for additional guidance.

Account Identification:

19-0201-0-1-154.

Obligations:

(Cooperative Agreements) FY 12 \$14,403,682; FY 13 est \$12,100,000; and FY 14 est \$10,610,000

Range and Average of Financial Assistance:

\$1,643,487 to \$6,644,547.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: No Current Data Available. Fiscal Year 2013: No Current Data Available. Fiscal Year 2014: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

As stated in the grants.gov announcements. In addition, organizations should be familiar with OMB Circulars A-110 (Revised) (Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals and Other Nonprofit Organizations), A-122/A-21 (Cost Principles for Nonprofit Organizations; Indirect Costs), and A-133/A-128 (Audits of Institutions of Higher Education and Other Nonprofit Organizations) on cost accounting principles. For a copy of the OMB circulars cited, please contact Government Publications or download from <http://www.whitehouse.gov/OMB/>.

Regional or Local Office:

None.

Headquarters Office:

Michael Kuban Department of State
Bureau of Educational and Cultural Affairs
Office of Global Educational Programs
Teacher Exchange Branch
2200 C Street, NW
SA-05, Rm. 4S17, Washington, District of Columbia 20037 Email:
kubanmm@state.gov Phone: 202-632-6346

Website Address:

<http://exchanges.state.gov/>

RELATED PROGRAMS:

19.400 Educational Exchange_Graduate Students; 19.401 Educational Exchange_University Lecturers (Professors) and Research Scholars

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

As stated in the Grants.gov announcements.

19.415 PROFESSIONAL AND CULTURAL EXCHANGE PROGRAMS - CITIZEN EXCHANGES

Citizen Exchange activities include the Professional Fellows Open Grant Competition, International Sports Programming Initiative, Empowering Women and Girls Through Sports, Congress-Bundestag Youth Exchange, Future Leaders Exchange Program, Kennedy-Lugar Youth Exchange and Study Program, American-Serbia and Montenegro Youth Leadership Exchange, Youth Leadership Programs, Global Connections and Exchange, National Security Language Initiative for Youth, Museums Connect, the International Writers Program, Community Solutions, TechWomen, TechGirls, American Film Showcase, American Music Abroad, DanceMotion USA, Center Stage and other Professional and Cultural Exchange programs.

FEDERAL AGENCY:

Bureau of Educational and Cultural Affairs, Department of State

AUTHORIZATION:

The Fulbright-Hays Act of 1961, as amended., Public Law 87-256.

OBJECTIVES:

As authorized by the Fulbright-Hays Act, the Bureau of Educational and Cultural Affairs (ECA) seeks to increase mutual understanding between the people of the United States and the people of other countries by means of educational and cultural exchange programs, including the exchange of scholars, researchers, professionals, students, and educators. ECA programs foster engagement and encourage dialogue with citizens around the world. Educational and cultural engagement is premised on the knowledge that mutual understanding, the development of future leaders, and the benefits of education programs influence societies and affect official decision-making almost everywhere in the world today. ECA programs inform, engage, and influence participants across strategic sectors of society including young people, women, teachers, scholars, journalists, and other professionals increasing the number of foreign individuals who have first-hand experience with Americans and with the values of freedom, representative government, rule of law, economic choice, and individual dignity, while building international knowledge and capacity among Americans. The purpose of Citizen Exchange programs is to support professional, youth, sports and cultural exchange programs between the United States and other countries through grants and cooperative agreements to American non-profit, non-governmental institutions and organizations, including community organizations, professional associations, and universities.

TYPES OF ASSISTANCE:

Cooperative Agreements; Project Grants

USES AND USE RESTRICTIONS:

Funding is provided to eligible non-profit organizations, colleges and universities to support their work in designing and administering programs as well as coordinating program logistics. Funding to those organizations supports activities such as publicity and recruitment, screening of participant applications, communication with participants, domestic and international travel, payment of stipends and program benefits, and the provision of enrichment activities. Citizen Exchanges constitute a range of professional, youth, sports and cultural exchange programs designed to promote priority U.S. interests around the world by developing mutual understanding of key issues and promoting long-lasting ties between the United States and other countries. Programs are designed to promote good governance, civil society and civic responsibility, public health, conflict prevention and management, womens empowerment, trade, environmental awareness and responsibility, leadership, arts management, cultural diversity and heritage, sports education, and educational reform, as well as other topics through people-to-people exchanges. Please refer to the grants.gov announcement for further information.

Applicant Eligibility:

Pursuant to the Mutual Educational and Cultural Exchange Act of 1961, as amended (Fulbright-Hays Act) the Bureau of Educational and Cultural Affairs of the U.S. Department of State awards grants and cooperative agreements to

educational and cultural public or private nonprofit foundations or institutions. Applications may be submitted by public and private non-profit organizations meeting the provisions described in Internal Revenue Code section 26 USC 501(c)(3). Organizations must have nonprofit status with the IRS at the time of application. Please refer to the grants.gov announcement for further eligibility criteria.

Beneficiary Eligibility:

Beneficiaries include recipient organizations, educational institutions, other non-government organizations (NGOs) that meet the provisions described in Internal Revenue Code section 26 USC 501(c)(3), as well as sponsored participants, and the American people and the people of participating countries who interact with the international participants.

Credentials/Documentation:

Pursuant to the Mutual Educational and Cultural Exchange Act of 1961, as amended (Fulbright-Hays Act) the Bureau of Educational and Cultural Affairs of the U.S. Department of State awards grants and cooperative agreements to educational and cultural public or private nonprofit foundations or institutions. Applications may be submitted by public and private non-profit organizations meeting the provisions described in Internal Revenue Code section 26 USC 501(c)(3). Organizations must have nonprofit status with the IRS at the time of application. Please refer to the grants.gov or Federal Register announcement for further eligibility criteria. OMB Circular No. A-87 applies to this program. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Announcements are made on the grants.gov website for organizations meeting eligibility requirements. Announcements are made throughout the fiscal year and are posted to the Department of State's website: <http://eca.state.gov/organizational-funding>. The application procedures are described in the Grants.gov announcements.

Award Procedure:

Final awards cannot be made until funds have been appropriated by Congress, allocated and committed through internal Bureau procedures. Successful applicants will receive a Federal Assistance Award (FAA) from the Bureau Grants Office. The FAA and the original proposal with subsequent modifications (if applicable) shall be the only binding authorizing document between the recipient and the U.S. Government. The FAA will be signed by an authorized Grants Officer, and mailed to the recipients responsible officer identified in the application.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

As stated in the Grants.gov announcements.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

As stated in the Grants.gov announcements. See the following for information on how assistance is awarded/released: Assistance is awarded/released through the Department's central financial management database.

Reports:

Financial and Program Reporting requirements are described in the Grants.gov announcement. Cash reports are not applicable. Progress reports are not applicable. Financial and Program Reporting requirements are described in the

Grants.gov announcement. As stated in Grants.gov announcement.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. The Recipient must comply with the OMB audit requirements. For all DOS awards, regardless of business type, the Recipients are subject to the audit requirements found in OMB audit requirements. In addition, the Recipients are subject to the audit requirements found in the Single Audit Act of 1984, 31 U.S.C. 7501-7507. Please refer to the Department of State Standard Terms and Conditions for Domestic Financial Assistance Awards (<http://fa.statebuy.state.gov>) for additional guidance.

Records:

The Recipients must maintain financial records, supporting documents, statistical records, and all other records pertinent to an award for a period of three years from the date of submission of the final expenditure report. Please refer to the Department of State Standard Terms and Conditions for Domestic Financial Assistance Awards (<http://fa.statebuy.state.gov>) for additional guidance.

Account Identification:

19-0201-0-1-154.

Obligations:

(Project Grants) FY 12 \$95,544,798; FY 13 est \$90,767,558; and FY 14 est \$90,767,558

Range and Average of Financial Assistance:

\$47,940 to \$16,934,274.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: The Professional Fellows program supports young professionals in specific fields from countries around the globe to undergo internships at businesses, NGOs and government offices in the United States for three-four weeks, and sends their American counterparts overseas for follow-on programming. There were approximately 290 U.S. participants and approximately 1,380 non-U.S. participants in FY 2012. The Community Solutions program supports young professionals in specific fields from countries around the globe to undergo leadership training and four-month internships at NGOs and government offices in the United States to experience firsthand the interaction between the government and non-profit sector to enact change in local communities. There were approximately 68 non-U.S. participants in FY 2012.

TechWomen empowers women engaged in science and technology from select countries in Africa and the Middle East through networking activities and month-long mentorships where they are matched with women at leading technology and innovation companies in Silicon Valley and the San Francisco Bay Area, and through outreach projects to women and girls overseas. There were approximately 78 non-U.S. participants in FY 2012. The Fortune/U.S. State Department Global Womens Mentoring Partnership develops the management and business skills of emerging women leaders from countries around the world through month-long mentorships in the United States where they are matched with senior women executives in the fields of business, law, non-profit management and sports. There were approximately 35 non-U.S. participants in FY 2012. The Traditional Public-Private Partnerships are long-standing programs to support the Bureaus commitment to work with the U.S. NGO community to implement cooperative international exchange projects. There were approximately 112 U.S. participants, and approximately 136 non-U.S. participants in FY 2012. The International Sports Programming Initiative supports educational exchanges designed to foster mutual understanding and help start a dialogue at the grassroots level among American youth and youth from around the world through participation in sports. There were approximately 78 U.S. participants, and approximately 123 non-U.S. participants in FY 2012.

Empowering Women and Girls Through Sports promotes participation in sports while fostering mutual understanding among the youth of the United States and those from countries representing all world regions through mentorships, sports

visitor and sports envoy programs. . There were approximately 23 U.S. participants, and approximately 140 non-U.S. participants in FY 2012. The Future Leaders Exchange (FLEX) program promotes mutual understanding between the United States and the countries of Eurasia by providing scholarships for high school students to live in the United States for an academic year. There were approximately 793 non-U.S. participants in FY 2012. The Kennedy-Lugar Youth Exchange and Study (YES) program promotes mutual understanding between the United States and countries with significant Muslim populations by providing scholarships for high school students to live in the United States for an academic year. There were approximately 865 non-U.S. participants in FY 2012. Through the Kennedy-Lugar Youth Exchange and Study (YES) program, American high school participants from across the United States study for up to eleven months in Bosnia and Herzegovina, Ghana, India, Indonesia, Malaysia, Morocco, Oman, South Africa, Thailand, Tunisia, and Turkey. There were approximately 65 U.S. participants in FY 2012. Youth Leadership Programs foster greater understanding and respect between the people of the United States and other countries, to develop leadership skills and a sense of civic responsibility among teenagers and educators. There were approximately 542 non-U.S. participants and 29 U.S. participants in FY 2012. TechGirls is an educational exchange initiative for teenage girls from the Middle East and North Africa that focuses on promoting the high-level study of technology while fostering mutual understanding among the youth of the United States and the countries and territories of this region. There were approximately 25 non-U.S. participants in FY 2012. The American Youth Leadership Program provides high school students and educators from the United States the opportunity to travel abroad on a three- to four-week-long exchange program to gain firsthand knowledge of foreign cultures and to collaborate on solving global issues. There were approximately 146 U.S. participants in FY 2012. The Youth Ambassadors Program provides high school students and educators the opportunity to explore civic education, community service, and leadership through three-week exchanges between the United States and Latin America. There were approximately 32 U.S. participants, and approximately 344 non-U.S. participants in FY 2012. The Global Connections and Exchange program links students and teachers in the United States with countries overseas through online exchanges and collaborative projects in order to promote dialogue and discovery among youth. There were approximately 1,492 U.S. Participants, and approximately 3,958 non-U.S. participants in FY 2012. The American Serbia & Montenegro Youth Leadership Exchange (A-SMYLE) Program was established to reach out to youth and create stronger linkages between the United States and the countries of Montenegro and Serbia by providing scholarships for high school students to live in the United States for an academic year. There were approximately 67 non-U.S. participants in FY 2012. The Congress-Bundestag Youth Exchange program (CBYX) was inaugurated in 1983 through a bilateral agreement between the U.S. Congress and the German Bundestag. The program celebrates German-American friendship based on common values of democracy and creates lasting personal and institutional relationships through an academic year school and home stay experience for American and German high school students and vocational school graduates. There were approximately 350 U.S. participants, and approximately 360 non-U.S. participants in FY 2012. Designed to increase the number of Americans who learn foreign languages that are critical to advancing U.S. national interests, the National Security Language Initiative for Youth (NSLI-Y) program provides scholarships to American high school students for overseas intensive language programs in Arabic, Chinese (Mandarin), Hindi, Korean, Persian, Russian, and Turkish. There were approximately 626 U.S. participants in FY 2012. The International Writing Program is a five-part international exchange of writers who participate in residency programs focused on creative writing at the University of Iowa, which also hosts the Between the Lines program. American writers conduct public policy-related reading, lecture and teaching tours in several priority overseas countries with limited access to creative writing courses. There were approximately 5 U.S. participants, and approximately 34 non-U.S. participants in FY 2012. The American Film Showcase (AFS) presents award-winning independent American documentaries and narrative films and their filmmakers to audiences around the world, offers contemporary insights into American life and culture, and explores issues affecting democratic societies. There were approximately 50 U.S. participants in FY 2012. The American Music Abroad Program is designed to bring American music and culture to audiences around the globe

through person-to-person exchanges. U.S. musical groups engage with foreign audiences through workshops, master classes, jam sessions, and Performances to present a positive image of the United States and provide a greater understanding of our society. There were approximately 40 U.S. participants in FY 2012. Through DanceMotion USA, American dance companies tour approximately nine overseas countries in three world regions, selected based on U.S. foreign policy interests, to present workshops, master classes and performances in the following American dance genres: contemporary, jazz, ballet, tap/or step and hip hop. There were approximately 30 U.S. participants in FY 2012.

Through the Center Stage program, foreign performing artists tour the United States to encourage the exchange of ideas between artists and audiences in the United States by conducting residencies comprised of public and school performances in host communities to be complemented by lecture/demonstrations, classroom visits, workshops, master classes, and artist-to-artist exchanges designed to offer context and foster dialogue between artists, audiences, and the host communities. There were approximately 40 non-U.S. participants in FY 2012.

The Museums Connect program is designed to increase the capacity of American and foreign museums worldwide to engage and affect local communities through institutional partnerships, outreach to local communities particularly those with underserved or disaffected populations and creating connections between U.S. and foreign audiences. There were approximately 50 U.S. participants, and approximately 50 non-U.S. participants in FY 2012.

Fiscal Year 2013: No Current Data Available. Fiscal Year 2014: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

As stated in the grants.gov announcements. In addition, organizations should be familiar with OMB Circulars A-110 (Revised) (Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals and Other Nonprofit Organizations), A-122/A-21 (Cost Principles for Nonprofit Organizations; Indirect Costs), and A-133/A-128 (Audits of Institutions of Higher Education and Other Nonprofit Organizations) on cost accounting principles.

For a copy of the OMB circulars cited, please contact Government Publications or download from <http://www.whitehouse.gov/OMB/>.

Regional or Local Office:

None.

Headquarters Office:

Sheila Casey Department of State
Bureau of Educational and Cultural Affairs
Office of Citizen Exchange Programs
2200 C Street, NW
SA-05, Rm. 3B14, Washington, District of Columbia 20037 Email:
caseysd@state.gov Phone: (202) 632-6070

Website Address:

<http://exchanges.state.gov/citizens/index>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

As stated in the Grants.gov announcements.

19.421 ACADEMIC EXCHANGE PROGRAMS - ENGLISH LANGUAGE PROGRAMS

English Language Programs include the English Access Microscholarship Program, English Language Teaching Material Development Program, the English Language Fellow and Specialist Program, and the E-Teacher Scholarship Program.

FEDERAL AGENCY:

Bureau of Educational and Cultural Affairs, Department of State

AUTHORIZATION:

The Fulbright-Hays Act of 1961, as amended., Public Law 87-256, 22 U.S.C 2451.

OBJECTIVES:

As authorized by the Fulbright-Hays Act, the Bureau of Educational and Cultural Affairs (ECA) seeks to increase mutual understanding between the people of the United States and the people of other countries by means of educational and cultural exchange programs, including the exchange of scholars, researchers, professionals, students, and educators. ECA programs foster engagement and encourage dialogue with citizens around the world. Educational and cultural engagement is premised on the knowledge that mutual understanding, the development of future leaders, and the benefits of education programs influence societies and affect official decision-making almost everywhere in the world today. ECA programs inform, engage, and influence participants across strategic sectors of society including young people, women, teachers, scholars, journalists, and other professionals the number of foreign individuals who have first-hand experience with Americans and with the values of freedom, representative government, rule of law, economic choice, and individual dignity, while building international knowledge and capacity among Americans. The purpose of English Language Programs is to support the participation of U.S. English language educators in exchanges overseas, and the participation of underserved foreign students and teachers of English as a foreign language in English study courses and professional development, and international exchanges.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funding is provided to non-profit organizations to support their work in designing and administering programs as well as coordinating program logistics for U.S. English language educators and under served foreign students and teachers of English as a foreign language as participants in study and professional development and international exchanges. Funding to those organizations supports activities such as publicity and recruitment, screening of applications, communication with recipients, payment of stipends, and the provision of enrichment activities. The English Language Fellow Program fosters mutual understanding between the people of the United States and those of other countries by sending talented, highly qualified U.S. educators in the field of Teaching English to Speakers of Other Languages (TESOL) on ten-month fellowships to overseas academic institutions in all regions of the world. The Program promotes English language learning and enhances English teaching capacity abroad. The English Language Specialist Program recruits U.S. academics and professionals in the fields of Teaching English as a Foreign Language (TEFL) and Teaching English to Speakers of Other Languages (TESOL) to conduct programs overseas ranging from two weeks to four months.

The English Access Micro scholarship Program provides a foundation of English language skills to bright, economically disadvantaged 13 to 20 year-olds through two-year programs of after-school classes and intensive summer learning activities. Access students also gain an appreciation for U.S. culture and democratic values through cultural enhancement activities. The E-Teacher Scholarship Program is designed to improve the quality of overseas English language teaching through the use innovative distance learning technology. Participants are English teaching professionals who receive instruction from U.S. experts in the most recent English language teaching methods and techniques.

Funding also supports the English Language Teaching and Materials Development Program.

Applicant Eligibility:

Pursuant to the Mutual Educational and Cultural Exchange Act of 1961, as amended (Fulbright-Hays Act) the Bureau of Educational and Cultural Affairs of the U.S. Department of State awards grants and cooperative agreements to educational and cultural public or private nonprofit foundations or institutions. Applications may be submitted by public and private non-profit organizations meeting the provisions described in Internal Revenue Code section 26 USC 501(c)(3). Organizations must have nonprofit status with the IRS at the time of application. Please refer to the grants.gov announcement for further eligibility criteria.

Beneficiary Eligibility:

Beneficiaries include recipient organizations, educational institutions, other non-government organizations (NGOs) that meet the provisions described in Internal Revenue Code section 26 USC 501(c)(3), as well as sponsored participants, and the American people and the people of participating countries who interact with the international participants.

Credentials/Documentation:

Pursuant to the Mutual Educational and Cultural Exchange Act of 1961, as amended (Fulbright-Hays Act) the Bureau of Educational and Cultural Affairs of the U.S. Department of State awards grants and cooperative agreements to educational and cultural public or private nonprofit foundations or institutions. Applications may be submitted by public and private non-profit organizations meeting the provisions described in Internal Revenue Code section 26 USC 501(c)(3). Organizations must have nonprofit status with the IRS at the time of application. Please refer to the Grants.gov announcement for further eligibility criteria. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Announcements are made on the grants.gov website for organizations meeting eligibility requirements. Announcements are made throughout the fiscal year and are posted to the Department of State's website: <http://eca.state.gov/organizational-funding>. The application procedures are described in the grants.gov announcements.

Award Procedure:

Final awards cannot be made until funds have been appropriated by Congress, allocated and committed through internal Bureau procedures. Successful applicants will receive a Federal Assistance Award (FAA) from the Bureau Grants Office. The FAA and the original proposal with subsequent modifications (if applicable) shall be the only binding authorizing document between the recipient and the U.S. Government. The FAA will be signed by an authorized Grants Officer, and mailed to the recipients responsible officer identified in the application.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

As stated in the Grants.gov announcements.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

As stated in the Grants.gov announcements. See the following for information on how assistance is awarded/released: Assistance is awarded/released through the Department's central financial management database.

Reports:

Financial and Program Reporting requirements are described in the Grants.gov announcement. Cash reports are not applicable. No progress reports are required. As stated in the Grants.gov announcements. As stated in the Grants.gov announcements.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit

conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. The Recipient must comply with the OMB audit requirements. For all DOS awards, regardless of business type, the Recipients are subject to the audit requirements found in OMB audit requirements. In addition, the Recipients are subject to the audit requirements found in the Single Audit Act of 1984, 31 U.S.C. 7501-7507. Please refer to the Department of State Standard Terms and Conditions for Domestic Financial Assistance Awards (<http://fa.statebuy.state.gov>) for additional guidance.

Records:

The Recipients must maintain financial records, supporting documents, statistical records, and all other records pertinent to an award for a period of three years from the date of submission of the final expenditure report. Please refer to the Department of State Standard Terms and Conditions for Domestic Financial Assistance Awards (<http://fa.statebuy.state.gov>) for additional guidance.

Account Identification:

19-0201-0-1-154.

Obligations:

(Cooperative Agreements) FY 12 \$37,940,000; FY 13 est \$36,043,000; and FY 14 est \$36,043,000

Range and Average of Financial Assistance:

\$1,440,000 to \$28,000,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Approximately 180 English Language Fellows were placed for Academic Year 2012-13. In Fiscal Year 2012, 78 English Language Specialists conducted programs in 57 countries. Over 35,000 first and second-year students are currently participating in the English Access Microscholarship Program around the world. Of these, over 18,000 new scholarships were awarded in 2012. For Academic Year 2011-12, over 1,200 teachers participated in the E-Teacher Scholarship program worldwide. Fiscal Year 2013: No Current Data Available. Fiscal Year 2014: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

As stated in the grants.gov announcements. In addition, organizations should be familiar with OMB Circulars A-110 (Revised) (Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals and Other Nonprofit Organizations), A-122/A-21 (Cost Principles for Nonprofit Organizations; Indirect Costs), and A-133/A-128 (Audits of Institutions of Higher Education and Other Nonprofit Organizations) on cost accounting principles. For a copy of the OMB circulars cited, please contact Government Publications or download from <http://www.whitehouse.gov/OMB/>.

Regional or Local Office:

None.

Headquarters Office:

Caryn Danz English Language Fellow Program:
Department of State, Bureau of Educational and Cultural Affairs, Office of English Language Programs, English Language Fellow Program, 2200 C Street, NW
SA-05, Rm.4B14, Washington, District of Columbia 20037 Email:
DanzCB@state.gov Phone: (202) 632-9412

Website Address:

<http://americanenglish.state.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

As stated in Grants.gov announcements.

19.432 ACADEMIC EXCHANGE PROGRAMS - EDUCATIONAL ADVISING AND STUDENT SERVICES

Includes EducationUSA advising center support, regional and country advising

coordination, advising professional development, and a survey on international and US student mobility.

FEDERAL AGENCY:

Bureau of Educational and Cultural Affairs, Department of State

AUTHORIZATION:

The Fulbright-Hays Act of 1961, as amended., Public Law 87-256, 22 U.S.C 2451.

OBJECTIVES:

As authorized by the Fulbright-Hays Act, the Bureau of Educational and Cultural Affairs (ECA) seeks to increase mutual understanding between the people of the United States and the people of other countries by means of educational and cultural exchange programs, including the exchange of scholars, researchers, professionals, students, and educators. ECA programs foster engagement and encourage dialogue with citizens around the world. Educational and cultural engagement is premised on the knowledge that mutual understanding, the development of future leaders, and the benefits of education programs influence societies and affect official decision-making almost everywhere in the world today. ECA programs inform, engage, and influence participants across strategic sectors of society including young people, women, teachers, scholars, journalists, and other professionals increasing the number of foreign individuals who have first-hand experience with Americans and with the values of freedom, representative government, rule of law, economic choice, and individual dignity, while building international knowledge and capacity among Americans. The purpose of overseas educational advising and student services, and international student mobility research is to support mutual understanding by promoting U.S. higher education and encouraging the development of study abroad programs through a global network of EducationUSA advising centers.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funding is provided for nonprofit organizations to support research on international exchange programs, coordination of overseas EducationUSA advising programs, and training for educational advisers to ensure that international students receive unbiased, ethical, and up-to-date information on U.S. higher education. Please refer to the grants.gov announcement for further information.

Applicant Eligibility:

Pursuant to the Mutual Educational and Cultural Exchange Act of 1961, as amended (Fulbright-Hays Act) the Bureau of Educational and Cultural Affairs of the U.S. Department of State awards grants and cooperative agreements to educational and cultural public or private nonprofit foundations or institutions. Applications may be submitted by public and private non-profit organizations meeting the provisions described in Internal Revenue Code section 26 USC 501(c)(3). Organizations must have nonprofit status with the IRS at the time of application. Please refer to the grants.gov announcement for further eligibility criteria.

Beneficiary Eligibility:

Beneficiaries include recipient organizations, educational institutions, other non-government organizations (NGOs) that meet the provisions described in Internal Revenue Code section 26 USC 501(c)(3), as well as sponsored participants, and the American people and the people of participating countries who interact with the international participants.

Credentials/Documentation:

Pursuant to the Mutual Educational and Cultural Exchange Act of 1961, as amended (Fulbright-Hays Act) the Bureau of Educational and Cultural Affairs of the U.S. Department of State awards grants and cooperative agreements to educational and cultural public or private nonprofit foundations or institutions. Applications may be submitted by public and private non-profit organizations meeting the provisions described in Internal Revenue Code section 26 USC 501(c)(3). Organizations must have nonprofit status with the IRS at the time of application. Please refer to the grants.gov announcement for further eligibility criteria. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Announcements are made on the grants.gov website for organizations meeting eligibility requirements. Announcements are made throughout the fiscal year and are posted to the Department of State's website: <http://eca.state.gov/organizational-funding>. The application procedures are described in the Grants.gov announcements.

Award Procedure:

Final awards cannot be made until funds have been appropriated by Congress, allocated and committed through internal Bureau procedures. Successful applicants will receive a Federal Assistance Award (FAA) from the Bureau Grants Office. The FAA and the original proposal with subsequent modifications (if applicable) shall be the only binding authorizing document between the recipient and the U.S. Government. The FAA will be signed by an authorized Grants Officer, and mailed to the recipients responsible officer identified in the application.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

As stated in the Grants.gov announcements.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

As stated in the Grants.gov announcements. See the following for information on how assistance is awarded/released: Assistance is awarded/released through the Department's central financial management database.

Reports:

Financial and Program Reporting requirements are described in the Grants.gov announcement. Cash reports are not applicable. Progress reports are not applicable. As stated in the Grants.gov announcements. As stated in the Grants.gov announcements.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. The Recipient must comply with the OMB audit requirements. For all DOS awards, regardless of business type, the Recipients are subject to the audit requirements found in OMB audit requirements. In addition, the Recipients are subject to the audit requirements found in the Single Audit Act of 1984, 31 U.S.C. 7501-7507. Please refer to the Department of State Standard Terms and Conditions for Domestic Financial Assistance Awards (<http://fa.statebuy.state.gov>) for additional guidance.

Records:

The Recipients must maintain financial records, supporting documents, statistical records, and all other records pertinent to an award for a period of three years from the date of submission of the final expenditure report. Please refer to the Department of State Standard Terms and Conditions for Domestic Financial Assistance Awards (<http://fa.statebuy.state.gov>) for additional guidance.

Account Identification:

19-0201-0-1-154.

Obligations:

(Cooperative Agreements) FY 12 \$7,635,000; FY 13 est \$7,500,000; and FY 14 est \$7,470,000

Range and Average of Financial Assistance:

\$500,000 to \$5,000,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Through in-person and virtual advising, EducationUSA advisers provided information on study opportunities in the United States to over 6,000,000 international students in 170 countries. Fiscal Year 2013: No Current Data Available. Fiscal Year 2014: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

As stated in the grants.gov announcements. In addition, organizations should be familiar with OMB Circulars A-110 (Revised) (Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals and Other Nonprofit Organizations), A-122/A-21 (Cost Principles for Nonprofit Organizations; Indirect Costs), and A-133/A-128 (Audits of Institutions of Higher Education and Other Nonprofit Organizations) on cost accounting principles. For a copy of the OMB circulars cited, please contact Government Publications or download from <http://www.whitehouse.gov/OMB/>.

Regional or Local Office:

None.

Headquarters Office:

Elizabeth Thornhill Department of State
Bureau of Educational and Cultural Affairs
Educational Information and Resources
2200 C Street, NW
SA-05, Rm. 4CC16, Washington, District of Columbia 20037 Email:
ThornhillME@state.gov Phone: (202) 632-6353

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

As stated in Grants.gov announcements.

19.450 ECA INDIVIDUAL GRANTS

Individual Grants, U.S. academics and professionals, Bureau of Educational and Cultural Affairs (ECA).

FEDERAL AGENCY:

Bureau of Educational and Cultural Affairs, Department of State

AUTHORIZATION:

Fulbright-Hays Act, Public Law, as amended., Public Law 87-256, 22 U.S.C 2451.

OBJECTIVES:

To provide individual assistance awards by the Bureau of Educational and Cultural Affairs (ECA) to experts and other influential or distinguished persons in the United States, including U.S. academics and professionals in the fields of teaching English, athletes and coaches and creative and performing artists, with funds appropriated to and authorized by the Bureau of Educational and Cultural Affairs. These individual grants support interchanges between the United States and other countries that address issues of mutual interest consistent with the Fulbright-Hays Act.

As authorized by the Fulbright-Hays Act, ECA seeks to increase mutual understanding between the people of the United States and the people of other

countries by means of educational and cultural exchange; to strengthen the ties which unite us with other nations by demonstrating the educational and cultural interests, developments, and achievements of the people of the United States and other nations, and the contributions being made toward a peaceful and more fruitful life for people throughout the world; to promote international cooperation for educational and cultural advancement; and thus to assist in the development of friendly, sympathetic, and peaceful relations between the United States the other countries of the world..

TYPES OF ASSISTANCE:

Project Grants (including individual awards)

USES AND USE RESTRICTIONS:

Individual awards made by the Bureau of Educational and Cultural Affairs may support any activities authorized by the Fulbright-Hays Act for which funding has been appropriated by Congress through the annual Educational and Cultural Exchange Programs appropriation administered by the Department of State, Bureau of Educational and Cultural Affairs. The activities are designed to promote U.S. interests in designated countries around the world by developing mutual understanding of key issues and promoting long-lasting ties between the United States and other countries. Awards are made to individuals and supported by funds appropriated to and approved by the Bureau of Educational and Cultural Affairs.

Applicant Eligibility:

Individuals in fields of specialized knowledge or skill, and other influential or distinguished persons.

Beneficiary Eligibility:

Beneficiaries include selected participants and the people of participating countries.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Program announcements and application procedures may vary widely depending on the program.

Award Procedure:

Specific processes vary from program to program activities. However, the Bureau of Educational and Cultural Affairs uses the Departments Form DS-1909 (Federal Assistance Award) to award individual grants to successful applicants. All awards are signed by a warranted Grants Officer.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

n/a.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. This program has no matching requirements. n/a. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

n/a. Method of awarding/releasing assistance: lump sum.

Reports:

Reports are to be submitted in accordance with the terms and conditions of the award

See individual award terms and conditions. Cash reports are not applicable.

Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

Not Applicable.

Records:

Copies of obligating documents are maintained in the Budget Office of the Bureau of Educational and Cultural Affairs for up to seven years.

Account Identification:

19-0201-0-1-154.

Obligations:

(Project Grants (including individual awards)) FY 12 \$1,990,889; FY 13 est \$1,891,334; and FY 14 est \$1,891,334

Range and Average of Financial Assistance:

\$134.00 - \$699,999.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: No Current Data Available. Fiscal Year 2013: No Current Data Available. Fiscal Year 2014: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Guidelines for programs will vary with individual activities.

Regional or Local Office:

None.

Headquarters Office:

Kerry Neal Bureau of Educational and Cultural Affairs, Department of State, SA-5, Room C2CC17, Washington, District of Columbia 20037 Email: NealK@state.gov Phone: (202) 632-6382

Website Address:

hppt://exchanges.state.gov

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

19.700 GENERAL DEPARTMENT OF STATE ASSISTANCE

General Assistance Programs

FEDERAL AGENCY:

Department of State

AUTHORIZATION:

Smith-Mundt Act The United States Information and Educational Exchange Act of 1948, P.L. 80-402; The Immigration and Nationality Act, as amended by the Refugee Act of 1980; The Fulbright-Hays Act -1961, Public Law 87-256; Foreign Assistance Act of 1961 as amended., Part 2, 22 U.S.C.

OBJECTIVES:

To fulfill the mission of The United States Department of State.

TYPES OF ASSISTANCE:

Cooperative Agreements; Cooperative Agreements (Discretionary Grants); Project Grants; Project Grants (Capacity Building and Complaint Processing, Training); Project Grants (Discretionary); Project Grants (Fellowships); Project Grants (for specified projects)

USES AND USE RESTRICTIONS:

See www.grants.gov for specific program information. The General Assistance Programs provide information of Department of State programs that are not elsewhere classified.

See www.grants.gov for individual project information.

Applicant Eligibility:

The General Assistance Programs provide information of Department of State programs that are not elsewhere classified.

See www.grants.gov for individual project information.

Beneficiary Eligibility:

The General Assistance Programs provide information of Department of State programs that are not elsewhere classified.

See www.grants.gov for individual project information.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. The General Assistance Programs provide information of Department of State programs that are not elsewhere classified.

See www.grants.gov for individual project information.

Award Procedure:

The General Assistance Programs provide information of Department of State programs that are not elsewhere classified.

See www.grants.gov for individual project information.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

The General Assistance Programs provide information of Department of State programs that are not elsewhere classified.

See www.grants.gov for individual project information.

Appeals:

Not Applicable.

Renewals:

See www.grants.gov for specific program information.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching requirements are not applicable to this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

See www.grants.gov for specific program information. See the following for information on how assistance is awarded/released: See www.grants.gov for specific program information.

Reports:

OMB Circular A-133 is applicable for domestic recipients where total Federal Assistance is greater than \$500,000. See www.grants.gov for specific program requirements. See www.grants.gov for specific program requirements. See www.grants.gov for specific programs. See www.grants.gov for specific programs.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. See OMB Circular A-133

Records:

Financial records are required to be maintained for three years.

Account Identification:

19-7290-1-0-101 - SEED; 19-7290-1-0-210 - DA; 19-1991-1-1-210 - Democracy Funds; 19-7290-1-0-371 - Economic Support Funds; 19-7290-0-3-060 - AEED.

Obligations:

(Project Grants) FY 12 Not Separately Identifiable; FY 13 Not Separately

Identifiable; and FY 14 Not Separately Identifiable - Amounts vary by individual program.

See www.grants.gov for details.

Range and Average of Financial Assistance:

Various.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

OMB Circulars are used as guidelines for overseas programs see www.grants.gov for program specifics

Regional or Local Office:

See Regional Agency Offices. see www.grants.gov for program specifics.

Headquarters Office:

Steve Galiotto 2201 C Street , Washington, District of Columbia 20522 Email: galiottosj@state.gov Phone: 7035161989

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

19.800 WEAPONS REMOVAL AND ABATEMENT

(WRA)

FEDERAL AGENCY:

Political Military Affairs/ Weapons Removal and Abatement, Department of State

AUTHORIZATION:

Foreign Assistance Act (FAA) of 1961, Department of State, Foreign Operations, and Related Programs Appropriations Act, 2010 (Div. F. Public Law 111-117) , Public Law 87-195.

OBJECTIVES:

To curb the illicit trafficking and indiscriminate use of conventional weapons of war that contribute to internal instability; pursue such weapons and help the clean-up efforts that occur in post-conflict settings; and engage civil society to expand support for U.S. humanitarian assistance in post conflict societies.

TYPES OF ASSISTANCE:

Cooperative Agreements; Project Grants

USES AND USE RESTRICTIONS:

Landmine clearance, weapons destruction, small arms and light weapons disposal, landmine and unexploded ordnance disposal surveys, mine risk education and victims assistance. Please see grants.gov. All funds are discretionary.

Applicant Eligibility:

All projects must have a clear focus and in some way be related to one of the following: landmine clearance, weapons destruction, small arms and light weapons disposal, landmine and unexploded ordnance disposal surveys, mine risk education, and victims assistance.

Beneficiary Eligibility:

See grants.gov.

Credentials/Documentation:

See Grants.gov; any recipient involved in demining and small arms disposal has to have documented disposal experience and credentials. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Please see grants.gov. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. See Grants.gov

Award Procedure:

See grants.gov.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Dependent upon yearly country objectives, funds, program results, grantee capability with final determination by the Department of State Grants Officer and Program Manager.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: Dependent upon grant. It is listed under the particular announcement on Grantsolutions.gov.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Funds are generally for one year. See the following for information on how assistance is awarded/released: Depends upon grantee status, type of project, and amount of funds.

Reports:

Quarterly narrative and financial reports are required as well as a final project report with clear and measureable deliverables. Cash reports are not applicable. Quarterly narrative and financial reports are required as well as a final project report with clear and measureable deliverables. Quarterly financial reports are required as well as a final project report with clear and measureable deliverables. Measurable deliverables must be established for all programs.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. All international grantees that are not bound by OMB Circular No. A-133 must agree in their terms and conditions to similar audit requirements. Grants will not be awarded to organizations that refuse to comply with audit requirements.

Records:

All records must be kept for a minimum of 3 years from the close of the grant period. If an investigation is started before this period ends, all records must be kept for 3 years after the close of the investigation.

Account Identification:

19-1111-0-7-511.

Obligations:

(Project Grants (for specified projects)) FY 12 \$124,000,000; FY 13 est \$114,000,000; and FY 14 est \$110,000,000

Range and Average of Financial Assistance:

Grants range from \$50,000 to \$6,500,000. The average grant amount is roughly \$750,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Fiscal Year 2012: Allocated \$124,000,000 to 38 different countries. The United States Conventional Weapons Destruction program has contributed close to \$2 billion to more than 90 countries to reduce the harmful effects generated by indiscriminately used, illicit, and abandoned conventional weapons of war since 1993. Fiscal Year 2013: No Current Data Available
Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Thomas S Kodiak, DOS Annex 3, Suite 6100, 2121 Virginia Ave. NW, Washington, District of Columbia 20034 Email: kodiakt@state.gov Phone: (202) 663-0091.

Website Address:

<http://www.state.gov/t/pm/wra/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available
Fiscal Year 2013: No Current Data Available
Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

See Grants.gov.

DEPARTMENT OF TRANSPORTATION

20.106 AIRPORT IMPROVEMENT PROGRAM

(AIP)

FEDERAL AGENCY:

Federal Aviation Administration (FAA), Department of Transportation

AUTHORIZATION:

Airport Improvement Act, Subtitle VII of Title 49 United States Code, PART B-Airport Development and Noise, Public Law 103-272.

OBJECTIVES:

To assist sponsors, owners, or operators of public-use airports in the development of a nationwide system of airports adequate to meet the needs of civil aeronautics. The purpose of the law includes the investment in transportation, environmental protection, and airport infrastructure that will provide long-term economic benefits.

TYPES OF ASSISTANCE:

ADVISORY SERVICES AND COUNSELING; PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grants can be made for integrated airport system planning in a specific area; and airport master planning, construction, or rehabilitation at a public-use airport or portion thereof. Authorizing legislation refers to an airport as any area of land or water used or intended to be used for the landing or taking off of aircraft and includes, within the five categories of airports listed below, special types of facilities such as seaplane bases and heliports. The statute further defines airports by categories which include commercial service, primary, cargo service, reliever, and general aviation airports. They are defined as follows: Commercial Service Airports are publicly owned airports that have at least 2,500 passengers boarding each year and receive scheduled passenger service. Passenger boarding refer to revenue passenger boarding on an aircraft in service in air commerce. The definition also includes passengers who continue on an aircraft in international flight that stops at an airport in any of the 50 states for a non-traffic purpose. Passenger boardings at airports that receive scheduled passenger service are also referred to as Enplanements. Nonprimary Commercial Service Airports are Commercial Service Airports that have at least 2,500 and no more than 10,000 passenger boardings each year. Primary Airports are Commercial Service Airports that have more than 10,000 passenger boardings each year. These airports are further categorized as Hub Airports, based on the level of passenger boardings. Hub categories for Primary Airports are defined as a percentage of total passenger boardings in the most current calendar year ending before the start of the current fiscal year. The definition and formulae used for designating Primary Airports by Hub Type and percentage of annual passenger boardings are: Large 1 percent or more; Medium - at least 0.25 percent, but less than 1 percent; Small - at least 0.05 percent, but less than 0.25 percent; and Non hub - more than 10,000, but less than 0.05 percent. Cargo Service Airports are airports that, in addition to any

other air transportation services that may be available, are served by aircraft providing air transportation of only cargo with a total annual landed weight of more than 100 million pounds. Reliever airports are airports designated by the FAA to relieve congestion at a Commercial Service Airports and to provide more general aviation access to the overall community. The remaining airports, are referred to as general aviation (GA) Airports and are defined as a public airport that is located in a State that, as determined by the Secretary does not have scheduled service or has scheduled service with less than 2,500 passengers boarding each year. General aviation airports comprise the largest single group of airports in the U.S. airport system. Eligible work at airports consists of: (1) airport master plans; (2) airport noise compatibility plans; (3) land acquisition; (4) site preparation; (5) construction, alteration, and rehabilitation of runways, taxiways, aprons, and certain roads within airport boundaries; (6) construction and installation of airfield lighting, navigational aids, and certain offsite work; (7) safety equipment required for certification of airport facility; (8) security equipment required of the sponsor by the Secretary of Transportation by rule or regulation for the safety and security of persons and property on the airport; (9) snow-removal equipment; (10) terminal development; (11) aviation-related weather reporting equipment; (12) equipment to measure runway surface friction; (13) burn area training structures and land for that purpose, on or off airport; (14) agency-approved noise compatibility projects; (15) relocation of air traffic control towers and navigational aids (including radar) if they impede other projects funded under AIP; (16) land, paving, drainage, aircraft deicing equipment and structures for centralized deicing areas; and (17) projects to comply with the Americans with Disabilities Act of 1990, Clean Air Act, and Federal Water Pollution Control. Under limited criteria construction of hangars and automobile parking are eligible. Grants for hangars and parking facilities are available on a limited basis. Grants may not be, made for buildings not related to the safety of persons on the airport, decorative landscaping or artwork, or routine maintenance and repair. Technical advisory services are also provided. Formula funds are available to primary commercial service airports and to cargo service airports. Discretionary funds may be used at any eligible facility and allocation is based on established criteria. The percentage of fund varies each fiscal year.

Applicant Eligibility:

States, counties, municipalities, U.S. Territories and possessions, other public agencies including an Indian tribe or pueblo, the republics of the Marshall Islands and Palau, and the Federated States of Micronesia, are eligible for airport development grants if the airport on which the development is required is listed in the National Plan of Integrated Airport Systems (NPIAS). Certain units of local government may be eligible for grants to implement noise compatibility projects. Private owners of public-use reliever airports or airports having at least 2,500 passenger boarding annually and receiving scheduled passenger aircraft service are eligible.

Beneficiary Eligibility:

States, counties, municipalities, U.S. Territories and possessions, and other public agencies including an Indian tribe or pueblo, the republics of the Marshall Islands and Palau, The Federated States of Micronesia, and private owners of reliever airports or airports having at least 2,500 passenger boarding annually and receiving scheduled passenger aircraft service.

Credentials/Documentation:

Sponsors must submit information establishing financial capability and legal authority to accomplish the project and to operate the airport. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Preapplication for Federal Assistance, SF 424, Part I (facesheet) filed with FAA field office, reviewed by the regional office and/or Washington office for program approval, as

appropriate. For master plans (may be combined as part of development project), noise compatibility plans, and system plans, SF-424 and Parts II through V of FAA Form 5100-101, Application for Federal Assistance, must be submitted to FAA field offices. Level of approval is dependent on the type of airport and amount of FAA monies requested. No State plan is required.

Award Procedure:

Upon program approval for development projects, applicant submits project application, SF 424, Part I (facesheet) and remaining parts of FAA Form 5100-100 to FAA field office. Master, noise compatibility, and system plan grant applications are submitted to FAA field offices and upon approval, grant offers are made by FAA field offices. Either the district or regional office prepares Grant Offer, FAA Form 5100-37, for planning and development for execution by FAA applicant.

Deadlines:

Oct 01, 2012 to Sep 30, 2013 Primary airport sponsors must notify FAA by May 1 or another date specified in the Federal Register of their intent to apply for funds to which they are entitled under Section 47102 of Title 49, United States Code. A reminder is published annually in the Federal Register. Other sponsors are encouraged to submit early in the fiscal year and to contact the appropriate FAA Regional or Airports District Offices for any local deadlines. Sponsors must formally accept grant offers no later than September 30 for grant funds appropriated in that fiscal year.

Range of Approval/Disapproval Time:

From 90 to 120 days. If the project is challenged on environmental grounds, approval may take longer.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: The local match is 10% to 25%.

This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

No set period of time. Projects are expected to be completed as expeditiously as possible. Most within one to two years from grant award. See the following for information on how assistance is awarded/released: Awarded by lump sum. Assistance is released upon application for reimbursement of expenses or by letter of credit.

Reports:

During the project, the sponsor monitors performance to ensure that time schedules are being met. Periodic reports, as required, are forwarded to FAA. SF-270. The recipient periodically submits form 5370-1, Construction Progress and Inspection Reports. SF-425. Project performance monitoring is conducted through site visits and reports.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Sponsors' records are required to be made available for inspection by FAA, OIG/DOT and the General Accounting Office. An airport layout plan must be kept up to date and available as long as the grant agreement lasts, ordinarily a period of 20 years. Accounting records reflecting all project costs, books, documents, and records pertinent to grants are to be retained for 3 years after date of submission of final expenditure report.

Grant recipients shall submit periodic reports containing the following information:

1. The number of projects that have been put out to bid under the grant and the amount of Federal funds associated with each project.

2. The number of projects for which contracts have been awarded and the amount of Federal funds associated with each project.
3. The number of projects for which work has begun under such contracts and the amount of Federal funds associated with each contract.
4. The number of projects for which work has been completed under such contracts and the amount of Federal funds associated with each contract.

Account Identification:

69-8106-0-7-402.

Obligations:

(Salaries) FY 12 \$3,288,884,453; FY 13 est \$3,343,300; and FY 14 est \$3,350,000,000

Range and Average of Financial Assistance:

AIP Grants) \$9,335 to \$70,000,000. Average: \$1,712,960 for FY 2012.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: (1) airport master plans; (2) airport noise compatibility plans; (3) land acquisition; (4) site preparation; (5) construction, alteration, and rehabilitation of runways, taxiways, aprons, and certain roads within airport boundaries; (6) construction and installation of airfield lighting, navigational aids, and certain offsite work; (7) safety equipment required for certification of airport facility; (8) security equipment required of the sponsor by the Secretary of Transportation by rule or regulation for the safety and security of persons and property on the airport; (9) snow-removal equipment; (10) terminal development; (11) aviation-related weather reporting equipment; (12) equipment to measure runway surface friction; (13) burn area training structures and land for that purpose, on or off airport; (14) agency-approved noise compatibility projects; (15) relocation of air traffic control towers and navigational aids (including radar) if they impede other projects funded under AIP; (16) land, paving, drainage, aircraft deicing equipment and structures for centralized deicing areas; and (17) projects to comply with the Americans with Disabilities Act of 1990, Clean Air Act, and Federal Water Pollution Control. Under limited criteria construction of hangars and automobile parking lots. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Federal Aviation Administration Order and Advisory Circulars (FAA Order 5100.38C, Airport Improvement Program Handbook, and FAA Advisory Circulars in the 150/5100 series).

Regional or Local Office:

See Regional Agency Offices. Persons are encouraged to contact the Federal Aviation Administration Regional Offices listed in Appendix IV of the Catalog.

Headquarters Office: Federal Aviation Administration, Office of Airport Planning and Programming, Airports Financial Assistance Division, APP-500, 800 Independence Avenue, SW., Washington, DC 20591. Telephone: (202) 267-3831.

Web Site Address: http://www.faa.gov/airports_airtraffic/airports/.

Headquarters Office:

Kendall Ball, P.E. 800 Independence Avenue, Washington, District of Columbia 20591 Email: kendall.ball@FAA.gov Phone: (202) 267-7436.

Website Address:

http://www.faa.gov/airports_airtraffic/AIP/.

RELATED PROGRAMS:

39.002 Disposal of Federal Surplus Real Property; 39.003 Donation of Federal Surplus Personal Property

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: (1) airport master plans; (2) airport noise compatibility plans; (3) land acquisition; (4) site preparation; (5) construction, alteration, and rehabilitation of runways, taxiways, aprons, and certain roads within airport boundaries; (6) construction and installation of airfield lighting, navigational aids, and certain offsite work; (7) safety equipment required for certification of airport facility; (8) security equipment required of the sponsor by the Secretary of Transportation by rule or regulation for the safety and security of persons and property on the airport; (9) snow-removal equipment; (10) terminal

development; (11) aviation-related weather reporting equipment; (12) equipment to measure runway surface friction; (13) burn area training structures and land for that purpose, on or off airport; (14) agency-approved noise compatibility projects; (15) relocation of air traffic control towers and navigational aids (including radar) if they impede other projects funded under AIP; (16) land, paving, drainage, aircraft deicing equipment and structures for centralized deicing areas; and (17) projects to comply with the Americans with Disabilities Act of 1990, Clean Air Act, and Federal Water Pollution Control. Under limited criteria construction of hangars and automobile parking lots. Fiscal Year 2013: (1) airport master plans; (2) airport noise compatibility plans; (3) land acquisition; (4) site preparation; (5) construction, alteration, and rehabilitation of runways, taxiways, aprons, and certain roads within airport boundaries; (6) construction and installation of airfield lighting, navigational aids, and certain offsite work; (7) safety equipment required for certification of airport facility; (8) security equipment required of the sponsor by the Secretary of Transportation by rule or regulation for the safety and security of persons and property on the airport; (9) snow-removal equipment; (10) terminal development; (11) aviation-related weather reporting equipment; (12) equipment to measure runway surface friction; (13) burn area training structures and land for that purpose, on or off airport; (14) agency-approved noise compatibility projects; (15) relocation of air traffic control towers and navigational aids (including radar) if they impede other projects funded under AIP; (16) land, paving, drainage, aircraft deicing equipment and structures for centralized deicing areas; and (17) projects to comply with the Americans with Disabilities Act of 1990, Clean Air Act, and Federal Water Pollution Control. Under limited criteria construction of hangars and automobile parking lots. Fiscal Year 2014: : (1) airport master plans; (2) airport noise compatibility plans; (3) land acquisition; (4) site preparation; (5) construction, alteration, and rehabilitation of runways, taxiways, aprons, and certain roads within airport boundaries; (6) construction and installation of airfield lighting, navigational aids, and certain offsite work; (7) safety equipment required for certification of airport facility; (8) security equipment required of the sponsor by the Secretary of Transportation by rule or regulation for the safety and security of persons and property on the airport; (9) snow-removal equipment; (10) terminal development; (11) aviation-related weather reporting equipment; (12) equipment to measure runway surface friction; (13) burn area training structures and land for that purpose, on or off airport; (14) agency-approved noise compatibility projects; (15) relocation of air traffic control towers and navigational aids (including radar) if they impede other projects funded under AIP; (16) land, paving, drainage, aircraft deicing equipment and structures for centralized deicing areas; and (17) projects to comply with the Americans with Disabilities Act of 1990, Clean Air Act, and Federal Water Pollution Control. Under limited criteria construction of hangars and automobile parking lots.

CRITERIA FOR SELECTING PROPOSALS:

Only those Airport Improvement Program (AIP) projects considered by the FAA Administrator to be necessary to provide for a safe and efficient airport system and to meet the current and projected growth of civil aeronautics will be considered for selection. The airports at which AIP projects are proposed must be included in the National Plan of Integrated Airports Systems (NPIAS).

20.108 AVIATION RESEARCH GRANTS

FEDERAL AGENCY:

Federal Aviation Administration (FAA), Department of Transportation

AUTHORIZATION:

Federal Aviation Administration Research, Engineering and Development Authorization Act of 1990, Public Law 101-508; Aviation Security Improvement Act of 1990, Federal Aviation Administration Authorization Act , Public Law 103-305.

OBJECTIVES:

To encourage and support innovative, advanced, and applied research and development in areas of potential benefit to the long-term growth of civil aviation.

TYPES OF ASSISTANCE:

PROJECT GRANTS; USE OF PROPERTY, FACILITIES, OR EQUIPMENT

USES AND USE RESTRICTIONS:

The grants and cooperative agreements may be used to support research and

development projects at colleges, universities and nonprofit institutions in the following areas: (1) Capacity and Air Traffic Control Technology; (2) Communications, Navigation and Surveillance; (3) Aviation Weather; (4) Airports; (5) Aircraft Safety Technology; (6) Human Factors and Aviation Medicine; (7) Environment and Energy; (8) Systems Science and Operations Research; (9) Commercial Space Transportation. Discretionary funds are not currently available. Potential grantees are encouraged to contact FAA Research Technical Program Offices for sponsorship.

Applicant Eligibility:

Colleges, universities and nonprofit institutions are eligible to benefit.

Beneficiary Eligibility:

Colleges, universities and nonprofit institutions are eligible to benefit.

Credentials/Documentation:

Evidence of eligibility and of negotiated indirect rates and audit by cognizant agency. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication form not required. Consultation and or assistance is available by calling (609) 485-4781. No preapplication conference needed. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. The solicitation may be accessed on the internet at http://www.faa.gov/about/office_org/headquarters_offices/ato/service_units/acquisition/grants/ or at grants.gov under CFDA 20.108.

Award Procedure:

Award authority has been delegated to the FAA Grants Officer for the Aviation Research Grants Program, AAQ-600, at the William J. Hughes Technical Center, Atlantic City International Airport, NJ 08405. Awards will be made throughout the year. Solicitation FAA-12-01 will remain open until 12/31/19. Any change to this will appear on the internet site mentioned above.

Deadlines:

Dec 31, 2019: Deadline for submission under current solicitation is 12/31/19. Proposals are accepted throughout the calendar year.

Range of Approval/Disapproval Time:

120 Days.

Appeals:

Formal protests are not an option, however, a debriefing may be requested.

Renewals:

Multi-year grants and cooperative agreements are available; extensions are possible. No-cost extensions available upon request.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Minimum award duration is 6 months. No other time restrictions. Assistance is disbursed monthly. Advances are possible with prior approval from the FAA Grants Officer. Method of awarding/releasing assistance: lump sum.

Reports:

Technical Reporting Requirements per Subpart C of Title 2 CFR Part 215 formerly OMB Circular A-110 and OMB Circular A-102, Paragraph 7. Semi-annual and final technical reports required. Financial reporting requirements as per Subpart C of OMB Circular A-110 and of OMB Circular A-102, Paragraph 7. Final reports are required for closeout. Reporting requirements are spelled out in the terms and conditions of each award

document. No cash reports are required. No progress reports are required. No expenditure reports are required. No performance monitoring is required.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. Single Audit Act assigns responsibility to either the Department of Health and Human Services or the Department of Defense for most institutions. The FAA reserves the right to conduct individual audits as needed within the constraints of applicable laws and regulations.

Records:

Technical and financial records must be retained for a minimum of 3 years (or longer, depending on special situations) following completion of a grant or cooperative agreement.

Account Identification:

69-8108-0-7-402.

Obligations:

(Salaries) FY 12 \$15,178,271; FY 13 est \$13,000,000; and FY 14 est \$10,000,000

Range and Average of Financial Assistance:

Proposals received to date have requested from \$15,000 to \$5.0 million with most between \$50,000 and \$250,000. Larger proposals are for multi-year research.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Composite Material Model for Impact Analysis

Testing, Modeling and Support for FAA Reflective Cracking Study

Air Navigation Based on Global Navigation Satellite Systems

Design and Implementation of an ALS Protection and Power Quality Control Scheme with Improved Performance

ANSS Support. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

OMB Circulars A-122, A-133, A-110 and A-21, Regulations and Executive Orders are referenced in Appendix 2 of the FAA Research Grants Order 95507A. A solicitation package containing the appropriate forms and directions is available through grants.gov.

Regional or Local Office:

None.

Headquarters Office:

Cynthia Hische AAQ-600, William J. Hughes Technical Center, Atlantic City International Airport, New Jersey 08405 Email: Cynthia.Hische@faa.gov Phone: (609) 485-4781 Fax: (609) 485-6288

Website Address:

No Data Available

RELATED PROGRAMS:

20.109 Air Transportation Centers of Excellence

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Composite Material Model for Impact Analysis

Testing, Modeling and Support for FAA Reflective Cracking Study

Air Navigation Based on Global Navigation Satellite Systems

Design and Implementation of an ALS Protection and Power Quality Control Scheme with Improved Performance

ANSS Support. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The FAA has established four criteria which should be met for a proposal to be

eligible for funding. These four criteria are: (1) Intrinsic Value; (2) Relevance to the FAA Mission; (3) Technical Soundness of the Proposal; (4) Research Performance Competence.

20.109 AIR TRANSPORTATION CENTERS OF EXCELLENCE

(FAA Centers of Excellence)

FEDERAL AGENCY:

Federal Aviation Administration (FAA), Department of Transportation

AUTHORIZATION:

Federal Aviation Administration Research, Engineering and Development Authorization Act of 1990, Public Law 101-508.

OBJECTIVES:

To conduct long term continuing research in specific areas of aviation related technology. Responsibilities of Centers may include, but are not limited to: the conduct of research concerning catastrophic failure of aircraft; airspace and airport planning and design; airport capacity enhancement techniques; human performance in the air transportation environment; aviation safety and security; the supply of trained air transportation personnel including pilots and mechanics; and other aviation issues pertinent to developing and maintaining a safe and efficient air transportation system, and the interpretation, publication, and dissemination of the results of such research.

TYPES OF ASSISTANCE:

PROJECT GRANTS; PROVISION OF SPECIALIZED SERVICES; USE OF PROPERTY, FACILITIES, OR EQUIPMENT

USES AND USE RESTRICTIONS:

Centers of Excellence are established for long term continuing mission critical research in specific areas of technology areas. Funding may be used for the establishment and operation of the Centers of Excellence and the related training, education and research projects. The FAA determines a need for a Center of Excellence in a specific area of technology and publishes a Notice of Availability of Solicitation in Grants.gov. In response to this announcement, each applicant submits a description of their institutional capabilities, available faculty, facilities and equipment, and additional information as required in the solicitation. Researchers at each Center are expected to maintain a close working relationship with relevant FAA program offices that have responsibility in areas related to their research. Centers conduct semi-annual reviews, and a major symposium at the conclusion of each 3-year phase of research to satisfy Congressional requirements to disseminate research results to a broad audience. CONGRESSIONAL RESTRICTIONS: (1) Matching Funds - The Federal share of the grant awarded shall be 50 percent of the cost to establish and operate and conduct related research within the Center of Excellence and related research activities. (2) Maintenance of Effort - Each recipient will maintain its aggregate expenditures from all other sources for establishing and operating the Center and related research activities at or above the average level of such expenditures in its 2 fiscal years preceding November 5, 1990. (3) Locations of centers and distribution of funds shall be geographically equitable. (4) Contract tasks may be awarded following the competitive process and include negotiable cost share. (5) Funds are awarded to universities. Industry affiliates must align with academic institutions who may then sub-contract to others as appropriate. Centers of Excellence are established to conduct aviation related research, education, training and technology transfer. Discretionary R&D, Operations, and F&E funds are made available for the appropriate purpose by sponsoring organizations and are awarded to establish, operate and conduct research and related activities.

Applicant Eligibility:

FAA COE applicants are limited to colleges and universities with the financial resources to meet statutory requirements for matching Federal funds and maintenance of effort. Academic institutions may partner with industry affiliates, other public and private entities, government laboratories and other interested parties. Applicants must satisfy the Congressionally mandated selection criteria as stated in an open Final Solicitation.

Beneficiary Eligibility:

Recipients are limited to colleges and universities with the financial resources to meet statutory requirements for matching Federal grants and maintenance of effort. In conducting research, a Center of Excellence may contract with

nonprofit research organizations and other appropriate persons.

Credentials/Documentation:

Required documentation is outlined in each solicitation package. After each Draft Notice of Solicitation is published, copies of the Solicitation are available during a Public Meeting and/or from the FAA Centers of Excellence Program Office, FAA Technical Center, Atlantic City International Airport, NJ 08405. To establish a Center of Excellence, a competitive process is conducted to include a: public announcement, publication of a draft and a final solicitation, period of public comment, management/fiscal review, and a technical evaluation. Public Law 101-508 established the following six selection criteria: (1) The extent to which the needs of the State in which the applicant is located are representative of the needs of the region for improved air transportation services and facilities. (2) The demonstrated research and extension resources available to the applicant for carrying out this program. (3) The capability of the applicant to provide leadership in making national and regional contributions to the solution of both long- range and immediate air transportation problems. (4) The extent to which the applicant has an established air transportation program. (5) The demonstrated ability of the applicant to disseminate results of air transportation research and educational programs through a State-wide or region-wide continuing education program. (6) The projects which the applicant proposes to carry out under the grant. Each proposal must include the following: (a) description of current research projects being conducted in the specific research area, (b) a schedule of anticipated costs to establish and operate the Center of Excellence, (c) a plan for meeting the 50 percent nonfederal contribution toward the matching requirements, (d) a statement showing aggregate expenditures from all other sources for aviation research in its 2 fiscal years preceding November 5, 1990, (e) all data sufficient for the evaluation team to determine the extent to which the applicant is able to satisfy the six selection criteria outlined.

Each proposal must contain a: 1. completed Application for Federal Assistance (SF-424 Research and Related); 2. table of contents; 3. technical statement addressing the problems inherent in the specific technical area(s) and probable solutions; 4. summary of results from FAA funding in the past five years; 5. biographical sketch for all senior personnel and a list of their principal accomplishments and publications during the past five years; 6. proposed budget for the initial three years including the anticipated costs of managing a new Center of Excellence, and a management plan; 7. listing of all current and pending support on all research awards from all sources; 8. listing of all available facilities and major items of equipment to be available to conduct research, and that which is necessary to acquire. 9. a cover letter and letters of support from various industry representatives, state and local officials, etc. Other required forms are to be included such as Disclosure of Lobbying Activities Form SF-LLL and certification of Compliance with the Civil Rights Act. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

FAA holds a public information meeting prior to submission of proposals. During the period of public comment and thereafter, questions may be submitted to the FAA Centers of Excellence Program Office, ANG-A12, FAA Technical Center, Atlantic City International Airport, NJ 08405. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Colleges and universities may apply after the FAA has published a Notice of Availability of Solicitation for a Center of Excellence in a specific research area on the Grants.gov website. Solicitation and application packages are available from the FAA Centers of Excellence Program Office, FAA Technical Center, Atlantic City International Airport, NJ 08405.

Award Procedure:

The FAA Administrator is the selecting official for each Air Transportation Center of Excellence. The FAA Centers of Excellence Program Director issues

solicitations, receives all proposals, convenes evaluation teams to evaluate eligible institutions for Centers of Excellence awards. Applicants should be prepared to host an onsite inspection of existing research facilities when being considered for a Center of Excellence designation. Grant award authority has been delegated to the FAA COE Grants Officer and Program Director, AJP-92, located at the William J. Hughes Technical Center, Atlantic City International Airport, NJ 08405. Awards are made to COE members throughout the term of the Center. No further announcements are issued.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From the closing date for applications, the FAA requires at least 6 months for evaluation and selection procedures.

Appeals:

Formal protests are not an option; however, those submitting a proposal may request a debriefing.

Renewals:

Grants: Multi-year grants and cooperative agreements are available; extensions are possible. No-cost extensions are available upon request.

Centers of Excellence designation: Centers are evaluated during the last year of each research Phase. Cooperative agreements are renewed upon successful completion of each previous Phase throughout the 10-year term.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Percent: 50.%. This program has no statutory formula. Matching Funds Requirements - The Federal share shall be 50 percent of the costs of establishing and operating the Center of Excellence and related research activities. Maintenance of Effort - Each recipient will maintain its aggregate expenditures from all other sources for establishing and operating the Center and related research activities at or above the average level of such expenditures in its 2 fiscal years preceding November 1990. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The FAA intends to support a Center of Excellence in three- to five-year increments over a period of 10 years. Each Center undergoes a reassessment within the final year of each cooperative agreement Phase. Research is reviewed semi-annually. Budgets and research projects are defined, evaluated and negotiated yearly. As a consequence of changing needs, or diminished requirements, the agency reserves the right not to renew support for a Center of Excellence at any time. Funds from program offices and additional appropriations may increase at any time providing the institution can demonstrate matching contributions are available. See the following for information on how assistance is awarded/released: Following the announcement of the selected team, a cooperative agreement is negotiated with each university core member. Grant awards are issued as amendments to the initial cooperative agreement(s) following submission of technical proposals and individual evaluations.

Reports:

COE status and technical reports are required on a quarterly basis. Technical reporting requirements are specified in Attachment H of OMB Circular No. A-110 and Attachment I to OMB Circular No. A-102; Financial reporting requirements are specified in Attachment G of OMB Circular No. A-110 and Attachment H of OMB Circular No. A-102. Semi-annual reports are required on matching funds and technical reports, as well as an annual review of the research completed and in progress at each Center of Excellence. The annual review focuses on the relevance, merit and direction of research efforts in the designated area, and negotiation of projects and budget for the next year. A major technical conference is required by the end of each cooperative agreement period as part of close-out activities and serves to highlight research results and discuss plans for the next 3-year phase. The technical symposium is designed to satisfy legislative requirements to highlight COE outcomes and disseminate information by the end of the final 10-year period of performance. No cash reports are required. The annual review focuses on the relevance, merit and direction of research efforts in the designated area, and negotiation of

projects and budgets for the next year. A symposium is required by the end of the 10-year period as part of close-out activities and serves to highlight research results. The symposium is designed to satisfy legislative requirements to disseminate information. Financial reporting requirements as per Attachment G of OMB Circular No. A-110 and Attachment H of OMB Circular No. A-102. A symposium is required by the end of the 10-year period as part of close-out activities and serves to highlight research results. The symposium is designed to satisfy legislative requirements to disseminate information.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Single Audit Act assigns responsibility to either the Department of Health and Human Services or the Department of Defense for most institutions. The FAA calls for an audit of each Center every 3 years as a part of close-out activities, and reserves the right to conduct individual audits annually, and as needed, within the constraints of applicable laws and regulations.

Records:

Technical and financial records must be retained for a minimum of 3 years (or longer, depending on special situations) following termination of the Center of Excellence.

Account Identification:

69-8108-0-7-402; 69-8107-0-7-402; 69-1301-0-1-402; 69-8106-0-7-402.

Obligations:

(Salaries) FY 12 \$13,600,000; FY 13 est \$11,500,000; and FY 14 est \$10,000,000

Range and Average of Financial Assistance:

Program started in fiscal year 1992. Grant assistance is expected to be a minimum of \$500,000 per year for each Center, and may be augmented by tasks funded through IDIQ contract awards.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: The COE Program Office conducted a competitive process to enable the Administrator to select a new COE for General Aviation. Continue to operate and conduct aviation research through FAA COEs for: Commercial Space Transportation, General Aviation Safety, General Aviation Research, Noise & Emissions Mitigation, Advanced Materials, Cabin Environment/ Intermodal, and Airport Safety. The COE Program Office is preparing to establish a new COE for Alternative Jet Fuels and Environment during fiscal year 2013. Fiscal Year 2013: Amount awarded \$2,960,495 (October 1, 2012 through May 2013). Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

OMB Circulars A-133, A- 122, A110 and A-21, Regulations and Executive Orders are referenced in Appendix 2 of the FAA Research Grants Order 9550. When the FAA is soliciting for a Center of Excellence, a solicitation and application package containing the appropriate forms and directions are made available. A Notice of Solicitation Availability will be announced on Grants.gov.

Regional or Local Office:

None.

Headquarters Office:

Patricia Watts, Ph.D. Centers of Excellence Program Office
FAA William J. Hughes Technical Center, Bldg 300, L28
. Atlantic City International Airport, New Jersey 08405 Email:
patricia.watts@.faa.gov Phone: (609) 485-5043 Fax: (609) 485-4753.

Website Address:

<http://www.coe.faa.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Examples of current funded projects are High Temperature, Optical Sapphire Pressure Sensors for Hypersonic Vehicles, RADAR, ADS-B, ASD-X, and FDM Analysis, Assessment of CO2 Emission Metrics for Commercial Aircraft Certification and Fleet Performance Monitoring from a NAS Perspective, Impact Damage Formation on Composite Aircraft Structures, and Research in the Intermodal Transport Environment (RITE)-Sensors and Prognostics to Mitigate Bleed Air Contamination Events. Fiscal Year 2013: FAA currently supports the following COE topic areas: Commercial Space Transportation, General Aviation, Aviation Noise & Emissions Mitigation, Airliner Cabin/Intermodal Research, Advanced Materials. Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Public Law 101-508 has established the following six selection criteria: (1) The extent to which the needs of the State in which the applicant is located are representative of needs of the region for improved air transportation services and facilities; (2) The demonstrated research and extension resources available to the applicant for carrying out this program; (3) The capability of the applicant to provide leadership in making national and regional contributions to the solution of both long-range and immediate air transportation problems; (4) The extent to which the applicant has an established air transportation program; (5) The demonstrated ability of the applicant to disseminate results of air transportation research and educational programs through a State-wide or region-wide continuing education program; and (6) The projects which the applicant proposes to carry out under the grant. Public Law 101-508 mandates geographic equity in location and funding of Centers of Excellence. Depending on the outcome of evaluation under the six mandated criteria, geographic location may become an additional selection factor.

20.200 HIGHWAY RESEARCH AND DEVELOPMENT PROGRAM

Moving Ahead for Progress in the 21st Century Act (MAP-21)

FEDERAL AGENCY:

Federal Highway Administration (FHWA), Department of Transportation

AUTHORIZATION:

Moving Ahead for Progress in the 21st Century Act (MAP-21), Public Law 112-141, 23 U.S.C 502-503.

OBJECTIVES:

To carry out the highway research and development program as authorized by MAP-21. To conduct research needed to maintain and improve our vital transportation infrastructure. MAP-21 addresses the many challenges facing our transportation system today by providing funding for improving highway safety, improving infrastructure integrity, strengthening transportation planning and environmental decision making, reducing congestion, improving highway operations, enhancing freight movement productivity, and exploratory advanced research.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Varies by project. See individual project Request for Applications (RFAs) for details. (RFAs issued at www.Grants.gov.) Varies by project. See individual project Request for Applications (RFAs) for details. (RFAs issued at www.Grants.gov.)

Applicant Eligibility:

Varies by project. See individual project Request for Applications (RFAs) for details. (RFAs issued at www.Grants.gov.)

Beneficiary Eligibility:

State (State DOTs) Local Sponsored Organization General Public.

Credentials/Documentation:

Eligible activities and allowable costs will be determined in accordance with MAP-21 and the Office of Management and Budget (OMB) cost principles applicable to the recipient/sub-recipient. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from

coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Varies by project. See individual project Request for Applications (RFAs) for details. (RFAs issued at www.Grants.gov.) Generally, per directions contained in the RFAs, applicants submit applications online via www.Grants.gov by the due date specified in the RFA.

Award Procedure:

Varies by project. See individual project Request for Applications (RFAs) for details. (RFAs issued at www.Grants.gov.) Generally, FHWA evaluates applications and selects awardee(s).

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. Varies by project. See individual project Request for Applications (RFAs) for details. (RFAs issued at www.Grants.gov.) Generally 1 to 3 months after application submittal.

Appeals:

Not Applicable.

Renewals:

Dwight David Eisenhower Transportation Fellowship Program.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Varies by project. See individual project Request for Applications (RFAs) for details. (RFAs issued at www.Grants.gov.) Funds generally become available at the beginning of the fiscal year for which they are authorized, and are available until expended or as otherwise designated in MAP-21. See the following for information on how assistance is awarded/released: Varies by project. See individual project Request for Applications (RFAs) for details. (RFAs issued at www.Grants.gov.) Funds generally become available at the beginning of the fiscal year for which they are authorized, and are available until expended or as otherwise designated in MAP-21.

Reports:

Varies by project. See individual project Request for Applications (RFAs) for details. (RFAs issued at www.Grants.gov.) Generally quarterly progress reports and annual budget reports are required. Cash reports are not applicable. Generally quarterly progress reports and annual budget reports are required. SF-425. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Project records and documents must be retained by the Recipient as specified in 2 CFR Part 215 (Uniform Administrative Requirements for Grants and Agreements With Institutions of Higher Education, Hospitals, and Other Nonprofit Organizations) or 49 CFR 18.42 as applicable.

Account Identification:

69-8083-0-7-401.

Obligations:

(Salaries) FY 12 \$117,074,116; FY 13 est \$85,300,000; and FY 14 est \$85,000,000 - Obligations are reported under Federal-Aid Highway program totals.

Range and Average of Financial Assistance:

Varies by project. See individual project Request for applications (RFAs) for details. (RFAs issued at www.Grants.gov.)

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: In FY2012, grants were funded for a wide variety of highway improvements, from interstate rehabilitation and reconstruction to technologies that result in improved safety and reduced construction congestion. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

23 CFR, "Highways", 49 CFR, "Transportation", and 2 CFR, "Grants and Agreements".

Regional or Local Office:

See Regional Agency Offices. State-level division offices of the FHWA (as listed in Appendix IV of the Catalog).

Headquarters Office:

Office of Acquisition Management (HAAM), 1200 New Jersey Ave S.E., Washington, District of Columbia 20590 Phone: (202) 366-9084.

Website Address:

<http://www.fhwa.dot.gov>.

RELATED PROGRAMS:

20.205 Highway Planning and Construction

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Agreement awarded to American Association of State Highway and Transportation Officials (AASHTO) to support the establishment and maintenance for a Center for Environmental Excellence. Agreement awarded to Iowa State University of Science and Technology to further an ongoing Pavement Technology Program, which includes the deployment of innovative technologies to improve pavement performance. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Varies by project. See individual project Request for Applications (RFAs) for details. (RFAs issued at www.Grants.gov.)

20.205 HIGHWAY PLANNING AND CONSTRUCTION

(Federal-Aid Highway Program, Federal Lands Highway Program).

FEDERAL AGENCY:

Federal Highway Administration (FHWA), Department of Transportation

AUTHORIZATION:

Moving Ahead for Progress in the 21st Century Act (MAP-21), Public Law 112-141, 23 U.S.C 101.

OBJECTIVES:

Federal-aid Highway Program: To assist State transportation agencies in the planning and development of an integrated, interconnected transportation system important to interstate commerce and travel by constructing and rehabilitating the National Highway System (NHS), including the Eisenhower Interstate System; and for transportation improvements to many other public roads; to provide aid for the repair of Federal-aid highways following disasters; to foster safe highway design; to replace or rehabilitate deficient or obsolete bridges; and to provide for other special purposes. This program also provides for the improvement of roads in Puerto Rico, Guam, the U.S. Virgin Islands, American Samoa, the Commonwealth of the Northern Mariana Islands and the Alaska Highway. The Federal Lands Highway Transportation Program (FLHTP), as an adjunct to the Federal Aid Highway Program, provides assistance to the Federal Land Management Agencies (FLMAs) for Federally owned roads and trails. It provides transportation engineering services and funding for planning, design, construction, and rehabilitation of the highways and bridges providing access to and on federally owned lands. The Federal Lands Highway organization also provides training, technology, deployment, engineering services, and products to other customers.

TYPES OF ASSISTANCE:

FORMULA GRANTS; PROJECT GRANTS

USES AND USE RESTRICTIONS:

Describe how assistance can potentially be used:

Federal-aid highway funds are generally apportioned by statutory formulas to the States. They are generally restricted to roads open to the public and not functionally classified as rural minor collectors or local roads. Exceptions to this highway functional classification restriction include: planning and research activities, bridges, bicycle and pedestrian paths, and safety improvements that may be on any public road, non-motorized transportation, the FLTP, and public transportation improvement. For highway projects, funds may be used for environmental studies, engineering and design services, right-of-way acquisition and relocation assistance, and construction for capital improvement projects classified as new construction, reconstruction, restoration, rehabilitation, and resurfacing, or for functional, geometric, or safety reasons. Funds may also be used for planning; research, development, and technology transfer; intelligent transportation systems projects; roadside beautification; wetland and natural habitat mitigation; traffic management and control improvements; improvements necessary to accommodate other transportation modes; development and establishment of transportation management systems; billboard removal; construction of bicycle facilities and pedestrian walkways; fringe and corridor parking; car pool and van pool projects; transportation alternatives and enhancements such as scenic and historic highway improvements; and recreational trails. Funds generally cannot be used for routine highway operational activities, such as police patrols, mowing, snow plowing, or maintenance, unless it is preventative maintenance. Also, funds authorized for the National Highway Performance Program (NHPP), Surface Transportation Program (STP), Congestion Mitigation and Air Quality (CMAQ) Improvement Program, and some additional programs may be used for mass transportation improvements. CMAQ funds are limited to projects and programs in air quality, non-attainment and maintenance areas for ozone, carbon monoxide, and small particulate matter that reduce transportation related emissions, though provision is made for States without air quality issues. Eligibility criteria for the programs differ, so program guidance should be consulted. Projects in urban areas of 50,000 or more population must be based on a transportation planning process carried out by a Metropolitan Planning Organization (MPO) in cooperation with the State and transit operators, and the projects must be included in metropolitan transportation plans and improvement programs. Projects in non-metropolitan areas of a State must be consistent with a statewide transportation plan. Projects in both metropolitan and non-metropolitan areas must also be included in a fiscally constrained Statewide Transportation Improvement Program (STIP) developed as part of the required statewide transportation planning process. The FHWA and the Federal Transit Administration (FTA) must approve the STIP jointly. Program requirements and restrictions are contained in Title 23 United States Code. There are discretionary funds remaining from previous authorizations, which may remain available until expended.

Applicant Eligibility:

By law, the Federal-aid highway program is a federally assisted State administered program that requires each State to have a suitably equipped and organized transportation department. Therefore, most projects are administered by or through State transportation departments (State DOTs). Projects to be funded under the Federal-aid highway program are generally selected by State DOTs or MPOs, in cooperation with appropriate local officials, as specified in 23 U.S.C. and implementing regulations. Territorial highway projects are funded in the same manner as other Federal aid highway projects, with the territorial transportation agency functioning in a manner similar to a State transportation department. Most FLTP projects are administered by the FHWA Office of Federal Lands Highway and its Divisions or by the various FLMAs.

Beneficiary Eligibility:

State transportation departments, and in some instances, Federal agencies, other State agencies, local agencies, and private, community-based organizations.

Credentials/Documentation:

Eligible activities and allowable costs will be determined in accordance with Title 23 and the OMB cost principles applicable to the recipient/sub-recipient. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

An environmental impact assessment is required for most projects under this program, although the level of review varies with the project. Projects under the FLHP have similar requirements; however, an interested applicant would

need to contact the designated FLMA or the local FLH Division for more information. An environmental impact assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. In general, projects/programs proposed for funding must be included in a fiscally constrained STIP, submitted by the State DOT, and approved jointly by the FHWA and the FTA. In addition, the State DOT may need to submit statements of work or plans, specifications, and estimates for certain proposed projects to the FHWA division office located in each State for approval. The FHWA has an agreement with each State that details the extent to which the State assumes the responsibilities of the FHWA for projects in that State. States may assume the responsibilities of the FHWA for oversight of design and construction for projects that are on the National Highway System, including the Interstate System, unless the State or the FHWA determines that such assumption is not appropriate. For projects that are not on the National Highway System, the State shall assume the responsibilities of the FHWA for oversight of design and construction, unless the State or FHWA determines that such assumption is not appropriate. Projects under the FLTP are subject to the metropolitan and statewide planning requirements.

Award Procedure:

The State DOTs generally decide which projects will be developed within funding levels, but the FHWA division office located in each State makes the final decision on the eligibility of specific projects. There are several categories where funding is allocated at the discretion of the Secretary of Transportation and administered by the FHWA. Candidate projects for discretionary allocations are usually solicited before the start of the Federal fiscal year (October 1) and must be submitted by the State DOTs. FHWA approval of a project and execution of a project agreement constitute a commitment to pay the Federal share of the project's allowable costs. Projects administered by the FHWA Office of FLH or the FLMAs are subject to procurement processes identified in the Federal Acquisition Regulations. Project awards are subject to the availability of funds.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 1 day to 5 months.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title 23, Part 101. Federal-aid highway funds are generally apportioned by statutory formulas to the States.

This program has no matching requirements.

This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

Federal-aid highway funds generally become available at the beginning of the fiscal year for which they are authorized and must be obligated within 3 years after the close of that fiscal year. However, some categories of funds are available until expended. See the following for information on how assistance is awarded/released: contract authority.

Reports:

No program reports are required. No cash reports are required. No progress reports are required. SF-425. Except for projects that a state has oversight responsibility for, the FHWA division office in each State monitors and reviews State projects and programs during highway project location, design and construction. After the project is completed State maintenance of the project is

reviewed periodically. Certain non-construction activities, such as transportation planning, require periodic progress reports. Similar procedures apply to the FLMAs and FLTP projects with the FHWA Office of Federal Lands Highways providing the mandatory stewardship and oversight. A value engineering analysis or other cost-reduction analysis is required for each project on the National Highway System with an estimated total cost of \$50,000,000 or more, a bridge project on the National Highway System with an estimated total cost of \$40,000,000 or more; and any other project determined to be appropriate. Annual financial plans are required for projects with an estimated total cost of \$100,000,000 or more. In addition, a project management plan must be submitted for projects with an estimated total cost of \$500,000,000 or more.

As a new requirement under the Moving Ahead for Progress in the 21st Century Act (MAP-21) states will be responsible for making significant progress toward targets of performance they set using a set of national performance measures established to carry out the National Highway Performance Program and the Highway Safety Improvement Program. States will also be required to report to FHWA on progress they have achieved toward the completion of their targets on at least a biennial frequency. FHWA will use this progress report and performance data within national sources to determine if the State has made significant progress toward the achievement of their targets. A series of rulemakings are underway currently to implement these new requirements.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Project records and documents must be retained by the State or other governmental recipients/ subrecipients as specified in 49 CFR Part 18 or by non-profit recipients/subrecipients as specified in 49 CFR Part 19. For the Federal Lands Highway Program, the Federal Lands Highway Divisions maintain the project records.

Account Identification:

69-8083-0-7-401.

Obligations:

(Salaries) FY 12 \$37,833,821,713; FY 13 est \$30,765,800,000; and FY 14 est \$40,192,800,000

Range and Average of Financial Assistance:

Federal-aid highway funds are provided to States on an annual basis, by a combination of statutory formula and discretionary allocation, stemming from multi-year authorization acts. The most authorization act being the Moving Ahead for Progress in the 21st Century Act (MAP-21).

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: In FY2012, improvements in safety, performance, and cost effectiveness of the Nation's highway infrastructure while minimizing the environmental impacts of highway construction, maintenance, and rehabilitation were accomplished. Projects completed were on highways, roads, and bridges, and included activities such as: bicycle transportation, bridge rehabilitation/replacement, disaster repairs, forest highways planning/construction, highway beautification, highway safety design, Indian reservation roads, interstate highway construction, rehabilitation, and pedestrian safety. Fiscal Year 2013: Similar to 2012. Fiscal Year 2014: Similar to 2012.

REGULATIONS, GUIDELINES, AND LITERATURE:

23 CFR, "Highways" and 49 CFR, "Transportation", and 2 CFR, Grants and Agreements. <http://www.fhwa.gov>.

Regional or Local Office:

See Regional Agency Offices. State-level division offices of the Federal Highway Administration (as listed in Appendix IV of the Catalog) or State transportation agencies.

Headquarters Office:

Director, Office of Program Administration, Federal Highway Administration,
1200 New Jersey Avenue, S.E., Washington, District of Columbia 20590
Phone: (202) 366-9494.

Website Address:

<http://www.fhwa.dot.gov>.

RELATED PROGRAMS:

20.215 Highway Training and Education; 20.219 Recreational Trails Program;
20.223 Transportation Infrastructure Finance and Innovation Act (TIFIA)
Program; 20.240 Fuel Tax Evasion-Intergovernmental Enforcement Effort;
23.003 Appalachian Development Highway System

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: The following projects occurred in FY2012:

1. Michigan: US-24 bridge over Clinton River to patch the deck and repair the abutments
2. New York: Seneca Street over Norfolk Southern Railroad for bridge replacement
3. California: Intersection of State Route 152 and Ferguson Road to realign roadway and signalize intersection. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

To be eligible, most projects must be located on public roads that are not functionally classified as minor rural collectors and local roads. The major exceptions are bridges not located on Federal-Aid highways; highway safety activities; bicycle and pedestrian projects, transportation alternatives and enhancement activities, the recreational trails program, and planning, research, development, and technology transfer. Proposed projects meeting these and other planning, design, environmental, safety, etc., requirements can be approved on the basis of State and local priorities within the limit of the funds apportioned or allocated to each State.

20.215 HIGHWAY TRAINING AND EDUCATION

National Highway Institute (NHI) Training Program
Local Technical Assistance Program/Tribal Technical Assistance Program (LTAP), (TTAP)

Dwight David Eisenhower Transportation Fellowship Program (DDETFP)
Transportation Education Development Program (TEDP)

Garrett A. Morgan Technology and Transportation Education Program (GAMTTEP)

FEDERAL AGENCY:

Federal Highway Administration (FHWA), Department of Transportation

AUTHORIZATION:

Moving Ahead for Progress in the 21st Century , Public Law 112-141, 23 U.S.C 504.

OBJECTIVES:

National Highway Institute (NHI) Training Program: To develop and administer, in cooperation with those in the highway community, educational, training, and technical assistance programs for the Federal Highway Administration, State and local highway agency employees, as well as the private sector and members of international organizations. Local Technical Assistance Program: To provide training and professional development services to local agencies and tribal governments with road management and safety responsibilities. Dwight David Eisenhower Transportation Fellowship Program (DDETFP): To attract qualified students to the field of transportation education and research, and advance transportation workforce development. Transportation Education Development Program: To develop and implement innovative transportation education and workforce development programs at all levels of transportation. Garrett A. Morgan Technology and Transportation Education Program (GAMTTEP): To improve the preparation of students, particularly women and minorities, in science, technology, engineering, and mathematics through curriculum development and other transportation-related

activities.

TYPES OF ASSISTANCE:

Cooperative Agreements; TRAINING

USES AND USE RESTRICTIONS:

National Highway Institute: Funds provided to support program/course development and delivery. Local Technical Assistance Program (LTAP): Funds provided to support an LTAP Center in each state, Puerto Rico, and seven regional Tribal Technical Assistance Centers. Eisenhower Fellowship Program: Funds provided to primarily university graduate students to support studies in transportation related areas. Transportation Education Development Program: Funds are provided on a competitive basis to institutions of higher education defined as an institution that provides at least 2 years of curriculum and offers, as a minimum, a certificate upon completion of the course work. GAMTTEP: Funds are provided on a competitive basis to state and local education agencies. States may use, with FHWA approval, funds from any one of four core programs that include the National Highway Performance Program, Surface Transportation Program, Highway Safety Improvement Program, and Congestion Mitigation/Air Quality to support any of NHI, LTAP, DDETFP, TEDP, GAMTTEP or state initiated training, education or workforce development programs. NHI, LTAP, DDETFP, TEDP, GAMTTEP: Core program funds may be used to support participation (excluding salaries) at 100% federal funding in connection with the education and training activities. Eisenhower Fellowships Program: Funds are to be used to support student tuition and associated costs. Funds cannot be used to support university overhead or indirect costs. NHI, LTAP, DDETFP, TEDP and GAMTTEP: Core funds (NHPP, STP, HSIP, CMAQ) may be used at the discretion of state transportation agencies with Federal Highway Administration approval. State Planning and Research funds are also available, at the discretion of the state, to support LTAP/TTAP Centers Program.

Applicant Eligibility:

NHI Training Program: Employees of State and local transportation agencies, private sector transportation company employees participate in NHI courses. DDETFP: Some restrictions apply. Undergraduate (juniors and seniors at Minority Institutes of Higher Education (MIHE)) and graduate students matriculating full-time at a U.S. university or college in a transportation-related discipline participate. TEDP: Private sector entities may enter into a partnership agreement with TEDP grantees. GAMTTEP: Local educational agencies and State educational agencies, which may enter into a partnership agreement with institutions of higher education, businesses, or other entities.

Beneficiary Eligibility:

None.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. LTAP: LTAP Centers established through 5 year agreements with state transportation departments. DDETFP, TEDP, GAMTTEP provided in Request for Applications set forth on Grants.gov. Cooperative Agreements for TTAP Centers are directly with FHWA on a five year cycle. TEDP and GAMTTEP application procedures through Office of Acquisitions established processes. The Dwight David Eisenhower Transportation Fellowship Program (DDETFP): Students may apply to the Program Manager, Universities and Grants Programs of Technology Partnership Programs (TPP) to compete for three award categories; annually for the Eisenhower Graduate, Grants for Research Fellowships, and Eisenhower Intern Fellowships. The remaining Eisenhower Fellowships are competed at participating MIHEs, Community Colleges and universities designed for People Living with Disabilities. MIHEs include the Historically Black Colleges and Universities (HBCUs) Hispanic Serving Institutions (HSIs), and Tribal Colleges (TCs) and selected applications are forwarded to the

Program Manager, Universities and Grants Programs of Technology Partnership Programs (TPP) for final selection

Award Procedure:

Awards are made annually for the academic year for the Dwight David Eisenhower Transportation Fellowship Program by the Director, Technology Partnership Programs and the Program Manager, Universities and Grants Programs of the Office of Technical Services based on recommendations by an application review panel. Awards for the Transportation Education Development Program are made on the basis of competitive review by the Office of Acquisitions. Awards for the Garrett A. Morgan Technology and Transportation Education Program are made on the basis of competitive review by the Office of Acquisitions.

Deadlines:

Nov 15, 2013 to Feb 15, 2014: DDETFP Graduate Fellowships: annually by mid-February. NHI Training Program: None. DDETFP Graduate Fellowships and Grants for Research Fellowships: annually by mid-February; DDETFP HBCUs, HSIs, TCs, PWDs: annually, deadlines vary. Grants for Research Fellowships and Eisenhower Intern Fellowships: Open until filled. GAMTTEP: Varies, but usually March.

Range of Approval/Disapproval Time:

NHI Training Program: None. DDETFP: 3 months (applicants acceptance/rejection period).

Appeals:

Not Applicable.

Renewals:

NHI Training Program: None. Dwight David Eisenhower Transportation Fellowship Program: as applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: Percent: 100%. LTAP Centers must match federal funds at 100%. TTAPs are not required to match federal funds. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

DDETFP on-site assignments, 6 to 12 months with extension upon approval from the Program Manager, Universities and Grants Programs; Masters degree awards, up to 2 years, not to exceed 3 years, in duration; and PhD Awards, up to 3 years-not to exceed 5 years in duration; and Bachelors degree, 1 year. See the following for information on how assistance is awarded/released: NHI, LTAP, DDETFP, TEDP, GAMTTEP funds are allotted to Office of Technical Services (OTS) through an Advice of Funds. LTAP: OTS Technology Partnership Programs allocates funds in equal amounts to FHWA Divisions that in turn provide to state transportation departments for LTAP Center management through agreements between the state and Center organization. DDETFP: lump sum. TEDP and GAMTTEP awards are provided for in Cooperative Agreements, with funds released to grantees based on invoices submitted and approved through the FHWA Office of Acquisitions.

Reports:

NHI; N/A LTAP; State transportation agency reports generally required on a periodic basis.: DDETFP, TEDP, GAMTTEP reports provided generally quarterly, based on requirements set forth in Cooperative Agreements. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

Not Applicable.

Records:

Participating agencies must keep records of expenditures/accomplishments as applicable.

Account Identification:

69-8083-0-7-401 - LTAP: Provided to state transportation agency.; DDETFP: provided to FHWA.; TEDP and GAMTTEP grantees must provide budget information as part of Cooperative Agreement.

Obligations:

(Formula Grants) FY 12 \$20,456,007; FY 13 est \$10,690,000; and FY 14 est

\$11,000,000 - FY 2013 -- FY13 and 14 funding provided through MAP-21 legislation. Remaining unobligated balances from prior years may be available.

Range and Average of Financial Assistance:

Not Applicable.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: NHI: 35,912 participants. LTAP/TTAP provided 5,550 trainings. The DDETFP awarded 220 Fellowships in 2012. Fiscal Year 2013: Projects 39,513 participates expected in 2013. LTAP/TTAP: Anticipates a similar number of participants in 2013 as 2012. DDETFP: Expects a similar number of Fellowship awards as in 2012. Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

NHI Training Program: 23 CFR, "Highways." DDETFP: The first guidelines for the DDETFP were issued in August 1992. National guidelines are reissued annually in the program announcement by the University and Grants Programs, Technology Partnership Programs, Office of Technical Services.

Regional or Local Office:

See Regional Agency Offices. State-level (division) offices of the Federal Highway Administration as listed in Appendix IV of the Catalog.

Headquarters Office:

Mike Burke 1310 N. Courthouse Rd, Suite 300, Arlington, Virginia 22201
Phone: (703) 235-0500.

Website Address:

<http://www.nhi.fhwa.dot.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Graduate Fellowships, Grants for Research Fellowships (GRF), Intern Fellowships, Historically Black Colleges and University Fellowships, Hispanic Serving Institutions Fellowships, Tribal College Fellowships, People with Disabilities Fellowships and Community College Fellowships. TEDP: On-line technician courses, career pathway program, Grade 6-12 transportation lesson plans, community college logistics software development, university graduate curriculum for traffic management. DDETFP: Graduate Fellowships, Grants for Research Fellowships (GRF), Intern Fellowships, Historically Black Colleges and University Fellowships, Hispanic Serving Institutions Fellowships, Tribal College Fellowships, People with Disabilities Fellowships and Community College Fellowships. Initial grant programs when their work is completed. Fiscal Year 2013: Training and Education programs expected to continue with similar success and contribution.

Projection: Training and Education programs expected to continue with similar success and contribution. For TEDP, Regional Workforce Centers and Career Pathway programs, state transportation agency workforce development expected to be a priority. Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

National Highway Institute Courses conducted fill major agency needs as defined by high priority areas, and are presented within time, staff, and budget constraints. LTAP: Ability to staff, develop and deliver an effective training and professional development program for local agencies;. TTAP: Ability to staff, develop and deliver an effective training and professional development program for tribal governments;. DDETFP: fellowships are awarded on competition on the basis of demonstrated merit, including pursuit of transportation related careers, academic credentials, academic recommendations, and study proposal. TEDP: Selections through competition based on quality of proposals and ability to develop and/or delivery innovative workforce development programs at all levels of transportation. GAMTTEP: Selections through competitions based on quality of proposals relative to innovative transportation education programs for underrepresented groups at K-12 grade levels.

20.218 NATIONAL MOTOR CARRIER SAFETY

MCSAP

FEDERAL AGENCY:

Federal Motor Carrier Safety Administration (FMCSA), Department of Transportation

AUTHORIZATION:

Moving Ahead for Progress in the 21st Century, Section 32601, 32603., Public Law 112-141, 49 U.S.C 31100 -31104.

OBJECTIVES:

The MCSAP is a Federal grant program that provides financial assistance to States to reduce the number and severity of accidents and hazardous materials incidents involving commercial motor vehicles (CMV). The goal of the MCSAP is to reduce CMV-involved accidents, fatalities, and injuries through consistent, uniform, and effective CMV safety programs. Investing grant monies in appropriate safety programs will increase the likelihood that safety defects, driver deficiencies, and unsafe motor carrier practices will be detected and corrected before they become contributing factors to accidents.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

The MCSAP also sets forth the conditions for participation by States and local jurisdictions and promotes the adoption and uniform enforcement of safety rules, regulations, and standards compatible with the Federal Motor Carrier Safety Regulations (FMCSRs) and Federal Hazardous Material Regulations (HMRs) for both interstate and intrastate motor carriers and drivers. MCSAP Basic program funds may be used for any eligible activity or item consistent with 49 CFR Part 350.309 and 311. (1) MCSAP High Priority funds will be allocated, at the discretion of FMCSA, to States, local governments, and other organizations that use and train qualified officers and employees in coordination with State safety to carry out activities and projects that improve Commercial Motor Vehicle (CMV) safety in compliance with CMV regulations. Funding is available for projects that are national in scope, increase public awareness and education, demonstrate new technologies and reduce the number and rate of large truck- and bus-related fatalities.

(2) MCSAP New Entrant funds will be provided to State and local governments as a grant award to conduct safety audits on interstate carriers consistent with the provisions of Title 49 of the Code of Federal Regulations (49 CFR) Part 350.321 and Part 385 Subpart D. Reference the Grants.gov funding opportunity announcement for additional information.

Applicant Eligibility:

Only the States, the District of Columbia, the Commonwealth of Puerto Rico, the Commonwealth of the Northern Mariana Islands, American Samoa, Guam, and the Virgin Islands are eligible to receive Basic MCSAP grants; only the fifty States and the District of Columbia receive Incentive grants; the Commonwealth of Puerto Rico, the Commonwealth of the Northern Mariana Islands, American Samoa, Guam, and the Virgin Islands do not receive Incentive grants.

Beneficiary Eligibility:

Under the Basic and Incentive grant programs, a State lead MCSAP agency, as designated by its Governor, is eligible to apply for Basic and Incentive grant funding by submitting a commercial vehicle safety plan (CVSP), in accordance with the provisions of Title 49 of the Code of Federal Regulations (CFR) Part 350.201 and 205.

Credentials/Documentation:

Under the Basic and Incentive grant programs, a State lead MCSAP agency, as designated by its Governor, is eligible to apply for Basic and Incentive grant funding by submitting a commercial vehicle safety plan (CVSP), in accordance with the provisions of 49 CFR 350.201 and 205. Prior to the start of each fiscal year, FMCSA calculates the amount of Basic and Incentive funding each State is expected to receive. This information is provided to the States and is made available on the Agency's website. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

As stated in Section 13 of the FMCSA Financial Assistance General Provisions and Assurances, upon execution of the grant agreement recipients agree to comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order

(EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. 1451 et seq.); (f) conformity of Federal actions to State (Clean Air) Implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. 7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205). Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applicants must register with Grants.gov to apply for funding at: www.grants.gov/applicants/get_registered.jsp. Registration with Grants.gov may take up to three weeks before the system will allow access to the grant application package. Applications should be submitted in accordance with the instructions provided and must be submitted electronically to FMCSA through the Grants.gov website.

Award Procedure:

Provide a description of the award procedure (1-15 days, 30-60 days, 90- 120) days.

Deadlines:

Aug 01, 2013 to Sep 30, 2014 Application for a grant must be filed with the FMCSA Division Office on or before August 1 of each year. The deadline may, for good cause, be extended by the Division Administrator/State Director for no more than 30 calendar days.

Range of Approval/Disapproval Time:

From 30 to 60 days. The FMCSA will notify the State, in writing, within 30 days of receipt of the Commercial Vehicle Safety Plan (CVSP) whether: (1) the plan is approved; (2) Approval of the plan is withheld because the CVSP does not meet the requirements, or is not adequate to ensure effective enforcement of the FMCSRs and HMRs or compatible State laws and regulations, (a) If approval is withheld, the State will have 30 days from the date of the notice to modify and resubmit the plan, or (b) disapproval of a resubmitted plan is final.

Appeals:

From 30 to 60 days. In accordance with the provisions set forth in 49 CFR Part 350.207, any State aggrieved by an adverse decision may seek judicial review under 5 U.S.C. Chapter 7.

Renewals:

Renewals to standard assistance agreements, if any, will be in the form of a new grant with a new grant number.

Formula and Matching Requirements:

Statutory Formula: Public Law 49 CFR 350.323. The MCSAP formula is described in 49 CFR 350.323 and is based on the most current approved statistics available. Most funds will be allocated each year among the States according to a formula based on four equally weighted (25 percent) factors: (1) road miles (all highways) as defined by the FHWA; (2) All vehicle miles traveled as defined by the FHWA; (3) Population - annual census estimates as issued by the U. S. Census Bureau; and (4) special fuel consumption (net after reciprocity adjustment) as defined by the FHWA.

Matching Requirements: Percent: 80.%. The FMCSA has waived the requirement for matching funds to be provided by the U.S. Virgin Islands, American Samoa, Guam, and the Commonwealth of the Northern Marianas. In accordance with the provisions set forth in 49 CFR 350.303; (a) the FMCSA will reimburse up to 80 percent of the eligible costs incurred in the administration of an approved CVSP. (b) In-kind contributions are acceptable in meeting the State's matching share if they represent eligible costs as established by 49 CFR part 18 or agency policy.

This program has MOE requirements, see funding agency for further details. The State must maintain the average level of expenditure of the State lead agency (not including amounts of the Government or State matching funds) for commercial motor vehicle safety programs, for enforcement of commercial

motor vehicle size and weight limitations, drug interdiction, and State traffic safety laws and regulations for the fiscal years 2004 and 2005.

Length and Time Phasing of Assistance:

Yes. The funds obligated to a State will remain available for the rest of the fiscal year in which they were obligated and the next full fiscal year. The State must account for any prior year's unexpended funds in the annual Commercial Vehicle Safety Plan (CVSP). Funds must be expended in the order in which they are obligated. Method of awarding/releasing assistance: lump sum.

Reports:

Reporting requirements for recipients are outlined in the FMCSA Financial Assistance Agreement General Provisions and Assurances. Program reports consist of quarterly performance progress status and financial reports no later than 30 days following the end of the quarter being reported. Progress Reports include the Performance Progress Report Coversheet (SF-PPR), together with MCSAP Formula SF-PPR Program Monitoring Report attachment. Financial Reporting requirements include quarterly submission of the Federal Financial Report (SF-FFR, also known as the SF-425) and at least quarterly submission of the Request of reimbursement form (SF-270). No cash reports are required. A quarterly Activity Report is to be filed with the FMCSA Division Office. SF-425 -- quarterly. A quarterly Activity Report is to be filed with the FMCSA Division Office. Reporting requirements are outlined in the grant agreement terms and conditions and consists of quarterly performance progress status and federal financial reports. Progress Reports include the Performance Progress Report Coversheet (SF-PPR), together with the MCSAP Formula Monitoring Report attachment. Financial Reporting requirements include quarterly submission of the Federal Financial Report (SF-FFR, also known as the SF-425) and at least quarterly submission of the Request for Reimbursement form (SF-270). Reports are to be submitted quarterly to the FMCSA Division Office.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Project closeout will not alter the Recipient's audit responsibilities. Audit costs for Project administration and management are allowable under this Project to the extent authorized by OMB Circular A-87, revised; OMB Circular A-21, Revised; or OMB Circular A-122, Revised. The Recipient agrees to permit FMCSA, the Secretary of Transportation and the Comptroller General of the United States, or their authorized representatives, to inspect all Project work, materials, payrolls, and other data, and to audit the books, records, and accounts of the Recipient and its contractors pertaining to the Project. The Recipient agrees to require each third party contractor, whose contract award is not based on competitive bidding procedures, as defined by the Secretary to permit the Secretary of Transportation and the Comptroller General of the United States, or their duly authorized representatives, to inspect all work, materials, payrolls, and other data and records involving that contract, and to audit the books, records, and accounts involving that contract as it affects the Project.

Records:

The recipient shall retain records related to the approved project for the specified time periods as specified in the grant agreement and 49 CFR 18.42 or 49 CFR 19.53, as applicable. The recipient shall make available to FMCSA copies of all work developed in performance with the grant, including but not limited to software and data.

Account Identification:

69-8159-0-7-401.

Obligations:

(Salaries) FY 12 \$209,350,000; FY 13 est \$211,399,208; and FY 14 est \$215,000,000

Range and Average of Financial Assistance:

Current Fiscal Year:

2013 - For High Priority the FY 2013 awards ranged from \$10,000 to \$836,000.

For Basic and Incentive, awards ranged from \$350,000 to \$8,217,235. The New Entrant awards ranged from \$100,000 to 1,500,000.

Past Fiscal Year:

2012 - For High Priority the FY2012 awards ranged from \$50,000 to \$1,400,000. The Basic and Incentive awards ranged from \$350,000 to \$6,131,283. The New Entrant awards ranged from \$100,000 to 1,500,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Basic and Incentive funds were awarded by formula to States, the US Virgin Islands, Guam, American Samoa, Puerto Rico, the Northern Marianas, and the District of Columbia to perform driver/vehicle inspections, traffic enforcement, and compliance reviews; to collect CMV safety data; and to support public education and awareness of CMV safety. High Priority funds were awarded to States. Local jurisdictions, and to organizations that use and train qualified officers and employees in coordination with State safety agencies, using a competitive application process. Funding is being used to implement, promote, and maintain national programs to improve CMV safety; to increase compliance with CMV safety regulations; to increase public awareness and provide education about CMV safety and related issues; and to demonstrate new safety related technologies. New Entrant funds were awarded to States and local governments by competitive application to conduct safety audits on interstate carriers consistent with the provisions of Title 49 of the Code of Federal Regulations (49 CFR) Part 350.321 and Part 385 Subpart D. Safety audits conducted on intrastate motor carriers are not eligible for reimbursement under the New Entrant grant program. Fiscal Year 2013: Basic and Incentive funds are awarded by formula to States, the US Virgin Islands, Guam, American Samoa, Puerto Rico, the Northern Marianas, and the District of Columbia to perform driver/vehicle inspections, traffic enforcement, and compliance reviews; to collect CMV safety data; and to support public education and awareness of CMV safety. High Priority funds are awarded to States. Local jurisdictions, and to organizations that use and train qualified officers and employees in coordination with State safety agencies, using a competitive application process. Funding may be used to implement, promote, and maintain national programs to improve CMV safety; to increase compliance with CMV safety regulations; to increase public awareness and provide education about CMV safety and related issues; and to demonstrate new safety related technologies. New Entrant funds are awarded to States and local governments by competitive application to conduct safety audits on interstate carriers consistent with the provisions of Title 49 of the Code of Federal Regulations (49 CFR) Part 350.321 and Part 385 Subpart D. Safety audits conducted on intrastate motor carriers are not eligible for reimbursement under the New Entrant grant program. Fiscal Year 2014: Similar projects and program accomplishments are expected.

REGULATIONS, GUIDELINES, AND LITERATURE:

The fiscal year 2012 MCSAP planning memo, presentation, grant reporting forms, and other useful information pertaining to the program may be obtained from the MCSAP website specified below.

49 CFR Part 350: Commercial Motor Carrier Safety Assistance Program; 49 CFR Part 18: Uniform Administrative Requirements for Grants and Cooperative Agreements to States and Local Governments; OMB Circular A-87 Cost Principles for State, Local and Tribal Governments; Grants.gov for finding and applying for grants; Federal Register notice for announcements and information; and Title IV: Motor Carrier Safety of the Safe, Accountable, Flexible Efficient Transportation Equity Act A Legacy for Users (SAFTEA-LU) Section 4101 and 4106.

Regional or Local Office:

See Regional Agency Offices. State-level office of the Federal Motor Carrier Safety Administration as listed on the FMCSA website at <http://www.fmcsa.dot.gov/about/contact/offices/displayfieldroster.asp>.

Headquarters Office:

Karen Brooks 1200 New Jersey Ave., SE, Washington, District of Columbia 20590 Email: karen.brooks@dot.gov Phone: 202-465-5641 Fax: (202) 366-7980

Website Address:

<http://www.fmcsa.dot.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Basic and Incentive grants were awarded to all 50 States, to the District of Columbia, and to Guam, Puerto Rico, the Northern Marianas, and American Samoa to fund required national program elements, such as traffic enforcement and safety data collection, and for State-specific objectives, such as increased motorcoach safety enforcement, hazardous materials safety enforcement, and training for enforcement personnel. High Priority grant funds were awarded for CMV enforcement, evaluation and public education and outreach, such as the Ticketing Aggressive Cars and Trucks model program; create, train, and equip a new motorcoach safety enforcement unit; for public education on the safety issues associated with young or inexperienced drivers interacting with CMVs; for safety enforcement that emphasized CMV safety in work zones and on rural roads and for the purchase of equipment to improved data collection timeliness, consistence, efficiency, and accuracy. State agencies use New Entrant grant funds for expenses, including salaries and related expenses of employees performing interstate New Entrant audits, training, and performing other eligible activities that are directly related to conducting safety audits. Fiscal Year 2013: Basic and Incentive grants will be awarded to all 50 States, to the District of Columbia, and to Guam, Puerto Rico, the Northern Marianas, and American Samoa to fund required national program elements, such as traffic enforcement and safety data collection, and for State-specific objectives, such as increased motorcoach safety enforcement, hazardous materials safety enforcement, and training for enforcement personnel. High Priority grant funds were awarded for CMV enforcement, evaluation and public education and outreach, such as the Ticketing Aggressive Cars and Trucks model program; create, train, and equip a new motorcoach safety enforcement unit; for public education on the safety issues associated with young or inexperienced drivers interacting with CMVs; goal of conducting 80 special inspection details for CMV and motorcoach inspections and enforcing distracted driving and seatbelt laws; for safety enforcement that emphasized CMV safety in work zones and on rural roads; providing research, guidance, and project resources for the Teens in the Driver Seat peer-to peer program for teens that focuses solely on traffic safety and addresses major risks for this age group; and for the purchase of equipment to improved data collection timeliness, consistence, efficiency, and accuracy. State agencies use New Entrant grant funds for expenses, including salaries and related expenses of employees performing interstate New Entrant audits, training, and performing other eligible activities that are directly related to conducting safety audits. Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Addresses the programs national priorities and demonstrates knowledge of the program and potential barriers to successfully meeting those priorities. Defines specific, measureable, and feasible program goals and objectives. Outlines activities and accompanying timelines to achieve the projects goals. Defines a plan to monitor the effective implementation of the strategies and plans to document the project outcomes. Documents infrastructure and other organizational information to demonstrate an ability to perform the activities proposed in the application. Includes proposed costs that are allocable, allowable, reasonable, and necessary. Addresses program-specific requirements established in the Notice of Funding Availability.

20.219 RECREATIONAL TRAILS PROGRAM**FEDERAL AGENCY:**

Federal Highway Administration (FHWA), Department of Transportation

AUTHORIZATION:

Progress in the 21st Century Act (MAP-21), Public Law 112-141, 23 U.S.C 104, 206, 213.

OBJECTIVES:

To provide funds to the States to develop and maintain recreational trails and trail-related facilities for both nonmotorized and motorized recreational trail uses. The funds represent a portion of the motor fuel excise tax collected from nonhighway recreational fuel use.

TYPES OF ASSISTANCE:

Formula Grants; Formula Grants (Apportionments)

USES AND USE RESTRICTIONS:

Funds from this program may be used for: (1) Maintenance and restoration of existing trails; (2) development and rehabilitation of trailside and trailhead facilities and trail linkages; (3) purchase and lease of trail construction and maintenance equipment; (4) construction of new trails (with restrictions for new trails on Federal lands); (5) acquisition of easements or property for trails or trail corridors; (6) assessment of trail conditions for accessibility and maintenance; (7) development and dissemination of publications and operation of educational programs to promote safety and environmental protection (as those objectives relate to one or more of the use of recreational trails, supporting non-law enforcement trail safety and trail use monitoring patrol programs, and providing trail-related training), (limited to 5 percent of a State's funds); and (8) State administrative costs related to this program (limited to 7 percent of a State's funds). Funds may not be used for: property condemnation; constructing new trails for motorized use on National Forest or Bureau of Land Management lands unless the project is consistent with resource management plans; or facilitating motorized access on otherwise non motorized trails. The USDOT encourages States to enter into contracts and cooperative agreements with qualified youth conservation or service corps to perform construction and maintenance of recreational trails under this program. Funds from this program may be used for: (1) Maintenance and restoration of existing trails; (2) development and rehabilitation of trailside and trailhead facilities and trail linkages; (3) purchase and lease of trail construction and maintenance equipment; (4) construction of new trails (with restrictions for new trails on Federal lands); (5) acquisition of easements or property for trails or trail corridors; (6) assessment of trail conditions for accessibility and maintenance; (7) development and dissemination of publications and operation of educational programs to promote safety and environmental protection (as those objectives relate to one or more of the use of recreational trails, supporting non-law enforcement trail safety and trail use monitoring patrol programs, and providing trail-related training), (limited to 5 percent of a State's funds); and (8) State administrative costs related to this program (limited to 7 percent of a State's funds).

Applicant Eligibility:

The Governor of each State must designate the State agency or agencies responsible for administering this program. The State must have a State recreational trail advisory committee that represents both motorized and nonmotorized recreational trail users, which shall meet not less than once per fiscal year. If the State Recreational Trail Advisory Committee does not meet in a fiscal year, or does not have required representation, the State becomes ineligible for an apportionment. The State agency may accept project proposals from private organizations, or from municipal, county, State, or Federal government entities, and other government entities. The projects must satisfy one or more of the permissible uses.

Beneficiary Eligibility:

The State agency designated by the Governor. A State may opt out of the Recreational Trails Program if the Governor of the State notifies the Secretary not later than 30 days prior to apportionments being made for any fiscal year.

Credentials/Documentation:

Allowable costs will be determined in accordance with applicable cost principles listed in 49 CFR Part 18.22 for the kind of organization receiving the grant or sub-grant. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Almost all Recreational Trails Program (RTP) projects qualify as Categorical Exclusions under the National Environmental Policy Act (NEPA). Some projects may require Environmental Assessments. Projects that may have a significant environmental impact may require Environmental Impact Statements. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This

program is excluded from coverage under OMB Circular No. A-110. The States must submit project proposals to the FHWA division office located in each State for approval. The State may submit individual projects or consolidate similar projects for the purposes of program approval. FHWA approval constitutes a commitment to pay the Federal share of the project's cost. The State's projects also must be included in State transportation improvement programs and applicable metropolitan transportation improvement programs.

Award Procedure:

The State agency or agencies designated by the Governors decide which projects will be developed within funding levels, but the FHWA division office located in each State makes the final decision on the eligibility of specific projects for funding.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 5 days to 5 months. In some States, requirements for projects to be listed in statewide or metropolitan transportation improvement programs may add up to a year of delay.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title 23 U.S.C., Part 206, and 213(f) and (g), Public Law 112-141. 206, and 213(f) and (g). See

http://www.fhwa.dot.gov/environment/recreational_trails/legislation/.

Matching Requirements: Percent: 80.%. The Federal share is subject to a sliding scale under 23 U.S.C. 120(b). Funds from any other Federal program may be used for the non-Federal match if the project also is eligible under the other program. Recreational Trails Program funds may be used to match other Federal funds if the project also is eligible under the other program. States also may allow a programmatic match: if some project sponsors in a State provide more match funds than required, other sponsors in the State may provide less. Some in-kind materials and services may be credited toward the project match. States may allow some pre-approval planning and environmental assessment costs toward the project match. See

http://www.fhwa.dot.gov/environment/recreational_trails/rtp_te_update/2005/december/matchingfunds.cfm.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Funds generally become available at the beginning of the fiscal year for which they are authorized, and must be obligated within 3 years after the close of that fiscal year. See the following for information on how assistance is awarded/released: The method of money release varies case by case.

Reports:

No reports are required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Project records and documents must be retained by the State for 3 years following the final submission for Federal payment in accordance with 49 CFR 18.41.

Account Identification:

69-8083-0-7-401.

Obligations:

(Formula Grants (Apportionments)) FY 12 \$69,159,980; FY 13 est

\$37,500,000; and FY 14 est \$37,500,000 - The Recreational Trails Program continued for FY 2013 and 2014 as a set-aside of funds under the Transportation Alternatives Program (20.205). A State may choose to opt out of the program in a fiscal year. Remaining unobligated balances from prior years may be available.

Range and Average of Financial Assistance:

Apportionments to the States are based on statutory formula. All 50 States and the District of Columbia are eligible to receive apportionments. For fiscal year 2012, \$ 78,569,033 was apportioned; awards ranged from \$770,285 to \$5,373,790; the average was \$1,540,569. See <http://www.fhwa.dot.gov/legregs/directives/notices/n4510758/n4510758t19.htm>. For FY 2013: \$83,151,763 was potentially available; \$79,212,739 was apportioned (2 States opted out for FY 2013). Awards ranged from \$0 (for States that opted out) to \$815,213 (for States that did not opt out) to \$5,744,676; the average was \$1,616,586.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: One thousand projects were funded annually. A link to a project database is available through http://www.fhwa.dot.gov/environment/recreational_trails/. Project examples are at <http://www.americantrails.org/awards/CRTawards.html>. Fiscal Year 2013: The Recreational Trails Program (RTP) will provide funds to the States to develop and maintain recreational trails and trail-related facilities for both nonmotorized and motorized recreational trail uses. Examples of trail uses include hiking, bicycling, in-line skating, equestrian use, cross-country skiing, snowmobiling, off-road motorcycling, all-terrain vehicle riding, four-wheel driving, or using other off-road motorized vehicles. Fiscal Year 2014: Same as FY 2012 and 2013.

REGULATIONS, GUIDELINES, AND LITERATURE:

23 CFR, Highways and 49 CFR, Transportation. There are no regulations specifically for this program. Program guidance was completed on April 1, 1999; and is posted at http://www.fhwa.dot.gov/environment/recreational_trails/guidance.htm along with program updates. Program guidance under MAP-21 is posted at <http://www.fhwa.dot.gov/map21/guidance/guidetap.cfm> with Questions and Answers at <http://www.fhwa.dot.gov/map21/qandas/qatap.cfm>.

Regional or Local Office:

See Regional Agency Offices. State-level offices of the Federal Highway Administration (as listed in Appendix IV of the Catalog) or the State agency designated by the Governor to administer this program.

Headquarters Office:

Christopher B. Douwes 1200 New Jersey Ave S.E., Washington, District of Columbia 20590 Email: christopher.douwes@dot.gov Phone: (202) 366-5013 Fax: (202) 366-3409

Website Address:

http://www.fhwa.dot.gov/environment/recreational_trails/

RELATED PROGRAMS:

20.205 Highway Planning and Construction

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Examples of projects are located in a link to a project database which is available through http://www.fhwa.dot.gov/environment/recreational_trails/. Project examples are at <http://www.americantrails.org/awards/CRTawards.html>. Fiscal Year 2013: Examples of trail uses include hiking, bicycling, in-line skating, equestrian use, cross-country skiing, snowmobiling, off-road motorcycling, all-terrain vehicle riding, four-wheel driving, or using other off-road motorized vehicles. Fiscal Year 2014: Similar projects will be completed nationwide as in FY 2012 and 2013.

CRITERIA FOR SELECTING PROPOSALS:

Each State (and the District of Columbia) solicits and selects projects for funding. To be eligible, projects must be selected on the basis of State priorities within the limit of the funds apportioned to each State.

20.223 TRANSPORTATION INFRASTRUCTURE FINANCE AND INNOVATION ACT (TIFIA) PROGRAM

TIFIA

FEDERAL AGENCY:

Federal Highway Administration (FHWA), Department of Transportation

AUTHORIZATION:

Moving Ahead for Progress in the 21st Century Act (MAP-21) , Public Law 112-141, 23 U.S.C 601-609.

OBJECTIVES:

To finance projects of national or regional significance by filling market gaps and leveraging substantial non-Federal and private co-investment. TIFIA credit assistance is intended to facilitate the financing of projects that would otherwise have been significantly delayed because of funding limitations or difficulties accessing the capital markets. Through TIFIA, the DOT provides Federal credit assistance to eligible highway, transit, rail, and intermodal freight projects, including access to seaports.

TYPES OF ASSISTANCE:

DIRECT LOANS; GUARANTEED/INSURED LOANS

USES AND USE RESTRICTIONS:

Highway, transit, rail, freight facilities, and certain port projects may receive credit assistance through the TIFIA program. The TIFIA statute requires all projects receiving TIFIA assistance to comply with 23 U.S.C. (for highway projects) and chapter 53 of 49 U.S.C. (for transit projects), as applicable. In addition, all projects receiving TIFIA assistance must comply with generally applicable Federal laws and regulations, including Title VI of the Civil Rights Act of 1964, the National Environmental Policy Act of 1969, and the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970.

Applicant Eligibility:

Public or private entities seeking to finance, design, construct, own, or operate an eligible surface transportation project may apply for TIFIA assistance. Examples of such entities include state departments of transportation; local governments; transit agencies; special authorities; special districts; railroad companies; and private firms or consortia that may include companies specializing in engineering, construction, materials, and/or the operation of transportation facilities.

Beneficiary Eligibility:

Public or private entities seeking to finance, design, construct, own, or operate an eligible surface transportation project. Examples include state departments of transportation; local governments; transit agencies; special authorities; special districts; railroad companies; and private firms or consortia that may include companies specializing in engineering, construction, materials, and/or the operation of transportation facilities.

Credentials/Documentation:

All applicants must demonstrate relevant experience, strong qualifications, a sound project approach, and a project that can demonstrate financial feasibility. Applicants also must meet various Federal standards for participation in a Federal credit program. For example, applicant may not be delinquent or in default on any Federal debts. Such requirements will be specified in the contractual documents between the DOT and each applicant. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

For more information, please visit the TIFIA website at <http://www.fhwa.dot.gov/ipd/tifia/>. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Upon receiving the DOT's notification that the project meets the basic eligibility criteria, the applicant may submit an application. An application fee must be submitted with the proposal. The application form is available on the TIFIA web site at <http://tifia.fhwa.dot.gov/>.

Award Procedure:

Final approval is granted by the Secretary of Transportation.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

After a complete application has been filed with all supporting documents, processing time is approximately 90 days. The average time to execute a TIFIA agreement from the date of Secretarial approval is 1 to 6 months.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The maximum maturity of all TIFIA credit instruments is 35 years after a project's substantial completion. Method of awarding/releasing assistance: lump sum.

Reports:

Not Applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. See Index of Current Regulations, Federal Highway Administration.

Records:

Documentary evidence that may be requested for each project includes: audited financial statements, updated budget and cash flow projections, audit reports, sources and uses of funds, coverage ratios, project schedules, operating statistics, and management updates (within no more than 180 days following the recipient's fiscal year-end). In addition, the credit agreement obligates the borrower to provide the DOT an annual update to the project's financial plan in accordance with specified requirements. Each borrower will be required to give notice to the DOT of material events, including litigation, which could affect project development or the credit quality of the project.

Account Identification:

69-4145-0-3-401; 69-4173-0-3-401; 69-4123-0-3-401.

Obligations:

(Salaries) FY 12 \$1,187,235,189; FY 13 est \$10,390,605,095; and FY 14 est \$9,800,000,000

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Information on TIFIA and current program accomplishments is available on the TIFIA website at <http://www.fhwa.dot.gov/ipd/tifia/>. Fiscal Year 2013: There are currently 19 projects in the TIFIA pipeline moving toward financial close. TIFIA expects that a majority of these projects will receive credit commitments in FY 2014. Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

See TIFIA website at http://www.fhwa.dot.gov/ipd/tifia/legislation_regulations/index.htm.

Regional or Local Office:

None.

Headquarters Office:

Duane Callender 1200 New Jersey Ave S.E., Room E, Washington, District of Columbia 20590 Phone: (202) 366-9644.

Website Address:

<http://www.fhwa.dot.gov/ipd/tifia/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Information on TIFIA and current program accomplishments is available on the TIFIA website at <http://www.fhwa.dot.gov/ipd/tifia/>. Fiscal Year 2013: There are currently 19 projects in the TIFIA pipeline moving toward financial close. TIFIA expects that a majority of these projects will receive credit commitments in FY 2014. Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The DOT has assigned specific weights to the selection criteria, as follows: National or Regional Significance (20 percent); Private Participation (20 percent); Environment (20 percent); Project Acceleration (12.5 percent); Creditworthiness (12.5 percent); Use of Technology (5 percent); Consumption of Budget Authority (5 percent); Reduced Federal Grant Assistance (5 percent).

20.231 PERFORMANCE AND REGISTRATION INFORMATION SYSTEMS MANAGEMENT

PRISM

FEDERAL AGENCY:

Federal Motor Carrier Safety Administration (FMCSA), Department of Transportation

AUTHORIZATION:

Moving Ahead for Progress in the 21st Century, Section 32602, 32603(c), Public Law 112-141, 49 U.S.C 405, 807 (2012).

OBJECTIVES:

This program links Federal Motor Carrier Safety information systems with State commercial vehicle registration and licensing systems and enables a State to (1) determine the safety fitness of a motor carrier or registrant when licensing or registering the applicant of motor carrier or while the license or registration is in effect; and (2) deny, suspend, or revoke the commercial motor vehicle registrations of a motor carrier or registrant that has been issued an operation out-of-service order by the Federal Motor Carrier Safety Administration (FMCSA).

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Financial assistance to States for the implementation of the PRISM program to (A) comply with the uniform policies, procedures, and technical and operational standards; (B) possess or seek the authority to possess for a time period no longer than determined reasonable by the Secretary, to impose sanctions relating to commercial motor vehicle registration on the basis of a Federal safety fitness determination; and (C) establish and implement a process to cancel the motor vehicle registration and seize the registration plates of a vehicle when an employer is found liable under section 31310 (i)(2)(C) for knowingly allowing or requiring an employee to operate such a commercial motor vehicle in violation of an out-of-service order. Financial assistance to States for the implementation of the PRISM program to (A) comply with the uniform policies, procedures, and technical and operational standards; (B) possess or seek the authority to possess for a time period no longer than determined reasonable by the Secretary, to impose sanctions relating to commercial motor vehicle registration on the basis of a Federal safety fitness determination; and (C) establish and implement a process to cancel the motor vehicle registration and seize the registration plates of a vehicle when an employer is found liable under section 31310 (i)(2)(C) for knowingly allowing or requiring an employee to operate such a commercial motor vehicle in violation of an out-of-service order. PRISM grants are 100 percent federally funded and require no State matching of funds.

Applicant Eligibility:

The FMCSA may award these grants to the agencies of States, the District of Columbia, the Commonwealth of Puerto Rico, the Commonwealth of the Northern Mariana Islands, American Samoa, Guam, and the U.S. Virgin Islands. According to 49 U.S.C. 31106(d), FMCSA may use PRISM to fund other

Federal departments, agencies, and instrumentalities, or by making grants to, and entering into contracts and cooperative agreements with, States, local governments, associations, institutions, corporations, and other persons."

Beneficiary Eligibility:

Eligible applicants include State agencies located in one of the fifty States, the District of Columbia, Puerto Rico, Northern Mariana Islands, American Samoa, Guam, and the U.S. Virgin Islands. Applicants must work on highway traffic safety activities and must demonstrate a capacity to work with highway traffic safety stakeholders.

Credentials/Documentation:

No Credentials or documentation are required. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

As stated in Section 13 of the FMCSA Financial Assistance General Provisions and Assurances, upon execution of the grant agreement recipients agree to comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. 1451 et seq.); (f) conformity of Federal actions to State (Clean Air) Implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. 7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205). Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Applicants must register with Grants.gov to apply for funding at: www.grants.gov/applicants/get_registered.jsp. Registration with grants.gov may take up to three weeks before the system will allow access to the grant application package. Applications should be submitted in accordance with the instructions provided and must be submitted electronically to FMCSA through the Grants.gov website.

Award Procedure:

The amount of the PRISM grant funding allocated to a qualifying State will be determined by the Federal Motor Carrier Safety Administration (FMCSA) based on the assessed needs of the State, the eligibility of the State, and the availability of funds. Once the State's PRISM Implementation Plan has been approved, the grant will be awarded and the State will be required to sign a grant agreement with FMCSA.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

90-120 days of the submission deadline (subject to availability of funds) or as soon thereafter as administratively practicable.

Appeals:

Not Applicable.

Renewals:

The funds are available for expenditure as stipulated in the grant agreement and the terms and conditions.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The initial grant period of performance is typically 36 months; grant program extensions are allowable with FMCSAs approval and funds that remain active (no lapse in the period of performance) are available until expended. Method of awarding/releasing assistance: lump sum.

Reports:

Reporting requirements for PRISM recipients are outlined in the grant agreement terms and conditions and consists of quarterly performance progress status and financial reports no later than 30 days following the end of the quarter being reported. Progress Reports include the Performance Progress Report Coversheet (SF-PPR), together with SF-PPR-B_PRISM attachment. Financial Reporting requirements include quarterly submission of the Federal Financial Report (SF-FFR, also known as the SF-425) and at least quarterly submission of the Request of reimbursement form (SF-270). No cash reports are required. Progress reports are required quarterly. SF 425. Performance monitoring reports are submitted in the form of status reports.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. The Recipient agrees to obtain any other audits required by FMCSA. Project closeout will not alter the Recipient's audit responsibilities. Audit costs for Project administration and management are allowable under this Project to the extent authorized by OMB Circular A-87, revised; OMB Circular A-21, Revised; or OMB Circular A-122, Revised. The Recipient agrees to permit FMCSA, the Secretary of Transportation and the Comptroller General of the United States, or their authorized representatives, to inspect all Project work, materials, payrolls, and other data, and to audit the books, records, and accounts of the Recipient and its contractors pertaining to the Project. The Recipient agrees to require each third-party contractor whose contract award is not based on competitive bidding procedures as defined by the Secretary to permit the Secretary of Transportation and the Comptroller General of the United States, or their duly authorized representatives, to inspect all work, materials, payrolls, and other data and records involving that contract, and to audit the books, records, and accounts involving that contract as it affects the Project

Records:

The recipient shall retain records related to the approved project for the annotated time periods as specified in the grant agreement and 49 CFR 18.42 or 49 CFR 19.53, as applicable. The recipient shall make available to FMCSA copies of all work developed in performance with the grant, including but not limited to software and data.

Account Identification:

69-8158-0-7-401.

Obligations:

(Project Grants) FY 12 \$5,000,000; FY 13 est \$5,000,000; and FY 14 est \$5,000,000

Range and Average of Financial Assistance:

Awards can range from \$100,000 to \$750,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: In 2012, the Agency will perform six PRISM State implementation reviews to measure their performance in the program and engage three States that have not yet decided to participate. Fiscal Year 2013: It is estimated that \$5,000,000 will be available in grant funds for vehicle registration system and law enforcement technology enhancements. Three new States implemented State vehicle registration department connectivity to PRISM and have begun suspending and denying vehicle registration to motor carriers on the basis of a Federal (FMCSA) safety fitness determination (a Federal Out-of-Service Order). Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

The PRISM Implementation Guide, Procedures Manual, System Specifications, State Training Materials, and other useful information may be obtained from the PRISM website specified below. Performance and Registration Information Systems Management (PRISM) grants funding authorization was part of the contract authority for information systems codified at 49 U.S.C. 31107.

Regional or Local Office:

See Regional Agency Offices. State Division Offices of the Federal Motor Carrier Safety Administration, as listed on the FMCSA website (www.fmcsa.dot.gov).

Headquarters Office:

Steve Parker 1200 New Jersey Ave., SE, Washington, District of Columbia 20590 Email: stephen.parker@dot.gov Phone: (202) 366-6407 Fax: (202) 366-7980

Website Address:

<http://www.fmcsa.dot.gov/safety-security/prism/prism.htm>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Grant funds will be awarded to modernize State vehicle registration systems and use technology to target enforcement efforts. Fiscal Year 2013: It is estimated that \$5,000,000 will be available in grant funds to provide the ability for States to query registration files by United States Department of Transportation (USDOT) number of the motor carrier responsible for safety. These funds will also enable States to obtain registration records details, identify vehicles assigned to carriers with safety events, and assign priority for vehicle inspections. Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The applicant must submit a State PRISM Implementation Plan specifying how the State will meet the program requirements, timeframes for completion, and associated costs of the program.

20.232 COMMERCIAL DRIVER'S LICENSE PROGRAM IMPROVEMENT GRANT

Commercial Driver License Program Improvement Grant

FEDERAL AGENCY:

Federal Motor Carrier Safety Administration (FMCSA), Department of Transportation

AUTHORIZATION:

Moving Ahead for Progress in the 21st Century, Pub. L. No.112141, 32603(c), 32604 (c)(1), Public Law 112-141, 126 Stat. 405, 807- 809.

OBJECTIVES:

The Federal Motor Carrier Safety Administrations objective is to achieve the goals of SAFETEA-LU and the FMCSA mission of reducing the number and severity of crashes, fatalities, and injuries involving large trucks and buses by ensuring that States comply with the Federal Motor Carrier Safety Regulations related to commercial drivers license testing, issuance, and disqualification.

TYPES OF ASSISTANCE:

Cooperative Agreements; Project Grants; Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

A State may use grants only for expenses directly related to its compliance with 49 USC 31311 (49 CFR Parts 383 and 384); but a State that is making good faith efforts toward substantial compliance with the requirements of 31311 and 31313 of SAFETEA-LU may use grants to improve implementation of the State's commercial driver's license program, including expenses for computer hardware and software, publications, testing, personnel, training, fraud prevention, and quality control. The grant may not be used to rent, lease, or buy land or buildings. States, local governments, and other persons for projects involving research, development, demonstration projects, public education, and other special activities and projects relating to commercial driver licensing and motor vehicle safety that are of benefit to all jurisdictions of the United States or are designed to address national safety concerns and circumstances. States, local governments, and other persons may use grant funds to address emerging

issues relating to commercial driver's license improvements. The grant may not be used to rent, lease, or buy land or buildings. 100% funding; no match required.

Applicant Eligibility:

States may receive grant funds to comply with the requirements of section 31311 of SAFETEA-LU or if making good faith efforts toward substantial compliance with the requirements of section 31311 and 31313 receive grant funds to improve implementation of the commercial driver's license program. States, local governments, and other persons for projects involving research, development, demonstration projects, public education, and other special activities and projects relating to commercial driver licensing and motor vehicle safety that are of benefit to all jurisdictions of the United States or are designed to address national safety concerns and circumstances. States, local governments, and other persons may use grant funds to address emerging issues relating to commercial driver's license improvements.

Beneficiary Eligibility:

- 14 - State (includes District of Columbia, public institutions of higher education and hospitals)
- 15 Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals)
- 20 Public nonprofit institution/organization
- 38 other private institutions/organizations
- 39 anyone/general public.

Credentials/Documentation:

Certification by the State that it meets the conditions for grant approval. States must submit a self assessment of their CDL program and agree to maintain CDL program expenditures at a level at least equal to the average of the past two State fiscal years that ended prior to August 10, 2005. Costs will be determined in accordance with OMB Circular A-87. Non-State grantees must adhere to the applicable OMB Circulars. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Detailed application instructions and forms are available on Grants.gov. Applications must be submitted using Grants.gov

Award Procedure:

The amount of the CDL grant to a qualifying State will be determined by the CDL Division based on the documented needs of the State and the availability of funds. The Federal Register Notice will outline the current year's priorities. All proposals will be evaluated by a panel to determine which proposals have the greatest impact on the effectiveness of the CDL program in improving highway safety and reducing commercial motor vehicle related fatalities. States will be required to sign a grant agreement. This is a 90 day process.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

This is a 90 day process.

Appeals:

Not Applicable.

Renewals:

Grant period of performance may be renewed without additional funding according to the requirements of the FMCSA Financial Assistance Agreement General Provisions and Assurance and other applicable OMB Circulars and Federal law.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. This program has no matching requirements. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants must be obligated in the same fiscal year that funding is made available. Obligations remain available until expended within the constraints of the FMCSA Financial Assistance Agreement General Provisions and Assurance and other applicable OMB Circulars and Federal law. See the following for information on how assistance is awarded/released: Reimbursement of actual expenditures.

Reports:

SF-425 and SF-PPR-B. No cash reports are required. Project activity reports (SF-PPR) must be submitted quarterly to the FMCSA Division office. SF-425. Through reports and site visits.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records of all program activities covered in the grant proposal. Such records must be kept for 3 years following the end of the grant project period.

Account Identification:

69-8158-0-7-401.

Obligations:

(Salaries) FY 12 \$29,940,000; FY 13 est \$29,940,000; and FY 14 est \$30,000,000

Range and Average of Financial Assistance:

\$20,000 - \$1,500,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Over \$29 million awarded for 53 programs which included: expansive training efforts for implementation of the 2005 Testing Model, covert monitoring of independent third party testers and implementation of testing kiosks. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

49 CFR Part 383 and 384

Regional or Local Office:

None.

Headquarters Office:

James Ross 1200 New Jersey Avenue, S.E., W63-458, Washington, District of Columbia 20590 Email: james.ross@dot.gov Phone: (202) 366-0133.

Website Address:

<http://www.fmcsa.dot.gov/safety-security/grants/CDLPI/index.aspx>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Example #1: Projects that improve the prevention and detection of fraudulent activities. Example #2: Outreach and Training in the criminal justice community as it pertains to charging, adjudicating and sanctioning CDL holders and CMV violations of offenses listed in the regulations. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

- Addresses the programs national priorities and demonstrates knowledge of the program and potential barriers to successfully meeting those priorities.
- Defines specific, measurable, and feasible program goals and objectives.
- Outlines activities and accompanying timelines to achieve the projects goals.
- Defines a plan to monitor the effective implementation of the strategies and plans to document the project outcomes.
- Documents infrastructure and other organizational information to

demonstrate an ability to perform the activities proposed in the application.

Includes proposed costs that are allocable, allowable, reasonable, and necessary.

Addresses program-specific requirements established in the Notice of Funding Availability.

20.233 BORDER ENFORCEMENT GRANTS

FEDERAL AGENCY:

Federal Motor Carrier Safety Administration (FMCSA), Department of Transportation

AUTHORIZATION:

Moving Ahead for Progress in the 21st Century, Public Law 112-141 32603(c), 126 Stat. 405,807, 49 U.S.C 31107.

OBJECTIVES:

The Federal Motor Carrier Safety Administrations objective is to ensure motor carriers operating commercial vehicles entering the United States from a foreign country are in compliance with commercial vehicle safety standards and regulations, financial responsibility regulations and registration requirements of the United States, and to ensure drivers of those vehicles are qualified and properly licenses to operate the commercial vehicle.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Assistance is provided to entities and States that share a land border with another country for carrying out border commercial motor vehicle safety programs and related enforcement activities and projects. Grant funds must be expended by September 30, 2014. Assistance is provided to entities and States that share a land border with another country for carrying out border commercial motor vehicle safety programs and related enforcement activities and projects. One hundred percent discretionary funding is available for approved projects.

Applicant Eligibility:

Entities and States that share a land border with a foreign country.

Beneficiary Eligibility:

States that share a land border with a foreign country (Entities and Accredited public institutions of higher education). The States of Alaska, Arizona, California, Idaho, Maine, Michigan, Minnesota, Montana, New Hampshire, New Mexico, New York, North Dakota, Texas, Vermont and Washington, and entities and local governments within these enumerated States are eligible to receive funding.

Credentials/Documentation:

No Credentials or documentation are required. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

File the complete application SF-424, SF-424A and SF424B form, a certification that the State meets all eligibility requirements and a State Border Enforcement Plan, electronically through grants.gov. Information on how to register with grants.gov and file an application using grants.gov is available at <http://www.grants.gov/Apply>. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. File the SF-424 and SF424A forms, a certification that the State meets all eligibility requirements, and a State Border Enforcement Plan with grants.gov.

Award Procedure:

The amount of the award to a qualifying applicant will be based on a panel review of all applications received, recommendations of the panel, and funding availability. The panel will prioritize funding request based on the State or entities performance on previous BEG awards; their ability to expend the awarded funds within the BEG performance year; and, national criteria established by the FMCSA as follows:

Increasing the number of inspections of motorcoaches engaged in international commerce (travel). FMCSA previously established a goal for this effort to be a minimum of five percent of the total BEG inspections to be conducted at or within the commercial zones of international crossings. For FY 2014, FMCSA encourages States examine their data on international motorcoach activity and to use that data to establish reasonable goals that will result in an increase of motorcoach-focused activities. In the application, States must substantiate the goals set and provide the data (or data source references).

Increasing the number of Level 1 and 2 inspections supported by BEG funds. Level 3 inspections are strongly discouraged as part of BEG-supported strategies. Level V inspections are not permitted with BEG funds.

Targeting CMV inspections within corridors where the data indicate that there are a high number of crashes involving vehicles engaged in international commerce.

Improving the capability to conduct CMV safety inspections at remote and other sites near the Canadian and Mexican borders. If included, the application should establish a data-driven reason why non-fixed location inspections will increase the likelihood of reducing crashes, injuries, and fatalities involving vehicles engaged in international commerce.

Other activities or initiatives designed to improve the compliance of CMVs, drivers, and carriers entering the U.S. from Canada or Mexico.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days. 120 days.

Appeals:

Applicants will be notified in writing or via e-mail by the FMCSA Grant Program Manager if the State or entities application was not approved. There is no appeal process as funding is discretionary.

Renewals:

Eligible applicants must submit a new grant application to receive additional funding.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The amounts made available under the Border Enforcement Grants Program shall remain available for expenditure for the fiscal year in which they are allocated and for the next fiscal year. Amounts not expended by a State during those two fiscal years are available to the Secretary for reallocation. See the following for information on how assistance is awarded/released: Lump sum or based on funds available based upon agency authorization including a continuing resolution.

Reports:

A quarterly activity report is to be filed with the FMCSA Division Office. A program evaluation report is to be filed with the FMCSA Division Office within 90 days upon completion of the grant program. No cash reports are required. All grantees must submit quarterly performance report that reflect the performance of the approved activities that reflect the work performed in that quarter. All grantees must submit quarterly performance report that reflect the financial expenditures and commitments associated with the approved activities performed that quarter. All grantors and grantees with sub-grantees must complete performance monitoring and financial monitoring and document the monitoring observations. Monitoring activities should include discussing meeting performance measures and goals and discussions of financial expenditure usage and expense rate to ensure awarded funds are expended in accordance with governing regulations.

Audits:

No audits are required for this program.

Records:

Records of all programs activities covered in the State's Border Enforcement Plan must be kept for three years after the grant is closed.

Account Identification:

69-8158-0-7-401.

Obligations:

(Project Grants (Discretionary)) FY 12 \$32,000,000; FY 13 est \$32,000,000; and FY 14 est \$32,000,000

Range and Average of Financial Assistance:

For Border Enforcement the FY-2013 awards ranged from \$22,000 to \$18,000,000.00.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: The intent of the projects tied directly to the BEG program national priorities to ensure that motor carriers operating CMVs entering the United States from a foreign country are in compliance with safety standards and regulations, financial responsibility regulations, registration requirements, and that the drivers of those vehicles are qualified and properly licensed to operate a CMV. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

49 CFR 1.48; 49 CFR 350; 49 CFR 350-399; 49 CFR 100-180; 49 CFR 18. Publications available through the Government Printing Office \$14-\$27 per volume.

Regional or Local Office:

See Regional Agency Offices. Division Office of FMCSA as listed in Appendix IV of the Catalog.

Headquarters Office:

Jackie Cambridge 1200 New Jersey Ave, SE, Washington, District of Columbia 20590 Email: Jackie.cambridge@dot.gov Phone: (202) 366-1351

Website Address:

<http://www.fmcsa.dot.gov>.

RELATED PROGRAMS:

20.218 National Motor Carrier Safety

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Border Enforcement funds were awarded to States to ensure that the motor carriers operating commercial motor vehicles (CMVs) entering the United States from a foreign country are in compliance with safety standards and regulations, financial responsibility regulations, and registration requirements, and that the drivers of those vehicles are qualified and properly licensed to operate CMVs. The funds allow grantees to conduct inspections of CMVs including passenger CMVs operating in foreign commerce at and around border locations and on corridors with known international traffic. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

1. Addresses the programs national priorities and demonstrates knowledge of the program and potential barriers to successfully meeting those priorities.
2. Defines specific, measureable, and feasible program goals and objectives.
3. Outlines activities and accompanying timelines to achieve the projects goals.
4. Defines a plan to monitor the effective implementation of the strategies and plans to document the project outcomes.
5. Documents infrastructure and other organizational information to demonstrate an ability to perform the activities proposed in the application.
6. Includes proposed costs that are allocable, allowable, reasonable, and necessary.
7. Addresses program-specific requirements established in the Notice of Funding Availability.

20.234 SAFETY DATA IMPROVEMENT PROGRAM

(SaDIP)

FEDERAL AGENCY:

Federal Motor Carrier Safety Administration (FMCSA), Department of Transportation

AUTHORIZATION:

Moving Ahead for Progress in the 21st Century, Public Law 112-141, 126 Stat. 405, 808.

OBJECTIVES:

The Federal Motor Carrier Safety Administrations (FMCSA) objective is to fund State programs designed to improve the overall quality of commercial motor vehicle (CMV) data in accordance with the FMCSA State Safety Data Quality (SSDQ) measures, specifically to increase the timeliness, efficiency, accuracy and completeness of processes and systems related to the collection and analysis of large truck and bus crash and inspection data.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

The FMCSA may award grants to improve the overall quality of commercial motor vehicle (CMV) data, and specifically, to improve the timeliness, efficiency, accuracy, and completeness of State processes and systems used to collect, analyze, and report large truck and bus crash and inspection data. The FMCSA gives priority to proposals received from States rated yellow (fair) and red (poor) on the State Safety Data Quality Map, viewable here: <http://ai.fmcsa.dot.gov/mapping/ssdq/>. Examples of uses for funding may include, but are not limited to the following:

Hiring staff to manage data quality improvement programs.

Hiring staff to code and enter CMV safety performance data.

Revising outdated crash report forms.

Developing software to transfer data from the State repository to SAFETYNET.

Purchasing software for field data collection and data transfer.

Implementing data quality initiatives designed to support the Compliance, Safety, Accountability (CSA) intervention strategies.

Improving the overall quality of FMCSA Motor Carrier Management Information System (MCMIS) census data. Specific restrictions placed upon the use of the assistance may include: assistance awarded to defray costs associated with the purchase of air cards, Wi-Fi connectivity, lease agreements for laptops or other mobile data devices, etc. may be limited to startup costs only. Interested applicants should note all proposed costs must be allocable, allowable, reasonable, and necessary. The Federal share of a grant under this section shall be 80 percent of the cost of the activities for which the grant is made.

Applicant Eligibility:

A State shall be eligible for a grant under this section in a fiscal year if the Secretary determines that the State has (1) conducted a comprehensive audit of its commercial motor vehicle safety data system within the preceding 2 years; (2) developed a plan that identifies and prioritizes its commercial motor vehicle safety data needs and goals; and (3) identified performance-based measures to determine progress toward those goals.

Eligible applicants include State Departments of Public Safety, Departments of Transportation, or State Law Enforcement Agencies in any of the several States of the United States, the District of Columbia, and the Commonwealth of Puerto Rico, any territory or possession of the United States, or any agency or instrumentality of a State exclusive of local governments. The term does not include any public and Indian housing agency under United States Housing Act of 1937. (49 CFR Part 18).

Beneficiary Eligibility:

Any of the several States of the United States, the District of Columbia, the Commonwealth of Puerto Rico, any territory or possession of the United States, or any agency or instrumentality of a State exclusive of local governments. The term does not include any public and Indian housing agency under United States Housing Act of 1937. (49 CFR Part 18).

Credentials/Documentation:

No Credentials or documentation are required. OMB Circular No. A-87 applies

to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Applicants must register with Grants.gov to apply for funding at: www.grants.gov/applicants/get_registered.jsp. Registration with grants.gov may take two to five days before the system will allow access to the grant application package. Applications should be submitted in accordance with the instructions provided and must be submitted electronically to FMCSA through the Grants.gov website.

Award Procedure:

An evaluation panel will select award recipients based on an overall application score outlined in the application package, the potential for improvement of national Safety data collection and reporting rates, and the total funding available.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

If the statutory eligibility criteria are met and funds are available, discussion with potential grantees continues until the project plan is mutually acceptable.

Appeals:

Appeals will be considered upon demonstration that the proposal meets SaDIP grant eligibility criteria and the State Safety Data Quality measures have been addressed.

Renewals:

Renewals or request for extensions to the grant period of performance can be made through the FMCSA State Division Offices.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: Percent: 20%. The Federal share of a grant under this section shall be 80 percent of the cost of approved eligible activities for which the grant is made; the State share of a grant under this section shall be 20 percent of the cost of the activities for which the grant is made. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The initial grant period of performance is typically 18 months; grant program extensions are allowable and funds are available until expended. Method of awarding/releasing assistance: lump sum.

Reports:

A quarterly activity report is to be filed with the FMCSA Division Office. A program evaluation report is to be filed with the FMCSA Division Office within 90 days upon completion of the grant program. No cash reports are required. No progress reports are required. Recipients are required to file a quarterly Federal Financial Report, SF-425 no later than 30 days following the end of the quarter being reported.. Review of reports and/or site visits.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. The Recipient agrees to obtain any other audits required by FMCSA. Project closeout will not alter the Recipient's audit responsibilities. Audit costs for Project administration and management are allowable under this Project to the extent authorized by OMB Circular A-87, revised; OMB Circular A-21, Revised; or OMB Circular A-122, Revised. The Recipient agrees to permit FMCSA, the Secretary of Transportation and the Comptroller General of the United States, or their authorized representatives, to

inspect all Project work, materials, payrolls, and other data, and to audit the books, records, and accounts of the Recipient and its contractors pertaining to the Project. The Recipient agrees to require each third party contractor whose contract award is not based on competitive bidding procedures as defined by the Secretary to permit the Secretary of Transportation and the Comptroller General of the United States, or their duly authorized representatives, to inspect all work, materials, payrolls, and other data and records involving that contract, and to audit the books, records, and accounts involving that contract as it affects the Project.

Records:

The recipient shall retain records related to the approved project for the specified time periods as specified in the grant agreement and 49 CFR 18.42 or 49 CFR 19.53, as applicable. The recipient shall make available to FMCSA copies of all work developed in performance with the grant, including but not limited to software and data.

Account Identification:

69-8055-0-7-401.

Obligations:

(Salaries) FY 12 \$3,000,000; FY 13 est \$2,994,000; and FY 14 est \$3,000,000 - This funding may be used to cover State salaries and expenses related to the States efforts toward continuous improvement of the quality of large truck and bus crash and inspection data.

Range and Average of Financial Assistance:

Range \$5,000 - \$500,000; Average award \$250,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: FMCSA awarded \$3,000,000 in SaDIP grants to 14 States by competitive application to improve the overall quality of commercial motor vehicle (CMV) data, and specifically, to improve the timeliness, efficiency, accuracy, and completeness of State processes and systems used to collect, analyze, and report large truck and bus crash and inspection data. The FMCSA prioritized proposals received from States rated Yellow (Fair) and Red (Poor) on the State Safety Data Quality (SSDQ) map. Of the 14 States in receipt of FY2012 SaDIP funds, 11 States are currently rated Green (Good) on the SSDQ map; only two (2) States are currently rated Yellow (Fair), and one (1) is currently rated Red (Poor) while continuing to implement their grant funded project. Fiscal Year 2013: It is anticipated that 9 States and the District of Columbia will receive \$2,994,000 in FY2013 SaDIP grant awards for activities such as updating current training materials for law enforcement officers that use the States crash data repository; to complete the development and deployment of an automated crash reporting system; to implement computer-aided dispatch and records management; for staff training in using new data technology. Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Section 4128 of the Safe, Accountable, Flexible, Efficient Transportation Equity Act: A Legacy for Users (SAFETEA-LU) established the SaDIP grant opportunity. The legislation provides discretionary funding for States to improve the quality of crash and inspection data for large trucks and buses reported by States to FMCSA, as described in 49 U.S.C. Section 31102. The funding is codified in 49 U.S.C. Section 31104. Interested applicants are encouraged to also review the Data Quality Module at Analysis & Information Online: <http://ai.fmcsa.dot.gov/DataQuality/dataquality.asp>

Regional or Local Office:

See Regional Agency Offices. Refer to the FMCSA Field Office Roster at the FMCSA website for your FMCSA State Division Office (<http://www.fmcsa.dot.gov/about/contact/offices/displayfieldroster.asp>).

Headquarters Office:

Jackie Cambridge 1200 New Jersey Ave S.E., Washington, District of Columbia 20590 Email: Jackie.cambridge@dot.gov Phone: (202) 366-1351

Website Address:

<http://www.fmcsa.dot.gov/safety-security/grants/grants.aspx>

RELATED PROGRAMS:

20.218 National Motor Carrier Safety

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Safety Data Improvement Program grants funds are available to States involved in the collection, analysis, and reporting of large truck and bus crash and inspection data to improve the quality of the commercial motor vehicle (CMV) data reported by States to FMCSA. Fiscal Year 2013: It is anticipated that awards will be made for activities such as to update current training materials for law enforcement officers using the States crash data repository; to complete the development and deployment of an automated crash reporting system; to implement computer-aided dispatch and records management; for staff training in using new data technology. Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The FMCSA considers the extent to which each application:

1. Addresses the programs national priorities and demonstrates knowledge of the program and potential barriers to successfully meeting those priorities.
2. Defines specific, measureable, and feasible program goals and objectives.
3. Outlines activities and accompanying timelines to achieve the projects goals.
4. Defines a plan to monitor the effective implementation of the strategies and plans to document the project outcomes.
5. Documents infrastructure and other organizational information to demonstrate an ability to perform the activities proposed in the application.
6. Includes proposed costs that are allocable, allowable, reasonable, and necessary.
7. Addresses program-specific requirements established in the Notice of Funding Availability.

20.235 COMMERCIAL MOTOR VEHICLE OPERATOR TRAINING GRANTS

CMV-OST

FEDERAL AGENCY:

Federal Motor Carrier Safety Administration (FMCSA), Department of Transportation

AUTHORIZATION:

Moving Ahead for Progress in the 21st Century,, Public Law 112-141, 126 Stat. 405, 808, 49 U.S.C 31301.

OBJECTIVES:

Promote the safe operation of Commercial Motor Vehicles (CMVs), as defined in 49 U.S.C. 31301, through financial assistance to persons who train current and future drivers in the safe operation of CMVs.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Expand the number of Commercial Drivers License holders possessing enhanced operator safety training in order to reduce the severity and number of crashes on U.S. roads involving CMV; and assist economically distressed regions of the U.S. by proving workforce training opportunities for qualified individuals to become CMV operators. Applicant must adhere to eligibility criteria and project plan to be considered. Availability of funds are announced on Grants.gov (www.grants.gov).

Applicant Eligibility:

Eligible applicants must be accredited and recognized by the Department of Education.

Beneficiary Eligibility:

Eligible applicants must be accredited and recognized by the Department of Education.

Credentials/Documentation:

State government agencies, local government agencies or accredited postsecondary educational institutions must be accredited and recognized by the Department of Education. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

In accordance with the FMCSA Financial General Provisions and Assurances the recipient agrees to comply, as applicable, with environmental standards which may be prescribed pursuant to the following: (a) institution of

environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. 1451 et seq.); (f) conformity of Federal actions to State (Clean Air) Implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. 7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205). Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. All applications must be submitted electronically through Grants.gov. Detailed application instructions are provided in the Grants.gov opportunity announcement and through the Federal Register notice.

Award Procedure:

An application is submitted and evaluated to determine if funds will be awarded.

Deadlines:

Nov 01, 2013 to Feb 01, 2014 Applications must be filed with an FMCSA Division office or through Grants.gov in accordance with FMCSA guidance issued through a Federal Register notice.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Renewals to standard assistance agreements, if any, will be in the form of a new grant with a new grant number. Costs incurred under the previous grant cannot be transferred to the new grant. Residual funds remaining in the previous grant cannot be transferred to the new grant.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: Percent: 20.%. The Federal share of the grant shall not exceed 80 percent of the approved expenditures in the project plan. A 20 percent matching share by the recipient is required. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grants are available for one year upon execution of the grant agreement. See the following for information on how assistance is awarded/released: upon execution of the grant agreement (electronic signature).

Reports:

Reporting requirements for CMV-OST recipients are outlined in the grant agreement terms and conditions and consists of quarterly performance progress status and financial reports. Progress Reports include the Performance Progress Report Coversheet (SF-PPR), together with SF-PPR-B attachment. Financial Reporting requirements include quarterly submission of the Federal Financial Report (SF-FFR, also known as the SF-425) and at least quarterly submission of the Request of reimbursement form. Reports are to be submitted quarterly to the FMCSA Headquarters. No cash reports are required. Yes. Reporting requirements for CMV-OST recipients are outlined in the grant agreement terms and conditions and consists of quarterly performance progress status and financial reports. Progress Reports include the Performance Progress Report Coversheet (SF-PPR), together with SF-PPR-B attachment. Financial Reporting requirements include quarterly submission of the Federal Financial Report (SF-FFR, also known as the SF-425) and at least quarterly submission of the

Request of reimbursement form. Reports are to be submitted quarterly to the FMCSA Headquarters. Reporting requirements for CMV-OST recipients are outlined in the grant agreement terms and conditions and consists of quarterly performance progress status and financial reports. Progress Reports include the Performance Progress Report Coversheet (SF-PPR), together with SF-PPR-B attachment. Financial Reporting requirements include quarterly submission of the Federal Financial Report (SF-FFR, also known as the SF-425) and at least quarterly submission of the Request of reimbursement form. Reports are to be submitted quarterly to the FMCSA Headquarters. Reporting requirements for CMV-OST recipients are outlined in the grant agreement terms and conditions and consists of quarterly performance progress status and financial reports. Progress Reports include the Performance Progress Report Coversheet (SF-PPR), together with SF-PPR-B attachment. Financial Reporting requirements include quarterly submission of the Federal Financial Report (SF-FFR, also known as the SF-425) and at least quarterly submission of the Request of reimbursement form. Reports are to be submitted quarterly to the FMCSA Headquarters.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Completion of the FMCSA Administrative Capability Questionnaire for Non-Profit Organizations.

Records:

Records of all program activities, including financial and programmatic records, statistical records and any other records, covered in the approved project plan must be kept for a period of three (3) years after the close of the grant.

Account Identification:

69-8159-0-7-401.

Obligations:

(Project Grants (Discretionary)) FY 12 \$1,000,000; FY 13 est \$973,461; and FY 14 est \$1,000,000

Range and Average of Financial Assistance:

FY 2012 Awards ranged from \$160,000 to \$230,000. The average award was \$100,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: The FMCSA awarded six applications for funding totaling \$973,461 to train 302 new commercial motor vehicle operators. Fiscal Year 2013: The FMCSA awarded nine applications for funding totaling \$1 million training 392 new commercial motor vehicle operators. Fiscal Year 2014: Anticipated to be the same as FY 2014 and FY 2015.

REGULATIONS, GUIDELINES, AND LITERATURE:

Moving Ahead for Progress in the 21st Century (MAP-21), Pub. L. No.112141, 32603(g) (2012), 49 U.S.C. 31301, Office of Management and Budget (OMB) Circulars A-87 (Cost Principles for State, Local, and Indian Tribal Governments), A-21 (Cost Principles for Educational Institutions), or A-122 (Cost Principles for Non Profit Organizations), depending upon applicant type.

Regional or Local Office:

None.

Headquarters Office:

Crystal Polk, 1200 New Jersey Ave., SE, Washington, District of Columbia 20590 Email: crystal.polk@dot.gov Phone: 202-366-0734 Fax: 202-366-7980

Website Address:

<http://www.fmcsa.dot.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Grants were awarded to State, local, and

Federally-recognized Native American Tribal governments, accredited post-secondary educational institutions (public or private) and truck driver training schools that are accredited and recognized by the U.S. Department of Education. Priority was given to programs that recruited and trained students within economically distressed regions of the U.S. and assist with transitioning into the transportation industry after graduation.

The CMV-OST awarded funds ranged from \$100,000 to 200,000. Fiscal Year 2013: Grants were awarded to State, local, and Federally-recognized Native American Tribal governments, accredited post-secondary educational institutions (public or private) and truck driver training schools that are accredited and recognized by the U.S. Department of Education. Priority was given to programs that recruited and trained current or former members of the United States Armed Forces (including Guard members and Reservists) and their spouses to transition into the transportation industry after graduation.

The CMV-OST awarded funds ranged from \$100,000 to \$300,000. Fiscal Year 2014: It is anticipated that awards will be made similar to FY 2014 and FY 2015 applicants.

CRITERIA FOR SELECTING PROPOSALS:

Criteria used to select proposals includes:

Is the applicant an accredited training institution or institution of higher education?

Does the applicant have a valid training program?

Does the applicant serve recruit and train current or former members of the United States Armed Forces (including Guard members and Reservists) and their spouse?

Does the applicant target the unemployed and underemployed?

Does the applicant provide job placement opportunities for the drivers?

Does the applicant have a monitoring and evaluation program in place?.

20.237 COMMERCIAL VEHICLE INFORMATION SYSTEMS AND NETWORKS

(CVISN)

FEDERAL AGENCY:

Federal Motor Carrier Safety Administration (FMCSA), Department of Transportation

AUTHORIZATION:

Moving Ahead for Progress in the 21st Century, Section 32603(c), 32605, Public Law 112-141, 126 Stat. 405, 807.

OBJECTIVES:

The CVISN grant program provides financial assistance to eligible States to (1) improve the safety and productivity of commercial vehicles and drivers; and (2) reduce costs associated with commercial vehicle operation and federal and State commercial vehicle regulatory requirements. The program shall advance the technological capability and promote the deployment of intelligent transportation system applications for commercial vehicle operations, including commercial vehicle, commercial driver, and carrier-specific information systems and networks.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

CVISN funds for Expanded Deployment may only be used for the deployment of systems in a State that exceed the requirements of a core deployment of CVISN, improve safety and the productivity of commercial motor vehicle operations, and enhance transportation security. For each fiscal year FMCSA will first consider applications for the core deployment of CVISN. After FMCSA has awarded grants for core deployment of CVISN, then FMCSA may distribute funds available for Expanded Deployment grants equally among the eligible State applicants, but not to exceed \$1,000,000. The FMCSA will reimburse up to 50 percent of eligible costs.

Applicant Eligibility:

The agency in each State designated as the primary agency responsible for the development, implementation and maintenance of a CVISN-related system is

eligible to apply for grant funding.

Beneficiary Eligibility:

FMCSA may award these grants to the agencies of States, the District of Columbia, the Commonwealth of Puerto Rico, the Commonwealth of the Northern Mariana Islands, American Samoa, Guam, and the U.S. Virgin Islands that are designated as the lead agency in the States CVISN Program Plan and Top-Level Design (PP/TLD).

Credentials/Documentation:

To be eligible for a CVISN grant a State: (1) shall have a CVISN program plan approved by the FMCSA that describes the various systems and networks at the State level to accomplish deployment of core capabilities; (2) shall certify that its commercial vehicle information systems and networks deployment activities, including hardware procurement, software and system development, and infrastructure modifications (a) are consistent with the national intelligent transportation systems and commercial vehicle information systems and networks architectures and available standards; and (b) promote interoperability and efficiency to the extent practicable; and (3) shall agree to execute interoperability tests developed by the FMCSA to verify that its systems conform with the national intelligent transportation systems architecture, applicable standards, and protocols for commercial vehicle information systems and networks. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Upon execution of the grant agreement the recipient agrees to comply, as applicable, with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. 1451 et seq.); (f) conformity of Federal actions to State (Clean Air) Implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. 7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205). Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Title 49 of the Code of Federal Regulation Part 18, 19, and 20, DOT Regulations for grants, cooperative agreements and other agreements and the OMB Circular A-87 or 2 CFR Part 225, Applicability of Cost Principles.

Award Procedure:

90-120 days of the submission deadline (subject to availability of funds) or as soon thereafter as administratively practicable.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. Contingent upon Agency funding levels.

Appeals:

Not Applicable.

Renewals:

Renewals or request for extensions to the grant period of performance are available.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Percent: 50%. FMCSA will reimburse up to 50 percent of eligible costs. Additional information can be found in 49 CFR Part 18 and in 2 CFR Part 225 (supersedes OMB Circular A-87).

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The funds are available for expenditure as stipulated in the grant agreement and the terms and conditions. See the following for information on how assistance is awarded/released: Request for reimbursement.

Reports:

Reporting requirements are outlined in the grant agreement terms and conditions and consist of quarterly performance progress status and financial reports. Progress Reports include the Performance Progress Report Coversheet (SF-PPR). Financial Reporting requirements include quarterly submission of the Federal Financial Report (SF-425). SF-425. Reporting requirements are outlined in the grant agreement terms and conditions and consist of quarterly performance progress status and financial reports. Progress Reports include the Performance Progress Report Coversheet (SF-PPR). Financial Reporting requirements include quarterly submission of the Federal Financial Report (SF-425). SF-425. Through financial and progress reports.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In accordance with A-133 requirements

Records:

Upon request, the State will provide copies of technical documents and/or procurement documents to FMCSA.

Account Identification:

69-8158-0-7-401.

Obligations:

(Salaries) FY 12 \$24,950,000; FY 13 est \$25,000,000; and FY 14 est \$25,000,000

Range and Average of Financial Assistance:

Awards range from \$1,000,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: In 2012, an additional four to five states completed their deployment of core CVISN functionality and be certified as core CVISN compliant. FMCSA administered the CVISN Deployment Grant program and coordinated a national CVISN Deployment Workshop, which gave an opportunity to discuss changes in the program and share lessons learned from successful deployment programs. FMCSA implemented a new performance monitoring program that documented the national and state-specific benefits of CVISN functionality. Fiscal Year 2013: It is estimated that \$25,000,000 will be available in grant funds. These funds are anticipated to be awarded to remaining States to help achieve core compliance and fund State expanded CVISN deployment. Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Background information and planning materials can be found at the FMCSA web site, www.fmcsa.dot.gov.

Regional or Local Office:

See Regional Agency Offices. ISee regional agency offices: States may contact their States FMCSAs Division Administrator.

Headquarters Office:

Jose M. Rodriguez, 1200 New Jersey Avenue, S.E., W66-411, Washington, District of Columbia 20590 Email: jose.rodriguez@dot.gov Phone: (202) 366-0133.

Website Address:

<http://http://www.fmcsa.dot.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Grant funds were awarded to promote nationwide technology deployment (e.g., infrared brake testers, license plate readers) used to focus enforcement efforts. Fiscal Year 2013: It is estimated that \$25,000,000 will be available in grant funds. These funds are anticipated to be awarded to 23 States to help achieve core compliance and fund 28 States expanded CVISN deployment. Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

- Consistency with the national Information Technology Systems and CVISN architecture and standards;
- Consistency with Program Plan and Top Level Design approved by FMCSA;
- Agreement to incorporate interoperability and efficiency to the extent practicable; and
- Agree with reporting and programming oversight requirements contained in the grant program agreement.

20.239 MOTOR CARRIER RESEARCH AND TECHNOLOGY PROGRAMS**FEDERAL AGENCY:**

Federal Motor Carrier Safety Administration (FMCSA), Department of Transportation

AUTHORIZATION:

Surface Transportation Assistance Act of 1982, Public Law 97-242; Motor Carrier Safety Act of 1992, Public Law 102-240; Transportation Equity Act for the 21st Century, 49 U.S.C 31108.

OBJECTIVES:

The Analysis Research and Technology (ART) Office administers FMCSA Research and Technology (R&T) Program. Through the R&T program, FMCSA seeks to reduce the number and severity of crashes to reduce injuries and fatalities contributing to a safe and secure commercial transportation network. The R&T program is targeting crashes involving CMVs and the efficiency of CMV operations through conducting systematic studies directed toward fuller scientific discovery, knowledge, or understanding, adopting, testing, and deploying innovative driver, carrier, vehicle, and roadside best practices and technologies, and expanding the knowledge and portfolio of deployable technology. The development, testing, and deployment of simple and advanced innovative driver/vehicle technologies and integrated IT solutions support the Agency's priorities by demonstrating new roadside safety technologies and operational concepts, accelerating the deployment of vehicle-based and other safety and security technologies. Also by managing IT projects that integrate data collected and exchanged by various information systems and networks, and providing technical support and assistance to States and the motor carrier industry. The R&T Program has a number of projects directed towards driver behavior, as well as vehicle and carrier safety. The R&T Program also supports information-based safety and security projects. In addition, the support extends to FMCSA programs, specifically, enforcement (HM safety and bus safety), policy (regulations and medical qualifications), and outreach. The R&T programs strategic objective Produce Safer Drivers supports the FMCSA's "Safety Goal. The ART Office will continue to evaluate the most critical driver risk factors through the Case-Control Commercial Driver Individual Differences Study. The factors include demographic characteristics, medical conditions, personality traits, attitudes, work environmental conditions, and behavioral history. A medical examination and battery of psychological and behavioral history measures will be administered to as many as 21,000 CMV drivers. The ART Office continues research to determine the effectiveness of different restart provisions in the hours of service regulations (HOS) governing CMV drivers in restoring performance. The R&T programs strategic objective Improve Safety of Commercial Motor Vehicles supports the FMCSA's "Safety Goal by continuing research aimed at accelerating the deployment of vehicle-based, and other safety and security technologies to reduce crashes. The ART Office will continue conducting fast-turnaround and independent evaluation of promising safety technologies aimed at commercial vehicle operations. FMCSA funding will be used for the evaluation, and the technology developer will partner with the Agency to

provide the technology for testing. The FMCSA envisions that individual test and evaluation programs could be completed in 612 months. This program should greatly reduce both the time and the resources required to conduct independent evaluations of potentially life-saving safety technologies. The ART Office will continue a DOT multimodal (NHTSA, FMCSA) sponsored study of collecting and analyzing data on bus and motor coach fires. The study will conduct detailed engineering root cause analyses to determine the causes of bus and motor coach fires. The R&T programs strategic objective Produce Safer Carriers supports the FMCSA's "Safety Goal by continuing programs aimed at producing science-based regulations to advance the Agency's strategic and policy goals. This research will support rulemaking activities by gathering and consolidating research for inclusion into the Agency's rulemakings and required analyses. The ART Office will continue to evaluate the Effectiveness of Fostering Safety Culture in Motor Carriers. This study will use the Service Corps of Retired Executives (SCORE) to help new entrant motor carriers to foster development of a culture for making daily operating decisions based on both good business practices and FMCSA regulatory requirements. The R&T programs strategic objective Advance Safety through Information-Based Initiatives supports the FMCSA strategic objective Commercial Motor Vehicle Safety and the DOT strategic objective Safety by continuing programs aimed at assuring interoperability of systems supporting safety, security, and productivity and providing technical assistance to States. The ART Office will continue Roadside/On-Board Safety Technology Countermeasures/Wireless Inspection Pilot Test. This study will evaluate the safety benefits of a state-wide wireless inspection network where drivers logs and vehicle fault codes are electronically checked on a weekly basis. The R&T programs strategic objective Enable and Motivate Internal Excellence supports the FMCSA's strategic goal of Organizational Excellence by improving performance to serve the customers of the ART Office more effectively and economically. The ART Office has a long-standing partnership with the National Academies of Sciences TRB, which will be broadened to increase TRBs participation and expertise in FMCSA's analysis, research, and technology programs. Specific joint activities include publishing truck and bus synthesis reports and special reports, conducting conferences, and publishing reports from the Innovations Deserving Exploratory Analysis (IDEA) program.

TYPES OF ASSISTANCE:

Cooperative Agreements; Project Grants; Project Grants (Contracts)

USES AND USE RESTRICTIONS:

Funds are typically competitively awarded via request for proposals for contracts. Cooperative agreements are typically competitively awarded via GRANTS.gov. Funding shall be used in accordance with the requirements identified in each research or technology projects. Projects shall be authorized to meet the objectives of FMCSA. Funds shall be used in accordance with the requirements identified in each projects. Projects shall be authorized to meet the objectives of FMCSA.

Applicant Eligibility:

Government - General, Interstate, State (includes District of Columbia, public institutions of higher education and hospitals), Sponsored organization, Individual/Family, Profit organization, Private nonprofit institution/organization (includes institutions of higher education and hospitals).

Beneficiary Eligibility:

Federal, State, Local, Sponsored organization, Public nonprofit institution/organization, Other public institution/organization, individual/Family, Profit organization, Private nonprofit institution/organization.

Credentials/Documentation:

Applicants are required to satisfy all DOT/FMCSA's standards and regulations, including routine and special terms and conditions for financial assistance programs application and conduct. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Submission of the standard forms as required by grants.gov and any special information required by each project. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Completed cooperative agreement proposals shall include the appropriate SF 424 suite of forms, Certifications Regarding Debarment, Suspension and Other Responsibility Matters; Drug Free Workplace Requirements, Lobbying Statements; and any other certifications required by the project.

Award Procedure:

Awards will be made on the basis of proposal evaluations and in accordance with the provisions in each project.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approval/Disapproval times will be identified in each cooperative agreement.

Appeals:

Not Applicable.

Renewals:

Renewal of an award to increase funding or extend the period of performance is at the sole discretion of the DOT/FMCSA.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Agreements are usually 12 to 36 months. Method of awarding/releasing assistance: by letter of credit.

Reports:

No program reports are required. SF-270. Reports are due in accordance with the terms and conditions of the award. SF-425. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Financial and programmatic records, supporting documents, statistical records, and other records of grantees or sub-grantees generally should be retained by all parties for three years. If any litigation, claim, negotiations, audit or other action involving the record has been started before the expiration of the three year period, the records must be retained until completion of the action and resolution of all issues, or until the end of the regular three year period, whichever is later.

Account Identification:

69-8158-0-7-401.

Obligations:

(Project Grants) FY 12 Not Available; FY 13 Estimate Not Available; and FY 14 est \$9,500,000

Range and Average of Financial Assistance:

The range is between \$100,000 and \$3,000,000; the average is approximately \$400,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: The FMCSA did not award funds under this grant in FY 2012. Fiscal Year 2013: Funds will not be awarded for this grant in FY2013. Fiscal Year 2014: Funding is required to support new and continued areas of research, technology, and technology transfer to support the agency's safety mission. FMCSA plans to initiate the following new initiatives in 2014: A new large truck crash causation study will be used in drafting rules, planning

enforcement programs, and designing field-based outreach efforts. The prior study was conducted over the 2001-2003 period. A comprehensive study of individual driver factors that can make commercial motor vehicle drivers vulnerable to fatigue. This research is designed to determine the feasibility of identifying individuals who may be vulnerable to fatigue and provide a set of best practices for motor carriers aimed at reducing driver fatigue by matching driver fatigue vulnerability to work schedules. A study to assess the impacts which driver compensation and waiting time may have on commercial vehicle safety. A comprehensive analysis of the relative crash risk by driving hour and the impact of the changes in the HOS provisions. The Agency will match data collected from driver logs with crash information to determine the level of crash risk by hours of driving. Periodic driver surveys to longitudinally track how the changes in the HOS provisions, such as the two-night restart, have impacted sleep patterns and aspects of driver fatigue and performance. Continuation of the multi-state field operational test and related evaluation for wireless roadside inspections. Completion of the international border crossing electronic screening field operational test. Technology transfer activities related to on-board safety systems and innovative roadside enforcement tools.

REGULATIONS, GUIDELINES, AND LITERATURE:

49 USC 31108, " Motor Carrier Research and Technolgh Program" OMB Circular A-122; OMB Circular A-21; and OMB Circular A-97; and 48 CFR Part 31.

Regional or Local Office:

None.

Headquarters Office:

Martin Walker, 1200 New Jersey Avenue, SE, Washington, District of Columbia 20590 Email: martin.r.walker@dot.gov Phone: (202) 366-2364.

Website Address:

<http://www.fmcsa.dot.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: No Current Data Available Fiscal Year 2014: Produce Safer Drivers: Ensure that commercial drivers are physically qualified, trained to perform safely, and mentally alert.

Onboard Monitoring Field Operational Test. (FOT)

Impact of Driver Compensations on CMV Safety

Update the Model Training Curriculum for Motor coach Drivers

Random Roadside Drug Testing Analysis

Effectiveness Analysis of the FMCSA New Safety Training Program

Individualized Fatigue Risk Management in Trucking Operations (SBIR)

Driver Distraction - Evaluation of the Risks Posed by Electronic Devices

Expert Panel Report Review of HOS for Passenger Carrier Operations

Effect of Detention Times on CMV Driver Fatigue

Study of Individual Driver Factors that make them Vulnerability to Fatigue (new)

Produce Safer Carriers: Support efforts to improve carrier safety by applying safety management principles, compiling best management practices, communicating best practices, and supporting the Agency's enforcement of carrier-related regulations.

Research to Support FMCSA Rulemaking Activities

North American Fatigue Management Program (NAFMP)

Safety Data Risk Study - Phase III

Effectiveness of the FMCSA Comprehensive Safety Analysis 2010 Training (new)

Improve Safety of Commercial Motor Vehicles: Improve truck and motor coach performance through vehicle-based safety technologies and infrastructure.

FMCSA Advanced System Testing Data Acquisition System on the

Highways (FAST DASH) Program

Study of Alternatives Methods to Reduce Driver Exposure to Diesel Exhaust

PM2.5 (new)

Cross-Cutting Safety Initiatives: Research that may impact multiple dimensions of truck safety including driver, vehicle, and/or carrier safety issues. Such research is often focused on: providing better safety data to aid in identifying

problem areas; increasing the understanding of the costs, benefits, and operational impacts of regulations; improving information sharing among various stakeholders; and/or is focused on various security, hazmat, or sustainability (environmental stewardship) issues.

TRB/FMCSA Partnerships

Commercial Drivers License Information System (CDLIS) Traffic Conviction Data Quality.

CRITERIA FOR SELECTING PROPOSALS:

It's based on the cost of the project, qualification of principal investigator and institution, relevance to program's objectives and uses, or merit.

20.240 FUEL TAX EVASION-INTERGOVERNMENTAL ENFORCEMENT EFFORT

FEDERAL AGENCY:

Federal Highway Administration (FHWA), Department of Transportation

AUTHORIZATION:

Moving Ahead for Progress in the 21st Century Act (MAP-21) , Public Law 112-141, 23 U.S.C.

OBJECTIVES:

To increase intergovernmental activities and enforcement efforts among public agencies to reduce Federal fuel tax evasion. Funds made available shall be used only to expand or enhance intergovernmental efforts to increase motor fuel tax enforcement and payments; to supplement motor fuel tax examinations and criminal investigations; and to increase research and training in the area of Federal fuel tax evasion.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

State agencies or Tribal Governments can use the funds: to expand efforts to enhance motor fuel tax enforcement; to supplement motor fuel tax examinations and criminal investigations; to develop automated data processing tools to monitor motor fuel production and sales; to evaluate and implement registration and reporting requirements for motor fuel taxpayers; to reimburse State expenses that supplement existing fuel tax compliance efforts; to analyze and implement programs to reduce tax evasion associated with other highway use taxes; to support efforts between States and Indian tribes to address issues relating to State motor fuel taxes; and to analyze and implement programs to reduce tax evasion associated with foreign imported motor fuel. Any funds administered under this program shall be used in accordance with the specifications in OMB Circular A-87, Cost Principles Applicable to State, Local and Indian Tribal Governments. The funds must be used only in efforts directly related to the reduction of fuel tax evasion.

Applicant Eligibility:

States, the District of Columbia, U.S. Territories and possessions, other public agencies including an Indian tribe or pueblo, the republics of the Marshall Islands and Palau, and the Federated States of Micronesia.

Beneficiary Eligibility:

States, the District of Columbia, U.S. Territories and possessions, other public agencies including an Indian tribe or pueblo, the republics of the Marshall Islands and Palau, and the Federated States of Micronesia.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Applicants must submit all proposals electronically through www.Grants.gov.

Award Procedure:

Awards are scheduled to be made for Fiscal Years 2013 and 2014. FHWA and

IRS will jointly recommend and approve projects for Federal funding for fuel tax evasion intergovernmental enforcement efforts. States selected to receive funding will enter into negotiations with FHWA and be required to enter into a project agreement with FHWA.

Deadlines:

Jun 20, 2013 to Aug 09, 2013 Not available at this time.

Range of Approval/Disapproval Time:

The approval/disapproval time will take approximately 1 month.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching requirements are not applicable to this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The program is currently authorized for fiscal years 2013 and 2014. There is no statutory expiration of the funds once awarded. Method of awarding/releasing assistance: lump sum.

Reports:

Annual reports will required for each project and will be outlined in the project agreement. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Participating agencies must keep records of expenditures/accomplishments as applicable.

Account Identification:

69-8083-0-7-401.

Obligations:

(Project Grants) FY 12 \$1,221,797; FY 13 est \$2,600,000; and FY 14 est \$2,000,000

Range and Average of Financial Assistance:

Minimum: \$10,000; Maximum \$250,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Approximately \$1.2 million was allocated to the States and the Joint Operations Center (JOC) project with the IRS. In several cases, money was de-obligated due to the States inability to proceed with planned expenditures, which was primarily due to lack of additional state funding for the projects. Fiscal Year 2013: Funds have not been allocated, but will be made available before the end of the fiscal year. When full funding is allocated to the program, it is expected that funds will be used for funding States participating in the Internal Revenue Service program entitled the JOC for National Fuel Tax Compliance. Additional State and Federal revenues were realized in areas of increased enforcement, including the expansion of fuel testing and sampling programs, increased IFTA enforcement, and retail service station inspections. Fiscal Year 2014: Similar to FY 2014.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Michael Dougherty, 1200 New Jersey Ave. S.E., Washington, District of Columbia 20590 Email: michael.dougherty@dot.gov Phone: (202) 366-9234.

Website Address:

<http://www.fhwa.dot.gov/policy/otps/fueltax.htm>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: The State of Michigan awarded funds to enhance the data system to identify possible reporting irregularities and possible fuel tax evasion cases. The system enhancements were to be shared with the Commonwealth of Kentucky since they maintain a similar system. Similar system enhancements in other States have produced increases in revenue, which in some cases have an annual return on investment of four times or more the cost of the system changes. Fiscal Year 2013: This program is a bit unique in that the selections are made in conjunction with the Internal Revenue Service (IRS) per a Memorandum of Understanding (MOU) signed by the IRS and US DOT. The MOU contains instructions that the majority of funding will go to States participating in the Joint Operations Center (JOC) project. As we were operating on extensions and partial-year funding for a good part of the current fiscal year, allocations included funds for the States of Arizona, California, North Carolina, and Virginia for their participation in the JOC. Those funds, per the MOU, contribute toward the staffing of two individual auditors and analysts from each state, and include the costs of travel from their home States to the JOC program office in Crystal City, VA. The JOC combines Federal and State tax data with third party data to identify potential sources of fuel tax evasion. Additional State projects will involve either multiple agencies in that State or neighboring States aimed at on-road and/or internal audit identification of instances of fuel tax evasion. Fiscal Year 2014: 2014 - \$ 2,000,000 for projects similar to past years.

CRITERIA FOR SELECTING PROPOSALS:

Applicants are encouraged to submit innovative proposals to reduce fuel tax evasion and increase collaboration and coordination among several public agencies. Priority will be given to proposals that involve more than one public agency.

20.301 RAILROAD SAFETY

FEDERAL AGENCY:

Federal Railroad Administration (FRA), Department of Transportation

AUTHORIZATION:

Department of Transportation Act of 1966, Public Law 89-670, 49 U.S.C 1651-1659.

OBJECTIVES:

To reduce railroad-related casualties and accidents.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

- 1) Risk Reduction Assistance will support pilot projects and a peer-peer program on NS.
- 2) OLI Assistance will promote educational awareness.
- 3) Security Assistance will help establish, develop, and implement plans, procedures, and networks to send and receive security-sensitive or emergency-related information from the Government to rail stakeholders and from rail stakeholders to the Government.

Applicant Eligibility:

Anyone concerned with railroad safety.

Beneficiary Eligibility:

General public.

Credentials/Documentation:

See Application Procedure. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. The applicant must submit the following information: Application for Federal Assistance SF-424; US Department of Transportation Federal Railroad Administration Assurances and Certification forms <http://www.fra.dot.gov/downloads/admin/assurancesandcertifications.pdf>; Certification Regarding Debarment, suspension, and other Responsibility Matters - Primary Covered Transactions; Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion - Lower Tier Covered Transactions; Certification Regarding Drug-Free Workplace Requirements; Certification Regarding Lobbying; Assurances - Non-Construction Programs, SF 424E or Assurances Construction, SF424D (whichever is specified in the announcement); Certificate of Indirect Costs; Detailed project descriptions, statement of work, and budgets; Description of work completed to date; and description of type and source of matching funds.

Award Procedure:

Not applicable.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

No restriction on period of time the assistance normally is available. See the following for information on how assistance is awarded/released: Assistance is usually awarded upon request for reimbursement.

Reports:

The grantee shall submit the following reports: Quarterly progress reports giving an account of significant progress (findings, events, trends, etc.) made during the reporting period, and a description of any technical and/or cost problems encountered or anticipated that will affect completion of the grant. Quarterly financial status reports using SF 425, Federal Financial Report. Quarterly Federal Cash Transactions reports using SF 425. Quarterly progress reports giving an account of significant progress (findings, events, trends, etc.) made during the reporting period, and a description of any technical and/or cost problems encountered or anticipated that will affect completion of the grant. Quarterly financial status reports using SF 425. Grant recipients will be monitored periodically by FRA to ensure that the project goals, objectives, performance requirements, timelines, milestones, budgets, and other related program criteria are being met.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

During the course of its activities under a grant agreement and for three years thereafter, the applicant must agree to retain intact and to provide any data, documents, reports, records, contracts, and supporting materials relating to its performance under the agreement as FRA may require. Reporting and record-keeping requirements are set forth in 49 C.F.R. Part 19 for private non-profit and for-profit Grantees. Closeout does not alter these requirements.

Account Identification:

69-0700-0-1-401.

Obligations:

(Project Grants) FY 12 \$1,379,302; FY 13 est \$1,379,000; and FY 14 est \$1,386,000

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Operation Lifesaver will continue providing assistance to State programs for State coordinator training; national and/or regional training seminars; educational materials; information to the public promoting rail safety through public service announcements, videos, web site and e-Learning; and support to the national office to administer the programs listed above.

Risk Reduction will continue five pilot programs (Pride Program (Peer Coaching Safety Improvement); CSXs Continuous Rail Defect Testing Evaluation; Cross-Functional Risk Reduction Team; Norfolk Southern Continuous Rail Defect Testing Evaluation; and Union Pacific Fatigue Risk Management Plan).

The Security Program will provide support for 1) Continuity of Operations and Plans, and 2) Transportation Emergency Response Training. Fiscal Year 2013: 2013 Operation Lifesaver will continue providing assistance to State programs for State coordinator training; national and/or regional training seminars; educational materials; information to the public promoting rail safety through public service announcements, videos, web site and e-Learning; and support to the national office to administer the programs listed above.

Risk Reduction will continue pilot programs (Pride Program (Peer Coaching Safety Improvement); CSXs Continuous Rail Defect Testing Evaluation; and Union Pacific Fatigue Risk Management Plan), although no additional funds are being supplied. In addition, RRP is providing funding for a peer-to-peer program on NS.

The continuity of Operations and Plans grant has been moved to a purchase order.

The Hazardous Materials oversees and plans to continue three grants (H-TRAM Rail Corridor Risk Management for Class II and III Railroads, Transcaer and IAFC (Transportation Emergency Response Training Programs). Hazardous Materials was able to enhance the nationwide emergency response training through its support of the American Chemistry Councils Transcaer and the International Association of Fire Chiefs Training Programs. H-TRAM Rail Corridor Risk Management for Class II and III Railroads Task 1 which includes assessing vulnerability of the railroads was completed in April 2013.

Completion of the remaining tasks, including testing is scheduled for FY 2013-2014. Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Not applicable.

Regional or Local Office:

See Regional Agency Offices. Regional Administrator, 55 Broadway, Room 1077, Cambridge, MA 02142 Telephone: (617) 494-2302. Regional Administrator, 1510 Chester Pike, Crum Lynne, PA 19023 Telephone: (610) 521-8200 Regional Administrator, 61 Forsyth Street, SW., Suite 16T20, Atlanta Federal Center, Atlanta, GA 30303- 3104. Telephone: (404) 562-3800. Regional Administrator, 111 West Adam Street, Suite 310, Chicago, IL 60606 Telephone: (312) 353- 6203. Regional Administrator, 4100 International Plaza, Suite 450, Fort Worth, TX 76109 Telephone: (817) 862-2200. Regional Administrator, 901 Locust Street, Suite 464, Kansas City, MO 64106 Telephone: (816) 329-3840. Regional Administrator, 801 I Street, Suite 466, Sacramento, CA 95814. Telephone: (916) 498-6540. Regional Administrator, 500 E. Broadway, Suite 240, Vancouver, WA, 98660 Telephone: (360) 696-7536.

Headquarters Office:

Gina Matrassi 1200 New Jersey Ave SE., Washington, District of Columbia 20590 Phone: (202) 493-6139.

Website Address:

<http://www.fra.dot.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: (Pride Program (Peer Coaching Safety Improvement); CSXs Continuous Rail Defect Testing Evaluation; Cross-Functional Risk Reduction Team; Norfolk Southern Continuous Rail Defect Testing Evaluation; and Union Pacific Fatigue Risk Management Plan). Fiscal Year 2013: Operation Lifesaver (OLI) - The FY 2013 OLI grant will provide for the development of new educational materials and methods to reduce the number of casualties caused by collisions at highway-rail grade crossings and by trespassing on railroad rights-of-way. An e-Learning tool to educate school bus drivers on crossing safety is planned.

FRA Office of Safety Risk Reduction Division Funded Grant/CA with Railroad Research Foundation to develop a peer-to-peer based safety project designed to reduce or eliminate distraction caused by misuse of electronic devices by railroad workers performing safety critical tasks. Funded Grant/CA with CSX railroad to develop improved inspection process for detecting rail defects.

Hazardous Materials Program - . The Hazardous Materials oversees three grants (H-TRAM Rail Corridor Risk Management for Class II and III Railroads, Transcaer and IAFC (Transportation Emergency Response Training Programs). The Grants enhance the nationwide emergency response training through support of the American Chemistry Councils Transcaer and the International Association of Fire Chiefs Training Programs. Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

20.313 RAILROAD RESEARCH AND DEVELOPMENT**FEDERAL AGENCY:**

Federal Railroad Administration (FRA), Department of Transportation

AUTHORIZATION:

Rail Safety Improvement Act of 2008, Public Law 91-458, 49 U.S.C 10208.

OBJECTIVES:

To foster long-range enhancement of the Federal Railroad Administration's program of research in support of rail safety by developing cooperative research relationships between the Administration and university and industry organizations.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Projects must show a relationship towards advancing railroad safety and technology. Applicants must demonstrate 5 years of railroad research activity.

Applicant Eligibility:

Applicants must be major academic and industry research institutions with backgrounds in the rail transportation arena. A minimum of 5 years of railroad or railroad related research experience is required.

Beneficiary Eligibility:

No restrictions.

Credentials/Documentation:

See Application Procedures section (092). OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

The FRA will announce specific pre- application coordination activities for each project area in the Federal Register. This program is excluded from coverage under E.O. 12372. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. The applicant must submit the following information: Application for Federal Assistance SF-424; US Department of Transportation

Federal Railroad Administration Assurances and Certification forms
<http://www.fra.dot.gov/downloads/admin/assurancesandcertifications.pdf>;
Certification Regarding Debarment, suspension, and other Responsibility Matters - Primary Covered Transactions; Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion - Lower Tier Covered Transactions; Certification Regarding Drug-Free Workplace Requirements; Certification Regarding Lobbying; Assurances - Non-Construction Programs, SF 424E or Assurances Construction, SF424D (whichever is specified in the announcement); Certificate of Indirect Costs; Detailed project descriptions, statement of work, and budgets; Description of work completed to date; and description of type and source of matching funds.

Award Procedure:

The application package is reviewed to ensure that all required documents are complete and signed by the appropriate person. The statement of work (SOW) and budget are reviewed to ensure the appropriation language requirements are met. The SOW is reviewed for technical issues and budget for appropriate costs, including compliance with the National Environmental Policy Act and related laws and orders. Applications are compared to determine relative satisfaction of published selection criteria. Select the applicants that are most qualified. After the application package is acceptable to both parties, a grant agreement is drafted, reviewed, and signed upon agreement by both parties.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. Four to 16 weeks after application.

Appeals:

60 Days & to the Administrator, Federal Railroad Administration.

Renewals:

Grants contemplated in this program are predominantly project-oriented rather than on-going efforts and are therefore non-renewable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

No restriction on period of time the assistance normally is available. See the following for information on how assistance is awarded/released: Assistance is usually awarded upon request for reimbursement.

Reports:

The grantee shall submit the following reports: Quarterly progress reports giving an account of significant progress (findings, events, trends, etc.) made during the reporting period, and a description of any technical and/or cost problems encountered or anticipated that will affect completion of the grant. Quarterly financial status reports using SF 425, Federal Financial Report. Quarterly Federal Cash Transactions reports using SF 425, Federal Cash Transaction Report. Final report giving details of the results and benefits of the Grantee's improvement efforts. A final report detailing the work conducted and the progress accomplished will be required. Presentations at an annual workshop are expected. SF-425. A final report detailing the work conducted and the progress accomplished will be required. Presentations at an annual workshop are expected. SF-425. Grant recipients will be monitored periodically by FRA to ensure that the project goals, objectives, performance requirements, timelines, milestones, budgets, and other related program criteria are being met.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

During the course of its activities under a grant agreement and for 3 years thereafter, the applicant must agree to retain intact and to provide any data, documents, reports, records, contracts, and supporting materials relating to its performance under the agreement as FRA may require. Reporting and record-keeping requirements are set forth in 49 CFR Part 19 for private non-profit and for-profit Grantees. Closeout does not alter these requirements.

Account Identification:

69-0745-0-1-401.

Obligations:

(Cooperative Agreements) FY 12 \$40,315,804; FY 13 est \$40,250,000; and FY 14 est \$35,250,000 - Additional Information:

FY 2012 Obligations:

Travel 76,099.39

Cooperative Agreements/Contracts 36,711,965.48

Grants 3,527,739.58

Note: Amounts exclude funding received from other agencies under the economy act.

Range and Average of Financial Assistance:

Average is 325,000 for FY 2012.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Testing of biodiesel for locomotives. Development of battery powered technology for locomotives. Locomotive energy efficiency improvements. Design of laboratory test facilities. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

John Tunna 1200 New Jersey Avenue, S.E., Washington, District of Columbia 20590 Phone: (202) 493-6359

Website Address:

<http://www.fra.dot.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Proof of concept of locomotive energy efficiency improvement technology. Fiscal Year 2013: - Service testing of devices that measure rail neutral temperature. Advances in understanding and optimization of wheel-rail contact conditions. Improved strength of concrete ties due to additives. Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

In descending order of relative importance: (1) Degree to which it may improve upon or advance railroad safety; (2) Overall scientific and/technical merit; (3) Likelihood for its near term adoption and implementation of possible recommendations; (4) Degree to which the proposed project fits into the Administration's overall research objectives; (5) Reasonableness and realism of the proposed cost, and the availability of funds (to include due consideration for proposed cost-sharing by the applicant).

20.315 NATIONAL RAILROAD PASSENGER CORPORATION GRANTS

(Amtrak Grants)

FEDERAL AGENCY:

Federal Railroad Administration (FRA), Department of Transportation

AUTHORIZATION:

Consolidated And Further Continuing Appropriation Act, 2012, Public Law 112-55, 49 U.S.C 24104(a).

OBJECTIVES:

To provide financial assistance to support the operation of and capital investment in intercity passenger rail service in the United States.

TYPES OF ASSISTANCE:**PROJECT GRANTS****USES AND USE RESTRICTIONS:**

As specified in individual Grant Opportunity announcements, assistance may be used to fund operating expenses, capital investment activities, and/or payment of debt service obligations.

Applicant Eligibility:

As directed by authorizing and appropriating statute, assistance is available only to the National Railroad Passenger Corporation.

Beneficiary Eligibility:

As directed by authorizing and appropriating statute, assistance is available only to the National Railroad Passenger Corporation.

Credentials/Documentation:

See Application Procedures. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Environmental clearance is required for the capital portion of this program. An environmental impact statement is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. The applicant must submit the following information: Application for Federal Assistance SF-424; US Department of Transportation Federal Railroad Administration Assurances and Certification forms

<http://www.fra.dot.gov/downloads/admin/assurancesandcertifications.pdf>; Certification Regarding Debarment, suspension, and other Responsibility Matters - Primary Covered Transactions; Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion - Lower Tier Covered Transactions; Certification Regarding Drug-Free Workplace Requirements; Certification Regarding Lobbying; Assurances - Non-Construction Programs, SF 424B or Assurances Construction, SF424D (whichever is specified in the announcement); Certificate of Indirect Costs; Detailed project descriptions, statement of work, and budgets.

Award Procedure:

Review the application package to ensure that all required documents have been submitted and are complete and signed by the appropriate person. Review the statement of work (SOW) and budget to ensure the appropriation language requirements are met. Review SOW for technical issues and budget for appropriate costs, including compliance with the National Environmental Policy Act and related laws and orders. After the application package is acceptable to both parties, a grant agreement is drafted, reviewed, and signed upon agreement by both parties.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Timing of Approval/Disapproval typically ranges from between 2-6 weeks.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

Length and time phasing of assistance is dependent on the specific appropriating and/or authorizing law governing the grant opportunity. See the following for information on how assistance is awarded/released: The award is determined by legislation.

Reports:

The grantee shall submit the following reports: Monthly progress reports giving an account of significant progress (findings, events, trends, etc.) made during the reporting period, and a description of any technical and/or cost problems encountered or anticipated that will affect completion of the grant. Monthly financial status reports using SF 425, Federal Financial Report. Monthly Federal Financial Status Report SF 425, Federal Cash Transaction Report. The grantee is required to submit the following reports: Monthly progress reports giving an account of significant progress (findings, events, trends, etc.) made during the reporting period, and a description of any technical and/or cost problems encountered or anticipated that will affect completion of the grant. Monthly Federal Financial Status Report; Federal Cash Transaction Report. Monthly detailed reports regarding performance against project budgets and schedules, and forecasts of future performance. Final report giving details of the results and benefits of the Grantee's improvement efforts.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

During the course of its activities under a grant agreement and for 3 years thereafter, the applicant must agree to retain intact and to provide any data, documents, reports, records, contracts, and supporting materials relating to its performance under the agreement as FRA may require. Reporting and record-keeping requirements are set forth in 49 CFR Part 19 for private non-profit and for-profit Grantees. Closeout does not alter these requirements.

Account Identification:

69-0724-0-1-401; 69-0121-0-1-401; 69-0125-0-1-401.

Obligations:

(Salaries) FY 12 \$1,418,958,567; FY 13 est \$1,343,830,092; and FY 14 Estimate Not Available - Program Area Breakout Total-FY 2012 Actual American Disability Act (ADA) Amtrak 50,000,000.00
Amtrak Asset Valuation 781,181.00
Debt Service 271,000,000.00
FRA Oversight 1,226,553.03
Capital Improvement 621,480,000.00
Northeast Corridor Improvement Committee (section 212 of PRIIA) 9,252,014.00
Operating Subsidy 466,000,000.00
Grand Total 1,419,739,748.

Range and Average of Financial Assistance:

Awards under this program range from \$9,000,000 to \$850,000,000 and depend on the amount and break down of assistance made available through specific appropriation laws.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Three applications were received and three awards were granted in FY 2012. These grants supported capital State of Good Repair, Americans with Disabilities Act (ADA) compliance and capital improvement investments as well as the operation of intercity passenger rail service in the United States. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None. Not applicable.

Headquarters Office:

Federal Railroad Administration, 1200 New Jersey Avenue, S.E., Washington, District of Columbia 20590 Phone: (202) 493-6454.

Website Address:

<http://www.fra.dot.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Projects will support the operation of and capital investment in intercity passenger rail service in the United States. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Proposals for projects must support the stated strategic goals of the applicant organization, align with the priorities identified in authorization or appropriation statutes, and of the Secretary of Transportation, and be accompanied by detailed scope and budget documentation. Quantitative or qualitative justification of project prioritization must be provided.

20.316 RAILROAD REHABILITATION AND IMPROVEMENT FINANCING PROGRAM

(RRIF Loan Program)

FEDERAL AGENCY:

Federal Railroad Administration (FRA), Department of Transportation

AUTHORIZATION:

Railroad Revitalization and Regulatory Reform Act of 1976 (45 U.S.C. 821, et seq.), 45 U.S.C 821.

OBJECTIVES:

The RRIF program provides direct loans and loan guarantees to State and local governments, interstate compacts consented to by Congress under section 410(a) of the Amtrak Reform and Accountability Act of 1997 (49 U.S.C. 24101); government sponsored authorities and corporations; railroads; joint ventures that include at least one railroad; and solely for the purpose of constructing a rail connection between a plant or facility and a second rail carrier, limited option rail freight shippers that own or operate a plant or other facility that is served by no more than a single railroad.

TYPES OF ASSISTANCE:

DIRECT LOANS; Guaranteed/Insured Loans

USES AND USE RESTRICTIONS:

Funds provided by direct loans or loan guarantees under the RRIF Program can be used to: (1) Acquire, improve, or rehabilitate intermodal or rail freight or passenger equipment or facilities, including track, components of track, bridges, yards, buildings and shops (2) Refinance outstanding debt incurred for purposes described in the previous paragraph (3) Develop or establish new intermodal or railroad facilities. The repayment period for direct loans and loan guarantees is up to 35 years. The interest rate on direct loans will be equal to the rate on Treasury securities of a similar term.

Applicant Eligibility:

Eligible borrowers include railroads, state and local governments, government-sponsored authorities and corporations, joint ventures that include at least one railroad, and limited option freight shippers who intend to construct a new rail connection.

Beneficiary Eligibility:

The beneficiaries of the Program will be the State or local government organizations, railroad, joint ventures that include a railroad, and limited option freight shippers that will receive the financial assistance to permit them to complete the specified projects.

Credentials/Documentation:

Application is required and other related information/documentation as needed. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

A pre-application meeting may be held if requested by a potential applicant. Procedures for requesting such a meeting are available on the FRA website and at 49 CFR 260.19. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Complete and submit application form available on the FRA website.

Award Procedure:

FRA will evaluate application and advise applicant of approval or disapproval.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

The Federal Railroad Administration (FRA) will approve or disapprove a request for a loan within 90 days after receipt of a complete application.

Appeals:

Not Applicable.

Renewals:

Disapproved applicants may reapply at any time.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

As a part of the application package, the borrower provides a projected timetable for the completion of the project. The funding will be made available to reimburse the Borrower for prior payment of allowable costs incurred in connection with the project. See the following for information on how assistance is awarded/released: Quarterly or as needed.

Reports:

Program reports are not applicable. Financial statements are required to be submitted for the life of the financial assistance. During the course of the project, monthly progress reports of work completed and actual expenditures compared to the budget. Yearly audited and certified profit and loss statement, balance sheet and statement of cash flows must be submitted for the life of the financial assistance. During the course of the project, monthly progress reports of work completed and actual expenditures compared to the budget. Project reviews will be conducted.

Audits:

No audits are required for this program.

Records:

Documentary evidence required for each loan varies. However, standard loan terms require financial and project reports as well as evidence of lien perfection for collateral.

Account Identification:

69-4420-0-3-401.

Obligations:

(Direct Loans) FY 12 \$189,518,803; FY 13 est \$600,000,000; and FY 14 est \$600,000,000

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: During fiscal year 2012, the RRIF Program has held approximately 15 pre-application meetings with potential borrowers. Currently, there are 9 applications pending for use in building and improving rail infrastructure and facilities. FRA issued two RRIF loans in fiscal year 2012. One in the amount of \$54.6 million for use in the purchase of locomotives, and one in the amount of \$83.7 for refinance. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Barbara Klein Barr 1200 New Jersey Avenue, S.E.,

, Washington , District of Columbia 20590 Phone: (202) 493-6051

Website Address:

<http://www.fra.dot.gov/Page/P0128>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: FRA issued a RRIF loan in fiscal year 2012 in the amount of \$54.6 million for use in the purchase of locomotives and a RRIF loan in the amount of \$83.7 for refinance. Fiscal Year 2013: See website at <http://www.fra.dot.gov/Page/P0128>. Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The program provides direct loans and loan guarantees to State and local governments, government-sponsored authorities and corporations, railroads, and joint ventures that include at least one railroad. Eligible projects include: (1) refinancing outstanding debt that was incurred for eligible purposes, (2) developing or establishing new intermodal or railroad facilities, or (3) acquisition, improvement or rehabilitation of intermodal or rail equipment or facilities (including tracks, components of tracks, bridges, yards, buildings and shops).

20.317 CAPITAL ASSISTANCE TO STATES - INTERCITY PASSENGER RAIL SERVICE

IPR Program

FEDERAL AGENCY:

Federal Railroad Administration (FRA), Department of Transportation

AUTHORIZATION:

The Passenger Rail Investment and Improvement Act of 2008 (PRIIA), Public Law 110-161.

OBJECTIVES:

To provide financial assistance to fund capital improvements (and related planning activities) necessary to support improved or new intercity passenger rail service.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Assistance can be used to develop projects, programs and planning. This includes Projects which will result in the creation of new or substantially improved High-Speed Rail/Intercity Passenger Rail service, and will provide tangible and measurable benefits, such as on-time performance improvements, travel-time reductions and higher service frequencies resulting in increased ridership. Final Design, the last phase of project development, includes right-of-way acquisition, utility relocation, the preparation of final design plans, construction management plans, system safety plans for construction management and operations, safety certification, any required collision hazard analysis, final construction cost estimates and detail specifications, as well as procurement of construction services and equipment.

Applicant Eligibility:

Profit organization, Other private institutions/organizations, State & Transportation.

Beneficiary Eligibility:

The general public, both users and non-users of intercity passenger rail service. State departments of transportation and other public agencies, although private transportation companies may participate through contractual arrangements with a State department of transportation.

Credentials/Documentation:

Department of Transportation, Federal Railroad Administration (FRA) Assurances and Certification forms including: (1) Certification Regarding Debarment, Suspension, and Other Responsibility Matters Primary Covered Transactions; (2) Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion Lower Tier Covered Transactions; (3) Certification Regarding Drug-Free Workplace Requirements; (4) Certification Regarding Lobbying; (5) Assurances Non- Construction Programs SF 424B; and (6)

Certificate of Indirect Costs Assurances. Other documents may be required as pre-requisites to funding based on program type. Documentation demonstrating applicant eligibility (for applicants other than States); National Environmental Policy Act documentation for construction projects and service development programs; program-specific Assurances and Certifications; planning and engineering documentation. Also see Application Procedure. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Prospective applicants may contact FRA prior to submission of applications to inquire about applicant eligibility, the competitive prospects of their proposed project, the National Environmental Policy Act and related legal requirements, and other requirements. This program is excluded from coverage under E.O. 12372. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. An initial review of the applicant, project application and eligibility. The application is then reviewed and rated against the key criteria set forth in the NOFA.

Funds are awarded after review and approval the required pre-requisite documentation.

Award Procedure:

Review the application package to ensure that all required documents are complete and signed by the appropriate person. Review the statement of work (SOW) and budget to ensure the appropriation language requirements are met. Review SOW for technical issues and budget for appropriate costs, including compliance with the National Environmental Policy Act and related laws and orders. Compare applications to determine relative satisfaction of published selection criteria. Select the applicants that are most qualified. After the application package is acceptable to both parties, a grant agreement is drafted, reviewed, and signed upon agreement by both parties.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

FRA is committed to responding to applicants in a reasonable and timely manner. Response times will vary based on size, scope, complexity and volume of applications.

Appeals:

None.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. This program has no matching requirements. Match requirements depend on the legislative authority, project type, and the terms of the specific NGA. This program has MOE requirements, see funding agency for further details. This program has MOE requirements; see funding agency for further details.

Length and Time Phasing of Assistance:

No restriction on period of time the assistance normally is available. Assistance is awarded upon request for reimbursement. See the following for information on how assistance is awarded/released: Funding is obligated through cooperative agreements, and outlays occur as reimbursement. Funding will only be obligated and disbursed as milestones are achieved.

Reports:

The grantee shall submit the following reports: Quarterly progress reports giving an account of significant progress (findings, events, trends, etc.) made during the reporting period, and a description of any technical and/or cost problems encountered or anticipated that will affect completion of the grant. Quarterly financial status reports using SF-425. Final report giving details of the results and benefits of the Grantee's improvement efforts. No cash reports are required. The grantee shall submit the following reports: Quarterly progress reports giving an account of significant progress (findings, events, trends, etc.)

made during the reporting period, and a description of any technical and/or cost problems encountered or anticipated that will affect completion of the grant. Quarterly financial status reports using SF 425, Financial Status Report. Grant recipients will be monitored periodically by FRA to ensure that the project goals, objectives, performance requirements, timelines, milestones, budgets, and other related program criteria are being met. Monitoring may include on-site visits or detailed, interactive desk reviews. In addition, grantees are provided with intensive technical assistance from grants staff on a daily basis.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

During the course of its activities under a grant agreement and for 3 years thereafter, the applicant must agree to retain intact and to provide any data, documents, reports, records, contracts, and supporting materials relating to its performance under the agreement as FRA may require. Reporting and record-keeping requirements are set forth in 49 CFR Part 19 for private non-profit and for-profit Grantees. Closeout does not alter these requirements.

Account Identification:

69-0715-0-1-401; 69-0718-0-1-401.

Obligations:

(Cooperative Agreements) FY 12 \$16,943,631; FY 13 est \$20,431,544; and FY 14 Estimate Not Available(Exp: Program not requested in FY 2014)

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: The program increases the States role in intercity passenger rail development by establishing the first-ever Federal-State partnership for intercity passenger rail investment along the model of those that currently exist for other modes of transportation such as for highways, airports, and transit systems. Following the completion of a competitive evaluation of proposals submitted by 22 individual States, on September 30, 2008, the Department awarded 15 grants, worth \$30 million, to support projects in 12 states. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Guidance for this program is provided by The National Environmental Policy Act of 1969 as amended (42 U.S.C. 4321 et seq.), and related laws, the FRA publication entitled, Railroad Corridor Transportation Plans: A Guidance Manual (available at http://www.fra.dot.gov/Downloads/RRdev/corridor_planning.pdf), and Federal Register notices announcing the availability of funding pursuant to this program.

Regional or Local Office:

See Regional Agency Offices. If necessary to contact a regional office, the contact information will be in a grant agreement.

Headquarters Office:

Gina Matrassi 1200 New Jersey Ave SE., Washington, District of Columbia 20590 Phone: (202) 493-6139.

Website Address:

<http://www.fra.dot.gov> .

RELATED PROGRAMS:

20.319 High-Speed Rail Corridors and Intercity Passenger Rail Service Capital Assistance Grants

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: The intercity passenger rail grants the Department awards

support planning and construction projects in Arizona, California, Illinois, Maine, Minnesota, Missouri, New York, Ohio, Vermont, Virginia, Washington, and Wisconsin. Projects included planning studies, track construction, and signal and highway-rail grade crossing improvements. Project examples include: Converting 4.5 miles of running side track to a second main line, adding two crossovers, and completing related signal and highway crossing improvements in California on the San Joaquin Corridor; replacing 1 mile of rail and redecking four bridges on an often-delayed section of the New England Central Railroad (NECR) route in Vermont; and upgrading a 17.85 mile segment of the Canadian Pacific right-of-way between Milwaukee and the IL/WI state line to continuously-welded rail (CWR), thereby replacing the last sections of remaining jointed rail on the Milwaukee-Chicago corridor. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

All selection criteria will be specified in Federal Register notices announcing the availability of funding pursuant to this program, and shall include, but shall not be limited to, prioritizing criteria defined in relevant law, and criteria intended to ensure the timely completion of funding projects and the full realization of the benefits the projects are intended to generate.

20.319 HIGH-SPEED RAIL CORRIDORS AND INTERCITY PASSENGER RAIL SERVICE CAPITAL ASSISTANCE GRANTS

HSR/IPR Program

FEDERAL AGENCY:

Federal Railroad Administration (FRA), Department of Transportation

AUTHORIZATION:

The Passenger Rail Investment and Improvement Act of 2008 (PRIIA) , Public Law 111-5.

OBJECTIVES:

To assist in financing the capital costs of facilities, infrastructure, and equipment necessary to provide or improve high-speed rail and intercity passenger rail service. Funds are made available pursuant to three authorized programs: Intercity Passenger Rail Service Corridor Capital Assistance, Congestion Grants; and High-Speed Rail Corridor Development.

TYPES OF ASSISTANCE:

Cooperative Agreements; Project Grants

USES AND USE RESTRICTIONS:

Assistance can be used to develop projects, programs and planning. This includes Projects which will result in the creation of new or substantially improved High-Speed Rail/Intercity Passenger Rail service, and will provide tangible and measurable benefits, such as on-time performance improvements, travel-time reductions and higher service frequencies resulting in increased ridership. Final Design, the last phase of project development, includes right-of-way acquisition, utility relocation, the preparation of final design plans, construction management plans, system safety plans for construction management and operations, safety certification, any required collision hazard analysis, final construction cost estimates and detail specifications, as well as procurement of construction services and equipment. Funds may not be used to funding operating expenses, or for commuter rail passenger transportation. All funds are discretionary.

Applicant Eligibility:

Profit organization, Other private institutions/organizations, State & Transportation.

Beneficiary Eligibility:

The general public, both users and non-users of intercity passenger rail service. State departments of transportation and other public agencies, although private transportation companies may participate through contractual arrangements with a State department of transportation.

Credentials/Documentation:

Department of Transportation, Federal Railroad Administration (FRA) Assurances and Certification forms including: (1) Certification Regarding Debarment, Suspension, and Other Responsibility Matters Primary Covered Transactions; (2) Certification Regarding Debarment, Suspension, Ineligibility

and Voluntary Exclusion Lower Tier Covered Transactions; (3) Certification Regarding Drug-Free Workplace Requirements; (4) Certification Regarding Lobbying, (5) Assurances Non- Construction Programs SF 424B; and (6) Certificate of Indirect Costs Assurances. Other documents may be required as pre-requisites to funding based on program type. Documentation demonstrating applicant eligibility (for applicants other than States); National Environmental Policy Act documentation for construction projects and service development programs; program-specific Assurances and Certifications; planning and engineering documentation. Also see Application Procedure. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

This program requires submission of environmental impact information based on the project type. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. An initial review of the applicant, project application and eligibility. The application is then reviewed and rated against the key criteria set forth in the NOFA. Funds are awarded after review and approval the required pre-requisite documentation.

Award Procedure:

Review the application package to ensure that all required documents are complete and signed by the appropriate person. Review the statement of work (SOW) and budget to ensure the appropriation language requirements are met. Review SOW for technical issues and budget for appropriate costs, including compliance with the National Environmental Policy Act and related laws and orders. Compare applications to determine relative satisfaction of published selection criteria. Select the applicants that are most qualified. After the application package is acceptable to both parties, a grant agreement is drafted, reviewed, and signed upon agreement by both parties.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

FRA is committed to responding to applicants in a reasonable and timely manner. Response times will vary based on size, scope, complexity and volume of applications.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching Requirements: Match requirements depend on the legislative authority, project type, and the terms of the specific NGA. This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

Funding appropriated under ARRA must be awarded to grantees by September 30, 2012, and must be expended by September 30, 2017. Funding appropriated under PRIIA must be obligated or expended within 2 years after the date on which the State received the grant. See the following for information on how assistance is awarded/released: Funding is obligated through cooperative agreements, and outlays occur as reimbursement. For complex corridor development programs, FRA will issue a Letter of Intent (LOI) that represents a contingent financial commitment to a States corridor program. A LOI does not represent an obligation or disbursement of funds. Funding will only be obligated and disbursed as milestones are achieved.

Reports:

The grantee shall submit the following reports: Quarterly progress reports giving an account of significant progress (findings, events, trends, etc.) made during the reporting period, and a description of any technical and/or cost problems encountered or anticipated that will affect completion of the grant. Quarterly financial status reports SF-425, Federal Cash Transaction Report.

Final report giving details of the results and benefits of the Grantee's improvement efforts. No cash reports are required. The grantee shall submit the following reports: Quarterly progress reports giving an account of significant progress (findings, events, trends, etc.) made during the reporting period, and a description of any technical and/or cost problems encountered or anticipated that will affect completion of the grant. In addition, grants funded under the American Recovery and Reinvestment Act must file quarterly 1512 reports with OMB detailing the progress made on their projects and the number of jobs created and/or retained. Quarterly financial status reports using SF 425, Financial Status Report. Grant recipients will be monitored periodically by FRA to ensure that the project goals, objectives, performance requirements, timelines, milestones, budgets, and other related program criteria are being met. Monitoring may include on site visits or detailed, interactive desk reviews. In addition, grantees are provided with intensive technical assistance from grants staff on a daily basis.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

During the course of its activities under a grant agreement and for three years thereafter, the applicant must agree to retain intact and to provide any data, documents, reports, records, contracts, and supporting materials relating to its performance under the agreement as FRA may require. Reporting and record-keeping requirements are set forth in 49 C.F.R. Part 19 for private non-profit and for-profit Grantees. Closeout does not alter these requirements.

Account Identification:

69-0719-0-1-401; 69-0718-0-1-401.

Obligations:

(Salaries) FY 12 \$1,959,690,193; FY 13 est \$97,667,000; and FY 14 est \$5,008,000

Range and Average of Financial Assistance:

No financial assistance yet awarded. Approximately 44 million per grant obligation.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Project sponsors in 16 States have substantially completed 26 High Speed and Intercity Passenger Rail projects, including 13 construction projects to upgrade stations, improve operational efficiency, and enhance services and passenger experience. As a result, passenger rail service has been extended to Freeport and Brunswick, Maine, and track, signal, and bridge improvements are now in-service on Amtrak's Vermonter. Fiscal Year 2013: In total, 40 construction projects for over \$1.9 billion are underway or complete (13 complete) in 17 states, and an additional 15 construction projects for approximately \$1.9 billion in 1 more state and the District of Columbia are expected to be underway during calendar 2013. Approximately 76% of obligated construction projects and nearly 40% of obligated construction funding are expected to be underway or complete by the end of the fiscal year. Fiscal Year 2014: The FY2014 National High Performance Rail System (NHPRS) investment (\$6.4 billion proposed), building upon previously appropriated funds, will result in: development of new passenger rail corridors, procurement of at least 100 locomotives and 400 rail cars, funding of 30 state rail plans and corridor service development plans to build a future rail investment pipeline, reduction in the infrastructure maintenance backlog on the Northeast Corridor, advancement of positive train control needs on Amtrak routes and commuter rail systems, and initiation of upgrades, expansions, and construction of new freight intermodal corridors, facilities, and connections.

REGULATIONS, GUIDELINES, AND LITERATURE:

Interim program guidance is provided within Notices of Funding Availability. Additional information is available as needed on the FRA website and from

FRA grants management staff.

Regional or Local Office:

None.

Headquarters Office:

Gina Matarassi 1200 New Jersey Ave, S.E., Washington, District of Columbia
20590 Phone: (202) 493-6139 Fax: (202) 493-6381.

Website Address:

<http://www.fra.dot.gov>

RELATED PROGRAMS:

20.317 Capital Assistance to States - Intercity Passenger Rail Service

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Funded projects are intended to: 1. Build new high-speed rail corridors that expand and fundamentally improve passenger transportation in the geographic regions they serve; 2. Upgrade existing intercity passenger rail corridors to improve reliability, speed, and frequency of existing services; and 3. Lay the groundwork for future high-speed rail services through corridor and state planning efforts. Examples of currently funded projects include: Illinois: nearly 1,000 people are at work improving the Chicago-St. Louis corridor, which initiated 110 mile-per-hour service in November 2012; North Carolina: Upgrades to Cary Depot Station, High Point Station, Burlington Station, Raleigh Capital Yard, and station security are complete on the Charlotte-Raleigh corridor, and construction is ongoing on grade separation, passing siding, and canopy work. California: Work is ongoing at Transbay Transit Center to build the platforms, concourse, and tracks for the intercity rail portion of this modern multimodal transit hub in downtown San Francisco. Oregon and Washington: Construction is complete in Tacoma and at Portlands Union Station, and construction is ongoing along the Pacific Northwest Corridor to add daily round trips, reduce travel time, and improve on-time performance between Seattle and Portland. Connecticut: Initial construction work, including signal and fiber optic cable installation, is underway on the New Haven-Springfield Corridor, which will see round-trips triple upon project completion. Michigan: Construction of a new station and platform in Dearborn is underway, and the purchase and ownership transfer of 135 miles of rail line between Kalamazoo and Dearborn has been completed, clearing the way for the rehabilitation of track and signal systems to begin. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Applications will be individually evaluated against the following criteria, listed in order of priority: 1) achieving transportation solutions in a cost effective manner; 2) achieving public benefits in a cost effective manner; 3) sustainability of transportation and other public benefits; 4) management and minimization of project delivery risk; and 5) timeliness of project commencement and completion. Selection of applications for award will also take into account the following selection criteria: 1) regional balance necessary to create a nationwide high-speed rail and intercity passenger rail network and address urban and non-urban mobility needs; 2) balance and diversity of cost effective technological approaches to improving HSR and IPR service; 3) organizing multi-State partnerships and encouraging community involvement where appropriate; and 4) previous HSIPR Program grants and previous State investments in high-speed intercity passenger rail.

20.320 RAIL LINE RELOCATION AND IMPROVEMENT

Rail Line Relocation

FEDERAL AGENCY:

Federal Railroad Administration (FRA), Department of Transportation

AUTHORIZATION:

Safe, Accountable, Flexible, Efficient Transportation Equity Act: A Legacy for Users (SAFETEA-LU), Public Law 109-59.

OBJECTIVES:

To provide financial assistance for rail line relocation and improvement projects.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

The financial assistance may fund, but is not limited to, rehabilitation, relocation or construction of railroads, including planning activities.

Applicant Eligibility:

Government - General and Transportation.

Beneficiary Eligibility:

State.

Credentials/Documentation:

See Application Procedure. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

The applicant must submit the following information to the FRA through www.grants.gov: Application for Federal Assistance SF-424 Department of Transportation Federal Railroad Administration Assurances and Certification forms
<http://www.fra.dot.gov/downloads/admin/assurancesandcertifications.pdf>: Certification Regarding Debarment, suspension, and other Responsibility Matters Primary Covered Transactions. o Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion Lower Tier Covered Transactions. Certification Regarding Drug-Free Workplace Requirements. o Certification Regarding Lobbying. o Assurances - Non-Construction Programs, SF 424E Certificate of Indirect Costs. Assurances - Construction Programs SF-424D Statement of Work Budget. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. The applicant must submit the following information: Application for Federal Assistance SF-424; US Department of Transportation Federal Railroad Administration Assurances and Certification forms
<http://www.fra.dot.gov/downloads/admin/assurancesandcertifications.pdf>; Certification Regarding Debarment, suspension, and other Responsibility Matters - Primary Covered Transactions; Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion - Lower Tier Covered Transactions; Certification Regarding Drug-Free Workplace Requirements; Certification Regarding Lobbying; Assurances - Non-Construction Programs, SF 424E; Certificate of Indirect Costs; Detailed project descriptions, statement of work, and budgets; Description of work completed to date; and description of type and source of matching funds.

Award Procedure:

Review the application package to ensure that all required documents are complete and signed by the appropriate person. Review the statement of work (SOW) and budget to ensure the appropriation language requirements are met. Review SOW for technical issues and budget for appropriate costs, including compliance with the National Environmental Policy Act and related laws and orders. Compare applications to determine relative satisfaction of published selection criteria. Select the applicants that are most qualified. After the application package is acceptable to both parties, a grant agreement is drafted, reviewed, and signed upon agreement by both parties.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: Percent: 10.%. This program has no statutory formula. All grantees will be required to provide at least a 10% match from non-program funds toward the grant budget. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

No restriction on period of time the assistance normally is available. Assistance is usually awarded upon request for reimbursement. See the following for information on how assistance is awarded/released: Assistance is usually awarded upon request for reimbursement.

Reports:

Quarterly financial status reports SF-425, Federal Cash Transaction Report. Final report giving details of the results and benefits of the Grantee's improvement efforts. Quarterly Federal Cash Transactions reports using SF 425. Quarterly progress reports giving an account of significant progress (findings, events, trends, etc.) made during the reporting period, and a description of any technical and/or cost problems encountered or anticipated that will affect completion of the grant. Quarterly Federal Cash Transactions reports using SF 425. Grant recipients will be monitored periodically by FRA to ensure that the project goals, objectives, performance requirements, timelines, milestones, budgets, and other related program criteria are being met.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

During the course of its activities under a grant agreement and for three years thereafter, the applicant must agree to retain intact and to provide any data, documents, reports, records, contracts, and supporting materials relating to its performance under the agreement as FRA may require. Reporting and record-keeping requirements are set forth in 49 C.F.R. Part 19 for private non-profit and for-profit Grantees. Closeout does not alter these requirements.

Account Identification:

69-0716-0-1-401.

Obligations:

(Project Grants (Cooperative Agreements)) FY 12 \$12,732,064; FY 13 est \$38,548,000; and FY 14 Estimate Not Available

Range and Average of Financial Assistance:

Range of previous awards was \$152,664 to \$4,000,000. Average award was \$2,050,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Projects mitigated the adverse effects of rail traffic on safety, motor vehicle traffic flow, community quality of life, including noise mitigation or economic development. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

These two Acts and associated regulations provide the primary guidance for this program: The National Environmental Policy Act of 1969 as amended (42 U.S.C. 4321 et seq.), and related laws; the Safe, Accountable, Flexible, Efficient Transportation Equity Act: A Legacy for Users (Public Law 109-59); 49 CFR Part 262.

Regional or Local Office:

See Regional Agency Offices. If necessary to contact a regional office, the contact information will be in a grant agreement.

Headquarters Office:

John Winkle 1200 New Jersey Ave SE., Washington, District of Columbia 20590 Phone: (202) 493-6067 Fax: (202) 493-6330.

Website Address:

<http://www.fra.dot.gov/us/content/2008>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Example of Projects in FY12 were;

1. Indianapolis Downtown Rail Relocation
2. Al Sylacauga Railroad Interchange Relocation
3. AK MP 407 Curve Realignment
4. SC South Carolina Public Railways S Curve Realignment. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Selection criteria were included in SAFETEA-LU and the Final Rule establishing the program. For the earmarks, the work and cost estimates are reasonable and are within the scope of the program regulations and the appropriation language. For the earmarks, the work and cost estimates are reasonable and are within the scope of the program regulations and the appropriation language.

20.321 RAILROAD SAFETY TECHNOLOGY GRANTS

FEDERAL AGENCY:

Federal Railroad Administration (FRA), Department of Transportation

AUTHORIZATION:

Section 105 of the Rail Safety Improvement Act of 2008: Expires End of FY 2013, Public Law 110-432, 49 U.S.C 20158.

OBJECTIVES:

To facilitate the deployment of train control technologies, train control component technologies, processor-based technologies, electronically controlled pneumatic brakes, rail integrity inspection systems, rail integrity warning systems, switch position indicators and monitors, remote control power switch technologies, track integrity circuit technologies, and other new or novel railroad safety technology.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Projects that that make train control technologies interoperable between railroad systems, accelerate the deployment of train control technology on high risk corridors such as those that have high volumes of hazardous materials shipments or over which commuter or passenger trains operate or benefit both passenger and freight safety and efficiency. Any proposed project that is merely an idea or a concept without prior proofs or tests to demonstrate its technical and scientific soundness will not be considered. Proposed technologies or methods must be practical and reduce risks associated with completing the deployment of the core PTC network by December 31, 2015. Grant funds may not be used for lobbying or in direct support of litigation. Potential recipients should note these funds are awarded on project-by-project basis and are not block grants. The detailed focus areas are defined in the agency announcement. Grant funds may not be used for lobbying or in direct support of litigation. Potential recipients should note these funds are awarded on project-by-project basis and are not block grants. The detailed focus areas are defined in the agency announcement. 100 percent of the funds are discretionary grants.

Applicant Eligibility:

Local (includes State-designated Indian Tribes, institutions of higher education excludes hospitals.

Passenger and freight railroad carriers, railroad suppliers, and State and local governments.

Applicants need not have developed plans required under subsection 20156(e)(2) of title 49, United States Code section 20157 of such title.

Priority will be given to applicants who have completed the plans required under subsection 20156(e)(2) of title 49, United States Code section 20157. Individuals are not eligible for these awards.

Beneficiary Eligibility:

Passenger and freight railroad carriers, railroad suppliers, State and local governments. Including institutions of higher learning.

Credentials/Documentation:

U.S. Department of Transportation Federal Railroad Administration Assurances and Certification forms

<http://www.fra.dot.gov/downloads/admin/assurancesandcertifications.pdf>. Certification Regarding Debarment, Suspension, and Other Responsibility

Matters-Primary Covered Transactions, Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion-Lower Tier Covered Transactions, Certification Regarding Drug-Free Workplace Requirements, Certification Regarding Lobbying, Assurances-Non-Construction Programs, SF 424E, Certificate of Indirect Costs, Assurances - Construction Programs SF-424D. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. No appropriated funds remaining, Not accepting applications

Award Procedure:

No appropriated funds remaining, Not accepting applications.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

No appropriated funds remaining, Not accepting applications.

Appeals:

No appropriated funds remaining, Not accepting applications.

Renewals:

Renewals generally require reapplication with a new proposal. Extensions can generally be handled under the terms of the original grant, cooperative agreement, or other transaction contingent upon availability of funding.

Formula and Matching Requirements:

Statutory Formula: Title 49, Part 20158.

Matching Requirements: Percent: 80%. Federal funds for any eligible project shall not exceed 80 percent of the total cost of such project.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

No appropriated funds remaining, Not accepting applications. See the following for information on how assistance is awarded/released: FRA will award grants for the full federal amount, however, recipients shall receive funds on a reimbursable basis.

Reports:

The grantee shall submit the following reports: Quarterly progress reports giving an account of significant progress (findings, events, trends, etc.) made during the reporting period, and a description of any technical and/or cost problems encountered or anticipated that will affect completion of the grant. Quarterly financial status reports SF-425, Federal Cash Transaction Report. Final report giving details of the results and benefits of the Grantee's improvement efforts. Quarterly Federal Cash Transactions reports using SF 425. Quarterly progress reports giving an account of significant progress (findings, events, trends, etc.) made during the reporting period, and a description of any technical and/or cost problems encountered or anticipated that will affect completion of the grant. Quarterly financial status reports using SF 425. Grant recipients will be monitored periodically by FRA to ensure that the project goals, objectives, performance requirements, timelines, milestones, budgets, and other related program criteria are being met.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

During the course of its activities under a grant agreement and for three years after submission of the final Federal Financial Report (SF-425), the applicant must agree to retain intact and to provide any data, documents, reports, records,

contracts, and supporting materials relating to its performance under the agreement as FRA may require. Reporting and record-keeping requirements are set forth in 49 C.F.R. Part 19 for private non-profit and for-profit Grantees. Closeout does not alter these requirements.

Account Identification:

69-0701-0-1-401.

Obligations:

(Project Grants) FY 12 \$0; FY 13 est \$87,106; and FY 14 est \$0 - These funds can not be used for salaries and benefits.

Range and Average of Financial Assistance:

Awards may be of any dollar value so long as those amounts do not exceed the total amount available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: No additional funding available. .Originally 9 Grants awarded - 2 grants completed in FY 13: Metrorcomm Communications Corporation and PTC 220 MHZ Software Defined Radio \$21M. We also anticipated the Howard grant to be completed this FY. Was originally extended at government request to address emergent technical questions. Fiscal Year 2013: Continue work in accordance with individual project schedules. Fiscal Year 2014: Anticipate following grants to be completed in FY 14: Railroad Research Foundation - TIH Routing \$1.5M) and 3 grants approaching completion (SCRRA, KCS, and WABTEC) 3 delayed start pending availability of required equipment (Amtrak, MYMTA, and MARC).

REGULATIONS, GUIDELINES, AND LITERATURE:

The Rail Safety Improvement Act of 2008 (Public Law 110-432) and associated regulations.

Regional or Local Office:

None. If necessary to contact a regional office, the contact information will be in a grant agreement.

Headquarters Office:

Mark Hartong, Office of Railroad Safety, Federal Railroad Administration, Mail Stop 25, 1200 New Jersey Ave SE. , Washington, District of Columbia 20590 Email: mark.hartong@dot.gov Phone: (202) 493-1332.

Website Address:

<http://www.fra.dot.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No new projects anticipated.

No new funds anticipated. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Authorization Expires end of FY 2013. No appropriated funds available. Not accepting new applications. Any technology forming the basis for a proposal must be capable of application to improve the ability to timely deploy interoperable PTC systems that meet the requirements of Public Law 110-432. This may include improvements to the railroad capital equipment or infrastructure in train control and dispatching/traffic control systems, or to the quality improvement of processes and procedures of train operation. The work and cost estimates are reasonable and are within the scope of the appropriation language. For the grants competed, the selection criteria will be specified in the final regulations, and shall include, but shall not be limited to, prioritizing criteria defined in relevant authorizing and appropriations law, and criteria intended to ensure the timely completion of funding projects.

20.323 FISCAL YEAR 2013 HURRICANE SANDY DISASTER RELIEF GRANTS TO THE NATIONAL RAILROAD PASSENGER CORPORATION

FEDERAL AGENCY:

Federal Railroad Administration (FRA), Department of Transportation

AUTHORIZATION:

The Disaster Relief Appropriations Act, 2013 (Public Law No. 113-2).

OBJECTIVES:

To provide supplemental assistance to the National Passenger Railroad Corporation for disaster assistance related to Hurricane Sandy.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

As specified in individual Grant Opportunity announcements, assistance may be used to fund repair expenses or resiliency projects.

Applicant Eligibility:

As directed by authorizing and appropriating statute, assistance is available only to the National Railroad Passenger Corporation.

Beneficiary Eligibility:

As directed by authorizing and appropriating statute, assistance is available only to the National Railroad Passenger Corporation.

Credentials/Documentation:

See Application Procedures. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. The applicant must submit the following information: Application for Federal Assistance SF-424; US Department of Transportation Federal Railroad Administration Assurances and Certification forms

<http://www.fra.dot.gov/downloads/admin/assurancesandcertifications.pdf>; Certification Regarding Debarment, suspension, and other Responsibility Matters - Primary Covered Transactions; Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion - Lower Tier Covered Transactions; Certification Regarding Drug-Free Workplace Requirements; Certification Regarding Lobbying; Assurances - Non-Construction Programs, SF 424B or Assurances Construction, SF424D (whichever is specified in the announcement); Certificate of Indirect Costs; Detailed project descriptions, statement of work, and budgets.

Award Procedure:

Review the application package to ensure that all required documents have been submitted and are complete and signed by the appropriate person. Review the statement of work (SOW) and budget to ensure the appropriation language requirements are met. Review SOW for technical issues and budget for appropriate costs, including compliance with the National Environmental Policy Act and related laws and orders. After the application package is acceptable to both parties, a grant agreement is drafted, reviewed, and signed upon agreement by both parties.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Timing of Approval/Disapproval typically ranges from between 2-6 weeks.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

Length and time phasing of assistance is dependent on the specific

appropriating and/or authorizing law governing the grant opportunity. See the following for information on how assistance is awarded/released:
Reimbursement.

Reports:

The grantee shall submit the following reports: Monthly progress reports giving an account of significant progress (findings, events, trends, etc.) made during the reporting period, and a description of any technical and/or cost problems encountered or anticipated that will affect completion of the grant. Monthly financial status reports using SF 425, Federal Financial Report. Monthly Federal Cash Transactions reports using SF 425, Federal Cash Transaction Report. Through the grant agreement, the grantee may be required to submit various other reports. Final report giving details of the results and benefits of the Grantee's activities. Monthly Federal Financial Status Report SF 425, Federal Cash Transaction Report. The grantee is required to submit the following reports: Monthly progress reports giving an account of significant progress (findings, events, trends, etc.) made during the reporting period, and a description of any technical and/or cost problems encountered or anticipated that will affect completion of the grant. Monthly Federal Financial Status Report; Federal Cash Transaction Report. Monthly detailed reports regarding performance against project budgets and schedules. Final report giving details of the results and benefits of the Grantee's activities.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

During the course of its activities under a grant agreement and for 3 years thereafter, the applicant must agree to retain intact and to provide any data, documents, reports, records, contracts, and supporting materials relating to its performance under the agreement as FRA may require. Reporting and record-keeping requirements are set forth in 49 CFR Part 19 for private non-profit and for-profit Grantees. Closeout does not alter these requirements. Additional audit requirements are dependent on the specific appropriating and/or authorizing law governing the grant opportunity.

Account Identification:

69-0704-0-1-401.

Obligations:

(Salaries) FY 12 Not Available(Exp: New program for FY2013.); FY 13 est \$112,100,000; and FY 14 Estimate Not Available - New program.

Range and Average of Financial Assistance:

Funding available under this program is currently projected to range from \$30 million to \$86 million. Because this is a new program, awards have not been made in the past under this CFDA program.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Federal Railroad Administration 1200 New Jersey Avenue, S.E., Washington, District of Columbia 20590 Phone: (202) 493-6454

Website Address:

<http://www.fra.dot.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Proposals for projects must support the stated strategic goals of the applicant organization, align with the priorities identified in authorization or appropriation statutes, and of the Secretary of Transportation, and be accompanied by detailed scope, schedule and budget documentation. Quantitative or qualitative justification of project prioritization must be provided.

20.500 FEDERAL TRANSIT CAPITAL INVESTMENT GRANTS

Fixed Guideway Capital Investment Grants

FEDERAL AGENCY:

Federal Transit Administration (FTA), Department of Transportation

AUTHORIZATION:

Moving Ahead for Progress in the 21st Century (MAP-21), Public Law 112-141, 49 U.S.C 5309.

OBJECTIVES:

To assist in financing the acquisition, construction, reconstruction, and improvement of facilities, rolling stock and equipment for use in public transportation service (New Starts/Small Starts discretionary program for new fixed guideway systems and extensions of existing systems).

TYPES OF ASSISTANCE:

FORMULA GRANTS; PROJECT GRANTS

USES AND USE RESTRICTIONS:

Section 5309 (20.500 in FY 2012 was a compilation of 3 programs (Bus, New Starts and Fixed Guideway Modernization). Under MAP-21, the Bus and Fixed Guideway portions are no longer a part of 20.500. The Bus and Bus Facilities program is now Section 5339 (CFDA 20.526). The Fixed Guideway Modernization Program was renamed Section 5337 State of Good Repair (CFDA 20.525). However, Section 5309 (20.500) still exists because MAP-21 renamed it as Section 5309 Fixed Guideway Capital Investment Grants.

Funds may be used to assist State and local governmental authorities in financing: capital projects for new fixed guideway systems, and extensions to existing fixed guideway systems, including the acquisition of real property, the initial acquisition of rolling stock for the systems, and the acquisition of rights of way, and relocation, for fixed guideway corridor development for projects in the advanced stages of alternatives analysis or preliminary engineering; capital projects, the acquisition, construction, reconstruction and improvement of facilities and equipment for use by operation or lease or otherwise in mass transportation service, including property and improvements needed for an efficient and coordinated mass transportation system, including buses and bus facility equipment; the capital costs of coordinating transit with other transportation; and the introduction of new technology, through innovative and improved transportation; and the introduction of new technology, through innovative and improved products. Consideration may also be given for projects which enhance urban economic development; establish new or enhanced coordination between transit and other transportation; or enhance the effectiveness of a transit project and are related physically or functionally to that transit project. It could also include financing for transit projects planned, designed, and carried out to meet the special needs of elderly individuals and individuals with disabilities; for the development of corridors to support fixed guideway systems, including protecting rights of way through acquisition, construction of dedicated bus and high occupancy vehicle lanes and park-and-ride lots, and other non-vehicular capital improvements that the Secretary may decide would result in increased transit usage in the corridor. In FY 2012 the New Starts and Bus Programs are not partially earmarked, leaving unallocated funds. Unallocated funds totaling \$489,106,722 for the Bus program are available for allocation through a discretionary competitive award process.

Applicant Eligibility:

Public agencies, including States; municipalities and other subdivisions of States; public agencies and instrumentalities of one or more States; and public corporations, boards, and commissions established under State law. Applicant must have legal, financial, and technical capacity to carry out proposed project, including safety and security aspects, and maintain facilities and equipment purchased with Federal assistance. Fixed Guideway formula funds are

apportioned by formula to urbanized areas over 200,000 population with fixed guideway segments at least one mile long that are over seven years old. Bus projects will be allocated through a discretionary award process. New Starts project funding will be decided at the discretion of the Secretary of Transportation. Private non-profit organizations are not eligible direct recipients.

Beneficiary Eligibility:

The general public, both users and non-users of public transportation. Public agencies, although private transportation companies may participate through contractual arrangements with public agency grantee.

Credentials/Documentation:

Resolution by an authorized public body approving the filing for an application; projects must be included in an urbanized area's transportation improvement program (TIP), in the State transportation improvement program (STIP) and approved by FTA and FHWA; information must be provided on labor and relocation; environmental impact statement; legal opinion; coordinated regional planning documentation; maintenance certification; and compliance with certifications and assurances as compiled in FTA's Annual List of Certifications and Assurances. Cost will be in accordance with OMB Circular No. A-87 for State and local governments. Federal Register Notice FTA Fiscal Year Apportionments, Allocations, and Program Information, published annually contains the capital investment apportionments. For fiscal year 2009, the Federal Register notice with the full year's apportionment will be published in late March 2009. For other fiscal years, contact the FTA Regional Office to obtain the publication dates or the FTA website: www.fta.dot.gov/. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

An environmental impact statement may also be required upon completion of review of the assessment. An environmental impact statement is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. This program is subject to the provisions of OMB Circular No. A-87 and 49 CFR Part 18 and FTA Circular 9300.1A, "Capital Program: Grant Application Instructions," October 1, 1998. Applications are made to the regional offices of the Federal Transit Administration listed in Appendix IV of the Catalog.

Award Procedure:

An FTA grant award obligating Federal funds is reflected in a grant agreement. Grants are awarded electronically using FTA's Transportation Electronic Award Management (TEAM) system, <http://ftateamweb.fta.dot.gov/fta-flash2b.html>. In order to access this system, a user name and password are needed and can be obtained by contacting the regional offices. Once the funds are reserved in TEAM and the project information has been reviewed and approved by Headquarters, the recipient must execute the grant agreement to access the funds.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Not Applicable.

Renewals:

Funding is made available annually through the appropriation process. Grants may be amended to add newly available funds for a continuing project.

Formula and Matching Requirements:

Statutory Formula: Title 49, Part 5309.

Matching Requirements: Funds for fixed guideway modernization are apportioned by statutory formula to eleven legislatively specified areas and to

other areas that have fixed guideway mileage over 7 years old. Funds for bus and bus related improvements and New Starts are allocated on a discretionary basis and can be allocated on a statutory basis. A grant may be made up to 80 percent of net project cost. Vehicle related equipment is required to comply with ADA or Clean Air Act may be funded at 90 percent Federal share. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Terms and conditions are contractually agreed on between FTA and the applicant. See the following for information on how assistance is awarded/released: The grants may be awarded in one lump sum or in smaller increments as specified by the grantee.

Reports:

(1) Progress reports; (2) Financial status reports; (Submitted quarterly for recipients in urbanized areas over 200,000 population, annually for other recipients and states); (3) construction reports where applicable. (1) Progress reports; (2) Financial status reports; (Submitted quarterly for recipients in urbanized areas over 200,000 population, annually for other recipients and states); (3) construction reports where applicable. (1) Progress reports; (2) Financial status reports; (Submitted quarterly for recipients in urbanized areas over 200,000 population, annually for other recipients and states); (3) construction reports where applicable. (1) Progress reports; (2) Financial status reports; (Submitted quarterly for recipients in urbanized areas over 200,000 population, annually for other recipients and states); (3) construction reports where applicable. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Recipient is required to retain intact, for 3 years following submission of final expenditure report, pending resolution of audit findings, all project contract documents, financial records, and supporting documents.

Account Identification:

69-1133-0-1-401; 69-8350-0-7-401; 69-8350-0-7-401; 69-1134-0-1-401; 69-1102-0-1-401.

Obligations:

(Salaries) FY 12 \$2,939,000,000; FY 13 est \$1,955,000,000; and FY 14 est \$1,981,472,000 - Bus and Bus Facility Grants FY12 \$984,000,000 and FY13 \$0. Fixed Guideway Modernization Grants FY12 \$1,955,000,000 and FY13 1,955,000,000. (Salaries) FY 12 \$0; FY 13 est \$0; and FY 14 est \$0 - Recovery Act New Starts/Small Starts FY 12 \$0.00 ; FY13 est. not applicable ; FY14 est. not applicable and Fixed Guideway Modernization Grants FY12 not applicable ; FY13 est. not applicable.

Bus and Bus Facility Grants ; FY12 est. not applicable; and FY13 \$0 . (Project Grants) FY 12 \$0; FY 13 est \$0; and FY 14 est \$0 - Recovery Act New Starts/Small FY 12 not applicable; FY 13 not applicable; Bus and Bus Facility Grants FY12 est. \$0.00 ; and FY13 \$0.00; Fixed Guideway Modernization Grants FY12 not applicable and FY13 not applicable.

Fixed Guideway Modernization Grants FY12 \$0.00, and FY13 \$0.00. (Project Grants) FY 12 \$0; FY 13 est \$0; and FY 14 est \$0 - New Starts/Small Starts Grants . FY12 \$0.00, FY13 \$0.00; FY14 \$0.00.

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Capital Investment Program: Awarded two Full Funding Grant Agreements and two Project Construction Grant Agreements for the following projects: Silicon Valley Berryessa Extension, Portland-Milwaukie Light Rail, Austin MetroRapid Bus Rapid Transit, and Fort Collins-Mason Corridor Bus Rapid Transit. In FY 2012, thirteen existing FFGAs have received \$1,368 million New Starts grants; Alaska Denali Commission and Alaska/Hawaii Ferry Program have received \$5 million and \$15 million

respectively.

Bus and Bus Facility Program: In FY 2012, Bus and Bus Facility program provided grants for nine Bus Rapid Transit projects, totaling \$188.1 million, including New Britain Hartford, El Paso Mesa Corridor, King County E line and F Line, Oakland East Bay, San Francisco Van Ness BRT, Grand Rapids BRT, Jacksonville North Corridor, and Fresno Area BRT. This program provided grants for Ferry Boat Systems projects for eight ferry systems: San Francisco, Staten Island, Philadelphia, New York, New Jersey, Maine, and Massachusetts. Fixed Guideway Program: Fixed Guideway program provided \$1,243 million for 63 urbanized areas that have fixed guideway systems that are more than 7 years old to maintain and modernize their systems. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Contact the FTA Regional Offices. See FTA Circular 9300.1B , "Capital Investment Program: Guidance and Application Instructions," November 1, 2008 , located at http://www.fta.dot.gov/legislation_law/12349_8642.html.

Regional or Local Office:

See Regional Agency Offices. See Appendix IV: Agency Regional and Local Office Addresses of the Catalog for a listing of the Federal Transit regional offices.

Headquarters Office:

Eric Hu, 1200 New Jersey Avenue, S.E., , Washington, District of Columbia 20590 Phone: (202) 366-0870.

Website Address:

<http://www.fta.dot.gov>.

RELATED PROGRAMS:

20.205 Highway Planning and Construction; 20.505 Federal Transit_Metropolitan Planning Grants; 20.507 Federal Transit_Formula Grants; 20.509 Formula Grants for Other Than Urbanized Areas; 20.513 Capital Assistance Program for Elderly Persons and Persons with Disabilities; 20.515 State Planning and Research

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Capital Investment Program: Existing projects with Full Funding Grant Agreements: Salt Lake Weber County Commuter Rail, Dallas Northwest Southeast LRT, New York East Side Access project, New York Second Avenue Subway project, Salt Lake City Mid Jordan light rail project, Seattle University Link light rail project, Northern Virginia Dulles Metro Rail project, St. Paul Minneapolis Central Corridor project light rail project, Orlando Central Florida commuter rail project, Denver RTD project, Houston North and Southeast Corridor projects, and Salt Lake City Draper Light Rail.

Recommended FFGA projects: San Francisco Central Subway and Honolulu High Capacity Transit Corridor. PCGA projects: San Bernardino Bus Rapid Transit, Austin MetroRapid Bus Rapid Transit, and Fort Collins-Mason Corridor BRT.

Bus and Bus Facility Program: Grants provided to construct Bus Rapid Transit systems for Britain Hartford Busway, El Paso Mesa Corridor BRT, King County RapidRide E Line and F Line, Oakland East BRT, Grand Rapids BRT, Jacksonville North Corridor BRT, and Fresno Area Express BRT.

Fixed Guideway Program: New York, Chicago, and Philadelphia received \$369.9 million, \$141.9 million, and \$95 million respectively. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

1. Major, non-recurring capital investments. 2. Congressional designation (Bus and New Starts) 3. Formula allocation (Fixed Guideway Modernization) 4. New Starts projects are subject to extensive rating and evaluation process and must have FTA approval before entering into the Preliminary Engineering and Final Design Phases.

20.505 METROPOLITAN TRANSPORTATION PLANNING

(Metropolitan Planning Program)

FEDERAL AGENCY:

Federal Transit Administration (FTA), Department of Transportation

AUTHORIZATION:

Moving Ahead for Progress in the 21st Century (MAP-21) , Public Law

112-141, 49 U.S.C 5305(d).

OBJECTIVES:

To assist in development of transportation improvement programs, long-range transportation plans, and other technical studies in metropolitan areas.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Activities assisted under this section may include preparation of transportation plans including transportation improvement programs and management systems; studies related to transportation management, operations, capital requirements, and economic feasibility; evaluation of previously funded capital projects; and other related activities in preparation for the construction, acquisition, or improved operation of transportation systems, facilities, and equipment.

Applicant Eligibility:

Apportionments are made to the States for formula distribution to the Metropolitan Planning Organizations designated for the urbanized areas within each State.

Beneficiary Eligibility:

Apportionments are made to the States for formula distribution to the Metropolitan Planning Organizations designated for the areas within each State.

Credentials/Documentation:

The Federal Register dated February 8, 2011 (FTA Fiscal Year 2011 Apportionments, Allocations and Program Information; Notice), provides the state apportionments in Table 2. For other fiscal years, contact the FTA Regional Office or access http://www.fta.dot.gov/funding/grants_financing_174.html. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Following each decennial Census, each State must submit to FTA for approval a formula, developed in cooperation with affected MPOs, for the distribution of a State's Section 5305(d) apportionment to MPOs in each urbanized area within the State. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Application for a grant should be addressed to the appropriate Regional Office of the Federal Transit Administration. FTA Circular 8100.1C dated September 1, 2008, Program Guidance and Application Instructions for Metropolitan Planning and State Planning and Research Program Grants, provides detailed application information. Available on the Internet at: http://www.fta.dot.gov/legislation/laws/12349_8454.html. States may apply through a paperless electronic grant application, review, approval, acceptance, and management process. This program is excluded from coverage under 49 CFR Part 19.

Award Procedure:

FTA will approve and release the grant by the end of the quarterly cycle or earlier provided the application is complete and received by FTA at the beginning of the quarterly cycle.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Grant applications are due in the appropriate FTA Regional Office no later than the first business day of the quarter.

Appeals:

Not Applicable.

Renewals:

Grants may be amended to add additional funds.

Formula and Matching Requirements:

Statutory Formula: Title 49, Part 5305(d).

Matching Requirements: The matching requirements vary. Funds obligated for State or metropolitan planning are granted an 80 percent federal, 20 percent local ratio. In addition, funds can be transferred from the Federal Highway Administration to form State Consolidated Planning Grants. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Funds are available for 3 years following the year for which they were appropriated. Method of awarding/releasing assistance: by letter of credit.

Reports:

(1) Progress reports; (2) Financial report upon completion of the project; and (3) Final technical study report (if applicable). SF-425. (1) Progress reports; (2) Financial report upon completion of the project; and (3) Final technical study report (if applicable). SF-425. (1) Progress reports; (2) Financial report upon completion of the project; and (3) Final technical study report (if applicable).

Audits:

This program is excluded from coverage under OMB Circular No. A-133. In accordance with the provisions of OMB Circular No. A-133, "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend \$500,000 or more in a year in Federal awards shall have a single or program specific audit conducted that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year with certain exceptions as stated in OMB Circular No. A-133.

Records:

Following submission of the final Financial Status Report pending resolution of audit findings, project sponsor is required to remain intact for 3 years, retain all project contract documents, financial records, and supporting documents intact for 3 years.

Account Identification:

69-1137-0-1-401; 69-8350-0-7-401.

Obligations:

(Formula Grants) FY 12 \$93,887,200; FY 13 est \$104,971,688; and FY 14 est \$106,543,000 - (Grants) FY12 \$93,887,200 ; and FY13 \$104,971,680 and \$106,543,000.

Range and Average of Financial Assistance:

Range and Average of Financial Assistance
\$20,000 to \$5,000,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: FTA apportioned funds to the states which then made grants to subrecipients within the states. Fiscal Year 2013: No Current Data Available
Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

FTA Fiscal Year 2010 Apportionments, Allocations, and Program Information; Notice and Fiscal Year 2010 Annual List of Certifications and Assurances for Federal Transit Administration Grants and Cooperative Agreements; Notice, published annually. For fiscal year 2010, the publication date was May 13, 2010. For other fiscal years, contact the FTA Regional Office to obtain the publication date for other fiscal years. FTA website: www.fta.dot.gov/funding/grants_financing_174.html.

Regional or Local Office:

See Regional Agency Offices. See Appendix IV of the Catalog for a listing of Federal Transit Administration regional offices and DOT Metropolitan Offices.

Headquarters Office:

Candace Noonon, 1200 New Jersey Avenue, SE, Washington, District of Columbia 20590 Phone: (202) 366-1648.

Website Address:

<http://www.fta.dot.gov>.

RELATED PROGRAMS:

20.205 Highway Planning and Construction; 20.500 Federal Transit_Capital Investment Grants; 20.507 Federal Transit_Formula Grants; 20.514 Public Transportation Research; 20.515 State Planning and Research

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Metropolitan planning funds provided to Oklahoma DOT for distribution to MPOs in Oklahoma to support multimodal transportation planning for each MPOs urbanized area as well as funding for transportation improvement programs, preparation of transportation plans and studies related to transportation management. Fiscal Year 2013: No Current Data Available
Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Funding is allocated to the States for pass through to various Metropolitan Planning Organizations within their jurisdictions.

20.507 FEDERAL TRANSIT_FORMULA GRANTS

(Urbanized Area Formula Program)

FEDERAL AGENCY:

Federal Transit Administration (FTA), Department of Transportation

AUTHORIZATION:

Moving Ahead for Progress in the 21st Century (MAP-21). , Public Law 112-141, 49 U.S.C 5307.

OBJECTIVES:

To support public transportation services in urbanized areas (Census designated areas over 50,000 in population).

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Funds may be used for capital projects to finance the planning, acquisition, construction, cost-effective lease, improvement, and maintenance of equipment and facilities for use in transit. One percent of the funds apportioned to urbanized areas with a population of at least 200,000 must be expended for Associated transit improvements. For urbanized areas with populations under 200,000, funds may be used to finance transit operating costs. Recipients of these grants are required to make information available to the public and to publish a program of projects to afford affected citizens opportunities through public hearings to submit comments on the proposed program and the performance of the recipient. In addition, a Passenger Ferry Grant Program component has been added under Section 5307 as a result of MAP-21 and will award funding on a competitive discretionary selection basis. One percent of the funds apportioned to urbanized areas with a population of at least 200,000 must be expended for associated transit improvements. For urbanized areas with populations under 200,000, funds may be used to finance transit operating costs.

Applicant Eligibility:

Funds will be made available to urbanized areas (as defined by the U.S. Census Bureau) through designated recipients, which must be public entities and have the legal capacity to receive and dispense federal funds. The Governor, responsible local officials, and publicly owned operators of mass transportation services must jointly select the designated recipient(s) for an urbanized area with a population of 200,000 or more. The Governor or his designee acts as the designated recipient for an urbanized area with a population of 50,000 to 199,999. Recipients must submit a program of projects to FTA; submit a program application to FTA; enter into formal agreements with FTA; and certify that public notification has been conducted.

Beneficiary Eligibility:

The general public, both users and non-users, and publicly owned operators of public transportation services.

Credentials/Documentation:

The following documentation must be submitted prior to award of a formula grant under this program: A resolution by an authorized public body approving the filing of an application, the urbanized areas transportation improvement program (TIP), and the Statewide transportation improvement program (STIP) approved by FTA and FHWA. In addition, information must be provided on labor agreements and relocation planning: environmental impact, legal capacity,

coordinated regional planning and compliance with certifications and assurances as compiled in FTAs Annual List of Certifications and Assurances. Eligible costs must be in accordance with OMB Circular No. A-87 for State and local governments. Prior to the award of a discretionary grant under this program, applicants must submit a project application based on criteria outlined in the Notice of Funding Availability published yearly in the Federal Register.

A notice is published annually in the Federal Register on FTAs fiscal year apportionments and applications. The notice contains a list of the amount apportioned to each urbanized area and the total available for discretionary award. The full apportionment amounts for fiscal year 2013 were published on May 13, 2013. Prior year notices can be found at FTAs website at www.fta.dot.gov/apportionments or by contacting the appropriate FTA Regional Office to obtain copies or publication dates. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance if the State has selected the program for review.

An environmental impact assessment may be required. In addition, an environmental impact statement may also be required. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. This program is subject to the provisions of OMB Circular No. A-87 and 49 CFR Part 18. Applications are to be submitted in the Transportation Electronic Award Management system. (TEAM-Web), which is the FTA electronic grant-making and record keeping system.

Award Procedure:

An FTA grant award obligating Federal funds is reflected in a grant agreement. To access funds, the recipient must execute the grant agreement.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 15 to 30 days. In FY 07, FTA obligated Urbanized Area formula grants in 26 days (on average)after submission of a complete application.

Appeals:

Opportunity for public hearing for interested persons.

Renewals:

Funds are apportioned annually to each urbanized area. Grants may be amended to add newly available funds for a continuing project.

Formula and Matching Requirements:

Statutory Formula: Title 49 USC, Part 5336, Public Law 112-141.
Matching Requirements: Percent: 10.%. The program operates under the statutory formula prescribed in 49 USC 5336. The federal share is not to exceed 80 percent of the net project cost. The Federal share may be 90 percent for the cost of vehicle-related equipment attributable to compliance with the American with Disabilities Act and the Clean Air Act Or 85 percent for the cost of a vehicle that complies with these requirements. The federal share may also be 90 percent for projects or portions of projects related to bicycles facilities. The federal share may not exceed 50 percent of the net cost for operating assistance. This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

Funds apportioned under the Urbanized Area Formula program are available for obligation by the Governor, designated recipient, or authorized grant recipient for a period of 5 years following the close of the fiscal year for which such funds are apportioned. Any amounts remaining unobligated at the end of this period are distributed among all urbanized areas. See the following for information on how assistance is awarded/released: Reimbursement of eligible project expenses.

Reports:

No program reports are required. SF -425. (1) Milestone progress reports. (2) Federal Financial reports, which must be submitted quarterly for recipients in urbanized areas over 200,000 in population; annually for other recipients and states. (3) Construction reports where applicable. (4) Annual report to the National Transit Database (NTD). SF-425. See audit requirements. Grantees receiving 500,000 or more have an audit requirement.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Recipient is required to retain intact, for 3 years following submission of the final expenditure report, pending resolution of audit findings, all project contract documents, financial records and supporting documents.

Account Identification:

69-8350-0-7-401; 69-1129-0-1-401.

Obligations:

(Formula Grants) FY 12 \$4,160,365,000; FY 13 est \$4,397,950,000; and FY 14 est \$4,458,650,000

Range and Average of Financial Assistance:

Varies according to local programming of available formula funds and the level of operating expenses incurred.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: FTA is estimated to awarded over 1,500 grants to Section 5307 program recipients in FY 2012. Fiscal Year 2013: 2013 FTA is expected to make approximately \$3.4 billion available to Section 5307 program recipients. FTA is estimated to award over 1,500 grants to Section 5307 program recipients in FY 2013. Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Formula Grant Application Instructions may be accessed on the FTA website or secured at no charge from the FTA Regional Offices - FTA Circular 9030.1D, "Urbanized Area Formula Program: Program Guidance and Application Instructions," May 1, 2010.

Regional or Local Office:

See Regional Agency Offices. See Appendix IV of the Catalog for a listing of Federal Transit Administration regional offices.

Headquarters Office:

Adam Schilage, Formula Program & Vanessa Williams, Passenger Ferry Grant Program 1200 New Jersey Avenue, S.E., Washington, District of Columbia 20590 Phone: (202) 366-2053 & (202) 366-4818

Website Address:

<http://www.fta.dot.gov/>.

RELATED PROGRAMS:

20.205 Highway Planning and Construction; 20.505 Federal Transit_Metropolitan Planning Grants; 20.509 Formula Grants for Other Than Urbanized Areas

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Acquisition and maintenance of public transportation vehicles; construction and maintenance of public transportation buildings,

infrastructure and facilities; operating expenses related to the provision of public transportation service in urbanized areas. Fiscal Year 2013: Acquisition and maintenance of public transportation vehicles; construction and maintenance of public transportation buildings, infrastructure and facilities; operating expenses related to the provision of public transportation service in urbanized areas. Fiscal Year 2014: Same types of projects listed above.

CRITERIA FOR SELECTING PROPOSALS:

Projects must be eligible public transportation projects and meet all formula grant requirements. Discretionary projects must respond to a list of criteria published in the Notice of Funding Availability as part of the application process.

20.509 FORMULA GRANTS FOR RURAL AREAS

(Rural Area Program)

FEDERAL AGENCY:

Federal Transit Administration (FTA), Department of Transportation

AUTHORIZATION:

Moving Ahead for Progress in the 21st Century (MAP-21), Public Law 112-141, 49 U.S.C 5311.

OBJECTIVES:

To improve, initiate, or continue public transportation service in nonurbanized areas (rural areas and small cities under 50,000 in population) and to provide technical assistance for rural transportation providers.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Section 5311 funds may be used for eligible capital expenses, project administration, and operating expenses needed to provide efficient and coordinated public transportation service in nonurbanized areas. States may use up to 15 per cent of the annual apportionment for planning and program administration. Job Access and Reverse Commute projects are also eligible under the program. Projects must provide for the maximum feasible coordination of public transportation sources assisted under this section with transportation services assisted by other Federal sources, and must provide for the maximum feasible participation of private operators. Fifteen percent of the State's annual apportionment must be spent to support rural intercity bus transportation, unless the governor certifies that such needs are adequately met. Rural Transit Assistance Program (RTAP) funds may be used for technical assistance, training, research, and related support services. States may use up to 10 per cent of the annual apportionment for planning and program administration. Projects must provide for the maximum feasible coordination of public transportation sources assisted under this section with transportation services assisted by other Federal sources, and must provide for the maximum feasible participation of private operators. Fifteen percent of the State's annual apportionment must be spent to support rural intercity bus transportation, unless the governor certifies that such needs are adequately met. Rural Transit Assistance Program (RTAP) funds may be used for technical assistance, training, research, and related support services.

Applicant Eligibility:

Only designated State agencies and Indian Tribes may apply directly to FTA for grants. Eligible sub-recipients may include State agencies, local public bodies and agencies thereof, nonprofit organizations, Indian tribes, and operators of public transportation services, including intercity bus service, in rural and small urban areas. Private for-profit operators of transit or paratransit services may participate in the program only through contracts with eligible recipients. Private intercity bus operators may participate as subrecipients or through contracts. Urbanized areas, as defined by the Bureau of the Census, are not eligible.

Beneficiary Eligibility:

The general public, both users and nonusers, and private and public providers of public transportation in nonurbanized areas.

Credentials/Documentation:

The State agency must be designated by the Governor to administer the program. Projects must be included in the State Transportation Improvement

Program (STIP) approved by FTA and FHWA. The state and subrecipients must agree to the standard labor protection warranty for the program. Environmental assessments may be required. Compliance with FTA's annual list of Certifications and Assurances is required. Eligible coast must be in accordance with OMB Circular A-87. The state must submit a program of projects listing all subrecipients and projects in accordance with FTA Circular 9040.1F issued April 4, 2007. FTA annually issues a Federal Register Notice of apportionments, allocations and program information. For fiscal year 12, the publication date was May 9, 2012.. Prior year notices can be found on the FTA website at www.fta.dot.gov or by contacting the appropriate FTA regional office to obtain copies or publication dates. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Eligible sub-recipients should submit application to the State agency designated by the Governor to administer the program. This agency will evaluate and select eligible applicants and submit a program of projects to the Federal Transit Administration. The provisions of 49 CFR, Part 18, apply to the state and governmental bodies, and 49 CFR Part 19 applies to the private non-profit organizations.

Award Procedure:

The Federal Transit Administration approves the State's program of projects. An FTA grant award obligating Federal funds is reflected in a grant agreement. To access funds, the state must execute the grant agreement. The state implements grant agreements or other instructions with the subrecipients.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. As prescribed by the State agency designated to administer the Section 5311 program. FTA approves grants on a quarterly release cycle.

Appeals:

Interested persons are afforded the opportunity of a public hearing for capital projects.

Renewals:

Subrecipients typically receive funding through the designated State agency.

Formula and Matching Requirements:

Statutory Formula: Title 49, Part 18.
Matching Requirements: The program operates under the statutory formula prescribed in 49 USC 5335. The federal share is no to exceed 80 percent of the net project cost for capital projects The federal share may be 90 percent for the cost of vehicle-related equipment attributable to compliance with the American with Disabilities Act and the

Clean Air Act or 85 percent for the cost of a vehicle that complies with these requirements. The federal share may also be 90 percent for projects or portions of projects related to bicycle facilities. The federal share may not exceed 50 percent of the net cost for operating assistance.

This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

Funds are apportioned annually to the States. Amounts apportioned are available for obligation by the State designated agency for a period of 2 years following the close of the fiscal year for which sums are apportioned, and amounts remaining unobligated at the end of such period shall be apportioned among the States for the succeeding fiscal year. States usually fund local

recipients on an annual cycle. Method of awarding/releasing assistance: lump sum.

Reports:

Program reports are not applicable. SF-425. Progress reports are submitted annually. States may require additional reports from recipients. Financial Status Reports are submitted annually. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Recipient is required to retain intact, for 3 years following submission of the final expenditure report, all contract documents, financial records, and supporting documents.

Account Identification:

69-8350-0-7-401; 69-1129-0-1-401.

Obligations:

(Formula Grants) FY 12 \$465,000,000; FY 13 est \$599,500,000; and FY 14 est \$607,800,000

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Formula portion of program: FTA obligated 275 grant applications for approximately \$532 million. Discretionary portion of program: FTA received 109 applications requesting \$44 million. FTA awarded approximately 70 applications for \$15 million. Fiscal Year 2013: Discretionary portion of program: FTA anticipates 120 applications requesting approximately \$43 million. FTA anticipates awarding approximately 70 applications. Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

FTA Circular 9040.1, Nonurbanized Area Formula Program Guidance and Grant Application instructions.

Regional or Local Office:

See Regional Agency Offices. See Appendix IV of the Catalog for the address of Federal Transit Administration Regional Offices. State Designated Agency: The Governor in each State has designated a State agency to administer the Section 5311 program. This should be the first contact point. Regional Offices: A person from each Federal Transit Administration Regional Office is available to answer questions about Federal regulations related to the Nonurbanized Formula Program.

Headquarters Office:

Lorna Wilson, Office of Program Management, 1200 New Jersey Avenue, SE., Washington, District of Columbia 20590 Phone: (202) 366-0893.

Website Address:

<http://www.fta.dot.gov>.

RELATED PROGRAMS:

20.500 Federal Transit_Capital Investment Grants; 20.507 Federal Transit_Formula Grants; 20.513 Capital Assistance Program for Elderly Persons and Persons with Disabilities; 20.516 Job Access_Reverse Commute; 20.521 New Freedom Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: The Pennsylvania Department of Transportation submitted a grant application to provide operating assistance for 23 rural operators and 5 intercity bus operators. The grant also included capital assistance and State administration to support administrative functions. Additionally, it included Rural Transit Assistance Program funding for training and technical assistance and related support services. Fiscal Year 2013: No Current Data Available
Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

As described by the State designated agency in its procedures or State management plan.

20.513 ENHANCED MOBILITY OF SENIORS AND INDIVIDUALS WITH DISABILITIES

FEDERAL AGENCY:

Federal Transit Administration (FTA), Department of Transportation

AUTHORIZATION:

Moving Ahead for Progress in the 21st Century (MAP-21) Act , Public Law 112-141, 49 U.S.C 5310.

OBJECTIVES:

To provide financial assistance in meeting the transportation needs of elderly persons and persons with disabilities where public transportation services are unavailable, insufficient or inappropriate. The Section 5310 program is designed to supplement FTA's other capital assistance programs by funding transportation projects for elderly persons and persons with disabilities in all areas - urbanized, small urban, and rural. The program was renamed under MAP-21 and modified to include New Freedom Program activities as eligible projects.

This program would continue the goals of these programs by funding alternative forms of transportation where traditional services are unavailable, inappropriate, or insufficient. Funds can be used for capital planning and operations.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Section 5310 funding may be used for eligible capital expenses needed to provide specialized transportation service for elderly persons and persons with disabilities. Projects must provide for the maximum feasible coordination of transportation services funded under this section.

Applicant Eligibility:

Eligible sub-recipients include private nonprofit organizations, public bodies approved by the State to coordinate services for elderly persons and persons with disabilities and public bodies which certify to the governor that no nonprofit corporations or associations are readily available in an area to provide the service.

Beneficiary Eligibility:

Elderly persons and persons with disabilities.

Credentials/Documentation:

FTA apportions the funds to the States and large urbanized areas on an annual basis. The Governor of each State or an official designee designates an agency to administer the program. Projects must be included in the State Transportation Improvement Program (STIP) approved by FTA and FHWA. Compliance with FTA's annual list of Certifications and Assurances is required. Eligible costs must be in accordance with OMB Circular A-87. The state must submit a program of projects listing all subrecipients and projects in accordance with FTA Circular 9070.1E. FTA annually issues a Federal Register Notice of apportionments, allocations, and program information. For fiscal year 2013, the publication date was May 13, 2013. Prior year notices can be found on the FTA website at www.fta.dot.gov/ or by contacting the appropriate FTA Regional office to obtain copies or publication dates. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Local agencies in small

urbanized or rural areas should submit application to the State agency designated by the Governor to administer the program. Local agencies in large urbanized areas should submit applications to the designated recipient for the large urbanized area. The designated recipient agency will evaluate, select and approve eligible applicants and submit a program of projects to the Federal Transit Administration. The provisions of 49 CFR Part 18 apply to the State and governmental bodies and 49 CFR Part 19 applies to private nonprofit organizations.

Award Procedure:

The Federal Transit Administration approves the program of projects (POPs). An FTA grant award obligating federal funds includes the POPs in a grant agreement with the applicant.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Interested persons are afforded the opportunity of a public hearing for capital projects.

Renewals:

As determined by the designated State agency.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Percent: 80%. The Federal share of eligible capital cost may not exceed 80 percent of the net cost of the activity. The local share of eligible capital cost shall be no less than 20 percent of the net cost of the activity. Funds for this program are allocated among the States and large urbanized areas by a formula which is based on the population of elderly persons and persons with disabilities in each State according to the latest U.S. Census population figures. Grants may be made for no more than 80 percent of the eligible project costs. MAP-21 allows states eligible for the sliding scale match under FHWA programs to use that match ratio for section 5310 capital projects. Funds provided under other Federal programs (other than those of the Department of Transportation, with the exception of the Federal Lands Highway Program established by section 204 of Title 23 U.S.C.) may be used as match for capital funds provided under section 5310. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Section 5310 program funds are available to the States for three years, which includes the fiscal year in which they were allocated plus two additional years. Any funds not obligated by the end of the period of availability will be redistributed to all the States and large urbanized areas by formula in the succeeding fiscal year. See the following for information on how assistance is awarded/released: Reimbursement of eligible project expenses.

Reports:

FTA requires a quarterly milestone report and federal financial report. SF - 425. Milestone reports each quarter which show the progression of the project. SF - 425. As requested by the State and federal agencies administering the program. States and large urbanized areas must submit annual status reports and annual financial reports.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Recipient is required to retain intact, for 3 years following submission of the final financial report, all contract documents, financial records, and supporting documents with the following qualification: If any litigation, claim or audit is started before the expiration of the 3-year period, the records must be retained

until all litigation, claims or audit findings involving the records have been resolved.

Account Identification:

69-8350-0-7-401; 69-1129-0-1-401.

Obligations:

(Formula Grants (Apportionments)) FY 12 \$133,500,000; FY 13 est \$254,800,000; and FY 14 est \$258,300,000

Range and Average of Financial Assistance:

Range and Average of Financial Assistance
Statewide: For FY 2010 4,575 to 12,167,450. Average is 1,264,422.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Numerous states purchased new vehicles to expand their fleet, as well as replacement vehicles to sustain current operations. Moreover, numerous designated recipients were also able to purchase communication equipment in order to manage their operations in a more efficient manner. Fiscal Year 2013: Most recipients have not yet filed an application for MAP-21 Section 5310. It is expected that similar types of program accomplishments will occur in FY2013. Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

FTA Circular 9070.1F, The Elderly and Persons with Disabilities Program Guidance and Application Instructions, dated May 1, 2007. The circular will soon be revised under the Enhancement of Seniors and Individuals with Disabilities Program.

Regional or Local Office:

See Regional Agency Offices. See Appendix IV of the Catalog for the address of Federal Transit Administration's Regional Offices. State- Designated Agency: The Governor in each State has designated a State agency to administer the Section 5310 program.

Headquarters Office:

Gilbert Williams, 1200 New Jersey Ave. SE, Washington, District of Columbia 20590 Phone: (202) 366-0797

Website Address:

<http://www.fta.dot.gov>.

RELATED PROGRAMS:

20.500 Federal Transit_Capital Investment Grants

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Nebraska Department of Roads has submitted an application for the procurement of 58 vehicles. Twenty-one of these vehicles will service urban areas and 37 will service rural areas. Twenty-eight vehicles will be for expansion of the fleet whereas 30 will be replacement vehicles. Twenty-five of the vehicles will be equipped with wheelchair lifts. Fiscal Year 2013: Most recipients have not yet filed an application for MAP-21 Section 5310. It is expected that the same types of projects funded in FY 2012 will be funded in FY 2013. Fiscal Year 2014: The same type of projects are expected in FY 2014.

CRITERIA FOR SELECTING PROPOSALS:

As described by the State designated agency.

20.514 PUBLIC TRANSPORTATION RESEARCH

National Research Programs

FEDERAL AGENCY:

Federal Transit Administration (FTA), Department of Transportation

AUTHORIZATION:

Safe, Accountable, Flexible, Efficient Transportation Equity Act: A Legacy for Users (SAFETEA-LU), Public Law 109-59, 49 U.S.C 5314(a).

OBJECTIVES:

The Federal Transit Administration's research program seeks to deliver solutions that improve public transportation. Its primary goals are to increase transit ridership, improve safety and emergency preparedness, improve operating efficiencies, protect the environment, promote energy independence, and provide transit research leadership. To accomplish this, FTA funds research on mobility management, transit operational efficiency, safety and emergency preparedness, transit capacity building, energy independence and environmental

protection, infrastructure and equipment protection and innovation, and strategic research program planning.

TYPES OF ASSISTANCE:

DISSEMINATION OF TECHNICAL INFORMATION; PROJECT GRANTS; TRAINING

USES AND USE RESTRICTIONS:

The program supports research not generally undertaken by the private sector including studies on transit policy issues, operational efficiency, and travel behavior.

Applicant Eligibility:

Grants and cooperative agreements: Public bodies, nonprofit institutions, State and local agencies, universities, and legally constituted public agencies and operators of public transportation services, and private for-profit organizations.

Beneficiary Eligibility:

State and local governments, transit agencies, private organizations, profit organizations, nonprofit organizations, and universities.

Credentials/Documentation:

Grants and cooperative agreements: Initial proposal should include project objectives, background, and project description, including time schedule, budget, and applicant's organizational experience. Legal opinion and compliance with labor requirements are required later. Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments, A-21 for universities, A-122 for private nonprofit organizations, and the Federal Acquisition Regulations for private for profit organizations. Contracts are awarded in response to specific requests for proposal. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Although applications for research, development and demonstration projects may be submitted at any time, potential applicants are encouraged to communicate with FTA before submitting formal applications. The initial contact may be by telephone, by submitting a written outline, or by personally meeting with appropriate FTA Headquarters staff. This preliminary contact will serve to: (1) establish a base for communication between FTA and the applicants; (2) determine the applicant's eligibility; (3) eliminate any proposal which have little or no chance for Federal funding before applicants incur expenditures involved in proposal preparation; and (4) determine funding available and conformance to FTA policy and program objectives. The standard application forms as furnished by the Federal agency and required by 49 CFR Part 18 or 49 CFR Part 19 must be used for this program. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Potential applicants should follow instructions found in FTA Circular 6100.1C, "Transit Research and Technology Programs: Application and Program Management Guidelines." This Circular may be found at the FTA web site at www.fta.dot.gov. This program is subject to the provisions of 49 CFR Part 18 for State and local governments and 49 CFR Part 19 for universities and private nonprofit organizations.

Award Procedure:

The Administrator, Federal Transit Administration, makes the final decision to approve a project. An FTA grant or cooperative agreement award obligating Federal funds is reflected in a grant agreement or cooperative agreement. To access funds, the recipient must execute the grant agreement or cooperative agreement.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

varies.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Research, development, and demonstration projects: FTA encourages cost-sharing by performing organizations to the extent feasible and equitable. Cost-sharing is not a prerequisite to funding. However, it is actively considered in the evaluation of proposals. The amount of such sharing is determined by mutual agreement between FTA and the recipient. More weight is given to programs with a greater local share and proposals with cash contributions than to in-kind contributions.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Varies with the complexity of the project. Method of awarding/releasing assistance: by letter of credit.

Reports:

(1) Quarterly financial; (2) quarterly or monthly progress; and (3) interim and final. By electronic filing. SF - 425. (1) Quarterly financial; (2) quarterly or monthly progress; and (3) interim and final. By electronic filing. SF - 425. (1) Quarterly financial; (2) quarterly or monthly progress; and (3) interim and final. Electronic filing is preferred.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. For direct procurement contracts, audits will be conducted in accordance with the Federal Acquisition Regulations.

Records:

Grant recipients shall retain records for 3 years following submission of a final expenditure report, pending resolution of audit findings, all project contracts documents, financial records, and supporting records.

Account Identification:

69-1137-0-1-401.

Obligations:

(Project Grants (Contracts)) FY 12 \$2,520,000; FY 13 est \$7,000,000; and FY 14 est \$7,000,000 - (Transit Planning and Research Grants and Contracts) FY 12 \$2,520,000; and FY 13 \$7,000,000.

Range and Average of Financial Assistance:

None established.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: (1) FTA initiated the Bus Rapid Transit Demonstration Program integrating vehicle technology, Intelligent Transportation Systems (ITS), coordinated services, traffic engineering enhancements, and innovative urban design. Seventeen cities have formed a BRT consortium to share ideas and experience in implementing BRT. (2) The Advanced Technology Transit Bus (ATTB) test vehicle was successfully developed and tested. This revolutionary low-floor, light-weight, easily accessible bus features low-emission propulsion. (3) FTA, working with an industry committee (natural gas suppliers and transit operators) produced a detailed technical guideline document defining basic safety requirements for fueling facilities, vehicles, bus garages and storage areas. (4) Four-quadrant gates linked to a vehicle detection system are being demonstrated on a commuter-rail highway grade crossing in the Boston area to prevent motorists from attempting to drive around closed crossing gates. (5) Project ACTION (Accessible Community Transportation in Our Nation) has implemented accessible transportation initiatives, made national presentations on accessible transportation and

distributed thousands of copies of "how-to" publications. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Fiscal Year 2011 Annual List of Certifications and Assurances for Federal Transit Administration Grants and Cooperative Agreements; Notice published October 1, 2008. For other fiscal years, contact the FTA Office of Research Demonstration and Innovation to obtain the publication dates. FTA website www.fta.dot.gov. Other information will be made available upon request.

Regional or Local Office:

None.

Headquarters Office:

Linda Wolfe 1200 New Jersey Ave. S.E., Washington, District of Columbia 20590 Phone: (202) 366-8511.

Website Address:

<http://www.fta.dot.gov/research>.

RELATED PROGRAMS:

20.500 Federal Transit_Capital Investment Grants; 20.507 Federal Transit_Formula Grants; 20.515 State Planning and Research

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Nearly all projects funded this fiscal year were at the direction of the Congress under the Safe, Accountable, Flexible, Efficient Transportation Equity Act: A Legacy for Users (SAFETEA-LU), or annual appropriations acts. Projects funded during FY 2012 (1) Transit Cooperative Research (2) National Transit Institute. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Proposals must support the FTA Strategic Plan, available on the FTA Home Page of the Internet at <http://www.fta.dot.gov>. If a preliminary proposal is judged to meet program and funding availability, FTA will invite formal proposal submission or cooperative agreement application. Criteria for preliminary approval include: (1) compatibility with FTA Strategic Plan and Strategic Research Plan; (2) availability of funding; (3) potential for impact on the state-of-the-art from the viewpoint of innovation in transit technology, services and operations; (4) technical feasibility and potential for successful introduction into use in the transit industry; (5) background, experience, and demonstrated capabilities of the applicant; (6) partnerships with public and private organizations; (7) non-FTA funding match; and (8) other pertinent matters, such as geographic distribution of projects and questions of environmental and labor impact.

20.515 STATE PLANNING AND RESEARCH

(State Planning and Research Program)

FEDERAL AGENCY:

Federal Transit Administration (FTA), Department of Transportation

AUTHORIZATION:

Moving Ahead for Progress in the 21st Century (MAP-21), Public Law 112-141, 49 U.S.C 5305(e).

OBJECTIVES:

To assist in the development of cost effective multimodal transportation improvement programs which include the planning, engineering, and designing of Federal Transit projects, and other technical studies in a program for a unified and officially coordinated Statewide Transportation system.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

This is a consolidated program which is apportioned to the States under 49 U.S.C., Chapter 53 which includes such activities as planning, technical studies and assistance, innovative demonstrations, management training, and cooperative research. In addition, a State may authorize a portion of these funds to be used to supplement and administer Metropolitan Planning funds allocated by the State to its urbanized areas, as the State deems appropriate.

Applicant Eligibility:

Apportionments are made to the States.

Beneficiary Eligibility:

Apportionments are made to the States.

Credentials/Documentation:

Federal Register Notice, "FTA Fiscal Year 2013 Apportionments, Allocations, and Program Information", published annually, contains the State apportionment in Table 2. For fiscal year 2013, the publication date was October 16, 2012. For other fiscal years, contact the FTA Regional Office or refer to the FTA website to obtain the publication dates. The FTA website is: www.fta.dot.gov/funding/grants_financing_174.html. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. FTA Circular 8200.1C dated September 1, 2008, Program Guidance and Application Instructions for Metropolitan Planning and State Planning and Research Program Grants, provides detailed application information. It is available at http://www.fta.dot.gov/legislation_law12349_8454.html.

Award Procedure:

If the application is complete, FTA will approve and release the grant.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

If completed applications are submitted to FTA no later than the first business day of the quarter, FTA will then award grants no later than the last business day of the quarter. In FY 04, FTA awarded State Planning and Research Program grants in an average of 9 days of submission of a complete application.

Appeals:

Not Applicable.

Renewals:

Grants may be amended to add additional funds.

Formula and Matching Requirements:

Statutory Formula: Title 49, Part 5305(e).
Matching Requirements: The matching requirements vary. Funds obligated for State or metropolitan planning are granted as an 80 percent Federal, 20 percent local ratio. In addition, funds can be transferred from the Federal Highway Administration to form State Consolidated Planning Grants.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Funds are available for 3 years following the year for which they were appropriated. Method of awarding/releasing assistance: by letter of credit.

Reports:

(1) Progress reports; (2) Financial report upon completion of the project; and (3) Final technical study report (if applicable). SF - 425. (1) Progress reports; (2) Financial report upon completion of the project; and (3) Final technical study report (if applicable). SF - 425. (1) Progress reports; (2) Financial report upon completion of the project; and (3) Final technical study report (if applicable).

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit

conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

For 3 years Following submission of the final financial status report and pending resolution of audit findings, Project sponsor is required to retain intact all project contract documents, financial records, and supporting documents.

Account Identification:

69-1137-0-1-401; 69-8350-0-7-401.

Obligations:

(Project Grants) FY 12 \$19,612,800; FY 13 est \$21,928,320; and FY 14 est \$22,257,000

Range and Average of Financial Assistance:

Range and Average of Financial Assistance
\$45,000 to \$1,000,000 plus per State based on a statutory formula.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: FTA apportions funds to the states which then make grants to subrecipients within the state. Funding at the subrecipient level supports capital transportation improvement programs that are produced annually/biennially as well as statewide transportation plans. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Federal Register Notice, "FTA Fiscal Year 2013 Apportionments, Allocations, and Program Information", published annually, contains the State apportionment in Table 2. For fiscal year 2013, the publication date was Oct. 16, 2012. For other fiscal years, contact the FTA Regional Office or consult the FTA website to obtain the publication dates and additional regulations and guidelines. The FTA website is: http://www.fta.dot.gov/funding/grants_financing_174.html.

Regional or Local Office:

None. See Appendix IV of the Catalog for a listing of Federal Transit Administration regional offices.

Headquarters Office:

Candace Noonan 1200 New Jersey Ave., S.E., Washington, District of Columbia 20590 Phone: (202) 366-1648.

Website Address:

<http://www.fta.dot.gov>.

RELATED PROGRAMS:

20.205 Highway Planning and Construction; 20.500 Federal Transit_Capital Investment Grants; 20.505 Federal Transit_Metropolitan Planning Grants; 20.507 Federal Transit_Formula Grants; 20.514 Public Transportation Research

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: The grant to the Missouri Department of Transportation provides funding for administration of transit planning in Missouri and supports local agency transit related studies and activities. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

20.516 JOB ACCESS AND REVERSE COMMUTE PROGRAM (JARC)

FEDERAL AGENCY:

Federal Transit Administration (FTA), Department of Transportation

AUTHORIZATION:

Safe, Accountable, Flexible, Efficient & Transportation Equity Act: A Legacy for users (SAFETEA-LU), Title 49, Part 53, Section 5316, Public Law 109-59, 49 U.S.C 5317.

OBJECTIVES:

To provide grants to local governments, nonprofit organizations, and designated recipients of Federal transit funding to develop transportation services to connect welfare recipients and low-income persons to employment and support

services. Job Access grants will be to finance planning, capital and operating cost of projects. The Reverse Commute grants will assist in funding the costs associated with adding reverse commute bus, train, carpool or service from urban areas, urban, rural and other suburban locations to suburban work places.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

The funds are to be used for delivery of services as well as to administer, plan and provide technical assistance for projects.

Applicant Eligibility:

State and local government agencies, nonprofit agencies, and transit providers.

Beneficiary Eligibility:

Low income individuals; individuals traveling to suburban work places.

Credentials/Documentation:

The applications submitted were derived from a locally developed, coordinated public transit-human services transportation plan and developed through a process that included representatives of public, private and nonprofit transportation and human services providers and participation by the public. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Applicants must coordinate with: the State or local agencies that administer the State program funded under part A of Title IV of the Social Security Act, public housing agencies, the community to be served, and other stakeholders. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. The project must be a product of the local coordinated public transit human services coordinated plan, competitively selected by the area's recipient, and included in the State Transportation Improvement Program prior to grant award. Recipients will submit applications electronically to the appropriate FTA regional office. The FTA regional offices are listed in Appendix IV of the Catalog. Recipients will then distribute funding directly to the subrecipients. After the recipient has competitively selected projects to be funded, the recipient may officially request that FTA allow subrecipients that are eligible to apply directly to FTA for Section 5307 funds be allowed to apply directly to FTA for JARC funds awarded. Notification of the grant program may also be found at <http://www.grants.gov>.

Award Procedure:

An FTA grant award obligating Federal funds is reflected in a grant agreement. To access funds, the recipient must execute the grant agreement.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

In the past Fiscal years, FTA approved JARC grants on an average of 42 days following submission of a complete application.

Appeals:

Not Applicable.

Renewals:

Grants may be amended to add additional funds.

Formula and Matching Requirements:

This program has no statutory formula.
Matching Requirements: Percent: 80%. The Federal share of eligible capital and planning costs may not exceed 80 percent of the net cost of the activity. The Federal share of the eligible operating cost is 50 percent.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Generally 1 year. Can be up to 4 years. Method of awarding/releasing assistance: by letter of credit.

Reports:

(1) Progress reports; (2) Federal Financial Reports, submitted quarterly for recipients in urbanized areas over 200,000 population, and annually for other recipients and states; (3) Detailed annual reports of project results for program evaluation purposes. SF - 425. (1) Progress reports; (2) Federal Financial Reports, submitted quarterly for recipients in urbanized areas over 200,000 population, and annually for other recipients and states; (3) Detailed annual reports of project results for program evaluation purposes. SF - 425. (1) Progress reports; (2) Federal Financial Reports, submitted quarterly for recipients in urbanized areas over 200,000 population, and annually for other recipients and states; (3) Detailed annual reports of project results for program evaluation purposes.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Recipient is required to retain intact, for 3 years following submission of the final expenditure report, pending resolution of audit findings, all grant products, financial records, and supporting documents.

Account Identification:

69-8350-0-7-401; 69-1125-0-1-401.

Obligations:

(Project Grants) FY 12 \$164,500,000; FY 13 est \$0; and FY 14 est \$0 - (Grants) FY 12 \$164,500,000; and FY13 \$0.

Range and Average of Financial Assistance:

\$10,890 to \$1,293,611. Average: \$180,588.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: The program continued to provide funding assistance to improve access to transportation services for low-income individuals. The program continued to support those transportation organizations that provided transportation to employment related activities. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

FTA Circular 9050.1, Job Access and Reverse Commute Program Guidelines and Application Instructions, updated May 1, 2007.

Regional or Local Office:

None. See Catalog Appendix for listing of Federal Transit Administration regional offices.

Headquarters Office:

Gilbert Williams, 1200 New Jersey Avenue, SE, East Building-Fourth Floor, Washington, District of Columbia 20590 Phone: (202) 366-0797.

Website Address:

http://www.fta.dot.gov/funding/grants/grants_financing_3550.html

RELATED PROGRAMS:

20.505 Federal Transit_Metropolitan Planning Grants; 20.507 Federal Transit_Formula Grants; 20.509 Formula Grants for Other Than Urbanized Areas; 20.513 Capital Assistance Program for Elderly Persons and Persons with Disabilities; 20.515 State Planning and Research

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Trip Based Service Childcare Reservation Industrial Transportation. This organization provides TANF clients needing access to child care providers during non-traditional hours. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

49 U.S.C. 5316(f) of SAFETEA-LU states factors that must be fair and equitable. Proposals must also meet legal and administrative requirements, available on the FTA web site at <http://www.fta.dot.gov>.

20.518 CAPITAL AND TRAINING ASSISTANCE PROGRAM FOR OVER-THE-ROAD BUS ACCESSIBILITY

FEDERAL AGENCY:

Federal Transit Administration (FTA), Department of Transportation

AUTHORIZATION:

Safe, Accountable, Flexible, Efficient, Transportation Equity Act: A Legacy for Users (SAFETEA-LU), Public Law 109-059. , Public Law 109-059.

OBJECTIVES:

To make funds available to private operators of over-the-road buses to finance the incremental capital and training costs of complying with requirements of the Department of Transportation's Over-the-Road Bus Accessibility regulation, "Transportation for Individuals with Disabilities" (49 CFR Part 37, Subpart H). The program was repealed under MAP-21.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Program funds were used to finance the incremental capital and training costs of complying with DOT's Over-the-Road Bus Accessibility regulation. Capital projects eligible for funding included adding wheelchair lifts and other accessibility components to new vehicle purchases, and purchasing lifts to retrofit existing vehicles. Eligible training costs included training in proper operation and maintenance of equipment, boarding assistance, and securement, handling and storage of mobility devices, and sensitivity training. In FY 2012, \$8.8 million was available for discretionary award. . In FY 2012, \$8.8 million is available for discretionary award.

Applicant Eligibility:

Private operators of over-the-road buses that provide intercity fixed route bus service and other providers, including operators of over-the-road buses of local fixed-route service, commuter service and charter or tour service.

Beneficiary Eligibility:

Persons with disabilities.

Credentials/Documentation:

Costs are determined in accordance with program guidance in an annual Federal Register Notice soliciting applications. This program is excluded from coverage under OMB Circular No. A-87. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. FTA conducted national solicitations for applications annually. Grant awards were made on a competitive basis. Applications were submitted through Grants.Gov.

Award Procedure:

The announcement of awards is published in a Federal Register Notice. Successful applicants file a grant application with FTA. An FTA grant award obligating federal funds is reflected in a grant agreement. To access funds, the recipient must execute the grant agreement.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Project selections were announced approximately six months following the application deadline for competitive consideration.

Appeals:

Not applicable.

Renewals:

Not applicable.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Percent: 10.%.

This program does not have MOE requirements. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Funds are available for obligation to grantees for 3 fiscal years. Any funds not obligated by FTA by the end of this period will be added to the succeeding year's program allocation. See the following for information on how assistance is awarded/released: Reimbursement of eligible expenses.

Reports:

No program reports are required. No cash reports are required. Final progress report and Federal Financial Report are both required upon closing the grant, and annual reports for grants remaining open are required at the end of each Federal fiscal year. Documentation is required for payment. No expenditure reports are required. No performance monitoring is required.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. This program is excluded from coverage under OMB Circular No. A-133. As requested by FTA.

Records:

Recipient is required to retain intact, for 3 years following submission of the final expenditure report, pending resolution of audit findings, all grant products, financial records, and supporting documents.

Account Identification:

69-1129-0-1-401; 69-8350-0-7-401.

Obligations:

(Project Grants) FY 12 \$8,781,692; FY 13 est \$0; and FY 14 est \$0 - This program was repealed under the MAP-21 Act.

Range and Average of Financial Assistance:

\$25,000 to \$ 180,000. Average: \$25,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: FTA received 114 project applications requesting \$34.5 million. Of those, 77 project applications were selected for funding totaling \$8.8 million. Project funds were used to make buses wheelchair accessible for persons with disabilities. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Federal Register Notices, published annually.

Regional or Local Office:

See Regional Agency Offices. See Catalog Appendix for listing of Federal Transit Administration regional offices.

Headquarters Office:

Elan Flippin 1200 New Jersey Avenue, S.E., Washington , District of Columbia 20590 Phone: (202) 366-3800

Website Address:

<http://www.fta.dot.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Lux Bus Company in California used funds to purchase new lifts for their buses. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Current guidance contained in Federal Register Notice published annually.

20.519 CLEAN FUELS

FEDERAL AGENCY:

Federal Transit Administration (FTA), Department of Transportation

AUTHORIZATION:

Safe, Accountable, Flexible, Efficient Transportation Equity Act: A Legacy for Users (SAFETEA-LU), Public Law 109-59, 49 U.S.C 5308.

OBJECTIVES:

To assist in financing the acquisition of clean fuel vehicles and related facilities for agencies providing public transportation and operating in an urbanized and non-urbanized area designated as a non-attainment or maintenance area for ozone or carbon monoxide.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Eligible projects include the purchase or lease of clean fuel vehicles, the construction or lease of clean fuel vehicles or electrical recharging facilities and related equipment for such vehicles, and construction or improvement of public transportation facilities to accommodate clean fuel vehicles. A clean fuel vehicle is a passenger vehicle used to provide public transportation that is powered by compressed natural gas, liquefied natural gas, biodiesel fuels, batteries, alcohol-based fuels, hybrid electric systems, fuel cells, clean diesel (to the extent allowed by law), or other low or zero emissions technology. Vehicles that employ lightweight composite primary structure may also be eligible. Funds can be used for capital projects only. The program was repealed under MAP-21 and no funding is available in FY 2013.

Applicant Eligibility:

Public agencies, including States; municipalities and other subdivisions of States; public agencies and instrumentalities of one or more States; and public corporations, boards, and commissions established under State law. Applicant must have legal, financial, and technical capacity to carry out proposed project and maintain facilities and equipment purchased with Federal assistance. Applicant must also operate in an urbanized area designated as a non-attainment or maintenance area for ozone or carbon monoxide. If the urbanized area is less than 200,000 in population, the State in which the area is located will act as the recipient.

Beneficiary Eligibility:

The general public, both users and non-users of public transportation.

Credentials/Documentation:

Resolution by an authorized public body approving the filing for an application; projects must be included in an urbanized area's transportation improvement program (TIP), and in the Statewide transportation improvement program (STIP) approved by FTA and FHWA. Information must be provided on labor agreements and relocation planning; environmental impact; legal capacity; coordinated regional planning; and compliance with certifications and assurances as compiled in FTA's Annual List of Certifications and Assurances. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

An environmental impact assessment is required for construction projects. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. A Notice of Funding Availability was published to announce the availability of FY 2012 funds on February 7, 2012. Awards were announced in the Federal Register on October 2, 2012.

Award Procedure:

An FTA grant award obligating federal funds is reflected in a grant agreement. Grants are awarded electronically using FTA's Transportation Electronic Award Management (TEAM) system, <http://ftateamweb.fta.dot.gov/fta-flash2b.html>. In order to access this system, a user name and password are needed and can be obtained by contacting the regional offices. Once the funds are reserved in TEAM and the project information has been reviewed and approved by Headquarters, the recipient must execute the grant agreement to access the funds.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 30 to 60 days. It is a goal of the Federal Transit Administration to obligate grants within an average of 36 days of the submission of a complete grant application.

Appeals:

Not Applicable.

Renewals:

Funds are no longer appropriated annually. The program has been repealed.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: The federal share is not to exceed 90 percent of the net cost to comply with or maintain compliance with the Clean Air Act. FTA has administratively determined the composite federal share for vehicles and vehicle related equipment or facilities to be 83 percent. The 90 percent share applies to the actual incremental costs of improvements for compliance with the Clean Air Act.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Terms and conditions are delineated in the grant agreement. See the following for information on how assistance is awarded/released: Assistance is awarded/released by grant obligation.

Reports:

FTA retains the semiannual reporting requirements in Section 624.11, which requires grantees receiving program funds for hybrid electric, battery electric, and fuel cell vehicles to provide information on the operations, performance, and maintenance of those vehicles purchased or leased with program funds. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Non-federal entities that expend less than \$500,000 federal awards are exempt from federal audit requirements for that year in which certain exceptions as determined by OMB. The Federal Transit Administration may also conduct its own audits.

Records:

Recipient is required to retain intact, for 3 years following submission of final expenditure report, pending resolution of audit findings, all project contract documents, financial records, and supporting documents.

Account Identification:

69-1137-0-1-401; 69-8350-0-7-401.

Obligations:

(Project Grants) FY 12 \$51,500,000; FY 13 est \$0; and FY 14 est \$0 - This program was repealed by MAP-21.

Range and Average of Financial Assistance:

\$160,000 - \$5,250,000. Average \$1,112,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: FTA received a total of 146 applications requesting approximately \$516 million. Twenty-one projects were selected for funding in non-attainment and maintenance areas. Six projects were selected in attainment areas. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Please visit the Federal Transit Administration (FTA) website or contact the appropriate FTA Region office for more information. See Federal Register/ Vol.70, No. 229/ Wednesday, November 30, 2005/ Notices.

Regional or Local Office:

See Regional Agency Offices. See list of region contacts listed in Appendix IV.

Headquarters Office:

Vanessa Williams 1200 New Jersey Avenue, SE.
Washington, District of Columbia 20590 Phone: (202) 366-4818

Website Address:

www.fta.dot.gov.

RELATED PROGRAMS:

20.500 Federal Transit_Capital Investment Grants; 20.505 Federal Transit_Metropolitan Planning Grants

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Metropolitan Transportation Commission (MTC) replaced diesel trolleys with electric trolley buses. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Per Congressional designation.

20.520 PAUL S. SARBANES TRANSIT IN THE PARKS

Transit in the Parks

FEDERAL AGENCY:

Federal Transit Administration (FTA), Department of Transportation

AUTHORIZATION:

Section 3021 of the Safe, Accountable, Flexible, Efficient Transportation Equity Act A Legacy for Users (SAFETEA-LU) enacted August 10, 2005 [Public Law 109-59], established a new program called the Alternative Transportation in Parks and Public Lands program. The name of the program was changed to the Paul S. Sarbanes Transit in the Parks under the SAFETEA-LU Technical Corrections Act, 2008. , 49 U.S.C 5320.

OBJECTIVES:

Purpose of the program is to enhance the protection of national parks and Federal lands, and increase the enjoyment of those visiting them through the use of alternative transportation. The goals of the program are to ensure access to all, including persons with disabilities; improve conservation at parks and public lands in urban areas through partnering with State and local governments; improve park and public land transportation infrastructure; enhance the environment and prevent or mitigate adverse impacts on natural resources; reduce congestion and pollution; improve visitor mobility and accessibility and the visitor experience; improve Federal land management agency resource management; and conserve natural, historical, and cultural resources.

TYPES OF ASSISTANCE:

Cooperative Agreements; Project Grants

USES AND USE RESTRICTIONS:

A qualified project is a planning or capital project in or in the vicinity of a Federally-owned or managed park, refuge, or recreational area that is open to the general public and meets the goals of the program. Project evaluation will be based on the considerations listed in the law and explained in section 180 of this notice. The following types of projects are eligible:

- (1) Planning Projects: Activities to comply with metropolitan and statewide planning provisions (49 U.S.C. 5320(b)(5)(A) referencing 49 U.S.C. 5303, 5304, and 5305). These activities include planning studies for an alternative transportation system, including evaluation of no-build and all other reasonable alternatives, traffic studies, visitor utilization studies, transportation analysis, feasibility studies, and environmental studies.
- (2) Capital projects for acquiring, constructing, supervising, or inspecting equipment or a facility for use in public transportation, expenses incidental to the acquisition or construction (including designing, engineering, location surveying, mapping, and acquiring rights-of-way), payments for the capital portions of rail trackage rights agreements, transit-related intelligent transportation systems, relocation assistance, acquiring replacement housing sites, and acquiring, constructing, relocating, and rehabilitating replacement housing; (49 U.S.C. 5320(b)(5)(A) referencing 49 U.S.C. 5302(a)(1)(A).)
- (3) Fixed Guideway and Bus Projects: (i) New fixed guideway capital projects including the acquisition of real property, the initial acquisition of rolling stock

for the systems, the acquisition of rights-of-way, and relocation, for fixed guideway corridor development for projects in the advanced stages of alternatives analysis or preliminary engineering; (ii) Capital projects to modernize existing fixed guideway systems; (iii) Capital projects to replace, rehabilitate, and purchase buses and related equipment and to construct bus-related facilities, including programs of bus and bus-related projects for assistance to subrecipients that are public agencies, private companies engaged in public transportation, or private non-profit organizations; and (iv) The development of corridors to support new fixed guideway capital projects, including protecting rights-of-way through acquisition, construction of dedicated bus and high occupancy vehicle lanes and park and ride lots, and other nonvehicular capital improvements that the Secretary may decide would result in increased public transportation usage in the corridor. (49 U.S.C. 5320(b)(5)(A) referencing 49 U.S.C. 5309(b).)

- (4) Purchase of rolling stock that incorporates clean fuel technology or the replacement of buses of a type in use on August 10, 2005, with clean fuel vehicles;
- (5) The deployment of alternative transportation vehicles that introduce innovative technologies or methods;
- (6) The capital costs of coordinating Federal land management agency public transportation systems with other public transportation systems;
- (7) Non-motorized transportation systems (including the provision of facilities for pedestrians, bicycles and non-motorized watercraft); and
- (8) Any other alternative transportation project that:
 - Enhances the environment;
 - Prevents or mitigates an adverse impact on a natural resource;
 - Improves Federal land management agency resource management; & #61589; & #61472;Improves visitor mobility and accessibility and the visitor experience;
 - Reduces congestion and pollution (including noise pollution and visual pollution); or
 - Conserves a natural, historical, or cultural resource (excluding rehabilitation or restoration of a nontransportation facility). No more than 15% of program funds may be used for project administration, contingency and oversight. As stated above, only planning and implementation projects that involve alternative transportation may receive funds. Funds must be spent in accordance with the details of the grant application. All prior year program funds were awarded under a competitive, discretionary grant program. The program has been repealed.

Applicant Eligibility:

Eligible applicants are:(1) The following Federal land management agencies: The National Park Service, the Fish and Wildlife Service, the Bureau of Land management, the Forest Service, and the Bureau of Reclamation; and (2) State, tribal and local governments with jurisdiction over land in the vicinity of an eligible area acting with the consent of a Federal land management agency, alone or in partnership with a Federal land management agency or other governmental or non-governmental participant.

Beneficiary Eligibility:

None.

Credentials/Documentation:

Any project sponsor must be acting with the consent of a Federal land management agency as documented by the provision of a letter of support from the relevant federal land unit accompanying the project proposal. Any project proposed must be consistent with the metropolitan and statewide planning and public participation requirements found in 49 U.S.C 5303, 5304, and 5307(d). If the project is an capital project in contrast with a planning project, the capital project must be in the metropolitan Transportation Improvement Program (TIP) or State Transportation Improvement Program (STIP), or, if selected, will not receive funds until it is incorporated into the TIP or STIP. Projects also must be consistent with Federal land management agency plans. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

The program allows the Department of Transportation to spend up to 10 percent of program funds to carry out planning, research and technical assistance activities. Funds allocated to technical assistance will be used to assist program participants in planning, implementing, and evaluating alternative transportation projects. In addition, the Federal Transit Administration will

provide planning guidance and will disseminate research findings. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. When the project was funded proposals were submitted to FTA online via the GRANTS.GOV website.

Award Procedure:

Proposals were collected by the FTA and uploaded into a structured evaluation system and evaluated by an interagency team which includes representatives from FTA, each of the Federal land management agencies, and the Department of the Interior.

When this program was funded the projects were evaluated on the basis of criteria in the law and further explained in Section 180 under Criteria for Selecting Proposals, the team provided a recommendation to the Secretary of the Interior. The Secretary of the Interior, after consultation with and in cooperation with the Secretary of Transportation, determined the final selection and amount of funding for each project. The Department of the Interior notified each Federal land management agency of projects awarded for sites under the agency's jurisdiction. FTA published the list of all selected projects and funding levels in the Federal Register.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. This program has no matching requirements. This program has no statutory formula. The Secretary of Transportation, in cooperation with the Secretary of Interior will establish the Governments share of the net project cost to be provided. Funds appropriated to any Federal land management agency may be counted toward the remainder of the net project cost. The maximum Federal share may be 100 percent. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Funding will be provided on an annual basis. Funds awarded do not have an established date of expiration. See the following for information on how assistance is awarded/released: Lump sum and/or reimbursement of qualified expenses.

Reports:

The Secretary of Transportation in consultation with the Secretary of Interior submits annually a report to Congress on the allocation of amounts made available to assist qualified projects. Also, a similar report must be included by the Secretary of Transportation in its Annual Report on New Starts. Requirements regarding reports to be prepared by the program recipient will be published in the Federal Register in the announcement of program awards. In 2009 this entailed the submission of quarterly reports to the FTA containing a narrative description of the project and a discussion of all budget and schedule changes. In addition, annual reports were required that must include: 1. Annual visitation to the land unit, 2. Annual number of persons who use the alternative transportation system, 3. An estimate of the number of vehicle trips mitigated based on alternative transportation system usage and the typical number of passengers per vehicle, 4. Cost per passenger, and 5. A note of any special services offered for those systems with higher costs per passenger but more amenities. Cash reports are not applicable. Progress reports are not applicable.

Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Recipient is required to retain intact, for three years following submission of the final expenditure report, all contract documents, financial records, and supporting documents.

Account Identification:

69-8350-0-1-407.

Obligations:

(Project Grants (Cooperative Agreements)) FY 12 \$12,497,921; FY 13 est \$0; and FY 14 est \$0

Range and Average of Financial Assistance:

No one project may receive more than 25 percent of funds.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: A Notice of Funding Availability was published on August 28, 2012, to solicit project proposals for FY 2012 funds. A total of 89 applicants submitted eligible proposals requesting at total of \$62.8 million. A total of 29 projects located in 20 states and affiliated with 5 federal land management agencies were selected to receive a combined total of \$12,497,921. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Guidance is included with each Federal Register notice that announces project selections. Program requirements, additional guidance and legislation information can be found at <http://www.fta.dot.gov/>

Regional or Local Office:

See Regional Agency Offices. Information and assistance in applying can be acquired for the headquarters office of the Federal land management agency that manages the park or public land involved. The required project proposal template is available at <http://www.fta.dot.gov>.

-National Park Service: Jim Evans Jim_Evans@nps.gov, tel: 202-513-7021 fax: 202-371-6675 mail: 1849 C Street, N.W. (MS2420) Washington, D.C. 20240-0001 .

- Fish and Wildlife Service: Nathan Caldwell, Nathan.caldwell@fws.gov, tel:7033582376, fax: 7033582517,mail: 4401 N. Fairfax Drive, Suite 634; Arlington, VA 22203.

-- Forest Service: Rosana Bakawi, rosanabakawi@fs.fed.us, tel: 703-605-4409 , fax 703-605-1542, mail: 1601 N. Kent Street, RPC-5, Arlington, VA 22209.

-- Bureau of Land Management: Jack Placchi, jack_placchi@blm.gov, tel: 303-239-3832, fax: 303-239-3808, mail: 2850 Youngfield Street, Lakewood, Colorado 80215 .

Headquarters Office:

Adam Schildge 1200 New Jersey Avenue, SE, Washington, District of Columbia 20590 Email: Adam.Schildge@dot.gov Phone: 202-366-0778

Website Address:

<http://www.fta.dot.gov/atppl>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: The Golden Gate National Recreation Area received funds to expand and complete the multi-use Bay Trail in the Presidio of San Francisco, creating an accessible, multi-use route for pedestrians and bicyclists traveling between the Golden Gate Bridge to destinations along the northern waterfront of San Francisco.

The Guilford Courthouse National Military Park received funds to implement a tram transit system to connect the multi-park area and enhance visitor experience and pedestrian safety. The tram will provide accessible connections between the National Park Service Colonial Heritage Center and Battleground Visitor Center, Greensboro County Park, Jayce Park and the Natural Science Center of Greensboro.. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Consistent with the considerations identified in Title 49, Chapter 53 at Section 5320(g)(2), evaluation of proposals were based on the following criteria:

- & #61472; Demonstration of need
 - o Documentation of problem in plans and other reports
 - o Severity of problem

- Protection of natural and cultural resources
 - o Protection or improvement of cultural, historical, scenic, and natural resources
 - o Environmental benefits/reduction of pollution (including air pollution, noise pollution, and visual pollution)

- & #61472; Operational efficiency and financial sustainability
 - o Evaluation of costs and benefits of all reasonable alternatives
 - o Financial planning (including for capital, operations, maintenance, and equipment replacement expenses; and revenues, including user fees)
 - o Cost effectiveness
 - o Innovative financing or joint development strategies
 - o Deferred maintenance issues

- Public benefits
 - o Enhancing visitor experience
 - o Mobility issues (reduces congestion, improves intermodal connectivity, improves public access, including access for persons with disabilities)
 - o Safety
 - o Partnership with public and private entities, and benefits to gateway communities.

- Additional consideration will be given to projects based upon geographic diversity, balance between urban and rural projects, and balance in size of projects. Finally, projects that demonstrate innovative funding mechanisms or partnerships will be given extra consideration. The proposal template contains specific questions related to each of these criteria to guide the applicant in justifying the project.

20.521 NEW FREEDOM PROGRAM

FEDERAL AGENCY:

Federal Transit Administration (FTA), Department of Transportation

AUTHORIZATION:

Safe, Accountable, Efficient, Flexible Transportation Equity Act: A legacy for Users (SAFETEA-LU), 49 U.S.C 5317.

OBJECTIVES:

To provide grants to recipients for new public transportation services and public transportation alternatives beyond those required by the Americans with Disabilities Act of 1990 (42 U.S.C. 12101 et. Seq.) that assists individuals with disabilities with transportation, including transportation to and from jobs and employment support services.

The program was repealed under MAP-21. The New Freedom Program activities are now eligible under the Section 5310 Enhanced Mobility of Seniors and Individuals with Disabilities Program (20.513)

In FY 2012 the Consolidated Specialized Transportation Grant Program replaces and combines three existing grant programs for targeted populations (formerly the Elderly Individuals and Individuals with Disabilities Program, the New Freedom program, and the Job Access and Reverse Commute program). This program would continue the goals of these programs by funding alternative

forms of transportation where traditional services are unavailable, inappropriate, or insufficient.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

To be eligible, a project must either be a new public transportation service or a public transportation alternative beyond those required by the ADA and the project must assist individuals with disabilities with transportation, including transportation to and from jobs and employment support services. Capital and operating expenses for projects that meet the purpose of the statute are eligible to be funded. The recipient may use up to 10 percent of apportioned funds for administration, planning, and technical assistance.

Applicant Eligibility:

An eligible recipient is defined as a recipient to be designated by the Governor of the State in consultation with local officials and local public transportation providers in urbanized areas above 200,000 in population. In urbanized areas between 50,000 and 200,000 in population and nonurbanized (rural) areas, the State is the eligible recipient. Public agencies, nonprofit agencies, public transportation providers, private transportation providers, and human services transportation providers are eligible to be subrecipients under the New Freedom program.

Beneficiary Eligibility:

Individuals with Disabilities.

Credentials/Documentation:

Resolution by an authorized public body approving the filing for an application; projects must be included in the local coordinated public transit human service transportation plan, an urbanized area's transportation improvement program (TIP), and in the Statewide transportation improvement program (STIP) approved by FTA and FHWA. Information must be provided on legal capacity; coordinated regional planning; and compliance with certifications and assurances as compiled in FTA's Annual List of Certifications and Assurances. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Pursuant to Section 102 (2) (C) of the National Environmental Policy Act of 1969 (Public Law 91-190), 42 U.S.C. 4332 (2) (c), Executive Order 11514 (34 FR 4247) of March 4, 1970, an environmental impact assessment and/or environmental impact statement may be required for construction projects. Applicants must coordinate with: the State or local agencies that administer the State program funded under part A of Title IV of the Social Security Act, public housing agencies, the community to be served and stakeholders. The program is covered under E.O. 12372, Intergovernmental Review of Federal Programs. An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process to be followed in applying for assistance under this program. An environmental impact assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. The project must be a product of the local coordinated public transit human services coordinated plan, competitively selected by the areas recipient, and included in the State Transportation Improvement Program prior to grant award. Recipients will submit applications electronically to the appropriate Federal Transit Administration, FTA, regional office. The FTA regional offices are listed in Appendix IV of the Catalog. Recipients will then distribute funding directly to the subrecipients. After the recipient has competitively selected projects to be funded, the recipient may officially request that FTA allow subrecipients that are eligible to apply directly to FTA for Section 5307 funds be allowed to apply directly to FTA for New Freedom funds awarded. Notification of the grant program may also be found at <http://www.grants.gov>.

Award Procedure:

An FTA New Freedom grant is awarded and funds are obligated in an electronic grant agreement. The recipient must execute the grant agreement, and access FTA's electronic funds management system; the funds management system allows the grantee to drawdown funds after invoices are received. The grantee directs funds to subrecipients.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

It is a goal of the Federal Transit Administration to obligate grants within 36 days of the submission of a complete grant application.

Appeals:

Not Applicable.

Renewals:

Funds are appropriated annually. Grants may be amended to add newly available funds for a continuing project.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Terms and conditions are delineated in the grant agreement. Funds are awarded using an electronic financial management system as funds are expended and invoices received. See the following for information on how assistance is awarded/released: Funds are appropriated annually. Grants may be amended to add newly available funds for a continuing project.

Reports:

No reports are required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Pursuant to requirements established by the Office of Management and Budget (OMB), nonfederal entities that expend \$500,000 or more in a year in Federal awards shall have a single or program specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year with certain exceptions as determined by the OMB. The Federal Transit Administration may also conduct its own audits.

Records:

Recipient is required to retain intact, for 3 years following submission of final expenditure report, pending resolution of audit findings, all project contract documents, financial records, and supporting documents.

Account Identification:

69-8350-0-7-401.

Obligations:

(Formula Grants) FY 12 \$110,660,000; FY 13 est \$0; and FY 14 est \$0 - The program has been repealed. No funding will be appropriated in FY 2014.

Range and Average of Financial Assistance:

Project funding varies based on competitive selection process at State or urbanized area level. Urbanized area apportionments range from \$111 to \$2.2 M. for an average of \$150K. State apportionments for small urbanized areas range from \$644 to \$1.5 M for an average of \$115K, and for rural areas from \$459 to \$701K for an average of 160K.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: The New Freedom program was allocated \$110.66 million. It provided funding to support projects designed to overcome existing barriers facing Americans with disabilities as they seek intergration into the workforce. In FY 2012, FTA provided funds to increase geographic coverage and service

times of a variety of transportation providers. Fiscal Year 2013: The program has been repealed under MAP-21. Fiscal Year 2014: The program has been repealed. No funding will be appropriated in FY 2014.

REGULATIONS, GUIDELINES, AND LITERATURE:

1.) Federal Transit Administration Transit Program Changes, Authorized Funding Levels and Implementation of the Safe, Accountable, Flexible, Efficient Transportation Equity Act: A Legacy for Users, 70 Fed. Reg. 71950, 71968 (Nov. 30, 2005). 2) FTA Circular 9045.1, New Freedom Program Guidelines and Application Instructions, enacted May 1, 2008.

Regional or Local Office:

None.

Headquarters Office:

Gil Williams 1200 New Jersey Ave., S.E , Washington, District of Columbia 20590 Phone: (202) 366-0797

Website Address:

http://www.fta.dot.gov/funding/grants/grants_financing_3549.html

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Example of projects in FY2012 are the the Yolobus Special (paratransit) service for Yolo County, California residents as well as in El Paso, Texas where an after hours will call door-to-door transportation service is being provided to residents. Fiscal Year 2013: No Current Data Available
Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Per Congressional designation, recipients must conduct a competition to determine which projects should be funded.

20.522 ALTERNATIVES ANALYSIS

FEDERAL AGENCY:

Federal Transit Administration (FTA), Department of Transportation

AUTHORIZATION:

Safe, Accountable, Flexible, Efficient Transportation Equity Act: A Legacy for Users (SAFETEA-LU), 109 Stat. 59, 49 U.S.C 533.

OBJECTIVES:

To assist in financing the evaluation of all reasonable modal and multimodal alternatives and general alignment options for identified transportation needs in a particular, broadly defined travel corridor.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds may be used to assist State and local governmental authorities in conducting alternatives analyses as part of the early planning for capital projects for new fixed guideway systems and extensions to existing fixed guideway systems.

The Moving Ahead for Progress in the 21st Century (MAP-21) Act that became effective on October 1, 2012, eliminated the Alternatives Analysis Program. Some of the Alternatives Analysis studies are still active, that is, they are completing the work. However, no new funding or requirements are associated with the former program. 100% are set aside for activities.

Applicant Eligibility:

Public agencies, including States; municipalities and other subdivisions of States; public agencies and instrumentalities of one or more States; and public corporations, boards, and commissions established under State law. Applicant must have legal, financial, and technical capacity to carry out proposed project and maintain facilities and equipment purchased with Federal assistance. Private non-profit organizations are not directly eligible recipients.

Beneficiary Eligibility:

The general public, both users and non-users of public transportation and public agencies. Private consultants may participate through contractual arrangements with a public agency grantee.

Credentials/Documentation:

Resolution by an authorized public body approving the filing for an application; projects must be included in an urbanized area's Unified Planning Work Program (UPWP) and approved by FTA and FHWA. Applicant must complete certifications and assurances as compiled in FTA's Annual List of Certifications and Assurances. Cost will be in accordance with OMB Circular No. A-87 for State and local governments. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process that the State requires to be followed in applying for assistance, if the State has selected the program for review. An environmental impact statement may be required for this program. An environmental impact statement may also be required upon completion of the review. An environmental impact statement is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Project funds may be allocated by Congress for specific projects. When applicable, a Notice of Funding Availability is published to announce the availability of discretionary funds and application process. The notification and application are also available at <http://www.grants.gov>.

Award Procedure:

Project funds may be allocated by Congress for specific projects. When applicable, a Notice of Funding Availability is published to announce the availability of discretionary funds and application process. The notification and application are also available at <http://www.grants.gov>.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

FTA awards more than 80 percent of grants within 60 days of receipt of a completed application, with an average processing time of 36 days or less.

Appeals:

Not Applicable.

Renewals:

Funding is made available annually through the appropriations process. Grants may be amended to add newly available funds for a continuing project.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: Funds for Alternatives Analysis projects are allocated based on statutory or discretionary basis. A grant may be made for up to 80 percent of total project cost. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Terms and conditions are contractually agreed on between FTA and the applicant. The grants may be awarded in one lump sum or in smaller increments as specified for the grantee. Method of awarding/releasing assistance: by letter of credit.

Reports:

(1) Progress reports; (2) Financial status reports. SF-425. (1) Progress reports; (2) Financial status reports. SF-425. (1) Progress reports; (2) Financial status reports.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Recipient is required to retain intact, for 3 years following submission of final expenditure report, pending resolution of audit findings, all project contract documents, financial records, and supporting documents.

Account Identification:

69-8350-0-7-401.

Obligations:

(Project Grants (for specified projects)) FY 12 \$25,000,000; FY 13 est \$0; and FY 14 est \$0 - FY 12 \$25,000,000; and FY 13 \$0.

Range and Average of Financial Assistance:

In FY 2006 Congressional designations range from \$300,000 to \$2,500,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: During fiscal year 2012, more than two dozen alternatives analysis studies were underway. The studies are helping study sponsors develop evaluation measures of options and locally preferred alternatives. Fiscal Year 2013: Not Applicable.. The MAP-21 legislation that became effective on October 1, 2012 eliminates the alternatives analysis requirement for major capital grant projects. Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Please visit the Federal Transit Administration (FTA) website or contact the appropriate FTA Region office for more information. See Federal Register/ Vol.70, No. 229/ Wednesday, November 30, 2005/ Notices.

Regional or Local Office:

See Regional Agency Offices. See list of regional office contacts located in Appendix IV.

Headquarters Office:

Maurice Foushee 1200 New Jersey Ave., S.E., Washington, District of Columbia 20590 Phone: (202) 366-1636

Website Address:

<http://www.fta.dot.gov>.

RELATED PROGRAMS:

20.205 Highway Planning and Construction; 20.500 Federal Transit_Capital Investment Grants; 20.505 Federal Transit_Metropolitan Planning Grants

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: The program helped study sponsors in cities such as Seattle, Washington and Eugene, Oregon draft studies of potential transit options. Fiscal Year 2013: Not Applicable. Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Major, non-recurring capital investment Congressional designation.

20.524 PASSENGER RAIL INVESTMENT AND IMPROVEMENT (PRIIA) PROJECTS FOR WASHINGTON METROPOLITAN AREA TRANSIT AUTHORITY (WMATA)

(PRIIA -- WMATA)

FEDERAL AGENCY:

Federal Transit Administration (FTA), Department of Transportation

AUTHORIZATION:

Section 601 of the Passenger Rail Investment and Improvement Act of 2008, Public Law 110-432.

OBJECTIVES:

To assist in financing part of the capital and preventive maintenance projects included in the Capital Improvement Program approved by the Board of Directors of the Washington Metropolitan Area Transit Authority. The grants are used to address needed safety improvements including track repairs, track control systems, new rail cars, and escalator repairs.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Funding provides for projects of the highest priority, that will improve the safety of the system, including but not limited to fixing the track signal system, replacing 1000 series cars, installing guarded turnouts, buying equipment for

wayside worker protection, and installing rollback protection on cars that are not equipped with the safety feature. Grants shall not be used to increase the mileage of the rail system. The provisions of the federal grants are subject to the provisions of the equal annual contributions of capital funds from the District of Columbia, the Commonwealth of Virginia and the State of Maryland. Furthermore, the Federal grant shall be for 50 percent of the net project cost of the project involved, and the matching fund shall be provided in cash from sources other than Federal funds or operating revenues. The use of the funds shall be subject to the requirements applicable to capital projects for which funds are provided under Chapter 53 of title 49, United States Code, except to the extent that the Secretary of Transportation determines that the requirements are inconsistent with the purpose of the grants.

Applicant Eligibility:

This program is only for the Washington Metropolitan Area Transit Authority (Public Law 110-432, Section 601 of the Passenger Rail Investment and Improvement Act of 2008) for construction, renewal, and rehabilitation.

Beneficiary Eligibility:

WMATA was created by interstate compact, authorized by the United States Congress, and is jointly funded by the District of Columbia and jurisdictions in suburban Maryland and northern Virginia.

Credentials/Documentation:

Resolution by the Board of Directors of Washington Metropolitan Area Transit Authority (WMATA) approving the filing of an application; evidence that projects are included in the formal Capital Improvement Program approved by the Board of Directors of WMATA; information on labor and relocation; legal opinion; coordinated regional planning documentation; maintenance certification; and compliance with certifications and assurances as compiled in FTA's Annual List of Certifications and Assurances. Cost will be in accordance with OMB Circular No. A-87 for State and local governments. Federal Register Notice FTA Fiscal Year Apportionments, Allocations, and Program Information, published annually contain the capital investment apportionments. For fiscal year 2013, the Federal Register notice with the full year's apportionment was published in May 2012. For other fiscal years, contact the FTA Regional Office to obtain the publication dates or the FTA website: www.fta.dot.gov/. OMB Circular No. A-87 applies to this program. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. WMATA must submit a list of eligible projects for review and approval by the Secretary of Transportation or his/her designee prior to the submittal of a formal application. This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. This program is subject to the provisions of OMB Circular No. A-87 and 49 CFR Part 18 and FTA Circular 9300.1B. Applications are made to Washington Metropolitan Area Transit Authority (WMATA) by regional offices of the Federal Transit Administration listed in Appendix IV of the Catalog.

Award Procedure:

An FTA grant award obligating Federal funds is reflected in a grant agreement. Grants are awarded electronically using FTA's Transportation Electronic Award Management (TEAM) system, <http://ftateamweb.fta.dot.gov/fta-flash2b.html>. In order to access this system, a user name and password are needed and can be obtained by contacting the regional offices. Once the funds are reserved in TEAM and the project information has been reviewed and approved by Headquarters, the recipient must execute the grant agreement to access the funds.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 45 to 60 days.

Appeals:

Not Applicable.

Renewals:

Funding is made available annually through the appropriation process. The total amount authorized in the Passenger Rail Investment and Improvement Act of 2008 shall not exceed \$1,500,000,000 to be available in increments over 10 fiscal years beginning in fiscal year 2009, or until expended. A separate grant application is executed for each fiscal year as funds are appropriated by Congress.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: Percent: 50.%. Matching Requirements: WMATA shall provide 50 percent of the net project cost to match each Federal grant provided. The matching funds shall be provided in cash from sources other than Federal Funds or revenues from the operation of public mass transportation systems. The provisions of the federal grants are subject to the provisions of the equal annual contributions of capital funds from the District of Columbia, the Commonwealth of Virginia and the State of Maryland. This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

Funds are available until spent. Method of awarding/releasing assistance: lump sum.

Reports:

No program reports are required. No cash reports are required. Quarterly Milestone status reports are required. Quarterly Federal Financial Reports are required. FTA staff must review and sign off on all quarterly FFRs.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

The grantee must record and maintain all financial transactions for a period of 3 years after the close of a grant. The grantee must maintain an asset inventory for the useful life of an capital acquisition .

Account Identification:

69-1128-0-1-401.

Obligations:

(Project Grants) FY 12 \$150,000,000; FY 13 est \$150,918,000; and FY 14 est \$150,000,000 - FTA is processing the grant application for the FY12 funds.

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Provided funds for capital projects included in WMATA's FY12 Capital Improvement Program, which includes infrastructure rehabilitation and addresses some of the National Transportation Safety Board's (NTSB) safety recommendations. Grant awards can only be made to one grantee. That grantee has the option to award grants as needed. There were 2 grants awarded in FY 2012. Fiscal Year 2013: Two grants are expected to be awarded in FY 2013. Fiscal Year 2014: As with prior years, 2 grants are expected in FY 2014.

REGULATIONS, GUIDELINES, AND LITERATURE:

FTA Circular 5010.1D for Grants Management
FTA Circular 4220.1F for Third-Party Procurements

Regional or Local Office:

See Regional Agency Offices. FTA Washington, DC Metropolitan Office
1990 K Street NW, Suite 510
Washington, DC 20006-1178

FTA Region 3 Office
1760 Market Street, Suite 500

Philadelphia, PA 19103-4124.

Headquarters Office:

Eric Hu 1200 New Jersey Ave. S.E., Washington, District of Columbia 20590
Phone: (202) 366-0870

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Procurement of 7000 Series replacement rail cars; rehabilitation of rail cars; renovation of rail shops and facilities; replacement of bus facility equipment; funding of track repair programs; purchase of rail equipment and bus monitoring devices; and the funding of design, engineering, and third party contract costs. These projects meet the goals of the statute, including infrastructure improvements related to rail system safety and service reliability. Fiscal Year 2013: WMATA intends to utilize the FY 2013 appropriations to fund capital projects that rehabilitate infrastructure and address some of the National Transportation Safety Boards (NTSB) safety recommendations. Fiscal Year 2014: The same types of projects funded in prior years are expected in FY 2014.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

20.525 STATE OF GOOD REPAIR GRANTS PROGRAM

FEDERAL AGENCY:

Federal Transit Administration (FTA), Department of Transportation

AUTHORIZATION:

Moving Ahead for Progress in the 21st Century Act, 49 U.S.C 5337.

OBJECTIVES:

To assist in financing capital projects to maintain public transportation systems in a state of good repair and to ensure public transit operates safely, efficiently, reliably, and sustainably so that communities can offer balanced transportation choices that helps to improve mobility, reduce congestion, and encourage economic development.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

Funds may be used to assist State and local governmental authorities in financing capital projects to maintain public transportation systems in a state of good repair. Eligible projects include the replacement and rehabilitation of rolling stock, track, line equipment and structures, signals and communications, power equipment and substations, passenger stations and terminals, security equipment and systems, maintenance facilities and equipment, operational support equipment (including computer hardware and software), and development and implementation of a transit asset management plan. Preventive maintenance is eligible for funding so long as it is specifically for fixed guideway system. Funds must be used for the replacement and/or rehabilitation of existing high intensity fixed guideway and high intensity motorbus systems that have been in operation for at least seven years.

Applicant Eligibility:

Eligible applicants are state and local governmental authorities in urbanized areas. FTA will apportion funds to designated recipients in the urbanized areas with fixed guideway transportation systems operating at least 7 years. The designated recipients will then allocate funds as appropriate to recipients that are state and local governmental authorities in the urbanized areas.

Beneficiary Eligibility:

The general public, both users and non-users of public transportation. Public agencies, although private transportation companies may participate through contractual arrangements with public agency grantee.

Credentials/Documentation:

Resolution by an authorized public body approving the filing for an application; projects must be included in an urbanized area's transportation improvement

program (TIP), in the State transportation improvement program (STIP) and approved by FTA and FHWA; information must be provided on labor and relocation; environmental impact statement; legal opinion; coordinated regional planning documentation; maintenance certification; and compliance with certifications and assurances as compiled in FTA's Annual List of Certifications and Assurances. Cost will be in accordance with OMB Circular No. A-87 for State and local governments. All recipients are required to have a transit asset management system in place in order to be eligible for grants, and recipients must certify that the recipients comply with the rule issues under section 5326 (d) Transi Asset Management. This requirement is subject to a FTA rulemaking, and will not apply immediately in FY 2013. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. This program is excluded from coverage under OMB Circular No. A-142. Circular No. A-110 applies to this program. This program is subject to the provisions of OMB Circular No. A-87 and 49 CFR Part 18 and FTA Circular 9300.1A, "Capital Program: Grant Application Instructions," October 1, 1998. Applications are made to the regional offices of the Federal Transit Administration listed in Appendix IV of the Catalog.

Award Procedure:

An FTA grant award obligating Federal funds is reflected in a grant agreement. Grants are awarded electronically using FTA's Transportation Electronic Award Management (TEAM) system, <http://ftateamweb.fta.dot.gov/fta-flash2b.html>. In order to access this system, a user name and password are needed and can be obtained by contacting the regional offices. Once the funds are reserved in TEAM and the project information has been reviewed and approved by Headquarters, the recipient must execute the grant agreement to access the funds.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Funding is made available annually through the appropriation process. Grants may be amended to add newly available funds for a continuing project.

Formula and Matching Requirements:

Statutory Formula: Title 49, Chapter 5337 (c) and (d). 49 U.S.C. Section 5337 (c) and (d), 97.15 percent of the total amount authorized for the State of Good Repair program be apportioned to UZAs with High Intensity Fixed Guideway systems. The apportionments to UZAs with High Intensity Fixed Guideway systems are determined by two equal elements: (1) the proportion of the amount a UZA received in FY 2011 to the total amount apportioned to all UZAs in FY 2011; (2) the proportion of vehicle revenue miles of a UZA to the total vehicle revenue miles of all UZAs and the proportion of directional route miles of a UZA to the total directional route miles of all UZAs. High Intensity Motorbus systems will receive the remaining 2.85 percent of the total amount authorized for the State of Good Repair program, and the apportionments to UZAs are based on vehicle revenue miles and directional route miles.

Matching Requirements: Percent: 20.%. Matching Requirements: Grants shall provide minimum 20 percent of the net project cost to match each Federal grant provided. The matching funds shall be provided in cash from sources other than Federal Funds or revenues from the operation of public mass transportation systems.

This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

The funds apportioned under the State of Good Repair Program remain available to recipients to be obligated in grants during the year of appropriation plus three additional years or four fiscal years in total. See the following for information on how assistance is awarded/released: Applicant is required to submit a grant application in the Transportation Electronic Award Management System (TEAM).

Reports:

(1) Progress reports; (2) Federal financial reports; (Submitted quarterly for recipients in urbanized areas over 200,000 population, annually for other recipients and states); (3) construction reports where applicable. Federal Financial Report, SF-425. Milestone progress reports are required quarterly. Federal Financial Report contains expenditure information. Progress report.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Recipient is required to retain intact, for 3 years following submission of final expenditure report, pending resolution of audit findings, all project contract documents, financial records, and supporting documents.

Account Identification:

69-8350-0-7-401.

Obligations:

(Formula Grants) FY 12 Not Available; FY 13 est \$2,120,277,750; and FY 14 est \$2,149,655,750 - This is a new program in FY 2013. Therefore, prior year obligation information is not available.

Range and Average of Financial Assistance:

2013: \$2,136,300,000
2014: \$2,149,655,750.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: This is a new program in FY 2013. Therefore, prior year program accomplishments are not available. Fiscal Year 2013: Provide funds for fixed guideway and motorbus capital projects, including maintenance, rehabilitation, and replacement of transit assets. Federal Transit Administration (FTA) has awarded \$319.15 million for 26 projects in 14 states as of August 9, 2013. Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

FTA Circular 5010.1D for Grants Management
FTA Circular 4220.1F for Third-Party Procurements
FTA Circular C9300.1B for Program Guidance and Application Instructions

Regional or Local Office:

See Regional Agency Offices. See Appendix IV: Agency Regional and Local Office Addresses of the Catalog for a listing of the Federal Transit regional offices.

Headquarters Office:

Eric Hu 1200 New Jersey Avenue, S.E., Washington, District of Columbia 20590 Phone: (202) 366-0870

Website Address:

<http://www.fta.dot.gov>

RELATED PROGRAMS:

20.500 Federal Transit_Capital Investment Grants

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: This is a new program in FY 2013. Therefore, prior year Example of Funded Projects are not available. Fiscal Year 2013: Examples of Funded Projects:

1. San Francisco Municipal Transportation Agency (SFMTA) uses the funds for cable car rehabilitation, Muni rail replacement, and radio replacement.
2. Regional Transportation District (RTD) of Denver uses the funds for capital

maintenance projects that pertains to fixed guideway track, rolling stock and electrical substations and LRT stations.

3. Central Arkansas Transit Authority uses the funds to purchase rail parts for the River Rail Streetcar system.
4. New Jersey Transit uses the grants for rail preventive maintenance activities.
5. Tri-County Metropolitan Transportation District of Oregon (TriMet) uses the grants for rail and bus preventive maintenance programs.
6. Greater Dayton Regional Transit Authority of Ohio uses the funds for projects include: preventive maintenance, routine overhead systems & substation improvements, and related transit enhancements. Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

20.526 BUS AND BUS FACILITIES FORMULA PROGRAM

Bus Program

FEDERAL AGENCY:

Federal Transit Administration (FTA), Department of Transportation

AUTHORIZATION:

Moving Ahead for Progress in the 21st Century Act, 49 U.S.C 5339.

OBJECTIVES:

Provides capital funding to replace, rehabilitate and purchase buses and bus related equipment and to construct bus-related facilities.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

Eligible capital projects include the acquisition of buses for fleet and service expansion, bus maintenance and administrative facilities, transfer facilities, bus malls, transportation centers, intermodal terminals, park-and-ride stations, acquisition of replacement vehicles, bus rebuilds, passenger amenities such as passenger shelters and bus stop signs, accessory and miscellaneous equipment such as mobile radio units, supervisory vehicles, fare boxes, computers, and shop and garage equipment.

Applicant Eligibility:

Our definition of an eligible applicant is states and designated recipients that operate or allocate funding to fixed-route bus operators.

Beneficiary Eligibility:

Beneficiaries of funding include states and designated recipients. After allocation of funds, fixed-route bus operators, the general public, both users and non-users of public transportation, public agencies, and private transportation companies may benefit.

Credentials/Documentation:

Resolution by an authorized public body approving the filing for an application; projects must be included in an urbanized area's transportation improvement program (TIP), in the State transportation improvement program (STIP) and approved by FTA and FHWA; information must be provided on labor and relocation; environmental impact statement; legal opinion; coordinated regional planning documentation; maintenance certification; and compliance with certifications and assurances as compiled in FTA's Annual List of Certifications and Assurances. Cost will be in accordance with OMB Circular No. A-87 for State and local governments. Federal Register Notice FTA Fiscal Year Apportionments, Allocations, and Program Information, published annually contains the capital investment apportionments. Contact the FTA Regional Office to obtain the publication dates or the FTA website: www.fta.dot.gov/. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. This program is subject to the provisions of OMB Circular No. A-87 and 49 CFR Part 18 and FTA Circular 9300.1A, "Capital Program: Grant Application Instructions," October 1, 1998. Applications are made to the regional offices of the Federal Transit Administration listed in Appendix IV of the Catalog.

Award Procedure:

An FTA grant award obligating Federal funds is reflected in a grant agreement. Grants are awarded electronically using FTA's Transportation Electronic Award Management (TEAM) system, <http://ftateamweb.fta.dot.gov/fta-flash2b.html>. In order to access this system, a user name and password are needed and can be obtained by contacting the regional offices. Once the funds are reserved in TEAM and the project information has been reviewed and approved by Headquarters, the recipient must execute the grant agreement to access the funds.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Funding is made available annually through the appropriation process. Grants may be amended to add newly available funds for a continuing project.

Formula and Matching Requirements:

Statutory Formula: Title 49, Chapter Section 5339. This program has a statutory formula in Section 5339(d)(1) and (2).

Matching Requirements: Percent: 20.%. There is an 80% federal/20% non-federal match.

This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

Funds must be obligated in a grant within three years after funds are appropriated (total of four years). See the following for information on how assistance is awarded/released: Applicant is required to submit a grant application in the Transportation Electronic Award Management System (TEAM).

Reports:

(1) Progress reports; (2) Financial status reports; (Submitted quarterly for recipients in urbanized areas over 200,000 population, annually for other recipients and states); (3) construction reports where applicable. Federal Financial Report, SF-425. Progress reports are required on a quarterly basis. Expenditures are reported on the Federal Financial Report. A milestones progress report is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Recipient is required to retain intact, for 3 years following submission of final expenditure report, pending resolution of audit findings, all project contract documents, financial records, and supporting documents.

Account Identification:

69-8350-0-7-401.

Obligations:

(Formula Grants) FY 12 Not Available(Exp: This is a new program.); FY 13 est \$422,000,000; and FY 14 est \$428,000,000 - The Bus and Bus Facilities Formula Program is new in fiscal year 2013. There is no previous year data available.

Range and Average of Financial Assistance:

Current year: \$165,000 - \$40,000,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: This is a new FY2013 program. There were no obligations for FY2012. Fiscal Year 2013: Funds were awarded to States in FY2013 to purchase buses and vehicles. In Section 170 Examples of Funded Projects" is a list of projects that have currently received awards. Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Contact the FTA Regional Offices. See FTA Circular 9300.1A, "Capital Program: Grant Application Instructions," November 1, 1998.

Regional or Local Office:

None. See Appendix IV: Agency Regional and Local Office Addresses of the Catalog for a listing of the Federal Transit regional offices.

Headquarters Office:

Samuel Snead 1200 New Jersey Ave, SE , Washington, District of Columbia 20590 Phone: 202-366-1089

Website Address:

<http://www.fta.gov>

RELATED PROGRAMS:

20.205 Highway Planning and Construction; 20.505 Federal Transit_Metropolitan Planning Grants; 20.507 Federal Transit_Formula Grants; 20.509 Formula Grants for Other Than Urbanized Areas; 20.513 Capital Assistance Program for Elderly Persons and Persons with Disabilities; 20.515 State Planning and Research

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: Example of Funded Projects in FY 2013:

1. METROPOLITAN TULSA TRANSIT AUTHORITY Purchased 40 ft. replacement bus.
2. DES MOINES REGIONAL TRANSIT AUTHORITY Purchased 40 ft. replacement buses.
3. TRI-COUNTY METROPOLITAN TRANSPORTATION DISTRICT OF OREGON Purchased approximately 3 40 ft. Diesel Buses.
4. MEMPHIS AREA TRANSIT AUTHORITY Purchased 40 ft. replacement buses.
5. CITY OF LAFAYETTE -- Purchased 35 ft. replacement bus
- IOWA DEPARTMENT OF TRANSPORTATION -- Purchased replacement Vans and 30Ft Buses. Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

20.527 PUBLIC TRANSPORTATION EMERGENCY RELIEF PROGRAM

Transit Emergency Relief Program (ER Program)

FEDERAL AGENCY:

Federal Transit Administration (FTA), Department of Transportation

AUTHORIZATION:

Moving Ahead for Progress in the 21st Century Act, 49 U.S.C 5324.

OBJECTIVES:

The Emergency Relief Program is intended to provide operating assistance and capital funding to aid recipients and subrecipients in restoring public transportation service, and in repairing and reconstructing public transportation assets to a state of good repair, as expeditiously as possible following an emergency or major disaster.

TYPES OF ASSISTANCE:

Project Grants (Discretionary); Project Grants (Special)

USES AND USE RESTRICTIONS:

The ER Program allows FTA to make grants for eligible public transportation capital and operating costs in the event of a catastrophic event, such as a natural disaster, that affects a wide area, and, as a result of which, the Governor of a State has declared an emergency or the President has declared a major disaster

under the Robert T. Stafford Disaster Relief and Emergency Assistance Act. Eligible projects include emergency operations, emergency repairs, permanent repairs, actual engineering and construction costs on eligible projects, and resiliency projects designed to protect rolling stock, equipment, facilities and infrastructure from future damage. Funds may only be used for capital and operating costs incurred by public transportation systems in response to a catastrophic event, such as a natural disaster, that affects a wide area, and, as a result of which, the Governor of a State has declared an emergency or the President has declared a major disaster under the Robert T. Stafford Disaster Relief and Emergency Assistance Act. Reimbursable costs include emergency operations, emergency repairs, permanent repairs, actual engineering and construction costs on eligible projects, and resiliency projects designed to protect rolling stock, equipment, facilities and infrastructure from future damage. Funds may not be used for project costs for which funds are already obligated in a grant, for which FEMA or another federal agency has already provided emergency funding, or for which the applicant has received insurance proceeds. Funds are awarded to eligible agencies based on the demonstrated costs of responding to and recovering from an emergency or major disaster. Funds are also awarded to affected agencies for projects that improve the resiliency of public transportation assets and infrastructure to future emergencies or disasters.

Applicant Eligibility:

An entity that operates public transportation service in an area impacted by an emergency or major disaster, as defined by a gubernatorial or presidential declaration of such an emergency or disaster, and that receives federal transit funds directly from FTA.

Beneficiary Eligibility:

Beneficiaries of funding include public transportation operators and the general public in areas for which an emergency or major disaster has been declared as defined under section 5324.

Credentials/Documentation:

Resolution by an authorized public body approving the filing for an application; projects must be included in an urbanized area's transportation improvement program (TIP), in the State transportation improvement program (STIP) and approved by FTA and FHWA; information must be provided on labor and relocation; environmental impact statement; legal opinion; coordinated regional planning documentation; maintenance certification; and compliance with certifications and assurances as compiled in FTA's Annual List of Certifications and Assurances. Cost will be in accordance with OMB Circular No. A-87 for State and local governments. Federal Register Notice FTA Fiscal Year Apportionments, Allocations, and Program Information, published annually contains the capital investment apportionments. Contact the FTA Regional Office to obtain the publication dates or the FTA website: www.fta.dot.gov/. Requirements associated with Chapter 53 of Title 49, U.S. Code, and other DOT regulations may be waived by FTA. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. This program is subject to the provisions of OMB Circular No. A-87 and 49 CFR Part 18. Applications are made to the regional offices of the Federal Transit Administration listed in Appendix IV of the Catalog.

Award Procedure:

An FTA grant award obligating Federal funds is reflected in a grant agreement. Grants are awarded electronically using FTA's Transportation Electronic Award Management (TEAM) system, <http://ftateamweb.fta.dot.gov/fta-flash2b.html>. In order to access this system, a user name and password are needed and can be obtained by contacting the regional offices. Once the funds are reserved in

TEAM and the project information has been reviewed and approved by Headquarters, the recipient must execute the grant agreement to access the funds.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Funding is made available as necessary through the appropriation process. Grants may be amended to add newly available funds for a continuing project.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Percent: 20.%. There is an 80% federal/20% non-federal match, subject to waiver by FTA.

This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

Funds are available until expended. See the following for information on how assistance is awarded/released: Reimbursement.

Reports:

(1) Progress reports; (2) Financial status reports; (Submitted quarterly for recipients in urbanized areas over 200,000 population, annually for other recipients and states); (3) construction reports where applicable. Federal Financial Report, SF-425. Yes. Progress reports are required on a quarterly basis. Expenditures are reported on the Federal Financial Report, SF-425. Yes. A milestones progress report is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Recipient is required to retain intact, for 3 years following submission of final expenditure report, pending resolution of audit findings, all project contract documents, financial records, and supporting documents.

Account Identification:

69-1140-0-1-401.

Obligations:

(Salaries) FY 12 Not Available; FY 13 est \$2,000,000,000; and FY 14 Estimate Not Available

Range and Average of Financial Assistance:

The ER Program is new in Fiscal Year 2013, and funds have not yet been obligated.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Contact the FTA Regional Offices. See FTA Circular 9300.1A, "Capital Program: Grant Application Instructions," November 1, 1998.

Regional or Local Office:

None.

Headquarters Office:

Adam Schildge 1200 New Jersey Avenue, SE, Washington, District of Columbia 20590 Email: Adam.Schildge@dot.gov Phone: 202-366-0778

Website Address:

<http://www.fta.gov>

RELATED PROGRAMS:

20.507 Federal Transit_Formula Grants; 20.509 Formula Grants for Other Than Urbanized Areas; 20.513 Capital Assistance Program for Elderly Persons and Persons with Disabilities

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Funds will be allocated based on detailed damage assessments conducted in the aftermath of an emergency or major disaster and on demonstrated operational costs incurred in preparation for and in response to an emergency or major disaster. Damage assessments must provide detailed information on the extent of damage to a public transportation system and the costs of restoring the system to a state of good repair. Project proposals that improve the resiliency of a public transportation systems infrastructure will be evaluated on the basis of proposed costs and benefits based, in part, on the likelihood and extent of potential damage from future events.

20.528 RAIL FIXED GUIDEWAY PUBLIC TRANSPORTATION SYSTEM STATE SAFETY OVERSIGHT FORMULA GRANT PROGRAM

State Safety Oversight Formula Grant Program

FEDERAL AGENCY:

Federal Transit Administration (FTA), Department of Transportation

AUTHORIZATION:

Moving Ahead for Progress in the 21st Century (MAP-21).

OBJECTIVES:

To improve public transportation safety by assisting States with the financing of safety oversight of rail fixed guideway public transportation systems in the jurisdiction of the state not regulated by the Federal Railroad Administration.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

Grant funds may be used by eligible States for State Safety Oversight program operational and administrative expenses, including employee training activities, to develop or carry out these State programs. The funds may only be used to provide grants to States for State safety oversight program expenses. The Federal grants shall be for 80 percent of the reasonable cost to develop or carry out such a program, and the matching fund shall include in-kind contributions by an eligible State. The match may not be met by any Federal funds, any funds received from a public transportation agency or any revenues earned by a public transportation agency. The use of the funds shall be subject to uniform administrative requirements for grants and cooperative agreements to State and local governments under part 18 of title 49, Code of Federal Regulations, as well as other requirements for funds provided under Chapter 53 of title 49, United States Code, except to the extent that the Secretary of Transportation determines that the requirements are inconsistent with the purpose of the grants.

Applicant Eligibility:

Eligible States are those with a rail fixed guideway public transportation system within the jurisdiction of the State that is not subject to regulation by the Federal Railroad Administration; or a rail fixed guideway public transportation system in the engineering or construction phase of development within the jurisdiction of the State that will not be subject to regulation by the Federal Railroad Administration. Subrecipients must be public agencies that are eligible to become Federal Transit Administration recipients.

Beneficiary Eligibility:

Eligible States are those with a rail fixed guideway public transportation system within the jurisdiction of the State that is not subject to regulation by the Federal Railroad Administration; or a rail fixed guideway public transportation system in the engineering or construction phase of development within the jurisdiction of the State that will not be subject to regulation by the Federal Railroad Administration. Subrecipients must be public agencies that are eligible to become Federal Transit Administration recipients.

Credentials/Documentation:

Recipients must complete certifications and assurances as compiled in FTA's

Annual List of Certifications and Assurances. Cost will be in accordance with OMB Circular No. A-87 for State and local governments. Federal Register Notice FTA Fiscal Year Apportionments, Allocations, and Program Information, published annually contain the capital investment apportionments. OMB Circular No. A-87 applies to this program. Grant requirements will also be published in the Federal Register along with the programs Fiscal Year 2013 apportionment, which is expected in July 2013. Apportionment notices and other grant information is available on the FTA website: www.fta.dot.gov/. Subrecipients State Safety Oversight Agencies must be included in the State Safety Oversight Program submission. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

In order to ensure a new State to the program is considered eligible and included in the formula apportionment, new states to this formula program must contact their regional office sixty days in advance of FTAs annual apportionment notice. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Given the applicant and beneficiary eligibility, OMB Circular No. A-102 applies to this program and OMB Circular No. A-110 does not. This program is subject to the provisions of OMB Circular No. A-87 and 49 CFR Part 18 and FTA Circulars 4220 and 5010.

Award Procedure:

An FTA grant award obligating Federal funds is reflected in a grant agreement. Grants are awarded electronically using FTA's Transportation Electronic Award Management (TEAM) system, <http://ftateamweb.fta.dot.gov/fta-flash2b.html>. In order to access this system, a user name and password are needed and can be obtained by contacting the regional offices. Once the funds are reserved in TEAM and the project information has been reviewed and approved by Headquarters, the recipient must execute the grant agreement to access the funds.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Funding is made available annually through the appropriation process. A separate grant application is executed for each fiscal year as funds are appropriated by Congress.

Formula and Matching Requirements:

Statutory Formula: Title USC 49, Part 5329(e)(6). USC 49, Section 5329(e)(6).
CFR Part 659.

Matching Requirements: Percent: 20%. 80% Federal / 20% State. Matching fund shall include in-kind contributions by an eligible State. The match may not be met by any Federal funds, any funds received from a public transportation agency or any revenues earned by a public transportation agency. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Funds are available until spent. See the following for information on how assistance is awarded/released: Applicant is required to submit grant applications in FTAs grant management system, Transportation Electronic Award Management System (TEAM). Awards are made within the grant management system.

Reports:

Annual State Safety Oversight program reports. No cash reports are required. Quarterly Milestone status reports are required. Quarterly Federal Financial Reports (FFR) are required. FTA staff must review and sign off on all quarterly FFRs.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

The grantee must record and maintain all financial transactions for a period of 3 years after the close of a grant. The grantee must maintain an asset inventory for the useful life of capital acquisitions.

Account Identification:

69-1128-0-1-401.

Obligations:

(Salaries) FY 12 \$0; FY 13 est \$21,945,771; and FY 14 est \$22,000,000

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

FTA Circular 5010.1D for Grants Management
FTA Circular 4220.1F for Third-Party Procurements

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Sylvia Marion 1200 New Jersey Avenue, SE, Washington, District of Columbia 20590 Email: sylvia.marion@dot.gov Phone: (202) 366-6680

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Proposed grant activities must be used to develop or carry out State Safety Oversight programs that comply with the statutory requirements and advance a Safety Management Systems approach.

20.600 STATE AND COMMUNITY HIGHWAY SAFETY

FEDERAL AGENCY:

National Highway Traffic Safety Administration (NHTSA), Department of Transportation

AUTHORIZATION:

Moving Ahead for Progress in the 21st Century (P.L. 112-141), Title I- Motor Vehicle and Highway Safety Improvement Act of 2012, Section 31105 , 23 U.S.C 402.

OBJECTIVES:

To provide a coordinated national highway safety program to reduce traffic crashes, deaths, injuries, and property damage.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Formula grant funds may be used for problems identified within the nine national priority program areas of Alcohol and other Drug Countermeasures, Police Traffic Services, Occupant Protection, Traffic Records, Emergency Medical Services, Motorcycle Safety, Pedestrian/Bicycle Safety, Speed Control and Roadway Safety. Other program areas identified by a State as constituting a highway safety problem in that State, e.g., pupil transportation safety programs, may be eligible for Federal funding, as encompassing a major highway safety problem in the State and for which effective countermeasures

have been identified. The law provides that at least 40 percent of these Federal funds apportioned to a State for any fiscal year will be expended by the political subdivisions of such State. The law provides that at least 40 percent of these Federal funds apportioned to a State for any fiscal year will be expended by the political subdivisions of such State.

Applicant Eligibility:

States, federally recognized Indian tribes, the District of Columbia, Puerto Rico, American Samoa, Guam, Northern Marianas, and the Virgin Islands.

Beneficiary Eligibility:

Political subdivisions, through the State Highway Safety Agencies.

Credentials/Documentation:

Performance plan approved by the agency is required and Highway Safety plan, certification statement, and Program Cost Summary. State Plan due date is July 1 for the formula grant program. 2 CFR Part 225 Cost Principles for State, Local, and Indian Tribal Governments. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

NHTSA Regional Administrators and FHWA Division Administrators review each State's Performance Plan and Highway Safety Plan. This program is exempt from the standard application forms required by the Government-wide Common Grant Rule for State, Local, and Indian Tribal Governments. Assistance from NHTSA and FHWA is continuously available to participants in this program. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Submission of Highway Safety Plan covering State and community highway safety activities for the year to NHTSA regional offices.

Award Procedure:

Awards are made by NHTSA Regional Administrators.

Deadlines:

Jul 01, 2013: The Highway Safety Plan is the Application. It is due to the Regional Office by July 1. Highway Safety Plans must be submitted by July 1 each year.

Range of Approval/Disapproval Time:

From 30 to 60 days. N/A.

Appeals:

Appeals of decisions by NHTSA Regional Administrators are to the NHTSA Associate Administrator for Regional Operations and Program Delivery.

Renewals:

Each year, States submit Performance Plans and Highway Safety Plans as part of continuing formula grant program.

Formula and Matching Requirements:

Statutory Formula: Title 49 CFR, Chapter 1240.11, 1240.12, 1240.13.
Matching Requirements: 75 percent apportioned on total resident population; 25 percent apportioned against public road mileage in States. Federal share shall not exceed 80 percent or applicable sliding scale.
This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

Federal share is reimbursed on claims submitted in vouchers covering costs incurred. All participants have converted to the Electronic Transfer of funds method. Funds placed under obligation are available until expended. Method of awarding/releasing assistance: lump sum.

Reports:

States are required to submit annual program reports to the Federal Highway Administration and to the National Highway Traffic Safety Administration. No cash reports are required. Progress reports are not applicable. SF-425,

expenditure reports are done electronically in the NHTSA Grants Tracking System. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records relating to the program shall be retained for a period not less than 3 years from the date of submission of final financial report.

Account Identification:

69-8019-0-7-401; 69-8020-0-7-401.

Obligations:

(Formula Grants (Apportionments)) FY 12 \$235,000,000; FY 13 est \$235,000,000; and FY 14 est \$235,000,000

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Awarded grants to all States, Territories, DC and the BIA to improve traffic safety programs and reduce deaths and injuries on our highways. Fiscal Year 2013: Awarded grants to all States, Territories, DC and the BIA to improve traffic safety programs and reduce deaths and injuries on our highways. Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

23 CFR Chapter II, State and Community Highway Safety Grant Program; Manual on Uniform Traffic Control Devices; Handbook of Highway Safety Design and Operating Practice, available from Government Printing Office, Washington, DC 20402. SAFETEA-LU.

Regional or Local Office:

See Regional Agency Offices. See Appendix IV of the Catalog for a listing of National Highway Traffic Safety Administration regional office addresses.

Headquarters Office:

Mary D. Gunnels 1200 New Jersey Avenue, S.E., NTI-200, Washington, District of Columbia 20590 Phone: (202) 366-2715.

Website Address:

<http://www.nhtsa.whatsapp/fedassist/index.html>.

RELATED PROGRAMS:

20.205 Highway Planning and Construction

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Grant funds were provided to State Offices of Highway Safety who implemented highway safety programs including those to increase safety belt use, reduce impaired driving and increase use of child restraints. Fiscal Year 2013: Funds will be used for programs to increase occupant restraint use including safety belts and child safety seats, decrease the incidence of impaired driving, to develop and improve traffic records systems, to improve EMS programs, to reduce the number of pedestrian and bicycle crashes, to improve motorcycle rider safety and for selective traffic enforcement. Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The Federal/State relationship for the highway safety grant program is a partnership. Each State is given flexibility in the administration of its own highway safety program. Federal funds are obligated in support of a highway safety program based on problems identified by the State. States have latitude in determining projects upon which they spend their Federal monies.

20.601 ALCOHOL IMPAIRED DRIVING COUNTERMEASURES INCENTIVE GRANTS I

FEDERAL AGENCY:

National Highway Traffic Safety Administration (NHTSA), Department of

Transportation

AUTHORIZATION:

Safe, Accountable, Flexible, Efficient, Transportation Equity Act: A Legacy for Users (SAFETEA-LU) of 2004, Section 2007, Public Law 109-59, 23 U.S.C 410.

OBJECTIVES:

To encourage States to adopt effective programs to reduce crashes resulting from persons driving while under the influence of alcohol.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Incentive grant funds are available to States to implement effective programs to reduce drunk and drugged driving, in compliance with established criteria.

Applicant Eligibility:

States, Puerto Rico, the Virgin Islands, Guam, American Samoa, and the Commonwealth of the Northern Mariana Islands. States are provided with two alternative means to qualify for a Section 410 grant. Under the first alternative, States may qualify as a low fatality rate State if they have an alcohol-related fatality rate of 0.5 or less per 100 million vehicle miles traveled (VMT). Under the second alternative, States may qualify as a programmatic State if they demonstrate that they meet three of eight grant criteria for fiscal year 2006, four of eight grant criteria for fiscal year 2007, and five of eight grant criteria for fiscal years 2008, 2009 And for the remainder of the current authorization. Qualifying under both alternatives would not entitle the State to receive additional grant funds. SAFETEA-LU directs that States with low alcohol-related fatality rates, based on the agency's Fatality Analysis Reporting System (FARS), be awarded grants without the need to satisfy any of these programmatic criteria. There is also an additional Section 410 grant available to assist the 10 States with the highest impaired driving related fatalities as determined by the most recent FARS data. A High fatality rate State may also apply as a programmatic State.

Beneficiary Eligibility:

State Highway Safety Agency.

Credentials/Documentation:

State submits certification and application that it meets eligibility requirements; after being informed by the National Highway Traffic Safety Administration (NHTSA) that it is eligible for a grant, the State describes the programs it will implement and fund in the Annual Highway Safety Plan. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. High Fatality Rate States only must submit a plan for grant expenditures with the application.

Award Procedure:

The State submits information that reflects its eligibility for the award based on the criteria for which it is applying. NHTSA staff review the information to determine the eligibility of the State. If the State is deemed eligible to receive an award, it will be notified by the Region and will also receive an official letter of award from the NHTSA Administrator. The release of grant funds shall be subject to the availability of funds for that fiscal year.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 30 to 60 days. Applicant should receive a response, from the agency, between 30 to 60 days.

Appeals:

Not Applicable.

Renewals:

States are eligible for up to 4 years under the 410 program or until the end of the current authorization. Certification and plan must be approved each year.

Formula and Matching Requirements:

Statutory Formula: Title 23 CFR, Chapter 4, Part 1313.

Matching Requirements: The first and second year of the grant the States provide a 25% match. For the third and fourth year the States provide a 50% match and for the fifth, sixth, seventh and eighth year the States provide a 75% match.

This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

Federal share is reimbursed on claims submitted in vouchers covering costs incurred. All participants have converted to the Electronic Transfer of Funds method. Funds placed in obligation are available until expended. Method of awarding/releasing assistance: lump sum.

Reports:

Annual program plan for next year's funding. No cash reports are required. A progress report on the prior year's program and accomplishments is required in the application. for high fatality rate States only. All other States must report in their Annual Highway Safety Report. No expenditure reports are required. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records relating to the program shall be retained for a period not less than 3 years from the date of submission of final financial report.

Account Identification:

69-8020-0-7-401.

Obligations:

(Project Grants) FY 12 \$138,750,000; FY 13 est \$0; and FY 14 est \$0 - FY2012 - \$138,750,000 for grants.

Range and Average of Financial Assistance:

\$972,388 to \$17,973,219.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: States continue to spend grant funds on law enforcement, public information and education and other eligible programs to reduce crashes resulting from persons driving while under the influence of alcohol. Fiscal Year 2013: States continue to spend remaining funds on impaired driving prevention programs. Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

23 CFR Chapter 4 Part 1313.

Regional or Local Office:

See Regional Agency Offices. See Appendix IV of the Catalog for a listing of National Highway Traffic Safety Administration regional office addresses.

Headquarters Office:

Mary D. Gunnels, 1200 New Jersey Avenue, S.E., NTI-200, Washington, District of Columbia 20590 Email: maggi.gunnels@dot.gov Phone: (202) 366-2121.

Website Address:

www.nhtsa.dot.gov

RELATED PROGRAMS:

20.600 State and Community Highway Safety

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: States have used S. 410 funds for ignition interlock programs,

to conduct sobriety checkpoints, and high visibility enforcement of impaired driving laws. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

States must meet a certain number of criteria established by statute and regulation each year including, for example, prompt license suspension for drunk driving, mandatory sentencing for repeat drunk driving offenders and self-sustaining drunk driving prevention programs, improvement in Blood Alcohol Content (BAC) testing rates, an increase in the number of DWI courts and improvements in statewide high visibility enforcement coverage.

20.602 OCCUPANT PROTECTION INCENTIVE GRANTS

FEDERAL AGENCY:

National Highway Traffic Safety Administration (NHTSA), Department of Transportation

AUTHORIZATION:

Safe, Accountable, Flexible, Efficient, Transportation Equity Act: A Legacy for Users (SAFETEA-LU) of 2005, Public Law 109-59, 23 U.S.C 402.

OBJECTIVES:

To encourage States to adopt effective programs to reduce highway deaths and injuries resulting from individuals riding unrestrained or improperly restrained in motor vehicles.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Incentive grant funds are available to States to implement and enforce occupant protection programs, in compliance with established criteria.

Applicant Eligibility:

States, the District of Columbia, Puerto Rico, American Samoa, Guam, Northern Marianas, Virgin Islands, and the Bureau of Indian Affairs.

Beneficiary Eligibility:

State Highway Safety agencies.

Credentials/Documentation:

A State submits certification and application that it meets eligibility requirements; after being informed by NHTSA that it is eligible for a grant, the State submits to the agency a plan that describes the programs the State will implement using the funds. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

NHTSA Regional Administrator coordinates qualification process with the Office of Injury Control Operations and Resources. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Certifications, signed by the Governor's Representative for Highway Safety, are due no later than February 15 of each fiscal year to the appropriate NHTSA Regional Administrator. To apply for grant funds in a fiscal year, a State must submit the certification required by Federal Register, Vol. 7, No. 218, Monday, November 14, 2006, signed by the Governor's Representative for Highway Safety, to the appropriate NHTSA Regional Administrator.

Award Procedure:

A State submits certification that it meets eligibility requirements. After being informed by NHTSA that it is eligible for a grant, the State submits to the agency a plan that describes the programs the State will implement using the funds. Federal share is reimbursed on claims submitted in vouchers covering costs incurred. All participants have converted to the Electronic Transfer of Funds method. Funds placed in obligation are available until expended. NHTSA notifies the Governor in a letter that the State has met the requirements

for a Section 405 grant. The letter also describes the amount of the grant award.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title 23 CFR, Chapter 401, Part 1345.

Matching Requirements: Under the 405 program, basic grant funding is up to 100 percent of their Section 402 apportionment for FY 2003. The Federal share shall not exceed 75 percent in the first and second years, 50 percent in the third and fourth years, and 25 percent in the fifth and subsequent years.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The Federal share is reimbursed on claims submitted in vouchers covering costs incurred. All participants have converted to the electronic transfer of funds method of payment. Funds placed in obligation are available until expended. Method of awarding/releasing assistance: lump sum.

Reports:

Annual program plan for next year's funding must include a progress report on the prior year's program and accomplishments. Cash reports are not applicable. Annual program plan for next year's funding must include a progress report on the prior year's program and accomplishments. SF-425. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. For direct procurement contracts, audits will be conducted in accordance with the Federal Acquisition Regulations.

Records:

Project sponsor shall retain records for 3 years following submission of a final expenditure report and other project deliverables, all project contract documents, financial records, and supporting documents pending resolution of audit findings.

Account Identification:

69-8020-0-7-401.

Obligations:

(Formula Grants) FY 12 \$24,999,999; FY 13 est \$0; and FY 14 est \$0

Range and Average of Financial Assistance:

The range is \$ 74,843 3,109,419.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: S. 405 funds were used for the Click It or Ticket high visibility enforcement mobilization for enforcement of the States seat belt and child passenger safety laws, and public information and education programs regarding the use of safety restraints in vehicles. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Safe, Accountable, Flexible, Efficient, Transportation Equity Act: A Legacy for Users (SAFETEA-LU) of 2005, Part 1345, Section 2004, Public Law 109-59, as amended, 23 U.S.C. 402.

Regional or Local Office:

See Regional Agency Offices. See Appendix IV of the Catalog for the

addresses Regional Offices of the National Highway Traffic Safety Administration.

Headquarters Office:

Maggi D. Gunnels 1200 New Jersey Avenue, S.E., NTI-200, Washington, District of Columbia 20590 Email: Maggi.Gunnels@dot.gov Phone: (202) 366-2121

Website Address:

<http://nhtsa.dot.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Example of projects; Click it or Ticket and education for usage of seat belts and child restraint systems. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

States must meet 4 out of 6 criteria established by statute and regulation including safety belt use law for all passengers, primary safety belt use law, minimum fine or penalty points, statewide special traffic enforcement programs, child passenger protection education program, and child passenger protection law.

20.607 ALCOHOL OPEN CONTAINER REQUIREMENTS

FEDERAL AGENCY:

National Highway Traffic Safety Administration (NHTSA), Department of Transportation

AUTHORIZATION:

Highway Safety Act of 1998, as amended, 23 U.S.C 154.

OBJECTIVES:

To encourage States to enact and enforce an alcohol open container law.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds transferred from NHTSA must be used for alcohol-impaired driving countermeasures or enforcement of driving while intoxicated (DWI), driving under the influence (DUI) and other related laws. A State may elect to use all or part of its transferred funds for activities eligible under Section 154 Hazard Elimination program. Funds transferred from NHTSA must be used for alcohol-impaired driving countermeasures or enforcement of driving while intoxicated (DWI), driving under the influence (DUI) and other related laws. A State may elect to use all or part of its transferred funds for activities eligible under Section 154 Hazard Elimination program.

Applicant Eligibility:

States, the District of Columbia, and Puerto Rico.

Beneficiary Eligibility:

State Highway Safety agencies.

Credentials/Documentation:

If a State has not enacted and is not enforcing an Open Container Law, then a State is subject to the penalty transfer program. After being informed by NHTSA that it is subject to the penalty transfer, the State submits to the agency a split letter advising the agency of the intent to split funds between alcohol programs or hazard elimination programs (FHWA). OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Office of Regional Operations and Program Delivery coordinate the transfer penalty funding process with the Federal Highway Administration. This program is eligible for coverage under

Executive Order 12372, Intergovernmental Review of Federal Programs

Award Procedure:

Once the State provides the agency with a split letter to NHTSA, the State will be given access to the transfer and can obligate the funds.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title 23, Chapter 1270.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The Federal share is reimbursed on claims submitted in vouchers covering costs incurred. All participants have converted to the electronic transfer of funds method of payment. Funds placed in obligation are available until expended.

Method of awarding/releasing assistance: lump sum.

Reports:

No program reports are required. No cash reports are required. The Annual Report required under the Section 402 State and Community Highway Safety formula grant program must include a progress report on the prior year's program and accomplishments. Expenditure reports are not applicable. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

The project sponsor shall retain records for 3 years following submission of a final expenditure report and other project deliverables.

Account Identification:

69-8020-0-7-401.

Obligations:

(Formula Grants (Apportionments)) FY 12 \$114,862,591; FY 13 est \$0; and FY 14 est \$0

Range and Average of Financial Assistance:

Varies.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: States used S. 154 funds for alcohol-impaired driving countermeasures or enforcement of driving while intoxicated (DWI), driving under the influence (DUI) and other related laws. States may elect to use all or part of these transferred funds for activities eligible under the S. 152 Hazard Elimination program. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

23 CFR 1270.

Regional or Local Office:

See Regional Agency Offices. See Appendix IV of the Catalog for the addresses of the Regional Offices of NHTSA.

Headquarters Office:

Mary D. Gunnels, 1200 New Jersey Avenue, S.E., NTI-200, Washington, District of Columbia 20590 Email: maggi.gunnels@dot.gov Phone: (202)

366-2121.

Website Address:

<http://www.nhtsa/whatsup/fedassist/index.html>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: States used S. 154 funds for impaired driving enforcement crackdowns, media campaigns, establishing DWI courts, DWI tracking systems and ignition interlock monitoring, and training for law enforcement. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

20.608 MINIMUM PENALTIES FOR REPEAT OFFENDERS FOR DRIVING WHILE INTOXICATED

FEDERAL AGENCY:

National Highway Traffic Safety Administration (NHTSA), Department of Transportation

AUTHORIZATION:

Highway Safety Act of 1998 as amended, 23 U.S.C 164.

OBJECTIVES:

To encourage States to enact and enforce Repeat Intoxicated Offender laws.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds transferred from NHTSA must be used for alcohol-impaired driving countermeasures or enforcement of driving while intoxicated (DWI), driving under the influence (DUI) and other related laws. A state may elect to use all or part of its transferred funds for activities eligible under Section 152 Hazard Elimination program. Funds transferred from NHTSA must be used for alcohol-impaired driving countermeasures or enforcement of driving while intoxicated (DWI), driving under the influence (DUI) and other related laws.

Applicant Eligibility:

States, the District of Columbia, and Puerto Rico.

Beneficiary Eligibility:

State Highway Safety agencies.

Credentials/Documentation:

If a State has not enacted and is not enforcing a Repeat Intoxicated Driver Law, then a state meets eligibility requirements. After being informed by NHTSA that it is subject to the penalty transfer eligible for a grant, the State submits to the agency a split letter advising the agency of the intent to split funds between alcohol programs or hazard elimination programs (FHWA). OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. NHTSA Office of Regional Operations and Program Delivery coordinated the program with the Federal Highway Administration. The program is eligible for coverage under Executive Order 12372, Intergovernmental Review of Federal Programs.

Award Procedure:

Once the State provides a split letter to NHTSA, the State will be given access to the transfer funds and can obligate the funds.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title 23, Part 164.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The Federal share is reimbursed on claims submitted in vouchers covering costs incurred. All participants have converted to the electronic transfer of funds method of payment. Funds placed in obligation are available until expended.

Method of awarding/releasing assistance: lump sum.

Reports:

Annual report required under the section 402 State and Community Highway Safety formula grant program must include a progress report on the prior year's program and accomplishments. No cash reports are required. No progress reports are required. Expenditure reports are not applicable. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

The project sponsor shall retain records for 3 years following submission of a final expenditure report and other project deliverables.

Account Identification:

69-8020-0-7-401.

Obligations:

(Project Grants) FY 12 \$215,251,001; FY 13 est \$0; and FY 14 est \$0

Range and Average of Financial Assistance:

The grants range from \$2,489,000 - \$54,546,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: In FY2012, continued to fund states for their alcohol-impaired driving countermeasures and/or enforcement programs. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

23 CFR 1270.

Regional or Local Office:

See Regional Agency Offices. See Appendix IV of the Catalog for the addresses of the Regional Offices of NHTSA.

Headquarters Office:

Mary D. Gunnels 1200 New Jersey Avenue, S.E., NTI-200, Washington, District of Columbia 20590 Email: Maggi.gunnels@dot.gov Phone: (202) 366-2121

Website Address:

<http://www.nhtsa/whatsup/fedassist/index.html>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Continue impaired driving prevention, including enforcement, equipment and education and funding of DUI prosecutors. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data

Available

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

20.609 SAFETY BELT PERFORMANCE GRANTS**FEDERAL AGENCY:**

National Highway Traffic Safety Administration (NHTSA), Department of Transportation

AUTHORIZATION:

Safe, Accountable, Flexible, Efficient, Transportation Equity Act: A Legacy for Users (SAFETEA-LU) of 2005, Section 406, 23 U.S.C 408.

OBJECTIVES:

Increase safety belt use by encouraging States to enact and enforce primary safety belt laws. A primary safety belt law permits law enforcement officers to stop and cite motorists for failing to wear safety belts without requiring that some other motor vehicle violation first be observed.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

A state may use grant funds for any safety purpose under Title 23 or for any project that corrects or improves a hazardous roadway location or feature or proactively addresses highway safety problems. However, at least \$1 million of amounts received by States must be obligated for behavioral highway safety activities. At least \$1 million of amounts received by States must be obligated for behavioral highway safety activities.

Applicant Eligibility:

The 50 States, District of Columbia, Puerto Rico, American Samoa, the Commonwealth of the Northern Mariana Islands, Guam and the Virgin Islands are eligible to apply for a grant.

Beneficiary Eligibility:

State Highway Safety Agencies.

Credentials/Documentation:

States that apply as either New Primary Law States or Pre-2003 Primary Law States must submit a certification that their primary safety belt law is in effect and is being enforced, and must also provide a citation where a copy of the law may be obtained for review. States that apply as Safety Belt Performance States must submit the result of a statewide safety belt observational survey for each of the two calendar years preceding the fiscal year of the grant application, along with certifications that the surveys were conducted in conformance with NHTSA's Uniform Criteria for State Observational Surveys of Seat Belt Use (23 CFR Part 1340). OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. A State is eligible for a grant if it did not have a conforming primary safety belt law for all passenger motor vehicles in effect on or before December 31, 2002, and either: Enacts for the first time after December 31, 2002, and has in effect and is enforcing a conforming primary safety belt law for all passenger motor vehicles (States meeting this criterion are called New Primary Law States); or, After December 31, 2005, has a State safety belt use rate of 85 percent or more for each of the 2 consecutive calendar years immediately preceding the fiscal year of the grant (States meeting this criterion are called Safety Belt Performance States). A State that meets either of the above two criteria will receive a one-time grant equal to 475 percent of the State's apportionment under Section 402 for fiscal year 2003. If a State does not meet either of the above two criteria, and if funds remain after grants have been awarded to all States that do meet either of the two criteria by July 1 each year, the State will qualify for a one-time grant equal

to 200 percent of its apportionment under Section 402 for fiscal year 2003 if it has in effect and is enforcing a conforming primary safety belt law for all passenger motor vehicles that was in effect before January 1, 2003 (States in this category are called Pre-2003 Primary Law States). States that are applying as either New Primary Law States or Pre-2003 Primary Law States must submit the required certification and citation (see Credentials / Documentation above) by July 1 of the year of the grant application. States applying as Safety Belt Performance States must submit the survey result and related certification for each of the two consecutive calendar years preceding the year of the grant application by March 1 of the calendar year immediately following the year in which the survey was conducted.

Award Procedure:

NHTSA will review the information contained in each State's application for compliance with Section 406 and notify qualifying States in writing of grant awards.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title 23, Chapter 406.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Federal share is reimbursed on claims submitted in vouchers covering costs incurred. All participants have converted to the Electronic Transfer of Funds method. Funds placed in obligation are available until expended. Method of awarding/releasing assistance: by letter of credit.

Reports:

The Annual Report required under the Section 402 State and Community Highway Safety formula grant program must include a progress report on the prior year's Section 406 program for behavioral highway safety activities. No cash reports are required. The Annual Report required under the Section 402 State and Community Highway Safety formula grant program must include a progress report on the prior year's Section 406 program for behavioral highway safety activities. SF-425. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. For direct procurement contracts, audits will be conducted in accordance with Federal Acquisition Regulations.

Records:

Project sponsor shall retain records for 3 years following submission of a final expenditure report and other project deliverables, all project contract documents, financial records, and supporting documents pending resolution of audit findings.

Account Identification:

69-8020-0-7-401.

Obligations:

(Formula Grants (Apportionments)) FY 12 \$0; FY 13 est \$0; and FY 14 est \$0

Range and Average of Financial Assistance:

The range for these grants were \$1,000,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: In FY2012, the full 406 funds in the amount of (1M) has

been designated to be used for the Child Passenger Safety Program. Funds to sub-grants were awarded by the end of the fiscal year. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Safe, Accountable, Flexible, Efficient, Transportation Equity Act: A Legacy for Users (SAFETEA-LU) of 2005, Public Law 109-59; 23 U.S.C. 408.

Regional or Local Office:

See Regional Agency Offices. See Appendix IV of the Catalog for the addresses of the Regional Offices of the National Highway Traffic Safety Administration.

Headquarters Office:

Maggi Gunnels 1200 New Jersey Avenue, S.E., NTI-200, Washington, District of Columbia 20590 Email: maggi.gunnels@dot.gov Phone: (202) 366-2121.

Website Address:

<http://nhtsa.dot.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: States could use S. 406 funds for any safety purpose under Title 23 or for any project that corrects or improves hazardous roadway or feature of that addresses highway safety problems. However, prior year funds received by States were used for behavioral highway safety activities. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Applications from States attempting to qualify as New Primary Law States or Pre-2003 Primary Law States will be reviewed to determine if the State is enforcing a primary safety belt use law that applies to all passenger motor vehicles. Applications from States attempting to qualify as Safety Belt Performance States will be reviewed to determine if the State' safety belt use rate was at or above 85 percent during each of the two consecutive calendar years immediately preceding the year of the grant application.

20.610 STATE TRAFFIC SAFETY INFORMATION SYSTEM IMPROVEMENT GRANTS

FEDERAL AGENCY:

National Highway Traffic Safety Administration (NHTSA), Department of Transportation

AUTHORIZATION:

Safe, Accountable, Flexible, Efficient, Transportation Equity Act: A Legacy for Users (SAFETEA-LU) of 2005, 23 U.S.C 408.

OBJECTIVES:

Encourage States to adopt and implement effective programs to improve the timeliness, accuracy, completeness, uniformity, integration and accessibility of State data; to evaluate the effectiveness of efforts to make such improvements; to link these State data systems, including traffic records, with other data systems within the State; and to improve the compatibility of the State data systems with national data systems and data systems of other States to enhance the ability to observe and analyze national trends in crash occurrences, rates, outcomes, and circumstances.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

A State may use grant funds only to implement data improvement programs. A State may use grant funds only to implement data improvement programs.

Applicant Eligibility:

To qualify for a first-year grant, a State must demonstrate that it has an established multi-disciplinary highway safety data and traffic records coordinating committee; a developed multi-year safety data and traffic records strategic plan, approved by the coordinating committee and containing performance-based measures; certify that the State has adopted and is using the model data elements determined by the Secretary to be useful, or certify that

grant funds will be used toward adopting and using the most elements practicable. To qualify for a subsequent-year grant, a State must certify that an assessment or audit of the State traffic records system has been conducted or updated within the preceding 5 years; certify that the coordinating committee continues to operate and supports the multi-year plan; specify how the grant funds and any other funds of the State will support the multi-year strategic plan; demonstrate measurable progress toward achieving the goals and objectives identified in the multi-year plan; and submit a report, showing measurable progress in the implementation of the multi-year plan. The 50 States, District of Columbia, Puerto Rico, the Virgin Islands, Guam, American Samoa, the Commonwealth of the Northern Mariana Islands and Indian tribes through the Bureau of Indian Affairs are eligible to apply for a grant.

Beneficiary Eligibility:

State Highway Safety Agencies.

Credentials/Documentation:

A State would submit information unique to each criterion for which it chooses to demonstrate compliance. After being informed by NHTSA that it is eligible for a grant, the state submits to the agency a plan that describes the programs the state will implement using these funds. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. To apply for a first fiscal year grant, a State must submit the certification required by Appendix 1, signed by the Governor's Representative for Safety, to the appropriate NHTSA Administrator no later than June 15 of the fiscal year. To apply for a successive fiscal year grant, a State must submit the certification required by Appendix 2, signed by the Governor's Representative for Highway Safety, to the appropriate NHTSA Administrator no later than June 15 of the fiscal year.

Award Procedure:

NHTSA will review each State's application for compliance with section 408 and notify qualifying States in writing of grant awards. SAFETEA-LU provides that the amount of each first fiscal year grant shall be the higher of \$300,000 or an amount determined by multiplying the amount appropriated to carry out the section 408 Program for that fiscal year by the ratio that the funds appropriated to the State under Section 402 for FY 2003 bears to the funds apportioned to all eligible States under Section 402 for FY 2003. Each State that qualifies for a successive fiscal year shall be eligible to receive the higher of \$500,000 or an amount determined by multiplying the amount appropriated to carry out the Section 408 Program for that fiscal year by the ratio that the funds apportioned to all eligible States under Section 402 for FY 2003.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

States are eligible for this grant for up to four years.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Federal share is reimbursed on claims submitted in vouchers covering costs incurred. All participants have converted to the Electronic Transfer of Funds

method. Funds placed in obligation are available until expended. Method of awarding/releasing assistance: lump sum.

Reports:

No program reports are required. SF-425. The Annual Report required under Section 402 State and Community Highway Safety formula grant program must include a progress report on the prior year's program and accomplishments. SF-425. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. For direct procurement contracts, audits will be conducted in accordance with Federal Acquisition Regulations.

Records:

Project sponsor shall retain records for 3 years following submission of a final expenditure report and other project deliverables, all project contract documents, financial records, and supporting documents pending resolution of audit findings.

Account Identification:

69-0605-0-1-401.

Obligations:

(Project Grants) FY 12 \$32,774,890; FY 13 est \$0; and FY 14 est \$0

Range and Average of Financial Assistance:

The range is \$500.00- \$2,344,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Fifty-four documented instances of the improvement of some attribute of data quality in one or more core traffic records database, collectively across 50 States, DC, Puerto Rico and 2 territories, that received S. 408 funds in FY 2012. Expenditure of \$32,774,890.91 in grant awards. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Safe, Accountable, Flexible, Efficient, Transportation Equity Act: A Legacy for Users (SAFETEA-LU) of 2005, Public Law 109-59; 23 U.S.C. 408.

Regional or Local Office:

See Regional Agency Offices. See Appendix IV of the Catalog for the addresses of the Regional Offices of the National Highway Traffic Safety Administration.

Headquarters Office:

Mary D. Gunnels 1200 New Jersey Avenue, S.E., NTI-200, Washington, District of Columbia 20590 Email: maggi.gunnels@dot.gov Phone: (202) 366-2121.

Website Address:

<http://webster.nhtsa.dot.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Approximately 1,000 projects pursuing improvement of one or more attribute of data quality (accuracy, timeliness, completeness, uniformity, accessibility and integration) in one or more core traffic records database (Crash, Driver, Vehicle, Roadway, Citation / Adjudication and EMS / Injury Surveillance), collectively across 50 States, DC, Puerto Rico and 2 territories were funded with S. 408 grant funds. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

SAFETEA-LU provides that a State may qualify for a grant under the section 408 by demonstrating that it has an established Traffic Records Coordinating Committee (TRCC), a multi-year safety data and traffic records strategic plan approved by the TRCC, certify that the State has adopted and is using the

Model Minimum Uniform Crash Criteria (MMUCC) or certify that it will use grant funds toward adopting and using the most elements practicable. To qualify in subsequent years, a State must certify that an assessment or audit of the State traffic records system has been conducted in the past five years, certify that the TRCC continues to support and operate the multi-year plan and submit a report showing measurable progress in the implementation of the multi-year plan.

20.611 INCENTIVE GRANT PROGRAM TO PROHIBIT RACIAL PROFILING

(Section 1906)

FEDERAL AGENCY:

National Highway Traffic Safety Administration (NHTSA), Department of Transportation

AUTHORIZATION:

Safe, Accountable, Flexible, Efficient, Transportation Equity Act: A Legacy for Users (SAFETEA-LU) of 2005, Section 1906, Public Law 109-59, 23 U.S.C 402.

OBJECTIVES:

Encourage States to enact and enforce laws that prohibit the use of racial profiling in the enforcement of traffic laws on Federal-aid highways, and to maintain and allow public inspection of statistics on motor vehicle stops.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

Incentive grant funds are available to States to enact and enforce laws to prohibit racial profiling, in compliance with the following established criteria. Law States may use Section 1906 grant funds for: collecting and maintaining data on traffic stops; evaluating the results of such data; and developing and implementing programs to reduce the occurrence of racial profiling, including programs to train law enforcement officers. Assurances States may use Section 1906 grant funds for: funding activities to prohibit racial profiling in the enforcement of State laws regulating the use of Federal-aid highways; collecting, maintaining and providing public access to traffic stop data; evaluating the results of such data; and developing and implementing programs to reduce the occurrence of racial profiling, including programs to train law enforcement officers. A State may not receive a grant for more than two fiscal years if it is qualifying for the grant as an Assurances State. A State may not receive a grant for more than two fiscal years if it is qualifying for the grant as an Assurances State.

Applicant Eligibility:

This Grant is available to the 50 states, the District of Columbia, Puerto Rico, the Virgin Islands, Guam American Samoa, and the Commonwealth of the Northern Mariana Islands.

Beneficiary Eligibility:

State Highway Safety agencies.

Credentials/Documentation:

A State submits certification that it meets eligibility requirements; after being informed by NHTSA that it is eligible for a grant, the State submits to the agency a plan that describes the programs the State will implement using the funds. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. All certifications must be submitted by July 1 for each fiscal year. To apply for grant funds in a fiscal year, a Law State must submit the certification required by Federal Register, Vol. 71, No. 22, Thursday, February 2, 2006, Appendix 1 and an Assurances

State must submit the certification required by Federal Register, Vol. 71, No. 22, Thursday, February 2, 2006, Appendix 2, signed by the Governor's Representative for Highway Safety, to the appropriate NHTSA Regional Administrator.

Award Procedure:

A State submits certification that it meets eligibility requirements; after being informed by NHTSA that it is eligible for a grant, the State submits to the agency a plan that describes the programs the State will implement using the funds. Federal share is reimbursed on claims submitted in vouchers covering costs incurred. All participants have converted to the Electronic Transfer of Funds method. Funds placed in obligation are available until expended.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Certifications must be submitted each year; however, a State may not receive a grant for more than two fiscal years if it is qualifying for the grant as an Assurances State.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Federal share is reimbursed on claims submitted in vouchers covering costs incurred. All participants have converted to the Electronic Transfer of Funds method. Funds placed in obligation are available until expended. Method of awarding/releasing assistance: lump sum.

Reports:

No program reports are required. No cash reports are required. The Annual Report required under the Section 402 State and Community Highway Safety formula grant program must include a progress report on the prior year's program and accomplishments. No expenditure reports are required. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. For direct procurement contracts, audits will be conducted in accordance with the Federal Acquisition Regulations.

Records:

Project sponsor shall retain records for 3 years following submission of a final expenditure report and other project deliverables, all project contract documents, financial records, and supporting documents pending resolution of audit findings.

Account Identification:

69-8020-0-7-401.

Obligations:

(Formula Grants) FY 12 \$0; FY 13 est \$0; and FY 14 est \$0

Range and Average of Financial Assistance:

Grant award ranges from \$885,460- \$454,170. Average grant is for \$668,544.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Safe, Accountable, Flexible, Efficient, Transportation Equity Act: A Legacy for

Users (SAFETEA-LU) of 2005, Section 1906, Pub. L.109-59, as amended, 23 U.S.C. 402. Federal Register, Vol. 71, No. 22, Thursday, February 2, 2006.

Regional or Local Office:

See Regional Agency Offices. See Appendix IV of the Catalog for the addresses of the Regional Offices of the National Highway Traffic Safety Administration.

Headquarters Office:

Mary D. Gunnels 1200 New Jersey Avenue, S.E., NTI-200, Washington, District of Columbia 20590 Email: maggi.gunnels@dot.gov Phone: (202) 366-2121.

Website Address:

<http://www.nhtsa.dot.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

SAFETEA-LU provides that a State may qualify for a grant under the Section 1906 Program in one of two ways: (a) by enacting and enforcing a law that prohibits the use of racial profiling in the enforcement of State laws regulating the use of Federal-aid highways and maintaining and allowing public inspection of statistical information on the race and ethnicity of the driver and any passengers for each such motor vehicle stop made by a law enforcement officer on a Federal-aid highway (a Law State); or (b) by providing satisfactory assurances to the Secretary that the State is undertaking activities to prohibit racial profiling and to maintain and provide public access to data on the race and ethnicity of the driver and passengers for each motor vehicle stop made by a law enforcement officer on a Federal-aid highway (an Assurances State).

20.612 INCENTIVE GRANT PROGRAM TO INCREASE MOTORCYCLIST SAFETY

(Section 2010)

FEDERAL AGENCY:

National Highway Traffic Safety Administration (NHTSA), Department of Transportation

AUTHORIZATION:

Safe, Accountable, Flexible, Efficient, Transportation Equity Act: A Legacy for Users (SAFETEA-LU) of 2005, Section 2010, Public Law 109-59.

OBJECTIVES:

Encourage States to adopt and implement effective programs to reduce the number of single and multi-vehicle crashes involving motorcyclists.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

As specified in SAFETEA-LU, a State may use Section 2010 grant funds only for motorcyclist safety training and motorcyclist awareness programs, including: (1) Improvements to motorcyclist safety training curricula; (2) Improvements in program delivery of motorcycle training to both urban and rural areas (including procurement or repair of practice motorcycles; instructional materials; mobile training units; and leasing or purchasing facilities for closed-course motorcycle skill training); (3) Measures designed to increase the recruitment or retention of motorcyclist safety training instructors; and (4) Public awareness, public service announcements, and other outreach programs to enhance driver awareness of motorcyclists. A State may use Section 2010 grant funds only for motorcyclist safety training and motorcyclist awareness programs, including: (1) Improvements to motorcyclist safety training curricula; (2) Improvements in program delivery of motorcycle training to both urban and rural areas (including procurement or repair of practice motorcycles; instructional materials; mobile training units; and leasing or purchasing facilities for closed-course motorcycle skill training); (3) Measures designed to increase the recruitment or retention of motorcyclist safety training instructors; and (4) Public awareness, public service announcements, and other outreach programs to enhance driver awareness of motorcyclists.

Applicant Eligibility:

This Grant is available to the 50 states, the District of Columbia, and Puerto Rico.

Beneficiary Eligibility:

State Highway Safety Agencies.

Credentials/Documentation:

Eligibility for the Section 2010 grants is based on 6 specified grant criteria. To qualify for a Section 2010 grant for the first fiscal year the State seeks to qualify, it must demonstrate compliance with at least 1 of the 6 grant criteria. To qualify for a Section 2010 grant for the second and subsequent fiscal years that it seeks to qualify, a State must demonstrate compliance with at least 2 of the 6 grant criteria. A State would submit information unique to each criterion for which it chooses to demonstrate compliance. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. To apply for grant funds in a fiscal year, a State must submit a completed application to the appropriate NHTSA Regional Administrator by the due date. NHTSA will review each State's application for compliance with Section 2010 and notify qualifying States in writing of grant awards. Upon initial review of the application, the proposed procedures would allow NHTSA to request additional information from the State prior to making a determination of award in order to clarify compliance with the statutory criteria and grant application procedures. SAFETEA-LU specifies that the amount of a grant made to a State for a fiscal year under this grant program may not be less than \$100,000 and may not exceed 25 percent of the amount apportioned to the State for fiscal year 2003 under section 402 of title 23, United States Code. However, the release of the full grant amounts under Section 2010 is subject to the availability of funding for each fiscal year.

Award Procedure:

NHTSA will review each State's application for compliance with Section 2010 and notify qualifying States in writing of grant awards. Once the State is notified, it will submit to the agency a plan that describes the programs the State will implement using the funds. Federal share is reimbursed on claims submitted in vouchers covering costs incurred. All participants have converted to the Electronic Transfer of Funds method. Funds placed in obligation are available until expended.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not applicable.

Appeals:

Not Applicable.

Renewals:

Certifications must be submitted each year showing that changes have not been made to any information related to the criterion or criteria previously satisfied. A State may certify each subsequent year of the grant program.

Formula and Matching Requirements:

Statutory Formula: Title 23, Chapter 402.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Federal share is reimbursed on claims submitted in vouchers covering costs incurred. All participants have converted to the Electronic Transfer of Funds method. Funds placed in obligation are available until expended. Method of

awarding/releasing assistance: lump sum.

Reports:

No program reports are required. SF-425. No progress reports are required. Expenditure reports are entered directly into the ROPD Grants Management System. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. For direct procurement contracts, audits will be conducted in accordance with the Federal Acquisition Regulations.

Records:

Project sponsor shall retain records for 3 years following submission of a final expenditure report and other project deliverables, all project contract documents, financial records, and supporting documents pending resolution of audit findings.

Account Identification:

69-8020-0-7-401.

Obligations:

(Formula Grants) FY 12 \$6,999,999; FY 13 est \$3,975,000; and FY 14 est \$0

Range and Average of Financial Assistance:

The range for these grants are \$100,000 - \$482,959.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: 48 States received S. 2010 grants in FY 2012 totaling \$6,999,999.20. States continue to spend S. 2010 grant funds on motorcyclist safety training and awareness programs, as well as the recruitment and retention of motorcyclist safety training instructors and public awareness campaigns and outreach programs. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Safe, Accountable, Flexible, Efficient, Transportation Equity Act: A Legacy for Users (SAFETEA-LU) of 2005, Section 2010, Public Law 109-59. Regulations are forthcoming and will be published in the 23 CFR Part 1350.

Regional or Local Office:

See Regional Agency Offices. See Appendix IV of the Catalog for the addresses of the Regional Offices of the National Highway Traffic Safety Administration.

Headquarters Office:

Maggi Gunnels, 1200 New Jersey Avenue, S.E., NTI-200, Washington, District of Columbia 20590 Email: maggi.gunnels@dot.gov Phone: (202) 366-2121.

Website Address:

<http://www.nhtsa.dot.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: States utilized grant funds on motorcyclist safety training and awareness programs, as well as the recruitment and retention of motorcyclist safety training instructors and public awareness campaigns and outreach programs. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

SAFETEA-LU provides that a State may qualify for a grant under the Section 2010 by satisfying one or more of six criteria. States must satisfy one criterion in the first year and two criteria in the subsequent years. In sum, the criteria are: (1) offering effective motorcycle rider training courses, (2) developing an effective motorcyclists awareness program, (3) showing a reduction in of fatalities and crashes involving motorcycles, (4) implementing an impaired driving program, (5) showing a reduction of fatalities and accidents involving

impaired motorcyclists, and/or (6) showing that all fees collected from motorcyclists are used for motorcyclists safety training and motorcycle awareness. A State must submit documents unique to each criterion the State wishes to qualify showing how the State demonstrates compliance with the criterion.

20.613 CHILD SAFETY AND CHILD BOOSTER SEATS INCENTIVE GRANTS

(Section 2011)

FEDERAL AGENCY:

National Highway Traffic Safety Administration (NHTSA), Department of Transportation

AUTHORIZATION:

Safe, Accountable, Flexible, Efficient, Transportation Equity Act: A Legacy for Users (SAFETEA-LU) of 2005, Section 2011, Public Law 109-59, 23 U.S.C 402.

OBJECTIVES:

To encourage States to enact and enforce a child restraint law that requires children up to 65 pounds and under 8 years of age to be properly restrained in a child restraint, unless they are 4' 9" tall.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

No more than 50 percent of the grant a State receives in a fiscal year shall be used to fund programs for purchasing and distributing child safety seats and restraints to low income families. The remaining amounts can be used to carry out child safety seat and child restraint program, including the following: enforcement of child restraint laws; training child passenger safety professionals, police officers, fire and emergency medical personnel, educators and parents concerning child safety seats and child restraints; and educating the public concerning the proper use and installation of child safety seats and child restraints. No more than 50 percent of the grant a State receives in a fiscal year shall be used to fund programs for purchasing and distributing child safety seats and restraints to low income families.

Applicant Eligibility:

The grant program is available to the 50 States, the District of Columbia and Puerto Rico.

Beneficiary Eligibility:

State Highway Safety Agencies.

Credentials/Documentation:

States submit certifications that it meets eligibility requirements no later than July 1 of each fiscal year. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Certifications, signed by the Governor's Representative for Highway Safety, are due no later than July 1 of each fiscal year to the appropriate NHTSA Regional Administrator. To apply for grant funds in a fiscal year, a New or Changed Law State must submit the certification required by Federal Register, Vol. 71, No. 20, Tuesday, January 31, 2006, Appendix 1 and an Unchanged Law State must submit the certification required by Federal Register, Vol. 71, No. 20, Tuesday, January 31, 2006, Appendix 2, signed by the Governor's Representative for Highway Safety, to the appropriate NHTSA Regional Administrator.

Award Procedure:

A State submits certification that it meets eligibility requirements; after being informed by NHTSA that it is eligible for a grant, the State submits to the

agency a plan that describes the programs the State will implement using the funds. Federal share is reimbursed on claims submitted in vouchers covering costs incurred. All participants have converted to the Electronic Transfer of Funds method. Funds placed in obligation are available until expended. NHTSA notifies the Governor in a letter that the State has met the requirements for a Section 2011 grant. The letter also describes the amount of the grant award.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not applicable.

Appeals:

Not Applicable.

Renewals:

Certifications must be submitted each year no later than July 1 of that fiscal year.

Formula and Matching Requirements:

Statutory Formula: Title 23, Chapter 402.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Federal share is reimbursed on claims submitted in vouchers covering the eligible costs incurred. All participants have converted to the Electronic Transfer of Funds method. Method of awarding/releasing assistance: by letter of credit.

Reports:

No program reports are required. Grants Tracking System. The Annual Evaluation Report required under the Section 402 State and Ccommunity Highway Safety formula grant program must include a progress report on the prior year's program and accomplishments of the Section 2011 grant program. Grants Tracking System. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. For direct procurement contracts, audits will be conducted in accordance with the Federal Acquisition Regulations.

Records:

Project sponsor shall retain records for 3 years, following submission of a final expenditure report and other project deliverables, all product contract documents, financial records, and supporting documents pending resolution of audit findings.

Account Identification:

69-8020-0-7-401.

Obligations:

(Project Grants) FY 12 \$6,999,999; FY 13 est \$0; and FY 14 est \$0

Range and Average of Financial Assistance:

The range is normally \$100,000 - \$700,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: 28 States and DC used these funds to enforce child restraint laws, training of child passenger safety professionals, police officers, first responders and educators and parents concerning child safety seats and child restraints; and the purchase of child restraint systems for low-income families. Fiscal Year 2013: States continue to spend remaining funds on enforcement of child restraint laws, training of child passenger safety professionals and the purchase of child restraint systems for low-income families. Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Safe, Accountable, Flexible, Efficient, Transportation Equity Act: A Legacy for Users (SAFETEA-LU) of 2005, Section 2011, Public Law 109-59, as amended, 23 U.S.C. 402. Federal Register, Vol. 71, No. 20, Tuesday, January 31, 2006.

Regional or Local Office:

See Regional Agency Offices. See Appendix IV of the Catalog for the addresses of the Regional Offices of the National Highway Traffic Safety Administration.

Headquarters Office:

Mary D. Gunnels, 1200 New Jersey Avenue, S.E., NTI-200, Washington, District of Columbia 20590 Email: maggi.gunnels@dot.gov Phone: (202) 366-2121.

Website Address:

<http://nhtsa.dot.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: States spent S. 2011 funds for the purchase of child restraint systems for low income families, training and retraining of child passenger safety professionals, first responders and educators and parents on the proper use and installation of child restraints. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

A certification from the Governor's Representative that the State is enforcing a child restraint law that conforms to the criteria established in Section 2011.

20.614 NATIONAL HIGHWAY TRAFFIC SAFETY ADMINISTRATION (NHTSA) DISCRETIONARY SAFETY GRANTS

Highway Safety Research and Development

FEDERAL AGENCY:

National Highway Traffic Safety Administration (NHTSA), Department of Transportation

AUTHORIZATION:

Highway Safety Act of 1966, as amended, and Public law 109-59 the Safe, Accountable, Flexible, Efficient Transportation Equity Act: A Legacy for Users (SAFETEA-LU) , Public Law 109-59, 23 U.S.C 403.

OBJECTIVES:

(1) Conduct research on all phases of highway safety and traffic conditions, including accident causation, highway or driver characteristics, communications , and emergency care; (2) Conduct ongoing research into driver behavior and its effect on traffic safety; (3) Conduct research on, launch initiatives to counter, and conduct demonstration projects on fatigued driving by drivers of motor vehicles and distracted driving in such vehicles, including the effect of electronic devices and other factors have on driving; (4) Conduct training or education programs in cooperation with other federal departments and agencies, States, private sector persons, highway safety personnel, and law enforcement personnel; (5) Conduct research on, and evaluate the effectiveness of, traffic safety countermeasures, including seat belts and impaired driving initiatives; (6) Conduct research on, evaluate, and develop best practices related to driver education programs (including driver education curricula, instructor training and certification, program administration and delivery mechanisms) and make recommendations for harmonizing driver education and multistage graduated licensing systems; (7) Conduct research, training, and education programs related to older drivers; (8) Conduct demonstration projects; and (9) Conduct research, training, and programs relating to motorcycle safety, including impaired operating and conduct safety research into drugs and driving behavior.

TYPES OF ASSISTANCE:

Cooperative Agreements; Project Grants

USES AND USE RESTRICTIONS:

The grants and cooperative agreements can only be used for those projects as authorized under Section 403 of Public law 109-59. The grants and cooperative agreements can only be used for those projects as authorized under Section 403 of Public law 109-59.

Applicant Eligibility:

Grantee application eligibility will be developed on an on individual grant or cooperative agreement basis by the NHTSA Purchase Team.

Beneficiary Eligibility:

Public Non-Profit Institutions/Organizations; Sponsored Organizations; State; Local; Other Public Institutions; Federal Recognized Indian Tribal Government; U.S. Territory or Possession; Private Non-Profit Institution/Organizations; Quasi-Public Non-Profit Institutions/Organizations; Native American Organizations.

Credentials/Documentation:

Proposals with statement of work and estimated budget. Cost will be determined in accordance with OMB Circular Nos. A-87 for State and Local governments, A-21 for Educational Institutions, A-122 for Non-Profit and For-Profit Organizations. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Grant and cooperative agreement application submissions shall be submitted to the grantee via grants.gov. Grantees will be required to complete the suite of SF 424 forms and the appropriate representations and certifications.

Award Procedure:

The application package shall be returned via grants.gov. Award will be made by NHTSA Office of Acquisition Management personnel.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

None. The deadlines shall be established in each grant or cooperative agreement application package.

Appeals:

Not Applicable.

Renewals:

Renewals and/or extension may be available. Requests must be submitted to the NHTSA Office of Acquisition Management for approval.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The length is specific to each grant or cooperative agreement. The funds can be released in a lump sum, but normally the grantees are reimbursed for funds expended. See the following for information on how assistance is awarded/released: Cost Reimbursement.

Reports:

Quarterly progress reports are required. Quarterly progress and expenditures reports are required. The final report is due at the end of the grant or cooperative agreement. Quarterly progress and expenditures reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. For direct procurement contracts, audits will be conducted in accordance with federal Acquisition Regulations.

Records:

Records are due in accordance with the terms and conditions of each grants or cooperative agreement. Records will be retained in accordance with provisions of OMB Circular No. A-110 for Institutions of Higher Education, Other Nonprofit Organizations, and Commercial Organizations and in accordance with 15 CFR Part 24 for State and Local Governments.

Account Identification:

69-0650-0-1-401.

Obligations:

(Project Grants) FY 12 \$6,999,999; FY 13 est \$0; and FY 14 est \$0

Range and Average of Financial Assistance:

The range for these grants are \$100,000 - \$482,959.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: 48 States received S. 2010 grants in FY 2012 totaling \$6,999,999.85. States continue to spend S. 2010 grant funds on motorcyclist safety training and awareness programs, as well as the recruitment and retention of motorcyclist safety training instructors and public awareness campaigns and outreach programs. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Highway Safety Act of 1966, as amended (23 U.S.C. Chapter 4) or Section 403 of Public Law 109-593.

Regional or Local Office:

None. NHTSA Office of Acquisition Management.

Headquarters Office:

Lloyd S. Blackwell, 1200 New Jersey Avenue, S.E., NTI-140, Washington, District of Columbia 20590 Phone: (202) 366-3485.

Website Address:

lloyd.blackwell@dot.gov.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: States continue to spend S. 2010 grant funds on motorcyclist safety training and awareness programs, as well as the recruitment and retention of motorcyclist safety training instructors and public awareness campaigns and outreach programs. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Grantee criteria for selecting proposals will be developed on an on individual grant or cooperative agreement basis by the NHTSA Purchase Team.

20.616 NATIONAL PRIORITY SAFETY PROGRAMS**FEDERAL AGENCY:**

National Highway Traffic Safety Administration (NHTSA), Department of Transportation

AUTHORIZATION:

Moving Ahead for Progress in the 21st Century (P.L. 112-141), Title I- Motor Vehicle and Highway Safety Improvement Act of 2012, Section 31105, Public Law 112-141.

OBJECTIVES:

To encourage States to address national priorities for reducing highway deaths and injuries through occupant protection programs, state traffic safety information system improvements, impaired driving countermeasures, passage of effective laws to reduce distracted driving, implementation of motorcyclist safety programs, and the implementation of graduated driving licensing laws.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

Funding can be used to address national highway safety priority programs to reduce the number of highway deaths and injuries. Yes- Funds are available only to States, DC, Territories and the BIA and must be used as defined in the MAP-21 legislation and implementing regulation.

Applicant Eligibility:

With the exception of the Motorcyclist Safety Program, the 50 States, District of Columbia, Puerto Rico, U.S. territories (American Samoa, Guam, Northern Marianas, and Virgin Islands) are eligible for funding. Under the Motorcyclist Safety Program, the 50 States, District of Columbia, and Puerto Rico are eligible for grant awards.

Beneficiary Eligibility:

Funding is provided to State Highway Safety agencies.

Credentials/Documentation:

A State submits certification and application materials that meet eligibility requirements. After being informed by NHTSA that it is eligible for a grant, the State submits to the agency a plan that describes the programs the State will implement using the funds. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Application materials and applicable certifications, signed by the Governors Representative for Highway Safety, are due to NHTSA on July 1 of each year.

Award Procedure:

Applications are reviewed by subject matter experts to determine if the State meets the specified eligibility requirements. After being informed that the State has been approved for grant award, the State submits to the agency a plan that describes the programs the State will implement using the funds. NHTSA notifies the Governor in a letter that the State has met the requirements for a Section 405 grant award. The letter also describes the amount of the grant award. Federal share is reimbursed on claims submitted in vouchers covering costs incurred. All participants have converted to the Electronic Transfer of Funds method.

Deadlines:

Jul 01, 2013 July 1 of each year.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Not Applicable.

Renewals:

MAP-21 authorizes the National Priority Safety Programs for FY 2013 and FY 2014.

Formula and Matching Requirements:

Statutory Formula: Title 23, Chapter 4, Part 405.

Matching Requirements: Percent: 80%. For all program under Section 405, the Federal share of the costs of the activities funded using amounts from grants awarded under this subsection may not exceed 80 percent for each fiscal year for which a State receives a grant.

This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

The Federal share is reimbursed on claims submitted in vouchers covering costs incurred; all participants have converted to the electronic transfer of funds method of payment. Funds placed in obligation are available until expended. Method of awarding/releasing assistance: lump sum.

Reports:

Funding recipients are required to provide an annual program report detailing program activities and accomplishments. Cash reports are not applicable. Funding recipients are required to provide an annual program report detailing program activities and accomplishments. Voucher and back up documentation as defined in NHTSAs implementing regulation. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Project sponsor shall retain records for 3 years following submission of a final expenditure report and other project deliverables, all project contract documents, financial records, and supporting documents pending resolution of audit findings.

Account Identification:

69-8020-0-7-401.

Obligations:

(Formula Grants) FY 12 Not Available; FY 13 est \$265,000,000; and FY 14 est \$272,000,000 - Actual FY 2012- not applicable
Budget- FY 2013 -- \$265,000,000
Fiscal- FY 2014 - \$272,000,000.

Range and Average of Financial Assistance:

\$33,125 - \$8,046,147.33.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Not applicable, this CFDA # was published in FY2013.
Fiscal Year 2013: Grant awards are in progress to States, Territories (certain programs) and the District of Columbia., Fiscal Year 2014: Grants will be awarded to States, Territories(certain programs) and the District of Columbia.

REGULATIONS, GUIDELINES, AND LITERATURE:

Moving Ahead for Progress in the 21st Century (P.L. 112-141), Title I- Motor Vehicle and Highway Safety Improvement Act of 2012., Section 31105; to be codified in 23 USC, chapter 4, section 405.

Regional or Local Office:

See Regional Agency Offices. See Appendix IV of the Catalog for addresses of Regional Offices of the National Highway Safety Administration.

Headquarters Office:

Mary D. Gunnels 1200 New Jersey Avenue, S.E., NTI-200, Washington, District of Columbia 20590 Email: maggi.gunnels@dot.gov Phone: (202) 366-2121.

Website Address:

<http://nhtsa.dot.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Not applicable. Fiscal Year 2013: Projects to be determined in FY 2013. Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Criteria outlined in statute and regulation and vary for each program.

- (a) Occupant Protection: meet requirements for a high or low seat belt use categories.
- (b) State Traffic Safety Information System Improvements: have traffic records coordinating committee that meet 3 times a year; designated TRCC coordinator; an approved State traffic records strategic plan; certify that assessment was done in preceding 5 years; and demonstrate quantitative measureable progress to program attribute of accuracy, completeness, timeliness, uniformity, accessibility or integration.
- (c) Impaired Driving Countermeasures: meet requirements for low-range state, mid-range state, or high-range state; State can also receive funding for adoption and enforcement a mandatory alcohol-ignition interlock law.
- (d) Distracted Driving Grant: enacts and enforces a law that prohibits texting while driving or prohibits youth cell phone use while driving.
- (e) Motorcyclist Safety: meet 2 of the following criteriamotorcycle rider training course, motorcyclist awareness program, reduction of fatalities and crashes involving motorcycles, impaired driving program, reduction of

fatalities and accidents involving impaired motorcyclists, and fees collected from motorcyclists for motorcycle programs

(f) State graduated driver licensing incentive grant: State has a graduated driver licensing law that requires novice drivers younger than 21 years of age to comply with the 2-stage licensing process.

20.700 PIPELINE SAFETY PROGRAM STATE BASE GRANT

FEDERAL AGENCY:

Pipeline and Hazardous Materials Safety Administration, Department of Transportation

AUTHORIZATION:

Natural Gas Pipeline Safety Act of 1968; Pipeline Safety Act of 1979; Revision of Title 49, US Code, Annotated, Transportation, Public Law 103-272.

OBJECTIVES:

To develop, support and maintain State gas and hazardous liquid pipeline safety programs.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

The Federal Pipeline Safety Law authorizes Federal reimbursement of up to 80 percent of a State's expenditure during the year for personnel, equipment, and activities reasonably required by the State agency for the conduct of its pipeline safety program. The Law further stipulates the State expenditures in any given year, without Federal assistance, cannot be less than the average amount expended by the State for gas and hazardous liquid safety programs for the 3 fiscal years prior to the fiscal year in which the Secretary makes the payment, except when the Secretary waives this requirement. The State agency must also agree to provide the remaining cost of the safety program(s). Within these limitations, the available funds are allocated by a method designed to reflect the degree to which a State has met certain established goals. The allocation is determined by assigning point values to the States for having achieved certain levels of program participation, dividing this point score by the sum of the States' point scores, and multiplying this ratio by the funding amount available for the distribution. PHMSA distributes remaining funds according to certain criteria designed to seek improvements in State programs. The criteria used by PHMSA is the extent of intrastate jurisdiction, inspector qualifications, recommended number of inspection person-days, State adoption of applicable Federal regulations, and other relevant criteria. In 2012, the Federal allocations represented 77.43 percent of the total estimated State requests in the natural gas program (range: 67.80 to 80.00 percentage of request). The Federal allocation in the hazardous liquid program represented 77.97 percent of the total estimated State requests (range: 73.20 to 80.00 percent). Funds must be dedicated to the Certified Pipeline Safety Program and are based on the State performance scores.

Applicant Eligibility:

State must have a 60105 Certification or 60106 Agreement with PHMSA. The Department provides Federal funds, up to 80 percent of the State's total program costs to any State agency with a certificate under Section 60105 of Title 49, United States Code, an agreement under Section 60106 of Title 49, United States Code, or to any State acting as a DOT agent on interstate pipelines. State must agree that the aggregate expenditures of State funds, exclusive of Federal funds, on the pipeline program for the calendar year to which the Federal funds apply are/not less than the average level of such expenditures for the previous 3 years.

Beneficiary Eligibility:

State, District of Columbia, and Puerto Rico.

Credentials/Documentation:

Appropriate State legal official must approve legality of agency application and agreement. Costs will be determined in accordance with OMB Circular No. A-87 Cost Principles for State, Local and Indian Tribal Governments, and "Procedural Guide for the Pipeline Safety Grant Program." Application (plan) due date is September 30. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is

not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Each State submits with its annual application a summary of estimated program costs by calendar year covering the State gas and/or hazardous liquid pipeline safety activities to PHMSA. The State Certifies it is complying with the requirements of the Certification and/or Agreements under 49 USC Sections 60105 and 60106. The estimated budgets and Certification/Agreements are reviewed by State Program Staff for reasonableness and completeness. Applications are due by September 30th of each year. All State Programs Certifying to PHMSA they are meeting the program requirements applications are accepted. The Certification and/or Agreement requirements are specified in 49 USC Sections 60105(b) and 60106(a). If a States Certification or Agreement is not accepted the State is notified in writing of the reason for rejection.

Award Procedure:

Awards are made by the Secretary of Transportation by approving the grant award amounts. PHMSA makes the Grant Application available to each state agency in August of each year. The deadline for filing this application is September 30th. (Note: This deadline is established in 49 USC 60107). Grant Applications are submitted on-line through the Federal State Tracking and Reporting FedSTAR database. Grant Applications are reviewed by PHMSA State Program staff to assure the costs submitted are necessary and reasonable expenses for the proper and efficient administration of the pipeline safety program. The appropriated grant funding, contingent on an enacted budget, is allocated (awarded) to individual State Pipeline Safety Programs in May based on each States most recent Program Evaluation and Progress Report scores and the grant allocation formula. Payment Agreements are sent to the individual state agencies in May notifying them of the amount of the State Base Grant available to them to pay the individual states cost for the calendar year. States may request reimbursement of their program costs based on actual expenses "to date" in June (Mid-year Payment). In January of each year, PHMSA makes available through FedSTAR the OMB Standard Form 270 - Request for Advance or Reimbursement to each State agency for submission of their total pipeline safety program costs for the previous calendar year. Requests for reimbursement (End of Year Payments) submitted by each State agency are reviewed and approved by PHMSA before being forwarded to the DOT accounting office for payment. Grant funds will be electronically deposited into a bank account established for receipt of Federal funds.

Deadlines:

Aug 15, 2013 to Sep 30, 2013 Applications are due by September 30 for the next calendar year.

Range of Approval/Disapproval Time:

From 60 to 90 days. From 60 to 90 days from date of receipt.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title 49 C.F.R., Part 198, Subpart 13.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Expenditures made by a State during the calendar year are eligible for Federal reimbursement. States receiving Federal assistance submit claims for reimbursement in February for the Federal share of the cost of actual expenditures between January 1 and December 31 of the year. See the following for information on how assistance is awarded/released: Electronic Funds Transfer.

Reports:

No program reports are required. SF-270. End of Year Payment Report. List State Actual Costs and reasons for deviation from estimated program costs. SF-270. Program Evaluation and Progress Report.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

All records relating to the program shall be retained for a period of not less than 3 years from the date of submission of the End of Year Payment Report.

Account Identification:

69-5172-0-2-407.

Obligations:

(Formula Grants (Health Incentive Grants)) FY 12 \$33,633,098; FY 13 est \$36,087,765; and FY 14 est \$57,000,000

Range and Average of Financial Assistance:

The range of grants awarded \$5,495 - \$3,595,028. Average -- \$692,047.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: PHMSA received forty-nine applications from the State agencies for fiscal year 2012 Natural Gas and Hazardous Liquid Safety Programs. Fiscal Year 2013: PHMSA received forty-nine applications from the State agencies for fiscal year 2013 Natural Gas and Hazardous Liquid Safety Programs. Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Pipeline Safety Regulations, 49 CFR 190, 191, 192, 193, 195, 198 and 199. Individual copies available from the Transportation Safety Institute, Pipeline Safety Program, Oklahoma City, Oklahoma 73125. "Procedural Guide for the Pipeline Safety Grant Program." "Guidelines for States Participating in the Pipeline Safety Program." "Guidance Manual for Operators of Small Gas Systems."

Regional or Local Office:

None.

Headquarters Office:

Zach Barrett, 1200 New Jersey Avenue, S.E, Washington, District of Columbia 20590 Phone: (405) 834-8344.

Website Address:

<http://www.phmsa.dot.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: 49 State Pipeline Safety Programs participated in the grant resulting in safety oversight for over 2 million miles of pipeline. The State Base Grant is to support State Pipeline Safety Programs which are certified through PHMSA to inspect and enforce the pipeline safety regulations under the States safety authority. All States currently participate in the pipeline safety program except Alaska and Hawaii. This is a recurring grant which has been in place for over 30 years. The Grantees are provided with specific notification of the grant availability and webinars supporting their grant applications. Fiscal Year 2013: Expecting 49 State Pipeline Safety Programs will participate in the grant resulting in safety oversight for over 2 million miles of pipeline. The State Base Grant is to support State Pipeline Safety Programs which are certified through PHMSA to inspect and enforce the pipeline safety regulations under the States safety authority. All States currently participate in the pipeline safety program except Alaska and Hawaii. This is a recurring grant which has been in place for over 30 years. The Grantees are provided with specific notification of the grant availability and webinars supporting their grant applications. Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

All reasonable expenses from Certified State pipeline safety regulatory agencies are considered for funding to the limit of available grant funding. This is a formula grant and funding is distributed among Certified State Pipeline Safety Programs based on their performance scores.

20.701 UNIVERSITY TRANSPORTATION CENTERS PROGRAM

FEDERAL AGENCY:

Research and Innovative Technology Administration, Department of Transportation

AUTHORIZATION:

The Moving Ahead for Progress in the 21st Century Act (MAP21), Title 49, Part 5505(b)(4)(B) , Public Law 112-Sec. 52009.

OBJECTIVES:

To provide grants to nonprofit institutions of higher learning for the purpose of establishing and operating university transportation centers that conduct research, education, and technology transfer programs addressing regional and national transportation issues.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

The University Transportation Centers will address surface transportation problems and issues and will seek solutions to both long-range and immediate transportation problems. See below for information about grant matching requirements.

Applicant Eligibility:

For the program's competitive grants, public and private nonprofit institutions of higher learning that have established transportation research programs. Non-competitive grants must also be to public and private nonprofit institutions of higher learning but may or may not have established programs.

Beneficiary Eligibility:

For the program's competitive grants, public and private nonprofit institutions of higher learning that have established transportation research programs. Non-competitive grants must also be to public and private nonprofit institutions of higher learning but may or may not have established programs.

Credentials/Documentation:

A formal application outlining in detail how the institution meets program mission and goals; proposed management of the center; detailed delineation of organization, staff, faculty, and budget. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. A full and open competitive selection process for new grants was held in 2012 for 1) one grant in each of ten standard Federal regions of the U.S.; 2) one national UTC to lead research in each of the five goal areas; and 3) up to 20 Tier 1 grants with no locational restrictions. Applications consisted of standard Federal grant application and related forms and a proposal prepared to specifications that the agency provided.

Award Procedure:

Proposals will be evaluated by a Departmental panel on the basis of demonstrated ability toward the current goals of the Department. The selection will be made by the acting RITA Administrator.

Deadlines:

Mar 19, 2013: Closing date for submissions was March 19, 2013. Competition is anticipated for spring/summer 2010, if the program is reauthorized in new legislation passed in 2009.

Range of Approval/Disapproval Time:

Approximately six weeks.

Appeals:

Not Applicable.

Renewals:

Grants will be funded for a four-year period. Grants are renewable each year upon satisfactory completion of prior-year work subject to the availability of authorized funds.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Regional and national grants = 100%; Tier 1 = 50%.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Three, four, or five years as designated in the authorizing legislation. Method of awarding/releasing assistance: by letter of credit.

Reports:

Final technical report at the end of each research project. SF-270 (Request for Advance or Reimbursement). Semi-annual and annual progress and financial reports. SF-425 (Financial Reporting Form). Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Consistent with OMB Circular No. A-133.

Records:

Recipient is required to retain intact, for 3 years following submission of the final expenditure report, pending resolution of audit findings, all grant products, financial records, and supporting documents.

Account Identification:

69-1136-0-1-401; 69-8083-0-7-401.

Obligations:

(Project Grants) FY 12 \$74,000,000; FY 13 est \$72,500,000; and FY 14 est \$72,500,000

Range and Average of Financial Assistance:

The Department plans to competitively select five national UTCs with an award of \$3 million each, ten regional UTCs with an award of \$2.75 million each, and up to 20 Tier I UTCs with an award of \$1.5 million each.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Accomplishments of the UTC Program continued advancing the capabilities of the nations universities to conduct needed transportation research on local, regional and national issues, and educate the future transportation workforce, while continuing to enhance the degree of collaboration between and among universities, develop partnerships with state and local government. Fiscal Year 2013: Accomplishments of the UTC Program will continue to advance transportation expertise and technology in the varied disciplines that comprise the field of transportation through education, research, and technology transfer activities; to provide for a critical transportation knowledge base outside of the Department of Transportation; and to address critical workforce needs and educate the next generation of transportation leaders. Fiscal Year 2014: Accomplishments of the UTC Program are expected to continue advancing the capabilities of the nations universities to conduct needed transportation research on local, regional and national issues, and educate the future transportation workforce, while continuing to enhance the degree of collaboration between and among universities, develop partnerships with state and local government.

REGULATIONS, GUIDELINES, AND LITERATURE:

Posted on the University Transportation Centers Program website at <http://utc.dot.gov>.

Regional or Local Office:

None.

Headquarters Office:

Denise E. Dunn, Research and Innovatiave Technology Administration, Office of Innovation, Research, and Education (RDT-30); Department of Transportation; 1200 New Jersey Avenue, S.E., Washington, District of Columbia 20590 Email: Denise.E.Dunn@dot.gov Phone: (202) 366-4985. Fax: 202-493-2993

Website Address:

<http://utc.dot.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Project continued to be based on the Department's five strategic goals. Fiscal Year 2013: Projects funded in FY 2013 will be related to research on the Department's five strategic goals. Fiscal Year 2014: Projects are anticipated to continue to be based on the Department's five strategic goals.

CRITERIA FOR SELECTING PROPOSALS:

Resources available to conduct work of center; leadership in transportation; established transportation programs in prior years; ability to disseminate results (full text found in authorizing legislation at 49 U.S.C.5505(b)(4)(B) as amended by Pub. L. 112141, Sec. 52009 (effective Oct. 1, 2012)).

20.703 INTERAGENCY HAZARDOUS MATERIALS PUBLIC SECTOR TRAINING AND PLANNING GRANTS

Hazardous Materials Emergency Preparedness Training and Planning Grants, (HMEP)

FEDERAL AGENCY:

Pipeline and Hazardous Materials Safety Administration, Department of Transportation

AUTHORIZATION:

Hazardous Materials Transportation Uniform Safety Act of 1990; Revision of Title 49, U.S.C., Annotated, Transportation; Hazardous Materials Transportation Authorization Act of 1994 , Public Law 103-272 & 311, 49 U.S.C U.S.C. 5101 et.

OBJECTIVES:

To increase State, local, territorial and Native American tribal effectiveness to safely and efficiently handle hazardous materials accidents and incidents; enhance implementation of the Emergency Planning and Community Right-to-Know Act of 1986 (EPCRA); and encourage a comprehensive approach to emergency planning and training by incorporating response to transportation standards.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

The grant program will be used to increase the emphasis on transportation in ongoing efforts and to improve the capability of communities to plan for and respond to the full range of potential risks posed by accidents and incidents involving hazardous materials. The grants have two principal uses. First, to assist States, Territories and Native American Tribes in developing, improving and implementing emergency response plans under EPCRA; including the determination of flow patterns of hazardous materials within a State, between States and Native American lands; determining the need for regional hazardous materials response teams. Second, to stimulate support for training of Public Sector employees to respond to accidents and incidents involving hazardous materials. HMEP Grant funds can be used for expenses associated with planning and training for response to hazardous materials incidents in transportation.

Applicant Eligibility:

States, U. S. Territories and Federally recognized Native American Tribes may apply for either or both planning and training grants. The Governor or Tribal official of each eligible applicant has been asked to designate an agency responsible for managing the program. DOT will work with the designated organization.

Beneficiary Eligibility:

All segments of the U.S. including Territories and Native American tribal populations that are involved with management of or possible exposure to hazardous materials benefit. Specifically Federal, State, and local authorities are assisted through the HMEP grant program with their responsibilities. Students and trainees in emergency response and local emergency planning activities are program beneficiaries since grant funds will be used to benefit local programs.

Credentials/Documentation:

Applicants must certify that they meet or exceed a nonfederal maintenance of effort level equivalent to the average of aggregate nonfederal applicant expenditures for the preceding 5 years. They must also certify that the State, Territory or Native American Tribe is complying with sections 301 and 303 of EPCRA. Those States, Territories or Native American Tribes must agree to make at least 75 percent of the Federal planning funds awarded available to Local Emergency Planning Committees (LEPCs) for developing, improving, or implementing emergency plans, and 75 percent of the benefit of training funds available to local emergency responders. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact his or her, State, Territory or Native American Tribe for more information on the process required to follow in applying for assistance. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Applicants are to complete and submit an application through www.grants.gov. Funded applications will be subject to the Public Sector Planning and Training Grants Program regulation 49 Code of Federal Regulations (CFR), part 110, and 49 CFR, part 18, OMB Circular A-102, which governs all DOT grant programs.

Award Procedure:

Grant awards will be signed by the PHMSA Associate Administrator for Hazardous Materials Safety.

Deadlines:

Mar 01, 2013 to Apr 19, 2013 The deadline for submitting applications is April 19, 2013. Grant awards will be made in September.

Range of Approval/Disapproval Time:

From 60 to 180 days.

Appeals:

Not Applicable.

Renewals:

Other - Not Specified.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: Percent: 20%. Nonfederal cost sharing of at least 20 percent of total project costs is required under, 49 USC 5116(e). This program has maintenance of effort requirements; see funding agency for further details. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The length of project periods may extend from time of initial award for 12 months, through the end of the following fiscal yearfiscal year 2013; however phased accomplishments or budget periods will limit the time frame for the funds awarded. Payments will generally be reimbursable, paid based on submission of a Request For Advance or Reimbursement Form 270 using DOT automated clearinghouse electronic transfer procedures. See the following for information on how assistance is awarded/released: Reimbursed periodically after submission of incurred costs by grantee.

Reports:

Program reports are not applicable. Cash reports are not applicable. Progress reports are not applicable. SF-425 and SF-270. Final report accountability questions required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. N/A

Records:

Records of technical and financial accomplishments must be maintained for 3 years after submission of an acceptable final Financial Status Report, or until any unresolved audit issues are resolved.

Account Identification:

69-5282-0-2-407.

Obligations:

(Project Grants (Discretionary)) FY 12 \$26,800,000; FY 13 Estimate Not Available; and FY 14 Estimate Not Available

Range and Average of Financial Assistance:

The grant award ranges are \$12,713 - \$1,642,592. The average is \$346,284.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: PHMSA is proposing to fund 50 states, 5 territories and 4 federally recognized tribes. Activities include the updating of emergency response plans, completion of commodity flow studies, and training courses offered to first responders throughout the country. Non-profit grantees will provide Train-the-trainer programs, while other grantees will conduct annual, combined LEPC/Tribal Hazardous Materials Workshops for all state Local Emergency Planning Committees (LEPCs) and Tribes. Training programs are diverse and will include Hazardous Materials Awareness Courses, Hazardous Materials Awareness-Operations Train-the-Trainer Course, Hazardous Materials Technician Courses, Hazardous Materials-On Scene Incident Command Classes, and Hazardous Materials Safety Officer Courses. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

49 Code of Federal Regulations, Part 110, Hazardous Materials Public Sector Training and Planning Grants; 49 Code of Federal Regulations, Part 18, Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments and Indian tribes. A grant application kit is available from DOT that includes all guidance, regulatory and administrative requirements.

Regional or Local Office:

None.

Headquarters Office:

Emmanuel Ekwo 1200 New Jersey Avenue, S.E, Washington, District of Columbia 20590 Email: Emmanuel.ekwo@dot.gov Phone: (202) 366-1634 Fax: (202) 366-3753

Website Address:

<http://www.phmsa.dot.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Activities that took place during FY 2012 (October 2011 September 2012) include the Development of Local Jurisdiction E-Plan for Emergency Responses, as well as the implementation of Planning and Investigation Courses. Grantees will also provide Train-the-trainer programs, to include Land Based Marine Fire Fighting in Multi-Modes of Transportation. Other grantees will conduct annual, combined LEPC/Tribal Hazardous Materials Workshop for all state Local Emergency Planning Committees (LEPCs) and Tribes, while inviting responders from neighboring states. Training programs are diverse and will include Hazardous Materials Awareness

Courses, Hazardous Materials Awareness-Operations Train-the-Trainer Course, Hazardous Materials Technician Courses, Hazardous Materials-On Scene Incident Command Classes, and Hazardous Materials Safety Officer Courses. Fiscal Year 2013: Funded projects scheduled to take place during FY 2013 (October 2012 - September 2013) include the development, improvement, and implementation of emergency plans required under the Emergency Planning and Community Right-to-Know Act of 1986, as well as exercises that test the emergency plan. Development and maintenance of emergency plans to include hazard analysis as well as response procedures for emergencies involving transportation of hazmat. Completed assessments and gap analysis to determine hazmat safety risks within a jurisdiction, state, or region. Design and delivery of comprehensive preparedness and response training to public sector employees. Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Not applicable for grants to States and Territories. Grants made to Native American Tribes will be in accordance with the following selection criteria: (1) Potential Benefit (30 points); (2) Priority Need (40 points); (3) Number of Public Sector Employees Trained (20 points); (4) Long Term Benefit to Tribe (10 points); (5) Past Performance (10 points).

20.710 TECHNICAL ASSISTANCE GRANTS

TAGs or TAG Program

FEDERAL AGENCY:

Pipeline and Hazardous Materials Safety Administration, Department of Transportation

AUTHORIZATION:

Pipeline Safety Improvement Act of 2002 , Public Law 107-355, 49 U.S.C 60130; Pipeline Inspection, Protection, Enforcement and Safety Act of 2006 , Public Law 109-468.

OBJECTIVES:

Development of engineering or other scientific analysis of pipeline safety issues, including the promotion of public participation in official proceedings.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

The grant program is intended to allow communities and non-profit groups to obtain funding for technical assistance in the form of engineering or other scientific analysis of pipeline safety issues and to help promote public participation in official proceedings. Grant funds may not be used for lobbying, for direct advocacy for or against a pipeline construction or expansion project, or in direct support of litigation.

Applicant Eligibility:

Use with Transportation .

Beneficiary Eligibility:

Public nonprofit institution/organization and Local.

Credentials/Documentation:

A written application addressing the evaluation criteria. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Must apply through www.Grants.gov. Also see the annual solicitation for application procedures.

Award Procedure:

A committee of stakeholders will review applications. Grants will be awarded to applicants with the highest merit until the available funds are exhausted.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days. Applications due 60 to 90 days after opportunity is posted to www.Grants.gov.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

One year from date of award. See the following for information on how assistance is awarded/released: Funds are available (a) reimbursement for costs incurred, or (b) 50% at award, 50% after delivery of mid-year report.

Reports:

Program reports are not applicable. SF-425 and SF-270. Each grant recipient will be required to provide a mid-term and a final report to PHMSA demonstrating progress and completion of the work as outlined in the grant agreement. Further, each recipient of a grant must ensure that: (1) The technical findings made possible by the grants are made available to the relevant operators; and

(2) Open communication is maintained between the grant recipients, local operators, local communities and other interested parties. SF-425. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grant recipient must maintain, for a period of 3 years beyond the end of the grant project period of performance.: (a) documentation supporting the cost incurred under the grant, (b) information regarding any sub-awards made using grant funds.

Account Identification:

69-5172-0-2-407.

Obligations:

(Project Grants (Discretionary)) FY 12 \$962,302; FY 13 est \$948,000; and FY 14 est \$1,500,000 - FY2012 -- 962,302; FY 2013 -- 948,000 FY2014 1,500,000 (request).

Range and Average of Financial Assistance:

The range is \$0 - \$50,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: PHMSA solicited FY2012 applications from January 30, 2012 through March 9, 2012. Awards were made on or before September 30, 2012. See <http://primis.phmsa.dot.gov/tag/Home.rdm>. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Pipeline Safety Regulations, 49 CFR 192.614, 49 CFR 192.616, 49 CFR 195.440, 49 CFR 195.442, 49 CFR 198.37, and 49 CFR 198.39 Individual copies of regulations may be requested from: Office of Pipeline Safety, Pipeline and Hazardous Materials Safety Administration, Department of Transportation, 1200 New Jersey Avenue, SE, East Building, 2nd Floor, Washington, DC 20590, Telephone: (202) 366-4595, Fax: (202) 366-4566. Also, available on-line at http://www.ecfr.gov/cgi-bin/text-idx?tpl=/ecfrbrowse/Title49/49cfrv3_02.tpl

Regional or Local Office:

None.

Headquarters Office:

Christie Murray 1200 New Jersey Avenue, S.E., Washington, District of Columbia 20590 Email: christie.murray@dot.gov Phone: (202) 366-4996

Website Address:

<http://primis.phmsa.dot.gov/tag>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: See <http://primis.phmsa.dot.gov/tag> for example and explanation of projects in FY2012. Fiscal Year 2013: Projects awarded in FY 2013 will be similar in scope to the projects awarded in FY2012. Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

In keeping with Congressional intent, PHMSA developed proposal evaluation criteria to be used to rate and select competing applications. Each criterion will be weighted equally and is intended to align with the challenges and strategies in PHMSA's Strategic Plan, Section 7.02. Submission of an application is not a guarantee of award. The evaluation criteria are as follows: 1. The extent to which the Applicants project scope establishes clear goals and objectives that focus on areas where a pipeline failure could pose a significant risk to people or to unusually sensitive environmental areas (see definition in 49 C.F.R 195.6); 2. The extent to which the Applicants project scope identifies specific pipeline safety concerns or risks to address in affected geographic areas to stakeholders and/or communities; 3. The extent to which the proposal demonstrates the Applicants experience with and commitment to foster open communication with affected operators and other key members of the community to ensure project success. 4. The extent to which the Applicants project aligns with the challenges and strategies in PHMSA's Strategic Plan and seeks to improve performance and safety over time in areas such as engineering, damage prevention, land use, public education, emergency response, and community awareness; 5. The extent to which the Applicants project plan clearly establishes resources, milestones, and estimated project costs that align with project goals and objectives; 6. The extent to which the Applicants project plan identifies measureable results and deliverables and specifies how results will be evaluated and disseminated to affected stakeholders; and 7. The extent to which the Applicants project scope provides the potential for learning or technology transfer to other groups and communities.

20.720 STATE DAMAGE PREVENTION PROGRAM GRANTS

(SDPP Grants)

FEDERAL AGENCY:

Pipeline and Hazardous Materials Safety Administration, Department of Transportation

AUTHORIZATION:

Pipeline Inspection, Protection, Enforcement, and Safety Act of 2006, Public Law 109-468, 49 U.S.C 60134.

OBJECTIVES:

To improve State Damage prevention programs, which are intended to protect underground facilities from excavation damage.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Personnel, equipment, and activities that are needed by the State authority to enhance damage prevention programs. Grant funds may not be used for lobbying or in direct support of litigation. Grant funds may not be used for lobbying or in direct support of litigation.

Applicant Eligibility:

Any State (including U.S. Territory or possessions) authority designated by the Governor is eligible to apply for a grant as long an agency within the State (including U.S. Territory or possessions) has an annual Section 60105 (49 U.S.C.) certification or Section 60106 (49 U.S.C.) agreement in effect with PHMSA. If a State (including U.S. Territory or possessions) does not have a certification or agreement with PHMSA, then no State (including U.S. Territory

or possessions) authority can receive a grant.

Beneficiary Eligibility:

State Government, U.S. Territory and possessions would receive the ultimate benefit from this program.

Credentials/Documentation:

A written application describing the current status of the State Damage Prevention Program and plans for improvements. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Through the website at www.Grants.gov and fedstar.phmsa.dot.gov. Also see the annual solicitation for application procedures.

Award Procedure:

Panel of stakeholders will review and score applications. Grants will be awarded to applicants with the highest score until the available funds are exhausted.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

120 days after application deadline.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

There is a restriction on the time permitted to spend the money awarded. It must be spent within one year of the award. Method of awarding/releasing assistance: by letter of credit.

Reports:

A final report within 30 days of the end of the grant period. No cash reports are required. Status report is due 6 months after the start date of the grant. SF-425. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grant recipient must maintain for a period of three years: (a) documentation supporting the cost incurred under the grant, and (b) information regarding any sub-awards made using grant funds.

Account Identification:

69-5172-0-2-407.

Obligations:

(Project Grants (Discretionary)) FY 12 \$1,574,892; FY 13 est \$1,531,166; and FY 14 est \$1,547,000

Range and Average of Financial Assistance:

The grants awarded range \$6,800-\$100,000. The average is \$71,566.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: For 2012, 22 applications were received and 22 grants were awarded with funding totaling \$1,574,452. See <http://primis.phmsa.dot.gov/sdp/?nocache=2783&nocache=9335> for details on grant accomplishments. Fiscal Year 2013: For 2013, 22 applications were received and it is anticipated that 20 grants will be awarded with funding totaling \$1,531,064. Fiscal Year 2014: 2014 It is estimated that 22 states will submit applications with available funding of approximately \$1,547,000.

REGULATIONS, GUIDELINES, AND LITERATURE:

Pipeline Safety Regulations, 49 CFR 192.614, 49 CFR 192.616, 49 CFR 195.440, 49 CFR 195.442, 49 CFR 198.37, and 49 CFR 198.39 Individual copies of regulations may be requested from: Office of Pipeline Safety, Pipeline and Hazardous Material Safety Administration, Department of Transportation, 1200 New Jersey Avenue, SE East Building, 2nd Floor, Washington, DC 205901, Telephone: (202) 366-4595 Fax: (202) 366-4566. Also available on line at http://www.ecfr.gov/cgi-bin/text-idx?tpl=/ecfrbrowse/Title49/49cfr195_main_02.tpl

Regional or Local Office:

None.

Headquarters Office:

Annamarie Robertson, 1200 New Jersey Avenue, S.E., Washington, District of Columbia 20590 Email: annmarie.robertson@dot.gov Phone: (317) 253-1622.

Website Address:

<http://www.phmsa.dot.gov/>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Projects to improve state damage prevention programs were funded and include educational programs, enforcement programs, technology and other safety programs. See <http://primis.phmsa.dot.gov/sdp/?nocache=2783&nocache=9335>. Fiscal Year 2013: Projects to improve state damage prevention programs will be funding and are anticipated to include enforcement, education and outreach and other safety programs. Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Evaluation Criteria. The State Damage Prevention grant application process is competitive. Applications will be evaluated against the selection criteria listed below as well as against each other. Submission of an application is not a guarantee of award. PHMSA may award a grant based on an application in its entirety, award only portions of a grant application, or not award an application at all. Each Application will be reviewed against the criteria listed below. The criteria are weighted as follows: Criterion 1 is most heavily weighted; criterion 2 is second most heavily weighted; criterion 3 is third most heavily weighted; criterion 4 is fourth most heavily weighted; criterion 5 is fifth most heavily weighted. An effective damage prevention program as stated in 49 U.S.C. 60134 (b) includes one or more of the nine (9) elements below. Grant funding is available to assist state in aligning with one or more of these nine elements. The number of elements addressed in an application will not affect the evaluation of the application. Criterion 1. Relevance to the Nine Elements - This criterion will be used to evaluate proposed work under each element addressed on the application. This criterion will be used to evaluate proposed projects that: Clearly link results to one or more of the nine elements. Have merit for advancing implementation or continued support of one or more of the nine elements within the state. Align with the meaning and intent of the nine elements as described in PHMSAs Damage Prevention Assistance Program (DPAP) Guide (available at <http://primis.phmsa.dot.gov/comm/publications/DPAP-Guide-FirstEdition-20080911.pdf?nocache=6648>). Proposed work under each element will be evaluated separately. Criterion 2. Costs, Results, and Schedule This criterion will be used to evaluate proposed work under each element addressed in the application. This criterion will be used to evaluate proposed projects that: Will produce tangible results within the proposed project period. Establish clear goals, objectives, milestones, and estimates of project costs. Have deliverables that

do not overlap with the deliverables of any other PHMSA grant award. Use funds efficiently and effectively. Proposed work under each element will be evaluated separately. Criterion 3. States Commitment to the Nine Elements - This criterion will be used to evaluate the applicants description of existing damage prevention activities as they relate to the nine elements. This criterion will be used to evaluate applications that demonstrate the State has made substantial progress toward, or has clear and concrete plans for, implementing the nine elements. Criterion 4. - States Commitment to Damage Prevention Program Effectiveness. This criterion will be used to evaluate the applicants description of any legislative or regulatory actions (including studies, etc.) taken by the State within the past five (5) years pertaining to damage prevention program improvement. This criterion will be used to evaluate applications that demonstrate the States commitment to ensuring lasting damage prevention program effectiveness and continuing improvement, including any legislative and/or regulatory actions taken within the past five years or other significant activities, such as efforts of study groups or task teams established to analyze the States damage prevention program. Criterion 5. Past Performance. This criterion will be used to evaluate past performance of applicants who have received a PHMSA State Damage Prevention grant in the past. Past performance includes fulfillment of grant agreements in a timely manner and compliance with grant terms and conditions. Applicants who received funding previously for a project that is ongoing and are requesting grant funding for the continuation of that project should provide a summary of accomplishments and tasks completed during the previous grant period. The information provided should include measurable results and deliverables, and also include any information about tasks that were not completed or other challenges encountered during the grant period. Evaluation of applications from applicants who have not received a PHMSA State Damage Prevention grant in the past will not be affected positively or negatively by this criterion.

20.721 PHMSA PIPELINE SAFETY PROGRAM ONE CALL GRANT FEDERAL AGENCY:

Pipeline and Hazardous Materials Safety Administration, Department of Transportation

AUTHORIZATION:

Transportation Equity Act for the 21st Century, Public Law 105-17, 49 U.S.C 6106.

OBJECTIVES:

To provide funding to State agencies in promoting damage prevention, including changes with their State underground damage prevention laws, related compliance activities, training and public education.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Eligible programs will cover such areas as compliance enforcement, legal assistance with enforcement actions, new equipment to support on-going enforcement programs, compliance monitoring, one call center statistics, compliance/noncompliance statistics, One-call membership initiatives, computer equipment, communication improvements, development and/or conduct of state-provided training programs for locators, development and/or distribution of educational items or materials, damage prevention awareness campaigns, public service announcements, informational mailings, advertisements and One Call center educational items.

Applicant Eligibility:

A state is eligible if it qualifies under section 49 USC 6104 (b).

Beneficiary Eligibility:

State (Includes District of Columbia and Puerto Rico) Also, State agency with a Certification or Agreement under 60105 or 60106 of Title 49 USC.

Credentials/Documentation:

Appropriate State legal official must approve legality of agency application and agreement. Costs will be determined in accordance with OMB Circular Number A-87 for State and local governments, and "One Call Application Instructions". Application (plan) is opened on October 15 and due November 21. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. U.S. Department of Transportation, Pipeline & Hazardous Materials Safety Administration, Pipeline Safety, PHP-50, 1200 New Jersey Avenue, SE Washington, DC 20590. Each State submits a detailed description of the proposed project activity in one of the three priority categories listed in the criteria section with estimated costs on how the money would be spent. The application will be specific regarding what the funding would buy, and what otherwise unmet need the funding would help resolve. If the proposed activity was previously submitted and approved, the applicant will be required to provide a description of results from previous action of the activity.

Award Procedure:

Proposed projects are reviewed by a group of peers for their completeness with estimated project criteria as described in the criteria section (180) listed below.

Deadlines:

Oct 14, 2013 to Nov 27, 2012 Applications are submitted by November 27 for the next calendar year. The Director of State Programs may extend or modify the date base on good cause.

Range of Approval/Disapproval Time:

From 60 to 90 days. From 60 to 90 days of receipt. Award is contingent on a current fiscal year appropriation and enacted budget.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Expenditures must be used by March 30th of the following year. Funds not used will be deducted from the State agencies Pipeline Safety Program End-Year reimbursement request. See the following for information on how assistance is awarded/released: Electronic Funds Transfer.

Reports:

No program reports are required. SF-270. A final progress report due by April 15, 2014 to confirm all funds have been spent by March 30, 2014. SF-270. Triennial grant review is performed on all States to assure funds were spent on proposed projects and in accordance with OMB requirements. Each State progress report is reviewed prior to award of future grant.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

All records relating to the projects must be retained for a period of not less than 3 years from the date of submission of fund expenditure report.

Account Identification:

69-5172-0-2-407.

Obligations:

(Project Grants (Discretionary)) FY 12 \$1,060,678; FY 13 est \$1,002,660; and

FY 14 est \$1,069,000

Range and Average of Financial Assistance:

The range for grants awarded \$10,000 to \$45,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: PHMSA received 33 applications for the PHMSA Pipeline Safety Program One Call Grant for CY2012 totaling \$1.17 million dollars in requests. Fiscal Year 2013: PHMSA received 33 applications for the PHMSA Pipeline Safety Program One Call Grant for CY 2013 totaling more than \$1.2 million dollars. PHMSA anticipates receiving more than 30 applications for the PHMSA Pipeline Safety Program One Call Grant for CY2014, with requests estimated at \$1.5 million dollars. Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Pipeline Safety Regulations, 49 CFR 190, 191, 192, 193, 195, 198 and 199. Individual copies available from the Transportation Safety Institute, PHMSA Training and Qualification, Oklahoma City, Oklahoma 73125. "Guidelines for States Participating in the Pipeline Safety Program." Also available on-line at http://www.ecfr.gov/cgi-bin/text-idx?tpl=/ecfrbrowse/Title49/49tab_02.tpl

Regional or Local Office:

See Regional Agency Offices. See Appendix IV of the Catalog for a listing of Pipeline and Hazardous Materials Safety Administration regional office addresses.

Headquarters Office:

Zach Barrett 1200 New Jersey Avenue, S.E., Washington, District of Columbia 20590 Phone: (405) 834-8344.

Website Address:

<http://www.phmsa.dot.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Compliance Enforcement and Monitoring, One Call Membership Initiatives for Operators, Implementation of One Call Laws and Regulations, Excavator and Public Awareness, Public Service Announcements, Equipment to support on-going enforcement program. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Eligibility is determined by 49 USC, Section 6104. Please describe criteria for selecting proposals:
PRIORITY 1

1. Compliance Enforcement
 - Legal assistance with enforcement actions
 - Cost of enforcement and/or complaint investigations
 - Cost of enforcement actions
2. State agency collection and analysis of data
 - One Call center statistics
 - One Call center membership
 - Compliance/Noncompliance statistics
 - Causes of noncompliance
 - Frequency, cause, and consequences of dig-ins
 - Identification of problem areas or individuals
 - Incorporation of excavation damage data tools (such as DIRT, virtual DIRT, etc.) into state monitoring and compliance program
 - Submission of state-collected excavation damage data to other data collection systems (such as DIRT and Virtual DIRT)
3. Legislation and Rulemaking
 - Obtaining input from affected interests
 - Assistance drafting language
 - Testimony before legislative/rulemaking bodies
 - Studies to identify legislative needs
4. Implementation of One Call Laws and Regulations**
 - Start-up costs for the state agency only, mandated by new law or rules
 - Equipment (including computer programming and software)
 - Records systems and databases

Procedures

First-year information campaign on new/changed law or rules

** A state cannot request grant funds to implement proposed legislation - the legislation must have already been passed by the legislature and signed into law by the Governor.

PRIORITY 2

1. One Call Membership Initiatives for Operators, such as:
 - Initial membership fees
 - Fax machines
 - Computer equipment
 - Communication improvements
 - E-mail access
 - Dedicated phone line
 - Locating equipment and training
2. Consolidation of Multiple One Call Centers (only costs incurred by the state agency)
 - State agency expense to encourage consolidation
 - One Call Center consolidation expenses
 - First year awareness campaigns for new one-call center and/or phone number
3. Training of state inspection or enforcement personnel in -
 - Facility locating methods and technology
 - Provisions of state One Call law or regulations
4. Equipment to support on-going enforcement program (including computer programming and software.)
5. Location Capabilities
 - Development and/or conduct of training for locators
 - Field trials or demonstrations of new technology locating equipment
6. Efforts to encourage operators to contribute to data collection systems such as DIRT

PRIORITY 3

1. Development and/or conduct of state-provided training programs for excavators
2. Development and/or conduct of state-provided training programs for operators
3. Development and/or distribution of educational items or materials
4. Development and/or conduct of damage prevention awareness campaigns, such as:
 - Public service announcements
 - Informational mailings
 - Advertisements
 - One Call center awareness and outreach
 - Booths/exhibits for damage prevention outreach
 - 811 awareness campaigns
5. Record retention and recording capabilities for One Call notification systems; and making the data available to the state.

All proposals from eligible State utility regulatory agencies are considered for funding to the limit of available grant money. The maximum amount requested per state is limited to \$45,000.

20.723 PHMSA PIPELINE SAFETY RESEARCH AND DEVELOPMENT OTHER TRANSACTION AGREEMENTS

PHMSA Pipeline Safety Research and Development

FEDERAL AGENCY:

Pipeline and Hazardous Materials Safety Administration, Department of Transportation

AUTHORIZATION:

Pipeline Safety Improvement Act of 2002, Public Law 107-355, 49 U.S.C 60101 note.

OBJECTIVES:

To sponsor research and development projects focused on providing near-term solutions that will improve the safety, reduce environmental impact, and enhance the reliability of the Nations pipeline transportation system.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

This research program is used for: fostering the development of new technologies so that pipeline operators can improve safety performance and more effectively address regulatory requirements; targeting and feeding new knowledge into the process of keeping standards relevant to their purpose; and generating and promoting general knowledge to decision makers. At least 30% cost sharing is required of awardees. Section 32 (f) of The Pipeline Safety, Regulatory Certainty, and Job Creation Act of 2011 signed into law in January 2012 (PUBLIC LAW 11290JAN. 3, 2012) amends Section 12 of the Pipeline Safety Improvement Act of 2002 (49 U.S.C. 60101 note) by adding subsection d including 12(d)(3)(C) FUNDING FROM NON-FEDERAL SOURCES. The Secretary shall ensure at least 30 percent of the costs of program-wide research and development activities are carried out using non-Federal sources. The 2011 Act also amends Section 12 of the Pipeline Safety Improvement Act of 2002 (49 U.S.C. 60101 note), subsection (f), by striking 2003 through 2006. And inserting 2012 through 2015..

Applicant Eligibility:

Universities and other academic institutions, individual, profit organization, nonprofit organization, State (includes D.C.), U.S. Territories and possessions, Indian tribes, local government, other entities are eligible to apply.

Beneficiary Eligibility:

Anyone/general public can receive benefits from the eligible applicant.

Credentials/Documentation:

Prior to submission of a White Paper each applicant must first complete (electronically) a registration form the location of which will be stated in the PHMSA Research Announcement (PRA). OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Yes, if the awardee is a state or local government. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Yes, if the awardee is an Institution of Higher Education, Hospital, or Other Non-Profit Organization.

Award Procedure:

In response to a PHMSA Research Announcement (PRA) applicants submit White Papers which are evaluated against specific evaluation criteria identified in the PRA. Those deemed worthy of further consideration are notified, and provided detailed guidelines for submitting a full proposal. Full proposals must include cost sharing of at least 30%. If selected for award an Other Transaction Agreement (OTA) is awarded by PHMSA. Section 32 (f) of The Pipeline Safety, Regulatory Certainty, and Job Creation Act of 2011 signed into law in January 2012 (PUBLIC LAW 11290JAN. 3, 2012) amends Section 12 of the Pipeline Safety Improvement Act of 2002 (49 U.S.C. 60101 note) by adding subsection d including 12(d)(3)(C) FUNDING FROM NON-FEDERAL SOURCES. The Secretary shall ensure at least 30 percent of the costs of program-wide research and development activities are carried out using non-Federal sources. The 2011 Act also amends Section 12 of the Pipeline Safety Improvement Act of 2002 (49 U.S.C. 60101 note), subsection (f), by striking 2003 through 2006. And inserting 2012 through 2015..

Deadlines:

Contact the headquarters or regional office, as appropriate, for application

deadlines.

Range of Approval/Disapproval Time:

30-45 days for review of White Papers. 30-45 days for review of proposals (if requested).

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title 49, Chapter 12, Part 60101, Public Law 112-90. Full proposals must include cost sharing of at least 30%. If selected for award an Other Transaction Agreement (OTA) is awarded by PHMSA. Section 32 (f) of The Pipeline Safety, Regulatory Certainty, and Job Creation Act of 2011 signed into law in January 2012 (PUBLIC LAW 11290JAN. 3, 2012) amends Section 12 of the Pipeline Safety Improvement Act of 2002 (49 U.S.C. 60101 note) by adding subsection d including 12(d)(3)(C) FUNDING FROM NON-FEDERAL SOURCES. The Secretary shall ensure at least 30 percent of the costs of program-wide research and development activities are carried out using non-Federal sources. The 2011 Act also amends Section 12 of the Pipeline Safety Improvement Act of 2002 (49 U.S.C. 60101 note), subsection (f), by striking 2003 through 2006. And inserting 2012 through 2015.. Matching Requirements: Percent: 30.%. Cost sharing of at least 30% is required. Full proposals must include cost sharing of at least 30%. If selected for award an Other Transaction Agreement (OTA) is awarded by PHMSA. Section 32 (f) of The Pipeline Safety, Regulatory Certainty, and Job Creation Act of 2011 signed into law in January 2012 (PUBLIC LAW 11290JAN. 3, 2012) amends Section 12 of the Pipeline Safety Improvement Act of 2002 (49 U.S.C. 60101 note) by adding subsection d including 12(d)(3)(C) FUNDING FROM NON-FEDERAL SOURCES. The Secretary shall ensure at least 30 percent of the costs of program-wide research and development activities are carried out using non-Federal sources. The 2011 Act also amends Section 12 of the Pipeline Safety Improvement Act of 2002 (49 U.S.C. 60101 note), subsection (f), by striking 2003 through 2006. And inserting 2012 through 2015..

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The term of an Other Transaction Agreement is normally between 1 and 3 years. Method of awarding/releasing assistance: quarterly.

Reports:

Draft final project report, Final project report. No cash reports are required. Quarterly status and progress report. Invoices are to be submitted no more frequently than quarterly. Peer Reviews are conducted on the project. They normally occur during the first quarter of each calendar year.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. If the awardee is a state or local government, or a non-profit organization.

Records:

Financial records are subject to Government examination or audit, and must be maintained for 3 years from the completion of the Other Transaction Agreement..

Account Identification:

69-5172-0-2-407.

Obligations:

(Project Grants) FY 12 \$8,113,024; FY 13 est \$12,931,000; and FY 14 Estimate Not Available

Range and Average of Financial Assistance:

The range of awards is \$40,000 to \$856,000. The average is \$189,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: For FY 2012- See <http://primis.phmsa.dot.gov/rd/success.htm> for success stories. Fiscal Year 2013: For FY2013 See <http://primis.phmsa.dot.gov/rd/success.htm> for success stories. Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

An in depth description of the PHMSA Pipeline Safety R&D Program can be found at <http://primis.phmsa.dot.gov/rd/>. A listing of OTA awards can be found at: <http://primis.phmsa.dot.gov/matrix/>.

Regional or Local Office:

None.

Headquarters Office:

James Merritt. U.S. DOT, Pipeline and Hazardous materials safety Administration, Office of Pipeline Safety, 793 Countrybriar Lane, Highlands Ranch, Colorado 80129. Email: James.Merritt@dot.gov Phone: 303-683-3117. Fax: 303-346-9192.

Website Address:

www.phmsa.dot.gov; <http://primis.phmsa.dot.gov/rd/>

RELATED PROGRAMS:

20.700 Pipeline Safety Program Base Grants; 20.710 Technical Assistance Grants; 20.720 State Damage Prevention Program Grants; 20.721 PHMSA Pipeline Safety Program One Call Grant

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: All projects sortable by FY/CY via <https://primis.phmsa.dot.gov/matrix>. Fiscal Year 2013: See example of projects under <http://primis.phmsa.dot.gov/rd/success.htm>. Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Specific evaluation criteria, for each PHMSA Research Announcement, is located in the announcement document.

20.724 PIPELINE SAFETY RESEARCH COMPETITIVE ACADEMIC AGREEMENT PROGRAM (CAAP)

CAAP Program

FEDERAL AGENCY:

Pipeline and Hazardous Materials Safety Administration, Department of Transportation

AUTHORIZATION:

Pipeline Safety Improvement Act of 2002, Public Law 107-355, 49 U.S.C 60101 note.

OBJECTIVES:

The CAAP program is intended to spur innovation by enabling an academic Research focus on high-risk and high pay-off solutions for the many pipeline safety challenges. It will potentially deliver solutions that can be hand-offs to further investigations in the CAAP program or in PHMSAs core research program. The goal would be to validate proof of concept of a thesis or theory all the way to commercial penetration into the market. Overall, the pipeline industry and federal/state regulators are experiencing low numbers of applicants to entry level positions that are technically focused. As such, another goal of the CAAP program is to expose graduate and PhD research students to subject matter that is common to pipeline safety challenges and to illustrate how their engineering or technical disciplines are highly needed in the field. The ultimate benefit would be to cultivate new talent in all aspects of pipelining, similar to how programs at other Federal Agencies and non-profit organizations have encouraged talent to consider a career in a certain field.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Awards will be made in the form of cooperative agreements, which will play a role in finding the solutions to national, regional and local pipeline operational safety and environmental challenges. Some of these challenges involve having the best technology to efficiently and effectively meet or exceed Federal and State regulatory requirements. Other challenges are in keeping critical industry

consensus standards fresh with the latest knowledge and know-how so that people, property and the environment are protected. Section 32 (f) of The Pipeline Safety, Regulatory Certainty, and Job Creation Act of 2011, signed into law in January 2012 (P.L. 11290 January 3, 2012) amends Section 12 of the Pipeline Safety Improvement Act of 2002 (49 U.S.C. 60101 note) by amending section(d) to add: (d)(3)(C) Funding From Non-Federal Sources. The Secretary shall ensure at least 30 percent of the costs of program-wide research and development activities are carried out using non-Federal sources. The 2011 Act also amends Section 12 of the Pipeline Safety Improvement Act of 2002 (49 U.S.C. 60101 note), subsection (f), by striking 2003 through 2006, and inserting 2012 through 2015. The following are minimum requirements that all applicants must follow and must meet in order to be considered. Failure to meet these requirements as stated at any time pre- or post-award will result in either removing your application from further consideration or grounds for termination of the agreement. A letter on the submitting institutions letterhead must be drafted that certifies these minimum requirements are understood in association with the request for applications (RFA) and are met by the submitting institution. The letter must be signed by the Dean or equivalent level of that college, department or program and inserted as the first page of the appendix to the technical application. 1. Eligible applicants are non-profit institutions of higher education located in the United States or a U.S. territory or possession. 2. The academic research agreement will be with the institution and not with individual students. 3. The research scope may be executed by graduate students, PhD research students, and faculty. 4. Institution faculty, staff and students that are proposed to be involved with the research agreement must be U.S. citizens, permanent residents, or possess visas that will allow them to remain in the country long enough to complete the research project. 5. Obligated funding is solely for allowable charges such as institution overhead and the procurement of expendables and any required labor necessary for executing the research scope. Furnishing of new or existing testing equipment will not be permitted. Also, funding cannot be used toward construction of new facilities or refurbishing of existing facilities. Post-delivery financial audits may occur. Discretionary funds in the amount of \$500,000 are available for this program in FY 2013. Applications will be evaluated and awards made to the entities whose applications best meet PHMSA's requirements.

Applicant Eligibility:

Applicants must be non-profit institutions of higher education located in the United States or a U.S. territory or possession.

Beneficiary Eligibility:

Solutions from academic research agreements to non-profit institutions of higher education will benefit the American public who has a stake in safe, reliable and environmentally friendly pipeline transportation of hydrocarbons.

Credentials/Documentation:

A letter on the submitting institutions letterhead must be drafted that certifies the Uses and Use Restrictions (070) are understood and met by the submitting institution. The letter must be signed by the Dean or equivalent level of that college, department or program and inserted as the first page of the appendix to the technical application. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. To submit an application, applicants must be registered at www.grants.gov. Failure to comply with the prescribed application requirements as described in this section will result in an application not being reviewed. Accessing Grants.gov:

For new users, go to http://www.grants.gov/applicants/get_registered.jsp, or go to the main page at <http://www.grants.gov/> and click on Get Registered.

Please note that new user registrations for grants.gov can take up to two weeks to complete. For additional questions on how to register, contact grants.gov support.

Application Content & Format - When preparing applications, applicants must

utilize the technical and cost application templates attached to the opportunity. The attachments include instructions regarding required content and information to address. Applicants must only apply for funding that can reasonably be spent within 18 to 24 months. The following Technical Application requirements are summarized below but elaborated in the provided template. Failure to follow these requirements may result in removing your technical application from consideration.

1. All information supporting the evaluation criteria must be contained within 20 pages which does not include the Cover Page or the Technical Application Information Page. 2. The appendix may include additional information; however, this information will not be used by reviewers in evaluating your application past page 20. 3. The Certification Letter of Minimum Requirements must be the first page in the Appendix. 4. Times New Roman font with 12pt font size for text body and utilizing 1.15 line and paragraph spacing.

Award Procedure:

PHMSA will conduct an initial administrative review of each application to determine if it is complete and meets the minimum eligibility requirements. A panel composed of PHMSA program staff representatives and, potentially, industry experts will then conduct a technical review to evaluate and rate each application against the evaluation criteria. Cooperative agreements will be awarded to applicants having the highest merit until the available funding is exhausted.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Applications are due 45 days after the announcement is posted to www.grants.gov.

Appeals:

Not Applicable.

Renewals:

No-cost extensions of up to 90 days may be authorized by the Agreement Officer in order to complete final reports.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Percent: 30%. Cost sharing of at least 30% is required. Full proposals must include cost sharing of at least 30%. If selected for award a Cooperative Agreement is awarded by PHMSA. Section 32 (f) of The Pipeline Safety, Regulatory Certainty, and Job Creation Act of 2011 signed into law in January 2012 (P.L. 11290 January 3, 2012) amends Section 12 of the Pipeline Safety Improvement Act of 2002 (49 .S.C. 60101 note) by amending subsection (d) to add: (d)(3)(C) Funding From No-Federal Sources. The Secretary shall ensure at least 30 percent of the costs of program-wide research and development activities are carried out using non-Federal sources. The 2011 Act also amends Section 12 of the Pipeline Safety Improvement Act of 2002 (49 U.S.C. 60101 note), subsection (f), by striking 2003 through 2006. And inserting 2012 through 2015..

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The announcement will be posted to www.grants.gov and eligible applications must be submitted within 45 days. Recipients will have between 18 and 24 months from date of award to utilize the money awarded. See the following for information on how assistance is awarded/released: Agreements will facilitate academic research funding that can reasonably be spent within 18 to 24 months. Funding will be provided by Electronic Fund Transfer.

Reports:

Draft Final Report (template will be provided), Final Report and Internet Based Presentation (held remotely; template will be provided). SF-270, Request for Advance or Reimbursement, and SF-425, Federal Financial Report. Brief Quarterly Status Reporting via email (reporting categories will be conveyed) & Mid-Term Summary (phone discussion categories will be conveyed). SF-425, Federal Financial Report. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to an award must be retained for a period of three years from the date of submission of the final expenditure report.

Account Identification:

69-5172-0-2-407 - PHMSA will utilize prior year carry forward funding to obligate awards anticipated in FY13. The decision on which research accounting Budget Program Activity Code will be made at award.

Obligations:

(Salaries) FY 12 Not Available; FY 13 est \$500,000; and FY 14 est \$500,000 - This program is designed to be administered as an annual announcement with up to five awards of \$100,000 each, or up to \$500,000 for the overall annual program.

Range and Average of Financial Assistance:

The current program level of \$500,000 (five individual awards at up to \$100,000 each) is anticipated.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Jackie Naranjo and Jim Merrit Pipeline & Hazardous Materials Safety Administration, Acquisition Services Division, PHA-30
1200 New Jersey Ave, SE, Washington, District of Columbia 20590 Email: jackie.naranjo@dot.gov Phone: (202) 366-4429/ (303) 693-3117

Website Address:

<http://www.phmsa.dot.gov/pipeline>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

PHMSA has developed evaluation criteria to be used to rate and select amongst competing applications. These criteria are intended to identify projects that target high-risk areas; offer well-defined plans; and produce results that are measurable and transferable to further investigations in this or PHMSAs core research program. The technical evaluation criteria are as follows: Criterion 1: Relevance to PHMSAs Pipeline Mission - PHMSA's mission is to protect people and the environment from the risks inherent in transportation of hazardous materials - by pipeline and other modes of transportation <http://www.phmsa.dot.gov/about/mission> 1. How well does the application describe its relevance to PHMSAs Pipeline mission? (Identifying which goal areas are relevant and why) Safety: To reduce the risk of harm to people due to the transportation of hazardous materials by pipelines and other modes. Environmental Stewardship: To reduce the risk of harm to the environment due to the transportation of oil and hazardous materials by pipeline and other modes.

Reliability: To help maintain and improve the reliability of systems that deliver energy products and other hazardous materials. Global Connectivity: To harmonize and standardize the requirements for pipeline and hazardous materials transportation internationally, to facilitate efficient and safe transportation through ports of entry and through the supply chain. Preparedness and Response: To reduce the consequences (harm to people, environment, and economy) after a pipeline or hazmat failure has occurred.

Criterion 2: Scientific Merit and Quality

1. To what extent does the proposed activity suggest and explore creative and original concepts and scientific/engineering principles? 2. To what extent does the proposed research describe how the output advances a potential solution toward an identified pipeline challenge(s)? 3. To what extent does the proposed research describe quality of results? Criterion 3: Feasibility of Management Plan, Budget & Schedule. 1. How well are the research goals or objectives defined? 2. How well are the scope of work, tasks and milestones defined? 3. Does the institution have appropriate facilities to conduct the proposed work? 4. How appropriate are costs to address objectives and deliver reporting within the proposed timeline? 5. How well is the project schedule defined and tied to the management plan? 6. Are the graduate or PhD students regularly overseen by academic organization staff having the relevant knowledge and experience in the proposed area? Criterion 4: Institution Rapport and Past Performance. 1. Does the submitting institution have a positive reputation on delivering quality and timely results as proposed? (In the technical application provide three references (institution name/contact name, phone and email) on prior completed research of a similar/advanced nature to include the abstract of that work). In addition, PHMSA expects the proposed results of the projects to be factual, unbiased, verifiable, and repeatable to the extent practicable. Applicants should also be aware that a cooperative agreement will not convey any authority to recipients to secure information or cooperation from pipeline operators.

20.761 BIOBASED TRANSPORTATION RESEARCH

(Biobased R&D)

FEDERAL AGENCY:

Research and Innovative Technology Administration, Department of Transportation

AUTHORIZATION:

Safe, Accountable, Flexible, Efficient, Transportation Equity Act: A Legacy for Users of 2005 (SAFTEA- LU), Public Law 109-59, 119 Stat. 1781.

OBJECTIVES:

Carry out biobased research of national importance at the National Biodiesel Board and at research centers identified in section 9011 of the Farm Security and Rural Investment Act of 2002 (7 U.S.C. 8109).

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

The grantees will conduct research according to the provisions provided in SAFTEA- LU (Public Law 109-59, August 10, 2005 119 Stat. 1781) Subtitle B Title V Section 5101 (m) The Department's role will be to manage the grants, coordinate with the grantees to best address related DOT program needs, and ensure that no unnecessary duplication of effort exists with other DOT projects.

Applicant Eligibility:

Earmark recipients as designated in SAFTEA- LU , Public Law 109-59, August 10, 2005 119 Stat. 1781, Subtitle B Title V Section 5101 (m).

Beneficiary Eligibility:

Public and private nonprofit institutions of higher learning and industry trade groups.

Credentials/Documentation:

A formal application outlining how the institution will meet the project scope; detailed delineation of organization, staff, faculty, and budget. Costs are determined in accordance with OMB Circular A-21. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. No new grants will be awarded during the program's remaining authorization.

Award Procedure:

No new grants will be awarded during the program's remaining authorization.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 1 to 15 days. Fifteen working days from receipt of proposal.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: Percent: 20.%. The Federal share of this grant shall be 20 percent of the funds received by the grantee.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Four years as designated in: SAFTEA-LU (Public Law 109-59, August 10, 2005, 119 Stat. 1781) Subtitle B Title V Section 5101 (m). Method of awarding/releasing assistance: by letter of credit.

Reports:

Final technical report at the end of each research project. SF-270. Quarterly progress reports and annual project reports. SF-425. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Recipient is required to retain intact, for 3 years following submission of the final expenditure report, pending resolution of audit findings, all grant products, financial records, and supporting documents.

Account Identification:

69-8083-0-1-401.

Obligations:

(Project Grants) FY 12 \$0; FY 13 est \$0; and FY 14 est \$0 - FY 2012 -- This program did not receive funding for the past fiscal year.

FY2013 -- This program is not projected to receive funding in the current fiscal year 2013.

Range and Average of Financial Assistance:

The range is between \$9,000,000 - \$13,000,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: The Sun Grants continue with the feedstock development and production research for biomass fuel pathways. Several of the centers have submitted patents for different conversion techniques including enzymes and other catalytic processes. The National Biodiesel Board has developed the quality control process that certifies the quality of the biodiesel fuel being produced. This program is widely used by the industry and States use it for compliance protocols. Fiscal Year 2013: Sun Grant Centers and the National Biodiesel Board are continuing their research projects on cellulosic and biodiesel feedstock conversion and distribution. Also, the University of Tennessee cellulosic feedstock transportation study was recognized at the Transportation research Board conference and the project is being extended to be adopted on a state by state basis. Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

The Safe, Accountable, Flexible, Efficient, Transportation Equity Act: A Legacy for Users (SAFETEA-LU, Public Law 109-59), enacted in 2005, Section 5201(m).

Regional or Local Office:

None.

Headquarters Office:

Shawn Johnson, 1200 New Jersey Avenue, S.E, E33-470, Washington, District of Columbia 20590 Email: shawn.johnson@dot.gov Phone: (202) 366-1762.

Website Address:

None.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: There were no new projects funded for this fiscal year. However, there is a continuation of the feedstock development and production research for biomass fuel pathways project. Fiscal Year 2013: There are no new projects funded for this fiscal year. However, there is a continuation of the research projects on cellulosic and biodiesel feedstock conversion and distribution. Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

20.762 RESEARCH GRANTS

FEDERAL AGENCY:

Research and Innovative Technology Administration, Department of Transportation

AUTHORIZATION:

Safe, Accountable, Flexible, Efficient, Transportation Equity Act: A Legacy for Users of 2005 (SAFTEA_LU), Public Law 109-59, 423 U.S.C 117 and 502.

OBJECTIVES:

A. Rural Transportation Research Initiative, North Dakota State University & Upper Great Plains Transportation Institute must carry out the Rural Transportation Research Initiative. B. Hydrogen-Powered Transportation Research Initiative, University of Montana must carry out the Hydrogen-Powered Transportation Research Initiative. C. Cold Region and Rural Transportation Research, Maintenance, and Operations, Montana State University's Western Transportation Institute is to develop a research facility in Lewistown, Montana, for basic and applied research and testing on surface transportation issues facing rural and cold regions. D. Advanced Vehicle Technology, University of Kansas Transportation Research Institute is to research and develop advanced vehicle technology concepts, focused on vehicle emissions, fuel cells and catalytic processes, and intelligent transportation systems. E. Renewable Transportation Systems Research, University of Vermont is to research, develop and field test of hydrogen fuel cell and biofuel transportation technology. F. Alternative Fuels and Life Cycle Engineering, Rochester Institute of Technology are to conduct applied research for alternative fuel vehicle testing and analysis and manufacturing technology.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

The grantees will conduct research according to the provisions provided in SAFETEA-LU (Public Law 109-59), August 10, 2005, 119 Stat. 1406. The Department's role will be to manage the grants, provide technical collaboration, coordinate with the grantee to best address related DOT program needs and ensure that no unnecessary duplication of effort exists with other DOT projects.

Applicant Eligibility:

Legislated grants in SAFETEA-LU Section 5513(d),(g) and (i).

Beneficiary Eligibility:

All listed beneficiaries will benefit from results produced by these research efforts.

Credentials/Documentation:

A formal application outlining how the institution will meet the project scope; detailed delineation of organization, staff, faculty and budget. Costs are determined in accordance with OMB Circular No. A-21. This program is

excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. It is recommended that applicants use the procedures prescribed in grants.gov to facilitate an electronic application process. This will allow applicants the opportunity to submit all required forms, along with the necessary technical and cost proposals as prescribed in the relevant Opportunity description.

Award Procedure:

This is a Congressional earmark grant.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

See award procedures.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: Percent: 20%. There is a 20% matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

See SAFETEA-LU (Public Law 109-59) for additional information. Method of awarding/releasing assistance: by letter of credit.

Reports:

Quarterly reports. SF-425. Annual reports are required. SF-425. RITA monitors all grant awards with site visits and report reviews.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Institutions of higher education or other non-profit organizations are subject to the audit requirements contained in the Single Audit Act Amendments of 1996 (31 U.S.C. 7501-7507) and revised OMB Circular A-133.

Records:

Grantee is required to retain intact, for 3 years following submission of the final expenditure report, pending resolution of audit finding, all grant products, financial records, and supporting documents.

Account Identification:

69-1136-01-401.

Obligations:

(Project Grants) FY 12 \$0; FY 13 est \$0; and FY 14 est \$0 - No new funding but previously obligated funds enables activities to continue.

Range and Average of Financial Assistance:

\$500K to \$5M. Average \$2.25M.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: A. Rural Transportation Research Initiative Conducting two projects in support of rural transportation: Agricultural Freight Decision Support Center for the Upper Midwest and the Surface Mobility Application Real-Time Simulation Environments; B. Hydrogen- Powered Transportation Research Initiative Constructed a maglev 30 guideway for testing of two

person vehicle powered by renewable hydrogen; C. Cold Region and Rural Transportation Research, Maintenance and Operations installed wireless communications system, constructed communications tower; and pursued additional research efforts related to enhancing transportation safety in rural transportation and cold regions; D. Advanced Vehicle Technology worked on projects that could yield patentable technologies and continue investigating the thermodynamics of an Ionic Liquid-Based Absorption Refrigeration/Air-conditioning system for increased fuel efficiency and reduced emissions; E. Renewable Transportation Systems Research Completed projects that focused on hydrogen fuel cell and bio-fuel research; and F. Alternative Fuels and Life Cycle Engineering Determined the use of higher levels of Ethanol or E20 without converting engines to increase demands for more filling station and assist with neutralizing the carbon footprint. Fiscal Year 2013: Continuing two projects in support of rural transportation: Agricultural Freight Decision Support Center for the Upper Midwest and the Surface Mobility Application Real-Time Simulation Environments. Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR Part 220 Cost Principles for Educational Institutions and 2 CFR Part 215 Uniform Administrative Requirements for Grants and Agreements with Organizations.

Regional or Local Office:

None.

Headquarters Office:

Dawn Tucker-Thomas, 1200 New Jersey Avenue, E33-464, Washington, District of Columbia 20590 Email: dawn.tucker-thomas@dot.gov Phone: (202) 366-1300.

Website Address:

None.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: A. Rural Transportation Research Initiative projects include 1.) selecting, designing, and implementing a sustainable knowledge foundation needed for transportation investments, systems planning, and policy decision that enrich economic development in rural areas and 2.) develop a plan for surface mobility application real-time simulation environments. B. Cold Region and Rural Transportation Research, Maintenance and Operations grant closed. C. Hydrogen Power Research grant closed. D Renewable Transport Systems - grant closed. E. Advanced Vehicle Technology project includes promoting alternatively fueled race cars at NASCAR events and investigating thermodynamics of an ionic liquid. F. Alternative Fuels and Life Cycle Engineering grant closed. Fiscal Year 2013: Transportation research activities will continue with the Rural Transportation Research Initiative and the Alternative Fuels and Life Cycle Engineering grants. Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

20.764 HYDROGEN STORAGE RESEARCH AND DEVELOPMENT

(RIT H2 Life Cycle)

FEDERAL AGENCY:

Research and Innovative Technology Administration, Department of Transportation

AUTHORIZATION:

Safe, Accountable, Flexible, Efficient, Transportation Equity Act: A Legacy for Users of 2005 (SAFTEA-LU), Public Law 109-59, 1406 Stat. 3891.

OBJECTIVES:

To conduct research to develop hydrogen storage technologies.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

The grantee will conduct research according to the provisions provided in SAFTEA- LU (Public Law 109-59, August 10, 2005, 119 Stat. 1406) number 3891. The Department's role will be to manage the grants, provide technical collaboration, coordinate with the grantee to best address related DOT program needs and ensure that no unnecessary duplication of effort exists with other DOT projects.

Applicant Eligibility:

Legislated grant in SAFETEA-LU.

Beneficiary Eligibility:

All listed beneficiaries will benefit from results produced by these research efforts.

Credentials/Documentation:

A formal application outlining how the institution will meet the project scope; detailed delineation of organization, staff, faculty, and budget. Costs are determined in accordance with OMB Circular No. A-21. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program.

Award Procedure:

This is a Congressional earmark.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

See award procedures.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: Percent: 20.%. The Federal share of this grant shall be 20 percent of the funds received by the grantee. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

See SAFETEA- LU (Public Law 109-59) for additional information. Method of awarding/releasing assistance: by letter of credit.

Reports:

Semi-annual reports. SF-425. Semi-annual reports. SF-425. RITA monitors grant awards with site visits and report reviews.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Institutions of higher education or other non-profit organizations are subject to the audit requirements contained in the Single Audit Act Amendments of 1996 (31 U.S.C. 7501-7507) and revised OMB Circular A-133, Audits of State, Local Governments, and Non-Profit Organizations.

Records:

Grantee is required to retain intact, for 3 years following submission of the final expenditure report, pending resolution of audit finding, all grant products, financial records, and supporting documents.

Account Identification:

69-1730-0-1-407.

Obligations:

(Project Grants) FY 12 \$0; FY 13 est \$0; and FY 14 est \$0 - No new funding but previously obligated funds enables activities to continue.

Range and Average of Financial Assistance:

\$350K to \$500K.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: During fiscal year 2012, the grant recipient reported on the thermodynamics, kinetics and modeling study of MgH₂ mechanically alloyed with certain additives. They have expanded these studies to include the MgH₂/LiNH₂ system. This is a system that has been identified by Department of Energy as one with excellent potential for hydrogen storage. TPD and kinetics have been initiated on this system. Results have shown that KH is a very effective catalyst for the desorption of hydrogen from the MgH₂/2LiNH₂ system. We have also determined activation energies for the MgH₂/2LiNH₂ system with and without a KH catalyst using Kissinger analysis. Results show that the KH lowers the activation energy. Fiscal Year 2013: During this fiscal year the following work is planned:

- Continue studying hydrogen storage properties and other carbon materials
- Continue to perform absorption and desorption kinetics and modeling studies on several catalyzed based systems using constant pressure driving forces
- Use techniques to determine if dehydrogenation is accompanied by the release of other gaseous byproducts such as ammonia and diborane
- Continue with the design, fabrication and demonstration of a hydride-based hydrogen storage system that is on-going with our collaborators at the University of Delaware. Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR Part 220 Cost Principles for Educational Institutions and 2 CFR Part 215 Uniform Administrative Requirements for Grants and Agreements with Organizations.

Regional or Local Office:

None.

Headquarters Office:

Dawn Tucker-Thomas, 1200 New Jersey Avenue, S.E, Washington, District of Columbia 20590 Email: dawn.tucker-thomas@dot.gov Phone: (202) 366-8018.

Website Address:

<http://chem.desu.edu/researchCenter.htm>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

- Fiscal Year 2012: 1. Established a Center for Hydrogen Storage Research at Delaware State University for the preparation and characterization of selected complex metal hydrides and the determination their suitability for hydrogen storage.
2. Developed methods for the synthesis, characterization, and modeling of complex hydrides using a modeling system.
 3. Identified the most promising types of complex hydrides and demonstrate the optimum temperature/pressure range and sorption kinetics under a variety of conditions.
 4. Determined their cyclic suitability and develop improved sorption catalysts.
 5. Performed kinetic modeling studies and develop methods for improving kinetics and lowering reaction temperatures. Fiscal Year 2013: Work is continuing on the thermodynamic, kinetics and modeling studies of several systems of potential interest for hydrogen storage applications. Below is a listing of projects supported by this grant:
 1. Kinetics and Modeling Studies of the CaH₂/LiBH₄, MgH₂/LiBH₄, Ca(BH₄)₂ and Mg(BH₄)₂ Systems
 2. Dehydrogenation kinetics and modeling studies of mgH₂ enhanced by NbF₅ catalyst using constant pressure thermodynamic forces
 3. Effects of Nitro-Functionalization on the Gas Adsorption Properties of Isoreticular Metrol-Organic Framework-Eight
 4. Design, Fabrication and Demonstration of a Hydride-Based Hydrogen Storage for Fuel Cell Applications. Fiscal Year 2014: No Current Data

Available

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

20.802 FEDERAL SHIP FINANCING GUARANTEES

(Title XI)

FEDERAL AGENCY:

Maritime Administration (MARAD), Department of Transportation

AUTHORIZATION:

Federal Credit Reform Act, 46 U.S.C 537.

OBJECTIVES:

To provide competitive financing through the issuance of guarantees of debt issued for the purpose of financing or refinancing the construction, reconstruction or reconditioning of vessels built in United States shipyards and guarantee obligations for advanced shipbuilding technology and modern shipbuilding technology of a general shipyard facility located in the United States to stimulate commercial ship construction for domestic and export sales, encourage shipyard modernization, and support increased productivity.

TYPES OF ASSISTANCE:

GUARANTEED/INSURED LOANS

USES AND USE RESTRICTIONS:

Guarantees are available to aid in financing of: (1) advanced shipbuilding technology and modern shipbuilding technology of a general shipyard facility located in the United States, and (2) vessels (including eligible export vessels) which are designed for research or for commercial use (a) in coastwise or intercoastal trade; (b) on the Great Lakes or on bays, sounds, rivers, harbors, or inland lakes of the United States; (c) in foreign trade; (d) as an ocean thermal energy conversion facility or plantship; (e) as floating drydocks, or (f) with respect to an eligible export vessel, in world-wide trade. Any vessel of not less than 5 net tons, (other than a towboat, barge, scow, lighter, car float, canal boat or tank vessel of less than 25 gross tons) is eligible.

Applicant Eligibility:

An individual with the ability, experience, financial resources, and other qualifications necessary for the adequate operation and maintenance of a vessel or an eligible shipyard.

Beneficiary Eligibility:

U.S. and foreign shipowners, or eligible U.S. shipyards.

Credentials/Documentation:

Financial history, economic feasibility of project, operating history, citizenship of applicant. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Informal preapplication meeting is recommended. This program is excluded from coverage under OMB Circular No. A-102. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Application Form MA-163 must be filed with the Office of Marine Financing, Maritime Administration, 1200 New Jersey Avenue, S.E., Washington, DC 20590.

Award Procedure:

Final approval granted by the Maritime Administrator.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

The Maritime Administration is required to approve or deny an application within two years of receiving a signed application.

Appeals:

none.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Assistance provided as ship/shipbuilding technology is constructed and/or throughout life of ship/shipbuilding technology, but in any case not to exceed 25 years from vessel delivery or economic life of the assets being financed. See the following for information on how assistance is awarded/released: Guarantee of private-sector debt.

Reports:

Not Applicable.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. See "Index of Current Regulations," Maritime Administration.

Records:

Financial reports as per contract. Annual reports regarding citizenship, no default certificates, vessel requirements.

Account Identification:

69-1752-0-1-403.

Obligations:

(Salaries) FY 12 \$3,740,000; FY 13 est \$3,762,000; and FY 14 est \$2,655,000. (Guaranteed/Insured Loans) FY 12 \$43,531,000; FY 13 est \$49,323,000; and FY 14 est \$0

Range and Average of Financial Assistance:

Historically projects have ranged from less than \$1 million to several hundred million. (Average is not entered, because it would not be typical of the breadth of the program.)

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: In FY 2012, for administrative obligations, funds were used for monitoring existing loan guarantees to proactively prevent defaults as well as evaluate new loan applications. New loan applications were reviewed but submitted applications did not meet program requirements. Therefore no loans were guaranteed in FY2012. Fiscal Year 2013: In FY 2013, for administrative obligations, funds were used for monitoring existing loan guarantees to proactively prevent defaults as well as evaluate new loan applications. New loan applications are being reviewed but to date no new loan guarantees have been approved for FY2013. Fiscal Year 2014: Loan guarantees may be approved if funding is available in FY2014.

REGULATIONS, GUIDELINES, AND LITERATURE:

46 CFR Part 298. Booklet entitled, "Federal Ship Financing Program."

Regional or Local Office:

See Regional Agency Offices. See Maritime Administration Regional Offices listed in Appendix IV of the Catalog.

Headquarters Office:

Daniel Ladd 1200 New Jersey Avenue, S.E., Washington, District of Columbia 20590 Phone: (202) 366-1859

Website Address:

<http://www.marad.dot.gov>

RELATED PROGRAMS:

11.415 Fisheries Finance Program; 20.808 Capital Construction Fund

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No new loan applications were approved in FY2012. Fiscal Year 2013: Currently no new loan applications have been approved in FY2013. Fiscal Year 2014: Examples of loan guarantees may be available if loan guarantees are approved in FY2014.

CRITERIA FOR SELECTING PROPOSALS:

Projects which involve the construction, reconstruction or reconditioning of vessels in the United States and advanced and modern shipbuilding technology

for a general shipyard facility are eligible for Title XI financing. In order to receive approval, applicants must possess the necessary ability, experience, financial resources and other qualifications. The financial resources necessary for the project are determined by the project's costs and the financial position and resources available to the applicant. Additionally, all projects must be determined to be economically feasible before approval can be granted. Applications are generally processed with a view towards accommodating the time frame of each and every applicant.

20.803 MARITIME WAR RISK INSURANCE

(Title XII, MMA, 1936)

FEDERAL AGENCY:

Maritime Administration (MARAD), Department of Transportation

AUTHORIZATION:

Merchant Marine Act of 1936, Public Law 110-417, 46 U.S.C 539.

OBJECTIVES:

To provide war risk insurance whenever it appears to the Secretary of Transportation that adequate insurance for waterborne commerce cannot be obtained on reasonable terms and conditions from licensed insurance companies in the United States.

TYPES OF ASSISTANCE:

INSURANCE

USES AND USE RESTRICTIONS:

Insurance binders or policies on certain vessels in operation or under construction are provided for nominal cost in peacetime.

Applicant Eligibility:

All U.S. flag vessels, and certain foreign flag vessels meeting specific criteria as determined by the Maritime Administrator.

Beneficiary Eligibility:

Covered beneficiaries include vessel owners, their crews, and certain designated beneficiaries of deceased crew members.

Credentials/Documentation:

Applications, vessel data, unqualified contract of commitment, and other supporting data as required by 46 CFR Part 308. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Complete and proper filing of applications, supporting documents, and payment of appropriate binding fees or promises as specified in 46 CFR Part 308.

Award Procedure:

Binders issued only to those applicants that qualify.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 5 to 15 working days.

Appeals:

Not Applicable.

Renewals:

This program has been extended until December 31, 2015.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Indeterminate; depends on war situation and posture of the country. Method of

awarding/releasing assistance: by letter of credit.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

Not applicable in peacetime.

Account Identification:

69-4302-0-3-403.

Obligations:

(Insurance) FY 12 \$0; FY 13 est \$0; and FY 14 est \$0

Range and Average of Financial Assistance:

Applicable only in designated combat areas, subject to terms of the binder.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Rules and Regulations are set forth under 46 CFR 308 (as published under authority of Public Law 101-115) and 309 as amended from time to time in the Federal Register.

Regional or Local Office:

None.

Headquarters Office:

Michael Yarrington 1200 New Jersey Avenue, S.E., Washington, District of Columbia 20590 Phone: (202)366-1915

Website Address:

<http://www.dot.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

20.806 STATE MARITIME SCHOOLS

State Maritime Schools or Academies (SMA)

FEDERAL AGENCY:

Maritime Administration (MARAD), Department of Transportation

AUTHORIZATION:

Maritime Education and Training Act, Public Law 96-453, 46 U.S.C 51501 - 51511.

OBJECTIVES:

To educate and train future merchant marine officers at the State Maritime Academies (SMA). These graduates education and training prepares them to enter the maritime industry in numerous capacities, including crewing merchant vessels and also to be available during national emergencies or contingencies.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE; Training; USE OF PROPERTY, FACILITIES, OR EQUIPMENT

USES AND USE RESTRICTIONS:

Operation and maintenance of State Maritime Schools/Academies; maintenance and repair of training vessels loaned by Federal Government; student incentive payments to selected U.S. qualified students. Students, meeting eligibility requirements.

Applicant Eligibility:

State institutions of higher education. Assistance is limited to one maritime academy in any one State.

Beneficiary Eligibility:

Students, meeting eligibility requirements.

Credentials/Documentation:

Formal application from State Governor setting forth evidence of establishment of school; State appropriation for the school; suitable curriculum and facilities. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Letter from a State Governor to the Secretary of Transportation or the Maritime Administrator. This program is excluded from coverage under OMB Circular No. A-110. This program is excluded from coverage under OMB Circular No. A-102.

Award Procedure:

Need for training must be established. The final award is approved by the Maritime Administrator.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Federal/State contracts for assistance automatically extended year by year unless cancelled.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching Requirements: Annual assistance payment of \$4,500,000 to regional academies if matched by State appropriations and if out-of-State students are enrolled. Federal assistance consists of (1) lump-sum annual assistance payment to schools, (2) loan of vessel and payment for its maintenance, and (3) student incentive payments. States are required to show that their annual funding for the school at least matches the Federal lump sum assistance payment. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Annual payments to the schools are given on a lump-sum basis. Method of awarding/releasing assistance: lump sum.

Reports:

Monthly enrollment by class. Annual cost analysis of school operation. Other at request of the Maritime Administrator. SF-425. Monthly enrollment by class. Annual cost analysis of school operation. Other at request of the Maritime Administrator. SF-425. Monthly enrollment by class. Annual cost analysis of school operation. Other at request of the Maritime Administrator.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), Audits of States, Local Governments, and Nonprofit Organizations, nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Pertaining to each school, its officers, faculty and students, the vessel and crew, and the shore base are required to be kept for the period of employment/enrollment, plus 6 years.

Account Identification:

69-1750-0-1-403.

Obligations:

(Direct Payments for Specified Use) FY 12 \$17,100,000; FY 13 est

\$16,206,000; and FY 14 est \$17,100,000

Range and Average of Financial Assistance:

Annual assistance payment of \$400,000 to each regional school provided State matches funds and admits eligible out-of-state students. An allowance of \$8,000 per academic year, not to exceed 4 years, are given to selected students in good standing in the Student Incentive Payment (SIP) program.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Graduation occurred for over 650 licensed mariners to meet economic and national security needs of the nation. Fiscal Year 2013: Accomplishments will be similar to FY2012. Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

46 CFR 310A; Standard college catalogs are available from the schools listed below .

Regional or Local Office:

See Regional Agency Offices. (a) Federal Government: none; (b) standard information for prospective students: Maine Maritime Academy, Castine, ME 04421; Massachusetts Maritime Academy, Buzzards Bay, MA 02532; State University of New York Maritime College, Fort Schuyler, NY 10465; Texas Maritime Academy, Galveston, TX 77550; California Maritime Academy, Vallejo, CA 94591; Great Lakes Maritime Academy, Traverse City, MI 49684.

Headquarters Office:

Anne Wehde 1200 New Jersey Avenue, S.E., Washington, District of Columbia 20590 Phone: (202) 366-5469.

Website Address:

<http://www.dot.gov>.

RELATED PROGRAMS:

11.417 Sea Grant Support; 20.807 U.S. Merchant Marine Academy

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: SMA Program consisting of SIP Program 2.4M; Direct Support Payment 3.6M and Schoolship Maintenance Funded at 11.1 M Total for the SMA Program \$17.1M. Fiscal Year 2013: FY2013 projects will be similar to FY2012. Fiscal Year 2014: FY2014 projects will be similar to FY2013.

CRITERIA FOR SELECTING PROPOSALS:

See Credentials/Documentation section of this program.

20.807 U.S. MERCHANT MARINE ACADEMY

(Kings Point)

FEDERAL AGENCY:

Maritime Administration (MARAD), Department of Transportation

AUTHORIZATION:

Maritime Education and Training Act, Public Law 46-51301-51316.

OBJECTIVES:

To educate and train merchant marine officers.

TYPES OF ASSISTANCE:

TRAINING

USES AND USE RESTRICTIONS:

This program is a federally operated maritime academy providing education, training, subsistence, books, quarters, uniforms, medical care, and program travel to its U.S. citizen students. Appropriations are restricted to the above and the operation and maintenance of the school. International students may attend, at the expense of their sponsoring nation. Federal education grants and/or student loans are available to cover the cost of midshipman fees for supplies and services of a personal nature (estimated at a total of \$3,014 over four years for the Class of 2016).

Applicant Eligibility:

High school graduates who are U.S. citizens and international students in accordance with legislation. In general, criteria are similar to those generally used for college admission.

Beneficiary Eligibility:

High school graduates who are U.S. citizens and eligible international students.

Credentials/Documentation:

Usual documents for college admission and a comprehensive physical examination. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

U.S. citizens desiring appointment to the school apply to Senate or House of Representative members or Maritime Administrator for nomination. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Nominating officials submit nominations to school's Office of Admission. Selection is competitive and merit-based.

Award Procedure:

Appointment approved by the Maritime Administrator.

Deadlines:

Aug 01, 2013 to Jan 31, 2014: Nominations. Nominations must be submitted by January 31 of the year preceding that in which admission is desired. Applications must be submitted by March 1 of the year in which admission is desired.

Range of Approval/Disapproval Time:

From 3 to 8 months.

Appeals:

To the school.

Renewals:

Applicants not accepted may reapply the following year.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Students are furnished with required uniforms and textbooks during their tenure at the Academy. Federal education grants and/or student loans are available to cover the cost of midshipman fees for supplies and services of a personal nature. See the following for information on how assistance is awarded/released: Federal grants or loans are distributed in accordance with US Department of Education policies (usually at the start of each academic term).

Programs:

Program reports are submitted by the request of the Maritime Administration. SF-425. At the request of the Maritime Administration. SF-425. At the request of the Maritime Administration.

Audits:

Not Applicable.

Records:

Copies will be furnished at the request of the Maritime Administration. Those pertaining to its officers, faculty, staff and midshipmen shall be kept for the period of enrollment plus eight years.

Account Identification:

69-1750-0-1-403.

Obligations:

(Training) FY 12 \$85,168,000; FY 13 est \$77,253,000; and FY 14 Estimate Not Available

Range and Average of Financial Assistance:

Annual assistance payment of \$400,000 to each regional school provided State matches funds and admits eligible out-of-state student. An allowance of \$48,000 per academic year, not to exceed 4 years, payment to selected students in good standing in the student incentive payment program.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: In FY 2012, USMMA graduated 219 new licensed merchant marine officers in the Class of 2012, and welcomed 276 new Midshipmen in the Class of 2016. USMMA issued a Strategic Plan in mid-summer, outlining its strategic goals and objectives for the next five years. USMMA continued its capital repair and modernization efforts, initiating work on Mallory Pier (the primary berthing location for its training vessels), the Delano Hall galley (the commercial kitchen servicing the midshipman dining facility), and Rogers Hall (the fifth of six student dormitories to be rehabilitated) The USMMA successfully completed its five-year periodic accreditation review by the Middle States Commission on Higher Education, and made a number of key hires (Superintendent, Academy Counsel, Risk Management Officer, Director of External Affairs, and Sexual Assault Response Coordinator). Fiscal Year 2013: In FY 2013, USMMA intends to graduate approximately 210 new licensed merchant marine officers in the Class of 2013, and welcome 289 new Midshipmen in the Class of 2017; meet milestones for completion of renovations to Mallory Pier, Delano Hall, and Rogers Hall; complete a comprehensive Building Evaluation Report; and initiate rehabilitation on Cleveland Hall (the sixth and final student dormitory); and continue to undertake design studies and initiate renovations to numerous academic and infrastructure systems on campus. Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

46 CFR 310 Subpart C; Standard college catalogs and brochures.

Regional or Local Office:

None. Director of Admissions
U.S. Merchant Marine Academy
300 Steamboat Road, Admissions Center
Kings Point, New York 11024-1699

USMMA Admissions Office and Field Representatives listed in the Catalog.

Headquarters Office:

Anne Wehde 1200 New Jersey Avenue, S.E., Washington, District of Columbia 20590 Email: anne.wehde@dot.gov Phone: (202) 366-5469.

Website Address:

<http://www.dot.gov>.

RELATED PROGRAMS:

11.417 Sea Grant Support; 20.806 State Maritime Schools

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: For FY 2012: the following projects occurred; instructional program requirements (\$3.9 million); midshipman program needs (including food, uniforms, travel, health services) (\$9.5 million); information technology (\$5.8 million); program administration (including security, admissions, general operations) (\$2.1 million); campus operations (including utilities, janitorial, and support services) (\$7.2 million); facilities maintenance and repair (\$5.9 million); Rogers Hall dormitory renovation (\$15.0 million); deferred and capital repair projects (\$2.0 million); faculty and staff compensation (\$33.8 million). Fiscal Year 2013: For FY 2013 (Presidents Budget): instructional program requirements (\$4.9 million); midshipman program needs (including food, travel, health services) (\$10.2 million); information technology (\$5.8 million); program administration (including security, admissions, general operations) (\$2.1 million); campus operations (including utilities, janitorial, and support services) (\$7.2 million); facilities maintenance and repair (\$3.1 million); capital repair projects (\$10.0 million); and faculty and staff compensation (\$34.3 million). Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

See Credentials/Documentation section of this program.

20.808 CAPITAL CONSTRUCTION FUND

(CCF)

FEDERAL AGENCY:

Maritime Administration (MARAD), Department of Transportation

AUTHORIZATION:

Merchant Marine Act of 1936, Section 607, Public Law 91-469, 1018 Stat. , 46 U.S.C 53501 - 53517.

OBJECTIVES:

To provide for replacement vessels, additional vessels or reconstructed vessels, built and documented under the laws of the United States for operation in the United States foreign, Great Lakes, Marine Highways or noncontiguous domestic trades.

TYPES OF ASSISTANCE:

Provision of Specialized Services

USES AND USE RESTRICTIONS:

The capital construction fund program is a program created to help rejuvenate the American Merchant Marine. The assistance provided is not through payment of appropriated funds, but rather allows the fund holder to defer a portion of tax monies that would otherwise be paid to the U.S. Government during the tax year. The extension of tax deferral privileges encourages the accomplishment of the program objective by allowing the fund holder to accumulate and use otherwise taxable earnings for the purposes of acquiring, constructing or reconstructing vessels built and documented in the United States and operated in the United States foreign, Great Lakes or noncontiguous domestic trade and in the fisheries.

Applicant Eligibility:

An applicant must be a U.S. citizen, own or lease one or more eligible vessels, have a program for the acquisition, construction or reconstruction of a qualified vessel and demonstrate the financial capabilities to accomplish the program.

Beneficiary Eligibility:

None.

Credentials/Documentation:

Be able to provide proof of requirements called for in the Applicant Eligibility section of this program. This program is excluded from coverage under OMB Circular No. A-87. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Contact the Maritime Administration, Office of Financial Approvals and Marine Insurance. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. See Federal Register Notice (46 CFR Part 390, Appendix I) dated January 29, 1976. Persons seeking to enter into a Capital Construction Fund Agreement may make application by letter to the headquarters office shown below.

Award Procedure:

The Office of Financial Approvals and Marine Insurance reviews the application to determine the citizenship of the applicant, whether the applicant owns or leases one or more eligible vessels, has an acceptable program for the acquisition, construction or reconstruction of a qualified vessel and has the financial capability to accomplish the program. Approved by the Associate Administrator for Business and Finance Development.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 90 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Depends upon the individual program agreed to between the Maritime Administration and the applicant. Method of awarding/releasing assistance: by

letter of credit.

Reports:

Annual reports specified in the agreement and the rules and regulations. SF-425. Annual reports specified in the agreement and the rules and regulations. SF-425. Annual reports specified in the agreement and the rules and regulations.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. All financial records are subject to audit by the Internal Revenue Service and/or the Maritime Administration, Department of Transportation.

Records:

See Reports.

Account Identification:

69-1750-0-1-403.

Obligations:

(Provision of Specialized Services) FY 12 \$0; FY 13 est \$0; and FY 14 est \$0 - There are no obligations. The company's own funds are used for the program.

Range and Average of Financial Assistance:

Applicant receives tax benefits for depositing assets in accordance with the program.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Capital Construction Fund Agreement; Parts 390 and 391, Title 46, CFR; Part 3, Title 26, CFR.

Regional or Local Office:

See Regional Agency Offices. See Maritime Administration Regional Offices listed in Appendix IV of the Catalog.

Headquarters Office:

George M. Zoukee 1200 New Jersey Avenue, S.E., Washington, District of Columbia 20590 Phone: (202)366-9595

Website Address:

<http://www.dot.gov>.

RELATED PROGRAMS:

20.802 Federal Ship Financing Guarantees; 20.812 Construction Reserve Fund

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

See Award Procedure section of this program.

20.812 CONSTRUCTION RESERVE FUND

(CRF)

FEDERAL AGENCY:

Maritime Administration (MARAD), Department of Transportation

AUTHORIZATION:

Merchant Marine Act of 1936, Section 511, Public Law 74-835, 46 U.S.C 1161.

OBJECTIVES:

To promote the construction, reconstruction, reconditioning, or acquisition of merchant vessels built and documented under the laws of the United States for purposes of national defense and the development of U.S. commerce.

TYPES OF ASSISTANCE:

Provision of Specialized Services

USES AND USE RESTRICTIONS:

The Construction Reserve Fund (CRF), authorized under Section 511 of the Act, is a financial assistance program which provides tax deferral benefits to U.S. flag operators. The CRF permits the fundholder to deposit gains attributable to the sale of, or indemnification for, loss of vessels and to defer tax on such gains provided the gains are to be reinvested in vessels. Also the CRF allows the accumulation of earnings from operations of vessels documented in

the U.S., or the earnings from the investment of the Fund. The ability to accumulate funds and the tax deferral provision have enabled fundholders to construct or reconstruct in the United States, and documented under the laws of the United States, larger and better-equipped vessels, to reduce mortgage debt on the vessels, and to construct a greater number of vessels than would be possible without the program.

Applicant Eligibility:

A Construction Reserve Fund (CRF) may be established by any citizen of the United States who owns, in whole or in part, a vessel or vessels operating in the foreign or domestic commerce of the U.S., or in the fisheries. Additionally, any citizen who is operating such vessel or vessels owned by another individual may establish a CRF.

Beneficiary Eligibility:

Any citizen of the United States who owns, in whole or in part, a vessel or vessels operating in the foreign or domestic commerce of the U.S. or in the fisheries. Additionally, any citizen who is operating such vessel or vessels owned by another individual.

Credentials/Documentation:

Be able to provide proof of requirements called for in Applicant Eligibility section of this program. The program is excluded from coverage under OMB Circular No. A-87. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Contact the Maritime Administration, Office of Financial Approvals and Marine Insurance. This program is excluded from coverage under OMB Circular No. A-102. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. You may manually enter in any other application procedures not found above See Federal Register Notice (46 CFR 287.4). Persons seeking to establish a Construction Reserve Fund may make application by letter to the headquarters office shown below. This program is excluded from coverage under OMB Circular No. A-102 and A- 110.

Award Procedure:

Review of the application by the Office of Financial Approvals and Marine Insurance to determine citizenship of the applicant, if applicant is owner or operator of the vessel and if the proposed objective of construction, reconstruction or acquisition is acceptable.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Depends on each individual program agreed upon between the Maritime Administration and the applicant. Method of awarding/releasing assistance: by letter of credit.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

See Reports section of this program.

Account Identification:

69-1750-0-1-403.

Obligations:

(Direct Payments for Specified Use) FY 12 \$0; FY 13 est \$0; and FY 14 est \$0 - Private shipping firm's own funds are used for the program.

Range and Average of Financial Assistance:

Defer tax on gains by depositing the gains attributable to the sale of or indemnification for loss of vessels in accordance with the program.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: In 2012, over \$70,000,000 in new vessel construction was undertaken with funds deposited in CRFs. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Part 287, Title 46 CFR; Order and Form of Resolution which outlines details pertaining to the establishment and maintenance of the Construction Reserve Fund.

Regional or Local Office:

See Regional Agency Offices. Offices listed in appendix IV of the Catalog.

Headquarters Office:

Judy Bowers 1200 New Jersey Avenue, S.E., Washington, District of Columbia 20590 Email: judy.bowers@dot.gov Phone: (202) 366-1913 Fax: (202) 366-3029

Website Address:

<http://www.marad.dot.gov>.

RELATED PROGRAMS:

20.802 Federal Ship Financing Guarantees; 20.808 Capital Construction Fund

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: In 2012, Crowley Holdings, Inc. took delivery of the Articulated Tug-Barge Alert/750-2, which transports over 325,000 barrels of petroleum products to Florida every week. The vessel was built using funds in Crowleys CRF. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Review of the application by the Office of Financial Approvals and Marine Insurance to determine citizenship of the applicant, if applicant is owner or operator of the vessel and if the proposed objective of construction, reconstruction or acquisition is acceptable.

20.813 MARITIME SECURITY FLEET PROGRAM

(MSP)

FEDERAL AGENCY:

Maritime Administration (MARAD), Department of Transportation

AUTHORIZATION:

The Maritime Security Act of 2003, Public Law 108-136.

OBJECTIVES:

The MSP program helps sustain a fleet of 60 active, commercially viable, militarily useful, privately-owned vessels operating under U.S. registry to meet national defense and other security requirements. Participating operators are required to make their ships and commercial transportation resources available upon request by the Secretary of Defense during times of war or national emergency.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

Vessel's Operations restricted to U.S. foreign trade.

Applicant Eligibility:

U.S. citizens and operators of U.S. flag vessels .

Beneficiary Eligibility:

Ownership and operation of vessels and facilities useful to the United States in

time of war or national emergency.

Credentials/Documentation:

Ownership of U.S. documented vessels. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Solicitation for applications in the Federal Register.

Award Procedure:

Office of Sealift Support and the Department of Defense review applications against criteria established in the Maritime Security Act of 2003.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

90 Days from closing of application.

Appeals:

Decisions concerning administration of the Maritime Security Program will be referred to the Maritime Administrator.

Renewals:

Program is based on 1-year contracts renewable each year, subject to funding, and not to extend past fiscal year 2015.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

One year contracts, renewable yearly, payable in monthly installments. Method of awarding/releasing assistance: by letter of credit.

Reports:

Not Applicable.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. Maritime Security Program subject to both internal and external audit. These audits will be made by the Office of the Inspector General (DOT) in accordance with the General Accounting Office guidelines, "Standards for Audit of Government Organizations, Programs, Activities and Functions," and additional OMB guidance.

Records:

Vouchers on a monthly basis.

Account Identification:

69-1711-0-1-054.

Obligations:

(Direct Payments for Specified Use) FY 12 \$117,400,000; FY 13 est \$167,300,000; and FY 14 est \$186,000,000

Range and Average of Financial Assistance:

\$3.1 million per vessel per year (FY 2012-2015).

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Maintained the full authorized fleet level of 60 ships in MSP; nine of the 60 ships were replaced with newer, more modern ships. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

46 CFR Part 296.

Regional or Local Office:

None. Director, Office of Sealift Support.

Headquarters Office:

Bill Kurfehs 1200 New Jersey Avenue, S.E. , Washington, District of Columbia 20590 Phone: (202) 366-2318.

Website Address:

<http://www.marad.dot.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: The 60 ships in the MSP received payments up to \$3.1 million per ship during 2012 for operation in U.S. international trade. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

(1) Vessel is required to maintain U.S.-flag presence in foreign commerce and/or necessary for meeting military sealift requirements; (2) Applicant possesses operational expertise and intermodal assets useful to the United States in meeting its sealift requirements; (3) Maritime Security Program participants are required to commit sealift and intermodal capacity to the Emergency Preparedness Program (EPP), approved by the Secretary of Defense (SECDEF).

20.814 ASSISTANCE TO SMALL SHIPYARDS

(Small Shipyard Grants)

FEDERAL AGENCY:

Maritime Administration (MARAD), Department of Transportation

AUTHORIZATION:

Section 3508 National Defense Authorization Act, Public Law 110-417.

OBJECTIVES:

To award grants for capital improvements and related infrastructure improvements at qualified shipyards that will facilitate the efficiency, cost effectiveness, and quality of domestic ship construction for commercial and Federal Government use.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

For capital and related infrastructure improvements. Cannot be used for physical facility construction or land acquisition unless specifically approved by Administrator as consistent with capital and infrastructure improvements. Federal funds cannot exceed 75 percent of project cost unless (1) cost is less than \$26,075, or (2) determination is made that project merits support and requires additional assistance. Cannot be used for physical facility construction or land acquisition unless specifically approved by the Administrator as consistent with capital infrastructure improvements. Federal funds cannot exceed 75 percent of project costs unless a determination is made that project merits support and requires additional assistance.

Applicant Eligibility:

Either a shipyard or a State or local government on behalf of a shipyard can apply. The shipyard must be one in a single geographical location, located in or near a maritime community, that (1) is a small business concern within the meaning of section 3 of the Small Business Act (15 U.S.C. 632); and (2) does not have more than 600 production employees. Other factors taken into account when grants are awarded will be (a) the economic circumstances and conditions of the maritime community near to which a shipyard is located; and (B) the local, State and regional economy in which such community is located.

Beneficiary Eligibility:

Shipyard.

Credentials/Documentation:

As required by application. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. SF-424 application form must be filed with the Maritime Administration. There are specific agency instructions for additional information which should be provided as an addendum to Form SF-424. These instructions were published in the Federal Register on January 10, 2008, in Vol.73, No. 7, pp.1912-1913. Applicants can go to www.grants.gov to apply.

Award Procedure:

Awards will be made by the Maritime Administrator in such amounts and under such conditions as he determines.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 120 to 180 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: Percent: 25.%. Public Law 110-417 provides that no more than 75 percent of a project's cost may be paid from Federal Funds.

This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

To be individually determined based on project. Method of awarding/releasing assistance: quarterly.

Reports:

No program reports are required. SF-425 is required. There will be Progress Reports, Annual Reports, and/or Status Reports depending on the nature of the project and the period of time it is expected to take. No expenditure reports are required. Monitoring is based done by the audit requirements. Grants over \$500,000 have mandatory audits.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Recipient is required to retain intact, for 3 years following submission of the final expenditure report, pending resolution of audit findings, all project contract documents, financial records and supporting documents.

Account Identification:

69-1770-0-1-021.

Obligations:

(Project Grants) FY 12 \$9,980,000; FY 13 est \$9,457,966; and FY 14 est \$0

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: The accomplishments for 2012 are as follows:

The purchase(s) of a welding fume and particulate extract system; a rubber tire gantry crane; a robotic plasma pipe-cutting machine, an air compressor, personal lift and mobile grit recovery system; a two 20-ton tower cranes, two 45-foot manlifts and a 14,000 CFM dust collection system; a water-jet cutting table- a hydraulic swing beam shear- lighting enhancements, a jib crane column replacement, and welding equipment; a pipe and tube bending system, two forklifts; a 50-ton rubber tire gantry crane; wind turbines and towers; lighting, a burn table for automatic cutting, a scissor lift, welding equipment, scaffolding, and a high pressure lifting skid; and a one-side welding system. There were four (4) modernization projects in 2012, as follows: a shipyard infrastructure upgrades, modernize and upgrade their 14,000-ton drydock, a drydock

modernization and upgrade of a 260-foot 10,000-ton floating drydock. Fiscal Year 2013: Currently several million dollars in projects are being awarded in FY2013. See example of projects in section 170 for award activities. Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Federal Register publication on January 10, 2008 in Vol.73, No.7, pp.1912-1913. Implementing legislation: Section 3506 of the National Defense Authorization Act for Fiscal Year 2006 (Public Law 109-163) and section entitled "Assistance to Small Shipyards" in Consolidated Appropriations Act, 2008.

Regional or Local Office:

None.

Headquarters Office:

David.Heller 1200 New Jersey Ave, Room W21-318
, Washington, District of Columbia 20590 Email: David.heller@dot.gov
Phone: (202) 366-5737 Fax: (202) 366-6988.

Website Address:

<http://www.marad.dot.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: The following projects occurred in FY2012. 1. Allen Marine, Inc. (Sitka, Alaska) - will use a \$163,500 grant to purchase a Welding Fume and Particulate Extraction System needed to meet Occupational Safety and Health Administration requirements for air changes per minute and to maintain the proper environment for aluminum welding operations.

2. Bludworth Marine, LLC (Houston, Texas) - will use a \$610,266 grant to purchase a rubber tire gantry crane to lift and transport barges, large modules, and materials throughout the yard.

3. Bollinger Shipyards, Lockport (Lockport, Louisiana) - will use a \$188,887 grant to purchase a robotic plasma pipe-cutting machine for fast and accurate pipe cutting, a big top tent structure to protect a vessel structure from the environment and to protect the environment from contaminants, and an induction heating distortion control system to reduce plate deflection and distortion.

4. Chesapeake Shipbuilding Corporation (Salisbury, Maryland) - will use a \$423,752 grant to purchase air compressors, personnel lifts, and a mobile grit recovery system to remove blasting grit from buildings and outfitting areas. In addition, the company will implement a cross training program to improve skill sets and utilize existing workforce more efficiently.

5. Conrad Shipyard, LLC (Amelia, Louisiana) - will use a \$1,117,019 grant for drydock modernization and upgrade to expand their 280-foot 10,000-ton floating drydock by 2,500 tons in capacity and 70 feet in length.

6. Detyens Shipyards, Inc. (Charleston, South Carolina) - will use a \$1,176,776 grant to purchase two 20-ton tower cranes that will be set at both ends of an existing drydock to facilitate increased and safer service to the drydock.

7. Foss Maritime Company, Foss Seattle Shipyard (Seattle, Washington) - will use a \$578,402 grant to purchase two 45-foot manlifts, a 14,000 CFM dust collection system to allow the yard to blast and paint without contaminating the atmosphere, a 3-ton forklift, and shelters for additional work overflow.

8. Duclos Corporation DBA Gladding-Hearn Shipbuilding (Somerset, Massachusetts) - will use a \$389,195 grant to fund shipyard infrastructure upgrades.

9. Gulf Marine Repair Corporation (Tampa, Florida) will use a \$1,083,055 grant to modernize and upgrade their 14,000-ton drydock.

10. Kvichak Marine Industries (Seattle, Washington) - will use a \$987,307 grant to purchase a water-jet cutting table to cut and bevel ferrous and

non-ferrous plates, a hydraulic swing beam shear for accurate cutting in place of skill saws and band saws, lighting enhancements, a jib crane column replacement, and welding equipment. In addition, the grant will fund a training program in boatbuilding skills.

11. LEEVAC Shipyards, LLC (Jennings, Louisiana) - will use a \$667,324 grant to purchase a pipe and tube bending system that will bend pipes to the correct geometry required, eliminating the need to weld pipe sections by hand.

12. Liquid Waste Technology, LLC (New Richmond, Wisconsin) - will use a \$558,843 grant to purchase two forklifts, a 50-ton rubber tire gantry crane for transporting dredges and modules throughout the yard, and a training simulator room with two computers and software.

13. Navatek Ship Construction (Honolulu, Hawaii) - will use a \$248,805 grant to purchase wind turbines and towers that will provide clean renewable electrical service to the yard.

14. The Great Lakes Towing Company DBA Great Lakes Shipyard (Cleveland, Ohio) - will use a \$1,068,474 grant to purchase lighting, a burn table for automatic cutting, a scissor lift, welding equipment, scaffolding, a high pressure lifting skid to lift and move heavy components, boom lift, generator, and a self-propelled transporter to move vessels and modules throughout the yard.

15. Trinity Industries, Inc. (Caruthersville, Missouri) - will use a \$718,395 grant to purchase a one-side welding system that will fabricate stiffened panels using a butt-welding station, a stiffener fitting station and a stiffener welding station. Fiscal Year 2013: The following projects are expected for FY 2013.

1. All American Marine, Bellingham WA will use \$999,100 for overhead cranes, welding machines, CNC router, scissors lifts, painting area upgrades, ventilation upgrades.
2. Blount Boats, Warren RI will use \$680,272 for metal working equipment, engineering software, big top portable work shelter, material handling enhancements.
3. C&C Marine and Repair, Belle Chasse LA will use \$999,920 for a 220-ton hydraulic crane.
4. Chesapeake Shipbuilding Corp., Salisbury MD will use \$559,686 for industrial gas system, compressed air system, and mobile crane.
5. Conrad Orange Shipyard, Orange TX will use \$686,539 for shipyard electrical upgrades.
6. Dorchester Shipyard, Inc., Dorchester NJ will use \$945,800 for a new floating dry dock.
7. International Ship and Repair & Marine Services, Tampa FL will use \$980,260 for a 275-ton crawler crane.
8. Jeffboat, LLC, Jeffersonville IN will use \$845,817 for redesign and modernization of the existing vessel line three.
9. Lyon Shipyard, Norfolk VA will use \$779,168 for Computer Numerically Controlled (CNC) lathe.
10. North Florida Shipyard, Jacksonville FL will use \$459,160 for waste water treatment and recycling system.
11. Seacraft Shipyard LLC, Amelia LA will use \$1,100,000 for a marine travelift.
12. Thames Shipyard and Repair Co., New London CT will use \$422,264 for flow UHP system. Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

(1) How well the project will facilitate the efficiency, cost-effectiveness, and quality of domestic ship construction for commercial and Federal Government use, and (2) the economic factors set forth in section 081 above.

20.817 AIR EMISSIONS AND ENERGY INITIATIVE

FEDERAL AGENCY:

Maritime Administration (MARAD), Department of Transportation

AUTHORIZATION:

National Defense Authorization Act, 2010, Title 49, Section 109, 49 U.S.C 109.

OBJECTIVES:

MARAD will use projects results and data for a variety of purposes including

further support of air emissions reduction research, demonstration, and pilot projects. In addition, the result will be used to evaluate the public benefits of providing incentives to adopt new technologies and alternative energy in the maritime sector.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

This funding will be used to fund studies in the development of air emissions reduction and alternative fuels/ technologies that will be used to evaluate public benefits of providing incentives to adopt new technologies and alternative energy in the maritime sector.

Applicant Eligibility:

Eligible applicants include vessel owners, operators, or public sponsors. Shore side equipment upgrade or shore power projects are not eligible for funding.

Beneficiary Eligibility:

The benefit of this project will be for the federal government as well as anyone in the general public.

Credentials/Documentation:

Proposals submitted with Statement of Work and estimated budget Costs will be determined in accordance with OMB Circular Nos. A-87 for State and Local Governments and A-122 for Non-Profit and For-Profit Organizations. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program.

Award Procedure:

MARAD solicits a request for proposals (RFP) in grants.gov and applicants for assistance submit proposals through grants.gov. Evaluation of proposals is then conducted by the program office and selected proposals are then awarded assistance by the Grants Specialist in the Office of Acquisition.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

This program has no matching requirements. This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The funding is available for one year after date of award. See the following for information on how assistance is awarded/released: Funds are disbursed on a quarterly basis by submission of SF-270 invoices.

Reports:

No program reports are required. No cash reports are required. Reports are in accordance with the terms and conditions of each cooperative agreement. The recipient shall provide Financial Status report, SF-425. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000

or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Record keeping requirements are in accordance with the terms and conditions of each Cooperative Agreement.

Account Identification:

69-1750-0-1-403.

Obligations:

(Cooperative Agreements) FY 12 \$2,949,682; FY 13 est \$1,500,000; and FY 14 Estimate Not Available

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: In FY 2012, MARAD conducted performance and endurance testing of hydrogenated renewable diesel (HRD). The crude feed stock for this HRD is produced from the fermentation of sugar in Sorghum/sugar cane and then refined. Like the tests conducted in FY 2011, these tests included performance and endurance tests, exhaust emission monitoring, pre- and post-test power plant evaluation and fuel and lube oil analysis. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: Not Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Judy Bowers 1200 New Jersey Avenue, S.E., Washington, District of Columbia 20590 Email: judy.bowers@dot.gov Phone: (202) 366-1913 Fax: (202) 366-3029

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Foss Maritime Company Air Emission and Energy Initiative
SE Missouri Regional Planning Comm Air Emission and Energy Initiative
Puget Sound Clean Air Agency Air Emission and Energy Initiative (Nineteen Tasks). Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Applicants must demonstrate a reduction of emissions of nitrogen oxides (NOx), sulfur oxides (SOx), particulate matter (PM), or carbon through an approved emission testing scheme. Data such as emissions, costs, and fuel consumption must be made available to MARAD and can be used publicly. Shore side equipment updrage or shore power projects are not eligible for funding.

20.818 GREAT SHIPS INITIATIVE

FEDERAL AGENCY:

Maritime Administration (MARAD), Department of Transportation

AUTHORIZATION:

Defense Authorizations Act of 2011, 31 U.S.C 1535(a) (3).

OBJECTIVES:

The Great Ships Initiative is a collaboration whose objective is to end the problem of ship-mediated invasive species in the Great Lakes-St. Lawrence Seaway System. The near term objective is to significantly accelerate research, development and implementation of ballast water treatment system for ships that operate in the Great Lakes.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

The funding for this project will be used to further the current efforts that address the curtailment of aquatic invasive species within the Great Lakes as associated with ballast water operations and other aquatic invasive species issues associated with commercial shipping.

Applicant Eligibility:

Applicants must have the following capabilities and resources: A current EPA-approved Quality Management Plan (QMP). The facilities and equipment for conducting biological analyses to determine concentrations of living organisms in three size-classes (less than 10 microns, between 10 and 50 microns, greater than 50 microns) at the levels of the proposed USCG discharge standard. A mix of personnel available for engineering support and for conducting biological analyses according to standard protocols. The ability to write and follow a rigorous quality assurance plan that maximizes the production of credible results.

Beneficiary Eligibility:

Eligible beneficiaries include those entities defined in OMB A-102 and OMB Circular A-122.

Credentials/Documentation:

Applications must be submitted with a Statement of Work and estimated budget costs. These costs will be determined in accordance with OMB Circular No. A-122 for Non-Profit and For-Profit Organizations. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program.

Award Procedure:

MARAD will solicit a request for applications in grants.gov; applicants will submit applications through grants.gov. Evaluation of applications by program office, selected applications awarded by the Grants Specialist in the Office of Acquisition within 30-60 days.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. This program has no matching requirements. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The funding is available for one year after the date of award of individual tasks. The funds must be spent within one year. See the following for information on how assistance is awarded/released: Funds are disbursed on a monthly basis by submission of SF-270 invoices.

Reports:

Program progress report shall be done every six months. No cash reports are required. Reports are in accordance with the terms and conditions of the Cooperative Agreement. Yes, the recipient shall provide Financial Status report, SF-425. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June

27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Record keeping requirements are in accordance with the terms and conditions of the Cooperative Agreement.

Account Identification:

69-1750-1-2-121.

Obligations:

(Salaries) FY 12 Not Available(Exp: Funds were not obligated in FY12); FY 13 est \$1,350,000; and FY 14 Estimate Not Available - Beyond years estimates are not available. Future funding is subject to availability of funds.

Range and Average of Financial Assistance:

N/A.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: This is a new CFDA number funds were not obligated in FY 2012. Fiscal Year 2013: The program is currently in the pre-award solicitation process. Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Judy Bowers 1200 New Jersey Avenue, S.E., Washington, District of Columbia 20590 Email: judy.bowers@dot.gov Phone: (202) 366-1913 Fax: (202) 366-3029

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applicants must be able to provide scientific and technical expertise and services for biological research activities.

20.819 BALLAST WATER TREATMENT TECHNOLOGIES

FEDERAL AGENCY:

Maritime Administration (MARAD), Department of Transportation

AUTHORIZATION:

National Defense Authorization Act 2012, 49 U.S.C 109.

OBJECTIVES:

MARAD will use the projects results and data for a variety of purposes including to further the current efforts that address the curtailment of aquatic invasive species within the Chesapeake Bay and coastal waters throughout the United States as associated with ballast water operations of commercial shipping.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

The Cooperative Agreement seeks to support the Evaluation and Verification of Ballast Water Treatment Technologies and other Green Shipping Initiatives.

Applicant Eligibility:

The assistance will be used to support the Evaluation and Verification of Ballast Water Treatment Technologies and other Green Shipping Initiatives.

Applicants must be able to provide technical services, equipment and to support

the testing, evaluation, and demonstration of treatment methods, practices, systems and equipment. Technical efforts will be primarily directed towards the evaluation of the effectiveness of ballast water treatment technologies and systems at shipboard/barge-based and shore side facilities fabricated to simulate the in-tank configuration(s) and flow requirements typical of commercial vessels.

Beneficiary Eligibility:

Beneficiary eligibility include State, Public nonprofit institution/organization, other public institution/organization, Private nonprofit institution/organization, and Education Professional.

Credentials/Documentation:

Applications submitted with Statement of Work and estimated budget costs will be determined in accordance with OMB Circular No. A-122 for Non-Profit and For-Profit Organizations. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program.

Award Procedure:

MARAD solicits a request for applications, in grants.gov, applicants submit applications through grants.gov. Evaluation of applications by program office, selected applications awarded by the Grants Specialist in the Office of Acquisition within 30-60 days.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 1 to 15 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The funding is available for one year after the date of award of individual tasks. See the following on how assistance is awarded/released. Funds are disbursed on a monthly basis by submission of SF-270 invoices.

There will be several tasks to be funded under this project, the period of performance and funding for each task will all be within the time frame of the entire project which will be for four (4) years, FY 2013-2017. See the following for information on how assistance is awarded/released: Monthly.

Reports:

No program reports are required. No cash reports are required. Reports are in accordance with the terms and conditions of the Cooperative Agreement. The recipient shall provide Financial Status report, SF-425. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Record keeping requirements are in accordance with the terms and conditions of the Cooperative Agreement.

Account Identification:

69-1750-7-0-101.

Obligations:

(Salaries) FY 12 Not Available(Exp: This is a new program.); FY 13 est \$1,000,000; and FY 14 est \$1,000,000 - Future funding is subject to availability of funds.

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: The USCG established in their ballast water discharge standard final rule requirements that testing facilities must meet to be accepted as Independent Laboratories (ILs) for the purpose of testing ballast water management systems for type approval by the Coast Guard. MARAD's assistance to facilities has been critically important in facilitating the ability of test organizations to be accepted as ILs (all three of the test facilities that MARAD has funded are associated with one or more ILs). In FY 2012, efforts were focused on upgrades of the facilities to accomplish the more rigorous USCG certification testing. As a result of these efforts, in July 2012, two of the facilities were accepted by the USCG to conduct certification testing of BWMS. Fiscal Year 2013: MARAD has supported the creation and operation of independent ballast water treatment testing facilities. At this time, each of the facilities is able to test technologies in accordance with IMO testing protocols and a number of treatment systems have been tested. Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Judy Bowers 1200 New Jersey Avenue, S.E., Washington, District of Columbia 20590 Email: judy.bowers@dot.gov Phone: (202) 366-1913 Fax: (202) 366-3029

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: During 2012, GSI and MERC conducted six bench-scale tests of promising ballast water treatments, four land-based tests of BWMS, two shipboard tests of BWMS, and five research experiments associated with ballast water. The GBF upgrades continued, and the facility engaged in Research and Development testing of a single BWMS for most of FY 2012. Fiscal Year 2013: Project occurring this fiscal year:

1. Establish and Validate a Barge Based Mobile Test Platform
2. Examine Instruments
3. Bulk Estimate of biomass in ballast Water Discharge
4. MERC Port Discharge Regulation
5. Develop MARAD Environmental Innovation Strategy. Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Applications submitted must support for the Evaluation and Verification of Ballast Water Treatment Technologies and other Green Shipping Initiatives.

20.901 PAYMENTS FOR ESSENTIAL AIR SERVICES

(EAS)

FEDERAL AGENCY:

Office of the Secretary (OST) Administration Secretariate, Department of Transportation

AUTHORIZATION:

Airline Deregulation Act of 1978, 49 U.S.C 41731-41748.

OBJECTIVES:

To assure that air transportation is provided to eligible communities by subsidizing air carriers when necessary to provide service.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

When necessary subsidy payments are made to air carriers providing air services at certain points. Subsidy is paid to cover the carrier's prospective operating loss, plus a profit element.

Applicant Eligibility:

Air carrier must be found fit and be selected by the Department to perform the subsidized service.

Beneficiary Eligibility:

Air carriers and eligible local communities.

Credentials/Documentation:

Financial statements and detailed operating statistics. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. In accordance with 14 CFR, Part 271, and specific Department requests in docketed cases.

Award Procedure:

Evaluation of applications according to factors established in 49 U.S.C. 41731, et seq., and any Department-established factors.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 2 to 4 months.

Appeals:

In accordance with 14 CFR, Part 302.14.

Renewals:

Application procedures are repeated.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

In accordance with Department evaluation and determination of demonstrated need. Method of awarding/releasing assistance: quarterly.

Reports:

No reports are required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Air carriers are subject to audits of financial and operational fitness, of major contested claims of compensation for continued service, and of monthly billings to the Department for subsidy.

Records:

We direct all subsidized air carriers to retain all books, records, and other source and summary documentation to support claims for payment, and to preserve and maintain such documentation in a manner that readily permits its audit and examination by representatives of the Department. Such

documentation shall be retained for seven years from the service date of the Order establishing subsidy rates or until the Department indicates that the records may be destroyed, whichever comes first. Copies of flight logs for aircraft sold or disposed of must be retained. The air carrier may forfeit its compensation for any claim that is not supported under the terms of the Departments Orders.

Account Identification:

69-8304-0-7-402.

Obligations:

(Salaries) FY 12 \$215,500,000; FY 13 est \$232,500,000; and FY 14 est \$246,000,000

Range and Average of Financial Assistance:

For continental United States: range from \$352,058 - \$3,047,972 annually; an average of \$1,866,047 annually per community per year.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: The Office of Essential Air Service (EAS) has implemented the Federal Aviation Administration (FAA) Modernization and Reform Act of 2012 (Public Law 112-95), signed into law on February 14, 2012, which amended the definition of eligible place for the purpose of receiving EAS (See 49 U.S.C. 41731). The amendment, among other things, states that to be eligible, a community must have had an average subsidy per passenger of less than \$1,000 during the most recent fiscal year, as determined by the Secretary of Transportation. As a result of this new legislation, the Department has terminated EAS subsidies for Alamogordo, NM (Order 2012-2-14); Ely, NV (Order 2013-2-11); and Lewistown and Miles City, MT (Order 2013-6-13), which has resulted in an annual savings of nearly \$6 million per year. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

49 U.S.C. 41731, et seq.; 14 CFR, Parts 204, 271, 323, 325, 398.

Regional or Local Office:

None.

Headquarters Office:

Todd Homan Office of Aviation Analysis, X-50, Department of Transportation, 1200 New Jersey Ave S.E., Washington, District of Columbia 20590 Phone: (202) 366-5903.

Website Address:

<http://ostpxweb.dot.gov/aviation/index.html>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: (1) SkyWest Airlines Essential Air Service at Cody, Wyoming (2)PenAir Essential Air Service at Presque Isle, Maine (3) Delta Air Lines Essential Air Service at Chisholm/Hibbing, Minnesota. Fiscal Year 2013: (1) Silver Airways Essential Air Service at Macon, Georgia; (2)American Eagle Airlines Essential Air Service at Joplin, Missouri; (3) Sky West Airlines Essential Air Service at West Yellowstone, Montana. Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Established by statute and by precedent in individual docketed cases.

20.904 BONDING ASSISTANCE PROGRAM

FEDERAL AGENCY:

Office of the Secretary (OST) Administration Secretariate, Department of Transportation

AUTHORIZATION:

MAP-21.

OBJECTIVES:

To encourage, promote, and assist minority entrepreneurs and businesses in getting transportation-related contracts, subcontracts, and projects by providing bonding assistance to obtain bid, payment, and performance bonds.

TYPES OF ASSISTANCE:

Training

USES AND USE RESTRICTIONS:

The Bonding Assistance Program is an all-inclusive, hands-on, multi-component program designed to address the credit, character, and capacity needs of the small disadvantaged business community in order to become bond-ready, increase capacity, and compete for and participate on transportation-related contracts.

Applicant Eligibility:

The participant in bonding assistance program must be a construction contractor whom is or in the process of becoming certified as small and disadvantaged business to perform work on transportation-related project. Hereafter, the term small and disadvantaged business will refer to: 8(a), small disadvantaged business (SDB), disadvantaged business enterprises (DBE), women owned small business (WOB), HubZone, service disabled veteran owned business (SDVOB), and veteran owned small business (VOSB).

Additional requirements include:

To be considered for the program, applicants must be the primary owner(s), key manager(s) or administrator(s) of a business that meet the following criteria:

Existing in business for at least (2) consecutive years

Revenue of at least \$250,000 annually

Have a minimum of (2) full time employees (including owner)

Past performance in construction industry

Pursuing transportation-related contracts

Interested in satisfying credit, capacity and character evaluations in consultation with a surety representative

Consultants, developers and engineering firms are not eligible for the program.

Beneficiary Eligibility:

The recipient of bonding assistance must be a small and disadvantaged business. Bonding Assistance provided under the American Recovery and Reinvestment Act of 2009 (ARRA) is only available to Disadvantaged Business Enterprises (DBE) certified under 49 Code of Federal Regulations Part 26.

Credentials/Documentation:

The recipient of bonding assistance must provide proof of certification by the certifying agency. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. In partnership with the surety industry, the Bonding Assistance Program is designed to individually identify and address key factors for the bondability of small disadvantaged businesses and is offered through USDOT OSDBU and its network of Small Business Transportation Resource Centers (SBTRC) around the country. Contractors who are interested in participating in the program are encouraged to contact the National Bonding Program Manager to enroll in a local program.

Award Procedure:

Not Applicable.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Provision of Specialized Services/Technical Assistance: The goal to help the small businesses become bond ready.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Provision of Specialized Services/Technical Assistance: Services are provided until they are no longer required by the participant or the participant is no longer eligible. Method of awarding/releasing assistance: by letter of credit.

Reports:

Monthly, internal OSDBU reports; Reports to the Secretary of Transportation on all bond approvals. No cash reports are required. No progress reports are required. No expenditure reports are required. No performance monitoring is required.

Audits:

No audits are required for this program.

Records:

Provision of Specialized Services/Technical Assistance: Participant records are maintained by the participating SBTRCs with access provided to DOT.

Account Identification:

69-0102-0-0-119.

Obligations:

(Training) FY 12 \$6,370,826; FY 13 est \$7,172,544; and FY 14 Estimate Not Available - 2012-BEP \$6,370,826
2013-BEP \$7,172,544.

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: The program was offered in 17 cities nationwide. Coverage increased by 70% from program offered in 2011. Provision of Specialized Services/Technical Assistance: The program continues to be expanded nationwide. There will be 25 ten-week workshops with a goal of obtaining bonding for the participants. Fiscal Year 2013: The program will be offered in 23 cities around the country this year. This will increase the programs visibility, national coverage and small business access by 35% from 2012. Since the Pilot program in 2010, the Bonding Assistance Program has helped more than 600 small businesses achieve their bonding goals, totaling approximately \$170 million. Fiscal Year 2014: The anticipated goals for FY14 are to launch the program in 25 cities and assist small business with acquiring a minimum of \$50 million of bonds to be able participate on upcoming transportation-related contracts. This will increase the programs national coverage by 47% from FY13.

REGULATIONS, GUIDELINES, AND LITERATURE:

Public Law 97-449, 49 U.S.C.332.

Regional or Local Office:

None.

Headquarters Office:

Nyime J. Gilchrist 1200 New Jersey Ave, S.E., Washington, District of Columbia 20590 Phone: (202) 366-3403

Website Address:

<http://osdbu.dot.gov> .

RELATED PROGRAMS:

59.006 8(a) Business Development Program; 59.016 Surety Bond Guarantees

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Launched 17 successful bonding programs nationwide. The number of participants in the program increased by 10%. Fiscal Year 2013: The number of success stories has averaged to 4 to 5 participants per workshop. When the participant is able to achieve bonding, then he or she is able to obtain a transportation contract which is part of OSDBUs mission and function. Fiscal Year 2014: To increase the programs success stories by 90%.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

20.905 DISADVANTAGED BUSINESS ENTERPRISES_SHORT TERM LENDING PROGRAM

(Short Term Lending Program)

FEDERAL AGENCY:

Office of the Secretary (OST) Administration Secretariate, Department of Transportation

AUTHORIZATION:

MAP-21, Public Law 97-449, 49 U.S.C 332.

OBJECTIVES:

To advance the opportunities for small, minority-owned disadvantaged businesses, including women and service-disabled veteran owned businesses and Disadvantaged Business Enterprises (DBE) (to obtain accounts receivable financing for the performance of eligible transportation-related contracts originating from the Department of Transportation (DOT), its grantees, recipients, their contractors and subcontractors.

TYPES OF ASSISTANCE:

Guaranteed/Insured Loans

USES AND USE RESTRICTIONS:

The line of credit is for account receivable financing to support the work being performed on eligible transportation related contracts. The line of credit cannot be used for equipment purchase or other long term uses; contract mobilization; refinancing of existing debt; payment of taxes; or distribution to stock holders. A transportation-related contract is one for the maintenance, rehabilitation, restructuring, improvement, or revitalization of any of the nations modes of transportation with any public or commercial provider of transportation or any federal, state or local transportation agency.

Applicant Eligibility:

The recipient of a line of credit must be a certified DBE, or certified small business, including; 8(a); Small Disadvantaged Business (SDB); HUBZONE; Women-Owned Small Business (WOSB); and Service-Disabled Veteran-Owned Business (SDVOB). For DBE's, this certification must be performed under DOT guidelines, 49 CFR Part 26. The applicant must be in business for 2-3 years. This program is not for start-up businesses There must be an existing transportation- related contract(s). Transportation-related contract is a contract for the maintenance, rehabilitation, restructuring, improvement, or revitalization of any of the Nation's modes of transportation with any public or commercial provider of transportation or any Federal, State or local transportation agency.

Beneficiary Eligibility:

Small Business/Small Business Person.

Credentials/Documentation:

STLP application dated and signed; 3 years of business financial statements and taxes; 3 years of personal income taxes and current personal financial statement; current work-in-progress; cash flow projection to cover the length of the term of the loan (one year); current DBE or SBA certification; business formation information; company history; resume of key management; business and trade reference letters; and a copy of the signed transportation related contract(s). OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Application and checklist can be found at www.osdbu.dot.gov/financialassistance/shortterm lendingprogram.cfm Information, consultation is available at <http://osdbu.dot.gov> or by calling the DOT Office of Small and Disadvantaged Business Utilization (OSDBU) at 1-800-532-1169. Potential applicants may also contact OSDBU or their local U.S. DOT Small Business Transportation Resource Center (SBTRC) for assistance in the preparation of the application package. SBTRC locations may be found at <http://www.osdbu.dot.gov/regional/index.cfm> . Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Applications can be submitted directly to one several Participating Leaders (PLs). Applications can be obtained from the OSDBU internet site at <http://osdbuweb.dot.gov>.

Award Procedure:

All lines of credit are approved through separate decisions by the Participating Lender (PL) and by DOT. Lines of credit are serviced by approving PL.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Small Business Transportation Resource Centers and OSDBU staff can review the application for completeness. This review can be within 5 business days. Once it has been determined that the applicant is eligible and the application is ready to be submitted to the PL, the applicant will submit to the PL a \$150.00 application fee along with the complete application. Decisions are made within 30 to 60 days from the time the complete application is submitted to the PL.

Appeals:

Not Applicable.

Renewals:

Applicant may apply for renewal of line of credits as long as they remain eligible (certification is current and they have a current transportation related contract). Renewals and increases in the line of credit are possible. The maximum period of eligibility is 5 years.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Approved lines of credit are for one year terms with the possibility of renewal for four additional years. See the following for information on how assistance is awarded/released: Line of credit disbursed by certified invoices presented to the Participating Lender.

Reports:

No reports are required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. DOT has the right to audit its accounts with the banks.

Records:

OSDBU maintains records of all applicant files, such as approvals, pending review, loan readiness, denials, etc. All line of credit approvals will be maintained for 7 years and non-approvals will be maintained for 3 years.

Account Identification:

69-0155-0-1-407.

Obligations:

(Guaranteed/Insured Loans) FY 12 \$6,179,000; FY 13 est \$8,500,000; and FY 14 est \$12,500,000

Range and Average of Financial Assistance:

The maximum line of credit is \$750,000. There is no minimum line of credit amount.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Recruited a total of more than twenty new PLs to reach 85% of 100% coverage across the United States. There was an 85% increase in the amount of new loans versus renewal loans. Fiscal Year 2013: Anticipate reaching 100% national participating lender coverage across the United States. Anticipate a 85% increase in the amount of new loans versus renewal loans. Fiscal Year 2014: Anticipating a 20% increase in loan volume.

REGULATIONS, GUIDELINES, AND LITERATURE:

Public Law 97-449, 49 U.S.C. 332.

Regional or Local Office:

None.

Headquarters Office:

Manager Financial Assistance Division, 1200 New Jersey Ave., S.E., Washington, District of Columbia 20590 Phone: (800) 532-1169.

Website Address:

<http://www.osdbuweb.dot.gov>.

RELATED PROGRAMS:

59.006 8(a) Business Development Program; 59.043 Women's Business Ownership Assistance

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: 2012 Approved 21 loans helping small businesses created 108 jobs and retained 288 jobs. Fiscal Year 2013: Projected to fund 21 loans. Fiscal Year 2014: Projected to fund 26 loans, an 20 % increase.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

20.910 ASSISTANCE TO SMALL AND DISADVANTAGED BUSINESSES**FEDERAL AGENCY:**

Office of the Secretary (OST) Administration Secretariate, Department of Transportation

AUTHORIZATION:

The Small Business Act and the Small Business Investment Act of 1958, Title 49, Section 332, Public Law 95-507.

OBJECTIVES:

To enter into successful partnerships between OSDBU and chambers of commerce, community-based organizations, colleges and universities, community colleges, or trade associations, to establish regional Small Business Transportation Resource Centers (SBTRCs) to provide business assessment, technical assistance, technical assistance referrals, business training, and the dissemination of information regarding DOT and DOT funded contracting opportunities, as well as OSDBU services to the Disadvantaged Business Enterprise (DBE) and Small Business (SB) community in their regions.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

The Regional SBTRCs work with OSDBU's Financial Assistance Division and STLP participating lenders to organize seminars and workshops on this accounts receivable financial access program that is available to eligible DBEs and small businesses. The seminars and workshops cover the entire STLP process, from completion of STLP loan application and preparation of the loan package to approval and loan management.

Applicant Eligibility:

Established 501 C(6) tax-exempt Chambers of Commerce, Trade Associations and 501 C(3) nonprofit organization, community colleges, minority educational institutions, tribal colleges and universities that have the documented experience and capacity necessary to successfully operate and administer a coordinated, Small Business Transportation Resource Center (SBTRC) within their regions.

Beneficiary Eligibility:

For the purpose of this program, the term small businesses refers to: 8 (a), small disadvantaged business (SDB), disadvantaged business enterprises (DBE), women-owned small business (WOB), HubZone, service- disabled veteran-owned business, and veteran owned small business.

Credentials/Documentation:

Must be an established 501 C(3) or 501 C(6) tax exempt organization and provide documentation as verification. No application will be accepted without proof of tax exempt status. Have at least one year of documented and continuous experience prior to the date of application in providing advocacy, outreach, and technical assistance to small businesses within the region in which proposed services will be provided. Have an office physically located within the proposed city in the designated headquarters state in the region for

which applicant is submitting the proposal, that is readily accessible to the public. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Applicants responding to a notice on www.grants.gov must submit their applications electronically to OSDBU via sbtrc@dot.gov

Award Procedure:

Applications will be reviewed by a selection panel and selection will be made based upon the evaluation criteria included in the announcement. The Department of Transportation, Office of Small and Disadvantaged Business Utilization will award the Cooperative Agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Selections for award are announced on www.osdbu.gov.

Appeals:

Not Applicable.

Renewals:

Awards will be re-competed at a minimum of every 3 years.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

Awards are generally made for a minimum of 12 months with 2 option years depended on performance and funds availability. See the following for information on how assistance is awarded/released: Obligated annually.

Reports:

Implementation Plan and Monthly Reports; Implementation Plans are due within 7 days of the effective date of the Cooperative Agreement. Monthly Reports are due on the 5th day of each subsequent month. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. DOT's Inspector General and the Comptroller General of the United States may at all reasonable times investigate and conduct audits in the offices of the recipient, all documents, papers, books and records, relevant to the execution and implementation of the conditions of this agreement.

Records:

Recipient is required to retain all documents, files, books, and records relevant to the execution and implementation of the conditions of this agreement for a period of not less than 3 years, except, if any litigation, dispute, exception, or audit is commenced, the records and other materials shall be retained until the litigation, dispute, exception, or audit is judicially or administratively made final.

Account Identification:

69-0119-0-1-407.

Obligations:

(Salaries) FY 12 \$1,650,000; FY 13 est \$1,650,000; and FY 14 est \$1,650,000

Range and Average of Financial Assistance:

Average of \$150,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: a) Conferences: SBTRCs participated in conferences to share resources and knowledge within/beyond the transportation learning community and communicating to the public transportation needs and contributions. Also, to build partnership linkages in order to promote transportation programs in a seamless manner. Accomplishments: In FY 2012 the SBTRCs participated in over 50 conferences and provided procurement assistance to an estimated 5,000 DBEs.

(b) Short-Term Lending (STLP) Workshops: SBTRCs worked with OSDBU's STLP participating lenders to organize seminars and workshops on accounts receivable financial access program that is available to eligible DBEs and small businesses. The seminars and workshops cover the entire STLP process, from completion of STLP loan application and preparation of the loan package to approval and loan management. In FY 2012 the NC A&T held 55 STLP workshops.

(c) Bonding Education Program: SBTRCs create a partnership with the Surety and Fidelity Association of America (SFAA) which combines classroom instruction and one-on-one meetings with surety professionals to help small businesses obtain a bonding line and apply that capacity to transportation related projects. This effort is facilitated by DOT's network of regional offices, the SBTRCs around the country, as program partners whose role is to provide outreach, logistics, technical assistance and coordination for the local program efforts. Accomplishments: The SBTRC held over 100 BEP workshops in over 50 cities during FY2012 to assist DBEs in obtaining bonds.

(d) The Women and Girls internship Program: SBTRC created a transportation-focused career awareness program designed to encourage young women to pursue careers in science, engineering and technology. The program also advances the development of human potential by providing quality educational experiences, training and employment opportunities to minority students interested in transportation related careers. Accomplishments: Students participating in the program were placed in small disadvantaged businesses, Federal, state, and local transportation agencies. The students gain valuable work experience to assist them in future career choices. Also, stipends up to 1,000.00 was paid to students participating in the program. In FY2012 the SBTRCs recruited 249 students to participate in the program. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

DOT Code of Federal Regulations Parts 26 and 23 as Disadvantaged Business Enterprises; Public Law 95-507; Section 8,15, and 31 of the Small Business Act as amended; OMB Circular A-110.

Regional or Local Office:

None.

Headquarters Office:

Carla M. Howard 1200 New Jersey Avenue, S.E., Washington, District of Columbia 20590 Phone: (202) 366-1930 Fax: (202) 366-7228.

Website Address:

<http://www.osdbu.dot.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Project in FY2012

- 1.Short-Term Lending (STLP) Workshops
2. Bonding Education Program
3. Women in Transportation Initiative

See program accomplishments for projects details. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Proposals are rated on a numerical point scale using the following factors: Approach and Strategy; Linkages; Organizational Capability; Staff Capabilities

and Experience; and Cost.

20.930 PAYMENTS FOR SMALL COMMUNITY AIR SERVICE DEVELOPMENT

Small Community Program or SCASDP Payments for Small Community Air Service Development

FEDERAL AGENCY:

Office of the Secretary (OST) Administration Secretariate, Department of Transportation

AUTHORIZATION:

Wendell H. Ford Aviation Investment and Reform Act for the 21st Century (AIR-21), Public Law 106-181, 49 U.S.C 41743; Public Law 106-181.

OBJECTIVES:

To help small communities enhance their air service and increase access to the national transportation system.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grants for specified use, provided on a reimbursable basis. Grant funds can be used to cover a variety of expenses depending on the approved project. For example, funds can be expended for marketing, advertising or promotional activities, new studies designed to measure air service deficiencies, or to measure traffic loss or diversion to other communities; employment or use of consulting firms, universities, public relations firms, and new, dedicated air service development staff on a long term basis. In addition, grant funds may also be used for financial incentives, including subsidy or revenue guarantees to cover the air carrier's prospective operating loss, or to ground service providers in providing access to air transportation services.

Applicant Eligibility:

1. As of calendar year 1997, the airport serving the community was not larger than a small hub airport, and it has insufficient air carrier service or unreasonably high air fares,
2. The airport serving the community presents characteristics, such as geographic diversity or unique circumstances that demonstrate the need for, and feasibility of, grant assistance from the Small Community Program;
3. An applicant may not receive an additional grant to support the same project from a previous grant;
4. An applicant may not receive an additional grant, prior to the completion of its previous grant;
5. No more than 4 communities or consortia of communities, or a combination thereof, from the same State may be selected to participate in the program in any fiscal year; and
6. No more than 40 communities or consortia of communities, or a combination thereof, may be selected to participate in the program in each year for which the funds are appropriated.

In addition to the statute, the Department applies the following eligibility conditions:

Communities without existing air service. Communities that do not currently have commercial air service are also eligible, but air service providers must have met or be able to meet in a reasonable period all Departmental requirements for air service certification, including safety and economic authorities.

Essential Air Service communities. Small communities that meet the basic criteria and currently receive subsidized air service under the Essential Air Service (EAS) program are eligible to apply for funds under the Small Community Program. However, grant awards to EAS-subsidized communities are limited to marketing or promotion projects that support existing or newly subsidized EAS. Grant funds will not be authorized for EAS-subsidized communities to support any new competing air service. Furthermore, no funds will be authorized to support additional flights by EAS carriers or changes to those carriers existing schedules. These restrictions are necessary to avoid conflicts with the EAS program.

Consortium applications. The statute permits individual communities and consortia of communities to apply for grant awards under this program. In some instances in the past, several communities in a State have filed a single application as a consortium, but in effect the application was a collection of individual community requests involving different projects. This is not a consortium. An application from a consortium of communities must be one that seeks to facilitate the efforts of the communities working together toward a joint grant project. In other words, the application must set forth one grant project, with one joint objective, and establish one entity to ensure that the joint objective is accomplished according to the terms of a grant agreement. For example, several communities surrounding an airport may apply together to improve air services at that airport, with a joint objective of securing new or additional service to that airport. Or, surrounding airports may apply together in support of a regional plan to lower fares or reverse a decline in traffic.

Prior grant recipients. Communities or members of a consortia that were awarded grants in previous years and want to apply for a grant this year should be aware that they are precluded from seeking new funds for projects for which they have already received an award under the Small Community Program.

Beneficiary Eligibility:

The legal sponsor of the proposed project must be a government entity. If the applicant is a public-private partnership, a public government member of the organization must be identified as the community's sponsor to receive project cost reimbursements. A community may designate only one government entity as the legal sponsor, even if it is applying as a consortium that consists of two or more local government entities. Private organizations may not be designated as the legal sponsor of a grant under the Small Community Program. The community has the responsibility to ensure that the recipient of any funding has the legal authority under state and local laws to carry out all aspects of the grant.

Credentials/Documentation:

Communities must apply for the grant program by submitting a project proposal with required data to justify its proposal in accordance with the Department-issued Request for Proposals. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. A request for proposals (RFP) soliciting applications from eligible communities is issued each year. The RFP can be accessed on the internet through <http://www.grants.gov>. Additionally, the solicitation is published in the Federal Register and served on the Council of Mayors, the National League of Cities, the National Governors Association, the National Association of State Aviation Officials, the Association of County Executives, the American Association of Airport Executives (AAAE), and the Airports Council International-North America (ACI).

Award Procedure:

Applications will be evaluated according to factors established in the RFP and under the guidance of the Vision 100-Century in Aviation Reauthorization Act, Public Law 108-176.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Can vary from year to year. However, the target is to awards grants within 120 days from the established application deadline.

Appeals:

Not Applicable.

Renewals:

Application procedures are repeated each year. However, grant recipients are precluded by statute from seeking additional grants in support of the same

project. A community can only have one Small Community Program grant at any time. 49 U.S.C. Section 41743(c)(4).

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The period of time for awards will vary with the complexity of the project. Minimum grant duration is one year. The statute limits use of grant funds for air carrier subsidy to a maximum period of three years. The same limitation applies to other forms of ongoing support for air carrier programs. Financial assistance is provided on a reimbursable basis; cash advances will not be made. Method of awarding/releasing assistance: quarterly.

Reports:

Quarterly progress reports, and a final report is required. Cash reports are not applicable. Each grantee must submit quarterly reports on the progress made during the previous quarter in implementing its grant project. In addition, each community will be required to submit a final report on its project to the Department. Agency requires reimbursement request using electronic form that self calculates prorated government obligation. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. SCASDP also is subject to the A-123 review process.

Records:

The grant recipient is expected to retain all documents relevant to the grant award for a period of 3 years from completion of all projects undertaken pursuant to the Grant Agreement and receipt of final reimbursement from the Treasury.

Account Identification:

69-8106-0-7-402.

Obligations:

(Salaries) FY 12 \$13,917,000; FY 13 est \$6,000,000; and FY 14 est \$6,000,000

Range and Average of Financial Assistance:

Range: \$100,000 to \$1,000,000 Average: \$450,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: - Completed FY 2012 application selection process; \$13,917,000 was obligated for FY grants. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

49 U.S.C. 41743; 14 CFR 302.12 (Rule 12); 49 CFR 1.56a(f); 49 CFR Part 18; Public Law 106-181; Public Law 108-176; Public Law 108-477.

Regional or Local Office:

None.

Headquarters Office:

Brooke Chapman 1200 New Jersey Ave., SE W86-307, Washington, District of Columbia 20590 Email: brooke.chapman@dot.gov Phone: (202) 366-0577 Fax: (202) 366-7638.

Website Address:

<http://www.dot.gov/policy/aviation-policy/small-community-rural-air-service/CASDP>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: The Department is authorized to award grants under 49

U.S.C. 41743 to communities that seek to provide assistance to:

an air carrier to subsidize service to and from an underserved airport for a period not to exceed 3 years;
an underserved airport to obtain service to and from the underserved airport; and/or
an underserved airport to implement such other measures as the Secretary, in consultation with such airport, considers appropriate to improve air service both in terms of the cost of such service to consumers and the availability of such service, including improving air service through marketing and promotion of air service and enhanced utilization of airport facilities.

Applicants should also keep in mind the following statutory restrictions on eligible projects:

An applicant may not receive an additional grant to support the same project from a previous grant; and

An applicant may not receive an additional grant, prior to the completion of its previous grant.

Airport Capital Improvements, such as, but not limited to, runway expansions and enhancements and are ineligible. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: N/A.

CRITERIA FOR SELECTING PROPOSALS:

There are two categories of selection criteria: Priority Selection Criteria and Secondary Selection Criteria. Applications that meet one or more of the priority selection criteria will be viewed more favorably than those that do not meet any priority selection criteria.

Priority Selection Criteria:

1. Air fares are higher than the national average air fares for all communities DOT will compare the local community's air fares to the national average air fares for all communities. Communities with air fares significantly higher than the national average air fares for all communities will be given priority. DOT calculates these fares using the Bureau of Transportation Statistics (BTS) database. BTS Airline Origin and Destination Survey data is used to gather air fare data for all markets that serve airports represented in SCASDP applications. The SCASDP air fare comparison report represents a community's (each individual applicant's) air fares as a percentage of National Averages (Fare Premium). The report compares a community's air fares to the average for all other markets in the country that have similar density (passenger volume) and distance characteristics (market groupings). The Fare Premium calculation includes all markets that averaged more than one passenger a day.

2. The community or consortium will provide a portion of the cost of the activity from local sources other than airport revenue sources DOT will consider whether a community or consortium proposes local funding for the proposed project. Applications providing proportionately higher levels of cash contributions from sources other than airport revenues will be viewed more favorably. Applications that provide multiple levels of contributions (state, local, airport, cash and in-kind contributions) will also be viewed more favorably. See Additional Guidance Cost Sharing and Local Contributions, in Subsection C below, for more information on the application of this selection criterion.

3. The community or consortium has established or will establish a public-private partnership to facilitate air carrier service to the public DOT will consider a community or consortium's commitment to facilitate air carrier service in the form of a public-private partnership. Applications that describe in detail how the partnership will actively participate in the implementation of the proposed project will be viewed more favorably.

4. The assistance will provide material benefits to a broad segment of the traveling public, including businesses, educational institutions, and other enterprises, whose access to the national air transportation system is limited DOT will consider whether the proposed project would provide, to a broad segment of the community's traveling public, important benefits relevant to the community. Examples include service that would offer new or additional access to a connecting hub airport, service that would provide convenient travel

times for both business and leisure travelers that would help obviate the need to drive long distances, and service that would offer lower fares.

5. The assistance will be used in a timely manner DOT will consider whether a proposed project provides a well-defined plan and reasonable timetable for use of the grant funds. In DOT's experience, a reasonable timetable for use of grant funds includes a year to complete studies, two years for marketing and promotion of the airport, community, carrier, or destination, and three years for projects that target a revenue guarantee, subsidy, or other financial incentives. Applicants should describe how their projects can be accomplished within a reasonable time period.

6. Multiple communities cooperate to submit a regional or multistate application to consolidate air service into one regional airport DOT will consider whether a proposed project involves a consortium effort to consolidate air service into one regional airport. This is a new statutory priority criterion, added pursuant to Section 429 of the FAA Modernization and Reform Act of 2012 (P.L. 112-95).

Secondary Selection Criteria:

1. Innovation DOT will consider whether an application proposes new and creative solutions to the transportation issues facing the community, including: the extent to which the applicants proposed solution(s) to solving the problem(s) is new or innovative, including whether the proposed project utilizes or encourages intermodal or regional solutions to connect passengers to the community's air service (i.e., cost-effective inter/intra city passenger bus service, marketing of intermodal surface transportation options also available to air travelers, or projects that have a positive impact on travel and tourism); and

whether the proposed project, if successfully implemented, could serve as a working model for other communities.

2. Participation DOT will consider whether an application has broad community participation, including:

whether the proposed project has broad community support; and the community's demonstrated commitment to and participation in the proposed project.

3. Location DOT will consider the location and characteristics of a community:

the geographic location of each applicant, including the community's proximity to larger centers of air service and low-fare service alternatives; the population and business activity, as well as the relative size of each community;

whether the community's proximity to an existing or prior grant recipient could adversely affect either its proposal or the project undertaken by the other recipient.

4. Other Factors DOT will also consider:

whether the proposed project clearly addresses the applicants stated problems;

the community's existing level of air service and whether that service has been increasing or decreasing;

whether the applicant has a plan to provide any necessary continued financial support for the proposed project after the requested grant award expires; the grant amount requested compared with total funds available for all communities;

the proposed federal grant amount requested compared with the local share offered;

any letters of intent from airline planning departments or intermodal surface transportation providers on behalf of applications that are specifically intended to enlist new or expanded air service or surface transportation service in support of the air service in the community;

whether the applicant has plans to continue with the proposed project if it is not self-sustaining after the grant award expires;

equitable and geographic distribution of available funds.

Full community participation is a key goal of this program as demonstrated by the statutes focus on local contributions and active participation in the project.

Therefore, applications that demonstrate broad community support will be more attractive. For example, communities providing proportionately higher levels of cash contributions from other than airport revenues will have more attractive proposals. Communities that provide multiple levels of contributions (state, local, airport, cash and in-kind contributions) also will have more attractive proposals. Similarly, communities that demonstrate participation in the development and execution of the proposed air service project will enhance the attractiveness of their proposals.

20.931 TRANSPORTATION PLANNING, RESEARCH AND EDUCATION

Innovative and Advanced Transportation Research

FEDERAL AGENCY:

Research and Innovative Technology Administration, Department of Transportation

AUTHORIZATION:

Safe, Accountable, Flexible, Efficient, Transportation Equity Act: A Legacy for Users (SAFETEA-LU) of 2005.

OBJECTIVES:

To provide funds to conduct research and development on innovative transportation systems and related applied technologies.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

The grants may be used for innovative technology based projects directed towards application on national transportation infrastructure development and construction in planning, design and operations.

Applicant Eligibility:

These grants are mandated by Congress in DOT's Annual Appropriation Legislation. Therefore, only those organizations specifically identified in the appropriation legislation can apply.

Beneficiary Eligibility:

Public Non-Profit Institutions/Organizations; Sponsored Organizations; State; Local; Other Public Institutions; Federal Recognized Indian Tribal Government; US Territory or Possession; Private Non-Profit Institutions/Organizations; Quasi-Public Non-Profit Institutions/Organizations; Native American Organizations.

Credentials/Documentation:

Proposals with statement of work and estimated budget. Costs will be determined in accordance with relevant OMB circulars (i.e. Circular Nos. A-87 for State and Local Governments, A-21 for Educational Institutions and A-122 for Non-Profit and For-Profit Organizations). OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. The Broad Agency Announcement containing the solicitation shall be submitted via FedBizOpps.gov. Grantees will be required to complete the suite of SF 424 forms and the appropriate representations and certifications.

Award Procedure:

The award procedure typically requires two-step process White Papers and Full Technical and Cost Proposals.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

The deadlines, if any, shall be established in each grant application package.

Appeals:

Not Applicable.

Renewals:

Renewals and/or extension may be available. Requests must be submitted to the Program Office for approval.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching Requirements: Percent: 50.%. Fifty (50) percent non-Federal matching requirements per SAFETEA-LU legislation language.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The typical length of the cooperative agreements is 2.5 years and expenditures are reimbursed on a quarterly basis. See the following for information on how assistance is awarded/released: Funds are reimbursed.

Reports:

Mandatory quarterly reports; final project report. SF-270. Mandatory quarterly reports. SF-425. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Reports are due in accordance with the terms and conditions of each grant. Records will be retained in accordance with provisions of OMB Circular No. A-110 for institutions of higher education, other nonprofit organizations, and commercial organizations and in accordance with 15 CFR Part 24 for State and local governments.

Account Identification:

69-0102-0-1-407.

Obligations:

(Salaries) FY 12 \$4,688,970; FY 13 est \$8,000,000; and FY 14 Estimate Not Available

Range and Average of Financial Assistance:

The range is between \$500,000 to \$1.5 million.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Conducted applied research in commercial remote sensing and spatial information technologies for application to national transportation infrastructure development and construction. The Program investigated, developed and validated new applications and provided products to monitor and assess infrastructure condition of bridges, pavements and other transportation infrastructure. In addition, the Program developed remote sensing applications to optimize freight movements in urban areas and in and around ports. Spatial information technologies, such as GIS and GPS systems, were deployed to assist in these research investigations. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Caesar Singh 1200 New Jersey Avenue, SE, Washington, District of Columbia 20950 Email: Caesar.Singh@dot.gov Phone: (202) 366-3252.

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: 1. Arizona State University Multi-level Adaptive Remote

Sensing Package for Total Bridge Health Management

2. George Mason University Using Social Networks And Commercial Remote Sensing To Assess Impacts Of Natural Events On Transportation Infrastructure

3. University of Colorado Boulder Road Network Information Derived From High Agility Satellite Platforms

4. Michigan Tech Automated Scour Detection Arrays Using Bio-Inspired Magnetostrictive Flow Sensors

5. University of Minnesota Using Commercial Truck GPS Data For Freight Performance Analysis In The Twin Cities Metropolitan Area (TCMA)

6. University of North Carolina Charlotte CRS&SI Technologies For Reliable Transportation Systems

7. University of Massachusetts Lowell An Automated System for Rail Transit Infrastructure Inspection

8. University of Vermont Rapid Exploitation of Commercially Remote Sensed Imagery for Disaster Response & Recovery. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Concept, technical approach, cost, background, and partnerships.

20.932 SURFACE TRANSPORTATION _ DISCRETIONARY GRANTS FOR CAPITAL INVESTMENT

TIGER Grants (Transportation Investment Generating Economic Recovery)

FEDERAL AGENCY:

Office of the Secretary (OST) Administration Secretariate, Department of Transportation

AUTHORIZATION:

The American Recovery and Reinvestment Act of 2009 (Recovery Act), Title XII Transportation and Housing and Urban Development, and Related Agencies, Department of Transportation, Office of the Secretary, Supplemental Discretionary Grants for a National Surface Transportation System., Public Law 111-5, 123 Stat. 115.

OBJECTIVES:

The purposes of the Recovery Act include, among other things: (i) to preserve and create jobs and promote economic recovery and (ii) to invest in transportation infrastructure that will provide long-term economic benefits. The Act requires that grants be provided for surface transportation projects that will have a significant impact on the Nation, a metropolitan area, or a region.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Under this program, \$1,500,000,000 was available through September 30, 2011, for the Department to make grants on a competitive basis for projects that would have a significant impact on the Nation, a metropolitan area, or a region. Projects eligible for funding provided under this program included, but were not limited to, highway or bridge projects eligible under title 23, United States Code, including interstate rehabilitation, improvements to the rural collector road system, the reconstruction of overpasses and interchanges, bridge replacements, seismic retrofit projects for bridges, and road realignments; public transportation projects eligible under chapter 53 of title 49, United States Code, included investments in projects participating in the New Starts or Small Starts programs that would expedite the completion of those projects and their entry into revenue service; passenger and freight rail transportation projects; and port infrastructure investments, including projects that connect ports to other modes of transportation and improve the efficiency of freight movement. The Department also used an amount not to exceed \$200,000,000 for the purpose of paying the subsidy and administrative costs of projects eligible for federal credit assistance under chapter 6 of title 23, United States Code, if the Department finds that such use of the funds would advance the purposes of this program. In distributing funds available under this program, the Department must take measures to ensure an equitable geographic distribution of funds and an appropriate balance in addressing the needs of urban and rural communities. Grants provided under this program generally were not less than \$20,000,000 and not greater than \$300,000,000, however, the Department waived the \$20,000,000 minimum grant size for the purpose of funding significant projects in smaller cities, regions, or States. Not more than 20 percent of the funds

made available under this program would be awarded to projects in a single State. The Federal share of the costs for which an expenditure was made under this program may be up to 100 percent, however, the Department gave priority to projects that require a contribution of Federal funds in order to complete an overall financing package, and to projects were expected to be completed by February 17, 2012. Not more than 20 percent of the funds made available under this program will be awarded to projects in a single State. Not more than 20 percent of the funds made available under this program will be awarded to projects in a single State. The Federal share of the costs for which an expenditure is made under this program may be up to 100 percent, however, the Department will give priority to projects that require a contribution of Federal funds in order to complete an overall financing package, and to projects that are expected to be completed by February 17, 2012.

Applicant Eligibility:

The Federal share of the costs for which an expenditure is made under this program may be up to 100 percent, however, the Department will give priority to projects that require a contribution of Federal funds in order to complete an overall financing package, and to projects that are expected to be completed by February 17, 2012.

Beneficiary Eligibility:

The ultimate benefits of this program may be received by, among others, State or local governments, transit agencies, builders/contractors/developers, major metropolises, and other urban, suburban, or rural areas.

Credentials/Documentation:

Recipients and their first-tier sub-awardees were required to have a DUNS number (www.dnb.com) and a current registration in the Central Contractor Registration (www.ccr.gov). Recipients of Recovery Act funds had to have systems and internal controls that allow them to separately track and report Recovery Act funds even if the funds are being used to fund an existing project/activity. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Complete applications for TIGER Discretionary Grants must be submitted by September 15, 2009 (the Application Deadline). While applicants are encouraged to submit applications in advance of the Application Deadline, applications will not be evaluated, and awards will not be made, until after the Application Deadline. Due to the need to expedite the grant award process to meet the requirements and purposes of the Recovery Act (as defined below), the Department will evaluate all applications and announce the projects that have been selected to receive Grant Funds (as defined below) as soon as possible after the Application Deadline, but no later than February 17, 2010. In addition, in the event that this solicitation does not result in the award and obligation of all available funds, the Department may decide to publish an additional solicitation.

Award Procedure:

TIGER Discretionary Grants will be awarded to projects with a demonstrated or potential ability to: (i) Deliver programmatic results; (ii) achieve economic stimulus by optimizing economic activity and the number of jobs created or saved in relation to the Federal dollars obligated; (iii) achieve long-term public benefits by, for example, investing in technological advances in science and health to increase economic efficiency and improve quality of life; investing in transportation, environmental protection, and other infrastructure that will provide long-term economic benefits; fostering energy independence; or improving educational quality; and (iv) satisfy the Recovery Acts transparency and accountability objectives..

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. This program has no statutory formula. Not more than 20 percent of the funds made available under this program will be awarded to projects in a single State. The Federal share of the costs for which an expenditure is made under this program may be up to 100 percent, however, the Department will give priority to projects that require a contribution of Federal funds in order to complete an overall financing package.

While this program does not require a State match, the Maintenance of Effort provisions of section 1201 of the Act apply to projects that receive funding under this program to the extent that a State planned to expend State funds on such a project as of February 17, 2009. Specifically, section 1201(a) requires that for amounts distributed to a State or State agency from an appropriation in the Act for a covered program (including this program), the Governor of the State shall certify to the Secretary of Transportation that the State will maintain its effort with regard to State funding for the types of projects funded by the appropriation. As part of this certification, the Governor was required to submit a statement identifying the amount of funds the State planned to expend from State sources as of February 17, 2009, during the period beginning on February 17, 2009, through September 30, 2010, for the types of projects funded by the appropriation.

This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

Funds available under this program are available through September 30, 2011. The Department will give priority to projects that are expected to be completed by February 17, 2012. Method of awarding/releasing assistance: by letter of credit.

Reports:

All ARRA reporting requirements. All ARRA reporting requirements. To include all ARRA reporting requirements. SF 425. Monitoring with be conducted based on OMB ARRA guidance.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Any awarding procedures, documentation of expenditures and copies of reports as well as policies and procedures for the life of the grant.

Account Identification:

69-0106-0-1-407.

Obligations:

(Salaries) FY 12 \$0; FY 13 est \$0; and FY 14 est \$0

Range and Average of Financial Assistance:

Grants provided under this program generally were not less than \$20,000,000 and not greater than \$300,000,000, however, some cases the Department waived the minimum grant size for the purpose of funding significant projects in smaller cities, regions, or States.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: The program continued to fund transit, railroad, road, bicycle/pedestrian, and port projects. Fiscal Year 2013: The program continues to fund transit, railroad, road, bicycle/pedestrian, and port projects. Fiscal Year 2014: The program will continue to fund transit, railroad, road, bicycle/pedestrian, and port projects.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Howard Hill 1200 New Jersey Ave, SE, Washington, District of Columbia
20590 Email: Howard.Hill@dot.gov Phone: 202-366-0301 Fax: 202-366-0263

Website Address:

<http://www.dot.gov/TIGER>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No newly funded projects. On February 17, 2009, the Department announced 51 grant awards through the TIGER program. A list of projects with project descriptions is available at

http://www.dot.gov/sites/dot.dev/files/docs/Tiger_I_Awards.pdf. Fiscal Year

2013: No newly funded projects. Fiscal Year 2014: No newly funded projects.

CRITERIA FOR SELECTING PROPOSALS:

TIGER Discretionary Grants was awarded based on the selection criteria as outlined below. There were two categories of selection criteria, Primary Selection Criteria and Secondary election Criteria. The Primary Selection Criteria include (1) Long-Term Outcomes and (2) Jobs Creation & Economic Stimulus. The Secondary Selection Criteria include (1) Innovation and (2) Partnership. The Primary selection Criteria were intended to capture the primary objectives of the TIGER Discretionary Grants provision of the Recovery Act, which include near-term economic recovery and job creation, maximization of long-term economic benefits and impacts on the Nation, a region, or a metropolitan area, and assistance for those most affected by the current economic downturn. The Secondary Selection Criteria were intended to capture the benefits of new and/or innovative approaches to achieving programmatic objectives.

20.933 NATIONAL INFRASTRUCTURE INVESTMENTS

TIGER Discretionary Grants

FEDERAL AGENCY:

Office of the Secretary (OST) Administration Secretariate, Department of Transportation

AUTHORIZATION:

Title VIII of The Further Continuing Appropriations Act, 2013 (Division F of the Consolidated and Further Continuing Appropriations Act, 2013, Pub. L. 113-6, March 26, 2013) (FY 2013 Appropriations Act) , Public Law 113-6.

OBJECTIVES:

The grants for National Infrastructure Investments in the FY 2013 Appropriations Act are for capital investments in surface transportation infrastructure grants to be awarded to a State, local, or Tribal governments, including U.S. territories, tribal governments, transit agencies, port authorities, metropolitan planning organizations (MPOs), other political subdivisions of State or local governments, and multi-State or multi-jurisdictional groups applying through a single lead applicant on a competitive basis for surface transportation projects (including, but not limited to: (1) Highway or bridge projects eligible under title 23, United States Code; (2) public transportation projects eligible under chapter 53 of title 49, United States Code; (3) passenger and freight rail transportation projects; and (4) port infrastructure investments) that will have a significant impact on the Nation, a metropolitan area, or a region.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Under the FY 2013 Appropriations Act TIGER Discretionary Grants, \$473,847,000 is available through September 30, 2014, for the Department to make grants on a competitive basis for projects that will have a significant impact on the Nation, a metropolitan area, or a region. Projects eligible for funding provided under this program include, but are not limited to, highway or

bridge projects eligible under title 23, United States Code, including interstate rehabilitation, improvements to the rural collector road system, the reconstruction of overpasses and interchanges, bridge replacements, seismic retrofit projects for bridges, and road realignments; public transportation projects eligible under chapter 53 of title 49, United States Code, including investments in projects participating in the New Starts or Small Starts programs that will expedite the completion of those projects and their entry into revenue service; passenger and freight rail transportation projects; and port infrastructure investments, including projects that connect ports to other modes of transportation and improve the efficiency of freight movement. The Department may also use an amount not to exceed \$175,000,000 for the purpose of paying the subsidy and administrative costs of projects eligible for federal credit assistance under chapter 6 of title 23, United States Code, if the Department finds that such use of the funds would advance the purposes of this program. In distributing funds available under this program, the Department must take measures to ensure an equitable geographic distribution of funds and an appropriate balance in addressing the needs of urban and rural communities. Grants provided under this program shall generally be not less than \$10,000,000 and not greater than \$200,000,000, however, projects located in rural areas, the minimum grant size shall be \$1,000,000. The FY 2013 Appropriations Act directs that not less than \$120 million of the funds provided for TIGER Discretionary Grants be used for projects located in rural areas. Further, DOT will take measures to ensure an equitable geographic distribution of grant funds, an appropriate balance in addressing the needs of urban and rural areas, and investment in a variety of transportation modes. The Federal share of the costs for which an expenditure is made under this program may be up to 80 percent, however, the Department may increase the Federal share of costs above 80 percent for projects located in rural areas. The Department will give priority to projects that require a contribution of Federal funds in order to complete an overall financing package. The Department has developed selection criteria and requirements for this program. Information about selection criteria and requirements is available in the Departments Notice of Funding Availability that has been published the Federal Register (available at <http://www.dot.gov/tiger/>). Grants provided under this program shall generally be not less than \$10,000,000 and not greater than \$200,000,000, however, projects located in rural areas, the minimum grant size shall be \$1,000,000. The Federal share of the costs for which an expenditure is made under this program may be up to 80 percent, however, the Department may increase the Federal share of costs above 80 percent for projects located in rural areas. 100% of the funding is discretionary. These are TIFIA loans and Title 23 loans.

Applicant Eligibility:

State, local, and tribal governments, including U.S. territories, transit agencies, port authorities, metropolitan planning organizations (MPOs), other political subdivisions of State or local governments, and multi-State or multi-jurisdictional groups applying through a single lead applicant (for multi-jurisdictional groups, each member of the group, including the lead applicant, must be an otherwise eligible applicant as defined in this paragraph).

Beneficiary Eligibility:

The ultimate benefits of this program may be received by, among others, States or local governments, transit agencies, builders/contractors/developers, major metropolises, and other urban, suburban, or rural areas.

Credentials/Documentation:

Recipients and their first-tier sub-awardees will be required to have a DUNS number (www.dnb.com) and a current registration in the Central Contractor Registration (www.ccr.gov) submitted as part of the submitted application. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded

from coverage under OMB Circular No. A-110. Applicants must submit a complete application package through Grants.gov by the Application Deadline, which is June 3, 2013, at 5:00 p.m. EDT. Grants.gov Apply function will open on April 29, 2013, allowing applicants to submit applications. You are encouraged to submit applications in advance of the Application Deadline, but applications will not be evaluated, and selections for awards will not be made, until after the Application Deadline. Applications must be submitted through Grants.gov. To apply for funding through Grants.gov, you must be properly registered. Complete instructions on how to register and submit applications can be found at www.grants.gov. Please be aware that the registration process usually takes 2-4 weeks and must be completed before an application can be submitted. If interested parties experience difficulties at any point during the registration or application process, please call the Grants.gov Customer Support Hotline at 1-800-518-4726, Monday-Friday from 7:00 a.m. to 9:00 p.m. EDT. Additional information on applying through Grants.gov is available in Information about Applying for Federal Grants through Grants.gov at www.dot.gov/TIGER.

To help ensure that applicants submit only those applications that are most likely to align well with the departments selection criteria, each applicant may submit no more than three applications for consideration under the TIGER Discretionary Grant Program. While applications may include requests to fund more than one project, applicants should not bundle together unrelated projects in the same application for purposes of avoiding the three application limit that applies to each applicant. Please note that the three application limit applies only to applications where the applicant is the lead applicant, and there is no limit on applications for which an applicant can be listed as a partnering agency. Also, DOT will not count any application for a multistate project against the three application limit to the extent multiple states are partnering to submit the application.

Award Procedure:

DOT will award TIGER Discretionary Grants to projects that are well-aligned with one or more of the selection criteria and that satisfy the statutory distributional requirements while remaining as consistent as possible with the competitive ratings.

Deadlines:

Apr 29, 2013 to Jun 03, 2013

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: Percent: 20.%. This program has matching requirements. The Federal share of the costs for which an expenditure is made under this program may be up to 80 percent, however, the Department may increase the Federal share of costs above 80 percent for projects located in rural areas. The Department will give priority to projects for which Federal funding is required to complete an overall financing package and projects can increase their competitiveness by demonstrating significant non-Federal contributions. Additionally, not more than 25 percent of the funds made available under this program (or \$131.736 million) will be awarded to projects in a single State. This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

Funds available under this program are available for obligation through September 30, 2014. See the following for information on how assistance is awarded/released: Reimbursement.

Reports:

Quarterly progress reports, annual budget reports, and performance measurement reports are due in accordance with the terms and conditions of the grant agreement. No cash reports are required. Quarterly reports are required. SF-425. Grantees will provide information on the performance outcomes of the capital investments they make with TIGER funds.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees will be required to keep all project accounts and records that fully disclose the amount and disposition by the Grantee of the proceeds of the grant, the total cost of the project in connection with which the grant is given or used, and the amount or nature of that portion of the cost of the project supplied by other sources, and such other financial records pertinent to the project. The accounts and records shall be kept in accordance with an accounting system that will facilitate an effective audit in accordance with the Single Audit Act of 1984, as amended (31 U.S.C. 7501-7507).

Account Identification:

69-0143-0-1-407.

Obligations:

(Salaries) FY 12 \$500,000,000; FY 13 est \$473,847,000; and FY 14 Estimate Not Available

Range and Average of Financial Assistance:

Grants provided under this program shall generally be not less than \$10,000,000 and not greater than \$200,000,000, however, projects located in rural areas will have a minimum grant size of \$1,000,000 and the Secretary may increase the Federal share of costs above 80 percent.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Program continued to fund transit, railroad, road, bicycle/pedestrian, and port projects and awarded 47 FY 2012 TIGER grants. Fiscal Year 2013: The program continued to fund transit, railroad, road, bicycle/pedestrian, and port projects and expects to award \$473,847,000 in grants. Fiscal Year 2014: The program will continue to fund transit, railroad, road, bicycle/pedestrian, and port projects.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Howard Hill 1200 New Jersey Avenue, SE, Washington, District of Columbia 20590 Email: TIGERGrants@dot.gov Phone: (202) 366-0301

Website Address:

<http://www.dot.gov/TIGER>

RELATED PROGRAMS:

20.932 Surface Transportation _ Discretionary Grants for Capital Investment.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: On June 22, 2012, the Department announced 47 grant awards through the TIGER program. A list of projects with project descriptions is available at http://www.dot.gov/sites/dot.dev/files/docs/fy2012tiger_0.pdf. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

TIGER Discretionary Grants will be awarded based on the selection criteria as outlined below. TIGER Discretionary Grants will be awarded based on the following selection criteria. There are two categories of selection criteria, Primary Selection Criteria and Secondary Selection Criteria. The Primary Selection Criteria include (1) Long-Term Outcomes and (2) Jobs Creation & Near-Term Economic Activity. The Secondary Selection Criteria include (1) Innovation and (2) Partnership. The Primary Selection Criteria are intended to capture the primary objective of the FY 2013 TIGER provisions of the FY 2013 Appropriations Act, which is to provide capital investments in surface transportation projects that will have a significant impact on the Nation, a metropolitan area, or a region. The Secondary Selection Criteria are intended to

capture the benefits of new and/or innovative approaches to achieving the programmatic objective. Information about selection criteria and requirements is available in the Departments Notice of Funding Availability that has been published the Federal Register <http://www.dot.gov/tiger/>.

1. Primary Selection Criteria:

DOT will give priority to projects that are ready to proceed quickly and have a significant impact on desirable long-term outcomes for the Nation, a metropolitan area, or a region. Applications that do not demonstrate a likelihood of significant long-term benefits in this criterion will not proceed in the evaluation process. The first five primary selection criteria are based on the priorities included in DOT's Strategic Plan for FY 2012- FY 2016. DOT is elevating project readiness as a primary selection criterion for this round of TIGER Discretionary Grants due to the legislatively-mandated timeline for obligation of TIGER Discretionary Grant funds. For more detail on DOT's long-term priorities, please refer to the Strategic Plan, which can be found at: http://www.dot.gov/sites/dot.dev/files/docs/990_355_DOT_StrategicPlan_5081owres.pdf. The long-term outcomes and readiness criteria that will be given priority are:

1. State of Good Repair: Improving the condition of existing transportation facilities and systems, with particular emphasis on projects that minimize life-cycle costs and improve resiliency. DOT will assess whether and to what extent (i) the project is consistent with relevant plans to maintain transportation facilities or systems in a state of good repair and address vulnerabilities; (ii) if left unimproved, the poor condition of the asset will threaten future transportation network efficiency, mobility of goods or accessibility and mobility of people, or economic growth; (iii) the project is appropriately capitalized up front and uses asset management approaches that optimize its long-term cost structure; and (iv) the extent to which a sustainable source of revenue is available for long-term operations and maintenance of the project.

2. Economic Competitiveness: Contributing to the economic competitiveness of the United States over the medium- to long-term by improving the national transportation system while creating and preserving jobs. DOT will assess whether the project will (i) improve long-term efficiency, reliability or cost-competitiveness in the movement of workers or goods, with a particular focus on projects that have a significant effect on reducing the costs of transporting export cargoes; (ii) increase the economic productivity of land, capital or labor at or between specific locations, particularly in Economically Distressed Areas; or (iii) result in job creation and practicable opportunities, particularly for low-income workers or for people in Economically Distressed Areas, and practicable opportunities for small businesses and disadvantaged business enterprises, including veteran-owned small businesses and service disabled veteran-owned small businesses.

3. Livability: Increasing transportation choices and access to transportation services for people in communities across the United States. DOT will consider whether the project furthers the six livability principles developed by DOT with the Department of Housing and Urban Development (HUD) and the Environmental Protection Agency (EPA) as part of the Partnership for Sustainable Communities. DOT will give particular consideration to the first principle, which prioritizes the creation of affordable and convenient transportation choices, particularly for economically disadvantaged populations, non-drivers, senior citizens, and persons with disabilities. Further, DOT will prioritize projects developed in coordination with land-use planning and economic development decisions, including through programs like TIGER II Planning Grants, the Department of Housing and Urban Development's Regional Planning Grants, or the Environmental Protection Agency's Brownfield Area-Wide Planning Pilot Program as well as technical assistance programs focused on livability or economic development planning.

4. Environmental Sustainability: Improving energy efficiency, reducing dependence on oil, reducing greenhouse gas emissions and benefiting the environment. DOT will assess the projects ability to (i) reduce energy use, air or water pollution; (ii) avoid adverse environmental impacts to air or water quality, wetlands, and endangered species; or (iii) provide environmental benefits, such as brownfield redevelopment, wetlands creation or improved habitat connectivity. Applicants are encouraged to provide quantitative information that demonstrates the existence of substantial existing transportation-related costs related to energy consumption and adverse environmental effects and evidence of the extent to which the project will

reduce or mitigate those costs.

5. Safety: Improving the safety of U.S. transportation facilities and systems. DOT will assess the projects ability to reduce the number, rate, and consequences of surface transportation-related crashes, serious injuries, and fatalities among drivers and/or non-drivers in the United States or in the affected metropolitan area or region, and/or the projects contribution to the elimination of highway/rail grade crossings, the protection of pipelines, or the prevention of unintended releases of hazardous materials.

6. Project Readiness: For projects that receive funding in this round of TIGER, DOT is required to obligate funds to those projects by September 30, 2014, or the funding will expire. Priority will be given to projects that can meet all local, State, and federal requirements by June 30, 2014. This is a shorter period of time for obligation of funds than the comparable period for any prior round of TIGER, and is therefore a primary concern to DOT that will be treated as such during the evaluation and selection process. DOT will assess whether a project is ready to proceed rapidly upon receipt of a TIGER Discretionary Grant.

2. Secondary Selection Criteria

(a) Innovation

Use of innovative strategies to pursue the long-term outcomes outlined above. DOT will assess the extent to which the project uses innovative technology (such as, intelligent transportation systems, dynamic pricing, value capture, rail wayside or on-board energy recovery, smart cards, active traffic management, or radio frequency identification) to pursue one or more of the long-term outcomes outlined above and/or to significantly enhance the operational performance of the transportation system. DOT will also assess the extent to which the project incorporates innovations in transportation funding and finance, leverages both existing and new sources of funding through both traditional and innovative means, and demonstrates how the TIGER grant would serve to complete the projects financing package and allow for expedited project completion. Further, DOT will consider the extent to which the project utilizes innovative practices in contracting, project delivery, congestion management, safety management, asset management, or long-term operations and maintenance. Projects integrating creative uses of technology to improve capacity or performance as part of an overall project to construct or replace traditional transportation facilities have been competitive in previous rounds, and DOT expects projects which intelligently use technology and other innovations to continue to be competitive..

(b) Partnership

Demonstrating strong collaboration among a broad range of participants, integration of transportation with other public service efforts, and/or projects that are the product of a robust planning process.

DEPARTMENT OF THE TREASURY

21.004 EXCHANGE OF FEDERAL TAX INFORMATION WITH STATE TAX AGENCIES

FEDERAL AGENCY:

Internal Revenue Service (IRS), Department of the Treasury

AUTHORIZATION:

Internal Revenue Code, Executive Order As Amended, Title 26, Part 6103, Section d, 26 U.S.C 6103(d).

OBJECTIVES:

To increase state tax revenues and taxpayer compliance, and to reduce duplicate resource expenditures.

TYPES OF ASSISTANCE:

Provision of Specialized Services

USES AND USE RESTRICTIONS:

State tax agencies and their contractors may use the tax data provided for state tax administration purposes only, pursuant to Internal Revenue Code (IRC) Section 6103. Any unlawful disclosure of Federal tax data subjects the violator to both criminal and civil penalties specified in IRC Sections 7213, 7213A and 7431. State tax agencies and their contractors may use the tax data provided for state tax administration purposes only, pursuant to Internal Revenue Code (IRC) Section 6103. Any unlawful disclosure of Federal tax data subjects the violator to both criminal and civil penalties specified in IRC Sections 7213,

7213A and 7431.

Applicant Eligibility:

Any agency responsible for tax administration within any of the 50 States, the District of Columbia, certain U.S. Territories, Regional Income Tax Agencies and municipalities with populations in excess of 250,000 that impose taxes on income or wages and with which the Commissioner of Internal Revenue has entered into a disclosure agreement with safeguard and confidentiality protections.

Beneficiary Eligibility:

State Governments, U.S. Territories, Regional Income Tax Agencies and Municipal Governments.

Credentials/Documentation:

Agency head or his/her designee must enter into Agreement with the Commissioner of IRS regarding disclosure. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. There are a variety of application procedures depending on the circumstances. For the Governmental Liaison Data Exchange Program which includes recurring aggregate data extracts, applicants complete an Annual Registration Form. For specialty programs, applicants sign a Memorandum of Understanding (MOU). For individual or one-time requests, applicants send a written request to the Disclosure Office. The address can be found by clicking "IRS Disclosure Offices" on <http://www.irs.gov/foia/>.

Award Procedure:

Not applicable.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Annual Governmental Liaison Data Exchange Program Enrollment Form must be completed annually by state agencies and must also be approved by the Disclosure Manager. For specialty programs, applicants sign a Memorandum of Understanding (MOU). For individual or one-time requests, applicants send a written request to the Disclosure Office. The address can be found by clicking "IRS Disclosure Offices" on <http://www.irs.gov/foia/>.

Appeals:

Not Applicable.

Renewals:

Governmental Liaison Data Exchange Program Enrollment Form must be submitted by state agencies and approved annually by the Disclosure Manager. For specialty programs, and other programs that require a Memorandum of Understanding (MOU) procedures vary based on the terms of the MOU.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Not Applicable. See the following for information on how assistance is awarded/released: Not Applicable.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

Agency Officials are required to submit a Safeguard Procedures Report (SPR) 45 days prior to receipt of Federal tax data, and an annual Safeguard Activity

Report (SAR). All records must be maintained as required by IRC 6103(p)(4).

Account Identification:

20-0912-0-1-803.

Obligations:

(Provision of Specialized Services) FY 12 \$0; FY 13 est \$0; and FY 14 est \$0 - In 1994, IRS decided to waive the fees charged to State and local agencies. These agencies can obtain tax data extract information through various IRS disclosure offices for free.

Range and Average of Financial Assistance:

NA.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: The Internal Revenue Service has written agreements with state agencies representing 50 states, the District of Columbia, US territories, regional income tax agencies and qualifying municipalities on the exchange of Federal Tax Information for the purposes of tax administration. The states use this information for compliance purposes generating significant tax revenues. Fiscal Year 2013: The Internal Revenue Service has written agreements with state agencies representing 50 states, the District of Columbia, US territories, regional income tax agencies and qualifying municipalities on the exchange of Federal Tax Information for the purposes of tax administration. The states use this information for compliance purposes generating significant tax revenues. Fiscal Year 2014: The Internal Revenue Service has written agreements with state agencies representing 50 states, the District of Columbia, US territories, regional income tax agencies and qualifying municipalities on the exchange of Federal Tax Information for the purposes of tax administration. The states use this information for compliance purposes generating significant tax revenues.

REGULATIONS, GUIDELINES, AND LITERATURE:

- Internal Revenue Manual 11.3.32, Disclosure of Official Information, Disclosure to States for Tax Administration Purposes, found at <http://www.irs.gov/irm/part11/>
- Publication 1075, Tax Information Security Guidelines, found at <http://www.irs.gov/pub/irs-pdf/p1075.pdf>.
- Tax Information Security Guidelines Title 26 Code of Federal Regulations

Regional or Local Office:

See Regional Agency Offices. Disclosure Manager, in all area jurisdictions, found at <http://www.irs.gov/foia/article/0,,id=120681,00.html>.

Headquarters Office:

William T. Crowley 15 New Sudbury Street
JFK Room 825, Boston, Massachusetts 02138 Email:
William.T.Crowley@irs.gov Phone: (617) 316-2254

Website Address:

<http://www.irs.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

21.006 TAX COUNSELING FOR THE ELDERLY

FEDERAL AGENCY:

Internal Revenue Service (IRS), Department of the Treasury

AUTHORIZATION:

Revenue Act of 1978, Executive Order Public Law 95-600, 92 Stat. 2810, Title 26, Part 601, Section 163, Public Law 600-95, 19 Stat. 141, 26 U.S.C 601.

OBJECTIVES:

To authorize the Internal Revenue Service to enter into agreements with private or public nonprofit agencies or organizations; to establish a network of trained volunteers to provide free income tax information and return preparation assistance to elderly taxpayers.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funding may be used for reimbursing volunteers for their out-of-pocket expenses including transportation, meals, and other expenses incurred by them in providing tax counseling assistance at locations convenient to the taxpayers.

Applicant Eligibility:

Tax Counseling for the Elderly sponsors must be private or public nonprofit organizations with experience in coordinating volunteer programs. Federal, State, and local governmental agencies are not eligible to sponsor a program. Applicants must be tax compliant and not debarred or suspended.

Beneficiary Eligibility:

Elderly taxpayers, age 60 or older.

Credentials/Documentation:

Applicants must provide proof of their tax exempt status. The following OMB Requirements apply to this program: 2 CFR 215 (formerly OMB Circular No. A-110), Uniform Administrative Requirements for Grants and Agreements With Institutions of Higher Education, Hospitals, and Other Non-Profit Organizations;

OMB Circular A-133, Audits of States, Local Governments, and Non-Profit Organizations; and 2 CFR 230 (formerly OMB Circular A-122), Cost Principles for Non-Profit Organizations. This program is excluded from coverage under OMB Circular No. A-87. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Application procedures are included in IRS Publication 1101, Application Package and Guidelines for Managing a TCE Program. Eligible organizations are to submit their applications through grants.gov on or before May 31, 2013.

Award Procedure:

All complete applications meeting eligibility requirements will be evaluated on technical merit, capacity to reach targeted audience, their prior performance (if returning sponsor) and how the organization plans to provide Federal tax return assistance and electronic filing service to elderly individuals in the community.

Deadlines:

May 01, 2013 to May 31, 2013

Range of Approval/Disapproval Time:

From 90 to 120 days. Applicants will notify applicants of their acceptance or non-selection of their grant application, as well as those that did not qualify for the program generally by October 1 of each year. The schedule for notification will be determined based on the Congressional appropriation of funding for the TCE Program, meaning if we are operating under a Continuing Resolution, applicant notification may be delayed pending approval of TCE funds.

Appeals:

The selection of the grantees for this program is left to the discretion of the IRS - there is no appeals process.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Cooperative Agreements expire on September 30 of each year unless an earlier date is set-forth in the Cooperative Agreement. TCE grant funds are released on an immediate need or reimbursement basis - meaning an organization should request reimbursement from the IRS as they disburse funds for TCE program

activities. See the following for information on how assistance is awarded/released: Reimbursement.

Reports:

TCE Program recipients are required to submit a quarterly and year-end Federal Financial Report (FFR) Cash Transaction Report Standard Form 425 to the Payment Management System. In addition, Form 8654, Tax Counseling for the Elderly Semi-Annual/Annual Program Report is required to be submitted annually to the Grant Program Office. No cash reports are required. Form 8654, Tax Counseling for the Elderly Semi-Annual/Annual Program Report is required to be submitted annually and is prepared as cumulative, reflecting expenditures for entire program period. In addition, on-line Federal Financial reports are required through the Payment Management System on a quarterly basis to reflect expenditures that are being requested for reimbursement through the system. No performance monitoring is required. Grantees are responsible for monitoring volunteer compliance with program regulations and requirements, Section 163 of the Revenue Act of 1978, the Cooperative Agreement, and compliance with OMB circulars and regulations.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Federal Audit Clearinghouse operates on behalf of the Office of Management and Budget (OMB) and its primary purpose is to disseminate audit information to Federal agencies and the public. If the most recent audit showed an unfavorable finding, it will not automatically disqualify you from consideration; however, more information may be requested to ensure any deficiencies noted in the audit have been corrected.

Records:

Grant recipients are required to have a record keeping system in place to support all information reported. Supporting receipts and other documentation should be maintained for a minimum of 3 years following the submission of the final report.

Account Identification:

20-0912-0-1-803.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 12 \$5,600,000; FY 13 est \$5,600,000; and FY 14 est \$5,600,000 - none.

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: 1,255,454 federal returns prepared. Fiscal Year 2013: Fiscal Year 2013: A conservative growth rate of 5 percent is projected. Fiscal Year 2014: No current data is available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Publication 1101, Application Package and Guidelines for Managing a TCE Program

Regional or Local Office:

None.

Headquarters Office:

Grant Program Office - TCE Internal Revenue Service
5000 Ellin Road
NCFB C4-110, Lanham, Maryland 20706 Email: tce.grant.office@irs.gov
Phone: (404) 338-7894

Website Address:

<http://www.irs.gov/Individuals/Tax-Counseling-for-the-Elderly>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Quality of Programs and Service for age 60 and over 30 points; Geographic coverage and extent of coverage for age 60 and over 20 points; Electronic Filing services for age 60 and over 20 points; Prior experience in Federal Tax Return Counseling and Tax Preparation 10 points; Quality of Cooperative Agreement Administration and Internal Accounting Procedures 10 points; Past Performance 10 points; Grant Program Office Evaluation. The Grant Program Office evaluation involves a more in-depth review of the applicants budget information and accounting processes. The proposed geographic coverage and target audiences are also taken into consideration.

21.008 LOW INCOME TAXPAYER CLINICS**FEDERAL AGENCY:**

Internal Revenue Service (IRS), Department of the Treasury

AUTHORIZATION:

Internal Revenue Code, as amended, 26 U.S.C 7526.

OBJECTIVES:

To provide matching grants to organizations providing: (1) Representation of low income taxpayers in controversies with the Internal Revenue Service (IRS), or (2) programs to inform individuals for whom English is a second language about their taxpayer rights and responsibilities.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Awards under this program are issued to provide financial assistance that enhances the awardees' ability to represent low income taxpayers in controversies with the Internal Revenue Service (IRS) or inform individuals for whom English is a second language of their taxpayer rights and responsibilities. Each awardee is required to enter into an assistance agreement that requires the awardee to comply with program goals and abide by other terms and conditions pertinent to assistance received under this program.

Applicant Eligibility:

Qualifying organizations that represent low income taxpayers involved in controversies with the IRS and organizations that provide education and outreach on the taxpayer rights and responsibilities of U.S. taxpayers to individuals who speak English as a second language. IRC Section 7526 requires clinics to provide services for free or for no more than a nominal fee. The term "clinic" includes, but is not limited to, a clinical program at an accredited law, business, or accounting school in which students represent low income taxpayers in controversies with the IRS, and an organization described in IRC Section 501(c) and exempt from tax under IRC Section 501(a) that either directly represents taxpayers or refers taxpayers to qualified representatives.

Beneficiary Eligibility:

Low-income taxpayers with incomes which do not exceed 250 percent of the Federal Poverty Guidelines published annually by the Department of Health and Human Services, or taxpayers for whom English is a second language.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Each Low Income Taxpayer Clinic applicant must complete a Low Income Taxpayer Clinic Application Package. See IRS Publication 3319, Low Income Taxpayer Clinic Grant Application Package and Guidelines, which you may obtain by calling 1-800-TAX-FORM (1-800-829-3676) or by visiting www.irs.gov. This

program is subject to the provisions of OMB Circulars No. A-110, A-133, A-21, and A-122. Access www.irs.gov or call 1-800-TAX-FORM (1-800-829-3676) to obtain a copy of Publication 3319.

Award Procedure:

The IRS reviews applications and selects grant recipients.

Deadlines:

Jul 12, 2013 An annual notice of funds availability, published in the Federal Register, announces deadline dates for submission of applications. Deadline dates are generally 45 days subsequent to the notice publication date.

Range of Approval/Disapproval Time:

Grantees are generally selected 120 days after the application submission deadline.

Appeals:

None.

Renewals:

A multi-year award may be issued up to 3 years maximum.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Percent: 100%. All awards of financial assistance shall be matched by the recipient on a dollar-for-dollar basis. In general, funds from sources (other than from other federal government sources) can be counted as matching funds.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Generally, an award may be issued up to 3 years maximum. Method of awarding/releasing assistance: lump sum.

Reports:

Program reports are not applicable. Cash reports are not applicable. Each awardee will submit two reports, an interim report due approximately 30 days after the calendar mid-year and an annual report due approximately 90 days after the end of the award year. Each awardee will submit two reports, an interim report due approximately 30 days after the calendar mid-year and an annual report due approximately 90 days after the end of the award year. Performance monitoring is not applicable.

Audits:

Not Applicable.

Records:

Award recipients are required to maintain records to substantiate total costs incurred and to assure that all performance measures were met. Records must be retained in accordance with the provisions of OMB Circular No. A-110.

Account Identification:

20-0912-0-1-803.

Obligations:

(Project Grants) FY 12 Not Available; FY 13 est \$10,000,000; and FY 14 est \$10,000,000

Range and Average of Financial Assistance:

Range of grant: \$10,000 to \$100,000.

2013 Average: 68,257.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: FY 2012 we were able to bring in several new clinics in designated areas to provide the type assistance an LITC is required to provide. Fiscal Year 2013: For 2013, we continue to work toward ensuring that each state (plus the District of Columbia and Puerto Rico) continues to be served by at least one clinic; ensuring grant recipients demonstrate that they are serving geographic areas that have sizable populations eligible for and requiring LITC services; and expanding coverage in geographic areas that do not have both controversy representation and ESL education and outreach. Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

IRS Publication 3319, Low Income Taxpayer Clinic Grant Application Package and Guidelines.

Regional or Local Office:

None.

Headquarters Office:

William C Beard 1111 Constitution Avenue NW, Room 1034, Washington, District of Columbia 20224 Email: beard.william@irs.gov Phone: (202) 622-8972 Fax: (202) 622-4318.

Website Address:

http://www.irs.gov/advocate

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Evaluation factors include: (1) Quality of the programs offered by the clinic, including the qualifications of the clinic's administrators and representatives as well as the clinic's record in providing service to low-income or ESL taxpayers; (2) Quality of grant administration and internal accounting procedures; (3) Number of low income or ESL taxpayers in geographic area and proposed efforts to reach these taxpayers; and (4) Other factors deemed appropriate under this program.

21.009 VOLUNTEER INCOME TAX ASSISTANCE (VITA) MATCHING GRANT PROGRAM**FEDERAL AGENCY:**

Department of the Treasury

AUTHORIZATION:

Executive Order Consolidated Appropriations Act, 2012, P.L. 112-74.

OBJECTIVES:

To provide direct funding that will enable VITA initiatives to extend services to underserved populations and hardest-to-reach areas, both urban and non-urban; specific objectives as they relate to the VITA Program which include: increasing the capacity to file returns electronically, heighten quality control, enhance training of volunteers, and significantly improve the accuracy rate of returns prepared by VITA sites.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Grant funds can be used to cover ordinary and necessary expenses for operating a VITA tax preparation program. Such expenses are only allowable if they are reasonable, allocable, and in accordance with the OMB Cost Principles Circulars.

Applicant Eligibility:

Non-profit organization or state or government entity.

Beneficiary Eligibility:

Providing assistance to low to moderate income individuals and families.

Credentials/Documentation:

Applicants must provide proof of their entity status (i.e. tax-exempt organization/government agency). Matching funds must be documented. Applicants must be tax compliant and not debarred or suspended. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Complete application procedures are included in IRS Publication 4671, Volunteer Income Tax Assistance Grant Program Overview and Application Instructions. Applicants must provide proof of their entity status (i.e. tax-exempt organization/government agency). Matching funds must be documented. The following OMB regulations apply to this program: 2

CFR 215 (formerly OMB Circular No. A-110), Uniform Administrative Requirements for Grants and Agreements With Institutions of Higher Education, Hospitals, and Other Non-Profit Organizations; OMB Circular A-102, Grants and Cooperative Agreements With State and Local Governments; OMB Circular A-133, Audits of States, Local Governments, and Non-Profit Organizations; 2 CFR 220 (formerly OMB Circular A-21), Cost Principles for Educational Institutions; 2 CFR 225 (formerly OMB Circular A-87), Cost Principles for State, Local and Indian Tribal Governments; and 2 CFR 230 (formerly OMB Circular A-122), Cost Principles for Non-Profit Organizations.

Award Procedure:

All complete applications meeting initial eligibility requirements will be evaluated/ranked on technical merit, capacity to reach the targeted audiences, and geographic coverage. Applicants will be notified of their acceptance or rejection of a grant award.

Deadlines:

May 01, 2013 to May 31, 2013 Applicants are to submit the VITA Grant Program Application as explained in Publication 4671 via Grants.gov. All applications submitted must be received through grants.gov on or before May 31, 2013.

Range of Approval/Disapproval Time:

From 90 to 120 days. The IRS will notify applicants of the acceptance or non-selection of their grant application, as well as those that did not qualify for the program by October 1 of each year.

Appeals:

The selection of the grantees for this program is left to the discretion of the IRS - there is no appeals process for non-selection.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: Percent: 100%. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The grant period extends from July 1st of a given year through June 30th of the subsequent year. See the following for information on how assistance is awarded/released: Once awarded, VITA grant funds are released on an immediate need or reimbursement basis. Funding is deposited in an account managed by the Division of Payment Management and recipients may request/receive funds electronically after account establishment.

Reports:

VITA Grant recipients are required to submit quarterly Federal Financial Report (FFR) Cash Transaction Report Standard Form 425 through the Payment Management System (PMS) 30 days after quarter end. A year-end FFR Financial Status Report is also required to be submitted through the PMS by September 30. No cash reports are required. Additional year-end reporting is required to be submitted by September 30. These reports as detailed in the grant agreement must be submitted to the Grant Program Office using specific forms within the Performance Progress Report family, a narrative, final listing of sites opened and budget summary. Grant recipients are also expected to prepare and submit quarterly on-line Federal Financial Reports to the Payment Management System. A report is due 30 days after the end of each quarter. Grantees are responsible for monitoring volunteer compliance with program regulations and requirements, the Grant Agreement, and compliance with applicable OMB Circulars and regulations.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. The Federal Audit Clearinghouse

operates on behalf of the Office of Management and Budget (OMB) and its primary purpose is to disseminate audit information to Federal agencies and the public. If the most recent audit showed an unfavorable finding, it will not automatically disqualify you from consideration; however, more information may be requested to ensure any deficiencies noted in the audit have been corrected.

Records:

Grant recipients are required to have a record keeping system in place to support all information reported. Supporting receipts and other documentation should be maintained for a minimum of three years following the submission of the final report.

Account Identification:

20-0912-0-1-803.

Obligations:

(Project Grants (Discretionary)) FY 12 \$12,000,000; FY 13 est \$12,000,000; and FY 14 est \$12,000,000

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: 1,263,533 federal returns prepared. Fiscal Year 2013: A conservative growth rate of 5 percent is projected. Fiscal Year 2014: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Publication 4671, VITA Grant Program Overview and Application Instructions

Regional or Local Office:

None.

Headquarters Office:

Grant Program Office Grant Program Office, 401 West Peachtree Street, NW, Stop 420-D, Atlanta, Georgia 30308 Email: Grant.Program.Office@irs.gov Phone: (404) 338-7894

Website Address:

<http://www.irs.gov/Individuals/IRS-VITA-Grant-Program>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The selection of proposals is based on a two-tiered evaluation process:

1. Experience with low income and/or return preparation 40 points
2. Strength of program 40 points
3. VITA target audience 40 points
4. Ability to partner or collaborate with multiple organizations 20 points
5. Sustainability and growth strategy 10 points
6. Quality control process 40 points
7. Program measures 10

Grant Program Office Evaluation

The Grant Program Office evaluation involves a more in-depth review of the applicants budget information and accounting processes. The proposed geographic coverage and target audiences are also taken into consideration.

21.010 FINANCIAL EDUCATION AND COUNSELING PILOT PROGRAM

FEC

FEDERAL AGENCY:

Community Development Financial Institutions Fund, Department of the Treasury

AUTHORIZATION:

Section 1132(c) of The Housing and Economic Recovery Act of 2008; Public Law 110-289.

OBJECTIVES:

To provide a range of financial education and counseling services to prospective homebuyers; to identify successful methods resulting in positive behavioral change for financial empowerment; and to establish program models for organizations to carry out effective counseling services.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

The CDFI Fund awards grants to eligible organizations to provide financial education and counseling services to: (1) increase the financial knowledge and decision making capabilities of prospective homebuyers; (2) assist prospective homebuyers to develop monthly budgets, build personal savings, finance or plan for major purchases, reduce their debt, improve their financial stability, and set and reach their financial goals; (3) help prospective homebuyers to improve their credit scores by understanding the relationship between their credit histories and their credit scores; and (4) educate prospective homebuyers about the options available to build savings for short- and long-term goals. Each awardee enters into an assistance agreement that specifies the terms and conditions related to expenditures (including eligible uses) of the grant funds, requires compliance with performance goals, and requires reporting on performance outcomes.

Applicant Eligibility:

Applicants must be 1) certified in accordance with section 106(e)(1) of the Housing and Urban Development Act of 1968 (12 U.S.C. 1701x(e)); or 2) certified by the Office of Financial Education of the Department of the Treasury. In addition, such organizations must be able to demonstrate that they meet minimum threshold requirements with respect to their experience and ability to provide financial education and counseling services which result in documented positive behavioral changes.

Beneficiary Eligibility:

Low-income, moderate-income and low-wealth individuals who are prospective homebuyers.

Credentials/Documentation:

An applicant must provide information necessary to establish that it is an eligible organization in order to apply for assistance. This information is included as part of the application materials. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Each applicant must complete an application package. The CDFI Fund provides the application package format.

Award Procedure:

The CDFI Fund reviews applications and makes awards based on an established awards set forth in the Notice of Funds Availability (NOFA) and application materials.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

The NOFA, is published in the Federal Register, announces the deadlines for submission of applications. Application deadlines are from 30 to 60 days subsequent to the NOFA publication date. Award approval/disapproval determinations may be made within 180 days after the application deadline.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awardees must use all funds within three years. Method of awarding/releasing assistance: lump sum.

Reports:

Program Reports provide the explanation of noncompliance with a statement addressing the failure to achieve program goals. No cash reports are required. Progress Reports cover the following areas: Institutional Performance Reporting; Transactional Performance Reporting; and the utilization of the award funds for Financial and/or Technical assistance. Expenditure Reports are included in the SF-425 Expenditure of Financial Assistance Report. Performance Monitoring is accomplished with the financial review of Audited Financial Statements; and the OMB Circular A-133 Single Audit findings.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Award recipients must maintain records to substantiate total costs incurred and to assure that all performance measures were met. Records must be retained in accordance with the provisions of applicable OMB guidance and the terms of the assistance agreement.

Account Identification:

20-1881-0-1-451.

Obligations:

(Project Grants) FY 12 \$0; FY 13 est \$0; and FY 14 est \$0 - No funds were appropriated in FY 2012 or FY 2013.

Range and Average of Financial Assistance:

During FY 2010, the CDFI Fund made awards to five awardees, \$400,000 each, including the FY 2009 appropriation; During FY 2011, the CDFI Fund made awards to three awardees, ranging from \$200,000 to \$400,000, totaling \$1,000,000 and one award of \$3,150,000 to an organization in Hawaii, utilizing the FY 2010 appropriation.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

FEC Pilot Program NOFA; FEC Pilot Program Application. Website: www.cdfifund.gov.

Regional or Local Office:

None.

Headquarters Office:

Jodie Harris 1801 L Street, NW, 6th Floor, Washington, District of Columbia 20036 Email: harrisj@cdfi.treas.gov Phone: 202-653-0406 Fax: 202-508-0025

Website Address:

<http://www.cdfifund.gov>

RELATED PROGRAMS:

21.011 Capital Magnet Fund; 21.012 Native Initiatives; 21.014 Community Development Financial Institutions Bond Guarantee Program; 21.020 Community Development Financial Institutions Program; 21.021 Bank Enterprise Award Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applicants will be evaluated based upon: (1) the quality of their implementation strategy; (2) the proposed impacts, including potential for

program replication; (3) the organizational capacity; (4) the strategy for the proposed uses of funds and (5) other factors set forth in the NOFA and application materials.

21.011 CAPITAL MAGNET FUND

CMF

FEDERAL AGENCY:

Community Development Financial Institutions Fund, Department of the Treasury

AUTHORIZATION:

The Housing and Economic Recovery Act of 2008, Section 1339.

OBJECTIVES:

To attract financing for and increase investment in affordable housing for low-income, very low-income, and extremely low-income people and certain related economic and community development activities.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

The CDFI Fund awards Capital Magnet Fund grants to certified Community Development Financial Institutions (CDFIs) and certain nonprofit organizations to finance: (1) the development, preservation, rehabilitation, or purchase of affordable housing for primarily extremely low-, very low-, and low-income families; and (2) economic development activities or community service facilities, such as day care centers, workforce development centers, and health care clinics, which in conjunction with affordable housing activities implement a concerted strategy to stabilize or revitalize a low-income area or underserved rural area. Each awardee enters into an assistance agreement that specifies the terms and conditions related to expenditures (including eligible uses) of the grant funds, requires compliance with performance goals, and requires reporting on performance outcomes.

Applicant Eligibility:

Applicants must be (1) a Treasury certified CDFI; or (2) a nonprofit organization having as one of its principal purposes the development or management of affordable housing.

Beneficiary Eligibility:

Low income, very low-income, and extremely low-income people as set forth in 12 C.F.R. 1807.

Credentials/Documentation:

An applicant must provide information necessary to establish that it is an eligible organization in order to apply for assistance. This information is included as part of the application materials. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Each applicant must complete an application package. The CDFI Fund provides the application package format.

Award Procedure:

The CDFI Fund reviews applications and makes awards based on an established awards review process, set forth in the Notice of Funds Availability (NOFA) and application materials.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

The NOFA, published in the Federal Register, announces the deadline for submission of applications. Award approval/disapproval determinations are

generally made within 180 days after the application deadline. The application deadline is 30 days subsequent to the NOFA publication date.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. This program has no matching requirements. Certain leverage requirements apply. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awardees must commit all funds within two years; begin initial expenditure of funds within three years; and place the projects into service within five years of the award. Method of awarding/releasing assistance: lump sum.

Reports:

Program Reports provide the explanation of noncompliance with a statement addressing the failure to achieve program goals. No cash reports are required. Progress Reports cover the following areas: Institutional Performance Reporting; Transactional Performance Reporting; and the utilization of the award funds for Financial and/or Technical assistance. Expenditure Reports are included in the SF-425 Expenditure of Financial Assistance Report. Performance Monitoring is accomplished with the financial review of Audited Financial Statements; and the OMB Circular A-133 Single Audit findings.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Award recipients must maintain records to substantiate total costs incurred and to assure that all performance measures are met. Records must be retained in accordance with the provisions of applicable OMB guidance and the terms of the assistance agreement.

Account Identification:

20-1881-0-1-451.

Obligations:

(Project Grants) FY 12 \$0; FY 13 est \$0; and FY 14 est \$0 - No funds were appropriated for the program in FY 2011, FY 2012, or FY 2013.

Range and Average of Financial Assistance:

The maximum allowable award is 15% of the annual available funding.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: No Current Data Available. Fiscal Year 2013: No Current Data Available. Fiscal Year 2014: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Proposed Rule (12 CFR 1807); NOFA; CMF Application. Website: www.cdfifund.gov.

Regional or Local Office:

None. None.

Headquarters Office:

Jodie Harris 1801 L Street, N.W., 6th Floor, Washington, District of Columbia 20036 Email: harrisj@cdfi.treas.gov Phone: 202-653-0406 Fax: 202-508-0025

Website Address:

<http://www.cdfifund.gov>.

RELATED PROGRAMS:

21.010 Financial Education and Counseling Pilot Program; 21.012 Native Initiatives; 21.014 Community Development Financial Institutions Bond

Guarantee Program; 21.020 Community Development Financial Institutions Program; 21.021 Bank Enterprise Award Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applicants are evaluated based upon criteria set forth in the interim rule, the NOFA and application materials.

21.012 NATIVE INITIATIVES

(NI)

FEDERAL AGENCY:

Community Development Financial Institutions Fund, Department of the Treasury

AUTHORIZATION:

Riegle Community Development and Regulatory Improvement Act of 1994, 12 U.S.C 4701 et.seq.

OBJECTIVES:

To promote economic revitalization and community development through financial and technical assistance to Native Community Development Financial Institutions (CDFIs) and a complementary series of training programs called Expanding Native Opportunities.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Under the Native American CDFI Assistance (NACA) Program, Financial Assistance (FA) and Technical Assistance (TA) awards are provided to build the capacity of new or existing Native CDFIs serving Native Communities. Under the Expanding Native Opportunities, the complementary capacity-building initiatives foster the development of Native CDFIs through training and technical assistance. Each NACA awardee enters into an assistance agreement that requires it to achieve performance goals and abide by other terms and conditions. No awards may be issued to Federal agencies, departments or instrumentality's, State governments local governments or any agency or instrumentalities thereof.

Applicant Eligibility:

Only certified Native CDFIs are eligible to apply for Financial Assistance awards. Organizations that are Certified Native CDFIs, Emerging Native CDFIs, or Sponsoring Entities may apply for Technical Assistance awards. However, non-certified organizations must be able to become certified within two years after receiving a TA award.

Beneficiary Eligibility:

Targeted Populations as defined in 12 CFR 1805.

Credentials/Documentation:

An organization wishing to apply for a FA award through the NACA Program must be either a certified Native CDFI or be able to be certified by the CDFI Fund at the time of application. Organizations that are Certified Native CDFIs, Emerging Native CDFIs, or Sponsoring Entities may apply for TA awards. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact statement is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Each applicant must complete a NACA Program application which includes a Comprehensive Business Plan.

Award Procedure:

The CDFI Fund reviews applications and makes awards based on an established awards review process, set forth in the Notice of Funds Availability (NOFA) and application materials.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

The NOFA published in the Federal Register, announces the deadlines for submission of applications. Application deadlines are from 60 to 90 days subsequent to the NOFA publication date. Award approval/disapproval determinations may be made within 180 days after the application deadline.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

This program has no matching requirements. The applicant is required to match its Financial Assistance award dollar-for-dollar with non-federal funds of the same type as the award itself. This requirement enables CDFIs to leverage private capital to meet the demand for affordable financial products and services in economically distressed communities. The CDFI Funds appropriations waived the matching funds requirement for NACA FA applicants for FY 2009, 2010, 2011, 2012, and 2013. Matching funds are not statutorily required for TA Applicants.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awardees must use all funds within three years. Method of awarding/releasing assistance: lump sum.

Reports:

Program Reports provide the explanation of noncompliance with a statement addressing the failure to achieve program goals. No cash reports are required. Progress Reports cover the following areas: Institutional Performance Reporting; Transactional Performance Reporting; and the utilization of the award funds for Financial and/or Technical assistance. Expenditure Reports are included in the SF-425-Expenditure of Financial Assistance Report. Performance Monitoring is accomplished with the financial review of Audited Financial Statements and the OMB Circular A-133 Single Audit findings.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Award recipients must maintain records to substantiate total costs incurred and to assure that all performance measures are met. Records must be retained in accordance with the provisions of OMB Circular No. A-110 and the terms of the assistance agreement.

Account Identification:

20-1881-1-2-451 - Regular Appropriation.

Obligations:

(Project Grants) FY 12 \$11,473,647; FY 13 est \$12,000,000; and FY 14 est \$12,000,000

Range and Average of Financial Assistance:

FY 2010 Range of Financial Assistance Awards - \$458,000 - \$650,000;

FY 2010 Average Financial Assistance Awards were \$597,000 and capped at \$650,000.

FY 2010 Range of Technical Assistance Awards - \$22,000 - \$150,000;

FY 2010 Average Technical Assistance Awards were \$122,000 and capped at \$150,000.

FY 2011 Range of Financial Assistance Awards - \$279,000 - \$725,000;

FY 2011 Average Financial Assistance Awards were \$651,000 and capped at \$725,000.

FY 2011 Range Technical Assistance Awards - \$25,000 - \$150,000;

FY 2011 Average Technical Assistance Awards were \$130,000 and capped at \$150,000.

FY 2012 Range of Financial Assistance Awards - \$363,800 - \$750,000;

FY 2012 Average Financial Assistance Awards were \$602,647 and capped at \$750,000.

FY 2012 Range Technical Assistance Awards - \$74,088 - \$149,999;

FY 2012 Average Technical Assistance Awards were \$135,219 and capped at \$150,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: In FY 2012, the CDFI Fund received 66 eligible NACA applications requesting \$20.94 million. The CDFI Fund made 33 awards totaling \$11.47 million to organizations located in 16 states. Fiscal Year 2013: In FY 2013, the CDFI Fund expects to award approximately \$12 million in NACA awards for FA and TA Applicants. Fiscal Year 2014: No current data available.

REGULATIONS, GUIDELINES, AND LITERATURE:

12 CFR Part 1805; Notice of Funds availability; Native Initiatives Program Application.

Regional or Local Office:

None.

Headquarters Office:

Adam Martinez 1801 L Street, N.W., 6th Floor, Washington, D.C., District of Columbia 20036 Email: martineza@cdfi.treas.gov Phone: 202-653-0329 Fax: 202-508-0002

Website Address:

<http://www.cdfifund.gov>

RELATED PROGRAMS:

21.010 Financial Education and Counseling Pilot Program; 21.011 Capital Magnet Fund; 21.014 Community Development Financial Institutions Bond Guarantee Program; 21.020 Community Development Financial Institutions Program; 21.021 Bank Enterprise Award Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: In FY 2012, the CDFI Fund made 15 FA and 18 TA awards totaling \$11,473,647. This included 28 loan funds, four credit unions, and one bank or thrift. Fiscal Year 2013: No Current Data Available. Fiscal Year 2014: No Current Data Available.

CRITERIA FOR SELECTING PROPOSALS:

Evaluation factors include: (1) financial strength and organizational capacity of applicant, (2) external resources or matching fund commitment/ability to obtain commitments, (3) community impact and (4) other factors as set forth in the NI Program regulations, NOFA and application materials.

21.014 COMMUNITY DEVELOPMENT FINANCIAL INSTITUTIONS BOND GUARANTEE PROGRAM

CDFI Bond Guarantee Program

FEDERAL AGENCY:

Community Development Financial Institutions Fund, Department of the Treasury

AUTHORIZATION:

The Small Business Jobs Act of 2010, Section 1134, 1703, Public Law 111-240.

OBJECTIVES:

To support community development lending and investment by providing a new source of long-term capital to certified Community Development Financial Institutions (CDFIs) for economic and community development in low-income communities and underserved rural areas.

TYPES OF ASSISTANCE:

Guaranteed/Insured Loans

USES AND USE RESTRICTIONS:

Through the CDFI Bond Guarantee Program, the U.S. Department of the Treasury will provide a 100 percent guarantee on the principal and interest of

Bonds issued by Qualified Issuers. No guarantee amount may be less than \$100,000,000, provided the total of all such guarantees in any one fiscal year may not exceed \$1 billion (subject to appropriations). By legislative design, the CDFI Bond Guarantee Program is a zero subsidy credit program. In accordance with Federal credit policy, the Federal Financing Bank will purchase Bonds issued by Qualified Issuers. The maximum maturity of the bonds is 30 years and the bonds are taxable. Qualified Issuers will lend the bond proceeds to Eligible CDFIs. The loans to Eligible CDFIs must be used for Eligible Community or Economic Development Purposes. Bond and Bond Loan rates and terms will vary.

Applicant Eligibility:

The Act defines Eligible CDFIs and authorizes the CDFI Fund to determine which entities may serve as Qualified Issuers.

Beneficiary Eligibility:

Eligible CDFIs must use the Bond Proceeds for Eligible Community and Economic Development Purposes. This may include, but is not limited to, lending activities in low-income communities and underserved rural areas such as: multi-family housing projects, charter schools, and municipal and community entity lending, among others.

Credentials/Documentation:

Eligible CDFIs must be certified by the CDFI Fund. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Application procedures will be set forth in the CDFI Bond Guarantee Program regulations, the applicable Notice of Guarantee Availability (NOGA), and application materials.

Award Procedure:

The CDFI Fund reviews applications and authorizes the provision of Guarantees based on an established merit-based review process, set forth in the CDFI Bond Guarantee Program regulations, NOGA, and application materials.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

> 180 Days.

Appeals:

There is no right to appeal CDFI Fund decisions.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The CDFI Bond Guarantee Program is authorized through the end of fiscal year 2014. Guaranteed Bonds may have terms up to 30 years. See the following for information on how assistance is awarded/released: Procedures for Bond Loan disbursements are set forth in the CDFI Bond Guarantee Program regulations and the NOGA.

Reports:

Reporting is required of Qualified Issuers and Eligible CDFIs regarding the use of Bond and Bond Loan proceeds. No cash reports are required. Progress reports are required. Expenditure reports may be required. Performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit

Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Record keeping will be required.

Account Identification:

20-1881-3-4-451.

Obligations:

(Guaranteed/Insured Loans) FY 12 \$0; FY 13 est \$500,000; and FY 14 est \$500,000

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program regulations (12 CFR 1808)

Regional or Local Office:

None.

Headquarters Office:

Lisa M. Jones 1801 L Street, N.W., 6th Floor, Washington, District of Columbia 20036 Email: jonesl@cdfi.treas.gov Phone: 202-653-0323 Fax: 202-508-0034

Website Address:

<http://www.cdfifund.gov>

RELATED PROGRAMS:

21.010 Financial Education and Counseling Pilot Program; 21.011 Capital Magnet Fund; 21.012 Native Initiatives; 21.020 Community Development Financial Institutions Program; 21.021 Bank Enterprise Award Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The application review and evaluation process is merit-based and based on established standard operating procedures, which may include interviews and/or site visits.

21.015 RESOURCES AND ECOSYSTEMS SUSTAINABILITY, TOURIST OPPORTUNITIES, AND REVIVED ECONOMIES OF THE GULF COAST STATES

Gulf RESTORE

FEDERAL AGENCY:

Department of the Treasury, Department of the Treasury

AUTHORIZATION:

Resources and Ecosystems Sustainability, Tourist Opportunities, and Revived Economies of the Gulf Coast States Act of 2012.

OBJECTIVES:

Restore and protect the natural resources, ecosystems, fisheries, marine and wildlife habitats, beaches, coastal wetlands, and economy of the Gulf Coast Region.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

Projects and programs, using the best available science, that would restore and protect the natural resources, ecosystems, fisheries, marine and wildlife habitats, beaches, coastal wetlands, and economy of the Gulf Coast Region. The Act specifies the types of eligible programs, projects, and activities. The Act specifies five Gulf Coast States and coastal entities that are eligible to apply.

Applicant Eligibility:

Projects and programs, using the best available science, that would restore and

protect the natural resources, ecosystems, fisheries, marine and wildlife habitats, beaches, coastal wetlands, and economy of the Gulf Coast Region.

Beneficiary Eligibility:

The beneficiaries are the people and wildlife of the Gulf Coast Region.

Credentials/Documentation:

A-87 applies to this program. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

Eligible applicants follow the procedures given by the administering agencies.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title 31, Chapter Department of the Treasury, Part 34, Subpart B.

Matching requirements are not applicable to this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Amounts are available from civil penalties paid by responsible parties in connection with the explosion on, and sinking of, the mobile offshore drilling unit Deepwater Horizon. Method of awarding/releasing assistance: by letter of credit.

Reports:

Administering agencies specify the reporting requirements. Administering agencies specify the reporting requirements. Progress reports are not applicable. Expenditure reports are not applicable. Administering agencies specify the monitoring requirements.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Annual audit reports

Records:

Administering agencies specify the record keeping requirements.

Account Identification:

20-8625-0-7-452.

Obligations:

(Formula Grants) FY 12 \$0; FY 13 Estimate Not Available; and FY 14 Estimate Not Available

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Sheryl Morrow 1500 Pennsylvania Avenue, NW, Washington, District of Columbia 20016 Email: RESTOREruleQ@treasury.gov Phone: 202 622-8951

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

21.020 COMMUNITY DEVELOPMENT FINANCIAL INSTITUTIONS PROGRAM

(CDFI)

FEDERAL AGENCY:

Community Development Financial Institutions Fund, Department of the Treasury

AUTHORIZATION:

Riegle Community Development and Regulatory Improvement Act of 1994, 12 U.S.C 4701 et.seq.

OBJECTIVES:

To promote economic revitalization and community development through investment in and assistance to Community Development Financial Institutions (CDFIs).

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Financial Assistance (FA) awards enhance the awardee's ability to make loans and investments and to provide financial services that promote economic revitalization and community development. Each awardee enters into an assistance agreement that requires it to achieve performance goals and abide by other terms and conditions. Technical Assistance (TA) awards build the awardee's internal capacity. No awards may be issued to Federal Government agencies, departments or instrumentalities, State governments local governments or any agency or instrumentality thereof.

Applicant Eligibility:

Only certified CDFIs are eligible to apply for Financial Assistance awards. Certified CDFIs and entities seeking to become certified CDFIs may apply for Technical Assistance awards.

Beneficiary Eligibility:

Investment Areas and Targeted Populations, as defined in 12 CFR 1805.

Credentials/Documentation:

An organization wishing to apply for a FA through the CDFI Program must be either a certified CDFI or be able to be certified by the CDFI Fund at the time of application. Both certified CDFIs and non-certified CDFIs are eligible to apply for TA awards. However, non-certified organizations must be able to become certified within two years after receiving a TA award. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Each applicant must complete a CDFI Program Application which includes a Comprehensive Business Plan.

Award Procedure:

The CDFI Fund reviews applications and makes awards based on an established awards review process, set forth in the Notice of Funds Availability (NOFA) and application materials.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

The NOFA, published in the Federal Register, announces the deadlines for submission of applications. Application deadlines are from 60 to 90 days subsequent to the NOFA publication date. Award approval/disapproval determinations may be made within 180 days after the application deadline.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: The CDFI applicant is required to match its Financial Assistance award dollar-for-dollar with non-federal funds of the same type as the award itself. This requirement enables CDFIs to leverage private capital to meet the demand for affordable financial products and services in economically distressed communities. The CDFI Funds appropriations waived the matching funds requirement for Small and Emerging CDFIs (SECA) FA Applicants for FY 2009, 2010, 2011, 2012, and 2013. The matching funds requirement was waived for CORE FA Applicants for FY 2009, 2010, and 2011. Matching funds are not statutorily required for TA Applicants. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awardees must use all FA funds within three years and TA funds within two years. Method of awarding/releasing assistance: lump sum.

Reports:

Program Reports provide the explanation of noncompliance with a statement addressing the failure to achieve program goals. No cash reports are required. Progress Reports cover the following areas: Institutional Performance Reporting; Transactional Performance Reporting; and the utilization of the award funds for Financial and/or Technical assistance. Expenditure Reports are included in the SF-425 - Expenditure of Financial Assistance. Performance Monitoring is accomplished with the financial review of Audited Financial Statements and the OMB Circular A-133 Single Audit findings.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Award recipients must maintain records to substantiate total costs incurred and to assure that all performance measures are met. Records must be retained in accordance with the provisions of OMB Circular No. A-110 and the terms of the assistance agreement.

Account Identification:

20-1881-1-2-451 - Regular Appropriation.

Obligations:

(Project Grants) FY 12 \$152,281,326; FY 13 est \$153,000,000; and FY 14 Estimate Not Available

Range and Average of Financial Assistance:

FY 2010 Range of Financial Assistance Awards \$169,000- \$750,000; FY 2010 Average Financial Assistance Awards were \$693,659 and capped at \$750,000. FY 2010 Range of Technical Assistance Awards \$46,000 - \$100,000; FY 2010 Average Technical Assistance Awards were \$89,561 and capped at \$100,000.

FY 2011 Range of Financial Assistance Awards: \$150,000 - \$3,000,000; FY 2011 Average Financial Assistance Awards were \$1,262,721 and capped at 3,000,000.

FY 2011 Range of Technical Assistance Awards \$13,000 - \$100,000; FY 2011 Average Technical Assistance Awards were \$85,106 and capped at \$100,000.

FY 2012 Range of Financial Assistance Awards: \$53,806 - \$1,453,806; FY 2012 Average Financial Assistance Awards were \$1,269,378 and capped at 1,453,806.

FY 2012 Range of Technical Assistance Awards: \$54,384 - \$100,000; FY 2012 Average Technical Assistance Awards were \$92,417 and capped at \$100,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: In FY 2012, the CDFI Fund received applications from 401 organizations of which 389 were deemed eligible to advance to the review process. Those 389 eligible organizations requested more than \$378 million in FA and TA. The CDFI Fund made 177 awards totaling more than \$152 million to organizations in 40 states and the District of Columbia. Fiscal Year 2013: In FY 2013, the CDFI Fund expects to award approximately \$153 million in CDFI awards for FA and TA Applicants. Fiscal Year 2014: No current data available.

REGULATIONS, GUIDELINES, AND LITERATURE:

12 CFR Part 1805, Notice of Funds Availability. Community Development Financial Institutions Program Application. Website: www.cdfifund.gov.

Regional or Local Office:

None.

Headquarters Office:

Adam Martinez 1801 L Street, N.W., 6th Floor, Washington, D.C., District of Columbia 20036 Email: martineza@cfdi.treas.gov Phone: 202-653-0329 Fax: 202-508-0002

Website Address:

<http://www.cdfifund.gov>.

RELATED PROGRAMS:

21.010 Financial Education and Counseling Pilot Program; 21.011 Capital Magnet Fund; 21.012 Native Initiatives; 21.014 Community Development Financial Institutions Bond Guarantee Program; 21.021 Bank Enterprise Award Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: In FY 2012, the CDFI Fund made 144 FA and 33 TA awards totaling \$152,281,326. This included 146 loan funds, 22 credit unions, three venture capital funds, and six depository institutions/holding companies. Fiscal Year 2013: No Current Data Available. Fiscal Year 2014: No data available.

CRITERIA FOR SELECTING PROPOSALS:

Evaluation factors include: (1) financial strength and organizational capacity of applicant, (2) external resources or matching fund commitment/ability to obtain commitments, (3) community impact, and (4) other factors set forth in the CDFI Program regulations, NOFA and application materials.

21.021 BANK ENTERPRISE AWARD PROGRAM

BEA

FEDERAL AGENCY:

Community Development Financial Institutions Fund, Department of the Treasury

AUTHORIZATION:

12 U.S.C. 1834a; 12 U.S.C. 4713.

OBJECTIVES:

To encourage insured depository institutions to increase their level of community development activities in the form of loans, investments, services and technical assistance within distressed communities and to provide assistance to certified Community Development Financial Institutions (CDFIs) through grants, equity investments, loans, deposits and other forms of financial and technical assistance.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

The CDFI Fund makes grants to selected applicants that: (1) invest in or otherwise support CDFIs; (2) increase lending and investment activities within distressed communities; and/or (3) increase the provision of certain services and technical assistance within distressed communities. An award is based upon a percentage of the increase in qualifying activities that are carried out by the applicant between an established baseline period and assessment period. This information is announced in the annual Notice of Funds Availability published in the Federal Register. Only Federal Deposit Insurance Corporation insured banks and savings associations may apply for an award under this program.

Applicant Eligibility:

Profit Organization and Other Private Institution/Organization in the form of FDIC insured depository institutions.

Beneficiary Eligibility:

Distressed communities as defined in 12 C.F.R. 1806.

Credentials/Documentation:

An applicant must provide a Federal Deposit Insurance Corporation certificate number. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Each applicant must complete a Bank Enterprise Award Application.

Award Procedure:

The CDFI Fund reviews applications and makes awards based on an established awards review process, set forth in the Notice of Funds Availability (NOFA) and application materials.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

The annual NOFA published in the Federal Register announces the deadlines for the submission of applications. Award approval/disapproval determinations may be made within 180 days after the application deadline. Application deadlines are from 30 to 90 days subsequent to the NOFA publication date.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Funds must be used within one year on BEA qualified activities. See the following for information on how assistance is awarded/released: lump sum or multiple disbursements.

Reports:

The Uses of BEA Program Award Report is used to identify and record the utilization of the award funds. No cash reports are required. No progress reports are required. No expenditure reports are required. Performance Monitoring is accomplished with the review of the Uses of BEA Program Award Report submitted by the award recipient.

Audits:

This program is excluded from coverage under OMB Circular No. A-133.

Award recipients (insured depository institutions) are excluded from coverage under OMB Circular A-133 (Revised, June 27, 2003) "Audits of States, Local Governments, and Non-Profit Organizations". Costs incurred for awards issued as part of this program are subject to audit in accordance with the award terms

and conditions.

Records:

Award recipients must maintain records to substantiate all award costs incurred and to assure that all performance measures are met. Records must be retained in accordance with the provisions of applicable OMB guidance and the terms of the award agreement.

Account Identification:

20-1881-1-2-451.

Obligations:

(Project Grants) FY 12 \$17,800,000; FY 13 est \$17,100,000; and FY 14 est \$18,000,000

Range and Average of Financial Assistance:

FY 2010 Range of awards \$6,000 to \$600,000; Average Award \$357,249; FY 2011 Range of awards \$6,000 to \$500,000; Average Award \$285,143; FY 2012 Range of awards \$7,500 to \$415,000; Average Award \$304,559.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: 47 Awards in the Distressed Community Financing Activities Category totaling \$16.0 million; 20 Awards in the CDFI Related Category totaling \$1.8 million; and 7 Awards in the Service Activities Category totaling \$119,162. Fiscal Year 2013: No Current Data Available. Fiscal Year 2014: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Revised Interim Rule (12 CFR 1806); NOFA; and application materials.

Regional or Local Office:

None.

Headquarters Office:

Mia Sowell 1801 L Street, N.W., 6th Floor, Washington, DC, District of Columbia 20036 Email: sowellm@cdfi.treas.gov Phone: 202-653-0356 Fax: 202-508-0077

Website Address:

www.cdfifund.gov.

RELATED PROGRAMS:

21.010 Financial Education and Counseling Pilot Program; 21.011 Capital Magnet Fund; 21.012 Native Initiatives; 21.014 Community Development Financial Institutions Bond Guarantee Program; 21.020 Community Development Financial Institutions Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Loans, grants, deposits, equity investments, or technical assistance provided to certified Community Development Financial Institutions; Affordable Housing Mortgage Loans; Affordable Housing Development Loans; Commercial Real Estate Loans; Education Loans; Home Improvement Loans; Small Business Loans; Deposit Liabilities; Targeted Financial Services; and Community Services. Fiscal Year 2013: No Current Data Available. Fiscal Year 2014: No Current Data Available.

CRITERIA FOR SELECTING PROPOSALS:

Award recipients are selected based upon a priority ranking of qualified activities as set forth in the BEA regulations, the NOFA, and application materials. Qualified activities include, in order of importance, the following: (1) Community Development Financial Institution Related Activities; (2) Distressed Community Financing Activities; and (3) Service Activities.

EQUAL EMPLOYMENT OPPORTUNITY COMMISSION

30.001 EMPLOYMENT DISCRIMINATION TITLE VII OF THE CIVIL RIGHTS ACT OF 1964

FEDERAL AGENCY:

Equal Employment Opportunity Commission

AUTHORIZATION:

Title VII of the Civil Rights Act of 1964 (Public Law 88-352), as amended, 42 U.S.C. section 2000e et seq.

OBJECTIVES:

Title VII prohibits employment discrimination against applicants or employees

based on race, color, religion, sex (including pregnancy), and national origin. Title VII also prohibits retaliation against applicants or employees who oppose employment practices that discriminate based on race, color, religion, sex or national origin or for filing a discrimination charge, testifying, or participating in any way in an investigation, proceeding, or litigation under Title VII.

TYPES OF ASSISTANCE:

ADVISORY SERVICES AND COUNSELING; Federal Employment; INVESTIGATION OF COMPLAINTS

USES AND USE RESTRICTIONS:

Individuals are protected from discrimination on the basis of race, color, religion, sex (including pregnancy) and national origin by employers with 15 or more employees, including state and local governments, employment agencies, labor organizations and the federal government. The EEOC Assessment System, available at <https://egov.eeoc.gov/eas/>, enables individuals to determine whether EEOC is the appropriate agency to contact regarding discrimination, harassment or retaliation. Charges of discriminatory employment practices can be filed at the field offices by or on behalf of an individual or group of individuals claiming to be aggrieved. Mediation may be offered to the parties involved. If mediation is not used or is not successful, further investigation may ensue. If after its investigation the Commission does not find reasonable cause, the charging party is issued a right to sue letter. If the Commission determines that there is reasonable cause to believe that discrimination has occurred, it will attempt to resolve the charge informally through conciliation. If conciliation proves to be unsuccessful and the employer is not a state or local government, the Commission may bring a civil action against respondent(s) named in the charge or issue a right to sue letter to the party who filed the charge, giving him or her the right to file a civil action in federal court. If conciliation fails on a charge against a state or local government, EEOC refers the case to the Department of Justice for consideration of litigation or issuance of a right to sue letter. A federal government applicant or employee who believes that his or her employment rights have been violated under this statute and wants to make a claim against a federal agency must file a complaint with that agency and follow the procedures set forth at 29 C.F.R. part 1614.

Applicant Eligibility:

Any aggrieved individual, or any individual, organization, or agency filing on behalf of an aggrieved individual, who has reason to believe that an unlawful employment practice within the meaning of Title VII, as amended, has been committed by an employer with 15 or more employees, including a state or local government, an employment agency, labor organization, or joint labor-management committee controlling apprenticeship or other training or retraining, including on-the-job training programs. Any aggrieved individual who believes he or she has been retaliated against for opposing employment practices that discriminate based on race, color, religion, sex (including pregnancy) or national origin or who files a charge of discrimination, testifies, or participates in any way in an investigation, proceeding, or litigation under Title VII.

Beneficiary Eligibility:

Applicants, current employees, or former employees of the named respondent(s) who have been subjected to employment practices based on race, color, religion, sex, or national origin by the named respondent(s), and/or who have been subjected to retaliation for opposing discrimination or participating in a Title VII investigation, proceeding, or litigation.

Credentials/Documentation:

A claim of unlawful employment practice(s) may be made in person, by mail or by fax. An allegation must be in writing, signed, and/or notarized when necessary to meet state or local requirements. Charge forms (EEOC Form 5, Charge of Discrimination) are available to all persons from all field offices of the Commission. Individuals may also consult EEOC's website at <http://www.eeoc.gov/employees/howtofile.cfm> for detailed information about filing a charge. Complaints against the federal government should be filed at the relevant agency's EEO office. Each agency is required to post information about how to contact the agency's EEO office. Federal government applicants or employees should consult EEOC's website at http://www.eeoc.gov/federal/fed_employees/complaint_overview.cfm for details about filing an employment discrimination complaint against a federal

agency. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. A charge may be filed by any aggrieved individual, any individual on behalf of an aggrieved individual, or by any organization, i.e., labor union, association, legal representative, etc., either as an aggrieved entity or on behalf of an aggrieved individual. Charges may be filed in person, by mail or by fax at the nearest field office of the EEOC. Complaints against the federal government should be filed at the relevant agency's EEO office. Each agency is required to post information about how to contact the agency's EEO office.

Award Procedure:

A charge is sufficient when the Commission receives from the person making the charge a written signed statement that includes an allegation of discrimination, the name(s) of the parties involved, and a request that the EEOC act to protect the applicant or employees rights or otherwise settle a dispute between the applicant or employee and the employer.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Title VII requires that charges be filed within 180 days of the date of the alleged violation, within 300 days if the charge is also covered by a state or local anti-discrimination law, or within 30 days after the receipt of notice of termination of state or local proceedings, whichever is earlier. If the evidence does not establish that discrimination occurred, charging parties will be given written notice of their right to sue. A charging party may file a lawsuit within 90 days after receiving a notice of a right to sue from EEOC, as stated above. Under Title VII, a charging party also can request a notice of right to sue from EEOC 180 days after the charge was first filed with the Commission, and may then bring suit within 90 days after receiving this notice. When a right to sue letter is issued at the request of the charging party, EEOC usually stops its investigation. Federal employees must generally contact the agency's EEO Counselor within 45 days from the date the discrimination occurred.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Not applicable. See the following for information on how assistance is awarded/released: Not applicable.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

Not applicable.

Account Identification:

45-0100-0-1-751.

Obligations:

(Salaries) FY 12 \$368,648,926; FY 13 est \$344,218,830; and FY 14 est \$372,923,000 - Not separately identifiable.

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: In FY 2012, EEOC field legal units filed 122 merits lawsuits including 86 individual suits, 23 multiple-victim suits and 10 systemic suits. Of these new filings, 66 contained Title VII claims, 45 contained Americans with Disability Act claims, 12 contained Age Discrimination in Employment Act claims, and 2 contained Equal Pay Act claims. Legal staff resolved 253 merits lawsuits for a total monetary recovery of \$43.2 million. Of these resolutions, 161 contained Title VII claims, 72 contained Americans with Disabilities Act claims, 30 contained Age Discrimination in Employment Act, and 2 contained EPA claims. In terms of dollars recovered in direct, indirect and intervention lawsuits by statute, EEOC recovered \$34.2 million in Title VII resolutions, \$2.6 million in ADEA resolutions, \$5.4 million in ADA resolutions, and \$943 thousand in resolutions involving more than one statute. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Publications are available on the EEOC web site (www.eeoc.gov). To request documents in alternative formats (Braille, large print, etc), contact the EEOCs Publication Center at 1-800-669-3362 (voice) or 1-800-800-9902 (TTY). Regulations include: 29 CFR 1601, Procedural Regulations and Guidelines; 29 CFR 1602, Recordkeeping and Reporting Requirements under Title VII and the ADA; 29 CFR 1604, Guidelines on Discrimination Because of Sex; 29 CFR 1605, Guidelines on Discrimination Because of Religion; 29 CFR 1606, Guidelines on Discrimination Because of National Origin. Enforcement guidances and policy documents include: Unlawful Disparate Treatment of Workers with Caregiving Responsibilities; Vicarious Employer Liability for Unlawful Harassment by Supervisors; Application of EEO Laws to Contingent Workers Placed by Temporary Employment Agencies and Other Staffing Firms; Sex Discrimination in the Compensation of Sports Coaches in Educational Institutions. Compliance Manual: Section 2: Threshold Issues; Section 3: Employee Benefits; Section 8: Retaliation; Section 10: Compensation Discrimination; Section 12: Religious Discrimination; Section 13: National Origin Discrimination; Section 15: Race and Color Discrimination. Technical assistance documents, fact sheets, and other relevant material include: Best Practices for Eradicating Religious Discrimination in the Workplace; Commission Decision on Coverage of Contraception; Employer Best Practices for Workers with Caregiving Responsibilities; Employment Tests and Selection Procedures; The Family and Medical Leave Act, the Americans with Disabilities Act, and Title VII of the Civil Rights Act of 1964; Questions and Answers About Employer Responsibilities Concerning the Employment of Muslims, Arabs, South Asians, and Sikhs; Questions and Answers About the Workplace Rights of Muslims, Arabs, South Asians, and Sikhs Under the Equal Employment Opportunity Laws; Fact Sheet: Employment Discrimination Based on Religion, Ethnicity, or Country of Origin; Fact Sheet: National Origin Discrimination; Fact Sheet: Race/Color Discrimination; Fact Sheet: Religious Discrimination; Fact Sheet: Sexual Harassment Discrimination.

Regional or Local Office:

See Regional Agency Offices. See map of field offices available at <http://www.eeoc.gov/field/index.cfm>. EEOC offices are also listed in Appendix IV of the Catalog.

Headquarters Office:

Equal Employment Opportunity Commission 131 M Street, NE, Washington, District of Columbia 20507 Phone: 202-663-4191/202-663-4494 (TTY)

Website Address:

<http://www.eeoc.gov>.

RELATED PROGRAMS:

16.101 Equal Employment Opportunity; 16.200 Community Relations Service; 17.301 Non-Discrimination and Affirmative Action by Federal Contractors and Federally Assisted Construction Contractors; 17.303 Wage and Hour Standards; 17.700 Women's Bureau; 29.001 Clearinghouse Services, Civil Rights Discrimination Complaints; 30.008 Employment Discrimination_Age Discrimination in Employment; 30.010 Employment Discrimination Equal Pay Act; 30.011 Employment Discrimination_Title I of The Americans with Disabilities Act; 30.013 Employment Discrimination-Title II of the Genetic Information Nondiscrimination Act of 2008; 93.001 Civil Rights and Privacy

Rule Compliance Activities

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

**30.005 EMPLOYMENT DISCRIMINATION_PRIVATE BAR PROGRAM
FEDERAL AGENCY:**

Equal Employment Opportunity Commission

AUTHORIZATION:

Civil Rights Act of 1964.

OBJECTIVES:

(a) To assist individuals who have filed a charge with the Commission, or on whose behalf a charge has been filed, in contacting members of the private bar; (b) to provide technical assistance to aggrieved individuals and their attorneys in Title VII, Equal Pay Act, Age Discrimination in Employment Act, Genetic Information Nondiscrimination Act and Americans with Disabilities Act cases; (c) to establish the attorney referral mechanism; and (d) to coordinate the strategies of the private bar with those of the Commission.

TYPES OF ASSISTANCE:

PROVISION OF SPECIALIZED SERVICES

USES AND USE RESTRICTIONS:

Upon request, provide assistance to individuals in locating lawyers who are prepared to litigate Title VII, Americans with Disabilities Act, Equal Pay Act, Genetic Information Nondiscrimination Act and Age Discrimination in Employment Act issues.

Applicant Eligibility:

Any individual who has filed a charge with the Commission, or on whose behalf a charge has been filed.

Beneficiary Eligibility:

Any individual who has filed a charge with the Commission, or on whose behalf a charge has been filed.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Requests for assistance may be made in writing or orally to nearest EEOC District Office listed in Appendix IV of the Catalog.

Award Procedure:

None.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

None.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Not applicable. See the following for information on how assistance is awarded/released: Not applicable.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

Not applicable.

Account Identification:

45-0100-0-1-751.

Obligations:

(Salaries) FY 12 \$368,648,926; FY 13 est \$344,218,830; and FY 14 est \$372,923,000 - (Salaries and expenses) not separately identifiable.

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: In FY 2012, EEOC field legal units filed 122 merits lawsuits including 86 individual suits, 23 multiple-victim suits and 10 systemic suits. Of these new filings, 66 contained Title VII claims, 45 contained Americans with Disability Act claims, 12 contained Age Discrimination in Employment Act claims, and 2 contained Equal Pay Act claims. Legal staff resolved 253 merits lawsuits for a total monetary recovery of \$43.2 million. Of these resolutions, 161 contained Title VII claims, 72 contained Americans with Disabilities Act claims, 30 contained Age Discrimination in Employment Act, and 2 contained EPA claims. In terms of dollars recovered in direct, indirect and intervention lawsuits by statute, EEOC recovered \$34.2 million in Title VII resolutions, \$2.6 million in ADEA resolutions, \$5.4 million in ADA resolutions, and \$943 thousand in resolutions involving more than one statute. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

EEOC Compliance Manual, Section 81 - Private Bar Referral Program.

Regional or Local Office:

See Regional Agency Offices. EEOC District Offices (see Appendix IV of the Catalog for addresses).

Headquarters Office:

Jerome Scanlan 131 M Street, NE, Washington, District of Columbia 20507
Phone: 202-663-4719

Website Address:

<http://www.eeoc.gov>.

RELATED PROGRAMS:

30.001 Employment Discrimination Title VII of the Civil Rights Act of 1964;
30.008 Employment Discrimination Age Discrimination in Employment;
30.010 Employment Discrimination Equal Pay Act; 30.011 Employment Discrimination Title I of The Americans with Disabilities Act; 30.013 Employment Discrimination-Title II of the Genetic Information Nondiscrimination Act of 2008

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

30.008 EMPLOYMENT DISCRIMINATION AGE DISCRIMINATION IN EMPLOYMENT

FEDERAL AGENCY:

Equal Employment Opportunity Commission

AUTHORIZATION:

Age Discrimination in Employment Act of 1967,(P.L. 90-202), as amended, 29 U.S.C. section 621 et seq.

OBJECTIVES:

The Age Discrimination in Employment Act (ADEA) prohibits discrimination

based on age (40 or older) with respect to any term, condition, or privilege of employment, including hiring, firing, promotion, layoff, compensation, benefits, job assignments, training and harassment. The ADEA also prohibits retaliation against an individual for opposing employment practices that discriminate based on age or for filing an age discrimination charge, testifying, or participating in any way in an investigation, proceeding, or litigation under the ADEA.

TYPES OF ASSISTANCE:

ADVISORY SERVICES AND COUNSELING; Federal Employment; INVESTIGATION OF COMPLAINTS

USES AND USE RESTRICTIONS:

Individuals 40 years of age or older are protected from age discrimination by employers with 20 or more employees, all state and local governments, employment agencies, labor organizations and the federal government. It is unlawful for covered employers to post advertisements indicating any preference, limitation, or specification based on age. Limited exceptions apply: in situations in which age is a bona fide occupational qualification reasonably necessary to the normal operation of the business; in situations in which the differentiation is based on reasonable factors other than age; or if an otherwise prohibited practice is in observance of the terms of a bona fide seniority system or employee benefit plan (except that no such seniority system or employee benefit plan shall excuse the failure to hire, or require or permit the involuntary retirement of any covered individual). Exemptions and exceptions also apply for highly compensated employees, public officials, firefighters and law enforcement officers. The EEOC Assessment System, available at <https://egov.eeoc.gov/eas/>, enables individuals to determine whether EEOC is the appropriate agency to contact regarding their specific claims of discrimination, harassment or retaliation. If a charge is filed, mediation may be offered to the parties involved. If mediation is not used or is not successful, further investigation may ensue. The Commission will attempt to eliminate any alleged unlawful practice by informal methods of conciliation, conference and persuasion. If conciliation fails, the charging party should be notified that he or she can file a civil action. If the Commission's proceedings with regard to a charge are terminated, the Commission will notify the complainant who shall then have the right to bring a civil action. A federal government applicant or employee who believes that his or her employment rights have been violated under this statute and wants to make a claim against a federal agency must file a complaint with that agency and follow the procedures set forth at 29 C.F.R. part 1614.

Applicant Eligibility:

Any aggrieved individuals age 40 and over, or any individual, organization, or agency filing on behalf of an aggrieved individual who has reason to believe that a covered employer has committed an unlawful employment practice within the meaning of the ADEA, as amended.

Beneficiary Eligibility:

Applicants, current employees, or former employees of the named respondent(s) who are age 40 or older and who have been subjected to unlawful employment practices based on age by the named respondent(s), and/or who have been subjected to retaliation for opposing age discrimination or participating in an ADEA investigation, proceeding, or litigation.

Credentials/Documentation:

An allegation of unlawful employment practice(s) may be made in person, by mail or by fax. An allegation must be in writing, signed, and notarized when necessary to meet state or local requirements. Charge forms (EEOC Form 5, Charge of Discrimination) are available to all persons from all field offices of the Commission. Individuals may also consult EEOCs website at <http://www.eeoc.gov/employees/howtofile.cfm> for detailed information about filing a charge. Complaints against the federal government should be filed at the relevant agency's EEO office. Each agency is required to post information about how to contact the agency's EEO office. Federal government applicants or employees should consult EEOCs website at http://www.eeoc.gov/federal/fed_employees/complaint_overview.cfm for details about filing an employment discrimination complaint against a federal agency. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. A charge may be filed by any aggrieved individual or by any organization, i.e. labor union, association, legal representative, etc., either as an aggrieved entity or on behalf of an aggrieved individual. Charges may be filed in person, by mail or by fax at the nearest field office of the EEOC.

Complaints against the federal government should be filed at the relevant agency's EEO office. Each agency is required to post information about how to contact the agency's EEO office.

Award Procedure:

A charge is sufficient when the Commission receives from the person making the charge a written signed statement that includes an allegation of discrimination, the name(s) of the parties involved, and a request that the EEOC act to protect the applicant or employees rights or otherwise settle a dispute between the applicant or employee and the employer.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

The ADEA requires that charges be filed within 180 days of the date of the alleged violation, within 300 days if the charge is also covered by a state anti-discrimination law and a state agency enforcing the law, or within 30 days after the receipt of notice of termination of state proceedings, whichever is earlier. A civil action may be commenced at any time 60 days after a charge has been filed with the EEOC. If the evidence does not establish that discrimination occurred, charging parties will be given written notice of their right to sue. A charging party may file a lawsuit within 90 days after receiving a notice of a right to sue from EEOC, as stated above. If the charging party files an ADEA lawsuit, EEOC usually stops its investigation.

Federal employees must generally contact the agency's EEO Counselor within 45 days from the date the discrimination occurred.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Not applicable. See the following for information on how assistance is awarded/released: Not applicable.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

Not applicable.

Account Identification:

45-0100-0-1-751.

Obligations:

(Salaries) FY 12 \$368,648,926; FY 13 est \$344,218,830; and FY 14 est \$372,923,000 - (Salaries and expenses) Not separately identifiable.

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: In FY 2012, EEOC field legal units filed 122 merits lawsuits including 86 individual suits, 23 multiple-victim suits and 10 systemic suits. Of these new filings, 66 contained Title VII claims, 45 contained Americans with Disability Act claims, 12 contained Age Discrimination in Employment Act claims, and 2 contained Equal Pay Act claims. Legal staff resolved 253 merits lawsuits for a total monetary recovery of \$43.2 million. Of these resolutions, 161 contained Title VII claims, 72 contained Americans with Disabilities Act claims, 30 contained Age Discrimination in Employment Act, and 2 contained EPA claims. In terms of dollars recovered in direct, indirect and intervention lawsuits by statute, EEOC recovered \$34.2 million in Title VII resolutions, \$2.6 million in ADEA resolutions, \$5.4 million in ADA resolutions, and \$943 thousand in resolutions involving more than one statute. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Publications are available for downloading on the EEOC web site (www.eeoc.gov). To request documents in alternative formats (Braille, large print, etc), contact EEOC's Office of Communications and Legislative Affairs at (202) 663-4191 or (202) 663-4494 (TTY). Regulations: 29 C.F.R 1625, Age Discrimination in Employment Act; 29 C.F.R 1626, Procedures Age Discrimination in Employment Act; 29 C.F.R 1627, Records to be made or kept relating to age; notices to be posted: administrative exemptions. Policy documents and fact sheets include: Compliance Manual Section 2: Threshold Issues; Compliance Manual Section 3: Employee Benefits; Compliance Manual Section 8: Retaliation; Compliance Manual Section 10: Compensation Discrimination; Fact Sheet: Age Discrimination.

Regional or Local Office:

See Regional Agency Offices. See map of field offices available at <http://www.eeoc.gov/field/index.cfm>. EEOC Offices are also listed in Appendix IV of the Catalog.

Headquarters Office:

Equal Employment Opportunity Commission 131 M Street, NE, Washington, District of Columbia 20507 Phone: 202-663-4191/202-663-4494 (TTY)

Website Address:

<http://www.eeoc.gov>

RELATED PROGRAMS:

16.101 Equal Employment Opportunity; 16.200 Community Relations Service; 17.301 Non-Discrimination and Affirmative Action by Federal Contractors and Federally Assisted Construction Contractors; 17.303 Wage and Hour Standards; 17.700 Women's Bureau; 29.001 Clearinghouse Services, Civil Rights Discrimination Complaints; 30.001 Employment Discrimination Title VII of the Civil Rights Act of 1964; 30.010 Employment Discrimination Equal Pay Act; 30.011 Employment Discrimination Title I of The Americans with Disabilities Act; 30.013 Employment Discrimination-Title II of the Genetic Information Nondiscrimination Act of 2008; 93.001 Civil Rights and Privacy Rule Compliance Activities

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

30.010 EMPLOYMENT DISCRIMINATION EQUAL PAY ACT

FEDERAL AGENCY:

Equal Employment Opportunity Commission

AUTHORIZATION:

Equal Pay Act of 1963, (P.L. 88-38), as amended, 29 U.S.C. section 206(d).

OBJECTIVES:

The Equal Pay Act (EPA) prohibits sex discrimination in the payment of wages to men and women performing jobs that require substantially equal skill, effort and responsibility, under similar working conditions within the same establishment. The EPA also prohibits retaliation against applicants or employees who oppose compensation practices that discriminate based on sex or who file a discrimination charge, testify, or participate in any way in an investigation, proceeding, or litigation under the EPA.

TYPES OF ASSISTANCE:

ADVISORY SERVICES AND COUNSELING; Federal Employment;
INVESTIGATION OF COMPLAINTS

USES AND USE RESTRICTIONS:

Employers engaged in commerce or in the production of goods for commerce (i.e. subject to The Fair Labor Standards Act of 1938, as amended, 29 U.S.C. 201, et seq.), including the federal government, and state and local governments, are prohibited from paying unequal wages to men and women performing jobs that require substantially equal skill, effort and responsibility under similar working conditions within the same establishment. Labor organizations representing employees of a covered employer are prohibited from causing or attempting to cause employers to violate the Act. Exceptions are permitted only where payment is made pursuant to a seniority system, a merit system, a system that measures earnings by quantity or quality of production, or a differential based on any other factor other than sex. The EEOC Assessment System, available at <https://egov.eeoc.gov/eas/>, enables individuals to determine whether EEOC is the appropriate agency to contact regarding their specific claim of wage discrimination or retaliation. The Commission may investigate allegations of violations of the Act and may initiate and conduct litigation. A federal government applicant or employee who believes that his or her employment rights have been violated under this statute and wants to make a claim against a federal agency must file a complaint with that agency and follow the procedures set forth at 29 C.F.R. part 1614.

Applicant Eligibility:

Any aggrieved individual, or any individual, organization, or agency filing on behalf of an aggrieved individual, who has reason to believe that a covered employer has committed an unlawful employment practice within the meaning of the EPA. An employee who believes he or she has been retaliated against for opposing compensation practices that discriminate based on sex or who files a discrimination charge, testifies, or participates in any way in an investigation, proceeding, or litigation under the EPA.

Beneficiary Eligibility:

Applicants, current employees, or former employees of the named respondent (s) who have been subjected to unlawful compensation practices based on gender by the named respondent (s), and/or who have been subjected to retaliation for opposing gender-based compensation discrimination or participating in an EPA investigation, proceeding, or litigation.

Credentials/Documentation:

The EPA does not require aggrieved parties to file a charge of discrimination with EEOC before filing a private lawsuit. However, since many EPA claims also raise Title VII sex discrimination issues, it may be advisable to file a charge of discrimination under both laws within the appropriate time limit (see Deadlines 095). An allegation of unlawful employment practice(s) may be made in person, by mail or by fax. An allegation must be in writing, signed, and/or notarized when necessary to meet state or local requirements. Charge forms (EEOC Form 5, Charge of Discrimination) are available to all persons from all field offices of the Commission. Individuals may also consult EEOCs website at <http://www.eeoc.gov/employees/howtofile.cfm> for detailed information about filing a charge. Complaints against the Federal government should be filed at the relevant agency's EEO office. Each agency is required to post information about how to contact the agency's EEO office. Federal government applicants or employees should consult EEOCs website at http://www.eeoc.gov/federal/fed_employees/complaint_overview.cfm for details about filing an employment discrimination complaint against a federal agency. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. The EPA does not require aggrieved parties to file a charge of discrimination with EEOC before filing a private lawsuit. However, since many EPA claims also raise Title VII sex discrimination issues, it may be advisable to file a charge of

discrimination under both laws within the appropriate time limit (see range of approval/disapproval times). A charge may be filed by any aggrieved individual, any individual on behalf of an aggrieved individual, or by any organization, i.e., labor union, association, legal representative, etc., either as an aggrieved entity or on behalf of an aggrieved individual. Charges may be filed in person, by mail or by fax at the nearest EEOC field office. Complaints against the federal government should be filed at the relevant agency's EEO office. Each agency is required to post information about how to contact the agency's EEO office.

Award Procedure:

A charge is sufficient when the Commission receives from the person making the charge a written signed statement that includes an allegation of discrimination, the name(s) of the parties involved, and a request that the EEOC act to protect the applicant or employees rights or otherwise settle a dispute between the applicant or employee and the employer.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

The EPA does not require aggrieved parties to file a charge of discrimination with EEOC before filing a private lawsuit. The time limit for filing an EPA charge with the EEOC and the time limit for going to court are the same: within two years of the alleged unlawful compensation practice or in the case of a willful violation, within three years. However, since many EPA claims also raise Title VII sex discrimination issues, it may be advisable to file a charge of discrimination under both laws within the appropriate time limit. (Title VII requires that charges be filed within 180 days of the date of the alleged violation, within 300 days if the charge is also covered by a state or local anti-discrimination law, or within 30 days after the receipt of notice of termination of state or local proceedings, whichever is earlier.) A charging party may file a lawsuit within 90 days after receiving a notice of a right to sue from EEOC, as stated above. Under Title VII, a charging party also can request a notice of right to sue from EEOC 180 days after the charge was first filed with the Commission, and may then bring suit within 90 days after receiving this notice. When a right to sue letter is issued at the request of the charging party, EEOC usually stops its investigation. Federal employees must generally contact the agency's EEO Counselor within 45 days from the date the discrimination occurred.

Appeals:

None.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Not applicable. See the following for information on how assistance is awarded/released: Not applicable.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

Not applicable.

Account Identification:

45-0100-0-1-751.

Obligations:

(Salaries) FY 12 \$368,648,926; FY 13 est \$344,218,830; and FY 14 est \$372,923,000 - (Salaries and expenses) Not separately identifiable.

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: In FY 2012, EEOC field legal units filed 122 merits lawsuits including 86 individual suits, 23 multiple-victim suits and 10 systemic suits. Of these new filings, 66 contained Title VII claims, 45 contained Americans with Disability Act claims, 12 contained Age Discrimination in Employment Act claims, and 2 contained Equal Pay Act claims. Legal staff resolved 253 merits lawsuits for a total monetary recovery of \$43.2 million. Of these resolutions, 161 contained Title VII claims, 72 contained Americans with Disabilities Act claims, 30 contained Age Discrimination in Employment Act, and 2 contained EPA claims. In terms of dollars recovered in direct, indirect and intervention lawsuits by statute, EEOC recovered \$34.2 million in Title VII resolutions, \$2.6 million in ADEA resolutions, \$5.4 million in ADA resolutions, and \$943 thousand in resolutions involving more than one statute. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Publications are available on the EEOC web site (www.eeoc.gov). To request documents in alternative formats (Braille, large print, etc), contact EEOCs Publication Center at 1-800-669-3362(voice) or 1800-800-3302 (TTY). Regulations include: 29 CFR 1620, Equal Pay Act Interpretive Regulations; 29 CFR 1621, Equal Pay Act Procedural Regulations; 29 CFR 1604, Guidelines on Discrimination Because of Sex. Compliance Manual: Section 2: Threshold Issues; Section 3: Employee Benefits; Section 8: Retaliation; Section 10: Compensation Discrimination. EEOC-ESA Memorandum of Understanding Providing for Cross-Training, Referrals and Information Sharing on Compensation Discrimination Cases.

Regional or Local Office:

See Regional Agency Offices. See map of field offices available at <http://www.eeoc.gov/field/index.cfm>. EEOC Offices are listed in Appendix IV of the Catalog.

Headquarters Office:

Equal Employment Opportunity Commission 131 M Street, NW, Washington, District of Columbia 20507 Phone: 202-663-4191/663-4494 (TTY)

Website Address:

<http://www.eeoc.gov>

RELATED PROGRAMS:

16.101 Equal Employment Opportunity; 16.200 Community Relations Service; 17.301 Non-Discrimination and Affirmative Action by Federal Contractors and Federally Assisted Construction Contractors; 17.303 Wage and Hour Standards; 17.700 Women's Bureau; 29.001 Clearinghouse Services, Civil Rights Discrimination Complaints; 30.001 Employment Discrimination_Title VII of the Civil Rights Act of 1964; 30.008 Employment Discrimination_Age Discrimination in Employment; 30.011 Employment Discrimination_Title I of The Americans with Disabilities Act; 30.013 Employment Discrimination-Title II of the Genetic Information Nondiscrimination Act of 2008; 93.001 Civil Rights and Privacy Rule Compliance Activities

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

30.011 EMPLOYMENT DISCRIMINATION_TITLE I OF THE AMERICANS WITH DISABILITIES ACT

FEDERAL AGENCY:

Equal Employment Opportunity Commission

AUTHORIZATION:

Americans with Disabilities Act, Title I & V, as amended Public Law 101-336, Public Law 110-325 42, U.S.C. 12101-12117; 12201-12213; Section 501 of the Rehabilitation Act of 1973, as amended, 29 U.S.C. 791-794a.

OBJECTIVES:

The Americans with Disabilities Act (ADA) prohibits employment discrimination against applicants or employees based on disability. The ADA also prohibits retaliation against an individual for opposing employment practices that discriminate based on disability or for filing a discrimination charge, testifying, or participating in any way in an investigation, proceeding, or litigation under the ADA. The Rehabilitation Act provides federal employees

with the same protections.

TYPES OF ASSISTANCE:

ADVISORY SERVICES AND COUNSELING; Federal Employment; INVESTIGATION OF COMPLAINTS

USES AND USE RESTRICTIONS:

Individuals are protected from discrimination on the basis of disability by employers with 15 or more employees, including state and local governments, employment agencies, and labor organizations. Federal employees and applicants are covered under section 501 of the Rehabilitation Act of 1973, which applies the same standards as the ADA. The EEOC Assessment System, available at <https://egov.eeoc.gov/eas/>, enables individuals to determine whether EEOC is the appropriate agency to contact regarding their specific claims of discrimination, harassment or retaliation. Charges of discriminatory employment practices can be filed by or on behalf of an individual or group of individuals claiming to be aggrieved. Mediation may be offered to the parties involved. If mediation is not used or is not successful, further investigation may ensue. If after its investigation, the Commission does not find reasonable cause, the charging party is issued a right to sue letter. If the Commission determines that there is reasonable cause to believe that discrimination has occurred, it will attempt to resolve the charge informally through conciliation. If conciliation proves to be unsuccessful and the employer is not a state or local government, the Commission may bring a civil action against respondent(s) named in the charge or issue a right to sue letter to the party who filed the charge, giving him or her the right to file a civil action in federal court. If conciliation fails on a charge against a state or local government, EEOC refers the case to the Department of Justice for consideration of litigation or issuance of a right to sue letter.

A federal employment applicant or employee who believes that his or her employment rights have been violated under Section 501 of the Rehabilitation Act must file a complaint with that agency and follow the procedures set forth at 29 C.F.R. part 1614.

Applicant Eligibility:

Any aggrieved individual, or any individual, or any organization, or agency filing on behalf of an aggrieved individual, who has reason to believe that an unlawful employment practice within the meaning of Title I of the ADA has been committed by an employer with 15 or more employees, including state or local governments, an employment agency, labor organization, or joint labor-management committee controlling apprenticeship or other training or retraining, including on-the-job training programs. Any aggrieved individual who believes he or she has been retaliated against for opposing employment practices that discriminate based disability or who files an ADA charge, testifies, or participates in any way in an investigation, proceeding, or litigation under the ADA. Federal employees are protected from disability discrimination under section 501 of the Rehabilitation Act.

Beneficiary Eligibility:

Applicants, for employment, current employees, and former employees of the named respondent(s) in a charge who have been subjected to unlawful employment practices based on disability by the named respondent (s), and/or who have been subjected to retaliation for opposing disability discrimination or participation in an ADA or Rehabilitation Act investigation, proceeding, or litigation.

Credentials/Documentation:

A claim of unlawful employment practice(s) may be made in person, by mail or by fax. An allegation must be in writing, signed, and/or notarized when necessary to meet State or local requirements. Charge forms (EEOC Form 5, Charge of Discrimination) are available to all persons from all field offices of the Commission. Individuals may also consult EEOCs website at <http://www.eeoc.gov/employees/howtofile.cfm> for detailed information about filing a charge. Complaints against the Federal government should be filed at the relevant agency's EEO office. Each agency is required to post information about how to contact the agency's EEO office.

Federal government applicants or employees should consult EEOCs website at http://www.eeoc.gov/federal/fed_employees/complaint_overview.cfm for details about filing an employment discrimination complaint against a federal

agency. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. A charge may be filed by any aggrieved individual, any individual on behalf of an aggrieved individual, or by any organization, i.e., labor union, association, legal representative, etc., either as an entity or on behalf of an aggrieved individual. Charges may be filed in person, by mail or by fax at the nearest field office of the EEOC.

Complaints against the federal government should be filed at the relevant agency's EEO office. Each agency is required to post information about how to contact the agency's EEO office.

Award Procedure:

A charge is sufficient when the Commission receives from the person making the charge a written signed statement that includes an allegation of discrimination, the name(s) of the parties involved, and a request that the EEOC act to protect the applicant or employees rights or otherwise settle a dispute between the applicant or employee and the employer.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

The ADA requires that charges be filed within 180 days of the date of the alleged violation, within 300 days if the charge is also covered by a state or local anti-discrimination law, or within 30 days after the receipt of notice of termination of state or local proceedings, whichever is earlier. If the evidence does not establish that discrimination occurred, charging parties will be given written notice of their right to sue. Persons can also ask for a notice of right to sue before EEOC finishes its investigation. A charging party may file a lawsuit within 90 days after receiving a notice of a right to sue from EEOC, as stated above. Under the ADA, a charging party also can request a notice of right to sue from EEOC 180 days after the charge was first filed with the Commission, and may then bring suit within 90 days after receiving this notice. When a right to sue letter is issued at the request of the charging party, EEOC usually stops its investigation.

Federal employees must generally contact the agency's EEO Counselor within 45 days from the date the discrimination occurred.

Appeals:

None.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Not applicable. See the following for information on how assistance is awarded/released: Not applicable.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

Not applicable.

Account Identification:

45-0100-0-1-751.

Obligations:

(Salaries) FY 12 \$344,218,830; FY 13 est \$368,648,926; and FY 14 est \$372,923,000 - (Salaries and expenses) Not separately identifiable.

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: In FY 2012, EEOC field legal units filed 122 merits lawsuits including 86 individual suits, 23 multiple-victim suits and 10 systemic suits. Of these new filings, 66 contained Title VII claims, 45 contained Americans with Disability Act claims, 12 contained Age Discrimination in Employment Act claims, and 2 contained Equal Pay Act claims. Legal staff resolved 253 merits lawsuits for a total monetary recovery of \$43.2 million. Of these resolutions, 161 contained Title VII claims, 72 contained Americans with Disabilities Act claims, 30 contained Age Discrimination in Employment Act, and 2 contained EPA claims. In terms of dollars recovered in direct, indirect and intervention lawsuits by statute, EEOC recovered \$34.2 million in Title VII resolutions, \$2.6 million in ADEA resolutions, \$5.4 million in ADA resolutions, and \$943 thousand in resolutions involving more than one statute. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Publications are available on the EEOC web site (www.eeoc.gov). To request documents in alternative formats (Braille, large print, etc), contact EEOCs Publication Center at 1-800-669-3362 or 1-800-800-3302 (TTY). Regulations include: 29 CFR 1601, Procedural Regulations and Guidelines; 29 CFR 1602, Recordkeeping and Reporting Requirements under Title VII and the ADA; 29 CFR 1630, Substantive Regulations; 29 CFR 1640, Coordination Regulations with the Department of Justice; 29 CFR 1641, Coordination Regulations with the Department of Labor. Enforcement guidances and policy documents include: Unlawful Disparate Treatment of Workers with Caregiving Responsibilities; Revised Enforcement Guidance: Reasonable Accommodation and Undue Hardship Under the Americans With Disabilities Act; Enforcement Guidance: Application of the ADA To Contingent Workers Placed By Temporary Agencies And Other Staffing Firms; EEOC Policy Guidance on Executive Order 13164: Establishing Procedures to Facilitate the Provision of Reasonable Accommodation; Enforcement Guidance on Disability-Related Inquiries and Medical Examinations of Employees Under the Americans with Disabilities Act (ADA); EEOC Policy Guidance on Executive Order 13145: To Prohibit Discrimination in Federal Employment Based on Genetic Information; EEOC Enforcement Guidance on the Americans with Disabilities Act and Psychiatric Disabilities; EEOC Enforcement Guidance: Workers Compensation and the ADA; ADA Enforcement Guidance: Pre-employment Disability-Related Questions and Medical Examinations; Interim Enforcement Guidance on the Application of the Americans with Disabilities Act of 1990 to Disability-based Distinctions in Employer Provided Health Insurance; Enforcement Guidance on Application of Title VII and the Americans with Disabilities Act to Conduct Overseas and to Foreign Employers Discriminating in the United States. Compliance Manual: Section 902: Definition of the Term Disability; Section 2: Threshold Issues; Section 3: Employee Benefits; Section 8: Retaliation. Technical assistance documents, reports and fact sheets include: Questions and Answers: Promoting Employment of Individuals with Disabilities in the Federal Workforce; Applying Performance and Conduct Standards To Employees With Disabilities; Veterans with Service-Connected Disabilities in the Workplace and the ADA-A Guide for Employers; Reasonable Accommodations for Attorneys with Disabilities; The Family and Medical Leave Act, the ADA, and Title VII of the Civil Rights Act of 1964; The ADA: A Primer for Small Business; The ADA: Your Responsibilities as an Employer; The ADA: Your Employment Rights as an Individual With a Disability; Job Applicants and the ADA; Small Employers and Reasonable Accommodation; Work At Home/Telework as a Reasonable Accommodation; Obtaining and Using Employee Medical Information as Part of Emergency Evacuation Procedures; How to Comply with the Americans with Disabilities Act: A Guide for Restaurants and Other Food Service Employers; Final Report on Best Practices For the Employment of People with Disabilities In State Government; Questions and Answers about Health Care Workers and the Americans with Disabilities Act; Questions and Answers about Deafness and Hearing Impairments in the Workplace and the Americans with Disabilities

Act; Questions and Answers about Blindness and Vision Impairments in the Workplace and the ADA; Questions and Answers about the Association Provision of the Americans with Disabilities Act; Questions and Answers About Diabetes in the Workplace and the Americans with Disabilities Act; Questions and Answers About Epilepsy in the Workplace and the Americans with Disabilities Act; Questions and Answers About Persons with Intellectual Disabilities in the Workplace and the Americans with Disabilities Act; Questions and Answers About Cancer in the Workplace and the Americans with Disabilities Act.

Regional or Local Office:

See Regional Agency Offices. See map of field offices available at <http://www.eeoc/field/index.cfm>. EEOC Offices are also listed in Appendix IV of the Catalog.

Headquarters Office:

Equal Employment Opportunity Commission 131 M Street, NE, Washington, District of Columbia 20507 Phone: 202-663-4191/202-663-4494(TTY)

Website Address:

<http://www.eeoc.gov>

RELATED PROGRAMS:

16.101 Equal Employment Opportunity; 16.200 Community Relations Service; 17.301 Non-Discrimination and Affirmative Action by Federal Contractors and Federally Assisted Construction Contractors; 17.303 Wage and Hour Standards; 17.700 Women's Bureau; 29.001 Clearinghouse Services, Civil Rights Discrimination Complaints; 30.001 Employment Discrimination_Title VII of the Civil Rights Act of 1964; 30.008 Employment Discrimination_Age Discrimination in Employment; 30.010 Employment Discrimination Equal Pay Act; 30.013 Employment Discrimination-Title II of the Genetic Information Nondiscrimination Act of 2008; 93.001 Civil Rights and Privacy Rule Compliance Activities

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

30.013 EMPLOYMENT DISCRIMINATION-TITLE II OF THE GENETIC INFORMATION NONDISCRIMINATION ACT OF 2008

GINA Title II

FEDERAL AGENCY:

Equal Employment Opportunity Commission

AUTHORIZATION:

The Genetic Information Nondiscrimination Act of 2008, Title II, Public Law 110-233, 42 U.S.C 2000ff.

OBJECTIVES:

Title II of GINA prohibits the use of genetic information in making employment decisions, restricts employers and other entities covered by Title II (employment agencies, labor organizations and joint labor-management training and apprenticeship programs) from requesting, requiring or purchasing genetic information, and strictly limits the disclosure of genetic information. Title II of GINA also prohibits retaliation against applicants or employees for filing a charge of discrimination, participating in a discrimination proceeding (such as a discrimination investigation or lawsuit), or otherwise opposing discrimination. Genetic information includes information about an individual's genetic tests and the genetic tests of an individual's family members, as well as information about the manifestation of a disease or disorder in an individual's family members (i.e. family medical history). Genetic information also includes an individual's request for, or receipt of, genetic services, or the participation in clinical research that includes genetic services by the individual or a family member of the individual, and the genetic information of a fetus carried by an individual or by a pregnant woman who is a family member of the individual and the genetic information of any embryo legally held by the individual or family member using an assisted reproductive technology.

TYPES OF ASSISTANCE:

Advisory Services and Counseling; Federal Employment; Investigation of Complaints

USES AND USE RESTRICTIONS:

Individuals are protected from discrimination on the basis of genetic information by employers with 15 or more employees, federal agencies, employment agencies, labor organizations, and joint labor-management training and apprenticeship programs. The EEOC has an assessment tool, available at <https://egov.eeoc.gov/eas/>, to enable individuals to determine whether EEOC is the appropriate agency to contact regarding discrimination, harassment, or retaliation at the hands of a private sector employer or state or local government. Charges of discriminatory employment practices by a private sector employer or a state or local government can be filed by or on behalf of an individual or group of individuals claiming to be aggrieved. Mediation may be offered to the parties involved. If mediation is not used or is not successful, an investigation may ensue. If, after its investigation, the Commission determines that there is reasonable cause to believe that discrimination has occurred, it will attempt to resolve the charge informally through conciliation. If conciliation proves to be unsuccessful and the employer is not a state or local government, the Commission may bring a civil action against the respondent(s) named in the charge or issue a right to sue letter to the party who filed the charge, giving him or her the right to file a civil action in federal court. If conciliation fails on a charge against a state or local government, EEOC refers the case to the Department of Justice for consideration of litigation or issuance of a right to sue letter. If the Commission does not find reasonable cause, the charging party is issued a right to sue letter. A federal government applicant or employee who believes that his or her employment rights have been violated on the basis of genetic information and wants to make a claim against a federal agency must file a complaint with that agency and follow the procedures set forth at 29 C.F.R. part 1614.

Applicant Eligibility:

Any aggrieved individual, or any individual, labor union, association, legal representative, or organization filing on behalf of an aggrieved individual, who has reason to believe that an unlawful employment practice within the meaning of Title II of GINA has been committed by an employer, federal agency, an employment agency, labor organization, or joint labor-management committee controlling apprenticeship or other training or retraining, or on-the-job training programs. Any aggrieved individual who believes that he or she has been retaliated against for opposing employment practices that discriminate on the basis of genetic information or who files a charge of discrimination, testifies, or participates in any way in an investigation, proceeding, or litigation under Title II of GINA.

Beneficiary Eligibility:

Applicants, current employees, or former employees of the named respondent(s) who have been subjected to employment practices based on genetic information by the named respondent(s), and/or who have been subjected to retaliation for opposing discrimination or participating in a Title II of GINA investigation, proceeding, or litigation.

Credentials/Documentation:

A claim of unlawful employment practice(s) may be made in person, by mail, or by fax. An allegation must be in writing, signed, and or notarized when necessary to meet state or local requirements. Charge forms (EEOC Form 5, Charge of Discrimination) are available to all persons from field offices of the Commission. Individuals may also consult EEOCs website at <http://www.eeoc.gov/employees/howtofile.cfm> for detailed information about filing a charge. Federal government applicants or employees should consult EEOCs website at http://www.eeoc.gov/federal/fed_employees/complaint_overview.cfm for details about filing an employment discrimination complaint against a federal agency. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. A charge may be filed by any aggrieved individual, any individual on behalf of an

aggrieved individual, or by any organization, i.e., labor union, association, legal representative, etc., either as an aggrieved entity or on behalf of an aggrieved individual. Charges may be filed in person, by mail, or by fax at the nearest field office of the EEOC. Complaints against the federal government should be filed at the relevant agency's EEO office. Each agency is required to post information about how to contact the agency's EEO office.

Award Procedure:

A charge is sufficient when the Commission receives from the person making the charge a written signed statement that includes an allegation of discrimination, the name(s) of the parties involved, and a request that the EEOC act to protect the applicant or employees rights or otherwise settle a dispute between the applicant or employee and the employer.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Title II of GINA requires that charges be filed within 180 days of the date of the alleged violation, within 300 days if the charge is also covered by a state or local anti-discrimination law, or within 30 days of receipt of notice of termination of state or local proceedings, whichever is earlier. If the evidence does not establish that discrimination occurred, charging parties will be given written notice of their right to sue. A charging party may file a lawsuit within 90 days after receiving a notice of a right to sue from the EEOC. Under Title II of GINA, a charging party also can request a notice of right to sue from EEOC 180 days after the charge was first filed with the Commission, and may then bring suit within 90 days after receiving this notice. When a right to sue letter is issued at the request of the charging party, EEOC usually stops its investigation. Federal job applicants or employees must generally contact the agency's EEO Counselor within 45 days from the date the discrimination occurred.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title The Genetic Information Nondiscrimination Act of 2008, Chapter 29, Part 1635, Subpart N/A, Public Law 110 Stat.233; 42 U.S.C. 2000ff. Title II of the Genetic Information Nondiscrimination Act of 2008 (GINA) prohibits the use of genetic information in making employment decisions, restricts employers and other entities covered by Title II from requesting, requiring or purchasing genetic information and strictly limits the disclosure of genetic information.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Not Applicable. See the following for information on how assistance is awarded/released: Not Applicable.

Reports:

No reports are required.

Audits:

No audits are required for this program.

Records:

Not Applicable.

Account Identification:

45-0100-0-1-751.

Obligations:

(Salaries) FY 12 \$344,218,830; FY 13 est \$368,648,926; and FY 14 est \$372,923,000

Range and Average of Financial Assistance:

Not Applicable.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: In FY 2012, EEOC field legal units filed 122 merits lawsuits including 86 individual suits, 23 multiple-victim suits and 10 systemic suits. Of

these new filings, 66 contained Title VII claims, 45 contained Americans with Disability Act claims, 12 contained Age Discrimination in Employment Act claims, and 2 contained Equal Pay Act claims. Legal staff resolved 253 merits lawsuits for a total monetary recovery of \$43.2 million. Of these resolutions, 161 contained Title VII claims, 72 contained Americans with Disabilities Act claims, 30 contained Age Discrimination in Employment Act, and 2 contained EPA claims. In terms of dollars recovered in direct, indirect and intervention lawsuits by statute, EEOC recovered \$34.2 million in Title VII resolutions, \$2.6 million in ADEA resolutions, \$5.4 million in ADA resolutions, and \$943 thousand in resolutions involving more than one statute. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Publications are available on the EEOC website (www.eeoc.gov). To request documents in alternative formats (Braille, large print, etc), contact EEOC's Publication Center at 1-800-669-3362 (voice) or 1-800-800-3302 (TTY). Regulations include 29 CFR 1635: Regulations under the Genetic Information Nondiscrimination Act of 2008 and 29 CFR 1614: Federal Sector Equal Employment Opportunity. There are no enforcement guidances or policy documents discussing Title II of GINA. Technical assistance documents include: Background Information for EEOC Final Rule on Title II of the Genetic Information Nondiscrimination Act of 2008 and Questions and Answers for Small Businesses: Title II of the Genetic Information Nondiscrimination Act of 2008, both available at <http://www.eeoc.gov/laws/types/genetic.cfm>.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Office of Communication and Legislative Affairs 131 M Street, N.E., Washington, District of Columbia 20507 Phone: 202-663-4191/202-663-4494TTY

Website Address:

<http://www.eeoc.gov/laws/types/genetic.cfm>

RELATED PROGRAMS:

16.101 Equal Employment Opportunity; 29.001 Clearinghouse Services, Civil Rights Discrimination Complaints; 30.001 Employment Discrimination Title VII of the Civil Rights Act of 1964; 30.005 Employment Discrimination Private Bar Program; 30.008 Employment Discrimination Age Discrimination in Employment; 30.010 Employment Discrimination Equal Pay Act; 30.011 Employment Discrimination Title I of The Americans with Disabilities Act; 93.001 Civil Rights and Privacy Rule Compliance Activities

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

FEDERAL MARITIME COMMISSION

33.001 SHIPPING_DISPUTE RESOLUTION AND INVESTIGATION OF COMPLAINTS

FEDERAL AGENCY:

Federal Maritime Commission

AUTHORIZATION:

Shipping Act of 1984, as amended, 46 U.S.C 40101; Administrative Dispute Resolution Act of 1996, 5 U.S.C 571.

OBJECTIVES:

To provide assistance to those engaged in international ocean shipping, including those making household goods shipments. Assistance may include helping locate missing cargo and helping resolve issues with respect to costs or service. To provide a forum for settling commercial and legal disputes between or among carriers, shippers, and ocean transportation intermediaries through the use of various means of alternative dispute resolution. To provide information to passengers seeking reimbursement of payments when dealing with cruise line issues.

TYPES OF ASSISTANCE:

Resolution of Disputes

USES AND USE RESTRICTIONS:

The Commission assists disputing parties with achieving voluntary settlements. The Commission also may award reparations for financial injury resulting from violations of the Shipping Act of 1984.

Applicant Eligibility:

Any person may seek voluntary dispute resolution, and also may pursue reparations to compensate for financial losses upon proving a violation of the Shipping Act of 1984.

Beneficiary Eligibility:

Anyone/General public.

Credentials/Documentation:

A complaint alleging specific violations of the Shipping Act of 1984 or Commission regulations should be supported by facts sustaining such allegations. For household goods shipments and other cargo issues, submit bills of lading and other contractual documents. For canceled cruises, passengers need to document payments and provide identifying passenger and/or voyage information. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Informal complaints or requests for the mediation or other alternative dispute resolution (ADR) procedures may be submitted to the Commission in an e-mail to complaints@fmc.gov. To the extent available, all complaints other than cruise complaints should include the following information: Bill of lading/dock receipts/arrival notices/invoices; Description of cargo; Origin; Port of origin (may be the same as origin); Destination; Port of destination (may be the same as destination); Date of shipment or sailing; Name of individual(s) filing complaint (if you are representing a complainant, what is your name and your relationship to the complainant); Company name of complainant(s); Contact information for complainant(s) (and/or representative(s)) include telephone, fax, address including zip code, and email; Name of shipping company or party against whom the complaint is being filed; Contact information for that company or party, (including name(s) of responsible individuals, telephone and fax numbers, address including zip code and email); Description of issue and desired solution. Include as much information as you believe we will need when we contact the other party(s) to the complaint. Explain what you would like to see accomplished - getting cargo released, negotiating a monetary solution, etc. Cruise complaints should include the following information: Ticket/passenger invoice; Date of sailing; Length and nature of cruise; Port of origin; Port of destination; Did you purchase transportation from your home to the port of origin from the cruise line? Did you purchase transportation from the port of destination to your home from the cruise line? Questions regarding these matters may be directed to the Office of Consumer Affairs and Dispute Resolution Services at (202) 523-5807; 1-866-448-9586 (toll free); fax: (202) 275-0059; email: Complaints@fmc.gov. A formal complaint, as well as a complaint requesting informal (small claims) adjudication may be submitted pursuant to Section 11 of the Shipping Act of 1984 to the Secretary, Federal Maritime Commission. (See the Commission's Rules of Practice and Procedure at 46 CFR Part 502). Questions regarding those procedures may be directed to the Office of the Secretary, at (202) 523-5725; fax: (202) 523-0014; or email: Secretary@fmc.gov. The Federal Maritime Commission is located at: 800 North Capitol Street, N.W., Washington, DC 20573. Visit our website at www.fmc.gov.

Award Procedure:

None.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Not applicable. See the following for information on how assistance is awarded/released: Not applicable.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

None.

Account Identification:

65-0100-0-1-403.

Obligations:

(Salaries) FY 12 \$1,724,000; FY 13 est \$1,857,000; and FY 14 est \$1,911,000

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

46 CFR 502.401 et. seq.; brochure available from Headquarters Office.

Regional or Local Office:

See Regional Agency Offices. Federal Maritime Commission Area Representatives: South Florida: Andrew Margolis and Eric Mintz, P. O. Box 813609, Hollywood, FL 33081-3609. Telephone: (954) 963-5362. Fax: (954) 963-5630. E-mail: AMargolis@fmc.gov, EMintz@fmc.gov. Seattle: Michael A. Moneck, The Fabulich Center, Suite 508, 3600 Port of Tacoma Road, Tacoma, WA 98424-1044. Telephone: (253) 922-7622. Fax: (253) 922-7859. E-mail: MMoneck@fmc.gov. New Orleans: Bruce N. Johnson, Sr., P.O. Box 700, Saint Rose, LA 70087-0700. Telephone: (504) 589-6662. Fax: (504) 589-6663. E-mail: BJohnson@fmc.gov. Los Angeles: Oliver E. Clark and Nash D. Asandas, P.O. Box 230, San Pedro, CA 90733-0230. Telephone: (310) 514-4905. Fax: (310) 514-3931. E-mail: OClark@fmc.gov, NAsandas@fmc.gov. New York: Joseph A. Castellano and Ronald Podlaskowich, Bldg. No. 75, Room 205-B, JFK International Airport, Jamaica, NY 11430-1827. Telephone: (718) 553-2223, Fax: (718) 553-2229. E-mail: JCastellano@fmc.gov, RPodlaskowich@fmc.gov. Houston: Vacant, 650 N. Sam Houston Parkway, #230, Houston, TX 77060-5908. Telephone: (281) 591-6088. Fax: (281) 591-6099.

Headquarters Office:

Jennifer M. Gartlan 800 North Capitol Street, N.W., Washington, District of Columbia 20573 Email: complaints@fmc.gov Phone: 202-523-5807 Fax: 202-275-0059

Website Address:

<http://www.fmc.gov>

RELATED PROGRAMS:

36.001 Fair Competition Counseling and Investigation of Complaints

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

FEDERAL MEDIATION AND CONCILIATION SERVICE

34.002 LABOR MANAGEMENT COOPERATION

FEDERAL AGENCY:

Federal Mediation and Conciliation Service

AUTHORIZATION:

Labor-Management Cooperation Act of 1978, Title VII, Section 6, Public Law 95-524, 29 U.S.C 175a.

OBJECTIVES:

To support the establishment, expansion, and activities conducted by committees at the company/plant level, area, industry-wide, and for public sector employees joint labor management committees in order to improve labor-management relations, economic development, and organizational effectiveness and productivity.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Grants are used only to create new or expand existing joint labor-management committees where recognized collective bargaining agreements exist at the company/plant, area, public sector, and industry-wide levels (as applicable) and where both labor and management agree to the establishment or expansion. FMCS subject to funds availability, set aside a sum not to exceed thirty percent of its non-reserved appropriation to be awarded on a noncompetitive basis. These funds will be used only to support applications that have been solicited by the Director of the Service and are not subject to the dollar range.

Applicant Eligibility:

Not Applicable.

Beneficiary Eligibility:

Not Applicable.

Credentials/Documentation:

Evidence of the existence of a current collective bargaining agreement and of joint commitment to the project by both labor and management. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. The Federal Mediation and Conciliation Service Labor-Management Cooperation Grant Program currently does not have FY2013 funding under the Continuing Resolution recently enacted to fund the federal government. FMCS will update our website when more funding information becomes available. FMCS appreciates your interest in our grants program.

Award Procedure:

The FMCS is not accepting grant applications at this time.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

The FMCS is not accepting grant applications at this time.

Appeals:

Administrative review by the Director of the Service; hearings held by the Service.

Renewals:

FMCS reserves the right under special condition to award supplemental (continuation) grants subject to funds availability.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: Percent: 10.%.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Generally between 12 and 18 months. See the following for information on how assistance is awarded/released: Funds are issued via Electronic Funds Transfer (EFT).

Reports:

During the life of the grant: quarterly narrative (milestones), LMC meeting minutes, and financial reports. No cash reports are required. After the grant: within 90 days of grant expiration an evaluation summary of accomplishments and or failures. No expenditure reports are required. Formal evaluations are negotiable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In accordance with applicable Office of Management and Budget Circulars.

Records:

In accordance with applicable Office of Management and Budget Circulars.

Account Identification:

93-3010-0-1-505.

Obligations:

(Project Grants (Discretionary)) FY 12 \$0; FY 13 est \$0; and FY 14 est \$400,000 - The FMCS is not accepting grant applications at this time. No awards were made in FY2012. No awards will be funded in FY2013.

Range and Average of Financial Assistance:

\$65,000 for company/plant or single department public sector applicants; up to \$125,000 for area industry and multi-department public sector committee applications.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: FMCS did not award grants in FY2012. Fiscal Year 2013: FMCS will NOT award grants in FY2013. Fiscal Year 2014: To be determined.

REGULATIONS, GUIDELINES, AND LITERATURE:

FMCS Current Fiscal Year Program Guidelines/Application Solicitation Announcement and Financial and Administrative Grants Manual which incorporates all applicable Federal grant regulations.

Regional or Local Office:

None.

Headquarters Office:

Linda Gray-Broughton, 2100 K Street, NW, Washington, District of Columbia 20427 Email: lgbroughton@fmcs.gov Phone: (202) 606-8181 Fax: (202) 606-3434

Website Address:

<http://www.fmcs.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No awards. Fiscal Year 2013: No awards. Fiscal Year 2014: TBD.

CRITERIA FOR SELECTING PROPOSALS:

Applicant need, measurable goals, practicality of approach, commitment of appropriate parties, cost benefit, effectiveness and overall feasibility.

GENERAL SERVICES ADMINISTRATION

39.002 DISPOSAL OF FEDERAL SURPLUS REAL PROPERTY

FEDERAL AGENCY:

General Services Administration

AUTHORIZATION:

Executive Order Public Buildings, Property and Works, Public Law 102-517.

OBJECTIVES:

To assist State and local governments, and certain eligible (501(c)(3) tax-exempt status) non-profit organizations with acquiring surplus Federal real property for various public uses that benefit communities.

TYPES OF ASSISTANCE:

Sale, Exchange, or Donation of Property and Goods

USES AND USE RESTRICTIONS:

Based on a determination by GSA of a property's highest and best use, surplus Federal real and related personal property may be conveyed to State and local governments and, in some cases, certain eligible non-profit organizations for various public purposes or uses as allowed by law. These conveyances are called Public Benefit Conveyances (PBCs). Under the law, PBCs are completed without monetary consideration or at discounts of up to 100 percent of the fair market value; and, depending on the PBC, the use of the property is restricted for a period of up to 30 years or in perpetuity. Property may be conveyed at a discount of up to 100 percent for Homeless assistance use; if the property is conveyed by deed, it must be used for homeless assistance purposes for 30 years; if it is conveyed by lease or permit the property must be used for a period according to the terms of the lease or permit, which is generally not less than one year. Surplus Federal real property is also conveyed at discounts of up to 100 percent for public park or recreation use (restriction: perpetuity); public health or educational purposes (restriction: 30 years for both); historic monument (restriction:perpetuity); correctional (restriction: perpetuity); law enforcement (restriction: perpetuity); emergency management response use, including fire and rescue services (restriction: perpetuity); self-help housing (restriction: 30 years); port facility use (restriction: perpetuity), wildlife conservation (restriction: perpetuity); public airport (restriction: perpetuity) and public highway use (restriction: none); public airport use (restriction: perpetuity); wildlife conservation (restriction: perpetuity).

Applicant Eligibility:

State and local government agencies are eligible to apply for surplus real property for park, recreation, correctional facility, law enforcement, historic monument, public airport, health, educational, homeless, self help housing, emergency management and port facilities. Eligibility for property for wildlife conservation use, other than for migratory birds, is limited to the States. Tax-supported and nonprofit medical and educational institutions which have been held exempt from taxation under 501(c)(3) of the Internal Revenue Code are also eligible to apply for property for health, educational and homeless use.

Beneficiary Eligibility:

General public may apply.

Credentials/Documentation:

The applicant must submit an application describing the proposed program of use for the property and evidence of its ability to finance the program. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Applications for health and homeless assistance use are submitted to the Department of Health and Human Services (HHS) which requests assignment of the property from GSA; applications for educational use are submitted to the Department of Education which requests assignment of the property from GSA; applications for both park and recreation and historic monument uses are submitted to the National Park Service, Department of the Interior. The National Park Service requests assignment of the property from GSA for park and recreation use; applications for correctional and law enforcement uses are submitted to the Office of Justice Programs, Bureau of Justice Assistance, Department of Justice; applications for emergency management response uses are submitted to the Federal Emergency Management Agency, Department of Homeland Security; applications for self-help housing are submitted to the Department of Housing and Urban Development; applications for port facilities

are submitted to the Maritime Administration, Department of Transportation; applications for highway use are submitted to the Federal Highway Administration, Department of Transportation; for public airport use and wildlife conservation use, GSA supplies the applications, which are then submitted to the Federal Aviation Administration, Department of Transportation and the Fish and Wildlife Service, Department of the Interior, respectively.

Award Procedure:

Typically, the sponsoring agency responsible for reviewing and approving the application makes the award; however, for wildlife conservation and public airport uses, GSA makes the award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. From 1 to 2 months.

Appeals:

From 1 to 15 days.

Renewals:

From 60 to 90 days.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Not applicable. Method of awarding/releasing assistance: lump sum.

Reports:

No reports are required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

None.

Account Identification:

47-0110-0-1-804.

Obligations:

(Salaries) FY 12 \$5,082,966; FY 13 est \$5,528,908; and FY 14 est \$5,294,762

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Public benefit conveyance- 22 properties having an estimated value of \$7.1 million. Fiscal Year 2013: Public benefit conveyance- 44 properties having an estimated value of \$62.6 million. Fiscal Year 2014: Public benefit conveyance- 44 properties having an estimated value of \$62.6 million.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices. Director, Office of Property Disposal, General Services Administration. Applicant's initial contact should be at the regional level. See the Office of Property Disposal for each Region listed in Appendix IV of the Catalog.

Headquarters Office:

David Stinson, 1800 F Street NW, Washington, District of Columbia 20405
Email: david.stinson@gsa.gov Phone: (202) 208-0324.

Website Address:

<http://Rc.gsa.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

39.003 DONATION OF FEDERAL SURPLUS PERSONAL PROPERTY

(Donation Program)

FEDERAL AGENCY:

General Services Administration

AUTHORIZATION:

Older Americans Act of 1965, 40 U.S.C. 549; Section 213, as amended, Section 502, Public Law 100-77; E.O. 12999, April 17, 1996, Public Law 105-50.

OBJECTIVES:

To donate Federal personal property no longer required for Federal use to public agencies and qualifying nonprofit entities in order to maximize the use of Federal property and reduce the cost of State and local government.

TYPES OF ASSISTANCE:

Sale, Exchange, or Donation of Property and Goods

USES AND USE RESTRICTIONS:

Surplus items are used by State and local public agencies for carrying out or promoting one or more public purposes, such as conservation, parks and recreation, education, public health, public safety, economic development and programs for older individuals; by certain nonprofit, tax-exempt activities for public health or educational purposes, including research for such purposes, and for use in programs for older individuals; or by public airports for airport development, operation or maintenance. Federal restrictions require that, at a minimum, all surplus property be placed into use by the donee within 1 year of acquisition and be used at least for 1 year thereafter. Other restrictions, terms and conditions are imposed by GSA and the agencies determining eligibility (shown under Applicant Eligibility, section below) for items with original acquisition value above \$5,000 and for certain commodities, such as vehicles and aircraft. There are restrictions on use during the period of conditional title. Recipients only acquire full, unrestricted title after an established period of time, 12 months, 18 months, 60 months, or in perpetuity, depending on the individual property.

Applicant Eligibility:

(a) State participation is contingent upon the acceptance by the General Services Administration (GSA) of a State plan of operation as being in conformance with Public Law 107-217. This State plan must establish a State agency which is responsible for the distribution of Federal surplus personal property to eligible recipients within the State on a fair and equitable basis. Eligible donee categories for the distribution of property through the State Agencies for Surplus Property are defined as: (1) Public agencies which include any (i) State or department, agency, or instrumentality thereof; (ii) political subdivision of the State, including any unit of local government or economic development district, or any department, agency or instrumentality thereof; (iii) instrumentality created by compact or other agreement between State or political subdivisions; (iv) multijurisdictional sub-State district established by or pursuant to State law; and (v) Indian tribe, band, group, pueblo, or community located on a State reservation. Eligibility for public agencies is determined by the State Agency for Surplus Property. (2) Nonprofit, tax-exempt activities such as schools, colleges, universities, public libraries, schools for the mentally challenged or physically challenged, educational radio or TV stations, child care centers, museums, medical institutions, hospitals, clinics, health centers, drug abuse treatment centers, providers of assistance to the homeless, providers of assistance to the impoverished and programs for older individuals. (b) Service educational activities as determined by the Deputy Under Secretary of Defense (L/SCI). (c) Public airports. Eligibility is determined by the Federal Aviation Administration.

Beneficiary Eligibility:

State and local public agencies; nonprofit educational or public health institutions or organizations, including providers of assistance to the homeless; nonprofit and public programs for the elderly; educational activities of special interest to the armed services; and public airports.

Credentials/Documentation:

Contact appropriate State or Federal agency shown under Applicant Eligibility section of this program. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

For information on how to participate in the Federal Surplus Personal Property Donation Program, contact the State Agency for Surplus Property (SASP) in your state. Contact information for each SASP can be found at: www.gsa.gov/sasp. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Contact appropriate agency shown under Preapplication Coordination section of this program.

Award Procedure:

Upon determination of eligibility, applicant maintains contact with the appropriate agency shown under Preapplication Coordination section of this program.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Applicants participating through State agencies for surplus property may appeal a determination of ineligibility through the State agency for review by GSA.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Not applicable. See the following for information on how assistance is awarded/released: Not applicable.

Reports:

Not Applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Prescribed by agencies shown under Applicant Eligibility section of this program.

Records:

Prescribed by agencies shown under Applicant Eligibility section of this program.

Account Identification:

47-0110-0-1-804.

Obligations:

(Salaries) FY 12 \$12,700,000; FY 13 est \$11,900,000; and FY 14 Estimate Not Available(Exp: Budget not yet approved) - Utilization & Donation Program Obligations: Personnel Compensation/benefits; travel; contractual services; other. These figures are for the Utilization & Donation (U&D) Program. The Donation Program is not funded separately from U&D.

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: 78,436 line items of property with an acquisition cost of \$2.0 billion were transferred or donated. Fiscal Year 2013: 65,623 line items of property with an acquisition cost of \$802 million were transferred or donated through June 30, 2013. Fiscal Year 2014: No current data available.

REGULATIONS, GUIDELINES, AND LITERATURE:

41 CFR 102-37, "Donation of Surplus Personal Property," available for purchase from Superintendent of Documents, Government Printing Office, Washington, DC 20402. Pamphlet, "The Federal Surplus Personal Property Donation Program," available from General Services Administration at no charge.

Regional or Local Office:

See Regional Agency Offices. Initial contacts (except Service Educational Activities) should be at regional or local level. See Appendix IV of the Catalog for addresses of GSA Federal Acquisition Service Regional Property Division serving the geographical area of interest. Individual units of Service Educational Activities national organizations such as Boy Scout or Girl Scout troops, Boys and Girls Clubs, etc., should contact their regional or national headquarters; Service Educational Activity Schools should contact their sponsoring military service.

Headquarters Office:

Sunny Kwa 1800 F Street, N.W., Washington, District of Columbia 20405
Email: sunny.kwa@gsa.gov Phone: 202-501-1700

Website Address:

<http://www.gsa.gov/property>

RELATED PROGRAMS:

12.700 Donations/Loans of Obsolete DOD Property; 39.002 Disposal of Federal Surplus Real Property; 39.007 Sale of Federal Surplus Personal Property

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

39.007 SALE OF FEDERAL SURPLUS PERSONAL PROPERTY

(Sales Program)

FEDERAL AGENCY:

General Services Administration

AUTHORIZATION:

Public Law 81-152, 63 Stat. 377, 40 U.S.C. 541 et. seq.

OBJECTIVES:

To sell personal property no longer needed by the Government in an expeditious, economical and efficient manner and to obtain the maximum net return from sales. General Services Administration conducts the sale of personal property for most of the civil agencies; the Department of Defense handles the sale of its own surplus property.

TYPES OF ASSISTANCE:

Sale, Exchange, or Donation of Property and Goods

USES AND USE RESTRICTIONS:

This program provides individuals, business concerns, and other organizations with the opportunity to enter competitive bids for purchase of a wide variety of personal property whenever it is determined surplus to the needs of the Federal Government and available for sale. Included are vehicles, aircraft, hardware, electronic and medical equipment, furniture, office supplies and equipment, exotic gifts and collectables, and many other items. This program has been expanded offering assets available to the general public via online capabilities. GSA Auctions (www.gsaauctions.gov) provides the general public with an Internet website to bid electronically on a wide variety of federal personal property. The website allows GSA to offer assets located across the country to any interested buyer, regardless of location. GSA Auctions provides item descriptions and pictures of items available for sale. Successful bidders can

also make payments online. Normally, there are no use restrictions on property purchased from the Government. Other laws may restrict those eligible to participate or place conditions on property.

Applicant Eligibility:

Competitive bid sales are open to the general public, however bidders must be at least 18 years of age. Individuals cannot successfully register to bid on items without providing a TIN. A TIN is defined as an individual's Social Security Number (SSN) or business entity's Employer Identification Number (PIN).

Beneficiary Eligibility:

General public.

Credentials/Documentation:

A credit card is required for all registered users in our online community. Credit card validation assists in the prevention of fraudulent bidding activity and ensures that bidders are prepared to accept responsibility for their bidding activity and all submitted bids are valid. This card number is recorded but will not be automatically charged if a bidder is the successful bidder of an item. For security reasons and to prevent fraudulent bidding activities, all registered bidders are subject to billing address verification. No P.O. Box address will be accepted unless accompanied by a street address. By entering the credit card information, you are attesting you are an authorized user of the card. It is required that the bidder creates and secures their username and password. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Interested individuals may participate in GSA sales of Federal personal property by visiting the GSA Auctions website at www.gsaauctions.gov, or may visit www.surplussales.fss.gov for information on sales not conducted on the internet. Also, information is available at the Federal Asset Sales website at www.gsoales.gov.

Award Procedure:

Property is awarded to that responsible bidder whose bid, conforming to the invitation, is most advantageous to the Government, price and other factors considered. For internet sales, the successful bidders will be notified by email and must make payment within 2 business days from the date & time the award email notification was sent. Bid results from other sale types may be furnished by U.S. mail notification, via telephone, fax, or at www.surplussales.fss.gsa.gov. It is the bidder's responsibility to follow-up on the status of his/her bid and to ensure that his/her email address and all registration data are kept accurate and up-to-date.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

It is the policy of GSA Auctions to monitor bids and other activity to ensure the proper use of our site. The Government reserves the right to reject any and all bids. Bids can be rejected for any reason, especially those containing inaccurate, incomplete or unverifiable information, or information which is deemed to have been given in bad faith. Indebted bidders will be ineligible for contract awards. Bidders will not be able to bid on items specified on GSA Auctions until all debts have been cleared, and will not be awarded items when participating in other methods of sale, such as sealed bid or fixed price.

Appeals:

Disputes, claims and/or refunds request are not a frequent issues at GSA. Contracts resulting from the sale of any offer, and particularly GSA Auctions are subject to the Contract Disputes Act of 1978 (41 U.S.C. 601-613), A claim or request for refund must be substantiated in writing to the Contracting Officer for issues regarding mis-described property, missing property and voluntary defaults.

Renewals:

If at any time, a participant's information changes, it is their responsibility to update the appropriate information with GSA and in GSA Auctions.

Formula and Matching Requirements:

This program has no statutory formula.
 Matching requirements are not applicable to this program.
 MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Not applicable. See the following for information on how assistance is awarded/released: Not applicable.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

None.

Account Identification:

47-4530-0-4-804.

Obligations:

(Salaries) FY 12 \$10,000,000; FY 13 est \$10,900,000; and FY 14 Estimate Not Available(Exp: Budget not yet approved) - Sales program operates under a revolving fund, and obligations are not applicable. Sales operating expenses would be the equivalent personnel compensation/benefits; travel; contractual services; other.

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Generated \$26 million of revenue. Fiscal Year 2013: The estimated revenue is \$23.3 million. Fiscal Year 2014: The estimated revenue is \$25 million.

REGULATIONS, GUIDELINES, AND LITERATURE:

41 CFR 101-45, Sale, Abandonment, or Destruction of Personal Property. FMR 102-38, Sale of Personal Property. FMR 102-39, Replacement of Personal Property Pursuant to the Exchange/Sale Authority. Pamphlet "For Public Sale! Used Federal Government Personal Property."

Regional or Local Office:

See Regional Agency Offices. Federal Acquisition Service, General Services Administration, (select address from Appendix IV of the Catalog for the GSA Federal Acquisition Services, Office of Personal Property Management Sales Program Division which serves the geographical area of interest); or DLA Disposition Services (for Department of Defense surplus property), National Sales Office, 74 Washington Ave. North, Battle Creek, MI 49017-3092. Internet: <http://www.gsa.gov>.

Headquarters Office:

Sunny Kwa, 1800 F Street, N.W., Washington, District of Columbia 20405
 Email: sunny.kwa@gsa.gov Phone: (202) 501-1700

Website Address:

<http://www.gsa.gov> ; www.gsauctions.gov; and www.govsales.gov.

RELATED PROGRAMS:

12.700 Donations/Loans of Obsolete DOD Property; 39.003 Donation of Federal Surplus Personal Property

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

NATIONAL CREDIT UNION ADMINISTRATION

44.002 COMMUNITY DEVELOPMENT REVOLVING LOAN FUND PROGRAM FOR CREDIT UNIONS

Loan Program for Low-Income Designated Credit Unions
 Grant Program for Low-Income Designated Credit Unions
 Office of Small Credit Union Initiatives Grant and Loan Program (CDRLF)

(CDCU)

FEDERAL AGENCY:

National Credit Union Administration

AUTHORIZATION:

Omnibus Budget Reconciliation Act of 1981, as amended, Sections 623,633, and 681, Stat. 494,498,518, 42 U.S.C 9812-9910.

OBJECTIVES:

To support low-income designated credit unions in their efforts to: 1) Provide basic financial and related services to its members and their communities; 2) Enhance their capacity to better serve their members and the communities in which they operate; and 3) Respond to emergencies.

TYPES OF ASSISTANCE:

DIRECT LOANS; Project Grants

USES AND USE RESTRICTIONS:

In order to meet the objectives of the Community Development Revolving Loan Program for Credit Unions, an applicant low-income credit union approved for participation must provide a variety of financial and related services designed to meet the particular needs of the low-income community served. Federal grants and loans under the program may generally be used for services that include 1) Provide basic financial and related services to its members and their communities; 2) Enhancing their capacity to better serve their members and the communities in which they operate; 3) Responding to emergencies. The aforementioned are subject to initiatives offered for funding each year. The funds must be utilized in compliance with the particular project approved for funding and program guidelines, which provide information on expenses the loans and grants may cover. Current Program for Loans (2012/2013)- Credit unions are eligible to apply for a 5-year balloon note, with a 0.4% interest rate. Payments are due semi-annually. There is no penalty for early repayment.

Grants: Based on initiatives. Published on NCUA Website and NOFO.

Applicant Eligibility:

All State and federally chartered credit unions with a low-income designation are eligible.

Beneficiary Eligibility:

A credit union wishing to participate must serve a field of membership which is comprised primarily of low-income individuals.

Credentials/Documentation:

Evidence of Chartering from the National Credit Union Administration
 Evidence of Low Income Designation. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Applications for grants and loans must be applied for online. The direct link to the online applications is: www.cybergrants.com/ncua/applications.

Award Procedure:

Grant Award Procedure:

- 1) Low-Income Designated Credit Unions Apply Online
- 2) Agency (NCUA) Review of Applications
- 3) Agency (NCUA) Notifies Credit Union of Approval or Denial/Announces Awards
- 4) Credit Union Incurs Expense for Approved Project (if approval)
- 5) Credit Union Requests Reimbursement Online (if approval)
- 5) Agency (NCUA) Reimbursement Review and Payment via Electronic Funds Transfer (if approval)

Loan Award Procedure:

- 1) Low-Income Designated Credit Unions Apply Online

- 2) Agency (NCUA) Review of Applications
- 3) Agency (NCUA) Notifies Credit Union of Approval or Denial
- 4) Credit Union and NCUA Sign Loan Documents (if approval)
- 5) Loan Amount Paid to Credit Union via Electronic Funds Transfer (if approval).

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. From 30 to 90 days.

Appeals:

From 90 to 120 days. Any applicant whose qualification is denied may appeal that decision to the NCUA Board.

Renewals:

From 15 to 30 days.

Formula and Matching Requirements:

Statutory Formula: Title 12, Chapter VII, Part 705.

Matching Requirements: NCUA may require (in some rare cases) credit unions to match the loan.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grant Program

Credit unions approved for funding must utilize the funds and submit a reimbursement request by the commitment expiration date provided at approval.

Loan Program

Financial assistance made available in this program will be in the form of a loan which is to be deposited in the credit union as either a non-member deposit or as a note payable and must be repaid to the Revolving Loan Program at a rate of not less than 1 or greater than 3 percent per annum (for loans prior to 2012) and 0.40% for loans issued since October 2012. The term of the loans will not exceed 5 years from the date of award of the loan. Funds will be released directly to the credit union by NCUA, Community Development Revolving Loan Program for Credit Unions. Method of awarding/releasing assistance: lump sum.

Reports:

Grant Program

Credit unions are required to provide a summary of outcome and proof of purchase and payment for the project implemented to receive reimbursement.

Loan Program

Loan recipients must submit an application along with other financial, business or program reports as required by NCUA and a final report summarizing the activities and accomplishments of the project in relation to the approved goals and objectives. No cash reports are required. Grant Program

Credit unions are required to provide a summary of outcome and proof of purchase and payment for the project implemented to receive reimbursement.

Loan Program

Loan recipients must submit an application along with other financial, business or program reports as required by NCUA and a final report summarizing the activities and accomplishments of the project in relation to the approved goals and objectives. Grant Program

Credit unions are required to provide a summary of outcome and proof of purchase and payment for the project implemented to receive reimbursement.

Loan Program

Loan recipients must submit an application along with other financial, business or program reports as required by NCUA and a final report summarizing the activities and accomplishments of the project in relation to the approved goals and objectives. Loan recipients must submit an application along with other financial, business or program reports as required by NCUA and a final report summarizing the activities and accomplishments of the project in relation to the approved goals and objectives.

Audits:

No audits are required for this program.

Records:

Loan and grant recipients are required to keep all financial, business and program reports necessary for program review and audit to ensure that funds have been expended in accordance with the applicable regulations, guidelines, loan agreement and terms and conditions.

Account Identification:

25-4472-0-3-373.

Obligations:

(Direct Loans) FY 12 \$3,000,000; FY 13 est \$3,000,000; and FY 14 Estimate Not Available - This portion reflects the loans issued as part of the CDRLF Program. Loan amounts range from \$10,000 to \$500,000. There are no new congressional appropriations awarded to this revolving loan fund since 2005. (Project Grants (Special)) FY 12 \$1,247,000; FY 13 Estimate Not Available; and FY 14 Estimate Not Available - We received 1,247,000 in appropriation to be awarded as grants. Appropriation fund is for the FY Years 12/13. Grant awards range from \$500 to \$25,000.

Range and Average of Financial Assistance:

From \$500.00 to \$25,000 for Grants and From \$10,000 to \$500,000 for Loans.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: 3 Million in Loans. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

CDRLF Program, Federal Register, Volume 52 No. 179, September 16, 1987 (12 CFR 705) and Volume 54 No. 240, December 15, 1989.

Regional or Local Office:

None.

Headquarters Office:

Geetha Valiyil 1775 Duke Street, Alexandria, Virginia 22314 Email: gvaliyil@ncua.gov Phone: 703-518-6645

Website Address:

<http://www.ncua.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The Community Development Revolving Loan Fund Program will use the following to base its consideration for awards on the following priorities: (1) Purpose; (2) Financial Factors and (3) Non-Financial Factors as listed in the application for funds.

FEDERAL COUNCIL ON THE ARTS AND THE HUMANITIES

45.201 ARTS AND ARTIFACTS INDEMNITY

FEDERAL AGENCY:

Federal Council on the Arts and the Humanities

AUTHORIZATION:

National Foundation on the Arts and the Humanities Act of 1965, as amended, Public Law 089-209, 20 U.S.C 977 et seq.; Arts and Artifacts Indemnity Act, Public Law 094-158.

OBJECTIVES:

To provide for indemnification against loss or damage for eligible art works, artifacts, and objects (1) when borrowed from abroad for exhibition in the U.S.; (2) when borrowed from the U.S. for exhibition abroad, preferably when there is an exchange exhibition from a foreign country; (3) when borrowed from the U.S. for exhibition in the U.S. as part of exhibitions from abroad which include foreign-owned objects; and 4) when borrowed from U.S. collections for exhibition in the U.S.

TYPES OF ASSISTANCE:

INSURANCE

USES AND USE RESTRICTIONS:

Certificates of Indemnity will be issued for the following items insuring them against loss or damage: (1) works of art, including tapestries, paintings, sculpture, folk art, graphics, and craft arts; (2) manuscripts, rare documents, books, and other printed or published materials; (3) other artifacts or objects; and (4) photographs, motion pictures, or audio and video tape. Items must be of educational, cultural, historical or scientific value; and their exhibition, in the case of international exhibitions, must be certified by the Department of State to be in the national interest. No indemnity agreement for a single exhibition shall exceed \$1.2 billion for international exhibitions, or \$750 million for domestic exhibitions. Deductible amounts are on a sliding scale based on the value of certificate, as follows: \$15,000 deductible for valuations up to \$2 million; \$25,000 deductible for valuations from \$2 million to \$10 million; \$50,000 deductible for valuations from \$10 million to \$125 million; \$100,000 deductible for valuations from \$125 to \$200 million; \$200,000 deductible for valuations of \$200 million to \$300 million; \$300,000 deductible for valuations of \$300 million to \$400 million; \$400,000 for valuations of \$400 million to \$500 million; and \$500,000 for valuations above \$500 million. The total amount of indemnities that can be outstanding at any one time is \$10 billion for international exhibitions and \$5 billion for domestic exhibitions.

Applicant Eligibility:

Federal, State, and local government entities, and nonprofit agencies and institutions may apply.

Beneficiary Eligibility:

Federal, State, and local government entities, and nonprofit agencies and institutions will benefit. Audiences of indemnified exhibitions will also benefit.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Prior to applying, potential applicants are encouraged to consult with the Indemnity Administrator, National Endowment for the Arts, Washington, DC 20506-0001. All applicants must consult the Arts and Artifacts Indemnity Guidelines available at www.arts.gov. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Applicants must obtain guidelines and application instructions from the Arts Endowment's Web site at www.arts.gov, and apply through Grants.gov.

Award Procedure:

The Federal Council on the Arts and the Humanities makes final decisions on all awards based on recommendations from consulting panels of professionals in the field.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

The length of time between application and notification is dependent on the scheduling of meetings of the Federal Council on the Arts and the Humanities; approximately 3 months.

Appeals:

Not Applicable.

Renewals:

Requests for extensions and renewals must compete against new applications.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The time during which Indemnity coverage is in effect varies depending on the schedule of the particular exhibition. See the following for information on how assistance is awarded/released: This program provides indemnification against loss or damage, not grant support.

Reports:

Reports of any loss or damage are due by the termination of the indemnity period. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. Final audits may be made at the discretion of the Federal Council on the Arts and the Humanities for up to 3 years after the termination of the indemnity period.

Records:

Indemnitees are responsible for complete packing, shipping, security and condition reports on all indemnified items. These should be kept for 90 days beyond the end date of the indemnity period.

Account Identification:

59-0101-0-1-503.

Obligations:

(Insurance) FY 12 Not Separately Identifiable; FY 13 Not Separately Identifiable; and FY 14 Not Separately Identifiable - The figures in this section relate to claim payments, not obligations.

Range and Average of Financial Assistance:

Not Applicable.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Forty-two certificates of indemnity were issued for 42 exhibitions. Fiscal Year 2013: It is anticipated that 39 certificates of indemnity will be issued for 39 exhibitions. Fiscal Year 2014: It is anticipated that 45 certificates of indemnity will be issued for 45 exhibitions.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations and guidelines are available on the Arts Endowment's Web site at www.arts.gov.

Regional or Local Office:

None.

Headquarters Office:

Patricia Loiko 1100 Pennsylvania Ave, NW, Washington, District of Columbia 20506 Email: loikop@arts.gov Phone: 202-682-5541

Website Address:

<http://www.arts.gov>

RELATED PROGRAMS:

45.024 Promotion of the Arts_Grants to Organizations and Individuals

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Indemnified exhibitions are listed on the Web site at www.arts.gov. Fiscal Year 2013: Indemnified exhibitions will be listed on the Web site at www.arts.gov. Fiscal Year 2014: Indemnified exhibitions will be listed on the Web site at www.arts.gov.

CRITERIA FOR SELECTING PROPOSALS:

Contact the Indemnity Administrator.

INSTITUTE OF MUSEUM AND LIBRARY SERVICES

45.301 MUSEUMS FOR AMERICA

FEDERAL AGENCY:

Institute of Museum and Library Services

AUTHORIZATION:

Museum and Library Services Act (20 USC CHAPTER 72).

OBJECTIVES:

The goal of the Museums for America (MFA) program is to strengthen the ability of an individual museum to serve the public more effectively by supporting high-priority activities that advance its mission, plans, and strategic

goals and objectives.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE; PROJECT GRANTS

USES AND USE RESTRICTIONS:

Consult the current funding opportunities, guidelines, or program announcements for complete information (www.ims.gov). Grant funds must be used for the purposes outlined in the relevant guidelines or program announcement, and in accordance with the Institute of Museum and Library Services General Terms and Conditions.

Applicant Eligibility:

Museums that fulfill the eligibility criteria for museums may apply. For more information about eligibility requirements, visit <http://www.ims.gov/applicants/museums.aspx>.

Beneficiary Eligibility:

Public and private non-profit museums. Through activities and projects funded, beneficiaries include the general public.

Credentials/Documentation:

Costs will be determined in accordance with 2 CFR 225 (A-87) for State and local governments and Indian tribes, 2 CFR 220 (A-21) for educational institutions and 2 CFR 230 (A-122) for other nonprofit organizations. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. As part of the president's E-government initiative, the federal government developed Grants.gov, a single Web site for organizations to electronically find and apply for competitive grant opportunities from all 26 of the federal grant-making agencies. Beginning with the 2008 grant cycle, the Institute required all applicants to apply online through Grants.gov. Applicants who are unable to use Grants.gov should contact an IMLS program officer. The Institute no longer accepts mailed paper applications. For more information on the process of applying through Grants.gov, visit www.grants.gov/GetStarted.

Award Procedure:

Proposals are reviewed by field and/or panel reviewers, staff, and the director.

Deadlines:

Dec 02, 2013 December 2, 2013.

Range of Approval/Disapproval Time:

Approximately nine months.

Appeals:

Appeals may be made in writing to the Director of the Institute of Museum and Library Services.

Renewals:

The Institute of Museum and Library Services may extend particular grants at the discretion of the director.

Formula and Matching Requirements:

This program has no statutory formula.
Matching Requirements: Awards will not exceed \$150,000; awards of \$25,000 or less do not require matching; awards of more than \$25,000 must be matched one-to-one, but the match may include in-kind contributions.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Projects may be carried out for a period of up to 36 months. See the following for information on how assistance is awarded/released: Direct payments for a specialized use upon request.

Reports:

The Institute of Museum and Library Services requires annual interim and final financial and performance reports. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance

monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grant recipients will be required to maintain standard financial statements and project records.

Account Identification:

59-0300-0-1-503.

Obligations:

(Project Grants) FY 12 \$18,030,000; FY 13 est \$20,643,000; and FY 14 Estimate Not Available

Range and Average of Financial Assistance:

Between \$5,000 and \$150,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: For FY 12, the Museums for America grant program made 152 awards. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

See the IMLS website at www.ims.gov for the latest program data.

Regional or Local Office:

None.

Headquarters Office:

Connie Bodner 1800 M Street NW
9th Floor, Washington, District of Columbia 20036 Email: cbodner@ims.gov
Phone: 202-653-4636

Website Address:

<http://www.ims.gov>.

RELATED PROGRAMS:

45.308 Native American/Native Hawaiian Museum Services Program ; 45.309 Museum Grants for African American History and Culture; 45.310 Grants to States; 45.311 Native American and Native Hawaiian Library Services; 45.312 National Leadership Grants; 45.313 Laura Bush 21st Century Librarian Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Visit the IMLS website at www.ims.gov to search awarded grants. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

See program guidelines.

45.308 NATIVE AMERICAN/NATIVE HAWAIIAN MUSEUM SERVICES PROGRAM

FEDERAL AGENCY:

Institute of Museum and Library Services

AUTHORIZATION:

Museum and Library Services Act (20 USC CHAPTER 72).

OBJECTIVES:

Native American/Native Hawaiian Museum Services (NANH) grants support Native American tribes and organizations that primarily serve and represent Native Hawaiians. They are intended to provide opportunities to sustain heritage, culture, and knowledge through strengthened activities in areas such as exhibitions, educational services and programming, professional development, and collections stewardship.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use; PROJECT GRANTS

USES AND USE RESTRICTIONS:

Consult the current funding opportunities, guidelines, or program announcements for complete information (www.ims.gov). Grant funds must be used for the purposes outlined in the relevant guidelines or program announcement, and in accordance with the Institute of Museum and Library Services General Terms and Conditions.

Applicant Eligibility:

Eligible applicants are federally recognized Indian tribes, Alaskan Native Villages and corporations, and organizations that primarily serve and represent Native Hawaiians. For more information about eligibility requirements, visit http://www.ims.gov/applicants/tribal_organizations.aspx.

Beneficiary Eligibility:

Indian tribes, non-profit organizations. Through activities and projects funded, beneficiaries include the general public.

Credentials/Documentation:

Costs will be determined in accordance with 2 CFR 225 (A-87) for State and local governments and Indian tribes, 2 CFR 220 (A-21) for educational institutions and 2 CFR 230 (A-122) for other nonprofit organizations. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. As part of the president's E-government initiative, the federal government developed Grants.gov, a single Web site for organizations to electronically find and apply for competitive grant opportunities from all 26 of the federal grant-making agencies. Beginning with the 2008 grant cycle, the Institute required all applicants to apply online through Grants.gov. Applicants who are unable to use Grants.gov should contact an IMLS program officer. The Institute no longer accepts mailed paper applications. For more information on the process of applying through Grants.gov, visit www.grants.gov/GetStarted.

Award Procedure:

Proposals are reviewed by field and/or panel reviewers, staff, and the director.

Deadlines:

Dec 02, 2013 December 2, 2013.

Range of Approval/Disapproval Time:

Approximately seven months.

Appeals:

Appeals may be made in writing to the Director of the Institute of Museum and Library Services.

Renewals:

The Institute of Museum and Library Services may extend particular grants at the discretion of the director.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. This program has no matching requirements. Awards will not exceed \$50,000 and no matching is required. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Projects may be carried out for a period of up to 24 months. See the following for information on how assistance is awarded/released: Direct payments for a specialized use upon request.

Reports:

The Institute of Museum and Library Services requires annual interim and final financial and performance reports. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grant recipients will be required to maintain standard financial statements and project records.

Account Identification:

59-0300-0-1-503.

Obligations:

(Project Grants) FY 12 \$925,687; FY 13 est \$931,352; and FY 14 Estimate Not Available

Range and Average of Financial Assistance:

Between \$5,000 and \$50,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: For FY 12, the Native American/Native Hawaiian Museum Services grant program made 22 awards. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

See the IMLS website at www.ims.gov for the latest program data.

Regional or Local Office:

None.

Headquarters Office:

Sandra Narva 1800 M Street NW,
9th Floor, Washington, District of Columbia 20036 Email: snarva@ims.gov
Phone: 202-653-4634

Website Address:

<http://www.ims.gov>.

RELATED PROGRAMS:

45.301 Museums for America; 45.309 Museum Grants for African American History and Culture; 45.310 Grants to States; 45.311 Native American and Native Hawaiian Library Services; 45.312 National Leadership Grants; 45.313 Laura Bush 21st Century Librarian Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Visit the IMLS website at www.ims.gov to search awarded grants. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

See program guidelines.

45.309 MUSEUM GRANTS FOR AFRICAN AMERICAN HISTORY AND CULTURE

FEDERAL AGENCY:

Institute of Museum and Library Services

AUTHORIZATION:

Museum and Library Services Act (20 USC CHAPTER 72).

OBJECTIVES:

Museum Grants for African American History and Culture are intended to enhance institutional capacity and sustainability through professional training, technical assistance, internships, outside expertise, and other tools. Successful proposals will focus on one or more of the following three goals: (1) developing or strengthening knowledge, skills, and other expertise of current staff at African American museums; (2) attracting and retaining professionals with the skills needed to strengthen African American museums; and (3) attracting new staff to African American museum practice and providing them with the expertise needed to sustain them in the museum field.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use; PROJECT GRANTS

USES AND USE RESTRICTIONS:

Consult the current funding opportunities, guidelines, or program announcements for complete information (www.ims.gov). Grant funds must be used for the purposes outlined in the relevant guidelines or program announcement, and in accordance with the Institute of Museum and Library Services General Terms and Conditions.

Applicant Eligibility:

Eligible applicants include museums whose primary purpose is African American life, art, history, and/or culture, encompassing: the period of slavery; the era of Reconstruction; the Harlem renaissance; the civil rights movement; and other periods of the African American diaspora. Nonprofit organizations whose primary purpose is to support museums identified above may also apply. Historically Black Colleges or Universities (HBCUs) are also eligible. For more information about eligibility requirements, visit <http://www.ims.gov/applicants/museums.aspx>.

Beneficiary Eligibility:

Public and private non-profit museums. Through activities and projects funded, beneficiaries include the general public.

Credentials/Documentation:

Costs will be determined in accordance with 2 CFR 225 (A-87) for State and local governments and Indian tribes, 2 CFR 220 (A-21) for educational institutions and 2 CFR 230 (A-122) for other nonprofit organizations. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

The standard application forms as furnished by the agency and required by OMB Circular No. A-102 and 2 CFR 215 must be used for this program. This program is excluded from coverage under E.O. 12372. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. As part of the president's E-government initiative, the federal government developed Grants.gov, a single Web site for organizations to electronically find and apply for competitive grant opportunities from all 26 of the federal grant-making agencies. Beginning with the 2008 grant cycle, the Institute required all applicants to apply online through Grants.gov. Applicants who are unable to use Grants.gov should contact an IMLS program officer. The Institute no longer accepts mailed paper applications. For more information on the process of applying through Grants.gov, visit www.grants.gov/GetStarted.

Award Procedure:

Proposals are reviewed by field and/or panel reviewers, staff, and the director.

Deadlines:

Dec 02, 2013 December 2, 2013.

Range of Approval/Disapproval Time:

Approximately seven months.

Appeals:

Appeals may be made in writing to the Director of the Institute of Museum and Library Services.

Renewals:

The Institute of Museum and Library Services may extend particular grants at the discretion of the director.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching Requirements: Awards will not exceed \$150,000 and must be matched one-to-one, but the match may include in-kind contributions. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Projects may be carried out for a period of up to 24 months. See the following for information on how assistance is awarded/released: Direct payments for a specialized use upon request.

Reports:

The Institute of Museum and Library Services requires annual interim and final

financial and performance reports. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grant recipients will be required to maintain standard financial statements and project records.

Account Identification:

59-0300-0-1-503.

Obligations:

(Project Grants) FY 12 \$1,410,000; FY 13 est \$1,410,000; and FY 14 Estimate Not Available

Range and Average of Financial Assistance:

Between \$5,000 and \$150,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: For FY 12, the Museum Grants for African American History and Culture program made 14 awards. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

See the IMLS website at www.ims.gov for the latest program data.

Regional or Local Office:

None.

Headquarters Office:

Mark Isaksen 1800 M Street NW
9th Floor, Washington, District of Columbia 20036 Email: misaksen@ims.gov
Phone: 202-653-4667

Website Address:

<http://www.ims.gov>

RELATED PROGRAMS:

45.301 Museums for America; 45.308 Native American/Native Hawaiian Museum Services Program ; 45.310 Grants to States; 45.311 Native American and Native Hawaiian Library Services; 45.312 National Leadership Grants; 45.313 Laura Bush 21st Century Librarian Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Visit the IMLS website at www.ims.gov to search awarded grants. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

See program guidelines.

45.310 GRANTS TO STATES**FEDERAL AGENCY:**

Institute of Museum and Library Services

AUTHORIZATION:

Museum and Library Services Act (20 USC CHAPTER 72).

OBJECTIVES:

The Grants to States Program is the largest grant program run by IMLS; it provides funds to State Library Administrative Agencies (SLAAs) using a population-based formula. SLAAs may use federal funds to support statewide initiatives and services; they also may distribute the funds through subgrant competitions or cooperative agreements to public, academic, research, school, and special libraries in their state.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

To make grants to state library administrative agencies which shall expend funds, either directly or through subgrants, for: (1) expanding services for learning and access to information and educational resources in a variety of formats, in all types of libraries, for individuals of all ages in order to support such individuals needs for education, life-long learning, workforce development, and digital literacy skills; (2) establishing or enhancing electronic and other linkages and improved coordination among and between libraries and entities, for the purpose of improving the quality of and access to library and information services; (3) (A) providing training and professional development, including continuing education, to enhance the skills of the current library workforce and leadership, and advance the delivery of library and information services and; (B) enhancing efforts to recruit future professionals to the field of library and information services; (4) developing public and private partnerships with other agencies and community-based organizations; (5) targeting library services to individuals of diverse geographic, cultural, and socioeconomic backgrounds, to individuals with disabilities, and to individuals with limited functional literacy or information skills; (6) targeting library and information services to persons having difficulty using a library and to underserved urban and rural communities, including children (from birth through age 17) from families with incomes below the poverty line; (7) developing library services that provide all users access to information through local, State, regional, national, and international collaborations and networks; and (8) carrying out other activities consistent with the purposes set forth in section 9121 of the Museum and Library Services Act, as described in the State library administrative agencies plan.

Applicant Eligibility:

State library administrative agencies located in one of the 50 states of the United States, the District of Columbia, the Commonwealth of Puerto Rico, Guam, American Samoa, the U.S. Virgin Islands, the Commonwealth of the Northern Mariana Islands, the Republic of the Marshall Islands, the Federated States of Micronesia, and the Republic of Palau are eligible to submit five-year plans. For information about funding opportunities at the state level, contact the specific state library administrative agency. Section 9131 (b)(3)(C) of the Museum and Library Services Act directs that funds allotted based on the populations of the Republic of the Marshall Islands, the Federated States of Micronesia, and the Republic of Palau be used to award grants on a competitive basis taking into consideration recommendations from the Pacific Region Educational Laboratory in Hawaii. These three republics, along with the U.S. Virgin Islands, Guam, American Samoa, and the Commonwealth of the Northern Marianas, are eligible to compete for the grants.

Beneficiary Eligibility:

State library agencies. Through activities and services provided, beneficiaries include users of libraries and information services.

Credentials/Documentation:

Costs will be determined in accordance with 2 CFR 225 (A-87) for State and local governments and Indian tribes, 2 CFR 220 (A-21) for educational institutions and 2 CFR 230 (A-122) for other nonprofit organizations. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. 45 CFR 1183 and 2 CFR 215 (A-110) apply to this program. To qualify for grants, states must submit a five-year plan as defined in Section 9134 of the Act, for approval by the director of IMLS. The plan is submitted directly to the Institute of Museum and Library Services, Office of Library Services, Grants to States Program, 1800 M Street, NW, Ninth Floor, Washington, DC 20036-5802.

Award Procedure:

Grants are awarded to state library administrative agencies.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

A time has not been specified.

Appeals:

Appeals may be made in writing to the Director of the Institute of Museum and Library Services.

Renewals:

A new state plan is required every five years; the next one is due in 2017.

Formula and Matching Requirements:

Statutory Formula:

Matching Requirements: The amount a State receives under this program consists of a minimum allotment set by Congress (20 USCS 9131 (b)) plus an additional amount based on the most current published population estimates available the first day of the federal fiscal year from the Bureau of the Census. The federal share is 66 percent and the state matching requirement is 34 percent.

This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

All funds are awarded to each state on approval of its five-year plan. Funds must be expended by the end of the federal fiscal year of allotment as noted in grant award documentation. See the following for information on how assistance is awarded/released: Direct payments for a specialized use upon request.

Reports:

IMLS requires annual financial and narrative reports from each state library administrative agency. Each state library agency must independently evaluate and report to IMLS regarding the activities assisted during this program before the end of the state five-year plan. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. IMLS staff monitors state library administrative agencies' administration of the formula grants and conducts site visits to each state library administrative agency at least once during the five year plan period.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grant recipients will be required to maintain standard financial statements and project records.

Account Identification:

59-0300-0-1-503.

Obligations:

(Formula Grants) FY 12 \$156,365,000; FY 13 est \$150,000,000; and FY 14 Estimate Not Available

Range and Average of Financial Assistance:

The formula determining the assistance is based on population.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: For FY 12, the Grants to States program made 62 awards.
Fiscal Year 2013: For FY 13, the Grants to States program made 62 awards.
Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

See the IMLS website at www.imls.gov for the latest program data.

Regional or Local Office:

None.

Headquarters Office:

Laurie Brooks 1800 M Street NW
9th Floor, Washington, District of Columbia 20036 Email: lbrooks@imls.gov
Phone: 202-653-4650

Website Address:

<http://www.imls.gov>

RELATED PROGRAMS:

45.301 Museums for America; 45.308 Native American/Native Hawaiian Museum Services Program ; 45.309 Museum Grants for African American History and Culture; 45.311 Native American and Native Hawaiian Library Services; 45.312 National Leadership Grants; 45.313 Laura Bush 21st Century Librarian Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Visit the IMLS website at www.imls.gov to search awarded grants. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

See program guidelines.

45.311 NATIVE AMERICAN AND NATIVE HAWAIIAN LIBRARY SERVICES**FEDERAL AGENCY:**

Institute of Museum and Library Services

AUTHORIZATION:

Museum and Library Services Act (20 USC CHAPTER 72).

OBJECTIVES:

Native American/Native Hawaiian Museum Services (NANH) grants support Native American tribes and organizations that primarily serve and represent Native Hawaiians. They are intended to provide opportunities to sustain heritage, culture, and knowledge through strengthened activities in areas such as exhibitions, educational services and programming, professional development, and collections stewardship.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use; PROJECT GRANTS

USES AND USE RESTRICTIONS:

Consult the current funding opportunities, guidelines, or program announcements for complete information (www.imls.gov). Grant funds must be used for the purposes outlined in the relevant guidelines or program announcement, and in accordance with the Institute of Museum and Library Services General Terms and Conditions.

Applicant Eligibility:

Eligible applicants are federally recognized Indian tribes, Alaskan Native Villages and corporations, and organizations that primarily serve and represent Native Hawaiians. For more information about eligibility requirements, visit http://www.imls.gov/applicants/eligibility_criteria.aspx.

Beneficiary Eligibility:

Indian tribes, non-profit organizations. Through activities and projects funded, beneficiaries include the general public.

Credentials/Documentation:

Costs will be determined in accordance with 2 CFR 225 (A-87) for State and local governments and Indian tribes, 2 CFR 220 (A-21) for educational institutions and 2 CFR 230 (A-122) for other nonprofit organizations. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

The standard application forms as furnished by the agency and required by OMB Circular No. A-102 and 2 CFR 215 must be used for this program. This program is excluded from coverage under E.O. 12372. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. As part of the president's

E-government initiative, the federal government developed Grants.gov, a single Web site for organizations to electronically find and apply for competitive grant opportunities from all 26 of the federal grant-making agencies. Beginning with the 2008 grant cycle, the Institute required all applicants to apply online through Grants.gov. Applicants who are unable to use Grants.gov should contact an IMLS program officer. The Institute no longer accepts mailed paper applications. For more information on the process of applying through Grants.gov, visit www.grants.gov/GetStarted.

Award Procedure:

Proposals are reviewed by field and/or panel reviewers, staff, and the director.

Deadlines:

Mar 13, 2014 Native American Library Services program deadline is March 13, 2014 and Native Hawaiian Library Services program deadline is April 1, 2014.

Range of Approval/Disapproval Time:

Approximately seven months.

Appeals:

Appeals may be made in writing to the Director of the Institute of Museum and Library Services.

Renewals:

The Institute of Museum and Library Services may extend particular grants at the discretion of the director.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. Native American Library Services Basic Grants: Award amount vary and no matching is required; Native American Library Services Enhancement Grants: Awards will not exceed \$150,000 and no matching is required; Native Hawaiian Library Services Grants: Award amounts vary and no matching is required. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Native American Library Services Basic Grants: Projects are multiyear; Native American Library Services Enhancement Grants: Projects may be carried out for a period of up to 24 months; Native Hawaiian Library Services Grants: Projects are multiyear. See the following for information on how assistance is awarded/released: Direct payments for a specialized use upon request.

Reports:

The Institute of Museum and Library Services requires annual interim and final financial and performance reports. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grant recipients will be required to maintain standard financial statements and project records.

Account Identification:

59-0300-0-1-503.

Obligations:

(Project Grants) FY 12 \$3,869,000; FY 13 est \$3,869,000; and FY 14 Estimate Not Available

Range and Average of Financial Assistance:

Award amounts vary.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: For FY 12, the Native American and Native Hawaiian Library Services grant program made 253 awards. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

See the IMLS website at www.imls.gov for the latest program data.

Regional or Local Office:

None.

Headquarters Office:

Robert Horton 1800 M Street NW
9th Floor
, Washington, District of Columbia 20036 Email: rhorton@imls.gov Phone: 2026534660

Website Address:

<http://www.imls.gov>

RELATED PROGRAMS:

45.301 Museums for America; 45.308 Native American/Native Hawaiian Museum Services Program ; 45.309 Museum Grants for African American History and Culture; 45.310 Grants to States; 45.312 National Leadership Grants; 45.313 Laura Bush 21st Century Librarian Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Visit the IMLS website at www.imls.gov to search awarded grants. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

See program guidelines.

45.312 NATIONAL LEADERSHIP GRANTS**FEDERAL AGENCY:**

Institute of Museum and Library Services

AUTHORIZATION:

Museum and Library Services Act (20 USC CHAPTER 72).

OBJECTIVES:

National Leadership Grants support projects that address current and future needs of the museum and library fields and that have the potential to advance practice in the profession so that museums and libraries can improve services for the American public. Successful proposals will generate results such as models, new tools, research findings, services, practices, and/or alliances that can be widely used, adapted, scaled, or replicated to extend and leverage the benefits of federal investment.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use; PROJECT GRANTS

USES AND USE RESTRICTIONS:

Consult the current funding opportunities, guidelines, or program announcements for complete information (www.imls.gov). Grant funds must be used for the purposes outlined in the relevant guidelines or program announcement, and in accordance with the Institute of Museum and Library Services General Terms and Conditions.

Applicant Eligibility:

Applicants that fulfill the general criteria for libraries may apply for National Leadership Grants for Libraries. Applicants that fulfill the general criteria for museums may apply for National Leadership Grants for Museums. For more information about eligibility requirements, visit http://www.imls.gov/applicants/eligibility_criteria.aspx. See program guidelines for special conditions of eligibility for this program.

Beneficiary Eligibility:

Libraries, archives, and museums. Through activities and projects funded, beneficiaries include the general public.

Credentials/Documentation:

Costs will be determined in accordance with 2 CFR 225 (A-87) for State and local governments and Indian tribes, 2 CFR 220 (A-21) for educational institutions and 2 CFR 230 (A-122) for other nonprofit organizations. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

The standard application forms as furnished by the agency and required by

OMB Circular No. A-102 and 2 CFR 215 must be used for this program. This program is excluded from coverage under E.O. 12372. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. As part of the president's E-government initiative, the federal government developed Grants.gov, a single Web site for organizations to electronically find and apply for competitive grant opportunities from all 26 of the federal grant-making agencies. Beginning with the 2008 grant cycle, the Institute required all applicants to apply online through Grants.gov. Applicants who are unable to use Grants.gov should contact an IMLS program officer. The Institute no longer accepts mailed paper applications. For more information on the process of applying through Grants.gov, visit www.grants.gov/GetStarted.

Award Procedure:

Proposals are reviewed by field and/or panel reviewers, staff, and the director.

Deadlines:

Dec 02, 2013 NLG for Museums: December 2, 2013; NLG for Libraries: February 3, 2014; NLG Sparks! Ignition Grants for Libraries and Museums: February 3, 2014 .

Range of Approval/Disapproval Time:

NLG for Museums: Approximately nine months; NLG for Libraries: Approximately seven months; NLG Sparks! Ignition Grants for Libraries and Museums: Approximately seven months.

Appeals:

Appeals may be made in writing to the Director of the Institute of Museum and Library Services.

Renewals:

The Institute of Museum and Library Services may extend particular grants at the discretion of the director.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: NLG for Museums: Awards will not exceed \$500,000 and must be matched one-to-one, but the match may include in-kind contributions; NLG for Libraries: Awards will not exceed \$500,000 and one-to-one matching is required for requests over \$250,000, except research projects; Sparks! Ignition Grants for Libraries and Museums: Awards will not exceed \$25,000 and no matching is required. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

NLG for Museums: Projects may be carried out for a period of up to 36 months; NLG for Libraries: Projects may be carried out for a period of up to 36 months; Sparks! Ignition Grants for Libraries and Museums: Projects may be carried out for a period of up to 12 months. See the following for information on how assistance is awarded/released: Direct payments for a specialized use upon request.

Reports:

The Institute of Museum and Library Services requires annual interim and final financial and performance reports. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grant recipients will be required to maintain standard financial statements and project records.

Account Identification:

59-0300-0-1-503.

Obligations:

(Project Grants) FY 12 \$5,911,000; FY 13 est \$7,880,000; and FY 14 Estimate Not Available

Range and Average of Financial Assistance:

NLG for Museums: Between \$50,000 and \$500,000; NLG for Libraries: Between \$50,000 and \$500,000; Sparks! Ignition Grants for Libraries and Museums: Between \$10,000 and \$25,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: For FY 12, the National Leadership Grant grant program made 101 awards. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

See the IMLS website at www.imls.gov for the latest program data.

Regional or Local Office:

None. Contact for NLG for Libraries and Sparks! Ignition Grants for Libraries: Tim Carrigan, Senior Program Officer, tcarrigan@imls.gov, (202) 653-4639

Contact for NLG for Museums and Sparks! Ignition Grants for Museums: Helen Wechsler, Supervisory Grants Management Specialist, hwechsler@imls.gov, (202) 653-4779.

Headquarters Office:

Helen Wechsler 1800 M Street NW
9th Floor, Washington, District of Columbia 20036 Email:
hwechsler@imls.gov Phone: 202-653-4779

Website Address:

<http://www.imls.gov>

RELATED PROGRAMS:

45.301 Museums for America; 45.308 Native American/Native Hawaiian Museum Services Program ; 45.309 Museum Grants for African American History and Culture; 45.310 Grants to States; 45.311 Native American and Native Hawaiian Library Services; 45.313 Laura Bush 21st Century Librarian Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Visit the IMLS website at www.imls.gov to search awarded grants. Fiscal Year 2013: Visit the IMLS website at www.imls.gov to search awarded grants. Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

See program guidelines.

45.313 LAURA BUSH 21ST CENTURY LIBRARIAN PROGRAM

FEDERAL AGENCY:

Institute of Museum and Library Services

AUTHORIZATION:

Museum and Library Services Act (20 USC CHAPTER 72).

OBJECTIVES:

The Laura Bush 21st Century Librarian Program supports projects to recruit and educate the next generation of librarians, faculty, and library leaders; and to support early career research. It also assists in the professional development of librarians and library staff.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use; PROJECT GRANTS

USES AND USE RESTRICTIONS:

Consult the current funding opportunities, guidelines, or program announcements for complete information (www.imls.gov). Grant funds must be used for the purposes outlined in the relevant guidelines or program announcement, and in accordance with the Institute of Museum and Library Services General Terms and Conditions.

Applicant Eligibility:

Applicants that fulfill the general criteria for libraries may apply for Laura Bush 21st Century Librarian Grant Program. For more information about eligibility

requirements, visit http://www.imls.gov/applicants/eligibility_criteria.aspx. See program guidelines for special conditions of eligibility for this program.

Beneficiary Eligibility:

Libraries and institutions of higher education. Through activities and projects funded, beneficiaries include the general public.

Credentials/Documentation:

Costs will be determined in accordance with 2 CFR 225 (A-87) for State and local governments and Indian tribes, 2 CFR 220 (A-21) for educational institutions and 2 CFR 230 (A-122) for other nonprofit organizations. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

The standard application forms as furnished by the agency and required by OMB Circular No. A-102 and 2 CFR 215 must be used for this program. This program is excluded from coverage under E.O. 12372. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Award Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. As part of the president's E-government initiative, the federal government developed Grants.gov, a single Web site for organizations to electronically find and apply for competitive grant opportunities from all 26 of the federal grant-making agencies. Beginning with the 2008 grant cycle, the Institute required all applicants to apply online through Grants.gov. Applicants who are unable to use Grants.gov should contact an IMLS program officer. The Institute no longer accepts mailed paper applications. For more information on the process of applying through Grants.gov, visit www.grants.gov/GetStarted.

Award Procedure:

Proposals are reviewed by field and/or panel reviewers, staff, and the director.

Deadlines:

Sep 24, 2012 September 24, 2012.

Range of Approval/Disapproval Time:

Approximately six months.

Appeals:

Appeals may be made in writing to the Director of the Institute of Museum and Library Services.

Renewals:

The Institute of Museum and Library Services may extend particular grants at the discretion of the director.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: Awards will not exceed \$500,000 and grants submitted under most categories must be matched one-to-one, excluding student support.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Projects may be carried out over a period of 36 months, except for doctoral program projects, which may be up to 48 months. See the following for information on how assistance is awarded/released: Direct payments for a specialized use upon request.

Reports:

The Institute of Museum and Library Services requires annual interim and final financial and performance reports. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grant recipients will be required to maintain standard financial statements and project records.

Account Identification:

59-0300-0-1-503.

Obligations:

(Project Grants) FY 12 \$12,524,000; FY 13 est \$12,470,000; and FY 14 Estimate Not Available

Range and Average of Financial Assistance:

Between \$50,000 and \$500,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: For FY 12 the Laura Bush 21st Century Librarian program made 37 awards. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

See the IMLS website at www.imls.gov for the latest program data.

Regional or Local Office:

None. Sandra Toro, Senior Program Officer, storo@imls.gov, 202-653-4662.

Headquarters Office:

Mary Alice Ball 1800 M Street NW
9th Floor, Washington, District of Columbia 20036 Email: mball@imls.gov
Phone: 202-653-4730

Website Address:

<http://www.imls.gov>

RELATED PROGRAMS:

45.301 Museums for America; 45.308 Native American/Native Hawaiian Museum Services Program ; 45.309 Museum Grants for African American History and Culture; 45.310 Grants to States; 45.311 Native American and Native Hawaiian Library Services; 45.312 National Leadership Grants

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Visit the IMLS website at www.imls.gov to search awarded grants. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

See program guidelines.

NATIONAL ENDOWMENT FOR THE ARTS**45.024 PROMOTION OF THE ARTS GRANTS TO ORGANIZATIONS AND INDIVIDUALS****FEDERAL AGENCY:**

National Endowment for the Arts

AUTHORIZATION:

National Foundation on the Arts and the Humanities Act of 1965, as amended, Public Law 089-209, 20 U.S.C 951 et seq.

OBJECTIVES:

To support the creation of art that meets the highest standards of excellence, public engagement with diverse and excellent art, lifelong learning in the arts, the strengthening of communities through the arts, and increased public knowledge and understanding about the contributions of the arts.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

The NEA's grants support projects that include: opportunities for artists to create, refine, perform, and exhibit their work; the presentation of artistic works of all cultures and periods; opportunities for arts organizations and artists to expand and diversify their audiences; innovative uses of new models or technology to create work or engage audiences; the preservation of significant works of art and cultural traditions; innovative creative placemaking that contributes to community livability; opportunities for individuals to experience

and participate in a wide range of art forms and activities; opportunities to enhance the effectiveness of arts organizations and artists; development, production and national distribution of innovative media projects about the arts and of media projects that can be considered art; standards-based arts education activities for K-12 students; lifelong learning in the arts for individuals of all ages; professional development for artists and arts-related educators; the recognition of artists for their contributions to our nation's artistic heritage; partnerships with other government agencies and foundations to carry out quality projects or initiatives of mutual interest including international cultural exchange; other activities that acquaint foreign artists and cultural officials with the best of American culture; research that analyzes the value and impact of the arts in the United States; and NEA initiatives intended to address special needs or opportunities.

Consult the current funding opportunities, guidelines, or program announcements for the area of interest for complete information (see www.arts.gov). Grant funds must be used for the purposes outlined in the relevant guidelines or program announcement, and in accordance with the National Endowment for the Arts General Terms and Conditions for Grants and Cooperative Agreements. All of the funds under "Promotion of the Arts - Grants to Organizations and Individuals" are discretionary.

Applicant Eligibility:

Tax-exempt organizations meeting the following conditions may apply: (1) No part of any earnings may benefit a private stockholder or individual, and (2) donations to the organization are allowable as charitable deductions under Section 170(c) of the Internal Revenue Code. Examples of eligible organizations are arts institutions, arts service organizations, local arts agencies, official units of state and local governments, federally recognized tribal communities and Indian tribes. Generally, an organization may submit only one application for a single project under one of the category deadlines; must have a three-year history of programming prior to the application deadline; and must have submitted acceptable final reports by the due date for all Arts Endowment grants previously received. Individuals must be U.S. citizens or permanent residents and, according to 20 U.S.C. 954 (c), must demonstrate exceptional talent. Currently, the only individuals eligible to apply directly are published creative writers and translators. Certain master artists who have made extraordinary contributions to the arts in the United States are eligible for honorary fellowships awarded on the basis of nominations. See respective funding opportunities, guidelines, or program announcements for further information.

Beneficiary Eligibility:

Nonprofit organizations, state and local governments, local arts agencies, local education agencies (school districts), federally recognized tribal communities and Indian tribes, literary artists, and master artists. Through activities and services supported, beneficiaries include artists and the general public.

Credentials/Documentation:

Applicants should review relevant funding opportunities, guidelines, or program announcements at www.arts.gov for full requirements.

For individuals, Literature fellowship applicants must be published writers. Nominators of candidates for NEA honorary fellowships for master artists must document the candidate's significant contribution to the applicable art form.

For institutions of higher education, allowable costs will be determined according to OMB Circular No. A-21; for other nonprofit organizations making application, allowable costs will be determined according to OMB Circular No. A-122. For local and state governments: OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Applicants should consult current funding opportunities, guidelines, or program announcements for the funding area under which they intend to apply. Relevant items are available on the Arts Endowment's Web site at www.arts.gov. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. 2 CFR Part 215 (OMB Circular No. A-110) applies to this program.

Applicants must obtain current Arts Endowment funding opportunities, guidelines, or program announcements (available at www.arts.gov). Applicants must adhere to instructions, and must submit their applications through Grants.gov.

Award Procedure:

Applications generally receive three independent levels of review. First, they are reviewed by independent, national panels of artists and other arts experts. Panel recommendations are forwarded to the National Council on the Arts, which then makes recommendations to the Chairman of the National Endowment for the Arts. The Chairman reviews the Council's recommendations and makes the final decision on all awards.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Range of approval/disapproval time varies by funding category. Dependent on deadline dates and meetings of the National Council on the Arts. Details can be found in the funding opportunities, guidelines, and program announcements available at www.arts.gov.

Appeals:

Information on requests for reconsideration may be obtained from the headquarters office.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: Grant awards to organizations generally must be matched at least 1:1 with non-federal funds. Awards to individuals do not require a match. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The length of time the assistance is available and money awarded can be spent varies according to project or grant category. Most grants have a one-year period of support; some extend longer. See the following for information on how assistance is awarded/released: Grantees must submit a request for payment to cover immediate allowable project expenses. Generally, these requests for payment may be submitted at any time during the period of support.

Reports:

Most grantees must submit a Final Descriptive Report no later than 90 days following the end of the grant period. No reports are required from recipients of NEA honorary fellowships for master artists. No cash reports are required. All organizational grantees must submit a Progress Report at the point that the request for payment exceeds two-thirds of the total grant amount. All organizational grantees are required to submit a Final Federal Financial Report no later than 90 days following the end of the grant period. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. No audits are required for Arts Endowment awardees who are individuals.

Records:

Award-related records must be retained by the grantee for 3 years following submission of the Final Federal Financial Report.

Account Identification:

59-0100-0-1-503.

Obligations:

(Project Grants (Discretionary)) FY 12 \$66,939,629; FY 13 est \$66,110,727; and FY 14 est \$72,431,000 - The FY 2014 figure is based on the FY 2014 budget request. Each year's figures include funds for the Challenge America: Reaching Every Community and Our Town initiatives.

Range and Average of Financial Assistance:

Most of the Arts Endowment's regular grants range from \$10,000 to \$100,000. Grants of \$100,000 or more are made only in rare instances, and only for projects that the Arts Endowment determines demonstrate exceptional national or regional significance and impact. In the past few years, well over half of the Agency's grants have been for amounts less than \$25,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: The Arts Endowment received more than 6,200 applications and made more than 2,100 awards in all 50 States and six special jurisdictions for a broad variety of arts projects. Fiscal Year 2013: The Arts Endowment anticipates receiving approximately 5,500 applications and making more than 2,000 awards in all 50 States and six special jurisdictions for a broad variety of arts projects. Fiscal Year 2014: The Arts Endowment anticipates receiving approximately 5,200 applications and making more than 2,200 awards in all 50 States and six special jurisdictions for a broad variety of arts projects.

REGULATIONS, GUIDELINES, AND LITERATURE:

Visit the Arts Endowment's Web site at www.arts.gov for funding opportunities, guidelines, or program announcements.

Regional or Local Office:

None.

Headquarters Office:

Patrice Walker Powell 1100 Pennsylvania Ave, NW, Washington, District of Columbia 20506 Phone: 202-682-5441

Website Address:

<http://www.arts.gov>

RELATED PROGRAMS:

45.025 Promotion of the Arts_Partnership Agreements; 45.201 Arts and Artifacts Indemnity

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Listings for FY 2012 are posted on www.arts.gov. Examples include: 1) the creation and performance of a dance theater work; 2) a chamber music series; 3) a series of community design workshops aimed at neighborhood revitalization; 4) an afterschool artist residency; 5) a curated film and video series; 6) a folk & traditional arts festival; 7) the commissioning of a new American play; and 8) a museum special exhibition and accompanying catalogue. Fiscal Year 2013: Listings for FY 2013 will be posted on www.arts.gov once grants are obligated. Fiscal Year 2014: Listings for FY 2014 will be posted on www.arts.gov once grants are obligated.

CRITERIA FOR SELECTING PROPOSALS:

The primary criteria by which all applications are assessed are artistic excellence and artistic merit. For additional criteria, consult respective funding opportunities, guidelines, or program announcements available at www.arts.gov.

45.025 PROMOTION OF THE ARTS_PARTNERSHIP AGREEMENTS FEDERAL AGENCY:

National Endowment for the Arts

AUTHORIZATION:

National Foundation on the Arts and the Humanities Act of 1965, as amended, Public Law 089-209, 20 U.S.C 951 et seq.

OBJECTIVES:

To develop and maintain partnerships with the state and jurisdictional arts agencies (SAAs) and their regional arts organizations (RAOs) to advance the mission of the National Endowment for the Arts.

TYPES OF ASSISTANCE:

ADVISORY SERVICES AND COUNSELING; PROJECT GRANTS

USES AND USE RESTRICTIONS:

Partnership Agreements support elements of a state or regional plan addressing state or regional priorities that: 1) enhance opportunities for the creation of art that meets the highest standards of excellence; 2) foster public engagement with excellent art; 3) provide Americans of all ages with arts learning opportunities; 4) incorporate the arts and design into strategies to improve the livability of communities; and 5) increase public knowledge and understanding of the various ways the arts contribute to positive outcomes for individuals and communities. Limited Partnership funds also are available for national services provided by membership organizations of SAAs and RAOs. Partnership Agreement funds must be used to supplement and not to supplant non-Federal funds.

Applicant Eligibility:

Agencies that are officially designated as the state arts agency by the state government in each of the 50 States and six special U.S. jurisdictions, and that meet the NEA eligibility requirements for SAAs as outlined in the Partnership Agreements guidelines on the NEA's Web site; regional arts organizations that represent state arts agencies and that meet the NEA eligibility requirements for RAOs as outlined in the Partnership Agreements guidelines on the NEA's Web site; and organizations providing support services to state and regional arts agencies at a national level.

Beneficiary Eligibility:

The official arts agencies of the 50 states and six U.S. jurisdictions, regional arts organizations, and organizations providing support services to SAAs and RAOs at the national level. Through activities and services supported, beneficiaries include local arts agencies, nonprofit organizations, artists, and the general public.

Credentials/Documentation:

The State Partnership Agreement requires that the agency be legally designated as the official state arts agency and that it meet all NEA eligibility requirements. The Regional Partnership Agreement stipulates that the regional organization must be authorized by three or more state arts agencies to apply for funds on their behalf and that it meet all NEA eligibility requirements. An SAA can belong to only one RAO. OMB Circular No. A-122 applies to this program. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Applicants should consult the Partnership Agreements guidelines (available at www.arts.gov). Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applicants must obtain current Partnership Agreements guidelines at www.arts.gov and adhere to instructions. Applications must be submitted through Grants.gov.

Award Procedure:

Applications receive three independent levels of review. First, they are reviewed by an independent, national panel of individuals with expertise in the arts and the state and regional arts agency field. Panel recommendations are forwarded to the National Council on the Arts, which then makes recommendations to the Chairman of the National Endowment for the Arts. The Chairman reviews the Council's recommendations and makes the final decision on all grant awards. Partnership Agreements receive panel review on a three-year cycle -- one-third of the SAAs and RAOs are reviewed each year -- with funding subject to annual recommendation and approval by the Council and the Chairman.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

The Partnership Agreements deadline is generally in late September/early October. Applications are generally reviewed by the National Council on the

Arts and approved by the NEA Chairman in late March. See the Arts Endowment's Web site at www.arts.gov for specific dates.

Appeals:

Information on requests for reconsideration may be obtained from the headquarters office.

Renewals:

The Arts Endowment uses staggered, multi-year review for Partnership Agreements. Funding is subject to annual review and approval.

Formula and Matching Requirements:

Statutory Formula: Title The National Foundation on the Arts and the Humanities Act of 1965, as amended, Part 20 USC 954, Subpart (g), Public Law 089-209.

Matching Requirements: Partnership Agreement awards must be matched at least 1:1 with non-federal funds. The state arts agency must be financially supported by its state government and be designated as officially responsible for coordinating and administering all financial support received from the NEA and the state in conjunction with the state arts agency Partnership Agreement. For those agencies covered by the Economic Development of the Territories Act (American Samoa, Commonwealth of Northern Mariana Islands, Guam, and the Virgin Islands), the matching requirement does not apply to the first \$200,000 of NEA funds (48 USC 1469a(d) as amended). Agencies must request a waiver from the matching requirement for amounts above the first \$200,000 of NEA funds.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Each award is for the full fiscal year or as designated. Partnership Agreement awards support activities scheduled to begin on or after July 1 of the year following the application deadline. See the following for information on how assistance is awarded/released: Generally, requests for payment to cover immediate allowable project expenses may be received at any time during the grant period.

Reports:

For Partnership Agreements, a Final Descriptive Report is due no later than 90 days following the end date of the period of support. No cash reports are required. Progress reports are required for all grants and must be submitted at the time the cumulative amount of grant funds requested exceeds two-thirds of the grant amount. Final Federal Financial Reports are due no later than 90 days following the end date of the period of support. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grant-related records are to be retained by grantees for three years following submission of the Final Federal Financial Report.

Account Identification:

59-0100-0-1-503.

Obligations:

(Project Grants (with Formula Distribution)) FY 12 \$49,016,935; FY 13 est \$47,139,035; and FY 14 est \$52,302,000 - The FY 2014 figure is based on the FY 2014 budget request. Funds are allotted in accordance with the NEA's mandate and based on the strength of plans and accomplishments in relation to the review criteria.

Range and Average of Financial Assistance:

FY 2013 Partnership Agreements for SAAs range from \$281,225 to \$1,024,800, averaging approximately \$680,000. The range of RAO awards is \$1,015,000 to \$1,555,000, averaging \$1,303,565.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: The Arts Endowment made 62 Partnership awards to enable SAAs and RAOs to support a variety of arts projects nationwide. Fiscal Year 2013: The Arts Endowment anticipates making 64 Partnership awards to enable SAAs and RAOs to support a variety of arts projects nationwide. Fiscal Year 2014: The Arts Endowment anticipates making 65 Partnership awards to enable SAAs and RAOs to support a variety of arts projects nationwide.

REGULATIONS, GUIDELINES, AND LITERATURE:

The Partnership Agreements guidelines are available on the Arts Endowment's Web site at www.arts.gov.

Regional or Local Office:

None.

Headquarters Office:

Laura A. Scanlan 1100 Pennsylvania Ave, NW, Washington, District of Columbia 20506 Phone: 202-682-5583

Website Address:

www.arts.gov.

RELATED PROGRAMS:

45.024 Promotion of the Arts_Grants to Organizations and Individuals

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Partnership Agreements with the SAAs and RAOs continue to enable the support of a variety of arts activities in communities across the nation. See the Arts Endowment's Web site for grant listings. Fiscal Year 2013: Partnership Agreements with the SAAs and RAOs will continue to enable the support of a variety of arts activities in communities across the nation. Grant listings will be posted once grants are obligated. Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

For Partnership Agreements: Quality of the planning process; quality of the plan; and quality of accomplishments and implementation in relation to the plan. For additional criteria, consult the Partnership Agreements guidelines.

NATIONAL ENDOWMENT FOR THE HUMANITIES

45.129 PROMOTION OF THE HUMANITIES_FEDERAL/STATE PARTNERSHIP

FEDERAL AGENCY:

National Endowment for the Humanities

AUTHORIZATION:

National Foundation on the Arts and the Humanities Act of 1965, as amended, Public Law 89-209, 20 U.S.C. 951 et seq.

OBJECTIVES:

To promote local, statewide, and regional humanities programming through annual grants to humanities councils in each of the 50 States, the District of Columbia, Puerto Rico, the U.S. Virgin Islands, Northern Mariana Islands, Guam, and American Samoa. The 56 councils conduct humanities projects and grant programs for local nonprofit organizations, institutions, and groups, and occasionally for individuals. Under the provisions of 20 U.S.C. 956(f)(8), only one entity in each State may receive assistance from NEH as the State humanities council.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Grants may be used to fund local, statewide, and regional humanities projects, subject to guidelines determined by each State humanities council.

Applicant Eligibility:

Nonprofit citizen councils in the several States and territories which conform to the requirements of 20 U.S.C. 956(f). If the State matches a certain percentage of the Federal grant and meets other legislated requirements, the Governor may designate the existing Council as a State agency. The resulting agency becomes an eligible applicant.

Beneficiary Eligibility:

State and local governments; sponsored organizations; public and private

nonprofit institutions/organizations; other public institutions/organizations; Federally recognized Indian tribal governments; Native American organizations; U.S. Territories; non-government general; minority organizations; other specialized groups; and quasi-public nonprofit institutions which apply directly to the State Humanities Council.

Credentials/Documentation:

Submission of an annual compliance plan and a self-assessment every five years from the council. Compliance requirements are outlined in 20 U.S.C. 956(f). Costs will be determined in accordance with OMB Circular No. A-122 as amended for nonprofit organizations. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Filing of a compliance plan is required from all councils annually and a self-assessment every five years

Award Procedure:

Compliance plans are reviewed by Federal/State Partnership staff. Self-assessments are reviewed by teams of visiting scholars and other professionals. Awards are made by the Chairman of the National Endowment for the Humanities after recommendation by the National Council on the Humanities.

Deadlines:

Jun 01, 2014 June 1 for annual compliance plans. Timetable for submission of self-assessment material is flexible.

Range of Approval/Disapproval Time:

> 180 Days. Variable: Six months.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching Requirements: As established by the Congressional funding formula, each State council receives \$200,000 of the definite funds appropriated to Federal/State Partnership. Of the remaining funds, 44 percent is divided equally among the States which have a population of 200,000 or more, 22 percent is allocated to each council on the basis of population, and 34 percent is awarded at the discretion of the Chairman of the agency. Total matching of the grant on a one-to-one basis is required. Source: 20 U.S.C. 956 (f)(4). This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Thirty-six months. Funds must be expended during the grant period. Funds are released as required. Method of awarding/releasing assistance: by letter of credit.

Reports:

Compliance plans are required annually. A cash report is required quarterly. No progress reports are required. An expenditure report is required quarterly and a final expenditure report is due within 90 days after completion or termination of project support by NEH. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. "Audits of Institutions of Higher Education and Other Nonprofit Organizations." have been mandated for the program. In addition, grants are subject to inspection and audits by NEH and

other Federal officials.

Records:

Documentation of expenditures and other fiscal records must be retained for 3 years following the submission of the final expenditure report.

Account Identification:

59-0200-0-1-503.

Obligations:

(Project Grants) FY 12 \$41,345,753; FY 13 est \$40,683,000; and FY 14 est \$43,432,000 - FY '12 obligations include additional funding provided by NEH Treasury matching grants and by We the People. See 45.168 PROMOTION OF THE HUMANITIES-WE THE PEOPLE.

Range and Average of Financial Assistance:

In FY 2010 the range of assistance was \$301,830 to \$2,080,000. Average was \$741,632.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Support for the programs and operations of 56 state humanities councils made possible high quality state and local humanities projects throughout the nation, including 16,600 reading and discussion programs, 2,000 exhibitions, 5,500 literacy programs, 3,600 speakers bureau presentations, 3,500 teacher institutes and workshops, 2,700 conferences and symposia, 1,800 Chautauqua events, 7,600 media program events, 600 technology projects, 650 preservation projects and 3,500 local history projects. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 1100 and 1105. Information about the National Endowment for the Humanities and program guidelines are available online at <http://www.neh.gov/> or upon request from the National Endowment for the Humanities, Washington, DC 20506. Available from the Superintendent of Documents, U.S. Government Printing Office, Washington, DC 20402, is the Endowment's official publication, "Humanities" by subscription (6 issues annually, \$24.00 domestic, \$30.00 foreign). Addresses and phone numbers for the 56 State humanities councils are available from Federal/State Partnership, NEH, Room 603, Washington, DC 20506, and from the NEH web site, <http://www.neh.gov>.

Regional or Local Office:

See Regional Agency Offices. Located in each State. Addresses available from National Endowment for the Humanities.

Headquarters Office:

Federal/State Partnership, National Endowment for the Humanities, 1100 Pennsylvania Avenue, Room 603, Washington, District of Columbia 20506
Email: fedstate@neh.gov Phone: (202) 606-8254.

Website Address:

<http://www.neh.gov>.

RELATED PROGRAMS:

45.164 Promotion of the Humanities_Public Programs

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Each State council sets its own guidelines and criteria for assessing proposals, which are widely disseminated within the State. The criteria for State council funding from NEH are established in the National Foundation on the Arts and the Humanities Act of 1965, as amended, 20 U.S.C. Section 950, 956, and by the NEH Federal/State Partnership.

45.130 PROMOTION OF THE HUMANITIES_CHALLENGE GRANTS FEDERAL AGENCY:

National Endowment for the Humanities

AUTHORIZATION:

National Foundation on the Arts and the Humanities Act of 1965, as amended, Public Law 89-209, 20 U.S.C 951.

OBJECTIVES:

To support educational and cultural institutions and organizations in order to increase their financial stability and to sustain or improve the quality of humanities programs, services, or resources. Normally, institutions requesting an NEH challenge grant must raise three dollars in new donations from nonfederal sources to receive each Federal dollar A special category of challenge grants is designed to help 2-year colleges secure long-term improvements in humanities activities. Two-year colleges, along with Historically Black Colleges and Universities and Tribal Colleges and Universities, are required to raise two times the Federal award in new donations from nonfederal sources.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds may be applied to a variety of institutional needs which an applicant has demonstrated serve both the humanities and the institution's long-term objectives. The major purpose of an NEH Challenge Grant is to help institutions and organizations attain or sustain a high level of quality in humanities programs or activities by building long-term capital resources. The most common expenditure of grant and matching funds is the creation or augmentation of endowments. Also possible are direct expenditures such as library acquisitions, technological enhancement, construction and renovation, and other costs clearly attributable to improvements in humanities programs and activities. Funds may not be used directly for general operating support or undergraduate scholarships and prizes or for short-term projects or projects eligible for support from other NEH programs.

Applicant Eligibility:

Any public or private U.S. nonprofit institution or organization working wholly or in part within the humanities may apply, including the following: two-year and four-year colleges; universities; museums; historical societies; research libraries; public libraries; advanced study centers; media organizations; university presses; professional societies; or state humanities councils. State and local governments and U.S. Territories are eligible to apply on their own behalf or on behalf of nonprofit institutions, associations or organizations within their jurisdictions. Individuals and public and private elementary and secondary schools or school districts are not eligible to apply.

Beneficiary Eligibility:

All applicant organizations and institutions and all users of their humanities resources, programs, or activities.

Credentials/Documentation:

. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

None required, but preliminary discussion with Endowment staff and the submission of a draft application at least six weeks before the deadline are strongly encouraged. The standard application forms as furnished by the Endowment and required by OMB Circular No. A-102 must be used for this program. This program is excluded from coverage under OMB Circular No. A-102 and E.O. 12372. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. This program is excluded from coverage under OMB Circular No. A-110. Applications to NEH must be submitted via [Grants.gov](http://www.grants.gov). Guidelines and application instructions are available online at <http://www.neh.gov/grants/grants.html>.

Award Procedure:

Applications are reviewed by administrators of humanities institutions, panels of scholars, and other appropriate individuals. Awards are made by the Chairman of the National Endowment for the Humanities after recommendation by the National Council on the Humanities.

Deadlines:

Nov 30, -0001: Challenge Grants. May 02, 2012 for all challenge grants. Deadlines are receipt deadlines. Write or call the NEH Office of Challenge Grants.

Range of Approval/Disapproval Time:

> 180 Days. Seven months (approximately 210 days) after the deadline.

Appeals:

None, but applicants may request written reasons for rejection and reapply at the next appropriate deadline with a revised proposal.

Renewals:

> 180 Days. Eligibility to apply for a subsequent award begins in the third year after the close of the previous challenge grant.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: All challenge grant funds are matching funds. Grantees must raise three nonfederal dollars for every federal dollar offered, except that Historically Black Colleges and Universities, Tribal Colleges and Universities, and two-year colleges are required to raise two times the Federal award.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

From one to five years. Funds must be expended during the grant period. Funds expended include funds deposited into an income earning account. See the following for information on how assistance is awarded/released: Federal funds up to the amount offered in any year are released as matching amounts are certified to the Endowment and minimum annual matching requirements are met.

Reports:

Program reports are not applicable. Cash reports are not applicable. Narrative progress reports are required biennially; reports on nonfederal contributions raised and sources of giving are required annually. Final narrative and financial reports are due within 90 days after the grant ending date. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants are subject to inspection and audits by NEH and other Federal officials.

Records:

To be retained by the grantee for three years from the date of submission, with copies to be submitted with gift certifications, of the final narrative and financial reports. Records include financial documentation on all matching gifts and on all expenditures of Federal and matching funds.

Account Identification:

59-0200-0-1-503.

Obligations:

(Project Grants) FY 12 \$9,412,360; FY 13 est \$7,920,000; and FY 14 est \$8,850,000 - FY'12 obligations Include additional funding provided by We the People. See 45.168 PROMOTION OF THE HUMANITIES-WE THE PEOPLE.

Range and Average of Financial Assistance:

Applicants may request up to \$1,000,000 in Federal funds over 4 years. In fiscal year 2010, the largest award offered was \$750,000, the smallest was \$250,000; and the average award was approximately \$470,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: 141 applications were received and 28 grants were awarded. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 1100 and 1105. Guidelines are available online at <http://www.neh.gov/grants/grants.html> and upon request from the National Endowment for the Humanities, Washington, DC 20506. Available from the Superintendent of Documents, Government Printing Office, Washington, DC

20402, is the Endowment's official publication, "Humanities" by subscription (6 issues annually, \$24.00 domestic, \$30.00 foreign).

Regional or Local Office:

None.

Headquarters Office:

Office of Challenge Grants, Room 420, National Endowment for the Humanities, Washington, District of Columbia 20506 Email: challenge@neh.gov Phone: (202) 606-8309.

Website Address:

<http://www.neh.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: No current data available. Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

To reach decisions about funding, all reviewers of Challenge Grants applications are asked to evaluate the existing and potential quality of an applicant's programs in the humanities, its needs, management, and fund-raising capability. In light of the goals of the Challenge Grants Program, reviewers assess each application in accordance with the following criteria: (1) The significance of existing and planned humanities activities. (2) The appropriateness of institutional resources and plans. (3) The impact of challenge grant funds. (4) The feasibility of fund raising. Applicants for second or subsequent awards must describe and assess the effects of their prior awards.

45.149 PROMOTION OF THE HUMANITIES DIVISION OF PRESERVATION AND ACCESS**FEDERAL AGENCY:**

National Endowment for the Humanities

AUTHORIZATION:

National Foundation on the Arts and the Humanities Act of 1965, as amended, 89 Stat. 209, 20 U.S.C 951.

OBJECTIVES:

To fund projects that will promote preserving, creating, and providing intellectual access to resources held in libraries, museums, archives, historical organizations, and other collections that are important for research, education, and public programming in the humanities.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grants support: the digitization, arrangement, description, and preservation of archival collections, still and moving images, and recorded sound collections; the documentation of collections of art and material culture; projects to produce research tools and reference works such as databases and electronic archives, bibliographies, dictionaries, and encyclopedias; professional training in preservation administration and in the care of collections; preventive conservation of humanities collections; the work of regional preservation services; general preservation assessments and special consultations for smaller cultural institutions; research and development projects to develop technical standards, best practices, and tools for preserving and creating access to humanities collections; projects to document endangered languages; and a national program for the digitization of historic U.S. newspapers. Expenses may include salaries and wages, consultant and other contract services, supplies and limited equipment purchases, travel, and per diem.

Applicant Eligibility:

State and local governments; sponsored organizations; public and private nonprofit institutions/organizations; other public institutions/organizations; Federally recognized Indian tribal governments; Native American organizations; U.S. Territories; non-government-general; minority organizations; and other specialized groups; quasi-public nonprofit institutions.

Beneficiary Eligibility:

State and local governments; sponsored organizations; public and private nonprofit institutions/organizations; other public institutions/organizations; Federally recognized Indian tribal governments; Native American organizations; U.S. Territories; non-government-general; minority organizations; and other specialized groups; quasi-public nonprofit institutions.

Credentials/Documentation:

OMB Circular No. A-21 for educational institutions and OMB Circular No. A-122 for commercial and nonprofit organizations. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applications to NEH must be submitted via Grants.gov. Guidelines and application instructions are available online at <http://www.neh.gov/grants/grants.html>.

Award Procedure:

Applications are evaluated by scholars in the humanities, professionals with expert knowledge of preservation and access methodologies, and administrators of libraries, archives, and museums. Awards are made by the Chairman of the National Endowment for the Humanities after recommendation by the National Council on the Humanities.

Deadlines:

Jul 17, 2014: Humanities Collections and Reference Resources.

Range of Approval/Disapproval Time:

> 180 Days. Approximately 7 months.

Appeals:

None, but applicant may reapply with a revised proposal.

Renewals:

Renewal grants are processed and awarded in competition with and in the same manner as new applications.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Up to 36 months. Funds must be expended during the grant period. Funds are released as required. Method of awarding/releasing assistance: by letter of credit. Method of awarding/releasing assistance: by letter of credit.

Reports:

No program reports are required. Cash reports are required quarterly. Progress reports are required semiannually or annually. Final progress and expenditures reports are due within 90 days after completion or termination of project support by NEH. Final progress and expenditures reports are due within 90 days after completion or termination of project support by NEH. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants are subject to inspection and audits by NEH and other Federal officials.

Records:

Documentation of expenditures and other fiscal records must be retained for three years following the submission of the final expenditure report.

Account Identification:

59-0200-0-1-503.

Obligations:

(Salaries) FY 12 \$16,382,445; FY 13 est \$14,382,000; and FY 14 est \$15,750,000 - FY'12 obligations Include additional funding provided by NEH Treasury matching grants and by We the People. See 45.168 PROMOTION OF THE HUMANITIES-WE THE PEOPLE.

Range and Average of Financial Assistance:

FY 12 from \$6,000 to \$500,000; average \$74,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: 679 applications were received and 143 grants were awarded. Fiscal Year 2013: No current data available. Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 1100 and 1105. Guidelines are available online at <http://www.neh.gov/grants.html> or upon request from National Endowment for the Humanities, Washington, DC 20506. It is also available from the Superintendent of Documents, Government Printing Office, Washington, DC 20402, is the Endowment's official publication, "Humanities" by subscription (6 issues annually, \$24.00 domestic, \$30.00 foreign).

Regional or Local Office:

None.

Headquarters Office:

National Endowment for the Humanities, Division of Preservation and Access 1100 Pennsylvania Avenue, NW, Room 411, Washington, District of Columbia 20506 Email: preservation@neh.gov Phone: (202) 606-8570.

Website Address:

<http://www.neh.gov>.

RELATED PROGRAMS:

45.164 Promotion of the Humanities_Public Programs

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The criteria used to determine funding priorities include the significance and potential impact of the project, either for creating, preserving, and establishing intellectual access to important humanities resources or for improving the infrastructure for preservation and access activities in the country's academic and cultural institutions; the soundness of the project's methodology, including its adherence to accepted professional and technical standards or practice; the viability, efficiency, and productivity of the project's plan of work; the professional training and experience of the project's staff in relation to the activity for which support is requested; and the appropriateness of the project's budget. Preference is given to projects that provide free, online access to digital materials produced with grant funds.

45.160 PROMOTION OF THE HUMANITIES_FELLOWSHIPS AND STIPENDS

FEDERAL AGENCY:

National Endowment for the Humanities

AUTHORIZATION:

National Foundation on the Arts and the Humanities Act of 1965, as amended, Public Law 89-209, 20 U.S.C. 951 et seq. , Public Law 89-209, 20 U.S.C 951.

OBJECTIVES:

Fellowships and Summer Stipends provide support for scholars to undertake full-time independent research and writing in the humanities. Grants are available for 6-to-12-month fellowships and 2 months of summer study. Awards for Faculty programs support research work conducted by faculty at Historically Black Colleges and Universities, Hispanic-Serving Institutions, and Tribal Colleges and Universities for periods of 2 to 12 months.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Fellowships and Summer Stipends: Projects may contribute to scholarly knowledge or to the general public's understanding of the humanities. The proposed study or research may be completed during the grant period or it may be part of a longer project. Supported applications typically result in publication. The Awards for Faculty program assist faculty

members as they engage in advanced research in the humanities.

These awards are restricted to faculty members at Historically Black Colleges and Universities, Hispanic-Serving Institutions, and Tribal Colleges and Universities as designated by the White House offices charged with the implementation of Executive Orders 12876, 13230, 13021. Applications for planning institutional curricula, for educational research projects, for the creation or performance of works of art, and that lack humanities content or methodology are not eligible.

Applicant Eligibility:

All applicants must be U.S. citizens, native residents of U.S. jurisdictions, foreign nationals who have been legal residents in the U.S. or its jurisdictions for at least the three years immediately preceding the application deadline. Fellowships: Faculty members at colleges and universities, individuals affiliated with other institutions, independent scholars, and others who work in the humanities are eligible. Applicants need not have advanced degrees, but they must have completed their formal academic training. Active candidates for degrees and persons seeking support for work leading to degrees are not eligible. Summer Stipends: University and college faculty members must be nominated by their academic institutions. Faculty members with terminating appointments, independent scholars, and nonfaculty college and university staff members are exempt from nomination and may apply directly. Awards for Faculty are offered to full-time faculty members at Historically Black Colleges and Universities, Hispanic-Serving Institutions, and Tribal Colleges and Universities as designated by the White House offices charged with the implementation of Executive Orders 12876, 13230, 13021.

Beneficiary Eligibility:

Fellowships and Stipends: College and university faculty and staff, individuals affiliated with institutions other than colleges and universities, and scholars and writers working independently. Awards for Faculty: faculty members at Historically Black Colleges and Universities, Hispanic-Serving Institutions, and Tribal Colleges and Universities.

Credentials/Documentation:

. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Applications to NEH must be submitted via Grants.gov. Fellowships, Summer Stipends, and Awards for Faculty application materials are available online at <http://www.neh.gov> and from the headquarters office.

Award Procedure:

Applications are reviewed by panels of scholars and other appropriate individuals. Awards are made by the Chairman of the National Endowment for the Humanities after advice from the National Council on the Humanities.

Deadlines:

Oct 01, 2014: Summer Stipends. Deadlines are approximate; interested individuals should check the NEH website for deadlines. Fellowships: Annual receipt deadline of May 1, for projects beginning after January 1 of the following year. Summer Stipends: annual receipt deadline of October 1, for projects beginning after May 1 of the following year. Awards for

Faculty: Annual receipt deadline of April 16 for projects beginning after January 1 of the following year.

Range of Approval/Disapproval Time:

> 180 Days. Five to seven months.

Appeals:

None, but applicant may reapply.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Fellowships are held from 6 to 12 months, with payment in quarterly installments. Summer Stipends are held for 2 consecutive months, with stipends disbursed in one payment. Awards for Faculty may support activities from 2 to 12 months, with payments in quarterly installments. Method of awarding/releasing assistance: by letter of credit.

Reports:

No program reports are required. No cash reports are required. A final report is required within 90 days after the end of the tenure period describing the results of the work done during the period of the award. No expenditure reports are required. No performance monitoring is required.

Audits:

No audits are required for this program.

Records:

Not applicable.

Account Identification:

59-0200-0-1-503.

Obligations:

(Project Grants (Fellowships)) FY 12 \$4,969,200; FY 13 est \$4,698,302; and FY 14 est \$4,970,070 - FY 2012 obligations include additional funding provided by We the People. See 45.168 PROMOTION OF THE HUMANITIES-WE THE PEOPLE.

Range and Average of Financial Assistance:

Fellowships and Awards for Faculty: An award of \$4,200 per month for a grant period of from 3 to 12 months. Summer Stipends: All awards are \$6,000 for a grant period of 8 weeks.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: 2,471 applications were received and 204 fellowships awarded. Fiscal Year 2013: 2,256 applications and 167 awards. Fiscal Year 2014: Approximately 2,490 applications are expected and 190 awards.

REGULATIONS, GUIDELINES, AND LITERATURE:

Fellowship, Awards for Faculty, and Summer Stipends guidelines are available online at <http://www.neh.gov/grants/grantsbydivision.html#research>. For assistance, contact the National Endowment for the Humanities, 1100 Pennsylvania Ave., NW., Washington, DC 20506. Available from the Superintendent of Documents, Government Printing Office, Washington, DC 20402, is the Endowment's official publication, "Humanities" by subscription (6 issues annually, \$24.00 domestic, \$33.60 foreign).

Regional or Local Office:

None.

Headquarters Office:

National Endowment for the Humanities, Division of Research Programs 1100 Pennsylvania Avenue, Washington, District of Columbia 20506 Email: fellowships@neh.gov Phone: (202) 606-8200.

Website Address:

<http://www.neh.gov>.

RELATED PROGRAMS:

45.161 Promotion of the Humanities_Research

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Louise Stein, University of Michigan, Ann Arbor, received a 12-month fellowship to conduct research on the role of opera in 17th-century Naples. Craig Kallendorf, Texas A&M University received a 12-month fellowship to conduct research on the reception of Virgil since classical times. Paul Lucier, an independent scholar living in Rhode Island, received a 12-month fellowship to write a history of mining in the American west. Richard Allen, Framingham State College, received a 12-month fellowship to write a book on the history of European slave trade in the Indian Ocean, 1500-1850. David Carlson, California State University, San Bernardino, received a 12-month Award for Faculty at Hispanic-Serving Institutions to complete a book on the idea of sovereignty in American Indian literature. Bridget Keegan, Creighton University, received a Summer Stipend to study laboring-class writers in 18th- and 19th-century England. Fiscal Year 2013: No current data available. Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Are the criteria based on the cost of the project, qualification of principal investigator and institution, relevance to program's objectives and uses, or merit? Is there an order of priority? If the Code of Federal Regulations is used for this section extract the salient points of interest to an applicant. Do not cite the Code verbatim.

For Fellowships, Summer Stipends: 1) The intellectual significance of the proposed project, including its value to scholars, general audiences in the humanities, or both; 2) the quality or promise of quality of the applicant's work as an interpreter of the humanities; 3) the quality of the conception, definition, organization, and description of the project and the applicant's clarity of expression; 4) the feasibility of the proposed plan of work, including, when appropriate, the soundness of the dissemination and access plans; and, 5) the likelihood that the applicant will complete the project.

Awards to Faculty at Historically Black Colleges and Universities, Institutions with High

Hispanic Enrollment, and Tribal Colleges and Universities: 1) The intellectual significance of the proposed project, including its value to scholars, students, or general audiences in the humanities; 2) the quality or promise of quality of the applicant as a humanities teacher and researcher; 3) the quality of the conception, definition, organization, and description of the project and the clarity of expression in the application; 4) the feasibility of the proposed plan of work, including, when appropriate, the soundness of the dissemination and access plans for the proposed audience or audiences; and 5) the likelihood that the applicant will complete the project.

45.161 PROMOTION OF THE HUMANITIES RESEARCH**FEDERAL AGENCY:**

National Endowment for the Humanities

AUTHORIZATION:

National Foundation on the Arts and the Humanities Act of 1965, as amended, Public Law 89-209, 20 U.S.C. 951 et seq.
 , Public Law 89-209, 20 U.S.C 951.

OBJECTIVES:

To strengthen the intellectual foundations of the humanities through the collaboration of scholars and the support of post-doctoral fellowship programs at independent research institutions.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Collaborative Research and Scholarly Editions and Translations grants support up to three years of research. Awards support direct costs, including salaries, travel, supplies, and appropriate research assistance and consultation. Grants

also support fellowships offered through independent research centers and institutions.

Applicant Eligibility:

For Collaborative Research and Scholarly Editions and Translations, institutions of higher education, nonprofit professional associations, scholarly societies, and other nonprofit organizations in the United States may apply, as may eligible individuals (U.S. citizens and foreign nationals who have been living in the United States or its jurisdictions for at least the three years immediately prior to the time of application). For support of fellowship programs, U.S. independent research centers, scholarly societies, and international research organizations with existing fellowship programs may apply. Individual scholar eligibility is the same as described above.

Beneficiary Eligibility:

U.S. citizens and residents, State and local governments, sponsored organizations, public and private nonprofit institutions/organizations, other public institutions/organizations, Federally recognized Indian tribal governments, Native American organizations, U.S. territories; non-governmental-general; minority organizations, other specialized groups; and quasi-public nonprofit institutions benefit.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-122 for nonprofit organizations and OMB Circular No. A-21 for educational institutions. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Prior to submitting a proposal, applicants are encouraged to contact program staff for advice on preparing the proposal, and for review of draft proposals. These draft reviews are not part of the formal review process and have no bearing on the final outcome of the proposal, but applicants have found them helpful in strengthening their applications. Program staff recommend that draft proposals be submitted no later than six weeks before the deadline. Time restraints may prevent staff from reviewing draft proposals submitted after that date. Draft proposals may be submitted by e-mail attachment to research@neh.gov. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applications to NEH must be submitted via [Grants.gov](http://www.grants.gov). NEH application instructions are available online at <http://www.neh.gov/grants/grants.html>.

Award Procedure:

Applications are reviewed by subject area specialists, panels of scholars, and other appropriate individuals outside the agency. Awards are made by the Chairman of the National Endowment for the Humanities after recommendation by the National Council on the Humanities.

Deadlines:

Aug 14, 2014: Fellowship Programs at Independent Research Institutions.
 None.

Range of Approval/Disapproval Time:

> 180 Days. For Collaborative Research, Scholarly Editions and Translations, and fellowship programs offered through independent research centers and international research organizations, approximately seven months. For Fellowships at Digital Humanities Centers, approximately six months.

Appeals:

None, but applicant may reapply with a revised proposal.

Renewals:

Renewal applications are eligible; they are evaluated in competition with new applications.

Formula and Matching Requirements:

This program has no statutory formula. This program has no matching requirements. Cost sharing consists of the cash contributions made to the project by the applicant, third parties and other federal agencies, as well as third party in-kind contributions, such as donated services and goods. Cost sharing includes third party gift money that will be

raised to release federal matching funds. Cost sharing is not required. NEH, however, is rarely able to support the full costs of projects approved for funding. Consult the individual program guidelines for more information. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Up to 36 months for Collaborative Research, Scholarly Editions and Translations, and Fellowship Programs at Independent Research Institutions. See the following for information on how assistance is awarded/released: Funds are released as required and must be expended during the grant period.

Reports:

Program reports are not applicable. Cash reports are required quarterly. For Collaborative Research, Scholarly Editions and Translations, and Fellowship Programs at Independent Research Institutions: Progress reports are required at least annually, no more frequently than quarterly. Final progress are due within 90 days after completion or termination of project support by NEH. Final expenditure reports are due within 90 days after completion or termination of project support by NEH. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants are subject to inspection and audits by NEH and other Federal officials.

Records:

Documentation of expenditures and other fiscal records must be retained for three years following the submission of the final expenditure report.

Account Identification:

59-0200-0-1-503.

Obligations:

(Project Grants) FY 12 \$10,473,828; FY 13 est \$9,892,698; and FY 14 est \$10,464,930 - FY 12 obligations include additional funding provided by NEH Treasury matching grants and by We the People. See 45.168 PROMOTION OF THE HUMANITIES-WE THE PEOPLE.

Range and Average of Financial Assistance:

FY 11 from \$50,000 to \$515,000; \$205,000 average.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: 202 applications were received and 54 grants awarded. Fiscal Year 2013: No current data available. Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 1100 and 1105. Guidelines are available online at <http://www.neh.gov/grants/grants.html> and upon request from the National Endowment for the Humanities, Washington, DC 20506. Available from the Superintendent of Documents, Government Printing Office, Washington, DC 20402, is the Endowment's official publication, "Humanities" by subscription (6 issues annually, \$24.00 domestic, \$30.00 foreign).

Regional or Local Office:

None. Not Applicable.

Headquarters Office:

National Endowment for the Humanities, Division of Research Programs, 1100 Pennsylvania Avenue, NW, Room 318, Washington, District of Columbia 20506 Email: collaborative@neh.gov Phone: (202) 606-8200.

Website Address:

<http://www.neh.gov>.

RELATED PROGRAMS:

45.149 Promotion of the Humanities_Division of Preservation and Access;
45.160 Promotion of the Humanities_Fellowships and Stipends

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Ball State University received \$64,622 in outright funds to host a conference on print culture in small towns and rural areas during the late-19th and early-20th centuries. University of California, Los Angeles, received \$200,000 in outright funds to continue archaeological field work at an important Bronze Age urban center near Jaffa, Israel. Duke University received \$250,000 in outright funds and \$10,000 in federal matching funds, to support the ongoing research and publication of the correspondence of 19th-century British intellectuals Thomas and Jane Welch Carlyle. The American Research Institute in Turkey located in Istanbul, Turkey, received \$175,000 in outright funds to support the research of one, twelve-month fellow per year for three years. Fiscal Year 2013: No current data available. Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

For Collaborative Research, the principal criteria considered by evaluators are: (1) Intellectual significance of the project, including its potential contribution to scholarship in the humanities; the likelihood that it will stimulate new research; its relationship to larger themes in the humanities; and the significance of the material on which the project is based. (2) Pertinence of the research questions being posed, the appropriateness of research methods or conference design; the appropriateness of the technology employed in the project; the feasibility of the work plan; and the appropriateness of the field work to be undertaken, the archival or source materials to be studied, and the research site. (3) Qualifications, expertise, and levels of commitment of the project director and key project staff or contributors. (4) Soundness of the dissemination and access plans, including benefit to the audience identified in the proposal and the strength of the case for employing print, digital format, or a combination of media; and in the case of archaeology projects, the likelihood that the project will produce an interpretive study. All other considerations being equal, preference will be given to projects that provide free, online access to digital materials produced with grant funds. (5) Potential for success, including the likelihood that the work proposed will be completed within the projected time frame; where appropriate, the project's previous record of success; and the reasonableness of the proposed budget in relation to anticipated results.

For Scholarly Editions and Translations, the principal criteria considered by evaluators are: (1) The intellectual significance of the proposed work, including its potential contribution to scholarship in the humanities; the likelihood that it will stimulate new research; its relationship to larger themes or issues in the humanities; and the significance of the material on which the project is based. (2) The appropriateness of the research methods, critical apparatus, and editorial policies; in the case of translation projects, the translation approaches; the appropriateness of selection criteria; the thoroughness and feasibility of the work plan; the quality of the samples, e.g., their content, accuracy; readability, and the clarity and helpfulness of annotation. (3) The qualifications, expertise, and levels of commitment of the project director and key project staff or contributors. (4) The soundness of the dissemination and access plans, including benefit to the audience identified in the proposal; the strength of the case for producing print volumes, electronic format, or a combination of media; and the appropriateness of the technology to be used. All other considerations being equal, preference will be given to projects that provide free, online access to digital materials produced with grant funds. (5) The potential for success, including the likelihood that the proposed project will be successfully completed within the projected time frame; when appropriate, the edition or translations previous record of success; and the reasonableness of the budget in relation to its likely results.

For Fellowship Programs at Independent Research Institutions, the principal criteria considered by evaluators are: (1) How important to the advancement of the humanities is the fellowship program for which funding is requested? (2) Are fellows likely to pursue their research more successfully because of the research collections, facilities, services, and other resources provided by the applicant institution? In the case of centers with residential programs, does the application provide evidence that the fellows' projects benefit significantly from the location of the center and the intellectual exchange among the fellows? (3) How strong is the institution's previous record in offering fellowships? Have former fellows been productive? Have the scholarly contributions resulting from their FPIRI fellowships been of value to scholars and general audiences in the humanities? (4) Is the fellowship selection process, including the choosing

of selection committee members, expert and objective? (5) Does the application make a persuasive case for the amount of NEH support requested for fellowships? (6) How effective is the publicity for the competition for fellowships? (7) How effective is the administration of the fellowship program? Is the institution's research misconduct policy adequate? (8) Are there ways in which the fellowship program could be improved?.

45.164 PROMOTION OF THE HUMANITIES_PUBLIC PROGRAMS FEDERAL AGENCY:

National Endowment for the Humanities

AUTHORIZATION:

National Foundation on the Arts and the Humanities Act of 1965, as amended, Public Law 89-209, 20 U.S.C. 951 et seq. , Public Law 89-209, 20 U.S.C 951.

OBJECTIVES:

To provide opportunities for the American public to explore human history and culture through humanities programs in museums, historical organizations, libraries, community centers, and other gathering places, as well as on public television and radio and/or other digital media.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grants are made to support planning, development, production, and implementation costs.

Applicant Eligibility:

State and local governments; sponsored organizations; public and private nonprofit institutions/organizations; other public institutions/organizations; Federally recognized Indian tribal governments; Native American organizations; U.S. Territories; non-government-general, minority organizations and other specialized groups; quasi-public nonprofit institutions may apply.

Beneficiary Eligibility:

State and local governments; sponsored organizations; public and private nonprofit institutions/organizations; other public institutions/organizations; Federally recognized Indian tribal governments; Native American organizations; U.S. Territories; non-government-general, minority organizations and other specialized groups; quasi-public nonprofit institutions will benefit.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-21 for educational institutions and OMB Circular No. A-122 for nonprofit organizations apply. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applications to NEH must be submitted via Grants.gov. Application forms and guidelines are available online (<http://www.neh.gov/grants/grants.html>) and upon request from the headquarters office.

Award Procedure:

Applications are reviewed by subject area specialists, panels of scholars, and other appropriate individuals. Awards are made by the Chairman of the National Endowment for the Humanities after advice from the National Council on the Humanities.

Deadlines:

Jun 11, 2014: for Bridging Cultures through Film: International Topics and for Digital Topics. None.

Range of Approval/Disapproval Time:

From 120 to 180 days. Approximately 4 to 6 months.

Appeals:

None, but applicant may reapply.

Renewals:

Renewals may be granted and are processed as new applications.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Varies, but usually 6 months to 3 years. Funds must be obligated during the grant period. Method of awarding/releasing assistance: by letter of credit.

Reports:

Program reports are not applicable. Cash reports are not applicable. Progress reports are required at least annually but no more frequently than quarterly. Final progress reports are due within 90 days after completion or termination of the project. Expenditure reports are due within 90 days after completion or termination of the project. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants are subject to inspection and audits by NEH and other Federal officials.

Records:

Documentation of expenditures and other fiscal records must be retained for 3 years following the submission of the final expenditure report.

Account Identification:

59-0200-0-1-503.

Obligations:

(Project Grants) FY 12 \$16,807,103; FY 13 est \$12,703,000; and FY 14 est \$14,000,000 - FY 12 obligations include additional funding provided by NEH Treasury matching grants and by We the People. See 45.168 PROMOTION OF THE HUMANITIES-WE THE PEOPLE.

Range and Average of Financial Assistance:

FY 12 from \$40,000 to \$704,000; \$241,221.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: 469 applications were received and 51 grants were awarded. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 1100 and 1105. Guidelines are available online at <http://www.neh.gov/grants/grants.html> or by request from the National Endowment for the Humanities, Washington, DC 20506. Available from the Superintendent of Documents, Government Printing Office, Washington, DC 20402, is the Endowment's official publication, "Humanities" by subscription (6 issues annually, \$24.00 domestic, \$30.00 foreign).

Regional or Local Office:

None.

Headquarters Office:

National Endowment for the Humanities, Division of Public Programs, 1100 Pennsylvania Avenue, NW, Room 426, Washington, District of Columbia 20506 Email: publicprograms@neh.gov Phone: (202) 606-8269.

Website Address:

<http://www.neh.gov>.

RELATED PROGRAMS:

45.129 Promotion of the Humanities_Federal/State Partnership

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: A film production company received a grant to produce a documentary film and companion website exploring the political, economic,

religious, and historical forces that led to the formation and migration of the Pilgrims and the first decade of their colony in New England.

A museum received a grant to implement a permanent exhibition on the history of African American efforts to gain freedom and equality and the interpretation of the Lorraine Motel historic site.

An archaeologist who specializes in ancient Mayan sites received a prototyping grant to begin work on a digital model of the site that would allow users to explore reconstructed architecture and cities from a first-person perspective in order to highlight the ways that the real-world landscape was designed to tell complex stories. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

A successful application will demonstrate national significance or impact, outreach to groups of Americans not often engaged in public humanities projects, collaboration among cultural institutions and organizations as well as other similar or different groups, and use of a broad spectrum of the most effective formats, technologies, and venues for lifelong learning in the humanities. Although every proposal will not be expected to meet all of the criteria outlined above, the Division will give priority to applications that further these objectives. As part of the We the People initiative, the division currently has two special initiatives: Family and Youth Programs in American History and America's Historic Places. See program guidelines for details.

NATIONAL SCIENCE FOUNDATION

47.050 GEOSCIENCES

(GEO)

FEDERAL AGENCY:

National Science Foundation

AUTHORIZATION:

National Science Foundation Act of 1950, as amended, 42 U.S.C. 1861 et seq.

OBJECTIVES:

To strengthen and enhance the national scientific enterprise through the expansion of fundamental knowledge and increased understanding of the integrated Earth system through the support of basic research in the atmospheric, earth, and ocean sciences, and in the polar regions. Objectives include the discovery of new knowledge of the atmosphere from the sun to the Earth's surface over the entire spectrum of physical and chemical phenomena; a better understanding of the physical, chemical, and biological character of the Earth and the processes that govern its evolution; increased insight into the world's oceans, their composition, structure, behavior, and tectonics; and fundamental discovery regarding and enabled by the polar regions, in all disciplines supported by NSF. Support is also provided for undergraduate student research, graduate and postdoctoral fellowships, facility enhancement, instrumentation, and laboratory equipment; and for research opportunities for women, minority, and disabled scientists and engineers.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grant funds may be used for paying costs necessary to conduct research or studies such as salaries and wages, permanent equipment and supplies, computer services, travel, publication costs, and other direct and indirect costs. Primary responsibility for general supervision of all grant activities rests with the grantee institution; the principal investigator is responsible for the scientific work. Funds may not be used for purposes other than those specified in the proposal.

Applicant Eligibility:

Except where a program solicitation establishes more restrictive eligibility criteria, individuals and organizations in the following categories may submit proposals: Universities and colleges; Non-profit, non-academic organizations; For-profit organizations; State and local governments; and unaffiliated individuals. See the NSF Grant Proposal Guide, Chapter I.E., for a full description of eligibility requirements:

http://www.nsf.gov/publications/pub_summ.jsp?ods_key=gpg.

Beneficiary Eligibility:

Not applicable.

Credentials/Documentation:

Proposals must be signed electronically by an official authorized to commit the institution or organization in business and financial affairs and who can commit the organization to certain proposal certifications. Costs will be determined in accordance with OMB Circular Nos. A-21 (2 CFR Part 220) for educational institutions, No. A-87 (2 CFR Part 225) for State and local governments, and A-122 (2 CFR Part 230) for nonprofit organizations. Applicants for fellowship support must show evidence of ability such as academic records, letters of recommendation, graduate record examination scores, and grade point average. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination may be required. Environmental impact information may be required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. By electronic submission via FastLane or Grants.gov of a formal proposal, and, in some programs, a preliminary proposal, describing the planned project and the proposed amount of the grant. For guidelines, see specific funding opportunities and the Grant Proposal Guide: http://www.nsf.gov/publications/pub_summ.jsp?ods_key=gpg

Award Procedure:

NSF staff members review and evaluate all proposals based on a set of criteria established by the National Science Board and, if applicable, solicitation-specific review criteria. In most cases reviews are undertaken with the advice of scientist, engineers, educators, and other appropriate persons who are specialist in the fields covered by the proposals. NSF makes awards on a competitive basis. Notification of an award is transmitted electronically to the submitting organization by a NSF Grants and Agreements Officer in the Division of Grants and Agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

After scientific, technical and programmatic review and consideration of appropriate factors, the NSF Program Officer recommends to the cognizant Division Director whether the proposal should be declined or recommended for award. NSF is striving to be able to tell applicants whether their proposals have been declined or recommended for funding within six months. The time interval begins on the deadline or target date, or receipt date, whichever is later.

The interval ends when the Division Director accepts the Program Officer's recommendation.

Appeals:

The principal investigator may request, in writing, within 90 days of a declination or return, that NSF reconsider its action in declining or returning any proposal or application.

Renewals:

A renewal proposal competes with all other proposals and must be developed fully as though the proposer is applying for the first time. Renewal proposals must be submitted at least six months before additional funding is required or consistent with an established deadline, target date or submission window. Principal investigators are encouraged to discuss renewal proposals with the NSF Program Officer prior to submission.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. Mandatory cost sharing will only be required for NSF programs when explicitly authorized by the NSF Director, the National Science Board, or legislation. In those rare instances, cost sharing requirements will be clearly identified in the program solicitation. Inclusion of voluntary, committed cost sharing is prohibited.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Award duration up to 5 years. Method of awarding assistance: Standard or continuing grant, fellowship, or cooperative agreement. Method of awarding/releasing assistance: by letter of credit.

Reports:

No program reports are required. No cash reports are required. Unless otherwise specified in the award, Annual Project Reports should be submitted at least 90 days prior to the end of the current budget period. Within 90 days following the expiration of the award, a Final Project Report and a Project Outcomes Report for the General Public must be submitted. Grantees are required to report the status of funds received from NSF on a quarterly basis through the submission of a Federal Financial Report (FFR). Information about reporting requirements is contained in the Award and Administration Guide: http://www.nsf.gov/publications/pub_summ.jsp?ods_key=aag. Grantees are required to report the status of funds received from NSF on a quarterly basis through the submission of a Federal Financial Report (FFR). Information about reporting requirements is contained in the Award and Administration Guide: http://www.nsf.gov/publications/pub_summ.jsp?ods_key=aag. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records, supporting documents, statistical records, and other records pertinent to a grant must be retained by the grantee for a period of 3 years from submission of the Final Project Report. Special record keeping requirements may apply to fellowships.

Account Identification:

49-0551-0-1-251; 49-0100-0-1-251; 49-0100-0-1-054.

Obligations:

(Project Grants) FY 12 \$1,321,970,000; FY 13 est \$1,265,840,000; and FY 14 est \$1,393,860,000 - (Project Grants) FY 12 \$1,321,370,000; FY 13 est \$1,321,140,000; and FY 14 est \$1,393,860,000 - 1) FY 2012 Obligations are the FY 2012 Appropriations Actuals; 2) FY 2013 Obligations estimates are the FY 2013 NSF Current Plan; and 3) FY 2014 Obligations estimates are the FY 2014 NSF Congressional Request.

Range and Average of Financial Assistance:

Range Low	\$5,000
Range High	\$29,512,000
Average	\$183,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: In Fiscal Year 2012, 5,246 proposals were received, and 1,640 awards made. Fiscal Year 2013: In Fiscal Year 2013, approximately 5,800 proposals will be received and about 1,800 awards will be made. Fiscal Year 2014: In Fiscal Year 2014, approximately 5,500 proposals will be received, and 1,700 awards will be made.

REGULATIONS, GUIDELINES, AND LITERATURE:

NSF Website: www.nsf.gov; 48 CFR Chapter 25; 45 CFR Chapter VI; Proposal and Award Policies and Procedures Guide (http://www.nsf.gov/publications/pub_summ.jsp?ods_key=papp), which incorporates the Grant Proposal Guide (GPG) and Award & Administration

Guide (AAG).

Regional or Local Office:

None.

Headquarters Office:

Melissa Lane 4201 Wilson Blvd., Stafford I-705, Arlington, Virginia 22230
Email: mlane@nsf.gov Phone: 7032928500 Fax: 7032929042

Website Address:

<http://nsf.gov/dir/index.jsp?org=geo>

RELATED PROGRAMS:

11.417 Sea Grant Support; 11.430 Undersea Research; 47.041 Engineering Grants; 47.049 Mathematical and Physical Sciences; 47.070 Computer and Information Science and Engineering; 47.074 Biological Sciences; 47.075 Social, Behavioral, and Economic Sciences; 47.076 Education and Human Resources; 47.079 International Science and Engineering (OISE); 47.081 Office of Experimental Program to Stimulate Competitive Research

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: Award search available on the NSF website: <http://www.nsf.gov/awardsearch>. Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Proposals received by NSF are assigned to the appropriate NSF program for acknowledgement and, if they meet NSF requirements, for review. All proposals are carefully reviewed by a scientist, engineer, or educator serving as an NSF Program Officer, and usually by three to ten other persons outside NSF either as ad hoc reviewers, panelists, or both, who are experts in the particular fields represented by the proposal. Proposers are invited to suggest names of persons they believe are especially well qualified to review the proposal and/or persons they would prefer not review the proposal. These suggestions may serve as one source in the reviewer selection process at the Program Officer's discretion. In addition, Program Officers may obtain comments from site visits before recommending final action on proposals. Senior NSF staff further review recommendations for awards. A flowchart that depicts the entire NSF proposal and award process (and associated timeline) is included as GPG Exhibit III-1. A comprehensive description of the Foundations merit review process is available on the NSF website at:

<http://www.nsf.gov/bfa/dias/policy/meritreview/>.

Proposal review is one step in the NSF program planning and implementation process. Embedded in this process are core strategies that are fundamental to the fulfillment of NSF's mission. More information about NSF's mission and strategies can be found in Empowering the Nation Through Discovery and Innovation: NSF Strategic Plan for Fiscal Years (FY) 2011-2016. NSF's mission is particularly well-implemented through the integration of research and education and broadening participation in NSF programs, projects, and activities.

A. Merit Review Principles and Criteria

The National Science Foundation strives to invest in a robust and diverse portfolio of projects that creates new knowledge and enables breakthroughs in understanding across all areas of science and engineering research and education. To identify which projects to support, NSF relies on a merit review process that incorporates consideration of both the technical aspects of a proposed project and its potential to contribute more broadly to advancing NSF's mission to promote the progress of science; to advance the national health, prosperity, and welfare; to secure the national defense; and for other purposes. NSF makes every effort to conduct a fair, competitive, transparent merit review process for the selection of projects.

1. Merit Review Principles

These principles are to be given due diligence by PIs and organizations when preparing proposals and managing projects, by reviewers when reading and evaluating proposals, and by NSF program staff when determining whether or not to recommend proposals for funding and while overseeing awards. Given that NSF is the primary federal agency charged with nurturing and supporting excellence in basic research and education, the following three principles apply:

All NSF projects should be of the highest quality and have the potential to advance, if not transform, the frontiers of knowledge.

NSF projects, in the aggregate, should contribute more broadly to achieving societal goals. These broader impacts may be accomplished through the

research itself, through activities that are directly related to specific research projects, or through activities that are supported by, but are complementary to, the project. The project activities may be based on previously established and/or innovative methods and approaches, but in either case must be well justified.

Meaningful assessment and evaluation of NSF funded projects should be based on appropriate metrics, keeping in mind the likely correlation between the effect of broader impacts and the resources provided to implement projects. If the size of the activity is limited, evaluation of that activity in isolation is not likely to be meaningful. Thus, assessing the effectiveness of these activities may best be done at a higher, more aggregated, level than the individual project. With respect to the third principle, even if assessment of Broader Impacts outcomes for particular projects is done at an aggregated level, PIs are expected to be accountable for carrying out the activities described in the funded project. Thus, individual projects should include clearly stated goals, specific descriptions of the activities that the PI intends to do, and a plan in place to document the outputs of those activities.

These three merit review principles provide the basis for the merit review criteria, as well as a context within which the users of the criteria can better understand their intent.

2. Merit Review Criteria

All NSF proposals are evaluated through use of two National Science Board approved merit review criteria. In some instances, however, NSF will employ additional criteria as required to highlight the specific objectives of certain programs and activities.

The two merit review criteria are listed below. Both criteria are to be given full consideration during the review and decision-making processes; each criterion is necessary but neither, by itself, is sufficient. Therefore, proposers must fully address both criteria. (GPG Chapter I.I.C.2.d.(i) contains additional information for use by proposers in development of the Project Description section of the proposal.) Reviewers are strongly encouraged to review the criteria, including GPG Chapter I.I.C.2.d.(i), prior to the review of a proposal.

When evaluating NSF proposals, reviewers will be asked to consider what the proposers want to do, why they want to do it, how they plan to do it, how they will know if they succeed, and what benefits could accrue if the project is successful. These issues apply both to the technical aspects of the proposal and the way in which the project may make broader contributions. To that end, reviewers will be asked to evaluate all proposals against two criteria:

Intellectual Merit: The Intellectual Merit criterion encompasses the potential to advance knowledge; and

Broader Impacts: The Broader Impacts criterion encompasses the potential to benefit society and contribute to the achievement of specific, desired societal outcomes.

The following elements should be considered in the review for both criteria:

1. What is the potential for the proposed activity to:
 - a. Advance knowledge and understanding within its own field or across different fields (Intellectual Merit); and
 - b. Benefit society or advance desired societal outcomes (Broader Impacts)?
2. To what extent do the proposed activities suggest and explore creative, original, or potentially transformative concepts?
3. Is the plan for carrying out the proposed activities well-reasoned, well-organized, and based on a sound rationale? Does the plan incorporate a mechanism to assess success?
4. How well qualified is the individual, team, or organization to conduct the proposed activities?
5. Are there adequate resources available to the PI (either at the home organization or through collaborations) to carry out the proposed activities?.

47.070 COMPUTER AND INFORMATION SCIENCE AND ENGINEERING

(CISE)

FEDERAL AGENCY:

National Science Foundation

AUTHORIZATION:

National Science Foundation Act of 1950, as amended, 42 U.S.C. 1861 et seq.

OBJECTIVES:

To support investigator-initiated research in all areas of computer science and engineering and related fields and contribute to the education and training of

future generations of computing professionals, ensuring a supply of qualified technical personnel commensurate with national needs.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grant funds may be used to pay costs of conducting research, and obtaining access to advanced computing and networking capabilities, salaries and wages, equipment and supplies, travel, publication costs, other direct costs, and indirect costs. Primary responsibility for general supervision of all grant activities rests with the grantee institution; the principal investigator is responsible for the scientific work. Funds may not be used for purposes other than those specified in the proposal.

Applicant Eligibility:

Except where a program solicitation establishes more restrictive eligibility criteria, individuals and organizations in the following categories may submit proposals: Universities and colleges; Non-profit, non-academic organizations; For-profit organizations; State and local governments; and unaffiliated individuals. See the NSF Grant Proposal Guide, Chapter I.E., for a full description of eligibility requirements:

http://www.nsf.gov/publications/pub_summ.jsp?ods_key=ggp.

Beneficiary Eligibility:

Not applicable.

Credentials/Documentation:

Proposals must be signed electronically by an official authorized to commit the institution or organization in business and financial affairs and who can commit the organization to certain proposal certifications. Costs will be determined in accordance with OMB Circular Nos. A-21 (2 CFR Part 220) for educational institutions, No. A-87 (2 CFR Part 225) for State and local governments, and A-122 (2 CFR Part 230) for nonprofit organizations. Applicants for fellowship support must show evidence of ability such as academic records, letters of recommendation, graduate record examination scores, and grade point average. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination may be required. Environmental impact information may be required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. By electronic submission via FastLane or Grants.gov of a formal proposal, and in some programs, a preliminary proposal, describing the planned project and the proposed amount of the grant. For guidelines, see specific funding opportunities and the Grant Proposal Guide:

http://www.nsf.gov/publications/pub_summ.jsp?ods_key=ggp.

Award Procedure:

NSF staff members review and evaluate all proposals based on a set of criteria established by the National Science Board and, if applicable, solicitation-specific review criteria. In most cases reviews are undertaken with the advice of scientists, engineers, educators, and other appropriate persons who are specialists in the fields covered by the proposals. NSF makes awards on a competitive basis. Notification of an award is transmitted electronically to the submitting organization by a NSF Grants and Agreements Officer in the Division of Grants and Agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application

deadlines.

Range of Approval/Disapproval Time:

After scientific, technical and programmatic review and consideration of appropriate factors, the NSF Program Officer recommends to the cognizant Division Director whether the proposal should be declined or recommended for award. NSF is striving to be able to tell applicants whether their proposals have been declined or recommended for funding within six months. The time interval begins on the deadline or target date, or receipt date, whichever is later. The interval ends when the Division Director accepts the Program Officer's recommendation.

Appeals:

The principal investigator may request, in writing, within 90 days of a declination or return, that NSF reconsider its action in declining or returning any proposal application.

Renewals:

A renewal proposal competes with all other proposals and must be developed fully as though the proposer is applying for the first time. Renewal proposals must be submitted at least six months before additional funding is required or consistent with an established deadline, target date or submission window. Principal investigators are encouraged to discuss renewal proposals with the NSF Program Officer prior to submission.

Formula and Matching Requirements:

This program has no statutory formula. This program has no matching requirements. Mandatory cost sharing will only be required for NSF programs when explicitly authorized by the NSF Director, the National Science Board, or legislation. In those rare instances, cost sharing requirements will be clearly identified in the program solicitation. Inclusion of voluntary, committed cost sharing is prohibited. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Award durations of up to 5 years. Method of awarding assistance: Standard or continuing grant, fellowship, or cooperative agreement. . Method of awarding/releasing assistance: lump sum.

Reports:

No program reports are required. No cash reports are required. Unless otherwise specified in the award, Annual Project Reports should be submitted at least 90 days prior to the end of the current budget period. Within 90 days following the expiration of the award, a Final Project Report and a Project Outcomes Report for the General Public must be submitted. Grantees are required to report the status of funds received from NSF on a quarterly basis through the submission of a Federal Financial Report (FFR). Information about reporting requirements is contained in the Award and Administration Guide: http://www.nsf.gov/publications/pub_summ.jsp?ods_key=aag. Grantees are required to report the status of funds received from NSF on a quarterly basis through the submission of a Federal Financial Report (FFR). Information about reporting requirements is contained in the Award and Administration Guide: http://www.nsf.gov/publications/pub_summ.jsp?ods_key=aag. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records, supporting documents, statistical records, and other records pertinent to a grant must be retained by the grantee for a period of 3 years from submission of the Final Project Report. Special record keeping requirements may apply to fellowships.

Account Identification:

49-0100-0-1-251.

Obligations:

(Project Grants) FY 12 Not Separately Identifiable(Exp: CISE and OCI have been merged an); FY 13 est \$858,530,000; and FY 14 est \$950,250,000 - 1) FY 2012 Obligations are the FY 2011 Appropriations Actuals; 2) FY 2013 Obligations estimates are the FY 2013 NSF Current Plan; and 3) FY 2014 Obligations estimates are the FY 2014 NSF Congressional Request.

Range and Average of Financial Assistance:

Range Low \$5,000
Range High \$10,000,000
Average \$210,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Actual:
In Fiscal Year 2012, 5,996 proposals were received, and 1,378 awards made.
Fiscal Year 2013: Projection:
In Fiscal Year 2013, approximately 7,700 proposals are expected to be received and about 1,550 awards made. Fiscal Year 2014: Projection:
In Fiscal Year 2014, approximately 8,900 proposals are expected to be received, and 2,100 awards will be made.

REGULATIONS, GUIDELINES, AND LITERATURE:

NSF Website: www.nsf.gov; 48 CFR Chapter 25; 45 CFR Chapter VI; Proposal and Award Policies and Procedures Guide (http://www.nsf.gov/publications/pub_summ.jsp?ods_key=papp), which incorporates the Grant Proposal Guide (GPG) and Award & Administration Guide (AAG).

Regional or Local Office:

None.

Headquarters Office:

Carmen Whitson 4201 Wilson Blvd., Stafford I - Suite 1105, Arlington, Virginia 22230 Email: cwhitson@nsf.gov Phone: 7032928900 Fax: 7032929074

Website Address:

<http://nsf.gov/dir/index.jsp?org=cise>

RELATED PROGRAMS:

47.041 Engineering Grants; 47.049 Mathematical and Physical Sciences; 47.050 Geosciences; 47.074 Biological Sciences; 47.075 Social, Behavioral, and Economic Sciences; 47.076 Education and Human Resources; 47.078 Polar Programs; 47.079 International Science and Engineering (OISE); 47.080 Office of Cyberinfrastructure; 47.081 Office of Experimental Program to Stimulate Competitive Research

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Proposals received by NSF are assigned to the appropriate NSF program for acknowledgement and, if they meet NSF requirements, for review. All proposals are carefully reviewed by a scientist, engineer, or educator serving as an NSF Program Officer, and usually by three to ten other persons outside NSF either as ad hoc reviewers, panelists, or both, who are experts in the particular fields represented by the proposal. Proposers are invited to suggest names of persons they believe are especially well qualified to review the proposal and/or persons they would prefer not review the proposal. These suggestions may serve as one source in the reviewer selection process at the Program Officer's discretion. In addition, Program Officers may obtain comments from site visits before recommending final action on proposals. Senior NSF staff further review recommendations for awards. A flowchart that depicts the entire NSF proposal and award process (and associated timeline) is included as GPG Exhibit III-1. A comprehensive description of the Foundations merit review process is available on the NSF website at: <http://www.nsf.gov/bfa/dias/policy/meritreview/>. Proposal review is one step in the NSF program planning and implementation process. Embedded in this process are core strategies that are fundamental to the fulfillment of NSF's mission. More information about NSF's mission and strategies can be found in Empowering the Nation Through Discovery and Innovation: NSF Strategic Plan for Fiscal Years (FY) 2011-2016. NSF's mission is particularly well-implemented through the integration of research and education and broadening participation in NSF programs, projects, and

activities.

A. Merit Review Principles and Criteria

The National Science Foundation strives to invest in a robust and diverse portfolio of projects that creates new knowledge and enables breakthroughs in understanding across all areas of science and engineering research and education. To identify which projects to support, NSF relies on a merit review process that incorporates consideration of both the technical aspects of a proposed project and its potential to contribute more broadly to advancing NSF's mission to promote the progress of science; to advance the national health, prosperity, and welfare; to secure the national defense; and for other purposes. NSF makes every effort to conduct a fair, competitive, transparent merit review process for the selection of projects.

1. Merit Review Principles

These principles are to be given due diligence by PIs and organizations when preparing proposals and managing projects, by reviewers when reading and evaluating proposals, and by NSF program staff when determining whether or not to recommend proposals for funding and while overseeing awards. Given that NSF is the primary federal agency charged with nurturing and supporting excellence in basic research and education, the following three principles apply:

All NSF projects should be of the highest quality and have the potential to advance, if not transform, the frontiers of knowledge.

NSF projects, in the aggregate, should contribute more broadly to achieving societal goals. These broader impacts may be accomplished through the research itself, through activities that are directly related to specific research projects, or through activities that are supported by, but are complementary to, the project. The project activities may be based on previously established and/or innovative methods and approaches, but in either case must be well justified.

Meaningful assessment and evaluation of NSF funded projects should be based on appropriate metrics, keeping in mind the likely correlation between the effect of broader impacts and the resources provided to implement projects. If the size of the activity is limited, evaluation of that activity in isolation is not likely to be meaningful. Thus, assessing the effectiveness of these activities may best be done at a higher, more aggregated, level than the individual project. With respect to the third principle, even if assessment of Broader Impacts outcomes for particular projects is done at an aggregated level, PIs are expected to be accountable for carrying out the activities described in the funded project. Thus, individual projects should include clearly stated goals, specific descriptions of the activities that the PI intends to do, and a plan in place to document the outputs of those activities.

These three merit review principles provide the basis for the merit review criteria, as well as a context within which the users of the criteria can better understand their intent.

2. Merit Review Criteria

All NSF proposals are evaluated through use of two National Science Board approved merit review criteria. In some instances, however, NSF will employ additional criteria as required to highlight the specific objectives of certain programs and activities.

The two merit review criteria are listed below. Both criteria are to be given full consideration during the review and decision-making processes; each criterion is necessary but neither, by itself, is sufficient. Therefore, proposers must fully address both criteria. (GPG Chapter II.C.2.d.(i) contains additional information for use by proposers in development of the Project Description section of the proposal.) Reviewers are strongly encouraged to review the criteria, including GPG Chapter II.C.2.d.(i), prior to the review of a proposal.

When evaluating NSF proposals, reviewers will be asked to consider what the proposers want to do, why they want to do it, how they plan to do it, how they will know if they succeed, and what benefits could accrue if the project is successful. These issues apply both to the technical aspects of the proposal and the way in which the project may make broader contributions. To that end, reviewers will be asked to evaluate all proposals against two criteria:

Intellectual Merit: The Intellectual Merit criterion encompasses the potential to advance knowledge; and

Broader Impacts: The Broader Impacts criterion encompasses the potential to benefit society and contribute to the achievement of specific, desired societal outcomes.

The following elements should be considered in the review for both criteria:

1. What is the potential for the proposed activity to:

a. Advance knowledge and understanding within its own field or across different fields (Intellectual Merit); and

b. Benefit society or advance desired societal outcomes (Broader Impacts)?

2. To what extent do the proposed activities suggest and explore creative, original, or potentially transformative concepts?

3. Is the plan for carrying out the proposed activities well-reasoned, well-organized, and based on a sound rationale? Does the plan incorporate a mechanism to assess success?

4. How well qualified is the individual, team, or organization to conduct the proposed activities?

5. Are there adequate resources available to the PI (either at the home organization or through collaborations) to carry out the proposed activities?.

47.078 POLAR PROGRAMS

(OPP)

FEDERAL AGENCY:

National Science Foundation

AUTHORIZATION:

National Science Foundation Act of 1950, as amended, 42 U.S.C. 1861 et seq.

OBJECTIVES:

Due to an NSF realignment, activities funded by the Polar program are reported within the Geosciences Program, CFDA number 47.050, effective 05/20/2013.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grant funds may be used for paying costs necessary to conduct research or studies such as salaries and wages, permanent equipment and supplies, computer services, travel, publication costs, and other direct and indirect costs. Primary responsibility for general supervision of all grant activities rests with the grantee institution; the principal investigator is responsible for the scientific work. Funds may not be used for purposes other than those specified in the proposal.

Applicant Eligibility:

Except where a program solicitation establishes more restrictive eligibility criteria, individuals and organizations in the following categories may submit proposals: Universities and colleges; Non-profit, non-academic organizations; For-profit organizations; State and local governments; and unaffiliated individuals. See the NSF Grant Proposal Guide Chapter I.E. for a full description of eligibility requirements: <http://www.nsf.gov/publications/pubsumm.jsp?odskey=gpg>.

Beneficiary Eligibility:

Not applicable.

Credentials/Documentation:

Proposals must be signed electronically by an official authorized to commit the institution or organization in business and financial affairs and who can commit the organization to certain proposal certifications. Costs will be determined in accordance with OMB Circular No. A-21 (2 CFR Part 220) for educational institutions. No. A-87 (2 CFR Part 225) for State and local governments, and A-122 (2 CFR Part 230) for nonprofit organizations. Applicants for fellowship support must show evidence of ability such as academic records, letters of recommendation, graduate record examination scores, and grade point average. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination may be required. Environmental impact information may be required for this program. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. By electronic submission via FastLane or Grants.gov of a formal proposal, and in some programs, a preliminary proposal, describing the planned project and the

proposed amount of the grant. For guidelines, see specific funding opportunities and the Grant Proposal Guide:

http://www.nsf.gov/publications/pub_summ.jsp?ods_key=ggp.

Award Procedure:

NSF staff members review and evaluate all proposals based on a set of criteria established by the National Science Board and, if applicable, solicitation-specific reviews criteria. In most cases review are undertaken with the advice of scientist, engineers, educators and other appropriate persons who are specialist in the fields covered by the proposals. NSF makes awards on a competitive basis. Notification of an award is transmitted electronically to the submitting organization by a NSF Grants and Agreements Officer in the Division of Grants and Agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

After scientific, technical and programmatic review and consideration of appropriate factors, the NSF Program Officer recommends to the cognizant Division Director whether the proposal should be declined or recommended for award. NSF is striving to be able to tell applicants whether their proposals have been declined or recommended funding within six months. The time interval begins on the deadline or target date, or receipt date, whichever is later.

The interval ends when the Division Director accepts the Program Officer's recommendation.

Appeals:

The principal investigator may request, in writing, within 90 days of a declination or return, that NSF reconsider its action in declining or returning any proposal application.

Renewals:

A renewal proposal competes with all other proposals and must be developed fully as though the proposer is applying for the first time. Renewal proposals must be submitted at least six months before additional funding is required or consistent with an established deadline, target date or submission window.

Principal investigators are encouraged to discuss renewal proposals with the NSF Program Officer prior to submission.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. Mandatory cost sharing will only be required for NSF programs when explicitly authorized by the NSF Director, the National Science Board, or legislation. In those rare instances, cost sharing requirements will be clearly identified in the program solicitation. Inclusion of voluntary, committed cost sharing is prohibited.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Award duration of up to 5 years. Method of awarding assistance: Standard or continuing grant, fellowship, or cooperative agreement. Method of awarding/releasing assistance: lump sum.

Reports:

No program reports are required. No cash reports are required. Annual Project Reports should be submitted at least 90 days prior to the end of the current budget period. Within 90 days following the expiration of the award, a Final Project Report and a Project Outcomes Report for the General Public must be submitted. Grantees are required to report the status of funds received from NSF on a quarterly basis through the submission of a Federal Financial Report (FFR). Information about reporting requirements is contained in the Award and Administration Guide:

http://www.nsf.gov/publications/pub_summ.jsp?ods_key=agg. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a

year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records, supporting documents, statistical records, and other records pertinent to a grant must be retained by the grantee for a period of 3 years from submission of the Final Project Report. Special record keeping requirements may apply to fellowships.

Account Identification:

49-0551-0-1-251; 49-0100-0-1-251; 49-0100-0-1-054.

Obligations:

(Project Grants) FY 12 Not Separately Identifiable(Exp: Due to an NSF realignment, active); FY 13 est \$449,740,000; and FY 14 Not Separately Identifiable(Exp: Due to an NSF realignment, active) - 1) FY 2011 Obligations are the FY 2011 Appropriations Actuals; 2) FY 2012 Obligations estimates are the FY 2012 NSF Current Plan; and 3) FY 2013 Obligations estimates are the FY 2013 NSF Congressional Request.

Range and Average of Financial Assistance:

Range Low	\$1,000
Range High	\$41,400,000
Average	\$313,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Due to an NSF realignment, activities funded by the Polar program are reported within the Geosciences Program, CFDA number 47.050, effective 05/20/2013. Fiscal Year 2013: Due to an NSF realignment, activities funded by the Polar program are reported within the Geosciences Program, CFDA number 47.050, effective 05/20/2013. Fiscal Year 2014: Due to an NSF realignment, activities funded by the Polar program are reported within the Geosciences Program, CFDA number 47.050, effective 05/20/2013.

REGULATIONS, GUIDELINES, AND LITERATURE:

NSF Website: www.nsf.gov; 48 CFR Chapter 25; 45 CFR Chapter VI; Proposal and Award Policies and Procedures Guide (http://www.nsf.gov/publications/pub_summ.jsp?ods_key=papp), which incorporates the Grant Proposal Guide (GPG) and Award & Administration Guide (AAG).

Regional or Local Office:

None.

Headquarters Office:

Winifred Reuning 4201 Wilson Blvd, Stafford I - Ste 740, Arlington, Virginia 22230 Email: wreuning@nsf.gov Phone: 7032928033 Fax: 7032929079

Website Address:

<http://nsf.gov/dir/index.jsp?org=opp>

RELATED PROGRAMS:

11.417 Sea Grant Support; 11.430 Undersea Research; 47.041 Engineering Grants; 47.049 Mathematical and Physical Sciences; 47.050 Geosciences; 47.070 Computer and Information Science and Engineering; 47.074 Biological Sciences; 47.075 Social, Behavioral, and Economic Sciences; 47.076 Education and Human Resources; 47.079 International Science and Engineering (OISE); 47.080 Office of Cyberinfrastructure; 47.081 Office of Experimental Program to Stimulate Competitive Research

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: Award search available on the NSF website: <http://www.nsf.gov/awardsearch/>. Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Proposals received by NSF are assigned to the appropriate NSF program where they will be reviewed if they meet NSF proposals preparation requirements. All proposals are carefully reviewed by a scientist, engineer, or educator serving as an NSF Program Officer, and usually by three to ten other persons outside NSF who are experts in the particular fields represented by the proposal. These reviewers are selected by Program Officers charged with the oversight of the review process. Proposers are invited to suggest names of persons they believe are especially well qualified to review the proposal and/or

persons they would prefer not review the proposal. These suggestions may serve as one source in the reviewer selection process at the Program Officers discretion. Submission of such names, however, is optional. Care is taken to ensure that reviewers have no conflicts of interest with the proposal.

All NSF proposals are evaluated through use of the two National Science Board (NSB)-approved merit review criteria: intellectual merit and the broader impacts of the proposed effort. In some instances, however, NSF will employ additional criteria as required to highlight the specific objectives of certain programs and activities.

The two NSB-approved merit review criteria are listed below. The criteria include considerations that help define them. These considerations are suggestions and not all will apply to any given proposal. While proposers must address both merit review criteria, reviewers will be asked to address only those considerations that are relevant to the proposals being considered and for which the reviewer is qualified to make judgments. Relevant questions are: (1) What is the intellectual merit of the proposed activity?; (2) How important is the proposed activity to advancing knowledge and understanding within its own field or across different fields?; (3) How well qualified is the proposer (individual or team) to conduct the project? (If appropriate, the reviewer will comment on the quality of the prior work.); (4) To what extent does the proposed activity suggest and explore creative, original, or potentially transformative concepts; (5) How well conceived and organized is the proposed activity?; (6) Is the sufficient access to resources?; (7) What are the broader impacts of the proposed activity?; (8) How well does the activity advance discovery and understanding while promoting teaching, training, and learning?; (9) How well does the proposed activity broaden the participation of underrepresented groups (e.g., gender, ethnicity, disability, geographic, etc.)?; (10) To what extent will it enhance the infrastructure for research and education, such as facilities, instrumentation, networks, and partnerships?; (11) Will the results be disseminated broadly to enhance scientific and technological understanding?; and (12) What may be the benefits of the proposed activity to society?

NSF staff will give careful consideration to the following in making funding decisions:

Integration of Research and Education One of the principal strategies in support of NSF's goals is to foster integration of research and education through the programs, projects, and activities it supports at academic and research institutions. These institutions provide abundant opportunities where individuals may concurrently assume responsibilities as researchers, educators, and students and where all can engage in joint efforts that infuse education with the excitement of discovery and enrich research through the diversity of learning perspectives. Integrating Diversity into NSF Programs, Projects, and Activities Broadening opportunities and enabling the participation of all citizens—women and men, underrepresented minorities, and persons with disabilities—is essential to health and vitality of science and engineering. NSF is committed to this principle of diversity and deems it central to the programs, projects, and activities it considers and supports.

47.079 OFFICE OF INTERNATIONAL AND INTEGRATIVE ACTIVITIES

(OIIA)

FEDERAL AGENCY:

National Science Foundation

AUTHORIZATION:

National Science Foundation Act of 1950, as amended, 42 U.S.C. 1861 et seq.

OBJECTIVES:

The Office of International and Integrative Activities (IIA) works across disciplinary and geographic boundaries to lead and coordinate strategic programs and opportunities that: advance research excellence and innovation; develop human and infrastructure capacity critical to the U.S. science and engineering enterprise; and promote global engagement of scientists and engineers at all career stages. As a change agent, IIA catalyzes and incubates initiatives that capitalize on new interdisciplinary scientific and engineering

concepts and generate technology-based solutions for economic and societal benefit. IIA strengthens the nation as a knowledge-based society by fostering the development of a diverse, globally engaged next generation of research leaders. Additionally, IIA administers prestigious honorary award programs and professional internships for aspiring scientists and engineers. IIA provides policy and programmatic analytical support to the NSF Director and Deputy Director and working in partnership with NSF directorates and offices, plays a leadership role in shaping agency-wide policies and new strategic directions that promote cross-Foundational programmatic and operational unity and alignment.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

International cooperative scientific activities provide funds for U.S. scientists and engineers to carry out studies abroad, to conduct research, to engage in joint research projects with foreign counterpart organizations, and to support international workshops focused on well-defined scientific opportunities in the United States and abroad; travel must be on U.S. flag carriers. In some programs, support is provided by paying costs necessary to conduct research, such as salaries, equipment, supplies, travel, publications, and other direct and indirect costs. Primary responsibility for general supervision of all grant activities rests with the grantee institution; the project director or principal investigator is responsible for the execution of the research activities. Grants are made on a competitive basis. For all programs, funds may not be used for purposes other than those specified in the proposal. EPSCoR Funds may be used to pay the costs of conducting research, product development, resources, tools and services, as salaries and wages, equipment and supplies, travel, publication costs, other direct costs, and indirect costs. Primary responsibility for general supervision of all grant activities rests with the grantee institution. Funds may not be used for purposes other than those specified in the proposal.

Applicant Eligibility:

For non-EPSCoR grants, except where a program solicitation establishes more restrictive eligibility criteria, individuals and organizations in the following categories may submit proposals: Universities and colleges; Non-profit, non-academic organizations; For-profit organizations; State and local governments; and unaffiliated individuals. See the NSF Grant Proposal Guide, Chapter I.E., for a full description of eligibility requirements: http://www.nsf.gov/publications/pub_summ.jsp?ods_key=ggp.

EPSCoR eligibility is based on two primary considerations: (1) a jurisdiction's demonstrated commitment to develop its research bases and to improve the quality of science, technology, engineering, and mathematics (STEM) research conducted at its universities and colleges, and (2) a jurisdiction's most recent three-year history of research funds awarded by NSF relative to the Foundations total research budget for that same period. Regarding the second consideration, a jurisdiction is eligible to participate in EPSCoR programs if its level of research support is equal to or less than 0.75 percent of the total NSF research budget for that same period. Adjustments are made in the rare instances where a single large NSF-funded national or international facility skews the data.

Beneficiary Eligibility:

Not applicable.

Credentials/Documentation:

Proposals must be signed electronically by an official authorized to commit the institution or organization in business and financial affairs and who can commit the organization to certain proposal certifications. Costs will be determined in accordance with OMB Circular Nos. A-21 (2 CFR Part 220) for educational institutions, No. A-87 (2 CFR Part 225) for State and local governments, and A-122 (2 CFR Part 230) for nonprofit organizations.

Applicants for non-EPSCoR fellowship support must show evidence of ability such as academic records, letters of recommendation, graduate record examination scores, and grade point average. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Pre-application coordination may be required. Environmental impact information may be required for this program. This program is eligible for

coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. By electronic submission via FastLane or Grants.gov of a formal proposal, and in some programs, a preliminary proposal, describing the planned project and the proposed amount of the grant. For guidelines, see specific funding opportunities and the Grant Proposal Guide: http://www.nsf.gov/publications/pub_summ.jsp?ods key=gpg.

Award Procedure:

NSF staff members review and evaluate all proposals based on a set of criteria established by the National Science Board and, if applicable, solicitation-specific review criteria. In most cases reviews are undertaken with the advice of scientist, engineers, educators and other appropriate persons who are specialist in the fields covered by the proposals. NSF makes awards on a competitive basis. Notification of an award is transmitted electronically to the submitting organization by a NSF Grants and Agreements Officer in the Division of Grants and Agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

After scientific, technical and programmatic review and consideration of appropriate factors, the NSF Program Officer recommends to the cognizant Division Director whether the proposal should be declined or recommended for award. NSF is striving to be able to tell applicants whether their proposals have been declined or recommended for funding within six months. The time interval begins on the deadline or target date, or receipt date, whichever is later. The interval ends when the Division Director accepts the Program Officer's recommendation.

Appeals:

The principal investigator may request, in writing, within 90 days of a declination or return, that NSF reconsider its action in declining or returning any proposal application.

Renewals:

A renewal proposal competes with all other proposals and must be developed fully as though the proposer is applying for the first time. Renewal proposals must be submitted at least six months before additional funding is required or consistent with an established deadline, target date or submission window. Principal investigators are encouraged to discuss renewal proposals with the NSF Program Officer prior to submission.

Formula and Matching Requirements:

This program has no statutory formula. This program has no matching requirements. Mandatory cost sharing will only be required for NSF programs when explicitly authorized by the NSF Director, the National Science Board, or legislation. In those rare instances, cost sharing requirements will be clearly identified in the pgram solicitation. Inclusion of voluntary, committed cost sharing is prohibited. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Award durations of up to 5 years. Method of awarding assistance: Standard or continuing grant, fellowship, or cooperative agreement. Please note: EPSCoR assistance is limited to continuing grants and cooperative agreements. Method of awarding/releasing assistance: lump sum. Method of awarding/releasing assistance: lump sum.

Reports:

No program reports are required. No cash reports are required. Annual Project Reports should be submitted at least 90 days prior to the end of the current budget period. Within 90 days following the expiration of the award, a Final Project Report and a Project Outcomes Report for the General Public must be submitted. Grantees are required to report the status of funds received from NSF on a quarterly basis through the submission of a Federal Financial Report (FFR). Information about reporting requirements is contained in the Award and Administration Guide: http://www.nsf.gov/publications/pub_summ.jsp?ods key=aag. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year.

Account Identification:

49-0100-0-1-251.

Obligations:

(Project Grants) FY 12 \$398,600,000; FY 13 est \$482,800,000; and FY 14 est \$536,620,000 - 1) FY 2013 Obligation estimates are the FY 2013 NSF Request. 2) FY 2014 Obligations estimates are the FY 2014 NSF Congressional Request.

Range and Average of Financial Assistance:

Range Low	\$840
Range High	\$20,170,800
Average	\$102,500.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

NSF Website: www.nsf.gov; 48 CFR Chapter 25; 45 CFR Chapter VI; Proposal and Award Policies and Procedures Guide: (<http://www.nsf.gov/publications/pubsumm.jsp?ods key=papp>), which incorporates the Grant Proposal Guide (GPG) and Award & Administration Guide (AAG).

Regional or Local Office:

None.

Headquarters Office:

Simona Gilbert 4201 Wilson Blvd., Stafford I, Ste 935, Arlington, Virginia 22230 Email: sgilbert@nsf.gov Phone: 703-292-7216 Fax: 703-292-9040

Website Address:

<http://nsf.gov/dir/index.jsp?org=oise>

RELATED PROGRAMS:

47.041 Engineering Grants; 47.049 Mathematical and Physical Sciences; 47.050 Geosciences; 47.070 Computer and Information Science and Engineering; 47.074 Biological Sciences; 47.075 Social, Behavioral, and Economic Sciences; 47.076 Education and Human Resources; 47.078 Polar Programs; 47.080 Office of Cyberinfrastructure; 47.081 Office of Experimental Program to Stimulate Competitive Research

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: Award search available on the NSF website: <http://www.nsf.gov/awardsearch/>. Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Proposals received by NSF are assigned to the appropriate NSF program for

acknowledgement and, if they meet NSF requirements, for review. All proposals are carefully reviewed by a scientist, engineer, or educator serving as an NSF Program Officer, and usually by three to ten other persons outside NSF either as ad hoc reviewers, panelists, or both, who are experts in the particular fields represented by the proposal. Proposers are invited to suggest names of persons they believe are especially well qualified to review the proposal and/or persons they would prefer not review the proposal. These suggestions may serve as one source in the reviewer selection process at the Program Officer's discretion. In addition, Program Officers may obtain comments from site visits before recommending final action on proposals. Senior NSF staff further review recommendations for awards. A flowchart that depicts the entire NSF proposal and award process (and associated timeline) is included as GPG Exhibit III-1. A comprehensive description of the Foundations merit review process is available on the NSF website at:

<http://www.nsf.gov/bfa/dias/policy/meritreview/>. Proposal review is one step in the NSF program planning and implementation process. Embedded in this process are core strategies that are fundamental to the fulfillment of NSF's mission. More information about NSF's mission and strategies can be found in *Empowering the Nation Through Discovery and Innovation: NSF Strategic Plan for Fiscal Years (FY) 2011-2016*. NSF's mission is particularly well-implemented through the integration of research and education and broadening participation in NSF programs, projects, and activities. A. Merit Review Principles and Criteria; The National Science Foundation strives to invest in a robust and diverse portfolio of projects that creates new knowledge and enables breakthroughs in understanding across all areas of science and engineering research and education. To identify which projects to support, NSF relies on a merit review process that incorporates consideration of both the technical aspects of a proposed project and its potential to contribute more broadly to advancing NSF's mission to promote the progress of science; to advance the national health, prosperity, and welfare; to secure the national defense; and for other purposes. NSF makes every effort to conduct a fair, competitive, transparent merit review process for the selection of projects. 1. Merit Review Principles; These principles are to be given due diligence by PIs and organizations when preparing proposals and managing projects, by reviewers when reading and evaluating proposals, and by NSF program staff when determining whether or not to recommend proposals for funding and while overseeing awards. Given that NSF is the primary federal agency charged with nurturing and supporting excellence in basic research and education, the following three principles apply: All NSF projects should be of the highest quality and have the potential to advance, if not transform, the frontiers of knowledge. NSF projects, in the aggregate, should contribute more broadly to achieving societal goals. These broader impacts may be accomplished through the research itself, through activities that are directly related to specific research projects, or through activities that are supported by, but are complementary to, the project. The project activities may be based on previously established and/or innovative methods and approaches, but in either case must be well justified. Meaningful assessment and evaluation of NSF funded projects should be based on appropriate metrics, keeping in mind the likely correlation between the effect of broader impacts and the resources provided to implement projects. If the size of the activity is limited, evaluation of that activity in isolation is not likely to be meaningful. Thus, assessing the effectiveness of these activities may best be done at a higher, more aggregated, level than the individual project.

With respect to the third principle, even if assessment of Broader Impacts outcomes for particular projects is done at an aggregated level, PIs are expected to be accountable for carrying out the activities described in the funded project. Thus, individual projects should include clearly stated goals, specific descriptions of the activities that the PI intends to do, and a plan in place to document the outputs of those activities. These three merit review principles provide the basis for the merit review criteria, as well as a context within which the users of the criteria can better understand their intent.

2. Merit Review Criteria. All NSF proposals are evaluated through use of two National Science Board approved merit review criteria. In some instances, however, NSF will employ additional criteria as required to highlight the specific objectives of certain programs and activities. The two merit review criteria are listed below. Both criteria are to be given full consideration during the review and decision-making processes; each criterion is necessary but neither, by itself, is sufficient. Therefore, proposers must fully address both criteria. (GPG Chapter II.C.2.d.(i) contains additional information for use by

proposers in development of the Project Description section of the proposal.) Reviewers are strongly encouraged to review the criteria, including GPG Chapter II.C.2.d.(i), prior to the review of a proposal.

When evaluating NSF proposals, reviewers will be asked to consider what the proposers want to do, why they want to do it, how they plan to do it, how they will know if they succeed, and what benefits could accrue if the project is successful. These issues apply both to the technical aspects of the proposal and the way in which the project may make broader contributions. To that end, reviewers will be asked to evaluate all proposals against two criteria:

Intellectual Merit: The Intellectual Merit criterion encompasses the potential to advance knowledge; and Broader Impacts: The Broader Impacts criterion encompasses the potential to benefit society and contribute to the achievement of specific, desired societal outcomes.

The following elements should be considered in the review for both criteria:

1. What is the potential for the proposed activity to: a. Advance knowledge and understanding within its own field or across different fields (Intellectual Merit); and b. Benefit society or advance desired societal outcomes (Broader Impacts)?
2. To what extent do the proposed activities suggest and explore creative, original, or potentially transformative concepts?
3. Is the plan for carrying out the proposed activities well-reasoned, well-organized, and based on a sound rationale? Does the plan incorporate a mechanism to assess success?
4. How well qualified is the individual, team, or organization to conduct the proposed activities?
5. Are there adequate resources available to the PI (either at the home organization or through collaborations) to carry out the proposed activities?.

47.080 OFFICE OF CYBERINFRASTRUCTURE (OCI)

FEDERAL AGENCY:

National Science Foundation

AUTHORIZATION:

National Science Foundation Act of 1950, as amended, 42 U.S.C. 1861 et seq.

OBJECTIVES:

Due to an NSF realignment, activities funded by the Office of Cyber-Infrastructure program are reported within the Computer and Information Science Engineering, CFDA number 47.070, effective 05/20/2013.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grant funds may be used to pay costs of conducting research, product development, resources, tools and services, as salaries and wages, equipment and supplies, travel, publication costs, other direct costs, and indirect costs. Primary responsibility for general supervision of all grant activities rests with the grantee institution: the principal investigator is responsible for the scientific work. Funds may not be used for purposes other than those specified in the proposal.

Applicant Eligibility:

Except where a program solicitation establishes more restrictive eligibility criteria, individuals and organizations in the following categories may submit proposals: Universities and colleges; Non-profit, non-academic organizations; For-profit organizations; State and local governments; and unaffiliated individuals. See the NSF Grant Proposal Guide Chapter I.E. for a full description of eligibility requirements:

<http://www.nsf.gov/publications/pubsumm.jsp?odskey=pgg>.

Beneficiary Eligibility:

Not applicable.

Credentials/Documentation:

Proposals must be signed electronically by an official authorized to commit the institution or organization in business and financial affairs and who can commit the organization to certain proposal certifications. Costs will be determined in accordance with OMB Circular Nos. A-21 (2 CFR Part 220) for educational institutions, A-87 (2 CFR Part 225) for State and local governments, and A-122 (2 CFR Part 230) for nonprofit organizations. Applicants for fellowship support must show evidence of ability such as academic records, letters of recommendation, graduate record examination scores, and grade point average.

OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination may be required. Environmental impact information may be required for this program. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. By electronic submission via FastLane.gov or Grants.gov or a formal proposal, and in some programs, a preliminary proposal, describing the planned project and the proposed amount of the grant. For guidelines, see specific funding opportunities and the Grant Proposal Guide: http://www.nsf.gov/publications/pub_summ.jsp?ods_key=gpg.

Award Procedure:

NSF staff members review and evaluate all proposals based on a set of criteria established by the National Science Board and, if applicable, solicitation-specific review criteria. In most cases reviews are undertaken with the advice of scientist, engineers, educators and other appropriate persons who are specialist in the fields covered by the proposals. NSF makes awards on a competitive basis. Notification of an award is transmitted electronically to the submitting organization by a NSF Grants and Agreements Officer in the Division of Grants and Agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

After scientific, technical and programmatic review and consideration factors, the NSF Program Officer recommends to the cognizant Division Director whether the proposal should be declined or recommended for award. NSF is striving to be able to tell applicants whether their proposals have been declined or recommended for funding within six months. The time interval begins on the deadline or target date, or receipt date, whichever is later. The interval ends when the Division Director accepts the Program Officer's recommendation.

Appeals:

The principal Investigator may request, in writing, within 90 days of a declination or return, that NSF reconsider its action in declining or returning any proposal application.

Renewals:

A renewal proposal competes with all other proposals and must be developed fully as though the proposer is applying for the first time. Renewal proposals must be submitted at least six months before additional funding is required or consistent with an established deadline, target date or submission window. Principal investigators are encouraged to discuss renewal proposals with the NSF Program Officer prior to submission.

Formula and Matching Requirements:

This program has no statutory formula. This program has no matching requirements. Mandatory cost sharing will only be required for NSF programs when explicitly authorized by the NSF Director, the National Science Board, or legislation. In those rare instances, cost sharing requirements will be clearly identified in the program solicitation. Inclusion of voluntary, committed cost sharing is prohibited. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Award durations of up to 5 years. Method of awarding assistance: Standard or continuing grant, fellowship, or cooperative agreement. Method of awarding/releasing assistance: lump sum.

Reports:

No program reports are required. No cash reports are required. Annual Project Reports should be submitted at least 90 days prior to the end of the current budget period. Within 90 days following the expiration of the award, a Final

Project Report and a Project Outcomes Report for the General Public must be submitted. No expenditure reports are required. Grantees are required to report the status of funds received from NSF on a quarterly basis through the submission of a Federal Financial Report (FFR). Information about reporting requirements is contained in the Award and Administration Guide: http://www.nsf.gov/publications/pub_summ.jsp?ods_key=aag.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records, supporting documents, statistical records, and other records pertinent to a grant must be retained by the grantee for a period of 3 years from submission of the Final Project Report. Special record keeping requirements may apply to fellowships.

Account Identification:

49-0100-0-1-251.

Obligations:

(Project Grants) FY 12 Not Separately Identifiable; FY 13 est \$218,270,000; and FY 14 Not Separately Identifiable - 1) FY 2011 Obligations are the FY 2011 Appropriations Actuals; 2) FY 2012 Obligations estimates are the FY 2012 NSF Current Plan; and 3) FY 2013 Obligations estimates are the FY 2013 NSF Congressional Request.

Range and Average of Financial Assistance:

Range Low	\$13,000
Range High	\$192,781,000
Average	\$544,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Due to an NSF realignment, activities funded by the OCI program are reported within the Computer and Information Science Engineering, CFDA number 47.070, effective 05/20/2013. Fiscal Year 2013: Due to an NSF realignment, activities funded by the OCI program are reported within the Computer and Information Science Engineering, CFDA number 47.070, effective 05/20/2013. Fiscal Year 2014: Due to an NSF realignment, activities funded by the OCI program are reported within the Computer and Information Science Engineering, CFDA number 47.070, effective 05/20/2013.

REGULATIONS, GUIDELINES, AND LITERATURE:

NSF Website: www.nsf.gov; 48 CFR Chapter 25; 45 CFR Chapter VI; Proposal and Award Policies and Procedures Guide: (http://www.nsf.gov/publications/pub_summ.jsp?ods_key=papp), which incorporates the Grant Proposal Guide (GPG) and Award & Administration Guide (AAG).

Regional or Local Office:

None.

Headquarters Office:

Kristen Oberright 4201 Wilson Blvd, Stafford I, Ste 1145, Arlington, Virginia 22230 Email: koberri@nsf.gov Phone: 7032928970 Fax: 7032929060

Website Address:

<http://nsf.gov/dir/index.jsp?org=oci>

RELATED PROGRAMS:

47.041 Engineering Grants; 47.049 Mathematical and Physical Sciences; 47.050 Geosciences; 47.070 Computer and Information Science and Engineering; 47.074 Biological Sciences; 47.075 Social, Behavioral, and Economic Sciences; 47.076 Education and Human Resources; 47.078 Polar Programs; 47.079 International Science and Engineering (OISE); 47.081 Office of Experimental Program to Stimulate Competitive Research

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: Award search

available on the NSF website: <http://www.nsf.gov/awardsearch>. Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Proposals received by NSF are assigned to the appropriate NSF program where they will be reviewed if they meet NSF proposals preparation requirements. All proposals are carefully reviewed by a scientist, engineer, or educator serving as an NSF Program Officer, and usually by three to ten other persons outside NSF who are experts in the particular fields represented by the proposal. These reviewers are selected by Program Officers charged with the oversight of the review process. Proposers are invited to suggest names of persons they believe are especially well qualified to review the proposal and/or persons they would prefer not review the proposal. These suggestions may serve as one source in the reviewer selection process at the Program Officers discretion. Submission of such names, however, is optional. Care is taken to ensure that reviewers have no conflicts of interest with the proposal.

All NSF proposals are evaluated through use of the two National Science Board (NSB)-approved merit review criteria: intellectual merit and the broader impacts of the proposed effort. In some instances, however, NSF will employ additional criteria as required to highlight the specific objectives of certain programs and activities.

The two NSB-approved merit review criteria are listed below. The criteria include considerations that help define them. These considerations are suggestions and not all will apply to any given proposal. While proposers must address both merit review criteria, reviewers will be asked to address only those considerations that are relevant to the proposals being considered and for which the reviewer is qualified to make judgments. Relevant questions are: (1) What is the intellectual merit of the proposed activity?; (2) How important is the proposed activity to advancing knowledge and understanding within its own field or across different fields?; (3) How well qualified is the proposer (individual or team) to conduct the project? (If appropriate, the reviewer will comment on the quality of the prior work.); (4) To what extent does the proposed activity suggest and explore creative, original, or potentially transformative concepts; (5) How well conceived and organized is the proposed activity?; (6) Is the sufficient access to resources?; (7) What are the broader impacts of the proposed activity?; (8) How well does the activity advance discovery and understanding while promoting teaching, training, and learning?; (9) How well does the proposed activity broaden the participation of underrepresented groups (e.g., gender, ethnicity, disability, geographic, etc.)?; (10) To what extent will it enhance the infrastructure for research and education, such as facilities, instrumentation, networks, and partnerships?; (11) Will the results be disseminated broadly to enhance scientific and technological understanding?, and (12) What may be the benefits of the proposed activity to society?

NSF staff will give careful consideration to the following in making funding decisions:

Integration of Research and Education One of the principal strategies in support of NSF's goals is to foster integration of research and education through the programs, projects, and activities it supports at academic and research institutions. These institutions provide abundant opportunities where individuals may concurrently assume responsibilities as researchers, educators, and students and where all can engage in joint efforts that infuse education with the excitement of discovery and enrich research through the diversity of learning perspectives. Integrating Diversity into NSF Programs, Projects, and Activities Broadening opportunities and enabling the participation of all citizens women and men, underrepresented minorities, and persons with disabilities is essential to health and vitality of science and engineering. NSF is committed to this principle of diversity and deems it central to the programs, projects, and activities it considers and supports.

47.081 OFFICE OF EXPERIMENTAL PROGRAM TO STIMULATE COMPETITIVE RESEARCH

(EPSCoR)

FEDERAL AGENCY:

National Science Foundation

AUTHORIZATION:

National Science Foundation Act of 1950, as amended, 42 U.S.C. 1861 et seq.

OBJECTIVES:

Due to an NSF realignment, activities funded by the EPSCoR program are reported within the Office of Internations and Integrative Activities, CFDA number 47.079, effective 05/20/2013.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds may be used to pay the costs of conducting research, product development, resources, tools and services, as salaries and wages, equipment and supplies, travel, publication costs, other direct costs, and indirect costs. Primary responsibility for general supervision of all grant activities rests with the grantee institution. Funds may be used for purposes other than those specified in the proposal.

Applicant Eligibility:

EPSCoR eligibility is based on two primary considerations: (1) a jurisdiction's demonstrated commitment to develop its research bases and to improve the quality of science, technology, engineering, and mathematics (STEM) research conducted at its universities and colleges, and (2) a jurisdiction's most recent three-year history of research funds awarded by NSF relative to the Foundation's total research budget for the same period.

Regarding the second consideration, a jurisdiction is eligible to participate in EPSCoR programs if its level of research support is equal to or less than 0.75 percent of the total NSF research budget for that same period. Adjustments are made in the rare instances where a single large NSF-funded national or international facility skews the data.

Beneficiary Eligibility:

Not applicable.

Credentials/Documentation:

Proposals must be signed electronically by an official authorized to commit the institution or organization in business and financial affairs and who can commit the organization to certain proposal certifications. Costs will be determined in accordance with OMB Circular Nos. A-21 (2 CFR Part 220) for educational institutions, A-87 (2CFR Part 225) for State and local government, and A-122 (2 CFR Part 230) for nonprofit organizations. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination may be required. Environmental impact information may be required for this program. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. By electronic submission via FastLane or Grants.gov or a formal proposal, and in some programs, a preliminary proposal, describing the planned project and the proposed amount of the grant. For guidelines, see specific funding opportunities and the Grant Proposal Guide: http://www.nsf.gov/publications/pub_summ.jsp?ods key=gpg.

Award Procedure:

NSF staff members review and evaluate all proposals based on a set of criteria established by the National Science Board and, if applicable, solicitation-specific review criteria. In most cases reviews are undertaken with the advice of scientist, engineers, educators and other appropriate persons who are specialist in the fields covered by the proposals. NSF makes awards on a competitive basis. Notification of an award is transmitted electronically to the submitting organization by a NSF Grants and Agreements Officer in the Division of Grants and Agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

After scientific, technical and programmatic review and consideration of appropriate factors, the NSF Program Officer recommends to the cognizant Division Director whether the proposal should be declined or recommended for award. NSF is striving to be able to tell applicants whether their proposals have been declined or recommended for funding within six months. The time interval begins on the deadline or target date, or receipt date, whichever is later.

The time interval ends when the Division Director accepts the Program Officer's recommendation.

Appeals:

The principal investigator may request, in writing, within 90 days of a declination or return, that NSF reconsider its action in declining or returning any proposal application.

Renewals:

A renewal proposal competes with all other proposals and must be developed fully as though the proposer is applying for the first time. Renewal proposals must be submitted at least six months before additional funding is required or consistent with an established deadline, target date or submission window. Principal investigators are encouraged to discuss renewal proposals with the NSF Program Officer prior to submission.

Formula and Matching Requirements:

This program has no statutory formula. This program has no matching requirements. Mandatory cost sharing will only be required for NSF programs when explicitly authorized by the NSF Director, the National Science Board, or legislation. In those rare instances, cost sharing requirements will be clearly identified in the program solicitation. Inclusion of voluntary, committed cost sharing is prohibited. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Award durations of up to 5 years. Method of awarding assistance: Standard or continuing grant, or cooperative agreement. Method of awarding/releasing assistance: lump sum.

Reports:

No program reports are required. No cash reports are required. Annual Project Reports should be submitted at least 90 days prior to the end of the current budget period. Within 90 days following the expiration of the award, a Final Project Report and a Project Outcomes Report for the General Public must be submitted. Grantees are required to report the status of funds received from NSF on a quarterly basis through the submission of a Federal Financial Report (FFR). Information about reporting requirements is contained in the Award and Administration Guide: <http://www.nsf.gov/publications/pubsumm.jsp?odskey=aag>. Expenditure reports are required at the end of the project. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records, supporting documents, statistical records, and other records pertinent to a grant must be retained by the grantee for a period of 3 years from submission of the Final Project Report. Special record keeping requirements may apply to fellowships.

Account Identification:

49-0100-0-1-251.

Obligations:

(Project Grants) FY 12 Not Available; FY 13 Not Separately Identifiable(Exp:

program realigned to OIIA); and FY 14 Not Separately Identifiable(Exp: program realigned to OIIA) - 1) FY 2011 Obligations are the FY 2011 Appropriations Actuals; 2) FY 2012 Obligations estimates are the FY 2012 NSF Current Plan; and 3) FY 2013 Obligations are found within the Office of International and Integrative Activities.

Range and Average of Financial Assistance:

Range Low	\$100,000
Range High	\$20,000,000
Average	\$1,640,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

NSF Website: www.nsf.gov; 48 CFR Chapter 25; 45 CFR Chapter VI; Proposal and Award Policies and Procedures Guide: (<http://www.nsf.gov/publications/pubsumm.jsp?odskey=papp>), which incorporates the Grant Proposal Guide (GPG) and Award & Administration Guide (AAG).

Regional or Local Office:

None.

Headquarters Office:

Simona Gilbert 4201 Wilson Blvd., Stafford I, Ste 935, Arlington, Virginia 22230 Email: sgilbert@nsf.gov Phone: 7032927216 Fax: 7032929040

Website Address:

<http://nsf.gov/dir/index.jsp?org=oia>

RELATED PROGRAMS:

47.041 Engineering Grants; 47.049 Mathematical and Physical Sciences; 47.050 Geosciences; 47.070 Computer and Information Science and Engineering; 47.074 Biological Sciences; 47.075 Social, Behavioral, and Economic Sciences; 47.076 Education and Human Resources; 47.078 Polar Programs; 47.079 International Science and Engineering (OISE); 47.080 Office of Cyberinfrastructure

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: Award search available on the NSF website: <http://www.nsf.gov/awarsearch/>. Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Proposals received by NSF are assigned to the appropriate NSF program where they will be reviewed if they meet NSF proposals preparation requirements. All proposals are carefully reviewed by a scientist, engineer, or educator serving as an NSF Program Officer, and usually by three to ten other persons outside NSF who are experts in the particular fields represented by the proposal. These reviewers are selected by Program Officers charged with the oversight of the review process. Proposers are invited to suggest names of persons they believe are especially well qualified to review the proposal and/or persons they would prefer not review the proposal. These suggestions may serve as one source in the reviewer selection process at the Program Officers discretion. Submission of such names, however, is optional. Care is taken to ensure that reviewers have no conflicts of interest with the proposal.

All NSF proposals are evaluated through use of the two National Science Board (NSB)-approved merit review criteria: intellectual merit and the broader impacts of the proposed effort. In some instances, however, NSF will employ additional criteria as required to highlight the specific objectives of certain programs and activities.

The two NSB-approved merit review criteria are listed below. The criteria include considerations that help define them. These considerations are suggestions and not all will apply to any given proposal. While proposers must address both merit review criteria, reviewers will be asked to address only those considerations that are relevant to the proposals being considered and for which the reviewer is qualified to make judgments. Relevant questions are: (1) What is the intellectual merit of the proposed activity?; (2) How important is the proposed activity to advancing knowledge and understanding within its own

field or across different fields?; (3) How well qualified is the proposer (individual or team) to conduct the project? (If appropriate, the reviewer will comment on the quality of the prior work.); (4) To what extent does the proposed activity suggest and explore creative, original, or potentially transformative concepts; (5) How well conceived and organized is the proposed activity?; (6) Is the sufficient access to resources?; (7) What are the broader impacts of the proposed activity?; (8) How well does the activity advance discovery and understanding while promoting teaching, training, and learning?; (9) How well does the proposed activity broaden the participation of underrepresented groups (e.g., gender, ethnicity, disability, geographic, etc.)?; (10) To what extent will it enhance the infrastructure for research and education, such as facilities, instrumentation, networks, and partnerships?; (11) Will the results be disseminated broadly to enhance scientific and technological understanding?, and (12) What may be the benefits of the proposed activity to society?

NSF staff will give careful consideration to the following in making funding decisions:

Integration of Research and Education One of the principal strategies in support of NSF's goals is to foster integration of research and education through the programs, projects, and activities it supports at academic and research institutions. These institutions provide abundant opportunities where individuals may concurrently assume responsibilities as researchers, educators, and students and where all can engage in joint efforts that infuse education with the excitement of discovery and enrich research through the diversity of learning perspectives. Integrating Diversity into NSF Programs, Projects, and Activities Broadening opportunities and enabling the participation of all citizens women and men, underrepresented minorities, and persons with disabilities is essential to health and vitality of science and engineering. NSF is committed to this principle of diversity and deems it central to the programs, projects, and activities it considers and supports.

RAILROAD RETIREMENT BOARD

57.001 SOCIAL INSURANCE FOR RAILROAD WORKERS

FEDERAL AGENCY:

Railroad Retirement Board

AUTHORIZATION:

Railroad Retirement Act of 1974, Public Law 93-445, 88 Stat. 1305, 45 U.S.C. 231; Railroad Unemployment Insurance Act, Public Law 75-722, 52 Stat. 1094, 45 U.S.C. 351; American Recovery and Reinvestment Act of 2009, Title II, Part A, Section 2006, Public Law 111-005, 45 U.S.C. 352; Social Security Act of 1935, Public Law 74-271, 49 Stat. 620, 42 U.S.C. 7; Worker, Homeownership, and Business Assistance Act of 2009, Public Law 111-92, 45 U.S.C. 352; Tax Relief, Unemployment Insurance Reauthorization, and Job Creation Act of 2010, Public Law 111-312, 45 U.S.C. 352; Middle Class Tax Relief and Job Creation Act of 2012, Public Law 112-96, 45 U.S.C. 352; Temporary Payroll Tax Cut Continuation Act of 2011, Public Law 112-78, 45 U.S.C. 352; American Taxpayer Relief Act of 2012, Public Law 112-240, 45 U.S.C. 352.

OBJECTIVES:

To pay rail social security level benefits (tier I), benefits based on rail service alone (tier II), vested dual benefits, supplemental annuities, annuities based on total or occupational disability, and sickness and unemployment benefits to railroad workers and, in some cases, their families to assist with expenses of daily living.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS WITH UNRESTRICTED USE

USES AND USE RESTRICTIONS:

Under the provisions of the Railroad Retirement Act and the Railroad Unemployment Insurance Act, benefits are provided for: (1) workers who retire because of age or disability, including supplemental annuities for career railroad employees; (2) eligible spouses and divorced spouses of retired employees; (3) surviving widows, widowers, divorced spouses, children, and dependent parents of deceased employees; (4) unemployed workers; and (5) workers who are sick or injured. In addition, the Railroad Retirement Board participates in the administration of the Medicare program, which covers

railroad retirement beneficiaries on the same basis as other eligible persons. The American Recovery and Reinvestment Act also authorized extended unemployment benefits for certain individuals through December 31, 2009, and the Worker, Homeownership, and Business Assistance Act continued the authorization through December 31, 2010. The Tax Relief, Unemployment Insurance Reauthorization, and Job Creation Act continued the authorization through December 31, 2011. The Temporary Payroll Tax Cut Continuation Act of 2011 continued this authorization through February 29, 2012, and the Middle Class Tax Relief and Job Creation Act of 2012 continued it through December 31, 2012. The American Taxpayer Relief Act of 2012 continued the authorization through December 31, 2013.

Applicant Eligibility:

Under the Railroad Retirement Act, for employee, spouse and survivor benefits the employee must have had 10 or more years of railroad service or, for annuities beginning January 2002 or later, 5 years of railroad service rendered after 1995. For survivors to be eligible for benefits, the employee must also have been insured at death. Under the Railroad Unemployment Insurance Act, an employee must have earned at least \$3,325.00 in railroad wages in calendar year 2011, \$3,412.50 in calendar year 2012, and \$3,512.50 in calendar year 2013 (counting no more than \$1,330 in any month in calendar year 2011, \$1,365 in calendar year 2012, and \$1,405 in calendar year 2013) and, if a new employee, must have worked for a railroad at least 5 months in a calendar (base) year to be a qualified employee in the applicable benefit year.

Beneficiary Eligibility:

Individuals, families, pension recipients.

Credentials/Documentation:

Proof of age must be provided for retirement benefits. For spouse and survivor benefits, proof of marriage and familial relationship is required. Medical evidence is necessary for disability and sickness benefits. Registration is required in order to receive unemployment benefits. Other proofs, such as military service, must also be submitted as required by law. This program is excluded from coverage under OMB Circular No. A-87. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Application for retirement, disability, survivor, unemployment or sickness benefits should be made to any office of the Railroad Retirement Board. Individuals can call (1-877) 772-5772 for the location of the nearest office. Application for unemployment benefits can also be made on-line see www.rrb.gov.

Award Procedure:

The Office of Programs makes awards under the Railroad Retirement Act and the Railroad Unemployment Insurance Act. After review of the application is completed, the applicant will be notified by mail.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Varies depending upon complexity of claim.

Appeals:

From 30 to 60 days. Initial appeals are made to the agency's Reconsideration Section, with subsequent appeals to the Bureau of Hearings and Appeals, the Board and the U.S. Court of Appeals.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Benefits are paid on a one-time or recurring basis as long as eligibility is maintained. The death of the recipient generally terminates payment of benefits. See the following for information on how assistance is awarded/released: Section 093 (ante) provides information on the award process, with payments released on a monthly, periodic or lump sum basis. See the following for information on how assistance is awarded/released: Section 093 (ante) provides information on the award process, with payments released on a monthly, periodic or lump sum basis.

Reports:

Any change in address or eligibility requirements (such as the death of a recipient, work status, excess earnings, marital/family status, medical condition or school attendance) should be reported to the Railroad Retirement Board when it occurs. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

Not Applicable.

Records:

Not applicable.

Account Identification:

60-8011-0-7-601 - Railroad Retirement Account; 60-8051-0-7-603 - Railroad Unemployment Insurance Account; 60-0111-0-1-601 - Dual Benefits Payments Account; 60-0114-0-1-603 - Railroad Unemployment Insurance Extended Benefit Payments, Recovery Act; 60-0117-0-1-603 - Railroad Unemployment Insurance Extended Benefit Payments; 60-8010-0-7-601 - Social Security Equivalent Benefit Account.

Obligations:

(Direct Payments with Unrestricted Use) FY 12 \$11,412,000,000; FY 13 est \$11,762,000,000; and FY 14 est \$12,033,000,000 - with \$6 million in 2012, \$8 million in 2013 and \$4 million in 2014 being attributable to the RUIA portion of the Workforce, Homeownership and Business Assistance Act of 2009, as amended by the Tax Relief, Unemployment Insurance Reauthorization, and Job Creation Act of 2010, the Temporary Payroll Tax Cut Continuation Act of 2011, the Middle Class Tax Relief and Job Creation Act of 2012, and the American Taxpayer Relief Act of 2012.

Range and Average of Financial Assistance:

(1) Employee age annuities-monthly maximum \$4,607, average \$2,436; (2) employee disability-monthly maximum \$4,526, average \$2,379; (3) employee supplemental annuities-monthly maximum \$70, average \$42; (4) married spouse benefits-monthly maximum \$2,202, average \$920; (5) widows and widowers-monthly maximum \$4,403, average \$1,457; (6) widowed mothers and fathers-monthly maximum \$3,880, average \$1,729; (7) children-monthly maximum \$2,566, average \$1,005; (8) unemployment and sickness-weekly maximum for benefit year 2013-2014 \$340, expected average \$340.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: About 573,000 individuals received benefits under the Railroad Retirement Act and nearly 26,000 received unemployment and sickness benefits. Fiscal Year 2013: An estimated 567,000 individuals should receive benefits under the Railroad Retirement Act and 26,000 should receive unemployment and sickness benefits. Fiscal Year 2014: An estimated 565,000 individuals should receive benefits under the Railroad Retirement Act and 28,000 should receive unemployment and sickness benefits.

REGULATIONS, GUIDELINES, AND LITERATURE:

Benefits paid under the Railroad Retirement Act and the Railroad Unemployment Insurance Act are covered in Chapter 20 of the Code of Federal Regulations, Parts 200 through 350. Information on benefits is also available in press releases and various publications. They can be obtained free of charge at any Railroad Retirement Board office and on the agency web site (www.rrb.gov). Information on extended unemployment benefits is also available at the Recovery Act web site (www.recovery.gov).

Regional or Local Office:

See Regional Agency Offices. The Railroad Retirement Board has 53 local offices around the country. To contact or obtain the address for the nearest office, call (1-877) 772-5772.

Headquarters Office:

Railroad Retirement Board 844 North Rush Street, Chicago, Illinois 60611-2092 Email: opa@rrb.gov Phone: (312) 751-4777 Fax: (312) 751-7154

Website Address:

<http://www.rrb.gov>.

RELATED PROGRAMS:

17.225 Unemployment Insurance; 57.005 Economic Recovery Payments; 93.773 Medicare_Hospital Insurance; 93.774 Medicare_Supplementary Medical Insurance; 96.001 Social Security_Disability Insurance; 96.002 Social Security_Retirement Insurance; 96.004 Social Security_Survivors Insurance

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

57.005 ECONOMIC RECOVERY PAYMENTS

FEDERAL AGENCY:

Railroad Retirement Board

AUTHORIZATION:

American Recovery and Reinvestment Act of 2009, Public Law 111-5; Railroad Retirement Act of 1974, as amended, Public Law 93-445, 45 U.S.C. 231 et seq.

OBJECTIVES:

To make one-time payments to eligible beneficiaries of Railroad Retirement benefits, most of whom subsist on a fixed income, in order to assist with living expenses and contribute to the nations economic recovery.

TYPES OF ASSISTANCE:

Direct Payments with Unrestricted Use

USES AND USE RESTRICTIONS:

A one-time payment of \$250 will be paid to eligible recipients of benefits under the Railroad Retirement Act. There are no restrictions on the use of the payment. If an individual has a representative payee acting in a fiduciary capacity, that payee is required to use the payment for the benefit of that individual. The American Recovery and Reinvestment Act also authorized such payments for certain recipients of Social Security and Veterans benefits, but an individual may not receive more than one \$250 payment. The amount of the payment may be offset by the Department of the Treasury to collect delinquent child support and debts owed to State and Federal agencies.

Applicant Eligibility:

Specialized group (e.g. health professionals, students, veterans)-Income Security/Social Service/Welfare.

Beneficiary Eligibility:

Individual/Family,Specialized group (e.g. health professionals, students, veterans).

Credentials/Documentation:

In order to receive the one-time payment, an individual must have been entitled to the underlying Railroad Retirement benefit during November 2008, December 2008, or January 2009. Proof of age must be provided for retirement benefits. For spouse and survivor benefits, proof of marriage and familial relationship is required. Medical evidence is necessary for disability benefits. This program is excluded from coverage under OMB Circular No. A-87. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. No application is necessary for the one-time payment. The Railroad Retirement Board will certify the individuals entitled to the one-time payment and provide the Department of the Treasury with the information needed for disbursement. Application for the underlying Railroad Retirement benefits can be made at any office of the Railroad Retirement Board. Individuals can call 1-877-772-5772 for the location of the nearest office.

Award Procedure:

The Department of the Treasury will make the payments to individuals certified as eligible by the Railroad Retirement Board.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. Individuals who did not receive the payment because recurring benefits were suspended for a reason other than death receive payments as part of periodic catch-up processing runs.

Appeals:

Individuals who are eligible for a one-time payment but have not received it should contact the Railroad Retirement Board at 1-877-772-5772. In terms of eligibility determinations for the underlying Railroad Retirement benefits, initial appeals are made to the agency's Reconsideration Section, with subsequent appeals to the Bureau of Hearings and Appeals, the Board and the U.S. Court of Appeals.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Not Applicable. Method of awarding/releasing assistance: lump sum.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

Not Applicable.

Account Identification:

60-0115-0-1-601 - Economic Recovery Payments.

Obligations:

(Direct Payments with Unrestricted Use) FY 12 \$0; FY 13 est \$0; and FY 14 est \$0

Range and Average of Financial Assistance:

Eligible Railroad Retirement beneficiaries will each receive a one-time payment of \$250.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: No Current Data Available. Fiscal Year 2013: No Current Data Available. Fiscal Year 2014: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

The Code of Federal Regulations, Parts 200 through 295, covers benefits paid under the Railroad Retirement Act. Information on Railroad Retirement benefits and the one-time payment is also available in press releases, similar documents and various publications. They can be obtained free of charge at any Railroad Retirement Board office and on the agency web site (www.rrb.gov). Information on the one-time payment is also available at the Recovery Act web site (www.recovery.gov).

Regional or Local Office:

See Regional Agency Offices. The Railroad Retirement Board has 53 local offices around the country. To contact or obtain the address for the nearest office, call 1-877-772-5772.

Headquarters Office:

Railroad Retirement Board, 844 North Rush Street, Chicago, Illinois 60611-2092 Email: opa@rrb.gov Phone: (312) 751-4777.

Website Address:

<http://www.rrb.gov>

RELATED PROGRAMS:

57.001 Social Insurance for Railroad Workers

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

SMALL BUSINESS ADMINISTRATION

59.063 DISASTER ASSISTANCE LOANS (DISASTER RELIEF APPROPRIATIONS ACT)

FEDERAL AGENCY:

Small Business Administration

AUTHORIZATION:

Small Business Act of 1953, Public Law 091-606, as amended by Disaster Relief Appropriations Act, 2013, Public Law 113-2.

OBJECTIVES:

To provide loans to the victims of declared disasters for uninsured or otherwise uncompensated physical damage.

TYPES OF ASSISTANCE:

Direct Loans

USES AND USE RESTRICTIONS:

Funds provided under the Disaster Relief Appropriations Act are to support loans approved for any declared disaster and for the SBA to administer the direct loan program. Loans made to homeowners, renters, businesses of all sizes, and nonprofit organizations to repair or replace damaged or destroyed real property and/or personal property to its pre-disaster condition. Credit elsewhere test required for all applicants to determine interest rate. Loans to homeowners/tenants are made for up to 30 years. Limit of \$200,000 for repair/replacement of real property; \$40,000 for repair/replacement of personal property. The loan limit may be increased by 20 percent to provide protective measures from damages caused by physical disasters. The interest rate for homeowners/tenants who have credit available elsewhere is determined by a formula based on the cost of money to the U.S. Government, but not to exceed 8 percent. The interest rate for homeowners/tenants who do not have credit available elsewhere is one-half of the formula rate, but not to exceed 4 percent. Loans to business may not exceed \$1,500,000. For businesses determined to be able to obtain credit elsewhere, the interest rate is based on a statutory formula, but not to exceed 8 percent, with maturities not to exceed 3 years. For nonprofit organizations determined to be able to obtain credit elsewhere, the interest rate is based on a statutory formula, with maturities not to exceed 30 years. For businesses and nonprofit organizations determined to be unable to obtain credit elsewhere, the interest rate may not exceed 4 percent, with maturities up to 30 years. Under certain circumstances, homeowners and businesses may be eligible for refinancing of existing liens. There are statutory restrictions on the use of funds for voluntary relocation. In the case of a Major Source of Employment, the \$1,500,000 limitation on disaster business loans may be waived. Provisions of Flood Disaster Protection Act of 1973 and the National Flood Insurance Reform Act of 1994 apply. Collateral is required on loans greater than \$10,000. Additional information provided on SBA's website at sba.gov. Additional information provided on SBA's website at sba.gov. Additional information provided on SBA's website at sba.gov.

Applicant Eligibility:

Eligible applicants must have suffered physical property loss as a result of a disaster which occurred in an area declared by the President or SBA. They must also demonstrate an ability and willingness to repay the loan. Individuals,

business concerns, charitable and nonprofit organizations are eligible to apply for assistance.

Beneficiary Eligibility:

Individuals, business concerns, charitable and nonprofit organizations.

Agricultural enterprises are ineligible.

Credentials/Documentation:

A signed loan application, financial information, and a signed IRS Form 8821 to enable SBA to obtain tax return information from IRS for the three years prior to the disaster (one year for homeowners and renters). Complete copies of Federal Tax returns for the past three years for business applicants. Financial information on principals and affiliates for business applicants. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. SBA now offers you the option of filing home and business disaster loan applications through the Electronic Loan Application (<https://disasterloan.sba.gov/ela/>). Applicants also have the option of submitting your application via mail.

Applicants may also apply in person at any Disaster Recovery Center and receive personal, one-on-one help from an SBA representative. Applicants can find information by contacting SBA's Customer Service Center. Call 1-800-659-2955 (TTY: 1-800-877-8339) or e-mail disastercustomerservice@sba.gov.

Award Procedure:

Applicant is notified of approval by authorization letter from SBA.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Generally from 7 to 21 days depending on complexity of case and availability of resources.

Appeals:

Reconsideration of a declined application can be requested within 6 months of the initial decline, and appealed within 30 days from the reconsideration decline.

Renewals:

Additional information provided on SBA's website at sba.gov.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Funds must be disbursed within six months of authorization of the loan, unless extended. See the following for information on how assistance is awarded/released: See the following for information on how assistance is awarded/released: Additional information provided on SBA's website at sba.gov.

Reports:

Additional information provided on SBA's website at sba.gov. Additional information provided on SBA's website at sba.gov. Additional information provided on SBA's website at sba.gov. Additional information provided on SBA's website at sba.gov. Additional information provided on SBA's website at sba.gov.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit

Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Additional information provided on SBA's website at sba.gov.

Records:

Additional information provided on SBA's website at sba.gov.

Account Identification:

73-1152-0-1-453.

Obligations:

(Salaries) FY 12 \$0; FY 13 Estimate Not Available; and FY 14 Estimate Not Available

Range and Average of Financial Assistance:

Additional information provided on SBA's website at sba.gov.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

13 CFR Part 123; "Disaster Loans for Homes and Personal Property," DA-1; "Physical Disaster Business Loans," DA-2.

Regional or Local Office:

See Regional Agency Offices. For reference to the Disaster Area Office, see Appendix IV of the Catalog under the Small Business Administration.

Headquarters Office:

SBA Executive Secretariat 409 3rd. Street, SW, Washington, District of Columbia 20416 Email: SBAExecSec@sba.gov Phone: 1-800-827-5722

Website Address:

<http://www.sba.gov/disaster>

RELATED PROGRAMS:

59.008 Disaster Assistance Loans

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

59.064 ENTREPRENEURIAL DEVELOPMENT DISASTER ASSISTANCE (DISASTER RELIEF APPROPRIATIONS ACT) FEDERAL AGENCY:

Small Business Administration

AUTHORIZATION:

Disaster Relief Appropriations Act, 2013, Public Law 113-2; Small Business Act of 1953, Public Law 95-510; Small Business Act of 1953, Public Law 96-302.

OBJECTIVES:

To provide grants to or cooperative agreements with organizations to provide technical assistance related to disaster recovery, response, and long term resiliency to small businesses that are recovering from Hurricane Sandy.a.

TYPES OF ASSISTANCE:

Advisory Services and Counseling; Cooperative Agreements; Dissemination of Technical Information; Project Grants; Provision of Specialized Services

USES AND USE RESTRICTIONS:

Funds restricted to the use of assisting small businesses that are recovering from Hurricane Sandy. Funds restricted to the use of assisting small businesses that are recovering from Hurricane Sandy.

Applicant Eligibility:

SBA is authorized to make grants (including contracts and cooperative agreements) to public or private institution of higher education, including but not limited to any land- grant college or university, any college or school of business, engineering, commerce, or agriculture, community college or junior college, to any private, nonprofit organizations having experience in effectively

training and counseling business women, or all existing and potential business owners.

Beneficiary Eligibility:

Current and potential Small business persons recovering from Hurricane Sandy.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Additional information available on www.grants.gov or www.sba.gov. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Applications are accepted in accordance with the program announcement posted at www.grants.gov. The applicant must submit a written proposal addressing all of the selection criteria stated in the program announcement. The applicant must submit applications/proposals electronically through www.grants.gov (free training on electronic filing is available on the site) by the application closing date.

Award Procedure:

Central Office of SBA executes cooperative agreements to approved applicants.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Varies with individual proposals.

Appeals:

Varies with individual proposals.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The period of performance is no longer than 24 months. Method of awarding/releasing assistance: quarterly.

Reports:

Additional information available on the Announcement of Funding Opportunity available on www.grants.gov or on www.sba.gov. Additional information available on the Announcement of Funding Opportunity available on www.grants.gov or on www.sba.gov. Additional information available on the Announcement of Funding Opportunity available on www.grants.gov or on www.sba.gov. Additional information available on the Announcement of Funding Opportunity available on www.grants.gov or on www.sba.gov. Additional information available on the Announcement of Funding Opportunity available on www.grants.gov or on www.sba.gov.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

The awardee shall maintain and submit detailed, complete, and accurate client activity records of a sufficiency to reflect clearly the nature and variety of services provided. Financial records must be maintained until three years after the completion of the grant project or submission of the final report, whichever is later.

Account Identification:

73-0100-0-1-376.

Obligations:

(Salaries) FY 12 \$0; FY 13 est \$19,000,000; and FY 14 est \$0

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Additional information available in the Notice of Funding on www.grants.gov.

Regional or Local Office:

See Regional Agency Offices. For addresses of the field offices, see Appendix IV of the Catalog under the Small Business Administration.

Headquarters Office:

SBA Executive Secretariat 409 3rd. Street, SW, Washington, District of Columbia 20416 Email: SBAExecSec@sba.gov Phone: 1-800-827-5722

Website Address:

<http://www.sba.gov>

RELATED PROGRAMS:

59.026 Service Corps of Retired Executives; 59.037 Small Business Development Centers; 59.043 Women's Business Ownership Assistance

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Ability to provide technical assistance, training, counseling, and specialized services to the small business community affected by Hurricane Sandy.

DEPARTMENT OF VETERANS AFFAIRS

64.035 VETERANS TRANSPORTATION PROGRAM

Veterans Transportation Program/Grants for Transportation in Highly Rural Areas

FEDERAL AGENCY:

VA Health Administration Center, Department of Veterans Affairs

AUTHORIZATION:

Caregivers and Veterans Omnibus Health Services Act of 2010, Public Law 111-163.

OBJECTIVES:

This program furthers the Departments mission by establishing a program to provide grants to eligible recipients to assist veterans in highly rural areas through innovative transportation services to travel to Department of Veterans Affairs Medical Centers, and to otherwise assist in providing transportation services in connection with the provision of VA medical care to these veterans.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

VA will award grants to eligible entities to assist veterans in highly rural areas to travel to VA medical centers, and otherwise assist in providing transportation in connection with the provision of VA medical care of these veterans. A highly rural area would be defined as an area consisting of a county or counties having a population of less than seven persons per square mile, consistent with paragraph (c)(1) of section 307 of the 2010 Act. VA currently monitors and maintains a specific listing of such highly rural areas, and grants will only be awarded to applicants whose programs will service one or more of these areas, as identified in the application and notice of funding availability.

Applicant Eligibility:

The Secretary may award the grants to an eligible entity which is defined as either a Veterans Service Organization or State Veterans Service Agency, in accordance with paragraphs (a)(2)(A)-(B) of section 307 of the 2010 Act.

Beneficiary Eligibility:

A participant would be defined as a veteran in a highly rural area who receives transportation services from a grantee.

Credentials/Documentation:

Applicants are required to submit a complete grant application package to be considered for an initial grant. The NOFA would specify the initial grant application procedures to be followed. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. The VA will publish, in the Federal Register, a notice of fund availability, soliciting applications for the grant program and information on applications for requesting eligibility to receive grant funds. VA will establish scoring and selection categories for the award of grants in accordance with the mandate in paragraph (b)(1) of section 307 of the 2010 Act, which requires that VA prescribe regulations to evaluate grant applications. VA will specify the scoring criteria for initial grant applications. These criteria are weighted according to their probability of influencing an applicants development of a successful program, as well as meeting the requirement for innovation in paragraph (a)(1) of section 307 of the 2010 Act. Forms furnished by VA must be used for the program. Applications will only be accepted when received within the timeframe and meeting the requirements described in a notice of fund availability published in the Federal Register.

Award Procedure:

VA will specify in the NOFA, the process VA will use to award initial grants, where VA would score applications using a criteria and rank applications that receive at least the minimum amount of total points and points per category set forth in the NOFA. VA would then award grants for the highest ranked applications for which funding is available.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. VA will publish, in the Federal Register, a notice of fund availability, soliciting applications for the grant program and information on applications for requesting eligibility to receive grant funds.

Appeals:

Not Applicable.

Renewals:

VA would publish in the NOFA renewal grant information. Similarly weighted as those for initial grant applications, but are specific to renewal grant applications to assist VA in evaluating those programs which would already be operating. Accordingly, points would be awarded based on a grantees programs success, cost effectiveness, and compliance with the grant agreement and other applicable laws and regulations, subject to the availability of funds. Grantees would be required to submit a renewal application within the time frame established in a notice of fund availability.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Length-of-time limitations are established in the notice of fund availability. Grantees will receive payments electronically through the U.S. Department of Veterans Affairs Financial Management System. Method of awarding/releasing assistance: lump sum.

Reports:

Quarterly and annual financial and performance reports are required. In addition, an annual report to the Secretary of the VA is required no later than 60

days after the end of the fiscal year detailing the use of the grant funds. Monthly performance measure reports will also be required. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records must be retained at least 3 years after the end of the grant period.

Account Identification:

36-0160-0-1-700.

Obligations:

(Project Grants) FY 11 Not Separately Identifiable; FY 12 Not Separately Identifiable; and FY 13 est \$275,000

Range and Average of Financial Assistance:

The grant amounts will be specified in the Notice of Fund Availability, but that no single grant will exceed \$50,000, to comply with paragraph (a)(4) of section 307 of the 2010 Act.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Michelle Staton 2957 Clairmont Road, Suite 200, Atlanta, Georgia 30329
Email: michelle.staton@va.gov Phone: 404-828-5380

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Scoring and selection categories for the award of grants in accordance with the mandate in paragraph (b)(1) of section 307 of the 2010 Act, which requires that VA prescribe regulations to evaluate grant applications. VA would specify the scoring criteria for initial grant applications. The criteria are weighted according to their probability of influencing an applicants development of a successful program, as well as meeting the requirement for innovation in paragraph (a)(1) of section 307 of the 2010 Act.

64.037 VA U.S. PARALYMPICS MONTHLY ASSISTANCE ALLOWANCE PROGRAM

FEDERAL AGENCY:

Department of Veterans Affairs

AUTHORIZATION:

Veterans Benefits Improvement Act of 2008, Section 703, Public Law 110-389, 38 U.S.C 521A.

OBJECTIVES:

To promote the lifelong health and to motivate, encourage and sustain participation and competition in adaptive sports from the local level through elite levels among disabled Veterans and members of the Armed Forces through partnerships with VA clinical personnel as well as national and community-based adaptive sports programs. To provide monthly assistance allowance to a Veteran with a disability as authorized by Section 703 of the

Veterans' Benefits Improvement Act of 2008 for qualifying athletes, whether categorized as developing, elite, or national team athletes.

TYPES OF ASSISTANCE:

Direct Payments with Unrestricted Use

USES AND USE RESTRICTIONS:

Sections 701, 702 and 703 of the Veterans' Benefits Improvement Act of 2008 (Public Law 110-389) established support for the assistance through a congressionally mandated earmark to the United States Olympic Committee, Paralympic Integrated Adaptive Sports Program. The grant program provides funding to private non-profit organizations that support the goal of increasing the participation of disabled Veterans and disabled members of the Armed Forces in sports.

Section 703 identified the monthly assistance allowance was - (1) Subject to the availability of appropriation for such purpose, the Secretary may provide a monthly assistance allowance to a Veteran with a disability invited by United States Paralympics, Inc., to compete for a slot on, or selected for, the Paralympic Team for any month which the Veteran is training or competing in any event sanctioned by the United States Paralympics, Inc., or who is residing at a United States Paralympics, Inc., training center. (2) The amount of monthly assistance payable to a Veteran under (1) shall be equal to the monthly amount of subsistence allowance that would be payable to the Veteran under chapter 31 of this title if the Veteran were eligible for an entitlement to rehabilitation under such chapter. (3) In providing assistance under this subsection, the Secretary shall give priority to Veterans with service-connected disabilities. (4) There is authorized to be appropriated to carry out this subsection \$2,000,000 for each of fiscal years 2010 through 2013.

Limitations on the use of administrative expenses are described in 38 U.S.C. Part 1, Chapter 5, Subchapter II, Section 521A d (4) and (5).

Applicant Eligibility:

To receive an allowance, a disabled Veteran must submit a complete application, as well as established training and competition plans and is responsible for turning in monthly and quarterly reports in order to continue receiving the monthly assistance allowance. The disability of the Veteran may be service-connected or non-service-connected if the veteran meets the minimum military standard or higher in his or her respective sport at a recognized competition level.

Beneficiary Eligibility:

To receive an allowance, a Veteran must submit a complete application identifying any dependents upon which a higher payable rate of allowance may be based; and the USP must provide certification of the Veteran's participation in training or competition sponsored by the USP or the International Paralympic Committee (IPC), or residence at a USP training center, for a period for which payment is requested. The certification must specify whether the payment is due for training, competition, or residence, and the dates of the training, competition, or residence for which payment is due.

Credentials/Documentation:

Proof of the ability to perform the required level of Paralympic sport activity and compliance with military and higher standards are required. Military, elite, and national team standards are established by either USP or the designated National Governing Body for each Paralympic sport. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Both the VA and USP publish the notice of fund availability for the U.S. Paralympics Monthly Assistance Allowance Program through multiple media forms, soliciting applications for the allowance program and information on applications for requesting eligibility to receive the allowance. All applications are handled on an individual disabled Veteran applicant basis.

Forms furnished by VA must be used for this program. Applications will be accepted on an ongoing open basis with award decisions on a monthly basis consistent with applicants meeting award requirements and availability of funds.

Award Procedure:

Formal approval of the award of the U.S. Paralympics monthly assistance allowance is made by first submitting required verification of qualifications and supporting data to USP. The USP manages the application and ongoing verification of the monthly assistance allowance for Veterans in connection with USP monthly assistance allowance program. The USP submits qualification and rate documentation to the VA for verification and award on a monthly basis.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 15 to 30 days.

Appeals:

The overarching grant and monthly allowance programs under P.L. 110-389 are a congressionally mandated earmark to the United States Olympic Committee, Paralympic Integrated Adaptive Sports Program, and subject to compliance with accompanying guidelines. The USP and VA manage the monthly assistance allowance program in compliance with program directives.

Renewals:

The overarching grant is dependent upon renewal through the authorization process. Subject to the availability of funds, the monthly assistance allowance may be renewed. Allowance recipients are required to submit a renewal training program verification and allowance application within the time frame established in appropriate verification and award cycle.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Length-of-time limitations are established in accordance with the Veterans' Benefits Improvements Act of 2008, section 703. Allowance recipients will receive payments electronically through the VA Administrative and Loan Accounting Center (ALAC). See the following for information on how assistance is awarded/released: Allowance recipients will receive payments electronically through the VA Administrative and Loan Accounting Center (ALAC).

Reports:

Program reports are not applicable. Cash reports are not applicable. Monthly qualification and training reports are required. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

Not Applicable.

Records:

No Data Available.

Account Identification:

36-4155-0-1-421.

Obligations:

(Direct Payments for Specified Use) FY 11 \$2,000,000; FY 12 est \$2,000,000; and FY 13 est \$2,000,000

Range and Average of Financial Assistance:

The monthly allowance based for an athlete approved for monetary assistance ranges from \$554.22 up to \$1,046.33 per month, depending on the number of dependents.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: N/A. Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Title 38 Code of Federal Regulations, Part 521A, Assistance for United States Paralympic Inc.

Regional or Local Office:

See Regional Agency Offices. Program Specialist Michael F. Welch, Office of National Veterans Sports Programs and Special Events, 90 K Street, NE, 7th Floor, Room 707, Washington, D.C. 20002; Phone 202-632-7136; e-mail: vacoadaptiveSP@va.gov.

Headquarters Office:

Micheal F. Welch 90 K Street, NE; Room 707, Washington, District of Columbia 20002 Email: vacoadaptiveSP@va.gov Phone: 202-632-7136

Website Address:

<http://www.va.gov/adaptivesports>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

64.038 GRANTS FOR THE RURAL VETERANS COORDINATION PILOT

(RVCP)

FEDERAL AGENCY:

Department of Veterans Affairs

AUTHORIZATION:

the Caregivers and Veterans Omnibus Health Services Act of 2010, Public Law 111-163.

OBJECTIVES:

This program provides funds for a two-year pilot program with an objective of using grants to assess the feasibility of using community-based organizations and local, State, and Tribal government entities to provide outreach, to increase the coordination and availability of health care and benefits, to increase the availability of high quality medical and mental health services, and to provide assistance to Veterans and their families as they transition from the military to civilian life.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

The pilot requires that the program be carried out in five locations and the grants are for a two year period. The locations that must be considered shall be rural areas with high proportions of minorities in the population with limited access to health care and not in close proximity to an active duty military installation. The pilot requires that the program be carried out in five locations and the grants are for a two year period. The locations that must be considered shall be rural areas with high proportions of minorities in the population with limited access to health care and not in close proximity to an active duty military installation.

Applicant Eligibility:

Eligible entities who apply for the grant must meet the following requirements: (1) Private non-profit organization with documented US Internal Revenue Service tax exempt status (501(C)3), or be a (2) State, Tribal, or incorporated local government.

Beneficiary Eligibility:

Beneficiary eligibility is limited to veterans and their accompanying families who are transitioning from the military to rural areas. An eligible veteran participant is defined as a veteran who is eligible for VA benefits and who has transitioned from active duty military service within the last two years. An eligible family member is defined as those individuals who reside in the Veterans home and are part of the established household of the Veteran.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. The VA will publish, in the Federal Register, a notice of fund availability, soliciting applications for the grant program and information on applications for requesting eligibility to receive grant funds. RVCP grants are publicized through a Notice of Funding Availability published in the Federal Register. Technical Assistance to apply for the grant can be obtained by following the instructions on the VAs web site. To apply for a grant, an applicant must submit to VA a complete RVCP grant application package, as described in the NOFA. A complete grant application package must include at a minimum: (a) A description of the coordination and outreach to be provided by the applicant. (b) Identification of the area(s) the applicant intends to serve and the need for RVCP in the area(s). (c) A description of the characteristics of veterans and their families in the areas served by the applicant, if known; (d) An estimate of the number of participants who will be provided services under RVCP in the applicants area, including an estimate of the total number of veterans and their families transitioning from the military. (e) Documentation describing the experience the applicant (and any identified subcontractors, referred to collectively as applicant) has in providing services similar to those in RVCP; (f) A plan to continuously seek new sources of assistance to ensure the long-term provision of health care and benefits; and (g) A statement of compliance with the requirements of this part throughout the term of the grant. (h) Other information that VA may request.

Award Procedure:

Grantees will be notified of their selection by formal letter from the Secretary of Veterans Affairs. The notification will contain an RVCP grant agreement which must be signed by the applicant and returned to the RVCP program office within fifteen days. No dispersal of grant funds will occur until the grant application has been signed and returned.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Appeals for non-selection may be made in writing to the RVCP Program Office within 30 days of notice of non-selection. If an applicant would have been selected but for a procedural error committed by VA, VA may select that applicant for funding when sufficient funds become available if there is no material change in the information that would have resulted in the applicants selection. A new application will not be required for this purpose.

Renewals:

RVCP grants are limited to a two year period and are not renewable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

RVCP grants are limited to two years. Grantees are expected to have their program operational within 90 days of award notification. Method of awarding/releasing assistance: lump sum.

Reports:

Not Applicable.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. Grantees must comply with applicable requirements of the Single Audit Act Amendments of 1996 (31 U.S.C. 7501-7507) and revised OMB Circular A-133, Audits of States, Local Governments, and Non-Profit Organizations, codified by VA at 38 CFR Part 41.

Records:

Grantees must ensure that records are maintained for at least three years beyond the close of RVCP to document compliance with this part. Grantees must produce such records at VAs request.

Account Identification:

36-0160-0-1-703.

Obligations:

(Project Grants) FY 12 Not Separately Identifiable; FY 13 Not Separately Identifiable; and FY 14 Not Separately Identifiable

Range and Average of Financial Assistance:

VA is to carry out the program in five locations to be selected by the Secretary of Veterans Affairs. Two million dollars will be allocated for the five grantees, a total of 10 million dollars for a time period of two years.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Federal Register Volume 78, No 37, Monday February 25, 2013, Rules and Regulations, page 12617

Regional or Local Office:

None. Jackie Bean 202-461-4178, Jackie.Bean@va.gov.

Headquarters Office:

Michelle Staton 810 Vermont Ave., Washington, District of Columbia 20420
Email: michelle.staton@va.gov Phone: 202-461-4178

Website Address:

<http://www.grants.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

All complete applications will be scored using the criteria in paragraph (a) Scoring. VA will score only complete applications received from eligible entities by the established deadline. and ranked in order from highest to lowest total score. VA will rank all applications that receive at least the minimum number of points indicated in the NOFA. VA will award one RVCP grant to the highest scoring application. VA will award RVCP grants to each successive application, ranked by total score, provided the applicant has not been awarded an RVCP grant for a higher scoring application and the proposed project is not in the same project location as any previously awarded RVCP grant.

ENVIRONMENTAL PROTECTION AGENCY

66.001 AIR POLLUTION CONTROL PROGRAM SUPPORT**FEDERAL AGENCY:**

Office of Air and Radiation, Environmental Protection Agency

AUTHORIZATION:

Clean Air Act of 1990, Section 105, Public Law 101-549.

OBJECTIVES:

The objectives of the 105 program are to assist State, Tribal, Municipal, Intermunicipal, and Interstate agencies in planning, developing, establishing, improving, and maintaining adequate programs for the continuing prevention and control of air pollution and/or in the implementation of national primary and secondary air quality standards.

Projects should also focus on addressing environmental justice (EJ) concerns in communities. EJ is the fair treatment and meaningful involvement of all people regardless of race, color, national origin, or income with respect to the development, implementation, and enforcement of environmental laws, regulations, and policies.

Funding Priority - Fiscal Year 2013: By nature, much of the work occurring in FY 2012, including the implementation of mobile, area and stationary source

pollution controls, will continue into FY 2013. However, several new developments will alter the priorities for the program across the country in FY 2013 - the response to the revised national ambient air quality standards (NAAQS) for ozone, lead, fine particulates (PM_{2.5}), nitrogen dioxide (NO₂), CO and sulfur dioxide (SO₂). Recipients will continue to review air quality reports and take appropriate actions dealing with new violating areas with any of the NAAQS; submit re-designation requests including maintenance plans for areas with clean air quality data as appropriate; work with local area stakeholders to support innovative and local initiatives; continue to implement 1997 8-hr ozone NAAQS by submitting State Implementation Plans (SIPs); begin SIP planning for attaining the 2008 8-hr ozone NAAQS standards; and submitting any outstanding ozone SIP elements (including prior commitments). Although not required to do so, recipients may also choose to prepare recommendations on designations for the revised 2012 PM_{2.5} NAAQS. For PM_{2.5}, recipients will submit any outstanding PM_{2.5} SIP elements as needed and implement PM_{2.5} plans designed to attain the 1997 and 2006 NAAQS. states will work with local agencies to implement woodstove change-out programs in areas where change-outs could significantly reduce ambient particle concentrations. Areas may also explore feasibility of changing out existing outdoor wood-fired boilers to significantly reduce PM_{2.5} concentrations. Recipients will also work on monitoring and SIP planning to attain the Lead (Pb) NAAQS. As in FY 2012, for ambient monitoring, all state/local primary quality assurance organizations operate monitors and submit NAAQS pollutant data, Photochemical Assessment Monitoring Stations (PAMS), and quality assurance (QA) data to AQS directly or indirectly through another organization according to schedule in 40 CFR Part 58. Data must also meet quality assurance requirements according to Appendix A to 40 CFR Part 58. These organizations will also submit their required annual (monitoring) network plans by July 1, 2013. They must also certify their 2011 NAAQS pollutant data in AQS and provide supporting documentation by May 1, 2013 (state/local only, unless tribal work plan requirement). Additionally, monitoring organizations will report real time ozone and PM_{2.5} data to the AirNOW system for cities required to report the Air Quality Index (AQI) (state/local only). Air quality reporting, including particle pollution forecasting, will be expanded to additional cities. States affected by CAIR continue to contribute a portion of their grant funds to support a centrally-administered emissions budget and trading program as part of their PM and NO_x State Implementation Plans. For regional haze, states and other stakeholders will continue to work with EPA on issues related to submitted regional haze SIPs and will continue to implement Best Available Retrofit Technology (BART) requirements. As in FY 2012, funds for air toxics purposes are provided to: operate existing air toxics monitoring networks operated by state, tribal, and local agencies in order to better characterize air toxics risks and assess the effectiveness of control strategies. Recipients will collect data for the annual integrated emissions inventory; implement delegated or approved section 112, 111(d) and 129 standards, as appropriate, for major sources and area sources; implement delegated residual risk standards; and work with communities to develop and implement local air toxics programs that address outdoor, indoor, and mobile sources with emphasis on areas with potential environmental justice concerns. State and local air pollution control agencies work with EPA to operate the national fine particulate (PM_{2.5}) monitoring network to accurately assess and characterize the nature and extent of fine particulates (PM_{2.5}) across the country. This assessment is necessary to determine the air quality status of an area in relation to the national PM_{2.5} ambient air quality standard. At least three years of quality-assured data are required in order to determine an area's attainment status. The air quality status of an area will determine the nature and complexity of its attainment plan, its associated control strategies, and its implementation schedule. The immediate goals of this multi-year program are: appropriate spatial characterization of PM_{2.5} NAAQS; public reporting of PM_{2.5} in the Air Quality Index; characterization of PM_{2.5} chemical speciation data for long term trends; development and accountability of emission control programs and tracking of regional haze, and limited support of health studies; implementation of NCore CO, SO₂, NO₂/NO_y trace-level monitoring to support characterization of PM precursors; assessment of PM_{2.5} data quality; and procurement and testing of PM_{2.5} filters. Grant funds are used to provide support to eligible state and local air agencies for continued operation of high value federal reference method (FRM) and speciation monitoring sites; additional investments in PM_{2.5} mass and speciation continuous monitoring and associated data management systems for timely reporting of high quality

data; and continued investments in precursor gas analyzers, data analyses, and quality assurance activities that will support better understanding of particle formation.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grant funds may be used for costs specifically incurred in the conduct of a State/Local/Tribal Air Pollution Control Program in accordance with the purposes enumerated in the approved application. These include personnel costs, supplies, equipment, training of personnel, travel, and other necessary expenditures during the approved project period. Funds may not be used for construction of facilities, nor for expenses incurred other than during each approved award period. Grant funds may not be used to subsidize the costs of Title V operating permit programs or to supplant otherwise available recipient resources. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://www.epa.gov/geospatial/>.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

Municipal, Intermunicipal, State, Federally Recognized Indian Tribe, or Interstate or Intertribal with legal responsibility for appropriate air pollution planning, development, establishment, implementation, and maintenance of Clean Air Act air pollution control activities, including management of grant support for those activities, provided such organization furnishes funds for the current year that are equal to or in excess of its recurrent expenditures for the previous year for its approved section 105 air pollution program. The determination of expenditures is subject to decisions based on provisions of the Clean Air Act and applicable grant regulations. This program is available to each State, territory and possession of the U.S., including the District of Columbia. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

Municipalities (local governments), Intermunicipalities, States, Federally Recognized Indian Tribes, and Interstate and Intertribal agencies.

Credentials/Documentation:

The application must supply evidence of legal authority for air pollution control including responsibility for carrying out the implementation plan requirements under 110 of the Clean Air Act; evidence of the availability of nonfederal matching funds; assurance that federal funds do not supplant available recipient funds; evidence that the Governor or his designated State agency has been given the opportunity to comment on the relationship of the program to be funded to the State plan; and a workable program officially adopted for the agency. Principles for determining allowable costs are set forth in applicable Federal management circulars described in the general grant regulations and procedures 40 CFR Part 31 of the Federal Regulations. Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Discussion with the applicable EPA Regional Office is advised for air program grant applications. The standard application forms as furnished by the Environmental Protection Agency and required by OMB Circular No. A-102 must be used for this program. Applications are subject to the State's review. Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that

will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Requests for application forms and completed applications must be submitted to the appropriate EPA Regional Grants Administration Branch. Application must meet the requirements of the grant regulations and will be reviewed to determine merit and relevancy of the proposed project particularly with regard to the most recently available national program and grant guidance. This program is excluded from coverage under OMB Circular No. A-110. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

Procedures for awards are outlined in General Grant Regulations 40 CFR Part 31. For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 90 days.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: The Clean Air Act does not prescribe a specific statutory funding formula but does direct that the factors of population, the extent and severity of the air pollution problem, and financial need, be considered in the allocation of available resources by the Agency. A revised allocation approach will be gradually implemented. State, Interstate, and Local programs may receive up to 60 % Federal funding for the total approved program costs. The Grantee must contribute a minimum of 40% as well as meet a maintenance of effort (MOE) requirement. The amount of Federal share of grant costs should be determined by reference to the criteria set forth in Section 105 of the Clean Air Act and in 40 CFR 35.145, 40 CFR 35.146, and 40 CFR 147. Not more than 10 % of the funds available shall be granted for air pollution control programs in any one State. Not less than one-half of one % of the funds are to be made available as a minimum to a State (overall) for application. However, award of these levels of funds will be made only if the agencies within the State meet the requirements of the Act and grant regulations. For Indian Tribes establishing eligibility pursuant to Section 35.573, the Regional Administrator may provide financial assistance in an amount up to 95 % of the approved costs of planning, developing, establishing, or improving an air pollution control program, and up to 95 % of the approved costs of maintaining that program. After 2 years from the date of each Tribe's initial grant award, the Regional Administrator will reduce the maximum federal share to 90 %, as long as the Regional Administrator determines that the Tribes meet certain economic indicators that would provide an objective assessment of the tribe's ability to increase its share.

This program has MOE requirements, see funding agency for further details. This program does have a maintenance of effort (MOE) requirement which requires that a recipient's recurring expenditures must meet or exceed the level of the prior year's recurring expenditures.

Length and Time Phasing of Assistance:

The terms of the grant shall be determined at time of award. See the following for information on how assistance is awarded/released: Assistance agreements may be fully funded by a lump sum or funded incrementally (quarterly).

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Agreements may require quarterly, interim, and final progress reports, and financial, equipment, and invention reports. Grant and administrative reporting requirements are also identified in the Grant Regulations Part 30 and Part 32. Programmatic reporting requirements are outlined in Part 31 and in the applicable national program manager annual guidance. Requirements for the evaluation of recipient performance are discussed in 40 CFR 35.115.

Grantees are required to submit program reports in accordance with Agency policy and the Monitoring and Reporting Program Performance requirements stated in Federal Grant Regulations 40 CFR Part 31 and OMB Circular No.A-102. Grantees are required to submit financial reports in accordance with Agency policy and the Financial Reporting and Financial Management Systems requirements stated in Federal Grant Regulations 40 CFR Part 31 and OMB Circular No.A-102. Grantees are required to submit progress reports in accordance with Agency policy and the Monitoring and Reporting Program Performance requirements stated in Federal Grant Regulations 40 CFR Part 31 and OMB Circular No.A-102. No expenditure reports are required. Grantees are required to perform performance monitoring in accordance with Agency policy and requirements stated in Federal Grant Regulations 40 CFR Part 31 and OMB Circular No.A-102.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Financial records, including all documents to support entries on accounting records and to substantiate charges to each grant, must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained for 3 years from the date of submission of the annual financial status report. If questions still remain, such as those raised as a result of audit, related records should be retained until the matter is completely resolved.

Account Identification:

68-0103-0-1-304.

Obligations:

(Project Grants) FY 12 \$187,792,604; FY 13 est \$223,437,000; and FY 14 est \$257,229,000

Range and Average of Financial Assistance:

From approximately \$70,000 to \$7,000,000 per recipient; average approximately \$1,545,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Continuing program grants are provided to State, Local and Tribal air pollution control agencies for the purpose of operating programs that plan and implement activities to achieve ambient air quality standards. These programs cover: planning for attainment of updated national air quality standards including fine particulates and ozone; state implementation plan development; ambient monitoring; emission inventories; air and emissions

modeling; source permitting; certain compliance and enforcement activities related to mobile and non-Title V stationary and area sources; public outreach and education activities; development and implementation of air quality regulations; implementation of market-based, early action compacts and other innovative air pollution reduction or prevention strategies; technical training; risk and data analyses; information management; and program evaluation, progress reporting and other program operation and maintenance activities. Fiscal Year 2013: FY 2013 program accomplishments data not yet available. Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Air Pollution Control Program Grants, EPA, 40 CFR Part 35 (revised January 9, 2001); Interim Rule published January 4, 1995 (FR V.60 No.2, pp.366-372); General Grant Regulations and Procedures, EPA, 40 CFR Part 31.

Regional or Local Office:

See Regional Agency Offices. Contact appropriate EPA Regional Office listed in Appendix IV of the Catalog.

Headquarters Office:

Jeff Whitlow Office of Air and Radiation
Office of Air Quality Planning and Standards
109 T.W. Alexander Drive, MC C404-02, Research Triangle Park, North Carolina 27709 Email: whitlow.jeff@epa.gov Phone: (919) 541-5523

Website Address:

<http://www.epa.gov/air/>

RELATED PROGRAMS:

66.600 Environmental Protection Consolidated Grants for the Insular Areas - Program Support

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Continuing program grants are provided to State, Local and Tribal air pollution control agencies for the purpose of operating programs that plan and implement activities to achieve ambient air quality standards. These programs cover: planning for attainment of updated national air quality standards including fine particulates and ozone; state implementation plan development; ambient monitoring; emission inventories; air and emissions modeling; source permitting; certain compliance and enforcement activities related to mobile and non-Title V stationary and area sources; public outreach and education activities; development and implementation of air quality regulations; implementation of market-based, early action compacts and other innovative air pollution reduction or prevention strategies; technical training; risk and data analyses; information management; and program evaluation, progress reporting and other program operation and maintenance activities. Fiscal Year 2013: FY 2013 project examples not yet available. Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The criteria for awarding air pollution control program assistance grants are provided in the 1990 Clean Air Act, Section 105, and set forth in State and local assistance grant regulations (40 CFR 35). Some of the criteria considered for award include the following: 1) No grant may be awarded unless the program contained in the grant application meets the requirements of Section 35.140 (program purposes and associated regulations) and has been approved by the Regional Administrator. Sections 35.104 and 35.107 describe what an agency needs to do to prepare an adequate application. This usually includes the use of annual program technical and grant guidance from EPA and is generally done in consultation with the EPA Regional Office. 2) No grant may be awarded until the Regional Administrator has consulted with the official designated by the Governor(s) of the State(s) affected by such award pursuant to Section 105(b) of the Clean Air Act. Such consultation should consider the role of the applicant in the enforcement of any applicable implementation plan and confirm that the applicant's program will be generally compatible with the objectives of the applicable implementation plan. 3) The requirements of this Section shall not apply to Indian Tribes that have established eligibility pursuant to Section 35.573 and intertribal agencies made up of such Tribes. 4) No grant may be awarded unless the Regional Administrator has determined that the agency has adequate air pollution control authority and necessary regulations to implement such authority. The evaluation and selection criteria for competitive awards under this CFDA description will be described in the

competitive announcement.

66.032 STATE INDOOR RADON GRANTS

(SIRG)

FEDERAL AGENCY:

Office of Air and Radiation, Environmental Protection Agency

AUTHORIZATION:

Indoor Radon Abatement Act, Section 306, 15 U.S.C 2661.

OBJECTIVES:

Title III of the Toxic Substances Control Act (TSCA), the Indoor Radon Abatement Act (IRAA), Section 306, authorizes EPA to assist States and Federally Recognized Indian Tribes to develop and implement programs to assess and mitigate radon-related lung cancer risk. In FY 2013 EPA will encourage state and tribal grant recipients to work collaboratively with their (non-EPA) Federal Departments and Agencies participating in the Federal Radon Action Plan (FRAP) - http://www.epa.gov/radon/action_plan.html.

Projects should also focus on addressing environmental justice (EJ) concerns in communities. EJ is the fair treatment and meaningful involvement of all people regardless of race, color, national origin, or income with respect to the development, implementation, and enforcement of environmental laws, regulations, and policies.

Funding Priority - Fiscal Year 2013: In FY 2013 the State Indoor Radon Grant (SIRG) program will continue to emphasize radon risk reduction through increased action by home buyers and sellers, homeowners, real estate professionals, radon services professionals, homebuilders, tribes, non-governmental organizations, Federal, state and local governments, and non-governmental organizations. Projects funded by SIRG should focus on achieving the following outcomes:

- Homes built to include radon-reducing features, especially in high-radon potential areas,
- Reducing radon in existing homes to below 4pCi/L through mitigation, and
- Building new schools to include radon-reducing features, and reducing radon in existing schools to below 4pCi/L.

To achieve these outcomes and increase results, the SIRG program aims to: (1) improve the effectiveness of state-local/tribal radon programs; (2) focus on high radon potential areas, especially for new home building, building code adoption, and green and healthy homes programs; (3) encourage testing and mitigation within residential property transfers; and (4) encourage SIRG recipients to be strategic in their risk reduction efforts e.g., utilizing results data and information, by institutionalizing risk reduction practices and policies, and utilizing best practices.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

The following activities are eligible for funding under SIRG: radon surveys, public information and educational materials, radon control programs, purchase of radon measurement equipment or devices, purchase and maintenance of analytic equipment, training, program overhead and administration, data storage and management, mitigation demonstrations, and toll-free hotlines. Funding for these eligible activities may be used to develop State radon response programs. States may, at the Governor's direction, provide assistance to local governments for public information and educational materials, radon control programs and training. RESTRICTIONS: The statute places the following restrictions on the use of Federal funds: 1) SIRG recipients must perform satisfactorily in the preceding budget period to be eligible to receive additional funding; 2) State expenditures for measurement equipment/devices and mitigation demonstrations cannot exceed 50 percent of the grant amount in a budget period; 3) State expenditures for general overhead and program administration cannot exceed 25 percent in a budget period; and 4) SIRG applicants may use grant funds for financial assistance to persons only to the extent that such

assistance is related to approved demonstration projects or the purchase and analysis of radon measurement devices.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

Eligible entities include States (including District of Columbia (DC)), Puerto Rico, the Virgin Islands, Guam, the Canal Zone, American Samoa, the Northern Mariana Islands, Federally recognized Indian Tribes and Tribal consortia, or any other U.S. Territory or possession.

Beneficiary Eligibility:

State agencies; local, municipal, district, or area wide governments and organizations; U.S. territories or possessions, Federally Recognized Indian Tribes, colleges, universities, multi-state agencies, nonprofit organizations, low-income individuals, homeowners, and the general public.

Credentials/Documentation:

An applicant's application must: 1) describe the seriousness and extent of radon exposure; 2) identify the lead State agency with primary responsibility for the radon program that will receive the grant, with a description of the lead agency's role and responsibilities, and those of any other State agencies, and any municipal, district or area wide organizations involved in the radon program; 3) submit a work plan describing the activities and programs to be funded; 4) provide a budget specifying the Federal and applicant share of the funding for each work plan element. First time applicants must have a 3-year work plan outlining long range program goals and objectives, the tasks necessary to achieve them, the resource requirements including anticipated SIRG applicant funding levels and the desired Federal funding level. Costs are determined in accordance with OMB Circular A-87, "Cost Principles for State, Local and Tribal Governments.". OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Application assistance is available through Regional radon coordinators and their Regional grants specialist counterparts. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. Visit www.epa.gov/iaq/whereyoulive.html for state specific information on the state radon programs and how to contact them. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. EPA requires final applications to be made on Standard Form 424. Requests for application kits must be submitted to the Environmental Protection Agency and the appropriate EPA Regional Office listed in Appendix IV of the Catalog, or through the Grants and Interagency Agreements Management Division, 3903F, Washington, DC 20460. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

Each state or tribal SIRG application is subjected to an administrative and prior period performance evaluation to determine the recipients eligibility to receive continued funding. An applicant's proposed projects and work plan are evaluated for projected results and alignment with the Agency's radon program goals and priorities. SIRG award decisions are non-competitive and made at the EPA Regional level, based on a formula moderated by Regional management discretion utilizing prior performance and results. A state or tribe able to provide the required 40% in matching funds is eligible to apply to the Regional

EPA office for a grant.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

About 90 days.

Appeals:

Disputes will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

Renewals of awards will be conducted in accordance with the provisions of Section 306 and the SIRG Guidance (SIRGG).

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: EPA may provide States a maximum of 60% of allowable costs of approved SIRG Program activities. TSCA Section 306 requires a progressive match for States and Tribes; 25% in the first year; 40% in the second year; and, 50% in the third and subsequent years of participation. However, in the FY 2006 appropriation, Congress permanently reduced the recipient match requirement from a maximum of 50% to 40% for participants with two or more years in the program.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Awards funded under TSCA Section 306 are normally for a period of 12-36 months; EPA strongly encourages 36-month (3-year) SIRG project periods. EPA negotiates project periods appropriate to a suite of projects or specific project. However, EPA project periods are limited to a maximum of five years. Grants and cooperative agreements may be fully funded or incrementally (partially) funded, conditional on the availability of funds. See the following for information on how assistance is awarded/released: Assistance agreements are fully funded by a lump sum.

Reports:

Required reports from grant recipients include: performance reports, no more often than quarterly; Financial Status Reports, (Standard Form 269); Federal Cash Transactions Reports (for grants paid by letter of credit); and if applicable, Request for Advance or Reimbursement (Standard Form 270). To monitor the performance of each grant recipient, the EPA Project Officer and other Agency personnel may conduct mid-year (or more frequent) site visits to inspect program activities first hand.

Grantees are required to submit program reports in accordance with Agency policy and the Monitoring and Reporting Program Performance requirements stated in Federal Grant Regulations 40 CFR Part 31 and OMB Circular No.A-102. Grantees are required to submit financial reports in accordance with Agency policy and the Financial Reporting and Financial Management Systems requirements stated in Federal Grant Regulations 40 CFR Part 31 and OMB Circular No.A-102. Grantees are required to submit progress reports in accordance with Agency policy and the Monitoring and Reporting Program Performance requirements stated in Federal Grant Regulations 40 CFR Part 31 and OMB Circular No.A-102. No expenditure reports are required. Grantees are required to perform performance monitoring in accordance with Agency policy and requirements stated in Federal Grant Regulations 40 CFR Part 31 and OMB Circular No.A-102.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Recipients must keep financial records, including all documents supporting entries in accounting records and to substantiate changes in grants available to personnel authorized to examine EPA recipient grants and cooperative agreement records. Recipients must maintain all records until 3 years from the date of submission of the final expenditure reports. If questions, such as those raised as a result of an audit remain following the 3-year period, recipients must retain records until the matter is completely resolved.

Account Identification:

68-0103-0-1-304.

Obligations:

(Project Grants) FY 12 \$8,045,000; FY 13 est \$7,626,000; and FY 14 est \$0

Range and Average of Financial Assistance:

In FY 2013 federal funding can range from \$15,000 to a maximum of \$762,600 (by law 10% of the annual appropriation amount) per State/Tribal applicant. The average SIRG award is \$160,000. Minimum awards are \$15,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: The SIRG program awarded approximately 44 state and 7 tribal assistance agreements totaling \$8,045,000; \$7,830,288 to states, and \$214,712 to tribes. In calendar year 2012, an estimated 84,662 existing homes were mitigated to reduce the radon level. In calendar year 2011, approximately 64,192 new homes were built with radon-reducing features. Much of this progress resulted from the work of coalitions and partnerships comprised of local governments, national and local non-profit organizations, industry, radon advocates and other leaders. The SIRG program continues to stress the importance of achieving and measuring results in: the number of homes, schools, and other buildings mitigated for radon; in the number of new homes and schools built with radon-reducing features. Recipients are required to include the SIRG results measures template in their work plans, and projects with clear risk reduction benefits are to be preferred over projects projected to have lesser risk reducing results. Persons and organizations looking for ways to participate in the national radon risk-reduction effort should visit www.RadonLeaders.org. Fiscal Year 2013: Accomplishments information for FY 2013 on homes mitigated will become available in the second quarter of FY 2014. Accomplishments information for FY 2012 on new homes built with radon-resistant features will become available in the first quarter of FY 2014. Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

EPA regulations that govern State activities under the SIRG Program are contained in the following Parts of 40 CFR: (1) Part 4, "Implementation of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1980"; (2) Part 7, "Nondiscrimination in Programs Receiving Federal Assistance from the Environmental Protection Agency"; (3) Part 29, "Intergovernmental Review of Environmental Protection Agency Programs and Activities"; (4) Part 31, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments"; (5) Part 32, "Debarment and Suspension under EPA Assistance Programs"; and (6) Part 35; "State and Local Assistance". Applicable OMB Circulars include (1) Circular A- 87, "Cost Principles for State and Local Governments," and (2) Circular A-102, "Uniform Requirements for Assistance to State and Local Governments". Other materials applicable to SIRG include: (1) Administrative Guidance for the State Indoor Radon Grants Program under Section 306, Indoor Radon Abatement Act (January 2005); (2) Indoor Radon and Radon Decay Product Measurement Device Protocols (EPA 402-R-92-004); and (3) Protocols for Radon and Radon Decay Products Measurements in Homes (EPA 420-R-93-003). Visit <http://www.epa.gov/radon/sirgprogram.html> for more information.

Regional or Local Office:

See Regional Agency Offices. For questions of a programmatic/technical nature, contact the appropriate Regional Radon Office representative listed in Appendix IV of the Catalog. The individual Regional radon SIRG project officers can be found at <http://www.epa.gov/radon/whereyoulive.html>.

Headquarters Office:

Philip Jalbert, Office of Radiation and Indoor Air, Environmental Protection Agency, 1310 L Street, NW., Mail Code 6609J, Washington, District of Columbia 20005 Email: jalbert.philip@epa.gov Phone: (202) 343-9431.

Website Address:

<http://www.epa.gov/radon/sirgprogram.html>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: The State and Tribal Indoor Radon Grant (SIRG) program has awarded grants to States and Tribes to: 1) establish effective radon programs among states, territories, and federally recognized Indian tribes; 2) increase the number of homes, schools, and other buildings tested and mitigated from radon; 3) implement radon resistant construction standards in high risk areas; 4) encourage radon testing and disclosure in connection with real estate transfers; and 5) promote environmental justice through programs and outreach efforts directed at low-income and/or culturally-diverse populations. To leverage increases in program effectiveness, the Agency encourages recipients to partner with: (1) other public health and environmental organizations; and (2) local offices of Federal agencies participating in the Federal Radon Action Plan (http://www.epa.gov/radon/action_plan.html). Fiscal Year 2013: (1) SIRG funds paid for the meeting room for the 7th Annual Region 7 Radon Stakeholders' Meeting. The Meeting was held in Manhattan, KS with 91 attendees. The funds also covered the travel for one speaker, a lung cancer survivor from Nebraska. Planning for the 8th Annual Meeting is underway with it being held in March, 2014 in Iowa.

(2) SIRG funds paid for and will continue to pay for the toll free numbers used by the states for radon calls from the citizens.

(3) SIRG funds paid for and will continue to pay for school testing in Missouri. Thus far, 170 schools in Missouri have been tested during the first 3 quarters of the grant period.

(4) SIRG funds will be used to re-assess the baseline levels of radon concentrations within Bad River tribal homes and public buildings, and to provide outreach and education to tribal members by maintaining: (a) administrative duties, infrastructure, and a presence in tribal, regional and national radon organizations; (b) providing radon measurement testing within the boundaries of the Bad River Reservation aimed at testing every tribal housing unit and building; and (c) providing outreach and education to the Bad River tribal community on the health risks of radon and to raise awareness on the importance of adequate radon testing. Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The EPA will base its review of SIRG applications on whether they reflect the program's goals and promote integrity in States' use of grant funds. Pursuant to TSCA Section 306(e), if State applications exceed the funds appropriated for award in a fiscal year, EPA will prioritize State activities based on the following criteria: (1) the seriousness and extent of the radon contamination problem to be addressed; (2) the potential for the activity or project to bring about reduction in radon levels; (3) the potential for the development of innovative radon assessment techniques, mitigation measures as approved by the Administrator, or program management approaches which may be of use to other States; (4) the potential for the activity to implement EPA's model radon-resistant building standards; (5) the potential for the development of radon programs in high-risk areas; and (6) the potential for the activity to improve the effectiveness and viability of the State program. EPA may establish additional criteria related to demonstration activities or other aspects of the SIRG program. All other requirements of the SIRG program are applicable to the States and Tribes unless otherwise stated in TSCA 306.

66.033 OZONE TRANSPORT COMMISSION

OTC

FEDERAL AGENCY:

Office of Air and Radiation, Environmental Protection Agency

AUTHORIZATION:

Clean Air Act of 1990, Section 106 and 111, Public Law 101-549, 42 U.S.C 1406.

OBJECTIVES:

To develop or recommend air quality implementation plans for air quality control regions designated pursuant to Section 106 (interstate pollution) or Section 111 (interstate ozone pollution) of the Clean Air Act of 1990.

The Ozone Transport Commission (OTC) will continue to provide a forum for the states within the Ozone Transport Region (New England and Middle Atlantic states) to develop and adopt air quality implementation plans for reducing ozone pollution pursuant to Section 106 of Section 111 of the Clean Air Act of 1990.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Assistance funds may be used for costs specifically incurred in the conduct of interstate pollution projects in accordance with the purposes enumerated in the approved application. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

An agency or commission designated by the Governors of the affected States, which is capable of recommending to those Governors' plans for implementation of national primary and secondary ambient air quality standards and which includes representation from the States and the appropriate political subdivisions within the affected air quality control region. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

Municipalities, intermunicipalities, States, interstate agencies or commissions, and Federally recognized Indian tribes.

Credentials/Documentation:

The application must supply evidence of legal authority for air pollution control, evidence of the availability of non-federal matching funds, evidence that the Governor or his designated State agency has been given the opportunity to comment on the relationship of the program to be funded to the State plan and a workable program officially adopted for the agency. Principles for determining allowable costs are set forth in applicable Federal management circulars described in the general grant regulations and procedures 40 CFR Part 30 of the Federal Regulations. Costs will be determined in accordance with OMB Circular No. A-87 for State and Local governments. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Discussions or informal meetings with the Headquarters Program Office concerning program preparation are advisable. The standard application forms as furnished by the Federal Agency and required by OMB Circular No. A-102 must be used for this program. Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Requests for application forms and completed applications should be submitted to the appropriate EPA Office (See Appendix IV of the Catalog). Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

Each application shall be subjected to administrative coordination to determine adequacy in relation to grant regulations, and to technical and program evaluation to determine merit and relevancy of the project. For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 60 days.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

Applicant must reapply.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Upon initial designation by the Governors of the affected States, pursuant to Sections 106 or 111 of the Clean Air Act of 1990, the Administrator is authorized to pay for up to 2 years, and up to 100 percent of the air quality planning program costs of a designated commission or interstate agency. After the initial 2-year period, the Administrator is authorized to make grants to such agency or such commission in amounts up to 3/5 of its air quality management plan costs.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The terms of the grant shall be determined at the time of grant award. See the following for information on how assistance is awarded/released: Assistance agreements are fully funded by a lump sum.

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Agreements may require quarterly, interim and final progress reports, and financial and equipment reports.

Reporting requirements are also identified in the Grant Regulations Part 30 and Part 31.

Grantees are required to submit program reports in accordance with Agency policy and the Monitoring and Reporting Program Performance requirements stated in Federal Grant Regulations 40 CFR Part 31 and OMB Circular No.A-102. Grantees are required to submit financial reports in accordance with Agency policy and the Financial Reporting and Financial Management Systems requirements stated in Federal Grant Regulations 40 CFR Part 31 and OMB Circular No.A-102. Grantees are required to submit progress reports in accordance with Agency policy and the Monitoring and Reporting Program Performance requirements stated in Federal Grant Regulations 40 CFR Part 31 and OMB Circular No.A-102. No expenditure reports are required. Grantees are required to perform performance monitoring in accordance with Agency policy and requirements stated in Federal Grant Regulations 40 CFR Part 31 and OMB Circular No.A-102.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Recipients must keep financial records, including all documents supporting entries in accounting records and to substantiate changes in grants available to personnel authorized to examine EPA recipient grants and cooperative agreements records. Recipients must maintain all records until 3 years from the date of submission of the final expenditure reports. If questions, such as those raised as a result of an audit remain following the 3-year period, recipients must retain records until the matter is completely resolved.

Account Identification:

68-0200-0-1-304.

Obligations:

(Project Grants) FY 12 \$639,000; FY 13 est \$639,000; and FY 14 est \$639,000

Range and Average of Financial Assistance:

There is only one cooperative agreement awarded under this program. The cooperative agreement will range from \$600,000 to \$650,000/fiscal year with an average award of \$635,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: In 2012, OTC worked with its member states on the development of multi-state agreements and education and outreach efforts to reduce ozone emissions in the region. These include reviewing controls for idling restrictions for nonroad diesel engines, as well as emissions reductions from solvent degreasing and consumer products. OTC also participates in national forums such as the Clean Air Act advisory Committee and the Air Quality Management workgroup representing its member states. This CFDA issues one grant annually in response to the Clean Air Act Amendments to establish an Ozone Transport Commission which perform the activities stated herein annually. In FY 2010, a two year grant was awarded for \$1,270,000. Fiscal Year 2013: During the first quarter, OTC continued its coordination efforts with EPA to discuss state implementation plan development and related issues. Issues discussed with non-OTR states included the progress of ERTAC (the Eastern Regional Technical Advisory Committee) in developing a model of generation and emissions from electric generating units; how to coordinate among the RPOs on modeling work; and the need for federal measures to enable the OTC states to attain the 2008 ozone standard. Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

EPA Uniform Administration Requirements for Grants and Cooperative Agreements to State and Local Governments (40 CFR Part 31).

Regional or Local Office:

See Regional Agency Offices. EPA encourages potential applicants to communicate with the appropriate EPA Regional Office listed in Appendix IV of the Catalog, and the Headquarters program contacts listed below.

Headquarters Office:

Catrice Jefferson Office of Air and Radiation
Environmental Protection Agency
1200 Pennsylvania Avenue, N.W., Mail Code 6102A, Washington, District of Columbia 20460 Email: Jefferson.catrice@epa.gov Phone: (202) 564-1668

Website Address:

<http://www.otcair.org/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Operation of the Ozone Transport Commission for the past 21 years. Fiscal Year 2013: The OTC Modeling Committee continued to move forward with its work plan to fulfill the charge from the Commission from the OTC Fall 2011 meeting. The Modeling Committee began planning for a second stage of the third level of CMAQ air quality screening runs focusing on an updated 2020 base case with ERTAC EGU results and shale gas inventories and a proxy 2018 case.

The Stationary and Area Source (SAS) Committee and the Mobile Source (MS) Committee continued to develop draft model rules for regional ozone control measures. During the first quarter, the SAS Committee held numerous conference calls with its control measure workgroups and industry stakeholders to obtain more detailed comments and information on the analysis it was charged to develop at the OTC Fall Meeting in November of 2012.

The Mobile Source Committee worked on developing a model rule for adoption of CA aftermarket catalytic converters and expanding the aftermarket catalytic converter white paper to include cost estimates of such a program. The committee also began developing a white paper looking at boutique fuels and the potential to adopt one in the OTR. The Mobile Source Committee will also continue its emission inventory analysis and work to fulfill the new charge given to it at the Fall Meeting. Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Applications are evaluated and selected in accordance with the Agency's competition policy.

66.034 SURVEYS, STUDIES, RESEARCH, INVESTIGATIONS, DEMONSTRATIONS, AND SPECIAL PURPOSE ACTIVITIES RELATING TO THE CLEAN AIR ACT

FEDERAL AGENCY:

Office of Air and Radiation, Environmental Protection Agency

AUTHORIZATION:

Clean Air Act of 1963, Section 103, Public Law 95-95, 42 U.S.C 7401;
National Environmental Policy Act of 1969, Section 102(2)(F), Public Law 91-190, 42 U.S.C 4332.

OBJECTIVES:

To support Surveys, Studies, Research, Investigations, Demonstrations and Special Purpose assistance relating to the causes, effects (including health and welfare effects), extent, prevention, and control of air pollution to include such topics as air quality, acid deposition, climate change, global programs, indoor environments, radiation, mobile source technology and community-driven approaches to transportation and emissions reduction.

Projects should also focus on addressing environmental justice (EJ) concerns in communities. EJ is the fair treatment and meaningful involvement of all people regardless of race, color, national origin, or income with respect to the development, implementation, and enforcement of environmental laws, regulations, and policies.

(1) Indoor Environments. Activities will support surveys, studies, research, training, outreach, education, investigations or demonstrations performed by organizations that lead to effective outreach strategies to educate key audiences about indoor air pollutants, their associated health risks and encourage effective mitigation and control strategies. Currently, the Program focuses on several critical aspects of indoor air quality that pose significant risks to public health, and in particular, to children and other disproportionately impacted segments of society. Program focus includes, but is not limited to, reducing the exposure of children and others with asthma to indoor triggers that worsen their condition; promoting the adoption of operation and maintenance practices in schools, homes and other buildings throughout the nation to reduce the harmful effects of poor indoor air quality on the health of the public, students and staff; promoting voluntary radon testing by homeowners to identify elevated levels and fix them when they are found; as well as working with homebuilders to incorporate radon resistant construction features into new homes; and encouraging adult smokers to protect their children from the adverse health effects of environmental exposure to secondhand smoke by making a conscious decision to smoke outside and keep their homes and cars smokefree.

(2) Radiation. Activities support technical, outreach, and educational projects relating to environmental radiation. Activities also support the national environmental radiation monitoring program. This program prepares for and responds to incidents involving nuclear or radiological material, oversees the safe disposal of radioactive waste, maintains two laboratories that perform radiological sampling and analyses, and provides standards for protecting human health and the environment from radioactive material. Program focus includes, but is not limited, to the following activities: performance testing and evaluation, development of analytical procedures, purchasing of radiation laboratory equipment and support and enhancement of radiological laboratory capability and capacity across the nation to reduce the gap in analyzing environmental samples following a significant national radiological incident.

(3) Community-Scale Air Toxics Ambient Monitoring (CSATAM). The CSATAM Grant Program results in two-year projects designed to assist state, local and tribal communities in identifying and profiling air toxics sources, characterizing the degree and extent of local air toxics problems and tracking progress of air toxics reduction activities in specific local areas without reliance on often uncertain estimates of emissions and air dispersion models. Further, projects typically allow the recipient state, local and tribal air pollution control agencies to establish or enhance hazardous air pollutant monitoring networks, thus providing long term capability to investigate and assess specific local air quality scenarios of concern. The program will continue working with grant recipients that received funding in previous years.

(4) Mobile Sources Technologies. Projects encompass studies and investigations utilizing state-of-the-art experimental techniques in advanced engine development technology, including hydraulic hybrid drive-trains, to optimize fuel economy, reduce exhaust emissions (including PM and NOx) and improve performance. In addition, projects may support studies identifying barriers to technological innovation, analyzing innovative strategies for overcoming these barriers, encouraging the development and adoption of new vehicle and fuel technologies for the control of emissions.

(5) Heavy Duty Truck Fuel Consumption and Emissions Reductions. This program studies and analyzes fuel consumption and emissions reductions associated with the use of innovative technologies for heavy duty diesel trucks. Projects involve truck fleets that operate under varying conditions in order to evaluate the effectiveness of the various technologies.

(6) National Internet-based On-Board Diagnostic (OBD) Information Exchange. This program supports activities that maintain, update and improve the Internet-based information clearinghouse to facilitate accurate and timely exchange of technical information related to on-board diagnostic (OBD) between state inspection/maintenance programs, the automotive industry and the general public.

(7) Integrated Assessment of Transportation-Related Policies on Greenhouse Gases, Land Use Change, and Other Economy-Wide Impacts (Integrated Assessment). This program is designed to improve the public data underlying well-established integrated assessment models used to analyze both climate change impacts and the linkages between renewable fuels and climate change. Additionally, projects are intended to further the public's understanding of the interaction of the economic, energy, and environmental impacts of increased renewable fuel usage in the U.S. transportation sector using a robust modeling framework.

(8) Climate Change. The Climate Change Division (CCD) supports activities relating to improving economic, technical and programmatic understanding of climate change. CCD supports technical, outreach, and education projects regarding climate change impacts, mitigation and adaptation options in order for private and public sectors may more effectively and comprehensively address their climate goals. Additionally, CCD supports projects that break down market barriers that may limit investment in technologies that reduce methane and non-CO2 greenhouse gases; address the technical issues surrounding sequestration and carbon storage; address collection and analyses of economic data relating to climate change; and the Global Methane Initiative (GMI) that support climate technology transfer in developing and transition

countries. The Global Methane Initiative is an international public-private initiative that advances cost effective, near-term methane recovery and use as a clean energy source in four sectors: agriculture, coal mines, landfills, and oil and gas systems. GMI projects reduce greenhouse gas emissions in the near term and provide a number of important environmental and economic co-benefits. Co-benefits include stimulating local economic growth, creating new sources of affordable alternative energy, improving local air and water quality with associated public health benefits and increasing industrial worker safety. CCD also supports the Greenhouse Gas Reporting program that will help facilities that participate in voluntary, state-based and federal GHG reporting programs better understand the requirements of voluntary and state GHG reporting programs in comparison to the federal rule, and identify options for how data collected through state and federal reporting requirements may be used to inform state GHG programs and facility-level efforts to identify emissions-reduction opportunities. Climate Economics Program objective is to advance the field of climate economic and integrated assessment modeling through a regular series of studies on GHG emissions and approaches to mitigating emissions and climate impacts. Climate Science Impacts objectives include leveraging studies which focus on climate impacts on environmental justice issues and tribal communities, and inform adaptation policy development and planning for tribal and environmental justice communities.

(9) Climate Protection Partnerships. EPA funds Global Climate Change programs which are voluntary government/industry partnership programs designed to capitalize on the opportunities that consumers, businesses, and organizations have for making sound investments in efficient equipment, policies and practices. The Global Climate Change program will manage a number of efforts to remove barriers in the marketplace and to deploy technology faster in the residential, commercial, and industrial sectors of the economy. Our programs work by overcoming widely acknowledged barriers to energy efficiency: lack of clear, reliable information on technology opportunities; lack of awareness of energy efficient products and services; lack of financing options to turn lifecycle energy savings into initial cost savings for consumers; and low incentives to manufacturers for efficiency research and development. In addition, our Global Climate Change programs will provide technical assistance, training, information exchange and other forms of cooperation to enhance the capabilities of governments and other stakeholders to protect human health and the environment regionally and globally.

(10) Near-road Nitrogen Dioxide (NO₂) Monitoring. In accordance with the final NO₂ National Ambient Air Quality Standards (NAAQS), state and local air pollution control agencies (grantees) are required to establish near-road monitoring stations to ensure the collection of data necessary for determining compliance with the standard as well as to protect human health and the environment. Grantees will purchase capital equipment and work with local Department of Transportation agencies to ensure unique siting criteria are met in the establishment of the new monitoring stations.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grants and cooperative agreements are available to support recipients' allowable direct costs incident to approved Surveys, Studies, Research, Investigations, Demonstrations and Special Purpose plus allowable indirect costs, in accordance with established EPA policies and regulations. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

Assistance under this program is generally available to States, local governments, territories, Indian Tribes, and possessions of the U.S., including the District of Columbia, international organizations, public and private universities and colleges, hospitals, laboratories, other public or private nonprofit institutions, which submit applications proposing projects with significant technical merit and relevance to EPA's Office of Air and Radiation's mission. Eligibility for projects awarded or competed exclusively with State and Tribal Assistance Grant (STAG) funds is limited to air pollution control agencies, as defined in section 302(b) of the Clean Air Act that are also eligible to receive grants under section 105 of the Clean Air Act, and/or federally recognized tribes and inter-tribal consortia, consisting of federally recognized tribe members. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

State and local governments, U.S. territories and possessions, Indian Tribes, universities and colleges, hospitals, laboratories, and other public and private nonprofit institutions.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments and Indian Tribes, OMB Circular No. A-21 for educational institutions, and OMB Circular No. A-122 for nonprofit institutions. Applicants may be requested to demonstrate they have appropriate background, academic training, experience in the field, and necessary equipment to carry out projects. EPA may ask applicants or principle investigators to provide curriculum vitae and relevant publications. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. EPA requires final applications to be made on Standard Form 424. Requests for application kits must be submitted to the Environmental Protection Agency, Grants and Interagency Agreements Management Division, 3903R, Washington, DC 20460 or through the appropriate EPA Regional Office listed in Appendix IV of the Catalog. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 180 days.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients

will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

None. Generally, EPA incrementally funds grants and cooperative agreements for Surveys, Studies, Research, and Investigations. Approval of subsequent funding increments is dependent on satisfactory project progress, continued relevance of the project to EPA's priorities, availability of funds, and Agency policy on the competitive grant process.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: While there is no statutory or regulatory cost sharing requirement for this program, as a matter of policy the Agency may impose a cost sharing requirement in specific competitions. If the Agency decides to do this, the cost sharing terms will be stated in Section III of the competitive announcement.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

EPA normally funds grants and cooperative agreements on a 12-month basis. However, EPA can negotiate the project period with each applicant based on project requirements. EPA limits project periods to 5 years. Grants are generally fully funded or on an incremental funding basis. Successful applicants will be notified either via U.S. mail or electronically. Such notification is contingent upon information contained in the resulting solicitation. See the following for information on how assistance is awarded/released: Assistance agreements may be incrementally (quarterly) or fully (lump sum) funded.

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Agreements may require quarterly, interim, and final progress reports, and financial, equipment, and invention reports. Reporting requirements are also identified in the Grant Regulations Part 30 and Part 31.

Grantees are required to submit program reports in accordance with Agency policy and the Monitoring and Reporting Program Performance requirements stated in Federal Grant Regulations 40 CFR Part 31 or 40 CFR Part 30 and OMB Circular No.A-102 or OMB Circular No.A-110. Grantees are required to submit financial reports in accordance with Agency policy and the Financial Reporting and Financial Management Systems requirements stated in Federal Grant Regulations 40 CFR Part 31 or 40 CFR Part 30 and OMB Circular No.A-102 or OMB Circular No.A-110. Grantees are required to submit progress reports in accordance with Agency policy and the Monitoring and Reporting Program Performance requirements stated in Federal Grant Regulations 40 CFR Part 31 or 40 CFR Part 30 and OMB Circular No.A-102 or OMB Circular No.A-110. No expenditure reports are required. Grantees are required to perform performance monitoring in accordance with Agency policy and requirements stated in Federal Grant Regulations 40 CFR Part 31 or 40 CFR Part 30 and OMB Circular No.A-102 or OMB Circular No.A-110.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Recipients must keep financial records, including all documents supporting entries on accounting records and to substantiate changes in grants available to personnel authorized to examine EPA recipients grants and cooperative agreements records. Recipients must maintain all records until 3 years from the date of submission of final expenditure reports. If questions, such as those raised as a result of audits remain following the 3-year period, recipients must retain records until the matter is completely resolved.

Account Identification:

68-1018-0-1-304; 68-0107-0-1-304; 68-0103-0-1-304.

Obligations:

(Project Grants) FY 12 \$13,810,000; FY 13 est \$6,250,000; and FY 14 est \$6,500,000

Range and Average of Financial Assistance:

EPA generally award grants ranging in value from \$5,000 to \$750,000 per fiscal year. The average value of each grant is \$150,000 per fiscal year.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Produced effective outreach strategies to educate key audiences about indoor air pollutants and their associated health risks, convincing them to adopt effective mitigation and control strategies. These outreach strategies focused on several critical aspects of indoor air quality that pose significant risks to public health, and in particular, to children and to other disproportionately impacted segments of society. These include: reducing the exposure of children and others with asthma to indoor triggers that worsen their condition; promoting the adoption of operation and maintenance practices in schools throughout the nation to reduce the harmful effects of poor indoor air quality on the health of students and staff; promoting voluntary radon testing by homeowners to identify elevated levels and fix them when they are found, as well as working with homebuilders to incorporate radon resistant construction features into new homes; and encouraging adult smokers to protect their children from the adverse health effects of environmental exposure to secondhand smoke by making a conscious decision to smoke outside and keep their homes and cars smokefree. Radiation - Assistance provided support and enhancement for radiological laboratory capability and capacity across the nation to reduce the gap in analyzing environmental samples following a significant national radiological incident. Key activities include audits and proficiency testing, provision of radiological instrumentation, supplies, training, and limited facilities upgrades. The USEPA's Methane to Markets Partnership Grants program provides cooperative agreements to recipients around the globe to build capacity and promote international capture and use of methane. The grants have become an integral and important capacity building instrument of the Methane to Markets Partnership, a public-private partnership (of more than 33 partner governments) that reduces greenhouse gas (GHG) pollution by promoting the cost-effective, near-term recovery and use of methane, a GHG that is more than 20 times more potent than carbon dioxide. Methane capture and use projects supported by the partnership through grants and other means are currently reducing emissions by more than 27.3 million metric tons of carbon dioxide equivalent ~ annually equivalent to the annual emissions from 5 million passenger vehicles. The USEPA's Greenhouse Gas (GHG) Reporting Grants program will help facilities that participate in voluntary, state-based and federal GHG reporting programs better understand the requirements of voluntary and state GHG reporting programs in comparison to the federal rule; and identify options for how data collected through state and federal reporting requirements may be used to inform state GHG programs and facility-level efforts to identify emissions-reduction opportunities. The Ambient Monitoring Technology Information Center (AMTIC) contains information on ambient air quality monitoring programs, monitoring methods, quality assurance and control procedures, and federal regulations related to ambient air quality monitoring. The AMTIC can be accessed online at <http://www.epa.gov/ttn/amtic/>. Global Methane Initiative Grants program provides cooperative agreements to recipients around the globe to build capacity and promote international capture and use of methane. The grants have become an integral and important capacity building instrument of the Global Methane Initiative, a public-private partnership (of more than 33 partner governments) that reduces greenhouse gas (GHG) pollution by promoting the cost-effective, near-term recovery and use of methane, a GHG that is more than 20 times more potent than carbon dioxide. Methane capture and use projects supported by the partnership through grants and other means are currently reducing emissions by more than 27.3 million metric tons of carbon dioxide equivalent ~ annually equivalent to the annual emissions from 5 million passenger vehicles. The USEPA's Greenhouse Gas (GHG) Reporting Grants program will help facilities that participate in voluntary, state-based and federal GHG reporting programs better understand the requirements of voluntary and state GHG reporting programs in comparison to the federal rule; and identify options for how data collected through state and federal reporting requirements

may be used to inform state GHG programs and facility-level efforts to identify emissions-reduction opportunities. Fiscal Year 2013: FY 2013 program accomplishments data not yet available. Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Surveys, Studies, Research, and Investigations grants and cooperative agreements are subject to EPA's General Grant Regulations (40 CFR Part 30 and 40 CFR Part 31).

Regional or Local Office:

See Regional Agency Offices. EPA encourages potential applicants to communicate with the appropriate EPA Regional Office listed in Appendix IV of the Catalog, and the Headquarters program contacts listed below.

Headquarters Office:

Maureen Hingeley Office of Air and Radiation, Ariel Rios North Building, 1200 Pennsylvania Avenue, N.W., Mail Code 6102A, Washington, District of Columbia 20460 Email: hingeley.maureen@epa.gov Phone: 202-564-1306

Website Address:

<http://www.epa.gov/air/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Conducting training courses and outreach activities for environmental health professionals on indoor air quality topics including asthma triggers, schools, radon, indoor air quality in homes, large buildings, and community outreach; Assistance provided support and enhancement for radiological laboratory capability and capacity across the nation to reduce the gap in analyzing environmental samples following a significant national radiological incident; Climate change tribal impacts, communications, and outreach; Activities that advance methane recovery and use as a clean energy source; Integrated assessment of multiple greenhouse gases, climate impacts, and pollution; Implementation of energy-efficient standards and labeling programs in developing countries; Market transformation projects that will increase public awareness of the health benefits and cost savings associated with the use of energy efficient products and services; Projects that involve regional outreach and education benefits of renewable energy, encouraging state and local governments to make green power purchases; Demonstrating the effectiveness and viability of retrofit technologies in reducing harmful air emissions from existing diesel highway, heavy-duty vehicles and to achieve actual local emission reductions; Educating the business and consumer sectors on the benefits of improving energy performance; Market transformation projects that will increase public awareness of the health benefits and cost savings associated with the use of energy efficient products and services; Projects that involve regional outreach and education on the benefits of renewable energy, encouraging state and local governments to make green power purchases, and; Projects that reduce emissions through improved greenhouse gas management and clean energy programs. Fiscal Year 2013: FY 2013 project data not yet available. Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

EPA selects proposed Surveys, Studies, Research, and Investigations projects for funding based on factors such as relevancy to EPA's mission, technical merit, and the likelihood of success. If EPA issues a solicitation for applications for a particular project or group of projects, the solicitation will identify specific criteria. The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement.

66.035 COMMUNITY ACTION FOR A RENEWED ENVIRONMENT (CARE) PROGRAM

(CARE)

FEDERAL AGENCY:

Office of Water, Environmental Protection Agency

AUTHORIZATION:

Federal Insecticide, Fungicide, and Rodenticide Act, Section 20(a), Public Law 106-74; National Environmental Policy Act, Section 102(2)(F); Solid Waste

Disposal Act, Section 8001; Clean Water Act, Section 104(b)(3); Safe Drinking Water Act, Section 1442(a)&(c)(A); Marine Protection, Research, and Sanctuaries Act, Section 203; Clean Air Act, Section 103(b)(3); Toxics Substances Control Act, Section 10, Public Law 106-74.

OBJECTIVES:

To support analyses, studies, evaluations, surveys, investigations, conferences, demonstrations and special purpose projects which empower communities to reduce risks from exposures to toxic pollutants in the air, in the water, and on the land through collaborative action at the local level.

Funding Priority - Fiscal Year 2013: The CARE program aims to: (1) Reduce exposures to toxic pollutants through collaborative action at the local level; (2) Help communities gain an understanding of all potential sources of exposure to toxic pollutants; (3) Work with communities to set priorities for risk reduction activities; and (4) Create self sustaining, community based partnerships that will continue to improve the local environment.

The following program strategies will be used: (1) Provide information and a variety of tools to help communities understand and assess toxic risks; (2) Collaborate with community stakeholder groups to prioritize plans for risk reduction; (3) Mobilize local resources and utilize EPA voluntary programs to implement risk reduction activities; (4) Link EPA programs together to efficiently support communities; (5) Create a positive environment that encourages all members of the community including businesses and colleges and universities to join the collaborative partnership; (6) Facilitate networking among CARE communities to share experiences and lessons learned; and (7) Build long term community capacity to continue improving the local environment.

A CARE Request for Proposal was not issued in 2013.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Cooperative Agreements are available to support recipients' allowable direct costs related to approved surveys, analyses, studies, evaluations, conferences, investigations, demonstrations and special purpose projects plus allowable indirect costs, in accordance with established EPA policies and regulations. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official Government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

Local, Public non-profit institution/organizations, Federally Recognized Indian Tribal Government, Native American Organizations, Private nonprofit institution/organization, Quasi-public nonprofit institution/organization, local government, colleges, universities, interstate and intrastate. Proposals to renew or supplement existing projects are eligible to compete. See "Authorization" listed above. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

Local, Public non-profit institution/organizations, Federally Recognized Indian Tribal Government, Native American Organizations, Private nonprofit

institution/organization, Quasi-public nonprofit institution/organization, local government, colleges, universities, interstate and intrastate.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments, and Indian Tribes, OMB Circular No. A-21 for educational institutions, and OMB Circular No. A-122 for nonprofit institutions. Applicants may be requested to demonstrate they have appropriate background, academic training, experience in the field, and necessary equipment to carry out projects. EPA may ask applicants or principal investigators to provide curriculum vitae and relevant publications. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. For competitive awards, EPA will review and evaluate applications, proposals and/or submissions in accordance with the terms, conditions, and criteria stated in the announcement of the competitive funding opportunity. Competition will be conducted in accordance with EPA policies/regulations for competing assistance agreements. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. The standard application forms as furnished by the Federal agency and required by OMB Circular No. A-102 and A-110 must be used for this program. EPA requires final applications to be made on Standard Form 424. Requests for application kits must be submitted to the Environmental Protection Agency, Grants and Interagency Agreements Management Division, 3903R, Washington, D.C. 20460 or through the appropriate EPA Regional Office listed in Appendix IV of the Catalog. Additional information on the EPA grant package can be found at: http://www.epa.gov/ogd/grants/how_to_apply.htm. Requests for Proposals or Requests for Applications will specify application procedures. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 180 days.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

None. Generally, EPA incrementally funds cooperative agreements. Approval of subsequent funding increments is dependent on satisfactory project progress, continued relevance of the projects to EPA's priorities, availability of funds, and Agency policy on the cooperative agreement process.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

EPA normally funds cooperative agreements on a 12-month basis. However, EPA can negotiate the project period with each applicant based on project requirements and most CARE awards will be for 18 to 36 months. EPA limits project periods to 5 years. Any resulting cooperative agreement awards will normally be awarded on a quarterly basis. Applicants will be notified of an award in accordance with the information specified in any resulting solicitation. See the following for information on how assistance is awarded/released: Assistance agreements will be fully funded by a lump sum or partially funded yearly.

Reports:

EPA includes reporting requirements for cooperative agreements in the terms and conditions of the agreements. Agreements may require quarterly, interim, and final progress reports, and financial, equipment, and invention reports. Specific reporting requirements are also identified in the Grant Regulations (Part 30 and Part 31).

Grantees are required to submit program reports in accordance with Agency policy and the Monitoring and Reporting Program Performance requirements stated in Federal Grant Regulations (40 CFR Part 31). Grantees are required to submit financial reports in accordance with Agency policy and the Financial Reporting and Financial Management Systems requirements stated in Federal Grant Regulations (40 CFR Part 31). Grantees are required to submit progress reports in accordance with Agency policy and the Monitoring and Reporting Program Performance requirements stated in Federal Grant Regulations (40 CFR Part 31). No expenditure reports are required. Grantees are required to perform performance monitoring in accordance with Agency policy and requirements stated in Federal Grant Regulations (40 CFR Part 31).

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

The record retention requirements of 40 CFR Part 30 (non-profit and universities) or 40 CFR Part 31 (governmental units) are applicable depending upon the identity of the recipient. Recipients must keep financial records, including all documents supporting entries on accounting records and to substantiate changes in cooperative agreements available to personnel authorized to examine EPA recipients cooperative agreements records. Recipients must maintain all records until 3 years from the date of submission of final expenditure reports. If questions, such as those raised as a result of audits remain following the 3-year period, recipients must retain records until the matter is completely resolved.

Account Identification:

68-0108-0-1-304.

Obligations:

(Project Grants) FY 12 \$0; FY 13 est \$0; and FY 14 est \$1,000,000

Range and Average of Financial Assistance:

There is no minimum amount of assistance. Cooperative agreements awarded based on Level I agreements will range from \$75,000 to \$100,000 with an average Level I award of \$90,000. Level II agreements will range from \$150,000 to \$300,000 with an average Level II award of \$275,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: The CARE program uses two sets of cooperative agreements.

In the smaller Level I agreements, the community, working with EPA, creates a collaborative problem solving group made up of the various stakeholders in the community. That group assesses the community's toxic exposure problems and begins to identify potential solutions. In the larger Level II agreements, the community, working with EPA selects and funds projects that reduce risk and improve the environment in the community. CARE communities have implemented a variety of EPA Partnership Programs and reduced citizen's exposure to toxics including lead, diesel emissions, solid waste, indoor air, mercury, drinking water pollutants, waste water contamination, and environmental tobacco smoke. The communities have also reduced emissions of green house gases. A CARE Request for Proposal was not issued in 2012. The CARE Program continued to technically support existing cooperative agreements. Fiscal Year 2013: A CARE Request for Proposal was not issued in 2013. The CARE Program continued to technically support existing cooperative agreements. Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Environmental Policy and Innovation grants and cooperative agreements are subject to EPA's General Grant Regulations (40 CFR Part 30 and 40 CFR Part 31). Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments and Indian Tribes, OMB Circular No. A-21 for educational institutions, OMB Circular No. A-122 for nonprofit institutions and FAR Part 31 for profit makers.

Regional or Local Office:

See Regional Agency Offices. EPA encourages potential applicants to communicate with the appropriate EPA Regional Office listed in Appendix IV of the Catalog, and the Headquarters program contacts listed below.

Headquarters Office:

Marva King EPA Headquarters, Ariel Rios Building , 1200 Pennsylvania Avenue, N. W. , Mail Code: 6101A , Washington, District of Columbia 20460 Email: king.marva@epa.gov Phone: (202) 564-2599.

Website Address:

<http://www.epa.gov/care/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Not applicable as no funding was provided in FY 12. The CARE Program continued to technically support existing cooperative agreements. Fiscal Year 2013: A CARE Request for Proposal was not issued in 2013. The CARE Program continued to technically support existing cooperative agreements. Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Competitive applications and proposals will be selected in accordance with announced criteria. Non-competitive proposals are judged for (a) technical merit in terms of: (1) strengths and weaknesses of the project; (2) adequacy of overall project design; (3) competency of proposed staff; (4) suitability of applicant's available resources; (5) appropriateness of the proposed project period and budget; and (6) probability that the project will accomplish stated objectives and; for (b) program interest in terms of: (1) the need for the proposed project; and (2) relationship to program objectives. The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement.

66.037 INTERNSHIPS, TRAINING AND WORKSHOPS FOR THE OFFICE OF AIR AND RADIATION

FEDERAL AGENCY:

Office of Air and Radiation, Environmental Protection Agency

AUTHORIZATION:

Clean Air Act, Section 103(b)(3), Public Law 42-7403; National Environmental Policy Act of 1969, Section 102(2)(F), Public Law 91-190, 42 U.S.C 4332.

OBJECTIVES:

To provide, Internships, Training, Workshops, and Technical Monitoring in support of the Clean Air Act. These activities aim to: (1) support the development of career-oriented personnel qualified to work in occupations

involving environmental protection and air pollution abatement and control; (2) provide technical training for State, local, territorial, and Indian Tribal environmental control agencies; (3) enhance the capability of state and local agencies responsible for environmental pollution control or other agencies with similar pollution control responsibilities; (4) provide educational renewal for career oriented personnel to achieve additional knowledge through academic professional training; (5) provide both undergraduate and graduate students in science, engineering, and other relevant fields with education and training opportunities to enhance their understanding of air quality-related topics; and 6) to increase the quality and number of people into the environmental control field.

Projects should also focus on addressing environmental justice (EJ) concerns in communities. EJ is the fair treatment and meaningful involvement of all people regardless of race, color, national origin, or income with respect to the development, implementation, and enforcement of environmental laws, regulations, and policies.

Funding Priority - Fiscal Year 2013: This program will continue to provide environmental education training to a wide range of audiences regarding all aspects of air quality; development of workshops and environmental education programs in air quality based on identified needs; provide technical support to ensure quality information is available to support programs; and utilize knowledge developed in training workshops to wider audience.

US-Mexico Border Environmental Education, Outreach and Support Program. Designed for students in elementary, secondary and higher education, as well as others in the community, to focus on air quality impacts and environmental justice issues in the US Mexico Border region. The program also includes outreach to local communities on air quality and related environmental justice issues and the development of a website to disseminate the environmental education curriculum.

Tribal Environmental Education, Outreach and Support Program. Provides outreach, training and environmental education to American Indian students, educators and communities on a variety of air quality topics and opportunities to participate and pursue environmental careers, particularly in air quality management.

The American Indian Air Quality Training Program. Provides workshop training, internships and technical support to tribal governments to investigate, develop and establish air quality management programs for lands under their jurisdiction. The Tribal Air Monitoring Support Center is a component of this program and provides a full spectrum of technical support to tribes undertaking air quality monitoring and related activities.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Assistance agreements are available to support recipients' allowable direct costs incident to approved projects for internships, training and workshops related to environmental issues plus allowable indirect costs, in accordance with established EPA policies and regulations. This requirement does not involve geospatial information.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

Assistance under this program is generally available to States, local governments, territories, Indian Tribes, and possessions of the U.S., including the Federally Recognized Indian Tribal Government, District of Columbia and possessions of the U.S., international organizations, public and private

universities and colleges, hospitals, laboratories, other public or private nonprofit institutions, which submit applications proposing projects with significant technical merit and relevance to EPA's Office of Air and Radiation's mission. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

State and local governments, U.S. territories and possessions, universities and colleges, hospitals, laboratories, other public and private nonprofit institutions, and Federally Recognized Indian Tribal Governments.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments and Indian Tribes, OMB Circular No. A-21 for educational institutions, and OMB Circular No. A-122 for non-profit institutions. Applicants may be requested to demonstrate they have appropriate background, academic training, experience in the field, and necessary equipment to carry out projects. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. EPA requires final applications to be made on Standard Form 424, Requests for application kits must be submitted to the Environmental Protection Agency, Grants and Interagency Agreements Management Division, 3903R, Washington, DC 20460 or through the appropriate EPA Regional Office listed in Appendix IV of the Catalog or downloaded from <http://www.epa.gov/ogd/forms/forms.htm>. Applicants must submit their requests for training assistance on EPA Standard Form 424 and the fellowship assistance on EPA Standard Form 5770-2. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 180 days after the deadline for the Request for Applications.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

None. All projects will be fully funded.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

If the grant is not fully funded at time of award, EPA normally funds grants on a 12-month incremental basis. Each grant is limited to a 3-year duration. See the following for information on how assistance is awarded/released: Assistance Agreements are typically fully funded by a lump sum.

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Agreements may require quarterly, interim and final progress reports, and financial and equipment reports. Reporting requirements are also identified in the Grant Regulations Part 30 and Part 31.

Grantees are required to submit program reports in accordance with Agency policy and the Monitoring and Reporting Program Performance requirements stated in Federal Grant Regulations 40 CFR Part 31 or 40 CFR Part 30 and OMB Circular No.A-102 or OMB Circular No.A-110. Grantees are required to submit financial reports in accordance with Agency policy and the Financial Reporting and Financial Management Systems requirements stated in Federal Grant Regulations 40 CFR Part 31 or 40 CFR Part 30 and OMB Circular No.A-102 or OMB Circular No.A-110. Grantees are required to submit progress reports in accordance with Agency policy and the Monitoring and Reporting Program Performance requirements stated in Federal Grant Regulations 40 CFR Part 31 or 40 CFR Part 30 and OMB Circular No.A-102 or OMB Circular No.A-110. No expenditure reports are required. Grantees are required to perform performance monitoring in accordance with Agency policy and requirements stated in Federal Grant Regulations 40 CFR Part 31 or 40 CFR Part 30 and OMB Circular No.A-102 or OMB Circular No.A-110.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Recipients must keep financial records, including all documents supporting entries in accounting records and to substantiate changes in grants available to personnel authorized to examine EPA recipient grants and cooperative agreement records. Recipients must maintain all records until 3 years from the date of submission of the final expenditure reports. If questions, such as those raised as a result of an audit remain following the 3-year period, recipients must retain records until the matter is completely resolved.

Account Identification:

68-0108-0-1-304; 68-0107-0-1-304; 68-0103-0-1-304.

Obligations:

(Project Grants) FY 12 \$1,586,196; FY 13 est \$539,999; and FY 14 est \$540,000

Range and Average of Financial Assistance:

EPA generally awards grants ranging in value from \$50,000 to \$250,000 per fiscal year. The average amount is \$100,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Enhanced tribal air quality management programs and increasing environmental protection for areas under their jurisdiction. Increased participation of federally recognized Indian tribes in matters related to air quality policy and regulation, in order to fully implement the CAA in Indian county in a manner consistent with the legal relationship between the United States and those Indian tribes. Increased number of tribes commenting on EPA's Office of Air and Radiation policy and regulatory actions. Increased tribal representation on advisory boards, committees and workgroups advising EPA on air quality activities. Increased number of Native Americans engaged in post-secondary study of air quality and environmental management. Increased

activity and representation of tribal interests in local, regional and national air quality management. Implementing an environmental education program to students focusing on air quality impacts and environmental justice issues in the US Mexico Border region, and providing outreach to local communities of environmental education program. Fiscal Year 2013: Enhanced tribal air quality management programs and increasing environmental protection for areas under their jurisdiction. Increased participation of federally recognized Indian tribes in matters related to air quality policy and regulation, in order to fully implement the CAA in Indian county in a manner consistent with the legal relationship between the United States and those Indian tribes. Increased number of tribes commenting on EPA's Office of Air and Radiation policy and regulatory actions. Increased tribal representation on advisory boards, committees and workgroups advising EPA on air quality activities. Increased number of Native Americans engaged in post-secondary study of air quality and environmental management. Increased activity and representation of tribal interests in local, regional and national air quality management. Implementing an environmental education program to students focusing on air quality impacts and environmental justice issues in the US Mexico Border region, and providing outreach to local communities of environmental education program. Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Training grants are subject to EPA's General Grant Regulations 40 CFR Part 30 and Part 31; 40 CFR Part 45; and EPA Annual Program Guidance provided to State and Local agencies.

Regional or Local Office:

See Regional Agency Offices. EPA encourages potential applicants to communicate with the appropriate EPA Regional Office listed in Appendix IV of the Catalog, and the Headquarters program contacts listed below.

Headquarters Office:

Maureen Hingeley Office of Air and Radiation, Ariel Rios North Building, 1200 Pennsylvania Avenue, N.W., Mail Code 6101A, Washington, District of Columbia 20460 Email: hingeley.maureen@epa.gov Phone: 202-564-1306

Website Address:

<http://www.epa.gov/air>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Projects include providing comprehensive air quality policy and regulatory analysis consisting of support and national coordination activities to assist tribes in understanding, participating in and responding to US EPA's Office of Air and Radiation (OAR) policy and regulatory activities; ensuring American Indian students, educators, and communities are properly informed of, and provided with opportunities to participate in, and pursue environmental careers in the field of air quality management; the American Indian Air Quality Training Program (AIAQTP), which includes workshop training, internships and technical support to tribal governments seeking to investigate, develop and establish air quality management programs for lands under their jurisdiction; US/Mexico Border Environmental Education Program; University of Texas, El Paso's 'Buen Ambiente, Buena Salud' program for a 3-12 environmental education curriculum; and undergraduate and graduate internships focusing on air quality and environmental justice issues in the US Mexico Border region. The program will also include outreach to local communities on air quality and related environmental justice issues and the development of a website to disseminate the environmental education curriculum. Fiscal Year 2013: Projects include providing comprehensive air quality policy and regulatory analysis consisting of support and national coordination activities to assist tribes in understanding, participating in and responding to US EPA's Office of Air and Radiation (OAR) policy and regulatory activities; ensuring American Indian students, educators, and communities are properly informed of, and provided with opportunities to participate in, and pursue environmental careers in the field of air quality management; the American Indian Air Quality Training Program (AIAQTP), which includes workshop training, internships and technical support to tribal governments seeking to investigate, develop and establish air quality management programs for lands under their jurisdiction; US/Mexico Border Environmental Education Program; University of Texas, El Paso's 'Buen

Ambiente, Buena Salud' program for a 3-12 environmental education curriculum; and undergraduate and graduate internships focusing on air quality and environmental justice issues in the US Mexico Border region. The program will also include outreach to local communities on air quality and related environmental justice issues and the development of a website to disseminate the environmental education curriculum. Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement.

66.038 TRAINING, INVESTIGATIONS, AND SPECIAL PURPOSE ACTIVITIES OF FEDERALLY-RECOGNIZED INDIAN TRIBES CONSISTENT WITH THE CLEAN AIR ACT (CAA), TRIBAL SOVEREIGNTY AND THE PROTECTION AND MANAGEMENT OF AIR QUALITY

(Tribal CAA 103 Project Grants)

FEDERAL AGENCY:

Office of Air and Radiation, Environmental Protection Agency

AUTHORIZATION:

Clean Air Act of 1963, Section 103, Public Law 95-95, 42 U.S.C 7403.

OBJECTIVES:

To support Federally-recognized Indian Tribes' efforts to understand, assess and characterize air quality; design methods and plans to protect and improve air quality on tribal lands through surveys, studies, research, training, investigations, and special purpose activities. To ensure tribes have appropriate levels of support and opportunity to understand their air quality and take proactive measures to preserve, restore and protect air quality for their reservations and other lands over which they have jurisdiction through tribal program implementation, CAA implementation, radiation protection, mobile source controls, and voluntary programs to address outdoor and indoor air and other concerns. To ensure that all tribes have the tools they need to understand and participate in local, regional and national issues, regulatory and policy developments, and to protect their air quality from activities off the reservation that may affect or impact them. To improve the ability of tribes to understand, define, design and participate in activities that affect their air quality.

Projects should also focus on addressing environmental justice (EJ) concerns in communities. EJ is the fair treatment and meaningful involvement of all people regardless of race, color, national origin, or income with respect to the development, implementation, and enforcement of environmental laws, regulations, and policies.

Funding Priority - Fiscal Year 2013: EPA and Tribes, in partnership, will protect public health, the environment, and unique Tribal air resources, consistent with the CAA, its Amendments, and EPA's trust responsibilities. This program will ensure Tribes have appropriate levels of support and opportunity to understand their own air quality and take proactive measures to preserve, restore, and protect air quality for their reservations and other lands over which they have jurisdiction through CAA implementation, radiation protection, mobile source controls, and voluntary programs to address indoor and outdoor air and other concerns. Activities will ensure that all Tribes have the tools they need to understand and participate in local, regional, and national issues and to protect their air quality from changes off reservation that may impact them. Key activities include: (1) Training and Program Development - this area includes technical, administrative, and policy related training conducted in-person, on-line, and/or by any remote technological method supporting the enhancement of skills that contribute to development of tribal air quality management activities; (2) Assessment and Monitoring - activities related to assessment may include short or long term efforts to define, design, understand, and assess in a qualitative or quantitative manner (such as through an emission or source inventory) the impacts of air pollutants to human health, the environment, and items of cultural importance. Monitoring includes numerous activities that gather data about the state of the environment and measuring the concentrations and potential effects of criteria and/or toxic air pollutants; (3) Developing capacity or a plan for eligibility under the Tribal Authority Rule - developing capacity includes activities that increase the

experience and expertise of tribes to understand and assess air quality, including technical, administrative, policy, regulatory, education and outreach, communication skills, training, and building contacts, networks and experience. Capacity includes carrying-out these programs and activities, interacting with the national air program and providing input, guidance, and perspective to efforts to develop policies, regulations, and guidance at the local, regional, and national level including reading, report writing, grant writing and reporting, budgeting, public speaking, developing presentations, preparing comments, editing documents, operating and maintaining equipment, and participating in meetings. Developing a plan for eligibility under the Tribal Authority Rule (TAR) includes report reading and writing, grant writing and reporting, budgeting, public speaking, presentation development, comment preparation, document editing, equipment operation and maintenance, and meeting participation; (4) Delegation or Ongoing Capability Development - This activity includes developing technical, administrative, and management skills, knowledge, and abilities through experience, training, and education to enable activities such as reading and interpreting federal regulations, operating equipment, preparing and presenting technical information and reports, interacting with tribal communities and governments, federal bureaucrats and bureaucracy, providing outreach and education, public speaking, conducting public hearings, collecting and analyzing data, developing plans and innovative approaches to pollution control in a culturally sensitive manner; and (5) Tribal Implementation Plan development - tribes may conduct all aspects of air quality management at an advanced level, including data analysis, analyzing, interpreting and commenting on administrative and regulatory requirements and activities at local, state, regional and national levels, developing advanced technical, policy and planning document preparation, and tribal government representation.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grants and cooperative agreements are available to support recipient's allowable direct costs incident to approved Tribal air resources activities that will protect public health and the environment, plus allowable indirect costs, in accordance with established EPA policies and regulations. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>. Executive Order 12372 does not apply to the program.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

Assistance under this program is generally available to Federally-recognized Indian Tribes and Intertribal Consortia, which submit applications proposing projects with significant technical merit and relevance to EPA's Office of Air and Radiation's mission. Tribal CAA 103 Project Grants have been determined by the Agency as exempt from competition under EPA Order 5700.5A1.

Beneficiary Eligibility:

Federally-recognized Indian Tribes and Intertribal Consortia.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments and Indian Tribes. Applicants may be requested to demonstrate they have appropriate background, academic training, experience in the field, and necessary equipment to carry out projects. EPA may ask applicants or principle investigators to provide curriculum vitae and relevant publications. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants. For additional

information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. EPA requires final applications to be made on Standard Form 424. Requests for application kits must be submitted to the Environmental Protection Agency, Grants and Interagency Agreement Management Division, 3903R, Washington, DC 20460 or through the appropriate EPA Regional Office listed in Appendix IV of the Catalog. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

EPA will review and evaluate applications, proposals, and/or submissions in accordance with EPA policies/regulations for non competitive assistance agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 180 days.

Appeals:

Disputes will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

None. Generally, EPA incrementally funds assistance agreements for Training, Investigations, and Special Purpose. Approval of subsequent funding increments is dependent on satisfactory project progress, continued relevance of the project to EPA's priorities, availability of funds, and Agency policies.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

EPA normally funds assistance agreements on a 12-month basis. However, EPA can negotiate the project period with each applicant based on project requirements. EPA limits project periods to 5 years. Grants and cooperative agreements are generally fully funded or on an incremental funding basis. Successful applicants will be notified either via U.S. mail or electronically. See the following for information on how assistance is awarded/released: Assistance agreements are fully funded (lump sum) or incrementally (quarterly) funded.

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Agreements may require quarterly, interim, and final progress reports, and financial, equipment, and invention reports. Reporting requirements are also identified in the Grant Regulations found at 40 CFR Part 30 or 31, as applicable.

Grantees are required to submit program reports in accordance with Agency policy and the Monitoring and Reporting Program Performance requirements stated in Federal Grant Regulations 40 CFR Part 31 or 40 CFR Part 30 and OMB Circular No.A-102. Grantees are required to submit financial reports in accordance with Agency policy and the Financial Reporting and Financial Management Systems requirements stated in Federal Grant Regulations 40 CFR Part 31 or 40 CFR Part 30 and OMB Circular No.A-102. Grantees are required to submit progress reports in accordance with Agency policy and the Monitoring and Reporting Program Performance requirements stated in Federal Grant Regulations 40 CFR Part 31 or 40 CFR Part 30 and OMB Circular No.A-102. No expenditure reports are required. Grantees are required to perform performance monitoring in accordance with Agency policy and requirements stated in Federal Grant Regulations 40 CFR Part 31 or 40 CFR Part 30 and OMB Circular No.A-102.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June

27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Recipients are subject to the retention and access requirements for records under 40 CFR Part 31.42. Recipients must keep financial records, including all documents supporting entries on accounting records and to substantiate changes in grants available to personnel authorized to examine EPA recipients' grant and cooperative agreement records. Recipients must maintain all records until 3 years from the date of submission of final expenditure reports. If questions, such as those raised as a result of audits remain following the 3-year period, recipients must retain records until the matter is completely resolved.

Account Identification:

68-0103-0-1-304; 68-0107-0-1-304; 68-0108-0-1-304.

Obligations:

(Project Grants) FY 12 \$13,300,000; FY 13 est \$12,560,000; and FY 14 est \$12,560,000

Range and Average of Financial Assistance:

There is no minimum amount of assistance; the maximum is \$7,750,000. The general range of the amount of assistance is \$25,000 to \$500,000. The average is \$75,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: In FY2012 this program provided 115 tribal governments with funding to develop capacity and conduct approved activities under the CFDA. Funded tribes are developing tribal air quality management programs, increasing environmental protection for areas under their jurisdiction, through development of programs to implement Clean Air Act and other air quality protections for Indian country, and their accomplishments include greatly increased activity and representation of tribal interests in local, regional and national air quality management, a desirable outcome. In addition, more tribes completed TAS CAA eligibility determinations in FY12, a significant accomplishment towards enhanced environmental protection in Indian country, bringing the cumulative total number of tribes with completed TAS to 38. Tribes also completed a number of activities, including developing Tribal Implementation Plans, completing requests for CAA eligibility for both administrative and programmatic CAA elements. Fifteen specialized tribal training workshops have been conducted training 136 individuals from 98 tribes across the nation. This brings the cumulative total number of tribal students trained by ITEP to over 4,000. Over 125 individuals and 11 different tribes have requested and received individualized technical support, information or assistance on a variety of air quality management topics. Out of 50 applicants and 26 host requests, eight interns were placed in air quality management offices nationwide, including at tribal government and US EPA Offices to advance their air quality management skills. Fiscal Year 2013: In FY2013 this program provided 115 tribal governments with funding to develop capacity and conduct approved activities under the CFDA. Funded tribes are developing tribal air quality management programs, increasing environmental protection for areas under their jurisdiction, through development of programs to implement Clean Air Act and other air quality protections for Indian country, and their accomplishments include greatly increased activity and representation of tribal interests in local, regional and national air quality management, a desirable outcome. In addition, tribes completed TAS CAA eligibility determinations in FY13, bringing the cumulative number of completed TAS totaled 42. Tribes also completed a number of activities, including developing Tribal Implementation Plans, completing requests for CAA eligibility for both administrative and programmatic CAA elements. Fifteen specialized tribal training workshops have been conducted training 136 individuals from 98 tribes across the nation. This brings the cumulative total number of tribal students trained by ITEP to over 4,000. Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Training, Investigations, and Special Purpose grants and cooperative agreements are subject to EPA General Grant Regulations 40 CFR Part 31. Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments and Indian Tribes.

Regional or Local Office:

See Regional Agency Offices. EPA encourages potential applicants to communicate with the appropriate EPA Regional Office listed in Appendix IV of the Catalog, and the Headquarters program contacts listed below. For information on grant applications and procedures, contact: Environmental Protection Agency, Grants and Interagency Agreement Management Division, 3903R, Washington, D.C. 20460. For program information contact: Pat Childers, Phone: (202) 564-1082, e-mail: childers.pat@epa.gov.

Headquarters Office:

Pat Childers USEPA Headquarters
Ariel Rios Building
1200 Pennsylvania Avenue, N. W.
MC 6103A, Washington, District of Columbia 20460 Email:
childers.pat@epa.gov Phone: (202) 564-1082

Website Address:

<http://www.epa.gov/air/tribal>

RELATED PROGRAMS:

66.001 Air Pollution Control Program Support; 66.034 Surveys, Studies, Research, Investigations, Demonstrations, and Special Purpose Activities Relating to the Clean Air Act

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: One hundred fifteen grants awarded to fund tribal air quality management program development, air quality assessments, air pollution emission inventory development, tribal air quality monitoring for ozone, particulate matter, sulfur dioxide, nitrogen oxides, acid deposition, and mercury; development and implementation of TAS authority under the Tribal Authority Rule and CAA program development and implementation of administrative and programmatic elements of the CAA; specific examples include smoke management plans; Tribal Implementation Plans; Air Quality Management Program development; Indoor Air Quality management and assessment; and, the American Indian Air Quality Training Program including the Tribal Air Monitoring Support Center, a partnership between tribes, EPA and Northern Arizona University to provide training and technical support to tribes monitoring air quality and implementing the Clean Air Act and other air quality management projects and programs; and, the Environmental Education Outreach Program at Northern Arizona University, to encourage tribal students to pursue careers in air quality, where they are significantly under-represented. Fiscal Year 2013: Grant work is currently still underway. We expect to match 2012's one hundred fifteen grants awarded to fund tribal air quality management program development, air quality assessments, air pollution emission inventory development, tribal air quality monitoring for ozone, particulate matter, sulfur dioxide, nitrogen oxides, acid deposition, and mercury; development and implementation of TAS authority under the Tribal Authority Rule and CAA program development and implementation of administrative and programmatic elements of the CAA; specific examples include smoke management plans; Tribal Implementation Plans; Air Quality Management Program development; Indoor Air Quality management and assessment; and, the American Indian Air Quality Training Program including the Tribal Air Monitoring Support Center, a partnership between tribes, EPA and Northern Arizona University to provide training and technical support to tribes monitoring air quality and implementing the Clean Air Act and other air quality management projects and programs; and, the Environmental Education Outreach Program at Northern Arizona University, to encourage tribal students to pursue careers in air quality, where they are significantly under-represented. Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Applications and proposals are selected for funding based on factors such as relevancy to EPA's mission, technical merit, and the likelihood of success. Tribal CAA 103 Project Grants have been determined exempt from competition under EPA Order 5700.5A1.

66.039 NATIONAL CLEAN DIESEL EMISSIONS REDUCTION PROGRAM

DERA National Funding Assistance Program

FEDERAL AGENCY:

Office of Air and Radiation, Environmental Protection Agency

AUTHORIZATION:

Energy Policy Act of 2005, Title VII, Part G, 42 U.S.C 16131.

OBJECTIVES:

The Diesel Emissions Reduction Act, 42 U.S.C. 16131 et seq., as amended, authorization includes the authority for EPA to award grants, rebates and low-cost revolving loans to eligible entities to fund the costs of a retrofit technology that significantly reduces emissions through implementation of a certified engine configuration, verified technology, or emerging technology for buses (including school buses), medium heavy-duty or heavy heavy-duty diesel trucks, marine engines, locomotives, or nonroad engines or diesel vehicles or equipment used in construction, handling of cargo (including at port or airport), agriculture, mining, or energy production. In addition, eligible entities may also use funds awarded for programs or projects to reduce long-duration idling using verified technology involving a vehicle or equipment described above, or the creation of low-cost revolving loan programs to finance diesel emissions reduction projects. The objective of the assistance under this program is to achieve significant reductions in diesel emissions in terms of tons of pollution produced and reductions in diesel emissions exposure, particularly from fleets operating in areas designated by the Administrator as poor air quality areas.

Projects should also focus on promoting environmental justice (EJ). EJ is the fair treatment and meaningful involvement of all people regardless of race, color, national origin, or income with respect to the development, implementation, and enforcement of environmental laws, regulations, and policies.

Funding Priority - Fiscal Year 2013: The National Clean Diesel Funding Assistance Program aims to work aggressively to reduce the pollution emitted from diesel engines across the country through the implementation of varied control strategies and aggressive involvement of national, state, and local partners. Priority shall be given to projects which: Maximize public health benefits; Are the most cost-effective; Serve areas with the highest population density, that are poor air quality areas (including nonattainment or maintenance of national ambient air quality standards for a criteria pollutant; Federal Class I areas; or areas with toxic air pollutant concerns); Serve areas that receive a disproportionate quantity of air pollution from diesel fleets, including truck stops, ports, rail yards, terminals, construction sites, schools and distribution centers or that use a community-based multi-stakeholder collaborative process to reduce toxic emissions; Include a certified engine configuration or verified technology that has a long expected useful life; Will maximize the useful life of any certified engine configuration or verified technology used or funded by the eligible entity; and Conserve diesel fuel. Clean Diesel Emerging Technologies Program grants and SmartWay Clean Diesel Finance Program grants were not funded in FY2013.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Assistance agreements are available to support recipient's allowable costs incident to supporting projects to reduce emissions from diesel engines, plus allowable indirect costs, in accordance with established EPA policies and regulations. No grant, rebate or loan provided under this section shall be used to fund the costs of emissions reductions that are mandated under Federal law. Voluntary or elective emission reduction measures shall not be considered "mandated", regardless of whether the reductions are included in the State implementation plan of a State. Distribution of Funds: 1) not less than 95 percent of funds available for a fiscal year under the DERA National Program shall be provided to eligible entities for projects using a certified engine configuration or a verified technology and 2) not more than 5 percent of funds available for a fiscal year under the DERA National Program shall be provided to eligible entities for the development and commercialization and implementation of emerging technologies.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

A regional, State, local or tribal agency or port authority with jurisdiction over transportation or air quality; and a nonprofit organization or institution that represents or provides pollution reduction or educational services to persons or organizations that own or operate diesel fleets; or has, as its principal purpose, the promotion of transportation or air quality are eligible for assistance under this program. City, county, or municipal agencies, school districts, and metropolitan planning organizations (MPOs) that have jurisdiction over transportation or air quality are all eligible entities under this program to the extent that they fall within the definition above. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

State, Local, Public Nonprofit Institution/Organization, Anyone/General Public.

Credentials/Documentation:

Costs will be determined in accordance with Office of Management and Budget (OMB) Circular A-87, found at 2 CFR 225, for State and local governments and Indian Tribes, OMB Circular No. A-21, found at 2 CFR 220, for educational institutions, and OMB Circular No. A-122, found at 2 CFR 230, for nonprofit institutions. Applicants may be requested to demonstrate they have appropriate background, academic training, experience in the field, and necessary equipment to carry out projects. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. By law Section 792 includes application requirements. In addition, EPA requires final applications to be made on Standard Form 424, Application for Federal Assistance. Requests for application kits must be submitted to the Environmental Protection Agency, Grants and Interagency Agreements Management Division, 3903R, Washington, DC 20460. Standard Form 424 is also available for download at EPA's Office of Grants and Debarment (OGD) web site, <http://www.epa.gov/ogd/AppKit/application.htm>, from www.grants.gov, or from EPA Regional Collaborative assistance agreement websites. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

For competitive awards, EPA's Office of Air and Radiation and/or the 10 EPA Regions will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application

deadlines.

Range of Approval/Disapproval Time:

Approximately 180 days after the request for applications.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

Generally, EPA fully funds assistance agreements under this program.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: There is no requirement for a matching contribution from applicants other than for certain projects (engine upgrades and conversions, idle reduction technologies, aerodynamic technologies and low rolling resistance tires, engine repowers, vehicle/equipment replacements, and drayage vehicle replacements). Proposed projects involving engine upgrades and conversions, idle reduction technologies, aerodynamic technologies and low rolling resistance tires, engine repowers, vehicle/equipment replacements, and drayage vehicle replacements are subject to funding limitations, which are:

Engine Upgrade - EPA will fund up to 40% of the cost of an eligible engine upgrade.

Idle Reduction Technologies - EPA will not fund stand-alone idle reduction technologies, except for shore connection systems, truck stop electrification technologies, and idle reduction technologies applied to locomotives as described below. EPA will fund up to 100% of the cost of an eligible, verified idle reduction technology, if that technology is combined on the same vehicle with a new eligible verified exhaust control.

Shore Connection Systems and Truck Stop Electrification Technologies - EPA will fund up to 25% of the cost of eligible shore connection systems and truck stop electrification technologies.

Locomotive Idle Reduction Technologies - EPA will fund up to 40% of the cost of an eligible idle reduction technology installed on a locomotive.

Aerodynamic Technologies and Low Rolling Resistance Tires - EPA will not fund stand-alone aerodynamic technologies or low rolling resistance tires. If aerodynamic technologies or low rolling resistance tires are combined on the same vehicle with an eligible verified exhaust control, up to 100% funding will be provided for the entire package.

Engine Repower - EPA will fund up to 40% of the cost of an eligible engine repower.

Vehicle/Equipment Replacement - EPA will fund up to 25% of the cost of a newer, cleaner vehicle or piece of equipment powered by a 2011 or newer model year certified diesel engine (except for drayage vehicles; see below).

Drayage Vehicle Replacement - EPA will fund up to 50% of the cost of eligible drayage trucks with a 2010 model year or newer heavy duty engine (or equipped with a catalyst in the case of a CNG engine).

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The assistance agreements funded under the National Clean Diesel Emissions Reduction Program typically have a two-year project period with the possibility for extension up to a five-year project period. FY 2013 is the sixth year of funding for this Program, in addition to the FY 2009 supplemental funding received under the American Recovery and Reinvestment Act (ARRA or Recovery Act). See the following for information on how assistance is awarded/released: Assistance agreements may be fully (lump sum) funded.

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Agreements may require quarterly, interim and final progress reports, and financial, equipment, and invention reports. Specific reporting requirements are also identified in the Grant Regulations 40 CFR Part 30 and 40 CFR Part 31.

Grantees are required to submit program reports in accordance with Agency policy and the Monitoring and Reporting Program Performance requirements stated in Federal Grant Regulations 40 CFR Part 31 or 40 CFR Part 30 and OMB Circular No.A-102 or OMB Circular No.A-110. Grantees are required to submit financial reports in accordance with Agency policy and the Financial Reporting and Financial Management Systems requirements stated in Federal Grant Regulations 40 CFR Part 31 or 40 CFR Part 30 and OMB Circular No.A-102 or OMB Circular No.A-110. Grantees are required to submit progress reports in accordance with Agency policy and the Monitoring and Reporting Program Performance requirements stated in Federal Grant Regulations 40 CFR Part 31 or 40 CFR Part 30 and OMB Circular No.A-102 or OMB Circular No.A-110. No expenditure reports are required. Grantees are required to perform performance monitoring in accordance with Agency policy and requirements stated in Federal Grant Regulations 40 CFR Part 31 or 40 CFR Part 30 and OMB Circular No.A-102 or OMB Circular No.A-110.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Recipients must keep financial records, including all documents supporting entries in accounting records and to substantiate changes in grants available to personnel authorized to examine EPA recipient grants and cooperative agreement records. Recipients must maintain all records until 3 years from the date of submission of the final expenditure reports. If questions, such as those raised as a result of an audit remain following the 3-year period, recipients must retain records until the matter is completely resolved.

Account Identification:

68-0103-0-1-304.

Obligations:

(Project Grants) FY 12 \$19,000,000; FY 13 est \$13,000,000; and FY 14 est \$13,000,000

Range and Average of Financial Assistance:

Smaller grants typically range from \$100,000 - \$300,000 with an average award of \$125,000. Larger grants typically range from \$500,000 - \$2 million with an average award of \$650,000.

Recovery Act Funding under the National Clean Diesel Emissions Reduction Program totaled \$205,800,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: In FY 2012, the DERA program awarded 26 grants nationwide, for a total of approximately \$19,000,000. For a list of awarded grants, visit www.epa.gov/cleandiesel and click on "Grants and Funding, Fiscal Year 2013: In FY 2013 awards, visit www.epa.gov/cleandiesel and click on "Grants and Funding." Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Diesel Emissions Reduction program assistance agreements are subject to EPA's general grant regulations (40 CFR Part 30 and Part 31, as applicable).

Regional or Local Office:

See Regional Agency Offices. EPA encourages potential applicants to

communicate with the appropriate EPA Regional Office listed in Appendix IV of the Catalog.

Headquarters Office:

Jennifer Keller, Environmental Protection Agency, 1200 Pennsylvania Avenue, N.W., Mail Code: 6405J, Washington, District of Columbia 20460 Email: keller.jennifer@epa.gov Phone: (202) 343-9541.

Website Address:

<http://www.epa.gov/cleandiesel>

RELATED PROGRAMS:

66.040 State Clean Diesel Grant Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Projects include a variety of diesel emissions reductions solutions such as: add-on emission control retrofit technologies; idle reduction technologies; cleaner fuel use; engine repowers; engine upgrades and conversions; and/or vehicle or equipment replacement. Eligible vehicles, engines and equipment may include: buses (including school buses); medium-duty or heavy-duty trucks; marine engines; locomotives; and nonroad engines or vehicles used in: i) construction; ii) handling or cargo (including at a port or airport); iii) agriculture; iv) mining; or v) energy production (including stationary engines such as generators or pumps). Fiscal Year 2013: Projects include a variety of diesel emissions reductions solutions such as: add-on emission control retrofit technologies; idle reduction technologies; cleaner fuel use; engine repowers; engine upgrades and conversions; and/or vehicle or equipment replacement. Eligible vehicles, engines and equipment may include: buses (including school buses); medium-duty or heavy-duty trucks; marine engines; locomotives; and nonroad engines or vehicles used in: i) construction; ii) handling or cargo (including at a port or airport); iii) agriculture; iv) mining; or v) energy production (including stationary engines such as generators or pumps). Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement.

66.040 STATE CLEAN DIESEL GRANT PROGRAM

(DERA State Program)

FEDERAL AGENCY:

Office of Air and Radiation, Environmental Protection Agency

AUTHORIZATION:

The Energy Policy Act of 2005 as amended (The Diesel Emissions Reduction Act), Title 7(G), 42 U.S.C 16131.

OBJECTIVES:

The Diesel Emissions Reduction Act, 42 U.S.C. 16131 et seq., as amended, authorizes EPA to award assistance agreements to States to develop and implement such grant, rebates, and low-cost revolving loan programs in the State as are appropriate to meet State needs and goals relating to the reduction of diesel emissions.

Projects should also focus on promoting environmental justice (EJ). EJ is the fair treatment and meaningful involvement of all people regardless of race, color, national origin, or income with respect to the development, implementation, and enforcement of environmental laws, regulations, and policies.

Funding Priority - Fiscal Year 2013: In providing grants, rebates, and loans under this program, States must give priority to projects which: Maximize public health benefits; Are the most cost-effective; Serve areas with the highest population density, that are poor air quality areas (including nonattainment or maintenance of national ambient air quality standards for a criteria pollutant; Federal Class I areas; or areas with toxic air pollutant concerns); Serve areas that receive a disproportionate quantity of air pollution from diesel fleets, including truck stops, ports, rail yards, terminals, construction sites, schools, and distribution centers or that use a community-based multi-stakeholder collaborative process to reduce toxic emissions; will only include a certified engine configuration or verified technology that has a long expected useful life; Maximize the useful life of any certified engine configuration or verified

technology used or funded by the eligible entity; and Conserve diesel fuel.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

Grants and cooperative agreements are available to support the development and implementation of such grant, rebate, and low-cost revolving loan programs in a State as are appropriate to meet State needs and goals relating to the reduction of diesel emissions. A grant rebate, or loan funded by the State using funds from the DERA State Program must be used for projects involving EPA-verified and/or California Air Resources Board (CARB) verified diesel emissions reduction technologies and/or EPA certified engines. Funds received under the DERA National Program (see CFDA 66.039) may not be used to pay a matching share under this program. States must use the same statutory priorities as the national competitive program when selecting projects. States receiving funding under this program must comply with public notification requirements.

Recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

Assistance under this program is available to the 50 states and the District of Columbia, Puerto Rico, the Virgin Islands, American Samoa, Guam, and the Northern Mariana Islands.

Beneficiary Eligibility:

State, Local, Public Nonprofit Institution/Organization, Anyone/General Public.

Credentials/Documentation:

Costs for State governments will be determined in accordance with Office of Management and Budget (OMB) Circular A-87, found at 2 CFR 225. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. EPA requires final applications to be made on Standard Form 424, Application for Federal Assistance. Requests for application kits must be submitted to the Environmental Protection Agency, Grants and Interagency Agreements Management Division, 3903R, Washington, DC 20460. Standard Form 424 is also available for download at EPA's Office of Grants and Debarment (OGD) web site, <http://www.epa.gov/ogd/AppKit/application.htm>, from www.grants.gov, or from EPA Regional Collaborative assistance agreement websites.

Award Procedure:

EPA's Office of Air and Radiation and/or the 10 EPA Regions will review and evaluate applications in accordance with the terms, conditions, and criteria stated in the Federal Register Notice of Availability of Funds for this program.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 180 days after the request for applications.

Appeals:

Disputes will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

Permitted. Generally, EPA incrementally funds these cooperative agreements. Approval of subsequent funding increments is dependent on satisfactory project progress, continued relevance of the projects to EPA's priorities, availability of funds, and Agency policy on the cooperative agreement process.

Formula and Matching Requirements:

Statutory Formula: Title 42 U.S.C. 16133(c).

This program has no matching requirements. This program has a statutory allocation formula (42 U.S.C. 16133(c)). There is no match requirement for this program. However, there is an incentive match provision (42 U.S.C. 16133(c)(3)).

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Assistance agreements funded under this program may have up to a seven-year project period. See the following for information on how assistance is awarded/released: Assistance agreements may be incrementally (annually) or fully (lump sum) funded.

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Specific reporting requirements are also identified in the Grant Regulations at 40 CFR Part 31. Recipients must make a public notification listing project information on their State websites within 60 days of a grant, rebate, or loan. Website postings must include the total number and dollar amount of rebates, grants, or loans provided, as well as a breakdown of the technologies funded.

Grantees are required to submit program reports in accordance with Agency policy and the Monitoring and Reporting Program Performance requirements stated in Federal Grant Regulations 40 CFR Part 31 and OMB Circular No.A-102. Grantees are required to submit financial reports in accordance with Agency policy and the Financial Reporting and Financial Management Systems requirements stated in Federal Grant Regulations 40 CFR Part 31 and OMB Circular No.A-102. Grantees are required to submit progress reports in accordance with Agency policy and the Monitoring and Reporting Program Performance requirements stated in Federal Grant Regulations 40 CFR Part 31 and OMB Circular No.A-102. No expenditure reports are required. Grantees are required to perform performance monitoring in accordance with Agency policy and requirements stated in Federal Grant Regulations 40 CFR Part 31 and OMB Circular No.A-102.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Recipients must keep financial records, including all documents supporting entries in accounting records and to substantiate changes in grants available to personnel authorized to examine EPA recipient grants and cooperative agreement records. Recipients must maintain all records until 3 years from the date of submission of the final expenditure reports. If questions, such as those raised as a result of an audit remain following the 3-year period, recipients must retain records until the matter is completely resolved.

Account Identification:

68-0103-0-1-304.

Obligations:

(Formula Grants) FY 12 \$8,900,000; FY 13 est \$4,900,000; and FY 14 est \$5,000,000

Range and Average of Financial Assistance:

There is no minimum or maximum amount of assistance. The range is estimated from \$28,000 (Island Base Amount) to approximately \$168,000 (non-Island State Base Amount), with an average funding of approximately \$120,000, depending on whether all eligible states participate in FY 2013. If fewer than 53 eligible states participate, the base amount of the non participating states will be distributed among the participating states according to population, in accordance with 42 U.S.C. 16133(c)(2)(C).

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: In FY 2012, 39 States, the District of Columbia, Puerto Rico, the Northern Mariana Islands, Guam, American Samoa, and the Virgin Islands participated in the program. Each State were awarded a cooperative agreement for clean diesel activities. Fiscal Year 2013: In FY 2013, 43 States, the District of Columbia, Puerto Rico, the Northern Mariana Islands, Guam, American Samoa, and the Virgin Islands will participate in the program. Each State will be awarded a cooperative agreement for clean diesel activities. Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

State Clean Diesel Grant Program grants and cooperative agreements are subject to EPA's general grant regulations (40 CFR Part 31).

Regional or Local Office:

See Regional Agency Offices. EPA encourages potential applicants to communicate with the appropriate EPA Regional Office listed in Appendix IV of the Catalog.

Headquarters Office:

Jennifer Keller, Environmental Protection Agency, 1200 Pennsylvania Avenue, N.W., Mail Code: 6405J, Washington, District of Columbia 20460 Email: keller.jennifer@epa.gov Phone: (202) 343-9541.

Website Address:

<http://www.epa.gov/cleandiesel>

RELATED PROGRAMS:

66.039 National Clean Diesel Funding Assistance Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: States may use funds under this program to develop and implement grant, rebate and loan programs that are designed to achieve significant reductions in diesel emissions and are appropriate to meet State needs and goals relating to the reduction of diesel emissions. State grant, rebate and loan programs may fund projects relating to a certified engine configuration or a verified technology for vehicles, engines and equipment, including: buses; medium-duty or heavy-duty trucks; marine engines; locomotives; and nonroad engines or vehicles used in: i) construction; ii) handling or cargo (including at a port or airport); iii) agriculture; iv) mining; or v) energy production. Projects under the State's grant program may include, but are not limited to, a variety of diesel emissions reductions solutions such as: add-on emission control retrofit technologies; idle reduction technologies; cleaner fuel use; engine repowers; engine upgrades; and/or vehicle or equipment replacement; and the creation of low-cost revolving loan programs to finance diesel emissions reduction projects. Fiscal Year 2013: States may use funds under this program to develop and implement grant, rebate and loan programs that are designed to achieve significant reductions in diesel emissions and are appropriate to meet State needs and goals relating to the reduction of diesel emissions. State grant, rebate and loan programs may fund projects relating to a certified engine configuration or a verified technology for vehicles, engines and equipment, including: buses; medium-duty or heavy-duty trucks; marine engines; locomotives; and nonroad engines or vehicles used in: i) construction; ii) handling or cargo (including at a port or airport); iii) agriculture; iv) mining; or v) energy production. Projects under the State's grant program may include, but are not limited to, a variety of diesel emissions reductions solutions such as: add-on emission control retrofit technologies; idle reduction technologies; cleaner fuel use; engine repowers; engine upgrades; and/or vehicle or equipment replacement; and the creation of

low-cost revolving loan programs to finance diesel emissions reduction projects. Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for awards under this CFDA description is described in the FY 2013 State Program Guidelines, in accordance with 42 U.S.C. 16133(b).

66.042 TEMPORALLY INTEGRATED MONITORING OF ECOSYSTEMS (TIME) AND LONG-TERM MONITORING (LTM) PROGRAM

(TIME/LTM)

FEDERAL AGENCY:

Office of Air and Radiation, Environmental Protection Agency

AUTHORIZATION:

National Environmental Policy Act, Section 102(2)(F); Clean Air Act, Section 103(b)(3); Clean Water Act, Section 104(b)(3).

OBJECTIVES:

Clean Air Act section 103(b)(3) authorizes EPA to conduct and promote the coordination and acceleration of research, investigations, experiments, demonstrations, surveys, and studies relating to the causes, effects (including health and welfare effects), extent, prevention and control of air pollution. Clean Water Act section 104(b)(3) authorizes EPA to award grants to conduct and promote the coordination and acceleration of research, investigations, experiments, training, demonstrations, surveys and studies relating to the causes, effects, extent, prevention, reduction and elimination of pollution. EPA will award cooperative agreements to study the ecological response to pollution reduction and emissions control programs. The programs will assist in understanding biogeochemical changes in sulfur, nitrogen, aluminum, and carbon in streams and lakes in relation to changing pollutant emissions and deposition, as well as other factors, such as potential climate change (e.g., temperature, precipitation, and storm frequency and intensity).

Funding Priority - Fiscal Year 2013: The TIME/LTM programs will support initiatives that demonstrate the potential for tracking and understanding the ecological response to air pollution reduction programs in acid-sensitive regions of the U.S. Funding will be available for applicants that demonstrate the ability to provide consistent, reliable, quality-assured data from lakes and streams for a variety of surface water chemistry parameters (e.g., pH, sulfate and nitrate concentrations, acid neutralizing capacity, aluminum concentrations, base cations).

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

EPA will implement this grant authority by awarding cooperative agreements to state and local governments, institutions of higher education, and quasi-public and non-profit organizations that demonstrate the capability to perform the sampling, measurement, and parameter characterization of the lakes and streams in this long-term ecological system response program. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

Assistance under this program is generally available to States, local governments, territories, Indian Tribes, and possessions of the U.S. (including the District of Columbia); public and private universities and colleges; hospitals; laboratories; public or private nonprofit institutions; intertribal

consortia; and individuals. Nonprofit organizations described in Section 501(c)(4) of the Internal Revenue Code that engage in lobbying activities as defined in Section 3 of the Lobbying Disclosure Act of 1995 are not eligible to apply. An intertribal consortium must meet the definition of eligibility in the Environmental Program Grants for Tribes Final Rule, at 40 CFR 35.504 (66 FR 3782, January 16, 2001) (FRL-6929-5) and be a non-profit organization within the meaning of OMB Circular A-122. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

State and local governments in acid-sensitive regions of the U.S., institutions of higher education, scientific research community, general public.

Credentials/Documentation:

OMB Circular A-87 applies to this program. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. The standard application forms as furnished by the Federal agency and required by OMB Circular No. A-102 are applicable. In addition, EPA requires final applications to be made on Standard Form 424, Application for Federal Assistance. Requests for application kits must be submitted to the Environmental Protection Agency, Grants and Interagency Agreements Management Division, 3903R, Washington, DC 20460. Standard Form 424 is also available for download at EPA's Office of Grants and Debarment (OGD) web site, <http://www.epa.gov/ogd/AppKit/application.htm>, from www.grants.gov. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 180 days.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. While there is no statutory or regulatory cost sharing requirement for this program, as a matter of policy the Agency may impose a cost sharing requirement in specific competitions. If the

Agency decides to do this, the cost sharing terms will be stated in Section III of the competitive announcement.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

EPA normally funds assistance agreements on a 12-month basis. However, EPA can negotiate the project period with each applicant based on project requirements. EPA limits project periods to 7 years. See the following for information on how assistance is awarded/released: Assistance agreements may be incrementally (quarterly) or fully (lump sum) funded.

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Agreements may require quarterly, interim, and final progress reports, and financial, equipment, and invention reports. Reporting requirements are also identified in the Grant Regulations Part 30 and Part 31, as applicable.

Grantees are required to submit program reports in accordance with Agency policy and the Monitoring and Reporting Program Performance requirements stated in Federal Grant Regulations 40 CFR Part 31 or 40 CFR Part 30, as applicable. Grantees are required to submit financial reports in accordance with Agency policy and the Financial Reporting and Financial Management Systems requirements stated in Federal Grant Regulations 40 CFR Part 31 or 40 CFR Part 30, as applicable. Grantees are required to submit progress reports in accordance with Agency policy and the Monitoring and Reporting Program Performance requirements stated in Federal Grant Regulations 40 CFR Part 31 or 40 CFR Part 30, as applicable. No expenditure reports are required. Grantees are required to perform performance monitoring in accordance with Agency policy and requirements stated in Federal Grant Regulations 40 CFR Part 31 or 40 CFR Part 30, as applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Recipients must keep financial records, including all documents supporting entries on accounting records and to substantiate changes in grants available to personnel authorized to examine EPA recipients' grants or cooperative agreements records. Recipients must maintain all records until 3 years from the date of submission of final expenditure reports. If questions, such as those raised as a result of audits remain following the 3-year period, recipients must retain records until the matter is completely resolved.

Account Identification:

68-0107-0-1-304; 68-0108-0-1-304.

Obligations:

(Project Grants) FY 12 \$382,000; FY 13 est \$390,000; and FY 14 est \$375,000

Range and Average of Financial Assistance:

There is no minimum amount of assistance. EPA anticipates annual awards ranging in value of \$200,000 to \$175,000, with an average award of \$190,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: The two grantees successfully fulfilled their assistance agreement project performance and financial obligations. Approximately 750 lake and stream samples from 108 TIME and LTM sites in NY and VT were collected and analyzed, thus continuing a 30-year data set for the TIME/LTM sites. Fiscal Year 2013: The two grantees continue to successfully fulfill their assistance agreement project performance and financial obligations. Approximately 750 lake and stream samples from 108 TIME and LTM sites in NY and VT were collected and analyzed, thus continuing a 30-year plus data

set for the TIME/LTM sites. Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

TIME/LTM assistance agreements are subject to EPA's General Grant Regulations (40 CFR Part 30 and 40 CFR Part 31, as applicable).

Regional or Local Office:

None.

Headquarters Office:

Clara Funk US Environmental Protection Agency, 1200 Pennsylvania Avenue, N.W., Mail Code: 6204J, Washington, District of Columbia 20460 Email: funk.clara@epa.gov Phone: (202) 343-9622

Website Address:

<http://www.epa.gov/airmarkets/assessments/surfacewater.html>

RELATED PROGRAMS:

66.034 Surveys, Studies, Research, Investigations, Demonstrations, and Special Purpose Activities Relating to the Clean Air Act; 66.436 Surveys, Studies, Investigations, Demonstrations, and Training Grants and Cooperative Agreements - Section 104(b)(3) of the Clean Water Act

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Projects consisted of monitoring of small headwaters streams in undeveloped watersheds; monitoring of lakes smaller than four hectares with low acid neutralizing capacity levels in acid-sensitive regions; monitoring of lake and stream sulfate and nitrate concentrations in acid-sensitive regions of the eastern U.S. Fiscal Year 2013: Projects consisted of monitoring of small headwaters streams in undeveloped watersheds; monitoring of lakes smaller than four hectares with low acid neutralizing capacity levels in acid-sensitive regions; monitoring of lake and stream sulfate and nitrate concentrations in acid-sensitive regions of the eastern U.S. Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

EPA selects proposed TIME/LTM projects for funding based on factors such as relevancy to EPA's mission, technical merit, and the likelihood of success. If EPA issues a solicitation for applications for a particular project or group of projects, the solicitation will identify specific criteria. The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement.

66.043 REGIONAL HEALTHY INDOOR AIR PROJECTS FOR COMMUNITY OUTREACH AND EDUCATION, SURVEYS, STUDIES, RESEARCH, INVESTIGATIONS, DEMONSTRATIONS, AND SPECIAL PURPOSE ACTIVITIES RELATING TO THE CLEAN AIR ACT

Regional Healthy Indoor Air Projects for Community Outreach and Education
FEDERAL AGENCY:

Office of Air and Radiation, Environmental Protection Agency

AUTHORIZATION:

National Environmental Policy Act of 1969, Section 102(2)(F), Public Law 91-190, 42 U.S.C 4332; Clean Air Act of 1963, Section 103, Public Law 95-95, 42 U.S.C 7401.

OBJECTIVES:

To support Surveys, Studies, Research, Investigations, Demonstrations and Special Purpose assistance for community based environmental health efforts relating to the causes, effects (including health and welfare effects), extent, prevention, and control of air pollution to include such topics as indoor air quality, indoor environments, indoor air pollution and indoor air pollutants. Projects should also focus on addressing environmental justice (EJ) and/or disproportionately impacted community concerns. EJ is the fair treatment and meaningful involvement of all people regardless of race, color, national origin, or income with respect to the development, implementation, and enforcement of environmental laws, regulations, and policies.

Funding Priority - Fiscal Year 2013: Activities will support surveys, studies, research, training, outreach, education, investigations or demonstrations performed by organizations that lead to effective outreach strategies to educate key audiences about indoor air pollutants, their associated health risks and encourage effective mitigation and control strategies. Currently, the Program

focuses on several critical aspects of indoor air quality that pose significant risks to public health, and in particular, to children and other disproportionately impacted populations. Program focus areas include, but are not limited to reducing the exposure of children and others with asthma to indoor triggers that worsen their health condition; promoting the adoption of operation and maintenance practices in schools, homes, and other buildings throughout the nation to reduce the harmful effects of poor indoor air quality on the health of the occupants; promoting voluntary radon testing by homeowners and building operators to identify elevated levels and fix them when they are found as well as working with homebuilders to incorporate radon resistant and improved indoor air quality construction features into new and remodeled homes; and encouraging adult smokers to protect their children from the adverse health effects of environmental exposure to secondhand smoke by making a conscious decision to keep their homes and cars smokefree.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Grants and cooperative agreements are available to support recipients' allowable direct costs incident to approved Surveys, Studies, Research, Investigations, Demonstrations and Special Purpose plus allowable indirect costs, in accordance with established EPA policies and regulations. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

Assistance under this program is generally available to States, local governments, territories, Indian Tribes, and possessions of the U.S., including the District of Columbia, international organizations, public and private universities and colleges, hospitals, laboratories, other public or private nonprofit institutions, which submit applications proposing projects with significant technical merit and relevance to EPA's Office of Air and Radiation's mission. Eligibility for projects awarded or competed exclusively with State and Tribal Assistance Grant (STAG) funds is limited to air pollution control agencies, as defined in section 302(b) of the Clean Air Act that are also eligible to receive grants under section 105 of the Clean Air Act, and/or federally recognized tribes and inter-tribal consortia, consisting of federally recognized tribe members. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

State and local governments, U.S. territories and possessions, Indian Tribes, universities and colleges, hospitals, laboratories, and other public and private nonprofit institutions.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments and Indian Tribes, OMB Circular No. A-21 for educational institutions, and OMB Circular No. A-122 for nonprofit institutions. Applicants may be requested to demonstrate they have appropriate background, academic training, experience in the field, and necessary equipment to carry out projects. EPA may ask applicants or principle investigators to provide curriculum vitae and relevant publications. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that

will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. EPA requires final applications to be made on Standard Form 424. Requests for application kits must be submitted to the Environmental Protection Agency, Grants and Interagency Agreements Management Division, 3903R, Washington, DC 20460 or through the appropriate EPA Regional Office listed in Appendix IV of the Catalog. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 180 days.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

None. Generally, EPA incrementally funds grants and cooperative agreements for Surveys, Studies, Research, and Investigations. Approval of subsequent funding increments is dependent on satisfactory project progress, continued relevance of the project to EPA's priorities, availability of funds, and Agency policy on the competitive grant process.

Formula and Matching Requirements:

This program has no statutory formula. This program has no matching requirements. While there is no statutory or regulatory cost sharing requirement for this program, as a matter of policy the Agency may impose a cost sharing requirement in specific competitions. If the Agency decides to do this, the cost sharing terms will be stated in Section III of the competitive announcement.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

EPA normally funds grants and cooperative agreements on a 12 to 24 month basis. However, EPA can negotiate the project period with each applicant based on project requirements. EPA limits project periods to 5 years. Grants are generally fully funded or on an incremental funding basis. Successful applicants will be notified either via U.S. mail or electronically. Such notification is contingent upon information contained in the resulting solicitation. See the following for information on how assistance is awarded/released: Assistance agreements may be incrementally or fully (lump sum) funded.

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Agreements may require quarterly, interim, and final progress reports, and financial, equipment, and invention reports. Reporting requirements are also identified in the Grant Regulations Part 30 and Part 31.

Grantees are required to submit program reports in accordance with Agency policy and the Monitoring and Reporting Program Performance requirements stated in Federal Grant Regulations 40 CFR Part 31 or 40 CFR Part 30 and OMB Circular No.A-102 or OMB Circular No.A-110. Grantees are required to submit financial reports in accordance with Agency policy and the Financial Reporting and Financial Management Systems requirements stated in Federal Grant Regulations 40 CFR Part 31 or 40 CFR Part 30 and OMB Circular No.A-102 or OMB Circular No.A-110. Grantees are required to submit progress reports in accordance with Agency policy and the Monitoring and Reporting Program Performance requirements stated in Federal Grant Regulations 40 CFR Part 31 or 40 CFR Part 30 and OMB Circular No.A-102 or OMB Circular No.A-110. No expenditure reports are required. Grantees are required to perform performance monitoring in accordance with Agency policy and requirements stated in Federal Grant Regulations 40 CFR Part 31 or 40 CFR Part 30 and OMB Circular No.A-102 or OMB Circular No.A-110.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Recipients must keep financial records, including all documents supporting entries on accounting records and to substantiate changes in grants available to personnel authorized to examine EPA recipients grants and cooperative agreements records. Recipients must maintain all records until 3 years from the date of submission of final expenditure reports. If questions, such as those raised as a result of audits remain following the 3-year period, recipients must retain records until the matter is completely resolved.

Account Identification:

68-0108-0-1-304.

Obligations:

(Project Grants) FY 12 \$0; FY 13 est \$800,000; and FY 14 est \$800,000

Range and Average of Financial Assistance:

EPA generally award grants ranging in value from \$5,000 to \$750,000 per fiscal year. The average value of each grant is \$50,000 per fiscal year. Indoor Environments Program FY12 range is \$20,000 to \$200,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Program accomplishments previously funded under CFDA 66.034 include the following: Produced effective outreach strategies to educate key audiences and communities about indoor air pollutants and their associated health risks and convincing them to adopt effective mitigation and control strategies. These outreach strategies focused on several critical aspects of indoor air quality that pose significant risks to public health, and in particular, to children and to other disproportionately impacted populations. These activities include: reducing the exposure of children and others with asthma to indoor triggers that worsen their health condition; promoting the adoption of operation and maintenance practices in schools to reduce the harmful effects of poor indoor air quality on the health of students and staff; promoting voluntary radon testing by homeowners to identify elevated levels and fix them when they are found, as well as working with homebuilders to incorporate radon resistant and improved indoor air quality construction features into new and remodeled homes; and encouraging adult smokers to protect children from the adverse health effects of environmental exposure to secondhand smoke by making a conscious decision to keep their homes and cars smokefree. Fiscal Year 2013: FY 2013 will be the first year of funding for the 'REGIONAL HEALTHY INDOOR AIR PROJECTS FOR COMMUNITY OUTREACH AND EDUCATION' CFDA. Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Surveys, Studies, Research, and Investigations grants and cooperative agreements are subject to EPA's General Grant Regulations (40 CFR Part 30 and 40 CFR Part 31).

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Victoria Drew Office of Radiation and Indoor Air
1200 Pennsylvania Avenue, N.W.
Mail Code 6609J, Washington, District of Columbia 20460 Email:
drew.victoria@epa.gov Phone: (202) 343-9049

Website Address:

<http://www.epa.gov/iaq/>

RELATED PROGRAMS:

66.034 Surveys, Studies, Research, Investigations, Demonstrations, and Special Purpose Activities Relating to the Clean Air Act

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Projects previously funded under CFDA 66.034 include: Conducting training courses and community outreach activities for individuals, communities, environmental health professionals, and medical professionals on indoor air quality topics including asthma triggers, radon, and indoor air contaminants in schools, homes, and other buildings. More information can be found at http://www.epa.gov/iaq/regional_funding.html. Fiscal Year 2013: FY 2013 will be the first year of funding for the 'REGIONAL HEALTHY INDOOR AIR PROJECTS FOR COMMUNITY OUTREACH AND EDUCATION' CFDA. Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

EPA selects proposed Surveys, Studies, Research, and Investigations projects for funding based on factors such as relevancy to EPA's mission, technical merit, and the likelihood of success. If EPA issues a solicitation for applications for a particular project or group of projects, the solicitation will identify specific criteria. The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement.

66.110 HEALTHY COMMUNITIES GRANT PROGRAM

(Healthy Communities)

FEDERAL AGENCY:

Region 1, Environmental Protection Agency

AUTHORIZATION:

Marine Protection, Research, & Sanctuaries Act, Section 203; Safe Drinking Water Act, Section 1442(a)&(c); Indian Environmental General Assistance Program Act; Clean Water Act, Section 104(b)(3); Clean Air Act, Section 103(b)(3); Toxic Substances Control Act, Section 10; Federal Insecticide, Fungicide, and Rodenticide Act, Section 20; Solid Waste Disposal Act, Section 8001.

OBJECTIVES:

The statutory authorities for this program restrict the use of assistance agreements to support the following activities: conducting or promoting the coordination and acceleration of research, investigations, experiments, training, demonstrations, surveys, and studies relating to the causes, effects (including health and welfare effects), extent, prevention, and elimination of water pollution. Demonstrations must involve new or experimental technologies, methods, or approaches, and it is encouraged that the results of these projects will be disseminated so that others can benefit from the knowledge gained. A project that is accomplished through the performance of routine, traditional, or established practices, or a project that is simply intended to carry out a task rather than transfer information or advance the state of knowledge, however worthwhile the project might be, is not considered a demonstration project. Implementation projects are not eligible for funding under this program.

Grants are awarded to support projects that meet two criteria: 1) They must be located in and directly benefit one or more Target Investment Areas (Environmental Justice Areas of Potential Concern, Places with High Risks from Toxic Air Pollution, Sensitive Populations, and/or Urban Areas); and 2) They must achieve measurable environmental and public health results in one

or more of the Target Program Areas (generally defined in the annual competitive funding announcement). Funds for all projects should support activities to provide education, outreach, or training, in the Target Program Areas. The Regional Office will only accept submissions for projects that affect the States, Tribes, and Territories within the six New England States: Connecticut, Maine, Massachusetts, New Hampshire, Rhode Island, and Vermont. Projects that are National in scope are not eligible for funding under this Regional Program.

Funding Priority - Fiscal Year 2013: The Healthy Communities Grant Program does not expect to make any awards during FY13.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

Assistance under this program is available to State, Local, public nonprofit institutions/organizations, private nonprofit institutions/organizations, quasi-public nonprofit institutions/organizations, Federally Recognized Indian Tribal Governments, K-12 schools or school districts; and non-profit organizations (e.g. grassroots and/or community-based organizations). Funding will be considered for a college or university to support a project with substantial community involvement. Private businesses, federal agencies, and individuals are not eligible to be grant recipients; however, they are encouraged to work in partnership with eligible applicants on projects. Applicants need not be located within the boundaries of the EPA regional office to be eligible to apply for funding but must propose projects that affect the States, Tribes, and Territories within their Region. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

State, Local, Federally Recognized Indian Tribal Governments, public nonprofit institutions/organizations, private nonprofit institutions/organizations, quasi-public nonprofit institutions/organizations, anyone/general public.

Credentials/Documentation:

Tribes may be asked to demonstrate that they are federally recognized. Interstate organizations may be asked to provide a citation to the statutory authority, which establishes their status. Intertribal consortia may be asked to provide documentation that they meet the requirements of 40 CFR Part 35.504. Non-profit applicants are not required to have a formal Internal Revenue Service (IRS) non-profit designation, such as 501(c)(3) or 501(c)(4); however they must present their letter of incorporation or other documentation demonstrating their non-profit or not-for-profit status. This requirement does not apply to public agencies or Federally Recognized Indian Tribes. Failure to enclose the letter of incorporation or other documentation demonstrating non-profit or not-for-profit status will render full proposal submissions incomplete and they will not be reviewed. Applicants who have an IRS 501(c)(4) designation are not eligible for grants if they engage in lobbying, no matter what the source of funding for the lobbying activities. For-profit enterprises are not eligible to receive sub-grants from eligible recipients, although they may receive contracts, subject to EPA's regulations on procurement under assistance agreements, 40 CFR 30.40 (for non-governmental recipients) and 40 CFR 31.36 (for governments). OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

For competitive awards, a one page summary format is provided in the competitive announcement. Applicants invited to submit a full proposal are required to submit the SF 424, 424A, 424B, and the pre-award compliance report. Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. Interested applicants should review information on the Internet at: <http://www.epa.gov/ne/eco/uep/hcgp.html>. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. The Healthy Communities Grant Program has a two step process for evaluating competitive applications which is described in the annual Request for Initial Proposals. The standard application forms as furnished by the Federal agency and required by OMB Circular No. A-102 must be used for this program. Application forms are available at <http://www.epa.gov/ogd/AppKit/index.htm> and by mail upon request to the Grants and Interagency Agreements Management Division at (202) 564-5305. Completed applications should be submitted to the Region I Office. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Applicants will generally be notified within 60 days of receipt of submission for funding.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

All competitive grant awards should be prepared and submitted as new projects, which will be evaluated based on criteria specified in the annual competitive funding announcement. Renewals or extensions of existing projects may be available.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: The grant program generally allows a match of up to 5% of the total budget but it is not required. Any exceptions will be identified in the competitive funding announcement. Award recipients can use contributions from entities other than themselves as a match. However, other Federal money cannot be used as the match for this grant program. Matching funds are considered grant funds. They must be used for the reasonable and necessary expenses of carrying out the assistance agreement work plan. Any restrictions on the use of grant funds (e.g., prohibition of land acquisition with grant funds) also apply to the matching funds. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Assistance agreements are normally funded on a 12 (annual) or 24 month basis, at the discretion of the applicant. There is no restriction placed on the time permitted to spend the money awarded as long as the money is spent within the budget and project periods of the award specified in the workplan and the budget worksheet in the SF 424. Method of awarding/releasing assistance: lump sum.

Reports:

No program reports are required. No cash reports are required. EPA includes reporting requirements for cooperative agreements in the terms and conditions of the agreements. Cooperative agreements require quarterly and final progress and expenditure reports; program evaluations and other reports as detailed by the specific terms and conditions of the agreements. Progress reports are required on a quarterly basis. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Financial records, including all documents to support entries on accounting records and to substantiate changes to each grant must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained until expiration of three years from the date of submission of the final expenditure report. If questions still remain, such as those raised as a result of audit, related records should be retained until the matter is completely resolved.

Account Identification:

68-0108-0-1-304.

Obligations:

(Project Grants) FY 12 \$0; FY 13 est \$0; and FY 14 Estimate Not Available - The Healthy Communities Grant Program combines resources across contributing regional and national programs and varies annually.

Range and Average of Financial Assistance:

\$24,999 to \$39,235/fiscal year; \$27,526/fiscal year.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: 0 grants were awards in 2012. Fiscal Year 2013: This program is not being funded in FY13. Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Grants and cooperative agreements awarded under the Healthy Communities Grant Program are subject to EPA's General Grant Regulations, and Procedures (40 CFR Parts 30 and 40 CFR Parts 31). Costs will be determined on accordance with OMB Circular A-87 for State and Local governments and Indian Tribes, OMB Circular A-21 for educational institutions and OMB Circular A-122 for nonprofit institutions.

Regional or Local Office:

See Regional Agency Offices. Jennifer Padula, US EPA Region I, 1 Congress Street, CPT, Suite 1100, Boston, MA 02114. Phone: 617-918-1698. Toll Free: 888-372-7341. TTY: 617-918-2028. Fax: 617-918-0698. E-Mail: Padula.Jennifer@epa.gov.

Headquarters Office:

Jennifer Padula US EPA Region I
1 Congress Street, CPT, Suite 1100, Boston, Massachusetts 02114 Email: Padula.Jennifer@epa.gov Phone: 617-918-1698

Website Address:

<http://www.epa.gov/region01/index.html>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: This program was not funded in FY12. Fiscal Year 2013: This program is not being funded in FY13. Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement.

66.121 PUGET SOUND PROTECTION AND RESTORATION: TRIBAL IMPLEMENTATION ASSISTANCE PROGRAM**FEDERAL AGENCY:**

Region 10, Environmental Protection Agency

AUTHORIZATION:

Omnibus Appropriations Act, 2009, Public Law 111-8; Clean Water Act, Title III, Section 320, Public Law 106-457, 33 U.S.C 1330; Clean Water Act, Title III, Section 320, Public Law 94-117, 33 U.S.C 1330; Continuing Appropriations Act, 2012, Public Law 112-74; Continuing Appropriations Act, 2011, Public Law 111-242; Continuing Appropriations Act, 2013, Public Law 113-6.

OBJECTIVES:

Puget Sound has been designated as one of 28 estuaries of National Significance under 320 of the Clean Water Act. The goal of the National Estuary Program is to attain and maintain water quality in designated estuaries that would assure protection of public water supplies and the protection and propagation of a balanced, indigenous population of shellfish, fish and wildlife and allows recreational activities in and on the water. The Puget Sound National Estuary Program's approved Comprehensive Conservation and Management Plan (CCMP) is the Action Agenda. The goal of the Action Agenda is to restore and maintain the Puget Sound estuarine environment by 2020 so that it will support balanced indigenous populations of shellfish, fish and wildlife and support the extensive list of recognized uses of Puget Sound.

The EPA is committed to protecting and improving water quality and minimizing the adverse impacts of rapid development in the Puget Sound Basin. These commitments include protecting the watersheds and waters of Puget Sound by protecting the fundamental watershed processes that provide and create aquatic habitats and by reducing the generation and release of toxic, nutrient and pathogen pollution.

The Puget Sound Protection and Restoration: Tribal Implementation Assistance Program is aimed at assisting the Indian Tribes of the greater Puget Sound basin in their efforts to carry out work critically needed for Puget Sound protection and restoration. This work includes activities identified in, or consistent with, the Action Agenda such as efforts called for by established salmon recovery plans in the Puget Sound basin. EPA has a trust responsibility to Federally recognized Indian Tribes. EPA also recognizes that Federally recognized Indian Tribes in the greater Puget Sound basin have a critical role in the protection and restoration of the ecosystem and its resources. EPA seeks to provide financial assistance to these Tribes to help them implement priority strategies and actions in or consistent with the approved Clean Water Act 320 CCMP for Puget Sound.

Funding Priority - Fiscal Year 2013: Tribal Capacity (\$3.950m, non-competitive)

Continued funding to support each Tribe and Consortium to participate in the Puget Sound Management Conference. Eligible tasks include identifying limiting factors to habitat protection and salmon and shellfish recovery.

Tribal Project Lead Organization (\$3.621m; competitive)

Eligible subaward work includes watershed and marine/estuary habitat

restoration projects. We anticipate that many of these projects will directly address salmon and shellfish recovery, consistent with the existing Tribal projects funded through the Northwest Indian Fisheries Commission (NWIFC).

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

This assistance may be used by Tribes to plan for and implement work that is critically needed for Puget Sound restoration and protection. Such work includes priority actions or strategies in or consistent with the approved 320 CCMP for Puget Sound including, but not limited to, projects called for by established salmon recovery plans, except where the proposed work is inconsistent with applicable Federal law, regulation or published EPA policy.

Additional information on use restrictions, if any, for the Puget Sound Protection and Restoration: Tribal Implementation Assistance Program, will be provided in each request for proposals published on the EPA Region 10 website.

Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

All federally recognized Indian Tribes located within the greater Puget Sound basin, and any consortium of these eligible Tribes, may apply for funding under the program. The greater Puget Sound basin is defined as all watersheds draining to the U.S. waters of Puget Sound, southern Georgia Basin, and the Strait of Juan de Fuca.

An eligible Intertribal consortium is one that demonstrates that: 1) a majority of its members meet the eligibility requirements for this program; 2) all members that meet the eligibility requirements authorize the consortium to apply for and receive the grant; and 3) only members that meet the eligibility requirements will benefit directly from the grant project and the consortium agrees to a grant condition to that effect.

An Intertribal consortium must have adequate documentation of the existence of the partnership and the authorization of the member Tribes to apply for and receive assistance. Documentation that demonstrates the existence of the partnership of Indian Tribal governments may consist of Tribal council resolutions, Intertribal consortia resolutions in conjunction with a Tribal council resolution from each member Tribe, or other written certification from a duly authorized representative of each Tribal government that clearly demonstrates that a partnership of Indian Tribal governments exists. Documentation that demonstrates that member Tribes authorize the consortium to apply for and receive assistance may consist of a Tribal council resolution from each Tribe or other written certification from a duly authorized representative of each Tribal government that clearly demonstrates that the Tribe authorizes the consortium to apply for and receive the grant on behalf of the Tribe. An Intertribal consortium resolution is not adequate documentation of the member Tribes' authorization of the consortium unless it includes a written certification from a duly authorized representative of each Tribal government.

Federal and state agencies, institutions of higher learning, units of local government, special purpose districts, conservation districts, watershed planning units organized pursuant to RCW 90.82.040 and 060, local management boards organized pursuant to RCW 90.88.030, salmon recovery lead entities organized pursuant to RCW 77.85.050, regional fisheries enhancement group organized pursuant to RCW 77.95.060 and

nongovernmental entities are not eligible to directly receive financial assistance awards under this announcement. Business enterprises and individuals or families will also not be eligible applicants.

However, EPA strongly encourages eligible applicants to solicit participation from these types of entities as local collaborators. All of these types of entities are eligible to apply for subawards or subcontracts from a successful award recipient.

For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

The beneficiary of this assistance under this program would be the Federally recognized Indian Tribes or the consortia of these Tribes that receive the assistance. Ultimate beneficiaries would include the tribal members and the general public (due to the general public's interest in restoring and protecting the resources of Puget Sound).

Credentials/Documentation:

OMB Circular A-87 has been codified at 2 C.F.R. Part 225. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Applicants will be required to submit application materials as described in the Request For Proposals. The Request For Proposals will also specify the submission methods which generally include an electronic and hard copy submission option. The standard application forms as furnished by the EPA and required by OMB Circular A-102 must be used for this program. EPA requires final applications to be made on Standard Form 424. Requests for application kits must be submitted to the Environmental Protection Agency, Grants and Interagency Agreement Management Division, 1200 Pennsylvania Avenue, N.W., Mail Code 3903R, Washington, DC 20460 or through the EPA Region 10 Grants Administration web site at: <http://www.epa.gov/pugetsound/index.html>. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements. EPA reserves the right to reject all applications and make no awards under any RFP issued under this program.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

The Region expects that its review of the applications received in response to competitive solicitations will be completed within 120 to 150 days following the deadline for the submission of applications for each Request for Proposals issued under this program.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts."

Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: The Puget Sound Protection and Restoration: Tribal Implementation Assistance program has no statutory formula for allocating the funds. All of the assistance agreements will be for planning and implementation projects under the Puget Sound Comprehensive Conservation Management Plan (CCMP) under CWA 320(g)(2) and 320(g)(3)(ii). There is a statutory match of 50% of the total project costs for assistance agreements under CWA 320(g)(3)(ii) and CWA Section 320 allows for an aggregate match.

For the awards to be made under this program, the Puget Sound Management Conference, represented by the Puget Sound Partnership, has agreed to provide all of the required non-federal match for successful project proposals for FFY 2010, 2011, 2012, and 2013 under a separate cooperative agreement with EPA. Accordingly, eligible applicants will not be required to provide any of the required non-federal match.

Contact the Puget Sound Partnership office or EPA Regional Office contact identified in this program description for more information. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The assistance was awarded for FFY2010, 2011, 2012 and will be awarded during FFY2013. Funds will be disbursed to assistance recipients in accordance with the terms specified in their respective assistance agreements. See the following for information on how assistance is awarded/released: Assistance will be disbursed in accordance with the terms of each assistance agreement. Typically, assistance recipients draw funds at either monthly or quarterly intervals based on their incurred costs.

Reports:

No program reports are required. No cash reports are required. Progress report requirements will be a part of each assistance agreement. A schedule of interim milestones and the outputs that will be completed by the end of the project period will also be included. Progress reports will typically discuss the progress that has been made on each major task and on each interim milestone identified in the approved statement of work. Progress reports will also discuss any difficulties or problems that have been encountered and how they have been or are being resolved. Other specific reporting requirements will be defined in the assistance agreement based on the statement of work described in the application. Expenditure reports will typically be required at the same time intervals as progress reports. The expenditure reports will document expenditures to date, including expenditures of any matching funds, in a manner that allows the report user to confirm that matching requirements, if any, are being met and that all assistance payments (disbursements to assistance recipients) are for costs that have been incurred in compliance with applicable costs principles. Recipients of this funding will be required to use EPA's Puget Sound Financial and Ecosystem Accounting Tracking System (FEATS), which is the primary mechanism for performance monitoring. Key grant outputs will be tracked on a semi-annual basis through FEATS, as well as progress towards project milestones and deliverables. FEATS provides linkages to EPA Puget Sound performance measures and Dashboard Indicators. FEATS allows award recipients to share challenges, solutions, lessons learned, and reflections associated with their work.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized

representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Financial records, including all documents to support entries on accounting records and to substantiate charges to each grant must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained until expiration of 3 years from the date of submission of the final expenditure report. If questions still remain, such as those raised by an audit, related records should be maintained until the matter is completely resolved.

Account Identification:

68-0108-0-1-304.

Obligations:

(Cooperative Agreements) FY 12 \$7,600,000; FY 13 est \$7,571,000; and FY 14 Estimate Not Available - EPA has made three distinct categories of awards under this CFDA program:

- 1) FFY 2009 (\$5M) and FFY 2010 (0.33M) funds were competitively awarded to 13 Tribes for projects to protect and restore Puget Sound.
- 2) FFY 2010 funds (\$3M) were competitively awarded to the Lead Organization for Puget Sound recovery tribal implementation projects. An additional \$5.48M in incremental funding was added to this assistance agreement in FFY 2011, \$3.6M in FYY2012, and \$3.621M in FFY2013.
- 3) FFY 2010 funds (\$3,75M) were awarded to the 19 federally recognized Puget Sound Tribes and three tribal consortia to help build their capacity to engage with the Management Conference on efforts to protect and restore Puget Sound. An additional \$4M in incremental funding was added to these assistance agreements in FFY 2011 and \$4M in FY2012. In FFY2013, an additional \$3.950M was added to these assistance agreements.

Funding for FFY 2014 is unknown as this time.

Range and Average of Financial Assistance:

\$150,000 - \$160,563 with three Tribes receiving an extra \$50,000 for Puget Sound Ecosystems Coordination Board participation. In past years, the average capacity award for individual tribes and consortia has been ~\$150,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: As noted under Paragraphs [122] and [130] above; there are 3 funding streams under this program: 11 implementation awards; 22 capacity cooperative agreements; and a "lead organization" award under which multiple subawards are made each year. The 11 implementation awards were unique and one-time awards; as representative accomplishments under those awards were described under Paragraph [130] were described earlier, they will not be repeated under this paragraph. The 22 capacity cooperative agreements are for 5-year projects and generally support ongoing projects. As representative accomplishments were described under Paragraph [130] above for this funding stream as well, they will not be repeated here.

Therefore, the following focuses on representative accomplishments reported under the "lead organization" program for the period 10/1/11-9/30/12. Further detail on these projects can be found at <http://blogs.nwifc.org/psp/files/2009/01/FY11-PSP-EPA-Tribal-Project-Summary-1.9.121.pdf>:

Point No Point Treaty Council - Indian Island Baitfish Monitoring Study: The Quality Assurance Project Plan was completed, potential spawning beaches around Indian Island were identified, a systematic randomized sampling design was developed to collect quantitative sample of egg bearing beach substrates, laboratory procedures for separating eggs from substrate sample were investigated, a prototype of an egg separating device (e.g. Elutriator) was constructed and its efficiency for removing surf smelt eggs verified, and all field sampling for the first year of the project has been completed. Forage fish eggs from approximately one third of the samples have been identified and enumerated. Once all samples have been analyzed, a total seasonal estimate of spawn deposition will be calculated. Preliminary data analysis revealed that high densities of Pacific sand lance eggs were captured on beaches identified as

surf smelt spawning habitats. The elutriation method for separating surf smelt eggs from substrates does not appear to be as effective for separating sand lance eggs from substrate fines. Adjustments to the current elutriation method will be investigated to increase the recovery rate of sand lance eggs.

Jamestown S'Klallam Tribe (FY10) - Jimmycomelately Creek and Estuary Restoration: A Five Year Report; Determining the magnitude, extent, contributing sources, and possible impacts of elevated nutrients in Sequim and Dungeness Bays: The contract for producing the Jimmycomelately Creek and Estuary Restoration report was secured, the data analysis for the physical channel, hydrology, salmon use, vegetation, water quality, and bird data were completed. All chapters were reviewed by at least two reviewers and the finalized text has been submitted to the Tribe's publication specialist for formatting. Printing and distribution is scheduled for May and June 2012. The Quality Assurance Project Plan (QAPP) was completed for the nutrient sampling in Sequim and Dungeness Bays and 10 months of sampling was successfully completed. The data analysis has begun and the literature review is underway. Additionally, a land-use inventory; and an eel grass and macroalgae survey of Sequim Bay were conducted during this reporting period. A final report synthesizing information from nutrient assessment, land-use survey, and macroalgae and eelgrass survey will be produced.

Lower Elwha Klallam Tribe - Elwha Floodplain Revegetation and Fisheries Monitoring Support: As project planning was fully completed during the last reporting period, LEKT was able to focus on project implementation within this reporting period. Spawning ground surveys for adult steelhead and chinook salmon upstream of the former Elwha Dam and downstream of Glines Canyon Dam were completed. Juvenile trapping was completed on Little River during the spring of 2012. Trapping on Indian Creek was delayed as flows did not allow the installation of a fence weir as planned. However, as a result, LEKT has decided to construct a rotary screw trap for Indian Creek, which is currently being assembled for the spring 2013 season. Juvenile fish were collected from 16 different sites and measured, weighed and tagged with PIT tags. A PIT antenna site was established at Indian Creek and an additional antenna will be placed in Little River. Finally, 440 pieces of large wood were successfully relocated from the former Mills reservoir pool to an adjacent terrace using a heavy lift helicopter. The area treated is approximately 1000 m long and 100 m wide and the relocated wood will be used as planting sites in the revegetation project this winter.

Puyallup Tribe of Indians - Wade Residence Property Acquisition: The Puyallup Tribe successfully completed the purchase of 5.7 acres of privately owned land, preserving and protecting from development the land's substantial ecological benefits that include a spring fed tributary, riparian corridor, and wetland habitat and flood prone channel migration zone. The title transfer has been completed and restoration of the property has begun, including two sprayings for Japanese Knotweed, the decommissioning and removal of two septic tanks, and the removal of derelict structures located on the property. The first fall planting of native vegetation to increase the diversity of the plant community and provide shade and riparian structure is scheduled for later this fall.

Tulalip Tribes - Pilchuck Watershed Protection Strategy: The project team, made up of representatives from Snohomish County, King County, Washington Department of Ecology, and Tulalip Tribes, collaboratively engaged in a pilot project that utilized Watershed Characterization, the Open Standards for the Practice of Conservation, and Ecosystem Service Valuation to assess and develop protection strategies throughout the Pilchuck watershed. A key component of this project was a broadening of the definition of 'protection,' such that it included a duty to prevent further resource degradation, as well as to restore and recover existing habitat resources. A final Pilchuck Watershed Protection Project Pilot Study Report was developed, finalized, and will be posted on the NWIFC/PSP website. Fiscal Year 2013: As noted under Paragraphs [122] and [130] above; there are 3 funding streams under this program: 11 implementation awards; 22 capacity cooperative agreements; and a "lead organization" award under which multiple subawards are made each year. The 11 implementation awards were unique and one-time awards; as representative accomplishments under those awards were described under Paragraph [130] were described earlier, they will not be repeated under this

paragraph. The 22 capacity cooperative agreements are for 5-year projects and generally support ongoing projects. As representative accomplishments were described under Paragraph [130] above for this funding stream as well, they will not be repeated here.

Therefore, the following focuses on representative accomplishments reported under the "lead organization" program for the period 10/1/12-3/31/13 (the last date for which data were available on these projects at the time of this update). Further detail on these projects can be found at <http://blogs.nwifc.org/psp/files/2009/01/FY12-PSP-EPA-Tribal-Project-Summaries-1.11.13.pdf>:

Suquamish Tribe - Chico Creek Riparian Acquisition and Protection: The Suquamish Tribe, in partnership with the Mountainers Foundation, successfully acquired and permanently protected for conservation 70 acres of stream and riparian lands adjacent to highly productive salmonid spawning and rearing habitat in the Chico Creek Watershed. This acquisition increased the Foundation's current ownership in the Chico watershed to a total of 414 acres. The Foundation will manage this land for conservation and natural forest succession such that over time, past effects of forest practices will be ameliorated.

Upper Skagit Indian Tribe - An inventory and assessment of hydromodified bank structures in the Upper Skagit and Sauk River watersheds: The Upper Skagit Indian Tribe has successfully completed field surveys for the Chinook tributaries in the Skagit above the Sauk River, the upper Skagit River mainstem above Sauk, and the Sauk River watershed within the Chinook spawning range. The post processing of the GIS field attributes are currently being conducted. The Tribe has found that one of the most valuable lessons to date on the hydromodified bank inventory is the amount of habitat impacts (loss of floodplain channels) they have documented in the tributaries where they have surveyed. Previous efforts to quantify hydromodified impacts only focused on large mainstem sections and with this new data, they will now be able to begin planning restoration work in tributaries where they never previously had basin wide information to consider.

Squaxin Island Tribe - Biological Recovery of Coho in the Deschutes Watershed: As part of their FY10 project, Squaxin Island Tribe is engaging in an Instream Flow Protection project in which they are calculating the relationship between summer streamflows, usable fish habitat, and the period of hydrologic record preparation for negotiation and adoption of summer minimum flows through rulemaking by DOE. The technical work on this project is moving forward nicely and will be picking up during the summer months as instream flow data are evaluated. The adoption of summer minimum flows will allow assessment of one of the high level indicators proposed to evaluate the success of Puget Sound Restoration efforts - the number of days in July and August when streamflows fall below administrative minimums.

Port Gamble S'Klallam Tribe - Nearshore Early Marine Juvenile Salmonid, Forage Fish, and Marine Biodiversity Study: The Port Gamble S'Klallam Tribe has completed two field seasons of surface trawling, hydroacoustic surveys, and beach seining. All work was successfully implemented and the data collected has clearly demonstrated the importance of the nearshore environment for chum salmon outmigration from the Hood Canal and is expected to be particularly informative in respect to describing Hood Canal salmonid distribution. The Tribe is currently analyzing their data and by July 2013 will be producing acoustic data maps illustrating fish densities with estimated species composition based off surface trawl and beach seine data, salmonid condition factor values by sample station, and temporal CTD data plotted by sample station. Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

For grants and cooperative agreements with tribal governments and intertribal organizations, assistance recipients must comply with 40 C.F.R. Part 31 "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments", 40 C.F.R. Part 35 "Environmental Program Grants-State, Interstate and Local Government Agencies", and OMB Circular Nos. A-87 "Cost Principles for State and Local Governments" and A-102 "Grants and Cooperative Agreements for States and

Local Governments."

OMB Circular A-87 has been codified as 2 C.F.R. Part 225. EPA's requirements under OMB Circular A-102 are codified at 40 C.F.R. Part 31.

Regional or Local Office:

See Regional Agency Offices. Angela Bonifaci, Team Leader
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Website Address:

<http://www.epa.gov/pugetsound/funding/index.html>

RELATED PROGRAMS:

66.456 National Estuary Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: The following are examples of projects funded during FY 2012. All awards made during FY 2012 under this program were made by the Lead Organization described earlier in this section (Paragraph [122], Subparagraph (2)). It is important to note that some of the project described below are continuing projects, so it may appear that there is overlap among project narratives among years, but different or later phases of the work are being funded.

The Lummi Nation will engage in two projects: (1) the construction of 6 engineered log jams (ELJs) in the mainstem South Fork Nooksack River, consisting of three Type I ELJs, three Type II ELJs, and 10 habitat log structures (2 key pieces) in order to restore salmon habitat in WRIA 1. Endangered early spring Chinook salmon and bull trout will benefit from at least 6 new scour pools; more pools may develop indirectly as increased roughness causes dynamic equilibrium; and (2) the continued development of a strategy to address sediment impacts in the South Fork Nooksack River (expanded to the Elk Flats area) to assess the physical conditions of active, abandoned, and orphaned forest roads by collaborating with private and public forest owners; and update a recent master's thesis on measuring the amount of sediment input by source, focusing on updating landslide and streambank erosion data.

The Samish Indian Nation will engage in two projects: (1) continuing to partner with Washington Department of Natural Resources to collect baseline environmental data prior to, during, and post restoration activities related to the removal of a tidal dike to restore salt marsh, mudflat and tidally-influenced stream habitat at Cypress Island. Project tasks include vegetation surveys, water quality and temperature monitoring, meteorological data monitoring, clam surveys, avian surveys, amphibian surveys, and beach seining; and (2) partnering with the USGS to collect time-series and synoptic measurements of nearshore hydrodynamics and water properties to support construction of a numerical circulation model. The initial model will help inform where data are needed to reduce uncertainties in processes and environmental conditions and where threats of contaminant runoff and impaired water quality exist.

The Upper Skagit Indian Tribe will develop a basin-wide abundance estimate of outmigrating steelhead smolts from the Skagit River through the use of a multiple-trap design, which will mark and release steelhead smolts from tributary traps and use the captures and recaptures of marked smolts at the Burlington main stem Skagit trap to estimate total outmigration of smolts.

Analysis of such information will be used to investigate freshwater and marine survival rates, with an emphasis on detecting and utilizing information on annual differences in survival for restoration evaluation and abundance forecast purposes, and developing recovery goals.

The Swinomish Indian Tribe will continue to engage in two projects: (1) implementing a public education effort that will be directed at decision makers and stakeholders to improve the standards and implementation of best management practices, and to increase the level of regulatory certainty that instream resources will be protected, consistent with the Skagit Chinook Recovery Plan; and (2) engaging in the 2012 Tribal Water Quality Project, an ongoing project to gather water quality data across the Salish Sea during the annual Tribal Canoe Journey, in order to map out spatial patterns at multiple scales to detect large-scale oceanographic/climate and site-scale land-use influences.

The Skagit River System Cooperative will continue to quantify and contrast the geomorphological, hydrological, and ecological consequences of various approaches to tidal marsh restoration for 12 historical and 8 current sites in the Skagit and Snohomish deltas, using reference marshes in both deltas as controls. One product of this three-year project will be the development of rapid assessment monitoring metrics relating easily measured planform channel metrics to more challenging evaluation of ecological patterns and processes thereby enabling more efficient and economical monitoring of estuarine habitat restoration projects and their interplay with local land use patterns.

The Sauk-Suiattle Indian Tribe will engage in three projects: (1) continued reinforcement of a multiagency partnership to eradicate knotweed from the Skagit River watershed and its major tributaries, focusing its efforts on the Sauk and Suiattle rivers, both of which feature key spawning and rearing habitat for Puget Sound salmonids; (2) continued partnership with the U.S. Geological Survey (USGS) to determine how the timing, quantity, and sources of sediment in the Sauk and Suiattle rivers are impacting sensitive fish runs; and (3) conducting a multidisciplinary study to assess the potential ecological impacts of climate warming on fishery restoration and human community infrastructure in the Sauk and Suiattle watersheds.

The Stillaguamish Tribe will engage in three projects: (1) the continued monitoring of stormwater outfalls in the Lower Stillaguamish River for the presence of a wide range of pollutants, and the implementation of an on-the-ground project directed at reducing stormwater impacts to surface water; and (2) the continued employment of mycoremediation techniques to test if polluted land-based runoff can be treated prior to its entering conveyance systems in order to reduce the impacts of stormwater to aquatic ecosystems; and (3) a partnership with Snohomish County to manage and operate an Inmate Riparian Enhancement Crew, which will expand and enhance floodplain riparian vegetation communities on Tribal and private land in priority sub-basins identified in the Stillaguamish Watershed Chinook Salmon Recovery Plan through riparian plantings of native vegetation and elimination of invasive and non-native weeds.

The Tulalip Tribes will engage in four projects: (1) conducting a comparative analysis of resource management and restoration policies and authorities of the Tulalip Tribes and adjacent or overlapping jurisdictions, resulting in a report that will identify potential areas of conflict and make recommendations for potential resolution, where appropriate; (2) determining carbon and nitrogen budgets in the Snohomish Basin and identifying a carbon augmentation goal for the Basin, utilizing an integrated model of the Snohomish Basin that will have the ability to inform the development of funding mechanisms for investment in natural infrastructure restoration and conservation; (3) scoping the linkages between tribal habitat protection and restoration goals with the creation of ecosystem markets by identifying the target ecosystem services, assessing the institutional and technical capacity, and researching/structuring potential transactional elements of a potential market mechanism for ecosystem services; and (4) continuing to integrate water, carbon, and nitrogen budgets for the Snohomish River watershed to determine how changing carbon management of the upland ecosystems impacts water and nitrogen delivery to the Snohomish River and Puget Sound.

The Snoqualmie Tribe will partner with King County to design the Snoqualmie River Fall City Reach Floodplain Restoration project to restore natural floodplain processes that will improve salmonid habitat conditions, especially for threatened Chinook salmon and steelhead trout. The design may include various elements such as setting back levees and revetments, planting native plants, installing large wood, and enhancing off-channel habitat. Project tasks include: (1) developing Snoqualmie River Fall City Reach Floodplain Restoration project design; and (2) engaging in partnering and outreach with the local landowners and community.

The Muckleshoot Indian Tribe will investigate the use of artificial and natural LWD and woody stem structures by juvenile Chinook, sockeye, coho, and steelhead to confirm how these structures affect predation rates in the lower Cedar and Green rivers for the purpose of improving the design of artificial wood structures in mitigation projects and to confirm the role of woody vegetation in reducing predation for the levee maintenance policy. Understanding how fish and their predators use these structures and the factors influencing fish survival in these urban, highly altered river corridors is a high priority for Muckleshoot as they will be able to provide input to local governments and permitting agencies on the design of such mitigation in the future.

The Puyallup Tribe of Indians will acquire 5.7 acres of privately owned land in lower South Prairie Creek that has substantial ecological benefits including: spring fed tributary, riparian corridor, wetland habitat, and flood prone channel migration zone (CMZ). The purchase will include acquisition, site clean-up, and invasive species management/riparian restoration in cooperation with the Pierce County Conservation District. Protection of South Prairie Creek is a high priority to the Puyallup Tribe of Indians as it is the primary spawning grounds for Fall Chinook salmon in the basin.

Nisqually Indian Tribe will conduct three projects: (1) identifying one or more funding mechanisms that will provide a robust, sustainable and sufficient funding base for the successful implementation of the Nisqually Chinook Recovery Plan; (2) partnering with the Nisqually River Foundation to conduct demonstration low impact development retrofit projects in Eatonville, while organizing a focused public outreach and involvement campaign to engage and educate local community members about the importance of managing Eatonville's stormwater a critical part of salmon habitat restoration and protection in both the Mashel River and Ohop Creek; and (3) partnering with Long Live the Kings as the lead to facilitate the development of a joint US/Canada research plan and associated research program to identify the most significant factors affecting salmon survival and productivity in the Salish Sea marine environment, including the estuary and near-shore; and make this information available to inform resource agencies and improve salmon management.

The Squaxin Island Tribe will contribute to the recovery of lost Coho productivity in the Deschutes Watershed by (1) continuing to identify high priority habitat restoration actions, based on existing information; and supplementation of Coho fry and identification of heavily used reaches by Coho fry; (2) monitoring nutrient levels in the Deschutes River and Budd Inlet to identify and remediate drivers of low dissolved oxygen, a significant stressor for salmonids; and (3) designing and engineering the restoration of the 3 acre delta of Schneider Creek, located along the primary migratory corridor for both returning spawners and outmigrating salmonids into and out of Capital Lake.

The Skokomish Tribal Nation will continue to conduct research and monitoring efforts focused on assessing the effectiveness of the Skokomish Estuary Restoration Project. Project tasks include: (1) monitoring the relative abundance, distribution, residence time, feeding behavior, and species diversity of salmonids and other fish species; (2) monitoring the colonization and succession of vegetation, including expanded nearshore surveys to include an eelgrass bed inventory; (3) monitoring sediment transport and estuarine mixing; (4) monitoring the insect community response; and (5) engaging in a new partnership with the USGS to map the bathymetry and substrate to produce a digital elevation model (DEM) and classify substrate and vegetation distribution across the nearshore.

The Suquamish Tribe will acquire the Chico Mainstem property as a component of a phased, multi-party restoration program to restore the lower mainstem of Chico Creek in Kitsap County. The property acquisition and purchase of necessary right of ways will allow for the implementation of a critical component of the final phase (Phase 3) of the Chico Creek Mainstem Restoration program. Phase 3 of the programs call for the removal of a 3-cell box culvert under Golf Club Hill Road that has degraded high quality spawning and rearing habitat and hinders fish access to high-functioning refugia habitat in the upper watershed.

The Point No Point Treaty Council will continue the Indian Island Baitfish Monitoring Study by commencing intertidal forage fish surveys for spawn deposition of surf smelt and Pacific Sand Lance, and producing quantifiable measures of the annual spawn deposition for both species. This project will develop a quantifiable measure of the annual spawn deposition around Indian Island that can be compared among several years to indirectly assess trends in the target forage fish spawning population abundance. Project tasks include: (1) identify/mark potential spawning beaches around Indian Island for Pacific Sand Lance; (2) modify final survey and sample analysis protocols as necessary to accommodate Pacific Sand Lance; (3) develop/install larval surf smelt/Sand Lance collector traps; (4) collect data to establish spawn locations, confirm spawn timing and quantify spawn deposition of surf smelt and Pacific Sand Lance; and (5) collect data on surf smelt/Sand Lance incubation and larval emergence.

The Lower Elwha Klallam Tribe will engage in floodplain revegetation and fisheries monitoring activities during the historic removal of the two mainstem hydroelectric dams on the Elwha River. Project tasks include: (1) moving 1000 pieces of large wood from the rim of the dewatered reservoirs to positions on the newly exposed floodplain in order to reduce erosion rates from the exposed reservoir surfaces and provide an increased number of safe planting sites that improve micro-climate (moisture) and retarding herbivory by grazing ungulates; (2) conducting exotic plant control and initial tree planting around the dewatered reservoirs in order to establish native forests and restore ecosystem processes; and (3) constructing and installing smolt traps on Indian Creek, Little River, and the upper Elwha River in order to establish critical data needed to evaluate rates of recolonization and stock rebuilding for the overall Elwha restoration project. Fiscal Year 2013: The following are examples of projects funded during FY 2013. All awards expected to be funded during FY 2013 under this program were made by the Lead Organization described earlier in this section (Paragraph [122], Subparagraph (2)). It is important to note that some of the project described below are continuing projects, so it may appear that there is overlap among project narratives among years, but different or later phases of the work are being funded.

The Lummi Nation will engage in two projects: (1) the design for the removal and replacement of two undersized culverts on Smuggler's Slough on the Lummi Nation Reservation. This project will also control invasive plants and install a 2.0 acre riparian buffer adjacent to the two culverts. Endangered juvenile Chinook salmon and other salmonids will benefit from access to an additional 1.45 miles of habitat and improved passage through these two culverts; and (2) the continued development of a strategy to address sediment impacts in the South Fork Nooksack River. A feasibility and alternatives analysis will be developed for a bridge replacement and landslide stabilization project on a road crossing on upper Skookum Creek. The feasibility study will include initial consultation with road owner, land owner, and regulatory agencies, pre-project monitoring to characterize existing riparian and aquatic habitat conditions, and development of an alternatives analysis report for bridge replacement and landslide stabilization structures.

Stillaguamish Tribe of Indians will engage in three projects: (1) support of the Tribe's education-outreach program that is designed to educate local residents and youth on the life history and habitat requirements of salmon and watershed health. It provides stewardship opportunities for the public, workshops, educational field trips, and permits the Tribe to participate in regional outreach events; (2) monitoring of water quality related to shellfish and human health with the operation and maintenance of a marine buoy in Port Susan, surveys of Harmful Algal Bloom plankton, and continued water collection for the WA

State BEACH program; and (3) investigation of emergent contaminants in estuarine habitat of Port Susan through the deployment of four passive sampling devices (POCIS) in the Stillaguamish delta, two distributary channels and one blind tidal channel. This sampling effort will provide baseline data on the presence of emergent contaminants in critical estuarine habitat used by juvenile salmon.

The Tulalip Tribes will utilize this funding to continue and extend Snohomish River estuary-wide biological monitoring with particular emphasis on juvenile Chinook salmon and avian use; and initiate long-term monitoring of hydrology (temperature, salinity, and water levels), elevation, and sediment dynamics at selected sites across the estuary. The Snohomish River estuary is an important part of the Tulalip Tribes' natural heritage, and improvement in the condition of this and other estuaries is a major component of Puget Sound ecosystem management, including the Puget Sound Partnership Action Agenda and the Snohomish River Basin Salmon Recovery Plan. Scientific information that provides foundational understanding of this ecosystem and its response to management actions (e.g., restoration) and anthropogenic alteration (e.g., climate change) is critical for adaptive management.

The Snoqualmie Tribe will partner with Stewardship Partners to remove three impassable, anthropogenic rock cascades on Rasmussen Creek near Duvall WA, and establish a fish-friendly, complex and stable stream channel. The project will re-establish uncompromised access for anadromous fish to an additional 1000 feet of high-quality spawning and rearing habitat. Above this newly opened reach, another 0.25-0.4 miles of potential habitat lies above a partial barrier (67% passable culvert). Successful completion of this project will be a major step in making the case for priority status to replace this King County culvert under Cherry Valley Road. Additional project tasks include installing a cattle crossing over the stream where there is currently a ford and supporting the installation of riparian set-back fencing and an enlarged stream buffer.

The Muckleshoot Indian Tribe will engage in the installation and operation of a rotary screw trap in lower Soos Creek (also called Big Soos Creek), the largest sub-basin in the Middle Green-Duwamish River. Project objectives include: (1) estimating the juvenile abundance and productivity of out-migrant Chinook and coho salmon in the Soos Creek basin; (2) describing the timing, health, and condition of salmonids emigrating from the Soos Creek basin; (3) obtaining baseline data to help assess the cumulative effects of habitat trends and recovery actions on juvenile salmon abundance, health, and productivity over time; and (4) completing an inventory of the spawning habitat available for Chinook in the Soos Creek sub-basin and a Chinook spawning survey in 2014.

The Puyallup Tribe of Indians will identify a site and build an acclimation pond for winter steelhead on Midnight Creek, a tributary to the Greenwater River, White River, in King County, Washington. Winter steelhead are listed under the Endangered Species Act in the White River watershed and currently have a Hatchery Genetic Management Plan in place, which continues to direct recovery efforts. As part of the plan, each year wild returning adults are spawned, juveniles are reared and then released the following year as smolt into the mainstem White River from the Muckleshoot Indian Tribe's spring Chinook hatchery. The Greenwater River is a major spawning tributary to the White and an acclimation pond is needed in the upper watershed to acclimate steelhead to a more natural rearing environment, rather than rearing in the lower river in artificial ponds.

Nisqually Indian Tribe will conduct two projects: (1) implementing the 2011 Nisqually Chinook Stock Management Plan by conducting mainstem weir efficiency rate based on mark recapture; hatchery and natural Chinook composition on spawning grounds; hatchery and natural composition in fishery; hatchery and natural composition in hatchery; and adult and juvenile Chinook life history diversity, age, and growth through otolith analysis. Successful implementation of the Plan, which integrates habitat, harvest, and hatchery actions in order to move towards developing a locally adapted natural population of Chinook salmon, depends on robust hatchery and natural stock monitoring information; and (2) identifying and removing derelict fishing gear in prioritized areas in South Puget Sound. Derelict gear continues to cause mortalities of all marine life in South Sound, from salmon and rockfish to

marine birds and mammals, and its removal is critical to the health and recovery of the regions ecosystem.

The Squaxin Island Tribe will contribute to the recovery of lost Coho productivity in the Deschutes Watershed and the support of Treaty Rights through the creation of a comprehensive biological recovery plan for the Deschutes Watershed. The recovery plan will analyze existing data on riparian conditions, fine sediment sources, channel morphology, floodplain connectivity, habitat preferences of coho, etc. to systematically identify reach-by-reach the site-specific remedies to all known limiting factors to permit recovery of the Deschutes coho stock. Reviewing and synthesizing this Deschutes data to define strategic priorities will lead to a more efficient and effective implementation of watershed-wide restoration actions

The Skokomish Tribal Nation will conduct an accurate survey of finfish and shellfish consumption by Skokomish Tribal members within Mason County. Washington has one of the highest fish-consuming populations in the nation, but it currently uses the lowest fish consumption rate in determining water quality standards. This project will contribute data toward the ongoing effort to update Washington State's fish consumption rate.

The Suquamish Tribe will develop a preliminary design, including alternatives, cost estimates, and a funding strategy for replacing the Chico Creek culvert under State Route 3 with a bridge. Replacement of the Chico Creek culvert will ultimately provide: (1) a permanent remedy to the fish passage barrier at SR3; (2) restored channel function and stream and estuarine habitat conditions by replacing the 400 foot double concrete box culvert and associated fill with a spanning structure that allows meander migration and greater tidal inundation to the historic estuary; and (3) restored floodplain and riparian function throughout the reach bounded by the Chico Estuary and Erlands point road. Cost estimates and preliminary design alternatives will be used by state, local, and tribal agencies and stakeholders to develop a funding strategy to complete this high priority restoration project. Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be published in the announcement of the competitive funding opportunity (the Request for Proposals or RFP).

66.122 PUGET SOUND ACTION AGENDA OUTREACH, EDUCATION AND STEWARDSHIP SUPPORT PROGRAM

FEDERAL AGENCY:

Region 10, Environmental Protection Agency

AUTHORIZATION:

Clean Water Act, Title 3, Section 320, Public Law 106-457, 33 U.S.C 1330; Omnibus Appropriations Act, 2010, Public Law 111-88; Clean Water Act, Title 3, Section 320, Public Law 94-117, 33 Stat. 1330; Omnibus Appropriations Act, 2009, Public Law 111-8; Continuing Appropriations Act, 2011, Public Law 111-242; Continuing Appropriations Act, 2012, Public Law 112-74; Continuing Appropriations Act 2013, Public Law 113-6.

OBJECTIVES:

Puget Sound has been designated as one of 28 estuaries of National Significance under section 320 of the Clean Water Act. The goal of the National Estuary Program is to attain and maintain water quality in designated estuaries that will assure protection of public water supplies and the protection and propagation of a balanced, indigenous population of shellfish, fish and wildlife and allows recreational activities in and on the water. The Puget Sound National Estuary Program's approved Comprehensive Conservation and Management Plan (CCMP), the Action Agenda, has a goal to restore and maintain the Puget Sound Estuary's environment by meeting 2020 ecosystem targets.

The EPA is committed to protecting and improving water quality and minimizing the adverse impacts of rapid development in the Puget Sound Basin. These commitments include protecting the watersheds and waters of Puget Sound by protecting the fundamental watershed processes that provide and create aquatic habitats and by reducing the generation and release of toxic, nutrient and pathogen pollution.

An essential element of a successful estuary conservation and management program is a well-informed citizenry that is willing and able to take individual and community action to protect and enhance the estuary. This program is aimed at building and sustaining coordinated efforts for outreach and education to increase public awareness and encourage individual stewardship to protect both local and basin-wide ecosystems.

Funding Priority - Fiscal Year 2013: The Agency anticipates making an incremental award under this program to the Puget Sound Partnership in FY 2013. Funding priorities include Educating the public on the importance of habitat to the preservation of the Sound; Training members of the public on how to conduct habitat restoration activities; Providing the public with information on wetland and shoreline modification requirements and where to report violations to enhance federal, tribal, state, and local enforcement efforts.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

The Puget Sound restoration funds were appropriated by Congress in conjunction with the Clean Water Act for development and implementation of programs that will improve water quality, air quality, and minimize the adverse impacts of rapid development in the Puget Sound Basin, including activities linked to nonpoint pollution sources and habitat restoration work. Any public education, outreach or stewardship project receiving assistance under this program must contribute to or support the general implementation of the 2020 Action Agenda for Puget Sound.

Additional information on use restrictions, if any, for the Puget Sound Action Agenda Education, Outreach, and Stewardship Support Program, will be provided in each Request for Proposals issued under this program.

Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official Government business or when performing any work for or on behalf of the Government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

Eligible applicants for this program include:

Washington State agencies; public and private institutions of higher education; units of local government in the greater Puget Sound Basin and Nongovernmental Organizations (NGOs) specifically including NGOs that are nonprofits within the meaning of 501(c)(3) of the Internal Revenue Code.

Also eligible to apply under this program are all Federally-recognized Indian Tribal governments located within the greater Puget Sound basin and any consortium of these eligible Tribes.

An Intertribal consortium must have adequate documentation of the existence of the partnership and the authorization of the member Tribes to apply for and receive assistance. Documentation that demonstrates the existence of the partnership of Indian Tribal governments may consist of Tribal council resolutions, Intertribal consortia resolutions in conjunction with a Tribal council resolution from each member Tribe, or other written certification from a duly authorized representative of each Tribal government that clearly demonstrates that a partnership of Indian Tribal governments exists. Documentation that demonstrates that member Tribes authorize the consortium to apply for and receive assistance may consist of a Tribal council resolution from each Tribe or other written certification from a duly authorized representative of each Tribal government that clearly demonstrates that the Tribe authorizes the consortium

to apply for and receive the grant on behalf of the Tribe. An Intertribal consortium resolution is not adequate documentation of the member Tribes authorization of the consortium unless it includes a written certification from a duly authorized representative of each Tribal government.

The greater Puget Sound basin is defined as all watersheds draining into the U.S. waters of Puget Sound, the southern Georgia Basin and the Strait of Juan de Fuca.

For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

The direct beneficiary will be the entities that receive financial assistance under this program. Due to the fact that the program is designed and intended to assist in the restoration and protection of the Puget Sound estuary, the ultimate beneficiaries will be the residents of the greater Puget Sound region.

Credentials/Documentation:

OMB Circular A-87 has been codified at 2 C.F.R. Part 225. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applicants will be required to submit application materials as described in the Request for Proposals that will be issued under this program. The Request for Proposals will also specify the submission methods which generally include an electronic and hard copy submission option. The standard application forms as furnished by the EPA and required by OMB Circulars No. A-110 and A-102 must be used for this program. EPA requires final applications to be made on Standard Form 424. Requests for application kits must be submitted to the Environmental Protection Agency, Grants and Interagency Agreement Management Division, 1200 Pennsylvania Avenue, N.W., Mail Code 3903R, Washington, DC 20460 or through the EPA Region 10 Grants Administration web site at: <http://www.epa.gov/pugetsound/index.html>. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

The Region expects that its review of the applications received will be completed within 120 to 150 calendar days following the deadline for the submission of applications for each Request for Proposals issued under this program.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: The Puget Sound Action Agenda Education, Outreach and Stewardship program has no statutory formula for allocating the funds. All of the grants will be for implementation projects under the Puget Sound Comprehensive Conservation and Management Plan (CCMP) under CWA 320(g)(2) and 320(g)(3)(ii). There is a statutory match of 50% of the total project costs for implementation project grants under CWA 320(g)(3)(ii) and CWA Section 320 allows for an aggregate match.

For the awards to be made under the competition conducted under this program, the Puget Sound Management Conference, represented by the Puget Sound Partnership, agreed to provide 67% of the 50% required non-federal match for successful project proposals for FFY 2010, 2011, 2012 and 2013 under a cooperative agreement with EPA. Accordingly, in order to meet the match requirement for the competitive solicitations issued under this program, EPA Region 10 will require that all selected recipients provide a match amount that is equal to 33% of the 50% required match. Thus, for each proposal funded under this program, the required 50% match will be met. The match may be cash or in-kind consistent with the regulation governing match requirements (40 C.F.R. 31.24 or 40 C.F.R. 30.23, as applicable). The example below illustrates how this will work.

Match Example:

For an applicant requesting \$600,000 in federal funds under a competition, the total match required would be \$600,000. Under the competition, the applicant will be required to provide a match of \$198,000, or 33% of the required 50% match. The remaining \$402,000 (\$600,000 - \$198,000) of the required 50% match will be met at the aggregate level by the Puget Sound Partnership.

Contact the Puget Sound Partnership office or EPA Regional Office contact identified in this program description for more information.

Special Note: The EPA anticipates funding the award made under this program incrementally. Match requirements, and how they will be met, for the out-year increments (FFY 2011 and beyond) will be determined prior to awarding those increments. For a further description of incremental funding, please see the later sections on "Total Allocations", "Obligations" and "Range and Average of Financial Assistance".

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Funds will be disbursed to the assistance recipient in accordance with the terms specified in the assistance agreement. See the following for information on how assistance is awarded/released: Assistance will be disbursed in accordance with the terms of the assistance agreement. Typically, assistance recipients draw funds at either monthly or quarterly intervals based on their incurred costs.

Reports:

No program reports are required. No cash reports are required. Progress report requirements will be a part of each assistance agreement. A schedule showing interim milestones and the outputs that will be completed by the end of the project period will also be included. Typical progress reports will discuss the progress that the assistance recipient has made on each major task and interim milestone identified in the approved statement of work. Progress reports will also discuss any difficulties or problems that the assistance recipient has encountered and how they have been or are being resolved. Other specific reporting requirements will be defined in the assistance agreement based on the statement of work described in the application. Expenditure reports will typically be required at the same intervals as progress reports. The expenditure reports will document expenditures to date, including expenditures of any matching funds, in a manner that allows the reader to confirm that matching requirements are being met and that all assistance payments (disbursements to the assistance recipient) are for costs that have been incurred in compliance with applicable cost principles. Recipients of this funding will be required to use EPA's Puget Sound Financial and Ecosystem Accounting Tracking System (FEATS), which is the primary mechanism for performance monitoring. Key

grant outputs will be tracked on a semi-annual basis through FEATS, as well as progress towards project milestones and deliverables. FEATS provides linkages to EPA Puget Sound performance measures and Dashboard Indicators. FEATS allows award recipients to share challenges, solutions, lessons learned, and reflections associated with their work.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Financial records, including all documents to support entries on accounting records and to substantiate charges to each grant must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained until the expiration of three years from the date of submission of the final expenditure report. If questions still remain, such as those raised by an audit, related records should be retained until the matter is completely resolved.

Account Identification:

68-0108-0-1-304.

Obligations:

(Cooperative Agreements) FY 12 \$1,000,000; FY 13 est \$989,215; and FY 14 est \$0

Range and Average of Financial Assistance:

The EPA made an initial award of \$2,000,000 under this program. The resulting assistance agreement will include the potential for incremental funding of \$1,000,000 per year for up to four additional years beyond the initial funding period. This incremental funding is contingent upon the availability of future appropriations, the assistance recipient's performance and the needs of the CCMP for Puget Sound.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Identified partners across the Puget Sound Basin have grown from over 400 to around 800.

All 12 counties have active ECO Networks who are meeting regularly and finding common projects to work on. This grant has provided funding for different trainings for these groups. The groups have utilized their coordination and capacity grants to strengthen their effectiveness as groups. Several have developed strategic plans while others have received training to better implement their local programs and support each other as a network.

Three surveys have been completed to get public opinion research, with reports and supporting documentation made available to the partners. The Sound Behavior Index was completed. This index measures the public's behaviors relevant to the impact on the health of Puget Sound. Fiscal Year 2013: Identified partners across the Puget Sound Basin have grown from over 400 to around 800. All 12 counties have active ECO Networks who are meeting regularly and finding common projects to work on. This grant has provided funding for different trainings for these groups. The groups have utilized their coordination and capacity grants to strengthen their effectiveness as groups. Several have developed strategic plans while others have received training to better implement their local programs and support each other as a network.

Three surveys have been completed to get public opinion research, with reports and supporting documentation made available to the partners. The Sound Behavior index was completed. This index measures the public's behaviors relevant to the impact on the health of Puget Sound. Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

For grants and cooperative agreements with state agencies, Tribal governments and intertribal consortia, the recipient must comply with 40 C.F.R. Part 31 "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments;" 40 C.F.R. Part 35 "Environmental Program Grants-State, Interstate and Local Government Agencies," and OMB Circular A-87 "Cost Principles for State and Local Governments" and A-102 "Grants and Cooperative Agreements for States and Local Governments."

OMB Circular A-87 has been codified at 2 C.F.R. Part 225. EPA's requirements under OMB Circular A-102 are codified at 40 C.F.R. Part 31.

For grants and cooperative agreements with institutions of higher education and non-profit organizations, the assistance recipient must comply with 40 C.F.R. Part 30, "Uniform Administrative Requirements for Grants and Cooperative Agreements with Institutions of Higher Education, Hospitals and other Non-Profit Organizations," 40 C.F.R. Part 30 and OMB Circular No. A-110, "Uniform Administrative Requirements for Grants and Other Agreements with Institutions of Higher Education, Hospitals and Other Non-Profit Organizations." OMB Circular A-110 is codified at 2 C.F.R. Part 215.

For grants and cooperative agreements with institutions of higher education, the assistance recipient must comply with OMB Circular A-21, codified at 2 C.F.R. 220, "Cost Principles for Educational Institutions."

For grants and cooperative agreements with non-profit organizations, the assistance recipient must comply with OMB Circular A-122, codified as 2 C.F.R. Part 230, "Cost Principles for Non-Profit Organizations."

Regional or Local Office:

See Regional Agency Offices. Angela Bonifaci, Team Leader
Puget Sound Team
Office of Ecosystems, Tribal and Public Affairs
U.S. Environmental Protection Agency, Region 10
1200 Sixth Avenue, Suite 900, ETPA-086
Seattle, WA 98101
(206) 553-0332
1-800 424-4EPA, extension 3-0332
E-mail: bonifaci.angela@epa.gov.

Headquarters Office:

Angela Bonifaci Puget Sound Team
Office of Ecosystems, Tribal and Public Affairs
U.S. Environmental Protection Agency, Region 10
1200 Sixth Avenue, Suite 900, ETPA-086
, Seattle, Washington 98101 Email: bonifaci.angela@epa.gov Phone: (206) 553-0332

Website Address:

<http://www.epa.gov/pugetsound/funding/index.html>

RELATED PROGRAMS:

66.121 Puget Sound Protection and Restoration: Tribal Implementation Assistance Program ; 66.456 National Estuary Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Identified partners across the Puget Sound Basin have grown from over 400 to around 800.

All 12 counties have active ECO Networks who are meeting regularly and finding common projects to work on. This grant has provided funding for different trainings for these groups. The groups have utilized their coordination and capacity grants to strengthen their effectiveness as groups. Several have developed strategic plans while others have received training to better implement their local programs and support each other as a network.

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800.

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Three surveys have been completed to get public opinion research, with reports and supporting documentation made available to the partners. The Sound Behavior Index was completed. This index measures the public's behaviors relevant to the impact on the health of Puget Sound. Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this program description will be published in the announcement of the competitive funding opportunity (e.g., the Request for Proposals or RFP).

66.123 PUGET SOUND ACTION AGENDA: TECHNICAL INVESTIGATIONS AND IMPLEMENTATION ASSISTANCE PROGRAM FEDERAL AGENCY:

Region 10, Environmental Protection Agency

AUTHORIZATION:

Clean Water Act, Title 3, Section 320(g)(3)(A)(ii), Public Law 94-117, 33 U.S.C 1330; Clean Water Act, Title 1, Section 104(b), Public Law 106-457, 33 U.S.C 1254(b); Clean Water Act, Title 1, Section 104, Public Law 106-457, 33 U.S.C 1254(a); Omnibus Appropriations Act, 2009, Public Law 111-8; Clean Water Act, Title 1, Section 104(b), Public Law 94-117, 33 U.S.C 1254(b); Clean Water Act, Title 1, Section 104, Public Law 94-117, 33 U.S.C 1254(a); Clean Water Act, Title 3, Section 320(g)(3)(A)(ii), Public Law 106-457, 33 U.S.C 1330; Department of the Interior, Environment and Related Agencies Appropriations Act of 2010, Public Law 111-88; Continuing Appropriations Act, 2011, Public Law 111-242; Consolidated Appropriations Act, 2012, Public Law 112-74; Continuing Appropriations Act, 2013, Public Law 113-6.

OBJECTIVES:

Puget Sound has been designated as one of 28 estuaries of National Significance under section 320 of the Clean Water Act. The goal of the National Estuary Program is to attain and maintain water quality in designated estuaries that will assure protection of public water supplies and the protection and propagation of a balanced, indigenous population of shellfish, fish and wildlife and allows recreational activities in and on the water. The Puget Sound National Estuary Program's approved Comprehensive Conservation and Management Plan (CCMP), the Action Agenda, has a goal to restore and maintain the Puget Sound Estuary's environment by meeting 2020 ecosystem targets.

The EPA is committed to protecting and improving water quality and minimizing the adverse impacts of rapid development in the Puget Sound Basin. These commitments include protecting the watersheds and waters of Puget Sound by protecting the fundamental watershed processes that provide and create aquatic habitats and by reducing the generation and release of toxic, nutrient and pathogen pollution.

This program has the following main objectives:

First, a central component of the approved CCMP for Puget Sound is its Biennial Science Workplan which identifies some of the core scientific work that must be completed in order for the Puget Sound Management Conference's implementation efforts to succeed in the recovery and protection of the Sound. A primary objective of this program is to support the technical studies and investigations that are needed to help direct implementation priorities.

Second, the Scientific Studies and Technical Investigations awards through this program will help support identification and achievement of specific environmental outcomes and the development of tracking and evaluation approaches for implementation activities, so that together they will contribute

significantly to the restoration and protection of Puget Sound by 2020.

Beginning in FFY2010, specific areas of focus for Puget Sound protection and restoration were identified as Toxics and Nutrients, Pathogens, Marine Nearshore, Watershed Protection, and Management of Implementation of the Action Agenda.

Funding Priority - Fiscal Year 2013: No new solicitation for FFY2013 funding is planned for the scientific and technical studies and investigations.

Priorities for the five Lead Organization awards include: Nearshore and Marine Lead Organization; Protecting habitat through promoting effective regulation of marine and nearshore areas; Enhancing enforcement of and compliance with regulations governing habitat alteration, including shoreline modification; Restoring habitat, including shorelines, estuaries, and feeder bluffs/drift cell function; Developing programs to reduce shoreline armoring and, where armoring is needed, promote soft armoring techniques to achieve a net gain of unarmored shoreline.

Watersheds (for stormwater): Focus on protection and restoration of floodplains, stream shorelines, riparian areas and primary creeks; Focus on levees and flood revetments; Enforcement of Critical Area Ordinances; Address stormwater impacts to the hydrological regime by working with local government to limit impervious surface and manage flows; Work with local governments to develop ecosystem service markets (placing value on ecosystem services and functions); Stormwater facility design and prioritization.

Toxics and Nutrients Lead Organization: Implement key findings from the Toxics Loading Study to prevent and reduce toxic loadings to Puget Sound; Implement key findings from Ecology reports on nutrient programs in Puget Sound and address sources of nutrients on agricultural lands; Support of the Governor's Blue Ribbon Panel on Ocean Acidification.

Pathogens Lead Organization: Focus on recoverable shellfish beds; Work with local governments to create effective ordinances and enforcement programs; Develop sustainable funding in each health district for on-site septic system (OSS) repair and maintenance programs; Complete no discharge zone designation.

Management of Action Agenda Implementation Lead Organization: Funding in support of the habitat-related efforts of the Northwest Straits Commission; Direct funding for Local Integrating Organization habitat protection and restoration projects; Integration of science into the CCMP, performance management, monitoring, and managing the required matching funds provided by the Management Conference.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

The Puget Sound restoration funds were appropriated by Congress in conjunction with the Clean Water Act for development and implementation of programs that will improve water quality, air quality, and minimize the adverse impacts of rapid development in the Puget Sound Basin, including activities linked to nonpoint sources or habitat restoration projects.

The assistance offered can be used to finance scientific work identified in the Puget Sound Partnership's Biennial Science Work Plan or otherwise needed to effectively implement the Puget Sound Action Agenda. Assistance may be used to finance technical studies and investigations necessary to achieve environmental outputs and/or outcomes identified in the Action Agenda or by either the Puget Sound Partnership or other agencies within the Management Conference with implementation or tracking responsibilities.

Assistance may also be used to manage, monitor, oversee, or participate in the implementation of the 2020 Action Agenda for Puget Sound, including Tribal participation in the implementation and maintenance processes of the CCMP. Such assistance may also include managing and overseeing the implementation of the Science Work Plan and any technical studies or investigations undertaken

in support of implementation and/or further development of the Action Agenda.

Finally, if requested, the assistance may be used to finance general implementation of the 2020 Action Agenda for Puget Sound. This implementation work could either be funded directly or through a Lead Organization which could then fund subawards for Action Agenda implementation activities. Funding may also be used by Lead Organizations to manage progress and outcomes for a particular management issue or geographic area.

Additional information on use restrictions, if any, for this program, will be provided in each Request for Proposals published on the EPA Region 10 website.

Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

Federal government agencies and Washington state government agencies are eligible to apply under this program.

Public and private institutions of higher education located in the United States are eligible to apply under this program.

Units of local government organized under Washington state law and located within the Greater Puget Sound basin are eligible to apply. Also eligible to apply are special purpose districts, as defined by Washington State law at R.C.W. 36.93.020, including but not limited to, irrigation districts, and water and sewer districts that are located in or govern land and water resources within the greater Puget Sound basin. Conservation districts located in or governing land and water resources within the greater Puget Sound Basin are also eligible to apply for assistance under this program.

Watershed planning units formed under RCW 90.82.040 and RCW 90.82.060, local management boards organized under RCW 90.88.030, salmon recovery lead entities organized pursuant to RCW 77.85.050, regional fisheries enhancement groups organized pursuant to RCW 77.95.060 and Marine Resource Committees organized pursuant to RCW 36.125.010 and RCW 36.125.020 are eligible to apply if they are located within or their jurisdictions include waters and/or lands within the Greater Puget Sound basin.

-Intrastate organizations such as associations of cities, counties or conservation districts in the Greater Puget Sound basin are also eligible to apply.

Nonprofit nongovernmental entities are also eligible to apply.

Intrastate organizations such as associations of cities, counties or conservation districts in the Greater Puget Sound basin are also eligible to apply.

Federally recognized Indian Tribes located within the greater Puget Sound basin and any consortium of these eligible tribes are also eligible to apply.

An Intertribal consortium must have adequate documentation of the existence of the partnership and the authorization of the member Tribes to apply for and receive assistance. Documentation that demonstrates the existence of the partnership of Indian Tribal governments may consist of Tribal council resolutions, Intertribal consortia resolutions in conjunction with a Tribal council resolution from each member Tribe, or other written certification from a duly

authorized representative of each Tribal government that clearly demonstrates that a partnership of Indian Tribal governments exists. Documentation that demonstrates that member Tribes authorize the consortium to apply for and receive assistance may consist of a Tribal council resolution from each Tribe or other written certification from a duly authorized representative of each Tribal government that clearly demonstrates that the Tribe authorizes the consortium to apply for and receive the grant on behalf of the Tribe. An Intertribal consortium resolution is not adequate documentation of the member Tribes authorization of the consortium unless it includes a written certification from a duly authorized representative of each Tribal government.

The greater Puget Sound basin is defined as all watersheds draining to the U.S. waters of Puget Sound, southern Georgia Basin, and the Strait of Juan de Fuca.

- For profit business entities, private individuals and families are not eligible to apply. However, all of these types of entities could partner with an eligible applicant as a subawardee.

- For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

The direct beneficiaries would be the entities receiving the assistance. Due to the fact that the program is designed and intended to assist in the restoration and protection of the Puget Sound estuary, the ultimate beneficiaries will be the residents of the greater Puget Sound region.

Credentials/Documentation:

OMB Circular A-87 has been codified at 2 C.F.R. Part 225. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. For competitive awards, applicants will be required to submit application materials as described in the Request For Proposals. The Request For Proposals will also specify the submission methods which generally include an electronic and hard copy submission option. The standard application forms as furnished by the EPA and required by OMB Circulars No. A-110 and A-102 must be used for this program. EPA requires final applications to be made on Standard Form 424. Requests for application kits must be submitted to the Environmental Protection Agency, Grants and Interagency Agreement Management Division, 1200 Pennsylvania Avenue, N.W., Mail Code 3903R, Washington, DC 20460 or through the EPA Region 10 Grants Administration web site at: <http://www.epa.gov/pugetsound/index.html>. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

The Region expects that its review of the applications received in response to competitive solicitations will be completed within 120 to 150 calendar days following the deadline for the submission of applications for each Request for

Proposals issued under this program.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Clean Water Act 104(a) and 104(b) have no statutory formula for match; that is, no match is required by the statute. Therefore, for awards under this program to be made under these authorities, the EPA will not require any non-federal match by any successful applicant.

If awards are made for general projects that implement the CCMP or that exercise management and oversight over the implementation of the CCMP, there will be a match requirement pursuant to CWA 320. For those projects funded under CWA 320(g)(2) and 320(g)(3)(ii), there is a statutory match of 50% of the total project costs. CWA 320 allows for an aggregate match; that is, the match requirement for federal financial assistance for national estuary program projects applies to the estuary's Management Conference as a whole. Thus, any spending of nonfederal funds by the Management Conference within a fiscal year can be used as match for federal financial assistance.

The EPA expects to structure the Request for Proposals issued under this program so that applicants can identify expenditures of nonfederal funds on projects that implement the CCMP by themselves as well as by other Management Conference members as proposed nonfederal match for the financial assistance being requested.

Contact the EPA Regional Office contact identified in this program description for more information.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The assistance will be awarded during FFY 2010, FFY 2011, FFY 2012, and FFY 2013. Funds will be disbursed to individual assistance recipients in accordance with the terms specified in the assistance agreement. See the following for information on how assistance is awarded/released: Assistance will be disbursed in accordance with the terms specified in the assistance agreement. Typically, assistance recipients draw funds at either monthly or quarterly intervals based on their incurred costs.

Reports:

No program reports are required. No cash reports are required. Progress report requirements will be a part of each assistance agreement. A schedule of interim milestones and the outputs that will be completed by the end of the project period will also be included. Typical progress reports will discuss the progress that has been made on each major task and on each interim milestone identified in the approved statement of work. Progress reports will also discuss any difficulties or problems that have been encountered and how they have been or are being resolved. Other specific reporting requirements will be defined in the assistance agreement based on the statement of work described in the application. Expenditure reports will typically be required at the same time intervals as progress reports. The expenditure reports will document expenditures to date in a manner that allows the report user to confirm that all assistance payments (disbursements to assistance recipients) are for costs that have been incurred in compliance with applicable costs principles and, for assistance agreements in which a matching contribution is required, the reports will also document expenditures of that matching contribution. Recipients of this funding will be required to use EPA's Puget Sound Financial and Ecosystem Accounting Tracking System (FEATS), which is the primary mechanism for performance monitoring. Key grant outputs will be tracked on a semi-annual basis through FEATS, as well as progress towards project milestones and deliverables. FEATS provides linkages to EPA Puget Sound

performance measures and Dashboard Indicators. FEATS allows award recipients to share challenges, solutions, lessons learned, and reflections associated with their work.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Financial records, including all documents to support entries on accounting records and to substantiate charges to each grant must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained until expiration of three years from the date of submission of the final expenditure report. If questions still remain, such as those raised by an audit, related records shall be maintained until the matter is completely resolved.

Account Identification:

68-0108-0-1-304.

Obligations:

(Project Grants) FY 12 \$19,200,000; FY 13 est \$17,248,105; and FY 14 Estimate Not Available - EPA awarded approximately \$9.0 million for scientific studies and technical investigations in FFY 2011 from FFY 2010 funds based on one competitive solicitation. The projects are identified on an annotated list at this web page:

<http://www.epa.gov/pugetsound/funding/index.html#science>

The Region also awarded nearly \$4.0 million in FFY 2010 funds to the University of Washington's Puget Sound Institute pursuant to Congressional direction in the FFY 2010 appropriation.

The Region awarded \$6,000,000 in FFY 2010 funds to the Puget Sound Partnership to manage the implementation of the Action Agenda, pursuant to Congressional direction in the EPA's FFY 2010 appropriation.

The Region awarded approximately \$3.1 million each in four awards to state agencies to lead Puget Sound ecosystem recovery efforts. Two of these awards were to the Washington State Department of Ecology to lead efforts for both "Toxics and Nutrients" and "Watershed Protection". A third award was made to the Washington State Department of Health for "Pathogen Control". The fourth award was made to the Washington State Department of Fish and Wildlife for "Marine and Nearshore Habitat Protection".

The Region awarded approximately \$5.5m in incremental funding in FFY 2011 funds to each of these ecosystem Lead Organizations, totaling \$21,600,000.

In FY 2012, the Region awarded approximately \$3,600,000 in incremental funding to the four ecosystem lead organizations and \$3,000,000 for the Management of Action Agenda Implementation Lead Organization, totaling ~\$17,400,000. The watershed Lead Organization also received an additional \$1,800,000 for stormwater retrofit project prioritization and design. FY12 funding totaled \$19,200,000.

In FY 2013, the Region awarded approximately \$3,320,000 in incremental funding to the four ecosystem lead organizations and \$2,800,000 for the Management of Action Agenda Implementation Lead Organization, totaling around \$16,048,105. The watershed lead organization also received an additional, \$1,200,000 for the riparian initiative. FY13 funding totaled \$17,248,105.

Additional incremental awards in FY 2014 are dependent on the appropriations the EPA receives.

Range and Average of Financial Assistance:

The EPA expects to make awards for scientific and technical studies in the range of \$200,000 - \$700,000. Awards for implementation assistance and for managing and monitoring the implementation of the CCMP could range from \$200,000 to \$10,000,000 each. Some of these awards may be incrementally funded with appropriations from future fiscal years.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: See below for a few of the FY 2012 accomplishments under these Lead Organization grants.

Under the Pathogen Lead Organization grant:

Shellfish target progress: as of 4/2013, 2,888 acres of the Action Agenda's 10,800 acres target have been restored. During October 2012 - March 2013, 567 acres were upgraded and 27 acres were downgraded for a net gain of 540 acres.

-Onsite Sewage System Management: The 2020 OSS target in the Puget Sound Action Agenda requires that all systems in Marine Recovery Areas and other high risk areas will be inventoried with inspections current at 95% and all failures fixed. A significant portion of the Pathogen Grant supports local health jurisdictions (LHJ) work to inventory, inspect and fix failures. Over \$2.9 million from FY10- FY12 Puget Sound NEP funds support this work. LHJs increased the number of OSS documented from 53,138 to 60,925, an increase of almost 7,800 systems identified for enhanced management. As of 1/1/2013, 37% (~22,500 OSS) were current with inspections. The number of undocumented, suspected systems dropped from 13% to 8%. Since January 2011, 397 failing systems have been identified and 268 systems have been repaired.

-Consistent, sustainable funding is essential for OSS management in Puget Sound and a focal area for the Pathogen Grant. Two near term actions in the Puget Sound Action Agenda (C5.3.1 & C5.3.2) address sustainable funding for OSS management and repairs/replacement in Puget Sound. DOH issued a RFP in March to hire a consultant to develop options for a regional, unified, self-sustaining low interest loan program to help OSS owners fix failing OSS and to evaluate approaches and mechanisms (e.g. a regional flush tax or sewer surcharge) to generate funds to implement OSS management activities. This work will begin in May.

-Pollution Identification and Correction Programs: PIC programs are recognized as a key element in recovering and protecting shellfish resources, swimming beaches and water quality. We met our goal of funding 10 programs in Puget Sound. With FY12 funds, we have expanded work in 2 counties (Mason, Thurston), provided 2 more PIC awards, and provided one more PIC planning grant. We hired a PIC Coordinator to assist with PIC efforts in Whatcom County. As of 3/31/2013, PIC programs in Puget Sound have resulted in 568 inspections and provided technical and financial assistance to help landowners fix 21 failing OSS and 40 livestock problems. Many more corrective actions are in process throughout the Sound.

For the Toxics and Nutrients grant:

Using FY 2010 - FY 2012 funds, the Toxics and Nutrients Lead Organization has funded 43 projects, for a combined \$12.3 million investment, to prevent, manage, and control toxics and nutrient pollution from entering Puget Sound fresh and marine waters. With these funds, local and state governments, academia, and other entities are hiring inspectors to address non-point sources of pollution, providing technical assistance to small businesses that use toxic chemicals, studying new nitrogen-removing septic system technologies, identifying safer alternatives to commonly-used toxic chemicals, removing derelict pilings that leach toxic chemicals, and conducting other work essential to address toxics and nutrients. More information is available at http://www.ecy.wa.gov/puget_sound/grants_fed_toxics.html.

For the Watershed Lead Organization grant:

-The Watershed Lead Organization has funded a variety of projects that are intended to concentrate population growth in urban areas, steer development to improve environmental outcomes, and protect and restore watershed processes and functions. This work includes watershed-based assessment, planning, implementation, and monitoring approaches that protect and restore key areas critical to maintaining the integrity of natural processes of local watersheds. See the list under FY11 for previous funded projects.

-A total of 24 competitive awards have been made. Funding has gone to an interagency technical assistance team that provides direct help to these sub-recipients in implementing a watershed approach in land use planning. The team is newly formed but has already provided watershed assessment assistance to 13 different entities.

-44 streams have been surveyed and characterized to increase protection for coastal salmon streams in WRIA 6 through improved predictive modeling, improved salmon occurrence data, and incorporation of information into county code.

-10 acres of riparian areas have been planted to improve riparian habitat restoration and water quality improvement in the Middle Green River Basin.

-30 engineered log jams structures have been designed and installed to restore salmonid habitat in the Nooksack River.

-The Washington Department of Commerce and eight subawards are working on land use and working lands.

-A contractor was hired to enhance the existing Western Washington Hydrology Model for modeling LID facilities. The model upgrades have been completed and the model is located on the Ecology website for public use. Training materials were developed and six workshops have been held on the new model.

-Ecology has awarded five grants to organizations for implementation of watershed-based storm water management strategies.

For the Marine/Nearshore Lead Organization grant:

Using over \$1.8 million in FY12 funds, the Marine and Nearshore Protection and Restoration LO will fund eight beach restoration projects selected through a competitive process. These projects focus on removal of shoreline armoring and restoration of ecosystem function at sites that provide substantial public education opportunities. To complement this work, the LO will also fund a project to develop a social marketing strategy to reduce shoreline armoring and develop another to develop an incentive toolkit on shoreline armoring alternatives. The program will also fund an assessment and report on improving compliance and enforcement of shoreline regulations, and implement pilot projects to encourage compliance and enforcement of those regulations.

For the Puget Sound Action Agenda Implementation Management Lead Organization grant

The Puget Sound Partnership's performance management system was significantly advanced by the launch of The Project Atlas in March 2012 and the Performance Report Card later in June 2012. Both of these tools have been useful in informing stakeholders and decision makers on work underway and progress towards targets of the Vital Signs indicators.

-Improved reporting to EPA's NEPORT system also was possible because of these products.

-The 2012 update of the Action Agenda was completed in August 2012.

- The bi annual State of the Sound report was finished and adopted in Oct 2012.

- 9 of 10 planned Local Integrating Organizations (LIOs) were formally recognized by the Management Conference leadership Council and workplans and capacity agreements were awarded. Fiscal Year 2013: No accomplishments

to report with this year funding since the incremental funding was awarded in late FYY 2013. Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

For grants and cooperative agreements with State agencies, units of local government (including special purpose districts), tribal governments and intertribal organizations, assistance recipients must comply with 40 C.F.R. Part 31 "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments", 40 C.F.R. Part 35 "Environmental Program Grants-State, Interstate and Local Government Agencies", and OMB Circular Nos. A-87 "Cost Principles for State and Local Governments" and A-102 "Grants and Cooperative Agreements for States and Local Governments."

OMB Circular A-87 has been codified as 2 C.F.R. Part 225. EPA's requirements under OMB Circular A-102 are codified at 40 C.F.R. Part 31.

For grants and cooperative agreements with institutions of higher education and non-profit organizations, the assistance recipient must comply with 40 C.F.R. Part 30, "Uniform Administrative Requirements for Grants and Cooperative Agreements with Institutions of Higher Education, Hospitals and other Non-Profit Organizations," 40 C.F.R. Part 30 and OMB Circular No. A-110, "Uniform Administrative Requirements for Grants and Other Agreements with Institutions of Higher Education, Hospitals and Other Non-Profit Organizations." OMB Circular A-110 is codified at 2 C.F.R. Part 215.

For grants and cooperative agreements with institutions of higher education, the assistance recipient must comply with OMB Circular A-21, codified at 2 C.F.R. 220, "Cost Principles for Educational Institutions."

For grants and cooperative agreements with non-profit organizations, the assistance recipient must comply with OMB Circular A-122, codified as 2 C.F.R. Part 230, "Cost Principles for Non-Profit Organizations."

Additionally, any awards made under Clean Water Act 104 authorities would be subject to the EPA regulations on Research and Demonstration grants at 40 C.F.R Part 40.

Regional or Local Office:

See Regional Agency Offices. Angela Bonifaci, Team Leader
Puget Sound Team
Office of Ecosystems, Tribal and Public Affairs
U.S. Environmental Protection Agency, Region 10
1200 Sixth Avenue, Suite 900, ETPA-086
Seattle, WA 98101
(206) 553-0332 or 1-800-424-4EPA, extension 3-0332
E-Mail: bonifaci.angela@epa.gov.

Headquarters Office:

Angela Bonifaci Puget Sound Team
Office of Ecosystems, Tribal and Public Affairs
U.S. Environmental Protection Agency, Region 10
1200 Sixth Avenue, Suite 900, ETPA-086
, Seattle, Washington 98101 Email: bonifaci.angela@epa.gov Phone: (206) 553-0332

Website Address:

<http://www.epa.gov/pugetsound/funding/index.html>

RELATED PROGRAMS:

66.121 Puget Sound Protection and Restoration: Tribal Implementation Assistance Program ; 66.456 National Estuary Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Please see the discussion of obligations in sections 122 and 131 for a description of some of the projects funded in FY2012. Fiscal Year 2013: No accomplishments to report with this year's funding since the incremental funding was awarded in late FY 2013. Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA

program description will be published in each announcement of a competitive funding opportunity (e.g., the Request for Proposals or RFP).

66.124 COASTAL WETLANDS PLANNING PROTECTION AND RESTORATION ACT

CWPPRA (The Breaux Act)

FEDERAL AGENCY:

Region 6, Environmental Protection Agency

AUTHORIZATION:

Clean Water Act, Section 104(b)(3), Public Law 92-500, 33 U.S.C 1254(b)(3).

OBJECTIVES:

To assist the State, local government, college or university in planning and implementing projects that create, protect, restore and enhance wetlands in coastal Louisiana.

Funding Priority - Fiscal Year 2013: Funds are to be used to protect, restore and enhance coastal wetlands ecosystems through strategic "natural" reintroduction of river water, barrier island/shoreline restoration and application of innovative technologies such as pipeline delivery of new sediment.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funds are to be used to protect, restore and enhance coastal wetlands ecosystems through strategic "natural" reintroduction of river water, barrier island/shoreline restoration and application of innovative technologies such as pipeline delivery of new sediment. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or rented vehicles or government owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

Eligible applicants for assistance include State and local governments, including their universities and colleges.

Beneficiary Eligibility:

State and local governments, including their universities and colleges involved in administering coastal wetlands protection, restoration and/or management programs or programs related to or that complement coastal wetlands protection programs.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments and OMB Circular No. A-21 for colleges and universities. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination with the appropriate office is encouraged. The standard application forms as furnished by the Federal agency and required by OMB Circular No. A-102 must be used for this program. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Completed applications should be submitted to the appropriate EPA Regional Office contact.

Award Procedure:

Applications are evaluated by the appropriate EPA office and are subject to review for technical merit, soundness of design, and reasonableness of proposed costs. Approved projects are awarded by the Regional Administrator.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Grants are usually approved within five months of receipt of a complete application.

Appeals:

Disputes will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: CWPPRA projects require a 15 percent match of the State or local government. Colleges or Universities awarded a grant in support of a CWPPRA project require a 5% match.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The terms of the grant shall be determined at the time of grant award. See the following for information on how assistance is awarded/released: Assistance disbursement will be determined at the time of award.

Reports:

No program reports are required. The recipient will be required to submit quarterly cash reports to the EPA Project Officer. The recipient will be required to submit quarterly progress reports to the EPA Project Officer. The recipient will be required to submit quarterly expenditure reports to the EPA Project Officer. Performance monitoring is required under this program.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Financial records, including all documents to support entries on accounting records and to substantiate charges to each grant, must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained for 3 years from the date of submission of the annual financial status report. If questions still remain, such as those raised as a result of audit, related records should be retained until the matter is completely resolved.

Account Identification:

68-0108-0-1-304.

Obligations:

(Cooperative Agreements) FY 12 \$1,031,893; FY 13 est \$3,000,000; and FY 14 est \$3,800,000

Range and Average of Financial Assistance:

\$100,000 to \$30,000,000. If a Phase I (E&D) project is selected for funding, the estimated amount could be from \$1-\$6 million. If it is a Phase II (construction) project, the estimate could be up to \$30 million.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: R6 made one award in Sept 2012 in the amount of \$1,031,893. To date, the Grantee (LA CPRA) has conducted several meetings with stake holders and land owners in the area, as well as a presentation to the

CWPPRA Task Force for a change in scope of the project. Fiscal Year 2013: Region 6 anticipates one award in FY13 that hasn't been made yet, therefore program accomplishments are not available. Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

EPA Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments (40 CFR Part 31).

Regional or Local Office:

See Regional Agency Offices. Sondra McDonald, Project Officer, 6WQ-AT, USEPA Region 6, 1445 Ross Avenue, Dallas, TX 75202, Office: (214) 665-7187 Fax: (214) 665-6490 Email: mcdonald.sondra@epa.gov.

Headquarters Office:

Sondra McDonald, USEPA Region 6, 6WQ-AT, 1445 Ross Avenue, Dallas, Texas 75202 Email: mcdonald.sondra@epa.gov Phone: (214) 665-7187.

Website Address:

<http://www.epa.gov/region6/water/at/sttribal.htm>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: One project was awarded during FY12 for engineering and design of the Small Freshwater Diversion NW Baratara Basin CWPPRA project. The award amount was \$1,031,893. Fiscal Year 2013: Region 6 anticipates one award during FY13. This award is estimated to be \$2,467,916. Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

CWPPRA projects to be funded are selected through an annual, publicly-vetted process and ultimately approved by a multi-agency Task Force.

66.125 LAKE PONTCHARTRAIN BASIN RESTORATION PROGRAM (PRP)

FEDERAL AGENCY:

Region 6, Environmental Protection Agency

AUTHORIZATION:

Lake Pontchartrain Basin Restoration Act of 2000; Clean Water Act, Section 121.

OBJECTIVES:

The purpose of the program shall be to restore the ecological health of the Basin by developing and funding restoration projects and related scientific and public education projects.

Funding Priority - Fiscal Year 2013: The program will continue to work to restore the ecological health of the Lake Pontchartrain Basin. In FY 2013, EPA will invest funds awarded for the Lake Pontchartrain Basin Program for the following activities:

o Completing plans and studies as identified in the Lake Pontchartrain Basin Program Comprehensive Management Plan (LPBCMP) which supports the following goals:

- Planning and design of consolidated wastewater treatment systems which support the Agency's Sustainable Infrastructure goal;
- Repair and replacement studies to improve existing wastewater systems; and
- Investigation and design of storm water management systems.

o Conducting outreach and public education projects that address the goals of the LPBCMP, such as:

- Improving the management of animal waste lagoons by educating and assisting the agricultural community on lagoon maintenance techniques;
- Protecting and restoring critical habitats and encouraging sustainable growth by providing information and guidance on habitat protection and green

development techniques; and

- Reducing pollution at its source.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

The Administrator may make grants (1) for restoration projects and studies recommended by a management conference convened for the basin under section 320, and (2) for public education projects recommended by the management conference.

Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

The grants for this program are awarded to the Management Conference, also known as the PRP, for restoration projects, studies and public education projects. Eligible sub-grantees for this program include the Parishes and Cities within the 16 parish area of the Lake Pontchartrain Basin Watershed and the Lake Pontchartrain Basin Foundation.

For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

Eligible applicants for assistance include the Parishes and Cities within the Lake Pontchartrain Basin Watershed and the Lake Pontchartrain Basin Foundation.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

The standard application forms as furnished by the Federal agency and required by OMB Circular No. A-102 must be used for this program. Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Completed applications should be submitted to the appropriate EPA Regional Office contact. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

Applications are evaluated by the appropriate EPA office and are subject to review for technical merit, soundness of design, and reasonableness of proposed costs. Approved projects are awarded by the Regional Administrator.

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Grants are usually approved within two months of receipt of a complete application.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: Percent: 5%. Regional policy requires a 5% match. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The terms of the grant shall be determined at the time of grant award. See the following for information on how assistance is awarded/released: Assistance disbursement will be determined at the time of award.

Reports:

Program report information is included in the semi-annual reports submitted to the Region 6 Project Officer. No cash reports are required. The recipient will be required to submit semi-annual progress reports to the EPA Region 6 Project Officer. The recipient will include expenditure reports in the semi-annual reports submitted to the EPA Region 6 Project Officer. Progress reports are submitted semi-annually and yearly program-grant reviews are conducted by EPA Region 6 for the program.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Financial records, including all documents to support entries on accounting records and to substantiate charges to each grant, must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained for 3 years from the date of submission of the annual financial status report. If questions still remain, such as those raised as a result of audit, related records should be retained until the matter is completely resolved.

Account Identification:

68-0108-0-1-304.

Obligations:

(Cooperative Agreements) FY 12 \$1,912,000; FY 13 est \$1,775,500; and FY 14 est \$948,000

Range and Average of Financial Assistance:

The range of funding for the projects for FY 2012 is \$54,150 to \$590,000. The average including all 12 projects is \$159,333.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: The Pontchartrain Restoration Program Committee selected 12 projects for funding in FY 2012. The projects included sewer collection lines plans and specifications, wastewater wetland restoration, sewer force main study and design, sewer system rehabilitation, lift station network upgrade, sewer system evaluation survey for identification of infiltration and inflow, sanitary sewer outreach program, monitoring and assessment of the basin's water, and regionalization/consolidation of multiple systems. Fiscal Year 2013: Project selection and grant award has not yet been made. Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

EPA Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments (40 CFR Part 31).

Regional or Local Office:

See Regional Agency Offices. Sylvia Ritzky
Project Officer, 6WQ-AT
USEPA Region 6
1445 Ross Avenue
Dallas, TX 75202
214-665-8189 office
214-665-6490 fax
ritzky.sylvia@epa.gov.

Headquarters Office:

Sylvia Ritzky, USEPA Region 6, 6WQ-AT , 1445 Ross Avenue, Dallas, Texas 75202 Email: ritzky.sylvia@epa.gov Phone: (214) 665-8189.

Website Address:

<http://www.epa.gov/region6/water/at/sttribal.htm>

RELATED PROGRAMS:

66.437 Long Island Sound Program ; 66.456 National Estuary Program; 66.475 Gulf of Mexico Program; 66.481 Lake Champlain Basin Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: The Pontchartrain Restoration Program Committee selected 12 projects for funding in FY 2012. The projects included sewer collection lines plans and specifications, wastewater wetland restoration, sewer force main study and design, sewer system rehabilitation, lift station network upgrade, sewer system evaluation survey for identification of infiltration and inflow, sanitary sewer outreach program, monitoring and assessment of the basin's water, and regionalization/consolidation of multiple systems. Fiscal Year 2013: Project selection and grant award has not yet been made. Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

PRP projects to be funded are selected through an annual, publicly-vetted process with the selection of project made by the PRP Executive committee and ultimately approved by a internal regional EPA review.

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement.

66.126 THE SAN FRANCISCO BAY WATER QUALITY IMPROVEMENT FUND

SF Bay grant program

FEDERAL AGENCY:

Region 9, Environmental Protection Agency

AUTHORIZATION:

Clean Water Act, Section 320, 33 U.S.C 1330.

OBJECTIVES:

The goals of the SF Bay grant program are to protect and restore the water quality and aquatic habitat of the San Francisco Bay and its watersheds. Funded projects will achieve significant improvement in water quality, aquatic habitat, and attainment of beneficial uses. Emphasis is on well-planned projects encouraging innovation, cost-effectiveness, leveraging of additional resources, and fostering widespread implementation.

Funding Priority - Fiscal Year 2013: Funding priorities for FY13 are for the

restoration of impaired waters and the enhancement of aquatic habitat. These are the same as for FY12.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Projects must demonstrate consistency with the San Francisco Estuary Partnership's Comprehensive Conservation and Management Plan (CCMP).

Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

State, local government agencies, districts, and councils; regional water pollution control agencies and entities; State coastal zone management agencies; and public and private universities and colleges, public or private non-governmental, non-profit institutions are eligible to apply, unless restricted by the authorizing statutes. Non-profit organizations must have documentation of non-profit status from the U.S. Internal Revenue Service or their state of incorporation, except that non-profits organizations as defined in Section 501(c)(4) of the Internal Revenue Code that engage in lobbying as defined in Section 3 of the Lobbying Disclosure Act of 1995 or superseding legislation are ineligible. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

The outcomes from the SF Bay grant program will ultimately benefit the urban resident and business populations of nine county San Francisco Bay Area, as well as the State of California in general.

Credentials/Documentation:

Non-profit organizations must have documentation of non-profit status from the U.S. Internal Revenue Service or their state of incorporation. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to the applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

The Environmental Programs and Management appropriation account for the SF Bay grant program has historically required the grants be awarded on a competitive basis (a modification of the Clean Water Section 320 grant requirements). For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions

will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 180 days from receipt of final application, through selection and notification, and development and approval of work plan, to award of funds.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: In FY13, the SF Bay Fund RFP will follow the CWA Section 320 match requirement of 50%.

For future appropriations, the CWA section 320 match of 50% stands unless modified by appropriations act language.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Assistance agreements generally fund projects for 3-4 years with a maximum project period of five years. Funds are issued electronically through automated funds transfer. Method of awarding/releasing assistance: lump sum. See the following for information on how assistance is awarded/released: Funds are issued electronically through automated funds transfer. Method of awarding/releasing assistance: lump sum.

Reports:

No program reports are required. No cash reports are required. Progress reports are required in accordance with 40 CFR Part 30 and 31. Reporting frequency may be specified in the terms and conditions of the award. EPA may include reporting requirements in the terms and conditions of the assistance agreements, which may require quarterly, interim, and final performance reports, and financial, equipment, and inventory reports. Quarterly progress reports are expected to be required for large-scale projects of long duration sought by this grant fund. The Federal Financial Status Reports must be submitted to EPA in accordance with 40 CFR Part 30 and 31, and terms and conditions of the award. Expenditure Reports by the grantee are required to submit for reimbursement. Performance monitoring will be required in the form of grantee reports on outputs and outcomes of their funded projects.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Recipients must demonstrate financial management capability, keep financial records including documentation for entries on accounting and for any changes in grant requirements, and make records available to personnel authorized to examine assistance recipients' records. Recipients must maintain all records for a minimum of three years from the date of submission of the final report and until all questions regarding the assistance agreement, such as those arising

from audits, are resolved.

Account Identification:

68-0108-0-1-304.

Obligations:

(Project Grants) FY 12 \$5,494,000; FY 13 est \$5,235,000; and FY 14 est \$5,000,000

Range and Average of Financial Assistance:

Projects funded from the SF Bay Fund range from a low of \$200,000 to a high of \$5,000,000. The average amount of awards is approximately \$3,000,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: In response to the FY12 RFIP, EPA received 20 initial proposals, requested 7 full proposals in the second phase, and awarded 5 grants totalling \$5,494,000.

FY 2012 projects have 4-yr project timeframes and will lead to the following water quality and habitat benefits:

Redesign flood control channels to restore wetland habitat, water quality, and shoreline resilience at three creek mouths: San Francisquito, Lower Novato, and Lower Walnut Creeks, restoring over 100 acres of tidal marsh.

Restore the Quartermaster Reach located in the San Francisco by creating 4.7 acres of wetlands and 3.3 acres of coastal scrub upland, and daylighting a 1,050-foot length of stream channel.

Restore over 1.5 miles long the Napa River implementing high priority sediment reduction measures as described in the Napa River Watershed Sediment TMDL.

Commence pre-breach activities at Sears Point to restore 960 acres of tidal marsh the San Pablo Bay national wildlife refuge.

Fund mercury studies in the 15,000 acre South Bay Salt Pond Project area to provide information needed to determine the management regime of Pond A8 (the pond with the highest mercury concentrations) as well as other future management of the ponds as they are restored to tidal wetlands. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Recipients must comply with 40 CFR Part 30 for universities and nonprofit organizations and Part 31 for states, tribes, and local governments. The recipient must comply with the applicable cost principles under 2 CFR Part 225 (OMB Circular A-87) for state, tribal and local governments and 2 CFR 220 (OMB Circular A-21) for universities and 2 CFR Part 230 (OMB Circular A-122) for nonprofit organizations.

Regional or Local Office:

See Regional Agency Offices. Luisa Valiela
EPA Region 9 (WTR-3)
San Francisco, CA 94105
415-972-3400 (ph) 415-947-3537 (fax)
valiela.luisa@epa.gov

Sam Ziegler
EPA Region 9 (WTR-3)
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415-972-3399 (ph) 415-947-3537 (fax)
ziegler.sam@epa.gov

Headquarters Office:

Luisa Valiela, USEPA Region 9, 75 Hawthorne Street, San Francisco, California 94105 Email: valiela.luisa@epa.gov Phone: (415) 972-3400.

Website Address:

<http://www2.epa.gov/sfbay-delta/sf-bay-water-quality-improvement-fund>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Five FY 2012 grants were awarded.

- 1) Improved Flood Control Channel Design Projects- ABAG and SFEP- to improve wetlands at 3 mouths of creeks in the SF Bay
- 2) Quartermaster Reach Restoration- Golden Gate National Parks Conservancy- to restore wetlands adjacent to Crissy Field wetlands at the Presidio
- 3) Napa River Restoration: Rutherford Reach Completion and Oakville Reach Phase 1 - Napa County- implement Napa R sediment TMDL
- 4) Sears Point Tidal Marsh Restoration- Sonoma Land Trust- wetlands restoration
- 5) Assessing Impacts of Tidal Wetland Restoration on Mercury Methylation and Bioaccumulation- California State Coastal Conservancy- conduct science to manage mercury production in the South Bay Salt Ponds long-term wetlands restoration program. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement.

66.128 SOUTHEASTERN MULTI-MEDIA AND GEOGRAPHIC PRIORITY PROJECTS

FEDERAL AGENCY:

Region 4, Environmental Protection Agency

AUTHORIZATION:

Safe Drinking Water Act, Section 1442; Comprehensive Environmental Response, Compensation, and Liability Act, Section 104&311; Clean Air Act, Section 103; Toxic Substances Control Act, Section 10; Solid Waste Disposal Act, Section 8001; Pollution Prevention Act, Section 6605; Clean Water Act, Section 104; National Environmental Education Act, Section 6; Resource Conservation and Recovery Act, Section 8001; Federal Insecticide, Fungicide, and Rodenticide Act, Section 20; Marine Protection, Research, and Sanctuaries Act, Section 203.

OBJECTIVES:

To fund projects, including surveys, studies, investigations, demonstrations, training, outreach, human health and the environment to (1) prevent, reduce and eliminate pollution through the promotion of environmental sustainability; (2) build capacity on environmental sustainability and public health issues; (3) support the development and implementation of sustainability strategies and enhance environmental literacy; (4) promote coordination and collaboration between and among federal, state, and local governmental and non-governmental organizations as well as build environmental education capacity at institutions of higher education.

Funding Priority - Fiscal Year 2013: The projects should be centered around providing a forum for academic advisors and sustainability coordinators to collaborate with industry, college and graduate level students to establish and broaden environmental learning opportunities that promote capacity building on environmental sustainability and public health issues, including the creation of hands-on instructional learning of sustainable practices including pollution prevention, water and energy conservation, watershed protection, and environmental stewardship. The project should also serve to foster an environmental literacy plan to ensure that students have an understanding of environmental sustainability and how it interconnects with the green economy.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or

government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

Projects conducted within the geographic boundaries of EPA's Region 4, which comprises the states and tribal lands of Alabama, Georgia, Florida, Kentucky, Mississippi, North Carolina, South Carolina and Tennessee, are eligible for funding when it is available. Interstate, state, tribal, intrastate, and local government agencies, districts, and councils; and public and private universities and colleges, laboratories, and research facilities; and public or private non-governmental, non-profit institutions are eligible to apply, unless restricted by the authorizing statutes. Non-profit organizations must have documentation of non-profit status from the U.S. Internal Revenue Service or their state of incorporation, except that non-profits organizations as defined in Section 501(c)(4) of the Internal Revenue Code that engage in lobbying as defined in Section 3 of the Lobbying Disclosure Act 1995 or superseding legislation are ineligible.

For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

Interstate, state, tribal, intrastate, and local government agencies, districts, and councils; public and private universities and colleges, laboratories, and research facilities; and public or private non-governmental, non-profit institutions are eligible to apply, unless restricted by the authorizing statutes.

Credentials/Documentation:

EPA may require applicants and principle investigators to demonstrate appropriate background, academic training, experience in the field, and necessary equipment to carry out projects, and to provide curriculum vitae and relevant publications. EPA may require nonprofit organizations to provide documentation of nonprofit status accorded by the Internal Revenue Service or their state of incorporation. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. The standard application forms, as furnished by the Federal agency and required by OMB Circular No. A-102 and A-110 must be used for this program. EPA requires final applications to be made on Standard Form 424. Requests for application kits must be submitted to the Environmental Protection Agency, Grants and Interagency Agreements Management Division, 1200 Pennsylvania Avenue, N.W., Mailcode (3903R), Washington, DC 20460 or through the appropriate EPA Regional Office listed in Appendix IV of the Catalog. Additional information on the EPA grant package can be found at: http://www.epa.gov/ogd/grants/how_to_apply.htm. For competitive awards, Requests for Initial Proposals or Requests for Applications will specify application procedures. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated

in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 180 days from receipt of final application, through selection and notification, and development and approval of work plan, to award of funds.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

EPA can fund grants and cooperative agreements for surveys, studies, investigations, demonstrations, training, education, limited research, outreach, and/or special-purpose activities incrementally. Approval of incremental funding depends on satisfactory project progress as well as continued relevance of the project and availability of funds. The application procedure is identical to applying for a new grant, with the same funds source, selection criteria, and review procedure.

Formula and Matching Requirements:

This program has no statutory formula. This program has no matching requirements. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

EPA may negotiate the project period with each applicant based on project requirements. The term of the grant shall be determined at the time of the grant award. See the following for information on how assistance is awarded/released: Funds are issued electronically through automated funds transfer. Method of awarding/releasing assistance: reimbursement.

Reports:

No program reports are required. No cash reports are required. EPA may include reporting requirements in the terms and conditions of the assistance agreements, which may require quarterly, interim, and final performance reports, and financial, equipment, and inventory reports. Progress reports are required under this program. A final Federal Financial Report financial status report will be required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Recipients must demonstrate financial management capability, keep financial records including documentation for entries on accounting and for any changes in grant requirements, and make records available to personnel authorized to examine assistance recipients' records. Recipients must maintain all records for a minimum of three years from the date of submission of the final report and until all questions regarding the assistance agreement, such as those arising from audits, are resolved.

Account Identification:

68-0107-0-1-304; 86-8153-0-1-304; 68-8145-0-1-304; 68-0108-0-1-304; 68-0103-0-1-304.

Obligations:

(Project Grants) FY 12 \$94,994,000; FY 13 est \$85,006,000; and FY 14 Estimate Not Available

Range and Average of Financial Assistance:

FY12 ranged was \$9,994.00 - \$85,000.00 (2 projects, only); average was \$52,494.00,

FY13 ranged is \$10,149.00 - \$24,998 (4 projects) average is \$21,251.50.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: An environmental symposium was conducted on sustainability practices at colleges and universities to encourage colleges and universities to implement environmentally sustainable projects on their campuses and to incorporate sustainability into their curricula. This conference served as a collaborative exchange of achievements in environmental sustainability which included an environmental youth summit. This conference also served as a collaborative exchange of environmental achievements. Fiscal Year 2013: FY 13 is not yet available. Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Assistance agreements under this program are subject to EPA's General Grant Regulations, 40 CFR Part 30 and 40 CFR Part 31.

Regional or Local Office:

See Regional Agency Offices. Caroline Robinson
Senior Advisor
Office of the Regional Administrator
Region 4, U.S. Environmental Protection Agency
61 Forsyth Street, SW, Atlanta, Georgia 30303
Email: robinson.caroline@epa.gov
Phone: 404 562-8976.

Headquarters Office:

Caroline Robinson Office of the Regional Administrator
Region 4, U.S. Environmental Protection Agency
61 Forsyth Street, SW, Atlanta, Georgia 30303 Email:
robinson.caroline@epa.gov Phone: 404 562-8976

Website Address:

<http://www2.epa.gov/aboutepa/about-epa-region-4-southeast>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: 1. Project funded to host a symposium on sustainability designed to encourage colleges and universities to implement environmentally sustainable projects on their campuses and to incorporate sustainability into their curricula.

2. Project funded to establish fundamental outdoor learning and promote interactive environmental learning activities into the schools' environmental academics. The proposal is centered around providing students with interactive environmental learning including the creation of outdoor classrooms, schoolyard habitats, field trips and instruction on sustainable practices including pollution prevention, water and energy conservation, watershed protection, and environmental stewardship. Fiscal Year 2013: 1. Project funded to implement a highly visible campus composting system from food waste and preventing the associated pollution. The project will be supplemented with related course modules.

2. Project funded to provide an analysis of a commuter system that will connect with stakeholders and residents to transform auto-oriented areas into pedestrian-oriented communities.

3. Project funded to develop informed students on human interaction with the natural world to ensure a sustainable environment for the future. The grantee will accomplish this through faculty training and development of instructional materials.

4. Project funded to study soil contamination and its implications across the curriculum. Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement.

**66.202 CONGRESSIONALLY MANDATED PROJECTS
(CONGRESSIONAL EARMARKS)**

FEDERAL AGENCY:

Office of the Chief Financial Officer, Environmental Protection Agency

AUTHORIZATION:

Executive Order Executive Order 13457 "Protecting American Taxpayers from Government Spending of Wasteful Earmarks".

OBJECTIVES:

To implement special Congressionally directed projects or programs identified in EPA's annual appropriations act, committee reports incorporated by reference into the annual appropriation act, and other statutes mandating that EPA provide financial assistance agreements to designated recipients for projects or programs. These assistance agreements support special projects earmarked by Congress for specific recipients which are not requested by EPA in its annual budget request to Congress. The projects may be associated with: (1) various environmental requirements (e.g., wastewater treatment, drinking water treatment); (2) identifying, developing, and/or demonstrating necessary pollution control technologies and techniques to prevent, reduce, and eliminate pollution; or (3) evaluating the economic and social consequences of alternative environmental pollution strategies, technologies, or mechanisms for use by those in economic, social, governmental, and environmental management positions. Funding priorities are to award these assistance agreements to the designated recipients as quickly as possible within statutory and regulatory requirements.

Funding Priority - Fiscal Year 2013: There are no funding priorities for Fiscal 2013 since no appropriated funds were enacted for Congressionally designated projects and programs.

TYPES OF ASSISTANCE:

Cooperative Agreements; Project Grants

USES AND USE RESTRICTIONS:

Grants and cooperative agreements are available to support specific projects or programs specified by Congress for designated recipients. Allowable direct and indirect costs are included, in accordance with established EPA policies and requirements for assistance agreements. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

Eligible applicants are specified in the statute authorizing the earmark. Examples of recipients that may receive assistance under this program include local, state, intrastate, interstate, U.S. territories or possessions, public or nonprofit institutions/organizations, public/private nonprofit institutions/organizations, quasi-public nonprofit institutions/organizations, institutions of higher education, Federally Recognized Indian Tribal Governments, Native American Organizations, and international organizations.

Beneficiary Eligibility:

Beneficiaries vary with the project Congress has directed EPA to fund. For example, an earmark for a wastewater treatment plant or sewer system would benefit the community in which the project is constructed. An earmark for a training project would benefit the individuals receiving the training under the assistance agreement.

Credentials/Documentation:

EPA may ask principle investigators for earmarked research projects to provide curriculum vitae and relevant publications. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to awards under this program description, EPA will generally specify the nature of any pre-application/pre-proposal assistance. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

EPA will evaluate each application to determine (a) the adequacy of the proposed project to meet the specified purpose of the Congressionally mandated project and compliance with other applicable laws. EPA's R&D Office conducts a peer review of all applications by outside experts. EPA will also review the application in relation to EPA's general grant regulations and OMB guidance.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 180 days.

Appeals:

Disputes will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

None. A standard grant application should be prepared and submitted as a new application which will be reviewed in the same manner as the original application. EPA may incrementally fund grants and cooperative agreements depending on the nature of the project and the terms of the earmark.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: This program has no statutory formula for distribution of Federal funds, therefore, matching requirements are defined in each Appropriations Act or other statute authorizing the earmark.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The terms of the assistance agreement shall be determined at time of award. The length and time phasing of the assistance agreement will be determined by the duration of the availability of appropriated funds. See the following for information on how assistance is awarded/released: Assistance awards are released by letter of credit and other financial authorizations.

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Agreements may require annual, quarterly, interim, and/or progress reports, final results reports, expenditure/financial reports, equipment reports, and invention reports. Reporting requirements are also identified in the Grant Regulations 40 CFR Part 30 and 40 CFR Part 31. EPA assistance agreements may, in the terms and conditions, require annual, quarterly, interim, and/or final program reports. No cash reports are required. EPA assistance agreements may, in the terms and conditions, require annual, quarterly, interim, and/or final progress reports. EPA assistance agreements may, in the terms and conditions, require annual, quarterly, interim, and/or final expenditure/financial reports. Performance monitoring of Congressionally mandated special project or program assistance agreements are required per EPA administrative grant orders.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Recipients must keep financial records, including all documents supporting entries on accounting records and to substantiate changes in grants available to personnel authorized to examine EPA recipients grants and cooperative agreements records. Recipients must maintain all records until 3 years from the date of submission of final expenditure reports. If questions, such as those raised as a result of audits, remain following the 3-year period, recipients must retain records until the matter is completely resolved.

Account Identification:

68-0108-0-1-304; 68-0110-0-1-304; 68-0107-0-1-304; 68-0103-0-1-304.

Obligations:

(Cooperative Agreements) FY 12 \$0; FY 13 est \$0; and FY 14 Estimate Not Available

Range and Average of Financial Assistance:

FY 2010 - Range: \$25,000 - \$10,000,000; Average: \$867,822

FY 2011 - No range since no appropriated funds were enacted for Congressionally designated projects and programs.

FY 2012 - To be determined.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: No program accomplishments in Fiscal Year 2012 since no appropriated funds were enacted for Congressionally designated projects and programs. Fiscal Year 2013: No program accomplishments are planned for FY 2013 since no appropriated funds were enacted for Congressionally designated projects and programs. Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Congressional mandated earmarks are subject to EPA's General Grant Regulations 40 CFR Part 30 and 40 CFR Part 31. Costs will be determined in accordance with OMB Circular No. A-87 for state and local governments and Indian Tribes, OMB Circular No. A-21 for educational institutions, and OMB Circular No. A-122 for nonprofit institutions.

Regional or Local Office:

See Regional Agency Offices. For program information, contact the following designated Program Office officials:

- Office of Air and Radiation - Courtney Hyde, 202-564-1227, hyde.courtney@epa.gov;

- Office of Water - Kimberley Roy-Davis, 202-564-4633, roy-davis.kimberley@epa.gov;

- Office of Wastewater Management - Barry Benroth, 202-564-0672, benroth.barry@epa.gov;

- Office of Ground Water and Drinking Water - Emmanuel Ekwo, 202-564-1547, ekwo.emmanuel@epa.gov;

- Office of Chemical Safety and Pollution Prevention - Lenee Morina, 202-564-5304, morina.lenee@epa.gov;

- Office of Solid Waste and Emergency Response - Kari Bilal, 202-566-1891, bilal.kari@epa.gov;

- Office of Enforcement and Compliance Assurance - John Warren, 202-564-2452, warren.johnm@epa.gov;

- Office of Research and Development - Dana Bruce, 202-564-3344, bruce.dana@epa.gov;

- Office of Environmental Information - Laura Palmer, 202-564-0726, palmer.laura@epa.gov;

- Office of International and Tribal Affairs - Paul Trevino, 202-564-1627, trevino.paul@epa.gov;

- Office of the Administrator - Diane Bazzle, 202-564-0444, bazzle.diane@epa.gov; and

- Office of the Inspector General - Peter Dragon, 202-566-2657; dragon.peter@epa.gov;

Addresses may be reached by mail at the following common address and fax number: USEPA Headquarters, 1200 Pennsylvania Avenue, N.W., Washington, DC, 20460, 202-564-1838 (fax).

Headquarters Office:

Jeff Swartz USEPA Headquarters, Ariel Rios Building, 1200 Pennsylvania Avenue, N. W. Mail Code: 2732A , Washington, District of Columbia 20460
Email: swartz.jeff@epa.gov Phone: (202) 564-1230

Website Address:

<http://www.epa.gov/ocfo/budget/index.htm>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No projects were funded in Fiscal Year 2012. Fiscal Year 2013: No projects have been funded to date in Fiscal 2013. Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Addresses the specific purpose designated by the Congressional earmark in EPA's annual Appropriations Act or other statute. All Congressionally mandated earmarks must meet the following criteria: (a) address the specified Congressionally directed support or action described in the annual appropriations act or committee report incorporated by reference into the appropriations act or other statutes mandating that EPA provide financial assistance agreements to designated recipients for projects or programs; and (b) have an approved workplan and processed assistance agreement before the appropriated funds expire.

66.203 ENVIRONMENTAL FINANCE CENTER GRANTS

(EFC Grant Program)

FEDERAL AGENCY:

Office of the Chief Financial Officer, Environmental Protection Agency

AUTHORIZATION:

Clean Water Act, Section 104(b)(3); Solid Waste Disposal Act, Section 8001(a); Safe Drinking Water Act, Section 1442(b)(3)&1420; Toxics Substances Control Act, Section 10; Federal Insecticide, Fungicide, and Rodenticide Act, Section 20; Marine Protection, Research and Sanctuaries Act, Section 203; Clean Air Act, Section 103(b)(3).

OBJECTIVES:

The Environmental Finance Center Grant Program provides funding to support Environmental Finance Centers (EFCs) that provide multi-media environmental finance expertise and outreach to the regulated communities. The EFCs work with state and tribal governments, local governments and the private sector to address the growing costs of environmental protection. The EFCs provide finance-related training, education, and analytical studies to help these regulated parties develop solutions to the difficult "how-to-pay" issues associated with meeting environmental standards.

The EFCs educate state, tribal, and local governments and businesses on lowering environmental costs, increasing environmental investments, improving financial capacity, encouraging full cost pricing, and identifying and evaluating environmental financing options. A central goal of the EFCs is to create sustainable systems. Sustainable systems have the financial, technical, and institutional resources and capabilities to operate in compliance with environmental requirements and in conformance with accepted environmental practices over the long term. EFCs focus on helping these smaller parties find ways to obtain financing for their environmental protection responsibilities.

Funding Priorities - Fiscal Year 2013: Providing education, training, technical assistance, and analytic support state, tribal, and local officials as well as businesses on: (1) supporting environmentally sustainable communities through sustainable infrastructure, smart growth, energy management and green buildings, jobs, and services; (2) addressing climate change through the development of financial tools and strategies for achieving green house gas emissions reductions and implementing climate adaption approaches; (3) building the capacity of communities to develop financial solutions to environmental infrastructure challenges using capital planning, conservation rate setting, asset management and energy efficiency; (4) providing computer-based financial outreach tools to communities (particularly small ones) to help them more effectively manage their environmental systems; (5) creating partnerships with tribal governments to build the financial and planning expertise needed to support effective and sustainable green business approaches to environmental and economic challenges; (6) promoting sustainable materials management through pollution prevention, source reduction, energy conservation resources recovery, reuse, and recycling; (7) providing technical assistance and capacity building services to communities to help them address urban environmental issues in ways that are both environmentally and economically sustainable; (8) examining and documenting the nature and scope of financing the wide range of green infrastructure solutions to addressing storm water management challenges; and (9) developing financing mechanisms to support the implementation of state and local clean energy and efficiency programs.

TYPES OF ASSISTANCE:

Cooperative Agreements; Project Grants

USES AND USE RESTRICTIONS:

Grants and cooperative agreements are available to recipients to support their allowable direct costs incident to approved environmental financial technical assistance and outreach work plus allowable indirect costs, in accordance with established EPA policies and regulations. EPA provides incremental funding for these grants or cooperative agreements on an annual basis. As part of EPA's substantial involvement, the appropriate EPA regional and/or headquarters office reviews and approves each annual project phase consistent with the scope of work for the grant or cooperative agreement.

As provided in 2 CFR Part 220, recipients of grants or cooperative agreements under this program may not charge fundraising costs to their grants or cooperative agreements. Funding for research under this program does not include research within the purview of EPA's Office of Research and Development. Under this program, EPA does not fund research, training, and technical assistance to facilitate the inventory of brownfields sites, site assessments, the remediation of brownfields sites, community involvement, and site preparation. These activities are covered by the EPA grant program established at CFDA 66.814.

Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

Assistance under this program is available to public and private non-profit universities and colleges and to nonprofit organizations. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

States, tribes, local governments, businesses and community organizations and the general public are the beneficiaries of this program.

Credentials/Documentation:

Applicants must demonstrate that they meet eligibility requirements. In addition, EPA may request applicants to demonstrate that they have the appropriate background, academic training, experience in the field, and necessary staff and equipment to carry out the project work. The Agency may also ask principal analysts named in applicants' proposals to provide curriculum vitae and other documentation of relevant experience. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. If the applicant does not know who their Single Point of Contact is, the applicant may call the appropriate EPA regional contact listed in the Information Contacts section, or call the EPA Headquarters Grant Policy Information and Training Branch at (202) 564-5325. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. EPA requires final applications to be made on Standard Form 424. Requests for application kits must be submitted to the U.S. Environmental Protection Agency, Grants and Interagency Agreements Management Division, 3903R, Washington, DC 20460 or to the appropriate EPA Regional Office listed in Appendix IV of the Catalog. Additional information on the EPA grant package can be found at: http://www.epa.gov/ogd/grants/how_to_apply.htm. For competitive awards, announcements for competitive funding opportunities will specify application procedures. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 120 to 180 days.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

Annually, subject to the budget and project periods specified in the awards.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: This program does not have a statutory or regulatory formula or matching requirement. The Agency may, as a matter of policy, require cost sharing when such a requirement is specified in the announcement of the competitive funding opportunity.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

EPA normally funds grants and cooperative agreements on a 12-month basis. However, EPA can negotiate the project period with each applicant based on project requirements. The project period for the grants or cooperative agreements may range up to seven years. EPA will provide incremental funding for the cooperative agreements each fiscal year (on a 12-month basis) over the duration of the grants or cooperative agreements. See the following for information on how assistance is awarded/released: Annual lump sum by electronic funds transfer.

Reports:

A final program or technical report shall be completed within 90 calendar days of the completion of the period of performance for each award. No cash reports are required. Quarterly or semiannual progress reports may be required as determined by EPA after the award. Quarterly or semiannual expenditure reports may be required as determined by EPA after the award. Quarterly or semiannual performance monitoring may be required as determined by EPA after the award.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

The record retention requirements of 40 CFR Part 30 (nonprofits and universities) apply. Financial records, including all documents to support entries on accounting records and to substantiate changes to each grant must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained for three years from the date of submission of the final expenditure report. If questions still remain, such as those brought up in an audit, related records must be retained until the matter is completely resolved.

Account Identification:

68-0108-0-1-304.

Obligations:

(Cooperative Agreements) FY 12 \$1,750,000; FY 13 est \$617,000; and FY 14 est \$1,000,000

Range and Average of Financial Assistance:

Range: \$68,555/fiscal year to \$245,221

Average: \$68,555/fiscal year.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: In FY 2012, the ten university-based Environmental Finance Centers (EFCs) continued to work with governments and private sector entities developing and implementing a wide range of creative, responsible, and sustainable solutions to environmental challenges. Accomplishments included: 1) University of Southern Maine EFC - beta tested in several New England coastal towns a cutting edge, GIS-based, financial analysis software that allows the communities to compare the costs of no action and different types of adaption actions under a range of sea level rise and storm surge scenarios; (2)

Syracuse University EFC - delivered on-going training and technical assistance on sustainable green storm water management solutions to numerous public officials, and training and technical assistance on sustainable materials management to hundreds of secondary school students in New York state; (3) University of Maryland EFC - developed and began implementation of Sustainable Maryland Certified, a free and voluntary program that helps Maryland's 157 municipalities choose a direction for their sustainable environmental and economic efforts by taking, and getting credit for, cost effective actions in resources areas like water, energy, planning, health, food, and economy; (4) University of North Carolina at Chapel Hill EFC - using their on-line financial dashboard tools, trained hundreds of local officials in utility rate development and structures, systems finance, and business intelligence applications in areas ranging from drinking water and wastewater systems to storm water/green infrastructure approaches to watershed management and education initiatives; (5) University of Louisville EFC - developed and implemented a series of guides and presentations for citizens and officials on topics ranging from sustainable urban and community agriculture to growing a neighborhood farmers' market to developing partnerships for environmental safety and sustainability to planning and managing a public urban forest; (6) Great Lakes EFC at Cleveland State University - worked with the Cuyahoga Land Bank to develop strategies to use the 42,00 vacant lots in Cleveland, Ohio and the surrounding inner-ring suburbs in Cuyahoga County to reduce the flow of stormwater into the sewer system; (7) EFC at the New Mexico Institute of Mining and Technology - recognized leader in water and wastewater training and technical assistance expanded its area of operations in to the six states of U.S. EPA's Region 8 (UT, CO, WY, MT, ND, and SD); (8) Wichita State University EFC - conducted assessments of numerous water and wastewater systems to identify efficiencies to reduce the energy use at these facilities that annually comprise 30 to 50 percent of municipal energy use; (9) Dominican University of California EFC - evaluated solar energy opportunities for the Torres Martinez Tribe in California and the Navajo Tribe in Arizona, identified recycling and green business opportunities for 20 tribes in Northern Nevada, and developed multiple environmental business plans for the La Jolla Tribe in California; and (10) Boise State University EFC - added wastewater and stormwater dashboards to its extensive suite of online tools allowing managers and operators to view their finances in simple, easy to understand formats. Fiscal Year 2013: In FY 2013, nine university-based Environmental Finance Centers (EFCs) continued their work with state, tribal, and local governments and private sector entities developing and implementing a wide range of creative, responsible, and sustainable solutions to ongoing environmental challenges. EFC accomplishments in FY 2013 included: 1) University of Southern Maine EFC - conducted additional software development/refinement of its Coastal Adaptation to Sea Level Rise Tool (COAST) (see 2012 accomplishments) adding new functionalities that include multiple depth-damage calculations and staged adaptation structure costing over time; (2) Syracuse University EFC - facilitating and hosting a National Green Infrastructure Summit of EPA green infrastructure model and partner communities to showcase and share useful information with communities across the country to help accelerate the adoption of green infrastructure solutions; (3) University of Maryland EFC - the Sustainable Maryland Certified Program, which provides a forum for a wide range of community greening and revitalization efforts (described more fully in the 2012 accomplishments), has registered 30 communities 12 of whom have achieved full program certification; (4) University of North Carolina at Chapel Hill EFC - developed an energy project financing guide targeting small universities and colleges, and conducted financial management training on topics ranging from developing sound business cases for green infrastructure to cleaner/greener business practices to the financial analyses needed for sound environmental utility management; (5) University of Louisville EFC - developed two new practice guides, Healthy Schools in Sustainable Communities and Communities, Chemicals, and Rail-lines: Exploring Options for Community Action, providing practical advice and support to policymakers and community organizations, and hosted community meetings on issues ranging from coping with superfund sites to implementing EPA brownfields grants to developing urban community gardens; (6) Great Lakes EFC at Cleveland State University - assisted Cincinnati, the Greater Cleveland Regional Transit Authority, and the Chicago regional commuter rail authority (METRA) in developing non-fare box revenue strategies. The EFC defined non-fare box revenues for transit-oriented development in downtown Cincinnati and capital investment planning in

Cleveland. The EFC helped Chicago define the value of naming rights for the Rock Island District Commuter Line; (7) Wichita State University EFC - completed the first interactive series in the Municipal Utility Management Certification Program which seeks to develop critical utility management skills and improve operational understanding to ensure more effective environmental utility management; (8) Dominican University of California EFC - employed Green MBA student and faculty in support of extensive green economies business planning and development work with tribal nations in California (5) and Arizona (2); and (10) Boise State University EFC - began work on training-based development of a new interactive financial dashboard, HydroDASH, designed to train small water and wastewater systems on financial and managerial capacity, and to assist state regulatory agencies by providing the financial information needed to certify the capacity of new systems, conduct sanitary surveys, and attest to financial sustainability for systems applying for state revolving fund loans. Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

These grants and cooperative agreements are subject to EPA's General Grant regulations (40 CFR Part 30, "Grants and Agreements with Institutions of Higher Education). Costs for educational institutions will be determined in accordance with 2 CFR Part 220 (OMB Circular No. A-21). Information pertinent to the EFC grant program can be found at www.epa.gov/envirofinance/efcn.html. Written materials on the program can be obtained by calling Timothy McProuty at (202) 564-4996.

Regional or Local Office:

See Regional Agency Offices. EPA encourages potential applicants to communicate with the appropriate EPA Regional Office listed in Appendix IV of the Catalog and the Headquarters program contacts listed below.

Headquarters Office:

Timothy McProuty, Environmental Protection Agency, Office of the Chief Financial Officer, Center for Environmental Finance, 2731R, 1200 Pennsylvania Avenue, NW, Washington, District of Columbia 20460 Email: mcprouty.timothy@epa.gov Phone: (202) 564-4996.

Website Address:

<http://www.epa.gov/efinpage>

RELATED PROGRAMS:

66.611 Environmental Policy and Innovation Grants; 66.814 Brownfields Training, Research, and Technical Assistance Grants and Cooperative Agreements

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: In FY 2012, EPA funded ten university-based Environmental Finance Centers (EFCs) covering each of the ten EPA Regions located across the nation. Each participating university operated an EFC or EFCs that provided technical assistance and outreach services to the regulated communities (States, tribal, local governments and businesses). Fiscal Year 2013: In FY 2013, EPA funded nine university-based Environmental Finance Centers (EFCs) covering eight of the ten EPA Regions located across the nation. Each participating university operated an EFC or EFCs that provided technical assistance and outreach services to the regulated communities (States, tribal, local governments and businesses). Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the announcement of the competitive funding opportunity.

66.305 COMPLIANCE ASSISTANCE SUPPORT FOR SERVICES TO THE REGULATED COMMUNITY AND OTHER ASSISTANCE PROVIDERS

(Compliance Assistance Centers)

FEDERAL AGENCY:

Office of Enforcement and Compliance Assurance, Environmental Protection Agency

AUTHORIZATION:

Clean Air Act of 1970, Section 103, Public Law 91-604, 42 U.S.C 7403; Clean

Water Act of 1972, Section 104, Public Law 92-500, 33 U.S.C 1254; Resource Conservation and Recovery Act of 1976, Section 8001, Public Law 94-580, 42 U.S.C 6981.

OBJECTIVES:

The EPA has sponsored partnerships with industry, academic institutions, environmental groups, and other agencies to launch sector-specific Compliance Assistance Centers. Each Compliance Assistance Center addresses real world issues in language that is used by the regulated entities.

This program's objective is to provide financial assistance to private nonprofit institutions, universities, and public agencies to develop and maintain Compliance Assistance Centers to improve environmental compliance within an identified industrial/government sector or environmental topic.

Funding Priority - Fiscal Year 2013: Funding will support the web-based Compliance Assistance Centers. Through Internet Websites, telephone assistance lines, e-mail discussion groups and more, the Centers will help businesses, local governments, and federal facilities understand federal environmental requirements and save money through pollution prevention techniques.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

FY 13 funds will support ongoing Center work, with an emphasis on maintaining and updating the existing Center Websites.

It is expected that common communications channels used by the industry/government will continue to quickly distribute information to the targeted audience. The sector entry pages will serve as portals to relevant information developed by the Centers and other organizations as appropriate (e.g. EPA). Individual sector entry pages will use navigation structures specific to the sector thereby providing easy access to relevant environmental content. EPA funding must be used only for activities specified in the approved scope of work; discretionary funding will not be provided.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

Applicants must be nonprofit organizations as that term is defined in Section 4(6) of the Federal Financial Assistance Management Improvement Act of 1999, Public Law 96-107, 31 U.S.C. 6101 Note. Colleges, universities, and community colleges are eligible to apply. EPA will also accept applications from state, tribal and local governments. However, nonprofit organizations described in Section 501(c)(4) of the Internal Revenue Code that engage in lobbying activities as defined in Section 3 of the Lobbying Disclosure Act of 1995 are not eligible to apply. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy. Additionally, for certain funding opportunities under this CFDA, applicants may have to demonstrate how they meet the following factors for threshold eligibility purposes: 1) That they have an indirect cost rate that has been approved or is pending approval by a federal agency or has a cost allocation system that meets the requirements in the applicable OMB Circular; 2) That they have had a previous working relationship(s) with experts and national organizations devoted to the relevant industrial, commercial or governmental sector, or intends to partner with an organization with such expertise; 3) An understanding of the environmental needs of the relevant industrial, commercial or governmental sector through past activities, or intends to partner with an organization with such expertise; 4) That they, or a partnering organization, has familiarity with applicable federal, state and local environmental regulations and experience in developing tools

(e.g., training, plain-language guides, fact-sheets, Agency contact locators, etc.) to improve the audiences' understanding of their regulatory obligations; 5) Previous experience (or intends to partner with an organization with experience) in developing and maintaining web-based services.

Beneficiary Eligibility:

The primary beneficiaries are small businesses, local governments and colleges/universities that are being serviced by the grantees. Other beneficiaries include state, local, general public, and the regulated business community.

Credentials/Documentation:

EPA may request that applicants document their non profit status. The Agency may also request that applicants demonstrate they have appropriate background, experience in the field, organizational capacity and necessary equipment to carry out projects. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 60 days from the date of submission of the final proposal.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

None. Generally, cooperative agreements will be awarded for a five year project period. EPA will partially fund each budget period and will consider funding the balance of budget requests contingent upon satisfactory progress as certified by the EPA Project Officer, the availability of funds, and EPA priorities.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: EPA's Appropriation Act requires that applicants submitting unsolicited research grant proposals share in the cost of conducting research. The amount of the cost share will be based on the mutuality of interest between the Government and the applicant. Applicants will be advised of other matching requirements, if any, in Requests for Applications or Requests for Initial Proposals. Although EPA may not require matching funds, applicants may be encouraged to provide information regarding resources (cash/in-kind services) that they would commit to manage a Compliance Assistance Center. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

EPA generally funds grants and cooperative agreements on a 12-month basis. However, EPA can negotiate the project period with each applicant based on project requirements. Incremental funding may be available. EPA generally limits project periods to 5 years. EPA will partially fund each budget period and will consider funding the balance of budget requests contingent upon satisfactory progress as certified by the EPA Project Officer, the availability of funds, and EPA priorities. See the following for information on how assistance is awarded/released: Other.

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Agreements may require semi-annual, annual and final progress reports, and financial, equipment, and invention reports. Reporting requirements are also identified in the Grant Regulations Part 30 and Part 31. Program reports are required. No cash reports are required. Progress reports are required. No expenditure reports are required. Performance monitoring is required under this program.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

The record retention requirements of 40 CFR Part 30 (non- profits and universities) or 40 CFR Part 31 (governmental units) are applicable depending upon the identity of the recipient. Financial records, including all documents to support entries on accounting records and to substantiate changes to each grant must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained until expiration of three years from the date of submission of the final expenditure report. If questions still remain, such as those raised as a result of audit, related records must be retained until the matter is completely resolved.

Account Identification:

68-0108-0-1-304.

Obligations:

(Project Grants) FY 12 \$332,000; FY 13 est \$275,000; and FY 14 est \$275,000

Range and Average of Financial Assistance:

\$5,000/year - \$110,000/year. Generally, individual Centers will receive between \$5,000 - \$10,000 this fiscal year to continue maintenance and operation of the Web site. An approximate average of the annual financial assistance awards that will be made this current fiscal year is \$27,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: In FY 2012, the Centers Websites were visited over 2,820,000 times by their target audiences and the public, and experienced over 8 million requests for web pages and compliance assistance documents. There were no new awards in FY 12. Fiscal Year 2013: FY 13 accomplishments will be available at the end of the FY. Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Compliance Assistance Centers are subject to EPA's General Grant Regulations (40 CFR Part 30 and 40 CFR Part 31). Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments and Indian Tribes, OMB Circular No. A-21 for educational institutions, OMB Circular No. A-122 for non-profit institutions, and FAR Part 31 for profit makers. Additional information pertinent to the Centers program can be found at www.assistancecenters.net. Centers brochures and/or fact-sheets can be obtained by calling the EPA Compliance Assistance Centers Team Leader at (202) 564-7076.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Tracy Back, USEPA Headquarters, Ariel Rios Building, 1200 Pennsylvania Avenue, N. W. Mail Code: 2224A , Washington, District of Columbia 20460
Email: back.tracy@epa.gov Phone: (202) 564-7076.

Website Address:

<http://epa.gov/compliance/assistance/centers/index.html>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: FY 12 funding supported the maintenance and updates of sector-based Compliance Assistance Centers and State Resource Locators available at www.assistancecenters.net. Each Center provides access to resources help industry and government sectors prevent and resolve environmental issues. In addition, new Websites are under development for the natural gas extraction and dental sectors. Fiscal Year 2013: FY 13 funding has not yet been applied to the grants. Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement. Non-competitive proposals are judged for (a) technical merit in terms of: (1) strengths and weaknesses of the project; (2) adequacy of overall project design; (3) competency of proposed staff; (4) suitability of applicant's available resources; (5) appropriateness of the proposed project period and budget; and (6) probability that the project will accomplish stated objectives; and, for (b) program interest in terms of: (1) the need for the proposed project; and (2) relationship to program objectives.

66.306 ENVIRONMENTAL JUSTICE COLLABORATIVE PROBLEM-SOLVING COOPERATIVE AGREEMENT PROGRAM (EJCPS)

FEDERAL AGENCY:

Office of Environmental Justice, Environmental Protection Agency

AUTHORIZATION:

Clean Water Act, Section 104(b)(3); Safe Drinking Water Act, Section 1442(b)(3); Solid Waste Disposal Act, Section 8001(a); Federal Insecticide, Fungicide, and Rodenticide Act, Section 20(a); Marine Protection, Research, and Sanctuaries Act, Section 203; Toxic Substances Control Act, Section 10(a); Clean Air Act, Section 103(b)(3).

OBJECTIVES:

The program's mission/objective is to award cooperative agreements to eligible non-profit organizations in order for those organizations to develop projects which demonstrate the utility of the Environmental Justice Collaborative Problem-Solving Model.

Funding Priority - Fiscal Year 2013: This program is not being funded in FY13.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>. The recipient cannot use these funds for the following activities under this program: litigation against the Federal government or any other government entity; construction; lobbying; matching funds for other Federal grants; travel for Federal employees; and human health studies (taking blood or other information from humans).

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal

Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy. An eligible applicant MUST BE: (1) a 501(c)(3) non-profit organization as designated by the Internal Revenue Service OR a non-profit organization, recognized by the state, territory, commonwealth, or tribe in which it is located, which addresses local environmental and public health issues; AND(2) located within the same state, territory, commonwealth, or tribe that the proposed project will be located. The following entities are INELIGIBLE: colleges and universities; hospitals; state governments; quasi-governmental entities (e.g., water districts, utilities)*; and non-profit organizations that engage in lobbying activities as defined in Section 3 of the Lobbying Disclosure Act of 1995.

*Generally, a quasi-governmental entity is one that: (1) has a close association with the government agency, but is not considered a part of the government agency; (2) was created by the government agency but is exempt from certain legal and administrative requirements imposed on government agencies; or (3) was not created by the government agency but performs a public purpose and is significantly supported financially by the government agency.

Beneficiary Eligibility:

Non-Profit Community Groups as described in 081 above.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-122 for nonprofit institutions. Applicants may be requested to demonstrate they have appropriate background, academic training, experience in the field, and necessary equipment to carry out projects. EPA may ask applicants or principle investigators to provide curriculum vitae and relevant publications. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

About 150 days.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients

will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

None.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

All cooperative agreements will be awarded for a 3 year project period. Activities must be completed within the project timeframe, and all cooperative agreements will be fully funded at the time of award. See the following for information on how assistance is awarded/released: Grant award is fully funded at time of award and the recipient will draw down funds on an as needed basis.

Reports:

Semi-annual reports must be submitted to the Project Officer for the duration of the project period. Final reports must be submitted to the Project Officer upon completion of the project. The EPA Project Officer will determine whether additional reports are appropriate and necessary. No cash reports are required. At a minimum, semi annual progress reports are required. No expenditure reports are required. Performance Monitoring is required under this program.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Recipients must keep financial records, including all documents supporting entries on accounting records and to substantiate changes in grants available to personnel authorized to examine EPA recipients grants and cooperative agreements records. Recipients must maintain all records until 3 years from the date of submission of final expenditure reports. If questions, such as those raised as a result of audits remain following the 3-year period, recipients must retain records until the matter is completely resolved.

Account Identification:

68-0108-0-1-304.

Obligations:

(Project Grants) FY 12 \$0; FY 13 est \$0; and FY 14 est \$400,000

Range and Average of Financial Assistance:

Individual awards may range from \$100,000 to \$300,000 each; \$160,000 average.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

40 CFR Part 30.

Regional or Local Office:

None.

Headquarters Office:

Sheila Lewis Office of Environmental Justice, USEPA
1200 Pennsylvania Avenue, NW, Mail Code 2201A, Washington, District of Columbia 20460 Email: lewis.sheila@epa.gov Phone: (202) 564-0152

Website Address:

<http://www.epa.gov/compliance/environmentaljustice/grants/ej-cps-grants.html>

RELATED PROGRAMS:

66.309 Surveys, Studies, Investigations and Special Purpose Activities Relating to Environmental Justice; 66.604 Environmental Justice Small Grant Program ; 66.950 Environmental Education and Training Program; 66.951 Environmental Education Grants

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement.

66.309 SURVEYS, STUDIES, INVESTIGATIONS, TRAINING AND SPECIAL PURPOSE ACTIVITIES RELATING TO ENVIRONMENTAL JUSTICE

(EJSS)

FEDERAL AGENCY:

Office of Enforcement and Compliance Assurance, Environmental Protection Agency

AUTHORIZATION:

Comprehensive Environmental Response, Compensation and Liability Act of 1980 (CERCLA), Section 311(c); Marine Protection, Research, and Sanctuaries Act, Section 203; Clean Air Act, Section 103(b)(3); Federal Insecticide, Fungicide, and Rodenticide Act, Section 20(a); Clean Water Act, Section 104(b)(3); Safe Drinking Water Act, Section 1442(c)(3); Solid Waste Disposal Act, Section 8001(a); Toxic Substances Control Act, Section 10(a).

OBJECTIVES:

The program's mission/objective is to provide funding in support of surveys, studies and investigations, and special purpose assistance programs as they relate to environmental and/or public health issues, with a particular emphasis on environmental justice.

Funding Priority - Fiscal Year 2013: This program is not being funded in FY13.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>. The recipient cannot use these funds for the following activities under this program: litigation against the Federal government or any other government entity; construction; lobbying; matching funds for other Federal grants; travel for Federal employees; and human health studies (taking blood or other information from humans).

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

Assistance under this program is generally available to States, territories, Indian Tribes, intertribal consortia, and possessions of the U.S., including the District of Columbia, public and private universities and colleges, hospitals, laboratories, and other public or private nonprofit institutions which submit applications proposing projects concerning environmental justice issues with significant technical merit and relevance to EPA's mission. Some of EPA's statutes may limit assistance to specific types of interested applications. See "Authorization" listed above. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

States, territories, Indian Tribes, and possessions of the U.S., including the District of Columbia, public and private universities and colleges, hospitals, laboratories, and other public or private nonprofit institutions.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments and Indian Tribes, OMB Circular No. A-21 for educational institutions, OMB Circular No. A-122 for nonprofit institutions, and FAR Part 31 for profit makers. Applicants may be requested to demonstrate they have appropriate background, academic training, experience in the field, and necessary equipment to carry out projects. EPA may ask applicants or principle investigators to provide curriculum vitae and relevant publications. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 180 days.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

None. A standard grant application should be prepared and submitted as a new grant, which will be reviewed in the same manner as the original application and will compete for available funds. Generally, EPA incrementally funds grants and cooperative agreements for surveys, studies, and investigations. Approval of subsequent funding increments is dependent on satisfactory project progress, continued relevance of the project to EPA's priorities, and availability of funds.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grants and cooperative agreements are normally funded on a 12-month basis (annual). Most of OEJ awarded grants and cooperative agreements have project periods ranging from one year to five years, and generally, grants and cooperative agreements are fully funded at the time of award. See the following for information on how assistance is awarded/released: Grant award fully funded at time of award and recipient will draw down funds on an as needed

basis.

Reports:

Semi-annual reports must be submitted to the Project Officer for the duration of the project period. Final reports must be submitted to the Project Officer upon completion of the project. The EPA Project Officer will determine whether additional reports are appropriate and necessary. Program reports are required. No cash reports are required. At a minimum, semi annual progress reports are required. Expenditure Reports may be required under this program.

Performance monitoring is required under this program.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Recipients must keep financial records, including all documents supporting entries on accounting records and to substantiate changes in grants available to personnel authorized to examine EPA recipients grants and cooperative agreements records. Recipients must maintain all records until 3 years from the date of submission of final expenditure reports. If questions, such as those raised as a result of audits remain following the 3-year period, recipients must retain records until the matter is completely resolved.

Account Identification:

68-8145-0-7-304; 68-0107-0-1-304; 68-0103-0-1-304; 68-0108-0-1-304.

Obligations:

(Cooperative Agreements) FY 12 \$0; FY 13 est \$0; and FY 14 est \$30,000

Range and Average of Financial Assistance:

\$15,000 to \$150,000/fiscal year; \$15,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Surveys, Studies, and Investigations grants and cooperative agreements are subject to EPA's General Grant Regulations (40 CFR Part 30 and 40 CFR Part 31).

Regional or Local Office:

See Regional Agency Offices. Region 1 Connecticut, Maine, Massachusetts, New Hampshire, Rhode Island, Vermont: Primary Contact: Amy Braz (617) 918-1346, braz.amy@epa.gov, USEPA Region 1 (RAA), One Congress Street - Suite 1100, Boston, MA 02203-0001; Region 2 New Jersey, New York, Puerto Rico, U.S. Virgin Islands: Primary Contact: Terry Wesley (212) 637-5027, wesley.terry@epa.gov, USEPA Region 2, 290 Broadway, 26th Floor, New York, NY 10007; Secondary: Tasha Frazier (212) 637-3861, frazier.tasha@epa.gov. Region 3 Delaware, District of Columbia, Maryland, Pennsylvania, Virginia, West Virginia: Primary Contact: Reginald Harris (215) 814-2988, harris.reggie@epa.gov. USEPA Region 3 (3DA00), 1650 Arch Street, Philadelphia, PA 19103-2029. Region 4 Alabama, Florida, Georgia, Kentucky, Mississippi, North Carolina, South Carolina, Tennessee: Primary Contact: Daphne Wilson (404) 562-9890, wilson.daphne@epa.gov, USEPA Region 4 Main Office (404) 562-8407, 61 Forsyth Street, Atlanta, GA 30303-8960; Secondary: Ella McLendon (404) 562- 8316, mclendon.ella@epa.gov. Region 5 Illinois, Indiana, Michigan, Minnesota, Ohio, Wisconsin: Primary Contact: Lara Lasky (312) 353-5614, lasky.lara@epa.gov, USEPA Region 5 (DM7J), 77 West Jackson Boulevard, Chicago, IL 60604-3507. Region 6 Arkansas, Louisiana, New Mexico, Oklahoma, Texas: Primary Contact: Israel Anderson (214) 665-3138, anderson.israel@epa.gov, USEPA Region 6, Fountain Place, 13th Floor, 1445 Ross Avenue (RA-D), Dallas, Texas 75202-2733; Region 7 Iowa, Kansas,

Missouri, Nebraska: Primary Contact: Althea Moses (913) 551-7649, moses.althea@epa.gov, USEPA Region 7, 901 North 5th Street (RGAD/ECO), Kansas City, KS 66101. Region 8 Colorado, Montana, North Dakota, South Dakota, Utah, Wyoming: Primary Contact: April Nowak (303) 312-6528, nowak.april@epa.gov, USEPA Region 8 (8ENF-EJ), 999 18th Street, Suite 300, Denver, CO 80202-2466; Region 9 Arizona, California, Hawaii, Nevada, American Samoa, Guam: Primary Contact: Ladonna Thomas, (415)972-3375, thomas.ladonna@epa.gov, USEPA Region 9 CMD-1, 75 Hawthorne Street, San Francisco, CA 94105. Region 10 Alaska, Idaho, Oregon, Washington: Primary Contact: Sheryl Stohs (206) 553-, stohs.sheryl@epa.gov, USEPA Region 10 (OMP-143), 1200 Sixth Avenue, Seattle, WA 98101.

Headquarters Office:

Sheila Lewis, USEPA, Office of Environmental Justice, 1200 Pennsylvania Avenue, NW., Mail Code 2201A, Washington, District of Columbia 20460
Email: lewis.sheila@epa.gov Phone: (202) 564-0152

Website Address:

<http://www.epa.gov/environmentaljustice>

RELATED PROGRAMS:

66.305 Compliance Assistance Support for Services to the Regulated Community and Other Assistance Providers ; 66.604 Environmental Justice Small Grant Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement.

66.310 CAPACITY BUILDING GRANTS AND COOPERATIVE AGREEMENTS FOR COMPLIANCE ASSURANCE AND ENFORCEMENT ACTIVITIES IN INDIAN COUNTRY AND OTHER TRIBAL AREAS

FEDERAL AGENCY:

Office of Enforcement and Compliance Assurance, Environmental Protection Agency

AUTHORIZATION:

Clean Water Act, Section 104; Safe Drinking Water Act, Section 1442; Toxic Substances Control Act, Section 10; Clean Air Act, Section 103; Federal Insecticide, Fungicide, and Rodenticide Act, Section 20; Solid Waste Disposal Act, Section 8001; National Environmental Policy Act, Section 102(2)(F); Indian Environmental General Assistance Program Act; Marine Protection, Research and Sanctuaries Act, Section 203.

OBJECTIVES:

Providing financial resources to build and improve the compliance assurance and enforcement capacity of federally-recognized Indian tribes (tribes), inter-tribal consortia, or tribal organizations. Such capacity building efforts may include economic, social science, statistical research, development, studies, surveys, demonstrations, investigations, public education, training, and fellowships to the extent authorized under the federal environmental laws listed above. This Catalog of Federal Domestic Assistance (CFDA) number covers EPA's Environmental Programs and Management (EPM) resources targeted for compliance assurance and enforcement in Indian country and other tribal areas, including those in Alaska.

Funding Priority - Fiscal Year 2013: In fiscal year 2013, EPA's Office of Enforcement and Compliance Assurance priorities include, but are not limited to, compliance monitoring and enforcement activities under the Safe Drinking Water Act, the Clean Water Act, and the Clean Air Act. In addition, priority may be given to fund compliance monitoring activities of the Senior Environmental Program under the Clear Air Act, the Safe Drinking Water Act, or other statutes.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

The funding is for fixed or known periods associated with specific projects.

Project grants can include fellowships, scholarships, research grants, training grants, traineeships, experimental and demonstration grants, evaluation grants, planning grants, technical assistance grants, survey grants, and construction grants. OECA identifies special focus areas each funding cycle (annually). Previous years have included calls for proposals focusing on conducting sanitary surveys at tribal public water systems, compliance assistance to tribal solid waste programs, and innovative enforcement and compliance assurance projects, including performance measurement, data quality, and public access to information. Consistent with existing statutory authority, the funds are used to support compliance assistance, compliance monitoring, and enforcement activities.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

Tribal Organizations, Inter-tribal Consortia, Federal, and State Organizations, Colleges and Universities, and non-for-profit organizations; eligible applicants must also have enforcement and compliance assurance responsibilities in Indian country and/or other tribal areas or provide support for enforcement and compliance assurance projects in Indian country and/or other tribal areas. EPA may also limit eligibility for certain competitive funding opportunities under this CFDA to: (1) tribes and intertribal consortia located in the Region where a project is going to be performed; and/or (2) applicants that have access to Indian country or other tribal areas.

Beneficiary Eligibility:

Federally Recognized Indian Tribal Governments; Inter-tribal Consortia and Tribal Organizations; Federal, State, and Multi-jurisdictional State Organizations; colleges and universities; and non-for-profit organizations.

Credentials/Documentation:

OMB Circular No. A-87 applies to this program. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 60 days from the date of submission of the final proposal.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts."

Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable. e resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Assistance is normally provided in one lump sum. Projects may span multiple years. There is no restriction on the time permitted to spend the money awarded, although money must be spent prior to expiration associated with the specified federal fiscal year. See the following for information on how assistance is awarded/released: Other.

Reports:

Semi-annual reports must be submitted to the Project Officer for the duration of the project period. Final reports must be submitted to the Project Officer upon completion of the project. The EPA Project Officer will determine whether additional reports are appropriate and necessary. Program reports are required. No cash reports are required. Progress reports are required. Expenditure Reports may be required under this program. Performance monitoring is required by this program.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Financial records, including all documents to support entries on accounting records and to substantiate charges to each cooperative agreement, must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained for 3 years from the date of the submission of the annual financial status report or longer if questions still remain, such as those raised as a result of audit.

Account Identification:

68-0103-0-1-304.

Obligations:

(Project Grants) FY 12 \$200,000; FY 13 est \$185,000; and FY 14 est \$185,000 - If available and distributed, EPA's Office of Enforcement and Compliance Assurance uses these resources to enable the EPA Regions to fund projects that are designed to improve compliance and enforcement in Indian country.

Range and Average of Financial Assistance:

\$3000 to \$40,000/fiscal year; \$40,000/fiscal year.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: In FY 2012, EPA's Office of Enforcement and Compliance Assurance provided funds to the EPA Regions to support compliance assurance and enforcement activities in Indian country. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Applicable EPA Uniform Administrative Requirements covered in the Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and other Non-Profit Organizations (40 CFR Part 30), February 15, 1996; Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments (including Indian and Tribal Governments) (40 CFR Part 31), March 11, 1988; and State and Local

Assistance: Provides program-specific requirements for state, tribal, and local grants (40 CFR Part 35, Subparts A and B), October 12, 1982.

Regional or Local Office:

See Regional Agency Offices. For EPA Region 1 contact Kristi Rea at (617) 918-1595 or rea.kristi@epa.gov; for EPA Region 2 contact Rebecca Jamison at (212) 637-3949 or jamison.rebecca@epa.gov; for EPA Region 4 contact Serdar Ertap at (404) 562-9683 or ertrap.serdar@epa.gov; for EPA Region 5 contact Andrew Anderson at (312) 353-9681 or anderson.andrew@epa.gov, for EPA Region 6 contact Connie Overbay at (214) 665-7274 or overbay.connie@epa.gov; for EPA Region 7 Wolfgang Brandner contact at (913) 551-7381 or brandner.wolfgang@epa.gov; for EPA Region 8 contact Kaye Mathews at (303) 312-6889 or rogers.liz@epa.gov; for EPA Region 9 contact Angela Baranco at (415) 947-4262 or baranco.angela@epa.gov, and for EPA Region 10 contact Donald Dossett at (206) 553-8257 or dossett.donald@epa.gov.

Headquarters Office:

Jonathan Binder, Office of Compliance, Environmental Protection Agency, Ariel Rios Building, 1200 Pennsylvania Ave., NW., Washington, District of Columbia 20460 Email: binder.jonathan@epa.gov Phone: (202) 564-2516.

Website Address:

<http://www.epa.gov/compliance/state/grants/index.html>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Fiscal Year 2012 funding enabled the EPA Regions to fund projects that were designed to improve and ensure compliance in Indian country. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

If funds are made available in Fiscal Year 2013, the evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement.

66.313 INTERNATIONAL COMPLIANCE AND ENFORCEMENT PROJECTS

FEDERAL AGENCY:

Office of Enforcement and Compliance Assurance, Environmental Protection Agency

AUTHORIZATION:

Solid Waste Disposal Act, Section 8001; Federal Insecticide, Fungicide, and Rodenticide Act, Section 20, Public Law 92-516, 7 U.S.C 136; Toxic Substances Control Act, Section 10, Public Law 94-469, 15 U.S.C 2601; Clean Water Act, Section 104, Public Law 95-217, 33 U.S.C 1251; Safe Drinking Water Act, Section 1442(a)&(c), Public Law 93-523; National Environmental Policy Act, Section 102(2)(F); Clean Air Act, Section 103, Public Law 95-95, 42 U.S.C 7401; Marine Protection, Research and Sanctuaries Act of 1972, Section 203.

OBJECTIVES:

The primary purpose of the proposed capacity building projects is to protect human health and the environment while advancing U.S. national interests through international environmental collaboration on environmental compliance and enforcement. This mission is supported by these strategic objectives: 1) Reduce Exposure to Toxic Chemicals; 2) Improve Urban Air Quality; 3) Reduce Hazardous Waste and Improve Waste Management; 4) Limit Global GHG Emissions and Other Climate-Forcing Pollutants; 5) Improve access to Clean Water; and 6) Build Strong Environmental Institutions and Legal Structures.

International capacity building plays a key role in protecting human health, communities and the environment by providing technical cooperation to help countries improve environmental compliance and enforcement in order to reduce air pollution, better manage air quality and global climates, manage drinking and surface water quality, and restore the land and mitigate sources of land pollution. OECA will work to transfer appropriate compliance and

enforcement tools and techniques to key countries and regions as we collaborate with partners to improve compliance with domestic environmental laws in those countries. In addition, we will continue efforts to strengthen domestic, regional, and global networks on criminal and civil/administrative enforcement, build capacity in developing countries to monitor and enforce compliance with environmental laws, and raise awareness of the role of enforcement in ensuring sustainable development.

OECA plays a key role in ensuring trade-related activities support environmental protection, particularly related to effective enforcement of environmental laws. Initiatives relating to environmental trade and investment are carried out bilaterally, multilaterally or in connection with multilateral institutions such as the International Network for Environmental Compliance and Enforcement (INECE) and associated regional networks, INTERPOL's Environmental Crime Program, the World Trade Organization (WTO), World Bank, European Union (EU), and others. Also, OECA will support protection of human health and the ecosystem of North America through the United States participation in the North American Agreement on Environmental Cooperation and through leadership in the Enforcement Working Group of the Commission on Environmental Cooperation (CEC), the Organization for Economic Cooperation and Development (OECD), and other international entities. OECA will provide targeted capacity building support under the environmental cooperation agreements developed parallel to U.S. free trade agreements.

Funding Priority - Fiscal Year 2013: EPA expects to continue funding for ongoing activities that advance environmental compliance worldwide. Possible funding priorities include efforts to build capacity for compliance and enforcement in Africa, South America, and other parts of the world; support for international conferences on compliance and enforcement; and specific projects such as preventing the transboundary shipments of hazardous wastes and e-wastes, addressing compliance and enforcement issues in global climate change schemes, and international studies of best practices to exchange information among enforcement practitioners and policy makers.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Discretionary funds are available for the awards under this program. Grants and cooperative agreements are available to support recipients' allowable direct cost expenditures associated with the approved project plus allocable portions of allowable indirect costs of the institution, in accordance with established EPA policy, 40 CFR parts 30 and 31. Funding awarded for international research under these projects does not include research within the purview of EPA's Office of Research and Development. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>. The recipient cannot use these funds for the following activities under this program: litigation against the Federal government or any other government entity; construction; lobbying; matching funds for other Federal grants; travel for Federal employees; and human health studies (taking blood or other information from humans).

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

Assistance under this program is generally available to States and local governments, territories and possessions, foreign governments, international organizations, Indian Tribes, and possessions of the U.S., including the District of Columbia, public and private universities and colleges, hospitals, laboratories, other public or private nonprofit institutions, which submit applications proposing projects with significant technical merit and relevance to EPA's Office of Enforcement and Compliance Assurance's mission. For certain

competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

States and local governments, territories and possessions, foreign governments, international organizations, Indian Tribes, and possessions of the U.S., including the District of Columbia, public and private universities and colleges, hospitals, laboratories, other public or private nonprofit institutions.

Credentials/Documentation:

Office of Enforcement and Compliance Assurance (OECA) may require applicants to submit documentation of non-profit status. OECA may request applicants to demonstrate they have appropriate background, academic training, experience in the field, and necessary equipment to carry out projects. OECA may also ask applicants or principal investigators to provide curriculum vitae and relevant publications. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. Environmental impact information is not required for this program. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. The standard application forms as furnished by the Federal agency and required by OMB Circular No. A-102 and A-110 must be used for this program. EPA requires final applications to be made on Standard Form 424.

Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA. Under EPA Order No. 5700.5A1, Policy for Competition in Assistance Agreements (9/12/02), Assistance awards to Foreign Governments and to United Nations agencies and similar International Organizations, such as the Organization of American States and Organization for Economic Cooperation and Development (OECD), may be exempted from competition at the discretion of OECA. For competitive awards, Requests for Initial Proposals or Requests for Applications will specify application procedures.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements. For non-competitive awards, OECA will conduct an administrative evaluation to determine the adequacy of the application in relation to grant regulations and a technical and program evaluation to determine the merit and relevance of the project. The Agency will then advise the applicant if funding is being considered. A final work plan will then be negotiated with the applicant.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 180 days.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients

will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

None. A standard grant application should be prepared and submitted as a new grant, which will be reviewed in the same manner as the original application and will compete for available funds. Generally, EPA incrementally funds grants and cooperative agreements. Approval of subsequent funding increments is dependent on satisfactory project progress, continued relevance of the project to OECA's priorities, and availability of funds.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

EPA normally funds grants and cooperative agreements on a 12-month basis. However, EPA can negotiate the project period with each applicant based on project requirements. EPA limits project periods to 5 years. See the following for information on how assistance is awarded/released: Payments will be on an advance letter of credit or reimbursement basis; recipient must request the initial advance payment on SF 270, Request for Advance or Reimbursement.

Reports:

No program reports are required. No cash reports are required. Reporting requirements are identified at 40 CFR Parts 30 and 31. EPA may include additional information regarding the content and frequency of reporting requirements in the terms and conditions of the agreements. Progress reports are required under this program. Expenditure Reports are required under this program. Performance Monitoring is required under this program.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

The record retention requirements of 40 CFR Part 30 (non-profits and universities) or 40 CFR Part 31 (governmental units) are applicable depending upon the identity of the recipient. Financial records, including all documents to support entries on accounting records and to substantiate changes to each grant must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained until expiration of three years from the date of submission of the final expenditure report. If questions still remain, such as those raised as a result of audit, related records must be retained until the matter is completely resolved.

Account Identification:

68-0108-0-1-304.

Obligations:

(Project Grants) FY 12 \$621,500; FY 13 est \$150,000; and FY 14 est \$100,000

Range and Average of Financial Assistance:

Range: \$30,000 - \$2,000,000; Average: FY 2010 est. \$400,000, FY 2011 est. \$300,000, FY 2012 est. \$620,000, FY 2013 est. \$150,000. Annual amounts fluctuate greatly because OECA usually issues a single large grants for multiple years with interim awards for other projects at much lower levels.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: No additional awards were made in FY 2012, but incremental funding was made to awards made in previous years. In 2012, the program has completed training courses and enforcement policy development in Chile, Peru, and Tanzania. The program supported inspection events in Asia and other countries to curtail the illegal transport of hazardous wastes. In addition, the program supports regional and global enforcement networks worldwide. Fiscal

Year 2013: No additional awards were made in FY 2013, but incremental funding was made to awards made in previous years. In 2013, the program has completed training courses and enforcement policy development in Chile, Morocco, and Tanzania. The program expects to support inspection events in West Africa to curtail the illegal transport of hazardous wastes. In addition, the program plans to support the establishment of a regional enforcement network in South America. Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

These grants and cooperative agreements are subject to EPA's General Grant Regulations (40 CFR Part 30 and 40 CFR Part 31). Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments and Indian Tribes, OMB Circular No. A-21 for educational institutions, and OMB Circular No. A-122 for nonprofit institutions.

Regional or Local Office:

None. All assistance agreements issued under this CFDA will originate in the Office of Enforcement and Compliance Assurance (OECA) at EPA Headquarters.

Headquarters Office:

David Swack USEPA Headquarters
Ariel Rios Building
1200 Pennsylvania Avenue, N. W.
Mail Code: 2201A, Washington, District of Columbia 20460 Email:
swack.david@epa.gov Phone: 202-564-9963.

Website Address:

<http://www.epa.gov/enforcement/>

RELATED PROGRAMS:

66.310 Capacity Building Grants and Cooperative Agreements for Compliance Assurance and Enforcement Activities in Indian Country and Other Tribal Areas; 66.931 International Financial Assistance Projects Sponsored by the Office of International Affairs

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Projects funded in FY 2012 included support for the East African Network for Environmental Compliance and Enforcement (EANECE) as well as the global International Network for Environmental Compliance and Enforcement (INECE). Specifically, the funding supported training courses in East Africa on environmental governance, as well as continued training on environmental adjudication, development of policies and tools to calculate penalties for environmental violations, inspection training, and workshops on public participation and environmental education in Chile. Fiscal Year 2013: Projects funded in FY 2013 will include support for the East African Network for Environmental Compliance and Enforcement (EANECE) and the establishment of an enforcement network in South America, as well as the global International Network for Environmental Compliance and Enforcement (INECE). Specifically, the funding will support training courses in Chile on environmental governance, as well as continued training on environmental adjudication, development of policies and tools to calculate penalties for environmental violations, inspection training, and workshops on public participation and environmental education in Chile. Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement.

66.418 CONSTRUCTION GRANTS FOR WASTEWATER TREATMENT WORKS

FEDERAL AGENCY:

Office of Water, Environmental Protection Agency

AUTHORIZATION:

American Recovery and Reinvestment Act of 2009, Public Law 111-5; Clean Water Act, Section 101(e)&109(b), Public Law 92-500; Clean Water Act, Section 313,319,320,501, Public Law 92-500; Clean Water Act, Section 208(d)&304(d)(3), Public Law 92-500; Clean Water Act, Section 201-205, 207, Public Law 92-500; Clean Water Act, Section 502,511,516(b), Public Law 92-500; Clean Water Act, Section 210-212&215-219, Public Law 92-500;

Clean Water Act, Public Law 97-117; Clean Water Act, Public Law 95-217; Clean Water Act, Public Law 101-144; Clean Water Act, Public Law 100-4; Clean Water Act, Public Law 96-483.

OBJECTIVES:

To assist and serve as an incentive in construction of municipal wastewater treatment works which are required to meet State and/or Federal water quality standards and improve the water quality in the waters of the United States. From fiscal year (FY) 2004 onwards, American Samoa, Commonwealth of Northern Mariana Islands, Guam, Virgin Islands, and the District of Columbia may use up to four percent of the funds appropriated under Title VI for administration and closeout of their construction grant program. Funding Priority - Fiscal Year 2013: Funds will be awarded to assist in construction of municipal waste water treatment works which are required to meet state and/or federal water quality standards and improve the water quality in the waters of the United States. Annual funding priorities are determined by the state in which the grantee is located. The project must be given priority by the state water pollution control agency through its project priority system and recommended for funding for approval by the EPA Regional Office.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds are used for construction of municipal wastewater treatment works including privately owned individual treatment systems, if a municipality applies on behalf of a number of such systems. Such works may serve all or portions of individual communities, metropolitan areas, or regions. A project may include, but may not be limited to, treatment of industrial wastes. The grantee must require pretreatment of any industrial wastes which would otherwise be detrimental to efficient operation and maintenance, or the grantee must prevent the entry of such waste into the treatment plant. The grantee must have or initiate an acceptable system of user charges. From FY 2004 onwards, American Samoa, Commonwealth of Northern Mariana Islands, Guam, Virgin Islands, and the District of Columbia may use up to four percent of the funds appropriated under Title VI for administration and closeout of their construction grant program. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>. Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

Any state, local, intrastate agency, or interstate agency, or Federally-Recognized Indian tribal government, having jurisdiction over waste disposal. At present, new funding for the construction grants program exists only in American Samoa, Commonwealth of Northern Mariana Islands, Guam, Virgin Islands, and the District of Columbia because they are exempt by the U.S. Congress from establishing a State Revolving Fund (SRF). Grants are awarded to only these territories and the District of Columbia from the SRF funds (Title VI grants), which are awarded as Title II grants. Funding requests for construction of wastewater treatment facilities are now to be made to the SRF representative of the State in which the construction is proposed.

Beneficiary Eligibility:

Anyone/General Public. Anyone to be served by a wastewater treatment works assisted by this program.

Credentials/Documentation:

(1) Certified copy of authorization and assurances from the applicant's governing body. Authorization must be current, and must designate by name and title the individual who will represent the applicant; (2) current description of the project; (3) statement explaining exact nature of local, State, and any other Federal funds which may be used to finance the project; (4) applicant assurance (which is acceptable to the Regional Administrator) that any necessary discharge permit has been or will be obtained in accordance with

Section 401, of Public Law 92-500, as amended; (5) conformance with requirements set forth in 40 CFR Part 35; Subpart I. Application may be made for grant assistance for building and erection of the treatment works with an allowance for planning and design costs at the time that the grant for construction is made. Costs will be determined in accordance with OMB Circular No. A-87, "Costs Principles for State, Local, and Indian Tribal Governments." OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

This is an existing program which is winding down as the funding is now being provided under the Clean Water State Revolving Fund Program for construction of wastewater treatment facilities. An environmental assessment is required for this program, which may require the development of an environmental impact statement by EPA. An environmental impact assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Applications must be submitted through the State water pollution control agency to the appropriate EPA Regional Office. The standard application forms as furnished by the Federal agency must be used for this program. EPA requires final applications to be made on the Standard Form 424. Requests for application kits must be submitted to the Environmental Protection Agency, Grants and Interagency Agreements Management Division, 1200 Pennsylvania Avenue, N.W., Mailcode 3903R, Washington, DC 20460 or through the appropriate EPA Regional Office listed in Appendix IV of the Catalog. Additional information on the EPA grant package can be found at: http://www.epa.gov/ogd/grants/how_to_apply.htm. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

Projects considered for award must be approved by the state water pollution control agency and also certified by such agency as a priority over any other eligible projects. Award is made following such certification and approval by a Regional Office of EPA. Funds authorized by the Congress are allotted to the States in accordance with The Clean Water Act, as amended. Grant awards within individual states are limited by the funds which have been allotted to such states.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Up to 45 days, for EPA Regional Office action after certification by delegated State.

Appeals:

Disputes will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: This program operates under Section 202 of the Clean Water Act. This program has no statutory formula. The Federal grant may be for up to 55 percent for grants awarded after September 30, 1984 or for 75 percent of eligible project costs for grant assistance awarded before October 1, 1984. The States may lower these percentages with the concurrence of the Regional Administrator pursuant to Section 202(a)(1) of the Clean Water Act as amended by Public Law 100-4. For innovative or alternative treatment processes and techniques referred to in section 201(g)(5) the funding shall be 20 per centum greater than the above approved percentage, but in no event greater than 85 per centum of the cost of construction of the project being funded with the grant. The grantee must assure that they will provide funds for all remaining costs. Some States assist grantees in providing all or part of the non-Federal

share. American Samoa, Guam, Northern Marianas Islands, and Virgin Islands will receive the funds allocated up to 100 percent of the cost of construction. Recovery Act funds allotted to the District of Columbia, American Samoa, Guam, Northern Mariana Islands, and Virgin Islands have no match requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Any Title VI sums that are allotted as Title II to the District of Columbia, American Samoa, Guam, Northern Mariana Islands, and Virgin Islands will be available for obligation for a period of one year after the close of the fiscal year for which the sums are authorized. The term of the grant shall be determined at the time of grant award. Grant payments are based on work completed, equipment delivered, or sometimes off-site manufacturing or services rendered. Final grant payment is made after construction is completed and satisfactory final inspection and performance evaluation are carried out. See the following for information on how assistance is awarded/released: The method of fund disbursement will be determined at the time of award.

Reports:

Annual inspection by the State agency for compliance with permit requirements including a written report concerning operation and maintenance of waste treatment facility, and certification one year after beginning operation that the works are meeting project performance standards. For Recovery Act funds, funding reports must be submitted in a prescribed format within 10 calendar days after the end of each calendar quarter, beginning October 10, 2009. These reports include data elements as prescribed by Public Law 111-5, Section 1512 and OMB Guidance. Program reports are required under this program. Cash reports are required under this program. Progress reports are required under this program. Expenditure reports are required under this program. Performance monitoring is required under this program.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Financial records, including all documents to support entries on accounting records and to substantiate charges to each grant, must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained for 3 years after project closeout, and if questions still remain, such as those raised in a court appeal, related records should be retained until the matter is completely resolved.

Account Identification:

68-0103-0-1-304; 68-0102-0-1-304.

Obligations:

(Project Grants) FY 12 \$28,422,000; FY 13 est \$26,848,000; and FY 14 est \$25,000,000 - Title II obligations available for construction grants to District of Columbia, American Samoa, Guam, Northern Mariana Islands, and the Virgin Islands.

Range and Average of Financial Assistance:

CWA Title II grants Range: \$100,000 to \$10,000,000/fiscal year; Average: \$5,000,000/fiscal year.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: In Fiscal Year 2012, 5 grants for \$28 million were awarded. Projects funded included new and expanded wastewater treatment plants, infiltration/inflow correction, new interceptors, new collector sewers, and correction of CSO projects. Fiscal Year 2013: In Fiscal Year 2013, it is anticipated that 6 grants for \$26 million will be awarded. Projects to be funded include new and expanded wastewater treatment plants, infiltration/inflow

correction, new interceptors, new collector sewers, and correction of CSO projects. Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Grants for Construction of Treatment Works, Environmental Protection Agency (40 CFR Part 35, Subpart E (and Subpart I), applicable to grants awarded after May 12, 1982); General Grant Regulations and Procedures, EPA (40 CFR Part 31); "Construction Grants 1985 (Guidelines for applicants and grantees)"; and Construction Grants Handbook of Procedures (Guidelines for Project Officers)." For these and other publications, please contact EPA's Office of Wastewater Management, Municipal Support Division, MC-4204M, 1200 Pennsylvania Ave., NW, Washington, DC 20460.

Regional or Local Office:

See Regional Agency Offices. Information regarding appropriate waste treatment requirements and State and Federal assistance may be obtained from the State water pollution control agency. Individuals may also communicate with the appropriate EPA Regional Office listed in Appendix IV of the Catalog. Also, contact your State agency concerning possible assistance under a State revolving fund (Title VI) of the 1987 Amendments of the Clean Water Act.

Headquarters Office:

Tara Johnson Sustainable Communities Branch (4204M)
Municipal Support Division
Office of Wastewater Management
Environmental Protection Agency, EPA East
1200 Pennsylvania Ave., NW, Washington, District of Columbia 20460 Email: johnson.tara@epa.gov Phone: (202) 564-6186

Website Address:

http://water.epa.gov/grants_funding

RELATED PROGRAMS:

66.458 Capitalization Grants for Clean Water State Revolving Funds

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: New and expanded wastewater treatment plants, infiltration-inflow correction, new interceptors, new collector sewers, and correction of CSO projects. Fiscal Year 2013: New and expanded wastewater treatment plants, infiltration-inflow correction, new interceptors, new collector sewers, and correction of CSO projects. Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Annual funding priorities are determined by the State in which the grantee is located. The project must be given priority by the State water pollution control agency through its project priority system and recommended for funding for approval by the EPA Regional Office.

66.419 WATER POLLUTION CONTROL STATE, INTERSTATE, AND TRIBAL PROGRAM SUPPORT

(106 Grants)

FEDERAL AGENCY:

Office of Water, Environmental Protection Agency

AUTHORIZATION:

Clean Water Act, Section 106, Public Law 95-217, 33 U.S.C 1251.

OBJECTIVES:

To assist States (including territories, the District of Columbia, and Indian Tribes qualified under CWA Section 518(e)), and interstate agencies in establishing and maintaining adequate measures for prevention and control of surface and ground water pollution from both point and nonpoint sources.

Funding Priority - Fiscal Year 2013: States and Tribes will continue to focus on fulfilling their basic responsibilities under the CWA and, based upon a shared understanding with EPA of the environmental progress expected, will identify program activities that will best support attaining targeted environmental improvements. State priority efforts will include: (1) implementing monitoring strategies and the statistically-valid surveys to determine water quality status and trends; (2) fostering a watershed approach, including total maximum daily loads (TMDLs) and watershed plans designed to meet water quality standards; and (3) implementing concentrated animal feeding operations (CAFOs) and

storm water permitting programs. States and Tribes will work toward adoption of nutrient criteria for fresh water for state water quality standards. States NPDES Programs will support EPA in: (1) developing and strengthening systems to ensure the integrity of the program; (2) achieving and measuring environmental results; and (3) incorporating efficiencies in permitting program operations. Water quality programs will also target actions to strengthen permitting and enforcement efforts. States will also conduct source water protection actions to protect both ground water and surface waters used for drinking water. Tribes will continue to conduct watershed assessments and will maintain and improve their capacity to implement water quality programs through monitoring, assessments, planning, data management and standards development.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Water pollution control grants are intended to provide continuing support for the prevention and abatement of surface and ground water pollution from point and nonpoint sources. Continuing and recurrent water quality management program activities funded include water quality planning and standards; monitoring and assessments; inspections and enforcement; permitting; training; advice and assistance to local agencies; and public information. Funds cannot be used for construction, operation, or maintenance of waste treatment plants, nor can they be used for costs financed by other Federal grants. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

Eligible entities include States (including the District of Columbia and territories), interstate water pollution control agencies as defined in the Federal Water Pollution Control Act, and Indian tribes qualified under CWA Section 518(e). Agencies making application for funds must annually submit their pollution-control program to the appropriate EPA Regional Administrator for approval. Requirements of the program are based on Section 106 of the Act, and 40 CFR Parts 31, 35, and 130. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

States (including the District of Columbia), Territories, interstate water pollution control agencies and Indian tribes qualified under Section 518(e) of the Clean Water Act (CWA).

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State, tribal, and local governments. State and interstate agencies and Indian tribes qualified under Section 518(e) of the Clean Water Act must show compliance with 40 CFR 35, Subpart A and Subpart B. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Informal meetings are held between regional office, State, territorial, and Indian tribe applicant agencies concerning program preparation. The standard application forms, as furnished by the Federal agency and required by OMB Circular No. A-102, must be used for this program. The grant agreement must adequately reflect the priorities identified in the State/EPA Agreement. Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional

information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. The grants application must be submitted to the appropriate EPA Regional Office, Grants Administration Branch (see Appendix IV of the Catalog). The standard application forms as furnished by the Federal agency and required by OMB Circular No. A-102 must be used for this program. EPA requires final applications to be made on Standard Form 424. Requests for application kits must be submitted to the Environmental Protection Agency, Grants and Interagency Agreements Management Division, 1200 Pennsylvania Avenue, N.W., Mailcode (3903R), Washington, DC 20460 or through the appropriate EPA Regional Office listed in Appendix IV of the Catalog. Additional information on the EPA grant package can be found at: http://www.epa.gov/ogd/grants/how_to_apply.htm. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

Applications are reviewed by the appropriate EPA Regional Office and if approved, are signed by the Regional Administrator. For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 30 days.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title Clean Water Act, Chapter CFR 35.162. The regulation that implements the formula can be found at CFR 35.162. As stated in the Clean Water Act, appropriated funds are allotted among the State and Interstate Water Pollution Control Agencies on the basis of the extent of the pollution problems in the respective States. The six components in the Section 106 State allotment formula selected to reflect the extent of the water pollution control problems in the United States are: (1) surface water area; (2) ground water use; (3) water quality impairment; (4) point sources; (5) non-point sources; and (6) population of urbanized areas. The set-aside for Interstate Water Pollution Control Agencies is 2.6 percent of the total State monies appropriated under Section 106. The interstate allotment formula consists of two parts: (1) a funding floor, and (2) a variable portion.

Matching Requirements: To receive a Section 106 Water Pollution Control grant, a State or interstate agency must expend annually for recurrent Section 106 program expenditures an amount of non-federal funds at least equal to expenditures during the fiscal year ending June 30, 1971. A portion of the annual Section 106 appropriation is set-aside for eligible Indian Tribes qualified under CWA Section 518(e), and an allocation formula is used to distribute these funds to the EPA Regions. The Tribal allocation formula consists of both a base portion (which is currently equal to approximately \$65,000 times the total number of Tribes with Treatment in a manner similar to a State [TAS] approval

for Section 106 grants in each EPA Region), plus a weighted variable portion. The variable portion is based upon the total tribal water area (50 percent), land area (25 percent), and reservation population (25 percent) in each EPA Region. Tribal work plan costs include the costs of planning, developing, establishing, improving, and maintaining a water pollution control program. The Regional Administrator may provide up to 95 percent of the approved work plan costs for Tribes or intertribal consortia establishing a Section 106 water pollution control program. The Regional Administrator may increase the maximum Federal share if the tribe or intertribal consortium can demonstrate in writing to the satisfaction of the Regional Administrator that fiscal circumstances within the Tribe or within each Tribe that is a member of an intertribal consortium are constrained to such an extent that fulfilling the 5 percent match requirement would impose undue hardship. Since 2005, additional funds have been allocated for the purposes of strengthening State and Territorial water quality monitoring programs.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

One year; payments are approved quarterly and disbursed monthly. See the following for information on how assistance is awarded/released: The method of fund disbursement will be determined at the time of award.

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Agreements may require quarterly, interim, and final progress reports, and expenditure/financial, equipment, and invention reports. Specific reporting requirements are also identified in the Grant Regulations at 40 CFR Part 30 and 40 CFR Part 31, as applicable. Program reports are required under this program. Cash reports are required under this program. Progress reports are required under this program. Expenditure reports are required under this program. Performance monitoring is required under this program.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Financial records, including all documents to support entries on accounting records and to substantiate charges to each grant, must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained for 3 years from the date of submission of the annual financial status report. If questions still remain, such as those raised as a result of audit, related records should be retained until the matter is completely resolved.

Account Identification:

68-0103-0-1-304.

Obligations:

(Formula Grants) FY 12 \$253,063,200; FY 13 est \$225,970,000; and FY 14 est \$258,664,000

Range and Average of Financial Assistance:

Range: \$30,000 to \$12,775,000/fiscal year; Average: \$5,000,000/fiscal year.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: In each fiscal year, grants are awarded to conduct Water Pollution Control programs by the 50 States, 6 Territories, the District of Columbia, 6 Interstate Commissions, and Indian Tribes qualifying under CWA Section 518(e). FY 12 funds were used to continue to support State and Tribes' efforts to fulfill their basic responsibilities under the CWA and identify program activities that best support attaining targeted environmental improvements. States and tribes continued working on: implementing monitoring strategies and the development of statistically-valid surveys to

determine water quality status and trends; fostering a watershed approach including total maximum daily loads (TMDLs) and the development of watershed plans designed to meeting water quality standards; and the implementation of concentrated animal feeding operations (CAFOs) and storm water permitting programs. At a minimum, Regional watershed based strategies give priority to: 1) fostering the development of watershed plans under State nonpoint source programs; 2) assuring that high priority permits are current; 3) tracking permitting for environmental results program integrity follow-up actions; 4) developing TMDLs for impaired waters; and 5) development of numeric criteria for nutrients. Fiscal Year 2013: In each fiscal year, grants are awarded to conduct Water Pollution Control programs by the 50 States, 6 Territories, the District of Columbia, 6 Interstate Commissions, and Indian Tribes qualifying under CWA Section 518(e). FY 13 funds will be used to continue to support State and Tribes' efforts to fulfill their basic responsibilities under the CWA and identify program activities that best support attaining targeted environmental improvements. States and tribes will continue working on: implementing monitoring strategies and the development of statistically-valid surveys to determine water quality status and trends; fostering a watershed approach including total maximum daily loads (TMDLs) and the development of watershed plans designed to meeting water quality standards; and the implementation of concentrated animal feeding operations (CAFOs) and storm water permitting programs. At a minimum, Regional watershed based strategies give priority to: 1) fostering the development of watershed plans under State nonpoint source programs; 2) assuring that high priority permits are current; 3) tracking permitting for environmental results program integrity follow-up actions; 4) developing TMDLs for impaired waters; and 5) developing numeric nutrient criteria. Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

General Grant Regulations and Procedures, Environmental Protection Agency (40 CFR Part 31); Handbook of Procedures, State and Interstate Program Grants; Environmental Protection Agency, State and Local Assistance Programs, Grants for Water Quality Planning, Management and Implementation (40 CFR Part 130 and 40 CFR Part 35 Subpart A and B); Office of Water National Program Guidance for FY 2005.

Regional or Local Office:

See Regional Agency Offices. Contact appropriate EPA Regional Office listed in Appendix IV of the Catalog.

Headquarters Office:

Robyn Delehanty, Office of Wastewater Management (4201M), Environmental Protection Agency, EPA East Building, 1201 Constitution Avenue, N.W., Washington, District of Columbia 20460 Email: delehanty.robyn@epa.gov Phone: (202) 564-3880

Website Address:

http://water.epa.gov/grants_funding/cwf/pollutioncontrol.cfm

RELATED PROGRAMS:

66.454 Water Quality Management Planning; 66.600 Environmental Protection Consolidated Grants for the Insular Areas - Program Support

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Grants are made to States, interstate agencies, and tribes qualified under CWA Section 518(e), for the administration of State and tribal programs for the prevention, reduction, and control of water pollution. Activities funded include administration of State and tribal water quality planning programs; water quality standards programs; water quality monitoring and assessments; National Pollutant Discharge and Elimination System (NPDES) permitting programs; compliance and enforcement programs, and ground water protection programs. More specifically, adoption and implementation of new comprehensive monitoring strategies, as stated in the March 2003 Elements of a State Water Monitoring and Assessment Guidance, and the development of statistically valid monitoring networks to help target activities and determine water quality status and trends; enhancement of the quality and timeliness of state/tribal water quality standards triennial reviews so that standards are based on sound science and EPA guidance; adoption of nutrient criteria for state water quality standards; effective management of the permit program through State participation on (1) developing and strengthening systems to ensure the integrity of the program; (2) achieving and measuring

environmental results; and (3) incorporating efficiencies in permitting program operations; implementation of program enhancements identified in the FY 2004 comprehensive assessment of NPDES program integrity; and State storm water and concentrated animal feeding operations (CAFO) permitting programs, wet weather issues and combined sewer overflows (CSOs). Fiscal Year 2013: Grants are made to States, interstate agencies, and tribes qualified under CWA Section 518(e), for the administration of State and tribal programs for the prevention, reduction, and control of water pollution. Activities funded include administration of State and tribal water quality planning programs; water quality standards programs; water quality monitoring and assessments; National Pollutant Discharge and Elimination System (NPDES) permitting programs; compliance and enforcement programs, and ground water protection programs. More specifically, adoption and implementation of new comprehensive monitoring strategies, as stated in the March 2003 Elements of a State Water Monitoring and Assessment Guidance, and the development of statistically valid monitoring networks to help target activities and determine water quality status and trends; enhancement of the quality and timeliness of state/tribal water quality standards triennial reviews so that standards are based on sound science and EPA guidance; adoption of nutrient criteria for state water quality standards; effective management of the permit program through State participation on (1) developing and strengthening systems to ensure the integrity of the program; (2) achieving and measuring environmental results; and (3) incorporating efficiencies in permitting program operations; implementation of program enhancements identified in the FY 2004 comprehensive assessment of NPDES program integrity; and State storm water and concentrated animal feeding operations (CAFO) permitting programs, wet weather issues and combined sewer overflows (CSOs). Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement. Section 106 funds are awarded to State, territory, interstate, and Indian tribal agencies in accordance with overall water quality management needs, the requirements of programs covered by these agreements, and EPA Program guidance.

66.424 SURVEYS, STUDIES, INVESTIGATIONS, DEMONSTRATIONS, AND TRAINING GRANTS - SECTION 1442 OF THE SAFE DRINKING WATER ACT

FEDERAL AGENCY:

Office of Water, Environmental Protection Agency

AUTHORIZATION:

Safe Drinking Water Act , Section 1442, Public Law 104-182; National Environmental Policy Act , Section 102(2)(F).

OBJECTIVES:

(1) To support surveys, studies, investigations, demonstrations, and training associated with source water and drinking water; (2) To develop and expand capabilities of programs to carry out the purposes of the Safe Drinking Water Act (SDWA).

Funding Priority - Fiscal Year 2013: Funding priorities include providing assistance for the following: (1) research on the occurrence of contaminants in drinking water; (2) source water protection and treatment methods; measures to protect water quality in the distribution system and at the tap; (3) tribal source water protection program support; tribal operator certification program support; (4) tribal capacity development program support; and (5) assistance to tribes in administration of the Drinking Water Infrastructure Grants to identify health effects associated with drinking water contaminants. Funding priorities also include providing assistance for the following training and technical assistance activities: (1) training personnel who manage or operate public water systems; (2) training personnel for occupations involving the public health aspects of providing safe drinking water; (3) training to develop and expand the capabilities of states and municipalities to carry out the SDWA; and (4) technical assistance to enable small public water systems to meet the requirements of SDWA.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

The funds will support research, investigations, studies, demonstrations, and training associated with source water and drinking water. Grants and cooperative agreements are available to support recipients' allowable direct costs incident to approved scopes of work, plus allowable indirect costs, in accordance with established EPA policies and regulations. Funding awarded for research does not include research within the purview of EPA's Office of Research and Development. Specific uses and restrictions on funds will be described in detail in the competitive announcements. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

Assistance under this program is generally available to States, local governments, territories, Indian Tribes, and possessions of the U.S. (including the District of Columbia); public and private universities and colleges; hospitals; laboratories; public or private nonprofit institutions; and individuals. Nonprofit organizations described in Section 501(c)(4) of the Internal Revenue Code that engage in lobbying activities as defined in Section 3 of the Lobbying Disclosure Act of 1995 are not eligible to apply. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

State and local governments, U.S. territories and possessions, Indian Tribes, universities and colleges, hospitals, laboratories, and other public and private nonprofit institutions and individuals.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments and Indian Tribes, OMB Circular No. A-21 for educational institutions, OMB Circular No. A-122 for nonprofit institutions, and FAR Part 31 for profit makers. Applicants may be requested to demonstrate they have appropriate background, academic training, experience in the field, and necessary equipment to carry out projects. EPA may ask applicants or principle investigators to provide curriculum vitae and relevant publications. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. This program is subject to the provisions of OMB Circulars No. A-102 and No. A-110. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. The standard application forms, as furnished by the Federal agency and required by OMB Circular No. A-102 and A-110, must be used for this program. EPA requires final applications to be made on Standard Form 424. Requests for application kits must be submitted to the Environmental Protection Agency, Grants and Interagency Agreements Management Division, (3903R), Washington, DC 20460 or through the appropriate EPA Regional

Office listed in Appendix IV of the Catalog. Additional information on the EPA grant package can be found at:

http://www.epa.gov/ogd/grants/how_to_apply.htm. For competitive awards, announcements for competitive funding opportunities will specify application procedures. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 180 days.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

None. Generally, EPA incrementally funds grants and cooperative agreements for water surveys, studies, demonstrations, and investigations. Approval of subsequent funding increments is dependent on satisfactory project progress, continued relevance of the project to EPA's priorities, availability of funds, and Agency policy on the competitive grant process.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: For certain competitive funding opportunities under this CFDA description, the Agency may include matching requirements consistent with the Agency's Assistance Agreement Competition Policy. Match may be cash or in-kind consistent with the regulation governing match requirements (40 CFR 31.24 or 40 CFR 30.23). Specific matching requirements will be described in detail in the competitive announcements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

EPA normally funds grants and cooperative agreements on a 12-month basis. However, EPA may negotiate the project period with each applicant based on project requirements. The term of the grant shall be determined at the time of grant award. See the following for information on how assistance is awarded/released: The method of fund disbursement will be determined at the time of award.

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Agreements may require quarterly, interim, and final progress reports, and expenditure/financial, equipment, and invention reports. Specific reporting requirements are also identified in the Grant Regulations at 40 CFR Part 30 and 40 CFR Part 31, as applicable. Program reports are required under this program. Cash reports are required under this program. Progress reports are required under this program. Expenditure reports are required under this program. Performance monitoring is required under this program.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United

States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

The record retention requirements of 40 CFR Part 30 (non-profits and universities) or 40 CFR Part 31 (governmental units) are applicable depending upon the identity of the recipient. Recipients must keep financial records, including all documents supporting entries on accounting records and to substantiate changes in grants, available to personnel authorized to examine EPA recipients grants and cooperative agreements records. Recipients must maintain all records until 3 years from the date of submission of final expenditure reports. If questions, such as those raised as a result of audits remain following the 3-year period, recipients must retain records until the matter is completely resolved.

Account Identification:

68-0107-0-1-304; 68-0108-0-1-304.

Obligations:

(Project Grants) FY 12 \$17,308,669; FY 13 est \$17,500,000; and FY 14 est \$2,500,000

Range and Average of Financial Assistance:

Range: \$10,000 to \$6,900,000/fiscal year; Average: \$1,150,000/fiscal year.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Competitions are held throughout the year at Headquarters and in the Regions based on available funds and Agency priorities. Competitive announcements typically include the number of anticipated awards. Eight grants were awarded in FY 12 in support of drinking water and source water initiatives associated with developing and expanding capabilities of programs to carry out the purposes of the SDWA. Fiscal Year 2013: Competitions are held throughout the year at Headquarters and in the Regions based on available funds and Agency priorities. Competitive announcements typically include the number of anticipated awards. In FY 13, it is anticipated that additional support for drinking water and source water initiatives will be provided. Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Surveys, studies, and investigations grants and cooperative agreements are subject to EPA's General Grant Regulations (40 CFR Part 30 and 40 CFR Part 31). Training grants and cooperative agreements are subject to EPA's Regulations for Training Assistance (40 CFR Part 45).

Regional or Local Office:

See Regional Agency Offices. EPA encourages potential applicants to communicate with the appropriate EPA Regional Office listed in Appendix IV of the Catalog, and the Headquarters program contacts listed below.

Headquarters Office:

Joe Jackson, Environmental Protection Agency, Office of Ground Water and Drinking Water, 1200 Pennsylvania Avenue, Washington, District of Columbia 20460 Email: jackson.joe-a@epa.gov Phone: (202) 564-3817.

Website Address:

<http://water.epa.gov/drink/index.cfm>

RELATED PROGRAMS:

66.034 Surveys, Studies, Research, Investigations, Demonstrations, and Special Purpose Activities Relating to the Clean Air Act; 66.436 Surveys, Studies, Investigations, Demonstrations, and Training Grants and Cooperative Agreements - Section 104(b)(3) of the Clean Water Act; 66.510 Surveys, Studies, Investigations and Special Purpose Grants within the Office of Research and Development; 66.610 Surveys, Studies, Investigations and Special Purpose Grants within the Office of the Administrator; 66.716 Research, Development, Monitoring, Public Education, Training, Demonstrations, and Studies

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Assisting states in effective implementation of SDWA requirements; assistance to states in ground water protection and conservation; developing utility planning tools; capacity development support; and source water protection through prevention. Fiscal Year 2013: Assisting states in

effective implementation of SDWA requirements; assistance to states in ground water protection and conservation; developing utility planning tools; capacity development support; and source water protection through prevention. Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement.

66.432 STATE PUBLIC WATER SYSTEM SUPERVISION

FEDERAL AGENCY:

Office of Water, Environmental Protection Agency

AUTHORIZATION:

Safe Drinking Water Act Amendments of 1996, Public Law 104-182; Public Health Service Act; Safe Drinking Water Act of 1974, Public Law 93-523; Safe Drinking Water Act of 1974, Public Law 96-502; Safe Drinking Water Act of 1974, Public Law 95-190; Safe Drinking Water Act of 1974, Public Law 96-16.

OBJECTIVES:

The objective of these grants is to provide financial assistance to eligible States and Tribes (those that have Primary Enforcement Responsibility for the Public Water System Supervision (PWSS) Program), for implementation and enforcement of the requirements of the Safe Drinking Water Act that apply to public water systems. In cases where a State or a Tribe does not have a Primary Enforcement Responsibility program, EPA is authorized to use funds that would have otherwise been made available to the State or the Tribe to assist it in direct implementation of the PWSS program. The fundamental goal of the PWSS Program, and the grants, is to ensure that public water systems comply with the National Primary Drinking Water Regulations listed in 40 CFR 141 (http://www.ecfr.gov/cgi-bin/text-idx?c=ecfr&tpl=/ecfrbrowse/Title40/40cfr141_main_02.tpl). State drinking water agencies, which have Primary Enforcement Responsibility for the Public Water System Supervision (PWSS) Program, and Indian Tribes that receive PWSS grants are to use them to ensure that public drinking water systems, of all types, and of all sizes: (1) are currently in compliance with the drinking water regulations and remain in compliance, (2) are working towards and in the process of achieving compliance when these systems are noncompliant, and (3) are preparing for future compliance with any new drinking water regulations that will be taking effect in the current or following year. Furthermore, State drinking water agencies, which have Primary Enforcement Responsibility for the Public Water System Supervision (PWSS) Program, and Indian Tribes that receive PWSS grants are required to use the funds to update and maintain its PWSS program to comply with the National Primary Drinking Water Regulations Implementation requirements listed in 40 CFR 142

(<http://www.ecfr.gov/cgi-bin/text-idx?c=ecfr&SID=9fd40a5dfb416f9b56716c0808f3968b&rgn=div5&view=text&node=40:24.0.1.1.4&idno=40>) to ensure that the State or Tribal PWSS program is capable of conducting oversight of all public water systems as new drinking water rules, new public water systems, and/or drinking water program requirements become effective. Funding Priority - Fiscal Year 2013: The priority for the PWSS grants is to ensure that the population served by community water systems will receive drinking water that meets all applicable health-based drinking water standards. This includes ensuring public water systems implement and comply with the new drinking water rules: Stage 2 Disinfectants and Disinfection Byproducts Rule, Long Term 2 Enhanced Surface Water Treatment Rule, and Ground Water Rule. Furthermore, EPA's priority is for States and Tribal PWSS Programs to meet the primary enforcement responsibilities, listed as follows, along with preparing the States and Tribal PWSS program for implementation of the recently promulgated Revised Total Coliform Rule. The primary components of a State or Tribal PWSS program are: (1) the adoption and implementation of State and Indian Tribe drinking water regulations at least as stringent as the Federal regulations; (2) the development and maintenance of database(s) of an inventory of public water systems and housing of public water system compliance information; (3) the conduct of sanitary surveys, which identifies sanitary deficiencies and requires that these deficiencies to be addressed, at public water systems; (4) the establishment and maintenance of a laboratory certification program for approval of laboratories to perform analyses of drinking water contaminants/analytes, including the assurance of adequate laboratory capacity to perform drinking water analyses; (5) the review of public

water system plans and specifications; (6) the establishment of legal enforcement and authority to assess penalties to compel public water systems' compliance with the National Primary Drinking Water Regulations; (7) the adoption of regulations consistent with 40 CFR part 3 which deals with electronic documents; and (8) the adoption and implementation of an adequate plan for providing safe drinking water during emergency circumstances.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

The funds are to be used to develop and implement a public water system supervision program adequate to enforce the requirements of the Safe Drinking Water Act and associated program regulations found in 40 CFR Parts 141, 142, and 143. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>. Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

Eligibility is limited to the governments of the fifty States; the District of Columbia; the Commonwealth of Puerto Rico; the Northern Mariana Islands; the Virgin Islands; Guam; American Samoa; and federally recognized Tribes, that have either assumed primary enforcement responsibility for the PWSS Program or that want to develop a program that will allow them to seek delegation for a PWSS Program. EPA may also use funds allotted for a State or Tribal program, if the State or Tribe does not have, or is not developing, primary enforcement responsibility. Eligibility is also limited to a single agency within each State, Territory, or Tribe - an agency that has been designated by the jurisdiction's Governor or Chief Executive Officer. Primary Enforcement Responsibility for the Public Water System Supervision Program is provided for in 40 CFR 142 (http://www.access.gpo.gov/nara/cfr/waisidx_02/40cfr142_02.html).

Beneficiary Eligibility:

The beneficiaries are the agencies within the fifty States; the District of Columbia; the Commonwealth of Puerto Rico; the Northern Mariana Islands; the Virgin Islands; Guam; American Samoa; and federally recognized Tribes, that have been designated by the jurisdiction's Governor or Chief Executive Officer as being responsible for the supervision of water supplies within the State, Territory, or Tribe.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State, local, and Tribal Governments. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

The standard application forms as furnished by the Federal agency and required by OMB Circular No. A-102 must be used for this program. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. The grant application, including a Program Plan, must be submitted to the appropriate EPA Regional Administrator. The standard application forms, as furnished by the Federal agency and required by OMB Circular No. A-102, must be used for this program. EPA requires final applications to be made on Standard Form 424. Requests for application kits must be submitted to the Environmental Protection

Agency, Grants and Interagency Agreements Management Division, 1200 Pennsylvania Avenue, N.W., Mailcode (3903R), Washington, DC 20460 or through the appropriate EPA Regional Office listed in Appendix IV of the Catalog. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA. Additional information on the EPA grant package can be found at: http://www.epa.gov/ogd/grants/how_to_apply.htm.

Award Procedure:

The grant application and Program Plan are reviewed by the appropriate EPA Regional Office, and if approved, are signed by the Regional Administrator, who then awards the funds to the recipient.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Maximum of 60 days.

Appeals:

Disputes will be resolved under 40 CFR Parts 30.63 or 40 CFR Part 31.70, as applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title Safe Drinking Water Act of 1974, Public Law 93-523. State allotments are computed through use of a formula, as prescribed in Public Law 93-523 Section 1443 (a)(3) and (a)(4), 40 CFR 35.172. Funds appropriated each year are allotted on the basis of: (a) State, Territory, or Tribal population (20 percent) as contained in the most currently available (published) U.S. Census Bureau data; (b) State, Territory, or Tribal geographical area (10 percent) as contained in the most currently available (published) U.S. Census Bureau data; (c) the number of active community and non-transient non-community water systems being regulated by the State, Territory, or Tribe that are on record in EPA's data system (56 percent); and (d) the number of active transient non-community water systems being regulated by the State, Territory, or Tribe that are on record in EPA's data system (14 percent). All States, the District of Columbia, and Puerto Rico are eligible for a minimum of \$334,500 (which is equal to 1 percent of the FY 1989 PWSS Program appropriation). The American Samoa, Guam, the Northern Mariana Islands, and the Virgin Islands are eligible for a minimum of \$111,500 (which is equal to 1/3 percent of the FY 1989 PWSS Program appropriation). Up to seven percent of the amount appropriated for the PWSS Program is set-aside to support Public Water System Supervision programs on Indian lands (including grants to Tribes) in accordance with EPA Grant Regulations. The formula factors of population and land area are statutory. The other factors, and all of the weightings, are Agency imposed.

Matching Requirements: Federal assistance is limited to 75 percent of total, eligible program costs.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Annual grants. The term of the grant shall be determined at the time of grant award. Awards are released through letters of credit or reimbursement. See the following for information on how assistance is awarded/released: The method of fund disbursement will be determined at the time of award.

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Agreements may require quarterly, interim, and final progress reports, and expenditure/financial, equipment, and invention reports. Specific reporting requirements are also identified in the Grant Regulations at 40 CFR Part 30 and 40 CFR Part 31, as applicable. Program reports are required under this program. Cash reports are required under this program. Progress reports are required under this program. Expenditure reports are required under this program. Performance monitoring is required under this program.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June

27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Financial records, including all documents to support entries on accounting records and to substantiate changes to each grant, must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained for 3 years from the date of submission of the annual financial status report or until any audit exceptions have been resolved.

Account Identification:

68-0103-0-1-304.

Obligations:

(Formula Grants) FY 12 \$104,378,109; FY 13 est \$99,827,000; and FY 14 est \$105,320,000

Range and Average of Financial Assistance:

Range of \$124,000 to \$6,700,000/fiscal year; Average of \$1,777,581/fiscal year.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: During FY 2012, 49 States, five territories, one Indian Tribe, and the District of Columbia were awarded grant funding under the PWSS Program. The majority of the grant funds were used for State, territorial, or Tribal governmental salaries to allow the governments to: develop and maintain State drinking water regulations; develop and maintain an inventory of public water systems throughout the State; develop and maintain a database housing compliance information on public water systems; conduct sanitary surveys on the public water systems; review public water system plans and specifications to ensure systems meet State design standards; provide technical assistance and training to water system managers and operators to ensure they are knowledgeable of the State requirements and best treatment and operation practices; conduct of a program to ensure that the public water systems keep their consumers informed about the quality of the water they are providing; inspect and certify laboratories that are allowed to perform the analysis of drinking water that will be used to determine compliance with the drinking water regulations; and conduct an enforcement program to ensure that the public water systems comply with all of the requirements. States, territories, and Tribes also use the grant funds to upgrade and maintain the data systems that are used to store and track information on public water systems. Fiscal Year 2013: During FY 2013, 49 States, five territories, one Indian Tribe, and the District of Columbia were awarded grant funding under the PWSS Program. The majority of the grant funds were used for State, territorial, or Tribal governmental salaries to allow the governments to: develop and maintain State drinking water regulations; develop and maintain an inventory of public water systems throughout the State; develop and maintain a database housing compliance information on public water systems; conduct sanitary surveys on the public water systems; review public water system plans and specifications to ensure systems meet State design standards; provide technical assistance and training to water system managers and operators to ensure they are knowledgeable of the State requirements and best treatment and operation practices; conduct of a program to ensure that the public water systems keep their consumers informed about the quality of the water they are providing; inspect and certify laboratories that are allowed to perform the analysis of drinking water that will be used to determine compliance with the drinking water regulations; and conduct an enforcement program to ensure that the public water systems comply with all of the requirements. States, territories, and Tribes also use the grant funds to upgrade and maintain the data systems that are used to store and track information on public water systems. Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Financial Assistance for Continuing Environmental Programs (40 CFR 35,

Subpart A); General Grant Regulations and Procedures (40 CFR Part 30).

Regional or Local Office:

See Regional Agency Offices. Contact appropriate EPA Regional Office listed in Appendix IV of the Catalog.

Headquarters Office:

Nancy Ho Drinking Water Protection Division
Office of Ground Water and Drinking Water
Office of Water, (4606M)
Environmental Protection Agency
1200 Pennsylvania Avenue, NW., , Washington, District of Columbia 20460
Email: ho.nancy@epa.gov Phone: (202) 564-3896 Fax: (202) 564-3755

Website Address:

<http://www.epa.gov/safewater/pws/pwsgrant.html>

RELATED PROGRAMS:

66.433 State Underground Water Source Protection; 66.468 Capitalization Grants for Drinking Water State Revolving Funds

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Funding was awarded to each of the 49 States, five territories, and one Indian Tribe that has primary enforcement responsibility for the PWSS Program. The grants were used by these governments, primarily for governmental salaries to: develop State drinking water regulations, update and maintain an inventory of drinking water systems, manage information on public water systems, ensure public participation regarding drinking water systems, provide technical assistance to public water systems, and enforce drinking water quality standards as required to comply with the National Primary Drinking Water Regulations Implementation requirements listed in 40 CFR 142 (<http://www.gpo.gov/fdsys/pkg/CFR-2011-title40-vol23/pdf/CFR-2011-title40-vol23-part142.pdf>) to thereby ensure that the State or Tribal PWSS program is capable of conducting oversight of all public water systems as new drinking water rules, new public water systems, and/or drinking water program requirements become effective. Fiscal Year 2013: Funding was awarded to each of the 49 States, five territories, and one Indian Tribe that has primary enforcement responsibility for the PWSS Program. The grants were used by these governments, primarily for governmental salaries to: develop State or Tribal drinking water regulations, update and maintain an inventory of drinking water systems, manage information on public water systems, ensure public participation regarding drinking water systems, provide technical assistance to public water systems, and enforce drinking water quality standards as required to comply with the National Primary Drinking Water Regulations Implementation requirements listed in 40 CFR 142 to thereby ensure that the State or Tribal PWSS program is capable of conducting oversight of all public water systems as new drinking water rules, new public water systems, and/or drinking water program requirements become effective. Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

(1) Adequacy of States and Tribes (those that have Primary Enforcement Responsibility for the PWSS Program) for the adoption and enforcement of drinking water regulations which are no less stringent than the national primary drinking regulations as listed in 40 CFR 141 and 40 CFR 142. (2) Designation of a State agency to receive the grant and be responsible for conducting the public water system supervision program. (3) Compliance with requirements of 40 CFR 30 and 40 CFR 35.

**66.433 STATE UNDERGROUND WATER SOURCE PROTECTION
FEDERAL AGENCY:**

Office of Water, Environmental Protection Agency

AUTHORIZATION:

Safe Drinking Water Act of 1974, Public Law 93-523; Safe Drinking Water Act Amendments of 1996, Public Law 104-182, 42 U.S.C 300; Safe Drinking Water Act Amendments of 1986, Public Law 99-339; Safe Drinking Water Act of 1974, Public Law 96-502; Safe Drinking Water Act of 1974, Public Law 96-63.

OBJECTIVES:

To foster development and implementation of underground injection control

(UIC) programs under the Safe Drinking Water Act (SDWA). The objective of the grant program is to provide financial assistance, to eligible States and Tribes, for the implementation of their UIC Program. The fundamental goal of the program, and the grants, is to ensure that underground sources of drinking water are protected from endangering injection activities. Funding Priority - Fiscal Year 2013: The program will fund the major components of State UIC programs which include: the development and maintenance of inventories of injection systems; the development and maintenance of databases housing compliance information on underground injection well activities; the implementation of UIC permitting activities; and the implementation of enforcement programs to ensure that underground sources of drinking water are protected from underground injection activities.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

The funds are to be used to develop and implement an underground injection control program adequate to enforce the requirements of the Safe Drinking Water Act. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>. Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

States and Indian Tribes that qualify as Programs that have delegated primary Enforcement Authority pursuant to SDWA amendments of 1986.

Beneficiary Eligibility:

States and Indian Tribes.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Applications are subject to State and area wide clearinghouses review pursuant to procedures in the proposed 40 CFR Part 19. The standard application forms, as furnished by the Federal agency and required by OMB Circular No. A-102, must be used for this program. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. The grant application must be submitted to the appropriate EPA Regional Administrator. This program is excluded from coverage under OMB Circular No. A-110. The standard application forms, as furnished by the Federal agency and required by OMB Circular No. A-102, must be used for this program. EPA requires final applications to be made on Standard Form 424. Requests for application kits must be submitted to the Environmental Protection Agency, Grants and Interagency Agreements Management Division, 1200 Pennsylvania Avenue, N.W., Mailcode (3903R), Washington, DC 20460 or through the appropriate EPA Regional Office listed in Appendix IV of the Catalog. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA. Additional information on the EPA grant package can be found at: http://www.epa.gov/ogd/grants/how_to_apply.htm.

Award Procedure:

Applications are reviewed by the appropriate EPA Regional Office and, if approved, the funds are awarded by the Regional Administrator.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 60 days.

Appeals:

Disputes will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title Safe Drinking Water Act. This program operates under a formula which uses criteria set forth in the SDWA, Section 1443(b)(4). Matching Requirements: Except for Indian Tribes, Federal assistance is limited to 75 percent of eligible costs, not to exceed the State allotment. The statistical factors used for allocation include: (1) population of State, based on the most recent Census Bureau data; (2) State land area, based on the most recent Census Bureau data; and (3) injection practices by State, based on data reported by States and maintained by EPA as of the most recent fiscal year prior to allocation development. For Indian Tribes, Federal assistance is limited to 90 percent of eligible costs.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The term of the grant shall be determined at the time of grant award. See the following for information on how assistance is awarded/released: The method of fund disbursement will be determined at the time of award.

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Agreements may require quarterly, interim, and final progress reports, and expenditure/financial, equipment, and invention reports. Specific reporting requirements are also identified in the Grant Regulations at 40 CFR Part 30 and 40 CFR Part 31, as applicable. Program reports are required under this program. Cash reports are required under this program. Progress reports are required under this program. Expenditure reports are required under this program. Performance monitoring is required under this program.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Financial records, including all documents which support entries on accounting records and substantiate charges to each grant, must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained for 3 years from the date of submission of the annual financial status report, or until any audit exceptions have been resolved.

Account Identification:

68-0103-0-1-304.

Obligations:

(Formula Grants) FY 12 \$10,852,000; FY 13 est \$10,308,000; and FY 14 est \$10,650,000

Range and Average of Financial Assistance:

\$13,000 to \$973,000/fiscal year; \$176,000/fiscal year.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: This program provides funding support to states, territories, and tribes that have delegated primary enforcement authority pursuant to the SDWA amendments of 1986. The grant funds are used by the States,

Territories, and Tribes to support operation of their ongoing UIC programs including: the development and maintenance of UIC regulations; the development and maintenance of inventories of injection systems; the development and maintenance of databases housing compliance information on underground injection wells activities; the implementation of UIC permitting activities; and the implementation of enforcement programs to ensure that underground sources of drinking water are protected from endangering injection activities. Fiscal Year 2013: This program provides funding support to States, Territories, and Tribes that have delegated primary enforcement authority pursuant to the SDWA amendments of 1986. The grant funds are used by the States, Territories, and Tribes to support operation of their ongoing UIC programs including: the development and maintenance of UIC regulations; the development and maintenance of inventories of injection systems; the development and maintenance of databases housing compliance information on underground injection wells activities; the implementation of UIC permitting activities; and the implementation of enforcement programs to ensure that underground sources of drinking water are protected from endangering injection activities. Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Grants for State Underground Water Source Protection Programs (40 CFR 35); General Grant Regulations and Procedures (40 CFR Part 30).

Regional or Local Office:

See Regional Agency Offices. Contact appropriate EPA Regional Office listed in Appendix IV of the Catalog.

Headquarters Office:

Denny Cruz Prevention Branch, Drinking Water Protection Division
Office of Ground Water and Drinking Water
Office of Water, (4606M)
Environmental Protection Agency
1200 Pennsylvania Avenue, NW., Washington, District of Columbia 20460
Email: cruz.denny@epa.gov Phone: (202) 564-3879

Website Address:

<http://water.epa.gov/type/groundwater/uic/grants.cfm>

RELATED PROGRAMS:

66.432 State Public Water System Supervision

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Grant funds are used by the States for such purposes as State regulation review, program plan developments, data management, inventory of injection facilities, public participation, technical assistance and review, permit approval and enforcement, and surveillance and investigation. Fiscal Year 2013: Grant funds are used by the States for such purposes as State regulation review, program plan developments, data management, inventory of injection facilities, public participation, technical assistance and review, permit approval and enforcement, and surveillance and investigation. Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

(1) Adequacy of State's annual program plan for an enforceable underground injection control program; (2) compliance with requirements of 40 CFR 30 and 35, and Executive Order No. 12367 (Revised); (3) designation of a State agency to receive the grant and be responsible for coordinating the underground water source protection program; and (4) evidence that Federal grant funds will not supplant the State's nonfederal funding committed to underground water source protection efforts. For Indian Tribes: (1) demonstration of Tribal adequacy; and (2) demonstration of the tribe's intent, adequate legal authority, and resources to implement specific program elements outlined in 40 CFR 144, 145, 146, and 148.

66.436 SURVEYS, STUDIES, INVESTIGATIONS, DEMONSTRATIONS, AND TRAINING GRANTS AND COOPERATIVE AGREEMENTS - SECTION 104(B)(3) OF THE CLEAN WATER ACT FEDERAL AGENCY:

Office of Water, Environmental Protection Agency

AUTHORIZATION:

Clean Water Act, Section 104(b)(3), Public Law 95-217, 33 U.S.C 1251;

National Environmental Policy Act, Section 102(2)(F).

OBJECTIVES:

To support the coordination and acceleration of research, investigations, experiments, training, demonstrations, surveys, and studies relating to the causes, effects (including health and welfare effects), extent, prevention, reduction, and elimination of water pollution. Funding Priority - Fiscal Year 2013: Funding priorities include, but are not limited to training, surveys, studies, investigations, and demonstration projects to support water quality improvement, watershed planning and management, nonpoint source planning, wetlands protection, coastal and estuarine planning, treatment technologies, water efficiency, and environmental management systems.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grants and cooperative agreements are available to support recipients' allowable direct costs in approved scopes of work plus allowable indirect costs, in accordance with established EPA policies and regulations. Funding awarded for research does not include research within the purview of EPA's Office of Research and Development. The funds will support conducting and promoting the coordination and acceleration of research, investigations, experiments, training, demonstrations, surveys, and studies relating to the causes, effects (including health and welfare effects), extent, prevention, reduction, and elimination of water pollution. Specific uses and restrictions on funds will be described in detail in the competitive announcements. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>. Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

Assistance under this program is generally available to States, local governments, territories, Indian Tribes, and possessions of the U.S. (including the District of Columbia); public and private universities and colleges; hospitals; laboratories; public or private nonprofit institutions; intertribal consortia; and individuals. Nonprofit organizations described in Section 501(c)(4) of the Internal Revenue Code that engage in lobbying activities as defined in Section 3 of the Lobbying Disclosure Act of 1995 are not eligible to apply. An intertribal consortium must meet the definition of eligibility in the Environmental Program Grants for Tribes Final Rule, at 40 CFR 35.504 (66 FR 3782, January 16, 2001) (FRL-6929-5) and be a non-profit organization within the meaning of OMB Circular A-122. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

State and local governments, U.S. territories and possessions, Indian Tribes, universities and colleges, hospitals, laboratories, other public and private nonprofit institutions, and individuals.

Credentials/Documentation:

Documentation of nonprofit status may be required. Applicants may be requested to demonstrate they have appropriate background, academic training, experience in the field, and necessary equipment to carry out projects. EPA may ask applicants or principal investigators to provide curriculum vitae and relevant publications. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

EPA awards grants and cooperative agreements supporting surveys, studies, and investigations based on competitive applications submitted in response to EPA solicitations, considering relevance and likelihood of success of proposed projects. Regarding pre-application/pre-proposal assistance with respect to

competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. The standard application forms, as furnished by the Federal agency and required by OMB Circular No. A-102 and A-110, must be used for this program. EPA requires final applications to be made on Standard Form 424. Requests for application kits must be submitted to the Environmental Protection Agency, Grants and Interagency Agreements Management Division, 1200 Pennsylvania Avenue, N.W., Mailcode (3903R), Washington, DC 20460 or through the appropriate EPA Regional Office listed in Appendix IV of the Catalog. Additional information on the EPA grant package can be found at: http://www.epa.gov/ogd/grants/how_to_apply.htm. For competitive awards, Requests for Initial Proposals or Requests for Applications will specify application procedures. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 180 days.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: This program has no statutory formula. For certain competitive funding opportunities under this CFDA description, the Agency may include matching requirements consistent with the Agency's Assistance Agreement Competition Policy. Match may be cash or in-kind consistent with the regulation governing match requirements (40 CFR 31.24 or 40 CFR 30.23). Specific matching requirements will be described in detail in the competitive announcements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

EPA normally funds grants and cooperative agreements on a 12-month basis. However, EPA may negotiate the project period with each applicant based on project requirements. The term of the grant shall be determined at the time of grant award. See the following for information on how assistance is awarded/released: The method of fund disbursement will be determined at the time of award.

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Agreements may require quarterly,

interim, and final progress reports, and expenditure/financial, equipment, and invention reports. Reporting requirements are also identified in the Grant Regulations at 40 CFR Part 30 and 40 CFR Part 31. Program reports are required under this program. Cash reports are required under this program. Progress reports are required under this program. Expenditure reports are required under this program. Performance monitoring is required under this program.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

The record retention requirements of 40 CFR Part 30 (non-profits and universities) or 40 CFR Part 31 (governmental units) are applicable depending upon the identity of the recipient. Recipients must keep financial records, including all documents supporting entries on accounting records and to substantiate changes in grants, available to personnel authorized to examine EPA recipients grants and cooperative agreements records. Recipients must maintain all records until 3 years from the date of submission of final expenditure reports. If questions, such as those raised as a result of audits remain following the 3-year period, recipients must retain records until the matter is completely resolved.

Account Identification:

68-0108-0-1-304; 68-0107-0-1-304.

Obligations:

(Project Grants) FY 12 \$5,042,143; FY 13 est \$2,874,350; and FY 14 est \$1,500,000

Range and Average of Financial Assistance:

Range: \$10,000 to \$580,000/fiscal year; Average: \$295,000/fiscal year.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Competitions are held throughout the year at Headquarters and in the Regions based on available funds and Agency priorities. The number of applications and the number of awards made is dependent on the competitive announcement issued. The announcement will typically include the number of anticipated awards. In FY 12, EPA funded 18 projects to support training, surveys, studies, investigations, and demonstrations for water quality improvement, watershed planning and management, treatment technologies, water efficiency, and environmental management systems. Fiscal Year 2013: Competitions are held throughout the year at Headquarters and in the Regions based on available funds and Agency priorities. The number of applications and the number of awards made is dependent on the competitive announcement issued. The announcement will typically include the number of anticipated awards. In FY 13, EPA will continue to fund projects to support training, surveys, studies, investigations, and demonstrations for water quality improvement, watershed planning and management, treatment technologies, water efficiency, and environmental management systems. Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Surveys, studies, investigations, demonstrations, and training grants and cooperative agreements are subject to EPA's General Grant Regulations (40 CFR Part 30 and 40 CFR Part 31). Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments and Indian Tribes, OMB Circular No. A-21 for educational institutions, and OMB Circular No. A-122 for nonprofit institutions.

Regional or Local Office:

See Regional Agency Offices. For information on grant applications and procedures, contact:

Environmental Protection Agency, Grants and Interagency Agreement Management Division, 1200 Pennsylvania Avenue, N.W., Mailcode (3903R), Washington, DC 20460. For program information contact: Office of Wetlands, Oceans and Watersheds, Connie Cahanap, Phone: (202) 566-1382; FAX: (202) 566-1147; e-mail: cahanap.concepcion@epa.gov. Office of Science and Technology, Agnes Ortiz, Phone: (202) 566-1303; FAX: (202) 566-0441; e-mail: ortiz.agnes@epa.gov.

Headquarters Office:

Kimberley Davis Environmental Protection Agency
Office of Water
1200 Pennsylvania Avenue, N. W. (4101M)
, Washington, District of Columbia 20460 Email:
roy-davis.kimberley@epa.gov Phone: (202) 564-4633

Website Address:

http://water.epa.gov/grants_funding/

RELATED PROGRAMS:

66.424 Surveys, Studies, Investigations, Demonstrations, and Training Grants - Section 1442 of the Safe Drinking Water Act

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Development of innovative watershed education tools, development of wetland protection guides for local communities, demonstration projects for watershed management, development of sustainable approaches to water quality management, and training on environmental management systems. Fiscal Year 2013: Development of innovative watershed education tools, development of wetland protection guides for local communities, demonstration projects for watershed management, development of sustainable approaches to water quality management, and training on environmental management systems. Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement. Competitive applications and proposals will be selected in accordance with announced criteria.

66.437 LONG ISLAND SOUND PROGRAM

Long Island Sound Study (LISS)

FEDERAL AGENCY:

Office of Water, Environmental Protection Agency

AUTHORIZATION:

Clean Water Act, Section 119(d).

OBJECTIVES:

To (1) implement the Long Island Sound Study (LISS) Comprehensive Conservation and Management Plan (CCMP) and (2) assist the states of Connecticut and New York, and other public or nonprofit entities in conducting research, experiments, investigations, training, demonstration, surveys, or studies related to reducing pollution and improving the quality of the environment to sustain living resources in the Long Island Sound. Funding Priority - Fiscal Year 2013: EPA's funding priority is to award grants that support the Long Island Sound Study (LISS) National Estuary Program. Section 119(d) of the Clean Water Act as amended by the Long Island Sound Restoration Act of 2000, P.L. 106-457 authorizes the Administrator to award grants to eligible entities to implement the LISS Comprehensive Conservation and Management Plan (CCMP), with special emphasis on implementation, research and planning, enforcement, and citizen involvement and education projects. The LISS management conference, with participants from state, interstate and regional environmental agencies, local governments and other public or nonprofit private agencies, research institutions and individuals, develops programs to protect and restore Long Island Sound. The LISS program characterizes the problems in the estuary, determines relationships between pollutant loading and impacts on living resources, maintains a comprehensive plan recommending solutions to priority problems, and implements actions addressing priority problem areas. Activities in Fiscal Year 2013 will focus on six areas identified in the plan as requiring special attention, including: the impact of hypoxia on aquatic life, effects of toxic contamination,

pathogen contamination and floatable debris pollution on the living resources and environment of the Sound, the impact of habitat degradation on the health of living resources, land use and development policies and their effect on the ecology, and scientific research in support of these areas as appropriate.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds may be used to implement elements of the LISS CCMP. Special emphasis shall be give to CCMP implementation projects, research and planning, enforcement, and citizen involvement and education projects. The Administrator may give priority to a distressed community as defined in 33 USC 1269(e)(1). Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>. Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

State, interstate, and regional water pollution control agencies, and other public or nonprofit private agencies, institutions, and organizations are eligible. Private profit-making entities, and individuals, are not eligible. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

Assistance under this program generally benefits state, interstate, and regional water pollution control agencies and other public or nonprofit private agencies, institutions, and organizations. The general public and Long Island Sound user groups such as swimmers, beach goers, sport and commercial fishermen, boaters, and shellfishes, benefit from the results of the program through cleaner water, restored and protected habitat, and preserved and enhanced ecosystems.

Credentials/Documentation:

Nonprofit private agencies, institutions and organizations may be required to provide documentation of their organizational status upon application. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

The EPA Long Island Sound Office (LISO) issues annual guidance on the form and content of pre-proposals. All pre-proposals or work statements should be consistent with LISO's guidance. Consultation or technical assistance may be available upon request from the LISO to aid applicants in preparing their pre-proposal. Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. An environmental impact statement or environmental impact assessment is not required for this program. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. The EPA LISO annually releases a request for base program pre-proposals in the Fall. Pre-proposals are due to the LISO generally by early January or as specified in guidance. The LISS management committee reviews pre-proposals in late January to identify areas of concern and to request additional information or clarification on proposals through the LISO. The

LISO coordinates review of pre-proposals among the several LISS technical work groups and teams to ensure consistency with program goals and objectives as outlined in the CCMP and other priorities as directed by the management committee. If necessary, applicants are contacted by the LISO to provide the additional information or clarification. The LISO coordinates review of the revised proposals and develops funding recommendations for the management committee's consideration in April. The management committee makes final recommendations on funding pre-proposals at that time, or as otherwise specified. Applicants are advised of the status of their request by the LISO within 30 days of the management committee's final decisions. Successful applicants are required to submit formal grant applications using the standard federal grant application forms. The deadline for submitting applications may vary by EPA Region, but is generally June 1. Applications not received by the deadline are not further considered for funding. Unallocated funds are carried over or otherwise used to further the purposes of this program at the discretion of the management committee. Federal grant application forms may be obtained on the EPA website, <http://www.epa.gov/ogd/AppKit/index.htm> or requested from either the EPA Region I or II grants management office as follows: for Connecticut, EPA Region I, Grants Management Office, 5 Post Office Square, Boston, MA 02109; for New York, EPA Region II, Grants and Contracts Management Branch, 290 Broadway, New York, NY 10007-1866. The standard federal grant application forms as required by OMB Circular No. A-102 must be used for this program. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA. The completed grant application should be submitted to the appropriate EPA Regional grants management office, with a copy to the LISO to assist with processing.

Award Procedure:

Each federal assistance application and final work plan will be evaluated by the LISO to determine the adequacy of the application under LISS priorities and objectives, including technical merit and relevance of the project to the implementation of the CCMP and for consistency with the pre-application proposal. Each federal assistance award application will also be subject to an administrative review by the EPA Region I or II grants management office as appropriate. Funds are awarded by the EPA Region I or II Administrator as appropriate. Grantees will receive a final assistance award package from the appropriate EPA Regional grants management office that must be accepted and signed by an authorized official of the recipient organization and returned to the GMO as specified in the EPA award document. Awards may be made on an advance or reimbursement basis. Awards that are not signed and returned by the deadline specified in the assistance award document may be subject to cancellation. For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Notification of action on pre-proposals is provided approximately 120 days after the deadline for pre-proposal submission. Final grantees are notified of approval approximately 90 days after the submission of the application for federal assistance.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

Renewals are subject to approval of EPA.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: This program has no statutory formula (33 USC

1269(d)(3)). Federal costs for citizen involvement and education grants shall not exceed 95 percent of the costs of such work; for all other grants and cooperative agreements, federal costs shall not exceed 50 percent of the research, studies, or work. EPA has determined that annual matching requirements for LISS grant awards shall be met in the aggregate for all funds awarded as specified in the approved annual work plan.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Assistance agreements are generally made for a one-year period corresponding to the federal fiscal year, October-September. Awards may be made for longer periods upon justification and with the approval of EPA. Awards may be made by advance or reimbursement at the discretion of EPA. See the following for information on how assistance is awarded/released: The method of fund disbursement will be determined at the time of award.

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Agreements require semi-annual and final progress reports, and expenditure/financial, equipment, and invention reports. Specific reporting requirements are also identified in the Grant Regulations at 40 CFR Part 30 and 40 CFR Part 31, as applicable. Program reports are required under this program. Cash reports are required under this program. Progress reports are required under this program. Expenditure reports are required under this program. Performance monitoring is required under this program.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Financial records, including all documents to support entries on accounting records and to substantiate changes to each grant, must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained for three years from the date of submission of the final expenditure report. If questions still remain, such as those raised as a result of audit, related records should be retained until the matter is completely resolved.

Account Identification:

68-0108-0-1-304.

Obligations:

(Project Grants) FY 12 \$3,954,500; FY 13 est \$3,715,000; and FY 14 est \$2,488,000

Range and Average of Financial Assistance:

Range: \$66,603 to \$1,315,450/fiscal year; Average: \$438,611/fiscal year.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: In FY 12, the LIS program reduced the areal extent of the hypoxic event in Long Island Sound from a pre-TMDL 13-year average of 208 square miles to a post-TMDL 13 year average of 184 square miles; restored or protected 573 acres of coastal habitat; and reopened 73 river miles to diadromous fish passage. In FY 12, the program goal to reduce nitrogen deposition to the Sound by 58.5% was at 83.3% toward that goal, an improvement of 5,040 trade-equalized pounds of nitrogen from FY 11. Fiscal Year 2013: EPA grantees for the Long Island Sound Futures Fund grant program and the Long Island Sound Scientific Research grant program hold competitions throughout the year based on available funds and Long Island Sound Study priorities. The number of applications and the number of awards made is dependent on the announcement issued. The announcement includes the number of anticipated awards. FY 13 data is not yet available. Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

LISS grants and cooperative agreements are subject to EPA's General Grant Regulations, 40 CFR Part 35, 40 CFR Part 30, or 40 CFR Part 31; annual EPA Office of Water National Estuaries Program guidance; the LISS CCMP, and Long Island Sound 2003 or successor Agreement.

Regional or Local Office:

See Regional Agency Offices. USEPA Long Island Sound Office, Government Center, 888 Washington Boulevard, Suite 9-11, Stamford, Connecticut 06904; Telephone, (203) 977-1541; FAX, (203) 977-1546. Attention: Mark Tedesco. E-mail: tedesco.mark@epa.gov.

Headquarters Office:

Paul Cough USEPA Office of Water; Office of Wetlands, Oceans and Watersheds; Oceans and Coastal Protection Division; USEPA West, 1301 Pennsylvania Avenue, NW, Washington, District of Columbia 20004 Email: cough.paul@epa.gov Phone: (202) 566-1200

Website Address:

<http://longislandsoundstudy.net/>

RELATED PROGRAMS:

10.904 Watershed Protection and Flood Prevention; 10.914 Wildlife Habitat Incentive Program; 11.419 Coastal Zone Management Administration Awards; 11.420 Coastal Zone Management Estuarine Research Reserves; 15.608 Fish and Wildlife Management Assistance; 15.611 Wildlife Restoration; 15.614 Coastal Wetlands Planning, Protection and Restoration Act; 15.615 Cooperative Endangered Species Conservation Fund; 15.616 Clean Vessel Act; 15.630 Coastal Program; 66.419 Water Pollution Control State, Interstate, and Tribal Program Support; 66.454 Water Quality Management Planning; 66.456 National Estuary Program; 66.460 Nonpoint Source Implementation Grants; 66.463 Water Quality Cooperative Agreements

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Grants and cooperative agreements awarded to states and local governments, colleges, universities, interstate agencies and nonprofit organizations are for nonpoint source implementation, scientific research, water quality monitoring and modeling, public education and outreach, living resources management, habitat restoration and program support. Examples are listed as follows: (1) Hypoxia: sustain and accelerate efforts to meet the LISS nutrient reduction goals in the nitrogen Total Maximum Daily Load to attain water quality conditions necessary to support the living resources of the Sound; (2) Habitat Restoration: restore and protect living resources, their habitats and ecological relationships; (3) Water Quality Monitoring: collect water quality samples at monitoring stations in Long Island Sound and interpret data on Long Island Sound and embayments. (4) Communication/Outreach: Provide communication, outreach and education components of the LISS partnership; (5) Modeling: develop, calibrate, and manage the application of linked airshed-watershed-estuarine hydrodynamic-water quality-living resources models to support the LISS for understanding the nutrient and sediment affects in the Long Island Sound estuary system and (6) Research: investigate causes and effects of eutrophication on water quality and living marine resources; study factors affecting abundance and distribution of submerged aquatic vegetation, food web dynamics, and tidal wetland loss. Fiscal Year 2013: Grants and cooperative agreements awarded to states and local governments, colleges, universities, interstate agencies and nonprofit organizations are for nonpoint source implementation, scientific research, water quality monitoring and modeling, public education and outreach, living resources management, habitat restoration and program support. Examples are listed as follows: (1) Hypoxia: sustain and accelerate efforts to meet the LISS nutrient reduction goals in the nitrogen Total Maximum Daily Load to attain water quality conditions necessary to support the living resources of the Sound; (2) Habitat Restoration: restore and protect living resources, their habitats and ecological relationships; (3) Water Quality Monitoring: collect water quality samples at monitoring stations in Long Island Sound and interpret data on Long Island Sound and embayments. (4) Communication/Outreach: Provide communication, outreach and education components of the LISS partnership; (5) Modeling: develop, calibrate, and manage the application of linked airshed-watershed-estuarine hydrodynamic-water quality-living resources models to support the LISS for understanding the nutrient and sediment affects in the Long Island Sound estuary system and (6) Research: investigate causes

and effects of eutrophication on water quality and living marine resources; study factors affecting abundance and distribution of submerged aquatic vegetation, food web dynamics, and tidal wetland loss. Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement.

66.439 TARGETED WATERSHEDS GRANTS

FEDERAL AGENCY:

Office of Water, Environmental Protection Agency

AUTHORIZATION:

Consolidated Appropriations Act 2005, Public Law 108-447; Dept. of Interior, Environmental and Related Agencies Appropriations Act, 2006, Public Law 109-54; Continuing Appropriations Resolution 2007, Public Law 110-5; Consolidated Appropriations Act 2008, Public Law 110-161.

OBJECTIVES:

To support innovative, community-based watershed approaches aimed at preventing, reducing, or eliminating water pollution. The Targeted Watersheds Grant Program provides resources in the form of grants or cooperative agreements to support watershed organizations in their efforts to expand and improve existing water protection measures. In separate competitive announcements funds are awarded to assist watershed partnerships comprised of State, Tribal, local, and interstate agencies, and public or nonprofit organizations in developing, implementing, and demonstrating: 1) on-the-ground projects to improve or maintain water quality; and 2) organizational and technical capacity building projects to prime organizations to be in a position to implement on-the-ground watershed projects. Funding Priority - Fiscal Year 2013: To build upon the existing activities of watershed organizations to engage communities in capacity building activities to foster an increased connection, understanding, and ownership of their waters.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funds are used to support both on-the-ground and educational activities relating to the prevention, reduction, and elimination of water pollution. Funds are awarded to eligible entities that best meet the selection criteria described in the Request for Proposals. Successful candidates will have a thorough knowledge of their watershed, have a watershed management plan in place, propose a specific project to address identified problems or barriers to water quality, demonstrate broad-based support from a number of public and private entities, and have a demonstrated record of managing watershed project(s). Eligible activities should be able to show tangible outputs and outcomes within a relatively short time period of two to five years. For implementation grants, candidates must also have a specific water quality monitoring and evaluation plan demonstrating measurable environmental outputs and outcomes, and a strong peer and/or public outreach and education component. EPA has chosen to declare certain projects or activities ineligible for funding. These include activities required or regulated under the CWA. For example, activities for the development of Total Maximum Daily Loads (TMDLs) and Phase II Stormwater projects will not be funded. Activities implementing the non-regulatory component of TMDLs (e.g., the elements of a watershed plan that address non-point source pollution), however, are eligible. Specific uses and restrictions on funds will be described in detail in the competitive announcements. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>. Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

States, local governments, public and private nonprofit institutions/organizations, federally recognized Indian tribal governments, U.S. territories or possessions, and interstate agencies are eligible. For-profit commercial entities and all federal agencies are ineligible. Nonprofit organizations described in Section 501 (c)(4) of the Internal Revenue Code that engage in lobbying activities as defined in Section 3 of the Lobbying Disclosure Act of 1995 are not eligible. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

Anyone/General Public.

Credentials/Documentation:

OMB Circular No. A-87 applies to this program. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applicants will be required to submit a formal grant application. The standard application forms as furnished by the Federal agency and required by OMB Circular No. A-110 and A-102 must be used for this program. EPA requires final applications to be made on Standard Form 424. Requests for application kits must be submitted to the Environmental Protection Agency, Grants and Interagency Agreements Management Division, 1200 Pennsylvania Avenue, N.W., Mail Code 3903R, Washington, DC 20460 or through the appropriate EPA Regional Office listed in Appendix IV of the Catalog. Additional information on the EPA grant package can be found at: http://www.epa.gov/ogd/grants/how_to_apply.htm. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Regional or Headquarters review will typically be completed within approximately 120 - 150 days following the deadline for submissions.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
Matching Requirements: This program has no statutory formula. Applicants

are required to demonstrate a minimum non-federal match of 25% of the total cost of the project or projects. Match may be cash or in-kind consistent with the regulation governing match requirements (40 CFR 31.24 or 40 CFR 30.23). Tribes and tribal watershed groups may be exempt from this match requirement if they are constrained to such an extent that fulfilling the match requirement would impose undue economic hardship.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The term of the grant shall be determined at the time of grant award. See the following for information on how assistance is awarded/released: The method of fund disbursement will be determined at the time of award.

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Agreements may require quarterly, interim, and final progress reports, and expenditure/financial, equipment, and invention reports. Specific reporting requirements are also identified in the Grant Regulations at 40 CFR Part 30 and 40 CFR Part 31, as applicable. Program reports are required under this program. Cash reports are required under this program. Progress reports are required under this program. Expenditure reports are required under this program. Performance monitoring is required under this program.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Financial records, including all documents to support entries on accounting records and to substantiate changes to each grant must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained until expiration of three years from the date of submission of the final expenditure report. If questions still remain, such as those raised as a result of an audit, related records should be retained until the matter is completely resolved.

Account Identification:

68-0103-0-1-304.

Obligations:

(Cooperative Agreements) FY 12 \$0; FY 13 est \$0; and FY 14 est \$0 - National Targeted Watersheds Grants Program: FY 12 \$0; FY 13 est. \$0; and FY 14 est. \$0. Western Estuaries Initiative: FY 12 \$0; FY 13 est. \$0; and FY 14 est. \$0. Targeted Watersheds Urban Waters Initiative: FY 12 \$0; FY 13 est. \$0; FY 14 est. \$0.

Range and Average of Financial Assistance:

National Targeted Watersheds Grant Program: \$400,000 to \$900,000/fiscal year; \$650,000/fiscal year.

Western Estuaries Initiative: \$90,000 to \$280,000/fiscal year; \$185,000/fiscal year.

Targeted Watersheds Urban Waters Initiative: \$600,000/fiscal year.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Not applicable as no funding will be provided in FY 12.
Fiscal Year 2013: Not applicable as no funding will be provided in FY 13.
Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

For grants and agreements with States, local governments and Indian tribal governments, the procedures and requirements should be in conformance with

40 CFR Part 31 "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments", 40 CFR Part 35, "Environmental Program Grants-State, Interstate, and Local Governments Agencies" and OMB Circular Nos. A-87 "Cost Principles for State and Local Governments" and A-102, "Grants and Cooperative Agreements for States and Local Governments." For grants and agreements with other entities the procedures should be in conformance with 40 CFR Part 30, "Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-Profit Organizations."

Regional or Local Office:

See Regional Agency Offices. Contact the appropriate EPA Regional Office listed below.

Region I: Connecticut, Maine, Massachusetts, Rhode Island, Vermont, New Hampshire; Contact: Rob Adler telephone 617-918-1396; e-mail adler.robert@epa.gov.

Region II: New Jersey, New York, Puerto Rico, U.S. Virgin Islands; Contact: Cyndy Kopitsky, telephone 212-637-3832; e-mail kopitsky.cyndy@epa.gov.

Region III: Delaware, Maryland, Pennsylvania, Virginia, West Virginia, Washington, DC; Contact: Ralph Spagnolo, telephone 215-814-2718; e-mail spagnolo.ralph@epa.gov.

Region IV: Alabama, Florida, Georgia, Mississippi, North Carolina, South Carolina, Kentucky, Tennessee; Contact: Maryjo Bragan, telephone 404-562-9275; e-mail bragan.maryjo@epa.gov.

Region V: Illinois, Indiana, Michigan, Minnesota, Ohio, Wisconsin; Contact: Paul Thomas, telephone 312-886-7742; e-mail thomas.paul@epa.gov.

Region VI: Louisiana, Texas, Oklahoma, Arkansas, New Mexico; Contact: Brad Lamb, telephone 214-665-6683; e-mail lamb.brad@epa.gov.

Region VII: Iowa, Kansas, Missouri, Nebraska; Contact: Mandy Whitsitt, telephone 913-551-7311; e-mail whitsitt.mandy@epa.gov.

Region VIII: Colorado, Montana, North Dakota, South Dakota, Utah, Wyoming; Contact: Stacey Eriksen, telephone 303-312-6692, e-mail eriksen.stacey@epa.gov.

Region IX: Arizona, California, Hawaii, Nevada, American Samoa, Mariana Islands, Guam; Contact: Ephraim Leon-Guererro, telephone 415-972-3444; e-mail leon-guererro.ephraim@epa.gov.

Region X: Alaska, Idaho, Oregon, Washington; Contact: Bevin Horn, telephone 206-553-1566; e-mail horn.bevin@epa.gov.

Headquarters Office:

Felicia Palmer-Greene Office of Wetlands, Oceans, and Watersheds, U.S. EPA, Room 7410, EPA West, 1200 Pennsylvania Avenue NW., Washington, District of Columbia 20460 Email: Palmer-Greene.Felicia@epa.gov Phone: 202-564-5382

Website Address:

http://water.epa.gov/grants_funding/twg/initiative_index.cfm

RELATED PROGRAMS:

66.419 Water Pollution Control State, Interstate, and Tribal Program Support;
66.454 Water Quality Management Planning

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Grants and cooperative agreements have been awarded to watershed organizations for watershed restoration and protection projects, such as implementing agricultural best practices, conducting streambank restoration, establishing pollutant credits, and demonstrating innovative nutrient management schemes. Fiscal Year 2013: Not applicable as no funding will be provided in FY 13. Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA

description will be described in the competitive announcement.

66.440 URBAN WATERS SMALL GRANTS

FEDERAL AGENCY:

Office of Water, Environmental Protection Agency

AUTHORIZATION:

Clean Water Act, Section 104(b)(3), Public Law 95-217, 33 U.S.C 1251; National Environmental Policy Act, U.S.C 102(2)(F).

OBJECTIVES:

The objective of EPA's Urban Waters Program is to protect and restore America's urban waterways. EPA's funding priority is to achieve the goals and commitments established in the Agency's Urban Waters Strategic Framework (<http://www2.epa.gov/urbanwaters/urban-waters-strategic-framework>). This program has an emphasis on engaging communities with environmental justice concerns. The objective of the Urban Waters Small Grants is to fund projects that will foster a comprehensive understanding of local urban water issues, identify and address these issues at the local level, and educate and empower the community. In particular, the Urban Waters Small Grants seek to help restore and protect urban water quality and revitalize adjacent neighborhoods by engaging communities in activities that increase their connection to, understanding of, and stewardship of local urban waterways. Funding Priority - Fiscal Year 2013: In FY 2013, EPA's funding priority for Urban Waters Small Grants is to fund projects that improve water quality by conducting or promoting the coordination and acceleration of research, investigations, experiments, training, demonstrations, surveys, and studies relating to the causes, effects, extent, prevention, reduction, and elimination of water pollution. Implementation projects are not eligible for funding.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Grants and cooperative agreements are available to support recipients' allowable direct costs in approved scopes of work plus allowable indirect costs, in accordance with established EPA policies and regulations. Funding awarded for research does not include research within the purview of EPA's Office of Research and Development. The funds will support conducting and promoting the coordination and acceleration of research, investigations, experiments, training, demonstrations, surveys, and studies relating to the causes, effects (including health and welfare effects), extent, prevention, reduction, and elimination of water pollution. Specific uses and restrictions on funds will be described in detail in the competitive announcements. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>. Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

Assistance under the Urban Waters Small Grants is generally available to States, local governments, territories, Indian Tribes, and possessions of the U.S. (including the District of Columbia), public and private universities and colleges, public or private nonprofit institutions, intertribal consortia, and interstate agencies. Nonprofit organizations described in Section 501(c)(4) of the Internal Revenue Code that engage in lobbying activities as defined in Section 3 of the Lobbying Disclosure Act of 1995 are not eligible to apply. An intertribal consortium must meet the definition of eligibility in the Environmental Program Grants for Tribes Final Rule, at 40 CFR 35.504 (66 FR 3782, January 16, 2001) (FRL-6929-5) and be a non-profit organization within the meaning of OMB Circular A-122. The OMB Circular A-122 is available at http://www.whitehouse.gov/omb/circulars_a122_2004/. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent

with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

Residents of urban areas adversely impacted by water pollution, State and local governments, U.S. territories and possessions, Indian Tribes, other public and private nonprofit institutions, intertribal consortia, and interstate agencies.

Credentials/Documentation:

EPA may request that applicants demonstrate their eligibility. Documentation of nonprofit status may be required. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

EPA awards grants and cooperative agreements supporting surveys, studies, and investigations based on competitive applications submitted in response to EPA solicitations, considering relevance and likelihood of success of proposed projects. Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. The standard application forms, as furnished by the Federal agency and required by OMB Circular No. A-102 and A-110, must be used for this program. EPA requires final applications to be made on Standard Form 424. Requests for application kits must be submitted to the Environmental Protection Agency, Grants and Interagency Agreements Management Division, 1200 Pennsylvania Avenue, N.W., Mailcode (3903R), Washington, DC 20460 or through the appropriate EPA Regional Office listed in Appendix IV of the Catalog. Additional information on the EPA grant package can be found at: http://www.epa.gov/ogd/grants/how_to_apply.htm. For competitive awards, Requests for Initial Proposals or Requests for Applications will specify application procedures. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 180 days.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
Matching Requirements: Matching requirements, if any, will be described in the competitive announcement.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

EPA may negotiate the project period with each applicant based on project requirements. The term of the grant shall be determined at the time of grant awarded. See the following for information on how assistance is awarded/released: The method of fund disbursement will be determined at the time of award.

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Agreements may require quarterly, interim, and final progress reports, and expenditure/financial, equipment, and invention reports. Reporting requirements are also identified in the Grant Regulations at 40 CFR Part 30 and 40 CFR Part 31. Program reports are required under this program. Cash reports are required under this program. Progress reports are required under this program. Expenditure reports are required under this program. Performance monitoring is required under this program.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

The record retention requirements of 40 CFR Part 30 (non-profits and universities) or 40 CFR Part 31 (governmental units) are applicable depending upon the identity of the recipient. Recipients must keep financial records, including all documents supporting entries on accounting records and to substantiate changes in grants, available to personnel authorized to examine EPA recipients grants and cooperative agreements records. Recipients must maintain all records until 3 years from the date of submission of final expenditure reports. If questions, such as those raised as a result of audits remain following the 3-year period, recipients must retain records until the matter is completely resolved.

Account Identification:

68-0108-0-1-304.

Obligations:

(Project Grants) FY 12 \$2,880,000; FY 13 est \$800,000; and FY 14 est \$800,000

Range and Average of Financial Assistance:

Range: \$40,000 to \$60,000/fiscal year; Average: \$50,000/fiscal year.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: In FY 12, 46 awards totaling approximately \$2.8 million were made for projects that seek to help restore and protect urban water quality and revitalize adjacent neighborhoods by engaging communities in activities that increase their connection to, understanding of, and stewardship of local urban waterways. Fiscal Year 2013: In FY 13, 9 awards totaling approximately \$530,393 were made for projects that seek to help restore and protect urban water quality and revitalize adjacent neighborhoods by engaging communities in activities that increase their connection to, understanding of, and stewardship of local urban waterways. Competitive announcements for Urban Waters Small Grants are issued based on available funds and program priorities. The number of awards made is dependent on the announcement issued. The announcement includes the number of anticipated awards. Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Surveys, studies, investigations, demonstrations, and training grants and cooperative agreements are subject to EPA's General Grant Regulations (40 CFR Part 30 and 40 CFR Part 31). Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments and Indian Tribes (codified at 2 CFR Part 225), OMB Circular No. A-21 for educational

institutions (codified at 2 CFR Part 220), and OMB Circular No. A-122 for nonprofit institutions (codified at 2 CFR Part 230).

Regional or Local Office:

None.

Headquarters Office:

Ji-Sun Yi Office of the Assistant Administrator (Mail Code 4101M), Office of Water, U.S. Environmental Protection Agency, Washington, District of Columbia 20460 Email: yi.ji-sun@epa.gov Phone: (202) 566-0730

Website Address:

<http://www.epa.gov/urbanwaters>

RELATED PROGRAMS:

66.436 Surveys, Studies, Investigations, Demonstrations, and Training Grants and Cooperative Agreements - Section 104(b)(3) of the Clean Water Act

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Funded projects will help restore and protect urban water quality and revitalize adjacent neighborhoods by engaging communities in activities that increase their connection to, understanding of, and stewardship of local urban waterways. Examples of selected projects include youth education on watershed science and stewardship of urban waterways, water quality monitoring and studies, community engagement and outreach to promote best management practices in restoring urban waters, urban watershed assessments to develop a community action plan, and hands-on learning workshops to educate the public on the importance of urban waters. More information on specific projects selected for funding can be found at

<http://www2.epa.gov/urbanwaters/urban-waters-small-grants>. Fiscal Year 2013:

Funded projects will help restore and protect urban water quality and revitalize adjacent neighborhoods by engaging communities in activities that increase their connection to, understanding of, and stewardship of local urban waterways. Examples of selected projects include youth education on watershed science and stewardship of urban waterways, water quality monitoring and studies, community engagement and outreach to promote best management practices in restoring urban waters, urban watershed assessments to develop a community action plan, and hands-on learning workshops to educate the public on the importance of urban waters. More information on specific projects selected for funding can be found at

<http://www2.epa.gov/urbanwaters/urban-waters-small-grants>. Fiscal Year 2014:

No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement. Competitive applications and proposals will be selected in accordance with announced criteria.

66.454 WATER QUALITY MANAGEMENT PLANNING

(205(j)(2)) or 604(b)

FEDERAL AGENCY:

Office of Water, Environmental Protection Agency

AUTHORIZATION:

Clean Water Act, Section 205(j)&604(b), Public Law 97-117; Water Quality Act of 1987, Public Law 100-4; American Recovery and Reinvestment Act of 2009, Public Law 111-5.

OBJECTIVES:

To assist States (including territories and the District of Columbia), Regional Public Comprehensive Planning Organizations (RPCPOs), and Interstate Organizations (IOs) in carrying out water quality management (WQM) planning. Funding Priority - Fiscal Year 2013: Funds are allotted by state in accordance with Section 604(b) of the Clean Water Act. Grant funds are used to determine the nature and extent of point and non-point source water pollution and to develop water quality management plans. States are encouraged to give priority to watershed restoration planning. EPA is requesting states to emphasize a watershed approach in developing their state workplans. This will complement the Agency's overall watershed effort as stated in the Agency's Strategic Plan.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Section 604(b) funds are awarded under Section 205(j)(2) to State water quality management agencies to carry out WQM planning. Pursuant to Section 205(j)(3) of the CWA, as amended, States are required to allocate at least 40 percent of funds awarded under 205(j)(2) to eligible RPCPOs and IOs. EPA may approve a State's request to pass through less than 40 percent if, after consultation with its RPCPOs and IOs, the Governor determines that pass through of at least 40 percent will not: (1) result in significant participation by RPCPOs and IOs in WQM planning; and (2) significantly assist in development and implementation of the state's WQM plan. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>. Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

State Water Quality Management Agencies.

Beneficiary Eligibility:

State Water Quality Management Agencies.

Credentials/Documentation:

Compliance with 40 CFR 31. Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

The standard application forms, as furnished by the Federal agency and required by OMB Circular No. A-102, must be used for this program. Neither environmental impact statements nor environmental impact assessments are required for activities funded under Section 205(j) and 604(b) since these funds are used for water quality planning and not implementation. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. The grant application must be submitted to the appropriate EPA Regional Administrator's Office. This program is excluded from coverage under OMB Circular No. A-110. The standard application forms, as furnished by the Federal agency and required by OMB Circular No. A-102, must be used for this program. EPA requires final applications to be made on Standard Form 424. Requests for application kits must be submitted to the Environmental Protection Agency, Grants and Interagency Agreements Management Division, 1200 Pennsylvania Avenue, N.W., Mailcode (3903R), Washington, DC 20460 or through the appropriate EPA Regional Office listed in Appendix IV of the Catalog. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA. Additional information on the EPA grant package can be found at: http://www.epa.gov/ogd/grants/how_to_apply.htm.

Award Procedure:

Grant applications are reviewed by the appropriate EPA Regional Office and, if approved, the funds are awarded by the Regional Administrator.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 45 days.

Appeals:

Disputes will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title Clean Water Act. Each fiscal year, the Administrator shall reserve under Section 604(b) of the CWA for each State an amount not to exceed one percent of the amount allotted and available for obligation for Clean Water State Revolving Fund or \$100,000, whichever is greater, for the purposes of making grants to the States to carry out water quality management planning. Under Section 205(j)(3), at least 40 percent of a State's annual award under 205(j)(2) must be allocated to eligible RPCPOs and IOs, unless EPA approves a lesser amount.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

As determined in accordance with Section 604(c)(1) of the Clean Water Act (CWA). Sums allotted to a State under this section for a fiscal year shall be available for EPA's obligation to the State during the fiscal year for which sums are authorized and during the following fiscal year. Recovery Act funds were available for EPA's obligation to the State until September 30, 2010. See the following for information on how assistance is awarded/released: The method of fund disbursement will be determined at the time of award.

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Specific reporting requirements are also identified in the Grant Regulations at 40 CFR Part 30 and 40 CFR Part 31, as applicable. For Recovery Act funds, funding reports must be submitted in a prescribed format within 10 calendar days after the end of each calendar quarter, beginning October 10, 2009. These reports include data elements as prescribed by Public Law 111-5, Section 1512 and OMB Guidance. Program reports are required under this program. Cash reports are required under this program. Progress reports are required under this program. Expenditure reports are required under this program. Performance monitoring is required under this program.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Financial records, including all documents to support entries on accounting records and to substantiate changes to each grant, must be kept available to personnel authorized to examine EPA grant accounts. All records kept for 3 years from date of submission of the annual financial status report. If questions remain, records retained until matter is completely resolved.

Account Identification:

68-0103-0-1-304; 68-0102-0-1-304.

Obligations:

(Formula Grants) FY 12 \$15,419,000; FY 13 est \$14,131,000; and FY 14 est \$11,718,000

Range and Average of Financial Assistance:

Range: \$100,000 to \$2,200,000/fiscal year; Average: \$369,321/fiscal year. Recovery Act funds - Range: \$100,000 to \$4,369,100/fiscal year; Average: \$708,786/fiscal year.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Section 604(b) funding provides the financial source for

States and territories to pursue water quality planning under Sections 205(j) and 303(e) of the Clean Water Act. This program provides a planning framework to support watershed restoration and protection activities. In FY 12, a total of 56 grants were awarded to States and territories to support water quality planning activities. Outputs included new/updated water quality management plans, new/revised water quality standards, Total Maximum Daily Loads (TMDLs), river planning projects, ambient monitoring data to support water quality assessments, and integrated 304(b)/303(d) reports. Fiscal Year 2013: Section 604(b) funding provides the financial source for States and territories to pursue water quality planning under Sections 205(j) and 303(e) of the Clean Water Act. This program provides a planning framework to support watershed restoration and protection activities. In FY 13, a total of 56 grants were awarded to States and territories to support water quality planning activities. Outputs included new/updated water quality management plans, new/revised water quality standards, Total Maximum Daily Loads (TMDLs), river planning projects, ambient monitoring data to support water quality assessments, and integrated 304(b)/303(d) reports. Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

EPA General Grant Regulations and Procedures, 40 CFR Part 31 and 40 CFR Part 35, Subpart A.

Regional or Local Office:

See Regional Agency Offices. Contact appropriate EPA Regional Office listed in Appendix IV of the Catalog.

Headquarters Office:

Meghan Klasic Assessment and Watershed Protection Division (4503T)
Office of Wetlands, Oceans, and Watersheds
Office of Water, USEPA
1200 Pennsylvania Avenue, NW, Washington, District of Columbia 20460
Email: klasic.meghan@epa.gov Phone: (202) 564-8221 Fax: (202) 566-1331

Website Address:

<http://water.epa.gov/aboutow/owow/funding.cfm>

RELATED PROGRAMS:

66.419 Water Pollution Control State, Interstate, and Tribal Program Support

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Grants are allocated to States for: 1) development of water quality management plans, watershed plans, and waste load allocations for impaired waterbodies; 2) reviews and revisions of water quality standards; and 3) collection and analysis of ambient monitoring data. Fiscal Year 2013: Grants are allocated to states for: 1) development of water quality management plans; 2) development of watershed plans; 3) development of waste load allocations for impaired waterbodies; 4) reviews and revisions of water quality standards; and 5) collection and analysis of ambient monitoring data. Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Funds are awarded to state agencies in accordance with Sections 205(j) and 303(e) of the Clean Water Act, 40 CFR 130.5-6, and EPA program guidance.

66.456 NATIONAL ESTUARY PROGRAM

(NEP)

FEDERAL AGENCY:

Office of Water, Environmental Protection Agency

AUTHORIZATION:

Clean Water Act, Title 3, Section 320, Public Law 94-117, 33 U.S.C 466; Clean Water Act, Title 3, Section 320, Public Law 106-457, 33 U.S.C 466.

OBJECTIVES:

The National Estuary Program (NEP) goal is to protect and restore the water quality and estuarine resources of estuaries and associated watersheds designated by the EPA Administrator as estuaries of national significance. The NEPs work to implement estuarine ecosystem-based management; for example, each NEP characterizes the priority problems in its estuary and surrounding watershed, develops a Comprehensive Conservation and Management Plan listing and describing actions to address those problems, and identifies partners, including lead entities, to implement the actions. Implementation of those long-term plans can include the following actions: protecting and restoring

habitat, including wetlands; supporting water quality protection and restoration, including Total Maximum Daily Load (TMDL) plan implementation; monitoring for, assessing the extent of toxics loadings and pathogen contamination, and taking steps to address excess loadings and contamination; implementing stormwater management and reducing non-point source pollution impacts; preventing the spread of aquatic invasive species and/or managing their impacts; developing and implementing nutrient reduction strategies; developing and implementing climate change adaptation strategies and tools; and developing and implementing strategies to provide opportunities for residents of urban minority and/or under-served communities to have greater access to urban waters, participate in urban ecosystem restoration, and participate in capacity-building/educational activities. Funding Priority - Fiscal Year 2013: EPA's funding priority is to award assistance agreements that support the 28 National Estuary Programs' (NEPs) efforts to address their estuarine watersheds' priority problems identified in each of the 28 long-term management plans known as Comprehensive Conservation and Management Plans (CCMPs). EPA will provide focused support for NEPs to address priority problems identified by NEP management conferences and to document accomplishments and environmental results. NEP efforts address such problems as: (1) impacts on estuarine water quality and living resources of nutrients, toxics (chemical, heavy metals), pathogen contamination, and sediment attributable to excess loadings and non-point stormwater runoff; e.g., excess nutrient loadings can result in nutrient over-enrichment and hypoxic conditions in estuarine water bodies; (2) habitat loss/degradation; (3) need to build regional, local, and tribal officials' capacity to address climate change impacts on estuarine watersheds and to promote community resilience; activities that build capacity include conducting vulnerability assessments of communities and local development and implementation of climate adaptation strategies and programs; 4) need to build the capacity of local public officials and managers in estuarine communities to implement sustainable land use, green infrastructure, and low-impact development best practices.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Section 320 authorizes issuance of assistance agreements used to meet Section 320 requirements to develop and implement CCMPs. Annual workplans, which address priority actions in the CCMPs, lay out projects to be undertaken during the fiscal year. They are developed and approved by each management conference. Twenty-eight estuaries have been designated as estuaries of national significance, including: Long Island Sound, Puget Sound, Buzzards Bay, Narragansett Bay, New York-New Jersey Harbor, Delaware Bay, Delaware Inland Bays, Sarasota Bay, Galveston Bay, Santa Monica Bay, Albemarle-Pamlico Sounds, San Francisco Estuary, Casco Bay, Massachusetts Bays, Indian River Lagoon, Tampa Bay, Peconic Bay, San Juan Bay, Coastal Bend Bays, Tillamook Bay, the Barataria-Terrebonne Estuarine Complex, New Hampshire Estuaries, Barnegat Bay, Maryland Coastal Bays, Charlotte Harbor, Mobile Bay, Morro Bay, and Columbia River Estuary. NEPs and their partners carry out such actions as: (1) protecting and restoring habitat; (2) identifying research needs and conducting research as needed to obtain new data to address priority problems; (3) monitoring estuarine conditions to detect changes in water quality; and (4) providing technical assistance to States and local communities to address impacts from nutrient over-enrichment, stormwater, sedimentation, toxics, pathogens, and aquatic invasive species and to develop climate change adaptation strategies and tools. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

Assistance agreements are issued only to those estuaries designated by the Administrator. The Administrator is authorized to make grants to State, interstate, and regional water pollution control agencies and entities; State coastal zone management agencies; interstate agencies; and other public and private nonprofit agencies, institutions, organizations, and individuals (Section 320(g)(1)). Profit making organizations are not eligible for grants. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

Anyone/General Public.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments, OMB Circular No. A-21 for educational institutions, and OMB Circular No. A-122 for nonprofit organizations. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication assistance may be obtained from the appropriate EPA Regional Office. Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. All work plans should be developed in response to the priority tasks identified in the management conference annual work plan. Work plans should also be developed in response to negotiated schedules for commitments to meet the purpose of a management conference outlined in the Act for the estuary. Each work plan will be considered by the appropriate management conference and the EPA Regional program. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. The standard application forms, as furnished by the Federal agency and required by OMB Circular No. A-102, must be used for this program. EPA requires final applications to be made on Standard Form 424. Requests for application kits must be submitted to the Environmental Protection Agency, Grants and Interagency Agreements Management Division, 1200 Pennsylvania Avenue, N.W., Mailcode (3903R), Washington, DC 20460 or through the appropriate EPA Regional Office listed in Appendix IV of the Catalog. Additional information on the EPA grant package can be found at: http://www.epa.gov/ogd/grants/how_to_apply.htm. The completed application, including the final proposal approved by the estuary management committee, should be submitted to the appropriate Regional program office for processing. This program is subject to the provisions of OMB circular No. A-110. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

Each application is reviewed by EPA Regional Offices to determine the adequacy of the application under grant regulations and National Estuary Program objectives, including technical merit and relevance of the project. Awards are issued by the EPA Regional Offices after approval by the appropriate Division Director. For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 90 days after deadline for application submission.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

Renewals are subject to approval of the individual estuary management organization. Contact the appropriate EPA Regional office for guidance.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Public Law 106-457, which amended Section 320(g) of the Water Quality Act of 1987, limits the amount of grants to 75 percent of the aggregate CCMP development project costs for each estuary program and to 50 percent of the aggregate CCMP implementation project costs for each estuary program, and requires that the nonfederal share be provided from nonfederal sources. The nonfederal share can be contributed by any of the participants in the management conference. Contact the appropriate management conference project office or EPA Regional Office for more information.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Assistance agreements are typically funded on a 12-month basis (yearly). Project period may cover up to 36 months, with continuations possible for certain types of projects. Payments will be on a letter of credit or advance basis. See the following for information on how assistance is awarded/released: The method of fund disbursement will be determined at the time of award.

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements and in the annual NEP Funding Guidance. Agreements may require quarterly, interim, and final progress reports, and expenditure/financial, equipment, and invention reports. Specific reporting requirements are also identified in the Grant Regulations at 40 CFR Part 30 and 40 CFR Part 31, as applicable. Program reports are required under this program. Cash reports are required under this program. Progress reports are required under this program. Expenditure reports are required under this program. Performance monitoring is required under this program.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Financial records, including all documents to support entries on accounting records and to substantiate changes to each grant, must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained until expiration of 3 years from the date of submission of the final expenditure report. If questions still remain, such as those raised as a result of audit, related records should be retained until the matter is completely resolved.

Account Identification:

68-0108-0-1-304.

Obligations:

(Project Grants) FY 12 \$16,716,100; FY 13 est \$14,336,000; and FY 14 est \$16,800,000

Range and Average of Financial Assistance:

For FY 12, each of the NEPs received base funding in the amount of \$597,000. For FY 13, each of the NEPs will receive base funding in the amount of \$512,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Maintaining high-quality habitat is one way of ensuring the health of the nation's estuaries. Since 2000, the NEPs and their partners have protected and restored over 1.6 million acres of habitat in the 28 NEP estuarine watersheds. The acreage protected and restored by the NEPs and their partners enhances the 28 NEP's capacity to support healthy populations of wildlife and near-coastal and marine organisms, including many commercially-valuable shellfish and finfish. That acreage also enhances the 28 estuarine watersheds' capacity to support the economic and ecosystem services and aesthetic qualities provided by estuarine environments and on which coastal populations depend for their livelihoods and well-being. In FY 12, the NEPs and their partners protected and restored 114,579 acres of estuarine habitat in their estuarine watersheds. Fiscal Year 2013: In FY 13, grants will support the NEP's CCMP implementation by funding NEP efforts that include: protecting and restoring up to 100,000 acres of estuarine habitat; protecting and restoring estuarine water quality in NEP study areas and supporting core Clean Water Act programs like those that target stormwater, excess nutrients, and pathogens; conducting vulnerability assessments and/or implementing climate adaptation strategies in over 50% of NEP study areas and collaborating with other EPA programs and with agencies like the National Oceanic and Atmospheric Administration to build regional, local, and tribal coastal community resilience to impacts of climate change on coastal ecosystems, public health, and economies; and building local capacity to reach out to and involve urban community residents who typically may not have had access to water bodies in NEP study areas nor have been actively engaged in urban water body protection and restoration. Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

General Grant Regulations and Procedures (40 CFR 30 and 40 CFR 31). Federal Register, Vol. 59, 61124, November 29, 1994, Technical Amendment Financial Assistance Requirements for the National Estuary Program (40 CFR, Part 35). Saving Bays and Estuaries, a Primer for Establishing and Managing Estuary Projects, EPA/503/8-89-001, is available from the Oceans and Coastal Protection Division, Office of Wetlands, Oceans, and Watersheds (4504T) EPA, 1200 Pennsylvania Ave., NW., Washington, DC 20460. Forms and instructions for filing are included in an application kit available from the Grants and Interagency Agreements Management Division (3903R), Office of Grants and Debarment, EPA, 1200 Pennsylvania Ave., NW., Washington, DC. 20460.

Regional or Local Office:

See Regional Agency Offices. Office of Ecosystem Protection, EPA, Region I, 1 Congress Street, Boston, MA 02114-2023; Telephone:(617) 918-1511. Division of Environmental Planning and Protection, EPA, Region II, 290 Broadway, New York, NY 10007-1866; Telephone:(212) 637-3724. Water Protection Division, EPA, Region III, 1650 Arch Street, Philadelphia, PA 191103-2029; Telephone:(215)814-2989. Water Management Division, EPA, Region IV, 61 Forsyth St., S.W., Atlanta, GA 30303; Telephone:(404) 562-9345. Water Quality Protection Division, EPA, Region VI, 1445 Ross Ave., Dallas, TX 75202-2733; Telephone:(214) 665-7101. Water Division, EPA, Region IX, 75 Hawthorne Street, San Francisco, CA 94105; Telephone: (415)744-1860. Office of Ecosystems, Tribal, and Public Affairs; and Office of Water & Watersheds, EPA Region X, 1200 Sixth Ave., Seattle, WA 98101; Telephone:(206) 553-4181.

Headquarters Office:

Bernice L. Smith, Coastal Management Branch (4504T), Oceans and Coastal Protection Division, Office of Wetlands, Oceans, and Watersheds, EPA, Washington, District of Columbia 20460 Email: smith.bernicel@epa.gov Phone: (202) 566-1244.

Website Address:

<http://water.epa.gov/type/oceb/nep/index.cfm>

RELATED PROGRAMS:

66.419 Water Pollution Control State, Interstate, and Tribal Program Support; 66.454 Water Quality Management Planning; 66.458 Capitalization Grants for Clean Water State Revolving Funds; 66.461 Regional Wetland Program

Development Grants; 66.463 Water Quality Cooperative Agreements

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Assistance agreements awarded to States, interstate agencies, academic institutions and other nonprofit organizations to implement NEP Comprehensive Conservation and Management Plans (CCMPs). Activities associated with CCMP implementation include those that: (1) protect and restore water quality by conducting monitoring, assessing water quality conditions, implementing best management practices that help restore degraded waters to healthy conditions, and reducing loadings of pollutants like nutrients and toxics to estuarine water bodies; (2) protect and restore habitat and living resources by implementing local stormwater management programs, eradicating invasive species, removing dams that obstruct fish passage, and providing technical assistance on low-impact development practices that reduce sediment loadings to water bodies; (3) conduct climate change vulnerability assessments and develop and implement climate adaptation strategies; (4) revise CCMPs to reflect new local priorities; (5) develop annual work plans and design and maintain systems to track and report on priority action implementation, deliverables, and environmental results; (6) evaluate and report on CCMP implementation progress and environmental results; and (7) reach out to and build local capacity of urban community residents to participate in estuarine urban waters protection and restoration; those residents may not have had access to water bodies in NEP study areas nor have been actively engaged in urban water body protection and restoration. Fiscal Year 2013: Assistance agreements awarded to states, interstate agencies, academic institutions and other nonprofit organizations to implement NEP Comprehensive Conservation and Management Plans (CCMPs). Activities associated with CCMP implementation include those that: (1) protect and restore water quality by conducting monitoring, assessing water quality conditions, implementing best management practices that help restore degraded waters to healthy conditions, and reducing loadings of pollutants like nutrients and toxics to estuarine water bodies; (2) protect and restore habitat and living resources by implementing local stormwater management programs, eradicating invasive species, removing dams that obstruct fish passage, and providing technical assistance on low-impact development practices that reduce sediment loadings to water bodies; (3) conduct climate change vulnerability assessments and develop and implement climate adaptation strategies; (4) revise CCMPs to reflect new local priorities; (5) develop annual work plans and design and maintain systems to track and report on priority action implementation, deliverables, and environmental results; (6) evaluate and report on CCMP implementation progress and environmental results; and (7) reach out to and build local capacity of urban community residents to participate in estuarine urban waters protection and restoration; those residents may not have had access to water bodies in NEP study areas nor have been actively engaged in urban water body protection and restoration. Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement. Grants are awarded to the NEPs that satisfy the requirements outlined in the application procedure section.

66.458 CAPITALIZATION GRANTS FOR CLEAN WATER STATE REVOLVING FUNDS

(CW State Revolving Fund)

FEDERAL AGENCY:

Office of Water, Environmental Protection Agency

AUTHORIZATION:

American Recovery and Reinvestment Act of 2009, Public Law 111-5; Clean Water Act (CWA), as amended, Public Law 95-217; Water Quality Act of 1987, Section 601-607,205(m), Public Law 100-4.

OBJECTIVES:

To create State Revolving Funds (SRFs) through a program of capitalization grants to States which will provide a long term source of State financing for construction of waste water treatment facilities and implementation of other water quality management activities. Funding Priority - Fiscal Year 2013: Capitalization grants are available to each State for the purpose of establishing a Clean Water SRF for providing assistance for: (1) construction of publicly

owned waste water treatment works; (2) implementing nonpoint source management activities included in State Plans developed pursuant to Section 319; and (3) developing and implementing an estuary comprehensive conservation and management plan under Section 320. The capitalization grant is deposited in the State's SRF, which is used to provide loans and other types of financial assistance, to local communities and intermunicipal and interstate agencies. Up to 4 percent of the grant amounts may be used by the States for the cost of administering the SRF. States determine priorities for funding within their State in accordance with the CWA. Similar to the American Recovery and Reinvestment Act (Recovery Act) of 2009, a portion of the FY 2013 funding must be used to provide additional subsidy in the form of grants, principal forgiveness or negative interest loans and a portion of funding must, also, be targeted toward green infrastructure, water and energy efficiency, and environmentally innovative projects. The program supports the Agency's strategic goal of ensuring Clean and Safe Water.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Capitalization grants are available to each State for the purpose of establishing a Clean Water SRF for providing assistance for: (1) construction of publicly owned wastewater treatment works; (2) implementing nonpoint source management activities included in State Plans developed pursuant to Section 319; and (3) developing and implementing an estuary conservation and management plan under Section 320. The capitalization grant is deposited in the state's SRF, which is used to provide loans and other types of financial assistance, but not grants, to local communities and intermunicipal and interstate agencies. Assistance may be provided to persons for implementing nonpoint source and estuary management activities provided for in State Plans developed under Sections 319 and 320. Up to 4 percent of the grant amounts may be used by the states for the cost of administering the SRF. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>. Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

States and Puerto Rico are eligible to receive capitalization grants under Title VI. Indian tribes are eligible to receive grants from Title VI for the construction of municipal wastewater facilities. The District of Columbia, territories, possessions of the U.S. are eligible to receive grants from Title VI for the construction of municipal wastewater facilities (see CFDA 66.418).

Beneficiary Eligibility:

For loans and other financial assistance (but not grants) for wastewater treatment facilities: local communities, intermunicipal, State, interstate agencies, and Indian tribes. For nonpoint source management programs and estuary activities in approved State Nonpoint Source Management Programs and Comprehensive Conservation and Management Plans: the public agencies listed above, individuals, and programs.

Credentials/Documentation:

To receive a capitalization grant, a State shall enter into an agreement with the EPA Regional Administrator which shall include, but not be limited to, the 10 specifications set forth in Section 602(b) of the CWA. OMB Circular No. A-87, "Cost Principles Applicable to Grants and Contracts with State and Local Governments" applies to State grantees. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

An applicant (State) should seek preapplication assistance from the appropriate EPA Regional Office. The State is required to prepare and provide for public comment a plan identifying the intended uses of the funds in the SRF (an intended use plan) and how those uses support the goals of the SRF. The plan is

to be submitted no later than the application. An environmental impact statement is not required prior to grant award; however, a State environmental review process must be applied to all subsequent state assistance for wastewater facilities. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. The standard application for EPA non-construction grant assistance (SF424-A and B) is submitted to the appropriate EPA Regional Office. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA. The State must certify in its application that it has the legal, managerial, technical, and operational capabilities to administer the SRF program competently and that it will comply with Federal anti-discrimination laws and other applicable Federal statutes. Regulation 40 CFR Part 31 applies to the State. Establishment of the SRF is a prerequisite for a grant award.

Award Procedure:

A grant application is reviewed by the appropriate Regional Office and, if approved, the grant is awarded by the Regional Administrator under a delegation of authority from the Administrator of EPA.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approval time averages 45 days.

Appeals:

Disputes will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

For those portions of the State program that do not change from year to year, a subsequent grant application may incorporate by reference relevant portions of the previous year's application which have not changed or an operating agreement approved by the region.

Formula and Matching Requirements:

Statutory Formula: Title Clean Water Act.

Matching Requirements: The Regional Administrator may award capitalization grants from funds appropriated for this purpose. Allotments to the States are determined by a table of decimal fractions ("formula") in Section 205(c)(3) of the CWA. The required State match is 20 percent of the amount of each Federal grant payment. For Recovery Act funds, the required State match of 20 percent of the amount of each Federal grant payment was waived.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Funds are available for EPA's obligation to the State during the fiscal year in which they are allotted and during the following year. Recovery Act funds were available for EPA's obligation to the State until September 30, 2010. The State must agree to enter into binding commitments with recipients to provide financial assistance from the SRF in an amount equal to 120 percent of each quarterly grant payment. The State is also required to agree to expend all funds in the SRF in an expeditious and timely manner. See the following for information on how assistance is awarded/released: The method of fund disbursement will be determined at the time of award.

Reports:

Beginning the first fiscal year after receiving payments, the State shall provide an annual report to the Regional Administrator in accordance with the schedule established in the grant agreement (generally not later than 90 days after the end of the fiscal year in which the payments were received). The annual report shall describe how the State has met the goals and objectives for the preceding fiscal year, as identified in its intended use plan, including identification of loan recipients, loan amounts, and loan terms and similar details on other forms of financial assistance provided from the SRF. For Recovery Act funds, States are

required to input loan information into the CWSRF Benefits Reporting (CBR) database within one week of finalizing the loan. For base funds, loan information is required to be put into CBR in the quarter in which the loan is made. CBR includes data elements as prescribed by Public Law 111-5, Section 1512 and OMB Guidance. Inputting loan data into CBR is required under this program. Cash reports are required under this program. Progress reports are required under this program. Expenditure reports are required under this program. Performance monitoring is required under this program.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. A State must, at a minimum, comply with the provisions of the Single Audit Act Amendments of 1996, and the OMB Circular No. A-133 and Compliance Supplement. States are also encouraged to conduct annual independent audits. State-conducted audits may be performed in conjunction with the Single Audit Act. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

As part of the annual review conducted by the agency to assess the State's performance against activities identified in the intended use plan and annual report, and to determine compliance with the terms of the capitalization grant agreement, the State or loan recipient shall make available to EPA such records as the Regional Administrator reasonably requires to review and determine State compliance with the requirements of the CWA.

Account Identification:

68-0102-0-1-304; 68-0103-0-1-304.

Obligations:

(Formula Grants) FY 12 \$1,423,498,500; FY 13 est \$1,376,082,000; and FY 14 est \$1,095,000,000 - Recovery Act funds - FY 12 \$0; FY 13 est. \$0; and FY 14 est. \$0.

Range and Average of Financial Assistance:

\$6,500,000 to \$147,000,000/fiscal year; \$26,000,000/fiscal year. Recovery Act funds - \$500,000 to \$175,000,000/fiscal year; \$28,000,000/fiscal year.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Each year, 51 grants are awarded to states and Puerto Rico. The program funds an estimated 10 to 20 percent of the nation's annual wastewater capital projects. The program has provided over \$95 billion in cumulative assistance since 1988. Assistance has included funding to prevent polluted runoff, upgrade wastewater treatment plants from secondary level treatment to advanced (tertiary) treatment to reduce pollutant loads, and improve water quality on a watershed basis. In FY 12, the program provided \$5.8 billion in assistance. This included \$1.6 billion for secondary treatment to reduce pollutant loads, \$1.4 billion to upgrade wastewater treatment plants from secondary level treatment to advanced (tertiary) treatment, and over \$1.9 billion to prevent polluted runoff. Fiscal Year 2013: Each year, 51 grants are awarded to states and Puerto Rico. Assistance in FY 13 will include funding to prevent polluted runoff, upgrade wastewater treatment plants from secondary level treatment to advanced (tertiary) treatment to reduce pollutant loads, and improve water quality on a watershed basis. Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Program guidance was issued January 28, 1988. A State Revolving Fund Management Manual was distributed in November, 1988. Regulations include 40 CFR Part 31 and SRF regulations 40 CFR Part 35, Subpart K which were issued in March 1990.

Regional or Local Office:

See Regional Agency Offices. EPA Regional Offices listed in Appendix IV of the Catalog.

Headquarters Office:

Sheila Platt, Environmental Protection Agency, State Revolving Fund Branch, Municipal Support Division, (4204M), Office of Wastewater Management, Washington, District of Columbia 20460 Email: platt.sheila@epa.gov Phone: (202) 564-0686.

Website Address:

http://water.epa.gov/grants_funding/cwsrf/cwsrf_index.cfm

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: State revolving loan fund; wastewater projects involving advanced treatment, secondary treatment, infiltration/inflow correction, replacement/upgrade of pipe and equipment and expansion of existing wastewater treatment plants; nonpoint source and estuary protection projects (for example, septic system rehabilitation, leaking underground storage tank removal, contaminated soil removal, agricultural BMPs, conservation easements, wetlands protection/rehabilitation, and prevention of agricultural runoff). Fiscal Year 2013: State revolving loan fund; wastewater projects involving advanced treatment, secondary treatment, infiltration/inflow correction, replacement/upgrade of pipe and equipment and expansion of existing wastewater treatment plants; nonpoint source and estuary protection projects (for example, septic system rehabilitation, leaking underground storage tank removal, contaminated soil removal, agricultural BMPs, conservation easements, wetlands protection/rehabilitation, and prevention of agricultural runoff). Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Grants are awarded to States that satisfy the requirements outlined in the application procedure section.

66.460 NONPOINT SOURCE IMPLEMENTATION GRANTS

(319 Program)

FEDERAL AGENCY:

Office of Water, Environmental Protection Agency

AUTHORIZATION:

Clean Water Act, Title 3, Section 319(h).

OBJECTIVES:

To assist States, the District of Columbia, American Samoa, Guam, Northern Marianas, Puerto Rico, Virgin Islands (hereinafter referred to as States), and qualified Indian Tribes and intertribal consortia in implementing EPA-approved Section 319 nonpoint source management programs. Funding Priority - Fiscal Year 2013: EPA's funding priority is to award grants that promote the development and implementation of watershed-based plans, focusing on watersheds with water quality impairments caused by nonpoint sources, which result in improved water quality in impaired waters. These watershed plans are a mechanism to coordinate monitoring and planning on a watershed basis and will build a foundation for effective implementation actions using federal and other funding. Nonpoint Source implementation projects include best management practice (BMP) installation for animal wastes, sediment, pesticide and fertilizer control, a variety of other structural and non-structural practices, watershed planning, monitoring, watershed coordinators, technology demonstration, and a variety of education/outreach programs, among others.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Funds are provided to eligible applicants to carry out nonpoint source projects and programs pursuant to Section 319 of the Clean Water Act as amended by the Water Quality Act of 1987. Requirements under Section 319(h) include: nonfederal matching funds of at least 40 percent of project cost (match is an overall grant requirement - the match requirement for an individual project may be waived and in-kind services may be used as match); maintenance of effort; grants may be used for financial assistance to individual persons in the case of demonstration projects only; annual reporting; and EPA determinations of adequate recipient progress before additional funding. Assistance agreement awards under this program may involve or relate to geospatial information.

Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>. Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

Eligible entities include States and qualified Indian Tribes and intertribal consortia who have approved nonpoint source assessment and management plans. To be qualified, Tribes must have treatment in a manner similar to a state (TAS) status for the 319 Program. Grants to States are awarded by formula. The State allotment is awarded to the agency in each State designated by the chief executive as the lead nonpoint source agency. The lead nonpoint source agency may distribute grant funds to other organizations in accordance with its work program, which is approved by EPA. Eligible Tribes are allocated a base allotment by formula; the remaining Tribal portion of the 319(h) appropriation is competed among qualified Indian Tribes and intertribal consortia. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

State and local governments; interstate and intrastate agencies; federally recognized Indian tribal governments; intertribal consortia; the following US territory or possessions: the District of Columbia, American Samoa, Guam, Northern Marianas, Puerto Rico, Virgin Islands; public and private nonprofit organizations and institutions. The lead nonpoint source agency may distribute grant funds to other organizations in accordance with a work program which is approved by EPA.

Credentials/Documentation:

The provisions of OMB Circular No. A-87 "Cost Principles for State, Local and Indian Tribal Governments" apply. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination with the appropriate EPA Regional Office, listed in Appendix IV of the Catalog, is recommended. The standard application forms, as furnished by the Federal agency and required by OMB Circular No. A-102, must be used for this program. Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Grant applications by States must be submitted to the appropriate EPA Regional Office (refer to Appendix IV of the Catalog for a list of Regional Offices). Grant applications by subgrantees within a State (after EPA has made a grant award to the State) must be submitted to the State agency that administers Section 319 grants. The list of State nonpoint source contacts for subgrantees is available at <http://water.epa.gov/polwaste/nps/where.cfm>. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

Grant applications must be submitted by the State to the appropriate EPA Regional Office to determine the overall adequacy of the application to meet

the statutory objectives. Funds are awarded to States by formula. Award procedure by States to subgrantees varies by State. The list of State nonpoint source contacts is available at <http://water.epa.gov/polwaste/nps/where.cfm>. Eligible Tribes receive funding for a base grant work plan; Tribes may also compete for the rest of the tribal portion of the total 319(h) appropriation. For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

EPA generally awards grants to States within 60 days after the application is submitted.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title Clean Water Act.

Matching Requirements: Nonfederal match of at least 40 percent of project or program costs is required except for grants to Indian Tribes, where following demonstration of financial hardship, the nonfederal match may be reduced to as low as 10 percent of project or program costs. States must also meet maintenance of effort requirements contained in statute. EPA awards grants to all eligible States and Tribes which apply for funding based on an allocation formula that the Agency developed. The allocation formula is described in the revised grant guidelines issued on April 12, 2013 and found at <http://water.epa.gov/polwaste/nps/cwact.cfm>. Note, the NPS Program and Grant Guidelines issued in 2003 applied to the FY 13 grants, while the revised guidelines apply to FY 14 and beyond. The allocation formula has not changed. Tribal base grant guidelines and annual Request for Proposals (RFP) are found at <http://water.epa.gov/polwaste/nps/tribal/>.

This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

As determined in accordance with Section 319 (h). See the following for information on how assistance is awarded/released: The method of fund disbursement will be determined at the time of award.

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Agreements may require interim and final progress reports, and expenditure/financial, equipment, and invention reports. Progress reports are generally required at least semi-annually. Specific reporting requirements are also identified in the Grant Regulations at 40 CFR Part 30 and 40 CFR Part 31, as applicable. Program reports are required under this program. Cash reports are required under this program. Progress reports are required under this program. Expenditure reports are required under this program. Performance monitoring is required under this program.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer

and the Grants Specialist may occur each year.

Records:

Financial records, including all documents to support entries on accounting records and to substantiate charges to each grant, must be kept available to personnel authorized to examine EPA grant accounts. All records must be kept for three years from date of submission of the annual federal financial report. If questions remain, records must be retained until the matter is completely resolved.

Account Identification:

68-0103-0-1-304.

Obligations:

(Formula Grants) FY 12 \$164,493,000; FY 13 est \$155,939,000; and FY 14 est \$164,493,000

Range and Average of Financial Assistance:

States/Territories: \$422,000 to \$8,361,000; \$2,795,000. Indian Tribes: base grants \$30,000 to \$50,000; competitive grants up to \$150,000. Ranges vary year-to-year based on size of appropriation (and also varies depending on number of applicants for grants to Indian Tribes or intertribal consortia).

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Grants are awarded annually to all States and selected Tribes. Grant funds were used by States and Tribes to implement portions of their NPS management programs. In FY 12, 56 grant awards were made to states and territories. Additional information regarding selected projects is available in Section 319 Success Stories, available online at <http://www.epa.gov/owow/nps/Success319/>. An estimate of load reductions resulting from CWA Section 319(h) funded projects from the time period February 2012 - February 2013 is as follows: nitrogen - 10.5 million pounds; phosphorus - 4.4 million pounds; and sediment - 919,528 tons. These load reduction totals are mostly from FY 12 grant funded projects. Fiscal Year 2013: Grants are awarded annually to all States and selected Tribes. Grant funds are used by States and Tribes to implement portions of their NPS management programs. Additional information regarding selected projects is available in Section 319 Success Stories, available at <http://www.epa.gov/owow/nps/Success319/>. Data for FY 13 funded projects are not available at this time. Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Operational grant guidelines for FY 2013 Nonpoint Source Program and Grants Guidelines for States and Territories (Oct. 23, 2003). The guidelines can be found at <http://www.epa.gov/fedrgstr/EPA-WATER/2003/October/Day-23/w26755.htm>. The revised guidelines published on April 12, 2013 apply for FY 14 and beyond. Tribal grant information is posted at <http://www.epa.gov/nps/tribal>.

Regional or Local Office:

See Regional Agency Offices. Contact the appropriate EPA Regional Office listed in Appendix IV of the Catalog. A list of specific EPA Regional nonpoint source contacts is available at <http://water.epa.gov/polwaste/nps/upload/reg-nps-coord.pdf>. The list of specific EPA Tribal nonpoint source contacts is available at <http://water.epa.gov/polwaste/nps/tribal/>.

Headquarters Office:

Nancy Yoshikawa Nonpoint Source Control Branch
Assessment and Watershed Protection Division
Office of Wetlands, Oceans and Watersheds
Mail Code 4503T, EPA, Ariel Rios Building
1200 Pennsylvania Avenue NW, Washington, District of Columbia 20460
Email: yoshikawa.nancy@epa.gov Phone: (202) 566-3012 Fax: (202) 566-1333

Website Address:

<http://water.epa.gov/polwaste/nps/index.cfm>

RELATED PROGRAMS:

66.419 Water Pollution Control State, Interstate, and Tribal Program Support

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Nonpoint Source implementation projects funded include BMP installation for animal wastes, sediment, pesticide and fertilizer control,

urban runoff, and a variety of other structural and non-structural practices; watershed planning, monitoring, watershed coordinators, technology demonstration, and a variety of education/outreach programs, among others. Fiscal Year 2013: Nonpoint Source implementation projects funded include BMP installation for animal wastes, sediment, pesticide and fertilizer control, urban runoff, and a variety of other structural and non-structural practices; watershed planning, monitoring, watershed coordinators, technology demonstration, and a variety of education/outreach programs, among others. Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement. Funds are awarded to States in accordance with Section 319 (h). For selection processes within individual States, contact the appropriate State nonpoint source coordinators. A list of these coordinators is available at http://water.epa.gov/polwaste/nps/upload/state_nps_coord.pdf.

66.461 REGIONAL WETLAND PROGRAM DEVELOPMENT GRANTS FEDERAL AGENCY:

Office of Water, Environmental Protection Agency

AUTHORIZATION:

Clean Water Act, Section 104(b)(3), Public Law 92-500, 33 U.S.C 1254(b)(3).

OBJECTIVES:

To assist state, tribal, local government (S/T/LG) agencies, and interstate/intertribal entities in building programs which protect, manage, and restore wetlands. The primary focus of the grants is to build state and tribal wetland programs. A secondary focus is to build local (e.g. county or municipal) programs. Funding Priority - Fiscal Year 2013: Under the Regional Wetland Program Development Grant (WPDG) competition, EPA has identified the following four Core Elements for improving state/tribe/local governments' (S/T/LGs) ability to protect and restore their wetlands: (1) Monitoring and Assessment; (2) Voluntary Restoration and Protection; (3) Regulatory Approaches Including CWA 401 Certification; and (4) Wetland-Specific Water Quality Standards. EPA will include as an appendix to each Regional Request For Proposals (RFP) a list of actions and activities under each Core Element that will develop or refine a S/T/LG's wetlands program. In addition, EPA has identified two funding Tracks. Track One is for states and tribes that propose to develop a Wetlands Program Plan (WPP) as described at <http://water.epa.gov/type/wetlands/wpp.cfm> or carrying out projects from an EPA-approved WPP. Track Two is for states, tribes, local governments, interstate agencies, intertribal consortia, and universities that are agencies of a state that submit proposals that are consistent with the Four Core Elements as described above. Regions may add additional Region specific priorities that are compatible and consistent with the core element(s) and action(s) in the attached Appendix of development and refinement actions.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

These grants are intended to encourage comprehensive wetlands program development by promoting the coordination and acceleration of research, investigations, experiments, training, demonstrations, surveys, and studies relating to the causes, effects, extent, prevention, reduction, and elimination of water pollution. Projects build the capacity of States, Tribes, and local governments to effectively protect wetland and riparian resources. Projects funded under this program support the development of a wetlands protection, restoration, or management program or support enhancement/refinement of an existing program. Interstate agency and intertribal consortia projects must be broad in scope and encompass more than one State, Tribe, or local government. The Regional Office will accept submissions only for projects that affect the States, Tribes, and Territories within their Region. Funds cannot be used for implementation of individual mitigation projects, mitigation banks, or in-lieu-fee mitigation programs. Additional uses and restrictions on funds will be described in detail in the competitive announcements. Projects that are national in scope are not eligible for funding under this Regional program but may be eligible for funding under EPA's National Wetland Program Development Grants program (CFDA 66.462). Assistance agreement awards

under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>. Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

States, Tribes, local government agencies, interstate agencies, and intertribal consortia are eligible to apply to the Regions. Past recipients include, but are not limited to, wetland regulatory agencies, water quality agencies (Section 401 water quality certification), planning offices, wild and scenic rivers agencies, departments of transportation, fish and wildlife or natural resources agencies, agriculture departments, forestry agencies, coastal zone management agencies, park and recreation agencies, non-point source or storm water agencies, and city or county and other S/T/LG governmental agencies that conduct wetland-related activities. In order to be eligible for WPDG funds, Tribes must be federally recognized, although "Treatment as a State" status is not a requirement. The term "interstate agency" is defined in CWA Section 502 as "an agency of two or more States established by or pursuant to an agreement or compact approved by the Congress, or any other agency of two or more States, having substantial powers or duties pertaining to the control of pollution as determined and approved by the Administrator." Intertribal consortia that meet the requirements of 40 CFR Part 35.504 are eligible for direct funding. Intertribal consortia projects must be broad in scope and encompass more than one State, Tribe, or local government. Universities that are agencies of a State government are eligible to receive funds through these competitions. Universities must include documentation showing that they are chartered as a part of a State government in their proposal. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy. Applicants need not be located within the boundaries of the EPA regional office to be eligible to apply for funding but must propose projects that affect the States, Tribes, and Territories within the Region.

Beneficiary Eligibility:

State, Tribal, and local governments involved in administering wetlands protection, restoration and/or management programs, or programs related to or that complement wetlands protection programs.

Credentials/Documentation:

Tribes may be asked to demonstrate that they are Federally recognized. Interstate organizations may be asked to provide a citation to the statutory authority which establishes their status. Intertribal consortia may be asked to provide documentation that they meet the requirements of 40 CFR Part 35.504. Universities must include documentation showing that they are chartered as a part of a State government in their proposal. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Each EPA Regional Office will set up its own competitive process for awarding WPDGs. Interested applicants should review information on the WPDG at: <http://www.epa.gov/owow/wetlands/grantguidelines/>. Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Each EPA Regional Office will establish a process for awarding WPDGs. Regional Offices will accept applications only for projects selected through the competitive process that affect the States, Tribes, and Territories within their Region. The standard application forms, as furnished by the Federal agency and required by OMB Circular No. A-102, must be used for this program. Applicants can also receive a copy of the current announcement by calling the EPA Regional Coordinator. Application forms are available at <http://www.epa.gov/ogd/AppKit/index.htm> and by mail upon request to the Grants and Interagency Agreements Management Division at (202) 564-5305. Completed applications should be submitted to the appropriate EPA Regional Office. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

Grant applications are reviewed by the appropriate EPA Regional Office and, if approved, the grant is awarded by the Regional Administrator. For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Grants are usually approved within 4 months of receipt of completed application.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: The applicant must provide a minimum of 25 percent of the total cost of the work plan to the extent allowed by 40 CFR 31.24, 35.385, and 35.615. To determine if the minimum match is met, EPA will use the following formula: amount (in dollars) of federal funds requested from EPA divided by 3 = minimum match (in dollars) or Total project costs divided by 4 = minimum match (in dollars). For example, if the applicant requests \$75,000 of federal funds or a Total project cost of \$100,000 for the three-year project period, it must be able to provide \$25,000 in matching funds or services. A reduced match may be available for Tribal grantees that place the Wetland Program Development Grant funds in a Performance Partnership Grant. (See regulations at 40 CFR 536(c).) Please contact your EPA Regional contact person listed in Section VII if you have any questions about calculating the match requirement. We encourage States and Tribes to provide a larger share of the project's cost whenever possible (i.e., in excess of the required 25 percent of total project costs). Award recipients can meet the match requirement with in-kind or monetary contributions from entities other than themselves. However, other Federal money cannot be used as the match for this grant program unless authorized by the statute governing the award of the other Federal funds. Matching funds are considered grant funds. They must be used for the reasonable and necessary expenses of carrying out the assistance agreement work plan. Any restrictions on the use of grant funds (i.e., prohibition of land acquisition with grant funds) also apply to the matching funds.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The terms of the grant shall be determined at time of grant award. See the following for information on how assistance is awarded/released: The method

of fund disbursement will be determined at the time of award.

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Agreements may require quarterly, interim, and final progress reports, and expenditure/financial, equipment, and invention reports. Specific reporting requirements are also identified in the Grant Regulations at 40 CFR Part 30 and 40 CFR Part 31, as applicable. Program reports are required under this program. Cash reports are required under this program. Progress reports are required under this program. Expenditure reports are required under this program. Performance monitoring is required under this program.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Financial records, including all documents to support entries on accounting records and to substantiate changes to each grant, must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained until expiration of three years from the date of submission of the final expenditure report. If questions still remain, such as those raised as a result of audit, related records should be retained until the matter is completely resolved.

Account Identification:

68-0103-0-1-304.

Obligations:

(Cooperative Agreements) FY 12 \$15,737,860; FY 13 est \$14,354,000; and FY 14 est \$14,643,000

Range and Average of Financial Assistance:

Range: \$20,000 to \$600,000/fiscal year; Average: \$220,000/fiscal year.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: All ten EPA Regional Offices issued a competitive announcement based on available funds and Agency priorities. The number of applications and the number of awards made is dependent on the competitive announcement issued. The announcements include the number of anticipated awards. In FY 12, approximately 5 to 25 proposals were received by each Region under the ten Regional competitive announcements with approximately 4 to 15 cooperative agreements or grants awarded under each Regional competitive announcement. No awards were made non-competitively in FY 12. In FY 12, projects were funded to support activities such as: developing state or tribal Wetland Program Plans (WPPs); testing a Stream Functional Assessment Methodology for use in CWA Section 404 mitigation activities; assessing net wetland change on a state-wide basis; development of remote sensing technologies for farmed wetlands; evaluate methods for modeling vernal pool locations and develop a vernal pool location prediction model that facilitates resource identification, protection, and wetlands regulation as well as complete outreach efforts to facilitate resource identification, protection and wetlands regulations to increase ability to locate, identify, document, restore, and increase protection of vernal pools; identify statewide wetland monitoring objectives and strategies and to coordinate efforts among the participating agencies; and build on the state's success in developing numeric nutrient criteria for estuaries within a portion of the state and to apply the strategy to the area's tidal creeks. Fiscal Year 2013: Each of the ten EPA Regional Offices issues a competitive announcement based on available funds and Agency priorities. The number of applications and the number of awards made is dependent on the competitive announcement issued. The announcements include the number of anticipated awards. In FY 13, eight (8) Regional competitive announcements

will be issued. Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

General Grant Regulations and Procedures (40 CFR Parts 30, 31 and 35.610 through 35.615); OMB Circulars A-87 and A-122, as applicable.

Regional or Local Office:

See Regional Agency Offices. REGIONAL WETLAND GRANT COORDINATORS: Region 1 - CT, ME, MA, NH, RI, VT: Kate Renahan, US EPA Region 1, 1 Congress Street, MC CSP, Suite 100, Boston, MA, 02114. Phone: 617-918-1491. renahan.kate@epa.gov. Region 2 - NJ, NY, PR, VI: Kathleen Drake, US EPA Region 2, 290 Broadway, NY, NY, 10007. Phone: 212-637-3817. drake.kathleen@epa.gov. Region 3 - DE, MD, PA, VA, WV, DC: Anita Provenzano, US EPA Region 3, 1650 Arch Street, MC 3EA30, Philadelphia, PA, 19103. Phone: 215-814-5180. provenzano.anita@epa.gov. Region 4 - AL, FL, GA, KY, MS, NC, SC, TN: Morgan Jackson, US EPA Region 4, 61 Forsyth Street, SW, Atlanta, GA, 30303. Phone: 404-562-9393. jackson.morgan@epa.gov. Region 5 - IL, IN, MI, MN, OH, WI: Dertera Collins, US EPA Region 5, 77 West Jackson Blvd., MC WW16J, Chicago, IL, 60604. Phone: 312-353-6291. collins.dertera@epa.gov. Region 6 - AR, LA, NM, OK, TX: Sondra McDonald, US EPA Region 6, 1445 Ross Avenue, MC 6WQ-AT, Dallas, TX, 75202. Phone: 214-665-7187. mcdonald.sondra@epa.gov. Region 7 - IA, KS, MO, NE: Jennifer Ousley, US EPA Region 7, 901 North Fifth Street, Kansas City, KS, 66101. Phone: 913-551-9311. ousley.jennifer@epa.gov. Region 8 - CO, MT, ND, SD, UT, WY: Cynthia Gonzales, US EPA Region 8, 999 18th Street, Suite 300, Denver, CO, 80202. Phone: 303-312-6569. gonzales.cynthia@epa.gov. Region 9 - AZ, CA, HI, NV, AS, GU: Suzanne Marr, US EPA Region 9, 75 Hawthorne Street, San Francisco, CA 94105. Phone: 415-972-3468. marr.suzanne@epa.gov. Region 10 - AK, ID, OR, WA: Becky Fauver, US EPA Region 10, 1200 Sixth Ave, MC: AWT-107, Seattle, WA, 98101. Phone: 206-553-1353. fauver.becky@epa.gov.

Headquarters Office:

Myra Price, Wetlands Division (4502T), Office of Oceans, Wetlands, and Watersheds, Environmental Protection Agency, 1200 Pennsylvania Avenue, NW, Washington, District of Columbia 20460 Email: price.myra@epa.gov Phone: (202) 566-1225.

Website Address:

http://water.epa.gov/type/wetlands/initiative_index.cfm

RELATED PROGRAMS:

66.419 Water Pollution Control State, Interstate, and Tribal Program Support; 66.454 Water Quality Management Planning; 66.456 National Estuary Program; 66.458 Capitalization Grants for Clean Water State Revolving Funds; 66.462 National Wetland Program Development Grants and Five-Star Restoration Training Grant

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: The WPDG database provides project information for grants funded through this program since 2005 (<http://iaspub.epa.gov/pls/grts/f?p=101:1>). Examples of funded projects include: developing state or tribal Wetland Program Plans (WPPs); testing a Stream Functional Assessment Methodology for use in CWA Section 404 mitigation activities; assessing net wetland change on a state-wide basis; development of remote sensing technologies for farmed wetlands; evaluate methods for modeling vernal pool locations and develop a vernal pool location prediction model that facilitates resource identification, protection, and wetlands regulation as well as complete outreach efforts to facilitate resource identification, protection and wetlands regulations to increase ability to locate, identify, document, restore, and increase protection of vernal pools; identify statewide wetland monitoring objectives and strategies and to coordinate efforts among the participating agencies; and build on the state's success in developing numeric nutrient criteria for estuaries within a portion of the state and to apply the strategy to the area's tidal creeks. Fiscal Year 2013: The WPDG database provides project information for grants funded through this program since 2005 (<http://iaspub.epa.gov/pls/grts/f?p=101:1>). Examples of funded projects include: wetland and stream mitigation studies; development of wetland or watershed conservation plans; development of wetland program plans; wetland training workshops; developing a monitoring and assessment program; development of wetland monitoring and assessment methods and programs; training for

State/Tribal/local government staff in monitoring techniques or wetland science such as delineation; wetland monitoring and assessment studies; wetland mapping studies; wetland inventory and classification projects to determine restoration sites; developing a wetland restoration program; development of wetland restoration methods and prioritization schemes; database development to track 404 permit action and compliance; and development of wetland water quality standards. Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement.

66.462 NATIONAL WETLAND PROGRAM DEVELOPMENT GRANTS AND FIVE-STAR RESTORATION TRAINING GRANT

FEDERAL AGENCY:

Office of Water, Environmental Protection Agency

AUTHORIZATION:

Clean Water Act, Section 104(b)(3), Public Law 92-500, 33 U.S.C 1254(b)(3).

OBJECTIVES:

To assist state, tribal, local government (S/T/LG) agencies, and interstate/intertribal entities in building programs which protect, manage, and restore wetlands. The primary focus of the grants is to build state and tribal wetland programs. A secondary focus is to build local (e.g. county or municipal) programs. Funding Priority - Fiscal Year 2013: For the National WPDGs: EPA has identified the following national priorities - National Priority Area 1: Core Elements Framework and National Priority Area 2: Tribal Wetland Monitoring and Assessment Training Workshops. National Priority Area 1 is based on the four core elements of a Comprehensive State/Tribal Wetlands Program: 1) Monitoring and Assessment; 2) Voluntary Wetland Restoration and Protection; 3) Regulatory Approaches Including CWA 401 Certification; and 4) Wetland-Specific Water Quality Standards. Further explanation of all of the elements can be found at the following website address: <http://www.epa.gov/owow/wetlands/initiative/estp.html>. The Wetlands Program Development Grants (WPDG) program strives to develop State/Tribal/local government programs that will incorporate each of these elements. For the Five-Star Restoration Training Grants, EPA funds an intermediary organization to provide subawards to eligible subawardees to bring together citizen groups, corporations, youth conservation corps, students, landowners, and government agencies to undertake projects that achieve environmental training through voluntary, community/watershed-based wetland restoration projects.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

The National WPDGs are intended to encourage comprehensive, national wetlands program development by promoting the coordination and acceleration of research, investigations, experiments, training, demonstrations, surveys, and studies relating to the causes, effects, extent, prevention, reduction, and elimination of water pollution. Projects build the capacity of States, Tribes, and local governments programs to effectively protect wetland and riparian resources. Activities must help S/T/LGs develop and refine wetland programs. Projects funded under this program support the initial development of a national wetlands protection, restoration or management program, or support enhancement/refinement of an existing national program. Projects must be broad in scope and include more than one EPA Region or be national in scope and cannot be limited to activities that affect such entities in only one EPA region. For example, projects and tasks can involve advancing science or collecting and making available through publications and other appropriate means, such as training on information about how various wetland programs across the nation protect, manage, and restore their wetland resources and about initiatives to improve S/T/LG wetland programs. Projects that are local or encompass only one EPA Region in scope are not eligible for funding under this program but may be eligible for funding under EPA's Regional Wetland Program Development Grants program (CFDA 66.461). Funds cannot be used for the purchase of land or conservation easements. Funds cannot be used for implementation of individual mitigation projects, mitigation banks, or in-lieu-fee mitigation programs. Assistance agreement awards under this

program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>. EPA's Five Star Restoration Training Grant is anticipated to fund one cooperative agreement to an organization to manage the Five Star Restoration Training Grant subaward process that will award subgrants to achieve environmental training through voluntary, community/watershed-based wetland restoration projects. Projects funded under the subgrant process must be limited to activities that conduct or promote the coordination and acceleration of training relating to the causes, effects, extent, prevention, reduction, and elimination of water pollution. Other activities under section 104(b)(3) will not be considered for funding. Funds cannot be used for the purchase of land or conservation easements. Funds cannot be used for implementation of individual mitigation projects, mitigation banks, or in-lieu-fee mitigation programs. Wetland restoration training projects cannot include wetlands constructed for the purposes of treating wastewater or stormwater only. The assistance agreement award under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>. Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

Non-profit, non-governmental organizations, Interstate agencies, and Intertribal consortia which are capable of undertaking activities that advance wetland programs on a national basis are eligible to compete in this program. The term "interstate agency" is defined in CWA Section 502 as "an agency of two or more States established by or pursuant to an agreement or compact approved by the Congress, or any other agency of two or more States, having substantial powers or duties pertaining to the control of pollution as determined and approved by the Administrator." Intertribal consortia must meet the requirements of 40 CFR Part 35.504. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

State, Tribal, and local governments involved in administering wetlands protection, restoration, and/or management programs or programs related to or complement wetlands protection programs.

Credentials/Documentation:

Non-profit applicants may be asked to provide documentation that they meet the definition of a non-profit organization in OMB Circular A-122. Interstate organizations may be asked to provide a citation to the statutory authority, which establishes their status. Intertribal consortia may be asked to provide documentation that they meet the requirements of 40 CFR Part 35.504. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

The standard application forms, as furnished by the Federal agency and required by OMB Circular A-102, must be used for this program. Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110

applies to this program. The EPA Headquarters (HQ) Office will conduct the competitive process for awarding National Wetland Program Development Grants. Interested applicants should review information on the National Wetland Program Development Grants at: http://water.epa.gov/grants_funding/wetlands/grantguidelines/index.cfm. Submittal instructions can be found in the competitive announcement. The EPA Headquarters (HQ) Office will conduct the competitive process for awarding the Five-Star Restoration Training Grant. Interested applicants should review information on the Five-Star Restoration Training Grant at: http://water.epa.gov/grants_funding/wetlands/grantguidelines/index.cfm. Submittal instructions can be found in the competitive announcement. All applicants will be notified by the HQ Office on whether or not the applicant has been selected for funding. The notification is not an authorization to begin performance. The Grant Award document signed by the appropriate grant official in the EPA HQ Office is the authorizing document. Applicants selected for funding must submit a final workplan and grant application forms. Application forms are available at <http://www.epa.gov/ogd/AppKit/index.htm> and by mail upon request to the Grants and Interagency Agreements Management Division at (202) 564-5305. Completed applications should be submitted to HQ. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Grants are usually approved within 4 months of receipt of completed application.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: The applicant must provide a minimum of 25 percent of the total cost of the workplan to the extent allowed by 40 CFR 31.24, 35.385, and 35.615. To determine if the minimum match is met, EPA will use the following formula: amount (in dollars) of federal funds requested from EPA divided by 3 = minimum match (in dollars) or Total project amount (in dollars) divided by 4 = minimum match (in dollars). For example, if the applicant requests \$75,000 of federal funds or has a total project cost of \$100,000 for a three-year project period, it must be able to provide \$25,000 in matching funds or services. A reduced match may be available for Tribal grantees that place the Wetland Program Development Grant funds in a Performance Partnership Grant. (See regulations at 40 CFR 536(c)). States and Tribes can provide a larger share of the project's cost whenever possible (i.e., in excess of the required 25 percent of total project costs). Award recipients can meet the match requirements with in-kind or monetary contributions from entities other than themselves. However, other Federal money cannot be used as the match for this grant program unless authorized by the statute governing the award of the other Federal funds. Matching funds are considered grant funds. They must be used for the reasonable and necessary expenses of carrying out the assistance agreement workplan. Any restrictions on the use of grant funds (i.e., prohibition of land acquisition with grant funds) also apply to the matching funds. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The terms of the grant shall be determined at time of grant award. See the following for information on how assistance is awarded/released: The method of fund disbursement will be determined at the time of award.

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Agreements may require quarterly, interim, and final progress reports, and expenditure/financial, equipment, and invention reports. All final reports must be accompanied by a 1-2 page summary electronic document on the project. Specific reporting requirements are also identified in the Grant Regulations at 40 CFR Part 30 and 40 CFR Part 31, as applicable. Program reports are required under this program. Cash reports are required under this program. Progress reports are required under this program. Expenditure reports are required under this program. Performance monitoring is required under this program.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Financial records, including all documents to support entries on accounting records and to substantiate changes to each grant, must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained until expiration of three years from the date of submission of the final expenditure report. If questions still remain, such as those raised as a result of audit, related records should be retained until the matter is completely resolved.

Account Identification:

68-0103-0-1-304.

Obligations:

(Cooperative Agreements) FY 12 \$792,440; FY 13 est \$750,000; and FY 14 est \$750,000 - National Wetlands Program Development Grants: FY 12 \$0; FY 13 \$750,000; and FY 14 est. \$750,000. Five-Star Restoration Training Grant: FY 12 \$792,440; FY 13 est. \$0; and FY 14 est \$0.

Range and Average of Financial Assistance:

National Wetlands Program Development Grants: \$75,000 to \$300,000/every two years; \$160,500/every two years; Five-Star Restoration Training Grant: \$1,000,000 every four years.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: In FY 12, 16 proposals were received in response to the National WPDG competitive announcement and no awards were made in FY 12 but six (6) awards were made under this competition in FY13. In FY 12 EPA awarded one cooperative agreement for \$1,000,000 to implement the Five-Star Restoration Training Grants program. Fiscal Year 2013: In FY 13, six (6) awards totaling approximately \$750,000 were made for projects that seek to encourage the use of data documenting wetland condition, function, status and trends to support development and implementation of other state wetland program core elements such as wetland regulation, restoration, and development of water quality standards for wetlands; identify best practices for developing wetland restoration and protection programs while leveraging adaptation to climate change; develop a handbook for states, tribes, and local governments on the policy and practice of stream compensatory mitigation; develop and deliver in-lieu fee mitigation training for states, tribes, and local governments; increase understanding of multiple aspects of state and tribal wetland programs, including all four of the EPA core essential elements, resulting in more robust state and tribal programs; and develop and distribute programmatic support documents for identifying and sampling candidate reference wetland sites using consistent wetland condition assessment methods

across the nation, piloted through programs in 10 states. Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

General Grant Regulations and Procedures, 40 CFR Parts 30 and 31, 40 CFR 35.610, OMB Circular A-122, or OMB Circular A-87, as applicable.

Regional or Local Office:

None.

Headquarters Office:

Myra Price Wetlands Division (4502T), Office of Oceans, Wetlands, and Watersheds, Environmental Protection Agency, 1200 Pennsylvania Avenue, NW, Washington, District of Columbia 20460 Email: price.myra@epa.gov Phone: (202) 566-1225.

Website Address:

<http://water.epa.gov/type/wetlands/index.cfm>

RELATED PROGRAMS:

66.419 Water Pollution Control State, Interstate, and Tribal Program Support; 66.454 Water Quality Management Planning; 66.456 National Estuary Program; 66.458 Capitalization Grants for Clean Water State Revolving Funds; 66.461 Regional Wetland Program Development Grants

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: The Wetland Program Development Grant database will provide project information for the National WDPGs (<http://iaspub.epa.gov/pls/grts/f?p=101:1>). Examples of funded projects include: wetland and stream mitigation studies; development of market-based wetland protection tools; wetland management training workshops; development of wetland modeling tools; studies on the functions and values of wetland ecosystems; planning and holding national meetings on CWA Section 404 mitigation issues; and development of education information on wetlands for state/tribal/local government officials and/or the public. Fiscal Year 2013: The Wetland Program Development Grant database will provide project information for the National WDPGs (<http://iaspub.epa.gov/pls/grts/f?p=101:1>). Examples of funded projects include projects that: encourage the use of data documenting wetland condition, function, status and trends to support development and implementation of other state wetland program core elements such as wetland regulation, restoration, and development of water quality standards for wetlands; identify best practices for developing wetland restoration and protection programs while leveraging adaptation to climate change; develop a handbook for states, tribes, and local governments on the policy and practice of stream compensatory mitigation; develop and deliver in-lieu fee mitigation training for states, tribes, and local governments; increase understanding of multiple aspects of state and tribal wetland programs, including all four of the EPA core essential elements, resulting in more robust state and tribal programs; and develop and distribute programmatic support documents for identifying and sampling candidate reference wetland sites using consistent wetland condition assessment methods across the nation, piloted through programs in 10 states. Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement.

66.463 WATER QUALITY COOPERATIVE AGREEMENTS

FEDERAL AGENCY:

Office of Water, Environmental Protection Agency

AUTHORIZATION:

Clean Water Act, Section 104(b)(3), Public Law 92-500, 33 U.S.C 1254(b)(3).

OBJECTIVES:

To assist states, Indian Tribes, interstate agencies, and other public or nonprofit organizations in developing, implementing, and demonstrating innovative approaches relating to the causes, effects, extent, prevention, reduction, and elimination of water pollution through both permitted and non-permitted areas.

Funding Priority - Fiscal Year 2013: Funding priorities include, but are not limited to: watershed approaches for solutions to wet weather activities (i.e.,

combined sewer overflow, sanitary sewer overflows, storm water discharge, and animal feeding operations); pretreatment and biosolids (sludge) program activities, decentralized systems; and alternative ways to enhance or measure the effectiveness of point source programs. Trading, water efficiency, asset management, and sustainable infrastructure are also areas of consideration. No appropriation authority was provided for FY 2006 or later. However, if unobligated carryover funds are available in FY 2013, projects will be funded in accordance with the funding priorities. Any awards made with carryover funds will be in accordance with the Agency's competition policy.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

These grants are intended to encourage efforts to improve water quality by promoting the coordination and acceleration of research, investigations, experiments, training, demonstrations, surveys, and studies relating to the causes, effects, extent, prevention, reduction, and elimination of water pollution. Projects must attain tangible results. EPA may award grants to fund internships for high school students to work at wastewater treatment plants under the Youth and the Environment sub-program in EPA Regions 1, 2, 3, 5, 6, 7, 8, 9 and 10. In the case of Regional competitions, eligible projects may be limited in geographic scope to benefit the citizens of States, Tribes, or Territories within those Regions. Other specific uses of funds will be described in Requests for Proposals for this program. EPA will not award grants to fund ongoing State, Tribal, or local program activities, construction, or to purchase land. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

State water pollution control agencies, interstate agencies, Tribes, colleges and universities, individuals, and other public or nonprofit organizations. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

Individuals and organizations affected by water pollution, including State Water Pollution Control Agencies, interstate agencies, Tribes, colleges and universities, and other public or nonprofit organizations.

Credentials/Documentation:

Nonprofit applicants may be asked to provide documentation that they meet the definition of a non-profit organization in OMB Circular A-122. Interstate organizations may be asked to provide a citation to the statutory authority which establishes their status. Intertribal consortia must meet the requirements of 40 CFR Part 35.504. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Competition procedures vary by Region and Headquarters Office. EPA will generally publish a Request for Proposals (RFP), evaluate the proposals under the criteria contained in the RFP, and request that selected applicants submit a full application. RFPs are synopsisized at www.grants.gov, and may be posted on Agency websites or in the Federal Register. All competitions will be conducted in accordance with EPA policy for competing assistance agreements. For Headquarters competitions, applicants submit a proposal, and EPA evaluates and scores the proposals and invites selected applicants to submit a formal application. Regional procedures may vary, in accordance with the terms of Regional Request for Proposals. Application forms and completed applications may be requested from and submitted to the appropriate EPA Headquarters or Regional Office. The standard Federal application forms must be used for this program. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Regional or Headquarters review will normally be done within approximately 60 days following the deadline for submissions.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The terms of the assistance shall be determined at the time of award. See the following for information on how assistance is awarded/released: The method of fund disbursement will be determined at the time of award.

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Agreements may require quarterly, interim, and final progress reports, and expenditure/financial, equipment, and invention reports. Specific reporting requirements are also identified in the Grant Regulations at 40 CFR Part 30 and 40 CFR Part 31, as applicable. Program reports are required under this program. Cash reports are required under this program. Progress reports are required under this program. Expenditure reports are required under this program. Performance monitoring is required under this program.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized

representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Record keeping requirements are specified 40 CFR Parts 30 or 31 as applicable. Financial records, including all documents to support entries on accounting records and to substantiate changes to each grant, must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained until expiration of three years from the date of submission of the final expenditure report. If questions still remain, such as those raised as a result of an audit, related records should be retained until the matter is completely resolved.

Account Identification:

68-0103-0-1-304.

Obligations:

(Project Grants) FY 12 \$0; FY 13 est \$0; and FY 14 est \$0

Range and Average of Financial Assistance:

Projects have historically ranged from \$15,000 to \$270,000/fiscal year; \$142,500/fiscal year.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Not applicable as no funding was provided in FY 2012.
Fiscal Year 2013: Competitions are held based on available funds and Agency priorities. The number of applications and the number of awards made is dependent on the competitive announcement issued. The announcement will include the number of anticipated awards. Not applicable as no funding will be provided in FY 2013. Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

For grants and agreements with States, local governments, and Indian tribal governments, the procedures and requirements shall be in conformance with 40 CFR Part 31 "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments," 40 CFR Part 35, "Environmental Program Grants-State, Interstate, and Local Governments Agencies," OMB Circular Nos. A-87 "Cost Principles for State and Local Governments," and A-102 "Grants and Cooperative Agreements for States and Local Governments." For grants and agreements with other entities the procedures shall be in conformance with 40 CFR Part 30, "Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-Profit Organizations," and OMB Circular Nos. A-122 for Non-Profit Organizations and A-21 for Educational Institutions.

Regional or Local Office:

See Regional Agency Offices. Contact the appropriate EPA Regional Office listed in Appendix IV of the Catalog or the contact listed in the competitive announcement.

Headquarters Office:

Dan Malloy Office of Wastewater Management (4201M)
U.S. EPA, Room 7109A
EPA East, 1200 Pennsylvania Avenue NW, Washington, District of Columbia
20460 Email: malloy.daniel@epa.gov Phone: (202) 564-1724

Website Address:

http://water.epa.gov/grants_funding/cwf/waterquality.cfm

RELATED PROGRAMS:

66.419 Water Pollution Control State, Interstate, and Tribal Program Support;
66.454 Water Quality Management Planning

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Not applicable as no funding was provided in FY 2012.
Fiscal Year 2013: Not applicable as no funding will be provided in FY 2013.
Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement.

66.466 CHESAPEAKE BAY PROGRAM

FEDERAL AGENCY:

Office of Water, Environmental Protection Agency

AUTHORIZATION:

Clean Water Act, Section 117.

OBJECTIVES:

To expand and strengthen cooperative efforts to restore and protect the Chesapeake Bay. EPA's funding priority is to achieve the goals and commitments established in the Chesapeake 2000 agreement and the final coordinated strategy under Executive Order (EO) 13508. As a means to achieve the goals and commitments, assistance agreements are awarded to: nonprofit organizations, State and local governments, colleges, universities, and interstate agencies. The type of projects that are awarded range from monitoring to environmental education. These projects have helped support the commitments set forth in Chesapeake Executive Council agreements and amendments (The Chesapeake Bay Agreement of 1983 through Chesapeake 2000) and subsequent directives, adoption statements, endorsements and resolutions. Funding Priority - Fiscal Year 2013: EPA's focus in FY 2013 is to continue to improve the rate of progress in the Chesapeake Bay watershed by meeting the President's expectations as described in Executive Order (EO) 13508, using the Agency's existing statutory authority, implementing the Chesapeake Bay Total Maximum Daily Load (TMDL), providing states with the tools necessary for effective regulatory implementation, creating better tools for scientific analysis and accountability, and supporting regulatory compliance and enforcement. EPA will work toward implementing key initiatives under EO 13508, including: implementing the TMDL; developing a TMDL tracking and accountability system; deploying technology to integrate discrete Bay data systems and to present the data in an accessible accountability system called ChesapeakeStat; and moving forward on the Bay's challenges related to toxic contaminants. A centerpiece of EPA's FY 2013 activities is the implementation of the nation's largest and most complex TMDL for the entire Chesapeake Bay watershed. A TMDL is essentially a plan that defines how much of a particular pollutant may be discharged into a particular waterbody while allowing the waterbody to meet its water quality standards and designated uses. EPA released the final TMDL in December 2010. Prior to that release, the Bay jurisdictions developed watershed implementation plans (WIPs) that included specific timelines for enhancing programs and implementing actions to reduce pollution, with all measures needed to reach the TMDL pollution load limits in place no later than 2025. The TMDL is designed to ensure that all pollution control measures needed to fully restore the Bay and its tidal rivers are in place by 2025, with at least 60 percent of the actions completed by 2017. To support the TMDL, EPA will develop and initiate a tracking and accountability system to ensure that the Bay jurisdictions are effectively implementing the TMDL. To support accountability under the TMDL, EPA will enhance the Chesapeake Bay Program's water-quality monitoring network to better track TMDL progress. EPA will use its technical and scientific analysis capabilities to provide implementation support and guidance to the states and thousands of local governments that will be affected by the TMDL. EPA will assist the jurisdictions in making scientifically informed determinations of the most effective ways to meet their TMDL obligations that will provide individually tailored solutions. To ensure that the states are able to meet EPA's expectations under the TMDL and new national rulemakings, EPA will continue and in some cases expand its broad range of grant programs. EPA will direct investments toward key local governments and watershed organizations based on their ability to reduce nutrient and sediment loads via key sectors such as development and agriculture in urban and rural areas. ChesapeakeStat is a key element in the next generation of tools EPA is developing to significantly enhance the accountability of program partners. ChesapeakeStat is a web based, geoenabled tool for performance-based interactive decision making for all Bay partners. The system allows the public to track progress and become informed and engaged in restoring the Bay. Ensuring that the regulated community complies with the appropriate regulations is an essential responsibility for achieving the goals established for the Chesapeake Bay and its watershed. In FY 2013, the continued implementation of the Compliance and Enforcement Strategy for the Bay Watershed will target sources of pollution impairing the Bay in the watershed and airshed. EPA's multi-year, multi-state strategy combines the Agency's water, air and waste enforcement authorities to address violations of federal environmental laws resulting in nutrient, sediment, and other pollution in the Bay.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

On November 7, 2000, the President signed the Estuaries and Clean Waters Act of 2000, which includes Title II-Chesapeake Bay Restoration. This Act amends Section 117 of the Federal Water Control Act (Clean Water Act) and established new authorities for the Chesapeake Bay Program. These new legal authorities specify the type of work that can be performed with the funds appropriated for the Chesapeake Bay Program, the type of funding vehicles (e.g., assistance agreement) that can be used, and the type of organization eligible to receive funding. The purposes of these amendment authorities are: (1) to expand and strengthen cooperative efforts to restore and protect the Chesapeake Bay; and (2) to achieve the goals established in the Chesapeake Bay Agreement. The term "Chesapeake Bay Agreement" means the formal, voluntary agreements signed by the Chesapeake Executive Council and executed to achieve the goal of restoring and protecting the Chesapeake Bay ecosystem (e.g., the 1987 Chesapeake Bay Agreement, Chesapeake 2000 agreement, various Executive Council directives, etc.). Assistance Agreements awarded under this program are: (1) 117(d) Technical Assistance and General Assistance Grants - These grants will be awarded competitively to nonprofit organizations, State and local governments, colleges, universities, and interstate agencies to implement the goals of the Chesapeake Bay Agreements; such as activities to support living resource protection and restoration; vital habitat protection and restoration; water quality protection and restoration; sound land use; and stewardship and community engagement. All applicants applying for grants under Section 117(d) must commit to a cost share ranging from 5 to 50 percent of the total project costs, as determined at the sole discretion of EPA. This determination will be stated in Request for Proposals (RFPs) or Agency policy. (2) 117(e)(1)(A) and 117(d) - Chesapeake Bay Regulatory and Accountability Grants - As a result of EO 13508, these grants will help each watershed State and the District of Columbia to develop new regulations, design TMDL watershed implementation plans, reissue and enforce permits, and provide technical and compliance assistance to local governments and regulated entities. The grants are to aid the states and DC in implementing and expanding their States' regulatory, accountability and enforcement capabilities, in support of reducing nitrogen, phosphorus and sediment loads delivered to the Bay to meet the water quality goals of the 2000 Chesapeake Bay Agreement. These grants will be awarded non-competitively. State Signatory jurisdictions applying for regulatory and accountability program grants under Section 117(e)(1)(A) must identify 50% cost share of total project costs (equal match/dollar for dollar) as required by statute. Headwater States applying for regulatory and accountability program grants under Section 117(d) must commit to a cost share of 25% of the total project costs. (3) Section 117(e)(1)(A) - Implementation Grants - The Chesapeake Bay Implementation Grants are authorized under Section 117(e)(1)(A) to Signatory Jurisdictions. Implementation Grants are for the purpose of implementing the management mechanisms established under the Chesapeake Bay Agreement, with particular emphasis on state programs for control and abatement of non-point source nutrient and sediment pollution (including atmospheric deposition as a non-point source). In May 2009, jurisdictions adopted 2-year milestones to accelerate reductions of nutrient pollution. Grants should support implementation of milestones commitments and comparable actions that support nutrient reduction goals. If jurisdictions do not use 117(e)(1)(A) grants for projects consistent with Chesapeake 2000 Agreement goals, EPA may reallocate, condition or withhold funds. These grants can be awarded non-competitively to any signatory jurisdiction that has or signed the Chesapeake Bay Agreement. State Signatory Jurisdictions applying for implementation and monitoring grants under Section 117(e)(1)(A) must identify 50% cost share of total project costs (equal match/dollar for dollar). (4) Section 117(e)(1)(B) Monitoring Grants - The Monitoring Grants are authorized under Section 117(e)(1)(B) for Signatory Jurisdictions. These grants support the characterization of water and habitat quality and benthic community conditions for the mainstem Chesapeake Bay and tidal tributaries, characterizing nutrient loading to Chesapeake Bay and its tidal tributaries, and provide data analysis support for water quality and living resources status and trends. The work supports the Chesapeake 2000 goal of "Achieving and maintaining the water quality necessary to support the aquatic living resources of the Bay and its tributaries and to protect human health." These grants can be awarded non-competitively to any signatory jurisdiction that has or signed the

Chesapeake Bay Agreement. Signatory Jurisdictions applying for monitoring grants under Section 117(e)(1)(B) must identify 50% cost share of total project costs (equal match/dollar for dollar).

(5) Section 117(g)(2) Small Watershed Grants - The Small Watershed Grants Program was established under Section 117(g)(2), which provides that grants can be awarded under Section 117(d) to local governments, nonprofit organizations, and individuals in the Chesapeake Bay region working on a local level to protect and improve watersheds, while building citizen based resource stewardship. The purpose of the grants program is to demonstrate effective techniques and partnership-building to achieve Chesapeake Bay Program objectives at the small watershed scale. The Small Watershed Grants Program has been designed to encourage the sharing of innovative ideas among the many organizations wishing to be involved in watershed protection activities. Applicants applying for small watershed grants under Section (g)(2) must commit to a cost share of 25% of the total project cost. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>. Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

Under section 117(d), funds are available for technical and general assistance grants to nonprofit organizations, State and local governments, colleges, universities, and interstate agencies; under section 117(e)(1)(A) and 117e(1)(B), respectively, funds are available for implementation, regulatory and accountability, and monitoring grants to signatory jurisdictions; and under section 117(g)(2), funds are available for technical assistance and assistance grants under the Small Watershed Grants Program to local governments and nonprofit organizations and individuals in the Chesapeake Bay region. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

Under section 117(d), funds are available for technical and general assistance grants to nonprofit organizations, State and local governments, colleges, universities, and interstate agencies; under section 117(e)(1)(A) and 117(e)(1)(B), respectively, funds are available for implementation, and monitoring grants to signatory jurisdictions and headwater states; and under Section 117(g)(2), funds are available for technical assistance and assistance grants under the Small Watershed Grants Program to local governments and nonprofit organizations and individuals in the Chesapeake Bay region.

Credentials/Documentation:

Compliance with 40 CFR Parts 30 and 31, and with Program Grant Guidance to matching funds. Costs will be determined in accordance with OMB Circular No. A-87 for State, Local, and Indian Tribal Governments (Relocated to 2 CFR, Part 225), OMB Circular No. A-122 for nonprofit organizations (Relocated to 2 CFR, Part 230), and OMB Circular No. A-21 for educational institutions (Relocated to 2 CFR, Part 220). All OMB circulars apply to this program. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. Preapplication assistance is recommended and should be obtained from the EPA Region III Grants Management Office. All proposals or work statements should be consistent with CBPO's Grant Guidance located at <http://www.epa.gov/region3/chesapeake/grants.htm>. Environmental impact information is not required for this program. This program is eligible for

coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. OMB Circular No. A-102 (rev.), Uniform Administrative Requirements for Grants to State and Local Governments, applies to this program. OMB Circular No. A-110, Uniform Administrative Requirements for Grants with Institutions of Higher Education, Hospitals, and Other Non-Profit Organizations, applies to this program. Competitive Request For Proposals (RFPs) for grants/cooperative agreements are announced widely through the internet and Environmental Protection Agency's CBPO RFP e-mail and mailing database. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA. RFPs are listed on the following websites: <http://www.grants.gov>; <http://www.epa.gov/region03/chesapeake/grants.htm>; <http://www.epa.gov/region03/grants/grantopp.htm>; and <http://www.chesapeakebay.net/grantsandrfps.aspx?menuitem=14892>.

Issued Chesapeake Bay Program Office (CBPO) Request For Proposals (RFPs) will seek applicant proposals that identify specific outcomes that will further goals of the Chesapeake 2000 Agreement. The RFP will include detailed instructions for submission of proposals. Interested parties who would like to receive a copy of issued CBPO RFPs and be added to the Environmental Protection Agency's CBPO e-mail and/or mailing database should submit their name, address, phone number, and e-mail address to Tim Roberts at roberts.timothy-p@epa.gov or such requests can be mailed to Tim Roberts, Environmental Protection Agency CBPO, 410 Severn Avenue, Suite 112, Annapolis, Maryland 21403. EPA will only accept addresses provided in writing (either by e-mail or letter); no phone calls will be accepted. Requests for application kits must be submitted to the Environmental Protection Agency, Region 3, 1650 Arch Streets, Philadelphia, PA 19103, Grants and Audit Management Branch (3PM70). Additional information on the EPA assistance package can be found at: http://www.epa.gov/ogd/grants/how_to_apply.htm. Additional information pertaining to application requirements for EPA's CBPO grants programs can be found in the 2011 CBPO Grant Guidance at: <http://www.epa.gov/region03/chesapeake/grants.htm>. Interested parties applying for a grant under the Innovative Nutrient and Sediment and Small Watershed Grants Programs should submit their name and address to the National Fish and Wildlife Foundation 1120 Connecticut Avenue, NW, Suite 900, Washington, DC 20026, for purpose of establishing a database to receive RFPs. National Fish and Wildlife Foundation will list the RFP on their website: www.NFWF.org.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 7 months after deadline for application submission.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

Renewals are subject to approval of EPA.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Under Section 117 (e)(1)(A) and 117(e)(1)(B), respectively, State agencies applying for implementation and monitoring grants must identify 50 percent cost share of total project costs (equal match/dollar for dollar). All applicants applying for technical and general assistance grants under Section 117 (d), must commit to a cost share ranging from 5 percent to 50 percent as determined at the sole discretion of EPA. This determination will be stated in Request For Proposals or Agency policy. EPA will promptly inform the applicant of the selected cost share requirement. Signatory Jurisdictions applying for regulatory and accountability program grants under Section 117(e)(1)(A) must identify 50% cost share of total project costs (equal match/dollar for dollar) as required by statute. Headwater States applying for regulatory and accountability program grants under Section 117(d) must commit to a cost share of 25% of the total project costs. Applicants applying for Small Watershed Grants Program under Section 117(g)(2) must commit to a cost share of 25 percent of the total project cost. State and local governments receiving assistance under any of the provisions of Section 117 must comply with 40 CFR 31.24 and all other applicants must comply with 40 CFR 30.23. In addition to the cost share requirement, applicants must adhere to the requirement in the Clean Water Act, Section 117 - Administrative Costs. This section requires a 10 percent cap for administrative costs. The cost of salaries and fringe benefits incurred in administering the grant cannot exceed 10 percent of the Federal grant amount.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grants are normally funded on a 12-month basis. The term of the grant shall be determined at the time of grant award. See the following for information on how assistance is awarded/released: The method of fund disbursement will be determined at the time of award.

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Agreements may require quarterly, interim, and final progress reports, and expenditure/financial, equipment, and invention reports. Specific reporting requirements are also identified in the Grant Regulations at 40 CFR Part 30 and 40 CFR Part 31, as applicable. Program reports are required under this program. Cash reports are required under this program. Progress reports are required under this program. Expenditure reports are required under this program. Performance monitoring is required under this program.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Financial records, including all documents to support entries on accounting records and to substantiate changes to each grant, must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained for three years from the date of submission of the final expenditure report. If questions still remain, such as those raised as a result of audit, related records should be retained until the matter is completely resolved.

Account Identification:

68-0108-0-1-304.

Obligations:

(Project Grants) FY 12 \$42,841,000; FY 13 est \$36,673,000; and FY 14 est \$52,343,000

Range and Average of Financial Assistance:

\$5,000 to \$13,875,000/fiscal year; \$6,937,500/fiscal year.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: In FY 12, CBPO did not issue any RFPs. However, CBPO's FY 12 activities included: communications, outreach, and education activities to support the staffing and operations of the Bay Program Communication Office; implementation of WIPs to reduce nutrients and sediments to improve water quality; continued technical support and outreach to address water quality restoration goals and maintain public awareness of Bay restoration; investigation of the nitrogen reduction efficiency and cost effectiveness of nitrogen reducing septic systems within the mid-Atlantic region; evaluation of the impact of phosphorus species on water quality and plant growth; NPDES data synthesis and field monitoring to better estimate loadings of toxics (metals, organics) to Chesapeake Bay through storm water; and Chesapeake Bay modeling, GIS and data analyses support, water quality data analysis/integration support, and nonpoint and point source data management/analysis. Fiscal Year 2013: So far in FY 13, CBPO has issued one RFP. CBPO received one proposal for this RFP and issued one award. CBPO may issue additional RFPs in FY 13. FY 13 activities will include: communications, outreach, and education activities to support the staffing and operations of the Bay Program Communication Office; implementation of WIPs to reduce nutrients and sediments to improve water quality; continued technical support and outreach to address water quality restoration goals and maintain public awareness of Bay restoration; investigation of the nitrogen reduction efficiency and cost effectiveness of nitrogen reducing septic systems within the mid-Atlantic region; evaluation of the impact of phosphorus species on water quality and plant growth; NPDES data synthesis and field monitoring to better estimate loadings of toxics (metals, organics) to Chesapeake Bay through storm water; Chesapeake Bay modeling, GIS and data analyses support, water quality data analysis/integration support, nonpoint and point source data management/analysis; and development of new regulations, design of TMDL watershed implementation plans, reissuance and enforcement of permits, and technical and compliance assistance to local governments and regulated entities to meet the water quality goals of the 2000 Chesapeake Bay Agreement. Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Chesapeake Bay Program Office's Annual Grant Guidance and general regulations (40 CFR Parts 30 and 31) for assistance programs available from EPA. The Chesapeake Bay Program Office's Grant Guidance is available at <http://www.epa.gov/region03/chesapeake/grants.htm>.

Regional or Local Office:

See Regional Agency Offices. Veronica Kuczynski, EPA Chesapeake Bay Program Office, 410 Severn Avenue, Suite 112, Annapolis, MD 21403. Telephone: (410) 267-5743; FAX: (410) 267-5777; e-mail: kuczynski.veronica@epa.gov.

Headquarters Office:

Veronica Kuczynski, EPA Chesapeake Bay Program Office, 410 Severn Avenue, Suite 112, Annapolis, Maryland 21403 Email: kuczynski.veronica@epa.gov Phone: (410) 267-5743.

Website Address:

<http://www.epa.gov/region03/chesapeake>

RELATED PROGRAMS:

66.419 Water Pollution Control State, Interstate, and Tribal Program Support; 66.439 Targeted Watersheds Grants; 66.454 Water Quality Management Planning; 66.460 Nonpoint Source Implementation Grants

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Grants and cooperative agreements awarded to states and local governments, colleges, universities interstate agencies, and nonprofit organizations are for nonpoint source implementation, water quality monitoring, education and outreach, watershed management, living resources and habitat restoration, and program support. Examples of funded projects include: (1) enhanced storm water monitoring; (2) communications, outreach, and public education efforts; (3) computer modeling; (4) nonpoint source pollution programs; (5) Chesapeake Bay point source data management; and (6) regulatory and accountability program grants. Fiscal Year 2013: Grants and cooperative agreements awarded to states and local governments, colleges, universities interstate agencies, and nonprofit organizations are for nonpoint source implementation, water quality monitoring, education and outreach, watershed management, living resources and habitat restoration, and program

support. Examples of funded projects include: (1) enhanced storm water monitoring; (2) communications, outreach, and public education efforts; (3) computer modeling; (4) nonpoint source pollution programs; (5) Chesapeake Bay point source data management; and (6) regulatory and accountability program grants. Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement.

66.467 WASTEWATER OPERATOR TRAINING GRANT PROGRAM
(Wastewater O&M Onsite Technical Assistance Grants)

FEDERAL AGENCY:

Office of Water, Environmental Protection Agency

AUTHORIZATION:

Federal Water Pollution Control Act, Section 104(g)(1), 33 U.S.C 1251.

OBJECTIVES:

To substantially enhance the proficiency of personnel engaged in the operations and maintenance of treatment works and related activities by financing pilot programs.

Funding Priority - Fiscal Year 2013: No funds are available in FY 2013. If funds become available, the funding priority will primarily be to provide on-site technical assistance to address the unique performance limiting conditions of wastewater treatment plants. Classroom training may also be provided on a limited basis, if cost-effective, to improve the skills of wastewater treatment plant operators.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Assistance is available to support recipients' allowable direct costs incidental to approved scopes of work plus allowable indirect costs, in accordance with established EPA policies and regulations.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

State agencies or the designated organizations of the States, the District of Columbia, Puerto Rico, Virgin Islands, Guam, Northern Marianas, America Samoa, the Trust Territories, and Native American Indian Tribes. Additionally, institutions of higher education and "not for profit institutions" may also apply for funding if acting as a designated organization of the State.

Beneficiary Eligibility:

State, Tribal, and local governments and publicly owned treatment works (POTWs). Activities which contribute to Operation and Maintenance (O&M) improvements at POTWs, including pollution prevention activities, may be funded. Legislative history supports using appropriations for onsite O&M technical assistance to the small sized POTWs of less than 5 million gallons per day (MGD) of wastewater flow, since many of these POTWs are exhibiting performance problems and do not have readily available consultant's technical assistance like those of the larger POTWs.

Credentials/Documentation:

Costs will be determined in accordance with applicable OMB Circular No. A-87, Cost Principles for State and local governments. Non-profit applicants may be asked to provide documentation that they meet the definition of a non-profit organization in OMB Circular A-122. Tribes may be asked to demonstrate that they are Federally recognized. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

The standard EPA application assistance forms must be used for this program. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. This program is subject to the provisions of OMB Circular No. A-102 Uniform Administration Requirements for Grant-in-Aid to State and Local Government. Request for application forms and completed applications must be submitted to the applicable Regional or Headquarters Grants Administration office. Applications must meet the requirements of the grant regulations and must be reviewed and approved by the appropriate Regional or Headquarters' waste management program office prior to approval by the Grants office. The review must include an administrative and technical evaluation to determine adequacy, merit, and relevance of the proposal. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

An application is reviewed by the appropriate EPA Regional Office and, if approved, is signed by the Regional Administrator or designated Division Director.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Generally, 90 days after the grant application is received by EPA.

Appeals:

Disputes will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Generally 25 percent in kind service/goods or matching funds is required; however, this provision may be waived in certain cases.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grants may be awarded for a project period not to exceed 3 years. The term of the grant shall be determined at the time of grant award. See the following for information on how assistance is awarded/released: The method of fund disbursement will be determined at the time of award.

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Agreements may require quarterly, interim, and final progress reports, and expenditure/financial, equipment, and invention reports. Specific reporting requirements are also identified in the Grant Regulations at 40 CFR Part 30 and 40 CFR Part 31, as applicable. Headquarters receives semi-annual status reports, and an annual data submission of the program's progress for the current fiscal year, from all Regional Offices. Program reports are required under this program. Cash reports are required under this program. Progress reports are required under this program. Expenditure reports are required under this program. Performance monitoring is required under this program.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements

are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Financial records and other records which substantiate the reasonable and allocable charges must be available to personnel authorized to examine EPA grant accounts. All records must be maintained for three years from the date of submission of the annual financial status reports. If questions arise, related records must be retained until the matter is completely resolved.

Account Identification:

68-0103-0-1-304.

Obligations:

(Project Grants) FY 12 \$80,370; FY 13 est \$0; and FY 14 est \$0

Range and Average of Financial Assistance:

For every \$1,000,000 appropriated by the U.S. Congress, a State receives approximately \$30,000/fiscal year to provide funds to onsite technical assistance providers. The historical range and average of financial assistance provided is: \$12,000 to \$40,000/fiscal year; \$25,000/fiscal year.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: In Fiscal Year 2012, one grant for \$80,370 was awarded from the deobligated funds from earlier years. The project is to provide a wide range of municipal wastewater operator technical assistance and trainings throughout New England and New York. Fiscal Year 2013: Not applicable as no funding was provided in Fiscal Year 2013. Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Applicants for technical assistance should review 40 CFR Part 30, the General Grant Regulations and Procedures, and the annual guidance produced by the Headquarters wastewater program office.

Regional or Local Office:

See Regional Agency Offices. See EPA Regional Offices listed in Appendix IV of the Catalog or online for additional information.

Headquarters Office:

Gajindar Singh, Office of Wastewater Management (4204M), Office of Water, Environmental Protection Agency, 1200 Pennsylvania Avenue, NW, Washington, District of Columbia 20460 Email: singh.gajindar@epa.gov Phone: (202) 564-0634.

Website Address:

<http://water.epa.gov/type/watersheds/wastewater/training.cfm>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: One award for \$80,370 was made to provide a wide range of municipal wastewater operator technical assistance and trainings throughout New England and New York. Fiscal Year 2013: Not applicable as no funding will be provided in Fiscal Year 2013. Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

On-site wastewater O&M technical applications managed by the Regions are evaluated based on the Headquarters wastewater program office's annual guidance, as well as EPA's grants management-related guidance. Other pilot program proposals, with similar objectives, will be considered by the Regional and Headquarters wastewater program offices based on the merits of the proposal, and prior designation by the applicable State agency(s) to receive these funds. Project objectives and requirements for applicants for other pilot project funding will be negotiated on a case-by-case basis.

66.468 CAPITALIZATION GRANTS FOR DRINKING WATER STATE REVOLVING FUNDS

(Drinking Water State Revolving Fund)

FEDERAL AGENCY:

AUTHORIZATION:

Safe Drinking Water Act (SDWA) Amendments of 1996, Section 130, Public Law 104-182; American Recovery and Reinvestment Act of 2009, Public Law 111-5.

OBJECTIVES:

Grants are made to States and Puerto Rico to capitalize their Drinking Water State Revolving Funds (DWSRFs) which will provide a long-term source of financing for the costs of drinking water infrastructure. Grants are also made to the District of Columbia, U.S. Territories (Virgin Islands, Mariana Islands American Samoa, and Guam), and Indian Tribes.

Funding Priority - Fiscal Year 2013: The funding priority established by the SDWA are for capitalization grants to each state for infrastructure improvement projects that are needed to achieve or maintain compliance with SDWA requirements, protect public health, and assist systems with economic need. A state may use a portion of the capitalization grant funds for programs that emphasize preventing contamination problems through source water protection and enhancing water system management. States and EPA Regions determine priorities for funding in accordance with SDWA. The program supports the Agency's strategic goal of ensuring clean and safe water.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Capitalization grants are made available to each State and Puerto Rico for the purpose of establishing a DWSRF for providing assistance to drinking water systems for infrastructure improvements. The capitalization grant is deposited in the State's DWSRF, and is used to provide loans and other types of financial assistance to eligible public water systems. A State may elect to use up to 31% of the capitalization grant for other eligible activities, including 4% for administration of the program. States may also elect to transfer up to one-third of the DWSRF capitalization grant to the Clean Water State Revolving Fund (CWSRF) or an equivalent amount from the CWSRF to the DWSRF program. Grants are also made available to Federally Recognized Indian Tribal Governments, U.S Territories, and the District of Columbia. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

States, the District of Columbia, U.S. Territories or Possessions (the Commonwealth of Puerto Rico, Virgin Islands, Mariana Islands American Samoa, and Guam), and Federally Recognized Indian Tribal Governments are eligible for grants from the program. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

States, U.S. Territories or Possessions (the Commonwealth of Puerto Rico, Virgin Islands, Mariana Islands American Samoa, and Guam), Federally Recognized Indian Tribal Governments, local, and intrastate.

Credentials/Documentation:

To receive a grant, an applicant enters into an agreement with the EPA Regional Administrator which shall include, but is not limited to, the requirements set forth in Section 130 of the SDWA. Recipients must follow OMB Circular No. A-87, "Cost Principles for State, Local and Indian Tribal Governments.". OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

States are required to prepare and provide for public comment on a plan identifying the intended uses (Intended Use Plan, or IUP) of the funds in the DWSRF and how those uses support the goals of the DWSRF. The IUP is to be submitted no later than the application. An environmental impact statement is not required prior to grant award; however, a State environmental review process must be applied to all subsequent State assistance for drinking water systems. Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. The standard application forms as furnished by the Federal agency and required by OMB Circular No. A-102 must be used for this program. EPA requires final applications to be made on Standard Form 424. Requests for application kits must be submitted to the Environmental Protection Agency, Grants and Interagency Agreements Management Division, 1200 Pennsylvania Avenue, N.W., Mailcode 3903R, Washington, DC 20460 or through the appropriate Regional Office listed in Appendix IV of the Catalog. Additional information on the EPA grant package can be found at: http://www.epa.gov/ogd/grants/how_to_apply.htm. Grants for Tribes, U.S. Territories, and the District of Columbia are selected based on funding priorities established by EPA Regional Offices. States must certify that they have the legal authority to receive a capitalization grant and that they have the legal authority to operate the program. States must provide assurance in their applications that they have the legal, managerial, technical and operational capabilities to administer the DWSRF program competently and that they will comply with all applicable Federal cross-cutting authorities and Federal statutes. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

A grant application is reviewed by the appropriate Regional Office, and if approved, the grant is awarded by the Regional Administrator under a delegation of authority from the Administrator of EPA. EPA Headquarters retains the authority to review certain applications or parts thereof. For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approval time averages 45 days.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

For those portions of the applicant's program that do not change from year to year, a subsequent grant application may incorporate by reference relevant portions of the previous year's application which have not changed.

Formula and Matching Requirements:

Statutory Formula: Title Safe Drinking Water Act. The funds available for allotment to State DWSRF programs are those funds appropriated by Congress under the Safe Drinking Water Act (SDWA 1452; 40 CFR 35.3540 and 35.3585). EPA allots funds to each State based on the State's proportional share of total eligible needs reported for the most recent Drinking Water Infrastructure Needs Survey (which is conducted every four years). The minimum proportional share that each State can receive is 1% of total funds available to States. EPA reserves up to 1.5% of the funds available for allotment to the States to provide grants to the U.S. Territories (Virgin Islands, Mariana Islands American Samoa, and Guam). The SDWA also requires the Administrator to reserve 1% of the funds available for allotment to the States to provide grants to the District of Columbia. The SDWA also allows EPA to reserve funds for national set-asides that include: up to 2.0% of the national appropriation for grants to Indian Tribes as well as funding for health effects studies, small system technical assistance, and monitoring of unregulated contaminants.

Matching Requirements: The required State match is 20% of the amount of the capitalization made to the State. States must also provide a match or demonstrate a credit for state funded eligible activities to receive Federal funds for certain program support activities. For Recovery Act funds, the required State match of 20% of the amount of the capitalization grant was waived. For additional information go to

<http://www.epa.gov/safewater/dwsrf/allotments/index.html>.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Funds are available for EPA's obligation to the State during the fiscal year in which they are allotted and during the following year. Recovery Act funds were available for EPA's obligation to the State until September 30, 2010. The term of the grant shall be determined at the time of award. States must agree to enter into binding commitments with loan recipients to provide financial assistance from the DWSRF in an amount equal to the sum of Federal assistance, less amounts used by the State for eligible set-aside purposes, and the State match. States are also required to agree to commit and expend all funds in the DWSRF as efficiently as possible, and in a timely manner. See the following for information on how assistance is awarded/released: The method of fund disbursement will be determined at the time of award.

Reports:

States shall provide biennial reports to the Regional Administrator in accordance with the schedule established in the grant agreement (generally not later than 90 days after the end of the second fiscal year during which the payments were received). The biennial report shall describe how the State has met the goals and objectives for the preceding two fiscal years as identified in its intended use plans for those periods, including identification of loan recipients, loan amounts, and loan terms and similar details on other forms of financial assistance provided from the DWSRF. For grants to Tribes and U.S. Territories, procedures for accounting, auditing, evaluating, and reviewing any program for activities in whole or in part shall be governed by regulations at 40 CFR Parts 31 and 35, "Environmental Program Grants for Tribes," with reports as required by the specific terms of the agreement. For Recovery Act funds, funding reports must be submitted in a prescribed format within 10 calendar days after the end of each calendar quarter, beginning October 10, 2009. These reports will include data elements as prescribed by Public Law 111-5, Section 1512 and OMB Guidance. Program reports are required under this program. Cash reports are required under this program. Progress reports are required under this program. Expenditure reports are required under this program. Performance monitoring is required under this program.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer

and the Grants Specialist may occur each year. States must comply with the provisions of the Single Audit Act Amendments of 1996, and the OMB Circular No. A-133 and Compliance Supplement. States are also encouraged to conduct annual independent audits. The audit of the fund to be prepared by the State or an independent auditor must be in accordance with the standards of the Government Accountability Office (known as the Generally Accepted Government Auditing Standards). To the extent that the set-asides are used for project purposes that must be repaid, or are directly related to the DWSRF (e.g., administration) or are revolving funds themselves, they must be part of an audited opinion(s). The audits must provide an auditor's opinion on the DWSRF financial statements, a report on internal controls and a report on compliance with laws and regulations. Those set-aside funds that are not loaned out may be audited in conjunction with audits conducted under the Single Audit Act, as described in OMB Circular No. A-133 and OMB's Compliance Supplement for Single Audits of State and Local Governments.

Records:

Financial records, including all documents to support entries on accounting records and to substantiate changes to each grant, must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained for three years from the date of submission of the final expenditure report. If questions still remain, such as those raised as a result of audit, related records should be retained until the matter is completely resolved. As part of the annual review conducted by the Agency to assess a State's performance against activities identified in the intended use plan and biennial report, and to determine compliance with the terms of the capitalization grant agreement, the State or assistance recipient shall make available to EPA such records as the Regional Administrator reasonably requires to review and determine State compliance with the requirements of the SDWA.

Account Identification:

68-0102-0-1-304; 68-0103-0-1-304.

Obligations:

(Formula Grants) FY 12 \$917,892,000; FY 13 est \$861,900,000; and FY 14 est \$813,000,000 - Recovery Act funds - FY 12 \$0; FY 13 est. \$0; and FY 14 est. \$0.

Range and Average of Financial Assistance:

States: \$8,975,000 to \$83,957,000/fiscal year; \$16,318,800/fiscal year. Tribes: \$6,000 to \$2,400,000/fiscal year; \$480,000/fiscal year. Territories: \$2,057,000 to \$13,573,000/fiscal year; \$4,189,000/fiscal year. Recovery Act funds - States: \$19,500,000 to \$160,000,000/fiscal year. Territories: \$500,000 to \$2,100,000/fiscal year. Tribes: \$15,600 to \$3,200,000/fiscal year.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: All 50 states and Puerto Rico have established and are implementing DWSRF programs through receipt of a capitalization grant. In FY 12, States made 730 loans to systems for a cumulative total of \$23.7 billion to conduct infrastructure improvement projects. Of the total number of loans, 71% of loans went to small water systems that serve 10,000 persons or fewer. States also used funds to prevent drinking water contamination through source water protection and enhanced system management. Fiscal Year 2013: All 50 states and Puerto Rico have established and are implementing DWSRF programs through receipt of a capitalization grant. In FY 13, states, tribes, and territories will continue to receive funding to support infrastructure improvement projects. Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

EPA Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments (40 CFR Part 31) and Drinking Water State Revolving Funds (40 CFR Part 35, Subpart L). Additional program information, including contact information for state program managers, is available online at <http://www.epa.gov/safewater/dwsrf.html>.

Regional or Local Office:

See Regional Agency Offices. See EPA Regional Offices listed in Appendix IV of the Catalog, or online.

Headquarters Office:

Peter Shanaghan, Environmental Protection Agency, Infrastructure Branch (4606M), Drinking Water Protection Division, Office of Groundwater and Drinking Water, 1200 Pennsylvania Avenue, NW, Washington, District of

Columbia 20460 Email: shanaghan.peter@epa.gov Phone: (202) 564-3848.

Website Address:

<http://water.epa.gov/drink/index.cfm>

RELATED PROGRAMS:

66.458 Capitalization Grants for Clean Water State Revolving Funds

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Drinking water projects addressing treatment, storage, source, transmission, distribution and consolidation. Some examples of projects include: construction of a ultra-violet treatment facility; construction of pumping stations; upgrades of water treatment plant; line replacement; and new wells to replace wells that have been contaminated. Fiscal Year 2013: Drinking water projects addressing treatment, storage, source, transmission, distribution and consolidation. Some examples of projects include: construction of a ultra-violet treatment facility; construction of pumping stations; upgrades of water treatment plant; line replacement; and new wells to replace wells that have been contaminated. Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement.

66.469 GREAT LAKES PROGRAM

(GLNPO)

FEDERAL AGENCY:

Office of Water, Environmental Protection Agency

AUTHORIZATION:

National Environmental Policy Act, Section 102(2)(F); Clean Water Act, Section 104&118, 33 U.S.C 1254; Consolidated Appropriations Act, 2012.

OBJECTIVES:

To restore and maintain the chemical, physical, and biological integrity of the Great Lakes Basin Ecosystem. USEPA leads a consortium of programs, agencies, and public and private institutions in attaining specific objectives and actions that will address the most significant Great Lakes ecosystem problems and efforts in five major focus areas: Toxic Substances and Areas of Concern; Invasive Species; Nearshore Health and Nonpoint Source Pollution; Habitat and Wildlife Protection and Restoration; Accountability, Education, Monitoring, Evaluation, Communication and Partnerships. Funded activities will advance protection and restoration of the Great Lakes ecosystem in support of (i) the Great Lakes Restoration Initiative as described in the Great Lakes Restoration Initiative Action Plan (http://greatlakesrestoration.us/pdfs/glri_actionplan.pdf), (ii) the Great Lakes portion of Objective 2.02 (Protect and Restore Watersheds and Aquatic Ecosystems) of EPA's 2011-2015 Strategic Plan, and/or (iii) the Great Lakes Regional Collaboration Strategy to Protect and Restore the Great Lakes (<http://www.gllrc.us/strategy.html>).

Funding Priority - Fiscal Year 2013: The Great Lakes Restoration Initiative (GLRI), guided by the Great Lakes Regional Collaboration Strategy, is a coordinated effort among EPA and the Great Lakes Federal Interagency Task Force to fund the highest priority activities in order to protect and restore the Great Lakes.

The President in 2009 announced a new Great Lakes Restoration Initiative, committing the federal government to significantly advance Great Lakes protection and restoration pursuant to that work. EPA has since then led the Interagency Task Force in development of a 2010-2014 Great Lakes Restoration Initiative Action Plan (Action Plan). The Action Plan, built upon the foundation of the GLRC Strategy and developed with stakeholder input from meetings throughout the Great Lakes, targets the most significant environmental problems in the Great Lakes ecosystem. The Action Plan is an action driver, articulating the most significant ecosystem problems and efforts to address them in five major focus areas:

- (i) Toxic Substances and Areas of Concern, including pollution prevention and cleanup of the most polluted areas in the Great Lakes.
- (ii) Invasive Species, including efforts to institute a "zero tolerance policy"

toward new invasions.

(iii) Nearshore Health and Nonpoint Source Pollution, including a targeted geographic focus on high priority watersheds and reducing polluted runoff from urban, suburban, and agricultural lands.

(iv) Habitat and Wildlife Protection and Restoration, including bringing wetlands and other habitat back to life, and the first-ever comprehensive assessment of the entire 530,000 acres of Great Lakes coastal wetlands for the purpose of strategically targeting restoration and protection efforts in a science-based manner.

(v) Accountability, Education, Monitoring, Evaluation, Communication and Partnerships, including the implementation of goal- and results-based accountability measures, learning initiatives, outreach and strategic partnerships.

The GLRI is placing a special priority on cleaning up and de-listing Areas of Concern, reducing phosphorus contributions from agricultural and urban lands that contribute to harmful algal blooms and other water quality impairments, and invasive species prevention.

TYPES OF ASSISTANCE:

DISSEMINATION OF TECHNICAL INFORMATION; PROJECT GRANTS; USE OF PROPERTY, FACILITIES, OR EQUIPMENT

USES AND USE RESTRICTIONS:

The statutory authority for awards from 2013/2014 funding is contained in Consolidated Appropriations Act 2012 and P.L. 113-6, including administrative provisions authorizing grants "for planning, research, monitoring, outreach, and implementation for grants to and/or cooperative agreements with governmental entities, nonprofit organizations, institutions, and individuals in furtherance of the Great Lakes Restoration Initiative and the Great Lakes Water Quality Agreement." Great Lakes Restoration Initiative implementation, as described above, includes but is not limited to land use activities, governmental partnerships and informed public participation, technology development, investigations, experiments, training, demonstrations, surveys, and studies to the extent consistent with the Great Lakes Regional Collaboration or the Great Lakes Restoration Initiative Action Plan.

The Statutory Authority to take action to implement the U.S. responsibilities under the Great Lakes Water Quality Agreement, which these awards will support, is contained in Section 118(c) of the Clean Water Act. The principal goal of the Great Lakes Water Quality Agreement is the restoration and maintenance of the chemical, physical, and biological integrity of the Great Lakes Ecosystem. For projects with international aspects the above statutes are supplemented, as appropriate, by the National Environmental Policy Act, Section 102(2)(F).

Grants and cooperative agreements are available to support recipients' allowable direct costs incident to approved activities plus allowable indirect costs, in accordance with established EPA policies and regulations. Quality System Documentation is required for projects involving measurements or information that describe environmental processes, location, or conditions; ecological or health effects and consequences; or the performance of environmental technology. Section 118 (c)(12) authorizes contaminated sediment remediation in Areas of Concern pursuant to eligible projects under the Great Lakes Legacy Act; however, these will be done through project cooperation agreements, rather than assistance.

Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal

Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

Entities eligible to apply for grants include governmental entities, nonprofit organizations, institutions, and individuals. This includes state agencies; any agency or instrumentality of local government; interstate agencies; federally-recognized tribes and tribal organizations; colleges and universities that are subject to 40 CFR Part 30 or 40 CFR Part 31; non-profit organizations; and other public or non-profit private agencies, institutions, and organizations. Non-profit organization, as defined by OMB Circular A-122, located at 2 CFR Part 230, means any corporation, trust, association, cooperative, or other organization which: (1) is operated primarily for scientific, educational, service, charitable, or similar purposes in the public interest; (2) is not organized primarily for profit; and (3) uses its net proceeds to maintain, improve, and/or expand its operations. Non-profit organizations described in Section 501(c)(4) of the Internal Revenue Code that engage in lobbying activities as defined in Section 3 of the Lobbying Disclosure Act of 1995 are not eligible applicants. "For profit" organizations are not eligible applicants. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

Beneficiaries include governmental entities, nonprofit organizations, institutions, and individuals. This includes state agencies; any agency or instrumentality of local government; interstate agencies; federally-recognized tribes and tribal organizations; colleges and universities that are subject to 40 CFR Part 30 or 40 CFR Part 31; non-profit organizations; and other public or non-profit private agencies, institutions, and organizations. Non-profit organization, as defined by OMB Circular A-122, located at 2 CFR Part 230, means any corporation, trust, association, cooperative, or other organization which: (1) is operated primarily for scientific, educational, service, charitable, or similar purposes in the public interest; (2) is not organized primarily for profit; and (3) uses its net proceeds to maintain, improve, and/or expand its operations. Non-profit organizations described in Section 501(c)(4) of the Internal Revenue Code that engage in lobbying activities as defined in Section 3 of the Lobbying Disclosure Act of 1995 are not eligible applicants. "For profit" organizations are not eligible applicants. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Credentials/Documentation:

The provisions of OMB Circular No. A-87 "Cost Principles for State, Local and Indian Tribal Governments," OMB Circular No. A-21 "Cost Principles for Educational Institutions," and of OMB Circular No. A-122 "Cost Principles for Nonprofit Organizations" apply. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. Preapplication assistance is available. Requests for Initial Proposals and Proposals will be accessible on the Internet from GLNPO's home page (<http://www.epa.gov/glnpo/>) and an announcement will be mailed to organizations which have registered with GLNPO. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. As specified in applicable requests for proposals. Application kits may be requested from USEPA GLNPO (G-17J), 77 West

Jackson Boulevard, Chicago, Illinois 60604-3590. Application Packages should be submitted to the same address. Standard application forms, as furnished by the Federal agency and required by OMB Circular No. A-102, must be used. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

Proposals are evaluated by EPA reviewers to determine the adequacy of the application under Great Lakes objectives, including technical merit, relevance of the project, environmental outcomes, and cost. Administrative review is done by the Regional Assistance Branch. Funds are awarded by the Great Lakes National Program Office or Region 2, 3, or 5, as applicable. Great Lakes Restoration Initiative assistance is approved by the Great Lakes National Program Manager. For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 60 days after deadline for application submission.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

Contact the Great Lakes National Program Office for information.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: Leveraging, including an applicant's match percentage, will be considered as part of the project selection process for grants and cooperative agreements; however, the program does not expect to require any minimum match requirement. Any such requirement will be specified in the individual Request for Proposals. A minimum 35 percent matching requirement will apply to Legacy Act projects (Section 103 of the Great Lakes Legacy Act of 2002).

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Generally 1-3 years; however, some incremental awards are made up to 5 years. The term of the grant shall be determined at the time of grant award. See the following for information on how assistance is awarded/released: The method of fund disbursement will be determined at the time of award.

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Agreements may require quarterly, interim, and final progress reports, and expenditure/financial, equipment, and invention reports. Specific reporting requirements are also identified in the Grant Regulations at 40 CFR Part 30 and 40 CFR Part 31, as applicable. Program reports are required under this program. Cash reports are required under this program. Progress reports are required under this program. Expenditure reports are required under this program. Performance monitoring is required under this program.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements

are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Financial records, including all documents to support entries on accounting records and to substantiate changes to each grant, must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained for three years from the date of submission of the final expenditure report. If questions still remain, such as those raised as a result of audit, related records should be retained until the matter is completely resolved.

Account Identification:

68-0108-0-1-304.

Obligations:

(Project Grants) FY 12 \$97,629,128; FY 13 est \$100,000,000; and FY 14 est \$100,000,000 - Grants and Cooperative Agreements - FY 12 \$47,686,794; FY 13 est. \$50,000,000; and FY 14 est. \$50,000,000. Legacy Act (Project Agreements) - FY 12 \$49,942,334; FY 13 est. \$50,000,000; and FY 14 est. \$50,000,000.

Range and Average of Financial Assistance:

Grants and Cooperative Agreements. Range: \$15,000 to \$5,000,000. Average: approximately \$500,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: In FY 12, GLNPO issued a competitive Request for Applications offering a total of \$20 million for nine categories of projects in the following four areas: Toxic Substances and Areas of Concern; Invasive Species; Nearshore Health and Nonpoint Source Pollution; and Accountability, Education, Monitoring, Evaluation, Communication and Partnerships. In response, applicants submitted 200 applications requesting approximately \$73 million and 57 awards were made totaling approximately \$21.4 million. GLNPO also issues non-competitive grants to States and Tribes for LaMP and RAP activities and funds contaminates sediment remediation projects, in Great Lakes Areas of Concern, under the Great Lakes Legacy Act. Additional information is available from links at <http://www.epa.gov/grtlakes/fund/index.html>.

The investments made under the Great Lakes Restoration Initiative (GLRI) are showing promising results in addressing the most critical environmental challenges facing the Great Lakes. Some of the notable achievements from GLRI include:

o The Presque Isle, PA Area of Concern (AOC) was delisted in February, 2013. This is the 2nd US AOC delisting and the first since 2006.

o From GLRI's inception through February 2013, twenty-five Beneficial Use Impairments (BUIs) at thirteen different AOCs have been removed.

o All management actions necessary for delisting were completed at the Sheboygan River, WI AOC in January 2013.

o In FY12, we reported remediation of over a million cubic yards of contaminated sediments using various federal and state authorities.

o No new invasive species populations have been detected in the Great Lakes, including Asian carp.

o Over 90,000 acres (cumulative) of wetland, coastal, upland, and island habitat were protected, restored, or enhanced.

Descriptions of Great Lakes Restoration Initiative projects are available from: <http://greatlakesrestoration.us>. Fiscal Year 2013: GLNPO holds competitions throughout the year based on available funds and Agency priorities. The number of applications and the number of awards made is dependent on the competitive announcement issued. The announcement typically includes the number of anticipated awards. FY2013 announcement information is not available. GLNPO also issues non-competitive grants to States and Tribes for

LaMP and RAP activities and funds contaminates sediment remediation projects, in Great Lakes Areas of Concern, under the Great Lakes Legacy Act. Additional information is available from links at <http://www.epa.gov/grtlakes/fund/qlf.html>. Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Requests for Proposals, examples, and other Great Lakes funding information is available from <http://www.epa.gov/glnpo/fund/>. General regulations for EPA assistance programs are contained in 40 CFR Parts 30 through 47.

Regional or Local Office:

See Regional Agency Offices. USEPA Great Lakes National Program Office (G-17J), 77 West Jackson Boulevard, Chicago, Illinois 60604-3590. Patricia Thompson, 312-886-6015, thompson.patricia@epa.gov Mike Russ, 312-886-4013, russ.michael@epa.gov.

Headquarters Office:

Mike Russ, EPA, Great Lakes National Program, Office (G-17J), 77 West Jackson Boulevard, Chicago, Illinois 60604 Email: russ.michael@epa.gov Phone: (312) 886-4013.

Website Address:

<http://www.epa.gov/glnpo/fund/>

RELATED PROGRAMS:

66.419 Water Pollution Control State, Interstate, and Tribal Program Support; 66.436 Surveys, Studies, Investigations, Demonstrations, and Training Grants and Cooperative Agreements - Section 104(b)(3) of the Clean Water Act; 66.454 Water Quality Management Planning; 66.460 Nonpoint Source Implementation Grants

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Grants and cooperative agreements will be awarded to governmental entities, nonprofit organizations, and institutions for planning, research, monitoring, outreach, and implementation in support of the Great Lakes Restoration Initiative. Projects will be funded within the focus areas of: (i) Toxic Substances and Areas of Concern, including pollution prevention and cleanup of the most polluted areas in the Great Lakes; (ii) Invasive Species, including efforts to institute a "zero tolerance policy" toward new invasions; (iii) Nearshore Health and Nonpoint Source Pollution, including a targeted geographic focus on high priority watersheds and reducing polluted runoff from urban, suburban and, agricultural sources; and (iv) Accountability, Education, Monitoring, Evaluation, Communication and Partnerships, including the implementation of goal- and results-based accountability measures, learning initiatives, outreach and strategic partnerships. Under the Great Lakes Legacy Act, projects will also be funded through contracts for remediation of contaminated sediments in Great Lakes Areas of Concern. Project descriptions will be available from: <http://greatlakesrestoration.us>. Fiscal Year 2013: Grants and cooperative agreements will be awarded to governmental entities, nonprofit organizations, and institutions for planning, research, monitoring, outreach, and implementation in support of the Great Lakes Restoration Initiative. Projects will be funded within the focus areas of: (i) Toxic Substances and Areas of Concern, including pollution prevention and cleanup of the most polluted areas in the Great Lakes; (ii) Invasive Species, including efforts to institute a "zero tolerance policy" toward new invasions; (iii) Nearshore Health and Nonpoint Source Pollution, including a targeted geographic focus on high priority watersheds and reducing polluted runoff from urban, suburban and, agricultural sources; and (iv) Accountability, Education, Monitoring, Evaluation, Communication and Partnerships, including the implementation of goal- and results-based accountability measures, learning initiatives, outreach and strategic partnerships. Under the Great Lakes Legacy Act, projects will also be funded through contracts for remediation of contaminated sediments in Great Lakes Areas of Concern. Project descriptions will be available from: <http://greatlakesrestoration.us>. Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement. Proposals are approved by EPA according to technical merit and relevance to the Great Lakes Water Quality Agreement, Section 118 of the Clean Water Act, and the criteria established in the applicable Request for Proposals.

66.471 STATE GRANTS TO REIMBURSE OPERATORS OF SMALL WATER SYSTEMS FOR TRAINING AND CERTIFICATION COSTS

(Operator Certification Expense Reimbursement Grants)

FEDERAL AGENCY:

Office of Water, Environmental Protection Agency

AUTHORIZATION:

Safe Drinking Water Act (SDWA) Amendments of 1996, Section 301, Public Law 104-182.

OBJECTIVES:

This grant program was established as a one-time grant to states and U.S. territories to train and certify small drinking water system operators.

Funding Priority - Fiscal Year 2013: EPA's funding priority has been to provide funds to states to reimburse the costs of training, including an appropriate per diem for unsalaried operators, and certification for persons operating community and nontransient noncommunity public water systems serving 3,300 persons or fewer that are required to undergo training pursuant to EPA's operator certification guidelines. The Operator Expense Reimbursement Grant (ERG) program closed at the end of calendar year 2012.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Grants may be used by states to reimburse operators of community and nontransient noncommunity water systems serving 3,300 persons or fewer for the costs of training and certification needed to meet EPA guidelines. Unsalaries operators may also be reimbursed for per diem costs. States are allowed to use a portion of their grant to administer the program. If a state has reimbursed all such costs, the state may, after notice to the Administrator of EPA, use any remaining funds from the grant for any of the other purposes authorized for Drinking Water State Revolving Fund (DWSRF) capitalization grants under section 1452 of the 1996 SDWA Amendments (CFDA 66.468).

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

States, Puerto Rico, and U.S. Territories are eligible to receive grants.

Beneficiary Eligibility:

States and U.S. Territories are the primary beneficiaries. States and U.S. Territories use funds awarded to them to provide reimbursement to operators of community and nontransient noncommunity public water systems serving 3,300 persons or fewer that are publicly- or privately-owned. Indian tribes and Alaska Native Villages may be eligible to receive funding through state programs if they are subject to state operator certification program requirements.

Credentials/Documentation:

To receive a grant, a State or territory enters into an agreement with the EPA Regional Administrator which shall include, but not be limited to, the requirements set forth in Section 1419(d) of the 1996 SDWA Amendments. OMB Circular No. A-87, "Cost Principles Applicable to Grants and Contracts with State and Local Governments" applies to state grantees. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

An applicant (State and U.S. territory) should seek preapplication assistance from the appropriate EPA Regional Office as listed in Appendix IV of the Catalog. An environmental impact statement is not required prior to grant award. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information

on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. The standard application for EPA non-construction grant assistance (EPA Form SF-424) is submitted to the appropriate Regional Office. The state must receive EPA approval of its operator certification program before it can apply for and receive a grant. The state must provide the assurance in its application that it will comply with all applicable Federal cross-cutting authorities and Federal statutes. EPA grant regulations (40 CFR Part 31) apply to states receiving grants. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

A grant application is reviewed by the appropriate Regional Office, and if approved, the grant is awarded by the Regional Administrator under a delegation of authority (Delegation of Authority 9-68) from the Administrator of EPA. EPA Headquarters retains the authority to review certain applications or parts thereof.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approval time averages 45 days.

Appeals:

Disputes will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title 66 FR 19939. The Regional Administrator may award expense reimbursement grants from funds appropriated for this purpose. Allotments to the States and U.S. territories are based on a formula, approved by the Assistant Administrator for Water, that allocates the funds based on several factors, including the number of operators of small systems within a State. The allocation methodology was published in the Federal Register on April 18, 2001 (66 FR 19939).

Matching Requirements: There are no matching requirements on the initial grant award. However, if a state reimburses all eligible costs and chooses to use the remaining funds for purposes eligible under the DWSRF program (CFDA 66.468), it will be required to provide a 20 percent match on the remaining amount. The allotment of funds to the States is determined by a formula that reflects the costs to train and certify operators of system serving 3,300 persons or fewer in each state.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

During the two year reimbursement period, 54 awards were made to states for reimbursement of certification and training cost for drinking water operators of small water systems serving populations of 3,300 or less. See the following for information on how assistance is awarded/released: The method of fund disbursement will be determined at the time of award.

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Agreements may require quarterly, interim, and final progress reports, and expenditure/financial, equipment, and invention reports. Specific reporting requirements are also identified in the Grant Regulations at 40 CFR Part 30 and 40 CFR Part 31, as applicable. Program reports are required under this program. Cash reports are required under this program. Progress reports are required under this program. Expenditure reports are required under this program. Performance monitoring is required under this program.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000

or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Financial records and other records which substantiate the reasonable and allocable charges must be available to personnel authorized to examine EPA grant accounts. All records must be maintained for three years from the date of submission of the annual financial status reports. If questions arise, related records must be retained until the matter is completely resolved.

Account Identification:

68-0103-0-1-304.

Obligations:

(Formula Grants) FY 12 \$0; FY 13 est \$0; and FY 14 est \$0

Range and Average of Financial Assistance:

\$14,420 to \$9,642,860/fiscal year; \$4,828,640/fiscal year.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: In FY 12, awarded funds will continue to be used to reimburse operators of community and non-transient non-community water systems serving 3,300 persons or fewer for the costs of certification (initial certification fees, certification renewal fees, exam fees) and training (training course fees and materials). Fiscal Year 2013: Not applicable because no funding is available in FY 13. Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Applicants for assistance should review 40 CFR Part 31, the General Grant Regulations and Procedures and guidance produced by the Headquarters drinking water program office.

Regional or Local Office:

See Regional Agency Offices. See EPA Regional Offices listed in Appendix IV of the Catalog, or online.

Headquarters Office:

Allison Watanabe Protection Branch (4606M), Drinking Water Protection Division, Office of Groundwater and Drinking Water, U.S. Environmental Protection Agency, Washington, District of Columbia 20460 Email: watanabe.allison@epa.gov Phone: (202) 564-0128

Website Address:

<http://water.epa.gov/infrastructure/drinkingwater/pws/dwoperatorcert/index.cfm>

RELATED PROGRAMS:

66.468 Capitalization Grants for Drinking Water State Revolving Funds

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: All States, Puerto Rico, the Commonwealth of the Northern Mariana Islands, and Guam have received grant funds for this program. Funds for this grants program are being used to reimburse operators of community and nontransient noncommunity water systems serving 3,300 persons or fewer for the costs of training and certification. Examples of eligible reimbursement costs included: initial certification fees, certification renewal fees, examination fees, costs of training courses, and mileage reimbursements. Fiscal Year 2013: Not applicable as no funding will be provided in FY 13. Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Grants are awarded to States that satisfy the requirements outlined in the application procedure section.

66.472 BEACH MONITORING AND NOTIFICATION PROGRAM IMPLEMENTATION GRANTS

BEACH Act Program

FEDERAL AGENCY:

Office of Water, Environmental Protection Agency

AUTHORIZATION:

Beaches Environmental Assessment and Coastal Health Act of 2000, Public Law 106-284; Clean Water Act, Section 406.

OBJECTIVES:

To assist Coastal and Great Lakes States and Tribes eligible under Section 518(e) of the Clean Water Act, as amended, in developing and implementing programs for monitoring and notification for coastal recreation waters adjacent to beaches or similar points of access that are used by the public.

Funding Priority - Fiscal Year 2013: EPA's funding priority is to award grants to those applicants whose proposals clearly demonstrate a state's, tribe's, or local government's ability to monitor recreational waters; notify the public of risks; manage programs; and communicate among environmental and public health agencies and the public.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

These grants are intended to support the development and implementation of recreational water monitoring and notification programs or support enhancement of an existing program. EPA encourages grantees to use implementation grant funds to test various approaches for meeting BEACH Act program requirements. For development grants, programs must clearly demonstrate an increase in a State's, Tribe's, or local government's ability to monitor recreational waters and notify the public of risks; manage programs; and communicate among environmental and public health agencies and the public. To be eligible for implementation grants, programs must also demonstrate that they meet the program performance criteria listed in the National Beach Guidance and Required Performance Criteria for Grants (EPA-823-B-02-004). Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

Coastal and Great Lakes States, territories (Puerto Rico, the U.S. Virgin Islands, Guam, American Samoa, and the Commonwealth of the Northern Mariana Islands), and Tribes eligible under Section 518(e) of the Clean Water Act, as amended. The Administrator may make a grant to a local government under this subsection for implementation of a monitoring and notification program only if, after the one-year period beginning on the date of publication of performance criteria under Section 406 (a)(1), the Administrator determines that the State is not implementing a program that meets the requirements of Section 406(a)(1), regardless of whether the State has received a grant under Section 406(a)(1). Interstate agencies and intertribal consortia are not eligible for Beach grants.

Beneficiary Eligibility:

States, U.S. territories, Federally recognized Indian Tribal Governments, environmental and public health agencies, and local governments involved in implementing monitoring and notification programs.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State, Tribal, and local governments. Recipients must show compliance with 40 CFR Part 31. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination with the appropriate EPA Regional Office is encouraged. The standard application forms, as furnished by the Federal agency and required by OMB Circular No. A-102, must be used for this program. Environmental impact information is not required for this program. This

program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Requests for application forms should be made to the appropriate EPA Regional Grants Management Office identified in Appendix IV of the Catalog. Completed applications should be sent to the appropriate Regional or Headquarters Contact. All proposals or work statements should be developed in response to the criteria identified in CRITERIA FOR SELECTING PROPOSALS. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

Grant applications are reviewed by the appropriate EPA Regional Office, and if approved, are awarded by the Regional Administrator.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Grants are usually approved within five months of receipt of complete application.

Appeals:

Disputes will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

Renewals are subject to approval by the appropriate EPA Regional or Headquarters Contact. Please contact the appropriate EPA Regional or Headquarters Contact for guidance.

Formula and Matching Requirements:

Statutory Formula: Title Beaches Environmental Assessment and Coastal Health Act of 2000. EPA awards grants to all eligible States and territories who apply for funding based on an allocation formula that the Agency developed for allocating BEACH Act grant funds in 2002. The allocation formula uses three factors: (1) beach season length, (2) beach miles, and (3) beach use.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Beach grants are normally funded on a 12-month basis (yearly). However, EPA may negotiate the project period, grant continuations, and grant amendments with each applicant based on project requirements. See the following for information on how assistance is awarded/released: The method of fund disbursement will be determined at the time of award.

Reports:

Recipients must submit annual performance reports and financial reports as required in 40 CFR 31.40 and 31.41. The annual performance report explains changes to the beach monitoring and notification program during the grant year. It also describes how the grant funds were used to implement the program to meet the performance criteria listed in the National Beach Guidance and Required Performance Criteria for Grants (EPA 823-B-02-004). The annual performance report required under 40 CFR 31.40 is due no later than 90 days after the grant year ends. Recipients must also submit annual monitoring and notification reports required by the National Beach Guidance and Required Performance Criteria for Grants (EPA 823-B-02-004). Sections 2.2.3 and 4.3 of the document contain the performance criterion requiring an annual monitoring report, and sections 2.2.8 and 5.4 contain the performance criterion requiring an annual notification report. This document can be found at http://water.epa.gov/grants_funding/beachgrants/guidance_index.cfm. These reports are required to be submitted to EPA under CWA section 406(b)(3)(A) and the National Beach Guidance and Required Performance Criteria for Grants, and include data collected as part of a monitoring and notification program. As a condition of award of an implementation grant, EPA requires that the monitoring report and the notification report for any beach season be

submitted not later than January 31 of the year following the beach season. Program reports are required under this program. Cash reports are required under this program. Progress reports are required under this program. Expenditure reports are required under this program. Performance monitoring is required under this program.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Financial records, including all documents to support entries on accounting records and to substantiate charges to each grant, must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained for 3 years from the date of submission of the annual financial status report. If questions still remain, such as those raised as a result of audit, related records should be retained until the matter is completely resolved.

Account Identification:

68-0103-0-1-304.

Obligations:

(Formula Grants) FY 12 \$10,887,100; FY 13 est \$9,349,000; and FY 14 est \$0

Range and Average of Financial Assistance:

States and territories: \$ 150,000 to \$516,000/fiscal year; \$280,000/fiscal year. In FY 13, EPA made \$150,000 available to eligible Tribes. In FY 13, there were three eligible Tribes.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: In FY 12, EPA awarded grants to all 35 coastal and Great Lakes states and territories and three eligible tribes that qualified for the BEACH Act Grant program. Program benefits from funding in FY 12 include: development and implementation of recreational water quality monitoring protocol (sampling design, indicator organism); decreasing swimmer exposure by improving communication outreach and education to public on swimming advisories; establishing more efficient and timely management decision process for posting swimming advisories; and constructing databases to provide government and public access to data and information. Fiscal Year 2013: In FY 13, grants will continue to support the development and implementation of recreational water quality monitoring and notification programs or support enhancement of an existing program. Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

EPA Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments (40 CFR Part 31).

Regional or Local Office:

See Regional Agency Offices. Region I (Connecticut, Maine, Massachusetts, New Hampshire, Rhode Island) Caitlyn Whittle, USEPA Region 1, 5 Post Office Square, Suite 100 (OEP06-1), Boston, MA 02109-3912; Telephone: (617) 918-1748; FAX: (617) 918-0748; e-mail: whittle.caitlyn@epa.gov

Region II (New Jersey, New York, Puerto Rico, U.S. Virgin Islands) Helen Grebe, USEPA Region 2, 2890 Woodbridge Ave. MS220, Edison, NJ 08837-3679; Telephone: (732) 321-6797; FAX: (732) 321-6616; e-mail: grebe.helen@epa.gov

Region III (Delaware, Maryland, Pennsylvania, Virginia) Denise Hakowski, USEPA Region 3, 1650 Arch Street 3WP30, Philadelphia, PA 19103-2029; Telephone: (215) 814-5726; FAX: (215) 814-2318; e-mail: hakowski.denise@epa.gov

Region IV (Alabama, Florida, Georgia, Mississippi, North Carolina, South Carolina) Joel Hansel, USEPA Region 4, 61 Forsyth St. 15th Floor, Atlanta, GA 30303-3415; Telephone: (404) 562-9274; FAX: (404) 562-9224; e-mail: hansel.joel@epa.gov

Region V (Illinois, Indiana, Michigan, Minnesota, Ohio, Wisconsin); Holly Wirick, USEPA Region 5, 77 West Jackson Blvd. WT-16J, Chicago, IL 60604-3507; Telephone: (312) 353-6704; FAX: (312) 886-0168; e-mail: wirick.holiday@epa.gov

Region VI (Louisiana, Texas) Daniel Reid, USEPA Region 6, 1445 Ross Ave. 6WQ-EW, Dallas, TX 75202-2733; Telephone: (214) 665-6536; FAX: (214) 665-6689; e-mail: reid.daniel@epa.gov

Region IX (American Samoa, Commonwealth of the Northern Mariana Islands, California, Guam, Hawaii) Terry Fleming, USEPA Region 9, 75 Hawthorne St. WTR-2, San Francisco, CA 94105; Telephone: (415) 744-1939; FAX: (415) 744-1078; e-mail: fleming.terrence@epa.gov

Region X (Alaska, Oregon, Washington) Rob Pedersen, USEPA Region 10, 120 Sixth Ave. OW-134, Seattle, WA 98101; Telephone: (206) 553-1646; FAX: (206) 553-0165; e-mail: pedersen.rob@epa.gov.

Headquarters Office:

Richard Healy, USEPA, 1200 Pennsylvania Ave. NW, (4305T), Washington, District of Columbia 20460 Email: healy.richard@epa.gov Phone: (202) 566-0405.

Website Address:

<http://www.epa.gov/waterscience/beaches>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Development and implementation of beach monitoring programs; development of databases and websites; purchase of lab equipment for water quality monitoring; construction and posting of signs on beaches; and purchase of vehicles for monitoring. Fiscal Year 2013: Development and implementation of beach monitoring programs; development of databases and websites; purchase of lab equipment for water quality monitoring; construction and posting of signs on beaches; and purchase of vehicles for monitoring. Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

To be eligible for program implementation grants, applicants must meet program performance criteria outlined in National Beach Guidance and Required Performance Criteria for Grants (EPA-823-B-02-004). The performance criteria set forth implementation grant requirements for monitoring and assessing recreation waters and promptly notifying the public of exceedances of water quality for pathogens. As a condition of receipt of a program Implementation Grant under Section 406(b) of the Clean Water Act, a State or local government program for monitoring and notification under this section shall identify: (1) lists of coastal recreation waters in the State, including coastal recreation waters adjacent to beaches or similar points of access that are used by the public; (2) in the case of a State program for monitoring and notification, the process by which the State may delegate to local governments responsibility for implementing the monitoring and notification program; (3) the frequency and location of monitoring and assessment of coastal recreation waters based on: (a) the periods of recreational use of the waters; (b) the nature and extent of use during certain periods; (c) the proximity of the waters to known point sources and nonpoint sources of pollution; and (d) any effect of storm events on the waters; (4)(a) the methods to be used for detecting levels of pathogens and pathogen indicators that are harmful to human health; and (b) the assessment procedures for identifying short-term increases in pathogens and pathogen indicators that are harmful to human health in coastal recreation waters (including increases in relation to storm events); (5) measures for prompt communication of the occurrence, nature, location, pollutants involved, and extent of any exceeding of, or likelihood of exceeding, applicable water quality standards for pathogens and pathogen indicators to: (a) the Administrator, in such form as the Administrator

determines to be appropriate; and (b) a designated official of a local government having jurisdiction over land adjoining the coastal recreation waters for which the failure to meet applicable standards is identified; (6) measures for the posting of signs at beaches or similar points of access, or functionally equivalent communication measures that are sufficient to give notice to the public that the coastal recreation waters are not meeting or are not expected to meet applicable water quality standards for pathogens and pathogen indicators; and (7) measures that inform the public of the potential risks associated with water contact activities in the coastal recreation waters that do not meet applicable water quality standards.

66.473 DIRECT IMPLEMENTATION TRIBAL COOPERATIVE AGREEMENTS

(DITCA)

FEDERAL AGENCY:

Office of International and Tribal Affairs, Environmental Protection Agency

AUTHORIZATION:

Department of Defense, Military Construction and Veterans Affairs, and Full-Year Continuing Appropriations Act, 2013, Public Law 113-6.

OBJECTIVES:

Direct Implementation Tribal Cooperative Agreements (DITCAs) enable EPA to award cooperative agreements to federally recognized Indian tribes and eligible intertribal consortia to help carry out the Agency's function to implement directly, Federal environmental programs required or authorized by law in the absence of an acceptable tribal program, notwithstanding the Federal Grant and Cooperative Agreement Act. DITCAs are an increasingly important avenue for EPA and the tribes to realize meaningful environmental protection in Indian Country. DITCAs enable EPA to partner with tribes to help fulfill EPA's direct implementation authorities, yield environmental results, and assist the Agency in meeting its Strategic Plan goals and targets. DITCAs also provide tribes with flexibility and opportunity by allowing tribes, through a workplan with EPA and under federal authority, to choose aspects of a program that address their tribal environmental needs and priorities, to determine the scope and pace of tribal involvement, and to build tribal capacity to implement environmental programs.

Funding Priority - Fiscal Year 2013: The program provides another avenue for tribes and EPA to partner in implementing meaningful environmental protection in Indian Country. DITCAs provide tribes with the flexibility and opportunity to develop staff capacity to manage environmental programs, to address specific tribal environmental needs and priorities that are within EPA's authority for direct implementation, and to determine the scope and pace of tribal involvement. EPA retains final decision-making authority and ultimate responsibility for the environmental programs including all regulatory activities. EPA may award DITCAs to fund activities for environmental programs that meet either one of the following criteria: 1) federal programs under environmental laws that clearly require EPA to directly implement in the Tribal context; or 2) federal programs under environmental laws that in the state context EPA is required to directly implement in the absence of an acceptable state program. Because DITCAs can address a wide range of activities, and the need for implementation of these activities in Indian Country is great, the funding priority is for any eligible activity that addresses a tribal environmental need or priority, and yields meaningful environmental results for the tribe and the Agency.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

DITCAs assist Tribes in helping EPA directly implement Federal environmental programs required or authorized by law in the absence of an acceptable Tribal program, and may only be awarded to Tribes to assist the Administrator in directly implementing Federal environmental programs for Indian Tribes required or authorized by law. EPA may award DITCAs to fund activities for environmental programs that meet either one of the following criteria: 1) federal programs under environmental laws that clearly require EPA to directly implement in the Tribal context; or 2) federal programs under environmental laws that in the state context EPA is required to directly

implement in the absence of an acceptable state program. There are several limitations on DITCA awards. Among them are: (1) The statutory authority for DITCA is found in appropriations acts. In the event the appropriation authority is extended by continuing resolution(s), the DITCA authority will also be extended. (2) The project period of the DITCA may extend beyond the period of the appropriations act under which it was created, but all funds must be awarded prior to the expiration of the appropriations act authorizing the DITCA. (3) DITCA funded personnel may not perform inherently Federal functions. (4) EPA personnel can provide assistance to DITCA representatives based on the written DITCA work plan which may include daily direction. EPA cannot treat DITCA representatives as EPA employees by participating in hiring, disciplining, or firing decisions. (5) DITCA funded personnel cannot operate vehicles which are either owned or leased by the Federal government. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

DITCAs may be awarded to: (1) Federally Recognized Indian Tribal Government, and (2) intertribal consortia consistent with applicable provisions. In order for an intertribal consortium to be eligible to receive cooperative agreements under this authority, an intertribal consortium should be consistent with the provisions in 40 C.F.R. Part 35. See Notice of Guidance Issuance: Direct Implementation Tribal Cooperative Agreements (DITCAs) Guidance, 70 Fed. Reg 1440 (2005).

Beneficiary Eligibility:

Federally Recognized Indian Tribal Government and intertribal consortia consistent with applicable provisions.

Credentials/Documentation:

The provisions of OMB Circular No. A-87 "Cost Principles for State, Local and Indian Tribal Governments" apply. In order for intertribal consortium to be eligible to receive cooperative agreements under this authority, an intertribal consortium should be consistent with the provisions in 40 CFR Part 35. For additional information see also the Notice of Guidance Issuance, 70 Fed. Reg. 1440 (2005). OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. EPA will work with Tribes and Intertribal Consortia to develop work plans consistent with program guidance and any regulations that govern the implementation of the relevant Federal environmental program. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. The preapplication must be submitted to EPA for review. Successful applicants will be contacted by EPA and will be required to submit "Application for Federal Assistance," SF 424; "Budget Information: Non-Construction Programs," SF 424A; "Assurances-Non-Construction Programs, Certification Regarding Debarment, Suspension and Other Responsibility Matters," SF 424B; "Certification Regarding Lobbying;" "Pre-Award Compliance Review," EPA 4700-4; and other required forms to complete the application process. Eligible applicants should contact the appropriate Regional Office Contact identified in Appendix IV of the Catalog of information regarding preapplications. The forms are available at: <http://www.epa.gov/ogd/AppKit/application.htm>. Completed applications should be submitted to the appropriate Regional Office Contact identified in Appendix IV of the Catalog. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities

under this CFDA.

Award Procedure:

EPA will review each application to determine the adequacy of the application in relation to EPA's grant regulations (40 CFR Part 31) and applicable program regulations and guidance. If the application is approved, EPA will award a cooperative agreement up to the reasonable and necessary cost of the approved work plan.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Applications are generally approved and cooperative agreements awarded within 60 to 120 days.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. Cooperative agreement amounts awarded will be determined based on the total amount available for awards and the amounts requested by Tribes and Intertribal Consortia.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

EPA normally awards cooperative agreements for periods of 12 to 36 months. The term of the grant shall be determined at the time of award. See the following for information on how assistance is awarded/released: Other. The method of fund disbursement will be determined at the time of award.

Reports:

EPA and Tribes will negotiate the frequency and content of performance and financial status reports. EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Specific reporting requirements are also identified in the Grant Regulations at 40 CFR Part 30 and 40 CFR Part 35, "Environmental Program Grants for Tribes." Program reports are required under this program. Cash reports are required under this program. Progress reports are required under this program. Expenditure reports are required under this program. Performance monitoring is required under this program.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Financial records, including all documents to support entries on accounting records and to substantiate changes to each grant, must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained for three years from the date of submission of the annual Financial Status Report (SF-269). If questions still remain, such as those raised as a result of audit, related records should be retained until the matter is completely resolved.

Account Identification:

68-0108-0-1-304.

Obligations:

(Project Grants) FY 12 \$1,900,000; FY 13 est \$1,800,000; and FY 14 est \$1,800,000

Range and Average of Financial Assistance:

EPA expects cooperative agreement amounts to range between \$10,000 and \$100,000/fiscal year; Average: \$55,000/fiscal year.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Twenty projects were awarded in FY 2012. Examples of projects addressed by DITCAs continued to include regulatory oversight of Public Water Systems, to perform evaluation work, to develop products and to provide training; Source Water assessment and contingency planning; Continued development of Underground Storage Tank (UST) and Leaking Underground Storage Tank (LUST) Prevention programs. Fiscal Year 2013: The information for FY 2013 is not yet available. Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

EPA Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments (40 CFR part 31); Environmental Protection Agency and applicable EPA guidance. DITCA guidance is available at <http://www.epa.gov/tribal/grantsandfunding/ditcas.htm>.

Regional or Local Office:

See Regional Agency Offices. EPA's Regional Indian Coordinators at <http://www.epa.gov/indian/contactinfo/regcontacts.htm>.

Headquarters Office:

Jeff Besougloff, American Indian Environmental Office (2690M), EPA, 1200 Pennsylvania Ave., NW, Washington, District of Columbia 20460 Email: besougloff.jeff@epa.gov Phone: (202) 564-0292.

Website Address:

<http://www.epa.gov/indian/index.htm>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Examples of DITCAs funded activities include: water quality standards promulgation and review; implementation of the National Pollutant Discharge Elimination System permit program under the Clean Water Act; implementation plan development and the Title V permit program under the Clean Air Act; implementation of the Public Water System Supervision program and the Underground Injection Control program under the Safe Drinking Water Act; implementation of the Underground Storage Tank program and the Subtitle C permit program under the Resource Conservation and Recovery Act; certification and training program for pesticide applicators under the Federal Insecticide, Fungicide, and Rodenticide Act; inventory of underground storage tanks; and creation and implementation of a smoke management plan under the Clean Air Act. Fiscal Year 2013: The information for FY 2013 is not yet available. Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Cooperative agreements may be awarded to Tribes which submit applications consistent with EPA regulations and guidance. Potential applicants should work with Regional contacts listed as "Information Contacts" identified in Appendix IV of the Catalog. EPA will work with Tribes and Intertribal Consortia to develop work plans consistent with program guidance and any regulations that govern the implementation of the relevant Federal environmental program.

66.474 WATER PROTECTION GRANTS TO THE STATES

FEDERAL AGENCY:

Office of Water, Environmental Protection Agency

AUTHORIZATION:

Department of Defense and Emergency Supplemental Appropriations for Recovery from and Response to Terrorist Attacks on the United States Act, 2002, Public Law 107-117; Consolidated Appropriations Resolution of 2003, Public Law 108-7; Safe Drinking Water Act, Section 1442; Consolidated Appropriations Resolution of 2004, Public Law 108-199.

OBJECTIVES:

The objective of this grant program is to assist states, territories, and possessions of the United States with critical water infrastructure protection.

Funding Priority - Fiscal Year 2013: EPA's funding priority is to provide grant assistance to the states, territories, and possessions of the United States to support technical assistance, training, and communication activities on critical water infrastructure protection efforts that include work with drinking water systems as well as with federal, state, and local agencies. States, territories, and possessions of the United States will be able to continue their efforts to coordinate critical water infrastructure protection activities with other homeland security responsibilities. Grant awards support Goal 2, "Clean and Safe Water," of EPA's Strategic Plan. Specifically, awards will enhance public health protection by securing critical infrastructure through support for counter-terrorism preparedness; communicating vision, mission, and goals of the sector specific plan and the key features of an active and effective security program; helping to ensure security best practices for small systems; promoting outreach and education at small systems; promoting mutual aid compacts development; supporting the development of system redundancy, a national laboratory system and disaster mitigation plans; and developing and overseeing emergency response and recovery plans, including those related to pandemic flu concerns. No new appropriations are available for this program in FY 2013.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Funded activities include providing: (1) technical assistance, training, or distribution of tools that would lead to satisfactory vulnerability assessments of drinking water systems; (2) technical assistance, training, or distribution of tools that would lead to drinking water systems creating, amending or updating emergency response plans to meet new requirements or security threats; (3) assistance to improve communications with or between drinking water systems and other agencies or organizations involved with security or emergency response; and (4) other activities focusing on training to enhance security and improving the readiness of individuals and groups involved in first response at drinking water systems. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

Assistance under this program is available to States, Tribes, Territories, and possessions of the United States.

Beneficiary Eligibility:

Water programs of States, Territories, and possessions of the United States.

Credentials/Documentation:

. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Pre-application assistance is recommended and should be obtained from the Grants Management Office of the pertinent EPA Regional Office listed in Appendix IV of the Catalog. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded

from coverage under OMB Circular No. A-110. The standard application forms, furnished by EPA and required by OMB Circular No. A-102, must be used for this program. Requests for application kits may be directed to the Grants Management Office of the applicable EPA Regional Office listed in Appendix IV of the Catalog. Kits may also be obtained online at <http://www.epa.gov/ogd/AppKit/application.htm>. The completed application, including the final proposal, should be submitted to the appropriate EPA Regional Grants Management Office. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

Application is reviewed by the appropriate EPA Regional Office and, if approved, is signed by the Regional Administrator or designated Division Director.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 30 to 90 days.

Appeals:

Disputes will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title PWSS formula. As was the case in FY 02 through FY 08, for FY 09 a modification of the basic PWSS formula was chosen to allocate the funds, with each State, the District of Columbia, and Puerto Rico receiving a minimum of \$50,000 and each Territory at least \$16,700. This program has no matching requirements. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The project/budget period on these grants may exceed one year. The term of the grant shall be determined at the time of grant award. See the following for information on how assistance is awarded/released: The method of fund disbursement will be determined at the time of award.

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Agreements may require quarterly, interim, and final progress reports, and expenditure/financial, equipment, and inventory reports. Specific reporting requirements are also identified in the Grant Regulations at 40 CFR Part 30 and 40 CFR Part 31, as applicable. Program reports are required under this program. Cash reports are required under this program. Progress reports are required under this program. Expenditure reports are required under this program. Performance monitoring is required under this program.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Financial records, including all documents to support entries on accounting records and to substantiate changes to each grant must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained for three years from the date of submission of the final expenditure report. If questions still remain, such as those raised as a result of audit, related records should be retained until the matter is completely resolved.

Account Identification:

68-0103-0-1-304.

Obligations:

(Formula Grants) FY 12 \$0; FY 13 est \$0; and FY 14 est \$0

Range and Average of Financial Assistance:

Funds are awarded by each Regional Office. The range of financial assistance available to States varies according to program and fiscal year. Contact the Grants Management Office of the pertinent EPA Regional Office, listed in Appendix IV of the Catalog, to determine the amount for which applicants are eligible. The range and average of financial assistance provided is: FY 09 - \$16,700 to \$380,300/fiscal year; \$198,500/fiscal year.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: In FY 12, States and Territories continued to develop materials (i.e., documents, training manuals, etc.) focused on improving security and emergency response, ensuring the quality of drinking water vulnerability assessments, and associated security enhancements. Additionally, States and Territories conducted trainings, workshops, and/or conferences to improve infrastructure protection, increase preparedness, promote mutual aid compacts and/or provide information to drinking water utilities. Significant action has been accomplished in helping to support and establish mutual aid compacts, including providing technical support and training exercises related to these agreements. Outcomes included changed management and operation of drinking water utilities based on training and current vulnerability assessments of the utilities; and establishment and improvement of emergency response coordination and communications. During this time, States and Territories continued to use prior allocated funding to accomplish goals, as new money for the initiative was not available. Fiscal Year 2013: In FY 13, states and territories continued to develop materials (i.e., documents, training manuals, etc.) focused on improving security and emergency response, ensuring the quality of drinking water vulnerability assessments, and associated security enhancements. Additionally, states and territories conducted trainings, workshops, and/or conferences to improve infrastructure protection, increase preparedness, promote mutual aid compacts and/or provide information to drinking water utilities. Significant action has been accomplished in helping to support and establish mutual aid compacts, including providing technical support and training exercises related to these agreements. Outcomes included changed management and operation of drinking water utilities based on training and current vulnerability assessments of the utilities; and establishment and improvement of emergency response coordination and communications. During this time, States and Territories continued to use prior allocated funding to accomplish goals, as new money for the initiative was not available. Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

40 CFR Part 31, General Regulation for Assistance Programs; EPA Annual Program Guidance provided to States.

Regional or Local Office:

See Regional Agency Offices. Contact the Grants Management Office of the appropriate EPA Regional Office listed in Appendix IV of the Catalog.

Headquarters Office:

Nancy Muzzy, Water Security Division, EPA, (Mail Code 140), 26 W. Martin Luther King Drive, Cincinnati, Ohio 45268 Email: muzzy.nancy@epa.gov Phone: (513) 569-7864.

Website Address:

<http://water.epa.gov/infrastructure/watersecurity/funding/index.cfm>

RELATED PROGRAMS:

66.432 State Public Water System Supervision

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: EPA has supported the following types of drinking water security activities: (1) improving communications to drinking water systems by updating drinking water system contact information and utilizing a mass faxing system; (2) providing onsite assistance for small drinking water systems on security issues; (3) developing and mailing security information kits to drinking water systems; (4) maintaining a duty officer program and toll free number to enable operators to reach state drinking water agency after hours; (5) assisting

in the formation of neighborhood watch programs to increase citizen awareness of drinking water security; (6) developing guidance for water utilities to use alternative water supplies; (7) incorporating security considerations into state design standards and State Revolving Fund (SRF) criteria; (8) creating websites to provide easy access to state drinking water security information; (9) designing and conducting on-line training, videos, and materials for the law enforcement community to outline water utility security basics; (10) conducting emergency response exercises and drills; (11) creating ready-to-go complete sampling kits to eliminate delays in obtaining bottles and preparing expedited sampling and transport protocols in preparation for emergency water supply collection needs; (12) assisting in preparation of state plans to implement measures for safe drinking water under emergency circumstances; and (13) facilitating mutual aid compacts in instances of emergency need, between states and water systems. Fiscal Year 2013: EPA has supported the following types of drinking water security activities: (1) improving communications to drinking water systems by updating drinking water system contact information and utilizing a mass faxing system; (2) providing onsite assistance for small drinking water systems on security issues; (3) developing and mailing security information kits to drinking water systems; (4) maintaining a duty officer program and toll free number to enable operators to reach state drinking water agency after hours; (5) assisting in the formation of neighborhood watch programs to increase citizen awareness of drinking water security; (6) developing guidance for water utilities to use alternative water supplies; (7) incorporating security considerations into state design standards and State Revolving Fund (SRF) criteria; (8) creating websites to provide easy access to state drinking water security information; (9) designing and conducting on-line training, videos, and materials for the law enforcement community to outline water utility security basics; (10) conducting emergency response exercises and drills; (11) creating ready-to-go complete sampling kits to eliminate delays in obtaining bottles and preparing expedited sampling and transport protocols in preparation for emergency water supply collection needs; (12) assisting in preparation of state plans to implement measures for safe drinking water under emergency circumstances; and (13) facilitating mutual aid compacts in instances of emergency need, between states and water systems. Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Proposals are approved by EPA according to technical merit and relevance, and availability of funds.

66.475 GULF OF MEXICO PROGRAM

Gulf of Mexico Program Partnership Projects

FEDERAL AGENCY:

Office of Water, Environmental Protection Agency

AUTHORIZATION:

Clean Water Act, Section 104(b)(3), 33 U.S.C 1254.

OBJECTIVES:

(1) To assist States, Indian Tribes, interstate agencies, and other public or nonprofit organizations in developing, implementing, and demonstrating innovative approaches relating to the causes, effects, extent, prevention, reduction, and elimination of water pollution; and (2) To expand and strengthen cooperative efforts to restore and protect the health and productivity of the Gulf of Mexico in ways consistent with the economic well-being of the region.

Funding Priority - Fiscal Year 2013: Projects that will deliver significant results to achieve the environmental outcomes of improved health of the Gulf of Mexico by restoring and conserving habitat, restoring water quality, replenishing and protecting living marine resources, enhancing community resilience, and furthering environmental education.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Section 104 (b)(3) of the Clean Water Act, 33 U.S.C. Section 1254 (b)(3) authorizes the awarding of assistance for programs established under the Clean Water Act. Assistance will be targeted to States, Tribes, interstate agencies, and other public or nonprofit organizations that commit to specific activities. Eligible activities should be of a relatively short time frame (6 months to 3

years) and all projects should achieve and demonstrate results. Assistance agreements are available to support recipients' allowable direct costs incident to approved surveys, studies, investigations, research, and demonstrations plus allowable indirect costs, in accordance with established EPA policies and regulations. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

Funds are available to State and local governments, interstate agencies, Tribes, colleges and universities, and other public or nonprofit organizations. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

State and local governments, interstate agencies, Tribes, colleges and universities, and other public or nonprofit organizations.

Credentials/Documentation:

Compliance with 40 CFR Parts 30 and 31 and with Program Funding Guidance. Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments, OMB Circular No. A-122 for nonprofit organizations, and OMB No. A-21 for educational institutions. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applicants should follow the proposal format and instructions provided in the Funding Announcement. The proposal should be transmitted electronically to the email address and by the date and time published in the Funding Announcement. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 90-180 days following the application deadline as addressed in the competitive funding announcement.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

Contact the Gulf of Mexico Program Office for information.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The terms of the assistance shall be determined at the time of award. See the following for information on how assistance is awarded/released: The method of fund disbursement will be determined at the time of award.

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Agreements may require quarterly, interim, and final progress reports, and expenditure/financial, equipment, and invention reports. Specific reporting requirements are also identified in the Grant Regulations at 40 CFR Part 30 and 40 CFR Part 31, as applicable.

Program reports are required under this program. Cash reports are required under this program. Progress reports are required under this program.

Expenditure reports are required under this program. Performance monitoring is required under this program.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Financial records, including all documents to support entries on accounting records and to substantiate changes to each grant, must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained until expiration of three years from the date of submission of the final expenditure report. If questions still remain, such as those raised as a result of an audit, related records should be retained until the matter is completely resolved.

Account Identification:

68-0108-0-1-304.

Obligations:

(Cooperative Agreements) FY 12 \$2,481,300; FY 13 est \$1,548,000; and FY 14 est \$1,850,000

Range and Average of Financial Assistance:

\$36,000 to \$400,000/fiscal year; \$149,460/fiscal year.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: In FY 12, 98 applications were received in response to a competition to support projects to accomplish actions directly related to: improving water quality, reducing nutrient pollution, habitat restoration, environmental education, and supporting living marine resources. The program continued to support projects to accomplish short-term actions toward implementation of the Gulf of Mexico Alliance Governors' Action Plan for Healthy and Resilient Coasts. Accomplishments include: dissemination and evaluation of a Coastal Community Resilience Index; development of a StormSmart Coast Network and creation of a Homeowner's Handbook to prepare for natural hazards; support to further the knowledge of living marine

resources status in the Gulf of Mexico and coastal observing systems; building cultural competency in experiential environmental education; providing enhanced public awareness and understanding of the Gulf Alliance priority issues through exhibitry; assessment and prioritization of Gulf ecosystems affected by alterations in freshwater inflow; development of a pilot nutrient criteria for an estuary in the Western Gulf of Mexico; sources, fate, transport and effects of nutrients on downstream ecological processes in the Galveston Bay estuary; binational training workshops on harmful algal blooms in support of HABSOS; achieving Gulf-wide data comparability through round robins; and assessment of mercury risks and selenium. Fiscal Year 2013: Competitions are held based on available funds and Agency priorities. The number of applications and the number of awards made is dependent on the competitive announcement issued. The announcement will include the number of anticipated awards. Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

For assistance agreements with States, local governments, and Indian tribal governments, the procedures and requirements should be in conformance with 40 CFR Part 31 "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments," and OMB Circular Nos. A-87 "Cost Principles for State and Local Governments" and A-102 "Grants and Cooperative Agreements for States and Local Governments." For assistance agreements with other entities the procedures should be in conformance with 40 CFR Part 30, "Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-Profit Organizations."

Regional or Local Office:

See Regional Agency Offices. Contact the EPA Region 4 Office listed in Appendix IV of the Catalog for information on the grants administration process. Local Office: EPA/Gulf of Mexico Program Office, Mail Code EPA/GMPO, Stennis Space Center, MS 39529, Attention: Lael Butler. Telephone: 228-688-1576. FAX: 228-688-2709. E-Mail: butler.lael@epa.gov.

Headquarters Office:

Lael Butler EPA/Gulf of Mexico Program Office
Mail Code EPA/GMPO, Stennis Space Center, Mississippi 39529 Email: butler.lael@epa.gov Phone: 228-688-1576

Website Address:

<http://www.epa.gov/gmpo/>

RELATED PROGRAMS:

66.419 Water Pollution Control State, Interstate, and Tribal Program Support;
66.463 Water Quality Cooperative Agreements

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Water quality modeling support, wetlands resource management baseline, harmful algal bloom information exchange, seagrass restoration, nutrient control/monitoring of eutrophication, stormwater biofilter demonstration, marshland upwelling system, point source locations, wastewater treatment feasibility study, nutrient modeling, waterbird rookery management, outreach and communications strategy targeted at high-risk consumers of shellfish, invasive species education exhibit, inventory of marine science research, assessment of introduction of nonindigenous aquatic species from ballast water, septic tank maintenance, response plan for harmful algal blooms, assessment of groundwater discharge and sewage impacts, reducing onsite sewage treatment system impacts, nutrient flux from sediments, monitoring and assessment of stormwater diversion, assessment status of invasive species, bacterial source tracking, smart growth planning, wetland inventory, acute pollution event response plan, synoptic survey of total mercury in recreational finfish, ecological assessment and calibration of water quality, mercury levels in harvested fishes, remote sensing of harmful algal blooms, shoreline protection, hydrological modifications impact study. Fiscal Year 2013: Due to the lack of available funding, no awards were made during 2013. Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement. Projects will be selected based on the criteria and ranking factors established in the GMPO Competitive Funding Announcement. Leveraged funds and resources will be considered during the evaluation scoring process.

66.478 WATER SECURITY TRAINING AND TECHNICAL ASSISTANCE AND WATER SECURITY INITIATIVE CONTAMINATION WARNING SYSTEM PILOTS

FEDERAL AGENCY:

Office of Water, Environmental Protection Agency

AUTHORIZATION:

The Public Health Security and Bioterrorism Preparedness and Response Act of 2002, Title 4, Public Law 107-188; Department of Defense and Emergency Supplemental Appropriations for Recovery from and Response to Terrorist Attacks on the United States Act of 2002, Public Law 107-117; Safe Drinking Water Act, Section 1433&1434.

OBJECTIVES:

The objective of these grant programs are (1) for financial assistance that is awarded under SDWA Section 1433, to improve water infrastructure security through both training and technical assistance for water utilities and (2) for cooperative agreements that are awarded under SDWA Section 1434, to address the risk of intentional contamination of drinking water distribution systems.

Funding Priority - Fiscal Year 2013: For Section 1433 financial assistance, no funding is anticipated for Fiscal Year 2013. If funds become available, EPA's funding priority is to provide assistance to eligible non-profit organizations that provide training, technical support or other assistance to water utilities. Specifically, EPA is seeking to utilize the ability of non-profit organizations to provide water security technical support and training. For Section 1434 cooperative agreements, no funding is anticipated for Fiscal Year 2013. If funds become available, EPA's funding priority is to provide assistance to community water systems for drinking water contamination warning system pilot projects. Financial assistance awarded under both of these programs support Goal 2, Clean and Safe Water, of EPA's Strategic Plan. Specifically, awards will enhance public health protection by securing critical infrastructure through support for counter-terrorism preparedness.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funded activities for financial assistance awarded under Section 1433 of the SDWA include: (1) training to gain competence and expertise in the process of developing or completing vulnerability assessments; (2) training to gain competence and expertise in the process of developing, amending, or updating emergency response plans; (3) training to gain competence and expertise in various security enhancements; and (4) providing competent training and technical assistance in the aforementioned areas to help states, tribes, and local authorities in their water infrastructure security efforts. Funded activities for cooperative agreements awarded under Section 1434 of the SDWA may include: (1) demonstration of contamination and warning system monitoring and surveillance components; (2) contamination warning system consequence management plan; and (3) evaluation and transfer of results. Specific uses and restrictions on funds will be described in detail in the competitive announcements for each program. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

Financial assistance awarded under Section 1433 of the SDWA is available to public non-profit institutions/organizations and private non-profit institutions/organizations, capable of providing training, technical support and/or assistance to drinking water systems. Cooperative agreements awarded under Section 1434 of the SDWA will be limited to local governments or

institutions (either public or private nonprofit organizations) that operate community water systems (as defined in 40 C.F.R. 141.2). Note that 501(c)(4) non-profit organizations that lobby are not eligible. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

For financial assistance awarded under both Sections 1433 and 1434 of the SDWA, the beneficiaries are the drinking water systems, the water programs of the State or Tribe, and the public which will benefit from these programs through safer and more secure drinking water.

Credentials/Documentation:

EPA may request that applicants demonstrate their eligibility. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. Section 1434 cooperative agreements are a new grant program that has not been included in the agency's list of programs subject to Intergovernmental review. However, EPA recommends that applicants for these cooperative agreements should consult the office or official designated as the single point of contact in its State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. This program also does not require an environmental impact statement or assessment. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applicants must submit an "Application for Federal Assistance," SF 424, "Budget Information: Non-Construction Programs," SF 424A, "Assurances: Non-Construction Programs," SF 424B, "Certification Regarding Debarment, Suspension and Other Responsibility Matters," "Certification Regarding Lobbying," "Pre-Award Compliance Review Report," EPA 4700-4, and other required forms to complete the application process. Application kits should be requested from the U.S. Environmental Protection Agency, Grants and Interagency Agreements Management Division, Mail Code 3903R, 1200 Pennsylvania Avenue, N.W., Washington, DC 20460 or the appropriate EPA Regional Office identified in Appendix IV of the Catalog. Detailed information and assistance, including an application kit, required forms, and a check list, may also be found at <http://www.epa.gov/ogd/AppKit/index.htm>. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA. Applications should be submitted in accordance with the procedures stated in the competitive announcement.

Award Procedure:

Applications are reviewed by EPA, where subsequent grant awards are made to successful applicants. For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 30 to 90 days after deadline for application submittal.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts."

Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

Any continuation of funding will be described in the terms of the assistance agreement.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Matching requirements, if any, will be described in the competitive announcement.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The term of the grant shall be determined at the time of grant award. See the following for information on how assistance is awarded/released: The method of fund disbursement will be determined at the time of award.

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Agreements may require quarterly, interim, and final progress reports, and expenditure/financial, equipment, and invention reports. Specific reporting requirements are also identified in the Grant Regulations at 40 CFR Part 30 and 40 CFR Part 31, as applicable. Program reports are required under this program. Cash reports are required under this program. Progress reports are required under this program. Expenditure reports are required under this program. Performance monitoring is required under this program.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Financial records, including all documents to support entries on accounting records and to substantiate changes to each grant, must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained for three years from the date of submission of the final expenditure report. If questions still remain, such as those raised as a result of audit, related records should be retained until the matter is completely resolved.

Account Identification:

68-0108-0-1-304; 68-0107-0-1-304 - For financial assistance awarded under Section 1433 of the SDWA, 68-0107-0-1-304 and 68-0108-0-1-304; S&T and EPM. For cooperative agreements awarded under Section 1434 of the SDWA, 68-0107-0-1-304; S&T.

Obligations:

(Cooperative Agreements) FY 12 \$0; FY 13 est \$0; and FY 14 est \$0 - For financial assistance awarded under Section 1433 of the SDWA, FY 12 \$0; FY 13 est. \$0; and FY 14 est. \$0. For cooperative agreements awarded under Section 1434 of the SDWA, FY 12 \$0; FY 13 est. \$0; and FY 14 est. \$0.

Range and Average of Financial Assistance:

For financial assistance awarded under Section 1433 of the SDWA, no funding was provided in FY 12 and none is anticipated for FY 13. For cooperative agreements awarded under Section 1434 of the SDWA, no funding was provided in FY 12 and none is anticipated for FY 13.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Competitions are held at Headquarters and in the Regions based on available funds and Agency priorities. The number of applications and the number of awards made is dependent on the competitive announcement issued. The announcement will include the number of anticipated awards. In FY 12, for Section 1433 awards, no competitive announcements were issued and no

new awards were made. For Section 1434 awards, this was a financial assistance pilot program. In FY 07, EPA issued a competitive announcement to award up to four cooperative agreements to support drinking water contamination warning system demonstration pilots. These pilots are part of the Agency's Water Security Initiative, which seeks to develop a warning system that achieves timely detection of and appropriate response to drinking water contamination incidents. The pilots include specified monitoring and surveillance components, a consequence management plan, and an evaluation plan. The anticipated outcome is that these pilots will generate information that allows community water systems nationally to practice effective techniques to detect and respond to possible contamination events. Drinking water consumers will experience a reduction in risk associated with drinking water contamination and improved water quality. Fiscal Year 2013: Competitions are held at Headquarters and in the Regions based on available funds and Agency priorities. The number of applications and the number of awards made is dependent on the competitive announcement issued. The announcement will include the number of anticipated awards. Currently for FY 13, EPA has no funds available; however, should funding become available, projects that will improve the security of drinking water systems will be the focus of the awards. Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Safe Drinking Water Act, Sections 1433 and 1434; "Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-profit Organizations," 40 CFR Part 30, and. "Uniform Administrative Requirements for Grants and Cooperative Agreements to States and Local Governments," 40 CFR Part 31.

Regional or Local Office:

See Regional Agency Offices. Contact the Grants Management Office of the appropriate EPA Regional Office listed in Appendix IV of the Catalog.

Headquarters Office:

Nancy Muzzy, EPA, Water Security Division, Mail Code 140, 26 W. Martin Luther King Drive, Cincinnati, Ohio 45268 Email: muzzy.nancy@epa.gov Phone: 513-569-7864.

Website Address:

<http://water.epa.gov/infrastructure/watersecurity/funding/index.cfm>

RELATED PROGRAMS:

66.474 Water Protection Grants to the States

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: In FY 12, no funding is anticipated for projects to be awarded under Section 1433 of the SDWA. For cooperative agreements awarded under Section 1434 of the SDWA, activities continue to be conducted under four contamination warning system demonstration pilots (i.e., designing, installing, and operating) that include monitoring and surveillance components of the Water Security design, a consequence management plan, and an evaluation plan. Fiscal Year 2013: In FY 13, no funding is anticipated for projects to be awarded under Section 1433 of the SDWA. For cooperative agreements awarded under Section 1434 of the SDWA, activities continue to be conducted under four contamination warning system demonstration pilots (i.e., designing, installing, and operating) that include monitoring and surveillance components of the Water Security design, a consequence management plan, and an evaluation plan. Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement.

66.481 LAKE CHAMPLAIN BASIN PROGRAM

(Lake Champlain Program)

FEDERAL AGENCY:

Office of Water, Environmental Protection Agency

AUTHORIZATION:

Clean Water Act , Section 120, Public Law 107-303.

OBJECTIVES:

To implement the Lake Champlain Basin Management Plan Opportunities for Action: An Evolving Plan for the Future of the Lake Champlain Basin, and to

assist the states of New York and Vermont in protecting, restoring and preserving the Lake Champlain ecosystem.

Funding Priority - Fiscal Year 2013: Efforts in Lake Champlain will continue to support the successful interstate, interagency, and international partnership undertaking the implementation of "Opportunities for Action," a plan designed to address various threats to the Lake's water quality, including phosphorus loadings, invasive species, and toxic substances. Grants under this program are for assisting research, surveys, studies, modeling, technical, field, restoration, education, outreach, and other supporting work necessary for the development and implementation of the Lake Champlain Basin Management Plan. Funding priorities include phosphorus control and reduction, aquatic invasive species prevention and control, and environmental education and outreach. .

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

As described in Section 120 of the CWA, funds may be used to implement elements of the Lake Champlain Basin Management Plan, Opportunities for Action. Projects outside of the Lake Champlain Basin are not eligible for funding under this program. Grant awards must be made in consultation with the Lake Champlain Basin Program Steering Committee, and must support activities consistent with the goals of Opportunities for Action. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

State, interstate, and regional water pollution control agencies, and public or nonprofit agencies, institutions, and organizations are eligible to receive grants from EPA through this program. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

Assistance under this program generally benefits State environmental, health, and agriculture agencies; interstate water pollution control agencies; public nonprofit institutions and organizations; sponsored organizations; Federal agencies; local agencies; intrastate agencies; public and private nonprofit institutions and organizations; private organizations; small businesses; and quasi-public nonprofit institutions.

Credentials/Documentation:

Nonprofit private agencies, institutions, and organizations may be required to provide documentation of their organizational status upon application. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. A pre-application form is not required. Informal pre-application discussions or conferences with the Lake Champlain Basin Program Steering Committee, and EPA Regions 1 and 2 are required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the

State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. The Lake Champlain Basin Program Steering Committee, in conjunction with EPA Regions 1 and 2, develops the annual budget and task list. This budget and task list is then divided between the States of Vermont and New York and the New England Interstate Water Pollution Control Commission. From this information, these three organizations then prepare grant applications and workplans for submittal to EPA Region 2 or Region 1. The standard application forms, as furnished by the Federal agency and required by OMB Circular No. A-102 and A-110, must be used for this program. EPA requires final applications to be made on Standard Form 424. Application kits should be requested from the Environmental Protection Agency, Grants and Interagency Agreements Management Division, (3903R), 1200 Pennsylvania Avenue, N. W., Washington, DC 20460 or the appropriate EPA Regional Office identified in "Regional or Local Office" section for information contacts. Detailed information and assistance, including and application kit, required forms, and a checklist may also be found on the web (<http://www.epa.gov/ogd/AppKit/application.htm>). Applications must be submitted to the appropriate EPA Regional Office identified in "Regional or Local Office" section for information contacts. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

Each federal assistance application and final work plan will be evaluated by EPA Region 1 or Region 2 to determine the adequacy of the application under the established EPA grants program requirements and guidelines, including, but not limited to, the requirements set forth in 40 CFR Parts 30 and 31. Technical merit and the relevance of the project to the priorities and objectives established in Opportunities for Action will also be considered. Each application will also be subject to an administrative review by the EPA Region 1 or 2 grants management office as appropriate to determine whether all administrative requirements have been met. The workplan is submitted to the EPA Region 1 and 2 Regional Administrators for approval prior to the award of any assistance agreement. Once a Regional Office approves the annual workplan, funds are awarded by the EPA Region 1 or 2 Regional Administrator as appropriate. Grantees will receive an award package from the appropriate EPA Regional grants management office that must be accepted and signed by an authorized official of the recipient organization and returned to the grants management office within 30 days. Awards may be made on an advance or reimbursement basis. Awards that are not signed and returned by the deadline may be subject to cancellation. For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Notification of action on proposals varies depending on the timing of funds release to the EPA Regional Offices from Headquarters. Interval from submission to notification may vary from two months to eight months.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

No cost extensions and amendments may be available subject to the approval of EPA. Approval of subsequent funding is dependent on satisfactory project progress, continued relevance of the project to EPA's priorities, availability of funds, and the Agency policy on the competitive grant process.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: This program has a statutory matching requirement; see CWA section 120 (f)(3). For all assistance (grants and cooperative agreements) agreements made under this program, federal costs shall not exceed 75 percent of the total cost of the agreement.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Assistance agreements are generally made for a multi-year period at the discretion of EPA. Start dates for assistance agreements are variable and are established at the discretion of EPA. Generally however, it is intended that start dates closely follow award dates of the assistance agreement. Awards are fully funded, recipients draw down funding as cost are incurred, typically monthly. See the following for information on how assistance is awarded/released: The method of fund disbursement will be determined at the time of award.

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Agreements may require quarterly, interim, and final progress reports, and expenditure/financial, equipment, and invention reports. Specific reporting requirements are also identified in the Grant Regulations at 40 CFR Part 30 and 40 CFR Part 31, as applicable. Program reports are required under this program. Cash reports are required under this program. Progress reports are required under this program. Expenditure reports are required under this program. Performance monitoring is required under this program.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Financial records, including all documents to support entries on accounting records and to substantiate changes to each grant, must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained for three years from the date of submission of the final expenditure report. If questions still remain, such as those that arose as a result of an audit, related records should be retained until the matter is completely resolved.

Account Identification:

68-0108-0-1-304.

Obligations:

(Project Grants) FY 12 \$2,415,000; FY 13 est \$2,268,000; and FY 14 est \$1,399,000

Range and Average of Financial Assistance:

Range: \$20,000 to \$2,712,841/fiscal year; Average: \$1,356,421/fiscal year.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Since 1991, EPA's funding of the Lake Champlain Basin Program has led to the protection and restoration of the Lake Champlain basin through coordination with federal, provincial, state, and municipal agencies and local citizens. The main program goals, as laid out in the Lake Champlain Management Plan Opportunities for Action, are as follows: reducing phosphorus inputs to Lake Champlain; decreasing toxic contamination; minimizing the risks to humans from water-related health hazards; and controlling the introduction, spread, and impact of nonnative nuisance species. EPA works with the Lake Champlain Basin Program Steering Committee to determine funding priorities and distributes funds accordingly. The following three entities typically receive funding on an annual basis: New England Interstate Water Pollution Control Commission (NEIWPC), Vermont Department of Environmental Conservation, and the New York Department of Environmental Conservation. They may choose to compete all or part of the

funds. Competitive announcements typically include the number of anticipated awards.

In FY 12 accomplishments included: further removal of invasive water chestnuts; conducting water quality monitoring programs; continued development of an adaptive management system; studies on internal recycling of phosphorus from lake sediments; development of aquatic invasive species colonization prevention plans that are pro-active and targeted within the Lake Champlain Basin; and an analysis of the long-term benefits of river corridor and floodplain protection and restoration that will help quantify the economic benefits derived from the natural functions of wetlands, river corridors, floodplains and riparian areas. Fiscal Year 2013: Since 1991, EPA's funding of the Lake Champlain Basin Program has led to the protection and restoration of the Lake Champlain basin through coordination with federal, provincial, state, and municipal agencies and local citizens. The main program goals, as laid out in the Lake Champlain Management Plan Opportunities for Action, are as follows: reducing phosphorus inputs to Lake Champlain; decreasing toxic contamination; minimizing the risks to humans from water-related health hazards; and controlling the introduction, spread, and impact of nonnative nuisance species. EPA works with the Lake Champlain Basin Program Steering Committee to determine funding priorities and distributes funds accordingly. The following three entities typically receive funding on an annual basis: New England Interstate Water Pollution Control Commission (NEIWPC), Vermont Department of Environmental Conservation, and the New York Department of Environmental Conservation. They may choose to compete all or part of the funds. Competitive announcements typically include the number of anticipated awards.

In FY 13, expected accomplishments include: further removal of invasive water chestnuts; conducting water quality monitoring programs; continued development of an adaptive management system; studies on internal recycling of phosphorus from lake sediments; development of aquatic invasive species colonization prevention plans that are pro-active and targeted within the Lake Champlain Basin; and an analysis of the long-term benefits of river corridor and floodplain protection and restoration that will help quantify the economic benefits derived from the natural functions of wetlands, river corridors, floodplains and riparian areas. Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Lake Champlain program grants and cooperative agreements are subject to EPA's general grant regulations, 40 CFR Part 30 or 40 CFR Part 31. All assistance agreements must support the goals and objectives of the Lake Champlain Management Plan, Opportunities for Action. A copy of this document is available on request from the Lake Champlain Basin Program, 54 West Shore Road, Grand Isle, VT 05458, Phone 802-372-3213 and is also available online at <http://www.lcbp.org>.

Regional or Local Office:

See Regional Agency Offices. Jeanne Voorhees, US EPA Region 1, 5 Post Office Square, Suite 100, Mail Code OEP 6-1, Boston, MA 02109-3912. Phone: (617) 918-1686; FAX: (617) 918-0686; e-mail: voorhees.jeanne@epa.gov. Mario Paula, US EPA Region 2, 290 Broadway, 24th Floor, New York, NY 10007-1866. Phone: (212) 637-3819; FAX: (212) 637-3889; e-mail: paula.mario@epa.gov.

Headquarters Office:

Jeanne Voorhees US EPA Region 1, 5 Post Office Square, Suite 100, Mail Code OEP 6-1, Boston, Massachusetts 02109 Email: voorhees.jeanne@epa.gov Phone: (617) 918-1686 Fax: (617) 918-0686

Website Address:

<http://www.epa.gov/NE/eco/lakechamplain/index.html>

RELATED PROGRAMS:

12.100 Aquatic Plant Control; 66.419 Water Pollution Control State, Interstate, and Tribal Program Support; 66.436 Surveys, Studies, Investigations, Demonstrations, and Training Grants and Cooperative Agreements - Section 104(b)(3) of the Clean Water Act; 66.454 Water Quality Management Planning; 66.460 Nonpoint Source Implementation Grants; 66.463 Water Quality Cooperative Agreements

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Grants and cooperative agreements awarded to states, interstate agencies, universities, and nonprofit organizations are for implementation of the Lake Champlain Management Plan. Grants awarded to States of Vermont and New York and NEIWPCC generally cover multiple tasks and projects per grant. Examples of work carried out by these grants include: (1) funding for the overall program coordination, the full-time New York and Vermont Lake Champlain Basin Program coordinators employed by their respective states, and continued development of an adaptive management system; (2) annual lake and tributary monitoring for phosphorus and other parameters by professional limnologists; (3) phosphorus reduction: research on phosphorus carried downstream by eroding stream banks, research on policy options for further phosphorus reduction from point and nonpoint sources, tracking implementation of best management practices on farms, geomorphic studies, riparian restoration work, and studies on internal recycling of phosphorus from lake sediments; (4) removal of invasive species such as water chestnuts; (5) development of aquatic species colonization prevention plans; (6) nutrient management plan assistance to farmers in New York, (7) nutrient runoff study in Little Chazy River, (8) established an automated meteorological station at Burton Island, and continuance of meteorological stations at Colchester Reef and Diamond Island on Lake Champlain, and (9) fish passage and connectivity study in the Ausable River Watershed using GIS prioritization and field assessment tools. Fiscal Year 2013: Grants and cooperative agreements awarded to states, interstate agencies, universities, and nonprofit organizations are for implementation of the Lake Champlain Management Plan. Grants awarded to States of Vermont and New York and NEIWPCC generally cover multiple tasks and projects per grant. Examples of work carried out by these grants include: (1) funding for the overall program coordination, the full-time New York and Vermont Lake Champlain Basin Program coordinators employed by their respective states, and continued development of an adaptive management system; (2) annual lake and tributary monitoring for phosphorus and other parameters by professional limnologists; (3) phosphorus reduction: research on phosphorus carried downstream by eroding stream banks, research on policy options for further phosphorus reduction from point and nonpoint sources, tracking implementation of best management practices on farms, geomorphic studies, riparian restoration work; (4) removal of invasive species such as water chestnuts; (5) development of aquatic species colonization prevention plans; (6) nutrient management plan assistance to farmers in New York and Vermont. Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement. The Steering Committee recommends projects to EPA for funding based on the technical merit of the proposal and its relevance to the Lake Champlain Basin Management Plan. EPA approves applications after Steering Committee recommendation based on the technical merit of the application and its relevance to the Lake Champlain Basin Management Plan.

66.482 DISASTER RELIEF APPROPRIATIONS ACT (DRAA) HURRICANE SANDY CAPITALIZATION GRANTS FOR CLEAN WATER STATE REVOLVING FUNDS

Clean Water State Revolving Fund Hurricane Sandy Supplemental Appropriation

FEDERAL AGENCY:

Office of Water, Environmental Protection Agency

AUTHORIZATION:

Disaster Relief Appropriations Act, 2013, Public Law 113-2.

OBJECTIVES:

Grants are made to New York and New Jersey to fund resiliency projects for facilities impacted by Hurricane Sandy through the State CWSRF Programs.

Funding Priority - Fiscal Year 2013: The funding priority established by the Hurricane Sandy Supplemental Appropriation is to fund projects at wastewater facilities that will improve the resiliency of those facilities against future disasters. Only facilities that were impacted by Hurricane Sandy in the States of New York and New Jersey are eligible.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

Capitalization grants are made available to New York and New Jersey for the purpose of funding resiliency related projects for facilities that were impacted by Hurricane Sandy. The capitalization grant is awarded to the State's CWSRF, and is used to provide loans and other types of financial assistance to eligible publicly-owned treatment systems. A State may elect to use up to 4% for administration of the program. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

The States of New York and New Jersey.

Beneficiary Eligibility:

The States of New York and New Jersey, and wastewater treatment facilities funding resiliency projects that were impacted by Hurricane Sandy.

Credentials/Documentation:

To receive a capitalization grant, a State shall enter into an agreement with the EPA Regional Administrator which shall include, but is not limited to, the 10 specifications set forth in Section 602(b) of the CWA. OMB Circular No. A-87, "Cost Principles Applicable to Grants and Contracts with State and Local Governments" applies to State grantees. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

An applicant (State) should seek preapplication assistance from the appropriate EPA Regional Office. The State is required to prepare and provide for public comment on a plan identifying the intended uses of the funds in the SRF (Intended Use Plan, or IUP) and how those uses support the goals of the SRF. The IUP is to be submitted no later than the application. An environmental impact statement is not required prior to grant award; however, a State environmental review process must be applied to all subsequent state assistance for wastewater facilities. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. The standard application for EPA non-construction grant assistance (SF424-A and B) is submitted to the appropriate EPA Regional Office. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA. The State must certify in its application that it has the legal, managerial, technical, and operational capabilities to administer the SRF program competently and that it will comply with Federal anti-discrimination laws and other applicable Federal statutes. Regulation 40 CFR Part 31 applies to the State. Establishment of the SRF is a prerequisite for a grant award.

Award Procedure:

A grant application is reviewed by the appropriate Regional Office and, if approved, the grant is awarded by the Regional Administrator under a delegation of authority from the Administrator of EPA.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approval time averages 45 days.

Appeals:

Disputes will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

For those portions of the State program that do not change from year to year, a subsequent grant application may incorporate by reference relevant portions of the previous year's application which have not changed or an operating agreement approved by the Region.

Formula and Matching Requirements:

Statutory Formula: The supplemental appropriation left the development of an allocation formula to US EPA. New York is eligible for 59.7% of the appropriation and New Jersey is eligible for 40.3% of the appropriation.

The allocation percentages were determined in accordance with the population of the counties of each state that were declared disaster areas by FEMA for Hurricane Sandy. Census data from the most recent census was used. 59.7% of all the total impacted population live in New York and 40.3% live in New Jersey.

Matching Requirements: Other.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Funds are available for EPA's obligation to the State during the fiscal year in which they are allotted and during the following year. The term of the grant shall be determined at the time of award. All funds must be liquidated within 24 months of the date of the grant award, subject to waiver by OMB. States must enter into binding commitments with loan recipients to provide financial assistance from the CWSRF in an amount equal to the sum of Federal assistance and the State match. See the following for information on how assistance is awarded/released: The method of fund disbursement will be determined at the time of award.

Reports:

Program reports are required under this program. The State shall provide annual reports to the Regional Administrator in accordance with the schedule established in the grant agreement. The annual report shall describe how the State has met the goals and objectives for the preceding fiscal year of the overall program, including the supplemental appropriation for Hurricane Sandy, including identification of loan recipients, loan amounts, and loan terms. Cash reports are required under this program. Progress reports are required under this program. Expenditure reports are required under this program. Performance monitoring is required under this program.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. A State must, at a minimum, comply with the provisions of the Single Audit Act Amendments of 1996, and the OMB Circular No. A-133 and Compliance Supplement. States are also encouraged to conduct annual independent audits. State-conducted audits may be performed in conjunction with the Single Audit Act. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year. The audit of the fund to be prepared by the State or an independent auditor must be in accordance with the standards of the Government Accountability Office (known as the Generally Accepted Government Auditing Standards).

Records:

Financial records, including all documents to support entries on accounting records and to substantiate changes to each grant, must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained for three years from the date of submission of the final expenditure

report. If questions still remain, such as those raised as a result of audit, related records should be retained until the matter is completely resolved. As part of the annual review conducted by the Agency to assess a State's performance against activities identified in the intended use plan and biennial report, and to determine compliance with the terms of the capitalization grant agreement, the State or assistance recipient shall make available to EPA such records as the Regional Administrator reasonably requires to review and determine State compliance with the requirements of the CWA.

Account Identification:

68-0103-0-1-304.

Obligations:

(Formula Grants) FY 12 \$0; FY 13 est \$474,200,000; and FY 14 est \$0

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

EPA Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments (40 CFR Part 31) and SRF regulations (40 CFR Part 35, Subpart K). Additional program information, including contact information for state program managers, is available online at http://water.epa.gov/grants_funding/cwsrf/cwsrf_index.cfm. Additional guidance can be found at: <http://www.epa.gov/sandy/>.

Regional or Local Office:

See Regional Agency Offices. Steve Vida, Section Chief, State Revolving Fund Program Section, EPA Region 2, 290 Broadway - 24th Floor, NY NY 10007. Telephone:(212) 637-3862; Fax: (212)637-3889; email: vida.stephen@epa.gov.

Headquarters Office:

Jordan Dorfman State Revolving Fund Branch, Municipal Support Division, (4204M)
Office of Wastewater Management
Environmental Protection Agency, Washington, District of Columbia 20460
Email: dorfman.jordan@epa.gov Phone: (202) 564-0614

Website Address:

http://water.epa.gov/grants_funding/cwsrf/cwsrf_index.cfm

RELATED PROGRAMS:

66.483 Disaster Relief Appropriations Act (DRAA) Hurricane Sandy Capitalization Grants for Drinking Water State Revolving Funds

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Grants are awarded to States that satisfy the requirements in the application procedures section.

66.483 DISASTER RELIEF APPROPRIATIONS ACT (DRAA) HURRICANE SANDY CAPITALIZATION GRANTS FOR DRINKING WATER STATE REVOLVING FUNDS

Drinking Water State Revolving Fund Hurricane Sandy Supplemental Appropriation

FEDERAL AGENCY:

Office of Water, Environmental Protection Agency

AUTHORIZATION:

Disaster Relief Appropriations Act, 2013, Public Law 113-2.

OBJECTIVES:

Grants are made to New York and New Jersey to fund resiliency projects for facilities impacted by Hurricane Sandy through the State DWSRF Programs.

Funding Priority - Fiscal Year 2013: The funding priority established by the Hurricane Sandy Supplemental Appropriation is to fund projects at drinking water facilities that will improve the resiliency of those facilities against future disasters. Only facilities that were impacted by Hurricane Sandy in the States of New York and New Jersey are eligible.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

Capitalization grants are made available to New York and New Jersey for the purpose of funding resiliency related projects for facilities that were impacted by Hurricane Sandy. The capitalization grant is deposited in the State's DWSRF, and is used to provide loans and other types of financial assistance to eligible public water systems. A State may elect to use up to 31% of the capitalization grant for other eligible activities, including 4% for administration of the program. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website:
<http://geodata.epa.gov>.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

The States of New York and New Jersey.

Beneficiary Eligibility:

The States of New York and New Jersey, and drinking water facilities funding resiliency projects that were impacted by Hurricane Sandy.

Credentials/Documentation:

To receive a capitalization grant, a State shall enter into an agreement with the EPA Regional Administrator which shall include, but is not limited to, the requirements set forth in Section 130 of the SDWA. OMB Circular No. A-87, "Cost Principles Applicable to Grants and Contracts with State and Local Governments" applies to State grantees. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

An applicant (State) should seek preapplication assistance from the appropriate EPA Regional Office. The State is required to prepare and provide for public comment on a plan identifying the intended uses of the funds in the DWSRF (Intended Use Plan, or IUP) and how those uses support the goals of the DWSRF. The IUP is to be submitted no later than the application. An environmental impact statement is not required prior to grant award; however, a State environmental review process must be applied to all subsequent state assistance for wastewater facilities. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. The standard application for EPA non-construction grant assistance (SF424-A and B) is submitted to the appropriate EPA Regional Office. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA. The State must certify in its application that it has the legal, managerial, technical, and operational capabilities to administer the DWSRF program competently and that it will comply with Federal anti-discrimination laws and other applicable Federal statutes. Regulation 40 CFR Part 31 applies to the State. Establishment of the DWSRF is a prerequisite for a grant award.

Award Procedure:

A grant application is reviewed by the appropriate Regional Office and, if approved, the grant is awarded by the Regional Administrator under a delegation of authority from the Administrator of EPA.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application

deadlines.

Range of Approval/Disapproval Time:

Approval time averages 45 days.

Appeals:

Disputes will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

For those portions of the State program that do not change from year to year, a subsequent grant application may incorporate by reference relevant portions of the previous year's application which have not changed or an operating agreement approved by the Region.

Formula and Matching Requirements:

Statutory Formula: The supplemental appropriation left the development of an allocation formula to US EPA. New York is eligible for 59.7% of the appropriation and New Jersey is eligible for 40.3% of the appropriation.

The allocation percentages were determined in accordance with the population of the counties of each state that were declared disaster areas by FEMA for Hurricane Sandy. Census data from the most recent census was used. 59.7% of all the total impacted population live in New York and 40.3% live in New Jersey.

Matching Requirements: Other.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Funds are available for EPA's obligation to the State during the fiscal year in which they are allotted and during the following year. The term of the grant shall be determined at the time of award. States must enter into binding commitments with loan recipients to provide financial assistance from the DWSRF in an amount equal to the sum of Federal assistance, less amounts used by the State for eligible set-aside purposes, and the State match. States are also required to agree to commit and expend all funds in the DWSRF as efficiently as possible, and in a timely manner. See the following for information on how assistance is awarded/released: The method of fund disbursement will be determined at the time of award.

Reports:

The State shall provide biennial reports to the Regional Administrator in accordance with the schedule established in the grant agreement. The biennial report shall describe how the State has met the goals and objectives for the preceding two fiscal years of the overall program, including the supplemental appropriation for Hurricane Sandy, including identification of loan recipients, loan amounts, and loan terms. Cash reports are required under this program. Progress reports are required under this program. Expenditure reports are required under this program. Performance reporting is required under this program.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. A State must, at a minimum, comply with the provisions of the Single Audit Act Amendments of 1996, and the OMB Circular No. A-133 and Compliance Supplement. States are also encouraged to conduct annual independent audits. State-conducted audits may be performed in conjunction with the Single Audit Act. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year. The audit of the fund to be prepared by the State or an independent auditor must be in accordance with the standards of the Government Accountability Office (known as the Generally Accepted Government Auditing Standards). To the extent that the set-asides are used for project purposes that must be repaid, or are directly related to the DWSRF (e.g., administration) or are revolving funds themselves, they must be part of an audited opinion(s). The audits must provide

an auditor's opinion on the DWSRF financial statements, a report on internal controls and a report on compliance with laws and regulations. Those set-aside funds that are not loaned out may be audited in conjunction with audits conducted under the Single Audit Act, as described in OMB Circular No. A-133 and OMB's Compliance Supplement for Single Audits of State and Local Governments.

Records:

Financial records, including all documents to support entries on accounting records and to substantiate changes to each grant, must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained for three years from the date of submission of the final expenditure report. If questions still remain, such as those raised as a result of audit, related records should be retained until the matter is completely resolved. As part of the annual review conducted by the Agency to access a State's performance against activities identified in the intended use plan and biennial report, and to determine compliance with the terms of the capitalization grant agreement, the State or assistance recipient shall make available to EPA such records as the Regional Administrator reasonably requires to review and determine State compliance with the requirements of the SDWA.

Account Identification:

68-0103-0-1-304.

Obligations:

(Formula Grants) FY 12 \$0; FY 13 est \$100,000,000; and FY 14 est \$0

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

EPA Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments (40 CFR Part 31) and Drinking Water State Revolving Fund regulations (40 CFR Part 35, Subpart L). Additional program information, including contact information for state program managers, is available online at http://water.epa.gov/grants_funding/dwsrf/index.cfm. Additional guidance can be found at: <http://www.epa.gov/sandy/>.

Regional or Local Office:

See Regional Agency Offices. Steve Vida, Section Chief, State Revolving Fund Program Section, EPA Region 2, 290 Broadway - 24th Floor, NY NY 10007. Telephone:(212) 637-3862; Fax: (212) 637-3889; e-mail: vida.stephen@epa.gov.

Headquarters Office:

Peter Shanaghan Infrastructure Branch (4606M)
Drinking Water Protection Division
Office of Groundwater and Drinking Water
U.S. Environmental Protection Agency
1200 Pennsylvania Avenue, NW, Washington, District of Columbia 20460
Email: shanaghan.peter@epa.gov Phone: (202) 564-3848

Website Address:

<http://www.epa.gov/safewater/dwsrf.html>

RELATED PROGRAMS:

66.482 Disaster Relief Appropriations Act (DRAA) Hurricane Sandy Capitalization Grants For Clean Water State Revolving Funds

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Grants are awarded to States that satisfy the requirements in the application procedures section.

66.508 SENIOR ENVIRONMENTAL EMPLOYMENT PROGRAM (SEE)

FEDERAL AGENCY:

Office of Administration and Resources Management, Environmental

Protection Agency

AUTHORIZATION:

Environmental Programs Assistance Act of 1984, Public Law 98-313, 42 U.S.C 4368a.

OBJECTIVES:

To use the talents of Americans 55 years of age or older to provide technical assistance to Federal, State, and local environmental agencies for projects of pollution prevention, abatement, and control to achieve the Agency's goals of Clean Air; Clean and Safe Water; Land Preservation and Restoration; Healthy Communities and Ecosystems; and Compliance and Environmental Stewardship.

Funding Priority - Fiscal Year 2013: The Environmental Programs Assistance Act of 1984 (Pub. L. 98-313) authorized the Administrator of the EPA "to make grants to, or enter into cooperative agreements with private, nonprofit organizations designated by the Secretary of Labor under Title V of the Older Americans Act of 1965." These cooperative agreements are to utilize the talents of older Americans in temporary, full time or part time positions "providing technical assistance to Federal, State, and local environmental agencies for projects of pollution prevention, abatement, and control." "Technical assistance" may include any activity performed for the EPA in support of its projects ranging from inspections of underground storage tanks, to support for a Headquarters staff office. This allows for the coverage of all types of SEE program positions from professional to clerical. Annual funding priorities are not established at the SEE program level. Rather, Senior Resource Officials from the participating 65 program offices establish priorities requiring SEE program support.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

The SEE Act states that only nonprofit organizations which are designated by the Secretary of Labor under Title V of the Older Americans Act can participate. These cooperative agreements are to utilize the talents of older Americans in temporary full time or part time positions "providing technical assistance to Federal, State, and local environmental agencies for projects of pollution prevention, abatement, and control."

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

Private, nonprofit organizations designated by the Secretary of Labor under Title V of the Older Americans Act of 1965.

Beneficiary Eligibility:

Federal, State, and local environmental agencies and individuals 55 years old or older.

Credentials/Documentation:

The Environmental Programs Assistance Act of 1984 requires a certification by the Federal, State, or local agency that is to receive the SEE workers that the grant/cooperative agreement will not displace any Federal or State workers, prevent the rehiring of any laid-off Federal/State workers, or affect existing service contracts. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102.

OMB Circular No. A-110 applies to this program. Requests for application forms must be submitted to: Environmental Protection Agency, Grants and Interagency Agreements Management Division, (3903R), Washington, DC 20460. Completed applications must be sent to the same address, and a copy of the completed application should also be sent to: Susan Street, Manager, SEE Program, Environmental Protection Agency, Office of Administration and Resources Management, Office of Human Resources, Program Management and Communications Staff (3600-M), Washington, DC 20460. This program is subject to the provisions of OMB Circular No. A-110. This program is excluded from coverage under OMB Circular No. A-102. Basic steps of the application procedure are outlined in the application package containing the application forms.

Award Procedure:

Each application shall be subjected to administrative evaluation to determine adequacy of application in relation to assistance regulations, and to program objectives.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Disputes will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Assistance covers a period of one to three years and is awarded in increments during that period. See the following for information on how assistance is awarded/released: Assistance is incrementally awarded throughout the life of the agreement to support ongoing activities.

Reports:

No program reports are required. Recipients must furnish quarterly reports on expenditures by cooperative agreement. Progress reports are required on a quarterly schedule. Expenditure reports are required on a quarterly schedule. Performance monitoring is required as a normal part of project officer post award monitoring.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Financial records, including all documents which support entries on accounting records and which substantiate changes to each assistance agreement must be kept available to personnel authorized to examine EPA assistance accounts. All records must be maintained until expiration of three years from the date of submission of the final financial status report. If questions still remain, such as those raised as a result of an audit, related records should be retained until the matter is completely resolved.

Account Identification:

68-0107-0-1-304; 68-0108-0-1-304.

Obligations:

(Cooperative Agreements) FY 12 \$56,584,854; FY 13 est \$50,000,000; and FY

14 est \$50,000,000

Range and Average of Financial Assistance:

New awards and amendments: \$1,548 to \$2,258,020/FY 2012; Average \$196,310/FY 2012; \$1,906 to \$850,000/FY 2013; Average \$125,038/FY 2013; estimated \$6,000 to \$621,400/FY 2014; Average \$137,000/FY 2014.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: In Fiscal Year 2012, 349 cooperative agreement/amendments were awarded. Fiscal Year 2013: As of May 15, 2013, 158 cooperative agreements/amendments were awarded. Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Assistance Administration Manual, available from the National Technical Information Service, Springfield, VA 22161 on a subscription basis; 40 CFR Part 30, General Regulation for Assistance Programs; Office of Administration and Resources Management for the Management of the Senior Environmental Employment Program.

Regional or Local Office:

See Regional Agency Offices. Susan Street, Manager, SEE Program, (3600M) Environmental Protection Agency, Office of Administration and Resources Management, 1200 Pennsylvania Ave., N. W., Washington, D. C. 20460. Telephone: (202) 564-0410, FAX: (202) 564-0735; e-mail: street.susan@epa.gov. Web Site: <http://epa.gov/epahrist/see/brochure>.

Headquarters Office:

Susan Street, SEE Program, Office of Administration and Resources Management, Office of Human Resources, Program Management and Communications Staff, 3600 M), Environmental Protection Agency, 1200 Pennsylvania Ave, N.W., Washington, District of Columbia 20460 Email: street.susan@epa.gov Phone: (202) 564-0410.

Website Address:

<http://epa.gov/epahrist/see/brochure>

RELATED PROGRAMS:

66.518 State Senior Environmental Employment Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Provide funding to eligible Grantee organizations to provide senior citizens who conduct national non-agricultural pesticide surveys, provide technical assistance to tribes, outreach on renovation, repair, and painting rule, provide review and monitoring support for the Import Car Program, monitor the anti-fuel switching program, conduct research, and work on other general administrative and clerical tasks. Fiscal Year 2013: Provide funding to eligible Grantee organizations to provide senior citizens who conduct national non-agricultural pesticide surveys, outreach on renovation, repair, and painting rule, provide review and monitoring support for the Import Car Program, monitor the anti-fuel switching program, conduct research, and work on other general administrative and clerical tasks. Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Proposals must demonstrate that the Organizations have the capability of operating nationwide; can manage the program within the prescribed administrative funding limits; and can provide a quality fringe benefit package to enrollees under the proposal (including health insurance).

66.509 SCIENCE TO ACHIEVE RESULTS (STAR) RESEARCH PROGRAM

FEDERAL AGENCY:

Office of Research and Development (ORD), Environmental Protection Agency

AUTHORIZATION:

Clean Air Act, Section 103, 42 U.S.C 7403; Safe Drinking Water Act, Section 1442, 42 U.S.C 300j-1; National Environmental Policy Act of 1969, Section 102(2)(F); Toxic Substances Control Act, Section 10, 15 U.S.C 2609; Federal Insecticide, Fungicide, and Rodenticide Act, Section 20, 7 U.S.C 136r; Clean Water Act, Section 104, 33 U.S.C 1254; Solid Waste Disposal Act, Section 8001, 42 U.S.C 6981.

OBJECTIVES:

To (1) support research to determine the environmental and human health effects of air quality, drinking water, water quality, hazardous waste, toxic substances, and pesticides; (2) identify, develop, and demonstrate effective pollution control techniques; and (3) support research to explore and develop strategies and mechanisms for those in the social, governmental, and environmental arenas to use in environmental management decisions.

Funding Priority - Fiscal Year 2013: The STAR Program will fund the highest quality academic research in the following priority areas:

(1) Air, Climate and Energy: Anthropogenic Influences on Organic Aerosol Formation and Regional Climate Implications; Measurements and Modeling for Quantifying Air Quality and Climatic Impacts of Residential Biomass or Coal Combustion for Cooking, Heating, and Lighting.

(2) Chemical Safety and Sustainability: Center for Sustainable Molecular Design; Center for Material Life Cycle Safety; Human Exposure to Chemicals in Consumer Products and Indoor Environments; Development and Use of Adverse Outcome Pathways that Predict Adverse Developmental Neurotoxicity.

(3) Safe and Sustainable Water Resources: Sustainable Chesapeake: A Community-Based Approach to Stormwater Management Using Green Infrastructure; Performance and Effectiveness of Green Infrastructure Stormwater Management Approaches in the Urban Context: A Philadelphia Case Study; High Priority Water Quality and Availability Research.

(4) Safe and Healthy Communities: Research with Children's Health; Children's Environmental Health and Disease Prevention Research Centers (with NIEHS); Science for Sustainable and Healthy Tribes; Healthy and Sustainable Schools: Environmental Factors, Children's Health and Performance, and Sustainable Building Practices.

In addition to the solicitations identified above, other solicitations may be announced in the coming year. Please check the NCER website: <http://www.epa.gov/ncer> for an updated listing of all solicitations.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

The National Center for Environmental Research (NCER) generally does not accept unsolicited proposals for the STAR program. All STAR awards are made in response to competitive requests for applications (RFAs) or requests for initial proposals (RFIPs). Funds awarded via grants/cooperative agreements are available for allowable direct cost expenditures incident to the performance of research plus allocable portions of allowable indirect costs of the institutions, in accordance with established EPA policies and regulations. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Some solicitations issued under this CFDA may allow for the submittal of Multiple Investigator applications. The applicability of Multiple Investigator applications will be described in the solicitations. For further information, please see the EPA Implementation Plan for Policy on Multiple Principal Investigators (<http://rbm.nih.gov/toolkit.htm>).

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Public nonprofit institutions/organizations (includes public institutions of

higher education and nonprofit hospitals) and private nonprofit institutions/organizations (includes private institutions of higher education and nonprofit hospitals) located in the U.S., state and local governments, Federally Recognized Indian Tribal Governments, and U.S. territories or possessions are eligible to apply. Profit-making firms are not eligible to receive assistance agreements from the EPA under this program.

Eligible nonprofit organizations include any organizations that meet the definition of nonprofit in OMB Circular A-122, located at 2 CFR Part 230. However, nonprofit organizations described in Section 501(c) (4) of the Internal Revenue Code that lobby are not eligible to apply.

Foreign governments, international organizations, and non-governmental international organizations/institutions are not eligible to apply.

National laboratories funded by Federal Agencies (Federally-Funded Research and Development Centers, "FFRDCs") may not apply. FFRDC employees may cooperate or collaborate with eligible applicants within the limits imposed by applicable legislation and regulations. They may participate in planning, conducting, and analyzing the research directed by the applicant, but may not direct projects on behalf of the applicant organization. The institution, organization, or governance receiving the award may provide funds through its assistance agreement from the EPA to an FFRDC for research personnel, supplies, equipment, and other expenses directly related to the research. However, salaries for permanent FFRDC employees may not be provided through this mechanism.

Federal Agencies may not apply. Federal employees are not eligible to serve in a principal leadership role on an assistance agreement, and may not receive salaries or augment their Agency's appropriations in other ways through awards made under this program.

The applicant institution may enter into an agreement with a Federal Agency to purchase or utilize unique supplies or services unavailable in the private sector to the extent authorized by law. Examples are purchase of satellite data, chemical reference standards, analyses, or use of instrumentation or other facilities not available elsewhere. A written justification for federal involvement must be included in the application. In addition, an appropriate form of assurance that documents the commitment, such as a letter of intent from the Federal Agency involved, should be included.

Some solicitations issued under this CFDA may propose early career projects which support investigators with outstanding promise at the Assistant Professor or equivalent level. Principal investigators from applicant institutions applying for the early career portion of the applicable solicitation must meet the following eligibility requirements:

* Hold a doctoral degree in a field of science or engineering by the closing date of the RFA;

* Be untenured at the closing date of the RFA;

* By the award date, be employed in a tenure-track position (or tenure-track-equivalent position) as an assistant professor (or equivalent title) at an institution in the U.S., its territories, or possessions. Note: For a position to be considered a tenure-track-equivalent position, it must meet all of the following requirements: (1) the employing department or organization does not offer tenure; (2) the appointment is a continuing appointment; (3) the appointment has substantial educational responsibilities; and (4) the proposed project relates to the employee's career goals and job responsibilities as well as to the goals of the department/organization.

Senior researchers may collaborate in a supporting role for early career projects. Early career applications should not propose significant resources for senior researchers and may not list senior researchers as co-PIs. Applicants will be asked to verify their early career status.

See RFAs at: <http://www.epa.gov/ncer> for additional information pertaining to eligibility requirements.

Beneficiary Eligibility:

Public nonprofit institutions/organizations and private nonprofit institutions/organizations located in the U.S.; state and local governments; Federally Recognized Indian Tribal Governments; U.S. territories or possessions; Anyone/General Public, Education Professional, Student/Trainee, Graduate Student, Scientists/Researchers.

Credentials/Documentation:

The EPA may request that applicants demonstrate they have appropriate background, academic training, experience in the field, and necessary equipment to carry out the research. EPA may ask applicants to provide curriculum vitae and relevant publications. EPA may also ask the lead principal investigator/contact principal investigator for information documenting past performance. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. When applicable, an environmental impact assessment is made by the Office of Research and Development as required by the National Environmental Policy Act. The standard application forms as furnished by the EPA and required by OMB Circulars No. A-102 and No. A-110 must be used for this program. An environmental impact assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Competitive RFAs or RFIPs for grants/cooperative agreements are announced widely through the Internet, university and scientific organizations, among other mechanisms. Applicants must propose EPA mission relevant research based on excellent science as determined through peer review by experts drawn from the national and international scientific community. Other more specialized scientific areas may be solicited via joint RFAs with other Federal agencies. The STAR program may fund research centers that focus on long-term, multi-disciplinary research. This program is subject to the provisions of OMB Circulars No. A-102 and No. A-110. Specific details on the application process are described in the solicitations which are located at: <http://www.epa.gov/ncer>. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements. Customarily, applicants are notified of award decisions within six months of the close of the RFA. After being recommended for award, applicants will be required to submit additional certifications and an electronic version of the revised project abstract, and may be requested to provide responses to comments or suggestions offered by the peer reviewers, a revised budget, past performance documentation, and/or make appropriate proposal revisions. EPA Project Officers will contact the Lead Principal Investigator/Contact Principal Investigator to obtain these materials. The official notification of an award will be made by the Agency's Grants and Interagency Agreement Management Division. Before or after award, certain applicants will be expected to provide additional quality assurance documentation.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 180 days.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

None. A standard grant application should be prepared and submitted as a new grant, which will be reviewed in the same manner as the original application and will compete for available funds.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: The Office of Research and Development reserves the right to impose a policy-based cost share requirement under certain competitions. See individual RFAs at <http://www.epa.gov/ncer/> for additional information pertaining to matching/cost share requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grants are normally funded for a minimum of 2 years. Total approved project period may not exceed 5 years. Grants are generally fully funded or incrementally funded (on an annual basis). See the following for information on how assistance is awarded/released: Assistance is either fully funded (lump sum) or incrementally funded on an annual basis.

Reports:

No program reports are required. No cash reports are required. A grant recipient must agree to provide annual progress reports, with associated summaries for posting on NCER's web site, and a final report with an executive summary for web posting. A grant recipient must agree to provide copies of any peer reviewed journal article(s) resulting from the research during the project period. The recipient also agrees to provide expenditure and invention reports as appropriate. Progress Reports are required under this program. A discussion of expenditures is required in progress reports under this program. Performance Monitoring is required under this program.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

The record retention requirements of 40 CFR Part 30 (nonprofits and universities) or 40 CFR Part 31 (governmental units) are applicable depending upon the identity of the recipient. Financial records, including all documents to support entries on accounting records and to substantiate charges to each grant, must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained until three years from the date of submission of the final expenditure report. If questions still remain, such as those raised as a result of audit, related records should be retained until the matter is completely resolved.

Account Identification:

68-0107-0-1-304.

Obligations:

(Project Grants) FY 12 \$51,900,000; FY 13 est \$48,900,000; and FY 14 est \$61,100,000

Range and Average of Financial Assistance:

New awards range from \$250,000 to \$8,000,000 total per grant and average \$500,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Applications received for the STAR Program - FY 12: 89. New awards for the STAR Program - FY 12: 51. Abstracts of current grant awards, and annual interim and final summary results of the research are available on the homepage: <http://www.epa.gov/ncer>. Fiscal Year 2013: Applications received for the STAR Program - FY 13 est.: 118. New awards for the STAR Program - FY 13 est.: 15. Abstracts of current grant awards, and annual interim and final summary results of the research are available on the homepage: <http://www.epa.gov/ncer>. Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

General Grant Regulations and Procedures, Environmental Protection Agency (40 CFR Part 30 and 40 CFR Part 31); Research and Demonstration Grant Regulations, Environmental Protection Agency (40 CFR Part 40). Costs will be determined in accordance with OMB Circular No. A-87 (relocated to 2 CFR Part 225) for State and local governments, OMB Circular No. A-21 (relocated to 2 CFR Part 220) for educational institutions, and OMB Circular No. A-122 (relocated to 2 CFR Part 230) for nonprofit organizations.

Regional or Local Office:

See Regional Agency Offices. Applicants are encouraged to communicate with the appropriate EPA Regional Office listed in Appendix IV of the Catalog or the contacts listed in the solicitations. Solicitations are located at: <http://www.epa.gov/ncer>.

Headquarters Office:

Ron Josephson EPA, 2777 Crystal Drive (One Potomac Yards), Arlington, Virginia 22202 Email: josephson.ron@epa.gov Phone: 703-308-0442

Website Address:

<http://www.epa.gov/ncer>

RELATED PROGRAMS:

66.511 Office of Research and Development Consolidated Research/Training/Fellowships

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: 1. Examine how changes in drought frequency and duration affect air quality. Several drought-air quality links will be examined, including changes in emissions from vegetation, changes in dry deposition of species from the atmosphere, changes in atmospheric mixing, and changes in emissions from agricultural operations.

2. Develop (1) a technology to separate oil from water by using affinity specific membranes combined with hydrocyclonic flow and (2) a community outreach program to communities affected by recent oil spills.

3. Understand the sensitivity of extreme pollution concentrations to changes in emissions and climate. To accomplish this objective, extreme value models based on measurements and model simulations of the past few decades will be developed and then applied to simulations of future climate conditions and future emission scenarios.

4. Fabricate multi-layered nanofiber mats that will be integrated into a filtration unit to enhance the filters ability to perform as a chemically active filtration technology. The technology will target arsenic, agrochemicals and pharmaceuticals in rural drinking water systems.

5. Test the ability of ferrate oxidation to solve a wide range of water quality and treatment problems faced by small systems. The researchers will assess whether ferrate oxidation is more effective than conventional technologies, such as chlorination, for water treatment. Fiscal Year 2013: 1. Examine the extent to which nitrate radicals affect the amount and composition of organic aerosol over time.

2. Improve understanding of oxidation processes in the Southeast United States, an area where biogenic volatile organic compounds react to produce ozone and secondary organic aerosol.

3. A suite of measurements will be performed in order to quantify the spatial and temporal variation in emissions and deposition of biogenic volatile organic compounds, which play a role in secondary organic aerosol (SOA) production.

4. Determine the role of best management practices (BMPs) and land use decisions in affecting water quality in the face of extreme events from a changing climate regime.

5. Characterize the controlling factors that lead to enhanced secondary organic aerosol (SOA) formation from mixtures of anthropogenic and biogenic emissions. Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement. See the web page (<http://www.epa.gov/ncer>) for additional information on the evaluative criteria for the RFAs.

66.510 SURVEYS, STUDIES, INVESTIGATIONS AND SPECIAL PURPOSE GRANTS WITHIN THE OFFICE OF RESEARCH AND DEVELOPMENT

FEDERAL AGENCY:

Office of Research and Development (ORD), Environmental Protection Agency

AUTHORIZATION:

Comprehensive Environmental Response, Compensation, and Liability Act of 1980, Section 311; Federal Insecticide, Fungicide, and Rodenticide Act, Public Law 92-516, 7 U.S.C 136r&136u; Solid Waste Disposal Act, Section 8001, 42 U.S.C 6981; Resource Conservation and Recovery Act of 1976, Public Law 94-580, 42 U.S.C 6981; Toxic Substances Control Act, Section 10, Public Law 94-469, 15 U.S.C 2601; National Environmental Policy Act of 1969, Section 102(2)(F); Clean Air Act, Section 103, Public Law 95-95, 42 U.S.C 7401; Clean Water Act, Section 104, Public Law 95-217, 33 U.S.C 1251; Marine Protection, Research, and Sanctuaries Act, Section 203; Safe Drinking Water Act, Section 1442, 93 U.S.C 523; Federal Insecticide, Fungicide, and Rodenticide Act, Public Law 94-140, 7 U.S.C 136; Federal Insecticide, Fungicide, and Rodenticide Act, Public Law 95-396, 7 U.S.C 136.

OBJECTIVES:

To (1) support surveys, studies and investigations and special purpose assistance to determine the environmental effects of air quality, drinking water, water quality, hazardous waste, toxic substances, and pesticides (2) identify, develop, and demonstrate effective pollution control techniques; and (3) fund innovative regional projects that address a stated problem or opportunity relating to sustainability and use science to inform design, planning and decision-making at the local, state and industrial levels.

Funding Priority - Fiscal Year 2013: FY 2013 priorities include conducting air pollutants research, improving the science behind risk assessment, water quality research, drinking water research, research to improve human health and the environment, and research related to Homeland Security. Surveys, studies, and investigations to determine the environmental effects of air quality, drinking water, water quality, hazardous waste, toxic substances, endocrine disrupting chemicals, and pesticides will also be funded under this category. Additionally, this funding priority provides support for conferences relating to the areas above.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds awarded via grants/cooperative agreements are available for allowable direct cost expenditures incident to performance plus allocable portions of allowable indirect costs of the institutions, in accordance with the established policies of EPA. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to

conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

These programs are available to each State, territory and possession, and Tribal nation of the U.S., including the District of Columbia, for public and private State universities and colleges, hospitals, laboratories, State and local government departments, other public or private nonprofit institutions, and in some cases, individuals or foreign entities. Profit-making firms are not eligible to receive assistance agreements from the EPA under this program.

Eligible nonprofit organizations include any organizations that meet the definition of nonprofit in OMB Circular A-122, located at 2 CFR Part 230. However, nonprofit organizations described in Section 501(c) (4) of the Internal Revenue Code that lobby are not eligible to apply.

National laboratories funded by Federal Agencies (Federally-Funded Research and Development Centers, "FFRDCs") may not apply. FFRDC employees may cooperate or collaborate with eligible applicants within the limits imposed by applicable legislation and regulations. They may participate in planning, conducting, and analyzing the research directed by the applicant, but may not direct projects on behalf of the applicant organization. The institution, organization, or governance receiving the award may provide funds through its assistance agreement from the EPA to an FFRDC for research personnel, supplies, equipment, and other expenses directly related to the research. However, salaries for permanent FFRDC employees may not be provided through this mechanism.

Federal Agencies may not apply. Federal employees are not eligible to serve in a principal leadership role on an assistance agreement, and may not receive salaries or augment their Agency's appropriations in other ways through awards made under this program.

The applicant institution may enter into an agreement with a Federal Agency to purchase or utilize unique supplies or services unavailable in the private sector to the extent authorized by law. Examples are purchase of satellite data, chemical reference standards, analyses, or use of instrumentation or other facilities not available elsewhere. A written justification for federal involvement must be included in the application. In addition, an appropriate form of assurance that documents the commitment, such as a letter of intent from the Federal Agency involved, should be included.

Beneficiary Eligibility:

Public nonprofit institutions/organizations and private nonprofit institutions/organizations; state and local governments; Federally Recognized Indian Tribal Governments; U.S. territories or possessions; anyone/general public; education professionals; students/trainees; graduate students; scientists/researchers; hospitals; foreign entities; and individuals.

Credentials/Documentation:

The Agency may request that applicants demonstrate they have appropriate background, academic training experience in the field, and the necessary equipment to carry out the research. EPA may ask applicants or principal investigators to provide curriculum vitae and relevant publications. EPA may ask principal investigators for information documenting past performance. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. When applicable, an environmental impact assessment is made by the Office of Research and Development as required by

the National Environmental Policy Act. The standard application forms as furnished by the EPA and required by OMB Circulars No. A-102 and No. A-110 must be used for this program. An environmental impact assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Competitive RFAs (requests for applications) or RFIPs (requests for initial proposals) for grants in these areas are announced widely through the Internet, university and scientific organizations, among other mechanisms. Although the programs identified are the formal assistance programs that ORD funds, there are periodic RFAs/RFIPs disseminated on other topics that are not part of the formal program. These new programs are also part of 66.510 but cannot be presently identified. Funds may be available to support activities including but not limited to experiments, surveys, studies, investigations, public education programs, and monitoring where authorized by specific statutes, in both science and engineering disciplines. Please check the Federal Register or the EPA/ORD website <http://www.epa.gov/ord/htm/grantopportunity.htm> for an updated listing of these programs. Completed applications must be submitted to the appropriate Grants Management Office at the Environmental Protection Agency or as shown in the specific RFAs/RFIPs, which are accessible through the EPA/ORD website at <http://www.epa.gov/ord/htm/grantopportunity.htm>. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements. Customarily, applicants are notified about award decisions within six months of the solicitation deadline. After being recommended for award, applicants will be required to submit additional certifications and an electronic version of the revised project abstract, and may be requested to provide responses to comments or suggestions offered by the peer reviewers, a revised budget, past performance documentation, and/or make appropriate revisions. EPA Project Officers will contact Principal Investigators to obtain these materials. The official notification of an award will be made by the Agency's Grants and Interagency Agreements Management Division. Before or after award, certain applicants will be expected to provide additional quality assurance documentation.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 180 days.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

None. A standard grant application should be prepared and submitted as a new grant, which will be reviewed in the same manner as the original application and will compete for available funds.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: The Office of Research and Development reserves the right to impose a policy-based cost share requirement under certain competitions. See individual RFAs for additional information pertaining to

matching/cost share requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grants are normally funded on a 12-month basis (annual). Total approved project period may not exceed 7 years. See the following for information on how assistance is awarded/released: Assistance is either fully funded (lump sum) or incrementally funded on an annual basis.

Reports:

No program reports are required. No cash reports are required. EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Agreements may require quarterly, interim, and final progress reports, and financial, equipment, and invention reports. Reporting requirements are also identified in the Grant Regulations, 40 CFR Parts 30 and 31. Progress reports are required under this program. A discussion of expenditures is required in progress reports under this program. Performance Monitoring is required under this program.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

The record retention requirements of 40 CFR Part 30 (non-profits and universities) or 40 CFR Part 31 (governmental units) are applicable depending upon the identity of the recipient. Financial records, including all documents to support entries on accounting records and to substantiate charges to each grant, must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained until three years from the date of submission of the final expenditure report. If questions still remain, such as those raised as a result of audit, related records should be retained until the matter is completely resolved.

Account Identification:

68-0107-0-1-304.

Obligations:

(Project Grants) FY 12 \$3,000,000; FY 13 est \$2,000,000; and FY 14 est \$2,000,000

Range and Average of Financial Assistance:

New grants/cooperative agreements range from \$10,000 to \$170,000 and average \$55,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: 10 grants/cooperative agreements were awarded in FY 12. Data of the number of applications received are not available. Summary information of the funded research is available in the EPA Grant Awards Database, http://yosemite.epa.gov/oarm/igms_egf.nsf/HomePage?ReadForm. Fiscal Year 2013: An estimated 15 grants/cooperative agreements are made each year. Data of the number of applications received and the exact number of awards made in FY 13 are not available. Summary information of the funded research is available in the EPA Grant Awards Database, http://yosemite.epa.gov/oarm/igms_egf.nsf/HomePage?ReadForm. Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

General Grant Regulations and Procedures, Environmental Protection Agency (40 CFR Part 30 and 40 CFR Part 31); Research and Demonstration Grant Regulations, Environmental Protection Agency (40 CFR Part 40). Costs will be determined in accordance with OMB Circular No. A-87 (relocated to 2 CFR Part 225) for State and local governments, OMB Circular No. A-21 (relocated to 2 CFR Part 220) for educational institutions, and OMB Circular No. A-122

(relocated to 2 CFR Part 230) for nonprofit organizations.

Regional or Local Office:

See Regional Agency Offices. Individuals are encouraged to communicate with the appropriate EPA Regional Office listed in Appendix IV of the Catalog or the contact listed on the RFA.

Headquarters Office:

Walter Stutts U.S. Environmental Protection Agency, 26 West Martin Luther King Drive., WG-12, Cincinnati, Ohio 45268 Email: stutts.walter@epa.gov Phone: 513-569-7487 Fax: 513-487-2584

Website Address:

<http://www.epa.gov/ord/htm/grantopportunity.htm>

RELATED PROGRAMS:

66.509 Science To Achieve Results (STAR) Research Program; 66.511 Office of Research and Development Consolidated Research/Training/Fellowships

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: 1) The focus of the Environmental Justice (EJ) Workshop is to develop approaches for measuring the successes of EJ programs aimed at reducing or eliminating disproportionate environmental and health impacts among minority, low income, other socially disadvantaged populations and communities. 2) The study will evaluate the relative importance of environmental and behavioral approaches for reducing the incidence of Lyme disease and Babesiosis in a high risk island setting. The project will take advantage of ongoing human cohort studies on the epidemiology of Lyme disease and Babesiosis. 3) The conference will bring experts from around the world to discuss cutting-edge Environmental Endocrine Disruptors (EED) research. Topics of research discussed include links between EED exposures and human disease; critical developmental windows of exposure; and molecular mechanisms for EED effects such as environmental epigenetics and hormone pathways. 4) The Trans-Atlantic Research and Development Interchange on Sustainability (TARDIS) 2012 Workshop will address the topic of time and time-frames for sustainability under joint sponsorship of the Austrian Federal Ministry of Education, Science and Culture and the EPA Office of Research and Development. Sustainability or sustainable development is a recognition of the need for societies to develop within the constraints of the physical and biological limits of the Earth. 5) The International Conference on Sustainability Science (ICSS) will advance sustainability science knowledge and practice across disparate disciplines and institutions. The conference will employ an innovative case study approach for an in-depth engagement of participants to examine state-of-the-art sustainability science practice. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement.

**66.511 OFFICE OF RESEARCH AND DEVELOPMENT
CONSOLIDATED RESEARCH/TRAINING/FELLOWSHIPS
FEDERAL AGENCY:**

Office of Research and Development (ORD), Environmental Protection Agency

AUTHORIZATION:

Safe Drinking Water Act, Section 1442, Public Law 93-523; Federal Insecticide, Fungicide, and Rodenticide Act, Public Law 92-516, 7 U.S.C 136r&136u; Marine Protection, Research, and Sanctuaries Act, Section 203; Clean Air Act, Section 103&104, Public Law 95-95, 42 U.S.C 7401; Comprehensive Environmental Response, Compensation, and Liability Act (CERCLA) of 1980, Section 311, Public Law 95-510; Resource Conservation and Recovery Act of 1976, Public Law 94-580, 42 U.S.C 6901; Clean Water Act, Section 104, Public Law 95-217, 33 U.S.C 1251; Solid Waste Disposal Act, Section 8001; National Environmental Policy Act of 1969, Section 102(2)(F); Toxic Substances Control Act, Section 10, Public Law 94-469, 15 U.S.C 2601; Federal Insecticide, Fungicide, and Rodenticide Act, Public Law 94-140, 7 U.S.C 136r&136u; Comprehensive Environmental Response, Compensation, and Liability Act (CERCLA) of 1980, Section 311, Public Law 99-499; Federal Insecticide, Fungicide, and Rodenticide Act, Public Law 95-396, 7 U.S.C 136r&136u.

OBJECTIVES:

The Office of Research and Development (ORD) supports research and development to determine the environmental effects of air quality, drinking water, water quality, hazardous waste, toxic substances, and pesticides; (2) identify, develop, and demonstrate effective pollution control techniques; (3) perform risk assessments to characterize the potential adverse health effects of human exposures to environmental hazards; and (4) the facilitation of training and fellowships in these areas. Investigator initiated grants/cooperative agreements may be funded in broad areas such as environmental chemistry and physics, environmental engineering, and health and ecological effects of pollution. The ORD conducts leading-edge research to provide a solid underpinning of science and technology for the Agency. Applied research builds our understanding of how to protect and enhance the relationship between humans and the ecosystems of earth. ORD conducts a comprehensive research program to protect air, water, land, and human health and ecosystems, to improve environmental compliance and stewardship, and to conduct and promote coordination and acceleration of training for individuals relating to the protection and enhancement of the relationship between humans and the ecosystems of earth as well as to improve environmental compliance and stewardship.

Funding Priority - Fiscal Year 2013: ORD's research supports EPA's strategic goals. Brief descriptions of this research are as follows: 1) Air climate and energy research assess human and ecosystem exposures and effects associated with air pollutants and climate change. Working with other Federal and state agencies, major universities and institutions, and the private sector, this research provides the scientific tools, metrics, and information on air pollution exposure necessary for individuals, communities, and governmental agencies to make public health decisions related to air quality and climate change; 2) Safe and sustainable water research provides the resource management tools that allow decision makers to systematically consider complex tradeoffs occurring in a watershed on a regional or national scale. These tools are based on an improved understanding of the impacts of environmental stresses such as waterborne chemicals and microbial contaminants on aquatic systems and the potential impacts of anthropogenic processes such as hydraulic fracturing. This research will also address issues related to the nation's aging water infrastructure; high priority water quality and availability research; and promising approaches such as green infrastructure; 3) Sustainable and healthy communities research program supports the Agency's effort to protect and restore land, and support community health. This research focuses on developing data and tools for forecasting and assessing ecological and community health, designing near-term approaches for sustainable solutions to address environmental contaminants present in communities, and developing sustainable practices to help communities manage waste and materials; infrastructure, energy and water; transportation; and planning and zoning for buildings and land use; and 4) Chemical safety and sustainability research underpins the analysis of risks and potential health impacts across the broad spectrum of EPA programs and provides the scientific foundation for pesticide and toxic substances programs, pollution prevention, human health risk assessment, and homeland security. Specifically, this research allows the Agency to more quickly, efficiently, and cost-effectively assess potential chemical toxicity; develop integrated health hazard and dose-response assessments that support Agency decisions related to chemical safety; improve the Agency's preparedness, response, and recovery capabilities for homeland security incidents and other hazards.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds awarded via grants/cooperative agreements are available for allowable direct cost expenditures incident to performance of research plus allocable portions of allowable indirect costs of the institutions, in accordance with the established policies of EPA. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when

on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

These programs are available to each State, territory and possession, and Tribal nation of the U.S., including the District of Columbia, for public and private State universities and colleges, hospitals, laboratories, State and local government departments, other public or private nonprofit institutions, and in some cases, individuals or foreign entities. Profit-making firms are not eligible to receive assistance agreements from the EPA under this program.

Eligible nonprofit organizations include any organizations that meet the definition of nonprofit in OMB Circular A-122, located at 2 CFR Part 230. However, nonprofit organizations described in Section 501(c) (4) of the Internal Revenue Code that lobby are not eligible to apply.

National laboratories funded by Federal Agencies (Federally-Funded Research and Development Centers, "FFRDCs") may not apply. FFRDC employees may cooperate or collaborate with eligible applicants within the limits imposed by applicable legislation and regulations. They may participate in planning, conducting, and analyzing the research directed by the applicant, but may not direct projects on behalf of the applicant organization. The institution, organization, or governance receiving the award may provide funds through its assistance agreement from the EPA to an FFRDC for research personnel, supplies, equipment, and other expenses directly related to the research. However, salaries for permanent FFRDC employees may not be provided through this mechanism.

Federal Agencies may not apply. Federal employees are not eligible to serve in a principal leadership role on an assistance agreement, and may not receive salaries or augment their Agency's appropriations in other ways through awards made under this program.

The applicant institution may enter into an agreement with a Federal Agency to purchase or utilize unique supplies or services unavailable in the private sector to the extent authorized by law. Examples are purchase of satellite data, chemical reference standards, analyses, or use of instrumentation or other facilities not available elsewhere. A written justification for federal involvement must be included in the application. In addition, an appropriate form of assurance that documents the commitment, such as a letter of intent from the Federal Agency involved, should be included.

Beneficiary Eligibility:

Public nonprofit institutions/organizations and private nonprofit institutions/organizations; state and local governments; Federally Recognized Indian Tribal Governments; U.S. territories or possessions; anyone/general public; education professionals; students/trainees; graduate students; scientists/researchers; hospitals; foreign entities; and individuals.

Credentials/Documentation:

The EPA may request that applicants demonstrate they have appropriate background, academic training, experience in the field, and necessary equipment to carry out the research. EPA may ask applicants to provide curriculum vitae and relevant publications. EPA may also ask the lead principal investigator/contact principal investigator for information documenting past performance. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional

information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. When applicable, an environmental impact assessment is made by the Office of Research and Development as required by the National Environmental Policy Act. The standard application forms as furnished by the EPA and required by OMB Circulars No. A-102 and No. A-110 must be used for this program. An environmental impact assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Competitive RFAs (requests for applications) or RFIPs (requests for initial proposals) for grants in these areas are announced widely through the Internet, university and scientific organizations, among other mechanisms. Although the programs identified are the formal assistance programs that ORD funds, there are periodic RFAs disseminated on other topics that are not part of the formal program. These new programs are also part of 66.511 but cannot be presently identified. Funds may be available to support research activities including but not limited to experiments, surveys, studies, investigations, public education programs, and monitoring where authorized by specific statutes, in both science and engineering disciplines. Funds may also be used for training and fellowships. Please check the Federal Register or the EPA/ORD website <http://www.epa.gov/ord/htm/grantopportunity.htm> for an updated listing of these programs. Completed applications must be submitted to the appropriate Grants Management Office at the Environmental Protection Agency or as shown in the specific RFAs, which are accessible through the EPA/ORD website at <http://www.epa.gov/ord/htm/grantopportunity.htm>. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements. Customarily, applicants are notified about award decisions within six months of the solicitation deadline. After being recommended for award, applicants will be required to submit additional certifications and may be requested to provide responses to comments or suggestions offered by the peer reviewers, a revised budget, past performance documentation, and/or make appropriate revisions. EPA Project Officers will contact Principal Investigators to obtain these materials. The official notification of an award will be made by the Agency's Grants and Interagency Agreements Management Division. Before or after award, certain applicants will be expected to provide additional quality assurance documentation.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 180 days.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

None. A standard grant application should be prepared and submitted as a new grant, which will be reviewed in the same manner as the original application and will compete for available funds.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: The Office of Research and Development reserves

the right to impose a policy-based cost share requirement under certain competitions. See RFAs for additional information pertaining to matching/cost share requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grants/cooperative agreements are normally funded on a 12-month basis (annual). Total approved project period may not exceed 5 years for research and 3 years for training agreements. See the following for information on how assistance is awarded/released: Assistance is either fully funded (lump sum) or incrementally funded on an annual basis.

Reports:

No program reports are required. No cash reports are required. EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Agreements may require quarterly, interim, and final progress reports, and financial, equipment, and invention reports. Reporting requirements are also identified in the Grant Regulations, 40 CFR Parts 30 and 31. A discussion of expenditures is required in progress reports under this program. Performance Monitoring is required under this program.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

The record retention requirements of 40 CFR Part 30 (non-profits and universities) or 40 CFR Part 31 (governmental units) are applicable depending upon the identity of the recipient. Financial records, including all documents to support entries on accounting records and to substantiate charges to each grant, must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained until three years from the date of submission of the final expenditure report. If questions still remain, such as those raised as a result of audit, related records should be retained until the matter is completely resolved.

Account Identification:

68-0107-0-1-304.

Obligations:

(Project Grants) FY 12 \$16,200,000; FY 13 est \$13,300,000; and FY 14 est \$16,500,000

Range and Average of Financial Assistance:

Awards range for new grants/cooperative agreements from \$75,000 to \$1,000,000, and average \$250,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Three grants/cooperative agreements were awarded in FY 12. Data of the number of applications received are not available. Summary information of the funded research is available in the EPA Grant Awards Database, http://yosemite.epa.gov/oarm/igms_egf.nsf/HomePage?ReadForm. Fiscal Year 2013: Two (est.) grants/cooperative agreements are to be awarded in FY 13. Data of the number of applications received are not available. Summary information of the funded research is available in the EPA Grant Awards Database, http://yosemite.epa.gov/oarm/igms_egf.nsf/HomePage?ReadForm. Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

General Grant Regulations and Procedures, Environmental Protection Agency (40 CFR Part 30 and 40 CFR Part 31); Research and Demonstration Grant Regulations, Environmental Protection Agency (40 CFR Part 40). Costs will be determined in accordance with OMB Circular No. A-87 (relocated to 2 CFR

Part 225) for State and local governments, OMB Circular No. A-21 (relocated to 2 CFR Part 220) for educational institutions, and OMB Circular No. A-122 (relocated to 2 CFR Part 230) for nonprofit organizations.

Regional or Local Office:

See Regional Agency Offices. Individuals are encouraged to communicate with the appropriate EPA Regional Office listed in Appendix IV of the Catalog or the contact listed on the RFA.

Headquarters Office:

Walter Stutts U.S. Environmental Protection Agency, 26 West Martin Luther King Drive., WG-12, Cincinnati, Ohio 45268 Email: stutts.walter@epa.gov Phone: 513-569-7487 Fax: 513-487-2584

Website Address:

<http://www.epa.gov/ord/htm/grantopportunity.htm>

RELATED PROGRAMS:

66.509 Science To Achieve Results (STAR) Research Program; 66.510 Surveys, Studies, Investigations and Special Purpose Grants within the Office of Research and Development

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: 1) This project seeks to quantify the radiative forcing of aerosols and tropospheric ozone in relation to changes in emissions of aerosol and ozone precursor emissions and is expected to provide information on sources of short-lived climate forces as well as a means to estimate the impacts of future emissions associated with changes in air quality and climate mitigation actions. This work will extend the existing adjoint method, which is generally used to attribute atmospheric concentrations of pollutants to various sources, to the radiative forcing and climatic effects due to those same pollutants. 2) The objective is to develop a technique for extraction and analysis of engineered nanoparticles for characterization of their aggregation state in soil and sediment pore water. 3) This research is for the development of effective risk communication strategies for post-incident decontamination and clearance activities associated with an intentional biological environmental contamination. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement.

66.513 GREATER RESEARCH OPPORTUNITIES (GRO) FELLOWSHIPS FOR UNDERGRADUATE ENVIRONMENTAL STUDY FEDERAL AGENCY:

Office of Research and Development (ORD), Environmental Protection Agency

AUTHORIZATION:

Clean Water Act, Section 104, 33 U.S.C 1254; Federal Insecticide, Fungicide, and Rodenticide Act, Section 20, 7 U.S.C 136r; Clean Air Act, Section 103, 42 U.S.C 7403; National Environmental Policy Act of 1969, Section 102(2)(F); Solid Waste Disposal Act, Section 8001, 42 U.S.C 6981; Safe Drinking Water Act, Section 1442, 42 U.S.C 300j-1; Toxic Substances Control Act, Section 10, 15 U.S.C 2609.

OBJECTIVES:

The National Center for Environmental Research (NCER) offers undergraduate fellowships to students in environmentally related fields of study. This program is intended to strengthen the environmental research capacity of institutions of higher education that receive limited funding to build such capacity.

Funding Priority - Fiscal Year 2013: The Greater Research Opportunities Fellowship Program will support undergraduate fellowships in the following areas: Natural and Life Sciences (includes pollution prevention and/or control-related study in Biology, Ecology, Microbiology, Molecular Sciences, etc.); Environmental Sciences and Interdisciplinary Programs (includes pollution prevention and/or control-related study in Environmental Studies, Urban Sustainability and Land Use Planning, Environmental Health, Environmental Policy, Environmental Education, Native/Tribal Environmental Science, etc.); Engineering (includes pollution prevention and/or control-related study in Environmental Engineering, Waste Management, Green Building

Engineering, Biotechnology, etc.); Social Sciences (includes pollution prevention and/or control-related study in Economics, International Environmental Studies, Anthropology, Sociology, Psychology, Environmental Justice, etc.); Physical Sciences and Earth Sciences (includes pollution prevention and/or control-related study in Atmospheric Sciences, Chemistry, Geology, Geography, Biogeochemistry, Water Quality, etc.); Mathematics and Computer Science (includes pollution prevention and/or control-related study in Environmental Information Science, Mathematics and Statistics, Bioinformatics, etc.).

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Fellowship awards are made in response to a competitive solicitation. Funds are to provide resources to allow for fellowships related to environmental issues. No matching funds are required. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Applicants must attend a fully accredited U.S. college or university (located in the U.S. or its territories) for the fellowship period. Individuals must be citizens of the U.S. or its territories or possessions, or be lawfully admitted to the U.S. for permanent residence. Resident aliens must include their green card number in their application. You must have your green card at the time of application to be eligible for this Fellowship opportunity. EPA may verify this number with the U.S. Citizenship and Immigration Service of the Department of Homeland Security.

The GRO Undergraduate Fellowship is intended for students entering their last two years of full time study before obtaining their first bachelor's degree. Students who have already earned one bachelor's degree and are pursuing additional degrees are not eligible. The fellowship tenure is for 2 full academic years (9 months each) with a required paid summer internship after their first year. Thus, only students who will be entering their last two years of college will be considered eligible.

In order to receive the fellowship, the student must attend a fully accredited four year U.S. institution of higher education. This school must be among those that are not highly funded for research and development capacity. For the purposes of this solicitation, students attending those institutions receiving more than \$35 million in annual federal research and development funding are ineligible to apply under this solicitation. Institutions who exceed this threshold can be identified in the National Science Foundation's publication "Federal Science and Engineering Support to Universities, Colleges, and Nonprofit Institutions: FY 2008," Table 12, column 2. These data can be found at: <http://www.nsf.gov/statistics/nsf12316/pdf/nsf12316.pdf>. Students attending those institutions either not listed, or listed as having received \$35 million or less as designated in column 2 of Table 12 are eligible to apply.

Students attending two-year institutions, community colleges, and not in attendance at an institution of higher education at the time of application submittal may be eligible to apply. However, in order to be eligible to receive the fellowship, students attending two-year institutions, community colleges, or

not in attendance at the time of application will need to show evidence of having received their Associate's degree, demonstrate that they only have two years left of undergraduate studies for completion of their Bachelor's degree, and will also need to demonstrate that they have been accepted to attend an accredited eligible four-year institution. This requirement is considered satisfied if the student is transferring to an accredited eligible four-year institution which has an Articulation Agreement with the two-year institution, thus meaning that all credits will transfer towards requirements for the Bachelor's degree. An Articulation Agreement is a signed contract between a community or technical college and a four-year college or university that guarantees that a student who earns an associate degree at a participating two-year institution can transfer all of the general education core credits to the four-year institution toward the completion of baccalaureate degree requirements. More information can usually be obtained directly from the institution's Registrar. Alternately, a student with an Associate's degree may provide a letter from the Registrar of the accredited eligible four-year institution verifying that upon matriculation, the student will only have two remaining years of undergraduate study to complete the first Bachelor's degree.

Students must be pursuing a bachelor's degree in an environmentally-related field, such as biology, health, the social sciences, and engineering. Students must have two (2) years remaining from the start of the Fall semester before receiving their initial bachelor's degree. Students must have at least a "B" average overall at the time of application submittal and during the tenure of the fellowship.

Applicants who currently have another federal fellowship are not eligible. However, acceptance of this fellowship does not necessarily preclude acceptance of another private, state, regional, local, or non-profit scholarship, fellowship, traineeship, research assistantship, teaching assistantship, or grant aid.

Employees of the U.S. government may apply, but must be able to prove separation from Federal service before accepting this fellowship.

The exact term (start and end dates) of the undergraduate fellowship is negotiated with the student and covers a period of nine months for each fellowship year. Students seeking a bachelor's degree may be supported for a maximum of two academic years.

EPA recognizes that scientific, technical, engineering and mathematical (STEM) competence is essential to the Nation's future well-being in terms of national security and competitive economic advantage. For instance, the health and vitality of the economy is predicated, in part, on the availability of an adequate supply of scientists, technicians, engineers and mathematicians, to develop innovative technologies and solutions. In other words, this country must engage all available minds to address the challenges it faces. Minorities, women, and persons with disabilities historically have been under-represented in the STEM fields. For this reason, EPA strongly encourages women, minorities, and persons with disabilities to apply.

Beneficiary Eligibility:

Individual/Family; Student/Trainee.

Credentials/Documentation:

EPA may request that fellows document their affiliation with an eligible institution. The EPA may also request that applicants demonstrate they have appropriate background, academic training, and experience in the field to carry out the fellowship. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. NCER invites applications for undergraduate fellowships in academic disciplines including engineering, environmental science, and physical science. Environmental impact information is not required

for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. For regular mail, completed paper applications must be submitted to the following address: U.S. Environmental Protection Agency, Peer Review Division (8725P); Funding Opportunity Number: (include appropriate number as shown in the solicitation), 1200 Pennsylvania Avenue, NW, Washington, DC 20460. For express mail and couriers, completed paper applications must be submitted to the following address: U.S. Environmental Protection Agency, Peer Review Division (8725P), Funding Opportunity Number: (include appropriate number as shown in the solicitation); 2777 Crystal Drive (One Potomac Yards), 6th Floor South Building, Arlington, VA 22202. Specific details on the application process are described in the solicitations which are located at: <http://www.epa.gov/ncer>. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 210 days from the close of the solicitation.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The term of the fellowship is negotiated with the student and covers a period of nine months for each fellowship year. Students seeking a bachelor's degree may be supported for a maximum of two years. If selected to receive a fellowship, the fellow will be contacted no later than seven months after the close of the solicitation and should subsequently receive official notification of award by no later than 9 months after the close of the solicitation for the fall term. Please note that this schedule may be changed without notification due to factors that were not anticipated at the time of announcement. The official notification of an award will be made by the EPA's Grants and Interagency Agreement Management Division. See the following for information on how assistance is awarded/released: Assistance is fully funded (lump sum).

Reports:

No program reports are required. No cash reports are required. No progress reports are required. No expenditure reports are required. EPA includes reporting requirements for fellowships in the terms and conditions of the agreements. Reporting requirements are also identified in the fellowship regulations, 40 CFR Part 46. Performance Monitoring is required under this program.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a

year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

The record retention requirements of 40 CFR Part 46 are applicable. All records related to a fellowship agreement must be maintained for three years after the completion date inserted on the "Completion of Studies Notice" (EPA Form 5770-9). EPA, the Inspector General, Comptroller General of the United States, or any of their duly authorized representatives, has the right of timely and unrestricted access to documents, papers, or other records related to a fellowship, in order to make audits, examinations, excerpts, transcripts and copies of such documents. The rights of access in this paragraph are not limited to the required retention period, but shall last as long as records are retained.

Account Identification:

68-0107-0-1-304.

Obligations:

(Project Grants) FY 12 \$1,700,000; FY 13 est \$1,800,000; and FY 14 est \$0

Range and Average of Financial Assistance:

The undergraduate fellowship provides up to \$20,700 per year of academic support and \$8,600 for internship support for a combined total of up to \$50,000 over the life of the fellowship. Awards range from \$28,900 to \$48,900 total per fellowship and average \$46,000 total.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Applications received for the Greater Research Opportunities Undergraduate Fellowship Program- FY 12: 139. New awards for the Greater Research Opportunities Undergraduate Fellowship Program-FY 12: 39. Fiscal Year 2013: Applications received for the Greater Research Opportunities Undergraduate Fellowship Program- FY 13: 131. New awards for the Greater Research Opportunities Undergraduate Fellowship Program-Information for FY 2013 is not yet available. Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Fellowship Regulations, Environmental Protection Agency (40 CFR Part 46).

Regional or Local Office:

See Regional Agency Offices. Applicants are encouraged to communicate with the appropriate EPA Regional Office listed in Appendix IV of the Catalog or the contacts listed in the solicitations. Solicitations are located at: <http://www.epa.gov/ncer>.

Headquarters Office:

Georgette Boddie, USEPA, Potomac Yards, South 2777 Crystal Drive, Rm# S11948, Arlington, Virginia 22202 Email: boddie.georgette@epa.gov Phone: 703-347-8049

Website Address:

<http://www.epa.gov/ncer>

RELATED PROGRAMS:

66.514 Science To Achieve Results (STAR) Fellowship Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: 1. Support student's education in the field of biology. Student's environmental interest includes investigating a novel approach to heavy metal bioaccumulation.

2. Support student's education in the field of environmental engineering. Student's research interests include learning how to manage environmental, economic, and social aspects to create sustainable solutions.

3. Support student's education in the field of geoscience. The student's research interests include looking at the greater hydrological effects on aquifers contaminated by geologic factors.

4. Support student's education in the field of chemistry. The student's research interests include looking at how air and water pollution can be controlled from

a chemical perspective.

5. Support student's education in the field of imaging science. The student's research interests include improving current techniques that utilize imaging devices to monitor climate change such as sea temperature, particulate carbon in the atmosphere, and soil composition. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement. See the Web page (<http://www.epa.gov/ncer>) for additional information on the evaluative criteria for the RFAs.

66.514 SCIENCE TO ACHIEVE RESULTS (STAR) FELLOWSHIP PROGRAM

FEDERAL AGENCY:

Office of Research and Development (ORD), Environmental Protection Agency

AUTHORIZATION:

Clean Water Act, Section 104, 33 U.S.C 1254; National Environmental Policy Act of 1969, Section 102(2)(F); Solid Waste Disposal Act, Section 8001, 42 U.S.C 6981; Toxic Substances Control Act, Section 10, 15 U.S.C 2609; Safe Drinking Water Act, Section 1442, 42 U.S.C 300j-1; Clean Air Act, Section 103, 42 U.S.C 7403; Federal Insecticide, Fungicide, and Rodenticide Act, Section 20, 7 U.S.C 136r.

OBJECTIVES:

The National Center for Environmental Research (NCER), as part of its Science to Achieve Results (STAR) program, offers graduate fellowships for master's and doctoral level students in environmentally related fields of study. The purpose of the fellowship program is to encourage promising students to obtain advanced degrees and pursue careers in environmentally related fields. This goal is consistent with the mission of EPA, which is to provide leadership in the nation's environmental science, research, education, assessment, restoration, and preservation efforts. This program will benefit both the public and private sectors which will need a steady stream of well-trained environmental specialists if society is to meet the environmental challenges of the future. These fellowships are intended to help defray costs associated with advanced environmentally-oriented study leading to a master's or doctoral degree.

Funding Priority - Fiscal Year 2013: The STAR Fellowship Program will support graduate fellowships in the following areas: Emerging Environmental Approaches & Challenges: Environmental Innovation; Emerging Environmental Approaches & Challenges: Information Science; Emerging Environmental Approaches & Challenges: Synthetic Biology for Environmental Purposes; Air, Climate & Energy: Clean Air; Air, Climate & Energy: Global Change; Air, Climate & Energy: Green Energy/Natural Resources Production & Use; Chemical Safety for Sustainability: Green Engineering/Building/Chemical Products & Processes/Materials Development; Chemical Safety for Sustainability: Nanotechnology; Chemical Safety for Sustainability: Pesticides and Toxic Substances; Human Health Risk Assessment: Public Health; Human Health Risk Assessment: Risk Assessment and Risk Management; Safe and Sustainable Water Resources: Drinking Water; Safe and Sustainable Water Resources: Water Quality--Coastal and Estuarine Processes; Safe and Sustainable Water Resources: Water Quality--Hydrogeology and Surface Water; Safe and Healthy Communities: Ecosystem Services--Aquatic Systems Ecology; Safe and Healthy Communities: Ecosystem Services--Terrestrial Systems Animal Ecology; Safe and Healthy Communities: Ecosystem Services--Terrestrial Systems Soil and Plant Ecology; Safe and Healthy Communities: Built Environment and Land Use/Protection; Safe and Healthy Communities: Tribes and American Indian/Alaska Native/Pacific Islander Communities. All projects must describe the relationship of these topic areas to the statutorily required purpose of pollution prevention and/or control.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

All STAR fellowship awards are made in response to a competitive solicitation.

Funds are to provide resources to allow for fellowships related to environmental issues. No matching funds are required. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Applicants must attend a fully accredited U.S. college or university (located in the U.S. or its territories) for their graduate studies. Individuals must be citizens of the U.S. or its territories or possessions, or be lawfully admitted to the U.S. for permanent residence. Resident aliens must include their green card number in their application. Resident alien applicants must have their green card at the time of application to be eligible for this Fellowship opportunity. EPA may verify this number with the U.S. Citizenship and Immigration Service of the Department of Homeland Security.

Applicants do not need to be enrolled in or formally accepted into a full time graduate program at the time they apply for a fellowship, but proof of enrollment or acceptance must be produced prior to the award of the fellowship. To be eligible for this fellowship, applicants must be pursuing a master's or doctoral degree in an environmentally-related topic area at a fully accredited U.S. college or university (based in the U.S. or its territories).

Applicants who are in a graduate program at the time of application and meet either of the following two criteria as of the solicitation closing date ARE NOT eligible to receive a fellowship: 1) have completed more than one year in their current master's program; or 2) have completed more than four years in their current doctoral program. However, applicants enrolled in a master's program who intend to pursue a doctoral degree beginning in the fall of 2013 may apply for a doctoral fellowship.

Applicants who currently have another federal fellowship are not eligible. However, acceptance of this fellowship does not necessarily preclude acceptance of another private, state, regional, local, or non-profit scholarship, fellowship, traineeship, research assistantship, teaching assistantship, or grant aid.

Employees of the U.S. government may apply, but must be able to prove separation from Federal service before accepting this fellowship.

Beneficiary Eligibility:

Individual/Family; Student/Trainee and Graduate Student.

Credentials/Documentation:

EPA may request that fellows document their affiliation with an eligible institution. The EPA may also request that applicants demonstrate they have appropriate background, academic training, and experience in the field to carry out the fellowship. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. NCER invites applications for graduate

fellowships in academic disciplines relating to environmental research including engineering, public health, and ecological sciences. An application provides the information needed for the review of its merit. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. For regular mail, completed paper applications must be submitted to the following address: U.S. Environmental Protection Agency, Peer Review Division (8725P); Funding Opportunity Number: (include appropriate number as shown in the solicitation), 1200 Pennsylvania Avenue, NW, Washington, DC 20460. For express mail and couriers, completed paper applications must be submitted to the following address: U.S. Environmental Protection Agency, Peer Review Division (8725P), Funding Opportunity Number: (include appropriate number as shown in the solicitation); 2777 Crystal Drive (One Potomac Yards), 6th Floor South Building, Arlington, VA 22202. Specific details on the application process are described in the solicitations which are located at: <http://www.epa.gov/ncer>. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 210 days from the close of the solicitation.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The term of a graduate fellowship is negotiated with students and customarily covers a period of 9 to 12 months for each fellowship year. Students seeking a master's degree may be supported for a maximum of two years. Students seeking doctoral degrees may receive support for a maximum of three years, available over a period of four years. If selected to receive a fellowship, the fellow will be contacted no later than seven months after the close of the solicitation and should subsequently receive official notification of award by no later than 9 months after the close of the solicitation for the fall term. Please note that this schedule may be changed without notification due to factors that were not anticipated at the time of announcement. The official notification of an award will be made by the EPA's Grants and Interagency Agreement Management Division. See the following for information on how assistance is awarded/released: Assistance is incrementally funded on an annual basis.

Reports:

No program reports are required. No cash reports are required. In the spring of each year, the fellow will need to provide documentation (letter from sponsor, updated project synopsis, updated technical abstract, etc.). No expenditure reports are required. EPA includes reporting requirements for fellowships in the terms and conditions of the agreements. Reporting requirements are also identified in the fellowship regulations, 40 CFR Part 46. Performance

Monitoring is required under this program.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

The record retention requirements of 40 CFR Part 46 are applicable. All records related to a fellowship agreement must be maintained for three years after the completion date inserted on the "Completion of Studies Notice" (EPA Form 5770-9). EPA, the Inspector General, Comptroller General of the United States, or any of their duly authorized representatives, has the right of timely and unrestricted access to documents, papers, or other records related to a fellowship, in order to make audits, examinations, excerpts, transcripts and copies of such documents. The rights of access in this paragraph are not limited to the required retention period, but shall last as long as records are retained.

Account Identification:

68-0107-0-1-304.

Obligations:

(Project Grants) FY 12 \$11,100,000; FY 13 est \$11,100,000; and FY 14 est \$0

Range and Average of Financial Assistance:

A maximum of \$84,000 (\$42,000/year) will be provided for master's fellows (two years) and up to \$126,000 (three years) for doctoral fellows. Awards range from \$55,000 to \$126,000 total per fellowship and average \$80,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Applications received for the STAR Fellowship Program: FY 12: 1501. New Awards for the STAR Fellowship Program: FY 12: 86. Fiscal Year 2013: Applications received for the STAR Fellowship Program: FY 13: 1391. New Awards for the STAR Fellowship Program: FY 13: Information not yet available. Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Fellowship Regulations, Environmental Protection Agency (40 CFR Part 46).

Regional or Local Office:

See Regional Agency Offices. Applicants are encouraged to communicate with the appropriate EPA Regional Office listed in Appendix IV of the Catalog or the contact listed in the solicitation. The solicitation is located at: <http://www.epa.gov/ncer>.

Headquarters Office:

Brandon Jones, USEPA, Potomac Yards South, 2777 Crystal Drive, Rm# S11772, Arlington, Virginia 22202 Email: jones.brandon@epa.gov Phone: 703-347-8053

Website Address:

<http://www.epa.gov/ncer>

RELATED PROGRAMS:

66.513 Greater Research Opportunities (GRO) Fellowships For Undergraduate/Graduate Environmental Study

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: 1. The objective of the research is to further the development of biodegradable plastics and natural fiber composites by understanding and optimizing the relationship between processing, properties and end of life degradation.

2. The research will characterize ground water recharge regimes in study basins throughout the Basin and Range Province, extending from northern Mexico to the U.S. states of Nevada and Utah.

3. The project will develop and apply methods to better characterize indoor air pollution using a robust perspective that considers primary biological content and toxicological activity that will contribute to faster and less invasive methods for monitoring indoor air quality and its potential impact on public health and the environment.

4. The objectives of the proposed research are to: (1) delineate the indoor concentration of reactive oxygen species (ROS) in different types of buildings and compare it to the outdoor concentration of ROS; (2) determine the factors that influence indoor and outdoor concentrations of ROS; and (3) assess the health effects of ROS.

5. The research project will seek to gain a holistic understanding of the role of nanoparticle structure and stability on the fate and transport of contaminants in the presence of biofilm, by investigating interactions of model natural and engineered nanoparticles, contaminants and natural organic matter with biofilm. Fiscal Year 2013: Information for FY 2013 is not yet available. Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement. See the Web page (<http://www.epa.gov/ncer>) for additional information on the evaluative criteria for this RFA.

66.516 P3 AWARD: NATIONAL STUDENT DESIGN COMPETITION FOR SUSTAINABILITY

FEDERAL AGENCY:

Office of Research and Development (ORD), Environmental Protection Agency

AUTHORIZATION:

Solid Waste Disposal Act, Section 8001, 42 U.S.C 6981; Safe Drinking Water Act, Section 1442, 42 U.S.C 300j-1; Federal Insecticide, Fungicide, and Rodenticide Act, Section 20, 7 U.S.C 136r; Clean Water Act, Section 104, 33 U.S.C 1254; Clean Air Act, Section 103, 42 U.S.C 7403; Toxic Substances Control Act, Section 10, 15 U.S.C 2609; National Environmental Policy Act of 1969, Section 102(2)(F).

OBJECTIVES:

The U.S. Environmental Protection Agency (EPA), as part of the P3-People, Prosperity and the Planet Award Program, is seeking applications proposing to research, develop, and design solutions to real world challenges involving the overall sustainability of human society. The P3 competition highlights the use of scientific principles in creating innovative projects focused on sustainability. The P3 Award program was developed to foster progress toward sustainability by achieving the mutual goals of economic prosperity, protection of the planet, and improved quality of life for its people-- people, prosperity, and the planet - the three pillars of sustainability. The EPA offers the P3 competition in order to respond to the technical needs of the world while moving towards the goal of sustainability.

Funding Priority - Fiscal Year 2013: The United States Environmental Protection Agency (EPA) invites submissions to the P3 Award: A National Student Design Competition for Sustainability. The P3 competition will provide grants to teams of college students to research, develop, and design solutions to challenges to sustainability.

The P3 Award Program is composed of two phases that award grants on a competitive basis. The first phase of the P3 Award Program is a competition for one-year grants of up to \$15,000 to test scientific hypotheses and principles by developing scientific or engineering designs that will promote sustainable development. Challenges from a wide range of categories will be considered. These include: Energy (e.g., Clean Cookstoves, Green Energy, Biofuels and Waste to Energy); Built Environment (e.g., Green Buildings, Smart Growth, Green Urban Water Infrastructure); Materials and Chemicals (e.g., Sustainable Materials, Sustainable Chemistry); Water (e.g., Drinking Water, Wastewater and Water Reuse).

In the spring following Phase I grant awards, Phase I grantees are required to present their designs at the annual National Sustainable Design Expo on the

National Mall in Washington, DC where they will have an opportunity to compete for Phase II grant awards of up to \$90,000. The Phase II grant awards are to support the demonstration and further development of the sustainability designs created in the first phase of the program. The competitors for the P3 Phase II grants will be limited to those selected to receive support as a result of the Phase I competition. Additional instructions for the Phase II proposals, which will be due approximately 8 months after the Phase I grants are awarded, will be distributed to Phase I recipients following award of the Phase I grants. Those projects identified for receipt of a Phase II grant are also recognized as "P3 Award".

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Awards are made in response to an announced competitive request for applications (RFA). Funds awarded via grant agreements are available for allowable direct cost expenditures incident to the performance of research plus allocable portions of allowable indirect costs of the institutions, in accordance with established EPA policies and regulations. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Public nonprofit institutions/organizations (limited to degree-granting public institutions of higher education) and private nonprofit institutions/organizations (limited to degree-granting private institutions of higher education) located in the U.S. (includes U.S. territories and possessions) are eligible to apply to be the recipient of a grant to support teams of undergraduate and/or graduate students. Profit-making firms are not eligible to receive assistance agreements from the EPA under this program. The students on the teams supported by the institution receiving the grant must be enrolled in the college, university, or post-secondary educational institution they will be representing at the time the proposal is submitted. Institutions are allowed to submit more than one application where each application represents a unique design concept and student team. For the purposes of grant administration, the team's faculty advisor will be designated the Principal Investigator throughout the P3 grant award and competition process. In addition to the Principal Investigator, each team selected for award will also be asked to provide contact information for a student lead.

Eligible nonprofit organizations include research institutes, corporations, or foundations that are part of a U.S. institution of higher education. However, nonprofit organizations described in Section 501(c)(4) of the Internal Revenue Code that lobby are not eligible to apply.

Foreign governments, international organizations, and non-governmental international organizations/institutions are not eligible to apply.

National laboratories funded by Federal Agencies (Federally-Funded Research and Development Centers, "FFRDCs") may not apply. FFRDC employees may cooperate or collaborate with eligible applicants within the limits imposed by applicable legislation and regulations. They may participate in planning, conducting, and analyzing the research directed by the applicant, but may not direct projects on behalf of the applicant organization. The institution,

organization, or governance receiving the award may provide funds through its assistance agreement from the EPA to an FFRDC for, supplies, equipment, and other expenses directly related to the research. However, salaries for permanent FFRDC employees may not be provided through this mechanism.

Federal Agencies may not apply. Federal employees are not eligible to serve in a principal leadership role on an assistance agreement, and may not receive salaries or augment their Agency's appropriations in other ways through awards made under this program.

The applicant institution may enter into an agreement with a Federal Agency to purchase or utilize unique supplies or services unavailable in the private sector. Examples are purchase of satellite data, chemical reference standards, analyses, or use of instrumentation or other facilities not available elsewhere. A written justification for federal involvement must be included in the application. In addition, an appropriate form of assurance that documents the commitment, such as a letter of intent from the Federal Agency involved, should be included.

Collaboration with colleges and universities outside the United States is permitted, but only U.S. institutions will be eligible for awards. Up to 40% of the total grant may be sub-awarded to another educational institution (domestic or foreign) as described in instructions for submitting to this RFA. If foreign work will be performed, in the process of funding the grant, EPA's Office of Research and Development is required to obtain clearance from EPA's Office of International and Tribal Affairs and the U.S. Department of State before any funded foreign work or travel is performed.

See RFA at: <http://www.epa.gov/ncer/p3/> for additional information pertaining to eligibility requirements.

Beneficiary Eligibility:

Public Nonprofit Institutions/Organizations, Private Nonprofit Institutions/Organizations, Anyone/General Public, Education Professional, Student/Trainee, Graduate Student, Scientists/Researchers.

Credentials/Documentation:

EPA may request that students document their affiliation with an eligible institution. The EPA may also request that applicants demonstrate they have appropriate background, academic training, experience in the field, and necessary equipment to carry out the research. EPA may ask principal investigators for information documenting past performance. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. When applicable, an environmental impact assessment is made by the Office of Research and Development as required by the National Environmental Policy Act. The standard application forms as furnished by the EPA and required by OMB Circular No. A-110 must be used for this program. An environmental impact assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. This program is subject to the provisions of OMB Circular No. A-110. Specific details on the application process are described in the solicitation which is located at: <http://www.epa.gov/ncer/p3>. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals,

and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements. Customarily, applicants are notified of award decisions within six months of the close of the RFA. After being recommended for award, applicants will be required to submit additional certifications and an electronic version of the revised project abstract, and may be requested to provide responses to comments or suggestions offered by the peer reviewers, a revised budget, past performance documentation, and/or make appropriate proposal revisions. EPA Project Officers will contact Principal Investigators to obtain these materials. The official notification of an award will be made by the Agency's Grants and Interagency Agreement Management Division.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 180 days.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

P3 Awards will be fully funded. Project period for Phase I will normally not exceed 1 year. Project period for Phase II will normally not exceed 2 years. See the following for information on how assistance is awarded/released: Assistance is fully funded (lump sum).

Reports:

No program reports are required. No cash reports are required. Phase I Report, Phase II report, and invention reports as appropriate. Phase II awards require progress reports. No expenditure reports are required. Performance Monitoring is required under this program.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

The record retention requirements of 40 CFR Part 30 are applicable. Financial records, including all documents to support entries on accounting records and to substantiate charges to each grant, must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained until three years from the date of submission of the final expenditure report. If questions still remain, such as those raised as a result of audit, related records should be retained until the matter is completely resolved.

Account Identification:

68-0107-0-1-304.

Obligations:

(Project Grants) FY 12 \$900,000; FY 13 est \$500,000; and FY 14 est \$500,000

Range and Average of Financial Assistance:

Phase I Awards range from \$10,000 to \$15,000. Average awards total \$14,800. Phase II Awards range from \$75,000 to \$90,000. Average awards total \$87,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Applications received for the P3 Phase I Award: FY 12: 128. New awards for the P3 Phase I Award: FY 12: 43. Applications received for the P3 Phase II Award: FY 12: 44. New awards for the P3 Phase II Award: FY 12: 16. Abstracts of grant awards, and final summary results of the research will be available on the NCER P3 homepage:

<http://www.epa.gov/ncer/p3/success/index.html>. Fiscal Year 2013: Applications received for the P3 Phase I Award: FY 13: 118. New awards for the P3 Phase I Award: FY 13: Information not yet available. Applications received for the P3 Phase II Award: FY 13: 43. New awards for the P3 Phase II Award: FY 13: Information not yet available. Abstracts of grant awards, and final summary results of the research will be available on the NCER P3 homepage: <http://www.epa.gov/ncer/p3/success/index.html>. Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

General Grant Regulations and Procedures, Environmental Protection Agency (40 CFR Part 30) and Research and Demonstration Grant Regulations, Environmental Protection Agency (40 CFR Part 40).

Regional or Local Office:

See Regional Agency Offices. Applicants are encouraged to communicate with the appropriate EPA Regional Office listed in Appendix IV of the Catalog or the contact listed on the solicitation. The solicitation may be found at: <http://www.epa.gov/ncer/p3>.

Headquarters Office:

Cynthia Nolt-Helms, USEPA Potomac Yards South, 2777 Crystal Drive, Rm# S11781, Arlington, Virginia 22202 Email: nolt-helms.cynthia@epa.gov Phone: 703-347-8102

Website Address:

<http://www.epa.gov/ncer/p3>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

- Fiscal Year 2012: 1. The goal of the project is to develop an advanced technology for diesel engines that would lower nitrogen oxide and soot emissions while using biofuels produced from waste cotton seed oil.
- The goal of the project is to develop a sustainable process that converts agricultural and domestic organic waste into energy (in the form of biodiesel and biomethane) and algal meal for animal/aquaculture feed.
- The goal of the project is the development of an inexpensive, multifunctional adsorbent material, a humic-acid carbon material, that will improve existing sand filtration technology to better remove a wide range of water contaminants, including arsenic, other heavy metals, and organic contaminants.
- The goal of this proposal is to develop and demonstrate the feasibility of a novel microbial fuel cell (MFC) design for simultaneous drinking water treatment and wastewater treatment.
- The goal of the project is to design and test development of the stacked rapid sand filter at the laboratory and field scale to purify drinking water. Fiscal Year 2013: Information for FY 2013 is not yet available. Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement. See the Web page (<http://es.epa.gov/ncer/p3>) for additional information on the evaluative criteria for this RFA.

66.517 REGIONAL APPLIED RESEARCH EFFORTS (RARE)

FEDERAL AGENCY:

Office of Research and Development (ORD), Environmental Protection Agency

AUTHORIZATION:

Toxic Substances Control Act, Section 10, Public Law 94-469, 15 U.S.C 2601; Federal Insecticide, Fungicide, and Rodenticide Act, Section 20&23, Public Law 92-516, 7 U.S.C 136r&136u; Clean Water Act, Section 104, Public Law 95-217, 33 U.S.C 1251; Resource Conservation and Recovery Act of 1976, Public Law 94-580, 42 U.S.C 6981; Marine Protection, Research, and Sanctuaries Act, Section 203; Safe Drinking Water Act, Section 1442, Public Law 93-523; Solid Waste Disposal Act, Section 8001; Clean Air Act, Section 103&104, Public Law 95-95, 42 U.S.C 7401; Comprehensive Environmental Response, Compensation, and Liability Act (CERCLA), Section 311, Public Law 95-510; National Environmental Policy Act of 1969, Section 102(2)(F); Federal Insecticide, Fungicide, and Rodenticide Act, Public Law 94-140, 7 U.S.C 136; Federal Insecticide, Fungicide, and Rodenticide Act, Public Law 95-396, 7 U.S.C 136; Comprehensive Environmental Response, Compensation, and Liability Act (CERCLA), Section 311, Public Law 99-499.

OBJECTIVES:

To (1) support surveys, studies and investigations and special purpose assistance to determine the environmental effects of air quality, drinking water, water quality, hazardous waste, toxic substances, and pesticides; (2) identify, develop, and demonstrate effective pollution control techniques; and (3) fund innovative regional projects that address a stated problem or opportunity relating to sustainability and use science to inform design, planning and decision-making at the local, state and industrial levels.

Funding Priority - Fiscal Year 2013: FY 2013 Priorities include conducting high priority air pollutants research, ecological risk assessment, water quality, watersheds and drinking water research, research to improve human health (including children's) risk assessment, pollution prevention and new technologies/Economic and Social Science research. Support surveys, studies and investigations and special purpose assistance actions to determine the environmental effects of air quality, drinking water, water quality, hazardous waste, toxic substances, and pesticides; and identify, develop, and demonstrate effective pollution control techniques will all be funded under this category.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds awarded via grants/cooperative agreements are available for allowable direct cost expenditures incident to the performance of research plus allocable portions of allowable indirect costs of the institutions, in accordance with established EPA policies and regulations. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

These programs are available to each State, territory and possession, and Tribal nation of the U.S., including the District of Columbia, for public and private State universities and colleges, hospitals, laboratories, State and local government departments, other public or private nonprofit institutions, and in some cases, individuals who have demonstrated unusually high scientific ability.

Beneficiary Eligibility:

Public nonprofit institutions/organizations and private nonprofit institutions/organizations; state and local governments; Federally Recognized Indian Tribal Governments; U.S. territories or possessions; Scientists/Researchers, Hospitals, and Individuals.

Credentials/Documentation:

The Agency may request that applicants demonstrate they have appropriate background, academic training experience in the field, and the necessary equipment to carry out the research. EPA may ask applicants or principal investigators to provide curriculum vitae and relevant publications. EPA may ask principal investigators for information documenting past performance. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. When applicable, an environmental impact assessment is made by the Office of Research and Development as required by the National Environmental Policy Act. The standard application forms as furnished by the EPA and required by OMB Circulars No. A-102 and No. A-110 must be used for this program. An environmental impact assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Funds may be available to support activities including but not limited to experiments, surveys, studies, investigations, public education programs, and monitoring where authorized by specific statutes, in both science and engineering disciplines. Please check the Federal Register or the EPA/ORD website <http://www.epa.gov/ord/html/grantopportunity.htm> for an updated listing of these programs. Completed applications must be submitted to the appropriate laboratory, center or office within ORD specified in the announcement.

Award Procedure:

The official notification of an award will be made by the Agency's Grants and Interagency Agreements Management Division. Customarily, applicants are notified about award decisions within six months of the solicitation deadline. After being recommended for award, applicants will be required to submit additional certifications and may be requested to provide responses to comments or suggestions offered by the peer reviewers, a revised budget, past performance documentation, and/or make appropriate revisions. EPA Project Officers will contact Principal Investigators to obtain these materials. Before or after award, certain applicants will be expected to provide additional quality assurance documentation.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 180 days.

Appeals:

Disputes will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

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Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grants are normally funded on a 12-month basis (annual). Total approved project period typically does not exceed 2 years. See the following for information on how assistance is awarded/released: Assistance is either fully funded (lump sum) or incrementally funded on an annual basis.

Reports:

No program reports are required. No cash reports are required. EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Agreements may require quarterly, interim, and final progress reports, and financial, equipment, and invention reports.

Reporting requirements are also identified in the Grant Regulations, 40 CFR Parts 30 and 31. Progress reports are required under this program. A discussion of expenditures is required in progress reports under this program. Performance monitoring is required under this program.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Financial records, including all documents to support entries on accounting records and to substantiate charges to each grant, must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained until three years from the date of submission of the final expenditure report. If questions still remain, such as those raised as a result of audit, related records should be retained until the matter is completely resolved.

Account Identification:

68-0107-0-1-304.

Obligations:

(Project Grants) FY 12 \$900,000; FY 13 est \$500,000; and FY 14 est \$500,000

Range and Average of Financial Assistance:

New grants/cooperative agreements range from \$20,000 to \$175,000 and average \$60,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Eight grants/cooperative agreements were made in FY 2012. Contact the Regional Science Liaison of the specific region for the results of the research. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

General Grant Regulations and Procedures, Environmental Protection Agency (40 CFR Part 30 and 40 CFR Part 31); Research and Demonstration Grant Regulations, Environmental Protection Agency (40 CFR Part 40). Costs will be determined in accordance with OMB Circular No. A-87 (relocated to 2 CFR Part 225) for State and local governments, OMB Circular No. A-21 (relocated to 2 CFR Part 220) for educational institutions, and OMB Circular No. A-122 (relocated to 2 CFR Part 230) for nonprofit organizations.

Regional or Local Office:

See Regional Agency Offices. Individuals are encouraged to communicate with the appropriate EPA Regional office listed in Appendix IV of the Catalog.

Headquarters Office:

Susan Peterson U.S. Environmental Protection Agency, 1200 Pennsylvania Ave., Washington, District of Columbia 20460 Email: peterson.susan@epa.gov Phone: 202-564-1077 Fax: 202-565-2911

Website Address:

<http://www.epa.gov/osp/regions/rare.htm>

RELATED PROGRAMS:

66.510 Surveys, Studies, Investigations and Special Purpose Grants within the Office of Research and Development

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: 1) This project will assist Region 6 states that have designers, suppliers, and users of rainwater harvesting systems by providing data on the occurrence and persistence of potential pathogenic microorganisms in harvested rainwater and the potential impact to public health.

2) This research will provide a more complete understanding of the environmental and economic performance of biogas-to-energy technologies to

better equip state and local governments, regulators, and potential project developers to identify appropriate and cost-effective biogas management options.

3) This project will develop guidance on the applicability of the rain gardens as a storm water control measure and will provide design criteria to allow prediction of the rain garden performance. Under this project, the infiltration rate of rain gardens will be monitored using electronic sensors installed (buried) during the rain garden construction. The recipient will use techniques that are similar to other monitored locations enabling data sharing across locations.

4) The primary objective of the project is to quantify the ecological impact of invasive tunicates on shallow embayments in southern New England. The secondary objectives of the project are a) use of the carbon flow model to predict the potential change in environmental impacts as related to warming climate change, b) underwater methodology for tunicate assessment that can be used by others, and c) application to other shallow water, coastal ecosystems elsewhere. The results can be used to project how global climate change may alter the impact of invasive tunicates on the existing food webs. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

66.518 STATE SENIOR ENVIRONMENTAL EMPLOYMENT PROGRAM

(SEE)

FEDERAL AGENCY:

Office of Administration and Resources Management, Environmental Protection Agency

AUTHORIZATION:

Environmental Programs Assistance Act of 1984, Public Law 98-313, 42 U.S.C 4368a.

OBJECTIVES:

To use the talents of Americans 55 years of age or older to provide technical assistance to State environmental agencies for projects of pollution prevention, abatement, and control to achieve the Agency's goals of Clean Air; Clean and Safe Water; Land Preservation and Restoration; Healthy Communities and Ecosystems; and Compliance and Environmental Stewardship.

Funding Priority, Fiscal Year 2013: The Environmental Programs Assistance Act of 1984 (Pub. L. 98-313) authorized the Administrator of the EPA "to make grants to, or enter into cooperative agreements with, private, nonprofit organizations designated by the Secretary of Labor under Title V of the Older Americans Act of 1965." These cooperative agreements are to utilize the talents of older Americans in temporary, full time or part time positions "providing technical assistance to Federal, State, and local environmental agencies for projects of pollution prevention, abatement, and control". "Technical assistance" may include any activity performed for the State in support of its projects ranging from inspections of large capacity cesspools, to support for a State Environmental staff office. This allows for the coverage of all types of SEE program positions from professional to clerical.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

The SEE Act states that only nonprofit organizations which are designated by the Secretary of Labor under Title V of the Older Americans Act can participate. These cooperative agreements are to utilize the talents of older Americans in temporary full time or part time positions "providing technical assistance to Federal, State and local environmental agencies for projects of pollution prevention, abatement, and control."

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to

conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

Private, nonprofit organizations designated by the Secretary of Labor under Title V of the Older Americans Act of 1965.

Beneficiary Eligibility:

State environmental agencies and individuals 55 years old or older.

Credentials/Documentation:

The Environmental Programs Assistance Act of 1984 requires a certification by the Federal, State, or local agency that is to receive the SEE workers that the grant/cooperative agreement will not displace any Federal or State workers, prevent the rehiring of any laid-off Federal/State workers, or affect existing service contracts. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Requests for application forms must be submitted to: Environmental Protection Agency, Grants and Interagency Agreements Management Division, (3903R), Washington, DC 20460. Completed applications must be sent to the same address, and a copy of the completed application should also be sent to: Susan Street, Manager, SEE Program, Office of Human Resources, Program Management and Communications Staff (3600M), Washington, DC 20460. This program is subject to the provisions of OMB Circular No. A-110. This program is excluded from coverage under OMB Circular No. A-102. Basic steps of the application process are outlined in the application package.

Award Procedure:

Each application shall be subjected to administrative evaluation to determine adequacy of application in relation to assistance regulations and to program objectives.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Disputes will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Assistance covers a period of one to three years and is awarded in increments during that period. See the following for information on how assistance is awarded/released: Assistance is awarded throughout the life of the Agreement to support ongoing activities.

Reports:

No program reports are required. Recipients must furnish quarterly reports on expenditures by cooperative agreement. Cash reports are required on a quarterly schedule. Progress reports are required on a quarterly schedule. Expenditure reports are required on a quarterly schedule. Performance monitoring is required as a normal part of Project Officer Post Award Monitoring.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000

or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Financial records, including all documents which support entries on accounting records and which substantiate changes to each assistance agreement, must be kept available to personnel authorized to examine EPA assistance accounts. All records must be maintained until expiration of three years from the date of submission of the final financial status report. If questions still remain, such as those raised as a result of an audit, related records should be retained until the matter is completely resolved.

Account Identification:

68-0108-0-1-304.

Obligations:

(Cooperative Agreements) FY 12 \$985,000; FY 13 est \$900,000; and FY 14 est \$900,000

Range and Average of Financial Assistance:

New awards and amendments: \$50,000 to \$340,000/FY2012; Average \$140,714/FY2012; \$1,906 to \$628,107/FY2013; Average \$215,723/FY2013; estimate \$50,000 to \$250,000/FY2014; Average \$185,000/FY2014.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: In Fiscal Year 2012, six cooperative agreements/amendments were awarded. Fiscal Year 2013: As of May 15, 2013, no cooperative agreements/amendments have been awarded. Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Assistance Administration Manual, available from the National Technical Information Service, Springfield, VA 22161 on a subscription basis; 40 CFR Part 30, General Regulation for Assistance Programs; Office of Administration and Resources Management for the Management of the Senior Environmental Employment Program.

Regional or Local Office:

See Regional Agency Offices. Susan Street, Manager SEE Program (3600M), Environmental Protection Agency, Office of Administration and Resources Management, Office of Human Resources, Program Management and Communications Staff, 1200 Pennsylvania Ave, N.W., Washington DC 20460. Telephone: (202) 564-0410 FAX: (202) 564-0735; e-mail: street.susan@epa.gov.

Headquarters Office:

Susan Street, SEE Program (3600M), Environmental Protection Agency, Office of Administration and Resources Management, Office of Human Resources, Program Management and Communications Staff, 1200 Pennsylvania Ave, N.W, Washington, District of Columbia 20460 Email: street.susan@epa.gov Phone: (202) 564-0410.

Website Address:

<http://epa.gov/epahrist/see/brochure> .

RELATED PROGRAMS:

66.508 Senior Environmental Employment Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Focus is on State level programs. Cooperative Agreements utilized the talents of older Americans in temporary, full time or part time positions "providing technical assistance to Federal, State, and local environmental agencies for projects of pollution prevention, abatement, and control". "Technical Assistance" may include any activity performed for the State in support of its projects ranging from inspections of large capacity cesspools, to support for a State Environmental staff office. This allows for the coverage of all types of SEE program positions from professional to clerical. Fiscal Year 2013: Focus is on State level programs. Cooperative Agreements

utilize the talents of older Americans in temporary, full time or part time positions "providing technical assistance to Federal, State, and local environmental agencies for projects of pollution prevention, abatement, and control". "Technical Assistance" may include any activity performed for the State in support of its projects ranging from inspections of large capacity cesspools, to support for a State Environmental staff office. This allows for the coverage of all types of SEE program positions from professional to clerical. Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Proposals must demonstrate that the Organizations have the capability of operating nationwide; can manage the program within the prescribed administrative funding limits; and can provide a quality fringe benefit package to enrollees under the proposal (including health insurance).

66.600 ENVIRONMENTAL PROTECTION CONSOLIDATED GRANTS FOR THE INSULAR AREAS - PROGRAM SUPPORT

(Consolidated Program Support Grants)

FEDERAL AGENCY:

Region 9, Environmental Protection Agency

AUTHORIZATION:

Pollution Prevention Act, Section 6605; Toxic Substances Control Act, Section 28(a),404(g); Safe Drinking Water Act, Section 1443(a)&1443(b); Solid Waste Disposal Act, 42 U.S.C 6908a; Omnibus Territories Act , Public Law 95-134, 48 U.S.C 1469a; Federal Insecticide, Fungicide, and Rodenticide Act, Section 23(a)(1)&(a)(2); Clean Water Act, Section 104(b)(3)&106; National Environmental Policy Act, Section 102(2)(F); Clean Water Act, Section 319(h); Toxic Substances Control Act, Section 306; Clean Water Act, Section 205(g)&205(j)2; Comprehensive Environmental Response, Compensation, and Liability Act , Public Law 96-510; Omnibus Territories Act , Public Law 95-348, 48 U.S.C 1469a; Brownfields Law, Section 128a, Public Law 107-118; Omnibus Territories Act , Public Law 96-205, 48 Stat. 1469a; Resource Conservation and Recovery Act; Omnibus Territories Act , Public Law 99-396, 48 U.S.C 1469a.

OBJECTIVES:

The consolidated program support grant is an alternative assistance delivery mechanism which allows an Insular Territory responsible for continuing pollution control programs to develop an integrated approach to pollution control. An agency eligible for assistance for two or more pollution control programs may consolidate its assistance requests into a single application and receive a single consolidated award in an effort to reduce administrative and application costs. The consolidated grants program does not represent funding in addition to grants provided under individual authorities, but merely represents the amount of consolidation taking place within the Agency's total grant appropriations.

Funding Priority - Fiscal Year 2013: To provide technical, managerial and financial support in relation to our consolidated environmental program and Drinking Water and Clean Water grants for the protection of public health and welfare in the Territories of Guam, American Samoa, and the Virgin Islands, and the Commonwealth of the Northern Mariana Islands (hereinafter referred to as "Insular Areas"). Funding priorities for the fiscal year include: 1) Clean and Safe Water: (a) Safe Drinking Water - To provide on-site technical assistance, training, and funding towards building capacity at water utilities and environmental protection agencies, and addressing funding shortages for drinking water infrastructure improvements. We will work with DOI's Office of Insular Affairs and other federal agencies to explore ways of addressing the growing gap between available federal funding and the capital needs for sound infrastructure (b) Clean Water - To provide on-site technical assistance, training, funding, capacity building to utilities and environmental agencies for construction of wastewater treatment facilities and to assist government agencies in the development of surveillance, monitoring, and enforcement programs for streams, lakes, marine water, marine sediment, and coral reef protection through our comprehensive Watershed Management program that protects human health and the environment. 2) Preserve Land: To provide on-site technical assistance, training, and information exchange with other governments and international agencies to establish a regional recycling program that would reduce waste and the need for landfill area and eliminate

possible incineration, and to continue inspections and establish training to prevent petroleum releases by working with environmental agencies, utilities, and Department of Defense by instituting comprehensive training, inspection, and on scene coordination concerning emergency responses. 3) Healthy Communities and Ecosystems: Reduce chemical and biological risks - To provide on-site technical assistance, training, capacity building, and funding to eliminate the exposure to hazardous and nonhazardous chemicals, toxic waste, and pesticides for the protection of public health and the environment; assist government with the removal and remediation of toxic and pesticide wastes; eliminate the illegal importation and improper use of pesticides; promote sustainable development; and provide an information exchange and partnership for the utilization of international treaties regarding the transport and disposal of hazardous materials and waste. 4) Compliance and Environmental Stewardship: To provide on-site technical assistance, training, capacity building, and funding to continue to establish and develop key partnerships with territorial government agencies and federal agencies for enforcement issues concerning land based pollution, illegal toxic releases, and protection of the marine environment. As a result of EPA's continuing leadership and on-site assistance, the territorial governments look to EPA as a leader for all issues concerning environmental management and protection.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

Grants and cooperative agreements are awarded to Insular Area agencies under the above referenced authorities to provide technical and managerial support in relation to continuing environmental program grants that serve to protect the public health and welfare of the Insular Areas.

Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

The Territories of Guam, American Samoa, and the Virgin Islands, and the Commonwealth of the Northern Mariana Islands are eligible to receive and administer funds for more than one environmental program. For certain competitive funding opportunities under this description, the Agency may limit eligibility to a particular subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

The Territories of Guam, American Samoa, the Virgin Islands, and the Commonwealth of the Northern Mariana Islands.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication communications should be directed to the appropriate EPA Regional office. The standard application forms as furnished by the Federal agency and required by OMB Circular No. A-102 and 40 CFR 31.10 must be used for this program. An applicant should consult the Regional office or official designated as the single point of contact for their Insular Area for more information on the process the Insular Area needs to follow when applying for assistance.

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. U.S. Insular area agencies will be notified to submit grant application packages identifying required forms including a work plan. The application package should be submitted to the appropriate EPA Regional Office. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

U.S. Insular area agencies will be notified of Federal assistance awards through the appropriate EPA Regional Office. For competitive awards, EPA will review and evaluate applications, proposals and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 90 days.

Appeals:

Disputes will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Matching requirements may be waived by the Agency pursuant to the Omnibus Territories Act. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Assistance is usually awarded for a 1-year or longer period. The Automated Standard Application for Payments (ASAP) is the preferred payment method. See the following for information on how assistance is awarded/released: Assistance is awarded as fiscal year program funds become available. Typically 2-3 awards/amendments are made per year.

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Agreements may require quarterly and final performance reports, and financial, equipment, and invention reports. Reporting requirements are also identified in the Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments - 40 CFR Part 31. Additionally, progress reporting by the Insular Area agency and performance monitoring by EPA will be the minimum necessary for sound program management. Reporting requirements may vary with each Insular Area agency depending upon the specific agency's demonstrated management abilities. A financial status report is required within 90 days of the end of the budget period. Program Reports are required. Cash Reports are required. Progress Reports are required. Expenditure Reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that

year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

All records including documents to support entries on accounting records and to substantiate charges to each grant must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained for three years from the date of submission of the annual financial status report. If any litigation, claim or audit is started before the end of the 3-year period, the records must be retained until the litigations, claims or audit findings have been resolved.

Account Identification:

20-8153-0-7-304; 68-0108-0-1-304; 20-8145-0-7-304; 68-0103-0-1-304.

Obligations:

(Cooperative Agreements) FY 12 \$32,900,000; FY 13 est \$29,300,000; and FY 14 est \$26,000,000 - Grants obligated for American Samoa, Commonwealth of the Northern Mariana Islands, and Guam.

Range and Average of Financial Assistance:

In general, financial assistance has increased annually depending on program funding that is consolidated into the grant. Financial assistance has generally ranged from \$2,000,000 to \$3,300,000/territory/fiscal year for environmental program assistance. The total estimated average is \$8,000,000/fiscal year.

For FY11 and beyond, territorial Drinking Water and Clean Water SRF grant funds are being consolidated into grants for the territories of Guam, CNMI and American Samoa. For FY11 and 13, SRF financial assistance for the territories of Guam, CNMI and American Samoa has ranged from \$7M - \$9M annually per territory.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Island environmental agencies have made significant progress toward water, air, RCRA, superfund, and pesticides programs through the consolidated grants. Island utilities have made significant improvements to their water and wastewater systems through the renovation and replacement of infrastructure and construction of new facilities. The consolidated grants have allowed island governments to focus on environmental results and infrastructure needs without being overly burdened by administering multiple smaller grants and focusing resources where needed. Thirteen (13) awards were made in FY12. Fiscal Year 2013: FY13 funding has not been currently awarded. Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

40 CFR Part 31, Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments; 40 CFR Part 35, Subpart A, Environmental Program Grants; EPA annual Program Guidance.

Regional or Local Office:

See Regional Agency Offices. EPA Region 2 Contact: Victor M. Trinidad, Chief, Environmental Management Branch, EPA Region 2, Caribbean Environmental Protection Division, Centro Europa Building, Suite 417, 1492 Ponce de Leon Avenue, San Juan, Puerto Rico 00907-4127; Phone: (787) 977-5870; FAX: (787) 729-7747; EPA Region 9 Contacts: Guam: Alba Espitia, Grants Management Specialist, Grants Management Office (PMD-7), U.S. EPA Region 9, 75 Hawthorne St., San Francisco, CA 94105; Ph: 415-972-3667; Fax: 415-947-3556; E-mail: espitia.alba@epa.gov; CNMI and American Samoa: Renee Chan, Grants Management Specialist, Grants Management Office (PMD-7), U.S. EPA Region 9, 75 Hawthorne St., San Francisco, CA 94105; Ph: 415-972-3675; Fax: 415-947-3556; E-mail: chan.renee@epa.gov.

Headquarters Office:

Alba Espitia, Grants Management Office (PMD-7), EPA Region 9, 75 Hawthorne St., San Francisco, California 94105 Email: espitia.alba@epa.gov Phone: (415) 972-3667.

Website Address:

<http://www2.epa.gov/aboutepa/epa-region-9-pacific-southwest>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Projects will continue to be funded which combine as few as two to several eligible programs. Environmental program grants are awarded annually to Insular Area agencies which consolidate a number of different program funds. In FY12, projects will continue to be funded for the territorial utility agencies for construction of drinking water and clean water infrastructure projects. Fiscal Year 2013: FY13 funding has not been currently awarded. Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Applications may be approved if: 1) funds are available; 2) if the application satisfies the requirements of 40 CFR Parts 31 and 35 and applicable statutes; and 3) if the proposed work program is feasible and compatible with the program priorities in EPA annual guidance. The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement.

66.604 ENVIRONMENTAL JUSTICE SMALL GRANT PROGRAM

(EJSG)

FEDERAL AGENCY:

Office of Enforcement and Compliance Assurance, Environmental Protection Agency

AUTHORIZATION:

Federal Insecticide, Fungicide, and Rodenticide Act, Section 20(a); Safe Drinking Water Act, Section 1442(c)(3); Toxic Substances Control Act, Section 10(a); Marine Protection, Research, and Sanctuaries Act, Section 203; Solid Waste Disposal Act, Section 8001(a); Clean Water Act, Section 104(b)(3); Clean Air Act, Section 103(b)(3); Comprehensive Environmental Response, Compensation and Liability Act, Section 311(c).

OBJECTIVES:

The primary purpose of proposed projects should be to develop a comprehensive understanding of environmental and public health issues, identify ways to address these issues at the local level, and educate and empower the community. The long-term goals of the program are to help build the capacity of the affected community and create self-sustaining, community-based partnerships that will continue to improve local environments in the future.

Funding Priority - Fiscal Year 2013: An estimated \$1,200,000 will be used to support non-profit organizations with activities that address environmental justice concerns, including but not limited to: increase awareness of and lessen impacts from stormwater; actively address harmful air particles that affect the health and well being of residence; build capacity of community leaders, adults and youth through health data collection activities and watershed education; promote the connection of health issues to environmental quality through comprehensive outreach and education; reduce pesticide exposure and improve health of farm-workers by training health care providers about pesticide exposure; monitoring farm-workers' working conditions; and encourage healthy, environmentally friendly alternatives to industrially produced agriculture.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grants and cooperative agreements are available to support recipients' allowable direct costs incident to approved surveys, studies, and investigations plus allowable direct costs in accordance with established EPA policies and procedures. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>. The recipient cannot use these funds for the following activities under this program: litigation against the Federal government or any other government entity; construction; lobbying; matching funds for other Federal grants; travel for Federal employees; and human health studies (taking blood or other information from humans).

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy. An eligible applicant **MUST BE**: an incorporated non-profit organization; OR a Native American tribal government (Federally recognized) (AND) located within the same state(s), territory, commonwealth, or tribe that the proposed project will be located. In addition, an eligible applicant must be able to demonstrate that it has worked directly with the affected community. An "affected community" for the purposes of this assistance agreement program is a community that is disproportionately impacted by environmental harms and risks and has a local environmental and public health issue that is identified in the proposal.

The following entities are **INELIGIBLE** to receive an award, but we encourage partnerships with these organizations for technical assistance: colleges and universities; hospitals; state governments and their entities; quasi-governmental entities (e.g., water districts, utilities)*; national organizations and chapters of the aforementioned organizations; multi-state organizations; non-profit organizations that engage in lobbying activities as defined in Section 3 of the Lobbying Disclosure Act of 1995; and organizations acting only as "fiscal agents."

*Generally, a quasi-governmental entity is one that: (1) has a close association with the government agency, but is not considered a part of the government agency; (2) was created by the government agency, but is exempt from certain legal and administrative requirements imposed on government agencies; or (3) was not created by the government agency but performs a public purpose and is significantly supported financially by the government agency.

Beneficiary Eligibility:

Non-Profit organizations as described in 081 above.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-122 for non-profit organizations and OMB Circular A-102 for local governments. Applicants may be requested to demonstrate they have appropriate background, academic training, experience in the field, and necessary equipment to carry out projects. EPA may ask applicants or principle investigators to provide curriculum vitae and relevant publications. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. The standard application forms as furnished by the Federal agency and required by OMB Circular No. A-102 and A-110 must be used for this program. EPA requires final applications to be made on Standard Form 424. Application kits should be requested from and submitted to the U.S.

Environmental Protection Agency, Office of Environmental Justice, Mail Code 2201A, 1200 Pennsylvania Avenue, N.W., Washington, DC 20460 or the appropriate EPA Regional Office identified under Information Contacts. Detailed information and assistance including an application may also be found at <http://www.epa.gov/compliance/environmentaljustice/grants/index.html>. For grant awards, Requests for Applications will specify application procedures. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

About 150 days.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The project grant will be awarded for a 1 year project period. Activities must be completed within the timeframe of the project's budget period and all grants will be fully funded at the time of award. See the following for information on how assistance is awarded/released: Grants are fully funded at award and recipients request funds on an as needed basis.

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Agreements may require interim and final progress reports and financial reports. Reporting requirements are also identified in the Grant Regulations Part 30 and Part 31. Program reports are required. No cash reports are required. Progress reports are required. No expenditure reports are required. Performance monitoring is required under this program.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Financial records, including all documents to support entries on accounting records and to substantiate changes to each assistance agreement must be kept available to personnel authorized to examine EPA assistance accounts. All records must be maintained until the expiration of three years from the date of submission of the Financial Status Report. If questions still remain, such as those raised as the result of an audit, related records should be retained until the

matter is completely resolved.

Account Identification:

68-0108-0-1-304.

Obligations:

(Project Grants) FY 12 \$1,250,000; FY 13 est \$1,200,000; and FY 14 est \$400,000

Range and Average of Financial Assistance:

\$20,000 to \$50,000/fiscal year; average \$30,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: In FY 2012, 221 applications were received and 50 awards were made. Fiscal Year 2013: In FY 2013, 212 applications were received and we anticipate 39 awards will be made. Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

40 CFR Part 30.

Regional or Local Office:

See Regional Agency Offices. Region 1 Connecticut, Maine, Massachusetts, New Hampshire, Rhode Island, Vermont: Primary Contact: Amy Braz (617) 918-1346, USEPA Region 1 (RAA), One Congress Street - Suite 1100, Boston, MA 02203-0001; Region 2 New Jersey, New York, Puerto Rico, U.S. Virgin Islands: Primary Contact: Terry Wesley (212) 637-5027, wesley.terry@epa.gov, USEPA Region 2, 290 Broadway, 26th Floor, New York, NY 10007; Secondary: Tasha Frazier (212) 637-3861, frazier.tasha@epa.gov. Region 3 Delaware, District of Columbia, Maryland, Pennsylvania, Virginia, West Virginia: Primary Contact: Reginald Harris (215) 814-2988, harris.reggie@epa.gov, USEPA Region 3 (3DA00), 1650 Arch Street, Philadelphia, PA 19103-2029. Region 4 Alabama, Florida, Georgia, Kentucky, Mississippi, North Carolina, South Carolina, Tennessee: Primary Contact: Elvie Barlow (404)562-9650, barlow.elvie@epa.gov, USEPA Region 4 Main Office (404) 562-8407, 61 Forsyth Street, Atlanta, GA 30303-8960; Secondary: Ella McLendon (404) 562- 8316, mclendon.ella@epa.gov. Region 5 Illinois, Indiana, Michigan, Minnesota, Ohio, Wisconsin: Primary Contact: Margaret Millard (312) 353-1440, millard.margaret@epa.gov, USEPA Region 5 (DM7J), 77 West Jackson Boulevard, Chicago, IL 60604-3507. Region 6 Arkansas, Louisiana, New Mexico, Oklahoma, Texas: Primary Contact: Shirley Quinones (214) 665-2713, quinones.shirley@epa.gov, USEPA Region 6, Fountain Place, 13th Floor, 1445 Ross Avenue (RA-D), Dallas, Texas 75202-2733; Secondary Contact: Nelda Perez (214) 665-2209, perez.nelda@epa.gov. Region 7 Iowa, Kansas, Missouri, Nebraska: Primary Contact: Althea Moses (913) 551-7649, moses.althea@epa.gov, USEPA Region 7, 901 North 5th Street (RGAD/ECO), Kansas City, KS 66101; Secondary: Kimberly Jones (303)551-7280; Region 8 Colorado, Montana, North Dakota, South Dakota, Utah, Wyoming: Primary Contact: Nancy Reish (303) 312-6040, reish.nancy@epa.gov, USEPA Region 8 (8ENF-EJ), 999 18th Street, Suite 300, Denver, CO 80202-2466; Secondary: Jean Belille (303) 312-6556 belille.jean@epa.gov. Region 9 Arizona, California, Hawaii, Nevada, American Samoa, Guam: Primary Contact: Karen Henry (415) 972-3844, henry.karen@epa.gov, USEPA Region 9 CMD-1, 75 Hawthorne Street, San Francisco, CA 94105. Region 10 Alaska, Idaho, Oregon, Washington: Primary Contact: Running Grass (206) 553-2899, grass.running@epa.gov, USEPA Region 10 (OMP-143), 1200 Sixth Avenue, Seattle, WA 98101.

Headquarters Office:

Sheila Lewis, Office of Environmental Justice, (2201A), Environmental Protection Agency, 1200 Pennsylvania Ave., NW., Washington, District of Columbia 20460 Email: lewis.sheila@epa.gov Phone: (202) 564-0152.

Website Address:

<http://www.epa.gov/compliance/environmentaljustice/grants/ej-smgrants.html>

RELATED PROGRAMS:

66.306 Environmental Justice Collaborative Problem-Solving Cooperative Agreement Program; 66.309 Surveys, Studies, Investigations and Special Purpose Activities Relating to Environmental Justice

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: An example of different types of project funded through this

program include: a project to reduce lead exposure and provide community education about the local risks and sources of lead exposure, especially for children by including outreach of home repair programs to connect residents with lead abatement services, soil testing for all vacant lots in the community, identifying lead hot spots and assisting community groups in planning gardens and other vacant land reuse projects, and hiring young adults to gain job skills while providing services to their community; provide hands-on water quality testing and investigations, water quality monitoring activities, and community outreach leading to traditional applications for caring for and protecting tribal waters; Engage tribal youth to be a steward of clean water practices; work with 7 rural communities to find solutions to address the pollution impacts from Concentrated Animal Feeding Operations (CAFOs) through active and meaningful involvement in environmental permitting, compliance, enforcement, land use, zoning, and open government processes applicable to CAFOs; Plan and implement two Fracking 101 trainings and two citizen town hall meetings; the trainings will include four key topic areas: the health implications of fracking, testing your drinking water supply, legislation and agency rules governing fracking in the state and farm and landowner issues. Fiscal Year 2013: An example of different types of project funded through this program include: efforts to educate community residents on how to assess air quality, understand associated health concerns, conduct air monitoring/assessment and implement strategies to reduce exposure and health risks. SCAMP will also partner with area schools to educate youth on strategies to address air quality issues and prepare them to become community leaders; working with residents to test the soil in their backyard for contaminants such as lead and map these soil tests along with existing data made available as part of the city's home de-leading program and identifying contaminated hot-spots to be used for future city planning and working with partners in the community to replace the contaminated soil; area youth will learn practices that promote the elimination of water pollution and sustainable environmentally-friendly behaviors that will lead to the reduction of waste and contaminants in the estuarine ecosystems that are part of the Cantera Peninsula; examining the potential threats and vulnerabilities to the septic systems and drinking water supplies and examining strategies for responding to climate change with a focus on Sea Level Rise (SLR) and extreme storm events in economically depressed communities. Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement.

66.605 PERFORMANCE PARTNERSHIP GRANTS

(PPGs)

FEDERAL AGENCY:

Office of the Administrator, Environmental Protection Agency

AUTHORIZATION:

Departments of Veterans Affairs, Housing and Urban Development, and Independent Agencies Appropriations Act of 1998, Public Law 105-65; Omnibus Consolidated Rescissions and Appropriations Act of 1996, Public Law 104-134.

OBJECTIVES:

Improving EPA's partnership with the States and Tribes is critical to accelerating environmental outcomes. Performance Partnership Grants (PPGs) are the cornerstone of the National Environmental Performance Partnership System (NEPPS) -- EPA's strategy to strengthen partnerships and build a results-based management system. PPGs are innovative grant delivery tools that allow States and Tribes to combine up to 18 eligible State and Tribal Assistance Grants (STAG) grants into a single grant with a single budget. PPGs can reduce administrative transaction costs, provide the flexibility to direct resources toward the highest priority environmental problems, and support cross-media approaches and initiatives. EPA's overarching goal is to optimize the leveraging power of PPGs to focus strategically on the joint priorities of EPA, States and Tribes. PPGs do not include any funding in addition to the state and tribal assistance grants provided under other statutory authorities.

Funding Priority - Fiscal Year 2013: Many state environmental agencies are experiencing severe budget cuts and will be challenged to maintain core programs. In this climate, EPA's regional offices will give priority to flexibility

and opportunities to work collaboratively with States and Tribes. The Agency will continue to focus on our ongoing goals for the PPG program, which are: (1) strengthen partnerships between EPA, the States, Tribes, and interstate agencies through joint planning and priority setting to deploy resources more effectively; (2) provide States, Tribes, and interstate agencies with the flexibility to direct resources where they are needed most to address environmental and public health priorities; (3) link program activities more effectively with environmental and public health goals and environmental outcomes; (4) foster implementation of innovative approaches such as pollution prevention, ecosystem management, and community-based environmental protection strategies; and (5) provide savings by streamlining administrative requirements.

TYPES OF ASSISTANCE:

FORMULA GRANTS; PROJECT GRANTS

USES AND USE RESTRICTIONS:

PPGs are a type of modified block grant, where recipients may combine funds from categorical grants to accomplish their joint and several purposes, so long as recipients meet program requirements for each categorical grant combined into the PPG. The 18 categorical grants eligible for PPGs are a mixture of continuing program grants and competitive project grants. Eligible recipients can combine two or more of the following 18 categorical grants programs identified in EPA's State and Tribal Assistance Grants (STAG) appropriation into a PPG: (1) Air Pollution Control (Clean Air Act [CAA] Section 105); (2) Water Pollution Control (Clean Water Act [CWA] Section 106); (3) Nonpoint Source Management (CWA Section 319); (4) Wetlands Program Development (CWA Section 104(b)(3)); (5) Public Water System Supervision (Safe Drinking Water Act [SDWA] Sections 1443(a) and 1451(a)(3)); (6) Underground Water Source Protection (SDWA Section 1443(b)); (7) Hazardous Waste Management (Solid Waste Disposal Act, Section 3011(a)); (8) Underground Storage Tank (Solid Waste Disposal Act, Section 2007(f)(2)); (9) Radon Assessment and Mitigation (Toxic Substances Control Act [TSCA] Section 306); (10) Lead-based Paint Activities (TSCA Section 404(g)); (11) Toxic Substances Compliance Monitoring (TSCA Section 28); (12) Pollution Prevention Incentives for States (Pollution Prevention Act [PPA] Section 6605); (13) Pesticide Cooperative Enforcement (Federal Insecticide, Fungicide, and Rodenticide Act [FIFRA] Section 23(a)(1)); (14) Pesticides Program Implementation (FIFRA Section 23(a)(1)); (15) Pesticide Applicator Certification and Training (FIFRA Section 23 (a)(2)); (16) Environmental Information Network Exchange Grants (Consolidated Appropriations Resolution for the Departments of Veterans Affairs, Housing and Urban Development, and Independent Agencies of 2003, Public Law 108-7; Consolidated Appropriations Act of 2004, Public Law 108-199); (17) Brownfields State and Tribal Response Program Grants (Comprehensive Environmental Response, Compensation, and Liability Act of 1980 [CERCLA], Section 128(a), as amended; Public Law 107-118; Small Business Liability Relief and Brownfields Revitalization Act); and (18) General Assistance Grants to Indian Tribes (Indian Environmental General Assistance Program Act of 1992; only eligible Tribes can propose to include these funds in a PPG application). Recipients may use PPGs to fund activities that are within the cumulative eligibilities of the 18 categorical grants programs listed above for which they are eligible.

Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

All States, interstate agencies, U.S. territories, the District of Columbia, and federally recognized Indian Tribes eligible to receive more than one of the 18

categorical grant programs referred to in "Uses and Use Restrictions" above are eligible to apply for PPGs. Any duly authorized State or tribal entity that currently receives or is eligible to receive EPA categorical program grants may request a PPG for the funds it administers. This may include agencies other than environmental agencies (for example, agricultural and health agencies), where authorized by state or tribal law. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

States, U.S. territories, federally recognized Indian tribal governments, and interstate agencies.

Credentials/Documentation:

All recipients are agencies of state, local, tribal, or territorial governments. All documentation requirements for recipients are presented at 40 CFR Part 31, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments," and 40 CFR Part 35, "State and Local Assistance." OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Applicants interested in pursuing PPGs should coordinate with their appropriate EPA regional office to develop a PPG through joint negotiations (See Appendix IV of the Catalog). Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Requests for applications should be made to the appropriate EPA regional grants management office. Applicants will negotiate the content of their PPG with their EPA regional office and submit an application for the PPG based on these negotiations. Applicants for PPGs must submit an "Application for Federal Assistance: State and Local Non-Construction Programs," (Standard Form 424), including the required supporting documents. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

Grant applications are reviewed by the appropriate EPA regional office, and if approved, awarded by the Regional Administrator. For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements. After the applicant is chosen for the competitive award, the applicant will work with the EPA regional office to add those competitive grant funds to the PPG if requested by the state. The workplan and supporting documentation that were the basis of the competitive award also will be added to the PPG.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 60 days.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts."

Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Major portions of the PPGs are by statutory formula. The allocation for a PPG consists of the cumulative allocations for the categorical grants included in the PPG. Recipients should calculate a single, composite minimum cost share (based on the match or maintenance of effort requirements of the categorical grants included in the PPG) for each of their PPGs. To calculate the minimum cost share for a PPG, start with the amount of federal dollars from each program (source of funds) going into the PPG. The minimum required cost share for each portion is determined by identifying the cost share requirements of the relevant categorical grant program. The minimum recipient cost share for the PPG is the sum of the minimum cost shares of the contributed categorical grants. Tribal governments and intertribal consortia may be eligible for match requirement waivers based on demonstrated hardship. Such waiver eligibility will be determined by the Regional Administrator and will be based on socio-economic criteria. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The terms of the PPG shall be determined during pre-application negotiations and at the time of the award. Applicants may choose to submit either annual or multi-year work plans and project periods. Budget periods will be for 12 months with the applicant having the flexibility to select, in consultation with the Regional Administrator, the specific start and end date for the budget period. Grant awards are made after review and approval of the application. Grantees are reimbursed for actual expenses based on submissions in financial status reports. See the following for information on how assistance is awarded/released: Annually.

Reports:

Applicants must provide reports and conduct joint evaluations in accordance with 40 CFR Part 31 and 40 CFR Part 35, Subparts A and B. Refer to the program descriptions for the individual STAG program grants eligible for inclusion in PPGs (see section [70] for a list). Cash reports may be required. Refer to the program descriptions for the individual STAG program grants eligible for inclusion in PPGs. Progress reports may be required. Refer to the program descriptions for the individual STAG program grants eligible for inclusion in PPGs. Expenditure reports may be required. Refer to the program descriptions for the individual STAG program grants eligible for inclusion in PPGs. Performance monitoring may be required. Refer to the program descriptions for the individual STAG program grants eligible for inclusion in PPGs.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Financial records, including all documents to support entries on accounting records and to substantiate charges to each grant, must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained for three years from the date of submission of the annual financial status report. If questions remain, such as those raised as a result of audit, related records should be retained until the matter is completely resolved.

Account Identification:

68-0103-0-1-304.

Obligations:

(Formula Grants) FY 12 \$417,000,000; FY 13 est \$392,000,000; and FY 14 est \$404,000,000 - NOTE: See General Objectives, section [50], for a description of PPGs. The amounts cited above are inclusive of, not in addition to, obligations reported under individual STAG program grants eligible for inclusion in PPGs (see section [70] for a list).

Range and Average of Financial Assistance:

There is no low-end limit for PPG awards, which may be as small as combining two programs and thousands of dollars to Tribes, or as large as combining up to 17 categorical grants to States. PPG totals for larger States can exceed \$10 million. In FY 2012, state PPGs generally contained six or seven categorical grants, with award amounts averaging around \$5 million.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: PPGs have allowed States to take advantage of administrative and program efficiencies and innovations to achieve greater environmental and program results. States and Tribes have used PPGs to address critical emergencies and unplanned events (for example, responses to recent tornadoes and Mississippi River flooding), and have used PPG flexibility to overcome periodic budget needs or high program demands in specific programs. States and Tribes have used PPGs to implement multi-program initiatives and innovations that impact many environmental programs. They also have taken advantage of streamlined administrative procedures to make grant processes more efficient. PPGs have allowed Tribes to develop and implement environmental programs in situations where it would have been more difficult using categorical grants. Nearly all PPG applicants receive a grant, since they generally fund ongoing environmental programs. The total number of PPGs in effect thus far in FY 2012 is 173, including 42 to state environmental agencies; 26 to state agricultural agencies; and 105 to tribal agencies.

Also refer to the descriptions of accomplishments for the individual STAG program grants eligible for inclusion in PPGs (see section [70] for a list). Fiscal Year 2013: PPGs have allowed States to take advantage of administrative and program efficiencies and innovations to achieve greater environmental and program results. States and Tribes have used PPGs to address critical emergencies and unplanned events (for example, Hurricane Sandy response), and have used PPG flexibility to overcome periodic budget needs or high program demands in specific programs. States and Tribes have used PPGs to implement multi-program initiatives and innovations that impact many environmental programs. They also have taken advantage of streamlined administrative procedures to make grant processes more efficient. PPGs have allowed Tribes to develop and implement environmental programs in situations where it would have been more difficult using categorical grants. Nearly all PPG applicants receive a grant, since they generally fund ongoing environmental programs. The total number of PPGs in effect thus far in FY 2013 is 195, including 43 to state environmental agencies; 29 to state agricultural agencies; and 123 to tribal agencies.

Also refer to the descriptions of accomplishments for the individual STAG program grants eligible for inclusion in PPGs (see section [70] for a list). Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

40 CFR Part 35 Subparts A and B; 40 CFR Part 31, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments."

Regional or Local Office:

See Regional Agency Offices. See Appendix IV of the Catalog for EPA Regional Office contacts.

Headquarters Office:

Michael Osinski, USEPA Headquarters, Ariel Rios Building, 1200 Pennsylvania Avenue, N. W. Mail Code: 1306A, Washington, District of Columbia 20460 Email: osinski.michael@epa.gov Phone: (202) 564-3792.

Website Address:

<http://www.epa.gov/ocir/nepps/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: 1) Grantee uses funding from multiple grants to develop integrated data reporting infrastructure; (2) Grant taps funding from media programs combined in the PPG to fund pollution prevention activities; (3) Grantee negotiates with the regional office to use unexpended prior year funds for special projects to meet state priorities. Fiscal Year 2013: 1) Grantee uses funding from multiple grants to develop integrated data reporting infrastructure; (2) Grant taps funding from media programs combined in the PPG to fund pollution prevention activities; (3) Grantee negotiates with the regional office to use unexpended prior year funds for special projects to meet state priorities. Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Applicants must meet selection criteria for the categorical grants to be combined in the PPG. The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement.

66.608 ENVIRONMENTAL INFORMATION EXCHANGE NETWORK GRANT PROGRAM AND RELATED ASSISTANCE

Exchange Network Grant Program

FEDERAL AGENCY:

Office of Environmental Information, Environmental Protection Agency

AUTHORIZATION:

National Environmental Policy Act, Section 102(2)(F); Federal Insecticide, Fungicide, and Rodenticide Act, Section 20; Solid Waste Disposal Act, Section 8001; Safe Drinking Water Act, Section 1442; Clean Water Act, Section 104(b)(3); Clean Air Act, Section 103; Comprehensive Environmental Response, Compensation, and Liability Act, Section 104; Marine Protection, Research and Sanctuaries Act, Section 203; Toxic Substances Control Act, Section 10.

OBJECTIVES:

EPA, states, territories, and tribes are working together to develop the National Environmental Information Exchange Network, a secure, Internet- and standards-based way to support electronic data reporting, sharing, and integration of both regulatory and non-regulatory environmental data. States, tribes and territories exchanging data with each other or with EPA should make the Exchange Network (EN) and the Agency's connection to it, the Central Data Exchange (CDX), the standard way they exchange data and should phase out any legacy methods they have been using. More information on the Exchange Network is available at www.exchangenetwork.net. The EN Grant Program provides funding to states, territories, tribes, and inter-tribal consortia to develop the information technology and information management capabilities they need to actively participate in the Exchange Network. This program supports the exchange of data; mentoring, planning and training activities; and collaborative work within the Exchange Network. This grant program may also be used to fund the standardization, exchange and integration of geospatial information to address environmental, natural resource, and human-health challenges.

Funding Priority - Fiscal Year 2013: STAG (competitive): Projects supported by the FY 2013 EN Grant Program, which are funded through EPA's STAG account, must address at least one priority activity from one of the following tiers: Tier 1-activities that lead to the creation of services that make subsets of data available as XML or JSON. This should include the REpresentational State Transfer (REST)-based services that conform to the EN REST Standard (completed at the end of 2012) or EN SOAP services. Specific areas of focus for publishing proposals include: 1. Supporting mobile and desktop applications and publishing/accessing environmental information sources; 2. Integrating with web applications; 3. Publishing data to publicly accessible web pages; and 4. Developing a high-level Dashboard for program managers and Agency executives. Phase 2 Flows. New flows that advance Phase 2 Network priorities. Possible flows include: 1. Air Facility System (AFS); 2. Safe Drinking Water Information System (SDWIS) Compliance data; 3. Electronic Notice of Intent (eNOI) flow to Integrated Compliance Information System - National Pollutant Discharge Elimination System (ICIS-NPDES); Use of the EN for Virtual Sharing (especially cross-state, cross-tribal, or state-tribal) of data, including institutional controls at contamination sites, data on cleanup sites, data sets of

national significance to tribes (e.g., open dumps), UST data, and data that support environmental management of multi-state or regional airsheds, watersheds, and water bodies of priority concern (e.g., Great Lakes, Chesapeake Bay). Virtual Node Implementation Support for States, Tribes, and Territories: 1. Support transitioning from nodes to virtual nodes; 2. Create data publishing services and new data flows on virtual nodes; 3. Support virtual node related security analyses and plans; Shared CROMERR Services and Components; Design systems to utilize new EPA web services that provide CROMERR compliant functionality (e.g., electronic signature), minimizing redundant development by partners and streamlining Technical Review Committee (TRC) application reviews/approvals. Examples of specific potential projects related to these broad topics include the following: 1. Collaborative Opportunity: A partnership proposal that includes joint state and/or tribal efforts to develop an application that uses EN web services (e.g., solicit services) to enable a user to query databases for information related to remediation sites (e.g., underground storage tanks, hazardous waste sites) such as institutional controls, displaying and accessing site data through a spatial view, presenting data in tabular format, and enabling users to download data in a variety of formats. To power this application, the partners would need to define and/or implement schemas and EN web services to make the data available to the application; Collaborative Opportunity: A partnership proposal that builds an eReporting system integrating one or more EPA web services that provide CROMERR compliant functionality (e.g., electronic signature). In order for this proposal to be eligible for funding, applicants must commit to flowing the reported data to EPA using the EN, thus providing a complete end-to-end electronic reporting solution for environmental data; Geo-enabled Field Data Collection Tool: Development and sharing of source code for a field data collection tool for emergency response, inspection, compliance verification, or other activities. The tool will use GIS visualization and integrated GPS, allow updates to be made in the field, and then flow data back to back-end systems via the EN. This project will utilize key strengths of the EN program by enabling the flow of secure data, in near real-time, to multiple locations. The project could include developing EN standards and services which could plug into other tools such as Scribe for emergency response or other existing applications used in field deployment. The grantee can utilize EPA's FRS web service, that will provide sub-facility data and their locations from multiple media programs, as well as submitting to other systems as appropriate. In addition, EPA will enable the data service to filter data by specific source(s), such as real-time emergency response data, or a subset of data from a specific state. The grantee can also collaborate with EPA to develop additional FRS web services as necessary. To ensure close collaboration between EPA and state, tribal, and territorial partners, any assistance agreements to develop this tool will be awarded as cooperative agreements. Tier 2 Phase 1-10 Priority Flows: Additional funding for completing 10 priority flows: 1. implementation by an EN partner (or partners) of one of the 10 flows that they currently have not completed; 2. Addition of entirely new modules to existing data flows. For example, adding an entire class of UIC reporting, adding a new RCRAInfo module, or adding a new data family under ICIS-NPDES. Operations and maintenance of flows is not an eligible activity for funding; Development and deployment of CROMERR upgrades to an existing electronic reporting system, described in a CROMERR application previously approved by EPA, to bring it into compliance with the regulatory standards. Collaborative Opportunity: Partnership to develop multi-program CROMERR application for states that currently do not have an enterprise-wide approach; application should seek to leverage solutions implemented by other states already approved by EPA. Collaborative Tribal Opportunity: Tribal governments and inter-tribal consortia are eligible to apply for EN grants to build tribal capacity that will result in enhancing environmental programs on tribal lands and the ability to share environmental data electronically with EN partners. Collaborative tribal capacity building proposals must include multiple tribes and identify tribes with existing capacity that can serve as mentors. Collaborative tribal capacity proposals must identify specific outputs and outcomes that result in the increased ability to share environmental information/data electronically with EPA and/or other EN partners (e.g., developing a back-end database for a priority data system). Tier 3 -- Phase 1-10 Priority Flows: Funds for partners already flowing one or more of the 10 priority flows, that will upgrade one or more of these data flows by: 1. Adding historical data; 2. Adding new data elements; 3. Changing processes; 4. Modifying XML schema; 5. Adding new parameters; and 6. Creating

geo-enabled data. Development and deployment of other innovative data exchanges (e.g., emergency response, open dump, surface coal mining data integration and reporting, support for sustainable development through sharing data related to transit, zoning/master plan, building permits and LEED buildings and infrastructure initiatives such as water quality laboratory data reporting) that are applicable to multiple partners. Updating large national data sets: Schema development effort that will enable states to flow data through the EN to update large national datasets that fall under Appendix E of OMB Circular A-16: "Coordination of Geographic Information and Related Spatial Data Activities." These datasets can include: the National Hydrography Dataset (NHD), National Watershed Boundary dataset, National Wetland Inventory Dataset, National Elevation Dataset, Geographic Names Dataset, and other datasets.

STAG (associated program support): EN governance, support for shared services, technical assistance to EN partners, hosting EN national meetings.

EPM (competitive): expanding tribal participation in the EN outreach to federally recognized tribes through hosting the national tribal users meeting: design and maintenance of a website and publication of a regular newsletter: support tribal participation on EN governance groups: promote collaboration, mentoring and information sharing among tribal stakeholders: through development of a mentoring program.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funding is available for direct costs associated with carrying out grant-supported projects and for allocations of allowable indirect costs, in accordance with established EPA policies. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

States (includes District of Columbia) (STAG funded);

Federally Recognized Indian Tribal Governments and intertribal consortia of federally recognized tribes (STAG funded);

U.S. Territories and possessions (STAG funded);

Organizations that are broadly representative of federally recognized Indian tribes - (EPM funded).

Eligible applicants for the EN Grant Program include states, the District of Columbia, U.S. territories (American Samoa, Guam, the Commonwealth of the Northern Mariana Islands, Puerto Rico, and the U.S. Virgin Islands), federally recognized Indian tribes, and intertribal consortia of federally recognized tribes. An intertribal consortium is eligible to apply for assistance from the EN Grant Program by meeting the following criteria: a) the majority of the consortium members are federally recognized Indian tribes; b) all consortium federally recognized tribal members have authorized the consortium to apply for and receive assistance (if awarded) from the EN Grant Program; and c) the intertribal consortium has adequate accounting controls to manage awarded funds while ensuring that only federally recognized tribal members will benefit directly from the award. Regional air pollution control districts may apply for assistance if they are legally considered to be agencies or instrumentalities of the state under applicable state laws. Recipients of five or more active EN Grants are not eligible to apply for FY 2013 grants. An active grant is any grant

where the final technical report has not yet been approved by EPA. The following entities are not eligible to apply for funding from the competitive EN Grant Program funded through the STAG appropriation: state/territorial/tribal universities; city, town, county, or regional governments; nonprofit organizations, including organizations that represent the interests of co-regulators/co-implementers in executing environmental programs. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy. In addition to issuing competitive awards to states/territories/tribes and intertribal consortia, EPA may use a portion of the EN Grant Program STAG funds to issue one or more non-competitive awards for associated program support to a co-regulator/co-implementer organization. A co-regulator/co-implementer organization is one that represents the interests of governmental units (for example, state or regional governments) in executing a national or regional environmental program. The membership of such a national or regional organization is composed of officials of the co-regulator or co-implementer entities (for example, state environmental commissioners). EPA will also issue a competitive award funded through EPA's EPM account to an organization that is broadly representative of federally recognized Indian tribes with the goal of expanding tribal participation in the EN.

Beneficiary Eligibility:

Federal, state, territory, city, town, county, and regional governments; federally recognized Indian tribes and intertribal consortia of federally recognized tribes; public institutions and industries subject to EPA regulatory reporting requirements; and the public.

Credentials/Documentation:

Costs will be evaluated in accordance with OMB Circular No. A-87, "Cost Principles for State, Local, and Indian Tribal Governments." OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Per the FY 2013 Solicitation Notice for the Exchange Network Grant Program, applicants must submit application packages to EPA by November 9, 2012. EPA will accept project proposals for National Environmental Information Exchange Network grants in one of two ways: 1) hard copy through the U.S. Postal Service or an overnight mail or courier delivery service, including one original and two copies; or 2) a proposal submitted electronically through the grants.gov website. EPA will not review or fund any applications that are received from ineligible applicants. The application package included the following materials: 1. Cover letter that outlines the following information: A. Recipient information; B. Project title; C. Type of vehicle requested (grant/cooperative agreement/ Performance Partnership Grant); D. Proposed amount of grant (broken down into direct funding and in-kind assistance if relevant); E. Partners on the grant (if applicable); F. Brief project summary including a statement of project goal(s); G. contact information for the project lead; and H. Signature of executive level Authorized Organizational Representative (AOR). 2. SF-424 (can be obtained from <http://www.epa.gov/ogd/AppKit/application.htm>) 3. Project narrative including goals, outputs with dates, outcomes, environmental results and a statement of business need: applicants must limit the project narrative to 10 single spaced pages. The ten-page limit does not include the EN Implementation plan described in section III-B of the Solicitation Notice. EPA will not review pages beyond the first ten pages of the project narrative. The narrative should describe the major goal or goals, outputs and outcomes leading to environmental results of the three-year project. To achieve outcomes that lead to environmental results, applicants should propose a project that contains one or more goals (for example, implement a WQX data exchange or publishing AQS data to neighboring partners) that will have outcomes

supporting timely access to high quality environmental data. Projects can also support environmental results by improving the efficiency and security of data sharing (for instance, making state electronic reporting systems CROMERR compliant for priority reports). EPA will evaluate the major technical and non-technical outputs of the proposed work and the outcomes, leading to environmental results, these outputs support. The schedule of outputs must be detailed enough to demonstrate an applicant's ability to track progress toward each goal. Each proposed goal must have at least one outcome leading to an environmental result. Examples of project outputs might include technical products such as schema or EN data access tools and services. Non-technical outputs might include the creation of workgroups, issuance of RFPs or other major project actions or events. Examples of outcomes that support environmental results include: improving the timeliness and accuracy of environmental data; reducing the burden and costs of data management and reporting; strengthening environmental decision making by enhancing data access for environmental professionals and the public. Applicants can find a sample narrative with goals, outputs and outcomes in Appendix E of the Solicitation Notice.

Each goal should have scheduled outputs (major work products) that lead to its implementation. Each goal and some outputs will have outcomes. An outcome is the effect or consequence of the project goal or of its major outputs. Each goal should have an environmental result. The schedule of outputs should be detailed enough to demonstrate an applicant's ability to track progress toward each goal. Applicants can find a sample narrative with goals, outputs and outcomes in Appendix D of the Solicitation Notice. 4. Programmatic Resources and Personnel: Briefly describe the programmatic resources and personnel involved in the project for the recipient and any participating partner. Highlight any expertise or past experiences that may be particularly helpful in carrying out the project. Include biographical sketches or resumes of the lead and any partner Project Manager(s). 5. Formal Project Partners Roles and Responsibilities and Distribution of Funds: If the proposed project involves formal project partners who will actively participate in implementing the project, provide a description of the roles and responsibilities of each partner in carrying out each of the project goals. Describe how the recipient would coordinate work among the partners using methods such as regular teleconferences, meetings, or written status reports. If the recipient plans to distribute funding to other partners, describe the method for doing so. Exchange Network grant projects that include one or more formal partners can have budgets up to \$500,000. Partnerships formed from within a single state, territorial, or tribal government (e.g., a "partnership" limited to the Environment and Public Health Departments within a state) are not eligible partnership and are limited to the \$300,000 maximum funding for a single-jurisdiction grant. 6. Detailed Itemized Budget: Applicants should describe both the total project budget and the costs associated with each major goal in a detailed itemized budget. The goal-specific budget information is important, because EPA may wish to consider partially funding some projects (i.e., funding only some goals for a project but not others). The budget must include any relevant item including A. Personnel List all staff positions by title. Give the annual salary of each person, the percentage of their time devoted to the project, the amount of each person's salary funded by the grant and the total personnel cost for the budget period. B. Fringe Benefits Identify the fringe benefit rate and total amount. C. Travel Specify the mileage, per diem, estimated number of in state and out of state trips other costs for each type of travel. EPA suggests that applicants include funds for travel to national, regional and area EN conferences. D. Equipment - Identify each item of equipment to be purchased which has an estimated acquisition cost of \$5,000 or more per unit and a useful lifetime of more than one year. List the quantity and unit cost per item. Items with a unit cost of less than \$5,000 are supplies. E. Supplies - supplies include all tangible personal property other than "equipment." The detailed budget should identify categories of supplies (such as laboratory supplies or office supplies). List the quantity and unit cost per item. F. Contractual Identity - each proposed contract should specify its purpose and estimated cost. Applicants who request in-kind services should list them here. G. Other - List each item in sufficient detail for U.S. EPA to determine whether the costs are reasonable or allowable. List any item, such as training, not covered elsewhere here. H. Indirect Charges If indirect charges are included in the budget, include the approved indirect cost rate with a copy of the Indirect Cost Rate Agreement, a description of the base used to calculate indirect costs and total cost of the base,

and the total indirect charges requested. Before an applicant can incur any costs under the indirect cost category, the Indirect Cost Rate Agreement must be approved and current. If you do not have a current rate, you may submit a copy of the submitted application to the cognizant fiduciary agency. Management Fees - When formulating budgets for proposals/applications, applicants must not include management fees or similar charges in excess of the direct costs and indirect costs at the rate approved by the applicants cognizant audit agency, or at the rate provided for by the terms of the agreement negotiated with EPA. The term "management fees or similar charges" refers to expenses added to the direct costs in order to accumulate and reserve funds for ongoing business expenses, unforeseen liabilities, or for other similar costs that are not allowable under EPA assistance agreements. Management fees or similar charges may not be used to improve or expand the project funded under this agreement, except to the extent authorized as a direct cost of carrying out the scope of work. 7. Network Implementation Plan: All state government applicants must complete the Implementation Plan form posted on EPA's EN website (<http://www.epa.gov/exchangenetwork>). A sample of this form can be found in Appendix H of the Solicitation Notice. On this form, state applicants will identify whether they have been delegated authority to implement each of the 10 national priority data systems. For each program a state has been delegated authority, the applicant will also identify the current status of their use of the EN as well as future plans. State applicants that have never used the EN for reporting to a particular system and do not plan to use the Network, or who have stopped using the EN for reporting to a particular system and do not plan to restart, must provide a business reason explaining why they will not use the Network. EPA will not review state applications that do not include this Implementation Plan.

All state applicants must submit a document of two to three pages explaining how the applicant plans to fully implement the EN for all regulatory and national system flows as described in Section III-B. 8. Additional information for inter-tribal consortium: An inter-tribal consortium applying for an FY 2013 EN Grant must include documentation that shows: A. a formal partnership exists among the Indian tribal governments that are members of the inter-tribal consortium, and the majority of the members are federally recognized Indian tribes; and B. the consortium's federally recognized tribal members have authorized the consortium to apply for and receive assistance from the EN Grant Program. EPA will notify applicants of its selection decisions in or around March 2013. At the time, the Agency will request additional documentation, including other official forms, from successful applicants. The notification letters will include further instructions to those applicants for submittal of additional or updated documents. Required forms in PDF format can be obtained at <http://www.epa.gov/ogd/AppKit/application.htm>. EPA plans to award all grants by September 2013. If the proposal is funded, the recipients would be required to submit a separate, tailored Quality Assurance Project Plan based on guidance in the applicable solicitation notice. EPA plans to post the solicitation notice for the FY 2014 EN Grant Program on the Web site in August 2013 (<http://www.epa.gov/exchangenetwork/grants>). Please see the solicitation notice for complete application instructions.

Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

EPA will evaluate the project proposal based the evaluation criteria in section V-A of the 2013 Solicitation Notice. Please note that in evaluating applicants under this criteria, the Agency will consider the information provided by the applicant and may also consider relevant information from other sources including agency files and prior/current grantors (e.g., to verify and/or supplement the information supplied by the applicant). In making the final funding decisions from among the most highly scored proposals, the EPA selection official may also consider one or more of the following factors: A. EPA programs' ability and/or readiness to support proposed project activities; B. geographic distribution of funding; C. prioritization of data exchange and

priority activities over other assistance activities; D. ensuring participation in the EN by federally recognized Indian tribes and inter-tribal consortia; and E. EPA's capacity to provide any requested in-kind services.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Applicants whose applications are denied for funding will be notified approximately five months after the application deadline. Applicants whose applications are recommended for funding will be notified, initially to request additional documentation to finalize the application, and, later, when the assistance agreements are issued and mailed by EPA's Grants and Interagency Agreements Management Division, which is expected to be approximately eight to nine months after the application deadline.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Assistance will be provided through grants and cooperative agreements. EPA may provide support in the form of direct funding and/or in-kind assistance, in lieu of direct funding. EPA plans to issue awards for applications that are recommended for funding approximately seven months after the application deadline. The standard period of performance for projects funded by the EN Grant Program is two years. EPA may consider requests from award recipients for project/budget period extensions; and if deemed appropriate by EPA, the Grants and Interagency Agreements Management Division may issue amendments extending the project/budget periods. See the following for information on how assistance is awarded/released: Assistance will be provided through lump sum allocations which grantees can draw down upon through programmatic invoices.

Reports:

Award recipients must submit semi-annual progress reports and a final project report that covers the entire project period. The semi-annual progress reports must be submitted within one month of the end of each semi-annual reporting period. The reporting periods are from October through March and April through September of each fiscal year. Award recipients must submit the final project report and a final Financial Status Report (Standard Form 269) to EPA within 90 days after the end of the project/budget period. Program reports are required. Cash reports are required. Progress reports are required. Expenditure reports are required. Performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Financial records, including all accounting records that substantiate the charges

to each grant, must be maintained and accessible to personnel authorized to examine EPA grant accounts. If certain financial records are part of an audit investigation, then they must be retained until the matter under investigation has been completely resolved. Award recipients must retain complete records documenting their projects for a period of seven years after the close-out of the agreement. Such records include, but are not limited to, financial records, technical reports, periodic performance progress reports, project management files, correspondence with other project partners, and correspondence with EPA. Final products and deliverables that result from the project are considered to be permanent records and should be retained indefinitely.

Account Identification:

68-0103-0-1-304; 68-0108-0-1-304.

Obligations:

(Project Grants) FY 12 \$10,500,000; FY 13 est \$9,494,000; and FY 14 est \$10,160,000 - STAG Account: FY 2012 \$10,000,000; FY 2013 est \$9,444,000; and FY 2014 \$10,000,000. EPM Account FY 2012 \$500,000; FY 2013 \$50,000; and FY 2014 160,000. EPA will set aside approximately ten percent of appropriated funding for tribal assistance agreements to further facilitate expanded tribal participation in the EN.

Range and Average of Financial Assistance:

STAG Account: Award amounts will range from \$50,000 to \$500,000 for the entire three-year project period. The average award amount will be \$200,000 for an individual applicant, \$500,000 for a partnership during the entire three-year project period. EPM Account: The average award amount will be \$200,000 for the entire two-year project period.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: FY 2012 is the tenth year of the EN Grant Program. From FY 2002 to FY 2011, EPA has provided approximately \$162 million for state, tribal, and territorial awards and associated program support through the grant program. In a relatively short period, the EN has become a reality. As of June 2012, all 50 states, 142 tribes and four territories have participated in developing the EN at some level. Using Grant funds, EPA and its partners are currently using or planning to use the EN to exchange a broad range of data, including, but not limited to the following: 43% Beaches (beaches water quality and closure data), 100% Air Quality System (AQS), 98% Emissions Inventory System (EIS), 50% Facility Registry System (FRS), 35.5% Integrated Compliance Information System/National Pollutant Discharge Elimination System (ICIS-NPDES), 31.4% Resource Conservation and Recovery Act Information System (RCRA Info), 38.5% Safe Drinking Water Information System (SDWIS), 136% Toxics Release Inventory System (TRIS) and 88% Water Quality Exchange (WQX). As of Q3 2012 the Exchange Network program has accomplished 73.6% progress in submitting regulatory data to EPA. One hundred forty one tribes are currently reporting water and air quality data to EPA. For detailed information on state, territorial, and tribal activities, please see the grant activities that are described at <http://www.epa.gov/exchangenetwork/grants/index.html>. For information on the progress of the data exchanges, please see <http://www.exchangenetwork.net>. Fiscal Year 2013: Information for FY 2013 is not available. Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

The Exchange Network Grant Program assistance agreements issued to state, territorial, and tribal governments are governed by EPA's regulations at 40 CFR Part 31, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments." The assistance agreements issued to nonprofit organizations (i.e., co-regulator/co-implementer organizations) for associated program support for the EN Grant Program or other EN activities are governed by EPA's regulations at 40 CFR Part 30, "Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-Profit Organizations." General information and guidance documents regarding the EN are available at <http://www.epa.gov/exchangenetwork>. Information about the EN Grant Program and previously funded activities is available at <http://www.epa.gov/exchangenetwork/grants>.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Jonathan Jacobson, Information Exchange Partnership Branch, Office of Information Collection, Office of Environmental Information, Environmental Protection Agency, 1200 Pennsylvania Ave., NW, Mail Code (2823-T), Washington, District of Columbia 20460 Email: Jacobson.jonathan@epa.gov Phone: (202) 566-1984.

Website Address:

<http://www2.epa.gov/aboutepa/about-office-environmental-information-oei>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Implement the plug-in for the Underground Injection Control (UIC) module and map data from Colorado Oil and Gas Information System (COGIS).

Implement Air Quality System (AQS) and Underground Injection Control (UIC); initiate network publishing; and facilitate Facility Registry System (FRS) integration.

WQX Data Flow implementation and outreach project will ensure participating pueblos and tribes have improved tribal data management capabilities and are successfully uploading tribal water quality data to EPA via WQX.

Implement final infrastructure improvements for Exchange Network (EN) participation and to develop and implement the Guam Facility Registry System (GFRS). Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The evaluation criteria for the FY 2013 EN Grant program were described in Section V of the FY 2013 Solicitation Notice. The evaluation criteria included such factors as expected project outputs/outcomes, the feasibility and approach of the work plan, the relevance and significance of the proposed project to the EN, the adequacy of resources and key personnel, and past performance. EPA may also consider various qualitative criteria when determining which applications to recommend for funding. Examples of such qualitative criteria may include, but are not limited to, factors such as achieving a balance among projects, distributing funds among different states/territories/ tribes, and having EPA programmatic support for the project. Applications for non-competitive awards to co-regulator/co-implementer organizations, funded by the EN Grant program through EPA's STAG appropriation, will be evaluated based on the ability of the applicant to provide the following: outreach, communications, technical assistance, and other support to states/tribes that are participating in, or may wish to participate in, the Network; and support for state participation in the EN governance. Applications for competitive awards to an organization that represents federally recognized Indian tribes, funded through EPA's EPM appropriation, will be evaluated based on the applicant's ability to support tribal participation in the EN governance, provide programmatic support to tribes and intertribal consortia of federally recognized tribes that are participating in or may wish to participate in the EN; provide outreach, communications, training/mentoring, and technical assistance to tribes to increase their knowledge about the EN, enhance their IM/IT capabilities, and encourage their development of EN nodes and data flows; and provide periodic reports to the EN governance on the status of tribal participation in the Network.

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement.

66.609 PROTECTION OF CHILDREN FROM ENVIRONMENTAL HEALTH RISKS

Children's Environmental Health

FEDERAL AGENCY:

Office of the Administrator, Environmental Protection Agency

AUTHORIZATION:

Solid Waste Disposal Act, Section 8001; National Environmental Policy Act, Section 102(2)(F); Clean Air Act, Section 103; Federal Insecticide, Fungicide, and Rodenticide Act, Section 20; Toxic Substances Control Act, Section 10; Solid Waste Disposal Act, Section 1442; Marine Protection, Research, and

Sanctuaries Act, Section 203; Comprehensive Environmental Response, Compensation, and Liability Act (CERCLA), Section 311; Clean Water Act, Section 104.

OBJECTIVES:

To support efforts by organizations; educational institutions; and/or State, local, and tribal governmental agencies to establish or enhance their ability to take actions that will reduce environmental risks to the health of children.

Funding Priority - Fiscal Year 2013: It is not anticipated that any awards will be made under CFDA 66.609 for FY 2013.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Grants and cooperative agreements are available to support recipients' allowable direct costs incident to approved surveys, studies, investigations, and special purpose assistance, plus allowable indirect costs, in accordance with established EPA policies and regulations. Funding awarded for research does not include research within the purview of EPA's Office of Research and Development. Funds awarded under Section 311(b)(3) of CERCLA must be used for projects relating to innovative or alternative treatment technologies that may be utilized in response actions to achieve more permanent protection of human health and welfare and the environment. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

Assistance under this program is generally available to States or state agencies, territories, the District of Columbia, American Indian Tribes (federally recognized), and possessions of the U.S. It is also available to public and private universities and colleges, hospitals, laboratories, other public or private nonprofit institutions, and 501(c)(3) organizations. Nonprofit organizations described in Section 501(c)(4) of the Internal Revenue Code that engage in lobbying activities as defined in Section 3 of the Lobbying Disclosure Act of 1995 are not eligible to apply. For profit organizations are generally not eligible for funding. Some of EPA's statutes may limit assistance to specific types of interested applications. See "Authorization" listed above. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

State agencies and local governments, U.S. territories and possessions, American Indian Tribes, universities and colleges, hospitals, laboratories, and other public and private nonprofit institutions and organizations.

Credentials/Documentation:

Documentation of nonprofit status may be required. Applicants may be requested to demonstrate they have appropriate background, academic training, experience in the field, and necessary equipment to carry out projects. The Office of Children's Health Protection may ask applicants or principal investigators to provide curriculum vitae and relevant publications. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see

Appendix IV of the Catalog. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. The standard application forms as furnished by the Federal agency and required by OMB Circular No. A-102 and A-110 must be used for this program. EPA requires final applications to be made on Standard Form 424, "Application for Federal Assistance." Requests for application kits must be submitted to the Environmental Protection Agency, Grants and Interagency Agreements Management Division, (3903R), Washington, DC 20460 or through the appropriate EPA Regional Office listed in Appendix IV of the Catalog. Additional information on the EPA grant package can be found at: http://www.epa.gov/ogd/grants/how_to_apply.htm. For competitive awards, the Requests for Initial Proposals or Requests for Applications will specify application procedures. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 180 days.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

None. Generally, EPA incrementally funds grants and cooperative agreements for surveys, studies, and investigations. Approval of subsequent funding increments is dependent on satisfactory project progress, continued relevance of the project to the Office of Children's Health Protection's program priorities, and the availability of funds.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: EPA's Appropriation Act requires that applicants submitting unsolicited research grant proposals share in the cost of conducting research. The amount of the cost share will be based on the mutuality of interest between the Government and the applicant. This requirement cannot be waived by EPA. In addition, CERCLA Section 311(b)(3) requires that "to the maximum extent possible," EPA enter into an appropriate cost sharing arrangement with recipients of grants and cooperative agreements relating to innovative and alternative treatment technologies. EPA may waive the Section 311(b)(3) cost-sharing requirement in appropriate cases. Matching funds requirements may be established in program guidance or in the terms of competitive solicitations.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

EPA normally funds grants and cooperative agreements on a 12 or 24-month basis. However, the Office of Children's Health Protection can negotiate the project period with each applicant based on project requirements. EPA limits project periods to five (5) years. Grants and cooperative agreements may be incrementally funded or fully funded. This determination is made by EPA. See the following for information on how assistance is awarded/released: Assistance will be awarded in a lump sum and will be released on a

reimbursement basis.

Reports:

No program reports are required. No cash reports are required. EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Agreements may require quarterly, interim, and final progress reports. Reporting requirements are also identified in EPA's grants regulations at 40 CFR Parts 30 and 31. Quarterly progress reports are required to be sent to the EPA Project Officer within 30 days after each reporting period. These reports will cover work status, work progress, difficulties encountered, preliminary data results and a statement of activity anticipated during the subsequent reporting period, including a description of equipment, techniques, and materials to be used or evaluated. The report shall also include any changes of key personnel concerned with the project. Quarterly progress reports are required to be sent to the EPA Project Officer within 30 days after each reporting period. A discussion of expenditures along with a comparison of the percentage of the project completed to the project schedule and an explanation of significant discrepancies shall be included in the report. The EPA Project Officer is required to conduct baseline monitoring of each active award. For awards with original project periods 18 month or less in duration, the initial baseline monitoring will take place no later than six months from the award date. For awards with original project periods greater than 18 months in duration, the initial baseline monitoring will take place no later than 1 year from the award date. After the initial baseline monitoring, all subsequent baseline monitoring will occur within 12 months of the last baseline or advanced monitoring activity.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

The record retention requirements of 40 CFR Part 30 (nonprofits and institutions of higher education) or 40 CFR Part 31 (governmental units) are applicable depending upon the identity of the recipient. Recipients must keep financial records, including all documents supporting entries on accounting records which support substantial changes to the grant, available to personnel authorized to examine EPA recipients' grants and cooperative agreement records. Recipient must maintain all records for a period of three years from the date of submission of final expenditures reports. If questions, such as those raised as a result of audits remain following the 3-year period, recipients must retain records until the matter is fully resolved.

Account Identification:

68-0108-0-1-304.

Obligations:

(Cooperative Agreements) FY 12 \$0; FY 13 est \$0; and FY 14 est \$0

Range and Average of Financial Assistance:

Range: \$10,000 to \$150,000 per grant. Average: \$100,000 per grant (2 year grants).

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: No awards were made in FY 2012 under CFDA 66.609. Fiscal Year 2013: It is not anticipated that any awards will be made in FY 2013 under CFDA 66.609. Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Surveys, studies, and investigations grants and cooperative agreements are subject to EPA general grant regulations (40 CFR Part 30, "Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-Profit Organizations," and 40 CFR Part 31, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local

Governments"). Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments and federally recognized tribes, OMB Circular No. A-21 for educational institutions, OMB Circular No. A-122 for nonprofit institutions, and FAR Part 31 for "for profit" entities.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

LaVonne Switzer, Office of Children's Health Protection; USEPA; Mail Code: 1107T; 1200 Pennsylvania Avenue, NW, Washington, District of Columbia 20460 Email: switzer.lavonne@epa.gov Phone: (202) 564-2711.

Website Address:

<http://yosemite.epa.gov/ochp/ochpweb.nsf/content/homepage.htm>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No awards were made in FY 2012 under CFDA 66.609. Fiscal Year 2013: It is not anticipated that any awards will be made in FY 2013 under CFDA 66.609. Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement. Non-competitive proposals are judged for: (a) technical merit in terms of: (1) strengths and weaknesses of the project, (2) adequacy of overall project design, (3) competency of proposed staff, (4) suitability of applicant's available resources, (5) appropriateness of the proposed project period and budget, and (6) probability that the project will accomplish stated objectives; and, for (b) program interest in terms of: (1) the need for the proposed project, and (2) relationship to program objectives.

66.610 SURVEYS, STUDIES, INVESTIGATIONS AND SPECIAL PURPOSE GRANTS WITHIN THE OFFICE OF THE ADMINISTRATOR FEDERAL AGENCY:

Office of the Administrator, Environmental Protection Agency

AUTHORIZATION:

Federal Insecticide, Fungicide, and Rodenticide Act, Section 20, Public Law 106-74; Toxic Substances Control Act, Section 10; National Environmental Policy Act, Section 102(2)(F); Safe Drinking Water Act, Section 1442(a)&(c); Clean Water Act, Section 104; Marine Protection, Research, and Sanctuaries Act, Section 203; Clean Air Act, Section 103; Solid Waste Disposal Act, Section 8001; Comprehensive Environmental Response, Compensation, and Liability Act, Section 311.

OBJECTIVES:

(1) To support surveys, studies, investigations, and special purpose assistance associated with air quality, acid deposition, drinking water, water quality, hazardous waste, toxic substances, and pesticides; (2) to identify, develop, and demonstrate necessary pollution control techniques; (3) to prevent, reduce, and eliminate pollution; (4) to evaluate the economic and social consequences of alternative strategies and mechanisms for use by those in economic, social, governmental, and environmental management positions; and (5) to promote collaboration on projects and activities within the states and enable wider and more coordinated state input on national environmental issues.

Funding Priority - Fiscal Year 2013: Priorities continue to include support for environmental health information and analysis to state legislatures; support to build state capacity to reduce environmental hazards that may affect health and the environment; support of environmental education training; support to promote and enhance state collaboration by focusing on communication and the sharing of environmental information among states; support to detail environmental outcome oriented indicators, measures, and goals that promote innovative environmental protection; and support to increase efficiency in the state environmental protection programs that results in cost-effective, sustainable environmental protection.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grants and cooperative agreements are available to support recipients' allowable direct costs incident to approved surveys, studies, investigations and special purpose assistance, plus allowable indirect costs, in accordance with established EPA policies and regulations. Funding awarded for research does not include research within the purview of EPA's Office of Research and Development. Funds awarded under Section 311(b)(3) of CERCLA must be used for projects relating to innovative or alternative treatment technologies that may be utilized in response actions to achieve more permanent protection of human health and welfare and the environment. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

Assistance under this program is generally available to State agencies, territories, the District of Columbia, Indian Tribes, and possessions of the U.S. Assistance is also available to public and private universities and colleges, hospitals, laboratories, and other public or private nonprofit institutions. Nonprofit organizations described in Section 501(c)(4) of the Internal Revenue Code that engage in lobbying activities as defined in Section 3 of the Lobbying Disclosure Act of 1995 are not eligible to apply. For profit organizations are generally not eligible for funding. Some of EPA's statutes may limit assistance to specific types of interested applications. See "Authorization" listed above. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

State agencies and local governments, U.S. territories and possessions, Indian Tribes, universities and colleges, hospitals, laboratories, and other public and private nonprofit institutions.

Credentials/Documentation:

Documentation of nonprofit status may be required. Applicants may be requested to demonstrate they have appropriate background, academic training, experience in the field, and necessary equipment to carry out projects. Office of the Administrator may ask applicants or principal investigators to provide curriculum vitae and relevant publications. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. The standard application forms as furnished by the Federal agency and required by OMB Circular No. A-102 and A-110 must be used for this program. EPA requires final applications to be made on Standard Form 424, "Application for Federal Assistance." Requests for application kits must be submitted to the Environmental Protection Agency, Grants and

Interagency Agreements Management Division, (3903R), Washington, DC 20460 or through the appropriate EPA Regional Office listed in Appendix IV of the Catalog. Additional information on the EPA grant package can be found at: http://www.epa.gov/ogd/grants/how_to_apply.htm. For competitive awards, the Requests for Initial Proposals or Requests for Applications will specify application procedures. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 180 days.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

None. Generally, EPA incrementally funds grants and cooperative agreements for surveys, studies, and investigations. Approval of subsequent funding increments is dependent on satisfactory project progress, continued relevance of the project to the Office of the Administrator's program priorities, and the availability of funds.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: EPA's Appropriation Act requires that applicants submitting unsolicited research grant proposals share in the cost of conducting research. The amount of the cost share will be based on the mutuality of interest between the Government and the applicant. This requirement cannot be waived by EPA. In addition, CERCLA Section 311(b)(3) requires that "to the maximum extent possible," EPA enter into an appropriate cost-sharing arrangement with recipients of grants and cooperative agreements relating to innovative and alternative treatment technologies. EPA may waive the Section 311(b)(3) cost-sharing requirement in appropriate cases. Matching fund requirements may be established in program guidance or in the terms of competitive solicitations.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

EPA normally funds grants and cooperative agreements on a 12-month basis. However, the Office of the Administrator can negotiate the project period with each applicant based on project requirements. EPA limits project periods to 5 years. Grants and cooperative agreements may be incrementally funded or fully funded. This determination is made by the EPA. See the following for information on how assistance is awarded/released: Other.

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Agreements may require quarterly, interim, and final progress reports, and financial, equipment, and invention reports. Reporting requirements are also identified in EPA's grant regulations 40 CFR Parts 30 and 31. Program reports are required. No cash reports are required. Progress reports are required. No expenditure reports are required. Performance monitoring is required under this program.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit

conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

The record retention requirements of 40 CFR Part 30 (nonprofits and institutions of higher education) or 40 CFR Part 31 (governmental units) are applicable depending upon the identity of the recipient. Recipients must keep financial records, including all documents supporting entries on accounting records which support substantial changes to the grant, available to personnel authorized to examine EPA recipients' grants and cooperative agreement records. Recipient must maintain all records for a period of three (3) years from the date of submission of final expenditures reports. If questions, such as those raised as a result of audits remain following the 3-year period, recipients must retain records until the matter is fully resolved.

Account Identification:

68-1810-0-1-304.

Obligations:

(Project Grants) FY 12 \$225,000; FY 13 est \$155,000; and FY 14 Estimate Not Available

Range and Average of Financial Assistance:

Range \$500 to \$500,000 per grant. Average amount of award approximately \$150,000 per grant.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: In FY 2012 the accomplishments of ongoing grants are: (1) "Building of state capacity to improve results based management" - Specifically working on improved planning alignment between EPA and the states and continued engagement in EPA National Program Guidance process, with an eye towards better integration of multi-media approaches that achieve quantifiable environmental outcomes. (2) Working with EPA towards grant streamlining and standardization; the timely award and expenditure of grant funds; grant burden reduction; grant performance measures, workplan requirements and other accountability mechanisms; Office of Management and Budget initiatives on administrative flexibility. Fiscal Year 2013: In FY 2013 the accomplishments of ongoing grants are: "Building of state capacity to improve results based management" - Specifically working on improved planning alignment between EPA and the states and continued engagement in EPA National Program Guidance process, with an eye towards better integration of multi-media approaches that achieve quantifiable environmental outcomes. Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Surveys, studies, and investigations grants and cooperative agreements are subject to EPA general grant regulations (40 CFR Parts 30, "Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-Profit Organizations," and 40 CFR Part 31, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments"). Costs will be determined in accordance with OMB Circular A-87 for State and local governments and Indian Tribes, OMB Circular No. A-21 for educational institutions, OMB Circular No. A-122 for nonprofit institutions, and FAR Part 31 for "for profit" entities.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Anthony Raia, Environmental Protection Agency, Office of the Administrator, 1200 Pennsylvania Ave., NW, Washington, District of Columbia 20460 Email: Raia.Anthony@epamail.epa.gov Phone: (202) 564-2758.

Website Address:

<http://www2.epa.gov/aboutepa>

RELATED PROGRAMS:

66.609 Protection of Children and Older Adults (Elderly) from Environmental Health Risks; 66.611 Environmental Policy and Innovation Grants

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: States project involved improved planning alignment between U.S. EPA and the states. Meaningful state engagement on the Strategic Plan and supporting annual planning efforts and its support of ongoing interaction between states and EPA. This involved continued engagement in NPM guidance process and insights for the annual planning and budgeting process. The states provided a written report on changes made as a result of state input to the National Program Manager Guidance and Strategic Plan update. Fiscal Year 2013: States project involved improved planning alignment between U.S. EPA and the states. Meaningful state engagement on the Strategic Plan and supporting annual planning efforts and its support of ongoing interaction between states and EPA. This involved continued engagement in NPM guidance process and insights for the annual planning and budgeting process. The states provided a written report on changes made as a result of state input to the National Program Manager Guidance and Strategic Plan update. Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement. Non-competitive proposals are judged for: (a) technical merit in terms of: (1) strengths and weaknesses of the project, (2) adequacy of overall project design, (3) competency of proposed staff, (4) suitability of applicant's available resources, (5) appropriateness of the proposed project period and budget, and (6) probability that the project will accomplish stated objectives; and, for (b) program interest in terms of: (1) the need for the proposed project, and (2) relationship to program objectives.

66.611 ENVIRONMENTAL POLICY AND INNOVATION GRANTS

FEDERAL AGENCY:

Office of the Administrator, Environmental Protection Agency

AUTHORIZATION:

Safe Drinking Water Act, Section 1441, 42 U.S.C 300j-1; Solid Waste Disposal Act, Section 8001, 42 U.S.C 6981; National Environmental Policy Act, Section 102(2)(F), 42 U.S.C 4332; Comprehensive Environmental Response, Compensation, and Liability Act, Section 104(k)(6)&311, 42 U.S.C 9660; Clean Air Act, Section 103, 42 U.S.C 7403; Federal Insecticide, Fungicide, and Rodenticide Act, Section 20, 7 U.S.C 136(r); Clean Water Act, Section 104, 33 U.S.C 1254; Toxics Substances Control Act, Section 10, 15 U.S.C 2609; Annual Appropriations Act.

OBJECTIVES:

(1) To support analyses, studies, evaluations, workshops, conferences, and demonstration projects that lead to reduced pollutants generated and conservation of natural resources; (2) to improve economic information and analytic methods to support studies, surveys, analyses, evaluations, conferences, workshops, and demonstration projects on the benefits, costs, and impacts of environmental programs; and (3) to improve economic information and analytic methods to support studies, surveys, analyses, evaluations, conferences, workshops, and demonstration projects of incentive-based and voluntary environmental management strategies and mechanisms; and (4) to support research to explore and develop strategies and mechanisms for those in the economic, social, governmental, and environmental arenas to use in environmental management decisions.

Funding Priority - Fiscal Year 2013: The funding priorities in FY2013 for the smart growth program include continued support for innovative projects related to exploring, testing, or implementing smart growth and/or green building policies and other environmental preferable approaches to development.

One or more new solicitations related to implementing smart growth policies and other environmentally preferable approaches may be issued in FY2013.

As a consequence of limited resources, there was no new economic competitive research solicitation issued in FY2013.

If resources were available, research priorities in the field of environmental economics and related research continue to include: work on improvements in the valuation of environmental benefits, including human health and ecological

services; measuring economic costs and impacts to society from regulations, including effective ways to forecast future pollution control technologies and regulatory costs; integrating economic and natural science models for purposes of assessing environmental risks and consequences, including those associated with GHG emissions and potential consequences for climate change; and utilization of alternative regulatory management and economic incentive approaches to achieve environmental objectives.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grants and cooperative agreements are available to support recipients' allowable direct costs incident to approved Environmental Policy and Innovation plus allowable indirect costs, in accordance with established EPA policies and regulations. Funding awarded for research does not include research within the purview of EPA's Office of Research and Development. Funds awarded under Section 311(b)(3) of CERCLA must be used for projects relating to innovative or alternative treatment technologies that may be utilized in response actions to achieve more permanent protection of human health and welfare and the environment. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

Assistance under this program is generally available to States and local governments, territories and possessions, foreign governments, international organizations, Indian Tribes, interstate organizations, intrastate organizations, and possessions of the U.S., including the District of Columbia, public and private universities and colleges, hospitals, laboratories, other public or private nonprofit institutions, and individuals. Nonprofit organizations described in Section 501(c)(4) of the Internal Revenue Code that engage in lobbying activities as defined in Section 3 of the Lobbying Disclosure Act of 1995 are not eligible to apply. For profit organizations are generally not eligible for funding. Some of EPA's statutes may limit assistance to specific types of interested applicants. See "Authorization" listed above. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy. National laboratories funded by Federal Agencies (Federally-Funded Research and Development Centers, "FFRDCs") may not apply. FFRDC employees may cooperate or collaborate with eligible applicants within the limits imposed by applicable legislation and regulations. They may participate in planning, conducting, and analyzing the research directed by the applicant, but may not direct projects on behalf of the applicant organization. The institution, organization, or governance receiving the award may provide funds through its grant from the EPA to an FFRDC for research personnel, supplies, equipment, and other expenses directly related to the research. Federal Agencies may not apply. Federal employees are not eligible to serve in a principal leadership role on a grant, and may not receive salaries or augment their Agency's appropriations in other ways through grants made by this program.

Beneficiary Eligibility:

State and local governments, U.S. territories and possessions, Indian Tribes, universities and colleges, hospitals, laboratories, other public and private nonprofit institutions, individuals, and international organizations.

Credentials/Documentation:

Documentation of nonprofit status may be required. Applicants may be requested to demonstrate they have appropriate background, academic training, experience in the field, and necessary equipment to carry out projects. EPA may

ask applicants or principle investigators to provide curriculum vitae and relevant publications. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. The standard application forms as furnished by the Federal agency and required by OMB Circular No. A-102 and A-110 must be used for this program. EPA requires final applications to be made on Standard Form 424, "Application for Federal Assistance." Requests for application kits must be submitted to the Environmental Protection Agency, Grants and Interagency Agreements Management Division, (3903R), Washington, DC 20460 or through the appropriate EPA Regional Office listed in Appendix IV of the Catalog. Additional information on the EPA grant package can be found at: http://www.epa.gov/ogd/grants/how_to_apply.htm. Requests for Initial Proposals or Requests for Applications will specify application procedures. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

For non-competitive awards, EPA will conduct an administrative evaluation to determine the adequacy of the application in relation to grant regulations and to technical and program evaluation to determine the merit and relevance of the project. The Agency will then advise the applicant if funding is being considered. A final work plan will then be negotiated with the applicant. For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements. For competitions involving research that are administered as part of the Economic and Decision Sciences program, OP will continue to adhere to the policies established by ORD, NCER and their Science to Achieve Results (STAR) program, which includes engaging an external technical peer review panel to evaluate the technical merits of research proposals.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 180 days for most competitive solicitations. For competitive solicitations administered under the Economic and Decision Sciences program, the time for notification is approximately 240 days.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

None. Generally, EPA may sometimes choose to either fully or incrementally fund awarded grants and cooperative agreements for Environmental Policy and Innovation. Approval of subsequent funding increments is dependent on satisfactory project progress, continued relevance of the project to EPA's priorities, availability of funds, and Agency policy on the competitive grant process.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: CERCLA 311(b)(3) requires that "to the maximum extent possible," EPA enter into an appropriate cost sharing arrangement with recipients of grants and cooperative agreements relating to innovative and alternative treatment technologies. EPA may waive the 311(b)(3) cost sharing requirement in appropriate cases. Matching requirements may be established in program guidance or the terms of competitive solicitations.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

EPA normally funds grants and cooperative agreements on a 12-month basis. However, EPA can negotiate the project period with each applicant based on project requirements. EPA limits project periods to 5 years. Grants and cooperative agreements may be incrementally or fully funded. This determination will be made by the EPA. See the following for information on how assistance is awarded/released: Most assistance awarded under this CFDA will either be released as a lump sum payment, paid on a quarterly basis, or paid on a monthly basis.

Reports:

For the economic research grants, recipients are required to prepare final written reports that summarize their research project and findings, and cite work (presentations, publications) supported with the EPA grant. No cash reports are required. EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Agreements may require quarterly, interim, and final progress reports, and financial, equipment, and invention reports. Specific reporting requirements are also identified in the Grant Regulations 40 CFR Parts 30 and 31.

In general, it is the policy of the Office of the Administrator to require Progress Reports from recipients on a routine schedule - either quarterly, semiannually or on an annual basis. This requirement, or a different requirement when applicable, will be spelled out in solicitations issued under this CFDA, and confirmed in the Terms and Conditions section for funded assistance agreements. Grant recipients are required to provide financial information to confirm that actual spending is consistent with the approved budget and allowable uses of EPA funds. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

The record retention requirements of 40 CFR Part 30 (non-profits and universities) or 40 CFR Part 31 (governmental units) are applicable depending upon the identity of the recipient. Recipients must keep financial records, including all documents supporting entries on accounting records and to substantiate changes in grants, available to personnel authorized to examine EPA recipients grants and cooperative agreements records. Recipients must maintain all records until 3 years from the date of submission of final expenditure reports. If questions, such as those raised as a result of audits remain following the 3-year period, recipients must retain records until the matter is completely resolved.

Account Identification:

68-0108-0-1-304.

Obligations:

(Project Grants) FY 12 \$1,100,000; FY 13 est \$1,050,000; and FY 14 est \$1,700,000 - For awards directed at smart growth issues, the total amount awarded through new awards and incremental funding was \$1.1 million in FY 2012. Projected new awards and incremental funding are expected to be \$1.05 million in FY 2013 and \$1.1 million in FY 2014.

For awards directed at economic analysis and research topics, including funding provided under the Economic and Decision Sciences program, the following distributions and projections are as follows: in FY 2012 there were no new awards and incremental funding of existing assistance agreements; in FY 2013 there were also no new awards or incremental funding for existing assistance agreements; and for FY2014 new awards and incremental funding is anticipated to total \$600 thousand subject to appropriations and available funding).

Range and Average of Financial Assistance:

For awards related to smart growth, the range is from \$90,000 to \$363,000, with an average of \$180,000.

For recent awards in the area of economics - related to Environmental Economic Workshops: range is \$35,000 - \$95,000, with an average award of ~\$70,000. Related to Environmental Economics Dissertations and Early Career Research: range is \$35,000 - \$75,000, with an average of ~\$60,000. Related to Environmental Economic Research: range is \$75,000-\$300,000, with an average of ~\$250,000. There were no earmarks included in FY2010, FY2011 or FY2012. We do not anticipate any earmarks in FY2013.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: There were no new solicitations or new economic research awards made in FY2012. Several research and workshop projects were funded with prior fiscal year resources, and they continue as a result of their being established as multi-year projects.

Some of the workshops hosted in FY2012 using prior year's funding included:

- On October 26, 2011 the Institute for Policy Integrity at the New York University School of Law hosted the conference "Intersections Aligning Environmental and Transportation Policies to Mitigate Climate Change." The conference examined recent advances within empirical and theoretical scholarship relating to fuel efficiency standards and transportation infrastructure. Three panels were convened. The first panel discussed cost-benefit analysis and transportation infrastructure projects. The second panel discussed the energy efficiency paradox and the rebound effect. And the final panel discussed consumer welfare effects of more stringent fuel economy standards. The recipient has also produced a report synthesizing findings from all deliberations, including lessons learned about best practices for integrating environmental directives as part of transportation planning and strategies for enhancing transportation project evaluation and appraisal. Copies of the panelists' presentations are available at <http://policyintegrity.org/news/event/third-annual-workshop-on-cost-benefit-analysis-and-issue-advocacy/>

- The Voluntary Pollution Control Initiatives workshop was held on May 20-21 at The Ohio State University's Columbus campus. There were a total of 16 presentations in the one and half days the workshop lasted; The presentations covered a broad range of topics within the realm of Voluntary Pollution Control (VPC) research: VPC in transition and developing economies, spillover effects of VPC on accidents and worker health, VPC and tourism, interaction between VPC programs and mandatory regulation. Another objective of the workshop was the dissemination of workshop presentations to a wide audience of academics, businesses, and federal and non-federal policy makers operating in the field of voluntary pollution control. To this end, the recipient has archived all video footage of presentations as well as the presentation slides on the workshop's website: <http://aede.osu.edu/programs-and-research/environmental-policy-initiative/conferences/vpc-workshop-schedule>. The documents on the website are accessible to all without any restriction whatsoever. Researchers also have the names and affiliations of the authors if they have further questions about a particular paper.

- Camp Resources XIX was held on Monday and Tuesday, August 6-7, 2012 at Wrightsville Beach Island, North Carolina. There were 15 full-length presentations by graduate students and young professionals, and 11 research sketches in this year's schedule. This year's highlights also included a learning tutorial on "Bayesian Econometrics" by Justin Tobias, Professor and University

Faculty Scholar at Purdue University in West Lafayette, Indiana. The workshop featured faculty mentors who provided constructive feedback to presenters, and also presented an overview of their current research interests. In addition to our featured mentors, Camp Resources again enjoyed support from an outstanding community of environmental and resources economists at NC State, Duke, RTI International, and throughout North Carolina and the Southeast who regularly attend and participate in the workshop. The outcomes of the workshop included: (1) providing a forum for the students and young professionals to present research at its early stages and receive significant feedback from senior researchers; (2) giving the young researchers opportunities to expand their research and presentation skills by interacting in a small, less-formal workshop setting with top scholars in the field of environmental economics; (3) creating a network for future interactions and collaborations among those who have attended; and (4) providing attendees with a concentrated learning experience on cutting edge methods within environmental and resource economic research (e.g., the tutorial session). The workshop improved the capabilities of young environmental economists to conduct state-of-the-art research, thus advancing our knowledge on a wide array of environmental economics principles and tools that lead to improved protection of human health and the environment.

Smart growth grant awards made in FY2011 have led to several dozen directed technical assistance site visits delivered to communities across the US on topics ranging from walkability, to use of LEED-ND to improve public policy, to local code audits to identify regulatory barriers to more green and sustainable development approaches.

Continuation of earlier grant awards funded in FY12 continue to support extensive outreach and education on smart growth and green building issues through an annual conference attracting nearly 1500 participants and an active website that provides critical resources to the public on the topics of smart growth.

New smart growth grants awarded in FY12 will likely result in one or more state-level workshops on how to ensure that public investments result in environmental and public health improvements. Fiscal Year 2013: Smart growth grant awards made in FY2012 have led to several dozen directed technical assistance site visits delivered to communities across the US on topics ranging from walkability, to use of LEED-ND to improve public policy, to local code audits to identify regulatory barriers to more green and sustainable development approaches.

Continuation of earlier grant awards funded in FY13 continue to support extensive outreach and education on smart growth and sustainable communities issues through an annual conference attracting nearly 1500 participants and an active website that provides critical resources to the public on the topics of smart growth.

New smart growth grants awarded in FY13 will likely result in one or more state-level workshops on how to ensure that public investments result in environmental and public health improvements. Smart growth grants will also help states, regions and communities better utilize land use and development patterns to become more resilient.

On environmental economics, there were no new solicitations or new economic research awards made in FY2013. Several research and workshop projects were funded with prior fiscal year resources, and they continue as a result of their being established as multi-year projects.

Prior funding supported a number of research grants, and results of this research are coming to fruition in FY2013. Examples include:

- Research using experimental methods to design and test several elements of market mechanisms, and addresses all three of the primary research questions identified in the solicitation (characteristics of effective trading programs, factors that lead to their success, efficiency and environmental benefits from properly designed trading programs, and distributional concerns particularly hot spots). The design elements addressed included: enforcement strategies for inter-temporal trading programs when monitoring is imperfect; a comprehensive trading scheme for multiple pollutants; intergovernmental (or

interstate) emissions trading; emissions permit banking when firms face emissions uncertainty, and when regulators are concerned with temporal hot spots, i.e., unintended spikes in annual emissions due to permit banking.

- The absence of estimates of the economic value of improvements in ecological systems has so far hindered policymakers' attempts to set policy goals with economic efficiency in mind. This research was anchored to a prior completed contingent valuation (CV) study of the total value of ecological improvements from reduced acidification in the Adirondacks. The research supplemented this study with a choice experiment (CE) survey in the Adirondacks and both a CV and a CE survey in the Southern Appalachian Mountain region. The results of the surveys were compared in various ways. After testing for construct validity, tests of convergent validity of the two valuation approaches was performed by comparing the CE and CV results in a given area. To address benefit transfer issues, the survey results from each survey type in one area were transferred to another area and compared to the direct survey results for that other area. Some of these transfers were conducted by using the functional benefit transfer approach, which adjusts for both demographic and attribute differences across areas. Traditional and Bayesian pooling techniques were also used in another set of transfer exercises. Further, shadow prices estimated in the CE studies were compared for shared attributes. In addition to developing methodologies for some of the above activities, the research examined approaches to treating uncertainty in ecological outcomes in a CE analysis (i.e., by making uncertainty an attribute) and a new approach to addressing prior beliefs by respondents. This research provides estimates of WTP for ecological changes resulting from reduced acidification in the Adirondack Park and Southern Appalachian region on a geographic and temporal scale that would be expected to result from current legislative or regulatory proposals. This would provide first-ever comprehensive data for benefit cost analysis with respect to the effects of acidification, which for three decades has been a central issue in air pollution policy. Important methodological advances include benefit transfer methods using small-sample studies that could reduce the cost of valuing benefits in new regions. Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Environmental Policy and Innovation grants and cooperative agreements are subject to EPA's General Grant Regulations (40 CFR Part 30 and 40 CFR Part 31). Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments and Indian Tribes, OMB Circular No. A-21 for educational institutions, OMB Circular No. A-122 for nonprofit institutions, and FAR Part 31 for profit makers.

Regional or Local Office:

See Regional Agency Offices. EPA encourages potential applicants to communicate with the appropriate EPA Regional Office listed in Appendix IV of the Catalog, and the Headquarters program contacts listed below.

Headquarters Office:

Brett Snyder, USEPA Headquarters, Ariel Rios Building, 1200 Pennsylvania Avenue, N. W., Mail Code: 1809T, Washington, District of Columbia 20460
Email: snyder.brett@epa.gov Phone: (202) 566-2261.

Website Address:

<http://www2.epa.gov/aboutepa/about-office-policy-op>

RELATED PROGRAMS:

66.034 Surveys, Studies, Research, Investigations, Demonstrations, and Special Purpose Activities Relating to the Clean Air Act; 66.436 Surveys, Studies, Investigations, Demonstrations, and Training Grants and Cooperative Agreements - Section 104(b)(3) of the Clean Water Act; 66.813 Alternative or Innovative Treatment Technology Research, Demonstration, Training, and Hazardous Substance Research Grants

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No new environmental economic research grants were funded in FY2012, as a result of reduced appropriations that resulted in limited funding, and other priorities in the office.

Smart growth grant awards result in several dozen directed technical assistance site visits delivered to communities across the US, as well as state-level workshops on how to ensure that public investments result in environmental

and public health improvements. They also support extensive outreach and education on smart growth issues through an annual conference and an active website, both of which provide critical resources to the public on the topics of smart growth. Fiscal Year 2013: Smart growth grant awards result in several dozen directed technical assistance site visits delivered to communities across the US, as well as state-level workshops on how to ensure that public investments result in environmental and public health improvements. They also support extensive outreach and education on smart growth issues through an annual conference and an active website, both of which provide critical resources to the public on the topics of smart growth.

No new environmental economic research grants were funded in FY2013, as a result of reduced appropriations that resulted in limited funding, and other priorities in the office. Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement. Non-competitive proposals are judged for: (a) technical merit in terms of: (1) strengths and weaknesses of the project; (2) adequacy of overall project design; (3) competency of proposed staff; (4) suitability of applicant's available resources; (5) appropriateness of the proposed project period and budget; and (6) probability that the project will accomplish stated objectives; and, for (b) program interest in terms of: (1) the need for the proposed project; and (2) relationship to program objectives.

66.612 SURVEYS, STUDIES, INVESTIGATIONS, TRAINING DEMONSTRATIONS AND EDUCATIONAL OUTREACH RELATED TO ENVIRONMENTAL INFORMATION AND THE RELEASE OF TOXIC CHEMICALS

Support for TRI Capacity Building, Education and Outreach, and Clearinghouse
FEDERAL AGENCY:

Office of Environmental Information, Environmental Protection Agency

AUTHORIZATION:

Federal Insecticide, Fungicide, and Rodenticide Act, Section 20; Clean Water Act, Section 104; Clean Air Act, Section 103; Toxic Substances Control Act, Section 10; Safe Drinking Water Act, Section 1442; Solid Waste Disposal Act, Section 8001.

OBJECTIVES:

The program's objective is to provide funding in support of surveys, studies, investigations, training/demonstrations, educational outreach and special purpose assistance as they relate to environmental information and the release of toxic chemicals. The purpose of this program is to educate the public on the how to obtain access to and effectively use environmental information, including information about toxic chemical releases and other waste management activities. Through this grant program, EPA intends to enhance the public's understanding of environmental information generally and the potential risks and hazards of releases of toxic chemicals to their communities as they relate to facilities covered under the Toxic Release Inventory Program; support efforts to assist state, tribal and local governments improve management of environmental information; identify priorities and opportunities for the public and state, tribal and local governments to work with industry to reduce toxic chemical disposal or other releases and potential risks associated with them; and establish reduction targets and measure progress toward reduction goals.

Funding Priority - Fiscal Year 2013: Topics and activities include, but are not limited to the following: Expansion of stakeholder education and outreach. Population, maintenance and monitoring of the collaborative website (www.chemicalright2know.org), which will allow various stakeholders to access information about the TRI program and post discussion topics, position papers, and original research and analyses on an array of TRI and other related topics. Issue small grants focused on pollution prevention and the promotion of TRI on the state level. Use of forums, such as TRI-related Webinars, to promote small grants and monitor the progress of these grants. Training opportunities will include three on-line webinars focused on TRI.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Grants and cooperative agreements are available to support recipients' allowable direct costs incident to approved scopes of work plus allowable indirect costs, in accordance with established EPA policies and regulations. Funding awarded for research does not include research within the purview of EPA's Office of Research and Development. Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments and Indian Tribes, OMB Circular No. A-21 for educational institutions, and OMB Circular No. A-122 for nonprofit institutions.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

Assistance under this program is generally available to states, the District of Columbia, U.S. territories (for example, American Samoa, Guam, the Commonwealth of the Northern Mariana Islands, Puerto Rico, and the U.S. Virgin Islands), federally recognized Indian tribes, intertribal consortia of federally recognized tribes, public and private colleges and universities, and other public or private nonprofit organizations. Nonprofit organizations exempt from taxation under Section 501(c)(4) of the Internal Revenue Code that lobby are not eligible for financial assistance. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

State, territory, city, town, county, and regional governments; federally recognized Indian tribes and intertribal consortia of federally recognized tribes; public institutions and industries subject to EPA regulatory reporting requirements; and the public.

Credentials/Documentation:

Applicants must demonstrate that they have appropriate background, academic training, experience in the field, and necessary equipment to carry out projects. EPA will ask applicants or principle investigators to provide curricula vitae and relevant publications. EPA may ask for documentation of nonprofit status. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

EPA awards grants and cooperative agreements supporting surveys, studies and investigations, demonstrations, educational outreach and special purpose projects that consider the relevance to EPA's mission and the likelihood of the success of proposed projects. Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 180 days.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

None. Generally, EPA incrementally funds grants and cooperative agreements for surveys, studies, and investigations. Approval of subsequent funding increments is dependent on satisfactory project progress, continued relevance of the project to EPA's priorities, and availability of funds, and the Agency policy on the competitive grant process.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

EPA normally funds grants and cooperative agreements on a 12-month basis. However, EPA can negotiate the project period with each applicant based on project requirements. See the following for information on how assistance is awarded/released: The Agency anticipates annual incremental funding (for five years) for this assistance award.

Reports:

No program reports are required. No cash reports are required. EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Agreements may require quarterly, interim, and final progress reports, and financial, equipment, and invention reports. Reporting requirements are also identified in the Grant Regulations, 40 CFR Parts 30 and Part 31.

Quarterly progress reports and a detailed final report are required. Quarterly progress reports summarize technical progress, planned activities for next quarter and summary of expenditures. A summary of expenditures should be included in the quarterly progress reports. Annual performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

The record retention requirements of 40 CFR Parts 30 and 31 are applicable depending upon the identity of the recipient. Recipients must keep financial records, including all documents supporting entries on accounting records and to substantiate changes in grants available to personnel authorized to examine EPA recipients grants and cooperative agreements records. All records must be maintained until expiration of three years from the date of submission of the final expenditure report. If questions, such as those raised as a result of audits remain following the 3-year period, recipients must retain records until the matter is completely resolved.

Account Identification:

68-0108-0-1-304.

Obligations:

(Cooperative Agreements) FY 12 \$0; FY 13 est \$275,000; and FY 14 est \$150,000

Range and Average of Financial Assistance:

Estimated \$15,000 to \$350,000 with an average of \$200,000 annually.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Expanded TRI stakeholder education and outreach. Populated, maintained and monitored the collaborative website (www.chemicalright2know.org), utilized it to promote the National Training Conference, TRI-related Webinars and small grants. Issued a request for proposal to award small grants opportunities that focused on pollution prevention efforts and the promotion of TRI at the state level. Planned for and executed one on-line webinar focused on TRI and the Spring 2012 TRI National Training Conference. Fiscal Year 2013: Expanded TRI stakeholder education and outreach. Populated, maintained, and monitored the collaborative website (www.chemicalright2know.org), which allowed various stakeholders to access information about the TRI program, post discussion topics, position papers, and original research and analyses on an array of TRI and other related topics. Awarded three small grants that focused on pollution prevention and the promotion of TRI on the state level. Used forums, such as TRI-related Webinars, to promote small grants and monitor the progress of these grants. Training opportunities included two on-line webinars focused on TRI. Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Surveys, studies, and investigations grants and cooperative agreements are subject to EPA's General Grant Regulations (40 CFR Part 30 and 40 CFR Part 31). Costs will be determined on accordance with OMB Circular No. A-87 for State and local governments and Indian Tribes, OMB Circular No. A-21 for educational institutions, and OMB Circular No. A-122 for non-profit institutions. Research and demonstration projects are subject to 40 CFR Part 40. Training grants are subject to 40 CFR Part 45.

Regional or Local Office:

See Regional Agency Offices. EPA encourages potential applicants to communicate with the appropriate EPA Regional Office listed in http://www.epa.gov/tri/contacts_regional.htm or in Appendix IV of the Catalog, and the Headquarters program contacts listed below.

Headquarters Office:

Christine Arcari, EPA Headquarters, EPA West, 1200 Pennsylvania Avenue, N.W. Mail Code: 2842T, Washington, District of Columbia 20460 Email: Arcari.Christine@epa.gov Phone: (202) 566-1746.

Website Address:

<http://www.epa.gov/oei>

RELATED PROGRAMS:

66.034 Surveys, Studies, Research, Investigations, Demonstrations, and Special Purpose Activities Relating to the Clean Air Act; 66.424 Surveys, Studies, Investigations, Demonstrations, and Training Grants - Section 1442 of the Safe Drinking Water Act; 66.436 Surveys, Studies, Investigations, Demonstrations, and Training Grants and Cooperative Agreements - Section 104(b)(3) of the Clean Water Act; 66.510 Surveys, Studies, Investigations and Special Purpose Grants within the Office of Research and Development

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No funding was provided in 2012. The agreement was fully funded in 2011.

The 2011 funding was used to support projects in 2012, such as:

- Expansion of TRI stakeholder education and outreach;
- Population, maintenance and monitoring of the collaborative website (www.chemicalright2know.org), utilizing it to promote the National Training Conference, TRI-related Webinars and small grants;
- Issuance of a request for proposal to award small grant opportunities;

- Planning and execution of on-line webinars; and,
 - Planning and execution of the Spring 2012 National Training Conference.
- Fiscal Year 2013: 2011 funding was used to support projects in 2013, such as:
- Expansion of TRI stakeholder education and outreach;
 - Population, maintenance and monitoring of the collaborative website (www.chemicalright2know.org), utilizing it to promote the National Training Conference, TRI-related Webinars and small grants;
 - Awarding of small grant opportunities focused on pollution prevention and to promote TRI on the state level; and
 - Planning and execution of on-line webinars.

EPA anticipates awarding a new five-year cooperative agreement at the end of FY13 and providing incremental yearly funding of an average of \$200,000/year. This anticipated funding will be used in 2013 to begin planning of training opportunities such as the national training conference, online webinars and workshops. Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement. EPA selects proposed Surveys, Studies, and Investigations projects for funding based on factors such as relevancy to EPA's mission, technical merit, and the likelihood of success. If EPA issues a solicitation for applications for a particular project or group of projects, the solicitation will identify specific criteria.

66.700 CONSOLIDATED PESTICIDE ENFORCEMENT COOPERATIVE AGREEMENTS

FEDERAL AGENCY:

Office of Enforcement and Compliance Assurance, Environmental Protection Agency

AUTHORIZATION:

Federal Insecticide, Fungicide, and Rodenticide Act, Section 23, Public Law 92-516, 7 U.S.C 136; Federal Insecticide, Fungicide, and Rodenticide Act, Section 23, Public Law 95-396, 7 U.S.C 136; Federal Insecticide, Fungicide, and Rodenticide Act, Section 23, Public Law 94-140, 7 Stat. 136.

OBJECTIVES:

To: (a) Assist states, territories and possessions of the U.S., including the District of Columbia and Indian tribes, in developing and maintaining comprehensive pesticide programs that address all aspects of pesticide enforcement, and special pesticide initiatives; (b) sponsor cooperative surveillance, monitoring and analytical procedures; and (c) encourage regulatory activities within the states. State, territorial, and tribal agencies participating in this cooperative agreement program receive funds to support and strengthen their pesticide compliance programs, including pesticide compliance monitoring, inspection and enforcement activities.

Funding Priority - Fiscal Year 2013: Two specific compliance monitoring and enforcement activities have been identified as major priorities for this program: reducing chemical risks and protecting the under served and vulnerable populations. Other typical program activities include inspections and observations at pesticide application sites to ensure user compliance with label directions and acceptable use practices; inspections of pesticide products at producing facilities and in the retail marketplace to ensure industry compliance with registration, classification and labeling requirements; and initiation of appropriate enforcement action when violations are detected including civil and criminal prosecution, stop sale orders, seizures, recalls and warning notices.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Available for costs specifically incurred in purchasing inspectional supplies and equipment; reimbursing State travel and per diem expenses associated with the

performance of grant outputs; purchasing essential laboratory equipment and supplies; paying salaries for personnel performing inspectional, analytical and/or managerial functions related to grant activities, and for administrative costs associated with the performance of grant outputs. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>. There are no specific restrictions on the use of this assistance.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

State agencies having pesticide compliance program responsibilities in each state, territory and possession of the United States, including the District of Columbia and Indian Tribes.

Beneficiary Eligibility:

States, Federally Recognized Indian Tribal Governments, U.S. Territories and the District of Columbia.

Credentials/Documentation:

The application must supply evidence of legal authority to conduct pesticide compliance activities contemplated under the grant and a workable program officially adopted for the agency. Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Discussions or informal meetings with Regional program offices concerning program preparation are advisable. The standard application forms as furnished by the Federal agency and required by OMB Circular No. A-102 must be used for this program. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Requests for application form and completed applications should be submitted to the appropriate EPA Regional Office (see Appendix IV of the Catalog). The enforcement program is excluded from coverage under OMB Circular No. A-110. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

Each application shall be subjected to administrative coordination to determine adequacy in relation to grant regulations, and to technical and program evaluation to determine merit and relevancy of the project. States will be notified of Federal Assistance Awards through the Federal Assistance Awards Data System (FAADS). Applicants may use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

About 60 days.

Appeals:

Disputes will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

Applicants must reapply.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Projects are normally funded for a 12-month period. Payments will be on an advance letter of credit or reimbursement basis; recipient must request the initial advance payment on SF 270, Request for Advance or Reimbursement. See the following for information on how assistance is awarded/released: Other.

Reports:

As requested in the Annual Program Guidance for inclusion in the grant award; quarterly with mid-year and year-end evaluations. Program reports are required. No cash reports are required. Progress reports are required. Expenditure reports are required. Performance monitoring is required under this program.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Financial records including all documents to support entries on accounting records and to substantiate charges to each cooperative agreement must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained for three years from the date of the submission of the annual financial status report or longer if questions still remain, such as those raised as a result of audit.

Account Identification:

68-0103-0-1-304.

Obligations:

(Cooperative Agreements) FY 12 \$18,644,000; FY 13 est \$17,672,000; and FY 14 est \$18,644,000

Range and Average of Financial Assistance:

\$32,000 (territory) to \$800,000; average \$260,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: The Consolidated Pesticide Enforcement Cooperative Agreements are continuing environmental program grants. EPA received 74 applications for pesticide enforcement grants and 74 grants were awarded in fiscal year 2012. Agencies participating in the federal cooperative agreement program received funds to support and strengthen pesticide compliance monitoring, inspection, and enforcement activities. Typical program activities included inspections and observations at pesticide application sites to ensure user compliance with label directions and acceptable use practices; inspections of pesticide products at production facilities and in the retail marketplace to ensure industry compliance with registration, classification, and labeling requirements; and initiation of appropriate enforcement action when violations are detected including civil and criminal prosecution, stop sale orders, seizures, recalls, and warning notices. The states developed implementation plans for special pesticide initiatives. States disseminated information and materials related to these pesticide initiatives. States, territories, and tribes that are participated in the pesticide enforcement cooperative agreements program a conduct 85,000 compliance monitoring inspections in FY 2012. These inspections were conducted at agricultural establishments, pesticide producers and retailers, ports of entry, at pesticide applicators, as well as at other site where pesticides were distributed, sold, or used. Fiscal Year 2013: The Consolidated Pesticide Enforcement Cooperative Agreements are continuing environmental program grants. It is anticipated that 73 applications for pesticide enforcement grants will be received and 73 grants awarded in fiscal year 2013. Agencies participating in the federal cooperative agreement program receive funds to support and strengthen pesticide compliance monitoring, inspection,

and enforcement activities. Typical program activities include inspections and observations at pesticide application sites to ensure user compliance with label directions and acceptable use practices; inspections of pesticide products at production facilities and in the retail marketplace to ensure industry compliance with registration, classification, and labeling requirements; and initiation of appropriate enforcement action when violations are detected including civil and criminal prosecution, stop sale orders, seizures, recalls, and warning notices. The states will also develop implementation plans for special pesticide initiatives. States will be responsible for dissemination of information and materials related to these pesticide initiatives. States, territories, and tribes that are participating in the pesticide enforcement cooperative agreements program are expected to conduct approximately 85,000 compliance monitoring inspections in FY 2013. These inspections will be conducted at agricultural establishments, pesticide producers and retailers, ports of entry, at pesticide applicators, as well as at other site where pesticides were distributed, sold, or used. Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

EPA Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments (40 CFR Part 31); Environmental Protection Agency, State and Local Assistance (40 CFR Part 35 Subpart A), October 12, 1982.

Regional or Local Office:

See Regional Agency Offices. Contact appropriate EPA Regional Office listed in Appendix IV of the Catalog.

Headquarters Office:

Julie Simpson Office Of Compliance, Office of Enforcement and Compliance Assurance (2225A), EPA, Washington, District of Columbia 20460 Email: simpson.julie@epa.gov Phone: 202-564-0085

Website Address:

<http://www.epa.gov/compliance/state/grants/fifra.html>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: State agencies participating in the federal/state cooperative agreement program receive funds to support and strengthen their pesticide compliance programs, including pesticide compliance monitoring, inspection and enforcement activities as well as special pesticide initiatives activities. Typical program activities include inspections and observations at pesticide application sites to ensure user compliance with label directions and acceptable use practices; inspections of pesticide products at production facilities and in the retail marketplace to ensure industry compliance with registration, classification, and labeling requirements; inspections and investigations at ports of entry to assess compliance with import and export requirements; and initiation of appropriate enforcement action when violations are detected, including civil and criminal prosecution, stop sale orders, seizures, recalls and notices. The special pesticide initiatives are new projects, which include the development of state management plans for the protection of groundwater and endangered species from pesticides and development of worker protection programs. Fiscal Year 2013: State agencies participating in the federal/state cooperative agreement program receive funds to support and strengthen their pesticide compliance programs, including pesticide compliance monitoring, inspection and enforcement activities as well as special pesticide initiatives activities. Typical program activities include inspections and observations at pesticide application sites to ensure user compliance with label directions and acceptable use practices; inspections of pesticide products at production facilities and in the retail marketplace to ensure industry compliance with registration, classification, and labeling requirements; inspections and investigations at ports of entry to assess compliance with import and export requirements; and initiation of appropriate enforcement action when violations are detected, including civil and criminal prosecution, stop sale orders, seizures, recalls and notices. The special pesticide initiatives are new projects, which include the development of state management plans for the protection of groundwater and endangered species from pesticides and development of worker protection programs. Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Each application will be reviewed by the Environmental Protection Agency according to the following criteria: 1) Need: The need for the development, improvement and/or maintenance of a comprehensive pesticides enforcement program within the State/Tribe that includes enforcement, new initiative programs, and programs that address existing environmental problems, potential problems, and/or existing exposed populations related to the use of pesticides. 2) State/Tribal Pesticide Activity: The relative amount of pesticide production, formulation and use in a State/Tribe, and the potential risk to human health and the environment from pesticide misuse or abuse. 3) Long-Term Impact: The potential of the cooperative agreement to have a long-term beneficial impact on human health and the environment resulting from the comprehensive pesticide program. 4) Effectiveness of program: The past level and effectiveness of the State/Tribal pesticide program. 5) Level of activity; As indicated by such factors as numbers of farms and numbers of applicators. 6) Magnitude of effort: Need to accomplish expected outputs and products: and 7) Ability to accomplish output goals.

66.701 TOXIC SUBSTANCES COMPLIANCE MONITORING COOPERATIVE AGREEMENTS

FEDERAL AGENCY:

Office of Enforcement and Compliance Assurance, Environmental Protection Agency

AUTHORIZATION:

Toxic Substances Control Act (TSCA), Section 28&404(g), Public Law 102-550, 15 U.S.C 2601.

OBJECTIVES:

1) To assist States, including the District of Columbia, the Commonwealth of Puerto Rico, Guam, America Samoa, the Northern Marianas, the Trust Territories of the Pacific Islands, the Virgin Islands and Indian Tribes in developing and maintaining compliance monitoring programs to prevent or eliminate unreasonable risks to health or the environment associated with chemical substances or mixtures within the States, specifically Asbestos, PCB, and Lead-based paint; 2) encourage regulatory activities within the States to establish their own programs for Lead-based paint and Asbestos (waiver programs); and 3) fund enforcement activities for Asbestos waiver state programs and Lead-based paint programs. EPA may provide funding for cooperative compliance monitoring grants to States and Tribes under TSCA to conduct inspections to ensure compliance with the PCB regulations, the Asbestos-in-Schools requirements (inspections at charter schools, public schools, private, non-profit schools and religious schools), the Model Accreditation Plan (MAP), TSCA section 6 Ban and Phase Out Rule, and the TSCA Asbestos Worker Protection Standards and to conduct Lead-based paint activities. Authorized States also conduct enforcement for the Lead-based program. States that have obtained an EPA waiver from the Asbestos regulation (Subpart E of Part 763) also have enforcement responsibilities.

Funding priorities in FY 2013 for the TSCA PCB and Asbestos programs will be: 1) existing compliance monitoring programs to ensure they continue to meet established standards; 2) activities leading to waiver status for Asbestos programs; 3) activities as necessary for meeting training requirements necessary for obtaining and keeping EPA credentials in those programs which require the use of EPA credentials (the PCB compliance monitoring programs and Asbestos programs in non-waiver states); 4) new programs that provide inspection activity where there is an identified need including, but not limited to, Environmental Justice areas.

Funding priorities in FY 2013 for States with authorized Lead-based paint programs will focus on individuals and firms which conduct lead abatement and renovation and their continuance with the training and certification, and work practice standards requirements. States with authorized renovation notice rules will also monitor those requirements.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Grant funds are available for costs specifically incurred by States and Tribes to develop, enhance and maintain comprehensive compliance

monitoring/enforcement programs under TSCA, specifically PCBs, Asbestos, and Lead-based paint. Funded activities may include inspector training, inspection supplies, and laboratory costs, including personnel and equipment; reimbursement for salaries, travel, training, and per diem expenses for inspectors, analysts, and program managers; and other functions related to grant activities. For those programs where inspections are conducted using EPA credentials, prior to conducting inspections under the Grant, the State/Tribe must have an authorization agreement and the inspectors must complete the minimum inspector training, including health and safety training, and conduct inspections pursuant to the September 2004 Guidance for Issuing Federal EPA Inspector Credentials to Authorize Employees of State/Tribal Governments to Conduct Inspections on Behalf of EPA. They must also have a signed authorization agreement with EPA.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Assistance agreement awards under this program may involve generating or using environmental data, such as, environmental sampling, field measurements, and/or laboratory analyses. Further information on the "POLICY TO ASSURE THE COMPETENCY OF ORGANIZATIONS GENERATING ENVIRONMENTAL MEASUREMENT DATA UNDER AGENCY-FUNDED ASSISTANCE AGREEMENTS" should refer to the following website: <http://www.epa.gov/fem/pdfs/competency-policy-aaia-new.pdf>.

Applicant Eligibility:

For the PCB and Asbestos programs, States/Tribes should have toxic substance compliance responsibilities and be designated as the lead agency with the authority to enter into these cooperative agreements. For the Lead-based paint program, state agencies, Indian tribes, and tribal consortiums that have toxic substance compliance responsibilities, who have the authority to enter into these cooperative agreements, and who have their own lead laws in place are eligible to apply for assistance under the TSCA Compliance Monitoring Grant.

Beneficiary Eligibility:

For PCB, Asbestos and the Lead-based paint programs: States, including the District of Columbia, the Commonwealth of Puerto Rico, Guam, America Samoa, the Northern Marianas, the Trust Territories of the Pacific Islands, the Virgin Islands and Indian Tribes.

Credentials/Documentation:

The applicant must supply evidence of legal authority to conduct toxic substance compliance program activities contemplated under the grant and a workable program officially adopted for the agency. Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Discussions or informal meetings with Regional program offices concerning program preparation are advisable. The standard application forms as furnished by the federal agency and required by OMB Circular No. A-102 must be used for this program. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Requests for application forms and completed applications should be submitted to the appropriate EPA Regional Office (see Appendix IV of the Catalog). Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities

under this CFDA. This program is excluded from coverage under OMB Circular No.A-110.

Award Procedure:

Each application shall be subjected to administrative coordination to determine adequacy in relation to grant regulations, and to technical and program evaluation to determine merit and relevancy of the project. States will be notified of Federal Assistance awards through the Federal Assistance Awards Data System (FAADS). Applicants may use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

About 60 days.

Appeals:

Disputes will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

Applicant must reapply every year.

Formula and Matching Requirements:

This program has no statutory formula.
Matching Requirements: Under TSCA Section 28, no grant may exceed 75 percent of the establishment and operation costs of such a program during the period for which the grant is made. Thus, recipients must contribute 25% of the total costs for activities conducted under Section 28 of TSCA (i.e., the PCB and Asbestos grants).

There are no cost-sharing or matching fund requirements for Lead-based Paint enforcement grants under Section 404(g).
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Cooperative Agreements are normally funded for a 12-month period. Payments will be on an advance letter of credit or reimbursement basis; recipients must request the initial advance payment on the form SF 270, Request for Advance or Reimbursement. See the following for information on how assistance is awarded/released: Other.

Reports:

As required in the annual National Program Guidance for inclusion in the grant award, grantees must submit mid-year and year-end evaluations. For inspections conducted using credentials issued by EPA to the state or tribal employee, the inspection report must be submitted to the regional office for review pursuant to the authorization agreements. Program reports are required. No cash reports are required. Progress reports are required. No expenditure reports are required. Performance monitoring is required under this program.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Financial records, including all documents to support entries on accounting records and to substantiate charges to each cooperative agreement, must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained for 3 years from the date of the submission of the annual financial status report or longer if questions still remain, such as those raised as a result of audit.

Account Identification:

68-0103-0-1-304.

Obligations:

(Cooperative Agreements) FY 12 \$5,081,000; FY 13 est \$4,816,000; and FY 14 est \$5,081,000

Range and Average of Financial Assistance:

For PCB and Asbestos: \$19,000 to \$225,000/year; \$122,000/year average.

For Lead: \$42,000 per state/tribe.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Twenty-six Asbestos, nine PCB, and forty-two Lead cooperative agreements were awarded to States in FY 2012. A similar number are expected to be awarded in FY 13. Agencies participating in the TSCA Compliance Monitoring Cooperative Agreements program receive funds to support and enhance toxic substance compliance monitoring, assurance and enforcement activities.

Typical program activities for the Asbestos grant program include compliance inspections at facilities regulated under the AHERA program plus preparation of inspection reports. For waiver states, work includes case preparation and taking enforcement action. Non-waiver states using EPA credentials submit their inspection reports to EPA for case review and appropriate enforcement action. In FY 12, 900 compliance monitoring inspections were conducted. EPA expects similar inspection numbers in FY 13.

Typical program activities for the PCB grant program include compliance inspections at facilities regulated under the TSCA PCB program, and submission of inspection reports to EPA for review and enforcement action, if appropriate. In FY 12, 325 inspections were conducted. EPA expects similar inspection numbers in FY 13.

Typical program activities for the Lead-based paint grant program include compliance inspections at facilities regulated under the TSCA Lead-based paint program plus initiation of appropriate enforcement action by authorized states or referral to EPA for case review and appropriate enforcement action when violations are detected. Fiscal Year 2013: Fiscal Year 2013 activities will be reported after October 1, 2013. Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

EPA Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments (40 CFR Part 31); Environmental Protection Agency, State and Local Assistance (40 CFR Part 35 Subpart A), October 12, 1982; "EPA Assistance Administration Manual," available from the National Technical Information Service, Springfield, VA 22161 on a subscription basis, Order No.: NTIS UB/031.

Regional or Local Office:

See Regional Agency Offices. Contact appropriate EPA Regional Office listed in Appendix IV of the Catalog.

Headquarters Office:

Julie Simpson Pesticides, Waste and Toxics Branch, Monitoring, Assistance and Media Programs Division, Office of Enforcement and Compliance Assurance, (2223A), U.S. EPA, 1200 Pennsylvania Ave. NW., Washington, District of Columbia 20460 Email: impson.Julie@epa.gov Phone: 202-566-1980

Website Address:

<http://epa.gov/compliance/monitoring/programs/tsc/index.html>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: State agencies participating in the Toxic Substances Compliance Monitoring Cooperative Agreement program receive funds to support and conduct compliance monitoring (inspection) and enforcement activities, as appropriate for the specific program. Examples of program activities that may be funded include, targeting activities, inspections/investigations to evaluate compliance, follow-up inspections, initiation of appropriate enforcement action when violations are detected,

equipment for inspections, development of compliance monitoring strategies/targeting approaches, development of software or e-forms capable of automating inspection data collection activities in the field, and training/workshops. The majority of the funds are used by the states to conduct compliance monitoring inspections. Those states that are authorized to enforce the Lead-based paint program or are "waiver" states under the AHERA program use the grant money to enforce the regulation, as appropriate. Fiscal Year 2013: State agencies participating in the Toxic Substances Compliance Monitoring Cooperative Agreement program receive funds to support and conduct compliance monitoring (inspection) and enforcement activities, as appropriate for the specific program. Examples of program activities that may be funded include, targeting activities, inspections/investigations to evaluate compliance, follow-up inspections, initiation of appropriate enforcement action when violations are detected, equipment for inspections, development of compliance monitoring strategies/targeting approaches, development of software or e-forms capable of automating inspection data collection activities in the field, and training/workshops. The majority of the funds are used by the states to conduct compliance monitoring inspections. Those states that are authorized to enforce the Lead-based paint program or are "waiver" states under the AHERA program use the grant money to enforce the regulation, as appropriate. Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Each application will be reviewed by the Environmental Protection Agency according to criteria including the following: (1) Need: The need for the development, improvement, and/or maintenance of a comprehensive compliance monitoring/enforcement program within the State; (2) Level of Activity: The amount of regulated chemicals and facilities, and the potential risk to human health and the environment; (3) Long-Term Impact: The potential of the cooperative agreement to have a long-term beneficial impact on human health and the environment resulting from the compliance program; and (4) Effectiveness of program: The past level and effectiveness of the State regulatory program, number of inspections conducted by the state and number of trained inspectors that have completed the minimum training set forth in the September 30, 2004 "Guidance for Issuing Federal EPA Inspector Credentials to Authorize Employees of State/Tribal Governments to Conduct Inspections on Behalf of EPA."

66.707 TSCA TITLE IV STATE LEAD GRANTS CERTIFICATION OF LEAD-BASED PAINT PROFESSIONALS

(State Lead Certification Grants)

FEDERAL AGENCY:

Office of Chemical Safety and Pollution Prevention, Environmental Protection Agency

AUTHORIZATION:

Toxic Substances Control Act, Section 404(g).

OBJECTIVES:

The goal of EPA's lead-based paint program is to eliminate childhood lead poisoning. The program is comprised of four strategies designed to achieve the following goals: 1) Establish standards to define where lead hazards are present in paint, dust and soil; 2) Give the public information about lead hazards and steps to protect themselves; 3) Ensure that information about known lead-based paint hazards is disclosed to individuals buying or renting pre-1978 housing, and that owners and occupants of pre-1978 housing are provided information on lead-based paint hazards before renovation activities take place; 4) Establish lead-safe work practice standards and require lead-based paint professionals to be trained and certified. The objective of this grant program is to assist States, Tribes, and Territories in developing and carrying out authorized programs that: (a) certify contractors engaged in lead-based paint activities and accredit lead-based paint activities training programs; (b) certify contractors engaged in renovation, repair and painting activities that disturb painted surfaces in most target housing; and/or (c) require distribution of lead-hazard information prior to renovation (pre-renovation education program).

Funding Priority - Fiscal Year 2013: The priority for FY 2013 is to continue to provide assistance to authorized State, Tribal, and Territorial lead-based paint activities training and certification programs and pre-renovation education programs. As appropriate, the Agency will use grant funding to provide

assistance to a State, Tribal, or Territorial program looking to develop or administer an authorized Renovation, Repair, and Painting Program.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Assistance may be available for activities which relate to authorization under TSCA Title IV. Supported activities must contribute or lead to the attainment or maintenance of authorization pursuant to TSCA Title IV. Funds cannot be used for general program activities not related to the attainment or maintenance of authorized programs.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

States, the District of Columbia, the Commonwealth of Puerto Rico, the Virgin Islands, Guam, the Canal Zone, American Samoa, the Northern Mariana Islands, and any other territory or possession of the United States may receive assistance under Section 404(g) of TSCA. Federally recognized Indian governing bodies are also eligible to apply for and receive assistance. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

State governments, Federally recognized Indian governing bodies, U.S. Territories and possessions.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Discussions or informal meetings with the appropriate EPA Regional Office concerning program preparation are advisable. The standard application form as furnished by the Federal agency and required by OMB Circular No. A-102 must be used for this program. Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Requests for application forms and completed applications should be submitted to the appropriate EPA Regional Office. The following forms and certifications must be included in all final grant applications: Standard Form 424 (Application for Federal Assistance); Standard Form 424A (Budget Information -- Non-Construction Programs); Standard Form 424B (Assurances -- Non-Construction Programs); Certification Regarding Lobbying and SF LLL, if applicable; Certification Regarding Debarment and Suspension; EPA Form 4700-4 (Compliance Review Report Form); and Quality Assurance Narrative Statement, if applicable.

Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Contact the appropriate EPA Regional Office for guidance on award procedures. Regional contacts for the EPA lead program can be found at <http://www2.epa.gov/lead/where-you-live>.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 90 days after deadline for application submission.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

Applicants must reapply for grant funding each fiscal year.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Cooperative agreements are normally funded for a 12-month period. See the following for information on how assistance is awarded/released: Grantees usually receive either a lump sum payment or draw down funds as their Agency allows.

Reports:

No program reports are required. No cash reports are required. Pursuant to 40 CFR 31.40, grantees shall, at a minimum, submit annual performance reports to the appropriate EPA Regional Office. These requirements were approved by the Office of Management and Budget (OMB) under OMB Control Number 2030-0020 (General Administrative Requirement for Assistance Programs). The individual Regional Offices may require that these reports be submitted on a quarterly or semiannual basis, but not more frequently than quarterly. The specific information contained within the report will include, at a minimum, a comparison of actual accomplishments to the objectives established for the period. Regional Offices may ask for the inclusion of specific data (e.g., providing to EPA specific address information associated with the abatement notifications that are received by the grantee) as part of the annual performance report from the grantees which may be useful for Agency reporting under the Government Performance and Results Act. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Financial records, including all documents to support entries on accounting records and to substantiate charges to cooperative agreements, must be kept available to personnel authorized to examine EPA accounts. All records must be maintained for 3 years from the date of the submission of the annual financial status report, or longer if questions still remain, such as those raised as a result of audit.

Account Identification:

68-0103-0-1-304.

Obligations:

(Project Grants) FY 12 \$14,512,000; FY 13 est \$13,755,000; and FY 14 est \$14,512,000

Range and Average of Financial Assistance:

\$16,000 to \$350,000; average of \$200,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: As of June 2012, 39 States, 3 Tribes, Puerto Rico, and D.C. have received EPA approval to administer a lead-based paint activities training and certification program.

12 States have received authorization to administer and enforce a Renovation, Repair and Painting Program. Fiscal Year 2013: As of June 2013, 39 States, 3 Tribes, Puerto Rico, and D.C. have received EPA approval to administer a lead-based paint activities training and certification program.

13 States have received authorization to administer and enforce a Renovation, Repair and Painting Program. Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

EPA Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments (40 CFR part 31); Environmental Protection Agency. "EPA Assistance Administration Manual," available from the National Technical Information Service, Springfield, VA 22161 on a subscription basis; Order No. NTIS UB/031.

Regional or Local Office:

See Regional Agency Offices. Contact the Regional Lead Contact Person in the appropriate EPA regional office listed in Appendix IV of the Catalog.

Headquarters Office:

Michelle Price, National Program Chemicals Division 7404T), Office of Pollution Prevention and Toxics, Environmental Protection Agency, 1200 Pennsylvania Ave. NW, Washington, District of Columbia 20460 Email: price.michelle@epa.gov Phone: (202) 566-0744.

Website Address:

<http://www2.epa.gov/lead>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Since FY93 EPA has provided financial assistance to the States, Territories and Indian Tribes aimed at the development, implementation and enforcement of approved programs under the final EPA lead-based paint activities training and certification rule (40 CFR part 745, subpart L). The following is a list of previously funded activities: (a) core lead-based paint activities and enforcement capacity development; (b) developing the appropriate infrastructure to administer and enforce a lead-based paint activities program successfully; (c) overseeing the conduct of contractors engaged in lead-based paint activities; (d) overseeing and controlling training program activities; (e) ensuring compliance with requirements for post-abatement clearance sampling; (f) monitoring compliance with the work practice standards of regulations for the conduct of abatement; (g) implementing lead-based paint compliance assistance; (h) implementing the lead-based paint activities sampling techniques; and (i) implementing lead-based paint activities tracking tips and complaints efforts.

Since FY09, EPA has also provided financial assistance to the States, Territories and Indian Tribes aimed at the development, implementation and enforcement of approved programs under the final EPA lead-based paint renovation, repair and painting rule (40 CFR part 745, subpart E). Fiscal Year 2013: Since FY93 EPA has provided financial assistance to the States, Territories and Indian Tribes aimed at the development, implementation and enforcement of approved programs under the final EPA lead-based paint activities training and certification rule (40 CFR part 745, subpart L). The following is a list of previously funded activities: (a) core lead-based paint activities and enforcement capacity development; (b) developing the appropriate infrastructure to administer and enforce a lead-based paint activities program successfully; (c) overseeing the conduct of contractors engaged in lead-based paint activities; (d) overseeing and controlling training program

activities; (e) ensuring compliance with requirements for post-abatement clearance sampling; (f) monitoring compliance with the work practice standards of regulations for the conduct of abatement; (g) implementing lead-based paint compliance assistance; (h) implementing the lead-based paint activities sampling techniques; and (i) implementing lead-based paint activities tracking tips and complaints efforts.

Since FY09, EPA has also provided financial assistance to the States, Territories and Indian Tribes aimed at the development, implementation and enforcement of approved programs under the final EPA lead-based paint renovation, repair and painting rule (40 CFR part 745, subpart E). Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for awards under this CFDA description are available from the appropriate EPA Regional Office. Regional contacts for the EPA lead program can be found at <http://www2.epa.gov/lead/where-you-live>.

66.708 POLLUTION PREVENTION GRANTS PROGRAM

(P2 Grant Program)

FEDERAL AGENCY:

Office of Chemical Safety and Pollution Prevention, Environmental Protection Agency

AUTHORIZATION:

Pollution Prevention Act of 1990, Section 6605, Public Law 101-508.

OBJECTIVES:

This CFDA announcement applies to the Pollution Prevention (P2) and the Pollution Prevention Information Network (PPIN) grant programs.

Both programs were enacted under the Pollution Prevention Act of 1990. The P2 grant program funds grants/cooperative agreements that implement pollution prevention technical assistance services and/or training for businesses. The PPIN grant program funds grants/cooperative agreements through a national network of Pollution Prevention Resource Exchange (P2Rx) Centers. The Centers work to deliver pollution prevention information, resources and tools to state technical assistance providers, businesses and industry sectors. Under both grant programs, EPA is authorized to support projects that utilize pollution prevention techniques to reduce and/or eliminate pollution from air, water and/or land.

Funding Priority - Fiscal Year 2013:

1. To help businesses adopt P2 techniques into their day-to-day operations as a means for reducing or eliminating harmful pollutants from entering the air, water or land;
2. To encourage education and training in P2/source reduction techniques and applications; and
3. Support P2 information sharing among State, local technical assistance programs and businesses.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funding under the P2 and PPIN grant programs is supported through Congressional appropriation. Generally, the programs support P2 projects that address the transfer of potentially harmful pollutants across all environmental media: air, water, and land. The programs also support the establishment and expansion of state and tribal P2 programs under various sectors, some which are -- industrial toxics, agriculture, energy and water conservation, and transportation.

More specifically, the P2 grant program supports P2 approaches and methodologies that focus on: institutionalizing P2 as an environmental management prescription, helping businesses establish prevention goals, providing technical assistance or training to businesses, supporting outreach and

research endeavors, and supporting data collection and analysis to curb environmental inefficiencies in tandem with increasing awareness of P2, etc.

Under the PPIN program, EPA supports projects that help to: coordinate work among state and local technical assistance providers, disseminate P2 information, offer training to state and local technical assistance providers and businesses, promote new and innovative P2 technologies, and take a broad reading of what P2 activities are occurring across the nation in order to minimize any duplication of effort.

The P2 and PPIN grant programs do not support projects that focus on recycling, waste removal or any activity which alters the physical, chemical, or biological characteristics or the volume of a hazardous substance, pollutant, or contaminant through a process or activity which is not integral to and necessary for the production of a product or essential to providing a service. For more information, refer to PPA Section 6603 and 40 CFR Sections 35.343 and 35.662.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy. In accordance with the Pollution Prevention Act of 1990, eligible applicants for purposes of funding under these grant programs include the fifty states, the District of Columbia, the U.S. Virgin Islands, the Commonwealth of Puerto Rico, and any territory or possession of the United States, any state agency or instrumentality of a state including state colleges and universities, federally-recognized tribes and intertribal consortia.

Local governments, private universities, private nonprofit entities, private businesses, and individuals are not eligible to apply for funding.

Beneficiary Eligibility:

State agencies, state colleges and universities that are instrumentalities of the state and federally-recognized tribes are encouraged to establish partnerships with businesses and environmental assistance providers to deliver seamless P2 assistance. The most successful applicants will be those that make the most efficient use of government funding. In many cases, this has been accomplished through partnerships. As a result, those who are eligible can provide P2 benefits to the following entities: states, interstate and local agencies or organizations/universities, federally-recognized tribes, intertribal consortia, public or private nonprofit organizations/institutions, private businesses, quasi public nonprofit organizations, and the general public.

Credentials/Documentation:

In cases where the applicants credentials are not clear, the applicant must provide proof that the applicant is indeed a state agency/organization, federally-recognized tribe, or intertribal consortium. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. If the applicant does not know who their Single Point of Contact is, they may call the appropriate EPA regional coordinator listed in the Information Contacts section, or call the EPA Headquarters Grant Policy Information and Training Branch at 202-564-5325. Federally-recognized Tribal governments are not required to comply with this procedure. Environmental impact information is not required for this program. This

program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Requests for general information may be made to EPA Headquarters or to the appropriate EPA regional pollution prevention program office listed in Appendix IV of this Catalog.

Under the P2 grant program, proposals and applications must be submitted to the appropriate EPA regional pollution prevention program office in order to be considered for an award.

Under the PPIN grant program, proposals and final applications must be submitted to EPA Headquarters in order to be considered for an award.

Applications must abide by EPA grant policy and programmatic requirements in order to be considered for funding.

Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

About 120 to 150 days.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title Pollution Prevention Act of 1990. The statutory formula for P2 and PPIN grants is authorized by the Pollution Prevention Act of 1990.

Matching Requirements: Organizations receiving grant funds through the P2 and PPIN grant programs are required to match federal funds by at least 50 percent. The federal government will provide half of the total allowable cost of the project, and the state will provide the other half. Thus, a federal grant of \$100,000 would support a total allowable project cost of \$200,000, with the state providing the remaining half equal to \$100,000. State contributions may include: dollars, in kind goods and services, and/or third party contributions. In a detailed budget, the use of the matching funds must be documented. The match requirement may be applied at the time of award or at specified intervals during the project period. The grant applicant must document in the itemized budget plan the type of match to be applied and how it will be used. The grant project officer in the Region or Headquarters will monitor the grant recipient's compliance. If the match requirement is not met or is not applied at specified intervals during the project period, federal funding will cease and the recipient will be held liable for all incurred costs.

With concern to P2 grants only: If a tribe or intertribal consortium is selected for an award and their workplan will be included in a performance partnership

grant (PPG), then the required tribal match will be reduced to 5% as it applies to the total allowable P2 project cost. This reduction in match will be applied to the first two years of the PPG. After two years, the EPA Regional Administrator is required to determine through objective assessment whether the tribe or intertribal consortium still meets the socioeconomic needs test to warrant keeping the cost share at 5 percent.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Initial assistance agreements are awarded for a project period of 3 years. Funds are released by a letter of credit. See the following for information on how assistance is awarded/released: Financial assistance under the P2 and PPIN grant programs is provided in the form of a grant or a cooperative agreement issued as a letter of credit by the federal government to the eligible grantee.

Reports:

No program reports are required. The P2 and PPIN grant programs require grantees to submit budget information to the grant project officer. The information should be included in the grantees' semi-annual progress report. Organizations that are awarded grants will be required to submit semi-annual progress reports during the life of the project to the grant project officer. The report should summarize funds expended, tasks accomplished, and results achieved to date. A final technical report is also required at the end of the grant/cooperative agreement. The final technical report should explain the prospect for continuation, further development of the pollution prevention effort, and offer a project evaluation. The P2 and PPIN grant programs require the grantee to submit semi-annual progress reports to the grant project officer. The report should explain the work executed under the project(s), whether or not the project's milestones were achieved, and the staff and budget plan for any remaining work. Expenditure reports are required at the end of the grant/cooperative agreement. The grantee should submit this information to the grant project officer when submitting their final technical report. If the grantee proposes work that involves gathering, measuring, or tracking environmental data, the grantee is required to report its environmental performance to EPA. The information should be provided in the grantees' semi-annual progress report and final technical report. The reports should be submitted to the grant project officer.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Financial records, including all documents to support entries on accounting records to substantiate charges to each grant, must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained for three years from the date of submission of the annual financial status report. If questions still remain, such as those raised as a result of an audit, related records should be retained until the matter is completely resolved.

Account Identification:

68-0103-0-1-304.

Obligations:

(Project Grants) FY 12 \$4,921,982; FY 13 est \$4,922,000; and FY 14 est \$4,922,000

Range and Average of Financial Assistance:

Range for P2 grants in FY 2012: \$27,128 - \$275,900; approximate average annual award in FY 2012: \$86,000.

In FY 2012 PPIN grants were not issued, however, grants from the previous year (FY 2011) were incrementally funded. The range of awards \$67,578 -

\$115,000; approximate average annual award in FY 2012: \$109,072.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: In FY 2012, EPA awarded 36 new P2 Grants totaling \$3,812,571. P2 Grants were made available to state institutions and federally-recognized tribes to develop projects to provide training and offer pollution prevention technical assistance. In FY 2012, EPA did not run a PPIN competition but did incrementally fund awards from the previous fiscal year. EPA awarded 8 incremental awards totaling \$872,578. PPIN Grants were issued to promote P2 information sharing and technical assistance for state and local technical assistance programs and facility-based P2 information users. Fiscal Year 2013: The P2 and PPIN grant program are in the process of making final grant selections. EPA anticipates awardees will be notified by the end of September 2013. The programs anticipate accomplishments will be similar to those attained in FY 2012. Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

40 CFR Parts 29, 30, 31, 32; and "EPA Assistance Administration Manual," available from the National Technical Information Services, Department of Commerce, Springfield, VA 22161 on a subscription basis, Order No.: NTIS UB/031.

Regional or Local Office:

See Regional Agency Offices. U.S. EPA Region 1 (CT, ME, MA, NH, RI, VT), Mail Code: OESO4-1,

5 Post Office Square, Suite 100, Boston, MA 02109-3912,

Contact: Lee Fiske, phone: (617) 918-1847; fax: (617) 918-1810; email: fiske.lee@epa.gov.

U.S. EPA Region 2 (NJ, NY, PR, U.S. Virgin Islands), Mail Code: SPMMB.290 Broadway 25th Floor, New York, NY 10007-1866, Contact: Alex Peck, phone: (212) 637-3758; fax: (212) 637-3771; email: peck.alex@epa.gov.

U.S. EPA Region 3 (DE, MD, PA, VA, WV, D.C.), Mail Code: 3E100, 1650 Arch Street, Philadelphia, PA 19103-2029, Contact: Mindee Lemoine, phone: (215) 814-2736; fax: (215) 814-3274; email: lemoine.mindy@epa.gov.

U.S. EPA Region 4 (AL, FL, GA, KY, MS, NC, SC, TN), Atlanta Federal Center, 61 Forsyth Street S.W., Atlanta, GA 30303, Contact: Pamela Swingle: phone: (404) 562-8482; fax: (404) 562-9066; email: swingle.pamela@epa.gov.

U.S. EPA Region 5 (IL, IN, MI, MN, OH, WI), Mail Code: DW-8J, 77 West Jackson Blvd. Chicago, IL 60604-3590; Contact: Christine Anderson, phone: (312) 886-9749; fax: (312) 353-4788; email: andersona.christine@epa.gov.

U.S. EPA Region 6 (AR, LA, NM, OK, TX), Mail Code: 6EN-XP, 1445 Ross Ave. Suite 1200, Dallas, TX 75202, David Bond, phone: (214) 665-6431; fax: (214) 665-7446; email: bond.david@epa.gov.

U.S. EPA Region 7 (IA, KS, MO, NE), Mail Code: ARTD/TSP, 901 N. 5th Street, Kansas City, KS 66101, Contact: Marcus Rivas, phone: (913) 551-7669; fax: (913) 551-7065; email: rivasmarcus@epa.gov.

U.S. EPA Region 8 (CO, MT, ND, SD, UT, WY), Mail Code: 8P-P3T, 999 18th Street, Suite 300, Denver, CO 80202-2466, Contact: Linda Walters, phone: (303) 312-6385; fax: (303) 312-6044; email: walters.linda@epa.gov.

U.S. EPA Region 9 (AZ, CA, HI, NV, and Pacific Islands and Tribal Nations subject to U.S. law), Mail Code: WST-7, 75 Hawthorne Street, San Francisco, CA 94105, Contact: Jessica Counts-Arnold, phone: 415-972-3288; fax: (415) 947-3530; email: counts-arnold.jessica@epa.gov.

U.S. EPA Region 10 (AK, ID, OR, WA), Mail Code: AWT-128, 1200 Sixth Avenue, Suite 900, Contact: Carolyn Gangmark, phone: (206) 553-4072; fax: (206) 553-8338; email: gangmark.carolyn@epa.gov.

Headquarters Office:

Michele Amhaz, Office of Pollution Prevention and Toxics Pollution Prevention Division, Environmental Protection Agency, 1200 Pennsylvania,

Ave., N.W., (MC 7409-M), Washington, District of Columbia 20460 Email: amhaz.michele@epa.gov Phone: (202) 564 8857.

Website Address:

<http://epa.gov/p2/pubs/grants/index.htm>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: The following are examples of P2 and PPIN grants awarded in FY 2012.

P2 grants

EPA grant: \$90,000

The grant recipient will provide green chemistry training to high school teachers in New York State in order to reduce the amount of hazardous and toxic chemicals that are purchased, used, stored and disposed of in school chemistry departments. The recipient will conduct chemical inventories and hygiene plans in schools and provide workshops for teachers on green chemistry lab training.

EPA grant: \$78,000

The grant recipient will support the state's Environmental Stewardship Initiative which encourages facilities to go beyond compliance and strive for continual improvement through implementing environmental management systems and expanding lean and green techniques.

EPA grant: \$97,021

The grant recipient will provide technical assistance and training to small and medium-sized manufacturers on source reduction techniques in Ohio. The recipient will also coordinate a steering committee to strengthen and promote P2 efforts within the state.

PPIN grants

EPA grant: \$115,000

The grant recipient will work with the subgrantee on the west coast collaborative for environmentally preferable purchasing which is a collective of State and local governments working together to improve their purchasing programs. They will support webinars on lean and environmental practices and promote pollution prevention (P2) practices and energy efficiency to help businesses decrease greenhouse gas emissions. Funding will also support the grant recipient's role as the regional P2 information center that provides support to State technical assistance programs and direct assistance to businesses requesting P2 information. Reviewers liked the strong focus on both regional and interregional activities, the excellent task descriptions, and descriptions of how customer feedback will be collected.

EPA grant: \$115,000

The grant recipient will provide workshops and training to businesses and technical assistance providers. The recipient will follow up with attendees to determine outcomes that are related to the training. There was a focus on collecting and publishing case studies that will illustrate the impact of P2 practices on businesses. Reviewers appreciated the clear description of tasks and how outcomes will be collected, as well as the training and support of lean and environmental practices. Fiscal Year 2013: As of this CFDA update, FY 2013 award selections have not been finalized under the P2 and PPIN grant programs. Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description are described in the competitive announcements of the P2 and PPIN grant programs.

66.714 REGIONAL AGRICULTURAL IPM GRANTS

(Regional Ag Grants)

FEDERAL AGENCY:

Office of Chemical Safety and Pollution Prevention, Environmental Protection Agency

AUTHORIZATION:

Federal Insecticide, Fungicide, and Rodenticide Act, Section 20.

OBJECTIVES:

The Objective is to support Integrated Pest Management (IPM) implementation and approaches that reduce the risks associated with pests & pesticide use in agriculture in the United States. This program is competed and the grants are awarded by Headquarters grant offices.

Funding Priority - Fiscal year 2013: The Regional Agricultural IPM Grants will support the implementation of Integrated Pest Management (IPM) approaches to reduce pesticide risk in agricultural settings in the United States. Projects must address the national pesticide program stewardship priorities related to pest management needs and IPM program implementation.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grants may be used to fund projects that further the implementation of Integrated Pest Management (IPM) in agriculture. The type of projects that will be considered include research, monitoring, demonstration, and related activities. Projects must include efforts to implement IPM practices which lead to pest and pesticide risk reduction. There are no discretionary funds available to fund projects.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy. Eligible applicants include the 50 States, the District of Columbia, the U.S. Virgin Islands, the Commonwealth of Puerto Rico, any Territory or Possession of the United States, any agency or instrumentality of a State including State Universities, and all Federally recognized Native American Tribes. Local governments, private universities, private nonprofit entities, private businesses, and individuals are not eligible. The organizations excluded from applying directly are encouraged to work with eligible applicants in developing proposals that include them as participants in the projects.

Beneficiary Eligibility:

Any State, U.S. Territory/Possession, Native American Organization, or other entities working in conjunction with these eligible applicants.

Credentials/Documentation:

The application should present a well thought out work plan with established infrastructure to execute the work plan. Key officials should have and document appropriate work experience or education to effectively carry out the proposed work plan or research. Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Grants are awarded on the basis of merit. The call for proposals is announced in Grants.gov and on the EPA's Office of Pesticide Programs' website and by other established mechanisms early in the fiscal year. The proposal format is specified in the call. Proposals not using the published format will not be considered. National criteria are established in the call. Once the grant process

is announced nationally, interested applicants are urged to contact the appropriate EPA Regional Office for detailed instructions. Proposals are submitted to an EPA Regional Office. All proposals received are rated using the published criteria and compete for funding against all acceptable proposals received. Consultation or assistance in filling out a project proposal is available from any of the EPA Regional Offices either by phone, mail, fax or electronic mail. Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Successful applicants will be notified and invited to complete and sign a Standard Form 424, (an application form) which will be supplied upon notification. Questions about this form will be answered by the Regional representative who contacts the applicant. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

About 60 days.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grants are awarded for a project period not to exceed 2 years. Method of awarding/releasing assistance: by letter of credit.

Reports:

Organizations that are awarded grant/cooperative agreement funds will be required to submit progress reports, as frequently as quarterly or at least annually, during the life of the project, to and as directed by the EPA Project Officer. Each report will summarize funds expended, tasks accomplished, and results achieved to date. A summary final report will also be required at the end of the project period. This final report should include a discussion of the prospects of continuation, further development of the effort, project evaluation and future direction. Grantees are required to submit program reports to their regional Project Officer. Grantees are required to submit financial reports to their regional Project Officer. Grantees are required to submit progress reports to their regional Project Officer. Grantees are required to submit expenditure reports. Grantees are required to perform performance monitoring as part of their requirements.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June

27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Financial records, including all documents to support entries on accounting records to substantiate charges to each grant must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained for 3 years from the date of submission of the annual financial status report. If questions still remain, such as those raised as a result of an audit, related records should be retained until the matter is completely resolved.

Account Identification:

68-0103-2-1-304.

Obligations:

(Project Grants) FY 12 \$249,920; FY 13 est \$506,000; and FY 14 est \$500,000

Range and Average of Financial Assistance:

\$100,000 to \$170,000. Average: \$150,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: In FY 2012, 5 projects were awarded. These projects supported Integrated Pest Management (IPM) efforts leading to pests and pesticide risk reduction in agricultural and non-agricultural settings. Selected projects involved control of corn earworm in Pennsylvania sweet corn production using IPM practices and biological control; mitigation of risk associated with insecticide use on vegetables in the southern Appalachian mountains; pollinator mapping mobile application: an approach to protect pollinators from pesticide drift; investigation of insecticide removal and aquatic health benefits of bioretention cells receiving nursery runoff; and pollinator protection in Colorado: facilitating a cooperative effort between growers, pesticide applicators and beekeepers. Fiscal Year 2013: In FY 2013, approximately 3 - 4 awards will be made. Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

40 CFR Parts 29, 30, 31, 32 and "EPA Assistance Administration Manual," available from the National Technical Information Services, Department of Commerce, Springfield, VA 22161 on a subscription basis, Order No.NTIS UB/031.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Cara Finn Biopesticides and Pollution Prevention Division (7511P)
Office of Pesticide Programs, Environmental Protection Agency
1200 Pennsylvania Ave. NW, , Washington, District of Columbia 20460 Email: finn.cara@epa.gov Phone: (703) 305-5659

Website Address:

<http://www.epa.gov/pestwise/grants>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: In FY 2012, 5 projects were awarded. These projects supported Integrated Pest Management (IPM) efforts leading to pests and pesticide risk reduction in agricultural and non-agricultural settings. Selected projects involved control of corn earworm in Pennsylvania sweet corn production using IPM practices and biological control; mitigation of risk associated with insecticide use on vegetables in the southern Appalachian mountains; pollinator mapping mobile application: an approach to protect pollinators from pesticide drift; investigation of insecticide removal and aquatic health benefits of bioretention cells receiving nursery runoff; and pollinator

protection in Colorado: facilitating a cooperative effort between growers, pesticide applicators and beekeepers. Fiscal Year 2013: In FY 2013, 3-5 awards will be made. Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement.

**66.716 RESEARCH, DEVELOPMENT, MONITORING, PUBLIC EDUCATION, TRAINING, DEMONSTRATIONS, AND STUDIES
FEDERAL AGENCY:**

Office of Chemical Safety and Pollution Prevention, Environmental Protection Agency

AUTHORIZATION:

Toxic Substances Control Act, Section 10, Public Law 106-74; National Environmental Policy Act, Section 102(2)(F); Federal Insecticide, Fungicide, and Rodenticide Act (FIFRA), Section 20 & 23; Toxic Substances Control Act, Section 10, Public Law 105-174.

OBJECTIVES:

Grants are awarded to support Research, Development, Monitoring, Public Education, Training, Aid, Demonstrations, and Studies assistance relating to the protection of public health and the environment from pesticides and potential risk from toxic substances.

Funding Priority - Fiscal Year 2013: Office of Pollution Prevention and Toxics (OPPT) FY 2013: Enhance capabilities of participants through increased knowledge of how to implement quality chemical risk management regulatory and pollution prevention programs, leading to better protection of human health and the environment. Health Communities and Ecosystems: International Capacity Building (reduce chemical and biological risks) as well as continue providing technical assistance, training, information exchange and other forms of cooperation to enhance the capabilities of governments and other stakeholders to protect human health and the environment regionally and globally.

Office of Pesticide Programs (OPP) FY 2013: Projects for safer use of pesticides, including worker protection, certification and training of pesticide applicators, protection of endangered species, tribal pesticide programs, integrated pest management, environmental stewardship, Pesticide Registration Renewal Act (PRIA 2) Partnership Grants; Pesticide Regulatory Education Program (PREP), and Integrated Pest Management in Schools Regional grants. Types of activities: develop and implement programs to reduce pesticide risks; analysis and development of pilot pesticide safety programs and materials; National train-the-trainer program to educate farmworkers on how to reduce risks from pesticides; continue the National Pesticide Information Center (NPIC); PREP education program for states and tribes; and implement verifiable integrated pest management in schools programs.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grants and cooperative agreements are available to support recipients' allowable direct costs incident to approved scopes of work plus allowable indirect costs, in accordance with established EPA policies and regulations. Funding awarded for research does not include research within the purview of EPA's Office of Research and Development.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

Assistance under this program is generally available to States, U.S. territories or possessions, federally recognized Indian tribal governments and Native American Organizations, public and private universities and colleges, hospitals, laboratories, other public or private nonprofit institutions, local governments, and individuals and international entities. For profit organizations are not eligible. Non-profit organizations described in Section 501(c)(4) of the Internal Revenue Code that engage in lobbying activities as defined in Section 3 of the Lobbying Disclosure Act of 1995 are not eligible to apply. EPA may also limit eligibility for certain competitive funding opportunities under this CFDA to a subset of eligible applicants and/or to tribes, Alaska native villages, and intertribal consortia located in the Region where a project is going to be performed. For Tribal funding opportunities to be funded with the State and Tribal Assistance Grant (STAG) appropriation, eligibility will be limited to States, Tribes, Alaska native villages, and intertribal consortia to support the Office of Prevention, Pesticides and Toxic Substances' Tribal Program. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy. Also, for certain competitive opportunities offices may limit eligibility to tribes in a region.

Beneficiary Eligibility:

State and local governments, U.S. territories and possessions, federally recognized Indian tribal governments and Native American Organizations, universities and colleges, hospitals, laboratories, other public and private nonprofit institutions, general public, and other Non-Governmental Organizations.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87, 2 CFR Part 225 for State and local governments and Indian Tribes, OMB Circular No. A-21, 2 CFR Part 220 for educational institutions, and OMB Circular No. A-122, 2 CFR Part 230 for nonprofit institutions. Applicants may be requested to demonstrate that they have appropriate background, academic training, experience in the field, and necessary equipment to carry out projects. EPA may ask applicants or principle investigators to provide curriculum vitae and relevant publications. Non-profits are required to demonstrate non-profit status. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

EPA awards grants and cooperative agreements supporting research, development, monitoring, public education, training, demonstrations, and studies based on competitive applications submitted in response to EPA solicitations, considering relevance and likelihood of success of proposed projects. When making competitive awards, EPA will specify the nature of the pre-application assistance, if any, that will be available to applicants in the Requests for Initial Proposals or Requests for Applications. For this reason, we recommend that potential applicants discuss proposed projects with or submit pre-applications to staff in the appropriate EPA technical program offices. See Appendix IV of the Catalog. Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. The standard application forms as furnished by the Federal agency and required by OMB Circular No. A-102 and A-110 must be used for this program. EPA requires final applications to be made on Standard Form 424. Application kits should be requested from and submitted to the Environmental Protection Agency, Grants and Interagency Agreements Management Division, 3903R, 1200 Pennsylvania Avenue, N. W., Washington, DC 20460 or the appropriate EPA Regional Office identified in Appendix IV of

the Catalog. Detailed information and assistance, including the application kit, required forms, and a check list may also be found at: <http://www.epa.gov/ogd/AppKit/>. For competitive awards, Requests for Initial Proposals or Requests for Applications will specify application procedures. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

For non-competitive awards, EPA will conduct an administrative evaluation to determine the adequacy of the application in relation to grant regulations and to technical and program evaluation to determine the merit and relevance of the project. The Agency will then advise the applicant if funding is being considered. A final work plan will then be negotiated with the applicant. For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 180 days.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

None. Generally, EPA incrementally funds grants and cooperative agreements for research, development, monitoring, public education, training, demonstrations and studies. Approval of subsequent funding increments is dependent on satisfactory project progress, continued relevance of the project to EPA's priorities, and availability of funds, and the Agency policy on the competitive grant process.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

EPA normally funds grants and cooperative agreements on a 12-month basis. However, EPA can negotiate the project period with each applicant based on project requirements. Funds are released by a letter of credit. See the following for information on how assistance is awarded/released: EPA normally funds grants and cooperative agreements on a 12 month basis. However, EPA can negotiate the project period with each applicant based on project requirements. Funds are released by a letter of credit.

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Agreements may require quarterly, interim, and final progress reports, and financial, equipment, and invention reports. Reporting requirements are also identified in the Grant Regulations, 40 CFR Parts 30 and Part 31. Under OPP, grantees are required to submit program reports. Under OPPT, grantees are required to submit program reports in accordance with Agency policy and the Monitoring and Reporting Program Performance requirements stated in Federal Grant Regulations 40 CFR Part 31. Under OPP, grantees are required to submit financial reports. Under OPPTS, grantees are required to submit financial reports in accordance with Agency policy and the Financial Reporting and Financial Management Systems requirements stated in Federal Grant Regulations 40 CFR Part 31. Under OPP, grantees are required to submit progress reports. Under OPPTS, grantees are required to submit progress reports in accordance with Agency policy and the Monitoring and Reporting Program Performance requirements stated in Federal Grant Regulations 40 CFR Part 31. Under OPP, grantees are required to submit expenditure reports. Under OPPTS, grantees are required to submit financial

reports in accordance with Agency policy and the Financial Reporting and Financial Management Systems requirements stated in Federal Grant Regulations 40 CFR Part 31. Under OPP, grantees are required to perform performance monitoring as part of their requirements. Under OPPTS, grantees are required to perform performance monitoring in accordance with Agency policy and requirements stated in Federal Grant Regulations 40 CFR Part 31.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

The record retention requirements of 40 CFR Parts 30 and 31 are applicable depending upon the identity of the recipient. Recipients must keep financial records, including all documents supporting entries on accounting records and to substantiate changes in grants available to personnel authorized to examine EPA recipients grants and cooperative agreements records. All records must be maintained until expiration of three years from the date of submission of the final expenditure report. If questions, such as those raised as a result of audits remain following the 3-year period, recipients must retain records until the matter is completely resolved.

Account Identification:

68-0107-2-1-304; 68-0108-0-1-304; 68-0103-2-1-304.

Obligations:

(Project Grants) FY 12 \$5,091,000; FY 13 est \$2,239,000; and FY 14 est \$2,350,000 - The Office of Pesticide Programs(OPP) - FY2012 \$4,714,000, FY2013 - est. \$2,000,000; and FY2014 est. \$2,000,000

The Office of Pollution Prevention and Toxics (OPPT) - FY2012- \$377,000; FY2013 -\$239,000; FY2014 - est. \$350,000.

Range and Average of Financial Assistance:

\$1,000 to \$1,500,000. Average: \$500,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: OPPT - No new awards were issued in FY12.

For 2012, OPP made 12 incremental funding actions to conduct projects to protect human health and the environment. Fiscal Year 2013: For FY 2013, OPP made 7 incremental funding actions to conduct projects to protect human health and the environment. Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Research, development, monitoring, public education, training, demonstrations and studies grants and cooperative agreements are subject to EPA's General Grant Regulations (40 CFR Part 30 and 40 CFR Part 31). Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments and Indian Tribes, OMB Circular No. A-21 for educational institutions and OMB Circular No. A-122 for non-profit institutions.

Regional or Local Office:

See Regional Agency Offices. EPA encourages potential applicants to communicate with the appropriate EPA Regional Office listed in Appendix IV of the Catalog, and the Headquarters program contacts listed below.

Headquarters Office:

Sharon Clark, USEPA Headquarters
Ariel Rios Building
1200 Pennsylvania Avenue, N. W.
Mail Code: 7401M
, Washington, District of Columbia 20460 Email: clark.sharon@epa.gov Phone: (202) 564- 3810.

Website Address:

<http://www.epa.gov/oppts.html>

RELATED PROGRAMS:

66.034 Surveys, Studies, Research, Investigations, Demonstrations, and Special Purpose Activities Relating to the Clean Air Act; 66.424 Surveys, Studies, Investigations, Demonstrations, and Training Grants - Section 1442 of the Safe Drinking Water Act; 66.436 Surveys, Studies, Investigations, Demonstrations, and Training Grants and Cooperative Agreements - Section 104(b)(3) of the Clean Water Act; 66.510 Surveys, Studies, Investigations and Special Purpose Grants within the Office of Research and Development; 66.610 Surveys, Studies, Investigations and Special Purpose Grants within the Office of the Administrator

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Office of Pollution Prevention and Toxics: Development of national mercury product and emission inventory, Conducting situation analysis and capacity assessment (in support of mercury inventory development), Conducting awareness-raising and skills-building workshops for mercury, Drafting mercury risk management plan, Performing facility assessment/audit of mercury-containing equipment and products, and Conducting awareness-raising and skills-building workshops for nanotechnology and nanomaterials. Continue to set-up interim steering committee and face-to-face meetings to facilitate information exchange and get feedback on programs. Also continue to focus on multiple program areas including work on industrial chemicals, pesticides, biocides, biotechnology and nanotechnology. The program worked to ensure high quality chemical testing and evaluation, to increase the efficiency and effectiveness of chemical management, and to minimize non-tariff barriers to trade in chemicals and products containing chemicals.

Office of pesticide Programs (OPP): Develop and provide educational tools and programs for the certification and recertification of restricted use of pesticide (RUP) applicators; develop and implement programs to reduce risks; develop pesticide safety programs and materials; provide a toll-free number that serves the U.S., Puerto Rico, and the Virgin Islands seeing impartial information about pesticides; Pesticide Regulatory Education Program (PREP), Pesticide Registration Renewal Act (PRIA 2) Partnership Grants; Integrated pest Management (IPM) in Schools program; and support the Tribal Pesticide Program Council (TPPC). Fiscal Year 2013: Office of pesticide Programs (OPP): Develop and provide educational tools and programs for the certification and recertification of restricted use of pesticide (RUP) applicators; develop and implement programs to reduce risks; develop pesticide safety programs and materials; provide a toll-free number that serves the U.S., Puerto Rico, and the Virgin Islands seeing impartial information about pesticides; Pesticide Regulatory Education Program (PREP), Pesticide Registration Renewal Act (PRIA 2) Partnership Grants; and Integrated pest Management (IPM) in Schools program.

Office of Pollution Prevention & Toxics (OPPT): Conclusions on 4 on-site evaluation visits to GLP Monitoring Programmes held in 2011 and 2012, and an onsite evaluation to one country in the framework of MAD adherence; Arrangements made for course for GLP inspectors carrying out study audits; six on-site GLP evaluations; preliminary review of 115 test guidelines to see if they are suitable for nanomaterials; updated Preliminary Guidance Notes on Sample Preparation and Dosimetry for the Safety Testing of Manufactured Nanomaterials; technical briefing on PFCS to ICCMS 3; Mandate extended under SAICM for further work. Continue to set-up interim steering committee and face-to-face meetings to facilitate information exchange and get feedback on programs. Also continue to focus on multiple program areas including work on industrial chemicals, pesticides, biocides, biotechnology and nanotechnology. Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement.

**66.717 SOURCE REDUCTION ASSISTANCE
FEDERAL AGENCY:**

Office of Chemical Safety and Pollution Prevention, Environmental Protection

Agency

AUTHORIZATION:

Clean Water Act, Section 104(b)(3); Clean Air Act, Section 103(b); Solid Waste Disposal Act, Section 8001(a); Toxic Substances Control Act, Section 10; Federal Insecticide, Fungicide, and Rodenticide Act, Section 20; Safe Drinking Water Act, Section 1442(a)(1)&(c).

OBJECTIVES:

Under this CFDA announcement, EPA administers two grant programs - the Source Reduction Assistance (SRA) grant program and the Regional Pollution Prevention Information Network Centers (RP2INC) grant program. The goal of the SRA program is to provide grants to support pollution prevention (P2), source reduction and/or resource conservation activities. The goal of the RP2INC program is to fund an organization which will serve as the national coordinator of the Regional P2 Resource Exchange Centers. The national coordinator will provide leadership in: framing national P2 initiatives, strengthening collaboration among the regional centers, evaluating P2 information, tools and products, and marketing the centers' services nationally.

For purposes of the grant programs, P2 is defined as any practice which reduces or eliminates the creation of pollutants through: increased efficiency in the use of raw materials, energy, water, or other resources, or protection of natural resources by conservation activities. EPA is interested in funding projects which assist in reducing hazardous substances, pollutants, or contaminants entering waste streams or otherwise released into the environment (including fugitive emissions) prior to recycling, treatment, or disposal. Grant activities may involve, but are not limited to the following: equipment or technology modifications, process or procedure modifications, reformulation or redesign of products, substitution of raw materials, improvements in housekeeping, maintenance, training, inventory control, etc.

Funding Priority - Fiscal Year 2013: To support source reduction, pollution prevention and resource conservation activities that comply with the statutory authorities of the Clean Air Act, Section 103(b), as amended; Clean Water Act, Section 104(b)(3), as amended; Federal Insecticide, Fungicide, and Rodenticide Act, Section 20, as amended; Safe Drinking Water Act, Section 1442 (a)(1) and (c), as amended; Solid Waste Disposal Act, Section 8001(a), as amended; and the Toxic Substances Control Act, Section 10, as amended.

Projects must consist of activities within the statutory terms of these EPA grant authorities. The statutes authorize grants for the following activities: "research, investigations, experiments, education/training, demonstrations of new or innovative techniques, surveys and studies." These activities relate generally to the gathering or transferring of information or advancing awareness. Applicants should emphasize this "learning" concept in their proposals. All activities in the proposal must be eligible under one or more of the statutory authorities.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

EPA is interested in supporting P2, source reduction and resource conservation projects that will provide an overall benefit to the environment by preventing pollutants at the source. Source reduction projects can include but are not limited to: 1) improving facility and institutional operations that reduce pollutant use and exposure, 2) reformulating and procuring products to reduce toxic constituents, 3) providing direct technical assistance to businesses and other organizations, 4) encouraging green product design and manufacturing, 5) conducting outreach, 6) collecting and analyzing P2 data, and 7) integrating P2 concepts into state, regional and tribal environmental multimedia programs. Grant activities must be consistent with the grant guidelines of the statutory authorities listed for this program. The statutory authorities are listed in this CFDA announcement and in the applicable grant solicitations. The statutes authorize EPA to award assistance agreements to support the following activities: "research, investigations, experiments, education/training, demonstrations of new or innovative techniques, surveys and studies." These activities relate generally to the gathering or transferring of information or advancing knowledge. Grant proposals should emphasize this "learning" concept, as opposed to "fixing" an environmental problem using a well-established method. For example, a proposal to install a more energy

efficient heating system in the applicant's facility in order to conserve energy, would not, in itself fall within research, studies, demonstrations and would be viewed as a well-established solution not eligible for grant funding. All activities in the applicant's proposal must be eligible under one or more of the statutory authorities listed.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy. Assistance under this program is available to the fifty States, the District of Columbia, the United States Virgin Islands, the Commonwealth of Puerto Rico, any territory or possession of the United States, local governments, city or township governments, independent school district governments, state controlled institutions of higher education, federally-recognized tribal governments, non-profits other than institutions of higher education, private institutions of higher education, and community-based grassroots organizations. Nonprofit organizations must be able to demonstrate that they are eligible through documentation of nonprofit status provided the U.S. Internal Revenue Service or their state of incorporation. However, nonprofit organizations described in Section 501(c)(4) of the Internal Revenue Code that engage in lobbying activities as defined in Section 3 of the Lobbying Disclosure Act of 1995 are not eligible to apply.

Beneficiary Eligibility:

Any state, federally-recognized tribal government, intertribal consortia, college/university, non-profit organization, local government or independent school district.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A 87 for State and local governments and federally recognized tribal governments, OMB Circular No. A 21 for educational institutions, OMB Circular No. A 122 for nonprofit institutions. Applicants may be requested to demonstrate they have appropriate background, academic training, experience in the field, and necessary equipment to carry out projects. EPA may ask applicants or principal investigators to provide curriculum vitae and relevant publications. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. Standard grant forms are required, as provided by the EPA and must be used for both grant programs. Forms may be obtained via the Internet by visiting: <http://www.epa.gov/ogd/AppKit/application.htm>.

Please consult the SRA and RPPINC program notices, posted to EPA's Pollution Prevention Grant web site at <http://www.epa.gov/p2/pubs/grants> for more information. If the applicant does not know who their Single Point of Contact is, they should contact the appropriate EPA Regional Pollution Prevention Program office listed in the Information Contacts section, or call the EPA Headquarters Grant Policy Information and Training Branch at 202-564-5325. Federally-recognized Tribal governments are not required to comply with this procedure. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. This CFDA program description is designed to benefit national and regional source reduction, pollution prevention, and resource conservation activities. Grant proposals must meet the requirements outlined in the national and regional Source Reduction Assistance solicitation notices. EPA will conduct the grant competition by posting a Request for Proposals (RFP) on-line. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements. Source Reduction Assistance grants and cooperative agreements are subject to the availability of funds. EPA will issue awards contingent upon the criteria specified in this CFDA program description and within the corresponding solicitations. EPA reserves the right to reject any or all proposals and issue no awards. Procedures for awards are outlined in the General Grant Regulation 40 CFR Part 30.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: EPA requires a minimum 5% match of the total allowable project cost. For example, the Federal government will provide 95% of the total allowable cost of the project and the recipient will provide the remaining 5%. The match may be issued in the form of cash and/or in-kind contributions, e.g., donated services, charges for real property and equipment or the value of goods and services directly benefiting the EPA funded project. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Assistance agreements are awarded for an initial project period of 2 years. See the following for information on how assistance is awarded/released: Grant and cooperative agreement funds issued under the SRA and RPPINC grant programs are released by letter of credit to the eligible grant recipient.

Reports:

No program reports are required. Budget information should be submitted to the grant project officer. This information should be included in the semi-annual progress report and the final technical report. Uniform reporting requirements for institutions of higher education and other non-profit organizations. The recipient and the Grant Project Officer will develop a process for jointly evaluating and reporting progress and accomplishments under the work plan. The work plans and reporting must be consistent with the requirements of 40 CFR part 30, subpart C. A description of the evaluation process and a reporting schedule must be included in the work plan (see sections 30.51 through 30.53). The reporting schedule requires the recipient to submit, at a minimum, annual progress reports, during the life of the project, to the Grant Project Officer. Recipients should provide a discussion of accomplishments as measured against work plan commitments; a discussion of cumulative effectiveness of the work performed under all work plan components; a discussion of existing and potential problem areas; and suggestions for improvement, including where feasible, schedules for making improvements. If evaluation reveals the recipient

has not made sufficient progress under the work plan, the Grant Project Officer and the recipient will negotiate a resolution. The recipient may request a review of the Grant Project Officer's decision under the dispute resolution process under (40 CFR 30.63).

Uniform reporting requirement for state, local and tribal governments. The recipient and the Grant Project Officer will develop a process for jointly evaluating and reporting progress and accomplishments under the work plan. The work plans and reporting must be consistent with the requirements of 40 CFR 31, subpart C. A description of the evaluation process and a reporting schedule must be included in the work plan (see sections 31.40 through 30.42). The reporting schedule requires the recipient to submit, at a minimum, annual progress reports, during the life of the project, to the Grant Project Officer. Recipients should provide a discussion of accomplishments as measured against work plan commitments; a discussion of cumulative effectiveness of the work performed under all work plan components; a discussion of existing and potential problem areas; and suggestions for improvement, including where feasible, schedules for making improvements. If evaluation reveals the recipient has not made sufficient progress under the work plan, the Grant Project Officer and the recipient will negotiate a resolution that addresses the issues. If issues cannot be resolved through negotiation, the Grant Project Officer may take appropriate action (see sections 31.43 through 31.44.) The recipient may request a review of the Grant Project Officer's decision under the dispute resolution process under 40 CFR, Part 31, subpart F.

Semi-annual progress reports are required. Grantees should explain the work executed to date, provide environmental performance data, if available, describe the future direction of the project and describe staff and budget plans. Expenditure information should be included in the semi-annual progress report and the final technical report. Performance monitoring is required if the grantee produces environmental data. In the semi-annual progress report and in the final technical report the grantee should explain how environmental data was gathered, measured, tracked and documented.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Financial records, including all documents to support entries on accounting records to substantiate charges to each assistance agreement, must be kept available to personnel authorized to examine EPA assistance agreement accounts. All records must be maintained for three years from the date of submission of the annual financial status report. If questions still remain, such as those raised as a result of an audit, related records should be retained until the matter is completely resolved.

Account Identification:

68-0108-0-1-304.

Obligations:

(Project Grants) FY 12 \$1,048,323; FY 13 est \$1,118,000; and FY 14 est \$1,118,000 - SRA program: FY 2012: \$1,048,323; FY 2013: estimated obligations \$1,118,000; FY 2014: estimated obligations \$1,118,000.

RP2INC program: FY 2012: grant funding was not awarded.

Range and Average of Financial Assistance:

SRA award range in FY 2012: \$15,000 - \$111,300. Approximate average award amount: \$68,000.

RP2INC did not issue any awards in FY 2012.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: The SRA program awarded 17 grants totaling \$1,048,323 in FY 2012. Similar results are anticipated for FY 2013.

The RP2INC program did not issue any awards in FY 2012. Fiscal Year 2013: As of this CFDA update, SRA FY 2013 awards are being selected.

The RP2INC program did not run a competition in FY 2013. Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

40 CFR Parts 29, 30, 31, 32; and "EPA Assistance Administration Manual," are available from the National Technical Information Services, Department of Commerce, Springfield, VA 22161 on a subscription basis, Order No.: NTIS UB/031.

Regional or Local Office:

See Regional Agency Offices. RP2INC grant program

U.S. EPA HQ - Mail Code 7409M: 1200 Pennsylvania Ave, NW,

Washington, DC, 20460; Contact: Beth Anderson, phone: (202)564-8833; fax: (202)564-8901; email: anderson.beth@epa.gov

SRA grant program

U.S. EPA Region 1 (CT, ME, MA, NH, RI, VT), Mail Code: OES04-1

5 Post Office Square, Suite 100, Boston, MA 02109-3912; Contact: Lee Fiske, phone: (617) 918-1847; fax: (617) 918-1810; email: fiske.lee@epa.gov.

U.S. EPA Region 2 (NJ, NY, PR, U.S. Virgin Islands), Mail Code: SPMMB,290 Broadway 25th Floor, New York, NY 10007-1866; Contact: Alex Peck, phone: (212) 637-3758; fax: (212) 637-3771; email: peck.alex@epa.gov.

U.S. EPA Region 3 (DE, MD, PA, VA, WV, D.C.), Mail Code: 3EA40, 1650 Arch Street, Philadelphia, PA 19103-2029; Contact: Mindy Lemoine, phone: (215) 814-2736; fax: (215) 814-3274; email: lemoine.mindy@epa.gov.

U.S. EPA Region 4 (AL, FL, GA, KY, MS, NC, SC, TN), Atlanta Federal Center, 61 Forsyth Street S.W. Atlanta, GA 30303; Contact: Pamela Swingle: phone: (404) 562-8482; fax: (404) 562-9066; email: swingle.pamela@epa.gov.

U.S. EPA Region 5 (IL, IN, MI, MN, OH, WI), Mail Code: DW-8J, 77 West Jackson Blvd. Chicago, IL 60604-3590; Contact: Christine Anderson, phone: (312) 866-9749; fax: (312) 353-4788; email: andersona.christine@epa.gov.

U.S. EPA Region 6 (AR, LA, NM, OK, TX), Mail Code: 6EN-XP, 1445 Ross Ave. Suite 1200, Dallas, TX 75202; Contact: Annette Smith, phone: (214) 665-2127; fax: (214) 665-7446; email: smith.annette@epa.gov.

U.S. EPA Region 7 (IA, KS, MO, NE), Mail Code: ARTD/TSPP, 901 N. 5th Street, Kansas City, KS 66101; Contact: Marcus Rivas, phone: (913) 551-7669; fax: (913) 551-7065; email: rivasmarcus@epa.gov.

U.S. EPA Region 8 (CO, MT, ND, SD, UT, WY), Mail Code: 8P-P3T, 999 18th Street, Suite 300, Denver, CO 80202-2466; Contact: Linda Walters, phone: (303) 312-6385; fax: (303) 312-6044; email: walters.linda@epa.gov.

U.S. EPA Region 9 (AZ, CA, HI, NV, and Pacific Islands and Tribal Nations subject to U.S. law), Mail Code: WST-7, 75 Hawthorne Street, San Francisco, CA 94105; Contact: Jessica Counts-Arnold, phone: 415-972-3288; fax: (415) 947-3530; email: counts-arnold.jessica@epa.gov.

U.S. EPA Region 10 (AK, ID, OR, WA), Mail Code: AWT-128, 1200 Sixth Avenue, Suite 900, Seattle, WA 98101; Contact: Carolyn Gangmark, phone: (206) 553-4072; fax: (206) 553-8338; email: gangmark.carolyn@epa.gov.

Headquarters Office:

Michele Amhaz, Office of Pollution Prevention and Toxics, Pollution

Prevention Division, Environmental Protection Agency, 1200 Pennsylvania, Ave., N.W., (7409-M), Washington, District of Columbia 20460 Email: amhaz.michele@epa.gov Phone: (202) 564-8857.

Website Address:

<http://www.epa.gov/p2/pubs/grants/index.htm>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: EPA grant: \$15,000

The grant recipient will develop a sustainability plan which can be used by Rhode Island communities for meetings, events, trade shows and conferences. Training on the plan will be conducted for local government, businesses and event planners, and a case study will be developed after implementing it. The plan will seek to integrate the sustainability efforts of the community, local businesses and event planners with sector-based-P2 efforts.

EPA grant: \$74,655

The recipient will work to improve operation of the shale gas drilling supply chains within Philadelphia. The recipient will conduct 10 simplified assessment and three in-depth assessments, along with providing implementation support and training.

EPA grant: \$52,056

The grant recipient will identify and implement source reduction in five facilities in DuPage County. The recipient will conduct Economy, Energy and Environment (E3) site assessments (including lean and green and energy efficiency) and provide technical assistance in establishing baselines, goals, and implementing results.

EPA grant: \$74,500

The grant recipient will field test low volatile organic compounds (VOC), low toxicity graffiti paint removal chemicals and methods (e.g., CO2 pellets) and anti graffiti paint. Field tests will occur in Southern California working with South California Edison and in North California working with the City of San Francisco.

EPA grant: \$111,300

The grant recipient will provide regional P2 technical assistance, information products and training to support the state's Northwest Technical Assistance Provider Network and regional green chemistry work. The recipient will also launch Economy, Energy and Environment E3 projects; green sports initiatives and conduct eco-certifications. Fiscal Year 2013: As of this CFDA update, FY 2013 SRA award selections are being finalized. Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement.

66.801 HAZARDOUS WASTE MANAGEMENT STATE PROGRAM SUPPORT**FEDERAL AGENCY:**

Office of Solid Waste and Emergency Response, Environmental Protection Agency

AUTHORIZATION:

Solid Waste Disposal Act, Section 3011(a)&(c), Public Law 94-580, 42 U.S.C 6901.

OBJECTIVES:

To assist State governments in the development and implementation of an authorized hazardous waste management program for the purpose of controlling the generation, transportation, treatment, storage and disposal of hazardous

wastes.

Funding Priority - Fiscal Year 2013: Assistance to State governments in the development and implementation of an authorized hazardous waste management program for the purpose of controlling the generation, transportation, treatment, storage and disposal of hazardous waste.

TYPES OF ASSISTANCE:

Formula Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

Under Section 3011, funds may be used for development and implementation of authorized State hazardous waste programs.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

State agencies responsible for hazardous waste management within the 50 States, the District of Columbia, the Commonwealth of Puerto Rico, the Virgin Islands, Guam, American Samoa, the Commonwealth of the Northern Mariana Islands, and interstate agencies established by the appropriate states and approved by the EPA Administrator under Section 1005 of the Solid Waste Disposal Act are eligible. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to a particular subset of eligible applicants consistent with the Agency's competition policy. .

Beneficiary Eligibility:

State agencies responsible for hazardous waste management within the 50 States, the District of Columbia, the Commonwealth of Puerto Rico, the Virgin Islands, Guam, American Samoa, the Commonwealth of the Northern Mariana Islands, and interstate agencies established by the appropriate states and approved by the EPA Administrator under Section 1005 of the Solid Waste Disposal Act.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments. The State work plan is to be sent to the appropriate EPA Regional Office by the date 60 days before the beginning of the budget period (typically by August 1 of each year, if the budget period is the Federal fiscal year). OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

The standard application forms as furnished by the Federal agency and required by OMB Circular No. A-102 must be used for this program. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Requests for application forms and completed applications must be submitted to the appropriate EPA Regional Grants Administration Office. The hazardous waste management staff of the appropriate EPA Regional Office (listed in the address appendix of the Catalog) is available to assist in preparation of the application. This program is excluded from coverage under OMB Circular No. A 110. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

EPA reviews each application. They shall be subjected to administrative evaluation to determine adequacy of the application in relation to grant regulations and to technical and program evaluation to determine the merit and

relevancy of the project or program. For competitive awards, EPA will review applications, proposals or submissions in accordance with the terms, conditions, and criteria in the solicitation/announcement of the competitive funding opportunity. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

For applicants under Section 3011, 60 days.

Appeals:

As described in 40 CFR Part 31, Subpart F. Disputes will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

For applicants under Section 3011, grants and cooperative agreements are renewed annually, provided all requirements are met.

Formula and Matching Requirements:

Statutory Formula: Title Solid Waste Disposal Act. This program operates under a formula. Statistical factors used for allocation include: 1) State population, as determined by the most recent Census; 2) number of hazardous waste management facilities in States, based on studies conducted by EPA, Office of Resource Conservation and Recovery; 3) number of Large Quantity Generators (LQGs), based on studies conducted by EPA, Office of Resource Conservation and Recovery; and 4) the number of facilities requiring corrective action, based on studies conducted by EPA, Office of Solid Waste. By law, all States and trust territories are eligible for funding. The formula is based on each State's population (17 percent); (LQG) number of facilities proceeding amount of waste produced (16 percent); number of hazardous waste management facilities (52 percent); and the number of facilities requiring corrective action (15 percent).

Matching Requirements: Maximum Federal share of approved costs is 75 percent; State must provide at least 25 percent of approved costs. (Regional Administrators will determine each State allotment.).

This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

Grants are normally funded on a 12 month basis (Federal or State fiscal year). The total project period varies according to program requirements. Assistance is awarded by advance payment method. Method of awarding/releasing assistance: lump sum.

Reports:

Program reports are required on an annual basis. Cash reports are required. Interim and final progress reports are required. No expenditure reports are required. Performance monitoring is conducted.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Financial reports, including all documents to support entries on the accounting records and to substantiate charges to each grant or cooperative agreement must be kept available to personnel authorized to examine EPA grant accounts in an institution. All records must be maintained for 3 years from the date of the submission of the annual financial status report. If questions still remain, such as those raised as a result of audit, related records should be retained until the matter is completely resolved.

Account Identification:

68-0103-0-1-304.

Obligations:

(Formula Grants (Cooperative Agreements)) FY 12 \$102,974,000; FY 13 est \$97,725,000; and FY 14 est \$102,974,000

Range and Average of Financial Assistance:

Most fiscal years range \$250,000 to \$8,500,000; average: \$2,100,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: In fiscal year 2012, States continued to develop and implement programs providing cradle to grave control of hazardous wastes (under Section 3011). Fiscal Year 2013: In fiscal year 2013, States continued to develop and implement programs providing cradle to grave control of hazardous wastes (under Section 3011). Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

General Grant Regulations and Procedures, Environmental Protection Agency, 40 CFR Part 31; State and Local Assistance, EPA, 40 CFR Part 35; "EPA Assistance Administration Manual," available from the National Technical Information Service, Springfield, VA 22161 on a subscription basis.

Regional or Local Office:

See Regional Agency Offices. State agencies are encouraged to communicate with the appropriate EPA Regional Administrator listed in Appendix IV of the Catalog.

Headquarters Office:

Wayne Roepe, USEPA Headquarters
Ariel Rios Building
1200 Pennsylvania Avenue, N. W.
Mail Code: 5303P
, Washington, District of Columbia 20460 Email: roepe.wayne@epa.gov
Phone: (703) 308-8630.

Website Address:

<http://www.epa.gov/epawaste/>

RELATED PROGRAMS:

66.600 Environmental Protection Consolidated Grants for the Insular Areas - Program Support

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: State projects to develop a hazardous waste program designed to meet the substantive and procedural requirements of an "authorized" program. (Section 3006). Specific types of activities conducted by states include 1) issuance of hazardous waste management permits, 2) oversight of corrective action at hazardous waste management facilities, 3) inspections of hazardous waste management facilities, 4) inspections of hazardous waste generators, and 5) waste minimization activities. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The applicant's annual work program provides the basis for determining whether the applicant's hazardous waste program continues to be eligible for approval and is being implemented by the applicant (Section 3011).

66.802 SUPERFUND STATE, POLITICAL SUBDIVISION, AND INDIAN TRIBE SITE-SPECIFIC COOPERATIVE AGREEMENTS

FEDERAL AGENCY:

Office of Solid Waste and Emergency Response, Environmental Protection Agency

AUTHORIZATION:

Comprehensive Environmental Response, Compensation, and Liability Act (CERCLA) of 1980, Section 104(d), 42 U.S.C 9604(d).

OBJECTIVES:

To (1) conduct site characterization activities at potential or confirmed hazardous waste sites; (2) undertake response planning and implementation actions at sites on the National Priorities List (NPL) to clean up the hazardous waste sites that are found to pose hazards to human health; and (3) effectively implement the statutory requirements of CERCLA 121(f) which mandates

substantial and meaningful State involvement.

Funding Priority - Fiscal Year 2013: Funding must be used at specific hazardous waste sites to: (1) conduct non time critical removal actions; (2) perform site characterization activities such as preliminary assessments, site inspections, remedial investigations, feasibility studies, and remedial design activities at potential or confirmed hazardous waste sites; (3) conduct remedial actions (i.e., clean up) at uncontrolled hazardous waste sites as listed on the National Priorities List (40 CFR 300); (4) support CERCLA implementation activities; (5) identify Potentially Responsible Parties (PRPs); (6) conduct settlement negotiations; (7) take enforcement actions against PRPs; and (8) oversee PRP cleanups.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funding may be used to: (1) conduct non time critical removal actions; (2) perform site characterization activities such as preliminary assessments, site inspections, remedial investigations, feasibility studies, and remedial design activities at potential or confirmed hazardous waste sites; (3) conduct remedial actions (i.e., clean up) at uncontrolled hazardous waste sites listed on the National Priorities List (40 CFR 300); (4) support CERCLA implementation activities; (5) identify Potentially Responsible Parties (PRPs); (6) conduct settlement negotiations; (7) take enforcement actions against PRPs; and, (8) oversee PRP cleanups. Funding may not be used to conduct tasks or activities not authorized by CERCLA. Funds may not be used for non-site-specific Core Program activities (see 66.809). Funds made available by the Recovery Act are prohibited from uses relating to casinos and other gambling establishments, aquariums, zoos, golf courses, or swimming pools. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

States (and political subdivisions thereof), Commonwealths, U.S. Territories and Possessions, and Federally Recognized Indian Tribal Governments, including intertribal consortia.

Beneficiary Eligibility:

States (and political subdivisions thereof), Commonwealths, U.S. Territories and Possessions, and Federally Recognized Indian Tribal Governments, including intertribal consortia.

Credentials/Documentation:

Costs will be determined in accordance with 40 CFR 35, Subpart O and OMB Circular No. A 87, "Cost Principles for State, Local, and Indian Tribal Governments," and other supporting documentation provided by the Agency. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

The standard application forms as furnished by the Federal agency and required by OMB Circular No. A-102 must be used for this program. Consultation and preapplication conference(s) are recommended. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded

from coverage under OMB Circular No. A-110. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

EPA regional Superfund program offices will conduct an administrative evaluation to determine the adequacy of the application in relation to grant regulations and technical and program evaluation to determine the merit and relevance of the project. The Agency will then advise the applicant if funding is being considered. A final work plan will then be negotiated with the applicant. Final approval of application and supporting documentation and offer of award is made by the EPA Regional Administrator unless redelegated to the Regional Division Director.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Approximately ninety (90) days.

Appeals:

Disputes will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

Extensions are available but not guaranteed. The original cooperative agreement is amended by using EPA Standard Form 424 and submitting it to the Regional Administrator.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: None required for pre NPL activities or for remedial planning at any site if the site was privately owned and operated at the time of disposal of hazardous wastes. Matching Requirements: 10 percent State, 90 percent Federal, for remedial action if the site was privately owned and operated at the time of disposal of hazardous wastes. Minimum 50 percent State, 50 percent Federal, of all response costs if the site was State/locally operated at time of any disposal of hazardous waste (Note: percentage may vary). CERCLA section 104(c)(3). Tribal governments are not required to share in the costs of Superfund actions. This program has no statutory formula.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Funds awarded for duration of project, subject to time constraints imposed by EPA. Money is released on a draw down or a letter of credit basis. See the following for information on how assistance is awarded/released: In order to receive payment by the letter of credit method, the recipient must comply with the requirements regarding letter of credit described in 40 CFR 31.20 (b)(7) and 31.21(b). The recipient must identify and charge costs to specific sites, activities, and operable units, as applicable, for drawdown purposes as specified in the Cooperative Agreement. If the recipient is unable to meet letter of credit requirements, EPA will pay the recipient by reimbursement. The recipient must comply with the requirements regarding reimbursement described in 40 CFR 31.21(d).

Reports:

Progress reports, notification of significant developments, property inventory reports, procurement reports, financial reports, and final reports are required pursuant to 40 CFR 35, Subpart O. Grantees are required to submit financial reports in accordance with Agency policy and the Financial Reporting and Financial Management Systems requirements stated in Federal Grant Regulations 40 CFR Part 31 and OMB Circular No.A-102. Progress reports are required. Financial status reports are required. Performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United

States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

The record retention requirements of 40 CFR Part 31 and Part 35, Subpart O are applicable. Recipients must keep financial records, including all documents supporting entries on accounting records and to substantiate changes in grants, available to personnel authorized to examine EPA recipients grants and cooperative agreements records. The recipient must maintain all records for 10 years following submission of the final Financial Status Report unless otherwise directed by the EPA award official, and must obtain written approval from the EPA award official before destroying any records. If any litigation, claim, negotiation, audit, cost recovery, or other action involving the records has been started before the expiration of the ten year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular ten year period, whichever is later.

Account Identification:

68-8145-0-1-304.

Obligations:

(Cooperative Agreements) FY 12 \$49,000,000; FY 13 est \$40,000,000; and FY 14 est \$40,000,000

Range and Average of Financial Assistance:

Range: \$44 to \$3,087,967; Average: \$229,920.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: In fiscal year 2012, all 10 EPA Regional Offices awarded or continued to fund cooperative agreements to lead cleanup actions, or to support EPA-lead cleanup actions, at hazardous waste sites. Cooperative agreements were awarded to lead the evaluation of newly discovered sites, to assess and investigate sites that have been identified as needing further action, to select, in partnership with EPA, the appropriate technologies and cleanup actions for these sites, to design the selected technologies and cleanup actions, and to construct the designed remedy. Funding was used to start or continue long term remedial actions to treat ground water where remediation goals have not yet been reached. Finally, funding was provided to meaningfully and substantially participate in cleanup actions where EPA led the cleanup. Fiscal Year 2013: In fiscal year 2013, all 10 EPA Regional Offices awarded or continued to fund cooperative agreements to lead cleanup actions, or to support EPA-lead cleanup actions, at hazardous waste sites. Cooperative agreements were awarded to lead the evaluation of newly discovered sites, to assess and investigate sites that have been identified as needing further action, to select, in partnership with EPA, the appropriate technologies and cleanup actions for these sites, to design the selected technologies and cleanup actions, and to construct the designed remedy. Funding was used to start or continue long term remedial actions to treat ground water where remediation goals have not yet been reached. Finally, funding was provided to meaningfully and substantially participate in cleanup actions where EPA led the cleanup. Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

40 CFR 30; 40 CFR 31; 40 CFR 35, Subpart O; 25 CFR 20; and Program Guidance Documents.

Regional or Local Office:

See Regional Agency Offices. Regional Administrators: See Appendix IV of the Catalog.

Headquarters Office:

Yolanda Singer Site Assessment and Remedy Decisions Branch, Assessment and Remediation Division, Office of Superfund Remediation and Technology Innovation, Office of Solid Waste and Emergency Response, Mail Code 5204P, EPA, Washington, District of Columbia 20460 Email: singer.yolanda@epa.gov Phone: (703) 603-8837

Website Address:

<http://www.epa.gov/superfund>

RELATED PROGRAMS:

66.809 Superfund State and Indian Tribe Core Program Cooperative Agreements

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Preliminary Assessments/Site Inspections; Remedial Investigation; Feasibility Study; Remedial Design; Remedial Action (i.e., clean up); PRP searches; RI/FS negotiations; RD/RA Negotiations; PRP oversight; Non Time Critical Removal Actions; State participation in federal lead projects, Long Term Remedial action support, Implementation of institutional controls. Fiscal Year 2013: Preliminary Assessments/Site Inspections; Remedial Investigation; Feasibility Study; Remedial Design; Remedial Action (i.e., clean up); PRP searches; RI/FS negotiations; RD/RA Negotiations; PRP oversight; Non Time Critical Removal Actions; State participation in federal lead projects, Long Term Remedial action support, Implementation of institutional controls. Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

For fund-lead remedial action, the site must appear on the National Priorities List of the National Oil and Hazardous Substances Contingency Plan (NCP) (40 CFR 300). Removal actions require a planning period of six months or more. Each project is examined and selected on a case-by-case basis based upon site ranking, availability of matching funds from the State, availability of Trust funds, receipt of application and other criteria as determined by EPA.

66.804 UNDERGROUND STORAGE TANK PREVENTION, DETECTION AND COMPLIANCE PROGRAM

UST Prevention, Detection and Compliance Program

FEDERAL AGENCY:

Office of Solid Waste and Emergency Response, Environmental Protection Agency

AUTHORIZATION:

Superfund Reauthorization Amendments of 1986, Title I, Section 2007(f); Energy Policy Act of 2005, 42 U.S.C 6916(f)(2); Solid Waste Disposal Act (SWDA) of 1976, Section 9011; EPA's Annual Appropriations Act; Energy Policy Act of 2005, Title XV; Ethanol And Motor Fuels, Title B, Section 1521-1533, Public Law 109-58, U.S.C 15801; Ethanol And Motor Fuels, Title B, Section 1521-1533, Public Law 105-276, 42 Stat. 15801.

OBJECTIVES:

To assist States, Territories, Tribes and/or Intertribal Consortia (LUST Prevention only for Tribes and/or Intertribal Consortia) that meet the requirements at 40 CFR 35.504 in the development and implementation of underground storage tank (UST) programs and for leak prevention, compliance and other activities authorized by the Energy Policy Act (EPA) of 2005, Public Law 105-276, and EPA's annual appropriations acts.

Funding Priority - Fiscal Year 2013: Assistance agreements to states under Section 2007 of the Solid Waste and Disposal Act will support core program underground storage tank (UST) activities, implement their leak prevention and detection programs, as well as the 2005 Energy Policy Act (EPA) leak prevention activities. High priority tasks include providing financial assistance to states for: 1) approving specific technologies to detect leaks from tank systems; 2) ensuring that tank owners and operators are complying with notification and other requirements; 3) ensuring equipment compatibility; 4) conducting UST inspections; 5) implementing operator training; 6) prohibiting delivery for non-complying facilities; 7) seeking state program approval to operate the UST program in lieu of the Federal program; and 8) requiring secondary containment or financial responsibility for tank manufacturers and installers.

LUST Prevention assistance agreements to states and tribes, and/or intertribal consortia for activities authorized by EPA will support states with inspections and other release prevention and compliance assurance activities for Federally-regulated UST systems, as well as for enforcement activities related to release prevention. A main cause of releases is the lack of proper operation and maintenance of UST systems, which is why the EPA proposed revisions to the UST regulations in FY 2012 that address these and other important issues. Any changes that result from a final rule will require subsequent state adoption, applicable updates to state regulations, and updates to state program approval.

For tribes, the LUST Prevention assistance agreements will assist with all aspects of the Tribal programs, e.g., inspection capacity. High priority tasks

include providing financial assistance to states and tribes for: 1) inspecting UST facilities to complete the three-year inspection requirement; 2) developing inspection capacity for Tribes; 3) assisting states in adopting measures (e.g., delivery prohibition, secondary containment, operator training, etc.), as required by EPA and EPA's grant guidelines; 4) enforcement activities related to release prevention; 5) development of leak prevention regulations and other program infrastructure; 6) helping tribes develop the capacity to administer UST programs, such as providing funding to support training for Tribal staff and educating owners and operators in Indian Country about UST requirements. These activities are geared toward bringing all UST systems into compliance with release detection and release prevention requirements and minimizing future releases. Priority will be given to providing funds to enable the States to meet their responsibilities under Title XV, Subtitle B of the Energy Policy Act of 2005. States that have entered into assistance agreements with EPA must have the authority to inspect and take other compliance and related enforcement actions to prevent releases from USTs.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official Government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

States and Tribes may use financial assistance under this program for eligible and allowable costs incurred under assistance agreements for leak prevention, detection, and compliance, as described in the Section:50 Funding Priority. Assistance agreements are available to support recipients' eligible and allowable direct costs incurred under an approved work plan plus allowable indirect costs, in accordance with established EPA policies and regulations.

Unlike State and Tribal Assistance Grant (STAG) funding under Section 2007(f) of the Solid Waste Disposal Act (SWDA), the LUST prevention funding under Section 9011 and other applicable provisions of Subtitle I of the SWDA is not eligible for inclusion in Performance Partnership Grants under 40 CFR 35.133. EPA may award combined STAG funded UST prevention, detection, and compliance assistance agreements authorized by Section 2007(f) with LUST prevention assistance agreements authorized by Subtitle I if the combined agreement is not included in a PPG.

Applicant Eligibility:

Prevention, detection and compliance assistance agreements are only available to States and Territories and to Federally-recognized Tribes and Intertribal Consortia that must meet the requirements, as described in the Federal Register Notice, Vol. 67, No. 213, pp. 67181-67183, "Update to EPA Policy on Certain Grants to Intertribal Consortia." These assistance agreements may also be used for EPA to help States, who request it, to obtain SEE enrollees through a SEE assistance agreement to work on the State's underground storage tanks and to support direct UST implementation programs.

Beneficiary Eligibility:

States, Territories, Tribes and Intertribal Consortia.

Credentials/Documentation:

States and Territories must either submit certification indicating the State or Territory meets the applicable Energy Policy Act provisions, or submit documentation describing the State or Territory's efforts to meet the requirements, in accordance with EPA's Energy Policy Act grant guidelines (http://www.epa.gov/swrust1/fedlaws/epact_05.htm). The EPA Regional Offices maintain the credentials/documentation for State and Territorial programs and federally-recognized Tribes and Intertribal Consortia. OMB

Circular No. A-87 applies to this program.

Preapplication Coordination:

Tribes and Intertribal Consortia are excluded from coverage under E.O. 12372. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. States and Tribes apply for funds through EPA regional offices. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA. EPA may award combined or separate assistance agreements for leak prevention.

Award Procedure:

These funds are awarded non-competitively. However, if EPA elects to hold competitions for Tribal and/or Intertribal Consortia assistance agreements, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Applications will be subjected to administrative evaluation to determine the adequacy of the application in relation to assistance agreement regulations and to technical and program evaluation to determine the merit and relevance of the project. The Agency will advise the applicant if funding is being considered. A final work plan will be negotiated with the applicant. An assistance agreement must be signed between EPA and the State or Territory or EPA and the Tribe or Intertribal Consortia. EPA awards the assistance agreements to states through a previously established allocation process, issued under national guidance rather than through competition (see 40 CFR 35.332 and EPA Order 5700.5, Section 6(c)(1)).

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Generally, from 3 to 4 months.

Appeals:

Disputes will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

Contact the appropriate Regional Office. See <http://www.epa.gov/swrust1/regions/index.htm>.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: The UST prevention, detection, and compliance Solid Waste Disposal Act 2007(f) assistance agreement (STAG) program has no statutory formula or matching requirement. However, there is a 25 percent matching requirement (cost share) for States under 40 CFR 35.335. State matches (cost shares) may include in-kind contributions. Under 40 CFR 35.332, EPA regions allocate funds to states based on their programmatic needs and applicable EPA guidance. Factors include the number of active federally regulated petroleum underground storage tanks. LUST Prevention funding is awarded under Section 9011 and other applicable provisions of Subtitle I of the Solid Waste Disposal Act subject to an allocation process developed by the Agency. The Agency distributes funds based on the number of federally-regulated petroleum USTs in a State and other indicators of State needs. States will provide a 25 percent match (cost share) for assistance agreements awarded under Section 9011 and other applicable provisions of Subtitle I. If EPA awards a State a combined UST prevention, detection, and compliance (STAG) and LUST prevention assistance agreement, the 25 percent cost share will apply to total project costs for the combined assistance agreement. There is no matching requirement (cost share) for prevention, detection and compliance assistance agreements for Tribes or Intertribal

Consortia awarded pursuant under Public Law 105-276 or the Agency's annual appropriation act.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The terms of the assistance agreement shall be determined at the time of award by the specific EPA Regional Office. Assistance agreements can be awarded on a single- or multiple-year basis up to seven years. See the following for information on how assistance is awarded/released: Financial assistance is awarded in a lump sum. States use the Automated Standard Application for Payment System to request cash drawdowns based on a projected cash requirement.

Reports:

Reporting requirements are identified at 40 CFR Parts 30 and 31. EPA Regional Offices may include additional information regarding the content and frequency of reporting requirements in the terms and conditions of the agreements. Recipients submit annual reports which include a description of the progress made towards meeting environmental goals as expressed by the environmental indicators and program measures. Recipients report program performance results semi-annually that provide updates for commitments and priorities. No cash reports are required. Progress reports are required. States must certify that they are meeting or are making progress in meeting the Energy Policy Act requirements. States are required to provide expenditure reports for overall funding for UST prevention, detection and compliance (STAG) assistance agreements. For LUST Prevention assistance agreements, States are required to submit Federal Financial Reports (SF-425) to the Las Vegas Finance Center. Annual reviews are conducted to determine recipients' progress in meeting overall program goals.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

The record retention requirements of 40 CFR Part 31 (governmental units) are applicable depending upon the identity of the recipient. Financial records, including all documents to support entries on accounting records and to substantiate changes to each grant must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained until expiration of three years from the date of submission of the final expenditure report. If questions still remain, such as those raised as a result of audit, related records should be retained until the matter is completely resolved.

Account Identification:

68-8153-0-7-304; 68-0103-0-1-304.

Obligations:

(Formula Grants) FY 12 \$31,997,000; FY 13 est \$30,326,000; and FY 14 est \$30,416,000 - STAG Obligations - Grants to States (for state staff oversight of UST activities identified in Section :50): FY 12 actual: \$1,548,000; FY 13 estimate: \$1,467,000; FY14 estimate: \$1,490,000.

LUST Prevention Obligations - Assistance Agreements to State and Tribes (for state staff oversight and inspection activities related to the UST program; for Tribal staff to build capacity and provide training for prevention activities identified in Section :50): FY 12 actual: \$30,449,000; FY 13 estimate: \$28,859,000; FY14 estimate: \$28,926,000.

Range and Average of Financial Assistance:

Range for States and Territories: The STAG and LUST prevention financial assistance is based on states' needs.

In FY 2013, Estimated STAG funding - Range for States and Territories: \$25,000 to \$340,000; Average for States and Territories = \$122,250. In FY 2013, there is no STAG distribution for Tribes. Estimated LUST Prevention Funding - Range for States and Territories: \$90,000 to \$1,581,000; Average for States and Territories = \$501,593,000. Range for Tribes: \$18,000 to \$600,000; Average for Tribes = \$136,385.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: In FY 2012, 14 states submitted applications and were awarded STAG assistance agreements. For the LUST Prevention assistance agreements, 55 states (including DC and Puerto Rico) and four (4) territories and 12 tribes and/or tribal consortia submitted applications and were awarded LUST Prevention assistance agreements.

Program accomplishments for FY 2012 resulted in 5,674 number of confirmed releases at UST facilities and 71.3% of UST facilities that were in significant operational compliance (SOC) with both release detection and release prevention requirements. Fiscal Year 2013: In FY 2013, it is anticipated that 12 states will submit applications and will be awarded STAG assistance agreements. For the LUST Prevention assistance agreements, it is anticipated that 54 states (including DC and Puerto Rico) and four (4) territories and 12 tribes and/or tribal consortia will submit applications and will be awarded LUST Prevention assistance agreements.

There are no program accomplishments to report for FY 2013 at this time.
Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

General Grant Regulations and Procedures (40 CFR Parts 30 or 31, as applicable), State and Local Assistance (40 CFR Part 35.330 et. seq. for States and 40 CFR 35.730 et. seq. for Tribes and Intertribal Consortia). Costs will be determined in accordance with OMB Circular No. A-87 (2 CFR Part 225) for State and local governments and Indian Tribes and OMB Circular A-122 (2 CFR Part 230) for Intertribal Consortia that follow the assistance regulations for nonprofit organizations. Contact Regional UST Program Manager for documents.

Regional or Local Office:

See Regional Agency Offices. For state-specific opportunities, EPA encourages potential state applicants to communicate with the appropriate EPA Regional Underground Storage Tanks Regional Program Managers (see <http://www.epa.gov/swerst1/regions/index.htm>).

Headquarters Office:

Lynn Depont, USEPA Headquarters; 1200 Pennsylvania Avenue, N. W.; Mail Code: 5401P, Washington, District of Columbia 20460 Email: depont.lynn@epa.gov Phone: (703) 603-7148.

Website Address:

<http://www.epa.gov/swerst1/index.htm>

RELATED PROGRAMS:

66.805 Leaking Underground Storage Tank Trust Fund Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: UST prevention, detection, and compliance assistance agreements' funded projects include functions necessary for the development and/or implementation of a State or Indian underground storage tank (UST) program. Those functions included the development and/or implementation of enforcement and inspection programs, outreach, and training related to the underground storage tanks program. Specific examples of funded projects in FY 2012 were state inspections, enforcement, development of regulations, other program infrastructure, and to support direct tribal UST implementation programs. Fiscal Year 2013: UST prevention, detection, and compliance assistance agreements' funded projects include functions necessary for the development and/or implementation of a State or Indian underground storage tank (UST) program. Those functions included the development and/or implementation of enforcement and inspection programs, outreach, and training related to the underground storage tanks program. Specific examples of funded projects in FY 2013 are state inspections, enforcement, development of regulations, other program infrastructure, and to support direct tribal UST implementation programs. Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

This program allocates funding to States and Tribes non-competitively under the allocation system referenced in Section:101. Funding provided to states for release prevention must be expended in accordance with grant guidelines EPA issued to implement the Title XV, Subtitle B of the Energy Policy Act of 2005, http://www.epa.gov/swerst1/fedlaws/epact_05.htm.

66.805 LEAKING UNDERGROUND STORAGE TANK TRUST FUND CORRECTIVE ACTION PROGRAM

Leaking UST Corrective Action Program

FEDERAL AGENCY:

Office of Solid Waste and Emergency Response, Environmental Protection Agency

AUTHORIZATION:

Solid Waste Disposal Act of 1976 (SWDA), as amended, Section 9003(h), Public Law 105-276; EPA's Annual Appropriation Act.

OBJECTIVES:

To support State (including Territories that are included in the definition of "State" in the Solid Waste Disposal Act) and Tribal corrective action programs that address releases from underground storage tanks.

Funding Priorities - Fiscal Year 2013: Assistance agreements to states and Tribes will support activities in making progress in cleaning up petroleum leaks by initiating and completing cleanups, and reducing the backlog of sites not yet cleaned up. In FY 2013, not less than 80 percent of LUST cleanup appropriated funds will be provided to states to carry out the LUST cleanup program. High priority tasks include better site characterization efforts, remedy selection review, other technical assistance and management, oversight and enforcement activities at unaddressed LUST sites.

The LUST program received funding in the FY 2009 American Recovery and Reinvestment Act (ARRA) of 2009. All of these funds were obligated; however, \$9.2 million was returned by one state and subsequently rescinded by Congress. The ARRA funds were outlayed through mid-FY 2013. Additional details can be found at <http://www.epa.gov/recovery/> and <http://www.recovery.gov/Pages/default.aspx>.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official Government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

States and Tribes may use financial assistance under this program for eligible and allowable costs incurred under cooperative agreements for corrective action, as described in Section: 54 Funding Priority and Section: 180 Criteria for Selecting Proposals. This financial assistance program is not eligible for inclusion in Performance Partnership Grants under 40 CFR 35.133. EPA will award cooperative agreements for corrective action activities. The applicable provisions in OSWER Directive 9650.10A, "LUST Trust Fund Cooperative Agreement Guidelines" apply to states receiving funds under this program. See <http://www.epa.gov/swerst1/directiv/d965010a.htm>.

LUST Trust Funds for corrective action will not be used to implement any provision of the Energy Policy Act (EPA) of 2005, Title XV, Subtitle B, that is not also a leaking underground storage tank activity authorized by Section 205 of the Superfund Amendments and Reauthorization Act. Funds made

available by the Recovery Act are prohibited from uses relating to casinos and other gambling establishments, aquariums, zoos, golf courses, or swimming pools.

Applicant Eligibility:

Cooperative agreements are only available to States and Territories that have UST programs. Additionally, these cooperative agreements are only available to Federally-recognized Tribes and Intertribal Consortia that must meet the requirements, as described in the Federal Register Notice, Vol. 67, No. 213, pp. 67181-67183, "Update to EPA Policy on Certain Grants to Intertribal Consortia."

Beneficiary Eligibility:

States, Territories, Tribes and Intertribal Consortia and the communities and industries affected by leaks from underground storage tanks.

Credentials/Documentation:

Even though the LUST corrective action assistance agreements are only used for traditional cleanup activities under Section 9003(h), States and Territories must either submit certification indicating the State or Territory meets the applicable Energy Policy Act provisions, or submit documentation describing the State or Territory's efforts to meet the requirements, in accordance with EPA's Energy Policy Act grant guidelines (http://www.epa.gov/oust/fedlaws/epact_05.htm). The EPA Regional Offices maintain the credentials/documentation for State and Territorial programs and federally-recognized Tribes and Intertribal Consortia. Costs will be determined in accordance with OMB Circular No. A-87 (2 CFR Part 225) for State and local governments. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Tribes and Intertribal Consortia are not required to follow intergovernmental review procedures. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. States and Tribes apply for funds through EPA regional offices under this CFDA.

Award Procedure:

These funds are awarded non-competitively. Applications will be subjected to administrative evaluation to determine the adequacy of the application in relation to assistance agreement regulations and to technical and program evaluation to determine the merit and relevance of the project. The Agency will advise the applicant if funding is being considered. A final work plan will be negotiated with the applicant. A cooperative agreement must be signed between EPA and the State or Territory or EPA and the Tribe or Intertribal Consortia. EPA awards the cooperative agreement to states through a previously established allocation process, issued under national guidance rather than through competition. See EPA Order 5700.5, Section 6(c)(1).

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Generally from 3 to 4 months.

Appeals:

Disputes will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

Contact the appropriate Regional Office. See <http://www.epa.gov/swerst1/states/index.htm>.

Formula and Matching Requirements:

Statutory Formula: Title Solid Waste Disposal Act. LUST corrective action funding awarded under Section 9003(h)(7) of the Solid Waste Disposal Act is subject to an allocation process developed by the Agency. By guidance, the Agency has established a process for allocating funds to states under Section

9003(h)(7) based on the cumulative numbers of confirmed UST releases, total number of existing registered petroleum UST systems, cleanups initiated, cleanups completed, the percentage of the population using groundwater for drinking water, and the number of states with approved UST programs. This program allocates funding to Tribes and Intertribal Consortia non-competitively based on their programmatic needs and national guidance.

Matching Requirements: States must provide a 10 percent cost share for cooperative agreements awarded under Section 9003(h)(7). There is no matching requirement for corrective action cooperative agreements for Tribes or Intertribal Consortia awarded pursuant to Public Law 105-276. Cooperative agreements awarded with Recovery Act funds were not subject to cost share requirements under section 9003(h)(7)(B) of the SWDA. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The terms of the grant shall be determined at the time of the grant award by the specific EPA Regional Office. See the following for information on how assistance is awarded/released: LUST Trust Fund Corrective Action assistance agreements are awarded to states annually on a multi-year cycle. Financial assistance is awarded in a lump sum. States use the Automated Standard Application for Payment System to request cash drawdowns based on a projected cash requirement.

Reports:

Recipients provide performance and financial reports as authorized by 40 CFR 31.40 and 31.41. States report program performance results semi-annually and progress reports as part of the yearly review with EPA. No cash reports are required. Progress reports are required. States are required to submit Federal Financial Reports (SF-425) to the Las Vegas Finance Center. Performance monitoring is conducted to determine states' progress towards meeting overall program goals.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

The record retention requirements of 40 CFR Part 31 (governmental units) or 40 CFR Part 30 (non-profit intertribal consortia) are applicable depending upon the identity of the recipient. Financial records, including all documents to support entries on accounting records and to substantiate changes to each grant must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained until expiration of three years from the date of submission of the final expenditure report. If questions still remain, such as those raised as a result of audit, related records should be retained until the matter is completely resolved.

Recipients must account for Recovery Act funds separately.

Account Identification:

68-8153-0-7-304.

Obligations:

(Formula Grants) FY 12 \$59,903,000; FY 13 est \$56,939,000; and FY 14 est \$58,202,000 - LUST Obligations - Assistance Agreements to States and Tribes (for state and tribal staff oversight of initiating and cleaning up contamination from leaking underground storage tanks): For LUST State Cooperative Agreements: FY 2012 actual: \$58,956,000; FY 2013 estimate: \$56,126,000; and FY 2014 estimate: \$57,402,000. For LUST Tribal Cooperative Agreements: FY 2012 actual: \$947,000; FY 2013 estimate: \$813,000 ; FY 2014 estimate: \$800,000.

Range and Average of Financial Assistance:

For FY 2013, the Range for Territories and States: \$46,000 - \$3,262,000; Territory Average: \$166,000; State Average: \$1,066,000. It is anticipated that there will be approximately 10 Tribal cooperative agreements in FY 2013 for a total of \$813,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: In FY 2012, 52 States (including DC and Puerto Rico) and four territories and approximately 10 Indian Tribes submitted assistance agreement applications and were awarded LUST corrective action assistance agreements. Final performance measure results are available at <http://www.epa.gov/swrust1/cat/ca-13-12.pdf>. Fiscal Year 2013: In FY 2013, it is anticipated that 52 States (including DC and Puerto Rico) and four territories and approximately 10 Indian Tribes will submit assistance agreement applications and will be awarded LUST corrective action assistance agreements. Final performance measure results are not available at this time. Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

The regulations governing the award and administration of the Recovery Act Leaking Underground Storage Tanks cooperative agreements and the Leaking Underground Storage Tank corrective action cooperative agreements are the "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments" set forth at 40 CFR Part 31 (<http://www.gpo.gov/fdsys/pkg/CFR-2000-title40-vol1/content-detail.html>). Non-profit recipients are subject to 40 CFR Part 30.

Guidelines: Leaking Underground Storage Tanks Trust Fund. Cooperative Agreement Guidelines. Energy Policy Act of 2005 Grant Guidelines, http://www.epa.gov/swrust1/fedlaws/epact_05.htm.

Costs will be determined in accordance with OMB Circular No. A-87 (2 CFR Part 225) for State and local governments and Indian Tribes and OMB Circular A-122 (2 CFR Part 230) for Intertribal Consortia that follow the assistance regulations for nonprofit organizations. Contact Regional LUST Program Manager for documents.

Regional or Local Office:

See Regional Agency Offices. For state-specific opportunities, EPA encourages potential applications to communicate with the appropriate EPA Regional Underground Storage Tanks Regional Program Managers (see <http://www.epa.gov/swrust1/regions/index.htm>).

Headquarters Office:

Lynn Depont, USEPA Headquarters, Ariel Rios Building, 1200 Pennsylvania Avenue, N. W. Mail Code: 5401P, Washington, District of Columbia 20460
Email: depont.lynn@epa.gov Phone: (703) 603-7148

Website Address:

<http://www.epa.gov/swrust1/index.htm>

RELATED PROGRAMS:

66.804 State and Tribal Underground Storage Tanks Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: In FY 2012, states conducted site assessment/site characterization; reviewed site characterization reports; reviewed corrective action plans; conducted enforcement actions; provided assistance to Tribes to help develop and implement the LUST program; and conducted cleanups and provided oversight of responsible party cleanups. Fiscal Year 2013: In FY 2013, it is anticipated that states will conduct site assessment/site characterization; review site characterization reports; review corrective action plans; conduct enforcement actions; provide assistance to Tribes to help develop and implement the LUST program; and conduct cleanups and provide oversight of responsible party cleanups. Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

This program allocates funding to States and Tribes non-competitively under the allocation system referenced in Section :101. Guidelines for LUST corrective action cooperative agreements are found in OSWER Directive 9650-10A, and at OUST's website <http://www.epa.gov/swrust1/directiv/d965010a.htm>.

66.806 SUPERFUND TECHNICAL ASSISTANCE GRANTS (TAG) FOR COMMUNITY GROUPS AT NATIONAL PRIORITY LIST (NPL) SITES

(TAG)

FEDERAL AGENCY:

Office of Solid Waste and Emergency Response, Environmental Protection Agency

AUTHORIZATION:

Comprehensive Environmental Response, Compensation, and Liability Act (CERCLA) of 1980, Section 117(e), Public Law 96-510; Superfund Amendments and Reauthorization Act of 1986, Public Law 99-499, 42 U.S.C 9617.

OBJECTIVES:

The statutory authority for this project is CERCLA 117(e) as amended by 42 USC 9617(e); it authorizes Technical Assistance Grants to be awarded to groups of individuals affected by or threatened by a release at a Superfund site so that they can obtain independent technical assistance to interpret site-related documents and share this information to the rest of the community. The objective of each TAG is to procure an independent technical advisor(s) to review and inform the community on EPA documents related to cleaning up the Superfund site that is affecting them. The goal of each TAG is that the technical advisor's information aids the community in the preparation of public comments and assists the community to more meaningfully participate in the cleanup decision-making process.

Funding Priority - Fiscal Year 2013: Only one grant is available per site, at any time. EPA does not prioritize among eligible sites. All TAG applications are given equal priority as long as the site and group are eligible. The applicant group must meet minimum administrative and management capability requirements by demonstrating they have or will have reliable procedures for record keeping and financial accountability related to TAG management. In addition, all applications must address: plans for using a technical advisor's services, plans and ability to inform other members of the community, and the group's membership (representative of the different individuals/groups affected by the site). Funding must be used to provide resources so that community groups can obtain technical assistance to better understand technical issues related to the cleanup of the Superfund site affecting them and to provide comments on technical, cleanup-related documents. Technical issues for which groups obtain technical assistance may include: the nature of the environmental and public health hazards at the site; the various stages of health and environmental investigations; cleanup and operation and maintenance activities for a site, including exposure investigation, health study, surveillance program, health promotion activities (for example, medical monitoring and pediatric health units), remedial investigation, and feasibility study, record of decision, remedial design, selection and construction of remedial action, operation and maintenance, and removal.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

All or most of the Federal funds must be used at sites listed on the National Priorities List (NPL) or proposed for listing under the National Contingency Plan (NCP), where a response action has begun under CERCLA to procure a technical advisor(s) to help in understanding the nature of the environmental and public health hazards at the site, or the various stages of health and environmental investigations and activities, cleanup, and operation and maintenance of a site, including exposure investigation, health study, surveillance program, health promotion activities (for example, medical monitoring and pediatric health units), remedial investigation, and feasibility study, record of decision, remedial design, selection and construction of remedial action, operation and maintenance, and removal. Limitations: (a) Federal funds cannot be used to develop new primary data, such as conducting independent testing and monitoring activities at a site. (b) Under CERCLA, as amended, grant funds cannot be used for lawsuits or other legal actions, or attorney fees for services connected to any legal action or that could result in a relationship to which attorney/client privileges applies. (c) Grant funds cannot be applied towards a technical advisor's time spent assisting an attorney in

preparing a legal action or preparing and serving as an expert witness at any legal proceedings. (d) Grant funds cannot be used for any activities or expenditures for recipient group members' travel. (e) Grant funds cannot be used for political activity and lobbying. (f) Grant funds cannot be used for generation of new health data through biomedical testing (for example, blood or urine testing), clinical evaluations, health studies, surveillance, registries, and/or public health investigations. (g) Grant funds cannot be used for activities that are unallowable under the cost principles stated in Office of Management and Budget (OMB) Circular No. A-122. (h) Grant funds cannot be used for tuition or other training expenses for recipient group's members. (i) Grant funds cannot be used to reopen or challenge final EPA decisions.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

A technical assistance grant (TAG) is available to any qualified group of individuals which: may be "affected" by a release or threatened release at any facility listed on the NPL or proposed for listing under the NCP where a "response action" under CERCLA has begun; meets minimum administrative and management capability requirements found in 40 CFR 30.12 by demonstrating they have or will have reliable procedures for record keeping and financial accountability related to TAG management; and incorporates as a nonprofit for the specific purpose of representing "affected" individuals at the site. "Affected" means subject to an actual or potential health, economic or environmental threat. A group is ineligible if: (a) The group is a "potentially responsible party" (PRP), receives money or services from a PRP, or represents a PRP; (b) The group is affiliated with a national organization; (c) The group is an academic institution; (d) The group is a political subdivision; (e) The group was established or is presently sustained by any of the ineligible entities listed above; or (f) The group is not incorporated as a nonprofit organization for the specific purpose of representing affected people except as provided in 40 CFR 35.4045.

Beneficiary Eligibility:

This program benefits groups of individuals affected by Superfund hazardous waste sites. Groups should be representative of the community affected by the Superfund site, which may include homeowners, land/property owners, local businesses, as well as any other individuals in the general public who live near a site.

Credentials/Documentation:

At the time of the award, a recipient must either be incorporated or demonstrate that they have taken all necessary and appropriate actions to do so. Recipients must show proof of incorporation no later than the time of the group's first request for reimbursement for costs incurred. This program is excluded from coverage under OMB Circular No. A-87. This program is subject to the provisions of OMB Circular No. A-122. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

1) Groups wishing to apply for a TAG must first submit to EPA a letter of intent (LOI). Groups interested in applying for a TAG are encouraged to contact their regional TAG coordinator(s), listed in Appendix IV of the Catalog. 2) If site work is already underway or scheduled to begin, EPA will publish a notice in the local newspaper to formally notify other interested parties that they may contact the first group that sent the LOI to form a coalition or they may submit a separate LOI. 3) Competing groups are encouraged to consolidate and submit a single application. 4) Prior to submitting their application to EPA (or concurrently), the applicant must submit the application package for their State's intergovernmental review process. This intergovernmental review may take up to 60 days. This program is excluded from coverage under OMB Circular No. A-102. This program is subject to the provisions of OMB Circular No. A-110. For more information regarding the procedures for submitting a LOI or for application assistance, contact the TAG

coordinator(s) for your region (in Appendix IV of the Catalog) or the individuals listed under "Information Contacts.". Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. An applicant for a TAG must submit the following materials in accordance with 40 CFR 35.4125 of the Final Rule: 1) An original EPA Form 424, Application for Federal Assistance. The application must have the original signature of the project manager. 2) A budget showing the proposed expenditure of funds, how the funds and other resources, including the required 20 percent match, will be used to complete the project, and how the budget figures were derived. 3) A scope of work which states how the group will organize, use procured personnel, and share and disseminate information to the larger affected community. In addition, the scope of work must explain project milestones and how the group's board of directors, technical advisors(s) and "project manager" will interact with each other. 4) Assurances, certifications and other preaward paperwork as 40 CFR part 30 requires. Currently, opportunities under this CFDA are not available and electronic submissions are not accepted through www.grants.gov.

Award Procedure:

1) A TAG review team in the EPA Regional Office to which the application is submitted reviews the application and sends a letter containing written comments telling the applicant what, if any, changes need to be made to the application to make it complete. 2) An applicant group has 90 days from the date of the EPA letter to make the changes to their application and resubmit it to EPA. If the changes are not submitted within the 90-day period, the application will be returned unprocessed. 3) EPA determines the applicant's eligibility under 40 CFR 35.4020 and considers whether and how successfully the group meets three equally weighted criteria in 40 CFR 35.4155 (see 180, "criteria for selecting proposals," below). When multiple groups apply, EPA will rank each applicant relative to other applicants using the three equally weighted criteria. 4) If an applicant is not going to be awarded a TAG, the EPA will send a letter of rejection to the applicant. 5) If an application is approved for an award, a grant agreement document (EPA Form 5700-20A) is signed by the EPA award official. This document becomes an offer from EPA to the applicant. Award offers are mailed to the recipient five working days after the award official signs the agreement. 6) The applicant must sign and return the agreement to EPA within three weeks of the date the agreement is postmarked or request an extension. EPA will review applications in accordance to terms, conditions and criteria in the TAG regulations and EPA's policy for competing assistance agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 4 to 9 weeks.

Appeals:

Disputes will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable. Before a group obtains judicial review of the dispute, they must have requested the Regional Administrator to review the dispute decision official's determination under 40 CFR 31.70(c), and if the group still has a dispute, they must request the Assistant Administrator for the Office of Solid Waste and Emergency Response to review the Regional Administrator's decision under 40 CFR 31.70(h).

Renewals:

Grant waiver requests are made at the end of the budget period and are based on the requirements of 40 CFR 35.4065, which lists criteria which must be met.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: As directed by statute (Section 117(e) of CERCLA,

as amended by 42 USC 9617(e)) there may be only one grant per site, there is a \$50,000 award limit unless waived, and recipient must contribute a 20 percent match (including in-kind contributions).

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

A grant may be available from the time a site is proposed for listing under the NCP (with a response action underway) until the site is delisted from the NPL - this time span varies based on the complexity of the site cleanup; a group may submit an LOI to apply for a TAG at any eligible site at any point in the site cleanup. For each award, the grant period is determined by the time needed to complete the scope of work stated in the grant application and through negotiations with EPA (this is generally a period of three years or greater). Grants under this CFDA are reimbursement-based; a grantee may draw down funds to cover grant-related expenses they have incurred (such as a technical advisor's invoice for services/expenses). See the following for information on how assistance is awarded/released: Assistance is awarded as a lump sum, however, the funds are released on a reimbursement basis (recipients draw down funds as costs are incurred).

Reports:

No program reports are required. Federal Cash Transaction Reports (OMB SF272) are required of TAG grant recipients. 1) Progress reports. Each recipient shall submit quarterly progress reports to EPA for the technical assistance grant project 45 days after the end of each calendar quarter. Progress reports shall fully describe in chart or narrative format the progress achieved in relationship to the approved schedule, budget, and the technical assistance grant project milestones. Special problems encountered must be explained. 2) Financial status report. Each recipient shall submit to EPA a financial status report annually, within 90 days of the anniversary date of the start of the TAG project. These reports shall include the status of project's funds through identification of project transactions and within 90 days after the end of the TAG funding period. 3) Final report. Each recipient shall submit to EPA the final report within 90 days after the end of the project. The report shall describe project goals and objectives, activities undertaken to achieve goals and objectives, difficulties encountered, technical advisors' work products and funds spent. 4) Requests for Reimbursement or Federal Cash Transactions Reports. Depending on the method of payment, each recipient shall submit periodic requests for reimbursement as funds are needed, or shall submit to EPA a federal cash transaction report semiannually, within 15 working days following the end of the semiannual period which ends June 30 and December 31 of each year. These reports shall include the amount of funds advanced to the TAG recipient or electronically transferred to the TAG recipient's bank account, and a description of how the funds were spent. 5) Minority-Owned Business Enterprise/Women-Owned Enterprise (MBE/WBE) Utilization. Each recipient shall submit to EPA a MBE/WBE Utilization report annually, even if no contracts have been signed. Each recipient is required to disclose whether they have contracted with a MBE/WBE in the past Federal fiscal year, the value of the contract, if any, and the percentage of the total project dollars on MBE/WBEs. 6) Each recipient shall comply with any reporting requirements in the terms and conditions of the "grant agreement". 7) Each recipient shall comply with any reporting and record keeping requirements in OMB Circular A-122 and 40 CFR part 30. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

1) Each recipient shall keep complete financial records accurately showing how the Federal funds were used and the match, whether it is in the form of cash or

in-kind assistance. 2) Each recipient shall comply with any reporting and record keeping requirements of OMB Circular A-122 and 40 CFR part 30. 3) Each recipient must keep TAG financial records for ten years from the date of the final Financial Status Report, or until any audit, litigation, cost recovery, and/or disputes initiated before the end of the ten-year retention period are settled, whichever is longer. At the ten-year mark, the TAG financial records may be disposed of after first getting written approval to do so from the EPA.

Alternatively, a recipient may choose to submit financial records to the EPA for safekeeping when the final Financial Status Report is submitted. 4) Each recipient must send to EPA a copy of each final written product prepared by their technical advisor as part of the TAG. EPA will send the final written products to the local Superfund site information repository(ies) where all site-related documents are available to the public.

Account Identification:

68-0108-0-1-304.

Obligations:

(Project Grants) FY 12 \$483,675; FY 13 est \$60,000; and FY 14 est \$300,000

Range and Average of Financial Assistance:

Initial awards for assistance agreements awarded under this CFDA number will not exceed \$50,000. After the initial award, additional funding may be awarded based on the criteria detailed under 40 CFR 35.4065, and subject to the availability of funds. The average additional award is \$40,000 (per agreement). Since 1988, 332 TAGs have been awarded. Of these, 199 grantees were awarded \$50,000 or less; 78 grantees have received awards with a cumulative value between \$51,000 and \$150,000; 40 grantees have received awards with a cumulative value ranging between \$151,000 and \$250,000; and 15 grantees have received awards whose cumulative value totals of more than \$250,000. The cumulative award value includes the initial award and any supplemental funding awards over the life of the agreement.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Since the program began on March 24, 1988, 332 awards have been issued by EPA, totaling more than \$29,600,000 in TAG awards (including new awards, additional funds, waivers and deviations). In most cases, EPA receives only one application for a site and in most cases an award is made. In FY 2012, two new grants were awarded (\$50,500 total) and 13 established grantees were awarded additional funds (\$432,900 total), bringing the award total to \$483,675. The grant includes an outreach component wherein the grantee helps to educate the broader community on issues related to the site cleanup. Under this grant, grantees have developed community newsletters, developed web sites, conducted community meetings to help other community members better understand technical information related to the site cleanup and to better respond to site-related technical documents during public comment periods. Technical comments provided to EPA under this grant assist EPA in meeting Superfund strategic targets of identifying and controlling unacceptable human exposures from site contamination; monitoring and controlling migration of contaminated ground water; and identifying a final remedy suitable for stated reuse (under Goal 3.2.2 of EPA's Strategic Plan). Fiscal Year 2013: Since the program began on March 24, 1988, 332 awards have been issued by EPA, totaling more than \$29,300,000 in TAG awards (including new awards, additional funds, waivers and deviations). In most cases, EPA receives only one application for a site and in most cases an award is made. In FY 2013, as of May 31, 2013, no awards have been issued. EPA estimates that there will be two awards of supplemental funds to existing grantees by the end of the fiscal year, totaling around \$60,000. The grant includes an outreach component wherein the grantee helps to educate the broader community on issues related to the site cleanup. Under this grant, grantees have developed community newsletters, developed web sites, conducted community meetings to help other community members better understand technical information related to the site cleanup and to better respond to site-related technical documents during public comment periods. Technical comments provided to EPA under this grant assist EPA in meeting Superfund strategic targets of identifying and controlling unacceptable human exposures from site contamination; monitoring and controlling migration of contaminated ground water; and identifying a final remedy suitable for stated reuse (under Goal 3.2.2 of EPA's Strategic Plan). Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

40 CFR 31; 40 CFR Part 30; 40 CFR Part 35.(Subpart M); and TAG Program Guidance Documents.

Regional or Local Office:

See Regional Agency Offices. Freya Margand; Community Involvement and Outreach Branch, Office of Superfund Remediation & Technology Innovation, Mail code: 5204P, U.S. Environmental Protection Agency; Ariel Rios Bldg., 1200 Pennsylvania Ave.; NW, Washington, DC 20460. Telephone: (703) 603-8889. Fax: (703) 603-9102. E-mail address: margand.freya@epa.gov Questions may also be directed to the EPA Regional Office serving a particular state. All TAG paperwork should be submitted directly to the EPA Regional Office. A list of the Regions and their States, plus the name(s) and telephone number(s) for the Regional TAG contact can be found in Appendix IV of the CFDA. A list of EPA Regional TAG contacts can also be found at the web site address: <http://www.epa.gov/superfund/community/tag/contacts.htm>.

Headquarters Office:

Freya Margand, Community Involvement and Program Initiatives Branch, Office of Superfund Remediation & Technology Innovation, Mail code: 5204P, Environmental Protection Agency, Ariel Rios Bldg., 1200 Pennsylvania Ave.; NW, Washington, District of Columbia 20460 Email: margand.freya@epa.gov Phone: (703) 603-8889.

Website Address:

<http://www.epa.gov/superfund>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: TAG allows a group to procure independent technical advisors to help in interpreting and commenting on site-related information and decisions. Examples of how a technical advisor can help a group include, but are not limited to: (a) Reviewing preliminary site assessment/site investigation data; (b) Participating in public meetings to help interpret information about site conditions, proposed remedies, and the implementation of a remedy; (c) Visiting the site vicinity periodically during cleanup, if possible, to observe progress and provide technical updates to the group; (d) Interpreting information related to redevelopment; and (e) Evaluating future land use options based on land use assumptions found in the remedial investigation/feasibility study. Fiscal Year 2013: TAG allows a group to procure independent technical advisors to help in interpreting and commenting on site-related information and decisions. Examples of how a technical advisor can help a group include, but are not limited to: (a) Reviewing preliminary site assessment/site investigation data; (b) Participating in public meetings to help interpret information about site conditions, proposed remedies, and the implementation of a remedy; (c) Visiting the site vicinity periodically during cleanup, if possible, to observe progress and provide technical updates to the group; (d) Interpreting information related to redevelopment; and (e) Evaluating future land use options based on land use assumptions found in the remedial investigation/feasibility study. Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description are described in the programmatic regulations (40 CFR Part 35) as follow. In selecting a proposal: 1) EPA will determine if a group meets the following eligibility criteria: (a) The community group is a group of people who may be "affected" by a release or a threatened release at any facility listed on the NPL or proposed for listing under the NCP where a response action is underway; and (b) The group meets the minimum administrative and management capability requirements found in 40 CFR 30.21 by demonstrating they have or will have reliable procedures for record keeping and financial accountability related to managing the TAG. 2) EPA will also determine if there are factors which make the group ineligible. A group is ineligible if: (a) The group is a "potentially responsible party" (PRP), receives money or services from a PRP, or represents a PRP; (b) The group is "affiliated" with a national organization; (c) The group is an academic institution; (d) The group is a political subdivision; (e) The group was established or is presently sustained by any of the ineligible entities listed above; or (f) The group is not incorporated as a nonprofit organization for the specific purpose of representing affected people except as provided in 40 CFR 35.4045. 3) Once EPA determines if eligibility requirements have been met, the Agency considers

whether and how successfully a group meets the following, equally weighted, criteria: (a) Representation of groups and individuals affected by the site; (b) The group's plans to use the services of a technical advisor throughout the Superfund response action; and (c) The group's ability and plan to inform others in the community of the information provided by the technical advisor. 4) Additionally, a group must incorporate as a nonprofit for the purpose of participating in decision making at the Superfund site for which the TAG was granted (for exception see 40 CFR 35.4045). Applicant groups should consult the Superfund TAG Handbooks, "Applying for a Grant" and "The Application Forms with Instructions," for detailed instructions on applying for a TAG.

66.808 SOLID WASTE MANAGEMENT ASSISTANCE GRANTS

FEDERAL AGENCY:

Office of Solid Waste and Emergency Response, Environmental Protection Agency

AUTHORIZATION:

Resource Conservation and Recovery Act of 1976, Public Law 94-580, 42 U.S.C 6901; Solid Waste Disposal Act, Section 8001.

OBJECTIVES:

To promote use of integrated solid waste management systems to solve solid waste generation and management problems at the local, regional and national levels.

Funding Priority - Fiscal Year 2013: Partnerships - Establishing and developing partnerships with states, local governments and other non profit organizations to assist them in advancing their waste management programs, including recycling and reduction of wastes, appropriate management of wastes that are generated, and developing integrated waste management plans. Education and Outreach - Developing and providing education, training, and outreach materials to educate and inform Americans about waste's impact on resource and energy use and pollution. Fostering a better understanding of the environmental ramifications of collective actions including how individual purchasing and manufacturing decisions are connected to the environment and to enable Americans to make better choices resulting in increased recycling, less waste and greater energy savings.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Available for the allowable direct cost expenditures incident to program performance plus allocable portions of allowable indirect costs of the institution, in accordance with established EPA policies. Projects can include the following types of activities: training, surveys, education materials and programs, studies, and demonstrations; assistance to such projects shall not include any element of construction, or any acquisition of land or interest in land, or any subsidy for the price of recovered resources. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

State (including the District of Columbia, Puerto Rico, Virgin Islands, Guam, American Samoa, and Northern Mariana Islands), local, Tribal, interstate, and intrastate government agencies and instrumentalities, and non-profit organizations that are not 501(c)(4) organizations that lobby, including non-profit educational institutions and non-profit hospitals. Individuals and for-profit organizations are not eligible. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the

Agency's Assistance Agreement Competition Policy. Additionally, EPA may limit competition under this CFDA to Tribes and Inter-Tribal Consortia.

Beneficiary Eligibility:

State and local governments, U.S. territories and possessions, the public, and interstate agencies.

Credentials/Documentation:

This program is subject to the provisions of OMB Circular No. A-87. Nonprofits need to provide proof of their status. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Discussion with EPA Headquarters or the appropriate EPA Regional Office is advisable. (See Appendix IV of the Catalog for list of Regional Contacts). Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. The standard application form as furnished by the Federal agency and required by OMB Circular No. A 102 must be used for this program. Requests for application forms and submission of completed applications should be directed to EPA Grants and Interagency Agreements Management Division (3903R), Washington, DC 20460, or the appropriate EPA Regional Office listed in Appendix IV of the Catalog. This program is subject to the provisions of OMB Circulars No. A 110 and No. A102. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

EPA reviews each application. They shall be subjected to administrative evaluation to determine the adequacy of the application in relation to grant regulations and program evaluation criteria to determine the merit and relevance of the project. For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 180 days.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

None. A standard grant application should be prepared and submitted, which will be reviewed in the same manner as a new application.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grants will normally be funded on a 12 month basis (yearly). The total approved project period is generally no longer than 3 years. See the following for information on how assistance is awarded/released: Assistance under this program can be awarded in various ways, but will usually be awarded in lump sum or annually.

Reports:

No program reports are required. No cash reports are required. Quarterly, interim and final progress, expenditure, equipment and invention reports. Progress Reports are required under this program. Generally they are quarterly or monthly. Expenditure Reports are required and usually accompany the progress reports. Performance Monitoring is required under this program.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Financial records, including all documents to support entries on accounting records and to substantiate charges to each grant, must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained until expiration of three years from the date of submission of the final expenditure report. If questions still remain, such as those raised during the three year project period as a result of an audit, related records should be retained until the matter is completely resolved.

Account Identification:

68-0108-0-1-304.

Obligations:

(Cooperative Agreements) FY 12 \$537,010; FY 13 est \$50,000; and FY 14 est \$50,000

Range and Average of Financial Assistance:

(Fiscal year 2012) \$537,010 (one action); \$537,010.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: In fiscal year 2012, no new projects were awarded and two projects were incrementally funded. Fiscal Year 2013: In fiscal year 2013, 1 project is estimated to be awarded. Quantifiable data on the number and ratio of past applications not available. Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

General Grant Regulations and Procedures, Environmental Protection Agency (40 CFR Parts 30, 31 and 33); "EPA Assistance Administration Manual," available from the National Technical Information Service, Springfield, VA 22161, on a subscription basis.

Regional or Local Office:

See Regional Agency Offices. Individuals are encouraged to communicate with the appropriate EPA Regional Office listed in Appendix IV of the Catalog.

Headquarters Office:

Nick Vizzone, USEPA Headquarters, Ariel Rios Building, 1200 Pennsylvania Avenue, N. W. Mail Code: 5305P, Washington, District of Columbia 20460
Email: vizzone.nick@epa.gov Phone: (703) 308-8460.

Website Address:

<http://www.epa.gov/epawaste/index.htm>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: (1) To promote and stimulate information exchange among State hazardous and solid waste officials, other State officials and EPA officials

to jointly resolve RCRA implementation issues and identify emerging issues at State and Federal levels; (2) Provide training, technical assistance, research and studies to support the participation of tribal co-regulators in the formulation of Federal/Tribal environmental policies for solid waste. Fiscal Year 2013: To promote sustainable growth through materials management practices, and provide training and technical assistance. Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement.

66.809 SUPERFUND STATE AND INDIAN TRIBE CORE PROGRAM COOPERATIVE AGREEMENTS

FEDERAL AGENCY:

Office of Solid Waste and Emergency Response, Environmental Protection Agency

AUTHORIZATION:

Comprehensive Environmental Response, Compensation, and Liability Act (CERCLA), Section 104(d), 42 U.S.C 9604(d).

OBJECTIVES:

To effectively implement the statutory requirements of CERCLA Section 121(f) for State involvement. To provide funds to conduct CERCLA activities which are not assignable to specific sites, but support a recipient's site-specific response program.

Funding Priority - Fiscal Year 2013: Funding may be used for a variety of activities that include: (1) procedures for emergency response actions and remediation of environmental and health risks; (2) provisions for satisfying all requirements and assurances; (3) development of legal authorities and enforcement support; (4) hiring and training staff; and, (5) activities that support EPA/recipient interaction.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Core Program Cooperative Agreements are offered to ensure that each State, or Federally Recognized Indian Tribe or intertribal consortia can substantially participate in CERCLA response actions. Recipients negotiate with EPA Regions to receive funding for a variety of activities that include: (1) Procedures for emergency response actions and remediation of environmental and health risks; (2) provisions for satisfying all requirements and assurances; (3) development of legal authorities and enforcement support; (4) hiring and training staff; and (5) activities that support EPA/Recipient interaction. Recipients with Regional approval may receive awards for multi year budget and project periods at the Region's discretion. Funding for the entire project may be made as a lump sum or incrementally over time. Recipients must demonstrate progress towards the goals stated in the Core Program Cooperative Agreement in order to be eligible for continued funding. Only one Core Program Cooperative Agreement will be awarded to each eligible State or Tribe or intertribal consortia through the Single Point of Contact. Funds must be used for non-site-specific activities as described above.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

States (and political subdivisions thereof), Commonwealths, U.S. Territories and Possessions, and Federally Recognized Indian Tribal Governments, including intertribal consortia.

Beneficiary Eligibility:

States (and political subdivisions thereof), Commonwealths, U.S. Territories

and Possessions, and Federally Recognized Indian Tribal Governments, including intertribal consortia.

Credentials/Documentation:

Costs will be determined in accordance with 40 CFR Part 35 Subpart O and OMB Circular No. A 87, "Cost Principles for State, Local, and Indian Tribal Governments," and other supporting documentation required by the Agency. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

The standard application forms as furnished by the Federal agency and required by OMB Circular No. A 102 must be used for this program. Consultation and pre-application conference are recommended. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

EPA regional Superfund program offices review the applications for cooperative agreements. Final approval of application and supporting documentation and offer of award is made by the EPA Regional Administrator unless re-delegated to the Regional Division Director.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately ninety (90) days.

Appeals:

Disputes will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

Applications for additional funding need to include only the revised pages from EPA Form 424.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Percent: 10%. As required by 40 CFR Part 35 Subpart O, State recipients of Core Program Cooperative Agreements must provide a 10 percent cost share of the total project cost on all awards. This match may be made from either direct, non-federal funds, non-reimbursed fund, or with in kind contributions. CERCLA credits earned at other Superfund sites cannot be used to meet the 10 percent match.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Assistance is awarded as a lump sum, or may be incrementally funded. Recipients with Regional approval may receive cooperative agreement awards for multi year budget and project periods at the Region's discretion. Continued funding of tasks in subsequent years is based on an evaluation of demonstrated progress towards the goals in the Core Program Cooperative Agreement Statement of Work. See the following for information on how assistance is awarded/released: In order to receive payment by the letter of credit method, the recipient must comply with the requirements regarding letter of credit described in 40 CFR 31.20 (b)(7) and 31.21(b). The recipient must identify and charge costs to specific sites, activities, and operable units, as applicable, for drawdown purposes as specified in the Cooperative Agreement. If the recipient is unable to meet letter of credit requirements, EPA will pay the recipient by reimbursement. The recipient must comply with the requirements regarding reimbursement described in 40 CFR 31.21(d).

Reports:

No program reports are required. No cash reports are required. The recipients

must submit progress reports no more frequently than quarterly, but at a minimum annually. The recipients must submit financial status reports annually, and a final report. Financial status reports are required. Performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

The record retention requirements of 40 CFR Part 31 and Part 35, Subpart O are applicable. Recipients must keep financial records, including all documents supporting entries on accounting records and to substantiate changes in grants, available to personnel authorized to examine EPA recipients grants and cooperative agreements records. The recipient must maintain all records for 10 years following submission of the final Financial Status Report unless otherwise directed by the EPA award official, and must obtain written approval from the EPA award official before destroying any records. If any litigation, claim, negotiation, audit, cost recovery, or other action involving the records has been started before the expiration of the ten year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular ten-year period, whichever is later.

Account Identification:

68-8145-0-1-304.

Obligations:

(Cooperative Agreements) FY 12 \$8,000,000; FY 13 est \$7,000,000; and FY 14 est \$7,000,000

Range and Average of Financial Assistance:

\$10,380 to \$602,000; with the average award being \$195,542.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: In Fiscal Year 2012, the types of activities funded included: hiring staff, administrative salaries, clerical help, financial accounting, data management, program management, medical monitoring, health and safety training for field employees, computer systems purchases, training, legal assistance and legislative development. Outputs include reports, accounting and tracking systems, hired and trained staff, cost recovery procedures and techniques, laws and regulations for hazardous waste control. In FY 2012, 9 applications were received and 9 awards were made. Fiscal Year 2013: In Fiscal Year 2013, the types of activities funded included: hiring staff, administrative salaries, clerical help, financial accounting, data management, program management, medical monitoring, health and safety training for field employees, computer systems purchases, training, legal assistance and legislative development. Outputs include reports, accounting and tracking systems, hired and trained staff, cost recovery procedures and techniques, laws and regulations for hazardous waste control. In FY 2013, to date, 5 applications were received and 5 awards were made. Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

40 CFR 31, 40 CFR Part 35, Subpart O, Program Guidance Documents.

Regional or Local Office:

See Regional Agency Offices. See Appendix IV of the Catalog.

Headquarters Office:

Yolanda Singer Site Assessment and Remedy Decisions Branch, Assessment and Remediation Division, Office of Superfund Remediation and Technology Innovation, Mail Code 5204P, EPA, Washington, District of Columbia 20460
Email: singer.yolanda@epa.gov Phone: (703) 603-8835

Website Address:

<http://www.epa.gov/superfund>

RELATED PROGRAMS:

66.802 Superfund State, Political Subdivision, and Indian Tribe Site_Specific Cooperative Agreements

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Administrative and program development, accounting systems development, legal authorities and enforcement support, hiring and training of State response staff, procedures for emergency response actions, identifying sites in the State affected by the release of hazardous substances, program management and planning; financial administration, reconciliation of records and financial report preparation; budget preparation/management, procurement and labor allocation accounting and reporting services; and state records and archives representation, cost recovery records maintenance, and cost recovery report preparation. Fiscal Year 2013: Administrative and program development, accounting systems development, legal authorities and enforcement support, hiring and training of State response staff, procedures for emergency response actions, identifying sites in the State affected by the release of hazardous substances, program management and planning; financial administration, reconciliation of records and financial report preparation; budget preparation/management, procurement and labor allocation accounting and reporting services; and state records and archives representation, cost recovery records maintenance, and cost recovery report preparation. Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

For Core Program Cooperative Agreements, recipients must demonstrate a need for non-site specific funds to support activities not covered by other site-specific awards. Each project is examined and selected on a case-by-case basis based upon availability of funds, receipt of application, and other criteria as determined by EPA.

66.810 CHEMICAL EMERGENCY PREPAREDNESS AND PREVENTION (CEPP) TECHNICAL ASSISTANCE GRANTS PROGRAM

(CAA 112 and SARA Title III State Grants Program)

FEDERAL AGENCY:

Office of Solid Waste and Emergency Response, Environmental Protection Agency

AUTHORIZATION:

Clean Air Act, Section 103(b)(3); Toxic Substances Control Act, Section 10(a)&28(a), Public Law 94-469, 15 U.S.C 2601; Clean Air Act, Section 103(I)(4).

OBJECTIVES:

To provide financial assistance to States, Local agencies, and Indian Tribes for chemical accident prevention activities that relate to the Risk Management Program under the Clean Air Act Section 112(r). To provide financial assistance to Tribes for chemical emergency planning, and community right-to-know programs which are established to prevent or eliminate unreasonable risk to the health and environment of communities within the State.

Funding Priority - Fiscal Year 2013: To be similar to previous years, with focus on Capacity Building at the State, Tribe, or local level to implement and enforce the Chemical Accident Prevention provisions of the Clean Air Act Section 112(r) and to address community issues such as development of model technical assistance materials for use by States/Tribes or Local Emergency Planning Committees (LEPCs) to evaluate potential chemical risks to their communities. Additionally, funding will focus on strengthening partnerships among States/Tribes and Local Emergency Planning Committees (LEPCs), industry, emergency responders, and the general public to foster collaboration and build credibility for chemical prevention and preparedness activities. This program will be offered in EPA's Region 1.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Innovative Technical Assistance Grants are offered to improve the ability of

States, Tribes and local communities to protect public health and safety and involve the development of technical assistance or similar materials that could be used directly or adapted by other States/Tribes or Local Emergency Planning Committees (LEPCs). Projects which address implementing the Risk Management Program under the Clean Air Act Section 112(r) are especially of interest. The grant money may not supplant State or Tribal funds already being spent. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

States, Local agencies and Federally Recognized Indian Tribes located within EPA Region 1. This includes for Region 1 the States of Maine, New Hampshire, Vermont, Massachusetts, Connecticut, and Rhode Island.

For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

States, Tribes, Local Emergency Planning Committees, and local emergency responders.

Credentials/Documentation:

OMB Circular No. A-87 is required for this program. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. Potential applicants should contact the CEPP Regional contact listed below to determine if grants are available in a specific Region. The standard application forms as furnished by the Federal agency and required by OMB Circular No. A-102 must be used for this program. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. States, Local agencies, and Indian Tribes must submit the original completed application package and one copy to the appropriate EPA Regional Office. The Regional office will review the application package to ensure completeness. States must comply with all applicable requirements. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements. Grant applications will undergo the following review process: EPA Regional Office staff will evaluate each application and, based on the criteria established will select proposal(s) for funding. EPA Regional offices will be awarding the grants. The review process will concentrate on choosing the best project

concepts. Once the grant is awarded to the State Emergency Response Commission (SERC), Tribal Emergency Response Commission (TERC), directly to the local agencies such as the Local Emergency Planning Committee (LEPC), or other State agency with SERC coordination as appropriate, the recipient will be notified by phone or email. EPA will monitor the work in progress and provide project guidance and oversight to the State, Local agencies, and Tribes.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 180 days.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: There is a 25 percent match requirement for assistance agreements awarded to States under the Toxic Substances Control Act, Section 28.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grants will normally be funded on a 12 to 24 month basis. Total approved project period should not exceed 2 years for a particular project. A call for letters of intent and applications will be advertised annually via the Regions notifying States, Local agencies, and Tribes. Recipients will be notified by phone call or email that their proposal will be funded. The grants may be awarded in a lump sum. Method of awarding/releasing assistance: lump sum.

Reports:

Reports will be required on a quarterly basis to describe applicant's progress. Annual program reports are conducted. Final financial status report is required. Quarterly and final reports are required. Expenditure reports are part of the annual financial status report. Performance monitoring is accomplished through the quarterly progress reports, ongoing EPA involvement with recipient, and on-site reviews as needed.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Financial reports, including all documents to support entries on the accounting records and to substantiate charges to each grant or cooperative agreement must be kept available to personnel authorized to examine EPA grant recipient accounts. All records must be maintained for 3 years from the date of the submission of the annual financial status report. If questions still remain, such as those raised as a result of audit, related records should be retained until the matter is completely resolved.

Account Identification:

68-0108-0-1-304.

Obligations:

(Cooperative Agreements) FY 12 \$145,000; FY 13 est \$145,000; and FY 14 est \$0

Range and Average of Financial Assistance:

For Region 1 the amount is \$60,000 with the range from \$20,000 to \$60,000.

Region 7 will not award any grants in FY13.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Region 7 had an RFP announced that closed, in May 2012. The Region awarded \$60,000 in grant funds to two state agencies. The accomplishments for Region 7's FY12 grant activities is estimated that a total of 100 RMP compliance assistance visits will be conducted within two states (Missouri and Iowa) and stronger Federal and State partnerships with the Emergency Response Commissions will be established. Also 2 RMP outreach seminars will be held in the State of Iowa and both states will update and maintain Risk Assessment Databases.

Region 1 has not published their RFP. Fiscal Year 2013: No Current Data Available
Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Uniform Administrative Requirements for Grants and Cooperative Agreements to States and Local Government (40 CFR Part 31).

Regional or Local Office:

See Regional Agency Offices. Tina Wilkins, Lead EPS, R7/AWMD/IO, 901 North 5th St., Kansas City, Kansas, 66101 telephone 913-551-7964 fax 913-551-7065 email: wilkins.tina@epa.gov

Len Wallace, Environmental Scientist, R1/OES/ERFF, 5 Post Office Square, Boston, MA 02109 telephone 617-918-1835 fax 617-918-0835 email: wallace.len@epa.gov.

Headquarters Office:

Sherry Fielding, OSWER/OEM/POCD (5104A), EPA HQ, Ariel Rios Building, 1200 Pennsylvania Ave., NW, Washington, District of Columbia 20460 Email: fielding.sherry@epa.gov Phone: (202) 564-6174.

Website Address:

<http://www.epa.gov/emergencies>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Region 7 grants that were awarded in FY12 consist of the following:

1) Update the State's databases and cross-reference them with the RMP chemical list to compare facilities and determine which facility should file an RMP. Any facility that falls under the RMP regulations will be scheduled for an on-site compliance visit and those facilities who do not fall under RMP regulations will receive assistance in deregistering. A total of 50 compliance assistance visits will be conducted and list of the facilities and checklist will be given to EPA.

2) Conduct a multi-component project including: risk management program compliance assistance outreach, ANSI K61.1 changes and effect on RMP will be updated to State's database and provided to the regulated community. Under this, 5 RMP CAO's will be conducted, with follow-up regarding RMP submit. In addition, partner with the Iowa Emergency Response Commission (IERC) to hold 4 outreach workshops for LEPCs and the regulated community. Materials developed will be distributed to attendees as well as to every LEPC in the State of Iowa. A list of facilities will be given to EPA. Fiscal Year 2013: No Current Data Available
Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement.

66.812 HAZARDOUS WASTE MANAGEMENT GRANT PROGRAM FOR TRIBES

FEDERAL AGENCY:

Office of Solid Waste and Emergency Response, Environmental Protection Agency

AUTHORIZATION:

Department of Veterans Affairs and Housing and Urban Development and Independent Agencies Appropriation Act, Public Law 105-276, 112 Stat. 2461&2499.

OBJECTIVES:

The Hazardous Waste Management Grant Program for Tribes provides assistance for the development and implementation of hazardous waste management programs; for building capacity to improve and maintain regulatory compliance; and for developing solutions to address hazardous waste management issues in Indian country.

Funding Priority - Fiscal Year 2013: Develop and implement hazardous waste management programs that improve the applicant's ability to properly identify, manage, or dispose of hazardous waste. Build capacity to improve and maintain regulatory compliance and develop solutions to address hazardous waste mismanagement impacting Tribal lands. Development and institutionalization of hazardous waste program capacity for Tribal governments and the communities they serve.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

This grant program will support projects designed to develop and implement hazardous waste management programs. These programs must improve the applicant's ability to properly identify, manage, or dispose of hazardous waste. All hazardous waste management activities that address the Resource Conservation and Recovery Act (RCRA) Subtitle C "cradle to grave" approach are eligible. Each of the following hazardous waste management program activities are considered central to a "cradle to grave" program: Hazardous Waste Identification; Hazardous Waste Generator Siting, Monitoring, & Compliance (Large Quantity, Small Quantity, and Conditionally Exempt Small Quantity Generators); Hazardous Waste Minimization, Recycling, Used Oil, and Universal Wastes; Hazardous Waste Transportation; Treatment, Storage, and Disposal Facility Siting, Permitting, Monitoring, Corrective Action, & Enforcement; Land Disposal Restrictions; Combustion. Assistance will be provided under this program only for activities which EPA determines are appropriate to carry out the purposes of RCRA. Assistance under this program does not include any element of municipal solid waste management, chemical emergency planning and preparedness or superfund program cleanup.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

The following are eligible to receive financial assistance: (a) an Indian tribal government, and (b) an intertribal consortium or consortia. An Indian tribal government is any tribe, band, nation, or other organized group or community, including any Alaska Native village or regional or village corporation (as defined in or established pursuant to the Alaska Native Claims Settlement Act, 43 U.S.C. 1601, et seq.), which is recognized by the U.S. Department of the Interior as eligible for the special services provided by the United States to Indians because of their status as Indians. A consortium is a partnership between two or more Indian tribal governments authorized by the governing bodies of those tribes to apply for and receive assistance under this program. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

Federally Recognized Indian Tribal Governments.

Credentials/Documentation:

This program is subject to the provisions of OMB Circular No. A-87. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. Potential applicants should consult the designated Regional Tribal hazardous waste program coordinator. It is strongly suggested that potential applicants consult with the designated Regional point of contact. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. The annual competitive announcement outlines specific procedures for submitting proposals. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

In order to receive renewals, significant progress on previously awarded grant must be demonstrated.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The terms of the grant shall be determined at the time of the grant award. See the following for information on how assistance is awarded/released:
Assistance agreements will be fully funded by a lump sum.

Reports:

Annual Regional Review. Grantees must provide financial and performance activity reports according to the terms negotiated with the Regional program. Copies of the reports should also be sent to the EPA Office of Resource Conservation and Recovery headquarters representative.

Grantees are required to submit program reports in accordance with Agency policy and the Monitoring and Reporting Program Performance requirements stated in Federal Grant Regulations 40 CFR Part 31 and OMB Circular No.A-102. Grantees are required to submit financial reports in accordance with

Agency policy and the Financial Reporting and Financial Management Systems requirements stated in Federal Grant Regulations 40 CFR Part 31 and OMB Circular No.A-102. Grantees are required to submit progress reports in accordance with Agency policy and the Monitoring and Reporting Program Performance requirements stated in Federal Grant Regulations 40 CFR Part 31 and OMB Circular No.A-102. Typically expenditure reports are not required. However, grantees are required to submit financial reports in accordance with Agency policy and the Financial Reporting and Financial Management Systems requirements stated in Federal Grant Regulations 40 CFR Part 31 and OMB Circular No.A-102. Grantees are required to perform performance monitoring in accordance with Agency policy and requirements stated in Federal Grant Regulations 40 CFR Part 31 and OMB Circular No.A-102.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Records and accounts must be maintained to reflect the operations of the project. Financial records, including all documents to support entries on accounting records and to substantiate changes to each grant must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained until expiration of three years from the date of submission of the final expenditure report. If questions still remain, such as those raised as a result of audit, related records should be retained until the matter is completely resolved.

Account Identification:

68-0103-0-1-304.

Obligations:

(Cooperative Agreements) FY 12 \$311,000; FY 13 est \$293,000; and FY 14 est \$300,000

Range and Average of Financial Assistance:

Range = \$18,000 to \$100,000; Average = \$56,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: In FY 2012, the Office of Resource Conservation and Recovery (ORCR) - formerly OSW - distributed funds to Tribes and Inter-Tribal Consortia in support of activities that facilitate the development of comprehensive management programs to properly identify, manage, or dispose of hazardous waste; build capacity to improve and maintain regulatory compliance; and identify and/or implement solutions that will address hazardous waste mismanagement. In FY 2012, ORCR received 18 proposals. Out of the 18 proposals that were received, all except one were considered eligible and were reviewed. To assist Tribes through the FY 2012 Hazardous Waste Management Grant Program for Tribes, \$311,000 in STAG funding was distributed to Regions 6, 8, and 10. A total of 4 awards were made through these Regions. Fiscal Year 2013: At this time, there are no FY 2013 Program Accomplishments to report. Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

General Grant Regulations and Procedures (40 CFR Part 30), "EPA Assistance Administration Manual," available from the National Technical Information Service, Springfield, VA. Contact designated Regional program coordinator for other documents, including the "Hazardous Waste Management Grant Program for Tribes: Guidance for Applicants."

Regional or Local Office:

See Regional Agency Offices. Designated Regional Tribal Hazardous Waste Management Program Contacts: Region, Address, Name, E-mail Address, Phone, Fax. Region I, U.S. EPA, 1 Congress Street, Boston, MA 02114-2023, Juiyu Hsieh, Hsieh.Juiyu@epa.gov, (617) 918-1302,(617) 918-1646. Region II,

U.S. EPA, 290 Broadway, 22nd Floor, New York, NY 10007-1886, Lorraine Graves, Graves.Lorraine@epa.gov,(212) 637-4099,(212) 637-4437. Region IV, U.S. EPA, Atlanta Federal Center, 61 Forsyth Street, Atlanta, GA 30303, Davy Simonson, Simonson.Davy@epa.gov.(404) 562-8457, (404) 562-8439. Region V, U.S. EPA, 77 W. Jackson Blvd, Chicago, IL 60604, Dolly Tong, Tong.Dolly@epa.gov, (312) 886-1019,(312) 353-6519. Region VI, U.S. EPA, 1445 Ross Avenue, Dallas, TX 75202-2733, Nick Stone (Technical), Stone.Nick@epa.gov, Terrie Wright(Grants), wright.terrie@epa.gov, (214) 665-7226, (214) 665-7216, (214) 665-8453, (214) 665-6762. Region VII, U.S. EPA, 901 N. 5th Street, Kansas City, KS 66101, Gayle Hubert, Hubert.Gayle@epa.gov, (913) 551-7439,(913) 551-9669. Region VIII, U.S. EPA, 1595 Wynkoop Street, Mail Code: 8P-HW, Denver, CO 80202-1129, Susanna Trujillo, Trujillo.Susanna@epa.gov, (303) 312-7008, (303) 312-6341. Region IX, U.S. EPA, 75 Hawthorne Street, Mail Code: WST-7, San Francisco, CA 94105, Michelle Baker, Baker.Michelle@epa.gov, (415) 972-3206,(415) 947-3530. Region X, U.S. EPA, 1200 6th Avenue, Mail Code: WCM-128; Seattle, WA 98101, Fran Stefan, Stefan.Fran@epa.gov, (206) 553-6639, (206) 553-8509.

Headquarters Office:

Denise Roy, Office of Resource Conservation and Recovery, Environmental Protection Agency, 1200 Pennsylvania Ave., NW., (5303P), Washington, District of Columbia 20460 Email: Roy.Denise@epa.gov Phone: (703) 308 8458.

Website Address:

<http://www.epa.gov/epawaste/wyl/tribal/index.htm>

RELATED PROGRAMS:

66.473 Direct Implementation Tribal Cooperative Agreements; 66.605 Performance Partnership Grants; 66.701 Toxic Substances Compliance Monitoring Cooperative Agreements; 66.708 Pollution Prevention Grants Program; 66.801 Hazardous Waste Management State Program Support; 66.808 Solid Waste Management Assistance Grants; 66.810 Chemical Emergency Preparedness and Prevention (CEPP) Technical Assistance Grants Program; 66.926 Indian Environmental General Assistance Program (GAP)

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: In FY 2012, the following activities are suggestive and do not limit the range of activities considered eligible under this grant program. These activities are eligible when they are performed in support of developing and implementing a tribal program to manage hazardous waste: Develop and implement codes, regulations, and ordinances, policies, and/or guidance to regulate hazardous waste management activities and facilities, such as generators, transporters, and treatment, storage and disposal facilities. Develop and implement hazardous waste program enforcement policies and procedures to ensure regulatory compliance, including monitoring and inspection schemes. Develop legal and administrative infrastructure adequate to implement and maintain regulatory and/or non-regulatory hazardous waste management programs. Includes support for Tribal Emergency Response Committees (TERC). Identify and assess hazardous waste generation and ongoing management of concern to the community, including: inventory active and inactive dump/disposal sites and assess contamination potential; characterize hazardous waste stream, including illegal dump-sites; and identify sensitive sites with potential or actual impacts on water bodies, soil, air, cultural/historic resources, threatened or endangered species, etc. Develop and implement tribal integrated hazardous waste management plans (including, but not limited to, source reduction/recycling, household hazardous waste collection programs, pesticide container collection). Implement used oil collection/re-use and other programs to reduce the mismanagement of hazardous waste in the community. Implement household hazardous waste collection events or programs that provide sustainable or long-term solutions for disposal of household hazardous waste for the community. Develop outreach/education documents and other resource materials to plan and conduct hazardous waste management seminars for tribal leaders, community members, business and civic organizations, etc. Establish qualifications for environmental management personnel relative to the tribes needs and plan for program implementation. Establish a communications plan for hazardous waste management program activities. Identify multi-jurisdictional opportunities for hazardous waste management, including feasibility for intergovernmental (Tribal, Federal, State, local) cooperative efforts. Fiscal Year 2013: In FY 2013, the following activities are suggestive

and do not limit the range of activities considered eligible under this grant program. These activities are eligible when they are performed in support of developing and implementing a tribal program to manage hazardous waste: Develop and implement codes, regulations, and ordinances, policies, and/or guidance to regulate hazardous waste management activities and facilities, such as generators, transporters, and treatment, storage and disposal facilities. Develop and implement hazardous waste program enforcement policies and procedures to ensure regulatory compliance, including monitoring and inspection schemes. Develop legal and administrative infrastructure adequate to implement and maintain regulatory and/or non-regulatory hazardous waste management programs. Includes support for Tribal Emergency Response Committees (TERC). Identify and assess hazardous waste generation and ongoing management of concern to the community, including: inventory active and inactive dump/disposal sites and assess contamination potential; characterize hazardous waste stream, including illegal dump-sites; and identify sensitive sites with potential or actual impacts on water bodies, soil, air, cultural/historic resources, threatened or endangered species, etc. Develop and implement tribal integrated hazardous waste management plans (including, but not limited to, source reduction/recycling, household hazardous waste collection programs, pesticide container collection). Implement used oil collection/re-use and other programs to reduce the mismanagement of hazardous waste in the community. Implement household hazardous waste collection events or programs that provide sustainable or long-term solutions for disposal of household hazardous waste for the community. Develop outreach/education documents and other resource materials to plan and conduct hazardous waste management seminars for tribal leaders, community members, business and civic organizations, etc. Establish qualifications for environmental management personnel relative to the tribes needs and plan for program implementation. Establish a communications plan for hazardous waste management program activities. Identify multi-jurisdictional opportunities for hazardous waste management, including feasibility for intergovernmental (Tribal, Federal, State, local) cooperative efforts. Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement.

**66.813 ALTERNATIVE OR INNOVATIVE TREATMENT TECHNOLOGY RESEARCH, DEMONSTRATION, TRAINING, AND HAZARDOUS SUBSTANCE RESEARCH GRANTS
FEDERAL AGENCY:**

Office of Solid Waste and Emergency Response, Environmental Protection Agency

AUTHORIZATION:

Comprehensive Environmental Response, Compensation, and Liability Act (CERCLA), Section 311(b)&(c), Public Law 95-510, 42 U.S.C 9660; Comprehensive Environmental Response, Compensation, and Liability Act (CERCLA), Section 311(b)&(c), Public Law 99-499, 42 U.S.C 9660.

OBJECTIVES:

To support grants and cooperative agreements for (1) a program of research, evaluation, testing, development, and demonstration of alternative or innovative treatment technologies which may be utilized in response actions to achieve more permanent protection of human health and welfare and the environment; (2) a technology transfer program including the development, collection, evaluation, coordination, and dissemination of information relating to the utilization of alternative or innovative treatment technologies for response actions; (3) a program of training and evaluation of training needs in the procedures for the handling and removal of hazardous substances for employees who handle hazardous substances and training in the management of facilities at which hazardous substances are located and in the evaluation of the hazards to human health presented by such facilities for State and local health and environmental agency personnel, and (4) a program of research with respect to the detection, assessment, and evaluation of the effects on and risks to human health of hazardous substances and detection of hazardous substances in the environment.

Funding Priority - Fiscal Year 2013: 1) To promote and stimulate research for

use by state environmental officials to address Superfund policy and implementation issues of importance to states. The project will provide support for state co-regulators' participation in developing policies, procedures and guidance to promote efficient cleanup work by states under the Superfund Program. It will promote state participation in appropriate conferences, meetings, and other related activities, as well as an opportunity for review and comment on guidance and training materials developed by EPA. 2) To conduct research on hazardous substance policy and technical issues in Indian country. 3) To support the Removal Action Focus Group with research on resources, capabilities and successes of State removal and environmental emergency response programs to participate in Superfund large-scale emergencies. 4) To support research and provide training in alternative and innovative treatment technologies that facilitate information exchange and coordination among state agencies responsible for the cleanup of contaminated federal facilities. 5) To support interstate teams that evaluate innovative and alternative treatment and characterization technologies for hazardous site remediation.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grants and cooperative agreements are available to support recipients' eligible and allowable direct costs incurred under an approved work plan plus allowable indirect costs, in accordance with established EPA policies and regulations. Funds awarded under Section 311(b)(3) of CERCLA must be used for projects relating to innovative or alternative treatment technologies that may be utilized in response actions to achieve more permanent protection of human health and welfare and the environment. Types of discrete projects may include: land use controls research; health effects in construction workers; studies of above ground storage tank inspection practices; remediation technologies and strategies; and support for State forums to share information on research on hazardous substances waste remediation. Funding awarded for research under CERCLA 311(c) does not include research within the purview of EPA's Office of Research and Development. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

Assistance under this program is generally available to States, territories, Indian Tribes, and possessions of the U.S., including the District of Columbia, public and private universities and colleges, hospitals, laboratories, other public or private nonprofit institutions, and individuals. In some instances, EPA will consider applications from profit makers, proposing projects with significant technical merit and relevance to EPA's Office of Solid Waste and Emergency Response. Nonprofit organizations described in Section 501(c)(4) of the Internal Revenue Code that engage in lobbying activities as defined in Section 3 of the Lobbying Disclosure Act of 1995 are not eligible to apply. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

State and local governments, U.S. territories and possessions, Indian Tribes, universities and colleges, hospitals, laboratories, industry, and other public and private institutions and individuals.

Credentials/Documentation:

EPA may request that applicants document their nonprofit status. The Agency may also request that applicants demonstrate they have appropriate background, academic training, experience in the field, and necessary equipment to carry out projects. EPA may ask applicants or principle investigators to provide

curriculum vitae and relevant publications. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Applicants considering submitting proposals are advised to discuss proposed projects with or submit pre-applications to staff in the appropriate EPA technical program offices. When making competitive awards, EPA will specify the nature of the pre-application assistance, if any, that will be available to applicants in the Requests for Initial Proposals or Requests for Applications. For information contacts, see Appendix IV of the Catalog. Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. The standard application forms as furnished by the Federal agency and required by OMB Circular No. A-102 and A-110 must be used for this program. EPA requires final applications to be made on Standard Form 424. Requests for application kits must be submitted to the Environmental Protection Agency, Grants and Interagency Agreements Management Division, 3903R, Washington, DC 20460 or through the appropriate EPA Regional Office listed in Appendix IV of the Catalog. Requests for Initial Proposals or Requests for Applications will specify application procedures. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

For non-competitive awards, EPA will conduct an administrative evaluation to determine the adequacy of the application in relation to grant regulations and a technical and program evaluation to determine the merit and relevance of the project. The Agency will then advise the applicant if funding is being considered. A final work plan will then be negotiated with the applicant. For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 180 days.

Appeals:

For non-competitive awards: Disputes will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable. For competitive awards: Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

None. EPA may incrementally fund grants and cooperative agreements under this program. Approval of subsequent funding increments is dependent on satisfactory project progress, continued relevance of the project to EPA's priorities, and availability of funds.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: EPA supports two types of projects under this Section:

1. Alternative or innovative treatment demonstration projects [311(b)(3)].

When making awards under Section 311(b)(3), "Alternative or innovative treatment technology research and demonstration program, Contracts and grants," the Administrator shall, to the maximum extent possible, enter into appropriate cost sharing arrangements. EPA may waive the 311(b)(3) cost sharing requirement in appropriate cases if a justification is provided and accepted.

This program has no statutory cost sharing formula, however, there are funding restrictions. For example, the Administrator shall not provide any Federal assistance for any part of a full-scale field demonstration project unless the applicant can demonstrate that it cannot obtain appropriate private financing on reasonable terms and conditions sufficient to carry out the demonstration project. The total Federal funds for any full-scale field demonstration project shall not exceed 50 percent of the total cost of such project estimated at the time of the award. Furthermore, the Administrator shall not expend more than \$10,000,000 for assistance under the program in any fiscal year and shall not expend more than \$3,000,000 for any single project.

2. Hazardous substance research [311(c)].

Assistance awarded under Section 311(c), "Hazardous substance research" has no cost sharing requirements or funding restrictions. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

EPA generally funds grants and cooperative agreements in a lump sum on a 12-month basis. However, EPA can negotiate the project period with each applicant based on project requirements. Incremental funding may be available. EPA generally limits project periods to 5 years. The Office of Congressional Liaison is notified of the award. They in turn notify the appropriate House and Senate members that the assistance agreement has been awarded. This notification period lasts 5 days. After the 5 Day Congressional notification period, the original award document will be sent to the recipient. A copy of the award document will be sent to the Project Officer and the Las Vegas Financial Management Center or the Regions Financial Management Office. Method of awarding/releasing assistance: lump sum.

Reports:

Reporting requirements are identified at 40 CFR Parts 30 and 31. EPA may include additional information regarding the content and frequency of reporting requirements in the terms and conditions of the agreements. Grantees are required to submit program reports in accordance with Agency policy and the Monitoring and Reporting Program Performance requirements stated in Federal Grant Regulations 40 CFR Part 31 and OMB Circular No.A-102. Grantees are required to submit financial reports in accordance with Agency policy and the Financial Reporting and Financial Management Systems requirements stated in Federal Grant Regulations 40 CFR Part 31 and OMB Circular No.A-102. Grantees are required to submit progress reports in accordance with Agency policy and the Monitoring and Reporting Program Performance requirements stated in Federal Grant Regulations 40 CFR Part 31 and OMB Circular No.A-102. Grantees are required to submit financial reports in accordance with Agency policy and the Financial Reporting and Financial Management Systems requirements stated in Federal Grant Regulations 40 CFR Part 31 and OMB Circular No.A-102. Grantees are required to perform performance monitoring in accordance with Agency policy and requirements stated in Federal Grant Regulations 40 CFR Part 31 and OMB Circular No.A-102.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized

representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

The record retention requirements of 40 CFR Part 30 (nonprofits and universities) or 40 CFR Part 31 (governmental units) are applicable depending upon the identity of the recipient. EPA may require that for profit recipients follow 40 CFR Part 30. Financial records, including all documents to support entries on accounting records and to substantiate changes to each grant must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained until expiration of three years from the date of submission of the final expenditure report. If questions still remain, such as those raised as a result of audit, related records must be retained until the matter is completely resolved.

Account Identification:

68-8145-0-1-304.

Obligations:

(Project Grants) FY 12 \$394,684; FY 13 est \$385,000; and FY 14 est \$750,000

Range and Average of Financial Assistance:

For each fiscal year it is \$20,000 - \$500,000 Average \$250,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: In FY 12 a total of \$394,684.00 has been awarded.

In FY 12 there were 4 active projects in Headquarters. The work in these projects builds on ongoing work by examining long-term stewardship, institutional controls, and site reuse. The priority is to partner with recipients to facilitate the reuse of sites with residual contamination. Fiscal Year 2013: The work in these projects builds on ongoing work by examining long-term stewardship, institutional controls, and site reuse. The desire is to partner with recipients to facilitate the reuse of sites with residual contamination that can be reused is a priority. Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Alternative or innovative treatment technology and hazardous substance research grants are subject to EPA's General Grant Regulations (40 CFR Part 30 and 40 CFR Part 31). Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments and Indian Tribes, OMB Circular No. A-21 for educational institutions, OMB Circular No. A-122 for non-profit institutions, and FAR Part 31 for profit makers.

Regional or Local Office:

See Regional Agency Offices. EPA encourages potential applicants to communicate with the appropriate EPA Regional Office listed in Appendix IV of the Catalog, and the Headquarters program contacts listed below.

Headquarters Office:

Barbara McDonough, Contracts Management Branch, Resources Management Division, Office of Superfund Remediation & Technology Innovation, USEPA (5202-P), 1200 Pennsylvania Ave., N.W., Washington, District of Columbia 20460 Email: mcdonough.barbara@epa.gov Phone: (703) 603-9042.

Website Address:

<http://www.epa.gov/superfund/index.htm>

RELATED PROGRAMS:

66.808 Solid Waste Management Assistance Grants; 66.814 Brownfields Training, Research, and Technical Assistance Grants and Cooperative Agreements ; 66.815 Brownfield Job Training Cooperative Agreements

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: The work in these projects built on ongoing work by examining long-term stewardship, institutional controls, and site reuse. The desire is to partner with recipients to facilitate the reuse of sites with residual contamination that can be reused is a priority. Fiscal Year 2013: The work in these projects built on ongoing work by examining long-term stewardship, institutional controls, and site reuse. The desire is to partner with recipients to facilitate the reuse of sites with residual contamination that can be reused is a priority. Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Competitive applications and proposals will be selected in accordance with

announced criteria. Non-competitive proposals are judged for (a) technical merit in terms of: (1) strengths and weaknesses of the project; (2) adequacy of overall project design; (3) competency of proposed staff; (4) suitability of applicant's available resources; (5) appropriateness of the proposed project period and budget; and (6) probability that the project will accomplish stated objectives; and, for (b) program interest in terms of: (1) the need for the proposed project; and (2) relationship to program objectives. The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement.

66.814 BROWNFIELDS TRAINING, RESEARCH, AND TECHNICAL ASSISTANCE GRANTS AND COOPERATIVE AGREEMENTS

Brownfields 104(k)(6) Grants

FEDERAL AGENCY:

Office of Solid Waste and Emergency Response, Environmental Protection Agency

AUTHORIZATION:

Comprehensive Environmental Response, Compensation, and Liability Act, Section 101(39)&104(k)(6), 42 U.S.C 9604(k)(6).

OBJECTIVES:

CERCLA 104(k)(6) provides EPA with authority for a program of training, research, and technical assistance to individuals and organizations to facilitate the inventory of brownfields properties, assessments, cleanup of brownfields properties, community involvement, or site preparation. Brownfield sites are real property, the expansion, redevelopment, or reuse of which may be complicated by the presence or potential presence of a hazardous substance, pollutant, or contaminant.

Funding Priority - Fiscal Year 2013: Using a competitive grants process, EPA intends to select proposals and award cooperative agreements for training, research, and technical assistance related to brownfields. EPA also intends to incrementally fund projects with satisfactory project progress selected in previous 104(k)(6) training, research, and technical assistance competitions. The Agency gives preference to the ten statutory ranking criteria, as applicable, found at CERCLA 104(k)(5)(C) (see [180] CRITERIA FOR SELECTING PROPOSALS, below) when evaluating applications for research, training and technical assistance funding. In addition to the statutory criteria, EPA also evaluates applicants based on their ability to manage grants and other policy-based factors intended to promote effective stewardship of federal funds. By statute, 25% of the funding for CERCLA 104(k) must be used for characterization, assessment, and remediation of brownfields sites contaminated by petroleum or petroleum products. No more than 15% of the funding appropriated for CERCLA 104(k) grants may be used to fund training, research, and technical assistance grants authorized by CERCLA 104(k)(6).

In FY2013, EPA expects to select and award cooperative agreements in support of brownfields training, research, and technical assistance through the Technical Assistance to Brownfields (TAB) Communities Program. Through that funding opportunity EPA anticipates awarding up to 10 cooperative agreements that will help guide communities through the cleanup and redevelopment process at brownfield properties.

EPA also expects to select and award cooperative agreements for the Brownfields Area-Wide Planning (BF AWP) Program. Through that funding opportunity EPA anticipates awarding approximately 20 cooperative agreements to facilitate community involvement and conduct research, training and technical assistance necessary to develop area-wide plans and implementation strategies which will help facilitate brownfields assessment, cleanup and subsequent reuse.

For certain competitive funding opportunities, the Agency may limit eligibility to a particular subset of eligible applicants. The amount of financial assistance will vary. The total funding amount for the TAB program is expected to be \$5M, or up to \$500,000 per grant per year of award. The total funding amount for the BF AWP program is anticipated to be approximately \$4 million, or up to \$200,000 per grant award.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funds awarded under Section 104(k)(6) of CERCLA must be used for training, research, and technical assistance to individuals and organizations, to facilitate the inventory of brownfields properties, site assessments, cleanup of brownfields properties, community involvement, or site preparation. Grants and cooperative agreements are available to support recipients' eligible and allowable direct costs incurred under an approved work plan plus allowable programmatic costs, in accordance with established EPA policies and regulations. Costs incurred under CERCLA 104(k)(6) grants or cooperative agreements may not be used for an administrative cost, penalty or fine, a Federal cost-share requirement, a response cost for which the recipient of the grant or cooperative agreement is potentially liable under CERCLA 107, or the cost of complying with a Federal law, with the exception of the costs of laws applicable to cleanup of Brownfields sites.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

CERCLA 104(k)(6) cites eligible entities as: a general purpose unit of local government; a land clearance authority or other quasi-governmental entity that operates under the supervision and control of, or as an agent of, a general purpose unit of local government; a government entity created by a State legislature; a regional council or group of general purpose units of local government; a redevelopment agency that is chartered or otherwise sanctioned by a State; a State (note CERCLA 107(27) defines term "State" to include territories or possessions over which the United States has jurisdiction); an Indian Tribe other than in Alaska; an Alaska Native Regional Corporation, Alaska Native Village Corporation and the Metlakatla Indian Community. Nonprofit organizations are also eligible for training, research, and technical assistance grants. Nonprofit organizations must meet the definition of that term in Section 4(6) of the Federal Financial Assistance Management Improvement Act of 1999, Public Law 96-107, 31 U.S.C. 6101 Note: Under this definition, colleges, universities, and community colleges are eligible to apply. However, nonprofit organizations described in Section 501(c)(4) of the Internal Revenue Code that engage in lobbying activities as defined in Section 3 of the Lobbying Disclosure Act of 1995 are not eligible to apply. For profit organizations are not eligible to apply. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

State, Tribal (an Indian Tribe other than in Alaska; an Alaska Native Regional Corporation, Alaska Native Village Corporation and the Metlakatla Indian Community), and local governments, communities with Brownfields sites and their residents, community groups, universities and colleges, industry, and other public and private institutions and individuals.

Credentials/Documentation:

EPA may request that applicants document their non-profit status. The Agency may also request that applicants demonstrate they have appropriate background, academic training, and experience in the field to carry out projects. EPA may ask applicants for research projects to provide curriculum vitae and relevant publications. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. See Appendix 1 for additional information

regarding SPOC's. If there is no single point of contact for the state, or the state has not selected this program for review, applicants must contact directly affected state area-wide regional and local entities prior to award. (See 40 CFR 29.7(b)). Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. The standard application forms as furnished by the Federal agency and required by OMB Circular No. A-102 and A-110 must be used for this program. EPA requires final applications to be made on Standard Form 424. Requests for application kits must be submitted to the Environmental Protection Agency, Grants and Interagency Agreements Management Division, 3903R, Washington, DC 20460 or through the appropriate EPA Regional Office listed in Appendix IV of the Catalog. This is a competitive grant program. Requests for Initial Proposals or Requests for Applications will specify application procedures. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

EPA is required by statute to conduct this assistance program competitively. For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 180 days.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

EPA may incrementally fund grants and cooperative agreements under this program. Approval of subsequent funding increments is dependent on satisfactory project progress, continued relevance of the project to EPA's priorities, and availability of funds. Incremental funding is subject to review and approval by the Office of Brownfields and Land Revitalization, Office of Solid Waste and Emergency Response. The funded grants and cooperative agreements are not eligible for renewal.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: For training, research and technical assistance grants, EPA will not require that applicants include a match or cost share unless required by the terms of a competitive announcement. Even if EPA decides not to require matching funds, a statutory factor in ranking applications under Section 104(k)(6) is the extent to which EPA financial assistance will stimulate the availability of other funds for environmental assessment or remediation, and subsequent reuse of Brownfields sites. Applicants may be encouraged to provide information regarding resources (cash/in-kind services) that they, or a project partner, would commit to efforts receiving EPA financial assistance.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

EPA generally funds grants and cooperative agreements on a 12 month basis, however, EPA may fully fund the agreements at the time of award. EPA will negotiate the project period with each applicant based on project requirements. The project period can range anywhere from two to five years. The anticipated

period of performance for the TAB cooperative agreements is five years. The anticipated period of performance for the BF AWP cooperative agreements is two years. The anticipated period of performance for Other Research, Training and Technical Assistance Grants is up to five years. See the following for information on how assistance is awarded/released: EPA generally funds the research, training, and technical assistance grants and cooperative agreements incrementally on a 12-month basis. However, EPA can negotiate the assistance award with each applicant based on project requirements (for example, usually EPA fully funds the two-year BF AWP grants at initial award).

Reports:

No program reports are required. No cash reports are required. Reporting requirements are identified at 40 CFR Parts 30 and 31. EPA may include additional information regarding the content and frequency of reporting requirements in the terms and conditions of the agreements. Quarterly progress reports are generally required as part of the terms and conditions of the grants and cooperative agreements. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

The record retention requirements of 40 CFR Part 30 (non-profits and universities) or 40 CFR Part 31 (governmental units) are applicable depending upon the identity of the recipient. Financial records, including all documents to support entries on accounting records and to substantiate changes to each grant must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained until expiration of three years from the date of submission of the final expenditure report. If questions still remain, such as those raised as a result of audit, related records must be retained until the matter is completely resolved.

Account Identification:

68-0103-0-1-304.

Obligations:

(Cooperative Agreements) FY 12 \$1,500,000; FY 13 est \$9,000,000; and FY 14 est \$12,000,000 - For FY 2012 the program obligated \$1.5M in incremental funding to grants already awarded under this CFDA. The funding estimated to be awarded in FY 2013 is approximately \$9M; this includes up to \$5M for Technical Assistance to Brownfields Communities (TAB) grants and up to \$4M for Brownfields Area-Wide Planning grants, to be selected via grants competitions. At this time the total funding estimated for FY 2014 is approximately \$12M; of which approximately \$3M is designated for Technical Assistance to Brownfields Communities- Project Implementation (TAB-PI) cooperative agreements, approximately \$4M for Brownfields Area-Wide Planning cooperative agreements, and approximately \$5M for other Research, Training and Technical Assistance (k6) cooperative agreements. The solicitations for TAB-PI and other Research, Training, and Technical Assistance (k6) cooperative agreements are expected to open in FY 2013, but proposals will be selected and cooperative agreements awarded in FY 2014.

Range and Average of Financial Assistance:

Historically, the Brownfields Training, Research, and Technical Assistance cooperative agreements have ranged anywhere from \$115,000 to \$2,000,000 over the entire cooperative agreement, depending on the specific focus area of solicitation. The average of financial assistance awarded in FY2012 (based on the cooperative agreements that were incrementally funded) is \$150,000. The average award amount expected for FY 2013 is approximately \$200,000 per cooperative agreement for Brownfields Area-Wide Planning, and \$500,000 per year for Technical Assistance to Brownfields Communities (TAB) cooperative

agreements.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: In FY 2012, EPA provided approximately \$1.5M in incremental funding to projects awarded in previous years. The incrementally funded grants provided training, community education and technical assistance to support brownfields assessment and cleanup efforts by state, local and tribal governments, communities, nonprofit organizations and private sector entities. The grant activities also resulted in research reports, case studies, and documents assisting communities with brownfields projects. EPA also opened solicitations for the Technical Assistance to Brownfields (TAB) and Brownfields Area-Wide Planning (BF AWP) grant program projects in FY2012, with those cooperative agreements selected and awarded in FY2013. Fiscal Year 2013: In FY 2013, EPA awarded cooperative agreements to nine entities under the Technical Assistance to Brownfields Communities (TAB) grant program. These grantees will provide technical assistance to communities to help them with specific challenges related to brownfield sites. EPA also awarded cooperative agreements to twenty entities under the Brownfields Area-Wide Planning grant program. These grantees will work with their community and other stakeholders to develop an area-wide plan and implementation strategy for cleaning up and reusing catalyst/high priority brownfields. EPA also incrementally funded grants for other research, training and technical assistance (k6), which were awarded in previous years.

EPA also plans to solicit proposals under the Technical Assistance to Brownfields Communities - Project Implementation (TAB-PI), Brownfields Area-Wide Planning, and other Research, Training and Technical Assistance (k6) grant programs in FY2013, with those cooperative agreements to be awarded in FY2013. Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Brownfields training, research, and technical assistance grants are subject to EPA's General Grant Regulations (40 CFR Part 30 and 40 CFR Part 31). Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments and Indian Tribes, OMB Circular No. A-21 for educational institutions, and OMB Circular No. A-122 for non-profit institutions. EPA will provide applicants with guidance on statutory prohibitions on the use of grant and cooperative agreement funds in Requests for Proposals, Requests for Applications, or by other means. EPA will provide assistance regarding clarification of the grant proposal guidelines. Potential applicants may submit written requests to OBLR for clarification. Significant clarifications to the guidelines will be posted on the brownfields website <http://www.epa.gov/brownfields>. This is the only form of pre-application assistance available. EPA will not meet with applicants to discuss draft proposals or provide informal comments on proposals.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

David Lloyd, Office of Brownfields and Land Revitalization, OSWER, EPA, 1200 Pennsylvania Ave, NW, Washington, District of Columbia 20460 Email: lloyd.davidr@epa.gov Phone: (202) 566-2777.

Website Address:

<http://www.epa.gov/brownfields>

RELATED PROGRAMS:

66.815 Brownfield Job Training Cooperative Agreements; 66.817 State and Tribal Response Program Grants; 66.818 Brownfields Assessment and Cleanup Cooperative Agreements

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: In FY 2012, EPA provided incremental funding to research, training and technical projects awarded in previous years. The incrementally funded grants provided training, community education and technical assistance to support brownfields assessment and cleanup efforts by state, local and tribal governments, communities, nonprofit organizations and private sector entities. The grant activities resulted in research reports, case studies, and documents assisting communities with brownfields projects. Fiscal Year 2013: In FY 2013, EPA awarded Brownfields (k)(6) funding for research, training and technical assistance pertaining to Technical Assistance to Brownfields Communities

(TAB), local community approaches to Brownfields Area-wide Planning, and incremental funding of existing cooperative agreements funded in previous years. EPA awarded TAB cooperative agreements to nine entities who will assist communities in each EPA region that are dealing with local brownfield issues. EPA awarded area-wide planning funds to twenty communities (including local government, non-profit organizations, and a regional planning commission) to involve the community in developing area-wide plans and implementation strategies to help address local brownfield sites. For the incrementally funded projects, EPA funds were used to provide training, community education and technical assistance to support brownfields assessment and cleanup efforts by state, local and tribal governments, communities, nonprofit organizations and private sector entities. Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

This is a competitive grant program. The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement.

66.815 ENVIRONMENTAL WORKFORCE DEVELOPMENT AND JOB TRAINING COOPERATIVE AGREEMENTS

Environmental Workforce Development and Job Training Program

FEDERAL AGENCY:

Office of Solid Waste and Emergency Response, Environmental Protection Agency

AUTHORIZATION:

The Comprehensive Environmental Response, Compensation, and Liability Act, Section 311(b)(3)(9); The Comprehensive Environmental Response, Compensation, and Liability Act, Section 104k(6); Solid Waste Disposal Act, Section 8001; Toxic Substances Control Act, Section 10; Clean Water Act, Section 104.

OBJECTIVES:

The objective of the Environmental Workforce Development and Job Training Program is to recruit, train, and place unemployed and under-employed, predominantly low-income and minority, residents of solid and hazardous waste-impacted communities with the skills needed to obtain full-time, sustainable employment in site assessment, cleanup, and the environmental field at large. Furthermore, this program promotes the facilitation of activities related to assessment, cleanup, or preparation of contaminated sites for reuse, while simultaneously building a local workforce with the skills needed to perform remediation work that are supportive of environmental protection and health and safety.

Funding Priority - Fiscal Year 2013: In FY13, under the Environmental Workforce Development and Job Training Grant Application Guidelines, applicants were provided the opportunity to deliver training outside the traditional scope of brownfields hazardous waste training. As a result of this, applicants were now provided the opportunity to deliver additional training in areas such as: solid waste management and recycling, emergency management and oil spill cleanup, Superfund cleanup related training, innovative and alternative treatment technologies, wastewater treatment and stormwater management, chemical safety, enhanced environmental health and safety training, and leaking underground storage tank removal. Other types of supplemental environmental training are also eligible as this list is not exhaustive. Under the FY13 competition, energy auditing, solar installation, and weatherization were eligible. Applicants in FY13 were also evaluated heavily on the extent to which they had partnered with employers willing to hire graduates and the extent to which they had conducted labor market assessments. Applicants were also given preference if the community proposed to be targeted had experienced significant economic disruption, including auto or manufacturing plant closures; whether the applicant was proposing to target tribal residents; and, applicants that proposed to deliver diverse training, other than brownfields hazardous waste training.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funds awarded under Section 104(k)(6) of CERCLA must be used for training,

research, and technical assistance to individuals and organizations, to facilitate the inventory of brownfields properties, site assessments, cleanup of brownfields properties, community involvement, or site preparation. Funds under Section 311(b)(3)(9) of CERCLA must be used for training in innovative and alternative treatment technologies. For Environmental Workforce Development and Job Training grants however, individuals are not eligible to apply. (See eligibility requirements in Section 080) Grants and cooperative agreements are available to eligible entities throughout the United States. Eligible applicants are allowed to design their own curricula. In FY13, applicants were provided flexibility to include the following types of training in their curriculum: leaking underground storage tank removal; solid waste management or cleanup (i.e. recycling center operator training, landfill remediation and capping, demolition and debris collection and recycling, etc.); wastewater and stormwater related training; chemical safety or enhanced environmental health and safety training; and, innovative and alternative treatment technologies related training (i.e. preparation of contaminated sites for solar installation, green remediation techniques, phytoremediation, soil amendments, advanced sampling instrument operator training, or bioswale system site preparation, etc.) Note: this list is not exhaustive and other types of eligible training may be offered consistent with the statutory authorities. All applicants were required to include OSHA 29 CFR 1910.120 40-hour Hazardous Waste Operations and Emergency Response (HAZWOPER) in their curricula. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>. Grants and cooperative agreements are available to support recipients' eligible and allowable direct costs incurred under an approved work plan plus allowable programmatic costs, in accordance with established EPA policies and regulations. Costs incurred under CERCLA 104(k)(6) grant or cooperative agreements may not be used for an administrative cost, penalty or fine, a Federal cost-share requirement, a response cost for which the recipient of the grant or cooperative agreement is potentially liable under CERCLA 107, or the cost of complying with a Federal law, with the exception of the costs of laws applicable to cleanup of sites.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

Proposals will be accepted from either eligible governmental entities as defined in CERCLA Section 104(k)(1) or eligible nonprofit organizations as defined in Public Law 106-107, the Federal Financial Assistance Management Improvement Act. Eligible governmental entities include a general purpose local unit of government; a land clearance authority or other quasi-governmental entity that operates under the supervision and control of, or as an agent of, a general purpose unit of government; a governmental entity created by a state legislature; a regional council or group of general purpose units of local government; a redevelopment agency that is chartered or otherwise sanctioned by a state; a state; an Indian Tribe (other than in Alaska), or an Alaskan Native Regional Corporation and an Alaska Native Village Corporation as those terms are defined in the Alaska Native Claims Settlement Act (43 U.S.C. 1601 and following); and the Metlakatla Indian Community. Intertribal consortia, except consortia comprised of ineligible Alaskan tribes, are eligible to apply as well. Eligible nonprofit organizations include any corporation, trust, association, cooperative, or other organization that is operated mainly for scientific, educational, service, charitable, or similar purpose in the public interest; is not organized primarily for profit; and uses net proceeds to maintain, improve, or expand the operation of the organization. Workforce Investment Boards that meet these criteria may be eligible nonprofit organizations. Public and nonprofit private educational institutions are eligible to apply. However, nonprofit organizations described in Section 501(c)(4) of the Internal Revenue Code that engage in lobbying activities as defined in Section 3 of the Lobbying Disclosure Act of 1995 are not eligible to apply.

For-profit or proprietary training organizations or trade schools are not eligible to apply. Evidence of nonprofit status under Federal, state or tribal law must be provided at the time the proposal is submitted. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy. Applicants that received an Environmental Workforce Development and Job Training grant from EPA in Fiscal Year 2012 were not eligible to apply in FY13 for regular appropriation funds - unless proposing to serve a different city. A list of environmental workforce development and job training grants awarded in Fiscal Year 2012 and 2013 can be found on the Brownfields Website, <http://www.epa.gov/brownfields/pilot.htm#previous>. Applicants were also required to demonstrate that proposed environmental job training projects do not duplicate other federally funded environmental job training projects in the target community.

Beneficiary Eligibility:

Environmental Workforce Development and Job Training grants will provide environmental job training and help individuals of solid and hazardous waste-impacted neighborhoods take advantage of job opportunities created as a result of the management, assessment and cleanup of contaminated properties, as well as employment in wastewater and chemical safety related positions.

Credentials/Documentation:

EPA requires that applicants document their nonprofit status at the time of application. The Agency may also request that applicants demonstrate that they have appropriate background, academic training, experience in fields, and necessary equipment to carry out training projects. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

This is a competitive grant program. Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. By statute, EPA must award Environmental Workforce Development and Job Training grants competitively. EPA will specify application procedures in Requests for Initial Proposals or Request for Applications. As stipulated in the Requests for Applications, successful grant applicants must provide, in addition to the SF 424, a Dun and Bradstreet Data Universal Numbering System (DUNS) number, which is now required when applying for federal grants or cooperative agreements on or after October 1, 2003. For more information go to: <http://www.grants.gov>. Requests for application kits must be submitted to the Environmental Protection Agency, Grants and Interagency Agreements Management Division, 3903R, Washington, DC 20460 or through the appropriate EPA Regional Office listed in Section 7 of the application guidelines. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements. Final approval of applications for job training grants and supporting documentation is made by EPA. Award of grant funds are made by EPA Regional Award Officials.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

For job training grants, the range of approval/disapproval time will be

approximately 90 days.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

EPA may incrementally fund grants and cooperative agreements under this program. Approval of subsequent funding increments is dependent on satisfactory project progress, continued relevance of the project to EPA's priorities and availability of funds. Renewals and extensions of funding are not available.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: For Environmental Workforce Development and Job Training Grants, EPA does not require that applicants include a match or cost share. However, evidence of leveraged funds is encouraged. Furthermore, a statutory factor in ranking applications under Section 104(k)(6) is the extent to which EPA financial assistance will stimulate the availability of other funds for environmental assessment or remediation, and subsequent reuse of Brownfields sites. Applicants may be encouraged to provide information regarding resources (cash/in-kind services) that they, or a project partner, would commit to efforts receiving EPA financial assistance. EPA may take these commitments into account in ranking proposals.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The performance period for job training grant funds is generally three years. Grant cooperative agreements may be amended to provide additional funding and additional time for grant recipients demonstrating significant success in training efforts depending upon the availability of funding. Proposal funding is not guaranteed at any stage of the proposal process until the Cooperative Agreement, including workplan, is completed and the final award is made. All awards are made in a lump sum. Method of awarding/releasing assistance: lump sum.

Reports:

No program reports are required. No cash reports are required. Reporting requirements are identified at 40 CFR Parts 30 and 31. EPA may include additional information regarding the content and frequency of reporting requirements in the terms and conditions of the agreements. Quarterly progress reports are generally required as part of the terms and conditions of the cooperative agreements. No expenditure reports are required. Performance monitoring is in accordance with the terms and conditions of the cooperative agreement.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

The record retention requirements of 40 CFR Part 30 (non-profits and universities) or 40 CFR Part 31 (governmental units) are applicable depending upon the identity of the recipient. Financial records, including all documents to support entries on accounting records and to substantiate changes to each grant must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained until expiration of three years from the date of submission of the final expenditure report. If questions still remain, such as

those raised as a result of audit, related records must be retained until the matter is completely resolved.

Account Identification:

68-0103-0-1-304.

Obligations:

(Cooperative Agreements) FY 12 \$3,000,000; FY 13 est \$3,000,000; and FY 14 est \$2,500,000

Range and Average of Financial Assistance:

Average: \$200,000. In FY11, grant awards made at \$300,000 each. For job training grants awarded in FY12, grant awards were made at \$200,000 each. In FY13, grant awards will be made at \$200,000 each. The performance period for these grants in FY13 is generally 3 years.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: 15 grant awards were made in FY12 at \$200,000 each. In FY12, 76 applications were received and 15 were funded. Fiscal Year 2013: 16 grant awards are anticipated to be made in FY13 at approximately \$200,000 each. In FY13, 64 applications were received and 16 are anticipated to be funded. Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

For job training grants, costs must be in accordance with OMB Circulars Nos. A-87 (state, tribal and local governments), A-21 (universities) and A-122 (nonprofit organizations), depending on the recipient. Recipients must comply with 40 CFR Part 30 for universities and nonprofit organizations, and Part 31 for states, tribes, and local governments. In addition, recipients must comply with applicable provisions of EPA training grant regulations at 40 CFR Part 45. EPA will also provide applicants with guidance on statutory prohibitions on the use of grant and cooperative agreement funds in Requests for Initial Proposals, Requests for Applications, or by other means.

Regional or Local Office:

See Regional Agency Offices. EPA Regional Offices are listed in Section 7 in the Application Guidelines for Brownfields Job Training Grants.

Headquarters Office:

Joseph Bruss, Office of Brownfields and Land Revitalization, OSWER, EPA, Washington, District of Columbia 20460 Email: bruss.joseph@epa.gov Phone: (202) 566-2772.

Website Address:

<http://www.epa.gov/brownfields>.

RELATED PROGRAMS:

66.808 Solid Waste Management Assistance Grants; 66.813 Alternative or Innovative Treatment Technology Research, Demonstration, Training, and Hazardous Substance Research Grants; 66.814 Brownfields Training, Research, and Technical Assistance Grants and Cooperative Agreements ; 66.817 State and Tribal Response Program Grants; 66.818 Brownfields Assessment and Cleanup Cooperative Agreements

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: In Fiscal Year 2012 the EPA awarded cooperative agreements to a variety of eligible entities and nonprofit organizations to provide local residents with the skills needed to gain employment in careers related to the assessment and cleanup of hazardous and solid waste sites, wastewater management, and chemical safety. These cooperative agreements included training and instruction in a variety of subjects, including: OSHA health and safety training, HAZWOPER and HAZMAT certification, lead and asbestos abatement, forklift operations, phytoremediation, mold remediation, site assessment, sampling, wastewater management, chemical safety, leaking underground storage tank prevention, field investigation, instrumentation, and treatment technologies. Furthermore, these cooperative agreements provided job training programs the funds needed to perform recruitment and community outreach to residents in low-income and minority neighborhoods impacted by contaminated sites. Fiscal Year 2013: In Fiscal Year 2013 the EPA anticipates awarding cooperative agreements to a variety of eligible entities and nonprofit organizations to provide local residents with the skills needed to gain employment in careers related to the assessment and cleanup of hazardous and solid waste sites, wastewater and stormwater management, leaking underground storage tank removal, Superfund site cleanup, and chemical safety. These

cooperative agreements included training and instruction in a variety of subjects, including: OSHA health and safety training, HAZWOPER and HAZMAT certification, lead and asbestos abatement, forklift operations, phytoremediation, mold remediation, site assessment, sampling, wastewater management, chemical safety, leaking underground storage tank removal, field investigation, instrumentation, and treatment technologies. Furthermore, these cooperative agreements provided job training programs the funds needed to perform recruitment and community outreach to unemployed residents impacted by contaminated sites. Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement.

66.816 HEADQUARTERS AND REGIONAL UNDERGROUND STORAGE TANKS PROGRAM

FEDERAL AGENCY:

Office of Solid Waste and Emergency Response, Environmental Protection Agency

AUTHORIZATION:

Resource Conservation and Recovery Act of 1976; Superfund Amendments and Reauthorization Act of 1986, Public Law 99-499, 42 U.S.C 6991; Solid Waste Disposal Act of 1976, Section 8001(a)&(b), Public Law 98-616; EPA's Annual Appropriations Act.

OBJECTIVES:

To support activities that promote the prevention, compliance, and identification of underground storage tanks, and to support activities that promote corrective action, enforcement and management of releases from underground storage tank systems.

Funding Priority - Fiscal Year 2013: High priority tasks include: (1) Training Support: Provide State and tribal underground storage tanks (UST) and leaking underground storage tanks (LUST) programs and the regulated community mechanisms by which state and federal "co-regulator" communication, assistance (which may include research and studies) and training on UST/LUST program implementation can occur on both a regional and national scale; (2) Electronic Newsletter: Provide state and tribal regulators, the general public and the regulated community with technical information on underground storage tank (UST) systems; and (3) State/Federal Partnerships: Provide technical assistance and forums for information exchange to assist States in developing their program capabilities to manage their UST/LUST programs in the most efficient and effective manner possible.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Grants and cooperative agreements are available to support recipients' eligible and allowable direct costs incurred under an approved work plan plus allowable indirect costs, in accordance with established EPA policies and regulations. Use Restrictions are that funds awarded under Section 8001(a) and (b) of RCRA must be used for projects that may include the following types of activities: research presentations, educational materials, surveys, training, studies, demonstrations, investigations, special projects and the development or use of methods to improve UST systems management and performance to reduce the actual and potential risks to human health and the environment.

Applicant Eligibility:

For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

These assistance agreements are only available to public authorities (State, interstate, intrastate, agencies designated by States or Territorial Governors to receive UST notifications, federally-recognized Tribes and Intertribal Consortia, and local), public agencies and institutions; private non-profit organizations and agencies that meet the requirements of Section 8001(a) and (b) of the Solid Waste Disposal Act. Profit-making organizations and the general public are not eligible.

Beneficiary Eligibility:

State and local governments, territories and possessions, interstate agencies, Tribes, Intertribal Consortia, members of the regulated community and residents in areas impacted by federally regulated underground storage tanks.

Credentials/Documentation:

EPA may request that applicants document their nonprofit status. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 180 days.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

None. EPA may incrementally fund grants and cooperative agreements under this program. Approval of subsequent funding increments is dependent on satisfactory project progress, continued relevance of the project to EPA's priorities, and availability of funds.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Cost sharing is not required, unless noted in request for applications or initial proposals.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The length and time phasing of the assistance agreement shall be determined prior to the award. Assistance agreements are awarded either as non-competitive or competitive, subject to EPA Order 5700.5A1. The length of the assistance agreements may be from one year to seven years. See the following for information on how assistance is awarded/released: The funding is released to the recipient according to the recipient's accounting requests, e.g., quarterly, monthly, etc.

Reports:

Reporting requirements are identified at 40 CFR Parts 30 and 31. EPA may include additional information regarding the content and frequency of reporting requirements in the terms and conditions of the agreements. No cash reports are required. The recipients submit quarterly reports that describe the progress and financial expenditures to date. No expenditure reports are required. EPA conducts baseline and advanced post-award monitoring of the recipient's performance.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

The record retention requirements of 40 CFR Part 30 (non-profits and universities) or 40 CFR Part 31 (governmental units) are applicable depending upon the identity of the recipient. Financial records, including all documents to support entries on accounting records and to substantiate changes to each grant must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained until expiration of three years from the date of submission of the final expenditure report. If questions still remain, such as those raised as a result of audit, related records should be retained until the matter is completely resolved.

Account Identification:

68-8153-0-7-304; 68-0108-0-1-304.

Obligations:

(Project Grants) FY 12 \$1,115,000; FY 13 est \$1,170,000; and FY 14 Estimate Not Available - EPM: FY 2012 actual: \$770,000; FY 2013 estimate: \$552,000.

LUST: FY 2012 actual: \$345,000; FY 2013 estimate: \$618,000.

For both appropriations, the FY 2014 amounts are unknown at this time.

Range and Average of Financial Assistance:

FY 2013 Range: \$135,000 - \$262,000; Average: \$192,500.

Assistance agreements are awarded on a multiple year basis, with incremental funding.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Five applications were received and were awarded as incremental and supplemental funding on existing assistance agreements. Recipients provided states and/or tribal staff with opportunities for training and dissemination of information to help with meeting the program's UST and LUST performance measures, as identified in the FY 2012 National Program Guidance, see <http://www.epa.gov/planandbudget.html>. Fiscal Year 2013: Three applications were received and will be awarded as incremental funding on existing assistance agreements. Two applications were received in response to a competitive announcement and are expected to be awarded in FY 2013. Recipients provided states and/or tribal staff with opportunities for training and dissemination of information to help with meeting the program's UST and LUST performance measures, as identified in the FY 2013 National Program

Guidance, see <http://nepis.epa.gov/Exe/ZyPDF.cgi?DockKey=P100F77T.PDF>. Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

General Grant Regulations and Procedures (40 CFR Part 30, 31, and 34); "EPA Assistance Administration Manual," available from the National Technical Information Service, Springfield, VA, on a subscription basis. Costs will be determined in accordance with OMB Circular No. A-21 (2 CFR Part 220) for educational institutions, OMB Circular No. A-87 (2 CFR Part 225) for State and local governments and Indian Tribes, and OMB Circular No. A-122 (2 CFR Part 230) for non-profit institutions.

Regional or Local Office:

See Regional Agency Offices. For state-specific opportunities, EPA encourages potential applications to communicate with the appropriate EPA Regional Underground Storage Tanks Regional Program Managers, see <http://www.epa.gov/swerust1/index.htm>.

Headquarters Office:

Lynn Depont, 1200 Pennsylvania Avenue, NW, Mail Code 5401P, Washington, District of Columbia 20460 Email: depont.lynn@epa.gov Phone: (703) 603-7148.

Website Address:

<http://www.epa.gov/swerust1/index.htm>

RELATED PROGRAMS:

66.804 State and Tribal Underground Storage Tanks Program; 66.805 Leaking Underground Storage Tank Trust Fund Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: 1) Training Support: Provide underground storage tanks (UST) and leaking underground storage tanks training activities for states and tribes, e.g., Tribal Conference, training on the effective implementation strategies and the tools utilized among the states with regulatory programs underway, program initiatives, compliance with UST systems, and UST releases. 2) Electronic Newsletter (LUSTLine): Provide state and tribal regulators, the general public and the regulated community with technical information on UST systems. 3) State/Federal Partnerships: Provide technical assistance, topic-oriented meetings and forums for information exchanges to assist States in developing their program capabilities to manage their UST/LUST programs in the most efficient and effective manner possible. 4) Studies: Gather information and provide a summary on technical issues, e.g., study on the impact of using on-site measurement technologies for site assessment and contaminant conceptualization at petroleum release sites. 5) Disseminate technical information to states, tribes and Intertribal Consortia on UST/LUST program implementation. Fiscal Year 2013: 1) Training Support: Provide underground storage tanks (UST) and leaking underground storage tanks training activities for states and tribes, e.g., Tribal Conference, training on the effective implementation strategies and the tools utilized among the states with regulatory programs underway, program initiatives, compliance with UST systems, and UST releases. 2) Electronic Newsletter (LUSTLine): Provide state and tribal regulators, the general public and the regulated community with technical information on UST systems. 3) State/Federal Partnerships: Provide technical assistance, topic-oriented meetings and forums for information exchanges to assist States in developing their program capabilities to manage their UST/LUST programs in the most efficient and effective manner possible. 4) Studies: Gather information and provide a summary on technical issues, e.g., study on the impact of using on-site measurement technologies for site assessment and contaminant conceptualization at petroleum release sites. 5) Disseminate technical information to states, tribes and Intertribal Consortia on UST/LUST program implementation. Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement.

66.817 STATE AND TRIBAL RESPONSE PROGRAM GRANTS**FEDERAL AGENCY:**

Office of Solid Waste and Emergency Response, Environmental Protection Agency

AUTHORIZATION:

Small Business Liability Relief and Brownfields Revitalization Act; Comprehensive Environmental Response, Compensation, and Liability Act, Section 128(a), Public Law 107-118.

OBJECTIVES:

EPA's CERCLA Section 128(a) grant program funds activities that establish or enhance state and tribal response program capacity, capitalize revolving loan funds (RLFs), and support insurance mechanisms. The goal of this funding is to provide financial support to establish and enhance the four elements of an effective state or tribal response program as specified in CERCLA Section 128 and to ensure that states and tribes maintain a public record of sites included in their programs.

Funding Priority - Fiscal Year 2013: In FY 2013, funding will be prioritized as follows: (1) Funding for program development activities to establish or enhance the four elements of a state or tribal response program and to enable states and tribes to comply with the public record requirement, including activities related to institutional controls. (States and tribes that have established one or more of the four elements will not be prejudiced in funding distributions if their work plan includes activities that enhance the four elements. States with Voluntary Cleanup Program Memorandum of Agreement will not be prejudiced in funding distributions if their work plan does not include tasks related to establishing or enhancing the four elements.) (2) Funding for program development activities to enhance the response program or the cleanup capacity of a state or tribal response program. (3) Funding for site-specific activities at eligible brownfields sites. (4) Funding for environmental insurance mechanisms. (5) Funding to capitalize brownfields cleanup RLFs.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

States and tribes can use Section 128 funding to (1) establish or enhance four statutory elements of a response program, as per CERCLA 128(a)(2), (2) capitalize a Revolving Loan Fund program for brownfields cleanup, pursuant to CERCLA 104(k)(3), (3) purchase environmental insurance or develop a risk sharing pool, an indemnity pool, or insurance mechanism to provide financing for response actions under their programs, (4) maintain and update, at least annually, a public record of sites, pursuant to CERCLA 128(b), that includes the name and location of sites at which response actions have been completed during the previous year and the name and location of sites at which response actions are planned to be addressed in the next year, and (5) conduct limited site-specific activities. "Establish" includes activities necessary to build the foundation for the four elements of a state or tribal response program or may also include activities that keep their program at a level that meets the four elements. "Enhance" is related to activities that add to or improve a state or tribal response program or increase the number of sites at which response actions are conducted under a state or tribal response program. States and tribes may use Section 128(a) funds for activities that establish and enhance their response programs, even if their response programs address petroleum contamination. Restrictions apply to site-specific activities, such as assessment and cleanup. Assessments and cleanups must be conducted at eligible brownfields sites, as defined in CERCLA 101(39).

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

States (as defined in CERCLA Section 101(27)) and tribes (as defined in CERCLA Section 101(36)) are eligible for funding under Section 128(a). To be eligible to receive funding under CERCLA Section 128(a), a state or tribe must demonstrate that its response program includes, or is taking reasonable steps to include, the four elements of a response program. States or tribes that are parties to voluntary response program memoranda of agreement (MOAs) are

automatically eligible for Section 128(a) funding. Additionally, states and tribes, including those with MOAs, must maintain and make available to the public a record of sites at which response actions have been completed in the previous year and are planned to be addressed in the upcoming year in order to qualify for Section 128(a) funding.

Beneficiary Eligibility:

Beneficiaries include individuals living in recipient states', territories', and tribes' jurisdiction.

Credentials/Documentation:

States and tribes must provide documentation that they have met or are making reasonable progress towards meeting the four statutory elements, or have a Voluntary Cleanup Program Memorandum of Agreement with EPA, and have established and are maintaining the public record. States and tribes must define their "Section 128(a) response program", and may designate a component of the state or tribe that will be EPA's primary point of contact for negotiations on their proposed work plan. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Annually, states and tribes will work with the EPA regional offices to develop their work plans and funding requests for the upcoming year. Each cooperative agreement must have an annual budget period tied to an annual work plan. Annual funding guidance provides the requirements for submitting requests. No specific forms are required. Informal assistance is available through regional offices. States are subject to requirements under E.O. 12372, "Intergovernmental Review of Federal Programs" as implemented in 40 CFR Part 29. Tribes are exempt. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. States and tribes submit requests to EPA regional offices for funding on an annual basis by submitting a proposed work plan. Annual funding guidance provides the information that should be submitted to the regional office. Once initial requests are submitted, EPA headquarters consolidates requests and provides a final allocation based on factors provided in the funding guidance. No specific form is required for submission of request.

Award Procedure:

EPA regional offices will negotiate and enter into a single cooperative agreement with interested states or tribes. States and tribes may distribute these funds among the appropriate state and tribal agencies to meet their specific needs within their state or tribal agency structures. At least annually, the regional offices must verify that a public record as described above exists for each of the state or tribal response programs that are receiving funding.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 90 days are required for the application request to be approved or disapproved.

Appeals:

Disputes will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

Each state and tribal cooperative agreement will be evaluated on an annual basis to ensure that the requirements to receive funding are being met. If funding is not used, it may be carried over into the next budget period.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: States and tribes are not required to provide matching funds for grants awarded under Section 128(a). However, if the state or tribe uses Section 128(a) funds to capitalize a Brownfields RLF under CERCLA 104(k)(3), a 20% cost share is required on the amount of Section 128(a) funds

used to capitalize an RLF. This program has no statutory formula, but does take into account use of prior years' funding in determining future awards. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

EPA regions will evaluate cooperative agreements annually to ensure that requirements to receive funding are being met. EPA regional offices will determine the project period for each cooperative agreement. These may be for multiple years depending on the regional office's grants policies. Each cooperative agreement must have an annual budget period tied to an annual work plan. Funds are awarded on an annual basis and may be drawn down according to regional financial processes. See the following for information on how assistance is awarded/released: This assistance is generally awarded lump sum.

Reports:

No program reports are required. No cash reports are required. States and tribes will provide progress reports under 40 CFR 31.40, in accordance with terms and conditions of the cooperative agreement negotiated with EPA regional offices. At a minimum, state or tribal progress reports must include both a narrative discussion and performance data relating to the state's or tribe's accomplishments with Section 128(a) funding. Depending upon the activities included in the state's or tribe's scope of work, an EPA regional office may request that a progress report include other elements as described in the Grant Funding Guidance for State and Tribal Response Programs. The regional offices may also request other information be added to the progress reports, as appropriate, to properly document activities described by the cooperative agreement work plan. Expenditure information should be provided in the progress reports. Performance monitoring is in accordance with the terms and conditions of the cooperative agreement.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

In addition to the statutory public record requirement, states and tribes must comply with record keeping requirements of 40 CFR Part 31 and any applicable requirements under state and tribal laws. Recipients must keep financial records, including all documents supporting entries on accounting records and to substantiate changes in grants, available to personnel authorized to examine EPA recipients grants and cooperative agreements records. All records must be maintained until expiration of three years from the date of submission of the final expenditure report. If questions remain following the three-year period, such as those raised as a result of an audit or an on-going enforcement action, recipients must retain records until the matter is completely resolved.

Account Identification:

68-0103-0-1-304.

Obligations:

(Project Grants) FY 12 \$49,300,000; FY 13 est \$47,600,000; and FY 14 est \$47,600,000

Range and Average of Financial Assistance:

Most fiscal years range from \$50,000 to \$1,100,000; average approximately \$450,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: In FY12, 150 requests for funding were received, all were funded. Fiscal Year 2013: In FY13, 149 requests were received and 147 received funding. Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

40 CFR Part 31; OMB Circular A-87 applies to the program. EPA anticipates that it will annually publish national Grant Funding Guidance for State and Tribal Response Programs. Fiscal Year 2012 guidance may be found at www.epa.gov/brownfields.

Regional or Local Office:

See Regional Agency Offices. EPA Regional Brownfields Coordinators: Region 1: Diane Kelley, Phone: 617-918-1424, Fax: 617-918-1291, kelly.diane@epa.gov; Region 2: Lya Theodoratos, Phone: 212-637-3230, Fax: 212-637-4360, theodoratos.lya@epa.gov; Region 3: Tom Stolle, Phone: 215-814-3129, Fax: 215-814-5518, stolle.tom@epa.gov; Region 4: Phil Vorsatz, Phone: 404-562-8789, Fax: 404-562-8439, vorsatz.phil@epa.gov; Region 5: Deborah Orr, Phone: 312-886-7576, Fax: 312-886-7190, orr.deborah@epa.gov; Region 6: Mary Kemp, Phone: 214-665-8358, Fax: 214-665-6660, kemp.mary@epa.gov; Region 7: Susan Klein, Phone: 913-551-7786, Fax: 913-551-8688, klein.susan@epa.gov; Region 8: Dan Heffernan, Phone: 303-312-7074, Fax: 303-312-6955, heffernan.daniel@epa.gov; Region 9: Noemi Emeric-Ford, Phone: 213-244-1821, Fax: 213-244-1850, emeric-ford.noemi@epa.gov; Region 10: Susan Morales, Phone: 206-553-7299, Fax: 206-553-0124, morales.susan@epa.gov.

Headquarters Office:

David R. Lloyd, Office of Brownfields and Land Revitalization, OSWER, EPA, 1200 Pennsylvania Ave, NW, Washington, District of Columbia 20460 Email: lloyd.davidr@epa.gov Phone: (202) 566-2777.

Website Address:

<http://www.epa.gov/brownfields>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Program development activities to establish the four elements of a state or tribal response program, including conducting an inventory of brownfields sites; developing or enhancing oversight and enforcement mechanisms; establishing mechanisms to approve cleanup plans; and providing opportunities and resources for public involvement. Establishing and maintaining a public record of sites, including making information available on the Internet and maintaining and monitoring institutional controls; capitalizing an RLF for brownfields cleanup; purchasing environmental insurance; developing legislation, regulations, procedures, and guidance that would establish or enhance the administrative and legal structure of their response programs; and undertaking-site specific related activities, such as conducting assessments at selected brownfields sites and auditing completed site cleanups in states that administer a licensed site professional program. Fiscal Year 2013: Program development activities to establish the four elements of a state or tribal response program, including conducting an inventory of brownfields sites; developing or enhancing oversight and enforcement mechanisms; establishing mechanisms to approve cleanup plans; and providing opportunities and resources for public involvement. Establishing and maintaining a public record of sites, including making information available on the Internet and maintaining and monitoring institutional controls; capitalizing an RLF for brownfields cleanup; purchasing environmental insurance; developing legislation, regulations, procedures, and guidance that would establish or enhance the administrative and legal structure of their response programs; and undertaking-site specific related activities, such as conducting assessments at selected brownfields sites and auditing completed site cleanups in states that administer a licensed site professional program. Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

EPA will not fund this program through competitive grant solicitations. Section 128(a) funds will be allocated to eligible states and tribes in accordance with the national Grant Funding Guidance for State and Tribal Response Programs.

66.818 BROWNFIELDS ASSESSMENT AND CLEANUP COOPERATIVE AGREEMENTS

FEDERAL AGENCY:

Office of Solid Waste and Emergency Response, Environmental Protection

Agency

AUTHORIZATION:

American Recovery and Reinvestment Act of 2009; Comprehensive Environmental Response, Compensation, and Liability Act (CERCLA) of 1980, as amended, 42 U.S.C. 9601(39)&9604k; The Small Business Liability Relief and Brownfields Revitalization Act of 2002, Public Law 107-118.

OBJECTIVES:

Brownfield sites are real property, the expansion, redevelopment, or reuse of which may be complicated by the presence or potential presence of a hazardous substance, pollutant, or contaminant. The objectives of the brownfield assessment, revolving loan fund and cleanup cooperative agreements (project grants) are to provide funding: (1) to inventory, characterize, assess, and conduct planning and community involvement related to brownfield sites; (2) to capitalize a revolving loan fund (RLF) and provide subgrants to carry out cleanup activities at brownfield sites; and (3) to carry out cleanup activities at brownfield sites that are owned by the grant recipient.

Funding Priority - Fiscal Year 2013: By statute, 25 percent of the funding for this program must be used for characterization, assessment, and cleanup of Brownfields sites contaminated by petroleum or petroleum products. The Agency must also give preference to statutory ranking criteria found at CERCLA 104(k)(5)(C) (see :180 CRITERIA FOR SELECTING PROPOSALS) when evaluating applications for funding.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

For site specific projects, the site must meet the definition of a brownfields site found at CERCLA 101(39). As part of the application process, EPA provides guidance to assist grant applicants in determining whether sites meet this definition. (1) The brownfields grants may be used to address sites contaminated by petroleum and hazardous substances, pollutants, or contaminants (including hazardous substances co-mingled with petroleum). (2) Brownfields assessment grant funds may be used to inventory, characterize, assess, and conduct planning and community involvement related to brownfield sites. (3) An RLF project grant recipient must use at least 60 percent of the awarded funds to capitalize and implement a revolving loan fund; an RLF project grant recipient may use no more than 40 percent of the awarded funds for cleanup subgrants and may not subgrant to itself. Revolving loan fund project grants generally are used to provide no-interest or low-interest loans for brownfields cleanups. (4) An RLF project grant recipient may use its funds to award subgrants to other eligible entities, including nonprofit organizations, for brownfields cleanups on sites owned by the subgrantee; (5) Brownfields cleanup grant funds must be used to carry out cleanup activities at brownfield sites that are owned by the grant recipient. (6) Costs incurred under CERCLA 104(k) grants or cooperative agreements may not be used for an administrative cost, penalty or fine, a Federal cost-share requirement, a response cost for which the recipient of the grant or cooperative agreement is potentially liable under CERCLA 107, or the cost of complying with a Federal law, with the exception of the costs of laws applicable to cleanup of Brownfields sites. (7) Brownfields multi-purpose pilot grant recipients may use funding for assessment, cleanup planning and direct cleanup at one site owned by the applicant. All grants under 66.818 are awarded on a discretionary basis. For information on statutory limits on the amount of funding, see :123 Range and Average of Financial Assistance, below. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

Eligibility for Assessment, Revolving Loan Fund, and Cleanup Grants: a general purpose unit of local government; a land clearance authority or other quasi-governmental entity that operates under the supervision and control of, or as an agent of, a general purpose unit of local government; a government entity created by a State legislature; a regional council or group of general purpose units of local government; a redevelopment agency that is chartered or otherwise sanctioned by a State; a State; an Indian Tribe other than in Alaska; an Alaska Native Regional Corporation, Alaska Native Village Corporation and the Metlakatla Indian Community. Nonprofit organizations that own the property are also eligible for cleanup grants. Nonprofit organizations must meet the definition of that term in Section 4(6) of the Federal Financial Assistance Management Improvement Act of 1999, Public Law 96-107, 31 U.S.C. 6101 (Note: Under this definition, colleges, universities, and community colleges are eligible to apply.) However, nonprofit organizations described in Section 501(c)(4) of the Internal Revenue Code that engage in lobbying activities as defined in Section 3 of the Lobbying Disclosure Act of 1995 are not eligible to apply. Non-profit organizations are not eligible for the brownfields multi-purpose pilot grants. For profit organizations are not eligible to apply for direct funding from EPA. However, for profit organizations may apply for loans made by eligible entities with RLF capitalization grants. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

Generally, those eligible entities identified above will benefit from the brownfields grant actions. Specifically, individuals and commercial organizations in brownfields grant communities will benefit from brownfields assessment, cleanup, and revitalization funding. New strategies for promoting environmental cleanup lessons from these grants will provide a growing base of information and knowledge for other communities across the country seeking partnerships with stakeholders to coordinate issues related to brownfields and leverage additional opportunities for redevelopment.

Credentials/Documentation:

EPA may require that nonprofit organizations or eligible entities other than states, tribes, or general purpose units of local government provide documentation of eligibility. EPA may also require that applicants provide site specific information to determine whether a site qualifies as a Brownfields site under CERCLA 101(39). OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. This is a competitive grant program. EPA guidelines for Requests for Proposals or Requests for Applications will specify application procedures. For EPA Regional Office contacts, see Appendix IV of the Catalog. The standard application forms as furnished by the Federal agency and required by OMB Circular No. A-102 and A-110 must be used for this program. EPA requires final applications to be made on Standard Form 424. Requests for application kits must be submitted to the Environmental Protection Agency, Grants and Interagency Agreements Management Division, 3903R, Washington, DC 20460 or through the appropriate EPA Regional Office listed in Appendix IV of the Catalog. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

This is a competitive grant program. EPA guidelines for Requests for Proposals

or Requests for Applications will specify award procedures. For EPA Regional Office contacts, see Appendix IV of the Catalog. For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements. For the Recovery Act funding, EPA will award separate cooperative agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 180 days.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

Recipients of RLF grants may apply for additional funding on a non-competitive basis during any year after the first year the recipient receives an RLF grant. To seek additional funding, RLF grant recipients should contact their EPA Regional Office. In awarding this additional funding the Agency will consider: (I) the number of sites and number of communities that are addressed by the revolving loan fund;(II) the demand for funding by eligible entities that have not previously received an RLF grant; (III) the demonstrated ability of the eligible entity to use the revolving loan fund to enhance remediation and provide funds on a continuing basis; and (IV) Other similar factors, including the availability of funds and the recipient's performance history. Recipients of assessment and cleanup grants generally do not receive additional funding on a non-competitive basis.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Matching requirements are not applicable for brownfield assessment grants. Under CERCLA 104(k)(9)(B)(iii) revolving loan fund and cleanup grants require a 20 percent cost share, which may be in the form of a contribution of money, labor, material, or services, and must be for eligible and allowable costs. An RLF or cleanup grant applicant may request a waiver of the 20 percent cost share requirement based on financial hardship. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The performance period for brownfields assessment and cleanup grant funds is three years. The performance period for brownfields revolving loan fund grants is generally five years. Grants are generally announced nationally and awarded by EPA Regional Offices. The Regional Offices work with the applicants to negotiate a workplan and award the cooperative agreement. See the following for information on how assistance is awarded/released: These grants are generally awarded as a lump sum.

Reports:

No program reports are required. No cash reports are required. Quarterly progress reports, property profiles, notification of significant development, property inventory reports, procurement reports, a final report and financial reports may be required if authorized by 40 CFR Parts 30 and 31. Quarterly progress reports are generally required as part of the terms and conditions of the cooperative agreement. Expenditure information should be provided in the progress reports. Performance monitoring is in accordance with the terms and conditions of the cooperative agreement.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that

year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Record Retention Requirements of 40 CFR Part 30 (non-profits and universities) and 40 CFR Part 31 (governmental units) are applicable, depending upon the identity of the recipient and the program funded.

Account Identification:

68-0103-0-1-304.

Obligations:

(Cooperative Agreements) FY 12 \$58,900,000; FY 13 est \$62,500,000; and FY 14 est \$62,000,000

Range and Average of Financial Assistance:

Range and Average of Financial Assistance: (1) For assessment grants, an eligible entity may apply for up to \$200,000 to address sites contaminated by hazardous substances, pollutants, or contaminants (including hazardous substances co-mingled with petroleum) and up to \$200,000 to address sites contaminated by petroleum; most applicants receive this amount. Applicants may request a waiver of the \$200,000 limit up to \$350,000 for sites contaminated by hazardous substances, pollutants, or contaminants (including hazardous substances co-mingled with petroleum) and up to \$350,000 to address sites contaminated by petroleum. Waiver requests must be based on the anticipated level of contamination, size, or ownership status of the site. These limits are mandatory under CERCLA 104(k)(4)(A). A coalition of eligible entities may apply for up to \$1,000,000 to address sites contaminated by hazardous substances or petroleum on a community-wide basis. (2) For revolving loan fund grants, an eligible entity may apply for up to \$1,000,000 for an initial RLF grant. This limit is mandatory under CERCLA 104(k)(4)(A). In addition, coalitions of eligible entities may apply together under one recipient for up to \$1,000,000 per eligible entity. (3) For cleanup grants, an eligible entity may apply for up to \$200,000 per site. The \$200,000 per site limit is mandatory under CERCLA 104(k)(3)(A). Approximate average financial assistance is \$200,000 for cleanup grants, \$200,000 for assessment grants and \$1 million per entity for revolving loan fund grants.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: As of June 2012, 1,200 sites have been assessed, 120 properties cleaned up, 5,000 jobs leveraged, \$1.2 Billion leveraged and 3,000 acres ready for reuse. Fiscal Year 2013: As of June 2013, 1,345 sites have been assessed, 98 properties cleaned up, 9,796 jobs leveraged, \$1.3 Billion leveraged and 3,888 acres ready for reuse. Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

For brownfields assessment, revolving loan fund, and cleanup grants, costs will be determined in accordance with OMB Circular No. A-87 for State, tribal, and local governments or A-122 for nonprofit organizations, and OMB Circular A-21 for universities. Recipients must comply with 40 CFR Part 30 (nonprofit organizations) or 40 CFR Part 31 (governmental entities). The Agency will periodically publish guidance for brownfields grant proposals.

Regional or Local Office:

See Regional Agency Offices. Regional Brownfields Coordinators: EPA Region 1, New England, Diane Kelley, One Congress Street (HBT), Boston, MA 02114-2023, Phone (617) 918-1424, Fax (617) 918-1291, kelley.diane@epa.gov; EPA Region 2, Lya Theodoratos, 290 Broadway, 18th Floor, New York, NY 10007, Phone (212) 637-3260, Fax (212) 637-4360, theodoratos.lya@epa.gov; EPA Region 3, Tom Stolle, 1650 Arch Street, Philadelphia, Pennsylvania 19103, Phone (215) 814-3129, Fax (215) 814-5518, stolle.tom@epa.gov; EPA Region 4, Philip Vorsatz, Sam Nunn Atlanta Federal Center, 61 Forsyth Street, Waste Management Division, Brownfields/State Support Section, Atlanta, GA 30303, Phone (404) 562- 8789, Fax (404) 562-8628, vorsatz.philip@epa.gov; EPA Region 5, Deborah Orr, 77 West Jackson Boulevard, Chicago, Illinois 60604-3507, Phone (312) 886-7576, Fax (312) 886-7190, orr.deborah@epa.gov; EPA Region 6, Mary Kemp, First Interstate Bank Tower at Fountain Place, 1445 Ross Avenue, Suite 1200 (6SF-PB, Dallas, Texas 75202-2733, Phone (214) 665-8358, Fax (214)

665-6660, smith.monica@epa.gov; EPA Region 7, Susan Klein, 901 N. 5th Street, Kansas City, Kansas 66101, Phone (913) 551-7786, Fax (913) 551-8688, klein.susan@epa.gov; EPA Region 8, Dan Heffernan, 1595 Wynkoop Street (EPR-B), Denver, Colorado 80202-1129, Phone (303) 312-7074, Fax (303) 312-6067, heffernan.daniel@epa.gov; EPA Region 9, Noemi Emeric-Ford, 600 Wilshire Blvd, Suite 1460, Los Angeles, California 90017, Phone (213) 244-1821, Fax (213) 244-1850, amaro.laurie@epa.gov; EPA Region 10, Susan Morales, 1200 Sixth Avenue, Seattle, Washington 98101, Phone (206) 553-7299, Fax (206) 553-0124, morales.susan@epa.gov.

Headquarters Office:

David Lloyd, Office of Brownfields and Land Revitalization, OSWER, EPA, Washington, District of Columbia 20460 Email: Lloyd.DavidR@epa.gov Phone: (202) 566-2777.

Website Address:

<http://www.epa.gov/brownfields>.

RELATED PROGRAMS:

66.814 Brownfields Training, Research, and Technical Assistance Grants and Cooperative Agreements ; 66.815 Brownfield Job Training Cooperative Agreements; 66.817 State and Tribal Response Program Grants

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: (1) \$200,000 for hazardous substances: EPA has selected an organization for a brownfields cleanup grants. Hazardous grant funds will be used to clean up the 116 Cook Avenue site.

(2) \$200,000 for hazardous substances and \$200,000 for petroleum: EPA has selected an organization for two assessment grants. Community-wide hazardous substances grant funds will be used to conduct 15 Phase I and five Phase II environmental site assessments. Community-wide petroleum grant funds will be used to conduct 16 Phase I and six Phase II environmental site assessments. Grant funds also will be used to conduct area-wide planning, cleanup planning, and community outreach activities.

(3) \$650,000 for hazardous substances and \$200,000 for petroleum: EPA has selected an organization for one revolving loan fund grant. The grant will be used to capitalize a revolving loan fund that will provide loans to support cleanup activities for sites contaminated with hazardous substances and petroleum. Grant funds also will be used to establish, market, and operate the program, and support community outreach activities.

(4) \$320,000 for hazardous substances and \$220,000 for petroleum: EPA has selected an organization for one assessment coalition grant. Hazardous substances grant funds will be used to conduct up to 12 Phase I and up to 10 Phase II environmental site assessments. Petroleum grant funds will be used to conduct up to 10 Phase I and up to six Phase II environmental site assessments. Grant funds also will be used to inventory sites and support community outreach activities.

(5) \$200,000 for hazardous substances and \$200,000 for petroleum: EPA has selected an organization to receive two assessment grants. Community-wide hazardous substances grant funds will be used to inventory and prioritize brownfield sites and conduct at least 16 Phase I and eight Phase II environmental site assessments on sites located throughout Baker, Union, and Wallowa Counties in northeastern Oregon. Grant funds also will be used to conduct community outreach and cleanup planning activities. Petroleum grant funds will be used to conduct the same tasks at sites with potential petroleum contamination. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

This is a competitive grant program. The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement. Selection criteria will be outlined in the proposal guidelines and will be based on a system that includes the following ten statutory ranking criteria:(i) The extent to which a grant will stimulate the availability of other funds for environmental assessment or remediation, and subsequent reuse, of an area in which one or more brownfield sites are located.(ii) The potential of the proposed project or the development plan for an

area in which one or more brownfield sites are located to stimulate economic development of the area on completion of the cleanup.(iii) The extent to which a grant would address or facilitate the identification and reduction of threats to human health and the environment, including threats in areas in which there is a greater-than-normal incidence of diseases or conditions (including cancer, asthma, or birth defects) that may be associated with exposure to hazardous substances, pollutants, or contaminants.(iv) The extent to which a grant would facilitate the use or reuse of existing infrastructure.(v) The extent to which a grant would facilitate the creation of, preservation of, or addition to a park, a greenway, undeveloped property, recreational property, or other property used for nonprofit purposes.(vi) The extent to which a grant would meet the needs of a community that has an inability to draw on other sources of funding for environmental remediation and subsequent redevelopment of the area in which a brownfield site is located because of the small population or low income of the community.(vii) The extent to which the applicant is eligible for funding from other sources.(viii) The extent to which a grant will further the fair distribution of funding between urban and nonurban areas.(ix) The extent to which the grant provides for involvement of the local community in the process of making decisions relating to cleanup and future use of a brownfield site.(x) The extent to which a grant would address or facilitate the identification and reduction of threats to the health or welfare of children, pregnant women, minority or low-income communities, or other sensitive populations. In addition, applicants will be required to demonstrate that site specific activities are carried out at sites that meet the definition of a Brownfields site at CERCLA 101(39).

66.819 DISASTER RELIEF APPROPRIATIONS ACT (DRAA) HURRICANE SANDY LEAKING UNDERGROUND STORAGE TANK TRUST FUND CORRECTIVE ACTION PROGRAM

Sandy Relief

FEDERAL AGENCY:

Office of Solid Waste and Emergency Response, Environmental Protection Agency

AUTHORIZATION:

Solid Waste Disposal Act of 1976, Section 9003(h); Disaster Relief Appropriations Act, 2013, Public Law 113-2.

OBJECTIVES:

To support New Jersey and New York for leaking underground storage tanks activities related to the consequences of Hurricane Sandy. These state LUST programs aim to restore and protect groundwater resources and create a safe environment for those who live or work around these sites. Petroleum releases can contain contaminants like MTBE and other contaminants of concern that can make water unsafe or unpleasant to drink. Releases can also result in fire and explosion hazards, as well as produce long-term health effects.

Funding Priority - Fiscal Year 2013: Assistance agreements to New Jersey and New York, states affected by the consequences of Hurricane Sandy. Sandy Relief funding is to be used to identify releases of petroleum from underground storage tanks caused or exacerbated by the effects of Hurricane Sandy, and these two states will take appropriate corrective actions as necessary to achieve state specific cleanup requirements. The states may use expedited site assessment methods to verify releases and collect data to determine the need for additional assessment work, or site remediation.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Geospatial Information includes: Information that identifies the geographic location and characteristics of natural or constructed features or boundaries on the Earth, or applications, tools, and hardware associated with the generation, maintenance, or distribution of such information. This information may be derived from, among other things, GPS, remote sensing, mapping, charting, and surveying technologies, or statistical data.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official Government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

States may use financial assistance under this program for eligible and allowable costs incurred under cooperative agreements for corrective action, as described in Section: 54 Funding Priority and Section: 180 Criteria for Selecting Proposals. This financial assistance program is not eligible for inclusion in Performance Partnership Grants under 40 CFR 35.133. The Sandy Recovery Improvement Act neither expands nor limits eligible uses of LUST funds under section 9003(h)(7), except that uses must be related to the consequences of Hurricane Sandy. The applicable provisions in OSWER Directive 9650.10A, "LUST Trust Fund Cooperative Agreement Guidelines" apply to states receiving funds under this program. See <http://www.epa.gov/swerust1/directiv/d965010a.htm>.

LUST Trust Funds for corrective action will not be used to implement any provision of the Energy Policy Act (EPA) of 2005, Title XV, Subtitle B, that is not also a leaking underground storage tank activity authorized by Section 205 of the Superfund Amendments and Reauthorization Act.

Applicant Eligibility:

New Jersey and New York, states impacted by the consequences of Hurricane Sandy.

Beneficiary Eligibility:

New Jersey and New York, and the communities and industries affected by leaks from underground storage tanks affected by the consequences of Hurricane Sandy.

Credentials/Documentation:

Even though the LUST corrective action assistance agreements are only used for traditional cleanup activities under Section 9003(h) and, in this instance for those states affected by the consequences of Hurricane Sandy, New Jersey and New York must either submit certification indicating the State meets the applicable Energy Policy Act provisions, or submit documentation describing the State's efforts to meet the requirements, in accordance with EPA's Energy Policy Act grant guidelines (http://www.epa.gov/oust/fedlaws/epact_05.htm). For the Sandy Relief LUST cooperative agreements, the EPA Region 2 Office maintains the credentials/documentation for these two State programs. Costs will be determined in accordance with OMB Circular No. A-87 (2 CFR Part 225) for State and local governments. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. New Jersey and New York apply for funds through EPA's Region 2 office under this CFDA.

Award Procedure:

These funds are awarded non-competitively. Applications will be subjected to administrative evaluation to determine the adequacy of application in relation to assistance agreement regulations and to technical and program evaluation to determine the merit and relevance of the project. The Agency will advise the applicant if funding is being considered. A final work plan will be negotiated with the applicant. A cooperative agreement must be signed between EPA and the State. EPA awards the cooperative agreement to states through a previously established allocation process, issued under national guidance rather than

through competition. See EPA Order 5700.5, Section 6(c)(1).

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Generally three to four months.

Appeals:

Disputes will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

Unlike assistance agreements funded with EPA's annual no year LUST appropriations, Sandy Relief funds must be expended by New Jersey and New York within four years of the Agency's obligation, per the May 2013 Office of Management and Budget's approval to EPA's LUST Program Waiver Request for a two-year extension.

Formula and Matching Requirements:

Statutory Formula: Title Solid Waste Disposal Act. LUST corrective action funding awarded under section 9003(h)(7) of the Solid Waste Disposal Act is subject to an allocation process developed by the Agency. While EPA uses a formula based allocation process for its annual awards, this is special funding dedicated to consequences of Hurricane Sandy. The funds will be distributed equally to New Jersey and New York.

Matching Requirements: There is a required 10 percent state cost share under section 9003(h)(7). This requirement is statutory and cannot be waived. The manner in which states provide their cost share is to be negotiated with the Region and must be in compliance with the grant requirements of 40 CFR Part 31.24. Acceptable methods for cost sharing include: contributions, e.g., staff and equipment; and direct, non-federal funds expended or obligated by the state, or a political subdivision of the state, for cost-allowable activities. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Unlike assistance agreements funded with EPA's annual no year LUST appropriations, Sandy Relief funds must be expended by New Jersey and New York within four years of the Agency's obligation, per the May 2013 Office of Management and Budget's approval to EPA's LUST Program Waiver Request for a two-year extension.

However, in the spirit of expeditiously cleaning up LUST sites impacted by the consequences of Hurricane Sandy, New Jersey and New York will strive to expend awarded funds as quickly as possible and appropriate. See the following for information on how assistance is awarded/released: Sandy Relief LUST Trust Fund Corrective Action assistance agreements are awarded to New Jersey and New York, states affected by the consequences of Hurricane Sandy. Financial assistance is awarded in a lump sum. States use the Automated Standard Application for Payment System to request cash drawdowns based on a projected cash requirement.

Reports:

Recipients provide performance and financial reports as authorized by 40 CFR 31.40 and 31.41. For the Sandy Relief LUST assistance agreements, New Jersey and New York will report program performance results semi-annually in the web-based LUST4 database. No cash reports are required. Progress reports are required. New Jersey and New York will submit to EPA/Region 2 quarterly expenditure reports of their outlays and expenditures. Performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

States must maintain accurate records in a readily accessible manner and/or location documenting their expenditures of Sandy Relief funds in compliance with 40 CFR 31.42. When LUST Sandy Relief funds are used for direct site work, states must maintain records on a site-specific basis. LUST Sandy Relief funds must be tracked separately from other LUST or state funds.

Account Identification:

68-8153-0-7-304.

Obligations:

(Formula Grants) FY 12 \$0; FY 13 est \$4,750,000; and FY 14 est \$0 - For FY 2013, a total of \$4,750,000 (post Sequestration) will be made available to New Jersey and New York in FY 2013. The funds will be distributed equally to New Jersey and New York; each state must separately track and account for the use of these supplemental funds. This amount is designated as an emergency requirement pursuant to PL 113-2.

There are no funds planned for FY 2014 as the Hurricane Sandy Supplemental Relief funds are limited to a one-time only occurrence.

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

The regulations governing the award and administration of the Sandy Relief Leaking Underground Storage Tanks cooperative agreements are the "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments" set forth at 40 CFR Part 31 (<http://www.gpo.gov/fdsys/pkg/CFR-2000-title40-vol1/content-detail.html>).

Guidelines: Leaking Underground Storage Tanks Trust Fund. Cooperative Agreement Guidelines. Energy Policy Act of 2005 Grant Guidelines, http://www.epa.gov/swerust1/fedlaws/epact_05.htm. Guidance To Region 2 For Implementing The LUST Provision Of The Sandy Recovery Improvement Act of 2013 will be finalized and published soon.

Costs will be determined in accordance with OMB Circular No. A-87 (2 CFR Part 225) for State and local governments. Contact Region 2 LUST Program Manager for documents.

Regional or Local Office:

See Regional Agency Offices. Dennis McChesney, Team Leader, Regional Program Manager; Phone: (212) 637-4232; e-mail: mcchesney.dennis@epa.gov; US EPA, Region 2, RCRA Compliance Branch, 290 Broadway, Mail Code: 2DECA-RCB, New York, NY 10007-1866.

Headquarters Office:

Lynn DePont USEPA Headquarters
1200 Pennsylvania Avenue, N. W.
Mail Code: 5401P, Washington, District of Columbia 20460 Email: depont.lynn@epa.gov Phone: 703-603-7148

Website Address:

<http://www.epa.gov/swerust1/index.htm>

RELATED PROGRAMS:

66.804 State and Tribal Underground Storage Tanks Program; 66.805 Leaking Underground Storage Tank Trust Fund Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

These LUST Sandy Relief assistance agreements are formula grants and are exempt from competition.

66.926 INDIAN ENVIRONMENTAL GENERAL ASSISTANCE PROGRAM (GAP)

(GAP for Tribes)

FEDERAL AGENCY:

Office of International and Tribal Affairs, Environmental Protection Agency

AUTHORIZATION:

Indian Environmental General Assistance Program Act of 1992, Section 11, Public Law 102-497, 42 U.S.C 4368b; Indian Environmental General Assistance Program Act of 1992, Public Law 103-155.

OBJECTIVES:

To provide general assistance program (GAP) grants to Indian tribal governments and intertribal consortia to build capacity to administer environmental regulatory programs on Indian lands, and provide technical assistance from EPA to Indian tribal governments and intertribal consortia in the development of multimedia programs to address environmental issues on Indian lands.

Funding Priority - Fiscal Year 2013: Funding is for the purposes of planning, developing, and establishing the administrative, technical, legal, enforcement, communications, and environmental education and outreach structure of a tribal environmental program. The funding will be used to support vital work performed including locally assessing the status of a tribe's environmental condition, utilizing available federal information, building an environmental program tailored to the tribe's needs, developing environmental education programs, developing solid waste management plans, assisting in the building of tribal environmental capacity, and alerting EPA to serious conditions involving immediate public health and ecological health.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Activities eligible for funding under this program are those for planning, developing, and establishing capability to implement environmental protection programs including development of solid and hazardous waste programs. General assistance agreements offer the opportunity for a tribe to develop an integrated environmental program, develop the capability to manage specific programs and establish a core program for environmental protection. These assistance agreements provide the opportunity for the tribes to define and develop administrative and legal infrastructures; to conduct assessments, monitoring, planning, and other actions; and to undertake additional activities within a simplified administrative framework. The primary purpose of these assistance agreements is to support the development of elements of a core environmental program, such as: providing for tribal capacity-building to assure an environmental presence for identifying programs and projects, including developing proposals for environmental program grants and managing environmental work; fostering compliance with Federal environmental statutes by developing appropriate tribal environmental programs, ordinances, and services; and establishing a communications capability to work with Federal, State, local and other tribal environmental officials. Restrictions: The principal focus of this program is on the development of general tribal environmental capability. Assistance will be provided under this program only for activities which the agency determines are appropriate to carry out the purposes of the Act. No single grant awarded under this program may be for an amount exceeding ten percent of the total annual funds appropriated under Section (h) of the Act. As with many of EPA's grants to States and Tribes, GAP grants may now be combined with one or more other EPA grants into a Performance Partnership Grant for administrative savings and, with EPA concurrence, programmatic flexibility. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

The following are eligible to receive financial assistance: (a) An Indian tribal government; and (b) an intertribal consortium or consortia. An Indian tribal government is any tribe, band, nation, or other organized group or community, including any Alaska Native village or regional or village corporation (as defined in or established pursuant to the Alaska Native Claims Settlement Act, 43 U.S.C. 1601, et seq.), which is recognized by the U.S. Department of the Interior as eligible for the special services provided by the United States to Indians because of their status as Indians. An intertribal consortium is a partnership between two or more Indian tribal governments authorized by the governing bodies of those tribes to apply for and receive assistance under this program. An intertribal consortium is eligible to receive a GAP grant if the consortium demonstrates that: (1) A majority of its members meet the eligibility requirements for GAP grants; (2) all members that meet the eligibility requirements authorize the consortium to apply for and receive the grant; and (3) only members that meet the eligibility requirements will benefit directly from the grant project and the consortium agrees to a grant condition to that effect. An intertribal consortium must have adequate documentation of the existence of the partnership and the authorization of the member Tribes to apply for and receive assistance. Documentation that demonstrates the existence of the partnership of Indian Tribal governments may consist of Tribal council resolutions, intertribal consortia resolutions in conjunction with a Tribal council resolution from each member Tribe, or other written certification from a duly authorized representative of each Tribal government that clearly demonstrates that a partnership of Indian Tribal governments exists. Documentation that demonstrates that member Tribes authorize the consortium to apply for and receive assistance may consist of a Tribal council resolution from each Tribe or other written certification from a duly authorized representative of each Tribal government that clearly demonstrates that the Tribe authorizes the consortium to apply for and receive the grant on behalf of the Tribe. An intertribal consortium resolution is not adequate documentation of the member Tribes authorization of the consortium unless it includes a written certification from a duly authorized representative of each Tribal government.

Beneficiary Eligibility:

Federally Recognized Indian Tribal Governments and eligible Intertribal Consortia.

Credentials/Documentation:

This program is subject to the provisions of OMB Circular No. A-87. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Applicants must use the "Application for Federal Assistance: State and Local Non-Construction Programs" (Standard Form 424). Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

Applications are reviewed by the appropriate Regional Office and, if approved, financial assistance is awarded by the Regional Administrator or his/her delegated official.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 90 days after deadline for application submission.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients

will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

In order to receive renewals, significant progress must be demonstrated. Renewals are subject to approval of EPA. Contact the EPA Regional contact identified in Appendix IV of the Catalog for additional information.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Award amount remains available until expended in accordance with the term of the award. The term of an award may exceed one year, but may not exceed four years. The term of the grant shall be determined at the time of the grant award. See the following for information on how assistance is awarded/released: Other. The method of fund disbursement will be determined at the time of award.

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Specific reporting requirements are also identified in the Grant Regulations at 40 CFR Part 31 and 40 CFR Part 35 (B), "Environmental Program Grants for Tribes." Program reports are required under this program. Cash reports are required under this program. Progress reports are required under this program. Expenditure reports are required under this program. Performance monitoring is required under this program.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Financial records, including all documents to support entries on accounting records and to substantiate charges to each grant, must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained for three years from the date of submission of the annual financial status report. If questions still remain, such as those raised as a result of audit, related records should be retained until the matter is completely resolved.

Account Identification:

68-0103-0-1-304.

Obligations:

(Project Grants) FY 12 \$67,300,000; FY 13 est \$64,100,000; and FY 14 est \$72,600,000

Range and Average of Financial Assistance:

The minimum award for the first year of the grant is \$75,000/fiscal year, max. \$400,000/fiscal year; avg. \$110,000/fiscal year.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Project accomplishments included participation in water quality assessment training; participation in watershed management coordination projects, and the assessment of baseline environmental conditions. Fiscal Year 2013: Funding awards will not be finalized nationally before the end of Fiscal Year 2013. In previous years GAP funding project accomplishments included participation in water quality assessment training, participation in watershed management coordination projects, and the assessment of baseline environmental conditions. Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Public Law 102-497, Section 11, 42 U.S.C.4368(b), as amended by Public Law

103-155, November 24, 1993. The Agency published a notice for the Final Guidelines on the Award and Management of General Assistance Agreements for Indian Tribes at <http://www.epa.gov/indian/tgrant.htm>.

Regional or Local Office:

See Regional Agency Offices.
<http://www.epa.gov/indian/contactinfo/regcontacts.htm>.

Headquarters Office:

Luke Jones American Indian Environmental Office (2690 M) U.S. Environmental Protection Agency, 1200 Pennsylvania Ave., NW, Washington, District of Columbia 20460 Email: jones.luke@epa.gov Phone: (202) 564-4013

Website Address:

<http://www.epa.gov/indian/grantsandfunding/index.htm>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Examples of funded projects include: establishment of a water quality assessment program; an environmental assessment inventory; establishment of an environmental code; establishment of a multi-media program to address water quality, air quality, safe drinking water, solid waste, hazardous waste and pesticides; establishment of a management plan with emphasis on wastewater sludge reclamation and tire recovery; and establishment of a program to address underground storage tanks, SARA Title III, and radon. Fiscal Year 2013: Examples of past funded projects include: establishment of a water quality assessment program; an environmental assessment inventory; establishment of an environmental code; establishment of a multi-media program to address water quality, air quality, safe drinking water, solid waste, hazardous waste and pesticides; establishment of a management plan with emphasis on wastewater sludge reclamation and tire recovery; and establishment of a program to address underground storage tanks, SARA Title III, and radon. Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Proposals are approved by the individual EPA Regional offices according to the applicant's ability to achieve objectives outlined in Public Law 102-497, Section 11, the interim final regulation for programs implementation in the Federal Register on December 2, 1993, 40 CFR Part 35, Indian Tribes; and the Final Guidelines on the Award and Management of General Assistance Agreements for Indian Tribes. Proposals should contain schedules for progress reports that will contribute towards development of environmental management capacity building; provide for an on-going coordination and problem resolution; and show an adequate ability to meet the proposed objectives, record keeping, and reporting requirements.

66.931 INTERNATIONAL FINANCIAL ASSISTANCE PROJECTS SPONSORED BY THE OFFICE OF INTERNATIONAL AND TRIBAL AFFAIRS

FEDERAL AGENCY:

Office of International and Tribal Affairs, Environmental Protection Agency

AUTHORIZATION:

Safe Drinking Water Act, Section 1442(a)&(c), 42 Stat. 300j-1(a)(1); Federal Insecticide, Fungicide, and Rodenticide Act, Section 20, Public Law 92-516, 7 U.S.C 136r; Toxic Substances Control Act, Section 10, 15 U.S.C 2609; Solid Waste Disposal Act, Section 8001, 42 Stat. 6981; National Environmental Policy Act, Section 102(2)(F), 42 Stat. 4332; Clean Air Act, Section 103, 42 U.S.C 7403; Clean Water Act, Section 104, 33 U.S.C 1254; Marine Protection, Research and Sanctuaries Act of 1972, Section 203, 33 Stat. 1443.

OBJECTIVES:

To protect human health and the environment while advancing U.S. national interests through international environmental collaboration. This mission is supported by these strategic objectives: 1) Reducing Exposure to Toxic Chemicals; 2) Improving Air Quality; 3) Cleaning Up Electronic Waste (E-Waste); 4) Combating Climate Change by Limiting Pollutants; 5) Expanding access to Clean Water; and 6) Building Strong Environmental Institutions and Legal Structures.

Funding Priority - Fiscal Year 2013: EPA Safeguards air, water, and land for all Americans. International capacity-building plays a key role in protecting human health, communities and the environment by providing technical cooperation to help countries reduce air pollution, better manage air quality global climates, reduce the global use and emission of mercury, manage drinking & surface water quality, and provide technical assistance to restore the land and mitigate sources of land pollution. OITA will work to transfer appropriate air management tools and techniques to key countries and regions as we collaborate with partners to improve air quality. In addition, we will continue efforts to reduce global sources of persistent bioaccumulative toxins. Long-range and transboundary atmospheric transport and deposition of such toxics as Mercury, Persistent Organic Pollutants (POPs) such as polychlorinated biphenyls (PCBs), pesticides, dioxins, and furans continue to threaten human health and ecosystems. OITA will continue to promote the development of activities for managing drinking and surface water quality and provide technical cooperation, expertise, and assistance to help communities and countries preserve and restore the land and to mitigate sources of land pollution.

OITA plays a key role in ensuring trade-related activities sustain environmental protection. Initiatives relating to environmental trade and investment are carried out bilaterally, multilaterally or in connection with multilateral institutions such as the World Trade Organization (WTO), World Bank, European Union (EU), or Asia-Pacific Economic Cooperation Forum (APEC). Also, OITA will support protection of human health and the ecosystem for North America through the United States' participation in the North American Agreement on Environmental Cooperation and through leadership in the Commission on Environmental Cooperation (CEC), the Organization for Economic Cooperation and Development (OECD), and other international entities. EPA will provide targeted capacity building support under the environmental cooperation agreements developed parallel to U.S. free trade agreements.

The U.S.-Mexico Border (to sustain and restore community health and preserve the ecological systems that support them) program is implemented in partnership with the ten Border States and the government of Mexico with its corresponding states and tribes. It addresses the Agency's major themes of clean air, clean water, and clean land. The key areas of focus for the Border 2020 Program include improving water quality in the region; improving availability of low sulfur diesel fuel on the border; the stabilization of abandoned hazardous waste sites; removal of used tire piles along the U.S.-Mexico Border; defining baseline and alternative scenarios for air emissions reductions along the border region; and binational emergency preparedness drills and exercises at border sister cities.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Discretionary funds are available for the awards under this program. Grants and cooperative agreements are available to support recipients' allowable direct cost expenditures incident to the approved project plus allocable portions of allowable indirect costs of the institution, in accordance with established EPA policy, 40 CFR parts 30 and 31. Funding awarded for international research under these projects does not include research within the purview of EPA's Office of Research and Development. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

Assistance under this program is generally available to States and local governments, territories and possessions, foreign governments, international

organizations, Indian Tribes, and possessions of the U.S., including the District of Columbia, public and private universities and colleges, hospitals, laboratories, other public or private nonprofit institutions, which submit applications proposing projects with significant technical merit and relevance to EPA's Office of International Affairs' mission. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

States and local governments, territories and possessions, foreign governments, international organizations, Indian Tribes, and possessions of the U.S., including the District of Columbia, public and private universities and colleges, hospitals, laboratories, other public or private nonprofit institutions.

Credentials/Documentation:

Office of International and Tribal Affairs (OITA) may require applicants to submit documentation of non-profit status. OITA may request applicants to demonstrate they have appropriate background, academic training, experience in the field, and necessary equipment to carry out projects. OITA may also ask applicants or principal investigators to provide curriculum vitae and relevant publications. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. The standard application forms as furnished by the Federal agency and required by OMB Circular No. A-102 and A-110 must be used for this program. EPA requires final applications to be made on Standard Form 424. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA. Under EPA Order No. 5700.5A1, Policy for Competition in Assistance Agreements (9/12/02), Assistance awards to Foreign Governments and to United Nations agencies and similar International Organizations, such as the Organization of American States and Organization for Economic Cooperation and Development (OECD), may be exempted from competition at the discretion of OITA. For competitive awards, Requests for Initial Proposals or Requests for Applications will specify application procedures.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements. For non-competitive awards, OITA will conduct an administrative evaluation to determine the adequacy of the application in relation to grant regulations and to technical and program evaluation to determine the merit and relevance of the project. The Agency will then advise the applicant if funding is being considered. A final work plan will then be negotiated with the applicant.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 180 days.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also

be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

None. A standard grant application should be prepared and submitted as a new grant, which will be reviewed in the same manner as the original application and will compete for available funds. Generally, EPA incrementally funds grants and cooperative agreements. Approval of subsequent funding increments is dependent on satisfactory project progress, continued relevance of the project to OITA's priorities, and availability of funds.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

EPA normally funds grants and cooperative agreements on a 12-month basis. However, EPA can negotiate the project period with each applicant based on project requirements. EPA limits project periods to 5 years. Payments will be on an advance letter of credit or reimbursement basis; recipient must request the initial advance payment on SF 270, Request for Advance or Reimbursement. See the following for information on how assistance is awarded/released: Assistance is awarded by project.

Reports:

No program reports are required. No cash reports are required. Reporting requirements are identified at 40 CFR Parts 30 and 31. EPA may include additional information regarding the content and frequency of reporting requirements in the terms and conditions of the agreements. Progress reports are required under this program. Expenditure Reports are required under this program. Performance Monitoring is required under this program.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

The record retention requirements of 40 CFR Part 30 (non-profits and universities) or 40 CFR Part 31 (governmental units) are applicable depending upon the identity of the recipient. Financial records, including all documents to support entries on accounting records and to substantiate changes to each grant must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained until expiration of three years from the date of submission of the final expenditure report. If questions still remain, such as those raised as a result of audit, related records must be retained until the matter is completely resolved.

Account Identification:

68-0108-0-1-304.

Obligations:

(Project Grants) FY 12 \$2,800,000; FY 13 est \$3,000,000; and FY 14 est \$3,000,000

Range and Average of Financial Assistance:

Range: \$15,000 - \$300,000; Average: FY 2011 \$200,000, FY 2012 \$200,000 and FY 2013 est. \$200,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Some examples of OITA accomplishments: OITA funded several international assistance agreement projects during FY 2012 for the protection of human health and the environment in support of goal 4: 1) EPA awarded an assistance agreement focusing on four main steps to reduce black

carbon emissions from diesel sources in the Russian Arctic 2) EPA awarded an assistance agreement to enhance Morocco's foundation of environmental legislation and regulations, enforcement of and compliance with applicable laws, and transparency in decision making. 3) EPA awarded an assistance agreement to conduct research and develop case studies focused on the environmental aspects of urban sustainability that will be available for the general public globally. Fiscal Year 2013: The information for FY 2013 is not yet available. Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

These grants and cooperative agreements are subject to EPA's General Grant Regulations (40 CFR Part 30 and 40 CFR Part 31). Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments and Indian Tribes, OMB Circular No. A-21 for educational institutions, and OMB Circular No. A-122 for nonprofit institutions.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Paul Trevino Office of International Affairs, USEPA, 1200 Pennsylvania Ave., N.W., Washington, District of Columbia 20460 Email: trevino.paul@epa.gov Phone: (202) 564-1627

Website Address:

<http://www.epa.gov/oia/>

RELATED PROGRAMS:

66.034 Surveys, Studies, Research, Investigations, Demonstrations, and Special Purpose Activities Relating to the Clean Air Act; 66.469 Great Lakes Program; 66.609 Protection of Children and Older Adults (Elderly) from Environmental Health Risks; 66.808 Solid Waste Management Assistance Grants

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Examples of OITA awarded international projects were to assist in: 1) "Arctic Black Carbon: Reduction of Black Carbon Emissions from Diesel Sources"; 2) "Project Management and Technical Assistance - Environmental Programs in Morocco"; and 3) "Joint Initiative on Urban Sustainability". Fiscal Year 2013: The information for FY 2013 is not yet available. Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement.

66.950 NATIONAL ENVIRONMENTAL EDUCATION TRAINING PROGRAM

Teacher Training Program

FEDERAL AGENCY:

Office of the Administrator, Environmental Protection Agency

AUTHORIZATION:

National Environmental Education Act, Section 5, Public Law 101-619.

OBJECTIVES:

To train educational professionals in the development and delivery of environmental education programs. As required by Public Law 101-619, funds are provided to universities, non-profit organizations, or a consortia of such institutions to deliver environmental education training and support for education professionals.

Through a series of work-shops, on-line courses, professional learning communities and social networking opportunities, EE Capacity is bringing established and urban environmental educators together to exchange ideas and resources, and form expanded social networks. As innovative environmental education practices emerge through this exchange among diverse professionals, EE Capacity will share and test them. In this way, EE Capacity participants will help to define the face of environmental education in an increasingly urban and diverse society.

Funding Priority - Fiscal Year 2013: EPA awards only one cooperative agreement to a university, non-profit organization, or a consortia to operate the program on a multi-year basis. The current cooperative agreement is to be

operated from October 1, 2010 through September 30, 2015. The training and support must be provided for both formal and non-formal educators, occur in both pre-service and in-service settings, and reach geographically and culturally diverse audiences across the U.S. The outputs should include: delivering in-service and pre-service educator training, promoting the national environmental education guidelines, supporting state capacity building, developing and institutionalizing a materials review process, supporting the accreditation of teachers, supporting state educator certification efforts, and supporting internet access to information and materials. The training program must provide training and support for both formal and non-formal educators, occur in both pre-service and in-service settings, and reach geographically and culturally diverse audiences across the U.S. The outputs should include: delivering in-service and pre-service educator training, promoting the national environmental education guidelines, supporting state capacity building, developing and institutionalizing a materials review process, supporting the accreditation of teachers, supporting state educator certification efforts, and supporting internet access to information and materials.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funds shall be used to establish an education and training program which shall include, at a minimum: (1) classroom training in environmental education and studies including environmental sciences and theory, educational methods and practices, environmental career or occupational education, and topical environmental issues and problems; (2) demonstrations of the design and conduct of environmental field studies and assessments; (3) development of environmental education programs and curricula, including programs and curricula to meet the needs of diverse ethnic and cultural groups; (4) sponsorship and management of international exchanges of teachers and other educational professionals between the United States, Canada, and Mexico involved in environmental programs and issues; (5) maintenance or support of a library of environmental education materials, information, literature, and technologies, with electronic as well as hard copy accessibility; (6) evaluation and dissemination of environmental education materials, training methods, and related programs; (7) sponsorship of conferences, seminars, and related forums for the advancement and development of environmental education and training curricula and materials, including international conferences, seminars, and forums; (8) supporting effective partnerships, networks, and the use of distant learning technologies; and (9) such other activities as the Administrator determines to be consistent with the policies of the Act. No funds made available for this program shall be used for the acquisition of real property (including buildings) or the construction or substantial modification of any building. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

Assistance under this program is available to universities, non-profit organizations, or a consortia of such institutions to deliver environmental education training and support for education professionals. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

Education (0-8), education (9-12), education (13+), nonprofit institutions.

Credentials/Documentation:

Documentation of nonprofit status may be required. Applicants may be

requested to demonstrate they have appropriate background, academic training, experience in the field, and necessary equipment to carry out projects. The Office of Environmental Education may ask applicants or principal investigators to provide curriculum vitae and relevant publications. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

A solicitation notice is issued for this program once every five years. The notice identifies the application process. Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. The solicitation notice which described the application procedure for the training program that will operate from 10/1/10 through 9/30/15, subject to the availability of funds, was posted on <http://www.grants.gov> in late Spring 2010. The solicitation notice previous to that one was issued March 18, 2005. The solicitation process for this program is conducted every five years. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

This program is subject to the provisions of OMB Circular No. A-110. For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

It can take up to a year from time of application submission to award of an assistance agreement. The last award was made in early summer 2011 (for the solicitation published in Spring 2010). The next date that a solicitation notice for this program is anticipated to be published is in the Spring of 2015.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

Generally, EPA incrementally funds grants and cooperative agreements for surveys, studies, and investigations. Approval of subsequent funding increments is dependent on satisfactory project progress, continued relevance of the project to the Office of Environmental Education's program priorities, and the availability of funds.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: In accordance with the National Environmental Education Act (Public Law 101-619), federal funds for any project under this section shall not exceed 75 percent of the total cost of such project. The project has a 25 percent non-federal match required by statutory formula. For the purposes of this section, the non-Federal share of project costs may be provided by cash or by in-kind contributions and other non-cash support. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The training program consists of an initial one year budget and project period subject to annual supplemental funding agreements for up to five years. See the

following for information on how assistance is awarded/released: This program operates on a five year basis. Funds are initially awarded for a one year project period. Subsequent supplemental funding awards on an annual basis for the next four years.

Reports:

No program reports are required. No cash reports are required. The grantee must submit bi-annual and final progress reports. Expenditure reports are required. Performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Financial records, including all documents to support entries on accounting records and to substantiate changes to each assistance agreement, must be kept available to personnel authorized to examine EPA assistance accounts. All records must be maintained until the expiration of 3 years from the date of submission of the final expenditure report. If questions still remain, such as those raised as the result of an audit, related records should be retained until the matter is completely resolved.

Account Identification:

68-0108-0-1-304.

Obligations:

(Cooperative Agreements) FY 12 \$2,259,500; FY 13 est \$2,424,750; and FY 14 Estimate Not Available

Range and Average of Financial Assistance:

This is a 5-year program, one recipient is selected every 5 years. The project is funded on an annual basis and the amount of the annual funding depends on Congressional appropriation. The range and average financial assistance for the full 5-year project period is approximately \$8.5 million.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Six Guidelines webinars focused on the Guidelines for Excellence, 10 Guidelines for Excellence workshops or presentations each with 15-20 participants, Guidelines for Excellence training during the national 5-day face to face workshop for state consortia and dissemination partners, 3 State Consortia develop statewide strategic diversity plans, State Consortia conducted workshops for 60 educators, 2 online courses taught reaching 50 educators, White paper on Returning Warriors and Environmental Education Opportunities: Outdoor Recreation, Education and Restoration for Veterans, completed and published. Fiscal Year 2013: In process: review of work plan for year 3. Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

40 CFR Parts 30, 31, 32, 33, 45, 47; program guidance; Federal Register (Invitation for Pre-proposal, July 2, 1991); Public Law 101-619.

Regional or Local Office:

See Regional Agency Offices. Contact the appropriate EPA Regional Office listed in Appendix IV of the Catalog.

Headquarters Office:

Javier Araujo Office of Environmental Education, (1704A), Environmental Protection Agency, 1200 Pennsylvania Ave., NW, Washington, District of Columbia 20460 Email: araujo.javier@epa.gov Phone: (202) 564-2642

Website Address:

<http://www2.epa.gov/education/national-environmental-education-training-program>

RELATED PROGRAMS:

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: One cooperative agreement is funded every five years. The current cooperative agreement is entitled EE Capacity and focuses on environmental education activities such as training for in-service and pre-service educators; promotion of the national environmental education guidelines; support for state capacity building; the development of a materials review process; support for accreditation efforts at universities; support for state educator certification programs; support for internet access to information and materials; and support for the agency's overarching strategy of expanding the conversation on environmentalism by diversifying the population of teachers and students participating in environmental education. Fiscal Year 2013: There have been no awards yet for FY 2013. Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Priorities for selecting proposals are listed in Section 5 of Public Law 101-619, National Environmental Education Act. The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement.

66.951 ENVIRONMENTAL EDUCATION GRANTS

FEDERAL AGENCY:

Office of the Administrator, Environmental Protection Agency

AUTHORIZATION:

National Environmental Education Act, Section 6, Public Law 101-619.

OBJECTIVES:

The Environmental Education Grants Program supports projects to design, demonstrate, and/or disseminate practices, methods, or techniques related to environmental education and teacher training. As required by Public Law 101-619, this grant program provides financial support for environmental education projects implemented by schools, universities, state and local government environmental and educational agencies, tribal education agencies, and nonprofit 501 (c)(3) organizations that increase public awareness and knowledge about environmental issues and provide the skills that participants in its funded projects need to make informed environmental decisions and take responsible actions toward the environment.

Funding Priority - Fiscal Year 2013: Funding priorities for fiscal year 2013 are to award 25% of our grant funding to small grants of \$5000 or less through a sub-grant program. In addition, grants for model, replicable projects will also be awarded. Funding amounts are expected to be reduced compared to previous years because of our status under a continuing resolution.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grant funds shall be used to establish an education program which shall include, at a minimum: (1) design, demonstration, or dissemination of environmental curricula and materials on specific topics for which there are no existing materials; (2) design and demonstration of field methods, practices, and techniques, including review of environmental and ecological conditions and analysis of environmental and pollution problems; (3) projects to understand and evaluate a specific environmental issue or a specific environmental problem; (4) provision of training or related education for teachers, faculty, or related personnel in a specific geographic area or region; and (5) design and demonstration of projects to foster international cooperation in addressing environmental issues and problems involving the United States and Canada or Mexico. Priority will be given to those projects which will develop: (a) a new or significantly improved environmental education practice, method, or technique; (b) an environmental education method which may have wide application; (c) an environmental education method which addresses skills or scientific fields identified as a priority in the report developed by the National Environmental Education Advisory Council; and (d) an environmental education project which addresses an environmental issue which, in the judgment of the Administrator, is of a high priority. More specific priorities are listed above under Objectives and are specified in the annual Solicitation

Notice. Restrictions: No funds made available for this program shall be used for technical training of environmental management professionals; for advocacy or lobbying; for acquisition of real property (including buildings); or the construction or substantial modification of any building. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

Assistance under this program is generally available to local education agencies, colleges and universities, state education and environmental agencies, nonprofit organizations described in Section 501(c)(3) of the Internal Revenue Service, and noncommercial educational broadcasting entities as defined and licensed by the Federal Communications Commission. Applicant organizations must be located in the United States or territories and the majority of the educational activities must take place in the United States, Canada, or Mexico. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

Education (0-8), education (9-12), education (13+), nonprofit institutions.

Credentials/Documentation:

Documentation of nonprofit status may be required. Applicants must demonstrate that they have appropriate background, academic training, and experience in the field, and may be asked to demonstrate the necessary equipment or facilities to carry out the project. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

The annual solicitation notice for proposals and grant writing tips are available on the Agency's website listed below. Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applications are accepted for one or two solicitations on an annual grant cycle and are due at the deadline(s) stated below. Applicants submit an application package in the format required in the annual Solicitation Notice(s). Applicants submit an application package to the appropriate EPA Regional Grants Management Offices depending on where the project activities will take place. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA. Applicants may also submit applications and attachments on paper via mail or delivery services.

Award Procedure:

Applications for assistance agreements are to be submitted to and evaluated by the appropriate EPA Headquarters or Regional Offices based on where the proposed project will take place and the nature of the awards as described in the solicitation notice. For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements. The Agency will then advise the applicant if funding is

being considered. A final work plan will then be negotiated with the applicant. All awards are competed through the annual announcement(s) and no proposals are awarded non-competitively.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 180 days.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

None. Grants proposals are solicited and evaluated annually on a competitive basis. Therefore, applicants should not plan for renewals.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: In accordance with the National Environmental Education Act (Public Law 101-619), federal funds for any project under this section shall not exceed 75 percent of the total cost of such project. The project has a 25 percent non-federal match required by statutory formula. For the purposes of this section, the non-federal share of project costs may be provided by cash or by in-kind contributions and other non-cash support. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The majority of the grants awarded in this program have been completed in a one-year project period. However, up to two year project periods are possible depending upon the nature and complexity of the project. Activities must be completed within the time frame of the budget period. Two or more concurrent grants to the same organization for the same project are not allowed in this program. Grants may be incrementally or fully funded. This determination is made by the EPA. See the following for information on how assistance is awarded/released: As requested by the grantee periodically.

Reports:

No program reports are required. No cash reports are required. Recipients of grants are expected to submit progress reports on at least a semi-annual basis. Final reports and two copies of all grants products are due within 90 days of the close of the budget period. Expenditure reports are required. Performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Financial records, including all documents to support entries on accounting records and to substantiate changes to each assistance agreement, must be kept available to personnel authorized to examine EPA assistance accounts. All records must be maintained until the expiration of three years from the date of submission of the final expenditure report. If questions still remain, such as those raised as the result of an audit, related records should be retained until the matter is completely resolved.

Account Identification:

68-0108-0-1-304.

Obligations:

(Project Grants) FY 12 \$3,660,000; FY 13 est \$3,200,000; and FY 14 Estimate Not Available

Range and Average of Financial Assistance:

Range in the past few solicitation notices (FY 2012 and 2013) was \$50,000 to \$216,000 per grant. It is anticipated that FY 2013 grants will be for amounts ranging from \$150,000 to \$225,000. The average amount for each award the last two years has been approximately \$185,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: In FY 2012, 10 grants were awarded in the EE Sub-Award Program from a pool of approximately 40 applications. A separate Request for Proposals (RFP) was issued for the Regional Grant Program in August 2012 with a proposal deadline of December 2012, from which it is anticipated that 11 awards will be made from a pool of 487 applications. A simplified competition is being conducted at present; the RFP was sent to four eligible organizations from whom proposals will be accepted and one award will be made. Fiscal Year 2013: No information is currently available for the FY 2013 EE Grant Program. Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

40 CFR Parts 7, 12, 30, 31, 32 Subpart F, 33, 47; Public Law 101-619, and the Office of Environmental Education annual Grant Program Solicitation Notice(s).

Regional or Local Office:

See Regional Agency Offices. Contact the appropriate EPA Regional Office listed in Appendix IV of the Catalog and on the website www.epa.gov/education/grants.

Headquarters Office:

Karen Scott, Environmental Education Grant Program 1704A, Environmental Protection Agency, 1200 Pennsylvania Ave., NW, Washington, District of Columbia 20460 Email: scott.karen@epa.gov Phone: (202) 564-2194.

Website Address:

<http://www2.epa.gov/education>

RELATED PROGRAMS:

66.950 Environmental Education and Training Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Awards made in the FY 2012 Sub-Award Program included projects to coordinate and enhance environmental education across states and regions and to support school districts and non-formal education settings in environmental literacy and stewardship activities. No awards have been made in the 2012 Regional Grants Program or in the simplified competition at this time. Fiscal Year 2013: No solicitations have been issued for FY 2013 as of this date. Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Basis and priorities for selecting proposals are listed in Section 6 of Public Law 101-619, National Environmental Education Act, and in the annual Solicitation Notice which can be accessed at www.epa.gov/enviroed on the internet. The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement.

66.952 NATIONAL NETWORK FOR ENVIRONMENTAL MANAGEMENT STUDIES FELLOWSHIP PROGRAM

(NNEMS Fellowship Program)

FEDERAL AGENCY:

Office of the Administrator, Environmental Protection Agency

AUTHORIZATION:

Federal Insecticide, Fungicide, and Rodenticide Act, Section 20, 7 U.S.C 136r; Comprehensive Environmental Response, Compensation, and Liability Act, Section 311, 42 U.S.C 9660; National Environmental Education Act, Section 7, Public Law 101-619; Clean Air Act, Section 103(b)(5), 42 U.S.C 7403(b)(5); Clean Water Act, Section 104(b)(5)&(g)3B, 33 U.S.C 1254(b)(5)&g3B; Safe Drinking Water Act, Section 1442, 42 U.S.C 300j-1; Solid Waste Disposal Act, Section 8001, 42 U.S.C 6981; Toxic Substances Control Act, Section 10, 15 U.S.C 2609.

OBJECTIVES:

To provide students an opportunity to participate in a research project that is directly related to their field of study. To foster a growing interest among higher education students in environmental careers. To help defray the costs associated with the pursuit of academic programs related to the field of environmental protection, such as pollution control, science, engineering, technology, social science, and specialty areas.

Funding Priority - Fiscal Year 2013: The funding priorities for FY 2013 are to support research projects in the following categories: environmental policy, regulation and law; environmental management and administration; environmental science; and public relations and communications.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

The funds are to provide resources to allow for training and research fellowships relating to environmental issues. No matching funds are required.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

Applicant Eligibility: A NNEMS Fellowship is available to any associate, undergraduate, graduate, or advanced student who meets the general criteria listed below, as well as those identified for associate, undergraduate, graduate and advanced students. At a minimum, all applicants must be: 1) A citizen of the United States, its territories or possessions, or lawfully admitted to the United States for permanent residency. 2) Enrolled for academic credit at a college or university, or distance-learning institution accredited by a regional or national accrediting organization recognized by the United States Department of Education or the Council for Higher Education Accreditation. Associate and Undergraduate Students: Students attending 2- or 4-year institutions or distance learning institutions must meet the following requirements: 1) 3.0 cumulative grade point average (GPA) based on a scale of 4.0 at the time that the application is due. 2) Completion of at least four courses related to the field of environmental studies. Graduate and Advanced Students: Students enrolled in graduate or doctoral programs must meet the following requirements: 1) Currently enrolled in a graduate or Ph.D. program or can provide proof of acceptance and enrollment to a graduate or Ph.D. program at the time of fellowship award. 2) Completion of at least one semester of graduate or Ph.D. work, or at least four undergraduate courses related to the field of environmental studies. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy. Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR 3629,3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Beneficiary Eligibility:

Any student from a 2 or 4 year college or university that meets the criteria of the program.

Credentials/Documentation:

Students are required to submit an official transcript from each 2- or 4-year college or university, or distance learning institution attended. A student must submit verification of acceptance and/or enrollment in a graduate or Ph.D. program if the applicant is a graduating senior. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to competitive

funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Students who are interested in applying for a NNEMS fellowship must submit three complete application packages (one original and two copies) that include: 1) A completed NNEMS application, 2) SF 424, 3) A resume, 4) A completed NNEMS reference form, 5) A completed NNEMS Disclosure and Waiver Statement, 6) Verification of acceptance and/or enrollment in a graduate or Ph.D. program if the applicant is a graduating senior, and 7) An official transcript for each 2- or 4-year college or university, or distance learning institution attended. Official transcripts should be opened and photocopied as two copies of the transcript are required for each complete application. NNEMS application forms can be obtained on our web site at: www.epa.gov/enviroed. Applicants must submit their completed application package to: NNEMS Fellowship Program, Tetra Tech EM Inc., 1881 Campus Commons Drive, Suite 200, Reston, VA 20191. Students selected for a NNEMS Fellowship must fill out a Fellowship Application (EPA Form 5770-2) and a Fellowship Facilities and Commitment Statement (EPA Form 5770-3). Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 120 days.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

Grants are solicited through competitions and are not renewed.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

NNEMS Fellowships are generally awarded for three months full-time and up to three years part-time. Students receive payment in the form of a stipend typically paid in equal monthly payments throughout the duration of the fellowship period unless otherwise specified in a disbursement plan submitted with the funding package. See the following for information on how assistance is awarded/released: Stipends are awarded according to Project Officer's disbursement plan.

Reports:

Reporting requirements will be stated in the Terms and Conditions of the official grant award documents. Recipients must submit original final reports to the EPA Project Officer. The final report should be submitted on the last day of the fellowship. Unless directed otherwise by the EPA Project Officer, the final report should summarize the specific project undertaken by the student and the project results. Program reports are required. No cash reports are required.

Progress reports are required per the NNEMS post award monitoring plan provided by the project officer. No expenditure reports are required. Performance monitoring is required per the NNEMS post award monitoring plan posted by each project officer.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Pursuant to 40 CFR Part 46- Fellowships, Subpart E-46.230, Closeout Procedures: NNEMS Fellows must retain all records related to the fellowship for 3 years following the completion date identified on the Completion of Studies Notice. The EPA, the Inspector General, and the Comptroller General of the United States, and any duly authorized representatives have the right of timely and unrestricted access to a student's documents, papers, or other records related to a fellowship in order to make audits, examinations, excerpts, transcripts, and copies of such documents. The rights of access in this paragraph are not limited to the required retention period but shall last as long as those records are retained.

Account Identification:

68-0108-0-1-304.

Obligations:

(Project Grants) FY 12 \$132,518; FY 13 est \$270,000; and FY 14 Estimate Not Available

Range and Average of Financial Assistance:

Recipients of NNEMS fellowships receive a grant award in the form of a stipend, based on the student's level of education and the duration and location of the project. Grant awards range from approximately \$7,400.00 to \$12,600.00 per award for a 3-month, full-time period. The average award is for approximately \$12,000.00. For a part-time period of up to 3 years, the average award is for approximately \$25,000 to \$30,000 per year.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: For FY 2012, 6 students received a NNEMS fellowship.
Fiscal Year 2013: For FY 2013, 6 students will receive a NNEMS Fellowship.
Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

40 CFR Part 46-Fellowships and 40 CFR Part 30-General Regulations for Assistance Programs.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Ginger Potter, Office of Environmental Education MC1703A), Environmental Protection Agency, 1200 Pennsylvania Avenue, NW, Washington, District of Columbia 20460 Email: potter.ginger@epa.gov Phone: (202) 564-0453.

Website Address:

<http://www2.epa.gov/education>

RELATED PROGRAMS:

66.509 Science To Achieve Results (STAR) Research Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Environmental Policy, Regulation and Law

1)Project Overview:

The national ambient air quality standards (NAAQS) for criteria pollutants are reviewed every 5 years. If a NAAQS is revised, areas of the United States in

violation of the revised NAAQS must be identified through a process called air quality designations. The NAAQS for several pollutants including ozone, lead, nitrogen dioxide and sulfur dioxide have recently been revised. Under this project, the fellow will conduct research on U.S. air pollutants and pollution issues, as well as the activities undertaken by EPA and state and local air pollution agencies to manage air quality to achieve national standards. The fellow also will work with OAQPS staff as they plan and produce outreach and communications materials on air quality designations for state and local air pollution.

Project Goals:

The fellow will increase his or her knowledge of air pollution issues and activities undertaken by the EPA and state and local air pollution agencies to manage air quality to achieve national standards. When the project is complete, the fellow will have accomplished one or more of the following: (1) analyzed air pollutant issues in local areas, (2) researched designation activities to reduce air pollution, and (3) developed skills needed to advance program guidance and implementation for local application.

2)Project Overview:

Tribal governments often have difficulty applying national, general guidance to their particular situation. To enhance capacity building on tribal lands, it is necessary to develop more specific documents that illustrate tribal issues and concerns. The fellow selected will conduct research on U.S. air pollutants and pollution issues, as well as the activities undertaken by the EPA and state, tribal, and local air pollution agencies to manage air quality and implement national standards on tribal lands. The fellow also will research program-specific outreach and communications guidance for tribal programs.

Project Goals:

The fellow can expect to increase his or her knowledge of criteria and air toxics pollutant control, policy analysis, the community tribal program and the federal regulatory process. When the project is complete, the fellow will have accomplished one or more of the following: (1) analyzed air pollutant issues in local areas, (2) reviewed implementation activities to reduce air pollution, and (3) developed skills needed to advance program guidance and implementation for local application.

Environmental Management and Administration

3)Project Overview:

The fellow will support the Waste Materials Management and Stewardship Team in its mission to support more sustainable use of materials and waste management in the Pacific Northwest and Alaska. The fellow will work with members of the team to integrate materials management into climate change discussion, policies and programs. For example, the fellow will work with the team as it develops resources and tools to promote sustainability, recycling and green building practices. The fellow will develop case studies, research best practices and participate in a web-based educational series on effective sustainability, recycling and green building practices and programs. In addition, the fellow will meet with state, local and tribal partners to research how these improved environmental practices have been integrated into their businesses. Finally, the fellow will support development of product stewardship initiatives and implementation of climate actions into federal facilities.

Project Goals:

The fellow will gain understanding in a new and exciting area of work sustainable materials management. The fellow will understand the impact of materials management on our climate footprint and will be able to translate that understanding into effective communications.

Environmental Science

4)Project Overview:

Green Infrastructure (GI) and Low Impact Development (LID) approaches are increasingly being used as Best Management Practices (BMP) in attempts to regulate hydrology, manage water quantity and quality, and offset ecological impacts of urbanization and land use change. GI and LID include methods such as swales, detention ponds, green roofs, riparian buffers, rain gardens and constructed wetlands. Water quality managers require science-based assistance for making management decisions because there may be considerable variability in benefits associated with these approaches.

Under this project, the fellow will research and prepare a decision support tool for urban watershed planning that will prioritize the selection and location of effective GI and LID approaches intended to improve water quality and quantity in urban watersheds. The ultimate objective of this decision support tool is to provide a systematic mechanism that will improve the cost effectiveness of siting and implementing GI- and LID-based management approaches.

The fellow will take a hierarchical approach to the project, including: (1) identifying and synthesizing land use data and city engineering plans for a city of interest that will form a case study for proof of principle; (2) creating maps and geographic information system (GIS) layers to display city infrastructure, roads, residential and commercial construction, streams, green space, and other features, and developing criteria for selection of GI and LID based on hydrology and nutrient removal effectiveness as reported in the literature; (4) developing decision mechanisms such as if-then statements, decision trees, and neural networks or algorithms designed to systematically and automatically select sites with best potential for GI or LID implementation; and (5) testing the decision tool and assessing sensitivity by ground-truthing selected sites. The fellow should expect an iterative approach for developing the decision support tool and will work with EPA staff throughout the development process.

Project Goals:

The fellow will conduct cutting-edge research into the land use science and ecology of urban streams and the effects of ecosystem restoration by applying GI or LID methods. The fellow will be mentored by EPA scientists and academic researchers in an apprenticeship context. The primary goals of the project are for the fellow to explore the feasibility of creating sustainable, urban ecosystems and to develop an understanding of the growing field of urban ecology, planning and design. The fellow will receive training by leading researchers that will augment his or her current graduate program.

Public Relations and Communications

5)Project Overview:

The Community Involvement Program seeks to build capacity in communities so that citizens may effectively participate in the Superfund process. Building capacity in communities may focus on technical assistance or on providing communities the opportunity to form advisory groups to discuss site activities and resolve issues.

In addition, the Community Involvement and Program Initiatives Branch works to establish dialogue with members of the public on critical issues facing communities near Superfund sites. During this project, the fellow will become familiar with the Superfund process and learn how public participation processes are implemented during cleanups.

The specific project will depend on the emerging issues at the time of application and selection. Students applying for this fellowship must have good writing skills and be able to perform basic research, critical analysis and synthesis of information from multiple sources. In addition, the students should have knowledge of community involvement and conflict resolution.

Project Goals:

The fellow will learn critical issues facing a government agency as it seeks to involve the public in decision making. In addition, the fellow will learn to

analyze emerging issues and topics and work with EPA staff in developing strategies for managing the issues and topics. Fiscal Year 2013: Environmental Management and Administration

Project Overview:

The fellow will support the Materials Management and Stewardship (MMAS) Team in its mission to implement the EPA's Sustainable Materials Management (SMM) Program in the Pacific Northwest and Alaska. Building on the familiar concept of Reduce, Reuse and Recycle, SMM is a systemic

approach that seeks to reduce materials use and their associated environmental impacts over their entire life cycle, starting with extraction of natural resources and product design, and ending with

decisions on recycling or final disposal. Working with the MMAS Team, the fellow will participate in efforts that support the EPA's national SMM priorities, including the reduction of food waste through the Food Recovery Challenge and efforts to reduce the environmental footprint of federal facilities through the Federal Green Challenge. The fellow will participate in the West Coast Climate and Materials Management Forum, which is an EPA-led partnership of western cities and states

that are developing and sharing ways to integrate lifecycle materials management policies and practices into climate actions. The fellow will meet with workgroups, conduct policy research and analysis, prepare case studies, and participate in MMAS Team activities. To be successful at this project, the fellow should have strong oral and written communication skills, an understanding of sustainability, and a passion for environmental work.

Project Goals:

The fellow will gain an understanding of and experience in a new and exciting area of work, sustainable materials management. The fellow will learn about the relationship between materials management and climate change and will be able to translate that understanding into effective communication.

Environmental Science Project Overview:

Finite water resources, increasing demand and aging water infrastructure are some of the greatest challenges facing our nation and other global regions. The Safe and Sustainable Water Resources

(SSWR) research program in the EPA is conducting research and analysis that strive for solutions to ensure future generations will have adequate water of sufficient quality. The research program will develop sustainable solutions by integrating trans-disciplinary research addressing social, environmental and economic outcomes. Complex water issues cannot be solved by a traditional "siloe" water management approach. In a water-connected world, sustainable solutions require a

system-based approach, where water services (traditionally within wastewater, stormwater and drinking water) are integrated to maximize the recovery of resources (energy, nutrients, materials and water). Next-generation sustainable water systems should employ effective water management practices that provide safe and sustainable water from source to drinking water tap. Furthermore, these water systems need to be adaptive to address changing societal aspirations, demographics

and climate. Water system managers require science-based assistance for making management decisions. One of the system-based methods is energy synthesis, a process previously used for various systems at multiple scales to incorporate environmental, social and economic aspects into a common unit of nonmonetary measure (solar energy equivalents). Furthermore, an energy approach may provide an objective metric to assess the sustainability of systems. It not only quantitatively assesses the direct and indirect energy required to produce goods and services, but also provides a decision criterion (metric) to evaluate the efficacy of alternatives. Energy analysis has the potential, along with other metrics, to integrate sustainability principles to water

system management at different scales and levels. Under this project, the fellow will focus on developing an energy-based decision support tool that will focus on how to minimize community wastewater contamination by maximizing the recovery of resources (energy, nutrients, materials and water).

Project Goals:

The fellow will conduct highly interdisciplinary research in the field of sustainable science and address hydrology, ecology and engineering issues. The fellow will work with EPA scientists and academic researchers and receive training by leading researchers that will augment his or her current graduate program.

Public Relations and Communications Project Overview:

The Community Involvement Program seeks to build capacity in communities so that citizens may effectively participate in the Superfund process. Building capacity in communities may focus on

technical assistance or on providing communities the opportunity to form advisory groups to discuss site activities and resolve issues. In addition, the Community Involvement and Program Initiatives Branch works to establish dialogue with members of the public on critical issues facing communities near Superfund sites. During this project, the fellow will become familiar with the Superfund process and learn how

public participation processes are implemented during cleanups. The specific project will depend on the emerging issues at the time of application and selection. Students applying for this fellowship must have good writing skills and be able to perform basic research, critical analysis and synthesis of information from multiple sources. In addition, the students should have knowledge of the various tools and techniques used to involve communities in environmental decision making.

Project Goals:

The fellow will learn critical issues facing a government agency as it seeks to involve the public in decision making. The fellow will learn to analyze emerging issues or topics and work with EPA staff in developing strategies for managing the issues or topics. Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement. Applicants must meet all of the basic eligibility requirements. Eligible applications then will be reviewed by panels comprised of EPA staff members. Applications will be evaluated based on the following criteria: 1) relevancy of classroom experience of the student as it relates to the EPA research project, 2) the student's understanding of the proposed EPA research project subject matter, 3) relevancy of work experience of the student (whether volunteer activities, internships, or paid jobs) as it relates to the EPA research project, 4) relevancy of the student's academic studies as it relates to the EPA research project, 5) leadership skills, written communication skills, and demonstrated success at working well in an office, laboratory, or field environment, as appropriate to the project, and 6) potential for success, as reflected by academic records, letters of reference, and other relevant information. Applications that are scored highly by the panels of reviewers are sent to NNEMS staff and EPA project officers for selection consideration.

NUCLEAR REGULATORY COMMISSION

77.006 U. S. NUCLEAR REGULATORY COMMISSION NUCLEAR EDUCATION GRANT PROGRAM

Nuclear Education Grant Program - Curricula Development

FEDERAL AGENCY:

Nuclear Regulatory Commission

AUTHORIZATION:

The Energy Policy Act of 2005, Section 651(c)(1), Public Law 109-58, 119 Stat. 801, 42 U.S.C 2051.

OBJECTIVES:

To provide assistance to accredited U.S. colleges and universities in support of courses, studies, training, curricula, and disciplines relevant to nuclear safety, nuclear security, nuclear environmental protection, or any other field that the Commission determines to be critical to its regulatory mission.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Financial support in whole or in part may be provided for such purposes as the salaries, materials and supplies, equipment, limited travel, publication costs and services required for conducting the curricula development related activities. Restrictions on use of funds are specified in the funding opportunity announcement or the award document. Funding is provided for the purpose of improving or supporting curricula that does not necessarily duplicate work already in process or contemplated by NRC, is not already known to NRC, or has previously unrecognized merit.

Applicant Eligibility:

Applicants must be a U.S. public or private institution of higher education, accredited by the U.S. Department of Education.

Beneficiary Eligibility:

Accredited U.S. public or private institutions of higher education will benefit.

Credentials/Documentation:

Administration and cost will be determined in accordance with guidance in 2 CFR 215 and 2 CFR 220, respectively, for institutions of higher education. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Apply using the electronic application package required in the Funding Opportunity Announcement (FOA). Responding to a FOA ensures that the correct application package is used and enables NRC to receive the application from Grants.gov.

*NOTE: FOA is Grants.gov's terminology for what NRC refers to as Program Announcement (PA), Agency Announcement (AA) or Funding Notice (FN).

Award Procedure:

Applications are screened for compliance with the FOA, reviewed for relevance, scientific and technical merit by qualified individuals based on a set of criteria stated in the FOA. Recommendations for award are made by the review panel via the U. S. Nuclear Regulatory Commission Office of the Chief Human Capital Officer, and the selected applications are forwarded to the U.S. Nuclear Regulatory Commission, Office of Administration, Division of Contracts, for grant negotiation and award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days. Contact information can be found in the Funding Opportunity Announcement (FOA).

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. Matching is not required but encouraged.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The period of performance for grants are normally up to 2 years and cannot exceed 3 years; intervals are determined at the time of award. Funds will be expended by the recipient organization within the project period of performance or as specified in the award. Method of awarding/releasing assistance: lump sum.

Reports:

No program reports are required. No cash reports are required. The semi-annual progress report (reported on SF-PPR, SF-PPR-B, and SF PPR-E) requirements will be stipulated in the terms of award in compliance with 2 CFR 215.51. The Recipient must submit a "Federal Financial Report" (SF-425) at intervals specified in the award to the Grants Officer and Program Office in compliance with 2 CFR 215.52. Performance monitoring is conducted by NRC staff as stipulated in the terms of award.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Award recipients must comply with 2 CFR 215.53, "Retention and Access Requirements for Records."

Account Identification:

31-0200-0-1-276.

Obligations:

(Project Grants) FY 12 \$4,000,000; FY 13 Estimate Not Available; and FY 14 Estimate Not Available

Range and Average of Financial Assistance:

Award amounts range from \$23,000.00 to \$200,000.00 in FY 2012 with an average of \$161,000.00 for up to two years of financial assistance.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

42 U.S.C. 2051(b); 2 CFR 215 and 220. Technical and administrative information for this program are available at <http://www.nrc.gov/about-nrc/grants.html>

Regional or Local Office:

None.

Headquarters Office:

Tanya Parwani-Jaimes 11555 Rockville Pike,
Mail Stop: 3WFN-03A44M , Rockville, Maryland 20852 Email:
tanya.parwani-jaimes@nrc.gov Phone: 301-287-0730

Website Address:

<http://www.nrc.gov>

RELATED PROGRAMS:

77.008 U.S. Nuclear Regulatory Commission Scholarship and Fellowship Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: See

<http://www.nrc.gov/about-nrc/grants/awards.html>. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Selection criteria, including exclusions as stated in the funding opportunity announcement, for support is based on the soundness of the proposed approach including objectives, methodology, plan of operation, timetable, expected products and results, evaluation, and dissemination plans. The applicant's capability to perform the project is assessed to the degree that the project will strengthen its teaching capacity; the competency of the proposed investigator or group to successfully pursue the project; the adequacy of the available and proposed facilities and resources; the necessity of the budget components

requested in relation to the proposed project; and the relevance and importance to announced program objectives.

77.008 U.S. NUCLEAR REGULATORY COMMISSION SCHOLARSHIP AND FELLOWSHIP PROGRAM

NRC Scholarship/Fellowship and Faculty Development Program

FEDERAL AGENCY:

Nuclear Regulatory Commission

AUTHORIZATION:

Energy Policy Act of 2005.

, Public Law 109-58, 119 Stat. 801, 42 U.S.C 2051.

OBJECTIVES:

This Scholarship and Fellowship program provides funding for undergraduate scholarships, graduate fellowships, trade school and community college scholarships. In addition, faculty development grants are funded under this authority as explained below. The program allows students to pursue an education in science, engineering, or another field of study that the Commission determines is in a critical skill area related to its regulatory mission. The trade school and community college scholarship program supports certificates or Associate degrees in crafts/disciplines necessary for developing and maintaining a nuclear workforce.

This activity is intended to benefit the nuclear industry broadly. NRC requires scholarship and fellowship students to comply with a service obligation agreement for a period of 6 months for every year or partial year of support received. However, the NRC may establish criteria for the partial or total waiver or suspension of any obligation of service or payment incurred by a student. The employment may be with the NRC, other Federal agencies, State agencies, nuclear-related industry, or academia in the students sponsored fields of study. Recipients of scholarship and fellowships must commit to the service obligation agreement.

The program also supports faculty development in the nuclear-related fields of Nuclear Engineering, Health Physics, Radiochemistry and related disciplines as determined by the NRC. The program specifically targets probationary, tenure-track faculty in these academic areas during the first 6 years of their career.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

This program provides funding to accredited post-secondary schools and institutions of higher education to provide scholarships, fellowships, and stipends to develop a cadre of professionals, and a workforce capable of supporting the design, construction, operation, and regulation of nuclear facilities and the safe handling of nuclear materials. Faculty development grants provide support for developing applications for research, small amounts for initiating or continuing research projects in their areas of expertise, and expenses associated with an institutions faculty development plan.

Funds are managed by the awardee institution. Primary responsibility for general supervision of all grant activities rests with the grantee institution. Funds may not be used for purposes other than those specified in the award. Fellowships, scholarship and stipends are paid to the students and fellows directly through his/her institution. Scholarship and fellowship recipients are required to comply with a service obligation agreement for a period of 6 months for every year or partial year of support received.

Applicant Eligibility:

Applicants must be a U.S. public or private institutions of higher education, accredited by the U.S. Department of Education.

Beneficiary Eligibility:

Individual Recipients must be undergraduate and graduate students of accredited U.S. public or private institutions of higher education. Candidates for the Faculty Development program are targeted for probationary, tenure-track faculty in nuclear related fields during the first 6 years of their career. All

recipients must be U.S. citizens or noncitizen national of the U.S. or have been lawfully admitted to the U.S. for permanent residence.

Credentials/Documentation:

Administration and cost will be determined in accordance with guidance in 2 CFR 215 and 2 CFR 220, respectively, for institutions of higher education. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Apply using the electronic application package required in the Funding Opportunity Announcement (FOA). Responding to a FOA ensures that the correct application package is used and enables NRC to receive the application from Grants.gov.

*NOTE: FOA is Grants.gov's terminology for what NRC refers to as Program Announcement (PA), Agency Announcement (AA) or Funding Notice (FN).

Award Procedure:

Applications are processed by the assigned staff and approved by the NRC, based on a set of criteria established by the NRC, as stated in the Funding Opportunity Announcement.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days. Contact information can be found in the Funding Opportunity Announcement (FOA).

Appeals:

Not Applicable.

Renewals:

Not applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The period of performance for project grants shall be between 2 and 4 years (depending on the program); intervals are determined at the time of award. Funds must be expended within the active period of performance. Method of awarding/releasing assistance: lump sum.

Reports:

No program reports are required. No cash reports are required. Semi-annual progress reports (reported on SF-PPR, SF-PPR-B, and SF-PPR-E) and final report requirements will be stipulated in the terms of award in compliance with 2 CFR 215.51. The recipient shall submit a "Federal Financial Report," SF-425 at intervals specified in the terms of award in compliance with 2 CFR 215.52. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Awardees must comply with 2 CFR 215.53, "Retention and Access Requirements for Records."

Account Identification:

31-0200-0-1-276.

Obligations:

(Project Grants) FY 12 Not Available; FY 13 Estimate Not Available; and FY 14 Estimate Not Available - FY 11, \$5,000,000; and FY 12 and FY 13, approximately \$15 million - Funds availability are subject to annual appropriations.

Range and Average of Financial Assistance:

FY 11, \$5,000,000; and FY 12 and FY 13, approximately \$15 million - Funds availability are subject to annual appropriations.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Reports, brochures, guidelines, and frequently asked questions are available at <http://www.nrc.gov/about-nrc/grants.html>.

Regional or Local Office:

None.

Headquarters Office:

Nancy Hebron-Isreal 11555 Rockville Pike
Mail Stop: 03A36, Rockville, Maryland 20852 Email:
nancy.hebron-isreal@nrc.gov Phone: 301-287-0718

Website Address:

<http://www.nrc.gov>

RELATED PROGRAMS:

77.006 U. S. Nuclear Regulatory Commission Nuclear Education Grant Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Capacity and ability of the institution to effectively conduct the program. Number and quality of students that will be served by the program. Justification for number of students served and amount of stipend and scholarship support. Quality and feasibility of recruitment and marketing strategies. Quality of technical programs for scholarship or fellowship programs. Type of student support, i.e. mentoring or advisor assistance feasibility and completeness of an evaluation plan that will measure the effectiveness of the scholarship or fellowship program Institutional support for the program and the extent to which the institution is committed to making the program an organizational focus. Plans for sustainability. Additional merit review considerations apply. See the selection criteria as stated in the funding opportunity announcement.

77.009 U.S. NUCLEAR REGULATORY COMMISSION OFFICE OF RESEARCH FINANCIAL ASSISTANCE PROGRAM

NRC OFFICE OF RESEARCH GRANT PROGRAM

FEDERAL AGENCY:

Nuclear Regulatory Commission

AUTHORIZATION:

Energy Policy Act of 2005, Section 651(c), Public Law 109-58, 119 Stat. 801, 42 U.S.C 2051(a)(b).

OBJECTIVES:

The Office of Nuclear Regulatory Research (RES) furthers the regulatory mission of the NRC by providing technical advice, technical tools and information for identifying and resolving safety issues, making regulatory decisions, and promulgating regulations and guidance. RES conducts independent experiments and analyses, develops technical bases for supporting realistic safety decisions by the agency, and prepares the agency for the future by evaluating safety issues involving current and new designs and technologies. RES develops its program with consideration of Commission direction and input from the program offices and other stakeholders.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants); Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

This program provides funding to educational institutions, nonprofit

organizations, State and local governments, and professional organizations. The award recipient bears primary responsibility for general supervision of all financial assistance activities. Funds may not be used for purposes other than those specified in the award.

Applicant Eligibility:

None.

Beneficiary Eligibility:

None.

Credentials/Documentation:

Costs will be determined by Office of Management and Budget Circulars and Guidance in 2 CFR 215-230. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Office of Nuclear Regulatory Research, Division of Program Management, Policy Development & Analysis Staff, 301-251-7401. Telecommunications for the hearing impaired are available at: TDD 1-800-635-4512. An environmental impact statement is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. The NRC will accept electronic applications only from organizations submitted as specified in the funding opportunity announcement (FOA) posted at www.grants.gov. Apply using the electronic application package required in the FOA. Responding to a FOA ensures that the correct application package is used and enables NRC to receive the application from Grants.gov.

* Note: FOA is Grants.gov terminology for what NRC refers to as Program Announcement (PA), Agency Announcement (AA), or Funding Notice (FN).

Award Procedure:

Applications are processed by the assigned NRC staff based on a set of criteria established by the NRC, which can be found in the active Funding Opportunity Announcement.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days. Please see the active Funding Opportunity Announcement for published response deadlines.

Appeals:

Not Applicable.

Renewals:

Proposals for renewal/continuations are subject to the review and acceptance by the U.S. Nuclear Regulatory Commission Office of Nuclear Regulatory Research.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The project periods for both grants and cooperative agreements shall not exceed five (5) years at intervals determined at the time of the award. See the following for information on how assistance is awarded/released: Funds dispersed annually on an incremental funding basis depending on availability of appropriated funds.

Reports:

Progress reports, financial reports and final report requirements will be stipulated in the terms of award in compliance with 2 CFR 215.51 and 2 CFR 215.52. Financial reports will be stipulated in the terms of award in compliance with 2 CFR 215.51 and 2 CFR 215.52. Progress reports and final report requirements will be stipulated in the terms of award in compliance with 2 CFR 215.5. The Recipient shall submit a Federal Financial Report (SF-425) as specified in the terms of award. Performance report monitoring will be

conducted as stipulated in the terms of award.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Award recipients must comply with 2 CFR 215.53 Retention and Access Requirements for Records."

Account Identification:

31-0200-0-1-276.

Obligations:

(Project Grants) FY 12 \$770,000; FY 13 Estimate Not Available; and FY 14 Estimate Not Available

Range and Average of Financial Assistance:

Award amounts range from \$5,000 to \$200,000 per year per award, and can vary depending on availability of appropriated funds.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Reports or brochures on regulatory decisions, results of research, results of incident investigations, and other technical and administrative information are available at <http://www.nrc.gov/reading-rm/doc-collections/nuregs/>

Regional or Local Office:

None.

Headquarters Office:

Robin T. Barnes U.S Nuclear Regulatory Commission, Washington, District of Columbia 20555 Email: robin.barnes1@nrc.gov Phone: 301-251-7401

Website Address:

<http://www.nrc.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: For examples of previously funded awards by the Office of Research, please visit: <http://www.nrc.gov/about-nrc/grants/awards.html>. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Selection for support by the Office of Research through financial assistance methods are made to basic, advanced, and developmental scientific programs where the purpose is to create new or further define existing paradigms within the nuclear industry.

Factors generally indicating support through financial assistance methods:

- the primary purpose of the proposal is to aid or support the development of knowledge or understanding of the subject or phenomena under study;
- the exact course of the work and its outcome are not defined precisely and specific points in time for achievement of significant results may not be specified;
- the nature of the proposed work is such that the recipient will bear prime responsibility for the conduct of the research and will exercise judgment and original thought toward attaining the scientific goals within broad parameters of the research areas proposed and the related resources provided;
- meaningful technical reports can be prepared only as new findings are made; and
- simplicity and economy in execution and administration are mutually desirable.

DEPARTMENT OF ENERGY

81.003 GRANTING OF PATENT LICENSES

(DOE Patents Available for Licensing)

FEDERAL AGENCY:

Department of Energy

AUTHORIZATION:

Atomic Energy Act of 1954, Sections 156 and 161(g), as amended, Public Law 83- 703, 68 Stat. 919, 42 U.S.C. 2186 and 2201; Public Law 96-517, 35 U.S.C. 207, 208, 209; Department of Energy Organization Act of 1977, as amended, Public Law 95-91, 42 U.S.C. 7101.

OBJECTIVES:

To encourage widespread utilization of inventions covered by DOE owned patents.

TYPES OF ASSISTANCE:

DISSEMINATION OF TECHNICAL INFORMATION

USES AND USE RESTRICTIONS:

Nonexclusive, revocable licenses are granted by Department of Energy (DOE) to responsible applicants with plans for development and/or marketing on approximately 1,500 DOE owned United State patents. Exclusive and partially exclusive licenses may also be granted. Similar licenses on approximately 200 DOE owned foreign patents may be accorded to United State citizens and corporations, and to others under terms and conditions which depend upon particular facts. Licenses for use or sale in the United States may normally be granted only to licensees that agree to substantial manufacture in the United States. Copies of U.S. patents may be obtained from the United States Patent and Trademark Office, Department of Commerce, Washington, DC 20231, for a modest fee.

Applicant Eligibility:

Individuals, firms, or corporations with satisfactory plans and intentions to commercialize the invention may apply.

Beneficiary Eligibility:

Individuals, firms, and corporations will benefit.

Credentials/Documentation:

Satisfactory plans for development and/or marketing of the invention. In the case of corporations, the State of incorporation. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. By letter to the Assistant General Counsel for Technology Transfer and Intellectual Property, DOE, Washington, DC 20585, identifying the patent by its number, together with information as to: (1) The nature and type of the applicant's business; (2) the purpose for which the license is desired together with documentation as to the applicant's plan for development and/or marketing of the invention to achieve that purpose; (3) the geographical areas in which the applicant will practice the invention; (4) the applicant's willingness to render periodic reports on the use of the licensed subject matter; (5) the applicant's status as a small business firm, minority business, or other; and (6) remittance of a \$25 processing fee for each license requested.

Award Procedure:

Patent licenses are issued by the Office of the Assistant General Counsel for Technology Transfer and Intellectual Property, DOE.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Normally three months for nonexclusive licenses; somewhat longer for exclusive licenses.

Appeals:

Applicants denied a license and revoked licensees have the right to appeal in accordance with 10 CFR 781 by filing notice of appeal within 30 days. Appeals board is designated upon filing of notice of appeal.

Renewals:

Licenses may be renewed upon reapplication, contingent on satisfactory performance.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

There are no funds obligated under this program. See the following for information on how assistance is awarded/released: There are no funds obligated under this program.

Reports:

No program reports are required. If license is royalty bearing, DOE has the right to inspect the books of account as necessary to determine accuracy of statement. Annual reports are required to be submitted as to the extent of utilization and royalties due, if applicable. No expenditure reports are required. No performance monitoring is required.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. If license is royalty bearing, DOE has the right to inspect the books of account as necessary to determine accuracy of statement.

Records:

None except where the specific license provides for payment of royalty.

Account Identification:

89-0228-0-1-276.

Obligations:

(Dissemination of Technical Information) FY 12 Not Separately Identifiable; FY 13 Not Separately Identifiable; and FY 14 Not Separately Identifiable - There are no dollars obligated under this program.

Range and Average of Financial Assistance:

No dollars are obligated under this program.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Two patent licenses were granted. Fiscal Year 2013: Two patent licenses were granted. Fiscal Year 2014: It is estimated that 2 patent licenses will be granted.

REGULATIONS, GUIDELINES, AND LITERATURE:

Licensing of government owned inventions (37 CFR 404). 10 CFR 781, DOE Patent Licensing Regulations; "DOE Invention Licensing Program" describes the program and is available at <http://www.osti.gov/gencon/>.

Regional or Local Office:

None.

Headquarters Office:

John T. Lucas, Office of the Assistant General Counsel for Technology Transfer, 1000 Independence Ave, SW, Washington, District of Columbia 20585 Phone: (202) 586-2802

Website Address:

<http://www.doe.gov>

RELATED PROGRAMS:

11.900 Patent and Trademark Technical Information Dissemination

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Nonexclusive licenses - satisfactory plan for development and/or marketing of the invention. Exclusive licenses in addition, a determination in accordance with 35 U.S.C. 209(c) that exclusivity is reasonable and necessary for commercialization.

81.022 LABORATORY EQUIPMENT DONATION PROGRAM

(Used Equipment Grants)
(LEDP)

FEDERAL AGENCY:

Department of Energy

AUTHORIZATION:

Atomic Energy Act of 1954, Section 31(a) and (b), as amended, Public Law 83-703, 68 Stat. 919, 42 U.S.C. 2051; Energy Reorganization Act of 1974, Title I, Section 107, Public Law 93-438, 88 Stat. 1240, 42 U.S.C. 5817; Department of Energy Organization Act of 1977, as amended, Public Law 95-91, 42 U.S.C. 7101.

OBJECTIVES:

To assist United States institutions of higher education in equipping their science and engineering laboratories for energy-related research and/or instructional purposes.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Used energy-related laboratory equipment is granted to nonprofit educational institutions of higher learning for use in energy-oriented research or instructional programs in the life, physical, and environmental sciences and engineering. The donated equipment must remain in the United States. The donated equipment must not be sold or placed on eBay for sale.

Applicant Eligibility:

Nonprofit educational institutions of higher learning, such as universities, colleges, junior colleges, hospitals, and technical institutes or museums located in the United States and interested in establishing or upgrading energy oriented educational and research programs in the life, physical, and environmental sciences and engineering may apply. All LEDP program applicants are required to provide a statement that validates their capabilities to use the requested scientific equipment. The program reserves the right to deny an applicants' request if it appears that the applicants' ability to successfully use the equipment is lacking.

Beneficiary Eligibility:

Nonprofit institutions of higher education benefit.

Credentials/Documentation:

Institutions complete the on-line application. This application includes: (1) Identification of institution and school and/or department where equipment would be used; (2) the objectives to be met through use of the equipment; (3) a detailed listing of the equipment requested; (4) a brief description of energy-oriented course offerings and numbers of graduate and undergraduate students enrolled; (5) data on qualifications of faculty members to make effective use of the equipment; (6) reference to any prior grant for equipment (financial or otherwise) to the same or to a related department; (7) the name and title of the person preparing the application. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. The guideline and application are on the website. The application will be automatically submitted to the appropriate DOE field office via electronic mail.

Award Procedure:

Award is on basis of first-received, first- qualified proposal.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 15 to 30 days. From 30 days.

Appeals:

From 1 to 15 days. A written appeal must be submitted within 15 days after a freeze is placed on an item.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Not Applicable. Method of awarding/releasing assistance: by letter of credit.

Reports:

At the end of the first year of use, institution must report on the manner in which equipment was used in establishment, expansion, or enhancement of energy research and/or energy related courses. Cash reports are not applicable. At the end of the first year of use, institution must report on the manner in which equipment was used in establishment, expansion, or enhancement of energy research and/or energy related courses. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

Not Applicable.

Records:

Donor Equipment Award Information, and item ID Number.

Account Identification:

89-0222-0-1-501.

Obligations:

(Use of Property, Facilities, and Equipment) FY 12 Not Separately Identifiable(Exp: Grants); FY 13 Not Separately Identifiable(Exp: Grants); and FY 14 Not Separately Identifiable(Exp: Grants)

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

The "Laboratory Equipment Donation Program (LEDP) Guidelines" are available via the Internet at <http://www.osti.gov/ledp/index.jsp>. The grantee must not sell or place DOE donated equipment on eBay for sale.

Regional or Local Office:

See Regional Agency Offices. For DOE Operations Offices, see the "List Equipment." On "List Equipment" enter the item control number and hit "submit." This will bring up the individual to contact at the site where the specific piece of equipment is located.

Headquarters Office:

Vera A. O'Connor 1000 Independence Ave., SW
, Washington, District of Columbia 20585 Email:
vera.oconnor@science.doe.gov Phone: (202) 586-9742 Fax: (202) 586-0019.

Website Address:

<http://www.osti.gov/ledp/index.jsp>

RELATED PROGRAMS:

39.003 Donation of Federal Surplus Personal Property

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

81.036 INVENTIONS AND INNOVATIONS

(I&I)

FEDERAL AGENCY:

Department of Energy

AUTHORIZATION:

Federal Nonnuclear Energy Research and Development Act of 1974, Section 14, Public Law 577-93, 88 Stat. 1894, 42 U.S.C 5913; Department of Energy Organization Act of 1977, Public Law 95-91, 42 U.S.C 7101.

OBJECTIVES:

To encourage the development and commercialization of energy-saving inventions by providing financial and technical assistance to projects that have a potential for significant energy savings and future commercialization markets through a competitive solicitation process.

TYPES OF ASSISTANCE:

ADVISORY SERVICES AND COUNSELING; DISSEMINATION OF TECHNICAL INFORMATION; PROJECT GRANTS

USES AND USE RESTRICTIONS:

The assistance provides financial assistance for research and development of innovative, energy-saving ideas and invention. Inventions and Innovations support energy efficiency and renewable energy technology development in focus areas that align with the Office of Energy Efficiency and Renewable Energy programs. Further Program information can be found at <http://www.eere.energy.gov/inventions>.

Applicant Eligibility:

Individuals that are U.S. citizens, either native-born or naturalized, small businesses that are U.S. owned as defined in 10 CFR 600.501.

Beneficiary Eligibility:

Individual inventors and small businesses will benefit.

Credentials/Documentation:

This Program is excluded from coverage under OMB Circular No. A-87. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Only proposals prepared in response to the requirements contained in the annual competitive solicitation will be accepted. The application forms must be downloaded from the specific funding opportunity announcement posted on the grants.gov website at <http://www.grants.gov/>.

Award Procedure:

Imported Data is accurate.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Unsuccessful applicants are entitled to a debriefing by DOE program officials with a clear explanation of why the proposal was not accepted for funding.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. This program has no matching requirements. Cost sharing is not required however cost sharing is highly encouraged. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Award project periods range from 1 to 2 years. See the following for information on how assistance is awarded/released: Funds awarded are subject to Congressional Appropriation.

Reports:

No reports are required.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. Audit procedures in accordance with OMB Circular No. A-133. In addition, audit requirements need to comply with the Energy Independence and Security Act of 2007 and DOE procurement procedures as well as with 10 CFR 600.

Records:

Access to process implementation and efficiency data must be available for 10 years after project completion to measure performance against expectation and to support commercialization of the technology.

Account Identification:

89-0203-0-1-270.

Obligations:

(Project Grants (Discretionary)) FY 12 \$940,000; FY 13 est \$913,817; and FY 14 Estimate Not Available

Range and Average of Financial Assistance:

\$500,000 to \$1,000,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations: DOE Financial Assistance Regulations 10 CFR 600; <http://www.eere.energy.gov>

Regional or Local Office:

None. EERE Information Center (877) 337-3463.

Headquarters Office:

James Cash 1617 Cole Blvd., Golden, Colorado 80401 Email: james.cash@go.doe.gov Phone: 720-356-1456

Website Address:

<http://www.eere.energy.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Uniqueness, technical feasibility, marketability, energy relationship (including energy savings).

81.041 STATE ENERGY PROGRAM

(SEP)

FEDERAL AGENCY:

Department of Energy

AUTHORIZATION:

Energy Policy and Conservation Act, Public Law 94-163, 42 U.S.C. 6321-6326; Department of Energy Organization Act of 1977, Public Law 95-91, 42 U.S.C. 7101; Balanced Budget Down Payment Act II of 1996, Public Law 104-134. ; National Energy Conservation Policy Act of 1978, Public Law 95-619 and Public Law 101-440; American Recovery and Reinvestment Act of 2009.

OBJECTIVES:

The program provides financial and technical assistance to State governments to create and implement a variety of energy efficiency and conservation projects in order to provide leadership to maximize the benefits of energy efficiency and renewable energy through communications and outreach activities, technology deployment, and accessing new partnerships and resources across the geographic panorama of the United States and its territories. The programs objectives are:

- * To reduce fossil fuel emissions created as a result of activities within the jurisdictions of eligible entities;
- * To reduce the total energy use of the eligible entities; and
- * To improve energy efficiency in the transportation, building, and other sectors.

TYPES OF ASSISTANCE:

Dissemination of Technical Information; Formula Grants; Project Grants

USES AND USE RESTRICTIONS:

Grants are to be used by States for the development, implementation, or modification of State plans submitted to and approved by DOE. To be eligible for Federal assistance, each State plan must contain the five program activities required by the Energy Policy and Conservation Act, Public Law 94-163; and may also include a wide range of optional activities.

A wide variety of activities are eligible for use of the grant funds including:

- * Developing/implementing an energy efficiency and conservation strategy and retaining technical consultant services to assist in the development of such a strategy.
- * Conducting residential and commercial building energy audits.
- * Establishing financial incentive programs for energy efficiency improvements (e.g., loan programs, rebate programs, waive permit fees.)
- * Providing grants to nonprofit organizations to perform energy efficiency retrofits.
- * Developing/implementing programs to conserve energy used in transportation (e.g., flex time by employees, satellite work centers, promotion of zoning requirements that promote energy efficient development, transportation infrastructure: bike lanes/pathways, pedestrian walkways, and synchronized traffic signals).
- * Developing and implementing building codes and inspection services to promote building energy efficiency.
- * Implementing energy distribution technologies.
- * Developing public education programs to increase participation and efficiency rates for recycling programs.
- * Purchasing/implementing technologies to reduce and capture methane and other greenhouse gases generated by landfills or similar sources.
- * Installing light emitting diodes (LEDs).
- * Developing, implementing, and installing renewable energy technologies on or in any government building.
- * Any other activity as determined by the Secretary of Energy in consultation with the Secretaries of Transportation and Housing and Urban Development and the Administrator of the Environmental Protection Agency. Funds cannot be used for the purchase of land, buildings or any interest therein; construction of buildings or structures; research, development or demonstration of non-commercially available technology; or subsidies of public transportation, fares, utility rate demonstrations or State tax credits for energy conservation.

Applicant Eligibility:

All States plus the District of Columbia, the U.S. Virgin Islands, Puerto Rico, Guam, Samoa, and the Commonwealth of the Northern Mariana Islands.

Beneficiary Eligibility:

The ultimate potential beneficiaries will be the people affected by the plan each State develops. This is anticipated to be the State's population.

Credentials/Documentation:

Compliance with Federal, State and local environmental statutes and regulations, as required under the Energy Independence and Security Act of 2007. Only one application from each State, compliance with State and local environmental statutes and regulations. Costs will be determined in accordance with 10 CFR Part 600 for State and local governments. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

No preapplication form or conference is required by DOE. Consultation and assistance will be available from DOE in the preparation of the application. A copy of the final State plan must accompany the grant application from the State. Consultation and assistance will be available from the DOE in the preparation of the application. Applicants for sub-awards from the states should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. States submit applications and plans (or amendment to plans) in response to 10 CFR 420.

Applicants must register with FedConnect to submit their application. FedConnect website: www.fedconnect.net

The application forms must be downloaded from the specific funding opportunity announcement posted on the [grants.gov](http://www.grants.gov) website at <http://www.grants.gov/> and submitted per instructions in the funding opportunity announcement.

Submission deadlines will be published in the funding opportunity announcement at <http://www.grants.gov>

Award Procedure:

Grant applications will be reviewed by DOE Office of Energy Efficiency and Renewable Energy personnel. Grant procedures are in accordance with Federal government regulations. Notification of grant awards will be issued by a DOE Procurement Office.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 60 to 90 days. Submission deadlines will be published in the funding opportunity announcements at <http://www.grants.gov>.

Appeals:

As required under the Energy Independence and Security Act of 2007 and American Recovery and Reinvestment Act of 2009.

Renewals:

Renewals are subject to review by the DOE Project Management Center and the headquarters program office and subject to the availability of funds. Assistance will be provided from sums appropriated for any fiscal year only upon annual application.

Formula and Matching Requirements:

Statutory Formula: Title 10 Vol 3, Chapter II, Part 420, Subpart B Section 420.11, Public Law Energy Policy and Conservation Act 42 USC 6321 et seq. Matching Requirements: Percent: 20.%. There is a 20 percent match requirement for the SEP, however leveraging of funds on the part of the recipient is encouraged. This requirement is waived for ARRA funding. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Authorized funding is established by ECPA, NECPA, ESA and ARRA. Funds awarded annually are subject to Congressional appropriation, with no restriction on spending period, except ARRA requires all funds be spent within 36 months of award. Funds are released through the Electronic Transfer System. Annual budget periods are set by each grantee within parameters established by DOE. See the following for information on how assistance is awarded/released: See award procedures above.

Reports:

No program reports are required. No cash reports are required. Quarterly progress and financial status reports are required along with a final report at the end of the project. Quarterly financial status reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audit procedures in accordance with OMB Circular No. A-133.

In addition, audit requirements need to comply with the Energy Independence and Security Act of 2007 and DOE procurement procedures as well as with 10 CFR 600.

Records:

In accordance with 10 CFR 600.

Account Identification:

89-0321-0-1-270.

Obligations:

(Formula Grants) FY 12 \$30,690,000; FY 13 est \$26,579,440; and FY 14 Estimate Not Available

Range and Average of Financial Assistance:

\$1-\$5,000,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Advance policies, programs, and market strategies that accelerate job creation and reduce energy bills, while achieving energy and climate security for the nation on a State or Territory administered basis. Fiscal Year 2013: Advance policies, programs, and market strategies that accelerate job creation and reduce energy bills, while achieving energy and climate security for the nation on a State or Territory administered basis. Fiscal Year 2014: Advance policies, programs, and market strategies that accelerate job creation and reduce energy bills, while achieving energy and climate security for the nation on a State or Territory administered basis.

REGULATIONS, GUIDELINES, AND LITERATURE:

As described under the Energy Independence and Security Act of 2007 and American Recovery and Reinvestment Act of 2009 and 10CFR 420.

Regional or Local Office:

None. EERE Information Center, (877) 337-3463.

Headquarters Office:

James Cash 1617 Cole Blvd., Golden, Colorado 80401 Email: james.cash@go.doe.gov Phone: 720-356-1456

Website Address:

<http://www.eere.energy.gov>

RELATED PROGRAMS:

81.042 Weatherization Assistance for Low-Income Persons; 81.119 State Energy Program Special Projects; 81.128 Energy Efficiency and Conservation Block Grant Program (EECBG)

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Funded State developed and directed energy efficiency and energy conservation programs according to the submitted and approved State Plan (e.g. energy efficiency audits and retrofits for buildings). Fiscal Year 2013: Funding State developed and directed energy efficiency and energy conservation programs according to the submitted and approved State Plan (e.g. energy efficiency audits and retrofits for buildings). Fiscal Year 2014: Funding State developed and directed energy efficiency and energy conservation programs according to the submitted and approved State Plan (e.g. energy efficiency audits and retrofits for buildings).

CRITERIA FOR SELECTING PROPOSALS:

As described under the Energy Independence and Security Act of 2007 and American Recovery and Reinvestment Act of 2009. In addition, each State energy conservation plan must contain the five program activities required by the Energy Policy and Conservation Act, Public Law 94-163, and may also contain a wide range of optional activities.

81.042 WEATHERIZATION ASSISTANCE FOR LOW-INCOME PERSONS

(WAP)

FEDERAL AGENCY:

Department of Energy

AUTHORIZATION:

American Recovery and Reinvestment Act of 2009; Title IV, Energy Conservation and Production Act; 10 CFR Part 440 ; 10 CFR Part 600; Energy Policy Act of 2005; Energy Independence and Security Act of 2007.

OBJECTIVES:

To improve home energy efficiency for low-income families through the most cost-effective measures possible. The programs objectives are:

* To reduce fossil fuel emissions created as a result of activities within the jurisdictions of eligible entities; and

* To reduce the total energy use of the eligible units while ensuring their health and safety.

Submission deadlines will be published in the funding opportunity announcements at <http://www.grants.gov>.

TYPES OF ASSISTANCE:

Formula Grants; Project Grants

USES AND USE RESTRICTIONS:

Improvement of the thermal efficiency and cooling of dwellings by the installation of weatherization materials such as attic insulation, caulking, weather-stripping, furnace efficiency modifications, certain mechanical measures to heating and cooling systems, and replacement furnaces, boilers, and air-conditioners. Grantees may average expenditures per dwelling unit for materials, program support and labor costs not to exceed an average per unit of \$6,500 adjusted annually for inflation. Up to 10 percent of each grant may be spent by a Grantee and its subgrantees for administrative expenses (in the Formula Grants a Grantee may not use more than 5 percent of the total State grant for such purposes).

Applicant Eligibility:

States, including the District of Columbia, and in certain instances, Native American tribal organizations. In the event a State does not apply, a unit of general purpose local government, or community action agencies and/or other nonprofit agencies within that State becomes eligible to apply.

Beneficiary Eligibility:

All low-income households are eligible to receive weatherization assistance. A low-income household is one whose combined income falls at or below 200 percent of the poverty level determined by the Office of Management and Budget's poverty income guidelines or the basis on which Federal, State, or local cash assistance payments have been made. A State may also elect to make all homes eligible under the HHS Low-income Home Energy Assistance Program (LIHEAP) eligible for weatherization assistance and may use either 150 percent of poverty or 60 percent of State median income.

Credentials/Documentation:

Compliance with Federal, State and local environmental statutes and regulations, as required under the Energy Independence and Security Act of 2007. The Department of Energy (DOE) requires that grantees meet certain statutory and administrative requirements which can be found in the Weatherization Program Rules available at 10 CFR Section 440 et al and from DOE offices. For Formula Grants, Governors or their designated agencies must apply for grants to the appropriate DOE office and submit State Plans and applications pursuant to State applications at 10 CFR part 440.12. Any household at or below 200% of poverty, per the modified statute, is considered low-income. About 15 million of all eligible households are good candidates for Weatherization. Priority service is given to the elderly, people with disabilities, and families with children. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

No preapplication form or conference is required by DOE. Consultation and assistance will be available from DOE in the preparation of the application. A copy of the final State plan must accompany the grant application from the State. Consultation and assistance will be available from the DOE in the preparation of the application. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. State applications for the WAP Program must be submitted through FedConnect to be considered for award. Information on how to submit applications via FedConnect can be found at <http://www.fedconnect.net>

Application forms must be downloaded from the specific funding opportunity announcement posted on the grants.gov website at <http://www.grants.gov/> and submitted per instructions in the funding opportunity announcement.

It is the responsibility of the applicant to verify successful transmission.

ARRA Applications will be reviewed and awarded in accordance with the final 2009 Recovery Act Formula Allocations, set forth in the Weatherization Assistance Program Notice 09-1B

Award Procedure:

Formula Grants: States and tribes will submit applications for available allocation. Grant applications will be reviewed by DOE Office of Energy Efficiency and Renewable Energy (EERE) personnel. Grant procedures will be in accordance with Federal government regulations. Notification of grant awards will be issued DOE Procurement Office and/or EERE personnel serving the applicant State. Project Grants: Evaluations will be conducted by an independent merit review committee and federal employees. Final decisions to approve are made by ASEE, Program Manager and/or Designee. DOE Field Offices are then instructed to negotiate and prepare the grant award documents.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Submission deadlines will be published in the funding opportunity announcements at <http://www.grants.gov>.

Appeals:

As required under 42 U.S.C. 6861 et al and 10 CFR Section 440.

Renewals:

Renewals are subject to review by the DOE EERE Project Management Center and the headquarters program office and subject to the availability of funds. Financial assistance will be provided from sums appropriated for any fiscal year only upon annual application.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Authorized funding is established by ECPA, NECPA and ESA. See the following for information on how assistance is awarded/released: No information was provided.

Reports:

No program reports are required. Cash reports are not applicable. Quarterly progress and financial status reports are required along with a final report at the end of the project. Quarterly financial reports. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

The administrative requirements for DOE grants and cooperative agreements are contained in 10 CFR Part 600 and 10 CFR Part 440.

Account Identification:

89-0321-0-1-270.

Obligations:

(Project Grants) FY 12 \$65,008,819; FY 13 est \$201,881; and FY 14 Estimate Not Available - 3 Billion in FY09 funding was appropriated under the American Recovery and Reinvestment Act of 2009.

Range and Average of Financial Assistance:

\$2,500 to \$6,500 per dwelling unit.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Energy audits and energy efficiency retrofits and upgrades for the residences of low income individuals, administered through the various State Energy and/or Housing Offices. Fiscal Year 2013: Energy audits and energy efficiency retrofits and upgrades for the residences of low income individuals, administered through the various State Energy and/or Housing Offices. Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

As described under the Energy Independence and Security Act of 2007 and American Recovery and Reinvestment Act of 2009. Weatherization Assistance guidelines published June 1, 1977. Amendments to guidelines published January 2, 1979, August 29, 1979, February 27, 1980, June 1, 1981, March 1, 1982, January 27, 1984, January 4, 1985, December 5, 1985, March 4 1993, June 5, 1995, and December 8, 2000.

Regional or Local Office:

None. EERE Information Center, (877) 337-3463.

Headquarters Office:

James Cash 1617 Cole Blvd., Golden, Colorado 80401 Email: james.cash@go.doe.gov Phone: 720-356-1456

Website Address:

<http://www.eere.energy.gov>

RELATED PROGRAMS:

81.041 State Energy Program; 81.119 State Energy Program Special Projects; 81.128 Energy Efficiency and Conservation Block Grant Program (EECBG)

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: The Weatherization Assistance for Low-Income Persons (WAP) weatherization services consist of cost-effective energy efficiency measures for existing residential and multifamily housing with low-income residents. Under this definition, it includes a wide variety of energy efficiency measures that encompass the building envelope, its heating and cooling systems, its electrical system, and electricity consuming appliances.

WAP serves low-income families free of charge and limits the amount of money that can be spent on any single residence as determined by federal rules. (The average expenditure is \$6,500.) As a result, only the most cost-effective measures are included in the upgrade of a particular home.

Weatherization services typically include an energy assessment of the building, followed by long term measures that will reduce energy use and ensure occupant safety. Funding to States and US Territories are allocated on a formula basis using three factors: low-income population, climatic conditions, and residential energy expenditures by low-income households. Fiscal Year 2013: The Weatherization Assistance for Low-Income Persons (WAP) weatherization services consist of cost-effective energy efficiency measures for existing residential and multifamily housing with low-income residents. Under this definition, it includes a wide variety of energy efficiency measures that encompass the building envelope, its heating and cooling systems, its electrical system, and electricity consuming appliances.

WAP serves low-income families free of charge and limits the amount of money that can be spent on any single residence as determined by federal rules. (The average expenditure is \$6,500.) As a result, only the most cost-effective measures are included in the upgrade of a particular home.

Weatherization services typically include an energy assessment of the building, followed by long term measures that will reduce energy use and ensure occupant safety. Funding to States and US Territories are allocated on a formula basis using three factors: low-income population, climatic conditions, and residential energy expenditures by low-income households. Fiscal Year 2014: As the Weatherization Assistance for Low-Income Persons (WAP) weatherization services awards are formula type grants, it is projected that the WAP program awards will continue to serve the same population and be of roughly the same dollar value in the 2014 Federal Fiscal Year.

CRITERIA FOR SELECTING PROPOSALS:

As described under the Energy Independence and Security Act of 2007 and American Recovery and Reinvestment Act of 2009. DOE requires that grantees meet certain statutory and administrative requirements which can be found in the Weatherization Program Guidelines at <http://apps1.eere.energy.gov/weatherization/>.

81.049 OFFICE OF SCIENCE FINANCIAL ASSISTANCE PROGRAM

(Advanced Scientific Computing Research, Basic Energy Sciences, Biological and Environmental Research, Fusion Energy Sciences, High Energy Physics, Nuclear Physics, Workforce Development for Teachers and Scientists, Small Business Innovation Research Program and Small Business Technology Transfer Program))

FEDERAL AGENCY:

Department of Energy

AUTHORIZATION:

Energy Reorganization Act of 1974, Title I, Section 107, Public Law 93-438, 42 U.S.C. 5817; Federal Nonnuclear Energy Research and Development Act of 1974, Public Law 93-577; Department of Energy Organization Act of 1977, as amended, Public Law 95-91, 42 U.S.C. 7101 ; American Recovery and Reinvestment Act of 2009, Public Law 111-5, Recovery Act); Atomic Energy Act of 1954, as amended, Section 31, Public Law 83-703, 42 U.S.C. 2051; Small Business Innovation Research Program Reauthorization Act of 2000, Public Law 106-554; Small Business Technology Transfer Program Reauthorization Act of 2001, Public Law 107-50.

OBJECTIVES:

The mission of the Office of Science is to provide financial support for the delivery of scientific discoveries and major scientific tools to transform our understanding of nature and to advance the energy, economic, and national security of the United States. The application deadlines will be posted at the Grants.gov web site: <http://www.grants.gov> or FedConnect at: <https://www.fedconnect.net/Fedconnect/>.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

Financial support, in whole or in part, may be provided for such purposes as the salaries, materials and supplies, equipment, travel, publication costs, and services required for conducting research, related activities, and advanced technology projects or assessments. Restrictions on use of funds depend on grant provisions.

Applicant Eligibility:

Colleges and universities, nonprofit organizations, for-profit commercial organizations, State and local governments, small businesses, and unaffiliated individuals.

Beneficiary Eligibility:

Colleges and universities, nonprofit organizations, for-profit commercial organizations, State and local governments, small businesses, and unaffiliated individuals will benefit.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular A-21 (relocated to 2 CFR Part 220) for institutions of higher education and OMB Circular A-122 (relocated to 2 CFR Part 230) for nonprofit organizations. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Informal communication addressed to the Headquarters Office listed below is desirable before preparing a detailed formal application. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. The application forms must be downloaded from the specific Funding Opportunity Announcement at the Grants.gov web site: <http://www.grants.gov>.

Award Procedure:

Decisions to approve are made by a Headquarters program official. A DOE procurement office is then authorized to negotiate and prepare an appropriate award document.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Normally, 6 months from receipt of grant application. Maximum, 12 months. The application deadlines will be posted at the Grants.gov web site: <http://www.grants.gov> or FedConnect at: <https://www.fedconnect.net/Fedconnect/>.

Appeals:

None.

Renewals:

Applications for renewal are subject to review and acceptance by the Headquarters Office of Science program offices. For ongoing grant projects, renewal applications must be submitted six months prior to the grant's project period expiration date.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Usually, cost sharing is encouraged but not required. In some cases, however, cost sharing may be required by specific Funding Opportunity Announcements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Generally, grants are approved for three-year project periods and funded one year at a time. Depending upon specific circumstances, grant project periods may range from one year to five years, at the discretion of the program office. See the following for information on how assistance is awarded/released: No additional information is provided.

Reports:

No program reports are required. No cash reports are required. Typically for research projects, grantees are required to submit an annual progress report and a final report at the end of the project. The schedule of reports is arranged at the time of the negotiation. Quarterly financial status reports are required along with a final report. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Costs incurred are subject to audit throughout the grant period and/or before final payment, with the extent and frequency of audit depending on the size of the grant and on the particular grant provisions.

Records:

Recipient is expected to maintain auditable records to substantiate the total costs incurred under the grant.

Account Identification:

89-0222-0-1-251.

Obligations:

(Project Grants (Cooperative Agreements)) FY 12 \$1,000,004,356; FY 13 est \$962,600,000; and FY 14 est \$962,600,000

Range and Average of Financial Assistance:

\$10,000 to \$2,500,000; \$250,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: No current data available. Fiscal Year 2013: No current data available. Fiscal Year 2014: No current data available.

REGULATIONS, GUIDELINES, AND LITERATURE:

10 CFR 605; 10 CFR 600

Regional or Local Office:

None.

Headquarters Office:

Linda H. Shariati Office of Science
1000 Independence Avenue, Washington, District of Columbia 20585 Email:
Linda.Shariati@science.doe.gov Phone: 301-903-7433

Website Address:

<http://www.sc.doe.gov/grants/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Scientific and/or technical merit of the project; appropriateness of the proposed method or approach; competency of the applicant's personnel and the adequacy of proposed resources; and reasonableness and appropriateness of the proposed budget.

81.057 UNIVERSITY COAL RESEARCH

University Coal Research

FEDERAL AGENCY:

Department of Energy

AUTHORIZATION:

Atomic Energy Act of 1954, as amended, Public Law 83-703; Energy Reorganization Act of 1974, Public Law 93-438; Research and Marketing Act of 1946, as amended, Public Law 85-934; Federal Nonnuclear Energy Research and Development Act of 1974, Public Law 93- 577; Department of Interior and Related Agencies Appropriations for 1980, Public Law 96-126; Department of Interior and Related Agencies Appropriations for 2004, Public Law 108-108. . Executive Order Atomic Energy Act of 1954, as amended, Public Law 83-703; Energy Reorganization Act of 1974, Public Law 93-438; Research and Marketing Act of 1946, as amended, Public Law 85-934; Federal Nonnuclear Energy Research and Development Act of 1974, Public Law 93- 577; Department of Interior and Related Agencies Appropriations for 1980, Public Law 96-126; Department of Interior and Related Agencies Appropriations for 2004, Public Law 108-108.

OBJECTIVES:

To improve scientific and technical understanding of the chemistry and physics involved in the conversion and utilization of coal. The primary objective is to fund long-term, high-risk, meritorious fundamental research for the advancement of science related to all Fossil Energy's coal-related programs. Through an annual solicitation, applications are sought with the potential to overcome barriers in technology development and accelerate the identification of solutions for energy and environmental problems.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Work must be related to program objectives. The eligibility of recipients for DOE financial assistance awards under the UCR Program is limited to U.S. universities, colleges, and university-affiliated research institutions. Projects selected for an award must have a teaching professor as Principal Investigator or a Co-Principal Investigator. The eligibility of recipients for DOE financial assistance awards under the UCR Program is limited to U.S. universities, colleges, and university-affiliated research institutions. Projects selected for an award must have a teaching professor as Principal Investigator or a Co-Principal Investigator.

Applicant Eligibility:

Only US colleges and universities can apply.

Beneficiary Eligibility:

In accordance with 10 CFR 600.6(b), eligibility for award is restricted to U.S. colleges, universities, and university-affiliated research institutions. Grants awarded through the UCR Program are for maintaining and upgrading the educational, training and research capabilities of U.S. universities and colleges

in the fields of science, environment, energy and technology related to coal. The involvement of professors and students generates fresh research ideas and enhances the education of future scientists and engineers. To assure the program continues to support the performance of high quality fundamental research by professors and students at U.S. colleges and universities, applications may be submitted by U.S. colleges, universities and university-affiliated research institutions provided the following criteria are met: (1) Principal Investigator or a Co-Principal Investigator listed in the application is a teaching professor at the submitting university. If this condition is met, other participants, Co-Principal Investigators or research staff, who do not hold teaching positions may be included as part of the research team; (2) Applications from university-affiliated research institutions must be submitted through the college or university with which they are affiliated; and (3) At least one student registered at that university is to receive compensation for performing research.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-21 (2CFR Part 220) for institutions of higher education. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. This program is subject to the provisions of 10 CFR 600. Proposals should be submitted through <http://www.grants.gov>.

Award Procedure:

Applications reviewed by qualified experts in accordance with the factors listed in 10 CFR Chapter II, Part 600 with specific emphasis on the scientific and technical merit of the proposed research and the factors listed in the formal solicitation for proposals.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Approximately 90 to 180 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. This program has no matching requirements. Limited university/industry collaboration is encouraged but not required, however, cost sharing may be required for larger sized grants that support university/industry collaboration. 10-CFR-600.3
MOE requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Projects awarded through the UCR Program have a performance period of 36 months and usually are funded by the Electronic Transfer System. See the following for information on how assistance is awarded/released: Funding allocated by EFT.

Reports:

Quarterly reports and technical progress reports containing research accomplishments and information on cost status, reported per task is required. Additionally, a final technical report is due 90 days after the end of performance period. Quarterly project Federal Financial Reports are required. Quarterly project technical progress and a final report are required. Topical Reports may be submitted as appropriate. Performance monitoring is achieved through submission of progress reports, site visits, and the Principal Investigators are required to participate in an Annual Contractors Review

Meeting, typically held in June each year. Quarterly project Financial Cash Transaction Report is required. Quarterly project technical progress and a final report are required. Topical Reports may be submitted as appropriate. Expenditure reports are required quarterly. Performance monitoring is achieved through submission of progress reports, site visits, and the Principal Investigators are required to participate in a two day Annual Contractors Review Meeting, typically held in June each year.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. In accordance with the provisions of OMB Circular No. A-133, "Audit of States, Local Governments, Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards must have a single or a program-specific audit conducted for that year.

Records:

Retention of records will be required pursuant to guidelines set forth in 10 CFR 600.

Account Identification:

89-0213-0-1-271.

Obligations:

(Project Grants) FY 12 \$2,789,148; FY 13 est \$2,699,991; and FY 14 Estimate Not Available(Exp: data not available)

Range and Average of Financial Assistance:

Maximum funding for 36 month project is \$300,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: No current data available. Fiscal Year 2013: No current data available. Fiscal Year 2014: No current data available.

REGULATIONS, GUIDELINES, AND LITERATURE:

A formal competitive opportunity announcement for applications was issued for the fiscal year 2013 program. Release date was December 19, 2012.

Regional or Local Office:

See Regional Agency Offices. Project Office - National Energy Technology Laboratory, 3610 Collins Ferry Road P.O. Box 880, Morgantown, WV 26507-0880. Contact: Mr. Robie Lewis, Telephone 304-285-4445.

Headquarters Office:

Regis Conrad Department of Energy, Washington, District of Columbia 20585 Email: Regis.Conrad@hq.doe.gov Phone: (301) 903-2827 Fax: (301) 903-2713

Website Address:

<http://www.netl.doe.gov/technologies/coalpower/advresearch/>.

RELATED PROGRAMS:

81.089 Fossil Energy Research and Development

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No current data available. Fiscal Year 2013: No current data available. Fiscal Year 2014: No current data available.

CRITERIA FOR SELECTING PROPOSALS:

Emphasis is on the scientific and technical merit of the proposed research and the factors listed as generic goals in the formal solicitation for proposals.

81.064 OFFICE OF SCIENTIFIC AND TECHNICAL INFORMATION (OSTI)

FEDERAL AGENCY:

Department of Energy

AUTHORIZATION:

Energy Policy Act of 2005 (Public Law 109-58), Section 982, Department of Energy Organization Act of 1977 (Public Law 95-91), Energy Reorganization Act of 1974 (Public Law 93-438), Atomic Energy Acts of 1946 (Public Law 79-585) and 1954, as amended (Public Law 83-703), America COMPETES Act of 2007 (Public Law 110-69), Section 1009 and Section 3191, Public Law 109-58, 42 U.S.C 5916, 7112.

OBJECTIVES:

To advance science and sustain technological creativity by making research and development (R&D) results available, findable and useable to the Department of Energy (DOE) researchers and the public. To collect, preserve, disseminate, and leverage the scientific and technical information (STI) resources of DOE in order to provide access to national and global STI for use by DOE, the scientific research community, academia, US industry, and the public to expand the knowledge base of science and technology. To acquire domestic and international information of interest to DOE and the scientific community through partnerships with U.S. agencies and over 120 countries and international organizations.

TYPES OF ASSISTANCE:

DISSEMINATION OF TECHNICAL INFORMATION

USES AND USE RESTRICTIONS:

The scientific and technical information (STI) produced through DOE and its predecessor agencies includes information from the broad range of science disciplines of interest to the Energy Department mission. OSTI is the sole source of specialized collections containing results of closed-out DOE R&D projects. OSTI provides information services to DOE and DOE contractor organizations and makes Energy Department information publicly available through a suite of OSTI web tools and services. Most information services are provided electronically through databases and full-text compilations at no cost. Some collections result from partnerships with other national and international governmental agencies.

Applicant Eligibility:

State and local governments and universities that are DOE contractors are provided electronic access to Energy Department information in specific areas of concern. Energy information exchange agreements may be made with non-DOE affiliates, universities and other organizations involved in energy-related research. Public access to R&D documents is also available through the National Technical Information Service (NTIS) and the Government Printing Office (GPO).

Beneficiary Eligibility:

DOE federal employees and contractors are primary beneficiaries of DOE STI collections in their specific areas of concern. Although most web-based collections are available to the general public through official government outlets, limited-access databases contain information restricted by international exchange agreements or other access restrictions.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. By written or telephone inquiry to the information contacts shown below.

Award Procedure:

None.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Not applicable. See the following for information on how assistance is awarded/released: Not applicable.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

None.

Account Identification:

89-0230-0-1-270.

Obligations:

(Salaries) FY 12 Not Available; FY 13 est \$8,900,000; and FY 14 Estimate Not Available

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Jeffrey Given 1 Science.gov Way, Oak Ridge, Tennessee 37830 Email: givenj@osti.gov Phone: 865-576-1146

Website Address:

<http://www.osti.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

81.079 REGIONAL BIOMASS ENERGY PROGRAMS**FEDERAL AGENCY:**

Department of Energy

AUTHORIZATION:

Department of Energy Act of 1977, Public Law 91-95; Water Development Appropriations Act, Public Law 500-99.

OBJECTIVES:

Help meet the President's goal of significantly increasing America's use of fuels, chemicals, materials, and power made from domestic biomass on a sustainable basis. This is a Congressionally-directed project. Funding is not available to the general public.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Assistance may be used to develop and transfer any of several biomass energy technologies to the scientific and industrial communities. For regional programs, such technologies will be appropriate for the needs and resources of particular regions of the United States.

Applicant Eligibility:

Profit organizations; private nonprofit institutions/organizations; intrastate, interstate, State and local government agencies, and universities may apply.

Beneficiary Eligibility:

Profit organizations; private nonprofit institutions/organizations; intrastate, interstate, State and local government agencies, universities will benefit.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. This is a Congressionally-directed program. Application forms must be downloaded from the specific funding opportunity announcement posted on the grants.gov website at <http://www.grants.gov/> and submitted per instructions in the funding opportunity announcement. Submission deadlines will be published in the funding opportunity announcement at <http://www.grants.gov>. It is the responsibility of the applicant to verify successful transmission.

Award Procedure:

Varies.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

> 180 Days. Varies.

Appeals:

Not Applicable.

Renewals:

> 180 Days. Renewals are subject to review by the headquarters program office and subject to the availability for funds.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. Cost sharing is not required however cost sharing is strongly encouraged.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Varies. See the following for information on how assistance is awarded/released: Awards are executed by DOE EERE Golden Field Office.

Reports:

No reports are required.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. In accordance with the provisions of OMB Circular No. A-133, (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements that year, except as noted in Circular No. A-133.

Records:

None.

Account Identification:

89-0321-0-1-270.

Obligations:

(Project Grants) FY 12 \$0; FY 13 est \$0; and FY 14 est \$0 - This is a Congressionally directed program. FY2014 budget is unconfirmed at this time however will be updated upon confirmation.

Range and Average of Financial Assistance:

Varies.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

The following list represents an overview of regulations, guidelines, and literature: EERE Federal Register notices; EERE Solicitations, which may be accessed through the EERE homepage at: <http://www.eere.energy.gov>; DOE Financial Assistance Regulations (10 CFR 600); and the DOE Guide to Financial Assistance, both of which may be accessed through the DOE Office of Management home page at http://management.energy.gov/policy_guidance.htm

Regional or Local Office:

None. EERE Information Center, (877) 337-3463.

Headquarters Office:

James Cash 1617 Cole Blvd., Golden, Colorado 80401 Email: james.cash@go.doe.gov Phone: 720-356-1456

Website Address:

<http://www.eere.energy.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

This is a Congressionally-directed program. Merit of the proposal is determined by: (1) Technical relevance to program objectives; (2) potential contribution of the feedstock or conversion process to the energy needs of the region; (3) environmental and employment considerations; and (4) amount of cost-sharing by recipient.

81.086 CONSERVATION RESEARCH AND DEVELOPMENT

(Conservation) or (Energy Efficiency)

FEDERAL AGENCY:

Department of Energy

AUTHORIZATION:

Public Law 95-911 and Public Law 97-377; American Recovery and Reinvestment Act of 2009; Department of Energy Organization Act of 1977, as amended; Federal Nonnuclear Energy Research and Development Act of 1974, Public Law 93-577.

OBJECTIVES:

To conduct a balanced, long-term research effort in Buildings Technologies, Industrial Technologies, Vehicle Technologies, and Hydrogen and Fuel Cell Technologies. Grants will be offered on a competitive basis to develop and transfer various energy conservation technologies to the nonfederal sector. Submission deadlines will be published in the funding opportunity announcements at <http://www.grants.gov>.

TYPES OF ASSISTANCE:

Project Grants; Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

Assistance may be used in the form of cooperative agreements, or grants to nonprofit institutions and organizations to develop and transfer energy conservation technologies to the scientific and industrial communities, States, and local governments.

Applicant Eligibility:

Profit organizations, private nonprofit institutions/organizations, State and local governments may apply.

Beneficiary Eligibility:

Profit organizations, private nonprofit institutions/organizations, State and local governments benefit.

Credentials/Documentation:

. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. The application forms must be downloaded from the specific funding opportunity announcement posted on the grants.gov website at <http://www.grants.gov/> and submitted per instructions in the funding opportunity announcement.

Award Procedure:

All applications which pass an initial review will receive an objective merit review. The objective merit review will be based on evaluation criteria set forth in the competitive solicitation or criteria set forth in 10 CFR Part 600 for Unsolicited Proposals. Evaluations will be conducted by Energy Efficiency and Renewable Energy (EERE) program personnel at DOE Headquarters in Washington, DC. This responsibility may also be delegated to DOE Field Offices. Final decisions will be made by the EERE Assistant Secretary, Deputy Assistant Secretaries, and the Director of the Federal Energy Management Program.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Submission deadlines will be published in the funding opportunity announcements at <http://www.grants.gov> From 60 to 120 days.

Appeals:

None.

Renewals:

Grants may be modified or extended.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: This program has statutorily required cost share percentages based on project type:

Education & Outreach 0%, Research & Development 20%, Demonstration 50%.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Award project periods range from 2 months to 2 years. See the following for information on how assistance is awarded/released: No additional information is provided.

Reports:

No program reports are required. No cash reports are required. Quarterly progress and expenditure reports, and a final report at the conclusion of the grant time period. As previously mentioned quarterly expenditure reports, and a final report at the conclusion of the grant time period are required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audit requirements need to comply with the Energy Independence and Security Act of 2007 and DOE procurement procedures as well as with 10 CFR 600.

Records:

None.

Account Identification:

89-0321-0-1-270.

Obligations:

(Project Grants (Cooperative Agreements)) FY 12 \$188,263,801; FY 13 est \$75,131,687; and FY 14 Estimate Not Available

Range and Average of Financial Assistance:

Varies.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Projects in Advanced Manufacturing, Buildings Technologies, Vehicles Technologies, Solid State Lighting, etc. Fiscal Year 2013: Projects in Advanced Manufacturing, Buildings Technologies, Vehicles Technologies, Solid State Lighting, etc. Fiscal Year 2014: Projects in Advanced Manufacturing, Buildings Technologies, Vehicles Technologies, Solid State Lighting, etc.

REGULATIONS, GUIDELINES, AND LITERATURE:

The following list represents an overview of regulations, guidelines, and literature: EERE Federal Register notices; EERE Solicitations, which may be accessed through the EERE homepage at: <http://www.eere.energy.gov>; DOE Financial Assistance Regulations (10 CFR 600); and the DOE Guide to Financial Assistance, both of which may be accessed through the DOE Office of Management home page at http://www.management.energy.gov/policy_guidance/financial_assistance.htm

Regional or Local Office:

None. Golden Field Office
1617 Cole Blvd
Golden Colorado 80401
(720) 356-1800
Building Technologies, Industrial Technologies, and Fuel Cells and Infrastructure.

Headquarters Office:

James Cash 1617 Cole Blvd., Golden, Colorado 80401 Email: james.cash@go.doe.gov Phone: 720-356-1456

Website Address:

<http://www.eere.energy.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Projects in Advanced Manufacturing, Buildings Technologies, Vehicles Technologies, Solid State Lighting, etc. Fiscal Year 2013: Projects in Advanced Manufacturing, Buildings Technologies, Vehicles Technologies, Solid State Lighting, etc. Fiscal Year 2014: Projects in Advanced Manufacturing, Buildings Technologies, Vehicles Technologies, Solid State Lighting, etc.

CRITERIA FOR SELECTING PROPOSALS:

Selection will be based on an objective merit review, program policy factors, the availability of funds, provisions of appropriation law and reports and as otherwise specified in specific solicitation document(s).

81.087 RENEWABLE ENERGY RESEARCH AND DEVELOPMENT

(Renewable Energy)

FEDERAL AGENCY:

Department of Energy

AUTHORIZATION:

Public Law 95-238, Section 207; American Recovery and Reinvestment Act of 2009; Public Law 101-218; Department of Energy Organization Act of 1977.

OBJECTIVES:

To conduct balanced research and development efforts in the following energy technologies: solar, biomass, hydrogen, fuel cells and infrastructure, wind and hydropower, hydrogen, and geothermal. Grants will be offered to develop and transfer to the nonfederal sector various renewable energy technologies on a competitive basis.

Submission deadlines will be published in the funding opportunity announcements at <http://www.grants.gov>.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

Assistance may be used to develop and transfer renewable energy technologies to the scientific and industrial communities, State, and local governments. Assistance may be used to develop and transfer renewable energy technologies to the scientific and industrial communities, State, and local governments.

Applicant Eligibility:

Profit organizations, private nonprofit institutions/organizations, intrastate, interstate, and local agencies and universities may apply.

Beneficiary Eligibility:

Profit organizations, private nonprofit institutions/organizations, intrastate, interstate, State and local agencies and universities will benefit.

Credentials/Documentation:

. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Application due dates will be posted in the individual funding opportunity announcements posted at <http://www.grants.gov>. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. The cost principles and award regulations that apply to this program are dependent upon the type of entity applying for financial assistance

The application forms must be downloaded from the specific funding opportunity announcement posted on the [grants.gov](http://www.grants.gov) website at <http://www.grants.gov/> and submitted per instructions in the funding opportunity announcement.

Award Procedure:

All applications which pass an initial review will receive an objective merit review. The objective merit review will be based on evaluation criteria set forth in the competitive solicitation(s) or criteria set forth in 10 CFR Part 600 for Unsolicited Proposals. Evaluations will be conducted by Energy Efficiency and Renewable Energy (EERE) program personnel at DOE Headquarters in Washington, DC. This responsibility may also be delegated to DOE Field Offices. Final decisions will be made by the EERE Assistant Secretary, Deputy Assistant Secretaries, and the Director of the Federal Energy Management Program.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Submission deadlines will be published in the funding opportunity announcements at <http://www.grants.gov>
From 60 to 180 days.

Appeals:

None.

Renewals:

Renewals are subject to review by the headquarters program office and subject to the availability of funds.

Formula and Matching Requirements:

Statutory Formula: Title Energy Policy Act 2005, Chapter Section 998. This program has statutorily required cost share percentages based on project type: Education & Outreach 0%, Research & Development 20%, Demonstration 50%.

Matching Requirements: This program has statutorily required cost share percentages based on project type:

Education & Outreach 0%, Research & Development 20%, Demonstration 50%.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Award project periods range from 2 months to 1 year. See the following for information on how assistance is awarded/released: No further information is available.

Reports:

No program reports are required. No cash reports are required. Quarterly progress and expenditure reports, and a final report at the conclusion of the grant time period. As described above. Quarterly expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audit procedures in accordance with OMB Circular No. A-133. In addition, audit requirements need to comply with the Energy Independence and Security Act of 2007 and DOE procurement procedures as well as with 10 CFR 600.

Records:

None.

Account Identification:

89-0321-0-1-270.

Obligations:

(Project Grants (Cooperative Agreements)) FY 12 \$233,150,536; FY 13 est \$141,494,991; and FY 14 Estimate Not Available

Range and Average of Financial Assistance:

Varies.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Renewable Energy Projects: Biomass, Solar, Wind/Water, Geothermal, Fuel Cells. Fiscal Year 2013: Renewable Energy Projects: Biomass, Solar, Wind/Water, Geothermal, Fuel Cells. Fiscal Year 2014: Renewable Energy Projects: Biomass, Solar, Wind/Water, Geothermal, Fuel Cells.

REGULATIONS, GUIDELINES, AND LITERATURE:

The following list represents an overview of regulations, guidelines, and literature: American Recovery and Reinvestment Act of 2009; EERE Federal Register notices; EERE Solicitations, which may be accessed through the EERE homepage at: <http://www.eere.energy.gov>; DOE Financial Assistance Regulations(10 CFR 600); and the DOE Guide to Financial Assistance, both of which may be accessed through the DOE Office of Management home page at http://www.management.energy.gov/policy_guidance/financial_assistance.htm

Regional or Local Office:

None. Golden Field Office, 1617 Cole Blvd, Golden Colorado, 80401, (720) 356-1800 Solar Energy Technologies, Wind and Hydropower, Geothermal Technologies, Biomass, and Fuel Cells.

Headquarters Office:

James Cash 1617 Cole Blvd., Golden, Colorado 80401 Email: james.cash@go.doe.gov Phone: 720-356-1456

Website Address:

<http://www.eere.doe.gov>

RELATED PROGRAMS:

81.079 Regional Biomass Energy Programs

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: Renewable Energy Projects: Biomass, Solar, Wind/Water, Geothermal, Fuel Cells. Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Selection will be based on an objective merit review, program policy factors, the availability of funds, provisions of appropriation laws, reports, and as otherwise specified in specific solicitation document(s).

81.089 FOSSIL ENERGY RESEARCH AND DEVELOPMENT

Office of Fossil Energy, Department of Energy

FEDERAL AGENCY:

Department of Energy

AUTHORIZATION:

Public Law 95-91 DOE Organization Act of 1977, as amended by Public Law 109-58 Energy Policy Act 2005. Federal Nonnuclear Energy Research and Development Act of 1974, Public Law 93-557; Energy Policy and Conservation

Act, Public Law 94-163.

OBJECTIVES:

The mission of the Office of Fossil Energy (FE) advances technologies related to the reliable, efficient, affordable, and environmentally sound use of fossil fuels which are essential to our Nations security and economic prosperity. FE leads Federal research, development, and demonstration efforts on advanced carbon capture, utilization, and storage (CCUS) technologies required to overcome the barriers to widespread, cost-effective deployment of CCUS by 2020. FE also leads Federal efforts to ensure prudent development of our domestic oil and natural gas resources through scientific assessment of the risks that exploration and production activities entail and the development of appropriate technologies and processes to mitigate these risks.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Emphasis is on fundamental and applied research and technology development.

Applicant Eligibility:

States, local governments, universities, governmental entities, consortia, nonprofit institutions, commercial corporations, joint Federal/Industry corporations, U.S. Territories, and individuals are eligible to apply.

Beneficiary Eligibility:

Federal, State, local governments, universities, consortia, nonprofit institutions, commercial corporations, joint Federal/Industry corporations, and individuals will benefit.

Credentials/Documentation:

Prior successful research and development experience in the fossil energy field and related areas. OMB Circular No. A-87 applies to this program. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. OMB Circular No. A-102 and A-110 applies to this program. The application forms must be downloaded from the specific funding opportunity announcement posted on the Grants.gov web-site: <http://www.grants.gov>. Unsolicited Proposals (USP) should be submitted in accordance with the instructions posted at the following web site: <http://www.netl.doe.gov/business/usp/USPGuide.pdf> This program is subject to the provisions of 10 CFR 600 and FAR 15.6.

Award Procedure:

DOE opportunity announcement set forth award procedures. Unsolicited proposals will be evaluated by DOE personnel assigned to the specific area with merit reviews as required. This program is excluded from coverage under E.O. 12372.

Deadlines:

Dec 30, 2012: Deadlines are subject to change, Please check with contact person(s) listed. Deadlines vary and are subject to change. There are deadlines stated in the financial assistance opportunity announcement (FOA) posted at <http://www.grants.gov> For USP, there are no deadlines.

Range of Approval/Disapproval Time:

Approximately 90 to 180 days.

Appeals:

None.

Renewals:

Awards may be amended or extended as required.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Varies with each award instrument (grant, cooperative agreement, interagency agreement, etc). MOE requirements are not applicable to this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The time period for a grant or cooperative agreement is dependent upon FOA and the individual project award. See the following for information on how assistance is awarded/released: TBD.

Reports:

Quarterly progress and financial reports are required. The final scientific/technical report is typically due within 90 calendar days after the grant or cooperative agreement project period ends. No cash reports are required. Quarterly progress and financial reports are required. The final scientific/technical report is due within 90 calendar days after the grant or cooperative agreement project period ends. TBD. TBD.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. In addition, audits will be performed periodically to ensure funds have been applied in accordance with the American Recovery and Reinvestment Act of 2009. Such audits may include a project cost verification, on overhead rate review, and/or a final closeout audit

Records:

Required by grant and cooperative agreement award document.

Account Identification:

89-0224-0-1-271.

Obligations:

(Cooperative Agreements) FY 12 \$573,973,913; FY 13 est \$550,040,000; and FY 14 Not Separately Identifiable

Range and Average of Financial Assistance:

Award size varies according to the funding opportunity announcement . A majority of the active projects are in the range from \$10,000 to \$10,000,000+.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: USEA met the objectives in cooperative agreement in support of the Office of Fossil Energy's mission and strategies. They provided exceptional support and industry coordination for the annual U.S. China Oil and Gas Industry Forum and provided significant effort to expand natural gas development in Turkmenistan. Fiscal Year 2013: No current data available fiscal year 2013. Fiscal Year 2014: No current data available fiscal year 2014.

REGULATIONS, GUIDELINES, AND LITERATURE:

DOE Assistance Regulations, 10 CFR 600; Federal Acquisition Regulation 15.6, Unsolicited Proposals; Guide to Unsolicited Proposals: <http://www.netl.doe.gov/business/usp/unsol.html>

Regional or Local Office:

None. National Energy Technology Laboratory, Acquisition & Assistance Division; P.O. Box 10940, Mail Stop 921-107, 626 Cochrans Mill Road, Pittsburgh, PA; 15236-0940. For Unsolicited Proposals, E-mail John Augustine at john.augustine@netl.doe.gov.

Headquarters Office:

Miranda Johnson, 1000 Independence Ave., SW, Washington, District of Columbia 20623 Email: Miranda.Johnson@hq.doe.gov Phone: (202) 586-7661.

Website Address:

<http://www.netl.doe.gov> and <http://www.fossil.energy.gov>

RELATED PROGRAMS:

81.057 University Coal Research

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria varies according to the specific funding opportunity announcements. Typically the selection criteria includes criteria related to merit of the technical work proposed, the reasonableness and thoroughness of the technical approach, the quality of the technical capabilities, facilities and personnel involved in the scope of work, and the thoroughness of the project management plan.

81.104 ENVIRONMENTAL REMEDIATION AND WASTE PROCESSING AND DISPOSAL

Environmental Management

FEDERAL AGENCY:

Department of Energy

AUTHORIZATION:

Energy Reorganization Act of 1974, Public Law 93-438; Hazardous Materials Transportation Uniform Safety Act of 1990, Public Law 101-615; Low-Level Radioactive Waste Policy Act, Public Law 99-240; Atomic Energy Act of 1954, Section 31, as amended, Public Law 83-703; Department of Energy Organization Act of 1977, as amended, Public Law 96-573.

OBJECTIVES:

The Environmental Management Research and Development Program provides for the development of technologies to safely expedite tank waste processing and tank closure, remediation of contaminated groundwater and soil, disposition of nuclear materials and spent (used) nuclear fuel, and deactivation and decommissioning of contaminated excess facilities.

The Environmental Management Research and Development program transforms science and innovation into practical solutions for environmental cleanup. The new technologies will transform the Environmental Management cleanup effort by reducing risk (technological, environmental, safety, and health), schedule, and cost. The Environmental Management Research and Development program focuses on resolving technical challenges with an overall emphasis on transformational technical solutions in response to the highest priority needs of Environmental Management sites. Applied engineering and research demonstrating the technical feasibility of high-risk, high-payoff technologies are included. The Environmental Management Research and Development program matures technologies from concept/basic science through feasibility assessment and technology development (bench scale and scale-up testing and flow-sheet evaluation), then production-level demonstration, and finally to full deployment.

TYPES OF ASSISTANCE:

Cooperative Agreements; Project Grants

USES AND USE RESTRICTIONS:

Financial assistance is provided in support of the program objectives. Restrictions on the use of funds depend on the provisions of the specific project agreement. Applicants must meet the guidelines established by DOE or contained in the specific Congressional authorization for a particular program being supported.

Applicant Eligibility:

Public, quasi-public, private industry, individuals, groups, educational institutions, organizations, and nonprofit organizations may apply including State or local level governments, federally recognized Indian tribal governments, and institutions in U.S. Territories and possessions may apply. Determinations are made by DOE EM Headquarters or EM Field Offices, or both.

Beneficiary Eligibility:

States, affected Indian tribes, regional organizations, (including U.S. Territories) local governments, and the public will benefit.

Credentials/Documentation:

Cost will also be determined in accordance with OMB Circular No. A-21 for institutions of higher education or as otherwise defined in the financial assistance instrument. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. A formal proposal by the organization interested in doing the work must be submitted through an appropriate official of the corporate entity, organization, or institution. Financial assistance requests will be reviewed pursuant to the Office of Environmental Management Merit Review System (May 5, 1991) and the DOE

Financial Assistance Manual, as amended.

Award Procedure:

Award decisions may be made by DOE Headquarters throughout the fiscal year.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

The approximate approval/disapproval time is from 2 months to 1 year.

Appeals:

Not Applicable.

Renewals:

From 120 to 180 days. Proposals for renewal are subject to review and acceptance by DOE Headquarters, the field, or both.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Match requirements will be determined depending upon the award.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Length and time phasing of assistance will vary with the program phase and activity. The maximum term of an award is 5 years. Method of awarding/releasing assistance: lump sum.

Reports:

Program reports are not applicable. Cash reports are not applicable. At a minimum annual progress reports are required. Frequency of progress reports will be determined at time of award. Expenditure reports will be required. The type and frequency will be determined at time of award. Performance monitoring will be determined at time of award.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Costs incurred are subject to audit throughout the contract or grant period and before final close-out. The extent and frequency of audits depend on the size of the contract/grant and the specific contract/grant provisions.

Records:

A grantee is expected to maintain auditable records to substantiate the total costs incurred under the grant or cooperative agreement. In addition, if cost sharing is required, the grantee is also expected to maintain auditable records for the cost sharing portion of the award.

Account Identification:

89-0240-0-1-053; 89-0242-0-1-053.

Obligations:

(Cooperative Agreements) FY 12 \$16,481,000; FY 13 est \$12,500,000; and FY 14 est \$12,500,000

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Helped advance the EM Program in the areas of Environmental Remediation and Waste Processing. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Latrincy M. Bates Office of Soil and Groundwater Remediation, EM-12
1000 Independence Ave., SW, Washington, District of Columbia 20585 Email:
latrincy.bates@em.doe.gov Phone: 301-903-7654

Website Address:

<http://www.em.doe.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Research must be beneficial to the overall accomplishment of the program objectives and consistent with the criteria of the EM Merit Review System (i.e., technically meritorious, qualified principal investigators, adequate facilities for conduct of the work, past experience and performance history).

81.106 TRANSPORT OF TRANSURANIC WASTES TO THE WASTE ISOLATION PILOT PLANT: STATES AND TRIBAL CONCERNS, PROPOSED SOLUTIONS

FEDERAL AGENCY:

Department of Energy

AUTHORIZATION:

National Security and Military Application of Nuclear Energy Authorization Act, Public Law 96-164; Waste Isolation Pilot Plant Land Withdrawal Act, Public Law 102-579.

OBJECTIVES:

To enlist cooperation among the Tribal and the Southern, Western, and Midwest state governments along the Waste Isolation Pilot Plant (WIPP) shipping corridors in developing plans and procedures for the safe and uneventful transportation of transuranic waste from current temporary storage facilities to the WIPP.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

The participating States, tribes, and the DOE will work to develop, coordinate, and implement activities in accident prevention, emergency preparedness, and public information programs to help ensure the safe transportation of transuranic waste to the WIPP site and safe disposal operations. Financial assistance is provided to support cooperation among the tribes, the Southern, Western, and Midwestern states along the WIPP shipping corridors, in developing plans and procedures for the safe uneventful transportation of transuranic waste from current temporary storage facilities to WIPP. Restrictions on the use of these funds depend on the specific collaborative agreement. Applicants must meet the guidelines established by DOE.

Applicant Eligibility:

Eligibility is restricted by action of the Western Governors' Association, Southern States Energy Board, the State of New Mexico, Council of State Governments (midwest region), and tribal governments along WIPP transportation routes. DOE administers agreements with 10 southern State governments, and two Midwest state governments. The Western Governors' Association is the negotiating body for 12 western states on the WIPP transportation route. Each tribal government on the WIPP transportation route elected to represent themselves. Participation in this program is restricted to the above list of organizations and to the affected tribal governments.

Beneficiary Eligibility:

Benefits from this program will go to DOE and the State and tribal governments located on the WIPP disposal phase shipping corridor.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under

E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. After informal communication, a formal proposal by the applicants is submitted to the DOE Carlsbad Field Office. Note: All eligible parties are participating in the program and applications from others will not be accepted.

Award Procedure:

Decisions are made by DOE Carlsbad Field Office. Award documents are then prepared.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Schedule for DOE payments will be established during negotiations. See the following for information on how assistance is awarded/released: After receipt and review of the recipient's proposal, the award document is prepared and issued to the recipient.

Reports:

Quarterly financial reports are submitted using SF-425. Quarterly/Annual progress reports containing accomplishments and information on cost status. No cash reports are required. Progress reports are required quarterly and annually. A reporting schedule will be established during negotiations. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audit reports are to be provided to DOE.

Records:

The grantee is expected to maintain records to fulfill auditing needs in accordance with 10 CFR 600.

Account Identification:

89-0242-0-1-053.

Obligations:

(Salaries) FY 12 \$6,800,000; FY 13 est \$5,700,000; and FY 14 est \$5,700,000 - obligations rounded to nearest million.

Range and Average of Financial Assistance:

\$50,000 to \$2,150,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

DOE Financial Assistance Rules and 10 CFR 600 applies.

Regional or Local Office:

None. Department of Energy, Carlsbad Field Office, P.O. Box 3090, Carlsbad, NM 88221-3090. Contact: Institutional Affairs Manager, Telephone: (575) 234-7335 or

Diane Snow, Contracting Officer, Telephone (575)234-7452.

Headquarters Office:

Alton Harris Office of Environmental Management, Office of Disposal Operations, Department of Energy, Washington, District of Columbia 20585 Phone: (301) 903-8466

Website Address:

<http://www.wipp.energy.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The awards are restricted to the entities listed in the "Applicant Eligibility" portion of this program listing. Eligibility is restricted by action of the Western Governors' Association, Southern States Energy Board, the State of New Mexico, the Council of State Governments (midwest region) and tribal governments along WIPP transportation routes. Each tribal government on the WIPP transportation route elected to represent themselves. Participation in this program is restricted to the above list of organizations and to affected tribal governments.

81.108 EPIDEMIOLOGY AND OTHER HEALTH STUDIES FINANCIAL ASSISTANCE PROGRAM

FEDERAL AGENCY:

Department of Energy

AUTHORIZATION:

Atomic Energy Act of 1954, as amended, Section 31, Public Law 83-703, 68 Stat. 919, 42 U.S.C. 2051; Federal Nonnuclear Energy Research and Development Act of 1977, as amended, Public Law 95-91, 42 U.S.C. 7101; Energy Reorganization Act of 1974, Title I, Section 107, Public Law 93-438, 88 Stat. 1240, 42 U.S.C. 5817.

OBJECTIVES:

To provide financial support for research, education, conferences, communication, and other activities relating to the health of Department of Energy workers, as well as other populations potentially exposed to health hazards associated with energy production, transmission, and use.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Financial support, in whole or in part, may be provided for such purposes as the salaries, materials and supplies, equipment, travel, publication costs, training costs, and services required for conducting research, training, related activities, and advanced technology projects or assessments. Restrictions on use of funds depend on award provisions. Support is provided for work in such areas as the health experience of DOE and DOE contractor workers; health experience of populations living near DOE facilities; health experience of workers exposed to toxic substances; use of biomarkers to recognize exposure to toxic substances; conduct of epidemiologic and other health studies relating to energy production, transmission, and use (including electromagnetic fields) in the United States and abroad; compilation, documentation, management, use, and analysis of data for the DOE Comprehensive Epidemiologic Data Resource; and other systems or activities enhancing these areas, as well as other program areas as may be described by notice published in the Federal Register.

Applicant Eligibility:

Colleges and universities, businesses, and nonprofit institutions may apply.

Beneficiary Eligibility:

Colleges and universities, businesses, and nonprofit institutions will benefit.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-21 for institutions of higher education. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. A formal application by the principal investigator interested in doing the work is required. If submitted on behalf of an institution, the application should be sent through the appropriate administrative official. In the case of any competitive solicitations issued under this program, the application forms must be downloaded from the specific funding opportunity announcement posted on the Grants.gov web-site at <http://www.grants.gov/>.

Award Procedure:

Decisions to approve are made by the Headquarters Program Director. DOE procurement offices are then instructed to negotiate and prepare an appropriate award document.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 120 to 180 days. Normally 6 months.

Appeals:

From 60 to 90 days.

Renewals:

From 60 to 90 days. Proposals for renewal are subject to review and acceptance by the Headquarters Office.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The schedule of DOE payments is arranged at the time of award. See the following for information on how assistance is awarded/released:
Incrementally funded unless full funding is available.

Reports:

No program reports are required. No cash reports are required. Monthly progress/status reports detailing monthly activities are required. Monthly expenditure reports detailing accrued costs are required. Performance shall be monitored and details provided in the monthly progress reports.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Recipient is expected to maintain records required to audit or otherwise substantiate costs incurred under the grant.

Account Identification:

89-0224-0-1-271.

Obligations:

(Project Grants) FY 11 Not Available; FY 12 est \$25,000,000; and FY 13 est \$25,000,000

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

10 CFR 602. Guidelines and current program announcements are included in

application kits.

Regional or Local Office:

None.

Headquarters Office:

Ron W. Barnes, 1000 Independence Ave., SW, Washington, District of Columbia 20585 Email: ron.barnes@hq.doe.gov Phone: (301) 903-1244.

Website Address:

<http://www.hss.energy.gov/index.html>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: Will continue to fund several cooperative agreements which were awarded for work on DOE's Former Worker Medical Screening Program, as required by the 1993 Defense Authorization Act. This program consumes the majority of any funding available for grants as described by CFDA 81.108. Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The scientific and technical merit of the proposed research, appropriateness of the proposed method or approach, competency of research personnel and adequacy of proposed resources, reasonableness and appropriateness of the proposed budget, and other appropriate factors set forth in a Notice of Availability or in a specific solicitation.

81.112 STEWARDSHIP SCIENCE GRANT PROGRAM

Stewardship Science Academic Alliances Programs (SSAP)

FEDERAL AGENCY:

Department of Energy

AUTHORIZATION:

Atomic Energy Act of 1954, as amended, Section 31, Public Law 83-703, 68 Stat. 919, 42 U.S.C. 2051; Energy Reorganization Act of 1974, Title 1, Section 107, Public Law 93-438, 88 Stat. 1240, 42 U.S.C. 5817; Federal Nonnuclear Energy Research and Development Act of 1974, Public Law 93-577; Department of Energy Organization Act of 1977, as amended, Public Law 95-91, 42 U.S.C. 7101.

OBJECTIVES:

(1) To grow the U.S. scientific community through university involvement in areas of fundamental science and technology relevant to stockpile stewardship; (2) to promote and sustain scientific interactions between the academic community and scientists at the NNSA laboratories; (3) to train scientists in specific areas of long-term research relevant to stockpile stewardship; (4) to increase the availability of unique experimental facilities sited at NNSA's laboratories to the academic community, particularly for collaborations in areas of relevance to stockpile stewardship; and (5) to develop and maintain a long-term recruiting pipeline to NNSA's laboratories by increasing the visibility of NNSA's Defense Program's scientific activities to the U.S. faculty and student communities.

TYPES OF ASSISTANCE:

Cooperative Agreements; Project Grants

USES AND USE RESTRICTIONS:

Financial support in whole or in part may be provided for such purposes as the salaries, materials and supplies, equipment, travel, publication costs, training costs, and services required for conducting research, training, related activities, and advanced technology projects or assessments. Funding is provided for basic and applied research in the field of physical science in support of the DOE stockpile stewardship program. Restrictions on use of funds depend on grant provisions. Funding is provided for the purpose of exploring an idea that does not unnecessarily duplicate work already in progress or contemplated by DOE, is not already known to DOE, or has previously unrecognized merit. Some solicitations are open to institutions of higher education only.

Applicant Eligibility:

Some solicitations are open to institutions of higher education only and others include nonprofit organizations and for profit commercial organizations. See

individual funding opportunity announcements for details on eligibility.

Beneficiary Eligibility:

Depending upon the eligibility requirements of the individual solicitation, U.S. public and private institutions of higher education and/or nonprofit organizations and for profit commercial organizations will benefit. The Federal government will also benefit from the research of these grants.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Proposals should be submitted as specified in the funding opportunity announcement posted at <http://www.grants.gov/>.

Award Procedure:

Proposals will be screened for stewardship relevance and then reviewed for scientific and technical merit by qualified individuals. The review and selection process for each funding opportunity are included in the solicitation. Decisions to approve are made by a Headquarters program official within the Program Office. Selected proposals will be forwarded to the NNSA Albuquerque Complex for grant development, negotiation and award.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 90 to 120 days. Approximately 90 to 180 days.

Appeals:

Not Applicable.

Renewals:

Proposals for renewal are subject to review and acceptance by the Program Office.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Generally, grants are approved for two-year or three-year project periods and funded one year at a time. Depending upon specific circumstances, grant project periods may range from one year to five years, at the discretion of the program office. Cooperative Agreements typically have five-year project periods and are funded one year at a time. See the following for information on how assistance is awarded/released: The NNSA Albuquerque Complex will make determination of method of funding release at the time of award.

Reports:

No program reports are required. SF425 quarterly. Progress Reports annually. No expenditure reports are required. Performance monitoring is required for Cooperative Agreements.

Audits:

No audits are required for this program.

Records:

Recipient is expected to maintain auditable records to substantiate the total costs incurred under the grant.

Account Identification:

89-0240-0-1-053.

Obligations:

(Project Grants (Cooperative Agreements)) FY 12 \$136,000,000; FY 13 est \$130,000,000; and FY 14 est \$130,000,000

Range and Average of Financial Assistance:

grants \$50,000 - \$750,000 per year

Cooperative agreements \$1,000,000-\$63,000,000 per year.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: The research supported helps to increase U.S. efforts in physical science relevant to stockpile stewardship and trains future scientific talent in these areas. Results and accomplishments of basic research performed under these programs are reported in open scientific literature. University research programs often support graduate students who assist principal investigators with research programs. Fiscal Year 2013: The research supported helps to increase U.S. efforts in physical science relevant to stockpile stewardship and trains future scientific talent in these areas. Results and accomplishments of basic research performed under these programs are reported in open scientific literature. University research programs often support graduate students who assist principal investigators with research programs. Fiscal Year 2014: The research supported helps to increase U.S. efforts in physical science relevant to stockpile stewardship and trains future scientific talent in these areas. Results and accomplishments of basic research performed under these programs are reported in open scientific literature. University research programs often support graduate students who assist principal investigators with research programs.

REGULATIONS, GUIDELINES, AND LITERATURE:

10 CFR 600, Federal Statutes, OMB Circulars, and other Government-wide guidance implementing 10 CFR 600, DOE Acquisition Policy and Guidance, DOE Guide to Financial Assistance.

Regional or Local Office:

None.

Headquarters Office:

Terri Lynn Stone 19901 Germantown Road, germantown, Maryland 20874
Email: terri.stone@nnsa.doe.gov Phone: 3019037423

Website Address:

<http://www.nnsa.energy.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Center for Pulsed-Power-Driven High-Energy-Density Plasmas; University of Texas Center for High Energy Density Science; A Center of Excellence for High Pressure Science and Technology; Center of Excellence for Radioactive Ion Beam Studies for Stewardship Science; Cross Section Level Densities and Strength Functions; Developing Surrogate Reaction Techniques to Determine Neutron Capture Rates; Relativistic Study of X-ray Polarization Spectroscopy for Fusion Plasmas. Fiscal Year 2013: Center for Pulsed-Power-Driven High-Energy-Density Plasmas; University of Texas Center for High Energy Density Science; A Center of Excellence for High Pressure Science and Technology; Center of Excellence for Radioactive Ion Beam Studies for Stewardship Science; Cross Section Level Densities and Strength Functions; Developing Surrogate Reaction Techniques to Determine Neutron Capture Rates; Relativistic Study of X-ray Polarization Spectroscopy for Fusion Plasmas. Fiscal Year 2014: Center for Pulsed-Power-Driven High-Energy-Density Plasmas; University of Texas Center for High Energy Density Science; A Center of Excellence for High Pressure Science and Technology; Center of Excellence for Radioactive Ion Beam Studies for Stewardship Science; Cross Section Level Densities and Strength Functions; Developing Surrogate Reaction Techniques to Determine Neutron Capture Rates; Relativistic Study of X-ray Polarization Spectroscopy for Fusion Plasmas.

CRITERIA FOR SELECTING PROPOSALS:

(1) Alignment with the areas of technical scope; (2) Scientific/technical merit of the project, including innovativeness and originality; (3) Expected impact on the area of technical scope addressed; (4) Consistency with the objective of this academic alliances program to focus on advanced experimental investigations; (5) Qualifications of the Applicant's personnel and adequacy of proposed resources; (6) Feasibility of plans for carrying out the proposed research, considering such factors as: appropriateness of the technical method and approach, facility compatibility, other commitments, competition and timing; (7) For projects currently receiving funding under this program, the quality and

scientific impact of recent results and accomplishments; (8) Level of interaction with NNSA/DP laboratory personnel and the potential to train students in scientific areas defined by the technical scope in order to build a long-term recruiting pool for the NNSA/DP laboratory complex. Generally, a higher degree of interaction both quantitatively and qualitatively is considered desirable; (9) Leverage provided by cost sharing with other funding sources.

81.113 DEFENSE NUCLEAR NONPROLIFERATION RESEARCH

Defense Nuclear Nonproliferation Research and Development

FEDERAL AGENCY:

Department of Energy

AUTHORIZATION:

Atomic Energy Act of 1954, as amended, Section 3157, Public Law 101-189, 103 Stat. 1684, 42 U.S.C. 2051, Section 3157, 1684 Stat. 42, 2051 U.S.C., Section 3157, 1684 Stat. 42, 2051 U.S.C 3157; Energy Reorganization Act of 1974, Title I, Section 107, Public Law 93-438; 88 Stat. 1240, 42 U.S.C. 5817, Title I, Section 107, 1240 Stat. 42, 5817 U.S.C.; Department of Energy (DOE) Organization Act of 1977, as amended, Public Law 95-91, 42 U.S.C. 7101, 7101 U.S.C.; Federal Nonnuclear Energy Research and Development Act of 1974, Public Law 93-577.

OBJECTIVES:

To conduct basic and applied research and development that enhances U.S. national security and reduces the global danger from the proliferation of weapons of mass destruction and special nuclear materials through needs-driven research and development. The emphasis is on developing the requisite technologies to detect and deter nuclear proliferation and to meet U.S. nuclear detonation detection goals. Research focuses on advanced detection systems and concepts to support current and future USG policies. Support also address the pressing shortfalls in trained professionals capable of supporting crucial nuclear nonproliferation missions such as nuclear forensics and international safeguards.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Financial support, in whole or in part, may be provided for salaries, materials, supplies, equipment, travel, publication costs, services required for conducting nonproliferation research and development to reduce the global danger from the proliferation of weapons of mass destruction. Construction or related costs are not allowed under these project grants. All work must comply with export control regulations.

Applicant Eligibility:

Universities (public and private), institutions of higher education, whose activities benefit the general public through results which are available to the National Nuclear Security Administration (NNSA), other U.S. government agencies, and universities and institutions of higher learning may apply.

Beneficiary Eligibility:

The NNSA, other U.S. government agencies, universities and institutions of higher learning will benefit.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-21 for institutions of higher learning. For Non-government organizations, Costs will be determined in accordance with OMB Circular No. A-122. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. The NNSA Service Center in Albuquerque, New Mexico issues Funding Opportunity Announcements (FOAs) under which formal applications may be submitted by a scientist or principal investigator who will

conduct or lead the research team. The FOAs will be posted to Grants.gov which can be accessed at: <http://www.grants.gov>.

Award Procedure:

Evaluations will be conducted by technical staff assigned to the Office of Defense Nuclear Nonproliferation Research and Development or at the DOE national laboratories. Final decisions for approval are made by the source selection authority. DOE/NNSA Procurement is then instructed to negotiate and prepare the grant award documents. Program management responsibilities may be delegated as a whole or in part to the NNSA Service Center or NNSA Site Offices.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 60 to 90 days. Deadlines will be provided in the individual FOAs posted at Grants.gov.

Appeals:

Not Applicable.

Renewals:

Grants will be modified and extended as required. Renewals are subject to an annual review by the Headquarters and/or NNSA Service Center.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The grant period is for up to three years depending upon available appropriations. The schedule of payments is arranged at the time of award, but it is expected that proposals will be fully funded for their three year lifecycles in one lump sum. See the following for information on how assistance is awarded/released: Depending on the program some can be awarded lump sum or incrementally funded.

Reports:

No program reports are required. Cash reports are not applicable. Quarterly Progress reports, and final reports are required. Also progress is reported annually at the University Industry Technical Interchange meeting for the life of the project. In the first year creation of a poster for project presentation is required and formal presentations are required thereafter. All projects are subject to this audit process. Expenditure reports are not applicable. Independent performance and merit reviews are required in the second year of the lifecycle. All projects are subject to this audit process.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

None.

Account Identification:

89-0243-0-1-053.

Obligations:

(Project Grants) FY 12 \$15,033,832; FY 13 est \$5,000,000; and FY 14 est \$15,000,000

Range and Average of Financial Assistance:

The expected range of Awards is approximately \$25,000,000; \$5,000,000/year for five years for the lifecycle of the project.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: 10 grants awarded. Fiscal Year 2013: no grants awarded.

Fiscal Year 2014: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

See Code of Federal Regulations (CFR); 10 CFR 600 and OMB Circulars

Regional or Local Office:

None.

Headquarters Office:

Ivy D. Martin, 1000 Independence Ave SW, Washington , District of Columbia 20585 Email: ivy.martin@hq.doe.gov Phone: (202) 586-2246 Fax: (202) 586-0485.

Website Address:

<http://www.nnsa.doe.gov/na-20>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: 3-D Semantic Information Inference from Airborne Video University of Southern California, CA; Fuel Cycle Uncertainty and Perturbation Analysis in Support of Safeguards Decision-Making, Colorado School of Mines, CO. Fiscal Year 2013: No Current Data Available. Fiscal Year 2014: No Current Data Available.

CRITERIA FOR SELECTING PROPOSALS:

The selection process will be determined on the following criteria: 1) mission relevance; 2) overall scientific and technical merit; 3) research duplication and 4) management approach.

81.117 ENERGY EFFICIENCY AND RENEWABLE ENERGY INFORMATION DISSEMINATION, OUTREACH, TRAINING AND TECHNICAL ANALYSIS/ASSISTANCE

FEDERAL AGENCY:

Department of Energy

AUTHORIZATION:

Department of Energy Organization Act of 1977, 42 U.S.C 712; Energy Reorganization Act of 1974, 42 U.S.C. 5813(6)(7)(8); ARRA 2010, 42 U.S.C 5813 (6-8); Energy Policy Act 1992 , 42 U.S.C 13231.

OBJECTIVES:

The Department of Energy (DOE) seeks to provide financial assistance for information dissemination, outreach, training and related technical analysis/assistance which will: (1) stimulate increased energy efficiency in transportation, buildings, industry and the Federal sector and encourage increased use of renewable and alternative energy; and (2) accelerate the adoption of new technologies to increase energy efficiency and the use of renewable and alternative energy through the competitive solicitation of applications.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Financial assistance is provided in support of the program objectives as described in a single competitive solicitation or multiple competitive solicitations. The solicitation(s) will provide funding in various program areas within the Office of Energy Efficiency and Renewable Energy: Solar, Wind and Hydropower, Geothermal, Biomass, Industrial Technologies, FreedomCAR, Building Technologies, Weatherization and Intergovernmental, Federal Energy Management Program, and the Office of the Assistant Secretary for Energy Efficiency and Renewable Energy. Restrictions on use of funds depend on the provisions of the specific project agreement.

Applicant Eligibility:

Profit organizations, individuals, private nonprofit institutions/organizations, public nonprofit institutions/organizations, State and local governments, Native American organizations, Alaskan Native corporations and universities may apply. DOE Laboratories are not eligible.

Beneficiary Eligibility:

Profit organizations, individuals, private nonprofit institutions/organizations, public nonprofit institutions/organizations, State and local governments, Native American organizations, Alaskan Native corporations and universities benefit.

Credentials/Documentation:

No Credentials or documentation are required. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. The application forms must be downloaded from the specific funding opportunity announcement posted on the grants.gov website at <http://www.grants.gov/>. A separate application must be submitted for each DOE Energy Efficiency and Renewable Energy (EERE) Program Area of Interest.

Award Procedure:

All applications which pass an initial review will receive an objective merit review. Objective Merit Review Committees will evaluate applications based on the evaluation criteria set forth in the competitive solicitation. After the Merit Review Committee has completed its evaluations, an EERE Office Director or other Program Official will evaluate the applications against program policy factors set forth in the competitive solicitation. Final decisions will be made by the EERE Assistant Secretary, Deputy Assistant Secretaries, and the Director of the Federal Energy Management Program.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. None.

Appeals:

None.

Renewals:

Renewals are subject to review by the headquarters program office and subject to the availability of funds.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Length and time phasing of assistance will vary with the program phase and activity. The maximum term of awards varies among the Program Areas of Interest and ranges from 6 months to 5 years. See the following for information on how assistance is awarded/released: Funds are released through the Electronic Transfer System. Annual budget periods are set by each grantee within parameters established by DOE.

Reports:

No program reports are required. No cash reports are required. Semi-annual reporting is required. No expenditure reports are required. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Costs incurred are subject to audit throughout the grant period and before final close-out.

Records:

A grantee is expected to maintain auditable records to substantiate the total costs incurred under the grant.

Account Identification:

89-0321-0-1-270.

Obligations:

(Project Grants) FY 12 \$32,195,641; FY 13 est \$8,606,381; and FY 14 Estimate Not Available

Range and Average of Financial Assistance:

Vary.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Education, Outreach, and Technology Transfer projects.
Fiscal Year 2013: Education, Outreach, and Technology Transfer projects.
Fiscal Year 2014: Education, Outreach, and Technology Transfer projects.

REGULATIONS, GUIDELINES, AND LITERATURE:

The following list represents an overview of regulations, guidelines, and literature: EERE Federal Register notices; EERE Solicitations, which may be accessed through the EERE homepage at: <http://www.eere.energy.gov>; DOE Financial Assistance Regulations (10 CFR 600); and the DOE Guide to Financial Assistance, both of which may be accessed through the DOE Office of Management home page at http://management.energy.gov/policy_guidance.htm

Regional or Local Office:

None. EERE Information Center (877) 337-3463.

Headquarters Office:

James Cash 1617 Cole Blvd., Golden, Colorado 80401 Email: james.cash@go.doe.gov Phone: 720-356-1456

Website Address:

<http://www.eere.energy.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Selection will be based on an objective merit review recommendation, program policy factors, the amount of funds available, provisions of appropriation law and reports and as otherwise specified in the solicitation document.

81.119 STATE ENERGY PROGRAM SPECIAL PROJECTS

SEP Competitive Grants

FEDERAL AGENCY:

Department of Energy

AUTHORIZATION:

Energy Organization Act of 1977, as amended, Public Law 95-91, 42 U.S.C. 710; ARRA 2009, Public Law 91-95, 42 U.S.C 7101; Energy Policy and Conservation Act; ARRA 2009, Title III, Part C, Section 361-366, Public Law 163-94, 42 U.S.C 6321-6326; Balanced Budget Down Payment Act II of 1996; ARRA 2009, Public Law 134-104; National Energy Conservation Policy Act of 1978, Public Law 95-619; ARRA 2009, Public Law 619-95.

OBJECTIVES:

To allow States to submit proposals to implement specific Department of Energy (DOE) Office of Energy Efficiency and Renewable Energy deployment activities and initiatives as Special Projects under the State Energy Program. States will compete for funding to implement activities relating to a number of programmatic areas such as building codes and standards, alternative fuels, industrial efficiency, building efficiency, and solar and renewable technologies.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Financial support, in whole or in part, may be provided for salaries, materials, and supplies, equipment, travel, publication costs, and services required for implementing the activities described in the application.

Applicant Eligibility:

All States plus the District of Columbia, the U.S. Virgin Islands, Puerto Rico, Guam, Samoa, and the Commonwealth of the Northern Mariana Islands may apply.

Beneficiary Eligibility:

States and their project partners will benefit.

Credentials/Documentation:

Costs will be determined in accordance with 10 CFR 600 for State and local Governments. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Audit procedures in accordance with OMB Circular No. A-133. In addition, audit requirements need to comply with the Energy Independence and Security Act of 2007 and DOE procurement procedures as well as with 10 CFR 600. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. The application forms must be downloaded from the specific funding opportunity announcement posted on the Grants.gov web-site: at <http://www.grants.gov>. Applicants for sub-awards from the states should consult the office or official designated as the single point of contact within the State for more information on the process the State requires to be followed in applying for assistance.

Award Procedure:

Evaluations will be conducted by staff of the Office of Energy Efficiency and Renewable Energy end-use sectors participating in the annual solicitation. Final decisions to approve are made by Assistant Secretary Office of Energy Efficiency and Renewable Energy. DOE Field Offices are then instructed to negotiate and prepare the grant award documents.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. Deadlines are set forth in the solicitation.

Appeals:

None.

Renewals:

Renewals are subject to review by the headquarters program office and subject to the availability of funds.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. Cost sharing is not required however strongly encouraged.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Vary. See the following for information on how assistance is awarded/released: Funds are released through the Electronic Transfer System.

Reports:

No reports are required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Costs will be verified and subject to audit according to standard government practice and in accordance with OMB Circular No A-102.

Records:

In accordance with 10 CFR 600.

Account Identification:

89-0321-0-1-270.

Obligations:

(Project Grants) FY 12 \$14,758,049; FY 13 est \$997,636; and FY 14 Estimate Not Available

Range and Average of Financial Assistance:

500,000 - 1,000,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

As described under the American Recovery and Reinvestment Act of 2009 and Program requirements issued in Federal Register prior to actual invitation and solicitation to the States.

Regional or Local Office:

None.

Headquarters Office:

James Cash 1617 Cole Blvd., Golden, Colorado 80401 Email: james.cash@go.doe.gov Phone: 720-356-1456

Website Address:

<http://www.eere.energy.gov>

RELATED PROGRAMS:

81.041 State Energy Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The selection process will be determined by the criteria announced in the annual solicitation.

81.121 NUCLEAR ENERGY RESEARCH, DEVELOPMENT AND DEMONSTRATION

(NE RD&D)

FEDERAL AGENCY:

Department of Energy

AUTHORIZATION:

Atomic Energy Act of 1954, as amended, Section 32, Public Law 83-703, 68 Stat. 919, 42 U.S.C 2051; Energy Reorganization Act of 1974, Title I, Section 107, Public Law 93-438, 88 Stat. 1240, 42 U.S.C 5817; Atomic Energy Act, Section 31, Public Law 83-703, 42 U.S.C 2051; Department of Energy Organization Act of 1977, as amended, Public Law 95-91, 88 Stat. 1240, 42 U.S.C 7101.

OBJECTIVES:

Provide financial assistance to address key issues affecting the worldwide use of nuclear energy through the research, development, and demonstration of science and technology fields related to nuclear energy and its underlying sciences.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

Financial assistance, opportunities are defined in individual solicitations available on the DOE website or Grants.gov. Notices published on Grants.gov define research, development, and demonstration areas that the Department intends to support such as: advanced reactors, fuel and reactor concepts that minimize proliferation risks, nuclear fuel and fuel cycles, advanced instrumentation, controls, simulation, modeling and analyses, nuclear waste management, construction, manufacturing and fabrication technologies, and related fundamental nuclear science areas in materials, reactor physics, chemistry, radiochemistry, health physics, and thermal hydraulics and fluid dynamics. Notices published on Grants.gov define development or demonstration projects and study areas activities that the Department intends to support such as: advanced designs, technologies or techniques, new regulatory or licensing requirements, and economic analyses. For a given solicitation, financial assistance may be available in whole or in part, for the following in support of nuclear energy research and related fields in development and demonstration activities: salaries, materials and supplies, equipment, travel, publication costs, subcontractor and supporting costs required for technical activities, market analyses, financing plans and other activities necessary to achieve the objective. Restrictions on use of funds depend on solicitation and

award provisions.

Applicant Eligibility:

Federal, State, local governments, universities, consortia, nonprofit institutions, commercial corporations, and individuals may apply.

Beneficiary Eligibility:

Any individual, partnership, corporation, association, joint venture, institution of higher education, or nonprofit organization will benefit.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-21 for institutions of higher education. Costs will be determined in accordance with OMB Circular No. A-122 for non-profit organizations. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. DOE solicitations set forth award procedures. Refer to www.ne.doe.gov for additional information.

Award Procedure:

DOE solicitations set forth award procedures. Applications will receive an objective merit review. The objective merit review will be based on evaluation criteria set forth in the competitive solicitation. Evaluations will be coordinated by a Merit Review Chair and conducted by a review board. This responsibility will be performed by the Office of Nuclear Energy or delegated to a DOE Operations Office. Final decisions will be made by the approved Program Officer or the delegated Source Selection Official. For additional information, please refer to www.ne.doe.gov.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

> 180 Days. Normally 6 to 9 months.

Deadlines for submissions will be posted in the individual funding opportunities posted at <http://www.grants.gov>.

Appeals:

Not Applicable.

Renewals:

Proposals for renewal are subject to review and acceptance by the Program Director or Selection Official.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: As stated in each solicitation.

This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

The period of performance and schedule of DOE payments is arranged at the time of award. See the following for information on how assistance is awarded/released: ASAP Method of Payment.

Reports:

As stated in each solicitation. Cash reports are not applicable. As stated in each solicitation. Normally quarterly financial status reports will be required. Performance monitoring is tracked and reported according to set procedures dictated in each solicitation.

Audits:

Not Applicable.

Records:

Award recipient is expected to maintain auditable records to substantiate total costs incurred under the agreement and as required by the financial assistance award.

Account Identification:

89-0319-0-0-000.

Obligations:

(Project Grants (Cooperative Agreements)) FY 12 \$29,929,535; FY 13 est \$248,871,000; and FY 14 Estimate Not Available

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: See the NE website. Fiscal Year 2013: See the NE website. Fiscal Year 2014: See the NE website.

REGULATIONS, GUIDELINES, AND LITERATURE:

NE Federal Register notices; NE Solicitations; DOE Financial Assistance Regulations (10 CFR 600); and the DOE Guide to Financial Assistance, which may be accessed through the DOE Office of Procurement and Assistance Management webpage at: http://www.management.energy.gov/policy_guidance.htm.

Regional or Local Office:

None. Idaho Operations Office, 1955 Fremont Avenue, Idaho Falls, ID 83415. Nuclear Programs Support Division, Contact: Ken Osborne, Telephone: (208) 526-0805.

Headquarters Office:

Kenny K. Osborne, 1000 Independence Ave, SW , Washington , District of Columbia 20585 Email: osbornkk@id.doe.gov Phone: (208) 526-0805.

Website Address:

<http://www.ne.doe.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Each proposal will be evaluated based on an objective merit review in accordance with the requirement of "DOE Financial Assistance Regulations" (10 CFR 600) and the evaluation criteria set forth in the competitive solicitations. These criteria will include: appropriateness of the proposal method or approach, competency of research personnel and adequacy of proposed resources, reasonableness and appropriateness of the proposed budget, and other appropriate factors.

81.122 ELECTRICITY DELIVERY AND ENERGY RELIABILITY, RESEARCH, DEVELOPMENT AND ANALYSIS**FEDERAL AGENCY:**

Department of Energy

AUTHORIZATION:

Energy Policy Act of 1992 (EPACT), Public Law 102-486; Energy Policy Act of 2005 (EPACT), Public Law 109-58; Energy Independence and Security Act of 2007 (EISA), Public Law 110-140; Department of Energy Organization Act of 1977, as amended, Public Law 95-91; Energy Tax Act of 1978, Public Law 95-618; Energy Security Act of 1980, Public Law 96-294; Superconductivity and Competitiveness Act of 1988, Public Law 100-697.

OBJECTIVES:

Through research, development, demonstration, technology transfer, and education and outreach activities, lead national efforts to modernize the electric grid; enhance security and reliability of the energy infrastructure; and mitigate the impact of, and facilitate recovery from, disruptions to the energy supply. Develop cost-effective technology that enhances the reliability, efficiency, and resiliency of the electric grid, while enabling the effective utilization of emerging and renewable generation sources and promoting the rapid deployment and integration of advanced digital "Smart Grid" technology that is needed to modernize the nations electric delivery network for enhanced operational intelligence and connectivity. Also, leverage scientific research in mathematics for application to power system models and software tools to foster strategic, power systems research capabilities.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

Assistance provided as cost-shared cooperative agreements or grants to nonprofit and profit institutions and organizations to develop, demonstrate and transfer technologies, tools, techniques or information developed to utilities, energy companies, energy regulators, state and local governments and other stakeholders.

Applicant Eligibility:

All types of domestic entities are eligible to apply, such as profit organizations, private nonprofit institutions/organizations, universities, research organizations, and state and local governments.

Beneficiary Eligibility:

Profit organizations, private nonprofit institutions/organizations, universities, research organizations, and state and local governments benefit.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. The standard application forms as furnished by DOE and required by 10 CFR Part 600 must be used to apply for financial assistance, as further specified in competitive solicitation(s). The application forms must be downloaded from the specific funding opportunity announcement posted on the Grants.gov web site: <http://www.grants.gov/>

Award Procedure:

All applications which pass an initial review will receive an objective merit review. The objective merit review will be based on evaluation criteria set forth in the competitive solicitation(s) or criteria set forth in 10 CFR Part 600 for Unsolicited Proposals. Evaluations will be conducted by the Office of Electricity Delivery and Energy Reliability personnel at DOE Headquarters in Washington, DC. This responsibility may also be delegated to DOE Operations Offices and technical experts. Final decisions will be made by the Assistant Secretary, Office of Electricity Delivery and Energy Reliability.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 60 to 120 days.

Appeals:

Not Applicable.

Renewals:

Grants and cooperative agreements may be modified or extended.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching Requirements: As Specified under Section 988 of the Energy Policy Act of 2005 and EISA, with exceptions, a minimum of 20% cost share is required for research and development. A minimum of 50% is required for demonstration. Higher cost share may be requested. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Assistance is available for up to five years, as specified in the solicitation. See the following for information on how assistance is awarded/released: To be determined.

Reports:

Program reports are not applicable. Cash reports are not applicable. Progress reports required as specified in the grant or cooperative agreement. Typically these reports consist of quarterly progress and a final report at the conclusion

of the grant or cooperative agreement time period. Expenditure reports required as specified in the grant or cooperative agreement. Typically these reports consist of quarterly expenditure reports and a final report at the conclusion of the grant or cooperative agreement time period. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

None.

Account Identification:

89-0318-0-1-999 - OE Annual Appropriations.

Obligations:

(Project Grants (Cooperative Agreements)) FY 12 \$29,541,891; FY 13 est \$30,490,000; and FY 14 est \$28,700,000 - Annual Appropriations.

Range and Average of Financial Assistance:

Fiscal Year 2012 award obligations for annual appropriations range from \$100,000 to \$3,000,000 with the average at \$703,000. Fiscal Year 2013 award obligations for annual appropriations are estimated to range from \$100,000 to \$3,000,000 and estimated average at \$650,000. The estimated ranges for Fiscal Year 2014 will be similar to those of Fiscal Year 2013.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Nearly \$28 million was obligated to new awards in the areas of Transmission Reliability, Advanced Modeling Grid Research, Cyber Security for Energy Delivery Systems R&D, and Smart Grid R&D. Fiscal Year 2013: Nearly \$27 million is estimated to be obligated to new awards in the area of Cyber Security for Energy Delivery Systems R&D. Nearly \$3 million is estimated to be obligated in the area of Smart Grid R&D while an additional \$2 million is estimated to be obligated in the area of Transmission Reliability. Fiscal Year 2014: Over \$9 million is estimated to be obligated to new awards in the area of Smart Grid R&D. Nearly \$7 million dollars is estimated to be obligated to new awards in the area of Transmission Reliability. Over \$12 million is estimated to be obligated to new awards in the area of Cyber Security for Energy Delivery Systems R&D.

REGULATIONS, GUIDELINES, AND LITERATURE:

The following list represents an overview of regulations, guidelines, and literature: Office of Electricity Delivery and Reliability homepage, at <http://www.oe.energy.gov>; DOE Financial Assistance Regulations (10 CFR 600); and the DOE Guide to Financial Assistance, both of which may be accessed through the DOE Office of Management home page at http://www.management.energy.gov/policy_guidance.htm.

Regional or Local Office:

None. National Energy Technology Laboratory: <http://www.netl.doe.gov>.

Headquarters Office:

Office of Electricity Delivery and Energy Reliability, 1000 Independence Ave SW, Washington, District of Columbia 20585 Phone: (202) 586-1411.

Website Address:

<http://www.oe.energy.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: Annual appropriations will be awarded in the areas of Cyber Security for Energy Delivery Systems R&D as well as Smart Grid Technology. Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Selection is based on an objective merit review, program policy factors, the

availability of funds, provisions of appropriation law and reports and as otherwise specified in specific solicitation document(s).

81.124 PREDICTIVE SCIENCE ACADEMIC ALLIANCE PROGRAM

Office of Advanced Simulation and Computing (ASC)

FEDERAL AGENCY:

Department of Energy

AUTHORIZATION:

Atomic Energy Act of 1954, as amended, Section 31, Public Law 83-703, 68 Stat. 919, 42 U.S.C. 2051; Energy Reorganization Act of 1974, Title 1, Section 107, Public Law 93-438, 88 Stat. 1240, 42 U.S.C. 5817; Federal Nonnuclear Energy Research and Development Act of 1974, Public Law 93-577; Department of Energy Organization Act of 1977, as amended, Public Law 95-91, 42 U.S.C. 7101.

OBJECTIVES:

(1) To foster the science of building integrated, multi-scale, and multi-physics codes with the primary focus on code validation and verification to help advance the newly emergent predictive science in academia; (2) to promote and sustain scientific interactions between the academic community and scientists at the NNSA laboratories; (3) and to train scientists in specific areas of long-term research relevant to DOE stockpile stewardship.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Financial support in whole or in part may be provided for such purposes as salaries, materials and supplies, equipment, travel, publication costs, training costs, and services required for conducting research, training, related activities, and advanced technology projects or assessments. Funding is provided for applied research in the field of computational science in support of the DOE NNSA Stockpile Stewardship program. Restrictions on use of funds depend on grant provisions. Funding is provided for the purpose of exploring a research idea that does not unnecessarily duplicate work already in progress or contemplated by DOE, is not already known to DOE, or has previously unrecognized merit. See the Minimum Requirements in the FOA.

Applicant Eligibility:

Only U.S. Public and Private Education Institutions with Ph.D. granting programs can apply.

Beneficiary Eligibility:

Only U.S. Public and Private Education Institutions with Ph.D. granting programs can apply.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Applications should be submitted as specified in the funding opportunity announcement posted at <http://www.grants.gov>.

Award Procedure:

Proposals will be screened for ASC programmatic relevance and then reviewed for scientific and technical merit by qualified individuals. Recommendations for award are made by the ASC office, Defense Programs, NNSA, and selected proposals will be forwarded to the NNSA Albuquerque Complex in Albuquerque, NM, for grant development and award.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 90 to 120 days. See individual opportunity announcements at

<http://www.grants.gov> for deadlines for each specific announcement..

Appeals:

From 90 to 120 days.

Renewals:

Applications for annual renewal are subject to review and acceptance by the NNSA Office of Advanced Simulation and Computing.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching Requirements: Percent: 10%.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The NNSA cooperative award assistance is available for total of 5 project-year time window, subject to approval of annual renewals. The annual funding should be expended within the allocated timeframe, with 10% allowed for carryover to the next fiscal year. Method of awarding/releasing assistance: lump sum.

Reports:

Annual program reports are required. Quarterly submissions of SF-272 are required. Quarterly submissions of SF-425, Federal Financial Report. Quarterly technical progress reports are required. Cost accruals are reported monthly. Annual technical reviews by external review panels are performed.

Audits:

No audits are required for this program.

Records:

Recipient is expected to maintain auditable records to substantiate the total costs incurred under the grant.

Account Identification:

89-0240-0-1-053.

Obligations:

(Project Grants) FY 12 \$18,500,000; FY 13 est \$9,500,000; and FY 14 est \$14,400,000 - In 2008 awards were first provided to 5 Centers, those will continue until April 2014 under a one-yr no cost extension. In 2014 6 Centers, will begin for a 5 year period of time until 2018.

Range and Average of Financial Assistance:

\$17M for each award for 5 project years ranging from mid-FY08 to mid-FY13 (under a one year no cost time extension, with \$3.4 M as the average annual award amount. The 6 new Centers that will start in FY14 will receive \$3.2 for 3 Multidisciplinary Simulation Center (MSC) and \$1.6 for 3 Single-Discipline Center (SDC). The latter 6 Centers will receive this money annually for 5 years.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Established validated, large-scale simulation-based "Science-of-Prediction" as a major academic and applied research focus area. Focus was on a multi-scale, multi-disciplinary, unclassified application of NNSA and national interest. Predictions derived from simulations were strongly connected with experimental and/or observational data, including designing and conducting novel experiments or observations in support of the simulations. Semonstrated capability on available Advanced Simulation & Computing systems. Fiscal Year 2013: .All Centers have demonstrated verified and validated predictions with quantified uncertainties within their chosen application. They have established credibility of the "Science of Prediction" in the broad science and engineering community. Approximately 175 students with 18 hired by DOE labs were supported spending 10 weeks at an NNSA lab. Also postdocs and research staff made a 2 week visit to an NNSA lab. An average of 85 faculty were supported each year during 2008-2013. The Centers targeted faculty hires. Fiscal Year 2014: The following Centers have been selected for the FY 2014 program:

University of Utah, Salt Lake City, UT, The Uncertainty Quantification-Predictive Multidisciplinary Simulation Center for High Efficiency Electric Power Generation with Carbon Capture, an MSC
University of Illinois-Urbana-Champaign, Champaign, IL, Center for Exascale Simulation of Plasma-Coupled Combustion, an MSC
Stanford University, Stanford, CA, Predictive Simulations of Particle-laden

Turbulence in a Radiation Environment, an MSC
University of Florida, Gainesville, FL, Center for Compressible Multiphase Turbulence, an SDC
Texas A&M University, College Station, TX, Center for Exascale Radiation Transport, an SDC
University of Notre Dame, Notre Dame, IN, Center for Shock Wave-processing of Advanced Reactive Materials, an SDC.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Lucille M. Gentry Pennsylvania & H Avenue, KAFB-E , Albuquerque, New Mexico 87116 Email: Lucille.Gentry@nnsa.doe.gov Phone: 5058456462

Website Address:

<http://www.sandia.gov/NNSA/ASC/univ/psaap.html>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Purdue University Center for Prediction of Reliability, Integrity and Survivability of Microsystems. Improve understanding of the long term survivability of MEMS with a focus on a single radio frequency capacitive MEMS switch by predicting the response, and eventually, the probability of failure. Fiscal Year 2013: .Stanford University predictive Systems of Multi-Physics Flow Phenomena with Application to Integrated Hypersonic Systems. Predicting unsteady physical phenomena in hypersonic Scramjets, specifically, the "unstart" failure mode. Fiscal Year 2014: The following Centers have been selected for the FY 2014 program: University of Utah, Salt Lake City, UT, The Uncertainty Quantification-Predictive Multidisciplinary Simulation Center for High Efficiency Electric Power Generation with Carbon Capture, an MSC
University of Illinois-Urbana-Champaign, Champaign, IL, Center for Exascale Simulation of Plasma-Coupled Combustion, an MSC
Stanford University, Stanford, CA, Predictive Simulations of Particle-laden Turbulence in a Radiation Environment, an MSC
University of Florida, Gainesville, FL, Center for Compressible Multiphase Turbulence, an SDC
Texas A&M University, College Station, TX, Center for Exascale Radiation Transport, an SDC
University of Notre Dame, Notre Dame, IN, Center for Shock Wave-processing of Advanced Reactive Materials, an SDC.

CRITERIA FOR SELECTING PROPOSALS:

(1) Alignment with the areas of technical scope called forth in the call for application; (2) Scientific/technical merit of the project; (3) Expected impact on the proposed area of research activity; (4) Consistency with the objective of this academic alliances program to focus on advanced computational science investigations; (5) Qualifications of the Applicant's personnel and adequacy of proposed resources; (6) Feasibility of plans for carrying out the proposed research, considering such factors as: appropriateness of the technical method and approach, facility compatibility, other commitments, competition and timing; (7) Level of interaction with NNSA/DP laboratory personnel and the potential to train students in scientific areas defined by the technical scope in order to build a long-term recruiting pool for the NNSA/DP laboratory complex; (8) Leverage provided by cost sharing by applicant and with other funding sources.

81.127 ENERGY EFFICIENT APPLIANCE REBATE PROGRAM (EEARP)

EEARP

FEDERAL AGENCY:

Department of Energy

AUTHORIZATION:

Energy Policy Act of 2005, Title 1, Part B.

OBJECTIVES:

The program provides financial and technical assistance to States to establish residential energy star rated appliance rebate programs. The programs objectives are:

- * To reduce fossil fuel emissions created as a result of activities within the jurisdictions of eligible entities;
- * To improve energy efficiency in the residential sector.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

Establish or continuation of State energy efficient appliance rebate program to provide rebates to residential consumers for the purchase of residential Energy Star products to replace used appliances of the same type.

Applicant Eligibility:

Assistance available to States and US Territories and possessions.

Beneficiary Eligibility:

Individuals and families are the ultimate beneficiaries.

Credentials/Documentation:

Compliance with State and local environmental statutes and regulations. Costs will be determined in accordance with 10 CFR Part 600 for State and local governments. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

No preapplication form or conference is required by DOE. Consultation and assistance will be available from DOE in the preparation of the application. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Applications for the Energy Efficient Appliance Rebate Program must be submitted through FedConnect to be considered for award. Information on how to submit applications via FedConnect can be found at https://www.fedconnect.net/FedConnect/PublicPages/FedConnect_Ready_Set_Go.pdf It is the responsibility of the applicant to verify successful transmission.

Award Procedure:

States submit application for available allocation.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Deadlines are identified in the solicitation.

Appeals:

As required under the American Recovery and Reinvestment Act of 2009.

Renewals:

Renewals are subject to review by the DOE Project Management Center and the headquarters program office and subject to the availability of funds.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grant periods under this program will be twenty four months. All funds must be expended within this period. See the following for information on how assistance is awarded/released: No information is provided.

Reports:

No program reports are required. Financial status reports are required. Additional terms and conditions are invoked by ARRA 2009. A final report is required at the end of the project period. In accordance with the Energy

Independence and Security Act of 2007, EPACT 1992 and 2005, ARRA 2009 and DOE procurement procedures. A 10-year tracking and follow-up reporting mechanism may be required. Quarterly progress reports are required. Additional terms and conditions are invoked by ARRA 2009. A final report is required at the end of the project period. In accordance with the Energy Independence and Security Act of 2007, EPACT 1992 and 2005, ARRA 2009 and DOE procurement procedures. A 10-year tracking and follow-up reporting mechanism may be required. Expenditure reports are not applicable. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

In accordance with the Energy Independence and Security Act of 2007 and DOE procurement procedures.

Account Identification:

89-0331-2-1-272.

Obligations:

(Formula Grants) FY 12 \$0; FY 13 est \$0; and FY 14 Estimate Not Available

Range and Average of Financial Assistance:

Range: 500k-6 million.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

As described under the American Recovery and Reinvestment Act of 2009.

Regional or Local Office:

See Regional Agency Offices. Energy Efficiency and Renewable Energy Clearinghouse (1-877) EERE-INFO or (1-877) 337-3463 (Toll Free).

Headquarters Office:

James Cash 1617 Cole Blvd., Golden, Colorado 80401 Email: james.cash@go.doe.gov Phone: 720-356-1456

Website Address:

<http://https://www1.eere.energy.gov/informationcenter/>

RELATED PROGRAMS:

81.041 State Energy Program; 81.119 State Energy Program Special Projects

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Formula Grant allocations to supplement State Programs.

81.128 ENERGY EFFICIENCY AND CONSERVATION BLOCK GRANT PROGRAM (EECBG)

EECBG

FEDERAL AGENCY:

Department of Energy

AUTHORIZATION:

The Energy Efficiency and Conservation Block Grant Program (EECBG) was authorized in Title V, Subtitle E of the Energy Independence and Security Act (EISA), signed into Public Law 110-140 on December 19, 2007.

OBJECTIVES:

The program provides financial and technical assistance to assist State and local governments create and implement a variety of energy efficiency and conservation projects. The programs objectives are:

- * To reduce fossil fuel emissions created as a result of activities within the jurisdictions of eligible entities;
- * To reduce the total energy use of the eligible entities; and

* To improve energy efficiency in the transportation, building, and other sectors.

TYPES OF ASSISTANCE:

Formula Grants; Project Grants (Special)

USES AND USE RESTRICTIONS:

A wide variety of activities are eligible for use of the grant funds including:

* Developing/implementing an energy efficiency and conservation strategy and retaining technical consultant services to assist in the development of such a strategy.

* Conducting residential and commercial building energy audits.

* Establishing financial incentive programs for energy efficiency improvements (e.g., loan programs, rebate programs, waive permit fees.)

* Providing grants to nonprofit organizations to perform energy efficiency retrofits.

* Developing/implementing programs to conserve energy used in transportation (e.g., flex time by employees, satellite work centers, promotion of zoning requirements that promote energy efficient development, transportation infrastructure: bike lanes/pathways, pedestrian walkways, and synchronized traffic signals).

* Developing and implementing building codes and inspection services to promote building energy efficiency.

* Implementing energy distribution technologies.

* Developing public education programs to increase participation and efficiency rates for recycling programs.

* Purchasing/implementing technologies to reduce and capture methane and other greenhouse gases generated by landfills or similar sources.

* Installing light emitting diodes (LEDs).

* Developing, implementing, and installing renewable energy technologies on or in any government building.

* Any other activity as determined by the Secretary of Energy in consultation with the Secretaries of Transportation and Housing and Urban Development and the Administrator of the Environmental Protection Agency.

Applicant Eligibility:

State and local governments including US Territories and Possessions.

Beneficiary Eligibility:

Individuals and families.

Credentials/Documentation:

Compliance with Federal, State and local environmental statutes and regulations, as required under the Energy Independence and Security Act of 2007. This program is covered by applicable Cost Principles contained in the Code of Federal Regulations, TITLE 2--Grants and Agreements. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

No preapplication form or conference is required by DOE. Consultation and assistance will be available from DOE in the preparation of the application. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Application forms must be downloaded from the specific funding opportunity announcement posted on the grants.gov website at <http://www.grants.gov/> and submitted per instructions in the funding opportunity announcement.

Applicants must register with FedConnect to submit their application.

FedConnect website: www.fedconnect.net

Submission deadlines will be published in the funding opportunity announcement at <http://www.grants.gov>

It is the responsibility of the applicant to verify successful transmission.

Award Procedure:

States and eligible units of local governments and Tribes will submit applications for available allocation.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Deadlines are identified in the Funding Opportunity Announcement.

Appeals:

As required under the Energy Independence and Security Act of 2007 and American Recovery and Reinvestment Act of 2009.

Renewals:

Renewals are subject to review by the DOE Project Management Center and the headquarters program office and subject to the availability of funds.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: There is no local match requirement for the EECBG, however leveraging of funds on the part of the recipient is encouraged.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grant periods under this program will be eighteen months. Funds must be under contract within eighteen months. See the following for information on how assistance is awarded/released. See the following for information on how assistance is awarded/released: No information provided.

Reports:

No program reports are required. No cash reports are required. Quarterly project and financial status reports are required. Additional terms and conditions are invoked by ARRA 2009. A final report is required at the end of the contract period. In accordance with the Energy Independence and Security Act of 2007, EPACT 1992 and 2005, ARRA 2009 and DOE procurement procedures. A 10-year tracking and follow-up reporting mechanism may be required. Quarterly project and financial status reports are required. Quarterly financial reports required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audit procedures in accordance with OMB Circular No. A-133. In addition, audit requirements need to comply with the Energy Independence and Security Act of 2007 and DOE procurement procedures as well as with 10 CFR 600.

Records:

In accordance with the Energy Independence and Security Act of 2007 and DOE procurement procedures.

Account Identification:

89-0331-2-1-272.

Obligations:

(Formula Grants) FY 12 \$0; FY 13 est \$0; and FY 14 est \$0 - All obligations under this program will be made with Recovery Act funds.

Range and Average of Financial Assistance:

Varies.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: In FY 2013, EECBG continued to deploy energy efficiency across the county through its grants to over 2,300 state and local governments through its funding by the American Reinvestment and Recovery Act of 2009 (ARRA). Milestones accomplished in FY 11 include performance of energy upgrades of over 40,000 buildings, installation of 5,000 solar energy systems, and installation of over 300,000 energy efficient traffic and streetlights since the

passage of the ARRA. However, per OMB, all ARRA funds are requested to be expended by September 30, 2013, and per ARRA, all funding must be expended by September 30, 2015. Fiscal Year 2013: In FY 2013, EECBG continued to deploy energy efficiency across the county through its grants to over 2,300 state and local governments through its funding by the American Reinvestment and Recovery Act of 2009 (ARRA). Milestones accomplished in FY 11 include performance of energy upgrades of over 40,000 buildings, installation of 5,000 solar energy systems, and installation of over 300,000 energy efficient traffic and streetlights since the passage of the ARRA. However, per OMB, all ARRA funds are requested to be expended by September 30, 2013, and per ARRA, all funding must be expended by September 30, 2015. Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

As described under the Energy Independence and Security Act of 2007 and American Recovery and Reinvestment Act of 2009.

Regional or Local Office:

See Regional Agency Offices. Energy Efficiency and Renewable Energy Clearinghouse (1-877) EERE-INFO or (1-877) 337-3463 (Toll Free).

Headquarters Office:

James Cash 1617 Cole Blvd., Golden, Colorado 80401 Email: james.cash@go.doe.gov Phone: 720-356-1456

Website Address:

<http://www.eere.energy.gov>

RELATED PROGRAMS:

81.041 State Energy Program; 81.042 Weatherization Assistance for Low-Income Persons

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

As described under the Energy Independence and Security Act of 2007 and American Recovery and Reinvestment Act of 2009.

81.129 ENERGY EFFICIENCY AND RENEWABLE ENERGY TECHNOLOGY DEPLOYMENT, DEMONSTRATION AND COMMERCIALIZATION

FEDERAL AGENCY:

Department of Energy

AUTHORIZATION:

EPACT 1992, EPACT 2005, EISA 2007, ARRA 2009.

OBJECTIVES:

This program provides financial assistance for the technology deployment, demonstration, and commercialization of Energy Efficiency and Renewable Energy technologies. This includes Biomass, Building Technologies, Federal Energy Management, Geothermal Technologies, Projects involving Hydrogen, Fuel Cells and Infrastructure Technologies, Industrial Technologies, Solar Energy Technologies, Vehicle Technologies, Weatherization and Intergovernmental, and Wind and Hydropower technologies.

TYPES OF ASSISTANCE:

Cooperative Agreements; Project Grants

USES AND USE RESTRICTIONS:

No information provided.

Applicant Eligibility:

Anyone who meets the requirements specified in the funding opportunity announcement at <http://www.grants.gov> may apply.

Beneficiary Eligibility:

Beneficiaries are states and local governments and other public and private institutions.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Application forms must be downloaded from the specific funding opportunity announcement posted on the grants.gov website at <http://www.grants.gov/> and submitted per instructions in the funding opportunity announcement.

Applicants must register with FedConnect to submit their application. FedConnect website: www.fedconnect.net

Submission deadlines will be published in the funding opportunity announcement at <http://www.grants.gov>

It is the responsibility of the applicant to verify successful transmission.

Award Procedure:

Applications which pass an initial review will receive an objective merit review. An objective Merit Review Committee will evaluate applications in accordance with the evaluation criteria set forth in the competitive solicitation.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Unsuccessful applicants from the competitive solicitation are entitled to a written debriefing by DOE program officials with a clear explanation of why the proposal was not accepted for funding.

Renewals:

Renewals are subject to review by the DOE Project Management Center and the headquarters program office and subject to the availability of funds.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: Percent: 50%. Federal funds may be awarded to applicants that can match DOE Federal funds at 50 percent. Other matching requirements may be determined by specific legislation or as allowed by the Secretary of Energy.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

No information provided. See the following for information on how assistance is awarded/released: No information provided.

Reports:

Quarterly project and financial status reports are required. Additional terms and conditions are invoked by ARRA 2009. A final report is required at the end of the contract period. In accordance with the Energy Independence and Security Act of 2007, EPACT 1992 and 2005, ARRA 2009 and DOE procurement procedures. A 10-year tracking and follow-up reporting mechanism may be required. No cash reports are required. Quarterly project status reports are required. Additional terms and conditions are invoked by ARRA 2009. A final report is required at the end of the contract period. In accordance with the Energy Independence and Security Act of 2007, EPACT 1992 and 2005, ARRA 2009 and DOE procurement procedures. A 10-year tracking and follow-up reporting mechanism may be required. Expenditure reports are not applicable. No performance monitoring is required.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. Audit procedures in accordance with OMB Circular No. A-133. In addition, audit requirements need to comply with the Energy Independence and Security Act of 2007 and DOE procurement procedures as well as with 10 CFR 600.

Records:

In accordance with the Energy Independence and Security Act of 2007, EPACT

1992 and 2005, ARRA 2009 and DOE procurement procedures. Access to process implementation and efficiency data may be required to be available for 10 years after project completion to measure performance against expectation and support commercialization of the technology. The grantee is expected to maintain records to fulfill auditing needs.

Account Identification:

89-0321-0-1-270.

Obligations:

(Cooperative Agreements) FY 12 \$0; FY 13 est \$0; and FY 14 est \$0

Range and Average of Financial Assistance:

None.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

DOE financial assistance Rules and 10 CFR 600 applies.

Regional or Local Office:

None.

Headquarters Office:

James Cash 1617 Cole Blvd., Golden, Colorado 80401 Email: james.cash@go.doe.gov Phone: 720-356-1456

Website Address:

<http://www.eere.energy.gov>

RELATED PROGRAMS:

81.117 Energy Efficiency and Renewable Energy Information Dissemination, Outreach, Training and Technical Analysis/Assistance

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: As this series of Recovery Act grants reaches its conclusion, it is expected that similar buildings technologies advancements will be completed on schedule. Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Awards are restricted to the entities listed in the Applicant Eligibility portion of this program listing. All election criteria will conform to EISA 2007, EPACT 1992 and 2005, and ARRA 2009 requirements as applicable to the specific project authorization.

81.135 ADVANCED RESEARCH PROJECTS AGENCY - ENERGY

ARPA-E

FEDERAL AGENCY:

Department of Energy

AUTHORIZATION:

This agency was chartered and authorized in 2007 in the America COMPETES Act (Public Law 110-69), and re-authorized in the America COMPETES Reauthorization Act of 2010 (Public Law 111-358).

OBJECTIVES:

To support the Presidents National Objectives for the Department of Energy, and the Objectives contained in the authorizing legislation.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

ARPA-E will fund research and development of transformational energy related technologies. As described in the Funding Opportunity Announcement.

Applicant Eligibility:

As described in the Funding Opportunity Announcement.

Beneficiary Eligibility:

General public.

Credentials/Documentation:

Compliance with Federal, State and local environmental statutes and regulations, as required under the Energy Independence and Security Act of

2007. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Pre-application required in the form of a Concept Paper (unless directed differently in the Funding Opportunity Announcement). This program is not eligible for coverage under E.O. 12372, Intergovernmental Review of Federal Programs. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Applications for ARPA-E must be submitted through ARPA-E eXCHANGE to be considered for award. Information on how to submit application via ARPA-E eXCHANGE can be found at: <http://arpa-e.energy.gov/>. It is the responsibility of the applicant to verify successful transmission.

Award Procedure:

All eligible applications will be considered through the Departments Merit Review Process.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Deadlines are identified in the Funding Opportunity Announcement.

Appeals:

As required under the Energy Independence and Security Act of 2007 and American Recovery and Reinvestment Act of 2009.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: The Cost Share Requirements are as follows:

- For a domestic educational institution or domestic nonprofit applying as a Standalone Applicant, the cost share is Greater than or Equal to 5% of the Total Project Cost
- For Project Teams composed exclusively of domestic educational institutions, domestic nonprofits, and/or FFRDCs, the cost share is 5% of the Total Project Cost
- For Project Teams where domestic educational institutions, domestic nonprofits, and/or FFRDCs perform 80%, but less than 100%, of the work under the funding agreement, as measured by the Total Project Cost, the cost share is 10% of the Total Project Cost
- For Technology Investment Agreements and other transactions agreements, the cost share is 50% of the Total Project Cost
- For All other projects, the cost share is 20% of the Total Project Cost

There is a requirement for cost sharing of at least 20%, a higher percentage may be required for individual Funding Opportunity Announcements. Educational institutions that are sole grantees, and teams/consortia consisting exclusively of educational institutions that receive funding may be eligible for a reduced cost share of not less than 10% of the total allowable project costs.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

As required under the Energy Independence and Security Act of 2007 and American Recovery and Reinvestment Act of 2009, as applicable. See the following for information on how assistance is awarded/released: Most often under a Cooperative Agreement as reimburseable.

Reports:

In accordance with the Energy Independence and Security Act of 2007, DOE procurement procedures and the Recovery Act of 2009. Quarterly progress reports will be required along with a final report. Cash reports are not applicable. Progress reports are not applicable. Quarterly financial reports will be required along with a final report (and as described in the Funding Opportunity Announcement). Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audit requirements laid out in the OMB Circulars apply to the ARPA-E Program. Circulars are available at the White House website.

Records:

In accordance with the Energy Independence and Security Act of 2007, DOE procurement procedures and the Recovery Act of 2009.

Account Identification:

89-0337-0-1-270 - Energy Transformation Acceleration Fund ;
89-0336-0-1-270 - Energy Transformation Acceleration Fund - Recovery Act.

Obligations:

(Cooperative Agreements) FY 12 \$217,000,000; FY 13 est \$237,500,000; and FY 14 est \$344,890,000 - Energy Transformation Acceleration Fund.

Range and Average of Financial Assistance:

\$250,000 to \$10,000,000 (average range is \$2 million).

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Funded 55 transformational energy technology research and development projects. Fiscal Year 2013: Fund approximately 80 transformational energy technology research and development projects. Fiscal Year 2014: Fund approximately 120-160 transformational energy technology research and development projects.

REGULATIONS, GUIDELINES, AND LITERATURE:

As described under the Energy Independence and Security Act of 2007, America COMPETES Act of 2007, the America ReCOMPETES Act of 2010, and the American Recovery and Reinvestment Act of 2009.

Regional or Local Office:

None.

Headquarters Office:

Antony DiGiovanni Department of Energy, 1000 Independence Avenue, S.W., Washington, District of Columbia 20585 Phone: (202) 287-1030

Website Address:

<http://www.arpa-e.energy.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

As described in the Funding Opportunity Announcement.

81.137 MINORITY ECONOMIC IMPACT

Minority Education Awards Program

FEDERAL AGENCY:

Department of Energy

AUTHORIZATION:

National Energy Conservation Act, Title NECPA, Section 641, 42 U.S.C 7141; Executive Order Executive Order 13592, Tribal Colleges and Universities, December 8, 2011 as amended; Executive Order Executive Order 13515, Asian Americans and Pacific Islanders, October 14, 2009 as amended; Executive Order Executive Order 13532, White House Initiative on Historically Black Colleges and Universities, February 26, 2010, as amended; Executive Order Executive Order 13532, President's Advisory Commission on Educational Excellence for Hispanic Americans, October 19, 2010, as amended.

OBJECTIVES:

The program's primary focus is to engage minority serving institutions (MSIs) in DOE mission activities, collaborative research projects throughout the DOE, and future workforce development.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Financial support, in whole or in part, may be provided for salaries, stipends, materials and supplies, equipment, travel, publication and training, and services required for conducting research, training, and related activities. Funding is provided for the purpose of exploring an idea that does not duplicate work already in progress or contemplated by DOE, is not already known to DOE, or has previously unrecognized merit. Restrictions on the use of funds depend on grant provisions.

Applicant Eligibility:

Historically Black Colleges and Universities (HBCUs), Hispanic Serving Institutions (HSIs), Tribal Colleges and Universities (TCUs), Asian American Pacific Islander (AAPI) Serving Institutions, and non-profit institutions servicing HBCUs, HSIs, TCUs, and AAPIs.

Beneficiary Eligibility:

The general public, scientists, researchers, student/trainee, graduate students, institutions of higher learning, DOE, and other US government agencies will benefit. Middle and high school will also benefit due to the institutions of higher learning's programs supported by DOE.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

Various.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

From 30 to 60 days.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Two Years. Method of awarding/releasing assistance: lump sum.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

No Data Available.

Account Identification:

89-0228-0-1-050.

Obligations:

(Salaries) FY 12 \$1,000,000; FY 13 est \$710,000; and FY 14 est \$1,100,000

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Provided Scholarships, supported pre-college Science Technology Engineering and Math (STEM) program and funded Sustainable Campus Community Initiative. Fiscal Year 2013: Plan to provide Scholarships, supported pre-college Science Technology Engineering and Math (STEM) program and funded Sustainable Campus Community Initiative. Fiscal Year 2014: Plan to provide Scholarships, supported pre-college Science Technology Engineering and Math (STEM) program and funded Sustainable Campus Community Initiative.

REGULATIONS, GUIDELINES, AND LITERATURE:

10CFR Part 600 and cfr Part Part 2

Regional or Local Office:

None.

Headquarters Office:

Annie Whatley 1000 Independence Ave. SW., Washington, District of Columbia 20585 Email: diversity@hq.doe.gov Phone: 2025868383 Fax: 2025863075

Website Address:

<http://energy.gov/diversity>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

81.138 STATE HEATING OIL AND PROPANE PROGRAM

(SHOPP)

FEDERAL AGENCY:

Department of Energy

AUTHORIZATION:

97-258, 31 U.S.C 6304.

OBJECTIVES:

SHOPP is a joint data collection effort between large heating oil and propane consuming States in the Northeast and Midwest and the U.S. Department of Energy/U.S. Energy Information Administration (EIA).

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

SHOPP provides State and Federal governments, the press, policy makers, consumers, analysts and others with up-to-date information on retail heating fuels prices during the heating season. These funds are to be used only for activities relating to the State Heating Oil and Propane Program. Expenses which qualify as eligible toward the program cost include, but are not limited to, the cost of personnel, fringe benefits, travel, equipment, supplies, and indirect charges related to data collection or the analysis and understanding of market conditions which may impact heating oil and propane prices. This program is also based on a 50-50 cost sharing agreement between the States and EIA, which means that total program costs must be split equally between State and Federal funds.

Applicant Eligibility:

SHOPP is a joint data collection effort between large heating oil and propane consuming states in the Northeast and Midwest and the U.S. Department of Energy/Energy Information Administration (EIA).

Beneficiary Eligibility:

Beneficiaries are States and their agencies that have the resources to conduct the program, and consume significant amounts of heating oil and/or propane for residential purposes.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

An email expressing intent to apply should be sent to the survey manager prior to application for States which are not currently participating in SHOPP. The U.S. EIA may not be able to accommodate all requests for participation. No formal preapplication forms are necessary. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

This award is a five-year cooperative agreement between EIA and the State. Every five years, applicants are required to fill out forms per OMB Circular A-102 and other forms as stated on EIAs website at: http://www.eia.gov/petroleum/heatingoilpropane/faq_stateofficials.cfm.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

NA.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: Percent: 50.%. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The assistance is available for expenditures made during the current award year up to 2 years after the end of the current grant year. Although they may be accessed for a time period after the award year, funds for each grant year may only be spent on program activities for that specific year. Method of awarding/releasing assistance: lump sum.

Reports:

Management Reporting Progress Report; Scientific/Technical Report DOE F 241.3; Financial Reporting SF 425. No cash reports are required. No progress reports are required. No expenditure reports are required. No performance monitoring is required.

Audits:

No audits are required for this program.

Records:

No Data Available.

Account Identification:

89-0216-0-1-276.

Obligations:

(Salaries) FY 12 \$180,780; FY 13 est \$178,142; and FY 14 est \$200,000

Range and Average of Financial Assistance:

FY 2010 - \$187,257, FY 2011 - \$184,257, FY 2012 \$180,780 \$200,000 is allocated annually for financial assistance to States for SHOPP.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Marcela Rourk 1000 Independence Ave, Washington, District of Columbia 20585 Email: Marcela.rourk@eia.gov Phone: 2025864412

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

81.139 ENVIRONMENTAL MANAGEMENT R&D FOR HEPA FILTERS, HIGH-LEVEL TANK WASTE MIXING & SAMPLING

Environmental Management R&D

FEDERAL AGENCY:

Department of Energy

AUTHORIZATION:

The activity conducted under this award is authorized pursuant to: The Department of Energy Act, Public Law 95-91, 42 U.S.C. 7256, in conjunction with 42 U.S.C. 7112.

OBJECTIVES:

EM R&D provides necessary investments in mid to long range research projects to address radioactive waste treatment and storage safety. These R&D projects are targeted at high priority technical issues, gaps, operations, or concerns to clean up the Nations legacy of defense-related radioactive wastes. The technologies developed in this R&D program will identify or improve the current waste treatment baseline, develop new or augmented cost-effective technologies or methods, provide advanced technologies/ methods for insertion into new or existing waste treatment facilities/ infrastructures, address known technology gaps, and reduce project technical risk and uncertainty. This meets the Departmental goal to accelerate waste treatment, clean-up, and provide risk reduction to the public. For example, R&D for HEPA filters is needed to improve the performance of currently available HEPA filters in upset conditions (e.g. fires). In addition, R&D into HEPA filters under various normal and upset test conditions will help determine valuable operational information (i.e., develop suggested controls and operational methods, and identify failure modes) that can be applied at various commercial and Government facilities that treat, store, or process nuclear material or waste streams (e.g. commercial nuclear power facilities). This research will increase the protective nature of the American Society of Mechanical Engineers (ASME) AG 1 Code (Code on Nuclear Air and Gas Treatment), enhance the ability of professionals to design filters and air filtration systems, and reduce uncertainty in the development of standard operating procedures for facilities involved in nuclear containment ventilation activities. Improving the durability of HEPA filters used in nuclear containment is a key component in protecting the general population in the event of a fire at a nuclear facility, particularly segments of the population near nuclear facilities.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Financial assistance is provided in support of the program objectives. Applicants must meet the guidelines established by DOE.

Applicant Eligibility:

A publicly owned agency or organization established to perform specialized functions or services for the benefit of all or part of the general public either without charge or at cost, making no profits and having no shareholders to receive dividends. Includes institutions of higher education and hospitals. Determinations are made by DOE EM Headquarters or EM Field Offices, or both.

Beneficiary Eligibility:

The following organizations will significant benefit from improved and safer advanced HEPA filters: Federal/ State radioactive treatment and/or storage facilities, commercial nuclear power industry, workers in a radioactive environment, and the general public, particularly landowners or homeowners in proximity to radioactive facilities. Indirect benefits include more accurate known and understood HEPA filter failure mechanisms and limits, margins, and operational constraints, especially in emergency situations such as fire or a

seismic event. Indirect benefits include decreased operating costs, and potentially improvements to the American Society of Mechanical Engineering (ASME) AG-1, the US national consensus standard for nuclear air handling and treatment systems.

Credentials/Documentation:

Cost will also be determined in accordance with OMB Circular No. A-21 for institutions of higher education or as otherwise defined in the financial assistance instrument. This program is excluded from coverage under OMB Circular No. A-87. . This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. A formal proposal by the organization interested doing the work must be submitted through an appropriate official of the corporate entity, organization, or institution. Financial assistance requests will be reviewed pursuant to the Department of Energy Financial Assistance Regulations at 10 CFR 600 and the DOE Merit Review Guide, as amended.

Award Procedure:

Award decision will be made by DOE Headquarters.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

The approximate approval/disapproval time is from two months to one year.

Appeals:

N/A.

Renewals:

From 120 to 180 days. From 120 to 180 days. Proposal for renewal are subject to review and acceptance by DOE Headquarters, the field, or both.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Length and time phasing of assistance will vary with the program phase and activity. Method of awards/ releasing assistance: awards will be incrementally funded. See the following for information on how assistance is awarded/released. See the following for information on how assistance is awarded/released: Awards will be incrementally funded.

Reports:

Yes, periodic program and progress reports are required per the Reporting Requirements Checklist attached to the award. No cash reports are required. At a minimum, annual progress reports are required. Frequency of progress reports will be determined at time of award. Expenditure reports will be required. The type and frequency will be determined at time of award. Performance monitoring will be required. The type and frequency will be determined at time of award.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audits will be conducted annually or as defined by the size of and the specific award provisions.

Records:

Retention of records will be required in accordance with 10 CFR 600. A grantee is expected to maintain auditable records to substantiate the total costs under the Cooperative Agreement. The grantee is also expected to keep and maintain applicable American Society of Mechanical Engineers (ASME) Nuclear Quality Assurance-1 (NQA-1) and other quality assurance testing and results records as needed.

Account Identification:

89-0251-0-1-250.

Obligations:

(Salaries) FY 12 Not Available; FY 13 est \$731,000; and FY 14 est \$1,000,000 - subject to funds availability.

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Activities conducted during 2012 include examination of ribbon separated HEPA filters and development of an AG-1 Section FI test stand. A loading curve was generated. The most penetrating particle size during this loading period was also measured. After being loaded each filter was challenged with elevated temperature and relative humidity up to 50" water column or rupture. The ribbon separated Vokes filter testing was completed. Fiscal Year 2013: Plan to start R&D on design basis events and fire failure tests on commercial HEPA filters to identify operational capabilities, failure mechanisms, profiles, and load limits. Testing results will provide increased safety margins for various commercial and Government facilities and sites in the U.S. and in other countries that manage, store, or treat radioactive waste streams e.g. commercial nuclear power facilities. R&D will also improve understanding of HEPA filter operation. Additionally, test results may provide an opportunity to update sections of the ASME AG-1 code, if test findings merit revision. Contingent on available funding, may begin preliminary studies of nuclear waste material properties and their impact on mixing/blending and transport processes and technologies. Fiscal Year 2014: Continue FY 13 R&D testing to completion.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Dr. John R. Shultz 19901 Germantown Road, Germantown, Maryland 20874
Email: john.shultz@hq.doe.gov Phone: 3019032422

Website Address:

<http://www.energy.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Research must be beneficial to the overall accomplishment of the program objectives and consistent with the criteria of the EM Merit Review System (i.e., technically meritorious, qualified principal investigators, adequate facilities for conduct of the work, past experience and performance history).

81.140 LOS ALAMOS NATIONAL LABORATORY - FIRE PROTECTION

Provide Fire Service Department Services for the Protection of Los Alamos National Laboratory and Los Alamos County - Cooperative Agreement

FEDERAL AGENCY:

Department of Energy

AUTHORIZATION:

31 USC 6305, 31 U.S.C 6304.

OBJECTIVES:

The general objective of this Cooperative Agreement is to provide financial support for staff, response vehicles, specialized tours and training, and the use

of fire station facilities to the Incorporated County of Los Alamos to allow the County to provide an enhanced level of fire department services, including advanced nuclear facility capable, industrial fire suppression, advanced emergency medical, rescue, hazardous materials response, and other services through its municipal fire department.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

Cost effective and efficient management of the delivery of fire department services to LANL, including recruitment, selection, training and promotion of fire department personnel.

Cost effective and efficient management of business aspects of fire department operations, including procurement of services and supplies necessary to perform the agreement, and generating specifications for equipment purchases such as fire department apparatus.

Providing manual fire fighting response and capability.

Providing emergency medical response and capability.

Providing rescue response and capability.

Implementing and providing hazardous materials response capability.

Providing fire and safety related non-emergency services as identified in the agreement such as hydrant testing.

Providing fire department standbys at LANL for designated recurring activities, and on an as-needed basis for special hazards.

Maintaining a minimum training capability for fire fighters.

Maintaining certifications for various fire fighter and fire officer levels.

Maintaining emergency and non-emergency procedures associated with the operation of a fire department.

Maintain a fire department response capability that meets NFPA 1500, NFPA 1710 and other applicable NFPA standards to the extent practical for fire department responses to LANL.

Maintain emergency response plans for all LANL facilities.

Providing agreed upon mutual aid, automatic aid, and outside assistance to the surrounding communities and cities.

Providing other miscellaneous services as defined in the agreement. Fire fighters provided by the County are required to obtain and maintain a DOE security clearance. Fire Department is to follow all LANL security requirements.

Applicant Eligibility:

EMERGENCY PREPAREDNESS: The fire department has an authorized staffing level of 139 fire fighters and officers and 11 civilian support staff. The fire department staff, in addition to being trained as conventional fire fighters responding to fires in residence and businesses, is also trained to respond to fires and other emergencies at nuclear and high hazard facilities. **PLANNING:** The fire department is responsible for the development of Pre-Incident Plans at LANL buildings and facilities. **PUBLIC WORKS:** The fire department is responsible for hydrant testing at LANL. **TRAINING:** Fire fighters receive special training prior to engaging in fire fighting or emergency operations at nuclear and high hazard facilities at LANL, not only to ensure their safety, but to ensure that their actions contribute to control of the incident, versus making the incident worse by possibly spreading contamination or taking actions which result in unnecessary damage to mission critical or costly equipment.

Beneficiary Eligibility:

Los Alamos Fire Department is an agency within Los Alamos County. Los Alamos County is a political subdivision of the State of New Mexico.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

Funds awarded via the NNSA Contracts and Procurement Division, Albuquerque Complex, Albuquerque, New Mexico, coordinating with the NNSA Los Alamos Field Office as the Delegated Program Office. The procedural steps at the NNSA are as follows: Contract Specialist (NA-APM-12), Preparation; Contracting Officer (NA-APM-121), Coordination and Review; Patent Counsel, Coordination and Review; Legal Counsel, Coordination and Review; Independent Review (NA-APM-122.1); Head of Contracting Activity (NA-APM-12), Coordination and Review; Contracting Officer (NA-APM-121), and Final Signature.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. Pending.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: Percent: 20%. Using a sliding scale implemented over the period of performance, October 1, 2013 through September 30, 2018, the County's cost for providing fire protection within Los Alamos County and LANL will be approximately 20 percent of the overall fire department budget. This program does not have MOE requirements. Federal contribution is under \$100M for the 5-year agreement.

Length and Time Phasing of Assistance:

This Cooperative Agreement is for a 5-year term. The period of performance is October 1, 2013 to September 30, 2018. Method of awarding/releasing assistance: lump sum.

Reports:

Special Status Report; Final Scientific/Technical Report; SF-425 Federal Financial Reports; Patent Certification; SF-428 & 428B Final Property Report; Patent Certification; SF-428 Tangible Personal Property Forms Family; Training Plan; LANL Fire Hydrant Flow Testing; LANL Emergency Response Time Report; and DOE Vehicle Mileage Report. No cash reports are required. Monthly Progress Reports Associated with meeting the expectations of the Cooperative Agreement. As required, to support cost-sharing of the Cooperative Agreement. Oversight of the performance attributes of this Cooperative Agreement is the responsibility of the Los Alamos Field Office.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Los Alamos County includes Cooperative Agreement related transactions in its annual Comprehensive Annual Financial Report, completed June 30th of each year.

Records:

Required by the Cooperative Agreement terms and conditions.

Account Identification:

89-0240-0-1-054 - .

Obligations:

(Project Grants (Cooperative Agreements)) FY 12 \$15,092,379; FY 13 est \$16,276,911; and FY 14 est \$18,066,728 - FY14 number is provided prior to negotiation with the Recipient.

Range and Average of Financial Assistance:

For the 1st term of the Cooperative Agreement (FY09-FY13), Federal assistance ranged from \$13,978,318 to \$16,276,911 (estimated). For the new term of the Cooperative Agreement (FY14-FY18), prior to

negotiation, Federal assistance is estimated at \$18,066,728 to \$21,013,921.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Completion of first 3 year cycle for training, tours, and exercise performance of County firefighters for response at Los Alamos National Laboratory. Fiscal Year 2013: Response time tracking initiated. Fiscal Year 2014: Standing up of an independent and fully capable County Hazardous Material Response Team.

REGULATIONS, GUIDELINES, AND LITERATURE:

The Cooperative Agreement notes numerous regulations (both Federal, local, and Laboratory) and guidelines associated with the performance expectations of the Recipient.

Regional or Local Office:

See Regional Agency Offices. For any questions or concerns, please contact the Los Alamos Field Office Emergency Program Manager, Bill Gentile.

Headquarters Office:

William A. Gentile 3747 W. Jemez Road, Los Alamos, New Mexico 87544
Email: gentile@lanl.gov Phone: 505-667-5828

Website Address:

<http://nnsa.energy.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

81.214 ENVIRONMENTAL MONITORING/CLEANUP, CULTURAL AND RESOURCE MGMT., EMERGENCY RESPONSE RESEARCH, OUTREACH, TECHNICAL ANALYSIS

FEDERAL AGENCY:

Department of Energy

AUTHORIZATION:

National Historic Preservation Act of 1966 (Public Law 89-665; 16 U.S.C. 470 et seq.). Subsequent amendments to the Act include Public Laws 91-243, 93-54, 94-422, 94-458, 96-199, 96-244, 96-515, 98-483, 99-514, 100-127, 102-575, 103-437, 104-333, 106-113, 106-176, 106-208, 106-355, 109-453.
Archaeological Resources Protection Act of 1979 (Public Law 96-95; 16 U.S.C. 470aa-mm)
Native American Graves Protection And Repatriation Act of 1990 (Public Law 101-601)
Comprehensive Environmental Response, Compensation, and Liability Act (Superfund) of 1980 42 U.S.C. 9601 et seq.
Solid Waste Disposal Act of 1965, (Public Law 102-386; 42 U.S.C 6961), Section 6001
Atomic Energy Act of 1954 as amended, Public law 83-703; Stat. 9191: 42 U.S.C. 2011 et seq; Department of Energy Organization Act, 42 U.S.C. 7101 Public Law 95-91
Emergency Planning and Community Right to Know Act (42 U.S.C. 11001-11050) of 1986 (Title III of Superfund Amendments and Reauthorization Act (PL 99-499)
Energy Policy Act of 1992
Freedom of Information Act and Privacy Act, 5 U.S.C. 552 and 5 U.S.C. 552a , Executive Order White House Initiative On Historically Black Colleges and Universities, Executive Order 13532, February 26, 2010

White House Initiative On Education Excellence for Hispanics, Executive Order 13555, October 19, 2010

Federal Actions To Address Environmental Justice In Minority Populations And Low-Income Populations, Executive Order, 12898, February 11, 1994.

OBJECTIVES:

Provides technical and financial assistance to State and local government entities for the conduct of projects/activities to support DOE missions;

requirements to manage cultural resources and commitments to provide the public assurances that implemented DOE programs are protective of human health and the environment and educational training and EMs goals to reduce legacy footprint, lifecycle cost and accelerate cleanup and offer research opportunities in science technology, engineering and mathematical (STEM) fields.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

Financial assistance is provided in support of the program objectives. Restrictions on the use of funds depend on the provisions of the specific project agreement. Applicants must meet the guidelines established by DOE or contained in the specific Congressional authorization for a particular program being supported.

Applicant Eligibility:

Research and Development
Environmental Restoration.

Beneficiary Eligibility:

State, Local US Territory/Possession, Minority Group, Low Income.

Credentials/Documentation:

Costs will be determined in accordance with 10 CFR 600 for State and local Governments. OMB Circular No. A-87 applies to this program. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. OMB Circular A-102 applies to this program. OMB Circular No. A-11 applies to this program. The application forms must be downloaded from the Grants.gov website at <http://www.grants.gov>. Applicants for sub-awards from the states should consult the office of the official designated as the single point of contact within the State for more information on the process the State requires to be following in applying for assistance.

Award Procedure:

Evaluations will be conducted by the staff of the Office of Acquisition Management and the applicable Program Office.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. None.

Appeals:

None.

Renewals:

From 90 to 120 days. Renewals are subject to review by the program office and subject to the availability of funds.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. This program has no matching requirements. This program has no matching requirements. Cost sharing is not required, however, strongly encouraged. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Vary. See the following for information on how assistance is awarded/released:
Funds are released through the Electronic Transfer System. Method of awarding/releasing assistance: quarterly.

Reports:

Quarterly reports and technical progress reports containing accomplishments and information on cost status, reported per task is required. Additionally, a final technical report is due 90 days after the end of the performance period.

Performance monitoring is achieved through submission of progress reports and site visits. Quarterly project Financial Cash Transaction Reports are required. Quarterly reports and technical progress reports containing accomplishments and information on cost status, reported per task is required. Quarterly Spend Plan

Quarterly project Financial Cash Transaction Reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Retention of records will be required in accordance with 10 CFR 600.

Account Identification:

89-1191-0-1-250.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 12 \$232,400; FY 13 est \$400,068; and FY 14 est \$4,745,516 - Subject to funds availability.

Range and Average of Financial Assistance:

\$0.00 to \$4,745,516.00. Subject to the availability of funds.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Three previous CFDA 81.502 - Miscellaneous. Fiscal Year 2013: One previous CFDA 81.502 - Miscellaneous. Fiscal Year 2014: Seven previous CFDA 81.502 - Miscellaneous.

REGULATIONS, GUIDELINES, AND LITERATURE:

Financial assistance actions will be completed in accordance with 10 CFR 600, OMB Circulars A-102 and A-133, 2 CFR 180, and all applicable National Policies (Laws and Executive Orders).

Regional or Local Office:

See Regional Agency Offices. Department of Energy, Savannah River Operations Office, P. O. Box A, Aiken, SC 29802.

Headquarters Office:

Angelia Adams Department of Energy, Savannah River Operations Office, P. O. Box A, Aiken, South Carolina 29802 Email: angelia.adams@srs.gov Phone: 803-952-8593 Fax: 803-952-7710

Website Address:

<http://www.srs.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Educational opportunities in interdisciplinary, environmental and waste management education, research and technology transfer. Fiscal Year 2013: State cultural and resource management Environmental justice. Fiscal Year 2014: State cultural and resource management Environmental justice.

CRITERIA FOR SELECTING PROPOSALS:

The selection process will be determined by the criteria announced in the solicitation, if any.

DEPARTMENT OF EDUCATION

84.002 ADULT EDUCATION - BASIC GRANTS TO STATES

FEDERAL AGENCY:

Office of Vocational and Adult Education, Department of Education

AUTHORIZATION:

Adult Education and Family Literacy Act, (AEFLA), (Title II of the Workforce Investment Act of 1998), 20 U.S.C. 9201 et seq.

OBJECTIVES:

To fund local programs of adult education and literacy services, including workplace literacy services, family literacy services, and English literacy and integrated English literacy-civics education programs. Participation in these programs is limited to adults and out-of-school youths aged 16 and older who do not have a high school diploma or equivalent and who are not enrolled or required to be enrolled in a secondary school under State law. See 20 USC 9202(1).

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

The Act lists specific performance measures on which States are assessed. These include: demonstrated improvements in literacy skill levels in reading, writing, and speaking the English language, numeracy, problem-solving, English language acquisition and other literacy skills; placement in, retention in, or completion of postsecondary education, training, unsubsidized employment, or career advancement; receipt of a high school diploma or its equivalent; other objective, quantifiable measures, as identified by the State agency. Not more than 12.5 percent of the State allotment may be used for State leadership activities, including professional development; 82.5 percent or more of the State allotment must be used to support local programs and corrections education and other institutionalized individuals. Not more than 8.25 percent of the State allotment may be used for correctional education and other institutionalized individuals; not more than 5 percent (or \$65,000, whichever is greater) of the State allotment may be used for State administrative costs. Annual appropriations have in recent years set aside an amount that is reserved for English literacy and civics education services to immigrants and other limited English proficient populations. In FY12, the amount was \$75 million. This program is subject to non-supplanting requirements and must use a restricted indirect cost rate which is referenced under 34 CFR 76.564-76.569. For assistance call the Office of the Chief Financial Officer/Indirect Cost Group on 202-708-7770.

Applicant Eligibility:

Formula grants are made to designated eligible State agencies that under State law are responsible for administering or supervising statewide policy for adult education and literacy, including such entities as State educational agencies (SEAs), postsecondary agencies, or workforce agencies. State agencies must provide direct and equitable access to: local educational agencies; public or private nonprofit agencies; community-based organizations of demonstrated effectiveness; institutions of higher education; volunteer literacy organizations of demonstrated effectiveness; libraries; public housing authorities; nonprofit institutions not described above that have the ability to provide literacy services to adults and families; and consortia of the entities described above.

Beneficiary Eligibility:

Adults and out-of-school youths who are 16 years of age and older, who are not enrolled or required to be enrolled in secondary school under State law, and who lack sufficient mastery of basic educational skills to enable them to function effectively in society or do not have a secondary school diploma or its recognized equivalent, and have not achieved an equivalent level of education, or are unable to speak, read, or write the English language.

Credentials/Documentation:

A 5-year State plan as provided for in Section 224 of the AEFLA, Education Department General Administrative Regulations, 34 CFR 74, 76, 77, 79, 80, 81, 82, 85, and 86 and 34 CFR Part 462. The State plan must include a certification from a State official that all provisions and amendments thereto are consistent with the Act. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

The Director of Adult Education and Literacy will provide guidance on specific problems and technical assistance in the preparation of State Plans. An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information

on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Each eligible educational agency must submit to the Secretary of Education a 5-year State plan that sets forth the manner and procedures under which the State will carry out activities to achieve its program objectives and priorities and fulfill the purposes of the Act.

Award Procedure:

The Department approves the 5-year State plan and any amendment thereto and makes allocations to the State each July 1.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

States are afforded an opportunity for hearings.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Initial Allotment: Eligible outlying areas receive an initial allotment of \$100,000 and eligible states, including the District of Columbia and Puerto Rico, receive an initial allotment of \$250,000.

Matching Requirements: Generally, the remainder is allotted to all eligible agencies on the basis of the ratio of adults and youths age 16 and older who are not enrolled or required to be enrolled in secondary school under State law and who do not hold a high school diploma or equivalent. No agency may receive less than 90 percent of its allotment from the preceding fiscal year. The data source is a special tabulation of American Community Survey (ACS) data by the Bureau of the Census. Matching Requirements: To receive a grant, States, the District of Columbia, and Puerto Rico must provide a nonfederal contribution in an amount equal to 25 percent of the total amount of funds expended for adult education and literacy activities in the State. To receive a grant, eligible outlying agencies must provide a nonfederal contribution in an amount equal to 12 percent of the total amount of funds expended for adult education and literacy activities. The program has a nonsupplanting requirement, and therefore the applicant must use a restricted indirect cost rate, which is referenced under 34 CFR 76.564-76.569. For assistance call the Office of the Chief Financial Officer / Indirect Cost Group on 202-708-7770. This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

Funds must be obligated within 27 months of their date of availability. States draw funds as needed under the Electronic Transfer System. See the following for information on how assistance is awarded/released: Contact the program office for this information.

Reports:

Annual Financial and Program Performance Reports in accordance with the provisions in EDGAR, and as approved by OMB. Cash reports are not applicable. Progress reports: Annual Financial and Program Performance Reports in accordance with the provisions in EDGAR as approved by OMB. Expenditure reports: Annual Financial and Program Performance Reports in accordance with the provisions in EDGAR. Performance Monitoring: Annual Financial and Program Performance Reports in accordance with the provisions in EDGAR.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

In accordance with Section 443 of the General Education Provisions Act, grantees must maintain records for 3 years from the date of submission of the final Financial Status Report.

Account Identification:

91-0400-0-1-501.

Obligations:

(Formula Grants) FY 12 \$594,993,333; FY 13 est \$563,954,515; and FY 14 est \$594,993,000

Range and Average of Financial Assistance:

FY 12: \$22,878-\$90,933,921; \$10,254,174 average.

FY 13: \$20,591-\$85,948,533; \$9,719,138 average.

FY 14: \$18,531-\$90,772,520; \$10,253,187 average.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: No Current Data Available. Fiscal Year 2013: No Current

Data Available. Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Adult Education General Provisions and State-Administered Basic Grant Program.

Regional or Local Office:

None.

Headquarters Office:

Cheryl Keenan 400 Maryland Ave., Washington, District of Columbia 20202

Email: cheryl.keenan@ed.gov Phone: (202) 245-6836.

Website Address:

<http://www2.ed.gov/about/offices/list/ovae/pi/AdultEd/index.html>

RELATED PROGRAMS:

84.191 Adult Education_National Leadership Activities

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: No Current

Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

84.004 CIVIL RIGHTS TRAINING AND ADVISORY SERVICES

FEDERAL AGENCY:

Office of Elementary and Secondary Education, Department of Education

AUTHORIZATION:

Civil Rights Act of 1964, Title IV, as amended.

OBJECTIVES:

To provide technical assistance and training services to school districts and other responsible governmental agencies to cope with educational problems occasioned by race, gender, and national origin desegregation.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds support Equity Assistance Centers to provide technical assistance and training, upon request, in the areas of race, sex, and national origin to public school districts and other responsible governmental agencies to promote equitable education opportunities. The centers work in the areas of civil rights and equity. This assistance helps schools and communities ensure that equitable education opportunities are available and accessible for all children.

Applicant Eligibility:

Any private, nonprofit organization or any public agency (other than a State educational agency or school board) may apply.

Beneficiary Eligibility:

Educational personnel and elementary and secondary students in local school districts benefit.

Credentials/Documentation:

More detailed information may be found in the regulations. OMB Circular No.

A-122 for nonprofit organizations applies to this program. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program.

Award Procedure:

After completion of competitive peer review of applications, the Department makes the final decision on the approval and funding of applications.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 30 to 90 Days.

Appeals:

Not Applicable.

Renewals:

Equity assistance centers receive 3-year awards if they meet the criteria for annual continuation in the regulations. Renewals are subject to the availability of appropriations.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Funds are appropriated on an annual basis. Renewals are subject to the availability of appropriations. Method of awarding/releasing assistance: by letter of credit.

Reports:

In accordance with OMB Circular No. A-102 (Attachments H and I) and ED General Administrative Regulations for Direct Project Grant and Contract Programs, 34 CFR 74, 75. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

In accordance with Section 443 of the General Education Provisions Act, grantees must maintain records for 3 years.

Account Identification:

91-1000-0-1-501.

Obligations:

(Project Grants) FY 12 \$6,961,817; FY 13 est \$6,598,460; and FY 14 est \$6,961,817

Range and Average of Financial Assistance:

In FY 12, \$571,170-\$751,304; \$688,261 - average.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: No Current Data Available. Fiscal Year 2013: The Department anticipates making continuation awards. Fiscal Year 2014: No

Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

34 CFR 270, 271, and 272 apply.

Regional or Local Office:

None.

Headquarters Office:

Jenelle Leonard Department of Education, OESE, School Support and Technology Programs, Office of Elementary and Secondary Education, 400 Maryland Ave. S.W., Washington, District of Columbia 20202 Email: fran.walter@ed.gov Phone: (202) 401-3641

Website Address:

<http://www.ed.gov/programs/equitycenters/index.html> or
<http://www.ed.gov/about/contacts/gen/othersites/equity.html>

RELATED PROGRAMS:

84.165 Magnet Schools Assistance

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: <http://www2.ed.gov/programs/equitycenters/11awards.html>.
Fiscal Year 2013: <http://www2.ed.gov/programs/equitycenters/11awards.html>.
Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

New equity assistance center applications are evaluated on the basis of selection criteria, in Section 272.30(a)-(g), addressing mission and strategy, organizational capability, plan of operation, quality of key personnel, budget and cost-effectiveness, evaluation plan, and adequacy of resources. The highest-ranking application for each geographical service area is selected for an award. A competition was conducted in FY11 and will again be conducted in FY14.

84.007 FEDERAL SUPPLEMENTAL EDUCATIONAL OPPORTUNITY GRANTS

(FSEOG)

FEDERAL AGENCY:

Office of Student Financial Assistance Programs, Department of Education

AUTHORIZATION:

Higher Education Act of 1965, as amended, Title IV, Part A, Subpart 3, 20 U.S.C. 1070b-1070b-3.

OBJECTIVES:

To provide need-based grant aid to eligible undergraduate postsecondary students to help meet educational expenses.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

Grants are for undergraduate study, and range from \$100 to \$4,000 per academic year. However, if reasonable study abroad costs exceed the cost of attendance at the home institution, the amount of the grant may exceed the \$4,000 maximum by as much as \$400. A student is eligible to receive a FSEOG for the period of time needed to complete the first undergraduate baccalaureate course of study being pursued by that student. If it awards grants to students in that year an institution is entitled to an administrative cost allowance for an award year of 5 percent of the first \$2,750,000, expended, plus 4 percent of expenditures greater than \$2,750,000 but less than \$5,500,000, plus 3 percent of expenditures in excess of \$5,500,000.

Applicant Eligibility:

Higher education institutions (public, private nonprofit, postsecondary vocational, and proprietary) meeting eligibility requirements may apply.

Beneficiary Eligibility:

Undergraduate students enrolled or accepted for enrollment as regular students; are maintaining satisfactory academic progress in accordance with the standards and practices of the institution, have financial need, do not owe a refund on a Title IV grant, are not in default on a Title IV loan, file a statement of educational purpose, file a statement of registration compliance (Selective Service) and meet citizen/resident requirements may benefit.

Credentials/Documentation:

Institutions must document their eligibility and students must demonstrate financial need. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Representatives of the appropriate ED Regional Office are available for consultation (see listing of ED Regional Offices in Appendix IV). Students should contact the institution(s) they attend or wish to attend. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Participating institutions submit the Fiscal Operations Report/Application to Participate in Federal Student Financial Aid Programs (ED Form 646-1) which is mailed to institutions of postsecondary education each summer by the Federal Student Aid office. New institutions submit only the application form.

Award Procedure:

The Federal Student Aid office determines final awards and notifies participating institutions. Postsecondary institutions determine individual student awards.

Deadlines:

Oct 01, 2013 Deadlines are specified on the application (usually October 1). Contact the program office for additional information.

Range of Approval/Disapproval Time:

Notices of tentative awards sent by February 1, and final award notifications sent by April 1.

Appeals:

Not Applicable.

Renewals:

There are annual renewals.

Formula and Matching Requirements:

Statutory Formula: Title IV, Part A, Subpart 3.

Matching Requirements: Funds are allocated to institutions on the basis of the institution's base guarantee and pro rata share and then on demonstrated need for funding. Institutions award funds to students on the basis of financial need as determined by the Federal Need Analysis Methodology specified in statute. This program requires institutional matching funds. The Federal share may not exceed 75 percent unless waived based on objective criteria established in regulations.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Funds are awarded for use by participating institutions for one award year at a time following the year of application. See the following for information on how assistance is awarded/released: Specified in the award document.

Reports:

A single combined Fiscal Operations Report/Application form for Federal Perkins Loans, FSEOG, and FWS (ED 646-1) is submitted by each institution at the close of each award year (June 30). Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Institutions must have a nonfederal audit done on at least an annual basis at its own expense. Audits are performed at Government expense by the Department of Education's Office of the Inspector General as often as its time schedule will allow or when deemed necessary by the Secretary.

Records:

All records pertaining to the activities of a given fiscal year must be retained for a period of three years following the institution's submission of its Fiscal Operations Report for an award year or until resolution of an applicable audit, whichever is later.

Account Identification:

91-0200-0-1-502.

Obligations:

(Direct Payments for Specified Use) FY 12 \$981,994,000; FY 13 est \$981,994,000; and FY 14 est \$981,994,000

Range and Average of Financial Assistance:

The average award in FY 2012 was \$620. The average award for FY 2013 and FY 2014 is estimated to be \$620.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Approximately 1,584,000 recipients are expected. Fiscal Year 2013: Approximately 1,584,000 recipients are expected. Fiscal Year 2014: Approximately 1,584,000 recipients are expected.

REGULATIONS, GUIDELINES, AND LITERATURE:

34 CFR 676, Student Guide fact sheet, no charge; Student Financial Aid Handbook, no charge; Notification to Members of Congress, list of grantees, published annually or as required, no charge; Federal Needs Analysis Methodology booklet, no charge; Verification Handbook, no charge; training materials, no charge.

Regional or Local Office:

See Regional Agency Offices. Students should contact the educational institution(s) they attend or plan to attend. Educational institutions should contact the Regional Administrator for Student Financial Assistance in the appropriate ED Regional Office (see Appendix IV of the Catalog).

Headquarters Office:

Federal Student Aid Information Center Federal Student Aid (FSA), Department of Education, 400 Maryland Avenue, S.W., Washington, District of Columbia 20202 Phone: (800) 433-3243

Website Address:

<http://ifap.ed.gov>

RELATED PROGRAMS:

64.117 Survivors and Dependents Educational Assistance; 84.031 Higher Education Institutional Aid; 84.033 Federal Work-Study Program; 84.042 TRIO_Student Support Services; 84.044 TRIO_Talent Search; 84.047 TRIO_Upward Bound; 84.063 Federal Pell Grant Program; 84.066 TRIO_Educational Opportunity Centers

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

84.010 TITLE I GRANTS TO LOCAL EDUCATIONAL AGENCIES

(Title I Basic, Concentration, Targeted and Education Finance Incentive Grants)

FEDERAL AGENCY:

Office of Elementary and Secondary Education, Department of Education

AUTHORIZATION:

Elementary and Secondary Education Act of 1965 (ESEA), as amended, Title I, Part A, 20 U.S.C 6301.

OBJECTIVES:

To help local educational agencies (LEAs) improve teaching and learning in high-poverty schools in particular for children failing, or most at-risk of failing, to meet challenging State academic achievement standards.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Use of funds varies, depending on whether a school is operating a schoolwide

program under Section 1114 of Title I of the ESEA or a targeted assistance program under Section 1115 of the ESEA. A school with at least a 40 percent poverty rate may choose to operate a schoolwide program under Section 1114, which allows Title I funds to be combined with other Federal, State, and local funds to upgrade the school's overall instructional program. Schoolwide program schools must receive the amount of non-Federal resources they would have received in the absence of Title I funds. All other participating schools must operate targeted assistance programs, which provide extra instruction to those children failing, or most at risk of failing, to meet challenging State academic achievement standards. Targeted assistance programs must ensure that Title I services supplement, not supplant the regular education programs normally provided with non-Federal funds by local educational agencies. This program is subject to non-supplanting requirements and must use a restricted indirect cost rate which is referenced under 34 CFR 76.564-76.569. For assistance call the Office of the Chief Financial Officer/Indirect Cost Group on (202) 708-7770.

Applicant Eligibility:

State educational agencies (SEAs) and the Secretary of the Interior. Local educational agencies (LEAs) and Indian tribal schools are subgrantees.

Beneficiary Eligibility:

Children in high-poverty schools who are failing, or most at risk of failing, to meet challenging State academic standards.

Credentials/Documentation:

Contact program office. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. SEAs apply for funds as part of Title I, Part A, State plans submitted to the Department of Education in accordance with Section 1111 of ESEA or as part of a consolidated State plan submitted under Section 9302 of ESEA. The plan remains in effect for the duration of the State's participation in Title I, Part A, but must be updated to reflect substantive changes. Participating LEA's must file an approved plan with their SEAs.

Award Procedure:

The Department of Education (ED) makes grants through SEAs to LEAs using statutory formulas based primarily on Census Bureau estimates of the number of children from low-income families in each LEA.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Varies with each State.

Appeals:

If an LEA's plan is disapproved by the SEA it may appeal to the SEA and then to the Department of Education under Section 432 of the General Education Provisions Act (GEPA).

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title I, Part A. The statute includes four separate formulas for allocating Title I Grants to LEAs. Under the statute, Basic and Concentration Grants are funded approximately at the 2001 appropriation level. Basic Grants are allocated to almost all local educational agencies based on each State's per-pupil expenditure for education and the number of school-aged children from low-income families. Concentration Grants are allocated to LEAs having more than 6,500 children from low-income families or a poverty rate of more than 15 percent. In addition, funds appropriated in excess of the fiscal year 2001 level are to be allocated as Targeted Grants, which make higher payments to LEAs with higher numbers or percentages of poor children. The law also includes a separately authorized Education Finance Incentive Grants

formula, which incorporates factors designed to measure a State's commitment to provide sufficient education funding, as well as how equitably that funding is distributed across districts. Allocations from all four formulas are combined into a single award to eligible LEAs. Within LEAs, funds are targeted to schools with the greatest percentages of poor children.

Matching requirements are not applicable to this program.

This program has MOE requirements, see funding agency for further details.

The maintenance-of-effort requirements in sections 1125A(e) and 9521 of the ESEA apply to Title I, Part A.

Length and Time Phasing of Assistance:

Generally, awards to States are made on July 1 and October 30 of the following fiscal year. In addition, funds remain available to States for an additional fiscal year for obligation and expenditure under section 421(b) of GEPA, subject to the carryover limitation of section 1127 of the ESEA. See the following for information on how assistance is awarded/released: Contact program office.

Reports:

States submit annual performance reports. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

In accordance with section 443(a) of the General Education Provisions Act, States and LEAs must maintain certain program records for 3 years.

Account Identification:

91-0900-0-1-501.

Obligations:

(Formula Grants) FY 12 \$14,516,457,000; FY 13 est \$13,760,219,000; and FY 14 est \$14,516,457,000

Range and Average of Financial Assistance:

The range of awards in FY 2012 was \$4,046,550 - \$1,653,831,915. The average award was \$257,306,107. The estimated range of awards in FY 2013 is \$4,038,624 - \$1,540,662,036. The average award is \$243,895,418. The estimated range of awards in FY 2014 is \$67,597,770 - \$1,625,736,697. The average award is \$257,356,622.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: No Current Data Available. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

34 CFR 200. For Title I guidance and other information, contact the program office.

Regional or Local Office:

None.

Headquarters Office:

Susan Wilhelm, Office of Elementary and Secondary Education, Department of Education, 400 Maryland Avenue, SW., Washington, District of Columbia 20202 Email: susan.wilhelm@ed.gov Phone: (202) 260-0984.

Website Address:

<http://www.ed.gov/programs/titleiparta/index.html> .

RELATED PROGRAMS:

84.011 Migrant Education_State Grant Program; 84.013 Title I Program for Neglected and Delinquent Children; 84.377 School Improvement Grants

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

84.011 MIGRANT EDUCATION_STATE GRANT PROGRAM

FEDERAL AGENCY:

Office of Elementary and Secondary Education, Department of Education

AUTHORIZATION:

Elementary and Secondary Education Act of 1965, as amended, Title I, Part C, 20 U.S.C 6391.

OBJECTIVES:

To assist States in ensuring that migratory children have the opportunity to meet the same challenging State content and performance standards that all children are expected to meet.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Program funds are used to support high-quality and comprehensive educational programs that address the needs of migratory children. This program is subject to non-supplanting requirements and must use a restricted indirect cost rate which is referenced under 34 CFR 76.564-76.569.

Applicant Eligibility:

State educational agencies or consortia of State educational agencies.

Beneficiary Eligibility:

Children, ages 0 through 21, of migratory agricultural workers or migratory fishers, including children (i.e. persons age 21 or under) who are agricultural workers or migratory fishers or who are the spouses of migratory workers or migratory fishers, who have moved across school district lines within the past 36 months to obtain temporary or seasonal employment in agriculture or fishing work.

Credentials/Documentation:

States are responsible for ensuring that information documenting a child's eligibility is recorded on a Certificate of Eligibility. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

The State educational agency works closely with local educational agencies, institutions of higher education (IHEs), and public and nonprofit private agencies that serve migratory children. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. State educational agencies submit applications to the Department of Education that meet the requirements of the Elementary and Secondary Education Act and applicable regulations. OMB Circular No. A-87 applies to this program.

Award Procedure:

The Department of Education notifies an approved State educational agency of its award through a Grant Award Notification (GAN).

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

The range of approval/disapproval time is approximately 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title I, Part C. Sec 1303, ESEA.

Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awards are for a period of twelve months. Unspent funds may be carried over into the next fiscal year. See the following for information on how assistance is awarded/released: Electronic funds transfer.

Reports:

Each SEA receiving funds is required to submit an annual report that provides information on the number of children identified as eligible for the program, characteristics of children served the services provided, staff, and student performance. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

In accordance with the General Education Provisions Act and the Education Department General Administrative Regulations, certain program records must be maintained for 3 years.

Account Identification:

91-0900-0-1-501.

Obligations:

(Formula Grants) FY 12 \$393,236,376; FY 13 est \$372,750,624; and FY 14 est \$393,236,000

Range and Average of Financial Assistance:

The range of awards in FY 2012 was \$69,015 - \$134,760,620.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: No Current Data Available. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

34 CFR 200.

Regional or Local Office:

None.

Headquarters Office:

Frances Moran, Office of Migrant Education, Office of Elementary and Secondary Education, Department of Education, 400 Maryland Avenue, SW, Washington, District of Columbia 20202-6135 Email: frances.moran@ed.gov Phone: (202) 260-1164.

Website Address:

<http://www.ed.gov/programs/mep/index.html>.

RELATED PROGRAMS:

84.141 Migrant Education_High School Equivalency Program; 84.144 Migrant Education_Coordination Program; 84.149 Migrant Education_College Assistance Migrant Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Programs operating during the school day, extended-day school projects; and summer/intersession projects. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

84.013 TITLE I STATE AGENCY PROGRAM FOR NEGLECTED AND DELINQUENT CHILDREN AND YOUTH

FEDERAL AGENCY:

Office of Elementary and Secondary Education, Department of Education

AUTHORIZATION:

Elementary and Secondary Education Act, as amended, Title I, Part D.

OBJECTIVES:

To help provide educational continuity for neglected and delinquent children and youth in State-run institutions for juveniles and in adult correctional institutions, so that these youth can make successful transitions to school or employment once they are released.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

The Title I, Part D, Subpart 1, State Agency Neglected and Delinquent program provides formula grants to State educational agencies (SEAs) that then make subgrants to state agencies. State agencies use funds for supplementary education services to help provide education continuity for children and youths in state-operated institutions for children and youths, in community day programs for neglected and delinquent children and youths, and in adult correctional institutions so that these children and youths can make successful transitions to school or employment once they are released. This program is subject to non-supplanting requirements and must use a restricted indirect cost rate which is referenced under 34 CFR 76.564-76.569. For assistance call the Office of the Chief Financial Officer/Indirect Cost Group on (202) 708-7770.

Applicant Eligibility:

SEAs. State agencies responsible for providing free public education for children and youth (1) in institutions for neglected or delinquent children and youth; (2) attending community day programs for neglected or delinquent children and youth; or (3) in adult correctional institutions may apply to their SEA for subgrants.

Beneficiary Eligibility:

Children and youth in institutions for neglected or delinquent children and youth, in community day programs for neglected or delinquent children and youth, and adult correctional institutions.

Credentials/Documentation:

There are cost principles for this program. See 34 CFR 80.22, which incorporates OMB Circular A-87 (Cost Principles for State, Local and Indian Tribal Governments), now codified at 2 CFR Part 225. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. An SEA may apply for State Agency Neglected and Delinquent funds as (1) part of its Title I, Part D, State plan submitted to the Department under Section 1414 of the ESEA or (2) as part of a consolidated State plan under Section 9302 of the ESEA. To receive funds, State agencies must apply to the SEA and provide the information and assurances required in Section 1414 of the ESEA.

Award Procedure:

The Department of Education's Office of Elementary and Secondary Education awards grants to State educational agencies, that in turn award subgrants to the State agencies that have submitted applications.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Varies with each State.

Appeals:

Not Applicable.

Renewals:

Although State plans are effective for the duration of Title I's authorization, SEAs are required to update plans to reflect changes. State agencies must

update their applications annually to receive funds.

Formula and Matching Requirements:

Statutory Formula: Title I, Part D, Subpart 1.

Matching requirements are not applicable to this program.

This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

Generally, awards to States are made from July 1 to September 30 of the following year. Funds remain available to the State for an additional fiscal year for obligation and expenditure. See the following for information on how assistance is awarded/released: Contact the program office for information on how funding is awarded.

Reports:

Each State agency conducting a Title 1, Part D, Subpart 1 program is required to evaluate the program's impact on students served at least once every 3 years. Generally, States report annually on the number of neglected or delinquent students obtaining a secondary school diploma or its recognized equivalent while in the N and D program, the number of neglected or delinquent students earning high school course credits, and the number of long-term neglected or delinquent students who improve mathematics and reading skills as measured through State-approved assessments. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audit requirements for this program are set forth in 34 CFR 80.26, which requires grantees to comply with the Single Audit Act Amendment of 1996.

Records:

In accordance with the General Educational Provisions Act and the Education Department General Administrative Regulations, certain project records must be maintained for three years.

Account Identification:

91-0900-0-1-501.

Obligations:

(Formula Grants) FY 12 \$50,230,884; FY 13 est \$47,614,093; and FY 14 est \$50,231,000

Range and Average of Financial Assistance:

2012: Range: \$83,513 - \$3,003,411; Average: \$941,829.

2013: Range: \$97,406 - \$2,900,230; Average: \$892,764.

2014: Range: \$102,759 - \$3,059,629; Average: \$941,831.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: No Current Data Available. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

34 CFR 200. Contact the program office for additional information.

Regional or Local Office:

None.

Headquarters Office:

John McLaughlin, Office of Elementary and Secondary Education, Department of Education, 400 Maryland Avenue, S.W., Rm. 3C130, Washington, District of Columbia 20202 Email: john.mclaughlin@ed.gov Phone: (202) 401-0962.

Website Address:

<http://www.ed.gov/programs/titleipartd/index.html>

RELATED PROGRAMS:

84.010 Title I Grants to Local Educational Agencies; 93.645 Child Welfare Services_State Grants

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

State agencies are eligible to receive assistance if they are providing free public education for children who are (1) in institutions for neglected or delinquent children; (2) attending community day programs for neglected or delinquent children; or (3) in adult correctional institutions.

84.015 NATIONAL RESOURCE CENTERS PROGRAM FOR FOREIGN LANGUAGE AND AREA STUDIES OR FOREIGN LANGUAGE AND INTERNATIONAL STUDIES PROGRAM AND FOREIGN LANGUAGE AND AREA STUDIES FELLOWSHIP PROGRAM

FEDERAL AGENCY:

Office of Postsecondary Education, Department of Education

AUTHORIZATION:

Higher Education Act of 1965, as amended, Title VI, Part A, Section 602.

OBJECTIVES:

Centers: To promote instruction in modern foreign languages and area and international studies that are critical to national needs by supporting the establishment, strengthening and operation of such programs at colleges and universities. Centers may focus on undergraduate training only or may be comprehensive, including undergraduate, graduate, and professional training components. Fellowships: To meet the needs of the United States for experts in modern foreign languages combined with area studies and world affairs by supporting fellowships for undergraduate and graduate study at institutions of higher education.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Centers: Funds may be used for instructional costs of language and area and international studies programs, administration, lectures, conferences, library resources and staff, outreach, and travel. Fellowships: Funds may be used for academic year and summer fellowships to institutions of higher education (IHEs) in order to assist undergraduate and graduate students in acquiring foreign language and either area or international studies competencies. A fellowship awarded to an undergraduate student may include an allowance for educational programs in the United States or education programs abroad that meet certain criteria.

Applicant Eligibility:

Centers: U.S. institutions of higher education or consortia of institutions of higher education are eligible to apply. Applying institutions provide evidence of existing resources and institutional commitment to language and area and international studies through a curriculum that provides instruction dealing with a particular country or world area and its languages, or with international studies and modern foreign languages, including the international aspects of professional or other fields of study. Fellowships: Accredited U.S. institutions of higher education or consortia of institutions of higher education offering area or international studies programs combined with language study are eligible to apply for allocations of fellowships.

Beneficiary Eligibility:

Centers: U.S. institutions of higher education or consortia of institutions of higher education will benefit. Fellowships: Undergraduate and graduate students enrolled in funded centers and programs will benefit. Student candidates must be U.S. citizens or nationals or permanent residents training in area or international studies and in modern foreign languages programs that have or are developing performance-based language instruction.

Undergraduate students must be engaged in intermediate level study or advanced study of a less commonly taught language. Training must be undertaken through an institution that has an allocation of Foreign Language and Area Studies (FLAS) Fellowships.

Credentials/Documentation:

Contact program office. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Eligible institutions may submit proposals for the funding of centers only, fellowships only, or both when the announcement of a new competition is issued in the Federal Register. Application forms and guidelines for the submission of proposals are available from the Office of the International and Foreign Language Education at the Department of Education.

Award Procedure:

Centers: Final recommendations are made to the Secretary following evaluations from panels of experts that evaluate applications according to published selection criteria. Fellowships: The student should contact his/her sponsoring institution for information concerning application forms, deadlines, and related matters. Institutional proposals are approved or disapproved by the Secretary, following the same procedures as for Center applications.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days. About 120 days.

Appeals:

Not Applicable.

Renewals:

Higher education institutions receiving funds for four year phased program support must submit annual performance reports for the second, third and fourth year for continuation of such support. Students seeking fellowships apply to their institutions for each summer or academic year award period. Renewals are subject to the availability of funds.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Centers: Grants are awarded for project periods of up to 48 months. Payments are made as stipulated in the grant. Renewals are subject to the availability of funds. Fellowships: Academic year and summer fellowship awards to student recipients are made by the institutions on a competitive basis. If summer fellowships are issued, they will be for a summer session in which the equivalent of an academic year's foreign language study is completed. Payments are made by the grantee institution. See the following for information on how assistance is awarded/released: This information is included in the award document.

Reports:

Centers: Centers submit interim and annual performance reports through the International Resource Information System (IRIS). Centers submit a final performance report 90 days after the project end date. Fellowships: FLAS granting institutions report on fellowship award recipients at the beginning and end of each award period. Institutional final reports (awards lists) and budget reports are required annually. Staff monitor grants drawdown using agency financial reports. Cash reports are not applicable. Contact program office. Expenditure reports are not applicable. Reporting on performance measures is required as part of the submittal of the required annual performance report.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. Grantees are subject to audit requirements as described in 34 CFR 74.26.

Records:

All records supporting claims under grant or relating to the accountability for awarded funds must be available upon request: (1) for 3 years after close of the fiscal year in which the grant expired or (2) until the grantee is notified of completion of Federal financial audit, whichever is later. Fellowships: All records bearing on the receipt and expenditure of funds under the program must be available for inspection by the Department: (1) for 3 years after the fiscal year in which grant funds are spent, (2) until audited or for 5 years after grant, or (3) until resolution of any audit questions.

Account Identification:

91-0201-0-1-502.

Obligations:

(Project Grants) FY 12 \$35,398,500; FY 13 est \$35,398,500; and FY 14 est \$35,398,500 - For Fellowships. (Project Grants) FY 12 \$18,048,762; FY 13 est \$18,048,762; and FY 14 est \$22,476,000 - For Centers.

Range and Average of Financial Assistance:

Varies by competition.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: No Current Data Available. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

34 CFR 655, 656, and 657, and the Education Department General Administrative Regulations.

Regional or Local Office:

None.

Headquarters Office:

Carla White, International and Foreign Language Education, Office of Postsecondary Education, Department of Education, 1990 K Street, NW, Washington, District of Columbia 20006 Email: carla.white@ed.gov Phone: (202) 502-7631.

Website Address:

<http://www.ed.gov/programs/iegpsnrc/>; or
<http://www.ed.gov/programs/iegpsflas/>.

RELATED PROGRAMS:

84.016 Undergraduate International Studies and Foreign Language Programs; 84.017 International Research and Studies; 84.021 Overseas Programs - Group Projects Abroad; 84.022 Overseas Programs - Doctoral Dissertation Research Abroad; 84.220 Centers for International Business Education; 84.229 Language Resource Centers

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

34 CFR 655, 656, and 657 apply to the Centers and Fellowships Programs. Selection criteria for evaluating applications and selecting centers are listed in the Title VI, International and Foreign Language Education Program Regulations in 34 CFR 655.31, 656.21 or 656.22. The Secretary will weigh criteria using points indicated in the application package or in a notice published in the Federal Register. 34 CFR 657.21 gives the criteria for selection of institutional awards for fellowship allocations. The Secretary will weigh criteria using points indicated in the application package or in a notice published in the Federal Register.

84.016 UNDERGRADUATE INTERNATIONAL STUDIES AND FOREIGN LANGUAGE PROGRAMS**FEDERAL AGENCY:**

Office of Postsecondary Education, Department of Education

AUTHORIZATION:

Higher Education Act of 1965, as amended, Title VI, Part A, Section 604.

OBJECTIVES:

The Undergraduate International Studies and Foreign Language Program supports awards to institutions of higher education and public and nonprofit private agencies and organizations. The awards assist: (1) institutions of

higher education to plan, develop, and carry out a program to strengthen and improve undergraduate instruction in international studies and foreign languages; and (2) associations and organizations to develop projects that will make an especially significant contribution to strengthening and improving undergraduate instruction in international studies and foreign languages.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Each program assisted with Federal funds must primarily enhance the international academic program of the institution. Eligible activities may include but are not limited to: development of a global or international studies program that is interdisciplinary in design; development of a program that focuses on issues or topics, such as international business or international health; development of an area studies program and its corresponding foreign languages; creation of innovative curricula that combine the teaching of international studies with professional and pre-professional studies, such as engineering; research for and development of specialized teaching materials, including language instruction, i.e., business French; establishment of internship opportunities for faculty and students in domestic and overseas settings; and development of study abroad programs. This program is subject to non-supplanting requirements and must use a restricted indirect cost rate, which is referenced under 34 CFR 75.563. For assistance call the Office of the Chief Financial Officer/Indirect Cost Group on (202) 377-3838.

Applicant Eligibility:

Accredited colleges and universities, and public and nonprofit private agencies and organizations.

Beneficiary Eligibility:

Accredited colleges and universities, and public and nonprofit private agencies and organizations will benefit.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. When funds are available for the support of new programs, announcements are issued in the Federal Register. Application forms and guidelines for submission of proposals are available from the Office of International and Foreign Language Education at the Department of Education.

Award Procedure:

Final recommendations are made to the Secretary of Education following advice from a panel of consultants in accordance with the published criteria.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 120 days.

Appeals:

Not Applicable.

Renewals:

Institutions of higher education and associations and organizations currently receiving funds for two- or three-year phased program support must submit performance reports for continuation funding according to instructions established by the Department. Renewals are subject to the availability of funds.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: The non-Federal share of the cost of the programs assisted may be provided in cash from the private sector corporations or foundations in an amount equal to one-third of the total cost of the programs or may be provided as an in-cash or in-kind contribution from institutional and noninstitutional funds, including State and private sector corporation or foundation contributions, equal to one-half of the total cost of the programs assisted herein. The Secretary may waive or reduce the matching requirement for institutions that are eligible to receive assistance under part A or B of Title III or under Title V of the Higher Education Act of 1965, as amended. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants are awarded for 12-month periods, subject to renewals of one or two years. Payments are made as stipulated in the grant. Renewals are subject to the availability of funds. See the following for information on how assistance is awarded/released: The information is included in the grant award documents.

Reports:

All programs must provide final reports upon completion of the program. Staff monitor grants drawdown using agency financial reports. Cash reports are not applicable. Reporting on performance measures is required as part of the submittal of the required annual performance report. Expenditure reports are not applicable. Contact program office.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grantees are subject to audit requirements as described in 34 CFR 74.26.

Records:

All records supporting claims under a grant or relating to the accountability for awarded funds must be available upon request: (1) For 3 years after close of the fiscal year in which contract was liquidated; or (2) until the grantee is notified of completion of Federal financial audit, whichever is later.

Account Identification:

91-0201-0-1-502.

Obligations:

(Project Grants) FY 12 \$1,998,971; FY 13 est \$1,548,546; and FY 14 est \$3,357,000

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: No Current Data Available. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

34 CFR 655 and 658, and the Education Department General Administrative Regulations.

Regional or Local Office:

None.

Headquarters Office:

Christine Corey, Office of International and Foreign Language Education, Office of Postsecondary Education, Department of Education, 1190 K Street, NW, Washington, District of Columbia 20006 Email: Christine.corey@ed.gov Phone: (202) 502-7629.

Website Address:

<http://www.ed.gov/programs/iegpsugisf/>.

RELATED PROGRAMS:

84.015 National Resource Centers Program for Foreign Language and Area Studies or Foreign Language and International Studies Program and Foreign Language and Area Studies Fellowship Program; 84.018 Overseas

Programs_Special Bilateral Projects; 84.021 Overseas Programs - Group Projects Abroad; 84.022 Overseas Programs - Doctoral Dissertation Research Abroad; 84.229 Language Resource Centers

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

In addition to evaluation on the basis of criteria found in the Education Division General Administrative Regulations (EDGAR) in 34 CFR 74, 75, 77, and 78 the Secretary will further evaluate applications for Federal support for international studies programs in accordance with the criteria published in 34 CFR 655, 658, and 45 CFR 86872.

84.017 INTERNATIONAL RESEARCH AND STUDIES

FEDERAL AGENCY:

Office of Postsecondary Education, Department of Education

AUTHORIZATION:

Higher Education Act of 1965, as amended (HEA), Title VI, Part A, Section 605.

OBJECTIVES:

To improve foreign language, area, and other international studies training through support of research, studies, development of specialized instructional materials, and the publication of specialized materials developed as a result of research conducted under this program.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

The program supports research and studies that may include but are not limited to: (1) Studies and surveys to determine needs for increased or improved instruction in modern foreign languages, area studies, or other international fields including the demand for foreign language, area, and other international specialists in government, education, and the private sector; (2) research on more effective methods of providing instruction and achieving competency in foreign languages, area studies, or other international fields; (3) research on applying performance tests and standards across all areas of foreign language instruction and classroom use; (4) developing and publishing specialized materials for use in foreign language, area studies, and other international fields or for training foreign language, area, and other international specialists; (5) studies and surveys to assess the use of graduates or programs supported under title VI of the HEA by governmental, educational, and private-sector organizations and other studies assessing the outcomes and effectiveness of supported programs; (6) comparative studies of the effectiveness of strategies to provide international capabilities at institutions of higher education; (7) evaluations of the extent to which programs assisted under title VI of the HEA that address national needs would not otherwise be offered; (8) studies and surveys of the use of technologies in foreign language, area studies, and international studies programs; (9) studies and evaluations of effective practices in the dissemination of international information, materials, research, teaching strategies, and testing techniques throughout the educational community, including elementary and secondary schools; (10) evaluations of the extent to which programs assisted under title VI of the HEA reflect diverse perspectives and a wide range of views and generate debate on world regions and international affairs, as described in the grantees application; (11) systematic collection, analysis, and dissemination of data that contribute to achieving the purposes of title VI, part A of the HEA; and (12) support for programs or activities to make data collected, analyzed, or disseminated under title VI, part A publicly available and easy to understand. Funds awarded under this program may not be used for the training of students and teachers.

Applicant Eligibility:

Public and private agencies, organizations, institutions, and individuals may apply.

Beneficiary Eligibility:

Public and private agencies, organizations, institutions, and individuals will benefit.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments. OMB Circular No. A-122 for Non-Profit Organizations, and OMB Circular No. A-21 for Educational Institutions. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. The standard application forms as furnished by the Federal agency and required by OMB Circular No. A-102 must be used for this program. Formal applications should be prepared in response to announcements of mandatory program requirements and closing dates in the Federal Register. Application forms and guidelines for the submission of applications are available from the Office of International and Foreign Language Education at the Department of Education.

Award Procedure:

Applications are reviewed by Department of Education officials and review panels of scholars.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From six to seven months.

Appeals:

Not Applicable.

Renewals:

Projects conducted under a grant may be phased, with the phases following the first phase subject to renewal on a non-competitive basis. Renewals of grants, which were not included in the original negotiations, will be considered in competition with all other new applications and are dependent on the submission of reports that demonstrate that substantial progress has been made. Renewals are subject to the availability of appropriations.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Depends on project, but is generally from 12 to 36 months. Phasing of projects is determined during the negotiation process. See the following for information on how assistance is awarded/released: This information is included in the grant award document.

Reports:

A final report or subject data as established through negotiation. Interim progress reports and a financial report are required. No cash reports are required. Staff monitor grant drawdown using agency financial reports. Expenditure reports are not applicable. Reporting on performance measures is required as part of the submittal of the required annual performance report.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. Grantees are subject to audit requirements as described in 34 CFR 74.26.

Records:

All records supporting claims under grants or relating to accountability for awarded funds must be available on request: (1) For three years after close of the fiscal year of grant expiration or (2) until the grantee is notified of completion of the Federal audit, whichever is later.

Account Identification:

91-0201-0-1-502.

Obligations:

(Project Grants) FY 12 \$1,700,889; FY 13 est \$0; and FY 14 est \$0

Range and Average of Financial Assistance:

Varies by competition.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: No Current Data Available. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Program regulations are available at 34 CFR 655, 660, and the Education Department General Administrative Regulations. Contact the program office for information.

Regional or Local Office:

None.

Headquarters Office:

Beth MacRae, International and Foreign Language Education, Office of Postsecondary Education, Department of Education, 1990 K Street, NW, Washington, District of Columbia 20006 Email: beth.macrae@ed.gov Phone: (202) 502-7596.

Website Address:

<http://www.ed.gov/programs/iegpsirs/>

RELATED PROGRAMS:

84.015 National Resource Centers Program for Foreign Language and Area Studies or Foreign Language and International Studies Program and Foreign Language and Area Studies Fellowship Program; 84.016 Undergraduate International Studies and Foreign Language Programs; 84.018 Overseas Programs_Special Bilateral Projects; 84.021 Overseas Programs - Group Projects Abroad; 84.022 Overseas Programs - Doctoral Dissertation Research Abroad; 84.229 Language Resource Centers

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The criteria for selecting proposals is stated in 34 CFR 655.31, 660.31, 660.32, and 660.33. The Secretary will evaluate applications in accordance with the published criteria. An applicant may receive as many as five or fifteen points or as few as zero points under each criterion. (20 U.S.C. 1121-1127, 3063-3065.)

**84.018 OVERSEAS PROGRAMS_SPECIAL BILATERAL PROJECTS
FEDERAL AGENCY:**

Office of Postsecondary Education, Department of Education

AUTHORIZATION:

Mutual Educational and Cultural Exchange Act of 1961, as amended, Section 102(b)(6), Public Law 87-256.

OBJECTIVES:

To increase mutual understanding and knowledge between the people of the United States and those in other countries by offering qualified U.S. educators opportunities to participate in short-term study seminars abroad on topics in the social sciences and the humanities.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Program funds provide round-trip economy airfare, room and board, tuition and fees for seminars. Actual locations may vary from year-to-year. No dependents are to accompany awardees participating in seminars.

Applicant Eligibility:

Applicants must (1) be U.S. citizens or have permanent resident status; (2) hold at least a bachelor's degree from an accredited college or university; (3) have at least 3 years full-time in teaching, administering or supervising in the humanities, the social sciences or social studies subjects; (4) be currently employed full-time in teaching, administering or supervising in the aforementioned areas; (5) meet any language requirements if applicable (see the application booklet for details). If selected, awardees must furnish evidence of good health and emotional maturity.

Beneficiary Eligibility:

(1) Undergraduate faculty members of 4 year colleges/universities and 2-year community colleges in the fields of the humanities and social sciences; (2) supervisors and secondary (grades 9 through 12) and elementary and junior high school (grades K through 8) teachers of social studies and humanities; and (3) curriculum development specialists, administrators of local and State education agencies who have direct responsibility for developing curriculum in the subject areas encompassed by the social sciences and the humanities.

Credentials/Documentation:

Applicants' basic data; education and professional preparation; present and previous employment; specific statement of objectives; plans to utilize the knowledge and experience gained from the seminar; involvement in educational/professional/civic groups, associations/organizations; personal traits; honors/awards/publications, etc. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

International Education Graduate Programs Service, Department of Education, publishes an annual announcement of opportunities and conducts open competition to select participants. Binational commissions or foundations or other appropriate agencies receive funding to conduct the seminars. The standard application forms as furnished by the Federal agency and as required by OMB Circular No. A-102 must be used for this program. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Eligible applicants may submit applications for a position in one of the seminars when the announcement of a new competition is made through journals, newsletters, educational associations, individuals, etc.

Award Procedure:

Recommendations are made to the Secretary of Education following advice from a panel of consultants. All recommended selections are subject to review and final approval by the presidentially appointed J. William Fulbright Foreign Scholarship Board.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Applicants notified in March of selection for seminars.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

From 4 to 6 weeks. See the following for information on how assistance is awarded/released: The information is included in the award documents.

Reports:

Individual curriculum projects, outreach activities and program evaluations from participants are submitted. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Contact program office.

Audits:

No audits are required for this program.

Records:

Retained for one year.

Account Identification:

91-0201-0-1-502.

Obligations:

(Project Grants) FY 12 \$1,097,637; FY 13 est \$639,218; and FY 14 est \$645,808

Range and Average of Financial Assistance:

Varies by competition.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: No Current Data Available. Fiscal Year 2013: No Current Data Available. Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Education Department General Administrative Regulations. Program announcements and related information are available from the Program Officer for International Education, Department of Education.

Regional or Local Office:

None.

Headquarters Office:

Carly Borgmeier International Education Programs Service, Department of Education, 1990 K St NW, Suite 6080, Washington, District of Columbia 20006 Email: carly.borgmeier@ed.gov Phone: (202) 502-7691

Website Address:

<http://www.ed.gov/programs/iegpsap/>

RELATED PROGRAMS:

84.015 National Resource Centers Program for Foreign Language and Area Studies or Foreign Language and International Studies Program and Foreign Language and Area Studies Fellowship Program; 84.016 Undergraduate International Studies and Foreign Language Programs; 84.017 International Research and Studies; 84.021 Overseas Programs - Group Projects Abroad; 84.022 Overseas Programs - Doctoral Dissertation Research Abroad; 84.229 Language Resource Centers

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available. Fiscal Year 2013: No Current Data Available. Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Contact the Program Office for information.

84.021 OVERSEAS PROGRAMS - GROUP PROJECTS ABROAD**FEDERAL AGENCY:**

Office of Postsecondary Education, Department of Education

AUTHORIZATION:

Mutual Educational and Cultural Exchange Act of 1961, as amended, Section 102(b)(6), Public Law 87-256.

OBJECTIVES:

To contribute to the development and improvement of the study of modern foreign languages and area studies in the United States by providing grants to support overseas projects in training, research, and curriculum development in modern foreign languages and area studies for teachers, students, and faculty engaged in a common endeavor.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

The grant does not provide funds for project-related expenses within the United States. The grant funds may be used in the country of study for the following: maintenance stipend; round-trip international travel; project-related local travel; artifacts; books and other teaching materials; rent for instructional facilities; and clerical and professional services. Projects must focus on the humanities, social sciences and languages, and must focus on one or more of the following areas: Africa, East Asia, South Asia, Southeast Asia and the Pacific, the Western Hemisphere (Central and South America, Mexico, and the Caribbean), East Central Europe and Eurasia, and the Near East. Applications that propose projects focused on Canada or Western Europe will not be funded.

Applicant Eligibility:

Institutions of higher education, State departments of education, private

nonprofit educational organizations, and a consortium of institutions, departments, and organizations.

Beneficiary Eligibility:

A participant must be: a citizen, or permanent resident of the United States, currently employed full-time in a United States school system, institution of higher education, local education agency or state education agency (not applicable to students), and at least one of the following:

- A teacher in an elementary or secondary school (please see note below);
- A faculty member who teaches modern foreign languages or area studies;
- An experienced education administrator responsible for planning, conducting, or supervising programs in modern foreign languages or area studies at the elementary, secondary, or postsecondary levels;
- A graduate student or junior or senior in an institution of higher education, who is a prospective teacher in the areas of social sciences, humanities and foreign languages. The student should meet the provisions set by his or her local and state education agencies; or
- For the Advanced Overseas Intensive Language Training project, the participating student, other than those planning a teaching career, should be planning to apply their language skills and knowledge of countries vital to the United States national security in fields outside teaching, including government, the professions, or international development.

Credentials/Documentation:

Cost will be determined in accordance with OMB Circular No. A-87 for State and local governments. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. An institution or organization interested in the program should plan and propose a group project that would significantly improve its program in foreign language and area studies. Specific guidelines for preparation of proposals as published in the Federal Register are available upon request from the International Education Programs Service (IEPS). The institution or organization must give assurance that the individuals it nominates to undertake the projects are appropriately qualified.

Award Procedure:

With the advice of a panel of academic consultants and an assessment of the feasibility and suitability of each proposal by U.S. Embassies and Binational Commissions in the proposed countries of study, the IEPS will make initial selections, and preliminary recommendations of grant recipients to the Secretary. All recommended selections are subject to review and final approval by the presidentially appointed J. William Fulbright Foreign Scholarship Board.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

For short-term seminar, curriculum development, and group research or study, projects range from 5 weeks to 12 months; projects are up to 48 months for advanced intensive language training projects. See the following for information on how assistance is awarded/released: The information is included in the grant award document.

Reports:

Interim progress, terminal program, and financial reports from the grantee are required. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. Audit requirements as described in 34 CFR 74.26 do not pertain to this program. All fiscal records are subject to audit at any time within five years after close of the fiscal year in which expenditures are made.

Records:

Documents bearing on receipt and expenditure of grant funds must be made available for inspection by the Department: (1) For three years after the close of the fiscal year in which expenditures are made if departmental audit has occurred by that time; (2) until audit or for five years following the end of budget period in which grant expired, whichever is later; or (3) until resolution of outstanding audit questions.

Account Identification:

91-0201-0-1-502.

Obligations:

(Project Grants) FY 12 \$2,990,000; FY 13 est \$3,288,330; and FY 14 est \$3,451,000

Range and Average of Financial Assistance:

Varies by competition.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: No Current Data Available. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

34 CFR 664 and the Education Department General Administrative Regulations.

Regional or Local Office:

None.

Headquarters Office:

Michelle Guilfoil, International Education Programs Service, Office of Postsecondary Education, Department of Education, 1990 K St. NW, 6th Floor, Washington, District of Columbia 20006 Email: michelle.guilfoil@ed.gov Phone: (202) 502-7625.

Website Address:

<http://www.ed.gov/programs/iegpsgpa/>.

RELATED PROGRAMS:

19.400 Educational Exchange_Graduate Students; 19.401 Educational Exchange_University Lecturers (Professors) and Research Scholars; 84.016 Undergraduate International Studies and Foreign Language Programs; 84.017 International Research and Studies; 84.018 Overseas Programs_Special Bilateral Projects; 84.022 Overseas Programs - Doctoral Dissertation Research Abroad; 84.229 Language Resource Centers

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The selection criteria for this program are from 34 CFR 664.31 and are as follows: (a) Plan of operation (20 points), (b) quality of key personnel (10 points), (c) budget and cost effectiveness (10 points), (d) evaluation plan (20

points), (e) adequacy of resources (5 points), (f) potential impact of the project on the development of the study of modern foreign languages and area studies in American education (15 points), (g) relevance to the institution's educational goals and its relationship to its program development in modern foreign languages and area studies (5 points), (h) the extent to which direct experience abroad is necessary to achieve the project's objectives and the effectiveness with which relevant host country resources will be utilized (10 points), and (i) the extent to which the proposed project addresses the competitive preference priorities (10 points). Subject to change. Contact program officer for further information.

84.022 OVERSEAS PROGRAMS - DOCTORAL DISSERTATION RESEARCH ABROAD

FEDERAL AGENCY:

Office of Postsecondary Education, Department of Education

AUTHORIZATION:

Mutual Educational and Cultural Exchange Act of 1961, as amended, Section 102(b)(6), Public Law 87-256.

OBJECTIVES:

To provide opportunities for graduate students to engage in full-time dissertation research abroad in modern foreign language and area studies with the exception of Western Europe. This program is designed to contribute to the development and improvement of the study of modern foreign languages and area studies in the United States.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds will be available to enable doctoral candidates to complete field research for their dissertations. Generally awards will not be available for projects focusing primarily on Western Europe or countries where the United States has no diplomatic representation. Financial provisions include basic stipend computed on the cost-of-living in the country where research is to be conducted; cost of international round trip jet economy air fare for fellow only; baggage allowance, dependents allowance for accompanying dependents based on cost-of-living in host country; project allowance to be utilized for the purchase of expendable materials, services, and supplies, tuition payments to foreign institutions, and local travel expenditures; funds to secure health and accident insurance.

Applicant Eligibility:

Institutions of higher education may apply.

Beneficiary Eligibility:

A candidate for Doctoral Dissertation Research Abroad Fellowship must: (1) be a (a) citizen or national of the United States; or (b) permanent resident of the United States; (2) be a graduate student in good standing at an institution of higher education who, when the fellowship period begins, has been admitted to candidacy in a doctoral degree program in modern foreign languages and area studies at that institution; (3) plan a teaching career in the United States upon graduation; (4) possess adequate skills in the foreign language(s) necessary to carry out the dissertation research project.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

The institutional project director will be responsible for accepting, screening, and forwarding through the Department of Education's Application system to the Advanced Training and Research Team those individual applications which meet the institution's technical and academic criteria and the program's eligibility requirements. Candidates for dissertation research fellowships apply directly to the institutions at which they are enrolled in a Ph.D. program, not to the Department of Education. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Institutions should request

application materials from the Advanced Training and Research Team. Students should address requests for application forms institutional project director at the graduate schools at which they are enrolled in a Ph.D. program.

Award Procedure:

The Advanced Training and Research Team will make initial selection and recommendation of fellowship recipients with the advice of a panel of specialists in foreign language and area studies and comments by U.S. embassies and binational commissions in the proposed countries of research. Selections are subject to review and final approval by the presidentially appointed J. William Fulbright Foreign Scholarship Board. The grant covering successful graduate students is made to the applicant, i.e., institutions of higher education.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

About 180 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Awards are made for at least 6 but not more than 12 months. See the following for information on how assistance is awarded/released: This information is included in the award document.

Reports:

Fellows: Pre-Fellowship reports through the grantee institution are due no later than 30 days prior to travel to begin research. Final reports through the grantee institution are due no later than 90 days after the termination date of the award. Institutions: fiscal reports. No cash reports are required. No progress reports are required. No expenditure reports are required. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grantees are subject to audit requirements as described in 34 CFR 74.26.

Records:

Records bearing on receipt and expenditure of funds to be made available for inspection by the Department for 3 years after close of fiscal year in which expenditures are made if departmental audit has occurred by that time, until audit or for five years following the end of the budget period in which the grant expired (whichever is later) or until resolution of any outstanding audit questions.

Account Identification:

91-0201-0-1-502.

Obligations:

(Project Grants) FY 12 \$3,239,776; FY 13 est \$3,036,248; and FY 14 est \$3,205,066

Range and Average of Financial Assistance:

Not available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: No Current Data Available. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Final regulations published in 34 CFR 662, and in the Education Department General Administrative Regulations.

Regional or Local Office:

None.

Headquarters Office:

Amy Wilson, International Education Programs Service, Office of Postsecondary Education, Department of Education, 1990 K St NW, Room 6084, Washington, District of Columbia 20006 Email: ddra@ed.gov Phone: (202) 502-7689.

Website Address:

<http://www.ed.gov/programs/iegpsddrap/>.

RELATED PROGRAMS:

84.015 National Resource Centers Program for Foreign Language and Area Studies or Foreign Language and International Studies Program and Foreign Language and Area Studies Fellowship Program; 84.016 Undergraduate International Studies and Foreign Language Programs; 84.017 International Research and Studies; 84.018 Overseas Programs_Special Bilateral Projects; 84.021 Overseas Programs - Group Projects Abroad; 84.229 Language Resource Centers

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The selection criteria for this program are from 34 CFR 662.21 and are listed in the following paragraphs. The maximum score for all of the criteria, including the competitive preference priority, is 105 points. The maximum score for each criterion is indicated in parentheses. Quality of proposed project (60 points): In determining the quality of the research project proposed by the applicant, the Secretary considers: (1) The statement of the major hypotheses to be tested or questions to be examined, and the description and justification of the research methods to be used (10 points); (2) the relationship of the research to the literature on the topic and to major theoretical issues in the field, and the project's originality and importance in terms of the concerns of the discipline (10 points); (3) the preliminary research already completed in the United States and overseas or plans for such research prior to going overseas, and the kinds, quality and availability of data for the research in the host country or countries (10 points); (4) the justification for overseas field research and preparations to establish appropriate and sufficient research contacts and affiliations abroad (10 points); (5) the applicant's plans to share the results of the research in progress and a copy of the dissertation with scholars and officials of the host country or countries (10 points); and (6) the guidance and supervision of the dissertation advisor or committee at all stages of the project, including guidance in developing the project, understanding research conditions abroad, and acquainting the applicant with research in the field (10 points). Qualifications of the applicant (40 points): In determining the qualifications of the applicant, the Secretary considers (1) the overall strength of the applicant's graduate academic record (10 points); (2) the extent to which the applicant's academic record demonstrates strength in area studies relevant to the proposed project (10 points); (3) the applicant's proficiency in one or more of the languages (other than English and the applicant's native language) of the country or countries of research, and the specific measures to be taken to overcome any anticipated language barriers (15 points); and (4) the applicant's ability to conduct research in a foreign cultural context, as evidenced by the applicant's references or previous overseas experience, or both (5 points). Subject to change. Contact program officer for further information.

84.027 SPECIAL EDUCATION_GRANTS TO STATES

FEDERAL AGENCY:

Office of Special Education and Rehabilitative Services, Department of Education

AUTHORIZATION:

Individuals with Disabilities Education Act (IDEA), as amended, Part B, Sections 611-618, 20 U.S.C 1411-1418.

OBJECTIVES:

To provide grants to States to assist them in providing special education and related services to all children with disabilities.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

States must distribute all grant funds that the State does not reserve for administration of the program or other State-level activities to eligible local educational agencies. Funds are used by State and local educational agencies, in accordance with the IDEA, to help provide the special education and related services needed to make a free appropriate public education available to all eligible children and, in some cases, to provide early intervening services.

Applicant Eligibility:

State educational agencies in the 50 States, District of Columbia, Puerto Rico, American Samoa, Commonwealth of the Northern Mariana Islands, Guam and Virgin Islands, the Department of the Interior, and freely associated States. Local educational agencies apply to their State educational agency for subgrants.

Beneficiary Eligibility:

Children with disabilities will benefit.

Credentials/Documentation:

No State or jurisdiction may receive a grant unless the Secretary has approved the annual application and statement of assurances. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. States are eligible to receive funds under this program if the State establishes eligibility for funds under Section 612 of Part B of the IDEA. Local education agencies establish eligibility by meeting local application eligibility requirements of Section 613 of Part B of the IDEA.

Award Procedure:

Once the State application has been approved, a grant award is forwarded to the State department of education. Notification of the award is made to Chief State School Officer. States make subgrants to eligible local educational agencies from their initial grant award after setting aside funds for administration and state-level activities.

Deadlines:

May 10, 2014: The submission date for State applications is May 10 to expedite funding, but plans or amendments are accepted throughout the fiscal year.

Range of Approval/Disapproval Time:

Within 90 days.

Appeals:

The Department must not take final action to disapprove an application until the State is provided reasonable notice and an opportunity for a hearing. The State must request a hearing no later than 30 days after it receives notice of the proposed final determination that the State is not eligible to receive its award.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Statutory citation: IDEA Part B Sec. 611. Funds are allocated among States, including the District of Columbia and Puerto Rico, in accordance with a variety of factors. First, each State is allocated an amount equal to the amount that it received for fiscal year 1999. If the total program

appropriation increases over the prior year, 85 percent of the remaining funds are allocated based on the number of children in the general population, between the ages of 3 through 21, in the age range for which the States guarantee a free appropriate public education (FAPE) to children with disabilities. Fifteen percent of the remaining funds are allocated based on the number of children living in poverty, between the ages of 3 through 21, that are in the age range for which the States guarantee FAPE to children with disabilities. The statute also includes several maximum and minimum allocation requirements when the amount available for distribution to States increases. If the amount available for allocation to States remains the same from one year to the next, States receive the same level of funding as in the prior year. If the amount available for allocation to States decreases from the prior year, any amount available for allocation to States above the 1999 level is allocated based on the relative increases in funding that the States received between 1999 and the prior year. If there is a decrease below the amount allocated for 1999, each States allocation is reduced from the 1999 level.

This program has no matching requirements. However, there are non-supplanting and excess cost requirements. MOE requirements for this program apply to both State Educational Agencies and local educational agencies. Contact the program office for further details. This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

Grants are issued each fiscal year. The Federal obligation period is a 15-month period from July 1 through September 30. States and local education agencies have an additional 12-month period to obligate funds they receive. See the following for information on how assistance is awarded/released: Contact the program office for this information.

Reports:

State Performance Plans (SPPs) and Annual Performance Reports (APR) are submitted to the Department of Education from the State departments of education. No cash reports are required. No progress reports are required. 34 CFR 80.40. 34 CFR 80.41.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

As required by EDGAR for State administered programs (34 CFR 76.730-731). Generally, the State and its subgrantees must retain records related to grant funds and compliance for a period of 3 years from the date the grantee or subgrantee submits its final expenditure report for that funding period. 34 CFR 80.42. Also, all records supporting claims for Federal funds or relating to the accountability of the grantee for the expenditure of such funds must be accessible for administrative review.

Account Identification:

91-0300-0-1-501.

Obligations:

(Formula Grants) FY 12 \$11,577,855,236; FY 13 est \$11,577,855,236; and FY 14 est \$11,577,855,236

Range and Average of Financial Assistance:

In FY 2013, regular annual allotments to States, including DC and Puerto Rico, ranged from \$16.3 million to \$1.16 billion, with an average award of \$208 million. Grants to outlying areas ranged from \$4-5 million to \$14 million. The Department of Interior received \$92 million.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program regulations are at 34 CFR 300, and 34 CFR 76-77,79,80-82,84-86, and 97-99.

Regional or Local Office:

None.

Headquarters Office:

Gregg Corr Office of Special Education Programs, Department of Education, 400 Maryland Avenue, SW, Washington, District of Columbia 20202 Email: gregg.corr@ed.gov Phone: (202) 245-7309

Website Address:

http://www.ed.gov/about/offices/list/osep/index.html.

RELATED PROGRAMS:

84.173 Special Education_Preschool Grants; 84.181 Special Education-Grants for Infants and Families; 84.323 Special Education - State Personnel Development; 84.324 Research in Special Education; 84.326 Special Education_Technical Assistance and Dissemination to Improve Services and Results for Children with Disabilities; 84.327 Special Education_Technology and Media Services for Individuals with Disabilities; 84.328 Special Education_Parent Information Centers; 84.329 Special Education_Studies and Evaluations; 84.373 Special Education_Technical Assistance on State Data Collection

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

States and other eligible entities qualify for funding based upon applications approved under IDEA, Section 612.

84.031 HIGHER EDUCATION_INSTITUTIONAL AID

FEDERAL AGENCY:

Office of Postsecondary Education, Department of Education

AUTHORIZATION:

Higher Education Act of 1965, as amended (HEA). Title III, Part A and Part F, HEA, Title V.

OBJECTIVES:

To help eligible colleges and universities to strengthen their management and fiscal operations and to assist such institutions to plan, develop, or implement activities including endowment building to strengthen the academic quality of their institutions.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Eligible institutions may apply under Part A, Part B or Part F of Title III of the HEA or Title V of the HEA. Title III Part A includes Strengthening Institutions Program (SIP), American Indian Tribally Controlled Colleges and Universities (TCCUs), Alaska Native and Native Hawaiian serving (ANNH) institutions, Asian American and Native American Pacific Islander-serving Institutions (AANAPISI), Native American-Serving Nontribal Institutions (NASNTI) and Predominantly Black Institutions (PBIs). Title III Part B includes the Historically Black Colleges and Universities (HBCUs) program and the Historically Black Graduate Institutions (HBGIs) program. Title III Part A provides funds to eligible institutions to plan, develop, and implement activities for development of faculty, fund, and administrative management, and improvement of academic programs, acquisition of equipment for use in strengthening academic programs and institutional management, and joint use of facilities such as libraries, laboratories, and student services. Title III Part B provides funds to undergraduate HBCUs and graduate HBGIs. Funds may be used for purchase, rental, or lease of scientific equipment; construction, maintenance, renovation, improvement in classroom, library and other instructional facilities; support of faculty exchanges and fellowships; academic instruction in disciplines in which African Americans are underrepresented, purchase of library books, periodicals, and other educational materials; tutoring, counseling, and student services; funds and administrative management; acquisition of equipment for use in funds management; endowment funds; and joint use of facilities. Title V Part A, Developing Hispanic Serving Institutions (HSIs) program provides funds to eligible HSIs for activities such as: faculty development; funds and administrative management; development and improvement of academic programs; endowment funds; curriculum

development; scientific or laboratory equipment for teaching; construction or renovation of instructional facilities; joint use of facilities; academic tutoring; counseling programs; student support services, purchase of educational materials; articulation agreements; creating facilities for distance learning technologies; teacher education programs; K-12 community outreach programs; and the expansion of graduate and professional programs. Title V Part B, Promoting Postbaccalaureate Opportunities for Hispanic Americans program provides funds to eligible HSIs for activities such as: purchase or rental of scientific or laboratory equipment; construction or renovation of instructional facilities; purchase of educational materials; support for low-income postbaccalaureate students; support of academic instruction and faculty and curricular development; creating facilities for distance learning technologies; and collaboration with other institutions of higher education to expand postbaccalaureate offerings. Title III, Part F, Section 371 authorizes funds for Hispanic-serving Institutions STEM and Articulation Programs to support the kinds of activities being supported under the existing Title V program, except that priority is given for applications that propose to increase the number of Hispanic and other low-income students attaining degrees in fields of science, technology, engineering, and mathematics (STEM) and to applications that propose to develop model transfer and articulation agreements between the 2-year and 4-year HSIs in such fields. Funds provided for Title III and Title V may not be used for activities other than those in an approved application, activities that are inconsistent with any applicable State plan of higher education or State plan for desegregation, a school or department of divinity or any religious worship or sectarian activity; general operating and maintenance expenses; indirect costs; or supplanting of other funds available to the institution. These programs are subject to non-supplanting requirements and must use a restricted indirect cost rate which is referenced under 34 CFR 76.563. For assistance call the Office of Chief Financial Officer/Indirect Cost Group on (202) 377-3838.

Applicant Eligibility:

An institution of higher education (IHE) that qualifies as eligible using criteria as specified in the regulations. Under Part A, specific and basic requirements as stated in the program regulations must be met. Eligible applicants include institutions that have: (1) A low average per full-time equivalent (FTE) educational and general expenditures; (2) a substantial percentage of students having Pell Grants or other Federal need-based financial aid. However, a waiver of the low educational and general expenditure requirement and the needy student enrollment requirement may be granted to institutions meeting the criteria specified in the existing regulations. Under Part B HBCUs, historically Black institutions that were established prior to 1964, whose principal mission was, and is, the education of African Americans are eligible. A listing of those institutions is published in program regulations; these HBCUs are also eligible under the Endowment Challenge Grant Program. Institutions eligible for PBI program grants are defined in Title III Section 318(b) of the HEA. Institutions eligible for HBGI program grants are specified in Title III Section 326(e)(1) of the HEA. Under the Title V programs, an HSI is defined as an institution that has an enrollment of undergraduate full-time equivalent students that is at least 25 percent Hispanic as specified in Section 502 of the HEA.

Beneficiary Eligibility:

Applicant institutions of higher education, including those in the territories and possessions that meet statutory eligibility requirements will benefit.

Credentials/Documentation:

Institutions must document that they are accredited by a nationally recognized accrediting agency or that they are making satisfactory progress toward such accreditation. A 4-year institution must have authority to award a bachelor's degree. A 2-year institution must offer a program acceptable for full credit towards a bachelor's degree, or a 2-year program in engineering, mathematics, or the physical or biological sciences designed to prepare the student to work as a technician at a semiprofessional level. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or

her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Applications for funding are available from the Institutional Services office at the Department of Education. Application deadlines are published in the Federal Register.

Award Procedure:

Title III Part A applicants in the SIP, ANNH, AANAPISI, and NASNTI programs and Title V applications are evaluated by external reviewers who are experts in postsecondary education. The Department makes final awards based primarily on the scores assigned to the applications by the external reviewers and the recommendations submitted by the staff of the Institutional Service, Office of Postsecondary Education at the Department of Education.

Title III applicants in the TCCUs, PBIs, HBCUs, and HBGIs programs makes final awards based on a statutory formula.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately six months.

Appeals:

Not Applicable.

Renewals:

Under the SIP, priority is given to applicants who are not already receiving a grant. Institutions that received a 5-year individual development grant are not eligible to receive an additional grant until 2 years after the date on which the 5-year terminates. After fulfilling the wait-out requirement, institutions applying for another grant under the SIP must reapply for eligibility.

Formula and Matching Requirements:

Statutory Formula: Funds are allocated to TCCUs, PBIs, HBCUs, and HBGIs based on a statutory formula. Title III, Part A, Section 316(d); Title III Part A, Section 320; Title III, Part B, Sections 324 and 326(f) of the HEA.

Matching Requirements: Under the SIP, TCCUs, HBCUs, and HSI, institutions of higher education may use no more than 20 percent of grant funds to establish or increase an institution's endowment fund. The endowment funds must be matched at a rate of one non-Federal dollar for each Federal dollar.

Under the HBGIs program, eligible institutions that have project grants over \$1,000,000 must match the entire Federal share on a dollar-for-dollar basis. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Under the SIP, TCCUs, ANNH, AANAPISI and HSI, planning grants are for a period of up to 1 year, individual development grants are for a period of 5 years. See the following for information on how assistance is awarded/released: This information is included in the award document.

Reports:

As required by the Special Grant Terms and Conditions. Staff monitor grants drawdown using agency financial reports. No cash reports are required. Progress reports are required. Expenditure reports are not applicable. Reporting on performance measures is required as part of the submittal of the required annual performance report.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Subject to a Federal audit any time during the term of the grant and within a period of 3 years after the termination of Federal support.

Records:

Must be retained for 5 years after the end of the fiscal year during which the expenditures were made or until the grantee is notified that the records are no longer needed.

Account Identification:

91-0201-0-1-502.

Obligations:

(Project Grants) FY 12 \$3,119,094; FY 13 est \$2,955,946; and FY 14 est \$3,119,094 - Strengthening AANAPISI. (Project Grants) FY 12 \$227,980,301; FY 13 est \$216,055,564; and FY 14 est \$227,980,301 - Strengthening HBCUs. (Project Grants) FY 12 \$27,858,651; FY 13 est \$26,421,067; and FY 14 est \$27,858,651 - Strengthening ANNH. (Project Grants) FY 12 \$22,000,000; FY 13 est \$19,453,111; and FY 14 est \$22,000,000 - PPOHA. (Project Grants) FY 12 \$3,119,094; FY 13 est \$2,955,946; and FY 14 est \$3,119,094 - Strengthening NASNTI. (Project Grants) FY 12 \$100,000,000; FY 13 est \$94,900,000; and FY 14 est \$100,000,000 - HSI STEM. (Project Grants) FY 12 \$100,431,824; FY 13 est \$95,178,637; and FY 14 est \$100,431,824 - Developing Hispanic-Serving Institutions. (Project Grants) FY 12 \$80,623,333; FY 13 est \$76,406,249; and FY 14 est \$80,623,333 - Strengthening Institutions (Part A). (Project Grants) FY 12 \$9,262,461; FY 13 est \$8,777,979; and FY 14 est \$9,262,461 - Strengthening PBIs. (Project Grants) FY 12 \$58,958,358; FY 13 est \$55,874,482; and FY 14 est \$58,958,358 - Strengthening HBGIs. (Project Grants) FY 12 \$55,713,310; FY 13 est \$52,838,349; and FY 14 est \$55,713,000 - Strengthening TCCUs.

Range and Average of Financial Assistance:

Varies by competition.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: No Current Data Available. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

34 CFR 607, 608, 609, 628, the Education Department General Administrative Regulations.

Regional or Local Office:

None.

Headquarters Office:

Leonard Haynes Department of Education, Office of Postsecondary Education, Office of Higher Education Programs, Institutional Service, 1990 K. St., N.W., Washington, District of Columbia 20006 Email: leonard.haynes@ed.gov Phone: (202) 502-7549

Website Address:

<http://www.ed.gov/about/offices/list/ope/idues>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

(a) Under Title III and Title V, the Department appoints review panels to provide comments and recommend ratings for the applications. The reviewers numerically rate each application assigned and provide the Secretary with comments on each. Criteria are published in the Federal Register along with the point value for each criterion. (b) Under TCCUs, HBCUs, HBGIs, and PBIs, funds are allocated based on a statute-driven formula. For Strengthening TCCUs, applicants are limited to tribal colleges and universities, including institutions qualifying for funding under the Tribally Controlled College or University Assistance Act of 1978 or the Navajo Community College Assistance Act of 1978, or cited in section 532 of the Equity in Educational Land Grant Status Act of 1994. To be eligible to receive a grant under the Strengthening HBCUs program, an institution must be designated by the Secretary of Education as a Historically Black College or University. HBGIs receiving discretionary grants are specified in the program statute. To be eligible to receive a grant under the Strengthening PBIs program, an institution must have an enrollment of undergraduate students that is at least 40 percent Black American students; that is at least 1,000 undergraduate students of which

not less than 50 percent of the undergraduate students enrolled at the institution are low-income individuals or first-generation college students (as that term is defined in Section 402A(g)); and of which not less than 50 percent of the undergraduate students are enrolled in an educational program leading to a bachelors or associates degree that the institution is licensed to award by the State in which the institution is located.

84.033 FEDERAL WORK-STUDY PROGRAM

FEDERAL AGENCY:

Office of Student Financial Assistance Programs, Department of Education

AUTHORIZATION:

Higher Education Act of 1965, as amended, Title IV, Part C; 42 U.S.C. 2751-2756a.

OBJECTIVES:

To provide part-time employment to eligible postsecondary students to help meet educational expenses and encourage students receiving program assistance to participate in community service activities.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

The Federal share of compensation paid to students does not exceed 75 percent for work on campus, or work in a public agency or private nonprofit organization, unless waived by the Secretary. The Federal share of compensation paid to students does not exceed 50 percent for jobs with a private-for-profit organization. No more than 25 percent of an institution's work-study allocation may be used for private-for-profit organizations. If it provides FWS employment to its students in that year, an institution is entitled to an administrative cost allowance for an award year of 5 percent of the first \$2,750,000 of its program expenditures, plus up to 4 percent for expenditures greater than \$2,750,000 but less than \$5,500,000, plus up to 3 percent of expenditures in excess of \$5,500,000. An institution is required to use at least 7 percent of its total FWS allocation to pay the Federal share of wages to students employed in community service jobs unless it receives a waiver.

Applicant Eligibility:

Higher education institutions (public, private nonprofit, postsecondary vocational, and proprietary) meeting eligibility requirements may apply.

Beneficiary Eligibility:

Undergraduate, graduate, or professional students enrolled or accepted for enrollment as regular students; are maintaining satisfactory academic progress in accordance with the standards and practices of the institution; have financial need; do not owe a refund on a Title IV grant; are not in default on a Title IV loan; file a statement of educational purpose; file a statement of registration compliance (Selective Service); and meet citizen/resident requirements may benefit.

Credentials/Documentation:

Institutions must document their eligibility and students must demonstrate financial need. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Representatives of the appropriate ED Regional Office are available for consultation. See listing of ED Regional Offices in Appendix IV of the Catalog. Students should contact the institution(s) they attend or plan to attend. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Participating institutions submit a Fiscal Operations Report/Application to Participate in Federal Student Financial Aid programs (ED Form 646-1) which is mailed to institutions of postsecondary education each summer by the Office of Federal Student Aid. New institutions submit only the application form.

Award Procedure:

The Office of Student Financial Aid determines final awards and notifies

participating institutions. Postsecondary institutions determine individual student awards.

Deadlines:

Oct 01, 2013: Deadlines are specified on the application (usually October 1).

Range of Approval/Disapproval Time:

Notices of tentative awards sent by February 1 and final award notifications sent by April 1.

Appeals:

Not Applicable.

Renewals:

Annual.

Formula and Matching Requirements:

Statutory Formula: Title IV, Part C.

Matching Requirements: Under the funding formula, funds are distributed to institutions, first, on the basis of the institution's base guarantee plus the pro rata share received during the 1999-2000 award year under the FWS Program and, then, on the basis of the aggregate need of the eligible students in attendance. Employers of FWS recipients must contribute 25 percent of the funding, (except in the case of private, for-profit organizations, which must match 50 percent) and in the case of established criteria for which the matching requirement is waived.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Funds are awarded for use by participating institutions for one award year at a time following the year of application. See the following for information on how assistance is awarded/released: electronic transfer.

Reports:

A single combined Fiscal Operations Report/Application form for Federal Perkins Loans, FSEOG, and FWS (DE 646-1) is submitted by each institution at the close of each award year (June 30). Cash reports are not applicable. Progress reports are not applicable. A single combined Fiscal Operations Report/Application form for Federal Perkins Loans, FSEOG, and FWS (DE 646-1) is submitted by each institution at the close of each award year (June 30). Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Institutions must have a nonfederal audit done on at least an annual basis at its own expense. Audits may also be performed at Government expense by the Department of Education's Office of the Inspector General as often as its time schedule will allow or when deemed necessary by the Secretary.

Records:

All records pertaining to activities of a given fiscal year must be retained for a period of 3 years following the institution's submission of its Fiscal Operations Report for an award year or until final resolution of an applicable audit, whichever is later.

Account Identification:

91-0199-0-1-502; 91-0200-0-1-502.

Obligations:

(Direct Payments for Specified Use) FY 12 \$1,161,950,000; FY 13 est \$1,161,950,000; and FY 14 est \$1,349,620,000

Range and Average of Financial Assistance:

The average award in FY 2012 was \$1,668. The average award in FY 2013 and FY 2014 is estimated at \$1,668.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Approximately 697,000 recipients are expected. Fiscal Year 2013: Approximately 697,000 recipients are expected. Fiscal Year 2014:

Approximately 809,000 recipients are expected.

REGULATIONS, GUIDELINES, AND LITERATURE:

34 CFR 675. Notification to Members of Congress, list of grantees, published annually or as required, no charge; Student Guide, no charge; Federal Student Aid Handbook, no charge; Federal Needs Analysis Methodology, no charge; Verification Handbook, no charge; Training Materials, no charge.

Regional or Local Office:

See Regional Agency Offices. Students should contact the educational institution(s) they attend or plan to attend. Public and private nonprofit organizations should contact grantee educational institutions in their own State or multi-State areas. Educational institutions should contact the Regional Administrator for Student Financial Assistance in the appropriate ED Regional Office (see Appendix IV of the Catalog).

Headquarters Office:

Federal Student Aid Information Center, Federal Student Aid (FSA), Department of Education, 400 Maryland Avenue, S.W., Washington, District of Columbia 20202 Phone: (800) 433-3243.

Website Address:

<http://www.ifap.ed.gov>; www.studentaid.ed.gov

RELATED PROGRAMS:

84.007 Federal Supplemental Educational Opportunity Grants; 84.031 Higher Education_Institutional Aid; 84.042 TRIO_Student Support Services; 84.044 TRIO_Talent Search; 84.047 TRIO_Upward Bound; 84.063 Federal Pell Grant Program; 84.066 TRIO_Educational Opportunity Centers

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

84.040 IMPACT AID_FACILITIES MAINTENANCE

FEDERAL AGENCY:

Office of Elementary and Secondary Education, Department of Education

AUTHORIZATION:

Elementary and Secondary Education Act of 1965, as amended, Title VIII, Section 8008.

OBJECTIVES:

To maintain school facilities used to serve federally connected military dependent students that are owned by the Department of Education and operated by local educational agencies (LEAs), and to transfer those facilities to the LEAs, where appropriate.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

To maintain federally owned school facilities and restore or improve them where appropriate to enable an LEA to accept ownership. The Department directly oversees construction projects, unless the LEA has agreed to accept transfer of the facility, in which case funds may be provided to the LEA to complete the project after the transfer.

Applicant Eligibility:

LEAs that operate school facilities owned by the Department of Education.

Beneficiary Eligibility:

Public elementary and secondary school children benefit.

Credentials/Documentation:

No Credentials or documentation are required. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. There is no application form for Section 8008 grants. Contact the program office for information.

Award Procedure:

The Department of Education makes final decisions to fund projects.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Contact the program office for this information.

Appeals:

Not Applicable.

Renewals:

Approved construction projects may be revised during construction, subject to appropriate approvals.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The length and terms of an award will be established prior to the award. See the following for information on how assistance is awarded/released: This information is included in the award document.

Reports:

In accordance with OMB Circular No. A-102 (Attachments H and I) and Education Department General Administrative Regulations (EDGAR) for direct project grant and contract programs, Part 100a, Subpart F. See 34 CFR 74, Subpart H, Standards for Grantees Financial Management Systems. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), Audits of States, Local Governments, and Nonprofit Organizations, nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

In accordance with OMB Circular No. A-102 (Attachment C) and Education Department General Administrative Regulations, for direct project grant and contract programs, Part 100a, Subpart F. See 34 CFR 74, Subpart H, Standards for Grantees Financial Management Systems.

Account Identification:

91-0102-0-1-501.

Obligations:

(Project Grants) FY 12 \$4,844,826; FY 13 est \$4,591,412; and FY 14 est \$4,845,000

Range and Average of Financial Assistance:

Not available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: No Current Data Available. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations are published at 34 CFR 222.

Regional or Local Office:

None.

Headquarters Office:

Alfred Lott Department of Education, OESE Impact Aid Programs, 400 Maryland Ave., S.W., Rn, 3/e105, Washington, District of Columbia 20202

Email: impact.aid@ed.gov Phone: (202) 260-3858

Website Address:

<http://www.ed.gov/about/offices/list/oese/impactaid/index.html>.

RELATED PROGRAMS:

84.041 Impact Aid

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Emergency repairs are funded as needed. Priority for more extensive projects is given to LEAs that agree to accept transfer of the school facility.

84.041 IMPACT AID

FEDERAL AGENCY:

Office of Elementary and Secondary Education, Department of Education

AUTHORIZATION:

Elementary and Secondary Education Act (OESE), as amended, Title VIII.

OBJECTIVES:

To provide financial assistance to local educational agencies (LEAs) where affected by Federal activities, i.e., where the tax base of a district is reduced through the Federal acquisition of real property (Section 8002, ESEA), and where the presence of certain children living on Federal property places a financial burden on the LEAs that educate them (Sections 8003 and 8007, ESEA).

TYPES OF ASSISTANCE:

FORMULA GRANTS; Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Under formula awards, most assistance becomes part of the general operating fund of the LEAs. Districts usually deposit the Federal payment in the general operating funds account in accordance with State laws, together with all State and local funds available for current operating expenses and use this combined account to finance the school program in the district. Special additional payments made to LEAs related to enrollments of federally connected children with disabilities must be used for programs and projects designed to meet the special educational and related needs of these children. Payments for construction may be used for construction and renovation, debt service, or other capital fund activities. Under discretionary construction awards, funds must be used for the project grant described in the application and grant award notification. The discretionary construction grant program is subject to non-supplanting requirements and must use a restricted indirect cost rate which is referenced under 34 CFR 76.564-76.569. For assistance call the Office of the Chief Financial Officer/Indirect Cost Group on 202-708-7770.

Applicant Eligibility:

Local educational agencies that provide free public elementary or secondary education may apply. Under Section 8002, generally, assistance is provided to districts where an aggregate of 10 percent or more of the assessed valuation of all real property in the school district as of the time(s) of acquisition has been acquired by the Federal Government since 1938 and the district is not being substantially compensated by revenue from activities on the eligible Federal property. Under Section 8003, assistance is provided to districts where at least three percent or 400 of the total number of eligible federally connected children in average daily attendance (ADA) live on Federal property including Indian lands and/or have a parent who works on Federal property or is on active duty in the uniformed services. Under Section 8007(b), "heavily impacted" LEAs that enroll a high proportion (at least 40 percent) of federally connected children, or have little or no funding capacity, may be eligible for a competitive construction award.

Beneficiary Eligibility:

Public elementary and secondary school children benefit.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Applications are submitted to the Secretary of Education and a copy is sent to the State educational agency (SEA). Applications become available on the Department's e-Grants web site annually, typically in mid November. Applications must show the membership of federally connected children on the survey date chosen by the school district within the period prescribed by the Secretary.

Award Procedure:

Funds are generally made available when an appropriation is made.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Contact the Program Office for this information.

Appeals:

An administrative law hearing is available for any LEA or State adversely affected by any action of the Secretary. The hearing must be requested under the time frame included under the program regulations.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title VIII, Sections 8002, 8003, and 8007(a).
Matching Requirements: Under Section 8002, payments are determined under a statutory formula that considers the assessed valuation of the property acquired by the Federal government and the LEA's local tax rate for current expenditures. Under Section 8003, payments are determined under a statutory formula that considers the average daily attendance of federally connected students for the previous year, the per-pupil expenditure average from the third preceding year, the weight in the statute attached to certain categories of federally connected students, the total current expenditures of the LEA, and the total membership of the LEA. Under Section 8007(a), payments are determined under a statutory formula that considers the average daily attendance of children living on Indian lands and dependents of the military living on and off base. Under Section 8007(b), payments are awarded on a competitive basis to certain eligible LEAs that receive Impact Aid.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Payments are made annually to eligible LEAs. See the following for information on how assistance is awarded/released: This information is included in award documents.

Reports:

No reports are required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. The program staff conducts mail-in or on-site reviews to verify application data and collect additional required information.

Records:

In accordance with the General Education Provision Act and program regulations, an LEA generally must retain records supporting its payment amount for 3 years after the last payment for a fiscal year.

Account Identification:

91-0102-0-1-501.

Obligations:

(Formula Grants) FY 12 \$1,201,953,007; FY 13 est \$1,139,083,653; and FY 14 est \$1,201,953 - This is specific to Section 8003 & 8007(a). (Formula Grants) FY 12 \$66,947,230; FY 13 est \$63,445,489; and FY 14 est \$0 - This is specific to Section 8002, Federal Property Payments. (Project Grants (Discretionary)) FY 12 \$17,440,974; FY 13 est \$16,528,726; and FY 14 est \$17,441,000 - This is specific to Section 8007(b).

Range and Average of Financial Assistance:

For FY 2014, the estimated range of awards for Basic Support payments is expected to be between \$170-\$54,000,000 with an average award of \$993,000; for Payments for Children With Disabilities, the estimated range of awards is expected to be between \$500-\$1,200,000 with an average award of \$54,000. For Impact Aid Construction (Discretionary), the estimated number of awards is expected to be between 7 and 10 awards with an average award of approximately \$2,000,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: 11 awards were made with FY 2012 funds. Fiscal Year 2013: 6 awards were made with FY 2013 funds. Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations are included in 34 CFR 222.

Regional or Local Office:

None.

Headquarters Office:

Alfred Lott Department of Education, OESE Impact Aid Programs, 400 Maryland Ave., SW, Rm. 3E105, Washington, District of Columbia 20202
Email: impact.aid@ed.gov Phone: (202) 260-3858

Website Address:

<http://www.ed.gov/about/offices/list/oease/impactaid/index.html>.

RELATED PROGRAMS:

84.040 Impact Aid_Facilities Maintenance

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Payments are computed on the basis of the statutory formula. Payments are prorated if appropriations are insufficient.

84.042 TRIO STUDENT SUPPORT SERVICES**FEDERAL AGENCY:**

Office of Postsecondary Education, Department of Education

AUTHORIZATION:

Higher Education Act of 1965, as amended, Title IV, Part A, Subpart 2, Chapter 1, Section 402D.

OBJECTIVES:

To provide supportive services to disadvantaged college students to enhance their potential for successfully completing the postsecondary education program in which they are enrolled and increase their transfer rates from 2-year to 4-year institutions. To foster an institutional climate supportive of the success of disadvantaged college students.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

All projects must provide academic tutoring, advice on postsecondary course selection, financial aid counseling, services to improve financial and economic literacy, assistance in applying for graduate and professional programs, and activities to help students in 2-year institutions enroll in 4-year programs. Projects may also provide personal and career counseling; exposure to cultural events; mentoring; services to secure temporary housing during academic breaks for students who are homeless; activities for students with disabilities or limited English proficiency, homeless students, and students in foster care; and grant aid (not to exceed 20 percent of a project's funds).

Applicant Eligibility:

Institutions of higher education and combinations of institutions of higher education may apply.

Beneficiary Eligibility:

Low-income, first generation college students or disabled students who are enrolled or accepted for enrollment at the institution that is the recipient of the grant and who are in need of academic support in order to successfully pursue a program of postsecondary education. At least two-thirds of the project participants must be disabled or must be low-income individuals who are first generation college students. The remaining participants must be disabled, low-income individuals, or first generation college students. One-third of the disabled participants must be low-income. Required low-income criteria for participants are stated in application materials.

Credentials/Documentation:

Costs will be determined in accordance with Education Department General Administrative Regulations (EDGAR). This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Develop a proposal in accordance with regulations, applications, and timetables issued by the Secretary of Education; no State Plan is required. Requests for program applications should be made to the Office of Federal TRIO Programs, College and University Support Team. This program is subject to the provisions of EDGAR.

Award Procedure:

Selected nonfederal field readers evaluate new proposals on the basis of the selection criteria specified in 34 CFR 646.21 and 646.22. Grants are awarded through the Federal TRIO Programs office.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 90 to 180 days.

Appeals:

Yes. The program statute authorizes a second review of unsuccessful applications. The Department implements the second review process in accordance with the process specified in 34 CFR 646.24. An unsuccessful applicant requesting a second review must provide evidence that the Department, an agent of the Department, or a peer reviewer made a technical, administrative, or scoring error in the processing or review of its application.

Renewals:

The grant period is 5 years. Continuation awards for up to five years are approved on an annual basis; subject to the availability of funds and evidence that the grantee is meeting the conditions of the grant and making substantial progress towards meeting the project objectives.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Any grantee that uses funds for student grant aid must match the funds, in cash, from non-Federal funds, in an amount that is not less than 33 percent of the total amount of student grant aid funds, unless the grantee is eligible for a waiver to the match.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Five year projects, with annual continuation awards. Continuation awards are subject to the availability of funds and satisfactory progress by the grantee. See

the following for information on how assistance is awarded/released: Contact the program office.

Reports:

Performance reports are required on an annual basis. No cash reports are required. Progress reports are required for a project in its first year of funding. Staff monitor grant drawdown using agency financial reports. Reporting on performance measures is required as part of the submittal of the required annual performance report.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In accordance with Education Department General Administrative Regulations, 34 CFR 75.702 and 74.61.

Records:

In accordance with Education Department General Administrative Regulations, 34 CFR 75.730, 75.731, and 75.732.

Account Identification:

91-0201-0-1-502.

Obligations:

(Project Grants) FY 12 \$290,326,000; FY 13 est \$291,241,000; and FY 14 est \$291,241,000

Range and Average of Financial Assistance:

Awards in 2012 ranged from \$58,140 to \$1,387,251; the average award was \$282,418.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: The Department made 1,028 continuation awards totaling approximately \$290.3 million. Fiscal Year 2013: No Current Data Available. Fiscal Year 2014: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations, 34 CFR 646 and Education Department General Administrative Regulations (EDGAR).

Regional or Local Office:

None.

Headquarters Office:

Lavelle Wright Department of Education, Office of Postsecondary Education, Federal TRIO Programs, 1990 K St, NW, 7th Floor, Washington, District of Columbia 20006-8510 Email: lavelle.wright@ed.gov Phone: (202) 502-7674

Website Address:

<http://www.ed.gov/programs/triostudsupp/>.

RELATED PROGRAMS:

84.044 TRIO_Talent Search; 84.047 TRIO_Upward Bound; 84.066 TRIO_Educational Opportunity Centers; 84.103 TRIO Staff Training Program; 84.217 TRIO_McNair Post-Baccalaureate Achievement

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Continuation awards are made on the basis of 34 CFR 75.253. For new awards, applicants will be selected under this part on the basis of the criteria set forth in 34 CFR 646.21. Contact the program office for additional information.

84.044 TRIO_TALENT SEARCH

FEDERAL AGENCY:

Office of Postsecondary Education, Department of Education

AUTHORIZATION:

Higher Education Act of 1965, as amended, Title IV, Part A, Subpart 2, Chapter 1, Section 402B, 20 U.S.C. 1070a-12., 20 U.S.C 1070a-12.

OBJECTIVES:

To identify qualified disadvantaged youths with potential for postsecondary education; to encourage them in completing secondary school and in enrolling in programs of postsecondary education; to publicize the availability of, and facilitate the application for, student financial aid; and to increase the number of secondary and postsecondary school dropouts who reenter and complete an educational program.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds are awarded to institutions of higher education, public and private agencies and organizations, combinations of such entities, and secondary schools, to identify qualified disadvantaged youths with potential for postsecondary education, encourage them to complete secondary school and undertake postsecondary educational training, and publicize existing forms of student aid. Projects must provide connections to academic tutoring services, advice on and assistance in selecting secondary and college courses, assistance in preparing for college entrance exams and in completing college applications, information on student financial aid and assistance in completing financial aid applications, connections to services designed to improve financial and economic literacy, and guidance and assistance in re-entering and completing secondary school. Projects may also provide academic tutoring personal and career counseling; information on career options, exposure to college campuses, workshops and counseling for families of students served, mentoring programs involving school teachers and counselors; and services specially designed for students with disabilities or limited English proficiency, homeless children and youth, and students in foster care. A grantee may not use the project as a part of its recruitment program.

Applicant Eligibility:

Institutions of higher education (IHEs), public and private agencies and organizations including community-based organizations with experience in serving disadvantaged youth, combinations of such institutions, agencies and organizations, and as appropriate to the purposes of the program, secondary schools.

Beneficiary Eligibility:

Individuals residing in the target area or attending a target school who have potential for education at the postsecondary level and who can benefit from one or more of the services provided by the project. Two-thirds must be low-income individuals who are also potential first generation college students. Project participants must be between 11 and 27 years old (exceptions allowed). Required low-income criteria for participants are stated in application materials.

Credentials/Documentation:

Costs will be determined in accordance with the Education Department General Administrative Regulations (EDGAR). OMB Circular No. A-21 applies to this program. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Develop a proposal in accordance with regulations, applications, and timetables issued by the Secretary of Education; no State plan is required. Requests for program applications should be made to the Federal TRIO Programs. This program is subject to the provisions of the Education Department General Administrative Regulations (EDGAR).

Award Procedure:

Selected nonfederal field readers evaluate new proposals on the basis of the selection criteria specified in 34 CFR 643.21 and 34 CFR 643.22. New regulations are being developed. Consult the program office for details. Grantees will be selected on the basis of satisfactory proposals and availability

of funds.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

About 90 to 180 days.

Appeals:

The program statute authorizes a second review of unsuccessful applications. The Department implements the second review process in accordance with the process specified in 34 CFR 643.24. An unsuccessful applicant requesting a second review must provide evidence that the Department, an agent of the Department, or a peer reviewer made a technical, administrative, or scoring error in the processing or review of its application.

Renewals:

Awards are for five year project periods. Continuation awards are approved on an annual basis, subject to the availability of funds and the grantee meeting the conditions of the grant and making substantial progress toward meeting the project objectives.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. The statute establishes a minimum grant amount.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Five year projects, annual awards. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Grantees must submit an annual performance report. No cash reports are required. Progress reports (form ED 524B) are required for a project in its first year of funding. Staff monitor grantees' drawdowns using agency financial reports. Reporting on performance measures is required as part of the submittal of the required annual performance report.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In accordance with the Education Department General Administrative Regulations (EDGAR), 34 CFR (74.26, 75.702, 75.910, 74.61.)

Records:

In accordance with EDGAR 34 CFR 75.730, 75.731, and 75.732.

Account Identification:

91-0201-0-1-502.

Obligations:

(Project Grants) FY 12 \$135,969,000; FY 13 est \$135,893,000; and FY 14 est \$135,515,000

Range and Average of Financial Assistance:

Varies by competition. In FY 12, awards ranged from approximately \$227,309 to \$681,210; the average award was \$299,490. The statutory minimum is \$200,000, unless the applicant requests a smaller amount.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: The Department made NCC awards to 454 grantees. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Title 34 Code of Federal Regulations Part 643

Education Department General Administrative Regulations (EDGAR), 34 CFR Parts 74, 75, 77, 79, 82, 84, 85, and 86.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Craig Pooler Student Service Division, Office of Postsecondary Education, Department of Education, 1990 K Street, NW, 7th Floor, Washington, District of Columbia 20006-8510 Email: craig.pooler@ed.gov Phone: (202) 502-7640

Website Address:

<http://www.ed.gov/programs/triotalent/>

RELATED PROGRAMS:

84.042 TRIO_Student Support Services; 84.047 TRIO_Upward Bound; 84.066 TRIO_Educational Opportunity Centers; 84.103 TRIO Staff Training Program; 84.217 TRIO_McNair Post-Baccalaureate Achievement

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

For new awards, the Department will select applicants under the criteria set forth in 643.21 of the program regulations. Contact the program office for additional information.

84.047 TRIO_UPWARD BOUND

FEDERAL AGENCY:

Office of Postsecondary Education, Department of Education

AUTHORIZATION:

Higher Education Act of 1965, as amended, Subpart 2, Title IV, Part A, Section 402C, 20 U.S.C 1070a-13.

OBJECTIVES:

To generate skills and motivation necessary for success in education beyond high school among low-income and potential first-generation college students and veterans. The goal of the program is to increase the academic performance and motivational levels of eligible enrollees so that such persons may complete secondary school and successfully pursue and complete a postsecondary educational program.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Projects must provide academic tutoring services, advice on and assistance in selecting secondary and college courses, assistance in preparing for college entrance exams and in completing college applications, information on student financial aid and assistance in completing financial aid applications, services designed to improve financial and economic literacy, and guidance and assistance in re-entering and completing secondary school. Projects may also provide exposure to cultural events, academic programs and other activities not usually available to disadvantaged youth; personal and career counseling; information on career options; summer college campus residential programs; services specially designed for students with disabilities or limited English proficiency, homeless children and youth, and students in foster care; and to pay stipends to students, not to exceed \$40 a month during the academic year and \$60 a month during the summer. A grantee may not use the project as part of its recruitment program. Separate projects provide special services for veterans and for students with a special interest in math and science.

Applicant Eligibility:

Institutions of higher education, public and private agencies and organizations including community-based organizations with experience in serving disadvantaged youth, combinations of such institutions, agencies and organizations, and as appropriate to the purposes of the program, secondary schools.

Beneficiary Eligibility:

Low-income individuals and potential first generation college students who have a need for academic support in order to successfully pursue a program of postsecondary education. Two-thirds of the participants must be low-income individuals who are also potential first generation college students. The remaining one-third must be either low-income, first-generation college students, or students who have a high risk for academic failure. Required low-income criteria are stated in application materials. Except for veterans, who

can be served regardless of age, project participants must be between 13 and 19 years old and have completed the eighth grade but have not entered the twelfth grade (exceptions allowed).

Credentials/Documentation:

Costs will be determined in accordance with the Education Department General Administrative Regulations (EDGAR). OMB Circular No. A-21 applies to this program. OMB Circular No. A-122 applies to this program (non-profit organization). This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Develop a proposal in accordance with regulations, application and timetables issued by the Secretary of Education. No State plan is required. Requests for program applications should be made to the Federal TRIO Programs, College and University Preparation and Support Team. This program is subject to the Education Department General Administrative Regulations (EDGAR).

Award Procedure:

Selected nonfederal field readers who are not employees of the Federal Government evaluate new proposals on the basis of the selection criteria specified in 34 CFR 645.31 and 34 CFR 645.32. Grantees will be selected on the basis of rank order of total scores and availability of funds.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 180 days.

Appeals:

The program statute authorizes a second review of unsuccessful applications. The Department is developing regulations to implement a second review process for unsuccessful applicants. An unsuccessful applicant requesting a second review must provide evidence that the Department, an agent of the Department, or a peer reviewer made a technical, administrative, or scoring error in the processing or review of its application.

Renewals:

Continuation awards for up to 5 years approved on an annual basis; subject to the availability of funds and the grantee is meeting the conditions of the grant and making substantial progress toward meeting the project objectives.

Formula and Matching Requirements:

This program has no statutory formula. This program has no matching requirements. The statute establishes a minimum grant amount. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Five year projects; annual awards. See the following for information on how assistance is awarded/released: Contact the program office for the information.

Reports:

Performance reports are required on an annual basis. No cash reports are required. Progress reports are required for a project in its first year of funding. Expenditure reports are required. Performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a

year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In accordance with the Education Department General Administrative Regulations (EDGAR), 34 CFR 75.702, 74.61.

Records:

In accordance with (EDGAR) 34 CFR 75.730, 75.731, and 75.732 and 34 CFR 645.43(c).

Account Identification:

91-0201-0-1-502.

Obligations:

(Project Grants) FY 12 \$326,670,000; FY 13 est \$323,660,000; and FY 14 est \$323,679,000

Range and Average of Financial Assistance:

Varies by competitor.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: The Department made 957 new awards totaling \$297.8 million and 86 awards totaling approximately \$28.9 million. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

EDGAR and regulations 34 CFR 645.

Regional or Local Office:

See Regional Agency Offices. Department of Education regional offices. See Appendix IV in the Catalog.

Headquarters Office:

Ken Waters Federal TRIO Programs, Office of Postsecondary Education, Department of Education, 1990 K St., NW, 7th Floor, Washington, District of Columbia 20006-8510 Email: ken.waters@ed.gov Phone: (202) 502-7586.

Website Address:

<http://www.ed.gov/programs/trioupbound/>

RELATED PROGRAMS:

84.042 TRIO_Student Support Services; 84.044 TRIO_Talent Search; 84.066 TRIO_Educational Opportunity Centers; 84.103 TRIO Staff Training Program; 84.217 TRIO_McNair Post-Baccalaureate Achievement

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

For new awards, applicants will be selected on the basis of the criteria set forth in 34 CFR 645.31. Contact the program office for additional information.

84.048 CAREER AND TECHNICAL EDUCATION -- BASIC GRANTS TO STATES

FEDERAL AGENCY:

Office of Vocational and Adult Education, Department of Education

AUTHORIZATION:

Carl D. Perkins Career and Technical Education Act of 2006 (Perkins IV), Title I, 20 U.S.C 2321.

OBJECTIVES:

To develop more fully the academic, career, and technical skills of secondary and postsecondary students who elect to enroll in career and technical education programs.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Funds are used by eligible agencies and their eligible recipients (local subgrantees), in accordance with Perkins IV, to provide career and technical education. Each State must distribute at least 85 percent of its award to local agencies to fund secondary and postsecondary institutions that provide career and technical education services, from which the State may choose to award an amount equivalent to 10 percent of its award to make awards to local agencies

in rural areas, areas with high percentages of career and technical education students, and areas with high numbers of career and technical education students. Each State may keep up to 5 percent (or \$250,000, whichever is greater) of its award for State administration and up to 10 percent of its award to fund leadership activities (and from that amount, it must reserve an amount equivalent to 1 percent of its award to assist individuals in State institutions and between \$60,000 and \$150,000 to fund services to prepare individuals for non-traditional fields). This program is subject to non-supplanting requirements and must use a restricted indirect cost rate, pursuant to 34 CFR 76-564-76.569. For assistance call the Office of the Chief Financial Officer/Indirect Cost Group on (202) 708-7770.

Applicant Eligibility:

The Department of Education makes formula grants to State boards for career and technical education. Eligible recipients for subgrants include local educational agencies and postsecondary institutions.

Beneficiary Eligibility:

A wide range of individuals pursuing career and technical education will benefit.

Credentials/Documentation:

The establishment of a State Board for Career and Technical Education is required. Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Required in order to develop a 5-year State plan for career and technical education, which is also subject to public hearings. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. OMB Circular No. A-21 applies to this program. Eligible agencies submit 5-year State plans for career and technical education, and annual revisions, to the Department pursuant to section 122 of Perkins IV. However, the outlying areas, except for Palau, are eligible to submit an application for Perkins IV funding under the Consolidated Grants to Insular Areas Program. See CFDA 84.403. Eligible recipients submit local plans to the eligible agency in their States pursuant to section 134 of Perkins IV.

Award Procedure:

Once the Secretary approves an eligible agency's 5-year State plan or annual revisions, the Department forwards the grant award to the State official designated by the eligible agency and notifies the State director for CTE. States make subgrants to eligible recipients from their grant awards after setting aside funds for State administration and State leadership activities.

Deadlines:

Mar 30, 2013 The submission date for the State plan or annual revisions is generally late March or early April is set in guidance issued annually by the Department.

Range of Approval/Disapproval Time:

Within 90 days.

Appeals:

The Secretary shall not finally disapprove a State plan, except after giving the eligible agency notice and opportunity for a hearing.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Sec. 111 of the Carl D. Perkins Career and Technical Education Act of 2006. The funding formula is based on State per-capita income and population in three age cohorts (15-19,20-24, and 25-65). The formula provides for a minimum State allocation, and a "hold-harmless"

provision in the formula ensures that no State's share of the appropriation is less than its share of the fiscal year 1998 appropriation. A special provision limits the increase a State with an initial allocation of the 0.5 percent minimum may receive, resulting in a number of States that receive an allocation of less than 0.5 percent of the total. If appropriations result in the amount of funds for allocation to States exceeding the amount of funds allocated to States from the FY 2006 appropriation, up to one-third of the additional funds are allotted to States with FY 2006 grants that are less than the minimum 0.5 percent grant amount and the remainder flows to the other States. In addition, the outlying areas (except the Virgin Islands) receive 0.13 percent of the total appropriated for State Grants to operate the same kinds of career and technical education programs as the States. Also, 1.25 percent of the total appropriation for State Grants is set aside for grants to federally recognized Indian tribes and tribal organizations, and 0.25 percent is set aside for competitive grants to organizations that primarily serve and represent Native Hawaiians. The Bureau of the Census supplies the population data; the Department of Labor supplies the per-capita income data.

Matching Requirements: Section 112(b) of the Perkins Acts requires 50/50 matching for State administration, which is also subject to the hold-harmless requirement imposed by section 323. An outlying area that submits an application for its Perkins IV funds under the Consolidated Grants to Insular Areas Program is not required to match. See CFDA 84.403.

Section 311(b) of the Perkins Act describes maintenance-of-effort requirements.

This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

Generally, annual grants to eligible agencies are made from July 1 to September 30 of the following year. In addition, funds remain available to the eligible agencies for an additional fiscal year for obligation and expenditure under section 421(b) of the General Education Provisions Act, as amended (GEPA). Contact the headquarters office for information on how assistance is awarded/released. See the following for information on how assistance is awarded/released: States draw funds as needed under the Electronic Funds Transfer System.

Reports:

OVAE's Consolidated Annual Report (CAR) for Perkins IV requires annual financial status reports, performance data reports, and program narrative reports. Eligible agencies must also submit Office for Civil Rights Compliance Reports. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

As required by EDGAR for State-administered programs (34 CFR 76.730-76.731). Generally, the eligible agency and its subgrantees must retain records related to grant funds and compliance for a period of 3 years from the date the grantee or subgrantee submits its final expenditure report for that funding period. 34 CFR 80.42. Also, all records supporting claims for Federal funds or relating to the accountability of the grantee for the expenditure of such funds must be accessible for administrative review. See also section 443 of GEPA.

Account Identification:

91-0400-0-1-501.

Obligations:

(Formula Grants) FY 12 \$1,047,095,068; FY 13 est \$1,003,403,511; and FY 14 est \$1,006,354,611

Range and Average of Financial Assistance:

The range or awards in FY 2012 was \$573,136 to \$123,513,546, including the

50 States, the District of Columbia, Puerto Rico, and the Virgin Islands.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Provided funding to 53 states and to outlying areas. Fiscal Year 2013: Provided funding to 53 states and to outlying areas. Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Education Department General Administrative Records (EDGAR) at 34 CFR Parts 74, 76-77, 79, 80-82, 84-86, and 97-99, 74, 76 (except 76.103), 77, 79, 80, 81, 82, and 85; OCR Guidelines for Vocational Education and CFR Parts 100-101, 104-106, 108, and 110.

Regional or Local Office:

None.

Headquarters Office:

Sharon Lee Miller, Department of Education, OVAE Division of High School, Postsecondary and Career Education, 400 Maryland Ave., S.W., Washington, District of Columbia 20202 Email: sharon.miller@ed.gov Phone: (202) 245-7846.

Website Address:

<http://www.ed.gov/about/offices/list/ovae/pi/cte/index.html>.

RELATED PROGRAMS:

84.002 Adult Education - Basic Grants to States; 84.051 Career and Technical Education -- National Programs; 84.101 Career and Technical Education - Indian Set-aside; 84.245 Tribally Controlled Postsecondary Career and Technical Institutions ; 84.259 Native Hawaiian Career and Technical Education

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Eligible agencies qualify for funding based upon their State plans and annual revisions approved under Perkins IV, section 122.

84.051 CAREER AND TECHNICAL EDUCATION -- NATIONAL PROGRAMS

FEDERAL AGENCY:

Office of Vocational and Adult Education, Department of Education

AUTHORIZATION:

Section 114 of the Carl D. Perkins Career and Technical Education Act of 2006 (Perkins IV), 20 U.S.C 2324.

OBJECTIVES:

To provide support, directly or through grants, contracts, or cooperative agreements, for research, development, demonstration, dissemination, evaluation, assessment, capacity-building, and technical assistance activities aimed at improving the quality and effectiveness of career and technical education (CTE) programs authorized under the Perkins Act IV.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Awards are made to support activities designed to improve career and technical education. Announcements of grant competitions are published in the Federal Register. Contract competitions are announced in the FedBizOps.

Applicant Eligibility:

Depending on the activity to be funded, eligible applicants may include institutions of higher education or consortia of institutions of higher education, public or private nonprofit organizations, or consortia thereof, and State boards designated as the sole State agencies for the administration of State career and technical education, or consortia thereof.

Beneficiary Eligibility:

CTE sole State agencies; local educational agencies; CTE providers, and CTE students.

Credentials/Documentation:

Signature by authorized designated officials on the grant application or contract proposal. For State agencies, allowability of costs is determined in accordance with OMB Circular No. A-87 "Cost Principles for State, Local and Indian Tribal Governments"; For costs incurred by non-profit organizations allowability is determined in accordance with OMB Circular A-122, "Cost Principles for Non-Profit Organizations"; and for costs incurred by institutions of higher education allowability is determined in accordance with OMB Circular A-21, "Cost Principles for Educational Institutions". OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applications for grants must be prepared in accordance with the regulations in 34 CFR Part 75, the Department's notices inviting applications, and any notices announcing requirements, priorities, and selection criteria that the Department may publish. Proposals for contracts must be prepared in accordance with program announcements published in the FedBizOps.

Award Procedure:

Applications are reviewed in accordance with section 114 of Perkins IV and with the requirements set forth for application notices and any notices announcing requirements, priorities, and selection criteria that the Department may publish in the Federal Register for a given competition and the regulations at 34 CFR Part 75.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 90 to 120 days.

Appeals:

There are no appeal rights for denial of grants funded under section 114 of Perkins IV. For contracts the Federal Procurement Regulations, 41 CFR 1, 2, 407, and 408 apply.

Renewals:

Terms for continuation awards are specified in the Federal Register notices inviting grant applications. Continuation awards are subject to the availability of appropriations and the grant recipient's performance.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Maximum award period varies by activity. Annual continuation awards are subject to the availability of appropriations and contingent on recipient performance. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Specific contract reporting requirements are contained in the Request for Proposals and contract documents. Reporting requirements for grants are required in the regulations at 34 CFR 74 and 75. Reports are required annually. Reports are required annually. Reports are required annually. Reports are required annually.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

In accordance with Section 443 of the General Education Provisions Act, grantees must maintain records for 3 years after completion of the activity for which the funds are used.

Account Identification:

91-0400-0-1-501.

Obligations:

(Project Grants) FY 12 \$7,829,175; FY 13 est \$7,425,753; and FY 14 est \$17,829,000

Range and Average of Financial Assistance:

Varies by program competition.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Provided funding for the National Research Center on Career and Technical Education and 6 States to establish rigorous programs of study.

Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

EDGAR and Federal Register program notices, as applicable.

Regional or Local Office:

None.

Headquarters Office:

Ricardo Hernandez, Department of Education, OVAE Policy, Research and Evaluation Staff, 400 Maryland Ave., S.W., Washington, District of Columbia 22202 Email: Ricardo.Hernandez@ed.gov Phone: (202) 245-7818.

Website Address:

<http://www2.ed.gov/about/offices/list/ovae/programs.html>

RELATED PROGRAMS:

84.048 Career and Technical Education -- Basic Grants to States

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: A National Research Center on Career and Technical Education and projects to assist States in establishing rigorous programs of study. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Each request for proposal and notice inviting grant applications contains either evaluation criteria or selection criteria, which are applied in the review and selection of contract or grant applications for funding. (See also 34 CFR Part 75 for the menu of the Department's generic selection criteria.)

84.060 INDIAN EDUCATION GRANTS TO LOCAL EDUCATIONAL AGENCIES**FEDERAL AGENCY:**

Office of Elementary and Secondary Education, Department of Education

AUTHORIZATION:

Elementary and Secondary Education Act of 1965 (ESEA), as amended, Title VII, Part A, Subpart 1.

OBJECTIVES:

To address the unique education and culturally related academic needs of Indian students, including preschool children, so that these students can achieve to the same challenging state performance standards expected of all students. This program is the Department's principal vehicle for addressing the particular needs of Indian children.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Grants supplement the regular school program, helping Indian children sharpen their academic skills, assisting students in becoming proficient in the core content areas, and providing students an opportunity to participate in enrichment programs that would otherwise be unavailable. Funds support such activities as after-school programs, tutoring, and dropout prevention. This program is subject to non-supplanting requirements and must use a restricted

indirect cost rate which is referenced under 34 CFR 76.564-76.569. For assistance call the Office of the Chief Financial Officer/Indirect Cost Group on (202) 708-7770.

Applicant Eligibility:

Local educational agencies (LEAs) that enroll at least 10 Indian children or in which Indians constitute at least 25 percent of the total enrollment. These requirements do not apply to LEAs serving Indian children in Alaska, California, and Oklahoma or located on, or in proximity to, an Indian reservation. Schools that receive funding from the Department of Interior (DOI) Bureau of Indian Education (BIE) under Section 1130 of the Education Amendments of 1978, 25 U.S.C. 2001, are eligible to participate in this program. If an eligible LEA does not apply, an Indian tribe that makes up at least half of the LEAs Indian students may apply in lieu of the LEA.

Beneficiary Eligibility:

Eligible Indian children enrolled in eligible local educational agencies, and BIE-funded schools.

Credentials/Documentation:

The applicant must certify the number of eligible Indian children enrolled. The grant award is computed based on this information. An applicant must also assure that it has carried out a number of other required activities, including: holding a public hearing, establishing an Indian parent committee, and making arrangements for an evaluation of the effectiveness of the project. No grant will be made unless the applicant has the written approval of the applicant's Indian parent committee. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

The standard application forms as furnished by the Federal agency must be used for this program. An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. The LEA annually submits to the Department of Education an application describing its proposed project. Application forms are available from the headquarters office.

Award Procedure:

Awards are issued annually directly to the LEA. Awards are made to all applicants that meet the applicable requirements of the Act and that have designed a project that the Secretary of Education determines will substantially increase the educational opportunities of the Indian children served by the applicant.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 160 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title ESEA, Title VII, Part A, Subpart 1, Section 7113. Matching Requirements: The Department calculates the amount of a grant that an LEA receives by multiplying the number of Indian children enrolled in the LEA's schools by the average per-pupil expenditure for the State in which the applicant is located, or 80 percent of the national average per pupil expenditure, whichever is greater. In setting the actual amount of a grant, an applicant's formula amount is adjusted on the basis of available appropriations. Grantees

must receive a minimum grant of \$4,000.

Maintenance-of-Effort Requirements:

In order for an LEA to receive a grant, the appropriate State educational agency (SEA) must certify that the combined fiscal effort of that LEA and the State with respect to the provision of free public education by that LEA for the preceding fiscal year was not less than 90 percent of the combined fiscal effort for that purpose for the second preceding fiscal year. If an LEA does not meet this requirement and the Secretary does not grant a waiver for unusual circumstances, the LEA's grant award is reduced in the proportion by which the LEA failed to meet the combined fiscal effort requirement. DOI/Bureau of Indian Education grantees are exempt from the combined fiscal effort requirement.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grants are awarded for up to 60 months and funds must be spent or obligated within the project period. Payments are made by direct deposit upon request by LEAs. See the following for information on how assistance is awarded/released: Contact the program office for this information.

Reports:

Financial and performance reports are due within 90 days of the termination of the project period. No cash reports are required. No progress reports are required. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records must be maintained 3 years after the completion of the activity for which grant funds were used.

Account Identification:

91-0101-0-1-501.

Obligations:

(Formula Grants) FY 12 \$105,921,429; FY 13 est \$100,381,103; and FY 14 est \$105,921,429

Range and Average of Financial Assistance:

Range of New Awards: \$4,000-\$2,823,022; Average New Award: \$78,024.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: No Current Data Available. Fiscal Year 2013: The Department would award approximately 1,285 grants. Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

34 CFR 74, 75, 77, 78, 79, 80, 81, 82, 85, and 86.

Regional or Local Office:

None.

Headquarters Office:

Bernard Garcia, Office of Indian Education, Department of Education, 400 Maryland Avenue, SW, Washington, District of Columbia 20202 Email: bernard.garcia@ed.gov Phone: (202) 260-1454.

Website Address:

<http://www.ed.gov/about/offices/list/ose/oie/programs.html>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: No Current Data Available. Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Criteria are contained in EDGAR; contact the program office for additional information.

84.063 FEDERAL PELL GRANT PROGRAM

FEDERAL AGENCY:

Office of Student Financial Assistance Programs, Department of Education

AUTHORIZATION:

Higher Education Act of 1965, Title IV, Part A, Subpart 1, as amended.

OBJECTIVES:

To provide eligible undergraduate postsecondary students who have demonstrated financial need with grant assistance to help meet educational expenses.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

The student must be a U.S. citizen or eligible noncitizen who has been accepted for enrollment in, and is making satisfactory academic progress at an eligible institution of higher education. Eligible schools may be public or private nonprofit institutions of higher education, (such as colleges, universities, vocational-technical schools, hospital schools of nursing), and for-profit institutions (proprietary). Eligible males who are at least 18 years or older and born after December 31, 1959, can receive aid only if they have registered with the Selective Service. Graduate students and students who have already earned a bachelor's degree are not eligible for assistance, except those enrolled in an eligible program leading to a professional certification in teaching. Applicants must demonstrate need according to Part F of the Higher Education Act of 1965, as amended.

Applicant Eligibility:

Undergraduate students and students pursuing a teaching certificate enrolled as regular students in an eligible program at an eligible institution of higher education and making satisfactory academic progress. The applicants must be U.S. citizens or eligible noncitizens and have a high school diploma, a GED, or demonstrate the ability to benefit from the program offered.

Beneficiary Eligibility:

Undergraduate students and students pursuing a teaching certificate that are U.S. citizens or eligible noncitizens and meet financial need criteria. Students must be: regular students in an eligible program and enrolled in institutions of higher education, making satisfactory academic progress. Incarcerated students, except those incarcerated in local penal facilities, are ineligible. Students must sign a statement of educational purpose, not owe a refund on a Title IV grant, and not be in default on a Title IV loan. Eligible males that are at least 18 years old and born after December 31, 1959, can receive aid only if they have registered with the Selective Service.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Student completes a "Free Application for Federal Student Aid" and submits it to the agency specified on the form. Students may apply using a paper application, an electronic application, or via the Internet. The Department of Education calculates the student's financial eligibility for assistance and the agency to which the student sent the application returns a notification to the student of his or her eligibility for assistance. The student submits this notification to the institution of his or her choice in order to have his or her award calculated.

Award Procedure:

Institutions act as disbursing agents for the Department of Education. The institution that the student attends calculates and disburses the Federal Pell

Grant, using a payment schedule developed by the Department of Education that determines the amount of the award based on the student's expected family contribution, cost of attendance, and enrollment status.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 15 to 30 days.

Appeals:

An institution, on the basis of supplemental documentation, may rule that an applicant is self-supporting, even though under the standard criteria, the applicant would normally be considered financially dependent on his or her parents. An institution may adjust on a case-by-case basis a student's data elements used to calculate his or her EFC and cost of attendance due to unusual circumstances documented by the institution.

Renewals:

Students who have applied in the previous award year may be eligible to complete a paper or electronic renewal application which contains preprinted student data and requires students only to update certain information. However, eligibility is still annually determined.

Formula and Matching Requirements:

Statutory Formula: Title IV, Part F.

Matching Requirements: Federal Pell Grant eligibility determinations are based on Part F of the HEA, as amended. This national need analysis formula determines financial eligibility for Federal Pell grants and other Federal student aid and is applied uniformly to all applicants. This formula determines a student's "expected family contribution" (EFC). The fundamental elements of this need analysis formula are the parents' and/or the student's income and assets (excluding home), the family's household size, and the number of family members attending postsecondary institutions. The EFC is determined as the sum of: (1) A percentage assessment of net income (remaining income after subtracting allowances for basic living expenses) and (2) a percentage assessment of net assets other than a home (remaining assets after subtracting an asset protection allowance).

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Students are able to receive one Federal Pell Grant during any award year (July 1 through June 30). Funds for the Federal Pell Grant are usually disbursed at least twice during an award year. Students may only receive a Federal Pell Grant until they have received a bachelor's degree. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Institutions will be required to furnish reports periodically on the disbursement of funds, as well as to furnish any other reports the Secretary requires. No reports are required of students. Cash reports are not applicable. Progress reports are not applicable. Institutions will be required to furnish reports periodically on the disbursement of funds, as well as to furnish any other reports the Secretary requires. No reports are required of students. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

All records pertaining to the eligibility of each Federal Pell Grant recipient and all fiscal management records must be maintained by the institutions for a period of 3 years or until an acceptable audit has been completed, whichever is later. Selected students will have the information on their applications verified.

Account Identification:

91-0199-0-1-502; 91-0200-0-1-502.

Obligations:

(Direct Payments for Specified Use) FY 12 \$32,798,175,000; FY 13 est \$33,728,145,000; and FY 14 est \$35,325,135,000

Range and Average of Financial Assistance:

For FY 2012, grants will range from \$555-\$5,550; the average grant is estimated at \$3,675.

For FY 2013, grants will range from \$564-\$5,645; the average grant is estimated at \$3,678.

For FY 2014, grants will range from \$578-\$5,785; the average grant is estimated at \$3,769.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Approximately 8,965,000 recipients are expected. Fiscal Year 2013: Approximately 9,171,000 recipients are expected. Fiscal Year 2014: Approximately 9,373,000 recipients are expected.

REGULATIONS, GUIDELINES, AND LITERATURE:

The Federal Pell Grant Expected Family Contribution formula is set forth in Part F of the Higher Education Act of 1965 as amended. Regulations governing administration of the Pell Grant Program are found in 34 CFR 600, 668, and 690. The Student Guide; Free Application for Federal Student Aid (no charge); "The Expected Family Contribution Formula Guide"; "The Federal Student Aid Handbook."

Regional or Local Office:

See Regional Agency Offices. Federal Student Aid Information Center. Telephone: 1-800-433-3243. Regional Director, Federal Student Aid, the Director of Student Financial Aid at the institution the student wishes to attend, high school guidance counselors, or directors of State agencies.

Headquarters Office:

Federal Student Aid Information Center Federal Student Aid (FSA), Department of Education, 400 Maryland Avenue, S.W., Washington, District of Columbia 20202 Phone: (800) 433-3243

Website Address:

<http://www.ifap.ed.gov>.

RELATED PROGRAMS:

84.007 Federal Supplemental Educational Opportunity Grants; 84.033 Federal Work-Study Program; 84.042 TRIO_Student Support Services; 84.044 TRIO_Talent Search; 84.047 TRIO_Upward Bound; 84.066 TRIO_Educational Opportunity Centers

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The Expected Family Contribution formula is set forth in Part F of the Higher Education Act of 1965, as amended.

84.066 TRIO_EDUCATIONAL OPPORTUNITY CENTERS

FEDERAL AGENCY:

Office of Postsecondary Education, Department of Education

AUTHORIZATION:

Higher Education Act of 1965, as amended, Title IV, Part A, Subpart 2, Chapter 1, Section 402F.

OBJECTIVES:

To provide information on financial and academic assistance available for qualified adults desiring to pursue a program of postsecondary education and to assist them in applying for admission to institutions of postsecondary education.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds are awarded to institutions of higher education, public and private agencies and organizations, and combinations of such entities for the establishment and operation of centers to collect and disseminate information concerning financial aid and academic programs available to participants seeking to pursue postsecondary education and to assist participants in preparing admission and financial aid applications. Centers may also provide,

to secondary school dropouts, guidance on secondary school reentry or entry to an alternative education program. Centers may also provide tutoring, mentoring, and counseling services. A grantee may not use the center as a part of its recruitment program.

Applicant Eligibility:

Institutions of Higher Education, public and private agencies and organizations including community-based organizations with experience in serving disadvantaged youth, combinations of such institutions, agencies and organizations, and as appropriate to the purposes of the program; secondary schools.

Beneficiary Eligibility:

Persons residing in the target area who need one or more of the services provided by the project in order to pursue a program of postsecondary education and who desire to pursue or who are pursuing a program of postsecondary education. Two-thirds of the participants must be low-income individuals who are also potential first-generation college students. Project participants must be at least nineteen years old (exceptions allowed). Required low-income criteria for participants are stated in application materials.

Credentials/Documentation:

Costs will be determined in accordance with the Education Department General Administrative Regulations (EDGAR). OMB Circular A-21 applies. OMB Circular A-122 applies. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Develop a proposal in accordance with regulations, applications, and timetables issued by the Secretary of Education. No State plan is required. Requests for program applications should be made to the Federal TRIO Programs, College and University Preparation and Support Team. This program is subject to the Education Department General Administrative Regulations (EDGAR).

Award Procedure:

Selected non-Federal field readers evaluate new proposals based on the selection criteria established in program regulations 34 CFR 644.21 and 34 CFR 644.22. Grantees will be selected on the basis of satisfactory proposals and availability of funds. Grants are awarded through Federal TRIO Programs office.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 90 to 180 days.

Appeals:

The program statute authorizes a second review of unsuccessful applications. The Department implements the second review process in accordance with the process specified in 34 CFR 644.24. An unsuccessful applicant requesting a second review must provide evidence that the Department, an agent of the Department, or a peer reviewer made a technical, administrative, or scoring error in the processing or review of its application.

Renewals:

Awards are for five years. Continuation awards are approved on an annual basis, subject to the availability of funds and evidence that the grantee is meeting the conditions of the grant and making substantial progress towards meeting the project objectives.

Formula and Matching Requirements:

This program has no statutory formula. This program has no matching requirements. The statute establishes a minimum grant amount.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Five-year projects, annual awards. Continuation awards are subject to the availability of funds and evidence that the grantee is making satisfactory progress. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Grantees must submit an annual performance report. No cash reports are required. Progress reports are required for a project in its first year of funding. Expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In accordance with the Education Department General Administrative Regulations (EDGAR), 34 CFR 74.26, 75.702, 75.910, and 74.61.

Records:

In accordance with Education Department General Administrative Regulations, 34 CFR 75.730, 75.731, and 75.732.

Account Identification:

91-0201-0-1-502.

Obligations:

(Project Grants) FY 12 \$45,902,000; FY 13 est \$46,925,000; and FY 14 est \$46,925,000

Range and Average of Financial Assistance:

Varies by competition. In 2012, awards ranged from \$214,127 to \$1,172,518; the average award was approximately \$364,300.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: The Department made 126 NCC awards totaling \$45.9 million. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

EDGAR and regulation 34 CFR 644. New regulations are under development. Contact the program office for additional details.

Regional or Local Office:

None.

Headquarters Office:

Rachel Couch, Federal TRIO Programs, Office of Postsecondary Education, Department of Education, 1990 K St., NW, 7th Floor, Washington, District of Columbia 20006-8510 Email: rachel.couch@ed.gov Phone: (202) 502-7655.

Website Address:

<http://www.ed.gov/programs/trioec/> .

RELATED PROGRAMS:

84.042 TRIO_Student Support Services; 84.044 TRIO_Talent Search; 84.047 TRIO_Upward Bound; 84.103 TRIO Staff Training Program; 84.217 TRIO_McNair Post-Baccalaureate Achievement

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

For new awards the Selection Criteria in 34 CFR 644.21 are considered. Contact the program office for additional information.

84.101 CAREER AND TECHNICAL EDUCATION - GRANTS TO NATIVE AMERICANS AND ALASKA NATIVES FEDERAL AGENCY:

Office of Vocational and Adult Education, Department of Education

AUTHORIZATION:

Carl D. Perkins Career and Technical Education Act of 2006, Title I, Section 116, 20 U.S.C 2326.

OBJECTIVES:

To make grants, cooperative agreements, or enter into contracts with Indian tribes, tribal organizations, Alaska Native entities, plan, conduct, and administer programs or portions of programs authorized by and consistent with the Carl D. Perkins Career and Technical Education Act of 2006.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

To provide career and technical education opportunities for Native Americans and Alaska Natives. This program is subject to non-supplanting requirements and must use a restricted indirect cost rate, in accordance with 34 CFR 75.253. For assistance with restricted indirect cost rates call the Office of the Chief Financial Officer/Indirect Cost Group on (202) 708-7770.

Applicant Eligibility:

Federally recognized Indian tribes, tribal organizations, Alaska Native entities, and consortia of any of these entities may apply. Additionally, Bureau-funded schools proposing to use their award to assist secondary schools operated and supported by the U.S. Department of the Interior to carry out career and technical education programs may apply.

Beneficiary Eligibility:

Federally recognized Indian tribes, tribal organizations, and Alaska Natives, and Bureau-funded schools except for Bureau-funded schools proposing to use their grants to support secondary school CTE programs.

Credentials/Documentation:

Allowability of costs is determined in accordance with OMB Circular No. A-87 "Costs Principles for State, Local and Indian Tribal Governments" and OMB Circular No. A-21 "Cost Principles for Educational Institutions.". OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Application notices and Application Packages are published in the Federal Register. Applications must be prepared and submitted in accordance with program announcements and Application Packages are published in the Federal Register.

Award Procedure:

Applications are approved using the selection criteria established in the program's notice inviting applications for new awards.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 120 to 150 days after deadline for transmitting applications.

Appeals:

After receiving written notice that the Department of Education will not award a grant, the eligible applicant has 30 calendar days to request, in writing, a hearing to review the Department's decision. (20 U.S.C. 2326(b)(2); 25 U.S.C. 450(f)).

Renewals:

Continuation awards are made annually, for a maximum of 5 years, subject to the availability of appropriations and each grant recipient's performance as shown in end-of-year performance reports.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Up to 5 years. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Specific reporting requirements are contained in the notice inviting applications and in grant award documents. Reports required annually. Reports required annually. Reports required annually. Reports required annually.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

In accordance with Section 443 of the General Education Provisions Act, grantees must maintain records for 3 years from the time that work carried out under the project is completed.

Account Identification:

91-0400-0-1-501.

Obligations:

(Project Grants) FY 12 \$14,037,878; FY 13 est \$13,305,569; and FY 14 est \$12,787,875

Range and Average of Financial Assistance:

Range: \$300,000-\$600,000

Average award: \$400,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Provided funding for 30 continuation awards. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

34 CFR Parts 74.75,77,80,81,82,84,85,86,97,98 and 99. EDGAR, NACTEP Federal Register notice of MARCH 23, 2007 (72 FR 13770 and Application Package.

Regional or Local Office:

None.

Headquarters Office:

Linda Mayo, Department of Education, OVAE Division of Academic and Technical Education 400 Maryland Ave., S.W., Washington, District of Columbia 20202-7241 Email: linda.mayo@ed.gov Phone: (202) 245-7792.

Website Address:

<http://www2.ed.gov/about/offices/list/ovae/programs.html>

RELATED PROGRAMS:

84.048 Career and Technical Education -- Basic Grants to States

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Career and technical education programs for secondary, postsecondary, and adult students. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Selection criteria were published in the notice inviting applications published in the Federal Register on March 23, 2007 (72 FR 13770).

84.103 TRIO STAFF TRAINING PROGRAM**FEDERAL AGENCY:**

Office of Postsecondary Education, Department of Education

AUTHORIZATION:

Higher Education Act of 1965, as amended, Title IV, Part A, Subpart 2, Chapter 1, Section 402G.

OBJECTIVES:

To provide training for staff and leadership personnel employed in, or preparing

for employment in, projects funded under the Federal TRIO Programs (program numbers 84.042, 84.044, 84.047, 84.066, and 84.217.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grants are awarded to eligible entities to provide training for project directors and staff of funded Federal TRIO Programs projects. The grants may provide support for conferences, seminars, internships, workshops, and the publication of manuals designed to improve the operation of Federal TRIO Programs projects.

Applicant Eligibility:

Institutions of higher education and other public and private nonprofit institutions and organizations.

Beneficiary Eligibility:

Leadership personnel, full-time and part-time staff members of projects under the Federal TRIO Programs, and individuals preparing for employment as staff or leadership personnel in projects under the Federal TRIO Special Programs will benefit.

Credentials/Documentation:

Costs will be determined in accordance with the Education Department General Administrative Regulations (EDGAR). OMB Circular No. A-21 applies to this program. OMB Circular No. A-122 applies to this program. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Develop a proposal in accordance with program regulations, applications, and timetables issued by the Department of Education. No State plan is required. Requests for program applications should be made to the Federal TRIO Program, College and University Support Team. This program is subject to the provisions of the Education Department General Administrative Regulations (EDGAR).

Award Procedure:

Selected nonfederal field readers evaluate new proposals on the basis of the selection criteria specified in 34 CFR 642.21 and 34 CFR 642.22. Grants are awarded through the Federal TRIO Programs Office.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 180 days.

Appeals:

The program statute authorizes a second review of unsuccessful applications. The Department implements the second review process for unsuccessful applicants in accordance with 34 CFR 642.25. An unsuccessful applicant requesting a second review must provide evidence that the Department, an agent of the Department, or a peer reviewer made a technical, administrative, or scoring error in the processing or review of its application.

Renewals:

The grant period is 2 years. Grantees may receive one continuation award (for the second year of the grant), subject to the availability of funds and the grantee meeting the conditions of the grant and making substantial progress toward the project objectives.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The grant period is two years. Grantees may receive one continuation award approved on an annual basis subject to the availability of funds and grantee meeting the conditions of the grant and making substantial progress toward meeting the project objectives. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Performance reports are submitted on an annual basis. No cash reports are required. Required for a project in the first year of funding using form ED 524B. Staff monitor grants drawdown using agency financial reports. Reporting on performance measures is required as part of the submittal of the required annual performance form ED 524B.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In accordance with the Education Department General Administrative Regulations (EDGAR and 34 CFR 75.702, 74.61.)

Records:

In accordance with 34 CFR 75.730, 75.731, and 75.732.

Account Identification:

91-0201-0-1-502.

Obligations:

(Project Grants) FY 12 \$1,440,000; FY 13 est \$1,400,000; and FY 14 est \$1,400,000

Range and Average of Financial Assistance:

FY 12, awards ranged from \$125,000 to \$325,000. The average award was approximately \$233,333.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: The Department made 6 new awards for a total of \$1.4 million. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

EDGAR and regulations 34 CFR 642.

Regional or Local Office:

None.

Headquarters Office:

Suzanne Ulmer, Federal Trio Programs, Office of Postsecondary Education, Department of Education, 1990 K Street, NW, 7th Floor, Washington, District of Columbia 20006 Email: suzanne.ulmer@ed.gov Phone: (202) 502-7789.

Website Address:

<http://www.ed.gov/programs/triotrain/>.

RELATED PROGRAMS:

84.042 TRIO_Student Support Services; 84.044 TRIO_Talent Search; 84.047 TRIO_Upward Bound; 84.066 TRIO_Educational Opportunity Centers; 84.217 TRIO_McNair Post-Baccalaureate Achievement

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The Department will select applicants to be funded for new awards under this part on the basis of criteria set forth in 34 CFR 642.21. Contact the program office for additional information.

84.116 FUND FOR THE IMPROVEMENT OF POSTSECONDARY EDUCATION

(FIPSE)

FEDERAL AGENCY:

Office of Postsecondary Education, Department of Education

AUTHORIZATION:

Higher Education Act of 1965, as amended, Title VII, Part B, 20 U.S.C. 1138.

OBJECTIVES:

To provide assistance for innovative programs that improve access to and the quality of postsecondary education.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

The Fund provides project grants for activities sponsored by institutions and agencies that develop and demonstrate more effective approaches to the postsecondary teaching and learning. Each year, the Department establishes funding competitions and priorities. Funded projects are action-oriented, focusing on improvements in practice rather than support for basic research.

Applicant Eligibility:

Eligible applicants include institutions of higher education, other public and private non-profit institutions and agencies and combinations of these institutions and agencies. A range of providers of postsecondary educational services is thus eligible including but not limited to: 2 and 4-year colleges and universities, community organizations, libraries, museums, consortia, and student groups.

Beneficiary Eligibility:

Postsecondary educational institutions and their students will benefit.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Application announcements and resources for applicants are available at the FIPSE home page: <http://www2.ed.gov/about/offices/list/ope/fipse/index.html>. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. In any fiscal year in which funds are available for new awards, the Department of Education publishes a notice in the Federal Register inviting applications and establishing a deadline date.

Award Procedure:

Proposals are reviewed by field readers, by staff, and by appropriate Department officials. State Postsecondary Education Commissions must be given an opportunity to comment on projects funded in their States.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 4 to 8 months.

Appeals:

Not Applicable.

Renewals:

Applications for renewal of multi-year projects are processed and funded before those for new projects. Renewals are subject to the availability of funds.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The Fund will support multi-year projects; grants are awarded on a 1-to 4-year basis. Funds are released as required. Renewals are subject to the availability of appropriations. Method of awarding/releasing assistance: by letter of credit.

Reports:

As required by the Education Department General Administrative Regulations (EDGAR) for direct grant programs (34 CFR 75). Generally, annual financial and progress reports must be submitted as required by grant award terms and conditions. Staff also monitor drawdowns using agency financial reports. Reporting on performance measures is required as part of the submittal of form ED 5248. Cash reports are not applicable. Annual progress reports are required as a part of the submittal of form ED 5248. Annual expenditure reports are required as a part of the submittal of form ED 5248. Annual performance monitoring are required as a part of the submittal of form ED 5248.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grant recipients are required to maintain standard financial records.

Account Identification:

91-0201-0-1-502.

Obligations:

(Project Grants) FY 12 \$3,494,000; FY 13 est \$3,494,000; and FY 14 est \$260,000,000

Range and Average of Financial Assistance:

To be determined.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Current program achievements are highlighted on the following Web sites: FIPSE Grants in Action, FIPSE Award-winning Projects, and Calendar of Presentations by FIPSE Grantees. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

The Education Department General Administrative Regulations (EDGAR) in 34 CFR 74, 75, 77, 79, 82, 84, 85, 86, 97, 98, and 99 apply.

Regional or Local Office:

None.

Headquarters Office:

Sarah Beaton Fund for the Improvement of Postsecondary Education, Office of Postsecondary Education, Department of Education, 1900 K Street, NW, 6th floor., Washington, District of Columbia 20006 Email: sarah.beaton@ed.gov Phone: (202) 502-7621

Website Address:

<http://www2.ed.gov/about/offices/list/ope/fipse/index.html>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: Examples of funded projects may be found using the searchable database of projects funded since 1994 on the program Website. Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Grantees are selected based on the project's significance in improving postsecondary education; feasibility; and appropriateness.

84.120 MINORITY SCIENCE AND ENGINEERING IMPROVEMENT (MSEIP)

FEDERAL AGENCY:

Office of Postsecondary Education, Department of Education

AUTHORIZATION:

Higher Education Act of 1965, as amended, Title III, Part E, Subpart 1.

OBJECTIVES:

To (1) effect long-range improvement in science and engineering education at predominantly minority institutions and (2) increase the participation of underrepresented ethnic minorities, particularly minority women, in scientific and technological careers.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Program funds are generally used to implement institutional projects, special projects and cooperative grants. Institutional grants support the implementation of a comprehensive science improvement plan, which may include any combination of activities for improving the preparation of minority students for careers in science. Special project grants to minority institutions support activities that improve the quality of training in science and engineering at minority institutions. Special project grants to non-minority eligible applicants support activities that provide a needed service to a group of eligible minority institutions. Cooperative grants assist groups of non-profit accredited colleges and universities in working together to conduct a science improvement program. Grant funds may be used for a broad range of activities that address specific barriers that eliminate or reduce the entry of minorities into science and engineering fields. This program is subject to non-supplanting requirements and must use a restricted indirect cost rate which is referenced under 34 CFR 76.564-76.569. For assistance call the Office of the Chief Financial Officer/Indirect Cost Group on (202) 377-3838.

Applicant Eligibility:

Private and public nonprofit accredited (or successfully working toward accreditation) institutions of higher education that award baccalaureate degrees; and are minority institutions; public or private accredited (or successfully working toward accreditation) nonprofit institutions of higher education that award associate degrees, and are minority institutions that have a curriculum that includes science and engineering subjects; and enters into a partnership with public or private nonprofit institutions of higher education that award baccalaureate degrees in science or engineering. Applications may also be submitted by nonprofit science-oriented organizations, professional scientific societies, and institutions of higher education that award baccalaureate degrees, that provide a needed service to a group of minority institutions; or provide in-service training for project directors, scientists, and engineers from minority institutions; or consortia of organizations, that provide needed services to one or more minority institutions, the membership of which may include: institutions of higher education which have a curriculum in science or engineering; institutions of higher education that have graduate or professional programs in science or engineering; research laboratories of, or under contract with the Department of Energy; private organizations that have science or engineering facilities; or quasi-governmental entities that have a significant scientific or engineering mission.

Beneficiary Eligibility:

Private or public accredited (or successfully working toward accreditation) 2- and 4-year institutions of higher education whose enrollments of a single minority or a combination of minorities exceed 50 percent of the total enrollment. Minorities means American Indian; Alaskan Native; Black (not of Hispanic origin); Hispanic (including persons of Mexican, Puerto Rican, Cuban, and Central or South American origin); Pacific Islander; or other ethnic group who are underrepresented in science and engineering.

Credentials/Documentation:

Institutions must provide the information necessary to establish their eligibility for participation in MSEIP. The data on enrollment furnished to the Office for Civil Rights to satisfy requirements for the "Fall Enrollment and Compliance Report of Institutions of Higher Education" are acceptable. Applications must be signed by an authorized organizational official. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

An applicant should consult the office or official designated as the single point

of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. An eligible institution may submit a proposal for funding of a planned project and proposed amount of the grant when announcement of a new competition appears in the Federal Register. See 34 CFR 637 and the specific program guidelines. Application forms are available from the MSEIP.

Award Procedure:

Panels of outside experts with knowledge of the fields covered by the application review all eligible applications. Grant awards are recommended to the Secretary by the program office, in the order of merit.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 3 to 6 months.

Appeals:

Not Applicable.

Renewals:

Awards may be renewed for up to 2 years, renewals subject to the availability of appropriations.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

One to three years. Funds are awarded annually and disbursed as required. Renewals are subject to the availability of appropriations. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

The program requires interim and annual performance reports. A final performance report is required within 90 days upon completion of the project for all funded projects. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Reporting on performance measures is required as part of the submittal of the required annual performance report.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Compliance with standard Department of Education requirements.

Records:

Grantees are required to maintain standard programmatic and financial records. Records are subject to inspection during the life of the grant and for three years thereafter.

Account Identification:

91-0201-0-1-502.

Obligations:

(Project Grants) FY 12 \$9,466,075; FY 13 est \$8,970,943; and FY 14 est \$9,466,075

Range and Average of Financial Assistance:

FY 2009: Average new award was \$176,000; Average continuation award was \$149,000. Awards ranged from approximately \$100,000 to \$300,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: No Current Data Available. Fiscal Year 2013: No Current Data Available. Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

34 CFR 637 and the Education Department General Administrative Regulations.

Regional or Local Office:

None.

Headquarters Office:

Bernadette Hence, Office of Postsecondary Education, Institutional Services, Office of Higher Education, Department of Education, 1990 K. Street, NW, 6th Floor, Washington, District of Columbia 20006 Email: bernadette.hence@ed.gov Phone: (202) 219-7038.

Website Address:

<http://www.ed.gov/programs/idadesmsi/>.

RELATED PROGRAMS:

84.031 Higher Education_Institutional Aid; 84.116 Fund for the Improvement of Postsecondary Education

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Decisions are based primarily on the scientific and educational merits of described activities and conformance with the objectives of the program. Priority is given to applicants which have not previously received funding from the MSEIP program and to previous grantees with a proven record of success, as well as to proposals that contribute to achieving balance among projects with respect to geographic region, academic discipline, and project type.

84.126 REHABILITATION SERVICES_VOCATIONAL REHABILITATION GRANTS TO STATES**FEDERAL AGENCY:**

Office of Special Education and Rehabilitative Services, Department of Education

AUTHORIZATION:

Rehabilitation Act of 1973, Title I, Part A and B, Section 100-111.

OBJECTIVES:

To assist States in operating comprehensive, coordinated, effective, efficient and accountable programs of vocational rehabilitation; to assess, plan, develop, and provide vocational rehabilitation services for individuals with disabilities, consistent with their strengths, resources, priorities, concerns, abilities, capabilities, and informed choice so they may prepare for and engage in competitive employment.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Federal and State funds are used to cover the costs of providing vocational rehabilitation services which include: assessment, counseling, vocational and other training, job placement, reader services for the blind, interpreter services for the deaf, medical and related services and prosthetic and orthotic devices, rehabilitation technology, transportation to secure vocational rehabilitation services, maintenance during rehabilitation, and other goods and services necessary for an individual with a disability to achieve an employment outcome. Services are provided to families of disabled individuals when such services will contribute substantially to the rehabilitation of such individuals who are being provided Vocational Rehabilitation services. Funds can also be used to provide Vocational Rehabilitation services for the benefit of groups of individuals with disabilities including the construction and establishment of community rehabilitation programs.

Applicant Eligibility:

State agencies in all States (including territories/possessions) designated as the sole State agency to administer the vocational rehabilitation program may receive funds with the submission of an approved State plan in the Rehabilitation Act of 1973, as amended.

Beneficiary Eligibility:

In order to be eligible for vocational rehabilitation services, an individual must have a physical and/or mental impairment, which, for such an individual, constitutes or results in a substantial impediment to employment, and requires vocational rehabilitation services to achieve an employment outcome.

Credentials/Documentation:

No Credentials or documentation are required. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. State Vocational Rehabilitation agencies are required to submit a State Plan describing the manner in which they will administer the VR and Supported Employment programs in their States. The State is not required to submit any policies, procedures or descriptions related to its State Plan that have been previously approved and demonstrate that the State currently meets the requirements of the Act. However, State agencies are required to annually update and submit State Plan attachments related to: (1) the comprehensive system of personnel development; State assessments, estimates, goals and priorities, and reports of progress; (2) innovation and expansion activities; and (3) a description of the State's plans with respect to the distribution of State Supported Employment Title VI, Part B funds.

Award Procedure:

Grant awards are made to State VR agencies with an approved State plan. States are notified of their annual allotment under the funding formula. Grant funds are withdrawn under the Electronic Transfer System.

Deadlines:

Jul 01, 2012 State VR agencies must submit State Plans to the Rehabilitation Services Administration for approval by July 1 each year.

Range of Approval/Disapproval Time:

The State plan must be approved before the State agency can receive its allotment of funds. If a State plan is disapproved, and after reasonable effort has been made to resolve a dispute, the State agency may request a hearing. If dissatisfied with the hearing officer's initial decision, the State agency may seek the Secretary's review of the decision.

Appeals:

A State may appeal the Secretary's decision to disapprove the State plan by filing a petition for review with the United States Court of Appeals for the circuit in which the State is located.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title I, Part A, Subpart 110. (29 USC 730). Funds are distributed based on population weighted by per capita income. The statistical factors for fund allocation are: per capita income 3-year average by State (Bureau of Economic Analysis estimates) and U.S. total and State population (Annual Population Estimates, Bureau of the Census).

Matching Requirements: The program's matching requirement is 78.7 percent Federal and 21.3 percent Non-Federal funds. This program has a maintenance of effort (MOE) requirement. The MOE level is based on the amount of the State's non-Federal expenditures under the VR program for the Federal fiscal

year two years earlier; see funding agency for further details.
This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

Funds become available for obligations for the fiscal year for which they are appropriated and may remain available for an additional year if the matching requirement is met in the year of the appropriation. Grant awards are issued biannually (1st and 4th quarter). See the following for information on how assistance is awarded/released: Electronic Transfer.

Reports:

Program report: Annual and quarterly reports. No cash reports are required.
Progress report: Annual and quarterly reports. Expenditure reports: quarterly financial reports (Federal Financial Report (SF-425). Performance monitoring: The Rehabilitation Act requires the conduct of annual reviews and periodic on-site monitoring of whether a State VR agency is complying substantially with the provisions of its State plan and with the program's performance indicators established under section 106 of the Act.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Conducted by ED Office of Inspector General and by site visits of regional staff. Both are periodic but no set schedule.

Records:

Case service records for individual consumers. Financial and programmatic records must be kept for a prescribed period of three years (34 CFR 80.42).

Account Identification:

91-0301-0-1-506.

Obligations:

(Formula Grants) FY 12 \$3,083,814,000; FY 13 est \$3,191,748,000; and FY 14 est \$3,961,966

Range and Average of Financial Assistance:

In FY 2012 annual allotments to States, including D.C. and Puerto Rico ranged from \$9.3 million to \$294.9 million, with a median award of \$44.5 million. Grants to outlying areas ranged from \$0.7 million to \$2.9 million.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: No Current Data Available. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Vocational Rehabilitation Regulations (34 CFR part 361). Rehabilitation Services Policy Directives and Technical Assistance Circulars.

Regional or Local Office:

None.

Headquarters Office:

Carol Dobak, Department of Education, OSERS Rehabilitation Services Administration 400 Maryland Ave., S.W., Washington, District of Columbia 20202 Email: Carol.Dobak@ed.gov Phone: (202) 245-7325.

Website Address:

<http://www.rsa.ed.gov/programs.cfm>

RELATED PROGRAMS:

64.007 Blind Rehabilitation Centers; 64.013 Veterans Prosthetic Appliances; 64.116 Vocational Rehabilitation for Disabled Veterans; 84.160 Training Interpreters for Individuals who are Deaf and Individuals who are Deaf-Blind; 84.161 Rehabilitation Services_Client Assistance Program; 84.169 Independent Living_State Grants; 84.187 Supported Employment Services for Individuals with Significant Disabilities; 84.235 Rehabilitation Services Demonstration and Training Programs; 84.250 Rehabilitation Services_American Indians with Disabilities

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

84.128 REHABILITATION SERVICES_SERVICE PROJECTS

FEDERAL AGENCY:

Office of Special Education and Rehabilitative Services, Department of Education

AUTHORIZATION:

Rehabilitation Act of 1973, as amended,, Section 304.

OBJECTIVES:

To provide discretionary grant funds to State vocational rehabilitation agencies and public nonprofit organizations for special projects and demonstrations which hold promise of expanding and otherwise improving services to individuals with disabilities over and above those provided by the Vocational Rehabilitation Grants to States program (84.126) administered by States.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Migrant and seasonal farm workers, authorized under subsection 304 of the Rehabilitation Act). Grants support vocational rehabilitation services to migrant and seasonal farm workers with disabilities.

Applicant Eligibility:

Migrant and seasonal farm worker projects are funded by grants to a State designated agency (including territories/possessions) and to nonprofit and local agencies in collaboration with the State agencies.

Beneficiary Eligibility:

Individuals with disabilities and individuals with significant disabilities as defined in Sections 7(20)(A) and 7(21)(A), respectively, of the Rehabilitation Act of 1973, as amended.

Credentials/Documentation:

Costs will also be determined in accordance with OMB Circular No. A-21 for educational institutions. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. The Education Department General Administrative Regulations at 34 CFR Parts 74, 75 and 80 apply to this program. Applications should be submitted to the Department e-Grants Website or to the Department of Education, Application Control Center, 400 Maryland Ave. S.W., Washington, DC 20202-4725, according to instructions specified in the Notice Inviting Applications. The standard application kit as furnished by the Department of Education must be used for this program.

Award Procedure:

Awards are made on approval of the Commissioner of Rehabilitation Services and the Assistant Secretary, OSERS for new projects.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 120 to 150 days.

Appeals:

Not Applicable.

Renewals:

Projects with an approved multi-year project period may be continued based on

the availability of appropriated funds and an annual review of accomplishments to determine satisfactory performance. Renewals are available only through a new application process.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Generally matching is required; the Migratory Worker program requires 10 percent matching.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Project support may be available for up to 3 to 5 years depending upon satisfactory performance and availability of funds. Funds are granted on a 12-month basis. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Annual financial reports and progress reports must be submitted as prescribed by grant award terms and conditions. No cash reports are required. No progress reports are required. No expenditure reports are required. No performance monitoring is required.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), Audits of States, Local Governments, and Nonprofit Organizations, nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

As required by EDGAR for direct grant programs (34 CFR Part 75) records related to grant funding, compliance and performance must be maintained for a period of five years after completion of the grant activity.

Account Identification:

91-0301-0-1-506.

Obligations:

(Project Grants) FY 12 \$1,261,611; FY 13 est \$1,195,621; and FY 14 est \$0 - (migrant and seasonal farm workers).

Range and Average of Financial Assistance:

varies by competition.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: No Current Data Available. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Education Department General Administrative Regulations (EDGAR) in 34 CFR Parts 74, 75, 77, 79, 80, 81, 82, 85, and 86; and the regulations for this program in 34 CFR 369 apply. Specific guidelines and literature may be obtained from the RSA headquarters offices.

Regional or Local Office:

None.

Headquarters Office:

Sonja Turner, Department of Education, OSERS Rehabilitation Services Administration 400 Maryland Ave., S.W., Washington, District of Columbia 20202 Email: sonja.turner@ed.gov Phone: (202) 245-7557.

Website Address:

<http://www.ed.gov/programs/rsamigrant/index.html>; or
<http://www.ed.gov/programs/rsarecreation/index.html>.

RELATED PROGRAMS:

84.126 Rehabilitation Services_Vocational Rehabilitation Grants to States

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Funding priorities are announced in the Federal Register. Grants for migrant and seasonal farmworker projects are made to a designated State agency and to nonprofit and local agencies working in collaboration with the State agencies. Applications for discretionary grants are subjected to peer review procedures leading to recommendations for approval or disapproval by Federal and nonfederal experts. Criteria for evaluating applications are published in program regulations 34 CFR 75.210.

84.129 REHABILITATION LONG-TERM TRAINING

FEDERAL AGENCY:

Office of Special Education and Rehabilitative Services, Department of Education

AUTHORIZATION:

Rehabilitation Act of 1973, as amended, Title III, Section 302.

OBJECTIVES:

To support projects to provide academic training in areas of personnel shortages identified by the Secretary to increase the number of personnel trained in providing vocational rehabilitation services to individuals with disabilities.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Training grants are provided in fields directly related to the vocational rehabilitation and independent living of individuals with disabilities, such as rehabilitation counseling, independent living, rehabilitation medicine, physical and occupational therapy, prosthetics-orthotics, speech-language, pathology and audiology, rehabilitation of individuals who are blind and individuals who are deaf, and rehabilitation technology. A grantee must use at least 75 percent of the total award for scholarships.

Applicant Eligibility:

Institutions of Higher Education, State vocational rehabilitation agencies (including territories), and other public or nonprofit agencies and organizations.

Beneficiary Eligibility:

Individuals preparing for employment in the field of rehabilitation of individuals with disabilities.

Credentials/Documentation:

An application should show evidence of current accreditation by or seeking of accreditation from the designated accreditation agency in the respective professional field. Costs will be determined in accordance with OMB Circular No. A-21 for educational institutions, OMB Circular No. A-87 for state and local governments, and OMB Circular A-122 for non-profit organizations. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. The Education Department General Administrative Regulations at 34 CFR Parts 74, 75 and 80 apply to this program. New applications are made to Department of Education, Application Control Center, 400 Maryland Ave., S.W., Washington, DC 20202-4725. Attn: 84.129.

Award Procedure:

New and continuation grants are awarded by the Rehabilitation Services Administration. New awards are made based upon the results of a peer review process and the review and approval of applications by staff in various offices within the Department.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 160 days.

Appeals:

Not Applicable.

Renewals:

Renewals are available only through the new application process. Projects with an approved multi-year project period may be continued based on the availability of appropriated funds and an annual review of accomplishments to determine satisfactory performance.

Formula and Matching Requirements:

This program has no statutory formula.
Matching Requirements: Percent: 10.%. The Federal share may not be more than 90 percent of the total cost of a project under this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Projects are generally supported for a maximum of 5 years; with budget periods of 12 months. Renewals are subject to the availability of funds. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Annual financial and progress reports must be submitted as required by grant award terms and conditions. No cash reports are required. No progress reports are required. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Proper records must be maintained for a period of time equal to the time required to fulfill the obligations of each grantee to determine whether a scholar has met the work-or-repay provision in 34 CFR 386.34, or until all audit questions are resolved.

Account Identification:

91-0301-0-1-506.

Obligations:

(Project Grants) FY 12 \$19,635,245; FY 13 est \$17,188,608; and FY 14 est \$19,065,175

Range and Average of Financial Assistance:

to be determined.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: No Current Data Available. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations can be found in 34 CFR Parts 385 and 386 and the Education Department General Administrative Regulations (EDGAR) in 34 CFR Parts 74, 75, 77, 79, 80, 81, 82, 85, and 86.

Regional or Local Office:

None.

Headquarters Office:

Tom Finch, Department of Education, OSERS Rehabilitation Services Administration, 400 Maryland Ave., SW., Washington, District of Columbia 20202 Email: tom.finch@ed.gov Phone: (202) 245-7343.

Website Address:

<http://www.ed.gov/offices/OSERS/RSA>.

RELATED PROGRAMS:

84.264 Rehabilitation Training_Continuing Education; 84.265 Rehabilitation

Training_State Vocational Rehabilitation Unit In-Service Training; 84.275 Rehabilitation Training_General Training

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The relevance of the purpose of the content and the training project to the administratively established mission of the public rehabilitation program and the objectives of the Rehabilitation Services Administration. The methodology to be employed in implementing the project and its feasibility for the achievement of the established educational objectives. The existence of a working relationship with the State vocational rehabilitation agency and other agencies and rehabilitation facilities providing vocational rehabilitation services. Criteria for evaluating applications are published in program regulations 34 CFR Parts 385 and 386.

84.132 CENTERS FOR INDEPENDENT LIVING**FEDERAL AGENCY:**

Office of Special Education and Rehabilitative Services, Department of Education

AUTHORIZATION:

Rehabilitation Act of 1973, as amended, Title VII, Chapter 1, Part C.

OBJECTIVES:

To support a Statewide network of centers for independent living (centers or CILs) and provide financial assistance to centers that comply with the standards and assurances in section 725(b) and (c) of the Rehabilitation Act of 1973, as amended (Rehabilitation Act) consistent with the design included in the State Plan for Independent Living for establishing a statewide network of centers. Centers are consumer-controlled, community-based, cross-disability, nonresidential, private nonprofit agencies that are operated in local communities by individuals with disabilities and provide an array of IL services that are designed to enhance independence and productivity of individuals with significant disabilities and to promote their full inclusion and integration into the mainstream of American society.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Federal funds awarded under this program, the CIL program, are used for the establishment and operation of centers for independent living which offer a wide range of independent living (IL) services. Services must include independent living core services which are information and referral services, independent living skills training, peer counseling, including cross-disability peer counseling, and individual and systems advocacy. As appropriate, IL services include a combination of any other independent living services specified in the Rehabilitation Act and 34 CFR Section 364.4 of the IL program regulations. Section 721(b) of the Rehabilitation Act specifies that not less than 1.8 percent and not more than two percent of Federal funds appropriated for the CIL program must be reserved each fiscal year to provide training and technical assistance under contracts with entities who have experience in the operation of centers for independent living.

Applicant Eligibility:

Private non-profit organizations. An eligible agency under the CIL program is a consumer-controlled, community-based, cross-disability, nonresidential, private nonprofit agency or a state agency in states in which no eligible private non-profit organization applies for a grant. The Rehabilitation Act and regulations require the Commissioner of the Rehabilitation Services Administration to make awards based on a statutory order of priority. A States allotment for IL Part C funds is determined according to a population-based formula. To the extent funds are available, the order of priority is as follows: existing centers that meet the standards and assurances in section 725(b) and (c) of the Act must receive the level of funding each center received in the prior year. Existing centers that meet the Acts standards and assurances then receive a cost-of-living increase consistent with section 721(c)(3) of the Act. If there are sufficient excess funds in a States allotment, and there are areas in the State that are unserved or underserved by centers, the Commissioner must

fund new centers. If there are insufficient funds to fund new centers, the excess funds may be distributed to existing centers or reallocated to other States.

Beneficiary Eligibility:

Individuals with significant disabilities as defined in section 7 of the Rehabilitation Act and 34 CFR 364.4 of the IL program regulations. This refers to an individual with a severe physical, mental, cognitive or sensory impairment whose ability to function independently in the family or community or whose ability to obtain, maintain, or advance in employment is substantially limited and for whom the delivery of IL services will improve the ability to function, continue functioning, or move toward functioning independently in the family or community or to continue in employment.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-122 (Cost Principles for Nonprofit Organizations). If the center is operated by a State agency, OMB Circular A-87 is used to determine the allowability of costs. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. The Education Department General Administrative Regulations at 34 CFR Parts 74, 75 and 80, if a state agency receives the award, apply to this program. Applications should be submitted to the Department e-Grants Website or to the Department of Education, Application Control Center, 400 Maryland Ave. S.W., Washington, DC 20202-4725, according to instructions specified in the Notice Inviting Applications. The standard application kit as furnished by the Department of Education must be used for this program.

Award Procedure:

New awards are made based upon the results of a competitive peer review process of applications and the review and approval of staff in various offices within the Department.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Projects may be continued based on the submission of an annual performance report (the section 704 report), and RSAs determination that the center has met the standards and assurances under section 725(b) and (c) of the Rehabilitation Act, and the availability of funds. Amounts of continuation awards are subject to the availability of appropriations.

Formula and Matching Requirements:

Statutory Formula: Rehabilitation Act Section 721(c) and 722(e).

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Existing projects are approved on an annual basis with funding on a twelve month basis. New projects are funded for five years with funding approved on a 12-month basis. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Annual and fiscal progress reports and self-evaluations (section 704 reports) must be submitted as prescribed by Section 725 (c)(8) of the Rehabilitation Act, and grant award terms and conditions. No cash reports are required. No

progress reports are required. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

As required by EDGAR for non-profit recipients (34 CFR Part 74) records related to grant funding, compliance and performance must be maintained for a period of three years after completion of the grant activity and the final financial report is submitted or until all audit questions are resolved.

Account Identification:

91-0301-0-1-506.

Obligations:

(Project Grants) FY 12 \$79,953,602; FY 13 est \$75,771,549; and FY 14 est \$79,953,000

Range and Average of Financial Assistance:

In FY 2013, the range is \$1,703 to \$1,290,855. The average award is \$206,299.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: No Current Data Available. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Education Department General Administrative Regulations (EDGAR) in 34 CFR Parts 74, 75, 77, 79, 80, 81, 82, 84, 85, and 97; Program Regulations in 34 CFR Parts 364 and 366.

Regional or Local Office:

None.

Headquarters Office:

Veronica Hogan, Rehabilitation Services Administration, 400 Maryland Avenue, S.W., Washington, District of Columbia 20202 Email: veronica.hogan@ed.gov Phone: (202) 245-7378.

Website Address:

<http://www.rsa.ed.gov/programs.cfm>

RELATED PROGRAMS:

84.169 Independent Living_State Grants; 84.177 Rehabilitation Services_Independent Living Services for Older Individuals Who are Blind

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Selection criteria for this program include extent of need for the project, past performance, satisfaction of the standards and assurances set forth in Section 725, quality of key personnel, budget and cost-effectiveness, evaluation plan, plan of operation, and involvement of individuals with significant disabilities. Criteria for evaluating applications are published in program regulations at 34 CFR 366.

84.133 NATIONAL INSTITUTE ON DISABILITY AND REHABILITATION RESEARCH

FEDERAL AGENCY:

Office of Special Education and Rehabilitative Services, Department of Education

AUTHORIZATION:

Rehabilitation Act of 1973, Title II.

OBJECTIVES:

To support and coordinate research and its utilization in order to improve the lives of people of all ages with physical and mental disabilities, especially

persons with severe disabilities, through: (1) identifying and eliminating causes and consequences of disability; (2) maximizing the health, physical, and emotional status of persons with disabilities and their functional ability, self-sufficiency, self-development and personal autonomy; (3) preventing or minimizing personal and family, physical, mental, social, educational, vocational, and economic effects of disability; and (4) reducing and eliminating physical, social, educational, vocational, and environmental barriers to permit access to services and assistance and to use their abilities in daily life.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grants, cooperative agreements, and contracts are awarded for research, demonstration, dissemination/utilization projects of national significance, and career training projects. All applications must meet standards of excellence in research and evaluation design. Fellowships support individual investigators in pursuing research in rehabilitation.

Applicant Eligibility:

States, public, private, or nonprofit agencies and organizations, institutions of higher education, and Indian tribes and tribal organizations are eligible for research projects and specialized research activities related to the rehabilitation of individuals with disabilities; fellowships may be awarded to individuals.

Beneficiary Eligibility:

Individuals with disabilities may benefit directly or indirectly from research and other activities conducted by grantees, such as technical assistance and dissemination.

Credentials/Documentation:

Applicants should present written evidence of other agencies' willingness to cooperate when the project involves their acceptance or the utilization of their facilities or services. Costs will be determined in accordance with OMB Circular No. A-21 for educational institutions, OMB Circular No. A-87 for state and local governments, and OMB Circular A-122 for non-profit organizations. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

The NIDRR long-range research plan serves as the basis for program announcements that are published in the Federal Register and Requests for Proposals that are published in the Commerce Business Daily. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program.

Award Procedure:

New awards are made based upon the results of a peer review process and the review and approval of applications by staff in various offices within the Department. Official notice of approved applications is made through issuance of a Notice of Grant Award or an official letter of fellowship award. Grants and fellowships are awarded by the Secretary, Department of Education.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Ranges from 30 to 120 days. Generally, solicited grants, fellowships, and contracts will be acted upon within 120 days.

Appeals:

There are no formal appeal procedures.

Renewals:

Grantees must submit annual progress reports in order to receive continuation funding. Awards may be renewed annually for up to five years throughout the project period of the award. Renewals are subject to the availability of appropriations.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Grantees funded under Sections 204(a) and 202(k) of the Rehabilitation Act, as amended, are required to share in the cost of projects. The amount of cost-sharing is negotiable. Cost-sharing for other grantees is at the Secretary's option.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Funds are awarded in 12 month budget periods. Support beyond the first 12 month budget period is contingent upon evidence that the grantee has made substantial progress toward achieving the objectives and outcomes for a project, and availability of appropriations. Awards may be made for a maximum of five years. See the following for information on how assistance is awarded/released: electronic transfer.

Reports:

Annual reports of progress and annual expenditures are required on all projects. Comprehensive final reports are due 90 days after the end of the project. No cash reports are required. No progress reports are required. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Proper accounting records, identifiable by grant or contract number including all receipts and expenditures, must be maintained for three years. Subsequent to audit, they must be maintained until all questions are resolved.

Account Identification:

91-0301-0-1-506.

Obligations:

(Project Grants) FY 12 \$108,816,947; FY 13 est \$103,125,168; and FY 14 est \$110,000,000

Range and Average of Financial Assistance:

The range and average vary greatly according to the competition.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Not available. Fiscal Year 2013: No Current Data Available
Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Grant administrative policies for programs and fellowships may be obtained from the program office. NIDRR program regulations are contained in 34 CFR Parts 350-359.

Regional or Local Office:

None. Contact Headquarters Office.

Headquarters Office:

Marlene Spencer Department of Education, OSERS National Institute on Disability and Rehabilitation Research, 400 Maryland Ave., S.W., Washington, District of Columbia 20202 Email: marlene.spencer@ed.gov Phone: (202) 245-7532

Website Address:

<http://www.ed.gov/about/offices/list/osers/nidrr/index.html>

RELATED PROGRAMS:

64.007 Blind Rehabilitation Centers; 84.129 Rehabilitation Long-Term Training; 84.224 Assistive Technology

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available
Fiscal Year 2013: No Current Data Available
Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Objectives of projects and programs must be in consonance with and should contribute to achievement of the goals and objectives of the Institute. Adequate

facilities must be available or planned to carry out the specific project or program. Personnel must be trained and qualified to carry out the proposed programs, and staffing patterns must be appropriate. Demonstrations of adequate plans and procedures for ensuring the relevance to current needs in rehabilitation and the potential for project results must be effectively utilized. Commitment of available resources on the part of the applicant and evidence that the applicant has knowledge of rehabilitation issues as well as knowledge of past and present related research activities. A statement showing that the estimated cost to the government is reasonable in relation to anticipated project results. Demonstrate the ability and capacity to achieve stated goals. Demonstrate, coordinate and cooperate with regional programs, State agency programs, and private rehabilitation facilities. Specific weighted selection criteria are contained in program regulations. For fellowships, criteria are specified in program regulations, 34 CFR Part 356. These criteria include the education and experience of the individual and the quality of the fellowship proposal.

84.141 MIGRANT EDUCATION_HIGH SCHOOL EQUIVALENCY PROGRAM

(HEP)

FEDERAL AGENCY:

Office of Elementary and Secondary Education, Department of Education

AUTHORIZATION:

Higher Education Act of 1965, as amended, Title IV, Part A, Section 418A.

OBJECTIVES:

To assist students who are engaged, or whose immediate family is engaged, in migrant and other seasonal farm work to obtain the equivalent of a secondary school diploma and subsequently to gain employment or be placed in an institution of higher education or other postsecondary education or training.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Project funds may be used to recruit and provide academic and support services (including counseling, health services, stipends, and placement) to migrant students to obtain the equivalent of a secondary school diploma and to subsequently gain employment or be placed in an institution of higher education or other postsecondary education or training.

Applicant Eligibility:

Institutions of higher education or private nonprofit agencies in cooperation with institutions of higher education may apply.

Beneficiary Eligibility:

Persons who are engaged or whose immediate family is engaged in migrant and other seasonal farmwork or who have participated or have been eligible to participate in the Title I, Migrant Education Program or the Department of Labor's National Farmworker Jobs Program. Eligible beneficiaries are age 16 and older or beyond the age of compulsory school attendance, and lacking a high school diploma or its equivalent.

Credentials/Documentation:

To be eligible to participate in HEP, the applicant or his or her immediate family member must: (1) Have worked a minimum of 75 days during the past 24 months in migrant on seasonal farmwork, or have been eligible to participate or have participated within the past 2 years in Title I, Migrant Education Program or the Department of Labor's National Farmworker Jobs Program; (2) not have earned a secondary school diploma or its equivalent; (3) not be currently enrolled in an elementary or secondary school; (4) be 16 years of age or older, or above the age of compulsory school attendance in the State where the project is located; and (5) be determined by the grantee to need the academic and supporting services and financial assistance provided by the project. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should

consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. OMB Circulars No. A-21 for IHEs and No. A-122 for nonprofit organizations apply to this program. Circular No. A-133, Audits of States, Local Governments, and Non-Profit Organizations also applies to recipients of this program. Application forms are available from the Department of Education as announced in a Notice Inviting Applications (NIA). An eligible applicant submits its application to the Department of Education no later than the date announced by the Department in the Federal Register. An application must be prepared and submitted in accordance with the regulations, instructions, and forms included in the grant application package. Applications are reviewed and evaluated by a panel for selection and possible funding.

Award Procedure:

The Department reviews each application using selection criteria published in the Federal Register. The Department of Education sends a Grant Award Notification (GAN) to the successful applicants. The Department conducts a budget analysis of allowable activities and costs and recommendations for new grants.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately four months.

Appeals:

Not Applicable.

Renewals:

Grants are awarded for up to 60 months. Continuation awards are subject to the availability of funds and recipient performance.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The project period is up to 60 months. Funds are awarded for 12-month budget periods. Continuations are subject to the availability of funds and recipient performance. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

An Annual Performance Report (APR) showing satisfactory performance is required to receive a continuation award each year and a final performance report is required 90 days after completion of a project. No cash reports are required. No progress reports are required. Expenditure summarizations are required annually by the APR annual and final instructions. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

In accordance with the General Education Provisions Act and the Education Department General Administrative Regulations (34 CFR 74, 75, and 80), grantees must maintain certain project records for three years.

Account Identification:

91-0900-0-1-501.

Obligations:

(Project Grants) FY 12 \$19,948,431; FY 13 est \$18,835,900; and FY 14 est \$19,871,179

Range and Average of Financial Assistance:

Range: \$180,000-\$475,000 Average: \$446,438.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Provided funding to 43 projects. Fiscal Year 2013: Provided funding to 43 projects. Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

(a) 34 CFR 74, 75, 77, 79, 82, 84, 85, 86, 97, and 99. (b) The regulations in 34 CFR part 206. (c) The definitions in 34 CFR 200.81.

Regional or Local Office:

None.

Headquarters Office:

Tara Ramsey Office of Migrant Education, Office of Elementary and Secondary Education, US Department of Education, 400 Maryland Avenue, S.W., Room 3E309, Washington, District of Columbia 20202 Email: tara.ramsey@ed.gov Phone: (202) 260-2063

Website Address:

<http://www.ed.gov/program/hep/index.html>

RELATED PROGRAMS:

84.047 TRIO_Upward Bound; 84.144 Migrant Education_Coordination Program; 84.149 Migrant Education_College Assistance Migrant Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Projects that help migrant individuals attain a high school equivalency degree. Fiscal Year 2013: Projects that help migrant individuals attain a high school equivalency degree. Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The Department publishes criteria in a notice inviting applications published in the Federal Register. For the purpose of making grants under the program, the Secretary must consider prior experience of service delivery by expiring projects that submit an application under this program and award up to a maximum of 15 additional points to an application that meets the prior experience of service delivery competitive preference priority.

84.144 MIGRANT EDUCATION_COORDINATION PROGRAM

FEDERAL AGENCY:

Office of Elementary and Secondary Education, Department of Education

AUTHORIZATION:

Elementary and Secondary Education Act of 1965, Title I, Part C, Section 1308(d), 20 U.S.C 6398.

OBJECTIVES:

To provide financial incentives to State Educational Agencies (SEAs) to participate in consortia that provide high-quality project designs and services to improve the interstate or intrastate coordination of migrant education programs by addressing key needs of migratory children who have their education interrupted.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds are used to implement the consortium activities to improve interstate and intrastate coordination of migrant education programs by addressing key needs of migratory children whose education is interrupted or to carry out any other activities authorized under the Migrant Education formula program (MEP). This program is subject to non-supplanting requirements and must use a restricted indirect cost rate which is referenced under 34 CFR 75.563. For assistance call the Office of Chief Financial Officer/Indirect Cost Group on (202) 708-7770.

Applicant Eligibility:

State educational agencies (SEAs) receiving MEP Basic State Formula grants, in a consortium with another State or other appropriate entities.

Beneficiary Eligibility:

Migratory children of migratory agricultural workers or migratory fishers, or individuals under 21 years old who are migratory agricultural workers or migratory fishers, or spouses of such workers or fishers whose education is interrupted benefit.

Credentials/Documentation:

No Credentials or documentation are required. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Applications must be prepared and submitted in accordance with the regulations, instructions, and forms included in the grant application package. The applications are reviewed and evaluated by a panel of peer reviewers. Awards are made on a competitive basis.

Award Procedure:

The Department reviews each application using selection criteria and priorities published in Federal Register notices and the application package. The U.S. Department of Education sends Grant Award Notification (GAN) to successful applicants.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately three months.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: An SEA may receive only one incentive grant award regardless of the number of consortia in which it participates. Consortium incentive grant awards are calculated on the basis of a two-tiered formula: SEAs whose MEP allocations are \$1 million or less will, in general, receive a grant award that is twice the amount of the award provided to SEAs whose MEP allocations are greater than \$1 million. Within each tier, awards will be of equal size. However, no SEA will receive an incentive grant award that exceeds the amount of its Title I, Part C, MEP formula grant or \$250,000, whichever is less.

Matching requirements are not applicable to this program.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Consortium Incentive Grants for interstate and intrastate coordination activities will be awarded for up to two years, subject to the availability of funds. See the following for information on how assistance is awarded/released: electronic transfer.

Reports:

Annual performance reports are required from SEAs participating in consortium incentive grants and from other grant recipients. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. In accordance with the Education Department General Administration Regulations in the Appendix to 34 CFR 80, State and local governments that receive financial assistance of \$500,000 or more within the State's fiscal year shall have

an audit made for that year. State and local governments that receive between \$25,000 and \$500,000 within the State's fiscal year shall have an audit made in accordance with the Appendix to Part 80, or in accordance with Federal laws and regulations governing the programs in which they participate.

Records:

In accordance with the General Education Provisions Act and the Education Department General Administrative Regulations, grantees must maintain certain project records for 3 years.

Account Identification:

91-0900-0-1-501.

Obligations:

(Project Grants) FY 12 \$3,000,000; FY 13 est \$3,000,000; and FY 14 est \$3,000,000

Range and Average of Financial Assistance:

Range: \$60,000-\$120,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Provided funding to 38 States. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: Provided funding to 37 States.

REGULATIONS, GUIDELINES, AND LITERATURE:

34 CFR 75, 76, and 80.

Regional or Local Office:

None.

Headquarters Office:

Michelle Moreno Office of Migrant Education, Office of Elementary and Secondary Education, Department of Education, 400 Maryland Avenue, SW, Room 3E257, Washington, District of Columbia 20202 Email: michelle.moreno@ed.gov Phone: (202) 401-2928

Website Address:

<http://www.ed.gov/about/offices/list/oese/ome/index.html>.

RELATED PROGRAMS:

84.011 Migrant Education_State Grant Program; 84.141 Migrant Education_High School Equivalency Program; 84.149 Migrant Education_College Assistance Migrant Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Projects to improve the interstate or intrastate coordination of migrant education programs. Fiscal Year 2013: Projects to improve the interstate or intrastate coordination of migrant education programs. Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The criteria for interstate/intrastate coordination grants are consistent with 34 CFR 75.

84.145 FEDERAL REAL PROPERTY ASSISTANCE PROGRAM

FEDERAL AGENCY:

Office of Human Resources and Administration, Department of Education

AUTHORIZATION:

Department of Education Organization Act of 1979; Federal Property and Administrative Services Act of 1949, Section 203(k), as amended,.

OBJECTIVES:

To convey surplus Federal Real Property for educational purposes at fair market value.

TYPES OF ASSISTANCE:

SALE, EXCHANGE, OR DONATION OF PROPERTY OR GOODS

USES AND USE RESTRICTIONS:

Must be used for education purposes including but not limited to higher education, elementary and secondary education, libraries, central administrative facilities, educational television and radio, rehabilitation and training, vocational education and research, correctional education centers.

Applicant Eligibility:

Those groups, organizations, entities, or institutions providing educational

programs including: States; their political subdivisions and instrumentalities; and tax supported organizations or private nonprofit institutions held exempt from taxation under Section 501(C)(3) of the Internal Revenue Code of 1954 may apply.

Beneficiary Eligibility:

Program participants receiving educational opportunities will benefit.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

All potentially eligible entities are notified of the availability of property including State and local officials or any known educational entity authorized to operate within the general geographical area. Upon receipt of a notice of intent to file an application, a preapplication conference is possible. An environmental impact assessment is required for this program. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Application guides and deadlines for filing applications are provided on a case by case basis. All applicants must demonstrate the legal, financial, and technical ability to conduct the educational programs proposed.

Award Procedure:

Written notification with follow-up issuance of formal transfer of title.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Thirty to 90 days for tentative decisions, after receipt of the application, and 90 to 360 days to the formal transfer of a title.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Up to 30 years. The fair market value (FMV) of the property is amortized over a period of time established based on the type of property acquired. Public benefit discount of the FMV is granted when public educational programs are being conducted. See the following for information on how assistance is awarded/released: electronic transfer.

Reports:

An annual written report of activities by the recipient. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. On-site audits are conducted during the period of restriction to assure operation as specified in the application and deed of transfer.

Records:

Record requirements will be included in award documents.

Account Identification:

91-0800-0-1-503.

Obligations:

(Sale, Exchange, or Donation of Property and Goods) FY 12 Not Separately Identifiable; FY 13 Not Separately Identifiable; and FY 14 Not Separately Identifiable

Range and Average of Financial Assistance:

Not available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations: 34 CFR 12; Pamphlet: How to Acquire Surplus Federal Real Property for Educational Purposes; Guidelines: Application outline and preparation assistance can be obtained at the zone office level.

Regional or Local Office:

See Regional Agency Offices. See Appendix IV of the Catalog for a list of regional offices.

Headquarters Office:

Federal Real Property Assistance Program, Office of the Administrator for Management Services, Department of Education, 400 Maryland Ave., S.W., Washington, District of Columbia 20202 Phone: (202) 260-4558

Website Address:

<http://www.FRPA@ed.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

All applications must establish eligibility of the institution and its program needs. A public benefit allowance formula, uniformly applied, determines the respective benefits of each program. Basic allowance of 50 percent is allowed to institutions meeting the following: (1) Proof of current need; (2) ability to operate and maintain; (3) suitability of facilities or adaptability for conversion; (4) requirement for utilization through period of restrictions; and (5) nondiscrimination because of race, color, sex, handicap, or national origin. Additional allowances are made for tax support, accreditation, hardship, not met needs, integrated research, public services, and training programs. For competing programs, the one showing the greatest public benefit is selected. Where property can be divided, as many compatible programs as possible are accommodated.

84.149 MIGRANT EDUCATION_COLLEGE ASSISTANCE MIGRANT PROGRAM

(CAMP)

FEDERAL AGENCY:

Office of Elementary and Secondary Education, Department of Education

AUTHORIZATION:

Higher Education Act of 1965, as amended, Title IV, Part A, Section 418A, 20 U.S.C 1070d-2.

OBJECTIVES:

To assist students who are engaged, or whose immediate family is engaged, in migrant and other seasonal farmwork, are enrolled or are admitted for enrollment on a full-time basis, at institutions of higher education and are in the first academic year at such an institution.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Project funds may be used to provide supportive and instructional services, including tutoring and counseling services and assistance in obtaining student financial aid (including stipends, tuition, and room and board) to first-year college students, assist those students in obtaining financial aid for their remaining undergraduate years, and provide follow-up services, such as monitoring and reporting students' first-year and subsequent year academic progress, and referrals to counseling services, academic assistance, or financial

aid.

Applicant Eligibility:

Institutions of higher education or private nonprofit agencies in cooperation with institutions of higher education may apply.

Beneficiary Eligibility:

First-year college students who are engaged, or whose immediate family member is engaged, in migrant and other seasonal farmwork or who have participated or been eligible to participate in the Title I, Migrant Education Program, or the Department of Labor's National Farmworker Jobs program.

Credentials/Documentation:

To be eligible to participate in a CAMP project, the applicant must: (1) be enrolled or admitted for enrollment as a full-time student at a participating institution of higher education; (2) not be beyond the first academic year of a program of study at the institution of higher education, as determined under the standards of the institution; and (3) be determined by the grantee to need the academic and supporting services and financial assistance provided by the project in order to complete an academic program of study at the institution of higher education. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Application forms are available from the Department of Education, as announced in a Notice Inviting Applications (NIA). An eligible applicant submits its application to the Department of Education no later than the date announced by the Department in the Federal Register. An application must be prepared and submitted in accordance with the regulations, instructions, and forms included in the grant application package. Applications are reviewed and evaluated by a panel of peer reviewers. OMB Circulars A-21 and A-122 apply to this program.

Award Procedure:

The Department reviews each application using selection criteria published in the Federal Register. The Department of Education, sends a Grant Award Notification (GAN) to successful applicant of its award. The Department conducts a budget analysis of allowable activities and costs and recommendations for new grants.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately four months.

Appeals:

Not Applicable.

Renewals:

Grants are awarded up to 60 months. Continuation awards are subject to the availability of funds and recipient performance.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The project period is up to 60 months; funds are awarded for 12-month budget period. Continuations are subject to the availability of funds and recipient performance. See the following for information on how assistance is

awarded/released: Electronic transfer.

Reports:

An Annual Performance Report (APR) showing satisfactory performance is required to receive a continuation award each year, and a final performance report is required 90 days after completion of a project. No cash reports are required. No progress reports are required. Expenditure summarizations are required annually by the APR annual and final instructions. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

In accordance with the General Education Provisions Act and the Education Department General Administrative Regulations (34 CFR 74, 75, and 80), grantees must maintain certain project records for three years.

Account Identification:

91-0900-0-1-501.

Obligations:

(Project Grants) FY 12 \$16,472,116; FY 13 est \$15,613,997; and FY 14 est \$16,472,191

Range and Average of Financial Assistance:

Range: \$180,000-\$425,000. Average: \$410,615.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Provided funding to 39 projects. Fiscal Year 2013: Provided funding to 39 projects. Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

(a) 34 CFR 74, 75, 77, 79, 82, 84, 85, 86, 97, and 99. (b) The regulations in 34 CFR part 206. (c) The definitions in 34 CFR 200.81.

Regional or Local Office:

None.

Headquarters Office:

Tara Ramsey Office of Migrant Education, Office of Elementary and Secondary Education, Department of Education, 400 Maryland Avenue, S.W., room 3E309, Washington, District of Columbia 20202 Email: tara.ramsey@ed.gov Phone: (202) 260-2063

Website Address:

<http://www.ed.gov/programs/camp/index.html>.

RELATED PROGRAMS:

84.011 Migrant Education_State Grant Program; 84.042 TRIO_Student Support Services; 84.047 TRIO_Upward Bound; 84.141 Migrant Education_High School Equivalency Program; 84.144 Migrant Education_Coordination Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Programs that help migrant individuals finish their first year of college. Fiscal Year 2013: Programs that help migrant individuals finish their first year of college. Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The Department publishes criteria in a notice inviting applications published in the Federal Register. For the purpose of making grants under this program, the Secretary must consider prior experience of service delivery by expiring projects that submit an application under this program and award up to a maximum of 15 additional points to an application that meets the prior experience of service delivery competitive preference priority.

**84.160 TRAINING INTERPRETERS FOR INDIVIDUALS WHO ARE DEAF AND INDIVIDUALS WHO ARE DEAF-BLIND
FEDERAL AGENCY:**

Office of Special Education and Rehabilitative Services, Department of Education

AUTHORIZATION:

Rehabilitation Act of 1973, as amended, Title III, Section 302(f).

OBJECTIVES:

To support projects that increase the numbers and improve the skills of manual, oral, and cued speech interpreters providing services to individuals who are deaf and individuals who are deaf-blind.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grants are awarded to eligible entities to provide training for manual, tactile, oral and cued speech interpreters. This training may include classroom instruction, workshops, seminars, and field placement. Curriculum may include specialty areas such as interpreting in legal, medical, or rehabilitation settings or for deaf-blind, speech-impaired or developmentally disabled persons.

Applicant Eligibility:

Public or private nonprofit agencies and organizations, including institutions of higher education are eligible for assistance.

Beneficiary Eligibility:

Individuals preparing for employment as interpreters for individuals who are deaf and individuals who are deaf-blind who are presently serving as interpreters and wish to maintain or raise the level of their skills, and the persons who will receive the services of interpreters are beneficiaries of this program.

Credentials/Documentation:

OMB Circular No. A-21 for educational institutions. OMB Circular No. A-87 for State and local governments, and OMB Circular No. A-122 for non-profit organizations applies to this program. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. The Education Department General Administrative Regulations at 34 CFR Parts 74, 75 and 80 apply to this program. Application is made to Department of Education, Application Control Center, 400 Maryland Ave., S.W., Washington, DC 20202-4725.

Award Procedure:

New awards are made based upon the results of a peer review process and the review and approval of staff in various offices within the Department.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 120 to 160 days.

Appeals:

Not Applicable.

Renewals:

Renewals are available only through the new application process. Projects with an approved multi-year project period may be continued based on the availability of appropriated funds and an annual review of accomplishments to determine satisfactory performance.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Grantees are required to share in the cost of projects

with a portion subject to individual negotiations.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Projects may be supported for a maximum of 5 years, with budget periods of 12 months. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Annual financial and progress reports must be submitted as required by grant award terms and conditions. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. Audits must be conducted on a continuing basis or at scheduled intervals, usually once a year, but at least once every 2 years. Institutions of higher education and nonprofit organizations are subject to the audit requirements of OMB Circular No. A-133.

Records:

As required by EDGAR for direct grant programs (34 CFR Part 75) records related to grant funding, compliance and performance must be maintained for a period of five years after completion of the grant activity.

Account Identification:

91-0301-0-1-506.

Obligations:

(Project Grants) FY 12 \$2,063,287; FY 13 est \$2,099,945; and FY 14 est \$2,099,945

Range and Average of Financial Assistance:

to be determined.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: No Current Data Available. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Training of Interpreters for Individuals who are Deaf and Individuals who are Deaf-Blind Regulations (34 CFR Part 385); (34 CFR Part 396); the Education Department General Administrative Regulations (EDGAR) in 34 CFR Parts 74, 75, 77, 79, 80, 81, 82, 85, and 86.

Regional or Local Office:

None.

Headquarters Office:

Tom Finch, Department of Education, OSERS Rehabilitation Services Administration, 400 Maryland Ave., SW, Washington, District of Columbia 20202 Email: tom.finch@ed.gov Phone: (202) 245-7343.

Website Address:

<http://www.ed.gov/students/college/aid/rehab/catinter.html>.

RELATED PROGRAMS:

84.129 Rehabilitation Long-Term Training; 84.275 Rehabilitation Training_General Training

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The relevance of the project to the stated objectives of the interpreter training program. The number of trainees expected to be involved; demonstrated capacity or potential for providing interpreter training, the past record of existing training programs; and the geographical area expected to be served.

84.161 REHABILITATION SERVICES_CLIENT ASSISTANCE PROGRAM

(CAP)

FEDERAL AGENCY:

Office of Special Education and Rehabilitative Services, Department of

Education

AUTHORIZATION:

Rehabilitation Act of 1973, as amended, Title I, Part B, Section 112, 29 U.S.C 732.

OBJECTIVES:

(1) To provide assistance in informing and advising clients and client applicants of available benefits under the Rehabilitation Act; (2) to assist and advocate for clients and client applicants in their relationships with projects, programs and services provided under this Act, including assistance and advocacy in pursuing legal, administrative and other appropriate remedies; (3) to provide information on available services under the Act and Title I of the Americans with Disabilities Act to any individual with disabilities in the State.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Grant funds may be used for services to help clients and applicants understand the rehabilitation services available under the Rehabilitation Act, and to provide information to individuals with disabilities in the State. The CAP is not allowed to bring class action suits.

Applicant Eligibility:

States and Territories (through the Governor) are eligible for awards. The Governor shall designate a public or private agency to conduct the State's program.

Beneficiary Eligibility:

Clients and client applicants receiving services or interested in seeking assistance under the Rehabilitation Act of 1973, as amended, will benefit from CAP services.

Credentials/Documentation:

No Credentials or documentation are required. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. The Governor submits assurances that meet the requirements established under the Rehabilitation Act, as amended, and Federal regulations.

Award Procedure:

Notice of annual allotment to States, quarterly grant award notices and funds are withdrawn under the Electronic Transfer System or by Treasury check.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

The State may appeal to the Office of Administrative Law Judges (34 CFR 81).

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: In accordance with the Act Federal funds are distributed on the basis of the relative population of each State, except no State shall receive less than \$50,000, Territories \$30,000. When total funds available for this program exceed \$7,500,000, the minimum allotment is \$100,000 for States and \$45,000 for Territories.

Matching requirements are not applicable to this program.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Funds are awarded for one fiscal year. Funds that are not obligated by the end of the fiscal year may be carried over for obligation in the year succeeding the year in which the funds were awarded. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Performance reports are required annually. Cash reports are required quarterly. Progress reports are not applicable. Expenditure reports are required annually. No performance monitoring is required.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), Audits of States, Local Governments, and Nonprofit Organizations, nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular No. A-133.

Records:

Information on clients and client applicants served as cases should be maintained.

Account Identification:

91-0301-0-1-506.

Obligations:

(Formula Grants) FY 12 \$12,239,823; FY 13 est \$11,599,607; and FY 14 est \$11,599,607

Range and Average of Financial Assistance:

To be determined.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: No Data Yet Available. Fiscal Year 2013: No Data Yet Available. Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Client Assistance Program Regulations (34 CFR part 370).

Regional or Local Office:

None.

Headquarters Office:

Jim Doyle, Department of Education, OSERS Rehabilitation Services Administration, 400 Maryland Ave., SW, Washington, District of Columbia 20202 Email: jim.doyle@ed.gov Phone: (202) 245-6630.

Website Address:

<http://www.rsa.ed.gov/programs.cfm>

RELATED PROGRAMS:

84.126 Rehabilitation Services_Vocational Rehabilitation Grants to States; 84.128 Rehabilitation Services_Service Projects; 84.132 Centers for Independent Living; 84.160 Training Interpreters for Individuals who are Deaf and Individuals who are Deaf-Blind; 84.177 Rehabilitation Services_Independent Living Services for Older Individuals Who are Blind; 84.187 Supported Employment Services for Individuals with Significant Disabilities; 84.240 Program of Protection and Advocacy of Individual Rights

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

84.165 MAGNET SCHOOLS ASSISTANCE

FEDERAL AGENCY:

Office of Innovation and Improvement, Department of Education

AUTHORIZATION:

Elementary and Secondary Education Act of 1965, as amended, Title V, Part C.

OBJECTIVES:

To provide grants to eligible local educational agencies (LEAs) to establish and operate magnet schools that are operated under a court-ordered, agency-ordered, or federally approved voluntary desegregation plans. Magnet programs aim to eliminate, reduce, or prevent minority-group isolation in elementary and secondary schools while strengthening students' academic achievement.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Funds may be used, among other things, for planning and promotional activities directly related to the development, expansion, continuation, or enhancement of academic programs offered at magnet schools; for purchasing books, materials, equipment; and for paying or subsidizing the salaries of teachers and instructional staff in magnet schools. Funds may not be used for transportation or activities that do not augment academic improvement. A grantee may use not more than 50 percent of funds in the first year of the grant, and not more than 15 percent in the second and third years, for planning. Applicants must use a restricted indirect cost rate which is referenced under 34 CFR 75.

Applicant Eligibility:

LEAs that are implementing court-ordered, agency-ordered or federally approved voluntary desegregation plans that include magnet schools are eligible to apply.

Beneficiary Eligibility:

LEAs and participating students will benefit.

Credentials/Documentation:

Applicants must submit a copy of the desegregation plan they are implementing, or will implement, if assistance is made available. Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Application notices are published in the Federal Register and applications must be sent to the Education Department on or before the closing date.

Award Procedure:

Recommendations for the approval of applications are made by the program staff on the basis of published criteria, statutory priorities, State comments received under the E.O. 12372 process, and with the advice and assistance of a panel of independent reviewers. In addition, no application may be approved unless the Assistant Secretary for Civil Rights determines that all required assurances will be met.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

The range is anticipated to be 90 to 120 days from the closing date.

Appeals:

Not Applicable.

Renewals:

The project period for a Magnet School award may not exceed 36 months. To receive continuation funding, grantees must demonstrate substantial progress toward meeting their goals and objectives. Renewals are subject to the availability of appropriations.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The length of grants will be up to 36 months, subject to the availability of funds. See the following for information on how assistance is awarded/released:
Electronic transfer.

Reports:

As part of the continuation award funding process, grantees must submit performance reports annually. Final performance reports must be submitted within 90 days of the end of the grant award period. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Under section 443 of the General Education Provisions Act grantees must maintain records for 3 years.

Account Identification:

91-0204-0-1-501.

Obligations:

(Project Grants) FY 12 \$96,704,975; FY 13 est \$91,646,725; and FY 14 est \$99,611,000

Range and Average of Financial Assistance:

Range of awards: \$350,000-\$4,000,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: No Current Data Available. Fiscal Year 2013: No Current Data Available
Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Contact the Program Office for information on regulations (34 CFR 280).

Regional or Local Office:

None.

Headquarters Office:

Rosie Kelley, Department of Education, Office of Innovation and Improvement Parental Options and Information, 400 Maryland Ave., SW, Washington, District of Columbia 20202 Email: rosie.kelley@ed.gov Phone: (202) 260-0911.

Website Address:

<http://www.ed.gov/programs/magnet/index.html>

RELATED PROGRAMS:

84.004 Civil Rights Training and Advisory Services

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available
Fiscal Year 2013: No Current Data Available
Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Criteria are used to evaluate the applicant's project design, project services, personnel, budget and resources, evaluation plan, and commitment and capacity. Competitive priority is given to applicants that demonstrate the greatest need for assistance; that carry out new or significantly revised magnet schools; and that select students to attend magnet schools through methods such as lottery, rather than academic examination. For funds appropriated for the program in excess of \$75 million, competitive priority is given to those applicants that did not receive funds under the program in the previous fiscal year.

84.169 INDEPENDENT LIVING_STATE GRANTS**FEDERAL AGENCY:**

Office of Special Education and Rehabilitative Services, Department of Education

AUTHORIZATION:

Rehabilitation Act of 1973, as amended, Title VII, Chapter 1, Part B.

OBJECTIVES:

To provide financial assistance to States for expanding and improving the provision of independent living (IL) services to individuals with significant disabilities by promoting and maximizing their full integration and inclusion into the mainstream of American society.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Funds received under this program may be used to carry out the purposes of the Independent Living State Grants Program described in section 713 of the Rehabilitation Act and 34 CFR Section 365.1 of the IL program regulations. These purposes include to support the operation of the Statewide Independent Living Council (SILC), to provide individuals with significant disabilities the core independent living services to demonstrate ways to expand and improve independent living services; to support the operations of centers for independent living that are in compliance with the standards and assurances in section 725 (b) and (c) of the Rehabilitation Act; to support activities to increase the capacities of public or nonprofit agencies and organizations and other entities to develop comprehensive approaches or systems for providing independent living services; to conduct studies and analyses, gather information, develop model policies and procedures, and present information, approaches, strategies, findings, conclusions, and recommendations to Federal, State, and local policymakers in order to enhance independent living services for individuals with significant disabilities; to train individuals with significant disabilities, individuals with disabilities, and individuals providing services to individuals with disabilities and other persons regarding the independent living philosophy; and to provide outreach to populations that are unserved or underserved by programs under Title VII of the Rehabilitation Act, including minority groups and urban and rural populations.

Applicant Eligibility:

Any designated State Unit (DSU) in a State with an approved State Plan for Independent Living (SPIL) may apply for assistance under this program. The DSU is the State agency designated as the State unit to administer the State's independent living services program. DSUs in the 50 States and the District of Columbia and the territories (Commonwealth of Puerto Rico and Virgin Islands) and the outlying areas (Guam, American Samoa, and the Commonwealth of the Northern Mariana Islands) are eligible to apply.

Beneficiary Eligibility:

Individuals with significant disabilities as defined in section 7 of the Rehabilitation Act and 34 CFR 364.4 of the IL program regulations. This refers to an individual with a severe physical, mental, cognitive or sensory impairment whose ability to function independently in the family or community or whose ability to obtain, maintain, or advance in employment is substantially limited and for whom the delivery of IL services will improve the ability to function, continue functioning, or move toward functioning independently in the family or community or to continue in employment.

Credentials/Documentation:

The State agency must certify the availability of State funds for matching purposes. The match for this program may be cash or in-kind. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. The Education Department General Administrative Regulations at 34 CFR Parts 76 and 80 apply to this program. In order to be eligible for an allotment under this program, States must submit a State Plan for Independent Living (SPIL) that meets requirements in section 704 of the Rehabilitation Act and 34 C.F.R. Part 364 of the IL program regulations. State applicants must submit a 3-year State plan which must be submitted not later than July 1, of the fiscal year preceding the first fiscal year of the forth coming 3-year period.

Award Procedure:

A notice of annual allotment to the State is issued. Initial distributions are subject to reallocation at the end of the fiscal year if the Commissioner of the Rehabilitation Services Administration determines that the State will not expend its allotment. States receive annual grant award notices and funds may be withdrawn under the Electronic Transfer System.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

34 CFR 76.703 governs the approval/disapproval time of State plans in relation to when a State may begin to obligate funds.

Appeals:

After reasonable effort has been made to resolve the questions involved, and the State has pursued a hearing before the Office of the Administrative Law Judge, the State may appeal to the United States Court of Appeals for the circuit in which the State is located pursuant to the IL program regulations at 34 CFR 364.12.

Renewals:

Awards are made on an annual basis and may be renewed for up to 2 additional years, for a total of 3 years under an approved State Plan for Independent Living.

Formula and Matching Requirements:

Statutory Formula: Section 711 of the Rehabilitation Act contains the formula the Department uses for the allocation of funds to each State. Federal funds are distributed based on the percentage each State's population constitutes of the total population of the United States. The source is the Annual Population Estimates, Bureau of the Census.

Matching Requirements: Under section 712(b) of the Rehabilitation Act, the States must contribute \$1 for each \$9 of Federal grant funding received, in cash or in kind.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Awards are made on an annual basis. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

As required by 34 CFR Sections 80.40 and 80.41 of the Education Department General Administrative Regulations (EDGAR) performance reports and financial reports are required annually. No cash reports are required. No progress reports are required. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. The Education Department periodically conducts audits and site visits of designated State unit programs funded under the IL State Grants Program.

Records:

As required by EDGAR for State-administered programs (34 CFR 76). Generally, States and subgrantees must retain records related to grant funds and compliance for a period of 3 years.

Account Identification:

91-0301-0-1-506.

Obligations:

(Formula Grants) FY 12 \$23,358,768; FY 13 est \$23,136,964; and FY 14 est \$23,359,000

Range and Average of Financial Assistance:

In FY 2012, the range is \$27,394 to \$1,975,302. The average award is \$391,350.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: No Current Data Available. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Education Department General Administrative Regulations (EDGAR) in 34 CFR Parts 76, 77, 79, 80, 81, 82, 84, 85, and 97; Program Regulations in 34 CFR Parts 364 and 365.

Regional or Local Office:

None. .

Headquarters Office:

Veronica Hogan, Office of Special Education and Rehabilitation Services Administration, Department of Education, Rehabilitation Services Administration, 400 Maryland Avenue, S.W., Washington, District of Columbia 20202 Email: veronica.hogan@ed.gov Phone: (202) 245-7378.

Website Address:

<http://www.rsa.ed.gov/programs.cfm>

RELATED PROGRAMS:

84.132 Centers for Independent Living; 84.177 Rehabilitation Services_Independent Living Services for Older Individuals Who are Blind

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

An approved State plan is a condition for receipt of funds under the IL State Grants program under the Rehabilitation Act. State plan requirements are contained in section 704 of the Rehabilitation Act and 34 CFR 364.

84.173 SPECIAL EDUCATION_PRESCHOOL GRANTS

FEDERAL AGENCY:

Office of Special Education and Rehabilitative Services, Department of Education

AUTHORIZATION:

Individuals with Disabilities Education Act (IDEA), as amended, Part B, Section 619; 20 U.S.C. 1419.

OBJECTIVES:

To provide grants to States to assist them in providing special education and related services to children with disabilities ages 3 through 5 years, and at a State's discretion, to 2- year- old children with disabilities who will reach age three during the school year.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

States must distribute all grant funds that the State does not reserve for administration of the program or other State-level activities to eligible local educational agencies. Funds are used by State and local educational agencies, in accordance with the IDEA, to provide special education and related services to children with disabilities ages 3 through 5, and at the State's discretion, to 2-year -old children with disabilities who will reach age 3 during the next school year; and in some cases, early intervening services.

Applicant Eligibility:

State educational agencies in the 50 States, the District of Columbia, and Puerto Rico. Local educational agencies apply to their State educational agency for sub-grants.

Beneficiary Eligibility:

Children aged 3 through 5 with disabilities, and (at the State's option) 2-year-old children with disabilities that will reach age 3 during the school year, that require special education and related services will benefit.

Credentials/Documentation:

No State or jurisdiction may receive a grant unless the Secretary has approved the annual application and statement of assurances. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. States are eligible to receive funds under this program if the State establishes eligibility under Section 612 of Part B of the IDEA and if the State makes a free appropriate public education available to all children ages 3 through 5 years with disabilities residing in the State. Local educational agencies establish eligibility for Preschool Grant funds by meeting local application eligibility requirements in section 613 of Part B of the IDEA.

Award Procedure:

Once the State application has been approved, a grant award representing the total preschool grant amount for that fiscal year is forwarded to the State's department of education. Notification of the award is made to the Chief State School Officer. States make subgrants to eligible local educational agencies from their initial grant award after setting aside funds for administration and state-level activities.

Deadlines:

May 10, 2014 The submission date for the State applications is May 10 to expedite funding, but plans or amendments are accepted throughout the school year.

Range of Approval/Disapproval Time:

Within 90 days.

Appeals:

The Department must not take final action to disapprove an application until the State is provided reasonable notice and an opportunity for a hearing. The State must request a hearing no later than 30 days after it receives notice of the proposed final determination that the State is not eligible to receive its award.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Statutory citation: IDEA Part B Sec. 619(c)

In general, funds are distributed to eligible entities through a formula based on general population and poverty. Under the formula, each State is first allocated an amount equal to its fiscal year 1997 allocation. For any year in which the appropriation is greater than the prior year level, 85 percent of the funds above the fiscal year 1997 level are distributed based on each State's relative percentage of the total number of children aged 3 through 5 in the general population. The other 15 percent is distributed based on the relative percentage of children aged 3 through 5 in each State who are living in poverty.

The statute also includes several maximum and minimum allocation requirements when the amount available for distribution to States increases. If the amount available for allocation to States remains the same from one year to the next, States receive the same level of funding as in the prior year. If the amount available for allocation to States decreases from the prior year, any amount available for allocation to States above the 1997 level is allocated based on the relative increases in funding that the States received between 1997 and the prior year. If there is a decrease below the amount allocated for 1997, each

State's allocation is ratably reduced from the 1997 level.

This program has no matching requirements. No match requirement. However, there are non-supplanting and excess cost requirements.

This program has MOE requirements, see funding agency for further details. MOE requirements for this program apply to both State Educational Agencies and local educational agencies. Contact the program office for further details.

Length and Time Phasing of Assistance:

Grants are issued each fiscal year. The Federal obligation period is a 15-month period from July 1 through September 30. State and local educational agencies have an additional 12-month period to obligate funds they receive. See the following for information on how assistance is awarded/released: Contact the program office for information on how assistance is awarded/released.

Reports:

State Performance Plans (SPPs) and Annual Performance Reports (APRs) are submitted to the Department of Education from the State Departments of Education. No cash reports are required. No progress reports are required. 34 CFR 80.41. Performance monitoring is applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

As required by EDGAR for State administered programs (34 CFR 76.730-731). Generally, the State and its subgrantees must retain records related to grant funds and compliance for a period of 3 years from the date the grantee or subgrantee submits its final expenditure report for that funding period. 34 CFR 80.42. Also, all records supporting claims for Federal funds or relating to the accountability of the grantee for the expenditure of such funds must be accessible for administrative review.

Account Identification:

91-0300-0-1-501.

Obligations:

(Formula Grants) FY 12 \$372,645,367; FY 13 est \$372,645,000; and FY 14 est \$372,645,000

Range and Average of Financial Assistance:

For FY 2012, regular annual allotments to States, including DC and Puerto Rico, ranged from \$238,633 to \$37,657,903, with an average award of \$7,166,257.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: No Current Data Available. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Program regulations are at 34 CFR Part 300, and 34 CFR Parts 76-77, 79, 80-82, 84-86, and 97-99.

Regional or Local Office:

None.

Headquarters Office:

Gregg Corr Office of Special Education Programs, Department of Education, 400 Maryland Avenue, SW, Washington, District of Columbia 20202 Email: gregg.corr@ed.gov Phone: (202) 245-7309

Website Address:

<http://www.ed.gov/about/offices/list/osep/osep/programs.html>.

RELATED PROGRAMS:

84.027 Special Education_Grants to States; 84.181 Special Education-Grants for Infants and Families

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

States and other eligible entities qualify for funding if they submit approvable applications under IDEA, section 612 and if they make a free appropriate public education available to all children with disabilities ages three through five years, residing in the State.

84.177 REHABILITATION SERVICES INDEPENDENT LIVING SERVICES FOR OLDER INDIVIDUALS WHO ARE BLIND

FEDERAL AGENCY:

Office of Special Education and Rehabilitative Services, Department of Education

AUTHORIZATION:

Rehabilitation Act of 1973, as amended, Title VII, Chapter 2.

OBJECTIVES:

To provide any independent living services that are described in 34 CFR Section 367.3(b) of the IL program regulations to older individuals who are blind that improve or expand services for these individuals; and conduct activities to help improve public understanding of the problems of these individuals.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

The designated State unit may use funds awarded under the OIB program to carry out the activities described in the program objectives section of this document. Among the independent living services that can be provided to older individuals who are blind are those services to help correct blindness, provision of eyeglasses and other visual aids, services and equipment to enhance mobility and self-sufficiency, mobility training, Braille instruction, and other services and equipment to help older persons who are blind adjust to blindness, guide services, reader services and transportation, and any other appropriate services to help an older person who is blind in coping with daily living activities, IL core services including IL skills training, information and referral, peer counseling and individual and systems advocacy; and other IL services as defined in the Rehabilitation Act and the IL program regulations.

Applicant Eligibility:

Any State agency in the 50 States and the District of Columbia and territories (Commonwealth of Puerto Rico and Virgin Islands) and outlying areas (Guam, American Samoa, and the Commonwealth of the Northern Mariana Islands) designated by the State as the State agency and authorized to provide rehabilitation services to blind individuals may apply. In order to receive assistance under this program, a designated state agency (DSA) must submit in a timely manner and obtain approval from the Department of an application containing the agreements, assurances, and information that the Department determines to be necessary to carry out this program.

Beneficiary Eligibility:

Older individuals who are blind, as defined in 34 C.F.R. 367.5 of the OIB program regulations are the primary beneficiaries of assistance under this program. This term means An individual aged 55 or older whose severe visual impairment makes competitive employment extremely difficult to obtain but for whom independent living goals are feasible."

Credentials/Documentation:

No Credentials or documentation are required. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. The Education Department General Administrative Regulations at 34 CFR Parts 76 and 80 apply to this

program. In order to be eligible for an allotment under this program, States must submit an application for a grant under the OIB program in the form and manner prescribed by the Department and the application must contain the assurances listed in 367.11 of the OIB program regulations and section 752(i) of the Rehabilitation Act, including an assurance that the DSA will seek to incorporate any new methods and approaches relating to services for older individuals who are blind into the State Plan for Independent Living.

Award Procedure:

A notice of annual allotment to the State is issued. Initial distributions are subject to reallocation at the end of the fiscal year if the Commissioner determines that the State will not expend its allotment. States receive annual grant award notices and funds may be withdrawn under the Electronic Transfer System.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

34 CFR 76.703 governs the approval/disapproval time of State plans in relation to when a State may begin to obligate funds.

Appeals:

After reasonable effort has been made to resolve the questions involved, and the State has pursued a hearing before the Office of the Administrative Law Judge, the State may appeal to the United States Court of Appeals for the circuit in which the State is located pursuant to the 34 CFR 76.202 and 76.902.

Renewals:

Awards are made on an annual basis and may be renewed for up to two additional years, for a total of three years under an approved OIB application and the availability of funds.

Formula and Matching Requirements:

Statutory Formula: Section 752(j) of the Rehabilitation Act contains the formula the Department uses for the allocation of funds to each State.

Matching Requirements: Under section 753(f) of the Rehabilitation Act, the States must contribute \$1 for each \$9 of Federal grant funding received, in cash or in kind.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awards are made on an annual basis under an approved 3-year OIB application. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

As required by 34 C.F.R. 80.40 and 80.41 of the Education Department General Administrative Regulations (EDGAR), performance reports and financial reports are required annually. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

As required by EDGAR for State-administered programs (34 CFR 76). Generally, States and subgrantees must retain records related to grant funds and compliance for a period of 3 years.

Account Identification:

91-0301-0-1-506.

Obligations:

(Project Grants) FY 12 \$34,018,583; FY 13 est \$32,239,207; and FY 14 est \$34,018,000

Range and Average of Financial Assistance:

For FY 2012, the range was \$40,000 to \$3,212,793 and the average award was \$569,943.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: No Current Data Available. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Education Department General Administrative Regulations (EDGAR) in 34 CFR Parts 76, 77, 79, 80, 81, 82, 84, 85, and 97; Program Regulations in 34 CFR Parts 367 and selected sections of 34 CFR 364 and 365, listed in 34 CFR 367.4.

Regional or Local Office:

None.

Headquarters Office:

Elizabeth Akinola, Office of Special Education and Rehabilitative Services, Department of Education, Rehabilitation Services Administration, 400 Maryland Avenue, S.W., Washington, District of Columbia 20202 Email: elizabeth.akinola@ed.gov Phone: (202) 245-7303.

Website Address:

<http://www.rsa.ed.gov/programs.cfm>

RELATED PROGRAMS:

84.132 Centers for Independent Living; 84.169 Independent Living_State Grants; 84.240 Program of Protection and Advocacy of Individual Rights

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

An approved OIB application is a condition for receipt of funds under the OIB program under Chapter 2 of Title VII of the Rehabilitation Act. Application requirements are contained in section 752(i) of the Rehabilitation Act and 34 CFR 367.10 and 367.11.

84.181 SPECIAL EDUCATION-GRANTS FOR INFANTS AND FAMILIES

FEDERAL AGENCY:

Office of Special Education and Rehabilitative Services, Department of Education

AUTHORIZATION:

Individuals with Disabilities Education Act (IDEA), as amended, 20 U.S.C. 1431-1444, Part C.

OBJECTIVES:

To provide grants to States to assist them to implement and maintain a Statewide, comprehensive, coordinated, multidisciplinary, interagency system to make available early intervention services to infants and toddlers with disabilities and their families.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Funds are used to assist States in implementing and maintaining their Statewide systems of early intervention services. Funding may also be used to provide direct services for eligible infants and toddlers with disabilities aged birth through 2 and their families that are not otherwise provided by other public or private sources, to expand and improve services for infants and toddlers with disabilities that are otherwise available and to provide a free appropriate public education, in accordance with Part B of IDEA, to children with disabilities from their third birthday to the beginning of the following school year. States also have the discretion to provide services to infants and toddlers who are at risk of having substantial developmental delays if they do not receive appropriate early intervention services. The Individuals with Disabilities Education Improvement Act of 2004 amended IDEA to allow states, at their discretion, to continue to serve children with disabilities under this program beyond age three until the children enter or are eligible to enter kindergarten or elementary school, if such children are otherwise eligible for services under the Preschool

Grants Program (84.173), and previously received services under the Part C program.

Applicant Eligibility:

Eligible applicants are the 50 States, the District of Columbia, the Commonwealth of Puerto Rico, the Secretary of the Interior and the following four outlying area jurisdictions: Guam, American Samoa, the Virgin Islands, and the Commonwealth of the Northern Mariana Islands.

Beneficiary Eligibility:

Infants and toddlers with disabilities aged birth through 2 and their families will benefit.

Credentials/Documentation:

. In general, each State or jurisdiction must submit an application, including certifications, policies and procedures, and statement of assurances to receive IDEA Part C FY 2009 funds; however no separate application were required for States to receive IDEA Part C ARRA funds. Each State lead agency is designated by the Governor of that State or jurisdiction. OMB Circular No. A-87 applies to this program. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Specific application procedures are provided in the application form for the program. The State lead agencies, the applicants for this program, are designated by the Governor.

Award Procedure:

Once the State application is approved, a grant award representing the total State allocation is forwarded to the State lead agency. This is a forward funded program, in which funds become available for obligation on July 1st of the fiscal year for which they are appropriated and remain available to States for 15 months through September of the following year and an extra twelve months.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

The time for reviewing the State's application is determined by EDGAR (in 34 CFR 76.703) and ranges from 45 to 90 days.

Appeals:

The Department may not disapprove an application unless the Department determines, after notice and opportunity for a hearing, that the applicant has failed to meet an eligibility requirement.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Awards are based on each State applicant's proportionate share of children, aged birth through two years, in the general population, based on the most recent satisfactory data available from the United States Bureau of the Census. No State may receive less than 0.5 percent of the total funds available for all States. The Department of Interior receives 1.25 percent of the amount available to States. The Outlying Areas combined receive up to 1 percent of the funds appropriated. This program has nonsupplanting and MOE requirements. 20 USC 1443.

Matching requirements are not applicable to this program.

This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

Grants are issued each year. The Federal obligation period is a 15-month period from July 1 through September 30 of the following fiscal year. State and local education agencies have an additional 12-month period to obligate their funds. See the following for information on how assistance is awarded/released:

Electronic transfer.

Reports:

State lead agencies must submit an Annual Performance Report under IDEA sections 616 and 642. An annual report to the Governor and to the Secretary by the State Interagency Coordinating Council on the status of early intervention programs operated within the State as required under Section 641(e)(1)(D) of the Act, and other information that the Secretary may require, including information required under Section 618 of the Act. Annual State Performance Plans and Annual Performance Reports are submitted to the Department of Education by the lead agency on an annual basis. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

As required by GEPA and EDGAR for State-administered programs (in 34 CFR 76.730-731), generally, the State lead agency and its contractors must retain records related to grant funds and compliance for a period of 5-years.

Account Identification:

91-0300-0-1-501.

Obligations:

(Formula Grants) FY 12 \$442,709,694; FY 13 est \$419,652,810; and FY 14 est \$462,710,000

Range and Average of Financial Assistance:

For 2012, regular annual allotments to States, including DC and Puerto Rico ranged from, \$2,170,000 to \$54,039,000; with an average award of \$8,348,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: No Current Data Available. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

34 CFR Part 303.

Regional or Local Office:

None.

Headquarters Office:

Gregg Corr Office of Special Education Programs, Department of Education, 400 Maryland Avenue, SW, Washington, District of Columbia 20202 Email: gregg.corr@ed.gov Phone: (202) 245-7309

Website Address:

<http://www.ed.gov/about/offices/list/osers/osep/programs.html>.

RELATED PROGRAMS:

84.027 Special Education_Grants to States; 84.173 Special Education_Preschool Grants; 93.600 Head Start

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

State lead agencies designated by the Governor are eligible applicants and must submit an approved application under Part C of the IDEA for regular IDEA Part C funds.

84.184 SAFE AND DRUG-FREE SCHOOLS AND COMMUNITIES NATIONAL PROGRAMS

FEDERAL AGENCY:

Office of Elementary and Secondary Education, Department of Education

AUTHORIZATION:

Elementary and Secondary Education Act, as amended, Title IV, Part A, Subpart 2, Section 4121-4130.

OBJECTIVES:

To enhance the Nation's efforts to prevent the illegal use of drugs and violence among, and promote safety and discipline for, students at all educational levels; and to support programs to reduce alcohol abuse among secondary school students.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Projects funded under this program support alcohol abuse reduction, drug and violence prevention and education, and school emergency preparedness activities. The Secretary may fund applications that address specific priorities and requirements published in the Federal Register. Maintenance-of-effort provisions apply to local educational agencies (LEAs); an LEA may receive a grant only if its State educational agency finds that either the combined fiscal effort per student or the aggregate expenditures of the LEA and the State with respect to the provision of free public education by the LEA for the preceding fiscal year was not less than 90 percent of the combined fiscal effort or aggregate expenditures for the second preceding fiscal year. If applicable, all grantees must use an indirect cost rate that is consistent with the provisions in 34 CFR 75.560 through 75.564.

Applicant Eligibility:

Public and private organizations, and individuals.

Beneficiary Eligibility:

Higher education institutions, State educational agencies (SEAs), local educational agencies (LEAs), and nonprofits will benefit, as will students, teachers, and parents.

Credentials/Documentation:

Contact the headquarters for credential/documentation requirements. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applications or proposals must be prepared and submitted in accordance with applicable program announcements.

Award Procedure:

After completion of competitive peer review of applications, the Department of Education makes the final decision on the approval and funding of applications.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

The estimated amount of time needed to approve/disapprove an application is five months following the application deadline date.

Appeals:

Not Applicable.

Renewals:

Contingent upon the availability of funding and satisfactory performance.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

Grants will generally be made for a period of 12 to 48 months. Renewals are

subject to the availability of funds. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Specified reporting requirements are included in the grant, cooperative agreement or contract document. Cash reports are not applicable. Contact program office. Contact program office. Contact program office.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. Auditors should use Part 7 of that Circular - (Guidance for Auditing Programs Not Included in the Compliance Supplement) for reference when auditing this program.

Records:

As required by EDGAR, 34 CFR 74.53 and 75.730-732.

Account Identification:

91-0203-0-1-501.

Obligations:

(Project Grants) FY 12 \$84,877,150; FY 13 est \$61,483,686; and FY 14 Estimate Not Available

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: No Current Data Available. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations applicable to this program are in the Department of Education's General Administrative Regulations (34 CFR 74, 75, 77, 79, 80, 81, 82, 84, 85, 86, 97, 98, 99, and 299).

Regional or Local Office:

None.

Headquarters Office:

David Esquith Department of Education, Office of Elementary and Secondary Education, Potomac Center Plaza, Room 10089, Washington, District of Columbia 20202 Email: david.esquith@ed.gov Phone: (202) 245-7336

Website Address:

<http://www2.ed.gov/about/offices/list/osdfs/programs.html>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Criteria include: (1) Need for the project; (2) significance; (3) quality of project design; (4) adequacy of resources; (5) quality of the management plan; and (6) quality of project evaluation.

84.187 SUPPORTED EMPLOYMENT SERVICES FOR INDIVIDUALS WITH THE MOST SIGNIFICANT DISABILITIES

(Supported Employment State Grants)

FEDERAL AGENCY:

Office of Special Education and Rehabilitative Services, Department of Education

AUTHORIZATION:

Rehabilitation Act of 1973, as amended, Title VI, Part B.

OBJECTIVES:

To provide grants for time limited services leading to supported employment for individuals with the most significant disabilities to enable such individuals to achieve the employment outcome of supported employment.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Federal funds are used to: (1) complement services under Title I of the Rehabilitation Act of 1973, as amended; (2) provide skilled job trainers who accompany the worker for intensive on-the-job training; (3) provide systematic training; (4) provide job development; (5) provide follow-up services; (6) provide regular observation or supervision at training sites; and (7) provide other services needed to support an individual in employment. Funds may not be used to provide the required extended services once an individual transitions from the time limited services provided under Title VI-B.

Applicant Eligibility:

State vocational rehabilitation (VR) agencies (including territories and possessions) designated in the State plan to administer the Vocational Rehabilitation Program.

Beneficiary Eligibility:

Individuals with the most significant disabilities who have been determined eligible for vocational rehabilitation services under Title I of the Rehabilitation Act of 1973, as amended, and who has identified supported employment as an employment outcome.

Credentials/Documentation:

No Credentials or documentation are required. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Each State agency must submit a State plan supplement as part of the State plan under Title I of the Rehabilitation Act. Vocational rehabilitation agencies submit the State Plan and supplement to RSA.

Award Procedure:

Grant awards are made to State VR agencies with an approved State plan. States are notified of their annual allotment under the funding formula. Grant funds are withdrawn under the Electronic Transfer System.

Deadlines:

Jul 01, 2011 A State plan supplement to the State plan for vocational rehabilitation services is submitted to the RSA for approval by July 1 of each year.

Range of Approval/Disapproval Time:

State plans must be approved within 90 days of being submitted to RSA. The State Plan and Supported Employment supplement must be approved prior to allotment of program funds to state VR agencies.

Appeals:

A State may appeal the Secretary's decision to disapprove the State plan by filing a petition for review with the United States Court of Appeals for the circuit in which the State is located.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title Rehabilitation Act of 1973, as amended, Title VI, Part B. Federal funds are distributed based on the U.S. total populations and State population and the source is the Population Estimates Annual, Bureau of the Census. No State shall receive less than \$300,000 or one-third of one percent of the sums made available for the fiscal year, whichever is greater. The minimum allotment for Territories is 1/8 of 1 percent of the amount appropriated. Matching requirements are not applicable to this program. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Funds become available for obligations for the fiscal year for which they are

appropriated and may remain available for obligation for an additional year. Grant funds are withdrawn under the Electronic Transfer System. See the following for information on how assistance is awarded/released: electronic transfer.

Reports:

Annual and quarterly progress reports and annual budget (Standard Form 425) are required. Cash reports are not applicable. Progress reports are not applicable. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Case records on individual consumers, fiscal records for a prescribed period for audit purposes and retention of records for three years are required.

Account Identification:

91-0301-0-1-506.

Obligations:

(Formula Grants) FY 12 \$29,067,957; FY 13 est \$27,547,528; and FY 14 est \$0

Range and Average of Financial Assistance:

In FY 2012, range of awards is \$36,335 to \$2,290,317. The average State award, excluding territories is \$550,614.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: No Current Data Available. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Supported Employment Services for Individuals with the Most Significant Disabilities (34 CFR part 363).

Regional or Local Office:

None.

Headquarters Office:

Carol Dobak, Department of Education, OSERS Rehabilitation Services Administration 400 Maryland Ave., S.W., Washington, District of Columbia 20202 Email: Carol.Dobak@ed.gov Phone: (202) 245-7325.

Website Address:

<http://www.rsa.ed.gov/programs.cfm>

RELATED PROGRAMS:

64.007 Blind Rehabilitation Centers; 64.013 Veterans Prosthetic Appliances; 64.116 Vocational Rehabilitation for Disabled Veterans; 84.126 Rehabilitation Services_Vocational Rehabilitation Grants to States; 84.128 Rehabilitation Services_Service Projects; 84.132 Centers for Independent Living; 84.160 Training Interpreters for Individuals who are Deaf and Individuals who are Deaf-Blind; 84.161 Rehabilitation Services_Client Assistance Program; 84.169 Independent Living_State Grants; 84.177 Rehabilitation Services_Independent Living Services for Older Individuals Who are Blind

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable (Catalog users should contact the State Vocational Rehabilitation agency for criteria).

**84.191 ADULT EDUCATION_NATIONAL LEADERSHIP ACTIVITIES
FEDERAL AGENCY:**

Office of Vocational and Adult Education, Department of Education

AUTHORIZATION:

Adult Education and Family Literacy Act, (AEFLA), Title II of the Workforce

Investment Act of 1998, Section 243, 20 USC 9253.

OBJECTIVES:

To support applied research, development, demonstration, dissemination, evaluation, and related activities that contribute to the improvement of adult education and literacy activities nationally.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants); Direct Payments for Specified Use; Project Grants; Project Grants (Contracts)

USES AND USE RESTRICTIONS:

To support activities to enhance the quality of adult education and literacy activities nationwide. For assistance call the Office of the Chief Financial Officer/Indirect Cost Group on 202-708-7770.

Applicant Eligibility:

Postsecondary education institutions, public or private agencies or organizations, or consortia of these institutions, agencies, or organizations are eligible.

Beneficiary Eligibility:

Basic education and literacy programs for adults seeking to obtain an education at the primary or secondary levels will benefit through national evaluation, research, leadership, and/or technical assistance efforts.

Credentials/Documentation:

Legal signature by a designated official on the application or proposal. Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments. Costs will also be determined in accordance with OMB Circular No. A-21 for educational institutions and OMB Circular No. A-122 for nonprofit institutions. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed when applying for a competitive grant. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applications must be prepared and submitted to the Department of Education in accordance with program announcements and established deadlines which are published in the Federal Register.

Award Procedure:

Proposals or applications are reviewed in accordance with regulations for the Adult Education Act. After negotiation, a notification for award is sent to the successful recipient.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Funding will usually cover a 12-18 month period. See the following for information on how assistance is awarded/released: electronic transfer.

Reports:

Financial status and performance reports required by the Education Department General Administrative Regulations, 34 CFR 75.720. Specific reporting requirements are contained in the award document. Subject to the terms of the award document, cash reports, progress reports, expenditure reports and performance monitoring may or may not be applicable. No cash reports are required. No progress reports are required. No expenditure reports are required. Contact program office.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

In accordance with Section 443 of the General Education Provisions Act, grantees must maintain records for three years.

Account Identification:

91-0400-0-1-501.

Obligations:

(Project Grants (Contracts)) FY 12 \$11,301,600; FY 13 est \$10,712,033; and FY 14 est \$14,302,000

Range and Average of Financial Assistance:

To be determined.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: No Current Data Available. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations are found in 34 CFR 460 and 34 CFR 75.730-75.734. EDGAR

Regional or Local Office:

None.

Headquarters Office:

Christopher Coro Department of Education, OVAE Division of Adult Education and Literacy 400 Maryland Ave., S.W., Washington, District of Columbia 20202 Email: christopher.coro@ed.gov Phone: (202) 245-7717

Website Address:

<http://www.ed.gov/about/offices/list/ovae/pi/AdultEd/index.html>.

RELATED PROGRAMS:

84.002 Adult Education - Basic Grants to States

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Contact the program office for additional information.

84.196 EDUCATION FOR HOMELESS CHILDREN AND YOUTH FEDERAL AGENCY:

Office of Elementary and Secondary Education, Department of Education

AUTHORIZATION:

McKinney-Vento Homeless Assistance Act, Title VII, Subtitle B.

OBJECTIVES:

To ensure that all homeless children and youth have equal access to the same free, appropriate public education available to other children, the Education for Homeless Children and Youth program provides assistance to States to: (1) establish or designate an Office of Coordinator for Education of Homeless Children and Youths; (2) develop and carry out a State plan for the education of homeless children; and (3) make subgrants to local educational agencies (LEAs) to support the education of those children.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

State educational agencies (SEAs) may use grant funds for State-level activities (including activities of the Office of Coordinator for Education of Homeless Children and Youths) and to make subgrants to LEAs. LEAs may use subgrant funds for activities allowable under section 723(d) of the McKinney-Vento Homeless Assistance Act that facilitate the educational success of homeless children and youth. Such activities include but are not limited to: enriched supplemental instruction, transportation, health care referral services, and professional development for teachers. Services provided with these funds cannot replace the regular academic program and must expand upon or improve services provided as part of the regular academic program.

Applicant Eligibility:

State educational agencies in the 50 States, the District of Columbia, and Puerto Rico may apply. Funds are also reserved for the Outlying Areas and the Department of Interior/Bureau of Indian Education. LEAs are eligible for State subgrants.

Beneficiary Eligibility:

Homeless children and youth in elementary and secondary schools (and homeless preschool children and their parents benefit.

Credentials/Documentation:

No Credentials or documentation are required. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. A State that desires to receive a grant under this program must submit a plan to the Department that meets the requirements of section 722(g) of the McKinney-Vento Homeless Assistance Act.

Award Procedure:

The Department awards grants to States by formula. States then award subgrants competitively to LEAs.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not applicable.

Formula and Matching Requirements:

Statutory Formula: McKinney-Vento Homeless Assistance Act, Title VII, Subpart B, section 722(c). Each State receives an amount that bears the same ratio to the amount appropriated for this program as the amount allocated to the State under Section 1122 of the Elementary and Secondary Education Act bears to the total allocations made under Section 1122, except that no State shall receive less than the greater of \$150,000, 0.25 percent of the total program appropriation, or the amount of the State's fiscal year 2001 award. Matching requirements are not applicable to this program. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

States receive formula grants annually. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

State grantees must submit annual performance and financial reports as required by the Education Department General Administrative Regulations (EDGAR) at 34 CFR Part 76. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

In accordance with Section 443 of the General Education Provisions Act, grantees must maintain records for 3 years.

Account Identification:

91-1000-0-1-501.

Obligations:

(Formula Grants) FY 12 \$65,172,591; FY 13 est \$61,771,052; and FY 14 est \$65,173,000

Range and Average of Financial Assistance:

FY 2013 average State award: \$1,157,654.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: No Current Data Available. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Guidance for the Education for Homeless Children and Youth program may be obtained from the Headquarters Office contact below.

Regional or Local Office:

None.

Headquarters Office:

John McLaughlin, Office of Elementary and Secondary Education, Department of Education, 400 Maryland Avenue, S.W., Washington, District of Columbia 20202 Email: john.mclaughlin@ed.gov Phone: (202) 401-0962.

Website Address:

<http://www.ed.gov/programs/homeless/index.html>.

RELATED PROGRAMS:

10.553 School Breakfast Program; 10.555 National School Lunch Program; 10.556 Special Milk Program for Children; 84.010 Title I Grants to Local Educational Agencies; 84.011 Migrant Education_State Grant Program; 84.013 Title I Program for Neglected and Delinquent Children

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

States receive grants noncompetitively by formula. LEA subgrants are awarded competitively by States on the basis of need and the quality of applications.

84.200 GRADUATE ASSISTANCE IN AREAS OF NATIONAL NEED FEDERAL AGENCY:

Office of Postsecondary Education, Department of Education

AUTHORIZATION:

Higher Education Act of 1965, as amended, Title VII, Part A, Subpart 2.

OBJECTIVES:

To provide fellowships through graduate academic departments, programs, and units of institutions of higher education to graduate students of superior ability who demonstrate financial need for the purpose of sustaining and enhancing the capacity for teaching and research in academic areas of national need, as designated by the Secretary.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Awards made to institutions under this part shall be used exclusively to provide direct fellowship aid that will include need-based stipends, based on the support level of National Science Foundation fellowships and an institutional allowance for each individual awarded a fellowship to be adjusted annually in accordance with the Department of Labor's Consumer Price Index. This program is subject to non-supplanting requirements and must use a restricted indirect cost rate which is referenced under 34 CFR 75.563. For assistance call the Office of the Chief Financial Officer/Indirect Cost Group at 202-708-7770.

Applicant Eligibility:

Academic departments and programs of IHEs that provide courses of study leading to a graduate degree may apply. Nondegree granting institutions may submit joint proposals with degree-granting IHEs.

Beneficiary Eligibility:

Graduate students receiving fellowships must demonstrate financial need, have excellent academic records, plan to pursue the highest degree in the field, and be a U.S. citizen or a National, or a permanent resident of the U.S., or intend to become a U.S. citizen, be a permanent resident of the Trust Territory of the Pacific Islands, or a citizen of any one of the Freely Associated States.

Credentials/Documentation:

A properly formatted application must be filed with the Secretary. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Instructions and forms concerning application procedures are available on the program website following publication of the Notice Inviting Application funding criteria in the Federal Register.

Award Procedure:

Panels of experts from outside the Government will appraise all applications. The program office will recommend funding to the Secretary, who will make the final decisions.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

The range is approximately three months.

Appeals:

Not Applicable.

Renewals:

Noncompeting continuation applications must be given funding preference subject to the availability of funds.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: Percent: 25%. A grantee must match the award by 25 percent. Federal funds and matching funds must be used for stipends, tuition fees, and other educational costs of students. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The length of the award is three years, subject to yearly appropriations. However students may receive support for up to five years. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Annual fiscal and performance reports are required. No cash reports are required. No progress reports are required. No expenditure reports are required. No performance monitoring is required.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. Audit requirements as described in 34 CFR 74.26 do not apply to this program.

Records:

None.

Account Identification:

91-0201-0-1-502.

Obligations:

(Project Grants) FY 12 \$30,909,471; FY 13 est \$29,292,720; and FY 14 est \$30,909,471

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: No Current Data Available. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations were published in the Federal Register on December 16, 1993. The application package including forms and regulations are available on the GAANN website. See program website for further information.

Regional or Local Office:

None.

Headquarters Office:

Rebecca Ell Teacher & Student Development Programs Service, Graduate Assistance in Areas of National Need Program, Office of Postsecondary Education, Department of Education, 1900 K St, NW, 6th Floor, Washington, District of Columbia 20006 Email: ope_gaann_program@ed.gov Phone: (202) 502-7779

Website Address:

<http://www.ed.gov/programs/gaann/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

This program uses the selection criteria contained in program regulations made available in the application package. Contact the program office for additional information. See program website for further information.

84.215 FUND FOR THE IMPROVEMENT OF EDUCATION

(FIE)

FEDERAL AGENCY:

Office of Innovation and Improvement, Department of Education

AUTHORIZATION:

Elementary and Secondary Education Act of 1965 (ESEA), as amended, Title V, Part D, Subpart 1.

OBJECTIVES:

To conduct nationally significant programs to improve the quality of education, assist all students to meet challenging State content standards, and contribute to the achievement of elementary and secondary students.

TYPES OF ASSISTANCE:

Project Grants (Contracts)

USES AND USE RESTRICTIONS:

Funds may be used to support a wide range of projects under the Fund for the Improvement of Education (FIE) general authority for programs, and additional specific program authorities. Competitions are announced in the Federal

Register. Specific limitations and restrictions for each competition are provided in the Federal Register notice.

Applicant Eligibility:

State educational agencies, local educational agencies, institutions of higher education, public and private organizations and institutions may apply.

Beneficiary Eligibility:

State educational agencies, local educational agencies, institutions of higher education, public and private organizations and institutions will benefit.

Credentials/Documentation:

OMB Circular No. A-21; OMB Circular No. A-122. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Application procedures are described in application notices published annually in the Federal Register. Contact the headquarters offices listed below for application packages containing the announcement, application, and assurance forms and for further information about the specific programs.

Award Procedure:

Awards are competitively selected following review by nonfederal experts in accordance with Subpart D of Part 75 of the Education Department General Administrative Regulations (EDGAR).

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 120 days.

Appeals:

Not Applicable.

Renewals:

As required by the Education Department General Administrative Regulations (EDGAR) for direct grant program (see 34 CFR 75.253). Generally, for multiple-year awards, continuation awards after the first budget period are made if: sufficient funds have been appropriated; the recipient has either made substantial progress in the meeting of the goals of the project or obtained approval for changes in the project; the recipient has submitted all required reports; and continuation is in the best interest of the government.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Awards are made annually. Following an initial, competitively selected award, additional non-competing continuation awards may be made. The possible length of the project is announced in the application notice in the Federal Register. Renewals are subject to the availability of appropriations. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

As required by the Education Department General Administrative Regulations (EDGAR) for direct grant programs (34 CFR 75). Generally, annual performance and financial reports are required. Cash reports are not applicable. Annual performance reports are required as part of the submittal of form ED 524B. Annual expenditure reports are required as part of the submittal of form ED524B. Staff also monitors grants drawdowns using agency financial reports. Reporting on performance measures is required as part of the submittal of form ED524B.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

As required by EDGAR for direct grant programs (34 CFR part 75). Generally, records related to grant funds, compliance, and performance must be maintained for a period of 5 years after completion.

Account Identification:

91-0204-0-1-501.

Obligations:

(Project Grants) FY 12 \$40,822,699; FY 13 est \$38,687,427; and FY 14 est \$46,276,000

Range and Average of Financial Assistance:

Varies by competition.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: No Current Data Available. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Education Department General Administrative Regulations, 34 CFR 74, 75, 77, 79, 80, 81, 82, 84, 85, 86, 97, 98, and 99. OMB Circulars A-21, A-87, A-110, A-122, and A-133.

Regional or Local Office:

None.

Headquarters Office:

Carol Lyons, Office of Innovation and Improvement (OII), 400 Maryland Avenue S.W., Washington, District of Columbia 20202 Email: carol.lyons@ed.gov Phone: (202) 205-4760.

Website Address:

<http://www2.ed.gov/programs/fie/index.html>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The selection criteria for these programs are contained in Section 75.210 of the Education Department General Administrative Regulations (EDGAR). The specific criteria to be used for particular grant competitions are announced in the application package or application notice in the Federal Register.

84.217 TRIO_MCN AIR POST-BACCALAUREATE ACHIEVEMENT FEDERAL AGENCY:

Office of Postsecondary Education, Department of Education

AUTHORIZATION:

Higher Education Act of 1965, as amended, Title IV, Part A, Subpart 2, Chapter 1 Section 402E.

OBJECTIVES:

To provide grants for institutions of higher education to prepare participants for doctoral studies through involvement in research and other scholarly activities. Participants are from disadvantaged backgrounds and have demonstrated strong academic potential. Institutions work closely with participants as they complete their undergraduate requirements. Institutions encourage participants to enroll in graduate programs and then track their progress through to the successful completion of advanced degrees. The goal is to increase the attainment of Ph.D. degrees by students from underrepresented segments of society.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds must be used by the participating institution to provide eligible participants with opportunities for research and other scholarly activities; summer internships; seminars; tutoring; academic counseling; and activities designed to help students secure admission and financial assistance for graduate study. Funds may be used for : services to improve students' financial and economic literacy, mentoring and exposure to cultural events. At least two-thirds of the project participants must be low-income individuals who are first-generation college students. The remaining participants may be from a group that is underrepresented in graduate education.

Applicant Eligibility:

Institutions of higher education or combinations of institutions of higher education may apply.

Beneficiary Eligibility:

2/3 of participants must be low-income first generation college students. The remaining participants must be students from groups underrepresented in graduate education. All participants must be enrolled in a degree program at an eligible institution of higher education.

Credentials/Documentation:

Costs will be determined in accordance with Education Department General Administrative Regulations and the program regulations, Section 647 of the Code of Federal Regulations. OMB Circular A-21 applies to this program. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Develop a proposal in accordance with legislation, regulations, applications, and timetables issued by the Secretary of Education. No State plan is required. Requests for applications should be made to the Federal TRIO Program. This program is subject to the provisions of the Education Department General Administrative Regulations.

Award Procedure:

Nonfederal field readers evaluate new proposals on the basis of the selection criteria specified in 34 CFR 647.21. Grants are awarded by the Federal TRIO Programs office.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Approximately 90 to 180 days.

Appeals:

The program statute authorizes a second review of unsuccessful applications. The Department implements the second review process in accordance with 34 CFR 647.24. An unsuccessful applicant requesting a second review must provide evidence for the Department, an agent of the Department, or a peer reviewer made a technical, administrative, or scoring error in the processing or review of its application.

Renewals:

Awards are for 5-year project periods. Continuation awards are made annually. Generally, continuation awards are made if sufficient funds have been appropriated and the grantee is meeting the conditions of the grant and making substantial progress toward meeting the project objectives.

Formula and Matching Requirements:

This program has no statutory formula. This program has no matching requirements. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Five year projects, subject to the availability of funds. See the following for

information on how assistance is awarded/released: Electronic transfer.

Reports:

Grantees must submit an annual performance report. No cash reports are required. Progress reports (ED 524B) are required for a project in its first year of funding. Staff monitor grants drawdown using agency financial reports. Reporting on performance measures is required as part of the submittal of the required annual performance report.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

In accordance with the Education Department General Administrative Regulations, 34 CFR 75, 730, 75.731, and 75.732 and 647.32 of the program regulations.

Account Identification:

91-0201-0-1-502.

Obligations:

(Project Grants) FY 12 \$37,270,000; FY 13 est \$35,940,000; and FY 14 est \$35,701,000

Range and Average of Financial Assistance:

Varies by competition. In FY 2012, awards ranged from approximately \$213,180 to \$367,750; the average award was approximately \$235,880.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: The Department made 132 new awards totaling approximately \$31.3 million. The Department made 26 NCC awards, totalling approximately \$5.9 million. Fiscal Year 2013: No Current Data Available
Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

34 CFR 647 and Education Department General Administrative Regulations.

Regional or Local Office:

None.

Headquarters Office:

Carmen Gordon Department of Education, Student Service, Federal TRIO Programs, GEAR UP and Undergraduate and Graduate Programs, Office of Postsecondary Education, 1990 K Street, NW, 7th Floor, Washington, District of Columbia 20006 Email: carmen.gordon@ed.gov Phone: (202) 219-7138

Website Address:

<http://www.ed.gov/programs/triomcnair/>

RELATED PROGRAMS:

84.042 TRIO_Student Support Services; 84.044 TRIO_Talent Search; 84.047 TRIO_Upward Bound; 84.066 TRIO_Educational Opportunity Centers; 84.103 TRIO Staff Training Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available
Fiscal Year 2013: No Current Data Available
Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

For new awards, the Department will select applicants under the criteria set forth in 647.21 of the program regulations. Contact the program office for additional information.

**84.220 CENTERS FOR INTERNATIONAL BUSINESS EDUCATION
FEDERAL AGENCY:**

Office of Postsecondary Education, Department of Education

AUTHORIZATION:

Higher Education Act of 1965, as amended, Title VI, Part B, Section 612.

OBJECTIVES:

To provide a comprehensive university approach to improve the teaching of international business by bringing together faculty from numerous disciplines and to engage in research to promote the international competitiveness of U.S. business.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

The centers funded will: be national resources for the teaching of improved business techniques, strategies, and methodologies that emphasize the international context in which business is transacted; provide instruction in critical foreign languages and international fields needed to provide an understanding of the cultures and customs of U.S. trading partners; provide research and training in the international aspects of trade, commerce, and other fields of study; provide training to students enrolled in the institution or institutions in which a center is located; serve as regional resources to local businesses by offering programs and providing research designed to meet the international training needs of such businesses; and serve other faculty, students, and institutions of higher education located within their respective regions. This program is subject to non-supplanting requirements and must use a restricted indirect cost rate which is referenced under 34 CFR 75.563. For assistance call the Office of the Chief Financial Officer/Indirect Cost Group on 202-377-3838.

Applicant Eligibility:

Accredited public and nonprofit private institutions of higher education, or consortia of such institutions, that establish a center advisory council before the date Federal assistance is received may apply. This council will conduct extensive planning concerning the scope of the center's activities and the design of its program prior to establishing the center.

Beneficiary Eligibility:

Students and faculty of accredited institutions of higher education will benefit.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Eligible institutions may submit proposals for funding of projects when the announcement of a new competition appears in the Federal Register. Application forms and guidelines are available from the Office of International and Foreign Language Education at the Department of Education.

Award Procedure:

Final recommendations are made to the Secretary of Education following advice from a panel of consultants developed according to procedures defined by the Education Department General Administrative Regulations.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 150 days.

Appeals:

Not Applicable.

Renewals:

Project period may last up to 48 months. Applicants submit performance reports for continuation funding according to instructions published by the Department. Renewals are subject to the availability of funds.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: The Federal share of the cost of centers is: (a) Not more than 90 percent for the first year in which Federal funds are furnished; (b) not more than 70 percent for the second year; and (c) not more than 50 percent for the third year and succeeding years. The nonfederal share for planning, establishing and operating the center may be provided by in-cash or in-kind assistance.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grants are awarded for a 48 month period, subject to the availability of funds. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Institutions must provide final reports upon completion of the program. Staff monitor drawdown using agency financial reports. Cash reports are not applicable. Progress reports are required. Expenditure reports are not applicable. Reporting measures is required as part of the submittal of the required annual performance report.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. Grantees are subject to audit requirements as described in 34 CFR 74.26.

Records:

All records supporting claims under grants or relating to accountability for awarded funds must be available upon request for 3 years after close of the fiscal year in which the grant was closed out or until the grantee is notified of the completion of a Federal financial audit, whichever is later.

Account Identification:

91-0201-0-1-502.

Obligations:

(Project Grants) FY 12 \$5,731,864; FY 13 est \$5,468,198; and FY 14 est \$5,731,864

Range and Average of Financial Assistance:

To be determined.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: No Current Data Available. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

34 CFR 74, 75, 77, 82, 84, 85, 86, 97, 98, and 99 (Education Department General Administrative Regulations).

Regional or Local Office:

None.

Headquarters Office:

Susanna C. Easton, Office of International and Foreign Language Education, Office of Postsecondary Education, Department of Education, 1990 K Street, NW, Washington, District of Columbia 20006 Email: Susanna.easton@ed.gov Phone: (202) 502-7628.

Website Address:

<http://www.ed.gov/programs/iegpscibe/>.

RELATED PROGRAMS:

84.015 National Resource Centers Program for Foreign Language and Area Studies or Foreign Language and International Studies Program and Foreign Language and Area Studies Fellowship Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Applications for awards will be evaluated competitively in accordance with the selection criteria published in 34 CFR 75.209 and 75.210. The Secretary will weigh criteria using points indicated in the application package or in a notice published in the Federal Register. Applicants should address the selection

criteria only in the context of the program requirements in Title VI, Part B, Section 612 of the Higher Education Act of 1965, as amended.

84.224 ASSISTIVE TECHNOLOGY**FEDERAL AGENCY:**

Office of Special Education and Rehabilitative Services, Department of Education

AUTHORIZATION:

Assistive Technology Act of 1998, as amended.

OBJECTIVES:

To provide States with financial assistance that supports programs designed to maximize the ability of individuals of all ages with disabilities and their family members, guardians, advocates, and authorized representatives to obtain assistive technology devices and assistive technology services.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Grants are awarded to carry out the functions authorized under the Act. States may provide assistance to Statewide community-based organizations or directly to individuals with disabilities of all ages. Technical assistance contracts support States and other entities. This program is subject to non-supplanting requirements and must use a restricted indirect cost rate which is referenced under 34 CFR 76.563. For assistance call the Office of the Chief Financial Officer/Indirect Cost Group at (202) 708-7770.

Applicant Eligibility:

States, including the District of Columbia, Puerto Rico, and outlying areas may apply. Applicants are designated by Governors.

Beneficiary Eligibility:

Individuals with disabilities, States, and community-based organizations providing services to individuals with disabilities will benefit.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments and OMB Circular No. A-21 for educational institutions. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. The Education Department General Administrative Regulations at 34 CFR Parts 76 and 80 apply. Unless otherwise directed in the Federal Register Announcement, applications should be submitted to the Department of Education, Application Control Center, 400 Maryland Avenue, SW., Washington, DC 20202.

Award Procedure:

State plans are reviewed by Department of Education staff.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: In accordance with the Act Federal funds are distributed on the basis of a statutory formula.

This program has no matching requirements. States and territories are allocated a base amount that is not less than the amount they received in FY 2004. Funds appropriated in excess of the base amount are divided in half and distributed equally to each State, and by a State population-based formula.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Annual formula grants. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Annual reports are required. Quarterly cash reports are required. Progress reports are not applicable. Annual expenditure reports are required. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Recordkeeping requirements and regulations are included in the statute.

Account Identification:

91-0301-0-1-506.

Obligations:

(Formula Grants) FY 12 \$25,560,599; FY 13 est \$24,223,626; and FY 14 est \$25,560,599

Range and Average of Financial Assistance:

To be determined.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: No Current Data Available. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Contact the Program Office for information.

Regional or Local Office:

None.

Headquarters Office:

Robert Groenendaal, Department of Education, OSERS Rehabilitation Services Administration, 400 Maryland Ave., S.W., Washington, District of Columbia 20202 Email: robert.groenendaal@ed.gov Phone: (202) 245-7393.

Website Address:

<http://www.ed.gov/about/offices/list/osers/nidrr/index.html>.

RELATED PROGRAMS:

84.126 Rehabilitation Services_Vocational Rehabilitation Grants to States

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Criteria are included in the regulations. Contact the Program Office for more information.

84.229 LANGUAGE RESOURCE CENTERS

FEDERAL AGENCY:

Office of Postsecondary Education, Department of Education

AUTHORIZATION:

Higher Education Act, as amended, Title VI, Part A, Section 603.

OBJECTIVES:

To provide grants for establishing, strengthening, and operating centers that

serve as resources for improving the nation's capacity for teaching and learning foreign languages through teacher training, research, materials development, and dissemination projects.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Centers funded must carry out activities to improve the teaching and learning of foreign languages. These activities must include effective dissemination efforts, whenever appropriate, and may include--(a) the conduct and dissemination of research on new and improved methods for teaching foreign languages, including the use of advanced educational technology; (b) the development and dissemination of new materials for teaching foreign languages, to reflect the results of research on effective teaching strategies; (c) the development, application, and dissemination of performance testing that is appropriate for use in an educational setting to be used as a standard and comparable measurement of skill levels in foreign languages; (d) the training of teachers in the administration and interpretation of foreign language performance tests, the use of effective teaching strategies, and the use of new technologies; (e) a significant focus on the teaching and learning needs of the less commonly taught languages, including an assessment of the strategic needs of the United States, the determination of ways to meet those needs nationally, and the publication and dissemination of instructional materials in the less commonly taught languages; (f) the development and dissemination of materials designed to serve as a resource for foreign language teachers at the elementary and secondary school levels; and (g) the operation of intensive summer language institutes to train advanced foreign language students, to provide professional development, and to improve language instruction through preservice and inservice language training for teachers. Equipment costs may not exceed 15 percent of the grant total.

Applicant Eligibility:

An institution of higher education or a consortia of institutions of higher education is eligible to receive an award.

Beneficiary Eligibility:

Institutions of higher education or combinations of such institutions and individuals will benefit.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Eligible institutions may submit applications for funding when the announcement of a new competition is issued in the Federal Register. Application forms and guidelines for the submission of applications are available from the Advanced Training and Research Team in the Office of International and Foreign Language Education at the Department of Education.

Award Procedure:

Final recommendations are made to the Secretary following advice from a panel of consultants.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 90 days.

Appeals:

Not Applicable.

Renewals:

Institutions of higher education selected to receive funds for the 4-year phased program must submit annual performance reports for the continuation of

support. Renewals are subject to the availability of funds.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grants are awarded for up to a 48-month period. Continuation awards are made if the grantee has made substantial progress in achieving the objectives outlined in its application and are subject to the availability of funds. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Funded centers must provide final reports upon completion of the 48 month project period. Interim and annual progress reports are required throughout the grant's duration. Staff monitor grants drawdown using agency financial reports. No cash reports are required. No progress reports are required. Expenditure reports are not applicable. Reporting on performance measures is required as part of the submittal of the required annual performance report.

Audits:

This program is excluded from coverage under OMB Circular No. A-133.

Grantees are subject to audit requirements as described in 34 CFR 74.26.

Records:

All records supporting claims under grants or relating to the accountability for awarded funds must be available upon request: (1) For 3 years after close of the fiscal year in which the grant expired or (2) until the grantee is notified of the completion of the Federal financial audit, whichever is later.

Account Identification:

91-0201-0-1-502.

Obligations:

(Project Grants) FY 12 \$2,548,528; FY 13 est \$2,431,296; and FY 14 est \$5,022,000

Range and Average of Financial Assistance:

To be determined.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: No Current Data Available. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

34 CFR 655 and 669, and the Education Department General Administrative Regulations.

Regional or Local Office:

None.

Headquarters Office:

Cynthia Dudzinski, International and Foreign Language Education, Office of Postsecondary Education, Department of Education, 1990 K Street, NW, 6th Floor, Washington, District of Columbia 20006 Email: cynthia.dudzinski@ed.gov Phone: (202) 502-7589.

Website Address:

<http://www.ed.gov/programs/iegpslrc/>.

RELATED PROGRAMS:

84.015 National Resource Centers Program for Foreign Language and Area Studies or Foreign Language and International Studies Program and Foreign Language and Area Studies Fellowship Program; 84.016 Undergraduate International Studies and Foreign Language Programs; 84.017 International Research and Studies; 84.018 Overseas Programs_Special Bilateral Projects; 84.021 Overseas Programs - Group Projects Abroad; 84.022 Overseas Programs - Doctoral Dissertation Research Abroad; 84.220 Centers for International Business Education

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Applications for awards will be evaluated competitively in accordance with the

selection criteria published in 34 CFR 655.31, 669.21, and 669.22. The Secretary will weigh criteria using points indicated in the application package or in a notice published in the Federal Register.

84.235 REHABILITATION SERVICES DEMONSTRATION AND TRAINING PROGRAMS

FEDERAL AGENCY:

Office of Special Education and Rehabilitative Services, Department of Education

AUTHORIZATION:

Rehabilitation Act of 1973, as amended, Title III, Section 303(b)-(d).

OBJECTIVES:

To provide financial assistance to projects and demonstrations for expanding and improving the provision of rehabilitation and other services authorized under the Act or that further the purposes of the Act, including related research and evaluation activities.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Authorized activities under this program include carrying out special demonstrations for expanding and improving the provision of rehabilitation and other services authorized under this Act and that further the purposes of the Act.

Projects may include demonstrations to increase client choice; technical assistance; systems change; special studies and evaluations; demonstrations of service delivery; model demonstrations; transition services; supportive employment; services to underserved populations and/or unserved or underserved areas; services to low-incidence disabilities; transportation; and other projects designated by the Secretary. Other authorized programs include Parent Information and Training and Braille training projects.

Applicant Eligibility:

Eligible applicants are States and public or nonprofit organizations. Grants cannot be made directly to individuals.

Beneficiary Eligibility:

Individuals with disabilities.

Credentials/Documentation:

Costs will also be determined in accordance with OMB Circular No. A-21 for educational institutions, and A-122 for nonprofit organizations. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. The Education Department General Administrative Regulations at 34 CFR Parts 74, 75 and 80 apply to this program. Applications should be submitted to the Department of Education, Application Control Center. Attention: (CFDA 84-235), 400 Maryland Avenue, S.W., Washington, DC 20202. The standard application kit as furnished by the Federal agency and required by OMB Circular No. A-102 must be used for this program. ED No. 80-0013 must also be submitted.

Award Procedure:

New awards are made based upon the results of a peer review process and the review and approval of applications by staff in various offices within the Department.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

The range is approximately 150 to 180 days.

Appeals:

Not Applicable.

Renewals:

Projects with multi-year periods may be continued based on annual review of accomplishments and availability of appropriated funds.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grants are awarded for up to 60 months. Renewals are subject to the availability of funds. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

As required by the Education Department General Administrative Regulations (34 CFR Part 75). Annual performance reports are required. No cash reports are required. No progress reports are required. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. See 34 CFR 74.26.

Records:

As required by EDGAR for direct grant programs (34 CFR Part 75). Generally records related to grant funds, compliance, and performance must be maintained for a period of 5 years after completion.

Account Identification:

91-0301-0-1-506.

Obligations:

(Project Grants) FY 12 \$5,324,917; FY 13 est \$5,046,392; and FY 14 est \$5,750,000

Range and Average of Financial Assistance:

to be determined.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: No Current Data Available. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations: (A) The Education Department General Administrative Regulations (EDGAR) in 34 CFR Parts 74, 75, 77, 79, 80, 81, 82, 85, and 86; and (B) the regulations for this program in 34 CFR Part 373 apply.

Regional or Local Office:

None.

Headquarters Office:

Tom Finch, Department of Education, OSERS Rehabilitation Services Administration, 400 Maryland Ave., SW, Washington, District of Columbia 20202 Email: tom.finch@ed.gov Phone: (202) 245-7343.

Website Address:

<http://www.ed.gov/offices/OSERS/RSA/Programs/Discretionary/demotrain.html>

RELATED PROGRAMS:

84.126 Rehabilitation Services_Vocational Rehabilitation Grants to States;
84.128 Rehabilitation Services_Service Projects

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Funding priorities are published in the Federal Register. Applications for discretionary grants are subject to peer review procedures leading to recommendations for approval or disapproval by Federal and nonfederal experts. Criteria for evaluating applications are published in program and department regulations (34 CFR 369).

84.240 PROGRAM OF PROTECTION AND ADVOCACY OF INDIVIDUAL RIGHTS

FEDERAL AGENCY:

Office of Special Education and Rehabilitative Services, Department of Education

AUTHORIZATION:

Rehabilitation Act of 1973, as amended , Title V, Section 509.

OBJECTIVES:

To provide grants for States to support systems for protection and advocacy for the rights of individuals with disabilities who are ineligible for advocacy services from the other protection and advocacy programs or whose problems fall outside the scope of services available from the Client Assistance Program (CAP). This program is subject to non-supplanting requirements and must use a restricted indirect cost rate which is referenced under 34 CFR 76.564-76.569. For assistance call the Office of the Chief Financial Officer/Indirect Cost Group at (202) 708-7770.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

Federal funds are used to support a system in each State to protect the legal and human rights of individuals with disabilities who need services that are beyond the scope of the Client Assistance Program (CAP) under Section 112 of the Rehabilitation Act of 1973, as amended (the Act), and are ineligible for the protection and advocacy programs under Part C of the Developmental Disabilities Assistance and Bill of Rights Act of 2000 (DDA) and the Protection and Advocacy for Individuals with Mental Illness Act (PAIMI).

Applicant Eligibility:

Only designated protection and advocacy agencies in each State and Territory may apply. The Governor designates the protection and advocacy agency.

Beneficiary Eligibility:

Individuals with disabilities will benefit.

Credentials/Documentation:

No Credentials or documentation are required. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Any application for a grant must contain assurances that the eligible protection and advocacy system will: (1) have in effect a system to protect and advocate for the rights of eligible individuals with disabilities; (2) have the same general authorities as outlined in Part C of the DDA; (3) have the authority to pursue legal, administrative, and other appropriate remedies to ensure the protection of the rights of individuals with disabilities; (4) provide information and referral services to individuals with disabilities in the State; (5) develop a priorities and objectives statement each year; (6) seek public comment about their proposed priorities and objectives statement; (7) establish a grievance procedure for clients and prospective clients of the eligible protection and advocacy system; (8) use the funds available for this program to supplement nonfederal funds that would otherwise be available for this purpose; and (9) utilize, to the maximum extent possible, mediation and

other alternative dispute resolution procedures before resorting to formal administrative or legal remedies.

Award Procedure:

Once the applications have been approved, awards are made on the basis of the relative population of each State. The minimum allotment is \$100,000 for States and \$50,000 for Territories.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

The State may appeal to the Office of Administrative Law Judges.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: In accordance with the Act Federal funds are distributed on the basis of the relative population in each State, except no State shall receive less than \$100,000, Territories \$50,000.

Matching requirements are not applicable to this program.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Annual formula grants. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Performance reports are required annually. A report describing the types of services and activities being undertaken by programs funded under the program, the total number of individuals served, the types of disabilities represented by such individuals, and the types of issues being addressed on behalf of such individuals. Required quarterly. No progress reports are required. No expenditure reports are required. Performance reports are required annually.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), Audits of States, Local Governments, and Nonprofit Organizations, nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Final records must be maintained for 3 years after the grant ends or until all audit questions are resolved.

Account Identification:

91-0301-0-1-506.

Obligations:

(Formula Grants) FY 12 \$18,030,857; FY 13 est \$17,087,735; and FY 14 est \$17,087,735

Range and Average of Financial Assistance:

to be determined.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: No Current Data Available. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

The regulations were published at 34 CFR Part 381.

Regional or Local Office:

None.

Headquarters Office:

Jessica Smith, Department of Education, OSERS Rehabilitation Services Administration, 400 Maryland Ave., S.W., Washington, District of Columbia 20202 Email: jessica.smith@ed.gov Phone: (202) 245-6493.

Website Address:

<http://www.rsa.ed.gov/programs.cfm>

RELATED PROGRAMS:

84.161 Rehabilitation Services_Client Assistance Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

84.245 TRIBALLY CONTROLLED POSTSECONDARY CAREER AND TECHNICAL INSTITUTIONS

FEDERAL AGENCY:

Office of Vocational and Adult Education, Department of Education

AUTHORIZATION:

Carl D. Perkins Career and Technical Education Act of 2006, Section 117, 20 U.S.C 2327.

OBJECTIVES:

To make grants to eligible tribally controlled postsecondary career and technical institutions to provide career and technical education (CTE) services and basic support for the education and training of Indian students.

TYPES OF ASSISTANCE:

Project Grants; Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Funds may be used to train teachers, purchase equipment, provide instructional services, and provide institutional support related to CTE activities carried out under the grant. This program is subject to non-supplanting requirements. However, the statute authorizes grantee institutions not to use a restricted indirect cost rate (20 U.S.C. 2327(c)(3)). For assistance call the Office of the Chief Financial Officer/Indirect Cost Group (202) 708-7770.

Applicant Eligibility:

A tribally controlled postsecondary career and technical institution that: (1) Is formally controlled, or has been formally sanctioned or chartered, by the governing body of an Indian tribe or Indian tribes; (2) offers a technical degree- or certificate-granting program; (3) is governed by a board of directors or trustees, a majority of whom are Indians; (4) demonstrates adherence to stated goals, a philosophy, or a plan of operation, that fosters individual Indian economic and self-sufficient opportunity, including programs that are appropriate to stated tribal goals of developing individual entrepreneurship and self-sustaining economic infrastructures on reservations; (5) has been in operation for at least 3 years; (6) holds accreditation with or is a candidate for accreditation by a nationally recognized accrediting authority for postsecondary vocational and technical education; (7) enrolls the full-time equivalent of not less than 100 students, of whom a majority are Indians; and (8) receives no Federal funds under the Tribally Controlled College or University Act of 1978 or the Navajo Community College Act may apply.

Beneficiary Eligibility:

Indian students and tribally controlled postsecondary career and technical institutions not receiving Federal funds under the Tribally Controlled College or University Act of 1978 or the Navajo Community College Act may benefit.

Credentials/Documentation:

Signature by authorized designated official on the application is required. Allowability of costs is determined in accordance with OMB Circular No. A-21 "Cost Principles for Educational Institutions.". This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Applications must be prepared and submitted to the Department of Education in accordance with

program announcements and established deadlines as published in the Federal Register.

Award Procedure:

Applications are reviewed in accordance with Federal Register program notices inviting applications and the regulations in 34 CFR Part 75.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 120 to 150 days after deadline for transmitting applications.

Appeals:

The statute authorizing this program provides applicants with a statutory complaint resolution procedure for grant determinations and calculations (20 U.S.C. 23279(g)).

Renewals:

Continuation awards are made to grantees contingent upon the availability of appropriations and subject to grantees submitting acceptable performance reports, in accordance with 34 CFR 75.253.

Formula and Matching Requirements:

Statutory Formula: Title 20 U.S.C. 2327(c)(1). This program has a statutory formula that is triggered in any year in which the sums appropriated for the program are not sufficient to pay in full the total amount that approved applicants are eligible to receive. (20 U.S.C. 2327(c)(1)).

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The project period is up to 5 years. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Grantees must submit financial status, performance, and expenditure reports, as required by the Department of Education General Administrative Regulations, 34 CFR 75.720. Specific reporting requirements are discussed in the program's Federal Register program announcements and in the award document. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. The Department monitors grantee performance under this program.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

In accordance with Section 443 of the General Education Provisions Act, grantees must maintain records for 3 years from the time that work carried out under the project is completed.

Account Identification:

91-0201-0-1-501.

Obligations:

(Project Grants) FY 12 \$8,130,604; FY 13 est \$7,705,325; and FY 14 est \$8,130,000

Range and Average of Financial Assistance:

Estimated range: \$3,000,000-\$4,500,000; Estimated average: \$3,683,000; Actual range: \$3,681,695-\$4,463,981; Actual average: \$4,072,838.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Provided funding for 2 tribal postsecondary institutions.
Fiscal Year 2013: Provided funding for 2 tribal postsecondary institutions.
Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

34 CFR Parts 74, 75, 77, 80, 81, 82, 84, 85, 86, 97, 98 and 99 and the Tribally Controlled Postsecondary Career and Technical Institutions Program Federal Register notice inviting applications of May 15, 2007 (72 FR 27297) and Application Package.

Regional or Local Office:

None.

Headquarters Office:

Gwen Washington Department of Education, OVAE Division of Adult and Technical Education, 400 Maryland Ave., SW, Washington, District of Columbia 20202 Email: gwen.washington@ed.gov Phone: (202) 245-7790

Website Address:

<http://www2.ed.gov/about/offices/list/ovae/programs.html>

RELATED PROGRAMS:

84.048 Career and Technical Education -- Basic Grants to States

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Postsecondary career and technical education programs.
Fiscal Year 2013: Postsecondary career and technical education programs.
Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Selection criteria were published in the notice inviting applications published in the Federal Register on May 15, 2007. (72 FR 27297).

84.246 REHABILITATION SHORT-TERM TRAINING

FEDERAL AGENCY:

Office of Special Education and Rehabilitative Services, Department of Education

AUTHORIZATION:

Rehabilitation Act of 1973, as amended, Title III, Section 302.

OBJECTIVES:

To support special seminars, institutes, workshops, and other short-term courses in technical matters relating to the delivery of vocational, medical, social, and psychological services.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Training grants are provided in fields directly related to the vocational rehabilitation and independent living of individuals with disabilities, such as rehabilitation disciplines and program areas supported under the Rehabilitation Training program. Conferences and meetings where training is not the primary focus may not be supported under this program.

Applicant Eligibility:

State vocational rehabilitation agencies (including territories), and other public or nonprofit agencies and organizations, including institutions of higher education may apply.

Beneficiary Eligibility:

Individuals preparing for or employed in positions relating to the rehabilitation of individuals with disabilities will benefit. Projects under this program are designed to provide short-term training and technical instruction in areas of special significance to the delivery of vocational, medical, social, and psychological rehabilitation services.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments. OMB Circular No. A-21 for educational institutions, and OMB No. A-122 for nonprofit organizations. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed

in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. New applications are made to the Department of Education, Application Control Center, 400 Maryland Avenue, S.W., Washington, DC 20202-4725. Attention: 84.246.

Award Procedure:

New awards are made based upon the results of a peer review process and the review and approval of applications by staff in various offices within the Department.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

The range is from 120 to 160 days.

Appeals:

Not Applicable.

Renewals:

Renewals are available only through the new application process. Projects with an approved multi-year project period may be continued based on the availability of appropriated funds and an annual review of accomplishments to determine adequate performance.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Projects are generally supported for one to five years. Funds are granted on a twelve month basis. Renewals are subject to the availability of funds. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Annual financial and progress reports must be submitted as required by the grant award terms and conditions. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audits must be conducted on a continuing basis or at scheduled intervals, usually once a year, but at least once every 2 years.

Records:

As required by the Education Department General Administrative Regulations (EDGAR).

Account Identification:

91-0301-0-1-506.

Obligations:

(Project Grants) FY 12 \$196,506; FY 13 est \$200,000; and FY 14 est \$200,000

Range and Average of Financial Assistance:

to be determined.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: No Current Data Available. Fiscal Year 2013: No Current Data Available
Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations can be found in 34 CFR Part 385 and 34 CFR Part 390.

Regional or Local Office:

None.

Headquarters Office:

Tom Finch, Department of Education, OSERS Rehabilitation Services Administration, 400 Maryland Ave., SW., Washington, District of Columbia 20202 Email: tom.finch@ed.gov Phone: (202) 245-7343.

Website Address:

<http://www.ed.gov/offices/OSERS/RSA>.

RELATED PROGRAMS:

84.129 Rehabilitation Long-Term Training; 84.235 Rehabilitation Services Demonstration and Training Programs; 84.264 Rehabilitation Training_Continuing Education; 84.265 Rehabilitation Training_State Vocational Rehabilitation Unit In-Service Training; 84.275 Rehabilitation Training_General Training

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Quality of key personnel, plan of operation, budget and cost-effectiveness, adequacy of resources, evidence of need, relevance to the State/Federal rehabilitation service program, and nature and scope of training program content are included in the selection criteria.

84.250 REHABILITATION SERVICES_AMERICAN INDIANS WITH DISABILITIES

FEDERAL AGENCY:

Office of Special Education and Rehabilitative Services, Department of Education

AUTHORIZATION:

Rehabilitation Act of 1973, Title I, Part C, Section 121.

OBJECTIVES:

To provide vocational rehabilitation services to American Indians with disabilities that reside on or near Federal or State reservations, consistent with their individual strengths, resources, priorities, concerns, abilities, capabilities, and informed choice, so that they may prepare for and engage in gainful employment.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Projects provide financial assistance to the governing bodies (and consortia of such governing bodies) of Federal or State Recognized Tribes for the establishment and operation of vocational rehabilitation services projects for American Indians with disabilities residing on or near tribal reservations.

Applicant Eligibility:

Governing bodies of Indian tribes or consortia of those governing bodies located on or near Federal and State reservations may apply.

Beneficiary Eligibility:

American Indians with disabilities residing on or near a Federal or State reservation who meet the definition of an individual with a disability in Section 7 (8) (A) of the Rehabilitation Act.

Credentials/Documentation:

No Credentials or documentation are required. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. The Education Department General Administrative Regulations at 34 CFR Parts 74, 75 and 80, apply to this program. Applications

should be submitted to the Department e-Grants Website or through other methods, according to instructions specified in the Notice Inviting Applications.

The standard application kit as furnished by the Department of Education must be used for this program.

Award Procedure:

New awards are made based upon the results of a peer review process and the review and approval of staff in various offices within the Department. In making grants, the Department gives priority consideration to applications for the continuation of programs which have been funded under the program. The Commissioner of the Rehabilitation Services Administration approves continuation awards for existing projects.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Projects with multi-year project periods may be continued based on review of the annual performance report and in accordance with 34 CFR Part 75-253 and the availability of adequate funds.

Formula and Matching Requirements:

Statutory Formula: The amount of funds available annually for this program is reserved from the amount appropriated for the State VR program in an amount not less than 1 percent and not more than 1.5 percent under section 110(c)(1) of the Rehabilitation Act.

Matching Requirements: Percent: 10.%. Projects are required to contribute ten percent of the cost of the project in cash or in-kind, unless the Commissioner of RSA waives the matching requirement under 34 CFR 371.40(b) if the applicant demonstrates that it does not have sufficient resources to contribute the non-Federal share of the cost of the program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Project support is available for up to 60-months. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Annual financial reports and progress reports must be submitted as prescribed by grant award terms and conditions. Cash reports are not applicable. Progress reports: Grantees must submit an annual performance report (APR) as required under EDGAR 34 CFR Part 80.40 and 80.41. Expenditure reports are not applicable. Performance monitoring: Program performance is monitored through the APR.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Case records are for individual program participants. Fiscal records must be kept for audit purposes. Retention of records is required for three years.

Account Identification:

91-0301-0-1-506.

Obligations:

(Project Grants) FY 12 \$37,898,000; FY 13 est \$37,223,576; and FY 14 est \$40,087,000

Range and Average of Financial Assistance:

Range of awards in FY 2012 is \$250,000 to \$1,800,000; Average award: \$446,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: No Current Data Available. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Education Department General Administrative Regulations (EDGAR) in 34 CFR 75, 77, 80, 81, 82, 84, 85, and 97. Vocational Rehabilitations Service Projects regulations 34 CFR 369 and Vocational Rehabilitations Services Projects for American Indians with Disabilities regulations 34 CFR 371.

Regional or Local Office:

None.

Headquarters Office:

Alfreda Reeves, Department of Education, OSERS Rehabilitation Services Administration, 400 Maryland Ave., S.W., Washington, District of Columbia 20202 Email: alfreda.reeves@ed.gov Phone: (202) 245-7485.

Website Address:

<http://www.ed.gov/about/offices/list/osers/rsa/index.html>.

RELATED PROGRAMS:

84.126 Rehabilitation Services_Vocational Rehabilitation Grants to States

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The availability of funds is published in the Federal Register. Applications for discretionary grants are subject to peer review procedures by Federal and nonfederal experts that lead to recommendations for approval or disapproval. The selection criteria for this competition are from 34 CFR 75.210 of EDGAR and are listed in the application package. Selection criteria include extent of need for the project, significance, quality of the project design, quality of the project services, quality of project personnel, adequacy of resources, and quality of the management plan.

84.256 TERRITORIES AND FREELY ASSOCIATED STATES EDUCATION GRANT PROGRAM

FEDERAL AGENCY:

Office of Elementary and Secondary Education, Department of Education

AUTHORIZATION:

Elementary and Secondary Education Act of 1965, as amended, Title I, Part A, Section 1121 (a), (b) and (c).

OBJECTIVES:

To support activities consistent with the purposes of the Elementary and Secondary Education Act, including teacher training, curriculum development, instructional materials or general school improvement and school reform, and direct educational services.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds may be used for activities consistent with the purposes of the Elementary and Secondary Education Act.

Applicant Eligibility:

Local educational agencies (LEAs) in the Republic of Palau and in the outlying areas (American Samoa, Guam, the Commonwealth of the Northern Mariana Islands, the U.S. Virgin Islands).

Beneficiary Eligibility:

LEAs in the Republic of Palau and in the outlying areas (American Samoa, Guam, the Commonwealth of the Northern Mariana Islands, the U.S. Virgin Islands).

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Provided by the Pacific Resources for Education and Learning (PREL).

Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Section 1121 of Title I requires that the Department reserve up to \$5 million from Title I Grants to LEA funds each fiscal year for competitive grants to local educational agencies in the outlying areas (American Samoa, Guam, the Commonwealth of the Northern Mariana Islands, the U.S. Virgin Islands), and the Republic of Palau. Of this amount, the Secretary may reserve not more than 5 percent to pay the administrative costs of the Pacific Resources for Education and Learning (PREL) regarding activities assisted under this program. PREL conducts the award competition and makes recommendations to the Secretary regarding the award of grants.

Award Procedure:

Applications for continuations are submitted to the Department of Education, Office of Elementary and Secondary Education, School Support and Technology Programs, Washington, DC. PREL conducts the competition for grants and submits recommendations of applications for funding to the Secretary of Education. The Secretary awards grants taking into consideration the recommendations of PREL.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 90 days.

Appeals:

Not Applicable.

Renewals:

Continuation awards of 12 months each may be made for a total of 36 months. Continuations are contingent upon evidence of satisfactory progress toward goals and objectives and subject to the availability of funds.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Up to 36 months with annual continuations. Renewals are subject to the availability of funds. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Annual performance reports are required. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. The Single Audit Act applies.

Records:

Records must be maintained as necessary for audit and for three years after the program ends.

Account Identification:

91-0900-0-1-501.

Obligations:

(Project Grants) FY 12 \$5,000,000; FY 13 est \$5,000,000; and FY 14 est \$5,000,000 - Funds are provided on a multi-year basis and, for this program, awards are made in the fiscal year following the appropriation, i.e., the FY11 appropriation is used for awards in FY12.

Range and Average of Financial Assistance:

to be determined.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: No Current Data Available. Fiscal Year 2013: No Current

Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None. Contact the PREL office.

Headquarters Office:

Jenelle Leonard School Support and Rural Programs, Office of Elementary and Secondary Education, Department of Education, 400 Maryland Avenue, S.W., LBJ Bldg. Room 3W203, Washington, District of Columbia 20202 Email: jenelle.leonard@e.gov Phone: (202) 401-3641

Website Address:

<http://www.ed.gov/programs/TFSEGP/index.html> .

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Applications are reviewed in accordance with criteria in the application package.

84.259 NATIVE HAWAIIAN CAREER AND TECHNICAL EDUCATION FEDERAL AGENCY:

Office of Vocational and Adult Education, Department of Education

AUTHORIZATION:

Carl D. Perkins Career and Technical Education Act of 2006, Section 116(h), 20 U.S.C 2326(h).

OBJECTIVES:

To make grants to organizations primarily serving and representing Native Hawaiians for programs or portions of programs authorized by, and consistent with, the Carl D. Perkins Career and Technical Education Act of 2006.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

To provide career and technical education opportunities for Native Hawaiians. This program is subject to non-supplanting requirements and must use a restricted indirect cost rate, in accordance with 34 CFR 75.253. For assistance with restricted indirect cost rates call the Office of the Chief Financial Officer/Indirect Cost Group at (202) 708-7770.

Applicant Eligibility:

Community-based organizations primarily serving and representing Native Hawaiians may apply.

Beneficiary Eligibility:

Native Hawaiians individuals will benefit.

Credentials/Documentation:

Allowability of costs is determined in accordance with OMB Circular No. A-122 "Cost Principles for Non-Profit Organizations," which applies to nonprofit grantees. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Applications must be prepared and submitted in accordance with program announcements which are published in the Federal Register.

Award Procedure:

The Department reviews each application using the selection criteria in the

program notice published in the Federal Register, in accordance with 34 CFR Part 75, and sends the Notification of Grant Award to each recipient selected for funding.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 120 to 150 days after deadline for transmitting applications.

Appeals:

Not Applicable.

Renewals:

Continuation awards may be made, subject to acceptable performance reports and the availability of appropriations. Maximum award period is 3 years.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The project period is up to 3 years. Renewals are subject to the availability of funds and grantee performance. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Specific reporting requirements are contained in 34 CFR parts 74 and 75 and in the grant award documents. Reports are required annually. Reports are required annually. Reports are required annually. Reports are required annually.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

In accordance with Section 443 of the General Education Provisions Act, grantees must maintain records for 3 years from the time that work carried out under the project is completed.

Account Identification:

91-0400-0-1-501.

Obligations:

(Project Grants) FY 12 \$2,557,575; FY 13 est \$2,661,114; and FY 14 est \$2,557,775

Range and Average of Financial Assistance:

Estimated range: \$250,000-\$500,000; Estimated average: \$289,827; Actual range: \$258,219-\$513,638; Actual average: \$362,220.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Provided funding for 8 continuation awards. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

34 CFR Parts 74 and 75 and program Federal Register notices.

Regional or Local Office:

None.

Headquarters Office:

Linda Mayo, Department of Education, OVAE Division of Academic and Technical Education 400 Maryland Ave., S.W., Washington, District of Columbia 20202-7241 Email: linda.mayo@ed.gov Phone: (202) 245-7792.

Website Address:

<http://www2.ed.gov/about/offices/list/ovae/programs.html>

RELATED PROGRAMS:

84.048 Career and Technical Education -- Basic Grants to States

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Career and technical education programs for secondary, postsecondary, and adult students. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Selection criteria were published in the notice inviting applications published in the Federal Register on March 24, 2009. (74 FR 12341).

**84.264 REHABILITATION TRAINING_CONTINUING EDUCATION
FEDERAL AGENCY:**

Office of Special Education and Rehabilitative Services, Department of Education

AUTHORIZATION:

Rehabilitation Act of 1973, as amended, Title III, Section 302.

OBJECTIVES:

To support training centers that serve either a Federal region or another geographical area and provide for a broad integrated sequence of training activities that focus on meeting recurrent and common training needs of employed rehabilitation personnel throughout a multi-State geographical area.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Training grants are limited to support of continuing education programs for personnel such as rehabilitation counselors, administrators, independent living specialists, audiologists, rehabilitation teachers for individuals who are blind, rehabilitation technology specialists who provide vocational, independent living, and client assistance services to individuals with disabilities.

Applicant Eligibility:

Institutions of higher education, State vocational rehabilitation agencies (including territories), and other public or nonprofit agencies and organizations.

Beneficiary Eligibility:

Individuals employed in positions related to the rehabilitation of individuals with disabilities will benefit.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments, OMB Circular No. A-21 for educational institutions, and OMB Circular No. A-122 for nonprofit organizations.. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. The Education Department General Administrative Regulations at 34 CFR Parts 74, 75 and 80 apply to this program. New applications are made to the Department of Education, Application Control Center, 400 Maryland Avenue, S.W., Washington, DC 20202-4725. Attn: 84.264.

Award Procedure:

New awards are made based upon the results of a peer review process and the review and approval of staff in various offices within the Department.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 160 days.

Appeals:

Not Applicable.

Renewals:

Renewals are available only through the new application process. Projects with an approved multi-year project period may be continued based on the availability of appropriated funds and an annual review of accomplishments to determine satisfactory performance.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Grantees are required to share in the cost of projects with the proportion subject to individual negotiation.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Projects may be supported for a maximum of 5 years, 12-month budget periods. Renewals are subject to the availability of funds. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Annual financial and progress reports must be submitted as required by grant award terms and conditions. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audits must be conducted on a continuing basis or at scheduled intervals, usually once a year, but at least once every 2 years. Institutions of higher education and nonprofit organizations are subject to the audit requirements of OMB Circular No. A-133.

Records:

Proper records must be maintained as required by the Education Department General Administrative Regulations (EDGAR) or until all audit questions are resolved.

Account Identification:

91-0301-0-1-506.

Obligations:

(Project Grants) FY 12 \$7,647,306; FY 13 est \$9,189,995; and FY 14 est \$9,050,000

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: No Current Data Available. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations can be found in 34 CFR Parts 385 and 389.

Regional or Local Office:

None.

Headquarters Office:

Tom Finch, Department of Education, OSERS Rehabilitation Services Administration, 400 Maryland Ave., SW, Washington, District of Columbia 20202 Email: tom.finch@ed.gov Phone: (202) 245-7343.

Website Address:

<http://www.ed.gov/offices/OSERS/RSA>.

RELATED PROGRAMS:

84.126 Rehabilitation Services_Vocational Rehabilitation Grants to States; 84.129 Rehabilitation Long-Term Training; 84.160 Training Interpreters for Individuals who are Deaf and Individuals who are Deaf-Blind; 84.235 Rehabilitation Services Demonstration and Training Programs; 84.246 Rehabilitation Short-Term Training; 84.265 Rehabilitation Training_State

Vocational Rehabilitation Unit In-Service Training; 84.275 Rehabilitation Training_General Training

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The relevance of the content of the training to the mission of the public rehabilitation program and priorities for projects in the application notice are considered. Criteria for evaluating applications are published in program regulations 34 CFR Parts 385 and 389.

84.265 REHABILITATION TRAINING_STATE VOCATIONAL REHABILITATION UNIT IN-SERVICE TRAINING

FEDERAL AGENCY:

Office of Special Education and Rehabilitative Services, Department of Education

AUTHORIZATION:

Rehabilitation Act of 1973, as amended, Executive Order Title III, Section 302(g)(3).

OBJECTIVES:

This program is designed to support special projects for training State vocational rehabilitation unit personnel in program areas essential to the effective management of the unit's program of vocational rehabilitation services or in skill areas that enable personnel to improve their abilities to provide vocational rehabilitation services to individuals with disabilities. Projects are designed to: (1) address recruitment and retention of qualified rehabilitation professionals; (2) provide for succession planning; (3) provide for leadership development and capacity building; and (4) provide training on the Rehabilitation Act of 1973, as amended.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Training grants may only be made to agencies designated to administer the State vocational rehabilitation program. Fifteen percent of the funds appropriated for the Rehabilitation Services Administration Training program must be allocated for In-Service Training.

Applicant Eligibility:

State vocational rehabilitation agencies, including territories, may apply.

Beneficiary Eligibility:

Individuals employed by designated State rehabilitation agencies will benefit.

Credentials/Documentation:

No Credentials or documentation are required. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. The Education Department General Administrative Regulations at 34 CFR Parts 74, 75 and 80 apply to this program. New applications are made to the Department of Education, Application Control center, 400 Maryland Avenue, S.W., Washington, DC 20200-4725. Attn: 84.265.

Award Procedure:

Grants are awarded by the Rehabilitation Services Administration. New awards are made based upon the results of a peer review process and the review and approval of staff in various offices within the Department.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 160 days.

Appeals:

Not Applicable.

Renewals:

Renewals are available only through the new application process. Projects with an approved multi-year project period may be continued based on the availability of appropriated funds and an annual review of accomplishments to determine satisfactory performance.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Grantees with Basic Awards over the minimum allotment are required to match ten percent of the cost of projects. Grantees with Basic Awards at the minimum allotment must match 4%.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Projects may generally be supported for a maximum of 5 years, with 12-month budget periods. Renewals are subject to the availability of funds. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Annual financial and progress reports must be submitted as required by grant award terms and conditions. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audits must be conducted on a continuing basis or at scheduled intervals, usually once a year, but at least once every 2 years.

Records:

As required by the Education Department General Administrative Regulations (EDGAR) in 34 CFR Parts 75, 77, 79, 80, 81, 82, and 85.

Account Identification:

91-0301-0-1-506.

Obligations:

(Project Grants) FY 12 \$5,327,213; FY 13 est \$5,048,568; and FY 14 est \$5,327,250

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: No Current Data Available. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations can be found in 34 CFR Parts 385 and 388.

Regional or Local Office:

None.

Headquarters Office:

Tom Finch, Department of Education, OSERS Rehabilitation Services Administration, 400 Maryland Ave., SW, Washington, District of Columbia 20202 Email: tom.finch@ed.gov Phone: (202) 245-7343.

Website Address:

<http://www.ed.gov/offices/OSERS/RSA>.

RELATED PROGRAMS:

84.126 Rehabilitation Services_Vocational Rehabilitation Grants to States; 84.129 Rehabilitation Long-Term Training; 84.160 Training Interpreters for Individuals who are Deaf and Individuals who are Deaf-Blind; 84.235 Rehabilitation Services Demonstration and Training Programs; 84.246 Rehabilitation Short-Term Training; 84.264 Rehabilitation Training_Continuing Education; 84.275 Rehabilitation Training_General Training

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The relevance of the content of the training to the mission of the public rehabilitation program is considered. Priorities for certain projects are stated in the application notice. Criteria for evaluating applications are published in program regulations 34 CFR Parts 385 and 388.

84.268 FEDERAL DIRECT STUDENT LOANS

FEDERAL AGENCY:

Office of Student Financial Assistance Programs, Department of Education

AUTHORIZATION:

Higher Education Act of 1965, as amended, Title IV, Part D.

OBJECTIVES:

To provide loan capital directly from the Federal government to vocational, undergraduate, and graduate postsecondary school students and their parents.

TYPES OF ASSISTANCE:

Direct Loans

USES AND USE RESTRICTIONS:

To help defray costs of education at a participating institutions. Repayment on Federal Stafford loans begins six months after the student ceases to carry at least one-half the normal full-time academic workload. Repayment of principal and interest on PLUS loans generally begins within 60 days of disbursement of the last installment; repayment of a Consolidation loan begins within 60 days after the proceeds of the loan have been used to discharge the liability of the borrower on the loans selected for consolidation. Deferments and forbearance of payment may be granted for certain authorized periods.

Applicant Eligibility:

The applicant must be a U.S. citizen, national, or person in the United States for other than a temporary purpose. A student borrower must be enrolled or accepted for enrollment in a degree or certificate program on at least a half-time basis as an undergraduate, graduate, or professional student at a participating postsecondary institutions. An otherwise eligible student is eligible for loans during a single twelve-month period in which the student is enrolled in a non-degree/non-certificate course of study that the institution has determined is necessary in order for the student to enroll in a program leading to a degree or certificate. Under the Direct PLUS program, parents may borrow for dependent students. Also, graduate and professional students are eligible to borrow PLUS Loans. Direct Unsubsidized and PLUS loans are non-need based programs. In order to receive a Direct Subsidized loan, all borrowers must undergo a financial need analysis to determine eligibility for Federal interest; as of July 1, 2012, only undergraduate students are eligible to borrow Direct Subsidized loans. A student that is presently enrolled at a participating institution must be maintaining satisfactory academic progress in the course of study that they are pursuing. The borrower may not owe a refund on any Title IV grant or be in default on any Title IV loan received for attendance at any institution. The borrower must also file a Statement of Registration compliance (Selective Service).

Beneficiary Eligibility:

Vocational, undergraduate, and graduate postsecondary school students and their parents.

Credentials/Documentation:

The borrower will need certification of eligibility from the institution and may be required to supply documentation to verify the accuracy of data used in the Direct Subsidized loan need analysis. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Applications for the Direct Subsidized and Unsubsidized loans are obtained from the institution. Direct consolidation loan applications are obtained from the Department of Education. To obtain a Direct Subsidized or Unsubsidized loan, a student shall complete and submit a Free Application for Federal Student Aid (FAFSA). All applications (with the exception of PLUS) for Direct Loans are processed by the Central Processor System (CPS) in the initial step to determine a student's Title IV eligibility. Institutions will receive official output from the CPS, and then will be able to assemble a financial aid award package. An institution participating in the Direct Loan program may award Direct Loans as part of the financial aid package. If a Direct Loan is not included in the aid package, a student may contact the institution to request loan information.

Award Procedure:

Institutions participate in the Direct Loan program either by originating loans or by using an alternative originator to originate loans. The institution must certify the borrower's eligibility to receive the funds. To obtain a Direct PLUS Loan, the parent completes an application and authorizes a credit check. If the parent does not have an adverse credit history the loan maybe disbursed. Institutions may disburse funds by crediting them directly to the student's account at the institution, issuing a check or cash, or by initiating an electronic fund transfer.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Applicants may apply for a loan for any year of attendance at a postsecondary institution. The interest rate on new Stafford Loans starting July 1, 2013 is fixed at a rate equal to the 10-year Treasury note plus 2.05 percent (capped at 8.25 percent). As of July 1, 20013, Unsubsidized Stafford loans have a fixed interest rate equal to the 10-year Treasury note plus 3.6 percent (capped at 9.5 percent), and Direct PLUS loans have a fixed interest rate equal to the 10-year Treasury note plus 4.6 percent (capped at 10.5 percent). There are four repayment plans that are available for Direct Loan borrowers: (1) A standard repayment plan with a fixed monthly payment amount over a period of up to 10 years; (2) an extended repayment plan with a fixed monthly payment amount paid over a period of 12 to 30 years, depending on the amount owed; (3) a graduated repayment plan with a monthly payment that will increase generally every 2 years. The repayment period varies from 12 to 30 years, depending on the amount owed; and (4) except for Direct PLUS Loan borrowers, an income contingent repayment (ICR) plan with a monthly payment that is adjusted annually based on the income of the borrower and paid over a extended period of time not to exceed 25 years. Any unpaid balance remaining after 25 years in the ICR plan is forgiven by the Secretary of Education. However, under current IRS rules, the amount written off is considered taxable income to the borrower in the year it is written off. In 2007, a new income-based repayment plan was created for those borrowers meeting a standard of "partial financial hardship" based on their annual repayment amount in relation to their adjusted gross income. Generally a borrower would not have to repay on a monthly basis

more than 15 percent of their discretionary income, and any outstanding balance would be forgiven after 25 years of repayment. For new borrowers on or after July 1, 2014, these limits have been lowered to 10 percent of discretionary income and forgiveness after 20 years. Beginning December 21, 2012, the Pay As You Earn plan, an income-sensitive repayment plan, also became an option for eligible borrowers: those who meet the partial financial hardship standard, were a new borrower as of October 1, 2007, and who received a Direct Loan disbursement on or after October 1, 2011. Borrowers in this plan have to repay on a monthly basis no more than 10 percent of their discretionary income, and receive forgiveness on their remaining loan balances after 20 years of repayment. Direct Loan borrowers who work in public service are eligible for forgiveness during a 10-year period while they are repaying their loans. If these borrowers, during repayment, have an outstanding loan balance after 10 years of working in public service, the Federal Government will forgive the remaining balance on behalf of the borrower. Public service may include a variety of public disciplines such as education, health, law, military service and public safety. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

The Department will produce a variety of management information system accounting, and financial management reports. Institutions must submit all reports required by 34 CFR 685 and 34 CFR 668. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. Institutions are subject to examination and program review by the Department of Education. Program reviews are performed on a fiscal year basis.

Records:

Institutions must maintain proper administrative and fiscal procedures and all necessary records as set forth in the regulations in 34 CFR 685 and 34 CFR 668. Institutions must keep all records involved in any loan, claim, or expenditure questioned by a Federal audit until resolution of any audit questions. In addition to records required by 34 CFR 668, for each Direct Loan received under 34 CFR 685 by or on behalf of this student, an institution shall maintain a copy of the loan application and data electronically submitted to the Secretary.

Account Identification:

91-0243-0-1-502.

Obligations:

(Direct Loans) FY 12 \$105,351,419,000; FY 13 est \$106,433,658; and FY 14 est \$112,061,759 - (Figures represent Gross Loan volume, without Consolidations). (Direct Loans) FY 12 \$35,964,152,000; FY 13 est \$27,049,105,000; and FY 14 est \$27,938,030,000 - Figures represent DL Gross Consolidation volume.

Range and Average of Financial Assistance:

N/A.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: No Current Data Available. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Student Loan Reform Act of 1993, Public Law 103-66, 34 CFR 685.

Regional or Local Office:

See Regional Agency Offices. See the list of Regional Offices listed in Appendix 5 of the FFEL Catalog.

Headquarters Office:

Federal Student Aid Information Center Federal Student Aid (FSA), Department of Education, 400 Maryland Avenue, S.W., Washington, District of Columbia 20202 Phone: 800-433-3243

Website Address:

<http://www.ifap.ed.gov/>.

RELATED PROGRAMS:

84.063 Federal Pell Grant Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

84.274 AMERICAN OVERSEAS RESEARCH CENTERS**FEDERAL AGENCY:**

Office of Postsecondary Education, Department of Education

AUTHORIZATION:

Higher Education Act, as amended, Title VI, Part A, Section 609.

OBJECTIVES:

To enable American overseas research centers, that are consortia of higher education institutions, to promote postgraduate research, exchanges, and area studies.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Grants may be used to pay all or a portion of the cost of establishing or operating a center or program, including the cost of faculty and staff stipends and salaries; faculty, staff, and student travel; the operation and maintenance of overseas facilities; the cost of teaching and research materials; the cost of bringing visiting scholars and faculty to a center to teach or to conduct research; the cost of organizing and managing conferences; and the cost of publishing and disseminating materials for the scholarly and general public.

Applicant Eligibility:

The Secretary shall only award grants to centers that: (1) receive more than 50 percent of their funding from public or private United States sources; (2) have a permanent presence in the country in which the center is located; and (3) are organizations described in Section 501(c)(3) of the Internal Revenue Code of 1986 which are exempt from taxation under Section 501(a) of the Code.

Beneficiary Eligibility:

Consortia of institutions of higher education that (1) receive more than 50 percent of their funding from public or private United States sources; (2) have a permanent presence in the country in which the center is located; and (3) are organizations described in Section 501(c)(3) of the Internal Revenue Code of 1986 which are exempt from taxation under Section 501(a) of the Code will benefit.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Eligible centers may submit an application for funding when a new competition is announced in the Federal Register. Application forms and guidelines for the submission of applications are available from the Office of International and Foreign Language Education at the Department of Education.

Award Procedure:

Applications are evaluated by peer review panels with area studies expertise. Funding recommendations are made to the Secretary based on the outcome of the peer review process.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

The approval time is approximately 90 days.

Appeals:

Not Applicable.

Renewals:

Centers selected to receive funds for the 4-year project period must submit annual performance reports for continuation of support. Continuation grant awards are subject to the availability of funds.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grants are awarded for 12-month periods for a total project period of up to 48 months. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Funded centers submit annual performance reports through the International Resource Information System (IRIS). The annual performance reports provide narratives about the status of project activities, including evaluation efforts, as well as the centers progress toward achieving the objectives for the reporting period. The annual reports also provide information about budget expenditures during the reporting period. A final performance report is due 90 days after the end date of the final budget period of the project. Financial status reports are not required. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Reporting on performance measures is required as part of the submittal of the required annual performance report.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. Grantees are subject to audit requirements as described in 34 CFR 74.26.

Records:

All records supporting claims under grants or relating to accountability for awarded funds must be available on request: (1) for 3 years after the close of the fiscal year that the grant expired; or (2) until the grantee is notified that the fiscal audit has closed, whichever is later.

Account Identification:

91-0201-0-1-502.

Obligations:

(Project Grants) FY 12 \$650,239; FY 13 est \$650,000; and FY 14 est \$650,000

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: No Current Data Available. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is governed by the authorizing legislation and the Education Department General Administrative Regulations, 34 CFR 74, 75, 77, 79 through 82, 85, 86, 97, 98, and 99. Contact the program office for more information.

Regional or Local Office:

None.

Headquarters Office:

Cheryl Gibbs, International and Foreign Language Education, Office of Postsecondary Education, Department of Education, 1990 K Street, N.W., Washington, District of Columbia 20006 Email: Cheryl.Gibbs@ed.gov Phone: (202) 502-7634.

Website Address:

<http://www.ed.gov/programs/iegpsaorc/>.

RELATED PROGRAMS:

84.015 National Resource Centers Program for Foreign Language and Area Studies or Foreign Language and International Studies Program and Foreign

Language and Area Studies Fellowship Program; 84.016 Undergraduate International Studies and Foreign Language Programs; 84.017 International Research and Studies; 84.022 Overseas Programs - Doctoral Dissertation Research Abroad; 84.220 Centers for International Business Education; 84.229 Language Resource Centers

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Applications for awards will be evaluated competitively in accordance with the selection criteria published in 34 CFR 75.209 and 75.210. The Secretary will weigh criteria using points indicated in the application package or in a notice published in the Federal Register. Applicants should address the selection criteria only in the context of the program requirements in Title VI, Part A, Section 609 of the Higher Education Act of 1965, as amended.

84.275 REHABILITATION TRAINING_GENERAL TRAINING

FEDERAL AGENCY:

Office of Special Education and Rehabilitative Services, Department of Education

AUTHORIZATION:

Rehabilitation Act of 1973, as amended, III U.S.C 302.

OBJECTIVES:

To ensure that skilled personnel are available to provide rehabilitation services to individuals with disabilities through: (1) Vocational, medical, social, and psychological rehabilitation programs; (2) supported employment programs; and (3) independent living client assistance programs. To support projects that: (1) Maintain and upgrade basic skills and knowledge of personnel employed; and (2) increase the numbers of qualified personnel trained in providing rehabilitation services.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Training grants are limited to support of education programs for personnel such as rehabilitation counselors, administrators, independent living specialists, audiologists, rehabilitation teachers for individuals who are blind, rehabilitation technology specialists who provide vocational, independent living, and client assistance services to individuals with disabilities.

Applicant Eligibility:

State vocational rehabilitation agencies, and other public or nonprofit agencies and organizations, including institutions of higher education may apply.

Beneficiary Eligibility:

Individuals employed in positions related to the rehabilitation of individuals with disabilities will benefit.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments, OMB Circular No. A-21 for educational institutions, and OMB Circular No. A-122 for nonprofit organizations. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. The Education Department General Administrative Regulations at 34 CFR Parts 74, 75 and 80 apply to this program. New applications are made to the Department of Education, Application Control Center, 400 Maryland Avenue, S.W., Washington, DC

20202-4725. Attention: 84.275.

Award Procedure:

Applications are reviewed by panels of field readers. Each panel will generally include two or more nonfederal members with experience and training in the proposed area of training.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

The range of approval/disapproval time is approximately 120 to 160 days following the application deadline.

Appeals:

Not Applicable.

Renewals:

Renewals are available only through the new application process. Projects with an approved multi-year project period may be continued based on the availability of appropriated funds and an annual review of accomplishments to determine satisfactory performance.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Projects generally may be supported for 1 to 5 years; with 12-month budget period. Renewals are subject to the availability of funds. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Annual financial and progress reports must be submitted as required by grant award terms and conditions. No cash reports are required. No progress reports are required. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

As required by Education Department General Administrative Regulations (EDGAR).

Account Identification:

91-0301-0-1-506.

Obligations:

(Project Grants) FY 12 \$290,032; FY 13 est \$300,000; and FY 14 est \$300,000

Range and Average of Financial Assistance:

There's only one award each year - \$300,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: No Current Data Available. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations can be found in 34 CFR Part 385.

Regional or Local Office:

None.

Headquarters Office:

Tom Finch, Department of Education, OSERS Rehabilitation Services Administration, 400 Maryland Ave., SW., Washington, District of Columbia 20202 Email: tom.finch@ed.gov Phone: (202) 245-7343.

Website Address:

<http://www.ed.gov/offices/OSERS/RSA>.

RELATED PROGRAMS:

84.129 Rehabilitation Long-Term Training; 84.160 Training Interpreters for Individuals who are Deaf and Individuals who are Deaf-Blind; 84.235 Rehabilitation Services Demonstration and Training Programs; 84.246 Rehabilitation Short-Term Training; 84.264 Rehabilitation Training_Continuing Education; 84.265 Rehabilitation Training_State Vocational Rehabilitation Unit In-Service Training

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are included in application notices. Contact the Program Office for this information.

84.282 CHARTER SCHOOLS

FEDERAL AGENCY:

Office of Innovation and Improvement, Department of Education

AUTHORIZATION:

Elementary and Secondary Education Act of 1965, as amended, Title V Part B, Subpart 1.

OBJECTIVES:

The program supports the planning, development, and initial implementation, and replication of charter schools and the dissemination of information on charter schools. Charter schools increase educational options for parents and students and, in exchange for stricter academic accountability, are exempt from many statutory and regulatory requirements.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

State educational agencies (SEAs) receiving grants make subgrants to charter school developers; if an eligible SEA does not receive a grant, charter school developers in the State may apply directly to the Secretary for funding. Developers receive up to 3 years of assistance, of which they may use not more than 18 months for planning and program design and not more than 2 years for the initial implementation of a charter school. SEAs may reserve up to 10 percent of their grants to make subgrants to successful charter schools for information dissemination activities; charter schools in eligible States that do not receive a grant may apply directly to the Secretary for funding for information dissemination activities. Charter management organizations and other nonprofit organizations receiving grants use funds for replication and expansion of successful charter school models. Applicants must use a restricted indirect cost rate which is referenced under 34 CFR 75.563. For assistance call the Office of Chief Financial Officer/Indirect Cost Group on (202)708-7770.

Applicant Eligibility:

SEAs in States in which State law authorizes charter schools are eligible. If an eligible SEA elects not to participate in this program or does not have an approved application, charter school developers that have applied to an authorized public chartering authority to operate a charter school, and provided adequate and timely notice to that authority, may apply directly to the Secretary. Charter management organizations and other nonprofit organizations with experience operating or managing high-quality quality schools are eligible for grants for replication and expansion of successful charter school models.

Beneficiary Eligibility:

School administrators, teachers, and students and their parents are beneficiaries.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Contact the program office for application information. Application notices are published in the Federal Register.

Award Procedure:

Contact the Headquarters Office as listed below for this information.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 120 days.

Appeals:

Not Applicable.

Renewals:

To receive continuation funding, grantees must demonstrate substantial progress toward meeting the goals and objectives of their project. Continuation awards are subject to the availability of funds.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grants are awarded for a period of not more than 3 years, subject to the availability of funds. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

As part of the continuation funding process, grantees must submit performance reports annually. Final performance reports must be submitted within 90 days of the end of the grant award period. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records must be maintained for 3 years after the fiscal year in which the expenditure was made by the grantee, or until the grantee is notified of the completion of the Federal fiscal audit. In all cases of audit questions, records must be maintained until resolution has occurred.

Account Identification:

91-0204-0-1-501.

Obligations:

(Project Grants) FY 12 \$254,836,069; FY 13 est \$241,506,614; and FY 14 est \$0

Range and Average of Financial Assistance:

Range of awards: \$100,000 - \$15,000,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: No Current Data Available. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Stefan Huh Department of Education, Office of Innovation and Improvement, Parental Options and Information, 400 Maryland Ave., SW, Washington, District of Columbia 20202 Email: stefan.huh@ed.gov Phone: (202) 453-6384

Website Address:

<http://www.ed.gov/programs/charter/index.html>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Selection criteria are contained in program statute. Contact the program office for more information.

84.283 COMPREHENSIVE CENTERS

FEDERAL AGENCY:

Office of Elementary and Secondary Education, Department of Education

AUTHORIZATION:

Education Technical Assistance Act of 2002, Section 203.

OBJECTIVES:

This program supports no fewer than 20 comprehensive centers that provide training and technical assistance, and professional development primarily to State educational agencies. By statute, the Department is required to establish at least one center in each of the 10 geographic regions served by the Department's regional education laboratories.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

The existing Comprehensive Centers consist of 22 centers, including 15 regional centers and 7 content centers. Grantees are required to develop five-year plans for carrying out authorized activities that address State and regional needs. The 15 regional centers provide services primarily to State educational agencies to enable them to assist school districts, regional education agencies, and schools, especially low-performing schools. Each regional center provides training and technical assistance in the implementation and administration of programs authorized under the Elementary and Secondary Education Act and the use of scientifically valid teaching methods and assessment tools in mathematics, science, reading and language arts. The 7 content centers focus on specific areas, with one center in each of seven areas: standards and assessment implementation; great teachers and leaders; school turnaround; enhancing early learning outcomes; college- and career-readiness and success; building State capacity and productivity; and innovations in learning. These centers supply much of the research-based information and products in the specific area that regional centers use when working with States.

Applicant Eligibility:

Research organizations, institutions, agencies, institutions of higher education (IHEs), or partnerships among such entities, or individuals that have the expertise to provide training to States, school districts, and schools may apply.

Beneficiary Eligibility:

Agencies supporting or providing elementary and secondary education will benefit, including state and local educational agencies, schools, Indian tribes, community-based organizations, and other recipients of funds under ESEA.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110.

Applications or proposals must be prepared and submitted in accordance with applicable program announcements.

Award Procedure:

After completion of competitive peer review of applications, the Department makes the final decision on the approval and funding of applications.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 60 to 120 days.

Appeals:

Not Applicable.

Renewals:

Awards are made for up to five years, subject to the availability of appropriations.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants may be awarded for up to five years subject to the availability of funds and performance by the grantee. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Specified reporting requirements are included in the grant or cooperative agreement document. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. As required by EDGAR, 34 CFR 74.

Records:

Records must be kept for three years after the completion of the activity that grant funds were used.

Account Identification:

91-1000-0-1-501.

Obligations:

(Project Grants) FY 12 \$51,113,213; FY 13 est \$48,445,472; and FY 14 est \$51,113,213

Range and Average of Financial Assistance:

Range of awards in FY 12: \$824,581 - \$5,016,035; Average: \$2,334,944.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: No Current Data Available. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations applicable to this program are in the Department of Education's General Administrative Regulations (34 CFR Parts 74, 75, 77, 79, 80, 81, 82, 85, and 86).

Regional or Local Office:

None.

Headquarters Office:

Jenelle Leonard Department of Education, OESE, School Support and Technology Programs, 400 Maryland Ave. S.W., Washington, District of Columbia 20202 Email: jenelle.leonard@ed.gov Phone: (202)401-3641

Website Address:

<http://www.ed.gov/programs/newccp/index.html>.

RELATED PROGRAMS:

84.004 Civil Rights Training and Advisory Services

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: <http://www2.ed.gov/programs/newccp/awards.html>. Fiscal Year 2013: <http://www2.ed.gov/programs/newccp/awards.html>. Fiscal Year 2014: <http://www2.ed.gov/programs/newccp/awards.html>.

CRITERIA FOR SELECTING PROPOSALS:

Criteria include: (1) Meeting the purpose of the authorizing statute; (2) extent of need for the center; (3) plan of operation; (4) quality of key personnel; (5) budget and cost-effectiveness; (6) evaluation plan; and (7) adequacy of resources.

84.287 TWENTY-FIRST CENTURY COMMUNITY LEARNING CENTERS**FEDERAL AGENCY:**

Office of Educational Research and Improvement, Department of Education

AUTHORIZATION:

Elementary and Secondary Education Act of 1965 (ESEA), as amended, Title IV, Part B.

OBJECTIVES:

To create community learning centers that provide academic enrichment opportunities for children, particularly students who attend high-poverty and low-performing schools. The program is intended to help students meet state and local student standards in core academic subjects, such as reading and math; to offer students a broad array of enrichment activities that complement their regular academic programs; and to offer literacy and other educational services to the families of participating children.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Projects funded under this program must be for the purpose of meeting the needs of the residents of rural and inner-city communities, through the creation or expansion of community learning centers. This program is subject to non-supplanting requirements and must use a restricted indirect cost rate which is referenced under 34 CFR 76.564-76.569. For assistance call the Office of Chief Financial Officer/Indirect Cost Group on (202) 708-7770.

Applicant Eligibility:

State educational agencies are eligible for funds under this program.

Beneficiary Eligibility:

School-aged children and their families.

Credentials/Documentation:

Contact program office. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. States must apply for funds either through a program-specific application or by including the program in a consolidated State plan authorized under Title IX of the ESEA. Contact the headquarters office listed below for more information.

Award Procedure:

Contact the program office for this information.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Funds are allocated to States in proportion to each State's share of funds in the previous fiscal year under Part A of Title I of the ESEA, except that no State may receive less than 0.5 of 1 percent of the amount available to States.

Matching requirements are not applicable to this program.

This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

Awards are made annually. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Generally, SEAs are subject to the reporting requirements contained in Title IX of the ESEA consolidated state performance report section 9303(b). Annual progress and financial reports as required by EDGAR. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Contact Program Office.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In accordance with the EDGAR, Appendix to 34 CFR 80, State and local governments that receive financial assistance of \$500,000 or more within the State's fiscal year shall have an audit made for that year.

Records:

As required by the provisions in EDGAR for direct grant programs. Records related to grant funds, compliance, and performance must be maintained for a period of 3 years after completion, subject to the exceptions listed in EDGAR 34 CFR 74.

Account Identification:

91-1000-0-1-501.

Obligations:

(Project Grants) FY 12 \$1,151,673,216; FY 13 est \$1,091,564,185; and FY 14 est \$1,251,673,000

Range and Average of Financial Assistance:

FY 12: \$5,643,199-\$124,077,384; Average \$21,704,611.

FY 13: \$5,348,665-\$122,135,443; Average \$20,571,787.

FY 14 not available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: No Current Data Available. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

The regulations applicable to this program are in the Education Department General Administrative Regulations, 34 CFR 74, 75, 77, 79, 80, 81, 82, 85, and 86.

Regional or Local Office:

None.

Headquarters Office:

Angela Hernandez-Marshall Department of Education, Office of Elementary and Secondary Education, 400 Maryland Ave., SW, Washington, District of

Columbia 20202 Email: 21stCCLC@ed.gov Phone: (202) 260-2551

Website Address:

<http://www.ed.gov/programs/21stcclc/index.html>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Contact the program office for information.

84.295 READY-TO-LEARN TELEVISION

FEDERAL AGENCY:

Office of Innovation and Improvement, Department of Education

AUTHORIZATION:

Elementary and Secondary Education Act of 1965, as amended, Title II, Part D, Subpart 3.

OBJECTIVES:

To facilitate student academic achievement by developing educational programming and digital content, with accompanying educational support materials, for preschool and early elementary school children and their families.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

The program makes awards to support the development and distribution of educational video programming and digital content, and related outreach materials. Administrative costs are limited by the authorizing statute. Entities receiving a grant, contract, or cooperative agreement from the Secretary may not use more than five percent of the amounts received for the normal and customary expenses of administering the grant.

Applicant Eligibility:

To be eligible to receive a grant, contract, or cooperative agreement, an entity shall be a public telecommunications entity that can demonstrate a capacity to: (1) develop and disseminate educational and instructional television programming nationwide; (2) contract with the producers of children's television programming; (3) negotiate such contracts in a manner that returns an appropriate share of ancillary income from sales of program-related products; and (4) localize programming and materials to meet specific State and local needs.

Beneficiary Eligibility:

Programming is to be made widely available, with support materials as appropriate, to young children, their parents, child care workers, and Head Start and Even Start providers.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. This procedure is described in the application notice published annually in the Federal Register. Contact the headquarters office listed below for application packages containing the announcement, application, and forms.

Award Procedure:

Applications are reviewed and evaluated by outside experts and program staff, in accordance with the administrative regulations governing the Evaluation of Applications for Grants and Cooperative Agreements (34 CFR 75, Subpart D).

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 60 days following the application deadline.

Appeals:

Not Applicable.

Renewals:

As required by the Education Department General Administrative Regulations (EDGAR) for direct grant program (see 34 CFR 75.253). Generally, for multiple-year awards, continuation awards after the first budget period are made if: sufficient funds have been appropriated; the recipient has either made substantial progress in meeting the goals of the project or obtained approval for changes in the project; the recipient has submitted all required reports; and continuation is in the best interest of the government.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Following an initial award of 12 months, four additional 1-year, non-competing continuation awards may be made, for a total term of 5 years. Renewals are subject to the availability of funds. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

The recipient must submit an annual report. At a minimum, the report must describe the program activities including: (1) Programming that has been developed directly or indirectly and the target population of the programs developed; (2) supporting materials that have been developed to accompany the programming and the method by which materials are distributed to consumers and users of the programming; (3) the means by which programming developed has been distributed, including the distinct learning technologies that have been utilized to make programming available and the geographic distribution achieved through such technologies; and (4) the initiatives undertaken to develop public or private partnerships to secure nonfederal support to develop and distribute the broadcasting of educational and instructional programming. Cash reports are not applicable. Grantees must submit an annual performance report (APR) as required under EDGAR 34 CFR 74.50. Expenditure reports are monitored through the APR. Program performance is monitored through the APR.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

As required by EDGAR for direct grant programs (34 CFR 75). The grantee must maintain records and other evidence pertaining to all costs incurred, revenues, or other applicable credits acquired under its award as stated or contract terms and conditions. (34 CFR 75.721). Generally, records related to grant funds, compliance, and performance must be maintained for a period of five years after completion.

Account Identification:

91-0204-0-1-501.

Obligations:

(Project Grants) FY 12 \$27,193,507; FY 13 est \$25,771,123; and FY 14 est \$27,193,507

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: No Current Data Available. Fiscal Year 2013: The Department awarded 3 continuation grants. Fiscal Year 2014: The Department would award 3 continuation grants.

REGULATIONS, GUIDELINES, AND LITERATURE:

The regulations applicable to this program are in the Education Department General Administrative Regulations (EDGAR) 34 CFR, 74, 75, 77, 79, 81, 82, 84,85, 97,98, and 99.

Regional or Local Office:

None.

Headquarters Office:

Brian Lekander Department of Education, Office of Innovation and Improvement, Technology in Education Programs 400 Maryland Ave., SW, Washington, District of Columbia 20202 Email: brian.lekander@ed.gov Phone: (202) 205-5633

Website Address:

<http://www.ed.gov/programs/rtltv/index.html>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: No new awards will be made in 2013. Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The Department uses the selection criteria contained in the Education General Administrative Regulations (EDGAR) to evaluate applications to this program. The specific criteria to be used for a particular grant competition are selected from among these in 34 CFR 75, Section 75.210, and announced in the application package or the application notice in the Federal Register.

84.299 INDIAN EDUCATION -- SPECIAL PROGRAMS FOR INDIAN CHILDREN**FEDERAL AGENCY:**

Office of Elementary and Secondary Education, Department of Education

AUTHORIZATION:

Elementary and Secondary Education Act of 1965, as amended, Title VII, Part A, Subpart 2.

OBJECTIVES:

To support projects (1) to develop, test, and demonstrate the effectiveness of services and programs to improve educational opportunities and achievement of Indian children; and (2) to increase the number of qualified Indian individuals in teaching or other education professions that serve Indian people, to provide training to qualified Indian individuals to enable such individuals to become teachers, administrators, teacher aides, social workers, and ancillary educational personnel, and to improve the skills of qualified Indian individuals who serve in education professions.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Under the absolute priorities that the Department has implemented for this program, grants support early childhood and kindergarten projects, high school projects that aim to aid students in the transition from secondary to postsecondary education, and professional development projects that increase the number and skills of qualified Indian teachers and administrators who serve Indian people.

Applicant Eligibility:

For Demonstration grants, eligible applicants include State educational agencies (SEAs); local educational agencies (LEAs), including charter schools that are considered LEAs under State law; Indian tribes; Indian organizations; federally supported elementary or secondary schools for Indian students (including Department of the Interior/Bureau of Indian Education-funded schools); Indian

institutions (including Indian institutions of higher education); or a consortium of any of these entities. For Professional Development grants, eligible applicants include institutions of higher education, including Indian institutions of higher education; State educational agencies (SEAs) or local educational agencies (LEAs) in consortium with an institution of higher education; Indian tribes or organizations in consortium with an institution of higher education; and Department of the Interior/Bureau of Indian Education-funded schools in consortium with an institution of higher education. LEAs include charter schools that are considered LEAs under State law.

Beneficiary Eligibility:

None.

Credentials/Documentation:

No Credentials or documentation are required. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Successful applicants are subject to the requirement of applicable OMB Circulars on grant award agreements.

Award Procedure:

The Department awards these grants on a competitive basis. Applications are reviewed and ranked by a panel of outside reviewers. The program office notifies successful and unsuccessful applicants of awards and awards grants. Contact the program office for more information.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

The range of approval/disapproval time is approximately 60-120 days following the application deadline.

Appeals:

Not Applicable.

Renewals:

Applicants may apply for a project period of up to four years. Continuation awards are subject to the availability of appropriations and substantial progress by the grantee.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awards are made for a period of up to 4 years; continuations awards are contingent on performance and to the availability of appropriations. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Each eligible entity receiving a grant under this program annual must submit to the Secretary an annual report of its operations and activities. Each annual report must include: (1) An executive summary; (2) project status; (3) budget information; and (4) supplemental information. No cash reports are required. No progress reports are required. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000

or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audits may be conducted by the Department's Office of Inspector General staff make site visits. Audits are periodic with no set schedule.

Records:

In accordance with the General Education Provisions Act and the Education Department General Administrative Regulations (EDGAR), certain records must be retained for 3 years after the end of the grant.

Account Identification:

91-0101-0-1-501.

Obligations:

(Project Grants) FY 12 \$18,986,048; FY 13 est \$17,992,964; and FY 14 est \$18,986,048

Range and Average of Financial Assistance:

In FY 2013 the range of awards would be \$148,243-\$387,878; the average award was \$400,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: No Current Data Available. Fiscal Year 2013: The Department would award approximately 17 new grants and 50 continuation grants. Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

See 34 CFR 263

Regional or Local Office:

None.

Headquarters Office:

Joyce Silverthorne Office of Indian Education, Department of Education, 400 Maryland Ave., SW, Washington, District of Columbia 20202 Email: joyce.silverthorne@ed.gov Phone: (202)401-0767

Website Address:

<http://www.ed.gov/programs/indiandemo/index.html>;
<http://www.ed.gov/programs/indianprofdev/index.html>

RELATED PROGRAMS:

84.060 Indian Education_Grants to Local Educational Agencies

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: The Department would award approximately 26 new grants and 54 continuation grants. Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Criteria are contained in EDGAR and 34 CFR 263; contact the program office for additional information.

84.305 EDUCATION RESEARCH, DEVELOPMENT AND DISSEMINATION

FEDERAL AGENCY:

Institute of Education Sciences, Department of Education

AUTHORIZATION:

Education Sciences Reform Act of 2002, Title I, Parts A, B and D, Sections 133 and 172.

OBJECTIVES:

To support the development and distribution of scientifically valid research, evaluation, and data collection that support learning and improve academic achievement.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grants will support basic and applied research, development, dissemination, evaluations, and research training in education.

Applicant Eligibility:

Applicants that have the ability and capacity to conduct scientifically valid research are eligible to apply. Eligible applicants include, but are not limited to, non-profit and for-profit organizations and public and private agencies and institutions, such as colleges and universities.

Beneficiary Eligibility:

Institutions and individuals involved with education will benefit.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Standard Form 424, "Application for Federal Assistance," an application narrative, and required certifications must be submitted by the deadline specified in the Federal Register.

Award Procedure:

Applications are reviewed by panels of field readers in accordance with 34 CFR 700, "Evaluation of Applications for Grants and Cooperative Agreements."

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 210 to 240 days.

Appeals:

Not Applicable.

Renewals:

Renewals are based on the review of annual performance reports and the recommendation of the project officer. All renewals are subject to the availability of funds.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Projects generally may be supported for 1 to 5 years. Funds are granted on a 12 month basis. All grantee obligations shall be liquidated within 90 days following the end of the award period. Payments may be made according to a predetermined schedule or by way of reimbursement. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

As required by the Education Department General Administrative Regulations (EDGAR) for direct grant programs (34 CFR 75). Generally, financial reports and program reports must be submitted as required by grant award terms and conditions. Cash reports are not applicable. Annual progress and performance reports are required as part of the submittal of form ED 524B. Annual expenditure reports are required as part of the submittal of form ED 524B. Staff also monitor drawdowns using agency financial reports. Reporting on performance measures is required as part of the submittal of form ED 524B.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Each grantee will keep intact, and accessible, records relating to the receipt and

expenditure of Federal funds and the expenditures of the grantee's contribution to the cost of the project, if any. Records will normally be retained for 3 years after the date of the submission of the final expenditure report, or for grants that are reviewed annually, 3 years after the date of the award.

Account Identification:

91-1100-0-1-503.

Obligations:

(Project Grants) FY 12 \$191,022,411; FY 13 est \$181,000,000; and FY 14 est \$190,000,000

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: No Current Data Available. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

For more information contact the program office.

Regional or Local Office:

None.

Headquarters Office:

Emily Doolittle, Institute of Education Sciences, Department of Education, 400 Maryland Ave., SW, Washington, District of Columbia 20202 Email: Emily.Doolittle@ed.gov Phone: (202) 219-1201.

Website Address:

<http://ies.ed.gov/ncer>.

RELATED PROGRAMS:

84.324 Research in Special Education

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The criteria for selecting applications are contained in the Request for Applications that announces the competition. The Request for Applications is posted on the Funding Opportunities home page of the Institute web site: <http://ies.ed.gov/funding/>.

84.315 CAPACITY BUILDING FOR TRADITIONALLY UNDERSERVED POPULATIONS

(Capacity Building)

FEDERAL AGENCY:

Office of Special Education and Rehabilitative Services, Department of Education

AUTHORIZATION:

Rehabilitation Act of 1973, as amended, Section 21.

OBJECTIVES:

To enhance the capacity and increase the participation of historically Black colleges and universities, Hispanic serving institutions of higher education, and other institutions of higher education where minority enrollment is at least 50 percent, in competitions for grants, contracts, and cooperative agreements under the Rehabilitation Act, as amended.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grants are limited to: awards to minority entities and Indian tribes to carry out activities under the programs authorized under titles II, III, VI, and VII of the Rehabilitation Act; awards to minority entities and Indian tribes to conduct research, training, technical assistance, or related activities, to improve services provided under the Rehabilitation Act, especially services provided to individuals from minority backgrounds; and awards to eligible entities to provide outreach and technical assistance to minority entities and Indian tribes to promote their participation in activities funded under the Rehabilitation Act.

Applicant Eligibility:

States and public and nonprofit agencies and organizations may apply.

Beneficiary Eligibility:

Historically Black colleges and universities; (2) Hispanics serving institutions of higher education; (3) Indian tribal colleges and universities (4) other institutions of higher education whose minority student enrollment is at least 50 percent; and (5) Indian Tribes.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-21 for educational institutions. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process that the State requires to be followed in applying for assistance, if the State has selected the program for review. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

All new applications are reviewed by a panel of at least three individuals. Each panel will generally include two or more nonfederal consultants with experience and training in the proposed area of expertise. Each panel will include a person with a disability and an individual from a minority background.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

The range of approval/disapproval time is approximately 120 to 150 days following the application deadline.

Appeals:

Not Applicable.

Renewals:

Renewals are available only through the new application process. Projects with an approved multi-year project period may be continued based on the availability of appropriated funds and an annual review of accomplishments to determine satisfactory performance.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Projects generally may be supported for 1 to 5 years, with budget periods of 12-months. Renewals are subject to the availability of appropriations. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Annual financial and progress reports must be submitted as required by the grant award's terms and conditions. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that

year, except as noted in Circular No. A-133.

Records:

As required by EDGAR.

Account Identification:

91-0301-0-1-506.

Obligations:

(Project Grants) FY 12 \$2,115,004; FY 13 est \$1,975,943; and FY 14 est \$1,975,943

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: No Current Data Available. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Education Department General Administrative Regulations. For more information contact the program office.

Regional or Local Office:

None.

Headquarters Office:

Ellen Chesley, Department of Education, OSERS Rehabilitation Services Administration, 400 Maryland Ave., S.W., Washington, District of Columbia 20202-2649 Email: ellen.chesley@ed.gov Phone: (202) 245-7300.

Website Address:

<http://www.ed.gov/about/offices/list/osers/rsa/index.html>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The selection criteria contained in the Education Department General Administrative Regulations are used to evaluate this program.

84.323 SPECIAL EDUCATION - STATE PERSONNEL DEVELOPMENT

FEDERAL AGENCY:

Office of Special Education and Rehabilitative Services, Department of Education

AUTHORIZATION:

Individuals with Disabilities Education Act (IDEA), as amended, Part D, Subpart 1, Section 651-655, 20 U.S.C 1451-1455.

OBJECTIVES:

To assist State educational agencies in reforming and improving their systems for personnel preparation and professional development in early intervention, educational and transition services, to improve results for children with disabilities. As used in this program, personnel means special education teachers, regular education teachers, principals, administrators, related services personnel, paraprofessionals, and early intervention personnel serving infants, toddlers, preschoolers, or children with disabilities, except where a particular category of personnel, such as related services personnel, is identified.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

The uses of funds under the State Personnel Development Grants program are described in section 654 of the IDEA (20 U.S.C. 1454). Not less than 90 percent of the grant funds for any fiscal year must be used for spent on professional development activities described in section 654(a) of IDEA (20 U.S.C. 1454(a)), including improving results for children with disabilities through the delivery of high-quality instruction and the recruitment, hiring and retention of highly qualified special education teachers. Not more than 10

percent of grant funds for any fiscal year must can be spent on the other activities described in section 654(b) of IDEA (20 U.S.C. 1454(b)), such as reforming special education and regular education teacher certification (including recertification) or licensing requirements and carrying out programs that establish, expand, or improve alternative routes for State certification of special education teachers.

Applicant Eligibility:

State Educational Agencies.

A State educational agency of one of the 50 States, the District of Columbia, the Commonwealth of Puerto Rico, and the outlying areas (U.S. Virgin Islands, Guam, American Samoa, and the Commonwealth of the Northern Mariana Islands) may apply.

Beneficiary Eligibility:

Infants and toddlers with disabilities as defined in the IDEA and their families, and children with disabilities as defined in the IDEA and their parents benefit from this program.

Credentials/Documentation:

Contact program office. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. The regulations in 34 C.F.R. Part 80 (Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments) implement OMB Circular A-102. The standard application forms as furnished by the Office of Special Education and Rehabilitative Services must be used for this program. Information about submitting applications for this program can be found in the Notice Inviting Applications for this program for a particular fiscal year and in the approved application package. A notice inviting applications is generally published in the Federal Register annually, depending on the availability of appropriations for a particular fiscal year. Awards are made on a competitive basis based on the availability of funds. The regulations in 34 C.F.R. Part 74 (Administration of Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-Profit Organizations) implement OMB Circular A-110. OMB Circular A-110 is not applicable to SEAs; however, it is applicable to SEA partners, such as institutions of higher education or other non-profit organizations.

Award Procedure:

Applications are peer reviewed and grants are made on a competitive basis subject to the approval of the Assistant Secretary, OSERS.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

A continuation awards may be made annually for up to 5 years throughout the project period of an approved multi-year grant, depending upon the availability of appropriations and the OSERS Assistant Secretary's determination that the grantee has made substantial progress in meeting the objectives of the approved application.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Typically from one to five years, subject to the availability of appropriations and the grantee's compliance with the requirements of the grant. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Grantees must submit an annual performance report (APR) as required under EDGAR (34 CFR Part 80.80.41 and 34 C.F.R. Part 75, 75.720 74.50). Final reports are made at the end of the project period. Cash reports are not applicable. Progress is monitored through the grantee's APR. Expenditure reports are not applicable. Program performance is monitored through APR.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

The grantee shall maintain accounts, records, and other evidence pertaining to all costs incurred, revenues or other applicable credits acquired under its grant, as stated in award terms and conditions.

Account Identification:

91-0300-0-1-500.

Obligations:

(Project Grants) FY 12 \$43,916,840; FY 13 est \$43,917,000; and FY 14 est \$45,011,000

Range and Average of Financial Assistance:

For 2012, the range of awards is expected to be between \$500,000 and \$1,750,000; the average award is expected to be about \$1,000,000, excluding outlying areas.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: No Current Data Available. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

There are no implementing regulations for the State Personnel Development Grants program. However, the Notice of Final Priority published in the Federal Register on May 20, 2011 at 76.F.R. 29221, sets out the regulatory requirements that are applicable to this program, and the Secretary considers only applications that conform to the absolute priority for this program set out in the FY 2011 Notice of Final Priority for this program.

Regional or Local Office:

None.

Headquarters Office:

Jennifer Coffey, Department of Education, OSERS Office of Special Education Programs, 400 Maryland Ave., SW, Washington, District of Columbia 20202
Email: jennifer.coffey@ed.gov Phone: (202) 245-6673.

Website Address:

<http://www.ed.gov/about/offices/list/osers/osep/index.html>.

RELATED PROGRAMS:

84.027 Special Education_Grants to States; 84.173 Special Education_Preschool Grants; 84.181 Special Education-Grants for Infants and Families; 84.324 Research in Special Education; 84.325 Special Education - Personnel Development to Improve Services and Results for Children with Disabilities; 84.326 Special Education_Technical Assistance and Dissemination to Improve Services and Results for Children with Disabilities; 84.327 Special Education_Technology and Media Services for Individuals with Disabilities; 84.328 Special Education_Parent Information Centers; 84.329 Special Education_Studies and Evaluations; 84.373 Special Education_Technical Assistance on State Data Collection

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Criteria for selecting among grant applications will normally be selected from those in the Education Department General Administrative Regulations (EDGAR), 34 CFR Part 75.210.

84.324 RESEARCH IN SPECIAL EDUCATION

FEDERAL AGENCY:

Institute of Education Sciences, Department of Education

AUTHORIZATION:

Education Sciences Reform Act of 2002, Part E.

OBJECTIVES:

To support scientifically rigorous research contributing to the solution of specific early intervention and education problems associated with children with disabilities.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds may be used for research on children with or at risk for disabilities and training in special education research.

Applicant Eligibility:

Applicants that have the ability and capacity to conduct scientifically valid research are eligible to apply. Eligible applicants include, but are not limited to, non-profit and for-profit organizations and public and private agencies and institutions, such as colleges and universities.

Beneficiary Eligibility:

Infants, toddlers, and children with disabilities or at risk for disabilities benefit from this research.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Standard Form 424, "Application for Federal Assistance," an application narrative, and required certificates must be submitted by the date specified in the Federal Register.

Award Procedure:

Applications will be reviewed by panels of field readers in accordance with 20 U.S.C. 9501 et seq. Education Sciences Reform Act of 2002 section 175.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

240 days.

Appeals:

Not Applicable.

Renewals:

Renewals are based on the review of annual performance reports and the recommendation of the project officer. All renewals are subject to the availability of funds.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Typically from 1 to 5 years. Renewals are subject to the availability of appropriations. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

As required by the Education Department General Administrative Regulations (EDGAR) for direct grant programs (34 CFR 75). Generally, annual financial and progress reports must be submitted as required by grant award terms and conditions. Cash reports are not applicable. Annual progress and performance reports are required as part of the submittal of form ED 524B. Annual expenditure reports are required as part of the submittal of form ED 524B. Staff also monitor drawdowns using agency financial reports. Reporting on performance measures is required as part of the submittal of form ED 524B.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

As stated in grant or contract terms and conditions, the awardee shall maintain accounts, records, and other evidence pertaining to all costs incurred, revenues or other applicable credits acquired under this grant.

Account Identification:

91-1100-0-1-500.

Obligations:

(Project Grants) FY 12 \$49,921,092; FY 13 est \$47,297,586; and FY 14 est \$49,905,500

Range and Average of Financial Assistance:

Average grant award: FY 11: \$565,905; FY 12: \$541,000; FY 13: No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: No Current Data Available. Fiscal Year 2013: Refer to Funding Opportunities home page of the Institute website: <http://ies.ed.gov/funding/>. Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

EDGAR 34 CFR 74, 77, 80, 81, 82, 84, 85, 86 (Part 86 applies only to IHEs), 97, 98, and 99. In addition 34 CFR 75 is applicable, except for the provisions in 34 CFR 75.100, 75.101(b), 75.102, 75.103, 75.105, 75.109(a), 75.200, 75.201, 75.209, 75.210, 75.211, 75.217, 75.219, 75.220, 75.221, 75.222, and 75.230.

Regional or Local Office:

None.

Headquarters Office:

Robert Ochsendorf, Department of Education, Institute of Education Sciences, National Center for Special Education Research, Office of the Commissioner, 400 Maryland Ave, S.W., Washington, District of Columbia 20202 Email: Robert.Ochsendorf@ed.gov Phone: (202) 219-2234.

Website Address:

<http://ies.ed.gov/ncser>.

RELATED PROGRAMS:

84.305 Education Research, Development and Dissemination

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: Refer to Funding Opportunities home page of the Institute web site: <http://ies.ed.gov/funding/>. Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The criteria for selecting applications are contained in the Request for Applications that announces the competition. The Request for Applications is posted on the Funding Opportunities home page of the Institute web site: <http://ies.ed.gov/funding/>.

84.325 SPECIAL EDUCATION - PERSONNEL DEVELOPMENT TO IMPROVE SERVICES AND RESULTS FOR CHILDREN WITH DISABILITIES**FEDERAL AGENCY:**

Office of Special Education and Rehabilitative Services, Department of Education

AUTHORIZATION:

Individuals with Disabilities Education Act, Part D, Subpart 2, Section 662, 20 U.S.C 1462.

OBJECTIVES:

The purposes of this program are to (1) help address State-identified needs for highly qualified personnel--in special education, related services, early intervention, and regular education--to work with infants, toddlers, and children with disabilities; and (2) ensure that those personnel have the necessary skills and knowledge, derived from practices that have been determined through scientifically based research and experience, to be successful in serving those children.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds must be used to prepare personnel to serve children with both low and high-incidence disabilities, leadership personnel, and for special projects. Awards are made only to eligible applicants that meet State and professionally recognized standards for the preparation of special education and related services personnel, if the purpose of the project is to assist personnel in obtaining degrees.

Applicant Eligibility:

State educational agencies, local education agencies, public charter schools that are LEAs under State law, institutions of higher education, other public agencies, private nonprofit organizations, outlying areas, Indian tribes or tribal organizations, and, if approved by the Secretary, for-profit organizations.

Beneficiary Eligibility:

Infants, toddlers, and children with disabilities are the primary beneficiaries under this program.

Credentials/Documentation:

OMB Circulars A-21, A-122, and A-87 may apply to grantees under this program depending on the grantee. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program.

Award Procedure:

Applications are reviewed and evaluated by outside experts and program staff, in accordance with the Education Department General Administrative Regulations (EDGAR) (34 CFR 75, Subpart D).

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

As required by EDGAR, awards may be renewed annually for up to five years throughout the project period of the award, if the recipient has made substantial progress in meeting the goals of the project, subject to the availability of appropriations. (See 34 CFR 75.253).

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: A recipient of a grant, contract, or cooperative agreement may be required to share in the cost of a project. (See 20 U.S.C. 1482 (a)(2)(A)).

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Typically from one to five years. Renewals are subject to the availability of appropriations. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Progress reports shall be made on an annual basis. Final reports shall be made at the end of the project period. Cash reports are not applicable. Grantees must submit an annual performance report (APR) as required under EDGAR 34 CFR 74.51-53. Expenditure reports are monitored through the APR. Performance reports are monitored through the APR.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

As required by EDGAR, the awardee shall maintain accounts, records, and other evidence pertaining to all costs incurred, revenues or other applicable credits acquired under its award, as stated in grant or contract terms and conditions. Generally, records related to grant funds, compliance, and performance must be maintained for a period of five years after completion. (34 CFR 74.53 and 34 CFR 75.730-732).

Account Identification:

91-0300-0-1-501.

Obligations:

(Project Grants) FY 12 \$88,298,799; FY 13 est \$83,700,085; and FY 14 est \$85,799,000

Range and Average of Financial Assistance:

The range and average vary by competition.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: No Current Data Available. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

34 CFR Part 304, Education Department General Administrative Regulations (EDGAR), 34 CFR 74, 75, 77,79, 80, 81, 82, 85, and 86.

Regional or Local Office:

None.

Headquarters Office:

Bonnie Jones, Department of Education, OSERS Office of Special Education Programs, Potomac Center Plaza, 550 12th Street, SW, , Washington, District of Columbia 20202 Email: bonnie.jones@ed.gov Phone: (202) 245-7395.

Website Address:

<http://www.ed.gov/about/offices/list/osers/osep/index.html>.

RELATED PROGRAMS:

84.027 Special Education_Grants to States; 84.173 Special Education_Preschool Grants; 84.181 Special Education-Grants for Infants and Families; 84.323 Special Education - State Personnel Development; 84.324 Research in Special Education; 84.326 Special Education_Technical Assistance and Dissemination to Improve Services and Results for Children with Disabilities; 84.327 Special Education_Technology and Media Services for Individuals with Disabilities; 84.328 Special Education_Parent Information Centers; 84.329 Special Education_Studies and Evaluations; 84.373 Special Education_Technical Assistance on State Data Collection

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Criteria for selecting among grant applications will normally be selected from those in the Education Department General Administrative Regulations (EDGAR), 34 CFR Part 75.210, and announced in the application package or the application notice in the Federal Register.

84.326 SPECIAL EDUCATION_TECHNICAL ASSISTANCE AND DISSEMINATION TO IMPROVE SERVICES AND RESULTS FOR CHILDREN WITH DISABILITIES

FEDERAL AGENCY:

Office of Special Education and Rehabilitative Services, Department of Education

AUTHORIZATION:

Individuals with Disabilities Education Act (IDEA), as amended, Part D, Subpart 2, Section 663, 20 U.S.C 1463.

OBJECTIVES:

The purpose of the Technical Assistance and Dissemination to Improve Services and Results for Children with Disabilities program is to promote academic achievement and to improve results for children with disabilities by providing technical assistance, supporting model demonstration projects, disseminating useful information, and implementing activities that are supported by scientifically based research.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds must be used to support the objectives of the program.

Applicant Eligibility:

State educational agencies (SEAs), local educational agencies (LEAs), public charter schools that are LEAs under State law, institutions of higher education (IHEs), other public agencies, private nonprofit organizations, outlying areas, freely associated States, Indian tribes or tribal organizations, and, if approved by the Secretary, for-profit organizations.

Beneficiary Eligibility:

Infants, toddlers and other individuals with disabilities, and their families benefit from this program.

Credentials/Documentation:

OMB Circulars A-21, A-122, and A-87 may apply to grantees under this program depending on the grantee. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program.

Award Procedure:

Applications are reviewed and evaluated by outside experts and program staff, in accordance with the Education Department General Administrative Regulations (EDGAR) (34 CFR 75, Subpart D).

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

As required by EDGAR, awards may be renewed annually for up to five years throughout the project period of the award if the recipient has made substantial progress in meeting the goals of the project, depending upon the availability of appropriations. (See 34 CFR 75.253).

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: The Department may require a recipient of a grant, contract, or cooperative agreement to share in the cost of a project. (See 20 U.S.C. 1482 (a)(2)(A)).

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Typically from one to five years; subject to the availability of appropriations. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Progress reports shall be made on an annual basis. Final reports shall be made at the end of the project period. Cash reports are not applicable. Grantees must submit an annual performance report (APR) as required under EDGAR 34 CFR 74.50. Expenditure reports are monitored through the APR. Program performance is monitored through the APR.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

As required by EDGAR, the grantee shall maintain accounts, records, and other evidence pertaining to all costs incurred, revenues or other applicable credits acquired under its award as stated in grant or contract terms and conditions. Generally, records related to grant funds, compliance, and performance must be maintained for a period of five years after completion. (34 CFR 75.721).

Account Identification:

91-0300-0-1-501.

Obligations:

(Project Grants (Capacity Building and Complaint Processing, Training)) FY 12 \$46,781,267; FY 13 est \$46,781,000; and FY 14 est \$46,781,000

Range and Average of Financial Assistance:

The range and average vary by competition.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: No Current Data Available. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Education General Administrative Regulations (EDGAR) 34 CFR 74, 75, 77, 79, 80, 81, 82, 85, and 86.

Regional or Local Office:

None.

Headquarters Office:

David Guardino Department of Education, OSERS Office of Special Education Programs, 400 Maryland Ave., S.W., Washington, District of Columbia 20202 Email: david.guardino@ed.gov Phone: (202) 245-6209

Website Address:

<http://www.ed.gov/about/offices/list/osers/osep/index.html>.

RELATED PROGRAMS:

84.027 Special Education_Grants to States; 84.173 Special Education_Preschool Grants; 84.181 Special Education-Grants for Infants and Families; 84.323 Special Education - State Personnel Development; 84.324 Research in Special Education; 84.325 Special Education - Personnel Development to Improve Services and Results for Children with Disabilities; 84.327 Special Education_Technology and Media Services for Individuals with Disabilities; 84.328 Special Education_Parent Information Centers; 84.329 Special Education_Studies and Evaluations; 84.373 Special Education_Technical Assistance on State Data Collection

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Criteria for selecting among grant applications will normally be selected from those in the Education Department General Administrative Regulations (EDGAR), 34 CFR Part 75.210, and announced in the application package or the application notice in the Federal Register.

84.327 SPECIAL EDUCATION EDUCATIONAL TECHNOLOGY MEDIA, AND MATERIALS FOR INDIVIDUALS WITH DISABILITIES

FEDERAL AGENCY:

Office of Special Education and Rehabilitative Services, Department of Education

AUTHORIZATION:

Individuals with Disabilities Education Act (IDEA), as amended , Part D, Subpart 3, Section 674.

OBJECTIVES:

The purposes of the Educational Technology, Media and Materials for Individuals with Disabilities program are to: (1) improve results for children with disabilities by promoting the development, demonstration, and use of technology; (2) support educational media services activities designed to be of educational value in the classroom setting to children with disabilities; and (3) provide support for captioning and video description that are appropriate for use in the classroom setting; and (4) provide accessible educational materials to children with disabilities in a timely manner.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds must be used to support the objectives of the program.

Applicant Eligibility:

State educational agencies (SEAs), local educational agencies (LEAs), public charter schools that are LEAs under State law, institutions of higher education (IHEs), other public agencies, private nonprofit organizations, outlying areas, freely associated States, Indian tribes or tribal organizations, and, if approved by the Secretary, for-profit organizations.

Beneficiary Eligibility:

Infants, toddlers, and children with disabilities and their families benefit from this program.

Credentials/Documentation:

OMB Circulars A-21, A-122, and A-87 may apply to grantees under this program depending on the grantee. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should

consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program.

Award Procedure:

Applications are reviewed and evaluated by outside experts and program staff, in accordance with the Education Department General Administrative Regulations (EDGAR) (34 CFR 75, Subpart D).

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Awards may be renewed annually for up to five years throughout the project period of the award, depending upon the availability of appropriations.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: A recipient of a grant, contract, or cooperative agreement may be required to share in the cost of a project. (See 20 U.S.C. 1482 (a)(2)(A).)

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Typically from one to five years, subject to the availability of appropriations. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Progress reports shall be made on an annual basis. Final reports shall be made at the end of the project period. Cash reports are not applicable. Grantees must submit an annual performance report (APR) as required under EDGAR 34 CFR 74.50. Expenditure reports are monitored through the APR. Program performance is monitored through the APR. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

As required by EDGAR, the awardee shall maintain accounts, records, and other evidence pertaining to all costs incurred, revenues or other applicable credits acquired under its award, as stated in grant or contract terms and conditions. Generally, records related to grant funds, compliance, and performance must be maintained for a period of five years after completion. (34 CFR 75.721).

Account Identification:

91-0300-0-1-501.

Obligations:

(Project Grants) FY 12 \$29,587,973; FY 13 est \$28,046,994; and FY 14 est \$29,588,000

Range and Average of Financial Assistance:

The range and average vary by competition.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: No Current Data Available. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Education General Administrative Regulations (EDGAR) 34 CFR 74, 75, 77, 79, 80, 81, 82, 85, and 86.

Regional or Local Office:

None.

Headquarters Office:

Michael Slade Department of Education, 400 Maryland Ave., S.W., Washington, District of Columbia 20202 Email: michael.slade@ed.gov Phone: (202) 245-7527

Website Address:

<http://www.ed.gov/about/offices/list/osers/osep/index.html>.

RELATED PROGRAMS:

84.027 Special Education_Grants to States; 84.173 Special Education_Preschool Grants; 84.181 Special Education-Grants for Infants and Families; 84.323 Special Education - State Personnel Development; 84.324 Research in Special Education; 84.325 Special Education - Personnel Development to Improve Services and Results for Children with Disabilities; 84.326 Special Education_Technical Assistance and Dissemination to Improve Services and Results for Children with Disabilities; 84.328 Special Education_Parent Information Centers; 84.329 Special Education_Studies and Evaluations; 84.373 Special Education_Technical Assistance on State Data Collection

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Criteria for selecting among grant applications will normally be selected from those in the Education Department General Administrative Regulations (EDGAR), 34 CFR Part 75.210, and announced in the application package or the application notice in the Federal Register.

84.328 SPECIAL EDUCATION_PARENT INFORMATION CENTERS

FEDERAL AGENCY:

Office of Special Education and Rehabilitative Services, Department of Education

AUTHORIZATION:

Individuals with Disabilities Education Act (IDEA), as amended., Part D, Subpart 3, Section 671-673, 20 U.S.C 1471-1473.

OBJECTIVES:

The purpose of the program is to ensure that parents of children with disabilities receive training and information to help improve results for their children.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds must be used to support the objectives of the program.

Applicant Eligibility:

Parent organizations, as defined in Section 671(a)(2) of the Individuals with Disabilities Education Act are eligible for parent center awards under IDEA sections 671 and 672. For section 672 funding, these parent centers must meet additional conditions set forth in section 672. For IDEA section 673 State educational agencies (SEAs) local educational agencies (LEAs), public charter schools that are LEAs under State law, institutions of higher education (IHEs), other public agencies, private nonprofit organizations, outlying areas, freely associated States, Indian tribes or tribal organizations, and, if the Secretary approves, for-profit organizations.

Beneficiary Eligibility:

Infants, toddlers and other individuals with disabilities, and their families benefit from this program.

Credentials/Documentation:

OMB Circulars A-21, A-122, and A-87 may apply to grantees under this program depending on the grantee. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program.

Award Procedure:

Applications are reviewed and evaluated by outside experts and program staff, in accordance with the Education Department General Administrative Regulations (EDGAR) (34 CFR 75, Subpart D).

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

As required by EDGAR, awards may be renewed annually for up to five years throughout the project period of the award, if the recipient has made substantial progress in meeting the goals of the project, depending upon the availability of appropriations (See 34 CFR 75.253).

Formula and Matching Requirements:

This program has no statutory formula.
Matching Requirements: The Department may require a recipient of a grant, contract, or cooperative agreement to share in the cost of a project. (See 20 U.S.C. 1482 (a)(2)(A).)
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Typically from one to five years; subject to the availability of funds. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Progress reports shall be made on annual basis. Final reports shall be made at the end of the project period. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

As required by EDGAR, the awardee shall maintain accounts, records, and other evidence pertaining to all costs incurred, revenues or other applicable credits acquired under its award as stated in grant or contract terms and conditions. Generally, records related to grant funds, compliance, and performance must be maintained for a period of five years after completion (34 CFR 75.721).

Account Identification:

91-0300-0-1-501.

Obligations:

(Project Grants) FY 12 \$28,917,243; FY 13 est \$28,047,994; and FY 14 est \$28,917,000

Range and Average of Financial Assistance:

The range and average vary by competition.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: No Current Data Available. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Education Department General Administrative Regulations (EDGAR), 34 CFR 74, 75, 77, 79, 80, 81, 82, 85, and 86.

Regional or Local Office:

None.

Headquarters Office:

Christy Kavulic Department of Education, OSERS Office of Special Education Programs 400 Maryland Ave., S.W., Washington, District of Columbia 20202
Email: christy.kavulic@ed.gov Phone: (202) 245-7359

Website Address:

<http://www.ed.gov/about/offices/list/osers/osep/index.html>

RELATED PROGRAMS:

84.027 Special Education_Grants to States; 84.173 Special Education_Preschool Grants; 84.181 Special Education-Grants for Infants and Families; 84.323 Special Education - State Personnel Development; 84.324 Research in Special Education; 84.325 Special Education - Personnel Development to Improve Services and Results for Children with Disabilities; 84.326 Special Education_Technical Assistance and Dissemination to Improve Services and Results for Children with Disabilities; 84.327 Special Education_Technology and Media Services for Individuals with Disabilities; 84.329 Special Education_Studies and Evaluations; 84.373 Special Education_Technical Assistance on State Data Collection

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Criteria for selecting among grant applications will normally be selected from those in the Education Department General Administrative Regulations (EDGAR), 34 CFR Part 75.210, and announced in the application package or the application notice in the Federal Register.

84.329 SPECIAL EDUCATION STUDIES AND EVALUATIONS

FEDERAL AGENCY:

Institute of Education Sciences, Department of Education

AUTHORIZATION:

Individuals with Disabilities Education Act (IDEA), as amended, Section 664, 20 U.S.C 1464.

OBJECTIVES:

To assess the progress in implementing the Individuals with Disabilities Education Act, including State and local efforts to provide (1) Free appropriate public education to children with disabilities; and (2) early intervention services to infants and toddlers with disabilities who would be at risk of having substantial developmental delays if early intervention services were not provided.

TYPES OF ASSISTANCE:

Project Grants (Contracts)

USES AND USE RESTRICTIONS:

Funds must be used to support the objectives of the program.

Applicant Eligibility:

A State educational agency, a local educational agency, an institution of higher education, any other public agency, a private non-profit organization, an outlying area, an Indian tribe or tribal organization (as defined under section 4 of the Indian Self-Determination and Education Assistance Act), and a for-profit organization, if the Secretary finds it appropriate in light of the

purposes of a particular competition for a grant, contract, or cooperative agreement. The Department may limit the entities eligible for an award to categories of these eligible entities.

Beneficiary Eligibility:

Infants, toddlers, and children with disabilities, and other individuals with disabilities, and their families benefit from this program.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program.

Award Procedure:

Applications will be reviewed by a panel of experts.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Awards may be renewed annually for up to five years throughout the project period of the award, depending upon the availability of appropriations. Renewals are subject to the availability of appropriations.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Typically from one to five years. Renewals are subject to the availability of appropriations. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Progress reports shall be made on an annual basis. Final reports shall be made at the end of the project period. Cash balance reports will be required annually. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

As stated in grant or contract terms and conditions, the awardee shall maintain accounts, records, and other evidence pertaining to all costs incurred, revenues or other applicable credits acquired under this grant.

Account Identification:

91-1100-0-1-500.

Obligations:

(Project Grants) FY 12 Not Available; FY 13 est \$11,415,000; and FY 14

Estimate Not Available

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Jonathan Jacobson, Department of Education, 400 Maryland Ave., SW, Washington, District of Columbia 20202 Email: jonathan.jacobson@ed.gov Phone: (202) 208-3876.

Website Address:

<http://ies.ed.gov/ncee>

RELATED PROGRAMS:

84.027 Special Education_Grants to States; 84.173 Special Education_Preschool Grants; 84.184 Safe and Drug-Free Schools and Communities_National Programs; 84.324 Research in Special Education; 84.325 Special Education - Personnel Development to Improve Services and Results for Children with Disabilities; 84.326 Special Education_Technical Assistance and Dissemination to Improve Services and Results for Children with Disabilities; 84.327 Special Education_Technology and Media Services for Individuals with Disabilities; 84.328 Special Education_Parent Information Centers

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: The Department expects to release contract solicitations for two contract activities under this program in FY 2013. The solicitations will be announced on the Federal Business Opportunities website (www.fbo.gov). Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Criteria for selecting among grant applications will normally be selected from those in the Education Department General Administrative Regulations (EDGAR), 34 CFR Part 75.210.

84.330 ADVANCED PLACEMENT PROGRAM (ADVANCED PLACEMENT TEST FEE; ADVANCED PLACEMENT INCENTIVE PROGRAM GRANTS)

FEDERAL AGENCY:

Office of Elementary and Secondary Education, Department of Education

AUTHORIZATION:

Elementary and Secondary Education Act, as amended, Title I, Part G.

OBJECTIVES:

To award grants to State educational agencies, local educational agencies, and national nonprofit educational organizations with expertise in advanced placement services to support State and local efforts to increase access to advanced placement classes and tests for low-income students and to award grants to State educational agencies to cover part or all of the cost of test fees for students from low-income families enrolled in advanced placement courses.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

The Advanced Placement (AP) Incentive program provides grants to eligible entities for activities that increase the participation of students from low-income families in both pre-advanced placement and advanced placement courses and tests, including teacher training and course development, coordination, and articulation. The AP Test Fee program provides awards to enable States to cover all or part of the cost of test fees for students from low-income families who are enrolled in advanced placement classes. The Elementary and Secondary Education Act requires the Secretary to give priority to funding the

Advanced Placement Test Fee program, with remaining funds allocated to Advanced Placement Incentive Grants.

Applicant Eligibility:

State educational agencies, local educational agencies, and national nonprofit educational organizations with expertise in advanced placement services may apply for AP Incentive grants. State educational agencies may apply for grants under the AP Test Fee program.

Beneficiary Eligibility:

Students from low-income families who are enrolled in an advanced placement class and plan to take an advanced placement test and their teachers benefit.

Credentials/Documentation:

The AP Test Fee program is excluded from coverage under OMB Circular No. A-87. OMB Circular No. A-87 for State and local governments and OMB Circular No. A-122 for non-profit organizations apply to the AP Incentive program. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applicants should secure grant application forms and prepare and address submissions according to directions published in the Federal Register.

Award Procedure:

Applications for AP Test Fee are reviewed by Department of Education staff. The selection of AP Test Fee grantees is noncompetitive. Selection of AP Incentive grantees is competitive and based on a nonfederal review of applications using selection criteria published in a notice in the Federal Register. Final decisions on grants are made by the Secretary after considering statutory and other applicable requirements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Up to 120 days after receipt of applications.

Appeals:

Not Applicable.

Renewals:

Awards under the AP Test Fee program are made for one year only. Awards under the AP Incentive program are made for a period of up to 3 years; after the first year, grantees may receive annual continuations contingent on project performance and availability of funds.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching Requirements: A matching requirement applies to the AP Incentive program. Matching requirements are not applicable to the AP Test Fee program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awards are made for one year for the AP Test Fee program, and for up to 3 years for the AP Incentive program, contingent on performance and availability of funds. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Reporting requirements are included in the final grant documents. Grantees also may be subject to national evaluation performed by the Department of Education. Cash reports are not applicable. Progress reports are not applicable.

Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Additional audit requirements may be specified in the grant award documents.

Records:

Records are to be maintained as indicated in the grant award document.

Account Identification:

91-0204-0-1-501.

Obligations:

(Project Grants) FY 12 \$30,055,373; FY 13 est \$28,483,297; and FY 14 est \$0

Range and Average of Financial Assistance:

FY12 average AP Test Fee award: \$562,284

FY11 average new AP Incentive continuation award: \$379,633.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: No Current Data Available. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

34 CFR 75, 77, 79, 80, 81, 82, 85, 86, and 99. Applicants should contact the headquarters or regional office, as appropriate for more information.

Regional or Local Office:

None.

Headquarters Office:

Braden Goetz Department of Education, OESE Academic Improvement and Teacher Quality Programs, 400 Maryland Ave., SW., Washington, District of Columbia 20202 Email: braden.goetz@ed.gov Phone: (202) 260-0982

Website Address:

AP Test Fee program: <http://www.ed.gov/programs/apfee/index.html>, AP Incentive program: <http://www.ed.gov/programs/apincent/index.html>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The selection process for AP Test Fee grants is noncompetitive; no selection criteria are used and applicants that submit applications meeting applicable requirements will receive awards. Selection of AP Incentive grantees is competitive and based on a nonfederal review of applications using selection criteria published in a notice in the Federal Register.

84.334 GAINING EARLY AWARENESS AND READINESS FOR UNDERGRADUATE PROGRAMS

(GEAR-UP)

FEDERAL AGENCY:

Office of Postsecondary Education, Department of Education

AUTHORIZATION:

Higher Education Act of 1965, as amended, Title IV, Part A, Subpart 2, Chapter 2.

OBJECTIVES:

Provides 6-or 7-year grants to States and partnerships to provide support, and maintain a commitment, to eligible low-income students, including students with disabilities, to help the students obtain a secondary school diploma (or its recognized equivalent) and to prepare for, and succeed in, postsecondary education. GEAR UP has two major service components. All projects provide

a comprehensive set of early intervention services including mentoring, tutoring, academic and career counseling, services to increase parental involvement, and other college preparation activities like exposure to college campuses and financial aid information and assistance. Some projects provide college scholarships to participating students.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

(1) State Grants. States receiving funds are required (unless they receive a waiver) to provide both the early intervention services and a scholarship component, targeted to low-income students in grades K-12. At least 50 percent, but not more than 75 percent, of the grant funds must be used to provide scholarships to participating students. Conversely, at least 25 percent, but not more than 50 percent, of the funds must be used for required and permissible activities. To meet the matching requirement, States must cover at least 50 percent of the project costs with cash or in-kind contributions from non-Federal sources. (2) Partnership Grants. Eligible partnerships consist of one or more local educational agencies and one or more degree granting institutions of higher education and not less than two other community organizations or entities such as businesses, professional organizations, State agencies, institutions, or other public or private agencies or organizations. Partnerships receiving funds are not required to provide a scholarship component. However, they are required to provide services to at least one cohort or grade level of students beginning no later than the 7th grade, in a school that has a 7th grade, and in which at least 50 percent of the students enrolled are eligible for free or reduced-price lunch, or to an entire grade level of students, not later than the 7th grade, who reside in public housing. This program is subject to non-supplanting requirements and must use a restricted indirect cost rate, which is referenced under 34 CFR 76.564-76.569. For assistance call the Office of the Chief Financial Officer/Indirect Cost Group at (202) 708-7770.

Applicant Eligibility:

A State, or a partnership consisting of one or more local educational agencies one or more degree granting institutions of higher education; and at least two community organizations or entities, such as businesses, professional associations, community-based organizations, philanthropic organizations, State agencies, parent groups, and/or nonprofit organizations.

Beneficiary Eligibility:

Low-income students and students in high-poverty schools.

Credentials/Documentation:

OMB Circular No. A-21 applies. OMB Circular No. A-122 applies. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. In any fiscal year in which funds are available for new awards, the Department of Education publishes a notice in the Federal Register inviting applications and establishing a deadline date. An application package is available from the Office of Higher Education Programs. Copies are available on the web page and will also be mailed upon request.

Award Procedure:

Peer review panels composed of representatives from public and private higher education, and State, and local education agencies having expertise in elementary, secondary, and higher education.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Notification usually is made within 4 months of the deadline for submission of applications.

Appeals:

Not Applicable.

Renewals:

Applications for renewal of multi-year projects are processed and funded before those for new projects. Renewals are subject to the availability of funds.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: The statute requires a cash or in-kind match from non-Federal sources.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants are made for up to 7 years, subject to the availability of funds. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

As required by the Education Department General Administrative Regulations (EDGAR) for direct grant programs (34 CFR 75). Generally, annual performance and financial reports are required. Cash reports are not applicable. Annual performance reports are required as part of the submittal of form ED 524B. Annual expenditures reports are required as part of the submittal of form ED 524B. Staff also monitor grant drawdown using agency financial reports. Reporting on performance measures is required as part of the submittal of form ED 524B.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. Grantees are subject to audit requirements as described in 34 CFR 74.26.

Records:

EDGAR for direct grant programs (34 CFR part 75). Generally, records related to grant funds, compliance and performance must be maintained for a period of 3 years after completion of the grant.

Account Identification:

91-0201-0-1-502.

Obligations:

(Project Grants) FY 12 \$302,244,000; FY 13 est \$302,244,000; and FY 14 est \$302,244,000

Range and Average of Financial Assistance:

State grants: Average award in FY 11, \$3,584,000. Partnership grants: Average award in FY 11, \$1,491,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Education Department General Administrative Regulations, EDGAR; 34 CFR 74, 75, 77, 79, 80, 81, 82, 84, 85, 86, 97, 98, and 99 and 34 CFR Part 694; OMB Circulars A-21, A-87, A-110, A-122, and A-133.

Regional or Local Office:

None.

Headquarters Office:

James Davis, Department of Education, Office of Postsecondary Education, 1990 K Street NW, Washington, District of Columbia 20006 Email: james.davis@ed.gov Phone: (202) 502-7802.

Website Address:

<http://www.ed.gov/programs/gearup/index.html>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

84.335 CHILD CARE ACCESS MEANS PARENTS IN SCHOOL

FEDERAL AGENCY:

Office of Postsecondary Education, Department of Education

AUTHORIZATION:

Higher Education Act, as amended, Title IV, Part A, Subpart 7.

OBJECTIVES:

To support the participation of low-income parents in postsecondary education through the provision of campus-based child care services.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds must be used to support or establish child care programs serving low-income students enrolled in postsecondary education. Funds may be used to provide before or after school services to the extent necessary to allow students to pursue a postsecondary career. No funds from grants may be used for construction other than minor renovations to meet State or local health or safety needs.

Applicant Eligibility:

An institution of higher education is eligible to apply if the total amount of all Federal Pell Grant funds awarded to students enrolled at the institution of higher education for the preceding fiscal year equals or exceeds \$350,000.

Beneficiary Eligibility:

Low-income student parents enrolled in postsecondary programs will benefit.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. In any fiscal year in which funds are available for new awards, the Department of Education publishes a notice in the Federal Register inviting applications and establishing a deadline date. An application package is available from the Office of Higher Education Programs. Copies will be mailed upon request.

Award Procedure:

Peer review panels composed of representatives from public and private higher education and child care providers having expertise in child care service are designated to review application.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Notification usually is made within 4 months of the deadline for submission of applications.

Appeals:

Not Applicable.

Renewals:

Applications for renewal of multi-year projects are processed and funded before those for new projects. Renewals are subject to the availability of funds and if the grantee has made substantial progress in achieving the objectives outlined in its application.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: The grant amount awarded to an institution shall not exceed one percent of the total amount of all Federal Pell Grant funds awarded

to students enrolled at the institution of higher education for the preceding fiscal year.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants shall be awarded for a period of 4 years, subject to the availability of funds. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Staff monitor grants drawdown using agency financial reports. No cash reports are required. Progress reports are required. Expenditure reports are not applicable. Reporting on performance measures is required as part of the submittal of the required annual performance report.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records supporting claims under a grant must be maintained for 5 years after termination of the award.

Account Identification:

91-0201-0-1-502.

Obligations:

(Project Grants) FY 12 \$15,969,760; FY 13 est \$15,134,446; and FY 14 est \$15,956,760

Range and Average of Financial Assistance:

\$10,000 - \$300,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: No Current Data Available. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Contact the Program Office for information.

Regional or Local Office:

None.

Headquarters Office:

Josephine Hamilton, Department of Education, Office of Postsecondary Education, Higher Education Programs, 1990 K Street, NW, Washington, District of Columbia 20006 Email: jospehine.hamilton@ed.gov Phone: (202) 502-7583.

Website Address:

<http://www.ed.gov/programs/campisp/>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Criteria for selecting among grant applications will be selected from those in the Education Department General Administrative Regulations (EDGAR). Contact the Program Office for more information.

84.336 TEACHER QUALITY PARTNERSHIP GRANTS

FEDERAL AGENCY:

Office of Innovation and Improvement, Department of Education

AUTHORIZATION:

Higher Education Act of 1965, as amended, Title II, Part A.

OBJECTIVES:

To improve student achievement; improve the quality of new and prospective teachers by improving the preparation of prospective teachers and enhancing professional development activities for new teachers; hold teacher preparation programs at institutions of higher education (IHEs) accountable for preparing highly qualified teachers; and recruit highly qualified individuals, including minorities and individuals from other occupations, into the teaching force.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Awards may be used to reform teacher preparation programs through either the Pre-Baccalaureate or fifth year initial licensing Program and/or the Teaching Residency Program. Additionally, funded programs have the option of implementing a School Leadership Program as well as establishing a Partnership with a Digital Education Content Developer.

Applicant Eligibility:

States and partnerships that consist of at least one institution of higher education, a school, department or program of education within the partner institution, one school of arts and sciences within the partner institution, one high-need local educational agency, and a high-need school or a consortium of high-need schools served by the high-need local educational agency. A high-need local educational agency; is an agency that serves an elementary school or secondary school located in an area containing: (1) A high percentage of individuals or families with incomes below the poverty line, (2) a high percentage of secondary teachers not teaching in the content area that they were trained to teach, or (3) a high teacher turnover rate. An accredited institution of higher education, with a teacher training program must demonstrate the following: (A) A graduate from the teacher training program exhibits strong performance on State-determined qualifying assessments for new teachers through demonstrating that 80 percent or more of the graduates of the program intending to enter the field of teaching have passed all of the applicable State qualification assessments for new teachers including an assessment of each prospective teacher's subject matter knowledge in the content area or areas in which the teacher intends to teach; (B) be ranked among the highest-performing teacher preparation programs in the State as determined by the State; (C) the teacher training program requires all the students of the program to participate in intensive clinical experience that meets high academic standards in the case of secondary candidates to successfully complete an academic major in the subject area in which the candidate intends to teach; (D) competence through a high level of performance in relevant content area; (F) successful completion of an academic major in the arts and sciences in the case of elementary school candidates, or (G) competence through a high level of performance in core academic subjects areas. (Note: Entities that may constitute a partnership are specifically listed in the Law.)

Beneficiary Eligibility:

Students in high-need schools and school districts are the primary beneficiaries.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. In any fiscal year in which funds are available for new awards, the Department of Education publishes a notice in the Federal Register inviting applications and establishing a deadline date.

Award Procedure:

Peer review panels composed of representatives from public and private higher education, State and local educational agencies with expertise in teacher education are designated to review applications.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Notification usually is made within four months of the deadline for submission of applicants.

Appeals:

Not Applicable.

Renewals:

Applications for renewal of multi-year projects are processed and funded before those for new projects. Renewals are subject to the availability of funds.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: This program has statutory requirements as follows: eligible partnerships are required to match 100 percent of the grant. Eligible partnerships may submit a request to waive the 100 percent match requirement for the first two years of the grant. The Secretary has the authority to grant this waiver to eligible partnerships as authorized under section 203(c)(2) of the HEA, as amended.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants are awarded for a performance period of five years, subject to the availability of funds. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

As required by the Education Department General Administrative Regulations (EDGAR) for direct grant programs (34 CFR 75). Generally, annual financial and progress reports must be submitted as required by grant award terms and conditions. No cash reports are required. Annual progress reports are required as part of the submittal of form ED 524B. Annual expenditure reports are required as part of the submittal of form ED 524B. Staff also monitor drawdowns using agency financial reports. Annual performance monitoring is required as part of the submittal of form ED 524B.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records supporting claims under a grant must be maintained for 5 years after termination of the awards.

Account Identification:

91-0204-0-1-501; 91-0201-0-1-502.

Obligations:

(Project Grants) FY 12 \$42,832,893; FY 13 est \$40,592,475; and FY 14 est \$0

Range and Average of Financial Assistance:

An average award is \$1.5 million per year.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: No Current Data Available. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Contact the Program Office for additional information.

Regional or Local Office:

None.

Headquarters Office:

Peggi Zelinko, Department of Education, Office of Innovation and Improvement, Teacher Quality Programs, 400 Maryland Avenue, S.W., Washington, District of Columbia 20202 Email: peggi.zelinko@ed.gov Phone:

(202) 260-2614.

Website Address:

<http://www2.ed.gov/programs/tqpartnership/index.html>

RELATED PROGRAMS:

84.325 Special Education - Personnel Development to Improve Services and Results for Children with Disabilities; 84.350 Transition to Teaching

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Contact the Program Office for this information.

84.343 ASSISTIVE TECHNOLOGY_STATE GRANTS FOR PROTECTION AND ADVOCACY

FEDERAL AGENCY:

Office of Special Education and Rehabilitative Services, Department of Education

AUTHORIZATION:

Section 5 of the Assistive Technology Act of 1998, as amended,.

OBJECTIVES:

To support protection and advocacy services through the systems established to provide protection and advocacy services under the Developmental Disabilities Assistance and Bill of Rights Act for the purpose of assisting in the acquisition, utilization, or maintenance of assistive technology or assistive technology services for individuals with disabilities.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Funds may be used to support protection and advocacy services related to assistive technology services and devices. This program is subject to non-supplanting requirements and must use a restricted indirect cost rate that is referenced under 34 CFR 76-564-76.569. For assistance call the Office of the Chief Financial Officer/Indirect Cost Group at (202) 708-7770.

Applicant Eligibility:

Designated protection and advocacy agencies in States and outlying areas only.

Beneficiary Eligibility:

Persons with disabilities who may benefit from assistive technology services and devices.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. 34 CFR parts 74 and 80 applies to this program. The standard application forms are furnished by the Office of Special Education and Rehabilitative Services, Rehabilitation Services Administration. Contact the Program Office for more information.

Award Procedure:

Applications are reviewed by Department of Education staff.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

The grantee may appeal to the Office of Administrative Law/Judges (34 CFR part 81).

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula:

Matching Requirements: Funds are distributed on a State population basis, with a minimum annual grant of \$50,000. Territories receive a minimum of \$30,000.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Annual formula grants. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Annual progress reports are required. Quarterly cash reports are required. Progress reports are not applicable. Annual expenditure reports are required. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

As stated in grant or contract terms and conditions, the awardee shall mail accounts, records, and other evidence pertaining to all costs incurred, review other applicable credits acquired under this grant.

Account Identification:

91-0301-0-1-506.

Obligations:

(Formula Grants) FY 12 \$4,282,890; FY 13 est \$4,058,869; and FY 14 est \$4,058,869

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: No Current Data Available. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Contact the Program Office for this information.

Regional or Local Office:

None.

Headquarters Office:

Jessica Smith, Department of Education, OSERS Rehabilitation Services Administration, 400 Maryland Ave., S.W., Washington, District of Columbia 20202 Email: jessica.smith@ed.gov Phone: (202) 245-6493.

Website Address:

<http://www.rsa.ed.gov/programs.cfm>

RELATED PROGRAMS:

84.240 Program of Protection and Advocacy of Individual Rights

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Contact the Program Office for information.

84.350 TRANSITION TO TEACHING

FEDERAL AGENCY:

Office of Innovation and Improvement, Department of Education

AUTHORIZATION:

Elementary and Secondary Education Act, as amended, Title II, Part C, Subpart 1, Chapter B.

OBJECTIVES:

To recruit and retain highly qualified midcareer professionals (including highly qualified paraprofessionals) and recent graduates of institutions of higher education, as teachers in high-need schools, including by recruiting teachers through alternative routes to teacher certification and licensure; and to encourage the development and expansion of alternative routes to certification and licensure under State-approved programs that enable individuals to be eligible for teacher certification and licensure within a reduced period of time, relying on the experience, expertise, and academic qualifications of an individual or other factors in lieu of traditional course work in the field of education.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

To develop or enhance State and local programs to recruit, select, prepare, place, support, and retain individuals (mid-career individuals, paraprofessionals, and recent college graduates) to become certified and successful teachers in high-need subjects areas and reduce the need for teachers in high-need schools in high-need local education agencies. This program is subject to non-supplanting requirements and must use a restricted indirect cost rate which is referenced under 34 CFR 75.563. For assistance call the Office of the Chief Financial Officer/Indirect Cost Group on (202) 708-7770.

Applicant Eligibility:

State educational agencies; high-need local educational agencies; for-profit and nonprofit organizations with proven records of effectively recruiting and retaining highly qualified teachers, in partnership with high-need local educational agencies or State educational agencies; institutions of higher education, in partnerships with high-need local educational agencies or State educational agencies; regional consortia of State educational agencies; and consortia of high-need LEAs.

Beneficiary Eligibility:

Eligible participants must be mid-career professionals from various fields who possess strong subject-matter skills to become teachers, including paraprofessionals with two years of classroom experience and postsecondary education or demonstrated competence in a field for which there is a significant shortage of qualified teachers; recent college graduates (who, in order to teach in secondary school will need a major or equivalent in the academic subjects that the individuals will teach). Schools in which the participants must teach are high-need schools operated by high-need LEAs.

Credentials/Documentation:

OMB Circular No. A-21 applies to grantees that are institutions of higher education. OMB Circular No. A-122 applies to grantees that are non-profit organizations. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applicant must submit the following by the designated deadline: (1) The applicant must provide a written narrative which addresses the selection criteria (and the required application content) that reviewers use in evaluating their applications. This Section must be limited to no more than 50 double-spaced pages. (2) Required forms to be submitted with the application include SF-424; Sections A, B, and C of ED Form 524; Assurance - Non Construction Programs; Certifications Regarding Lobbying, Debarment,

Suspension and Other Responsibility Matters; Drug-Free Workplace Requirements; Disclosure of Lobbying Activity; Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion - Lower Tier Covered Transactions.

Award Procedure:

Applications are reviewed by the agency for eligibility and completeness. Applications are reviewed and scored by a panel of experts. Applications are ranked according to score by funding category; i.e., national, State, or local applicant. A funding slate is prepared and the highest-funded applications are recommended for funding. Successful and unsuccessful applicants are notified of outcome. Grant awards are prepared and mailed to successful grantees.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 60 days.

Appeals:

Not Applicable.

Renewals:

Applicants may apply for a project period of up to 5 years. Renewals are subject to the availability of appropriations and a grantee's substantial progress. Renewals after 3 years are based on availability of appropriations and progress made based on an interim evaluation each project is required to submit.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Projects may be funded for a period of 36 months. Renewals are subject to the availability of appropriations. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Annual performance reports must be submitted for each budget period in order to receive continuation awards. Performance reports are due 90 days after expiration or termination of the award. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

As provided in 34 CFR 80.42, and 74.53, SEAs, LEAs and non-profit organizations must maintain program records for 3 years from the date those agencies submit to the awarding agency its single or last expenditure report for the period for which it received the funds. A longer retention period is required under specified conditions.

Account Identification:

91-0204-0-1-501.

Obligations:

(Project Grants) FY 12 \$26,053,665; FY 13 est \$24,690,902; and FY 14 est \$0

Range and Average of Financial Assistance:

FY12: Range: \$87,000 - \$1,930,000; Average: \$450,000.
FY13: Range: \$87,000 - \$1,930,000; Average: \$450,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: No Current Data Available. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations and guidelines were published in the Federal Register on April 2004. Contact the Program Office for more information.

Regional or Local Office:

None.

Headquarters Office:

Beatriz Ceja Department of Education, Office of Innovation and Improvement, Teacher Quality Programs 400 Maryland Ave., S.W., Washington, District of Columbia 20202 Email: beatriz.ceja@ed.gov Phone: (202) 205-5009

Website Address:

<http://www.ed.gov/programs/transitionteach/index.html>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Contact the program office for more information.

84.351 ARTS IN EDUCATION

FEDERAL AGENCY:

Office of Innovation and Improvement, Department of Education

AUTHORIZATION:

Elementary and Secondary Education Act (ESEA), as amended, Title V, Part D, Subpart 15.

OBJECTIVES:

To provide competitive grants that support the integration of the arts into the elementary and secondary school curriculum, with particular focus on improving the academic achievement of low-income students.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Grants are awarded on a competitive basis to support the following types of activities: (1) development and dissemination of model school-based arts education programs; (2) development of model professional development programs in the arts for teachers and administrators; (3) collaborative activities with Federal agencies or institutions involved in arts education, arts educators, and organizations representing the arts; and (4) model programs to integrate arts education into the regular elementary and secondary school curriculum. This program is subject to non-supplanting requirements and must use a restricted indirect cost rate which is referenced under 34 CFR 75.563. For assistance call the Office of Chief Financial Officer/Indirect Cost Group on 202-708-7770.

Applicant Eligibility:

State educational agencies; local educational agencies; institutions of higher education; museums and other cultural institutions; and any other public or private agencies, institutions, or organizations.

Beneficiary Eligibility:

Arts educators and administrators, and their students benefit.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. The applicant must submit the following by the designated deadline: Written

narrative addressing the selection criteria and application content; all required forms: SF 424; A,B,C,D of Form 525; Assurances Non-Construction programs; Certification Regarding Lobbying, Debarment and Suspension; Drug-Free Workplace Requirements; Disclosure of Lobbying Activity; Certification Regarding Debarment, Suspension, Ineligibility, and Voluntary Exclusion - Lower Tiered Covered Transactions.

Award Procedure:

Applications are reviewed by the agency for eligibility and completeness; applications are reviewed and scored by a panel of experts; and applications are ranked according to raw scores. A funding slate is prepared, and the highest ranked applications are recommended for funding. Successful and unsuccessful applicants are notified of the outcome, and grant awards are prepared and mailed to successful grantees.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

The approval/disapproval time is approximately 60 to 120 days following the application deadline.

Appeals:

Not Applicable.

Renewals:

Applicants may apply for a project period of up to four years. Continuation awards are subject to the availability of appropriations and substantial progress by the grantee.

Formula and Matching Requirements:

This program has no statutory formula. This program has no matching requirements. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants under this program may be awarded for up to 48 months. Continuation awards are subject to the availability of funds and substantial progress by the grantee. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Performance Reports are due within six to ten months of the initial award date; these reports are used to determine whether the grantee has made substantial progress toward meeting the project objectives and should or should not receive continuation funding. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

In accordance with the General Education Provisions Act and the Education Department General Administrative Regulations (EDGAR), certain financial records must be maintained for a period of 3 years.

Account Identification:

91-0204-0-1-501.

Obligations:

(Project Grants) FY 12 \$24,952,750; FY 13 est \$23,647,571; and FY 14 Estimate Not Available

Range and Average of Financial Assistance:

Range of new awards: \$100,000 to \$6,700,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: No Current Data Available. Fiscal Year 2013: The

Department would award approximately 11 new grants and 49 continuation grants. Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

The Department of Education General Administrative Regulations (EDGAR). Contact the Program Office for additional information.

Regional or Local Office:

None.

Headquarters Office:

Edith Harvey, Arts in Education Grants, Department of Education, Office of Innovation and Improvement, 400 Maryland Avenue, S.W., Washington, District of Columbia 20202 Email: edith.harvey@ed.gov Phone: (202) 260-1393

Website Address:

<http://www2.ed.gov/programs/artsnational/index.html>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Selection criteria are contained in the Education Department General Administrative Regulations (EDGAR) 34 CFR 75.210.

84.354 CREDIT ENHANCEMENT FOR CHARTER SCHOOL FACILITIES

FEDERAL AGENCY:

Office of Innovation and Improvement, Department of Education

AUTHORIZATION:

Elementary and Secondary Education Act of 1965 (ESEA), as amended, Title V, Part B, Subpart 2.

OBJECTIVES:

To provide grants to eligible entities to leverage funds through credit enhancement initiatives in order to assist charter schools in using private-sector capital to acquire, construct, renovate, or lease academic facilities.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grant recipients must deposit the funds received under this program (other than funds used for administrative costs) in a reserve account established and maintained by the entity for this purpose. Amounts deposited in such an account must be used by the entity to help charter schools access private-sector capital for acquiring, renovating, or constructing facilities. Reserve accounts must be used for one or more of the following purposes: (1) Guaranteeing, insuring, and reinsuring bonds, notes, evidences of debt, loans, and interests therein; (2) Guaranteeing and insuring leases of personal and real property; (3) Facilitating financing by identifying potential lending sources and encouraging private lending and other similar activities that directly promote lending to, or are conducted for the benefit of, charter schools; and (4) Facilitating the issuance of bonds by charter schools or by public entities for the benefit of charter schools. This may be accomplished by providing technical, administrative, and other appropriate assistance, including the recruitment of bond counsel, underwriters, and potential investors and the consolidation of multiple charter school projects within a single bond issue.

Applicant Eligibility:

A public entity, such as a State or local government entity, a private nonprofit entity, or a consortium of such entities may apply.

Beneficiary Eligibility:

Public charter schools as defined in Section 5210, ESEA.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Applications must be prepared and submitted in accordance with a notice published in the Federal Register. By the due date, eligible applicants must submit to the Department of Education a complete application that addresses the purposes of the program, the selection criteria, any priorities included in the application notice, and all required assurances and signatures.

Award Procedure:

The Department of Education awards these grants on a competitive basis. The program office notifies successful applicants of awards and negotiates and awards grants. Contact the program office for more information.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

The range of approval/disapproval time is approximately 60 to 120 days following the application deadline.

Appeals:

The General Education Provisions Act hearing procedures regarding recovery of funds are applicable to this program.

Renewals:

The Department has historically only made one-time awards under this program.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Funds under this program are available for the Department of Education to obligate by September 30 of the fiscal year in which they are appropriated. Once these funds are obligated, they must be expended from the Federal account in five years. In addition, two years after the grantee's receipt of grant funds, the Secretary may collect any or all funds in a reserve account if the grantee has not made substantial progress or is no longer using the funds for their specified purpose. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Each grantee under this program annually must submit to the Secretary a report of its operations and activities. Each such annual report must include-- (1) a copy of the most recent financial statements, and any accompanying opinion on such statements, prepared by the independent public accountant reviewing the financial records of the grantee; (2) a copy of any report made on an audit of the financial records of the grantee that was conducted during the reporting period; (3) an evaluation by the grantee of the effectiveness of its use of the Federal funds in leveraging private funds; (4) a listing and description of the charter schools served during the reporting period; (5) a description of the activities carried out by the grantee to assist charter schools; and (6) a description of the characteristics of lenders and other financial institutions participating in the activities undertaken by the grantee during the reporting period. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a

year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. The financial records of each eligible entity receiving a grant under this subpart shall be maintained in accordance with generally accepted accounting principles and shall be subject to an annual audit by an independent public accountant.

Records:

In accordance with the General Education Provisions Act and the Education Department General Administrative Regulations (EDGAR), certain records must be retained for 3 years after the end of the grant.

Account Identification:

91-0204-0-1-501.

Obligations:

(Project Grants) FY 12 \$11,035,836; FY 13 est \$12,000,000; and FY 14 est \$0

Range and Average of Financial Assistance:

Range: \$5,000,000 - \$15,000,000. Average: \$10,000,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: No Current Data Available. Fiscal Year 2013: The Administration is not requesting separate funding for this program. Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Education Department General Administrative Regulations (EDGAR), Parts 74, 75, 77, 79, 80, 81, 82, 85, 86, 97, 98, and 99.

Regional or Local Office:

None.

Headquarters Office:

Kristin Lundholm Department of Education, Office of Innovation and Improvement, Parental Options and Information, 400 Maryland Ave., SW., Washington, District of Columbia 20202 Email: kristin.lundholm@ed.gov Phone: (202) 205-4352

Website Address:

<http://www.ed.gov/programs/charterfacilities/index.html> .

RELATED PROGRAMS:

84.282 Charter Schools

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The selection criteria contained in EDGAR, 34 CFR 75.209 and 75.210 are used to evaluate applications. For additional information contact the program office.

84.356 ALASKA NATIVE EDUCATIONAL PROGRAMS

FEDERAL AGENCY:

Office of Elementary and Secondary Education, Department of Education

AUTHORIZATION:

Elementary and Secondary Education Act (ESEA), as amended, Title VII, Part C.

OBJECTIVES:

To support projects that recognize and address the unique educational needs of Alaska Native students and to support supplemental education programs that benefit Alaska Natives.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Allowable activities include, but are not limited to: (1) the development of curricula and education programs that address the educational needs of Alaska Native students; (2) the development and operation of student enrichment programs in science and mathematics; (3) professional development activities for educators; (4) family literacy services; and (5) dropout prevention programs.

An eligible entity may use not more than 5 percent of the funds received under

this program for the administrative costs of carrying out its responsibilities under this Subpart.

Applicant Eligibility:

Alaska Native organizations, education entities with experience in developing or operating Alaska Native programs or programs of instruction conducted in Alaska Native languages, cultural and community-based organizations with experience in developing or operating programs to benefit Alaska Natives, and consortia of organizations may apply. A State educational agency (SEA) or local educational agency (LEA) may apply as part of a consortium involving an Alaska Native organization. The consortia may include other eligible applicants.

Beneficiary Eligibility:

Alaska Natives as defined in the Alaska Native Claims Settlement Act.

Credentials/Documentation:

This program is included under OMB Circular No. A-87. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Applications must be prepared and submitted in accordance with a notice published in the Federal Register. By the due date, eligible applicants must submit to the Department of Education a complete application that addresses the purposes of the program, the selection criteria, and any priorities included in the application notice, and includes all required assurances and signatures.

Award Procedure:

The Department of Education awards these grants on a competitive basis. Applications are reviewed and ranked by a panel of outside reviewers. The Program Office notifies successful applicants of awards and negotiates and awards grants. Contact the Program Office for more information.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

The range of approval/disapproval time is approximately 60 to 120 days following the application deadline.

Appeals:

The General Education Provisions Act hearing procedures regarding recovery of funds are applicable to this program.

Renewals:

Renewals are subject to the availability of appropriations and substantial progress by the grantee.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Funds under this program are available for the Department of Education to obligate by September 30 of the fiscal year for which they are appropriated. Once these funds are obligated, they must be expended from the Federal account by September 30 of the subsequent fiscal year. In addition, two years after the grantee's receipt of grant funds, the Secretary may collect any or all funds in a reserve account if the grantee has not made substantial progress or is no longer using the funds for their specified purpose. Continuation awards are subject to the availability of appropriations and adequate progress on project implementation. See the following for information on how assistance is

awarded/released: Electronic transfer.

Reports:

Each eligible entity receiving a grant under this program annually shall submit to the Secretary a report of its operations and activities. Each annual report shall include: (1) An executive summary; (2) information on project status; (3) budget information; and (4) supplemental information. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

In accordance with the General Education Provisions Act and the Education Department General Administrative Regulations (EDGAR), certain records must be retained for 3 years after the end of the grant.

Account Identification:

91-1000-0-1-501.

Obligations:

(Project Grants) FY 12 \$33,185,161; FY 13 est \$31,449,378; and FY 14 est \$33,185,161

Range and Average of Financial Assistance:

Range of new awards: \$200,000-\$1,000,000; Average new award: \$550,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: The Department awarded 39 new grants and 20 continuation grants. Fiscal Year 2013: The Department awarded approximately 1 new grant and 56 continuation grants. Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Education Department General Administrative Regulations (EDGAR), Parts 74, 75, 77, 79, 80, 81, 82, 85, 86, 97, 98, and 99.

Regional or Local Office:

None.

Headquarters Office:

Almita Reed Department of Education, OESE School Improvement Programs, 400 Maryland Ave., SW, Washington, District of Columbia 20202 Email: almita.reed@ed.gov Phone: (202) 260-1979

Website Address:

<http://www.ed.gov/programs/alaskanative/index.html>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: No Current Data Available. Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The selection criteria contained in EDGAR, 34 CFR 75.209 and 75.210 are used to evaluate applications. Contact the program office for additional information.

84.358 RURAL EDUCATION

FEDERAL AGENCY:

Office of Elementary and Secondary Education, Department of Education

AUTHORIZATION:

Elementary and Secondary Education Act (ESEA), as amended, Title VI, Part B.

OBJECTIVES:

To provide financial assistance to rural districts to carry out activities to help

improve the quality of teaching and learning in their schools.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Grantees under the Small, Rural School Achievement (SRSA) program component may use program funds to carry out activities authorized under: ESEA Title I-Part A (Grants to LEAs); Title II- Part A (Improving Teacher Quality State Grants); Title II-Part D (Educational Technology State Grants); Title III (Language Instruction for Limited English Proficient and Immigrant Students); Title IV-Part A (Safe and Drug-Free Schools and Communities State Grants); Title IV-Part B (21st Century Community Learning Centers); and Title V-Part A (State Grants for Innovative Programs). Grantees under the Rural and Low-Income School (RLIS) program component may use program funds for: teacher recruitment and retention; teacher professional development; educational technology; parental involvement activities; activities authorized under ESEA Title IV-Part A (Safe and Drug-Free Schools and Communities); activities authorized under ESEA Title I-Part A (Grants to LEAs); and activities authorized under ESEA Title III (Language Instruction for Limited English Proficient and Immigrant Students). This program is subject to non-supplanting requirements and must use a restricted indirect cost rate that is referenced under 34 CFR 75.564-76.569. For assistance call the Office of the Chief Financial Officer/Indirect Cost Group on (202) 708-7770.

Applicant Eligibility:

For SRSA, eligible recipients are local educational agencies (LEAs) in which (1) the total number of students in average daily attendance at all of the schools served by the LEA is less than 600 or where each school in the LEA is located in a county with a total population density of less than 10 persons per square mile; and (2) all of the schools served by the LEA are designated as rural by the U.S. Department of Education's National Center for Education Statistics (NCES) using the NCES school locale methodology in place at the time of enactment of the No Child Left Behind Act or the LEA is located in an area of the State defined as a rural by a State governmental agency. For the RLIS, eligible recipients are State educational agencies (SEAs). SEAs then must distribute funds to local educational agencies (LEAs) on a formula or competitive basis. An LEA is eligible to receive a grant under this program only if (1) it is not eligible for funds under the SRSA; (2) at least 20% of the school children ages 5-17 in the LEA come from families with incomes below the poverty line; and (3) all of the schools served by the LEA are designated with a school locale code of 6, 7, or 8 under the NCES school locale methodology in place at the time of NCLB's enactment. If an SEA chooses not to participate in the program, the Secretary uses the State's allocation to make direct grants to eligible LEAs in the State.

Beneficiary Eligibility:

Elementary and secondary schools, students, and teachers in rural schools.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. LEAs annually submit applications to the Department of Education. For the SRSA, however, the Department considers the application requirement to be met if the LEA submitted an application in any prior year. Application forms and instructions are available from the headquarters office. For the RLIS, an SEA must submit an application that includes specific, measurable goals and objectives for the activities to be carried out through the grant. If an SEA does not participate in the program, eligible LEAs in the State may submit an application directly to the Department.

Award Procedure:

For SRSA, awards are issued annually directly to eligible LEAs on a formula basis. Awards are made to all that apply and meet the applicable requirements

of the Act. Contact the program office for more information. For RLIS, awards are issued annually to SEAs, which make subgrants to LEAs. Awards are made to all SEAs that apply and meet the applicable requirements of the Act. If an SEA does not participate, awards are issued to eligible LEAs in the State either competitively or by formula.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 120 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: ESEA Title VI, Part B, Sections 6212(b) and Section 6221(a)2. Funds appropriated for the program are divided equally between SRSA and RLIS.

Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants are awarded for 1 year. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Annual financial and performance reports are due within 90 days of the termination of the project period. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records must be maintained 3 years after the completion of the activity for which grant funds were used.

Account Identification:

91-1000-0-1-501.

Obligations:

(Formula Grants) FY 12 \$179,192,685; FY 13 est \$169,840,120; and FY 14 est \$179,193,000

Range and Average of Financial Assistance:

Range of new awards for SRSA: \$80 - \$60,000; Average new award for SRSA: \$20,000

Range of new subgrants for RLIS: \$1,000 - \$450,000; Average new subgrants for RLIS: \$40,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: 4,303 SRSA grants to LEAs, 44 RLIS grants to States for 2,042 subgrants to LEAs. Fiscal Year 2013: 4,304 SRSA grants to LEAs, 45 RLIS grants to States for 2,088 subgrants to LEAs. Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

34 CFR 76, 77, 79, 80, 81, 82, 85, 97, 98 and 99.

Regional or Local Office:

None.

Headquarters Office:

Eric Schulz, Office of Elementary and Secondary Education, Department of Education, 400 Maryland Avenue, S.W., Washington, District of Columbia

20202 Email: reap@ed.gov Phone: (202) 260-7349.

Website Address:

<http://www.ed.gov/programs/reapsrsa/index.html>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

See Applicant Eligibility (081), Application Procedure (092), and Award Procedure (093).

84.360 HIGH SCHOOL GRADUATION INITIATIVE

FEDERAL AGENCY:

Office of Elementary and Secondary Education, Department of Education

AUTHORIZATION:

Elementary and Secondary Education Act of 1965, as amended, Title I, Part H.

OBJECTIVES:

To support effective, sustainable and coordinated dropout prevention and reentry programs in high schools with annual dropout rates that exceed their State average annual dropout rate. Middle schools that have students who continue on to these high schools are also supported.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grant recipients must implement proven strategies for reducing the number of students who drop out before completing secondary school and for assisting youth to reenter school after they have dropped out. These strategies may include: (1) identifying students at risk of dropping out; (2) providing at-risk students with services designed to prevent them from dropping out; (3) identifying and encouraging youth who already have dropped out to reenter school; and (4) implementing other comprehensive reform approaches.

Applicant Eligibility:

State educational agencies (SEAs) and local educational agencies (LEAs) serving communities with dropout rates above the State's average annual dropout rate are eligible to apply for funding.

Beneficiary Eligibility:

School administrators, teachers, students, out-of-school youth, and parents are beneficiaries.

Credentials/Documentation:

Information to support annual dropout rate calculations is required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Applications must be prepared and submitted in accordance with the requirements in a notice published in the Federal Register.

Award Procedure:

Selection of grantees is competitive and based on a nonfederal review of applications using selection criteria published in a notice in the Federal Register.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 8-12 weeks.

Appeals:

Not Applicable.

Renewals:

Awards are made for a period of not more than 5 years; after the first year, grantees may receive annual continuations contingent on project performance and availability of funds.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants are awarded for a period of not more than 5 years; after the first year, grantees may receive annual continuations contingent on project performance and availability of funds. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Grantees must submit annual performance and financial reports as required by the Education Department General Administrative Regulations (EDGAR) at 34 CFR 75 unless otherwise specified in the award document. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Generally, records related to grant funds, compliance, and performance must be maintained for a period of 3 years after completion.

Account Identification:

91-0900-0-1-501.

Obligations:

(Project Grants) FY 12 \$48,809,575; FY 13 est \$46,266,828; and FY 14 est \$0

Range and Average of Financial Assistance:

Average FY12 continuation award: \$1,541,779.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: No Current Data Available. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

EDGAR, Parts 74, 75, 77, 79, 80, 81, 82, 85, 86, 97, 98, and 99. Applicants should contact the headquarters or regional office, as appropriate, for more information.

Regional or Local Office:

None.

Headquarters Office:

Theda Zawaiza, Department of Education, OESE, 400 Maryland Ave., S.W. Room 3E122, Washington, District of Columbia 20202 Email: theda.zawaiza@ed.gov Phone: (202) 205-3783.

Website Address:

<http://www.ed.gov/programs/dropout/index.html>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Selection of grantees is competitive and based on a nonfederal review of applications using selection criteria published in a notice in the Federal Register.

84.362 NATIVE HAWAIIAN EDUCATION**FEDERAL AGENCY:**

Office of Elementary and Secondary Education, Department of Education

AUTHORIZATION:

Elementary and Secondary Education Act, as amended, Title VII, Part B.

OBJECTIVES:

To develop innovative educational programs to assist Native Hawaiians and to supplement and expand programs and authorities in the area of education.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Program funds may be used to carry a variety of authorized activities including, but not limited to: (1) early education and care programs, (2) family-based education centers, (3) beginning reading and literacy programs, (4) activities to address the needs of gifted and talented Native Hawaiian students, (5) professional development for educators, and (6) activities to enable Native Hawaiian students to enter and complete postsecondary education programs. An eligible entity may use not more than 5 percent of the funds received under this program for the administrative costs of carrying out its responsibilities under this subpart.

Applicant Eligibility:

Eligible applicants include: (1) Native Hawaiian educational organizations and community-based organizations; (2) public and private nonprofit organizations, agencies, and institutions with experience in developing or operating Native Hawaiian programs or programs of instruction in the Native Hawaiian language; or (3) consortia of the organizations, agencies, and institutions described above.

Beneficiary Eligibility:

Native Hawaiian children and adults.

Credentials/Documentation:

This program is included under OMB Circular No. A-87. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Applications must be prepared and submitted in accordance with a notice published in the Federal Register. By the due date, eligible applicants must submit to the Department of Education a complete application that addresses the purposes of the program, the selection criteria, and any priorities included in the application notice, and includes all required assurances and signatures.

Award Procedure:

The Department of Education awards these grants on a competitive basis. Applications are reviewed and ranked by a panel of outside reviewers. The program office notifies successful applicants of awards and awards grants. Contact the program office for more information.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

The range of approval/disapproval time is approximately 60 to 120 days following the application deadline.

Appeals:

Not Applicable.

Renewals:

Renewals are subject to the availability of appropriations.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awards are made for a period of up to three years; continuation awards are based on the availability of appropriations and adequate progress on project implementation. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Each eligible entity receiving a grant under this program annually must submit to the Department a report of its operations and activities. Each such annual report must include: (1) an executive summary; (2) project status information; (3) budget information; and (4) supplemental information. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

In accordance with the General Education Provisions Act and the Education Department General Administrative Regulations (EDGAR), certain records must be retained for three years after the end of the grant.

Account Identification:

91-1000-0-1-501.

Obligations:

(Project Grants) FY 12 \$34,181,275; FY 13 est \$32,397,259; and FY 14 est \$34,181,275

Range and Average of Financial Assistance:

Range of New Awards: \$250,000-\$950,000; Average New Award: \$425,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: No Current Data Available. Fiscal Year 2013: The Department awarded 1 new grant and 40 continuation grants. Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Education Department General Administrative Regulations (EDGAR), Parts 74, 75, 77, 79, 80, 81, 82, 85, 86, 97, 98, and 99.

Regional or Local Office:

None.

Headquarters Office:

Joanne Osborne, Department of Education, OESE, Academic Improvement and Teacher Quality Programs, 400 Maryland Ave., S.W., Rm. 3W215, Washington, District of Columbia 20202 Email: joanne.osborne@ed.gov Phone: (202) 260-1265.

Website Address:

<http://www.ed.gov/programs/nathawaiian/index.html>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available. Fiscal Year 2013: No Current Data Available. Fiscal Year 2014: No Current Data Available.

CRITERIA FOR SELECTING PROPOSALS:

The selection criteria contained in EDGAR, 34 CFR 75.209 and 75.210 are used to evaluate applications. Contact the program office for additional information.

84.363 SCHOOL LEADERSHIP

FEDERAL AGENCY:

Office of Innovation and Improvement, Department of Education

AUTHORIZATION:

Elementary and Secondary Education Act, as amended, Title II, Part B, Subpart 5, Section 2151(b).

OBJECTIVES:

To provide support to eligible entities in the development of innovative programs that recruit, develop, prepare, and mentor principals and assistant principals.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grantees may use program funds to assist high-need local educational agencies in recruiting and training principals (including assistant principals) through such activities as: (1) providing financial incentives to aspiring new principals; (2) providing stipends to principals who mentor new principals; (3) carrying out professional development programs in instructional leadership and management; and (4) providing incentives that are appropriate for teachers or individuals from other fields who want to become principals and that are effective in retaining new principals.

Applicant Eligibility:

Eligible entities include: (1) high-need local educational agencies; (2) consortia of high-need local educational agencies; and (3) partnerships of high-need local educational agencies, nonprofit organizations, and institutions of higher education.

Beneficiary Eligibility:

Local educational agencies and school leaders.

Credentials/Documentation:

OMB Circular No. A-21 applies to grantees that are institutions of higher education.

OMB Circular No. A-122 applies to grantees that are non-profit organizations. OMB Circular No. A-87 applies to this program. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Applications must be prepared and submitted in accordance with the regulations, instructions, forms, and deadlines published in the Federal Register.

Award Procedure:

The Department of Education notifies successful applicants of awards. Negotiation and awarding of grants will be completed by the Department of Education's Office of Innovation and Improvement staff.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Renewals are subject to the availability of appropriations.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Funds are awarded for up to 5 years. Renewals are subject to the availability of appropriations. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Annual performance reports must be submitted for each budget period in order to receive continuation awards. Performance reports are due 90 days after expiration or termination of the award. Annual performance reports must be submitted for each budget period in order to receive continuation awards. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. In accordance with the Education Department General Administrative Regulations in the Appendix to 34 CFR 80, State and local governments that receive financial assistance of \$100,000 or more within the State's fiscal year shall have an audit made for that year. State and local governments that receive between \$25,000 and \$100,000 a year shall have an audit made in accordance with the Appendix to Part 80, or in accordance with Federal laws and regulations governing the programs in which they participate.

Records:

In accordance with the General Education Provisions Act and the Education Department General Administrative Regulations, certain records must be retained for three years after the end of the grant.

Account Identification:

91-0204-0-1-501.

Obligations:

(Project Grants) FY 12 \$29,106,884; FY 13 est \$27,584,419; and FY 14 est \$97,994,000

Range and Average of Financial Assistance:

FY 12 Range: \$56,000 - \$1,771,000; Average: \$678,000.
FY 13 Range: \$750,000 - \$1,000,000; Average: \$850,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: No Current Data Available. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Education Department General Administrative Regulations (EDGAR), Parts 74, 75, 77, 79, 80, 81, 82, 85, 86, 97, 98, and 99.

Regional or Local Office:

None.

Headquarters Office:

Beatriz Ceja, Department of Education, Office of Innovation and Improvement, Teacher Quality Programs 400 Maryland Ave., S.W., Washington, District of Columbia 20202 Email: beatriz.ceja@ed.gov Phone: (202) 205-5009.

Website Address:

<http://www.ed.gov/programs/leadership/index.html>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Contact the program office for additional information.

**84.365 ENGLISH LANGUAGE ACQUISITION STATE GRANTS
FEDERAL AGENCY:**

Office of Elementary and Secondary Education, Department of Education

AUTHORIZATION:

Elementary and Secondary Education Act of 1965 (ESEA), as amended, Title III, Part A, Sections 3101-3129.

OBJECTIVES:

To help ensure that limited English proficient (LEP) children, including immigrant children and youth, attain English proficiency and meet the same challenging State academic content and student academic achievement standards as all children are expected to meet.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

The Department makes awards to States and outlying areas using a formula based on their share of limited English proficient (LEP) children and immigrant children and youth. States/outlying areas must use at least 95 percent of their allocations to award subgrants to local educational agencies to assist limited English proficient children in learning English and meeting challenging State academic content and student academic achievement standards, and may reserve up to 15 percent of their allocations for subgrants to local school districts that have experienced a significant increase in the number of immigrant children and youth. States may reserve up to 5 percent of their allocations for planning, evaluation and administrative costs, professional development activities, and technical assistance to subgrantees. Subgrantees must use funds to increase the English proficiency of LEP children by providing high-quality language instruction educational programs that are based on scientifically based research and effective in increasing LEP students English proficiency and achievement in the core academic subjects. Subgrantees must also provide high-quality professional development to teachers and other educational personnel that is designed to improve instruction and assessment of limited English proficient children, enhance teachers' ability to understand and use curricula, assessment measures, and instructional strategies for LEP students and of sufficient intensity and duration to have a positive and lasting impact. Funds may also be used for identifying, acquiring, and upgrading curricula, instructional materials, educational software, and assessment procedures, tutorials and academic or vocational education, and community participation programs, family literacy services and parent outreach and training activities. Subgrantees awarded funds based on a substantial increase in the number of immigrant children and youth must use the funds to provide activities that provide enhanced instructional opportunities, including tutorials, mentoring, and career counseling. This program is subject to non-supplanting requirements and grantees must use a restricted indirect cost rate, which is referenced under 34 CFR 76.564-76.569. For assistance, call the Office of Chief Financial Officer/Indirect Cost Group on (202) 708-7770.

Applicant Eligibility:

States and outlying areas with approved State plans are eligible to receive funds.

Beneficiary Eligibility:

Children with limited English proficiency benefit.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. States apply for grants by submitting a plan to the Department that describes the process they will use in establishing standards and objectives for raising the level of English proficiency

and academic achievement of LEP students, making sub-grants to eligible entities, and holding sub-grantees accountable for meeting annual measurable achievement objectives. Outlying area applicants may apply under the Consolidated Application Process for outlying areas through which they may combine various program funds. An application submitted under the Consolidated Application Process for outlying areas must address the purpose of the grant, priorities, goals and objectives, activities, evaluation, needs, and include a budget indicating how the funds will be used.

Award Procedure:

The Department allocates funds to any State or outlying area with an approved plan. States must expend at least 95 percent of their Title III allotments to award subgrants to local educational agencies and other eligible entities to meet the educational needs of LEP and immigrant children and youth.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 120 days.

Appeals:

Section 432 of the General Education Provisions Act provides local educational agencies with a right of appeal in disagreements between State and local educational agencies, including disagreements over funding decisions. Where a local educational agency alleges that the denial of funding is "a violation of State or Federal law, rules, regulations, or guidelines governing the applicable program," it may, within 30 days, request a hearing from the State educational agency. Once the hearing is held and the State educational agency issues its written ruling, the local educational agency may appeal a negative ruling to the Secretary.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: The Secretary makes grants to SEAs and outlying areas with an approved plan based on 80 percent of each State/outlying areas share of LEP children and 20 percent of each State/outlying areas share of immigrant children and youth. No SEA receives less than \$500,000. The total amount allotted to Puerto Rico shall not exceed 0.5 percent of the total amount allotted to all States in any given fiscal year.

Matching requirements are not applicable to this program.

This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

Length and Time Phasing of Assistance Funds under the State Formula Grant and the Outlying Area Grant programs are 1-year grants. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Grantees are subject to the reporting requirements contained in Parts 76 and 80 of the Education Department General Administrative Regulations (EDGAR). Specific for formula grants: States prepare and submit reports every year on the programs and activities carried out by the SEA and the effectiveness of such programs and activities in improving the education of children who are limited English proficient. Local educational agencies that receive sub-grants from SEAs must provide States with an evaluation every second year. The evaluation must include: A description of the programs and activities conducted; a description of the progress made by children in learning English and meeting challenging State academic content and achievement standards; the number and percentage of children in the programs attaining English proficiency by the end of each year; and a description of the progress made by children in meeting State academic content and student achievement standards for each of the 2 years after such children are no longer receiving services. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Contact program office.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000

or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. All grantees are subject to the provisions of the Single Audit Act.

Records:

All grantees must maintain and complete records as provided in EDGAR.

Account Identification:

91-1300-0-1-501.

Obligations:

(Formula Grants) FY 12 \$679,554,292; FY 13 est \$643,747,996; and FY 14 est \$679,554,640

Range and Average of Financial Assistance:

FY 13: \$51,987 - \$149,275,528; \$11,495,500 average.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: No Current Data Available. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

EDGAR; 34 CFR 76. For more information contact the Program Office.

Regional or Local Office:

None.

Headquarters Office:

Supreet Anand Office of Elementary and Secondary Education, 400 Maryland Avenue, SW, Washington, District of Columbia 20202 Email: supreet.anand@ed.gov Phone: (202) 401-9795.

Website Address:

<http://www2.ed.gov/programs/sfgrp/index.html>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: No Current Data Available. Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

84.366 MATHEMATICS AND SCIENCE PARTNERSHIPS

FEDERAL AGENCY:

Office of Elementary and Secondary Education, Department of Education

AUTHORIZATION:

Elementary and Secondary Education Act of 1965, as amended, Title II, Part B.

OBJECTIVES:

To improve the academic achievement of students in mathematics and science by encouraging States, institutions of higher education (IHEs), local educational agencies (LEAs), and elementary and secondary schools to participate in programs that: improve and upgrade the status and stature of mathematics and science teaching by encouraging IHEs to improve mathematics and science teacher education; focus on the education of mathematics and science teachers as a career-long process; bring mathematics and science teachers together with scientists, mathematicians, and engineers to improve those teachers' teaching skills; develop more rigorous mathematics and science curricula that are aligned with challenging state and local academic achievement standards and with the standards expected for postsecondary study in engineering, mathematics, and science; and improve and expand training of mathematics and science teachers, including by training such teachers in the effective integration of technology into curricula and instruction.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

Funds may be used for authorized teacher education and professional development activities, including summer workshops or institutes that train

teachers to use curricula based on scientific research and aligned with challenging State academic content standards; innovative distance-learning programs; and programs that bring teachers together with working scientists, mathematicians, and engineers to expand teachers subject-matter knowledge and research. Grantees may also use program funds to develop more rigorous mathematics and science curricula that are aligned with challenging State and local academic content standards and recruit individuals with mathematics, science, and engineering majors into the teaching profession through the use of signing and performance incentives, stipends, and scholarships. Funds received under this program must be used to supplement, and not supplant, funds that would otherwise be used for authorized activities.

Applicant Eligibility:

If the program appropriation reaches or exceeds \$100 million, as has been the case since fiscal year 2003, the Department provides grants to States by formula; States then award subgrants competitively to eligible partnerships. Eligible partnerships must include an engineering, mathematics, or science department of an IHE; and a high-need LEA. Partnerships may also include another engineering, mathematics, science, or teacher training department of an IHE; additional LEAs, public charter schools, public or private elementary or secondary schools; a business; or a nonprofit or for-profit organization of demonstrated effectiveness in improving the quality of mathematics and science teachers.

Beneficiary Eligibility:

University staff, school administrators, teachers, students, and parents benefit.

Credentials/Documentation:

No Credentials or documentation are required. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Partnerships submit a subgrant application to the State if the appropriation is \$100 million or greater, as has been the case since 2003.

Award Procedure:

The Department awards grants to States by formula. States then award subgrants competitively to eligible partnerships.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Elementary and Secondary Education Act of 1965, section 2202(a). If the program appropriation reaches or exceeds \$100 million, as has been the case since fiscal year 2003, the Department provides grants to States by formula based on the number of children aged 5 to 17 who are from families with incomes below the poverty line.

Matching requirements are not applicable to this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

States receive formula grants annually. States award subgrants to eligible partnerships for a period of not more than three years. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

State grantees must submit annual performance and financial reports as required

by the Education Department General Administrative Regulations (EDGAR) at 34 CFR Part 76. State grantees must submit annual performance and financial reports as required by the Education Department General Administrative Regulations (EDGAR) at 34 CFR Part 76. State grantees must submit annual performance and financial reports as required by the Education Department General Administrative Regulations (EDGAR) at 34 CFR Part 76. State grantees must submit annual performance and financial reports as required by the Education Department General Administrative Regulations (EDGAR) at 34 CFR Part 76. State grantees must submit annual performance and financial reports as required by the Education Department General Administrative Regulations (EDGAR) at 34 CFR Part 76.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records must be maintained for three years. In all cases of audit questions, records must be maintained until resolution has occurred.

Account Identification:

91-1000-0-1-501.

Obligations:

(Formula Grants) FY 12 \$149,716,500; FY 13 est \$141,902,379; and FY 14 est \$0

Range and Average of Financial Assistance:

FY12 Range: \$744,840-\$17,876,173; FY12 Average: \$2,660,141.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: No Current Data Available. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

EDGAR 34 CFR Parts 74, 75, 77, 79, 80, 81, 82, 85, 86, 97, 98, and 99.

Applicants should contact the headquarters or regional office, as appropriate, for more information.

Regional or Local Office:

None.

Headquarters Office:

Pat O'Connell Johnson Academic Improvement and Teacher Quality Programs, Office of Elementary and Secondary Education, 400 Maryland Avenue, S.W., Washington, District of Columbia 20202 Email: patricia.johnson@ed.gov Phone: (202) 260-7813

Website Address:

<http://www.ed.gov/programs/mathsci/index.html>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

States receive grants noncompetitively by formula. States establish guidelines for selecting partnerships for subgrants.

84.367 IMPROVING TEACHER QUALITY STATE GRANTS

FEDERAL AGENCY:

Office of Elementary and Secondary Education, Department of Education

AUTHORIZATION:

Elementary and Secondary Education Act, as amended, Title II, Part A.

OBJECTIVES:

To provide grants to State educational agencies (SEAs), local educational

agencies (LEAs), State agencies for higher education (SAHEs) and, though SAHEs, to eligible partnerships in order to increase student academic achievement through such strategies as improving teacher and principal quality and increasing the number of highly qualified teachers in the classroom.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

SEAs must use 95 percent of awards for subgrants to LEAs for the following purposes: to assist schools in effectively recruiting and retaining highly qualified teachers; to afford to LEAs the means of recruiting, hiring, and retaining teachers; to make available professional development activities that address subject-matter knowledge; and other activities as set forth in Section 2123 of the ESEA. This program is subject to non-supplanting requirements for all but subgrants to partnerships, and all but partnerships must use a restricted indirect cost rate which is described in 34 CFR 76.563-76.569. For assistance on indirect costs call the Office of the Chief Financial Officer/Indirect Cost Group on (202) 708-7770. SEAs retain 2.5 percent of award to conduct State-level activities and 2.5 percent is given to SAHEs for competitive projects carried out by partnerships of institutions of higher education (IHEs) and high-need LEAs. One percent of the total award for each State is divided between the SEAs and SAHE for administrative activities.

Applicant Eligibility:

State educational agencies (SEAs) and State agencies for higher education (SAHEs) in States with approved applications.

Beneficiary Eligibility:

Elementary and secondary schools, teachers, and paraprofessionals, principals, students, parents, and pupil services personnel will benefit.

Credentials/Documentation:

OMB Circular No. A-21 applies to grantees that are institutions of higher education.

OMB Circular No. A-122 applies to grantees that are non-profit organizations.

OMB Circular No. A-87 applies to this program. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. An SEA is eligible for funding if it submits an application that includes Statewide activities based on scientifically based research and an explanation of why the activities will improve student academic achievement. The plan must: (1) assure compliance by LEAs; (2) assure that the activities are aligned with challenging State academic content, student academic achievement standards, State assessments, and State and local curricula; and (3) describe the use of the funds to improve the quality of the State's teachers and principals. The SEA makes subgrants to local educational agencies (LEAs) on a formula basis. The SAHE makes subgrants, on a competitive basis, to eligible IHE-LEA partnerships to carry out as activities with funding that is reserved for partnerships.

Award Procedure:

The Department awards funds to any State whose application the Secretary has approved under Section 2112(c) of the ESEA (in the Title II, Part A program statute) or under section 9302 (consolidated State applications). In FY11, all SEAs and SAHEs chose to apply for, and receive funds under, criteria the Department established for consolidated applications. Unless the Department chooses to require amendments to consolidated applications, the Department's approval is for the entire period of the ESEA's authorization.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

As all consolidated State applications have been approved, appeal procedures are inapplicable. Appeals regarding SAHE's denial of an application for a subgrant would go to the SAHE, as permitted under State law and procedures.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title II, Part A.

Matching requirements are not applicable to this program.

This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

Generally, awards to States are available for obligation at the Federal level from July 1 to September 30 of the following year. In addition, funds remain available to States for an additional fiscal year for obligation and expenditure. See the following for information on how assistance is awarded/released: Electronic transfer. IHE-LEA partnerships that receive funds from a SAHE have the same period for obligation and expenditure of their awarded funds as does the SAHE.

Reports:

As required by the Education Department General Administrative Regulations (EDGAR) for State-administered programs (34 CFR part 76). Generally, SEAs are subject to the reporting requirements contained in Title IX of the ESEA, Consolidated State Performance Report Section 9303 (b). Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Nonfederal entities that expend financial assistance less than \$500,000 in a fiscal year must keep records available for review or audit by appropriate officials of the Federal government.

Records:

As provided in 34 CFR 80.42, and 74.53, SEAs, SAHEs, LEAs and IHE-LEA partnerships must maintain program records for 3 years from the date those agencies submit to the awarding agency its single or last expenditure report for the period for which it received the funds. A longer retention period is required under specified conditions.

Account Identification:

91-1000-0-1-501.

Obligations:

(Formula Grants) FY 12 \$2,466,567,300; FY 13 est \$2,337,830,286; and FY 14 est \$0

Range and Average of Financial Assistance:

2012: Range: \$11,493,668 - \$270,253,991; Average: \$46,013,339.

2013: Range: \$10,869,261 - \$254,873,803; Average: \$43,611,774.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: No Current Data Available. Fiscal Year 2013: No Current

Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Contact the Program Office for additional information.

Regional or Local Office:

None.

Headquarters Office:

Elizabeth Witt, Office of Elementary and Secondary Education, Academic Improvement and Teacher Quality Programs, Department of Education, 400 Maryland Ave., S.W., Washington, District of Columbia 20202 Email: elizabeth.witt@ed.gov Phone: (202) 260-5585

Website Address:

<http://www.ed.gov/programs/teacherqual/index.html>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Inapplicable to SEAs and SAHES because the Department has approved consolidated State applications through the end of the authorization of the ESEA, and has no plans for requiring amendments. SAHES determine the criteria for making competitive awards to IHE-LEA partnerships. Contact the SAHE for additional information.

84.368 GRANTS FOR ENHANCED ASSESSMENT INSTRUMENTS**FEDERAL AGENCY:**

Office of Elementary and Secondary Education, Department of Education

AUTHORIZATION:

Elementary and Secondary Education Act of 1965, as amended, Title VI, Part A, Subpart 1, Section 6112.

OBJECTIVES:

To enhance the quality of assessment instruments and systems used by States for measuring the achievement of all students. Funded projects must meet one or more of the following priorities: (1) improve the quality, validity, and reliability of State academic assessments; (2) measure student academic achievement using multiple measures of student academic achievement from multiple sources; (3) chart student progress over time; and (4) evaluate student academic achievement through the development of comprehensive academic assessment instruments, such as performance and technology-based academic assessments.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Successful applicants must use funds to carry out the activities described in their approved applications and in accordance with regulations.

Applicant Eligibility:

State educational agencies (SEAs) and/or consortia of SEAs are eligible to receive grants.

Beneficiary Eligibility:

State educational agencies (SEAs) and/or consortia of SEAs, local educational agencies and local schools will benefit.

Credentials/Documentation:

Applicants must prepare and submit applications in accordance with the application package, which includes instructions and guidance for completing and submitting an application. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. The applicant may work in collaboration with institutions of higher education, other research institutions or other organizations. An application from a consortium of SEAs must designate one SEA as the fiscal agent. The designated SEA submits the application. The application package includes instructions and guidance on submitting an applications as well as a list of required documents and forms.

Award Procedure:

Funds are awarded on a competitive basis.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application

deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

No-cost extensions are available.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The project period is up to 48 months. See the following for information on how assistance is awarded/released: Assistance is awarded through electronic transfer.

Reports:

Reports must be submitted in accordance with the terms of the award document. Cash reports are not applicable. Progress reports must be submitted in accordance with the terms of the award document. Expenditure reports must be submitted in accordance with the terms of the award document. Performance monitoring will be in accordance with the terms of the award document.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Any additional audit requirements will be included in grant award documents.

Records:

Records must be maintained in accordance with EDGAR; requirements will be included in grant award documents.

Account Identification:

91-1000-0-1-501.

Obligations:

(Project Grants) FY 12 \$9,213,993; FY 13 est \$8,733,089; and FY 14 est \$9,214,000

Range and Average of Financial Assistance:

The average award is \$7,500,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Provided funding to develop kindergarten entry assessments. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Applicable regulations may be found at the Education Department General Administrative Regulations (EDGAR) in 34 CFR parts 74, 75, 77, 80, 81, 82, 84, 85, 86, 97, 98, and 99. Other information may be found at the program website. The Debarment and Suspension regulations in 2 CFR part 3485 also apply.

Regional or Local Office:

None.

Headquarters Office:

Collette Roney, Student Achievement and School Accountability Programs, Office of Elementary and Secondary Education, Department of Education, 400 Maryland Ave., S.W., Washington, District of Columbia 20202 Email: Collette.Roney@ed.gov Phone: (202) 401-5245.

Website Address:

<http://www.2.ed.gov/programs/eag/index.html>

RELATED PROGRAMS:

84.369 Grants for State Assessments and Related Activities

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Selection criteria are included in the Notice Inviting Application, which is posted on the program website.

84.369 GRANTS FOR STATE ASSESSMENTS AND RELATED ACTIVITIES

FEDERAL AGENCY:

Office of Elementary and Secondary Education, Department of Education

AUTHORIZATION:

Elementary and Secondary Education Act of 1965, as amended, Title VI, Part A, Subpart I, Section 6111.

OBJECTIVES:

The purpose of this program is: (1) To support the development of the additional State assessments and standards required by Section 1111(b) of the Elementary and Secondary Education Act (ESEA), as amended; and (2) if a State has developed the assessments and standards required by Section 1111(b), then to support the administration of those assessments or to carry out other activities related to ensuring that the State's schools and local education agencies are held accountable for results.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

State educational agencies must use these funds to carry out the responsibilities described in Section 6111 of the ESEA. Funds may be used to support the development of the additional State assessments and standards required by Section 1111(b) of the ESEA or, if a State has developed the assessments and standards required by Section 1111(b), then to support the administration of those assessments or to carry out other activities related to ensuring that the State's schools and local educational agencies are held accountable for results.

Applicant Eligibility:

State educational agencies with either: (1) An approved consolidated application that includes an application for funds under Section 6111 or (2) an approved Title I Part A application that includes an application for funds under Section 6111.

Beneficiary Eligibility:

States and local educational agencies.

Credentials/Documentation:

This program is included for coverage under OMB Circular No. A-87. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

The Department will allocate funds to any State whose State application submission demonstrates that funds will be used for covered activities and that costs associated with those activities are reasonable.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 30-90 days.

Appeals:

If the Department disapproves a State's application for funds authorized by

Section 6111, the State has the right to a hearing in accordance with Section 76.202 of the Education Department General Administrative Regulations (EDGAR).

Renewals:

States with approved applications will automatically receive grant awards for any year for which there is an appropriation for the program.

Formula and Matching Requirements:

Statutory Formula: Title VI, Part A, Subpart I. Under Title VI, Part A, funds appropriated for State Assessment Grants are distributed to the States through a formula under which each State receives \$3 million and any amounts remaining are distributed based on each State's relative share of the total population of children aged 5 through 17.

Matching requirements are not applicable to this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awards of funds are available for obligation for a maximum of 27 months. They become available to the Department on July 1, and are awarded to States on or about that date. They remain available for obligation under the initial period of availability for 15 months (until September 30, of the following fiscal year). Under section 421(b) of the General Education Provisions Act, any funds that remain unobligated may be carried over for obligation for an additional 12 months. Funds for succeeding fiscal years, if appropriated, will follow a similar timeline. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Grantees are subject to the reporting requirements contained in Parts 76 and 80 of the Education Department General Administrative Regulations (EDGAR). States receive awards under this program are required to respond to any questions that the Department asks in its annual Consolidated State Performance Report survey. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

All grantees must maintain and complete records in accordance with the General Education Provisions Act and the Education Department General Administrative Regulations (EDGAR).

Account Identification:

91-1000-0-1-501.

Obligations:

(Formula Grants) FY 12 \$380,000,000; FY 13 est \$360,166,742; and FY 14 est \$9,214,000

Range and Average of Financial Assistance:

FY 2012 range of awards to States: \$3,279,829-\$30,238,571; average \$7,234,615.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Provided funding to 52 States, the outlying areas, and the Department of the Interior's Bureau of Indian Education. Fiscal Year 2013: Provided funding to 52 States, the outlying areas, and the Department of the Interior's Bureau of Indian Education. Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Education Department General Administrative Regulations (EDGAR), Parts 76, 77, 79, 80, 81, 82, 85, 86, 97, 98, and 99. 34 CFR Parts 200 and 300.

Nonregulatory guidance concerning Title I, Part A assessment requirements is available at <http://www.ed.gov/admins/lead/account/saa.html>.

Regional or Local Office:

None.

Headquarters Office:

Carlos Martinez Office of Elementary and Secondary Education, Student Achievement and School Accountability Programs, 400 Maryland Avenue, SW, Washington, District of Columbia 20202 Email: carlos.martinez@ed.gov Phone: (202) 260-1440

Website Address:

<http://www2.ed.gov/admins/lead/account/saa.html>

RELATED PROGRAMS:

84.010 Title I Grants to Local Educational Agencies; 84.368 Grants for Enhances Assessment Instruments

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Development and implementation of the State assessments required under Title I of the Elementary and Secondary Education Act. Fiscal Year 2013: Development and implementation of the State assessments required under Title I of the Elementary and Secondary Education Act. Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

84.370 DC SCHOOL CHOICE INCENTIVE PROGRAM**FEDERAL AGENCY:**

Office of Innovation and Improvement, Department of Education

AUTHORIZATION:

Scholarships for Opportunity and Results (SOAR) Act, (Division C of Public Law 112-10, the Department of Defense and Full-Year Continuing Appropriations Act, 2011).

OBJECTIVES:

To provide low-income parents residing in the District of Columbia (District) with expanded options for the education of their children. This program is part of a broader school improvement effort in the District.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

In FY 2009, the Department awarded one grant on a competitive basis to administer the scholarship program for new as well as current student participants. These scholarships of up to \$8,000 per student per school year for grades K-8 and \$12,000 per student per school year for grades 9-12 enable students to attend a participating nonpublic District elementary and secondary school of their choice and may be used to pay tuition and fees and transportation.

Applicant Eligibility:

An educational entity of the District of Columbia Government; a nonprofit organization; or a consortium of nonprofit organizations.

Beneficiary Eligibility:

To receive an award under this program, an applicant must ensure that a majority of the members of its voting board or governing organization are residents of the District of Columbia. The Secretary gives priority to applications from eligible entities that will most effectively provide students and families with the widest range of educational options; to applications from eligible entities that will most effectively target resources to students and families who lack the financial resources to take advantage of available educational options; and to applications from eligible entities that will most effectively give priority to (A) eligible students who, in the school year preceding the school year in which the student would use the scholarship, attend an elementary or secondary school identified for improvement, corrective action, or restructuring under section 1116 of the Elementary and Secondary Education Act of 1965, as amended (ESEA) (20 U.S.C. 6316); (B) students who have been awarded a scholarship in a preceding year under the current authorizing legislation or the DC School Choice Incentive Act of 2003, as such Act was in effect on the day before the date of the enactment of the current authorizing legislation, but who have not used the scholarship, including

eligible students who were provided notification of selection for a scholarship for school year 2009-2010, which was later rescinded in accordance with direction from the Secretary of Education; and (C) students whose household includes a sibling or other child who is already participating in the program of the eligible entity under this division, regardless of whether such students have, in the past, been assigned as members of a control study group for the purposes of an evaluation.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

This program is subject to the regulations in 34 CFR Part 79. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Applicants must submit the following by the designated deadline: (1) The applicant must provide a written narrative, which addresses the selection criteria (and the required application content) that reviewers use in evaluating their applications. (2) Required forms to be submitted with the application include SF424; Sections A, B, and C of ED Form 524; Assurance - Non Construction Programs; Certifications Regarding Lobbying, Debarment, Suspension and Other Responsibility Matters; Drug-Free Workplace Requirements; Disclosure of Lobbying Activity; Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion - Lower Tier Covered Transactions. (3) Applicants for grants under this program are required to submit applications electronically using the Electronic Grant Application System (e-Application) available through the Department's e-GRANTS system. Specific instructions for submitting applications electronically are included in the application notice.

Award Procedure:

The Department of Education awards this grant on a competitive basis. The program office notifies the successful applicant of the award, negotiates the final budget, and awards the grant. Contact the program office for more information.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 60 days.

Appeals:

Not Applicable.

Renewals:

Applicants may apply for a project period of up to 12 months. Continuation awards are subject to the availability of appropriations.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Applicants may apply for a project period of up to 12 months. Renewals are subject to the availability of appropriations. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Annual performance reports are required for each budget period. Specific due dates are determined by the Department and forwarded to applicants accordingly. Final performance reports are due 90 days after expiration or termination of the award. Cash reports are not applicable. Progress reports are

not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

In accordance with the General Education Provisions Act and the Education Department General Administrative Regulations (EDGAR), certain financial records must be maintained for a period of 3 years.

Account Identification:

20-1817-0-1-501.

Obligations:

(Project Grants) FY 12 \$20,000,000; FY 13 est \$18,953,880; and FY 14 est \$0

Range and Average of Financial Assistance:

Average new awards: \$18,006,186.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: No Current Data Available. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

The Department will publish the Notice Inviting Applications (NIA) in the Federal Register. Contact the Program Office for more information.

Regional or Local Office:

None.

Headquarters Office:

Jeanne Gilroy Department of Education, Office of Innovation and Improvement, Parental Options and Information, 400 Maryland Ave., S.W., Washington, District of Columbia 20202 Email: jeanne.gilroy@ed.gov Phone: (202) 205-5482

Website Address:

<http://www.ed.gov/programs/dcchoice/index.html>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The selection criteria are contained in the NIA.

84.371 STRIVING READERS

FEDERAL AGENCY:

Office of Elementary and Secondary Education, Department of Education

AUTHORIZATION:

Elementary and Secondary Education Act of 1965, as amended, Title I, Part E, Section 1502; FY 2010 Consolidated Appropriations Act , Public Law 111-117.

OBJECTIVES:

The objective of the Striving Readers Comprehensive Literacy program is to advance literacy skills, including pre-literacy skills, reading and writing for students from birth through grade 12, including limited-English-proficient students and students with disabilities.

TYPES OF ASSISTANCE:

Formula Grants; PROJECT GRANTS

USES AND USE RESTRICTIONS:

Striving Readers Comprehensive Literacy formula grantees must use these funds to carry out the activities described in their approved applications. Formula grant funds may be used for costs that are reasonable, necessary, and

integral in creating or supporting a State Literacy Team with expertise in literacy development and education for children from birth to grade 12 to assist the state in developing a comprehensive literacy plan. (Note that formula grants were funded only in FY 2010.) Striving Readers Comprehensive Literacy competitive grantees must use these funds to create a comprehensive literacy program to advance literacy skills, including pre-literacy skills, reading and writing. These funds must be used for services and activities that have characteristics of effective literacy instruction through professional development, screening and assessment, targeted interventions for students reading below grade level and other research-based methods of improving classroom instruction and practices for all students.

Applicant Eligibility:

State and local educational agencies are eligible applicants.

Beneficiary Eligibility:

The Striving Readers Adolescent Literacy program benefits adolescent students (in grades 6-12) who are reading below grade level. The Striving Readers Comprehensive Literacy program benefits children and youth from birth through 12th grade.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Applicants must prepare and submit an application in accordance with the Application and Instructions published on the Striving Readers Comprehensive Literacy website at <http://www.ed.gov/programs/strivingreaders-literacy/index.html>. OMB required assurances and certifications include: Standard Forms 424B (Assurances for Non-Construction Programs), including the assurances relating to the legal authority to apply for assistance; access to records; conflict of interest; merit systems; nondiscrimination; Hatch Act provisions; labor standards; flood hazards; historic preservation; protection of human subjects; animal welfare; lead-based paint; Single Audit Act; and the general agreement to comply with all applicable Federal laws, executive orders and regulations; lobbying in Department Form 80-0013, Standard Form-LLL, Disclosure Form to Report Lobbying.

Award Procedure:

The Department of Education notifies successful applicants of awards.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

The range of approval/disapproval time is approximately 60 to 120 days following the full application deadline.

Appeals:

Not Applicable.

Renewals:

Applicants may apply for a project period of up to 5 years. Renewals are subject to the availability of appropriations and satisfactory progress.

Formula and Matching Requirements:

Statutory Formula: Applies only to the \$10 million in FY 2010 funds available for State Literacy Teams.

Matching requirements are not applicable to this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Applicants may apply for a project period of up to 5 years. Renewals are subject to the availability of appropriations and satisfactory progress. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Annual progress reports must be submitted at the end of the budget period. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Cash reports are not applicable. Progress reports are not applicable. No expenditure reports are required. Performance monitoring is included in the annual progress report.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In accordance with the Education Department General Administrative Regulations (EDGAR) in 34 CFR 80. State and local governments that receive financial assistance of \$100,000 or more within the State's fiscal year shall have an audit made for that year. State and local governments that receive between \$25,000 and \$100,000 within the State's fiscal year shall have an audit made in accordance with the Appendix to EDGAR, Part 80.

Records:

In accordance with the General Education Provisions Act and the Education Department General Administrative Regulations (EDGAR), certain records must be retained for 3 years.

Account Identification:

91-0900-0-1-501.

Obligations:

(Project Grants) FY 12 \$159,697,600; FY 13 est \$151,344,457; and FY 14 Estimate Not Available

Range and Average of Financial Assistance:

Project (discretionary) grants in FY12: TBD.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: No Current Data Available. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Education Department General Administrative Regulations (EDGAR), 34 CFR Parts 74, 74, 76, 77,79,80,81,82,85,86,97,98, and 99. Contact the program office for additional information.

Regional or Local Office:

None.

Headquarters Office:

Sylvia Lyes Department of Education, Office of Elementary and Secondary Education, 400 Maryland Ave., SW, Washington, District of Columbia 20202
Email: sylvia.lyes@ed.gov Phone: (202) 260-2551

Website Address:

<http://www.ed.gov/programs/strivingreaders/index.html>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The selection criteria will be included in the application package. For additional information please visit the website
<http://www.ed.gov/programs/strivingreaders-literacy/index.html>.

84.372 STATEWIDE DATA SYSTEMS

FEDERAL AGENCY:

Institute of Education Sciences, Department of Education

AUTHORIZATION:

Educational Technical Assistance Act of 2002, Title II, Section 208, Public Law 107-79.

OBJECTIVES:

These grants are intended to enable State educational agencies to design, develop, and implement statewide, longitudinal data systems to efficiently and accurately manage, analyze, disaggregate, and use individual student data, consistent with the Elementary and Secondary Education Act of 1965 and to facilitate analyses and research to improve student academic achievement and close achievement gaps. The 2012 grants were awarded to support States work in one of three priority areas: (1) the design, development, and implementation of Statewide, longitudinal kindergarten through grade 12 (K-12) data systems; (2) The development and linking of early childhood data with the States K-12 systems; or (3) the development and linking of postsecondary and/or workforce data with the States K-12 data systems. In addition, funds were used to support contracts to improve data quality, coordination, and use. The eligible entities for contract awards are public or private organizations or entities.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grants may support salaries, travel, equipment, and supplies as required to carry out design and implementation efforts. Grant funds shall be used to supplement and not supplant other State or local funds used for developing State data systems.

Applicant Eligibility:

State educational agencies.

Beneficiary Eligibility:

State educational agencies, local educational agencies, non-profit and for-profit organizations, and individuals involved with education will benefit.

Credentials/Documentation:

Applicants for these data systems projects must present a plan and furnish evidence that the scientific competence, facilities, equipment, and supplies are appropriate to carry out the project. Applicants must ensure technical quality; provide for the generation of accurate data, and provide for the timely use of such data needed by State and local educational agencies both to comply with the Elementary and Secondary Education Act of 1965 and to facilitate research to improve student academic achievement and close achievement gaps; and, meet voluntary standards and guidelines for linking individual student data. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Applications must include: ED Form 424, "Application for Federal Assistance" and ED 524, "Budget Information Non-Construction Programs." Specific application and submission procedures, as well as additional information about the specific contents, page limits, and page formatting of applications, are provided in the individual Request for Applications found under "Funding Opportunities" at the Institute of Education Sciences web site: <http://ies.ed.gov/funding>.

Award Procedure:

All accepted applications competing for grants are reviewed for technical merit by panels of peer reviewers. Official notice of an approved application is made through issuance of a Notice of Grant Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

The range of approval/disapproval time is approximately four to six months following the application deadline.

Appeals:

Not Applicable.

Renewals:

Recipients of multi-year grants must submit an annual performance report for each year funding has been approved. A review of the annual performance report is used to determine whether substantial progress has been made toward meeting the project objectives. Renewals are subject to the availability of appropriations.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Projects generally may be supported for one to three years. All grantee obligations shall be liquidated within 90 days following the end of the project period. Payments may be made according to a predetermined schedule or by way of reimbursement. Renewals are subject to the availability of appropriations. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

As required by the Education Department General Administrative Regulations (EDGAR) for direct grant programs (34 CFR 75). Generally, financial reports and program reports must be submitted as required by grant terms and conditions. Cash reports are not applicable. Progress reports are required. Annual performance reports are required as part of the submittal of form ED 524B. Expenditure reports are required. Annual expenditure reports are required as part of the submittal of form ED 524B. Staff also monitor drawdowns using agency financial reports. Performance monitoring is required. Reporting on performance measures is required as part of the submittal of form ED 524B.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. All expenditures by state governments will be audited by the grantee or at the grantee's direction with reasonable frequency, usually once a year but not less than once every two years. The Secretary of Education, the Director of the Institute, and the Comptroller General of the United States, or any of their duly authorized representatives, shall have access, for the purpose of audit and examinations, to all applicable records and to any other pertinent books, documents, papers, and records of the grantee.

Records:

Each grantee will keep intact, and accessible, records relating to the receipt and expenditure of Federal funds and the expenditures of the grantee's contribution to the cost of the project, if any. Records will normally be retained for 3 years after the date of the submission of the final expenditure report, or for grants that are reviewed annually, 3 years after the date of the award.

Account Identification:

91-1100-0-1-503.

Obligations:

(Project Grants) FY 12 \$38,076,898; FY 13 est \$36,085,248; and FY 14 est \$85,000,000

Range and Average of Financial Assistance:

The 24 2012 grants ranged in size from \$2.6 million to \$5 million for 3-year projects.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: 24. Fiscal Year 2013: No new awards anticipated in 2013.

Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of the Education Department General Administrative Regulations (EDGAR) in 34 CFR Parts 74, 77, 80, 81, 82, 84, 85, 97, 98, and 99. In addition 34 CFR part 75 is applicable, except for the provisions in 34 CFR 75.100, 75.101(b), 75.102, 75.103, 75.105, 75.109(a), 75.200, 75.201, 75.209, 75.210, 75.211, 75.217, 75.219, 75.220, 75.221, 75.222, and 75.230. For more information, see specific Request for Applications available on Institute website <http://www.ed.gov/programs/edresearch/applicant.html>.

Regional or Local Office:

None.

Headquarters Office:

Emily Anthony Department of Education, IES, National Center for Education Statistics, 1900 K Street NW, Room 9023, Washington, District of Columbia 20006 Email: emily.anthony@ed.gov Phone: (202) 502-7495

Website Address:

<http://nces.ed.gov/programs/slds>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Information on projects funded in fiscal year 2012 and previous fiscal years is available at <http://nces.ed.gov/program/SLDS/stateinfo.asp>. Fiscal Year 2013: No new awards anticipated in 2013. Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The criteria for selecting applications are contained in the Request for Applications that announces the competition. The Request for Applications is posted on the Funding Opportunities home page of the Institute web site: <http://ies.ed.gov/funding/>.

84.373 SPECIAL EDUCATION TECHNICAL ASSISTANCE ON STATE DATA COLLECTION

FEDERAL AGENCY:

Office of Special Education and Rehabilitative Services, Department of Education

AUTHORIZATION:

Individuals with Disabilities Education Act (IDEA), as amended, Part B, Sections 611(c) and 616(i), 20 U.S.C 1411.

OBJECTIVES:

To provide technical assistance, where needed, to improve the capacity of States to meet the data collection requirements of the IDEA.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds must be used to support the objectives of the program.

Applicant Eligibility:

Public and private agencies and organizations, including for profit and non-profit agencies and organizations.

Beneficiary Eligibility:

Infants, toddlers, and children with disabilities benefit from this program.

Credentials/Documentation:

OMB Circulars A-21, A-122, and A-87 may apply to grantees under this program depending on the grantee. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or

her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program.

Award Procedure:

Applications are reviewed and evaluated by outside experts and program staff, in accordance with the Education Department General Administrative Regulations (EDGAR) (34 CFR 75, Subpart D).

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

As required by EDGAR, awards may be renewed annually for up to five years throughout the project period of the award if the recipient has made substantial progress in meeting the goals of the project, depending upon the availability of appropriations.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Typically from 1 to 5 years. Renewals subject to the availability of appropriations. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Progress reports shall be made on an annual basis. Final reports shall be made at the end of the grant award period. Cash reports are not applicable. Grantees must submit an annual performance report (APR) as required under EDGAR 34 CFR 74.50. Expenditure reports are monitored through the APR. Program performance is monitored through the APR.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

As required by EDGAR, the grantee shall maintain accounts, records, and other evidence pertaining to all costs incurred, revenues or other applicable credits acquired under its award as stated in grant or contract terms and conditions. Generally records related to grant funds, compliance, and performance must be maintained for a period of 3 years from the date the grantee submits its final expenditure report for the funding period. 34 CFR 80.42.

Account Identification:

91-0300-0-1-501.

Obligations:

(Project Grants) FY 12 \$25,000,000; FY 13 est \$23,692,500; and FY 14 est \$15,000,000

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: No Current Data Available. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

34 CFR Part 300; 34 CFR Parts 74, 75, 76, 77, 79, 80, 81, 82, 85, and 86. (EDGAR).

Regional or Local Office:

None.

Headquarters Office:

David Egnor, Department of Education, OSERS Office of Special Education Programs, 400 Maryland Ave., SW, Washington, District of Columbia 20202 Email: david.egnor@ed.gov Phone: (202) 245-7334.

Website Address:

<http://www.ed.gov/about/offices/list/osers/osep/index.html>.

RELATED PROGRAMS:

84.027 Special Education_Grants to States; 84.173 Special Education_Preschool Grants; 84.181 Special Education-Grants for Infants and Families; 84.323 Special Education - State Personnel Development; 84.324 Research in Special Education; 84.326 Special Education_Technical Assistance and Dissemination to Improve Services and Results for Children with Disabilities; 84.327 Special Education_Technology and Media Services for Individuals with Disabilities; 84.328 Special Education_Parent Information Centers; 84.329 Special Education_Studies and Evaluations

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: <http://www2.ed.gov/programs/osepidea/applicant.html>. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Criteria for selecting among grant applications will normally be selected from those in the Education Department General Administrative Regulations (EDGAR), 34 CFR Part 75.210.

84.374 TEACHER INCENTIVE FUND

(TIF)

FEDERAL AGENCY:

Office of Elementary and Secondary Education, Department of Education

AUTHORIZATION:

Elementary and Secondary Education Act, as amended, Title V, Part D, Subpart 1; Department of Education Appropriations Acts for Fiscal Years 2006-2012.

OBJECTIVES:

To support programs that develop and implement performance-based compensation systems for teachers, principals, and other personnel in high-need schools. These compensation systems must consider growth in student academic achievement as well as classroom evaluations conducted multiple times during each school year, among other factors, and provide educators with incentives to take on additional responsibilities and leadership roles.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Successful applicants must use these funds to carry out the activities described in their approved applications. Grantees may use the funds to develop and implement performance-based compensation systems, including incentives to take on individual responsibilities and leadership roles. Grantees also may use these funds to develop or improve systems and tools (which may be developed and used for the entire local educational agency) that would enhance the quality and success of the compensation system, such as high-quality teacher evaluations and tools to measure growth in student achievement.

Applicant Eligibility:

Eligible applicants are local educational agencies (LEAs), including charter schools that are LEAs in their State, State educational agencies (SEAs), or partnerships of (1) an LEA, an SEA, or both, and (2) at least one non-profit organization.

Beneficiary Eligibility:

SEAs, LEAs, non-profit organizations, and students, teachers, principals, and other school personnel in an LEA's high-need schools benefit from the program.

Credentials/Documentation:

OMB Circular A-122 applies to grantees that are non-profit organizations.
OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applications must prepare and submit applications in accordance with the notice published in the Federal Register. By the due date, eligible applicants must submit to the U.S. Department of Education, Application Control Center, a complete application that addresses the purposes of the program, the selection criteria, the competitive priority (if applicable) included in the application notice, and includes all required assurances and signatures. Required assurances and certifications include: Assurances for Non-Construction programs (SF 422B); Certification regarding Lobbying, Disbarment; Suspension and other Responsibility Matter, and Drug-Free Workplace Requirements (ED Form 80-00013); Disclosure of Lobbying Activities (SF LLL) (if applicable); Response to Notice to All Applicants (Section 427, GEPA). Applications should also include a Cover Page (SF 424); the required Budget Form (ED Form 524); an itemized budget and other budget information; a project abstract; and a program narrative that includes responses to selection criteria. Applicants are encouraged to submit one original and two copies of the application.

Award Procedure:

If an applicant is successful, the Department of Education will notify the applicant's U.S. Representative and U.S. Senators and will send the successful applicant a Grant Award Notification (GAN). The Department of Education may also notify successful applicants informally.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

The range of approval/disapproval time is approximately 60 to 120 days following the application deadline.

Appeals:

Not Applicable.

Renewals:

Applicants may apply for a project period of up to five years. Renewals are subject to the availability of funds and a grantee's satisfactory progress.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. This program has no matching requirements. None. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Applicants may apply for a project period of up to 5 years. Renewals are subject to the availability of funds and a grantee's satisfactory progress. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Annual performance reports must be submitted for each budget period in order to receive continuation awards. Final performance reports and the final evaluation report must be submitted after the end of the grant period. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000

or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

As provided in 34 CFR 80.42, and 74.53, SEAs, LEAs and non-profit organizations must maintain program records for 3 years from the date those agencies submit to the awarding agency its single or last expenditure report for the period for which it received the funds. A longer retention period is required under specified conditions.

Account Identification:

91-0204-0-1-501.

Obligations:

(Project Grants) FY 12 \$299,433,000; FY 13 est \$283,770,857; and FY 14 est \$0

Range and Average of Financial Assistance:

FY 12 Range: \$1,812,200 - \$23,728,797.

No current data available for FY 2013 & FY 2014.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: No Current Data Available. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Education Department General Administrative Regulations (EDGAR), Parts 74, 75, 77, 79, 80, 81, 81, 82, 84, 85, 97, 98, and 99. Contact the program office for additional information.

Regional or Local Office:

None.

Headquarters Office:

April Bolton-Smith Office of Elementary and Secondary Education, Department of Education, 400 Maryland Ave., S.W., Washington, District of Columbia 20202 Email: april.bolton-smith@ed.gov Phone: (202) 260-1475

Website Address:

<http://www2.ed.gov/programs/teacherincentive/index.html>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The selection criteria are in full application package and published in the Federal Register notice inviting applications. Contact the program office for more information.

84.377 SCHOOL IMPROVEMENT GRANTS

FEDERAL AGENCY:

Office of Elementary and Secondary Education, Department of Education

AUTHORIZATION:

Elementary and Secondary Education Act (ESEA), as amended, Executive Order Section 1003(g), 115 Stat. 1442, 20 U.S.C 6303(g).

OBJECTIVES:

To support competitive subgrants to local educational agencies (LEAs) that demonstrate the greatest need for the funds and the strongest commitment to use the funds to provide adequate resources to raise substantially the achievement of students in their lowest-performing schools. In general, State educational agencies (SEAs) must give priority to LEAs with Title I-eligible schools ranked in the bottom five percent of such schools, based on student achievement and lack of progress in improving student achievement, as well as secondary schools with a graduation rate below 60 percent over a number of years. LEAs seeking funding to serve such schools must implement one of four school intervention models: turnaround model, restart model, school closure, or transformation model.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

An SEA must allocate at least 95 percent of the amount of the funds it receives directly to LEAs, primarily to implement specified school intervention models in Title I-eligible schools ranked in the bottom five percent of such schools, based on student achievement and lack of progress in improving student achievement, as well as secondary schools with a graduation rate below 60 percent over a number of years. An SEA may retain up to 5 percent of the grant amount received for administration, evaluation, and technical assistance expenses. At the LEA level, these funds may be used for any reasonable costs associated with implementing required school intervention models, or with carrying out school improvement, corrective action, or restructuring activities described in Section 1116(b) of the ESEA.

Applicant Eligibility:

SEAs with approved State plan amendments are eligible to receive funds.

Beneficiary Eligibility:

To be eligible to receive Title I School Improvement Grant funds, an LEA must have one or more schools identified for school improvement, corrective action, or restructuring under Section 1116(b) of Title I of the ESEA.

Credentials/Documentation:

OMB Circular A-87. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Pursuant to Section 76.140 of the Education Department General Administrative Regulations, the U.S. Department of Education (ED) is requiring States to implement application. ED has issued guidance and an electronic application for this program, which may be found at <http://www2.ed.gov/programs/sif/index.html>.

Award Procedure:

The Department will allocate funds to any State that submits an application meeting the Department's application requirements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Varies with each State.

Appeals:

In programs administered by ED, Section 432 of the General Education Provisions Act provides LEAs with a right of appeal when there are disagreements between State and local educational agencies, including disagreements over funding decisions. Where an LEA alleges that the denial of funding is a violation of State or Federal law, rules, regulations, or guidelines governing the applicable program, it may, within 30 days, request a hearing from the SEA. Once the hearing is held and the SEA issues its written ruling, the LEA may appeal a negative ruling to the Secretary.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Under ESEA, ED allocates section 1003(g) School Improvement funds to States through a formula that is based on each State's proportionate share of funds received under ESEA Title I, Part A (Grants to LEAs), Part C (Migrant Education program), and Part D, Subpart 1 (State Agency Neglected and Delinquent program). The Secretary is authorized through FY 2012 appropriations acts in FY 2012 and 2013 to reserve up to 5 percent of available funds for national activities.

Matching requirements are not applicable to this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Title I School Improvement funds are available for obligation by State and local educational agencies for 27 months after funds become available on July 1. Funds become available to the Department on July 1, and will be allocated to States on or after that date. They remain available for obligation by LEAs under the initial period of availability for 15 months. Under section 421(b) of the General Education Provisions Act, any funds that remain unobligated may be carried over for obligation for an additional 12 months. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Grantees are subject to the reporting requirements contained in Parts 76 and 80 of the Education Department General Administrative Regulations (EDGAR). Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Grantees must submit program performance information through their annual Consolidated State Performance Reports.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In accordance with EDGAR in the Appendix to 34 CFR 80, State and local governments that receive financial assistance of \$500,000 or more within the State's fiscal year shall have an audit made for that year. State and local governments that receive between \$25,000 and \$500,000 within the State's fiscal year shall have an audit made in accordance with the Appendix to Part 80, or in accordance with Federal laws and regulations governing the programs in which they participate.

Records:

All grantees must maintain and complete records as provided in EDGAR.

Account Identification:

91-0900-0-1-501.

Obligations:

(Formula Grants) FY 12 \$533,551,678; FY 13 est \$505,756,165; and FY 14 est \$533,552,000

Range and Average of Financial Assistance:

Fiscal Year 2012: Range: \$1,223,430 - \$64,589,980. Average: \$10,257,271.

Fiscal Year 2013 (est): Range: \$1,125,116 - \$57,030,515. Average: \$9,011,292.

Fiscal Year 2014 (est): Range: \$1,172,000 - \$60,515,000. Average: \$9,656,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: No Current Data Available. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Applicants should contact the headquarters office for more information.

Regional or Local Office:

None.

Headquarters Office:

Carlas McCauley, 400 Maryland Avenue, SW, 3C116, Washington, District of Columbia 20202 Email: carlas.mccauley@ed.gov Phone: (202) 260-0824.

Website Address:

<http://www.ed.gov/oese> .

RELATED PROGRAMS:

84.010 Title I Grants to Local Educational Agencies

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

84.378 COLLEGE ACCESS CHALLENGE GRANT PROGRAM

FEDERAL AGENCY:

Office of Postsecondary Education, Department of Education

AUTHORIZATION:

Higher Education Act of 1965, as amended, Title VII, Part E, Section 781, 20 U.S.C. 1141.

OBJECTIVES:

To foster partnerships among federal, State and local government entities and philanthropic organizations through matching challenge grants aimed at increasing the number of underrepresented students who enter and remain in postsecondary education.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

States or philanthropic organizations (if a State does not meet the program's matching requirements) use funds to implement services and activities needed to achieve the goals and objectives of the program. The services and activities authorized in the statute include: providing information to students and families regarding the benefits of, opportunities for, postsecondary education. Providing information to students and families regarding planning for postsecondary education, including educating them about financing options. Providing information to students and parents regarding career preparation; assisting students in completing FAFSA; engaging outreach activities for students at risk of not enrolling in or completing postsecondary education; offering professional development for middle and secondary school guidance counselors, and college admissions counselors and financial administrators; providing need based grant aid; repaying student loans or lower interest rates; and offering tutoring/mentoring services, and other support services. A grantee may use not more than 6 percent of the total amount of the sum of the federal share provided and nonfederal share required for administrative purposes.

Applicant Eligibility:

An eligible applicant is the State agency with jurisdiction over higher education, or another agency designated by the Governor of the State. The Secretary may award funds to philanthropic organizations in cases where the State fails to meet program requirements.

Beneficiary Eligibility:

The authorized beneficiaries are underrepresented students and families.

Credentials/Documentation:

States or philanthropic organizations (if a State does not meet program's matching requirements) must submit an application containing a description of its capacity to administer and report on grant activities and services, a plan for using grant funds to meet program requirements, including any special efforts the grantee will undertake to benefit underrepresented students. The grantee will also describe how the nonfederal share will be provided, as well as the structure the state has in place to administer the activities and services. The governor of a state must designate in writing which State agency is authorized to administer the grant. A letter from the governor (on letterhead, signed and dated) must be included in the application. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Each State must submit an application covering a 2-year period to the Department of Education.

Award Procedure:

Applications are reviewed for quality and compliance with statutory and regulatory requirements. An allotment is awarded to States or philanthropic organizations if the Assistant Secretary determines the application meets necessary requirements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

The estimated range of time need to approve/disapprove an application is usually three months after the deadline for submission.

Appeals:

Not Applicable.

Renewals:

State applications are amended as needed.

Formula and Matching Requirements:

Statutory Formula:

Matching Requirements: Funds are awarded on a formula basis to States (or philanthropic organizations if a State does not meet program's requirement). Allotments are based on the relative number of persons between the ages of 5 and 17 and 15 and 44 living below the poverty line. Poverty status data for participating States will be obtained from the Census Bureau. The minimum allotment for each State for a fiscal year cannot be an amount that is less than 1 percent of the total amount appropriated for the program. The statute requires a nonfederal matching contribution of not less than 1/3 of the costs of the project activities and services. This may be provided through in-kind and/or cash contributions.

This program has MOE requirements, see funding agency for further details. In order to receive a CACG grant, States must meet the MOE requirement in Section 137 of the Higher Education Act, as amended. See funding agency for further details.

Length and Time Phasing of Assistance:

Grants are made for up to two years, subject to the availability of funds. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

States or philanthropic organizations (if a State does not meet program requirements) administering the grant must submit an annual performance report. The report must include: each activity or service that was provided to students and families over the course of the year; the cost of providing each activity or service; and the total contributions from private organizations included as nonfederal share for the fiscal year. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. Grantees are subject to audit requirements as described in 34 CFR 74.26.

Records:

Grantees must maintain appropriate records pertaining to grant funds, compliance and project performance, for three years after the completion of activities for which the grant or subgrant funds were used. This documentation should be sufficient to establish fiscal accountability and facilitate an effective audit.

Account Identification:

91-0201-0-1-502.

Obligations:

(Formula Grants) FY 12 \$150,000,000; FY 13 est \$150,000,000; and FY 14 est \$150,000,000

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: No Current Data Available. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

The administration of the formula grant program is governed by the statute and EDGAR (34 CFR 76, 77, 79, 80, 81, 82 and 85).

Regional or Local Office:

None.

Headquarters Office:

Karmon Simms-Coates, Department of Education, Office of Postsecondary Education, College Access Challenge Grant Program, 1990 K Street, NW, 6th Floor, Washington, District of Columbia 20006-8524 Email: karmon.simms-coates@ed.gov Phone: (202) 502-7807.

Website Address:

<http://www.ed.gov/programs/cacg/>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

State will receive funding based on the submission of an approvable application. The application must present a viable plan to achieve goals and objectives and also comply with statutory and regulatory requirements.

84.379 TEACHER EDUCATION ASSISTANCE FOR COLLEGE AND HIGHER EDUCATION GRANTS (TEACH GRANTS)

FEDERAL AGENCY:

Department of Education

AUTHORIZATION:

Higher Education Act of 1965, as amended, Subpart 9, Title IV, Part A.

OBJECTIVES:

To provide annual grants of up to \$4,000 to eligible undergraduate and graduate students who agree to teach specified high-need subjects at schools serving primarily disadvantaged populations for four years within eight years of graduation. For students who do not fulfill their service requirements, grants convert to Direct Unsubsidized Stafford Loans with interest accrued from the date of award.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

Undergraduate and graduate students completing coursework or other requirements necessary to begin a career in teaching. Students must attend an institution of higher education that provides high-quality teacher preparation and professional development services; is financially sound; provides, or assists in the provision, of pedagogical coursework; and provides, or assists in the provision, of supervision and support services to teachers.

Applicant Eligibility:

Undergraduate and graduate students completing coursework or other requirements necessary to begin a career in teaching. Students must attend an institution of higher education that provides high-quality teacher preparation and professional development services; is financially sound; provides, or assists in the provision, of pedagogical coursework; and provides, or assists in the provision, of supervision and support services to teachers.

Beneficiary Eligibility:

Students must have a grade-point-average (GPA) comparable to a 3.25 on a scale of zero to 4.0, except that, if the student is in the first year of undergraduate education, the grade point average is determined based on the student's cumulative secondary school grade point average. A student applying for a grant to obtain a graduate degree must be a teacher or a retiree from another occupation with expertise in a field in which there is a shortage of teachers; grants for graduate education may also be obtained by a student who is or was a teacher who is pursuing high-quality alternative certification. In addition, students must sign an agreement to serve as a full-time teacher for not less than four years within eight years of completing the course of study for which they received a TEACH Grant. Service must be performed at a school serving a primarily disadvantaged population and must be in one of the following fields: mathematics, science, a foreign language, bilingual education, special education, as a reading specialist, or in another field documented as high-need. Students must also agree to provide evidence of qualifying employment upon completion of each year of service. Failure to complete the

service requirements results in the student's TEACH Grants being treated as Federal Direct Unsubsidized Stafford Loans, with interest accrued from the date of award. Students must also sign a statement of educational purpose, not owe a refund on a Title IV grant, and not be in default on a Title IV loan. Eligible males that are at least 18 years old and born after December 31, 1959, can receive aid only if they have registered with the Selective Service.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Students must complete a FAFSA, and complete an agreement to serve and submit it to the Department of Education.

Award Procedure:

Institutions act as disbursing agents for the Department of Education. The institution that the student attends disburses the TEACH Grants based on the enrollment status of the student.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 2 to 4 weeks.

Appeals:

Not Applicable.

Renewals:

Students who have applied in the previous award year must continue to meet all eligibility requirements and reaffirm their intention to fulfill the service requirements before obtaining subsequent grants.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Students are limited to one TEACH Grant during an academic year. Funds for these grants will likely be disbursed at least twice during an academic year. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Institutions will be required to furnish reports periodically on the disbursement of funds, as well as furnish any other reports the Secretary requires. Students must affirm each year that they intend to fulfill their service requirements and submit a certification for each year of required service. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. Annual audits will be made.

Records:

All records pertaining to the eligibility of each TEACH Grant recipient and all fiscal management records must be maintained by the institution for a period of three years or until an acceptable audit has been completed, whichever is later. Selected students will have the information on their applications verified.

Account Identification:

91-0206-0-1-502.

Obligations:

(Direct Payments for Specified Use) FY 12 \$110,698,000; FY 13 est

\$116,233,000; and FY 14 est \$87,030,000

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Funding will support awards to approximately 37,000 students. Fiscal Year 2013: Funding will support awards to approximately 39,000 students. Fiscal Year 2014: Funding will support awards to approximately 30,000 students.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Federal Student Aid Information Center, Federal Student Aid, Department of Education, 400 Maryland Ave., S.W., Washington, District of Columbia 20202
Phone: (800) 433-3243.

Website Address:

<http://www.ifap.ed.gov>.

RELATED PROGRAMS:

84.007 Federal Supplemental Educational Opportunity Grants; 84.033 Federal Work-Study Program; 84.042 TRIO_Student Support Services; 84.044 TRIO_Talent Search; 84.047 TRIO_Upward Bound; 84.063 Federal Pell Grant Program; 84.066 TRIO_Educational Opportunity Centers

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

84.380 SPECIAL EDUCATION -- OLYMPIC EDUCATION PROGRAMS

FEDERAL AGENCY:

Office of Special Education and Rehabilitative Services, Department of Education

AUTHORIZATION:

Special Olympic Sports and Empowerment Act, Section 3(a), 42 U.S.C 15001.

OBJECTIVES:

This program promotes the expansion of Special Olympics and the design and implementation of Special Olympics education programs.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds may be used for: (1) Activities to promote the expansion of Special Olympics, including activities to increase the participation of individuals with intellectual disabilities within the United States and (2) The design and implementation of Special Olympics education programs, including character education and volunteer programs that support the purposes of this Act, that can be integrated into classroom instruction and are consistent with academic content standards.

Applicant Eligibility:

Special Olympics is the only eligible recipient of funds.

Beneficiary Eligibility:

Individuals with intellectual disabilities.

Credentials/Documentation:

OMB Circular No. A-122 applies. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Special Olympics shall submit an application at such time, in such manner, and containing such information as the Department may require.

Award Procedure:

Applications will be reviewed by the Department.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Awards may be renewed annually for up to five years throughout the project period of the award depending on the availability of appropriations.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching requirements are not applicable to this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Up to 5 years subject to availability of appropriations. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Progress reports shall be made on an annual basis. Final reports shall be made at the end of the grant award period. Cash reports are not applicable. Grantees must submit an annual performance report (APR) as required under EDGAR 34 CFR 74.50. Expenditure reports are monitored through the APR. Program performance is monitored through the APR. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

As required by EDGAR, the awardee shall maintain accounts, records, and other evidence pertaining to all costs incurred, revenues or other applicable credits acquired under its award as stated in grant or contract terms and conditions. Generally records related to grant funds, compliance, and performance must be maintained for a period of 3 years from the date the grantee submits its final expenditure report for the funding period. 34 CFR 80.42.

Account Identification:

91-0300-0-1-501.

Obligations:

(Project Grants) FY 12 \$8,000,000; FY 13 est \$7,583,350; and FY 14 est \$8,000,000

Range and Average of Financial Assistance:

One award for \$7,583,350 in FY 13.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

34 CFR Part 300; 34 CFR Parts 74, 75, 76, 77, 79, 80, 81, 82, 85, and 86. (EDGAR).

Regional or Local Office:

None.

Headquarters Office:

Terry Jackson, Department of Education, OSERS Office of Special Education Programs 400 Maryland Ave., S.W., Washington, District of Columbia 20202
Email: terry.jackson@ed.gov Phone: (202) 245-6039.

Website Address:

<http://www2.ed.gov/programs/osepoly/index.html>.

RELATED PROGRAMS:

84.326 Special Education_Technical Assistance and Dissemination to Improve Services and Results for Children with Disabilities

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available. Fiscal Year 2013: No Current Data Available
Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

84.382 STRENGTHENING MINORITY-SERVING INSTITUTIONS

FEDERAL AGENCY:

Office of Postsecondary Education, Department of Education

AUTHORIZATION:

Title III, Part F, Section 371(b)(2)(c)(ii), 371(b)(2)(D)(iii), and 371(b)(2)(D)(iv); Title VIII, Part AA, Section 897; Higher Education Act of 1965, as amended.

OBJECTIVES:

To strengthen Predominantly Black Institutions (PBI); Asian American and Native American Pacific Islander-Serving Institutions (AANAPISI); and Native American-Serving Nontribal Institutions (NASNTI) that propose to carry out activities to improve and expand such institution's capacity to serve low-income and minority students. To further advance educational opportunities for African Americans. For Masters Degree Programs at Historically Black Colleges and Universities (Section 723) and Masters Degree Programs at Predominantly Black Institutions (Section 724) to specified eligible institutions to further advance educational opportunities for African-Americans.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Section 371: Applicants under the PBI program (Section 371) may use funds to carry out programs in science, technology, engineering, or mathematics (STEM); health education; internationalization or globalization; teacher preparation; or improving educational outcomes of African American males. Applicants under the AANAPISI (Section 371) and NASNTI (Section 371) programs may use funds to assist such institutions with planning, developing, undertaking and carrying out activities to improve and expand the institution's capacity to serve Asian American and Native American Pacific Islanders and Native Americans. More specifically, AANAPISI grantees may use funds to carry out activities described in section 311(c). Applicants under the AANAPISI program may use funds to improve and expand their capacity to serve Asian American and Native American Pacific Islander students and low-income individuals. Grantees may use their funds for the purchase, rental, or lease of scientific or laboratory equipment for educational purposes; renovation and improvement in classrooms, libraries, laboratories, and other instructional facilities; support of faculty exchanges, faculty development, and faculty fellowships to assist in attaining advanced degrees in the field of instruction of the faculty; curriculum development and academic instruction; purchase of library books, periodicals, and other educational materials; funds and administrative management, and acquisition of equipment for use in strengthening funds management; joint use of facilities, such as laboratories and libraries; academic tutoring and counseling programs and student support services. Uses of funds are restricted to the allowable activities described in Section 311(c) of the HEA. NASNTI grantees may use funds for the purchase, rental, or lease of scientific laboratory equipment for educational purposes, including instructional and research purposes; renovation and improvement in classroom, library, laboratory, and other instructional facilities; support of faculty exchanges, faculty development, and faculty fellowships to assist faculty in attaining advanced degrees in the faculty's field of instruction; curriculum development and academic instruction; the purchase of library

books, periodicals, microfilm, and other educational materials, funds and administrative management, and acquisition of equipment for use in strengthening funds management; the joint use of facilities such as laboratories and libraries; and academic tutoring and counseling programs and student support services. Uses of funds under each program are restricted to the allowable activities described in Section 371 of the HEA. Section 897: Institutions in each program may use their funds to plan, develop, and implement activities that support: purchase, rental, or lease of scientific or laboratory equipment for educational purposes; construction, maintenance, renovation, and improvement in classroom, library, laboratory, and other instructional facilities; purchase of library books, periodicals, technical and other scientific journals, microfilm, microfiche, and other educational materials; scholarships, fellowships, and other financial assistance for needy graduate students to permit the enrollment of the students in, and completion of, a masters degree in mathematics, engineering, the physical or natural sciences, computer science, information technology, nursing, allied health, or other scientific disciplines in which African Americans are underrepresented; establishment or maintenance of an institutional endowment; funds and administrative management; acquisition of real property that is adjacent to the campus in connection with the construction, renovation, or improvement of, or an addition to, campus facilities; education or financial information designed to improve the financial literacy and economic literacy of students or the students' families; tutoring, counseling, and student service programs; and faculty professional development, faculty exchanges, and faculty participation in professional conferences and meetings.

Applicant Eligibility:

At the time of application, PBIs must have an enrollment of undergraduate students that is at least 40 percent African American students. AANAPISI and NASNTI applicants must have, at the time of application, an enrollment of undergraduate students not less than 10 percent Asian American and Native American Pacific Islanders and Native Americans, respectively. Institutions eligible for Masters Degree Programs at HBCUs and PBIs are specified in Title VII Sections 723 and 724 of the HEA. An institution that is eligible for and receives an award under HEAs Title III Historically Black Graduate Institutions (HBGIs) or Title V Promoting Postbaccalaureate Opportunities for Hispanic Americans (Section 512) for a fiscal year is not eligible to apply for a grant or receive grant funding under Section 897 Masters Degree Programs for HBCUs and PBIs for the same fiscal year.

Beneficiary Eligibility:

The authorized beneficiaries are underrepresented; low-income; first-generation; minority; undergraduate students.

Credentials/Documentation:

Institutions of Higher Education (IHEs) must submit an application responding to the established selection criteria. Applicants must describe in detail, the need for the project and how the proposed activities will address the goals and objectives of the program. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

This program is subject to the requirements of the regulations in 34 CFR Part 79. Information about Intergovernmental Review of Federal Programs under Executive Order 12372 is in the application package for this program. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Each applicant must submit an application covering a period not to exceed 24-months to the Department of Education.

Award Procedure:

Applications are reviewed for quality and compliance with statutory and regulatory requirements. A discretionary grant is awarded to institutions of higher education if they score within funding range and contingent upon funds

being available.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

An applicant is notified of award status within 30 days of the end of the competition.

Appeals:

Not Applicable.

Renewals:

Mandatory appropriations for PBIs, AANAPISIs, and NASNTIs are available for each fiscal year until 2019. Mandatory appropriations for Masters Degree Programs at HBCUs and PBIs are available every fiscal year until 2014. Awards are generally made around June 30 of each year.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants under Section 371 are made for up to 60 months. Grants under Section 897 are made for 72 months. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Institutions of higher education administering these grants must submit an annual performance report at the completion of each 12-month performance period. IHEs are also required to submit final reports ninety (90) days after the end of the grant. Staff monitor grants drawdown using agency financial reports. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Reporting on performance measures is required as part of the submittal of the required annual performance report.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees must maintain appropriate records pertaining to grant funds, compliance and project performance, for 3 years after the completion of activities for which the grant or subgrant funds were used. This documentation should be sufficient to establish fiscal accountability and facilitate an effective audit.

Account Identification:

91-0201-0-1-502.

Obligations:

(Project Grants) FY 12 \$5,000,000; FY 13 est \$4,745,000; and FY 14 est \$5,000,000 - AANAPISI. (Project Grants) FY 12 \$5,000,000; FY 13 est \$4,745,000; and FY 14 est \$5,000,000 - NASNTI. (Project Grants) FY 12 \$11,500,000; FY 13 est \$10,913,500; and FY 14 est \$11,500,000 - Master's Degree Programs at HBCUs and PBIs. (Project Grants) FY 12 \$15,000,000; FY 13 est \$14,235,000; and FY 14 est \$15,000,000 - PBI.

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

The administration of grant programs is governed by Sections 371 and 897 of the HEA and the Education Department General Administrative Regulations.

Regional or Local Office:

None.

Headquarters Office:

Leonard Haynes Department of Education, Office of Postsecondary Education, Office of Higher Education Programs, Institutional Service, 1990 K. St., N.W., Washington, District of Columbia 20006 Email: leonard.haynes@ed.gov Phone: (202) 502-7549

Website Address:

<http://www2.ed.gov/about/offices/list/ope/itudes/index.html>

RELATED PROGRAMS:

84.031 Higher Education_Institutional Aid

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Selection criteria can be found in EDGAR 34 CFR Part 75.210.

84.403 CONSOLIDATED GRANT TO THE OUTLYING AREAS

FEDERAL AGENCY:

Office of Elementary and Secondary Education, Department of Education

AUTHORIZATION:

Title V, Public Law 95-134, 48 U.S.C 1469a.

OBJECTIVES:

To make an annual consolidated grant to assist an Insular Area in carrying out one or more State-administered formula grant programs of the Department. (i.e., -ESEA, Title I, Part A-Grants to LEAs; ESEA, Title I, Section 1003(g)-School Improvement Grants; ESEA, Title II, Part A-Teacher Quality Grants; ESEA, Title III, English Language Acquisition Grants; ESEA, Title IV, Part B-21st Century Community Learning Centers Grants; ESEA, Title V, Part A-State Grants for Innovative Programs; Adult Education and Family Literacy Act (AEFLA)-Section 243-Adult Education State Administered Program grants; Perkins Act, Title I-Career and Technical Education Basic State Grants; ESEA, Title VI, Part A-State Assessments; McKinney-Vento Homeless Assistance Act-Title VII-B Grants; ESEA, Title II, Part, B-Mathematics and Science Partnerships grants; ESEA, Title VI, Part B, Rural and Low-Income School Program.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

An Insular Area must use the funds it receives under a consolidated grant to carry out, in its jurisdiction, one or more of the programs included in the grant. (See above programs.). An Insular Area may only use and administer funds under the eligible programs to be consolidated described in section 76.125 (c) of Education Department General Administrative Regulations (EDGAR) during a fiscal year for which the Insular Areas is entitled to receive funds under an appropriation for that program.

Applicant Eligibility:

Virgin Islands, Guam, American Samoa and the Commonwealth of the Northern Mariana Islands.

Beneficiary Eligibility:

Contact Program Office.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. An Insular Area applies annually for a grant consolidating two or more of the programs eligible to be consolidated in accordance with the application requirements of

EDGAR 76.131-76.132.

Award Procedure:

The Department awards a single consolidated grant annually to each Insular Area that meets the requirements of EDGAR 76.125 through 76.137 and each program under which the grant funds are to be used and administered. The Department may decide that one or more programs cannot be included in the consolidated grant if it is determined that the Insular Area failed to meet the program objectives stated in its plan for the previous fiscal year in which it carried out the programs. The eligibility of a particular Insular Area to receive grant funds under a Federal education program is determined under the statute and regulations for that program (EDGAR 76.130 (a), (b), (d)).

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. Approval will be granted upon determination that the application meets the requirements of EDGAR 76.131 (1) and (2).

Appeals:

The Department shall not disapprove a consolidated grant, before offering the Insular Area an opportunity to revise the application and a hearing. (See 34 CFR 76.202.).

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Awards generally run for one fiscal year. See the following for information on how assistance is awarded/released: Contact the program office for the information.

Reports:

As required by EDGAR 76.132(5), an annual report is required to be submitted to the Secretary containing information covering the program or programs for which the grant is used and administered, including the financial and program performance information as required under 34 CFR 74.51-74.52 and 34 CFR 80.40-80.41. No cash reports are required. No progress reports are required. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

As required by EDGAR 76.132(7), the grantee keeps records, including a copy of the application document under which funds are to be spent, which show how the funds received under the consolidated grant have been spent.

Account Identification:

91-0400-0-1-501; 01-0200-0-1-501; 91-1300-0-1-501; 91-0203-0-1-501; 91-0900-0-1-501; 91-1000-0-1-501.

Obligations:

(Formula Grants) FY 12 \$69,000,000; FY 13 est \$0; and FY 14 est \$0 - Information for FYs 2012 and FY 2013 is not yet available. Contact the program office for more information.

Range and Average of Financial Assistance:

Awards range from approximately \$8 million to \$20 million.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: No Current Data Available. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

34 CFR 76, 77, 80, 81,82, and 85

Regional or Local Office:

None.

Headquarters Office:

Jenelle Leonard School Support and Rural Programs, Office of Elementary and Secondary Education, Department of Education, 400 Maryland Avenue, S.W., LBJ Bldg. Room 3W203, Washington, District of Columbia 20202 Email: jenelle.leonard@e.gov Phone: (202) 401-3641

Website Address:

<http://www.ed.gov/about/offices/list/oesse/sst/index.html>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

84.407 TRANSITION PROGRAMS FOR STUDENTS WITH INTELLECTUAL DISABILITIES INTO HIGHER EDUCATION

FEDERAL AGENCY:

Office of Postsecondary Education, Department of Education

AUTHORIZATION:

Higher Education Act of 1965, as amended., Title VII, Part D, Section 767 and 777(b), 20 U.S.C 1140G.

OBJECTIVES:

To support model demonstration programs that promote the successful transition of students with intellectual disabilities into higher education.

Funds also will support a coordinating center for institutions of higher education that offer inclusive comprehensive transition and postsecondary programs for students with intellectual disabilities.

TYPES OF ASSISTANCE:

Cooperative Agreements; Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

An institution of higher education (IHE) shall use grant funds to establish a model comprehensive transition and postsecondary program for students with intellectual disabilities that 1) serves students with intellectual disabilities, 2) provides individual supports and services for the academic and social inclusion of students with intellectual disabilities in academic courses, extracurricular activities, and other aspects of the IHEs regular postsecondary program, 3) provides a focus on academic enrichment, socialization, independent living skills, including self-advocacy, and integrated work experiences and career skills that lead to gainful employment, 4) integrates person-centered planning in the development of the course of study for each student with an intellectual disability participating in the model program, 5) participates with the coordinating center established under section 777(b) of the Higher Education Act, as amended, in the evaluation of the model program, 6) partners with one or more local education agencies to support students with intellectual disabilities participating in the model program who are still eligible for special education and related services under the Individuals with Disabilities Education Act, 7) plans for the sustainability of the model program after the end of the grant period, and 8) creates and offers a meaningful credential for student with intellectual disabilities upon the completion of the model program.

The coordinating center will provide recommendations related to the development of standards, provide technical assistance and evaluations for model demonstration programs. The center will assist in the development, evaluation, funding, outreach, and continuous improvement of model transition programs.

Applicant Eligibility:

Institutions of Higher Education or consortia of IHEs are eligible for awards

under this program.

Beneficiary Eligibility:

Grant funds establish model comprehensive transition and postsecondary programs for students with intellectual disabilities. Funds also support a coordinating center that provides technical assistance, for all comprehensive transition and postsecondary programs for students with intellectual disabilities.

Credentials/Documentation:

Each IHE or consortia of IHEs desiring a grant under this program shall submit an application to the Department at such time, in such manner, and containing or accompanied by such information as the Department may reasonably require. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Each IHE or consortia of IHEs must submit an application covering a 5-year period to the Department of Education.

Award Procedure:

Applications are reviewed for quality and compliance with statutory and regulatory requirements, and are scored by peer reviewers based on criteria included in the application package. The Department determines which applications will be funded based on the quality of the applications and the following factors: 1) providing for an equitable geographic distribution of grants, 2) providing grant funds for model comprehensive transition and postsecondary programs for students with intellectual disabilities that will serve areas that are underserved by programs of this type, and 3) giving preference to applicants that form a partnership with any relevant agency serving students with intellectual disabilities such as a vocational rehabilitation agency, integrate students with intellectual disabilities into housing offered to nondisabled students, or involve students attending the IHE who are studying special education, general education, vocational rehabilitation, assistive technology, or related fields in the model program.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. The estimated range of time need to approve/disapprove an application is usually 3 months after the deadline for submission.

Appeals:

Not Applicable.

Renewals:

Grants are awarded for a period of 5 years, subject to the availability of appropriations.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching Requirements: Percent: 25%. Grantees must provide matching funds for model transition programs, in cash or in kind, of at least 25 percent of the cost of the grant.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants are made for up to 5 years, subject to the availability of funds. See the following for information on how assistance is awarded/released: Grants funds are awarded by electronic transfer.

Reports:

Grantees must submit an annual performance report at such time, in such manner, and containing or accompanied by such information as the Department may reasonably require. Cash reports are not applicable. Progress report: Report requirements will be included in grant award documents. Expenditure

reports: Report requirements will be included in grant award documents.
Performance monitoring: Report requirements will be included in grant award documents.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees must maintain appropriate records pertaining to grant funds, compliance and project performance, for 3 years after the completion of activities for which the grant or subgrant funds were used. This documentation should be sufficient to establish fiscal accountability and facilitate an effective audit.

The administration of the discretionary grant program is governed by the statute and EDGAR (34 CFR 74, 75, 77, 79, 82, 84, 86, 97, 98, and 99).

Account Identification:

91-0201-0-1-502.

Obligations:

(Project Grants) FY 12 \$10,957,252; FY 13 est \$10,384,122; and FY 14 est \$0

Range and Average of Financial Assistance:

Not currently available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

The administration of the discretionary grant program is governed by the statute and EDGAR (34 CFR 74, 75, 77, 79, 82, 84, 86, 97, 98, and 99).

Regional or Local Office:

None.

Headquarters Office:

James Davis, Department of Education, Office of Postsecondary Education, Transition Programs for Students with Intellectual Disabilities Into Higher Education, 1990 K Street, N.W., Washington, District of Columbia 20006
Email: james.davis@ed.gov Phone: (202) 502-7802.

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Contact the program office for information on selection criteria.

84.408 POSTSECONDARY EDUCATION SCHOLARSHIPS FOR VETERAN'S DEPENDENTS

Iraq and Afghanistan Service Grant (IASG)

FEDERAL AGENCY:

Department of Education

AUTHORIZATION:

Higher Education Act of 1965, Executive Order Subpart 10, Title IV, Part A, Subpart 10, Section 420R.

OBJECTIVES:

To provide eligible veteran's dependent undergraduate postsecondary students with non-need based grant assistance to help meet educational expenses.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

The student must be an eligible veterans dependent whose parent or guardian was a member of the Armed Forces of the United States and died as a result of performing military service in Iraq or Afghanistan after September 11, 2001. At the time of the parent or guardians death, the student was less than 24 years of age or enrolled at an institution of higher education. The student must also be a U.S. citizen or eligible noncitizen who has been accepted for enrollment in, and is making satisfactory academic progress at an eligible institution of higher education. Eligible schools may be public or private nonprofit institutions of higher education, (such as colleges, universities, vocational-technical schools, hospital schools of nursing), and for-profit institutions (proprietary). Eligible males who are at least 18 years or older and born after December 31, 1959, can receive aid only if they have registered with the Selective Service. Graduate students and students who have already earned a bachelor's degree are not eligible for assistance, except those enrolled in an eligible program leading to a professional certification in teaching.

Applicant Eligibility:

The student must be an eligible veterans dependent whose parent or guardian was a member of the Armed Forces of the United States and died as a result of performing military service in Iraq or Afghanistan after September 11, 2001. At the time of the parent or guardians death, the student was less than 24 years of age or enrolled at an institution of higher education. The applicants must be U.S. citizens or eligible noncitizens and have a high school diploma, or a GED. Applicants must apply annually using the Free Application for Federal Student Aid (FAFSA). To be eligible, the applicant must meet all IAS Grant eligibility requirements, and must not have a Federal Pell Grant eligible Expected Family Contribution (EFC).

Beneficiary Eligibility:

Eligible veterans dependent undergraduate students, and students pursuing a teaching certificate that are U.S. citizens or eligible noncitizens. Students must be: regular students in an eligible program and enrolled in institutions of higher education, making satisfactory academic progress. Incarcerated students, except those incarcerated in local penal facilities, are ineligible. Students must sign a statement of educational purpose, not owe a refund on a Title IV grant, and not be in default on a Title IV loan. Eligible males that are at least 18 years old and born after December 31, 1959, can receive aid only if they have registered with the Selective Service. The eligible veterans dependent student cannot receive both an Iraq and Afghanistan Service Grant and Federal Pell Grant during the same award year.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Student completes a "Free Application for Federal Student Aid" and submits it to the processing agency specified on the form. Students may apply using a paper application, an electronic application, or via the Internet. The Department of Education calculates the student's financial eligibility for assistance and returns a notification to the student of his or her eligibility for assistance. The student submits this notification to the institution of his or her choice in order to have his or her award calculated.

Award Procedure:

Institutions act as disbursing agents for the Department of Education. The institution that the student attends calculates and disburses the IASG. The Secretary shall award grants under this section in the same manner, and with the same terms and conditions, including the length of the period of eligibility, as the Secretary awards Federal Pell Grants, except that the award rules and determination of need applicable to Federal Pell Grants shall not apply to grants under IASG. The amount of the IASG award is the same as the maximum Pell Grant for the award year, adjusted for the students enrollment status and cost of attendance.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

An institution may adjust on a case-by-case basis a student's FAFSA data elements used to calculate his or her EFC and cost of attendance due to unusual circumstances documented by the institution.

Renewals:

Eligibility is determined annually.

Formula and Matching Requirements:

Statutory Formula: Higher Education Opportunity Act (HEOA), Public Law 110-315; Higher Education Act of 1965, Title IV, Part A, Subpart 10, Section 420R, as amended. The Department shall award grants under this formula section in the same manner, and with the same terms and conditions, including the length of the period of eligibility, as the Department awards Federal Pell Grants, except that the award rules and determination of need applicable to Federal Pell Grants shall not apply to grants under IASG. The amount of the IASG award is the same as the maximum Pell Grant for the award year, adjusted for the students enrollment status and cost of attendance.

Matching requirements are not applicable to this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Students are limited to one Iraq and Afghanistan Service Grant during any award year (July 1 through June 30). IASG funds are disbursed by each payment period, at least twice during an award year. Students may only receive an IASG until they have received a bachelor's degree, except for those enrolled in an eligible program leading to a professional certification in teaching. Students are limited to a maximum of 12 semesters or equivalent of IASG funding to receive their bachelors degree. Method of awarding/releasing assistance: lump sum.

Reports:

Not Applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. IAS Grant recipient and all fiscal management records must be maintained by the institutions for a period of 3 years or until an acceptable audit has been completed, whichever is later.

Records:

No Data Available.

Account Identification:

91-0248-0-1-502.

Obligations:

(Formula Grants) FY 12 \$180,000; FY 13 est \$248,000; and FY 14 est \$231,000

Range and Average of Financial Assistance:

For FY 2012, grants will range from \$555-\$5,550; the average grant is estimated at \$4,759. For FY 2013, grants are expected to range from \$564-\$5,645; the average grant is estimated at \$4,428. For FY 2014, grants are expected to range from \$578-\$5,785, the average grant is estimated at \$4,963.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Less than 1,000 recipients are expected. Fiscal Year 2013: Less than 1,000 recipients are expected. Fiscal Year 2014: Less than 1,000 recipients are expected.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations governing administration of the IAS Grant Program are expected to

be similar to the Pell Grant Program found in 34 CFR 600, 668, and 690. Funding Education Beyond High School; Free Application for Federal Student Aid; "The Federal Student Aid Handbook."

Regional or Local Office:

See Regional Agency Offices. Federal Student Aid Information Center. Telephone: 1-800-433-3243. Regional Director, Federal Student Aid, the Director of Student Financial Aid at the institution the student wishes to attend, high school guidance counselors, or directors of State agencies.

Headquarters Office:

Federal Student Aid Information Center, Federal Student Aid, Department of Education, 400 Maryland Ave., S.W., Washington, District of Columbia 20202, Phone: (800) 433-3243

Website Address:

<http://www.ifap.ed.gov> and www.FederalStudentAid.ed.gov

RELATED PROGRAMS:

84.007 Federal Supplemental Educational Opportunity Grants; 84.033 Federal Work-Study Program; 84.042 TRIO_Student Support Services; 84.044 TRIO_Talent Search; 84.047 TRIO_Upward Bound; 84.063 Federal Pell Grant Program; 84.066 TRIO_Educational Opportunity Centers

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Grants are awarded to postsecondary education students based on the provisions of the Higher Education Opportunity Act (HEOA), Public Law 110-315; Higher Education Act of 1965, Title IV, Part A, Subpart 10, Section 420R, as amended.

84.411 INVESTING IN INNOVATION (I3) FUND

FEDERAL AGENCY:

Office of Innovation and Improvement, Department of Education

AUTHORIZATION:

American Recovery and Reinvestment Act of 2009 (ARRA), Title XIV, Public Law 111-5.

OBJECTIVES:

To provide competitive grants to (a) local educational agencies (LEAs) or (b) partnerships between a nonprofit organization and one or more LEAs or a consortium of schools with a record of improving student achievement and attainment in order to expand the implementation of, and investment in, innovative practices that are demonstrated to have an impact on improving student achievement or student growth, closing achievement gaps, decreasing dropout rates, increasing high school graduation rates, or increasing college enrollment and completion rates.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

The Department will award three types of grants: Scale-up, Validation, and Development. Funds will (1) allow eligible entities to expand and develop innovative practices that can serve as models of best practice; (2) allow eligible entities to work in partnership with the private sector and the philanthropic community; and (3) support eligible entities in identifying and documenting best practices that can be shared and taken to scale based on demonstrated success.

Applicant Eligibility:

(1) LEAs and (2) nonprofit organizations in partnership with (a) one or more LEAs or (b) a consortium of schools.

Beneficiary Eligibility:

High-need students in LEAs will benefit.

Credentials/Documentation:

OMB Circulars No. A-110 and A-122 apply to this program. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. The Department of Education implements application procedures and criteria to award grants on a competitive basis. Applicants must apply for one of three types of grants: Scale-up, Validation, or Development and must apply to one of four absolute priorities. Applicants for all types of grants may choose to write to any of six competitive preference priorities. Applications are reviewed by panels of peer reviewers. Points are awarded on the basis of the published selection criteria, are ranked on the basis of the points awarded, and are selected for funding based on the rank order.

Award Procedure:

To be eligible to receive an i3 grant, applicants must implement practices, strategies, or programs for high-need students. An LEA applying on its own must demonstrate that it has: (a) closed achievement gaps or improved achievement for all groups of students, and (b) achieved significant improvement in other areas. A partnership involving a non-profit organization must demonstrate that the organization has a record of significantly improving student achievement, attainment, or retention through its record of work with an LEA or schools. Applicants must also (1) address one of the absolute priorities; (2) secure matching funds from the private sector as specified in the Notice Inviting Applications published in the Federal Register; and (3) meet the evidence requirement applicable to the type of grant for which the applicant applied.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 4 months from receipt of applications.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: Matching requirements are applicable to this program. Applicants must secure matching funds from the private sector as specified in the Notice Inviting Applications published in the Federal Register. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Applicants may request awards of 3, 4, or 5 years. For FY 2012, funds for all years will be awarded in 2012. See the following for information on how assistance is awarded/released: The information will be included in the grant award documents.

Reports:

Annual performance reports (ED 524B). Report requirements will be included in grant award documents. Report requirements will be included in grant award documents. Report requirements will be included in grant award documents.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. All grantees are subject to the provisions of the Single Audit Act, 31 U.S.C. 75, and OMB circular A-133.

Records:

Each recipient must maintain records that fully disclose the total cost of the

activity for which the funds are used, and the share of the cost provided from other sources, and other such records as will facilitate an effective financial or programmatic audit. The recipient must maintain such records for three years after the completion of the activity for which funds are used.

Account Identification:

91-0204-0-1-501.

Obligations:

(Project Grants) FY 12 \$149,417,067; FY 13 est \$141,601,658; and FY 14 est \$215,000,000

Range and Average of Financial Assistance:

Range: \$3,000,000-\$25,000,000; Average: \$7,727,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Made 20 grant awards. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

The Education Department General Administrative Regulations (EDGAR) in 34CFR parts 74, 75, 77, 79, 80, 81, 82, 84, 85, 86, 97, 98, and 99. The notice of final priorities requirements, definitions, and selection criteria (NFP) for this program published in the Federal Register.

Regional or Local Office:

None.

Headquarters Office:

Margo Anderson 400 Maryland Ave. S.W., Washington, District of Columbia 20202 Email: Margo.Anderson@ed.gov Phone: 202-205-3010

Website Address:

<http://www.ed.gov/programs/innovation/index.html>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Projects focused on promoting science, technology, engineering and mathematics (STEM) education, increasing achievement and high school graduation rates in rural schools, supporting effective teachers and principals, implementing high standards and high-quality assessments, and turning around persistently low-performing schools. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Criteria will be contained in the program guidance.

84.412 RACE TO THE TOP EARLY LEARNING CHALLENGE

RTT-ELC

FEDERAL AGENCY:

Department of Education

AUTHORIZATION:

American Recovery and Reinvestment Act of 2009, Executive Order Division A, Title XIV, Sections 14005, 14006 and 14013, Public Law 111-5, as amended, Department of Defense and Full-Year Continuing Appropriations Act, 2011, Consolidated Appropriations Act of 2012.

OBJECTIVES:

To improve the quality of early childhood programs and to close the achievement gap for high-need children. The RTT-ELC grant competition focuses on improving early learning and development programs for young children by supporting States' efforts to: (1) increase the number and percentage of low-income and disadvantaged children in each age group of infants, toddlers, and preschoolers who are enrolled in high-quality early learning programs; (2) design and implement an integrated system of high-quality early learning programs and services; and (3) ensure that any use of assessments conforms with the recommendations of the National Research Council's reports on early childhood.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

The RTT-ELC grants support States efforts to increase the number and percentage of low-income and disadvantaged children in each age group of infants, toddlers, and preschoolers who are enrolled in high-quality early learning programs, and to design and implement an integrated system of high-quality early learning programs and services.

Applicant Eligibility:

States. Contact the program office for additional eligibility criteria.

Beneficiary Eligibility:

Infants, preschool children.

Credentials/Documentation:

Contact the program office. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. A panel appointed by the Secretary reviews each application and evaluates the application on the basis of selection criteria published in the Federal Register. The program office reviews financial information to ensure that costs reflect required program elements. The Secretary makes awards based on applicant scores. This program is subject to coverage under OMB Circular No. A-102.

Award Procedure:

The Secretary of Education and Secretary of Health and Human Services determine which States receive Race to the Top Early Learning Challenge grants and the amount of those grants, on the basis of the States applications under section 1832(b)(1) and other criteria the Secretaries determines to be appropriate.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 60 days following the deadline for submitting applications.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The project period of grants is four years. Method of awarding/releasing assistance: lump sum.

Reports:

Details will be included in grant award documents. Details will be included in grant award documents. Details will be included in grant award documents. Details will be included in grant award documents.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

As required by EDGAR for State-administered programs (34 CFR 76.730-731).

Generally, the State and its subgrantees must retain records related to grant funds and compliance for a period of 3 years from the date the grantee or subgrantee submits its final expenditure report for that funding period. 34 CFR 80.42. Also, all records supporting claims for Federal funds or relating to the accountability of the grantee for the expenditure of such funds must be accessible for administrative review.

Account Identification:

91-0204-0-1-501.

Obligations:

(Project Grants) FY 12 \$133,000,000; FY 13 est \$369,016,050; and FY 14 Estimate Not Available

Range and Average of Financial Assistance:

FY 11: \$44,858,313 - \$69,993,362, average: \$55,254,850; FY 12: To be determined.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: No Current Data Available. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

<http://www.ed.gov/programs/racetothetop-earlylearningchallenge>.

Regional or Local Office:

None.

Headquarters Office:

Deborah Spitz Office of Early Learning, Office of Elementary and Secondary Education, U.S. Department of Education, 400 Maryland Ave., SW, Washington, District of Columbia 20202 Email: deborah.spitz@ed.gov Phone: (202) 260-3793

Website Address:

<http://www.ed.gov/programs/racetothetop-earlylearningchallenge>

RELATED PROGRAMS:

84.173 Special Education_Preschool Grants; 84.181 Special Education-Grants for Infants and Families; 84.416 Race to the Top - District Grants; 93.575 Child Care and Development Block Grant; 93.600 Head Start; 93.708 ARRA - HEAD START; 93.709 ARRA EARLY HEAD START

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Criteria were described in the notices inviting applications in 2011 and 2012.

84.414 GRADUATE RESEARCH OPPORTUNITIES FOR MINORITY STUDENTS (MINORITIES AND RETIREMENT SECURITY PROGRAM)

FEDERAL AGENCY:

Office of Postsecondary Education, Department of Education

AUTHORIZATION:

Social Security Act, Part 1110(a), 42 U.S.C 1310(a).

OBJECTIVES:

To support competitive post-graduate grants to apprentice scholars at selected minority-serving graduate institutions in the area of retirement security (financial literacy, personal savings, labor force planning, spending patterns, personal debt, etc.) for low- to moderate-income individuals.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Grantees will be expected to plan, initiate, and maintain a research program that will broadly cover retirement security issues; disseminate research findings; and train and provide funding support for graduate students to conduct research on retirement security. Eligible institutions must use grant funds for retirement security issues only.

Applicant Eligibility:

Eligible institutions include institutions of higher education (IHE) grantees

receiving grants from the Historically Black Graduate Institutions (HBGIs) program; the Master's Degrees Programs at Historically Black Colleges and Universities (HBCUs); the Promoting Postbaccalaureate Opportunities for Hispanic Americans program; and the Predominantly Black Institutions programs.

Beneficiary Eligibility:

Researchers and graduate students researching retirement security will benefit.

Credentials/Documentation:

Applicants must provide evidence of being grantees from the programs listed under the eligible applicant section. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

An informal preapplication conference is recommended. All applicants must go through the Intergovernmental Review of Federal Programs. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Applications will be screened, reviewed, and scored by the Social Security Administration (SSA) to ensure that SSA standards are met.

Award Procedure:

The Department of Education will review the SSA screened applicants and will award grants from the slate of eligible applicants.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grants will be awarded for 1 year of funding. Method of awarding/releasing assistance: lump sum.

Reports:

Reporting requirement will be included in the grant award documents.
Reporting requirement will be included in the grant award documents.
Reporting requirement will be included in the grant award documents.
Expenditure reports are not applicable. Reporting requirement will be included in the grant award documents.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Reporting requirement are found in EDGAR 74.53(b).

Account Identification:

91-0201-0-1-503.

Obligations:

(Project Grants) FY 12 \$0; FY 13 est \$481,000; and FY 14 est \$0

Range and Average of Financial Assistance:

The Department expects to award 4 awards averaging \$120,000 in FY 2013.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

The requirements set forth in CFDA 96.007 will be used for this program. Funds are available for obligation by the Department of Education until expended. Funds not obligated in one fiscal year may be carried over into the next fiscal year until all funds are expended by the program.

Regional or Local Office:

None.

Headquarters Office:

Nalini Lamba-Nieves Institutional Service
Higher Education Programs
Office of Postsecondary Education
1990 K St. NW, Room 6062, Washington, District of Columbia 20006 Email:
Nalini.Lamba-Nieves@ed.gov Phone: 202-502-7562

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Contact the program office for program criteria.

84.415 STATE TRIBAL EDUCATION PARTNERSHIP (STEP)

FEDERAL AGENCY:

Office of Elementary and Secondary Education, Department of Education

AUTHORIZATION:

ESEA, Title VII, Part A, Subpart 3.

OBJECTIVES:

To (a) promote increased collaboration between Tribal Educational Agencies (TEAs) and State educational agencies (SEAs) in the administration of the Elementary and Secondary Act (ESEA) State-administered formula grant programs; and (b) build the capacity of TEAs to (1) perform certain State-level functions under those programs for schools located on reservations, and thereby increase TEAs' role in the education of American Indian/Alaska Native (AI/AN) students, including education to meet their unique educational and cultural needs, and (2) improve the achievement of AI/AN children.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Funds will be used for the implementation of collaborative agreements, entered into by TEAs and SEAs under which the TEAs perform certain State-level functions under State-administered ESEA formula grant programs for schools located on reservations.

Applicant Eligibility:

Eligible entities include a TEA in partnership with an SEA or a consortium of TEAs in partnership with an SEA.

A TEA consortium application must comply with the Department's regulations governing group applications in 34 CFR 75.127-75.129, and must include a signed consortium agreement that identifies each member of the consortium, binds each member of the group to every statement and assurance made by the applicant in the application, and details the activities that each member of the group will perform under the grant. Letters of support from proposed consortium members do not meet the requirement for a consortium agreement.

Beneficiary Eligibility:

TEAs and SEAs, Indian students and teachers will benefit.

Credentials/Documentation:

To be eligible for an award, an applicant must include evidence that supports

the applicant's eligibility including: (a) a copy of a written signed Preliminary Agreement between the TEA(s) and the SEA; (b) certification by the eligible Indian Tribe that the applicant TEA meets the definition of a TEA; (c) certification by the eligible Indian Tribe that the tribe has land that meets the definition of Indian Reservation and specifies the census designation under which it qualifies; and (d) confirmation by the SEA that the schools participating in the project are public schools operating on the Indian Reservation. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Potential applicants are strongly encouraged to notify the Department of the applicant's intent to apply for funding by sending the following information via email to STEP@ed.gov; (1) applicant name, mailing address and phone number; (2) contact person's name and email address; (3) name of the SEA; (4) whether the applicant intends to apply as a single TEA or a consortium of TEAs.

For more information, see the STEP website:

<http://www2.ed.gov/programs/step/index.html>. Frequently Asked Questions about the program may be found at

<http://www2.ed.gov/programs/step/index.html>. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Applications must be prepared and submitted in accordance with applicable program announcements.

Award Procedure:

Applications will undergo peer review with the final decision on award approval and funding made by the Secretary.

Deadlines:

Aug 09, 2012

Range of Approval/Disapproval Time:

The time of approval is expected to be approximately 2 months following the deadline for applications.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Awards may be made for up to 3 years subject to satisfactory performance and the availability of funds. Method of awarding/releasing assistance: by letter of credit.

Reports:

Reporting requirements will be included in the grant award document. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Specific audit requirements are found in Part 80 of EDGAR.

Records:

As required by EDGAR, 34 CFR 75.730-734.

Account Identification:

91-0101-0-1-501.

Obligations:

(Project Grants) FY 12 \$1,996,000; FY 13 est \$2,000,000; and FY 14 est \$2,000,000

Range and Average of Financial Assistance:

The average award is expected to be \$450,000 for a single TEA or \$600,000 for a consortium of TEAs.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Refer to EDGAR 34 CFR parts 74-75, 77, 79-82, 84-86, 97-99, and the debarment and suspension regulations at 2 CFR Part 3485. Note: Part 79 does not apply to federally-recognized Indian tribes.

Regional or Local Office:

None.

Headquarters Office:

Joyce Silverthorne Office of Indian Education
400 Maryland Ave, SW, Washington, District of Columbia 20202 Email:
Joyce.Silverthorne@ed.gov Phone: 202-401-0767

Website Address:

<http://www2.ed.gov/about/offices/list/oese/oie/index.html>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Selection criteria are contained in the Education Department General Administrative Regulations (EDGAR) 34 CFR 75.210.

84.416 RACE TO THE TOP - DISTRICT GRANTS

FEDERAL AGENCY:

Office of Elementary and Secondary Education, Department of Education

AUTHORIZATION:

American Recovery and Reinvestment Act (ARRA), Section 14005-14006; Consolidated Appropriations Act of 2012, Public Law 112-74.

OBJECTIVES:

To fund local educational agencies in the development and implementation of strategies, structures and systems with personalized, student-focused approaches to teaching and learning that use collaborative, data-based strategies and tools to deliver instruction and supports tailored to the needs and goals of each student, with the goal of enabling all students to graduate college- and career-ready.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

To fund local educational agencies in the development and implementation of strategies, structures and systems with personalized, student-focused approaches to teaching and learning that use collaborative, data-based strategies and tools to deliver instruction and supports tailored to the needs and goals of each student, with the goal of enabling all students to graduate college- and career-ready. Grants will provide funding for local educational agencies (LEAs), or a consortium of LEAs, to create student centered learning environments that are designed to: significantly improve teaching and learning through the personalization of strategies, tools, and supports for teachers and students that are aligned with college- and career-ready standards; increase the effectiveness of educators, and expand student access to the most effective educators in order to raise student achievement; decrease the achievement gap across student groups; and increase the rates at which students graduate from high school prepared for college and careers.

Applicant Eligibility:

Only local educational agencies or a consortium of LEAs are eligible

applicants.

Beneficiary Eligibility:

States, local educational agencies, public elementary and secondary schools, students, parents and teachers will benefit.

Credentials/Documentation:

Circular A-87 applies to this program. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applicants are encouraged to notify the program office of its intent to apply. Applicants will submit an application that meets the eligibility and applications requirements, addresses the absolute priorities, and responds to selection criteria.

Award Procedure:

Peer reviewers will read and score eligible applicants. Awards will be made from a rank-order list of highly scored applications based on quality according to the selection criteria and using other relevant information deemed appropriate.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Funds will be awarded in a lump sum by December 31 for the entire 48 month project period. All funds will expire 4 years from award date. Method of awarding/releasing assistance: lump sum.

Reports:

Contact the program office for details. Contact the program office for details. Contact the program office for details. Contact the program office for details.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

As required by EDGAR for direct grant programs (34 CFR 75.730-732), the grantee must keep records of funds received under the grant, total cost of the project, and other records to facilitate an effective audit. Additionally, the grantee must keep records of compliance with program requirements, significant project experiences and results, accomplishing project objectives and as necessary, revising project objectives. EDGAR (34 CFR 80.42) also requires that all records be retained from the starting date of the grant to three years from the date the grantee submits its final reports (programmatic and financial).

Account Identification:

91-2024-0-1-501.

Obligations:

(Project Grants) FY 12 \$384,388,849; FY 13 est \$120,000,000; and FY 14 est \$0

Range and Average of Financial Assistance:

Varies depending on the number of students served.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Please see <http://www2.ed.gov/programs/racetothetop-district/index.html>.

Regional or Local Office:

None.

Headquarters Office:

Meredith Farace Implementation and Support Unit
Office of the Deputy Secretary
400 Maryland Ave, SW, Washington, District of Columbia 20202 Email:
Meredith.Farace@ed.gov Phone: 2024018368

Website Address:

<http://www.ed.gov/programs/racetothetop-district>

RELATED PROGRAMS:

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria will be included in the program guidance.

84.417 DIRECTED GRANTS AND AWARDS

FEDERAL AGENCY:

Department of Education

AUTHORIZATION:

Act to Promote the Education of the Blind, 20 U.S.C 101; Education for the Deaf Act; Higher Education Act.

OBJECTIVES:

Objectives of the programs vary based on the directed grant award. Awards are made to specified institutions for purposes specified in the appropriations or authorization bills.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

Awards are made to specified institutions for purposes specified in the appropriations or authorization bills. Restrictions are included in the award documents for grant.

Applicant Eligibility:

The use of the grants varies based on the appropriation and authorization language.

Beneficiary Eligibility:

The National Technical Institute for the Deaf (NTID), American Printing House for the Blind (APHB), Gallaudet University, Howard University, and other institutions and organization will benefit.

Credentials/Documentation:

Credentials and documentation requirements vary and are included in award documents. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This

program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

Award procedures vary by grant and are included in the award documents.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Funds are available for one year unless otherwise specified in grant award documents. Method of awarding/releasing assistance: by letter of credit.

Reports:

Reporting requirements are specified in grant award documents. Reporting requirements are specified in grant award documents. Reporting requirements are specified in grant award documents. Performance monitoring is not applicable.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. Audit requirements are specified in grant award documents.

Records:

Records requirements are specified in grant award documents.

Account Identification:

91-0600-0-1-502; 91-0601-0-1-502; 91-0603-0-1-502; 91-0602-0-1-502.

Obligations:

(Direct Payments for Specified Use) FY 12 Not Separately Identifiable; FY 13 Not Separately Identifiable; and FY 14 Not Separately Identifiable

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Nancy Martin Rm 5W341
400 Maryland Ave., SW, Washington, District of Columbia 20202 Email:
NANCY.MARTIN@ED.GOV Phone: 202.401.0292

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

84.418 PROMOTING READINESS OF MINORS IN SUPPLEMENTAL SECURITY INCOME PROMISE

PROMISE

FEDERAL AGENCY:

Department of Education

AUTHORIZATION:

Authorized under the Fiscal Year 2012 Consolidated Appropriations Act (P.L. 112-74) and the Department of Defense Military Construction and Veterans Affairs and Full-Year Continuing Appropriations Act 2013 (P.L. 113-6).

OBJECTIVES:

To foster improved health, education, and post-secondary outcomes for children ages 14-16 (at the time they begin to receive services) who receive Supplemental Security Income (SSI), as well as their families, by supporting improved coordination of various services, such as those available through the Individuals with Disabilities Education Act, the Vocational Rehabilitation State Grants program, Medicaid health and home and community-based services, Job Corps, Temporary Assistance for Needy Families (TANF), and Workforce Investment Act programs. PROMISE also seeks to facilitate the increased use of such services, ensuring that families are tied into programs for which they might be eligible, but are not yet participating.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Funds must be used to support the objectives of the program.

Applicant Eligibility:

Eligible applicants are the 50 States and the District of Columbia. A consortium of States may also apply.

Beneficiary Eligibility:

Youth with disabilities receiving SSI benefits who are between the ages of 14-16 (at the time they begin to receive services), and their families.

Credentials/Documentation:

Contact program office. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. The regulations in 34 C.F.R. Part 80 (Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments) implement OMB Circular A-102. The standard application forms as furnished by the Office of Special Education and Rehabilitative Services must be used for this program. Information about submitting applications for this program can be found in the Notice Inviting Applications for this program. Contact the program office for more information.

Award Procedure:

The Department will screen applications submitted in accordance with the requirements in the Notice Inviting Applications for the program, and will determine which applications have met eligibility requirements and other requirements in this Notice. The Department may use more than one tier of reviews in determining grantees. Additional information about the review process will be published on the programs Web site.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days.

Appeals:

Not Applicable.

Renewals:

A continuation awards may be made annually for up to 5 years throughout the project period of an approved multi-year grant, depending upon the availability of appropriations and the Department's determination that the grantee has made substantial progress in meeting the objectives of the approved application.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

PROMISE is a three-year project with a two-year extension option. Subject to the availability of appropriations. Method of awarding/releasing assistance: lump sum.

Reports:

Reporting requirements will be included in the grant documents. No cash reports are required. Reporting requirements will be included in the grant documents. Reporting requirements will be included in the grant documents. Reporting requirements will be included in the grant documents.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

As required by EDGAR, the awardee shall maintain accounts, records, and other evidence pertaining to all costs incurred, revenues or other applicable credits acquired under its award, as stated in grant or contract terms and conditions.

Generally, records related to grant funds, compliance, and performance must be maintained for a period of five years after completion. (34 CFR 74.53 and 34 CFR 75.730-732).

Account Identification:

91-0300-0-1-501.

Obligations:

(Project Grants) FY 12 \$0; FY 13 est \$125,000,000; and FY 14 Estimate Not Available

Range and Average of Financial Assistance:

Estimated Available Funds: \$4,500,000 to \$10,000,000 per year for up to 5 years.

Maximum Awards: Any application that proposes a budget exceeding \$10,000,000 for a single budget period of 12 months will be rejected.

Estimated Number of Awards: 3-6.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This initiative is authorized under the Fiscal Year 2012 Consolidated Appropriations Act (P.L. 112-74). More information is available in the Notice Inviting Applications published on May 21, 2013. Additional information on PROMISE can be found online at www.ed.gov/promise.

Regional or Local Office:

None.

Headquarters Office:

Corinne Weidenthal Office of Special Education and Rehabilitative Services, U.S. Department of Education, 400 Maryland Ave., SW

, Washington, District of Columbia 20202 Email: corinne.weidenthal@ed.gov
Phone: 202-245-6529

Website Address:

<http://www.ed.gov/promise>

RELATED PROGRAMS:

96.006 Supplemental Security Income

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria for selecting among grant applications will normally be selected from those in the Education Department General Administrative Regulations (EDGAR), 34 CFR Part 75.210. Contact the program office for additional information.

CHRISTOPHER COLUMBUS FELLOWSHIP FOUNDATION

85.102 CHRISTOPHER COLUMBUS AWARDS

FEDERAL AGENCY:

Christopher Columbus Fellowship Foundation

AUTHORIZATION:

Christopher Columbus Quincentenary Coins and Fellowship Foundation, Title IV, Section 400-429, Public Law 102-281, 106 Stat. 139-145, 20 U.S.C 5701-5708.

OBJECTIVES:

The Christopher Columbus Awards is a national, community-based STEM education program for middle school students. The program challenges the students to work in teams of three to four with an adult coach, to identify an issue in their community and apply the scientific method to create an innovative solution to the problem.

TYPES OF ASSISTANCE:

Project Grants (Special)

USES AND USE RESTRICTIONS:

All members of two Gold Medal winning teams are presented with monetary awards and one team wins the Columbus Foundation Community Grant. The grant must be used to further develop the team's solution to the community issue they addressed in the competition. The grant team will work with a community partner on the project throughout the next year.

Applicant Eligibility:

Applicants are teams of three to four students in sixth through eighth grade with a coach, who identify a problem in their community and solve it using the scientific method.

Beneficiary Eligibility:

U.S. citizens, middle school students.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Application materials may be requested by individuals who meet the eligibility requirements stated above. Entry forms and all competition information are available for download at: www.christophercolumbusawards.com or may be requested by calling (800) 291-6020 ext. 3154. Completed entries must be received by February 4, 2013. The program is sponsored by the Christopher Columbus Fellowship Foundation and managed by MMS Education, 105 Terry Drive, Newtown, PA 18940, Attn: Stephanie Hallman. Entries are accepted from teams of three to four students in grades six to eight from all 50 states, territories and possessions only. All team entries must include: a Christopher Columbus Awards Entry form (signed by team members and the coach), a team-written section and the visual component. To enter, mail the original and two copies of the team's community solution to: Christopher Columbus Awards, 105 Terry Drive, Suite 120, Newtown, PA 18940.

Award Procedure:

The Christopher Columbus Awards have three judging phases. The teams' entries are reviewed by a regional panel of judges who select 30 semifinalist teams. Another judging panel selects eight finalist teams and their coaches. The finalist teams receive an all-expense-paid trip to Florida to attend The Christopher Columbus Academy and National Championship Week, where

they compete for monetary awards and the \$25,000 Columbus Foundation Community Grant. The finals and the Christopher Columbus Academy are held at Walt Disney Resorts, Orlando, FL, from June 9-14, 2013.

Deadlines:

Feb 04, 2013 The deadline is in early February each year.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

12-18 months. See the following for information on how assistance is awarded/released: Monetary awards are presented following the awards ceremony. The grant is paid in installments upon the approval and under the direction of the Program Manager.

Reports:

Program reports are not applicable. No cash reports are required. Interim progress reports regarding the grant project. Final report on project. Receipts of all expenses and travel incurred regarding the grant project. Performance monitoring is not applicable.

Audits:

Not Applicable.

Records:

No Data Available.

Account Identification:

76-8187-0-7-502.

Obligations:

(Project Grants (Special)) FY 12 \$206,941; FY 13 est \$273,000; and FY 14 est \$273,000

Range and Average of Financial Assistance:

\$2,000 Monetary Awards
\$25,000 Grant.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: In 2012, two of the six Finalist teams received Gold Medals and \$2,000 per team member for their winning projects. The competition is designed for teams of middle school-age students, and gives the students experience in solving problems using the scientific process of exploring, analyzing and drawing conclusions. The Incredibleyor! team comprised of three members from Gahanna Middle School, Gahanna, OH and one member from Mont Vernon Village School, Mont Vernon, NH, won Gold Medals and \$2,000 for each team member. Highlighting the problem of dangerous bacteria on grocery store conveyor belts that can contaminate food, the team developed the Incredibleyor, a checkout belt made of hydrophobic, Teflon-coated glass fiber fabric that is continuously sanitized. The Pinelands Eco Scientists team from All Saints Regional Catholic School, Manahawkin, NJ, won Gold medals and \$2,000 for each team member. Highlighting many third world countries' dependence on wood as primary source of fuel, the team developed a low-cost briquette press that utilizes bio-waste products as a new source of cooking fuel. Fiscal Year 2013: The competition is designed for teams of middle school-age students, and gives the students experience in solving problems using the scientific process of exploring, analyzing and drawing conclusions. In 2013, two of the eight finalist teams received gold medals and \$2,000 each for their winning entries. The finalist teams were judged a second time for the practicality of implementing their idea. The winning team received the \$25,000 Columbus Foundation Community Grant to take back to their community to make their innovation a reality. Additionally, one team was recognized with a special Chairman's Award with each team member receiving \$500 for their

winning entry.

The Biodiesel Weasels team from Pinnacle Charter School, Federal Heights, CO, won gold medals and \$2,000 for each team member. Highlighting the problem of increased transportation costs, the team's solution was to "top off" fuel tanks of the district's buses with biodiesel fuel to help reduce transportation costs. The S.L.I.D.E.: Solar Light Indicating Danger Ecologically from the STEM Science Team, Roan Mountain, TN, won gold medals and \$2,000 for each team member. Highlighting the significant danger of playground equipment becoming hot enough to cause second- to third-degree burns, the team developed S.L.I.D.E. - a solar powered warning system designed to detect playground equipment surface temperatures and to visually alert playground visitors when the surface becomes dangerously hot. The Zero Waste Team from Charter Oaks Academy, Hockessin, DE, won the \$25,000 Columbus Foundation Community Grant. Highlighting the fact that Delaware landfills are almost to full capacity, the team developed an outreach program consisting of residential curbside composting, business composting and composting education to educate the community about this problem. The Chairman's Award was presented to the Flame On! team from Whiteface Science Rocks U, Whiteface, TX, with \$500 for each team member. Living in an area prone to wildfires, the team was concerned about the high cost of damages to homes caused by wildfires. The team redesigned a roofing shingle that contains polymers to help reduce a rooftop's flammability, thereby lessening the damages sustained by homes in wildfire areas. Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None. Main Foundaton website:

<http://www.christophercolumbusfoundation.gov>.

Headquarters Office:

Judith Shellenberger, 110 Genesee Street, Suite 390, Auburn, New York 13021
Email: JUDITHMSCOLUMBUS@CS.COM Phone: (315) 258-0090 Fax: (315) 258-0093.

Website Address:

<http://www.christophercolumbusawards.com> or <http://www.columbusfdn.org>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

85.104 LIFE SCIENCES AWARDS

FEDERAL AGENCY:

Christopher Columbus Fellowship Foundation

AUTHORIZATION:

Christopher Columbus Quincentenary Coins and Fellowship Foundation, Title IV, Section 400-429, Public Law 102-281, 106 Stat. 139-145, 20 U.S.C 5701-5708.

OBJECTIVES:

The Life Sciences Awards are designed to encourage and promote cutting edge innovation in the field of life sciences. Monetary Awards will be presented to a scientist; a high school educator; and two high school students.

TYPES OF ASSISTANCE:

Direct Payments with Unrestricted Use

USES AND USE RESTRICTIONS:

No restrictions for Direct Payments for award to scientists, high school educator or current high school students.

Applicant Eligibility:

This is a competition NOT a grant application. Individuals compete and their accomplishments are judged on how they exemplify excellence in life sciences.

Nominees must be involved in the field of life sciences research or life sciences education as follows: scientist; current high school educator; current high school students in Biology, Chemistry or other life sciences courses.

Beneficiary Eligibility:

In public/private partnership with the U.S. Chamber of Commerce, the Foundation will present four Life Sciences Awards to U.S. citizens permitted by their employer or any other relevant authority to accept a monetary award bestowed by the Christopher Columbus Fellowship Foundation, a Federal government agency. Four monetary awards will be presented as follows: one \$10,000 award to a scientist; one \$5,000 award to a current high school educator; and two \$1,000 awards to current high school students in Biology, Chemistry or other life sciences course who are judged to exemplify excellence in life sciences.

Distinguished Life Sciences Scientist Award

The \$10,000 Distinguished Life Sciences Scientist Award will be presented to a scientist who is making or has recently made a significant and positive contribution related to developing a "cutting edge" innovation in the field of life sciences.

Life Sciences Educator Awards

The \$5,000 Life Sciences Educator Award will be presented to a current high school educator, with at least five years of teaching experience, and who is making or has recently made a significant and positive contribution related to promoting the study of life sciences to students.

Life Sciences Student Awards

Two \$1,000 Life Sciences Student Awards will be presented to current high school students who are making or have recently made significant and positive contributions related to the study of Biology, Chemistry and other life science courses.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Only Nominations that meet the eligibility requirements are accepted. Nominations will be accepted from all 50 States. All Nominations are received online at www.columbusfoundationawards.org. The deadline is Tuesday, February 12, 2013.

Award Procedure:

All Nominations are read by the Board of Trustees of the Christopher Columbus Fellowship Foundation to select the Semifinalists. Nominators are notified of the Nominees selection as a Semifinalist and asked to provide background material to support the Nomination. A national Evaluation Committee reviews and comments on the Semifinalists background material. The Foundation Board and the U.S. Chamber ultimately select the winners. The Awards will be presented in Washington, D.C., in July 2013.

Deadlines:

Feb 26, 2013

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Not applicable. Lump sum payments awarded at ceremony. Method of awarding/releasing assistance: lump sum.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

Not applicable.

Account Identification:

76-8187-0-7-502.

Obligations:

(Direct Payments for Specified Use) FY 12 \$17,000; FY 13 est \$0; and FY 14 est \$17,000 - In 2010 and 2011 only, an additional \$25,000 in research funds was presented to the recipient of the Chairmen's Distinguished Life Sciences Award to be used to hire a graduate student to assist with the research or the funds may be used toward the research itself. In order to receive the research funds, a comprehensive outline on the research project must be submitted and approved by the Foundation. Discontinued in 2012. (Direct Payments with Unrestricted Use) FY 12 \$17,000; FY 13 est \$0; and FY 14 est \$17,000 - In 2008 and 2009, Awards were presented as follows: one \$25,000 Award per year to a scientist; one \$10,000 Award per year for a high school AP Biology or AP Chemistry teacher; one \$5,000 Award per year for an AP Biology student and one \$5,000 Award per year for an AP Chemistry student.

In 2010 and 2011, the number of awards was increased to seven as follows: one \$25,000 award to a scientist with an additional \$25,000 for research funds; three \$10,000 awards to current high school educators; and three \$5,000 awards to current high school students who are judged to exemplify excellence in the field of life sciences. Two high school student awards were presented in 2010. In 2012 and 2013, one \$10,000 Distinguished Life Sciences Scientist Award, one \$5,000 Life Sciences Educator Award and two \$1,000 Life Sciences Student Awards will be presented.

Range and Average of Financial Assistance:

\$1,000-\$10,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: In 2012, the Fifth Annual Life Sciences Awards, sponsored by the Christopher Columbus Fellowship Foundation and the U.S. Chamber of Commerce were presented on July 19, in Washington, D.C. The Life Sciences Awards are intended to recognize, through four monetary awards, a scientist; one high school educator; and two current high school students all of whom exemplify excellence in the field of life sciences. The 2012 Life Sciences Awards recipients are:

Daniel G. Colley, Ph.D., Professor of Microbiology and Director of the Center for Tropical and Emerging Global Diseases, University of Georgia, Athens, Georgia, was awarded the 2012 \$10,000 Distinguished Life Sciences Scientist Award. Dr. Colley is a renowned scientist most notably in the area of tropical medicine and parasitology, with a main focus on the immunology of schistosomiasis, a debilitating, chronic worm disease that affects 240 million people worldwide, in order to control and eliminate it.

Camilla Walck, Ph.D., International Baccalaureate (IB) Biology Teacher, Princess Anne High School, Virginia Beach, Virginia, was awarded the 2012 \$5,000 Life Sciences Educator Award.

For the past 17 years, Dr. Walck has worked for the City of Virginia Beach Public Schools. Dedicated to teaching and learning both in and out of the classroom, her passion for biology is contagious - engaging and exciting her students to learn about the scientific world. A National Board Certified teacher, an adjunct professor at Virginia Wesleyan College, and an international evaluator for International Baccalaureate biology, Dr. Walck was selected as one of six teachers to visit Cardiff, England to collaborate on the IB grading process.

Vaishnavi Rao, Junior, Canyon Crest Academy, San Diego, California, was awarded the 2012 \$1,000 Life Sciences Student Award. Vaishnavi's passion and

love for science, especially for neuroscience and the brain, began in elementary school. Most recently, her pioneering research on neurotransmitter plasticity at the University of California, San Diego, has been recognized as having far-reaching applicability in potential therapies targeting neurological disorders such as stroke, Alzheimer's disease, epilepsy and Parkinson's disease. Her work has received tremendous recognition at local, State as well as International (Intel ISEF) Science Fairs. Recently, she also won the San Diego Brain Bee 2012 and placed 6th overall at the USA National Brain Bee competitions held in Baltimore, Maryland.

Justin Roth, Sophomore, West Branch High School, West Branch, Iowa, was awarded the 2012 \$1,000 Life Sciences Student Award. Taking accelerated math and science classes beginning in elementary school, Justin joined an extra-curricular science club in middle school. As a result of the science club projects he has been involved in, three ordinances have been introduced in the town of West Branch, three bills have been introduced to the Iowa State Legislature (with one being passed), and West Branch High School has started saving \$10,000 annually on its energy costs, brought about by his eighth grade science club team's research into energy cost savings brought about by replacing high-cost, low efficiency T12 fluorescent bulbs to T8 lighting. Justin has also worked with his church to help establish a community clothes pantry to enable those in need to receive clothes and school supplies at no cost to them. He also volunteers in packaging many shipments of food to Africa and Haiti through Kids Against Hunger. In addition, Justin enjoys participating in his high school's extra-curricular activities. He plays football and wrestles and plays trombone in the marching, concert, jazz, and show choir bands. Fiscal Year 2013: This program was deferred for FY 2013. Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None. Main website: <http://www.christophercolumbusfoundation.gov>.

Headquarters Office:

Judith Shellenberger, 110 Genesee Street, Suite 390, Auburn, New York 13021
Email: JUDITHMSCOLUMBUS@CS.COM Phone: (315) 258-0090 Fax: (315) 258-0093.

Website Address:

<http://www.ccolumbusfoundationawards.org>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

85.105 AGRISCIENCE AWARDS

FEDERAL AGENCY:

Christopher Columbus Fellowship Foundation

AUTHORIZATION:

Christopher Columbus Quincentenary Coins and Fellowship Foundation, Title IV, Section 400-429, Public Law 102-281, 106 Stat. 139-145, 20 U.S.C 5701-5708.

OBJECTIVES:

To encourage and promote "cutting edge" innovation in the field of Agriscience.

TYPES OF ASSISTANCE:

Direct Payments with Unrestricted Use

USES AND USE RESTRICTIONS:

No restrictions.

Applicant Eligibility:

Nominees must be involved in the field of Agriscience research or Agriscience education in one of the following areas: scientist; current high school educator;

or current high school students.

Beneficiary Eligibility:

In public/private partnership with the American Farm Bureau Federation, the Foundation will present eight Agriscience Awards to U.S. citizens permitted by their employer or any other relevant authority to accept a monetary award bestowed by the Christopher Columbus Fellowship Foundation, a Federal government agency. Four monetary awards will be presented as follows: \$10,000 Distinguished Agriscience Scientist Award will be presented to a scientist who is making or has recently made significant and positive contributions in the field of agriscience.

\$5,000 Agriscience Educator Award will be presented to a current high school educator, with at least 5 years of teaching experience, and who emphasizes concepts, principles and applications in their curriculum to promote the study of agriscience to students.

Two \$1,000 Agriscience Student Awards will be presented to current high school students who are making or have recently made significant and positive contributions related to the study of agriscience.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Only Nominations that meet the eligibility requirements stated above are accepted. Nominations will be accepted from all 50 States. All Nominations are received online at www.agriscienceawards.com. The deadline is Tuesday, January 29, 2013.

Award Procedure:

The Awards are sponsored by a public-private partnership between the Foundation and the American Farm Bureau Federation. All Nominations are read by the Board of Trustees of the Christopher Columbus Fellowship Foundation to select the Semifinalists. Nominators are notified if a Nominee is selected as a Semifinalist and asked to provide background material to support the Nomination. A national Evaluation Committee reviews, ranks and comments on each Semifinalist. The Board of Trustees ultimately selects the winners of each award. The Award will be presented in Washington, D.C., on July 8, 2013.

Deadlines:

Feb 12, 2013

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Direct lump sum payments to recipients at Awards ceremony. Method of awarding/releasing assistance: lump sum.

Reports:

No reports are required.

Audits:

Not Applicable.

Records:

No Data Available.

Account Identification:

76-8187-0-7-502.

Obligations:

(Direct Payments for Specified Use) FY 12 \$0; FY 13 est \$0; and FY 14 est \$0 - Two research awards totalling \$50,000 for FY 2010 and FY 2011.

Discontinued in FY 2012. (Direct Payments with Unrestricted Use) FY 12 \$17,000; FY 13 est \$17,000; and FY 14 est \$17,000 - Eight direct payments of unrestricted use awards totalling \$95,000 in lump sum payments in FY 2010 and FY 2011. In 2012 and 2013, four direct payment of unrestricted use totalling \$17,000 will be presented.

Range and Average of Financial Assistance:

\$1,000 - \$10,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: In 2012, the Third Annual Agriscience Awards, sponsored by the public-private partnership of the Christopher Columbus Fellowship Foundation, a Federal government agency, and the American Farm Bureau Federation were presented on July 10, in Washington, D.C. The Awards are intended to recognize, through four monetary awards, a scientist; one high school educator; and two current high school students who are judged to exemplify excellence in the field of agriscience and to highlight the importance of agriculture in the 21st century. The 2012 Agriscience Awards recipients are:

Andrew Sharpley, Ph.D., Professor in the Department of Crop, Soil and Environmental Sciences, Division of Agriculture, University of Arkansas in Fayetteville, AR, was awarded the \$10,000 Distinguished Agriscience Scientist Award. Dr. Sharpley's research investigates the fate of phosphorus in soil-plant-water systems in relation to soil productivity and the effects of agricultural management on water quality. He also evaluates the role of stream and river sediments in modifying phosphorus transport and response of receiving lakes and reservoirs. He developed decision-making tools for agricultural field staff to identify sensitive areas of the landscape and to target management alternatives and remedial measures that have reduced the risk of nutrient loss from farms. Dr. Sharpley works closely with producers, farmers and action agencies, stressing the dissemination and application of his research findings.

Matthew B. Eddy, Agriscience Educator and FFA Advisor, Southeast Polk High School, Pleasant Hill, IA, was awarded a \$5,000 Agriscience Educator Award. Mr. Eddy has taught agriculture education for 13 years, teaching at Southeast Polk for the past eight years. Participating in the inaugural CASE curriculum institute in 2009, he earned the level of Master Teacher in 2012. Matthew's agriculture program emphasizes STEM concepts in agriculture, a rigorous curriculum that has been recognized on the State and national level. He was recently recognized as having the Iowa Outstanding High School Agriculture Education program and as a finalist for the National FFA Agriscience Teacher Award.

Michelle Chin, Junior, West Shore Jr./Sr. High School, Melbourne, FL, was awarded a \$1,000 Agriscience Student Award. Michelle's science research concentrates on genetic engineering and biotechnology using organic and transgenic crops. Under the supervision of Dr. Sixue Chen at the University of Florida, she worked on her project that uses plants to phytoremediate selenium contaminants, an effective, inexpensive and non-intrusive means of in situ reduction and removal of environmental contaminants. She hopes her research will aid worldwide efforts to alleviate chemical run-off and environmental pollution. In 2011, Michelle won the Green Connection scholarship, sponsored by the French government. Her two week trip to France to study environmental protection fostered her passion for protecting the environment, which led her to create a website to promote sustainability. She was recently selected as one of the 2012 Yings Scholars in the Dr. Nelson Ying Science Competition, which encourages students to help humanity through science research.

Jill Dolowich, Senior, Jericho High School, Jericho, NY, was awarded a \$1,000 Agriscience Student Award. Jill has developed a strong interest in environmentalism and conservation through her extensive entomology research at both Yale University and Michigan State University. She was interested in exploring honeybee populations, and ultimately her goal was to spread the word

about the environmental impact of declining insect populations. Jill has been involved in many activities throughout high school. Her passion for writing about scientific and environmental news led to her role as New York Correspondent for Mother Nature Network. She also authored an article entitled, "Flight of the Honeybees," in the Johns Hopkins University national online publication (Cogito.org). In the fall, Jill will attend Yale University, where she plans to major in Biology and Environmental Studies. Fiscal Year 2013: Michael P. Doyle, Ph.D. - Regents Professor and Director, Center for Food Safety, University of Georgia, Griffin, GA, was awarded the 2013 \$10,000 Distinguished Agriscience Scientist Award. Dr. Doyle's research focuses on food safety and security and works closely with the food industry, government agencies and consumer groups on issues related to the microbiological safety of foods. He has received several awards for his research accomplishments, including the Nicholas Appert Award of the Institute of Food Technologists, the Public Health Honor Award of the Centers for Disease Control and Prevention, and the Scientific Achievement Award of the American Meat Institute Foundation. He also serves on food safety committees of many scientific organizations and has served as a scientific advisor to many groups, including the World Health Organization, the Institute of Medicine, the National Academy of Science-National Research Council, the International Life Sciences Institute-North America, the Food and Drug Administration, the U.S. Department of Agriculture, the U.S. Department of Defense, and the U.S. Environmental Protection Agency.

Sara Clark, Ph.D. - Agriscience Educator, Sonorville High School, Calhoun, GA, was awarded the 2013 \$5,000 Agriscience Educator Award. Dr. Clark has taught agricultural education for over 20 years and motivates secondary school students to develop their personal interest from the many fields of agriscience. She provides opportunities and facilitates the learning process by providing an environment that encourages students to explore. Sonorville High Schools Agriscience student body has won many State and national FFA CDEs through the implementation of inquiry-based learning, teaching others, and self-directed learning. Sara has taught at Sonorville for six years and was recognized as Sonorvilles Teacher of the Year, Outstanding Agricultural Instructor for Georgia, National FFA Alumni Instructor for Georgia, and a finalist for the National FFA Agriscience Teacher Award. She completed the National Agriscience Teacher Ambassadors program last year and is National Board certified.

Jillian Drake, Senior, Fallbrook Union High School, Fallbrook, CA, was awarded a 2013 \$1,000 Agriscience Student Award. Jillians agriscience research has concentrated on the plant pathogen *Candidatus Liberibacter* which causes severe diseases in citrus and solanaceous crops, such as tomato, potato and pepper. She has worked at the USDA Agricultural Research Services National Clonal Germplasm Repository for Citrus & Dates in Riverside, CA. Jillian completed multi-year projects researching new methods of disease detection and the role of bacteriophage in disease expression for this pathogen. As this bacterium is non-culturable, it requires DNA analysis for its detection, and through her research Jillian was able to validate a new method of DNA extraction of the psyllid vector which was more efficient and less costly, while maintaining sensitivity. Additionally, Jillian was able to determine that lytic bacteriophage appears to increase disease virulence within plants infected with this pathogen. Jillian plans to continue her research at the USDA-ARS laboratory on this pathogen. Jillian has received numerous awards for her agriscience research, including the California Star in Agriscience Award, the FFA California State overall best individual project multiple times, as well as the California State proficiency in both Agriscience Research Plant Division and Integrated Systems.

Kellie Einck, Junior, South O'Brien High School, Paullina, IA, was awarded a 2013 \$1,000 Agriscience Student Award. Kellie's love of science inspired her to concentrate her research on alternative energy fuels. During an animal science class, she noticed a correlation between a ruminant animal's digestion process through its four stomachs and ethanol fermentation. After that, she constructed an artificial rumen and hopes to improve efficiency in ethanol production through perfecting her research using bacteria from bovine rumen. Currently, Kellie is enrolled at Northwest Iowa Community College in Diesel Technology while maintaining a 3.98 GPA at South OBrien High School. She presented her science research at the National FFA Agriscience Fair twice,

earning 4th and 6th place. At the International Sustainable Worlds science fair in Houston, she received Honorable Mention, and in 2012 she won DuPont Pioneers Women of Innovation scholarship. Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None. Main Foundation website:
<http://www.christophercolumbusfoundation.gov>.

Headquarters Office:

Judith Shellenberger, 110 Genesee Street, Suite 390, Auburn, New York 13021
 Email: JUDITHMSCOLUMBUS@CS.COM Phone: (315) 258-0090 Fax: (315) 258-0093.

Website Address:

<http://www.agriscienceawards.com> or <http://columbusfdn.org>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

MORRIS K. UDALL FOUNDATION

85.400 MORRIS K. UDALL SCHOLARSHIP PROGRAM

Udall Undergraduate Scholarship

FEDERAL AGENCY:

Morris K. Udall Foundation

AUTHORIZATION:

Morris K. Udall Scholarship and Excellence in National Environmental and Native American Public Policy Act of 1992, Public Law 102-259, 106 Stat. 78, 20 U.S.C 5601-5609.

OBJECTIVES:

To honor former Arizona Congressman Morris K. Udall and Secretary of Interior Stewart L. Udall through the operation of internship, scholarship, and fellowship programs, financed by a permanent trust fund endowment, to develop increased opportunities for young Americans to prepare for and pursue careers related to the environment and to American Indians and Alaska Natives who intend to pursue careers in health care and tribal public policy. Assistance is intended for the use of scholars only.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

Assistance is intended for the use of scholars only. Scholars must be a sophomore or junior-level student at the time of the award, enrolled full-time at a two-year or four-year accredited institution of higher education in the United States, pursuing a bachelor's or associate's degree. Scholars must be enrolled full-time in an institution of higher education in order to receive funding. Scholarships may be used for tuition, fees, books, room and board, or other specifically approved expenses. Payments may supplement, but not duplicate, benefits from other foundations, institutions, or organizations. Combined benefits from all sources may not exceed the costs of tuition, fees, books, and room and board as prescribed by the institution.

Applicant Eligibility:

Applicants must be: (1) College sophomores or juniors in the current academic year having outstanding potential and intending to pursue careers in the environment; or (2) American Indian and Alaska Native students who are college sophomores or juniors in the current academic year and have outstanding potential and intend to pursue careers in health care or tribal public policy. Students must be nominated by accredited colleges or universities recognized by the Department of Education.

Beneficiary Eligibility:

To be eligible, students must meet all of the following criteria:

Be committed to a career related to the environment, OR committed to a career in tribal public policy OR Native American health care.

Be a sophomore or junior-level student, enrolled full-time at a two-year or four-year accredited institution of higher education in the United States, pursuing a bachelor's or associate's degree. "Sophomore" is defined as a student who has completed at least one year of full-time undergraduate study and intends at least two more years of full-time undergraduate study at the time of application. "Junior" is defined as a student who intends at least one more year of full-time undergraduate study at the time of application.

Meet the following requirements:

Have a college grade-point average of at least a "B" or the equivalent.
Be pursuing full-time study during academic year following the award.
Be a U.S. citizen, U.S. national, or U.S. permanent resident.

Credentials/Documentation:

Applicants must be nominated by their university via an online application. Current transcripts and transfer transcripts are required. Applicants must also submit three letters of recommendation. American Indians and Alaska Native students in tribal public policy or health care must submit copies of relevant enrollment forms or descent documentation (for more information, see our Frequently Asked Questions). Members of the First Nations of Canada must submit proof of U.S. permanent residency. U.S. permanent residents must submit a copy of their permanent resident ("green") card and a letter of intent to declare U.S. citizenship (First Nations are excepted). This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Each September the chief executive officers of accredited U.S. colleges and universities are invited to appoint a Udall Scholarship faculty representative to manage the selection and nomination of up to six students for the upcoming year's Udall Scholarships. The faculty representative of an institution is the key person in the Udall Scholarship selection process and serves as liaison between his/her institution and the Foundation. The faculty representative is responsible for publicizing the Udall Scholarships on campus, soliciting recommendations of students with significant commitment to the environment and outstanding Native American and Alaska Native students that demonstrate a commitment to pursue a career related to health care or tribal public policy from members of the faculty; establishing the process to select the best qualified candidates to be nominated; helping nominees prepare for the competition; and ensuring that the institutions nominations are complete and are forwarded to the Udall Scholarship Review Committee by the stated deadline. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. To access the online application, the faculty representative must register applicants as potential nominees. All applicants must use the online application; the Foundation will not accept paper applications.

The application consists of:

An 11-question application form that affirms the nominee's wish to be considered for the scholarship; provides biographical information about the nominee's background, interests and plans, and provides a statement about the nominee's intent to enter an eligible career and specifies how the student's educational plans will provide preparation for that career;

An 800-word essay on a speech, legislative act, book, or public policy statement by either Morris K. Udall or Stewart L. Udall and its impact on the applicant's interests and goals;

A current official college transcript and transcripts for other colleges attended;

Three letters of recommendation.

Award Procedure:

The Udall Foundation will select individuals from the academic and professional community to serve as the Udall Review Committee. Udall Foundation staff will verify the applications for completeness and eligibility. The Review Committee meets at a designated location for one weekend to review and select 50 scholars and 50 honorable mention recipients. Students will be evaluated on the basis of: (a) Outstanding leadership capabilities and public service, (b) academic excellence, (c) the appropriateness of student's plan of study to prepare for a career in the environment, health care, or tribal public policy, and (d) dedication to the values exemplified by Morris Udall and Stewart Udall during their careers, i.e., civility, integrity, and consensus. The Review Committee has sole discretion in the selection of the 50 scholars.

Deadlines:

Mar 05, 2014

Range of Approval/Disapproval Time:

From 15 to 30 days. Early March of each year for receipt of nominations; March of each year for selection of scholars by Udall Review Committee; May of each year for announcement of Udall scholars.

Appeals:

Not Applicable.

Renewals:

Sophomore recipients of Udall Scholarships may apply for another scholarship the following year by going through the application process described above.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

A Udall scholar may submit funding requests during the following academic year, from approximately May following selection, to the following June. See the following for information on how assistance is awarded/released: To receive payments, a Udall Scholar must submit:

An acceptance of the scholarship;
An agreement to attend the Scholar Orientation in Tucson, Arizona;
A statement of eligible expenses (Payment Request form), certified by a financial officer of the college or university;
A completed Direct Deposit/EFT Enrollment form.

Scholars may receive up to \$5,000 at the beginning of the fall semester or may receive a partial payment in the fall semester and another at the beginning of the spring semester. The number of payments to be made via direct deposit during the academic year will correspond to the number of semesters, trimesters or quarters in the institution's academic year and the amount of funds to be provided, not to exceed \$5,000 in total.

Reports:

No reports are required.

Audits:

No audits are required for this program.

Records:

Not applicable.

Account Identification:

95-0900-0-1-502.

Obligations:

(Direct Payments for Specified Use) FY 12 \$399,319; FY 13 est \$250,000; and FY 14 est \$250,000

Range and Average of Financial Assistance:

\$2,000 to \$5,000; average \$4800.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Paula Randler 130 S. Scott Avenue, Tucson, Arizona 85701 Email: randler@udall.gov Phone: 520-901-8564 Fax: 520-901-8570

Website Address:

http://www.udall.gov

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

85.402 MORRIS K. UDALL NATIVE AMERICAN CONGRESSIONAL INTERNSHIP PROGRAM

Udall Internship Program

FEDERAL AGENCY:

Morris K. Udall Foundation

AUTHORIZATION:

Morris K. Udall Scholarship and Excellence in National Environmental and Native American Public Policy Act of 1992, Public Law 102-259, 106 Stat. 78, 20 U.S.C 5601-5609.

OBJECTIVES:

To honor former Arizona Congressman Morris K. Udall through the operation of internship, scholarship and fellowship programs, financed by a permanent trust fund endowment, to develop increased opportunities for young Americans to prepare for and pursue careers related to the environment and to American Indians and Alaska Natives who intend to pursue careers in health care and tribal public policy.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

Assistance is intended for the use of interns only and can only be used in connection with the internship program. As part of the program, the Udall Foundation provides:

Placement in a Congressional office, committee or federal agency in Washington, D.C.;

Meetings with distinguished members of Congress, directors and staff of federal agencies, and Native American professionals;

A one-day nation-building seminar with the Native Nations Institute;

Apartment-style living with on-site coordinator;

Roundtrip airfare to and from Washington, D.C., and a daily allowance for meals, transportation and incidentals;

A \$1,200 educational stipend upon completion of the internship.

To receive the assistance, an intern must agree to complete the entire ten-week, full-time internship in the assigned office in Washington, D.C.; reside in housing provided by the Foundation for the duration of the internship; and participate in all scheduled program meetings and activities.

Applicant Eligibility:

Applicants must be American Indian or Alaska Native; be a junior or senior in college, a recent graduate from a tribal or four-year college, or a graduate or law student; have a college grade-point average of at least a "B" or the equivalent; and be a U.S. citizen or U.S. permanent resident. Applicants should also demonstrate commitment to tribal public policy or tribal communities.

Beneficiary Eligibility:

A successful applicant will demonstrate:

Interest in learning how the federal government "really works;"

Commitment to his or her tribal community;

Knowledge of Morris Udall and Stewart Udall's legacy with regard to American Indians;

Awareness of issues and challenges currently facing Indian Country;

Strong research and writing skills;

Organizational abilities and time management skills;

Maturity, responsibility, and flexibility.

Credentials/Documentation:

Applicants must submit current transcripts and transcripts for transfer credits. Graduate and law students must submit undergraduate transcripts. Applicants must also submit three letters of recommendation. One letter should be from a leader in the applicant's tribal community. Applicants must submit copies of relevant tribal enrollment forms or certificate of degree of Indian blood.

Applicants who are members of the First Nations of Canada must submit proof of U.S. permanent residency. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110.

Applicants must mail a completed Internship Application Form that provides biographical background about the applicant's background, interests, goals, and plans, and specifies how the internship program will help the applicant in his/her career; an 800 word essay that summarizes a legislative act or policy statement of Congressman Morris K. Udall or Secretary of Interior Stewart L. Udall that relates to the applicant's interest in an area of tribal public policy, analyzes its impact or potential impact and relates the issues it addresses specifically to the applicant's educational and career goals; provide three references, one from a leader in his/her tribe, one from a faculty member in the applicant's field of study, and another individual that can attest to the applicant's potential; and a resume.

Award Procedure:

The Udall Foundation will select American Indian individuals from the academic and professional community to serve as the Internship Review Committee. Udall Foundation staff will verify the applications for completeness and eligibility. The Review Committee meets at a designated location to review and select 16 finalists. Applicants will be evaluated on the basis of: 1) Interest in and commitment to learning about the federal government; 2) Demonstrated commitment to tribal communities and/or tribal public policy, through contributions to or participation in one or more of the following: campus activities, community or public service, tribal government and cultural activities, research; 3) Desire to use the knowledge gained to support their tribal community and/or Indian Country; 4) Demonstrated leadership, character and integrity. Following the selection of finalists, Udall Foundation staff will conduct phone interviews with the finalists to determine the final 12 interns.

Deadlines:

Jan 31, 2014

Range of Approval/Disapproval Time:

From 15 to 30 days. Late January of each year for receipt of applications; February of each year for selection of interns by Internship Review Committee; and April of each year for announcement of Udall interns.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching requirements are not applicable to this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Assistance is available during the internship program, from late May to early August. See the following for information on how assistance is awarded/released: Interns will be provided with airline tickets and an advance of funds prior to their arrival in Washington DC. Interns will receive per diem by direct deposit every other week for living expenses. Airline tickets and

lodging will be prepaid by the Udall Foundation. A \$1,200 stipend will be awarded to each intern at the end of the internship program.

Reports:

No reports are required.

Audits:

No audits are required for this program.

Records:

Not applicable.

Account Identification:

95-0900-0-1-502.

Obligations:

(Direct Payments for Specified Use) FY 12 \$108,300; FY 13 est \$110,000; and FY 14 est \$110,000

Range and Average of Financial Assistance:

Each intern receives approximately \$9100 in housing, airfare, per diem and the educational stipend.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Jane Curlin 130 S. Scott Avenue, Tucson, Arizona 85701 Email: curlin@udall.gov Phone: 520-901-8565

Website Address:

<http://www.udall.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

VIETNAM EDUCATION FOUNDATION

85.801 U.S. FACULTY SCHOLAR GRANTS

FEDERAL AGENCY:

Vietnam Education Foundation

AUTHORIZATION:

Vietnam Education Foundation Act of 2000, Title 2, Public Law 106-554.

OBJECTIVES:

The goal of the program is to promote international exchange and cooperation between the United States and Vietnam, through teaching grants that permit accomplished U.S. professors to teach one or more classes in the STEM fields at one or more host Vietnamese universities.

TYPES OF ASSISTANCE:

Project Grants (Fellowships)

USES AND USE RESTRICTIONS:

Faculty Scholar grants are issued to outstanding United States professors to teach one or more courses in the Science, Technology, Engineering, Mathematics, and Medicine (STEMM) fields in a Vietnamese university. The assistance is intended to fund the costs required to travel to Vietnam, fund the materials required to teach the course, and compensate the professor for his/her time, among other allowable expenses described in the grant letter issued to accepted Faculty Scholars. All assistance can only be granted to U.S. citizens who are Associate or full Professors, and can only be used for purposes of teaching courses in the STEM fields in a Vietnamese institution, as described above.

Applicant Eligibility:

Grants are solely intended for U.S. professors for use in teaching in the STEM fields in Vietnam.

Beneficiary Eligibility:

Grants are solely intended for U.S. professors for use in teaching in the STEM fields in Vietnam.

Credentials/Documentation:

Applicants must be an Associate or Full Professor at an accredited U.S. educational institution and must submit an application using the Vietnam Education Foundation's Online Management System. They must submit a C.V., a course description, and a Supporting Letter from their U.S. institution, and a Commitment Letter from each host Vietnamese university. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Applications are available on the VEF website at: www.vef.gov.

Award Procedure:

Applications are screened to ensure that they meet the minimum eligibility requirements.

Eligible applications then undergo a technical review by peers.

Finalists are interviewed by phone to verify their preparation to teach in Vietnam.

Deadlines:

Sep 20, 2013 to Jan 30, 2014: Online application submission deadline.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Available from September 1 to August 31, 2015. Method of awarding/releasing assistance: lump sum.

Reports:

VEF requires a final report that describes the outcomes of the teaching programs, including feedback from the host universities and students in Vietnam. No cash reports are required. No progress reports are required. No expenditure reports are required. The staff in Hanoi provides an orientation and visits the grant recipient's class at least once.

Audits:

No audits are required for this program.

Records:

Grant recipients should retain all financial records relating to any grant expenditures for a period of 7 years following the completion of the grant.

Account Identification:

51-9009-5-5-365 - Budget Account Code.

Obligations:

(Project Grants (Fellowships)) FY 12 Not Separately Identifiable; FY 13 Not Separately Identifiable; and FY 14 Not Separately Identifiable

Range and Average of Financial Assistance:

In 2014, 4 grants of up to \$40,000 may be awarded.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Margaret Petrochenkov Vietnam Education Foundation
2111 Wilson Boulevard, Suite 700, Arlington, Virginia 22201 Email:
margaretpetrochenkov@vef.gov Phone: 703-351-5053 Fax: 703-351-1423

Website Address:

<http://www.vef.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

- (1) Academic qualifications to teach the proposed course(s)
- (2) Prior experience in international education
- (3) Quality of the proposed teaching plan, including:
 - a. Appropriate selection of course(s) and teaching location(s)
 - b. Clear plan of teaching and training
 - c. Effective use of resources
- (4) Likelihood of capacity building at the Vietnamese university
- (5) Likelihood of sustainable outcomes, such as improved teaching methods and ongoing partnerships
- (6) Commitment of support from the Vietnamese and U.S. institutions.

85.802 FELLOWSHIP PROGRAM

VEF Fellowship Program

FEDERAL AGENCY:

Vietnam Education Foundation

AUTHORIZATION:

Vietnam Education Foundation Act of 2000, Title 2, Public Law 106-554.

OBJECTIVES:

The goal of the program is to promote international exchange and cooperation between the United States and Vietnam, through educational exchange. VEF provides funding for fellowships to Vietnamese nationals to pursue Master's and doctoral degrees in the STEM fields at U.S. universities.

TYPES OF ASSISTANCE:

Project Grants (Fellowships)

USES AND USE RESTRICTIONS:

A grant of \$27,000 is provided to the U.S. educational institution during each of the first two years of study toward a Master's or doctoral degree. The U.S. graduate institution is responsible for providing any additional funds needed to cover all required tuition, fees, books, insurance, and a monthly living stipend to the student. Fellows also receive a \$1,000 Professional Development Grant that may be used for their professional development, and may cover attendance at professional conferences, books, editing of papers for publishing, and other expenses that directly relate to the program of study. Funding can only be granted to Vietnamese citizens who gain acceptance to a U.S. university. Awards must be used for the associated tuition, fees, living expenses, and professional development costs for that Fellow.

Applicant Eligibility:

Grants are solely intended for Vietnamese students who enroll in Master's and doctoral degree programs in STEM fields at an accredited U.S. university.

Beneficiary Eligibility:

Grants are solely intended for Vietnamese Masters and doctoral students for use in their STEM-based study at a U.S. university.

Credentials/Documentation:

Applicants must be Vietnamese nationals, who are capable of being admitted to a Master's or doctoral program at a U.S. university for a program in the

STEMM fields. Furthermore, applicants must complete an on-line application in the VEF Online Management System, which will be reviewed by agency staff and by professors selected to assist with interviews to be held in Vietnam. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Applicants must achieve a minimum score of 7 out of 10 in the Vietnamese grading system and must provide transcripts from all higher education institutions attended. Furthermore, all applicants must take the GRE and receive at least 500 on the Paper-Based Test (PBT) (either the International or Institutional exam is acceptable), which is equivalent to 173 on the Computer-Based Test (CBT) and 61 on the Internet-Based Test (iBT). IELTS score may also substitute for the TOEFL. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Applications are available on the VEF website at: www.vef.gov

Award Procedure:

Applications are screened to ensure that they meet the minimum eligibility requirements. Eligible applications then undergo a technical review and finalists are interviewed in person in Vietnam by selected U.S. professors to assess their ability to succeed in graduate study at a U.S. university.

Deadlines:

Dec 10, 2013 to Apr 10, 2014: Online application submission deadline.

Range of Approval/Disapproval Time:

From 120 to 180 days.

Appeals:

Not Applicable.

Renewals:

Fellows must make progress in their graduate studies demonstrating by semester reports and submitted transcripts and must adhere to the regulations imposed by a J-1 visa.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. The matching requirement is variable. After receiving \$27,000 each of the first 2 years of the student's programs, the U.S. universities must provide complete funding for the Fellow. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Assistance for the 2015 cohort of VEF Fellows will be paid to universities at the beginning of the first two years of the Fellow's program. Professional Development Grants will be provided for the duration of the Fellow's program. The monies provided are available until expended, but any unused funds must be returned to VEF upon the completion of the Fellow's course of study. See the following for information on how assistance is awarded/released: A grant of \$27,000 is issued at the beginning of the first year and the beginning of the second year of study. A \$1,000 Professional Development Grant is issued at the beginning of each year for as long as the Fellow is enrolled in the VEF program.

Reports:

No program reports are required. No cash reports are required. Students must submit transcripts at the end of each semester to demonstrate that they have made progress in their programs. The Fellow is expected to maintain documentation and report purchases made using the \$1,000 Professional Development Grant funds. Universities are required to produce documentation detailing the manner in which the \$27,000 grants were utilized upon request of VEF staff. No performance monitoring is required.

Audits:

No audits are required for this program.

Records:

No Data Available.

Account Identification:

51-9009-5-5-365 - Budget Account Code.

Obligations:

(Project Grants (Fellowships)) FY 12 \$28,000; FY 13 est \$28,000; and FY 14 est \$28,000

Range and Average of Financial Assistance:

In FY14, approximately 40 grants of up to \$28,000 may be awarded.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Margaret Petrochenkov 2111 Wilson Blvd, Suite 700, Arlington, Virginia 22201 Email: margaretpetrochenkov@vef.gov Phone: 703-351-5053 Fax: 703-351-1423

Website Address:

<http://www.vef.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

1. Superior academic achievement, demonstrated through GPA, awards, theses, and publications
2. GRE scores and TOEFL/IELTS Scores that are promising for graduate admission (after the review, applicants will still have time to meet the minimum scores required for admission to their chosen universities)
3. Excellence of the Academic Statement
4. Excellence of the Personal Statement
5. Demonstrated communication skills in English sufficient to allow the applicant to succeed at a U.S. graduate institution
6. Demonstrated leadership and potential to contribute to science and technology in Vietnam and potential to contribute to better relations between Vietnam and the United States.

85.803 VISITING SCHOLAR GRANTS

VEF Visiting Scholar Grants

FEDERAL AGENCY:

Vietnam Education Foundation

AUTHORIZATION:

Vietnam Education Foundation Act of 2000, Public Law 106-554.

OBJECTIVES:

The goal of the program is to promote international exchange and cooperation between the United States and Vietnam, through educational exchanges. VEF Visiting Scholar grants cover the living expenses and professional development related expenses of postdoctoral students pursuing projects/courses of study in the STEM fields at U.S. universities.

TYPES OF ASSISTANCE:

Project Grants (Fellowships)

USES AND USE RESTRICTIONS:

Visiting Scholar grants are issued to outstanding Vietnamese scholars to pursue research or another career development project in the Science, Technology, Engineering, Mathematics, and Medicine (STEMM) fields at a U.S. university. The assistance is intended to cover the living expenses and professional development related expenses (allowable expenses are described in detail in the VEF Professional Development Grant policy) of the Visiting Scholar. Grants are specifically intended to cover living expenses and expenses related to the professional development of the Visiting Scholar while they are pursuing their approved project/course of study at a U.S. university.

Applicant Eligibility:

Grants cover living expenses and expenses related to the professional development of the Visiting Scholar while they are pursuing their approved project/course of study at a U.S. university.

Beneficiary Eligibility:

Grants cover living expenses and expenses related to the professional development of the Visiting Scholar while they are pursuing their approved project/course of study at a U.S. university. Scholars must be Vietnamese citizens and must have completed a doctoral degree.

Credentials/Documentation:

Applicants must submit an application and supporting documentation which illustrates their capability to succeed in a postdoctoral project/course of study at a U.S. university. They must provide a letter of support from their host university in the United States, as well as from their home university in Vietnam. A transcript and/or diploma from their doctoral institution is required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Applications are available on the VEF website at: www.vef.gov.

Award Procedure:

Applications are screened to ensure that they meet the minimum eligibility requirements. Eligible applications then undergo a technical review and finalists are interviewed in person in Vietnam by selected U.S. professors to assess their ability to succeed in graduate study at a U.S. university.

Deadlines:

Jan 21, 2014 to Apr 10, 2014: Online application submission deadline.

Range of Approval/Disapproval Time:

From 120 to 180 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. This program has no matching requirements. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The assistance is available for 5-12 months to cover living expenses and the costs of the project. The money awarded must be spent within the time period specified in the grant letter, and unused funds must be returned to VEF at the conclusion of the grant period. Method of awarding/releasing assistance: lump sum.

Reports:

No program reports are required. No cash reports are required. No progress reports are required. The Visiting Scholar is expected to maintain documentation and report purchases made using the \$300 per month Professional Development Grant funds. No performance monitoring is required.

Audits:

No audits are required for this program.

Records:

No Data Available.

Account Identification:

51-9009-5-5-365 - Budget Account Code.

Obligations:

(Project Grants (Fellowships)) FY 12 Not Separately Identifiable; FY 13 Not Separately Identifiable; and FY 14 Not Separately Identifiable

Range and Average of Financial Assistance:

Financial assistance is limited to \$2,300 per month as a stipend and up to \$300 per month for professional development for no less than 5 months and for no more than 12 months. Funds may be spent as specified in the VEF Professional Development Grant policy. Funding may be provided for a project/course of study from 5 to 12 months. In FY 2015, up to 3 Visiting Scholar grants may be funded.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Margaret Petrochenkov 2111 Wilson Blvd, Suite 700, Arlington, Virginia 22201 Email: margaretpetrochenkov@vef.gov Phone: 703-351-5053 Fax: 703-351-1423

Website Address:

http://www.vef.gov

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

1. Evidence of superior academic achievement as a scholar in Vietnam.
2. Quality, value, and fit of the professional development plan.
3. Demonstrated commitment to contribute to the educational and scientific development of Vietnam.
4. Supportiveness of the U.S. host institution and the applicants home institution in Vietnam.

NATIONAL ARCHIVES AND RECORDS ADMINISTRATION

89.001 NATIONAL ARCHIVES REFERENCE SERVICES_HISTORICAL RESEARCH**FEDERAL AGENCY:**

National Archives and Records Administration

AUTHORIZATION:

National Archives and Records Administration Act of 1984, Public Law 98-497, 98 Stat. 2280.

OBJECTIVES:

Objectives: To provide professional reference service to members of the general public and employees of the Federal government who are conducting research in Federal records or in historical materials in Presidential Libraries; provide advice and information to the public through conferences, workshops and other outreach activities on the availability and use of Federal records and publications in NARA's custody.

TYPES OF ASSISTANCE:

ADVISORY SERVICES AND COUNSELING; DISSEMINATION OF TECHNICAL INFORMATION; USE OF PROPERTY, FACILITIES, OR EQUIPMENT

USES AND USE RESTRICTIONS:

The assistance provided by NARA is designed to assist the public in obtaining access to information contained in records which are in the custody of the National Archives. Restrictions on the use of accessioned records in the National Archives of the United States are divided into two categories: general restrictions and specific restrictions. General restrictions apply to all records regardless of origin and apply to types of information or classes of records exempt from disclosure under the Freedom of Information Act, for example, national security information. Specific restrictions are specified by the transferring agency or donor and apply only to records in a particular record group or a portion of that record group. Access to records in the records center operations within NARA's regional records services facilities; in the National

Personnel Records Center; and in the Washington National Records Center is controlled by the agency which created the records. General restrictions apply to all records regardless of origin, and apply to types of information or classes of records exempt from disclosure under the Freedom of Information Act. Specific restrictions are specified by the transferring agency or donor, and apply only to records in a particular record group or a portion of that group. All procedures are included in 36 CFR 1256.

Applicant Eligibility:

Anyone (general public).

Beneficiary Eligibility:

Anyone (general public).

Credentials/Documentation:

Photographic identification. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. To see records in person, NARA Form 14003, Researcher Application, is required. To obtain information or copies by mail, contact the depository; if a form is required, applicant will be notified. The use of publications, such as microfilm publications of the U.S. Census, does not require the completion of a Researcher Application. Microfilm publications are available in regional facilities in self-service reference rooms. Request for civilian and military personnel records should be addressed to the National Personnel Records Center. Requests for court records should be addressed to the appropriate regional records services facility. Requests for records held in records center operations of the NARA regional records services facility and in the Washington National Records Center should be addressed directly to the agency having legal custody.

Award Procedure:

Not applicable.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Not Applicable. See the following for information on how assistance is awarded/released: Not Applicable.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

Not applicable.

Account Identification:

88-0300-0-1-804.

Obligations:

(Salaries) FY 12 \$49,879,493; FY 13 est \$48,401,979; and FY 14 est

\$48,895,000 - The National Archives went through an organizational transformation during FY 2012 which have altered the obligations slightly from previous years.

Range and Average of Financial Assistance:

Not Applicable.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: In FY 2012, the National Archives provided 1,647,051 total reference responses of which 548,517 items were provided to researchers.

Fiscal Year 2013: In FY 2013, the National Archives is projected to provide 1,617,743 total reference responses of which 496,891 items will be provided to researchers. Fiscal Year 2014: In FY 2014, the National Archives is projected to provide 1,617,743 total reference responses of which 496,891 items will be provided to researchers.

REGULATIONS, GUIDELINES, AND LITERATURE:

36 CFR Chapter XII, Subchapter C. Over 200 printed publications are available as listed in General Information Leaflet No. 3, "Select List of Publications of the National Archives and Records Administration," available without charge from the Publication Services (NEPS), National Archives and Records Administration, Washington, DC 20408.

Regional or Local Office:

See Regional Agency Offices. See Appendix IV of the Catalog for a list of addresses.

Headquarters Office:

Benjamin J. Davis 8601 Adelphi Road, College Park, Maryland 20740 Email: benjamin.davis@nara.gov Phone: 3018371910

Website Address:

<http://www.archives.gov>.

RELATED PROGRAMS:

89.003 National Historical Publications and Records Grants

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

89.003 NATIONAL HISTORICAL PUBLICATIONS AND RECORDS GRANTS

FEDERAL AGENCY:

National Archives and Records Administration

AUTHORIZATION:

National Archives and Administration Act of 1984, Public Law 108-383, 118 Stat. 2218.

OBJECTIVES:

To undertake a wide-range of activities related to the preservation, publication, and use of documentary sources relating to the history of the United States.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds may be used for projects to strengthen the nation's archival infrastructure through collaboration with the States; to provide leadership in preserving and making accessible important documentary sources in electronic form; to improve the knowledge, performance, and professional skills of those who work with historical records; for the preservation, arrangement and description of records of historical interest; and for the publication in book, microform or electronic editions of papers and documents of national historical significance, especially in completing editions of papers on America's founding era.

Applicant Eligibility:

State and local governments, U.S. territorial agencies, federally and State recognized Indian tribes (see 44 U.S.C. 2504), and educational and other nonprofit institutions (e.g., universities, colleges, libraries, historical societies, museums, university presses, archives, etc.).

Beneficiary Eligibility:

State and local governments, U.S. territorial agencies, federally and State recognized Indian tribes, and educational and other nonprofit institutions.

Credentials/Documentation:

A Data Universal Number System (DUNS) must be obtained. It has been adopted by the Federal Government to help track how Federal grant money is distributed. To obtain this number, call Dun & Bradstreet hotline at 1-866-705-5711. Registration with the System for Award Management (SAM) is required. Visit the CCR website at <http://www.ccr.gov>. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applications to the NHPRC must be submitted via www.grants.gov. Guidelines and application instructions are available online at www.archives.gov/nhprc.

Award Procedure:

Applications are reviewed by state historical records advisory boards, subject area specialists and other appropriate individuals. Grant awards are recommended by the National Historical Publications and Records Commission at biennial meetings. Grants are formally made by the Archivist of the United States to the applicant institution by letter.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

> 180 Days. From 6 to 8 months.

Appeals:

Applications may be revised and resubmitted in accordance with established procedures.

Renewals:

Procedures for the renewal of applications are the same as the original application procedure process.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Varies depending on scope of project. See the following for information on how assistance is awarded/released: Based upon the submitted material.

Reports:

Program reports are not applicable. Cash reports are not applicable. Narrative progress reports are required for all grants. These reports are due 30 days after the end of each 6-month period, with the final report due within 90 days after the expiration of the grant period. Grants with a duration of 6 months or less require a final report only. Financial status reports are required for all grants. SF-425, Federal Financial Report, shall be used for all financial reports, which are due 30 days after the end of each 12-month period. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Records must be available for review or audit by appropriate officials of the Federal agency, pass-through

entity, and Government Accountability Office (GAO).

Records:

The grantee institution maintains records which fully disclose the amount and disposition of granted funds, the total cost of the undertaking, the portion of the cost supplied by other sources, and such other records as will facilitate an effective audit. Documentation must be retained for three years following the submission of the final expenditure report.

Account Identification:

88-0301-0-1-804.

Obligations:

(Project Grants) FY 12 \$5,377,901; FY 13 est \$5,575,798; and FY 14 est \$5,000,000

Range and Average of Financial Assistance:

In FY 2013 the range of assistance was \$590 to \$261,030 with an average of \$73,366.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: In FY 2012, 71 grants were approved for a total of \$5,377,901. Fiscal Year 2013: In FY 2013 76 grants will be approved for a total of \$5,575,798. Fiscal Year 2014: In FY 2014, it is estimated that approximately 75 grants will be approved for a total of \$5,000,000.

REGULATIONS, GUIDELINES, AND LITERATURE:

Applicable regulations and guidelines (2 CFR Parts 215.220,225, and 230; 36 CFR 1206-1212), as well as grant opportunity announcements are available at www.archives.gov/nhprc.

Regional or Local Office:

None.

Headquarters Office:

Christine Dunham, National Archives and Records Administration
NHPRC
700 Pennsylvania Ave, NW
Room 114, Washington, District of Columbia 20408 Email: nhprc@NARA.gov
Phone: 202-357-5010

Website Address:

<http://www.archives.gov/nhprc>

RELATED PROGRAMS:

45.149 Promotion of the Humanities_Division of Preservation and Access;
45.161 Promotion of the Humanities_Research

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

See program guidelines at www.archives.gov/nhprc.

NORTHERN BORDER REGIONAL COMMISSION

90.601 NORTHERN BORDER REGIONAL DEVELOPMENT

Direct Grants

FEDERAL AGENCY:

Northern Border Regional Commission

AUTHORIZATION:

The 2008 Farm Bill, Public Law 110-346, 122 Stat. 2230.

OBJECTIVES:

The Northern Border Regional Commission is a Federal-State governmental agency concerned with the economic well-being of the citizens, businesses, and communities within a thirty-six (36) county region of Maine, New Hampshire, New York, and Vermont. (12 counties in Maine, 4 counties in New Hampshire, 14 counties in New York, and six counties in Vermont). The region has had chronic and contiguous long-term economic distress. The NBRC, led by a Federal Co-Chairman and the governors of each participating state, seeks to eliminate these negative economic conditions.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

The Congress has mandated that the NBRC invest its grant resources in seven major areas, namely, transportation infrastructure; basic public infrastructure, including water, waste water, gas, and electric infrastructure; telecommunications infrastructure; job skills and employment education, as well as, entrepreneurship, technology and business development; basic health care and other public services for those areas that are severely economically distressed and underdeveloped; resource conservation, tourism, recreation, and preservation open spaces in a manner consistent with economic development goals; and, development of renewable and alternative energy. Only direct costs will be allowable costs; indirect costs are not eligible. Staff working directly towards the completion of a project are eligible costs, but, overhead costs, such as rent, insurance, etc. are not.

The NBRC federal grant program is only available to participating member states, local governments (towns, cities, counties), non-profit entities, and Native American entities, for projects within the NBRC region, or serving the NBRC region.

Eligible entities may not be conduits for private-sector entities.

No financial assistance will be authorized to assist relocation from one area of the region to another, except with NBRC approval, nor to attract business from outside the NBRC region into the NBRC region.

NBRC federal grant funds cannot be used to "supplant" existing federal streams, but, can be utilized to math other federal funds.

Applicant Eligibility:

No additional information.

Beneficiary Eligibility:

No additional information.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

The application review process begins with the assistance of Local Development Districts that serve the counties of the NBRC region. The applications are then forwarded to each if the State's designated department to rank/score the applications. The top projects are then submitted to each Governor for his/her certification to the NBRC Board, indicating their two top priority projects, wherein the NBRC Board considers them for approval. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. No additional procedures.

Award Procedure:

The NBRC Board, consisting of the Federal Co-Chairman and the four governors, upon determination by the Federal Co-Chairman that the project satisfies the requirements for assistance under the Act, will approve a grant, by a vote of the Board.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

No other approval information.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: Percent: 50%. Those programs located in "distressed" counties, or serve "distressed" counties are eligible for up to 80% grants. Those grantees located in, or serving "transitional" counties, are eligible for up to 50% grants. Projects that assist two or more states are eligible for grants up to 90%.

"Distressed" county and "transitional" county designations are determined every fiscal year at the beginning of the application process, utilizing prescribed economic distress criteria.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The grant agreement stipulates the time period for completion of the project. Anytime during the project phase, the grantee may request a "no additional funding" time extension to complete the project. The NBRC will make a determination as to whether or not such a request will be granted.

All projects must be completed within five years from the date of the grant award. See the following for information on how assistance is awarded/released: Grantee submits periodic Requests for Reimbursement (SF-270) during the project phase, with 10% withheld until receipt of final closeout papers.

Reports:

Grantee submits

Quarterly narrative reports are required, which indicated work that has been accomplished, perceived problems and solutions, and what is scheduled for the next quarter. When submitting Requests for Reimbursement, grantee must furnish accompanying back-up information. See Program Reports comments. See Cash Reports comments. Performance Monitoring is accomplished via the Quarterly Reports.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Federal awards will have a single or program-specific audit depending upon whether or not the grantee expends more than \$500,000 a year or less, in Federal Awards.

Records:

Project files should be saved for a period of three years.

Account Identification:

57-3374-2-0-000.

Obligations:

(Project Grants) FY 12 \$1,105,067; FY 13 Estimate Not Available; and FY 14 Estimate Not Available

Range and Average of Financial Assistance:

Range 50,000-200,000

Average \$110,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Due to recent funding cuts, the NBRC only awarded six (6) grants for FY12., in the total amount of \$1,105,067. These federal dollars were matched by \$1,243,024 of other public and Private funds. It is estimated that approximately 200 jobs were created and/or saved. Fiscal Year 2013: Awards have not been made yet. Fiscal Year 2014: Budget has not yet been established.

REGULATIONS, GUIDELINES, AND LITERATURE:

Published in October,2010, the NBRC Grants Administration Manual.

Regional or Local Office:

None.

Headquarters Office:

Sandford Blitz Margaret Chase Smith FOB, Suite 21100
202 Harlow Street
, Bangor, Maine 04401 Email: sblitz@nbro.gov Phone: 202-590-6650

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Does the project meet the objectives of a regional, state, or sub-state economic development strategy within the NBRC region? How much match and leveraging dollars does it contribute to the completion of the project? How many jobs will it save and/or create? What other economic distress fact will it reduce or eliminate? What will the overall positive impact of the project be?.

UNITED STATES INSTITUTE OF PEACE

91.004 PUBLIC EDUCATION FOR PEACEBUILDING AWARDS PROGRAM

Public Education for Peacebuilding

FEDERAL AGENCY:

United States Institute of Peace

AUTHORIZATION:

United States Institute of Peace Act: Department of Defense Act, Executive Order nnnnnn, Title XVII, Part 0000, Section 1701, Public Law 98-525, 98 Stat. 2492,2649,22, 4601 U.S.C 4611.

OBJECTIVES:

To support American colleges, universities, and public libraries interested in holding events, forums, etc. addressing international peace and conflict resolution.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Support is provided for a variety of activities, including, but not limited to education or training workshops, lectures, speaker programs, library forums or web-based forums. Please see application guidelines.

Applicant Eligibility:

Public Events
EducationActivities
Speaker Forums.

Beneficiary Eligibility:

Colleges, universities, and libraries in the U.S. and its territories.

Credentials/Documentation:

All applicants must complete an application form. The program is administered by the Institute of International Education, IIE. The application can be found at: www.iie.org/usipsupport. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

The program is administered by the Institute of International Education, IIE who receives, screens, and makes approval recommendations to USIP. Once

approved, awards are administered and tracked by IIE.

Deadlines:

Mar 01, 2013 to May 03, 2013

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Percent: 100%. Organizations must match the award amount on a 1 to 1 basis.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Projects funded through this program are expected to be conducted within six months of receiving the award. Method of awarding/releasing assistance: lump sum.

Reports:

A final report that certifies that USIP funds have been matched, that the event was completed as described and includes information on outcomes, testimonials, and goal achievement. The report is due 4 weeks after the completion of the event. Report forms will be provided. No cash reports are required. No progress reports are required. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records regarding the program activity and its participants should be maintained for one year.

Account Identification:

95-1300-0-1-153.

Obligations:

(Project Grants) FY 12 \$500,000; FY 13 est \$0; and FY 14 est \$0

Range and Average of Financial Assistance:

Up to \$2,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices. Institute of International Education (IIE)
usipsupport@iie.org
<http://www.iie.org/en/Programs/USIP-Support>.

Headquarters Office:

April Hall 2301 Constitution Avenue, NW, Washington, District of Columbia
20037 Email: grants1@usip.org Phone: 202-429-3842

Website Address:

<http://www.iie.org/en/Programs/USIP-Support>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The criteria will be included in the application form provided from IIE's website:<http://www.iie.org/en/Programs/USIP-Support>.

DEPARTMENT OF HEALTH AND HUMAN SERVICES

93.001 CIVIL RIGHTS AND PRIVACY RULE COMPLIANCE ACTIVITIES

Office for Civil Rights

FEDERAL AGENCY:

Office of the Secretary, Department of Health and Human Services

AUTHORIZATION:

Civil Rights Act of 1964, Title VI, as amended; Rehabilitation Act of 1973, Sections 504 and 508, as amended; Education Amendments of 1972, Title IX, as amended; Age Discrimination Act of 1975, as amended; Nondiscrimination Provisions of the Public Health Service Act, Titles VI, VII, VIII, and XVI, as amended; Omnibus Budget Reconciliation Act of 1981, Public Law 97-35, as amended; Drug Abuse Offense and Treatment Act of 1972, Section 407; Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970, Section 321, as amended; Low-Income Home Energy Assistance Act of 1981, 42 U.S.C. 8625; Community Services Block Grant Act, 42 U.S.C. 398; Family Violence Prevention and Services Act, as amended, Section 307 (a)(1) and (2), Public Law 98-457; Americans with Disabilities Act of 1990, Title II, Subtitle A, Public Law 101-336; Small Business Job Protection Act of 1996, Section 1808, Public Law 104-188; Section 1557 of the Patient Protection and Affordable Care Act; Section 1553 of the Affordable Care Act; Federal Health Care Conscience Protection Statutes, which include the Church Amendments, (42 U.S.C. 300a-7); Health Insurance Portability and Accountability Act of 1996 (HIPAA), 42 U.S.C. 1320d-8, 45 CFR Part 160 and Subparts A, C and E of Part 164--Standards for Privacy of Individually Identifiable Health Information (the "Privacy Rule") and Standards for the Protection of Electronic Protected Health Information (the "Security Rule"); Public Health Service Act, Title IX, 42 U.S.C. 299b-22, as amended by the Patient Safety and Quality Improvement Act of 2005, Public Law 109-41; Part C of title XI of the Social Security Act, 42 U.S.C. 1320d-d-8, as amended by Section 105 of the Genetic Information Nondiscrimination Act of 2008 (GINA), Public Law 110233; Subtitle D of the Health Information Technology for Economic and Clinical Health (HITECH) Act, Public Law 111-5, 123 Stat. 115 (2009), and 45 CFR Subpart D of Part 164 - Breach Notification for Unsecured Protected Health Information (the "Breach Notification Rule").

OBJECTIVES:

The Office for Civil Rights (OCR) in the Department of Health and Human Services (HHS) promotes and ensures that people have equal access to and the opportunity to participate in and receive services from all HHS-funded programs without facing unlawful discrimination, and that the privacy of their health information is protected. OCR protects the civil rights of all individuals who are subject to discrimination in health and human services programs, and protects the health information privacy rights of consumers, and ensures that electronic protected health information is safeguarded against unauthorized use of disclosure through investigations, voluntary dispute resolution, enforcement, technical assistance, policy development and information services.

TYPES OF ASSISTANCE:

ADVISORY SERVICES AND COUNSELING; INVESTIGATION OF COMPLAINTS

USES AND USE RESTRICTIONS:

The Office for Civil Rights (OCR) in the Department of Health and Human Services (HHS) is responsible for promoting and ensuring that people have equal access to and the opportunity to participate in and receive services from all HHS-funded programs without facing unlawful discrimination, that the privacy of their health information is protected, and that electronic protected health information is safeguarded against unauthorized use or disclosure. These HHS funded programs are provided through state agencies, nursing homes, skilled nursing facilities, medical laboratories, hospitals, day care centers, social service agencies and other providers. Any person who believes that an individual has been discriminated against in the provision of these services

because of race, color, national origin, disability, age, sex or religion, may file a complaint with OCR. An individual who believes that discrimination on the basis of disability has occurred in a health or social services program of a state or local government entity may file a complaint with OCR under Title II of the Americans with Disabilities Act of 1990, regardless of whether the entity receives Federal funds. Individuals may also file complaints of denials of health care for reasons other than the need and availability of services, by recipients of Hill-Burton grants and loans. In addition, a person may file a complaint under the Multiethnic Placement Act of 1994, as amended by Section 1808 of the Small Business Job Protection Act of 1996, alleging that an adoption or foster care agency or entity funded by HHS discriminatorily relied on a parents or child's race, color, or national origin in making a placement decision. OCR will investigate complaints and take steps to ensure compliance with applicable civil rights laws.

OCR also enforces the Health Insurance Portability and Accountability Act (HIPAA) Privacy Rule and Security Rule as well as the HITECH Breach Notification Rule and the confidentiality provisions of the Patient Safety and Quality Improvement Act (PSQIA) of 2005. OCR's activities under the Privacy, Security and Breach Notification Rules include enforcement actions, including conducting investigations, taking compliance actions, and making penalty determinations, and conducting public education, technical assistance and other outreach activities: to health care providers, health care clearinghouses, health plans, and business associates of covered entities to ensure that they understand their responsibilities under the Rules; to other entities that may be impacted by the Rules, including Federal, State and local government agencies, and researchers; and to consumers, to inform them of their rights under the Privacy Rule. Anyone who believes that a person, agency or organization covered under the HIPAA Privacy and Security Rules ("a covered entity") has violated the Privacy and/or Security Rules may file a complaint with OCR. In addition, the Breach Notification Rule requires HIPAA covered entities to promptly notify affected individuals of a breach, as well as the HHS Secretary and the media in cases where a breach affects more than 500 individuals; OCR is required to publish a list of entities that incur large breaches. Where a breach of unsecured protected health information occurs at or by a business associate, the business associate must notify the covered entity following the discovery of the breach. Additionally, the HITECH Act now makes business associates accountable for protecting the privacy and security of protected health information and directly liable for criminal and civil penalties for violations of HIPAA and Security Rules.

OCR's activities under PSQIA include administering an enforcement program regarding the confidentiality protections, including conducting investigations, taking compliance actions, making penalty determinations, and interpreting standards for confidentiality protections and the enforcement program for guidance and technical assistance to Patient Safety Organizations (PSOs), providers and public information.

Applicant Eligibility:

Anyone who believes he or she has been discriminated against in the manner outlined, seeks information concerning civil rights, and recipients of Federal financial assistance who desire technical assistance and information for the purpose of assuring their compliance with nondiscrimination laws. Regarding the HIPAA Privacy and Security Rules, anyone who believes that the Privacy and/or Security Rules have been violated in the manner outlined or who seeks information about these Rules; consumers that need to know their rights under the Privacy Rule; and entities that need technical assistance and information for the purpose of assuring compliance with the Privacy and Security Rules.

Beneficiary Eligibility:

Individuals subject to discrimination and recipients who require technical assistance and information. Regarding the HIPAA Privacy Rule, individuals subject to violation of their health information privacy, covered entities, and others needing or seeking technical assistance and information concerning the Rule.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Complaints of discrimination and alleged violations of the HIPAA Privacy and Security Rules may be filed, and technical assistance information and public education may be requested, at the Headquarters and Regional Office locations of the Office for Civil Rights.

Award Procedure:

None.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

A complaint must be filed not later than 180 days of the alleged discrimination, unless the time for filing is waived for good cause. Complaints under the Privacy and/or Security Rules must be filed within 180 days of the date when the complainant knew, or should have known, that the act or omission complained of occurred, unless the time limit is waived for good cause. Complaint processing time varies considerably based on the type of complaint, the complexity of the issues, and current OCR caseload.

Appeals:

Individuals can appeal a civil rights discrimination complaint determination in some instances by submitting, in writing, a request for reconsideration to the Director, Office for Civil Rights. OCR imposes civil money penalties (CMPs) on covered entities and their business associates found to be in violation of the HIPAA Privacy, Security and/or Breach Notification Rules. If CMPs are imposed, the covered entity, or any applicable business associates, may request a hearing in which an HHS administrative law judge (ALJ) decides if the CMPs are supported by the evidence in the case. OCR is required to present the evidence to support the imposition of CMPs to the ALJ. The covered entity and/or business associate(s) may also then appeal the ALJ's decision to the HHS Departmental Appeals Board (DAB) and ultimately to the U.S. Courts of Appeal.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Not applicable. See the following for information on how assistance is awarded/released: Not applicable.

Reports:

No reports are required.

Audits:

No audits are required for this program.

Records:

None.

Account Identification:

75-0135-0-1-751.

Obligations:

(Investigation of Complaints) FY 12 \$40,938,480; FY 13 est \$38,614,891; and FY 14 est \$42,205,000

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: 12,723 total complaints received (2,875 civil rights discrimination cases and 9,848 Privacy Rule cases). Fiscal Year 2013: OCR projects that approximately 12,950 individual complaints will be filed in FY

2013 (2,914 civil rights discrimination cases and 10,036 Privacy Rule cases); OCR is projected to complete action on approximately 11,916 complaint cases (2,908 civil rights discrimination cases and 9,008 Privacy Rule cases). Fiscal Year 2014: OCR annually resolves nearly 13,000 citizen complaints alleging discrimination or a health information privacy or security violation.

REGULATIONS, GUIDELINES, AND LITERATURE:

Title VI of the Civil Rights Act of 1964--the implementing regulation (45 CFR 80), "Your Rights Under Title VI of the Civil Rights Act of 1964 fact sheet, "Know Your Rights" brochure regarding national origin discrimination and limited English proficiency, a video in VHS and DVD formats entitled "Title VI Limited English Proficiency"; Section 504 of the Rehabilitation Act of 1973--the implementing regulation for HHS funded programs and activities (45 CFR 84), and fact sheets "Your Rights Under Section 504," "Your Rights as a Person with HIV Infection, AIDS, or Related Conditions," "Your Rights Under Section 504 and the Americans with Disabilities Act," and the HHS Section 504 federally conducted regulation (45 CFR 85); Age Discrimination Act of 1975--implementing regulations, HHS (45 CFR 91) and government-wide (45 CFR 90), and fact sheet "Your Rights Under the Age Discrimination Act"; Federal Health Care Provider Conscience Protection Statutes (including the Church Amendments, Section 245 of the Public Health Service Act, and the Weldon Amendment) implementing regulation (45 CFR 88), and, "Your Rights Under the Federal Health Care Provider Conscience Protection Laws" fact sheet, Community Service Assurance of the Hill-Burton regulation (42 CFR 124) and fact sheet "Community Service Assurance Under the Hill-Burton Act"; Sex Discrimination in Health-Related Training Programs regulation (45 CFR 83); fact sheets on: Section 1808/Multiethnic Placement Act (MEPA); the Americans with Disabilities Act (ADA); "How to File a Discrimination Complaint with OCR"; "Know Your Civil Rights"; Civil Rights Frequently Asked Questions; a video presentation: OCR guidance on Temporary Assistance for Needy Families (TANF) and Disability; OCR guidance documents and questions and answers on MEPA; various technical assistance materials for Medicare providers and applicants, including a sample nondiscrimination policy, LEP policy, auxiliary aids policy, accessibility policy, and grievance procedure, and various other civil rights publications.

HIPAA regulations and standards: The Privacy Rule and the Security Rule, 45 CFR Part 160 and Subparts A, C and E of Part 164; the Breach Notification Rule, 45 CFR Subpart D of Part 164; "Your Health Information Privacy Rights"; "Privacy, Security, and the Electronic Health Record"; "Understanding the HIPAA Notice, and Sharing Health Information with Family and Friends." These consumer-facing brochures are also available in eight languages: Korean, Vietnamese, Simplified Chinese, Traditional Chinese, Polish, Russian, Tagalog, and Spanish. OCR also has sight videos available on various aspects of the HIPAA Privacy Rule for consumers and two designed for health care providers, as well as posters and a brochure entitled "Information is Powerful Medicine," which targets HIV-positive individuals and addresses their right to access and manage their medical records under the HIPAA Privacy Rule. Materials designed for covered entities designed for covered entities and their business associates include "Guidance on Risk Analysis Requirements Under the Security Rule" fact sheets and guidance on specific aspects of the Privacy and Security Rules, and additional compliance tools such as "Am I a Covered Entity", "the Security Rule Educational Paper Series", and Frequently Asked Questions (FAQs). A complete list of Privacy Rule publications is available.

There is also a brochure titled "Safeguarding Civil Rights Health Information Privacy," which provides general information about the Office for Civil Rights and its services. All literature is available at no charge; however, because of budgetary constraints and availability of stock, quantities may be limited. Most publications can be downloaded from OCR's website and are available in languages other than English. All publications are available in alternative formats for individuals with disabilities.

Regional or Local Office:

See Regional Agency Offices. Region I - Boston (Connecticut, Maine, Massachusetts, New Hampshire, Rhode Island, Vermont)
Peter Chan, Regional Manager
Office for Civil Rights
U.S. Department of Health and Human Services
Government Center

J.F. Kennedy Federal Building - Room 1875
Boston, MA 02203
Voice phone 800-368-1019
FAX (617)565-3809
TDD (617)565-1343

Region II - New York (New Jersey, New York, Puerto Rico, Virgin Islands)
Linda Colon, Regional Manager
Office for Civil Rights
U.S. Department of Health and Human Services
Jacob Javits Federal Building
26 Federal Plaza - Suite 3312
New York, NY 10278
Voice Phone 800-368-1019
FAX (212)264-3039
TDD (212)264-2355

Region III - Philadelphia (Delaware, District of Columbia, Maryland, Pennsylvania, Virginia, West Virginia)
Barbara Holland, Regional Manager
Office for Civil Rights
U.S. Department of Health and Human Services
150 S. Independence Mall West
Suite 372, Public Ledger Building
Philadelphia, PA 19106-9111
Main Line 800-368-1019
FAX (215)861-4431
TDD (215)861-4440

Region IV - Atlanta (Alabama, Florida, Georgia, Kentucky, Mississippi, North Carolina, South Carolina, Tennessee)
Frank Campbell, Acting Regional Manager
Office for Civil Rights
U.S. Department of Health and Human Services
Atlanta Federal Center, Suite 3B70
61 Forsyth Street, S.W.
Atlanta, GA 30303-8909
Voice Phone 800-368-1019
FAX (404)562-7881
TDD (404)331-2867

Region V - Chicago (Illinois, Indiana, Michigan, Minnesota, Ohio, Wisconsin)
Celeste Davis, Regional Manager
Office for Civil Rights
U.S. Department of Health and Human Services
233 N. Michigan Ave., Suite 240
Chicago, IL 60601
Voice Phone 800-368-1019
FAX (312)886-1807
TDD (312)353-5693

Region VI - Dallas (Arkansas, Louisiana, New Mexico, Oklahoma, Texas)
Jorge Lozano, Regional Manager
Office for Civil Rights
U.S. Department of Health and Human Services
1301 Young Street, Suite 1169
Dallas, TX 75202
Voice Phone 800-368-1019
FAX (214)767-0432
TDD (214)767-8940

Region VII - Kansas City (Iowa, Kansas, Missouri, Nebraska)
Steven Mitchell, Acting Regional Manager
Office for Civil Rights
U.S. Department of Health and Human Services
601 East 12th Street - Room 248
Kansas City, MO 64106
Voice Phone 800-368-1019
FAX (816)426-3686

TDD (816)426-7065

Region VIII - Denver (Colorado, Montana, North Dakota, South Dakota, Utah, Wyoming)
Velveta Howell, Regional Manager
Office for Civil Rights
U.S. Department of Health and Human Services
999 18th St, Suite 417
Denver, CO 80202
Voice Phone 800-368-1019
FAX (303)844-2025
TDD (303)844-3439

Region IX - San Francisco (American Samoa, Arizona, California, Guam, Hawaii, Nevada)
Michael Leoz, Regional Manager
Office for Civil Rights
U.S. Department of Health and Human Services
90 7th Street, Suite 4-100
San Francisco, CA 94103
Voice Phone 800-368-1019
FAX (415)437-8329
TDD (415)437-8311

Region X - Seattle (Alaska, Idaho, Oregon, Washington)
Linda Yuu Connor, Regional Manager
Office for Civil Rights
U.S. Department of Health and Human Services
2201 Sixth Avenue - M/S: RX-11
Seattle, WA 98121-1831
Voice Phone 800-368-1019
FAX (206)615-2297
TDD (206)615-2296.

Headquarters Office:

Director, Office for Civil Rights, Hubert H. Humphrey Building - Mail Stop
Room 509-F6, 200 Independence Ave. S.W., Washington, District of Columbia
20201 Email: OCRMail@hhs.gov Phone: 800-368-1019

Website Address:

<http://www.hhs.gov/ocr>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.003 PUBLIC HEALTH AND SOCIAL SERVICES EMERGENCY FUND

FEDERAL AGENCY:

Substance Abuse and Mental Health Services Administration, Department of Health and Human Services

AUTHORIZATION:

Section 319 of the PHS Act.

OBJECTIVES:

To provide supplemental funding for public health and social service emergencies.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds are available for public health and social service emergencies. Funds are initially appropriated to the Office of the Secretary, but virtually all funding is allocated to HHS agencies for award and use in disaster areas.

Applicant Eligibility:

Federal agencies, State and local governments, and other service providers in areas impacted.

Beneficiary Eligibility:

Individuals, families, Federal, State, and local government agencies.

Credentials/Documentation:

Guidelines and requirements to be determined by agencies administering grant programs and services. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Applicant should consult the office or official designated as the contact for additional information on the application procedure.

Award Procedure:

Awards will be granted by agency or office administering the funds.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days. To be determined by agency or office administering the funds.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

To be determined by agency or office administering the funds. Method of awarding/releasing assistance: lump sum.

Reports:

The (SF-425) Federal Financial Report is required by SAMHSA, Division of Grants Management 120 days after the end of each 12 month period and 90 days after the final 12 month budget period. Quarterly report SF272 required by the Division of Payment Management. Progress report is due 90 days after the end of the budget period. Quarterly report SF 272 required to be submitted to the Division of Payment Management. Performance monitoring will be done through progress reports.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Expenditures and other financial records must be retained for 3 years from the day on which the grantee submits the last Federal Financial Report for the report period.

Account Identification:

75-1363-0-1-551.

Obligations:

(Project Grants) FY 12 \$0; FY 13 est \$0; and FY 14 est \$0

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: No current data available. Fiscal Year 2013: No current data available. Fiscal Year 2014: No current data available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not available at this time. Guidelines are expected to be transmitted to recipients by agency or office administering the funds.

Regional or Local Office:

None.

Headquarters Office:

Kathleen K. Sample, 1 Choke Cherry Road, Room 7-1091, Rockville, Maryland 20857 Email: kathleen.sample@samhsa.hhs.gov Phone: (240) 276-1407 Fax: (240) 276-1430.

Website Address:

<http://www.samhsa.gov> .

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

To be determined by agency or office administering the funds.

93.004 COOPERATIVE AGREEMENTS TO IMPROVE THE HEALTH STATUS OF MINORITY POPULATIONS

(NUCA)

FEDERAL AGENCY:

Office of Minority Health, Department of Health and Human Services

AUTHORIZATION:

OMH is authorized to conduct this program under 42 U.S.C. Section 300 u-6, Section 1707 of the Public Health Service Act, as amended.

OBJECTIVES:

To provide support for activities which have the potential to improve the health status and/or quality of life of racial/ethnic minorities, with the objective of reducing the excessive burden and death borne by minority and disadvantaged populations in the United States.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funds are to be used for support of projects or activities consistent with the mission of the Office of Minority Health. Funds are not to be used for the provision of health care services, construction, augmentation of on-going Office of Minority Health (OMH) supported demonstrations, or supplementation of on-going project activities.

Applicant Eligibility:

Public and private nonprofit entities may apply. Faith based organizations are eligible to apply.

Beneficiary Eligibility:

Members of the minority and disadvantaged groups: Blacks; Hispanics; American Indians; Alaska Natives; Asian/American Pacific Islanders; or subgroups of these.

Credentials/Documentation:

Proof of nonprofit status is required as part of the application submission. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is

not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. All open grant opportunities are posted on www.grants.gov. Additional information may be requested by calling 240-453-8822 or writing to: Office of Assistant Secretary of Health (OASH) Office of Grants Management, 1101 Wootton Parkway, Suite 550, Rockville, MD 20852.

Award Procedure:

Accepted applications will be reviewed by a review panel that will make recommendations on the technical merit based on the published criteria. After considering the recommendations of the panel, the Deputy Assistant Secretary for Minority Health, will make a final decision on funding projects and a notice of grant award will be issued.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

> 180 Days. Varies, but a project period is generally limited to 5 years or less. Within a project period, continuation applications must be submitted annually on a noncompeting basis for each year of support.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The cooperative agreements will have a project period not to exceed 5 years, with 12-month budget periods. Following the initial budget period, continued funding is subject to the availability of funds and satisfactory program performance. Necessary instructions regarding payment procedure will be provided at the time the award is issued. Method of awarding/releasing assistance: by letter of credit.

Reports:

Annual Program reports are required. Cash Reports are due on a minimum basis of quarterly. Progress reports are required quarterly for each budget period. Federal Financial Reports SF425 are due quarterly and at the end of each budget period. A final performance report is due 90 days following the end of the project period.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

HHS and the Comptroller General of the United States or any of their authorized representatives shall have the right of access to any books, documents, papers, or other records of a grantee, subgrantee, contractor, or subcontractor, which are pertinent to the HHS grant, in order to make audits, examinations, excerpts and transcripts. In accordance with 45 CFR Part 74.53 and 45 CFR Part 92, grantees are required to maintain grant accounting records 3 years after the end of a budget period. If any litigation, claim, negotiation, audit or other action involving the records has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0120-0-1-551.

Obligations:

(Cooperative Agreements) FY 12 \$14,340,091; FY 13 est \$4,975,000; and FY 14 Estimate Not Available

Range and Average of Financial Assistance:

\$150,000 to 3,500,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Eleven National organizations were funded and are improving access to care for targeted racial and ethnic minority populations; addressing social determinants of health to achieve health equity for targeted minority populations via projects of national significance; increasing the diversity of the health-related work force and; increasing the knowledge base and enhancing data availability for health disparities and health equity activities. Fiscal Year 2013: Funded organizations are expected to continue improving access to care for targeted racial and ethnic minority populations; addressing social determinants of health to achieve health equity for targeted minority populations via projects of national significance; increasing the diversity of the health-related work force and; increasing the knowledge base and enhancing data availability for health disparities and health equity activities. Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 74 and 92. Specific program requirements are contained in the Federal Register Notice, the application instructions, and the HHS Grants Policy Statement.

Regional or Local Office:

None. Program Management Contact:
Office of Minority Health, Division of Program Operations
Tower Building, Suite 600, 1101 Wootton Parkway
Rockville, MD 20852. Telephone at (240) 453-8444

Grants Management Contact:

Office of Grants Management, 1101 Wootton Parkway, Suite 550,
Rockville, MD 20852, Telephone (240) 453-8822.

Headquarters Office:

Eric C. West, Tower Building Suite 550, 1101 Wootton Parkway, Rockville,
Maryland 20852 Email: eric.west@hhs.gov Phone: (240) 453-8822.

Website Address:

<http://www.OMHRC.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Complete review criteria are published in the Federal Register Notice or can be obtained from the Program contact. Listed below are some criteria used to review applications: (1) consistency of project's goals and objectives with the Office of Minority Health's mission; (2) coherence and feasibility of methodology and activities selected to address the problem as evidence in the proposed implementation plan; (3) strength of proposed grant organization's management capability; (4) adequacy of qualifications, experience and cultural competence of proposed personnel; and (5) strength of analysis of potential impact or innovation the project proposes to generate.

93.006 STATE AND TERRITORIAL AND TECHNICAL ASSISTANCE CAPACITY DEVELOPMENT MINORITY HIV/AIDS DEMONSTRATION PROGRAM

(CTA/CD)

FEDERAL AGENCY:

Office of Minority Health, Department of Health and Human Services

AUTHORIZATION:

OMH is authorized to conduct this program under 42 U.S.C. Section 300 u-6, Section 1707 of the Public Health Service Act, as amended.

OBJECTIVES:

A collaborative effort to demonstrate that the involvement of State and Territorial Offices of Minority Health in coordinating a statewide response to the HIV/AIDS crisis in minority communities can have a greater impact on the communities' understanding of the disease, and the coordination of prevention and treatment services for minority populations, than agencies and/or organizations working independently; and to stimulate and foster the development of effective and durable service delivery capacity for HIV prevention and treatment among organizations closely linked with the minority populations.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds are to be used to support minority-targeted health education and prevention strategies which will help to eliminate or reduce the risk for acquiring or transmitting HIV/AIDS and increase access to services and treatment; and to provide technical assistance and capacity development to community based minority-serving organizations to enhance their delivery capacity for HIV/AIDS prevention and treatment. Funds are not to be used for the provision of health care services, construction, augmentation of ongoing Office of Minority Health (OMH) supported demonstrations, or to supplant ongoing project activities.

Applicant Eligibility:

State/Territorial Offices of Minority Health or, for those states and territories that do not have established Office of Minority Health, a state or territorial minority health entity located within a State or Territorial Department of Health which functions in the capacity of an Office of Minority Health; and community based, minority-serving organizations.

Beneficiary Eligibility:

Members of the minority groups: American Indians or Alaska Natives; Asians; Blacks or African Americans; Hispanics or Latinos; Native Hawaiians or other Pacific Islanders; or subgroups of these populations.

Credentials/Documentation:

Proof of nonprofit status is required as part of the application submission, where applicable. Documentation to verify official status as a State or Territorial Office of Minority Health signed by a State/territorial level authorizing official (e.g., Governor or designated official, Commissioner of Health or designee) or documentation to verify official status as a State or territorial minority health entity signed by the Commissioner of Health or designee in the Department of Health, as applicable. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. All open grant opportunities are posted on www.grants.gov. Additional information may be requested by calling 240-453-8822 or writing to: Office of Assistant Secretary of Health (OASH) Office of Grants Management, 1101 Wootton Parkway, Suite 550, Rockville, MD 20852.

Award Procedure:

Accepted applications will be reviewed by a panel that will make recommendations on the technical merit based on the published criteria. After considering the recommendations of the panel, the Deputy Assistant Secretary for Minority Health, will make a final decision on funding projects and a notice of grant award will be issued.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

> 180 Days. Varies, but a project period is generally limited to 3 years or less. Within a project period, continuation applications must be submitted annually on a noncompeting basis for each year of support.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The grants will have a project period not to exceed 3 years, with 12-month budget periods. Following the initial budget period, continued funding is subject to the availability of funds and satisfactory program performance. Necessary instructions regarding payment procedure will be provided at the time the award is issued. Method of awarding/releasing assistance: by letter of credit.

Reports:

Annual Program reports are required. Cash Reports are due on a minimum basis of quarterly. Progress reports are required quarterly. Federal Financial Reports SF425 are due quarterly and at the end of each budget period. A final performance report is due 90 days following the end of the project period.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

HHS and the Comptroller General of the United States or any of their authorized representatives shall have the right of access to any books, documents, papers, or other records of a grantee, subgrantee, contractor, or subcontractor, which are pertinent to the HHS grant, in order to make audits, examinations, excerpts and transcripts. In accordance with 45 CFR Part 74.53 and 45 CFR Part 92, grantees are required to maintain grant accounting records 3 years after the end of a budget period. If any litigation, claim, negotiation, audit or other action involving the records has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0120-0-1-551.

Obligations:

(Project Grants) FY 12 \$550,000; FY 13 est \$0; and FY 14 est \$0 - Grant project periods ended 8/31/2013; no new funding.

Range and Average of Financial Assistance:

\$130,000 to \$150,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Grants supported minority-targeted health education and prevention strategies and increased access to services and treatment; and to provided technical assistance and capacity development to community based minority-serving organizations. Fiscal Year 2013: No new grants. Fiscal Year 2014: No new grants.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 74 and 92. Specific program requirements are contained in the Federal Register Notice, the application instructions, and the HHS Grants Policy Statement.

Regional or Local Office:

None. Program Management Contact:
Office of Minority Health, Division of Program Operations, 1101 Wootton Parkway, Suite 600, Rockville, MD 20852. Telephone: (240) 453-8444.

Grants Management Contact: Office of Grants Management, 1101 Wootton Parkway, Suite 550, Rockville, MD 20852, Telephone (240) 453-8822.

Headquarters Office:

Eric C. West, Tower Building Suite 550, 1101 Wootton Parkway, Rockville, Maryland 20852 Email: eric.west@hhs.gov Phone: (240) 453-8822.

Website Address:

<http://www.omhrc.gov> .

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Complete review criteria are published in the Federal Register Notice or can be obtained from the Program contact. Listed below are some criteria used to review applications: (1) consistency of project's goals and objectives with the Office of Minority Health's mission; (2) coherence and feasibility of methodology and activities selected to address the problem as evidence in the proposed implementation plan; (3) strength of proposed grant organization's management capability; (4) adequacy of qualifications, experience and cultural competence of proposed personnel; and (5) strength of analysis of potential impact or innovation the project proposes to generate.

93.007 PUBLIC AWARENESS CAMPAIGNS ON EMBRYO ADOPTION

(Embryo)

FEDERAL AGENCY:

Office of the Secretary, Department of Health and Human Services

AUTHORIZATION:

This program is authorized by Section 1704 of the Public Health Service Act, 42 U.S.C. Section 300u-3. , 42 U.S.C 300u-3.

OBJECTIVES:

To fund public awareness campaigns on embryo adoption. The intent is to fund at least one project in each of three distinct categories (1) training for professionals to equip them with the specific knowledge and skills to support the unique needs of embryo donors; (2) regional/local strategies for informing and educating professional audiences; and (3) the use of new media with the goal of increasing public awareness regarding embryo donation and/or adoption. Entities may apply for more than one category; however, a separate application is required for each category identified in this announcement. Applicants should demonstrate experience with embryo donation and/or adoption programs that conform to professionally recognized standards governing embryo donation and/or adoption and other applicable Federal or State requirements. For the purposes of this announcement, embryo donation and/or adoption is defined as the donation of frozen embryo(s) from one party to a recipient who wishes to bear and raise a child or children.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

The increasing success of assisted reproductive technologies (ART) has resulted in a situation in which an infertile couple typically creates several embryos through in-vitro fertilization (IVF). During IVF treatments, couples may produce many embryos in an attempt to become pregnant with several being cryopreserved (frozen) for future use. If a couple becomes pregnant without using all of the stored embryos, they may choose to release the remaining unused embryos for donation and adoption allowing other infertile couples the experience of pregnancy and birth. Embryo donation and/or adoption is a relatively new process in which individuals who have extra frozen embryos agree to release the embryos for transfer to the uterus of another woman, either known or anonymous to the donor(s) for the purpose of the recipient(s) attempting to bear a child and be that child's parent.

Applicant Eligibility:

Eligible applicants include public agencies, nonprofit organizations, and for-profit organizations. Eligibility to compete for this announcement is limited

to particular applicant organizations. Only agencies and organizations, not individuals, are eligible to apply. One agency must be identified as the applicant organization and will have legal responsibility for the project. Additional agencies and organizations can be included as co-participants, subgrantees, subcontractors, or collaborators if they will assist in providing expertise and in helping to meet the needs of the recipients. Faith-based and community-based organizations meeting the eligibility requirements may apply, or they may be included as co-participants, subgrantees, subcontractors, or collaborators if they will assist in providing expertise and in helping to meet the needs of recipients. Eligibility is limited to organizations that can demonstrate previous experience with embryo adoption and are knowledgeable in all elements of the process of embryo adoption.

Beneficiary Eligibility:

Potential donors and/or recipients of frozen embryos.

Credentials/Documentation:

Proof of nonprofit status is required of nonprofit organizations and institutions. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. To apply, access the announcement through www.grants.gov. Enter the CFDA# and follow the website instructions. Applications submitted after the deadlines will not be accepted for review. The submission deadline will not be extended. Applications which do not conform to the requirements of the grant announcement will not be accepted for review and will be returned to the applicant. Applications may only be submitted electronically via www.grants.gov. Any applications submitted via any other means of electronic communication, including facsimile or electronic mail, will not be accepted for review.

Award Procedure:

Applications will be screened upon receipt. Those that are judged to be ineligible will be returned to the applicant. Accepted applications will be evaluated by a technical review panel composed of independent experts. The final funding decision will be determined by the Agency Director, who will take into consideration the recommendations of the review panel; programmatic needs, stated preferences; geographic location; and recommendations of DHHS Regional Health Coordinators. All applicants will be notified in writing of actions taken on their applications. Applications that are approved and funded will be issued A Notice of Grant Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Not Applicable.

Renewals:

> 180 Days. New projects are approved for a 1 year project period.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grant Project Periods are for 1 year. Method of awarding/releasing assistance: by letter of credit.

Reports:

Annual Program reports are required. Cash Reports are due on a minimum basis

of quarterly. Progress reports are required for each budget period. Financial status reports are due quarterly. A final performance report is due 90 days following the end of the project period.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

HHS and the Comptroller General of the United States or any of their designated authorized officials shall have the right of access to any books, documents, papers, or other records of a grantee, sub-grantee, contractor, or subcontractor, which are pertinent to the HHS grant, in order to make audits, examinations, excerpts and transcripts. In accordance with 45 CFR, Part 74.53 and 45 CFR, Part 92, grantees are required to maintain grant accounting records 3 years after the end of a budget period. If any litigation, claim, negotiation, audit or other action involving the records has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0120-0-1-551.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 12 \$1,720,070; FY 13 est \$900,000; and FY 14 Estimate Not Available

Range and Average of Financial Assistance:

\$150,000 - \$300,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 74 and 92. Specific program requirements are contained in the application instructions, the announcement, and the HHS Grants Policy Statement: <http://www.hhs.gov/asrt/og/aboutog/grantsnet.html>

Regional or Local Office:

See Regional Agency Offices. Office of Population Affairs, Department of Health and Human Services, 1101 Wootton Parkway, Suite 700, Rockville MD, 20852. Telephone: (240) 453-2828. Project Officer Mr. Robert "Bob" Scholle

DHHS, Office of Assistant Secretary for Health Office of Grants Management 1101 Wootton Parkway, Suite 550, Rockville MD, 20852. Telephone: (240) 453-8822. Grants Specialist Ms. Robin Fuller.

Headquarters Office:

Eric C. West, Tower Building Suite 550, 1101 Wootton Parkway, Rockville, Maryland 20852 Email: eric.west@hhs.gov Phone: (240) 453-8822.

Website Address:

<http://www.opa.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

1: Objectives and Need for Assistance (30 Points) Applicants must demonstrate a clear understanding of the legislative goals and demonstrate how their approach to the design of a public awareness campaign will contribute to achieve the legislative goals. Criterion 2: Approach (30 Points) In this section, applicants are expected to define goals and specific, measurable objectives for the: (A) Design of the public awareness campaign, (B) implementation, (C) evaluation, and (D) dissemination. 3: Organizational Profile (20 Points)

Applicants need to demonstrate that they have the capacity to implement the proposed program. This criterion consists of three broad topics: (A) Management plan, (B) staff qualifications, and (C) organizational capacity and resources. 4: Budget and Budget Justification (20 Points) Applicants are expected to present a budget with reasonable project costs, appropriately allocated across component areas and sufficient to accomplish the objectives. Applicants are expected to allocate sufficient funds in the budget to provide for the project director to attend a two-day orientation meeting in the Washington, DC area.

93.008 MEDICAL RESERVE CORPS SMALL GRANT PROGRAM (MRC)

FEDERAL AGENCY:

Office of the Secretary, Department of Health and Human Services

AUTHORIZATION:

Section 1703 of the Public Health Service (PHS) Act.

OBJECTIVES:

To support the development of Medical Reserve Corps (MRC) units in communities throughout the United States to: (1) increase capacity at the community level to respond during emergencies which have medical consequences, and (2) improve public health in communities through volunteerism on an ongoing basis.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funds are to be used for establishment of community-based, citizen volunteer Medical Reserve Corps. Funds may be used for organizing, volunteer recruitment, assessment of risks and vulnerabilities, strategy development, planning, training, drills/practice, supplies and equipment.

Applicant Eligibility:

Eligible applicants for this funding opportunity are national-level nonprofit organizations with significant local, state and national networking connections.

Beneficiary Eligibility:

General public.

Credentials/Documentation:

Proof of nonprofit status is required as part of the application submission. Costs will be determined in accordance with 45 CFR 74 and 92. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program.

Award Procedure:

Final award decisions will be made by the Director of the Office of the Civilian Volunteer

Medical Reserve Corps. In making this decision, the Director will fund one cooperative agreement and will consider:

- (1) recommendations of the review panel;
- (2) reviews for programmatic and grants management compliance;
- (3) the reasonableness of the estimated cost to the government considering the available funding and anticipated results; and
- (4) the likelihood that the proposed project will result in the expected benefits.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

This Cooperative Agreement will have a project period not to exceed 5 years, funds will be allotted on 12 month budget periods. Following the initial budget period, continued funding is subject to the availability of funds and satisfactory program performance. Necessary instructions regarding payment procedures will be provided at the time of the award. Method of awarding/releasing assistance: quarterly.

Reports:

Progress reports are required monthly, quarterly and annually. A final performance report is due 90 days following the end of the project period. Cash reports are required for each cash withdrawal or transfer. Progress reports are required for each budget period. NEW FINANCIAL REPORTING REQUIREMENT Federal Financial Reporting (FFR) SF 425:

As of February 1, 2011, the Department of Health and Human Services (DHHS) will begin the transition from use of the SF-269, Financial Status Report (Short Form or Long Form) to the use of the SF-425 Federal Financial Report for expenditure reporting. SF-269s will no longer be accepted for expenditure reports due after that date. If an SF-269 is submitted, the Office of the Assistant Secretary for Health, Office of Grants Management will return it and require the recipient to complete the SF-425. Copies of the SF-425 can be found on the website at: http://www.whitehouse.gov/sites/default/files/omb/assets/grants_forms/SF-425.pdf

1. Grantees must submit quarterly Federal Financial Reports due on one of the standard due dates below by which cash reporting is required to be submitted to PMS or at the end of a calendar quarter. The FFR is due 30 days after the end of each calendar quarter.

2. Also, grantees must submit an annual Federal Financial Report due 90 days after the end of the budget period/project period end date.

3. Reports are to be submitted electronically through GrantSolutions. In addition to the required quarterly progress reports, a final performance report is due 90 days following the end of the project period. In addition to the required progress reports, a final performance report is due 90 days following the end of the project period.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. In accordance with the provisions of OMB Circular No. A-133 "Audit of States, Local Governments, and Non-Profit Organizations, nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by HHS and other Federal government officials.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to a grant shall be retained for a minimum of 3 years, or longer pending completion and resolution of any audit finding(s).

Account Identification:

75-0120-0-1-551.

Obligations:

(Cooperative Agreements) FY 12 \$5,960,000; FY 13 est \$5,500,000; and FY 14 Estimate Not Available

Range and Average of Financial Assistance:

Only one Cooperative Agreement awarded at the amount of \$6,775,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices. Cdr. Patrick Denis
Deputy Director
Division of Civilian Volunteer MRC
Room 18C-14, Parklawn Building
5600 Fishers Lane, Rockville, MD 20857
Phone (301) 443-1388
Patrick.Denis@hhs.gov.

Headquarters Office:

Eric C. West, Tower Building Suite 550, 1101 Wootton Parkway, Rockville,
Maryland 20852 Email: eric.west@hhs.gov Phone: (240) 453-8449.

Website Address:

<http://www.medicalreservecorps.gov> .

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Complete review criteria are published in the Federal Register Notice or can be obtained from the Program contact. Listed below are some criteria used to review applications: 1) Implementation plan which describing linkages to existing community resources, the role the Medical Reserve Corps will most likely have, and the time frame for planning and implementation; 2) Management plan describing the organization's capabilities; 3) Evaluation plan describing program goals and how those goals will be assessed; 4) Supporting documentation detailing how the Medical Reserve Corps is connected to a Citizen Corps Council; and 5) Background on the adequacy of demonstrated knowledge of emergency medical response/care systems and utilization of volunteers.

93.009 COMPASSION CAPITAL FUND

(CCF)

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Section 1110 of the Social Security Act governing Social Services Research and Demonstration activities; the Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act of 2004. , Public Law 107-116.

OBJECTIVES:

To provide grants to charitable organizations to emulate model social service programs and to encourage research on the best practices of social service organizations. This is accomplished through the goal of assisting faith-based and community organizations to increase their effectiveness, enhance their ability to provide social services, expand their organizations, diversify their funding sources, and create collaborations to better serve those most in need. This is accomplished by funding experienced intermediary organizations in well-defined geographic locations with a proven track record of providing technical assistance to smaller faith-based and community organizations in their communities, providing one-time \$50,000 grants to smaller faith-based and community organizations to build their capacity, and providing grants to strengthen broad-based collaborative community that are working to reduce gang involvement, youth violence, and child abuse and neglect and to foster positive youth development.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Intermediary organizations use the CCF funds to provide two services within their communities: 1) Training assistance to faith-based and community organizations; and 2) Financial support through sub-awards to some subset of

the faith-based and community organizations in their communities. Technical assistance activities funded under CCF are to be conducted at no cost to interested faith-based and community organizations. Applicants must have demonstrated experience in the delivery of capacity-building assistance to smaller organizations in several of the following areas: strategic planning, financial management, board development, fund development, and outcome measurement. Technical assistance should be provided on a long-term, on-going basis to smaller organizations. The program goals are further accomplished through the issuance of sub-awards by the funded intermediary organizations to a diverse set of small faith-based and community organizations that seek to increase program and organizational effectiveness for a 12-month period. Sub-award recipients must adhere to the following: sub-award recipients must be chosen from fair and open competitive process; sub-award recipients must not be pre-selected; the awarding process must be fair and open competition and include outreach to both faith-based and community organizations; intermediary organizations must provide on-going technical assistance and capacity-building support to the organizations to which they issue sub-awards; and the criteria for selection must not include consideration of the religious nature of the program it offers. Priority for sub-awards is given to organizations that historically have not received grants from the Federal government. Intermediaries must not require sub-award applicants to provide matching funds even if the applicants offer matching funds in their applications. Intermediaries must not require sub-award applicants to have 501 (c) (3) status or to identify a sponsoring organization with 501 (c) (3) status; Organizations that partner with an intermediary to deliver technical assistance or provide a cost-sharing fund for the proposed project are not to be eligible for sub-awards, unless approved by the Administration for Children and Families; Sub-awards should not be used to assist organizations in differing stages of development. Sub-awards may also be provided to promising organizations to expand the reach of existing programs; Sub-awards must not be used to provide direct services, but rather improve the sub-awardee's efficiency and capacity. The Office of Community Services (OCS) will not fund any project, where the role of the applicant is primarily to serve as a conduit for funds to organizations other than the applicant. The applicant must have a substantive role in the implementation of the project for which funding is requested. This prohibition does not bar the making of sub-grants or sub-contracting for specific services or activities needed to conduct the project. CCF monies shall not be used to support inherently religious practices such as religious instruction, worship, or proselytization. Grant or sub-award recipients, therefore, may not and will not be selected based on religious criteria. Neutral, non-religious criteria that neither favor nor disfavor religion must be employed in a selection of a grantee and sub-award recipients.

Applicant Eligibility:

Program is no longer accepting grant applications.

Beneficiary Eligibility:

Program is no longer accepting grant applications.

Credentials/Documentation:

Program is no longer accepting grant applications. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Program is no longer accepting applications. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. This program is no longer accepting applications.

Award Procedure:

This program is no longer accepting applications.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The Demonstration program award grants for one project period for 12 months. See the following for information on how assistance is awarded/released: Not Applicable.

Reports:

CCF recipients are required to submit semi-annual program progress reports. grantees are required to submit semi-annual financial status reports using the required standard form (SF-269) which is located on the Internet at: <http://forms.psc.gov/forms/sf/SF-269.pdf>. CCF recipients are required to submit semi-annual program progress reports. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

CCF complies with 74.50 Purpose of reports and records, 74.51 Monitoring and reporting program performance and 74.52 Financial reporting of the Code of Federal Regulations.

Account Identification:

75-1536-0-1-506.

Obligations:

(Project Grants) FY 12 \$0; FY 13 est \$0; and FY 14 est \$0 - This program did not receive funding in FY 2012 and no funding was requested in FY 2013 and 2014.

Range and Average of Financial Assistance:

N/A.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None. Program Manager, Office of Community Services (OCS), Administration for Children and Families, Department of Health and Human Services, 370 L'Enfant Promenade, 5th Floor West, Washington, DC 20447. Contact: Rafael Elizalde, Telephone: (202) 401-5115 (office); (202) 401-4694 (fax); rafael.elizalde@acf.hhs.gov.

Headquarters Office:

Rafael J. Elizalde Division of Community Discretionary Programs, Office of Community Services, Administration for Children and Families, Department of Health and Human Services, 370 L'Enfant Promenade, S.W, Washington, District of Columbia 20447 Email: rafael.elizalde@acf.hhs.gov Phone: (202) 401-5115

Website Address:

<http://www.acf.hhs.gov/programs/ccf>

RELATED PROGRAMS:

93.711 ARRA STRENGTHENING COMMUNITIES FUND

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.010 COMMUNITY-BASED ABSTINENCE EDUCATION (CBAE) (CBAE)

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Title XI, Section 1110 of the Social Security Act.

OBJECTIVES:

To promote abstinence education, as defined by Section 510(b)(2) in Title V of the Social Security Act, for adolescents aged 12 through 18. The entire focus of these programs is to educate young people and create an environment within communities that supports teen decisions to postpone sexual activity until marriage. The CBAE program was discontinued in FY10. No new funds will be available and no new awards will be made.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Pursuant to Section 510(b)(2) of Title V of the Social Security Act, the term "abstinence education," for purposes of this program means an educational or motivational program that:

- (A) Has as its exclusive purpose, teaching the social, psychological, and health gains to be realized by abstaining from sexual activity;
- (B) Teaches abstinence from sexual activity outside marriage as the expected standard for all school age children;
- (C) Teaches that abstinence from sexual activity is the only certain way to avoid out-of-wedlock pregnancy, sexually transmitted diseases, and other associated health problems;
- (D) Teaches that a mutually faithful monogamous relationship in the context of marriage is the expected standard of human sexual activity;
- (E) Teaches that sexual activity outside of the context of marriage is likely to have harmful psychological and physical effects;
- (F) Teaches that bearing children out-of-wedlock is likely to have harmful consequences for the child, the child's parents, and society;
- (G) Teaches young people how to reject sexual advances and how alcohol and drug use increases vulnerability to sexual advances; and
- (H) Teaches the importance of attaining self-sufficiency before engaging in sexual activity.

Applicant Eligibility:

Public and private agencies responsible for the administration (or supervision of the administration) of projects under Section 1110 of the Social Security Act that teach abstinence, using the definitions contained in Section 510(b)(2) of the Act, to adolescents ages 12 through 18. Eligible applicants include: State governments; County governments; City or Township governments; independent school districts; private institutions of higher education; special district governments; public and State-controlled institutions of higher education; Native American Tribal governments (Federally recognized); public housing authorities/Indian housing authorities; Native American Tribal organizations (other than Federally recognized Tribal governments); non-profits having a 501(c)(3) status with the IRS, other than institutions of higher education; non-profits that do not have a 501(c)(3) status with the IRS, other than institutions of higher education; for-profit organizations other than small businesses; and, small businesses. Faith-based and community organizations that meet the statutory eligibility requirements are eligible to apply under this announcement. Sex education programs that promote the use of contraceptives are not eligible for funding under this program. The CBAE program was discontinued in FY10. No new funds will be available and no new awards will be made.

Beneficiary Eligibility:

Adolescents ages 12 through 18.

Credentials/Documentation:

Nonprofit organizations must submit proof of nonprofit status. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Specific instructions are published in a program announcement made available through www.grants.gov.

Award Procedure:

All applications are reviewed by a panel of nonfederal experts that assigns scores according to the published criteria. The panel's scores are factored into the recommendations for funding. Scores and recommendations are reviewed by the Associate Commissioner of the Family and Youth Services Bureau. Recommendations are made to the ACYF Commissioner, who makes the final funding decisions.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 60 to 90 days. The CBAE program was discontinued in FY10. No new funds will be available and no new awards will be made.

Appeals:

Other - Not Specified.

Renewals:

From 60 to 90 days. Awards are generally made for a 5-year project period. In the first year of the project, grants will be awarded on a competitive basis. Grant applications will be considered on a noncompetitive basis for years two through five subject to availability of funds, satisfactory progress of the grantee, and a determination that continued funding would be in the best interest of the Federal Government. The CBAE program was discontinued in FY10. No new funds will be available and no new awards will be made.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Awards are generally made for a 5-year project period. In the first year of the project, grants will be awarded on a competitive basis. Grant applications will be considered on a noncompetitive basis for years two through five subject to availability of funds, satisfactory progress of the grantee, and a determination that continued funding would be in the best interest of the Federal Government. Grants will be awarded for 12-month budget periods with a five-year project period. The CBAE program was discontinued in FY10. No new funds will be available and no new awards will be made. See the following for information on how assistance is awarded/released: All grant-funded work must be completed on or before October 29, 2010.

Reports:

Grantees are required to submit cash transaction reports, progress reports, and expenditure reports. Cash reports are not applicable. Grantees are required to submit program progress reports semi-annually throughout the project period. Program progress reports are due 30 days after the reporting period. Final programmatic reports are due 90 days after the close of the project period. Grantees are required to submit financial reports (SF-269) semi-annually throughout the project period. Financial reports are due 30 days after the reporting period. Final financial reports are due 90 days after the close of the project period. Performance monitoring occurs by reviewing program progress reports, conducting monitoring phone calls and conducting monitoring site visits.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000

or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

All financial records are to be maintained 3 years after termination of the project or until audit is completed, whichever occurs first.

Account Identification:

75-1536-0-1-506.

Obligations:

(Salaries) FY 12 \$0; FY 13 est \$0; and FY 14 est \$0 - The FY12 and FY13 budget makes no provision for the CBAE program. The proposed FY14 budget makes no provision for the CBAE program.

Range and Average of Financial Assistance:

N/A.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Contact the Family and Youth Services Bureau central office for available literature. You may also get information on the Family and Youth Services Bureau website at the following address:
<http://www.acf.hhs.gov/programs/fysb>.

Regional or Local Office:

None.

Headquarters Office:

Debbie Powell, 2150 Maryland Ave., SW, Washington, District of Columbia 20447 Email: debbie.powell@acf.hhs.gov Phone: (202) 205-2360.

Website Address:

<http://www.acf.hhs.gov/programs/fysb>.

RELATED PROGRAMS:

93.235 Abstinence Education Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.011 NATIONAL ORGANIZATIONS OF STATE AND LOCAL OFFICIALS

NOSLO

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Sections 301 and Title III Sections 330A and 330(l) of the Public Health Service (PHS) Act, as amended; and Section 711(b) of the Social Security Act as amended (42 U.S.C.912(b)).

OBJECTIVES:

The purpose of the National Organizations of State and Local Officials cooperative agreement is to: (1) engage national organizations, representing state and local officials, to provide training and technical assistance to promote primary care and public health initiatives for their constituencies; (2) strengthen the organizational capacities of state and local health departments, Primary Care Organizations (PCOs), small rural hospitals, State Medicaid agencies, and legislatures through information exchanges that support public and private sector initiatives at the state and local level to improve health and achieve health equity for the communities they serve; and (3) develop technical assistance materials and training activities that benefit health centers and public health agencies providing services to underserved communities.

The National Organizations of State and Local Officials (NOSLO) program has the following goals: (1) integrate primary care and public health activities and

examine key issues that affect health care delivery in underserved urban and rural communities; (2) improve public health programs at the national, state, and local levels, by partnering with state and local government entities and programs that contribute to population health and health policy development, (3) incorporate indicators of primary care and public health system integration, such as establishing multi-stakeholder coalitions that align with national and regional health and human services initiatives; (4) address a broad range of cross-cutting issues that affect state and local governments, including policy and data and environmental analyses associated with emerging health reform issues, such as implementation of the Affordable Care Act (ACA); (5) strengthen the organizational capacities of state health agencies, Medicaid agencies, and health care entities to collaborate in information exchanges and quality improvement activities for implementing innovative initiatives at the state and local level to improve health and achieve health equity for children, particularly children with special health care needs; and (6) leverage key strategic objectives at the state and local level, including safety net provider workforce development, health information technology and exchange, health equity, and safety net funding programs, such as Medicare and Medicaid.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

This program will support national organizations that represent the following groups: State/Territorial Health Department Officials, Local County and City Health Department Officials, State Medicaid Directors, and State Legislatures to address cross-cutting, publicly-funded health program integration and health access issues identified by the states and local governments and entities. The NOSLO cooperative agreements are multi-faceted and include opportunities for policy information exchange and collaboration, as well as for providing training and technical assistance to health centers, public health agencies, and other health care entities to address the needs of the underserved and targeted populations. The cooperative agreements will also address program priorities for the U.S. Department of Health and Human Services, such as the implementation of health reform activities at the state and local level, including addressing the implications of health reform provisions to achieve improved outcomes for beneficiaries and better services and value for state Medicaid programs.

Applicant Eligibility:

Eligible applicants include nonprofit national service organizations that can provide training and technical assistance on a national level. The applicants must have effective organizational infrastructures and capacities (i.e., systems, leadership, resources) to carry out the proposed activities. Applicants must be national in scope and have established long term relationships with the following groups: state legislatures; state government entities such as Primary Care Offices, State Maternal Child Health Agencies (MCH), State Rural Health Associations (SRHA) and State Offices of Rural Health (SORH); state and local health departments; state policymakers; and local county and city government entities.

Beneficiary Eligibility:

Eligible beneficiaries include the following entities: Federal; Interstate; Intrastate; State; Local; Public nonprofit institution/organization; Other public institution/organization; Non-Governmental General; Minority group; Specialized group (e.g., health professionals, students, veterans); Small business (less than 500 employees); Private nonprofit institution/organization; Quasi-public nonprofit institution/organization; Anyone/general public; Native American Organizations; Health professional; Black; American Indian; Spanish origin; Asian; Other non-white; Migrant; U.S. citizen; Refugee/Alien; Veteran/Service; Person/Reservist (including dependents); Women; Disabled (i.e., Deaf, Blind, Physically Disabled).

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O.

12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. HRSA requires all applicants to apply electronically through Grants.gov.

Award Procedure:

A Notice of Award, signed by the Grants Management Officer, is sent via e-mail to the applicant's Authorized Organization Representative.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula:

This program has no matching requirements.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Consult the funding opportunity announcement for the period of support. See the following for information on how assistance is awarded/released: Grantee drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

Refer to the funding opportunity announcement for additional information. Federal Financial Report (FFR) Cash Transaction Reports must be submitted electronically via the Payment Management System within 30 days of the end of each calendar quarter. The report identifies cash expenditures against the authorized funds for the grant or cooperative agreement. Go to www.dpm.psc.gov for additional information. Refer to the funding opportunity announcement for additional information. The Federal Financial Report (SF-425) is required within 90 days of the end of each budget period. Refer to the funding opportunity announcement for additional information on performance monitoring required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Refer to the funding opportunity announcement for information.

Records:

Grantees are required to maintain accounting records 3 years after the date they submit the FFR. If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Cooperative Agreements) FY 12 \$1,357,400; FY 13 est \$1,214,965; and FY 14 est \$2,000,000

Range and Average of Financial Assistance:

Range of financial assistance in FY 2013: from \$280,000 to \$300,000. Up to four (4) awards are expected to be made.

FY2012 includes \$147,400 supplemental awards

FY2013 includes \$114,965 in a supplemental award to one awardee

FY 2014 ranging from \$350,000 to \$600,000 for four awards.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices. Lynnette S. Araki, M.P.H.
Office of Planning, Analysis and Evaluation
Health Resources and Services Administration
Parklawn Bldg., Room 10-49
5600 Fishers Lane
Rockville, MD 20857
Telephone: 301-443-6204; Mobile: 301-252-6908
FAX: 301-443-2286
Email: LAraki@hrsa.gov.

Headquarters Office:

Lynnette S. Araki, 5600 Fishers Lane, Rockville, Maryland 20895 Email: LAraki@hrsa.gov Phone: (301) 443-6204 Fax: (301) 443-2286.

Website Address:

No Data Available

RELATED PROGRAMS:

93.129 Technical and Non-Financial Assistance to Health Centers

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Review Criteria are outlined in the funding opportunity announcement.

93.015 HIV PREVENTION PROGRAMS FOR WOMEN

FEDERAL AGENCY:

Office of the Secretary, Department of Health and Human Services

AUTHORIZATION:

Executive Order 42 U.S.C. 300u-2(a)(1), 300u-3, and 300u-6(e), 42 U.S.C 300u-2(a)(1).

OBJECTIVES:

HIV Prevention for Women Living in the Rural South: (1) Increase knowledge of accurate HIV prevention information among women living in rural communities in the south; (2) improve and increase access to quality HIV prevention services to women living with or at high risk for HIV infection in rural communities in the south; (3) improve receptivity to and awareness of HIV prevention education, necessary to reduce the stigma among women in rural southern communities; and (4) increase the number of women living in the rural south, who voluntarily receiving HIV testing.

HIV Prevention for Young Women Attending Minority Institutions: (1) Increase on campus activities targeting women at risk for HIV infection; (2) improve HIV prevention education efforts involving women on campus; (3) increase knowledge base of accurate HIV/STD prevention information among women attending minority institutions; and (4) improve access to HIV health related services for women attending minority institutions.

HIV Prevention for Women Living in the Rural and Frontier Indian Country: (1) Increase knowledge of accurate HIV prevention information among women living in Indian Country; (2) improve and increase access to quality HIV prevention services to women living with or at high risk for HIV infection in rural and frontier Indian Country; (3) improve receptivity to and awareness of

HIV prevention education necessary to reduce the stigma among women in rural and frontier Indian Country; and (4) increase the number of women living in rural and frontier Indian Country, who voluntarily receiving HIV testing.
HIV Prevention for Women Incarcerated and Newly Released: (1) Increase the number of incarcerated women receiving pre-release discharge planning, particularly those who are living with HIV or at high risk for HIV infection; (2) increase the number of HIV infected incarcerated women who are connected to drug assistance programs, medical care, and case management services prior to release or at time of release; (3) increase the number of community linkages and networks for ensuring continuum of care for incarcerated and newly released women living with or at high risk for HIV in locations with high rates of HIV infections and incarcerated populations; and (4) increase the number of newly released women receiving support services and HIV care six months post release.

HIV Prevention for Women Living in the U.S. Virgin Islands & Puerto Rico: (1) Increase access to quality care and treatment for women living with HIV infection; (2) improve and increase access to secondary HIV prevention services to women living with HIV infection and primary prevention for those women at risk for infection; (3) increase understanding of living with HIV, disease management, and treatment adherence; and (4) increase community support activities for women living with HIV and their families.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funds may be used to cover costs of: personnel; consultants; grant related office supplies and software; grant related travel (domestic only); educational, promotional and evaluation materials; and other grant related costs. Funds shall not be used to fund direct health care services or equipment for patients (e.g. diagnostic tests, screening equipment, treatment, etc.). Also, funds may not be used for: building alterations or renovations, construction, screening supplies or equipment, incentives and prizes, food, fund raising activities, political education and lobbying, and other activities that are not grant related.

Applicant Eligibility:

The applicant must be a Public College or University; a Historically Black College or University; a Hispanic Serving Institution; a Tribal College or University; a Private Non-Profit Community-Based Organization; a Native American Tribal Organization; and/or a Faith-Based Community Organization serving underserved women. Small businesses or organizations not in an official partnership with a qualified institution are not eligible for funding under this announcement.

Beneficiary Eligibility:

Underserved women living in the Rural South; Young Women Attending Minority Institutions; Native/American Indian and Alaskan Native Women Living in Rural and Frontier Indian Country; Women Incarcerated and Newly Released Living with or at Risk for HIV/AIDS/STDs; and Women Living in Puerto Rico and the U.S. Virgin Islands, will benefit from this program.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program.

Award Procedure:

Applications will be screened upon receipt. Those that are judged to be ineligible will be returned without comment. Accepted applications will be reviewed for technical merit in accordance with PHS policies. Applications will be evaluated by a technical review panel composed of experts. Funding decisions will be determined by the Director, Division for Policy and Program

Development, Office on Women's Health and will take into consideration the recommendations of the review panel; program needs, stated preferences; geographic location; and recommendations of DHHS Regional Women's Health Coordinators.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. Approximately 60 days from the application deadline date.

Appeals:

Not Applicable.

Renewals:

Support may be requested for a total project period not to exceed 5 years.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Support may be requested for a total project period not to exceed 5 years. Non-competing continuation awards of up to the amount specified in the announcement will be made, subject to satisfactory performance and availability of funds. Method of awarding/releasing assistance: by letter of credit.

Reports:

Progress reports are required quarterly; a final performance report is due 90 days following the end of the project period. Cash reports are required for each cash withdrawal or transfer. Progress reports are required for each budget period. NEW FINANCIAL REPORTING REQUIREMENT Federal Financial Reporting (FFR) SF 425:

As of February 1, 2011, the Department of Health and Human Services (DHHS) will begin the transition from use of the SF-269, Financial Status Report (Short Form or Long Form) to the use of the SF-425 Federal Financial Report for expenditure reporting. SF-269s will no longer be accepted for expenditure reports due after that date. If an SF-269 is submitted, the Office of the Assistant Secretary for Health, Office of Grants Management will return it and require the recipient to complete the SF-425. Copies of the SF-425 can be found on the website at:

http://www.whitehouse.gov/sites/default/files/omb/assets/grants_forms/SF-425.pdf

1. Grantees must submit quarterly Federal Financial Reports due on one of the standard due dates below by which cash reporting is required to be submitted to PMS or at the end of a calendar quarter. The FFR is due 30 days after the end of each calendar quarter.

2. Also, grantees must submit an annual Federal Financial Report due 90 days after the end of the budget period/project period end date.

3. Reports are to be submitted electronically through GrantSolutions. In addition to the required quarterly progress reports, a final performance report is due 90 days following the end of the project period.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to a grant shall be retained for a minimum of 3 years, or longer pending completion and resolution of any audit findings.

Account Identification:

75-0120-0-1-551.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 12 \$5,287,493; FY 13 est \$3,700,000; and FY 14 Estimate Not Available

Range and Average of Financial Assistance:

For specific Information see the program announcement.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices. Mary L. Bowers
OASH/Office on Women's Health
200 Independence Ave., SW
Room 7-712E
Washington, DC
Phone: 202-260-0020
email: Mary.Bowers@hhs.gov.

Headquarters Office:

Brenda C. Donaldson Tower Building Suite 550, 1101 Wootton Parkway, Rockville, Maryland 20852 Email: Brenda.Donaldson@hhs.gov Phone: (240) 453-8822.

Website Address:

<http://www.4woman.gov/owh>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The applicant must be a Public College or University; a Historically Black College or University; a Hispanic Serving Institution; a Tribal College or University; a Private Non-Profit Community-Based Organization; a Native American Tribal Organization; and/or a Faith-Based Community Organization serving underserved women. Small businesses or organizations not in an official partnership with a qualified institution are not eligible for funding under this announcement. For specific details see the full announcement published in the Federal Register.

93.016 POSTAL MODEL FOR MEDICAL COUNTERMEASURES DELIVERY AND DISTRIBUTION

FEDERAL AGENCY:

Office of the Secretary, Department of Health and Human Services

AUTHORIZATION:

Public Health Services Act (42 USC 247d, 300hh-11)
Food, Drug and Cosmetic Act (21 United States Code [USC] 301 et seq).

OBJECTIVES:

To help metropolitan areas augment their capabilities to respond to a large-scale bioterrorism attack. The primary focus is on developing and testing collaborative arrangements whereby the United States Postal Service (USPS) and local law enforcement agencies, under the direction of the local or State public health authority, would deliver self-administrable medical countermeasures (specifically, antibiotic drugs) to every residence in the at-risk area potentially the entire community with the goal of preventing disease and death and thus avoiding a public health catastrophe.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

Grant funds may be used for Postal Model for Medical Countermeasures Delivery and Distribution related activities only. Grants funds may not be used for construction and/or major rehabilitation of buildings. Grants funds may not be used for basic research (e.g. scientific or medical experiments.). When appropriated and apportioned, all funds available will be used for discretionary grant awards.

Applicant Eligibility:

Domestic public or private non-profit entities including state and local governments, Indian tribal governments and organizations (American Indian/Alaskan Native/Native American), faith-based organizations, community-based organizations, hospitals, and institutions of higher education.

Beneficiary Eligibility:

All entities listed above.

Credentials/Documentation:

Applicants should review the individual Assistant Secretary for Preparedness and Response (ASPR) funding opportunity announcement (FOA) issued under this CFDA program for any proof of certifications which must be submitted prior to or simultaneous with submission of an application package. OMB Circular A-122 applies to this program. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Grant applications and required forms for this program can be obtained through Grants.gov - FIND. Applicants should visit Grants.gov - FIND for the FOA and Grants.gov - APPLY to download application materials to complete and submit their applications in accordance with the FOA.

Award Procedure:

Applications that successfully proceed through initial screening (as outlined in the FOA) will be objectively reviewed based upon the review criteria specified in the FOA. Applications selected for funding will be processed and the applicant notified in writing through the issuance of a Notice of Grant Award from the Office of the Assistant Secretary for Preparedness and Response.

Deadlines:

Sep 30, 2011: Applicable deadlines and due dates are specified in the funding opportunity announcement.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Project periods are limited up to 12 months. Budget periods are limited up to 12 months. See the following for information on how assistance is awarded/released: Awardee is issued a Notice of Grant Award, a legal document that informs the awardee that a grant award has been made. See the following for information on how assistance is awarded/released: Awardee may draw down funds in advance of the incursion of expenditure (up to three days) from the Payment Management System.

Reports:

Grantees are required to submit program reports, also known as Program Progress Reports. Recipients must report cash transaction data via the Payment Management System (PMS) using the cash transaction data elements captured on the Federal Financial Report (FFR), Standard Form (SF) 425. The FFR SF425 cash transaction report is due 30 days after the end of each calendar quarter. Grantees are required to submit Program Progress Reports at the completion of each milestone as well as a final Program Progress Report. ASPR requires financial reporting through the Federal Financial Report (FFR), Standard Form 425. Reports are due semi-annually within 30 days after the first 6 month period and within 90 days of the budget period end date. Performance monitoring of awardees is completed by ASPR program staff.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records must be kept available for 3 years after submission of the final expenditure report.

Account Identification:

75-0140-0-1-551.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 12 \$0; FY 13 est \$0; and FY 14 est \$0

Range and Average of Financial Assistance:

\$50,000 Phase 1 and \$200,000 Phase 2 award.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Not active in 2012. Fiscal Year 2013: N/A. Fiscal Year 2014: N/A.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments, 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, 2 CFR, and the HHS Grants Policy Statement found at <http://dhhs.gov/asfr/ogapa/grantinformation/hhsgps107.pdf>.

Regional or Local Office:

None.

Headquarters Office:

Delaine E Black Countermeasures Dispensing Program, Office of Preparedness and Emergency Operations, Office of the Assistant Secretary for Preparedness and Response, Department of Health and Human Services, 200 Independence Ave., SW, Suite 638G, Washington, District of Columbia 20201 Email: delaine.black@hhs.gov Phone: 202-401-5829

Website Address:

<http://www.phe.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Discretionary awards are subject to objective review. Reviewers will individually evaluate and score applications based upon the review criteria specified in the funding opportunity announcement. Scored applications will be ordinarily ranked. The highest ranked applications will receive priority consideration for award within available funding.

93.018 STRENGTHENING PUBLIC HEALTH SERVICES AT THE OUTREACH OFFICES OF THE U.S.-MEXICO BORDER HEALTH COMMISSION

FEDERAL AGENCY:

Office of the Secretary, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act As Amended, Title XVII, Section 1701(e) (1), 22 U.S.C 290n.

OBJECTIVES:

This initiative addresses and targets outreach and health promotion activities; evaluation and assessments; health data analysis and surveillance; public information, promotion and communication; Healthy Border/Healthy Gente activities; and will work with communities to implement programs to improve

the health of border residents; and will publicize the achievements and challenges of border health; and will provide programmatic and administrative support to the members and staff of the United States - Mexico Border Health Commission. This assistance is geared to support current, on-going and proposed public health initiatives in this border region that support the goals and objectives of the United States - Mexico Border Health Commission as it serves to strengthen access to health care, disease prevention, and public health along the state border.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funds may be used to cover costs of: personnel; consultants; grant related office supplies and software; grant related travel (domestic only); educational, promotional and evaluation materials; and other grant related costs. Funds shall not be used to fund direct health care services or equipment for patients (e.g. diagnostic tests, screening equipment, treatment, etc.). Also, funds may not be used for: building alterations or renovations, construction, screening supplies or equipment, incentives and prizes, food, fund raising activities, political education and lobbying, and other activities that are not grant related.

Applicant Eligibility:

This is a single eligibility cooperative agreement offered to the TX, NM, AZ, and CA State Department of Health. The State Department of Health will work with the Border State Outreach Office to accomplish the goals and objectives of the U.S.-Mexico Border Health Commission.

Beneficiary Eligibility:

Underserved individuals residing in border area communities, and increase assistance to United States- Mexico Border Health Commission.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

Applications will be screened upon receipt. Those that are judged to be incomplete or arrive after the deadline will be returned without comment. Accepted applications will be reviewed for technical merit in accordance with HHS policies. Applications will be evaluated by a technical review panel composed of experts. Funding decisions will be determined by the Director, Office of Global Health Affairs and will take into consideration the recommendations and ratings of the review panel; program needs, and stated preferences.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. Approximately 60 days from the application deadline date.

Appeals:

Not Applicable.

Renewals:

Project period is for 3 years.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Length and Time Phasing of Assistance: Grants can have a project period not to exceed 4 years, with 12-month budget periods. Following the initial budget period, continued funding is subject to the availability of funds and satisfactory program performance. Payments will be made either on a monthly cash request basis or under a letter of credit. Necessary instructions regarding payment procedure will be provided at the time the Notice of Grant Award is issued.

Method of awarding/releasing assistance: quarterly. Method of awarding/releasing assistance: by letter of credit.

Reports:

Progress reports are required semi-annually, a final performance report is due 90 days following the end of the project period. Cash reports are required for each cash withdrawal or transfer. Progress reports are required for each budget period. Progress reports are required semi-annually. As of February 1, 2011, the Department of Health and Human Services (DHHS) will begin the transition from use of the SF-269, Financial Status Report (Short Form or Long Form) to the use of the SF-425 Federal Financial Report for expenditure reporting. SF-269s will no longer be accepted for expenditure reports due after that date. If an SF-269 is submitted, the Office of the Assistant Secretary for Health, Office of Grants Management will return it and require the recipient to complete the SF-425. Copies of the SF-425 can be found on the website at: http://www.whitehouse.gov/sites/default/files/omb/assets/grants_forms/SF-425.pdf

1. Grantees must submit quarterly Federal Financial Reports due on one of the standard due dates below by which cash reporting is required to be submitted to PMS or at the end of a calendar quarter. The FFR is due 30 days after the end of each calendar quarter.

2. Also, grantees must submit an annual Federal Financial Report due 90 days after the end of the budget period/project period end date.

3. Reports are to be submitted electronically through GrantSolutions . In addition to the required quarterly progress reports, a final performance report is due 90 days following the end of the project period. In addition to the required semi-annual progress reports, a final performance report is due 90 days following the end of the project period.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to a grant shall be retained for a minimum of 3 years, or longer pending completion and resolution of any audit findings.

Account Identification:

75-0120-0-1-551.

Obligations:

(Cooperative Agreements) FY 12 Not Available; FY 13 Estimate Not Available; and FY 14 Estimate Not Available

Range and Average of Financial Assistance:

\$400,000 to \$475,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Specific program requirements are contained in the application instructions, the Federal Register announcement, and the HHS Grants Policy Statement (HHS Publication No. (OASH) 90-50,000, (Rev.) April 1, 1994); 45 CFR 100 applies.

This program is subject to the provisions of 45 CFR Part 92 for State, local and

tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations.

Regional or Local Office:

See Regional Agency Offices. For assistance on programmatic issues, please contact: Mr. Dan ReynaMSS, MPA
General Manager, U.S. Section; U.S.-Mexico Border Health Commission;
Office of Global Health Affairs; Office of the Secretary; Department of Health and Human Services, El Paso, Texas 79901. Telephone (915) 532-1006, ext. 13, FAX (915) 532-1697, e-mail Dan.Reyna@hhs.gov or Office of Global Health Affairs, 200 Independence Ave, SW, Room 639H, Washington, DC 20201.

Headquarters Office:

Eric C. West, Tower Building, Suite 550, 1101 Wootton Parkway, Rockville, Maryland 20852 Email: eric.west@hhs.gov Phone: (240) 453-8449.

Website Address:

www.globalhealth.gov/oirh.shtml .

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be screened by OGHA staff for completeness and for responsiveness to the program guidance. Applicants should pay strict attention addressing these criteria, as they are the basis upon which applications will be judged. Those applications judged to be non-responsive or incomplete will be returned to the applicant without review. Applications that are complete and responsive to the guidance will be evaluated for scientific and technical merit by an appropriate peer review group specifically convened for this solicitation and in accordance with HHS policies and procedures. As part of the initial merit review, all applications will receive a written critique. All applications recommended for approval will be discussed fully by the ad hoc peer review group and assigned a priority score for funding. Eligible applications will be assessed according the following criteria: Technical Approach, 45 points; Experience and Capabilities of the Organization, 45 points; and Facilities and Resources, 10 points.

93.019 TECHNICAL ASSISTANCE AND PROVISION FOR FOREIGN HOSPITALS AND HEALTH ORGANIZATIONS

(Technical Assistance and Provision for Foreign Hospitals and Health Organizations)

FEDERAL AGENCY:

Office of the Secretary, Department of Health and Human Services

AUTHORIZATION:

The Omnibus Appropriations Act, 2009, appropriated funds to HHS for carrying out Title III of the Public Health Service Act (PHS Act). Public Law 111-8, 123 Stat. 524, 777 (March 11, 2009).
b. Pursuant to Section 307(a)(2) of the PHS Act, 42 U.S.C. Section 242l(a)(2), HHS is authorized to participate with other countries in cooperative endeavors in biomedical research, health care services, health care research, or other related activities in furtherance of the activities, objectives or goals authorized under the Tom Lantos and Henry J. Hyde United States Global Leadership Against HIV/AIDS, Tuberculosis, and Malaria Reauthorization Act of 2008 (PEPFAR Reauthorization Act). The purpose of the PEPFAR Reauthorization Act, 22 U.S.C. Section 7603, is to strengthen and enhance United States leadership and the effectiveness of the United States response to the HIV/AIDS, tuberculosis, and malaria pandemics and other related and preventable infectious diseases as part of the overall United States health and development agenda. , 42 U.S.C 242l(a)(2).

OBJECTIVES:

To provide support for a quality of care improvement project based in a partner healthcare institution around the world, to provide continuing education and refresher training to physicians and other staff at hospitals and other Health Organizations around the world, and strengthen support and relations with Ministers of Public Health (MOPH).

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds may be used to cover costs of: personnel; consultants; grant related office supplies and software; grant related travel (domestic only); educational, promotional and evaluation materials; and other grant related costs. Funds shall not be used to fund direct health care services or equipment for patients (e.g., diagnostic tests, screening equipment, treatment, etc.). Also, funds may not be used for: building alterations or renovations, construction, screening supplies or equipment, incentives and prizes, food, fund raising activities, political education and lobbying, and other activities that are not grant related.

Applicant Eligibility:

none.

Beneficiary Eligibility:

Citizens of the world.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. All grant announcements are posted on www.grants.gov. Application kits for new opportunities may be requested by calling (240) 453-8822 or writing to:Office of Public Health and Science (OPHS) Office of Grants Management, 1101 Wootton Parkway, Suite 550, Rockville, MD 20852.

Award Procedure:

Applications will be screened upon receipt. Those that are judged to be incomplete or arrive after the deadline will be returned without comment. Accepted applications will be reviewed for technical merit in accordance with HHS policies. Applications will be evaluated by a technical review panel composed of experts. Funding decisions will be determined by the Director, Office of Global Health Affairs and will take into consideration the recommendations and ratings of the review panel; program needs, and stated preferences.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. Approximately 60 days from the application deadline date.

Appeals:

Not Applicable.

Renewals:

> 180 Days. Support is recommended for a specified project period (5 years), and is not generally considered for renewal beyond that period.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Varies, but a project period is generally limited to 5 years or less. Within a project period, continuation applications must be submitted annually on a noncompeting basis for each year of support. Method of awarding/releasing assistance: by letter of credit.

Reports:

Annual Program reports are required. Cash Reports are due on a minimum basis of quarterly. Progress reports are required for each budget period. Financial status reports are due at the end of each budget period. A final performance report is due 90 days following the end of the project period.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

HHS and the Comptroller General of the United States or any of their authorized representatives, shall have the right of access to any books, documents, papers, or other records of a grantee, subgrantee, contractor, or subcontractor, which are pertinent to the HHS grant, in order to make audits, examinations, excerpts and transcripts. In accordance with 45 CFR Part 74.53 and 45 CFR Part 92, grantees are required to maintain grant accounting records 3 years after the end of a budget period. If any litigation, claim, negotiation, audit or other action involving the records has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0120-0-1-551.

Obligations:

(Salaries) FY 12 \$0; FY 13 Estimate Not Available; and FY 14 Estimate Not Available

Range and Average of Financial Assistance:

\$650,000.00 to \$7,900,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 74 and 92. Specific program requirements are contained in the Federal Register Notice, the application instructions, and the HHS Grants Policy Statement.

Regional or Local Office:

None. Program Management Contact:
Biomedical Advanced Research and Development Authority (BARDA)
Office of the Assistant Secretary for Preparedness and Response
U.S. Department of Health and Human Services.

Headquarters Office:

Julie Schafer 200 Independence Ave., SW., Washington, District of Columbia
20201 Email: Julie.Schafer@hhs.gov Phone: 202-205-1435

Website Address:

<http://www.phe.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be screened by OGHA staff for completeness and for responsiveness to the program guidance. Applicants should pay strict attention addressing these criteria, as they are the basis upon which applications will be judged. Those applications judged to be non-responsive or incomplete will be returned to the applicant without review. Applications that are complete and responsive to the guidance will be evaluated for scientific and technical merit by an appropriate peer review group specifically convened for this solicitation and in accordance with HHS policies and procedures. As part of the initial merit review, all applications will receive a written critique. All applications recommended for approval will be discussed fully by the ad hoc peer review group and assigned a priority score for funding. Eligible applications will be assessed according the following criteria: Technical Approach 45 points; Experience and Capabilities of the Organization 45 points; and Facilities and Resources 10 points.

**93.041 SPECIAL PROGRAMS FOR THE AGING_TITLE VII,
CHAPTER 3 PROGRAMS FOR PREVENTION OF ELDER ABUSE,
NEGLECT, AND EXPLOITATION****FEDERAL AGENCY:**

Administration for Community Living, Department of Health and Human Services

AUTHORIZATION:

Older Americans Act, Title 7, Section 721.

OBJECTIVES:

To support activities to develop, strengthen, and carry out programs for the prevention, detection, assessment, and treatment of, intervention in, investigation of, and response to elder abuse, neglect, and exploitation (including financial exploitation), including: (1) providing for public education and outreach to identify and prevent elder abuse, neglect, and exploitation; (2) providing for public education and outreach to promote financial literacy and prevent identity theft and financial exploitation of older individuals; (3) ensuring the coordination of services provided by area agencies on aging with services instituted under the State adult protection service program, State and local law enforcement systems, and courts of competent jurisdiction; (4) promoting the development of information and data systems, including elder abuse reporting systems, to quantify the extent of elder abuse, neglect, and exploitation in the State; (5) conducting analyses of State information concerning elder abuse, neglect, and exploitation and identifying unmet service, enforcement, or intervention needs; (6) conducting training for individuals, professionals, and paraprofessionals, in relevant fields on the identification, prevention, and treatment of elder abuse, neglect, and exploitation, with particular focus on prevention and enhancement of self determination and autonomy; (7) providing technical assistance to programs that provide or have the potential to provide services for victims of elder abuse, neglect, and exploitation and for family members of the victims; (8) conducting special and on going training for individuals involved in serving victims of elder abuse, neglect, and exploitation, on the topics of self determination, individual rights, State and Federal requirements concerning confidentiality, and other topics determined by a State agency to be appropriate; (9) promoting the development of an elder abuse, neglect, and exploitation system; (10) examining various types of shelters serving older individuals (in this paragraph referred to as safe havens), and testing various safe haven models for establishing safe havens (at home or elsewhere), that recognize autonomy and self-determination, and fully protect the due process rights of older individuals; and (11) supporting multidisciplinary elder justice activities.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Funds are awarded to State Agencies on Aging to develop and enhance comprehensive and coordinated programs for the prevention and treatment of elder abuse, neglect, and exploitation, consistent with relevant State law and coordinated with State adult protective service activities. A State plan covering 2, 3, or 4 years, with annual revisions as necessary, must be submitted for approval to the Assistant Secretary on Aging. Funds are used to develop, strengthen, and carry out activities for prevention and treatment of elder abuse, neglect and exploitation.

Applicant Eligibility:

All States and U.S. Territories which have State Agencies on Aging designated by the governors.

Beneficiary Eligibility:

Individuals 60 years of age and older, targeting those older individuals with the greatest social needs and those with the greatest economic needs.

Credentials/Documentation:

Applicable costs and administrative procedures will be determined in accordance with Part 92 of Title 45 of the Code of Federal Regulations. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under

E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Consult the appropriate Regional Office for State application instructions. (See Appendix IV of the Catalog).

Award Procedure:

The Administration for Community Living awards funds through a statutory formula to State Agencies on Aging after review and evaluation of submitted state plans.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. States are entitled to these grants by statute. However, the grants can be terminated by the Assistant Secretary for Aging if the required State Plan is not approved, or if the required intrastate funding formula is not approved.

Appeals:

Appeals are processed in accordance with HHS regulations in 45 CFR 1321 and Section 2112 of Title 28, U.S.C.

Renewals:

From 60 to 90 days. Applications are submitted for a 2-, 3-, or 4-year period and are revised as necessary.

Formula and Matching Requirements:

Statutory Formula:

This program has no matching requirements.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

One year. See the following for information on how assistance is awarded/released: Payment Management System.

Reports:

Program reports are required. Submitted through the payment management system. No progress reports are required. SF-425. Through the appropriate Regional Office.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records must be kept available for 3 years after submission of the final expenditure report.

Account Identification:

75-0142-0-1-506.

Obligations:

(Formula Grants) FY 12 \$5,025,014; FY 13 est \$5,025,014; and FY 14 est \$5,025,014

Range and Average of Financial Assistance:

Average: \$89,732.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 92 and 45 CFR 1321.

To assist state efforts in developing comprehensive State Plans, the U.S. Administration on Aging provided technical support funding to the National Association of State Units on Aging to develop the TASC planning zone, which includes information on elder abuse prevention programming:

<http://nasua.org/tasc/>

Regional or Local Office:

See Regional Agency Offices. Regional Administrator, Administration for Community Living, Department of Health and Human Services, located at all HHS Federal Regional Offices. (See Appendix IV of the Catalog.)

Headquarters Office:

Barbara Dieker One Massachusetts Ave., NW, Washington, District of Columbia 20001 Email: Barbara.Dieker@acl.hhs.gov Phone: (202) 357-0139 Fax: (202) 357-3459.

Website Address:

<http://www.acl.gov>

RELATED PROGRAMS:

93.042 Special Programs for the Aging Title VII, Chapter 2 Long Term Care Ombudsman Services for Older Individuals

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.042 SPECIAL PROGRAMS FOR THE AGING TITLE VII, CHAPTER 2 LONG TERM CARE OMBUDSMAN SERVICES FOR OLDER INDIVIDUALS

(State Grants for Long Term Care Ombudsman Services)

FEDERAL AGENCY:

Administration for Community Living, Department of Health and Human Services

AUTHORIZATION:

Older Americans Act of 1965, as amended, Section 702 (a).

OBJECTIVES:

The principal role of this Ombudsman Program is to investigate and resolve complaints made by or on behalf of residents of nursing homes or other long-term care facilities. Ombudsmen also promote policies and practices needed to improve the quality of care and life in long-term care facilities and educate both consumers and providers about residents' rights and good care practices.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Funds are awarded to States to develop or strengthen service systems through designated State Agencies on Aging, Area Agencies on Aging and other entities. Funds under this Title and Chapter are included in State aging plans covering 2, 3, or 4 years, with annual revisions as necessary, submitted for approval to the Assistant Secretary for Aging. Funds are used to design and implement programs for the provision of long-term care ombudsman services for individuals living in long-term care facilities.

Applicant Eligibility:

All States and U.S. Territories which have State Agencies on Aging designated by the governors.

Beneficiary Eligibility:

Older individuals residing in long-term care facilities or requiring assistance in entering or transferring from such facilities.

Credentials/Documentation:

Applicable costs and administrative procedures will be determined in accordance with Part 92 of Title 45 of the Code of Federal Regulations. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed

in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Consult the appropriate Regional Office for State application instructions. (See Appendix IV of the Catalog for listing).

Award Procedure:

The Administration for Community Living awards funds through a statutory formula to State Agencies on Aging.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

States and territories are entitled to these grants by statute.

Appeals:

Appeals are processed in accordance with HHS regulations in 45 CFR 16.

Renewals:

Applications are submitted for a 2-, 3-, or 4-year period and revised as necessary.

Formula and Matching Requirements:

Statutory Formula: This program has minimum funding requirements, see funding agency for further details.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

One year. Method of awarding/releasing assistance: lump sum.

Reports:

Federal Financial Report, SF-425. Federal Financial Report, SF-425. as noted in the Program Announcement. SF-425. as noted in the Program Announcement.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records must be kept available for 3 years after submission of the final expenditure report.

Account Identification:

75-0142-0-1-506.

Obligations:

(Formula Grants) FY 12 \$16,723,160; FY 13 est \$15,725,701; and FY 14 est \$16,593,390

Range and Average of Financial Assistance:

Range (FY 12) Range: \$10,542 - \$1,703,874; Average: \$298,628.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 92 and 45 CFR 1321.

Regional or Local Office:

See Regional Agency Offices. Regional Administrator, Administration for Community Living, Department of Health and Human Services, Regional Offices. (See Appendix IV of the Catalog.).

Headquarters Office:

Louise Ryan Dept of Health and Human Services, Administration for Community Living
, Washington, District of Columbia 20201 Email: Louise.Ryan@acl.hhs.gov
Phone: 202-357-3503

Website Address:

<http://www.acl.gov>

RELATED PROGRAMS:

93.041 Special Programs for the Aging Title VII, Chapter 3 Programs for Prevention of Elder Abuse, Neglect, and Exploitation; 93.043 Special Programs for the Aging Title III, Part D Disease Prevention and Health Promotion Services; 93.044 Special Programs for the Aging Title III, Part B Grants for Supportive Services and Senior Centers; 93.045 Special Programs for the Aging Title III, Part C Nutrition Services; 93.048 Special Programs for the Aging Title IV and Title II Discretionary Projects; 93.052 National Family Caregiver Support, Title III, Part E

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Awards are made on a non-competitive basis.

93.043 SPECIAL PROGRAMS FOR THE AGING TITLE III, PART D DISEASE PREVENTION AND HEALTH PROMOTION SERVICES

Special Programs for the Aging, Title III, Part D, Disease Prevention and Health Promotion Services

FEDERAL AGENCY:

Administration for Community Living, Department of Health and Human Services

AUTHORIZATION:

Older Americans Act of 1965, Title III, Parts A and F, Public Law 89-73, as amended; Public Law 90-42, 81 Stat. 106; Public Law 91-69, 83 Stat. 108; Public Law 93-29, 87 Stat. 30; Public Law 93-351, 88 Stat. 357; Public Law 94-135, 89 Stat. 713; Public Law 95-65, 91 Stat. 269; Public Law 95-478, 92 Stat. 1513; Public Law 97- 115, 95 Stat. 1595; Public Law 98-459, 98 Stat. 1767; Public Law 100- 175, 101 Stat. 926; Section 705, Public Laws 100-628, 102-375, and 106-501, 42 U.S.C. 3022-3030(M).

OBJECTIVES:

To develop or strengthen preventive health service and health promotion systems through designated State Agencies on Aging and Area Agencies on Aging. Funds are provided for evidence-based disease prevention and health promotion services including programs related to: physical fitness; medication management; chronic disease self-management education; psychosocial behavioral health intervention; nutrition counseling; HIV; arthritis; brain health; diabetes; falls prevention; substance-abuse screening and intervention; family caregiver psychosocial counseling and self-care educational support; care transition intervention; depression; and chronic pain.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Funds are awarded to States to develop or strengthen preventive health service and health promotion systems through designated State Agencies on Aging and area Agencies on Aging. A State plan covering 2, 3, or 4 years, with annual revisions as necessary, must be submitted to State agencies for approval. Funds are used to implement evidence-based programs for preventive health services to be provided at senior centers or alternative sites as appropriate. The preventive health services under this part may not include services eligible for reimbursement under Medicare.

Applicant Eligibility:

Only States and U.S. Territories which have State Agencies on Aging designated by the governors are eligible to receive these grants.

Beneficiary Eligibility:

Older individuals, especially those living in areas of States which are medically underserved and in which there are a large number of older individuals who have the greatest economic need for the services.

Credentials/Documentation:

Applicable costs and administrative procedures will be determined in accordance with Part 92 of Title 45 of the Code of Federal Regulations. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Consult the appropriate Regional Office for State application instructions.

Award Procedure:

The Administration on Aging awards funds through a statutory formula to State Agencies on Aging. State agencies approve and award funds to substate level organizations, which they have designated.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. States are entitled to these grants by statute.

Appeals:

Appeals are processed in accordance with HHS regulations in 45 CFR 1321 and Section 2112 of Title 28, U.S.C.

Renewals:

Applications are submitted for a 2,3 or 4-year period with annual revisions.

Formula and Matching Requirements:

Statutory Formula:

Matching Requirements: Percent: 85%. Formula grants are 85% Federal and 15% non-federal. The non-federal contribution may be in the form of cash or in-kind contributions, including equipment or services.

This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

One year. See the following for information on how assistance is awarded/released: Payment Management System.

Reports:

Annual Program Performance Report. Submitted through the payment management system. Progress reports are not applicable. SF 425 Status Report (Semi-annual). No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records must be kept available for 3 years after submission of the final expenditure report.

Account Identification:

75-0142-0-1-506.

Obligations:

(Formula Grants) FY 12 \$20,944,340; FY 13 est \$20,944,340; and FY 14 est \$20,944,340

Range and Average of Financial Assistance:

\$13,141 to \$2,132,032; \$375,464.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 92 and 45 CFR 1321.

Regional or Local Office:

See Regional Agency Offices. Regional Administrator, Administration on Aging, Department of Health and Human Services, Regional Offices. (See Appendix IV of the Catalog.).

Headquarters Office:

Danielle Nelson One Massachusetts Ave, Washington, District of Columbia 20201 Email: Danielle.Nelson@acl.hhs.gov Phone: 202-357-3512

Website Address:

http://www.aoa.gov/AoARoot/AoA_Programs/HPW/Title_IIID/index.aspx

RELATED PROGRAMS:

93.044 Special Programs for the Aging_Title III, Part B_Grants for Supportive Services and Senior Centers; 93.045 Special Programs for the Aging_Title III, Part C_Nutrition Services; 93.052 National Family Caregiver Support, Title III, Part E

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Awards are made on a non-competitive basis. State Grants are awarded upon approval of a State Plan.

93.044 SPECIAL PROGRAMS FOR THE AGING_TITLE III, PART B GRANTS FOR SUPPORTIVE SERVICES AND SENIOR CENTERS FEDERAL AGENCY:

Administration for Community Living, Department of Health and Human Services

AUTHORIZATION:

Older Americans Act of 1965, Title III, Parts A and B, Public Law 89-73, as amended; Public Law 90-42, 81 Stat. 106; Public Law 91-69, 83 Stat. 108; Public Law 93-29, 89 Stat. 301; Public Law 93-351, 88 Stat. 357; Public Law 94-135, 89 Stat 713; Public Law 95- 65, 91 Stat. 269; Public Law 95-478, 92 Stat. 1513; Public Law 97-115, 95 Stat. 1595; Public Law 98-459, 98 Stat. 1767; Public Law 100-175; Section 705, Public Law 100-628; 42 U.S.C. 3022-3030d; Public Law 106-501.

OBJECTIVES:

To encourage State Agencies on Aging and Area Agencies on Aging to concentrate resources to develop and implement comprehensive and coordinated community-based systems of service for older individuals via Statewide planning, and area planning and provision of supportive services, including multipurpose senior centers. The objective of these services and centers is to maximize the informal support provided to older Americans to enable them to remain in their homes and communities. Providing transportation services, in-home services, and other support services, this program insures that elders receive the services they need to remain independent.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Funds are awarded to States to develop and strengthen comprehensive and coordinated service delivery systems through designated State Agencies on Aging and Area Agencies on Aging. A State plan covering 2, 3, or 4 years, with annual amendments as necessary, must be submitted for approval to the Assistant Secretary for Aging. Area Plans also cover 2, 3, or 4 years, and are to be submitted to State agencies for approval. These funds are distributed by intrastate funding formulas to area agencies in States with planning and service areas and directly to service providers in the 13 States designated as single-planning-and-service-area States. In addition to supportive nutrition services, these funds may be used to support other services in relation to multipurpose senior centers.

Applicant Eligibility:

Only State and U.S. Territories which have State Agencies on Aging designated by the Governors are eligible to receive these grants.

Beneficiary Eligibility:

Individuals age 60 and over, targeting those older individuals with the greatest

economic needs, the greatest social needs, and those residing in rural areas.

Credentials/Documentation:

Applicable costs and administrative procedures will be determined in accordance with Part 92 of Title 45 of the Code of Federal Regulations. OMB Circular No. A-87 applies to this program. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

This program is subject only to the State Plan Consolidation Section of E.O. 12372. State plans are covered under this Section, but intergovernmental consultation review is excluded. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Consult the appropriate Regional Office for State application instructions. Also, see 45 CFR 1321.

Award Procedure:

The Administration on Aging awards funds through a statutory formula to State Agencies on Aging. State agencies approve and award funds to sub-state level organizations, which they have designated.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. States are entitled to these grants by statute.

Appeals:

Appeals are processed in accordance with HHS regulations in 45 CFR 1321 and Section 2112 of Title 28, U.S.C.

Renewals:

State Plans are submitted for a 2-, 3-, or 4-year period with annual revisions as necessary.

Formula and Matching Requirements:

Statutory Formula: Formula Grants are 85 percent Federal and 15 percent nonfederal funds. See funding agency for further details.

Matching Requirements: Percent: 15.%.

This program has MOE requirements, see funding agency for further details. See funding agency for further details.

Length and Time Phasing of Assistance:

One year. the following for information on how assistance is awarded/released: Payment Management System. See the following for information on how assistance is awarded/released: Payment Management System.

Reports:

SF 425 Financial Status Report (semi-annually) and the Annual Program Performance Report. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable. Cash reports are not applicable. No progress reports are required. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit

Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records must be kept available for 3 years after submission of the final expenditure report.

Account Identification:

75-0142-0-1-506.

Obligations:

(Formula Grants) FY 12 \$364,663,840; FY 13 est \$365,402,129; and FY 14 est \$365,402,129

Range and Average of Financial Assistance:

Average \$6,511,854.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: \$36,466,3840. Fiscal Year 2013: 365402129 - no other details are available. Fiscal Year 2014: 365402129 - no other details are available.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 92 and 45 CFR 1321.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Danielle Nelson One Massachusetts Ave, Washington, District of Columbia 20201 Email: Danielle.Nelson@aoa.hhs.gov Phone: 202-357-3512

Website Address:

<http://www.aoa.gov>.

RELATED PROGRAMS:

93.041 Special Programs for the Aging Title VII, Chapter 3 Programs for Prevention of Elder Abuse, Neglect, and Exploitation; 93.042 Special Programs for the Aging Title VII, Chapter 2 Long Term Care Ombudsman Services for Older Individuals; 93.043 Special Programs for the Aging Title III, Part D Disease Prevention and Health Promotion Services; 93.045 Special Programs for the Aging Title III, Part C Nutrition Services; 93.048 Special Programs for the Aging Title IV and Title II Discretionary Projects; 93.052 National Family Caregiver Support, Title III, Part E

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Noncompetitive. State grants awarded based on approval of State Plan, and intrastate funding formula for States with area agencies.

93.045 SPECIAL PROGRAMS FOR THE AGING TITLE III, PART C NUTRITION SERVICES

FEDERAL AGENCY:

Administration for Community Living, Department of Health and Human Services

AUTHORIZATION:

Older Americans Act of 1965, Parts A and C, Public law 89-73, as amended; Public law 90-42, 81 Stat. 106; Public Law 91-69, 85 Stat. 108; Public Law 92-258, 86 Stat. 88; Public Law 93-87 Stat. 301; Public law 93-351, 88 Stat. 357; Public law 94-135, 89; Stat. 713; Public Law 95-65, 91 Stat. 269; Public law 95-478, 92 Stat. 513; Public law 97-115, 95 Stat. 1595; Public Law 98-459, 98 Stat. 1767; Title III, Part C, Public Law 100-175; public Law 100-628; 42 U.S.C. 3030e-3030g; Public Law 102-375; Public 106-501.

OBJECTIVES:

To provide grants to States to support nutrition services including nutritious meals, nutrition education and other appropriate nutrition services for older Americans in order to maintain health, independence and quality of life. Meals may be served in a congregate setting or delivered to the home, if the older

individual is homebound.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Local projects must provide a hot or other appropriate meal which complies with the Dietary Guidelines for Americans and provides one-third of the Dietary Reference Intakes (DRI), at least once per day, 5 or more days per week, except in rural areas where a lesser frequency is determined feasible, to eligible people aged 60 and over and their spouses.

Applicant Eligibility:

Only States and U.S. Territories which have State Agencies on Aging designated by the governors are eligible to receive these grants.

Beneficiary Eligibility:

Older individuals aged 60 and over and their spouses, especially those older individuals with the greatest social need or those with the greatest economic need, and in certain cases, under age 60, if the individual is handicapped or disabled and resides with an older individual.

Credentials/Documentation:

Applicable costs and administrative procedures will be determined in accordance with Part 92 of Title 45 of the Code of Federal Regulations. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Consult the appropriate Regional Office or HQ for State Plan instructions.

Award Procedure:

The Administration for Community Living awards funds through a statutory formula.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. States are entitled to these grants by statute.

Appeals:

Appeals are processed in accordance with the HHS regulations in 45 CFR Part 1321, and Section 2112 of Title 28, U.S.C.

Renewals:

State Plans are submitted for a 2, 3 or 4-year period, with annual revisions as necessary.

Formula and Matching Requirements:

Statutory Formula: See statute for formula description.

Matching Requirements: Percent: 15%. The nonfederal contribution may be in the form of cash or in kind contributions, including equipment or services. This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

3 years. See the following for information on how assistance is awarded/released: Payment Management System.

Reports:

Report requirements are identified in the Notice of Award. Recipients will be required to complete cash report information in the Payment Management System when requesting funds. (Semi-annual) and the annual Program Performance Report are required. SF 425 Financial Status Report are required along with the completion of the supplemental form. Site visits, meeting performance measures etc.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records must be kept available for 3 years after submission of the final expenditure report.

Account Identification:

75-0142-0-1-506.

Obligations:

(Formula Grants) FY 12 \$651,873,653; FY 13 est \$651,873,653; and FY 14 est \$651,873,653

Range and Average of Financial Assistance:

Congregate Nutrition Services: FY12 \$436,374,287
Home-Delivered Nutrition Services: FY12 \$215,499,366.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: \$651,873,653. Fiscal Year 2013: No Current Data Available
Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 92 and 45 CFR 1321.

Regional or Local Office:

See Regional Agency Offices. Regional Administrator, Administration for Community Living, Department of Health and Human Services, Regional Offices. (See Appendix IV of the Catalog.).

Headquarters Office:

Holly Greuling Office of Nutrition and Health Promotion Programs, Administration on Aging, Administration for Community Living, DHHS, One Massachusetts Ave., N.W., Washington, District of Columbia 20201 Email: Holly.Greuling@acl.hhs.gov Phone: 202-357-0145

Website Address:

<http://www.acl.gov>

RELATED PROGRAMS:

93.041 Special Programs for the Aging Title VII, Chapter 3 Programs for Prevention of Elder Abuse, Neglect, and Exploitation; 93.042 Special Programs for the Aging Title VII, Chapter 2 Long Term Care Ombudsman Services for Older Individuals; 93.043 Special Programs for the Aging Title III, Part D Disease Prevention and Health Promotion Services; 93.044 Special Programs for the Aging Title III, Part B Grants for Supportive Services and Senior Centers; 93.048 Special Programs for the Aging Title IV and Title II Discretionary Projects; 93.052 National Family Caregiver Support, Title III, Part E; 93.053 Nutrition Services Incentive Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Mandatory formula awards. State grants are awarded based on approval of a State plan.

93.047 SPECIAL PROGRAMS FOR THE AGING TITLE VI, PART A, GRANTS TO INDIAN TRIBES PART B, GRANTS TO NATIVE HAWAIIANS

FEDERAL AGENCY:

Administration for Community Living, Department of Health and Human Services

AUTHORIZATION:

Older Americans Act of 1965, Title VI, Public Law 89-73, 92 Stat. 1513.

OBJECTIVES:

To promote the delivery of supportive services, including nutrition services, to

American Indians, Alaskan natives, and Native Hawaiians that are comparable to services provided under Title III.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds are available through grants to eligible Indian Tribal Organizations for services comparable to those provided under Title III. Services must include nutrition services and information and referral, and may include transportation and other services authorized under Title III. Funds may be used for staffing senior centers. Indian Tribes receive funds based on a formula that considers the number of eligible elder Indians sixty years of age or older represented by the tribal organizations with population ranges from 50-100, 101-200, 201-300, 301-400, 401-500, 501 to 1,500, and 1,501+. Grants also are available to private or nonprofit organizations having the capacity to provide services to older Native Hawaiians.

Applicant Eligibility:

Tribal organizations of Indian tribes eligible for assistance under Section 4 of the Indian Self-Determination and Education Assistance Act (25 U.S.C. 450b), and public or nonprofit private organizations which serve Native Hawaiian Elders, which represent at least 50 Indians or Hawaiians 60 years of age or older. Applicants must demonstrate that they have the ability to deliver supportive and nutrition services.

Beneficiary Eligibility:

Indians who are 60 years of age and older, and in the case of nutrition services, their spouses. Tribes also have the authority to define Indians under 60 years of age as "older Indian" making them eligible for services.

Credentials/Documentation:

Applicable costs and administrative procedures will be determined in accordance with Part 92 of Title 45 of the Code of Federal Regulations. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. In order to receive a grant, the eligible tribal organization, or for Native Hawaiians, the nonprofit private organization serving Native Hawaiians, must submit an application in accordance with instructions published in the Grants.gov by the Administration on Aging.

Award Procedure:

Notification of award will be issued to the grantee.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. Usually within 60 to 120 days from closing date or receipt of application.

Appeals:

Appeals are processed in accordance with Administration on Aging regulations in 45 CFR 1326 and 1328.

Renewals:

Renewals within the grant period are based on satisfactory performance during the prior year, and the availability of funds.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Funds for grants are awarded for no less than a 12-month period. See the following for information on how assistance is awarded/released: Payment Management System. See the following for information on how assistance is

awarded/released: Payment Management System.

Reports:

A program performance and SF-425 financial report are due annually to the funding agency. No performance monitoring is required. Submitted through the Payment Management System. No progress reports are required. A financial report is due annually to the funding agency. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records must be maintained for 3 years after submission of the final expenditure report.

Account Identification:

75-0142-0-1-506.

Obligations:

(Project Grants) FY 12 \$27,031,734; FY 13 est \$27,479,621; and FY 14 est \$27,479,621

Range and Average of Financial Assistance:

Grants to Indian Tribes, Part A range from \$72,520 to \$177,130. Grants to Native Hawaiians, Part B range from \$82,290 to \$1,505,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Fiscal Year 2012: No Current Data Available. Fiscal Year 2013: Fiscal Year 2013: No Current Data Available. Fiscal Year 2014: Fiscal Year 2014: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 1328. Guidelines are issued by the Assistant Secretary for Aging.

Regional or Local Office:

See Regional Agency Offices. Regional Administrator, Administration on Aging, Department of Health and Human Services Regional Offices (See Appendix IV of the Catalog).

Headquarters Office:

Cynthia LaCounte One Massachusetts Ave, NW, Washington, District of Columbia 20201 Email: Cynthia.LaCounte@aoa.hhs.gov Phone: 202-357-0148

Website Address:

<http://www.acl.gov>.

RELATED PROGRAMS:

93.041 Special Programs for the Aging_Title VII, Chapter 3_Programs for Prevention of Elder Abuse, Neglect, and Exploitation; 93.042 Special Programs for the Aging_Title VII, Chapter 2_Long Term Care Ombudsman Services for Older Individuals; 93.043 Special Programs for the Aging_Title III, Part D_Disease Prevention and Health Promotion Services; 93.044 Special Programs for the Aging_Title III, Part B_Grants for Supportive Services and Senior Centers; 93.045 Special Programs for the Aging_Title III, Part C_Nutrition Services; 93.052 National Family Caregiver Support, Title III, Part E

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Fiscal Year 2012: No Current Data Available. Fiscal Year 2013: Fiscal Year 2013: No Current Data Available. Fiscal Year 2014: Fiscal Year 2014: No Current Data Available.

CRITERIA FOR SELECTING PROPOSALS:

Awards are made based upon the extent to which the project meets the requirements of the law and regulations.

93.048 SPECIAL PROGRAMS FOR THE AGING_TITLE IV_AND TITLE II_DISCRETIONARY PROJECTS FEDERAL AGENCY:

Administration for Community Living, Department of Health and Human Services

AUTHORIZATION:

Older Americans Act of 1965, Title IV, Public Law 89-73, 79 Stat. 218, as amended; Public Law 97-115, 95 Stat. 1595; Public Law 98-459, 98 Stat. 1767; Public Law 100-175; Public Law 100-628, 42 U.S.C. 3031-3037b; Public Law 102-375; Public Law 106-501.

OBJECTIVES:

To support the development and testing of innovative programs, services and systems of support that respond to the needs of the nations growing elderly population, to improve knowledge of the problems and needs of the elderly, to help ensure adequately trained personnel in the field of aging, and to demonstrate better ways of improving the quality of life for the elderly, their caregivers and people with disabilities.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

Each year, AoA/ACL issues multiple Funding Opportunity Announcements. The specific use of funds will vary across these multiple announcements. Depending on the specific program goals and objectives cited in an individual announcement, funds may be used for any one or more, but not necessarily all, of the following: (a) to demonstrate and/or replicate new or proven methods and practices to improve the quality and effectiveness of programs and services; (b) to ensure proven programs and approaches are replicated with fidelity to the original research (c) to evaluate new or existing programs and services; (d) to conduct applied research and analysis to improve access to and delivery of services; (e) to provide training and technical assistance to professionals in the field. Funds may not be used for: (a) construction and/or major rehabilitation of buildings; (b) basic research (e.g., bio-medical experiments); (c) to substitute, supplant or expand funding for Older American Act formula funded programs, including supportive and nutritional services funded under Title III of the Older Americans Act; (d) medical care, institutional care or income maintenance; (e) projects which do not involve innovative approaches, and whose outcomes do not have the potential for national dissemination and replication; (f) equipment purchases unless the equipment is necessary to carry out a project that is otherwise fundable under Title IV.

Applicant Eligibility:

Grants may be made to any public or nonprofit private agency, organization, or institution. Contracts may be awarded to any agency, organization or institution. Grants and contracts are not available to individuals.

Beneficiary Eligibility:

Older individuals aged 60 and older, family caregivers and grandparents, and older individuals who are relative caregivers, individuals at high-risk of institutional placement, and individuals in need of assistance with or planning ahead for their long-term care needs.

Credentials/Documentation:

Nonprofit organizations must submit proof of nonprofit status. Applicable costs and administrative procedures will be determined in accordance with Parts 74 and 92 of Title 45 of the Code of Federal Regulations. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. The availability of competitive grant funds under Title IV is announced from time to time via program announcements that are simultaneously published on www.grants.gov and on the AoA/ACL website at <http://www.aoa.gov/AoARoot/Grants/Funding/index.aspx>. In addition, announcements intended for a wide audience of applicants may be published in the Federal Register. All required forms and instructions for specific program announcements can be found on the AoA/ACL website. Contract information is

published in the "Commerce Business Daily."

Award Procedure:

Notification of award will be issued to the grantee.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. From 60 to 90 days from receipt of application.

Appeals:

Not Applicable.

Renewals:

Grant extensions may be requested from the grantee's Program Officer. but approvals are granted by the Grants Management office.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Percent: 25.%. This program has no statutory formula. Matching requirements may be required of any grantee to the extent deemed appropriate by the Assistant Secretary for Aging/Administrator for Community Living and are generally set at a minimum of 25 percent of the total cost of the project.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Generally, projects are approved for a period of 12 to 36 months. Support beyond the first year is contingent upon the availability of funds and evidence of satisfactory progress. Method of awarding/releasing assistance: by letter of credit.

Reports:

Program reports are not applicable. SF-425. Semi-annual progress reports and final reports are required of grantees. SF-425. Performance monitoring accomplished through site visits, review of progress reports, and general interaction between the Federal Program Officer and grantee.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records must be maintained for 3 years after submission of the final expenditure report.

Account Identification:

75-0142-0-1-506.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 12 \$38,662,089; FY 13 est \$38,662,089; and FY 14 est \$38,662,089 - The number of awards in fiscal year 2012 totaled 202. Awards in fiscal year 2012 were made to support: National Resource Center on Nutrition and Aging, National Adult Protective Services Resource Center, National Hispanic Senior Medicare Patrol, Accelerating Integrated Evidence Based and Sustainable Service Systems, Health Care Fraud Prevention Program Expansion, Accelerating Adoption of Consumer/Participant Models, National Center on Elder Abuse, National Legal Resource Center, Disaster Assistance, National Long-Term Care Ombudsman Resource Center, National Consumer Protection Technical Resource Center, National Alzheimer's Call Center, Civic Engagement, Health Disparities Among Minority Elders, Eldercare Locator Information and Referral, Lesbian Gay Bi Transexual Resource Center, Native American Resource Centers, Retirement Planning for Women, Senior Legal Services, Enhancement of Access Evidence Based Prevention Program.

Range and Average of Financial Assistance:

Average \$191,396.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: 202 awards for a total of \$38,662,089. Fiscal Year 2013: Not Available. Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

See Chapter XIII, Title 45 of the Code of Federal Regulations on AoA supported projects. Annual guidelines, program information, and application instructions are available from the Administration on Aging, Washington, DC 20201.

Regional or Local Office:

See Regional Agency Offices. Regional Administrator, Administration on Aging/Administration for Community Living, Department of Health and Human Services, located at all HHS Federal Regional Offices. (See Appendix IV of the Catalog for addresses).

Headquarters Office:

Barbara Dieker Department of Health and Human Services, Administration for Community Living, One Massachusetts Ave, N.W., Washington, District of Columbia 20001 Email: Barbara.Dieker@acl.hhs.gov Phone: (202) 357-0139

Website Address:

<http://www.acl.gov>

RELATED PROGRAMS:

93.041 Special Programs for the Aging Title VII, Chapter 3 Programs for Prevention of Elder Abuse, Neglect, and Exploitation; 93.042 Special Programs for the Aging Title VII, Chapter 2 Long Term Care Ombudsman Services for Older Individuals; 93.043 Special Programs for the Aging Title III, Part D Disease Prevention and Health Promotion Services; 93.044 Special Programs for the Aging Title III, Part B Grants for Supportive Services and Senior Centers; 93.051 Alzheimer's Disease Demonstration Grants to States; 93.052 National Family Caregiver Support, Title III, Part E; 93.990 National Health Promotion

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Details for evaluating applications are published as part of each Title IV program announcement. Major emphases are: (1) documented need; (2) expected outcomes and benefits; (3) innovative approach; (4) level of effort; (5) involvement of community-based organizations in planning and implementation activities; and, (6) projects that benefit disadvantaged groups, including low-income, minority and limited English speaking populations.

93.051 ALZHEIMER'S DISEASE DEMONSTRATION GRANTS TO STATES

Alzheimer's Disease Supportive Services Program

FEDERAL AGENCY:

Administration for Community Living, Department of Health and Human Services

AUTHORIZATION:

Sec 398 of the Public Health Service Act (P.L. 78-410; 42 U.S.C. 280c-3).

OBJECTIVES:

To expand the availability of diagnostic and support services for persons with Alzheimer's Disease and Related Dementias (ADRD), their families, and their caregivers, as well as to improve the responsiveness of the home and community-based care system to persons with dementia.

There are three types of ADSSP grants: Evidence-based grants require states to demonstrate how existing evidence-based interventions that help people with ADRD and their family caregivers can be translated into effective supportive service programs at the community level. Innovation grants require states and their partners to explore innovative approaches to improving the delivery of supportive services at the community-level to people with ADRD and their family caregivers. Dementia capability grants require states to help ensure that people with dementia and their family caregivers have access to a home and community-based services system that identifies those with dementia, ensures that program staff have appropriate dementia care training, and assures delivery of quality services.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

(1) Not less than 50 percent of the federal grant must be spent on home health care, personal care, day care, companion services, short-term care in health facilities, and other respite care to individuals with Alzheimer's disease and related disorders. (2) Grant funds cannot be used to replace existing, State, Federal, or private insurance funds. (3) Matching funds are required. (4) Not more than 10 percent of the grant will be expended for administrative expenses.

Applicant Eligibility:

State government agencies are eligible for grant awards; the applicant agency is encouraged to have the support and active involvement of the Single State Agency on Aging. Only one application per State will be funded, however, multiple state and local agencies are encouraged to collaborate in planning and carrying out the project.

Beneficiary Eligibility:

(1) Individuals with Alzheimer's disease and related disorders; (2) families of those individuals; and (3) care providers of those individuals.

Credentials/Documentation:

Applicants must submit: (1) A description of intended use of the grant; (2) information describing programs and activities to be supported; (3) the number of individuals receiving services and the cost of services; and (4) a plan describing coordination of such services. Applicable costs and administrative procedures will be determined in accordance with Part 92 of Title 45 of the Code of Federal Regulations. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Grant Application for Federal Assistance (Nonconstruction Programs) are in accordance with program announcements developed by the Administration on Aging (AoA)/Administration for Community Living and will be published in Grants.gov when funding is available.

Award Procedure:

After review and approval, notification of award will be issued to the grantee, along with appropriate notification to the public.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

As specified in guidelines published in the Program Announcement.

Appeals:

Not Applicable.

Renewals:

As outlined in the program announcement.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: Percent: 25%. Match Requirements: 25% match (cash and/or in-kind) during the first year, 35% during the second year, and 45% during the third and subsequent years of the grant period. Waivers to these match requirements are not allowed. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awards are typically 3 years in duration. Length of future awards will be published in the Program Announcement and on Grants.gov. Support beyond the first year is contingent upon the availability of funds and evidence of satisfactory progress. Method of awarding/releasing assistance: by letter of credit. Method of awarding/releasing assistance: by letter of credit.

Reports:

As outlined in the program announcement. Semi-annual progress reports and final reports are required of grantees. Financial SF-425, Performance monitoring accomplished through site visits, review of progress reports and general interaction between the Federal Program Officer and grantee. Payment Management System reporting. See Program Announcement. SF425. Through site visits and reports.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records must be kept available for 3 years after submission of the final expenditure report.

Account Identification:

75-0142-0-1-506.

Obligations:

(Cooperative Agreements) FY 12 \$2,981,801; FY 13 est \$3,098,502; and FY 14 est \$3,098,502

Range and Average of Financial Assistance:

12 grants were awarded for a total of \$2,981,801 in FY12. The average was \$248,482 per award.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: \$2,981,801 among 11 awards was issued in FY12. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Home Health Care and Alzheimer's Disease Amendments of 1990 (Public Law 101-557); Health Professions Education Partnerships Act of 1998 (Public Law 105-392).

Regional or Local Office:

None. Administration for Community Living, Department of Health and Human Services, located at all HHS Federal Regional Offices. (See Appendix IV of the Catalog for listings.).

Headquarters Office:

Erin Long One Massachusetts Ave., N.W., Washington, District of Columbia 20001 Email: Erin.Long@ACL.HHS.gov Phone: (202) 357-3448

Website Address:

<http://www.acl.gov>

RELATED PROGRAMS:

93.052 National Family Caregiver Support, Title III, Part E

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

As specified in the program announcement.

93.052 NATIONAL FAMILY CAREGIVER SUPPORT, TITLE III, PART E

FEDERAL AGENCY:

Administration for Community Living, Department of Health and Human Services

AUTHORIZATION:

Older Americans Act, as amended, Public Law 106- 501, Title III, Part E.

OBJECTIVES:

To assist States, Territories in providing multifaceted systems of support services for: (1) Family caregivers; and (2) grandparents or older individuals who are relative caregivers. Services to be provided include: information to caregivers about available services; assistance to caregivers in gaining access to

the services; individual counseling, and caregiver training to caregivers to assist the caregivers in making decisions and solving problems relating to their caregiving roles; respite care to enable caregivers to be temporarily relieved from their caregiving responsibilities; and supplemental services, on a limited basis, to complement the care provided by caregivers.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

This authority is for making grants to States under Title III-E to enable the provision of multifaceted systems of support services for family caregivers; and for grandparents or older individuals who are relative caregivers.

Applicant Eligibility:

Formula grants: State governments and U.S. Territories, with distribution to designated area agencies on aging through an approved State plan and intrastate funding formula.

Beneficiary Eligibility:

Family caregivers, grandparents and older individuals who are relative caregivers will benefit.

Credentials/Documentation:

No Credentials or documentation are required. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Formula Grants - This program is subject only to the State Plan Consolidation Section of E.O. 12372. State plans are covered under this Section, but Intergovernmental Consultation Review is excluded. Applicants are encouraged to consult with the Administration for Community Living in the development of the application. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Formula Grants - Application Procedures: Consult with the appropriate Regional Office for State application instructions.

Award Procedure:

Formula Grants - The Administration for Community Living awards funds through a statutory formula to State Agencies on Aging. State agencies approve and award funds to sub-state level organizations, that they have designated.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. Formula Grants - States are entitled to these grants by statute.

Appeals:

Formula Grants - Appeals are processed in accordance with the HHS regulations in 45 CFR, Part 1321, and Section 2112 of Title 28, U.S.C.

Renewals:

Formula Grants - State plans are submitted for a 2,3,or 4-year period, with annual revisions as necessary.

Formula and Matching Requirements:

Statutory Formula: Title 45 CFR 1321.49. Formula grants (Title III-E) -- are 75 percent Federal and 25 percent nonfederal. The nonfederal contribution may be in the form of cash or in-kind contributions, including equipment or services. Matching Requirements: Percent: 25.%. This program has MOE requirements, see funding agency for further details.

This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

One year. See the following for information on how assistance is awarded/released: Payment Management System.

Reports:

Program progress reports are required semi-annually for formula and project grants. Information submitted through the Payment Management System. Annual Reports. A SF-425 Financial report is due at the end of each grant year. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Financial records must be kept available for 3 years after submission of expenditure reports and 5 years after final disposition of non-expendable property in accordance with the regulations at 45 CFR 74, Subpart D.

Account Identification:

75-0142-0-1-506.

Obligations:

(Formula Grants) FY 12 \$152,678,078; FY 13 est \$152,678,078; and FY 14 est \$152,678,078

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: \$152,678,078. Fiscal Year 2013: No Current Data Available
Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Formula and Project Grants: 45 CFR 75, 45 CFR 92.

Regional or Local Office:

See Regional Agency Offices. Administration for Community Living Regional Administrator, Administration for Community Living, Department of Health and Human Services, located at all HHS Federal Regional Offices. (See Appendix IV of the Catalog for listings.).

Headquarters Office:

Greg Link Office of Home and Community-Based Services, Administration for Community Living, DHHS
One Massachusetts Avenue, NW, Washington, District of Columbia 20201
Email: greg.link@acl.hhs.gov Phone: (202) 357-3515

Website Address:

<http://www.acl.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.053 NUTRITION SERVICES INCENTIVE PROGRAM

NSIP

FEDERAL AGENCY:

Administration for Community Living, Department of Health and Human Services

AUTHORIZATION:

Older Americans Act of 1965, as amended, Section 311, 42 U.S.C. 303a, Section 604, 42 U.S.C. 3057.

OBJECTIVES:

To reward effective performance by States and Tribes in the efficient delivery of nutritious meals to older adults through the use of cash or commodities.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Funds are made available to State agencies on aging and Indian Tribal Organizations to purchase domestically produced foods or to access commodities from the United States Department of Agriculture (USDA). These foods are to be used in the preparation of congregate and home-delivered meals by nutrition services programs. Commodities available from the USDA may not be sold, exchanged, or otherwise disposed of (authorized distribution excepted) without prior, specific approval of USDA.

Applicant Eligibility:

State agencies on aging and Indian Tribal Organizations (ITO) that receive funding through Titles III and VI of the OAA may receive grants of cash from the Administration on Aging (AoA) or commodities from the USDA.

Beneficiary Eligibility:

For Title III congregate meals, persons who are older adults (age 60 years and above) or a spouse of an older adult, regardless of age; disabled adults who live in housing facilities primarily occupied by older adults where a congregate site is located; disabled adults under age 60, who reside at home with older adults; and volunteers, regardless of age, who assist in meal service during meal hours. For Title III home-delivered meals, an older individual must be assessed to be homebound. The spouse of a homebound individual regardless of age or condition may receive a meal if receipt of the meal is assessed to be in the best interest of the homebound older adult. For Title VI, all of these criteria apply. In addition, an ITO may select the age that defines who is an older adult.

Credentials/Documentation:

State agencies on aging and Indian Tribal Organizations must complete agreements with the AoA. Nutrition Programs must comply with forms established by their State agencies on aging. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. All States and Indian Tribal Organizations are eligible.

Award Procedure:

Issuance of Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. None.

Appeals:

Appeals are processed in accordance with the HHS regulations in 45 CFR Part 1321, and Section 2112 of Title 28, U.S.C.

Renewals:

Title III and Title VI program agreements are indefinite, unless withdrawal is requested or required because of program irregularities.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. This program has MOE requirements, see funding agency for further details. See program statute.

Length and Time Phasing of Assistance:

None. See the following for information on how assistance is awarded/released: Payment Management System.

Reports:

No program reports are required. Submitted through the Payment Management System. Progress report data submitted. 425 Financial Status reports are due at

the end of each grant year. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Adequate to account for all receipts and dispositions; to be retained for 3 years following the close of the fiscal year.

Account Identification:

75-0142-0-1-506.

Obligations:

(Formula Grants) FY 12 \$157,391,703; FY 13 est \$157,391,703; and FY 14 est \$157,391,703

Range and Average of Financial Assistance:

Range: \$402 - \$15,419,528
Average: \$512,122.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: \$157,391,703. Fiscal Year 2013: No Current Data Available
Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 92.

Regional or Local Office:

See Regional Agency Offices. Regional Administrators, Administration on Aging, Department of Health and Human Services, Region Offices. (See Appendix IV of the Catalog).

Headquarters Office:

Holly Greuling Office of Nutrition and Health Promotion Programs, Administration on Aging, Administration for Community Living, DHHS, Washington, District of Columbia 20201 Email: Holly.Greuling@acl.hhs.gov
Phone: (202) 357-0145

Website Address:

<http://www.acl.gov>.

RELATED PROGRAMS:

93.045 Special Programs for the Aging_ Title III, Part C_ Nutrition Services;
93.047 Special Programs for the Aging_ Title VI, Part A, Grants to Indian Tribes_ Part B, Grants to Native Hawaiians

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.054 NATIONAL FAMILY CAREGIVER SUPPORT, TITLE VI, PART C, GRANTS TO INDIAN TRIBES AND NATIVE HAWAIIANS

Native American Caregiver Support Program, Title VI, Part C

FEDERAL AGENCY:

Administration for Community Living, Department of Health and Human Services

AUTHORIZATION:

Older Americans Act, Public Law 106-501.

OBJECTIVES:

To assist Indian Tribal and Native Hawaiian Organizations in providing multifaceted systems of support services for: (1) Family caregivers; and (2) grandparents or older individuals who are relative caregivers. Services to be provided include: information to caregivers about available services; assistance to caregivers in gaining access to the services; individual counseling and caregiver training to assist the caregivers in making decisions and solving problems relating to their caregiving roles; respite care to enable caregivers to

be temporarily relieved from their caregiving responsibilities; and supplemental services, on a limited basis, to complement the care provided by caregivers.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

This authority is for making grants to Indian Tribal and Native Hawaiian Organizations under Title VI-C to enable the provision of multifaceted systems of support services for family caregivers; and for grandparents or older individuals who are relative caregivers.

Applicant Eligibility:

Indian Tribal and Native Hawaiian Organizations with approved applications under Title VI, Parts A and B.

Beneficiary Eligibility:

Family caregivers, grandparents, and older individuals who are relative caregivers will benefit.

Credentials/Documentation:

Applicable costs and administrative procedures will be determined in accordance with Parts 74 and 92 of Title 45 of the Code of Federal Regulations. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Grant applications are submitted in accordance with program announcements developed by the Administration on Aging (AoA) and will be published in Grants.gov.

Award Procedure:

After review and approval, notification of award will be issued to the grantee.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. Grants are approved approximately 60 to 120 days from closing date or receipt of application.

Appeals:

Appeals are processed in accordance with the HHS regulations in 45 CFR Part 1326 and 1328.

Renewals:

Renewals within the grant period are based on satisfactory performance during the prior year and the availability of funds.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Funds for grants are awarded for no less than a 12-month period. See the following for information on how assistance is awarded/released: Payment Management System.

Reports:

A program performance and SF425 financial report is due annually to the funding agency. No performance monitoring is required. Submitted through the Payment Management System. No progress reports are required. SF-425 Financial status reports are required annually. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit

conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records must be kept available for 3 years after submission of the final expenditure report.

Account Identification:

75-0142-0-1-506.

Obligations:

(Project Grants) FY 12 \$6,348,039; FY 13 est \$6,373,027; and FY 14 est \$6,373,027

Range and Average of Financial Assistance:

Grants for Title VI, Part C averaged \$29,119.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Fiscal Year 2012: No Current Data Available. Fiscal Year 2013: Fiscal Year 2013: No Current Data Available. Fiscal Year 2014: Fiscal Year 2014: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices. Administration on Aging Regional Administrator, Administration on Aging, Department of Health and Human Services, located at all HHS Federal Regional Offices. (See Appendix IV of the Catalog for listings.).

Headquarters Office:

Cynthia LaCounte One Massachusetts Ave, NW , Washington, District of Columbia 20201 Email: Cynthia.lacounte@aoa.hhs.gov Phone: 202-357-0148

Website Address:

<http://www.acl.gov>

RELATED PROGRAMS:

93.047 Special Programs for the Aging Title VI, Part A, Grants to Indian Tribes Part B, Grants to Native Hawaiians

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Past Fiscal Year: 2012 No current data available. Fiscal Year 2013: Fiscal Year: 2013 No current data available. Fiscal Year 2014: Budget Fiscal Year: 2014 No current data available.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.055 PPHF 2012-APPLIED LEADERSHIP FOR COMMUNITY HEALTH IMPROVEMENT

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Section 301(a) of the Public Health Service Act [42 U.S.C. Sections 241], as amended and Section 4002 of the Patient Protection and Affordable Care Act (ACA).

OBJECTIVES:

The purpose of this program is to improve community health through applied, team-based meta-leadership development. Specifically, this program will: Establish a national health applied leadership training program to provide customized public health applied leadership training to local and state public health officials and their allies. Assemble, train and provide technical assistance to local teams of 3-5 leaders to effectively address local public health problems as part of a Community Health Improvement Project. Document and evaluate the applied public health training approach. Foster replication through train the trainer and e-learning models to increase the programs reach and impact. Document and evaluate the Community Public Health Improvement projects used by the teams and to submit the promising and effective strategies and interventions utilized to the CDC for further evaluation and potential

dissemination. This program addresses the Healthy People 2020 focus area(s) of Public Health Infrastructure; activities arising from this program may address many other focus areas. Measurable outcomes of the program will be in alignment with one (or more) of the following Department of Health and Human Services and Healthy People 2020 performance goal(s) that the Office for State Tribal Local and Territorial Support undertakes: 1) Strengthen the Nations Health and Human Service Infrastructure and Workforce. 2) To ensure that Federal, State, Tribal, and local health agencies have the necessary infrastructure to effectively provide essential public health services.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Restrictions are as follows:

Recipients may not use funds for research.

Recipients may not use funds for clinical care.

Recipients may only expend funds for reasonable program purposes, including personnel, travel, supplies, and services, such as contractual.

Awardees may not generally use HHS/CDC/ATSDR funding for the purchase of furniture or equipment. Any such proposed spending must be identified in the budget. The direct and primary recipient in a cooperative agreement program must perform a substantial role in carrying out project objectives and not merely serve as a conduit for an award to another party or provider who is ineligible.

Reimbursement of pre-award costs is not allowed. Recipients may not use funds for construction or building projects.

Applicant Eligibility:

Assemble, train and provide technical assistance to local teams of 4 leaders to effectively address local public health problems as part of a Community Health Improvement Project.

Beneficiary Eligibility:

The general public will benefit from the objectives of this program.

Credentials/Documentation:

resume, curriculum vita, organizational chart(s), signed letter(s) of approval from supervisor, signed letter(s) of support from relevant program/agencies/stakeholders. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. This program is covered under OMB Circular No. A-102. This program is covered under OMB Circular No. A-110. Information on the submission of applications may be obtained from the Grants Management Officer, Grants Management Branch, Procurement and Grants Office, Centers for Disease Control and Prevention. Telephone: (770) 488-2700. The standard application forms must be used for this program as furnished by the Public Health Service and requirement by OMB Circular No. A-110 for nongovernmental applicants.

Award Procedure:

An objective review panel will evaluate complete and responsive applications according to the evaluation criteria listed in funding opportunity announcement. Successful applicants will receive a Notice of Award (NOA) from the CDC Procurement and Grants Office. The NOA shall be the only binding, authorizing document between the recipient and CDC. The NOA will be signed by an authorized Grants Management Officer.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days.

Appeals:

Not Applicable.

Renewals:

Renewals will be based upon the availability of funding and satisfactory programmatic progress. Project periods are for 1 to 5 years with 12 month budget periods.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Project periods are for 1 to 5 years with 12 month budget periods. Method of awarding/releasing assistance: lump sum.

Reports:

Program reports are not applicable. Cash reports are not applicable. Interim and semiannual progress reports are required. Final financial status and performance reports are required 90 days after the end of a project period. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the grant program must be kept readily available for review by personnel authorized to examine PHS grant accounts. Financial records, supporting documentation, statistical records, and all other records pertinent to an award shall be retained for a minimum of 3 years, or until completion and resolution of any audit in process or pending resolution. In all cases records must be retained until resolution of any audit questions. Property records must be retained in accordance with 45 CFR 92.42 requirements.

Account Identification:

75-0943-0-1-550; 75-1209-4-3-000.

Obligations:

(Salaries) FY 12 Not Available; FY 13 est \$1,000,000; and FY 14 Estimate Not Available - In FY12 the funds are partially PPHF.

Range and Average of Financial Assistance:

Expected: \$1,000,000 - \$1,000,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Code of Federal Regulations 45 CFR Part 92

Regional or Local Office:

None.

Headquarters Office:

John D. Maynard 4770 Buford Hwy, MS E85, Atlanta, Georgia 30341 Email: eoq9@cdc.gov Phone: 404.498.0394

Website Address:

<http://www.grants.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Funding will be directed to a single competitively selected grantee. Non-governmental organizations may also be eligible for funding opportunities. Additional criteria will be listed in individual funding opportunity

announcements.

93.056 INITIATIVE TO EDUCATE STATE AND TERRITORIAL OFFICIALS ABOUT MAINTAINING AND STRENGTHENING PUBLIC HEALTH IN A CHANGING ENVIRONMENT

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Section 301(a) of the Public Health Service Act [42 U.S.C. Sections 241], as amended.

OBJECTIVES:

This program is intended to build the capacity of legislators and relevant legislative health committee experts through an organizational membership that represents legislatures from all 50 states and territories as part of the nations efforts to expand and sustain national investment in prevention and public health programs. The purpose of this 1-year activity is to ensure that legislators have the knowledge, information, and other resources they need to identify, develop, and support policies that maintain essential public health services, while also facilitating the changes needed in the public health system to increase effectiveness and efficiency through better use of resources. This program addresses the Healthy People 2020 focus area of Public Health Infrastructure and the CDC Office for State, Tribal, Local and Territorial Supports mission to increase the capacity and performance of the public health system, available at <http://www.healthypeople.gov>.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Restrictions are as follows: Recipients may not use funds for research. Recipients may not use funds for clinical care. Recipients may only expend funds for reasonable program purposes, including personnel, travel, supplies, and services, such as contractual. Awardees may not generally use HHS/CDC/ATSDR funding for the purchase of furniture or equipment. Any such proposed spending must be identified in the budget. The direct and primary recipient in a cooperative agreement program must perform a substantial role in carrying out project objectives and not merely serve as a conduit for an award to another party or provider who is ineligible. Reimbursement of pre-award costs is not allowed. Recipients may not use funds for construction or building projects. Applicants can obtain guidance for completing a detailed justified budget on the CDC website, at the following Internet address: <http://www.cdc.gov/od/pgo/funding/budgetguide.htm>.

Applicant Eligibility:

Eligible applicants that can apply for this funding opportunity are listed below: Two categories of organizations are eligible to apply for this funding opportunity: Category 1: National non-profit organizations that consist of requisite memberships representing governors from all 50 states and territories. The applicant organizations must provided information, education, publications, and networking forums to Governors and their staff. Category 2: National non-profit organizations that work with State Legislators and relevant health committee experts and consist of requisite memberships representing legislatures from all 50 states and territories. The applicant organizations must have provided information, education, publications, and networking forums to state legislatures, relevant legislative committees and their staff.

Beneficiary Eligibility:

The general public will benefit from the objectives of this program.

Credentials/Documentation:

Applicants must provide a project abstract, project narrative, and provide detail on the appropriate planning, training, and personnel and provide a budget justification for funds requested. Costs for nonprofit recipients will be determined in accordance with HHS Regulations, 45 CFR Part 74, Subpart Q. This program is excluded from coverage under OMB Circular No. A-87. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. This program is covered under OMB Circular No. A-102. This program is covered under OMB Circular No. A-110. Information on the submission of applications may be obtained from the Grants Management Officer, Grants Management Branch, Procurement and Grants Office, Centers for Disease Control and Prevention. Telephone: (770) 488-2700. The standard application forms must be used for this program as furnished by the Public Health Service and requirement by OMB Circular No. A-110 for nongovernmental applicants.

Award Procedure:

An objective review panel will evaluate complete and responsive applications according to the evaluation criteria listed in funding opportunity announcement. Successful applicants will receive a Notice of Award (NOA) from the CDC Procurement and Grants Office. The NOA shall be the only binding, authorizing document between the recipient and CDC. The NOA will be signed by an authorized Grants Management Officer.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Project period is for one year with a 12-month budget period. Method of awarding/releasing assistance: lump sum.

Reports:

Program reports are not applicable. Cash reports are not applicable. Interim and semiannual progress reports are required. Final financial status and performance reports are required 90 days after the end of a project period. Financial records, supporting documents, statistical records, and all other records pertinent to the grant program must be kept readily available for review by personnel authorized to examine PHS grant accounts. Financial records, supporting documentation, statistical records, and all other records pertinent to an award shall be retained for a minimum of 3 years, or until completion and resolution of any audit in process or pending resolution. In all cases records must be retained until resolution of any audit questions. Property records must be retained in accordance with 45 CFR 92.42 requirements. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the grant program must be kept readily available for review by personnel authorized to examine PHS grant accounts. Financial records, supporting documentation, statistical records, and all other records pertinent to an award shall be retained for a minimum of 3 years, or until completion and

resolution of any audit in process or pending resolution. In all cases records must be retained until resolution of any audit questions. Property records must be retained in accordance with 45 CFR 92.42 requirements.

Account Identification:

75-0943-0-1-550.

Obligations:

(Salaries) FY 12 Not Available; FY 13 est \$0; and FY 14 Estimate Not Available - One year budget period.
Currently funding an extension with funds to complete the project.

Range and Average of Financial Assistance:

\$150,000 - \$300,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR Part 92

Regional or Local Office:

None.

Headquarters Office:

Andrea W. Wooddall 4770 Buford Hwy, MS E70
, Atlanta, Georgia 30341 Email: ayw3@cdc.gov Phone: 4044986792

Website Address:

<http://www.grants.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Funding will be directed to one or two competitively selected grantee(s). Non-governmental organizations may also be eligible for funding opportunities. Additional criteria will be listed in individual funding opportunity announcements.

93.058 TOBACCO REGULATION AWARENESS, COMMUNICATION, AND EDUCATION PROGRAM

FEDERAL AGENCY:

Food and Drug Administration, Department of Health and Human Services

AUTHORIZATION:

42 USC 300u-3 of the Public Health Service Act and the Family Smoking Prevention and Tobacco Control Act, Public Law 111-31.

OBJECTIVES:

The purpose of the Program is to assist entities to establish or expand health education and communication programs at the community level that support the goals of current and future FDA tobacco regulations. The Program will advance public health by supporting community-based programs that work to identify and disseminate evidence-based, community-level best practices for tobacco regulation, communication and education, and increase access to standardized, community-level data. Strong applications would seek to educate and communicate to 1) promote the understanding of tobacco product regulation and the consequences of tobacco use; 2) protect youth from the dangers of tobacco use; and 3) help those who use tobacco to quit. Funding recipients must implement a comprehensive public education and/or communication program that addresses federal tobacco regulations and the public health goals which flow directly from them, as identified in the applicants needs assessment and that will improve health outcomes for members of target population(s).

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

The Programs grant funds would support eligible entities in carrying out their plans to establish or expand health communication and education programs that are congruent with the FDA Tobacco Control Act. Funded communication and

education projects would seek to: Promote the understanding of tobacco product regulation and the consequences of tobacco use; Protect youth from the dangers of tobacco use; and Help those who use tobacco to quit. The public education and/or communication program must include the following activities.

(a) Propose a communication/education project that is evidence-based and relies on: Previous work in the area of tobacco regulation, prevention, and/or cessation; or Promising or innovative communication/education strategies to advance the public health consistent with federal tobacco regulation and the public health goals of the Tobacco Control Act. (b) Collaborate with one State and/or Local governmental entity and at least one community-based partner to identify and implement innovative strategies to help reduce tobacco use and help strengthen and/or sustain new or existing health communication and education infrastructures in the community. (c) Plan, build, adapt, or expand data collection, management, and reporting protocols for program activities. This data will be used by the funding recipient for program monitoring, continuous program improvements, and accountability. (d) Evaluate program processes and outcomes, including formative and summative. Program funds may not be used to duplicate or supplant existing tobacco control, prevention, or cessation efforts, but can enhance existing communication/education efforts relevant to FDA/CTP and the Tobacco Control Act. Funds may not be used to fund other tobacco control areas such as surveillance, price and tax measures, clean indoor air laws, tobacco control compliance and enforcement efforts, and treatment services like quit lines, nicotine replacement therapy, or any other pharmaceutical or biomedical therapy. Funds may not be used to create anti-tobacco industry campaigns.

Applicant Eligibility:

Applicants should review the eligibility information in the individual funding opportunity announcement issued under this CFDA.

Beneficiary Eligibility:

The Program will assist entities to establish or expand health education and communication programs at the community level that support the goals of current and future FDA tobacco regulations. The general public will ultimately benefit by becoming educated about the risks of tobacco products so that youth are protected from harmful tobacco exposure, and adults can make informed choices about tobacco use for themselves.

Credentials/Documentation:

Applicants should review the individual funding opportunity announcement issued under this CFDA program for any required proof of certifications which must be submitted prior to or simultaneous with submission of an application. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. It is critical that applicants follow the instructions in the SF424 (R&R) Application Guide, except where instructed in the funding opportunity announcement to do otherwise. Conformance to the requirements in the Application Guide is required and strictly enforced. Applications that are out of compliance with these instructions may be delayed or not accepted for review. Applicants are encouraged to submit a non-binding Notice of Intent to Apply. Notices of Intent to Apply are not required and an applicant's submission or failure to submit a notice has no bearing on the scoring of proposals received. Notices of Intent to Apply are due no later than the date noted in the funding opportunity announcement.

Award Procedure:

For competitive awards, FDA/CTP will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the funding opportunity announcement issued under this CFDA program. Successful applicants will receive a Notice of Award. Unsuccessful applicants will be notified by letter. All FDA awards are subject to the terms and conditions, cost principles, and other considerations described in the HHS Grants Policy Statement.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days.

Appeals:

Not Applicable.

Renewals:

Throughout the project period, FDA/CTPs commitment to continuation of awards will be conditioned on the availability of funds, evidence of satisfactory performance and outcomes by the recipient (as documented in required reports and site visit observations), and the determination that continued funding is in the best interest of the Federal Government.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Awards will be made on a competitive basis. The initial budget period will include a 5 month planning period to support project start-up, relationship-building, and capacity-building. Grant applications will be considered on a noncompetitive basis for years two through four subject to availability of funds, satisfactory progress of the grantee, and a determination that continued funding would be in the best interest of the Federal Government. The budget period in future funding years will consist of three twelve-month periods each year for project implementation. The total project period for an application requesting support should not exceed 48 months. See the following for information on how assistance is awarded/released: Awards are released on an annual basis.

Reports:

A final program progress report must be submitted within 90 days after the expiration of the project period as noted on the Notice of Grant Award. A final progress report is also required when an award is relinquished, when a recipient changes institutions, or when an award is terminated. The final program progress report must provide full written documentation of the project, and summaries of achievement of project goals, objectives, and performance measures as described in the application. No cash reports are required. For continuing cooperative agreements, an annual progress report is required. Awardees will be required to submit the PHS 2590 annually and financial statements as required in the DHHS Grants Policy Statement. <http://www.hhs.gov/asrt/og/grantinformation/hhsgps107.pdf>. Financial Status Reports are due at the end of each budget period, and a final Financial Status Report is due 90 days following the end of the project period. The program project officer will monitor funding recipients periodically. The monitoring may be in the form of telephone conversations, e-mails, or written correspondence between the project office/grants management office and the principal investigator. Periodic site visits with officials of the funding recipient organization may also occur. The results of these monitoring activities will be recorded in the official grant file and will be available to the funding recipient upon request consistent with applicable disclosure statutes and with FDA disclosure regulations. Also, the funding recipient organization must comply with all special terms and conditions of the cooperative agreement, including those which state that future funding of the project will depend on recommendations from the project officer.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to a grant shall be retained for a minimum of 3 years, or longer pending completion and resolution of any audit findings.

FDA and the Comptroller General of the United States or any of their authorized representatives shall have the right of access to any books, documents, papers, or other records of a grantee, sub-grantee, contractor, or subcontractor, which are pertinent to the HHS grant, in order to make audits, examinations, excerpts and transcripts. In accordance with 45 CFR, Part 74.53 and 45 CFR, Part 92, grantees are required to maintain grant accounting records 3 years after the end of a budget period. If any litigation, claim, negotiation, audit or other action involving the records has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0600-0-1-554.

Obligations:

(Cooperative Agreements) FY 12 \$0; FY 13 est \$0; and FY 14 est \$0

Range and Average of Financial Assistance:

The estimated future year range of awards is as follows: FY 2013 \$0; FY 2014 \$0; FY 2015 \$0; FY 2016 \$0.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Tracy Galloway FDA/ CTP
Office of Health Communication and Education
9200 Corporate Blvd., Rockville, Maryland 20850 Email:
CTP-OHCE_CoAg@fda.hhs.gov Phone: 301-796-9210

Website Address:

<http://www.fda.gov/tobaccoproducts>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applicants should review the individual funding opportunity announcement issued under this CFDA program for criteria for selecting proposals.

93.059 TRAINING IN GENERAL, PEDIATRIC, AND PUBLIC HEALTH DENTISTRY

S.748 General, Pediatric, and Public Health Dentistry and Dental Hygiene

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Title VII, Section 748, 42 U.S.C. 293k-2 as amended as amended by the Patient Protection and Affordable Care Act, Section 5303, P. L. 111-148.

OBJECTIVES:

To support the following program areas/activities:

Pre-doctoral Training in General, Pediatric or Public Health Dentistry, and Dental Hygiene;

Post-doctoral Training in General, Pediatric and Public Health Dentistry;

Faculty Development Training in General, Pediatric and Public Health Dentistry and Dental Hygiene; and

Dental Faculty Loan Repayment.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

1) Pre-doctoral Training in General, Pediatric or Public Health Dentistry, and Dental Hygiene

- a. Funds may be used to plan, develop, and operate, or participate in, an approved professional training program in the field of general dentistry, pediatric dentistry, or public health dentistry for dental or dental hygiene students, dental hygienists, or other approved primary care dental trainees, that emphasizes training for general, pediatric, or public health dentistry.
- b. Funds may be used to provide financial assistance to dental or dental hygiene students, or practicing dental hygienists who are in need thereof, and who are participants in any such training program, and who plan to work in the practice of general, pediatric or public health dentistry or dental hygiene.
- c. Funds may be used in support of an accredited masters in public health program for dental and dental hygiene students in need of such support. Note that support is limited to students only.
- d. Funds may be used to meet the costs of projects to establish, maintain, or improve pre-doctoral training in primary care dentistry (general, pediatric, and public health dentistry and dental hygiene) programs.

2) Post-doctoral Training in General, Pediatric and Public Health Dentistry

- a. Funds may be used to plan, develop, and operate, or participate in, an approved professional training program in the fields of general dentistry, pediatric dentistry, or public health dentistry for dental residents, or practicing dentists or other approved primary care dental trainees that emphasizes training for general, pediatric and public health dentistry.
- b. Funds may be used to provide financial assistance to dental residents or practicing dentists who are in need thereof, and who are participants in any such training program, and who plan to work in the practice of general, pediatric or public health dentistry.
- c. Funds may be used in support of an accredited masters degree in public health program for dental residents in need of such support. Note that support is limited to residents only.
- d. Funds may be used to meet the costs of projects to establish, maintain, or improve post-doctoral training in primary care dentistry programs.

3) Faculty Development Training in General, Pediatric and Public Health Dentistry and Dental Hygiene

- a. Funds may be used to plan, develop, and operate a program for the training of oral health care providers who plan to teach in general, pediatric, public health dentistry, or dental hygiene.
- b. Funds may be used to provide financial assistance in the form of traineeships and fellowships to dentists who plan to teach or are teaching in general, pediatric, or public health dentistry.
- c. Funds may be used to meet the costs of projects to establish, maintain, or improve dental faculty development programs.

4) Dental Faculty Loan Repayment

- a. Funds may be used to plan, develop, and operate a dental faculty loan repayment program for faculty engaged in primary care dentistry to include general dentistry, pediatric dentistry, public health dentistry, and dental hygiene.
- b. Individuals participating in the program must agree to serve as full-time faculty members.
- c. The program must agree to pay the principal and interest on the outstanding student loans of the individuals. Upon completion by an individual of each of the first, second, third, fourth, and fifth years of service, the program shall pay an amount equal to 10, 15, 20, 25, and 30 percent, respectively, of the individuals student loan balance as calculated based on principal and interest owed at the initiation of the agreement. This program has a statutory formula only for the Dental Faculty Loan repayment program:

Grants may not be used for new construction or patient services. One hundred percent of discretionary funding goes to grant activities.

Applicant Eligibility:

Eligible applicants are accredited dental or dental hygiene schools, public or private not-for-profit hospitals, or other public or not for profit entities, which

the Secretary has determined is capable of carrying out such a grant or contract.

Eligible entities may partner with schools of public health for the education of dental students, residents or dental hygiene students for a masters in public health in an accredited program.

Training activities must be conducted by an accredited entity; therefore, either the applicant or a partner organization responsible for the dental or dental hygiene training must be accredited.

Beneficiary Eligibility:

Accredited dental or dental hygiene schools, public or private not-for-profit hospitals, or other public or not for profit entities.

Beneficiaries include also a full range of trainees: dental or dental hygiene students, dental hygienists, dental residents, practicing dentists, and dental faculty.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement (FOA) issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. HRSA requires all applicants to apply electronically through Grants.gov.

Award Procedure:

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions. Notification is made in writing (electronic) by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately three months after receipt of applications.

Appeals:

Not Applicable.

Renewals:

At the end of the initial five-year project period, grantees may submit competing continuation applications.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Project periods for grants are five years with annual budget periods. Applicants must submit one-year budgets for each of the five project period years at the time of application. See the following for information on how assistance is awarded/released: Grantee drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

The awardee will be required to submit annual performance and progress reports as well as status-federal financial reports (see below for general information on each required report). The awardee must submit a quarterly electronic Federal Financial Report (FFR) Cash Transaction Report via the Payment Management System within 30 days of the end of each calendar quarter. A Federal Financial Report (SF-425) is required within 90 days of the end of each budget period. A final report is due within 90 days after the project

period ends. If applicable, the awardee must submit a Tangible Personal Property Report (SF-428) and any related forms within 90 days after the project period ends. New awards (Type 1) issued under this funding opportunity announcement are subject to the reporting requirements of the Federal Funding Accountability and Transparency Act (FFATA) of 2006 (Pub. L. 109282), as amended by section 6202 of Public Law 110252, and implemented by 2 CFR Part 170. Grant and cooperative agreement recipients must report information for each first-tier subaward of \$25,000 or more in federal funds and executive total compensation for the recipients and subrecipients five most highly compensated executives as outlined in Appendix A to 2 CFR Part 170 (FFATA details are available online at <http://www.hrsa.gov/grants/ffata.html>). Competing continuation awardees, etc. may be subject to this requirement and will be so notified in the Notice of Award. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records three years after the date they submit the FSR. If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the three-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular three-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Project Grants) FY 12 \$4,363,261; FY 13 est \$4,457,720; and FY 14 est \$4,500,000 - Pre-Doc. (Project Grants) FY 12 \$4,081,159; FY 13 est \$4,017,651; and FY 14 est \$4,000,000 - Faculty Development. (Project Grants) FY 12 \$1,108,471; FY 13 est \$1,244,661; and FY 14 est \$1,200,000 - Loan Repayment. (Project Grants) FY 12 \$8,895,959; FY 13 est \$9,016,445; and FY 14 est \$9,000,000 - Post-doctoral.

Range and Average of Financial Assistance:

Varies by program

FY 12: Range: \$8,055 to \$962,107; Average award: \$335,433

FY 13: Range: \$9,889 to \$973,135; Average award: \$338,977

FY 14: Range: \$ 5,778 to \$984,493; Average award: \$375,381.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for state, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

None. Fatima Ravat, MPH, Public Health Analyst, Division of Medicine and Dentistry, Bureau of Health Professions, HRSA, 5600 Fishers Lane Room 9A-27 Rockville, MD 20857
Telephone: 301-443-9035, Fax: 301-443-8890, Email: fravat@hrsa.gov

Thomas Vallin, MPH, Public Health Analyst, Division of Medicine and Dentistry Bureau of Health Professions, HRSA, 5600 Fishers Lane Room

9A-27, Rockville, MD 20857 , Telephone: 301-443-1307, Fax: 301-443-8890, Email: tvallin@hrsa.gov.

Headquarters Office:

Division of Medicine and Dentistry, Bureau of Health Professions, HRSA, DHHS 5600 Fishers Lane, Room 9A-27, , Rockville , Maryland 20857 Phone: (301) 443-6190 Fax: (301) 443-8890

Website Address:

<http://www.hrsa.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Procedures for assessing the technical merit of grant applications have been instituted to provide an objective review of applications and to assist the applicant in understanding the standards against which each application will be judged. Critical indicators have been developed for each review criterion to assist the applicant in presenting pertinent information related to that criterion and to provide the reviewer with a standard for evaluation. Competing applications are reviewed by nonfederal reviewers for technical merit recommendations. Refer to Grants.gov to obtain a copy of the pertinent funding opportunity announcement, for specific review criteria.

93.060 COMPETITIVE ABSTINENCE EDUCATION (CAE)

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Social Security Act, Title XI, Section 1110.

OBJECTIVES:

To promote abstinence education, as defined by Section 510(b)(2) in Title V of the Social Security Act, for adolescents. The entire focus of these programs is to educate young people and create an environment within communities that supports teen decisions to postpone sexual activity until marriage.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Funds are for making competitive grants to provide abstinence education (as defined by section 510(b)(2)(A)-(H) of the Social Security Act) to adolescents, and for Federal costs of administering the grant. Grants made under the authority of section 510(b)(2)(A)-(H) of the Social Security Act shall be made only to public and private entities that agree that, with respect to an adolescent to whom the entities provide abstinence education under such grant, the entities will not provide to that adolescent any other education regarding sexual conduct, except that, in the case of an entity expressly required by law to provide health information or services the adolescent shall not be precluded from seeking health information or services from the entity in a different setting than the setting in which abstinence education was provided.

Pursuant to Section 510(b)(2) of Title V of the Social Security Act, the term "abstinence education," for purposes of this program means an educational or motivational program that:

- (A) Has as its exclusive purpose, teaching the social, psychological, and health gains to be realized by abstaining from sexual activity;
- (B) Teaches abstinence from sexual activity outside marriage as the expected standard for all school age children;
- (C) Teaches that abstinence from sexual activity is the only certain way to avoid out-of-wedlock pregnancy, sexually transmitted diseases, and other associated health problems;
- (D) Teaches that a mutually faithful monogamous relationship in the context of marriage is the expected standard of human sexual activity;
- (E) Teaches that sexual activity outside of the context of marriage is likely to have harmful psychological and physical effects;
- (F) Teaches that bearing children out-of-wedlock is likely to have harmful

consequences for the child, the child's parents, and society;

(G) Teaches young people how to reject sexual advances and how alcohol and drug use increases vulnerability to sexual advances; and

(H) Teaches the importance of attaining self-sufficiency before engaging in sexual activity.

Applicant Eligibility:

"Grants made under the authority of section 510(b)(2)(A)(H) of the Social Security Act shall be made only to public and private entities that agree that, with respect to an adolescent to whom the entities provide abstinence education under such grant, the entities will not provide to that adolescent any other education regarding sexual conduct, except that, in the case of an entity expressly required by law to provide health information or services the adolescent shall not be precluded from seeking health information or services from the entity in a different setting than the setting in which abstinence education was provided..."

Statutory Authority - This program is funded generally under the authority of Section 1110 of the Social Security Act, 42 U.S.C. 1310, and specifically by the appropriation for General Departmental Management for the Office of the Secretary under Division F, Title II of the Consolidated Appropriations Act, 2012, Public Law 112-74.

Beneficiary Eligibility:

Adolescents with a focus on those adolescents that are most likely to bear children out-of-wedlock or who live in areas with high birth rates to adolescents.

Credentials/Documentation:

Nonprofit organizations must submit proof of nonprofit status. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Specific instructions are published in a program announcement made available through www.grants.gov.

Award Procedure:

All applications are reviewed by a panel of nonfederal expert peer reviewers that assigns scores according to the published criteria in the funding opportunity announcement. The panel's scores are factored into the recommendations for funding. Scores and recommendations are reviewed by the Associate Commissioner of the Family and Youth Services Bureau. Recommendations are made to the ACYF Commissioner, who makes the final funding decisions after approval is granted by the Office of Grants Management.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

No new funds will be available for grantees awarded in FY2012. However, a grant review will take place in FY2013 to make new awards to approximately 10 applicants for a 24 month budget and project period.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Nine grant awards were made in FY2012. The first cohort of grants were competitively awarded for a project period of 24 months. The FY2013 appropriated funding will be awarded to a second cohort of grantees for a budget and project period of 24 months. See the following for information on how assistance is awarded/released: Periodic draw downs based on need and project progress.

Reports:

Grantees are required to submit performance progress reports, financial status reports and performance measure data. Grantees are required to submit program progress reports semi-annually throughout the project period. Program progress reports are due 30 days after the reporting period. Final programmatic reports are due 90 days after the close of the project period. Grantees are required to submit financial reports (SF-425) semi-annually throughout the project period. Financial reports are due 30 days after the reporting period. Final financial reports are due 90 days after the close of the project period. Performance monitoring occurs by reviewing program progress reports, conducting monitoring phone calls and conducting monitoring site visits. No cash reports are required. Competitive grantees under the Abstinence Program will be required to submit progress reports semi-annually. Reports will be due 30 days after the conclusion of the reporting period.

Failure to submit reports on time may be a basis for withholding grant funds, suspension, or termination of the grant. In addition, all funds reported after the obligation period will be recouped.

Performance Progress Report Cover Page

A cover page must accompany the Performance Progress Report and must include the CFDA Number 93.060 and Competitive Abstinence Education Program as the priority area to which the grantee is responding.

Forms

Performance Progress Report and Performance Measures

See Appendices for Additional information for the forms and instructions can be found at:

Transmittal

Grantees will submit the progress reports online via the ACF Online Data Collection (OLDC) platform. Successful applicants will receive further reporting guidance. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

All financial records are to be maintained 3 years after termination of the project or until audit is completed, whichever occurs first.

Account Identification:

75-0120-0-1-506 - General Departmental Management account.

Obligations:

(Salaries) FY 12 \$4,990,550; FY 13 est \$4,681,000; and FY 14 Estimate Not Available(Exp: Funds have not been authorized f) - In FY2012, \$108,753 was obligated to the Adolescent Pregnancy Prevention Program's training and technical assistance contract, \$124,000 was obligated to the ACYF grant review contractor, and \$25,624 was obligated from salaries and benefits. FY 2012

grant award amount was \$4,732,173. In FY2013, approximately \$72,014 will be obligated to the Adolescent Pregnancy Prevention Program's training and technical assistance contract, \$215,514 will be obligated to the ACYF grant review contractor, and \$133,200 will be obligated to a logistics contractor to provide grant administration support. FY 2013 grant award estimate is \$4,260,270.

Range and Average of Financial Assistance:

\$200,000 to \$800,000. The average estimate award amount is \$500,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: In FY2012, 9 grants were awarded. Fiscal Year 2013: In FY 2013, it is anticipated that 8 grants will be awarded. Fiscal Year 2014: N/A.

REGULATIONS, GUIDELINES, AND LITERATURE:

Contact the Family and Youth Services Bureau central office for available literature. You may also get information on the Family and Youth Services Bureau website at the following address:
<http://www.acf.hhs.gov/programs/fysb>.

Regional or Local Office:

None.

Headquarters Office:

Marc D. Clark 1250 Maryland Ave, SW, 8th Floor, Washington, District of Columbia 20024 Email: marc.clark@acf.hhs.gov Phone: 202-205-8496 Fax: 202-205-9535

Website Address:

<http://www.acf.hhs.gov/programs/fysb>

RELATED PROGRAMS:

93.092 Personal Responsibility Education Program; 93.235 Abstinence Education Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be evaluated based upon the criteria published in the Funding Opportunity Announcement located on the following website address:
<http://www.grants.gov/>.

93.061 INNOVATIONS IN APPLIED PUBLIC HEALTH RESEARCH

Applied Public Health Research

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Title 42, Part 247b, Section 317(k)(2).

OBJECTIVES:

To foster the new knowledge necessary to develop, enhance, and disseminate effective public health services, programs, and policies that improve health and quality of life for the population.

TYPES OF ASSISTANCE:

Cooperative Agreements; Project Grants

USES AND USE RESTRICTIONS:

Applied public health research provides the critical new knowledge to address the CDC priority research needs. Research is focused on improving public health practices to maximize positive health outcomes and impacts.

Applicant Eligibility:

Applications may be submitted by public and private nonprofit and for profit organizations and by governments and their agencies, such as: Public nonprofit organizations Private nonprofit organizations, For profit organizations, Small, minority, women-owned businesses, Universities, Colleges, Research institutions, Hospitals, Community-based organizations, Faith-based organizations, Federally Recognized Indian Tribal Governments, Indian tribes, Indian tribal organizations, State and local governments or their Bona Fide Agents (this includes the District of Columbia, the Commonwealth of Puerto Rico, the Virgin Islands, the Commonwealth of the Northern Mariana Islands,

American Samoa, Guam, the Federated States of Micronesia, the Republic of the Marshall Islands, and the Republic of Palau), Political subdivisions of States (in consultation with States).

Beneficiary Eligibility:

Beneficiary will be to public and private nonprofit and for profit organizations and by governments and their agencies, such as: Public nonprofit organizations Private nonprofit organizations, For profit organizations, Small, minority, women-owned businesses, Universities, Colleges, Research institutions, Hospitals, Community-based organizations, Faith-based organizations, Federally Recognized Indian Tribal Governments, Indian tribes, Indian tribal organizations, State and local governments or their Bona Fide Agents (this includes the District of Columbia, the Commonwealth of Puerto Rico, the Virgin Islands, the Commonwealth of the Northern Mariana Islands, American Samoa, Guam, the Federated States of Micronesia, the Republic of the Marshall Islands, and the Republic of Palau), Political subdivisions of States (in consultation with States).

Credentials/Documentation:

Applicants should document the need for assistance, state the objectives of the project, present their approach to meeting the objectives, and outline the methods of operation. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applicants must download the SF424 (R&R) application forms and SF424 (R&R) Application Guide located at: http://www.grants.gov/applicants/apply_for_grants.jsp. Applicants are required to have a Dun and Bradstreet Data Universal Numbering System (DUNS) number to apply for a grant from the Federal Government. The DUNS number is a nine-digit identification number, which uniquely identifies business entities. Obtaining a DUNS number is easy and there is no charge. To obtain a DUNS number, access www.dunandbradstreet.com or call 1(866) 705-5711.

Award Procedure:

Successful applicants will receive a Notice of Grant Award (NGA) from the CDC Procurement and Grants Office. The NGA shall be the only binding, authorizing document between the recipient and CDC. The NGA will be signed by an authorized Grants Management Officer, and mailed to the recipient fiscal officer identified in the application.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 90 to 150 days.

Appeals:

Not Applicable.

Renewals:

Throughout the project period, CDC's commitment to continuation of awards will be conditioned on the availability of funds, evidence of satisfactory progress by the recipient (as documented in required reports), and the determination that continued funding is in the best interest of the Federal Government.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Financial assistance is provided for a 12-month budget period with project periods of up to 3 years subject to the availability of funds and satisfactory progress of the grantee. Method of awarding/releasing assistance: lump sum.

Reports:

Annual progress report, (PHS 2590, OMB Number 0925-0001, <http://grants.nih.gov/grants/funding/2590/2590.htm>) is due no less than 90 days

before the end of the budget period. The progress report will serve as the non-competing continuation application. A final performance report is due no more than 90 days after the end of the project period. Cash reports are not applicable. Annual progress report, (PHS 2590, OMB Number 0925-0001, <http://grants.nih.gov/grants/funding/2590/2590.htm>) is due no less than 90 days before the end of the budget period. The progress report will serve as the non-competing continuation application. A final performance report is due no more than 90 days after the end of the project period. Financial status report is due no more than 90 days after the end of the budget period. A final financial report is due no more than 90 days after the end of the project period. Annual progress report, (PHS 2590, OMB Number 0925-0001, <http://grants.nih.gov/grants/funding/2590/2590.htm>) is due no less than 90 days before the end of the budget period. The progress report will serve as the non-competing continuation application. A final performance report is due no more than 90 days after the end of the project period.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, these grants are subject to inspection and audits by DHHS and other Federal officials.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the project must be kept readily available for review by personnel authorized to examine PHS grant accounts. Records must be maintained for a minimum of 3 years after the end of a budget period. If questions still remain, such as those raised as a result of an audit, records must be retained until completion or resolution of any audit in process or pending resolution. Property records must be retained in accordance until the matter is completely resolved.

Account Identification:

75-0943-0-1-550.

Obligations:

(Salaries) FY 12 \$4,388,450; FY 13 est \$4,135,908; and FY 14 Estimate Not Available

Range and Average of Financial Assistance:

Range - FY12 \$432,050 - \$932,050

Average - FY12 \$487,606.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR Part 74 and Part 92 For more information on the Code of Federal Regulations, see the National Archives and Records Administration at the following Internet address:

<http://www.access.gpo.gov/nara/cfr/cfr-table-search.html>.

Regional or Local Office:

None.

Headquarters Office:

Dr. Juliana Cyril, 1600 Clifton Rd., Atlanta, Georgia 30333 Email: jcyril@cdc.gov Phone: (404) 639-4639.

Website Address:

<http://www.cdc.gov> or <http://www.cdc.gov/od/science/quality/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Applications that are complete and responsive to the FOA will be evaluated for

scientific and technical merit by an appropriate peer review group in accordance with the review criteria. As part of the initial merit review, all applications may: Undergo a process in which only those applications deemed to have the highest scientific merit, generally the top half of the applications under review, will be discussed and assigned a priority score and receive a written critique.

93.062 BIOMONITORING PROGRAMS FOR STATE PUBLIC HEALTH LABORATORIES

Centers For Disease Control and Prevention (CDC) Department of Health and Human Services

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

This program is authorized under Section 317 of the Public Health Service Act, (42 U.S.C. Section 247 b), as amended., 42 U.S.C 247b.

OBJECTIVES:

To support the development or expansion of state-based biomonitoring programs, including necessary infrastructure, that will increase the capability and capacity of state public health laboratories (1) to assess human exposure to environmental chemicals within their jurisdictions; (2) to determine which chemicals people are exposed to and the levels of those chemicals in peoples bodies; (3) to determine the prevalence of people with levels of chemicals that are above a known toxicity threshold; (4) to determine whether levels of selected chemicals are higher among minorities, children, women of childbearing age, or other potentially vulnerable groups; (5) to assess the effectiveness of state public health actions to reduce the exposure of their residents to specific chemicals of concern; (6) to compare state-generated biomonitoring data with CDC national biomonitoring data; and (7) to track trends in levels of chemicals in a states population or subgroups of that population over time.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Cooperative agreements may be made to eligible entities for the support of projects to develop or expand state-based biomonitoring programs. Funding may be used for personnel salaries (for full-time, part-time, or contractor positions), laboratory instruments and supplies, training, travel, and indirect costs. Funds may not be used for research, clinical care, furniture or office equipment, pre-award costs, or the construction of new laboratory space. In addition, the direct and primary recipient in the cooperative agreement program must perform a substantial role in carrying out project objectives and not merely serve as a conduit for an award to another party or provider who is ineligible.

Applicant Eligibility:

No additional information.

Beneficiary Eligibility:

No additional information.

Credentials/Documentation:

Applicants should review the individual CDC Guidance documents issued under this CFDA program for any required proof or certifications that must be submitted with the application package. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Applications and required

forms for this program can be obtained from Grants.gov or from the Grants Management Officer, Grants Management Branch, Procurement and Grants Office, Centers for Disease Control and Prevention. Please visit the Grants.gov Web site at www.grants.gov to find and apply for all Federal grant/cooperative agreement opportunities.

Award Procedure:

After review and approval of an application, a notice of award is made in writing and issued by the Grants Management Officer, Procurement and Grants Office, CDC. Appropriate notification is also made to the public.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Support is recommended for a specified project period, not to exceed 5 years, with each project period having a 12-month budget period.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching requirements are not applicable to this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Support is recommended for a specified project period, not to exceed 5 years. Awards are made annually and assistance is available for a twelve month budget period. There are five budget periods within the 5-year project period. After awards are issued, funds are released in accordance with DHHS payment procedures, which may be through an Electronic Transfer System or a Monthly Cash Request System. Method of awarding/releasing assistance: lump sum.

Reports:

Program reports are not applicable. Cash reports are not applicable. Annual progress reports are required. Financial status reports are required no later than 90 days after the end of each budget period. Final financial status and performance reports are required 90 days after the end of a project period. Annual progress reports are required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Grantees are required to maintain grant accounting records for a minimum of 3 years after the end of a grant period. If any litigation, claim, negotiation, audit or other action involving the record has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. More detailed information regarding retention requirements is provided in 45 CFR Parts 74 and 92.

Account Identification:

75-0943-0-1-550.

Obligations:

(Cooperative Agreements) FY 12 \$5,000,000; FY 13 est \$5,000,000; and FY 14 est \$5,000,000 - Range: \$1 million to \$ 3 million per award
Approximate average award: \$2.14 million.

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations. HHS Grants Policy Statement at: <http://www.hhs.gov/grantsnet/adminis/gpd/index.htm>.

Regional or Local Office:

None.

Headquarters Office:

Caroline Gardner, 4770 Buford Hwy, NE, MS F61, Atlanta, Georgia 30341-3717 Email: ctg8@cdc.gov Phone: (770) 488-0572.

Website Address:

<http://www.cdc.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Proposal selection criteria can be found in the program guidance.

93.064 LABORATORY TRAINING, EVALUATION, AND QUALITY ASSURANCE PROGRAMS

(Quality Assurance in Pathology and Laboratory Medicine)

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Section 317(k)(2), 42 U.S.C 247b(k)(2).

OBJECTIVES:

To improve the quality of laboratory testing practices relevant to clinical and public health settings and to determine standardized approaches to quality assurance in pathology and laboratory medicine that can be applied in multiple, diverse settings (e.g. community hospitals, academic medical centers, and independent laboratories) that demonstrate measurable and sustainable improvements over time.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funding opportunities are made available to evaluate quality assurance methods for addressing the pre-analytic, analytic, and post-analytic components of laboratory testing in clinical and public health laboratory settings; and to provide technical assistance and training to public health laboratory scientists and leaders on laboratory standards and quality improvement models applicable to laboratory management services.

Applicant Eligibility:

Applications may be submitted by public and private nonprofit organizations and by governments and their agencies, such as: Public nonprofit organizations, private nonprofit organizations, universities, colleges, research institutions, hospitals, community and faith based organizations, State and local governments or their Bona Fide Agents (this includes the District of Columbia, the Commonwealth of Puerto Rico, the Virgin Islands, the Commonwealth of the Northern Mariana Islands, American Samoa, Guam, the Federated States of Micronesia, the Republic of the Marshall Islands, and the Republic of Palau).

Beneficiary Eligibility:

Applicants must have experience in the administration and evaluation of standardized quality assurance programs in multiple, diverse laboratory sites (including community hospitals and academic medical centers). This experience is required for an applicant to be able to assess the effectiveness of these quality assurance programs and to determine best practices.

Credentials/Documentation:

Title 2 of the United States Code section 1611 states that an organization described in section 501(c)(4) of the Internal Revenue Code that engages in lobbying activities is not eligible to receive Federal funds constituting an award, grant, or loan. For all other nonprofit grantees, costs will be determined in accordance with HHS Regulations 45 CFR 74, Subpart Q. For-profit organizations' costs are determined in accordance with the Federal Acquisition Regulations, 48 CFR 31. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Application forms and instructions are available on the CDC web site, at the following Internet address: www.cdc.gov/od/pgo/forminfo.htm If you do not have access to the Internet, or if you have difficulty accessing the forms on-line, you may contact the CDC Procurement and Grants Office Technical Information Management Section (PGO-TIM) staff at: Telephone: (770) 488-2700. Applications must be submitted through www.grants.gov.

Award Procedure:

Applications will be evaluated for completeness and responsiveness by the agency program and CDC Procurement and Grants Office (PGO). CDC will not review incomplete and non-responsive applications. After review and approval, a notice of grant award (NoA) will be prepared and processed, along with appropriate notification to the public. Initial award provides funds for the first budget period (usually 12 months) and the NoA will indicate support recommended for the remainder of the project period, allocation of Federal funds by budget categories, and special conditions, if any.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Cooperative agreement project periods are 1 to 5 years. Subject to availability of funds, after initial awards, projects may be renewed non-competitively contingent upon satisfactory progress by the recipient (as documented in required reports) and the determination that continued funding is in the best interest of the Federal government.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Financial assistance is available for a 12-month budget period within project periods ranging from one to five years. Method of awarding/releasing assistance: lump sum.

Reports:

Annual or semiannual progress reports are required. Financial status reports are required no later than 90 days after the end of each budget period. Final financial status and performance reports are required 90 days after the end of the project period. Cash reports are not applicable. Annual or semiannual progress reports are required. Financial status reports are required no later than 90 days after the end of each budget period. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit

Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal governments officials.

Records:

Financial records, including documents to support entries on accounting records and to substantiate charges to each grant, must be kept readily available for review by personnel authorized to examine HHS grant accounts. Records must be maintained for three years after the end of a budget period. If questions still remain, such as those raised as a result of audit, related records should be retained until the matter is completely resolved.

Account Identification:

75-0947-0-1-551; 75-0943-0-1-550.

Obligations:

(Cooperative Agreements) FY 12 \$3,822,672; FY 13 est \$785,325; and FY 14 est \$1,334,431

Range and Average of Financial Assistance:

\$100,000 to \$500,000; \$250,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR 52; basic grant administration policies of DHHS and PHS are also applicable, 45 CFR 74 and 45 CFR 92; PHS Grants Policy Statement, DHHS publication No. (OASH) 94-50,000, (Rev.) April 1, 1994.

Regional or Local Office:

None. For general questions about this announcement, contact: Technical Information Management Section, CDC Procurement and Grants Office, 2920 Brandywine Road, Atlanta, GA 30341. Telephone: 770-488-2700. For program technical assistance, contact: Charlene Beach, Centers for Disease Control and Prevention, OSELS, LSPPPO, DLSS, 1600 Clifton Road, MS G25. Telephone: (404) 498-0233, Fax: (404) 498-2210, E-mail: cho1@cdc.gov. For financial, grants management, or budget assistance, contact: Annie Curry, Grants Management Specialist, CDC Procurement and Grants Office, 2920 Brandywine Road, Atlanta, GA 30341. Telephone: (770) 488-2823, E-mail: ihs6@cdc.gov.

Headquarters Office:

Charlene H. Beach, CDC/OSSELS/LSPPPO/DLSS, 1600 Clifton Road, NE Mailstop G25, Atlanta, Georgia 30333 Email: cho1@cdc.gov Phone: (404) 498-0233 Fax: (404) 498-2210.

Website Address:

<http://www.cdc.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be evaluated on the review criteria described in the Funding Opportunity Announcements (FOAs). In general, the review and selection process of complete and responsive applications to the FOA consists of determination of the scientific and technical merit by objective or peer review, availability of funds, and relevance of program priorities and the priorities of DHHS. Refer to the FOA for additional review criteria.

93.065 LABORATORY LEADERSHIP, WORKFORCE TRAINING AND MANAGEMENT DEVELOPMENT, IMPROVING PUBLIC HEALTH LABORATORY INFRASTRUCTURE

APHL-CDC Partnership for Quality Lab Practice

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human

Services

AUTHORIZATION:

Section 317(k)(3) of the Public Health Service Act, [42 U.S.C. 247b (k)(3), as amended.

Sections 307 and 317(k)(2) of the Public Health Service Act, [42 U.S.C. 2421 and 247b (k)(2), as amended, Executive Order N/A, Title 42, Part 247b(k)(3), Section 317(k)(3); Funding is appropriated under Affordable Care Act (PL 111-148), Title IV, Section 4002 (Prevention and Public Health Fund) in Fiscal Year 2011 only. In addition, Under Section 5314, Fellowship Training in Public Health (Part E of title VII of the Public Health Service Act (42 U.S.C.294n et seq., as amended by as amended by section 5206, is further amended by Sec. 778), CDC is authorized to expand existing fellowship training programs in the critical areas of applied public health epidemiology, public health laboratory science and public health informatics.

OBJECTIVES:

This program addresses the Healthy People 2010 focus areas of: #8 Environmental Health, #10 Food Safety, #11 Health Communication, #13 HIV, #14 Immunization and Infectious Diseases, # 17 - Medical Product Safety, #20 - Occupational Safety and Health, #23 Public Health Infrastructure, #24 - Respiratory Diseases and #25 Sexually Transmitted Diseases.

Its major objective is to improve public health laboratory infrastructure, maintain a competent and trained laboratory workforce, promote laboratory leadership activities to ensure future laboratory leaders, ensure laboratory preparedness for emerging infectious diseases or other biologic and chemical public health threats, promote technology transfer to ensure up-to-date technologies for the testing laboratory, and to enhance communication linkages between state and local public health laboratories, and the clinical laboratory testing community.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

The purpose of the program is to enhance the work of public health laboratories in the U.S. and abroad. The program aims to promote quality public health laboratory practice, improve public health laboratory infrastructure, strengthen the public health laboratory system, and to develop a well-trained public health laboratory workforce in the U.S. and globally. It also aims to ensure laboratory preparedness for emerging infectious diseases or other biologic and chemical public health threats, promote technology transfer to ensure up-to-date technologies for the testing laboratory, and enhance communication linkages between state and local public health laboratories and the clinical laboratory testing community. Improvement of public health laboratory infrastructure, state-of-the-art training for the nation's laboratorians to be prepared in dealing with public health threats, improving laboratory leadership capabilities, enhancing inter-laboratory communications.

There are no restrictions.

Additionally, Affordable Care Act (ACA) funding will be used to expand the existing Emerging Infectious Diseases (EID) Laboratory Fellowship Program by supporting additional fellowships through the cooperative agreement between CDC and APHL in Fiscal Year 2011. This project will receive ACA funding for future Fiscal Years-Subject to availability of funds.

Applicant Eligibility:

Assistance will be provided only to APHL. CDC approved single eligibility of this award. This group is the appropriate and only qualified organization to address the activities described under this program announcement. Application may be submitted by the APHL consistent with the single eligibility justification that follows. State governments, specifically State public health laboratories, particularly any organization representing state public health laboratories and having an established training network.

In regards to Eligibility for Affordable Care Act (ACA) funding will be limited solely to the Association of Public Health Laboratories (APHL), the current partner in the cooperative agreement with CDC.

Beneficiary Eligibility:

This project represents the front line defense against health threats to the nation's public. The nation's public is the ultimate recipient of benefits from this program.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments. For all other nonprofit grantees, cost will be determined in accordance with HHS Regulations 45 CFR 74 Subpart Q. For-profit organizations' costs are determined in accordance with the Federal Acquisition Regulations, 48 CFR 31. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. To apply for this funding opportunity use application form PHS 5161. Application forms and instructions are available on www.grants.gov and/or the CDC web site, at the following Internet address: www.cdc.gov/od/pgo/forminfo.htm. If you do not have access to the Internet, or if you have difficulty accessing the forms on-line, you may contact the CDC Procurement and Grants Office Technical Information Management Section (PGO-TIM) staff at: 770-488-2700. Application forms can be mailed to you.

Award Procedure:

Successful applicants will receive a Notice of Award (NoA) from the CDC Procurement and Grants Office. The NoA shall be the only binding, authorizing document between the recipient and CDC. The NoA will be signed by an authorized Grants Management Officer, and mailed to the recipient fiscal officer identified in the application. Unsuccessful applicants will receive notification of the results of the application review by mail.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From two to three months.

Appeals:

Not Applicable.

Renewals:

Throughout the project period, CDC's commitment to continuation of awards will be conditioned on the availability of funds, evidence of satisfactory progress by the recipient (as documented in required reports), and the determination that continued funding is in the best interest of the Federal Government.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Financial assistance is provided for a 12-month budget period with project periods of up to five years subject to the availability of funds and satisfactory progress of the grantee. Method of awarding/releasing assistance: lump sum.

Reports:

Each funded applicant must provide CDC with an interim progress reports via www.grants.gov: 1. The first interim progress report is due at mid year and will serve as the non-competing continuation application, and must contain the following elements: a. Standard Form (SF) 424S Form. b. SF-424A Budget Information-Non-Construction Programs. c. Budget Narrative. d. Indirect Cost Rate Agreement. e. Project Narrative. 2. The second report is due no later than 90 days after the end of the budget period. This report should include a detailed description of specific projects and activities conducted, measurable outcomes achieved and public health impact resulting from projects conducted during the

reporting period. 3. Annual progress report, due 90 days after the end of the budget period. These reports must be submitted to the attention of the Grants Management Specialist. Cash reports are not applicable. Interim progress report, no less than 90 days before the end of the budget period. The progress report will serve as your non-competing continuation application, and must contain the following elements: current budget period activities objectives, current budget period financial progress, new budget period program proposed activity Objectives, budget, additional requested information, and measures of effectiveness;. Financial status report and annual progress report, no more than 90 days after the end of the budget period; and Final financial and performance reports, no more than 90 days after the end of the project period. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. A-133 audits. Financial status report and annual progress report, no more than 90 days after the end of the budget period; and Final financial and performance reports, no more than 90 days after the end of the project period

Records:

Financial and personnel records including financial awards, financial status reports, interim reports, and final reports must be maintained for a period of seven years.

Account Identification:

75-0943-0-1-550.

Obligations:

(Salaries) FY 12 \$279,000; FY 13 est \$379,220; and FY 14 est \$379,220 - Subject to availability of funds. (Cooperative Agreements) FY 12 \$279,000; FY 13 est \$379,220; and FY 14 est \$379,220 - Approximately \$1,000,000 in Affordable Care Act (ACA) funding will be made available to support additional fellowships under the Emerging Infectious Diseases (EID) Laboratory Fellowship Program thru the existing cooperative agreement with APHL . Fiscal Year 2012: \$2,300,000 in Affordable Care Act (ACA) funding will be made available to support additional fellowships under the Emerging Infectious Diseases (EID) Laboratory Fellowship Program through the existing cooperative agreement with APHL.

Subject to availability of funds, ACA funds will be available to fund future years.

Range and Average of Financial Assistance:

Approximate Average Award: \$20 million
Floor of Individual Award Range: \$10 million
Ceiling of Individual Award Range: \$30 million
Anticipated Award Date: July 1, 2010
Budget Period Length: 12 months
Project Period Length: 5 years.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Angela Banks, 1600 Clifton Road, Mailstop E21, Atlanta, Georgia 30333
Email: zww0@cdc.gov Phone: (404) 498-6399.

Website Address:

<http://www.cdc.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Proposed program, Methods, Proposal Adequacy, Evaluation, Program Personnel and Budget (not scored).

93.066 STATE VITAL STATISTICS IMPROVEMENT PROGRAM

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Section 304 and 306.

OBJECTIVES:

To improve the timeliness, quality and sustainability of the de-centralized vital statistics system by adopting nationally-developed, consensus standards and guidelines; by actively working with states to re-engineer their state-owned and operated systems using these standards/guidelines; and by improving the efficiency of business operations of state vital statistics programs. Working collaboratively between State and Federal agencies, the goal is to facilitate the development and implementation of re-engineered systems in all 57 registration areas. These will be systems that can: (1) provide quality and timely data for public health surveillance and medical research; (2) meet citizen needs for legal copies of their birth and death records, including providing paper copies of records to citizens for their immediate legal or personal use, and at citizens' request, transferring records electronically to local, State or Federal agencies to satisfy an agency's need for a record; meet Federal agencies' needs for record verification and authentication; (3) result in comparable vital registration/statistics systems in each state; (4) use national standards and guidelines, including the 2003 revision of the U.S. Standard Certificates of Birth and Death and the Report of Fetal Death, the ICD-10 classification system, the FIPS geographic coding scheme, and the standard HL-7 vital events messaging; (5) support national security and privacy requirements; (6) integrate or harmonize easily with other public health systems such as Public Health Information Network (PHIN), immunization registries, and newborn screening, and (7) use Internet technology.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Restrictions, which must be taken into account while writing your budget, are as follows: Approved project plan required prior to expenditure of funds; all travel will need to be identified in the approved project plan; and purchase of computer hardware and software will require prior approval before expenditure.

Applicant Eligibility:

An application may be submitted by public or private nonprofit organization whose primary mission is the support of state vital statistics and vital records programs.

Beneficiary Eligibility:

An application may be submitted by public or private nonprofit organization whose primary mission is the support of state vital statistics and vital records programs.

Credentials/Documentation:

Applicant are required to provide measures of effectiveness that will demonstrate the accomplishment of the various identified objectives of the cooperative agreement. Measures must be objective and quantitative, and must measure the intended outcome. These measures of effectiveness must be submitted with the application and will be an element of evaluation. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. To apply for this funding opportunity use application form PHS 5161. Application forms and instructions are available on the CDC web site, at the following Internet address: www.cdc.gov/od/pgo/forminfo.htm.

Award Procedure:

Successful applicant will receive a Notice of Grant Award (NGA) from the CDC Procurement and Grants Office. The NGA shall be the only binding, authorizing document between the recipient and CDC. The NGA will be signed by an authorized Grants Management Officer, and mailed to the recipient fiscal officer identified in the application.

Deadlines:

Jun 21, 2012: The program has been established for 5 years, with each option depending on the availability of funding and performance of the awardee. 5:00 p.m. Eastern Time on the deadline date.

Range of Approval/Disapproval Time:

From 90 to 120 days. About 120 days from receipt of application.

Appeals:

Not Applicable.

Renewals:

Throughout the project period, CDC's commitment to continuation of this award will be conditioned on the availability of funds, evidence of satisfactory progress by the recipient as documented in required reports, and the determination that continued funding is in the best interest of the Federal Government.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Assistance is available for a 12-month budget period within project periods ranging from one to five years. Method of awarding/releasing assistance: by letter of credit.

Reports:

Reports about the objectives accomplished. Written reports are required at mid-term of the project year and at the end of the project year. At least quarterly meetings are required of the awardee. Financial status reports are required at the end of the project year. Updates on financial status is provided at mid-term of the project year. At mid-term of the project year, reports are required on the objectives achieved and the objectives to be achieved in the remainder of the project year. At the end of the project year, a progress report is required on the objectives achieved. Reports are provided on the amount spent within the approved budget categories. Performance monitoring is handled in four ways: 1) required quarterly meetings; 2) regular conference calls on specific issues; 3) joint projects with DVS staff; and 4) emails/telephone conversations with the staff.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Financial records, support documents, statistical records, and all other records pertinent to the project must be kept readily available for review by personnel authorized to examine PHS grant accounts. Records must be maintained for a minimum of three years after the end of a budget period. If questions still remain, such as those raised as a result of an audit, records must be retained until completion or resolution of any audit in process or pending resolution.

Account Identification:

75-0943-0-1-550.

Obligations:

(Cooperative Agreements) FY 12 \$685,500; FY 13 est \$685,500; and FY 14 est \$685,500

Range and Average of Financial Assistance:

\$685,500 per year (5-year Cooperative Agreement).

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Activity 1: Provide Training and Marketing Assistance on the Model Law Revision The 2011 revisions to the Model State Vital Statistics Act and Regulations drafted in May 2011 were not approved for dissemination by the Department of Health and Human Services or released for public comment. Because no official release occurred, we were unable to provide training and marketing assistance to the NAPHSIS membership. Activity 2: Continue Re-engineering Technical Assistance to Jurisdictions The technical assistance program to help jurisdictions re-engineering and revise continued during Year 5 with a focus on the jurisdictions most challenged to meet the December 2013 deadline for VSCP reporting under 2003 standards. The jurisdictions that became our focus of re-engineering support in year 5 required the upgrading or full replacement of their current vital record technology in order to achieve compliance, and often were challenged by a complexity of issues, including funding, staffing and organizational obstacles. For those territorial offices still using paper processing, the complexity of issues to be addressed was even greater. Still, we made significant progress in assisting those jurisdictions that requested support:

We provided on site and remote support to North Carolina through an extensive reorganization and helped them resolve contract and performance issues with their EBRs. We supported and continue to support an extensive project re-scoping to fix a dysfunctional EBRs that was causing a significant backlog of records for special registrations. NAPHSIS served as intermediary to help North Carolina resolve outstanding contracting issues with their former EBRs vendor so that current source code could be delivered to an internal IT team tasked with repairing and upgrading the EBRs. NAPHSIS assisted North Carolina in the identification of additional funding sources to complete the EBRs re-engineering program. We also helped North Carolina assess their remaining options for acquiring EDR and EFDR systems after the cancellation of the vendor contract, including a test and evaluation of the STEVE-ER death and fetal death modules.

With NAPHSIS assistance over many years, New Jersey finally released its long-delayed RFP for a new birth system. The project was partially funded by an MCH grant from HRSA that had been no-cost extended several times. With a representative from HRSA, we made a site visit to New Jersey and were able to secure the extension of funding with the condition that New Jersey announce an award by June 30, 2012.

We traveled to Rhode Island to assist in strategizing for a major upgrade of their EBRs to the 2003 standard, planned with HRSA funding. When some of that funding was lost, we helped Rhode Island look at alternatives and assess whether the STEVE-ER modules would meet their business process as an intermediate solution. Once additional funding sources for Rhode Island were identified, we helped them strategize and plan their proposed EBRs upgrade.

At Arizonas request, we conducted an on-site review of their new EBRs front-end being developed in-house and assessed its level of compliance with 2003 birth item standards. We attended planning and strategy sessions in person and by phone, and provided guidance on design and functional specifications. We met with testing team members to discuss how best to test 2003 standards using the NCHS test deck. We met with technical team members to discuss phase II enhancements to the system (post-January 2013) and integration points with other public health programs.

We traveled to the U.S. Virgin Islands for phase II of the planned implementation and realignment of business processes to support automation of the vital record office. We completed the local installation of STEVE and STEVE-ER but were unable to connect to the hosted central module until USVI acquired their security certification (Note: this step has not been completed).

However, using the training site for STEVE-ER, we were able to provide orientation and training at birthing facilities on St. Thomas and St. Croix. We also visited the vital record offices on both islands to train staff and discuss changes to business practices that will result from the use of automated processing. We conducted detailed planning with the territorial registrar and officials from the Department of Health for the future implementation and rollout of EVVE, STEVE and STEVE-ER.

We kept in contact with additional jurisdictions on a variety of re-engineering or standards compliance issues and provided assistance remotely as needed. This included Arkansas, Alabama, Maine, Connecticut, New York State, and Alaska.

NAPHSIS and our vendor, SMS, provided intensive remote support to CNMI as they implemented the STEVE-ER Birth module in January 2012 and death and fetal death modules during the next few months. We have continued to provide post-implementation training and support along with troubleshooting issues that arise.

We promoted and supported Guams STEVE-ER deployment process and transition to the 2003 certificates (initially on paper) which had been delayed many times due to internal issues not related to NAPHSIS. Throughout Year 5, we continued to promote compliance with the 2003 standards and re-engineering best practices at regional meetings and national teleconferences. NAPHSIS has continued to maintain national maps of re-engineering activity and has provided timely updates to NCHS as well as maintaining current maps on our website.

Activity 3: Maintain and Enhance Re-engineering Survey Data In Year 5, we continued to maintain the re-engineering survey data and associated maps after verifying the information with the jurisdictions. We reorganized the information to collect additional items requested by NCHS and have We have provided updated maps to the NCHS Project Officer and have posted them periodically on the NAPHSIS website.

Activity 4: Provide Business Process Reviews for Challenged Jurisdictions We continued throughout Year 5 to promote the availability of technical assistance through presentations by the Executive Director at regional and annual meetings and frequent mentions in the Executive Director Newsletter. Garland Land and Chuck Hardester continued to serve as project consultants throughout Year 5, with Chuck maintaining a focus on security issues. We also enlisted the services of Bill Bolton, former state registrar in New Hampshire and NAPHSIS consultant, and of Linda Caniglia, former state registrar in Pennsylvania.

The process for arranging technical assistance support was slightly modified in Year 5 to provide for greater background on issues prior to each visit. The Executive Director continued to receive and review applications for technical assistance from jurisdictions. She conducted a follow up call with each applicant to better understand what assistance they were requesting in order to assign the best team for the site visit. Prior to each visit, another call was held with the consulting team and the registrar to understand the background and issues. The actual time on site was therefore able to be reduced to a 2-3 day period, which was less of a burden to the jurisdiction. At the conclusion of each site visit, a report of findings and recommendations was drafted by the consulting team and thoroughly reviewed with NAPHSIS and with the jurisdictions. A copy of each final report was provided to the NCHS Project Officer.

In January 2012, Garland and Chuck conducted a technical assistance site visit to Arkansas and provided a report of recommendations to the newly appointed registrar, Patricia Edwards. Ms. Edwards had requested a full security assessment and assistance with imaging, reporting and staffing issues. Arkansas has also been delayed in the rollout of their EDRS and requested our advice in completing that process and moving forward with EBRs development. NAPHSIS made numerous recommendations regarding physical and data security and security training for staff. We also provided suggestions for improving the efficiency of customer service and amendments processing. However, shortly after this visit, Ms. Edwards resigned from her position and

Paul Johnson was appointed acting registrar until a new search could be conducted. Arkansas was appreciative of the report but cautioned that implementation of any major recommendations would be postponed until this vacancy could be resolved.

In August, we conducted two technical assistance site visits: Garland and Chuck visited Nevada while Bill Bolton and Linda Caniglia made a visit to South Dakota. The Nevada site visit focused on operational inefficiencies in registration and issuance; VSCP reporting timeliness; and overall security concerns. Our findings focused on revisiting outstanding issues with the states vital record system that will result in improvements to operational and reporting timeliness. We also identified serious accountability issues that could result in vital record fraud. However, we noted that major progress has been made by Nevada since our previous site visits and noted the intentions of the registrar and state center director to implement this next series of steps.

Activity 5: Facilitate Adoption of Security and Best Practice Guidelines and Usage of FEWS Year 5 saw the completion of the NAPHSIS Security Guidelines Manual with the addition of the last chapter on Preservation of Vital Records. All chapters of the document have now been posted on the NAPHSIS website. The Standards Committee has adopted twenty-seven key security measures as part of the NAPHSIS Vital Statistics Standards promoted for use by jurisdictions to improve their overall data quality and timeliness. Participation in the NASPO Identity Proofing project continued until the end of the budget period, supported by NAPHSIS consultant Garland Land. A draft Identity standard was developed by the subcommittee that Garland Land serves on. The draft standard was reviewed by the full NASPO committee in January 2012. Several suggestions were made to improve the standards. The authoring committee has been working on a revised draft to incorporate the suggestions made by the full committee.

In addition to the work of the NASPO project, NAPHSIS has been developing criteria for a Birth Trust Framework based on the NAPHSIS Security Guidelines. The NAPHSIS Security Committee reviewed and approved the Trust Framework Criteria delivered in our mid-year report to NCHS. A Conformance Assessment Procedures document defining a point system to be used by jurisdictions to assess their own level of security compliance was developed and approved by the Security Committee. The final document was presented at the 2012 annual meeting.

The Fraud Early Warning System (FEWS) demonstration period was concluded during Year 5 after 12 jurisdictions completed testing of the system and moved the system into production. However, it was decided by AAMVA that the FEWS project would not be continued at this time. With the discontinuation of FEWS, the Security Committee has drafted a concept for a proposed fraud alert system that is currently under review.

Activity 6: Support Implementation of the STEVE System NAPHSIS continued throughout Year 5 to promote and support the rollout of the State and Territorial Exchange of Vital Events (STEVE) System nationally. Leesa Shem-Tov continued as the STEVE project manager and NAPHSIS consultant Bill Bolton as the STEVE Implementation Manager throughout Year 5.

The NAPHSIS Board of Directors, based on the recommendation of the IJE Committee, approved the extension of the current IJE Agreement through the end of 2013, to coincide with the deadline for all jurisdictions to report VSCP data to NCHS through STEVE. The current IJE Agreement was initially set to expire on December 31, 2012. Leesa Shem-Tov provided staff support to the IJE Committee and to the subcommittee charged with drafting a revised IJE Agreement. The draft revision will be presented to the membership at the 2013 annual meeting.

In July, the NAPHSIS Board of Directors made the STEVE subcommittee of the IJE Committee, which has served as the steering committee for STEVE since its inception, into a full committee. Paul Johnson (AR), who had served on the STEVE subcommittee since the beginning, assumed the chairmanship of the STEVE Committee. Mark Miller continued to serve as chairman of the IJE Committee and member of the STEVE Committee. The STEVE Committee provided invaluable assistance during Year 5 for the planning, organization and

conduct of the process for getting trading partners certified to exchange with NCHS, and provided valuable input on issues of file naming, file size limitations, modifications to file layouts, data routing and other types of troubleshooting that needed to be addressed in order to achieve operational connectivity with NCHS. We also continued to host regular meetings of the STEVE User Group to keep trading partners up to date about issues, activities and progress nationally.

By the end of Year 5, STEVE installations had been completed in 26 states and two territories, and installations in two additional territories had been partially completed (installed on local server but not connected to the STEVE exchange network). Of these 30 jurisdictions, 21 were actively exchanging as of August 31, 2012. A map of STEVE installations showing the IJE file layouts being exchanged is found in Appendix C. These numbers were far below the levels initially expected, both in the number of implementations and extent of the exchange of all file types. Only three jurisdictions reported exchanging natality, mortality, roster and fetal death files with other jurisdictions. We attribute the slower than expected pace of implementation to three factors. The deadline set by NCHS for reporting VSCP data via STEVE, January 1, 2014, was still more than a year away. Second, establishing connectivity with NCHS was still underway during Year 5 but not completed. Third, budgetary and staffing reductions experienced nationwide in the last several years caused have jurisdictions to delay planning and preparation for STEVE.

We have only one jurisdiction (Ohio) fully using the STEVE mailbox capability for its public health programs in the way it was envisioned, although many more jurisdictions had told us in a survey last year that they planned to set up mailboxes. But this has not come to fruition, in spite of our active promotion and training on mailbox use. NAPHSIS did sponsor a webinar on the use of mailboxes in early 2012 and held a roundtable at the 2012 annual meeting that provided demonstrations and training on mailbox use. We continued to promote mailbox use and query trading partners about progress at bi-monthly meetings of the STEVE User Group.

We made significant progress establishing connectivity with NCHS during Year 5. In December 2011, a point-to-point node was re-established by the PHINMS team at CDC and a test of physical interconnectivity was successfully conducted. NCHS completed development of middleware to process IJE birth, death and fetal death files in IJE file layout that could be processed by reNVSS.

The birth translator was completed in mid-2012 and testing of the IJE natality file was conducted by Nebraska. Similar middleware for death and fetal death was completed by the end of this budget period and testing was begun. Numerous issues of medium to large significance were discovered during this process. Some of the issues were resolved by adjusting the IJE file layouts, and some workarounds needed to be developed in the middleware and in STEVE. NCHS developed and provided to STEVE users a guide to file naming and jointly with NCHS, we developed a process for completing a test and certification of each jurisdiction's ability to report VSCP data to NCHS via STEVE. A special meeting of the STEVE Committee was planned and a presentation developed to instruct users on the NCHS certification process.

Activity 7: Improve the Timeliness of Data Reporting to NCHS During new registrar orientations and when providing technical assistance, we offer feedback to the jurisdiction on the timeliness of its data reporting to the NCHS. As needed, we helped the jurisdiction identify key bottlenecks to timely submission of data, pointing out options for corrective action. We also maintain timeliness flowcharts on the NAPHSIS website and refer to them as needed. We continue to educate jurisdictions at regional and annual meetings about the timeliness requirements associated with the new Vital Statistics Cooperative Program (VSCP) contract requirements and their operational impact on jurisdictional timeliness performance.

Activity 8: Assess Jurisdictional Performance Twelve jurisdictions that piloted the 98 NAPHSIS comprehensive vital statistics performance standards were asked to present their experiences at the regional meetings in the fall of 2011. The Standards Committee revised and finalized the standards during Year 5 based upon the comments that were received from pilots and generally from the membership. These standards were approved for self-assessment purposes by the membership at the 2012 NAPHSIS Annual Meeting in Minneapolis. A chart containing the 98 standards was previously delivered to

the NCHS Project Officer and is currently posted on the NAPHSIS website. The standards include targets for self-assessment across ten functional areas:

1. Registration
2. Security
3. Issuance
4. Data Collection/Quality Assurance
5. Data Transmission
6. Data Analysis and Dissemination
7. Staff Supervision and Training
8. Training of Others Associated with Vital Records
9. Data and Record Preservation
10. Policies and Procedures

We promoted the use of the standards for internal self-assessment of a jurisdictions performance through newsletters, presentations at NAPHSIS meetings and webinars. We plan to collect aggregate data from jurisdictions every 2 to 3 years going forward on some of the standards in order to assess the levels of national conformance to the standards, and allow jurisdictions to compare themselves with other jurisdictions. The use of these specific performance standards is not necessarily intended as the basis for an accreditation program, but the experience of the jurisdictions in collecting and responding to this information may be useful in the development of accreditation requirements, should the NAPHSIS Board and membership decide it wants to pursue such efforts in the future.

Activity 9: Provide Vital Records Training - NAPHSIS finalized its inventory of web-based training resources available to the vital records offices, which now includes birth clerk training materials requested from jurisdictions during Year 5. NAPHSIS continued to promote awareness of the training resources on the website through Executive Director presentations at the regional meetings, and a successful training roundtable was again held at the 2012 annual meeting.

Contents of the NAPHSIS training library currently include:

Power point presentations from training webinars and distance learning events sponsored by NAPHSIS and NCHS

Reference libraries of jurisdictional training materials for data providers of birth and death records

Complementary training language on birth and death records representing best training practices gleaned from jurisdictional materials

Sample vital record worksheets, certifications, informational notices and other documents

A complete vital record registrar orientation package containing an introduction to organizations, programs, practices and security guidelines and other helpful information for the new registrar.

Statistical standards, measures developed by NAPHSIS for adoption by jurisdictions

Sample jurisdictional statistical reports

A listing of vital and health statistics courses offered to the public health community by external sources

We also continued our support of the Vital Statistics Records and Their Administration training class offered by NCHS in Year 5 through coordination, logistical support, and the attendance of Colorado registrar and trainer Ron Hyman at the 2012 session.

Activity 10: New Registrar Training Program The orientation program for new state registrars during Year 5 was met with very little demand. We received a request for a new registrar orientation in Arkansas that was completed in early December by Executive Director Patricia Potrzebowski. There were no additional orientations conducted under this Cooperative Agreement during the reporting period.

However, to assure the wider accessibility of the materials we had been presenting during new registrar orientation, we created a complete reference library of training and orientation materials that has been enhanced and posted in the members-only section of our website (under training resources). The Vital Record Registrar Orientation library includes information on the following:

Introduction to the roles and missions of NCHS and NAPHSIS and the National Vital Statistics System (NVSS)

Important programs such as VSCP, NDI, SSA death reporting, EAB and EVVE which are sources of revenue inter-jurisdictional exchange practices, the IJE Agreement and the STEVE System

Security guidelines, confidentiality and data release

Best practice guidelines

Training for staff and data providers

Data analysis

We have promoted use of the registrar orientation library to the NAPHSIS membership through presentations, announcements and newsletters for new and existing registrars alike. We continue to be available to address any questions and issues brought to us by the registrar community.

Activity 11: Assist NCHS in the Development of HL7 Standards for Electronic Vital Record Transmission In October 2011, NAPHSIS instituted an e-Health Committee to report recommendations to the NAPHSIS Board on electronic data exchange involving vital record data and systems. Many members of the e-Health Committee have also served on the NCHS Data Standards Technical Team since its inception. Through the end of Year 5, the e-Health Committee provided a forum for reviewing and commenting on various program-based documents of the Office of the National Coordinator (ONC) and the Centers for Medicare and the Centers for Medicare and Medicaid Services (CMS). These included NAPHSIS comments on advance notice documents and approved standards for Phase II Meaningful Use as well as HL7 documentation reviewed by the NCHS Standards Committee:

ONC Advance Notice of Proposed Rule Making (ANPRM) on Metadata Standards to Support Nationwide Electronic Health Information Exchange (9/21/2011)

IHE Notification of Birth (NOB) the Early Hearing Detection and Intervention Program (EHDI) (9/29/2011)

IHE Newborn Admission Notification Interface (NANI) comments on proposed use case (10/7/2011)

Proposed Data Values 2012 IHE Connectathon for vendor interoperability testing scenarios for (11/9/2011)

User Story Narrative: Birth and Fetal Death Registration (11/17/2011)

NAPHSIS also participated in the review of additional comments by the greater public health community on the EHR-Vital Record Functional Profile (VRFP) posted last year to the HL7 documentation suite and is routinely asked to review vital record content of related documents, such as:

HL7 V2.5.1 Vital Records Birth and Fetal Death Reporting Implementation Guide (DSTU) (10/4/2011 12/31/2011)

IHE Birth and Fetal Death Reporting (BFDR) Implementers Work Group (12/2011)

At the 2012 annual meeting in Minneapolis, we sponsored a demonstration of the Interoperability Showcase originally presented by NCHS at the 2012 HIMSS meeting in Las Vegas. This demonstration of potential hospital EHR connectivity with state vital record systems, commonly referred to as the Connectathon, was attended by over 80 NAPHSIS members who were given group tours of the connection stations by representatives from NCHS. This offering was so popular that we plan to repeat the demonstration at the 2013 meeting. Invitations to participate have gone out to our vital record vendor community for the 2013 event.

Activity 12: Support Jurisdictional Response to Draft Birth Certificate Regulations There was no activity on this task because regulatory approval for the Intelligence Reform Act was never received by HHS and OMB. [Note: The Notice of Proposed Rule Making (NPRM) was scheduled by OMB to be published in the Federal Register in September 2012, but that did not occur.]

Activity 13: Support NCHS International Outreach NAPHSIS received permission to continue this activity using funding not expended during Year 4. A separate final report on the international outreach activity, and a separate accounting of funds will be provided to NCHS. Fiscal Year 2013: Activity 1: Assure Compliance with National Standards Status: Ongoing

NAPHSIS continues to support and promote the use of the 2003 standard certificates in all communications and site visits with its jurisdictional and

vendor members. Patricia Potrzebowski promoted the 2003 certificates in all regional meetings in the fall of 2012 and reminded all jurisdictions that they must have implemented the 2003 revisions on or before January 1, 2014 in order to be compliant with Vital Statistics Cooperative Program (VSCP) contract requirements.

Currently, 44 jurisdictions (77%) have implemented the 2003 standard certificate of Birth and 45 (79%) have implemented the 2003 standard certificate of Death. 37 jurisdictions (65%) have implemented the 2003 standard certificate of Fetal Death.

Several jurisdictions are planning to implement 2003 standard certificates by January 1, 2014. Six jurisdictions are known not to have plans to do so for birth, four for death, and ten for fetal death. Reasons for this vary. At the 2012 NAPHSIS-NCHS Joint Annual Meeting, NCHS asked the jurisdictions who were not on the 2003 certificates to submit action plans. NAPHSIS proposes to work with NCHS to review action plans from the jurisdictions and determine what technical assistance would be useful.

Activity 2: Provide Technical Assistance to Jurisdictions

Status: Ongoing

NAPHSIS has promoted technical assistance services in its regional meetings and Executive Director newsletter. With most jurisdictions having already undertaken reengineering, the demand for this type of technical assistance has decreased. In addition, now that the NAPHSIS Security Guidelines have been completed and are available on the NAPHSIS members only website, the interest in onsite security assessments by a NAPHSIS consultant has also diminished.

It is expected that with the increased emphasis on improved timeliness and data quality in the new VSCP contract, the technical assistance services provided to jurisdictions by NAPHSIS in future will focus more on those areas, which is why the identification of a timeliness early warning system discussed in Activity 6 will become more critical going forward. It is also expected that there will be increased need for technical assistance for VIEWS implementation and planning for next generation electronic registration systems.

While available funding for technical assistance has decreased, NAPHSIS proposes to continue to provide quality technical assistance, providing remote support when applicable.

Activity 3: Disseminate Best Practices

Status: Ongoing

In the first six months of the cooperative agreement, NAPHSIS has implemented an online portal that supports the dissemination of best practices and provides real-time collaboration for resolving issues and sharing ideas. The portal allows members, staff and partners to work together on documents; access information in libraries; have discussions that can be captured and indexed; conduct surveys, consolidate data and manage group calendars. The NAPHSIS portal is integrated with the organizations website and now provides access to both members and authorized partners including NCHS staff.

The set of 98 Comprehensive Vital Statistics Standards that were approved by the NAPHSIS membership at the 2012 annual meeting was promoted at all NAPHSIS regional meetings. These standards are also used as a tool in technical assistance visits. Using Cooperative Agreement funds, initial efforts were made to use these standards as a tool in developing a vital records accreditation. NAPHSIS made initial contact with the Public Health Accreditation Board and will continue to work on this effort as PHAB has funding to proceed.

Activity 4: Track Jurisdictional Progress

NAPHSIS continues to maintain data on re-engineering and implementation of 2003 standard certificates. The data is maintained by surveys, structured interviews, and other communications with member jurisdictions. It is updated and provided to NCHS twice a year. A review of past NAPHSIS surveys was conducted. This review was used to identify key pieces of information that can be part of a jurisdictional template.

Activity 5: Provide Training and Skills Enhancement for Jurisdictions

Status: Ongoing

NAPHSIS has implemented an online portal that will support and host online learning tools and other on-demand training services, as well as collect and maintain relevant usage statistics and feedback results. The use by jurisdictions

of the electronic Cause of Death training module was evaluated. NAPHSIS will continue to promote and support wider use of this tool. Work was begun and continues on the project of developing content for an online training curriculum for new registrars. The NAPHSIS-NCHS Joint Annual Meeting and Regional meetings continue to be the premier event for training and personal development of NAPHSIS members. Four online webinars were also held for member training. The subjects of these webinars were New York States Adoption Information Registry, Hawaii's Electronic Registration System for Marriages and Civil Unions, New York City's Cause of Death Data Project, and Florida's EDRS Challenges and Lessons Learned.

Activity 6: Enhance Data Quality and Timeliness

During Year One, NAPHSIS has worked with NCHS to develop a regular means for NAPHSIS to be informed of information on timeliness and quality of data submitted by the jurisdictions. NAPHSIS and NCHS are also working on a protocol for jurisdictions to submit corrective action plans to NAPHSIS for review and advice before jurisdictions send plans to NCHS.

NAPHSIS completed a survey of jurisdictions to identify those that have local registration of birth and/or death records. Results from the survey will be used to bring those jurisdictions together to share current and best practices in an effort to improve timeliness in jurisdictions with local registration.

Development has also begun on programs to improve data quality by providing training to hospital birth clerk specialists and improved feedback on quality of data to reporting hospitals.

NAPHSIS continues to review research proposal requests. As of February 28 of Year One, NAPHSIS had received 80 new NCHS research proposal review requests. Of these, 27 were approved and the rest were under review. NAPHSIS also approved 38 existing proposals that were under review from the period before Year One began.

During the first six months, the early warning system and review of corrective action plans had not yet begun. NAPHSIS had not yet received from NCHS information on states with timeliness or quality issues nor on states needing corrective action plans.

Activity 7: Implement a National Data Exchange Program / STEVE

As of February 28, 31 jurisdictions had installed STEVE, although two of those were having technical issues that prevented full implementation. Sixteen jurisdictions were in the planning stage. The other seven had not yet begun preparation or planning for STEVE. The STEVE map is updated monthly and posted on the NAPHSIS web site.

Reasons for jurisdictions not implementing STEVE include: not using one or more 2003 U.S. standard certificates, not having a suitable electronic registration system, and not having adequate technical support.

Our ongoing main focus in the remainder of Year One and in Year Two will be to assist as many jurisdictions as feasible as they implement STEVE, and to promote expanded use of STEVE for all data exchange with other jurisdictions, NCHS and authorized data exchange partners.

Activity 8: Support EHR/VR Interoperability

NAPHSIS staff and members participated in the Vital Records Functional Profile workgroup in completing its review of the Public Health Functional Profile of the HL7 standards.

Arrangements were made to send Richard McCoy (VT) and Larry Nielsen to the NCHS Interoperability Showcase at the HIMSS conference in March.

In January, NAPHSIS submitted comments to the Stage 3 Meaningful Use proposed rulemaking released for public comment by the Office of the National Coordinator. NAPHSIS also reviewed comments submitted by JPHIT. Fiscal Year 2014: Activity 1: Assure Compliance with National Standards

Continue to promote the use of the U.S. Standard Certificates of Birth and Death and the U.S. Standard Report of Fetal Death in each of the 57 registration jurisdictions

We will continue to help non-revised jurisdictions plan for revising to the 2003 standards for birth, death and fetal death by the January 1, 2014 deadline. This will involve providing technical assistance to help states develop strategies to acquire or develop appropriate information technology in time to meet the deadline, and providing assistance to jurisdictions in implementing these systems.

Prior to the 2012 NAPHSIS Annual Meeting, NCHS requested all jurisdictions

that had not yet adopted the 2003 standard certificates of birth, death, and fetal death to bring with them an action plan to show that they were taking the necessary steps to accomplish this revision prior to the January 1, 2014 deadline. NAPHSIS will work with NCHS staff to identify a priority list of jurisdictions for NAPHSIS to work with. In this way, NAPHSIS will be able to help these jurisdictions meet deadlines for revising their certificates and will assist NCHS in assuring maximum compliance with the VSCP contract requirement that all jurisdictions are using the 2003 revised certificates prior to January 1, 2014.

NAPHSIS will contact those jurisdictions that will not be on the 2003 revised certificates by January 1, 2014, for submission of a Corrective Action Plan. For each plan:

1. NAPHSIS will review the Corrective Action Plan to ensure there is sufficient detail in how and when the state is to become 2003 compliant.
2. The jurisdiction will then submit the plan to NCHS.
3. NAPHSIS and the jurisdiction will work with NCHS in the approval of the plan.
4. NAPHSIS will determine the best strategy to support the jurisdiction and implement that strategy.
5. NAPHSIS will monitor progress on the plan through, at least, quarterly telephone reviews.

Activity 2: Provide Technical Assistance to Jurisdictions

Continue to provide technical assistance to States that are re-engineering, or planning to re-engineer, their birth, death, and fetal death IT systems and actively promote the use of national requirements, templates, edit specifications, and other standards and guidelines for use with these systems;

Continue to enhance the use of effective, standardized, business practices and procedures within the State Vital Statistics offices to assure security and responsiveness of the registration, issuance, amendment, and verification processes; and

Continue to provide technical assistance to State vital record offices to support improved security and responsiveness of vital registration practices and systems.

We propose to provide technical assistance (TA) to jurisdictions to support re-engineering activities, business process improvements and enhanced physical and data security in vital record offices. For Year Two, re-engineering activities will emphasize EDRS for improving timeliness, participation, and coverage, VIEWS implementation, and on-site technical assistance visits to improve timeliness and quality of data. However, the funding available for conducting technical assistance is more limited than in previous years. Therefore, we will provide as much remote preparation and planning for site visits as possible and will support jurisdictions remotely where feasible to minimize travel and consulting costs. Two on-site technical assistance visits will be delivered, if requested by jurisdictions.

We will maintain maps and charts of jurisdictional re-engineering and 2003 revision status and provide them to NCHS as part of scheduled deliverables, on request, and as part of the NAPHSIS website that is available to NCHS, once the website undergoes its upgrade this fall.

Larry Nielsen will oversee re-engineering, business process improvement and physical/data security site visits and the reports of findings and recommendations. Rose Trasatti will be heavily involved in technical assistance for VIEWS and EDRS implementation.

The Security Guidelines and the NAPHSIS Standards will be promoted in all technical assistance visits.

When a TA site visit is scheduled, we will inform the VSI project officer in advance so that NCHS staff can participate in the visit if they wish and provide any input they believe may be helpful prior to the visit.

At the conclusion of each technical assistance site visit, a report of findings and recommendations will be developed. A draft will be reviewed first with the jurisdiction. Once finalized, a copy of the report will be provided to the jurisdiction and to the NCHS Project Officer. A six month follow-up of site visits will be conducted and shared with NCHS to determine the status of implementation of recommendations included in the technical assistance reports.

Activity 3: Disseminate Best Practices

Continue to provide mechanisms and mutual support whereby States can

identify and share best practices on vital statistics operations, statistical analysis and dissemination, IT system model laws, and security and fraud prevention.

For the purpose of disseminating the standards and best practices developed by NAPHSIS in cooperation with NCHS during the previous Cooperative Agreement, NAPHSIS has implemented a robust online portal that supports the dissemination of best practices and provides real-time collaboration for resolving issues and sharing ideas. The portal allows members, staff and partners to work together on documents; access information in libraries; have discussions that can be captured and indexed; conduct surveys, consolidate data and manage group calendars. The NAPHSIS portal is seamlessly integrated with our website and provides access to both members and authorized partners such as NCHS. In Year Two, NAPHSIS will monitor the usefulness of the portal to see where changes and improvements are needed.

During Year Two, we will continue to promote the use of 98 comprehensive vital statistics standards piloted in 12 jurisdictions and approved by the NAPHSIS membership at the 2012 annual meeting for self-assessment purposes. We will promote the use of the checklist tool developed in Year One to aid jurisdictions in conducting their self-assessments by:

1. Promoting the use of the checklist tool, with the goal of getting at least five jurisdictions using it.
2. Providing guidance and technical assistance, as requested, to the 5 jurisdictions using the self-assessment to address deficiencies identified via this process.
3. Promoting the use of the security guidelines, the NAPHSIS standards, and the checklist tool for self-assessment at the fall 2013 regional meetings.
4. Documenting the best registration practices, producing a report for dissemination to the jurisdictions and NCHS, and placing the report on the NAPHSIS web site.
5. Organizing and delivering at least one presentation on self-assessment or accreditation at the 2014 annual meeting.

Activity 4: Track Jurisdictional Progress

Continue to maintain an up-to-date database on the status and intentions of States with respect to re-engineering of their IT systems and the implementation of the 2003 U.S. Standard Certificates for Birth and Death and the Report of Fetal Death.

NAPHSIS will continue to maintain data from surveys and structured interviews on re-engineering, updated at least twice a year. Associated documents will also be kept up to date, including status maps and charts. Maps and charts provided to NCHS shall be no more than six months old.

During Year One, a survey review was conducted. The information gleaned from that review was used to help define the requirements and content for a jurisdiction database. In Year Two, NAPHSIS will build and test the database. The concept of the database will be described to the members at the fall 2013 regional meetings. The database, or at least its design and status, will be one of the session presentations at the 2014 annual meeting.

Activity 5: Provide Training and Skills Enhancement for Jurisdictions

Continue to improve the registration, IT, statistical, data quality, and management skills of State personnel and others involved in the collection, coding, processing, analysis, and dissemination of vital statistics records/data at the State and/or local levels.

Continue to increase the training and education programs/courses/opportunities available to State and territorial vital registration officials on the collection, processing, analysis, dissemination, and sharing of vital statistics records/data (i.e., beyond those programs available through NCHS).

Support the e-learning training project and help to effectively roll out the revised Facility Guidebook.

The NAPHSIS-NCHS Joint Annual Meeting is the premier venue for providing training and skills enhancement for personnel from the states and territories.

The regional meetings are an important supplement to the annual meeting and provide professional development opportunities that may be of key importance to NAPHSIS regions. We plan to continue providing presentations and programs at the annual and regional meetings to update skills and knowledge among our members and other attendees. Will Whitman and Shawna Webster are responsible for coordinating and managing the production and content of

these meetings.

We will continue to support travel for Ron Hyman to co-teach the basic vital statistics course offered by NCHS.

Given the current limited jurisdiction resources and limitations on travel, we recognize the importance of online training and education capabilities in order to reach the widest possible audiences and broadest range of topics. In Year Two, NAPHSIS will review and evaluate utilization of the web-based training for vital records and vital statistics currently available on the NAPHSIS website and determine the best approaches to future training to improve the registration, IT, data quality, statistical, and management skills of jurisdiction personnel working in vital records/statistics offices. We will involve NCHS staff in this effort so that they can provide their advice and assistance. A report on the results of this evaluation will be produced in Year Two.

NAPHSIS will continue to support the use of the Cause of Death training module in the jurisdictions.

By the end of Year 1, NAPHSIS will have developed a fundamental training course that provides the critical information needed by a brand new state registrar for the successful management of a vital record office. The goal of this online training is to have basic information available to a new state registrar immediately upon his or her appointment. While the NCHS training course is offered only one time a year, a new state registrar may be appointed shortly after the course is offered and have to wait for an entire year until they can attend again, or the registrar may be denied out of state travel to attend the NCHS course. The NAPHSIS online training will be available at any time. During Year Two, NAPHSIS will demonstrate the course in its initial version to members and NCHS, and will obtain feedback through selected structured interviews with a sample of jurisdiction representatives with a varied number of years in their present positions. Based on the feedback, NAPHSIS will determine the best approach to meeting training needs for new registrars. The efforts will focus around the set of core competencies, developed in Year One, for State Registrars.

Activity 6: Enhance Data Quality and Timeliness

Continue to enhance the quality and timeliness of the birth, death, and fetal death data for public health surveillance and medical research.

The 2012 Vital Statistics Cooperative Program (VSCP) contract imposes new timeliness and data quality requirements that will be a challenge for some to meet. To be proactive about helping jurisdictions avoid trouble with their contractual obligations, we propose to continue to refine an early warning notification system to identify states that show specific timeliness and data quality markers indicating the potential for problems. This EWS was proposed in Year One. Under this approach, NCHS will be responsible for running routine analyses of data received from the jurisdictions and notifying NAPHSIS of the results at least monthly. In addition, NCHS staff will report relevant qualitative information on underlying reasons for these problems of which they may be aware. Jointly with NCHS, we will regularly monitor timeliness and data quality statistics generated by NCHS and will assist NCHS in developing a continuous quality improvement feedback loop to jurisdictions. Patricia Potrzebowski has the lead in working with NCHS on the early warning notification system. NAPHSIS will also encourage jurisdictions to let us know when they are in trouble so that, when appropriate, technical assistance can be provided to assist in resolving problems before they become too great, resulting in deliverables not being met and the need for action plans. This will also help us strategize the provision of TA site visits in the next year.

Under the terms of the 2012 VSCP contract, jurisdictions not meeting specific contract requirements will be required to develop and submit to NCHS a corrective action plan stating how they plan to remedy identified deficiencies. NCHS has requested that NAPHSIS participate in the review of each plan prior to its submission by the jurisdiction to NCHS. We propose to continue the process, developed with NCHS in the second half of Year One, for states to request a NAPHSIS review of its corrective action plan and for NAPHSIS to provide timely comments to the jurisdictions. Most corrective actions will be directed to improving timeliness. Our involvement in the review of corrective action plans will allow us to provide more targeted and timely technical assistance to jurisdictions challenged to comply with VSCP requirements, as described in Activity 2.

We will continue to explore lessons learned and identify paths to improvement from communications that occurred during Year One with jurisdictions that

have local registration. Together with those jurisdictions, we will continue to explore opportunities for improvement. We will also continue to promote the use of STEVE as a way for jurisdictions to improve the timeliness of reporting to NCHS once full connectivity has been established (see Activity 7).

We will also work with the NAPHSIS/NCHS joint Data Quality Workgroup to promote improvements in vital statistics data that will make these data more useful for public health surveillance and research. We plan in Year Two to support the workgroup as it documents best practices for evaluating and providing feedback to facilities on the quality of birth reporting, document best practices for engaging hospitals in improving data quality and assess the quality of birth data items and recommend items to be dropped from the national birth file as it develops content for e-learning training for hospital staff. Sukhjeet Ahuja will take responsibility for supporting the workgroups efforts as needed. In Year Two, NAPHSIS staff and the Data Quality Workgroup, including NAPHSIS, NCHS, and other partners (e.g. ACOG), will develop content for a tutorial to educate hospital birthing staff on the importance and uses of birth data and how to accurately and completely report the birth certificate medical and health information. The content of the tutorial will be the responsibility of this workgroup, supported by Sukhjeet Ahuja. Sukhjeet will be responsible for supporting the subgroup efforts and products as needed. We will provide to the NCHS Project Officer for review the final draft curriculum for the tutorial when available.

We will also work with NCHS to promote the distribution and use of the revised Facility Guide for Completion of the U.S. Standard Certificate of Live Birth and Report of Fetal Death. The guidebook will be revised upon availability of the official ACOG revised obstetric definitions expected late summer 2013. NAPHSIS will assist with official notification on its web site, newsletter, and notices to each jurisdiction and with presentations at regional meetings.

NAPHSIS will also continue to support the joint NAPHSIS-NCHS review of research proposals requesting access to national mortality, natality, and linked birth/infant death files.

The monthly teleconference for VSCP project directors to discuss contract issues will continue during Year Two. In addition, we will expand use of the online portal for the VSCP project directors and NCHS to facilitate communication and collaboration in real-time. Will Whitman will continue to coordinate this meeting series and its online workspace.

Activity 7: Implement a National Data Exchange Program / STEVE

Continue to facilitate the national implementation of a State-owned and operated electronic interstate exchange system for the matching of birth and death records.

The State and Territorial Exchange of Vital Events (STEVE) is a NAPHSIS-owned software product installed in jurisdictions and connected to NCHS and (future) other authorized data exchange partners. There are currently 35 jurisdictions installed and approximately 16 jurisdictions in active planning and preparation for deployment. STEVE Years One and Two will be the critical period for completing the national roll out of STEVE, which will become the mandatory vehicle for transmitting VSCP data to NCHS on January 1, 2014. Some jurisdictions will not make that date. Some will miss the date because they will not have one or more electronic registration systems and/or will not have one or more 2003 U.S. Standard certificate systems in place in time to implement STEVE and get certified for sending data to NCHS via STEVE. NAPHSIS will work with the jurisdictions to get STEVE running and certified in as many jurisdictions as possible by January 1, 2014 and as many more as possible during the remainder of Year One. This will require a lot of time from the STEVE Implementation Manager. For those jurisdictions unable to meet the 2014 date, NAPHSIS will work with those jurisdictions to develop and submit a corrective action plan, enabling that jurisdiction to continue providing VSCP data through the old mechanism.

Once a jurisdiction has installed STEVE and paid a use fee for the first year of service, NAPHSIS will provide for routine maintenance and ongoing management of the system at no additional cost to the jurisdiction using the subsidy provided in this Cooperative Agreement.

Activity 8: Support EHR/VR Interoperability

Continue to provide support to states and NCHS in researching, developing, testing/piloting and, if shown to be effective, implementing interoperable

systems for the electronic exchange vital events data between electronic medical records and vital records systems.

We will support NCHS standardization activities and participate with individual jurisdictions in NCHS workgroups developing HL7 standards for EHR-VR exchange. We will collaborate with NCHS in planning for the 2014 Interoperability Showcase activities. We plan to send a representative to the NCHS Interoperability Showcase at the Health Information Management Systems Symposium (HIMSS) in early 2014.

In addition, we will participate in and support all activities of the e-Health Workgroup. NAPHSIS will encourage the broadening of knowledge of interoperability among our members and educating the jurisdictions on EHR/VR interoperability. These will be high priority topics for future NAPHSIS webinars, annual meetings, regional meetings, and other educational efforts.

NAPHSIS will continue to support the two EHR special projects funded under the VSCP that will be evaluating the draft health data standards for the exchange of information from an EHR into a vital registration system. We will participate in conference calls with the pilot jurisdictions and NCHS as these projects go forward. We will also assist in identifying the desired outcomes and deliverables for the projects. We will provide program content expertise to both the jurisdiction and NCHS during discussions on implementation of the evaluations. We will also consult and make recommendations on the evaluation criteria that will be used to determine the success of the evaluation projects. We will address pilot participants concerns about accuracy, timeliness, and completeness and will travel to two jurisdictions to observe interoperability connectivity that has been established in an operational setting. We will report progress on the pilots to the NAPHSIS e-Health Workgroup.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None. Technical Information Management Section, CDC Procurement and Grants Office, 2920 Brandywine Road, Atlanta, GA 30341. Telephone: (770) 488-2700.

Headquarters Office:

Julia L. Kowaleski 3311 Toledo Road, Rm. 7318, Hyattsville, Maryland 20782
Email: jkowaleski@cdc.gov Phone: 3014584323 Fax: 301 458-4034

Website Address:

<http://www.cdc.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.067 GLOBAL AIDS

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Sections 307 and 317(k)(2), Public Health Service Act, 42 U.S.C. 242I and 247b(k)(2) as amended; United States Leadership Against HIV/AIDS, Tuberculosis and Malaria Act of 2003, Public Law 110-293, the Tom Lantos and Henry J. Hyde United States Global Leadership Against HIV/AIDS, Tuberculosis, and Malaria Reauthorization Act of 2008, 22 U.S.C 7601.

OBJECTIVES:

The U.S. President's Emergency Plan for AIDS Relief (PEPFAR) is the U.S. Government initiative to help save the lives of those suffering from HIV/AIDS around the world. This historic commitment is the largest by any nation to combat a single disease internationally, and PEPFAR investments also help alleviate suffering from other diseases across the global health spectrum. PEPFAR is the cornerstone and largest component of the U.S. Presidents Global Health Initiative. With a special focus on improving the health of

women, newborns and children, the Global Health Initiatives goal is to save the greatest number of lives by increasing and building upon what works and, then, supporting countries as they work to improve the health of their own people.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Reimbursement of pre-award costs is not allowed. No funds shall be used to distribute sterile needles or syringes for the hypodermic use of any illegal drug. Funds may be spent for reasonable program purposes, including personnel, travel, operating costs including supplies, fuel for transportation, utilities, staff training costs including registration fees and purchase and rental of training related equipment, renovation of clinical or lab facilities; and purchase of HIV testing reagents, test kits and laboratory equipment for HIV testing and services. Equipment may be purchased if deemed necessary to accomplish program objectives; however, prior approval by CDC officials must be requested in writing. All requests for funds contained in the budget shall be stated in U.S. dollars. Once an award is made, CDC will not compensate foreign grantees for currency exchange fluctuations through the issuance of supplemental awards. The costs that are generally allowable in grants to domestic organizations are allowable to foreign institutions and international organizations, with the following exception: With the exception of the American University, Beirut, and the World Health Organization (WHO), Indirect Costs will not be paid (either directly or through sub-award) to organizations located outside the territorial limits of the United States or to international organizations regardless of their location. The applicant may contract with other organizations under this program; however the applicant must perform a substantial portion of the activities (including program management and operations, and delivery of prevention services for which funds are required). You must obtain an annual audit of these CDC funds (program-specific audit) by a U.S. - based audit firm with international branches and current licensure/authority in-country, and in accordance with International Accounting Standards or equivalent standard(s) approved in writing by CDC. A fiscal Recipient Capability Assessment may be required, prior to or post award, in order to review the applicant's business management and fiscal capabilities regarding the handling of U.S. Federal funds.

Applicant Eligibility:

Competition is limited, or single eligibility by authorizing legislation.

Beneficiary Eligibility:

This is only for non-research and research activities supported by CDC/ATSDR. For the definition of research, please see the CDC web site at the following Internet address: <http://www.cdc.gov/od/science/quality/resources>.

Credentials/Documentation:

Your narrative should address activities to be conducted over the entire project period, and must include the following items in the order listed: 1) Organizational Experience; 2) Goals and Objectives; 3) Plan of Action; 4) Management plan, staffing, and infrastructure; and 5) Budget. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Applications for funding opportunities should be submitted via the website www.grants.gov. If you do not have access to the Internet, or if you have difficulty accessing the forms on-line, you may contact the CDC Procurement and Grants Office Technical Information Management Section (PGO-TIM) staff at; Telephone: (770) 488-2700. Application forms can be mailed to you. Submit the original and two hard copies of your application by mail or express delivery service to: Technical Information Management, CDC Procurement and Grants Office, 2920 Brandywine Road, Atlanta, GA 30341. Applications may not be submitted electronically at this time.

Award Procedure:

Successful applicants will receive a Notice of Grant Award (NGA) from the CDC Procurement and Grants Office. The NGA shall be the only binding, authorizing document between the recipient and CDC. The NGA will be signed by an authorized Grants Management Officer, and mailed to the recipient fiscal officer identified in the application.

Deadlines:

Oct 01, 2011 Applications must be received in the CDC Procurement and Grants Office by 5:00 p.m. Eastern Time on the deadline date.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

From 60 to 90 days. Renewals are made by competitive applications and Reviews.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

From one to five years. Throughout the project period, CDC's commitment to continuation of awards will be conditioned on the availability of funds, evidence of satisfactory progress by the recipient (as documented in required reports), and the determination that continued funding is in the best interest of the Federal Government. Method of awarding/releasing assistance: lump sum.

Reports:

No program reports are required. No cash reports are required. As specified in the Founding Opportunity Announcement. No expenditure reports are required. As specified in the Founding Opportunity Announcement.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Foreign recipients are subject to audit requirements specified in 45 CFR 74.26(d). A non-Federal audit is required, if during the recipients fiscal year, the recipient expended a total of \$300,000.00 or more under one or more HHS awards (as a direct recipient and/or as a subrecipient) . The recipient either may have (1) A financial related audit (as defined in the Government Auditing Standards, GPO stock #020-000-00-265-4) of a particular award in accordance with Government Auditing Standards, in those case where the recipient receives awards under only one HHS program; or, if awards are received under multiple HHS programs, a financial related audit of all HHS awards in accordance with Government Auditing Standards; or (2) An audit that meets the requirements contained in OMB Circular A-133. Please forward a copy of the completed audit to the following address: Procurement and Grants Office, Centers for Disease Control and Prevention, Attn: Grants Management Officer, 2920 Brandywine Road, MS-K75, Atlanta, GA 30341. If your audit was completed in accordance with number two (2) above, in addition to the address listed above, you are required to send a copy of the audit report to: Department of Health & Human Services, National External Audit Review Center Lucas Place, Room 514, 323 West 8th Street, Kansas City, MO 64105.

Records:

Financial records, including documents to support entries on accounting records and to substantiate charges to each grant, must be kept readily available for review by personnel authorized to examine PHS grant accounts. Records must be maintained for three years after the end of a budget period. If questions still remain, such as those raised as a result of audit, related records should be retained until the matter is completely resolved.

Account Identification:

75-0943-0-1-550.

Obligations:

(Cooperative Agreements) FY 12 \$1,086,639,707; FY 13 est \$1,090,000,000; and FY 14 est \$1,090,000,000

Range and Average of Financial Assistance:

\$25,000 to \$134,000,000. Average \$2,200,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR Part 74 and Part 92 For more information on the Code of Federal Regulations, see the National Archives and Records Administration at the following Internet address:
<http://www.access.gpo.gov/nara/cfr/cfr-table-search.html>.

Regional or Local Office:

See Regional Agency Offices. Cheryl Maddux, Branch Chief, International Branch, (770)488-2864, 2920 Brandywine Road, Atlanta, GA 30341.

Headquarters Office:

Kay Lawton 1600 Clifton Road, NE, Mailstop E-84, Atlanta, Georgia 30333
Email: kel1@cdc.gov Phone: (404) 498-1613

Website Address:

<http://www.cdc.gov>.

RELATED PROGRAMS:

93.283 Centers for Disease Control and Prevention_Investigations and Technical Assistance

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be reviewed for completeness by the Procurement and Grants Office (PGO) staff, and for responsiveness by the Center for Global Health (CGH). Incomplete applications and applications that are non-responsive to the eligibility criteria will not advance through the review process. Applicants will be notified that their application did not meet submission requirements. An objective review panel will evaluate complete and responsive applications.

93.069 PUBLIC HEALTH EMERGENCY PREPAREDNESS (PHEP)

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act , Section 319C-1, Public Law 112-10; Public Health Services Act, Section 317(d); Public Health Services Act, Section 317(a).

OBJECTIVES:

The PHEP program is a critical source of funding, guidance, and technical assistance for state, territorial, and local public health departments. Preparedness activities funded by the PHEP program are targeted specifically for the development of emergency-ready public health departments that are flexible and adaptable. These efforts support the National Response Framework (NRF), which guides how the nation responds to all types of hazards including infectious disease outbreaks; natural disasters; biological, chemical, and radiological incidents; and explosions.

To help public health departments with their strategic planning, CDC identified 15 public health preparedness capabilities to serve as national public health preparedness standards. State and local jurisdictions can use CDC's Public Health Preparedness Capabilities: National Standards for State and Local Planning to better organize their work and identify the capabilities they have the resources to build or sustain. These standards help ensure that federal preparedness funds are directed to priority areas within individual jurisdictions.

The 2012 PHEP program is currently funded via a joint cooperative agreement award and aligned with the Hospital Preparedness Program (HPP). PHEP program guidance assists the 62 PHEP awardees in demonstrating measurable and sustainable progress toward achieving the 15 public health preparedness

capabilities and other activities that promote safer and more resilient communities.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

The purpose of the Public Health Emergency Preparedness (PHEP) program is to assist state, local, and territorial/freely associated state health departments in demonstrating measurable and sustainable progress toward achieving the 15 public health preparedness capabilities and other activities that promote safer and more resilient communities. Funds can only be used for non-research activities.

Applicant Eligibility:

State health departments of all 50 States, the District of Columbia, the nation's three largest municipalities (New York City, Chicago and Los Angeles County), the Commonwealths of Puerto Rico and the Northern Mariana Islands, the territories of American Samoa, Guam and the U.S. Virgin Islands, the Federated States of Micronesia, and the Republics of Palau and the Marshall Islands.

Beneficiary Eligibility:

All State, County, and Local Health Departments.

Credentials/Documentation:

Applicants should review the individual CDC Guidance documents issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. CDC provides an Internet-based system for submitting applications, including narrative and budget, electronically. This system will also enable applicants to complete most required forms electronically, which can then be signed and uploaded into the system. Applicants are required to use this system in lieu of paper-based applications. Under separate cover, CDC will provide detailed instructions on obtaining a digital certificate to access the CDC web portal <https://sdn.cdc.gov> and use the electronic application system. This program is subject to the provisions of 45 CFR Parts 74 and 92, as appropriate.

Award Procedure:

After review and approval of an application, a notice of award is made in writing and issued by the Grants Management Officer, Procurement and Grants Office, CDC. Also, appropriate notification is made to the public.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Project periods are for 5 years with 12-month budget periods. Applications must be submitted in the same manner as a new application.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: Percent: 10.%. This program has a statutory formula. This program has MOE requirements, see funding agency for further details. Maintenance of Funding (MOF)

PHEP awardees must maintain expenditures for healthcare preparedness and public health security at a level that is not less than the average level of such expenditures maintained by the awardee for the preceding two-year period. This represents an awardee's historical level of contributions or expenditures (money spent) related to federal programmatic activities that have been made prior to the receipt of federal funds. The MOF is used as an indicator of nonfederal support for public health security and healthcare preparedness before the infusion of federal funds. These expenditures are calculated by the awardee without reference to any federal funding that also may have contributed to such programmatic activities in the past. The definition of eligible state expenditures for public health security and healthcare preparedness includes: Appropriations specifically designed to support healthcare or public health emergency preparedness as expended by the entity receiving the award; and Funds not specifically appropriated for healthcare or public health emergency preparedness activities but which support healthcare or public health emergency preparedness activities, such as personnel assigned to healthcare or public health emergency preparedness responsibilities or supplies or equipment purchased for healthcare or public health emergency preparedness from general funds or other lines within the operating budget of the entity receiving the award.

Please see Agency Funding Opportunity Number CDC-RFA-TP12-1201 for further details on the maintenance of funding requirements.

Length and Time Phasing of Assistance:

Awards are made annually and assistance is available for a 12-month budget period. There are five separate budget periods within the 5-year project period. After awards are issued, funds are released in accordance with DHHS payment procedures, which may be through an Electronic Transfer System or a Monthly Cash Request System. Method of awarding/releasing assistance: lump sum.

Reports:

Semiannual and year end progress reports are required. No cash reports are required. Semiannual and year end progress reports are required. Financial status reports are required no later than 90 days after the end of each budget period. Final financial status and performance reports are required 90 days after the end of a project period. Performance monitoring is maintained by oversight of Project Officers.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Grantees are required to maintain grant accounting records for a minimum of three years after the end of a grant period. If any litigation, claim, negotiation, audit or other action involving the record has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. More detailed information regarding retention requirements is provided in 45 CFR Parts 74 and 92.

Account Identification:

75-0956-0-1-550.

Obligations:

(Cooperative Agreements) FY 12 \$619,447,806; FY 13 est \$584,696,784; and FY 14 est \$584,696,784

Range and Average of Financial Assistance:

FY12 Range - \$325,248 to \$42,839,937
FY11 Average - \$9,991,094.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations.

Regional or Local Office:

None.

Headquarters Office:

Van A. King, OPHPR
1600 Clifton Road, MS-D29, Atlanta, Georgia 30329-4018 Email:
vbk5@cdc.gov Phone: (404) 639-5276.

Website Address:

<http://www.cdc.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The applicants must submit their application in accordance with appropriate program guidance. Applications will be reviewed for completeness by the Procurement and Grants Office staff and for technical acceptability by the Office of Public Health Preparedness and Response and CDC subject matter experts. Incomplete applications and applications that are non-responsive to the eligibility criteria will not advance through the review process. Applicants will be notified that their application did not meet submission requirements.

93.070 ENVIRONMENTAL PUBLIC HEALTH AND EMERGENCY RESPONSE**FEDERAL AGENCY:**

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act Sections 301, 307, 311, 317, and 319C (42 U.S.C. Sections 241, 242I, 243, 247b and 247d-3), as amended, Public Law 109-417, Public Law 109-147, U.S.C 241-242I-243.

OBJECTIVES:

To bring public health and epidemiologic principles together to identify, clarify, and reduce the impact of complex environmental threats, including terrorist threats and natural disasters, on populations, domestic and foreign. These programs and activities focus on safeguarding the health of people from environmental threats; providing leadership in the use of environmental health sciences-including environmental epidemiology, environmental sanitation, and laboratory sciences-to protect public health; and responding to issues and sharing solutions to environmental health problems worldwide. To accomplish the above, the CDC: (1) conducts surveillance and investigations that increase the knowledge about the relation between human health and environmental threats; (2) uses this knowledge to develop national public health programs and policies to prevent or control public health problems; (3) plans, prepares, and responds to emergencies, including terrorist threats, technologic accidents, and natural disasters; (4) provides direct service delivery to partners engaged in environmental health services such as food, safety, rodent control, water quality, and sanitation; (5) develops and applies laboratory science to prevent disease and death caused by exposure to environmental chemicals and to improve the diagnosis, treatment, and prevention of selected chronic diseases; (6) conducts Biomonitoring to assess individual human exposure to environmental chemicals by measuring them in human specimens (e.g. blood and urine); and, (7) conducts activities on emerging environmental threats such as climate change and the built environment.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

NONE.

Applicant Eligibility:

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Beneficiary Eligibility:

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Credentials/Documentation:

Applicants should review the individual CDC Funding Opportunity Announcement document issued under this CFDA program for any required proof or certifications that must be submitted prior to, or simultaneous with, submission of an application package. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. To apply for this funding opportunity use application form HHS-5161-1. Application forms and instructions are available on www.grants.gov and/or the CDC web site, at the following Internet address: www.cdc.gov/od/pgo/forminfo.htm. If you do not have access to the Internet, or if you have difficulty accessing the forms on-line, you may contact the CDC Procurement and Grants Office Technical Information Management Section (PGO-TIMS) staff at: (770) 488-2700. Application forms can be mailed to you.

Award Procedure:

Award Procedure: Successful applicants will receive a Notice of Award (NoA) from the CDC Procurement and Grants Office. The NoA shall be the only binding, authorizing document between the recipient and CDC. The NoA will be signed by an authorized Grants Management Officer, and mailed to the recipient fiscal officer identified in the application. Unsuccessful applicants will receive notification of the results of the application review by mail. Appropriate notification is also made to the public.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Project periods are for 1 to 5 years with 12-month renewals. Throughout the project period, CDC's commitment to continuation of awards will be conditioned on the availability of funds, evidence of satisfactory progress by the recipient (as documented in required reports), and the determination that continued funding is in the best interest of the Federal Government. Applications must be obtained from Grants Management Officer, Procurement and Grants Office, Centers for Disease Control and Prevention. Telephone: (770) 488-2800.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. Length and Time Phasing of Assistance

Support is recommended for a specified project period, not to exceed 5 years.

Awards are made annually and assistance is available for a twelve month budget period. There are five budget periods within the 5-year project period.

After awards are issued, funds are released in accordance with DHHS payment procedures, which may be through an Electronic Transfer System or a Monthly Cash Request System.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Length and Time Phasing of Assistance

Support is recommended for a specified project period, not to exceed 5 years.

Awards are made annually and assistance is available for a 12-month budget

period. There are five budget periods within the 5-year project period. After awards are issued, funds are released in accordance with DHHS payment procedures, which may be through an Electronic Transfer System or a Monthly Cash Request System. Method of awarding/releasing assistance: lump sum.

Reports:

Program reports are not applicable. Cash reports are not applicable. Annual progress reports are required. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Grantees are required to maintain grant accounting records for a minimum of 3 years after the end of a grant period. If any litigation, claim, negotiation, audit or other action involving the record has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. More detailed information regarding retention requirements is provided in 45 CFR Parts 74 and 92.

Account Identification:

75-0943-0-1-550.

Obligations:

(Cooperative Agreements) FY 12 \$8,436,714; FY 13 est \$23,356,077; and FY 14 est \$23,356,077

Range and Average of Financial Assistance:

\$10,000 to \$1,500,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations. In addition, grants will be available under the authority of and administered in accordance with the HHS Grants Policy Statement available at: <http://www.hhs.gov/grantsnet/adminis/gpd/index.htm>

Regional or Local Office:

None.

Headquarters Office:

Caroline Gardner 4770 Buford Hwy, NE, MS F61 , Atlanta, Georgia 30341-3717 Email: ctg8@cdc.gov Phone: (770) 488-0572.

Website Address:

<http://www.cdc.gov>

RELATED PROGRAMS:

93.065 Laboratory Leadership, Workforce Training and Management Development, Improving Public Health Laboratory Infrastructure; 93.197 Childhood Lead Poisoning Prevention Projects_State and Local Childhood Lead Poisoning Prevention and Surveillance of Blood Lead Levels in Children

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Proposal selection criteria can be found in the program guidance.

93.071 MEDICARE ENROLLMENT ASSISTANCE PROGRAM

MIPPA

FEDERAL AGENCY:

Administration for Community Living, Department of Health and Human Services

AUTHORIZATION:

XVIII of the Social Security.

OBJECTIVES:

To provide outreach to eligible Medicare beneficiaries regarding the benefits available under title XVIII of the Social Security Act, including the Medicare prescription drug benefit under Part D of title XVIII of the Social Security Act and under the Medicare Savings Program, and to coordinate efforts to inform older Americans about benefits available under Federal and state programs.

TYPES OF ASSISTANCE:

FORMULA GRANTS; PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds may not be used for: (a) construction and/or major rehabilitation of buildings; (b) basic research (e.g., bio-medical experiments); (c) continuation and/or expansion of existing services, and (d) medical care, institutional care or income maintenance. Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments, OMB Circular A-21 for Educational Institutions, and OMB Circular A-122 for Non-Profit Organizations.

Applicant Eligibility:

Formula grants: State governments and U.S. Territories, with distribution to designated area agencies on aging and Indian Tribal Organizations through an approved State plan. Project grants: Grants may be made to any public or nonprofit private agency, organization, or institution.

Beneficiary Eligibility:

Individuals eligible for Medicare benefits, including Part D drug benefits, and older persons eligible for benefits and services provided under Federal and state programs.

Credentials/Documentation:

Formula Grants - AoA will utilize appropriate census and Medicare coverage data to identify statutory target populations. Project Grants -- Nonprofit organizations must submit proof of nonprofit status. Applicable costs and administrative procedures will be determined in accordance with Parts 74 and 92 of Title 45 of the Code of Federal Regulations. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Formula Grants - Grant applications are in accordance with program announcements developed by the Administration for Community Living (ACL) and will be posted on Grants.gov.

Project Grants - The availability of competitive grant funds is announced via program announcements that are simultaneously published on Grants.gov and the ACL.gov website.

Award Procedure:

Formula Grants - The Administration for Community Living awards funds through a statutory formula to State Agencies on Aging. State agencies approve and award funds to Indian Tribal Organizations, Area Agencies on Aging and Aging and Disability Resource Centers. Project Grants - Notification of award will be issued to the grantee.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days from receipt of application.

Appeals:

Not Applicable.

Renewals:

Formula Grants - State plans are submitted for one-year period, with annual revisions. Project Grants - Grant extensions are available and may be requested.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: Percentage Dependent on Statute.

This program has MOE requirements, see funding agency for further details.

Supplemental form must be completed.

Length and Time Phasing of Assistance:

Formula Grants - One year. Project Grants - One to Five Years. Method of awarding/releasing assistance: by letter of credit.

Reports:

Not Applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Financial records must be kept available for 3 years after submission of expenditure reports in accordance with the regulations at 45 CFR Parts 74 and 92.

Account Identification:

75-0142-0-1-506.

Obligations:

(Project Grants) FY 12 \$0; FY 13 est \$0; and FY 14 est \$0

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices. Regional Administrator, Administration for Community Living, Department of Health and Human Services, located at all HHS Federal Regional Offices. (See Appendix IV of the Catalog of Federal Domestic Assistance for addresses.)

Headquarters Office:

Katie Glendening Administration on Aging, DHHS, One Massachusetts Ave., Washington, District of Columbia 20001 Email: Katherine.Glendening@acl.hhs.gov Phone: (202) 357-3589

Website Address:

<http://www.acl.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Awards are made on a non-competitive basis. State Grants are awarded upon approval of a State Plan.

93.072 LIFESPAN RESPITE CARE PROGRAM

Lifespan Respite

FEDERAL AGENCY:

Administration for Community Living, Department of Health and Human Services

AUTHORIZATION:

The Lifespan Respite Care Act of 2006, Public Law 109-442, 42 U.S.C 201.

OBJECTIVES:

To expand and enhance respite care services to family caregivers; improve the statewide dissemination and coordination of respite care; and to provide, supplement, or improve access and quality of respite care services to family caregivers, thereby reducing family caregiver strain. The Act also creates a National Lifespan Respite Resource Center designed to maintain a national database on lifespan respite care; provide training and technical assistance to State, community and nonprofit respite care programs; and provide information, referral, and educational programs to the public on lifespan respite care.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funds shall be used for the development and enhancement of lifespan respite care at the State and local levels; the provision of respite care services for family caregivers caring for children or adults with special needs; training and recruiting of respite care workers and volunteers; the provision of information to caregivers about available respite and support services; and assistance to caregivers in gaining access to such services. Funds may also be used for training programs for family caregivers to assist family caregivers in making informed decisions about respite care services; other services essential to the provision of respite care as may be specified by ACL; or training and education for new caregivers. Funds may also be used to establish a National Resource Center on Lifespan Respite Care. Matching funds are required. Funds may not be used to supplant other Federal, State, or local funds available for respite care services.

Applicant Eligibility:

None.

Beneficiary Eligibility:

None.

Credentials/Documentation:

Each governor desiring the eligible State agency of his or her State to receive a grant or cooperative agreement under this section shall submit an application on behalf of such agency. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Grant applications for federal assistance (Non-construction Programs) are in accordance with program announcements developed by the Administration on Aging (AoA)/Administration for Community Living (ACL) and will be simultaneously published on Grants.gov (www.grants.gov) and on the AoA/ACL website at <http://www.acl.gov/AoARoot/Grants/index.aspx> when funding is available.

Award Procedure:

Notification of Award will be issued to the grantee.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. As specified in each program announcement posted on www.grants.gov and <http://www.acl.gov>.

Appeals:

Not Applicable.

Renewals:

As outlined in the program announcement.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Percent: 25.%. Eligible State agencies must provide a non-Federal cash or in-kind contribution of not less than 25% of the total award.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grants may be made for a period not to exceed 5 years. See the following for information on how assistance is awarded/released: Payment Management System.

Reports:

Program progress reports are required semi-annually for project grants.

Submitted through the Payment Management System. No progress reports are required. 425 Financial Status reports are due annually. Evaluation of performance made through review of reports and oversight by Project Officer.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records must be kept available for 3 years after submission of the final expenditure report.

Account Identification:

75-0142-0-1-506.

Obligations:

(Cooperative Agreements) FY 12 \$2,425,012; FY 13 est \$2,506,000; and FY 14 est \$2,425,012

Range and Average of Financial Assistance:

Lifespan Respite Care Program \$220,456 average.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 92; Lifespan Respite Care Act of 2006 (Public Law 109-442); HHS Grants Policy Statement (<http://www.acl.gov>).

Regional or Local Office:

See Regional Agency Offices. Regional Administrator, Administration on Aging, Department of Health and Human Services, Regional Offices. (See Appendix IV of the Catalog.).

Headquarters Office:

Greg Link Office of Home and Community-Based Services, Administration for Community Living, DHHS One Massachusetts Avenue, NW, Washington, District of Columbia 20201 Email: greg.link@acl.hhs.gov Phone: (202) 357-3545

Website Address:

<http://www.acl.gov>

RELATED PROGRAMS:

93.051 Alzheimer's Disease Demonstration Grants to States; 93.052 National Family Caregiver Support, Title III, Part E

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Competitive. Details for evaluating applications are published as part of the program announcement. Major emphases are: (1) documented need; (2) expected outcomes and benefits; (3) innovative approach; (4) level of effort; (5) involvement of community-based organizations in planning and implementation activities; and (6) projects that benefit disadvantaged groups,

including low-income, minority and limited English speaking populations.

93.073 BIRTH DEFECTS AND DEVELOPMENTAL DISABILITIES - PREVENTION AND SURVEILLANCE

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Executive Order 317(k)(2), Title 42, Part 241(a), Section 301(a), 42 U.S.C 247b(k)(2).

OBJECTIVES:

To work with State health agencies, universities, and public and private nonprofit organizations in planning, implementing coordinating or evaluating programs, research or surveillance activities related to improved birth outcomes, prevention of birth defects, and the improvement of infant and child health and developmental outcomes. To provide a national, State or local focus for the prevention of conditions of persons with birth defects; to employ epidemiological methods to set priorities, build capacity and direct health promotion interventions for persons with birth defects; to expand public health training opportunities through fellowships to introduce geneticists, epidemiologists, and clinicians to public health practice through education, training and career-enhancing experiences. To enhance surveillance and research for birth defects; to prevent congenital heart defects and other major birth defects associated with medication use during pregnancy; to advance neural tube defect prevention; and enhance the quality and usefulness of newborn screening data and programs.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funds may be used to strengthen, to expand, to build upon or to enhance research, surveillance, health promotion, education, prevention/intervention activities that contribute to the mission and activities of the Division of Birth Defects and Developmental Disabilities. Funds may be used to improve and strengthen State and local public health infrastructure by providing technical assistance to other appropriate health agencies, organizations, special groups or coalitions. Funds may be used to support capacity building, program planning, development, implementation, evaluation, and surveillance for birth defects, infant and child health and developmental outcomes, including related health promotion, education and training, prevention/intervention. Funds may also be used to coordinate the dissemination of prevention information to the general public, target populations and among state and local agencies and public and private sector organizations in the United States. Funds may not be used for direct curative or rehabilitative services.

Applicant Eligibility:

N/A.

Beneficiary Eligibility:

State; Consumer; Local; Public nonprofit institution/organization; Federally Recognized Indian Tribal Governments; Individual/Family; Private nonprofit institution/organizations and others.

Credentials/Documentation:

Applicants should document the need for assistance, state the objectives of the project, outline the method of operation, describe the evaluation procedures and provide a budget justification of funds requested. Costs for nonprofit recipients will be determined in accordance with HHS Regulations, 45 CFR Part 74, Subpart Q. OMB Circular No. A-87 applies to this program. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. This program is excluded from coverage under OMB Circular No. A-102. This

program is excluded from coverage under OMB Circular No. A-110. Information on the submission of applications may be obtained from the Grants Management Officer, Grants Management Branch, Procurement and Grants Office, Centers for Disease Control and Prevention, 2920 Brandy wine Road, Room 3000, Atlanta, GA 30341. Telephone: (770) 488-2700.

Funding announcements will be posted on www.grants.gov. Forms and instructions are available in an interactive format on the Grants.gov web site, at the following Internet address: www.grants.gov. Submit the final application using the www.grants.gov website.

Award Procedure:

After review and approval, a Notice of Award from the CDC Procurement and Grants Office (PGO) is prepared and signed by an authorized Grants Management Officer and mailed to the recipient officer identified in the application, along with appropriate notification to the public. The initial award provides funds for first budget period (usually 12 months) and Notice of Award indicates support recommended for the remainder of project period, allocation of Federal funds by budget categories, and special conditions, if any.

Cooperative agreement awards and grants, competitive and single eligibility, are determined by an internal objective committee review process at CDC, including peer reviews for research activities. Awards will be based on evaluation criteria set forth in the respective Funding Opportunity Announcements, the availability of funds, and such other significant factors as deemed necessary and appropriate by CDC.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Project periods are for 1 to 5 years with 12-month budget periods. Applications must be obtained from Grants Management Officer, Grants Management Branch, Procurement and Grants Office, Centers for Disease Control and Prevention. Telephone: (770) 488-2700. Throughout project periods, CDC's commitment to continuation of awards will be conditioned on the availability of funds and evidence of satisfactory progress by recipient as documented in the required reports. If additional support is desired to continue a project beyond the approved project period, an application for competing continuation must be submitted for review in the same manner as a new application. Projects are renewable for periods of 1 to 4 years.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Assistance is available for 12-month budget periods within project periods ranging from 1 to 5 years. After awards are issued, funds are released in accordance with the payment procedure established by the grantee institution with DHHS, which may be an Electronic Transfer System or a Monthly Cost Request System. Method of awarding/releasing assistance: quarterly. Method of awarding/releasing assistance: quarterly.

Reports:

Semiannual or annual progress reports are required. Annual Progress reports and Federal Financial Reports are required no later than 90 days after the end of each budget period. Final federal financial report and performance reports are required 90 days after the end of a project period. The National Center on Birth Defects and Developmental Disabilities may propose on-site meetings, off-site or other processes for the exchange of information on progress toward objectives of collaborative activities. A plan of proposed activities is required annually. The reporting format and required report content details are specified in Funding Opportunity Announcements. Final federal financial report and performance reports are required 90 days after the end of the project period.

Cash reports are not applicable. Semiannual or annual progress reports are required. Annual Progress reports and Federal Financial Reports are required no later than 90 days after the end of each budget period. Final federal financial report and performance reports are required 90 days after the end of a project period. The National Center on Birth Defects and Developmental Disabilities may propose on-site meetings, off-site or other processes for the exchange of information on progress toward objectives of collaborative activities. A plan of proposed activities is required annually. The reporting format and required report content details are specified in Funding Opportunity Announcements. Expenditure reports are not applicable. Performance monitoring is not applicable. Cash reports are not applicable. Semiannual or annual progress reports are required. Annual Progress reports and Federal Financial Reports are required no later than 90 days after the end of each budget period. Final federal financial report and performance reports are required 90 days after the end of a project period. The National Center on Birth Defects and Developmental Disabilities may propose on-site meetings, off-site or other processes for the exchange of information on progress toward objectives of collaborative activities. A plan of proposed activities is required annually. The reporting format and required report content details are specified in Funding Opportunity Announcements. Final federal financial report and performance reports are required 90 days after the end of the project period. Cash reports are not applicable. Semiannual or annual progress reports are required. Annual Progress reports and Federal Financial Reports are required no later than 90 days after the end of each budget period. Final federal financial report and performance reports are required 90 days after the end of a project period. The National Center on Birth Defects and Developmental Disabilities may propose on-site meetings, off-site or other processes for the exchange of information on progress toward objectives of collaborative activities. A plan of proposed activities is required annually. The reporting format and required report content details are specified in Funding Opportunity Announcements. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

There is a 3-year record retention requirement; records shall be retained beyond the 3-year period if final audit has not been done or findings resolved. Property records must be retained in accordance with the HHS Grants Policy Statement requirements.

Account Identification:

75-0958-0-1-550.

Obligations:

(Salaries) FY 12 Not Available; FY 13 est \$61,000,000; and FY 14 est \$61,000,000

Range and Average of Financial Assistance:

FY 14 range is dependent upon funding availability. Award Amounts range from \$5,000 to \$1,500,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: 61000000. Fiscal Year 2013: 61000000. Fiscal Year 2014: No current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations governing this program are published under 42 CFR Part 51b. Guidelines are available in the application kit. The HHS Grants Policy Statement can be found online at: <http://www.hhs.gov/asrt/og/grantinformation/hhsgps107.pdf>

Regional or Local Office:

None.

Headquarters Office:

Nicole Fehrenbach 1600 Clifton Road, NE, Atlanta, Georgia 30333 Email: ekk5@cdc.gov Phone: 40-498-2416

Website Address:

http://www.cdc.gov/ncbddd

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

In general, applications are reviewed on the basis of scientific/technical merit, with attention given to such matters as: (1) The degree to which the applicant satisfies the essential requirements and possesses other desired characteristics, such as depth, breadth, and merit of the overall application relative to the types of projects proposed; (2) clarity of purpose and overall qualifications, adequacy and appropriateness of personnel to accomplish proposed prevention research projects and demonstration projects, and the nation's health priorities and needs; (3) ability to generalize, translate and disseminate to State or local health departments, and other appropriate national regional, and local public health agencies and organizations; (4) reasonableness of the proposed budget in relation to the work proposed.

93.074 HOSPITAL PREPAREDNESS PROGRAM (HPP) AND PUBLIC HEALTH EMERGENCY PREPAREDNESS (PHEP) ALIGNED COOPERATIVE AGREEMENTS

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

HPP: 319C-2 of the Public Health Service (PHS) Act, as amended by the Pandemic and All-Hazards Preparedness Act (PAHPA) (P.L. 109-417) (2006), PHEP: 319C-1 of the Public Health Service (PHS) Act, as amended by the Pandemic and All-Hazards Preparedness Act (PAHPA) (P.L. 109-417) (2006), and 317(a) and 317(d) of the PHS Act, subject to available funding and other requirements and limitations.

OBJECTIVES:

The purpose of the 2012-2017 HPP-PHEP aligned programs cooperative agreement is to provide resources that support state, local, territorial, and tribal public health departments and healthcare systems/organizations in demonstrating measurable and sustainable progress toward achieving public health and healthcare emergency preparedness capabilities that promote prepared and resilient communities. [NOTE: For additional detailed information on the HPP program, please see CFDA 93.889. For additional detailed information on the PHEP program, please see CFDA 93.069].

TYPES OF ASSISTANCE:

Formula Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

The distribution of funds will be to the health departments of all 50 States, the District of Columbia, the nation's three largest municipalities (New York City, Chicago and Los Angeles County), the Commonwealths of Puerto Rico and the Northern Mariana Islands, the territories of American Samoa, Guam and the United States Virgin Islands, the Federated States of Micronesia, and the Republics of Palau and the Marshall Islands. The statute specifies appropriate use of funds.

Applicant Eligibility:

NA.

Beneficiary Eligibility:

NA.

Credentials/Documentation:

Applicants should review the individual Funding Opportunity Announcement documents issued under this CFDA for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. NA

Award Procedure:

Notification is made in writing through a Notice of Grant Award issued from the program's Grants Management Office.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. NA.

Appeals:

NA.

Renewals:

Project periods are for 5 years with 12-month budget periods. Applications must be submitted in the same manner as a new application.

Formula and Matching Requirements:

Statutory Formula: Statutory Formula for PHEP - 319C-1 of the Public Health Service (PHS) Act, as amended by the Pandemic and All-Hazards Preparedness Act (PAHPA) of 2006, Public Law 109-417. For HPP - Federal Register Notice of May 16, 2008 (Vol. 73, No 96) requires cost sharing (non-federal share) of not less than 10% of federal funds awarded. For HPP and PHEP Matching Requirements: Percent: 10.%. These programs have an MOE requirement, see funding agencies for further details.

Matching Requirements: Percent: 10.%. NA.

This program has MOE requirements, see funding agency for further details. This program has MOE requirements. Awardees must maintain expenditures for healthcare preparedness and public health security at a level that is not less than the average level of such expenditures maintained by the awardee for the preceding two-year period.

Length and Time Phasing of Assistance:

Project periods are limited up to 5 years. Budget periods are limited up to 12 months. See the following for information on how assistance is awarded/released: See the following for information on how assistance is awarded/released: Awardee is issued a Notice of Award, a legal document that informs the awardee that a grant award has been made. Awardee may draw down funds in advance of the incursion of an expenditure (up to three days) from the Payment Management System.

Reports:

No program reports are required. Recipients must report cash transaction data via the Payment Management System (PMS) using the cash transaction data elements captured on the Federal Financial Report (FFR), Standard Form (SF) 425. Recipients will utilize the Transactions section of SF425 in lieu of the SF272. The FFR SF425 cash Transaction Report is due 30 days after the end of each calendar quarter. Semiannual and year end progress reports are required. Recipients are required to submit the FFR SF425 Federal Financial Report (FFR) semi-annually within 30 days after the first 6 month period and within 90 days of the budget period end date. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Awardees are required to maintain grant accounting records for a minimum of 3

years after the end of a grant period. If any litigation, claim, negotiation, audit or other action involving the record has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. More detailed information regarding retention requirements are provided in Title 45, CFR Part 92.

Account Identification:

75-0140-0-1-551 - HHP ; 75-0943-0-1-550 - PHEP.

Obligations:

(Formula Grants (Cooperative Agreements)) FY 12 \$351,644,731; FY 13 est \$331,759,862; and FY 14 est \$331,759,862 - Obligation #1 is funding for the Hospital Preparedness Program (HPP) component of the aligned HPP/PHEP cooperative agreement. (Formula Grants (Cooperative Agreements)) FY 12 \$619,447,806; FY 13 est \$584,696,784; and FY 14 est \$584,696,784 - Obligation #2 is funding for the Public Health Emergency Preparedness (PHEP) component of the aligned HPP/PHEP cooperative agreement.

Range and Average of Financial Assistance:

Aligned HPP/PHEP combined funding:
FY12 Range - \$596,749 to \$71,592,392
FY12 Average - \$15,662,783.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations.

Regional or Local Office:

See Regional Agency Offices. NA.

Headquarters Office:

Van A. King CDC OPHPR
1600 Clifton Rd
, Atlanta, Georgia 30333 Email: VBK5@cdc.gov Phone: 404-639-5276

Website Address:

<http://www.cdc.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

This is a formula grant program. The applicants must submit their application in accordance with fiscal year Funding Opportunity Announcement. The applications are reviewed and approved applications are processed immediately. Applications approved with conditions require the applicant to resubmit supporting information within a prescribed time frame. Upon review and approval, the revised application can then be submitted to Grants Management for processing and issuing a notice of grant award.

93.075 SYSTEMS INTEROPERABILITY_HEALTH AND HUMAN SERVICES

Health and Human Services Systems Interoperability and Integration

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Consolidated Appropriations Act, 2010, Public Law 111-111-117, 123 Stat. 3171.

OBJECTIVES:

The Partnership Fund for Program Integrity Innovation at the Office of Management and Budget funds pilot projects for improving the stewardship of federal dollars in assistance programs through the following measures: reducing improper payments; improving administrative efficiency; improving service delivery; and protecting and improving program access for eligible

beneficiaries.

Supported by the Partnership Fund, these pilot projects will explore and plan improved interoperability and integration in eligibility and enrollment, case management, and other related functions across health and human services information technology systems. Grantees can explore systems design and implementation options, determine the costs and benefits of different options, the potential client outcomes and impacts of each option, and develop concrete implementation plans and options for the grantee to consider, as well as produce a road map noting what could or should be considered by other States. In addition, each grant will demonstrate how enhanced interoperability and integrations can improve service delivery, increase program efficiency, and/or reduce errors and enhance program integrity.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

According to Public Law 111-117, assistance can be used for pilot projects that address Federal programs that have a substantial State role in eligibility determination or administration or where Federal-State cooperation could otherwise be beneficial; in aggregate, save at least as much money as they cost; demonstrate the potential to streamline administration or strengthen program integrity; and do not achieve savings primarily by reducing the participation of eligible beneficiaries..

Applicant Eligibility:

State (including the District of Columbia, Guam, Puerto Rico, and the Virgin Islands) human services agencies are eligible to receive assistance.

Beneficiary Eligibility:

State (including the District of Columbia, Guam, Puerto Rico, and the Virgin Islands) human services agencies are eligible to conduct pilot projects to support health and human services systems interoperability.

Credentials/Documentation:

When appropriate, applicants should present written evidence of other agencies willingness to cooperate when the project involves their cooperation. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

Grant applications will undergo a panel review. Approved grant applications will receive official notice through a grant award detailing the amount of funds awarded, the purpose of the award, the terms and conditions of the award, and the budget period for which support is given.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The project and budget period is 12 months. Method of awarding/releasing assistance: lump sum.

Reports:

Periodic reports are required on the progress of the pilot program. Monitoring is conducted by the Federal Project Officer. Cash reports are not applicable. Grantees under this funding opportunity announcement will be required to submit performance progress and financial reports periodically throughout the project period. Grantees under this funding opportunity announcement will be required to submit performance progress and financial reports periodically throughout the project period. Periodic reports are required on the progress of the pilot program. Monitoring is conducted by the Federal Project Officer.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. All fiscal transactions identifiable to Federal financial assistance are subject to audit by the HHS audit agency, and other Federal officials

Records:

Proper accounting records, identifiable by grant and including all receipts and expenditures, must be maintained for 3 years in accordance with 45 CFR 92. Subsequent to an audit, they must be maintained until all questions are resolved, or at the end of the regular 3-year period, whichever is later.

Account Identification:

75-0035-0-1-506.

Obligations:

(Salaries) FY 12 \$0; FY 13 est \$8,000,000; and FY 14 est \$0 - Office of Family Assistance (OFA) received only three grant proposals to their program integrity FOA, also funded by the OMB's Partnership Fund for Program Integrity Innovation. As a result of the limited response to the OFA FOA, OMB determined the best use of those funds would be reallocation to the State Systems Interoperability and Integration Projects grant. This reallocation resulted in the award of three additional grants due to the amount of the funding (\$3,500,000) being made available, which when added to the total of \$4,500,000 in available interoperability grant funds brings the total of all grant funding to \$8,000,000. The grants were awarded to the top seven highest evaluated proposals from the states of Colorado, New York, Oklahoma, Illinois, Indiana, California, and Maryland.

Range and Average of Financial Assistance:

FY 2013, average range was from \$977,047 to \$1,125,000 each.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: N/A.. Fiscal Year 2013: OSCE awarded 7 grants in FY 2013.. Fiscal Year 2014: N/A.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Gregory A. Jordan Office of Child Support Enforcement, Administration for Children and Families, Department of Health and Human Services, 370 L'Enfant Promenade, S.W., 4th floor East, Washington, District of Columbia 20447 Email: gjordan@acf.hhs.gov Phone: 202-401-4975 Fax: 202-205-4582

Website Address:

<http://www.acf.hhs.gov/programs/cse>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

1. Objectives 2. Approach 3. Evaluation/Deliverables 4. Reasonable of project costs.

93.076 TANF PROGRAM INTEGRITY INNOVATION GRANTS**FEDERAL AGENCY:**

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Consolidated Appropriations Act, 2010.

OBJECTIVES:

The Partnership Fund for Program Integrity Innovation at the Office of Management and Budget funds pilot projects for improving the stewardship of federal dollars in assistance programs through the following measures: reducing improper payments; improving administrative efficiency; improving service delivery; and protecting and improving program access for eligible beneficiaries.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

According to Public Law 111-117, assistance can be used for pilot projects that address Federal programs that have a substantial State role in eligibility determination or administration or where Federal-State cooperation could otherwise be beneficial; in aggregate, save at least as much money as they cost; demonstrate the potential to streamline administration or strengthen program integrity; and do not achieve savings primarily by reducing the participation of eligible beneficiaries..

Applicant Eligibility:

State (including the District of Columbia, Guam, Puerto Rico, and the Virgin Islands) human services agencies are eligible to receive assistance.

Beneficiary Eligibility:

State (including the District of Columbia, Guam, Puerto Rico, and the Virgin Islands) human services agencies are eligible to receive assistance to conduct pilot projects to improve the program integrity of their TANF programs, which serve low-income families, and to evaluate those pilot projects.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

Grant applications will undergo a panel review using the criteria given in the Funding Opportunity Announcement. Approved grant applications will receive official notice through a grant award, detailing the amount of funds awarded; the purpose, terms and conditions of the award; and the budget period for which support is given.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The project and budget period is 17 months. Method of awarding/releasing assistance: lump sum.

Reports:

Periodic reports are required on the progress of the pilot program. Monitoring is conducted by the Federal Project Officer. Cash reports are not applicable.

Grantees under this funding opportunity announcement will be required to submit performance progress and financial reports periodically throughout the project period. Grantees under this funding opportunity announcement will be required to submit performance progress and financial reports periodically throughout the project period. Periodic reports are required on the progress of the pilot program. Monitoring is conducted by the Federal Project Officer.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Proper accounting records, identifiable by grant and including all receipts and expenditures, must be maintained for 3 years in accordance with 45 CFR 92. Subsequent to an audit, they must be maintained until all questions are resolved, or at the end of the regular 3-year period, whichever is later.

Account Identification:

75-0035-0-1-506.

Obligations:

(Salaries) FY 12 \$0; FY 13 est \$251,904; and FY 14 est \$0. (Cooperative Agreements (Discretionary Grants)) FY 12 \$0; FY 13 est \$1,568,096; and FY 14 est \$0

Range and Average of Financial Assistance:

The ranges is \$400,000 to \$1,000,000. The grant average is approximately \$784,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: N/A. Fiscal Year 2013: The Office of Family assistance awarded 2 competitive grants in FY 2013. Fiscal Year 2014: N/A.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Deborah List Office of Family Assistance, Administration for Children and Families, Department of Health and Human Services, 370 L'Enfant Promenade, S.W. , Washington, District of Columbia 20447 Email: Deborah.List@acf.hhs.gov Phone: 202-401-5488 Fax: 202-205-5887

Website Address:

<http://www.acf.hhs.gov/programs/ofa>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

1. Project Approach and Work Plan 2. Evaluation 3. Organizational Capacity 4. Budget and 5. Bonus Points for including TANF Program Access activities in addition to TANF Program Integrity activities.

93.077 FAMILY SMOKING PREVENTION AND TOBACCO CONTROL ACT REGULATORY RESEARCH

NIH-FDA Tobacco Control Regulatory Research

FEDERAL AGENCY:

National Institutes of Health, Department of Health and Human Services

AUTHORIZATION:

The Public Health Service Act and the Family Smoking Prevention and Tobacco Control Act, Public Law 111-31, 42 U.S.C 300u-3.

OBJECTIVES:

The Family Smoking Prevention and Tobacco Control Act (TCA) provides the authority to regulate tobacco product manufacturing, distribution and marketing. Regulatory research will provide the scientific evidence to guide the implementation of this authority. Research areas include (1) understanding the diversity of tobacco products, (2) reducing addiction to tobacco products, (3) reducing toxicity and carcinogenicity of tobacco products and smoke, (4) understanding the adverse health consequences of tobacco use, (5) understanding communications about tobacco products, (6) understanding tobacco product marketing, and (7) understanding how economics and policies affect tobacco product use. This regulatory research will help understand tobacco and its ingredients and constituents, tobacco addiction, tobacco marketing and labeling, and childhood tobacco use. The strategic priorities for these research areas can be found at <http://www.fda.gov/downloads/TobaccoProducts/NewsEvents/UCM293998.pdf>

TYPES OF ASSISTANCE:

Cooperative Agreements; Project Grants; Project Grants (Contracts); Training

USES AND USE RESTRICTIONS:

(1) Research project grants provide support for clearly defined projects or a small group of related research activities, and when appropriate, support of research conferences; (2) program project and center grants support large-scale, broad-based programs of research, usually interdisciplinary, consisting of several projects with a common focus; (3) exploratory/development and clinical exploratory/developmental grants encourage the development of new research activities in categorical program areas; (4) cooperative agreements support single or a group of related research activities with significant involvement of federal staff; (5) small grants support newer, less experienced investigators; investigators at institutions without a well developed research tradition and resources; the testing of new methods or techniques; small-scale exploratory and pilot studies, or exploration of an unusual research opportunity; small grants provide research support of up to \$50,000 direct costs per year for a period of up to 2 years; (6) research training grants including fellowships and institutional training grants, career development grants and loan repayment contracts; and (7) SBIR Phase I grants (of approximately 6-months' duration) are to establish the technical merit and feasibility of proposed research or research and development efforts to determine the quality of performance of the small business grantees. Phase II grants are for the continuation of the research initiated in Phase I and that are likely to result in commercially viable products or processes. Only Phase I awardees are eligible to apply for Phase II support; STTR Phase I grants (normally of 1-year duration) are to determine the scientific, technical, and commercial merit and feasibility of the proposed cooperative effort that has potential for commercial application and the quality of performance of the small business concerns. Phase II funding is based on results of research initiated in Phase I and scientific and technical merit and commercial potential of the Phase II application. Research must directly support the Family Smoking Prevention and Tobacco Control Act and the Center for Tobacco Products, Food and Drug Administration Research Priorities found at <http://www.fda.gov/downloads/TobaccoProducts/NewsEvents/UCM293998.pdf>

Applicant Eligibility:

Applicants should review the eligibility information in the individual funding opportunity announcements issued under this CFDA.

Beneficiary Eligibility:

This Tobacco Control Regulatory Research Program will support research aimed at providing guidance and evidence to develop regulation for tobacco

product manufacturing, distribution and marketing. The general public will ultimately benefit.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is generally not applicable, though applicants requesting direct costs of \$500,000 or more for any one year must contact the appropriate NIH Institute or Center no later than 6 weeks before the anticipated submission date. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Most applications must be prepared and submitted electronically. Most applicants use the SF 424 (Research & Related) for electronic submission. Information about the SF 424 form may be found on <http://grants.nih.gov/grants/funding/424/index.htm>, but application packages should be accessed through the appropriate funding opportunity announcement, found either on the NIH Guide to Grants and Contracts (<http://grants1.nih.gov/grants/guide/index.html>) or through the Grants.gov website (<http://www.grants.gov/>). Some institutions may submit the grant data directly (using what is called a system-to-system transfer). For further details, see grants.gov or eRA Commons. Application forms for SBIR and STTR grants may be obtained through the SBIR/STTR funding announcements posted on the NIH Guide to Grants and Contracts and the Grants.gov sites (see URLs listed above). All SBIR and STTR applications must be submitted electronically. The timeline for transition to electronic applications and the new forms may be found at: <http://era.nih.gov/ElectronicReceipt/>. For further assistance contact GrantsInfo, Telephone (301) 435-0714, Email: GrantsInfo@nih.gov. Consultation on a proposed project may be obtained from the Office of Disease Prevention in the Division of Program Coordination, Planning, and Strategic Initiatives at NIH. Applications are reviewed by principally nonfederal consultants recruited nationwide. The amounts of the award and period of support are determined on the basis of merit of the project and the nature of the grant mechanism. Electronic applications are submitted through the Grants.gov website.

Award Procedure:

All applications for research grants, cooperative agreements, training, and SBIR and STTR grants are evaluated for scientific and technical merit by an appropriate scientific peer review panel and by the National Advisory Council of the NIH Institute or Center that will manage the award. All competitive applications compete for available funds on the basis of scientific and technical merit, program relevance, and program balance. FDA Center for Tobacco Control will make final decisions on the relevance and program balance.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

> 180 Days. Review the individual Funding Opportunity Announcement under this CFDA for any application-specific deadlines.

Appeals:

A principal investigator may question the substantive or procedural aspects of the review of his/her application by communicating with the staff of the Institute. A description of the NIH Peer Review Appeal procedures is available on the NIH homepage <http://grants.nih.gov/grants/guide/notice-files/NOT-OD-11-064.html>.

Renewals:

Renewal grants may only be submitted in response to a specific funding opportunity announcement under this CFDA.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Award length may vary depending on the recommendation of the scientific review group, the national advisory council, successful annual performance, and availability of funds, with project periods ranging from 1 to 5 years. Funding commitments are made annually. See the following for information on how assistance is awarded/released: A formal notification in the form of a Notice of Award (NoA) will be provided to the applicant organization.

Reports:

Annual progress and financial status reports for continuing projects and final reports on all projects upon conclusion are required. Cash are applicable and will be submitted on the SF425. Annual progress reports for continuing projects are required to access progress. Interim reports may also be required or requested. Expenditure reports are applicable and will be submitted monthly on the SF425. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Expenditures and other financial records, and also administrative records, must be retained for 3 years from the day on which the grantee submits the last expenditure report for the grant period.

Scientific data must be maintained to comply with Circular A-110 Shelby Amendment.

Account Identification:

75-0846-0-1-552 - NIH OD APPROPRIATION.

Obligations:

(Project Grants) FY 12 \$27,865,594; FY 13 est \$101,755,000; and FY 14 est \$116,000,000 - Funds provided by the FDA CTP through an IDDA to NIH for the support of Family Smoking Prevention and Tobacco Control Act.

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 74; 42 CFR 52; Guidelines are included in Funding Opportunity Announcements. Grants will be available under the authority of and administered in accordance with the NIH Grants Policy Statement and Federal regulations at 42 CFR Part 52 and 42 CFR Part 52a; Administrative requirements at 45 CFR Part 74.

Regional or Local Office:

None.

Headquarters Office:

Helen I. Meissner, ScM, PhD National Institutes of Health
6100 Executive Blvd.
Room 3B01, MSC 7530
, Bethesda, Maryland 20892-7530 Email: TRSP@mail.nih.gov Phone: 301-451-8681 Fax: 301-480-7660

Website Address:

<http://TRSP@mail.nih.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Funding decisions are based on scientific merit (42 CFR 52h) and program relevance. Scientific merit review encompasses: the peer review criteria

detailed in the specific funding announcement, as well as the significance, approach, and innovation of the proposed research; the competency of the principal investigator; the adequacy of the resources and environment; the proposed budget and requested period of support; and, where applicable, the adequacy of the measures for protecting against adverse effects upon humans, animals, or the environment. Relevance to Tobacco Control Regulatory Research program priorities will also be taken into consideration.

93.078 STRENGTHENING EMERGENCY CARE DELIVERY IN THE UNITED STATES HEALTHCARE SYSTEM THROUGH HEALTH INFORMATION AND PROMOTION

Strengthening Emergency Care Delivery in the United States Healthcare System through Health Information and Promotion

FEDERAL AGENCY:

Office of the Secretary, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Section 1703 (a), 42 U.S.C. Section 300u-2.

OBJECTIVES:

To assist in planning or developing emergency care health information and promotion activities, documents or materials that focus on strengthening emergency care and service delivery and the development of regionalized, coordinated and accountable systems of emergency care in the United States that can address both daily demands and those resulting from a public health emergency or disaster.

TYPES OF ASSISTANCE:

Cooperative Agreements; Project Grants

USES AND USE RESTRICTIONS:

Grant funds may only be used for planning health information and promotion activities and materials that are related to strengthening emergency care delivery and the development of regionalized, coordinated and accountable systems of emergency care. Grants funds may not be used for basic research (e.g. scientific or medical experiments.). When appropriated and apportioned, all funds available will be used for discretionary grant awards.

Applicant Eligibility:

Domestic public or private non-profit entities including state and local governments, Indian tribal governments and organizations (American Indian/Alaskan Native/Native American), faith-based organizations, community-based organizations, hospitals, and institutions of higher education.

Beneficiary Eligibility:

Domestic public or private non-profit entities including state and local governments, Indian tribal governments and organizations (American Indian/Alaskan Native/Native American), faith-based organizations, community-based organizations, hospitals, and institutions of higher education.

Credentials/Documentation:

Applicants should review the individual Assistant Secretary for Preparedness and Response (ASPR) funding opportunity announcement (FOA) issued under this CFDA program for any proof of certifications which must be submitted prior to or simultaneous with submission of an application package. OMB Circular A-122 applies to this program. OMB Circular No. A-87 applies to this program. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applicants should review the individual Assistant Secretary for Preparedness and Response (ASPR) funding opportunity announcement (FOA) issued under this CFDA program for any proof of certifications which must be submitted prior to or simultaneous with submission of an application package. OMB Circular A-122 applies to this program. OMB Circular No. A-87 applies to this program.

Award Procedure:

Applications that successfully proceed through initial screening (as outlined in the FOA) will be objectively reviewed based upon the review criteria specified in the FOA. Applications selected for funding will be processed and the applicant notified in writing through the issuance of a Notice of Grant Award from the Office of the Assistant Secretary for Preparedness and Response.

Deadlines:

Aug 30, 2012 Applicable deadlines and due dates are specified in the funding opportunity announcement.

Range of Approval/Disapproval Time:

From 30 to 60 days. From 30 to 120 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements. This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Project periods are from 6 months and up to 5 years. Budget periods are from 6 months and up to 12 months. See the following for information on how assistance is awarded/released: Awardee is issued a Notice of Grant Award, a legal document that informs the awardee that a grant award has been made. See the following for information on how assistance is awarded/released: See the following for information on how assistance is awarded/released: Awardee may draw down funds in advance of the incursion of expenditure (up to three days) from the Payment Management System.

Reports:

Grantees are required to submit program reports, also known as Program Progress Reports. Recipients must report cash transaction data via the Payment Management System (PMS) using the cash transaction data elements captured on the Federal Financial Report (FFR), Standard Form (SF) 425. The FFR SF425 cash transaction report is due 30 days after the end of each calendar quarter. Grantees are required to submit Program Progress Reports at the completion of each milestone as well as a final Program Progress Report. ASPR requires financial reporting through the Federal Financial Report (FFR), Standard Form 425. Reports are due semi-annually within 30 days after the first 6 month period and within 90 days of the budget period end date. Performance monitoring of awardees is completed by ASPR program staff. Specific reporting conditions will be outlined in the terms and conditions of the Notice of Award and may vary. Recipients must report cash transaction data via the Payment Management System (PMS) using the cash transaction data elements captured on the Federal Financial Report (FFR), Standard Form (SF) 425. The FFR SF425 cash transaction report is due 30 days after the end of each calendar quarter. Specific reporting conditions will be outlined in the terms and conditions of the Notice of Award and may vary. Grantees are required to submit program reports, also known as Program Progress Reports. Specific reporting conditions will be outlined in the terms and conditions of the Notice of Award and may vary. ASPR requires financial reporting through the Federal Financial Report (FFR), Standard Form 425. Reports are due semi-annually within 30 days after the first 6 month period and within 90 days of the budget period end date. Performance monitoring of awardees is completed by ASPR program staff. Specific reporting conditions will be outlined in the terms and conditions of the Notice of Award and may vary. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that

year, except as noted in Circular No. A-133.

Records:

Records must be kept available for 3 years after submission of the final expenditure report.

Account Identification:

75-0140-0-1-551.

Obligations:

(Salaries) FY 12 \$50,000; FY 13 est \$0; and FY 14 est \$0

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Kristen Finne Office of Policy and Planning, Division of Health Systems Policy, Office of the Assistant Secretary for Preparedness and Response, Department of Health and Human Services, O'Neill Federal Building, 200 C Street SW, 1st Floor, Cube C1A04, Washington, District of Columbia, 20024, Washington, District of Columbia 20024 Email: kristen.finne@hhs.gov Phone: 202-631-2013

Website Address:

<http://www.phe.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Discretionary awards are subject to objective review. Reviewers will individually evaluate and score applications based upon the review criteria specified in the funding opportunity announcement. Scored applications will be ordinarily ranked. The highest ranked applications will receive priority consideration for award within available funding.

93.079 COOPERATIVE AGREEMENTS TO PROMOTE ADOLESCENT HEALTH THROUGH SCHOOL-BASED HIV/STD PREVENTION AND SCHOOL-BASED SURVEILLANCE

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Title III, Part B, Section 317(b)(k)(2), 42 U.S.C 247(b)(k)(2).

OBJECTIVES:

Funding will build the capacity of state, territorial, and local (STL) agencies and support the efforts of national, non-governmental organizations (NGO) to help school districts and schools develop and implement sustainable program activities to:

- 1) Reduce HIV infection and other STD among adolescents; and
- 2) Reduce disparities in HIV infection and other STD experienced by specific adolescent sub-populations.

It is also expected that applicants activities will reinforce efforts to reduce teen pregnancy rates.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Throughout the 5-year cooperative agreement, awardees will conduct the Youth Risk Behavior Survey (YRBS) and the School Health Profiles (Profiles) and

will aim to improve the sexual health of middle school and high school students within their jurisdiction by delaying the onset of sexual activity; reducing the number of sexual partners; promoting the dual use of condoms and a highly effective contraceptive method among adolescents who are sexually active; increasing STD and HIV testing, counseling, and treatment; and addressing key social determinants of health to ensure we are reaching youth at most disproportionate risk for HIV infection and other STD.

Separate funding pools are available for State and Territorial Education Agencies (SEA/TEA); Local Education Agencies (LEA); and National Non-Governmental Organizations. In addition, state, territorial, and local health agencies may be eligible for School-Based Surveillance funding if the education agency in their jurisdiction does not apply for it.

Funding will be for agencies and organizations to implement four key strategies:

Strategy 1: School-Based Surveillance

Strategy 2: School-Based HIV/STD Prevention

Strategy 3: Capacity Building Assistance for School-Based HIV/STD Prevention

Strategy 4: School-Centered HIV/STD Prevention for Young Men Who Have Sex with Men

Cooperative agreement funds may be used to support personnel, purchase equipment, supplies, services, and travel directly related to program activities and consistent with the scope of this program. Cooperative agreement funds are used to help schools prevent sexual risk behaviors that result in HIV and STD infection, especially among youth who are at highest risk.

CDC believes that the specific scope and content of HIV education programs in schools should be locally determined and should be consistent with parental and community values. CDC supports local decision making by providing sound scientific information to grantees who work directly with schools, communities, and parents. Funds may be expended for written materials, pictorials, audiovisuals, questionnaires, or survey instruments, and educational group sessions related to HIV education youth, school, and college populations if approved in accordance with the document Content of AIDS-Related Written Materials, Pictorials, Audiovisuals, Questionnaires, Survey Instruments, and Educational Sessions in Centers for Disease Control and Prevention (CDC) Assistance Programs.

Cooperative agreement funds are also used to build state education and state health agency partnership and capacity to implement and coordinate school health programs across agencies and within schools. For example, cooperative agreement recipients implement and integrate effective policies, environmental changes, and educational strategies to reduce risky behaviors; leverage resources to avoid duplication at the state and local levels; and evaluate school health capacity-building efforts while implementing effective strategies to reduce priority health risks. Funds may not be used to conduct research projects, provide direct delivery of patient care or treatment services, or purchase or disseminate condoms. Although public health may have an assurance role in clinical testing and screening, funds are not to be used to provide clinical testing or screening services. Funds may not be used for purchasing computer equipment, office equipment, or furnishing, renting, or leasing office space, or to support constructions or renovation unless specifically approved.

Applicant Eligibility:

All 50 state education agencies, plus the District of Columbia, and the territorial education agencies in Puerto Rico, the U.S. Virgin Islands, American Samoa, Northern Mariana Islands, Federated States of Micronesia, Guam, Republic of the Marshall Islands, and Republic of Palau are eligible to apply for Strategies 1 and 2. SEA and TEA are not eligible for Strategy 3.

If a state or territorial education agency declines to apply for Strategy 1, the health agency in the same jurisdiction or the health agency's Bona Fide Agent may apply on their behalf. Either the education agency or the health agency in a state or territory must be awarded for Strategy 1 and include at least four of the seven sexual behavior questions on the 2015 YRBS questionnaire in order

for the education agency in that state or territory to be awarded for Strategy 2. SHA/THA are not eligible for Strategy 2, 3 or 4.

Thirty-one local education agencies are eligible to compete for Strategies 1 and 2, and twelve of the 31 LEA are eligible to compete for Strategy 4. LEA are not eligible for Strategy 3.

If a local education agency declines to apply for Strategy 1 funding, the health agency in the same jurisdiction or the health agency's Bona Fide Agent may apply on its behalf. Either the local education agency or the health agency in the same jurisdiction must be awarded for Strategy 1 and include at least four of the seven sexual behavior questions on the 2015 YRBS questionnaire in order for the education agency in that jurisdiction to be eligible for funding for Strategies 2 and 4. LHA are not eligible for Strategy 2, 3 or 4.

NGO eligibility to compete for Strategy 3 funding is limited to:

Public and private non-profit organizations that serve education organizations;

National non-profit organizations with 501 C (3) IRS status (other than institutions of higher education); and

National non-profit organizations without 501 C (3) IRS status (other than institutions of higher education).

NGOs include those that represent constituencies (e.g., members, networks, affiliates and/or chapters within ten (10) or more states) with the greatest potential to affect national initiatives facilitated by CDC/DASH PS13-1308 awarded STL education agencies. An NGO must have a specific charge from its Articles of Incorporation, bylaws, or a resolution from its executive board or governing body to operate nationally within the United States or its territories. NGOs are not eligible for Strategy 1 and 2.

Beneficiary Eligibility:

Official state education agencies in states and territories in the United States (including the District of Columbia, the Commonwealth of Puerto Rico, American Samoa, Commonwealth of the Northern Mariana Islands, Federated States of Micronesia, Guam, the Republic of the Marshall Islands, the Republic of Palau, and the U.S. Virgin Islands); local education agencies; public and private non-profit organizations that serve education organizations; school-aged youth; and school personnel including, but not limited to, teachers, school nurses, paraprofessionals, and school administrators.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program.

Award Procedure:

Application Deadline Date: April 26, 2013, 11:59 p.m. U.S. EST, on www.grants.gov,

Phase I Review: all eligible applications will be initially reviewed for completeness by the CDC's Procurement and Grants Office (PGO) staff. In addition, eligible applications will be jointly reviewed for responsiveness by the CDC and PGO. Incomplete applications and applications that are non-responsive to the eligibility criteria will not advance to Phase II review. Applicants will be notified that the application did not meet eligibility and/or published submission requirements.

Phase II Review: An objective review panel will evaluate complete and responsive applications according to the criteria listed in the criteria section of the funding opportunity announcement (FOA) for all strategies. This review will consist of a programmatic and budget assessment to ensure that the proposed project is technically and scientifically sound and the awarded entity is capable of performing the project. Applicants will be provided a copy of the

technical, scientific, and budget assessment of their application.

Phase III Review: All applications deemed eligible and technically acceptable by the review panel will be awarded in order of score and rank within their funding tier. In addition, the following factors may affect the funding decision: availability of funds and geographic diversity. Strategy 2 will be awarded after awards have been determined for the LEA awarded in Strategy 1. Strategy 4 will be awarded after awards have been determined for Strategy 2. It is anticipated that a variety of NGOs will be awarded in Strategy 3. CDC will provide justification for any decision to fund out-of-rank order; factors such as geographical distribution and organizational diversity will be taken into consideration when making final funding decisions.

Successful awardees will receive an electronic copy of the Notice of Award (NoA) from PGO. The NoA shall be the only binding, authorizing document between the awardee and CDC. The NoA will be signed by an authorized grants management official (GMO) and emailed to the awardee program director.

Any application awarded in response to the FOA will be subject to the DUNS, SAM Registration and Federal Funding Accountability And Transparency Act Of 2006 (FFATA) requirements.

Unsuccessful applicants will receive notification of the results of the application review by email with delivery receipt or by mail.

Deadlines:

Feb 01, 2013 to Apr 26, 2013

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

From 60 to 90 days. Renewals will be based upon the conditions in the funding opportunity announcement and are subject to the availability of funds under Section 317 of the Public Health Service Act.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Project period can be up to 5 years. Budget periods are for 12 months. Payment under this program is made available through HHS Payment Management System (PMS) Method of awarding/releasing assistance. Method of awarding/releasing assistance: lump sum.

Reports:

No program reports are required. No cash reports are required. The awardee must submit the Annual Performance Report (APR) via www.grants.gov 120 days before the end of the budget period.

Performance Measures (including outcomes) Awardees must report on performance measures for each budget period and update measures, if needed. **Evaluation Results** Awardees must report evaluation results for the work completed to date (including any impact data)

Work Plan Awardees should update work plan each budget period.

Administrative Reporting

SF-424A Budget Information-Non-Construction Programs

Budget Narrative

Indirect Cost Rate Agreement. The Annual Federal Financial Report (FFR) SF 425 is required and must be submitted through eRA Commons within 90 days after the end of each budget period. The FFR should only include those funds authorized and disbursed during the timeframe covered by the report. The final FFR must indicate the exact balance of unobligated funds and may not reflect any unliquidated obligations. There must be no discrepancies between the final FFR expenditure data and the Payment Management Systems (PMS) cash transaction data. Failure to submit the required information in a timely manner may adversely affect the future funding of this project. If the

information cannot be provided by the due date, you are required to submit a letter explaining the reason and date by which the Grants Management Officer will receive the information. CDC programs require awardees to submit performance measures at least annually. CDC may require more frequent reporting of performance measures. Performance measure reporting should be limited to the collection of data. CDC/DASH will require reporting at least semi-annually, due 120 days before the end of the budget period as part of the Annual Performance Report and 90 days after the end of each budget period.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and General Accounting Office (GAO). The grantee is to also ensure that the sub-recipients receiving CDC funds also meet these requirements (if total Federal grant or grants funds received exceed \$500,000). The grantee should include this requirement in all sub-recipient contracts.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the program shall be retained for a minimum of 3 years, or until completion and resolution of any audit in process or pending resolution. In all cases records must be retained until resolution of any audit questions.

Account Identification:

79-0950-0-1-550.

Obligations:

(Cooperative Agreements) FY 12 \$0; FY 13 est \$13,000,000; and FY 14 est \$17,000,000 - Future year funding is subject to the availability of funds.

Range and Average of Financial Assistance:

Awards will range from approximately \$7,000 to \$650,000 (subject to the availability of funds), with an average of approximately \$375,000, depending on the specific components funded.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations governing this program are published under 45 CFR Part 74 and 45 CFR Part 92. Program guidelines are available from the Grants Management Contact.

Regional or Local Office:

None.

Headquarters Office:

John Canfield, CDC,NCHHSTP
4770 Buford Highway, NE, MS-K31, Atlanta, Georgia 30341 Email:
qzc6@cdc.gov Phone: (770) 488-6141.

Website Address:

<http://www.cdc.gov>

RELATED PROGRAMS:

93.938 Cooperative Agreements to Support Comprehensive School Health Programs to Prevent the Spread of HIV and Other Important Health Problems

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be reviewed for completeness by the Procurement and Grants Office (PGO) staff and for responsiveness jointly by the National Center for HIV/AIDS, Viral Hepatitis, STD and TB Prevention and PGO. Incomplete applications and applications that are non-responsive to the eligibility criteria will not advance through the review process. Applicants will be notified if the application did not meet submission requirements. CDC will conduct an objective review to evaluate complete and responsive applications according to

the criteria listed in Part II, Section E. Application Review Information within the individual funding opportunity announcement. Applicants will be notified if their application did not meet program requirements.

93.080 BLOOD DISORDER PROGRAM: PREVENTION, SURVEILLANCE, AND RESEARCH

Division of Blood Disorders - Prevention, Surveillance and Research

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act Sections 301, 301(a), 311, and 317C (42 U.S.C. Sections 241, 241(a), 237b-4, 243, 247-4, and 247b-4, as amended.

OBJECTIVES:

To work with State health agencies and other public and private and nonprofit organizations in planning, development, implementation, coordination, or evaluation of programs or other activities related to improved blood disorders. To provide a national, State or local focus for the prevention of conditions in persons with blood disorders; to employ epidemiological methods to set priorities, build capacity and direct health promotion interventions for persons with blood disorders; to expand public health training opportunities through fellowships to introduce geneticists, epidemiologists, and clinicians to public health practice through education, training and career-enhancing experiences.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funds may be used to strengthen, to expand, to build upon or to enhance surveillance, health promotion, education and training, prevention/intervention activities that contribute to the mission and activities of the Division of Blood Disorders. Funds may be used to improve and strengthen State and local public health infrastructure by providing technical assistance to other appropriate health agencies, organizations, special groups or coalitions. Funds may be used to support capacity building, program planning, development, implementation, evaluation, and surveillance for blood disorders outcomes, including related health promotion, education and training, and prevention/intervention. Funds may also be used to coordinate the dissemination of prevention information to the general public, target populations and among state and local agencies and public and private sector organizations in the United States. Funds may not be used for direct curative or rehabilitative services.

Applicant Eligibility:

N/A.

Beneficiary Eligibility:

N/A.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. OMB Circular No. A-102 applies to this program. OMS Circular No. A-110 applies to this program. Information on the submission of applications may be obtained from the Grants Management Officer, Grants Management Branch, Procurement and Grants Office, Centers for Disease Control and Prevention, 2920 Brandywine Road, Room 3000, Atlanta, GA 30341. Telephone: (770) 488-2700.

Funding announcements will be posted on www.grants.gov. Forms and instructions are available in an interactive format on the Grants.gov web site, at the following Internet address: www.grants.gov. Submit the final application using the www.grants.gov website.

Award Procedure:

After review and approval, a Notice of Award from the CDC Procurement and Grants Office (PGO) is prepared and signed by an authorized Grants Management Officer and mailed to the recipient officer identified in the application, along with appropriate notification to the public. The initial award provides funds for first budget period (usually 12 months) and Notice of Award indicates support recommended for the remainder of project period, allocation of Federal funds by budget categories, and special conditions, if any.

Cooperative agreement awards and grants, competitive and single eligibility, are determined by an internal objective committee review process at CDC, including peer reviews for research activities. Awards will be based on evaluation criteria set forth in the respective Funding Opportunity Announcements, the availability of funds, and such other significant factors as deemed necessary and appropriate by CDC.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. After review and approval, a Notice of Award from the CDC Procurement and Grants Office (PGO) is prepared and signed by an authorized Grants Management Officer and mailed to the recipient officer identified in the application, along with appropriate notification to the public. The initial award provides funds for first budget period (usually 12 months) and Notice of Award indicates support recommended for the remainder of project period, allocation of Federal funds by budget categories, and special conditions, if any.

Cooperative agreement awards and grants, competitive and single eligibility, are determined by an internal objective committee review process at CDC, including peer reviews for research activities. Awards will be based on evaluation criteria set forth in the respective Funding Opportunity Announcements, the availability of funds, and such other significant factors as deemed necessary and appropriate by CDC.

Appeals:

Not Applicable.

Renewals:

From 1 to 5 years with 12 month budget periods.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awards vary from 3-5 years. Method of awarding/releasing assistance: lump sum.

Reports:

Federal Financial Reports. No cash reports are required. 90 days after each budget period. No expenditure reports are required. No performance monitoring is required.

Audits:

No audits are required for this program.

Records:

No Data Available.

Account Identification:

75-0958-1-1-550.

Obligations:

(Cooperative Agreements) FY 12 \$0; FY 13 est \$12,000,000; and FY 14 est \$12,000,000 - No funds were obligated in 2012.

Range and Average of Financial Assistance:

Fy 14 rand is dependent upon funding availability. Award amounts range from \$5,0000 to 4,000,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations governing this program are published under 42 CFR Part 51b. Guidelines are available in the application kit.

Regional or Local Office:

None.

Headquarters Office:

Shelia Jones 1600 Clifton Rd, Atlanta, Georgia 30043 Email: sjones3@cdc.gov
Phone: 3

Website Address:

<http://www.cdc.gov/NCBDDD>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.081 ASPR SCIENCE PREPAREDNESS AND REPOSE GRANTS

FEDERAL AGENCY:

Office of the Secretary, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act section 301 and 1702 of the PHS Act.

OBJECTIVES:

To conduct preparedness and response research that will inform the ongoing response to, and recovery from disasters.

TYPES OF ASSISTANCE:

Cooperative Agreements; Project Grants

USES AND USE RESTRICTIONS:

Research on environmental exposure: such as health risk from mold, toxic exposure, flooding of hospitals. Research on resilience factors Surveys regarding decision making during response and recovery. How can social media be or is being used for response and recovery activities for preparedness and response activities?. Funding under this CFDA can only be used for preparedness and response research that will inform the ongoing response to, and recovery from, disasters.

Applicant Eligibility:

Research on environmental exposure: such as health risk from mold, toxic exposure, flooding of hospitals. Research on resilience factors Surveys regarding decision making during response and recovery. How can social media be or is being used for response and recovery activities for preparedness and response activities?.

Beneficiary Eligibility:

Domestic, foreign and international public or private non-profit entities including state and local governments, Indian tribal governments and organizations (American Indian/Alaskan Native/Native American), faith-based organizations, community-based organizations, hospitals, and institutions of higher education.

Credentials/Documentation:

Applicants should review the individual Assistant Secretary for Preparedness and Response (ASPR) funding opportunity announcement (FOA) issued under this CFDA program for any proof of certifications which must be submitted prior to or simultaneous with submission of an application package. OMB Circular A-122 applies to this program. OMB Circular No. A-87 applies to this program. OMB Circular No. A-87 applies to this program. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110

applies to this program. Applicants should review the individual Assistant Secretary for Preparedness and Response (ASPR) funding opportunity announcement (FOA) issued under this CFDA program for any proof of certifications which must be submitted prior to or simultaneous with submission of an application package. OMB Circular A-122 applies to this program. OMB Circular No. A-87 applies to this program.

Award Procedure:

Applications that successfully proceed through initial screening (as outlined in the FOA) will be objectively reviewed based upon the review criteria specified in the FOA. Applications selected for funding will be processed and the applicant notified in writing through the issuance of a Notice of Grant Award from the Office of the Assistant Secretary for Preparedness and Response.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Applicable Applicable deadlines and due dates are specified in the funding opportunity announcement.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Project periods are from 6 months and up to 5 years. Budget periods are from 6 months and up to 12 months. See the following for information on how assistance is awarded/released: Awardee is issued a Notice of Grant Award, a legal document that informs the awardee that a grant award has been made. See the following for information on how assistance is awarded/released: See the following for information on how assistance is awarded/released: Awardee may draw down funds in advance of the incursion of expenditure (up to three days) from the Payment Management System. Method of awarding/releasing assistance: by letter of credit.

Reports:

Grantees are required to submit program reports, also known as Program Progress Reports. Specific reporting conditions will be outlined in the terms and conditions of the Notice of Award and may vary. Specific reporting conditions will be outlined in the terms and conditions of the Notice of Award and may vary. Grantees are required to submit program reports, also known as Program Progress Reports. Specific reporting conditions will be outlined in the terms and conditions of the Notice of Award and may vary. Recipients must report cash transaction data via the Payment Management System (PMS) using the cash transaction data elements captured on the Federal Financial Report (FFR), Standard Form (SF) 425. The FFR SF425 cash transaction report is due 30 days after the end of each calendar quarter. Specific reporting conditions will be outlined in the terms and conditions of the Notice of Award and may vary. Grantees are required to submit Program Progress Reports at the completion of each milestone as well as a final Program Progress Report. Performance monitoring of awardees is completed by ASPR program staff. Specific reporting conditions will be outlined in the terms and conditions of the Notice of Award and may vary. ASPR requires financial reporting through the Federal Financial Report (FFR), Standard Form 425. Reports are due semi-annually within 30 days after the first 6 month period and within 90 days of the budget period end date. Specific reporting conditions will be outlined in the terms and conditions of the Notice of Award and may vary. Performance monitoring of awardees is completed by ASPR program staff.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a

year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

All records must be kept available for 3 years after submission of the final expenditure report.

Account Identification:

75-0140-0-1-551.

Obligations:

(Salaries) FY 12 \$0; FY 13 est \$25,000; and FY 14 Estimate Not Available

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices. Office of the Assistant Secretary for Preparedness and Response, ASPR, HHS.

Headquarters Office:

Diane DiEuliis HHS Headquarters,
200 C Street, SW, Washington, District of Columbia 20024 Email:
diane.dieuliis@hhs.gov Phone: 202.260.6119

Website Address:

<http://www.phe.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.082 SODIUM REDUCTION IN COMMUNITIES

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

This program is authorized under Section 317(k)(2) of the Public Health Services Act (PHS Act), 42 U.S.C. 247b(k)(2) as amended.

OBJECTIVES:

The purpose of this program is to reduce Americans sodium intake to limits recommended by the Dietary Guidelines. Because the majority of sodium that people consume is already present in foods before purchase or preparation, reducing sodium intake may require increased availability and accessibility of lower sodium items. Efforts to reduce sodium in the food supply are occurring at a national level; however movement is also needed at the community level to affect change. Because community-wide efforts to reduce sodium intake are relatively new, more practice-based evidence would support identification and refinement of effective strategies. Therefore, this program will aim to implement and evaluate population-based strategies to increase access to lower sodium food in communities, and decrease sodium intake.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Project funds may be used for costs associated with planning, organizing, conducting, and supporting sodium reduction strategies at the community and/or state level. Applicants must adhere to all CDC guidelines regarding allowable and unallowable expenses. CDC will have substantial involvement with the award recipient during performance of the funded activity. Applicants

must adhere to all CDC guidelines regarding allowable and unallowable expenses and activities.

Applicant Eligibility:

- a. An official state health department (or its bona fide agent), or its equivalent, as designated by the Governor, is to serve as the lead/fiduciary agency for Small City and Rural Community applications. For this announcement, the term State includes the 50 states and the District of Columbia. The term small city is defined as a local health department that serves a jurisdiction with a population between 50,000 250,000 people. The term rural area is defined as a local health department that serves a jurisdiction with a population of 50,000 people and below.
- b. An official local health department (or its bona fide agent), or its equivalent, as designated by the mayor, county executive, or other equivalent governmental official, will serve as the lead/fiduciary agent for a Large City application. For this announcement, the term large city is defined as a local health department that serves a jurisdiction with a population of more than 500,000 people.
- c. Federally recognized Tribal Governments, Regional Area Indian Health Boards, Urban Indian organizations, and Inter-Tribal Councils will serve as the lead/fiduciary agency for Tribal Community applications.

Beneficiary Eligibility:

Any U.S. state, political subdivision and U.S. territories (as described above), and other public entities will benefit.

Credentials/Documentation:

Applicants should document the need for assistance, state the objectives of the project, outline the method of operation, describe the evaluation procedures, and provide a budget with justification of funds. OMB Circular No. A-87 applies to this program. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

Awardees will receive an electronic copy of the Notice of Award (NoA) from the CDC PGO. The NoA shall be the only binding, authorizing document between the awardee and CDC. The NoA will be signed by an authorized GMO and emailed to the awardee program director. Any application awarded in response to this FOA will be subject to the DUNS, CCR Registration and Federal Funding Accountability And Transparency Act Of 2006 (FFATA) requirements. Unsuccessful applicants will receive notification of the results of the application review by email with delivery receipt.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days.

Appeals:

Not Applicable.

Renewals:

> 180 Days.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Project periods are for 1 to 5 years with 12-month budget periods. Method of awarding/releasing assistance: lump sum. Method of awarding/releasing assistance: lump sum.

Reports:

Annual progress reports are required. No cash reports are required. Annual progress reports are required. Federal Financial Reports are required 90 days after the end of the calendar quarter. Annual Progress reports are required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

There is a 3-year record retention requirement; records shall be retained beyond the 3-year period if final audit has not been done or findings resolved. Property records must be retained in accordance with PHS Grants Policy Statement requirements.

Account Identification:

75-0094-3-1-550.

Obligations:

(Salaries) FY 12 \$0; FY 13 est \$1,900,000; and FY 14 est \$19,000,000 - New program in Fy13. No updates for FY12 and I am unable to deselect "Not Separately Identifiable".

FY13 est 1.9 million

FY14 est 1.9 million.

Range and Average of Financial Assistance:

250,000 for Large City Applicants; \$350,000 for state coordinated applicants (this amount is subject to the availability of funds).

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Kristy Mugavero 4770 Buford Highway, MS F72
, Atlanta, Georgia 30341 Email: KMugavero@cdc.gov Phone: 770-488-2047

Website Address:

<http://www.cdc.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Phase I Review: All eligible applications will be initially reviewed for completeness by the CDCs Procurement and Grants Office (PGO) staff. In addition, eligible applications will be jointly reviewed for responsiveness by the CDC NCCDPHP and PGO. Incomplete applications and applications that are non-responsive to the eligibility criteria will not advance to Phase II review. Applicants will be notified that the application did not meet eligibility and/or published submission requirements.

Phase II Review: An objective review panel will evaluate complete and responsive applications according to the criteria listed in the criteria section of the FOA. Applicants will be notified electronically if the application did not meet eligibility and/or published submission requirements thirty (30) days after the completion of Phase II review .

Applications will be funded in order by score and rank determined by the review panel.

93.083 PREVENTION OF DISEASE, DISABILITY, AND DEATH

THROUGH IMMUNIZATION AND CONTROL OF RESPIRATORY AND RELATED DISEASES

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Title 42, Section 243, 247b(k)(2).

OBJECTIVES:

The purpose of this program is to strengthen capacity to prevent disease, disability, and death through immunization and control of respiratory and related diseases.

The specific objectives may include but are not limited to:

1. Increase the population of children and adults who are free from respiratory infectious disease complications.
2. Strengthen local, state, and national capacity for the early detection, investigation, response and control of respiratory infections in order to accelerate early recognition of respiratory threats and to mitigate the impact of their spread.
3. Control the rise in antimicrobial resistant respiratory infections in the community.
4. Strengthen prevention and control of respiratory infections in institutional settings, such as health care facilities and workplaces.
5. Reduce mortality from pneumonia and other severe respiratory infections
6. Strengthen capacity for early detection, investigation, response, and control of respiratory infectious threats.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

Project funds may be used for costs associated with planning, organizing, conducting, and supporting programs directed towards prevention of disease, disability, and death through immunization and control of respiratory and related diseases, and for the implementation of other program elements as described above in Section 50 and through CDC allowable and unallowable expenses. Recipients may only expend funds for reasonable program purposes, including personnel, travel, supplies, and services, such as contractual. Awardees may not generally use HHS/CDC/ATSDR funding for the purchase of furniture or equipment. Any such proposed spending must be identified in the budget. The direct and primary recipient in a cooperative agreement program must perform a substantial role in carrying out project objectives and not merely serve as a conduit for an award to another party or provider who is ineligible. Reimbursement of pre-award costs is not allowed. Recipients may not use funds for construction or building projects.

Applicant Eligibility:

Higher Education Institutions:

Public/State Controlled Institutions of Higher Education
Private Institutions of Higher Education

The following types of Higher Education Institutions are always encouraged to apply for CDC support as Public or Private Institutions of Higher Education:

Hispanic-serving Institutions
Historically Black Colleges and Universities (HBCUs)
Tribally Controlled Colleges and Universities (TCCUs)
Alaska Native and Native Hawaiian Serving Institutions

Nonprofits Other Than Institutions of Higher Education
Nonprofits (Other than Institutions of Higher Education)

Governments
State Governments
County Governments
City or Township Governments
Special District Governments
Indian/Native American Tribal Governments (Federally Recognized)
Indian/Native American Tribal Governments (Other than Federally Recognized)

Eligible Agencies of the Federal Government
U.S. Territory or Possession

Other

Independent School Districts
Public Housing Authorities/Indian Housing Authorities
Native American tribal organizations (other than Federally recognized tribal governments)
Faith-based or Community-based Organizations
Regional Organizations

Bona Fide Agents: a Bona Fide Agent is an agency/organization identified by the state as eligible to submit an application under the state eligibility in lieu of a state application. If applying as a bona fide agent of a state or local government, a legal, binding agreement from the state or local government as documentation of the status is required. Attach with "Other Attachment Forms" when submitting via www.grants.gov.

Beneficiary Eligibility:

Higher Education Institutions:

Public/State Controlled Institutions of Higher Education
Private Institutions of Higher Education

The following types of Higher Education Institutions are always encouraged to apply for CDC support as Public or Private Institutions of Higher Education:

Hispanic-serving Institutions
Historically Black Colleges and Universities (HBCUs)
Tribally Controlled Colleges and Universities (TCCUs)
Alaska Native and Native Hawaiian Serving Institutions

Nonprofits Other Than Institutions of Higher Education
Nonprofits (Other than Institutions of Higher Education)

Governments
State Governments
County Governments
City or Township Governments
Special District Governments
Indian/Native American Tribal Governments (Federally Recognized)
Indian/Native American Tribal Governments (Other than Federally Recognized)
Eligible Agencies of the Federal Government
U.S. Territory or Possession

Other

Independent School Districts
Public Housing Authorities/Indian Housing Authorities
Native American tribal organizations (other than Federally recognized tribal governments)
Faith-based or Community-based Organizations
Regional Organizations

Bona Fide Agents: a Bona Fide Agent is an agency/organization identified by the state as eligible to submit an application under the state eligibility in lieu of a state application. If applying as a bona fide agent of a state or local government, a legal, binding agreement from the state or local government as documentation of the status is required. Attach with "Other Attachment Forms" when submitting via www.grants.gov.

Credentials/Documentation:

Applicants should document the need for assistance, state the objectives of the project, outline the method of operation, describe the evaluations procedures and provide a budget with justification of funds. Costs will be determined in accordance with 2 CFR 225 (OMB Circular No. A-87 for state and local governments. OMB Circular No. A-87 applies to this program. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O.

12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. This program is excluded from coverage under OMB Circular No. A-102 . OMB Circular No. A-110 applies to this program. Forms and instructions are available in an interactive format on the CDC web site, at the following Internet address: www.cdc.gov/od/pgo/forminfo.htm. To apply for research funding opportunities, use application form PHS 398 (OMB number 0925-0001 rev. 5/2001). Submit the signed original and five copies of your application by mail or express delivery service to: Technical Information Management, CDC Procurement and Grants Office, 2920 Brandywine Road, Atlanta, GA 30341. State and local governments may use Form 5161. An original and two copies must be submitted. This program is subject to the provisions of 45 CFR Parts 74 or 92, as appropriate.

Award Procedure:

After review and approval, a notice of award is prepared and processed, along with appropriate notification to the public, initial award provides funds for first budget period (usually 12 months) and Notice of Award indicates support recommended for the remainder of project period, allocation of Federal funds by budget categories, and special conditions, if any. However, applicants are encouraged to call CDC for programmatic technical assistance prior to the development and submission of their assistance application.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

If additional support is desired to continue a research project beyond the approved project period, an application for competing continuation must be submitted for review in the same manner as a new application. Projects are renewable for periods of 1 to 3 years.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Assistance is available for a 12-month budget period within project periods ranging from 1 to 5 years. After awards are issued, funds are released in accordance with the payment procedure established by the grantee institution with DHHS, which may be an Electronic Transfer System or a Monthly Cost Request System. Method of awarding/releasing assistance: lump sum.

Reports:

No program reports are required. No cash reports are required. Upon completion of the project, final financial status and performance reports are required. Interim reporting requirements may be delineated in the FOA. No expenditure reports are required. Upon completion of the project, final financial status and performance reports are required. Interim reporting requirements may be delineated in the FOA.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit

Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the project must be kept readily available for review by personnel authorized to examine PHS grant accounts. Records must be maintained for a minimum of 3 years after the end of a budget period. If questions still remain, such as those raised as a result of an audit, records must be retained until completion or resolution of any audit in process or pending resolution. Property records must be retained in accordance with PHS Grants Policy Statement requirements.

Account Identification:

75-0943-0-1-550.

Obligations:

(Salaries) FY 12 \$0; FY 13 est \$14,594,616; and FY 14 est \$13,590,000

Range and Average of Financial Assistance:

14000000 - 13500000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Gregory J Anderson 1600 Clifton Road
MS: E-60, Atlanta, Georgia 30333 Email: gca5@cdc.gov Phone: 4047188833

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications are reviewed on the basis of scientific/technical merit, with attention given to such matters as: (1) The degree to which the applicant satisfies the essential requirements and possesses other desired characteristics, such as depth, breadth, and scientific merit of the overall application relative to the types of research and demonstration projects proposed; (2) clarity of purpose and overall qualifications, adequacy and appropriateness of personnel to accomplish proposed prevention research projects and demonstration projects, and the nation's health priorities and needs; (3) ability to generalize, translate and disseminate to State or local health departments, boards of education and other appropriate national regional, and local public health agencies and organizations; (4) reasonableness of the proposed budget in relation to the work proposed.

93.084 PREVENTION OF DISEASE, DISABILITY, AND DEATH BY INFECTIOUS DISEASES

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Title 42, Section 243, 247b(k)(2).

OBJECTIVES:

Strengthen public health fundamentals, including infectious disease surveillance, laboratory detection, and epidemiologic investigation: Modernize

infectious disease surveillance to drive public health action. Expand the role of public health and clinical laboratories in disease control and prevention. Improve capacity for epidemiologic investigations and public health response. Advance workforce development and training to sustain and strengthen public health practice.

Identify and implement high-impact public health interventions to reduce infectious diseases

Identify and validate high-impact tools for disease reduction, including new vaccines; strategies and tools for infection control and treatment; and interventions to reduce disease transmitted by animals or insects. Use proven tools and interventions to reduce high-burden infectious diseases, including vaccine-preventable diseases; healthcare-associated infections; HIV/AIDS; foodborne infections; and chronic viral hepatitis.

Develop and advance policies to prevent, detect, and control infectious diseases: Ensure the availability of sound scientific data to support the development of evidence-based and cost-effective policies. Advance policies to improve prevention, detection, and control of infectious diseases, with specific focus on those that help integrate clinical infectious disease preventive practices into U.S. healthcare. Increase community and individual engagement in disease prevention efforts. Strengthen global capacity to detect and respond to outbreaks with the potential to cross borders. Address microbial drug resistance. Promote One-Health approaches to prevent emergence and spread of zoonotic diseases.

TYPES OF ASSISTANCE:

Project Grants (including individual awards)

USES AND USE RESTRICTIONS:

Project funds may be used for costs associated with planning, organizing, conducting, and supporting programs directed towards prevention of disease, disability, and death by control of infectious diseases, and for the implementation of other program elements as described above in Section 50 and through CDC allowable and unallowable expenses. Recipients may only expend funds for reasonable program purposes, including personnel, travel, supplies, and services, such as contractual. Awardees may not generally use HHS/CDC/ATSDR funding for the purchase of furniture or equipment. Any such proposed spending must be identified in the budget. The direct and primary recipient in a cooperative agreement program must perform a substantial role in carrying out project objectives and not merely serve as a conduit for an award to another party or provider who is ineligible. Reimbursement of pre-award costs is not allowed. Recipients may not use funds for construction or building projects.

Applicant Eligibility:

Higher Education Institutions:

Public/State Controlled Institutions of Higher Education
Private Institutions of Higher Education

The following types of Higher Education Institutions are always encouraged to apply for CDC support as Public or Private Institutions of Higher Education:

Hispanic-serving Institutions
Historically Black Colleges and Universities (HBCUs)
Tribally Controlled Colleges and Universities (TCCUs)
Alaska Native and Native Hawaiian Serving Institutions

Nonprofits Other Than Institutions of Higher Education
Nonprofits (Other than Institutions of Higher Education)

For-Profit Organizations
Small Businesses
For-Profit Organizations (Other than Small Businesses)

Governments
State Governments
County Governments
City or Township Governments
Special District Governments
Indian/Native American Tribal Governments (Federally Recognized)

Indian/Native American Tribal Governments (Other than Federally Recognized)

Eligible Agencies of the Federal Government
U.S. Territory or Possession

Other

Independent School Districts
Public Housing Authorities/Indian Housing Authorities
Native American tribal organizations (other than Federally recognized tribal governments)
Faith-based or Community-based Organizations
Regional Organizations

Bona Fide Agents: a Bona Fide Agent is an agency/organization identified by the state as eligible to submit an application under the state eligibility in lieu of a state application. If applying as a bona fide agent of a state or local government, a legal, binding agreement from the state or local government as documentation of the status is required. Attach with "Other Attachment Forms" when submitting via www.grants.gov.

Beneficiary Eligibility:

Higher Education Institutions:

Public/State Controlled Institutions of Higher Education
Private Institutions of Higher Education

The following types of Higher Education Institutions are always encouraged to apply for CDC support as Public or Private Institutions of Higher Education:

Hispanic-serving Institutions
Historically Black Colleges and Universities (HBCUs)
Tribally Controlled Colleges and Universities (TCCUs)
Alaska Native and Native Hawaiian Serving Institutions

Nonprofits Other Than Institutions of Higher Education
Nonprofits (Other than Institutions of Higher Education)

Governments

State Governments
County Governments
City or Township Governments
Special District Governments
Indian/Native American Tribal Governments (Federally Recognized)
Indian/Native American Tribal Governments (Other than Federally Recognized)
Eligible Agencies of the Federal Government
U.S. Territory or Possession

Other

Independent School Districts
Public Housing Authorities/Indian Housing Authorities
Native American tribal organizations (other than Federally recognized tribal governments)
Faith-based or Community-based Organizations
Regional Organizations

Bona Fide Agents: a Bona Fide Agent is an agency/organization identified by the state as eligible to submit an application under the state eligibility in lieu of a state application. If applying as a bona fide agent of a state or local government, a legal, binding agreement from the state or local government as documentation of the status is required. Attach with "Other Attachment Forms" when submitting via www.grants.gov.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. This program is excluded from coverage under OMB Circular No. A-102 . OMB Circular No. A-110 applies to this program. Forms and instructions are available in an interactive format on the CDC web site, at the following Internet address: www.cdc.gov/od/pgo/forminfo.htm. To apply for research funding opportunities, use application form PHS 398 (OMB number 0925-0001 rev. 5/2001). Submit the signed original and five copies of your application by mail or express delivery service to: Technical Information Management, CDC Procurement and Grants Office, 2920 Brandywine Road, Atlanta, GA 30341. State and local governments may use Form 5161. An original and two copies must be submitted. This program is subject to the provisions of 45 CFR Parts 74 or 92, as appropriate.

Award Procedure:

After review and approval, a notice of award is prepared and processed, along with appropriate notification to the public, initial award provides funds for first budget period (usually 12 months) and Notice of Award indicates support recommended for the remainder of project period, allocation of Federal funds by budget categories, and special conditions, if any. However, applicants are encouraged to call CDC for programmatic technical assistance prior to the development and submission of their assistance application.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

If additional support is desired to continue a research project beyond the approved project period, an application for competing continuation must be submitted for review in the same manner as a new application. Projects are renewable for periods of 1 to 3 years.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Assistance is available for a 12-month budget period within project periods ranging from 1 to 5 years . After awards are issued, funds are released in accordance with the payment procedure established by the grantee institution with DHHS, which may be an Electronic Transfer System or a Monthly Cost Request System. Method of awarding/releasing assistance: lump sum.

Reports:

Financial status and progress reports are required annually. Upon completion of the project, final financial status and performance reports are required. Interim reporting requirements may be delineated in the FOA. Cash reports are not applicable. Progress reports are required annually. Interim reporting requirements may be delineated in the FOA. Cash reports are not applicable. Required annually. Required annually. Required annually.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Financial records, supporting documents, statistical records, and all other

records pertinent to the project must be kept readily available for review by personnel authorized to examine PHS grant accounts. Records must be maintained for a minimum of 3 years after the end of a budget period. If questions still remain, such as those raised as a result of an audit, records must be retained until completion or resolution of any audit in process or pending resolution. Property records must be retained in accordance with PHS Grants Policy Statement requirements.

Account Identification:

75-0943-0-1-550.

Obligations:

(Salaries) FY 12 \$0; FY 13 est \$13,142,622; and FY 14 est \$14,100,000

Range and Average of Financial Assistance:

500000 - 5000000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Gregory J Anderson 1600 Clifton Road
MS: E-60, Atlanta, Georgia 30333 Email: gca5@cdc.gov Phone: 4047188833

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications are reviewed on the basis of scientific/technical merit, with attention given to such matters as: (1) The degree to which the applicant satisfies the essential requirements and possesses other desired characteristics, such as depth, breadth, and scientific merit of the overall application relative to the types of research and demonstration projects proposed; (2) clarity of purpose and overall qualifications, adequacy and appropriateness of personnel to accomplish proposed prevention research projects and demonstration projects, and the nation's health priorities and needs; (3) ability to generalize, translate and disseminate to State or local health departments, boards of education and other appropriate national regional, and local public health agencies and organizations; (4) reasonableness of the proposed budget in relation to the work proposed.

93.085 RESEARCH OF THE RESPONSIBLE CONDUCT OF RESEARCH (RCR)**FEDERAL AGENCY:**

Office of the Secretary, Department of Health and Human Services

AUTHORIZATION:

The Public Health and Welfare Act, 42 CFR 93.

OBJECTIVES:

The purpose of this exploratory/ developmental grant program is to foster research on research integrity in areas that have been inadequately explored. Priorities include: 1) Evaluating the effectiveness of education and training in the responsible conduct of research (RCR); 2) Identifying mechanisms other than traditional RCR education institutions might effectively employ to foster the responsible conduct of research and discourage misconduct; and, 3) Evaluating factors affecting the behavior of researchers.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

In the Request for Applications, applicants are asked to address the societal, organizational, group or individual factors that affect integrity in research, both

positively and negatively. Successful projects will be designed to produce clear evidence of problem areas in community standards, self-regulation, practice norms, and non-adherence to accepted codes of conduct, and/or to solutions to these problems. Funds may not be used for construction or renovation of buildings. This program is subject to the provisions of 45 CFR 74, and 45 CFR 92 as well as OMB Circular No. A-102 (Administrative Guidelines for States and Local Governments), and OMB Circular A-110 (Administrative Guidelines for Institutions of Higher Education, Hospitals, and Other Nonprofit Organizations).

Applicant Eligibility:

Public (including city, county, regional, and State government) organizations and private nonprofit entities.

Beneficiary Eligibility:

General public.

Credentials/Documentation:

Nonprofit organizations must provide evidence of tax-exempt status. When projects involve the collaborative efforts of more than one organization or require the use of services or facilities not under the direct control of the applicant, written assurances of specific support or agreements must be submitted by the affected parties. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applications are submitted in response to specific announcements posted on Grants.gov. All applications must be submitted using the Department of Health and Human Services Standard Form 424. Each announcement provides specific additional instructions regarding the contents of the narrative description of the project, budget justification, and other required information.

Award Procedure:

Application will be screened upon receipt. Those that are deemed to be ineligible will be returned to the applicant. Accepted applications will be reviewed and evaluated by a panel of independent experts who make recommendations for action to the Office of Research Integrity. After considering the recommendation of this panel, the Director of the Office of Research Integrity makes the final decision on the funding of applications. All applicants are notified in writing of actions taken on their applications. A Notice of Award is issued for those applications that are approved and funded.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grants are generally funded on a 12-month basis. Support beyond the first year is contingent upon acceptable evidence of satisfactory progress, continuing program relevance, and availability of funds. Method of awarding/releasing assistance: by letter of credit.

Reports:

Program reports are required. Annual FSR, quarterly and annual programmatic

reports describing progress, delays and next steps. NEW FINANCIAL REPORTING REQUIREMENT Federal Financial Reporting (FFR) SF 425: As of February 1, 2011, the Department of Health and Human Services (DHHS) will begin the transition from use of the SF-269, Financial Status Report (Short Form or Long Form) to the use of the SF-425 Federal Financial Report for expenditure reporting. SF-269s will no longer be accepted for expenditure reports due after that date. If an SF-269 is submitted, the Office of the Assistant Secretary for Health, Office of Grants Management will return it and require the recipient to complete the SF-425. Copies of the SF-425 can be found on the website at:

http://www.whitehouse.gov/sites/default/files/omb/assets/grants_forms/SF-425.pdf. 1. Grantees must submit quarterly Federal Financial Reports due on one of the standard due dates below by which cash reporting is required to be submitted to PMS or at the end of a calendar quarter. The FFR is due 30 days after the end of each calendar quarter. 2. Also, grantees must submit an annual Federal Financial Report due 90 days after the end of the budget period/project period end date. 3. Reports are to be submitted by hard copy or electronically through Grant Solutions to the assigned Grants Management Specialist in the Office of Grants Management. Please reference the CONTACTS section of the Terms and Conditions for the contact information for these individuals. Quarterly/Annual Reporting Deadlines Budget Period Due Date: 1st Quarter FFR Due 30-Jan; 2nd Quarter FFR Due 30-Apr; 3rd Quarter FFR Due 30-Jul; 4th Quarter FFR Due 30-Oct; Annual FFR Due 30-Jan. Quarterly and annual reports describing progress, delays and next steps. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

HHS and the Comptroller General of the United States or any of their authorized representatives, shall have the right of access to any books, documents, papers, or other records of a grantee, subgrantee, contractor, or subcontractor, which are pertinent to the HHS grant, in order to make audits, examinations, excerpts and transcripts. In accordance with 45 CFR, Part 74.53 and 45 CFR, Part 92, grantees are required to maintain grant accounting records 3 years after the end of a project period. If any litigation, claim, negotiation, audit or other action involving the records has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0120-0-1-551.

Obligations:

(Project Grants (Discretionary)) FY 12 Not Separately Identifiable(Exp: 2013 is first funded year); FY 13 est \$1,300,000; and FY 14 Estimate Not Available - Obligations are for discretionary grants to explore research misconduct.

Range and Average of Financial Assistance:

3 - 4 Grant Awards at \$200,000 - \$300,000 per year for a 2 year project period.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices. David Wright, Ph.D., Director
Office of Research Integrity
Office of the Assistant Secretary
Department of Health and Human Services
240-453-8200.

Headquarters Office:

Brenda C. Donaldson Tower Building
1101 Wootton Parkway, Suite 550, Rockville, Maryland 20852 Email:
Brenda.Donaldson@hhs.gov Phone: 240-453-8442

Website Address:

http://AskOri@hhs.gov

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Eligible applications will be assessed according to the following criteria:
Reviewers will consider each of the review criteria below in the determination of scientific merit, and give a separate score for each. 1) Significance of the proposed project; 2) Investigator(s) capacity for doing the project; 3) Innovation; 4) Approach; 5) Final product proposed at end of project; and, 6) Budget.

93.086 HEALTHY MARRIAGE PROMOTION AND RESPONSIBLE FATHERHOOD GRANTS

(HMRP)

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Social Security Act, Title IV, Part A, Section 403; The Claims Resolution Act of 2010 (CRA), Public Law 111-291.

OBJECTIVES:

Included three objectives: (1) To fund Healthy Marriage Promotion activities that will help couples, who have chosen marriage for themselves, gain greater access to marriage education services on a voluntary basis. These services will help couples acquire the skills and knowledge necessary to form and sustain a healthy marriage; (2) To fund Responsible Fatherhood promotion activities specifically designed to promote responsible fatherhood to reverse the rise in father absence and its subsequent impact on our nation's children. ACF will award these funds on a competitive basis to States, territories, Indian tribes and tribal organizations, and public and nonprofit community entities, including faith-based organizations; and (3) Award competitive based demonstration projects designed to test the effectiveness of tribal governments or tribal consortia in coordinating the provision to tribal families at risk of child abuse or neglect of child welfare services and services under tribal programs funded under this part.

TYPES OF ASSISTANCE:

DISSEMINATION OF TECHNICAL INFORMATION; Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

The Secretary may not award funds on a noncompetitive basis, and may not provide any such funds to an entity for the purpose of carrying out healthy marriage promotion activities or for the purpose of carrying out activities promoting responsible fatherhood unless the entity has submitted to the Secretary an application which describes how the programs or activities proposed in the application will address, as appropriate, issues of domestic violence; and what the applicant will do, to the extent relevant, to ensure that participation in the programs or activities is voluntary, and to inform potential participants that their participation is voluntary; and contains a commitment by the entity to not use the funds for any other purpose; and to consult with experts in domestic violence or relevant community domestic violence coalitions in developing the programs and activities.

Applicant Eligibility:

The Healthy Marriage and Responsible Fatherhood grants will be competitively awarded for a one (1) year budget period and three-year (3) project period. The awards will be made final no later than September 2011 and will run for three consecutive years up through September 2014. There are no subsequent competitive awards for this program. For years two and three, awards will be made via non-competitive continuation application. Eligible organizations for

Healthy Marriage Grants in general are public and private entities. This includes, but is not limited to: States; County governments; City or township governments; Special district governments; State controlled institutions of higher education; Native American tribes and tribal organizations; Nonprofit organizations; Private organizations of higher education; For-profit organizations; and faith-based and community organizations. Application Eligibility for Responsible Fatherhood Grants include: States; territories; Indian tribes and tribal organizations; and public and non-profit community entities, including Faith-based organizations. Application Eligibility for Tribal TANF Child Welfare TA Grants includes Native American Tribal governments.

Beneficiary Eligibility:

Families, couples, individuals in need of assistance with Healthy Marriage services, including marriage enhancement and relationship education and Responsible Fatherhood activities, including parenting education, economic stability services. In general these funds will assist in the efforts to enhance and expand the ability of States, Native American governments, local governments, for-profit organizations, non-profit community organizations and other public entities to provide family formation and responsible fatherhood services to those in need.

Credentials/Documentation:

Any nonprofit agency is required to provide proof of its nonprofit status through one of the following: (a) A reference to the applicant organization's listing in the Internal revenue Service's (IRS) most recent list of tax-exempt organizations described in the IRS code. (b) A copy of a currently valid IRS tax exemption certificate (c) A statement from a State taxing body, State Attorney General, or other appropriate State official certifying that the applicant organization has a nonprofit status and that none of the net earnings accrue to any private shareholders or individuals. (d) A certified copy of the organization's certificate of incorporation or similar document that clearly establishes nonprofit status. (e) Any of the items in the subparagraphs immediately above for a State or national parent organization and a statement signed by the parent organization that the applicant organization if it's local nonprofit affiliate. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Applicants needed to complete all the standard forms required for making application for awards under this announcement. Applicants that requested financial assistance submitted Standard Forms 424, 424A, 424B and the associated certifications and assurances. Specific instructions were published in the official program announcement.

Award Procedure:

Applications received by the due date will be reviewed and scored competitively. Peer reviewers, generally persons from outside the Federal government, will use the evaluation criteria listed in Section V of the Funding Opportunity Announcement to review and score the applications. The results of the review will be a primary factor in making funding decisions. ACF may also solicit comments from other Federal agencies. ACF may consider a variety of factors in addition to the review criteria identified above, including geographic diversity/coverage and types of applicant organizations, in order to ensure that the interests of the Federal Government are met in making the final selections. The successful applicants will be notified through issuance of a Financial Assistance Award document which sets forth the amount of funds granted, the terms and conditions of the grant, the budget period for which initial support will be given, and the total project period for which support is contemplated. In accordance with the authorizing legislation, preference will be given to those applicants that were awarded any prior Responsible Fatherhood, Healthy

Marriage, or Tribal Child Welfare funds between 2005 and 2010 and that have demonstrated the ability to have successfully carried out their program.

Deadlines:

Jun 28, 2011 to Jul 28, 2011: HHS-2011-ACF-OFA-FK-0194. The Healthy Marriage and Responsible Fatherhood grant deadlines have closed. All awards for these programs were made in fiscal year 2010. The grants were one time for a three-year (3) project period through fiscal year 2013. No other applications are being accepted and no future funding is anticipated.

Range of Approval/Disapproval Time:

From 60 to 90 days. Range of Approval/Disapproval Time: All grants will be approved/disapproved by no later than September 2011.

Appeals:

Not Applicable.

Renewals:

Awards will be made for a 3-year project period. Funding for years 2 through 3 are awarded on a non-competitive continuation application basis, and will depend upon satisfactory performance, availability of funds, and the best interest of the government.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The grants will be awarded for project periods of up to three years. Awards, however, will be made on a competitive basis, for a one-year budget period. Applications for continuation grants funded under these awards beyond the one-year budget period but within the three-year project period will be entertained in subsequent years on a noncompetitive basis, subject to availability of funds, satisfactory progress of the grantee, and a determination that continued funding would be in the best interest of the government. Method of awarding/releasing assistance: lump sum.

Reports:

Semi -Annual progress reports. No cash reports are required. Semi -Annual progress reports. SF-269 and SF-272. Semi -Annual progress reports, site visits and annual meetings.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audits are conducted in accordance with the requirements in 45 CFR 74 and 92.

Records:

All financial records are to be maintained for 3 years after termination of the project or until audit is completed, whichever occurs first.

Account Identification:

75-1552-1-0-506.

Obligations:

(Project Grants (Discretionary)) FY 12 \$149,907,249; FY 13 est \$148,679,010; and FY 14 Estimate Not Available(Exp: The current authorizing legislat) - The authorizing legislation appropriates \$150 million a year for this program. Last year projections were broken out for Tribal TANF/Child Welfare, and Healthy Marriage and Responsible Fatherhood obligations. The combined actual obligation for FY 12 is shown above. Projections shown for FY 2013 accounts for sequestration. No estimate is available for FY 2014 because authorizing legislation expires September 2013.

Range and Average of Financial Assistance:

Healthy Marriage Grants range from \$300,000 to \$2,500,000 depending upon which Funding Opportunity Announcement applicant is applying for and on the scope of the project. Average award: \$450,000 -1,250,000. Responsible Fatherhood Grants will range from \$300,000 to \$2,500,000 depending upon the

Funding Opportunity Announcement applicant is applying to and on the scope of the project. Average award: \$450,000 - \$1,250,000. Tribal TANF Child Welfare Grants will range from \$125,000 to \$250,000. Average Award: \$200,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: 121 HMRF grants and 14 TTCW grants were awarded in FY 12. Fiscal Year 2013: It is anticipated that 135 grants will be awarded. Fiscal Year 2014: TBD.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Robin McDonald, Office of Family Assistance (OFA), 370 L'Enfant Promenade, S.W., 5th Floor East, Washington, District of Columbia 20447
Email: Robin.McDonald@acf.hhs.gov Phone: (202) 401-5587.

Website Address:

<http://www.acf.hhs.gov/healthymarriage> http://fatherhood.gov/

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.087 ENHANCE SAFETY OF CHILDREN AFFECTED BY SUBSTANCE ABUSE

Targeted Grants to Increase the Well-Being of, to Improve the Permanency Outcomes for, and Enhance the Safety of Children Affected by Parental Substance Abuse

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Social Security Act, as amended, Title IV, Part B, Section 437(f), 42 U.S.C 629g.

OBJECTIVES:

To provide, through interagency collaboration and integration of programs activities and services that are designed to increase the well-being of, improve permanency outcomes for, and enhance the safety of children who are in an out-of-home placement or are at risk of being placed in an out-of-home placement as a result of a parent's or caretaker's substance abuse.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grants are provided for services or activities that are consistent with the purpose of section 437(f) of Title IV-B of the Social Security Act and may include the following: (1) family-based comprehensive long-term substance abuse treatment services; (2) early intervention and preventative services; (3) children and family counseling; (4) mental health services; (5) parenting skills training; (6) replication of successful models for providing family-based comprehensive long-term substance abuse treatment services. Other suggested activities and services are listed in the program announcement. 100% of funds are set-aside for discretionary activities.

Applicant Eligibility:

State governments, County governments, Local Governments, City or township governments, Regional Organizations, U.S. Territory or Possession, Independent school districts, Public and State-controlled institutions of higher education, Indian/Native American Tribal governments (Federally recognized), Indian/Native American Tribal organizations (other than Federally recognized),

Indian/Native American Tribally Designated Organizations, Public/Indian Housing Authorities, Non-profits with 501(c)(3) IRS status (other than institutions of higher education), Non-profits without 501(c)(3) IRS status (other than institutions of higher education), Private institutions of higher education, For-profit organizations (other than small businesses), Small businesses, Hispanic-Serving Institutions, Historically Black Colleges and Universities (HBCUs), Tribally Controlled Colleges and Universities (TCCUs), Alaska Native and Native Hawaiian Serving Institutions, and Special district governments. Foreign entities are not eligible under this announcement. Applications must represent regional partnerships formed by a collaborative agreement. Applications must identify a primary applicant responsible for administering the grant. The primary applicant must be one of the regional partnership organizations listed above. The primary applicant cannot be an individual. The regional partnership must include either the State child welfare agency that is responsible for the administration of the State plan under title IV-B or title IV-E of the Social Security Act or an Indian tribe or tribal consortium but the regional partnership may not consist of only State entities.

Beneficiary Eligibility:

Agencies or organizations serving children and families who have experienced or are at risk of experiencing an out of home placement as a result of a parent's or caregivers substance abuse.

Credentials/Documentation:

Non-profit agencies must submit proof of nonprofit status. Applicable costs and administrative procedures will be determined in accordance with Parts 74 and 92 of Title 45 of the Code of Federal Regulations. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Standard application forms as furnished by DHHS must be used for this program. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Announcement of availability of funds is posted to grants.gov. Eligible applicants submit applications by specified deadlines.

Award Procedure:

Grant applications are reviewed by a panel of non-federal professionals with expertise in the fields of child welfare and substance abuse treatment. Final decisions are made by the Commissioner, Administration for Children, Youth and Families (ACYF).

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

This program was announced and grants awarded in FY 2007 for 3 and 5 years. In Round 2, 17 5-year grants were awarded on 09/30/2012.

Eight grantees from Round 1 received 2-year extension grants, awarded on 09/30/2012.

Appeals:

Appeals are processed in accordance with HHS regulations in 45 CFR Part 16.

Renewals:

Projects are awarded with a provision for non-competitive renewal during the selected award period (3 or 5 years).

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching Requirements: The required match must increase over the course of the grant period, such that 5-year grantees must contribute 15 percent of the costs of grant-funded services and activities in FY 2012 and FY 2013, 20 percent of such costs in FY 2014 and FY 2015, and 25 percent of such costs in FY 2016.

The 2-year extension grants match is 30% in 2012 and 35% in 2013. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants were available for a 24 - or 60-month period. Renewal funding for multiple-year grants is dependent upon grantee performance and availability of funds. Method of awarding/releasing assistance: by letter of credit.

Reports:

Grantees must select and track performance indicators that measure the extent to which grant-funded activities and services enhance the safety, permanency, and well-being of children and their families. PSC 272. Program progress reports are required semiannually with a final report at completion of the project. Financial expenditure reports are required semiannually with a final report at completion of the project. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audits are conducted in accordance with requirements in 45 CFR 74 and 92.

Records:

All financial records are to be maintained for 3 years after termination of the project or until audit is completed, whichever comes first.

Account Identification:

75-1512-0-1-506.

Obligations:

(Project Grants (Discretionary)) FY 12 \$15,757,851; FY 13 est \$15,016,152; and FY 14 est \$15,030,701 - For Round 2 there is \$20,000,000 authorized for grants, contracts and 5% administrative setaside.

Range and Average of Financial Assistance:

For FY 2013, the range of grants were from \$500,000 to \$1,000,000 FY.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Seventeen 5-year grants and eight 2-year extension grants were awarded on 9/30/12. For the latest information on Round 1 grantees, please see the report, "Targeted Grants to Increase the Well-Being of, and to Improve the Permanency Outcomes for, Children Affected by Methamphetamine or Other Substance Abuse: Second Annual Report to Congress." Fiscal Year 2013: In FY 2013 the Children's Bureau is in the process of finalizing the cross-site evaluation design for the program which will include a core descriptive study which includes data from performance measures for all 17 grantees. Additional impact sub studies are also planned for a subset of grantees. Cross-site measures have been identified and the Children's Bureau and its evaluation contractor are in the process of seeking OMB clearance for the data collection for the cross-site evaluation. Fiscal Year 2014: No current data available.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 1340.

Regional or Local Office:

None.

Headquarters Office:

Eileen West 1250 Maryland Avenue, SW, Washington, District of Columbia 20024 Email: eileen.west@acf.hhs.gov Phone: 202-205-8438

Website Address:

<http://www.acf.hhs.gov/programs/cb> .

RELATED PROGRAMS:

93.556 Promoting Safe and Stable Families; 93.600 Head Start; 93.643 Children's Justice Grants to States; 93.645 Child Welfare Services_State Grants; 93.648 Child Welfare Services Training Grants; 93.669 Child Abuse and Neglect State Grants

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: See Targeted Grants to Increase Well-Being of, and to Improve the Permanency Outcomes for, Children Affected by Methamphetamine or Other Substance Abuse: Second Annual Report to Congress. Fiscal Year 2013: See: https://www.acflhhs.gov/sites/default/files/cb/acyf_fy2012_projects_summary.pdf. Fiscal Year 2014: No current data available.

CRITERIA FOR SELECTING PROPOSALS:

Applications are evaluated on the basis of the degree to which proposals meet specific objectives defined in the program announcement including, but not limited to: (1) demonstration of regional impact of methamphetamine or other substance abuse; (2) organizational capacity to collaborate with other service providers; and (3) relevance of proposed services and activities in enhancing the well-being of children in foster care or at risk of foster care due to a parent's or caretaker's substance abuse.

93.088 ADVANCING SYSTEM IMPROVEMENTS TO SUPPORT TARGETS FOR HEALTHY PEOPLE 2010

(ASIST2010)

FEDERAL AGENCY:

Office of the Secretary, Department of Health and Human Services

AUTHORIZATION:

42 U.S.C. 300u-2(a), 42 U.S.C 300u-2(a).

OBJECTIVES:

To improve surveillance/information systems that allow tracking of program progress on HP 2010 objectives at the grantee level. To develop and implement a plan to sustain the program after OWH funding ends. To use a public health systems approach to improve performance on two or more HP 2010 objectives that target women and/or men in Focus Areas 1, Access to Quality Health Services, 3-Cancer, 5-Diabetes, 7-Educational and Community-Based Programs, and 12-Heart Disease and Stroke.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds may be used to implement evidence-based strategies to achieve the applicants and the Office on Women's Health (OWH), OWH's program objectives. Funds may not be used for construction, building alterations, equipment, printing, food, and medical treatment.

Applicant Eligibility:

none.

Beneficiary Eligibility:

Women and men across the life span.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Application kits may be obtained by accessing [Grants.gov](http://www.grants.gov) at <http://www.grants.gov>.

Award Procedure:

Applications will be screened upon receipt. Those that are judged to be incomplete or arrive after the deadline will be returned without comment. Accepted applications will be reviewed for technical merit in accordance with HHS policies. Applications will be evaluated by a technical review panel composed of experts. Funding decisions will be made by the OWH and will take into consideration the recommendations and ratings of the review panel; recommendations made based on the pre-award site visit; program needs, stated

preferences; and, geographic location.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. Approximately 90 days from the application deadline due date.

Appeals:

Not Applicable.

Renewals:

> 180 Days. Project period is for 3 year.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Project period is not to exceed 3 years. Method of awarding/releasing assistance: by letter of credit.

Reports:

Annual Program reports are required. Cash Reports are due on a minimum basis of quarterly. Progress reports are required quarterly. Financial status reports are due quarterly. A final performance report is due 90 days following the end of the project period.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to a grant shall be retained for a minimum of 3 years, or longer pending completion and resolution of any audit findings.

Account Identification:

75-0120-0-1-550.

Obligations:

(Cooperative Agreements) FY 12 \$2,537,500; FY 13 est \$2,000,000; and FY 14 Estimate Not Available

Range and Average of Financial Assistance:

\$100,000 to \$575,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 74 and 92. Specific program requirements are contained in the Federal Register Notice, the application instructions, and the HHS Grants Policy Statement.

Regional or Local Office:

See Regional Agency Offices. Program Management Contact: Office on Women's Health, DHHS
200 Independence Avenue, SW Room 712E Washington, DC 2020

Grants Management Contact:

Office of Grants Management, 1101 Wootton Parkway, Suite 550, Rockville, MD 20852, Telephone (240) 453-8822.

Headquarters Office:

Eric C. West, Tower Building Suite 550, 1101 Wootton Parkway, Rockville, Maryland 20852 Email: eric.west@hhs.gov Phone: (240) 453-8822.

Website Address:

<http://www.womenshealth.gov/>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

To be considered for review, applications must be received by the Office of Public Health and Science, Office of Grants Management by 5:00 p.m. Eastern Time on the due date published in the Dates section of the FR. Applications will be screened upon receipt. Those that are judged to be in compliance will be reviewed for technical merit by a technical panel composed of experts with experience with sex and gender programs, program management, service delivery, outreach, health education, Healthy People 2000 and/or Healthy People 2010, leadership development, and program assessment in accordance with DHHS policy. The technical review of the applications will consider the following factors: Factor 1: Background and Implementation Plan (30 points). Factor 2: Strength of the Public Health System/Collaborative Partnership (Partnership Plan) (20 points). Factor 3: Management Plan (20 points). Factor 4: Evaluation Plan (20 points). Sustainability Plan (10 points) Details regarding the elements to be addressed within each Factor are available in the FR notice.

93.089 EMERGENCY SYSTEM FOR ADVANCE REGISTRATION OF VOLUNTEER HEALTH PROFESSIONALS

(ESAR-VHP)

FEDERAL AGENCY:

Office of the Secretary, Department of Health and Human Services

AUTHORIZATION:

Title III, Section 319I, Public Health Service Act (42 U.S.C. 247d-7b), as amended by the Pandemic and All-Hazards Preparedness Reauthorization Act (PAHPRA) of 2013, Public Law 113-5.

OBJECTIVES:

To establish and maintain a national interoperable network of state systems. Each system is maintained by a State or group of States for the purpose of verifying the credentials, certifications, licenses, relevant training, and hospital privileges of health care professionals who volunteer to provide health services during a public health emergency.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Grant funds may be used for ESAR-VHP related activities only. Grants funds may not be used for construction and/or major rehabilitation of buildings. Grants funds may not be used for basic research (e.g. scientific or medical experiments.). When appropriated and apportioned; all funds available will be used for discretionary grant awards.

Applicant Eligibility:

State health departments of all 50 States, the District of Columbia, the nation's three largest municipalities (New York City, Chicago and Los Angeles County), the Commonwealths of Puerto Rico and the Northern Mariana Islands, the territories of American Samoa, Guam and the United States Virgin Islands, the Federated States of Micronesia, and the Republics of Palau and the Marshall Islands.

Beneficiary Eligibility:

All State health departments listed above, hospitals and supporting health care systems.

Credentials/Documentation:

Applicants should review the individual ASPR funding opportunity announcement issued under this CFDA program for any proof or certifications which must be submitted prior to or simultaneous with submission of an application package. OMB Circular A-122 applies to this program. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact

information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Grant applications and required forms for this program can be obtained through Grants.gov - FIND. Applicants should visit Grants.gov - FIND for the funding opportunity announcement and Grants.gov - APPLY to download application materials to complete and submit their applications in accordance with the funding opportunity announcement (FOA).

Award Procedure:

Applications that successfully proceed through initial screening (as outlined in the FOA) will be objectively reviewed based upon the review criteria specified in the FOA. Applications selected for funding will be processed and the applicant notified in writing through the issuance of a Notice of Award from the Office of the Assistant Secretary for Preparedness and Response.

Deadlines:

Sep 30, 2010: Applicable deadlines and due dates are specified in the funding opportunity announcement.

Range of Approval/Disapproval Time:

From 90 to 120 days. None.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Project periods are limited up to 36 months. Budget periods are limited up to 12 months. See the following for information on how assistance is awarded/released: Awardee is issued a Notice of Award, a legal document that informs the awardee that a grant award has been made. Awardee may draw down funds in advance of the incurrence of an expenditure (up to three days) from the Payment Management System.

Reports:

Grantees are required to submit program reports, also know as Program Progress Reports. Recipients must report cash transaction data via the Payment Management System (PMS) using the cash transaction data elements captured on the Federal Financial Report (FFR), Standard Form (SF) 425. Recipients will utilize the Transactions section of SF425 in lieu of the SF272. The FFR SF425 cash Transaction Report is due 30 days after the end of each calendar quarter. Grantees are required to submit semi-annual Program Progress Reports as well as final Program Progress Reports. ASPR requires cumulative financial reporting through consecutive funding periods on the SF269 FSR long form. The FFR SF425 was designed to replace the Financial Status Report SF269 and the Federal Cash Transactions Report SF272 with one comprehensive financial reporting form. Until HHS fully migrates to the SF425 FFR, recipients are still required to submit the SF269 Financial Status Report (FSR) semi-annually within 30 days after the first 6 month period and within 90 days of the budget period end date. Grantees are required to submit final Financial Status Reports (SF-269). Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records must be kept available for 3 years after submission of the final expenditure report.

Account Identification:

75-0140-0-1-551.

Obligations:

(Project Grants (Discretionary)) FY 12 \$3,525,789; FY 13 est \$0; and FY 14 est \$0

Range and Average of Financial Assistance:

\$58,478 to \$200,000 per award.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Jennifer Hannah, Division of National Healthcare Preparedness Programs, Office of Emergency Management, Office of the Assistant Secretary for Preparedness and Response, Department of Health and Human Services, 200 C Street, SW, Washington, District of Columbia 20024, Washington, District of Columbia 20024 Email: jennifer.hannah@hhs.gov Phone: (202) 245-0722.

Website Address:

<http://www.phe.gov/esarvhp>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

All applications will be evaluated by an Objective Review Committee to determine the extent to which the applicant documents the following criteria: (1) Purpose and Need; (2) Approach, Work Plan and Activities; (3) Project Outcomes, Evaluation/Dissemination; and (4) Level of Effort.

93.090 GUARDIANSHIP ASSISTANCE**FEDERAL AGENCY:**

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Social Security Act, Title IV, Part E, Section 473; as amended.

OBJECTIVES:

To provide Federal financial participation (FFP) to States, Indian Tribes, Tribal organizations and Tribal consortia (Tribes) who opt to provide guardianship assistance payments for the care of children by relatives who have assumed legal guardianship of eligible children for whom they previously cared as foster parents. This assistance is intended to prevent inappropriately long stays in foster care and to promote the healthy development of children through increased safety, permanency and well-being.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

Federal assistance may be used only in support of the care of children who meet the eligibility requirements and their siblings under certain situations as specified in the statute. All relatives assuming guardianship of such children are eligible for the nonrecurring expenses associated with obtaining legal guardianship up to \$2000. States and Tribes may receive Federal financial participation (FFP) only if title IV-E plans have been approved by the Secretary.

Applicant Eligibility:

Funds are available to States, the District of Columbia, Puerto Rico, and to Tribes with approved title IV-E plans.

Beneficiary Eligibility:

Beneficiaries are children who meet the following requirements: (1) the child has been eligible for title IV-E foster care maintenance payments while residing for at least six consecutive months in the home of the prospective relative guardian; and (2) the State or Tribe has determined that the permanency options of being returned home or adoption are not appropriate for the child; and (3) the child demonstrates a strong attachment to the prospective relative guardian and the prospective guardian is committed to caring permanently for the child; and (4) for children who have attained the age of 14, the child has been consulted regarding the kinship guardianship arrangement. Beneficiaries may also be siblings of eligible children placed in the same kinship guardianship arrangement. FFP is available to States and Tribes for payments made to a relative guardian in accordance with a kinship guardianship agreement that is in writing, negotiated and is binding.

Credentials/Documentation:

Costs are to be determined in accordance with OMB Circular No. A-87 and 45 CFR Part 92. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110.

091-Consultation is available to States and Tribes from ACF Regional Offices.

092-Applications are made in the form of a title IV-E Plan, prepared in the format provided by the ACF Children's Bureau. Title IV-E plans and amendments must be submitted to the ACF Regional Child Welfare Program Manager for approval.

Award Procedure:

Quarterly awards are made on the basis of estimated expenditures, which are later revised to include only actual allowable claimed expenditures. Next quarter estimates are due on July 30, October 30, January 30 and April 30 each year. Final decisions and awards are made by the Headquarters Office.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Health and Human Services (HHS) will approve/disapprove applications (title IV-E plans or amendments to them) within 45 days of receipt by HHS.

Appeals:

Appeals are processed in accordance with HHS regulations in 45 CFR Part 16.

Renewals:

Awards remain effective without plan renewal but title IV-E plans may be amended as necessary.

Formula and Matching Requirements:

Statutory Formula: Funding is provided on an open-ended basis in accordance with sec. 474(a) of the Social Security Act.

Formula Grant Funding Level: Federal financial participation (FFP) is equal to the applicable Federal Medical Assistance Percentage (FMAP) rate (as defined by sec.1905(b) (sec. 479B(d) for Tribes) of the Social Security Act (i.e. from 50% to 83%, depending on the State or Tribe and the fiscal year) and as temporarily enhanced through Section 5001 of Public Law 111-5, the American Recovery and Reinvestment Act of 2009 (ARRA)) for the total expended for kinship guardianship assistance payments; 75% of staff training costs; and 50% of other administrative costs. Short term training provided to kinship guardians is subject to a special FFP rate that varies by fiscal year (60% FFP in FY 2010; 65% for FY 2011, 70% for FY 2012 and 75% for FY 2013 and thereafter).

Matching Requirements: The non-Federal share of program costs (difference

between total cost and FFP) in each funding category constitutes the required match to be met by the title IV-E agency. Matching funds from State agencies must be appropriated monies raised from non-Federal revenue sources. Matching funds from Tribal agencies must also be appropriated monies, but may at the option of the Tribe include Federal funds specifically authorized by Federal statutes to be used as match for other Federal programs and, in accordance with 45 CFR 1356.68 for administration and training costs only, in-kind expenditures (or contributions) from allowable third party sources. Matching Requirements: The non-Federal share of program costs (difference between total cost and FFP) in each funding category constitutes the required match to be met by this title IV-E agency. Matching funds from State agencies must be the appropriate monies raised from non-Federal revenue sources. Matching funds from Tribal agencies must also be appropriated monies, but may at the option of the Tribe include Federal funds specifically authorized by Federal statutes to be used as match for other Federal programs and, in accordance with 45 CFR 1356.68, for administration and training cost only, and in-kind expenditures (or contributions) from allowable third party sources. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Federal financial participation is available to a State or Tribe operating under an approved title IV-E plan. The title IV-E agency may implement and claim allowable guardianship assistance program costs beginning on the first day of the quarter in which an approvable title IV-E plan amendment is submitted to the Administration for Children and Families (ACF) to implement the guardianship assistance program. See the following for information on how assistance is awarded/released: Method of awarding/releasing assistance: Quarterly, through a letter of credit.

Reports:

Report on the children assisted. A report of estimates and expenditures and number of children assisted (form CB-496) must be submitted. No progress reports are required. Use form CB-496 to report estimates and expenditures and the number of children assisted; the expenditures report is required 30 days after the end of the quarter; other reports as required by the Secretary. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audits are conducted in accordance with the requirements in 45 CFR 92.

Records:

States and Tribes must maintain all financial and programmatic records necessary for the proper and efficient operation of the program, including records of applications, determinations of eligibility, utilization of awarded grants and other funds for the provision of financial assistance and allocated indirect and direct administrative and training costs in accordance with requirements in Health and Human Services regulations at 45 CFR 92.42, and written guidance from the Administration for Children and Families.

Account Identification:

75-1545-0-1-609 - ARRA grants: 75-1545-0-1-609.

Obligations:

(Formula Grants) FY 12 \$73,804,545; FY 13 est \$123,000,000; and FY 14 est \$124,000,000

Range and Average of Financial Assistance:

The program became available as an option for States as of October 7, 2008, and for Tribes as of October 1, 2009. Title IV-E agencies began separate financial reporting of program costs for quarters commencing in FY 2011. A number of States also initiated title IV-E guardianship assistance payments during FY 2011 and may still be in the process of submitting title IV-E claims for these costs. Therefore, meaningful data on the range and average of financial assistance is not yet available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Grant awards were provided to a total of 28 States (including the District of Columbia) assisting an average of 12,000 children per month. Fiscal Year 2013: It is anticipated that a total of 29 States (including the District of Columbia) and 1 additional Tribe will receive grant awards assisting an average of 20,500 in-placement children per month. Fiscal Year 2014: It is anticipated that a total of 29 States (including the District of Columbia) and 1 additional Tribe will receive grant awards.

REGULATIONS, GUIDELINES, AND LITERATURE:

See program guidance in ACYF-CB-PI-10-01 which can be found at http://www.acf.hhs.gov/programs/cb/laws_policies/policy/pi/2010pi1001.htm. Additional program guidance can be found in ACYF-PI-10-11 at http://www.acf.hhs.gov/programs/cb/laws_policies/policy/pi/2010/pi1011.htm. Also see the Health and Human Services (HHS) Grants Policy Statement found online at: <http://www.hhs.gov/grantsnet/adminis/gpd/index.htm>

Regional or Local Office:

See Regional Agency Offices. Contact the Regional Child Welfare Program Manager, Administration for Children and Families, HHS, in the appropriate Regional Office. (See Appendix IV of the Catalog for a list of addresses of the Regional Offices.).

Headquarters Office:

Gail Collins 1250 Maryland Avenue, SW, Washington, District of Columbia 20024 Email: gail.collins@acf.hhs.gov Phone: (202) 205-8552.

Website Address:

<http://www.acf.hhs.gov/programs/cb>

RELATED PROGRAMS:

93.599 Chafee Education and Training Vouchers Program (ETV); 93.645 Child Welfare Services_State Grants; 93.658 Foster Care_Title IV-E; 93.659 Adoption Assistance; 93.674 Chafee Foster Care Independence Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.091 SOCIAL SERVICES AND INCOME MAINTENANCE BENEFITS ENROLLMENT COORDINATION GRANTS

SSIMB Grants

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Social Security Act, Section 1110, Public Law 111-117.

OBJECTIVES:

To provide funds for benefits outreach and enrollment assistance activities awarded competitively to private, nonprofit organizations to support community pilot projects in communities with a high concentration of poverty that could be sustained through other funding sources after an 12-month grant period.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

(1) Grantees receive the discretionary grant to support-on-going facilitated outreach and enrollment assistance to low-income individuals and families to access already existing Federal, State, and local benefit programs. (2) Grantees use award to initiate new benefits enrollment projects especially for the un-served. (3) Project should be sustained through other funding sources after an 12-month grant period.

Applicant Eligibility:

The Secretary is authorized to make grants to private-nonprofit organizations with 501(c)(3) or 501(c)(4) status. Foreign entities are not eligible. Faith-based and community organizations are eligible.

Beneficiary Eligibility:

Private, nonprofit organizations provide services to low-income individuals and

families to support benefits outreach and enrollment assistance activities. While low income populations are the general target population, benefits eligibility for specific Federal, State, and local programs will differ for each program included in the benefits enrollment outreach and assistance pilots.

Credentials/Documentation:

Proof of 501 (c)(3) or (4) status is required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Awards are granted on a competitive basis. Announcements concerning the availability of funds along with the application package, including all required forms, will be posted at www.grants.gov. Applications to the Office of Community Services for grants under the announcement should be addressed to: OCS Operations Center 1515 Wilson Blvd., Suite 100, Arlington, Virginia 22209. Applicants are urged to inform and coordinate application submissions with State and local governments where such information and coordination is appropriate or necessary for the success of the program. This program is subject to the provisions of Title 45 CFR Part 74 (non-governmental entities). All applications for assistance under this program must contain: (1) SF-424, Application for Federal Assistance; (2) SF-424A, Budget Information; (3) SF-424B, Assurances-Non- Construction Programs; (4) Certificate Regarding Drug Free Workplace Requirements; (5) Certification regarding environmental tobacco smoke; (6) Certification Regarding Debarment, Suspension, and Other Responsibility Matters; and (7) Certification Regarding Lobbying. These must be accompanied by a detailed budget, and a program narrative submitted in accordance with the format detailed in the program announcement.

Award Procedure:

Objective review will be made for all grant awards. Selection of applicants will be determined based on the review criteria outlined in the program announcement.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Awards are made available for periods of 12 months. Method of awarding/releasing assistance: quarterly.

Reports:

No reports are required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

TBD.

Account Identification:

75-1536-0-1-506.

Obligations:

(Project Grants (Discretionary)) FY 12 \$0; FY 13 est \$0; and FY 14 est \$0 - This program is no longer funded.

Range and Average of Financial Assistance:

N/A.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: N/A. Fiscal Year 2013: N/A. Fiscal Year 2014: N/A.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Marsha Werner, Office of Community Services (OCS), Administration for Children and Families, Department of Health and Human Services, 370 L'Enfant Promenade, 5th Floor West, Washington, District of Columbia 20447
Email: marsha.werner@acf.hhs.gov Phone: (202) 401-5281 Fax: (202) 401-5718 .

Website Address:

<http://www.acf.hhs.gov/programs/ocs/index.html>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.092 AFFORDABLE CARE ACT (ACA) PERSONAL RESPONSIBILITY EDUCATION PROGRAM (PREP)

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

The Patient Protection and Affordable Care Act, Title II Role Of Public Programs, Subtitle L, Maternal and Child Health Services , Title V, Section 2953, Public Law 111-148, 42 U.S.C 713(c)(1).

OBJECTIVES:

The purpose of this program is to educate adolescents and young adults on both abstinence and contraception for the prevention of pregnancy and sexually transmitted infections, including HIV/AIDS. The Affordable Care Act was established and provided funding for this program through FY 2014.

TYPES OF ASSISTANCE:

Formula Grants; Project Grants

USES AND USE RESTRICTIONS:

To carry out personal responsibility education programs designed to educate adolescents on both abstinence and contraception for the prevention of pregnancy and sexually transmitted infections, including HIV/AIDS. The State program component must fund teen pregnancy prevention and personal responsibility education programs that have been proven on the basis of rigorous scientific research, to change behavior, which means delaying sexual activity, increasing condom or contraceptive use for sexually active youth, or reducing pregnancy among youth. State programs must teach adulthood preparation subjects including healthy relationships, adolescent development, financial literacy, parent-child communication, educational and career success, and healthy life skills. Applicants for innovative program funding should describe the research base for proposed projects, such as the rationale for substantial modifications to evidence-based models and/or developmental research that suggests an innovative model is likely to be effective with a

vulnerable or underserved population. The list of evidence-based models developed by the HHS Office of the Assistant Secretary for Planning and Evaluation (ASPE). Additionally, the innovative practices component must implement programs to target high-risk, vulnerable, and culturally under-represented youth populations. Such programs must be either significant modifications of the above list of evidence-based models or are based upon other promising approaches with a strong research basis. All programs must agree to participate in a rigorous Federal evaluation of their project. Innovative programs must conduct their own rigorous, independent evaluations unless they are selected for the Federal evaluation. From the \$75,000,000 appropriation, \$10,000,000 (13.3%) is designated for PREIS, which are demonstration grants for innovative teen pregnancy prevention and personal responsibility education programs (PREIS); \$3,250,000 (5% of the appropriation after the \$10,000,000 is removed) is set aside for Tribes and Tribal organizations; \$6,500,000 (10% of the appropriation after the \$10,000,000 is removed) is designated for program support and evaluation. The remaining \$55,250,000 (73.7% of the appropriation) is for States to implement evidence-based demonstration projects and is allotted by formula. However, beginning in 2012, funds from States that did not apply for FY10 or FY11 funding was distributed on a discretionary basis to community-based organizations within those States.

Applicant Eligibility:

There is \$55,250,000 appropriated annually to fund 59 States and Territories to enable them to carry out personal responsibility education programs. Eligible applicants include the 50 United States, the District of Columbia, Puerto Rico, Virgin Islands, Guam, American Samoa, Northern Mariana Islands, Micronesia, the Republic of the Marshall Islands, and the Republic of Palau.

If any States or Territories did not apply for funding in FY 2010 or 2011, they are no longer eligible to apply for this program and their allotments were made available as Competitive PREP grants to community-based organizations for the same State or territory. The annual award for Competitive PREP is \$18,856,405.

Funds (\$10,000,000) shall be reserved to award PREIS grants to entities to implement innovative youth pregnancy prevention strategies and target services to high-risk, vulnerable, and culturally under-represented youth populations. Funds (\$3,250,000) shall be reserved for Tribal PREP grants to Indian tribes and tribal organizations.

Funds (\$6,500,000) shall be reserved for program support that may be provided directly or through a competitive award process. Program support includes research, training and technical assistance, providing consultation and resources on a broad array of teen pregnancy prevention strategies, and developing materials to support grant activities. Funds shall also be reserved to evaluate programs and activities.

Beneficiary Eligibility:

Personal responsibility education programs shall provide services to adolescents and young adults. Applicants are encouraged to serve youth populations that are the most high-risk or vulnerable for pregnancies or otherwise have special circumstances, including youth in and aging of out foster care, homeless youth, youth with HIV/AIDS, pregnant youth who are under 21 years of age, mothers who are under 21 years of age, and youth residing in areas with high birth rates for youth. Applicants must include the most recent pregnancy data for the State for youth ages 10 to 14 and youth ages 15-19, and the most recent birth rates among the same age groups, and trends in those rates for the most recently preceding 5-year period for which data are available.

Credentials/Documentation:

A signed letter from the authorized representative must accompany each application; it should include documentation establishing the authorized representatives authority to apply for and administer the Personal Responsibility Education grant program funds on behalf of the State or Territory.

For other funding available under this CFDA number, non-profit organizations applying for funding are required to submit proof of their non-profit status. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact

information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. The PREP program includes formula and competitive grant awards to a range of entities. The OMB circulars that are relevant vary according to the funding opportunity and the kind of organization that will be implementing the grant award.

Award Procedure:

For FY 2010 and FY 2011 funds allotted to States and Territories (States), the Administration for Children and Families (ACF) advised States and Territories of the funding opportunity through a Federal Register notice and/or by a Funding Opportunity Announcement (FOA). Applications were rated Approved, Approved with Conditions, or Approval Pending by Program administrators. Applicants that received an Approved rating received grant awards and did not need to send further information. States that submitted applications that were Approved with Conditions" received grant awards with descriptions of weaknesses and subsequent conditions that were to be met in order to receive Approved status. The States were given a set period to send to a response to ACF that addressed the identified weaknesses. States that submitted applications that were rated Approval Pending received a list of weaknesses cited by the reviewer and directions for submitting an amended application that addressed the weaknesses. States and Territories that were awarded FY 2010 and/or FY 2011 funds were to submit a letter of intent in order to receive awards in FY 2012, FY 2013 and FY 2014. There are 48 State PREP grantees.

A FOA was published to award funds to community based organizations in the States and Territories that did not receive FY 2010 and FY 2011 PREP funding. Applications were reviewed by an Objective Review Committee which applied the criteria given in the Funding Opportunity Announcement (FOA) in order to derive priority scores. The review panels consisted of expert peer reviewers who reviewed each application that met the responsiveness and screening criteria. Federal staff provided oversight to the review process. Thirty-seven applicants were awarded Competitive PREP funding in FY2012.

For funds reserved for PREP Innovative Strategy (PREIS) grants, applications were reviewed on a nationwide basis and in competition with other submitted applications. Eligible applications were reviewed by an Objective Review Committee which applied the criteria given in the Funding Opportunity Announcement (FOA) in order to derive priority scores. The applications were reviewed by expert peer reviewers who reviewed each application that met the responsiveness and screening criteria. Federal staff provided administrative oversight to the grant review process. The review results formed the basis for development of the programmatic terms and conditions of the cooperative agreement. Funding decision recommendations were provided to the Director of the Office of Adolescent Health and the Commissioner for the Administration on Children, Youth and Families (ACYF) in order by score and rank determined by the review panel. Final award decisions were made collaboratively by the Director of OAH and the Commissioner of ACYF. In making the award decision, the Director and the Commissioner took into account the score and rank order given by the Objective Review Committee, and other considerations given in the FOA. Thirteen applicants were awarded cooperative agreements in FY2010 and one grantee relinquished funding in FY2012. There are 12 funded PREIS projects.

Funds reserved for grants to Indian tribes and tribal organizations were made available in a manner determined by the Secretary, in consultation with Indian tribes and tribal organizations, to be appropriate. In FY 2011, a funding opportunity announcement was issued for the award of the grants. Sixteen applicants were awarded Tribal PREP funding through the discretionary grant review process.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 90 to 120 days. Consult the FOA or contact the headquarters office, as appropriate, for application deadlines.

Appeals:

Not Applicable.

Renewals:

From 90 to 120 days.

Formula and Matching Requirements:

Statutory Formula: Title V.

Matching Requirements: Cost sharing or matching of non-Federal funds is not required. However, funded programs should build on but not duplicate or replace current Federal programs as well as state, local or community programs, and programs should coordinate with existing programs and resources in the community.

This program has MOE requirements, see funding agency for further details. This program has an MOE (Maintenance of Effort) requirement for states and local entities to require that expenditure of non-federal funds for activities, programs or initiatives allowed in this program be no less than such expenditures in FY 09. The intent is to supplement and not supplant the funds expended in FY 09 or the year prior to new awards.

Length and Time Phasing of Assistance:

Funds allotted to States and Territories for a fiscal year shall remain available for expenditure by the State through the end of the second succeeding fiscal year. If a State or territory did not submit an application for fiscal year 2010 or 2011, they are no longer be eligible to submit an application to receive funds from the amounts allotted to the State for each of fiscal years 2010 through 2014.

Those funds were used for awarding three year discretionary grants to local organizations and entities for the same purpose and in the same geographic regions in FY2012. The Competitive PREP funding is available over a 36 month project period. Annual funding is to be expended within 12 months of award.

The PREIS grantees were awarded funding through cooperative agreements over a five year project period. The annual funding is to be expended at the end of each 12 month budget period.

Tribal PREP grantees are discretionary awards with a four year project period. Annual awards are to be expended at the end of each 12 month budget period.

Additional information about the availability of funding is provided in the funding opportunity announcements. See the following for information on how assistance is awarded/released: Periodic draw downs are based upon need and project progress.

Reports:

Each award recipient will submit semi-annual performance progress, fiscal and performance measure data. Grantees must submit all required reports in a timely manner and in the recommended format provided. The grantees shall submit an annual Financial Status Report (FSR). An FSR is due no later than 90 days after the end of the applicable 12 month period and failure to submit these in a timely manner could affect future funding. The report is an accounting of expenditures for each budget year of the project. There is a requirement for performance measurement data collection and performance progress reporting (e.g., monitoring requirements) for the State PREP, PREIS, Tribal PREP and Competitive PREP grantees. Tribal consults were conducted prior to the finalization of the Tribal PREP funding opportunity announcement, to include discussion on the reporting requirements. The recipient shall submit an annual Financial Status Report (SF-425) due no later than 90 days after the end of the applicable 12 month period. The report is an accounting of expenditures for each year of the project. More specific information on this reporting requirement was included in the Notice of Grant Award. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a

year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grantees must comply with the relevant sections of the Code of Federal Regulations and OMB circulars to perform required audits.

Records:

All financial records are to be maintained for three years after termination of the project or until the audit is completed, whichever occurs first.

Account Identification:

75-1512-0-1-506.

Obligations:

(Salaries) FY 12 \$84,068,474; FY 13 est \$83,945,873; and FY 14 est \$69,600,000 - The authorization for PREP mandates an annual appropriation of \$75,000,000. Due to sequestration, the FY2013 budget was reduced by 5.1% and the FY2014 budget was reduced by 7.2%.

Range and Average of Financial Assistance:

Each State or Territory shall be allotted at least \$250,000 or an amount determined by a formula using the number of individuals who have attained age 10 but not attained age 19 in the State or Territory to the total number of such individuals in the entire U.S. based on the most recent Census data, whichever is greater. Applicants for which Census data are not available will be eligible for the minimum allocation of \$250,000. The range for State PREP awards to 59 states and territories is \$250,000 to \$6,455,009. The range for the awards to 12 PREP Innovative Strategies grantees is \$400,000 to \$933,907. The range for the awards to 16 Tribal PREP grantees is \$138,000 to \$325,425. The range for the awards to the 37 Competitive PREP grantees is \$200,000 to \$900,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: State PREP Awards: \$43,683,959; PREP Innovative Strategies: \$10,197,063; Competitive PREP Awards: \$18,856,405; Tribal PREP Awards: \$3,250,000. Fiscal Year 2013: State PREP Awards: \$41,424,213; PREP Innovative Strategies: \$9,086,273; Competitive PREP Awards: \$18,856,405; Tribal PREP Awards: \$3,084,250 (FY2013 awards were reduced due 5.1% to sequestration.). Fiscal Year 2014: State PREP Awards: Estimated \$40,507,555; PREP Innovative Strategies: Estimated \$9,001,600; Competitive PREP Awards: Estimated \$10,764,445; Tribal PREP Awards: Estimated \$3,001,600 (FY2014 awards were reduced due to 7.2% sequestration.).

REGULATIONS, GUIDELINES, AND LITERATURE:

No regulations have been developed at this time. Governing authorities shall be the legislative language and the terms and conditions of the cooperative agreement awards as described in the Request for Application.

Regional or Local Office:

None.

Headquarters Office:

Marc D. Clark 1250 Maryland Ave, SW, 8th Floor, Washington, District of Columbia 20024 Email: marc.clark@acf.hhs.gov Phone: 202-205-8496 Fax: 202-205-9535

Website Address:

<http://www.acf.hhs.gov/programs/fysb/content/programs/top.htm>

RELATED PROGRAMS:

93.060 Competitive Abstinence Education (CAE); 93.235 Abstinence Education Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Funded State programs must replicate evidence-based, effective programs or strategies that substantially incorporate elements of effective programs that have been proven on the basis of rigorous scientific research to change behavior, which means delaying sexual activity, increasing condom or contraceptive use for sexually active youth, or reducing pregnancy among youth. The programs must include activities to educate youth who are sexually active regarding responsible sexual behavior with respect to both abstinence and the use of contraception. Such activities should teach adulthood preparation subjects including healthy relationships, adolescent development, financial literacy, parent-child communication, educational and career success,

and healthy life skills. The programs must: be medically-accurate and complete; include activities to educate youth who are sexually active regarding responsible sexual behavior with respect to both abstinence and the use of contraception; place substantial emphasis on both abstinence and contraception for the prevention of pregnancy among youth and sexually transmitted infections; and must provide age-appropriate information and activities. The information and activities carried out under the program must be provided in the cultural context that is most appropriate for individuals in the particular population group to which they are directed.

REQUIREMENTS OF INNOVATIVE STRATEGIES PROJECTS: Proposals were funded based on how effectively they can develop, replicate, refine, and test innovative strategies for preventing teenage pregnancy. Programs must be medically accurate, age appropriate, and target services to identified vulnerable and at-risk populations. Funded projects are expected to generate lessons learned so others can benefit from these strategies and innovative approaches and increase the capacity of communities to develop, implement, and evaluate interventions for dissemination and replication by others. Funded projects must show that the proposed intervention is: (a) based on some preliminary evidence of effectiveness; (b) a significant adaptation of an evidence-based program; or (c) is a new and innovative approach to teenage pregnancy prevention with a sound basis in research.

REQUIREMENTS OF TRIBAL PROJECTS: Criteria for Tribal projects were developed by the Secretary, in consultation with Indian Tribes and Tribal Organizations, that were deemed appropriate. Tribal PREP funding is directed toward the development of culturally appropriate comprehensive teen pregnancy prevention programs that incorporate medically accurate approaches replicating effective programs or elements of programs that have been demonstrated to change behavior. Tribal PREP focuses on the same fundamental requirements as the State PREP projects—the education of youth on both abstinence and contraception and on other adulthood preparation subjects with the goal of preventing teen pregnancy and sexually transmitted infections (STIs) including HIV/AIDS. Funds support PREP efforts that are dedicated to the development of comprehensive, high quality interventions and services that rely on the best available research evidence to inform and guide practice. Since there are few studies of teen pregnancy prevention programs targeting youth in Tribal communities, adaptation and innovation are important. Further, programs that currently serve Native youth have not been rigorously evaluated and may not offer strong models for replication. Tribes and tribal organizations are encouraged, therefore, to adapt evidence-based (EB) models (or elements of EB models) that address the unique cultural needs of their communities. Adaptation must retain core elements of proven effective programs.

REQUIREMENTS OF COMPETITIVE PREP PROJECTS: Competitive PREP funds support projects that educate youth between the ages of 10 and 19, and pregnant and parenting youth, under age 21, on abstinence and contraception for teen prevention of pregnancy and sexually transmitted infections (STIs), including HIV/AIDS. Applicants must also provide programming on at least three of six adulthood preparation subjects that are discussed in the published funding opportunity announcement. Further PREP funding is directed toward the development of comprehensive, teen pregnancy prevention programs that incorporate medically accurate approaches, while replicating evidence-based programs or elements of programs that have been demonstrated to change behavior. FYSB Competitive PREP funding supports efforts that are dedicated to the development of comprehensive, culturally appropriate interventions and services that rely on the best available research to inform and guide practice.

93.093 AFFORDABLE CARE ACT (ACA) HEALTH PROFESSION OPPORTUNITY GRANTS

(HPOG)

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Social Security Act, Title XX, Section 2008, Public Law 111-148, 42 U.S.C 1397.

OBJECTIVES:

To provide education and training to Temporary Assistance for Needy Families (TANF) recipients and other low-income individuals for occupations in the health care field that pay well and are expected to either experience labor shortages or be in high demand. The Affordable Care Act established the program and will provide funding for it through FY 2014.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

The funds may be used to provide education and training to TANF recipients and other low-income individuals for occupations in the health care field that pay well and are expected to either experience labor shortages or be in high demand. Funds may be used for participant supportive services, including financial aid, child care, and case management. Section 2005(a) (other than paragraph (6)) of the Social Security Act (42 U.S.C 1397d(a)) applies to grants awarded in this program to the same extent and in the same manner as such section applies to payments to States under this Title XX of the Social Security Act (the Social Services Block Grant). All funds are set aside for discretionary activities.

Applicant Eligibility:

An eligible applicant is a State, an Indian tribe or tribal organization, an institution of higher education, a local workforce investment board established under section 117 of the Workforce Investment Act of 1998, a sponsor of an apprenticeship program registered under the National Apprenticeship Act or a community-based organization.

Beneficiary Eligibility:

Eligible individual beneficiaries are individuals receiving assistance under the State TANF program; or other low-income individuals described by the eligible entity in its application for a grant under this section.

Credentials/Documentation:

Non-profit organizations applying for funding are required to submit proof of their non-profit status. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Applicants must demonstrate that they have consulted with the State agency responsible for administering the State TANF program, the local workforce investment board in the area in which the project is to be conducted (unless the applicant is such board), the State workforce investment board established under section 111 of the Workforce Investment Act of 1998, and the State Apprenticeship Agency recognized under the Act of August 16, 1937 (commonly known as the National Apprenticeship Act) (or if no agency has been recognized in the State, the Office of Apprenticeship of the Department of Labor) and that the project will be carried out in coordination with such entities. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. None.

Award Procedure:

Grant applications are reviewed and evaluated by objective review panels using the criteria given in the Funding Opportunity Announcement. Each panel is made up of experts with knowledge and experience in the area under review.

Deadlines:

Jun 21, 2010 to Aug 05, 2010 The program office does not anticipate funding being available for a grant competition through FY 14.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

From 60 to 90 days. Each year following the initial competitive funding, non-competitive continuation applications will be received and processed within 60-90 days.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. None.

This program does not have MOE requirements. None.

Length and Time Phasing of Assistance:

The HPOG program uses the project period system of funding. Projects are programmatically approved for support in their entirety, but are funded in annual increments called budget periods. Through a competitive process HPOG projects were approved for five year project periods. Each new budget period begins September 30. In general, funding for each budget period must be obligated within the budget period. Recipients are required to submit a non-competing continuation application and semi-annual performance progress reports as a prerequisite to approval and funding of each subsequent budget period within the approved project period. Funding for each budget period is contingent on satisfactory progress, the availability of funds, and the continued best interests of the Federal government. See the following for information on how assistance is awarded/released: Funds are drawn down by grantees on a periodic basis, typically each month.

Reports:

Grantees must submit semi-annual performance progress reports. Each semi-annual progress report is due no later than 30 days after the end of the reporting period. Grantees must submit an annual Financial Status Report (FSR). An FSR is due no later than 90 days after the end of the applicable 12 month period and failure to submit these timely could affect future funding. The SF 425 FFR will be used to report Financial Status and Cash Transactions. The report is an accounting of expenditures under the project that year. Grantees must submit semi-annual performance progress reports. Each semi-annual progress report is due no later than 30 days after the end of the reporting period. The grantees shall submit an annual Financial Status Report (FSR). An FSR is due no later than 90 days after the end of the applicable 12 month period and failure to submit these timely could affect future funding. The SF 425 FFR will be used to report Financial Status and Cash Transactions. The report is an accounting of expenditures under the project that year. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. This Circular is issued pursuant to the Single Audit Act of 1984, Public Law 98-502, and the Single Audit Act Amendments of 1996, Public Law 104-156. It sets forth standards for obtaining consistency and uniformity among Federal agencies for the audit of States, local governments, and non-profit organizations expending Federal awards

Records:

All financial records are to be maintained for 3 years after termination of the project or until audit is completed, whichever comes first.

Account Identification:

75-1534-0-1-506.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 12 \$79,934,142; FY 13 est \$80,665,000; and FY 14 est \$78,880,000 - The authorizing legislation appropriates \$85 million for this program. In FY 12, \$5 million was delegated to HRSA for the Training and Certification Program. Therefore, \$80 million remained available for obligation in the HPOG program. In FYs 13 and 14 sequestration required reducing the program budget.

Range and Average of Financial Assistance:

The range is \$1,000,000 - \$5,000,000. The grant average is \$2,100,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: In FY 12 HPOG awarded 32 non-competing continuation grants. Fiscal Year 2013: In FY 2013, it is anticipated that 32 non-competing continuation grants will be awarded. Fiscal Year 2014: In FY 2014, it is anticipated that 32 non-competing continuation grants will be awarded.

REGULATIONS, GUIDELINES, AND LITERATURE:

Specific program requirements are contained in the Funding Opportunity Announcement and the HHS Grants Policy Statement. The HHS Grants Policy Statement can be found at: <http://www.hhs.gov/asrt/og/aboutog/grantsnet.html>

Regional or Local Office:

None.

Headquarters Office:

Stanley Koutstaal 370 L'Enfant Promenade, SW
Aerospace Building, 5th Floor East, Washington, District of Columbia 20447
Email: stanley.koutstaal@acf.hhs.gov Phone: 202-401-5457 Fax: 202-205-5887

Website Address:

<http://hpogcommunity.acf.hhs.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.094 WELL-INTEGRATED SCREENING AND EVALUATION FOR WOMEN ACROSS THE NATION

WISEWOMAN

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

a. This program is authorized under sections 1501-1510 [42 U.S.C. 300k, 42 U.S.C. 300l, 42 U.S.C. 300m, 42 U.S.C. 300n, 42 U.S.C. 300 n-1, 42 U.S.C. 300 n-2, 42 U.S.C. 300 n-3, 42 U.S.C. 300 n-4, 42 U.S.C. 300 n-4a, 42 U.S.C. 300 n-5] of the Public Health Service Act, as amended. WISEWOMAN must follow the legislative requirements detailed in these sections.

OBJECTIVES:

WISEWOMAN is intended to improve the cardiovascular health of low-income, uninsured and under-insured women, ages 40-64, who are participants in the National Breast and Cervical Cancer Early Detection Program (NBCCEDP). Supplements to Public Law 101-354 expand the preventive health services provided through NBCCEDP to: (1) include screenings regarding blood pressure and cholesterol, and health education; (2) provide appropriate referrals for medical treatment of women receiving services pursuant to screenings; (3) ensure, to the extent practicable, the provision of appropriate followup services; and (4) evaluate activities conducted under paragraphs (1) and (2) through appropriate surveillance or program monitoring activities.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funds can be used for salaries for personnel to plan, implement, monitor and evaluate the program activities; for contracts or agreements with clinical entities to provide the cardiovascular screenings; risk reduction counseling; diagnostic office visits for abnormal screening values; contracts or agreements with community based organizations to provide health education and lifestyle support programs, or to improve the health of the physical environment (for example to improve access to healthy food, physical activity, or decrease tobacco exposure); or other activities that promote or support healthy behaviors and improved cardiovascular health in women. Funds can be used to pay for activities or expendable equipment necessary to provide the services outlined here, including self-blood pressure monitoring equipment and counseling to enhance medication adherence. Funds can be used to develop and maintain data collection systems to collect and report bio-measures and behavior

information and for monitoring systems to augment control of hypertension. Funds cannot be used for research or construction or for ongoing medical treatment of participants. In accordance with Public Law 101-354 and its amendments, at least 60 percent of cooperative agreement funds awarded must be used to provide direct client services for eligible women. Direct client services include screening; health education/lifestyle intervention sessions; diagnostic tests; laboratory fees; tracking; follow-up; and support services to maximize participation in screening and lifestyle intervention sessions. No more than 40 percent of cooperative agreement funds can be used to support the following: program management and planning; data management, which includes using data to track due dates or attendance at appointments; quality assurance/quality improvement; public education initiatives; professional development; partnerships; community engagement; evaluation; and administration. Administrative costs are limited to no more than 10% of the total amount awarded.

Applicant Eligibility:

Applicants must be recipients of the National Breast and Cervical Cancer Early Detection Program (NBCCEDP).

Beneficiary Eligibility:

Low Income, uninsured or under-insured women.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Applicants must download supplemental application forms from www.Grants.gov. Supplemental applications must be submitted electronically at www.Grants.gov. If an applicant does not have access to the Internet, or if they have difficulty accessing the forms online, contact the CDC Procurement and Grants Office, Technical Information Management Section (PGO-TIMS) staff. For this, or further assistance, contact PGO-TIMS: Telephone: 770-488-2700, email: PGOTIM@cdc.gov. HHS/CDC Telecommunications for the hearing impaired: TTY 770-488-2783

Award Procedure:

All applications submitted by the deadline that are complete and responsive to competitive supplemental grant and cooperative agreement announcements will undergo an objective review process, receive a written critique and be scored according to published review criteria. Successful applicants will receive a Notice of Award (NoA) from the CDC Procurement and Grants Office. The NoA shall be the only binding, authorizing document between the recipient and CDC. The NoA will be signed by an authorized CDC Grants Management Officer.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

> 180 Days.

Appeals:

Not Applicable.

Renewals:

From 120 to 180 days. Renewals will be based upon the conditions in the funding announcement, program performance including meeting program requirements and performance measures, submission of an acceptable request for continuation funding and are subject to the availability of funds.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Percent: 40%. Recipient financial participation is required for this program in accordance with the authorizing legislation. Section 1502(a) and (b)(1), (2), and (3) of the PHS Act, as amended, requires matching funds from non-Federal sources in an amount not less than one dollar for every three dollars of Federal funds awarded under this program. However, Title 48 of the US Code 1469a (d) requires DHHS to waive matching fund requirements for Guam, U.S. Virgin Islands, American Samoa, and the Commonwealth of the Northern Mariana Islands up to \$200,000.

The match requirement may include third-party in-kind contributions, as well as expenditures from the grantee. The matching funds may be cash, in-kind or donated services or equipment. Public Law 93-638 authorizes tribal organizations contracting under the authority of Title I to use funds received under the Indian Self-Determination Act as matching funds. Matching funds may not include: 1) payment for treatment services or the donation of treatment services; 2) services assisted or subsidized by the Federal government; or 3) the indirect or overhead costs of an organization. All costs designated as meeting the match requirement must be documented by the applicant and will be subject to audit. Documentation of appropriate matching is to be provided in the detailed budget and narrative justification.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Financial assistance is available for a 12-month budget period and 48-month project period. Method of awarding/releasing assistance: lump sum.

Reports:

The reporting schedule will be based on the requirements listed in the funding opportunity announcement. An Annual Progress Report is due 90 days after the end of the budget period. Federal Financial Reports (SF 425) and Final Progress Report are due 90 days after the end of the budget period. In addition, minimum data elements will be submitted not more than twice a year. Cash reports are not applicable. An Annual Progress Report is due 90 days after the end of the budget period. The Annual Progress Report will describe successes, challenges and progress toward meeting program goals and objectives and program measures. An annual Federal Financial Report is due 90 days after the end of the budget period. A final FFR is due 90 days following the end of the project period. Through the annual progress report, programs will describe progress in meeting program goals, objectives and performance measures.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the program shall be retained for a minimum of 3 years, or until completion and resolution of any audit in process or pending resolution. In all cases records must be retained until resolution of any audit questions. Property records must be retained in accordance with 45 CFR 92.42.

Account Identification:

75-0094-3-1-550.

Obligations:

(Salaries) FY 12 \$0; FY 13 est \$16,000,000; and FY 14 est \$16,000,000

Range and Average of Financial Assistance:

Awards will range from approximately \$500,000 to \$2,000,000 with an average of approximately \$780,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Deborah Borbely 4770 Buford Hwy, MS F-72, Atlanta, Georgia 30341 Email: dvc2@cdc.gov Phone: 770-488-8404

Website Address:

http://www.cdc.gov

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Phase I Review: All eligible applications will be initially reviewed for completeness by the CDC's Procurement and Grants Office (PGO) staff. In addition, eligible applications will be jointly reviewed for responsiveness by the CDC NCCDPHP and PGO. Incomplete applications and applications that are non-responsive to the eligibility criteria will not advance to Phase II review. Applicants will be notified that the application did not meet eligibility and/or published submission requirements.

Phase II Review: An objective review panel will evaluate complete and responsive applications according to the criteria listed in the criteria section of the FOA. Applicants will be notified electronically if the application did not meet eligibility and/or published submission requirements thirty (30) days after the completion of Phase II review. Applications will be funded in order by score and rank determined by the review panel.

93.095 HHS PROGRAMS FOR DISASTER RELIEF APPROPRIATIONS ACT - NON CONSTRUCTION**FEDERAL AGENCY:**

Department of Health and Human Services

AUTHORIZATION:

Relief Appropriations Act of 2013, Public Law 113-2.

OBJECTIVES:

As written in the Relief Appropriations Act of 2013, this program will fund disaster response and recovery, and other expenses directly related to Hurricane Sandy, which are in the FEMA-declared major disaster states, relevant to the following:

The Head Start program, but not including the base grant and not subject to allocation requirements of section 640(a) of the Head Start Act;

Social Services Block Grant, in addition to the entitlement grants;

Health services (including mental health services);

Costs of renovating, repairing, or rebuilding health care facilities, child care facilities, or other social services facilities;

Supporting the repair or rebuilding of non-Federal biomedical or behavioral research facilities.

TYPES OF ASSISTANCE:

Cooperative Agreements; Formula Grants; Project Grants

USES AND USE RESTRICTIONS:

These funds can be used for research, renovation and repair, constructions, training, and counseling to address the objectives identified in section 050. Funds appropriated in this paragraph shall not be available for costs that are reimbursed by the Federal Emergency Management Agency, under a contract for insurance, or by self-insurance;

Amounts are designated by the Congress as being for an emergency requirement pursuant to section 251(b)(2)(A)(i) of the Balanced Budget and Emergency Deficit Control Act of 1985; and

In accordance with OMB guidance and the disaster appropriation, benefits of this project must be restricted to all or part of the FEMA declared major disaster states, which are: Connecticut, Delaware, Maryland, Massachusetts, New

Hampshire, New Jersey, New York, Ohio, Pennsylvania, Rhode Island, Virginia, West Virginia and the District of Columbia. All funds except those going to Social Services Block Grants or Head Start grantees, both through Administration for Children and Families.

Applicant Eligibility:

For Head Start and Social Services Block Grant, applicants must be current grantees. Applicants will be required to attest (at time of application) that funds requested will not be used for costs that are reimbursed by the Federal Emergency Management Agency, under a contract for insurance, or by self-insurance. Terms and Conditions of the award will stipulate that the grantee must reimburse HHS for any costs that are subsequently covered by the Federal Emergency Management Agency, under a contract for insurance, or by self-insurance. Definition: Self-Insurance is a formal plan, pursuant to law or regulation, in which amounts are set aside in a fund to cover losses of specified types and amounts, typically by a commercial insurance company. Appropriated funds are precluded from expenses that are or can be reimbursed by the formal self-insurance plan.

Beneficiary Eligibility:

Beneficiaries must be those impacted by Hurricane Sandy in 2012.

Credentials/Documentation:

Applicants will be required to attest (at time of application) that funds requested will not be used for costs that are reimbursed by the Federal Emergency Management Agency, under a contract for insurance, or by self-insurance. Terms and Conditions of the award will stipulate that the grantee must reimburse HHS for any costs that are subsequently covered by the Federal Emergency Management Agency, under a contract for insurance, or by self-insurance. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

See individual Funding Opportunity Announcements (FOAs) for specific information. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. See individual Funding Opportunity Announcements (FOAs) for specific information.

Award Procedure:

See individual Funding Opportunity Announcements (FOAs) for specific information.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

See individual Funding Opportunity Announcements (FOAs) for specific information.

Appeals:

See individual Funding Opportunity Announcements (FOAs) for specific information.

Renewals:

Renewals and extensions are not allowed, unless a waiver is received.

Formula and Matching Requirements:

Statutory Formula: See individual agencies for specific information.

This program has no matching requirements.

This program has MOE requirements, see funding agency for further details.

See individual Funding Opportunity Announcements (FOAs) for specific information.

Length and Time Phasing of Assistance:

All funds awarded must be obligated and outlaid within a 24-month period after the award date. Automatic No Costs Extensions are prohibited. Procedures for requesting a waiver will be identified in the terms and conditions of the award. See the following for information on how assistance is awarded/released: The project period and budget period will be up to 24 months. See individual Funding Opportunity Announcements (FOAs) for additional information.

Reports:

See individual FOAs for specific information on program reports. See individual FOAs for specific information on cash reports. See individual FOAs for specific information on progress reports. See individual FOAs for specific information on expenditure reports. See individual FOAs for specific information on performance monitoring.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

All records must be maintained for at least three years after the end of the project period or until all audit or compliance issues are resolved, whichever is latest.

Account Identification:

75-1536-0-1-506 - Head Start; 75-1534-0-1-506 - Social Services Block Grant; 75-0862-0-1-552 - National Institute of Environmental Health Service; 75-0846-0-1-552 - National Institutes of Health - OD; 75-0140-0-1-551 - Emergency Fund.

Obligations:

(Project Grants) FY 12 \$0; FY 13 est \$536,905,912; and FY 14 est \$101,330,000 - Agencies receiving funds are: Administration for Children and Families (ACF), Office of the Assistant Secretary for Preparedness and Response (ASPR), Centers for Disease Control and Prevention (CDC), National Institutes of Health (NIH), and Substance Abuse and Mental Health Services Administration (SAMHSA).

Range and Average of Financial Assistance:

See individual Funding Opportunity Announcements (FOAs) for specific information.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Kathy Killian 200 Independence Ave, SW, Washington, District of Columbia 20201 Email: Kathy.killian@hhs.gov Phone: 202-205-1226

Website Address:

<http://www.hhs.gov>

RELATED PROGRAMS:

93.600 Head Start; 93.667 Social Services Block Grant

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

See individual Funding Opportunity Announcements (FOAs) for specific information.

93.096 HHS PROGRAMS FOR DISASTER RELIEF APPROPRIATIONS ACT - CONSTRUCTION

FEDERAL AGENCY:

Department of Health and Human Services

AUTHORIZATION:

Relief Appropriations Act of 2013, Public Law 113-2.

OBJECTIVES:

As written in the Relief Appropriations Act of 2013, this program will fund disaster response and recovery, and other expenses directly related to Hurricane Sandy, which are in the FEMA-declared major disaster states, relevant to the

following:

Costs of renovating, repairing, or rebuilding health care facilities, child care facilities, or other social services facilities; and Supporting the repair or rebuilding of non-Federal biomedical or behavioral research facilities.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

These funds can be used for research, renovation and repair, constructions, and training to address the objectives identified in section 050. Funds appropriated in this paragraph shall not be available for costs that are reimbursed by the Federal Emergency Management Agency, under a contract for insurance, or by self-insurance;

Amounts are designated by the Congress as being for an emergency requirement pursuant to section 251(b)(2)(A)(i) of the Balanced Budget and Emergency Deficit Control Act of 1985; and

In accordance with OMB guidance and the disaster appropriation, benefits of this project must be restricted to all or part of the FEMA declared major disaster states, which are: Connecticut, Delaware, Maryland, Massachusetts, New Hampshire, New Jersey, New York, Ohio, Pennsylvania, Rhode Island, Virginia, West Virginia and the District of Columbia. See individual Funding Opportunity Announcements for additional information.

Applicant Eligibility:

Applicants will be required to attest (at time of application) that funds requested will not be used for costs that are reimbursed by the Federal Emergency Management Agency, under a contract for insurance, or by self-insurance. Terms and Conditions of the award will stipulate that the grantee must reimburse HHS for any costs that are subsequently covered by the Federal Emergency Management Agency, under a contract for insurance, or by self-insurance.

Beneficiary Eligibility:

Beneficiaries must be those impacted by Hurricane Sandy in 2012.

Credentials/Documentation:

Applicants will be required to attest (at time of application) that funds requested will not be used for costs that are reimbursed by the Federal Emergency Management Agency, under a contract for insurance, or by self-insurance. Terms and Conditions of the award will stipulate that the grantee must reimburse HHS for any costs that are subsequently covered by the Federal Emergency Management Agency, under a contract for insurance, or by self-insurance. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

See individual Funding Opportunity Announcements for specific information on environmental impact information, intergovernmental review, and other preapplication coordination. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. See individual Funding Opportunity Announcements for specific information on OMB circulars and application procedures.

Award Procedure:

See individual Funding Opportunity Announcements for specific information on awards procedures.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

See individual Funding Opportunity Announcements for specific information.

Appeals:

See individual Funding Opportunity Announcements for specific information.

Renewals:

Renewals and extensions are not allowed, unless a waiver is received.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program has MOE requirements, see funding agency for further details. See individual Funding Opportunity Announcements for specific information.

Length and Time Phasing of Assistance:

Unless noted otherwise in the Funding Opportunity Announcement, all funds awarded must be obligated and outlaid within a 24-month period after the award date. Automatic No Costs Extensions are prohibited. Procedures for requesting a waiver will be identified in the terms and conditions of the award. See the following for information on how assistance is awarded/released: The project period and budget period will be up to 24 months. See individual Funding Opportunity Announcements for additional information.

Reports:

See individual Funding Opportunity Announcements (FOAs) for additional information on program reports. See individual FOAs for additional information on cash reports. See individual FOAs for additional information on progress reports. See individual FOAs for additional information on expenditure reports. See individual FOAs for additional information on performance monitoring.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. See individual Funding Opportunity Announcements for additional information on audits.

Records:

All records must be maintained for at least three years after the end of the project period or until all audit or compliance issues are resolved, whichever is latest.

Account Identification:

75-0846-0-1-552.

Obligations:

(Project Grants) FY 12 \$0; FY 13 est \$0; and FY 14 est \$66,400,000 - Construction grants through National Institutes of Health.

Range and Average of Financial Assistance:

See individual Funding Opportunity Announcements for specific information.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Kathy Killian 200 Independence Ave., SW, Washington, District of Columbia 20201 Email: Kathy.killian@hhs.gov Phone: 202-205-1226

Website Address:

<http://www.hhs.gov>

RELATED PROGRAMS:

93.095 HHS Programs for Disaster Relief Appropriations Act - Non Construction

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

See individual Funding Opportunity Announcements for specific information.

93.097 STRENGTHENING THE NATION'S PUBLIC HEALTH SYSTEM THROUGH A NATIONAL VOLUNTARY ACCREDITATION PROGRAM**FOR STATE, TRIBAL, LOCAL AND TERRITORIAL HEALTH DEPARTMENTS**

A National Voluntary Accreditation Program for State, Tribal, Local and Territorial Health Departments

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

This program is authorized under section 317(k) (2) of the Public Health Service Act [42 U.S.C. 247(b)(k)(2)].

OBJECTIVES:

This project will support the operations and continuous improvement of a national accreditation program for state, tribal, local and territorial public health departments. This program will result in increased visibility for accreditation, a stronger public health accreditation program, increased demonstration of accountability of public health programs to decision makers and to the public, increased adoption of evidence based practices, increased use of quality improvement, improved capacity for state, tribal, local and territorial health departments to meet nationally established accreditation standards, and increased recognition of public health roles and value by leaders and the public.

Specific objectives may/will include but are not limited to:

1. Support communications and education regarding the accreditation program.
2. Establish a process of continuous improvement of the accreditation standards, tools, and processes to ensure a relevant, current and smoothly functioning program (all elements of the current program can be found at www.phaboard.org).
3. Strengthen strategic partnerships to support accreditation.
4. Strengthen the evidence base for accreditation.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Project funds may be used for costs associated with planning, organizing, conducting, and supporting the National Voluntary Accreditation Program for State, Tribal, Local and Territorial Health Departments, and for the implementation of the Public Health Accreditation Board (PHAB) program elements as described in Section 050 above and through CDC allowable and unallowable expenses. Per CDC-RFA-OT13-1301, Part II Section D.15 Funding Restrictions, page 27. Detailed budget restrictions will be specified in corresponding most recent Notice of Award. Public Health assigns these funds for this Budget Activity.

Applicant Eligibility:

1. Eligible Applicants: Public Health Accreditation Board (PHAB), a nonprofit with 501(c)3 IRS status.
2. Special Eligibility Requirements: The only eligible applicant for this award is the Public Health Accreditation Board (PHAB), a 501(c)3 independent accrediting body that administers the national voluntary public health department accreditation program.
3. Justification for Less than Maximum Competition: The nature of the activities under this award are best employed by focusing on the entity to which this cooperative agreement is limited, per memorandum for approval for single-eligibility competition, which was approved and dated December 20, 2012.

PHAB was found to be uniquely qualified, as it is the organization that has been established as the national accrediting body for state, tribal, local and territorial health departments. PHAB was determined to have the experience and program infrastructure, which includes field-driven and tested standards, measures and accreditation assessment process. PHAB is also widely accepted as the national accrediting body for state, local tribal and territorial health departments by the constituency organizations for these agencies and as evidenced by the visible mention of PHAB within national policy documents (e.g., Institute of Medicine reports, Healthy People 2020).

Beneficiary Eligibility:

State, Tribal, Local and Territorial Public Health Departments via a National Voluntary Accreditation Program administered by the Public Health Accreditation Board (PHAB), a 501(c)3 independent accrediting entity.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

Phase I Review: Eligible application will be initially reviewed for completeness by the CDC's Procurement and Grants Office (PGO) staff. In addition, eligible application will be jointly reviewed for responsiveness by CDC OSTLTS and PGO. Incomplete and/or non-responsive application to the eligibility criteria will not advance to Phase II review. Applicant will be notified that the application did not meet eligibility and/or published submission requirements.

Phase II Review: An objective review panel will evaluate complete and responsive application according to the criteria listed in the criteria section of the FOA. Applicant will be notified electronically if the application did not meet eligibility and/or published submission requirements thirty (30) days after the completion of Phase II review.

Successful applicant will receive a Notice of Award (NoA) from the CDC Procurement and Grants Office. The NoA shall be the only binding, authorizing document between the recipient and CDC. The NoA will be signed by an authorized CDC Grants Management Officer.

Deadlines:

Jul 01, 2013 to Jul 30, 2018: Five year project period.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Renewals will require a yearly continuation application based upon availability of funds and programmatic compliance.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Financial assistance is available for a 12-month budget period, within the five-year project period. As specified in Notice of Award: Lump sum upon completing program activities. Method of awarding/releasing assistance: lump sum.

Reports:

Per Funding Opportunity Announcement CDC-RFA-OT13-1301, Part II Section F.3 Reporting, page 33, and as additionally specified/detailed/listed in Notice of Award. No cash reports are required. Awardees must submit one report per year; ongoing performance measures data, administrative reports, and a final performance and financial report. The Annual Performance Report (due 120 days before the end of the budget period and serves as a continuation application).. Preapproved budget tracking and reconciliation as part of Progress Report. Performance Measures (including outcomes) Awardees must report on performance measures for each budget period and update measures, if needed.

Performance Measure Reporting: Awardees is required to submit performance measures at least annually. CDC may require more frequent reporting of

performance measures. Performance measure reporting should be limited to the collection and reporting of data.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the program shall be retained for a minimum of 3 years, or until completion and resolution of any audit in process or pending resolution. In all cases records must be retained until resolution of any audit questions. Property records must be retained in accordance with 45 CFR 92.42.

Account Identification:

75-0943-0-1-551.

Obligations:

(Cooperative Agreements) FY 12 Not Available; FY 13 est \$900,000; and FY 14 est \$900,000

Range and Average of Financial Assistance:

1 award at an approximate average award of: \$900,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Per Funding Opportunity Announcement CDC-RFA-OT13-1301, and as additionally specified/detailed/listed in most current Notice of Award, and program elements/requirements found in PHAB National Voluntary Public Health Departments Accreditation Program (www.phaboard.org)

Regional or Local Office:

None.

Headquarters Office:

Samuel Taveras 1600 Clifton Road, MS-E70, Atlanta, Georgia 30333 Email: syt2@cdc.gov Phone: 770-488-1523

Website Address:

<http://www.cdc.gov>

RELATED PROGRAMS:

93.507 Strengthening Public Health Infrastructure for Improved Health Outcomes; 93.524 The Affordable Care Act (ACA): Capacity Building Assistance to Strengthen Public Health Infrastructure and Performance

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

As specified in Funding Opportunity Announcement CDC-RFA-OT13-1301 Application Review and Selection Process listed in Section (093) Award Procedure above.

93.098 TRIBAL PUBLIC HEALTH CAPACITY BUILDING AND QUALITY IMPROVEMENT

Tribal Public Health Capacity Building and Quality Improvement (CBQI)

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

This program is authorized under section 317(k)(2) of the Public Health Service Act [42 USC 247(b)(k)(2), as amended]. In addition, this program is authorized under Executive Order 13175 [<http://www.gsa.gov/portal/content/101569>]. AR-15: Proof of Non-profit Status applies.

OBJECTIVES:

This program will provide funding to improve tribal health systems quality,

effectiveness, and efficiency in the delivery of public health services to American Indians/Alaska Natives (AI/AN). The intent is to provide support to optimize the quality and performance of tribal public health systems, tribal public health practice and services, tribal public health partnerships, and tribal public health resources.

Specifically, assistance will be provided in the following two Priority Areas: (1) tribal public health capacity building, and (2) performance evaluation and monitoring of programs. Through this FOA, CDC seeks to fund eligible federally recognized tribes and/or tribally designated organizations, whose mission is to improve the health status of Native people to provide capacity building in strengthening tribal health systems, and evaluating and monitoring awarded tribal public health capacity building projects in the AI/AN communities.

Specific objectives and outcomes may/will include but are not limited to:

- 1) Decrease burden of disease over a five year period among AI/AN ;
- 2) Increase efficiencies and effectiveness of tribal public health systems;
- 3) Increase quantity and quality of tribal public health services and cost effectiveness;
- 4) Increase dissemination of proven interventions for tribal public health services to AI/AN persons;
- 5) Increase adoption of effective strategies among Tribal public health systems implementation, cultural adaption, and fidelity factors with evidence based models.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Project funds may be used for allowable costs associated with planning, organizing, conducting, and supporting the implementation of The Tribal Public Health Capacity Building and Quality Improvement (CBQI) program elements described in Section (050) above in compliance with HHS/CDC allowable expenses. Per CDC-RFA-OT13-1303, Part II Section D.15 Funding Restrictions, page 30. Detailed budget restrictions will be detailed and specified in corresponding most recent Notice of Award. This award is funded from OSTLTS base appropriated funds.

Applicant Eligibility:

This program will provide funding to improve tribal health systems quality, effectiveness, and efficiency in the delivery of public health services to American Indians/Alaska Natives (AI/AN). The intent is to provide support to optimize the quality and performance of tribal public health systems, tribal public health practice and services, tribal public health partnerships, and tribal public health resources.

Beneficiary Eligibility:

Tribal Public Health Capacity Building and Quality Improvement Infrastructure and Tribal Entities and Organizations as described/listed in Section (080) Eligibility Requirements, above.

Credentials/Documentation:

All eligible applicants must be able to demonstrate the following:

- 1) Have experience effectively working with AI/AN populations, and
- 2) Have the ability to methodically and efficiently reach tribal members in AI/AN communities.

All eligible applicants must describe their experience in effectively delivering AI/AN culturally-specific programs and have a proven record of demonstrated success in monitoring and evaluation of the same AI/AN culturally-specific programs. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

Phase I Review: Eligible applications will be initially reviewed for completeness by the CDC's Procurement and Grants Office (PGO) staff. In addition, eligible applications will be jointly reviewed for responsiveness by CDC OSTLTS and PGO. Incomplete and/or non-responsive applications to the eligibility criteria will not advance to Phase II review. Applicants will be notified that the application did not meet eligibility and/or published submission requirements. Phase II Review: An objective review panel will evaluate complete and responsive applications according to the criteria listed in the criteria section of the FOA. Applicants will be notified electronically if the application did not meet eligibility and/or published submission requirements thirty (30) days after the completion of Phase II review. Successful applicants will receive a Notice of Award (NoA) from the CDC Procurement and Grants Office (PGO). The NoA shall be the only binding, authorizing document between the recipient and CDC. The NoA will be signed by an authorized CDC Grants Management Officer.

Deadlines:

Sep 01, 2013 to Aug 31, 2018 Contact the headquarters or regional office, as appropriate for application deadlines or refer to most recent CDC-RFA-OT13-1303 FOA Announcement.

Range of Approval/Disapproval Time:

From 60 to 90 days. Within 60 to 90 days after publication on Grants.gov.

Appeals:

Not Applicable.

Renewals:

Renewals for FY2014-2018 will require a yearly continuation application based upon availability of funds and programmatic compliance.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Financial assistance is available for 12-months (In Five 12-month budget periods), within the five-year project period. Funds Released: As specified in most recent Notice of Award: Lump sum upon completing program activities. Method of awarding/releasing assistance: lump sum.

Reports:

Per Funding Opportunity Announcement CDC-RFA-OT13-1303, Part II Section F.3 Reporting, page 36, and as additionally specified/detailed/listed in most recent corresponding Notice of Award. No cash reports are required. Awardees must submit one report per year; ongoing performance measures data, administrative reports, and a final performance and financial report. The Annual Performance Report (due 120 days before the end of the budget period and serves as a continuation application). Preapproved budget tracking and reconciliation as part of Progress Report. Performance Measures (including outcomes) Awardees must report on performance measures for each budget period and update measures, if needed. Performance Measure Reporting: Awardees is required to submit performance measures at least annually. CDC may require more frequent reporting of performance measures, as needed. Performance measure reporting should be limited to the collection and reporting of data.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 24, 1997), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that receive financial assistance of \$300,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$300,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audit info.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the program shall be retained for a minimum of 3 years, or until completion and resolution of any audit in process or pending resolution. In all cases records must be retained until resolution of any audit questions. Property records must be retained in accordance with 45 CFR 92.42.

Account Identification:

75-0943-0-1-551.

Obligations:

(Cooperative Agreements) FY 12 \$0; FY 13 est \$585,000; and FY 14 est \$585,000

Range and Average of Financial Assistance:

Subject to the availability of Funds; Fiscal Year: 2013-2018, Approximate Total Fiscal Year Funding: \$585,000, Approximate Average Award: \$97,500, Approximate Total Project Period Funding: \$2,925,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Per Funding Opportunity Announcement CDC-RFA-OT13-1303, additional applicable HHS/CDC regulations, and requirements specified, detailed, listed in most recent corresponding Notice of Award.

Regional or Local Office:

None.

Headquarters Office:

Kimberly Cantrell Department of Health and Human Services
Centers for Disease Control and Prevention
4770 Buford Hwy, NE MS: E70
, Atlanta, Georgia 30341 Email: klw6@cdc.gov Phone: 404-498-0411

Website Address:

<http://www.cdc.gov>

RELATED PROGRAMS:

93.507 Strengthening Public Health Infrastructure for Improved Health Outcomes; 93.524 The Affordable Care Act (ACA): Capacity Building Assistance to Strengthen Public Health Infrastructure and Performance

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

As specified in Funding Opportunity Announcement CDC-RFA-OT13-1303, Section Part II.E, Pages 32-34, Application Review and Selection Process listed and summarized in Award Procedure Section (093) above.

93.099 COLLABORATION WITH THE WORLD HEALTH ORGANIZATION AND ITS REGIONAL OFFICES FOR GLOBAL HEALTH SECURITY AND THE INTERNATIONAL HEALTH REGULATIONS (IHR 2005)**FEDERAL AGENCY:**

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Section 307 as amended [42 U.S.C. 241 and 2421].

OBJECTIVES:

On September 19, 2011, the Government of the United States of America and the World Health Organization (WHO) signed a memorandum of understanding (MOU) to help WHO Member States strengthen their capabilities to support the International Health Regulations (IHR), an international agreement that requires Member States to prevent and respond to acute public health risks that have the potential to cross borders. The MOU includes specific statements that authorize WHO and its regional offices to pursue activities that improve the ability of all nations to detect, report, and respond to infectious diseases quickly and accurately. The purpose is to assist WHO in implementing the MOU regarding the International Health Regulations, including the development and

implementation of coordinated plans and networks that enable national governments and regional authorities globally to better address infectious diseases, particularly emerging infections. Specific activities will focus on improving infectious disease surveillance and response; enhancing knowledge generation, innovation, and tools for improved management that build public health infrastructure and strengthen regional health leadership and collaboration; sharing knowledge, tools and other resources in support of applied epidemiology; and developing improved infectious disease surveillance, prevention and control strategies.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Support implementation of the IHR by analyzing regional, national, and local resources devoted to infectious diseases and identifying areas for implementation of infectious disease activities consistent with the purpose of this cooperative agreement. Develop, implement, and conduct projects to enhance infectious disease surveillance, response, prevention, and control. Strengthen regional and national public health networks and inter-sectorial co-operation and collaborate with other health organizations, academic institutions, and community groups as appropriate to accomplish program activities. Strengthen regional and national public health leadership and collaboration. Monitor and evaluate overall program performance and the progress of individual projects or activities. Publish and disseminate findings, program evaluations, and lessons learned. Recipients may not use funds for research.

Recipients may not use funds for clinical care.

Recipients may only expend funds for reasonable program purposes, including personnel, travel, supplies, and services, such as contractual.

Awardees may not generally use HHS/CDC/ATSDR funding for the purchase of furniture or equipment. Any such proposed spending must be identified in the budget.

The direct and primary recipient in a cooperative agreement program must perform a substantial role in carrying out project objectives and not merely serve as a conduit for an award to another party or provider who is ineligible.

Reimbursement of pre-award costs is not allowed.

Applicant Eligibility:

Only the World Health Organization and its regional offices are eligible to apply. For purposes of this document, the term WHO includes its regional offices (e.g., PAHO, EMRO, AFRO, SEARO, etc). WHO is the only international and intergovernmental agency qualified to conduct activities under this cooperative agreement because:

WHO is an international public health agency that works to improve health and living standards globally. WHO is part of the United Nations system. As such, WHO has a unique position among the worlds health agencies as the lead technical agency for health globally. For additional information, please see <http://www.who.org>

WHO and its Regional Offices are at the apex of global public health organizations and thus have an exclusive status as the United States governments key partners for global public health.

On September 19, 2011, the Government of the United States of America and the World Health Organization signed a memorandum of understanding (MOU) to help developing nations strengthen their capabilities to support the International Health Regulations (IHR). The IHR (2005) is an international agreement that requires WHO Member States to prevent and respond to acute public health risks that have the potential to cross borders and threaten people worldwide. The U.S. Government and WHO share a commitment to strengthen cooperation in the area of global health security to ensure that the international community effectively manages public health risks. Improving the ability of all nations to detect, report, and respond to infectious diseases quickly and accurately lies at the heart of the global communitys ability to address all infectious disease threats, as reflected in the IHR. The MOU establishes a framework for collaboration in line with the provisions set forth in the IHR, which provides a construct for coordinating the management of actions in the event of a public health emergency of international concern. The MOU was signed by Secretary Kathleen Sebelius, Department of Health and Human Services, and Director-General Margaret Chan, World Health Organization, and subsequently by Ambassador Betty E. King, United States Permanent

Representative to the United Nations in Geneva. The full text of the MOU can be accessed at:

<http://www.globalhealth.gov/global-health-topics/health-diplomacy/agreements-and-regulations/20110922-mem.html>.

The MOU includes the following specific statements that support sole-source consideration of WHO and its regional offices (including PAHO) for funding activities that fall under the scope of the MOU: Section 8.a.: The United States Department of Health and Human Services (DHHS) continues to serve as the primary substantive interface with WHO on global health and health security. DHHS plans to ensure coordination with other U.S. Government departments, agencies, and entities that collaborate on health matters within the scope of this Memorandum to enhance the effectiveness of such activities. The U.S. Government agencies intend to develop programs and provide funds through mechanisms that may be determined jointly by the U.S. Government agency and WHO. Section 8.b.: Specific funding mechanisms are intended to be developed and U.S. Government agencies intend to collaborate with WHO to define specific work to be undertaken by the Participants and the division of responsibilities..

Beneficiary Eligibility:

The World Health Organization and its regional offices work will benefit individuals worldwide, including in the U.S., through collaboration with National Ministries of Health and other organizations/institutions.

The purpose is to support WHO to reduce the global disease burden through improved international capacity to detect and respond to emerging infectious diseases and other threats.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Applicants must download and submit the SF424 application package associated with specific Funding Opportunity Announcements (FOAs) issued by CDC via Grants.gov. Specific application instructions will be included in the FOAs. Applications are not solicited nor will they be accepted outside of a published FOA process.

Award Procedure:

Eligible applications submitted in response to published FOAs will be initially reviewed for completeness by the Procurement and Grants Office (PGO) staff. In addition, eligible applications will be jointly reviewed for responsiveness by CDC program staff and PGO. Incomplete applications and applications that are non-responsive to the eligibility criteria will not advance through the review process. Applicants will be notified the application did not meet eligibility and/or published submission requirements. A review will be conducted to evaluate the eligible, complete, and responsive applications according to the criteria listed in the applicable FOA. As part of the review process, the applicant will receive a written Technical Review that summarizes the review findings regarding strengths, weaknesses, and recommendations. The eligible application will be selected and funded based on the findings of the review process. Successful applicants will receive a Notice of Award (NoA) from the CDC Procurement and Grants Office. The NoA shall be the only binding, authorizing document between the recipient and CDC. Unsuccessful applicants will receive notification of the results of the application review by mail. here to enter text.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Not Applicable.

Renewals:

Awards under this Program are typically issued for 1- to 5-year project periods. Renewals at the end of each project period are expected to be made available via publishing of a new/continuation FOA with a complete application and review/award process as described above. Annual renewals within an awarded multi-year project period are made via a non-competitive process.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. This program has no matching requirements. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Assistance is available for a 12-month budget period within a 1- to 5- year project period. After awards are issued, funds are released in a manner and timeline in accordance with the payment procedure established by the grantee institution with DHHS (typically the Payment Management System). See the following for information on how assistance is awarded/released: Funding is awarded in accordance with established procedures for HHS/CDC-funded cooperative agreements.

Reports:

Federal Funding Accountability And Transparency Act Of 2006 (FFATA): Public Law 109-282, the Federal Funding Accountability and Transparency Act of 2006 as amended (FFATA), requires full disclosure of all entities and organizations receiving Federal funds including grants, contracts, loans and other assistance and payments through a single publicly accessible Web site, www.USASpending.gov. The Web site includes information on each Federal financial assistance award and contract over \$25,000, including such information as:

1. The name of the entity receiving the award
2. The amount of the award
3. Information on the award including transaction type, funding agency, etc.
4. The location of the entity receiving the award
5. A unique identifier of the entity receiving the award; and
6. Names and compensation of highly-compensated officers (as applicable)

Compliance with this law is primarily the responsibility of the Federal agency. However, two elements of the law require information to be collected and reported by recipients: 1) information on executive compensation when not already reported through the Central Contractor Registry; and 2) similar information on all sub-awards/subcontracts/consortiums over \$25,000.

For the full text of the requirements under the Federal Funding Accountability and Transparency Act of 2006, please review the following website: http://frwebgate.access.gpo.gov/cgi-bin/getdoc.cgi?dbname=109_cong_bills&docid=f:s2590enr.txt.pdf .

Each funded applicant must provide CDC with an annual Interim Progress Report submitted via www.grants.gov:

1. The interim progress report is due no less than 90 days before the end of the budget period. The Interim Progress Report will serve as the non-competing continuation application, and must contain the following elements:
 - a. Standard Form (SF) 424S Form.
 - b. SF-424A Budget Information-Non-Construction Programs.
 - c. Budget Narrative.
 - d. Indirect Cost Rate Agreement.
 - e. Project Narrative.

Additionally, funded applicants must provide CDC with an original, plus two hard copies of the following reports:

2. Financial Status Report* (SF 269) no more than 90 days after the end of the budget period.
3. Final performance and Financial Status Reports*, no more than 90 days after the end of the project period.

*Disclaimer: As of February 1, 2011, current Financial Status Report (FSR) requirements will be obsolete. Existing practices will be updated to reflect

changes for implementation of the new Federal Financial Reporting (FFR) requirements.

These reports must be submitted to the attention of the Grants Management Specialist listed in the Section VII below entitled Agency Contacts. Cash reports are not applicable. Described above. Described above. Described above.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. A-122 and/or international grant language should be cited... not A-133

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the project must be kept readily available for review by personnel authorized to examine PHS grant accounts. Records must be maintained for a minimum of 3 years after the end of a budget period. If questions still remain, such as those raised as a result of an audit, records must be retained until completion or resolution of any audit in process or pending resolution. Property records must be retained in accordance with PHS Grants Policy Statement requirements.

Account Identification:

75-0943-0-1-550 - CDC annual appropriations.

Obligations:

(Cooperative Agreements) FY 12 \$0; FY 13 est \$8,950,000; and FY 14 Estimate Not Available - Up until the new program (93.099) was created in FY 2013, awards to WHO were made under program 93.283.

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: n/a. Fiscal Year 2013: It is anticipated that two applications will be received for new program and two awards will be granted. Fiscal Year 2014: It is anticipated that two applications will be received and two awards will be granted.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations governing this program are published in the specific Funding Opportunity Announcements and identified on the notices of award.

Regional or Local Office:

None.

Headquarters Office:

Peter Crippen Department of Health and Human Services
Centers for Disease Control and Prevention
1600 Clifton Road, NE, MS C-18, Atlanta, Georgia 30333 Email:
PCrippen@cdc.gov Phone: 404-639-1327

Website Address:

<http://www.cdc.gov>

RELATED PROGRAMS:

93.283 Centers for Disease Control and Prevention_ Investigations and Technical Assistance

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: Funds will be awarded to WHO and its regional offices for projects to assist them and their member countries implement and comply with the International Health Regulations (IHR 2005), including the development and implementation of coordinated plans and networks that enable national governments and regional authorities globally to better address infectious diseases, particularly emerging infections. Other projects may focus on improving infectious disease surveillance and response; enhancing knowledge generation, innovation, and tools for improved management that build public health infrastructure and strengthen regional health leadership and collaboration; sharing knowledge, tools and other resources in support of applied epidemiology; and developing improved infectious disease surveillance, prevention and control strategies. Fiscal Year 2014: See FY 2013 projection.

CRITERIA FOR SELECTING PROPOSALS:

Applications are reviewed against specific Evaluation Criteria published in the

Funding Opportunity Announcements under this program. Evaluation Criteria typically evaluates how well the application demonstrates or illustrates quality, experience, etc., in the areas of background/understanding of the public health problem(s), operational plan, technical approach, plan for evaluation and monitoring, staffing, appropriateness of requested budget, etc.

93.103 FOOD AND DRUG ADMINISTRATION_RESEARCH

General Grant Funding Program 93.103

FEDERAL AGENCY:

Food and Drug Administration, Department of Health and Human Services

AUTHORIZATION:

Radiation Control for health Safety Act of 1968, Title XVII, Public Law 90-602, 42 U.S.C 300u; 2012 Defense Authorization Act (P.L.112-81), Public Law 112-81; Public Health Service Act as amended, Title III, Section 301(c), Public Law 78-410, 42 U.S.C 241 and 263; Pediatric Medical Device Safety and Improvement Act of 2007, Section 305.

OBJECTIVES:

To assist institutions and organizations, to establish, expand, and improve research, demonstration, education and information dissemination activities; acquired immunodeficiency syndrome (AIDS), biologics, blood and blood products, therapeutics, vaccines and allergenic projects; drug hazards, human and veterinary drugs, clinical trials on drugs and devices for orphan products development; nutrition, sanitation and microbiological hazards; medical devices and diagnostic products, radiation emitting devices and materials; food safety and food additives. These programs are supported directly or indirectly by the following Centers and Offices: Center for Biologics Evaluation and Research (CBER); Center for Drug Evaluation and Research (CDER); Center for Devices and Radiological Health (CDRH); Center for Veterinary Medicine (CVM), Center for Food Safety and Applied Nutrition (CFSAN), National Center for Toxicological Research (NCTR), the Office of Orphan Products Development (OPD), the Center for Tobacco Products (CTP), and Office of Regulatory Affairs (ORA), and the Office of the Commissioner (OC). Small Business Innovation Research (SBIR) Programs: to stimulate technological innovation; to encourage the role of small business to meet Federal research and development needs; to increase private sector commercialization of innovations derived from Federal research and development; and to foster and encourage participation by minority and disadvantaged persons in technological innovation. Funding support for scientific conferences that are relevant to the FDA scientific mission and public health are also available.

TYPES OF ASSISTANCE:

Cooperative Agreements; Project Grants; Project Grants (for specified projects)

USES AND USE RESTRICTIONS:

Research grants are awarded to eligible institutions in the name of a principal investigator for a discrete project representing the investigator's interest and competence and are responsive to programmatic requirements as stated in the Funding Opportunity Announcement (FOA). Funds are to be used for costs as identified in the FOA and as directly allocable, necessary and reasonable to carry out the proposed research aims and objectives. Small Business Innovation Research (SBIR) Phase I grants are to establish the technical merit and feasibility of a proposed research effort that may lead to a commercial product or process. SBIR Phase II grants for this program are for the competitive continuation of the research initiated in Phase I, and are likely to result in commercial products or processes. Only Phase I awardees are eligible to apply for Phase II support. Conference grants provide partial support for domestic scientific conferences related to the FDA mission. As stated in the Funding Opportunity Announcement and Terms and Conditions of award.

Applicant Eligibility:

The grants are available to domestic, and may be available to foreign, public or private, for-profit or non-profit entity (including State and local units of government). Federal agencies that are not part of HHS may apply. Organizations that engage in lobbying activities, as described in section 501 (c) (4) of the Internal Revenue Code of 1968, are not eligible. Nonprofit with 501(c)(3) IRS Status (other than Institution of Higher Education); Non-domestic (non-U.S.) entity; Small Business; For-Profit Organization (other than Small Business); State Government; Regional Organization; U.S. Territory or Possession; Indian/Native American Tribal Government (Federal recognized

and other than Federally recognized); Indian/Native American Tribally Designated Organization; Faith-based or community based organizations.

Beneficiary Eligibility:

Other eligible institutions include Private/Public and State Controlled institutions of higher education including Hispanic serving Institutions; Historically Black Colleges and Universities (HBCUs); Tribally Controlled Colleges and Universities (TCCUs); Alaska Native and Native Hawaiian Serving Institutions;.

Credentials/Documentation:

All grant applications must present a research or project specific plan and provide evidence of scientific competence, experimental methods and programmatic relevance, and have access to necessary facility and resources to accomplish the proposed aims and objectives. Phase I Small Business Innovation Research applications should be an idea or concept with commercial potential; and Phase II applications should provide evidence that commercialization of the research results are plausible; for-profit organizations' with 48 CFR Subpart 31.2 of the Federal Acquisition Regulations (FAR); OMB Circular No. A-21 for educational institutions, 45 CFR 74 Appendix E for Hospitals; OMB Circular No. A-122 for nonprofit organizations and large non-profit organizations listed in Attachment C of OMB circular A-122 are subject to Federal Acquisition Regulation (FAR) 48 CFR Subpart 31.2 (A subawardee would be subject to the cost principles applicable to its type of organization). Applicants should review the individual funding opportunity announcement issued under this CFDA program for any required proof of certification which must be submitted prior to or simultaneous with submission of an application. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. The application forms referenced in the Funding Opportunity Announcement (FOA) and as required by 45 CFR 72 must be used for this program. The FOA may be published in the NIH Guide or Federal Register. All applications submitted for new, competing continuations or competing supplemental grants must complete the required applications forms through Grants.gov at <http://www.grants.gov>

Award Procedure:

Accepted research and non-research grant applications are subject to a dual review process (initial Objective Review for scientific and technical merit and a second level review by a National Advisory Council or Board) as appropriate and relevant. All approved applications compete for available funds on the basis of scientific merit and programmatic relevance.

Applications accepted under the Radiation Control for Health Safety Act of 1968, Public Law 90-602 and Small Scientific Conference grants, and the Office of Regulatory Affairs programs are evaluated under objective review procedures and not subjected to a second level review by a National Advisory Council or Board.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days. Research applications: Approximately 4 to 6 months. SBIR applications: Approximately 90 days. Conference applications: Approximately 6 to 9 months.

Appeals:

Not Applicable.

Renewals:

From 120 to 180 days. Renewals (competing continuation applications) are permitted as indicated in the published Funding Opportunity Announcements.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The length of support will depend on the nature of the projects, program relevance and the availability of funds. For those projects with an expected duration of more than 1 year, future recommended support for up to 5 years will depend on (1) performance during the proceeding year and (2) availability of Federal funds.

Small Business Innovation Research Phase I awards are generally for 6 months; Phase II awards are generally for 2 years.

Additional program time frames are stated in the Funding Opportunity Announcements. See the following for information on how assistance is awarded/released: Domestic and foreign payments are made through the DHHS Payment Management System (PMS).

Reports:

As noted in the Funding Opportunity Announcement and the Terms and Conditions of award. Cash reports are not applicable. As noted in the Funding Opportunity Announcement and the Terms and Conditions of award. As noted in the Funding Opportunity Announcement and the Terms and Conditions of award. As noted in the Funding Opportunity Announcement and the Terms and Conditions of award.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Non-Federal entities that expend \$500,000 for fiscal years ending after December 31, 2003 or more in a year in Federal awards shall have a single or program-specific audit conducted for that year in accordance with the provisions in the most recently revised Circular A133.

Records:

Progress reports, financial and programmatic records, supporting documents, statistical records and all other records that are required by the terms and conditions of the grant or may be considered pertinent to a grant expenditures and other financial records must be retained for 3 years from the day on which the grantee submits the required final financial reports for the project period. See 45 CFR 74.53 and 92.42 for exceptions and qualification to the 3 year retention requirement. See 45 CFR 74.48 and 92.36 for record retention and access requirements for contracts under grants.

Account Identification:

75-0600-0-1-554.

Obligations:

(Project Grants) FY 12 \$100,808,330; FY 13 est \$110,239,122; and FY 14 est \$127,160,643

Range and Average of Financial Assistance:

Varies by grant mechanism and program specific requirements.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR Part 52 - Grants for Research Project; 45 CFR Part 46 - Protection of Human Subjects; 45 CFR Part 74 - Uniform Administrative Requirements for Awards and Subawards to Institutions of Higher Education, Hospitals, Other Nonprofit Organizations and Commercial Organizations; and certain grants and agreements with States, Local Governments and Indian Tribal Governments; 45 CFR Part 92 - Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments; the HHS Grants Policy Statement, (Most recent revision) available at <http://dhhs.gov/asfr/ogapa/aboutog/grantsnet.html> and the Public Health Omnibus Solicitation for Small Business Innovation Research Grant

applications.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Kimberly M. Pendleton Chew 5630 Fishers Lane, Rockville, Maryland 20852
Email: kimberly.pendleton@fda.hhs.gov Phone: 3018279363

Website Address:

http://www.fda.gov

RELATED PROGRAMS:

93.058 Tobacco Regulation Awareness, Communication, and Education Program; 93.448 Food Safety and Security Monitoring Project; 93.449 Ruminant Feed Ban Support Project

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The major elements in evaluating proposals include the assessment of: (1) scientific merit and general significance of the proposed study and its objectives; (2) the technical adequacy of the experimental design and approach; (3) the competency of the proposed investigator or group to successfully pursue the project; (4) the adequacy and suitability of the available facilities and resources; (5) the necessity of the budget components requested in relation to the proposed project; and (6) the relevance to programmatic objectives as noted in the FOAs. For Small Business Innovation Research applications, the major elements in evaluating Phase I grant applications include the assessment of: (1) The technical merit of the proposed research; (2) the soundness of the proposed design and methods; (3) the qualifications of the proposed principal investigator, supporting staff, and consultants; (4) the potential of the proposed research for technological innovation and commercial application; (5) the appropriateness of the budget requested; and (6) the adequacy and suitability of the facilities and research environment. In review of Phase II applications, criteria include those listed above, in addition to the following: (1) the degree in which Phase I objectives were met and the feasibility demonstrated; and (2) the adequacy of the Phase II objectives and methodology for addressing the proposed research. For conference programs, the support of scientific meetings is contingent on programmatic interests and priorities of the individual centers and programs.

93.104 COMPREHENSIVE COMMUNITY MENTAL HEALTH SERVICES FOR CHILDREN WITH SERIOUS EMOTIONAL DISTURBANCES (SED)

(CMHS Child Mental Health Service Initiative)

FEDERAL AGENCY:

Substance Abuse and Mental Health Services Administration, Department of Health and Human Services

AUTHORIZATION:

Public Health Services Act, Title V, Part E, Section 561, Public Law 102-321, 42 U.S.C.

OBJECTIVES:

To provide community-based systems of care for children and adolescents with a serious emotional disturbance and their families. The program will ensure that services are provided collaboratively across child-serving systems; that each child or adolescent served through the program receives an individualized service plan developed with the participation of the family (and, where appropriate, the child); that each individualized plan designates a case manager to assist the child and family; and that funding is provided for mental health services required to meet the needs of youngsters in these systems.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Grant funds may be used for salaries, wages and fringe benefits of professional and support staff; travel directly related to carrying out service activities; supplies, communications, and rental of equipment and space; training specifically aimed at one of the following: the development of individualized

service plans; the provision of therapeutic foster care, group home care; intensive home-based services, or intensive day treatment services; administration of the system; and appropriate continuing education of two days or less; contracts for performance of activities under the approved project; and other such items necessary to support project activities. Grant funds and required matching funds may not be used for: other required services; to purchase or improve real estate property; provide residential care and/or services in residential treatment centers serving more than 10 children in inpatient hospital settings; any training activities with the exception of those mentioned above; and non-mental health services including mental health medical services, educational services, vocational counseling and rehabilitation, and protection and advocacy. 100% of funds are set aside for discretionary activities.

Applicant Eligibility:

States, political subdivisions of a State, such as county or local governments, and Federally Recognized Indian Tribal governments.

Beneficiary Eligibility:

Children under age 22 with a diagnosed serious emotional disturbance, serious behavioral disorder, or serious mental disorder.

Credentials/Documentation:

In order for an entity to be eligible it must provide the following credentials or documentation along with an application for assistance: (1) Documentation that the State mental health agency of the applicant receives funds from the Center for Mental Health Services Community Mental Health Services Block Grant; (2) documentation that the applicant entity is qualified to deliver services under the State Medicaid Plan and has an agreement to do so, either directly or through a service provider organization; (3) documentation that the State has an approved plan for the development of a system of care for community-based services for children with a serious emotional disturbance approved by the Secretary of the U.S. Department of Health and Human Services. For the purpose of this program, an approved State Mental Health Plan for children and adolescents with serious emotional disturbance submitted in accordance with the requirements of Section 1912 of the Public Health Service Act, will be accepted as such a plan; and (4) matching requirements. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Standard application forms, as Application for Federal Assistance SF-424 and required by 45 CFR Part 92 for State and local governments.

Award Procedure:

Applications submitted are reviewed in a two-tiered peer review process. Grants in support of projects recommended for approval by the initial review group and the Center for Mental Health Services Advisory Council are awarded directly to the applicant organization.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Percent: 100.%.

This program has MOE requirements, see funding agency for further details. A requirement contained in certain legislation, regulations, or administrative policies that a recipient must maintain a specified level of financial effort in the health area for which Federal funds will be provided in order to receive Federal grant funds. This requirement is usually given in terms of a previous base-year dollar amount.

Length and Time Phasing of Assistance:

Project will be funded for up to 6 years. Payments will be made under an Electronic Transfer System. See the following for information on how assistance is awarded/released: SAMHSA, awards grants projects for the twelve months budget period release and release at the time award is issued.

Reports:

CMHI and CH requires quarterly program reports. No cash reports are required. CMHI and CH requires quarterly program reports. The (SF-425) Federal Financial Report is required by SAMHSA, Division of Grants Management 120 days after the end of each 12 month period and 90 days after the final 12 month period. SAMHSA, monitors the grants programs through monthly conference calls, site visits, continuation application and quarterly progress reports.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

There is a 3-year record retention requirement: records shall be retained beyond the 3-year period if the final audit has not been done or findings resolved.

Account Identification:

75-1363-0-1-551.

Obligations:

(Project Grants (Discretionary)) FY 12 \$64,214,932; FY 13 est \$44,211,975; and FY 14 est \$18,449,193

Range and Average of Financial Assistance:

\$376,096 to \$2,000,000; \$1,329,454.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: 44 awards were made. Fiscal Year 2013: it is estimated 37 awards will be made. Fiscal Year 2014: it is estimated 19 awards will be made.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 92. Guidelines are provided along with instructions in application kits. PHS Grants Policy Statement, DHHS Publication No. (OASH) 94-50,000, (Revised April 1, 1994).

Regional or Local Office:

None.

Headquarters Office:

Kathleen K. Sample, 1 Choke Cherry Road, Room 7-1091, Rockville, Maryland 20857 Email: kathleen.sample@samhsa.hhs.gov Phone: (240) 276-1407 Fax: (240) 276-1430.

Website Address:

http://www.samhsa.gov

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The following review criteria will be used in evaluating proposals: (1) Potential significance of the proposed project; (2) appropriateness of goals and objectives; (3) adequacy and appropriateness of the proposed approach and activities; (4) evidence of the State's readiness and commitment to the proposed

project; (5) commitment to family participation in system development and care of their children and adolescents; (6) degree of cultural competence demonstrated; (7) capability and experience of project director, consultants, and other key staff; (8) adequacy of available resources; (9) reasonableness of the proposed budget; (10) cooperation in the evaluation activities; and (11) evidence of activities directed at developing continuing funding support. Award criteria include: (1) quality of proposed project as determined by the review process; (2) geographical distribution; and (3) availability of funds.

93.107 AREA HEALTH EDUCATION CENTERS POINT OF SERVICE MAINTENANCE AND ENHANCEMENT AWARDS

AHEC-POSME

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Title VII, Sec. 751 of the Public Health Service Act (42 U.S.C. 294a), as amended by Sec. 5403 of the Patient Protection and Affordable Care Act, Public Law 111-148.

OBJECTIVES:

The Patient Protection and Affordable Care Act, Public Law 111-148, amended the PHS Act, Section 751, Area Health Education Centers. The required program activities in the amended legislation embrace the following goals to: (1) educate and train students to become culturally competent primary care health professionals who will provide healthcare in underserved areas and to health disparity populations; (2) increase the number and variety of primary care health professionals who provide care to underserved populations in Health Professional Shortage Areas (HPSAs) and other medically underserved areas; and (3) recruit into health careers individuals from underrepresented minority populations or from disadvantaged or rural backgrounds. The Area Health Education Centers (AHEC) Point of Service Maintenance and Enhancement (POSME) awards consist of cooperative agreements with accredited schools of medicine and nursing to maintain and improve the effectiveness and the capabilities of an existing AHEC program, and make other modifications to the program that are appropriate due to changes in demographics and needs of populations served. The AHEC awardees link the academic resources of medical schools and participating health professions schools with local educational and clinical sites, and thereby establish a network of primary care oriented community-based training sites to provide educational services to health professions students, faculty-preceptors and health care providers in underserved areas, and ultimately to improve the delivery of health care in an underserved region or in an entire state. Grantees shall allocate not less than 75 percent of the award to AHEC centers to coordinate community-based training and interdisciplinary/interprofessional training in underserved areas and for health disparity populations. AHEC health careers recruitment activities shall be coordinated, where feasible, with Department of Labor workforce investment boards, and shall include an emphasis on careers in public health. Interdisciplinary/interprofessional training shall involve physicians, physician assistants, nurse practitioners, nurse midwives, dentists, psychologists, pharmacists, optometrists, community health workers, public health and allied health professionals, as practicable.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funds shall be used to recruit individuals from underrepresented, disadvantaged, or rural backgrounds into the health professions; establish and maintain community-based placements or preceptorships with an emphasis on primary care; conduct interdisciplinary/interprofessional training involving an array of health professionals; deliver or facilitate continuing education; propose and implement outcomes measurement and evaluation strategies. Not less than 75 percent of the total amount provided to an Area Health Education Centers (AHEC) program under subsection 751(a) (2) shall be allocated to the AHEC centers participating in the program. The aggregate amount of awards to schools in the state for the fiscal year under Point of Service Maintenance and Enhancement AHEC authority shall be not less than \$250,000 annually per AHEC center, including program office funds. If amounts appropriated to carry

out Section 751 are not sufficient to comply with the amount stated in the preceding sentence, the Secretary may reduce the per center amount as necessary.

Applicant Eligibility:

An entity eligible to apply for AHEC Point of Service Maintenance and Enhancement awards under section 751 (a) (2) means an entity has received funds under this section (751), is operating an area health education center program, including an area health education center or centers, and has a center or centers that are no longer eligible to receive financial assistance under subsection (a)(1). Eligible entities are accredited public or nonprofit schools of allopathic medicine or osteopathic medicine, the parent institution on behalf of such schools, and accredited schools of nursing, which have received funds under section 751 to operate an AHEC program.

Beneficiary Eligibility:

Beneficiaries include a full range of trainees: high school students from underrepresented minority populations or from disadvantaged or rural backgrounds, health professions students, faculty, and practitioners.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. HRSA requires all applicants to apply electronically through Grants.gov.

Award Procedure:

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions. When making final funding decisions regarding Section 751 awards, consideration will be given to the Sense of the Congress that there be an area health education center program in each state.

Notification is made in writing (electronic) by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

3 to 4 months after the review of the application.

Appeals:

Not Applicable.

Renewals:

During the final budget year of the approved project period, competing continuation applications may be submitted.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: Percent: 50%. With respect to the costs of operating a program through a grant under section 751, to be eligible for assistance under section 751, an entity shall make available (directly or through contributions from state, county, municipal governments or the private sector) recurring nonfederal contributions in cash or in kind toward such costs in an amount that is equal to not less than 50 percent of such costs. Thus, the matching ratio for AHEC awards is 1:1 (federal funds to non-federal contributions). At least 25 percent of the total required non-Federal contributions shall be in cash.

This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

Funds are available for expenditure during the appropriate budget period. See the following for information on how assistance is awarded/released: Grantees drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

The awardee will be required to submit annual performance and progress reports as well as status-federal financial reports (see below for general information on each required report). The awardee must submit a quarterly electronic Federal Financial Report (FFR) Cash Transaction Report via the Payment Management System within 30 days of the end of each calendar quarter. A Federal Financial Report (SF-425) is required within 90 days of the end of each budget period. A final report is due within 90 days after the project period ends. If applicable, the awardee must submit a Tangible Personal Property Report (SF-428) and any related forms within 90 days after the project period ends. New awards (Type 1) issued under this funding opportunity announcement are subject to the reporting requirements of the Federal Funding Accountability and Transparency Act (FFATA) of 2006 (Pub. L. 109282), as amended by section 6202 of Public Law 110252, and implemented by 2 CFR Part 170. Grant and cooperative agreement recipients must report information for each first-tier subaward of \$25,000 or more in federal funds and executive total compensation for the recipients and subrecipients five most highly compensated executives as outlined in Appendix A to 2 CFR Part 170 (FFATA details are available online at <http://www.hrsa.gov/grants/ffata.html>). Competing continuation awardees, etc. may be subject to this requirement and will be so notified in the Notice of Award. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records three years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the three year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular three year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Cooperative Agreements) FY 12 \$19,161,733; FY 13 est \$21,404,498; and FY 14 est \$0

Range and Average of Financial Assistance:

FY 2012 \$91,427 to \$1,097,124; Average Award: \$407,696.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for state, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices. Meseret Bezuneh, Chief, Area Health Education Centers Branch, Division of Public Health and Interdisciplinary Education,

Bureau of Health Professions, Health Resources and Services Administration, Department of Health and Human Services, Room 9C-05, 5600 Fishers Lane, Rockville, MD 20857. Phone: (301)443-6950 and E-mail: MBezuneh@hrsa.gov.

Jamie Weng, Project Officer, Area Health Education Centers Branch, Division of Public Health and Interdisciplinary Education, Bureau of Health Professions, Health Resources and Services Administration, Department of Health and Human Services, Room 9C-05, 5600 Fishers Lane, Rockville, MD 20857, Phone: (301) 443-6950, and E-mail: at JWeng@hrsa.gov.

Headquarters Office:

Meseret Bezuneh 5600 Fishers Lane, Room 9C-05, Rockville, Maryland 20857
Email: MBezuneh@hrsa.gov Phone: (301) 443-6950

Website Address:

<http://bhpr.hrsa.gov/grants/areahealtheducationcenters/index.html>

RELATED PROGRAMS:

93.824 Basic/Core Area Health Education Centers

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Procedures for assessing the technical merit of grant applications have been instituted to provide an objective review of applications and to assist the applicant in understanding the standards against which each application will be judged. Critical indicators have been developed for each review criterion to assist the applicant in presenting pertinent information related to that criterion and to provide the reviewer with a standard for evaluation. Competing applications are reviewed by nonfederal reviewers for technical merit recommendations based on the following:

NEED - Involves the extent to which the application describes the problem(s) to be addressed and the target population(s) and geographic area(s) to be served by the AHEC(s).

RESPONSE - Involves the degree to which the proposal responds to AHEC legislative and programmatic requirements.

EVALUATION MEASURES - The adequacy of the evaluation strategies to monitor and evaluate project results.

IMPACT- Involves the extent and effectiveness of meeting the primary care workforce needs of the population and geography/region identified for AHEC services and programs, and potential for replication of project activities. Involves the extent to which the project demonstrates past performance success.

RESOURCES/CAPABILITIES - The extent to which personnel are qualified by training and/or experience to implement and carry out the proposal. The capabilities of the applicant organization and quality and availability of facilities and personnel to fulfill the needs and requirements of the proposed project will be reviewed.

SUPPORT REQUESTED - Involves the reasonableness of the proposed budget and resources in relation to the objectives, scope of the project, complexity of activities, and anticipated results.

See most recent funding opportunity announcement at www.grants.gov for detailed selection criteria.

93.110 MATERNAL AND CHILD HEALTH FEDERAL CONSOLIDATED PROGRAMS

Special Projects of Regional and National Significance (SPRANS), including the Community Integrated Service Systems (CISS); Public Health Service Act - Section 399BB Programs under the Combating Autism Act of 2006; The Heritable Disorders Program and the Congenital Conditions Program.

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and

Human Services

AUTHORIZATION:

Social Security Act, Title V, Section 502(a)(1) and (b)(1), as amended; 42 U.S.C. 702.; Section 399BB of the Public Health Service Act; Section 1109, 1111 and 1112 of the Public Health Service Act and Section 399T of the Public Health Service Act.

OBJECTIVES:

To carry out special maternal and child health (MCH) projects of regional and national significance; to conduct training and research; to conduct genetic disease testing, counseling, and information development and dissemination programs; for grants relating to hemophilia without regard to age; and for the screening of newborns for sickle cell anemia, and other genetic disorders. and to support comprehensive hemophilia diagnostic and treatment centers. These grants are funded with a set-aside from the MCH Block grant program. SPRANS grants are funded with 15 percent of the Block Grant appropriation of up to \$600 million. When the appropriation exceeds \$600 million, 12.75 percent of the amount over \$600 million is set aside for the Community Integrated Service Systems grants. 15 percent of the balance remaining over \$600 million is also for SPRANS. The CISS program is to develop and expand the following: (1) Home visitation; (2) increased participation of obstetricians and pediatricians; (3) integrated service delivery systems; (4) maternal and child health centers for women and infants, under the direction of a not-for-profit hospital; (5) services for rural populations; and (6) community-based services for children with special health care needs in order to enhance or develop an integrated services system. In FY 2008 Congress first funded the Combating Autism Act program, which is for early detection, education and intervention activities on autism and other developmental disorders.

First funded in 2004, the Heritable Disorders Program is established to improve the ability of States to provide newborn and child screening for heritable disorders and affect the lives of all of the nations infants and children. Newborn and child screening occur at intervals across the life span of every child. Newborn screening universally provides early identification and follow-up for treatment of infants affected by certain genetic, metabolic, hormonal and/or functional conditions. It is expected that newborn and child screening will expand as the capacity to screen for genetic and congenital conditions expands.

First funded in 2009, the Congenital Conditions program is established to provide information and support services to women and their families that have received a diagnosis for Down Syndrome, Spina Bifida, Dwarfism and other prenatally or postnatally diagnosed conditions.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Training grants are made to institutions of higher learning for training personnel for health care and related services for mothers and children. Research grants are for the purpose of research activities which show promise of a substantial contribution to the advancement of maternal and child health services. Genetic grants are for genetic disease testing, counseling and information development and dissemination. Hemophilia grants are for the support of centers which provide hemophilia diagnostic and treatment services. Other special project grants are designed to support activities of a demonstration nature which are designed to improve services for mothers and children.

Applicant Eligibility:

Training grants may be made to public or private nonprofit institutions of higher learning. Research grants may be made to public or private nonprofit institutions of higher learning and public or private nonprofit private agencies and organizations engaged in research or in Maternal and Child Health (MCH) or Children with Special Health Care Needs (CSHCN) programs. Any public or private entity is eligible for hemophilia and genetics grants and other special project grants, including CISS. Eligible entities for the Heritable Disorders Program include a State or a political subdivision of a State; a consortium of 2 or more States of political subdivisions of States; a territory; a health facility or program operated by or pursuant to a contract with or grant from the Indian Health Service; or any other entity with appropriate expertise in newborn screening, as determined by the Secretary. Eligible entities for the Congenital

Disorder Program include States or political subdivision of a State; a consortium of 2 or more States of political subdivisions of States; a territory; a health facility or program operated by or pursuant to a contract with or grant from the Indian Health Services, and or non-governmental organizations with expertise in prenatally and postnatally diagnosed conditions.

Beneficiary Eligibility:

For training grants: (1) Trainees in the health professions related to MCH; and (2) mothers and children who receive services through training programs. For research grants: public or private nonprofit agencies and organizations engaged in research in MCH or CSHCN programs. For hemophilia, sickle cell, thalassemia or genetics, and other special projects: (1) Public or private agencies, organizations and institutions; and (2) mothers and children, and persons with hemophilia (any age), who receive services through the programs. For the Congenital Disorders program: (1) parents who receive a positive diagnosis prenatally, at birth, or up to 1 year after the affected child's birth; (2) healthcare providers who provide, interpret, and inform parents of the results of positive test diagnosis for congenital conditions; (3) national and local peer-support programs.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. HRSA requires all applicants to apply electronically through Grants.gov.

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Award Procedure:

Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 3 to 6 months.

Appeals:

Not Applicable.

Renewals:

After initial award, projects may be renewed annually up to the limit of the project period upon the submission and approval of a satisfactory continuation application.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: Percent: 35%. Please refer to funding opportunity announcement. Opportunities may include Matching Requirements of: 35%. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Awards are made on an annual basis for the duration of the grant period, and payments are made through an Electronic Transfer System or Cash Demand System. See the following for information on how assistance is awarded/released: Grantees draw down funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

Annual program reports, annual program service reports, and special reports as required. Annual Federal Financial Report. Quarterly Federal Financial Report Cash Transaction Report. Refer to the funding opportunity announcement for further information. Refer to the funding opportunity announcement for further information. Refer to the funding opportunity announcement for further information.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records for 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit, or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0354-0-1-550.

Obligations:

(Project Grants) FY 12 \$128,478,455; FY 13 est \$126,011,894; and FY 14 est \$128,478,455

Range and Average of Financial Assistance:

\$3,419 to \$3,996,711; \$267,663.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable. 42 CFR Part 51a Project Grants for Maternal Child and Health.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices. Associate Administrator for Maternal and Child Health, Health Resources and Services Administration, Department of Health and Human Services, Room 18-05, 5600 Fishers Lane, Rockville, MD 20857. Telephone: (301) 443-2170.

Headquarters Office:

Associate Administrator for Maternal and Child Health, 5600 Fishers Lane, Room 18-05, Rockville, Maryland 20857 Phone: (301) 443-2170.

Website Address:

www.hrsa.gov

RELATED PROGRAMS:

93.234 Traumatic Brain Injury State Demonstration Grant Program; 93.251 Universal Newborn Hearing Screening; 93.365 Sickle Cell Treatment Demonstration Program; 93.926 Healthy Start Initiative; 93.994 Maternal and Child Health Services Block Grant to the States

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

General criteria are described in Program Regulations 45 CFR 51, and specific criteria are included in the program guidance materials provided with application kits. Contact Central Office for details.

93.113 ENVIRONMENTAL HEALTH

National Institute of Environmental Health Sciences

FEDERAL AGENCY:

National Institutes of Health, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Sections 301, 401, 437, 463 and 487, Public Laws 78-410 and 99-158, as amended, 42 U.S.C. 241, and 42 U.S.C. 288, as amended, SBIR and STTR Reauthorization Act of 2011, Public Law 113-6.

OBJECTIVES:

To foster understanding of human health effects of exposure to environmental agents in the hope that these studies will lead to: the identification of agents that pose a hazard and threat of disease, disorders and defects in humans; the development of effective public health or disease prevention strategies; the overall improvement of human health effects due to environmental agents; the development of products and technologies designed to better study or ameliorate the effects of environmental agents; and the successful training of research scientists in all areas of environmental health research. Supported grant programs focus on the following areas: (1) Understanding biological responses to environmental agents by determining how chemical and physical agents cause pathological changes in molecules, cells, tissues, and organs, and become manifested as respiratory disease, neurological, behavioral and developmental abnormalities, cancer, and other disorders; (2) Determining the mechanisms of toxicity of ubiquitous agents like metals, natural and synthetic chemicals, pesticides, and materials such as nanoparticles, and natural toxic substances, and their effects of on various human organ systems, on metabolism, on the endocrine and immune systems, and on other biological functions; (3) Developing and integrating scientific knowledge about potentially toxic and hazardous chemicals by concentrating on toxicological research, testing, test development, validation and risk estimation; (4) Identifying interactions between environmental stressors and genetic susceptibility and understanding biologic mechanisms underlying these interactions, including the study of environmental influences on epigenomics and transcriptional regulation; (5) Conducting environmental public health research, including in areas of environmental justice and health disparities, that requires communities as active participants in all stages of research, dissemination, and evaluation to advance both the science and the development of practical materials for use in communities, with a focus on translating research findings into tools, materials, and resources that can be used to prevent, reduce, or eliminate adverse health outcomes caused by environmental exposures; (6) Expanding and improving the SBIR program; to increase private sector commercialization of innovations derived from Federal research and development; to increase small business participation in Federal research and development; and to foster and encourage participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation; (7) Expanding and improving the STTR program to stimulate and foster scientific and technological innovation through cooperative research and development carried out between small business concerns and research institutions; to foster technology transfer between small business concerns and research institutions; to increase private sector commercialization of innovations derived from Federal research and development; and to foster and encourage participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation; (8) Providing support for broadly based multi-disciplinary research and training programs in environmental health. These programs include the Environmental Health Sciences Core Centers, which serve as national focal points and resources for research and manpower development. The Centers for Neurodegenerative Science addresses the need for integrated research efforts involving basic and clinical scientists in a quest to discover the causes of and possible treatments for neurodegenerative diseases. The Breast Cancer and the Environment Research Program (co-funded with NCI) studies the impact of prenatal-to-adult environmental exposures that may predispose a woman to breast cancer. A special emphasis is on the impact of environmental factors on a girl's pubertal development, a known risk factor for breast cancer. Through these programs, NIEHS expects to achieve the long range goal of developing new clinical and public health applications to improve disease prevention, diagnosis, and therapy. Additional Centers programs developed in recent years, include the Centers for Oceans and Human Health (co-funded with NSF), Children's

Environmental Health Centers (co-funded with US EPA) and the Autism Centers of Excellence (co-funded with other NIH Institutes) and the ; (9) Supporting research training programs which serve to increase the pool of trained research manpower with needed expertise in the Environmental Health Sciences through support of Individual and Institutional National Research Service Awards (NRSA's); (10) The Outstanding New Environmental Scientist Program which provides first time research grant funding to outstanding junior scientists in the formative stages of their career who are proposing to make a long term commitment to environmental health sciences research and to address the adverse effects on environmental exposures on human biology, human pathophysiology and human disease.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Research Grants and Cooperative Agreements are intended to support the direct costs of a project, in accordance with an approved budget, plus an appropriate amount for indirect costs. SBIR Phase I grants (of approximately 6-months' duration) are to establish the technical merit and feasibility of a proposed research effort that may lead to a commercial product or process. Phase II grants are for the continuation of the research initiated in Phase I and that are likely to result in commercial products or processes. Only Phase I awardees are eligible to receive Phase II support. STTR Phase I grants (normally of 1-year duration) are to determine the scientific, technical, and commercial merit and feasibility of the proposed cooperative effort that has potential for commercial application. Phase II funding is based on results of research initiated in Phase I, scientific and technical merit, and commercial potential of the Phase II application. A number of Career Development awards are supported. The Independent Scientist Award provides up to five years of salary support for newly independent scientists who have recently obtained independent research funding, generally an R01 research grant from NIEHS. The award is intended to allow the candidate protected time to focus on the further development of the independent research career and does not include additional research support. The Mentored Clinical Research Career Development Award provides three to five years of salary support for investigators with clinical degrees (e.g., M.D., D.V.M.). The Transition to Independent Environmental Health Research (TIEHR) Career Development Award provides three years of support for candidates who are within three years of their first independent faculty appointment and who have not yet obtained significant research grant funding (an R01 or equivalent). The award includes salary support and pilot funding. The Mentored Patient-Oriented Research Career Development Award provides up to five years of support for clinically trained investigators who make a commitment to focus on patient-oriented research. The MidCareer Investigator Award in Patient-Oriented Research provides up to five years of support to outstanding clinical scientists who are actively engaged in patient-oriented research and who are within 15 years of their specialty training. The Mentored Quantitative Research Development Award provides up to five years of support for junior faculty with quantitative scientific and engineering backgrounds outside of biology or medicine that are transitioning to behavioral or biomedical research. The NIH Pathway to Independence Award is divided into two phases. The initial award (K99) provides up to two years of mentored, postdoctoral support. The second phase (R00) provides up to three years of independent research support, when the awardee accepts a full-time tenure track, or equivalent, faculty position. All of these Career Development awards provide salary consistent with the level of effort devoted to the research career development activities, plus fringe benefits, an allowance for career development activities and 8 percent fiscal and administrative costs. All Career Development Awards, with the exception of the NIH Pathway to Independence Award, have a US citizenship requirement. Details of specific restrictions for the Career Development Awards can be found at <http://www.niehs.nih.gov/careers/research/trainingfrom/career/index.cfm>. NIEHS Core Center grants (P30) are primarily intended to provide infrastructure support and the support of core research facilities. In addition, an appropriate facilities and administrative cost is provided as determined by negotiated agreement with the grantee's cognizant government organization. National Research Service Awards (NRSA's): Individual predoctoral and postdoctoral training awards are made for the support of fellows who engage in research training in environmental toxicology, environmental pathology, environmental mutagenesis, or environmental epidemiology/biostatistics. In

addition to individual training awards, institutional training grants (T32) are made to institutions to enable institutions to make awards to individuals selected by them, for both predoctoral and postdoctoral research training in the aforementioned areas. Each individual who receives a postdoctoral NRSA, either through an institutional or individual training award mechanism, is obligated upon termination of the award to comply with certain service and payback provisions.

Applicant Eligibility:

Research Grants, Cooperative Agreements, Science Education Grants, SBIR Grants, Independent Scientist Awards, Mentored Research Scientist Development Award, Mentored Clinical Scientist Development Award, and the Academic Career Awards: A university, college, hospital, State, local or tribal governments, nonprofit research institution, or for-profit organization may submit an application and receive a grant for support of research by a named principal investigator. Candidates for Academic Career Awards and Midcareer Investigator Awards in Patient Oriented Research must have a doctoral degree and peer-reviewed, independent, research support at the time the award is made. Candidates for Mentored Clinical Scientist Development Awards and Mentored Patient Oriented Research Career Development Awards must have a clinical degree or its equivalent and must have initiated post graduate clinical training. Candidates holding a Ph.D. degree are ineligible. Candidates who have served as principal investigators on PHS-supported research projects are ineligible. A candidate for Academic Career Awards must have a clinical or research doctorate degree. Those eligible for the Development Award must be able to devote at least 75 percent effort. SBIR grants can be awarded only to domestic small businesses (entities that are independently owned and operated for profit, are not dominant in the field in which research is proposed, and have no more than 500 employees). Primary employment (more than one-half time) of the principal investigator must be with the small business at the time of award and during the conduct of the proposed project. In both Phase I and Phase II, the research must be performed in the U.S. and its possessions. STTR grants can be awarded only to domestic small business concerns (entities that are independently owned and operated for profit, are not dominant in the field in which research is proposed and have no more than 500 employees) which "partner" with a research institution in cooperative research and development. At least 40 percent of the project is to be performed by the small business concern and at least 30 percent by the research institution. In both Phase I and Phase II, the research must be performed in the U.S. and its possessions. To be eligible for funding, a grant application must be approved for scientific merit and program relevance by a scientific review group and a national advisory council. Centers: A university-based, nonprofit research institution, or for-profit organization proposing an integrated research program established to accomplish a stated mission, covering activities ranging from very basic research to the actual application of research results in the prevention and control of environmental health problems, may submit an application under the direction of a named Center Director. National Research Service Awards: (1) Nonprofit domestic organizations may apply for the Institutional NRSA; (2) Individual NRSA awardees must be nominated and sponsored by a public for-profit or nonprofit private institution having staff and facilities appropriate to the proposed research training program; (3) all awardees must be citizens or have been admitted to the United States for permanent residence; (4) to be eligible, predoctoral awardees must have completed the baccalaureate degree and postdoctoral awardees must have a professional or scientific degree (M.D., Ph.D., D.D.S., D.O., D.V.M., Sc.D., D.Eng., or equivalent domestic or foreign degree).

Beneficiary Eligibility:

For Research Grants: Any nonprofit or for-profit organization, company, or institution engaged in biomedical research. For Centers and Training Grants: University-based nonprofit institutions; for-profit organizations conducting research; and individuals nominated by a private institution conducting research.

Credentials/Documentation:

No Credentials or documentation are required. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from

coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Application forms and instructions for their submission are available at <http://grants.nih.gov/grants/forms.htm>. Applicants are encouraged and in some cases required to consult with NIEHS Program Officials prior to submission of an application. Detailed information about NIEHS grant programs and staff contacts can be found at <http://www.niehs.nih.gov/research/supported/index.cfm>.

Award Procedure:

Made on the basis of dual review by peer groups of all applications. The first level of reviews is by a study section for scientific merit. In addition, a national advisory council provides a secondary level of review for all applications. As required by P.L. 109-482, the NIH Health Reform Act of 2006, all research grant and cooperative agreements must undergo Advisory Council/Board review and approval prior to funding. Review of Individual NRSA applications by an Advisory Council/Board is not required. Final approval of these recommendations and decisions concerning funding are made by the Director, NIEHS.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

> 180 Days. Receipt, review and approval processes range in length from six to nine months.

Appeals:

A principal investigator (P.I.) may question the substantive or procedural aspects of the review of his/her application by communicating with the staff of the Institute. A description of the NIH Peer Review Appeal procedures is available on the NIH home page <http://grants.nih.gov/grants/guide/notice-files/NOT-OD-11-064.html>.

Renewals:

Research Grants, Cooperative Agreements, Center Grants, and Institutional Training Grants: Renewal applications are subject to same criteria as new applications. Independent Scientist Awards, Mentored Research Scientist Development Awards, Mentored Clinical Scientist Development Award, Academic Career Awards, and Individual Training grants are non-renewable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Research Grants, Cooperative Agreements, Center Grants, and NRSA Institutional grants may be awarded for up to 5 years, generally in 12-month budget periods and may be extended through a competitive renewal. Science Education Grants may be awarded for up to 5 years, in 12-month budget periods, and are not renewable. Independent Scientist Awards are awarded for 5 years in 12-month budget periods, and are non-renewable. Mentored Research Scientist Awards are for up to 5 years, 12-month budget periods, and are non-renewable. Mentored Clinical Scientist Development Awards and Academic Career Awards are for up to 5 years and are renewable. SBIR: Normally, Phase I awards are for 6 months; normally, Phase II awards are for 2 years. STTR: Normally, Phase I awards are for 1 year; normally, Phase II awards are for 2 years. National Research Service Awards: Individual awards are non-renewable and may be for 1, 2, or 3 years, but no individual may receive NRSA support at the predoctoral level for more than 5 years and at the postdoctoral level for more than 3 years. See the following for information on how assistance is awarded/released: Funds are released primarily on basis of an Electronic Transfer System.

Reports:

Annual and final progress reports are required for all Grant Awards. Annual financial reports are due for a subset of grant awards. Final financial reports are due for all grant awards. Additional reports are required after termination of National Research Service Awards to ascertain compliance with the service and

payback provisions. Cash reports are not applicable. Annual and final progress reports are required for all Grant Awards. Annual financial reports are due for a subset of grant awards. Final financial reports are due for all grant awards. Additional reports are required after termination of National Research Service Awards to ascertain compliance with the service and payback provisions. Expenditure reports are not applicable. Review of annual performance reports is conducted by appropriate agency staff prior to issuance of additional funding.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal officials.

Records:

Expenditures and other financial records must be retained for 3 years from the day on which the grantee submit the last expenditure report for the report period.

Account Identification:

75-0862-0-1-552.

Obligations:

(Project Grants) FY 12 \$323,099,038; FY 13 est \$304,674,795; and FY 14 est \$304,674,795

Range and Average of Financial Assistance:

Range: \$2,000 to \$2,402,615

Average: \$322,065.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: In fiscal year 2012 NIEHS issued 664 RPG awards (including SBIR and STTR awards), 26 Research Center awards, 44 Individual and 51 Institutional training awards. In Fiscal Year 2012 several FOA were issued for new initiatives. Initiatives issued for funding in FY 2012 included an FOA titled Dietary Influence on the Human Health Effects of Environmental Exposures (12 R21 awards), an FOA entitled Centers for Oceans & Human Health (2 P01 and 11 R01 awards), an FOA entitled Environmental Influences on Stem Cells in Development, Health, and Disease (4 R21 and 5 R01 awards), and the ViCTER (4 R01 Awards) and Climate Change and Health (12 R21 awards). Fiscal Year 2013: Information is not yet available for Fiscal Year 2013. Fiscal Year 2014: Information is not yet available for Fiscal Year 2013.

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR 52; 45 CFR 74; 45 CFR 92; NIH Guide to Grants and Contracts; various other publications and application kits, the Division of Extramural Outreach and Information Resources, Office of Extramural Research, NIH, Room 6207, 6701 Rockledge Drive, Bethesda, MD 20892. Grants will be available under the authority of and administered in accordance with the PHS Grants Policy Statement and Federal regulations at 42 CFR 52 and 42 USC 241; Omnibus Solicitation of the Public Health Service for Small Business Innovation Research (SBIR) Grant and Cooperative Agreement Applications. Omnibus Solicitation of the National Institutes of Health for Small Business Technology Transfer (STTR) Grant Applications.

Regional or Local Office:

None. Program Contacts: Research Grants: Dr. William A Suk, Director, Center for Risk & Integrated Sciences, DERT, NIEHS, E-mail: suk@niehs.nih.gov. Telephone: (919) 541-0797; or Dr. Cindy Lawler, Chief, Cellular, Organ and Systems Pathobiology Branch, E-mail lawler@niehs.nih.gov. Telephone: (919)316-4671; or Dr. Claudia Thompson, Chief, Susceptibility and Population Health, E-mail: thomps14@niehs.nih.gov; Telephone: (919)541-4638. Partnerships in Environmental Public Health Grants: Dr. Claudia Thompson, Acting Chief, Susceptibility and Population Health, E-mail: thomps14@niehs.nih.gov; Telephone: (919) 541-4638. Independent Scientist Awards, Mentored Research Scientist Development Awards, Mentored Clinical Research Scientist Development Awards, Academic Career Awards: Dr. Carol Shreffler, Program Administrator, Cellular, Organ and Systems Pathobiology

Branch, E-mail: shreffl1@niehs.nih.gov. Telephone:(919)541-1445. SBIR and STTR Grant Programs: Dr. Daniel Shaughnessy, Program Administrator, Susceptibility and Population Health Branch, E-mail: shaughn1@niehs.nih.gov. Telephone: (919)541-2506. P30 Core Centers Program Contact: Dr. Leslie Reinlib, Program Administrator, Susceptibility and Population Health Branch, E-mail:

reinlib@niehs.nih.gov; Telephone: (919)541-4998; AREA and NRSA Program Administrator: Dr. Michael Humble, Program Administrator, Cellular, Organ and Systems Pathobiology Branch, E-mail: humble@niehs.nih.gov. Telephone: (919) 316-4621. Grants Management Contact: Ms. Dorothy Duke, Chief, Grants Management Officer, Grants Management Branch, E-mail: duke3@niehs.nih.gov. Telephone: (919) 541-2749. For each program contact, the rest of the mailing address is: Division of Extramural Research and Training, National Institute of Environmental Health Sciences, National Institutes of Health, P.O. Box 12233, Research Triangle Park, NC 27709.

Headquarters Office:

Benigno Encarnacion, 111 TW Alexander Drive, Research Triangle Park, North Carolina 27709 Email: encarna1@niehs.nih.gov Phone: (919) 541-5147.

Website Address:

<http://www.niehs.nih.gov> .

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: A detailed listing and description of NIEHS funded projects can be found at

<http://www.niehs.nih.gov/research/supported/whowefund/index.cfm>. Fiscal

Year 2013: A detailed listing and description of NIEHS funded projects can be found at <http://www.niehs.nih.gov/research/supported/whowefund/index.cfm>.

Fiscal Year 2014: A detailed listing and description of NIEHS funded projects can be found at

<http://www.niehs.nih.gov/research/supported/whowefund/index.cfm>.

CRITERIA FOR SELECTING PROPOSALS:

The major elements in evaluating proposals include assessments of: (1) The scientific merit and general significance of the proposed study and its objectives; (2) the technical adequacy of the experimental design and approach; (3) the competency of the proposed investigator or group to successfully pursue the project; (4) the adequacy of the available and proposed facilities and resources; (5) the necessity of the budget components requested in relation to the proposed project; and (6) the relevance and importance to stated program objectives. The following criteria will be used in considering the scientific and technical merit of SBIR/STTR Phase I grant applications: (1) The soundness and technical merit of the proposed approach; (2) the qualifications of the proposed principal investigator, supporting staff, and consultants; (3) the technological innovation of the proposed research; (4) the potential of the proposed research for commercial application; (5) the appropriateness of the budget requested; (6) the adequacy and suitability of the facilities and research environment; and (7) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment. Phase II grant applications will be reviewed based upon the following criteria: (1) The degree to which the Phase I objectives were met and feasibility demonstrated; (2) the scientific and technical merit of the proposed approach for achieving the Phase II objectives; (3) the qualifications of the proposed principal investigator, supporting staff, and consultants; (4) the technological innovation, originality, or societal importance of the proposed research; (5) the potential of the proposed research for commercial application; (6) the reasonableness of the budget requested for the work proposed; (7) the adequacy and suitability of the facilities and research environment; and (8) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment.

93.116 PROJECT GRANTS AND COOPERATIVE AGREEMENTS FOR TUBERCULOSIS CONTROL PROGRAMS

Tuberculosis Prevention and Control and Laboratory Program

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Section 317(a)317E, 42 U.S.C. 247b-b(a), as amended; Health Services and Centers Amendments of 1978, Public Law 95-626; Omnibus Budget Reconciliation Act of 1981, as amended, Public Law 97-35; Preventive Health Amendments of 1984, Public Law 98-555; Public Health Service Act of 1987, as amended, Public Law 100-177; TB Prevention Amendments Act of 1990, as amended, Public Law 101-368.

OBJECTIVES:

To assist State and local health agencies in carrying out tuberculosis (TB) control activities designed to prevent transmission of infection and disease. Financial assistance is provided to TB programs to ensure that the program needs for the core TB prevention and control activities are met: finding all cases of active tuberculosis and ensuring completion of therapy; finding and screening persons who have had contact with TB patients, evaluating them for TB infection and disease, and ensuring completion of appropriate treatment, and conducting TB surveillance and TB public health laboratory activities that are essential to addressing these priorities. Each of these core activities (completion of therapy, contact investigation, TB surveillance, and TB laboratory activities) is essential to effective TB prevention and control, and they are mutually reinforcing. Thus, they constitute a "package" of core activities. These are the highest priority TB prevention and control activities and should be carried out by all State and local TB prevention and control programs.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Project funds may be used to support both local personnel and individuals in direct assistance (i.e., "in lieu of cash") positions under Section 317 of the Public Health Service Act and to purchase equipment, supplies and services directly related to project activities, particularly directly observed therapy, patient outreach, morbidity surveillance, outreach and program assessment. Project funds may not be used to supplant State or local funds available for tuberculosis control, or to support construction costs or inpatient care.

Applicant Eligibility:

Under Section 317 of the Public Health Service Act, official public health agencies or their bona fide agents of State and local governments, including the District of Columbia, the Commonwealth of Puerto Rico, the Virgin Islands, Guam, the Northern Mariana Islands, the Federated States of Micronesia, the Republic of the Marshall Islands, the Republic of Palau, and American Samoa.

Beneficiary Eligibility:

Official public health agencies of State and local governments, including the District of Columbia, the Commonwealth of Puerto Rico, the Virgin Islands, Guam, the Northern Mariana Islands, the Federated States of Micronesia, the Republic of the Marshall Islands, the Republic of Palau, and American Samoa.

Credentials/Documentation:

Applicants should document the need for assistance, state the objectives of the project, outline the method of operations, describe the evaluation procedures, and provide a budget with justification of funds requested. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Information on the submission of applications may be obtained from the Grants Management Officer, Grants Management Branch, Procurement and Grants

Office, Centers for Disease Control and Prevention. The standard application forms, as furnished by PHS and required by 45 CFR 92 for State and local governments, must be used for this program. This program is subject to the requirements described in 45 CFR 92.

Award Procedure:

After officials review and approve applications, a Notice of Award is prepared and processed, and appropriate notification is made to the public.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

From 120 to 180 days.

Renewals:

From 60 to 90 days. Information on the submission of applications may be obtained from the Grants Management Officer, Grants Management Branch, Procurement and Grants Office, Centers for Disease Control and Prevention. The standard application forms, as furnished by PHS and required by 45 CFR 92 for State and local governments, must be used for this program. This program is subject to the requirements described in 45 CFR 92.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. This program has no statutory formula or matching requirements. Although there are no matching requirements, applicants must assume part of the project costs and fiscal information must be provided in the narrative portion of the application pursuant to provisions of Section 317(b)(2)317E. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Project Period: From one to five years. Budget Period: One year. Method of awarding/releasing assistance: lump sum.

Reports:

No program reports are required. No cash reports are required. Quarterly or semiannual narrative and performance statistical reports are required of the recipient. Semiannual reports should address the recipients progress of achievement of the stated objectives. Annual financial status reports are also required of the recipient no later than 90 days after the end of each budget period. Final financial status and are required 90 days after the end of a project period. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal officials.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the project shall be retained for a minimum of 3 years, or until completion and resolution of any audit in process or pending resolution. In all cases, records must be retained until resolution of any audit questions. Property records must be retained in accordance with PHS Grants Policy Statement requirements.

Account Identification:

75-0950-0-1-550.

Obligations:

(Cooperative Agreements) FY 12 \$89,483,781; FY 13 est \$79,730,585; and FY 14 est \$79,730,585

Range and Average of Financial Assistance:

\$86,938 to \$9,931,764 with an average of \$1,454,714.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Support continued for the 68 cooperative agreements in fiscal year 2012 with continued special emphasis on the completion of treatment, contact investigation, and targeted testing of high-risk populations. Fiscal Year 2013: It is estimated that support will continue for the 68 cooperative agreements in fiscal year 2013 with continued special emphasis on the completion of treatment, contact investigation, and targeted testing of high-risk populations. Fiscal Year 2014: It is estimated that support will continue for the 68 cooperative agreements in fiscal year 2013 with continued special emphasis on the completion of treatment, contact investigation, and targeted testing of high-risk populations.

REGULATIONS, GUIDELINES, AND LITERATURE:

Subpart A of 42 CFR 51b is applicable, including all regulations incorporated by reference under Section 51b.105. PHS Grants Policy Statement, DHHS Publication No. (OASH) 94-50,000, (Rev.) April 1, 1994, is available.

Regional or Local Office:

None.

Headquarters Office:

Terry Chorba 1600 Clifton Road, MS E-10, Atlanta, Georgia 30333 Email: tlc2@cdc.gov Phone: 404-639-0909 Fax: 404-639-8958

Website Address:

<http://www.cdc.gov>.

RELATED PROGRAMS:

93.118 Acquired Immunodeficiency Syndrome (AIDS) Activity; 93.283 Centers for Disease Control and Prevention_ Investigations and Technical Assistance; 93.991 Preventive Health and Health Services Block Grant

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: TB cooperative agreements continued to be provided to 68 state, big-city, and territorial health department TB programs to intensify TB elimination and laboratory support operations. Funds will be provided to support TB outreach, directly observed therapy, contact investigation and evaluation, and contracts for direct patient services. Fiscal Year 2013: It is anticipated that the TB cooperative agreements will continue to be provided to 68 state, big-city, and territorial health department TB programs to intensify TB elimination and laboratory support operations. Funds will be provided to support TB outreach, directly observed therapy, contact investigation and evaluation, and contracts for direct patient services. Fiscal Year 2014: It is anticipated that the TB cooperative agreements will continue to be provided to 68 state, big-city, and territorial health department TB programs to intensify TB elimination and laboratory support operations. Funds will be provided to support TB outreach, directly observed therapy, contact investigation and evaluation, and contracts for direct patient services.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be evaluated in 2013 on 2010 data including the total number of cases reported, the number of bacteriologically confirmed cases reported, the bacteriologically substantiated rate of disease, the number of TB cases among racial and ethnic minorities, significant TB problems among the homeless, cumulative number of TB/AIDS cases, and significant increases in TB morbidity. The number of TB cases under current supervision which have organisms resistant to one or more anti-TB drugs will also be considered in evaluating and prioritizing projects for funding. In addition, the overall potential effectiveness of the applicants plan of operation in meeting the objectives of proposed projects will be considered in evaluating and prioritizing projects.

93.117 PREVENTIVE MEDICINE AND PUBLIC HEALTH RESIDENCY TRAINING PROGRAM, INTEGRATIVE MEDICINE PROGRAM, AND NATIONAL COORDINATING CENTER FOR INTEGRATIVE MEDICINE

Preventive Medicine and Public Health Residency Training Program (PMR); Integrative Medicine Program (IMP); National coordinating center for Integrative Medicine (NccIM)

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and

Human Services

AUTHORIZATION:

Public Health Service Act, Title VII, Sec. 765, 42 U.S.C. 295 and Sec 768, 42 U.S.C. 295c, as amended by the Patient Protection and Affordable Care Act (Pub. L 111-148).

OBJECTIVES:

Grants are intended to promote postgraduate medical education in the specialty of preventive medicine and to enhance preventive medicine education through incorporation of evidence-based integrative medicine curricula into such programs. A cooperative agreement established a national coordinating center for integrative medicine (NCCIM) to provide technical assistance to Integrative Medicine program (IMP) grantees, collect data, and evaluate the program.

Preventive Medicine is the specialty of medical practice which focuses on the health of individuals and defined populations in order to protect, promote, and maintain health and well-being and prevent disease, disability and premature death. It is one of the 24 specialties recognized by the American Board of Medical Specialties. Preventive Medicine encompasses three areas of specialization: (1) General Preventive Medicine and Public Health, (2) Occupational Medicine, and (3) Aerospace Medicine. As articulated by the Institute of Medicine, integrative medicine can be described as orienting the health care process to create a seamless engagement by patients and caregivers of the full range of physical, psychological, social, preventive, and therapeutic factors known to be effective and necessary for the achievement of optimal health throughout the life span.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Program grants are intended to assist in meeting the costs of planning and developing new preventive medicine programs; maintaining or improving existing residency training programs in preventive medicine; incorporating competency-based integrative medicine curricula preventive medicine in graduate medical education; and providing financial assistance to medical residents enrolled in such programs. The cooperative agreement is intended to assist in providing technical assistance to IMP grantees related to faculty development, trainee support, and planning, developing, and operating training programs; collecting data and evaluating the IMP training program; providing support for the coordination and evaluation of faculty development programs established by the IMP grantees; and disseminating best practices and lessons learned through establishing a national coordinating center for integrative medicine.

Integrative medicine grants may not be used to support trainee costs. Grants may not be used for construction or for the provision of direct patient services.

Applicant Eligibility:

Eligible applicants are: (1) an accredited school of public health or school of medicine or osteopathic medicine; (2) an accredited public or private nonprofit hospital; (3) a State, local, or tribal health department; or (4) a consortium of 2 or more entities described in (1) through (3).

Eligible applicants for the NccIM are (1) a health professions school, including an accredited school or program of public health, health administration, preventive medicine, or dental public health or a school providing health management programs; (2) an academic health center; (3) a State or local government; or (4) any other appropriate public or private nonprofit entity that has the capacity to provide national technical assistance for IMP grantees and to the broader audience of preventive medicine and primary care residency programs. The applicant must demonstrate expertise in the field of evidence-based CAM treatment modalities for health professions disciplines or be an Integrative Medicine Center

Refer to the relevant funding opportunity announcement for more specific information regarding eligibility and specialized experience.

Beneficiary Eligibility:

For Preventive Medicine and Public Health Residency program grants, each

trainee receiving stipend support must: (a) be a citizen of the United States, a non-citizen U.S. national, or a foreign national having in his or her possession a visa permitting permanent residence in the United States; (b) be a physician who has graduated from an accredited school of medicine or osteopathic medicine in the United States; or if a graduate from a foreign school, meet the criteria of the Educational Commission for Foreign Medical Graduates, for entry into the program supported by this grant; and (c) plan to complete the grant-supported program and engage in the practice and/or teaching of preventive medicine, especially in positions which meet the needs of medically underserved populations.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. HRSA requires all applicants to apply electronically through Grants.gov.

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Award Procedure:

Notification is made in writing (electronic) by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 3 to 6 months after receipt of applications.

Appeals:

Not Applicable.

Renewals:

Competitive continuation applications may be submitted during the final budget period of the approved project period. Applications must be submitted in accordance with instructions and deadlines for competitive applications.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Project periods are up to 5 years for the Preventive Medicine Program. Project periods are for a two year project period with a single budget period for the Integrative Medicine Program and the National coordinating center for Integrative Medicine. See the following for information on how assistance is awarded/released: Grantees drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

Program reports are required. The awardee will be required to submit annual performance and progress reports as well as status-federal financial reports (see below for general information on each required report). The awardee must submit a quarterly electronic Federal Financial Report (FFR) Cash Transaction Report via the Payment Management System within 30 days of the end of each calendar quarter. A Federal Financial Report (SF-425) is required within 90 days of the end of each budget period. A final report is due within 90 days after the project period ends. If applicable, the awardee must submit a Tangible Personal Property Report (SF-428) and any related forms within 90 days after

the project period ends. New awards (Type 1) issued under this funding opportunity announcement are subject to the reporting requirements of the Federal Funding Accountability and Transparency Act (FFATA) of 2006 (Pub. L. 109282), as amended by section 6202 of Public Law 110252, and implemented by 2 CFR Part 170. Grant and cooperative agreement recipients must report information for each first-tier subaward of \$25,000 or more in federal funds and executive total compensation for the recipients and subrecipients five most highly compensated executives as outlined in Appendix A to 2 CFR Part 170 (FFATA details are available online at <http://www.hrsa.gov/grants/ffata.html>). Competing continuation awardees, etc. may be subject to this requirement and will be so notified in the Notice of Award. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Information on the scope, frequency, and other aspects of the audits can be found on the Internet at www.whitehouse.gov/omb/circulars.

Records:

Grantees are required to maintain grant accounting records three years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the three year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular three year period, whichever is later.

Account Identification:

75-0353-0-1-552.

Obligations:

(Cooperative Agreements) FY 12 \$773,676; FY 13 est \$0; and FY 14 est \$0 - National Coordinating Center for Integrative Medicine (NccIM). (Project Grants) FY 12 \$1,785,233; FY 13 est \$0; and FY 14 est \$0 - Integrative Medicine Project Grants. (Project Grants) FY 12 \$3,719,445; FY 13 est \$2,971,931; and FY 14 est \$2,971,931 - Preventive Medicine and Public Health Residency Project Grants.

Range and Average of Financial Assistance:

Preventive Medicine Residency
FY 2012 \$200,000 to \$799,164 (range) \$413,271 (average)
FY 2013: \$149,267 to \$473,151 (range), \$371,491 (average)
FY 2014: \$190,000 to \$782,889 (range), \$423,666 (average)

Integrative Medicine Program
\$130,000 to \$150,000 (range), \$140,000 (average)

One NccIM cooperative agreement
\$773,676.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for state, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

None. Julia Sheen-Aaron, Chief, Public Health Branch, Division of Public Health and Interdisciplinary Education, Bureau of Health Professions, Health Resources and Services Administration, Department of Health and Human Services Room 9C-05, 5600 Fishers Lane, Rockville, Maryland 20857 Email: JSheen-aaron@hrsa.gov Phone: (301) 443-3875

Irene Sandvold, Project Officer, Public Health Branch, Division of Public Health and Interdisciplinary Education, Bureau of Health Professions, Health Resources and Services Administration, Department of Health and Human Services, 5600 Fishers Lane, Room 9C-05, Rockville, MD 20857. Telephone: 301-443-6950 and Email: isandvold@hrsa.gov.

Headquarters Office:

Julia Sheen-Aaron 5600 Fishers Lane, Room 9C-05, Rockville, Maryland 20857 Email: jsheen-aaron@hrsa.gov Phone: 301-443-6950

Website Address:

www.hrsa.gov .

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Review criteria: Procedures for assessing the technical merit of grant applications have been instituted to provide for an objective review of applications and to assist the applicant in understanding the standards against which each application will be judged. Critical indicators have been developed for each review criterion to assist the applicant in presenting pertinent information related to that criterion and to provide the reviewer with a standard for evaluation. Review criteria are outlined in the funding opportunity announcement with specific detail and scoring points. Review Criteria are used to evaluate and rank applications.

See most recent funding opportunity announcement at www.grants.gov for detailed review criteria.

93.118 ACQUIRED IMMUNODEFICIENCY SYNDROME (AIDS) ACTIVITY

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Section 301(I).

OBJECTIVES:

To develop and implement HIV prevention programs of public information and education.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Support for cooperative agreements for HIV activities.

Applicant Eligibility:

Public and private organizations, both nonprofit and for-profit (universities, colleges, research institutions and other public and private organizations); State and local governments, U.S. Territories and possessions; including American Indian/Alaska Native tribal governments or tribal organizations located wholly or in part within their boundaries, small and minority businesses, and businesses owned by women.

Beneficiary Eligibility:

Official health and education agencies, as well as individuals subject to AIDS.

Credentials/Documentation:

Nonprofit institutions: Costs will be determined by HHS Regulations 45 CFR Part 74, for nonprofit organizations. For-profit institutions, costs will be determined by Federal Acquisition Regulations 48 CFR 31. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applications are made in the form of an application, PHS-5161-1 or PHS 398, to the Centers for Disease Control and Prevention (CDC). The standard application forms, as furnished by CDC and required by 45 CFR, Parts 74 or 92, must be used for this program. This program is subject to the provisions of 45 CFR, Parts 74 or 92, as appropriate.

Award Procedure:

After review and approval, a notice of award is prepared and processed, along with appropriate notification to the public. Initial award provides funds for first budget period (12 months) and Notice of Award indicates support provided in the initial award and the recommended funding amounts for the remainder of project period, allocation of Federal funds by budget categories, and special conditions, if any.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

See FOA for details.

Appeals:

Applicants may resubmit application for next announcement or review.

Renewals:

As stated in the Terms and Conditions.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

From 1 to 5 years (renewable). Method of awarding/releasing assistance: by letter of credit.

Reports:

See funding opportunity announcement (FOA) for details. No cash reports are required. See FOA for details. No expenditure reports are required. See FOA for details.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal officials.

Records:

Financial records and supporting documents must be maintained for 3 years after the end of each budget period. If questioned, records must be kept until matters are settled.

Account Identification:

75-0950-0-1-550.

Obligations:

(Cooperative Agreements) FY 12 \$3,448,254; FY 13 est \$3,262,427; and FY 14 est \$3,262,427

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

PHS Grants Policy Statement, DHHS Publication No. (OASH) 94-50,000, (Rev.) April 1, 1994; Administration of Grants, DHHS; 45 CFR, Part 74; Guidelines established by CDC for AIDS cooperative agreements.

Regional or Local Office:

None.

Headquarters Office:

Elizabeth Wole 1600 Clifton Road, Mail Stop E-07
, Atlanta, Georgia 30333 Email: eow1@cdc.gov Phone: 404-639-8531

Website Address:

<http://www.cdc.gov>.

RELATED PROGRAMS:

93.283 Centers for Disease Control and Prevention_Investigations and Technical Assistance

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Based on the evaluation, criteria as stated in the Program Announcements.

93.121 ORAL DISEASES AND DISORDERS RESEARCH

Dental and Craniofacial Research.

FEDERAL AGENCY:

National Institutes of Health, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Title IV, Section 301; 2012 Defense Authorization Act.

OBJECTIVES:

To improve oral, dental and craniofacial health through research, research training, and the dissemination of health information. The research portfolio supports basic, clinical and translational research from molecular biology to patient-oriented and community-based clinical investigations. The Developmental Biology and Genetics Program supports research on etiology, pathogenesis, epidemiology, prevention, diagnosis, and treatment of inherited craniofacial-oral-dental diseases and disorders (e.g., ectodermal dysplasia, cleft lip and palate, amelogenesis imperfecta, dentinogenesis imperfecta, osteogenesis imperfecta, and other inherited diseases that have craniofacial-oral-dental manifestations) as well as on normal craniofacial-oral-dental development, and genome-wide association studies. 2. The Translational Genetics and Genomics program supports genetic/genomic research using epidemiologic and family-based methods, gene discovery using high throughput technologies, and gene-environment interactions. 3. The Microbiology Program supports basic and translational research on the role of oral microbes in health and disease including the etiology, pathogenesis, diagnosis, treatment, and prevention of oral infectious diseases. Areas of interest include biofilms and microbial ecology, microbial genomics and metagenomics, microbial virulence and disease pathogenesis, prevention and treatment. 4. The AIDS and Immunosuppression Program supports basic, translational and clinical research on HIV infection and AIDS to advance understanding of the underlying molecular, cellular, and genetic mechanisms that enable or prevent HIV infection and development of oral complications associated with AIDS and AIDS malignancies. 5. The Immunology and Immunotherapy Program supports basic and translational research on the immune aspects of oral diseases such as caries and periodontal diseases. The program includes host responses to microbes, immunology of biofilms, oral inflammation, systemic diseases, immunotherapy, immunopathologies, and host susceptibility to infection. 6. The Epithelial Cell Regulation and Transformation Program supports basic and translational research on the molecular mechanisms of oral epithelial cell regulation as they relate to the development and progression of diseases of the oral mucosa, including head and neck cancer. The program also supports and encourages the application of genomic, proteomic, and imaging technologies through research on the early diagnosis, prevention, and treatment of head and neck cancers. 7. The Mineralized Tissue and Salivary

Gland Physiology Program supports basic and translational research on craniofacial skeletal biology and pathobiology including the physiology of tooth, bone and cartilage, and associated disorders and diseases, saliva and salivary glands, and pharmacogenetics. The goal of the program is to promote interdisciplinary and multidisciplinary approaches to advance the understanding of normal and abnormal processes underlying oral, dental and craniofacial diseases and disorders. 8. The Molecular and Cellular Neuroscience Program supports basic and translational research on orofacial pain and neuropathies, temporomandibular joint and muscle disorders, development of biomarkers for diagnostics and prognostics and development of therapeutics. 9. The Tissue Engineering and Dental and Craniofacial Regenerative Medicine Program supports basic and translational research on the reconstruction, remodeling and repair of the oral and craniofacial tissues damaged as a result of disease or injury. The goal of this scientific program is to promote interdisciplinary and multidisciplinary approaches to engineering of biocompatible oral and craniofacial tissue constructs and their functional integration into the host tissue microenvironment. The program also supports efforts that employ bioengineering approaches for restoration, remodeling and regeneration of diseased and injured native host tissues. 10. The Dental and Biomaterials Program supports basic and translational extramural research on dental materials and devices, dental implants, biocompatibility of dental restorative materials, and biomaterials for craniofacial reconstruction. 11. The Behavioral and Social Sciences Research Program supports basic and applied BSS research to promote oral health, to prevent oral diseases and related disabilities, and to improve management of craniofacial conditions, disorders and injury. 12. The Center for Clinical Research supports clinical trials, dental practice based research networks, epidemiology research, and health disparities research. The Research Training and Career Development Program insures the future of dental and craniofacial research by developing an outstanding and diverse scientific work force through training and fellowship programs designed primarily for graduate, and post-doctoral stages of education, including dual degree (DDS, PhD) programs, as well as for continued career development of scientists and retraining of mid-career scientists. Diversity fellowships serve to expand the diversity of the scientific work force by supporting the training of predoctoral students from underrepresented groups. The Small Business Innovation Research (SBIR) program seeks to increase private sector commercialization of innovations derived from Federal research and development; to increase small business participation in Federal research and development; and to foster and encourage participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation. The Small Business Technology Transfer (STTR) program seeks to stimulate and foster scientific and technological innovation and foster technology transfer through cooperative research and development carried out between small business concerns and research institutions; to increase private sector commercialization of innovations derived from Federal research and development; and to foster and encourage participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation.

TYPES OF ASSISTANCE:**PROJECT GRANTS****USES AND USE RESTRICTIONS:**

Research Grants: Research Grants and cooperative agreements provide funds for salaries, equipment, supplies, travel, and other expenses associated with scientific investigation in the oral health sciences. They are awarded to universities, colleges, medical and dental schools, hospitals, and other nonprofit and for-profit institutions. Awards include investigator-initiated project grants, exploratory and developmental grants, small grants, program project grants, center grants, and career development awards. Individual and institutional Dentist Scientist Awards provide support for research career development in both clinical and basic science. National Research Service Awards (NRSAs) provide support directly to individuals for research training in specified biomedical areas, and can be made to institutions to enable them to accept individuals for research training. Individuals who receive NRSAs may be obligated upon termination of the award to comply with service and payback provisions. SBIR Phase I grants (duration of approximately 6 months) provide support to establish the technical merit and feasibility of a proposed research effort that may lead to a commercial product or process. Phase II grants

support the continuation of the research initiated in Phase I to further develop the commercial products or process initiated in Phase I. Only Phase I awardees are eligible to receive Phase II support. STTR Phase I grants (duration of typically 1 year) support cooperative efforts between small businesses and research institutes to determine the scientific and technical merit, and commercial feasibility of a product or process with potential for commercial application. Phase II funding is based on the results of the research initiated in Phase I and the scientific and technical merit, and commercial potential of the Phase II application.

Applicant Eligibility:

Grants: Scientists at universities, medical and dental schools, hospitals, laboratories, and other public or private nonprofit and for-profit institutions. NRSA and career development awards: (1) Nonprofit domestic organizations may apply for institutional awards. (2) Individual candidates or applicants must arrange sponsorship by a public or nonprofit private institution having staff and facilities appropriate to the proposed research training program. (3) All awardees must be citizens, or non-citizen nationals, of the United States or have been admitted to the United States for permanent residence, except for K99/R00 and T90/R90 grants. (4) To be eligible, postdoctoral NRSA and career development awardees must have a professional or scientific degree (M.D., Ph.D., D.D.S., D.V.M., Sc.D., D.Eng., or equivalent domestic or foreign degree). Institutional applicants must be able to provide the staff and facilities suitable for the proposed research training. SBIR and STTR grants: Can be awarded only to domestic small business concerns that meet the following criteria: 1) Is organized for profit, with a place of business located in the United States, which operates primarily within the United States or which makes a significant contribution to the United States economy through payment of taxes or use of American products, materials or labor; 2) Is in the legal form of an individual proprietorship, partnership, limited liability company, corporation, joint venture, association, trust or cooperative, except that where the form is a joint venture, there must be less than 50 percent participation by foreign business entities in the joint venture; 3) Be a concern which is more than 50% directly owned and controlled by one or more individuals (who are citizens or permanent resident aliens of the United States), other business concerns (each of which is more than 50% directly owned and controlled by individuals who are citizens or permanent resident aliens of the United States), or any combination of these; no single venture capital operating company, hedge fund, or private equity firm may own more than 50% of the concern, 4) Has, including its affiliates, not more than 500 employees, and 5) meets the other regulatory requirements found in 13 C.F.R. Part 121. For STTR awards, the small business must "partner" with a research institution in a cooperative research and development project. In both Phase I and Phase II for both SBIR and STTR, the research must be performed in the U.S. and its possessions. To be eligible for funding, all grant applications must be evaluated for scientific merit and program relevance by a peer scientific review group and the National Advisory Dental and Craniofacial Research Council.

Beneficiary Eligibility:

Health professionals, graduate students, health professional students, scientists, researchers, any nonprofit or for-profit organization, company or institution engaged in biomedical research.

Credentials/Documentation:

Grants: Applications for research grants must include the objectives (specific aims), research strategy, significance, innovation, methodology, and resources for the specific project, and must present the relevant background and experience of the applicant. NRSA and career development awards: (1) Individual Candidates: the applicant's academic record, research experience, citizenship, institutional sponsorship, proposed research area, and plan for training, must be included in the application. (2) Institutional Candidates: the application must include the objectives, methodology, and resources for the research training program, the research qualifications and experience of participating faculty in training students and fellows, and the criteria to be used in selecting individuals for support. Costs will be determined in accordance with OMB Circular No. A-87 for State and Local Governments. Costs for for-profit organizations are determined in accordance with the Federal Acquisition Regulations Subpart 31.2. For other than State and Local Government grantees, costs will be determined by Health and Human Services (HHS) Regulations 45 CFR, Part 74, Subpart Q. For SBIR and STTR grants,

the applicant organization (small business) must present an idea in the research plan that has potential for commercialization and furnish evidence that scientific competence, experimental methods, facilities, equipment, and funds requested are appropriate to carry out the plan. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applications for Federal assistance must be submitted electronically through Grants.gov (<http://www.grants.gov>) using the SF424 Research and Related (R&R) forms and the SF424 (R&R) Application Guide (<http://grants.nih.gov/grants/forms.htm>). Applications may not be submitted in paper format unless required by the Funding Opportunity Announcement (<http://grants.nih.gov/grants/guide/index.html>). A registration process through Grants.gov is necessary before submission. Applicants are strongly encouraged to start the registration process at least four weeks prior to the applications submission date.

Award Procedure:

Grants: All accepted applications competing for research project grants, career development awards, and NSRA awards are reviewed by two advisory groups. Primary review is conducted by an initial review group composed of extramural peer scientists, and secondary review by the National Advisory Dental and Craniofacial Research Council (NADCRC). Secondary review of NRSA fellowship applications is conducted by NIDCR staff rather than by the NADCRC. All accepted SBIR/STTR applications are evaluated for scientific and technical merit by an appropriate initial review group and by the NADCRC. All applications receiving a priority score compete for available SBIR/STTR set-aside funds on the basis of scientific and technical merit and commercial potential of the proposed research, program relevance, and program balance among the areas of research.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

> 180 Days. Research Project Grants and NSRA: From 6 to 9 months. SBIR/STTR: About 7-1/2 months.

Appeals:

From 90 to 120 days. A Principal Investigator (PI) may question the technical or procedural aspects of the review of his/her application by communicating with the program staff of the Institute. A description of the NIH Peer Review Appeal procedures is available on the NIH home page: http://grants.nih.gov/grants/peer_review_process.htm#Appeals and in the Notice: <http://grants.nih.gov/grants/guide/notice-files/NOT-OD-11-064.html>.

Renewals:

> 180 Days. Grants: Applications for approval beyond the approved project period must be submitted at least 6 to 9 months in advance of the termination date. NRSA: Institutional Awards may be made up to 5 years. No individual may receive NIH/NRSA support at the postdoctoral level for more than 3 years, unless a specific waiver is requested and approved.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grants: Approval of a project includes a determination of support for the authorized project period (generally not to exceed 5 years). Awards to support the project are made on an annual basis. At the time of initial award, the grant provides funds for the conduct of the project during the first budget period (usually 12 months) and the Notice of Grant Award (Form PHS-1533) indicates the support recommended and expected to be made available for the remainder of the project beyond the approved project period, an application for renewal

must be submitted in accordance with the deadline dates and instructions attached to the form. SBIR Phase I awards are generally for 6 months; Phase II awards normally are for 2 years. STTR Phase I awards are generally for 1 year; Phase II awards are for 2 years. See the following for information on how assistance is awarded/released: Awards are made annually.

Reports:

No program reports are required. No cash reports are required. Annually. Annually. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal officials.

Records:

Expenditures and other financial records must be retained for 3 years from the day on which the grantee submits the last expenditure report for the report period.

Account Identification:

75-0873-0-1-550.

Obligations:

(Project Grants) FY 12 \$318,095,082; FY 13 est \$319,170,000; and FY 14 est \$318,531,660

Range and Average of Financial Assistance:

FY2011: research project grants: range \$16,000-\$2,842,000, average \$383,000; NRSA: range \$6500-\$919,000, average \$125,000; SBIR/STTR: range \$68,000-\$993,000; average \$308,000. Career development: range \$32,000-\$181,000, average \$120,000.

FY2012: research project grants: range \$15,000-\$9,976,266, average \$415,717; NRSA: range \$4,980-\$970,980, average \$97,327; SBIR/STTR: range \$149,688-\$970,980, average \$387,958. Career development: range \$6,391-\$273,131, average \$121,504.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Grants: 42 CFR 66; 45 CFR 74; NIDCR Grants and Funding website (<http://www.nidcr.nih.gov/GrantsAndFunding/>), NIH Grants website (<http://grants.nih.gov/grants/oer.htm>). Grants will be available under the authority of and administered in accordance with the PHS Grants Policy Statement and Federal regulations at 42 CFR 52 and 42 USC 241; Omnibus Solicitation of the Public Health Service for Small Business Innovation Research (SBIR) Grant and Cooperative Agreement Applications. Omnibus Solicitation of the National Institutes of Health for Small Business Technology Transfer (STTR) Grant Applications.

Regional or Local Office:

None.

Headquarters Office:

Dr. Alicia Dombroski, 6701 Democracy Blvd.; Room 660, MSC 4878 , Bethesda, Maryland 20892 Email: adombroski@nidcr.nih.gov Phone: (301) 594-4805 Fax: (301) 480-8303.

Website Address:

<http://www.hhs.gov>; <http://www.nidcr.nih.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The primary criteria for evaluating grant applications include assessment of: (1) the scientific merit, significance and overall impact of the proposed study and its objectives; (2) the competency of the proposed investigator(s) to successfully pursue the project; (3) the innovation and novelty of the concepts, approaches, or methodologies; (4) the technical adequacy of the experimental design and approach; (5) the adequacy of the available proposed facilities and resources; (6) the necessity of the budget components requested in relation to the proposed project; and (7) the relevance and importance to the posted institute program objectives. Also evaluated, where applicable, but not scored are: (1) protections for human subjects; (2) inclusion of women, minorities, and children; (3) animal welfare; and (4) adequate protection of research personnel and/or the environment, biosafety, biocontainment and the security of select agents. In addition, the following criteria will be used in considering the scientific and technical merit of SBIR/STTR Phase I grant applications: the potential of the proposed research for commercial application. Phase II grant applications will reviewed based upon the following criteria: (1) The degree to which the Phase I objectives were met and feasibility demonstrated; (2) the scientific and technical merit of the proposed approach for achieving the Phase II objectives; (3) the technological innovation, originality, or societal importance of the proposed research; and (4) the potential of the proposed research for commercial application.

NRSA individual fellowship applications will be evaluated for: (1) overall impact/merit; (2) suitability of the applicant; (3) qualifications of the sponsor(s)/collaborator(s); (4) scientific merit of the research training plan; (5) training potential; and (6) institutional environment and commitment to training. NRSA institutional training grants are assessed for: (1) overall impact; (2) merit of the training program and institutional environment; (3) the experience and success of the training program directors, PIs, and mentors; (4) the trainee recruitment plan and selection; and (5) the training record of the program and/or institution.

Career Development applications will be evaluated for: (1) overall impact; (2) qualifications of the candidate; (3) the career plan and career goals/objectives of the candidate; (4) scientific merit of the research plan. (5) qualifications of the mentor(s), consultant(s) and collaborator(s); and (5) institutional environment and commitment to career development.

93.123 HEALTH PROFESSIONS PREGRADUATE SCHOLARSHIP PROGRAM FOR INDIANS

FEDERAL AGENCY:

Indian Health Service, Department of Health and Human Services

AUTHORIZATION:

Indian Health Care Improvement Act, Public Law 94-437.

OBJECTIVES:

To provide scholarships to American Indians and Alaska Natives for the purpose of completing pre-graduate education leading to a baccalaureate degree only in the following areas: (1) Pre-Medicine: priority as follows: senior, junior, sophomore, freshman; (2) Pre-dentistry: priority as follows: senior, junior, sophomore, freshman.

TYPES OF ASSISTANCE:

Training

USES AND USE RESTRICTIONS:

Scholarship awards are limited to persons of American Indian or Alaska Native descent. Support is limited to 4 years of full-time education, or part-time equivalent for a maximum of 8 years.

Applicant Eligibility:

Individuals of American Indian or Alaska Native descent, who have successfully completed high school education or high school equivalency, and have been accepted for enrollment or are enrolled in an accredited pre-graduate program in a pre-medicine or pre-dentistry curriculum.

Beneficiary Eligibility:

Individuals of American Indian or Alaska Native descent.

Credentials/Documentation:

Students must submit proper documentation of Indian eligibility in order to be considered. The applicant's advisor must also provide verification that the courses to be taken are required for an undergraduate curriculum leading to a bachelor's degree in pre-medicine or pre-dentistry curriculum and represents the number of credit hours which the school requires to be full-time or at least 6 hours of part-time study. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Application form OMB No. 0917-0006 and instructions are provided by the Indian Health Service and are usually available to interested individuals in February. An application form and required documentation (official transcripts, letter of acceptance by college, curriculum verification, Indian eligibility, tuition verification, faculty evaluations) are submitted to IHS Headquarters for review and approval/disapproval.

Award Procedure:

Grants are awarded on a competitive basis. Funding covers only Tuition and Fees. Tuition and fee payments are made to the recipient's college or university.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. Grants are approved or disapproved within 90 days of receipt of grant applications by the Indian Health Service, Division of Grants Management.

Appeals:

Not Applicable.

Renewals:

Continuation applications must be submitted annually. Continued funding is subject to the availability of funds and satisfactory performance.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Tuition payments are paid upon receipt of invoices from the universities.
Method of awarding/releasing assistance: lump sum.

Reports:

No program reports are required. No cash reports are required. Students are required to submit their official transcripts as they are received. Initial progress reports are required for each quarter. Notification of Academic Problem/Change is required at any time a problem or change occurs. No expenditure reports are required. No performance monitoring is required.

Audits:

No audits are required for this program.

Records:

The Indian Health Service Scholarship Program maintains records on all students. Records must be maintained for 4 years after the final payment has been made.

Account Identification:

75-0390-0-1-551.

Obligations:

(Training) FY 12 \$2,866,261; FY 13 est \$2,866,261; and FY 14 est \$2,866,261

Range and Average of Financial Assistance:

\$22,524 - \$63,401; avg \$32,304.96.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR 36.370-36.374. Final Rules and Regulations, the Indian Health Care Improvement Act, Public Law 96-537 (which amends Public Law 94-437, Section 103), published in the Federal Register on February 29, 1984.

Regional or Local Office:

None. Program Contact: Dr. Dawn Kelly, IHS Scholarship Program, Indian Health Service, 801 Thompson Avenue, TMP Suite 450A, Rockville, MD 20852. Telephone: (301) 443-6197.

Grants Management Contact: Ms. Tammy Bagley, Acting Director, Division of Grants Management, Indian Health Service, 801 Thompson Avenue, Suite TMP 360, Rockville, MD 20852. Telephone: (301) 443-5204, Fax (301) 443-9602. Use the same number for FTS.

Headquarters Office:

Grants Policy Office 801 Thompson Avenue, Suite TMP 360, Rockville, Maryland 20852 Email: grantspolicy@ihs.gov Phone: 301-443-5204

Website Address:

<http://www.ihs.gov>

RELATED PROGRAMS:

93.971 Health Professions Preparatory Scholarship Program for Indians; 93.972 Health Professions Scholarship Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.124 NURSE ANESTHETIST TRAINEESHIPS

NAT

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Title VIII, Section 811(a)(2) of the Public Health Service (PHS) Act, (42 U.S.C. 296j(a)(2)), as amended by Section 5308 of the Patient Protection and Affordable Care Act, Public Law 111-148.

OBJECTIVES:

Grants are awarded to eligible institutions to provide financial support through traineeships for licensed registered nurses enrolled as full-time students in a master's or doctoral nurse anesthesia program.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Funds may be used to provide traineeship support for licensed registered nurses enrolled as full-time students in a masters or doctoral nurse anesthesia program.

Traineeships may include full or partial costs of the tuition, books, fees, and reasonable living expenses (stipends) for the individual during the traineeship.

Applicant Eligibility:

Eligible applicants are collegiate schools of nursing, academic health centers, and other private or public nonprofit entities accredited by a recognized body or bodies or State agency, approved for the purpose of nursing education by the Secretary of Education, which provide registered nurses with full-time anesthetist master's or doctoral education and have evidence of accreditation from the American Association of Nurse Anesthetists (AANA) Council on Accreditation of Nurse Anesthesia Educational Programs. The school must be located in the 50 states, the District of Columbia, the Commonwealth of Puerto Rico, the Northern Mariana Islands, the Virgin Islands, American Samoa, Guam, the U.S. Virgin Islands, the Federated States of Micronesia, the Republic of the Marshall Islands, and the Republic of Palau.

Beneficiary Eligibility:

Traineeship recipients are selected by the participating institutions. A candidate must be a citizen of the United States, non-citizen national, or foreign national who possesses a visa permitting permanent residence in the United States, and

must be enrolled full-time in an accredited nurse anesthetist master's education program.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Grant applications and required forms for this program can be obtained from Grants.gov. HRSA requires all applicants to apply electronically through Grants.gov.

Award Procedure:

Notification of award is made in writing (electronic) by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 6 - 9 months after receipt of applications.

Appeals:

Not Applicable.

Renewals:

Applications must be submitted annually.

Formula and Matching Requirements:

Statutory Formula: Formula-Based Program.

This program has no matching requirements.

This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

Project periods are for (one) year. See the following for information on how assistance is awarded/released: Grantees draw down funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

Program reports are required. The awardee will be required to submit annual performance and progress reports as well as status-federal financial reports (see below for general information on each required report). The awardee must submit a quarterly electronic Federal Financial Report (FFR) Cash Transaction Report via the Payment Management System within 30 days of the end of each calendar quarter. A Federal Financial Report (SF-425) is required within 90 days of the end of each budget period. A final report is due within 90 days after the project period ends. If applicable, the awardee must submit a Tangible Personal Property Report (SF-428) and any related forms within 90 days after the project period ends. New awards (Type 1) issued under this funding opportunity announcement are subject to the reporting requirements of the Federal Funding Accountability and Transparency Act (FFATA) of 2006 (Pub. L. 109282), as amended by section 6202 of Public Law 110252, and implemented by 2 CFR Part 170. Grant and cooperative agreement recipients must report information for each first-tier subaward of \$25,000 or more in federal funds and executive total compensation for the recipients and subrecipients five most highly compensated executives as outlined in Appendix A to 2 CFR Part 170 (FFATA details are available online at <http://www.hrsa.gov/grants/ffata.html>). Competing continuation awardees, etc. may be subject to this requirement and will be so notified in the Notice of Award. Expenditure reports are not applicable. Performance monitoring is not applicable. No cash reports are required. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records three years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the three year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular three-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Formula Grants) FY 12 \$2,250,000; FY 13 est \$2,250,000; and FY 14 est \$2,250,000

Range and Average of Financial Assistance:

FY13: Range is \$5,241 - \$108,305; average \$56,250
FY 12: Range is \$4,854 - \$154,701; average \$ 27,777
FY 11: Range is \$1,000 - \$47,679; average \$16,447.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for state, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

None. Karen Breeden, Division of Nursing, Bureau of Health Professions, Health Resources and Services Administration, Parklawn Building, Room 9-61, 5600 Fishers Lane, Rockville, MD 20857. Telephone: (301) 443-5688.

Headquarters Office:

Karen Breeden 5600 Fishers Lane, Room 9-61, Rockville, Maryland 20857
Phone: (301) 443-5688

Website Address:

www.hrsa.gov.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

All eligible applicants are considered in a formula for determined awards. Eligible applicants must meet all applicable Federal guidelines and/or graduates must be eligible for national nursing organization certification, and/or programs must meet other national organizational guidelines, as appropriate, in the nursing field of study. The applicants nurse anesthetist program must be accredited by the Council on Accreditation of the American Association of Nurse Anesthetists.

93.127 EMERGENCY MEDICAL SERVICES FOR CHILDREN

EMS for Children

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Title XIX, Section 1910, (42 U.S.C. 300w-9); as amended by Section 5603 of The Patient Protection and Affordable Care Act, P.L. 111-148.

OBJECTIVES:

To support demonstration projects for the expansion and improvement of emergency medical services for children who need treatment for trauma or critical care.

TYPES OF ASSISTANCE:

Cooperative Agreements; Project Grants

USES AND USE RESTRICTIONS:

All funds granted should be expended solely for carrying out the approved project in accordance with Section 1910 of the Public Health Service Act.

Applicant Eligibility:

State Governments and Accredited Schools of Medicine.

Beneficiary Eligibility:

All children will benefit from the project grants administered by this program, including children from minority groups.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. HRSA requires all applicants to apply electronically through Grants.gov.

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Award Procedure:

Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Final decisions are made about 4 months after receipt of applications.

Appeals:

Not Applicable.

Renewals:

Renewal applications will be accepted.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Awards are made annually, in accordance with the project period method of awarding grants. Payments are made through a Letter of Credit or Cash Demand System. Project periods are generally for up to 3 years, but may be up to 4 years with an optional 5th year. See the following for information on how assistance is awarded/released: Grantees drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards. See the following for information on how assistance is awarded/released: Grantees drawdown funds, as necessary, from

the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

Annual program reports are required. No cash reports are required. Annual Financial Reports. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0354-0-1-550.

Obligations:

(Project Grants) FY 12 \$19,671,545; FY 13 est \$18,505,648; and FY 14 est \$18,510,126

Range and Average of Financial Assistance:

\$40,000 to \$2,800,000; \$226,150.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices. Elizabeth A. Edgerton, M.D., M.P.H., Chief, EMSC and Injury Prevention Branch, Maternal and Child Health Bureau, Health Resources and Services Administration, Department of Health and Human Services, Room 18A-38, 5600 Fishers Lane, Rockville, MD 20857.

Headquarters Office:

Elizabeth A. Edgerton, M.D., M.P.H. Maternal and Child Health Bureau, Health Resources and Services Administration, 5600 Fishers Lane, Room 18-A38, Rockville, Maryland 20857 Phone: (301) 443-8930.

Website Address:

www.hrsa.gov

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Grant applications will be reviewed and evaluated by a panel of reviewers experienced in the planning, implementation, and monitoring of emergency medical services and pediatric care. The reviewers will recommend a score for applications; recommend any modifications or conditions to the grant if awarded; and recommend any changes to the proposed budget. Recommendations of the review panel are presented to the Associate Administrator for MCH. Panel recommendations are advisory only, and the

Associate Administrator for MCH will be responsible for final decisions regarding awards. Reviewers will use the criteria and questions described in the section on Categories of Grants: Program Narrative and Review Criteria to evaluate proposals. Applicants are urged to address these criteria as directly as possible in the text of the program narrative.

93.129 TECHNICAL AND NON-FINANCIAL ASSISTANCE TO HEALTH CENTERS

State and Regional Primary Care Associations and National Cooperative Agreements

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Public Health Services Act, as amended, Title III, Section 330(l), (42 U.S.C 254b).

OBJECTIVES:

To provide necessary technical and non-financial assistance to potential and existing primary health care programs for underserved populations, including: (1) training and assistance in fiscal and program management (program requirements); (2) operational and administrative support (performance improvement); and (3) the provision of information regarding resources to meet the health needs to the communities served by potential and existing health centers and other oral health care programs (program development/analysis) .

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Recipients will be expected to provide certain technical and non-financial assistance to health centers and other qualified organizations.

Applicant Eligibility:

Eligible applicants include public, non-profit, and for-profit entities that can provide oral health training and technical assistance on a national or state/regional basis to health centers and other community-based organization, including tribal and faith-based organizations.

Applications may be submitted by current State and Regional Primary Care Associations, National Cooperative Agreements, or new organizations that care provide training and technical assistance to potential and existing health centers.

Beneficiary Eligibility:

This program will benefit medically underserved populations.

Credentials/Documentation:

Applicants should review the applicable HRSA funding opportunity announcement(s) issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. HRSA requires all applicants to apply electronically through Grants.gov.

Qualified applications will be forwarded to an objective review committee. Taking into consideration of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Award Procedure:

Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 days to up to 6 months.

Appeals:

Not Applicable.

Renewals:

Awards to support projects beyond the first budget year will be contingent upon Congressional appropriation, compliance with applicable statutory and regulatory requirements, demonstrated organizational capacity to accomplish the project's goals, and a determination that continued funding would be in the best interest of the federal government.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Awards are made annually. After awards are issued, funds are released in accordance with DHHS payment procedures, which may be through an Electronic Transfer System or a Monthly Cash Request System. See the following for information on how assistance is awarded/released: Grantees draw down funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

No program reports are required. Quarterly cash transaction reports are required. Refer to the applicable funding opportunity announcement(s). Annual progress report and 6-month interim report are required. A Federal Financial Report (FFR) is required. The progress reports includes performance monitoring.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records for 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit, or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Cooperative Agreements) FY 12 \$58,899,516; FY 13 est \$66,600,000; and FY 14 est \$66,600,000

Range and Average of Financial Assistance:

\$300,000 to \$6,400,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

HRSA awards are subject to the requirements of the HHS Grants Policy

Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices. See Regional Agency Offices. Bureau of Primary Health Care, HRSA, 5600 Fishers Lane, Rockville, MD 20857. Northeast Division: (301) 594-4488; Central Southeast Division: (301) 594-4420; Southwest Division: (301) 480-1130; North Central Division: (301) 443-0011; Office of National Assistance and Special Populations (301) 443-9820.

Headquarters Office:

Bureau of Primary Health Care 5600 Fishers Lane, Room 17-105, Rockville, Maryland 20857 Phone: (301) 594-4300

Website Address:

<http://www.hrsa.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applicants for funding under Section 330 to provide technical and non-financial assistance to health centers will be evaluated on their ability to perform national, state or regional-specific assistance in the following areas: (1) training and assistance in fiscal and program management (program requirements); (2) operational and administrative support (performance improvement), and (3) program development/analysis. The application should outline specific activities under each core function. These activities must support and advance the HRSA mission goals and focus on HRSA programs. The extent and type of activities under each core function should depend on demonstrated programs needs and HRSA priorities.

93.130 COOPERATIVE AGREEMENTS TO STATES/TERRITORIES FOR THE COORDINATION AND DEVELOPMENT OF PRIMARY CARE OFFICES

State Primary Care Offices (PCO)

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Section 330(k), 330(m) and 333(d).

OBJECTIVES:

To coordinate local, State, and Federal resources contributing to primary care service delivery and workforce issues in the State to meet the needs of medically-underserved populations through health centers and other community-based providers of comprehensive primary care and the retention, recruitment, and oversight of health professions for medically underserved populations.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Recipients will be expected to perform State-wide primary care planning and resource coordination, including Medicaid, Children's Health Insurance program, State Offices of Rural Health, Ryan White Area Health Education Centers, Maternal and Child Health, etc.; support health systems development, primary care practitioners retention and recruitment, National Health Services Corps monitoring activities, as well as activities relating to other special populations including rural populations; and conduct operational and administrative support activities.

Applicant Eligibility:

States or territories, political subdivisions of States, agencies of States, or other public entities that operate solely within one State or represent multiple territories where appropriate. These entities must provide statewide coverage for primary health care issues and represent or have relationships with the broad range of primary health care delivery systems and programs in the State.

Beneficiary Eligibility:

Health Professional Shortage Areas and Medically Underserved Areas/Populations within States and Territories will benefit from this program.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Grant applications and required forms for this program can be obtained from Grants.gov. Please visit the Grants.gov Web site at www.grants.gov to both find and apply for all Federal grant opportunities.

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Award Procedure:

The Division of Independent Review is responsible for managing objective reviews within Health Resources and Services Administration. Applications will receive an objective and independent review performed by a committee of experts. Applications are reviewed for merit and are recommended for approval or disapproval. Each applicant will receive written notification of the outcome of the objective review process, including summary of the expert committee's assessment of the application's merits and weaknesses, and whether the application was selected for funding. Applicants who are selected for funding may be required to respond in a satisfactory manner to conditions placed on their application before funding can proceed. Final decisions are made by the Associate Administrator of the Bureau of Clinician Recruitment and Service, in coordination with the Associate Administrator of the Bureau of Primary Health Care. Letters of notification do not provide authorization to begin performance.

Notification is made in writing with the Notice of Award, which is signed by Grants Management Officer and is sent to the applicant's agency's Authorized Representative, is the authorizing document.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Support is recommended for a specified project period, not in excess of 5 years. After initial awards are made, projects may be renewed annually depending on the submission and approval of a satisfactory progress report. Future funding is contingent on the availability of funds for such purposes.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awards are made annually. After awards are issued, funds are released in accordance with DHHS payment procedures, which may be through an Electronic Transfer System or a Monthly Cash Request System. See the following for information on how assistance is awarded/released: Grantees draw down funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

Grantees are required to submit annual progress reports. Federal Financial Reports are required no later than 120 days after the end of each budget period. Final Federal Financial Reports and final summary reports are due within 120 days after the end of a project period. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Cooperative Agreements) FY 12 \$9,127,091; FY 13 est \$8,406,988; and FY 14 est \$9,000,000

Range and Average of Financial Assistance:

For FY 13 - \$104,215 to \$487,190; Average award per grantee \$196,358.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: In fiscal year 2012 and FY 2013 53 new awards were funded in FYs 2010-13. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: It is anticipated that 54 competing continuation awards will be funded in FY 2014.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices. Division of Regional Operations, Bureau of Clinician Recruitment and Service (BCRS), Health Resources and Services Administration (HRSA), Department of Health and Human Services; 5600 Fishers Lane, Room 9A-55, Rockville, Maryland 20852. Telephone: (301) 594-0816.

Headquarters Office:

Shortage Designation Branch, Division of Policy and Shortage Designation, BCRS, HRSA 5600 Fishers Lane, Room 9A-55, Rockville, Maryland 20852 Phone: (301) 594-0816

Website Address:

www.hrsa.gov

RELATED PROGRAMS:

93.129 Technical and Non-Financial Assistance to Health Centers; 93.549 Affordable Care Act (ACA) The Primary Care Services Resource Coordination and Development Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applicants will be evaluated in the following areas: (1) Ability to assess and analyze the State's health policy environment, its unmet need for preventive and primary care services, its health care marketplace and its major disparities in health outcomes; and (2) develop and implement strategies and activities to effectively assist health centers and NHSC delivery sites in their response to these State environmental conditions and needs identified.

93.134 GRANTS TO INCREASE ORGAN DONATIONS**FEDERAL AGENCY:**

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Reimbursement of Travel and Subsistence Expenses toward Living Organ Donation: Section 377 of the Public Health Service (PHS) Act, as amended, 42 U.S.C. Section 274f. Clinical Interventions to Increase Organ Procurement (CIOP): Section 377A of the Public Health Service (PHS) Act, as amended, 42 U.S.C. Section 274f-1(b). Social and Behavioral Interventions to Increase Solid Organ Donation: Section 377A(b) of the Public Health Service (PHS) Act, as amended, 42 U.S.C. Section 274f-1(b). Public Education Efforts to Increase Solid Organ Donation Program: Section 377A(b) of the Public Health Service (PHS) Act, as amended, 42 U.S.C. Section 274f-1(b). Donation and Transplantation Community of Practice Initiatives to Increase Organ Donation (DTCPIOD): Public Health Service Act, Section 377A, as amended, 42 U.S.C. Section 274f-1(d).

OBJECTIVES:

To support grants for the purpose of increasing public commitment to organ donation and the number of organs recovered and ultimately transplanted. To that end, the Division of Transplantation has cooperative agreements and grant programs with the following objectives: Reimbursement of Travel and Subsistence Expenses toward Living Organ Donation: The purpose of this program is to operate a national system to provide reimbursement of travel and subsistence expenses, and other non-medical expenses that may be authorized by the Secretary to individuals making living donations of their organs. Clinical Interventions to Increase Organ Procurement (CIOP): This program provides support for the implementation of highly promising research in clinical management of deceased organ donors. The program supports an evaluation of model interventions for identifying appropriate Donation after Neurological Determination of Death (DNDD) and Donation after Circulatory Determination of Death (DCDD) candidates, evaluating donated organs, maintaining donor stability, and optimizing methods for organ procurement. Recent CIOP research requests have focused upon Donor Management Research primarily in DNDD candidates. Social and Behavioral Interventions to Increase Solid Organ Donation: This program provides support for the implementation and rigorous evaluation of highly promising strategies and approaches for increasing public attitudes, practices and ultimately commitment to organ donation as well as family consent at a relative time of death. Public Education Efforts to Increase Solid Organ Donation: The purpose of this program is to support the implementation of public education and outreach programs that show promise of increasing organ donation. The program also supports the implementation of public education and outreach efforts that are based on an established framework for successful public health outreach programs. This grant program is supportive of the Divisions mission to educate the public about deceased donation and to encourage individuals to document their decision to be a donor in their statewide donor registry. Donation and Transplantation Community of Practice Initiatives to Increase Organ Donation (DTCPIOD): This program supports the planning of strategic development and implementation of a comprehensive group of programs and professional experiences to increase organ donation and transplantation in the United States.

TYPES OF ASSISTANCE:

Cooperative Agreements; Project Grants

USES AND USE RESTRICTIONS:

Reimbursement of Travel and Subsistence Expenses toward Living Organ Donation: Funds made available through this program may not be made available to donating individuals when payment for qualified reimbursement expenses has been made, or can reasonably be expected to be made: (1) under

any State compensation program, under an insurance policy, or under any Federal or State health benefits program; (2) by an entity that provides health services on a prepaid basis; or (3) by the recipient of the organ. Clinical Interventions to Increase Organ Procurement: Funds may not be made available to animal or living donor research, long-term transplantation outcomes research, interventions to increase tissue donation alone, practices related to pronouncement or determination of death, technologies that do not have sufficient benchmarking, and costs of hardware or software development. Social and Behavioral Interventions to Increase Solid Organ Donation Grant Program, Public Education Efforts to Increase Solid Organ Donation, and Donation and Transplantation Community of Practice Initiatives to Increase Organ Donation: Funds under these announcements may not be used for the following purposes: to purchase or improve land, or to purchase, construct, or make permanent improvements to any building except for minor remodeling; to make payments to recipients of services, except for reimbursement of reasonable and allowable out-of-pocket expenses associated with participation in project activities; to support: (a) biomedical and clinical research; (b) the development and/or assessment of the efficacy of new or improved clinical methods of donor management, organ recovery, or organ preservation; (c) fundamental research focused on new or improved evaluation tools and methodologies; (d) fundamental research focused on the development of new behavioral theories relevant to health attitudes, practices, and decision-making (e) interventions inconsistent with existing Federal law; (f) to implement efforts to educate about and/or promote living donation; (g) to conduct interventions to increase tissue donation alone; (h) to evaluate clinical outcomes of donation after cardiac death (DCD) organs; (i) to fund staff time devoted to project activities that is being supported by other sources. All Programs: Funds may not be used for activities that are reimbursable under Medicare. Funds must be used in a manner consistent with program policies developed by DoT and with the HHS Grants Policy Statement, January 1, 2007, available at the HRSA grants web site at <http://www.hrsa.gov/grants>.

Applicant Eligibility:

Reimbursement of Travel and Subsistence Expenses toward Living Organ Donation: As specified in Section 377 of the Public Health Service Act, as amended, eligible applicants include States, transplant centers, qualified organ procurement organizations under section 371, or other public or private entities. Faith-based, community organizations and Federally Recognized Indian Tribal Government and Native American Organizations are eligible to apply.

Clinical Interventions to Increase Organ Procurement Grant Program (CIOP): As specified in Section 377A (b) of the Public Health Service Act, as amended, the Secretary may make peer-reviewed grants to public and nonprofit private entities (including public or private nonprofit entities that are federally designated organ procurement organizations (OPOs), emergency medical systems, and donor and/or transplant hospitals). If the applicant is an OPTN member, and if the applicant is working with a consortium that includes OPTN members, the applicant and all other OPTN members are expected to comply with the final rule governing the operation of the OPTN Section 121.11(b2). Faith-based, community organizations and Federally Recognized Indian Tribal Government and Native American Organizations are eligible to apply.

Social and Behavioral Interventions to Increase Solid Organ Donation Grant Program: As specified in Section 377A(b) of the Public Health Service Act, as amended, the Secretary may make peer-reviewed grants to public and nonprofit private entities for the purpose of carrying out studies and demonstration projects to increase organ donation and recovery rates, including living donation. If the applicant is an OPTN member, and if the applicant is working with a consortium that includes OPTN members, the applicant and all other OPTN members are expected to comply with the final rule governing the operation of the OPTN Section 121.11(b2). Faith-based, community organizations and Federally Recognized Indian Tribal Government and Native American Organizations are eligible to apply.

Public Education Efforts to Increase Solid Organ Donation: As specified in Section 377A(b) of the Public Health Service Act, as amended, the Secretary may make peer-reviewed grants to public and nonprofit private entities for the purpose of carrying out studies and demonstration projects to increase organ donation and recovery rates, including living donation. If the applicant is an

OPTN member, and if the applicant is working with a consortium that includes OPTN members, the applicant and all other OPTN members involved in the project are expected to comply with the final rule governing the operation of the OPTN Section 121.11(b2). Faith-based, community organizations and Federally Recognized Indian Tribal Government and Native American Organizations are eligible to apply.

Donation and Transplantation Community of Practice Initiatives to Increase Organ Donation: Eligible applicants include nonprofit private or public entities eligible for funds under Section 377A(b) of the PHS Act as amended, (42 U.S.C. 274f-1(b)). Eligible applicants that are public and nonprofit private entities may include state and local governments, Indian Tribal Governments, institutions of higher education, other nonprofit organizations such as faith-based and community-based organizations and Tribal organizations. For-profit organizations may participate in a grant project but are not eligible to apply as the applicant institution. If the applicant is an Organ Procurement and Transplant Network (OPTN) member, and/or if the applicant is working with a consortium that includes OPTN members, the applicant and all other OPTN members involved in the project are expected to be in compliance with the final rule governing the operation of the OPTN (42 CFR Part 121), which can be found at http://www.optn.org/policiesAndBylaws/final_rule.asp. Faith-based, community organizations and Federally Recognized Indian Tribal Government and Native American Organizations are eligible to apply.

Beneficiary Eligibility:

Reimbursement of Travel and Subsistence Expenses toward Living Organ Donation: Primary beneficiaries are low/moderate income living organ donors and recipients. All other Programs: Beneficiaries are all organ transplant recipients.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. HRSA requires all applicants to apply electronically through Grants.gov.

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Award Procedure:

Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Up to 200 days from application deadline.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The Reimbursement of Travel and Subsistence Expenses toward Living Organ Donation Cooperative Agreement is awarded for a 12-month budget period over a four-year period.

Both Clinical Interventions Research grants and Social and Behavioral Research grants are awarded for up to three 12-month budget periods.

Public Education Efforts to Increase Solid Organ Donation are awarded for up to two 12-month budget periods.

Donation and Transplantation Community of Practice Initiatives to Increase Organ Donation Cooperative Agreement is awarded for a 12-month budget period over a three-year period. See the following for information on how assistance is awarded/released: Grantees drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

No program reports are required. No cash reports are required. Reimbursement of Travel and Subsistence Expenses toward Living Organ Donation: Two progress reports are required in addition to annual financial reports. Final reports and final financial status reports are due 90 days from the end of the project period. The grantee also submits quarterly updates to HRSA on the number and characteristics of applications received and funded by the Program.

Clinical Interventions to Increase Organ Procurement Grant Program: Two progress reports are required for all awardees one of which triggers a budget period renewal and release of subsequent year funds. An annual Federal Financial Report (SF-425) is due within 90 days of the end of each budget period. A final progress report is due within 90 days from the end of the project period.

Social and Behavioral Interventions to Increase Organ Donation Grant Program: Two semi-annual progress reports are required for all awardees in addition to annual financial reports. Final reports and final financial status reports are due 90 days from the end of the project period.

Public Education Efforts to Increase Solid Organ Donation: Two progress semi-annual reports are required for all awardees in addition to annual financial reports. Final reports and final financial status reports are due 90 days from the end of the project period. No expenditure reports are required. No performance monitoring is required.

Donation and Transplantation Community of Practice Initiatives to Increase Organ Donation: Mid-year and end-of-year progress reports are required. Reports indicate progress on proposed activities and plans for the remainder of the budget period. Awardee will receive information electronically about the specific requirements and formats of the reports, and are required to submit the reports electronically. Further information will be provided in the award notice. A final report is due within 90 days after the project period ends. The final report collects program-specific goals and progress on strategies; core performance measurement data; impact of the overall project; the degree to which the awardee achieved the mission, goal and strategies outlined in the program; awardee objectives and accomplishments; barriers encountered; and responses to summary questions regarding the awardee's overall experiences over the entire project period. The final report must be submitted on-line by awardees in the Electronic Handbooks (EHBs) at <https://grants.hrsa.gov/webexternal/home.asp>. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that

year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records for 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit, or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Cooperative Agreements) FY 12 \$2,300,000; FY 13 est \$2,270,000; and FY 14 est \$3,000,000 - Reimbursement of Travel and Subsistence Expenses toward Living Organ Donation Program. (Cooperative Agreements) FY 12 \$1,047,836; FY 13 est \$1,084,113; and FY 14 est \$0 - Clinical Interventions to Increase Organ Procurement Grant Program. (Project Grants) FY 12 \$3,237,178; FY 13 est \$2,273,332; and FY 14 est \$0 - Community of Practice. (Project Grants) FY 12 \$3,615,719; FY 13 est \$3,330,049; and FY 14 est \$3,496,124 - Social and Behavioral Interventions to Increase Organ Donation Grant Program. (Project Grants) FY 12 \$2,028,866; FY 13 est \$861,901; and FY 14 est \$1,200,000 - Public Education Efforts to Increase Organ Donation Program.

Range and Average of Financial Assistance:

Reimbursement of Travel and Subsistence Expenses toward Living Organ Donation: one award \$2,300,000

Clinical Interventions to Increase Organ Procurement Grant Program: \$392,797 to \$655,039; \$523,918.

Social and Behavioral Interventions to Increase Organ Donation Grant Program: \$254,543 to \$369,039; \$328,702.

Public Education Efforts to Increase Organ Donation: \$194,571 to \$400,199; \$289,838.

Donation and Transplantation Community of Practice Initiatives to Increase Organ Donation: one award \$3,237,178.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Reimbursement of Travel and Subsistence Expenses

Fiscal Year 2012:

The Regents of the University of Michigan in collaboration with the American Society of Transplant Surgeons continue to operate the Program through the National Living Donor Assistance Center (NLDAC) since its inception in October 2007. Through the end of May 2013, the NLDAC has received 3639 applications for reimbursement and has facilitated 1816 living donor transplants. The median household income for transplant recipients who received an organ facilitated by NLDAC is \$25,842. The average reimbursement to donors and companions who completed surgery is \$25,901. The NLDAC, since its inception, has paid out \$5.88 million to applicants including actual donors.

Clinical Interventions to Increase Organ Procurement Grant Program:
Fiscal Year 2012: No Current Data Available

Donation and Transplantation Community of Practice (DTCP) Initiatives to Increase Organ Donation
Fiscal Year 2012:

The Organ Donation and Transplantation Alliance (the Alliance) improved team building and collaboration through the DSA Action Team Meeting. Focused learning was provided through an Executive Leadership Summit and Performance Improvement Summit. Three task forces were conducted to address issues in donor management, quality improvement, and transplant center engagement. Web-based efforts provided approximately 5500 educational experiences. Foundation for improved multicultural training the following year was laid with key leaders in the community. The process of national DTCP strategic planning was strengthened by engaging key

organizations in a planning network. Collaborative conversion rate at end of the fiscal year was 76.8%, continuing to show incremental improvement, remaining above the targeted level of 75%. Proportion of Donation after Circulatory Death at end of the fiscal year was 13.6%, continuing to show incremental improvement, remaining above the targeted level of 10%. Fiscal Year 2013: Reimbursement of Travel and Subsistence Expenses
Fiscal Year 2013: No Current Data Available

Clinical Interventions to Increase Organ Procurement Grant Program:
Fiscal Year 2013: No Current Data Available

Social and Behavioral Interventions to Increase Organ Donation Grant Program
Since launching this program in FY 1999, HRSA/DoT has supported 96 research projects totaling \$77 million to test strategies for increasing organ and tissue donation or to replicate successful donation interventions. A broad spectrum of interventions to increase donation have been implemented at the national, State, and local levels by a variety of public and private organizations, ranging from large-scale national media and public education programs and statewide donor registries to community-based activities to raise awareness among various population groups. These projects have contributed to the knowledge base about important factors associated with the donation decision-making process and methods for motivating people to make the commitment to become organ donors.

Public Education Efforts to Increase Organ Donation
Since FY 2007, 21 projects totaling \$12 million have been supported under this grant program. Projects have implemented projects to increase organ donation registration and knowledge about living donation. These projects also have contributed to the knowledge base about important factors associated with the donation decision-making process and methods for motivating people to make the commitment to become organ donors.

Donation and Transplantation Community of Practice (DTCP) Initiatives to Increase Organ Donation
Fiscal Year 2013:
(first ten months) The Alliance engaged the community in a National Learning Congress with more than 1200 participants. Three task forces were conducted to address issues in donor management, quality improvement, and transplant center engagement, producing products including brain death declaration education, clinical practice and management toolboxes, comprehensive quality process report, and meaningful performance goals. Focused education initiatives scheduled for last two months include Pediatrics Summit, Performance Improvement and Executive leadership Summits, Donor Management Summit, and multicultural training. Four webinar series continue, with educational experiences expected to reach 7000. A multi-organizational network is expected to produce and refine the national DTCP strategic plan.
Fiscal Year 2014: Clinical Interventions to Increase Organ Procurement Grant Program:
Fiscal Year 2014: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices. Reimbursement of Travel and Subsistence Expenses toward Living Organ Donation: Mesmin Germain, MBA, MPH, Division of Transplantation, Healthcare Systems Bureau, Health Resources and Services Administration, 5600 Fishers Lane, Room 12C-06, Rockville, MD 20857. Telephone: 301-443-0053.

Clinical Interventions to Increase Organ Procurement Grant Program: Bernard Kozlovsky, MD, MS, Division of Transplantation, Healthcare Systems Bureau,

Health Resources and Services Administration, 5600 Fishers Lane, Room 12C-06, Rockville, MD 20857. Telephone: 301-443-0565.

Social and Behavioral Interventions to Increase Organ Donation Grant Program and Public Education Efforts to Increase Solid Organ Donation: Rita Maldonado, MPH, Division of Transplantation, Healthcare Systems Bureau, Health Resources and Services Administration, 5600 Fishers Lane, Room 12C-06, Rockville, MD 20857. Telephone: 301-443-3622.

Public Education Efforts to Increase Solid Organ Donation: Rita Maldonado, MPH, Division of Transplantation, Healthcare Systems Bureau, Health Resources and Services Administration, 5600 Fishers Lane, Room 12C-06, Rockville, MD 20857. Telephone: 301-443-3622.

Donation and Transplantation Community of Practice Initiatives to Increase Organ Donation: Teresa Beigay, DrPH, Division of Transplantation, Healthcare Systems Bureau, Health Resources and Services Administration, 5600 Fishers Lane, Room 12C-06, Rockville, MD 20857. Telephone: 301-443-5464.

Headquarters Office:

Division of Transplantation, 5600 Fishers Lane, Room C-06, Rockville, Maryland 20857 Phone: (301) 443-7577.

Website Address:

www.hrsa.gov.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Fiscal Year 2012: In 2012 two Social and Behavioral Interventions to Increase Solid Organ Donation grants were awarded. Both grants seek to increase knowledge of living donation, one among Native Americans through story-telling and the second among kidney transplant candidates. In 2012 three Public Education Efforts to Increase Solid Organ Donation grants were awarded. The goal of all three grants is to increase organ donor registration among their target audience, one through targeted messaging at department of motor vehicle offices, one through targeted messaging to 50-64 year olds, and the third through an electronic health information system.
Fiscal Year 2013: Reimbursement of Travel and Subsistence Expenses toward Living Organ Donation Program (FY 2010 through FY 2013): HRSA awards a cooperative agreement to the Regents of the University of Michigan to continue the operations of the National Living Donor Assistance Center to provide reimbursement of travel and subsistence expenses to living organ donors who meet the program eligibility guidelines. Transplant centers screen prospective donors for program eligibility requirements and submit applications and supporting documents to the program on behalf of their prospective donors.

Donation and Transplantation Community of Practice Initiatives to Increase Organ Donation FY2011 through FY 2013): This program engages one recipient, the Organ Donation and Transplantation Alliance, in the strategic development and implementation of a comprehensive group of programs and professional experiences to increase organ donation and transplantation in the United States. In the first six months of the project, the Alliance conducted meetings and webinars to improve organ donor management; quality improvement; action team processes; transplant program effectiveness; and support and advocacy for donors, donor families, and staff involved in these cases,

Clinical Interventions to Increase Organ Procurement Grant Program: HRSA funded two projects in FY 2011 to support for the implementation of highly promising research into improvements in clinical management of deceased organ donors.

Fiscal Year 2013: In 2013 four Social and Behavioral Interventions to Increase Solid Organ Donation grants were awarded. Two of the grants will support organ procurement organization staff by testing new training on communication

improvement strategies to improve consent rates. One grant project seeks to educate hospital staff on the donation process to create an environment more positively disposed to donation and thus increase consent rates. The fourth grant builds upon previous grants educating dialysis patients about transplantation, including living donation. In 2013 no grants were funded under the Public Education Efforts to Increase Solid Organ Donation program. Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Please refer to the Program Guidance.

93.135 CENTERS FOR RESEARCH AND DEMONSTRATION FOR HEALTH PROMOTION AND DISEASE PREVENTION

(Prevention Research Centers)

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Title 42, Part 330u-5, Section 1706.

OBJECTIVES:

(1) To establish, maintain, and operate academic-based centers for high-quality research and demonstration with respect to health promotion and disease prevention; (2) to establish linkages, where applicable, between ongoing, basic research in a wide array of fields and applied research in disease prevention and health promotion; (3) to bring the knowledge and expertise of academic health centers to bear on practical public health problems; (4) to field test and rigorously evaluate more cost-effective methods and strategies for preventing unnecessary illness and promoting good health; and (5) to shorten the time lag between the development of new and proven effective disease prevention and health promotion techniques and their widespread application. 6) to involve the community in the development, conduct, and implementation of prevention research.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funds are available for costs directly attributed to the performance of research and demonstration projects pertaining to health promotion and disease prevention plus certain indirect costs of the grantee in accordance with established policies of the Public Health Service. Grantees may not award subgrants but may enter into consortia agreements or contracts as necessary to achieve the aims of the program.

Applicant Eligibility:

Eligible applicants are accredited schools of medicine, schools of osteopathy, and schools of public health as defined in Section 701 (4) of Public Health Service Act.

Beneficiary Eligibility:

Academic health centers, scientist/researchers, operational public health programs, targeted high risk groups, selected demonstration areas, and the general public.

Credentials/Documentation:

Costs will be determined in accordance with HHS Regulations, 45 CFR 74. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. To apply for this funding opportunity, use application form SF 424. Forms and instructions are available in an interactive format on the CDC web site, at the following Internet address: www.cdc.gov/od/pgo/forminfo.htm. Submit the signed original and five copies of your application by mail or express delivery service to: Technical Information Management, CDC Procurement and Grants Office, 2920

Brandywine Road, Atlanta, GA 30341.

Award Procedure:

Approved grants are funded based on priority score ranking from a scientific review, as well as availability of funds, secondary review and such other significant factors deemed necessary and appropriate by the agency. Initial award provides funds for the first budget period (usually 12 months) and Notice of Grant Award (Form PHS 5152-1) indicates support recommended for remainder of project period, allocations of Federal funds by budget categories, and special conditions, if any.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days. From six to nine months.

Appeals:

Not Applicable.

Renewals:

Applications for renewal will be reviewed in the same manner as new applications and will compete for available funds with other applications.

Formula and Matching Requirements:

This program has no statutory formula.

Matching requirements are not applicable to this program.

This program does not have MOE requirements. The Prevention Research Centers Program does not receive allocations over \$100 million in the fiscal year.

Length and Time Phasing of Assistance:

From one to five years (renewable, based on competitive applications and availability of funds). See the following for information on how assistance is awarded/released: Lump sum unless there are funding restrictions due to pending issues (e.g., IRB concerns.).

Reports:

Interim progress (annually); annual report (three months after end of budget period); final progress report (three months after end of project period); and reprints and copies of resulting publications. No cash reports are required. Interim progress (annually); terminal progress report (three months after end of each budget period); and final progress report (three months after the end of the project period); and reprints and copies of resulting publications. Financial status reports (annual). Interim progress (annually).

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal officials.

Records:

Financial records, including documents to support entries on accounting records and substantiate charges to each grant, must be kept readily available for review by personnel authorized to examine PHS grant accounts. Records must be maintained for three years after the end of a budget period. If questions still remain, such as those raised as a result of audit, related records should be retained until the matter is completely resolved.

Account Identification:

75-0943-0-1-550.

Obligations:

(Cooperative Agreements) FY 12 \$11,510,658; FY 13 est \$2,656,082; and FY 14 est \$12,400,000

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Developed, implemented, and evaluated public health prevention research. Fiscal Year 2013: To develop, implement, and evaluate public health prevention research. Fiscal Year 2014: To develop, implement, and evaluate public health prevention research.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Kurt Greenlund Centers for Disease Control and Prevention
4770 Buford Hwy, MS K-45, Atlanta, Georgia 30341 Email: keg9@cdc.gov
Phone: 770-488-2572

Website Address:

<http://www.cdc.gov/prc>.

RELATED PROGRAMS:

93.283 Centers for Disease Control and Prevention_ Investigations and Technical Assistance

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Prevention Research Center applications are reviewed on the basis of scientific/technical merit, with attention given to such matters as: (1) The degree to which the applicant satisfies the essential requirements and possesses other desired characteristics, such as depth, breadth, and scientific merit of the overall application relative to the types of research and demonstration projects proposed; (2) clarity of purpose and overall qualifications, adequacy and appropriateness of personnel to accomplish proposed prevention research projects and demonstration projects, and the nation's health priorities and needs; (3) ability to generalize, translate and disseminate to State or local health departments, boards of education and other appropriate national, regional, and local public health agencies and organizations; (4) reasonableness of the proposed budget in relation to the work proposed.

93.136 INJURY PREVENTION AND CONTROL RESEARCH AND STATE AND COMMUNITY BASED PROGRAMS

National Center for Injury Prevention and Control

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Sections 301, 317, 391, 392, 393, and 394, as amended, 42 U.S.C. 241.

OBJECTIVES:

RESEARCH GRANTS: (1) To support injury control research on priority issues; (2) to integrate aspects of engineering, public health, behavioral sciences, medicine, engineering, health policy, economics and other disciplines in order to prevent and control injuries more effectively; (3) to rigorously apply and evaluate current and new interventions, methods, and strategies that focus on the prevention and control of injuries; (4) to stimulate and support Injury Control Research Centers (ICRCs) in academic institutions which will develop a comprehensive and integrated approach to injury control research and training; and (5) to bring the knowledge and expertise of ICRCs to bear on the development of effective public health programs for injury control. **STATE AND COMMUNITY PROGRAM GRANTS/COOPERATIVE AGREEMENTS:** (1) To develop and evaluate new methods or to evaluate existing methods and techniques used in injury surveillance by public health agencies; and (2) to develop, expand, or improve injury control programs to reduce morbidity, mortality, severity, disability, and cost from injuries.

TYPES OF ASSISTANCE:

Cooperative Agreements; Project Grants

USES AND USE RESTRICTIONS:

Funds are available for costs directly attributed to the performance of research and demonstrations of surveillance or interventions/evaluations programs pertaining to injury prevention and control plus certain direct costs of the grantee in accordance with established policies of the Public Health Service. Grantees may not award subgrants but may enter into contracts as necessary to achieve the aims of the program.

Applicant Eligibility:

For INJURY PREVENTION AND CONTROL RESEARCH PROGRAMS, AND INJURY CONTROL RESEARCH CENTERS: Eligible applicants may include any nonprofit or for-profit organization; for STATE AND COMMUNITY PROGRAM GRANTS/COOPERATIVE AGREEMENTS: State and local governments or their Bona Fide Agents (this includes the District of Columbia, the Commonwealth of Puerto Rico, the Virgin Islands, the Commonwealth of the Northern Mariana Islands, American Samoa, Guam, the Federated States of Micronesia, the Republic of the Marshall Islands, and the Republic of Palau) and political subdivisions of States (in consultation with States). Federally recognized or state-recognized American Indian/Alaska Native tribal governments, American Indian/Alaska native tribally designated organizations, Alaska Native health corporations, Urban Indian health organizations, Tribal epidemiology centers; for COMMUNITY-BASED PROGRAMS: public, private, nonprofit and for-profit organizations may be eligible.

Beneficiary Eligibility:

FOR RESEARCH GRANTS: Academic health centers, scientist/researchers, operational public health programs, State and local governments, and public and private organizations involved in injury research. FOR STATE AND COMMUNITY-BASED GRANTS AND COOPERATIVE AGREEMENTS: State and local health departments, and community-based organizations.

Credentials/Documentation:

For all nonprofit grantees, costs will be determined in accordance with HHS Regulations 45 CFR 74, Subpart Q. For-profit organizations' costs are determined in accordance with the Federal Acquisition Regulations, 48 CFR 31. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. This program is subject to the provisions of 45 CFR Part 92 for State and local governments and OMB Circular No. A-110 for nonprofit organizations, as appropriate. Funding Opportunity Announcements for this program are posted on www.Grants.gov, the official federal agency wide e-grant Web site. Application forms and instructions specific to each announcement are posted at that site. Electronic Submission: CDC strongly encourages the applicant to submit applications electronically by utilizing the forms and instructions posted on www.Grants.gov. Registering your organization through www.Grants.gov is the first step in submitting applications online. Registration information is located in the "Get Started" screen of www.Grants.gov and the one-time registration process will take three to five days to complete. While application submission through www.Grants.gov may be optional for various announcements, we strongly encourage you to use this online tool. Please visit www.Grants.gov at least 30 days prior to filing your application to familiarize yourself with the registration and submission processes. We suggest submitting electronic applications prior to the closing date so if difficulties are encountered, you can resolve them prior to the deadline. For further assistance contact GrantsInfo, Telephone: (301) 435-0714, E-mail: GrantsInfo@nih.gov. For the hearing impaired: TTY (770) 488-2783. If you do not have access to the Internet, or if you have difficulty accessing the forms on-line, you may contact the CDC Procurement and Grants Office Technical Information Management Section (PGO-TIM) staff at: (770) 488-2700. DUNS Number: Applications must have a Dun and Bradstreet (D&B) Data Universal Numbering System number as the universal identifier when applying for Federal grants or cooperative agreements. The D&B number can be obtained by calling (866) 705-5711 or through the web site at <http://www.dnb.com/us/>.

Award Procedure:

Applications will be reviewed for completeness by the Procurement and Grants Office (PGO) staff, and for responsiveness by the National Center for Injury Prevention and Control and PGO. Incomplete applications and applications that are non-responsive to the eligibility criteria will not advance through the review process. Applicants will be notified the application did not meet submission requirements. Successful applicants will receive a Notice of Award (NOA) from the CDC Procurement and Grants Office. The NOA shall be the only binding, authorizing document between the recipient and CDC. The NOA will be signed by an authorized Grants Management Officer, and mailed to the recipient fiscal officer identified in the application. **FOR RESEARCH GRANTS:** Applications that are complete and responsive to the announcement will be evaluated for scientific and technical merit by an appropriate peer review group convened by NCIPC in accordance with the review criteria stated below. All applications will: undergo a selection process in which only those applications deemed to have the highest scientific merit, generally the top half of applications under review, will be discussed and assigned a priority score; receive a written critique; receive a second level of review by the Board of Scientific Counselors. **FOR NON-RESEARCH GRANTS/COOPERATIVE AGREEMENTS:** Applicants are required to provide measures of effectiveness that will demonstrate the accomplishment of the various identified objectives of the grant or cooperative agreement. Measures of effectiveness must relate to the performance goals stated in the "Purpose" section of the announcement. Measures must be objective and quantitative and must measure the intended outcome. The measures of effectiveness must be submitted with the application and will be an element of evaluation. An objective review panel will evaluate complete and responsive applications according to the criteria listed. The objective review process will follow the policy requirements as stated in the GPD 2.04, <http://198.102.218.46/doc/gpd204.doc>.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

From 90 to 120 days. Renewals are made by competitive applications and reviews.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

From one to five years (noncompeting renewals based on availability of funds).

Method of awarding/releasing assistance: lump sum.

Reports:

Equipment inventory (three months after end of project); invention statement (annual) and reprints and copies of resulting publications. No cash reports are required. Interim progress report (annual) and final performance report (three months after end of project). For Injury Control Research Centers, an annual progress report is also required. For Applied Methods in Surveillance, and State and Community-Based Injury Control Programs, semi-annual progress reports are also required. Federal Financial Reports (annual). Final performance report (three months after end of project). For Injury Control Research Centers, an annual progress report is also required. For Applied Methods in Surveillance, and State and Community-Based Injury Control Programs, semi-annual progress reports are also required. Site visits performed as needed/required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that

year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Financial records, including documents to support entries on accounting records and to substantiate charges to each grant, must be kept readily available for review by personnel authorized to examine PHS grant accounts. Records must be maintained for three years after the end of a budget period. If questions still remain, such as those raised as a result of audit, related records should be retained until the matter is completely resolved.

Account Identification:

75-0952-0-1-551.

Obligations:

(Cooperative Agreements) FY 12 \$69,711,226; FY 13 est \$68,429,620; and FY 14 est \$71,063,529. (Project Grants) FY 12 \$11,745,383; FY 13 est \$10,849,731; and FY 14 est \$10,993,075

Range and Average of Financial Assistance:

Injury Control Research Centers: \$900,618. Injury Control Research Projects: \$200,000 to \$300,000; \$250,000. State and Community Based Injury Control Programs: \$40,000 to \$300,000; \$170,000. Violence Prevention Programs: \$80,609 to \$1,946,399; \$1,013,504. Motor Vehicle Prevention Programs: \$247,500 to \$803,000; \$525,250. Poison Control Programs: \$150,000 to \$803,000; \$476,500.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Fiscal years 2012 awards support the same injury-related areas as in fiscal year 2011. Fiscal Year 2013: Fiscal years 2013 awards are expected to support the same injury-related areas as in fiscal year 2012. Fiscal Year 2014: Fiscal years 2014 awards are expected to support the same injury-related areas as in fiscal year 2013.

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR 52; basic grant administration policies of DHHS and PHS are also applicable, 45 CFR 74 and 45 CFR 92; PHS Grants Policy Statement, DHHS Publication No. (OASH) 94-50,000, (Rev.) April 1, 1994.

Regional or Local Office:

None. Injury Control Research Centers: Walter (Paul) Smutz, Program Manager, National Center for Injury Prevention and Control, Centers for Disease Control and Prevention (F63), 4770 Buford Hwy. NE Atlanta, Georgia 30341-3724 Wsmutz@cdc.gov. Telephone: (770) 488-4850 FAX: (770) 488-1665. R-01 Awards: Donald Blackman, Program Manager, National Center for Injury Prevention and Control, Centers for Disease Control and Prevention (F63), 4770 Buford Hwy. NE Atlanta, Georgia 30341-3724 DBlackman@cdc.gov. Telephone: (770) 488-0641 FAX: (770) 488-1665. Community- Based Grant Programs Robin Forbes, Public Health Advisor, Resource Management Team, National Center for Injury Prevention and Control, Centers for Disease Control and Prevention (F63), 4770 Buford Hwy. NE Atlanta, Georgia 30341-3724 rjf0@cdc.gov. Telephone: (770) 488-1324 Fax: (770) 488-1662.

Headquarters Office:

Robin J. Forbes, 4770 Buford Hwy NE, MS-F63, Atlanta, Georgia 30341-3724 Email: rjf0@cdc.gov Phone: (770) 488-1324.

Website Address:

<http://www.cdc.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Applications are reviewed on the basis of scientific/technical merit, with attention being given to such matters as: (1) The degree to which the applicant satisfies the essential requirements and possesses other desired characteristics, such as richness, breadth, and scientific merit of the overall application relative to the types of research, demonstrations, and special projects proposed; (2)

clarity of purpose and overall qualifications, adequacy and appropriateness of personnel to accomplish proposed activities; (3) feasibility and likelihood of producing meaningful results based on the significance of the proposed activities and relevant evaluation procedures; (4) overall match between the proposed programs and the nation's health priorities and needs; and (5) reasonableness of the proposed budget in relation to the work proposed.

93.137 COMMUNITY PROGRAMS TO IMPROVE MINORITY HEALTH GRANT PROGRAM

Community Partnership

FEDERAL AGENCY:

Office of Minority Health, Department of Health and Human Services

AUTHORIZATION:

OMH is authorized to conduct this program under 42 U.S.C. Section 300 u-6, Section 1707 of the Public Health Service Act, as amended.

OBJECTIVES:

To support minority community health coalitions to develop, implement, and conduct demonstration projects which coordinate integrated community-based screening and outreach services, and include linkages for access and treatment to minorities in high-risk, low-income communities; and to support minority community health coalitions involving non-traditional partners in carrying out projects to increase the educational understanding of HIV/AIDS, increase testing, and improve access to HIV/AIDS prevention and treatment services.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds are to be used to conduct collaborative efforts to modify the behavioral and/or environmental conditions which are implicated in the health problems of minority groups: for example, cancer; cardiovascular disease and stroke; chemical dependency; diabetes; homicide, suicide, and unintentional injuries; infant mortality; human immunodeficiency virus (HIV) infection and acquired immunodeficiency syndrome (AIDS). These projects are to address socio-cultural and linguistic barriers to care and should have the potential for replication in similar communities. Funds are not to be used for the provision of health care services, construction, augmentation of ongoing Office of Minority Health (OMH) supported demonstrations, or to supplant ongoing project activities.

Applicant Eligibility:

Eligible applicants are private nonprofit community-based minority serving organizations which will serve as the grantee organization for the coalition. Faith based organizations are eligible to apply.

Beneficiary Eligibility:

Members of minority groups: American Indians or Alaska Natives; Asians; Blacks or African Americans; Hispanics or Latinos; Native Hawaiians or other Pacific Islanders; or subgroups of these populations.

Credentials/Documentation:

Proof of nonprofit status is required as part of the application submission. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. All open grant opportunities are posted on www.grants.gov. Additional information may be requested by calling 240-453-8822 or writing to: Office of Assistant Secretary for Health (OASH) Office of Grants Management, 1101 Wootton Parkway, Suite 550, Rockville, MD 20852.

Award Procedure:

Accepted applications will be reviewed by a review panel that will make recommendations on the technical merit based on the published criteria. After considering the recommendations of the panel, the Deputy Assistant Secretary for Minority Health, will make a final decision on funding projects and a notice of grant award will be issued.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. Approximately 90 to 120 days from the application deadline date.

Appeals:

Not Applicable.

Renewals:

> 180 Days. Grant projects can have a 3-year project period with 12-month budget periods.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grant projects can have a 3-year project period with 12-month budget periods. Following the initial budget period, continued funding is subject to the availability of funds and satisfactory program performance. Payments will be made either on a monthly cash request basis or under a letter of credit. Necessary instructions regarding payment procedure will be provided at the time the notice of grant award is issued. Method of awarding/releasing assistance: by letter of credit.

Reports:

Annual Program reports are required. Cash Reports are due on a minimum basis of quarterly. Progress reports are required quarterly for each budget period. Federal Financial Reports SF425 are due quarterly and at the end of each budget period. A final performance report is due 90 days following the end of the project period.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

HHS and the Comptroller General of the United States or any of their authorized representatives shall have the right of access to any books, documents, papers, or other records of a grantee, subgrantee, contractor, or subcontractor, which are pertinent to the HHS grant, in order to make audits, examinations, excerpts and transcripts. In accordance with 45 CFR, Part 74.53 and 45 CFR, Part 92, grantees are required to maintain grant accounting records 3 years after the end of a budget period. If any litigation, claim, negotiation, audit or other action involving the records has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0120-0-1-551.

Obligations:

(Project Grants) FY 12 \$11,629,623; FY 13 est \$3,215,000; and FY 14 Estimate Not Available

Range and Average of Financial Assistance:

\$100,000-\$150,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 74 and 92. Specific program requirements are contained in the Federal Register Notice, the application instructions, and the HHS Grants Policy Statement.

Regional or Local Office:

None. Program Management Contact: Office of Minority Health, Division of Program Operations, 1101 Wootton Parkway, Suite 600, Rockville, MD 20852. Telephone: (240) 453-8444.

Grants Management Contact: Office of Grants Management, 1101 Wootton Parkway, Suite 550, Rockville, MD 20852, Telephone (240) 453-8822.

Headquarters Office:

Eric C. West, Tower Building Suite 550, 1101 Wootton Parkway, Rockville, Maryland 20852 Email: eric.west@hhs.gov Phone: (240) 453-8822.

Website Address:

<http://www.omhrc.gov> .

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Complete review criteria are published in the Federal Register Notice or can be obtained from the Program contact. Listed below are some criteria used to review applications: (1) consistency of project's goals and objectives with the Office of Minority Health's mission; (2) coherence and feasibility of methodology and activities selected to address the problem as evidence in the proposed implementation plan; (3) strength of proposed grant organization's management capability; (4) adequacy of qualifications, experience and cultural competence of proposed personnel; and (5) strength of analysis of potential impact or innovation the project proposes to generate.

93.138 PROTECTION AND ADVOCACY FOR INDIVIDUALS WITH MENTAL ILLNESS

(PAIMI)

FEDERAL AGENCY:

Substance Abuse and Mental Health Services Administration, Department of Health and Human Services

AUTHORIZATION:

Protection and Advocacy for Individuals with Mental Illness Act of 1986, 42 USC 10801 et seq; Developmental Disabilities Assistance and Bill of Rights Act, as amended October 30, 2000, , 42 U.S.C 6041 et seq.

OBJECTIVES:

To enable the expansion of the Protection and Advocacy system established in each State to: (1) Protect and advocate the rights of individuals with mental illness; (2) investigate incidents of abuse and neglect of individuals with mental illness if the incidents are reported to the system or if there is probable cause to believe that the incidents occurred; (3) investigate incidents of serious injury and deaths in public and private care and treatment facilities and non-medical community-based facilities for children and youth; and (4) to receive reports of all serious injuries and deaths related to incidents of seclusion and restraint in public and private care and treatment facilities in the States and Territories.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Funds may be used to assist in meeting the costs of planning, developing, expanding, and implementing activities to support attainment of the protection and advocacy goals. Grant funds must supplement, not supplant, nonfederal funds available in the State in which the protection and advocacy system is established. Not more than 10 percent of an allotment can be used for providing technical assistance and training for staff, and a system which is a public entity cannot be required by the State to obligate more than 5 percent of the allotment for administrative expenses.

Applicant Eligibility:

State, local, and territory government agencies, public or private organizations designated by the Governor under Part C of the Developmental Disabilities Assistance and Bill of Rights Acts as systems to protect and advocate the rights of persons with developmental disabilities in that State.

Beneficiary Eligibility:

Individuals with significant mental illness or severe emotional impairment (children) who are at risk for abuse, neglect, or civil rights violations while residing in care or treatment facilities have service priority. Persons with significant mental illness and severe emotional impairment living in the community, including their own home, may be served as determined by their state protection and advocacy systems PAIMI program funded priorities and objectives and available resources includes persons who are in the process of being admitted to a facility rendering care or treatment, persons being transported to such a facility, or persons who are involuntarily confined in a municipal detention facility, jails, or prisons.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular A-110 for non-profit organizations. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. An annual application is required in accordance with 42 CFR Part 51.

Award Procedure:

Grants are directly awarded by the Center for Mental Health Services to the system established in the State to protect and advocate the rights of persons with developmental disabilities, as outlined in the "Developmental Disabilities Assistance and Bill of Rights Act of 2000", as amended October 30, 2000 by Public Law 106-420 (45 USC 6041 et seq.) .

Deadlines:

Oct 01, 2012

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title 42, Part 51, Public Law 106-420.

Matching requirements are not applicable to this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Each allotment is available for obligation over a 24-month period; payments are made through an Electronic Transfer System. Method of awarding/releasing assistance: lump sum.

Reports:

By January 1, an annual report must be submitted in accordance with the requirements stated in the authorizing Act (Public Law 106-420) at Section 105(a) (7) and Section 114. Federal Financial Report (FFR-425) is due 90 days after the end of the project period. SF-425 is due quarterly to the Division of Payment Management. Progress reports are not applicable. Federal Financial Report (FFR-425) is due 90 days after the end of the project period. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a

year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records must be retained for at least 3 years after the date of submission of required Federal Financial Reports (FFR-425).

Account Identification:

75-1363-0-1-551.

Obligations:

(Formula Grants) FY 12 \$35,513,612; FY 13 est \$33,571,479; and FY 14 est \$33,571,479

Range and Average of Financial Assistance:

\$217,900 - \$2,986,452; \$588,973.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: 57 awards were made. Fiscal Year 2013: 57 awards were made. Fiscal Year 2014: It is estimated 57 awards will be made.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 1386 Subpart B (DD regulations) and 42 CFR 51 (PAIMI regulations), Notice of Proposed Rulemaking FR 59739: 64367ff, December 14, 1994, 45 CFR 92 Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments; 45 CFR 74 Uniform Administrative Requirements for Awards and Subawards to Institutions of Higher Education, Hospitals, Other Nonprofit Organizations, and Commercial Organizations.

Regional or Local Office:

None.

Headquarters Office:

Virginia Simmons 1 Choke Cherry Road, Rm. 7-1091, Rockville, Maryland 20857 Email: virginia.simmons@samhsa.hhs.gov Phone: 240-276-1422 Fax: 240-276-1430

Website Address:

<http://www.samhsa.gov> .

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications for allotments must fulfill statutory requirements.

93.142 NIEHS HAZARDOUS WASTE WORKER HEALTH AND SAFETY TRAINING

Superfund Worker Training Program

FEDERAL AGENCY:

National Institutes of Health, Department of Health and Human Services

AUTHORIZATION:

Superfund Amendments and Reauthorization Act of 1986, as amended, Title I, Section 126, Public Law 99-499; Public Health Service Act, Section 405(b)(1)(C), as amended, Public Law 99-158.

OBJECTIVES:

To provide cooperative agreements and project grant support for the development and administration of model worker health and safety training programs consisting of classroom and practical health and safety training of workers and their supervisors, who are engaged in activities related to hazardous materials, hazardous waste generation, treatment, storage, disposal, removal, containment, transportation, or emergency response. To assist organizations in the development of institutional competency to provide appropriate training and education to hazardous waste workers.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

Cooperative agreements and project grants are intended to support the direct

costs of a project, in accordance with an approved budget, plus indirect costs at a pre-established rate. Both the award and use of funds are subject to applicable provisions of basic statutory authorities, appropriations acts, pertinent regulations, and operating policies of the National Institute of Environmental Health Sciences (NIEHS), the National Institutes of Health (NIH), and the Department of Health and Human Services (DHHS).

Applicant Eligibility:

A public or private nonprofit entity, including tribal governments, that provide worker health and safety education and training, may submit an application and receive a cooperative agreement or project grant for support of waste worker education and training by a named principal investigator. Recipients/grantees may use services, as appropriate, of other public or private organizations necessary to develop, administer, or evaluate proposed worker training programs, as long as the requirement for awards to nonprofit organizations is not violated. Nonprofit organizations which are incorporated under 501(c)(4) are prohibited from receiving grants.

Beneficiary Eligibility:

Any public or private entity providing worker safety and health education and training will benefit from this program.

Credentials/Documentation:

Applications must be signed by appropriate officials of the submitting institution. Costs will be determined in accordance with OMB Circular A-21 for universities, and A-122 for private nonprofit other than universities. For other recipient/grantees, costs will be determined by HHS Regulations 45 CFR 74, Subpart Q. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Application forms and instructions for their submission are available from the Office of Extramural Outreach and Information, National Institutes of Health, 6701 Rockledge Drive, MSC 7910, Bethesda, MD 20892-7910. Telephone: (301) 435-0714; e-mail: ASKNIH@odrockml.od.nih.gov and the National Institute of Environmental Health Sciences (MD 3-01), P.O. Box 12233, 104 Alexander Drive, Research Triangle Park, NC 27709. The standard application forms, as furnished by PHS and required by 45 CFR, Part 92, must be used for this program by those applicants that are State and local units of government. This program is subject to the provisions of 45 CFR, Part 92 for State and local governments and OMB Circular No. A-110 for nonprofit organizations, as appropriate.

Award Procedure:

As required in HHS Regulations, 42 CFR Part 65, applications will be reviewed administratively by NIEHS staff for completeness and responsiveness to the program announcement. Applications then will be reviewed on a competitive basis for technical merit by an ad hoc review committee composed primarily of non-government members with expertise in occupational safety and health training and hazardous waste operations convened by the NIEHS. A second level of review will be conducted by the National Advisory Environmental Health Sciences Council. This second level of review will be for program relevance. The final approval of these recommendations and decisions concerning funding is made by the Director, National Institute of Environmental Health Sciences (NIEHS) or, if in conflict, by appropriate NIH staff. Formal award notices are sent to successful applicants.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

> 180 Days. From 8 to 9 months.

Appeals:

> 180 Days. A principal investigator (P.I.) may question the substantive or procedural aspects of the review of his/her application by communicating with the staff of the Institute. A description of the NIH Peer Review Appeal

procedures is available on the NIH home page
<http://grants.nih.gov/grants/guide/notice-files/not97-232.html>.

Renewals:

> 180 Days. Renewal awards are subject to the same criteria as new applications.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Full program cooperative agreements may be awarded for up to 5 years, generally in 12-month budget periods. Funds are released primarily on the basis of an Electronic Transfer System. The Request for Application announcement is expected to be release in the summer of 2014. See the following for information on how assistance is awarded/released: Funds are released primarily on the basis of an Electronic Transfer System.

Reports:

A detailed annual cooperative agreement or project grant summary report is required with the renewal application for all program recipients/grantees. Annual and final progress reports, and financial status reports are required for all awardees. Cash reports are not applicable. A detailed annual cooperative agreement or project grant summary report is required with the renewal application for all program recipients/grantees. Annual and final progress reports, and financial status reports are required for all awardees. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal officials.

Records:

Expenditures and other financial and program records must be retained for 3 years from the day on which the awardee submits the final financial status report for the report period.

Account Identification:

75-0862-0-1-552.

Obligations:

(Cooperative Agreements) FY 12 \$27,067,000; FY 13 est \$27,255,000; and FY 14 est \$27,255,000 - Reimbursable funding from the oil spill are not reported.

Range and Average of Financial Assistance:

Range: \$65,958 to \$3,450,115
Average: \$845,844.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR 52; 45 CFR 74; 45 CFR 92; NIH Guide to Grants and Contracts; NIH Grants Policy Statement October 1, 2012. Other publications, information, and applications and kits are available from the Office of Extramural Research, Outreach and Activities Resources, National Institutes of Health, Bethesda, MD 20892, and the NIEHS (MD EC-22), P.O. Box 12233, 111 Alexander Drive, Research Triangle Park, NC 27709.

Regional or Local Office:

None. Headquarters Office: Program Contact: Mr. Joseph T. Hughes, Jr., Program Director, Worker Education and Training Program, National Institute of Environmental Health Sciences, National Institutes of Health, Department of Health and Human Services, P.O. Box 12233, Research Triangle Park, NC 27709. Telephone: (919) 541-0217, E-mail: Hughes3@niehs.nih.gov. Grants Management Contact: Pamela B. Clark, Grants Management Specialist, Grants

Management Branch, Division of Extramural Research and Training, National Institute of Environmental Health Sciences, National Institutes of Health, Department of Health and Human Services, P.O. Box 12233, Research Triangle Park, NC 27709. Telephone: (919) 541-7629, E-mail: evans3@niehs.nih.gov.

Headquarters Office:

Benigno Encarnacion, 111 TW Alexander Drive, Research Triangle Park, North Carolina 27709 Email: encarna1@niehs.nih.gov Phone: (919) 541-5147.

Website Address:

http://www.niehs.nih.gov/careers/hazmat/about_wetp/index.cfm

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: During FY 2012 WETP developed and implemented services to Spanish-speaking workers - meeting their needs for training they can understand and implement. The WETP prepared for disasters that are certain to occur; for example, curricula and trainers are being prepared for the requirements of a long-term response to a major, chemical disaster. And the WETP continued to maintain a gold standard of safety and health training - real hands-on and classroom training that our evaluations show reduces occupational death, disease, and injury. Fiscal Year 2013: WETP will continue to provide occupational safety and health education in developing and delivering high quality training to workers who are involved in handling hazardous waste or in responding to emergency releases of hazardous materials. Funded awardee information is available at the following website: http://www.niehs.nih.gov/careers/hazmat/programs/hwwt/hwwt_awardees/index.cfm. Fiscal Year 2014: WETP will continue to provide occupational safety and health education in developing and delivering high quality training to workers who are involved in handling hazardous waste or in responding to emergency releases of hazardous materials. Funded awardee information is available at the following website: http://www.niehs.nih.gov/careers/hazmat/programs/hwwt/hwwt_awardees/index.cfm.

CRITERIA FOR SELECTING PROPOSALS:

The following factors considered for applications for full program cooperative agreements include: (1) Methods and techniques to be used for identifying, describing, and accessing target worker populations and anticipated impact of the proposed program; (2) evidence of the organization's performance and effectiveness in planning, implementing and operating appropriate worker health and safety training and education programs; (3) adequacy of the detailed program plan for curricula development, training of instructors, distribution of course materials, and direct student training; (4) technical and professional expertise of the proposed program director, present or proposed staff, and consultants; (5) methods proposed for evaluating appropriateness, quality, and effectiveness of training; (6) availability of appropriate facilities and equipment to support the described educational and training activities including hands-on instruction and demonstration; (7) evidence of the organization's past performance and effectiveness in planning, implementing, and operating training and education programs for workers; (8) the likelihood that the program will continue beyond the grant period; and (9) reasonableness of the proposed program activities.

93.143 NIEHS SUPERFUND HAZARDOUS SUBSTANCES_BASIC RESEARCH AND EDUCATION

NIEHS Superfund Research Program

FEDERAL AGENCY:

National Institutes of Health, Department of Health and Human Services

AUTHORIZATION:

Superfund Amendments and Reauthorization Act of 1986, Title I, Section III, and Title II, Section 209, Public Law 99- 499, as amended; Comprehensive Environmental Response, Compensation, and Liability Act (CERCLA) of 1980, as amended, Section 311(a), Public Law 96-510; Public Health Service Act, Section 301, Public Law 78-410, as amended; Public Law 99-500.

OBJECTIVES:

To establish a unique program linking biomedical research with engineering, geoscience and ecological research. The goals of the Program are to establish

and support an innovative program of basic research and training consisting of multi-project, interdisciplinary efforts and individual investigator projects. Areas of research may include: (1) methods and technologies to detect hazardous substances in the environment; (2) advance techniques for the detection, assessment, and evaluation of the effects of hazardous substances on humans; (3) methods to assess the risks to human health presented by hazardous substances; and (4) and basic biological, chemical, and physical methods to reduce the amount and toxicity of hazardous substances. It is intended that advanced or graduate training be integrated into the multi-project research program to provide opportunities in biomedical and environmental health, environmental sciences, ecology, and geosciences. The Program encourages the integration of interdisciplinary training to ensure that its students are prepared to tackle the complex issues presented by hazardous waste sites.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Research grants are intended to support the direct costs of a project, in accordance with an approved budget, plus an appropriate amount for indirect costs. Grants made under this program are for university-based programs, and the objective is to establish and maintain coordinated research efforts that link basic biomedical research with related engineering, hydrogeological and ecological studies. In addition, the Superfund Research Program supports small business research through the Small Business Innovative Research (SBIR) and Small Business Technology Transfer (STTR) programs. The award and use of funds are subject to applicable provisions of basic statutory authorities, appropriations acts, pertinent regulations, and operating policies of the National Institute of Environmental Health Science (NIEHS), the National Institutes of Health (NIH), and the Department of Health and Human Services (DHHS).

Applicant Eligibility:

An accredited institution of higher education, as defined in the Higher Education Act, 20 U.S.C. (annotated) 3381, may submit an application and receive a grant for support of research by a named principal investigator. Subcontracts may be made with public and private organizations, including: generators of hazardous wastes; persons involved in the detection, assessment, evaluation, and treatment of hazardous substances; owners and operators of facilities at which hazardous substances are located; and State, local and Tribal governments. Nonprofit organizations which are incorporated under 501(c)(4) are prohibited from receiving grants. Organizations applying for a grant under the SBIR/STTR programs must qualify as a U.S.-owned Small Business Concern (SBC).

Beneficiary Eligibility:

Any accredited institution of higher education engaged in biomedical research and/or engineering and ecological research. SBIR and STTR awards are restricted to small business that meet NIH's criteria for SBC. Tribal entities that meet these requirements are eligible to apply.

Credentials/Documentation:

Applications must be signed by appropriate officials of the submitting institution. Costs will be determined in accordance with OMB Circular Nos. A-87 for State and local governments, A-21 for universities, and A-122 for private nonprofit other than universities. For-profit organizations, costs are determined in accordance with 48 CFR, Subpart 31.2 of the Federal Acquisition Regulations. For other grantees, costs will be determined by HHS Regulations 45 CFR 74, Subpart Q. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Prospective applicants are asked to submit a letter of intent. Although it is not required, is not binding, and does not enter into the review of a subsequent application, the information that it contains allows IC staff to estimate the potential review workload and plan the review. It is due one month prior to the receipt of the applications. Applications for the Superfund Research Program are accepted in response to

funding opportunity announcements (FOA) which are posted on National Institute of Environmental Health Sciences website (<http://www.niehs.nih.gov/research/supported/srp/funding/index.cfm>). Applications submitted in response to a multi component FOA must use the PHS 398 (version dated 8/12) paper submission. Application instructions are available at <http://grants.nih.gov/grants/funding/phs398/phs398.html> in an interactive format. Applications submitted in response to an individual investigator FOA must be submitted electronically through Grants.gov (<http://www.grants.gov>) using the SF424 Research and Related (R&R) forms and the SF424 (R&R) Application Guide. SBIR/STTR grant applications are accepted in response to the NIH Omnibus solicitation using the SF424 electronic application process (<http://www.grants.gov>). The SBIR/STTR omnibus grant solicitation is released in early spring of each year and has 3 receipt dates: April 5, August 5 and December 5.

Award Procedure:

Grants are awarded on the basis of a dual review by peer groups of all applications. The first level of review is by a Special Emphasis Panel of peers for scientific merit. The National Advisory Environmental Health Sciences Council provides a secondary level of review for all applications.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

> 180 Days. From 9 to 12 months.

Appeals:

> 180 Days. A principal investigator may question the substantive or procedural aspects of the review of his/her application by communicating with the staff of the Institute. A description of the NIH Peer Review Appeal procedures is available on the NIH home page <http://grants.nih.gov/grants/guide/notice-files/not97-232.html>.

Renewals:

> 180 Days. Renewal requests are subject to the same criteria as new applications.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Research Grants for the multi component and individual investigator may be awarded for up to 5 years, generally in 12-month budget periods. See the following for information on how assistance is awarded/released: Funds are released primarily on the basis of an Electronic Transfer System.

Reports:

No program reports are required. No cash reports are required. Annual and final progress reports, a final invention statement and financial status reports are required. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants are subject to inspection and audits by DHHS and other Federal officials.

Records:

Expenditure and other financial and program records must be retained for 3 years from the day on which the grantee submits the final financial status report for the report period.

Account Identification:

75-0862-0-1-552.

Obligations:

(Project Grants) FY 12 \$47,996,000; FY 13 est \$45,375,000; and FY 14 est \$45,375,000

Range and Average of Financial Assistance:

Range: \$10,800 to \$3,221,591

Average: \$1,410,765.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: In FY 2012, the Superfund Research Program continued to support research and make advances in the areas germane to its legislative mandates: to develop methods to detect hazardous substances in the environment; advance techniques for the detection, assessment, and evaluation of the effects of hazardous substances on humans; research methods to assess the risks to human health presented by hazardous substances; and develop basic biological, chemical, and physical methods to reduce the amount and toxicity of hazardous substances. Fiscal Year 2013: In Fiscal Year 2013, the Superfund Research Program continues to support large, multi-project center grants, individual investigator grants and Small Business Innovative Research (SBIR) and Small Business Technology Transfer (STTR) programs in order to advance research in accordance with the mandates specified in with the Superfund Amendments and Reauthorization Act (SARA) of 1986. Fiscal Year 2014: In Fiscal Year 2014, the Superfund Research Program will continue to support large, multi-project center grants, individual investigator grants and Small Business Innovative Research (SBIR) and Small Business Technology Transfer (STTR) programs in order to advance research in accordance with the mandates specified in with the Superfund Amendments and Reauthorization Act (SARA) of 1986.

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR 52; 45 CFR 74; 45 CFR 92; NIH Guide to Grants and Contracts; NIH Grants Policy Statement, October 1, 2012. Other publications, information, and applications and kits are available from the Office of Extramural Research, Outreach and Activities Resources, National Institutes of Health, Bethesda, MD 20892, and the NIEHS, P.O. Box 12233, 111 Alexander Drive, Research Triangle Park, NC 27709.

Regional or Local Office:

None. Program Contact: Dr. William Suk, Director, Superfund Hazardous Substance Basic Research and Training Program, Division of Extramural Research and Training, National Institute of Environmental Health Sciences, National Institutes of Health, Public Health Service, Department of Health and Human Services, P.O. Box 12233, Research Triangle Park, NC 27709. E-mail suk@niehs.nih.gov, Telephone: (919) 541-0797. Grants Management Contact: Lisa A. Edwards, Grants Management Officer, Grants Management Branch, Division of Extramural Research and Training, National Institute of Environmental Health Sciences, National Institutes of Health, Department of Health and Human Services, P.O. Box 12233, Research Triangle Park, NC 27709. Telephone: (919) 541-0751; E-mail: archer@niehs.nih.gov.

Headquarters Office:

Benigno Encarnacion, 111 TW Alexander Drive, Research Triangle Park, North Carolina 27709 Email: encarnal@niehs.nih.gov Phone: (919) 541-5147.

Website Address:

<http://www-apps.niehs.nih.gov/sbrp/>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: In Fiscal Year 2012, NIEHS Superfund Research Program renewed three multi-project center grants (<http://tools.niehs.nih.gov/srp/programs/index267.cfm>). One grant provides \$13.2 million over a period of five years to study the health effects and geochemistry of arsenic and manganese. Included in its investigations area longitudinal epidemiologic study to evaluate the effects of arsenic exposure in a population in Bangladesh; consequences of As and Mn exposure on children's health; and impact of nutrition on arsenic-induced epigenetic dysregulation. This research endeavor is intimately intertwined with other research projects that will look at arsenic, iron, sulfur and organic carbon speciation, and their impact on groundwater arsenic; application of enhanced mitigation methods for groundwater As at US Superfund Sites; and defining the sustainable uses of low-As aquifers in Bangladesh.

Another previously funded multi-project grant was awarded \$14.5 million over a period of five years. Under this grant, investigators are gaining new perspectives on the molecular and genetic basis of the biological effects of toxicant exposure leading to new methodologies for gauging health risks and assessing health effects; innovative detection and monitoring systems for toxicity; and novel models for bioremediation. Using the prior observation that chemical exposure leads to alterations in patterns of gene expression, which are controlled and regulated by underlying signal transduction pathways, the researchers will test the hypothesis that alterations in biological response by Superfund site chemicals can be exploited to develop models for the detection and bioremediation of chemical toxicants. The investigators have also formed partnerships with local industry and community groups to develop biological tools for assessment of exposure levels arising from waterborne pollutants in order to predict health risk.

In the third grant renewal, \$11.6 million was awarded over five years to identify receptor-based toxic effects of superfund chemicals on development and reproduction in humans and wildlife. The chemicals under study are organic compounds of special interest to the SRP mandate that initiate their toxic actions by interacting with specific molecules inside cells called receptors that can lead to cell development alterations. A special feature of this research center is a coordinated set of parallel projects examining molecular and population effects of developmental toxins in the standard laboratory zebra fish model and a widespread environmental sentinel, the killifish. Epidemiological studies of developmental and reproductive outcomes from exposure to the high-prevalence Superfund chemicals perchloroethylene (PCE, tetrachloroethene), PCBs, the pesticide methoxychlor, phthalate, organotins and metals target knowledge gaps identified as special research needs by EPA/ATSDR. A major feature is the development of novel methods to address some of the most difficult problems associated with the typical hazardous waste epidemiological and toxicological datasets, temporal spatial distribution and interaction in mixtures.

In 2011, NIEHS Superfund Research Program released an Request for Applications (RFA), Innovative Bioavailability Assays to Assess the Effectiveness of Contaminated Sediment Remediation for individual investigator project grants. In response to this RFA, in 2012 five grants were awarded for a period of three years. These grants were directed to the development of innovative assays of bioavailability that may be used to determine the effectiveness of sediment remediation in reducing risks to humans. The ultimate goals of funding grants under this solicitation are two-fold: first, to develop and introduce new tools to assess whether remediation efforts are protective of human health; and second, to increase use of bioavailability in risk assessment through providing scientifically-valid, practical, and cost-effective tools. Additional information for these awards can be found at (<http://tools.niehs.nih.gov/srp/programs/r01current.cfm>).

Also in Fiscal Year 2012, the NIEHS Superfund Research Program, funded a new Small Business Innovative Research grant (<http://tools.niehs.nih.gov/srp/programs/index269.cfm>) for a total of \$141,000. The grant will be for detection of hazardous substances. Fiscal Year 2013: No current data are available for Fiscal Year 2013. Fiscal Year 2014: No current data are available for Fiscal Year 2014.

CRITERIA FOR SELECTING PROPOSALS:

Major factors considered in the evaluation of responsive applications include: (1) Scientific merit of each proposed project, including the novelty, originality, and feasibility of the approach and the adequacy of the experimental design; (2) technical merit and justification of each core unit; (3) competence of the investigators to accomplish the proposed research goals, their commitments, and the amount of time they will devote to the program; (4) scope of the overall effort in relation to the objective of the program to create unique interdisciplinary programs to eventually include, not only biomedical components, but also engineering, ecological and/or hydrogenological components; (5) adequacy of the facilities to perform the proposed research; (6) integration of the various projects and core units into an effective program and plans for interactions among investigators; (7) adequacy and commitment of institutional resources to administer an integrated, collaborative program; (8) appropriateness of the budget for the proposed program; (9) relevance of

proposed research to problems associated with hazardous waste substances; and (10) progress of program to date for completing renewal applications.

93.145 AIDS EDUCATION AND TRAINING CENTERS

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Title XXVI, Sections 2606, 2619, 2654, and 2671, of the Public Health Service Act (42 U.S.C. 300ff-111), as amended by the Ryan White HIV/AIDS Treatment Extension Act of 2009 (Public Law 111-87).

OBJECTIVES:

The purpose of this funding is to: 1) To assess RWHAP grantees technical assistance needs related to building capacity for outreach and enrollment of minority PLWH into expanded health insurance options; (2) To work with HRSA, CDC, SAMSHA and CMS to assess best practices for minority client outreach and enrollment activities that would facilitate access to health care in the Medicaid expansion and Health Insurance Marketplaces (Exchanges); and (3) Work with HHS agencies and national organization partners to develop strategies, tools, and trainings for RWHAP grantees to utilize for outreach and enrollment activities;. (4) To train health personnel, including practitioners in programs under this title and other community providers, in the diagnosis, treatment, and prevention of HIV disease, including the prevention of the perinatal transmission of the disease, including measures for the prevention and treatment of opportunistic infections, and including (as applicable to the type of health professional involved), prenatal and other gynecological care for women with HIV/AIDS; (5) To train the faculty of schools of, and graduate departments or programs of, medicine, nursing, osteopathic medicine, dentistry, public health, allied health, and mental health practice to teach health professions students to provide for the health care needs of individuals with HIV/AIDS; (6) To develop and disseminate curricula and resource materials relating to the care and treatment of individuals with such disease and the prevention of the disease among individuals who are at risk of contracting the disease; and (7) To develop protocols for the medical care of women with HIV/AIDS, including prenatal and other gynecological care for such women.

TYPES OF ASSISTANCE:

Cooperative Agreements; Project Grants

USES AND USE RESTRICTIONS:

Grants will be awarded for the provision of training and technical assistance to build the capacity of RWHAP grantees and related providers to provide outreach to PLWH and to screen and enroll eligible minority PLWH into expanded health insurance options.

Applicant Eligibility:

Entities eligible to apply include public groups, nonprofit, private entities (including faith-based and community based organizations) and school and academic health science centers involved in addressing HIV/AIDS related issues at a national level. Applicants must have extensive experience in the field of health professional training and adult learning, HIV disease and disease management, and program administration and monitoring and providing capacity building assistance to HIV/AIDS related organizations and constituencies on a national level.

Beneficiary Eligibility:

Primary health care personnel and organizations that employ healthcare providers/practitioners/clinicians.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should

consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. HRSA requires all applicants to apply electronically through Grants.gov.

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Award Procedure:

Notification is made in writing by a Notice of Grant Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 6 months.

Appeals:

Not Applicable.

Renewals:

Annual noncompetitive, competitive awards typically are for up to 3 years.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants are typically awarded for a 3 to 5 year project period with 12-month budget periods. See the following for information on how assistance is awarded/released: Grantees drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

Annual program progress reports, OMB-approved data reports, and special reports (if any) are required. A Federal Financial Report (FFR) or SF 245 is to be submitted within 90 days after the close of each budget period and a final Financial Report is required 90 days following the end of the project period. Refer to the funding opportunity announcement re: progress reporting. No expenditure reports are required. Refer to the funding opportunity announcement re: performance monitoring.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records for 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit, or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Project Grants) FY 12 \$34,819,000; FY 13 est \$34,542,000; and FY 14 est \$32,500,000

Range and Average of Financial Assistance:

For FY12: \$200,000 to \$4,313,615; \$2,031,232.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

AETC: Barbara Aranda-Naranjo 5600 Fishers Lane, Room 7-90

Coop Agreement: Heather Hauck 5600 Fishers Lane, Room 7A-15, Rockville, Maryland 20857 Phone: (301) 443-6745 , Rockville, Maryland 20857 Phone: (301) 443-3726

Website Address:

www.hrsa.gov.

RELATED PROGRAMS:

93.914 HIV Emergency Relief Project Grants; 93.918 Grants to Provide Outpatient Early Intervention Services with Respect to HIV Disease

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

In making grants, the Secretary shall give preference to qualified projects which will -- (A) train, or result in the training of health professionals who will provide treatment for minority individuals with HIV/AIDS and other individuals who are at high risk of contracting such disease; (B) train, or result in the training of minority health professionals and minority allied health professions to provide treatment for individuals with such disease; and (C) train or result in the training of health professionals and allied health professionals to provide treatment for hepatitis B or C co-infected individuals. The acronym HIV stands for "human immunodeficiency virus", the etiologic agent for acquired immune deficiency syndrome (AIDS).

93.150 PROJECTS FOR ASSISTANCE IN TRANSITION FROM HOMELESSNESS (PATH)

(PATH)

FEDERAL AGENCY:

Substance Abuse and Mental Health Services Administration, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Title V, Part C, Section 521, as amended, 42 U.S.C 290cc-21 et seq; Stewart B. McKinney Homeless Assistance Amendments Act of 1990, Public Law 101-645.

OBJECTIVES:

To provide financial assistance to States to support services for individuals who are suffering from serious mental illness or serious mental illness and substance abuse; and are homeless or at imminent risk of becoming homeless. Programs and activities include: (1) Outreach services; (2) screening and diagnostic treatment services; (3) habilitation and rehabilitation services; (4) community mental health services; (5) alcohol or drug treatment services; (6) staff training; (7) case management services; (8) supportive and supervisory services in residential settings; (9) referrals for primary health services, job training, educational services, and relevant housing services; and (10) prescribed set of housing services.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Funds may be used at the discretion of the State to achieve the described objectives except that funds cannot be used: (1) To support emergency shelters or construction of housing facilities; (2) for inpatient psychiatric or substance abuse treatment costs; or (3) to make cash payments to intended recipients of mental health or substance abuse services. Not more than 20 percent of the payment may be expended for housing services; not more than 4 percent of the total allocation may be expended for administrative expenses. The applicants must agree that the payments will be expended solely for making grants to political subdivisions of the State, and to nonprofit private entities (including community-based veterans organizations and other community organizations) for the purpose of providing the services.

Applicant Eligibility:

States, District of Columbia, Guam, American Samoa, the Commonwealths of Puerto Rico and the Northern Mariana Islands, and the Virgin Islands.

Beneficiary Eligibility:

Individuals who have a serious mental illness or serious mental illness and substance abuse; and are homeless or are at imminent risk of becoming homeless.

Credentials/Documentation:

This program is subject to 45 CFR 92, Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

There is no preapplication requirement. This program is not subject to the intergovernmental review requirements of E.O. 12372, as implemented through DHHS regulations at 45 CFR 100. However, individual States may require, or an applicant State mental health agency may want to implement, coordination procedures similar to those specified in E.O. 12372. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. The Chief Executive Officer of a State or Territory must apply annually for an allotment. Applications include a completed application form and a set of assurances.

Award Procedure:

Applications are reviewed for completeness and for compliance with legislative requirements. Grant awards are issued directly by the Center for Mental Health Services (CMHS) to the designated State agency.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. Approximately 8 weeks.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title 45, Part 92, Public Law 101-645.

Matching Requirements: The formula is cited in Section 524 of the Public Health Service Act, as amended by Public Law 101-645. The formula allots funds on the basis of the population living in urbanized areas of the State, compared to the population living in urbanized areas of the entire United States except that no State receives less than \$300,000 (\$50,000 for Territories). States must agree to make available, directly or through donations from public or private entities, nonfederal contributions equal to not less than \$1 (in cash or in kind) for each \$3 of Federal funds provided in such grant. Territories have no matching requirements.

This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

Each allotment is available for obligation for the award period (1-year);

payments are made through an Electronic Transfer System. Method of awarding/releasing assistance: lump sum.

Reports:

The State involved agrees that by not later than January 31 of each fiscal year, the State will prepare and submit to the Secretary of Health and Human Services a report on its activities necessary for securing a record and a description of the purposes for which funds received under Section 521 were spent and the recipients of such funds, and for determining whether such amounts were expended in accordance with the provisions of the legislation.

Federal Financial Report (FFR-425) is due 90 days after the end of the project period. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records 3 years after the end of a budget period. If any litigation, claim, negotiation, audit or other action involving the records has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it.

Account Identification:

75-1363-0-1-551.

Obligations:

(Formula Grants) FY 12 \$61,877,000; FY 13 est \$58,446,000; and FY 14 est \$58,446,000

Range and Average of Financial Assistance:

\$50,000 to \$9,034,000; \$1,104,946.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: 56 awards were made. Fiscal Year 2013: 56 awards were made. Fiscal Year 2014: It is estimated that 56 awards will be made.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 92, Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments.

Regional or Local Office:

None.

Headquarters Office:

Virginia Simmons 1 Choke Cherry Road, 7-1091, Rockville, Maryland 20857
Email: virginia.simmons@samhsa.hhs.gov Phone: 240-276-1422 Fax: 240-276-1430

Website Address:

<http://www.samhsa.gov> .

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications must fulfill all statutory and regulatory requirements.

93.153 COORDINATED SERVICES AND ACCESS TO RESEARCH FOR WOMEN, INFANTS, CHILDREN, AND YOUTH

Ryan White Program Part D Women, Infants, Children and Youth (WICY) Program

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Title XXVI of the Public Health Service Act, Sections 2671 and 2693 (42 U.S.C. 300ff-71 et seq.), as amended by the Ryan White HIV/AIDS Treatment Extension Act of 2009 (P.L. 111-87).

OBJECTIVES:

The purpose of this funding is to provide family-centered primary medical care to women, infants, children, and youth (WICY) living with HIV/AIDS when payments for such services are unavailable from other sources. Funding is intended to improve access to primary HIV medical care for HIV-infected women, infants, children, and youth through the provision of coordinated, comprehensive, culturally and linguistically competent services. HIV primary medical care refers to outpatient or ambulatory care, including behavioral health, nutrition, and oral health services. Family-centered care refers to services that address the health care needs of the persons living with HIV in order to achieve optimal health outcomes. Specialty care refers to specialty HIV care and specialty medical care such as obstetrics and gynecology, hepatology, and neurology. Support services may include the following:

- 1 Family-centered care including case management.
- 2 Referrals for additional services including-
 - (a) referrals for inpatient hospital services, treatment for substance abuse, and mental health services; and
 - (b) referrals for other social and support services, as appropriate.
- 3 Additional services necessary to enable the patient and the family to participate in the program established by the applicant pursuant to such subsection including services designed to recruit and retain youth with HIV.
- 4 The provision of information and education on opportunities to participate in HIV/AIDS-related clinical research.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

All funds granted must be expended solely for carrying out the approved project in accordance with application guidelines, the conditions of award and the applicable cost principles.

Applicant Eligibility:

Public and nonprofit private entities that provide primary care services, either directly or by another entity that is linked with the grantee, either through a contract, written partnership, or other formal agreement. Proof of nonprofit status is required for nonprofit organizations. Organizations may include State and local governments, their agencies, and Indian Tribes or tribal organizations with or without Federal recognition. Primary care providers must show proof of Medicaid eligibility.

Beneficiary Eligibility:

Women, infants, children, and youth with HIV/AIDS and their affected family members.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. All applicants are required to apply electronically through Grants.gov.

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Award Procedure:

Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days.

Appeals:

Not Applicable.

Renewals:

Grants may be made for up to 3-year project periods, subject to availability of funds. After initial awards, projects may be renewed contingent upon submission and approval of a continuation progress report.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awards, on a competitive basis will be for a one year budget period, although project periods may be approved for up to three years. Continued support, beyond the first year, is contingent upon satisfactory performance and the availability of Federal funds. See the following for information on how assistance is awarded/released: Grantee drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

The grantee must submit the annual Ryan White Services Report due the last Monday in March each year, covering the just-ended Jan. 1 - Dec. 31 calendar year. No cash reports are required. No progress reports are required. Grantees must also submit an Allocations Report, 60 days after the start of the budget period, and an Expenditures Report due 90 days after the end of the budget period (OMB 0915-0318). Grantees must submit a Federal Financial Report (FFR) or SF 245 within 90 days after the end of the budget period. Additionally, each funded program must submit a budget period renewal progress report each year prior to the end of the project period.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records for 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit, or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Project Grants) FY 12 \$65,738,426; FY 13 est \$63,976,600; and FY 14 est \$70,900,000

Range and Average of Financial Assistance:

For FY 12: \$187,430 to \$2,260,049; \$463,975.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Polly Ross 5600 Fishers Lane, Room 7-AA-30, Rockville, Maryland 20857
Phone: (301) 443-7602

Website Address:

<http://www.hrsa.gov>

RELATED PROGRAMS:

93.110 Maternal and Child Health Federal Consolidated Programs; 93.994 Maternal and Child Health Services Block Grant to the States

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are included in the program guidance materials provided with application kits. Contact the HRSA Grant Application Center for details.

93.155 RURAL HEALTH RESEARCH CENTERS

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Section 123 of P.L. 110-275, the Medicare Improvements for Patients and Providers Act of 2008 (MIPPA); Section 711 (b) of the Social Security Act (42 U.S.C. 912(b)) as amended by section 432 of the Medicare Prescription Drug Improvement and Modernization Act of 2003.

OBJECTIVES:

To support the operation of rural health research centers, research dissemination, rural policy analysis, rapid response to quick data turn around requests, and rural health best practices to provide an information base on the full range of rural health services issues, including financing, recruitment and retention of health professionals, access to care, health disparities and rural delivery systems.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

All funds awarded should be expended solely for carrying out approved projects in accordance with the intent of the cooperative agreement as stipulated in the notice of award.

Applicant Eligibility:

Research Center eligibility is open to public, private and non-profit organizations, including faith-based and community-based organizations. Principal investigator must have authored or co-authored at least two articles about rural health research published in peer-reviewed scientific journals. Please see individual grant FOA at www.grants.gov for program-specific eligibility.

Research Dissemination award eligibility is open to all public and private nonprofit entities. Please see individual grant FOA at www.grants.gov for program-specific eligibility.

Rural Policy Analysis awards eligibility is open to public, private, and nonprofit

organizations including faith-based and community organizations, state governments and their agencies such as universities, colleges, research institutions, hospitals, and local governments or their bona fide agents. Federally recognized Indian tribal governments, Indian tribes, and Indian tribal organizations are also eligible. Please see individual grant FOA at www.grants.gov for program-specific eligibility.

Rapid Response to Requests for Data Analysis eligibility is open to all public and private entities, nonprofit and for-profit. Eligible entities may include, but are not limited to, public and private institutions for higher education, public or private health research organizations, foundations and faith-based entities. Please see individual grant FOA at www.grants.gov for program-specific eligibility.

Rural Health Best Practices eligibility is open to public, private and non-profit organizations, including faith-based and community-based organizations. Please see individual grant FOA at www.grants.gov for program-specific eligibility.

Rural Training Track Technical Assistance eligibility is open to any public or private nonprofit entities, including faith-based and community-based organizations, State Governments and their agencies such as universities, colleges, research institutions, hospitals, State and local governments or their bona fide agents along with federally recognized Indian Tribal governments, Indian Tribes, and Indian Tribal organizations. Please see individual grant FOA at www.grants.gov for program-specific eligibility.

Frontier Community Care Coordination eligibility is open to current Rural Hospital Flexibility Program grantee under section 1820(g) of the Social Security Act (42 U.S.C. 1395i 4(g)) located in a State in which at least 65 percent of the counties in the State are counties that have 6 or less residents per square mile. Please see individual grant FOA at www.grants.gov for program-specific eligibility.

Rural Health Systems Analysis and Technical Assistance eligibility is open to public, private, and nonprofit organizations, including faith-based and community organizations, as well as Federally recognized Indian tribal governments and organizations. Please see individual grant FOA at www.grants.gov for program-specific eligibility.

Beneficiary Eligibility:

The entities that will benefit from this program are health care personnel, health research personnel, and the general public.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under these CFDA programs for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. HRSA requires all applicants to apply electronically through Grants.gov.

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Award Procedure:

Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

> 180 Days. Approximately 6 months.

Appeals:

Not Applicable.

Renewals:

Research center awards may be made for up to 4-year project periods. Subject to availability of funds, after initial awards, projects may be renewed non-competitively contingent upon submission and approval of an application, availability of appropriated funds, and awardee's satisfactory performance.

Research Dissemination award may be made for up to 3-year project periods. Subject to availability of funds, after initial awards, projects may be renewed non-competitively contingent upon submission and approval of an application, availability of appropriated funds, and awardee's satisfactory performance.

Rural Policy Analysis awards may be made for up to 5-year project periods. Subject to availability of funds, after initial awards, projects may be renewed non-competitively contingent upon submission and approval of an application, availability of appropriated funds, and awardee's satisfactory performance.

Rapid Response to Requests for Data Analysis may be made for up to 3-year project periods. Subject to availability of funds, after initial awards, projects may be renewed non-competitively contingent upon submission and approval of an application, availability of appropriated funds, and awardee's satisfactory performance.

Rural Health Best Practices award may be made for up to 5-year project periods. Subject to availability of funds, after initial awards, projects may be renewed non-competitively contingent upon submission and approval of an application, availability of appropriated funds, and awardee's satisfactory performance.

Rural Training Track Technical Assistance may be made for up to 3-year project periods. Subject to availability of funds, after initial awards, projects may be renewed non-competitively contingent upon submission and approval of an application, availability of appropriated funds, and awardee's satisfactory performance.

Frontier Community Care Coordination awards may be made for 1-3 years project periods. Subject to availability of funds, after initial awards, projects may be renewed non-competitively contingent upon submission and approval of an application, availability of appropriated funds, and awardee's satisfactory performance.

Rural Health Systems Analysis and Technical Assistance may be made for up to 3-year project periods. Subject to availability of funds, after initial awards, projects may be renewed non-competitively contingent upon submission and approval of an application, availability of appropriated funds, and awardee's satisfactory performance.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Awards are made annually. Awards are normally made in a lump sum for the entire budget period. Payments are made through an Electronic Transfer System or Cash Demand System. See the following for information on how assistance is awarded/released: Grantee drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

An electronic quarterly Federal Financial Report (FFR) is due to the Division of Payment Management within 30 days of the end of each quarter. Cash reports

are not applicable. A final FFR must be submitted to HRSA via the Electronic HandBooks (EHB) within 90 days of the end of each budget period. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records for 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit, or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Project Grants) FY 12 \$8,290,000; FY 13 est \$8,265,000; and FY 14 est \$8,265,000

Range and Average of Financial Assistance:

For Rural Health Research Centers: Range \$600,000 - \$660,000; FY12 \$5,000,000; for FY 13 \$4,750,000, and for FY 14 \$4,750,000

For Research Dissemination
FY: 12 \$120,000; FY13 \$120,000 and for FY14 \$120,000.

For Rural Policy Analysis:
FY12 \$225,000; FY 13 \$225,000 and for FY14 \$225,000.

For Rapid Response to Quick Data Turn Around Requests:
FY12 \$450,000; FY13 \$450,000 and for FY14 \$450,000.

For Rural Health Best Practices:
FY12 \$1,800,000; FY13 \$1,800,000 and for FY14 \$1,800,000.

For Rural Training Track Technical Assistance:
FY12 \$300,000; FY13 \$275,000 and for FY14 \$300,000

For Frontier Community Care Coordination: Range: \$85,000 - \$200,000.
FY12 \$175,000 and for FY13 \$150,000.

For Rural Health Systems Analysis and Technical Assistance:
FY 12 \$600,000 and for FY13 \$600,000; and for FY14 \$600,000;.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for state, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

Awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices. Program Coordinators:
Curt Mueller, Rural Health Research Center and Research Dissemination.

Deepti Loharikar, Rural Policy Analysis.

Sarah Bryce, Rapid Response to Quick Data Turn Around Requests.

Alicia Swenson O'Brien, Frontier Community Care Coordination.

Linda Bahrami, Rural Health Best Practices.

Daniel Mareck, Rural Training Track Technical Assistance.

Aaron Fischbach, Rural Health Systems Analysis and Technical Assistance.

Headquarters Office:

Federal Office of Rural Health Policy, 5600 Fishers Lane, Room 5A-05, Rockville, Maryland 20852 Phone: (301) 443-0835.

Website Address:

<http://www.hrsa.gov/ruralhealth>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria is listed in the application guidance.

93.156 GERIATRIC TRAINING FOR PHYSICIANS, DENTISTS AND BEHAVIORAL/MENTAL HEALTH PROFESSIONALS
GTPD

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Title VII, Sec. 753(b) of Public Health Service Act (42 U.S.C-294c), as amended by the Health Professions Education Partnerships Act of 1998 (P.L. 105-392) and the Patient Protection and Affordable Care Act (P.L. 111-148).

OBJECTIVES:

Grants are given for faculty training projects in geriatric medicine, geriatric dentistry, and geriatric behavioral or mental health. The purpose of this grant program is to provide support, including fellowships, for geriatric training projects to train physicians, dentists and behavioral or mental health professionals who plan to teach geriatric medicine, geriatric dentistry or geriatric behavioral or mental health.

TYPES OF ASSISTANCE:

PROJECT GRANTS; Training

USES AND USE RESTRICTIONS:

A grant may be made to meet the cost of a project which will propose: (1) a one year retraining program in geriatrics for: (a) physicians who are faculty in departments of internal medicine, family medicine (including osteopathic general practice), gynecology, geriatrics, and behavioral or mental health at schools of medicine and osteopathic medicine; (b) dentists who are faculty members at schools of dentistry or at hospital departments of dentistry; and (c) behavioral or mental health professionals who are faculty members in departments of behavioral or mental health; and/or (2) a two year internal medicine or family medicine fellowship program providing emphasis in geriatrics which shall be designed to provide training in clinical geriatrics and geriatrics research for: (a) physicians who have completed graduate medical education programs in internal medicine, family medicine (including osteopathic general practice), behavioral or mental health, neurology, gynecology, or rehabilitation medicine; (b) dentists who have demonstrated a commitment to an academic career and who have completed postdoctoral dental training, including postdoctoral dental education programs or who have relevant advanced training or experience; and (c) behavioral or mental health professionals who have completed graduate medical education programs in behavioral or mental health. Each project for which a grant is made must: (1) be staffed by full-time teaching physicians who have experience or training in geriatric medicine or geriatric behavioral or mental health; (2) be staffed, or enter into an agreement with an institution staffed by full-time or part-time teaching dentists who have experience or training in geriatric dentistry; (3) be

staffed, or enter into an agreement with an institution staffed by full-time or part-time teaching behavioral or mental health professionals who have experience or training in geriatric behavioral or mental health; (4) be based in a graduate medical education program in internal medicine or family medicine or in a department of geriatrics or behavioral or mental health; (5) provide training in geriatrics and expose fellows to the physical and mental disabilities of elderly individuals through a variety of service rotations, such as geriatric consultation services, acute care services, dental services, geriatric behavioral or mental health units, day and home care programs, rehabilitation services, extended care facilities, geriatric ambulatory care and comprehensive evaluation units, and community care programs for elderly individuals with intellectual disabilities, and (6) provide training in geriatrics through one or both of the two training options. See above. The Patient Protection and Affordable Care Act, Public Law 111-148 expanded the definition of behavioral and mental health professionals to include psychiatry, psychology, psychiatric nursing, social work, substance abuse disorder prevention and treatment, marriage and family counseling, or professional counseling. The expanded definition applies to the 1-year retraining option. See above.

Applicant Eligibility:

Grants may be made to accredited public or private nonprofit schools of medicine, schools of osteopathic medicine, teaching hospitals, or graduate medical education programs. Applicants must be located in the United States, the District of Columbia, the Commonwealth of Puerto Rico, the Commonwealth of the Northern Mariana Islands, the Virgin Islands, Guam, American Samoa, the Republic of Palau, the Republic of the Marshall Islands, or the Federated States of Micronesia.

Beneficiary Eligibility:

Grants may be made to accredited public or private nonprofit schools of medicine, schools of osteopathic medicine, teaching hospitals, or graduate medical education programs.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. HRSA requires all applicants to apply electronically through Grants.gov.

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Award Procedure:

Notification is made in writing (electronic) by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Four to six months following receipt of application.

Appeals:

Not Applicable.

Renewals:

At the end of the initial project period, competing continuation applications may be submitted.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Project period is up to five years. See the following for information on how assistance is awarded/released: Grantees draw down funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

Program reports are required. The awardee will be required to submit annual performance and progress reports as well as status-federal financial reports (see below for general information on each required report). Cash reports are required. The awardee must submit a quarterly electronic Federal Financial Report (FFR) Cash Transaction Report via the Payment Management System within 30 days of the end of each calendar quarter. A Federal Financial Report (SF-425) is required within 90 days of the end of each budget period. A final report is due within 90 days after the project period ends. If applicable, the awardee must submit a Tangible Personal Property Report (SF-428) and any related forms within 90 days after the project period ends. New awards (Type 1) issued under this funding opportunity announcement are subject to the reporting requirements of the Federal Funding Accountability and Transparency Act (FFATA) of 2006 (Pub. L. 109282), as amended by section 6202 of Public Law 110252, and implemented by 2 CFR Part 170. Grant and cooperative agreement recipients must report information for each first-tier subaward of \$25,000 or more in federal funds and executive total compensation for the recipients and subrecipients five most highly compensated executives as outlined in Appendix A to 2 CFR Part 170 (FFATA details are available online at <http://www.hrsa.gov/grants/ffata.html>). Competing continuation awardees, etc. may be subject to this requirement and will be so notified in the Notice of Award. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records three years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the three year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular three year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Project Grants) FY 12 \$7,717,790; FY 13 est \$6,825,873; and FY 14 est \$6,255,873

Range and Average of Financial Assistance:

Fiscal Year 2012: Range: \$424,865 to \$1,031,204. Average Award: \$593,676.

Fiscal Year 2013: est: \$367,039 to \$1,024,203. Average Award: \$581,394.

Fiscal year 2014: est: \$367,039 to \$1,024,203. Average Award: \$581,394.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for state, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

HRSA awards are subject to the requirements of the HHS Grants Policy

Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices. Tamara Zurakowski, PhD, GNP-BC, Project Officer, Division of Public Health and Interdisciplinary Education, Bureau of Health Professions, Health Resources and Services Administration, Department of Health and Human Services, Parklawn Building, 5600 Fishers Lane, Room 9C-05, Rockville, MD 20857. Telephone: 301-443-7762.

Headquarters Office:

Tamara Zurakowski, PhD, GNP-BC 5600 Fishers Lane, Room 9C-05, Rockville, Maryland 20857 Phone: (301) 443-7762

Website Address:

<http://www.hrsa.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

All competing applications are reviewed by objective reviewers for technical merit who make recommendations based on the potential effectiveness of the proposed project in carrying out the training purposes of section 753(b) of the Public Health Service Act. Each reviewer is screened to avoid conflict of interests and is responsible for providing an objective review based on the review criteria published in the funding opportunity. The committee provides expert advice on the merits of each application to program officials responsible for final selection for award. This grant program had six review criteria: Need, Response, Evaluative Measures, Impact, Resources/Capabilities, and Support Requested. See most recent funding opportunity announcement at www.grants.gov for detailed selection criteria.

93.157 CENTERS OF EXCELLENCE

Centers of Excellence (COEs)

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Title VII, Section 736, 42 U.S.C. 293, as amended by the Patient Protection and Affordable Care Act of 2010 (P.L. 111-148) Section 5401.

OBJECTIVES:

The goal of this program is to assist eligible schools in supporting programs of excellence in health professions education for underrepresented minority (URM) individuals. The Centers of Excellence (COE) program is a catalyst for institutionalizing a commitment to under-represented minority (URM) students/faculty and to serve as a national resource and educational center for diversity and minority health issue. Additionally, the COE program will help to strengthen the national capacity to produce a culturally competent healthcare workforce whose diversity is representative of the United States Population.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Section 736 authorizes awarding funding to health professions schools to: (1) develop a large competitive applicant pool through linkages with institutions of higher education, local school districts, and other community-based entities; and establish an education pipeline for health professions careers; (2) establish, strengthen, or expand programs to enhance the academic performance of underrepresented minority students attending the school; (3) improve the capacity of such school to train, recruit, and retain underrepresented minority faculty including the payment of stipends and fellowships as the Secretary may determine appropriate; (4) carry out activities to improve the information resources, clinical education, curricula and cultural competence of the graduates of the school as it relates to minority health issues; (5) facilitate faculty and student research on health issues particularly affecting underrepresented

minority groups, including research on issues relating to the delivery of health care; (6) carry out a program to train students of the school in providing health services to a significant number of underrepresented minority individuals through training provided to such students at community-based health facilities. These facilities provide such health services and are located at a site remote from the main site of the teaching facilities of the school; and (7) provide stipends as the Secretary determines appropriate in the amounts the Secretary determines.

Applicant Eligibility:

Eligible applicants include designated Historically Black Colleges and Universities (HBCUs) and health professions schools that are accredited schools of allopathic medicine; osteopathic medicine; dentistry; pharmacy; or a graduate program in behavioral or mental health; or other public and nonprofit health or educational entities that meet the required conditions regarding: underrepresented minorities as described in Section 736 of the Public Health Service Act.

Eligible applicants must also have:

- (1) a significant number of under-represented minority individuals enrolled in the schools;
- (2) been effective in assisting under-represented minority students of the schools to complete the program of education and receive the degree involved;
- (3) been effective in recruiting under-represented minority individuals to enroll in and graduate from the school, including providing scholarships and other financial assistance to such individuals and encouraging under-represented minority students from all levels of the educational pipeline to pursue health professions careers; and
- (4) made significant recruitment efforts to increase the number of under-represented minority individuals serving in faculty or administrative positions at the school.

This is calculated by dividing the total number of URM students graduating from the health professions school with a degree by the total number of students graduating with a degree in a given health professions school.

Beneficiary Eligibility:

Designated HBCUs and eligible health professions schools must recruit and train a significant number of underrepresented minority students in medicine, dentistry, and pharmacy; recruit, train, and retain underrepresented minority faculty recruitment; and facilitate faculty and student research activities.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. HRSA requires all applicants to apply electronically through Grants.gov.

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Award Procedure:

Notification is made in writing (electronic) by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 4 months after receipt of applications.

Appeals:

Not Applicable.

Renewals:

During the final budget year of the approved project period, competing continuation applications may be submitted.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Project periods are for up to five years. See the following for information on how assistance is awarded/released: Grantee drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

Program reports are required. The awardee will be required to submit annual performance and progress reports as well as status-federal financial reports (see below for general information on each required report). The awardee must submit a quarterly electronic Federal Financial Report (FFR) Cash Transaction Report via the Payment Management System within 30 days of the end of each calendar quarter. A Federal Financial Report (SF-425) is required within 90 days of the end of each budget period. . A final report is due within 90 days after the project period ends. If applicable, the awardee must submit a Tangible Personal Property Report (SF-428) and any related forms within 90 days after the project period ends. New awards (Type 1) issued under this funding opportunity announcement are subject to the reporting requirements of the Federal Funding Accountability and Transparency Act (FFATA) of 2006 (Pub. L. 109282), as amended by section 6202 of Public Law 110252, and implemented by 2 CFR Part 170. Grant and cooperative agreement recipients must report information for each first-tier subaward of \$25,000 or more in federal funds and executive total compensation for the recipients and subrecipients five most highly compensated executives as outlined in Appendix A to 2 CFR Part 170 (FFATA details are available online at <http://www.hrsa.gov/grants/ffata.html>). Competing continuation awardees, etc. may be subject to this requirement and will be so notified in the Notice of Award. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records three years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the three year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular three year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Project Grants) FY 12 \$21,874,945; FY 13 est \$20,482,394; and FY 14 est \$21,945,000

Range and Average of Financial Assistance:

FY 2012: \$379,677 to \$4,913,499; Average: \$1,301,734;

FY 2013 est: \$494,640 to \$4,214,566; Average: \$1,024,120;

FY 2014: est: \$494,640 to \$4,214,566; Average: \$1,024,120;.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for state, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations, and commercial organizations, as applicable.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices. Violet Woo, Chief, Behavioral Health and Diversity Branch, Division of Public Health and Interdisciplinary Education, Bureau of Health Professions, Health Resources and Services Administration, Department of Health and Human Services, 5600 Fishers Lane, Room 9C-26, Rockville, Maryland 20857 Phone: (301) 443-8032, E-mail: vwoo@hrsa.gov.

Tia-Nicole Leak, Ph.D. Social Science Research Analyst/Project Officer, Behavioral Health and Diversity Branch, Division of Public Health and Interdisciplinary Education, Bureau of Health Professions, Health Resources and Services Administration, Department of Health and Human Services, 5600 Fishers Lane, Room 9C-26, Parklawn Building, Rockville, MD 20857. Program information and assistance may be obtained by calling (301) 443-01134 or e-mailing tleak@hrsa.gov.

Headquarters Office:

Violet R.H. Woo 5600 Fishers Lane, Room 9C-26, Rockville, Maryland 20857 Phone: (301) 443-8032

Website Address:

www.hrsa.gov .

RELATED PROGRAMS:

93.415 ARRA - Centers of Excellence

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Procedures for assessing the technical merit of grant applications have been instituted to provide an objective review of applications and to assist the applicant in understanding the standards against which each application will be judged. Critical indicators have been developed for each review criterion to assist the applicant in presenting pertinent information related to that criterion and to provide the reviewer with a standard for evaluation. Competing applications are reviewed by nonfederal reviewers for technical merit recommendations in the following areas:

The Centers of Excellence has 7 criteria:

(1) NEED - The extent to which the application provides a description of a) the problem, and the associated contributing factors. b) the need with respect to students, faculty development, and URMs in the health professions, and c) geographic factors, such as the size of the area that will be served, and any regional issues.

(2) RESPONSE The extent to which project responds to the Purpose included in the program description. This includes the clarity of the proposed goals and objectives and their relationship to the identified project and achieving the seven legislative requirements. The extent to which the activities (scientific or other) described in the application are capable of addressing the problem and attaining the project objectives.

(3) EVALUATIVE MEASURES - The effectiveness of the method proposed to monitor and evaluate the project results. Evaluative measures must be able to assess to what extent the program objectives have been met and to what extent these can be attributed to the project.

(4) IMPACT - The extent and effectiveness of the dissemination plans for the project results, the degree to which the project results may be national in scope,

the degree to which the project activities are replicable, the sustainability of the program beyond the Federal funding and established linkages/collaboration with key stakeholders. It may include the extent to which COE project outcomes and results are disseminated to the Health Professions Education community regionally or nationally.

(5) **RESOURCES /CAPABILITIES** The extent to which project personnel are qualified by training and/or experience to implement and carry out projects. This includes the capabilities of the applicant organization, and the quality and availability of facilities and personnel to fulfill the needs and requirements of the proposed project. This may include whether the health professions school has a significant number of URM individuals enrolled in the school, including individuals accepted for enrollment and successful completion in the school and the extent to which the applicants activities influence the recruitment, training and retention of URM faculty.

(6) **SUPPORT REQUESTED** - The extent to which the overall budget, including non-trainee expenses, is a) cost effective, reasonable, and consistent with the stated objectives and proposed activities, b) expenditures are well justified, and c) in-kind contributions are documented.

(7) **CULTURAL COMPETENCE DEVELOPMENT** The extent to which the COE application reflects the institutions commitment to developing culturally competent health professionals by establishing a system that values the importance of culture in the delivery of health care services to all segments of the population. The practices and initiatives of the applicant institution demonstrate their commitment to increasing the number of culturally competent and diverse health professionals.

93.161 HEALTH PROGRAM FOR TOXIC SUBSTANCES AND DISEASE REGISTRY

FEDERAL AGENCY:

Agency for Toxic Substances and Disease Registry, Department of Health and Human Services

AUTHORIZATION:

Comprehensive Environmental Response, Compensation, and Liability Act (CERCLA) of 1980, Public Law 99-499, as amended; 42 U.S.C. 9604(i); Resource Conservation and Recovery Act, as amended; Public Law 98-616, 42 U.S.C. 6939a., 42 U.S.C 9604(i).

OBJECTIVES:

To work closely with State, local, and other Federal agencies to reduce or eliminate illness, disability, and death resulting from exposure of the public and workers to toxic substances at spill and waste disposal sites.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

To strengthen State and local environmental health programs. Services may include: (1) Health assessments; (2) health effects studies; (3) exposure and disease registries; (4) technical assistance; (5) consultation; (6) dissemination of technical information; (7) provision of specialized services and assistance, including responses to public health emergencies; (8) training State and other health professionals in broad areas related to environmental health; and (9) research of chemical toxicity.

Applicant Eligibility:

States or political subdivisions thereof, to include the District of Columbia, American Samoa, Guam, the Commonwealth of Puerto Rico, the Commonwealth of the Northern Mariana Islands, the Federated States of Micronesia, the Virgin Islands, the Federated States of Marshall Island, the Republic of Palau, National organizations, Federally-recognized Indian tribal governments, public and private non-profit universities and colleges.

Beneficiary Eligibility:

The general public and particularly individuals and families who reside around or near hazardous waste sites.

Credentials/Documentation:

For other grantees, costs will be determined by HHS regulations 45 CFR 74,

Subpart Q. For-profit organization's costs will be determined in accordance with the Federal Acquisition Regulation, 48 CFR, Chapter I, Subpart 31.2, "Cost Principals for Contracts and Commercial Organizations." OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Information on the submission of applications may be obtained from the Grants Management Officer, Acquisition and Assistance Branch A, Procurement and Grants Office, Centers for Disease Control and Prevention, 2920 Brandywine Road, Room 3000, Atlanta, GA 30341. This program is subject to the provisions of 45 CFR, Part 92 for State and local governments. The standard application forms, as furnished by PHS and required by 45 CFR 92 for State and local governments, must be used for this program. This program is subject to the provisions of OMB Circular No. A-110. By formal agreement, the CDC Grants Management Branch acts on behalf of ATSDR on this matter.

Award Procedure:

The Assistant Administrator, ATSDR has final authority to approve funding of applications. When an application is approved for funding, the Grants Management Officer, Centers for Disease Control and Prevention (CDC), acting as agent for ATSDR, will prepare a Notice of Grant Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 60 to 90 days.

Appeals:

Other - Not Specified.

Renewals:

Awards are made for project periods of 1 to 5 years with 12-month budget period. Renewals or continuation awards, with the project period are made on the basis of satisfactory progress and availability of funds.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

It is anticipated that awards will be for a 12-month budget period with a proposed project period ranging from one to five years. Method of awarding/releasing assistance: lump sum.

Reports:

See Funding Opportunity for details. Cash reports are not applicable. See Funding Opportunity for details. See Funding Opportunity for details. See Funding Opportunity for details.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Detailed and accurate records of travel expenditures, personnel hours and all other costs will be retained for ten years in accordance with EPA's "Superfund Financial Management and Recordkeeping Guidance for Federal Agencies."

Such documents may be required to provide the basis of cost recovery actions or other litigation. Additionally, this documentation must be available for audit or verification upon the request of the Inspector General.

Account Identification:

75-8252-0-1-551.

Obligations:

(Salaries) FY 12 \$7,371,824; FY 13 est \$3,715,001; and FY 14 est \$3,715,001 - Programs under CFDA numbers 93.202 and 93.206 have been consolidated with program 93.161.

Range and Average of Financial Assistance:

Average range: \$130,000 to \$300,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Toxicological profiles, Federal regulations for interim procedures to petition for Public Health Assessments published in August 18, 1992 issue of the 42 CFR 90, Health Assessments and Health Effects Studies of Hazardous Substances Releases and Facilities; Final Rule; Published in February 13, 1990, issue of the Status of Health Research Report; Case studies in environmental medicine; and ATSDR Annual Report. PHS Policy Statement No. (OASH) 94- 50,000, (Rev.) April 1, 1994 is available. Section 106 and subsection 118(e) of the Great Lakes Critical Programs Act of 1990 33 U.S.C. 1268(e).

Regional or Local Office:

None.

Headquarters Office:

Caroline Gardner, 4770 Buford Hwy, NE, MS F61, Atlanta, Georgia 30341-3717 Email: ctg8@cdc.gov Phone: (770) 488-0572.

Website Address:

<http://www.atsdr.cdc.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

(1) Relevance of the proposal to the objective of this program. (2) Demonstrated experience in evaluating human health effects from exposures to hazardous substances in the environment through multimedia exposure pathways. (3) Training and experience of staff to be assigned to and/or hired for the project. (4) Suitability of facilities and equipment available or to be purchased for the project. (5) Appropriateness of the requested budget relative to the work proposed. (6) Capability of the applicant and its consultants to carry out the tasks involved in the project. (7) Soundness and innovation of the proposed approach to the range of activities presented in the project. (8) Capability of the applicant's administrative structure to foster successful scientific and administrative management of the program or study as described in the application. (9) Adequacy of the proposed time frame for completion of programs or studies.

93.162 NATIONAL HEALTH SERVICE CORPS LOAN REPAYMENT PROGRAM

NHSC Loan Repayment Program

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Section 338B of the Public Health Service (PHS) Act (42 United States Code Section 2541-1), as amended; Section 331(i) of the PHS Act (42 USC 254d(i)), as amended by Section 10503 of the Affordable Care Act (P.L. 111-148).

OBJECTIVES:

The National Health Service Corp (NHSC) Loan Repayment Programs objective is to increase the supply of primary care physicians, dentists, dental hygienists, behavioral and mental health professionals, certified nurse

midwives, primary care nurse practitioners, physician assistants and, if needed by the NHSC, other health professionals in Health Professional Shortage Areas (HPSA) within the U.S.A and its territories by assisting the repayment of qualifying educational loans in return for service to populations located in HPSAs identified by the Secretary of the Department of Health and Human Services.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

Awards provide payments of up to \$50,000 towards participants' qualified government and commercial health professions undergraduate and graduate education loans during the first 2 years of full time practice or 4 years of half time practice at a selected National Health Service Corps (NHSC) Loan Repayment Service Site under a written agreement with this program. Health professions given priority for selection are those identified by communities.

Applicant Eligibility:

Individuals are eligible to apply if they have (1) U.S. citizenship; (2) a health professions degree or are in professional practice (they must hold an unrestricted health professions license from the State in which they will be working, and be eligible for selection for a Federal civil service appointment, or hold an appointment as a commissioned officer in the Regular or Reserve Corps of the U.S. Public Health Service); (3) not had any judgment liens arising from Federal debt ; (4) not been excluded, debarred, suspended, or disqualified by a Federal agency; (5) not been in breach of a health professional service obligation to the Federal, State or local government and (6) no conflicting service obligation. Individuals must also participate or be eligible to participate as a provider in the Medicare, Medicaid, and Childrens Health Insurance Programs, as appropriate. Refer to the NHSC Loan Repayment Program Guidance for specific requirements.

Beneficiary Eligibility:

Primary care, oral health, and mental and behavioral health professions are eligible for the Loan Repayment Program (LRP). Specific specialties within these professions are selected for LRP awards based on community demand for health services.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Applicants may receive additional information about the application process at <http://nhsc.hrsa.gov/loanrepayment/applicationprocess/index.html>

Award Procedure:

NHSC loan repayment awards are made based on the highest need as determined by descending health professional shortage area scores. Priority will be given to individuals whose training is in a health discipline or specialty determined by the Secretary for Health and Human Services to be needed by the NHSC and who the Secretary determines are committed to service in health professional shortage areas. All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions. Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 120 Days.

Appeals:

Not Applicable.

Renewals:

Contract continuations will be considered according to the availability of appropriated funds and eligibility criteria applied to the individual and the site at which that individual is employed.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Loan repayments for 1 year of obligated service will be made no later than the end of the fiscal year in which the individual completes such year of service. See the following for information on how assistance is awarded/released: Direct Deposit in recipients accounts through Program Support Center (PSC).

Reports:

The employing facility must complete and send a semi-annual In-Service Verification Form verifying that the participating health professional is providing service. The certification includes a signature by an appropriate official of the facility. No cash reports are required. No progress reports are required. No expenditure reports are required. No performance monitoring is required.

Audits:

Not Applicable.

Records:

Not applicable.

Account Identification:

75-0350-0-1-550.

Obligations:

(Direct Payments for Specified Use) FY 12 Not Available(Exp: No program offered); FY 13 Estimate Not Available(Exp: No program offered); and FY 14 Estimate Not Available(Exp: No program offered)

Range and Average of Financial Assistance:

Not Applicable.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: No Current Data Available. Fiscal Year 2013: No Current Data Available. Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Please see application process for NHSC LRP at <http://nhsc.hrsa.gov/>

Regional or Local Office:

None. National Health Service Corps Loan Repayment Branch, Division of National Health Service Corps, Bureau of Clinician Recruitment and Service, Health Resources and Services Administration, Department of Health and Human Services, 5600 Fishers Lane, Room 8-37, Rockville, MD 20857. Telephone: (301) 594-4400. Public Information Phone: (1-800) 221-9393.

Headquarters Office:

Bureau of Clinician Recruitment and Service, Health Resources and Services Administration, Department of Health and Human Services, 5600 Fishers Lane, Room 8-37, Rockville, Maryland 20857 Phone: (301) 594-4400

Website Address:

<http://nhsc.hrsa.gov/>

RELATED PROGRAMS:

93.288 National Health Service Corps Scholarship Program; 93.303 Nursing Scholarship Program; 93.342 Health Professions Student Loans, Including Primary Care Loans/Loans for Disadvantaged Students; 93.364 Nursing Student Loans; 93.908 Nursing Education Loan Repayment Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: No current data available. Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Priority will be given to applicants whose training is in a health or specialty profession determined by the Secretary to be needed by NHSC.

93.164 INDIAN HEALTH SERVICE EDUCATIONAL LOAN REPAYMENT

(IHS Loan Repayment Program)

FEDERAL AGENCY:

Indian Health Service, Department of Health and Human Services

AUTHORIZATION:

Indian Health Care Amendments of 1988, Section 108, Public Law 100-713.

OBJECTIVES:

To insure that the Indian Health Service (IHS) has an adequate supply of trained health professionals for Indian health program facilities by providing for the repayment of educational loans for participants who agree (by written contract) to serve an applicable period of time at a facility IHS has designated as a loan repayment priority site or in a designated specialty at a site with an appropriate position.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

This program will provide repayment of loans incurred for health professions educational expenses in exchange for service in a designated loan repayment priority site. Recipients must agree by written contract to serve an applicable period of time in such a site; individuals who have conflicting service obligations may not participate in this program until those obligations are satisfied. The minimum period of participation is 2 years. Maximum payment to a program participant is \$20,000 per year and an additional 20 percent of the award amount for tax liability. The minimum period of participation is 2 years. Maximum payment to a program participant is \$20,000 per year and an additional 20 percent of the award amount for tax liability.

Applicant Eligibility:

Eligible individuals must be enrolled: (1) In a course of study or program in an accredited institution, as determined by the Secretary, within any State and be scheduled to complete such course of study in the same year such as individual applies to participate in such program; (2) in an approved graduate training program in a health profession; (3) have a degree in health profession and a license to practice a health profession; (4) be eligible for, or hold, an appointment as a commissioned officer in the Regular or Reserve Corps of the Public Health Service (PHS); (5) be eligible for selection for civilian service in the Regular or Reserve Corps of the PHS; (6) meet the professional standards for civil service employment in the IHS; (7) be employed with an Indian health program funded under Public Law 93-638, Indian Self-Determination, Title V of Public Law 94-437 and its amendments or the Buy Indian Act (25 U.S.C. 47); (8) submit an application to participate in the IHS Loan Repayment Program; and (9) sign and submit to the Secretary for Health and Human Services at the time of such application, a written contract agreeing to accept loan repayment of health professions educational loans and to serve for an applicable period of service at loan repayment priority site as determined by the Secretary. The term "State" is defined in Section 331 (i)(4) of the PHS Act.

Beneficiary Eligibility:

Health professionals who have Government (Federal, State, local) and commercial unpaid educational loans will benefit from this program.

Credentials/Documentation:

Applicants must submit documentation of the following, as appropriate: (1) A transcript showing final year of study and full-time status; (2) a copy of a health professions degree; (3) a copy of health professions license; (4) a copy of transcript showing completion of graduate education; and (5) a copy of all loan documentation. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Applicants should request an application package, complete the required information, and return it to the Indian Health Service (IHS) Headquarters for review. (See Headquarters Office section below for address.) Once a completed application packet is received, it will be reviewed and the determination of award recipients will be made based upon merit. Award will be made on award dates published in the Federal Register.

Award Procedure:

Upon determination of who will receive an award, that individual will be notified in writing by the program. The individual will in turn notify the program in writing of his/her acceptance. Awards will be contingent upon the score that an eligible Loan Repayment Program site receives and score is applied to the individual matched to that site. Upon arriving at his/her duty station, participant must submit proof of employment to the IHS Loan Repayment Program Office. Individuals can be matched to an IHS tribal, urban, or buy Indian site by an IHS area recruiter or the equivalent. Applicants needing to match to an IHS priority site should work with an IHS recruiter in the service area of their choice. When an award occurs, the Secretary will sign the contract and the individual will receive final notification of their award and a copy of their signed contract.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. Grants are approved or disapproved within 90 days of receipt of grant applications by the Indian Health Service, Division of Grants Management.

Appeals:

Not Applicable.

Renewals:

If a program recipient satisfactorily completes his/her initial service and continues to have remaining eligible debt, he/she may extend his/her contract on a single-year basis, provided that funds are available and the participant continues to meet the eligibility requirements of the program. A recipient wishing to extend his/her contract must submit a formal request for extension and sign a new 1-year contract.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Payments will be made to the individuals of the program on an annual basis. See the following for information on how assistance is awarded/released: Payments will be made to the individuals of the program on an annual basis.

Reports:

No program reports are required. No cash reports are required. No progress reports are required. No expenditure reports are required. Program participants must be certified annually as performing at an eligible site in an eligible full-time position.

Audits:

No audits are required for this program.

Records:

The IHS will maintain records of applicants and participants for 3 years after information of expenditure reports are completed.

Account Identification:

75-0390-0-1-551.

Obligations:

(Direct Payments for Specified Use) FY 12 \$30,166,860; FY 13 est \$24,279,278; and FY 14 est \$25,029,503

Range and Average of Financial Assistance:

\$3,000 to \$51,672 for a 2-year obligation; \$44,120.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Authorization is contained in Public Law 100-713, as amended by Public Law 102-573; Indian Health Service Educational Loan Repayment Program Information Bulletin; Notice of Availability of Funds.

Regional or Local Office:

See Regional Agency Offices. See Appendix IV of the Catalog for IHS Area Offices.

Program Contact: Ms. Jackie Santiago, Chief, Loan Repayment Program, Indian Health Service, 801 Thompson Avenue, Suite 120, Rockville, MD 20852. Telephone: (301) 443-3396.

Grants Management Contact: Ms. Tammy Bagley, Acting Director, Division of Grants Management, Indian Health Service, 801 Thompson Avenue, Suite TMP 360, Rockville, MD 20852. Telephone: (301) 443-5204, Fax (301) 443-9602. Use the same number for FTS.

Headquarters Office:

Grants Policy Office 801 Thompson Avenue, Suite TMP 360, Rockville, Maryland 20852 Email: grantspolicy@ihs.gov Phone: (301) 443-5204

Website Address:

<http://www.ihs.gov>

RELATED PROGRAMS:

93.162 National Health Service Corps Loan Repayment Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The IHS defined "Health Profession" to mean family medicine, internal medicine, pediatrics, geriatric medicine, obstetrics and gynecology, podiatric medicine, nursing, public health nursing, dentistry, psychiatry, osteopathy, optometry, pharmacy, psychology, public health, social work, marriage and family therapy, chiropractic medicine, environmental health and engineering, and allied professions. Other factors that will be employed to determine which applicant is selected for awards include: (a) An applicant's length of current employment in the IHS, tribal or urban program; (b) availability for service earlier than other applicants (first come, first served); and (c) date the completed application was received.

93.165 GRANTS TO STATES FOR LOAN REPAYMENT PROGRAM

State Loan Repayment Program

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Title III, Section 3381, 42 U.S.C. 254 q-1(h). Section 10503 of the Affordable Care Act (P.L. 111-148).

OBJECTIVES:

To increase the availability of primary health care in health professional shortage areas (HPSAs) by assisting States in operating programs for the repayment of educational loans of health professionals in return for their practice in HPSAs.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use; PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds under this grant must only be used to repay the qualifying educational loans of health professionals who have entered into State Loan Repayment Program (SLRP) contract with the States. Funds cannot be used for the following purposes: costs of administering the program, State matching funds, or any other purpose.

Applicant Eligibility:

Eligible entities include the 50 States, the District of Columbia, the Commonwealth of Puerto Rico, the U.S. Virgin Islands, Guam, American

Samoa, Palau, the Marshall Islands, and the Commonwealth of the Northern Mariana Islands.

Beneficiary Eligibility:

Applicants for State programs must have completed a course of study required to practice independently without supervision, as one of the following health care professionals: Doctors of Allopathic Medicine or Osteopathic Medicine, General Practice Dentists (D.D.S. or D.M.D.), Pediatric Dentists, Primary Care Certified Nurse Practitioners, Certified Nurse-Midwives, Primary Care Physician Assistants, Registered Clinical Dental Hygienists, Health Service Psychologist (formerly Clinical or Counseling Psychologists (Ph.D. or equivalent), Licensed Clinical Social Workers (masters or doctoral degree in social work), Psychiatric Nurse Specialists, Mental Health Counselors, Licensed Professional Counselors (masters or doctoral degree with a major study in counseling), Marriage and Family Therapists (masters or doctoral degree with a major study in marriage and family therapy). The primary care specialties approvable for physicians are: family medicine (and osteopathic general practice), internal medicine, including geriatricians, pediatrics, obstetrics/gynecology, and geriatrics and general psychiatry. General practitioners (physicians who have not completed residency training programs) are not eligible for funding under the SLRP. The primary care specialties approval for nurse practitioners and physician assistants are: adult, family, pediatrics, psychiatry/mental health, geriatrics, or womens health.

Participants must provide full-time clinical service for a minimum of two years in a public or non-profit entity located in a federally designated health professional shortage area (HPSA).

Refer to the NHSC State Loan Repayment Program Guidance for specific requirements.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

SLRP grantees may need State agency approval prior to submitting an application to a Federal agency. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. HRSA requires all applicants to apply electronically through Grants.gov.

Award Procedure:

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions. Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 120 days.

Appeals:

Not Applicable.

Renewals:

Subsequent funding depends upon the availability of funds and program performance. Renewals are funded through a non-competitive process each year.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Percent: 50.%. Fifty-percent (50%). States must agree to make available (directly or through donations from public or private entities) non-Federal contributions in cash toward SLRP contracts in an amount not less than \$1 for each \$1 of Federal funds provided in the grant. A State may not use any Federal funds or in-kind contributions to satisfy the non-Federal match requirement. A State must verify that contributions from sources other than State appropriated funds are non-Federal. States may use non-Federal funds in excess of the \$1 for \$1 minimum match to supplement the repayment of qualifying loans of health professionals.

The Federal share must be used to repay the government and commercial loans of health professionals for tuition, educational expenses and living expenses described in Section 338B(g)(1) of the Public Health Service Act. States must assume administrative costs.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Applicants may propose a project period of up to 4 years. However, awards are distributed in annual budget periods subject to availability of funds. States are asked to commit the full amount of funding for their loan repayment awards to practitioners in the current fiscal year to avoid out-year commitments for additional Federal funds. See the following for information on how assistance is awarded/released: Grantee drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

Recipient States must submit: (1) a Financial Report within 90 days of the end of each budget period; (2) Semi-Annual Field Strength Reports through the HRSA Electronic Handbooks System (EHBs); (3) non-competing continuation application(s) report through the EHBs; (4) a Final Report. All grantees are required to submit a final report within 90 days after the project period ends. No cash reports are required. See above. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Project Grants) FY 12 \$7,706,400; FY 13 est \$10,000,000; and FY 14 est \$10,000,000 - SLRP.

Range and Average of Financial Assistance:

For FY 13 Awards ranged from \$76,500 to \$1,000,000, with an average award of \$319,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

None. Division of National Health Service Corps, Bureau of Clinician Recruitment and Service, Health Resources and Services Administration, Department of Health and Human Services, 5600 Fishers Lane, Room 8-37, Rockville, MD 20857. Telephone: (301) 594-4400. Public Information Phone: (1-800) 221-9393.

Headquarters Office:

Bureau of Clinician Recruitment and Service, Health Resources and Services Administration, Department of Health and Human Services 5600 Fishers Lane, Room 8-37, Rockville, Maryland 20857 Phone: (301) 594-4400

Website Address:

www.hrsa.gov.

RELATED PROGRAMS:

93.162 National Health Service Corps Loan Repayment Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

For new and competing continuation grants the following criteria will be used to evaluate State applications to determine which States are to be supported under this notice: (a) The extent of the State's need for health professionals consistent with the health professions and specialties identified later in this notice; (b) the number and type of providers the State proposes to support through this program; (c) the appropriateness of the proposed placements of State LRP recipients (e.g., consistency and coordination with State-based plans to improve access to primary health services for the underserved communities and individuals); (d) the appropriateness of the qualifications, the administrative, and managerial ability of the staff to implement the proposed project; (e) the suitability of the State's approach and the degree to which the plan of a State is coordinated with Federal, State, and other programs for meeting the State's health professional needs and resources, including mechanisms for ongoing evaluation of the program's activities; (f) the source and plans for the use of the nonfederal match (the degree to which the nonfederal match exceeds the minimum requirements or has increased over time and the amount of the match relative to the needs and resources of the State); (g) for competing continuation applicants only, the grantee's progress in achieving stated goals and objectives for the previous year's grant; this includes a progress report on the impact the State LRP placements have had on the State's short-term and long-term professional needs; (h) an assessment of the reasons for initial breaches by health professionals of repayment contracts; and (i) the grantee's history of compliance with reporting requirements. Noncompeting continuation applications will be evaluated on the grantee's progress in achieving stated goals and objectives for the previous year's grant; this includes a progress report on the impact the State LRP placements have had on the State's short-term and long-term professional needs; (j) and assessment of the reasons for initial breaches by health professionals of repayment contracts; and (k) the grantee's history of compliance with reporting requirements.

93.172 HUMAN GENOME RESEARCH

Human Genome Project

FEDERAL AGENCY:

National Institutes of Health, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Sections 301, 461 and 487, as amended; Public Laws 78-410 and 99-158, 42 U.S.C. 241, as amended; 42 U.S.C. 285k; 42 U.S.C. 288; Small Business Research and Development Enhancement Act of 1992, Public Law 102-564.

OBJECTIVES:

NHGRI supports the development of resources and technology that will accelerate genome research and its application to human health. A critical part of the NHGRI mission continues to be the study of the ethical, legal and social

implications (ELSI) of genome research. NHGRI also supports the training of investigators and the dissemination of genome information to the public and to health professionals. Small Business Innovation Research (SBIR) program: To expand and improve the SBIR program; to increase private sector commercialization of innovations derived from Federal research and development; to increase small business participation in Federal research and development; and to foster and encourage participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation. Small Business Technology Transfer (STTR) program: To stimulate and foster scientific and technological innovation through cooperative research and development carried out between small business concerns and research institutions; to foster technology transfer between small business concerns and research institutions; to increase private sector commercialization of innovations derived from Federal research and development; and to foster and encourage participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

The research project grant is awarded to an eligible institution in the name of a principal investigator for a discrete project or group of related projects representing the investigator's interest and competence. Funds may be used for salaries and wages, equipment, supplies, travel and other costs required to carry out the research project. National Research Service Awards are made directly to individuals for research training in disciplines supporting the research areas. In addition, grants may be made to institutions to enable them to select individuals for National Research Service Awards. Each individual who receives a National Research Service Award is responsible for certain service and payback provisions. Responsibilities of grantees and restrictions on use of funds are set forth in the Public Health Service policy statement on grants for research projects, which is available on request from the Division of Extramural Outreach and Information Resources, Office of Extramural Research, National Institutes of Health (NIH), 6701 Rockledge Drive, Room 6207, MSC 7910, Bethesda, MD 20892-7910. Telephone: (301) 435-0714. Fax (301) 480- 0525. E-mail: asknih.od.nih.gov. Small Business Innovation Research (SBIR) Program: SBIR Phase I grants (of approximately 6 months' duration) are to establish the technical merit and feasibility of a proposed research effort that may lead to a commercial product or process. Phase II grants are for the continuation of research initiated in Phase I and which are likely to result in commercial products or processes. Only Phase I awardees are eligible to apply for Phase II support. STTR Phase I grants (normally of 1-year duration) are to determine the scientific, technical, and commercial merit and feasibility of the proposed cooperative effort that has potential for commercial application. Phase II funding is based on results of research initiated in Phase I and scientific and technical merit and commercial potential of Phase II application.

Applicant Eligibility:

Research Projects: Awards can be made to any public or private, for-profit or nonprofit university, college, hospital, laboratory, or other institution, including State and local units of government, qualifying small businesses (through the Small Business Innovation Research/STTR Programs, and to individuals. To be eligible for funding, a proposal must be approved for scientific merit and program relevance by a scientific review committee and a national advisory council. SBIR grants can be awarded only to domestic small businesses (entities that are independently owned and operated for profit, are not dominant in the field in which research is proposed, and have no more than 500 employees). Primary employment (more than one-half time) of the principal investigator must be with the small business at the time of award and during the conduct of the proposed project. In both Phase I and Phase II, the research must be performed in the U.S. or its territories. To be eligible for funding, an SBIR grant application must be approved for scientific merit and program relevance by a scientific review group and a national advisory council. STTR grants can be awarded only to domestic small business concerns (entities that are independently owned and operated for profit, are not dominant in the field in which research is proposed and have no more than 500 employees) which "partner" with a research institution in cooperative research and development. At least 40 percent of the project is to be performed by the small business

concern and at least 30 percent by the research institution. In both Phase I and Phase II, the research must be performed in the U.S. and its possessions. To be eligible for funding, a grant application must be approved for scientific merit and program relevance by a scientific review group and a national advisory council. Non-federal public and private domestic organizations may apply for an Institutional National Research Service Award. Individual National Research Service awardees must be nominated and sponsored by a public or nonprofit private institution having staff and facilities appropriate to the proposed research training program. All awardees must be citizens or have been admitted to the United States for permanent residence. Predoctoral awardees must have completed the baccalaureate degree, and postdoctoral awardees must have a professional or scientific degree (M.D., Ph.D., D.O., D.V.M., Sc.D., E.Eng., or equivalent domestic or foreign degree). Applicants to the Small Business Innovation Research/STTR Programs must meet special requirements for small businesses, as defined by the Small Business Administration.

Beneficiary Eligibility:

Any nonprofit or for-profit organization, company, or institution engaged in biomedical research.

Credentials/Documentation:

Each applicant for a research project must present a research plan and furnish evidence that scientific competence, facilities, equipment, and supplies are appropriate to carry out the plan. Use grant application form PHS-398 (Rev. May 1995) provided by, the Division of Extramural Outreach and Information Resources, Office of Extramural Research, National Institutes of Health, Bethesda, MD 20892. For applicants for National Research Service Awards, the academic record, research experience, citizenship, institutional sponsorship, and the proposed area and plan of training must be included in the application. The applicant institution must show the objectives, methodology, and resources for the research training program, the qualifications and experience of directing staff, the criteria to be used in selecting individuals for the award, and a detailed budget and justification for the grant funds requested. For-profit organizations, costs are determined in accordance with 48 CFR, Subpart 31.2 of the Federal Acquisition Regulations. For other grantees, costs will be determined in accordance with HHS Regulation 45 CFR 74 Subpart Q. For SBIR and STTR grants, applicant organization (small business concern) must present in a research plan an idea that has potential for commercialization and furnish evidence that scientific competence, experimental methods, facilities, equipment, and funds requested are appropriate to carry out the plan. Grant forms PHS 6246-1 and 6246-2 are used to apply for Phase I and Phase II awards, respectively, or SBIR and STTR programs. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Application forms and information concerning the area of science being supported may be obtained from the Division of Extramural Outreach and Information, National Institutes of Health, 6701 Rockledge Drive, MSC 7910, Bethesda, MD 20892-7910, Telephone (301) 435-0714, e-mail: ASKNIH@odrockml.od.nih.gov. Complete the application and return it to the Center for Scientific Review, National Institutes of Health, Bethesda, MD 20892 for assessment by a scientific review committee. The standard application forms, as furnished by PHS and required by 45 CFR, Part 92 for State and local governments, must be used for this program. This program is subject to the provisions of 45 CFR, Part 92 for State and local governments and OMB Circular No. A-110, "Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Nonprofit Organizations", as appropriate. The SBIR and STTR Solicitations and SBIR Contract Solicitation may be obtained electronically through the NIH "Small business Funding Opportunities" homepage at www.nih.gov/grants/funding/sbir.htm on the World Wide Web. A limited number of hard copies of these publications are produced. Subject to availability, they may be obtained by contacting the NIH support services contractor: phone (301) 206-9385; fax: (301) 206-9722; e-mail: a2y@cu.nih.gov. The Solicitations include submission procedures, review

considerations, and grant application or contract proposal forms. Completed SBIR and STTR grant applications should be submitted to the Center for Scientific Review, 6701 Rockledge Drive, Room 1040 - MSC 7710, Bethesda, MD 20892-7710.

Award Procedure:

All accepted applications for project grants and institutional National Research Service Awards are reviewed for scientific merit by an appropriate initial review group and by the National Advisory Council for Human Genome Research (NACHGR). (Individual NRSA applications are not reviewed by council.) All approved applications compete for available funds on the basis of scientific merit and program emphasis. Awards are issued throughout the year. All accepted SBIR/STTR applications are evaluated for scientific and technical merit by an appropriate scientific peer review panel and by a national advisory council or board. All applications receiving a impact score ranging from the best (10) to worst (90) compete for the available SBIR/STTR set-aside funds on the basis of scientific and technical merit (which includes the potential of the proposed research for commercial application), program relevance, and program balance among the areas of research.

Deadlines:

Aug 05, 2013: This is the first of three deadlines that occur annually for new research projects. The other two dates are June 5, 2010 and October 5, 2010. New Research Projects (R01) and Renewals: February 5, June 5, and October 5. Program Project Grants and Center Grants: January 25, May 25, and September 25. Other Research Grants (R03, R21, R33, R21/R33, R34, R36): February 16, June 16, and October 16. Individual NRSA: April 5, August 5, and December 10. Institutional NRSA: January 25, May 25, and September 25. SBIR/STTR Applications: April 5, August 5, and December 5. SIP: Contact Headquarters Office.

Range of Approval/Disapproval Time:

Project Grants: About 9 months. SBIR/STTR: About 7-1/2 months.

Appeals:

A principal investigator (P.I.) may question the substantive or procedural aspects of the review of his/her application by communicating with the staff of the Institute. A description of the NIH Peer Review Appeal procedures is available on the NIH home page www.nih.gov/grants/guide/1997/97.11.21/n2.html.

Renewals:

Renewal grants are competitively awarded.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

All awards are made for at least 1 year. Additional support may be available for up to 4 more years depending upon the recommendations of the scientific review group, the National Advisory Council for Human Genome Research, successful annual performance, and availability of funds. SBIR: Normally, Phase I awards are for 6 months; normally, Phase II awards are for 2 years. STTR: Normally, Phase I awards are for 1 year; normally, Phase II awards are for 2 years. Method of awarding/releasing assistance: quarterly.

Reports:

Annual progress and financial status reports for continuing projects and final reports on all projects upon conclusion are required. Cash reports are not applicable. Annual progress and financial status reports for continuing projects and final reports on all projects upon conclusion are required. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative

agreements are subject to inspection and audits by DHHS and other Federal officials.

Records:

Expenditures and other financial records must be retained for 3 years from the day on which the grantee submits the last expenditure report for the report period.

Account Identification:

75-0891-0-1-552.

Obligations:

(Project Grants) FY 12 \$381,829,000; FY 13 est \$359,819,000; and FY 14 est \$386,790,000 - 1. Do you create a separate obligation record for each funding stream? NO

2. In which cases do you answer yes to the question, Is this obligation for salaries and expenses? NONE

3. What is the source of the data for the actual obligations and estimated obligations? NHGRI BUDGET OFFICE. Do these figures include assistance funds only or do they also include program office funds to implement the programs? ASSISTANCE FUNDS ONLY.

4. In which cases, do you use the not separately identifiable for the estimates? NA

5. If the program is a revolving fund or has no-year or multiple-year obligations, in which year(s) do you enter the obligation (e.g., all funds obligated in the initial year, as funds are obligated to grantees, or other)? NA

6. What information do you typically include in the additional information textbox?

WHAT ACTIVITIES ARE SUPPORTED.

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR 52; 42 CFR 66; 45 CFR 74; 45 CFR 92; NIH Extramural Programs brochure and other miscellaneous program literature are available from Headquarters Office. Grants will be available under the authority of and administered in accordance with the PHS Grants Policy Statement and Federal regulations at 42 CFR 52 and 42 U.S.C. 241; Omnibus Solicitation of the Public Health Service for Small Business Innovation Research (SBIR) Grant and Cooperative Agreement Applications. Omnibus Solicitation of the National Institutes of Health for Small Business Technology Transfer (STTR) Grant applications.

Regional or Local Office:

None.

Headquarters Office:

Bettie J. Graham 5635 Fishers Lane, Suite 4076, Bethesda, Maryland 20892
Email: bettie_graham@nih.gov Phone: (301) 496-7531

Website Address:

<http://www.nih.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: SAME AS ABOVE. Fiscal Year 2013: A Knowledge Base for Clinically Relevant Genes and Variants; Removing statistical bottle-necks in data analysis for the ENCODE Consortium; Biorepository for Genomic Medicine in diverse Communities; Informatics Tools for High-Throughput Sequences Data; Center for Integration of Research on Genetics and Ethics Analysis; Structural Annotation of the Human Genome; Massively Parallel Cis-Regulatory Element Analysis in Mammalian Cells; Adaptive Statistical Methods for Genetic Association Studies; Highly parallel functional characterization of human regulatory elements; Instrument to Optimize DNA Sequencing by Recognition Tunneling; Single Molecule Sequencing by Nanopore induced Photon Emission (SM-SNIPE). Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The major elements in evaluating proposals include assessments of: (1) The scientific merit and general significance of the proposed study and its objectives; (2) the technical adequacy of the experimental design and approach; (3) the competency of the proposed investigator or group to successfully pursue the project; (4) the adequacy of the available and proposed project; and (5) the relevance and importance to announced program objectives. The following criteria will be used in considering the scientific and technical merit of SBIR/STTR Phase I grant applications: (1) The soundness and technical merit of the proposed approach; (2) the qualifications of the proposed principal investigator, supporting staff, and consultants; (3) the technological innovation of the proposed research; (4) the potential of the proposed research for commercial application; (5) the appropriateness of the budget requested; (6) the adequacy and suitability of the facilities and research environment; and (7) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment. Phase II grant applications will be reviewed based upon the following criteria: (1) The degree to which the Phase I objectives were met and feasibility demonstrated; (2) the scientific and technical merit of the proposed approach for achieving the Phase II objectives; (3) the qualifications of the proposed principal investigator, supporting staff, and consultants; (4) the technological innovation, originality, or societal importance of the proposed research; (5) the potential of the proposed research for commercial application; (6) the reasonableness of the budget request for the work proposed; (7) the adequacy and suitability of the facilities and research environment; and (8) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment.

93.173 RESEARCH RELATED TO DEAFNESS AND COMMUNICATION DISORDERS

FEDERAL AGENCY:

National Institutes of Health, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Sections 301, 464 A-F, and 487, as amended; 42 U.S.C. 241, 285m, 285m-3, and 288; Public Law 100-553; 102 Stat. 2769; Small Business Research and Development Enhancement Act of 1992, Public Law 102-564.

OBJECTIVES:

To investigate solutions to problems directly relevant to individuals with deafness or disorders of human communication in the areas of hearing, balance, smell, taste, voice, speech, and language. The National Institute on Deafness and Other Communication Disorders (NIDCD) supports research and research training, including investigation into the etiology, pathology, detection, treatment, and prevention of disorders of hearing and other communication processes, primarily through the support of basic and applied research in anatomy, audiology, biochemistry, bioengineering, epidemiology, genetics, immunology, microbiology, molecular biology, the neurosciences, otolaryngology, psychology, pharmacology, physiology, psychophysics, speech-language pathology, and other scientific disciplines. The NIDCD supports: (1) Research into the evaluation of techniques and devices used in diagnosis, treatment, rehabilitation, and prevention of disorders of hearing and other communication processes; (2) research into prevention and early detection and diagnosis of hearing loss and speech, voice, and language disorders and research into preventing the effects of such disorders by means of appropriate referral and rehabilitation; (3) research into the detection, treatment, and prevention of disorders of hearing and other communication processes in the elderly population and its rehabilitation to ensure continued effective communication skills; and (4) research to expand knowledge of the effects of environmental agents that influence hearing or other communication processes. Small Business Innovation Research (SBIR) program: To increase private sector commercialization of innovations derived from Federal research and development; to encourage small business participation in Federal research and development; and to foster participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation. Small Business Technology Transfer (STTR) program: To stimulate and foster scientific and technological innovation through cooperative research and development carried out between

small business concerns and research institutions; to foster technology transfer between small business concerns and research institutions; to increase private sector commercialization of innovations derived from Federal research and development; and to foster and encourage participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Project Grants and Centers Grants may be used to provide salaries, equipment, supplies, travel, and other expenses for research. The grantee institution is obliged to expend grant funds prudently for the purposes as stated in the application and award document. Some National Research Service Awards (NRSAs) are made directly to individuals for research training in specified biomedical and behavioral research areas. Other training grants are made to institutions to enable them to make NRSAs to individuals selected by them. Each postdoctoral individual who receives an NRSA may be obligated, upon termination of the award, to comply with certain service and paycheck provisions. Mentored and unmentored career development awards are made to enhance the independent research capability of highly promising individuals during the formative stages of their careers. Small Business Innovation Research (SBIR) Program: Phase I grants, typically 6 months in duration, are to establish the technical merit and feasibility of a proposed research effort that may lead to a commercial product or process. SBIR Phase II grants are for the continuation of the research efforts initiated in Phase I and that are likely to result in commercial products or processes. STTR Phase I grant, normally of 1-year duration, are to determine the scientific, technical, and feasibility of the proposed cooperative effort. Phase II funding is based on scientific and technical merit and commercial potential of the application. Grant funds may be expended only for the purpose stated in the application and award document.

Applicant Eligibility:

Project Grants and Centers Grants: Any public, private, nonprofit, or for-profit institution is eligible to apply. For-profit institutions are not eligible for institutional National Research Service Awards. All proposals are reviewed for scientific merit, for evaluation of the qualifications of the investigators, for adequacy of the research and/or research training environment and for significance of the problem. Approved proposals compete for available funds. Awardees of almost all Research Career Development Programs must be citizens or have been admitted to the United States for permanent residence. Candidates must be nominated for the program by a nonfederal public or private nonprofit institution located in the United States, its possessions or Territories. To be eligible, postdoctoral NRSA trainees and fellows must have a professional or scientific doctoral degree (PhD, MD, DO, DC, DDS, DVM, OD, DPM, ScD, EngD, Dr PHPhD, MD, DO, DC, DDS, DVM, OD, DPM, ScD, EngD, Dr PH, DNSc, ND {Doctor of Naturopathy}, PharmD, DSW, PsyD, AUD or equivalent doctoral degree from an accredited domestic or foreign institution). SBIR grants can be awarded only to domestic small businesses (entities that are independently owned and operated for profit, and have no more than 500 employees). Primary employment (more than one-half time) of the principal investigator must be with the small business at the time of award and during the conduct of the proposed project. In both Phase I and Phase II, the research must be performed in the United States or its possessions. To be eligible for funding, an SBIR grant application must be approved for scientific merit and program relevance by a scientific review group and a national advisory council. STTR grants can be awarded only to domestic small business concerns which "partner" with a research institution in cooperative research and development. At least 40 percent of the project is to be performed by the small business concern and at least 30 percent by the research institution. In both Phase I and Phase II, the research must be performed in the U.S. and its possessions. To be eligible for funding, a grant application must be approved for scientific merit and program relevance by a scientific review group and a national advisory council.

Beneficiary Eligibility:

Health professionals, graduate students, health professional students, scientists, physicians, and other health and allied health professionals.

Credentials/Documentation:

Project Grants are awarded to an institution in the name of an individual investigator. Centers Grants are also awarded to an institution in the name of an investigator designated as the Program Director. Persons qualified to carry out research related to the NIDCD grant programs described above may apply for funds through their institution to support their investigations. Mentored career development must be conducted under the direction of a competent sponsor. A candidate for a Career Award must have an earned M.D., Ph.D. or equivalent degree and have had prior research experience. National Research Service Awards: (1) Individual NRSA Fellowship Awards for predoctoral and postdoctoral training: The candidate's academic record, research experience, citizenship, institutional sponsorship, and the proposed area and plan of training must be included in the application. (2) Institutional Training Grants for predoctoral and postdoctoral training: The applicant institution must show the objectives, design and resources for the research training program; the qualifications and experience of directing staff and training preceptors; the criteria to be used in selecting individuals for stipend support; and a detailed, justified budget for the numbers and type of trainee positions requested. For-profit organizations' costs are determined in accordance with 48 CFR, Subpart 31.2 of the Federal Acquisition Regulation. For other grantees, costs will be determined in accordance with HHS Regulations 45 CFR, Part 74, Subpart Q. For SBIR and STTR grants, the applicant organization (small business concern) must present in a research plan an idea that has potential for commercialization and furnish evidence that scientific competence, experimental methods, facilities, equipment, and funds requested are appropriate to carry out the plan. Grant form SF 424 (R&R) is used to apply for SBIR Phase I and Phase II. OMB Circular No. A-87 applies to this program.

Preadmission Coordination:

Preadmission coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Applications must have a Dun and Bradstreet (D&B) Data Universal Numbering System (DUNS) number as the Universal Identifier when applying for Federal grants or cooperative agreements. The DUNS number can be obtained by calling (866) 705-5711 or through the web site at: <http://www.dunandbradstreet.com>. The NIH is converting to SF424 (R&R) Research and Related forms and electronic submission through Grants.gov for the submission of all grant applications. Visit <http://era.nih.gov/ElectronicReceipt> for information and updates on this new process and www.nidcd.nih.gov/funding/foa to identify funding opportunity announcements relevant to NIDCD. Research Fellowships: Prior to formal application, a candidate must be accepted at an institution as a doctoral student or postdoctoral fellow and have a sponsor who will supervise the training. Fellows may be sponsored by a (domestic or foreign) nonprofit institution. Application forms and information concerning current areas being supported under the individual and institutional NRSA Fellowship program should be obtained electronically through the NIH's "Extramural Training Mechanisms" home page (<http://grants.nih.gov/training/extramural.htm>). This program is subject to the provisions of 45 CFR, Part 92 for State and local governments, and OMB Circular No. A-110 for nonprofit organizations. SBIR and STTR Grant Solicitations and SBIR Contract Solicitation may be obtained electronically through the NIH's "Small Business Funding Opportunities" home page <http://www.nih.gov/grants/funding/sbir.htm>. For further assistance in all above areas, contact GrantsInfo, Telephone (301) 435-0714, Email: GrantsInfo@nih.gov.

Award Procedure:

Research grant, career development, and research training program applications are reviewed initially by technical panels composed of scientific authorities, and by the National Deafness and Other Communication Disorders Advisory Council composed of 18 leaders in medicine, science, education, and public affairs. Approved applications will compete on a merit basis for available funds. Formal award notices are transmitted to the grantee or awardee. All accepted SBIR/STTR applications are evaluated for scientific and technical merit by an appropriate scientific peer review panel and by a national advisory council. All applications receiving a priority score compete for available SBIR/STTR set-aside funds on the basis of scientific and technical merit and

commercial potential of the proposed research, program relevance, and program balance among the areas of research.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

> 180 Days. Research Grants and Centers Grants: Approximately 6 to 9 months. Career Program: From 6 to 9 months. SBIR/STTR applications: About 6 to 9 months. Institutional Training Grants: From 10 to 12 months. Individual Fellowships: From 4 to 6 months.

Appeals:

A principal investigator (PI) may question the substantive or procedural aspects of the review of his/her application by communicating with the staff of the Institute. A description of the NIH Peer Review Appeal procedures is available at <http://grants.nih.gov/grants/guide/notice-files/NOT-OD-11-064.html>. Final decisions on unresolved appeals are made with the advice of the National Deafness and Other Communication Disorders Advisory Council.

Renewals:

> 180 Days. By application and review in the same manner as new applications. Research career awards are not renewable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Research Grant awards are made for a 12-month period with recommendation of up to 4 years of additional support. Center Grant awards support may be for a period not to exceed 5 years. Career development awards provide support for up to 5 years. NRSA fellowship and traineeship awards are usually for a 12-month period, with recommendation of additional support of no more than 5 years for predoctoral training and no more than 3 years for postdoctoral training. SBIR Phase I awards are generally for 6 months; Phase II awards normally may not exceed 2 years. STTR Phase I awards are generally for 1 year; Phase II awards normally may not exceed 2 years. See the following for information on how assistance is awarded/released: After the progress report is evaluated and deemed satisfactory, a notice of grant award for each grant is issued annually.

Reports:

Program reports are not applicable. The grantee organization submits quarterly cash 272 reports. A progress report is required yearly for each grant. A financial status report is due either annually or at the end of the project period, depending upon the type of grant. Performance monitoring is covered in the annual progress report.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal officials.

Records:

Expenditures and other financial records must be retained for 3 years from the day on which the grantee submits the last financial status report for the report period.

Account Identification:

75-0890-0-1-552.

Obligations:

(Project Grants) FY 12 \$303,623,662; FY 13 est \$286,829,000; and FY 14 est \$307,203,000 - NRSA's: FY 12 \$13,296,812; FY 13 est \$12,875,000; FY 14 est \$13,583,000.

SBIR/STTR Grants: FY 12 \$10,453,652; FY 13 est \$10,200,000; FY 14 est

\$11,600,000.

Range and Average of Financial Assistance:

Project Grants/Centers Grants: Ranged from \$2,135 to \$2,253,192 in FY 12; Average was \$361,457.

NRSA's: Ranged from \$1,188 to \$859,914 in FY 12; Average was \$92,339.

SBIR/STTR Grants: Phase I averages approx, \$100,000; Phase II has a \$750,000 maximum.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: 840 Project Grants and Centers Grants; 29 SBIR/STTR Grants; and 144 NRSA's. Fiscal Year 2013: No data available yet. Fiscal Year 2014: No data available yet.

REGULATIONS, GUIDELINES, AND LITERATURE:

Project Grants and Centers Grants: 42 CFR 52 or 52a; 42 CFR 66; 45 CFR 74; 45 CFR 92; Grants will be available under the authority of and administered in accordance with the NIH Grants Policy Statement and Federal regulations at 42 CFR 52 and 42 USC 241; Omnibus Solicitation of the Public Health Service for Small Business Innovation Research (SBIR) Grant and Cooperative Agreement Applications. Omnibus Solicitation of the National Institutes of Health for Small Business Technology Transfer (STTR) Grant Applications.

Regional or Local Office:

None.

Headquarters Office:

Debra S. Holmes 6001 Executive Boulevard
Room 8328 - MSC 9670, Bethesda, Maryland 20892-9670 Email:
holmesd@mail.nih.gov Phone: 3014968693 Fax: 3014026250

Website Address:

<http://www.nidcd.nih.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: No Current Data Available. Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The major elements in evaluating applications include assessments of: (1) The scientific merit and general significance of the proposed study and its objectives; (2) the technical adequacy of the experimental design and approach; (3) the competency of the proposed investigator or group to successfully pursue the project; (4) the adequacy of the available and proposed project; (5) the necessity of budget components requested in relation to the proposed project; (6) the relevance and importance to announced program objectives; (7) innovation; (8) risk to human subjects, including inclusion of minorities and children, and animal protection. The following criteria will be used in considering the scientific and technical merit of SBIR/STTR Phase I grant applications: (1) The soundness and technical merit of the proposed approach; (2) the qualifications of the proposed principal investigator, supporting staff, and consultants; (3) the technological innovation of the proposed research; (4) the potential of the proposed research for commercial application; (5) the appropriateness of the budget requested; (6) the adequacy and suitability of the facilities and research environment; and (7) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment. Phase II grant applications will be reviewed based upon the following criteria: (1) The degree to which the Phase I objectives were met and feasibility demonstrated; (2) the scientific and technical merit of the proposed approach for achieving the Phase II objectives; (3) the qualifications of the proposed principal investigator, supporting staff, and consultants; (4) the technological innovation, originality, or societal importance of the proposed research; (5) the potential of the proposed research for commercial application; (6) the reasonableness of the budget requested for the work proposed; (7) the adequacy and suitability of the facilities and research environment; and (8) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment.

93.178 NURSING WORKFORCE DIVERSITY

NWD

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Title VIII, Section 821 of the Public Health Service Act (42 U.S.C. 296m), as amended by Section 5404 of the Patient Protection and Affordable Care Act (P.L. 111-148).

OBJECTIVES:

To support projects that assist underrepresented students throughout the educational pipeline to become registered nurses, facilitate diploma or associate degree registered nurses becoming baccalaureate-prepared registered nurses, and prepare practicing registered nurses for advanced nursing education. The NWD program increases nursing education opportunities for individuals who are from disadvantaged backgrounds (including racial and ethnic minorities under-represented among registered nurses) by providing (1) student scholarships or stipends for diploma or associate degree nurses to enter a bridge or degree completion program, and (2) student scholarships or stipends for accelerated nursing degree programs, pre-entry preparation, advanced education preparation, and retention activities.

Achieving a diverse, culturally-aligned, and competent workforce that is reflective of the population served will require development of new workforce strategies. New workforce strategies must extend beyond individual-level interventions to address social and structural forces that impede efforts towards workforce diversity and health equity. NWD program encourages applications that offer innovative projects that address the social determinants of health and how the social determinants impact a School of Nursing's ability to recruit, retain, and graduate underrepresented students.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds may be used for salaries of personnel specifically employed for the project; consultant fees; supplies and equipment necessary to conduct the project; essential travel expenses and student stipends or scholarships; and other expenses related to the project. Indirect costs are allowed for administrative costs incurred as a result of the project, limited to 8 percent of direct costs exclusive of equipment and tuition and fees. For stipends, the maximum annual (12-month) amount is \$10,000 per eligible student. Scholarships are limited to a maximum of \$10,000 per eligible student per year. The maximum amount that can be requested for scholarships in a grant is \$50,000 per year.

Applicant Eligibility:

Eligible applicants are collegiate schools of nursing, academic health centers, and other private or public nonprofit entities accredited by a recognized body or bodies or state agency, approved for the purpose of nursing education by the Secretary of Education. The school must be located in the 50 states, the District of Columbia, the Commonwealth of Puerto Rico, the Northern Mariana Islands, the Virgin Islands, American Samoa, Guam, the U.S. Virgin Islands, the Federated States of Micronesia, the Republic of the Marshall Islands, and the Republic of Palau. Indian Tribes or Tribal organizations may apply if they are otherwise eligible.

Beneficiary Eligibility:

Accredited public and nonprofit private schools of nursing and other public or nonprofit private entities.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. HRSA requires all applicants to apply electronically through Grants.gov.

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Award Procedure:

Notification is made in writing (electronic) by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 6 months after receipt of applications.

Appeals:

Not Applicable.

Renewals:

Competing continuation applications may be submitted for continuance of a grant beyond the initial project period. A competing continuation application shall be submitted during the beginning of the third year of the initial project period. The submission date is the same for new applications and competing continuation applications. These applications are reviewed in the same manner and at the same time as new competing applications.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

Competing and competing continuation grant applications are submitted for three (3) years of support. See the following for information on how assistance is awarded/released: Grantees draw down funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

No program reports are required. No cash reports are required. The awardee will be required to submit annual performance and progress reports as well as status-federal financial reports (see below for general information on each required report). The awardee must submit a quarterly electronic Federal Financial Report (FFR) Cash Transaction Report via the Payment Management System within 30 days of the end of each calendar quarter. A Federal Financial Report (SF-425) is required within 90 days of the end of each budget period. A final report is due within 90 days after the project period ends. If applicable, the awardee must submit a Tangible Personal Property Report (SF-428) and any related forms within 90 days after the project period ends. New awards (Type 1) issued under this funding opportunity announcement are subject to the reporting requirements of the Federal Funding Accountability and Transparency Act (FFATA) of 2006 (Pub. L. 109282), as amended by section 6202 of Public Law 110252, and implemented by 2 CFR Part 170. Grant and cooperative agreement recipients must report information for each first-tier subaward of \$25,000 or more in federal funds and executive total compensation for the recipients and subrecipients five most highly compensated executives as outlined in Appendix A to 2 CFR Part 170 (FFATA details are available online at <http://www.hrsa.gov/grants/ffata.html>). Competing continuation awardees, etc. may be subject to this requirement and will be so notified in the Notice of Award. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit

conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records three years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the three year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular three year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Project Grants) FY 12 \$14,393,589; FY 13 est \$14,121,506; and FY 14 est \$15,152,000

Range and Average of Financial Assistance:

FY 11: Range: \$110,305 \$534,798; Average first year award: \$3,254,616

FY 2012 Range \$111,305 - \$534,798; average \$324,774.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for state, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices. Kristen Hansen, MHSA, RN, NE-BC, Project Officer, Division of Nursing, Bureau of Health Professions, Health Resources and Services Administration, Department of Health and Human Services, Rm. 9-61, Parklawn Bldg, 5600 Fishers Lane, Rockville, MD 20857. Telephone: (301) 443-5688. Email khansen@hrsa.gov.

Headquarters Office:

Kristen Hansen 5600 Fishers Lane, Rm. 9-61, Parklawn Bldg, Rockville, Maryland 20857 Email: Emailkhansen@hrsa.gov Phone: (301) 443-2796

Website Address:

<http://www.hrsa.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Procedures for assessing the technical merit of grant applications have been instituted to provide an objective review of applications and to assist the applicant in understanding the standards against which each application will be judged. Critical indicators have been developed for each review criterion to assist the applicant in presenting pertinent information related to that criterion and to provide the reviewer with a standard for evaluation. Competing applications are reviewed by nonfederal reviewers for technical merit recommendations. Refer to Grants.gov to obtain a copy of the pertinent funding opportunity announcement, and section V.1 of any announcement for specific review criteria.

93.184 DISABILITIES PREVENTION

(Disability and Health)

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Title 42, Part 241(a), Section Section 301(a), Public Law USC-42 U.S.C. 247(b).

OBJECTIVES:

To (1) Provide a national focus for the prevention of secondary conditions in persons within selected disability domains including mobility, personal care, communication, and learning; (2) build State capacity to coordinate program activities and assess the magnitude of disability in States; (3) employ epidemiological methods to set priorities and direct health promotion interventions for persons with disabilities; (4) conduct research projects to understand secondary conditions and measure the impact of the environment on the lives of persons with disabilities, and conduct studies on the effectiveness of interventions in targeted groups of persons with disabilities; (5) fund and support a national limb loss information center; (6) fund and support a national information center on physical activity for persons with disabilities; (7) fund and support a national paralysis and quality of life/health promotion information and resource center; (8) fund and support a national spina bifida information and resource center and (9) fund and support intellectual disability projects.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

CDC issued continuation awards in fiscal year 2013 for 18 State disability and health cooperative agreements. CDC issued competitive awards to four information/resource centers to address the prevention of secondary conditions and health promotion for persons with disabilities. State capacity cooperative agreements: These awards are providing financial assistance to develop and strengthen health-related disability programs. The support is intended to increase capacity and action in health promotion, health care access and emergency preparedness activities for people with disabilities.

Research project grants: Financial assistance under this program is being used to: 1) Implement and evaluate programs to identify and quantify preventable secondary conditions within disability domains which include physical, medical, cognitive, emotional, and/or psychosocial conditions and their prevention; 2) determine the risk and protective factors in specified populations of persons who have a disability; 3) measure the effectiveness and costs of preventive interventions; and 4) develop measurements of the environment that can facilitate or hinder access to participation for persons with a disability. National Limb Loss Information Center: Financial assistance has been awarded to operate a national clearinghouse to provide educational materials and self-help guidance to persons with limb loss and limb difference their families, and develop a peer visitation training initiative for the conduct of education and training sessions in hospitals, rehabilitation facilities, and support groups. The National Center on Physical Activity and Disability provides multiple sources of information and references on a vast array of inquiries on exercise, fitness and health promotion activities. Christopher and Dana Reeve Foundation: Financial assistance has been awarded to operate a national resource center for persons with paralysis, their families, and their caregivers. Financial assistance has been awarded to conduct activities to improve the health and wellness of people with intellectual disabilities. In these cases, project funds may not be used to supplant State, local, or institutional funds available for these activities, or for construction costs, or to purchase facilities or space. Grantees may enter into contracts as necessary to help achieve the objectives of their respective programs.

Applicant Eligibility:

Based on available funding for fiscal year 2013, CDC issued continuing awards for 18 State projects. Eligibility for the programs in the future are State health departments or other official organizational authority (agency or instrumentality) of States, including the District of Columbia, the Commonwealth of Puerto Rico, and any territory or possession of the United States. Also, four national information centers on limb loss, physical activity, paralysis, and spina bifida were funded. Eligible applicants for continuing applications in fiscal year 2012 included public and private nonprofit entities, including universities, university-affiliated systems, not-for-profit medical centers, research institutions and rehabilitation hospitals, disability service groups such as advocacy and voluntary organizations and independent living

centers, and federally recognized Indian Tribal Governments. For the limb loss information center, the physical activity and intellectual disability resource center projects; continuing applications were solicited for these programs in FY 2012.

Beneficiary Eligibility:

In addition to the eligible applicants, other groups who will receive benefits from the program include persons with disabilities and family members of persons with disabilities, persons with limb loss, minority populations, refugees, infants, children, youth, adults, senior citizens, women, all educational levels, all income levels, urban, suburban, and rural populations, health/rehabilitation professionals, scientists, educators, and researchers.

Credentials/Documentation:

State disability and health applicants documented in their fiscal year 2013 continuing applications the need for assistance, indicated the objectives of their projects, presented their approaches to meet set objectives, and outlined the method of operation. Current information centers requested continuation funding to meet original protocols and objectives. For nonprofit recipients, costs will be determined in accordance with HHS Regulation 45 CFR 74. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

The guidelines will indicate whether or not an application is subject to Executive Order 12372. If the application is subject for review per State Executive Order 12372, please follow these instructions:

1. The SPOC should be contacted as early as possible to receive any necessary instructions on the State process. If the project serves more than one State, the department should contact the SPOC of each affected State.
2. The necessary information should be mailed to the SPOC.
3. Please provide a copy of the cover letter sent to the SPOC to Grants & Contracts along with the hard copy application. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. To apply for this funding opportunity, use application form PHS 398 (OMB number 0925-0001 rev. 5/2001). Forms and instructions are available in an interactive format on the Grants.gov web site, at the following Internet address: www.grants.gov. Submit the final application using the www.grants.gov website. This program is subject to the provisions set forth in 45 CFR 92, for State and local governments and OMB Circular No. A-110 for nonprofit organizations.

Award Procedure:

State disability and health cooperative agreement competitive awards are determined by an internal objective committee review process at CDC. Research project grant competitive awards are determined by an external peer review. At such times, awards will be based on evaluation criteria set forth in the respective Program Announcements, the availability of funds, and such other significant factors as deemed necessary and appropriate by CDC. Awards for State disability and health projects will be issued for expected project periods of 3 years. Future awards for Research project grants are not expected to be issued until fiscal year 2015 given the funding cycles for those projects. The Notices of Grant Award will indicate financial support for the first budget year, allocations of Federal funds by budget category, and special conditions, if any.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. Between 60 to 95 days from the deadline date for submission of applications.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Eighteen State disability and health projects received financial assistance in fiscal year 2012 for three year project periods. Other future solicitations (when announced) will indicate the length of the project periods and program requirements for each of these programs. Method of awarding/releasing assistance: by letter of credit.

Reports:

Annual progress reports are required. Federal financial reports are required no later than 90 days after the end of each specified budget period. Final financial status reports and a final program report is required 90 days after the end of the project. Cash reports are not applicable. Annual progress reports are required. Federal financial reports are required no later than 90 days after the end of each specified budget period. Final financial status reports and a final program report is required 90 days after the end of the project. Financial status reports are required no later than 90 days after the end of each specified budget period. Final financial status reports and a final program report is required 90 days after the end of the project. Annual progress reports are required. Financial status reports are required no later than 90 days after the end of each specified budget period. Final financial status reports and a final program report is required 90 days after the end of the project.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the program shall be retained for a minimum of three years, or until completion and resolution of any audit in process or pending resolution. In all cases, records must be retained until resolution of any audit questions. Property records must be retained in accordance with PHS Grants Policy Statement requirements.

Account Identification:

75-0958-1-1-550.

Obligations:

(Cooperative Agreements) FY 12 \$21,908,896; FY 13 est \$19,958,306; and FY 14 est \$21,195,826

Range and Average of Financial Assistance:

The continuing awards using fiscal year 2013 funds for the State capacity projects is \$300,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Jacqui Butler, MPA, CMC 1825 Century Center Boulevard Rm 4074, Atlanta, Georgia 30345 Email: jabutler@cdc.gov Phone: (404) 498-0274

Website Address:

<http://www.cdc.gov/ncbddd/disabilityandhealth/index.html>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

New Research projects may be awarded in September 2013. In these cases, applications are reviewed based on evaluation criteria explicit in the respective Program Announcements. Applications must address demonstrated program need, the magnitude of the problem, the management work plan, collaborative associations, and the approach toward setting and meeting overall project objectives and time frames. These future competitive applications would also be evaluated on the capacity of the applicant to demonstrate effective collaborations with other agencies and data sources critical to preventing secondary conditions, identifying and addressing health promotion needs for persons with disabilities, denoting the capability of the project to address minority and low-income populations in the prevention of secondary conditions, promoting accessibility to all program services for persons with disabilities, and offering sound proposals toward development of surveys and surveillance for useful data bases within outlined targeted disability activities.

93.185 IMMUNIZATION RESEARCH, DEMONSTRATION, PUBLIC INFORMATION AND EDUCATION_TRAINING AND CLINICAL SKILLS IMPROVEMENT PROJECTS**FEDERAL AGENCY:**

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

This program is authorized under Sections 317, 317(k)(2), 318 and 318B of the Public Health Service Act (42 U.S.C. Sections 247b, 247b(k)(2) and 247c), as amended.

OBJECTIVES:

To assist states, political subdivisions of states, and other public and private nonprofit entities to conduct research, demonstration projects, and provide public information on vaccine-preventable diseases and conditions.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Project funds may be used for costs associated with organizing and conducting these projects, and in certain circumstances, for purchasing vaccine. Requests for direct assistance (i.e., "in lieu of cash") for personnel, vaccines, and other forms of direct assistance will be considered. Funds may not be used to supplant existing immunization program activities.

Applicant Eligibility:

Under Section 317(k) of the Public Health Service Act: States, political subdivision of states, and other public and private nonprofit entities.

Beneficiary Eligibility:

Under Section 317(k) of the Public Health Service Act: States, political subdivisions of states, and other public and private nonprofit entities.

Credentials/Documentation:

Applicants should document the need for assistance, state the objectives of the project, outline the method of operation, describe the evaluation procedures, and provide a budget with justification of funds requested. For nonprofit recipients, costs will be determined in accordance with HHS Regulations 45 CFR 74, Subpart Q. OMB Circular No. A-87 applies to this program. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her state for more information on the process the state requires to be followed in applying for assistance, if the state has selected the program for review. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information

on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. To apply for this funding opportunity, applicants must download application forms and applications must be submitted electronically at www.Grants.gov. The standard application forms must be used for this program, as furnished by CDC and required by 45 CFR 92 for state and local governmental agencies, and by OMB Circular No. A-110 for nongovernmental applicants. If an applicant does not have access to the Internet, or if they have difficulty accessing the forms on-line, contact the CDC Procurement and Grants Office, Technical Information Management Section (PGO-TIMS) staff. For this, or further assistance, contact PGO-TIMS: telephone (770) 488-2700, email: PGOTIM@cdc.gov.

Award Procedure:

Successful applicants will receive a Notice of Award (NoA) from the CDC Procurement and Grants Office. The NoA shall be the only binding, authorizing document between the recipient and CDC. The NoA will be signed by an authorized Grants Management Officer and emailed to the program director and a hard copy mailed to the recipient fiscal officer identified in the application. Unsuccessful applicants will receive notification of the results of the application review by mail.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

From 60 to 90 days. Project periods are for one to five years with 12-month renewals. Applications must be obtained from Grants Management Officer, Procurement and Grants Office, Centers for Disease Control and Prevention. Telephone: 770-488-2716.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Project period: Variable. Budget period: 12 months unless otherwise specified. See the following for information on how assistance is awarded/released: Various avenues.

Reports:

Subject to OMB clearance, quarterly narrative progress reports (including appropriate statistical data) may be required 30 days after the end of each quarter. Narratives should address progress or lack of progress in achieving project objectives, including methods being used to resolve problems. Financial status reports are required no later than 90 days after the end of each budget period. Final financial status and performance reports are required 90 days after the end of a project period. Cash reports are not applicable. Progress reports may be applicable. Cash reports are not applicable. Progress reports may be required. This will be identified in the Funding Opportunity Announcement. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that

year, except as noted in Circular No. A-133. Records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and General Accounting Office (GAO). The grantee is to also ensure that the sub-recipients receiving CDC funds also meet these requirements (if total Federal grant or grants funds received exceed \$500,000). The grantee should include this requirement in all sub-recipient contracts.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the program shall be retained for a minimum of 3 years, or until completion and resolution of any audit in process or pending resolution. In all cases records must be retained until resolution of any audit questions. Property records must be retained in accordance with 45 CFR 92.42.

Account Identification:

75-0943-0-1-550.

Obligations:

(Cooperative Agreements) FY 12 \$325,251,061; FY 13 est \$328,751,061; and FY 14 est \$328,751,061

Range and Average of Financial Assistance:

Varies.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Ercila Harrison NCIRD
1600 Clifton Road, Mailstop A-27, Atlanta, Georgia 30333 Email:
ezs8@cdc.gov Phone: (404) 639-2110 Fax: (404) 639-9113

Website Address:

<http://www.cdc.gov>

RELATED PROGRAMS:

93.268 Immunization Grants

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.186 NATIONAL RESEARCH SERVICE AWARD IN PRIMARY CARE MEDICINE

NRSA

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Section 487 of the Public Health Service Act and Division D, Title II, Section 218 of the Consolidated Appropriations Act of 2010, Public Law 111-117.

OBJECTIVES:

The Ruth L. Kirschstein National Research Service Awards (NRSA) in Primary Care seeks to develop a diverse and highly trained primary care research workforce by supporting grantees that prepare qualified individuals for careers that will have significant impact on the nations primary care research agenda. Within the framework of the programs longstanding commitment to excellence and projected need for investigators in particular areas of research, attention must be given to recruiting individuals with proven experience in biomedical and behavioral sciences and health systems.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

NRSA grants are made to approved institutions to enable them to provide up to

five years of fellowship support to qualified postdoctoral individuals undertaking primary care research training. Each NRSA trainee is obligated to comply with federal service and payback provisions. Trainees in the first 12 months of postdoctoral support incur one month of service payback obligation for each month of support. Postdoctoral trainees in the 13th and subsequent months of NRSA support do not incur any further service obligation. In discharge, the 13th and subsequent months of postdoctoral training serve to discharge the NRSA payback obligation.

Applicant Eligibility:

Eligible applicants are those entities that have received a grant under title VII, section 747 of the Public Health Service (PHS) Act designed to prepare the primary health care workforce. These entities include: public or non-profit private hospitals; schools of allopathic or osteopathic medicine; schools of dentistry; approved residency programs in general or pediatric dentistry; approved advanced education programs in general or pediatric dentistry; academically affiliated physician assistant training programs; or a public or private non-profit entity.

Beneficiary Eligibility:

Individuals and public or private nonprofit organizations or institutions, including state or local governments and U.S. Territories. Participants must be U.S. Citizens, non-citizen nationals, or foreign nationals who possess visas permitting permanent residence in the United States. Individuals on temporary or student visas are not eligible. Postdoctoral trainees must have received, as of the beginning date of the NRSA appointment, a Ph.D., M.D., D.O., D.D.S., D.M.D., or comparable doctoral degree from an accredited domestic or foreign institution.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications that must be submitted prior to or simultaneous with submission of an application package. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. HRSA requires all applicants to apply electronically through Grants.gov. All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Award Procedure:

Notification of award is made in writing (electronic) by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From two to three months from receipt of application.

Appeals:

Not Applicable.

Renewals:

Competitive continuations may be submitted during the final budget period of the approved project period.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

No individual trainee may receive more than three years of aggregate National

Research Service Award support at the post-doctoral level, including any combination of support from institutional and individual awards. Institutional training grants may be awarded for project periods up to five years. Awards are made on an annual basis and it is expected that expenditures will be made within the budget period awarded. After awards are issued, funds are released in accordance with the payment procedure established by the grantee with the Department of Health and Human Services (DHHS), which may be the Electronic Transfer System or a Monthly Cash Request System. See the following for information on how assistance is awarded/released: Grantees draw down funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

The awardee will be required to submit annual performance and progress reports as well as status-federal financial reports (see below for general information on each required report). The awardee must submit a quarterly electronic Federal Financial Report (FFR) Cash Transaction Report via the Payment Management System within 30 days of the end of each calendar quarter. A Federal Financial Report (SF-425) is required within 90 days of the end of each budget period. A final report is due within 90 days after the project period ends. If applicable, the awardee must submit a Tangible Personal Property Report (SF-428) and any related forms within 90 days after the project period ends. New awards (Type 1) issued under this funding opportunity announcement are subject to the reporting requirements of the Federal Funding Accountability and Transparency Act (FFATA) of 2006 (Pub. L. 109282), as amended by section 6202 of Public Law 110252, and implemented by 2 CFR Part 170. Grant and cooperative agreement recipients must report information for each first-tier subaward of \$25,000 or more in federal funds and executive total compensation for the recipients and subrecipients five most highly compensated executives as outlined in Appendix A to 2 CFR Part 170 (FFATA details are available online at <http://www.hrsa.gov/grants/ffata.html>). Competing continuation awardees, etc. may be subject to this requirement and will be so notified in the Notice of Award. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records three years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the three year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular three year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Project Grants) FY 12 \$7,154,840; FY 13 est \$6,774,880; and FY 14 est \$6,774,880

Range and Average of Financial Assistance:

151,331 to \$386,195; \$325,220.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for state, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

None. Martha Evans, MS, Public Health Analyst, Division of Medicine and Dentistry, Bureau of Health Professions, Health Resources and Services Administration, Department of Health and Human Services, 5600 Fishers Lane Room 9A-27, Rockville, Maryland 20857, Telephone: (301) 443-3142, Fax: 301-443-8890, and E-mail: mevans@hrsa.gov.

Headquarters Office:

Division of Medicine and Dentistry 5600 Fishers Lane, Room 9A-27, Rockville, Maryland 20857 Phone: (301) 443-6190 Fax: 301-443-8890

Website Address:

<http://www.hrsa.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Competing applications are reviewed by nonfederal reviewers for technical merit recommendations. Approval of an application will be based on an analysis of the factors set forth in the application. See the section on Application Procedure above to obtain a copy of the announcement.

93.187 UNDERGRADUATE SCHOLARSHIP PROGRAM FOR INDIVIDUALS FROM DISADVANTAGED BACKGROUNDS

(NIH Undergraduate Scholarship Program; UGSP)

FEDERAL AGENCY:

National Institutes of Health, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act., Title IV, Part G, Section 487D.

OBJECTIVES:

To provide service-conditioned scholarships to individuals from disadvantaged backgrounds who agree to pursue undergraduate education at accredited institutions. Individuals must pursue a course of academic study which prepares them for professions needed by the National Institutes of Health (NIH). NIH expects to facilitate interest of these individuals in pursuing careers in the biomedical/biobehavioral sciences at the NIH.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

This program will provide scholarships to individuals who have completed their first year of studies and are enrolled or accepted for enrollment as full-time students at an accredited undergraduate institution of higher education and who are from disadvantaged backgrounds. Each recipient must agree by written contract to serve as an NIH employee full-time for not less than 10 consecutive weeks of each year during which the individual is attending the undergraduate institution and receiving a scholarship; and, not later than 60 days after obtaining the educational degree, each recipient must begin serving as a full-time NIH employee for one year for each year of NIH scholarship support (a deferment of the service obligation may be obtained if the Director, NIH, determines that such is appropriate). Applicants must submit a proposed academic program for each academic year and agree that this program will not be altered without prior approval. The applicant must be in good academic (maintain a minimum GPA of 3.5) standing with their educational institution for continuation under the scholarship program. Maximum scholarship per academic year is \$20,000. Scholarship funds may only be used for tuition expenses and qualified educational and living expenses incurred while attending school.

Applicant Eligibility:

An eligible applicant must be (1) A U.S. citizen, national, or permanent resident; (2) enrolled or accepted for enrollment as a full-time student at an accredited undergraduate institution (4 year school) of higher education; (3) from a disadvantaged background as determined by the Secretary of Health and Human Services; (4) in good academic standing (minimum GPA of 3.5 or be in the top 5 percent) with his/her educational institution; and (5) submit an

application to participate in the Undergraduate Scholarship (UGS) Program; (6) agree to serve as a full-time NIH employee for not less than 10 consecutive weeks of each year during which the individual is attending the educational institution and receiving a scholarship; (7) agree to serve as a full-time NIH employee for 12 months for each academic year during which the scholarship was provided, not later than 60 days after obtaining his or her academic degree, unless a service deferment is granted.

Beneficiary Eligibility:

Undergraduate students from disadvantaged backgrounds pursuing academic programs supporting professions needed by the NIH.

Credentials/Documentation:

Applicants must submit documentation of the following, as appropriate: (1) Verification of enrollment or acceptance to an accredited institution of higher education (4 year school); (2) a proposed academic program for the school year; (3) certification from the academic institution that the applicant is in good academic standing (minimum GPA of 3.5, or be in the top 5 percent); (4) certification from the academic institution that the applicant qualifies for participation in an educational assistance program for individuals from disadvantaged backgrounds as defined by the Secretary of Health and Human Services; and (5) other documentation as may be required by law, statute, or regulation. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Application, a signed contract, and other required information (as listed in the application packet) are submitted to the Program office. Once an application package is received by the administering Program Office, preliminary eligibility will be determined and highly qualified applicants will be interviewed. Final determination of awards will be made upon review by the Undergraduate Scholarship Program Committee at a regularly scheduled meeting.

Award Procedure:

Priority will be given to applicants who are prior recipients. The NIH Undergraduate Scholarship Program Committee will review, rank, and approve or disapprove applications submitted for program participation. Criteria which determine competitive ranking for awards may include: (1) career goal in biomedical/biobehavioral research; (2) academic performance; and (3) letters of recommendation. A student's financial need alone does not affect selection. Applicants approved for award will be notified by the Program Director. The Secretary, and his/her designee will sign the service contract of approved applicants, provide a copy to the participant and under graduate institution notice of approved award amount. Scholarship payments, including room and board, books, fees and transportation are made to the college or university for dispersal.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

The approximate time for approval/disapproval is 3 months.

Appeals:

Not Applicable.

Renewals:

Annual renewal applications are required to extend the award. Extensions of the scholarship award are subject to availability of funds, acceptable academic and laboratory performance.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Each award covers 1 calendar year, and may be extended for an additional 3 years, for a maximum of 4 years. No awardee may receive more than 4 years of support. See the following for information on how assistance is awarded/released: beginning of academic term.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

The NIH will maintain applicant records for 3 years after rejection and participant records for 6 years after completion of final service obligation.

Account Identification:

75-6846-0-1-552.

Obligations:

(Federal Employment) FY 12 \$3,136,015; FY 13 est \$3,449,616; and FY 14 est \$3,794,577 - Funds are obligated from the appropriated budget to cover scholarship awards to a maximum of \$20,000 per participant per academic year. Additional funds are used to fund the salaries of participants completing their service obligation, which are performed in exchange for the award they received as an undergraduate.

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices. contact: Darryl Murray, 301-594-2222, murrayda@mail.nih.gov.

Headquarters Office:

Darryl Murray Building 2, Room 2E20
Two Center Drive, Bethesda, Maryland 20892 Email: murrayda@mail.nih.gov
Phone: 301-594-2222 Fax: 301-480-5481

Website Address:

<http://ugsp.nih.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The NIH Undergraduate Scholarship Program Committee will review, rank, and approve or disapprove applications based on career goals and academic performance. Priority will be given to applicants who have demonstrated good academic performance, demonstrated a career goal to pursue biomedical/biobehavioral research, and have characteristics which support the likelihood they will complete their service obligations.

93.191 GRADUATE PSYCHOLOGY EDUCATION PROGRAM AND PATIENT NAVIGATOR AND CHRONIC DISEASE PREVENTION PROGRAM

Graduate Psychology Education (GPE) Program
Patient Navigator and Chronic Disease Prevention Program (PN)

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

GPE: Title VII, section 755 (b)(1)(J) of the Public Health Service Act (42 USC 755 [294e(b)(1)(J)]) as amended by the Health Professions Education Partnership Act of 1998, PL 105-392 and the Patient Protection and Affordable Care Act, PL 111-148.

PN: Section 340A of the Public Health Service Act (42 U.S.C. 256a), as amended by the Patient Protection and Affordable Care Act, Pub. L. 111-148.

OBJECTIVES:

GPE: The Graduate Psychology Education Program addresses the interrelatedness of behavior and health and the critical need for integrated health care services. Grants will be awarded to assist eligible and accredited schools and programs to support the planning, development, implementation, and maintenance of accredited doctoral psychology academic and internship schools and programs that foster an interprofessional approach with primary care to access health care services for underserved populations. The underserved populations include children, the elderly, victims of abuse, the chronically ill, or disabled; and in areas of emerging needs.

PN: The Patient Navigator Program focuses on improving patients health outcomes through enhanced chronic disease management by using patient navigators and the implementation of PN programs to include recruitment, training, assignment, and employment of such navigators.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

GPE: Funds may be used for pre-doctoral and practice stipend support of students. Funds may not be used for student tuition or fees or for direct health care service delivery. The GPE Program supports American Psychology Association (APA) accredited: 1) graduate training schools and programs targeting doctoral psychology students interested in clinical practice with vulnerable and underserved populations, and 2) state and local governments, public or private nonprofit entities with pre-degree internships for students enrolled in a doctoral psychology program to advance their preparation in a more defined area of clinical and interprofessional practice. The focus of the educational and experiential training is on preparing doctoral psychology students for working in organizations that target vulnerable and underserved populations, on integrating behavioral health, primary care, and public health competencies, and on interprofessional practice.

PN: Funds may be used for the recruitment, training, assignment, and employment of patient navigators to perform the following six duties: (1) Acting as contacts, including by assisting in the coordination of health care services (such as screening clinics, diagnosis centers, treatment facilities, and clinical trials) and provider referrals for individuals who are seeking prevention or early detection services for, or who following a screening or early detection service are found to have a symptom, abnormal finding, or diagnosis of cancer or other chronic disease; (2) Facilitating the involvement of community organizations in assisting individuals who are at risk for or who have cancer or other chronic diseases to receive better access to high-quality health care services (such as by creating partnerships with patient advocacy groups, charities, health care centers, community hospice centers, other health care providers, or other organizations in the targeted community); (3) Notifying individuals of clinical trials and, on request, facilitating enrollment of eligible individuals in these trials; (4) Anticipating, identifying, and helping patients to overcome barriers within the health care system to ensure prompt diagnostic and treatment resolution of an abnormal finding of cancer or other chronic disease; (5) Coordinating with relevant health care ombudsman programs to provide information to individuals who are at risk for or who have cancer or other chronic diseases about health coverage, including private insurance, health care savings accounts, and other publicly funded programs (such as Medicare, Medicaid, health programs operated by the Department of Veterans Affairs or the Department of Defense, the Children's Health Insurance Program, and any private or governmental prescription assistance programs); and (6) Conducting ongoing outreach to health disparity populations, including the uninsured, rural populations, and other medically underserved populations, in addition to assisting other individuals who are at risk for or who have cancer or other chronic diseases to seek preventative care. Funds may not be used to fund legal fees or costs, referral fees, research or other services, including the delivery of health care services other than the patient navigator duties, or facilities or real property.

Applicant Eligibility:

GPE: Eligible entities are accredited health profession schools, universities, and

other public or private nonprofit entities. Eligible faith-based organizations, community based organizations, and Tribes and Tribal Organizations can apply for these funds. Only applicants whose training program is accredited by the American Psychological Association (APA) and who provide such documentation can be funded. The eligible entity must demonstrate that the training within an accredited psychology training program will occur in collaboration with one or more disciplines other than psychology. Disciplines include, but are not limited to, social work, family medicine, general internal medicine, and pediatrics. Funding is available for accredited doctoral programs, internships, and post-doctoral training programs.

PN: Eligible applicants include public or non-profit private health centers (including federally qualified health centers), health facilities operated by or pursuant to a contract with the Indian Health Service, hospitals, cancer centers, rural health clinics, academic health centers, and non-profit entities that enter into a partnership or coordinate referrals with such centers, clinics, facilities or hospitals to provide navigation services.

Beneficiary Eligibility:

GPE: Public or private nonprofit schools, universities, or other educational entities which provide for graduate psychology education and training or other public or private nonprofit entities capable, as determined by the Secretary, of carrying out the objectives of the project.

PN: Eligible beneficiaries include health disparity populations who have or are at risk for cancer or other chronic diseases.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. HRSA requires all applicants to apply electronically through Grants.gov. All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Award Procedure:

Notification is made in writing (electronic) by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 4 to 6 months after receipt of applications.

Appeals:

Not Applicable.

Renewals:

GPE: During the final budget year of the approved project period, competing continuation applications may be submitted.

PN: Competitive continuations may be submitted during the final budget period within an approved project period.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

Project periods are up to three years. See the following for information on how assistance is awarded/released: Grantees draw down funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

Program reports are required. The awardee will be required to submit annual performance and progress reports as well as status-federal financial reports (see below for general information on each required report). Cash reports are required. The awardee must submit a quarterly electronic Federal Financial Report (FFR) Cash Transaction Report via the Payment Management System within 30 days of the end of each calendar quarter. A Federal Financial Report (SF-425) is required within 90 days of the end of each budget period. A progress report must be submitted to HRSA on an annual basis. A final report is due within 90 days after the project period ends. If applicable, the awardee must submit a Tangible Personal Property Report (SF-428) and any related forms within 90 days after the project period ends. New awards (Type 1) issued under this funding opportunity announcement are subject to the reporting requirements of the Federal Funding Accountability and Transparency Act (FFATA) of 2006 (Pub. L. 109282), as amended by section 6202 of Public Law 110252, and implemented by 2 CFR Part 170. Grant and cooperative agreement recipients must report information for each first-tier subaward of \$25,000 or more in federal funds and executive total compensation for the recipients and subrecipients five most highly compensated executives as outlined in Appendix A to 2 CFR Part 170 (FFATA details are available online at <http://www.hrsa.gov/grants/ffata.html>). Competing continuation awardees, etc. may be subject to this requirement and will be so notified in the Notice of Award. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records three years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the three year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular three year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Project Grants) FY 12 \$2,682,823; FY 13 est \$2,481,011; and FY 14 est \$2,481,011 - Graduate Psychology Education Program. (Project Grants) FY 12 \$0; FY 13 est \$0; and FY 14 est \$0 - Patient Navigator Program.

Range and Average of Financial Assistance:

Graduate Psychology Education Program:
FY 12: Range: \$92,205 - \$173,838. Average: \$134,141.
FY 13: Range: \$122,725 - \$189,972. Average: \$154,340.
FY 14: Range: \$122,725 - \$189,972. Average: \$154,340.

Patient Navigator Program:

FY12: \$0
FY 13: \$0
FY 14: \$0.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for state, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as

applicable.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices. Graduate Psychology Education Program: Rebecca Wilson, Public Health Analyst, Division of Public Health and Interdisciplinary Education, Bureau of Health Professions, Health Resources and Services Administration, Room 9C-26, Parklawn Building, 5600 Fishers Lane, Rockville, MD 20857. Telephone: (301) 594-4466, E-mail: rwilson@hrsa.gov.

PN: Alexis Bakos 5600 Fishers Lane, Room 9-61, Rockville, Maryland 20857
Phone: (301) 443-5688.

Headquarters Office:

Rebecca Wilson 5600 Fishers Lane Parklawn Building Room 9C-26, , Rockville, Maryland 20857 Email: rwilson@hrsa.gov Phone: 301-594-4466

Website Address:

www.hrsa.gov.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Graduate Psychology Education Program:

Procedures for assessing the technical merit of grant applications have been instituted to provide an objective review of applications and to assist the applicant in understanding the standards against which each application will be judged. Critical indicators have been developed for each review criterion to assist the applicant in presenting pertinent information related to that criterion and to provide the reviewer with a standard for evaluation. Competing applications are reviewed by nonfederal reviewers for technical merit recommendations, to determine the extent to which the applicant documents the following criteria: (1) Need; (2) Response; (3) Evaluative Measures; (4) Impact; (5) Resources/Capabilities; (6) Support Requested; and (7) Interprofessional Education.

Patient Navigator Program:
Not applicable.

93.193 URBAN INDIAN HEALTH SERVICES

The Indian Health Service (IHS), Office of Urban Indian Health Programs (OUIHP)

FEDERAL AGENCY:

Indian Health Service, Department of Health and Human Services

AUTHORIZATION:

Indian Health Care Improvement Act, Title V, Public Law 102-572; Indian Health Care Improvement Act, Executive Order Section 503, Title V, Section 511, Public Law 94-437.

OBJECTIVES:

To provide health-related services to Urban Indians including: (1) Alcohol and substance abuse prevention, treatment, rehabilitation, and education; (2) mental health needs assessment and services; (3) health promotion and disease prevention services; (4) immunization services.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

These grants are limited to established urban Indian health services. The recipient organization must be an urban Indian organization with whom the Secretary has entered into a contract or grant under Title V of the Indian Health Care Improvement Act (25 U.S.C. 1651 et seq). 100% funds under the Urban

Indian Health Program are discretionary activities.

Applicant Eligibility:

Urban Indian organizations with whom the Secretary has entered into a contract or grant under Title V of the Indian Health Care Improvement Act.

Beneficiary Eligibility:

Urban Indians residing in the urban centers in which the organization is located.

Credentials/Documentation:

The applicant must provide documentation of: (1) Nonprofit status; and (2) that it has a current contract or grant with the Indian Health Service under Title V of the Indian Health Care Improvement Act. Costs will be determined in accordance with OMB Circular No. A-122, Nonprofit Institutions. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program.

Award Procedure:

Grants are awarded to all eligible organizations based on a competitive grant process. Applications are processed and grants are awarded by Indian Health Service Headquarters. Funding level available to an organization is based on specific criteria in the Act to include size of urban Indian population, accessibility to, and utilization of, other health resources available to that population and identification of need for services.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. Grants are approved or disapproved within 90 days of receipt of grant applications by the Indian Health Service, Division of Grants Management.

Appeals:

Not Applicable.

Renewals:

Renewal of multi-year cooperative agreements is on a year-to-year basis and requires the submission of continuation applications.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants will be awarded for project periods of up to 5 years. Method of awarding/releasing assistance: quarterly.

Reports:

No program reports are required. Grantees will be required to submit quarterly Federal Financial Report (SF-425 or FFR) to the Division of Payment Management Branch. Depending on services provided, progress and financial reports will be required either quarterly or semi-annually with final performance and financial status reports due 90 after the end of the project period. Grantees will be required to submit quarterly Federal Financial Report (SF-425 or FFR) to the Division of Payment Management Branch. IHS grants are monitored by the Division of Grants Management for financial compliance and by the IHS Program Staff for programmatic compliance.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that

year, except as noted in Circular No. A-133. In addition, grantees are subject to site visits and audits by the Department of Health and Human Services (DHHS) and other Federal officials.

Records:

DHHS and the Comptroller General of the United States or any of their authorized representatives, shall have the right of access to any books, documents, papers, or other records of a grantee, subgrantee, contractor, or subcontractor, which are pertinent to the grant in order to make audits, examinations, excerpts, and transcripts. Grantees are required to maintain grant accounting records for 3 years after the end of a budget period. If any litigation, claim, negotiation, audit or other action involving the records has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0390-0-1-551.

Obligations:

(Cooperative Agreements) FY 12 \$1,761,578; FY 13 est \$1,761,578; and FY 14 est \$1,761,578 - Obligations for Urban Indian Education and Research program. (Project Grants) FY 12 \$8,136,294; FY 13 est \$8,136,294; and FY 14 est \$9,136,294 - Obligations for Urban Indian Health Programs 4-in-1 program. (Project Grants) FY 12 \$600,000; FY 13 est \$600,000; and FY 14 est \$600,000 - Obligations for Urban Indian Health Programs Title V HIV/AIDs program.

Range and Average of Financial Assistance:

\$122,832 to \$1,476,578; \$227,856.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Specific program guidelines, including applicable sections of Public Law 94-437, as amended; 45 CFR 74 and 92; and the HHS Grants Policy Statement, dated 1/07.

Regional or Local Office:

None. Program Contact: Ms. Danielle Steward, Health Systems Specialist, Office of Urban Indian Health Programs, Indian Health Service, 801 Thompson Avenue, Suite 200, Rockville, MD 20852. Telephone: (301) 443-4680.

Grants Management Contact: Ms. Tammy Bagley, Acting Director, Division of Grants Management, Indian Health Service, 801 Thompson Avenue, Suite TMP 360, Rockville, MD 20852. Telephone: (301) 443-5204, Fax (301) 443-9602. Use the same number for FTS.

Headquarters Office:

Grants Policy Office, 801 Thompson Avenue, Suite TMP 360, Rockville, Maryland 20852 Email: grantspolicy@ihs.gov Phone: (301) 443-5204

Website Address:

<http://www.ihs.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Proposals will be reviewed by staff of the Indian Health Service to ensure compliance with the following: (1) Size of the urban Indian population; (2) accessibility to, and utilization of, other health resources available to such population; (3) duplication of existing Indian Health Service or other Federal grants or contracts; (4) capability of the organization to adequately perform the activities required under the grant; (5) satisfactory performance standards for the organization in meeting the goals; (6) identification of need for services; and (7) proposed methodology for accomplishing the stated goals of the program.

93.197 CHILDHOOD LEAD POISONING PREVENTION PROJECTS STATE AND LOCAL CHILDHOOD LEAD POISONING PREVENTION AND SURVEILLANCE OF BLOOD LEAD LEVELS IN

CHILDREN

(Childhood Lead Poisoning Prevention Program (CLPPP))

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Executive Order Section 317 (k)(2) and 3171 of the Public Health Service Act, [42 U.S.C. Sections 247b and 247b-10], as amended. , Title 42, Part 247b, Section 247b-10.

OBJECTIVES:

To (1) Develop and/or enhance a surveillance system that monitors all blood lead levels; (2) assure that children who are potentially exposed to lead receive follow up care; (3) assure awareness and action among the general public and affected professionals in relation to preventing childhood lead poisoning in high risk areas in collaboration with other government and community based organizations.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Awards are to be used by State and local government agencies to develop, improve, and expand their capacity to address the problem of childhood lead poisoning in communities with demonstrated high-risk populations. Recipients of awards are expected to: (a) write, implement and evaluate a jurisdiction-wide childhood lead poisoning elimination plan; (b) write, implement and evaluate screening plans to target resources to children at the highest risk for lead poisoning; (c) maintain a jurisdiction-wide childhood lead surveillance program, with an analysis plan for collected data, (d) conduct primary prevention activities for pregnant women and/or families with children at high risk for lead poisoning, (e) develop an assurance plan for timely and appropriate case management of children with elevated blood lead levels, (f) demonstrate strategic partnering with community organizations and with other state/local agencies involved in environmental and child health activities, (g) coordinate with organizations and agencies involved in lead-based paint hazard reduction activities and development of protective policy; and (h) evaluate programmatic impact on childhood lead poisoning within the applicant's jurisdiction. Awards cannot supplant existing funding for childhood lead poisoning prevention programs or activities. Grant awards may not be expended for medical care and treatment, or for environmental remediation of lead sources, however, there must be an acceptable plan to ensure that these program activities are appropriately carried out. The surveillance component of this grant is intended to assist State health departments in implementing or enhancing a complete surveillance system to track all PbB levels in children. This is essential for grant recipients to target interventions to high-risk populations and to track progress toward eliminating childhood lead poisoning.

Applicant Eligibility:

Assistance will be provided to State health departments or their bonafide agents and the health departments of the following five local jurisdictions (or their bonafide agents) that have the highest estimated number of children with elevated blood lead levels : New York, NY; Chicago, IL; Detroit, MI; Los Angeles County, CA, and Philadelphia, PA, or their bona fide agents. Also eligible are health departments or other official organizational authorities of the District of Columbia, the Commonwealth of Puerto Rico, the Virgin Islands, the Commonwealth of the Northern Mariana Islands, American Samoa, Guam, the Federated States of Micronesia, the Republic of the Marshall Islands, the Republic of Palau, and federally recognized Indian tribal governments. Competition is limited by authorizing legislation.

Beneficiary Eligibility:

In addition to the eligible applicants, others who receive benefits from the program include infants and children from six months to six years of age who are screened for lead poisoning and family members who care for lead-poisoned children. Lead poisoning potentially affects all children, but disproportionately affects minority children and children of low-income families. Since the effects of lead poisoning can be long lasting, benefits of the program can also affect youth and adults, persons at all educational and income levels, and urban, suburban, and rural populations.

Credentials/Documentation:

Applicants should document the need for assistance, state the objectives of the project, present their approach to meeting the objectives, and outline the methods of operation. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Application forms are both available from and submitted to the Grants Management Branch, Centers for Disease Control and Prevention, 2920 Brandywine Road, Room 3000, Atlanta, GA 30341. Standard application forms, as furnished by CDC and required by 45 CFR 92 must be used for this program. This program is subject to the provisions set forth in 45 CFR 92 for State and local governments.

Award Procedure:

Approved grants are funded based on a priority score ranking from an objective review process coordinated by the Centers for Disease Control and Prevention. CDC will give funding preference to state programs with significant estimated numbers of children with elevated blood lead levels that direct federal funds to localities with high concentrations of children at risk for childhood lead poisoning. CDC will also give funding preference to the five local jurisdictions with the highest estimated number of children with elevated blood lead levels. Awards are made based on availability of funds and other significant factors as deemed necessary and appropriate by the agency. Funds are awarded for a one-year (12 month period). A Notice of Grant Award (Form PHS 5161-1) is provided which indicates the current award as well as support recommendations for the remainder of the project period (up to three years). The Notice of Grant Award indicates allocations for Federal funds by budget categories and any special conditions, if applicable.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 60 to 90 days. From two to three months.

Appeals:

From 60 to 90 days.

Renewals:

From 90 to 120 days. Renewal requests cannot exceed a five year project period. Priority consideration will be given to noncompeting continuation applications.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Financial assistance is provided for a 12-month budget period (July 1 - June 30) with project periods of up to five years subject to the availability of funds and satisfactory progress of the grantee. Method of awarding/releasing assistance: lump sum.

Reports:

Progress reports. Cash reports are not applicable. Refer to the the funding opportunity announcement for details. Refer to the the funding opportunity announcement for details.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000

or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, these grants are subject to inspection and audits by DHHS and other Federal officials.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the project must be kept readily available for review by personnel authorized to examine PHS grant accounts. Records must be maintained for a minimum of 3 years after the end of a budget period. If questions still remain, such as those raised as a result of an audit, records must be retained until completion or resolution of any audit in process or pending resolution. Property records must be retained in accordance with PHS Grants Policy Statement requirements.

Account Identification:

75-0947-0-1-550.

Obligations:

(Salaries) FY 12 \$0; FY 13 est \$375,001; and FY 14 est \$375,001

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations for this program are published under 42 CFR 51b. PHS Grants Policy Statement, DHHS Publication No. (OASH) 94-50,000, (Rev.) April 1, 1994. The basic grant administration policies of DHHS and PHS are also applicable.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Caroline Gardner, CDC, NCEH, 4770 Buford Hwy, NE, MS F61, , Atlanta, Georgia 30341-3717 Email: ctg8@cdc.gov Phone: (770) 488-0572.

Website Address:

<http://www.cdc.gov>.

RELATED PROGRAMS:

93.283 Centers for Disease Control and Prevention_ Investigations and Technical Assistance

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be evaluated based upon the applicant's ability to identify populations and communities at high risk and the overall balance of the program's design to address the childhood lead poisoning problem. This requires the development of specific and measurable objectives and a sound operational plan that includes screening of high risk populations, medical and environmental management, lead hazard remediation, and health education and risk reduction activities to appropriately address the problem. Applications also will be evaluated on the ability of the program to carry out childhood lead poisoning prevention program activities utilizing the core public health functions as well as establishing working relations or partnerships with other agencies, organizations or groups that have interest in the prevention of childhood lead poisoning.

93.204 SURVEILLANCE OF HAZARDOUS SUBSTANCE EMERGENCY EVENTS

FEDERAL AGENCY:

Agency for Toxic Substances and Disease Registry, Department of Health and Human Services

AUTHORIZATION:

Comprehensive Environmental Response, Compensation, and Liability Act (CERCLA) of 1980, as amended; Superfund Amendments and Reauthorization Act of 1986, Sections 104(i)(1)(E), (9), and (15), Public Law 99-499, 42 U.S.C.

9604., 42 U.S.C 9604.

OBJECTIVES:

To assist State health departments in developing a State-based surveillance system for monitoring hazardous substance emergency events. This surveillance system will allow the State health department to better understand the public health impact of hazardous substance emergencies by developing, implementing, and evaluating a State-based surveillance system.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

The participant State health agencies will use the information collected to assess the burden of adverse health effects created by these unexpected, sudden releases of hazardous substances, describe the situations and persons most likely impacted, define the risk factors for adverse health effects, and work with other agencies to implement prevention activities. Funds may be expended for reasonable program purposes, such as personnel, travel, supplies, and services. Equipment may be purchased with cooperative agreement funds; however, justification must be provided which should include a cost comparison of purchase versus lease options. All purchased equipment must be compatible with ATSDR's equipment and shall be returned to ATSDR at the completion of the project.

Applicant Eligibility:

Eligible applicants are the official public health departments of the States and the District of Columbia, the Commonwealth of Puerto Rico, the Virgin Islands, Guam, the Federated States of Micronesia, the Republic of the Marshall Islands, the Republic of Palau, the Northern Mariana Islands, and American Samoa and federally-recognized Indian Tribal Governments.

Beneficiary Eligibility:

Beneficiaries include Federal, State, local, other public institutions/organizations, federally-recognized Indian tribal governments, U.S. Territories/possessions, and the general public.

Credentials/Documentation:

. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Applicants must use application on www.Grants.gov. By formal agreement, the CDC Grants Management Branch will act on behalf of ATSDR on this matter.

Award Procedure:

The Assistant Administrator, ATSDR has final authority to approve funding of applications. When an application is approved for funding, the Grants Management Officer, CDC, acting as agent for ATSDR, will prepare a Notice of Grant Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. Approximately 60 to 90 days.

Appeals:

Other - Not Specified.

Renewals:

From 90 to 120 days. Awards are made for project periods of one to five years with 12-month budget periods. Renewal awards cannot be made beyond the project period without competition.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The awards will be made for 12-month budget periods within a project period of one to five years. Continuation awards within the project period are made on the basis of satisfactory progress and availability of funds. Method of awarding/releasing assistance: lump sum.

Reports:

See Funding Opportunity for guidance. Cash reports are not applicable. See Funding Opportunity for guidance. See Funding Opportunity for guidance. See Funding Opportunity for guidance.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal officials.

Records:

Detailed and accurate records of travel expenditures, personnel hours and all other costs will be retained for ten years in accordance with EPA's "Superfund Financial Management and Record keeping Guidance for Federal Agencies." Such documents may be required to provide the basis of cost recovery actions or other litigation. Additionally, this documentation must be available for audit or verification upon the request of the Inspector General.

Account Identification:

75-8252-0-1-551.

Obligations:

(Salaries) FY 12 Not Available; FY 13 est \$0; and FY 14 est \$0 - This action was moved to CFDA #93.161.

Range and Average of Financial Assistance:

Estimate average range per award will be \$60,000 to \$80,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations governing this program are set forth in 45 CFR 92 and 40 CFR 35. Guidelines are available in the application kit and the PHS Grants Policy Statement No. 94-50,000 (Revised), April 1, 1994.

Regional or Local Office:

None.

Headquarters Office:

Caroline Gardner, 4770 Buford Hwy, NE, MS F61, Atlanta, Georgia 30341-3717 Email: ctg8@cdc.gov Phone: (770) 488-0572.

Website Address:

<http://www.atsdr.cdc.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be reviewed and evaluated according to the following criteria: (1) Appropriateness and knowledge of surveillance systems; (2) Proposed methodology; (3) Capability and coordination efforts; (4) Quality of information collection; (5) Program personnel; and (6) Program budget (not scored).

93.209 CONTRACEPTION AND INFERTILITY RESEARCH LOAN

REPAYMENT PROGRAM

(CIR LRP)

FEDERAL AGENCY:

National Institutes of Health, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, 42 U.S.C. 288-2, Title IV (National Research Institutes), Part G (Awards and Training), Section 487B (Loan Repayment Program for Research with Respect to Contraception and Infertility), as amended by Public Law 105-392.

OBJECTIVES:

The CIR-LRP is designed to provide an incentive for health professionals to work in areas of reproductive research directly related to contraceptive development and/or infertility diagnosis and treatment by providing assistance in repaying educational loans for individuals. The CIR-LRP will pay up to \$35,000 of the principal and interest of a participant's educational loans for each year of commitment not to exceed one-half of the remaining loan balance. In addition to the loan repayments, the CIR-LRP will pay participants up to 39 percent of the amount paid to lenders as partial payments on the increased income tax liability caused by the Program's loan repayments. In return for these loan repayments, applicants must agree to commit to a period of obligated service of not less than 2 years conducting research with respect to contraception and/or infertility. The long-range objective of the CIR-LRP is to stimulate the commitment of researchers to sustaining a career focus on contraception and/or infertility research.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

The assistance provided by the CIR-LRP is entirely of a financial nature in the form of loan repayments. The monies provided by this program are restricted to the repayment of pre-existing, qualified loans procured by the participant for educational expenses incurred in the pursuit of undergraduate or graduate degrees.

Applicant Eligibility:

Applicants must be a U.S. citizen (or U.S. national or permanent resident) and a physician, Ph.D.-level scientist, nurse, physician's assistant, graduate student, or postgraduate research fellow training in the health professions. You must commit to conduct contraception and infertility research for 50% of your time (at least 20 hours weekly based on a 40 hour week) for two years and it must be funded by a domestic nonprofit or U.S. Government (Federal, state or local) entity. Also, the research must not be prohibited by Federal law or NIH policy. Complete eligibility information is available at http://www.lrp.nih.gov/about_the_programs/contracept_and_infertility.aspx.

Beneficiary Eligibility:

Assistance in the form of loan repayments will be made to qualified health professionals (including graduate students) who are participants in the CIR-LRP.

Credentials/Documentation:

In order to be considered for selection into the CIR-LRP, eligible applicants must complete and submit the electronic application materials located at www.lrp.nih.gov, which include: (1) a Loan Verification Form for each loan to be considered for repayment; (2) an institutional statement providing assurance that the applicant will be engaged in employment/training at an NICHD intramural laboratory or in a qualified domestic nonprofit institution (tax-exempt under 26 USC 501), for not less than two years from the anticipated effective date of the NIH Loan Repayment Program Contract between the individual and NICHD (this assurance is conducted electronically); and (3) a signed NIH Loan Repayment Program Contract by which the applicant agrees to serve the obligated minimum period of two years conducting contraception and/or infertility research at an NICHD intramural laboratory or in a qualified domestic nonprofit institution (tax-exempt under 26 USC 501). Renewal applicants may request one-year awards. For these applicants, a one-year institutional statement providing assurance and NIH Loan Repayment Program Contract is sufficient. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Information regarding the CIR-LRP and the preparation of forms for application to the CIR-LRP can be obtained at http://www.lrp.nih.gov/about_the_programs/contracept_and_infertility.aspx and by contacting the LRP Info Center at www.lrp.nih.gov, (866) 849-4047 (Mon-Fri 9AM - 5PM Eastern Time) and lrp@nih.gov. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Qualified individuals interested in participating in the CIR-LRP should visit the NIH Loan Repayment Program Website at www.lrp.nih.gov for all application forms and requirements. The application will be evaluated by a Review Committee, convened for this purpose by the Division of Scientific Review, NICHD, NIH, HHS. In selecting applicants for participation in the CIR-LRP, the panel will consider eligibility status, quality of the applicant, and applicant's career plan focus. All selections are subject to final approval by the Director, NICHD. The NICHD will notify the sponsoring grantee institution and the applicant of the outcome of the review.

Award Procedure:

Once the applicant is selected to participate in the CIR-LRP, the applicant and the Director, NICHD, as a designate of the Secretary of Health and Human Services, will sign a written binding contract stating the terms and conditions for participating in the CIR-LRP. The effective date of the contract will be the date it is signed by the Director, NICHD, or the date employment/training begins at an NICHD-funded Specialized Contraception or Infertility Research Center, whichever is later.

Deadlines:

Sep 01, 2013 to Nov 15, 2013: Dates that the earliest applications will be accepted can be found at <http://www.lrp.nih.gov/index.aspx>. Applications can be received at the deadlines established and announced each year at <http://www.lrp.nih.gov/>.

Range of Approval/Disapproval Time:

Approximately 270 Days. The selection process takes approximately 9 months following the application deadline.

Appeals:

Not Applicable.

Renewals:

> 180 Days. A CIR-LRP participant completing the initial 2-year period of obligated service conducting research with respect to contraception and/or infertility has an option to apply for a renewal of their contract to extend the obligatory period of service on an annual basis. NOTE: this request will go through the same competitive, peer-reviewed process initial applicants experience.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The CIR-LRP will pay equal quarterly payments to the lenders. Each payment will be made shortly after the end of each full quarter of satisfactory service. Method of awarding/releasing assistance: quarterly.

Reports:

No reports are required.

Audits:

No audits are required for this program.

Records:

None.

Account Identification:

75-0844-0-1-552.

Obligations:

(Direct Payments for Specified Use) FY 12 \$1,285,525; FY 13 est \$1,001,000;

and FY 14 est \$1,001,000 - 1. Do you create a separate obligation record for each funding stream? Each grant award is a separate obligation record.

2. In which cases do you answer yes to the question, Is this obligation for salaries and expenses? Salaries and expenses are included in the applicants budget request and are separate from federal salaries and expenses.

3. What is the source of the data for the actual obligations and estimated obligations? Do these figures include assistance funds only or do they also include program office funds to implement the programs? The source of data is NIHs Accounting system for actual obligations and the most current Presidents Budget request for estimated obligations.

4. In which cases, do you use the not separately identifiable for the estimates? N/A

5. If the program is a revolving fund or has no-year or multiple-year obligations, in which year(s) do you enter the obligation (e.g., all funds obligated in the initial year, as funds are obligated to grantees, or other)? If applicable, NICHD would enter obligations in the actual year incurred.

6. What information do you typically include in the additional information textbox? Program updates.

Range and Average of Financial Assistance:

In fiscal year 2012 the range of financial assistance was between \$8,197 and \$97,300. The average contract cost was \$43,210.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: In fiscal year 2012, the actual funding level for the Contraception and Infertility Research LRP is \$1,285,525 with a projected total of 29 contracts. Fiscal Year 2013: In fiscal year 2013, the projected funding level for the Contraception and Infertility Research LRP is \$1,001,000 with a projected total of 26 contracts. Fiscal Year 2014: In fiscal year 2014, the projected funding level for the Contraception and Infertility Research LRP is \$1,001,000 with a projected total of 26 contracts.

REGULATIONS, GUIDELINES, AND LITERATURE:

The Notice of Proposed Rulemaking was approved by NIH on September 19, 2001, and by the Department of Health and Human Services on December 31, 2001.

Regional or Local Office:

None.

Headquarters Office:

Eugene G. Hayunga 6100 Executive Blvd., Room 2C01, Bethesda, Maryland 20892-7510 Email: ehayunga@mail.nih.gov Phone: (301) 496-6856.

Website Address:

<http://nichd.nih.gov/> .

RELATED PROGRAMS:

93.162 National Health Service Corps Loan Repayment Program; 93.220 Clinical Research Loan Repayment Program for Individuals from Disadvantaged Backgrounds; 93.280 National Institutes of Health Loan Repayment Program for Clinical Researchers; 93.285 National Institutes of Health Pediatric Research Loan Repayment Program; 93.307 Minority Health and Health Disparities Research ; 93.923 Disadvantaged Health Professions Faculty Loan Repayment (FLRP) and Minority Faculty Fellowship Program (MFFP); 93.936 National Institutes of Health Acquired Immunodeficiency Syndrome Research Loan Repayment Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Review criteria for new CIR-LRP applications consist of:

Review criteria for CIR-LRP renewal applications include criteria listed at http://www.lrp.nih.gov/faq/application_review.aspx as well as (1) Assessment of the renewal applicants research accomplishments during the previous LRP award period and (2) Assessment of the renewal applicants development as an

independent investigator.

93.210 TRIBAL SELF-GOVERNANCE PROGRAM: IHS COMPACTS/FUNDING AGREEMENTS

(OTSG Compacts)

FEDERAL AGENCY:

Indian Health Service, Department of Health and Human Services

AUTHORIZATION:

Indian Self-Determination and Education Assistance Act (ISDEAA), Title V, Public Law 93-638; Tribal Self-Governance Amendments of 2000, Public Law 106-260, 25 U.S.C 458aaa; Tribal Self-Governance Amendments of 2000, Public Law 106-260, 42 U.S.C 137.1-450.

OBJECTIVES:

To allow Federally recognized Indian Tribes to enter into an agreement with the Indian Health Service (IHS) to assume full funding and control over programs, services, functions and activities (PSFA) (or portions thereof) that the IHS would otherwise provide for Indians because of their status as Indians. Such assumptions shall be through compact and funding agreement or construction project agreement under Title V of the ISDEAA.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

Funds must be spent in accordance with the ISDEAA, applicable regulations and terms of the negotiated compact, funding agreement, or construction project agreement. Per 42 C.F.R. 137.167-168, a Tribe participating in the IHS Self-Governance Program (Self-Governance Tribe) must apply the cost principles of the applicable OMB Circular, except as modified by: (a) 25 U.S.C. 450j-1, (b) other provisions of law, or (c) any exemptions to applicable, subsequent OMB circulars. No other audit or accounting standards shall be required by the Secretary. Per 25 U.S.C 458aaa-7(h) and 42 C.F.R.

137.100, a Self-Governance Tribe may retain and spend interest earned on any funds paid under a compact or funding agreement. Per 42 C.F.R. 137.101, a Self-Governance Tribe must invest and manage the funds as a prudent investor would, in light of the purpose, terms, distribution requirements, and provisions in the compact or funding agreement and ISDEAA Title V. This duty requires the exercise of reasonable care, skill, and caution, and is to be applied to investments not in isolation, but in the context of the investment portfolio and as a part of an overall investment strategy that should incorporate risk and return objectives reasonably suitable to the Self-Governance Tribe. In making and implementing investment decisions, the Self-Governance Tribe must diversify the investments, unless it is imprudent under the circumstances. In addition, the Self-Governance Tribe must: (a) conform to fundamental fiduciary duties of loyalty and impartiality; (b) act with prudence in deciding whether and how to delegate authority and in the selection and supervision of agents; and (c) incur only costs that are reasonable in amount and appropriate to the investment responsibilities of the Self-Governance Tribe.

Applicant Eligibility:

Per 42 C.F.R. 137.18, to be eligible to participate in the IHS Tribal Self-Governance Program, any Federally recognized Indian tribe must: (a) successfully complete the planning phase described in 42 C.F.R. 137.20; (b) request participation in the Program by resolution or other official action by the governing body of each Indian tribe to be served; and (c) demonstrate financial stability and financial management capability for three fiscal years. Per 42 C.F.R. 137.21, the Indian tribe provides evidence that, for the three years prior to participation in the Program, the Indian tribe has had no uncorrected significant and material audit exceptions in the required annual audit of the Indian tribe's self-determination contracts or self-governance funding agreements with any Federal agency. Per 42 C.F.R. 137.26, an Indian tribe does not need to receive a planning or negotiation cooperative agreement to be eligible to participate in the IHS Tribal Self-Governance Program. An Indian tribe may use other resources to meet the planning requirement and to negotiate. Under 25 U.S.C. 458aaa-2(b)(1), each year, an additional 50 Indian tribes that meet the eligibility criteria shall be entitled to participate in the IHS Tribal Self-Governance Program.

Beneficiary Eligibility:

Federally-recognized Indian tribes and tribal organizations (as defined in 25 U.S.C. 458aaa-(b)) may benefit.

Credentials/Documentation:

No Credentials or documentation are required. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Per 42 C.F.R. 137.18, to be eligible to participate in the IHS Tribal Self-Governance Program, any Federally recognized Indian tribe must: (a) successfully complete the planning phase described in 42 C.F.R. 137.20; (b) request participation in the IHS Tribal Self-Governance Program by resolution or other official action by the governing body of each Indian tribe to be served; and (c) demonstrate, for three fiscal years, financial stability and financial management capability for three fiscal years. Per 42 C.F.R. 137.21, the Indian tribe provides evidence that, for the three years prior to participation in the IHS Self-Governance Program, the Indian tribe has had no uncorrected significant and material audit exceptions in the required annual audit of the Indian tribe's self-determination contracts or self-governance funding agreements with any Federal agency. Per 42 C.F.R. 137.26, an Indian tribe does not need to receive a planning or negotiation cooperative agreement to be eligible to participate in the IHS Self-Governance Program. An Indian tribe may use other resources to meet the planning requirement and to negotiate. Under 25 U.S.C. 458aaa-2(b)(1), each year, an additional 50 Indian tribes that meet the eligibility criteria shall be entitled to participate in the IHS Self-Governance Program.

Award Procedure:

Funds are transferred to Indian tribes who have successfully negotiated (1) a compact and funding agreement or (2) a compact, funding agreement, and construction project agreement entered into under ISDEAA Title V.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not applicable.

Appeals:

See 42 C.F.R. 137.410-445 for regulations governing compacts, funding agreements, and construction project agreements entered into under ISDEAA Title V.

Renewals:

Per 42 C.F.R. 137.35, upon approval and execution of an ISDEAA Title V compact, the compact remains in effect for so long as permitted by Federal law or until terminated by mutual written agreement or retrocession or reassignment of all PSFAs. Per 42 C.F.R. 137.55, a funding agreement shall have the term mutually agreed to by the parties. Under 25 U.S.C. 458aaa-4(e), absent notification from an Indian tribe that it is withdrawing or retroceding the operation of one or more PSFA identified in the funding agreement, the funding agreement shall remain in full force and effect until a subsequent funding agreement is executed.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Not applicable. Method of awarding/releasing assistance: lump sum.

Reports:

See 42 C.F.R. 137.200-207 for reporting requirements for Self-Governance Tribes under ISDEAA Title V. Per 25 U.S.C. 458aaa-6(a)(1) and 42 C.F.R. 137.200, compacts or funding agreements negotiated between the Secretary and a Self-Governance Tribe must include a provision that requires the Self-Governance Tribe to report on health status and services delivery. These

reports may only impose minimal burdens on the Self-Governance Tribes. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Per 25 U.S.C. 458aaa-5(c) and 42 C.F.R. 137.165, Self-Governance Tribes must undertake annual audits pursuant to the Single Audit Act, 31 U.S.C. 7501 et seq. Per 42 C.F.R. 137.166, exceptions are described in 31 U.S.C. 7502 of the Single Audit Act.

Records:

See 42 C.F.R. 137.175-180 for regulations governing records under ISDEAA Title V.

Account Identification:

75-0390-0-1-551.

Obligations:

(Direct Payments for Specified Use) FY 12 \$1,589,532,915; FY 13 est \$1,630,000,000; and FY 14 est \$1,670,000,000

Range and Average of Financial Assistance:

Range \$69,000 to \$138,000,000; Average \$13,555,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Indian Self-Determination and Education Assistance Act (ISDEAA), 25 U.S.C. 458aaa; 42 C.F.R. 137.1-150. According to 25 U.S.C. 458aaa-16(e), unless expressly agreed to by the participating Indian tribe in the compact or funding agreement, the participating Indian tribe shall not be subject to any agency circular, policy, manual, guidance, or rule adopted by the Indian Health Service, except for the eligibility provisions of section 105(g) and regulations promulgated under section 517.

Regional or Local Office:

None. Program Contact: Mr. Benjamin P. Smith, Director, Office of Tribal Self-Governance, Indian Health Service, 801 Thompson Avenue, Suite 240, Rockville, MD 20852. Phone: (301) 443-7821 Fax: (301) 443-1050.

For Grants Management Contact: Ms. Tammy Bagley, Acting Director, Division of Grants Management, Indian Health Service; 801 Thompson Avenue, TMP, Suite 360; Rockville, Maryland 20852; Telephone: (301) 443-5204. Use the same numbers for FTS.

Headquarters Office:

Grants Policy Office 801 Thompson Avenue, Suite TMP 360, Rockville, Maryland 20852 Email: grantspolicy@ihs.gov Phone: 301-443-5204

Website Address:

<http://www.ihs.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.211 TELEHEALTH PROGRAMS

There are three separate telehealth programs as follows:

Telehealth Network Grant Program (TNGP)

Telehealth Resource Center Grant Program (TRCGP)

Licensure Portability Grant Program (LPGP)

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

The Telehealth Network Grant Program (TNGP) Sec. 330I(d)(1) of Public Health Service Act (42 U.S.C. 254c-14(d)(1), as amended. ; The Telehealth Resource Center Grant Program (TRCGP) is authorized by: Sec. 330I(d)(2) of Public Health Service Act (42 U.S.C. 254c-14(d)(2), as amended by the Health Care Safety Net Amendments of 2002 (P.L. 107-251); The Licensure Portability Grant Program (LPGP) is authorized by Section 330L of Public Health Service Act as amended, (42 USC 254c-18).

OBJECTIVES:

The purpose of the Telehealth Network Grant Program (TNGP) is to fund grants to demonstrate how telehealth networks improve healthcare services in rural communities.

The Telehealth Resource Center Grant Program (TRCGP) is designed to expand the availability of technical assistance in the development of telehealth services, leveraging the experience of mature programs with expertise in providing and implementing telehealth services.

The Licensure Portability Grant Program (LPGP) supports State professional licensing boards to carry out programs under which licensing boards of various States cooperate to develop and implement State policies that will reduce statutory and regulatory barriers to telemedicine.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Telehealth Network Grant Program (TNGP) - Funds support the use of telehealth networks (electronic information and telecommunications technologies to support and promote long-distance health care and ancillary services) for improving access to health care services; provide a baseline of information for a systematic evaluation of telehealth systems; purchase or lease and install equipment; and to operate and evaluate the telehealth system.

Overall, not more than 40 percent of grant funds may be expended for equipment. Not more than 15 percent of grant funds may be expended for indirect costs. Grant funds may not be used for purchasing and installing telecommunications transmission equipment (e.g., microwave towers, satellite dishes, amplifiers, digital switching equipment or laying cable or telephone lines) or to acquire real property. Construction costs are allowable only for minor renovations related to the installation of equipment.

Important: The Telehealth Network Grant Program (TNGP) seeks to fund non-profit or public organizations with a network of partners in place and committed to the project as of the date of application. Projects with prospective network partners not committed to the project will not be competitive. Applicants failing to submit verifiable information with respect to the commitment of network partners will not be funded. Projects selected for funding must provide clinical services for which performance measures can be developed. In addition, an applicant must provide evidence to show that it will be ready to begin to implement the project upon grant award.

Applicants must provide an evaluation design to measure quantitative outcomes, which should be measured in the following areas: impact on quality of care; appropriateness of use of the technology; whether access was improved; whether clinical outcomes were improved; and, how the cost of service delivery was affected in terms of efficiency and effectiveness of care. Of particular interest will be programs that can clearly measure the costs of their telehealth services and measure the impact of the telehealth program on :

- 1) improving access to health care services for residents of communities that did not have such services locally before the program;
- 2) hospitalization rates and emergency room visit rates per year for patients receiving disease management services for diabetes, congestive heart failure, stroke and other chronic diseases, as well as for patients receiving home care/home monitoring services;
- 3) controlling blood glucose levels in diabetic patients;
- 4) improving the efficiency of health care;
- 5) reducing medical errors; and,
- 6) other clear outcome measures.

Telehealth Resource Center Grant Program (TRCGP) - Funds support the establishment and development of Telehealth Resource Centers (TRCs), which serve as a focus for the provision of telehealth technical assistance across the country. Funds support both Regional Telehealth Resource Centers and two national Telehealth Resource Centers. One National TRC will focus on providing specialized technical assistance in telehealth technology. Technical assistance will be provided in the following areas: requirements for specific technologies to be used for specific clinical applications; advances in m-health including software and hardware; assessing organizations need for telehealth technology including specific cost effective solutions; multiple software systems and their application in EHRs (not specific product lines); provider and patient medical information and education; direct patient care and remote patient monitoring; and current data delivery mechanisms. The other National TRC will focus on policy issues such as state and national policies and initiatives regarding telehealth; legal and regulatory issues regarding e-Prescribing; National telecommunications implications for telehealth and the policies of the Federal Communication Commissions (FCC) National Broadband Plan; Medicare and Medicaid reimbursement, and private insurance payment policies. Grant funds are used for salaries, equipment, operating, travel expenses, or other costs for: providing technical assistance, training and support; disseminating information and research findings related to telehealth services; promoting effective collaboration among telehealth resource centers and HRSA; conducting evaluations to determine the best utilization of telehealth technologies to meet health care needs; promoting the integration of the technologies used in clinical information systems with other telehealth technologies; fostering the use of telehealth technologies to effectively provide healthcare information and education for health care providers and consumers; and, implementing special projects that involve collaboration among TRCs to advance the field of telehealth. Grant funds are not used for: acquiring real property; equipment costs of more than 40 percent of total grant funds; for equipment or transmission costs not directly related to the grant purposes; to purchase or install general purpose voice telephone systems; construction costs; and indirect costs exceeding 15 percent of total grant funds.

Per Section 330I(g)(5) of the Public Health Service Act (42 USC 254c-14), applicants must provide information on the source and amount of non-Federal funds that the entity will provide for the project.

Important for both Regional and National TRCs:

The grantee and their partners must have experience in providing technical assistance related to the provision of telehealth services. The grantee must establish a baseline level of activity and then measure performance. Specific areas to measure/address are: Satisfaction, Efficiency, Effectiveness and Sustainability. In addition consortiums must be established and able to demonstrate a history of working together. A signed consortium agreement that outlines tasks must be provided.

The Licensure Portability Grant Program (LPGP) - Grant funds are used for salaries, equipment, software development, operating, or other costs associated with developing legislative, administrative, and technical projects to address licensure barriers that hinder the practice of telemedicine across state lines. Grant funds may also be used for activities involving significant expansion of existing state agreements for cross-state recognition of professional licenses to other states.

Applicant Eligibility:

Telehealth Network Grant Program (TNGP) - A grantee must be a nonprofit or public entity that will provide services through a Telehealth Network (TNGP) to rural communities. Proof of non-profit status is required. Each entity participating in the network may be a nonprofit or for-profit entity. Faith-based and community based organizations are eligible under the Telehealth Network Grant Program (TNGP).

Telehealth Resource Center Grant Program (TRCGP) - A grantee must be a public or private nonprofit organization. Faith-based, tribal and community based organizations are eligible to apply. Services may be provided to rural or urban communities.

Licensure Portability Grant Program (LPGP) - A grantee must be a State professional licensing board, or a national organization of professional licensing boards that provides services to state licensing boards. Note: American Indian and/or Alaska Native Tribal Organizations are eligible provided those organizations meet the eligibility requirements above.

Beneficiary Eligibility:

Telehealth Network Grant Program (TNGP) - Health care providers in rural areas, in medically underserved areas, in frontier communities, and for medically underserved populations. TNGP grantees include in the network at least two (2) of the following entities (at least one (1) of which shall be a community-based health care provider: (a) community or migrant health centers or other federally qualified health centers; (b) health care providers, including pharmacists, in private practice; (c) entities operating clinics, including rural health clinics; (d) local health departments; (e) nonprofit hospitals, including community (critical) access hospitals; (f) other publicly funded health or social service agencies; (g) long-term care providers; (h) providers of health care services in the home; (i) providers of outpatient mental health services and entities operating outpatient mental health facilities; (j) local or regional emergency health care providers; (k) institutions of higher education; or (l) entities operating dental clinics.

Telehealth Resource Center Grant Program (TRCGP) - Health care providers in rural areas, in medically underserved areas, in frontier communities, and medically underserved populations. The regional telehealth resource centers must support the activities of existing or developing telehealth networks in their regions to meet the health care needs of rural or other populations to be served, including the improvement of access to services and the quality of the services received by those populations. Regional TRCs should have expertise in at least seven (7) of the following areas: A wide Range of Clinical Telemedicine Services; Telecommunications Technologies; Critical or Emergency Care; Residential telehealth (home care)/chronic disease management; Nursing Home/Inpatient Care; Distance Education and Training; Telehealth Technologies both Interactive and Store-and-Forward. A Regional TRC collaborates with other TRCs or organizations to address any of the seven areas where the TRC may not be expert. The National Policy TRC exhibits expertise in the following areas: Reimbursement (Medicare, Medicaid, private insurance); Licensure (legislative and regulatory issues); Privacy, security, and confidentiality legislation at federal and state levels; Food and Drug Administration regulation; Telecommunications legal and regulatory issues; and, private credentialing and accreditation organizations and issues (e.g., Joint Commission on Accreditation of Health Care Organizations). The National Technology TRC exhibits expertise in the following areas: software/hardware for clinical applications; evaluating national technology innovations, multiple software systems and their applications in EHRs (not specific product lines); requirements for specific technologies to be used for specific clinical applications; advances in m-health including software and hardware; assessing organizations need for telehealth technology including specific cost effective solutions; provider and patient medical information and education; direct patient care and remote patient monitoring; and current data delivery mechanisms. Note: American Indian and/or Alaska Native Tribal Organizations are eligible beneficiaries provided those organizations meet the beneficiary requirements above.

Licensure Portability Grant Program (LPGP) - State professional licensing boards to carry out programs under which licensing boards of various States cooperate to develop and implement State policies that will reduce statutory and regulatory barriers to telemedicine. Non Competing Continuation grants will continue to focus on licensure issues for physicians and nurses. The funded projects are to build on the first year of efforts to develop national models for implementing model agreements to expedite the licensure process and eliminate redundancies associated with applying for physician licenses in multiple jurisdictions.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applications are generally solicited by a program announcement in the HRSA Preview.

HRSA requires applicants (for new competitions) or grantees/awardees (for continuation applications) to submit applications electronically through Grants.gov.

The program guidance contains detailed application and submission instructions, including information on the non-competitive continuation application and submission process. Grantees/awardees must submit proposals according to the program guidance which specifies required forms, and contains additional general information and instructions for grant applications, including proposal narratives, and budgets. The program guidance, when available, may be obtained by: Downloading from <http://www.grants.gov>; or, Contacting the HRSA Grants Application Center: The Legin Group, Inc., 910 Clopper Road, Suite 155 South Gaithersburg, MD 20878, Telephone: 877-477-2123, HRSAGAC@hrsa.gov. Application information may also be found by visiting <http://www.hrsa.gov/grants/default.htm>.

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Award Procedure:

For FY 2014, new competitions for the TRCGP will be conducted. All final funding decisions are made by the Associate Administrator, Office of Rural Health Policy, Health Resources and Services Administration, based on recommendations made by the Director, Office for the Advancement of Telehealth.

Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

2 months.

Appeals:

Not Applicable.

Renewals:

Renewals have not been determined.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Competitions are conducted every three years, with up to a four year project period. For projects awarded under a competition, grants are made annually each year for up to four years. For example, the TNGP and TRCGP projects awarded in FY 2012 have a 4-year project period commencing September 1, 2012 and ending August 31, 2016. However, the TRCGP and TNGP grants awarded in FY 2013 each have a three year project period. See the following for information on how assistance is awarded/released: Grantees drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

No program reports are required. No cash reports are required. Six-month Progress Reports are required. An electronic quarterly Federal Financial Report (FFR) is due to the Division of Payment Management within 30 days of the end of each quarter. A final FFR must be submitted to HRSA via the Electronic HandBooks (EHB) within 90 days of the end of each budget period. Annual progress and reports are required 90 days from the end of the budget period and the final performance report is due 90 days from the end of the project period.

As required by the Government Performance and Review Act (1993), all federal agencies must develop strategic plans, describing their overall goals and objectives. These "GPRA Plans" must provide annual performance reports containing quantifiable measures of each program's progress in meeting the stated goals and objectives. The performance assessment instruments developed by the Office for the Advancement of Telehealth (OAT) with its grantees fulfill GPRA requirements to report to Congress on the impact of OAT's telehealth network grants and telehealth resource center grant programs. Moreover, OAT hopes to use the information derived from these instruments to demonstrate the "value-added" that telehealth services contribute to improving health care. OAT has incorporated these performance assessment tools into the routine reporting required as part of the "mid-year or mid-cycle" and annual reports required under each grant. Each of the programs will also get reports comparing themselves to the entire set of grantees, on these same measures. There are two data reporting periods each year; during these biannual reporting periods, data is reported for the previous six months of activity. Grantees have approximately six weeks to enter their data during each biannual reporting period. No expenditure reports are required. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records 3 years after the date they submit the Federal Financial Review (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Project Grants) FY 12 \$5,626,712; FY 13 est \$4,909,383; and FY 14 est \$4,909,383 - TNGP program. (Project Grants) FY 12 \$700,000; FY 13 est \$700,000; and FY 14 est \$700,000 - LPGP program. (Project Grants) FY 12 \$4,403,430; FY 13 est \$4,187,148; and FY 14 est \$4,187,148 - TRCGP program.

Range and Average of Financial Assistance:

TNGP In FY 11 through FY 13, awards each year ranged from approximately \$225,000 to \$250,000, with an average award each year of approximately \$245,000.

LPGP In FY 11, one grantee received \$350,000. From FY 12 FY 13, two grantees received \$350,000 each.

TRCGP In FY 11 through FY 13, the financial assistance ranged from approximately \$300,000 to \$325,000. The average award was approximately \$321,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Data not available. Fiscal Year 2013: Published A Report Evaluating the TNGP program from 2004 2010. Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Telehealth programs are subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices. Carlos Mena, Project Officer (TNGP), Telephone: (301) 443-3198; Monica Cowan, Program Coordinator (TRCGP), Telephone: (301) 443-0076; Robert Pie, Program Coordinator (LPGP), Telephone: (301) 443-8346.

Headquarters Office:

Office for the Advancement of Telehealth, Office of Rural Health Policy 5600 Fishers Lane, Room 5A-55, Rockville, Maryland 20857 Phone: (301) 443-0076.

Website Address:

<http://www.hrsa.gov/ruralhealth/about/telehealth/>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

For FY 2014, the Agency is conducting competitions for the TRCGP for the project period commencing September 1, 2014 and ending August 31, 2017.

TRCGP

TRC applicants will be assessed on their current activities and success in addressing the following areas:

Telecommunications, industry standards, and technology assessment;
Extensive experience in providing technical assistance at the local, regional, and national levels

Breadth of clinical services offered by their network and integration of clinical information systems;

Ability to overcome reimbursement and other policy challenges to achieve sustainability of their networks;

Comprehensive evaluation strategy (including collecting baseline data) and implementation as evidenced by publications, especially ones that address effectiveness, costs, and productivity;

Experience in maintaining a strong and efficient operational/management structure; and

Experience in developing and implementing strategic/business plans.

Non-Competing Continuation Funding

For FY 2014 non-competing continuation funding for Telehealth grantees will be based upon an evaluation of each of the grantees current performance, as well as their plan for continued activities and expenditure justifications.

93.212 CHIROPRACTIC DEMONSTRATION PROJECT GRANTS

CDP

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Title VII, Section 755(b)(3), 42 U.S.C. 294e(3) as amended; Health Professions Education Partnerships Act of 1998; Public Law 105-392.

OBJECTIVES:

The objective of CDP is to carry out demonstration projects in which chiropractors and physicians collaborate to identify and provide effective treatment for spinal and lower back conditions.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grant funds may be used for personnel, equipment, supplies, domestic travel, consultants and guest lecturers, rental of space, renovations, and other costs directly related to the project as described in the approved application. Program funds may not be used for construction of facilities, acquisition of land, foreign travel, or support of students, including fellowships, stipends, tuition, fees, or travel allowances. Program funds may not be used to support patient care costs.

Applicant Eligibility:

To be eligible for a Chiropractic Demonstration Project, the applicant shall be: a health professions school, an academic health center, or a public or private nonprofit accredited school of chiropractic. Applicant organizations must be located in the United States, the District of Columbia, the Commonwealth of Puerto Rico, the Commonwealth of the Northern Mariana Islands, the Virgin Islands, Guam, American Samoa, the Republic of Palau, the Republic of the Marshall Islands, or the Federated States of Micronesia.

Beneficiary Eligibility:

Health professionals will benefit.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. HRSA requires all applicants to apply electronically through Grants.gov.

Award Procedure:

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Notification is made in writing (electronic) by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 4 to 6 months from receipt of application.

Appeals:

Not Applicable.

Renewals:

During the final budget year of the approved project period, competing continuation applications may be submitted.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Project periods are up to three years. See the following for information on how assistance is awarded/released: Grantee draws down funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

Program reports are required. The awardee will be required to submit annual performance and progress reports as well as status-federal financial reports (see

below for general information on each required report). Cash reports are required. The awardee must submit a quarterly electronic Federal Financial Report (FFR) Cash Transaction Report via the Payment Management System within 30 days of the end of each calendar quarter. A Federal Financial Report (SF-425) is required within 90 days of the end of each budget period. A final report is due within 90 days after the project period ends. If applicable, the awardee must submit a Tangible Personal Property Report (SF-428) and any related forms within 90 days after the project period ends. New awards (Type 1) issued under this funding opportunity announcement are subject to the reporting requirements of the Federal Funding Accountability and Transparency Act (FFATA) of 2006 (Pub. L. 109282), as amended by section 6202 of Public Law 110252, and implemented by 2 CFR Part 170. Grant and cooperative agreement recipients must report information for each first-tier subaward of \$25,000 or more in federal funds and executive total compensation for the recipients and subrecipients five most highly compensated executives as outlined in Appendix A to 2 CFR Part 170 (FFATA details are available online at <http://www.hrsa.gov/grants/ffata.html>). Competing continuation awardees, etc. may be subject to this requirement and will be so notified in the Notice of Award. No expenditure reports are required. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records three years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the three year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular three year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Project Grants) FY 12 \$0; FY 13 est \$0; and FY 14 est \$0

Range and Average of Financial Assistance:

Fiscal Year 2012: \$0. Average Award: \$0
Fiscal Year 2013 est: \$0. Average Award: \$0.
Fiscal Year 2014 est: \$0. Average Award: \$0.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for state, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices. CAPT Young Song, Senior Program Management Officer, Division of Public Health and Interdisciplinary Education, Health Resources and Services Administration, Room 9C-05, 5600 Fishers Lane, Rockville, MD 20857. Telephone: (301) 443-3353.

Headquarters Office:

CAPT Young Song 5600 Fishers Lane, Room 9C-05, Rockville, Maryland 20857 Phone: (301) 443-3353

Website Address:

www.hrsa.gov.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Procedures for assessing the technical merit of grant applications have been instituted to provide an objective review of applications and to assist the applicant in understanding the standards against which each application will be judged. Critical indicators have been developed for each review criterion to assist the applicant in presenting pertinent information related to that criterion and to provide the reviewer with a standard for evaluation. Competing applications are reviewed by nonfederal reviewers for technical merit recommendations. All applications will be evaluated by an Objective Review Committee to determine the extent to which the applicant documents the following criteria: (1) Need; (2) Response; (3) Evaluative Measures; (4) Impact; (5) Resources/Capabilities; and (6) Support Requested.

See most recent funding opportunity announcement at www.grants.gov for detailed selection criteria.

93.213 RESEARCH AND TRAINING IN COMPLEMENTARY AND ALTERNATIVE MEDICINE

National Center for Complementary and Alternative Medicine

FEDERAL AGENCY:

National Institutes of Health, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Section 485D, Title VI, Section 601, Public Law 105-277, 112 Stat. 2681-387, 42 U.S.C 281 as amended.

OBJECTIVES:

To evaluate complementary health approaches. The following objectives support this goal: (1) coordinate and facilitate the investigation of complementary health approaches through peer-reviewed grant solicitations; (2) interface with the Center's National Advisory Council; (3) conduct technology assessment conferences for the purpose of establishing areas of Clinical and Pre-clinical research that need to be further developed within complementary health approaches; and (4) maintain a comprehensive bibliographic data base in conjunction with the National Library of Medicine.

The National Center for Complementary and Alternative Medicine (NCCAM) regularly examines and redefines its research priorities. In setting research priorities, NCCAM considers its existing research portfolio, its 5-year strategic plan, the recommendations of the National Advisory Council for Complementary and Alternative Medicine, current scientific advances, the plans of other NIH institutes and centers, and input from expert panels and stakeholders. Research constituting a rigorous evidence base for complementary health approaches will be developed through a range of research strategies including basic and translational research, and clinical investigation.

Current research priorities are shaped by the following considerations regarding Research Approaches, Areas of Special Interest, and Areas of Lower Program Priority.

Research Approaches

Basic, translational, efficacy and effectiveness research
Complementary health approaches need to be studied across the continuum of basic, translational, efficacy, and effectiveness research. NCCAM continues to emphasize basic research that defines biological effects and mechanisms of action; clarifies scientific hypotheses; supports development of translational tools to understand biological effects and mechanisms of action. NCCAM continues to encourage efficacy studies to determine specific clinical effects of complementary health approaches under carefully controlled conditions that minimize nonspecific and contextual effects. There is also the need to strengthen translational and preliminary clinical research required to design and implement definitive clinical research and "real world" outcomes and effectiveness research that capitalizes on the reality that many complementary

health approaches are in widespread public use.

NCCAM's support of translational research addresses the need for valid, reliable and relevant research tools, outcome measures, and innovative methodology to enhance the rigor of CAM clinical studies and to ensure that they are maximally informative. NCCAM emphasizes studies that inform the design of future trials such as those that will: develop and validate outcome measures; standardize treatment protocols or algorithms; validate treatment algorithms and/or; develop measures of quality control or treatment fidelity; assess effects of various doses or intervention durations; develop preliminary clinical evidence regarding efficacy and safety to support estimates of sample size; or establish feasibility of interventions or study designs in specific populations for future studies.

NCCAM's clinical research portfolio utilizes clinical trial, case-control, observational, cohort, qualitative, and other experimental methodologies to determine safety and estimate the efficacy of complementary health approaches. The clinical research portfolio includes effectiveness studies of the contribution to improved health and wellness made by complementary health approaches as they are practiced in "real-world" settings.

In general phase III clinical trials will be supported under the cooperative agreement mechanism after careful consideration by NCCAM Staff. Investigators interested in proposing phase III studies are strongly encouraged to contact a relevant NCCAM Program Officer (<http://nccam.nih.gov/grants/contact?nav=gsa>).

Areas of Special Interest

Although a wide range of research topics are of interest to NCCAM, studies focusing on complementary health approaches used frequently by the American public (including the context(s) in which these interventions are used), and that are amenable to rigorous scientific inquiry are particularly encouraged. These areas include, but are not limited to, investigations of the effects of complementary health approaches on chronic pain syndromes and inflammatory processes, and in disease prevention and improving health and wellness. Among all types of studies, attention to a range of endpoints meaningful to improved health, well-being, and quality of life, as well as a biological signature that provides mechanistic information, is strongly encouraged.

In addition to their use to promote health and wellness, complementary health approaches are most often used to manage symptoms that may be idiopathic or associated with underlying diseases and conditions, including back pain, neck pain, arthritis, and other musculoskeletal pain. Research to understand more clearly whether and how such interventions add value to existing approaches and to identify the biological and/or behavioral mechanisms by which they exert beneficial effects will advance the science and practice of symptom management.

Newly emerging evidence suggests that complementary health approaches may be associated with greater degrees of positive health behaviors. While causal relationships between complementary health approaches and healthy behavior have not been established, the claims and preliminary data deserve investigation given the formidable public health challenges in motivating behavior change. Research is needed to explore, clarify, and examine the hypothesis that certain complementary health approaches, are in fact, useful in encouraging better self-care, an improved personal sense of well-being, and a greater commitment to a healthy lifestyle.

Areas of Scientific Promise

The expanding evidence base includes a large body of intriguing albeit preliminary evidence that points towards specific opportunities to improve health care and health promotion using complementary health approaches that have been divided into two groups- mind and body and natural products. Mind and Body interventions, practices and disciplines are based in mental or physical procedures or techniques administered or taught to others by a trained practitioner or teacher. Natural products include a large and diverse group of orally or topically administered substances such as herbal medicines, botanicals, dietary supplements and probiotics.

Examples of important clinical research opportunities and needs in the mind and body portfolio include but are not limited to the development of better, comprehensive strategies for management of chronic back pain and defining the roles of acupuncture, spinal manipulation, and massage in those strategies; exploration of the role of specific promising complementary health approaches or disciplines (e.g., meditation, yoga, or acupuncture) in developing better strategies for alleviating symptoms (e.g., chronic pain, stress) or in promoting

healthier lifestyles; exploration of the association between well-characterized pathways of pain processing and acupuncture analgesia or the placebo response; exploration of the associations of major pathways of cognitive processing and emotional regulation by meditative practices; and studying the influence of the provider-patient/client interaction, context effects, and the placebo response on outcomes of complementary health approaches

Examples of important clinical research opportunities and needs in the natural products area include but are not limited to studying the molecular targets and biological effects of potentially beneficial small molecules that are constituents of natural products or diet; defining the anti-inflammatory actions of omega-3 fatty acids and other natural products; employing state-of-the-art tools and technologies to study the effects of probiotics on the human microbiome; and developing evidence regarding the safety profile of certain widely used natural products, including interactions with drugs and other herbals or dietary supplements.

Early Stage and New Investigators

NCCAM is strongly committed to assisting new and early stage investigators in establishing a research career. Early stage investigators are those within 10 years of completing their terminal research degree, or their medical residency, or its equivalent. New investigators are those who have yet to compete successfully for a substantial (e.g., R01) NIH research grant. Each Advisory Council round, based on available funds, NCCAM: 1) will consider early stage or new investigator status as one of the criteria for designating grant applications as being of high program priority and 2) may make additional R01 grant awards to new and early stage investigators with percentiles or scores out of payline order..

NCCAM continues to accept applications in areas not listed as a specific priority area via the investigator initiated funding opportunities. All investigators are urged to discuss potential applications with the relevant NCCAM Program Officer.

TYPES OF ASSISTANCE:

PROJECT GRANTS; TRAINING

USES AND USE RESTRICTIONS:

Research Grants provide funds for salaries, equipment, supplies, travel, and other expenses associated with scientific investigation relevant to program objectives. Individual National Research Service Awards (NRSA) are made to individuals for research training in specified biomedical shortage areas. In addition, grants may be made to institutions to enable them to make NRSA to individuals selected by them. Each individual who receives a NRSA is obligated upon termination of the award to comply with certain service and payback provisions. Small Business Innovation Research (SBIR) Phase I grants (of approximately 6-months' duration) are to establish the technical merit and feasibility of a proposed research effort that may lead to a commercial product or process. Phase II grants are for the continuation of the research initiated in Phase I that are likely to result in commercial products or processes. Only Phase I awardees are eligible to receive Phase II support. Small Business Technology Transfer (STTR) Phase I grants (normally of 1-year duration) are to determine the scientific, technical, and commercial merit and feasibility of the proposed cooperative effort that has potential for commercial application. Phase II funding is based on results of research initiated in Phase I and scientific and technical merit and commercial potential on Phase II application.

Applicant Eligibility:

Universities, colleges, hospitals, laboratories, and other public or private nonprofit domestic institutions, including State and local units of government, and individuals are eligible to make application for grant support of research by a named principal investigator or a research career development candidate. For-profit organizations are also eligible, with the exception of NRSA. Individual NRSA awardees must be nominated and sponsored by a public or nonprofit private institution having staff and facilities appropriate to the proposed research training program. All NRSA awardees must be citizens or have been admitted to the United States for permanent residence. To be eligible, predoctoral candidates must have completed the baccalaureate degree, and postdoctoral awardees must have a professional or scientific degree (M.D., Ph.D., D.D.S., D.O., ND, DC, D.V.M., Sc.D., D.Eng., or equivalent domestic or foreign degree). SBIR grants can be awarded only to domestic small businesses (entities that are independently owned and operated for profit, are

not dominant in the field in which research is being proposed and have no more than 500 employees). Primary employment (more than one-half time) of the principal investigator must be with the small business at the time of award and during the conduct of the proposed project. In both Phase I and Phase II, the research must be performed in the U.S. or its possessions. STTR grants can be awarded only to domestic small business concerns (entities that are independently owned and operated for profit, are not dominant in the field in which researches proposed and have no more than 500 employees) which "partner" with a research institution in cooperative research and development. At least 40 percent of the project is to be performed by the small business concern and at least 30 percent by the research institution. In both Phase I and Phase II, the research must be performed in the U.S. and its possessions. To be eligible for funding, a grant application must be approved for scientific merit and program relevance by a scientific review group and a national advisory council.

Beneficiary Eligibility:

Any nonprofit or for-profit organization, company, or institution engaged in biomedical research.

Credentials/Documentation:

Each applicant for research projects must present a research plan and furnish evidence that scientific competence, facilities, equipment, and supplies are appropriate to carry out the plan. For SBIR and STTR grants, the applicant organization (small business concern) must present in a research plan an idea that has potential for commercialization and furnish evidence that scientific competence, experimental methods, facilities, equipment, and funds requested are appropriate to carry out the plan. Individual NRSA applications for postdoctoral training must include the candidate's academic record, research experience, citizenship, institutional sponsorship, and the proposed area and plan of training. Institutional Training grant applications for predoctoral and postdoctoral training must show the objectives, methodology and resources for the research training program; the qualifications and experience of directing staff; the criteria to be used in selecting individuals for stipend support; and a detailed budget and justification for the amount of grant funds requested. For-profit organizations' costs are determined in accordance with Subpart 31.2 of the Federal Acquisition Regulations. For other grantees, costs will be determined in accordance with HHS Regulations 45 CFR, Part 74, Subpart Q. Grant form PHS 398 is used to apply for SBIR and STTR Phase I Phase II and Phase I/Phase II Fast Track. OMB Circular No. A-87 applies to this program. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Research grants: Applications must be prepared either electronically through grants.gov (<http://www.grants.gov>) using the SF 424 (Research and Research-Related) or on paper using the PHS 398 research grant application instructions and forms (Rev. 06/2009). Individual funding opportunity announcements will indicate the appropriate application format to be used. A listing of NCCAM and other funding opportunities can be found through the NCCAM web site (<http://www.nccam.nih.gov/grants/funding>), through the Grants.gov web site (<http://www.grants.gov/>), or on the NIH Guide to Grants and Contracts (<http://grants1.nih.gov/grants/guide/index.html>). For applications that require the PHS 398, the most recent version of the form and instructions are available at <http://grants.nih.gov/grants/funding/phs398/phs398.html> in an interactive format. Electronic applications are submitted through the Grants.gov website. Completed PHS 398 applications should be submitted to the National Institutes of Health, Center for Scientific Review, 6701 Rockledge Drive, Room 1040 - MSC 7710, Bethesda, MD 20892-7710 or Bethesda, MD 20817 (for express/courier service). For assistance contact GrantsInfo, Telephone: (301) 435-0714, Email: GrantsInfo@nih.gov. National Research Services Awards: Completed application forms should be submitted to the Office of Research Manpower, Center for Scientific Review, Grants, National Institutes of Health, Bethesda, MD 20892. This program is subject to the provisions of 45 CFR, Part 92 for State and local governments and OMB Circular No. A-110 for nonprofit

organizations. SBIR and STTR Grant Solicitations and SBIR Contract Solicitation may be obtained electronically through the NIH's "Small Business Research Funding Opportunities" web page at <http://grants1.nih.gov/grants/funding/sbir.htm>. A limited number of hard copies of these publications are produced. Subject to availability, they may be obtained by contacting the NIH support services contractor: Telephone: (301) 206-9385; Fax: (301) 206-9722; E-mail: a2y@cu.nih.gov. The Solicitations include submission procedures, review considerations, and grant application or contract proposal forms. SBIR and STTR grant applications should be submitted to the Center for Scientific Review, 6701 Rockledge Drive, Room 1040 - MSC 7710, Bethesda, MD 20892-7710. Application forms for SBIR and STTR grants may be obtained through the SBIR/STTR funding announcements posted on the Grants.gov sites and the NIH Guide to Grants and Contracts (see URLs listed above). All SBIR and STTR applications must be submitted electronically. Electronic applications are submitted through the Grants.gov website. This program is subject to the provisions of 45 CFR Part 92 for State and local governments, OMB Circular No. A-110 for nonprofit organizations, cost principles of A-21 for educational institutions, and 42 CFR Part 42.

Award Procedure:

Award Procedure: All accepted applications are evaluated for scientific and technical merit by an appropriate scientific peer review panel and by a national advisory council or board. All applications receiving a priority score ranging from the best (10) to worst (90) compete for available funds based on scientific merit, program relevance, and program balance and are made annually. Initial award provides funds for the first budget period (usually 12 months) and Notice of Grant Award (Form PHS 1533) indicates support recommended for remainder of project period, allocation of Federal funds by budget categories, and special conditions, if any. All accepted SBIR/STTR applications are evaluated for scientific and technical merit by an appropriate scientific peer review panel and by a national advisory council or board. All applications receiving a priority score compete for available SBIR/STTR set-aside funds on the basis of scientific and technical merit and commercial potential of the proposed research, program relevance, and program balance among the areas of research.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Overall from 120 to 300 days. Research Grants and Cooperative Agreements: Approximately 6-10 months; National Service Research Awards: 6-9 months; SBIR/STTR awards 6-7 months.

Appeals:

A Program Director/Principal Investigator (PD/PI) may question the substantive or procedural aspects of the review of his/her application by submitting an appeal. An appeal is a written communication from a PD/PI and/or applicant institution that meets the following four criteria: 1) is received after issuance of the summary statement and up to 30 calendar days after the second level of peer review, 2) describes a flaw or perceived flaw in the review process for a particular application, 3) is based on one or more of four allowable issues (described below), and 4) displays concurrence from the Authorized Organization Representative (AOR). The four allowable issues are (1) evidence of bias on the part of one or more peer reviewers; (2) conflict of interest, as specified in regulation at 42 CFR 52h.5.Scientific Peer Review of Research Grant Applications and Research and Development Contract Projects, on the part of one or more peer reviewers; (3) lack of appropriate expertise within the SRG; and (4) factual error(s) made by one or more reviewers that could have altered the outcome of review substantially. A description of the NIH Peer Review Policy and Procedures is available at <http://grants.nih.gov/grants/guide/notice-files/NOT-OD-11-064.html>.

Renewals:

Research grants: renewals by competitive application and review. Extension by request and administrative action. National Research Service Awards: individual awards may be made for 1, 2, or 3 years. No individual may receive NIH fellowship support at the postdoctoral level for more than 3 years.

Formula and Matching Requirements:

This program has no statutory formula.

Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Project Grants: Awards are usually made for a 12-month period with recommendation of up to 4 years of additional support. SBIR: Normally, Phase I awards are for 6 months; normally, Phase II awards are for 2 years. STTR: Normally, Phase I awards are for 1 year; normally, Phase II awards are for 2 years. See the following for information on how assistance is awarded/released: The Notice of Award (NoA) is the legal document issued to notify the grantee that an award has been made and that funds may be requested from the designated HHS payment system or office. An NoA is issued for the initial budget period. If subsequent budget periods are also approved, the NoA will include a reference to those budgetary commitments. Funding for subsequent budget periods are generally provided in annual increments following the annual assessment of progress. This funding is also contingent on the availability of funds. The NoA includes all applicable terms of award either by reference or specific statements. It provides contact information for the assigned program officer and grants management specialist. The grantee accepts an NIH award and its associated terms and conditions by drawing or requesting funds from the Payment Management System, or upon the endorsement of a check from the US Treasury for foreign awardees. Method of awarding/releasing assistance: lump sum.

Reports:

No program reports are required. No cash reports are required. Project Grants: Expenditures and other financial records, including documents supporting accounting records and substantive charges to each grant, must be retained for 3 years from the day on which the grantee submits the last expenditure report for the report period. NRSAs: Documentation of expenditures and other fiscal records must be kept readily available for examination by authorized Government personnel and must be retained for 3 years from the day on which the grantee submits the last expenditure report for the report period. Reports are required after termination of NRSAs to ascertain compliance with service and payback provisions. Expenditure reports on a quarterly basis are sent to the data warehouse. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO). In accordance with 45 Code of Federal Regulations, Part 74.26, for-profit (commercial) organizations are subject to audit requirements for a non-Federal audit if, during its fiscal year, it expended \$500,000 or more under HHS awards and at least one award is a HHS grant or subgrant. The regulation incorporates the thresholds and deadlines of OMB Circular No. A-133, but provides for profit organizations with two options for the type of audit that will satisfy the audit requirement: 1. a financial related audit of the HHS awards in accordance with Government Auditing Standards, or 2. an audit that meets the requirements of OMB Circular No. A-133. In accordance with NIH grants policy, Foreign grantees are subject to the same audit requirements as for-profit (commercial) organizations

Records:

Grantees generally must retain financial and programmatic records, supporting documents, statistical records, and all other records that are required by the terms of a grant, or may reasonably be considered pertinent to a grant, for a period of 3 years from the date the annual financial status reports (FSR) is submitted. For awards under the Streamlined Non-competing Award Process (SNAP) (other than those to foreign organizations and Federal institutions), the 3-year retention period will be calculated from the date the FSR for the entire competitive segment is submitted. Those grantees must retain the records pertinent to the entire competitive segment for 3 years from the date the FSR is submitted to NIH. Foreign organizations and Federal institutions must retain records for 3 years from the date of submission of the annual FSR to NIH. See 45 CFR 74.53 and 92.42 for exceptions and qualifications to the 3-year

retention requirement (e.g., if any litigation, claim, financial management review, or audit is started before the expiration of the 3-year period, the records must be retained until all litigation, claims, or audit findings involving the records have been resolved and final action taken). Those sections also specify the retention period for other types of grant-related records, including F&A cost proposals and property records. See 45 CFR 74.48 and 92.36 for record retention and access requirements for contracts under grants. In accordance with 45 Code of Federal Regulations, Part 74.53(e), the HHS Inspector General, the U.S. Comptroller General, or any of their duly authorized representatives have the right of timely and unrestricted access to any books, documents, papers, or other records of recipients that are pertinent to awards in order to make audits, examinations, excerpts, transcripts, and copies of such documents. This right also includes timely and reasonable access to a recipients personnel for the purpose of interview and discussion related to such documents. The rights of access are not limited to the required retention period, but shall last as long as records are retained.

Account Identification:

75-0896-0-1-552.

Obligations:

(Project Grants) FY 12 \$94,664,000; FY 13 est \$88,578,000; and FY 14 Estimate Not Available

Range and Average of Financial Assistance:

range from low to high: R21, \$218.4 thousand to P01 \$2.029 million. Average of financial assistance is \$435.0 thousand.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Research Grants: In fiscal year 2012 a total of 311 noncompeting and competing research grants were funded including 10 SBIR/STTR and 26 NRSA awards. Fiscal Year 2013: Research Grants: In fiscal year 2013 a total of 252 noncompeting and competing research grants are estimated to be funded including 8 SBIR/STTR and 20 NRSA awards. Fiscal Year 2014: Research Grants: The estimate is not available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None. Project Grants: Program Analyst; Division of Extramural Research, National Center for Complementary and Alternative Medicine, National Institutes of Health; 6707 Democracy Blvd, Suite 401, Bethesda, MD, 20892-5475; email: NCCAMDERINQUIRIES@MAIL.NIH.GOV. Small Business Innovation Research Grants Contact: D. Craig Hopp PhD; Division of Extramural Research, National Center for Complementary and Alternative Medicine, National Institutes of Health; 6707 Democracy Blvd, Suite 401, Bethesda, MD, 20892-5475; Telephone: (301) 496-5825. HIV/AIDS, Training, and Special Populations Portfolios: Alberto L. Rivera-Rentas, Ph.D.; Division of Extramural Research, National Center for Complementary and Alternative Medicine, National Institutes of Health; 6707 Democracy Blvd, Suite 401, Bethesda, MD, 20892-5475; Telephone: (301) 443-8372. Women's Health: D. Lee Alekel, PhD; Division of Extramural Research, National Center for Complementary and Alternative Medicine, National Institutes of Health; 6707 Democracy Blvd, Suite 401, Bethesda, MD, 20892-5475; Telephone: (301) 443-8374.

Headquarters Office:

Martin Goldrosen, 6707 Democracy Blvd, Suite 401, Bethesda, Maryland 20817 Email: goldros@mail.nih.gov Phone: (301) 594-2014.

Website Address:

<http://nccam.nih.gov> .

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The major elements in evaluating proposals include assessments of: (1) the scientific merit innovation and general significance of the proposed study and its objectives; (2) the technical adequacy of the experimental design and

approach; (3) the competency of the proposed investigator or group to successfully pursue the project; (4) the adequacy of the available and proposed facilities and resources; (5) the necessity of the budget components requested in relation to the proposed project; (6) the relevance and importance to the announced program objectives and when applicable, and (7) the adequacy of assurances detailing the proposed means for safeguarding human or animal subjects. The following criteria will be used in considering the scientific and technical merit of SBIR/STTR Phase I grant applications: (1) The soundness and technical merit of the proposed approach; (2) the qualifications of the proposed principal investigator, supporting staff, and consultants; (3) the technological innovation of the proposed research; (4) the potential of the proposed research for commercial application; (5) the appropriateness of the budget requested; (6) the adequacy and suitability of the facilities and research environment; and (7) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment. Phase II grant applications will be reviewed based upon the following criteria: (1) The degree to which the Phase I objectives were met and feasibility demonstrated; (2) the scientific and technical merit of the proposed approach for achieving the Phase II objectives; (3) the qualifications of the proposed principal investigator, supporting staff, and consultants; (4) the technological innovation, originality, or societal importance of the proposed research; (5) the potential of the proposed research for commercial application; (6) the reasonableness of the budget requested for the work proposed; (7) the adequacy and suitability of the facilities and research environment; and (8) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment.

93.217 FAMILY PLANNING SERVICES

FP Services

FEDERAL AGENCY:

Office of Population Affairs, Department of Health and Human Services

AUTHORIZATION:

Title X Statute and Regulations: Requirements regarding the provision of family planning services under Title X can be found in the statute (Title X of the Public Health Service Act, 42 U.S.C. 300 et seq.) and in the implementing regulations which govern project grants for family planning services (42 CFR part 59, subpart A.), 42 U.S.C. 300 et seq.

OBJECTIVES:

To provide educational, counseling, comprehensive medical and social services necessary to enable individuals to freely determine the number and spacing of their children, and by so doing helping to reduce maternal and infant mortality, promote the health of mothers, families and children.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grants must be used for family planning services including contraceptive services, infertility services and special services to adolescents. Family planning services include information on all medically approved methods of contraception including natural family planning methods; counseling services, physical examinations including cancer detection and laboratory tests; STD and HIV prevention education, screening and referral; contraceptive supplies, and periodic follow-up examinations. Infertility services include assessment, information, education, and arrangements for referral if necessary. Special services to adolescents include in-depth information, education including abstinence education counseling, and referral to and from other social and medical service agencies, and such ancillary services as are necessary to facilitate clinic attendance for adolescents who need contraceptive services. These family planning services must be available without coercion and with respect for the privacy, dignity, social and religious beliefs of the individuals being served. To the extent possible, entities which receive grants under this subsection shall encourage family participation in projects assisted under this

subsection. Funds may not be used in programs where abortion is a method of family planning. Funds may not be used for purchase or construction of buildings; salaries of personnel paid from other Federal grant funds; and certain other miscellaneous items as specified in the regulations. Priority in the provision of services will be given to persons from low-income families. Grants must be used for family planning services including contraceptive services, infertility services and special services to adolescents. Family planning services include information on all medically approved methods of contraception including natural family planning methods; counseling services, physical examinations including cancer detection and laboratory tests; STD and HIV prevention education, screening and referral; contraceptive supplies, and periodic follow-up examinations. Infertility services include assessment, information, education, and arrangements for referral if necessary. Special services to adolescents include in-depth information, education including abstinence education counseling, and referral to and from other social and medical service agencies, and such ancillary services as are necessary to facilitate clinic attendance for adolescents who need contraceptive services. These family planning services must be available without coercion and with respect for the privacy, dignity, social and religious beliefs of the individuals being served. To the extent possible, entities which receive grants under this subsection shall encourage family participation in projects assisted under this subsection. Funds may not be used in programs where abortion is a method of family planning. Funds may not be used for purchase or construction of buildings; salaries of personnel paid from other Federal grant funds; and certain other miscellaneous items as specified in the regulations. Priority in the provision of services will be given to persons from low-income families.

Applicant Eligibility:

Any public (including city, county, local, regional, or State government) entity or nonprofit private entity located in a State (including the District of Columbia, Puerto Rico, Guam, the Commonwealth of the Northern Mariana Islands, American Samoa, the Virgin Islands, the Federated States of Micronesia, the Republic of Marshall Islands and the Republic of Palau) is eligible to apply for a grant. Faith based organizations are eligible to apply.

Beneficiary Eligibility:

Persons who desire family planning services and who would not otherwise have access to them. Priority to be given to persons from low-income families. Individuals from other than low-income families will be charged a fee in accordance with an established fee schedule although inability to pay must not be a deterrent to services.

Credentials/Documentation:

A nonprofit private entity must provide evidence of its nonprofit status. Allowability of costs charged to the grant will be determined in accordance with the following cost principles: OMB Circular No. A-21 for educational institutions, OMB Circular No. A-87 for state and local governments, and OMB Circular No. A-122 for non-profit organizations. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applications must be submitted through grants.gov. The standard application forms, as furnished by PHS and required by 45 CFR 74 (for institutions of higher education, hospitals, and other non-profit organizations), and 45 CFR 92 (for state and local governments) must be used for this program. This program is subject to the provisions of 45 CFR 74, 45 CFR 92, as well as OMB Circular No. A-102 (Administrative Guidelines for state and local governments) and OMB Circular No. A-110 (Administrative Guidelines for Institutions of Higher Education, Hospitals, and Other Nonprofit Organizations).

Award Procedure:

Following approval by the Regional Health Administrator, the Office of Grants

Management, OASH prepares a Notice of Award, secures necessary clearances and approval signatures, issues the Notice of Award, enters the approved award in the grant payment process, and provides notification of grant approval to the public.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days. From 90 to 270 days.

Appeals:

Not Applicable.

Renewals:

> 180 Days. Projects are generally given commitments of funding for 3 to 5 years. Projects must submit a continuation application each non-competitive year.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Usually 1 year. Method of awarding/releasing assistance: by letter of credit.

Reports:

Annual Program reports are required. Cash Reports are due on a minimum basis of quarterly. Progress reports are required for each budget period. Federal Financial Reports SF425 are due quarterly and at the end of each budget period. A final performance report is due 90 days following the end of the project period.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

HHS and the Controller General of the United States or any of their authorized representatives, shall have the right of access to any books, documents, papers or other records of a grantee, subgrantee, contractor, or subcontractor, which are pertinent to the HHS grant, in order to make audits, examinations, excerpts and transcripts. In accordance with 45 CFR, Part 74.53, and 45 CFR, Part 92, grantees are required to maintain grant accounting records 3 years after the end of a budget period. If any litigation, claim, negotiation, audit or other action involving the records has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Project Grants) FY 12 \$273,854,394; FY 13 est \$245,097,847; and FY 14 Estimate Not Available

Range and Average of Financial Assistance:

\$1.5 mil to \$3.0 mil.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: 99 grants were issued to provide education, counseling, comprehensive medical and social services necessary to enable individuals to freely determine the number and spacing of their children, and by so doing helping to reduce maternal and infant mortality, promote the health of mothers, families and children. Fiscal Year 2013: It is estimated that 106 awards will be issued. Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 74 and 92. Specific program requirements are contained in the Federal Register Notice, the application instructions, and the HHS Grants Policy Statement.

Regional or Local Office:

See Regional Agency Offices. Regional Health Administrator, DHHS Regional Offices (See Appendix IV of the Catalog for addresses).

Headquarters Office:

Eric C. West, Tower Building Suite 550, 1101 Wootton Parkway, Rockville, Maryland 20852 Email: eric.west@hhs.gov Phone: (240) 453-8449.

Website Address:

<http://www.opa.osophs.dhhs.gov>.

RELATED PROGRAMS:

93.260 Family Planning_Personnel Training; 93.974 Family Planning_Service Delivery Improvement Research Grants

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Proposals will be evaluated in terms of which will best promote the purposes of the authorizing legislation, taking into account: (1) the number of patients to be served, especially low-income patients; (2) local need; (3) relative need of the applicant; (4) applicant's ability to make rapid and effective use of Federal assistance; (5) adequacy of the applicant's facilities and staff; (6) availability and commitment of nonfederal resources within the community; and (7) compliance with pertinent regulations and guidelines.

93.220 CLINICAL RESEARCH LOAN REPAYMENT PROGRAM FOR INDIVIDUALS FROM DISADVANTAGED BACKGROUNDS

(NIH Clinical Research Loan Repayment Program; CR LRP)

FEDERAL AGENCY:

National Institutes of Health, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Title IV, Part G, Section 41631, Public Law 100-43, 288-5 U.S.C.

OBJECTIVES:

To recruit and retain health professionals from disadvantaged backgrounds to conduct clinical research at the National Institutes of Health (NIH) by providing for the repayment of educational loans for participants with substantial amounts of educational debt relative to income, who agree by written contract to engage in clinical research as employees of the NIH for a minimum two-year period.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

To provide repayment of extant educational loans incurred by health professionals engaged, as employees of the NIH, in clinical research. Recipients must agree by written contract to engage in such research, initially, for a minimum of 2 years; individuals who have conflicting service obligations may not participate in this program until those obligations are satisfied or have been deferred during the period of program service. One-year continuation contracts are available, dependent upon the level of debt and continued involvement in clinical research. Maximum program benefit is \$35,000 per year in loan repayments and \$13,650 per year in Federal tax reimbursements. Recipients must have qualified educational debt in excess of 20 percent of their annual salary, which is referred to as their "debt threshold." An amount equal to half of this "debt threshold" will not be repaid by NIH and must be paid by the Program participants.

Applicant Eligibility:

Eligible applicants must: (1) Be a citizen, national, or permanent resident of the United States; (2) possess a M.D., Ph.D., D.O., D.D.S., D.M.D., D.V.M., D.P.M., A.D.N., B.S.N., or equivalent degree; or hold the position of Physician Assistant; (3) come from a disadvantaged background as determined by the Secretary of Health and Human Services; (4) have qualified educational debt, which results from governmental or commercial loans obtained to support their undergraduate and/or graduate education, in excess of 20 percent of their annual

NIH salary on the program eligibility date; (5) be appointed under any temporary or permanent employment mechanism in the Intramural Research Program of the NIH, so long as their employment has the potential to last a minimum of 2 years; (6) are not eligible to participate in the CR-LRP if they have an existing service obligation to Federal, State, or other entities, until such obligation is discharged or unless it is deferred during the period of program service; (7) submit an application to participate in the CR-LRP; and (8) sign and submit to the Secretary of Health and Human Services, at the time of agreeing to accept repayment of educational loans, a contract agreeing to engage in clinical research as an employee of the NIH for a minimum of 2 years.

Beneficiary Eligibility:

Clinical researchers from disadvantaged backgrounds who have unpaid educational loans will benefit from this program.

Credentials/Documentation:

Applicants must submit documentation of the following, as appropriate: (1) Copies of loan applications and agreements from governmental or commercial educational loans which are being submitted for repayment; (2) copies of the standard student budget from each school attended during period when debt was incurred; (3) copies of recommendations, special skills, certifications, or other copies of loan documentation; (4) certification from the school(s) attended that the applicant qualified for participation in an educational assistance program for individuals from disadvantaged backgrounds which are sponsored by the Secretary of Health and Human Services or self-certification describing circumstances which qualify him/her under the Secretary's definition of an individual from a disadvantaged background; and (5) other documentation as may be required by law. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Applicants must access and submit the Loan Repayment Program application electronically via the website www.lrp.nih.gov; seek qualified employment with a sponsoring Institute or Center (IC) of the NIH. Once an application has been submitted, the Loan Repayment Committee reviews it at a regularly scheduled review meeting and a determination of loan repayment recipients is made.

Award Procedure:

The NIH Loan Repayment Committee (LRC) will review, rank, and approve or disapprove applications recommended for program participation by each Institute or Center (IC). Applications approved for program participation by the LRC will be notified by the Program Director. The Secretary, or his designee, will sign the service contract of program participants, provide a copy to the participant, and notice of the amount of approved loan repayment.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. The approximate time for approval/disapproval is 3 months.

Appeals:

From 90 to 120 days. Employees of the NIH who are not approved as a result of this process may ask for reconsideration through the standard appeal procedures available to employees.

Renewals:

From 90 to 120 days. At the conclusion of the initial 2-year contract, participants may apply and be recommended for subsequent 1-year continuation contracts under the application and approval procedures specified above.

Formula and Matching Requirements:

This program has no statutory formula.

Matching requirements are not applicable to this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Assistance is available for a minimum 2-year period. One-year, continuation contracts are available for individuals whose educational debt exceeds the maximum repayable amount for 2 years and whose renewal ranking scores within the funding range. Payments are made directly to lenders, following each quarter of the participant's satisfactory service, unless otherwise agreed upon by the participant and Secretary of Health and Human Services. Method of awarding/releasing assistance: quarterly.

Reports:

Program participants must be certified quarterly as to having satisfactorily performed their research assignments. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

No audits are required for this program.

Records:

The NIH will maintain applicant records for 3 years after rejection and participant records for 6 years after completion of final service obligation.

Grantees generally must retain financial and programmatic records, supporting documents, statistical records, and all other records that are required by the terms of a grant, or may reasonably be considered pertinent to a grant, for a period of 3 years from the date the annual FSR is submitted. For awards under SNAP (other than those to foreign organizations and Federal institutions), the 3-year retention period will be calculated from the date the FSR for the entire competitive segment is submitted. Those grantees must retain the records pertinent to the entire competitive segment for 3 years from the date the FSR is submitted to NIH. Foreign organizations and Federal institutions must retain records for 3 years from the date of submission of the annual FSR to NIH. See 45 CFR 74.53 and 92.42 for exceptions and qualifications to the 3-year retention requirement (e.g., if any litigation, claim, financial management review, or audit is started before the expiration of the 3-year period, the records must be retained until all litigation, claims, or audit findings involving the records have been resolved and final action taken). Those sections also specify the retention period for other types of grant-related records, including F&A cost proposals and property records. See 45 CFR 74.48 and 92.36 for record retention and access requirements for contracts under grants.

In accordance with 45 Code of Federal Regulations, Part 74.53(e), the HHS Inspector General, the U.S. Comptroller General, or any of their duly authorized representatives have the right of timely and unrestricted access to any books, documents, papers, or other records of recipients that are pertinent to awards in order to make audits, examinations, excerpts, transcripts, and copies of such documents. This right also includes timely and reasonable access to a recipients personnel for the purpose of interview and discussion related to such documents. The rights of access are not limited to the required retention period, but shall last as long as records are retained.

Account Identification:

75-6846-0-1-552.

Obligations:

(Project Grants) FY 12 \$26,389; FY 13 est \$122,231; and FY 14 est \$121,000

Range and Average of Financial Assistance:

For initial 2-year contracts, loan repayments range from \$4,000 to \$70,000, Tax reimbursements range from \$1,977 to \$34,598. The average contract cost which includes loan and tax reimbursement is \$68,454.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: In 2012, two awards were made. Fiscal Year 2013: In 2013, three awards were granted. Fiscal Year 2014: In 2014, it is projected that four awards will be provided.

REGULATIONS, GUIDELINES, AND LITERATURE:

Pertinent information is contained in Public Law 103-43, Section 1631; Section 487E of Public Health Service Act (42 U.S.C. 288-5); Public Law 105-392;

Federal Register, Volume 59, No. 201, October 19, 1994; 42 CFR Part 68a
Federal Register, Volume 63, No. 210, October 30, 1998.

Regional or Local Office:

None.

Headquarters Office:

Patricia A. Cole, PhD Office of Intramural Training and Education
National Institutes of Health
U.S. Department of Health and Human Services
Building 2, Room 2E18
2 Center Drive
, Bethesda, Maryland 20892-0230 Email: colep@mail.nih.gov Phone:
3014021283 Fax: 3014802942

Website Address:

www.lrp.nih.gov .

RELATED PROGRAMS:

93.162 National Health Service Corps Loan Repayment Program; 93.164
Indian Health Service Educational Loan Repayment; 93.342 Health Professions
Student Loans, Including Primary Care Loans/Loans for Disadvantaged
Students; 93.364 Nursing Student Loans; 93.936 National Institutes of Health
Acquired Immunodeficiency Syndrome Research Loan Repayment Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: No Current
Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Applicants are approved for participation in the NIH Clinical Research Loan
Repayment Program after the LRC determines that the applicant will conduct
qualified clinical research and qualifies as being from a disadvantaged
background. LRC approval, in part, is based upon the scientific merit of the
research and the credentials of the applicant as they relate to performing
qualified clinical research.

**93.223 DEVELOPMENT AND COORDINATION OF RURAL HEALTH
SERVICES**

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and
Human Services

AUTHORIZATION:

Social Security Act, Section 711, as amended, (42 U.S.C. 912).

OBJECTIVES:

For the development and coordination of rural health services. To establish and
maintain an information center for collecting and disseminating information on
rural health care issues, research findings relating to rural health care and
innovative approaches to the delivery of health care in rural areas.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

All funds awarded are to be expended solely for carrying out the approved
activities in accordance with the intent of the cooperative agreement as
stipulated in the notice of award.

Applicant Eligibility:

Public or private entities with a service commitment to and experience with
rural issues at a national level. Applicant must directly employ a project
director with a professional record in national rural health and/or social services
policy issues, and directly employ staff for the Information Center, with
exceptions only through written agreement with ORHP.

Beneficiary Eligibility:

The Rural Assistance Center is a gateway to information on rural health and
social services for residents in rural areas of the United States and for all others
interested in these issues.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity
announcement issued under this CFDA program for any required proof or

certifications which must be submitted prior to or simultaneous with submission
of an application package. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is
not required for this program. This program is eligible for coverage under E.O.
12372, "Intergovernmental Review of Federal Programs." An applicant should
consult the office or official designated as the single point of contact in his or
her State for more information on the process the State requires to be followed
in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110
applies to this program. HRSA requires all applicants to apply electronically
through Grants.gov.

All qualified applications will be forwarded to an objective review committee.
Based on the advice of the objective review committee, the HRSA program
official with delegated authority is responsible for final selection and funding
decisions.

Award Procedure:

Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application
deadlines.

Range of Approval/Disapproval Time:

Up to 6 months.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Awards are made annually for a project period of up to 5 years. See the
following for information on how assistance is awarded/released: Grantees
drawdown funds, as necessary, from the Payment Management System (PMS).
PMS is the centralized web based payment system for HHS awards.

Reports:

An electronic quarterly Federal Financial Report (FFR) is due to the Division of
Payment Management within 30 days of the end of each quarter. A final FFR
must be submitted via the Electronic HandBooks (EHB) within 90 days of the
end of each budget period. A Final Performance Report is due 90 days
following the end of the project period. No cash reports are required. Progress
reports are not applicable. No expenditure reports are required. No performance
monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June
27, 2003), "Audits of States, Local Governments, and Non-Profit
Organizations," nonfederal entities that expend financial assistance of \$500,000
or more in Federal awards will have a single or a program-specific audit
conducted for that year. Nonfederal entities that expend less than \$500,000 a
year in Federal awards are exempt from Federal audit requirements for that
year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records for 3 years after the
date they submit the Federal Financial Report (FFR). If any litigation, claim,
negotiation, audit, or other action involving the award has been started before
the expiration of the 3-year period, the records shall be retained until
completion of the action and resolution of all issues which arise from it, or until
the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Project Grants) FY 12 \$1,540,000; FY 13 est \$1,508,455; and FY 14 est \$1,500,441

Range and Average of Financial Assistance:

Average award is \$1,508,455.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

Awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Darci Eswein, Federal Office of Rural Health Policy, 5600 Fishers Lane, Room 5A-05, Rockville, Maryland 20857 Phone: (301) 443-0835.

Website Address:

<http://www.hrsa.gov/ruralhealth>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be reviewed and rated on the applicant's ability to address the following areas: To (1) develop and maintain a rural health and social services information clearinghouse health care; (2) track emerging and ongoing rural health issues and identify ways to solicit and distribute information for key stakeholders concerned about rural health care; (3) work collaboratively with ORHP to prioritize key issues and develop information resources to share with callers and web users; (4) gather, organize, and index information from a wide range of sources that deal with aspects of rural health care and human services; (5) make referrals, avoid duplication of services, and ensure that rural residents are directed to appropriate resources for their information needs; (6) provide support to the Improve Rural Health Care Initiative and play a key role in broadly disseminating promising practices from rural communities; and (7) experience in working with the full range of key rural interest groups, along with knowledge of rural health and social service issues and of the key individuals and organizations involved in ensuring availability of health and social services in rural areas.

93.224 CONSOLIDATED HEALTH CENTERS (COMMUNITY HEALTH CENTERS, MIGRANT HEALTH CENTERS, HEALTH CARE FOR THE HOMELESS, AND PUBLIC HOUSING PRIMARY CARE)

Health Center Program

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, (42 U.S.C. 254b), Section 330(e), (g), (h), and (i), as amended by sec. 5601 of P.L. 111-148.

OBJECTIVES:

To improve the health of the Nation's underserved communities and vulnerable populations by assuring access to comprehensive, culturally competent, quality primary health care services. To continue comprehensive, primary health care services in areas already supported by the Health Center Program. Individual

health center grant mechanisms include: (1) Community Health Centers; (2) Migrant Health Centers; (3) Health Care for the Homeless; and (4) Public Housing Primary Care Program.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Applications should be designed to improve the availability, accessibility, and provision of primary health care services. Refer to the applicable funding opportunity announcement under this CFDA number for additional information.

Applicant Eligibility:

Eligible applicants are public and non-profit private entities, including tribal, faith-based, and community-based organizations that have the capacity to effectively administer the grant.

Beneficiary Eligibility:

Population groups in medically underserved areas.

Credentials/Documentation:

Applicants should review the applicable HRSA funding opportunity announcement(s) issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. HRSA requires all applicants to apply electronically through Grants.gov.

Eligible applications submitted from new organizations along with those submitted by current grantees who face competition for a service area, will be forwarded to an objective review committee. In addition, each application is reviewed by HRSA staff. Taking into consideration the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Award Procedure:

Notification is made in writing by a Notice of Award (NoA).

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. Refer to the applicable Funding Opportunity Announcement available through Grants.gov.

Appeals:

Not Applicable.

Renewals:

Awards to support projects beyond the first budget year will be contingent upon Congressional appropriation, compliance with applicable statutory and regulatory requirements, demonstrated organizational capacity to accomplish the project's goals, and a determination that continued funding would be in the best interest of the government.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

Awards are made annually. After Notices of Award are issued, funds are released in accordance with HHS payment procedures, which may be through an Electronic Transfer System or a Monthly Cash Request System. See the

following for information on how assistance is awarded/released: Grantees draw down funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

Uniform Data Reporting annual reports are required. Quarterly cash transactions are required. No progress reports are required. A Federal Financial Report (FFR) is required. Refer to the applicable funding opportunity announcement(s) under this CFDA program for additional information. Performance Monitoring Reports are required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records for 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit, or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Project Grants) FY 12 \$2,258,970,314; FY 13 est \$2,412,000,000; and FY 14 est \$1,471,999,000

Range and Average of Financial Assistance:

\$200,000 to \$11,000,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices. Bureau of Primary Health Care, HRSA, 5600 Fishers Lane, Rockville, MD 20857. Northeast Division: (301) 594-4488; Central Southeast Division: (301) 594-4420; Southwest Division: (301) 480-1130; North Central Division: (301) 443-0011.

Headquarters Office:

Bureau of Primary Health Care, 5600 Fishers Lane, Room 17-105, Rockville, Maryland 20857 Phone: (301) 594-4300.

Website Address:

<http://www.hrsa.gov>

RELATED PROGRAMS:

93.129 Technical and Non-Financial Assistance to Health Centers; 93.526 Affordable Care Act (ACA) Grants for Capital Development in Health Centers; 93.527 Affordable Care Act (ACA) Grants for New and Expanded Services under the Health Center Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria for selecting proposals are available in the applicable funding opportunity announcement(s) issued under this CFDA program. In addition, all applicants are expected to demonstrate compliance with applicable

requirements including the Health Center Program requirements and the ability to be operational within 120 days of Notice of Award.

93.225 NATIONAL RESEARCH SERVICE AWARDS_HEALTH SERVICES RESEARCH TRAINING

FEDERAL AGENCY:

Agency for Healthcare Research and Quality, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, 42 U.S.C 487.

OBJECTIVES:

To provide predoctoral and postdoctoral training opportunities in health services research. Individual fellowships will be awarded directly to applicants for postdoctoral research training. Domestic institutions will also be awarded grants to enhance predoctoral and postdoctoral training programs and provide support to trainees selected by them. The purpose of these awards is to provide fellows and trainees with both academic training and actual experience in the application of research methods to the systematic analysis and evaluation of the organization, financing, utilization, and delivery of health care services.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Fellowship support is available for full-time health services research training. An institutional allowance is provided to the sponsoring institution for each fellow. Also, research training grants are awarded to institutions to provide support to trainees selected by the training program director at the grantee institution. This support is available for predoctoral and postdoctoral trainees. Offsets for tuition and fees are allowable for trainees, and an amount for training related expenses will be provided. Facilities and administrative costs may be requested at 8 percent of allowable direct costs (less tuition and fees), or the negotiated facilities and administrative cost rate, whichever is less. Postdoctoral fellows and trainees in the first 12 months of postdoctoral NRSA support incur one month of payback obligation for each month of support. The thirteenth and subsequent months of postdoctoral NRSA support are considered payback service for prior postdoctoral support. Predoctoral fellows and trainees do not incur a service payback obligation.

Applicant Eligibility:

Domestic public or private nonprofit organizations or institutions may apply for training grants. The applicant institutions must have or expand training programs designed to develop competent investigators in the methods and techniques of conducting health services research. State or local governments and U.S. Territories are eligible. Profit-making organizations are not eligible. Individual applicants for postdoctoral fellowships must have received a clinical or research doctoral degree. All persons supported as fellows or trainees must be citizens or noncitizen nationals of the United States, or have been lawfully admitted for permanent residence.

Beneficiary Eligibility:

Individuals and public or private nonprofit organizations or institutions, including State or local governments and U.S. Territories, are the beneficiaries of this program.

Credentials/Documentation:

An individual applicant for a postdoctoral fellowship must document receipt of a doctoral degree and acceptance by an appropriate institutional sponsor. Institutional applicants for training grants must document their research and training experiences, staff, curriculum, facilities, and other resources available. Costs will be determined in accordance with HHS Regulations 45 CFR, Part 74. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Information about health services research areas being

supported is available from AHRQ. Individual fellowship applicants and institutions should submit Form SF 424 R&R. For State agencies, no State Plan is required. For State and local governments, the standard forms, as furnished by PHS and required by 45 CFR, Part 92, may be used. This program is subject to the provisions of 45 CFR, Part 92 for State and local governments.

Award Procedure:

Applications are reviewed for scientific merit by a committee including nonfederal consultants who are selected from appropriate scientific fields. AHRQ considers the recommendations of the review committee, program interest, and the availability of funds in the final selection of grantees. When such decisions are made, applicants are notified and a Notice of Award is issued. Before receiving support, all individual fellows and institutional postdoctoral trainees must submit required forms, including a payback agreement.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

> 180 Days. Contact Headquarters Office for deadline dates for Institutional Training Grants. Individual applications for postdoctoral fellowships are due: April 8, August 8, and December 8.

Appeals:

Not Applicable.

Renewals:

If additional support is desired to continue a grant beyond the approved project period, an application for competing continuation must be submitted for review in the same manner as a new application. Generally, no individual may receive more than 3 years of aggregate National Research Service Award support at the postdoctoral level, or 5 years at the predoctoral level, including any combination of support from institutional and individual awards.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Fellowship support at the postdoctoral level is generally limited to 3 years and at the predoctoral level to 5 years. Institutional training grants may be awarded for project periods up to 5 years. Awards are made on an annual basis and it is expected that obligations will be made within the budget period awarded. After awards are issued, funds are released in accordance with the payment procedure established by the grantee with DHHS, which may be an Electronic Transfer System or a Monthly Cash Request system. Method of awarding/releasing assistance: lump sum.

Reports:

Program reports are not applicable. Grantees must submit quarterly SF425 reports to PSC. Annual and final progress reports are required. For Institutional Training Grants, a final progress report is due at the close of the project period. Annual reports of activities for tracking payback provisions are required of all fellows and trainees upon termination of support. Annual and final Federal Financial Reports (FFR; SF425) are required for Institutional National Research Service Awards. Annual Federal Financial Reports must be submitted within 90 days after the end of the calendar quarter in which the budget period ends. A final FFR must be submitted within 90 days after the end of the project period. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants records are subject to audit and inspection by Federal auditors.

Records:

Records must be retained for at least three years; records shall be retained beyond the three year period if audit findings have not been resolved. Records relating to individual payback obligations are retained for one year after the individual has fulfilled or has been excused from fulfilling the payback obligation.

Account Identification:

75-1700-0-1-552.

Obligations:

(Training) FY 12 \$7,763,576; FY 13 est \$7,364,000; and FY 14 est \$7,364,000

Range and Average of Financial Assistance:

(Individual Fellowships) \$49,953 to \$78,028; \$62,471 average. (Institutions) \$218,170 to \$416,896; \$303,047 average. These figures are total costs.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: 3 individual fellowships and 28 institutional training grants were awarded. Fiscal Year 2013: It is estimated that 6-8 individual fellowships and 18 institutional training grants will be awarded. Fiscal Year 2014: No current data available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Title 42 CFR Part 66; HHS Grants Policy Statement, DHHS Publication No. (OASH) 94-50,000, (Rev.) April 1, 1994.

Regional or Local Office:

None.

Headquarters Office:

Shelley Benjamin 540 Gaither Road, Rockville, Maryland 20850 Phone: 301-427-1528

Website Address:

<http://www.ahrq.gov>.

RELATED PROGRAMS:

93.226 Research on Healthcare Costs, Quality and Outcomes

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: see: <http://www.ahrq.gov/fund/training/t32.htm>. Fiscal Year 2013: No current data available. Fiscal Year 2014: No current data available.

CRITERIA FOR SELECTING PROPOSALS:

For Individual fellowship applications, the following review criteria will be used: (1) The applicant's past academic and research accomplishments; (2) research goals; (3) research training proposed; (4) qualifications of the sponsor; (5) appropriateness of training environment; and (6) reference reports. For Institutional training grant applications, the following review criteria will be used: (1) goals of the proposed training and probability of achievement; (2) substantive content, including courses offered; (3) qualifications and responsibilities of program director and faculty; (4) ability to recruit trainees; (5) extent of institutional participation through provision of necessary space, curriculum time, financial support, and appropriate facilities; (6) documented cooperation of related agencies in providing research experience; (7) proposed number of students; (8) methods for monitoring and evaluating trainees; and (9) reasonableness of budget. In all cases, AHRQ will consider the recommendations of the review committee, the Council program interests, and availability of funds in making the final selection of grantees.

93.226 RESEARCH ON HEALTHCARE COSTS, QUALITY AND OUTCOMES

FEDERAL AGENCY:

Agency for Healthcare Research and Quality, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Title IX, 42 U.S.C 299 ff; Affordable Care Act, Public Law 111-148.

OBJECTIVES:

To support research and evaluations, demonstration projects, research networks, and multidisciplinary centers and to disseminate information on health care and on systems for the delivery of such care involving: (1) The quality, effectiveness, efficiency, appropriateness and value of health care services; (2)

quality measurement and improvement; (3) the outcomes, cost, cost-effectiveness, and use of health care services and access to such services; (4) clinical practice, including primary care and practice-oriented research; (5) health care technologies, facilities and equipment; (6) health care costs, productivity, organization, and market forces; (7) health promotion and disease prevention, including clinical preventive services; (8) health statistics, surveys, database development, and epidemiology; and (9) medical liability. In support of this research, the Agency has a special interest in health care and its delivery in the inner city, in rural areas, and for priority populations (low-income groups, minority groups, women, children, the elderly, and individuals with special health care needs).

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

The research should contribute to the health services knowledge base from which empirically based information can be derived by policymakers, both immediately and over the coming decades. Grants include funds for direct costs (such as personnel, travel, equipment, or supplies) necessary to carry out an approved project as well as funds for the reimbursement of applicable indirect costs (also referred to as facilities and administrative costs). Unallowable costs are indicated in the HHS Grants Policy Statement.

Applicant Eligibility:

Federal, State or local government agencies, federally-recognized Indian Tribal Governments, U.S. Territories, sponsored organizations, non-government organizations, minority groups, specialized groups, public or private institutions of higher education, and other public or nonprofit private agencies, institutions, or organizations. Research project grants may also be awarded to individuals. For-profit organizations are eligible to apply for these grants only if "cooperative agreement" is the designated funding mechanism. Organizations described in section 501(c)4 of the Internal Revenue Code that engage in lobbying are not eligible.

Beneficiary Eligibility:

Federal, State or local government agencies, federally-recognized Indian Tribal Governments, public or private nonprofit institutions, U.S. territories, Native American organizations, sponsored organizations, consumers, students, minority groups, specialized groups, health or education professionals, individuals, scientist/researchers, and the general public.

Credentials/Documentation:

Nonprofit organizations must submit proof of their nonprofit status when applying for grants. Costs will be determined in accordance with DHHS Regulations 45 CFR 74. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applications must be submitted in response to a Funding Opportunity Announcement (FOA). All FOAs are posted on www.Grants.gov. Refer to the FOA of interest for specific requirements regarding the application procedure as AHRQ is in the process of transitioning to the new SF-424 Research and Related (R&R) grant application form and to electronic submission through www.Grants.gov for competing awards. For FOAs for which applications have not yet been transitioned to electronic submission through www.Grants.gov, nongovernmental applicants should submit using Form PHS-398 (Rev. November 2007); State and local governments may submit using the standard application forms, as furnished by PHS and required by 45 CFR Part 92. For State agencies, no State Plan is required. Applications are reviewed and evaluated by a group composed primarily of nonfederal scientists. The review is conducted by a panel of experts in the specific study area proposed. This program is subject to the provisions of 45 CFR Part 92 for State and local governments.

Award Procedure:

Applications are reviewed for scientific merit by a committee including nonfederal consultants who are selected from appropriate scientific fields.

AHRQ considers the recommendations of the review committee, program interest, and the availability of funds in the final selection of grantees. When such decisions are made, applicants are notified and a Notice of Award is issued.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

> 180 Days.

Appeals:

Not Applicable.

Renewals:

If additional support is desired to continue a project beyond the approved project period, an application for competing continuation must be submitted for review in the same manner as a new application.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants may be approved for project periods of up to 5 years, depending on the grant program and based on the availability of funding. Refer to the FOA of interest for information regarding the maximum duration of the project period. Awards are made on an annual basis and it is expected that obligations will be made within the budget period awarded. After awards are issued, funds are released in accordance with the payment procedure established by the grantee institution with DHHS, which may be an Electronic Transfer System or a Monthly Cash Request System. Method of awarding/releasing assistance: lump sum.

Reports:

Program reports are not applicable. Grantees must submit quarterly SF425 reports to PSC. Progress reports are required on an annual basis. Some programs also require quarterly progress reports. A final progress report must be submitted within 90 days after the end of a project. Inventions are to be reported immediately. A Final Invention Statement must be submitted within 90 days after the end of a project. An annual Federal Financial Report (FFR; SF425) must be submitted within 90 days after the end of the calendar quarter in which the budget period ends. A final FFR must be submitted within 90 days after the end of the project. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Records must be retained for at least three years; records shall be retained beyond the three year period if audit findings have not been resolved.

Account Identification:

75-1700-0-1-552.

Obligations:

(Project Grants) FY 12 \$106,766,000; FY 13 est \$110,058,000; and FY 14 est \$86,226,000

Range and Average of Financial Assistance:

\$13,412 to \$2,995,327; \$287,252 average. These are total cost figures (direct costs plus associated facilities and administrative costs, if appropriate).

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: \$106,766,000. Fiscal Year 2013: AHRQ anticipates funding

\$110.058 million in grant funding (353 grants). Fiscal Year 2014: AHRQ anticipates funding \$86.226 million in grant funding (297 grants).

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR 67, Regulations for Grants for Health Services Research, Evaluation, Demonstration, and Dissemination Projects as amended by Public Law 106-129; HHS Grants Policy Statement, DHHS Publication No. (OASH) 94-50,000 (Rev.) April 1, 1994.

Regional or Local Office:

None.

Headquarters Office:

George Gardner 540 Gaither Road, Rockville, Maryland 20850 Phone: 301-427-1447

Website Address:

<http://www.ahrq.gov>.

RELATED PROGRAMS:

93.225 National Research Service Awards_Health Services Research Training

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: AHRQ-supported grants focus on health information technology research, patient safety research, prevention and care management, and quality, effectiveness, and efficiency research. Fiscal Year 2013: Based on availability of funding, it is anticipated that AHRQ-supported grants will continue to focus on health information technology research, patient safety research, prevention and care management, and quality, effectiveness, and efficiency research. Fiscal Year 2014: No current data available.

CRITERIA FOR SELECTING PROPOSALS:

The proposals must first be reviewed by review groups of peers and recommended for approval on the basis of scientific and technical merit. This includes consideration of the qualifications of the principal investigator and staff to conduct the research using appropriate methodology and budget. Those approved proposals which are most relevant to the identified program priority issues of the AHRQ are funded to the extent that funds are available.

93.228 INDIAN HEALTH SERVICE_HEALTH MANAGEMENT DEVELOPMENT PROGRAM

(Indian Health)

FEDERAL AGENCY:

Indian Health Service, Department of Health and Human Services

AUTHORIZATION:

Public Law 83-568, 42 U.S.C. 2001-2004a; Indian Self-Determination and Education Assistance Act, Section 104 (b), Public Law 93-638, 25 U.S.C. 450, Section 9, Public Law 98-250; Public Law 100 - 472; 25 U.S.C. 450h (b)(1) and 25 U.S.C. 450h of the Indian Self Determination and Education Assistance Act, Public Law 93-638, as amended.

OBJECTIVES:

To develop and enhance management infrastructure of Federally-recognized Tribes and Tribal organizations to assume all or part of existing Indian Health Service programs, functions, services and activities.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

The assistance is used for four types of management related projects: (1) Feasibility Studies; (2) Planning; (3) Tribal Health Management Structure Development; and (4) Evaluation. Tribal management grants may not be used to support operational programs, or to supplant existing public and private resources. This assistance is available to Federally-recognized Indian Tribes and Tribal-sanctioned Tribal organizations. Discretionary funding for statutorily mandated grant program.

Applicant Eligibility:

Federally-recognized Tribes and Tribally-sanctioned Tribal organizations.

Beneficiary Eligibility:

Individuals who are members of an eligible applicant Tribe, band, or group or village and who may be regarded as within the scope of the Indian health and

medical service program and who are regarded as an Indian by the community in which he lives as evidenced by such factors as Tribal membership, enrollment, residence on tax exempt land, ownership of restricted property, active participation in Tribal affairs or other relevant factors in keeping with general Bureau of Indian Affairs practices in the jurisdiction.

Credentials/Documentation:

Certification of Native Indian or Alaskan descent is required for direct services. Provisions of grants under Public Law 93-638 and its amendments require Tribal endorsement in the form of a Resolution to sanction Tribal participation. Costs will be determined in accordance with OMB Circular No. A-122 for Nonprofit Organizations as implemented through program regulations 42 CFR 36 and applicable grant administration regulations 45 CFR, Part 92, (45 CFR 74 for nonprofit organizations). OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. The applicant package may be found in Grants.gov. or at https://www.ihs.gov/dgm/index.cfm?module=dsp_dgm_funding. Questions regarding the electronic application process may be directed to Tammy Bagley, Acting Director, Division of Grants Management at (301) 443-5204. This program is subject to the provisions of 45 CFR 92 for Indian Tribes and OMB Circular No. A-110, if applicant is a nonprofit Tribal organization.

Award Procedure:

Grants are awarded on a competitive basis with processing and final approval by Headquarters.

Deadlines:

Sep 01, 2013: For FY 2013 Awards. For Public Law 93-638 grants applications must be submitted 90 days prior to the proposed project start date. Contact Headquarters Office for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. 90 days. Grants are approved/disapproved within ninety (90) days from receipt of application/proposal in IHS Headquarters.

Appeals:

From 30 to 60 days. Grant appeals will follow PHS appeals procedures: 42 CFR, Part 50, Subpart D and DHHS appeals procedures: 45 CFR 16.

Renewals:

Renewal of grants is on a year-to-year basis and requires the submission of continuation applications.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. However, in accordance with Pub. L. 93-638 section 103(c), the TMG funds may be used as matching shares for any other Federal grant programs that develop tribal capabilities to contract for the administration and operation of health programs. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Individual projects are awarded annually for 12 months based on receipt of appropriations. See the following for information on how assistance is awarded/released: Annually.

Reports:

No program reports are required. Grantees are required to submit quarterly Federal Financial Report (SF-425 or FFR) to the Division of Payment Management Branch. Final reports are due 90 days after the end of the project period. Grantees are required to submit semi-annual progress reports to the assigned Grants Management Specialist and Program Official. Grantees are required to submit quarterly Federal Financial Report (SF-425 or FFR) to the Division of Payment Management Branch. Final reports are due 90 days after the end of the project period. All IHS grant awards are monitored for financial and grant compliance by the Division of Grants Management and for

programmatic compliance by the IHS Program Staff.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

HHS and the Comptroller General of the United States or any of their authorized representatives, shall have the right of access to any books, documents, papers, or other records of a grantee, subgrantee, contractor, or subcontractor, which are pertinent to the HHS grant, in order to make audits, examinations, excerpts and transcripts. In accordance with 45 CFR 92.42, grantees are required to maintain grant records 3 years after they submit their final expenditure report. If any litigation, claim, negotiation, audit or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0390-0-1-551.

Obligations:

(Project Grants) FY 12 \$2,576,870; FY 13 est \$2,577,000; and FY 14 est \$2,577,000

Range and Average of Financial Assistance:

FY 2012: \$50,000 - \$100,000 Range; \$100,000 Average.
FY 2013: \$50,000 - \$100,000 Range; \$100,000 Average.
FY 2014: \$50,000 - \$100,000 Range; \$100,000 Average.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR 36; 45 CFR 92 and 45 CFR 74, HHS Grants Policy Statement, (Rev.) January, 2007; Program Guidelines for Competitive Projects.

Regional or Local Office:

None. Program - Ms. Patricia J. Spotted Horse, Program Analyst, Tribal Management Grant Program, Indian Health Service, 801 Thompson Avenue, Suite 220, Rockville, MD 20852, Phone: (301) 443-1104;

Grants Management Contact: Ms. Tammy Bagley, Acting Director, Division of Grants Management, Indian Health Service, 801 Thompson Avenue, Suite TMP 360, Rockville, MD 20852. Telephone: (301) 443-5204, Fax (301) 443-9602. Use the same number for FTS.

Headquarters Office:

Grants Policy Office 801 Thompson Avenue, Suite TMP 360, Rockville, Maryland 20852 Email: grantspolicy@ihs.gov Phone: 301-443-5204

Website Address:

<http://www.ihs.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.231 EPIDEMIOLOGY COOPERATIVE AGREEMENTS

FEDERAL AGENCY:

Indian Health Service, Department of Health and Human Services

AUTHORIZATION:

Indian Health Care Improvement Act, Public Law 94-437, 25 U.S.C 1621m.

OBJECTIVES:

The purpose of this cooperative agreement program is to fund Tribes, Tribal and urban Indian organizations, and intertribal consortia to provide epidemiological support for the AI/AN population served by IHS. Tribal Epidemiological Center (TEC) activities should include, but are not limited to, enhancement of surveillance for disease conditions; research, prevention and control of disease, injury, or disability; assessment of the effectiveness of AI/AN public health programs; epidemiologic analysis, interpretation, and dissemination of surveillance data; investigation of disease outbreaks; development and implementation of epidemiologic studies; development and implementation of disease control and prevention programs; and coordination of activities with other public health authorities in the region. It is the intent of IHS to fund several TECs that will serve Tribes and urban Indian communities in all 12 IHS Administrative Areas.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Grant funds may be used to develop and conduct activities to achieve at least one epidemiology programs in each of the 12 Areas of Indian country. The recipient activities will coordinate and participate in projects, investigations, or studies of national scope; and share surveillance and other data collected. IHS activities will convene workshops/meetings; provide technical assistance and consultation; provide training; conduct site visits; and coordinate all epidemiological activities on a national basis. Funds will be used to support activities that are directly related to the grant project. All indirect costs will be issued based on the indirect cost rate agreement that is negotiated by the recipient, as appropriate. 100% are discretionary funds.

Applicant Eligibility:

AI/AN Tribes, Tribal organizations, and eligible intertribal consortia or urban Indian organizations as defined by 25 U.S.C. 1603(e) may be eligible for a TEC cooperative agreement. Such entities must represent or serve a population of at least 60,000 AI/AN to be eligible as demonstrated by Tribal resolutions or the equivalent documentation from urban Indian clinic directors/Chief Executive Officers (CEOs). Applicants must describe the population of AI/ANs and Tribes that will be represented. The number of AI/ANs served must be substantiated by documentation describing IHS user populations, United States Census Bureau data, clinical catchment data, or any method that is scientifically and epidemiologically valid. An intertribal consortium or urban Indian organization is eligible to receive a cooperative agreement if it is incorporated for the primary purpose of improving AI/AN health, and represents the Tribes, AN villages, or urban Indian communities in which it is located. Resolutions from each Tribe, AN village and equivalent documentation from each urban Indian community represented must be included in the application package. Collaborations with IHS Areas, Federal agencies such as the Centers for Disease Control and Prevention (CDC), State, academic institutions or other organizations are encouraged (letters of support and collaboration should be included in the application).

Beneficiary Eligibility:

Federally-recognized Indian Tribe will benefit from the Tribal Epidemiology Centers. Federally-recognized Indian Tribe means any Indian Tribe, band, nation, or other organized group or community, including any Alaska Native village or group or regional or village corporation as defined in or established pursuant to the Alaska Native Claims Settlement Act (85 Stat. 688) [43 U.S.C. 1601, et seq.], which is recognized as eligible for the special programs and services provided by the United States to Indians because of their status as Indians. 25 U.S.C. 1603 (d).

Tribal organization means the elected governing body of any Indian Tribe or any legally established organization of Indians which is controlled by one or more such bodies or by a board of directors elected or selected by one or more such bodies or elected by the Indian population to be served by such organization and which includes the maximum participation of Indians in all phases of its activities. 25 U.S.C. 1603(e).

Urban Indian organization means a non-profit corporate body situated in an urban center governed by an urban Indian controlled board of directors, and providing for the maximum participation of all interested Indian groups and

individuals, which body is capable of legally cooperating with other public and private entities for the purpose of performing the activities. 25 U.S.C. 1603(h).

Credentials/Documentation:

The applicant must provide documentation of: (1) Nonprofit status; (2) tribal resolution(s); and (3) letters of support and collaboration with regional IHS, State, or university organizations. Costs will be determined in accordance with OMB Circular No. A-122 (nonprofit organizations), and applicable grant administration regulations 45 CFR 74 and 45 CFR 92. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. The preferred method for receipt of applications is electronic submission through Grants.gov. However, should any technical problems arise regarding the submission, please contact Grants.gov Customer Support at 1-800-518-4726 or support@grants.gov. The Contact Center is open 24 hours a day, 7 days a week (except for Federal holidays). Waivers from the electronic process must be made at least fifteen days prior to the application deadline. To submit an application electronically, please use the <http://www.Grants.gov> apply site. Download a copy of the application package from the Grants.gov website, complete it offline and then upload and submit the application via the Grants.gov site. You may not e-mail an electronic copy of a grant application to IHS.

Award Procedure:

These awards are issued under a competitive grant process.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Cooperative agreements will be awarded for project periods of up to 5 years. Within the project period, a continuation application must be submitted via GrantSolutions.gov annually on a non-competitive basis for each year of support. Additional support is subject to availability of funds. See the following for information on how assistance is awarded/released: annually.

Reports:

Program reports are not applicable. Grantees will be required to submit quarterly Federal Financial Report (SF-425 or FFR) to the Division of Payment Management Branch. Progress reports are required annually, semi-annually or quarterly depending on the time lines set by the IHS program. A final progress report is due 90 days after the end of each budget period and at the end of the final project period. Grantees will be required to submit quarterly Federal Financial Report (SF-425 or FFR) to the Division of Payment Management Branch. IHS grants are monitored by the Division of Grants Management for financial compliance and by the IHS Program Staff for programmatic compliance.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit

conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. .

Records:

DHHS and the Comptroller General of the United States or any of their authorized representatives, shall have the right of access to any books, documents, papers, or other records of a grantee, subgrantee, contractor, or subcontractor, which are pertinent to the grant in order to make audits, examinations, excerpts, and transcripts. Grantees are required to maintain grant accounting records for 3 years after the end of a budget period. If any litigation, claim, negotiation, audit or other action involving the records has been started before the expiration of the 3 year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3 year period, whichever is later.

Account Identification:

75-0390-0-1-551.

Obligations:

(Cooperative Agreements) FY 12 \$6,300,000; FY 13 est \$6,700,000; and FY 14 est \$6,700,000

Range and Average of Financial Assistance:

\$350,000 to \$480,000; average award \$360,000 per TEC.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Public Law 94-437, Section 214(a)(1), as amended by Public Law 102-573; 45 CFR 92 and 45 CFR 74; authorizes the cooperative agreement grant awards. HHS Grants Policy Statement, January 2007.

Regional or Local Office:

None. Program Contact: Selina Keryte, MPH; Division of Epidemiology and Disease Prevention, Indian Health Service, Headquarters West, 5300 Homestead Road, NE; Albuquerque, NM 87110; Telephone: (505) 248-4132.

Grants Management Contact: Ms. Tammy Bagley, Acting Director, Division of Grants Management, Indian Health Service, 801 Thompson Avenue, Suite TMP 360, Rockville, MD 20852. Telephone: (301) 443-5204, Fax (301) 443-9602. Use the same number for FTS.

Headquarters Office:

Grants Policy Office 801 Thompson Avenue, Suite TMP 360, Rockville, Maryland 20852 Email: grantspolicy@ihs.gov Phone: 301-443-5204

Website Address:

<http://www.ihs.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Selection criteria are introduction, current capacity and project objectives, approach and results and benefits, project evaluation, organization capabilities and qualifications, and budget. Consideration will be given to applicants: (1) Proposing to provide services to large regions consisting of more than a single IHS administrative area; and (2) demonstrating evidence of past and current epidemiological activities.

93.232 LOAN REPAYMENT PROGRAM FOR GENERAL RESEARCH

(NIH General Research Loan Repayment Program; GR-LRP)

FEDERAL AGENCY:

National Institutes of Health, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Title IV, Part VI, Section 487C, Public Law 100-43, 42 U.S.C 288-3.

OBJECTIVES:

To recruit and retain health professionals performing research in fields required by the NIH to carry out its mission by providing educational loan repayment for participants with substantial amounts of educational debt relative to income, who agree by written contract to engage in laboratory or clinical research as employees of the NIH for a minimum 3-year period.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

To provide repayment of extant educational loans incurred by health professionals engaged, as employees of the NIH, in laboratory or clinical research. Recipients must agree by written contract to engage in such research, initially, for a minimum of 3 years; individuals who have conflicting service obligations may not participate in this program until those obligations are satisfied or have been deferred during the period of program service. Continuation contracts for 1-year terms are available, dependent upon the level of debt and continued involvement in research that supports the research and institutional needs and the mission of the NIH. Maximum program benefit is \$35,000 per year in loan repayments and \$13,650 per year in Federal tax reimbursements. Recipients must have qualified educational debt in excess of 20 percent of their annual salary, which is referred to as their "debt threshold."

Applicant Eligibility:

Eligible applicants must: (1) Be a citizen, national, or permanent resident of the United States; (2) possess a M.D., Ph.D., D.O., D.D.S., D.M.D., D.V.M., D.P.M., A.D.N., B.S.N., or equivalent degree, or hold the position of Physician Assistant; (3) have qualified educational debt, which results from governmental or commercial loans obtained to support their undergraduate and/or graduate education, in excess of 20 percent of their annual NIH salary on the program eligibility date; (4) be appointed to the NIH Intramural Research Program under any temporary or permanent employment mechanism, so long as the employment has the potential to last a minimum of three years; (5) not be under any existing service obligation to Federal, State, or other entities, until such obligation is discharged or unless it is deferred during the period of program service; (6) submit an application to participate in the Loan Repayment Program for General Research; and (7) sign and submit to the Secretary of Health and Human Services, at the time of application submission, a contract agreeing to engage in research as an employee of the NIH for a minimum of 3 years.

Beneficiary Eligibility:

NIH researchers who possess substantial unpaid educational debt relative to income will benefit from this program.

Credentials/Documentation:

Applicants must submit documentation of the following, as appropriate: (1) Copies of loan applications and agreements from government or commercial educational loans which are being submitted for repayment; (2) copies of the standard student budget from each school attended during the period in which the debt was incurred; and (3) other documentation as may be required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Applicants must access and submit the Loan Repayment Program application electronically via the website www.lrp.nih.gov; seek qualified employment with a sponsoring Institute or Center (IC) of the NIH. Once an application has been submitted, the Loan Repayment Committee reviews it at a regularly scheduled review meeting and a determination of loan repayment recipients is made.

Award Procedure:

The NIH Loan Repayment Committee (LRC) will review, rank, and approve or disapprove applications recommended for program participation by each Institute or Center (IC). Applications approved for participation by the LRC will be notified by the Program Director. The Secretary, or his designee, will

sign the service contract of program participants, provide a copy to the participant, and notice of the amount of approved loan repayment.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. The approximate time for approval/disapproval is 3 months.

Appeals:

From 90 to 120 days. Employees of the NIH who are not approved as a result of this process may ask for reconsideration through the standard appeal procedures available to NIH employees.

Renewals:

From 90 to 120 days. At the conclusion of the initial 3-year contract, participants may apply and be considered for subsequent 1-year continuation contracts under the application and approval procedures specified above.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Assistance is available for a minimum 3-year period. One-year continuation contracts are available for individuals whose educational debt exceeds the maximum repayable amount for 3 years. Payments will be made directly to lenders, following each quarter of the participant's satisfactory service, unless otherwise agreed upon by the participant and Secretary of Health and Human Services. Method of awarding/releasing assistance: quarterly.

Reports:

Program reports are not applicable. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. Records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO). In accordance with 45 Code of Federal Regulations, Part 74.26, for-profit (commercial) organizations are subject to audit requirements for a non-Federal audit if, during its fiscal year, it expended \$500,000 or more under HHS awards and at least one award is a HHS grant or subgrant. The regulation incorporates the thresholds and deadlines of OMB Circular No. A-133, but provides for profit organizations with two options for the type of audit that will satisfy the audit requirement: 1. a financial related audit of the HHS awards in accordance with Government Auditing Standards, or 2. an audit that meets the requirements of OMB Circular No. A-133. In accordance with NIH grants policy, Foreign grantees are subject to the same audit requirements as for-profit (commercial) organizations.

Records:

The NIH will maintain applicant records for 3 years after rejection and participant records for 6 years after completion of final service obligation. Grantees generally must retain financial and programmatic records, supporting documents, statistical records, and all other records that are required by the terms of a grant, or may reasonably be considered pertinent to a grant, for a period of 3 years from the date the annual FSR is submitted. For awards under SNAP (other than those to foreign organizations and Federal institutions), the 3-year retention period will be calculated from the date the FSR for the entire competitive segment is submitted. Those grantees must retain the records pertinent to the entire competitive segment for 3 years from the date the FSR is submitted to NIH. Foreign organizations and Federal institutions must retain records for 3 years from the date of submission of the annual FSR to NIH. See 45 CFR 74.53 and 92.42 for exceptions and qualifications to the 3-year retention requirement (e.g., if any litigation, claim, financial management review, or audit is started before the expiration of the 3-year period, the records must be retained until all litigation, claims, or audit findings involving the records have been resolved and final action taken). Those sections also specify

the retention period for other types of grant-related records, including F&A cost proposals and property records. See 45 CFR 74.48 and 92.36 for record retention and access requirements for contracts under grants. In accordance with 45 Code of Federal Regulations, Part 74.53(e), the HHS Inspector General, the U.S. Comptroller General, or any of their duly authorized representatives have the right of timely and unrestricted access to any books, documents, papers, or other records of recipients that are pertinent to awards in order to make audits, examinations, excerpts, transcripts, and copies of such documents.

This right also includes timely and reasonable access to a recipients personnel for the purpose of interview and discussion related to such documents. The rights of access are not limited to the required retention period, but shall last as long as records are retained.

Account Identification:

75-6846-0-1-552.

Obligations:

(Project Grants) FY 12 \$4,088,659; FY 13 est \$3,001,330; and FY 14 est \$4,089,000

Range and Average of Financial Assistance:

(Loan Repayment) For initial 3-year contracts, loan repayment awards may range from \$6,000 to \$105,000; Tax reimbursements range from \$2,966 to \$51,896. The average contract cost which includes loan and tax reimbursement is \$102,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: In Fiscal Year 2012, NIH made 77 awards. Fiscal Year 2013: In Fiscal Year 2013, 60 General ILRP awards were granted. Fiscal Year 2014: In 2014, it is projected that 77 awards will be provided.

REGULATIONS, GUIDELINES, AND LITERATURE:

Pertinent information is contained in Public Law 103-43, Section 1631; Section 487C of the Public Health Service Act (42 U.S.C. 288-3); Public Law 105-392; and Federal Register, Volume 59, No. 201, October 19, 1994. Notice of Proposed Rulemaking is pending.

Regional or Local Office:

None.

Headquarters Office:

Patricia A. Cole, PhD Office of Intramural Training and Education
National Institutes of Health
U.S. Department of Health and Human Services
Building 2, Room 2E18
2 Center Drive
, Bethesda, Maryland 20892-0230 Email: colep@mail.nih.gov Phone:
3014021283 Fax: 3014802942

Website Address:

www.lrp.nih.gov.

RELATED PROGRAMS:

93.162 National Health Service Corps Loan Repayment Program; 93.164 Indian Health Service Educational Loan Repayment; 93.220 Clinical Research Loan Repayment Program for Individuals from Disadvantaged Backgrounds; 93.364 Nursing Student Loans; 93.936 National Institutes of Health Acquired Immunodeficiency Syndrome Research Loan Repayment Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Applicants are approved for participation in the NIH General Research Loan Repayment Program after the LRC determines that the applicant will conduct qualified research and has qualifying educational debt. LRC approval, in part, is based upon the scientific merit of the research, its priority to the research institutes, and the credentials of the applicant.

**93.233 NATIONAL CENTER ON SLEEP DISORDERS RESEARCH
FEDERAL AGENCY:**

National Institutes of Health, Department of Health and Human Services

AUTHORIZATION:

NIH Reauthorization Act of 1993, Public Health Service Act, Section 503: Subpart 2, Part C, Title IV, Section 424, 42 U.S.C. 285b et seq.

OBJECTIVES:

The National Center on Sleep Disorders Research (NCSDR) supports research and research training related to sleep disordered breathing, and the fundamental functions of sleep and circadian rhythms. The center also stewards several forums that facilitate the coordination of sleep research across NIH, other federal agencies and outside organizations, including the Sleep Disorders Research Advisory Board and a Trans-NIH Sleep Research Coordinating Committee. The center also participates in the translation of new sleep research findings for dissemination to health care professionals and the public. Small Business innovation Research (SBIR) program: to stimulate technological innovation; to increase private sector commercialization of innovations derived from Federal research and development; to increase small business participation in Federal research and development; and to foster and encourage participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation. Small Business Technology Transfer (STTR) program: To stimulate and foster scientific and technological innovation through cooperative research and development carried out between small business concerns and research institutions; to foster technology transfer between small business concerns and research institutions; to increase private sector commercialization of innovations derived from Federal research and development; and to foster and encourage participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grants may support salaries, equipment, supplies, travel, and patient hospitalization as required to perform the research effort. Restrictions or limitations are imposed against the use of funds for entertainment, foreign travel, general-purpose equipment, alterations and renovations, and other items not regularly required for the performance of research. National Research Service Awards (NRSAs) are made directly to individuals for research training in specified biomedical shortage areas. Grants may be made to institutions to enable them to make NRSAs to individuals selected by them. Certain service and payback provisions apply to individuals upon termination of the award. SBIR Phase I grants (of approximately 6-months' duration) are to establish the technical merit and feasibility of a proposed research effort that may lead to a commercial product or process. Phase II grants are for the continuation of the research initiated in Phase I and which are likely to result in commercial products or processes. Only Phase I awardees are eligible to apply for Phase II support. STTR Phase I grants (normally of 1-year duration) are to determine the scientific, technical, and commercial merit and feasibility of the proposed cooperative effort that has potential for commercial application. Phase II funding is based on results of research initiated in Phase I and scientific and technical merit and commercial potential on Phase II application. . Fast-Track is an option whereby Phase I and Phase II SBIR or STTR projects are submitted and reviewed concurrently with the aim of reducing or eliminating the funding gap between Phase I and Phase II. While the intent of the STIR/STTR programs is commercialization (Phase III), no SBIR/STTR funds are allowed for commercialization activities such as patents; market and sales; market research; business development/product development/market plans; legal fees, travel and other costs including labor relating to license agreements and partnerships.

Applicant Eligibility:

Any nonprofit organization engaged in biomedical research, as well as institutions or companies organized for profit, may apply for grants with the exception of NRSAs. An individual may apply for a NRSA or, in some cases, may qualify for a research grant if adequate facilities in which to perform the research are available. SBIR grants can be awarded only to domestic small businesses (entities that are independently owned and operated for profit, are not dominant in the field in which research is proposed and have no more than 500 employees). Primary employment (more than one-half time) of the principal investigator must be with the small business at the time of award and during the conduct of the proposed project. In both Phase I and Phase II, the

research must be performed in the U.S. and its possessions. To be eligible for funding, a grant application must be approved for scientific merit and program relevance by a scientific review group and a national advisory council. SBIR projects must be performed at least 67% by the applicant small business in Phase I and at least 50% of the Project in Phase II. STTR grants can be awarded only to domestic small business concerns (entities that are independently owned and operated for profit, are not dominant in the field in which research is proposed and have no more than 500 employees) which "partner" with a research institution in cooperative research and development. At least 40 percent of the project is to be performed by the small business concern and at least 30 percent by the research institution. In both Phase I and Phase II, the research must be performed in the U.S. and its possessions. To be eligible for funding, a grant application must be approved for scientific merit and program relevance by a scientific review group and a national advisory council.

Beneficiary Eligibility:

Any nonprofit or for-profit organization, company or institution engaged in biomedical research.

Credentials/Documentation:

Applicants for individual National Research Service Awards must be citizens of the United States or have been admitted for permanent residency; must hold a doctoral degree (M.D., Ph.D., D.D.S., D.O., D.V.M., Sc.D., D. Eng., or equivalent domestic or foreign degree); and must designate a desire for training in one of the health or health-related areas specified by the National Institutes of Health. Each applicant must be sponsored by an accredited public or private nonprofit institution engaged in such training. For-profit organizations' costs are determined in accordance with Subpart 31.2 of the Federal Acquisition Regulations. For other grantees, costs will be determined in accordance with HHS regulations 45 CFR, Part 74, Subpart Q. For SBIR and STTR grants, applicant organization (small business concern) must present in a research plan an idea that has potential for commercialization and furnish evidence that scientific competence, experimental methods, facilities, equipment, and funds requested are appropriate to carry out the plan. SBIR and STTR applicants must use the SF424 Research and Related (R&R) application for electronic submission through grants.gov. Electronic submission of SNAP Progress Reports (eSNAP) apply for non-competing continuations (e.g., second year of Phase II). OMB Circular No. A-87 applies to this program. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Grant applications are submitted electronically. Visit the following link to obtain application information and instructions (<http://grants1.nih.gov/grants/funding/424/index.htm>)

Award Procedure:

All accepted applications are evaluated by an appropriate initial review group (study section). All grant applications receive a final secondary review by the National Heart, Lung, and Blood Advisory Council. Staff informs applicants of the results of the review. If support is contemplated, staff initiates preparation of awards for grants. All accepted SBIR/STTR applications are evaluated for scientific and technical merit by an appropriate scientific peer review panel and by a national advisory council or board. All applications receiving a priority score compete for available SBIR/STTR set-aside funds on the basis of scientific and technical merit and commercial potential of the proposed research, program relevance, and program balance among the areas of research.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

> 180 Days. Regular Grants: From 7 to 9 months. SBIR/STTR Grants: About 7-1/2 months.

Appeals:

A principal investigator (P.I.) may question the substantive or procedural aspects of the review of his/her application by communicating with the staff of the Institute. A description of the NIH Peer Review Appeal procedures is available in the NIH Guide at the following URL <http://grants.nih.gov/grants/guide/notice-files/NOT-OD-11-064.html>.

Renewals:

> 180 Days. Renewal applications are reviewed in the same manner as new applications.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. This program has no matching requirements.

This program does not have MOE requirements. This program has no matching requirements.

Length and Time Phasing of Assistance:

The Advisory Council may recommend funding for periods from 1 to 5 years. Funding commitments are made annually. SBIR: Normally, Phase I awards are for 6 months; normally, Phase II awards are for 2 years. STTR: Normally, Phase I awards are for 1 year; normally, Phase II awards are for 2 years. See the following for information on how assistance is awarded/released: The National Heart, Lung, and Blood Advisory Council may recommend funding for periods ranging from 1 to 5 years. Funding commitments are made annually. SBIR: Normally, Phase I awards are for 6 months; normally, Phase II awards are for 2 years. STTR: Normally, Phase I awards are for 1 year; normally, Phase II awards are for 2 years. Award length may vary depending on the recommendation of the scientific review group, the national advisory council, successful annual performance, and availability of funds. A formal notification in the form of a Notice of Award (NoA) will be provided to the applicant organization.

Reports:

No program reports are required. No cash reports are required. Annual reports on progress and expenditures. Final reports are required within 120 days of termination. Reports are required after termination of National Research Service Awards to ascertain compliance with the service and payback provisions. Annual reports on progress and expenditures. Final reports are required within 120 days of termination. Reports are required after termination of National Research Service Awards to ascertain compliance with the service and payback provisions. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133, but records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO). In accordance with 45 Code of Federal Regulations, Part 74.26, for-profit (commercial) organizations are subject to audit requirements for a non-Federal audit if, during its fiscal year, it expended \$500,000 or more under HHS awards and at least one award is a HHS grant or subgrant. The regulation incorporates the thresholds and deadlines of OMB Circular No. A-133, but provides for profit organizations with two options for the type of audit that will satisfy the audit requirement: 1.a financial related audit of the HHS awards in accordance with Government Auditing Standards, or 2.an audit that meets the requirements of OMB Circular No. A-133. In accordance with NIH grants policy, Foreign grantees are subject to the same audit requirements as for-profit (commercial) organizations.

Records:

Grantees generally must retain financial and programmatic records, supporting documents, statistical records, and all other records that are required by the terms of a grant, or may reasonably be considered pertinent to a grant, for a period of 3 years from the date the annual FSR is submitted. For awards under SNAP (other than those to foreign organizations and Federal institutions), the 3-year retention period will be calculated from the date the FSR for the entire

competitive segment is submitted. Those grantees must retain the records pertinent to the entire competitive segment for 3 years from the date the FSR is submitted to NIH. Foreign organizations and Federal institutions must retain records for 3 years from the date of submission of the annual FSR to NIH. See 45 CFR 74.53 and 92.42 for exceptions and qualifications to the 3-year retention requirement (e.g., if any litigation, claim, financial management review, or audit is started before the expiration of the 3-year period, the records must be retained until all litigation, claims, or audit findings involving the records have been resolved and final action taken). Those sections also specify the retention period for other types of grant-related records, including F&A cost proposals and property records. See 45 CFR 74.48 and 92.36 for record retention and access requirements for contracts under grants.

In accordance with 45 Code of Federal Regulations, Part 74.53(e), the HHS Inspector General, the U.S. Comptroller General, or any of their duly authorized representatives have the right of timely and unrestricted access to any books, documents, papers, or other records of recipients that are pertinent to awards in order to make audits, examinations, excerpts, transcripts, and copies of such documents. This right also includes timely and reasonable access to a recipients personnel for the purpose of interview and discussion related to such documents. The rights of access are not limited to the required retention period, but shall last as long as records are retained.

Account Identification:

75-0872-0-1-552.

Obligations:

(Project Grants) FY 12 \$61,185,378; FY 13 est \$61,185,000; and FY 14 est \$61,185,000 - In fiscal year 2012, 125 research grants and National Research Service Awards were made. The estimates of fiscal year 2013 are 116 research grants and 9 National Research Service awards. The estimates for fiscal year 2014 are 116 research grants and 9 National Research Service Awards. In fiscal year 2012, for new and competing renewal awards: 191 grant applications were received, and of these, 29 were awarded; 12 National Research Service Award applications were received, and of these, 3 were awarded. Small Business innovation Research Awards/Small Technology Transfer Research Awards: In fiscal year 2012, 1 Phase I awards, and 3 Phase II awards were made.

Range and Average of Financial Assistance:

Grants: \$30,203 to \$2,383,073; \$489,483. SBIR Phase I - \$150,000; Phase II - up to \$1,000,000; STTR Phase I - \$100,000; Phase II - \$750,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None. Since Sleep Disorders Research Program is now integrated into the Cardiovascular, Lung and Blood Diseases Research Programs, all funding related to sleep research have already been reported under the respective programs.

Headquarters Office:

Dana A. Phares 6701 Rockledge Drive, Room 7176, Bethesda, Maryland 20892
Email: pharesda@nhlbi.nih.gov Phone: 301-435-0314

Website Address:

<http://www.nhlbi.nih.gov/sleep>.

RELATED PROGRAMS:

93.837 Cardiovascular Diseases Research; 93.838 Lung Diseases Research; 93.839 Blood Diseases and Resources Research

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The major elements in evaluating proposals include assessments of: (1) Significance: Does this study address an important problem? If the aims of the application are achieved, how will scientific knowledge or clinical practice be advanced? What will be the effect of these studies on the concepts, methods, technologies, treatments, services, or preventative interventions that drive this field? (2) Approach: Are the conceptual or clinical framework, design,

methods, and analyses adequately developed, well integrated, well reasoned, and appropriate to the aims of the project? Does the applicant acknowledge potential problem areas and consider alternative tactics? (3) Innovation: Is the project original and innovative? For example: Does the project challenge existing paradigms or clinical practice; address an innovative hypothesis or critical barrier to progress in the field? Does the project develop or employ novel concepts, approaches, methodologies, tools, or technologies for this area? (4) Investigators: Are the investigators appropriately trained and well suited to carry out this work? Is the work proposed appropriate to the experience level of the principal investigator and other researchers? Does the investigative team bring complementary and integrated expertise to the project (if applicable)? (5) Environment: Does the scientific environment in which the work will be done contribute to the probability of success? Do the proposed studies benefit from unique features of the scientific environment, or subject populations, or employ useful collaborative arrangements? Is there evidence of institutional support?

Complete review criteria can be found at

<http://grants.nih.gov/grants/guide/notice-files/NOT-OD-05-002.html>. The following criteria will be used in considering the scientific and technical merit of SBIR/STTR Phase I grant applications: (1) The soundness and technical merit of the proposed approach; (2) the qualifications of the proposed principal investigator, supporting staff, and consultants; (3) the technological innovation of the proposed research; (4) the potential of the proposed research for commercial application; (5) the appropriateness of the budget requested; (6) the adequacy and suitability of the facilities and research environment; and (7) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment. Phase II grant applications will be reviewed based upon the following criteria: (1) The degree to which the Phase I objectives were met and feasibility demonstrated; (2) the scientific and technical merit of the proposed approach for achieving the Phase II objectives; (3) the qualifications of the proposed principal investigator, supporting staff, and consultants; (4) the technological innovation, originality, or societal importance of the proposed research; (5) the potential of the proposed research for commercial application; (6) the reasonableness of the budget requested for the work proposed; (7) the adequacy and suitability of the facilities and research environment; and (8) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment. Complete SBIR review criteria can be found at http://grants1.nih.gov/grants/funding/sbirsttr_ReviewCriteria.htm.

93.234 TRAUMATIC BRAIN INJURY STATE DEMONSTRATION GRANT PROGRAM

TBI

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Title XII, Section 1252 (42 USC 300d-52) as amended by the Childrens Health Act of 2000, sec.1304, PL 106-310, as further amended by the Traumatic Brain Injury Act of 2008, sec. 6(a), PL 110-206.

OBJECTIVES:

To improve access to health and other services for individuals with Traumatic Brain Injury (TBI) and their families with an emphasis on early diagnosis and intervention, and access to medical home and system of care.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Project grants to States to improve access to health and other Traumatic Brain Injury (TBI)-related services for people of all ages are established within HRSA. Any State seeking TBI grant funds is required to agree to establish or have an advisory board within the appropriate health department of the State or within another department as designated by the Chief Executive Officer of the State. The Board's composition as specified must include representatives of the involved State agencies; public and nonprofit private health related

organizations; disability advisory or planning groups; members of an organization or foundation representing individuals with TBI; State and local injury control programs if they exist, and a substantial number of individuals with TBI and/or their family members. The grants are called "Implementation Partnership Grants." These grants are to be used in building a statewide system to assure access to comprehensive and coordinated TBI services. Grants can address a wide range of activities and should reflect gaps or needed system change identified through the statewide TBI needs assessment.

Applicant Eligibility:

State, Territorial governments, and Federally recognized Indian Tribal government and Native American organizations are eligible to apply for funding under the TBI grant program. The application for Implementation Partnership funds may only come from the State agency designated as the lead for TBI services. The State maternal and child health program is expected to be involved in the program. Only one application from each State may enter the review process and be considered for an award under this program.

Beneficiary Eligibility:

Individuals with TBI and their families, including those in high risk groups, such as children and youth, the elderly, Native Americans and Alaska natives, military service members and veterans.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. HRSA requires all applicants to apply electronically through Grants.gov.

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Award Procedure:

Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Final decisions are made approximately 3 to 4 months after receipt of applications.

Appeals:

Not Applicable.

Renewals:

No renewals; grants are approved for up to four years for Implementation Grants.

Formula and Matching Requirements:

This program has no statutory formula.
Matching Requirements: Percent: 50%. States must make available nonfederal cash or in-kind matching funds in an amount that is not less than \$1 for each \$2 of Federal funds provided under the grant.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Awards are made annually in accordance with the project period method of

awarding grants. Payments are made through a Letter of Credit or Cash Demand System. Project periods are for 1, 2, 3, or 4 years. See the following for information on how assistance is awarded/released: Grantees drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

Annual Reports are required. Federal financial report cash transaction report. Refer to the funding opportunity announcement. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records for 4 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit, or other action involving the award has been started before the expiration of the 4-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 4-year period, whichever is later.

Account Identification:

75-0354-0-1-550.

Obligations:

(Project Grants) FY 12 \$5,265,048; FY 13 est \$5,182,391; and FY 14 est \$5,265,048

Range and Average of Financial Assistance:

\$100,000 to \$250,000; average \$249,911.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: No Current Data Available. Fiscal Year 2013: No Current Data Available. Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

Regional or Local Office:

See Regional Agency Offices. LCDR Donelle McKenna, Project Director, Division of Services for Children with Special Health Care Needs, Maternal and Child Health Bureau, Health Resources and Services Administration, Department of Health and Human Services, Room 13-61, 5600 Fishers Lane, Rockville, MD 20857. Telephone: (301) 443-9280, E-mail: DMcKenna@hrsa.gov.

Headquarters Office:

LCDR Donelle McKenna Room 13-61, 5600 Fishers Lane, Rockville, Maryland 20857 Email: DMcKenna@hrsa.gov Phone: (301) 443-9280

Website Address:

www.hrsa.gov.

RELATED PROGRAMS:

93.110 Maternal and Child Health Federal Consolidated Programs; 93.127 Emergency Medical Services for Children

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications are reviewed by nonfederal consultants who make recommendations to the Secretary of the Department. The Secretary will approve projects which best promote the purposes of TBI grants. Review criteria: The following review criteria apply to the State implementation grant: (1) the adequacy of the State's proposed method for linking its Statewide TBI

Action Plan to the findings of the statewide needs assessment; (2) the extent to which the proposal reflects the involvement of necessary public/private organizations and agencies to assure a comprehensive approach and the necessary support to aid sustainability (3) the qualifications, experience, and commitment to the program as indicated by time that will be devoted to the program, of the designated lead person for TBI within the State; (4) the extent to which the proposed plan addresses those groups within the State that are at highest risk of sustaining a TBI, including, these groups, identified by the CDC: Children from 0-4, Adolescents from 15-19, the elderly, Native Americans, and other underserved populations. In addition, there should be a plan to assist veterans and service members and their families who request assistance. (5) reasonableness of the proposed budget, and effectiveness of the proposed plan to accomplish the goals and objectives the State has for its TBI program.

93.235 AFFORDABLE CARE ACT (ACA) ABSTINENCE EDUCATION PROGRAM

Abstinence Education Program

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Patient Protection and Affordable Care Act of 2010, Title II Role Of Public Programs, Subtitle L Maternal and Child Services

The Patient Protection and Affordable Care Act, Title II Role of Public Programs, Subtitle L, Maternal and Child Health Service, Title V, Section 2954, Public Law 111-148, 42 U.S.C. 713(c)(1), Title V, Section 2954, Public Law 111-148, 42 U.S.C 710.

OBJECTIVES:

To enable States to provide abstinence education, and at the option of the State, where appropriate, mentoring, counseling, and adult supervision to promote abstinence from sexual activity, with a focus on those groups which are most likely to bear children out-of-wedlock. The Affordable Health Care Act (ACA) appropriated funding for this program through FY 2014.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

The purpose of an allotment under subsection (a) to a State is to enable the State to provide abstinence education, and at the option of the State, where appropriate, mentoring, counseling, and adult supervision to promote abstinence from sexual activity, with a focus on those groups which are most likely to bear children out-of-wedlock.

Pursuant to Section 510(b)(2) of Title V of the Social Security Act, the term "abstinence education," for purposes of this program means an educational or motivational program that:

- (A) Has as its exclusive purpose, teaching the social, psychological, and health gains to be realized by abstaining from sexual activity;
- (B) Teaches abstinence from sexual activity outside marriage as the expected standard for all school age children;
- (C) Teaches that abstinence from sexual activity is the only certain way to avoid out-of-wedlock pregnancy, sexually transmitted diseases, and other associated health problems;
- (D) Teaches that a mutually faithful monogamous relationship in the context of marriage is the expected standard of human sexual activity;
- (E) Teaches that sexual activity outside of the context of marriage is likely to have harmful psychological and physical effects;
- (F) Teaches that bearing children out-of-wedlock is likely to have harmful consequences for the child, the child's parents, and society;
- (G) Teaches young people how to reject sexual advances and how alcohol and drug use increases vulnerability to sexual advances; and
- (H) Teaches the importance of attaining self-sufficiency before engaging in sexual activity.

Applicant Eligibility:

Funds are allotted to 59 States and Territories to enable them to carry out abstinence education programs. Eligible applicants include the 50 United

States, the District of Columbia, Puerto Rico, Virgin Islands, Guam, American Samoa, Northern Mariana Islands, Micronesia, the republic of the Marshall Islands, and the Republic of Palau.

Beneficiary Eligibility:

School-age girls and boys, adolescents, at-risk populations subject to out-of-wedlock births, parents, youth serving professionals and families.

Credentials/Documentation:

A signed letter from the authorized representative must accompany each application; it should include documentation establishing the authorized representative's authority to apply for and administer the Abstinence Education grant program funds on behalf of the State or Territory. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Application procedures will be given in the Funding Opportunity Announcement (FOA).

Award Procedure:

ACF recruits and trains Federal staff and contractors to review applications. Program administrators review, observe, and edit comments of reviewers to ensure they are in compliance with guidelines and Federal law. Applications are rated Approved, Approved with Conditions, or Approval Pending. States that submit applications that are Approved receive grant awards and do not need to send further information. States that submit applications that are Approved with Conditions" receive grant awards with descriptions of weaknesses and subsequent conditions that must be met in order to receive Approved status. The applicants are given a set time period to send to ACF a response that addresses the conditions. States that submit applications that are rated Approval Pending receive a list of weaknesses cited by the reviewer and directions for submitting an amended application that addresses the weaknesses. The State will not receive a grant award until the State submits a satisfactory application. The applicants are notified of a final due date for submission of a satisfactory application.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Not Applicable.

Renewals:

From 90 to 120 days.

Formula and Matching Requirements:

Statutory Formula: Title V.

Matching Requirements: The statutory formula for this program is based upon census data. Grants awarded to each State are determined by a formula using the State's proportion of low-income children compared to the total number of low-income children in the U.S. based on the most recent Census data for children in poverty. For each fiscal year, the estimated allotment for each State or Territory will be updated based on the most current available census data and will be communicated to States by August 15 of the preceding fiscal year. Census data are unavailable for the Federated States of Micronesia, the Republic of the Marshall Islands, and the Republic of Palau. Thus, the allocations for these three entities are based on the amounts allocated to them by HHS in prior fiscal years. There is a required match of 43 nonfederal dollars for every 100 federal dollars. For example, if a states federal award is \$100,000, the State must provide a match of \$75,250, which would represent 43% of the total project cost of \$175,000 (counting both federal grant and state match). The match can be reached by use of state dollars, local government dollars, and private dollars including foundation dollars, in-kind support, or any combination thereof.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

In accordance with Section 503 of the Social Security Act, Any amount payable to a State under this title from allotments for a fiscal year which remains unobligated at the end of such year shall remain available to such State for obligation during the next fiscal year. For example, funds appropriated and allocated to the States in FY 2010 (starting October 1, 2009) are available for obligation and expenditures through September 30, 2011. Two-year budget periods are contingent upon Congressional re-authorization or the continued extension of the authorization and funding of the program. See the following for information on how assistance is awarded/released: Draw downs are based upon need and project progress.

Reports:

Progress reports and financial expenditure reports are required. The SF-425 is required semi-annually. Specific guidance regarding data to be reported will given in the program announcement. Program guidelines allow States to expend abstinence education funds over a two-year period. An interim Financial Status Report (SF-425) is due semi-annually, and a final fiscal report is due 90 days after the end of the project period. Performance monitoring occurs by reviewing program progress reports, conducting monitoring phone calls and conducting monitoring site visits.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. As described in Title V, Section 506(b)(1) of the Social Security Act, each state shall, not less often than once every 2 years, audit its expenditures from amounts received under this title.

Records:

Records of the recipient shall be made available to the Secretary to secure an accurate description of the activities for which funds were spent in achieving the purposes of this section. States shall make available copies of reports and audits for public inspection within the State. Within 30 days following the completion of each audit report, the State shall submit a copy of that audit report to the Secretary.

Account Identification:

75-1512-0-1-506.

Obligations:

(Formula Grants) FY 12 \$38,917,481; FY 13 est \$47,450,000; and FY 14 est \$46,400,000 - All funds are available through the Affordable Care Act.

Estimated \$47,450,000 ACA funds will be obligated in FY13 due to 5.1% sequestration reduction of \$50,000,000 allocation.

Estimated \$46,400,000 ACA funds will be obligated in FY14 due to 7.2% sequestration reduction of \$50,000,000 allocation.

Range and Average of Financial Assistance:

Range: \$47,916-\$5,632,001 Average: \$804,237 (\$47,450,000 divided by 59 eligible States, Territories and the District of Columbia).

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: In FY 2012, 39 grants were awarded. Fiscal Year 2013: In FY 2013, it was anticipated that 59 grants would be awarded. There were 39 actual grant awards in FY2013. Fiscal Year 2014: In FY 2014, it is anticipated that 59 grants will be awarded.

REGULATIONS, GUIDELINES, AND LITERATURE:

Contact the Family and Youth Services Bureau central office for available literature. You may also get information on the Family and Youth Services Bureau website at the following address: <http://www.acf.hhs.gov/programs/fysb>

Regional or Local Office:

None.

Headquarters Office:

Marc D. Clark 1250 Maryland Ave, SW 8th Floor, Washington, District of Columbia 20024 Email: marc.clark@acf.hhs.gov Phone: 202-205-8496 Fax: 202-205-9535

Website Address:

<http://www.acf.hhs.gov/programs/fysb>

RELATED PROGRAMS:

93.010 Community-Based Abstinence Education (CBAE) ; 93.092 Personal Responsibility Education Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Grantees implement a wide range of program types including: in-class abstinence education, after-school abstinence education, parent education, training for abstinence educators, mentoring, counseling, adult supervision, assemblies, community retreats, conferences and other activities. Fiscal Year 2013: Grantees implement a wide range of program types including: in-class abstinence education, after-school abstinence education, parent education, training for abstinence educators, mentoring, counseling, adult supervision, assemblies, community retreats, conferences and other activities. Fiscal Year 2014: Grantees implement a wide range of program types including: in-class abstinence education, after-school abstinence education, parent education, training for abstinence educators, mentoring, counseling, adult supervision, assemblies, community retreats, conferences and other activities.

CRITERIA FOR SELECTING PROPOSALS:

Applications are reviewed for addressing all criteria given in the funding opportunity announcement.

93.236 GRANTS TO STATES TO SUPPORT ORAL HEALTH WORKFORCE ACTIVITIES

Grants to States to Support Oral Health Workforce Activities and Grants for Residency Training in Dental Public Health

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Part D of Title III of the Public Health Service Act as amended, Subpart X, Primary Dental Programs, Section 340 G (42 U.S.C. 256g).

OBJECTIVES:

Grants to States to Support Oral Health Workforce Activities assists states to develop and implement innovative programs to address the dental workforce needs of designated dental health professional shortage areas.

Grants for Residency Training in Dental Public Health are no longer funded, but were supported under this CFDA and are now supported under Section 748 of the Patient Protection and Affordable Care Act of 2010.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grants are intended to assist activities in states and support projects that address the oral health workforce needs of underserved urban and rural populations. Grants funds may not be used to take the place of current funding for the activities. Grants may not be used for construction.

Applicant Eligibility:

Eligible applicants include Governor appointed, state government entities. In addition to U.S. states, eligible applicants include: District of Columbia, Guam, the Commonwealth of Puerto Rico, the Northern Mariana Islands, the Virgin Islands, American Samoa, and the Trust Territory of the Pacific Islands. Each state is limited to only one application, and must address one or more of thirteen activities to addressing oral health workforce needs listed in the authorizing statute and program guidance. Applicants must have significant experience with addressing oral health workforce issues in underserved populations. Federally Recognized Indian Tribal Government and Native American Organizations may apply if they are otherwise eligible.

Beneficiary Eligibility:

Beneficiaries include Governor appointed, State government entities.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement (FOA) issued under this CFDA program for any required proof or certifications which must be submitted prior to, or simultaneous with submission of an application package. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Grant applications and required forms for this program can be obtained from Grants.gov. Please visit the Grants.gov web site at www.grants.gov to both find and apply for all federal grant opportunities.

All qualified applications are forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Award Procedure:

Award notifications are made in writing (electronic) by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From four to six months after receipt of application.

Appeals:

Not Applicable.

Renewals:

At the end of the initial project period, competing continuation applications may be submitted for up to three years of support.

Formula and Matching Requirements:

This program has no statutory formula.
Matching Requirements: Percent: 40%. An entity that receives a grant under this program must contribute non-federal funds to activities carried out under this grant to an amount equal to at least 40 percent of the federal funding in support of the project. Matching funds may be a combination of in-kind contributions, fairly valued, and any other funding from State, local, community, or other organization sources.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Project periods for grants are three years with annual budget periods. See the following for information on how assistance is awarded/released: Grantees draw down funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

Program reports are required. The awardee will be required to submit annual performance and progress reports as well as status-federal financial reports (see below for general information on each required report). Cash reports are required. The awardee must submit a quarterly electronic Federal Financial Report (FFR) Cash Transaction Report via the Payment Management System within 30 days of the end of each calendar quarter. A Federal Financial Report (SF-425) is required within 90 days of the end of each budget period. A final report is due within 90 days after the project period ends. If applicable, the awardee must submit a Tangible Personal Property Report (SF-428) and any related forms within 90 days after the project period ends. New awards (Type 1) issued under this funding opportunity announcement are subject to the reporting requirements of the Federal Funding Accountability and Transparency Act (FFATA) of 2006 (Pub. L. 109282), as amended by section 6202 of Public Law 110252, and implemented by 2 CFR Part 170. Grant and cooperative

agreement recipients must report information for each first-tier subaward of \$25,000 or more in federal funds and executive total compensation for the recipients and subrecipients five most highly compensated executives as outlined in Appendix A to 2 CFR Part 170 (FFATA details are available online at <http://www.hrsa.gov/grants/ffata.html>). Competing continuation awardees, etc. may be subject to this requirement and will be so notified in the Notice of Award. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records three years after the date they submit the Federal Financial Review (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the three-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular three-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Project Grants) FY 12 \$11,779,090; FY 13 est \$12,000,000; and FY 14 est \$12,000,000

Range and Average of Financial Assistance:

FY 12: Range of awards: \$204,372 - \$700,000, average award amount: \$453,041.

FY 13: (estimate) Range of awards: \$212,337 - \$504,303, average award amount: \$430,352

FY 14: Range of awards: \$205,797 - \$500,000, average award amount: \$427,486.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices. Michele Junger, Public Health Analyst, Oral Health Branch, Division of Medicine and Dentistry, Bureau of Health Professions, Health Resources and Services Administration, Department of Health and Human Services, 5600 Fishers Lane, Room 9A-27, Rockville, MD 20857. Telephone: (301) 443-2564 and E-mail address: MJunger@hrsa.gov.

Headquarters Office:

Division of Medicine and Dentistry 5600 Fishers Lane, Room 9A-27, Rockville, Maryland 20857 Phone: (301) 443-6190 Fax: 301-443-8890

Website Address:

<http://www.hrsa.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Competing applications are reviewed by non-Federal expert consultant(s) for technical merit recommendations. Approval of an application will be based on an analysis of the factors set forth in the HRSA funding opportunity announcement (FOA). See the section on Application Procedure above to obtain a copy of the announcement.

93.237 SPECIAL DIABETES PROGRAM FOR INDIANS_DIABETES PREVENTION AND TREATMENT PROJECTS

(Indian Health)

FEDERAL AGENCY:

Indian Health Service, Department of Health and Human Services

AUTHORIZATION:

Balanced Budget Act of 1997, Public Law 105-33, 111 Stat. 574; Medicare Improvements for Patients and Providers Act of 2008, Public Law 110-275; Public Health Service Act, 42 U.S.C 330C(c)(2)(c); Medicare & Medicaid Extenders Act of 2010, Public Law 111-309.

OBJECTIVES:

To promote improved health care among American Indians/Alaska Natives through special diabetes prevention and treatment services with objectives and priorities determined at the local level. Extension of SDPI funds for FY 2010 and FY 2011 now includes a new grant application process that directs Community-Directed Diabetes Programs to identify and implement at least one Indian Health Diabetes Best Practice with defined goals, objectives and key measures based on community assessment and results of diabetes care and outcomes audit. The funding mechanism is a competitive grant program. An additional initiative located at CFDA #: 93-442 called the SDPI Diabetes Prevention and Healthy Heart Initiatives cooperative agreements transitions the SDPI Demonstration Projects activities to determine lessons learned, tools and resources and to plan for dissemination into American Indian and Alaska Native communities.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Public Law 111-309, Medicare & Medicaid Extenders Act of 2010 extends SDPI funding for (FY 2012-2013 to fund 1) competitive grant programs to implement primary, secondary, and tertiary diabetic prevention and treatment and related data collection and 2) competitive diabetes prevention and healthy heart initiative cooperative agreements to determine lessons learned, tools and resources from the previous demonstration projects and to create dissemination plans into American Indian and Alaska Native communities. The award amount will include both direct and indirect costs. Grant funds may not be used for any other purpose. Funding may not be used as matching funds for other Federal programs.

Applicant Eligibility:

The Public Health Service Act, as amended, states that the following groups are eligible to apply for grants: Indian Health Service (IHS) entities: Indian tribes or tribal organizations who operate an Indian Health program. This includes program under a contract, grant, cooperative agreement or compact with the IHS under the Indian Self-Determination Act; and Urban Indian organizations that operate an urban Indian Health program. This includes programs under a grant or contract with the IHS under Title V of the Indian Health Care Improvement Act.

Beneficiary Eligibility:

American Indians/Alaskan Natives will be the ultimate beneficiaries of the funded projects through either prevention or direct treatment services.

Credentials/Documentation:

Costs will be determined in accordance with Circular No. A-122, for nonprofit organizations or. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. The preferred method for receipt of applications is electronic submission through Grants.gov. However, should any technical problems arise regarding the submission, please contact Grants.gov Customer Support at 1-800-518-4726 or support@grants.gov. The Contact Center is open 24 hours a day, 7 days a week (except for Federal holidays). If you require additional assistance please contact Tammy Bagley, Grants Policy Officer at (301) 443-5204 at least fifteen days prior to the application deadline. To submit an application electronically, please use the <http://www.Grants.gov> apply site. Download a copy of the application package, on the Grants.gov website, complete it offline and then upload and submit the application via the Grants.gov site. You may not e-mail an electronic copy of a grant application to us. This program is subject to the provisions of either 45 CFR 92 or 45 CFR 74, depending upon the type of applicant organization. Grant applications will be reviewed under an Objective Review process. Applications will be ranked. Grants will be awarded based on the results of the Objective Review process.

Award Procedure:

After review and approval, a notice of this grant award is prepared and processed along with the appropriate notification to the public.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. Funds are expected to be awarded no later than 45 days after complete applications are received. Awards could be delayed for applications not meeting initial approval.

Appeals:

From 60 to 90 days.

Renewals:

The 1997 BBA Public Law 105-33 project period is currently up to 5 years with non-competitive renewals projected annually. The HR 4577 Public Law 106-554 project period is projected to be 3 years with non-competitive renewals projected annually. The SDPI Reauthorization of 2002 Public Law 107-360 project period is up to 5 years with non-competitive grants and competitive grants renewals projected annually. The 2008 Public Law 110-275 is for 2 years extension of SDPI funds for FY 2010 and FY 2011. The 2010 Public Law 111-309, Medicare and Medicaid Extenders Act extends funds for 2 years through FY 2013.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The Public Law 105-33 project was limited to 5 years (total) with annual budget periods. The Public Law 106-554 project was limited to 3 years (total) with annual budget periods. The Public Law 107-360 project is limited to 5 years (total) with annual budget periods. The Public Law 110-275 project is limited to 2 years with annual budget periods (FY 2010 and FY 2011). The Public Law 111-309 project is limited to 2 years with annual budget periods (FY 2012 and FY 2013). Method of awarding/releasing assistance: quarterly.

Reports:

Program reports are not applicable. Grantees will be required to submit quarterly Federal Financial Report (SF-425 or FFR) to the Division of Payment Management Branch. Progress reports are required annually, semi-annually or quarterly depending on the time lines set by the IHS program. A final progress report is due 90 days after the end of each budget period and at the end of the final project period. Grantees will be required to submit quarterly Federal Financial Report (SF-425 or FFR) to the Division of Payment Management Branch. IHS grants are monitored by the Division of Grants Management for financial compliance and by the IHS Program Staff for programmatic compliance. Semi-annual Progress and Annual Reports are required from grantees.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Financial records of the grant must be retained for 3 years after submission of the final expenditure report. If questions remain, such as those resulting from an audit, pertinent records must be kept until the matter is resolved. The Secretary, the Inspector General of the Department of Health and Human Services, and the Comptroller General of the United States or any of their authorized representatives shall have the right to access all records, reports, books, documents, papers, or other records of the grantee, contractor, or subcontractor, or of any entity pertinent to the DHHS grant in order to make audits, examinations, excerpts, and transcripts. In accordance with 45 CFR 92 or 45 CFR 74, Subpart D, as applicable, grantees are required to maintain grant records for 3 years after they submit their final expenditures report. If any litigation, claim, negotiation, audit or other action involving the records have been started before the end of the 3-year period, the records must be retained until completion of the action and resolution of all issues arising from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0390-0-1-551.

Obligations:

(Project Grants) FY 12 \$112,090,829; FY 13 est \$112,090,829; and FY 14 est \$112,090,829

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 92 and 45 CFR 74; HHS Grants Policy Statement (Rev.) January, 2007.

Regional or Local Office:

None. Program Contact: IHS Division of Diabetes Treatment and Prevention, 5300 Homestead Road NE, Albuquerque, NM 87110; e-mail: diabetesprogram@ihs.gov; telephone: 505-248-4182

Grants Management Contact: Ms. Tammy Bagley, Acting Director, Division of Grants Management, Indian Health Service, 801 Thompson Avenue, Suite TMP 360, Rockville, MD 20852. Telephone: (301) 443-5204, Fax (301) 443-9602. Use the same number for FTS.

Headquarters Office:

Grants Policy Office 801 Thompson Avenue, Suite TMP 360, Rockville, Maryland 20852 Email: grantspolicy@ihs.gov Phone: 301-443-5204

Website Address:

<http://www.ihs.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

For P.L. 110-275 funds (from FY 2010 & FY 2011) all grant programs reapply for new grants through competition.

93.239 POLICY RESEARCH AND EVALUATION GRANTS

FEDERAL AGENCY:

Office of the Secretary, Department of Health and Human Services

AUTHORIZATION:

Social Security Act, Section 1110, 42 U.S.C. 1310.

OBJECTIVES:

To support research that is relevant to policy development and evaluation of current and proposed programs of interest to the Secretary, the Administration, and the Congress. Priorities include: (1) Issues of long-term care, disability, and personal assistance services, including informal care giving; (2) health care delivery issues including health care financing; (3) welfare reform outcomes and policies affecting children and youth; (4) community development; (5) science policy development; and (6) the reduction of poverty.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds may not be used for construction or renovation of buildings. This program is subject to the provisions of 45 CFR 74, and 45 CFR 92 as well as OMB Circular No. A-102 (Administrative Guidelines for States and Local Governments), and OMB Circular A-110 (Administrative Guidelines for Institutions of Higher Education, Hospitals, and Other Nonprofit Organizations).

Applicant Eligibility:

Assistance may be provided to State and local governments, institutions of higher education, nonprofit organizations and individuals. Occasionally, awards are made to for-profit organizations when deemed by the Assistant Secretary to be consistent with the legislative intent and purpose of the program.

Beneficiary Eligibility:

Federal, State and local governments will benefit. (Note: Projects do not provide any direct assistance to individuals.

Credentials/Documentation:

Nonprofit organizations must provide evidence of tax-exempt status. When projects involve the collaborative efforts of more than one organization or require the use of services or facilities not under the direct control of the applicant, written assurances of specific support or agreements must be submitted by the affected parties. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applications are submitted in response to specific announcements published on Grants.Gov. All applications must be submitted using the Department of Health and Human Services Standard Form 424. Each announcement provides specific additional instructions regarding the contents of the narrative description of the project, budget justification and other required information.

Award Procedure:

Official notice of approved application is made through the issuance of a Notice of Grant Award or a Notice of Cooperative Agreement.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

None.

Renewals:

Extensions to the project period may be made if deemed appropriate by the Assistant Secretary for Planning and Evaluation and approved by the grants manager.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Percent: 10.%. Requires a minimum 10% non-federal match.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grants and cooperative agreements are generally funded on a 12-month basis. Support beyond the first year is contingent upon acceptable evidence of satisfactory progress, continuing program relevance, and the availability of funds. See the following for information on how assistance is awarded/released: on demand.

Reports:

No program reports are required. Annual FSR, quarterly and annual reports describing progress, delays and next steps. No expenditure reports are required. Program Official reviews quarterly and annual reports to ensure adequate performance.

Audits:

No audits are required for this program.

Records:

In accordance with 45 CFR Part 74.53 and 45 CFR Part 92, grantees are to maintain accounting records for a minimum of 3 years after the end of a budget period. If any litigation, claim, negotiation, audit or other action involving the records has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0120-0-1-551.

Obligations:

(Salaries) FY 12 Not Available; FY 13 est \$2,900,000; and FY 14 est \$2,900,000

Range and Average of Financial Assistance:

2 awards of \$800,000 each; one award of \$1,300,000 - average award \$966,700.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Donald T. Oellerich 200 Independence Ave., Room 405F, Washington, District of Columbia 20201 Email: don.oellerich@hhs.gov Phone: 2026908410 Fax: 2026906562

Website Address:

<http://aspe.hhs.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The rating criteria and the weight attached to each criterion is included in the Federal Register announcement that requests project proposals. The criteria typically include such concerns as the significance and usefulness of the project; the appropriateness of the intervention/measurement methodology and design; the qualifications of personnel and the sponsoring organization; and the likelihood that the work plan and budget will produce the intended results.

93.240 STATE CAPACITY BUILDING

(Site Specific Activities Cooperative Agreement Program)

FEDERAL AGENCY:

Agency for Toxic Substances and Disease Registry, Department of Health and Human Services

AUTHORIZATION:

Comprehensive Environmental Response, Compensation, and Liability Act (CERCLA) of 1980, Sections 104(i)(1)(E), (4), (6), (7), (9), (14) and (15), as

amended; Superfund Amendments and Reauthorization Act of 1986, 42 U.S.C. 9604; Resource Conservation and Recovery Act, Section 3109(b) and (c), as amended; Hazardous and Solid Waste Amendments of 1984, 42 U.S.C. 6939 (b) and (c), 42 U.S.C 6939 (b) and(c).

OBJECTIVES:

To fulfill the mandated objectives of the Comprehensive Environmental Response, Compensation, and Liability Act of 1980 (CERCLA) and the Superfund Amendments and Reauthorization Act (SARA) of 1986, as amended, in coordination with Agency for Toxic Substances and Disease Registry (ATSDR), by assisting public health agencies to build capacity to conduct (1) Health consultations, (2) public health assessments, (3) exposure investigations, (4) community involvement, (5) health education, and (6) public health studies.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

The uses are to strengthen State health agency environmental health programs. Services include public health assessments, consultations, exposure investigations, health education, and follow-up health investigations/studies. Funds may be expended for reasonable program purposes, such as personnel, travel, supplies and services. Funds for contractual services may be requested. However, the awardee, as the direct and primary recipient of PHS grant funds, must perform a substantive role in carrying out project activities and not merely serve as a conduit for an award to another party or provide funds to an ineligible party. Equipment may be purchased with cooperative agreement funds; however, the equipment must meet applicable Federal requirements. These funds may not be used by the recipient to conduct activities at any Federal site where the State is a party to litigation at the site.

Applicant Eligibility:

Eligible applicants are the official public health agencies of States or their bona fide agents or instrumentalities, to include the District of Columbia, American Samoa, the Commonwealth of Puerto Rico, the Virgin Islands, the Federated States of Micronesia, Guam, the Northern Marina Islands, the Republic of the Marshall Islands, and the Republic of Palau, and the Federally- recognized Indian tribal governments.

Beneficiary Eligibility:

Beneficiaries are individuals and/or families living in communities near or in proximity of Superfund sites.

Credentials/Documentation:

. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Applicants must use application Form PHS 5161-1. Application packets are available from: Grants Management Branch, Procurement and Grants Office, Centers for Disease Control and Prevention, 2920 Brandywine Rd., Room 3000, Mailstop K-75, Atlanta, GA 30341. By formal agreement, the CDC Procurement and Grants Office will act for and on behalf of ATSDR on this matter.

Award Procedure:

The Assistant Administrator, ATSDR, determines applications to be approved and the priorities for funding. When an application is approved for funding, the Grants Management Officer, CDC, acting as the agent for ATSDR, will prepare a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application

deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. Approximately 60 to 90 days.

Appeals:

Other - Not Specified.

Renewals:

From 90 to 120 days. Awards are made for project periods from 1 to 5 years. Renewal awards cannot be made beyond the project period without competition.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The annual awards are for a 12 month budget period within a 3 to 5 year project period. Noncompetitive continuation awards within the project period are made on the basis of satisfactory progress and availability of funds. Method of awarding/releasing assistance: lump sum.

Reports:

Refer to the Funding Opportunity for guidance. Cash reports are not applicable. Refer to the Funding Opportunity for guidance. Refer to the Funding Opportunity for guidance. Refer to the Funding Opportunity for guidance.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Detailed and accurate records of travel expenditures, personnel hours and all other costs will be retained for at least 10 years in accordance with EPS's "Superfund Financial Management and Recordkeeping Guidance for Federal Agencies". Such documents may be required to provide the basis of cost recovery actions or other litigation. Additionally, this documentation must be available for audit or verification upon request of the office of Inspector General.

Account Identification:

75-8252-0-1-551.

Obligations:

(Salaries) FY 12 \$10,590,039; FY 13 est \$9,916,349; and FY 14 est \$9,916,349

Range and Average of Financial Assistance:

\$150,000 to \$350,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Caroline Gardner, 4770 Buford Hwy, NE, MS F61, , Atlanta, Georgia 30341-3717 Email: ctg8@cdc.gov Phone: (770) 488-0572.

Website Address:

<http://www.atsdr.cdc.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications for core activities only were reviewed and evaluated based on the following criteria: (1) Proposed Program: (a) Merit scientific and technical merit of the proposed project to perform public health assessments, consultations, exposure investigations, health education and public health studies consistent with ATSDR guidance and in a timely manner. Applicant's ability to evaluate the public health impact of hazardous waste sites using health, environmental, and demographic data, and health-related concerns from the local community. (b) Requirements Applicant's understanding of the requirements, objectives, and complexities of the interactions required for a successful program. (c) Collaboration Applicant's plan to collaborate with political and private subdivisions of Federal, State, and local health and environmental agencies and community groups to obtain information needed for evaluating the public health impact of hazardous waste sites, disseminate results of findings, and prevent exposure if identified. (2) Program Personnel: The principal investigator or project director and his/her ability to devote time and effort to provide effective leadership, and the qualifications of the support staff. (3) Applicant Capability: Adequacy and commitment of institutional resources, facilities, space, and equipment necessary for conducting the project are available and sufficient. (4) Program Budget: Extent to which the budget is reasonable, clearly justified, and consistent with intended use of funds. The priority order for funding cooperative agreements was as follows: (a) Number of proposed and/or listed National Priority List (NPL) sites (Federal and nonfederal) based on the most current EPA list, (b) number of Comprehensive Environmental Response, Compensation, and Liability Information System (CERCLIS) sites (Federal and nonfederal) based on the most current EPA list; (c) applicants who applied for both Core Activities and optional Activities; and (d) geographic distribution across the United States. (5) Human Subjects: Applicant's plan for adequate protection of human subjects. Applications for conducting Core Plus Optional Activities were reviewed and evaluated based on the following criteria: Proposed Program: In addition to the criteria outlined above for Core Activities, the applicant had to indicate an understanding of and capability for conducting human health studies as contained in the proposed site-specific protocol which had to include: (a) The approach, feasibility, adequacy, and rationale for the proposed study design, (b) the technical merit of the proposed study, (c) the proposed timeline, including measurable objectives, (d) proposed method for disseminating the results of the study.

93.241 STATE RURAL HOSPITAL FLEXIBILITY PROGRAM

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Continuing Appropriations Act, 2011 (P.L. 111-242); Title XVIII, Section 1820 (g)(6) of the Social Security Act (42 U.S.C. 1395i-4), as amended by Sec. 4201 (a) of P.L. 105-33; and Sec. 121 of the Medicare Improvement for Patients and Providers Act of 2008, P.L. 110-275.

OBJECTIVES:

The Medicare Rural Hospital Flexibility Grant Program provides funding to state governments to spur quality and performance improvement activities; stabilize rural hospital finance; and encouraging health system development through the engagement of the rural community with critical access hospitals (CAHs) and other care providers, and integrating rural Emergency Medical Services (EMS) into the health care system while assuring the quality of services provided.

The Medicare Rural Hospital Flexibility Program Evaluation Cooperative Agreement and Information Services to Rural Hospital Flexibility Program Grantees provide technical assistance, support, monitoring and evaluation to the State Rural Hospital Flexibility Program grantees and Critical Access Hospitals.

The Rural Veterans Health Access Program provides funding to states to develop and strengthen networks that will enhance access and quality of mental health service and other healthcare services, with an emphasis on utilizing telehealth and health information technology, including electronic health records, to help improve care coordination for Veterans who are seen by both the Veterans Administration (VA) and private providers. The program targets

states with high percentages of veterans to the total population.

TYPES OF ASSISTANCE:

Formula Grants (Cooperative Agreements); PROJECT GRANTS

USES AND USE RESTRICTIONS:

All funds awarded are to be expended solely for the purposes outlined in the approved projects.

Applicant Eligibility:

Flex and Rural Veterans Grants: Only States with certified Critical Access Hospitals are eligible for this Grant Program. The Governor designates the eligible applicant from each State. All other States need to submit an application to the Regional Administrator of their CMS Regional Office that expresses the State's interest in developing a Medicare Rural Hospital Flexibility Program before they can apply for grant funds.

Medicare Rural Hospital Flexibility Program Evaluation Cooperative Agreement: Eligible applicants include public and private nonprofit entities. Faith-based and community organizations are eligible to apply for this cooperative agreement. Federally Recognized Indian Tribal Government and Native American Organizations are eligible to apply. Applicants must have experience in analyzing rural health issues as defined by the following: key staff for the project must have authored or co-authored at least two articles about rural health published in peer-reviewed scientific journal(s) (such as Journal of Rural Health, American Journal of Public Health, Journal of Aging and Health). Applicants must also have experience with and access to a variety of key data sets used for analysis of rural hospital data. Applicants that fail to show such experience will not be considered.

Information Services to Rural Hospital Flexibility Program: Any public or private entity is eligible to apply for this Federal funding opportunity. Applicant organizations that are Federally-recognized Native American Tribes or Tribal organizations are eligible to apply. Faith-based and community-based organizations are eligible to apply for this cooperative agreement.

Beneficiary Eligibility:

States with at least one hospital located in a non-metropolitan statistical area or county and provides CMS with necessary assurances.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. HRSA requires all applicants to apply electronically through Grants.gov.

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Award Procedure:

Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

6 months.

Appeals:

Not Applicable.

Renewals:

The Medicare Rural Hospital Flexibility Grant Program awards may be made for up to 5-year project periods. Subject to availability of funds, after initial awards, projects may be renewed non-competitively contingent upon submission and approval of an application, availability of appropriated funds, and awardees satisfactory performance.

Medicare Rural Hospital Flexibility Program Evaluation Cooperative Agreement awards may be made for up to 5-year project periods. Subject to availability of funds, after initial awards, projects may be renewed non-competitively contingent upon submission and approval of an application, availability of appropriated funds, and awardees satisfactory performance.

Information Services to Rural Hospital Flexibility Program Grantees- awards may be made for up to 5-year project periods. Subject to availability of funds, after initial awards, projects may be renewed non-competitively contingent upon submission and approval of an application, availability of appropriated funds, and awardees satisfactory performance.

The Rural Veterans Health Access Program awards may be made for up to 3-year project periods. Subject to availability of funds, after initial awards, projects may be renewed non-competitively contingent upon submission and approval of an application, availability of appropriated funds, and awardees satisfactory performance.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Awards are made annually. Funds are available to grantees on an as needed basis throughout the budget period and payments are made through the DHHS Payment Management System. See the following for information on how assistance is awarded/released: Grantee drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

A performance progress report is submitted with each noncompeting continuation application. An electronic quarterly Federal Financial Report (FFR) is due to the Division of Payment Management within 30 days of the end of each quarter. A final FFR must be submitted to HRSA via the Electronic HandBooks (EHB) within 90 days of the end of each budget period. For the Rural Veterans Health Access Program and the Medicare Rural Hospital Flexibility Grant Program, an annual data report is due within 60 days of the end of the budget period. For the Medicare Rural Hospital Flexibility Program Evaluation Cooperative Agreement a report is due annually on March 31. A final program report is to be submitted within 90 days after the close of the project period. Grantees in the Medicare Rural Hospital Flexibility Grant Program are required to participate in activities related to the Flex Program Evaluation Cooperative Agreement. No cash reports are required. Refer to funding opportunity announcement. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records for 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit, or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Project Grants) FY 12 \$957,999; FY 13 est \$900,000; and FY 14 est \$960,000 - These figures are for the Rural Veterans Health Access Program. (Project Grants) FY 12 \$22,911,332; FY 13 est \$22,184,439; and FY 14 est \$22,911,332 - These figures are for the Medicare Rural Hospital Flexibility Grant Program . (Project Grants) FY 12 \$1,025,000; FY 13 est \$957,407; and FY 14 est \$957,407 - These figures are for the Information Services to Rural Hospital Flexibility Program Grantees. (Project Grants) FY 12 \$1,000,000; FY 13 est \$1,000,000; and FY 14 est \$1,000,000 - These figures are for the Medicare Rural Hospital Flexibility Program Evaluation Cooperative Agreement.

Range and Average of Financial Assistance:

The Medicare Rural Hospital Flexibility Grant Program \$287,000 to \$700,000; \$492,987.

Medicare Rural Hospital Flexibility Program Evaluation Cooperative Agreement: \$1,000,000

Information Services to Rural Hospital Flexibility Program Grantees: \$957,407

Rural Veterans Health Access Program: \$300,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices. The Medicare Rural Hospital Flexibility Program Grant Contact: David Dietz, Office of Rural Health Policy, Health Resources and Services Administration, 5600 Fishers Lane, Room 5A-05, Rockville, MD 20857. Telephone: (301) 443-4081.

Medicare Rural Hospital Flexibility Program Evaluation Cooperative Agreement- Program Contact: Megan Meacham, Office of Rural Health Policy, Health Resources and Services Administration, 5600 Fishers Lane, Room 5A-05, Rockville, MD 20857. Telephone: (301) 443-8349.

Information Services to Rural Hospital Flexibility Program Grantees- Program Contact: Michael McNeely, Office of Rural Health Policy, Health Resources and Services Administration, 5600 Fishers Lane, Room 5A-05, Rockville, MD 20857. Telephone: (301) 443-5812.

Rural Veterans Health Access Program Program Contact: Larry Bryant, Office of Rural Health Policy, Health Resources and Services Administration, 5600 Fishers Lane, Room 5A-05, Rockville, MD 20857. Telephone: (301) 443-0261.

Headquarters Office:

Office of Rural Health Policy, 5600 Fishers Lane, Room 5A-05, Rockville, Maryland 20857 Phone: (301) 443-0835.

Website Address:

www.hrsa.gov

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Contact Headquarters for selection criteria.

93.242 MENTAL HEALTH RESEARCH GRANTS**FEDERAL AGENCY:**

National Institutes of Health, Department of Health and Human Services

AUTHORIZATION:

Small Business Research and Development Enhancement Act of 2000; Public Health Service Act, Title iV, Section 487, 42 U.S.C 288; Public Health Service Act, Title III, Section 301, 42 U.S.C 241.

OBJECTIVES:

To support research and research training to understand the biological, psychological, and functional changes that are involved in the causes and course of mental illness; to hasten the translation of science advances into innovations in clinical care; to conduct studies of the risk factors for major psychiatric disorders; to conduct clinical neuroscience studies to elucidate causes and functional effects of these disorders; to conduct research on psychosocial, pharmacological, and somatic treatment development; to support research and research training with the ultimate goal of preventing and curing mental disorders that originate in childhood and adolescence; to conduct research across basic behavioral/psychological processes, environmental processes, brain development, genetics, developmental psychopathology, and therapeutic interventions; to translate knowledge from basic science to discover the developmental origins of mental disorders and effect their prevention and cure. To provide support for integration of research on neurobehavioral mechanisms of psychopathology; understanding of the trajectories of risk/illness; and the design and testing of innovative and personalized treatments. To support research in the areas of basic neuroscience, genetics, basic behavioral science, research training, resource development, technology development, drug discovery, and research dissemination; to support studies that will generate relevant basic science knowledge which can then be utilized to improve diagnosis, treatment, and prevention of mental and behavioral disorders; to support research to evaluate the effectiveness of pharmacologic, psychosocial, rehabilitative, and combination interventions on mental and behavior disorders; to evaluate interventions for children, adolescents, and adults, focusing on acute and long-term therapeutic effects; to conduct mental health services research, including studies of services organization and delivery; interventions to improve the quality and outcomes of care; and research on the dissemination and implementation of evidence-based interventions into service settings; to develop and disseminate behavioral interventions that prevent HIV/AIDS transmission; to clarify the biological, psychological, and functional mental health effects of HIV/AIDS infection and alleviate the associated consequences.

Research supported by the National Institute of Mental Health may employ theoretical, laboratory, clinical, epidemiological, and field studies. Studies may involve individuals with a mental disorder diagnosis, individuals with symptom levels that do not meet diagnostic thresholds, and healthy individuals of all ages. Research also may involve animal, computational, and mathematical models appropriate to the system being investigated and the state of the field. Areas eligible for research support are: basic and clinical neuroscience; genetics; geriatrics research; adult psychopathology; experimental therapeutics; developmental, behavioral, clinical, and epidemiological research relevant to mental disorders, behavioral disorders, and HIV/AIDS, in terms of the causes, prevention, treatment, and service delivery; basic (brain and behavior), clinical, and services research on the mental health problems of women, minorities, and rural populations; and research designed to reduce and eliminate mental health disparities both within and outside the United States.

TYPES OF ASSISTANCE:

Cooperative Agreements; Project Grants; Training

USES AND USE RESTRICTIONS:

Research project grants provide support for clearly defined projects by a named investigator in an area representing his/her specific interests and competencies. Exploratory/Development and Clinical Exploratory/Developmental Grants encourage the development of new research activities in categorical program areas. Small Research Grants provide research support specifically limited in time and amount for studies in categorical program areas. Collaborative Clinical Research Grants support evaluations of various methods of therapy and/or prevention. Program Project and Center grants support large-scale,

broad-based programs of research, usually interdisciplinary, consisting of several projects with a common focus. Cooperative Agreements support single or a group of related research activities with greater involvement of federal staff.

SBIR and STTR grants are awarded in two stages: Phase I grants are awarded to establish the technical merit and feasibility of a proposed research and development effort; only Phase I awardees are eligible to receive Phase II support.

Mentored Research Scientist Development Award (K01, MRSDA), Mentored Clinical Scientist Development Award (K08, MCSDA), and Mentored Quantitative Research Career Development Award (K25) provide support for individuals with research potential who need additional mentored development and/or experience in a productive research environment. Awards are available for up to 5 years of salary support (up to \$90,000 per year) for the individual candidate, fringe benefits, and 8 percent F&A costs. Specialized training costs and limited research costs are allowable under justified circumstances. Mentored Patient-Oriented Research Career Development Award (K23) supports the career development of individuals who have made commitment to focus their research endeavors on patient-oriented research. Awards are available for three to five years up to \$90,000 per year to help offset the full time salary requirement of the candidate. The NIH Pathway to Independence (PI) Award (K99/R00) provides up to 2 years of mentored support (K99) for a postdoctoral individual followed by up to 3 years of support after the awardee transitions to research independence (R00). K99/R00 awards are awards for up to 5 years of support. During the K99 phase, awards typically provide up to \$50,000 per year for the salary of the individual candidate as well as fringe benefits, and 8 percent F&A costs. Specialized training costs and limited research costs are allowable under justified circumstances. Salary support for all mentored awards may be supplemented by the sponsoring institution from nonfederal funds. The Independent Scientist Award (K02, ISA) is for independent scientists whose careers are developing rapidly; the Mid-career Investigator Award in Patient-Oriented Research (K24) is for outstanding clinical scientists engaged in patient-oriented research. No specialized training costs are available; limited research costs for K24s and K02s in theoretical areas are available.

Individual fellowships are made to students seeking predoctoral or postdoctoral support for full-time research training or who are enrolled in an formal Ph.D. or M.D./Ph.D. program. An institutional allowance will be provided to the sponsoring institution for each awardee. In addition, any domestic public or nonprofit institution may apply for an institutional research training grant in a specified area of research from which a number of stipend awards will be made to individuals selected by the training program director at the institution. Support is available for both predoctoral and postdoctoral trainees and will include an amount for institutional expenses. Indirect costs may be requested at 8 percent of total direct costs, exclusive of tuition and related fees and expenditures for equipment, or actual indirect costs, whichever is less. Postdoctoral students receiving fellowships or stipend support under either individual or institutional grants for 12 months or less are obligated to pay back this support through an equivalent period of continued postdoctoral training or a period of health related research and/or teaching activities after the completion of their training. Postdoctoral individuals in their thirteenth and subsequent months of support will incur no further obligation, and such support will be considered acceptable postdoctoral payback service. Research training support may not be used for internships or other clinical training.

Applicant Eligibility:

Public, private, -profit, or nonprofit agencies (including State and local government agencies), eligible Federal agencies, universities, colleges, hospitals, and academic or research institutions may apply for research grants. SBIR grants can be awarded only to domestic small businesses, and STTR grants can be awarded only to domestic small businesses which "partner" with a research institution in cooperative research and development.

An applicant for individual predoctoral fellowship support must be enrolled in a research doctoral degree program by the proposed activation date of the fellowship. A postdoctoral applicant must have received a Ph.D., Psy.D., M.D.,

D.D.S., Sc.D., D.N.S., D.O., D.S.W., or equivalent degree from an accredited institution to be eligible for an individual postdoctoral fellowship.

All research training awards are made to appropriate domestic research centers, medical schools, departments of psychiatry, non-medical academic departments, psychiatric hospitals or hospitals with psychiatric services, community mental health centers, and biomedical research institutes on behalf of individuals who need the opportunity to realize research potential. Except for the NIH Pathway to Independence (PI) Award (K99/R00), the individuals must be citizens or nationals of the United States or have been lawfully admitted for permanent residence. The NIH Pathway to Independence (PI) Award (K99/R00) is open to both U.S. citizens and non-U.S. citizens. Individuals must qualify by scholastic degree and previous training and/or experience.

Beneficiary Eligibility:

Individuals and public, private, profit, or nonprofit organizations.

Credentials/Documentation:

For-profit organizations' costs will be determined in accordance with 48 CFR, Subpart 31.2 of the Federal Acquisition Regulations. For all other grantees, costs will be determined in accordance with HHS Regulations 45 CFR, Part 74, Subpart Q. For SBIR and STTR grants, applicant organization (small business concern) must present in a research plan an idea that has potential for commercialization and furnish evidence that scientific competence, experimental methods, facilities, equipment, and funds requested are appropriate to carry out the plan. Instructions for electronic submission may be found with the federal SF424 (R&R) form packages that are found in the SBIR/STTR funding opportunity announcements. Instructions are provided for SBIR Phase I and Phase II awards, respectively; as well as for STTR Phase I and Phase II awards, respectively. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Most applications must be prepared and submitted electronically. Most applicants use the SF 424 (Research & Related) for electronic submission. Information about the SF 424 form may be found on <http://grants.nih.gov/grants/funding/424/index.htm>, but application packages should be accessed through the appropriate funding opportunity announcement, found either on the NIH Guide for Grants and Contracts (<http://grants1.nih.gov/grants/guide/index.html>) or through the Grants.gov website (<http://www.grants.gov/>). Some institutions may submit the grant data directly (using what is called a system-to-system transfer). For further details, see grants.gov or eRA Commons. Application forms for SBIR and STTR grants may be obtained through the SBIR/STTR funding announcements posted on the NIH Guide for Grants and Contracts and the Grants.gov sites (see URLs listed above). All SBIR and STTR applications must be submitted electronically. The timeline for transition to electronic applications and the new forms may be found at: <http://era.nih.gov/ElectronicReceipt/>. For further assistance contact GrantsInfo, Telephone (301) 435-0714, Email: GrantsInfo@nih.gov.

Consultation on a proposed project may be obtained from the NIMH branch or office responsible for the research area of interest. Applications are reviewed by principally nonfederal consultants recruited nationwide from the mental health field. The amounts of the award and period of support are determined on the basis of merit of the project and the nature of the grant mechanism. Electronic applications are submitted through the Grants.gov website.

Award Procedure:

All applications are evaluated for scientific and technical merit by an appropriate scientific peer review panel. Applications other than fellowships must also be reviewed by the National Advisory Mental Health Council. All competitive applications compete for available funds on the basis of scientific and technical merit, program relevance, and program balance. All SBIR and STTR applications receiving a priority score compete for set-aside funds on the

basis of scientific and technical merit and commercial potential of the proposed research, program relevance, and program balance among the areas of research.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

> 180 Days. > 180 Days. Applications: From 180 to 270 days from submission of application.

SBIR/STTR applications: About 7- 1/2 months;

AIDS-related research is expedited and a determination is made within 180 days.

Appeals:

A principal investigator may question the substantive or procedural aspects of the review of his/her application by communicating with the staff of the Institute. A description of the NIH Peer Review Appeal procedures is available on the NIH homepage <http://grants.nih.gov/grants/guide/notice-files/NOT-OD-11-064.html>.

Renewals:

Support is recommended for a specified project period, not in excess of 5 years. Prior to termination of a project period, the grantee may apply for renewal of support for a new project period. An application for renewal is processed as a new competing request. Small grants, exploratory, and dissertation grants are for 1-3 years (depending on program) and are not renewable. By law an individual may receive no more than 6 years of support in the aggregate at the predoctoral level and no more than 3 years of support in the aggregate at the postdoctoral level under the NRSA program (through an individual and/or institutional award). Any exception to these limitations requires a waiver from the Director of the awarding Institute based on review of justification from the awardee and the program director for the institutional grant. K01, K08, K23, K25 awards are made for up to 5-year periods with no renewal. K02 and K24 awards are made for a 5-year period, renewable once (unless holder has held a previous K02 or K24 award). K99 awards are made for up to 2-year periods with no renewal.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Varies, with a project periods ranging from 1 to 5 years. Funding commitments are made annually. See the following for information on how assistance is awarded/released: A formal notification in the form of a Notice of Award (NoA) will be provided to the applicant organization. SBIR: Generally, Phase I awards are for 6 months, and Phase II awards are for 2 years. STTR: Generally, Phase I awards are for 1 year and Phase II awards are for 2 years. Information on how assistance is awarded/released: A formal notification in the form of a Notice of Award (NoA) will be provided to the applicant organization. By law an individual may receive no more than 6 years of support in the aggregate at the predoctoral level and no more than 3 years of support in the aggregate at the postdoctoral level under the NRSA program (through an individual and/or institutional award). For an M.D./Ph.D. fellowship, 6 years may be requested. In practice, recommendations of review committees are generally for 2 or 3 years. See the following for information on how assistance is awarded/released: A formal notification in the form of a Notice of Award (NoA) will be provided to the applicant organization.

Reports:

No program reports are required. No cash reports are required. Reports must be submitted as follows: (1) Interim progress reports annually as part of a non-competing application for previously recommended support; (2) terminal progress report within 90 days after end of project support. Annual financial status report within 90 days after termination of annual grant for some programs. In addition, immediate and full reporting of any inventions is required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials. Per the regulations, a for-profit (commercial) organization is subject to audit requirements for a non-Federal audit if, during its fiscal year, it expended \$500,000 or more under HHS awards and at least one award is a HHS grant. For-profit organizations have two options regarding the type of audit that will satisfy the audit requirements either: (1) a financial related audit (as defined in the Government Auditing Standards, GPO Stock #020-000-00-265-4, <http://www.gao.gov/govaud/ybk01.htm>) of the HHS awards in accordance with Government Auditing Standards, or (2) an audit that meets the requirements contained in OMB Circular No. A-133(<http://www.whitehouse.gov/OMB/circulars/a133/a133.html>).

Records:

Records must be retained at least 3 years; records shall be retained beyond the 3-year period if audit findings have not been resolved.

Account Identification:

75-0892-0-1-552.

Obligations:

(Salaries) FY 12 Not Available; FY 13 est \$1,090,351,000; and FY 14 Estimate Not Available - These figures represent total base dollars for Research Grants, SBIR/STTR, and NRSA training grants.

Note: Starting in FY13, the 93.242 Mental Health Research Grants CFDA program reflects the consolidation of NIMHs former CFDA numbers, 93.282 Mental Health National Research Service Awards for Research Training and 93.281 Mental Health Research Career/Scientist Development Awards into 93.242 Mental Health Research Grants.

Range and Average of Financial Assistance:

\$21,000 to \$8,998,435; \$339,871.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Estimate not available as starting in FY13, the 93.242 Mental Health Research Grants CFDA program reflects the consolidation of NIMHs former CFDA numbers, 93.282 Mental Health National Research Service Awards for Research Training and 93.281 Mental Health Research Career/Scientist Development Awards into 93.242 Mental Health Research Grants. Fiscal Year 2013: FY13 an estimated 2941 grants will be awarded.

Note: Starting in FY13, the 93.242 Mental Health Research Grants CFDA program reflects the consolidation of NIMHs former CFDA numbers, 93.282 Mental Health National Research Service Awards for Research Training and 93.281 Mental Health Research Career/Scientist Development Awards into 93.242 Mental Health Research Grants. Fiscal Year 2014: An estimated 2,941 grants will be awarded.

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR 52. NIH Grant Policy Statement (Revised 12/2003). Grants will be available under the authority if and administered in accordance with the PHS Grants Policy Statement and federal regulations at 42 CFR 52 and 42 U.S.C. 241; Omnibus Solicitation of the Public Health Service for Small Business Innovation Research (SBIR) Applications; and Omnibus Solicitation of the National Institutes of Health for Small Business Technology Transfer Grant Applications.

Regional or Local Office:

None.

Headquarters Office:

Tracy Waldeck Division of Extramural Activities
National Institute of Mental Health
6001 Executive Blvd
Room 6160, Bethesda, Maryland 20892 Email: waldeckt@mail.nih.gov Phone: (301) 443-5047 Fax: (301) 443-9474

Website Address:

<http://www.nimh.nih.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: See RePORTer tool to examine funded research grants (<http://projectreporter.nih.gov/reporter.cfm> and or <http://report.nih.gov/rcdc/categories/>). Fiscal Year 2013: No Current Data Available. Fiscal Year 2014: No Current Data Available.

CRITERIA FOR SELECTING PROPOSALS:

The following consideration will be used in determining projects to be funded:

- (1) Technical merit of the proposed project as determined by peer review;
- (2) Availability of funds; and
- (3) Relevance to program priorities.

93.243 SUBSTANCE ABUSE AND MENTAL HEALTH SERVICES PROJECTS OF REGIONAL AND NATIONAL SIGNIFICANCE

(PRNS)

FEDERAL AGENCY:

Substance Abuse and Mental Health Services Administration, Department of Health and Human Services

AUTHORIZATION:

Children's Health Act of 2000, Section 520 A-J, 581, 582, Public Law 106-310; Public Health Service Act, Title V, Section 509; 516, 42 U.S.C 290bb.

OBJECTIVES:

Substance Abuse and Mental Health Service Administration (SAMHSA) was reauthorized by the Children's Health Action of 2000, Public law 106-310. Under this reauthorization, SAMHSA was given the authority to address priority substance abuse treatment, prevention and mental health needs of regional and national significance through assistance (grants and cooperative agreements) to States, political subdivisions of States, Indian tribes and tribal organizations, and other public or nonprofit private entities. Under these sections, CSAT, CMHS and CSAP seek to expand the availability of effective substance abuse treatment and recovery services available to Americans to improve the lives of those affected by alcohol and drug additions, and to reduce the impact of alcohol and drug abuse on individuals, families, communities and societies and to address priority mental health needs of regional and national significance and assist children in dealing with violence and traumatic events through by funding grant and cooperative agreement projects. Grants and cooperative agreements may be for (1) knowledge and development and application projects for treatment and rehabilitation and the conduct or support of evaluations of such projects; (2) training and technical assistance; (3) targeted capacity response programs (4) systems change grants including statewide family network grants and client-oriented and consumer run self-help activities and (5) programs to foster health and development of children; (6) coordination and integration of primary care services into publicly-funded community mental health centers and other community-based behavioral health settings funded under Affordable Care Act (ACA).

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Funds (including direct costs and indirect costs) may be used only for expenses clearly related and necessary to carry out approved activities that will provide immediately useful, practical knowledge that service providers need as they wrestle with the rapidly changing health care environment. Refer FY2010 SAMHSA Grants Funding Opportunities at www.samhsa.gov. 100% of grants funds under this CFDA.

Applicant Eligibility:

Public organizations, such as units of State and local governments and to domestic private nonprofit organizations such as community-based organizations, universities, colleges and hospitals.

Beneficiary Eligibility:

other non-profits.

Credentials/Documentation:

Applicants must provide proof of licensure, accreditation, certification, or chartering to provide substance abuse and/or mental health services or such other credential documentation as specific for a particular topical area. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Applicants must comply with the Executive Order (E.O.) 12372 if their state(s) participates. Review process recommendations from the state Single Point of Contact (SPOC) are due no later than 60 days after application deadline. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. All applicants must use the Application for Federal Assistance SF-424 form.

Award Procedure:

Applications for grants and cooperative agreements recommended for approval by the initial review group and concurred in by the appropriate National Advisory Council and the Center Director(s) are awarded directly by the appropriate Center (CMHS, CSAP, CSAT) to the applicant organization.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

This program has no matching requirements. CMHS and CSAT, STOP DFC. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Applications will be accepted for a project period of 1 to 7 years with 12 month budget periods. Annual awards will be made subject to continued availability of funds and progress achieved. See the following for information on how assistance is awarded/released: SAMHSA awards grant projects for the 12 months budget period and release at the time the award is issued.

Reports:

Reports are required quarterly, semi-annually, or annually depending on individual program requirements. Quarterly reports are due to the Division of Payment. See above information. The (SF-425) Federal Financial Report is required by SAMHSA, Division of Grant Management 120 days after the end of each 12 month period and 90 days after the final 12 month period. Site Visits, Conference Calls, Program Progress Reports and GPRA Data Reports.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

There is a 3-year records retention requirement; records shall be retained beyond the 3-year period if final audit has not been completed or findings resolved.

Account Identification:

75-1364-0-1-551 - 75-1363-0-1-551; 75-1365-0-1-551.

Obligations:

(Project Grants) FY 12 \$717,277,649; FY 13 est \$476,091,864; and FY 14 est \$263,431,386

Range and Average of Financial Assistance:

\$17,692 to \$7,099,783; \$417,410.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: 1,495 awards were made. Fiscal Year 2013: it is estimated 971 awards will be made. Fiscal Year 2014: it is estimated 580 awards will be made.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR Parts 74 and 92 and the HHS Grant Policy Statement, OMB Cost Principles, terms and conditions of award

Regional or Local Office:

None.

Headquarters Office:

Kathleen K. Sample, 1 Choke Cherry Road, Room 7-1091, Rockville, Maryland 20857 Email: kathleen.sample@samhsa.hhs.gov Phone: (240) 276-1407 Fax: (240) 276-1430.

Website Address:

<http://www.samhsa.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: 1,495 awards were made. Fiscal Year 2013: it is estimated 971 awards will be made. Fiscal Year 2014: it is estimated 580 awards will be made.

CRITERIA FOR SELECTING PROPOSALS:

Application submitted related to merit, peer review criteria scored, and generally funded in score order.

93.247 ADVANCED NURSING EDUCATION GRANT PROGRAM

ANE

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Title VIII, Section 811 (a)(1) of the Public Health Service Act, (42 U.S.C. 296j(a)(1)), as amended by Section 5308 of the Patient Protection and Affordable Care Act, Public Law 111-148.

OBJECTIVES:

Grants are awarded to eligible institutions for projects that support the enhancement of advanced nursing education and practice including master's and doctoral programs, combined RN/ master's degree programs, and post-nursing master's certificate programs, Grants are also awarded to certificate programs in existence on November 12, 1998 that prepare nurse mid-wives to become nurse practitioners, clinical nurse specialists, nurse-midwives, nurse anesthetists, nurse educators, nurse administrators, public health nurses, or in other nurse specialties determined by the Secretary to require advanced education.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds may be used to cover salaries of personnel specifically employed for the project; consultant fees; supplies and equipment necessary to conduct the project; essential travel expenses and other expenses related to the project.

Restricted Uses: Indirect costs that are allowed for administrative costs incurred as a result of the project, are limited to 8 percent of direct costs. Student support through stipends, tuition, and fees is not eligible for support.

Applicant Eligibility:

Eligible applicants are collegiate schools of nursing, nursing centers, academic health centers, State or local governments, and other public or private nonprofit entities accredited by a national nurse education accrediting agency recognized by the Secretary of the U.S. Department of Education. The school must be located in the 50 states, the District of Columbia, the Commonwealth of Puerto Rico, the Northern Mariana Islands, the Virgin Islands, American Samoa, Guam, the U.S. Virgin Islands, the Federated States of Micronesia, the Republic of the Marshall Islands, and the Republic of Palau. Federally Recognized Indian Tribal Government and Native American Organizations may apply if they are otherwise eligible.

Beneficiary Eligibility:

Accredited schools of nursing, nursing centers, academic health centers, state or local governments, and other public or private nonprofit entities determined appropriate by the Secretary of the U.S. Department of Health and Human Services.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Grant applications and required forms for this program can be obtained from Grants.gov. Please visit the Grants.gov Web site at www.grants.gov to both find and apply for all Federal grant opportunities. All eligible applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Award Procedure:

Notification is made in writing (electronic) by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 6 months after receipt of applications.

Appeals:

Not Applicable.

Renewals:

Competitive continuations not accepted for FY2014 award cycle.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. This program has MOE requirements, see funding agency for further details. See funding agency for further details.

Length and Time Phasing of Assistance:

Project periods for new applications are for three years. See the following for information on how assistance is awarded/released: Grantees draw down funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

The awardee will be required to submit annual performance and progress reports as well as status-federal financial reports (see below for general information on each required report). Cash reports are required. The awardee must submit a quarterly electronic Federal Financial Report (FFR) Cash Transaction Report via the Payment Management System within 30 days of the end of each calendar quarter. A Federal Financial Report (SF-425) is required within 90 days of the end of each budget period. A final report is due within 90

days after the project period ends. If applicable, the awardee must submit a Tangible Personal Property Report (SF-428) and any related forms within 90 days after the project period ends. Awardees are required to report all federally-owned property and acquired equipment with an New awards (Type 1) issued under this funding opportunity announcement are subject to the reporting requirements of the Federal Funding Accountability and Transparency Act (FFATA) of 2006 (Pub. L. 109282), as amended by section 6202 of Public Law 110252, and implemented by 2 CFR Part 170. Grant and cooperative agreement recipients must report information for each first-tier subaward of \$25,000 or more in federal funds and executive total compensation for the recipients and subrecipients five most highly compensated executives as outlined in Appendix A to 2 CFR Part 170 (FFATA details are available online at <http://www.hrsa.gov/grants/ffata.html>). Competing continuation awardees, etc. may be subject to this requirement and will be so notified in the Notice of Award. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records three years after the date they submit the Federal Financial Review (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the three year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular three year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Project Grants) FY 12 \$34,657,851; FY 13 est \$30,860,500; and FY 14 est \$30,651,704

Range and Average of Financial Assistance:

FY 2012 range = \$82,737 to \$532,930; Average = \$301,213.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for state, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices. Lisa M. Vandermark and Serina Hunter-Thomas, Division of Nursing, Bureau of Health Professions, Health Resources and Services Administration, Department of Health and Human Services, Parklawn Building, Room 9-61, 5600 Fishers Lane, Rockville, MD 20857. Telephone: (301) 443-5688.

Headquarters Office:

Lisa M. Vandermark and Serina Hunter-Thomas Room 9-61, 5600 Fishers Lane, Rockville, MD 20857, Rockville, Maryland 20857 Phone: (301) 443-5688

Website Address:

<http://www.hrsa.gov>.

RELATED PROGRAMS:

93.513 Affordable Care Act (ACA) Advanced Nursing Education Expansion

Initiative

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Competing applications are reviewed by non-Federal expert consultant(s) for technical merit recommendations. Approval of an application will be based on an analysis of the factors set forth in the HRSA funding opportunity announcement (FOA). Project purposes must be consistent with and promote the purpose identified in Statute. Need must be documented, and the capability of achieving project goals must be described. In making awards of grants under this Section, preference will be given to any qualified applicant with a project that will substantially benefit rural or underserved populations, or help meet public health nursing needs in State or local health departments. This preference will only be applied to applications that rank above the 20th percentile of applications recommended for approval. See the section on Application Procedure above to obtain a copy of the announcement.

93.249 PUBLIC HEALTH TRAINING CENTERS PROGRAM

PHTC Program

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Title VII, Sections 765 and 766 of the Public Health Service Act (42 U.S.C. 295a), as amended by P.L. 105-392 Health Professions Education Partnerships Act of 1998 and the Patient Protection and Affordable Care Act of 2010, Public Law 111-148.

OBJECTIVES:

To improve the nation's public health system by strengthening the technical, scientific, managerial and leadership competencies and capabilities of the current and future public health workforce. Emphasis is placed on developing the existing public health workforce as a foundation for improving the infrastructure of the public health system and helping achieve the Healthy People 2020 Objectives. With respect to a Public Health Training Center, applicants must agree to: (1) specifically designate a geographic area, including medically underserved populations, e.g., elderly, immigrants/refugees, disadvantaged, to be served by the Center that shall be in a location removed from the main location of the teaching facility of the school participating in the program with a Center; (2) assess the public health personnel needs of the area to be served by the Center and assist in the planning and development of training programs to meet their needs; (3) establish or strengthen field placements for students in public or nonprofit private public health agencies or organizations; and (4) involve faculty members and students in collaborative projects to enhance public health services to medically underserved communities.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Grant funds may support salaries, stipends, consultant costs, equipment, and travel as requested to develop and implement a Public Health Training Center. Grant funds may not be used for construction, patient services or student tuition.

Applicant Eligibility:

Accredited schools of public health or other public or nonprofit private institutions accredited for the provision of graduate or specialized training in public health may apply.

Beneficiary Eligibility:

Accredited schools of public health or other public or nonprofit private institutions accredited for the provision of graduate or specialized training will benefit.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission

of an application package. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Grant applications and required forms for this program can be obtained from Grants.gov. Please visit the Grants.gov Web site at www.grants.gov to both find and apply for all federal grant opportunities. HRSA requires all applicants to apply electronically through Grants.gov.

Award Procedure:

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Notification is made in writing (electronic) by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days after receipt of applications.

Appeals:

Not Applicable.

Renewals:

At the end of the initial project period, competing continuation applications may be submitted.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Project periods for FY10 awards were up to four years. For new starts in FY11 awards were up to a three year project period in order to realign grantee cohorts to be the same cycle for the next competition. See the following for information on how assistance is awarded/released: Grantees draw down funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

Program reports are required. The awardee will be required to submit annual performance and progress reports as well as status-federal financial reports (see below for general information on each required report). The awardee must submit a quarterly electronic Federal Financial Report (FFR) Cash Transaction Report via the Payment Management System within 30 days of the end of each calendar quarter. A Federal Financial Report (SF-425) is required within 90 days of the end of each budget period. A final report is due within 90 days after the project period ends. If applicable, the awardee must submit a Tangible Personal Property Report (SF-428) and any related forms within 90 days after the project period ends. New awards (Type 1) issued under this funding opportunity announcement are subject to the reporting requirements of the Federal Funding Accountability and Transparency Act (FFATA) of 2006 (Pub. L. 109282), as amended by section 6202 of Public Law 110252, and implemented by 2 CFR Part 170. Grant and cooperative agreement recipients must report information for each first-tier subaward of \$25,000 or more in federal funds and executive total compensation for the recipients and subrecipients five most highly compensated executives as outlined in Appendix A to 2 CFR Part 170 (FFATA details are available online at <http://www.hrsa.gov/grants/ffata.html>). Competing continuation awardees, etc. may be subject to this requirement and will be so notified in the Notice of Award. Expenditure reports are not applicable. Electronic reporting requirements can be viewed at www.HRSA.gov.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records three years after the date they submit the Federal Financial Review (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the three year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular three year period, whichever is later.

Account Identification:

75-0353-0-1-552.

Obligations:

(Project Grants) FY 12 \$0; FY 13 est \$0; and FY 14 est \$0

Range and Average of Financial Assistance:

FY 12 range, est \$0; average, est \$0,
FY 13 range, est \$0; average, est \$0
FY 14 range, est \$0; average, est \$0.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for state, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices. Julia Sheen-Aaron, Chief, Public Health Branch, Division of Public Health and Interdisciplinary Education, Bureau of Health Professions, Health Resources and Services Administration, Department of Health and Human Services, Room 9C-05, 5600 Fishers Lane, Rockville, Maryland 20857 Email: JSheen-aaron@hrsa.gov, Phone: (301) 443-3875

Marian Ladipo, Public Health Analyst, Public Health Branch, Division of Public Health and Interdisciplinary Education, Bureau of Health Professions, Health Resources and Services Administration, Department of Health and Human Services, Room 9C-05, 5600 Fishers Lane, Rockville, MD 20857. Telephone: (301) 443-7756 and E-mail address at mladipo@hrsa.gov.

Angela Landeen, Public Health Analyst, Public Health Branch, Division of Public Health and Interdisciplinary Education, Bureau of Health Professions, Health Resources and Services Administration, Department of Health and Human Services, Room 9C-05, 5600 Fishers Lane, Rockville, MD 20857. Telephone: (301) 443-1550 and E-mail: alandeen@hrsa.gov.

Headquarters Office:

Julia Sheen-Aaron 5600 Fishers Lane, Room 9C-05, Rockville, Maryland 20857 Email: jsheen-aaron@hrsa.gov Phone: 301-443-3875

Website Address:

www.hrsa.gov.

RELATED PROGRAMS:

93.516 Affordable Care Act (ACA) Public Health Training Centers Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Procedures for assessing the technical merit of applications have been instituted

to provide for an objective review of applications and to assist the applicant in understanding the standards against which each application will be judged. Critical indicators have been developed for each review criterion to assist the applicant in presenting pertinent information related to that criterion and to provide the reviewer with a standard for evaluation. Selection criteria can be found in the program guidance at www.grants.gov.

93.250 GERIATRIC ACADEMIC CAREER AWARDS

Geriatric Academic Career Award (GACA)

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Title VII, Section 753(c) of the Public Health Service Act (42 U.S.C. 294c), as amended by Section 5305(b) of the Patient Protection and Affordable Care Act, Public Law 111-148.

OBJECTIVES:

The purpose of Geriatric Academic Career Award is to support the career development of physicians, nurses, social workers, psychologists, dentists, pharmacists, and allied health professionals as academic geriatric specialists by requiring them to provide training in clinical geriatrics, including the training of interdisciplinary teams of health professionals. The provision of such training shall constitute at least 75 percent of the obligations of such individual under the award. In addition, the individual must provide a documented commitment from the health professions institution where he or she has a full-time, junior (non-tenured) faculty appointment to spend 75 percent of his or her total time on teaching and developing skills in interdisciplinary education in geriatrics.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

As provided by Section 753(c)(5) of the authorizing legislation, an individual receiving an award under this subsection shall provide training in clinical geriatrics, including the training of interdisciplinary teams of health care professionals. The provision of such training shall constitute at least 75 percent of the obligations for individuals under this award. See above.

Applicant Eligibility:

1. Individuals Eligible to Receive Awards

Eligible project directors are individuals who are applying to the Health Resources and Services Administration for a Geriatric Academic Career Award through the accredited health professions school of allopathic medicine, osteopathic medicine, nursing, social work, psychology, dentistry, pharmacy, or allied health where they have a junior faculty appointment.

To be eligible to receive a GACA award, an individual must:

- A. Be board certified or board eligible in internal medicine, family practice, psychiatry, or licensed dentistry, or have completed any required training in a discipline and be employed in an accredited health professions school that is approved by the Secretary;
- B. Have completed an approved fellowship program in geriatrics or have completed specialty training in geriatrics as required by the discipline and any additional geriatrics training as required by the Secretary; and
- C. Have a junior (non-tenured) faculty appointment at an accredited school of medicine, osteopathic medicine, nursing, social work, psychology, dentistry, pharmacy, or other allied health disciplines in an accredited health professions school that is approved by the Secretary.

2. Institutions Eligible to Submit Applications on Behalf of Eligible Individuals

Institutions eligible to receive payments and to submit applications on behalf of individuals applying for a Geriatric Academic Career Award include accredited health professions schools of allopathic medicine, osteopathic medicine, nursing, social work, psychology, dentistry, pharmacy, or allied health.

Beneficiary Eligibility:

Junior faculty who are academic geriatric specialists at an accredited health professions school of allopathic medicine, osteopathic medicine, nursing, social work, psychology, dentistry, pharmacy, or allied health.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. HRSA requires all applicants to apply electronically through Grants.gov.

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Award Procedure:

Notification is made in writing (electronic) by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Final decisions are made within 3 to 4 months after receipt of applications.

Appeals:

Not Applicable.

Renewals:

During the final budget year of the approved project period, competing continuation applications may be submitted.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants are awarded for a project period of up to five (5) years. See the following for information on how assistance is awarded/released: Grantees draw down funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

Program reports are required. The awardee will be required to submit annual performance and progress reports as well as status-federal financial reports (see below for general information on each required report). Cash reports are required. The awardee must submit a quarterly electronic Federal Financial Report (FFR) Cash Transaction Report via the Payment Management System within 30 days of the end of each calendar quarter. A Federal Financial Report (SF-425) is required within 90 days of the end of each budget period. A final report is due within 90 days after the project period ends. If applicable, the awardee must submit a Tangible Personal Property Report (SF-428) and any related forms within 90 days after the project period ends. New awards (Type 1) issued under this funding opportunity announcement are subject to the reporting requirements of the Federal Funding Accountability and Transparency Act (FFATA) of 2006 (Pub. L. 109282), as amended by section 6202 of Public Law 110252, and implemented by 2 CFR Part 170. Grant and cooperative agreement recipients must report information for each first-tier subaward of \$25,000 or more in federal funds and executive total compensation for the recipients and subrecipients five most highly compensated executives as

outlined in Appendix A to 2 CFR Part 170 (FFATA details are available online at <http://www.hrsa.gov/grants/ffata.html>). Competing continuation awardees, etc. may be subject to this requirement and will be so notified in the Notice of Award. No expenditure reports are required. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records for three years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit, or other action involving the award has been started before the expiration of the three year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular three year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Salaries) FY 12 \$5,049,915; FY 13 est \$4,278,477; and FY 14 est \$4,278,477

Range and Average of Financial Assistance:

FY 2012: Each awardee will receive \$77,691.

FY 2013: Est. each awardee will receive \$75,061

FY 2014: Est. each awardees will receive \$75,061.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for state, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices. CAPT Young Song, Senior Program Management Consultant, Division of Public Health and Interdisciplinary Education, Health Resources and Services Administration, Room 9C-05, 5600 Fishers Lane, Rockville, MD 20857. Telephone: (301) 443-3353. Email: YSong@hrsa.gov.

Headquarters Office:

CAPT Young Song 5600 Fishers Lane, Room 9C-05, Rockville, Maryland 20857 Phone: (301) 443-3353

Website Address:

www.hrsa.gov.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Competing applications are reviewed by non-Federal expert consultant(s) for technical merit recommendations. Approval of an application will be based on an analysis of the factors set forth in the HRSA funding opportunity announcement (FOA). See the section on Application Procedure above to obtain a copy of the announcement.

There are three (3) review criteria for the Geriatric Academic Career Award:

A. Career Development Plan:

Extent to which the applicants career goals as identified in the career development plan meet the purpose of the Geriatric Academic Career Award to develop into an academic geriatric specialists who emphasizes teaching geriatrics, including teaching interprofessional teams.

1. Clear acknowledgement that the applicant will uphold the special administrative procedures of the GACA Program.
2. The extent to which the applicant identifies a five-year outcome that will enhance academic clinical geriatrics and the individuals own career as an academic geriatrician.
3. The specificity of objectives and plans for achieving the overall goal as an academic geriatrics specialist.
4. The extent to which identified objectives and specific plans are likely to lead to meeting the career goal.
5. The specific and detailed interprofessional education plan to train others health professionals in clinical geriatrics.
6. The extent to which specific plans will result in educational publication opportunities and productivity in national professional societies.
7. The extent to which specific plans will result in a) meeting the statutory service requirement (75% time pursuing the goals of the GACA), b) interacting with and learning from other clinician-educators locally and nationally, c) obtaining the necessary pedagogical skills to achieve career goals, and d) addressing HRSA's cultural and linguistic competence.
8. The quality of the applicants plan for assessing his or her own progress toward meeting career goals, including his or her effectiveness as a teacher.

B. Applicants Training and Experience:

The purpose of the GACA is to promote the career development of junior faculty as academic geriatric specialists. Therefore, applicants should demonstrate that they are early in their academic career as a junior faculty member. The extent to which the applicants training and experience will contribute to the achievement of the goals, objectives, and plans described in the applicants Career Development Plan.

1. Length of time as a faculty member.
2. New GACA applicant who never received funding as a GACA recipient
3. Fellowship experience
4. Clinical experience
5. Teaching experience
6. Evidence of commitment to geriatrics through educational publications: and
7. Likelihood that after completion of the GACA training, the individual will continue as a full-time academic geriatric specialists whose focus is on the clinician educator role

C. Institutional Support:

The extent to which the commitment and expertise of the mentor and the commitment of the institution to provide a supportive environment for the achievement of the applicants career goals and willingness to meet reporting requirements are demonstrated in the following:

1. Expertise of the primary mentor (The mentor must be an academic geriatric specialist with a full-time faculty appointment. If the mentor is from the discipline of medicine, he or she must be board-certified. The mentor must be located at the same institution where the GACA awardee spends the majority of his/her time.)
2. Adequacy of frequency of meetings with the mentor
3. Clinical assignments and opportunities provided by the institution
4. Teaching assignments and opportunities provided by the institution
5. Protected time provided to achieve the goals of the award; and
6. Opportunities for leadership provided.

**93.251 UNIVERSAL NEWBORN HEARING SCREENING
FEDERAL AGENCY:**

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Section 399M of the PHS Act, (42 U.S.C. 280g-1), as amended by the Early Hearing Detection and Intervention Act of 2010.

OBJECTIVES:

This program supports state programs for reducing the loss to follow-up of infants who have not passed a physiologic newborn hearing screening examination prior to discharge from the newborn nursery. Although more than 95% of newborns are screened for hearing loss in the first few days of life, nationally about 20-40% of infants for whom further assessment is indicated cannot be documented as having appropriate follow-up. This funding opportunity is to initiate new activities to significantly reduce this loss to follow-up. Support is also provided to one national technical resource center.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

This program supports state programs for reducing the loss to follow-up of infants who have not passed a physiologic newborn hearing screening examination prior to discharge from the newborn nursery. Although more than 95% of newborns are screened for hearing loss in the first few days of life, nationally about 20-40% of infants for whom further assessment is indicated cannot be documented to have appropriate follow-up. This funding opportunity is to initiate new activities to significantly reduce this loss to follow-up. Applicants should have a relationship with both the Title V programs and the Early Intervention programs.

Applicant Eligibility:

Grants to States and one grant to an organization that will provide technical assistance to the States.

Beneficiary Eligibility:

Infants/Families will benefit.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. HRSA requires all applicants to apply electronically through Grants.gov.

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Award Procedure:

Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Final decisions are made 2 to 3 months after receipt of applications.

Appeals:

Not Applicable.

Renewals:

Project periods are for 3 to 5 years. The submission of a progress report will be requested. Budgetary information may be submitted annually for each

project year.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Awards are made annually, in accordance with the project period method of awarding grants. Payments are made through a Letter-of-Credit or Cash Demand System. See the following for information on how assistance is awarded/released: Grantee drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

Annual Report. Federal Financial Report Cash Transaction Report. Refer to the funding opportunity announcement. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records 3 years after the date they submit the Federal Financial Review (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0354-0-1-550.

Obligations:

(Project Grants) FY 12 \$16,632,886; FY 13 est \$16,218,437; and FY 14 est \$16,218,437

Range and Average of Financial Assistance:

\$155,000 to 250,000. Average award of \$235,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices. Irene Forsman, Integrated Services Branch, Division of Services for Children with Special Health Needs, Maternal and Child Health Bureau, Health Resources and Services Administration, Department of Health and Human Services, Room 18A-18, 5600 Fishers Lane, Rockville, MD 20857. Telephone: (301) 443-9023.

Headquarters Office:

Irene Forsman, 5600 Fishers Lane, Room 18A-18, Rockville, Maryland 20857 Phone: (301) 443-9023.

Website Address:

www.hrsa.gov.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The objective review of all applications includes thorough and consistent examinations of each submission by persons knowledgeable in the field for that support is requested and has no direct relationship with the organization or individual submitting the application and do not have personal or invested interest in the award of a grant to that organization or individual. Peer review is a system that uses reviewers that are the professional equals of the principal investigator or program director and will be responsible for directing or conducting the proposed project. That is a form of objective review. Reviewers may be Federal or nonfederal. Final review criteria are included in the application kit.

93.253 POISON CENTER SUPPORT AND ENHANCEMENT GRANT PROGRAM

Poison Control Centers (PCCs)

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Legislative Authority: Section 1273 of the Public Health Service Act, (42 U.S.C. 300d 73), as amended by P. L. 110-377, the Poison Center Support, Enhancement, and Awareness Act of 2008, Public Law 110-337.

OBJECTIVES:

The objectives are (1) to support PCCs' efforts to prevent, and provide treatment recommendations, for poisonings; (2) to comply with operational requirements needed to sustain accreditation and or achieve accreditation; and (3) to conduct an evaluation of the strategies and activities undertaken within the project application and other purposes as stated in Section 1273 (b) of Public Law 110-377.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Assistance provided under this program may be used to support PCCs' efforts to prevent, and provide treatment recommendations for, poisonings; to conduct an evaluation of the strategies and activities undertaken within the project application; and support accreditation efforts of unaccredited centers in order to improve access to poison center services including poisoning prevention education.

Applicant Eligibility:

U.S. accredited Poison Control Centers. Unaccredited centers are eligible for a grant waiver if such centers can reasonably demonstrate that they will obtain such accreditation within a reasonable period of time.

Beneficiary Eligibility:

Residents of the 50 United States, Puerto Rico, the District of Columbia, the U.S. Virgin Islands, Guam, American Samoa, and the Federated States of Micronesia.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package including documentation of maintenance of effort. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. HRSA requires all applicants to apply electronically through Grants.gov.

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions

Award Procedure:

Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Final decisions will be made 4 to 5 months after receipt of applications.

Appeals:

Not Applicable.

Renewals:

Renewals will be determined by the Associate Administrator for the Healthcare Systems Bureau and extensions are requested in writing addressed to the Grants Management Specialist.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

Refer to the funding opportunities announcement. See the following for information on how assistance is awarded/released: Grantees drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web-based payment system for HHS awards.

Reports:

A final report is required. No cash reports are required. Progress reports are requested as the need arises. No expenditure reports are required. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records for 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit, or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Salaries) FY 12 \$16,974,647; FY 13 est \$16,232,344; and FY 14 est \$15,921,748

Range and Average of Financial Assistance:

Range: \$12,466 to \$1,966,880.

Average: \$306,270.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices. Poison Control Program - Healthcare Systems Bureau, Health Resources and Services Administration, Department of Health and Human Services, Room 10-105, 5600 Fishers Lane, Rockville, Maryland 20857. Telephone: (301) 594-4394.

Headquarters Office:

Poison Control Program, 5600 Fishers Lane, Room 10-105, Rockville, Maryland 20857 Phone: (301) 594-4394

Website Address:

www.hrsa.gov.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Completeness and clarity of the project narrative; practicality and achievability of the plan to use requested grant funds to increase access to services; clarity and appropriateness of the budget and coordinated budget narrative; strength and adequacy of professional staff and subcontractors; clarity and strength of methodology; and strength of letters of support for any collaborative efforts.

93.254 INFANT ADOPTION AWARENESS TRAINING

(IAATP)

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Children's Health Act of 2000, Title XII, Subtitle A and B, Section 330F and Section 330G, Public Law 106-310.

OBJECTIVES:

To develop and implement programs to train designated staff of eligible health centers in providing adoption information and referrals to pregnant women on an equal basis with all other courses of action included in non-directive counseling to pregnant women.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grant funds may be used to plan and implement: (1) approved programs directly or through grants or cooperative agreements with other adoption organizations; (2) a training curriculum, consistent with best practice guidelines; (3) reimbursement to eligible health centers for all costs in obtaining the training. Project funds may not be used for construction.

Applicant Eligibility:

Nonprofit private national, regional, or local organizations among whose primary purposes are adoption and are knowledgeable in all elements of the adoption process, e.g., types of adoptions, relevant State and local laws regarding relinquishment procedures and putative father involvement and medical and financial resources for birth mothers, and on providing adoption information and referrals to pregnant women.

Beneficiary Eligibility:

Private nonprofit organizations, health professionals, health staff of Federally funded voluntary family planning projects supported under Section 1001 of the Public Health Service Act, staff of community health centers, migrant health centers, and centers providing services to homeless individuals and centers providing services to residents of public housing supported under Section 330 of the Public Health Service Act. PHS-funded school health centers, and public health clinics, or any health professional providing option counseling to pregnant women.

Credentials/Documentation:

Demonstration of 501(c)(3) status or status pending with the IRS. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Application procedures can be obtained via the World Wide Web at <http://www.acf.hhs.gov/grants/index.html>.

Award Procedure:

Review is conducted by at least three nonfederal professionals. Final funding decisions will be made by Commissioner, ACYF.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. Application deadlines can be obtained via the World Wide Web at <http://www.acf.hhs.gov/grants/index.html>.

Appeals:

Other - Not Specified.

Renewals:

Other - Not Specified.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

One to Five years. Method of awarding/releasing assistance: by letter of credit.

Reports:

Recipients will be required to submit programmatic performance reports semi-annually. PSC-272. Progress reports are not applicable. Recipients will be required to submit financial expenditure reports semi-annually. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to an award shall be retained for a period of 3 years from the date of submission of the final expenditure report.

Account Identification:

75-1536-0-1-506.

Obligations:

(Project Grants (Discretionary)) FY 12 \$0; FY 13 est \$0; and FY 14 est \$0 - No funding has been provided for this program for FY 2012, FY 2013 and FY 2014.

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Matthew McGuire Administration on Children, Youth and Families, Children's Bureau; 1250 Maryland Avenue, SW; 8th Floor., Washington, District of Columbia 20024 Email: matthew.mcguire@acf.hhs.gov Phone: (202) 205-7270 Fax: (202) 260-9345.

Website Address:

<http://www.acf.hhs.gov/programs/cb>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.255 CHILDREN'S HOSPITALS GRADUATE MEDICAL EDUCATION PAYMENT PROGRAM

CHGME Payment Program

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Section 340E of the Public Health Service Act (42 U.S.C. 256e), Public Law 109-307 as amended by the Childrens Hospital GME Support Reauthorization Act of 2006.

OBJECTIVES:

The Children's Hospitals Graduate Medical Education (CHGME) Payment Program provides funds to children's teaching hospitals to support the training of pediatric and other residents in graduate medical education (GME) programs. Federal funding for graduate medical education (GME) is extensively provided by the Centers for Medicare and Medicaid Services (CMS). Prior to the enactment of the CHGME Payment Program, childrens teaching hospitals received a disproportionately low amount of this federal funding when compared to teaching hospitals that serve adult patients. The purpose of the CHGME Payment Program is to compensate for the disparity in the level of federal funding for pediatric teaching hospitals versus other types of teaching hospitals. The CHGME Payment Program is administered by the Bureau of Health Professions (BHPr), Health Resources and Services Administration (HRSA), Department of Health and Human Services (DHHS).

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

Funds are restricted to eligible children's hospitals for direct expenses and the other indirect expenses associated with operating approved graduate medical residency programs.

Applicant Eligibility:

Applicants (children's teaching hospitals) must meet the following eligibility requirements in accordance with the Federal Register Notice, Vol. 66, No. 41 published on March 1, 2001: (1) Participate in an approved graduate medical education program; (2) have a Medicare provider agreement as defined by section 340E(g)(2) of the PHS Act; (3) be excluded from the Medicare Inpatient Prospective Payment System (PPS) under Section 1886(d)(1)(B)(iii), of the Social Security Act and its accompanying regulations; and (4) be a "freestanding" children's hospital. Federally Recognized Indian Tribal Government and Native American Organizations may apply if they are otherwise eligible.

Beneficiary Eligibility:

Any public or private nonprofit and profit freestanding children's teaching hospital with an accredited residency training program which meets all eligibility requirements may apply.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. HRSA will use a two-tier submission process for the CHGME applications via Grants.gov and the HRSA's EHBs.

Please note that applicants can only begin Phase 2 in HRSA's EHBs after Phase 1 in Grants.gov has been completed by the applicable due date and HRSA has assigned the application a tracking number.

All qualified applications are reviewed by HRSA Staff. The CHGME Payment Program statute requires a yearly assessment of the full-time (FTE) resident counts reported by children hospitals. Fiscal intermediaries working under contract to HRSA assess those reported FTE resident counts. Final grant payment determinations are based on the final audited numbers.

Award Procedure:

Notification is made in writing by a Notice of Award (NOA).

Section 340E(a) requires the Secretary of DHHS to make payments for direct and indirect expenses associated with operating approved graduate medical residency training programs for each fiscal year. The two statutory formulas (direct medical education and indirect medical education) outline payments to eligible children's teaching hospitals.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Hospitals can appeal the determination of FTE resident counts by CHGME fiscal intermediaries to the Provider Reimbursement Review Board (PRRB) under section 1878 of the Social Security Act.

Renewals:

Eligible children's hospitals must complete a CHGME Payment Program application for inclusion in the program for each fiscal year that they are applying for funds. They must also submit a reconciliation application that will reflect the Secretary determination of the Final FTE resident counts carried out by CHGME fiscal intermediaries led by a contractor. The contractor is under contract to the Bureau of Health Professions (BHPr) to conduct the assessments of residents counts reported by childrens hospitals on their initial applications for funds.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

In accordance with the Healthcare Research and Quality Act, 1999, Public Law 106-129, the Secretary of DHHS shall determine the number of resident FTE counts before the beginning of each fiscal year that payments are made for a hospital. The amounts of the payments for direct and indirect medical education are made to each hospital in 12 equal interim installments during the Federal fiscal year. See the following for information on how assistance is awarded/released: Both interim payments (prior to audits) and final payments are computed using a zero sum gain payment model. The calculations of both direct and indirect graduate medical education payments are formula based.

Once payments are determined, grantees drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

Public Law 109-307 requires childrens hospitals receiving CHGME payments to submit an annual report that focuses on their GME training programs. The childrens hospitals comply with the Government Performance Result Act (GPRA) and submit performance data each fiscal year as part of their reconciliation applications for funds. No cash reports are required. No progress reports are required. No expenditure reports are required. Performance monitoring is not applicable.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. DHHS, or any other authorized federal agency, may conduct an audit to determine whether the applicant has complied with all governing laws and regulations in its application for funding. Any and all information submitted to DHHS by an applicant or participating hospital during or after the award of funds is subject to review in an audit. Eligible hospitals are subjected to the terms of the Full-Time Equivalent Assessment Process Guidance and Assessments which is available electronically via CHGME Payment Program web site noted in Application Procedure above.

Records:

Grantees are required to maintain grant accounting records for three years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit, or other action involving the award has been started before the expiration of the three year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular three year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Direct Payments for Specified Use) FY 12 \$250,101,000; FY 13 est \$234,686,447; and FY 14 est \$80,178,000

Range and Average of Financial Assistance:

Range: \$37,000-\$20,515,000; average \$4,991,000 in combined DME and IME payments.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Healthcare Research and Quality Act, 1999 (Public Law 106-129), Section 340E of the Public Health Service Act; The Children's Health Act, 2000 (Public Law 106-310, Title XX); Public Law 108-490; Public Law 109-307; Social Security Act, Section 1886, 42 CFR 412.105; 42 CFR 413.86; FRN Vol. 65, No. 118 published on June 19, 2000; FRN Vol. 66, No. 41 published on March 1, 2001; and FRN Vol. 66, No. 140 published on July 20, 2001, and FRN Vol. 68, No. 204, published on October 22, 2003.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices. Anna Maria Padlan, Children Hospitals Graduate Medical Education Training Branch, Division of Medicine and Dentistry, Bureau of Health Professions, Health Resources and Services Administration, Department of Health and Human Services, 5600 Fishers Lane, Rockville, MD 20857, Telephone: (301) 443-6190, E-mail: APadlan@hrsa.gov.

Headquarters Office:

Division of Medicine and Dentistry 5600 Fishers Lane, Room 9-A27, Rockville, Maryland 20857 Phone: (301) 443-6190 Fax: (301) 443-8890

Website Address:

www.hrsa.gov; <http://www.bhpr.hrsa.gov/childrenshospitalgme>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Children's teaching hospitals must meet all of the eligibility criteria before being considered for program funds and must be training residents during the year for which they are applying for funding. This is a formula-based grant payment program. Application review is conducted at HRSA and an independent assessment of residents count is conducted by fiscal intermediaries.

93.256 STATE HEALTH ACCESS PROGRAM

(SHAP)

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Section 301 of the Public Health Service Act, as amended (42 U.S.C. 241(a)); Public Law 111-8.

OBJECTIVES:

The purpose of the State Health Access Program is to support States that demonstrate they are ready to implement a program design which provides the uninsured with access to health insurance. The overarching program goal is to provide support to States to implement program designs already developed which provide access to affordable health care coverage to all or a segment of the uninsured citizens in a State.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

The purpose of the State Health Access Program (SHAP) is to support States that are ready to implement a program design to provide the uninsured with access to affordable health care coverage. Eligible States will be required to demonstrate that they have achieved the key State and local statutory or regulatory changes required to implement the new program within twelve months of the grant start date. The types of activities that can be supported through this grant can be widely varied, including but not limited to: three share community coverage (employer, State or local government, and the individual); reinsurance plans that subsidize a certain share of carrier losses within a certain risk corridor; subsidized high risk insurance pools; health insurance premium assistance; creation of a State insurance connector authority to develop new, less expensive, portable benefit packages for small employers and part-time and seasonal workers; development of statewide or automated enrollment systems for public assistance programs; health savings accounts; and innovative strategies to insure low-income childless adults. States may choose to implement one activity or a combination of activities. Other innovative programs that expand insurance to a targeted or comprehensive uninsured population will also be considered.

The majority of awarded funds are to be spent directly on implementation activities to start up program operations that are important to the implementation of the health care coverage program. Examples could include funding the administration of a connector model, designing or expanding a management information system to enhance enrollment capacities, development and dissemination of outreach and enrollment materials, development of a comprehensive website which facilitates education of and enrollment into the program, or to pay the start up costs of providing premium subsidies to those eligible for the program.

The intention behind the funding of these types of activities is to demonstrate how a state can significantly increase the States health care coverage under an expansion program. For example, the funded activity may result in an important increase in enrollment or a measurable improvement in progress toward attracting hard to reach target populations. Thus, an evaluation plan must be included in the application which describes the evaluation design and data collection methods to be used.

Two types of State grants are available under this program: 1) targeted grants of \$2-4 million a year for a 5 year grant period for States focusing health coverage initiatives on a limited sub population(s); and 2) grants of \$7-10 million a year for a 5 year grant period for States planning more comprehensive coverage initiatives .

Applicant Eligibility:

States and US Territories and Possessions.

Eligible applicants are any public State entity designated by the Governor of that State. The Governor must be the requestor of the grant and only one entity per State is permitted to apply. For purposes of this grant program, the term State refers to any of the 50 States, the District of Columbia, Guam, the Commonwealth of Puerto Rico, the Northern Mariana Islands, the Virgin Islands, American Samoa, the Trust Territory of the Pacific Islands and Federally Recognized Indian Tribal Government and Native American Organizations are eligible to apply.

Beneficiary Eligibility:

See above.

Credentials/Documentation:

A letter signed by an authorized individual on behalf of the lead State agency or organization designated by the Governor must be included. The letter should indicate the potential grants principal contact person, the amount of funding requested, and the names of all agencies and organizations participating in the proposed program. The project must be directed by a component of State government.

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Grant applications and required forms for this program can be obtained from Grants.gov. Please visit the Grants.gov Web site at www.grants.gov to both find and apply for all Federal grant opportunities. All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Award Procedure:

Each of the applications will undergo an eligibility and conformance review by Federal staff at the State Health Access Program Office. Applications that meet the eligibility and conformance review criteria will be assigned to members of an Objective Review Committee (ORC) that will review them based on the evaluation criteria listed in the application guidance. The results of the ORC reviews will be shared with the Associate Administrator, Healthcare Systems Bureau, who will make the final decisions. Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

The time required for the applications to be approved or disapproved is projected to be 90 days.

Appeals:

Not Applicable.

Renewals:

Applicants responding to this announcement may request funding for a project period of up to five (5) years. Renewals will be determined by the Associate Administrator, Healthcare Systems Bureau. Extensions must be requested in

writing addressed to the Grants Management Specialist.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: Percent: 20%. States shall be required to demonstrate their seriousness of intent by matching twenty (20) percent of the Federal grant through non-Federal resources, which could be a combination of State, local, and private dollars from insurers, providers, and other private organizations. Waiver of the matching requirement shall be possible if financial hardship is demonstrated. Evidence of financial hardship should be strongly supported through a letter from the States Budget or fiscal Officer with thorough justification provided. In addition, States are required to demonstrate their ability to financially sustain the health care coverage program without Federal grant funding after the five-year grant period. . MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Funds are available to grantees on an as needed basis throughout the budget period and payments are made through the DHHS Payment Management System. See the following for information on how assistance is awarded/released: Grantee drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

Successful applicants must comply with the administrative requirements outlined in 45 CFR Part 74 (non-governmental) or 45 CFR Part 92 (governmental), as appropriate. Reports are to be provided as outlined in the grant conditions. Reports are to be provided as outlined in the grant conditions. Reports are to be provided as outlined in the grant conditions. Reports are to be provided as outlined in the grant conditions.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records 3 years after the date they submit the Federal Financial Review (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Project Grants) FY 12 \$0; FY 13 est \$0; and FY 14 est \$0

Range and Average of Financial Assistance:

Range - \$2,000,000-\$10,000,000.

Average Award - 5,000,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices. Michelle Herzog, Director, State Health Access Program, Healthcare Systems Bureau, Health Resources and Service Administration, Room 10C-03, 5600 Fishers Lane, Rockville, MD 20857. Telephone: (301) 443-0650. Fax: (301) 443-1221. Email: mherzog@hrsa.gov.

Headquarters Office:

Michelle Herzog 5600 Fishers Lane, Room 10C-03., Rockville, Maryland 20857 Phone: (301) 443-0650

Website Address:

www.hrsa.gov

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Please see program guidance.

93.257 GRANTS FOR EDUCATION, PREVENTION, AND EARLY DETECTION OF RADIOGENIC CANCERS AND DISEASES

Radiation Exposure Screening and Education Program

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Section 417C of the Public Health Service Act, 42 U.S.C. 285a-9, as amended by the Radiation Exposure Compensation Act Amendments of 2000 (P. L. 106-245).

OBJECTIVES:

To award grants for the purpose of enabling grantees to carry out programs for: (1) screening individuals described under section 4 (a)(1)(A)(i) or 5(a)(1)(A) of the Radiation Exposure Compensation Act (42 U.S.C. 2210 note) for cancer as a preventative health measure; (2) providing appropriate referrals for medical treatment of individuals screened under paragraph (1) and to ensure, to the extent practicable, the provision of appropriate follow-up services; (3) developing and disseminating public information and education programs for the detection, prevention, and treatment of radiogenic cancers and diseases; and facilitating applicants in the documentation of claims as described in section 5(a) of the Radiation Exposure Compensation Act (41 U.S.C. 2210 note).

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grants are awarded to organizations that develop new or expand existing capacity to provide screening, referrals for medical treatment, education, and eligibility assistance for eligible individuals. Restrictions on the Use of Grant Funds: (1) grant funds may not be used to pay for inpatient services; (2) grant funds may not be used to make cash payments to intended recipients of primary health care services or specialty care; (3) grant funds may not be used to supplant other provider/third party coverage payments available to the patient; (4) grant funds may not be used to purchase or improve real property (other than minor remodeling of existing improvements to real property) or to purchase major medical equipment without the approval of the HRSA Grants Management Office; and, (5) not more than 10 percent of any grantee's funds shall be used for legal services to assist users in obtaining benefits under the Radiation Exposure Compensation Program.

Applicant Eligibility:

The following entities, (located within the approved States of Arizona, Colorado, Idaho, Nevada, New Mexico, North Dakota, Oregon, South Dakota, Texas, Utah, Washington or Wyoming) are eligible to apply for the funds: (1) National Cancer Institute-designated cancer centers; (2) Department of Veterans Affairs hospitals or medical centers; (3) Federally Qualified Health Centers; (3) agencies of any State or local government that currently provide direct health care services; (4) IHS health care facilities, including programs provided through tribal contracts, compacts, grants, or cooperative agreements with the IHS and which are determined appropriate to raising the health status

of Indians; including Federally recognized Indian Tribal Government and Native American Organizations and (5) nonprofit organizations.

Beneficiary Eligibility:

For purposes of the RESEP, individuals eligible for health screening, education, medical referral, and appropriate follow-up services include an individual who either: (1) was employed in a uranium mine or uranium mill (including any individual who was employed in the transport of uranium ore or vanadium-uranium ore from such mine or mill) located in Colorado, Nevada, New Mexico, Arizona, Wyoming, South Dakota, Washington, Utah, Idaho, North Dakota, Oregon, and Texas at any time during the period beginning on January 1, 1942, and ending on December 31, 1971; (2) was a miller or ore transporter who worked for at least 1 year during the period beginning on January 1, 1942, and ending on December 31, 1971; (3) was physically present in the nuclear arms testing area (which includes, in the State of Utah, the counties of Beaver, Garfield, Iron, Kane, Millard, Piute, San Juan, Sevier, Washington, and Wayne; in the State of Nevada, the counties of Eureka, Lander, Lincoln, Nye, White Pine, and that portion of Clark County that consists of townships 13 through 16 at ranges 63 through 71; and in the State of Arizona, the counties of Apache, Coconino, Gila, Navajo, and Yavapai) for a period of at least 2 years during the period beginning on January 21, 1951, and ending on October 31, 1958; (4) was physically present in the nuclear arms testing area, cited in 3. above, for the period beginning on June 30, 1962, and ending on July 31, 1962, or (5) participated onsite in a nuclear arms test involving the atmospheric detonation of a nuclear device, in either the area described in 3. above or within the official boundaries of the Pacific, Trinity, or South Atlantic Test Sites.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Grant applications and required forms for this program can be obtained from Grants.gov. Please visit the Grants.gov Web site at www.grants.gov to both find and apply for all Federal grant opportunities. All applicants are required to apply electronically through Grants.gov.

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Award Procedure:

Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 180 days.

Appeals:

Not Applicable.

Renewals:

Radiation Exposure Screening and Education Program (RESEP) awards may be made for up to 3-year project periods. Subject to availability of funds, after initial awards, projects may be renewed non-competitively contingent upon submission and approval of an application, availability of appropriated funds, and awardee's satisfactory performance.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Awards are made annually. After funds are issued, funds are released in accordance with payment procedures of DHHS, which may be an Electronic Transfer System or monthly cash request system. See the following for information on how assistance is awarded/released: Grantee drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

Grantees are required to submit an annual report that describes the utilization costs of services provided under the grant, and provide such other information as the Secretary determines appropriate. No cash reports are required. An electronic quarterly Federal Financial Report (FFR) is due to the Division of Payment Management within 30 days of the end of each quarter. A final FFR must be submitted to HRSA via the Electronic HandBooks (EHB) within 90 days of the end of each budget period. Final progress reports are due 90 days after the end of a project period. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records 3 years after the date they submit the Federal Financial Review (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Project Grants) FY 12 \$1,498,467; FY 13 est \$1,935,335; and FY 14 est \$1,935,335

Range and Average of Financial Assistance:

Range = \$158,000 to \$203,525.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices. Karen Beckham, kbeckham@hrsa.gov; 301-443-0502. The Office of Rural Health Policy, Health Resources and Services Administration, Department of Health and Human Services, 5600 Fishers Lane, Room 5A-55, Rockville, MD 20857. Telephone: (301) 443-0835.

Headquarters Office:

Karen Beckham 5600 Fishers Lane, Room 5A-55, Rockville, Maryland 20857
Phone: (301) 443-0835

Website Address:

<http://www.hrsa.gov/ruralhealth/about/community/resegrant.html>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

(1) Need and Readiness -- the extent to which the applicant can demonstrate a need for these services in their area and their readiness to provide them; (2) administration -- the extent to which the applicant demonstrates that it has the administrative experience and capacity to successfully implement this program; (3) health care services -- the extent to which the applicant has the capacity to provide or arrange for the required services; (4) collaborative arrangements -- the extent to which the applicant has developed and documented collaborative arrangements with other local providers to conduct outreach, provide services and make referrals; and, (5) appropriateness of budget -- the extent to which the applicant's budget for the scope of the proposed activities.

93.259 RURAL ACCESS TO EMERGENCY DEVICES GRANT AND PUBLIC ACCESS TO DEFIBRILLATION DEMONSTRATION GRANT

RAED & PADDP

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Public Health Improvement Act Title IV Cardiac Arrest Survival, Subtitle B Rural Access to Emergency Devices, Section 413, Public Law 106-505 (42 U.S.C. 254c); Section 313 of the Public Health Service Act, (42 U.S.C. 245); P.L. 107-188 and P.L. 111-8, Section 4.

OBJECTIVES:

The purpose of the Rural Access to Emergency Devices (RAED) Grant Program is to (1) purchase automated external defibrillators (AEDs) that have been approved, or cleared for marketing, by the Food and Drug Administration; (2) provide defibrillator and basic life support training in automated external defibrillator usage through the American Heart Association, the American Red Cross, or other nationally recognized training courses, and (3) place the AEDs in rural communities with local organizations.

The Public Access Defibrillation Demonstration Project (PADDP) grant program supports innovative, comprehensive, community-based public access defibrillation demonstration projects that: (1) provide cardiopulmonary resuscitation and automated external defibrillation to cardiac arrest victims in unique settings; (2) provide training to community members in cardiopulmonary resuscitation and automated external defibrillation; and (3) maximize community access to automated external defibrillators (AED).

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

All funds awarded are to be expended solely for the purposes outlined in the approved projects.

Applicant Eligibility:

RAED program: Awards will be made to community partnerships for purchase, placement and training for AEDs in eligible rural areas. These partnerships are defined as a consortium of first responders (e.g., EMS, law enforcement and fire departments) and local for-profit and nonprofit entities that may include but are not limited to, long-term care facilities, rural health clinics, community health centers, post offices, libraries and other civic centers, athletic facilities, senior citizen and day care facilities, faith-based organizations and schools without AEDs. An applicant must be part of a statewide, regional or multi-county consortium or rural community organization applying as a community partnership. In addition to eligible rural areas in the 50 States, applicants or members of the partnership can be located in the Commonwealth of Puerto Rico, the Commonwealth of the Northern Mariana Islands, the Territories of the Virgin Islands, Guam, American Samoa, and the Compact Free Association Jurisdictions of the Republic of the Marshall Islands, the

Republic of Palau and the Federated States of Micronesia.

PADDP program: An applicant must be a political subdivision of a State, a Federally Recognized Native American Organization, or a Federally Recognized Indian Tribal Government.

Beneficiary Eligibility:

See above.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. HRSA requires all applicants to apply electronically through Grants.gov.

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Award Procedure:

Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately six months.

Appeals:

Not Applicable.

Renewals:

Awards may be made for up to 3-year project periods. Subject to availability of funds, after initial awards, projects may be renewed non-competitively contingent upon submission and approval of an application, availability of appropriated funds, and awardee's satisfactory performance.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. This program has no matching requirements. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Awards are made annually. See the following for information on how assistance is awarded/released: Grantee drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

An electronic quarterly Federal Financial Report (FFR) is due to the Division of Payment Management within 30 days of the end of each quarter. A final program report will be submitted to the Secretary, DHHS via ORHP within 90 days after the close of the budget period. This report will include a retrospective review of how the AED(s) affected response times, pre-hospital mortality rates, etc. No cash reports are required. A final FFR must be submitted to HRSA via the Electronic HandBooks (EHB) within 90 days of the end of each budget period. No expenditure reports are required. A Performance Improvement Measurement System Report will be due annually within 30 days of the end of each budget period.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit

Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records 3 years after the date they submit the Federal Financial Review (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Project Grants) FY 12 \$398,394; FY 13 est \$398,359; and FY 14 est \$74,908 - RAED. (Project Grants) FY 12 \$299,398; FY 13 est \$331,565; and FY 14 est \$323,560 - PADDP.

Range and Average of Financial Assistance:

\$63,000 to \$100,000; \$91,240 (average).

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices. RAED Program Contact: Michele Pray Gibson, Office of Rural Health Policy, Health Resources and Services Administration, Department of Health and Human Services, 5600 Fishers Lane, Room 5A 05, Rockville, MD 20857. Telephone: (301) 443-7320.

PADDP Program Contact: Michele Pray Gibson, Office of Rural Health Policy, Health Resources and Services Administration, Department of Health and Human Services, 5600 Fishers Lane, Room 5A 05, Rockville, MD 20857. Telephone: (301) 443-7320.

Headquarters Office:

Office of Rural Health Policy, 5600 Fishers Lane, Room 5A 05, Rockville, Maryland 20857 Phone: (301) 443-0835.

Website Address:

www.hrsa.gov.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

For additional information, refer to the specific funding opportunities announcement.

93.260 FAMILY PLANNING_PERSONNEL TRAINING

FP Training.

FEDERAL AGENCY:

Office of Population Affairs, Department of Health and Human Services

AUTHORIZATION:

Title X of the PHS Act, 42 U.S.C. 300 et seq, 42 U.S.C 300 et seq.

OBJECTIVES:

To provide job specific training for personnel to improve the delivery of family planning services.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Grants are awarded to provide training to Title X project staffs; to improve utilization and career development of paraprofessional and paramedical manpower in family planning services, particularly in rural areas. Funds may not be used in programs where abortion is a method of family planning. Regulations and Program Guidelines: The regulations set out at 42 CFR part 59, subpart C, govern grants to provide training for family planning service providers. Prospective applicants should refer to the regulations in their entirety. Training provided must be consistent with the requirements for providing family planning services under Title X. These requirements can be found in the Title X statute, the implementing regulations (42 CFR part 59, subpart A), and the Program Guidelines for Project Grants for Family Planning Services (Program Guidelines) (January 2001). In addition, any training regarding sterilization of clients as part of the Title X program should be consistent with 42 CFR part 50, subpart B (Sterilization of Persons in Federally Assisted Family Planning Projects). Copies of the Title X statute, applicable regulations, and Program Guidelines can be obtained by contacting the OPHS Office of Grants Management, or may be downloaded from the Office of Population Affairs (OPA) web site at <http://www.hhs.gov/opa>, and are provided in the application kit for this announcement.

Applicant Eligibility:

Any public entity (including city, county, local, regional, or State government) or nonprofit private entity located in a State (including the District of Columbia, Puerto Rico, the Commonwealth of the Northern Mariana Islands, Guam, American Samoa, the Virgin Islands, the Federated States of Micronesia, the Republic of the Marshall Islands and the Republic of Palau) is eligible to apply for a grant under this program. Faith based organizations are eligible to apply.

Beneficiary Eligibility:

Personnel delivering family planning services in Title X projects.

Credentials/Documentation:

A nonprofit private entity must provide evidence of its nonprofit status. Allow ability of costs charged to the grant will be determined in accordance with the following Cost Principles: OMB Circular No. A-21 for educational institutions, OMB Circular No. A-87 for state and local governments, and OMB Circular No. A-122 for non-profit organizations. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. All grant opportunities are posted on www.grants.gov and all applications must be submitted through [grants.gov](http://www.grants.gov).

Award Procedure:

The Regional Health Administrator, DHHS, makes the final decision(s) to approve, hold, or reject individual applications. Applicants are notified regarding the final decision on each application. All projects funded are issued a Notice of Grant Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days. From 90 to 180 days.

Appeals:

Not Applicable.

Renewals:

> 180 Days. Projects are renewed, as required, based on submission of an application including a progress report and evidence of plan compliance.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants are generally approved for a project period of 3-5 years. Method of awarding/releasing assistance: by letter of credit.

Reports:

Annual Program reports are required. Cash Reports are due on a minimum basis of quarterly. Progress reports are required for each budget period. Federal Financial Reports SF425 are due quarterly and at the end of each budget period. A final performance report is due 90 days following the end of the project period.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

HHS and the Comptroller General of the United States or any of their authorized representatives, shall have the right of access to any books, documents, papers, or other records of a grantee, subgrantee, contractor, or subcontractor, which are pertinent to the HHS grant, in order to make audits, examinations, excerpts and transcripts. In accordance with 45 CFR, Part 74.53, and 45 CFR, Part 92, grantees are required to maintain grant accounting records 3 years after the end of a budget period. If any litigation, claim, negotiation, audit or other action involving the record has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Cooperative Agreements) FY 12 \$6,350,000; FY 13 est \$2,170,000; and FY 14 Estimate Not Available

Range and Average of Financial Assistance:

\$1.0 MIL TO \$2.0 MIL.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: 16 grants were issued to provide job specific training for personnel to improve the delivery of family planning services. Fiscal Year 2013: 16 grants to be issued. Fiscal Year 2014: same.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 74 and 92. Specific program requirements are contained in the Federal Register Notice, the application instructions, and the HHS Grants Policy Statement.

Regional or Local Office:

See Regional Agency Offices. Regional Health Administrator, DHHS Regional Offices. (See Appendix IV of the Catalog for addresses.)

Headquarters Office:

Eric C. West, Tower Building Suite 550, 1101 Wootton Parkway, Rockville, Maryland 20852 Email: eric.west@hhs.gov Phone: (240) 453-8822.

Website Address:

<http://www.opa.osophs.dhhs.gov> .

RELATED PROGRAMS:

93.217 Family Planning_Services; 93.974 Family Planning_Service Delivery Improvement Research Grants

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Proposals will be evaluated to determine which would best promote the purposes of the authorizing legislation, taking into account: (1) The increase in delivery of services to people, particularly low-income groups, with a high percentage of unmet need for family planning services; (2) the promise of the program to fulfill the family planning services needs of the area to be served; (3) capacity to use assistance rapidly and effectively; (4) the administrative and management capability and competence of the applicant; (5) competence of the project staff; and (6) compliance with pertinent regulations and guidelines.

**93.261 NATIONAL DIABETES PREVENTION PROGRAM:
PREVENTING TYPE 2 DIABETES AMONG PEOPLE AT HIGH RISK**

National Diabetes Prevention Program

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Section 317(k)(2) of the Public Health Service Act (PHS Act), 42 U.S.C. 247b (k)(2); Section 301(a) of the PHS Act, 42 U.S.C. 241(a).

OBJECTIVES:

The purpose of this funding announcement is to scale (expand) and sustain the National Diabetes Prevention Program (National DPP). Scaling and sustaining the National DPP includes: Establish an evidence-based lifestyle change program in multiple states for populations at high risk for type 2 diabetes that meet the standards outlined in the CDC Diabetes Prevention Recognition Program Standards and Operating Procedures (DPRP Standards) available at www.cdc.gov/diabetes/prevention/recognition; Recruit and facilitate training of lifestyle coaches to deliver the evidence-based lifestyle change program; Educate employers in multiple states about the benefits and cost-savings of offering the evidence-based lifestyle change program as a covered health benefit for employees; Educate public and private health insurance companies about the benefits and cost-savings of reimbursing organizations delivering the evidence-based lifestyle change program using a pay-for-performance model; Develop and implement strategic marketing and promotional activities to increase awareness and referrals to the evidence-based lifestyle change program; Sustain the evidence-based lifestyle change program without government grant funds when this cooperative agreement ends, through securing voluntary reimbursement from public and private health insurance companies for organizations delivering the evidence-based lifestyle change program using a pay-for-performance model;

Ensure that each intervention site offering the evidence-based lifestyle change program under this FOA will obtain recognition from the CDC DPRP. CDC Diabetes Prevention Recognition Program Standards and Operating Procedures (DPRP Standards) available at www.cdc.gov/diabetes/prevention/recognition; Ensure that each intervention site offering the evidence-based lifestyle change program has the capacity to collect participant information and maintain a data collection system as outlined in the CDC Diabetes Prevention Recognition Program Standards and Operating Procedures (DPRP Standards) available at www.cdc.gov/diabetes/prevention/recognition.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

The award recipients will be expected to develop, implement, market, and evaluate a comprehensive plan for scaling the National DPP lifestyle change program in select communities. The awardees will identify and engage organizations and/or employers that have capacity to offer the National DPP lifestyle change program and facilitate the establishment of the National DPP lifestyle change program, in collaboration with these organizations and/or employers. Awardees will also work with insurance companies to reimburse organizations offering the National DPP lifestyle change program through this cooperative agreement and work with employers and insurers to offer the National DPP lifestyle change program using pay for performance model. Also, they may work with employers to offer the National DPP lifestyle change program as a covered benefit for employees. The awardees will be expected to

develop, implement and evaluate marketing and communications strategies to promote the National DPP lifestyle change program, educate health care providers about the program and encourage provider referrals to National DPP lifestyle change programs. Funds may be used to support scaling the National DPP lifestyle change programs in select communities described in Section 050 above. The use of and use restrictions are as follows: Recipients may not use funds for research. Recipients may not use funds for the provision of health care services. Recipients may not generally use funding for the purchase of furniture or equipment. Any such proposed spending must be identified in the budget. The recipient must perform a substantial role in carrying out project objectives and not merely serve as a conduit for an award to another party or provider who is ineligible. Recipients may not use funds for construction.

Applicant Eligibility:

Nonprofit Organizations
For-Profit Organizations
Indian/Native American Tribal Governments
Faith-based Organizations.

Beneficiary Eligibility:

Any U.S. state, political subdivision and U.S. territories (as described above), and other public entities will benefit.

Credentials/Documentation:

Applicants must document the need for assistance, state the objectives of the project, outline the methods of operation, describe the evaluation procedures and provide a budget justification for funds requested. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. All eligible applications will be initially reviewed for completeness by the Procurement and Grants Office (PGO) staff. Incomplete applications will not advance through the review process. Applicants will be notified the application did not meet eligibility and/or published submission requirements. An objective review panel will evaluate complete and responsive applications according to the evaluation criteria listed in funding opportunity announcement. Successful applicants will receive a Notice of Award (NOA) from the CDC Procurement and Grants Office. The NOA shall be the only binding, authorizing document between the recipient and CDC. The NOA will be signed by an authorized Grants Management Officer..

Award Procedure:

Successful applicants will receive a Notice of Award (NoA) from the CDC Procurement and Grants Office. The NoA shall be the only binding, authorizing document between the recipient and CDC. The NoA will be signed by an authorized Grants Management Officer and e-mailed to the program director. A hard copy of the NoA will be mailed to the recipient fiscal officer identified in the application. [Click here to enter text.](#)

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days.

Appeals:

Not Applicable.

Renewals:

From 120 to 180 days. Project period is up to 4 years with 12-month budget periods. Applications must be obtained from the Grants Management Officer, Grants Management Branch, Procurement and Grants Office, Centers for Disease Control and Prevention. Telephone: (770) 488-2700.

Formula and Matching Requirements:

This program has no statutory formula.

Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Successful applicants will receive a Notice of Award (NoA) from the CDC Procurement and Grants Office. The NoA shall be the only binding, authorizing document between the recipient and CDC. The NoA will be signed by an authorized Grants Management Officer and e-mailed to the program director. A hard copy of the NoA will be mailed to the recipient fiscal officer identified in the application. Method of awarding/releasing assistance: lump sum.

Reports:

Funded applicants must provide CDC with an original, plus two hard copies of the following reports:

1. Annual progress report, due 90 days after the end of the budget period.

Information needed in an annual report includes:

A. Progress towards objectives.

B. Successes.

C. Barriers encountered.

D. Technical Assistance and training requested.

2. Annual and Final performance and Federal Financial Reports (SF-425)*, no more than 90 days after the end of each budget year and project period.

These reports must be submitted to the attention of the Grants Management Specialist listed in the Section VIII below entitled Agency Contacts.o. No cash reports are required. Funded applicants must provide CDC with an original, plus two hard copies of the following reports:

1. Annual progress report, due 90 days after the end of the budget period.

Information needed in an annual report includes:

A. Progress towards objectives.

B. Successes.

C. Barriers encountered.

D. Technical Assistance and training requested. Federal Financial Reports (SF-425 is due no 90 days after the end each calendar quarter that the budget period ends. Annual Progress reports are required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the grant program must be kept readily available for review by personnel authorized to examine PHS grant accounts. Financial records, supporting documentation, statistical records, and all other records pertinent to an award shall be retained for a minimum of 3 years, or until completion and resolution of any audit in process or pending resolution. In all cases records must be retained until resolution of any audit questions. Property records must be retained in accordance with 45 CFR 92.42 requirements.

Account Identification:

75-0094-3-1-550.

Obligations:

(Cooperative Agreements) FY 12 \$6,755,060; FY 13 est \$5,750,000; and FY 14 est \$5,750,000

Range and Average of Financial Assistance:

\$750,000 - \$ 2 million.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Sue Shaw NCCDPHP

4770 Buford Hwy, MS K10, Atlanta, Georgia 30341 Email: SShaw1@cdc.gov
Phone: 770-488-6241

Website Address:

<http://www.cdc.gov/diabetes/prevention>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.262 OCCUPATIONAL SAFETY AND HEALTH PROGRAM

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Occupational Safety and Health Act of 1970, Section 20(a) and 21(a), 29 U.S.C 669(a) & 670(a); Federal Mine Safety and Health Act, Section 501(a), 30 U.S.C 1(NOTE) & 951(a); Public Health Service Act, Section 301(a) and 405, 42 U.S.C 241 and 284; Executive Order Federal Regulations 42 CFR 52 and 45, Part 74 and 92.

OBJECTIVES:

To (1) recognize new hazards; (2) define the magnitude of the problem; (3) follow trends in incidence; (4) target exceptional hazardous workplaces for intervention; and (5) evaluate the effectiveness of prevention efforts. The goal of this program is to increase worker safety and health. To develop specialized professional and paraprofessional personnel in the occupational safety and health field with training in occupational medicine, occupational health nursing, industrial hygiene, occupational safety, and other priority training areas. To perform medical monitoring and treatment for World Trade Center Responders and non-Responders and to create a Registry of affected workers.

TYPES OF ASSISTANCE:

Project Grants; Training

USES AND USE RESTRICTIONS:

Research grants and cooperative agreements are intended to support the direct costs of a project, in accordance with an approved budget, plus an appropriate amount for indirect costs. Training grants: Funds may be used for long term training programs and/or education and research centers. Support is provided for the direct costs of the program, plus certain indirect costs determined by Public Health Service policy on training programs. Amounts of stipends and other details are in accordance with Public Health Service policy. SBIR Phase I grants (of approximately 6-months duration) are to establish the technical merit and feasibility of a proposed research effort that may lead to a commercial product or process. Phase II grants are for the continuation of the research initiated in Phase I and that are likely to result in commercial products or processes. Only Phase I awardees are eligible to apply for Phase II support.

Applicant Eligibility:

Eligible applicants include for-profit or non-profit organizations, public or private institutions, such as universities, colleges, hospitals, and laboratories, units of State and local governments, eligible agencies of the Federal government, domestic or foreign institutions/organizations, faith-based organizations, Indian Tribes, Tribal Government, College and/or Organizations. Racial/ethnic minority individuals, women, and persons with disabilities are encouraged to apply as Principal Investigators. Training Grants: Any public or private educational institution or agency that has demonstrated competency in occupational safety and health training at the technical, professional, or graduate level may apply. Trainees must be admissible to the grantee institution and must be enrolled in occupational safety and health training programs. SBIR grants can be awarded only to domestic small businesses (entities that are independently owned and operated for profit, are not dominant in the field in which research is proposed and have no more than 500 employees). For SBIR grants primary employment (more than one-half time) of

the principal investigator must be with the small business at the time of award and during the conduct of the proposed project. In both Phase I and Phase II, the research must be performed in the U.S. and its possessions.

Beneficiary Eligibility:

Research institutions and agencies as well as workers affected by occupational hazards.

Credentials/Documentation:

Applications must be signed by appropriate officials of the submitting institution. For-profit organizations' costs are determined in accordance with 48 CFR Subpart 31.2 of the Federal Acquisition Regulations. For other grantees, costs will be determined by HHS Regulations 45 CFR Part 74, Subpart Q. For SBIR grants applicant organization (small business concern) must present in a research plan an idea that has potential for commercialization and furnish evidence that scientific competence, experimental methods, facilities, equipment, and funds requested are appropriate to carry out the plan. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Applications are prepared and submitted following instructions provided in Funding Opportunity Announcements (FOAs). NIOSH publishes FOAs at <http://www.Grants.gov>, and in the NIH Guide for Grants and Contracts at <http://grants1.nih.gov/grants/guide/index.html>. Grants.gov is the portal for applications. Appropriate forms are specified in each FOA. Research and training programs utilize either the SF-424 R&R for electronic submission or the PHS 398 application form and instructions which are available on the Internet at: <http://grants1.nih.gov/grants/forms.htm>.

Award Procedure:

Awards are made on the basis of a two-step review of an investigator-prepared application. Applications are initially reviewed for scientific and technical merit by a scientific peer review group. The second level of review is performed by the NIOSH Secondary Review Committee for program relevance. Final approval of funding recommendations is made by the Director, NIOSH.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

> 180 Days. Research Grants and Awards: 7-9 months. Training Grants: 9-10 months. SBIR: 7-8 months.

Appeals:

From 120 to 180 days. A principal investigator may question the substantive or procedural aspects of the review of his/her application by communicating with the staff in the NIOSH Office of Extramural Programs.

Renewals:

> 180 Days. Support is recommended for a specified project period, usually not in excess of 5 years. Prior to the end of a project period, the grantee may apply for renewal of support for a new project period. Applications for renewal (competing continuation, Type 2) will be reviewed in the same manner as a new application and will compete for available funds with other applications.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Research and Training Grants and Cooperative Agreements may be awarded for project periods ranging from one to five years depending on the recommendations of the scientific review group and the Secondary Review Committee, and on demonstration of successful performance and the availability of funds. SBIR Grants: Normally, Phase I awards are for 6 months;

normally, Phase II awards are for 2 years. See the following for information on how assistance is awarded/released: Notice of Grant Award.

Reports:

Terminal progress report (including citations of all resulting publications) within 90 days after end of project support. Cash reports are not applicable. Interim progress reports (including citations of all resulting publications) annually as part of a non-competing continuation application for previously recommended support. Annual financial status report within 90 days after the conclusion of each budget period. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Financial records, including documents to support entries on accounting records and to substantiate charges to each grant, must be kept readily available for review by personnel authorized to examine PHS grant accounts. Records must be maintained for three years after the end of each budget period. If questions still remain, such as those raised as a result of an audit, related records should be retained until the matter is completely resolved.

Account Identification:

75-0943-0-1-550.

Obligations:

(Project Grants) FY 12 \$95,444,748; FY 13 est \$89,500,000; and FY 14 est \$48,500,000 - Occupational Safety and Health Program.

Range and Average of Financial Assistance:

General Grants and Cooperative Agreements: \$15,000 to \$4,924,000. Training Grants: \$29,000 to \$1,770,000. SBIR Grants: Phase I - up to \$150,000; Phase II - up to \$1,000,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: The number of awards for FY2012 was 204. Fiscal Year 2013: The estimated number of awards for FY2013 is 187. Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR Parts 86 and 87. 45 CFR Part 74 or 92, as applicable. OMB Circulars A-21 and A-87. The PHS Grants Policy Statement, including addenda in effect as of the beginning date of the budget period, and the NIH Grants Policy Statement. Funding opportunity announcements as published in the NIH Guide: (<http://grants1.nih.gov/grants/guide/index.html>), the Grants.gov website (www.grants.gov), and the NIOSH OEP web site (<http://www.cdc.gov/niosh/oep/>). Omnibus Solicitation of the Public Health Service for Small Business Innovation Research (SBIR) Grant and Cooperative Agreement Applications. Any additional grant program legislation and regulation cited in the Notice of Grant Award.

Regional or Local Office:

None. Program Contact: Office of Extramural Programs, National Institute for Occupational Safety and Health, Centers for Disease Control and Prevention, Public Health Service, Department of Health and Human Services, 1600 Clifton Road, NE., MS-E74, Atlanta, GA 30333. Telephone: (404) 498-2530. Grants Management Contact: Grants Management Branch, Procurement and Grants Office, Acquisition and Assistance Branch, Centers for Disease Control and Prevention, Department of Health and Human Services, 626 Cochran Mill Road, PO Box 18070, Pittsburgh, PA 15236. Telephone: (412) 386-6424.

Headquarters Office:

Stephanie L. Shack, 1600 Clifton Rd., NE, Cubicle 4201.23, Mailstop E-74, Atlanta, Georgia 30333 Email: SShack@cdc.gov Phone: (404) 498-2530.

Website Address:

<http://www.cdc.gov/niosh/oep>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The major elements in evaluating proposals include assessments of: (1) the scientific merit and general significance of the proposed study and its objectives; (2) the technical adequacy of the experimental design and approach; (3) the competency of the proposed investigator or group to successfully pursue the project; (4) the adequacy of the available and proposed facilities and resources; (5) the necessity of the budget components requested in relation to the proposed project; and (6) the relevance and importance to stated program objectives. Training Grants: Criteria used in evaluating training proposals include: (1) Overall potential contribution of the project toward meeting program objectives; (2) the need for training in the areas outlined in the application; (3) curriculum content and design; (4) previous record of training; (5) evaluation methods; (6) experience and training of the project director and staff; (7) institutional commitment; (8) academic and physical environment; (9) past performance; and (10) appropriateness of budget. The following criteria will be used in considering the scientific and technical merit of SBIR Phase I grant applications: (1) The soundness and technical merit of the proposed approach; (2) the qualifications of the proposed principal investigator, supporting staff, and consultants; (3) the technological innovation of the proposed research; (4) the potential of the proposed research for commercial application; (5) the appropriateness of the budget requested; (6) the adequacy and suitability of the facilities and research environment; and (7) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment. Phase II grant applications will be reviewed based upon the following criteria: (1) The degree to which the Phase I objectives were met and feasibility demonstrated; (2) the scientific and technical merit of the proposed approach for achieving the Phase II objectives; (3) the qualifications of the proposed principal investigator, supporting staff, and consultants; (4) the technological innovation, originality, or societal importance of the proposed research; (5) the potential of the proposed research for commercial application; (6) the reasonableness of the budget requested for the work proposed; (7) the adequacy and suitability of the facilities and research environment; and (8) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment.

93.264 NURSE FACULTY LOAN PROGRAM (NFLP)

NFLP

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Title VIII of the Public Health Service Act, Sections 846A (42 U.S.C. 297n-1) and (42 U.S.C. 297o), as amended by the Patient Protection and Affordable Care Act, Public Law 111-148, Section 5311.

OBJECTIVES:

To increase the number of qualified nursing faculty. Schools of Nursing that receive NFLP funding must provide loans to students enrolled in eligible advanced degree nursing programs (masters or doctoral) with an education component(s) that will prepare the student to teach. NFLP loan recipients complete the education program, and following graduation, may cancel up to 85% of the NFLP loan while serving as full-time nurse faculty at an accredited school of nursing.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

The school must establish an NFLP loan fund for the purpose of making NFLP loans to eligible students enrolled in advanced degree nursing programs to become faculty and for the costs associated with collection of these loans.

Institutions may award a maximum loan amount of \$35,500 per student for an academic year to support the cost of tuition, fees, books, and other reasonable education expenses, not to exceed five years of NFLP loan support. Upon completion of the program, an amount up to 85 percent of the loan may be cancelled by the institution as follows: upon completion of the first, second, and third year of full-time faculty employment in an accredited school of nursing, 20 percent of the principal and interest of the unpaid loan shall be cancelled for each year; upon completion of the fourth year of full-time employment as faculty in an accredited school of nursing, 25 percent of the principal and interest of the unpaid loan shall be cancelled for the fourth year. The school will establish an NFLP fund to be used only for making NFLP loans to eligible students and for the costs associated with collection of these loans.

Applicant Eligibility:

Eligible applicants are accredited public or private collegiate schools of nursing that offer advanced degree nursing education programs (master's or doctoral) to prepare advanced degree nursing students to serve as nurse faculty. The school must be located in the 50 States, the Commonwealth of Puerto Rico, the District of Columbia, the Commonwealth of the Northern Mariana Islands, Guam, American Samoa, the Virgin Islands, the Republic of the Marshall Islands, the Federated States of Micronesia, or the Republic of Palau.

Beneficiary Eligibility:

Eligible nursing students must: (1) be a citizen or national of the United States, or a lawful permanent resident of the United States, the Commonwealth of Puerto Rico, the District of Columbia, the Commonwealth of the Northern Mariana Islands, Guam, American Samoa, the Virgin Islands, the Republic of the Marshall Islands, the Federated States of Micronesia, or the Republic of Palau; (2) be enrolled in an advanced degree program in nursing to become qualified nursing faculty; (3) not be in default on a Federal debt; and (4) maintain good academic standing.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. All applicants are required to apply electronically through Grants.gov. Grant applications and required forms for this program can be obtained at www.grants.gov.

Award Procedure:

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions. Notification is made in writing (electronic) by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 1-3 months after receipt of application.

Appeals:

Not Applicable.

Renewals:

Renewal applications must be submitted annually for additional funds.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: Schools that participate in the loan program must contribute to the loan fund an amount equal to but not less than one-ninth of the

fiscal year award.

Funding Factor: As provided under Statute. A statutory funding priority will be awarded to School of Nursing student loans that support doctoral nursing students.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Project periods are for one (1) year beginning July 1 through June 30. See the following for information on how assistance is awarded/released: Grantees drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

The institution must submit the Nurse Faculty Loan Program, Annual Operating Report (AOR) annually through electronic submission

The NFLP Annual Operating Report (AOR) is due each year by August 15, within 45 days following the last day of the project period and should reflect the loan fund activity for the reporting period. No cash reports are required. No progress reports are required. No expenditure reports are required. If applicable, the awardee must submit a Tangible Personal Property Report (SF-428) and any related forms within 90 days after the project period ends. Awardees are required to report all federally-owned property and acquired equipment with an New awards (Type 1) issued under this funding opportunity announcement are subject to the reporting requirements of the Federal Funding Accountability and Transparency Act (FFATA) of 2006 (Pub. L. 109282), as amended by section 6202 of Public Law 110252, and implemented by 2 CFR Part 170. Grant and cooperative agreement recipients must report information for each first-tier subaward of \$25,000 or more in federal funds and executive total compensation for the recipients and subrecipients five most highly compensated executives as outlined in Appendix A to 2 CFR Part 170 (FFATA details are available online at <http://www.hrsa.gov/grants/ffata.html>).

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records three years after the date they submit the Federal Financial Review (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the three year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular three year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Formula Grants) FY 12 \$23,374,698; FY 13 est \$22,101,773; and FY 14 est \$22,515,000

Range and Average of Financial Assistance:

FY 12: Range is \$5,900 to \$2,520,324; Average is \$214,580.
FY 13: Data Not Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose

of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices. Denise Thompson, Public Health Analyst , Division of Nursing, Health Resources and Services Administration, Department of Health and Human Services, Parklawn Building, 5600 Fishers Lane, Room 9-61, Rockville, Maryland 20857, Phone: (301) 443-1399, Email: dthompson@hrsa.gov.

Headquarters Office:

Denise Thompson Parklawn Building, 5600 Fishers Lane, Room 9-61, Rockville, Maryland 20857 Email: dthompson@hrsa.gov Phone: (301) 443-1399

Website Address:

www.hrsa.gov

RELATED PROGRAMS:

93.364 Nursing Student Loans

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be reviewed for completeness and accuracy, and to determine if applicant schools meet minimum program requirements. All eligible applicants are considered in a formula for determining awards. Eligible applicants must meet all applicable Federal guidelines and/or graduates must be eligible for national nursing organization certification, and/or programs must meet other national organizational guidelines, as appropriate, in the nursing field of study.

93.265 COMPREHENSIVE GERIATRIC EDUCATION PROGRAM(CGEP)

CGEP

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Title VIII, Section 855 of the Public Health Service Act (42 U.S.C. 298), as amended by P.L. 107-205 and the Patient Protection and Affordable Care Act, P.L 111-148.

OBJECTIVES:

To train and educate individuals in providing geriatric care for the elderly.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grants are used to develop and implement, in coordination with programs under section 753, programs and initiatives to train and educate individuals in providing geriatric care for the elderly. Grants may be used to: provide training to individuals who will provide geriatric care for the elderly; develop and disseminate curricula relating to the treatment of the health problems of elderly individuals; train faculty members in geriatrics; provide continuing education to individuals who provide geriatric care; or establish traineeships for individuals who are preparing for advanced education nursing degrees in geriatric nursing, long-term care, gero-psychiatric nursing or other nursing areas that specialize in the care for the elderly population. Funds may be used for salaries of personnel specifically employed for the project; consultant fees; supplies and equipment necessary to conduct the project; essential personnel travel expenses and other expenses related to the project.

Restricted uses: Indirect costs are allowed for administrative costs incurred as a result of the project, limited to eight percent of direct costs.

Applicant Eligibility:

Eligible applicants are schools of nursing, a health care facility, a program leading to certification as a certified nurse assistant, a partnership of such a school and facility, or a partnership of such a program and facility.

Beneficiary Eligibility:

Training is for individuals and faculty members who provide, or will provide, care for the elderly.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. HRSA requires all applicants to apply electronically through Grants.gov.

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Award Procedure:

Notification is made in writing (electronic) by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 6 months after receipt of applications.

Appeals:

Not Applicable.

Renewals:

Competitive continuations may be submitted during the final budget period of the approved project period.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

Project periods are up to three years. See the following for information on how assistance is awarded/released: Grantees draw down funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

Program reports are required. The awardee will be required to submit annual performance and progress reports as well as status-federal financial reports (see below for general information on each required report). The awardee must submit a quarterly electronic Federal Financial Report (FFR) Cash Transaction Report via the Payment Management System within 30 days of the end of each calendar quarter. A Federal Financial Report (SF-425) is required within 90 days of the end of each budget period. A final report is due within 90 days after the project period ends. If applicable, the awardee must submit a Tangible Personal Property Report (SF-428) and any related forms within 90 days after the project period ends. New awards (Type 1) issued under this funding opportunity announcement are subject to the reporting requirements of the Federal Funding Accountability and Transparency Act (FFATA) of 2006 (Pub. L. 109282), as amended by section 6202 of Public Law 110252, and implemented by 2 CFR Part 170. Grant and cooperative agreement recipients must report information for each first-tier subaward of \$25,000 or more in federal funds and executive total compensation for the recipients and subrecipients five most highly compensated executives as outlined in Appendix A to 2 CFR Part 170 (FFATA details are available online at <http://www.hrsa.gov/grants/ffata.html>). Competing continuation awardees, etc. may be subject to this requirement and will be so notified in the Notice of

Award. Expenditure reports are not applicable. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records three years after the date they submit the Federal Financial Review (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the three year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular three year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Project Grants) FY 12 \$4,186,341; FY 13 est \$4,030,634; and FY 14 est \$4,030,634

Range and Average of Financial Assistance:

FY 2012: \$167,378 to \$270,000; Average Award: \$238,323

FY 2013: \$157,926 to \$241,414; Average Award: \$218,171

FY 2014: est. \$157,929 to \$241,414; Average Award: \$218,171.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for state, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations, and commercial organizations, as applicable.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices. Tamara Zurakowski, PhD. GNP-BC, Project Officer, Geriatrics and Allied Health Branch, Division of Public Health and Interdisciplinary Education, Health Resources and Services Administration, Room 9C-05, 5600 Fishers Lane, Rockville, MD 20857. Telephone: (301) 443-7762. Email: TZurakowski@hrsa.gov.

Headquarters Office:

Tamara Zurakowski, PhD, GNP-BC, Project Officer 5600 Fishers Lane, Room 9-36, Rockville, Maryland 20857 Email: TZurakowski@hrsa.gov Phone: (301) 443-7762

Website Address:

www.hrsa.gov.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Competing applications are reviewed by non-Federal expert consultant(s) for technical merit recommendations. Applications will be reviewed by a panel of peer reviewers using the following criteria: A clearly stated project purpose; a documented need for the proposed project; the potential effectiveness of the proposed project; a clearly articulated project plan including evaluation of project objectives; a plan for addressing diversity and cultural competence; a

comprehensive plan for project management; the reasonableness of the budget and fiscal plan; the presence of established and/or planned linkages with relevant entities. See funding opportunity announcement for specific criteria. Approval of an application will be based on an analysis of the factors set forth in the HRSA funding opportunity announcement (FOA). See the section on Application Procedure above to obtain a copy of the announcement.

93.266 HEALTH SYSTEMS STRENGTHENING AND HIV/AIDS PREVENTION, CARE AND TREATMENT UNDER THE PRESIDENT'S EMERGENCY PLAN FOR AIDS RELIEF

Global HIV/AIDS Program

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Sections 301(a) and 307 of the Public Health Service Act (42 U.S.C. 241(a), 242i), and the Tom Lantos and Henry J. Hyde United States Global Leadership Against HIV/AIDS, Tuberculosis, and Malaria Reauthorization Act of 2008 (Public Law 110-293).

OBJECTIVES:

Fund lifesaving care and treatment programs and build and strengthen health systems in countries hit hardest by HIV/AIDS through the President's Emergency Plan for AIDS Relief (PEPFAR). Objectives include:

- (1) Delivery of essential antiretroviral therapy for HIV infection, medications to prevent and treat common opportunistic infections such as tuberculosis, supportive care for orphans and vulnerable children, and other critical services.
- (2) Training and technical assistance to build and strengthen care programs and health systems, so that affected countries can maintain programs over time and deliver quality HIV/AIDS care; build infrastructures such as clinic monitoring, health information systems, and medical and nursing education.
- (3) Enhance models of medical, nursing and midwifery education.
- (4) Develop partnerships between experts in the U.S. and people and institutions overseas to build skills and prepare host countries so that they may better manage their own programs over the long term.

Care and Treatment Program:

Rapid Expansion of Antiretroviral Therapy Programs (Care and Treatment, Track 1.0) (Cooperative Agreement or CoAg).

Health Systems Strengthening Programs:

Global HIV/AIDS Nursing Initiative/Nursing Capacity Building Program (CoAg)

Caribbean HIV/AIDS Regional Training (CHART) Network (CoAg)

International Twinning Center (CoAg)

Quality Improvement Center (CoAg)

International AIDS Education and Training Center (CoAg)

Medical Education Partnership Initiative (4 grants)

Medical Education Partnership Initiative Coordinating Center (CoAg).

TYPES OF ASSISTANCE:

Cooperative Agreements; Project Grants

USES AND USE RESTRICTIONS:

Cooperative Agreements or grants will be awarded to organizations to organizations that execute one or more of the objectives above.

Applicant Eligibility:

Depending on specific program:

Applicants must have experience providing ART in 3 or more of the targeted countries. Eligible entities are those providing clinical care and anti-retroviral therapy in at least 3 of the 15 focus countries under PEPFAR.

U.S.-based public and private nonprofit entities, including nursing training institutions and nursing or other professional organizations, tribes and tribal organizations, and faith-based and community-based organizations that demonstrate expertise in nursing and midwifery workforce development in low-resource country settings.

Public and nonprofit private entities that demonstrate history of success working with Caribbean partners in the area of public health and working with local, indigenous, Caribbean-based populations, and have at least three years

experience providing services and managing programs for local populations in the Caribbean region.

U.S. organizations: public and non-profit private entities, schools and academic health sciences centers, including faith-based and community based organizations that have significant experience establishing Twinning Partnerships in health programs.

Public or non-profit private entities, including schools of medicine, nursing, public health, management and public administration and academic health sciences centers, community-based organizations, and faith-based organizations that can provide capacity for Quality Improvement activities to improve the quality of HIV/AIDS care in HIV health care facilities to people with HIV/AIDS.

U.S. organizations, public and non-profit private entities, schools and academic health sciences centers, including faith-based organization that enhance skills and training capacity in the areas of prevention care and treatment of HIV disease, including the prevention of perinatal transmission of the disease, treatment of opportunistic infections, appropriate use of antiretroviral therapy and implementation of community home based care.

Foreign Public and Private Institutions of Higher Education with a Medical School based in a Sub-Saharan African country which receives PEPFAR support.

U.S.-based state controlled or private institutions of higher education that will work with their partner(s) to coordinate activities across medical educational institutions in Sub-Saharan Africa, develop an African leadership network to guide, support, and advocate for the effort, and serve as a source of technical support for all aspects of the multi-site initiative.

Beneficiary Eligibility:

Beneficiaries are foreign governments, foreign public or private institutions or organizations, or foreign individuals.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. HRSA requires all applicants to apply electronically through Grants.gov.

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

For governmental organizations both the OMB Circular No. A-87 and No. A-102 (45 CFR Part 92) apply to this program. For universities or nonprofit non-governmental organizations, OMB Circular No. A-110 (45 CFR Part 74) and No. A-122 apply.

Award Procedure:

Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

3-6 months.

Appeals:

Not Applicable.

Renewals:

Grants are typically awarded for up to a three or five year project period with

12-month budget periods. Competitive awards are made every 3 to 5 years.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants are typically awarded for up to a three or five year project period with 12-month budget periods. See the following for information on how assistance is awarded/released: Grantees drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

Annual program reports (non-competing continuation applications NCC) are required. No cash reports are required. Quarterly or semi-annual progress reports may also be required. Reports should provide progress on achieving objectives and modifications or new objectives. The Federal Financial Report is to be submitted within 90 days after the close of each budget period and a final Federal Financial Report is required 90 days following the end of the project period. Expenditure reports may be required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records 3 years after the date they submit the Federal Financial Review (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Cooperative Agreements) FY 12 \$60,179,275; FY 13 est \$80,000,000; and FY 14 est \$102,990,000

Range and Average of Financial Assistance:

ANNUALLY:

Care and Treatment

Floor \$7,000,000; Ceiling \$10,000,000; Average \$8,500,000

Global HIV/AIDS Nursing Initiative -

Floor \$500,000; Ceiling \$20,000,000; Average \$10,000,000

CHART Network -

Floor \$1,800,000; Ceiling \$3,000,000; Average \$2,100,000

International Twinning Center -

Floor \$500,000; Ceiling \$10,000,000; Average \$10,000,000

Quality Improvement Center -

Floor \$600,000; Ceiling \$10,000,000; Average \$5,000,000

ITECH -

Floor \$1,000,000; Ceiling \$60,000,000; Average \$55,000,000

MEPI -

Floor \$1,000,000; Ceiling \$2,000,000; Average \$1,500,000

MEPI Coordinating Center -

Floor \$1,000,000; Ceiling \$3,000,000; Average \$2,000,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: No Current Data Available. Fiscal Year 2013: No Current Data Available. Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Jose Morales 5600 Fishers Lane, Suite 7-90, Rockville, Maryland 20857 Phone: (301) 443-3650

Website Address:

<http://www.hrsa.gov>.

RELATED PROGRAMS:

93.145 AIDS Education and Training Centers; 93.941 HIV Demonstration, Research, Public and Professional Education Projects

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be evaluated on the extent to which proposed objectives are specific, measurable, time-phased, and consistent with the stated purpose of this program; the extent to which the applicant's capability is sufficient in the areas of clinical care, drug and health commodities, laboratory services, training, community mobilization and behavior, health information systems, health systems strengthening, monitoring and evaluation and sustainability; feasibility of their expansion plan; extent to which the organizational structure, management and staffing is appropriate and sound and the presentation of a reasonable budget.

93.267 STATE GRANTS FOR PROTECTION AND ADVOCACY SERVICES

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Title XII, Section 1253, as amended by the Childrens Health Act of 2000, sec.1304, PL 106-310, as further amended by the Traumatic Brain Injury Act of 2008, sec. 6(b), PL 110-206.

OBJECTIVES:

To make grants to Protection and Advocacy systems as established in each State for the purpose of enabling such systems to provide services to individuals with traumatic brain injury which may include: (1) information, referrals, and advice, (2) individual and family advocacy, (3) legal representation, and (4) specific assistance in self-advocacy.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Funds may be used to plan for, develop outreach strategies for, and carry out services for individuals with traumatic brain injury as authorized in the Section 1253 of the PHS Act. Grant funds must supplement, not supplant, nonfederal funds available in the State in which the protection and advocacy system is established.

Applicant Eligibility:

State and Territory-designated Protection and Advocacy Systems, Federally

Recognized Indian Tribal Government, and Native American Organizations are eligible applicants for State PATBI funding.

Beneficiary Eligibility:

Individuals with traumatic brain injury.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. HRSA requires all applicants to apply electronically through Grants.gov.

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Award Procedure:

Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title Public Health Service Act, Chapter 1253.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The project period for these grants is up to 48 months. Each allotment is available for obligation over a 24-month period; payments are made through an Electronic Transfer System. See the following for information on how assistance is awarded/released: Grantees drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

See the funding opportunity announcement for additional information. See the funding opportunity announcement for additional information. See the funding opportunity announcement for additional information. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records for 4 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit, or other action involving the award has been started before

the expiration of the 4-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 4-year period, whichever is later.

Account Identification:

75-0354-0-1-550.

Obligations:

(Formula Grants) FY 12 \$3,272,375; FY 13 est \$3,099,594; and FY 14 est \$3,272,375

Range and Average of Financial Assistance:

Based on FY 10
20,000 to \$184,111; \$57,410.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: No Current Data Available. Fiscal Year 2013: No Current Data Available. Fiscal Year 2014: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices. LCDR Donelle McKenna, Program Director, Protection and Advocacy for Traumatic Brain Injury Program, Division of Services for Children with Special Healthcare Needs, Maternal and Child Health Bureau, Health Resources and Services Administration, Room 13-61, Parklawn Building, 5600 Fishers Lane, Rockville, MD 20857. Telephone: 301-443-9280; dmckenna@hrsa.gov.

Headquarters Office:

LCDR Donelle McKenna, 5600 Fishers Lane, Room 13-61, Rockville, Maryland 20857 Email: dmckenna@hrsa.gov Phone: (301) 443-9280

Website Address:

www.hrsa.gov.

RELATED PROGRAMS:

93.234 Traumatic Brain Injury State Demonstration Grant Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications for allotments must fulfill statutory requirements.

93.268 IMMUNIZATION COOPERATIVE AGREEMENTS

Immunizations CoAg and Vaccines for Children Program previously published as Immunization Grants and Vaccines for Children Program

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Section 317(j) of the Public Health Service Act (42 U.S.C. 247b(j)) reauthorized in Section 4204 of the Patient Protection and Affordable Care Act. Prevention and Public Health Fund (PPHF) funding is authorized under sections 301 and 317 of the Public Health Service Act (PHS Act), 42 USC, 241 and 247b as amended and the Patient Protection and Affordable Care Act (PL 111-148). The Vaccines for Children (VFC) program is authorized under Section 1902(a)(62) of the Social Security Act, 42 U.S.C. section 1396a(a)(62). The VFC Program was established under the authority of Section 1928(a) of the Social Security Act, 42 U.S.C. 1396s(a).

OBJECTIVES:

To assist states and communities in establishing and maintaining preventive health service programs to immunize individuals against vaccine-preventable diseases (including measles, rubella, poliomyelitis, diphtheria, pertussis,

tetanus, hepatitis B, hepatitis A, varicella, mumps, haemophilus influenza type b, influenza, and pneumococcal pneumonia).

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Cooperative Agreement funds may be used for costs associated with planning, organizing, and conducting immunization programs directed toward vaccine-preventable diseases and for the purchase of vaccine; and for the implementation of other program elements, such as assessment of the problem; surveillance and outbreak control; information and education; adequate notification of the risks and benefits of immunization; compliance with compulsory school immunization laws; vaccine storage, supply management, and delivery; citizen participation; and use of volunteers. Vaccine will be available "in lieu of cash" if requested by the applicants. Requests for personnel and other items "in lieu of cash" will also be considered. Vaccine purchased with Cooperative Agreement funds may be provided to private practitioners who agree not to charge for vaccine. Cooperative Agreement funds may be used to supplement (not substitute for) existing immunization services and operations provided by a state or locality.

Applicant Eligibility:

Any U.S. state, and in consultation with state health authorities, political subdivisions of states and other public entities and U.S. territories may apply; private individuals and private nonprofit agencies are not eligible for immunization grants.

Beneficiary Eligibility:

Any U.S. state, political subdivision (as described above), and other public entities will benefit.

Credentials/Documentation:

Applicants should document the need for assistance, state the objectives of the project, outline the method of operation, describe the evaluation procedures, and provide a budget with justification of funds. Costs will be determined in accordance with 2 CFR 225 (OMB Circular No. A-87) for state and local governments. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Information on the submission of applications may be obtained from the Grants Management Officer, Grants Management Branch, Procurement and Grants Office, Centers for Disease Control and Prevention. Telephone: (770) 488-2716. This program is subject to the provisions of 45 CFR 92. The standard application forms, as furnished by PHS and required by 45 CFR 92 for state and local governments, must be used for this program.

Award Procedure:

After review and approval of an application, a Notice of Award is prepared and processed, along with appropriate notification to the public.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 60 to 90 days. From 3 to 4 months.

Appeals:

Not Applicable.

Renewals:

Same as Application Procedure.

Formula and Matching Requirements:

This program has no statutory formula.

Matching requirements are not applicable to this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Project Period: Variable. Budget Period: About 12 months. See the following for information on how assistance is awarded/released: Periodic grant rounds.

Reports:

Not Applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the grant program shall be retained for a minimum of 3 years, or until completion and resolution of any audit in process or pending resolution. In all cases records must be retained until resolution of any audit questions. Property records must be retained in accordance with PHS Grants Policy Statement requirements.

Account Identification:

75-0943-0-1-550.

Obligations:

(Cooperative Agreements) FY 12 \$4,518,381,196; FY 13 est \$3,976,326,000; and FY 14 est \$4,745,530,000 - 317 Grants: FY 2012 \$557,870,000; FY 2013 est. \$367,870,000; FY 2014 est. \$452,401,000.

VFC Grants: FY 2012 \$3,960,511,196; ; FY 2013 \$3,608,456,000; ; FY 2014 est. \$4,293,129,000.

Range and Average of Financial Assistance:

317 Grants: From \$252,725 to \$48,568,982; \$6,870,723 VFC Grants: From \$1,649,229 to \$368,026,903; \$52,334,322.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: \$4,518,381,196. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Aubrey Garner 1600 Clifton Road, NE, MS A-19, Atlanta, Georgia 30033
Email: agarner@cdc.gov Phone: (404) 639-8086

Website Address:

<http://www.cdc.gov/vaccines>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

**93.269 COMPLEX HUMANITARIAN EMERGENCY AND WAR-RELATED INJURY PUBLIC HEALTH ACTIVITIES
FEDERAL AGENCY:**

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Executive Order Sections 307 and 317(k)(2) of the Public Health Service Act, [42 U.S.C. Section 2421 and 247b(k)(2)], as amended.

OBJECTIVES:

To bring public health and epidemiologic principles to the aid of populations affected by complex humanitarian emergencies. Work will focus on the following main program areas: (1) Providing technical assistance including rapid health and nutrition assessments, public health surveillance, epidemic investigations, disease prevention and control, program evaluation and emergency preparedness; (2) Developing, implementing and disseminating findings from operational research projects aimed at developing more effective public health interventions; (3) Designing, implementing, and evaluating training activities to strengthen the capacity of CDC, other U.S. government agencies, international and private voluntary organizations, other governments, and public health students; (4) Developing and disseminating guidelines on public health issues and technical areas; and (5) Planning and maintaining partnerships with strategic international, bilateral, and non-governmental relief organizations.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grants and cooperative agreements may be made to eligible institutions for the support of projects related to domestic and international complex humanitarian emergencies and war-related injuries. The grants and cooperative agreements may be used for personnel, salaries, consultant costs, survey costs, training, equipment, supplies, travel, patient costs, miscellaneous items, and indirect costs.

Applicant Eligibility:

The general public will benefit from the objectives of this program.

Beneficiary Eligibility:

The general public will benefit from the objectives of this program.

Credentials/Documentation:

Applicants should review the individual CDC Guidance documents issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Grant applications and required forms for this program can be obtained from Grants.gov. Please visit the Grants.gov Web site at www.grants.gov to both find and apply for all Federal grant opportunities.

Award Procedure:

After review and approval of an application, a notice of award is made in writing and issued by the Grants Management Officer, Procurement and Grants Office, CDC. Appropriate notification is also made to the public.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. From 2 to 3 months.

Appeals:

From 60 to 90 days.

Renewals:

From 90 to 120 days. Support is recommended for a specified project period,

not to exceed 5 years.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Support is recommended for a specified project period, not to exceed 5 years. Method of awarding/releasing assistance: lump sum.

Reports:

Refer to the funding opportunity announcement. Refer to the funding opportunity announcement. Refer to the funding opportunity announcement. Refer to the funding opportunity announcement.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Grantees are required to maintain grant accounting records for a minimum of 3 years after the end of a grant period. If any litigation, claim, negotiation, audit or other action involving the record has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. More detailed information regarding retention requirements is provided in 45 CFR Parts 74 and 92.

Account Identification:

75-0955-1-2-550.

Obligations:

(Project Grants (Cooperative Agreements)) FY 12 \$5,007,191; FY 13 est \$1,500,000; and FY 14 est \$1,250,000

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Keicia L. Reeves 4770 Buford Highway, Mailstop F-57, Atlanta, Georgia 30341 Email: kxs3@cdc.gov Phone: 7704880697

Website Address:

<http://www.cdc.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Funded projects are described in continuation applications from each grantee. Fiscal Year 2013: Funded projects are described in continuation applications from each grantee. Fiscal Year 2014: Funded projects are described in continuation applications from each grantee.

CRITERIA FOR SELECTING PROPOSALS:

Proposal selection criteria can be found in the program guidance.

93.270 ADULT VIRAL HEPATITIS PREVENTION AND CONTROL

Viral Hepatitis Prevention, Screening, Linkage to Care, and Education

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Sections 301 and 317K(k)(2) of the Public Health Service Act (42 U.S.C. section 241 and 274b-15), as amended.

OBJECTIVES:

Program activities under this funding will allow for CDC to partner with multiple organizations to benefit individuals by substantially reducing viral hepatitis transmission, identifying those that are acutely and chronically infected, and linking infected individuals with treatment if appropriate. Specifically, the program activities may include but are not limited to:

1. Increasing the proportion of persons living with HCV and HBV who are aware of their infection and referred for prevention and clinical care services.
2. Expanding and improving efforts to develop and disseminate viral hepatitis training and educational materials targeting the public and private sector health care professionals to build capacity to assess, test and medically manage populations at risk for chronic HCV and HBV infection.
3. Supporting state and local health departments and other organizations in linking HCV infected persons to community health centers, clinics serving injection drug users, and other health organizations seeing individuals potentially infected with chronic viral hepatitis.
4. Additional support will be provided for program monitoring, evaluation, capacity building, and technical assistance, along with support for acute and chronic viral hepatitis surveillance.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funds may be used for costs associated with establishing and maintaining a prevention and control program directed towards prevention of viral hepatitis infections and their manifestations. Recipients may only expend funds for reasonable policies, systems and program purposes including personnel, travel, supplies and services, such as reducing transmission of HAV, HBV and HCV, preventing and delaying the progress of chronic infection, as well as educating and training of the general public and health care providers. Recipients may not use funds for clinical care. Recipients may not generally use funding for the purchase of furniture or equipment. Any such proposed spending must be identified in the budget. The recipient must perform a substantial role in carrying out project objectives and not merely serve as a conduit for an award in another party or provider who is eligible.

Applicant Eligibility:

Applicants include State or local governments or their Bona Fide Agents, public and private nonprofit organizations, for profit organizations, small, minority, women-owned businesses, universities, colleges, research institutions, hospitals, community-based organizations, faith based organizations, Federally recognized Indian tribal governments, Indian tribes, and Indian tribal organizations. Additional guidance may be provided in individual program announcements.

Beneficiary Eligibility:

The individual will benefit from the objectives of this program.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applicants must download application forms from www.Grants.gov. Applications must be submitted electronically at www.Grants.gov. If an applicant does not have access to the Internet, or if they have difficulty accessing the forms on-line, contact the CDC Procurement and Grants Office Technical Information Management Section (PGO-TIMS) staff. For this, or further assistance, contact PGO-TIMS: Telephone (770) 488-2700, Email: PGOTIM@cdc.gov.

Award Procedure:

Successful applicants will receive a Notice of Award (NOA) from the CDC Procurement and Grants Office. The NOA shall be the only binding, authorizing document between the recipient and CDC. The NOA will be signed by an authorized Grants Management Officer and emailed to the program director and a hard copy mailed to the recipient fiscal officer identified in the application.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days. Deadline for submission of applications will be between 30-60 days from date of publication.

Appeals:

Not Applicable.

Renewals:

Renewals will be based upon the conditions in the funding opportunity announcement and are subject to the availability of funds.

Formula and Matching Requirements:

This program has no statutory formula.

Matching requirements are not applicable to this program.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Project period is for 1 year with 12-month budget period. See the following for information on how assistance is awarded/released: Assistance is awarded through Notice of Grant Awards (NoAs) to funded applicants.

Reports:

Program reports are not applicable. Cash reports are not applicable. Interim and annual reports will be required as outlined in the funding opportunity announcement (FOA). The reporting schedule will be based on the requirements listed in the FOA. Where applicable, the interim progress report is due no less than 90 days before the end of the specified budget period. Where applicable, the Interim Progress Report will serve as the non-competing continuation application. Federal Financial Reports (FFRs) (SF425) are required no more than 90 days after the end of the budget period. Final FFR and progress reports are due no later than 90 days after the end of the project period. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal officials.

Records:

Financial records, supporting documents, statistical records, and all other reports pertinent to the program shall be retained for a minimum of 3 years, or until completion and resolution of any audit in process or pending resolution. In all cases records must be retained until resolution of any audit questions. Property records must be retained in accordance with 45 CFR 92.42.

Account Identification:

75-0950-0-1-550 - Prevention Effectiveness, HIV/AIDS, Viral Hepatitis,

Sexually Transmitted Diseases and Tuberculosis Prevention; 09-2950-2-0-131 - Direct Category A funding: Viral Hepatitis.

Obligations:

(Cooperative Agreements) FY 12 \$8,436,714; FY 13 est \$6,500,000; and FY 14 est \$0

Range and Average of Financial Assistance:

Awards will range from approximately \$30,000 to \$500,000, with an average award of \$150,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Thirty-two grantees were funded to conduct hepatitis B and C testing, and for those individuals found positive, the prantee is responsible for providing results and attempting to link them to care. Fiscal Year 2013: The 32 grantees will continue to conduct hepatitis B and C testing, and for those individuals found positive, the grantee will continue providing results and will continue attempting linking them to care. Sixty-three grantees were funded to conduct hepatitis surveillance. In addition, state and local grantees were funded to conduct viral hepatitis surveillance, prevention and education activities. Fiscal Year 2014: Grantees will continue to conduct hepatitis B and C testing, and for those individuals found positive, the grantees will continue providing results and will continue attempting linking them to care, as well as funding will be provided for viral hepatitis surveillance, prevention and education.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations governing this program are published under 42 CFR Part 55b. Guidelines are available - 45 CFR 92, and also HHS Grants Policy Statement at <http://www.ahrq.gov/fund/hspolicy.htm>.

Regional or Local Office:

None. Viral Hepatitis Program Contact: Jeffrey L. Efir, MPA, Deputy Director, Division of Viral Hepatitis, National Center for HIV, Viral Hepatitis, STD and TB Prevention, Centers for Disease Control and Prevention, Department of Health and Human Services, 1600 Clifton Rd. NE, Mailstop G-37, Atlanta, GA 30333. E-mail: jle1@cdc.gov.

Grants Management Contact: Carla Harper, Grants Management officer, Department of Health and Human Services, CDC Procurement and Grants Office, 2920 Brandywine Road, MS E-15, Atlanta, GA 30341, telephone 770-488-2439, E-mail: CHarper@cdc.gov.

Headquarters Office:

Elizabeth Wolfe 1600 Clifton Road, NE, Mailstop E-07, Atlanta, Georgia 30333 Email: eow1@cdc.gov Phone: (404) 639-8531

Website Address:

<http://www.cdc.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: DVH supported 32 grantees in 2012 for this activity through the Prevention Public Health Fund. Fiscal Year 2013: It is anticipated that these 32 grantees will continue these activities through Direct Category A funding - Viral Hepatitis. Fiscal Year 2014: CDC's Division of Viral Hepatitis anticipates funding 53 grantees to conduct hepatitis prevention and surveillance activities as well as a small number of educational projects.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be reviewed for completeness by the Procurement and Grants Office (PGO) staff and for responsiveness jointly by Division of Viral Hepatitis (DVH), NCHHSTP. Incomplete applications and applications that are non-responsive to the eligibility criteria will not advance through the review process. Applicant will be notified that the application did not meet submission requirements.

CDC will conduct a review to evaluate complete and responsive applications according to the criteria listed in Section V. Application and Submission information, subsection Evaluation Criteria within the individual funding opportunity announcement.

93.273 ALCOHOL RESEARCH PROGRAMS

FEDERAL AGENCY:

National Institutes of Health, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, as amended, Sections 301 and 464H, and 42 U.S.C. 290Gb; Small Business Research and Development Enhancement Act of 1992, Public Law 102-564, Public Law 78-410, 42 U.S.C 241.

OBJECTIVES:

To develop a sound fundamental knowledge base which can be applied to the development of improved methods of treatment and more effective strategies for preventing alcoholism and alcohol-related problems. The National Institute on Alcohol Abuse and Alcoholism (NIAAA) supports research in a broad range of disciplines and subject areas related to biomedical and genetic factors, psychological and environmental factors, alcohol-related problems and medical disorders, health services research, and prevention and treatment research. Small Business Innovation Research (SBIR) program: to increase private sector commercialization of innovations derived from Federal research and development; to increase small business participation in Federal research and development; and to foster and encourage participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation. Small Business Technology Transfer (STTR) program: To stimulate and foster scientific and technological innovation and technology transfer through cooperative research and development carried out between small business concerns and research institutions; to increase private sector commercialization of innovations derived from Federal research and development; and to foster and encourage participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

(1) Research Project Grants provide support for clearly defined projects or a small group of related activities, and when appropriate, support of conferences; (2) Program Project Grants are for large-scale, broad-based programs of research, usually interdisciplinary, consisting of several projects with a common focus; (3) Small Grants are for small-scale exploratory and pilot studies or exploration of an unusual research opportunity; Small Grants are limited to \$50,000 for direct costs for a period of up to 2 years; (4) Exploratory/Developmental Grants are limited for a period of up to 2 years, and a maximum of \$275,000 for direct costs for the entire 2-year period. Funds may be used only for expenses directly related to the approved research project. SBIR Phase I grants (of approximately 6-months' duration) are to establish the technical merit and feasibility of a proposed research effort that may lead to a commercial product or processes. Phase II grants are for the continuation of the research initiated in Phase I, and that are likely to result in commercial products or processes. STTR Phase I grants (normally of 1-year duration) are to determine the scientific, technical, and commercial merit and feasibility of the proposed cooperative effort that has potential for commercial application. Phase II funding is based on results of research initiated in Phase I and scientific and technical merit and commercial potential of Phase II application; (5). Alcohol Research Center Grants, and Alcohol Research Resource Centers provide long-term support for a concerted and multi-disciplinary research effort into the problems of alcohol use and alcoholism by coordinating the activities of investigators from biomedical, behavioral, and social science disciplines around a basic research theme. An alcohol research center is expected to realize its greater potential for contributing to the basic store of knowledge about alcohol and alcoholism and to become a significant regional and national resource; (6) Training and Research Career Development awards - Mentored Research Scientist Development Awards (K01), Mentored Clinical Scientist Development Awards (K08), and Independent Scientist Awards (K02) provide support for individuals with research potential who need additional development and/or experience in a productive research environment. Mentored Patient-Oriented Research Career Development Award (K23) and Mid-career Investigator Award in Patient Oriented Research (K24) and Mentored Quantitative Research Career Development Award (K25) are also available. Senior Scientist Awards (K05) are also available to enable senior investigators to devote full-time to research. Academic Career Awards (K07) are also

available for clinical faculty development; and (7) Alcohol National Research Service Awards for Research Training awards to assure the maintenance of an adequate supply of well-trained alcohol researchers through the award of both individual fellowships and grants to training institutions for support of training at both the pre-doctoral and postdoctoral levels and for Senior Fellowships and M.D./Ph.D. Fellowships. Special pre-doctoral fellowships are also available for students with disabilities and for minority students.

Applicant Eligibility:

Public or private profit and nonprofit agencies, including State, local, or regional government agencies, universities, colleges, hospitals, academic or research institutions may apply for research grants. SBIR grants can be awarded only to domestic small businesses (entities that are independently owned and operated for profit, are not dominant in the field in which research is proposed, and have no more than 500 employees). Primary employment (more than one-half time) of the principal investigator must be with the small business at the time of award and during the conduct of the proposed project. In both Phase I and Phase II, the research must be performed in the U.S. and its possessions. To be eligible for funding, a grant application must be approved for scientific merit and program relevance by a scientific review group and a national advisory council. STTR grants can be awarded only to domestic small business concerns (entities that are independently owned and operated for profit, are not dominant in the field in which research is proposed and have no more than 500 employees) which "partner" with a research institution in cooperative research and development. At least 40 percent of the project is to be performed by the small business concern and at least 30 percent by the research institution. In both Phase I and Phase II, the research must be performed in the U.S. and its possessions. To be eligible for funding, a grant application must be approved for scientific merit and program relevance by a scientific review group and a national advisory council.

Beneficiary Eligibility:

Public, profit and nonprofit private organizations.

Credentials/Documentation:

For-profit grantees' costs will be determined in accordance with Subpart 31.2 of the Federal Acquisition Regulations. For other grantees, costs will be determined in accordance with HHS Regulation 45 CFR Part 74, Subpart C, Section 74.27. For SBIR and STTR grants, applicant organization (small business concern) must present in a research plan an idea that has potential for commercialization and furnish evidence that scientific competence, experimental methods, facilities, equipment, and funds requested are appropriate to carry out the plan. Grant forms PHS 6246-1 and PHS 6246-2 are used to apply for SBIR Phase I and Phase II, respectively. Grant forms PHS 6246-3 and PHS 6246-4 are used to apply for STTR Phase I and Phase II, respectively. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. The standard application forms, as furnished by PHS, must be used by grant applicants. Application kits, containing the necessary forms and instructions, if not available at the applicant institution, may be obtained from the NIAAA. Consultation on proposed projects is also available. Preapplication consultation with NIAAA staff is required before submission of a Program Project grant application or any unsolicited new grant application requesting \$500,000 or more direct costs in any one year. Applications are reviewed by nonfederal consultants recruited nationwide. The amount of the award and period of support are determined on the basis of the merit of the project. This program is subject to the provisions of 45 CFR Part 92 for State and local governments and OMB Circular No. A-110 for nonprofit organizations. SBIR and STTR Grant Solicitations and SBIR Contract Solicitation may be obtained electronically through the NIH's "Small Business Funding Opportunities" home page at www.nih.gov/grants/funding/sbir.htm on the World Wide Web. A limited number of hard copies of these publications are produced. Subject to availability, they may be obtained by contacting the NIH support services

contractor: Telephone: (301) 206-9385; Fax: (301) 206-9722; E-mail: a2y@cu.nih.gov. The Solicitations include submission procedures, review considerations, and grant application or contract proposal forms. SBIR and STTR grant applications should be submitted to the Center for Scientific Review, 6701 Rockledge Drive, Room 1040 - MSC 7710, Bethesda, MD 20892-7710.

Award Procedure:

Research Grants in support of projects recommended for approval by the National Advisory Council on Alcohol Abuse and Alcoholism and approved for payment are awarded directly by the NIAAA to the applicant institution. All accepted SBIR/STTR applications are evaluated for scientific and technical merit by an appropriate scientific peer review panel and by a national advisory council or board. All applications receiving a priority score compete for available SBIR/STTR set-aside funds on the basis of scientific and technical merit and commercial potential of the proposed research, program relevance, and program balance among the areas of research.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 240 to 270 days from submission of application for grant support. SBIR/STTR applications about 7-1/2 months. AIDS related research applications about 6 months.

Appeals:

A principal investigator may appeal the substantive or procedural aspects of the review of his/her application by communicating with the staff of the Institute. A description of the NIH Peer Review Appeals procedures is available on the NIH home page <http://grants.nih.gov/grants/guide/notice-files/NOT-OD-11-064.html>.

Renewals:

Support is recommended for a specified project period, usually not in excess of 5 years. Prior to termination of a project period, the grantee may apply for renewal of support for a new project period. An application for renewal is processed as a competing request. Small Grants and Exploratory/Developmental Grants are not renewable, but grantees may apply for research project grants on the same topics.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Varies, but a project period is generally limited to 5 years or less. Grantee may apply for renewal of support on a competing basis. Within the project period, continuation applications must be submitted on a non-competing basis for each year of approved support. Small Grants are limited to 2 years or less and are not renewable. Exploratory/Developmental Grants are limited to 3 years or less and are not renewable. Payments will be made either on a Monthly Cash Request System or under an Electronic Transfer System. Necessary instructions for the appropriate type of payment will be issued shortly after an award is made. SBIR: Normally, Phase I awards are for 6 months; normally, Phase II awards are for 2 years. STTR: Normally, Phase I awards are for 1 year; normally, Phase II awards are for 2 years. See the following for information on how assistance is awarded/released: Awards will be released electronically to applicant institution. Funds are released annually.

Reports:

Research Grants: Annual and final progress reports, including a description of results, positive and negative, and a list of any publications. Career Program: Awardee submits annual progress report. Termination notice, PHS 416-7, must be submitted upon completion of NRSA training. Reports are required after termination of National Research Service Awards to ascertain compliance with the service and payback provisions. A Financial Status Report must be submitted within 90 days after the close of each budget period for which an award has been issued. No cash reports are required. Research Grants: Annual and final progress reports, including a description of results, positive and negative, and a list of any publications. Career Program: Awardee

submits annual progress report. Termination notice, PHS 416-7, must be submitted upon completion of NRSA training. Reports are required after termination of National Research Service Awards to ascertain compliance with the service and payback provisions. A Financial Status Report must be submitted within 90 days after the close of each budget period for which an award has been issued. No expenditure reports are required. No performance monitoring is required. No cash reports are required. Research Grants: Annual and final progress reports, including a description of results, positive and negative, and a list of any publications. Career Program: Awardee submits annual progress report. Termination notice, PHS 416-7, must be submitted upon completion of NRSA training.

Reports are required after termination of National Research Service Awards to ascertain compliance with the service and payback provisions. A Financial Status Report must be submitted within 90 days after the close of each budget period for which an award has been issued. No expenditure reports are required. No performance monitoring is required. No cash reports are required. Annual progress reports are required as a part of the continuation application. A final expenditure report is required at the conclusion of the project. The NIAAA Program officers will monitor performance by evaluating the annual progress report.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees generally must retain financial and programmatic records, supporting documents, statistical records, and all other records that are required by the terms of a grant, or may reasonably be considered pertinent to a grant, for a period of 3 years from the date the annual FSR is submitted. For awards under SNAP (other than those to foreign organizations and Federal institutions), the 3-year retention period will be calculated from the date the FSR for the entire competitive segment is submitted. Those grantees must retain the records pertinent to the entire competitive segment for 3 years from the date the FSR is submitted to NIH. Foreign organizations and Federal institutions must retain records for 3 years from the date of submission of the annual FSR to NIH. See 45 CFR 74.53 and 92.42 for exceptions and qualifications to the 3-year retention requirement (e.g., if any litigation, claim, financial management review, or audit is started before the expiration of the 3-year period, the records must be retained until all litigation, claims, or audit findings involving the records have been resolved and final action taken). Those sections also specify the retention period for other types of grant-related records, including F&A cost proposals and property records. See 45 CFR 74.48 and 92.36 for record retention and access requirements for contracts under grants. In accordance with 45 Code of Federal Regulations, Part 74.53(e), the HHS Inspector General, the U.S. Comptroller General, or any of their duly authorized representatives have the right of timely and unrestricted access to any books, documents, papers, or other records of recipients that are pertinent to awards in order to make audits, examinations, excerpts, transcripts, and copies of such documents.

This right also includes timely and reasonable access to a recipients personnel for the purpose of interview and discussion related to such documents. The rights of access are not limited to the required retention period, but shall last as long as records are retained.

Account Identification:

75-0894-0-1-552.

Obligations:

(Project Grants) FY 12 \$328,408; FY 13 est \$331,351; and FY 14 est \$333,615. (Project Grants (Fellowships)) FY 12 \$12,047; FY 13 est \$12,047; and FY 14 est \$12,100

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR 52. Guidelines are included in application kits. PHS Grants Policy Statement, DHHS Publication No. (OASH) 90-50,000, (Rev.) April 1, 1994. Specific program announcements are available electronically from the NIAAA World Wide Web Home Page on the Internet at <http://www.niaaa.nih.gov> or from the NIH Home Page under Institutes and Offices. Grants will be available under the authority of and administered in accordance with the PHS Grants Policy Statement and Federal regulations at 42 CFR 52 and 42 USC 241; Omnibus Solicitation of the Public Health Service for Small Business Innovation Research (SBIR) Grant and Cooperative Agreement Applications. Omnibus Solicitation of the National Institutes of Health for Small Business Technology Transfer (STTR) Grant Applications.

Regional or Local Office:

None. The Headquarters Office (National Institute on Alcohol Abuse and Alcoholism) is responsible for the administration of these programs.

Headquarters Office:

Ranga V. Srinivas, National Institute on Alcohol Abuse and Alcoholism, National Institutes of Health, 5635 Fishers Lane Room 2085, Rockville, Maryland 20852 Email: srinivar@mail.nih.gov Phone: (301) 451-2067 Fax: (301) 443-7043.

Website Address:

<http://www.nih.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The following considerations will be used in determining projects to be funded: (1) Scientific and technical merit of the proposal; (2) program balance; and (3) relevance to NIAAA priorities and public health issues. The following criteria will be used in considering the scientific and technical merit of SBIR/STTR Phase I grant applications: (1) The soundness and technical merit of the proposed approach; (2) the qualifications of the proposed principal investigator, supporting staff, and consultants; (3) the technological innovation of the proposed research; (4) the potential of the proposed research for commercial application; (5) the appropriateness of the budget requested; (6) the adequacy and suitability of the facilities and research environment; and (7) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human of animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment. Phase II grant applications will be reviewed based upon the following criteria: (1) The degree to which Phase I objectives were met and feasibility demonstrated; (2) the scientific and technical merit of the proposed approach for achieving the Phase II objectives; (3) the qualifications of the proposed principal investigator, supporting staff, and consultants; (4) the technological innovation, originality, or societal importance of the proposed research; (5) the potential of the proposed research for commercial application; (6) the reasonableness of the budget requested for the work proposed; (7) the adequacy and suitability of the facilities and research environment; and (8) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment.

93.275 SUBSTANCE ABUSE AND MENTAL HEALTH SERVICES-ACCESS TO RECOVERY

ATR I, ATR II

FEDERAL AGENCY:

Substance Abuse and Mental Health Services Administration, Department of Health and Human Services

AUTHORIZATION:

The Public Health Act, Section 501(d)(5), 509, Public Law 106-310, 42 U.S.C 290aad5-290bb-2.

OBJECTIVES:

To implement voucher programs for substance abuse clinical treatment and recovery support services pursuant to sections 501 (d)(5) and 509 of Public

Health Service Act (42 U.S.C. sections 290aa(d)(5) and 290bb-2). This program, called Access to Recovery (ATR), is to provide client choice among substance abuse clinical treatment and recovery support service providers, expand access to a comprehensive array of clinical treatment and recovery support options (including faith-based programmatic options), and increase substance abuse treatment capacity. Monitoring outcomes, tracking costs, and preventing waste, fraud and abuse to ensure accountability and effectiveness in the use of Federal funds are also important elements of the ATR program. Through the ATR grants, States, Territories, the District of Columbia and Tribal Organizations (hereinafter collectively referred to as "States") will have flexibility in designing and implementing voucher programs to meet the needs of clients in the State. The key to successful implementation of the voucher programs supported by the ATR grants will be the relationship between the States and clients receiving services, to ensure that clients have a genuine, free, and independent choice among eligible providers. States are encouraged to support any mixture of clinical treatment and recovery support services that can be expected to achieve the program's goal of achieving cost-effective, successful outcomes for the largest number of people.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Funds (including direct costs and indirect costs) may be used only for expenses clearly related and necessary to carry out approved activities. Funds may be used for 15 percent administrative cost and 85 percent voucher. Also follow the policies and procedures that applies to the eligible entity. Funds may be used for 15 percent administrative costs and 85 percent voucher services.

Applicant Eligibility:

Eligibility for Access to Recovery (ATR) grants is limited to the immediate office of the Chief Executive (e.g., Governor) in the States, Territories, District of Columbia; or the head of a Tribal Organization. (A "Tribal Organization" means the recognized governing body of any Indian tribe or any legally established organization of Indians, including urban Indian health boards, inter-tribal councils, or regional Indian health boards, which is controlled, sanctioned, or chartered by such governing body or which is democratically elected by the adult members of the Indian community to be served by such an organization.) The Chief Executive of the State, Territory, or District of Columbia, or the head of the Tribal Organization must sign the application. Eligibility is limited to the immediate office of these Chief Executives because only they have the authority to leverage funding across the State, implement the necessary policy changes, manage the fiscal responsibilities, and coordinate the range of programs necessary for successful implementation of the voucher programs to be funded through these grants. No more than one application from any one Chief Executive or head of a Tribal Organization will be funded.

Beneficiary Eligibility:

Eligibility for Access to Recovery (ATR) grants is limited to the immediate office of the Chief Executive (e.g., Governor) in the States, Territories, District of Columbia; or the head of a Tribal Organization" means the recognized governing body of any Indian tribe or any legally established organization of Indians, including urban health boards, inter-tribal councils, or regional Indian health boards, which is controlled, sanctioned, or chartered by such governing body or which is democratically elected by the adult members of the Indian community to be served by such an organization.).

Credentials/Documentation:

The Chief Executive of the State, Territory, or District of Columbia, or the head of the Tribal Organization must sign the application. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Applications must comply with the following requirements, or they will be screened out and will not be reviewed: Use the Application for Federal Assistance SF-424 form; Application

submission requirements in Section IV-3 of this document; and Formatting requirements provided in Section IV-2.4 of this document. Applicants also may download the required documents from the SAMHSA Web site at www.samhsa.gov. Includes the face page, budget forms, and checklist. Applicants must use the SF-424 application form. Applications not submitted on the SF-424 will be screened out and will not be reviewed. Request for Applications (RFA)-Includes instructions for the grant application. This document is the RFA.

Award Procedure:

None.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Applications will be accepted for a project period of 1 to 3 years with 12-month budget periods. Annual awards will be made subject to continued availability of funds and progress achieved. See the following for information on how assistance is awarded/released: SAMHSA, Grants Management Office notifies grantees through a Notice of Award (NoA).

Reports:

Monthly program reports are required by the program officials. No cash reports are required. Quarterly progress report are required by the program officials. The (SF-425) Federal Financial Report is required by SAMHSA, Division of Grants Management 120 days after the end of each 12 month period and 90 days after the final 12 month budget period. SAMHSA monitors the grant program through Government Performance and Result Act (GPRA Government Project Officer), conference calls, site visits, and monthly and quarterly reports.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

There is a 3-year records retention requirement; records shall be retained beyond the 3-year period if final audit has not been done or findings resolved.

Account Identification:

75-1364-0-1-551.

Obligations:

(Project Grants) FY 12 \$85,989,619; FY 13 est \$91,612,005; and FY 14 est \$0

Range and Average of Financial Assistance:

\$1,676,000 to \$3,352,000; \$3,159,594.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: 30 awards were made. Fiscal Year 2013: it is estimated to fund 29 awards. Fiscal Year 2014: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Cost Principles, 45 CFR Parts 74 and 92, the HHS Grant Policy Statement, OMB Cost Principles, Request for Application (RFA) and Terms and Conditions of Award.

Regional or Local Office:

None.

Headquarters Office:

Kathleen K. Sample, 1 Choke Cherry Road, Room 7-1091, Rockville, Maryland 20857 Email: kathleen.sample@samhsa.hhs.gov Phone: (240) 276-1407 Fax: (240) 276-1430.

Website Address:

http://www.samhsa.gov .

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.276 DRUG-FREE COMMUNITIES SUPPORT PROGRAM GRANTS

Drug Free Community Grants (DFC)

FEDERAL AGENCY:

Substance Abuse and Mental Health Services Administration, Department of Health and Human Services

AUTHORIZATION:

Drug-Free Communities Act of 1997, Public Law 105-20; Reauthorized by Public Law 107-82, as amended by Reauthorized by Public Law 109 - 469.

OBJECTIVES:

To increase the capacity of community coalitions to reduce substance abuse, and over time, to reduce substance abuse among adults through strengthening collaboration among communities, public, and private entities. To disseminate state-of-the-art information on practices and initiatives that have proven to be effective in reducing substance abuse among youth.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds must be used by the programs that are intended to support established community-based coalitions to work with leaders within their communities to identify and address local youth substance use problems and create sustainable community-level change.

No more than 20% of funds may be used for data collection and evaluation.

Applicant Eligibility:

Community coalitions must demonstrate that the community coalition has worked together for a period of not less than 6 months on substance abuse reduction initiatives. The coalition must: meet the composition requirements; ensure that there is substantial community volunteer effort; ensure that the coalition is a nonprofit, charitable, educational organization, or unit of local government, or is affiliated with an eligible organization or entity; possess a strategy to be self-sustaining; provide a 100-150 percent cash or in-kind match; and agree to participate in an evaluation of the coalition's program.

Beneficiary Eligibility:

Community coalitions, children, youth, and adults, those at-risk of substance abuse, and private nonprofit, and public community agencies.

Credentials/Documentation:

Cost Principles that apply are A-21 for educational institutions, and A-122 for nonprofit organizations. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. The Drug Free Community (DFC) is a collaborative initiative sponsored by Office of National Drug Control Policy (ONDCP) and Substance Abuse and Mental Health Services Administration (SAMHSA). Applications will be screened initially by ONDCP and SAMHSA to determine whether the applicant meets all the eligibility requirements. Applications submitted by eligible coalitions that meet all requirements will then be evaluated, scored, and rated by a peer review panel.

Award Procedure:

Upon approval by the Office of National Drug Control Policy and Substance Abuse and Mental Health Services Administration, a Notice of Award (NoA) signed by SAMHSA's Grants Management Officer is sent to the applicant agency. The NoA is the sole obligating document that allows the grantee to receive Federal funding for work on the grant project.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. From 1 to 4 months.

Appeals:

None.

Renewals:

Other - Not Specified.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Drug-Free Communities Support Program grants awarded under the Drug-Free Communities Act do require a 100-150 percent cash or in-kind match depending on funding.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Under the Drug-Free Communities Act, awards will be made for one year.

Method of awarding/releasing assistance: lump sum.

Reports:

Program reports are not applicable. Federal Financial Report SF-425 requires by the Division of Payment Management. Under the Drug-Free Communities Support Grants biannual progress. Annual federal financial reports (SF-425) are required. classification tool survey is due each year.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. These audits are due to the cognizant Federal agency not later than 9 months after the end of the grantee's fiscal year.

Records:

Grantee must keep complete records on the disposition of funds, and records related to the grant must be retained for 3 years.

Account Identification:

75-1365-0-1-551.

Obligations:

(Project Grants (Discretionary)) FY 12 \$84,457,486; FY 13 est \$59,450,166; and FY 14 est \$39,273,092

Range and Average of Financial Assistance:

\$35,000 to \$125,000; \$109,053.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: 694 awards were made. Fiscal Year 2013: 480 awards will be made. Fiscal Year 2014: 315 awards will be made.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR Parts 74 and 92; also the HHS Grants Policy Statement

Regional or Local Office:

None.

Headquarters Office:

Virginia Simmons 1 Choke Cherry Road, Rm. 7-1091, Rockville, Maryland 20857 Email: virginia.simmons@samhsa.hhs.gov Phone: 240-276-1422 Fax: 240-276-1430

Website Address:

<http://www.samhsa.gov> .

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications are judged according to their consistency with the policies and program priorities established by the Drug-Free Communities Act. Specific criteria are applied that are related to the particular program areas under which projects are funded. The criteria are published in the Federal Register as part of the individual program announcements.

93.279 DRUG ABUSE AND ADDICTION RESEARCH PROGRAMS

FEDERAL AGENCY:

National Institutes of Health, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Sections 301, 302 405, 464L, 464N, 464O and 464P, 42 U.S.C. 241, 42 U.S.C. 284, 42 U.S.C. 285o, 42 U.S.C. 288, 42 U.S.C. 295; Small Business Act 15 U.S.C 638.

OBJECTIVES:

To support biomedical, basic and clinical neuroscience, behavioral and social science, epidemiologic, health services and health disparity research to develop new knowledge and approaches related to the prevention, diagnosis, treatment, etiology, and consequences of drug abuse and addiction, including HIV/AIDS. To support research training and research scientist development. To support dissemination of research findings. Small Business Innovation Research (SBIR) program: to expand and improve the SBIR program. The legislation is intended to expand and improve the SBIR programs to emphasize and increase private sector commercialization of technology developed through Federal SBIR research and development; increase small business participation in Federal research and development; and foster and encourage participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in the SBIR program. The legislation intends that the Small Business Technology Transfer (STTR) program stimulate and foster scientific and technological innovation through cooperative research and development carried out between small business concerns and research institutions; foster technology transfer between small business concerns and research institutions; to increase private sector commercialization of innovations derived from Federal research and development; and foster and encourage participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation.

TYPES OF ASSISTANCE:

PROJECT GRANTS; TRAINING

USES AND USE RESTRICTIONS:

(1) Research project grants provide support for clearly defined projects or a small group of related research activities, and when appropriate, support of research conferences; (2) program project and center grants support large-scale, broad-based programs of research, usually interdisciplinary, consisting of several projects with a common focus; (3) small grants support newer, less experienced investigators; investigators at institutions without a well developed research tradition and resources; the testing of new methods or techniques; small-scale exploratory and pilot studies, or exploration of an unusual research opportunity; small grants provide research support of up to \$50,000 direct costs per year for a period of up to 2 years; (4) research training grants including

fellowships and institutional training grants, career development grants and loan repayment contracts; (5) SBIR Phase I grants (of approximately 6-months' duration) are to establish the technical merit and feasibility of proposed research or research and development efforts to determine the quality of performance of the small business grantees. Phase II grants are for the continuation of the research initiated in Phase I and that are likely to result in commercially viable products or processes. Only Phase I awardees are eligible to apply for Phase II support; STTR Phase I grants (normally of 1-year duration) are to determine the scientific, technical, and commercial merit and feasibility of the proposed cooperative effort that has potential for commercial application and the quality of performance of the small business concerns. Phase II funding is based on results of research initiated in Phase I and scientific and technical merit and commercial potential of the Phase II application.

Applicant Eligibility:

For research grants the following organizations/institutions are eligible to apply: Public/State Controlled Institutions of Higher Education; Private Institutions of Higher Education: Hispanic-serving Institutions, Historically Black Colleges and Universities (HBCUs), Tribally Controlled Colleges and Universities (TCCUs), and Alaska Native and Native Hawaiian Serving Institutions; Nonprofits with 501(c)(3) IRS Status (Other than Institutions of Higher Education); Nonprofits without 501(c)(3) IRS Status (Other than Institutions of Higher Education); Small Businesses; For-Profit Organizations (Other than Small Businesses); State Governments; Indian/Native American Tribal Governments (Federally Recognized); Indian/Native American Tribally Designated Organizations; County Governments; City or Township Governments; Special District Governments; Independent School Districts; Public Housing Authorities/Indian Housing Authorities; and Other(s): Eligible Agencies of the Federal Government, Faith-based or Community-based Organizations, U.S. Territory or Possession, Indian/Native American Tribal Governments (Other than Federally Recognized), Regional Organizations, and Non-domestic (non-U.S.) Entities (Foreign Organizations). Eligible Individuals: Any individual, or individuals (multiple PDs/Pis), with the skills, knowledge, and resources necessary to carry out the proposed research as the PD/PI is invited to work with his/her organization to develop an application for support. Individuals from underrepresented racial and ethnic groups as well as individuals with disabilities are always encouraged to apply for NIH support. SBIR grants can be awarded only to domestic small businesses (entities that are independently owned and operated for profit, are not dominant in the field in which research is proposed, and have no more than 500 employees). Primary employment (more than one-half time) of the principal investigator must be with the small business at the time of award and during the conduct of the proposed project. In both Phase I and Phase II, the research and/or development must be performed in the U.S. and its possessions. To be eligible, an SBIR grant application must be approved for scientific merit and program relevance by a scientific review group and by a national advisory council. STTR grants can be awarded only to domestic small business concerns (entities that are independently owned and operated for profit, are not dominant in the field in which research is proposed and have no more than 500 employees) which "partner" with a research institution in cooperative research and development. At least 40 percent of the project is to be performed by the small business concern and at least 30 percent by the research institution. In both Phase I and Phase II, the research must be performed in the U.S. and its possessions. To be eligible for funding, a grant application must be approved for scientific and technical merit and program relevance by a scientific review group and a national advisory council.

Beneficiary Eligibility:

Public or private profit and nonprofit sponsored organizations and individuals, minority groups, small businesses, health professionals, students, trainees, scientists and general public.

Credentials/Documentation:

No Credentials or documentation are required. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. The National Institutes of Health is using www.grants.gov to accept electronic submission of applications. Applications are also available from the NIH web site at www.nih.gov. Information concerning NIDA and the types of research supported may be found at www.drugabuse.gov or www.nida.nih.gov. Consultation on a proposed project may also be obtained from NIDA. Applications are reviewed by primarily nonfederal consultants recruited nationwide. The amount of the award and period of support are determined on the basis of scientific merit of the project as well as financial and programmatic consideration. SBIR and STTR Grant Solicitations and SBIR Contract Solicitations may be obtained electronically through the NIH's "Small Business Funding Opportunities" home page at <http://www.nih.gov/grants/funding/sbir.htm>. A limited number of hard copies of these publications are produced. Subject to availability, they may be obtained by contacting the NIH support services contractor: Phone: (301) 206-9385; Fax: (301) 206-9722; E-mail: a2y@cu.nih.gov. The Solicitations include submission procedures, review considerations, and grant application or contract proposal forms. SBIR and STTR grant applications should be submitted to the Center for Scientific Review, 6701 Rockledge Drive, Room 1040 - MSC 7710, Bethesda, MD 20892-7710.

Award Procedure:

Grants with which the National Advisory Council on Drug Abuse has concurred with the recommendations of the Scientific Review Groups and approved by NIDA for payment are awarded directly by NIDA to the applicant institution. SBIR/STTR applications are evaluated for scientific and technical merit by an appropriate scientific peer review panel and by the National Advisory Council. All applications receiving a priority score compete for available SBIR/STTR set-aside funds on the basis of scientific and technical merit and commercial potential of the proposed research, program relevance, and program balance among the areas of research.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 240 to 270 days from submission of grant application. For AIDS applications the range will not exceed 180 days from cited receipt dates. SBIR/STTR applications: About 225 days.

Appeals:

A principal investigator (P.I.) may question the substantive or procedural aspects of the review of his/her application by communicating with the staff of the Institute. A description of the NIH Peer Review Appeal procedures is available on the NIH home page www.nih.gov/grants/guide/notice-files/not-od-11-064.html and not-od-11-101.html.

Renewals:

Support is recommended for a specified project period, usually not in excess of 5 years. Prior to termination of a project period, the grantee may apply for additional support via competing continuation application unless otherwise restricted. Small and exploratory/developmental grants are limited to up to 2 years.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Varies, but a project period is generally limited to 5 years or less. Grantee may apply for renewal of support on a competing basis unless otherwise restricted. Within the project period, continuation applications must be submitted on a non-competing basis for each year of approved support. Small grant support is limited to no more than 2 years. SBIR: Normally, Phase I awards are for 6 months; normally, Phase II awards are for 2 years. STTR: Normally, Phase I awards are for 1 year; normally, Phase II awards are for 2 years. Method of awarding/releasing assistance: quarterly.

Reports:

No program reports are required. No cash reports are required. Interim progress reports annually as part of a non-competing application for previously recommended support. Annual financial status report within 90 days after termination of annual grant and immediate and full reporting of any inventions. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. For nongovernmental grant recipients, audits are to be carried out in accordance with the provisions set forth in OMB Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal officials.

Records:

Records must be retained for at least 3 years; records shall be retained beyond the 3-year period if audit findings have not been resolved.

Account Identification:

75-0893-0-1-552.

Obligations:

(Project Grants) FY 12 \$821,972,000; FY 13 est \$772,251,000; and FY 14 est \$837,237,000

Range and Average of Financial Assistance:

Range-\$2,000 to \$5,982,000; Average-\$157,109.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: 2,388 were awarded. Fiscal Year 2013: 2,435 are projected to be awarded. Fiscal Year 2014: 2,426 are projected to be awarded.

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR 52; Guidelines are included in applications kits. PHS Grants Policy Statement, DHHS Publication No. (OASH) 94-50,000, (Rev.) April 1998. Grants will be available under the authority of and administered in accordance with the PHS Grants Policy Statement and Federal regulations at 42 CFR Part 52 and 42 CFR Part 52a; Omnibus Solicitation of the Public Health Service for Small Business Innovation Research (SBIR) Grant and Cooperative Agreement Applications. Omnibus Solicitation of the National Institutes of Health for Small Business Technology Transfer (STTR) Grant Applications.

Regional or Local Office:

None.

Headquarters Office:

Camilla L. Holland 6001 Executive Blvd., Rm. 4242, Bethesda, Maryland 20892 Email: chollan1@nida.nih.gov Phone: 3014351384

Website Address:

<http://www.nih.gov>; www.drugabuse.gov; <http://www.nida.nih.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: 1) Epidemiology of drug abuse among minority populations; (2) Studies of AIDS among IV Drug Abusers; (3) Studies of Narcotic-Induced Respiratory Depression; (4) Endorphins: Metabolism, Release, and Tolerance; (5) Neurobiological and Behavior Mechanisms of Addictive and Compulsive Behavior; (6) Maternal/Paternal Effects of Drugs of Abuse; and (7) Effects of Drug Abuse on Adolescent and Development. Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The following considerations will be used in determining projects to be funded: (1) Scientific and technical merit; (2) the feasibility of the research; (3) potential contribution to the national drug abuse problem; and (4) relevance to NIDA priorities. The following criteria will be used in considering the scientific

and technical merit of SBIR/STTR Phase I grant applications: (1) The soundness and technical merit of the proposed approach; (2) the qualifications of the proposed principal investigator, supporting staff, and consultants; (3) the technological innovation of the proposed research; (4) the potential of the proposed research for commercial application; (5) the appropriateness of the budget requested; (6) the adequacy and suitability of the facilities and research environment; and (7) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects and/or (b) protecting against or minimizing any adverse effect on the environment. Phase II grant applications will be reviewed based upon the following criteria: (1) The degree to which the Phase I objectives were met and feasibility demonstrated; (2) the scientific and technical merit of the proposed approach for achieving the Phase II objectives; (3) the qualifications of the proposed principal investigator, supporting staff, and consultants; (4) the technology.

93.280 NATIONAL INSTITUTES OF HEALTH LOAN REPAYMENT PROGRAM FOR CLINICAL RESEARCHERS

(NIH LRP-CR)

FEDERAL AGENCY:

National Institutes of Health, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Title IV, Part G, Section 487F, Public Law 106-505, 42 U.S.C 288-5A.

OBJECTIVES:

To attract and retain health professionals to clinical research careers by offering educational loan repayment for participants who agree by written contract to engage in clinical research in a qualifying nonprofit institution for a minimum of 2 years.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

To provide repayment of extant educational loans incurred by health professionals engaged in clinical research. Your research must be supported by a domestic nonprofit foundation, university, professional association, or other nonprofit institution, or a U.S. government agency (federal, state, or local). A domestic foundation, professional association, or institution is considered to be nonprofit if exempt from federal tax under the provisions of Section 501 of the Internal Revenue Code (26 U.S.C. 501). NIH grants and university salaries are considered eligible funding. Your primary employment must be with a nonprofit institution. Any employment or income outside of the nonprofit institution must be separate from your research and cannot represent a significant amount of your total income.

Recipients must agree by written contract to engage in such research, initially, for a minimum of 2 years; individuals who have conflicting service obligations may not participate in this program until those obligations are satisfied or have been deferred during the period of program service. Participants may apply for and the Secretary may grant extension contracts for one-year or two-year periods, as determined by the Secretary, if the individual continues to engage in qualifying research. Renewal applications are competitively reviewed and the submission of a renewal application does not assure the award of benefits. The maximum program benefit is \$35,000 per year in loan repayments and \$13,650 per year in Federal tax reimbursements. Recipients must have qualified educational debt in excess of 20 percent of their annual salary, which is referred to as their "debt threshold."

Applicant Eligibility:

(1) A U.S. citizen, U.S. national, or permanent resident of the United States; (2) Have a Ph.D., M.D., D.O., D.D.S., D.M.D., D.P.M., Pharm.D., D.C., N.D., O.D. or equivalent doctoral degree from an accredited institution; (3) Have total qualifying educational loan debt equal to or in excess of 20 percent of their institutional base salary on the date of program eligibility (the effective date that a loan repayment contract has been executed by the Secretary of Health and Human Services or designee); (4) Conduct qualifying research supported by a domestic nonprofit foundation, nonprofit professional association, or other nonprofit institution, or a U.S. or other government agency (State or local); (5) Engage in qualified clinical research. Clinical research is patient-oriented

clinical research conducted with human subjects, or research on the causes and consequences of disease in human populations involving material of human origin (such as tissue specimens and cognitive phenomena) for which an investigator or colleague directly interacts with human subjects in an outpatient or inpatient setting to clarify a problem in human physiology, pathophysiology or disease, or epidemiologic or behavioral studies, outcomes research or health services research, or developing new technologies, therapeutic interventions, or clinical trials; (6) Engage in qualified clinical research for at least 50 percent of their time, i.e., not less than 20 hours per week based on a 40 hour week; (7) Agree to conduct research for which funding is not prohibited by Federal law, regulation, or HHS/NIH policy, and in accordance with applicable Federal, State and local law (e.g., applicable human subject protection regulations); and (8) Sign and submit to the Secretary of Health and Human Services, at the time of application submission, a contract agreeing to engage in clinical research in a qualifying institution for a minimum of 2 years. Full-time employees of Federal Government agencies are ineligible to apply for LRP benefits. Part-time Federal employees who engage in qualifying research as part of their nonfederal duties for at least 20 hours per week based on a 40 hour week, and who are not compensated as a Federal employee for their research, are eligible to apply for loan repayment if they meet all other eligibility requirements.

Beneficiary Eligibility:

Health professionals who are interested in pursuing clinical research careers and who have unpaid educational loans will benefit from this program.

Credentials/Documentation:

Applicants electronically transmit the following documents to the NIH: Applicant Information Statement, Biosketch, Personal Statement, Description of Research Activities, 3 Recommendations, Loan Information including current account statement(s) and promissory note(s) or disclosure statement(s), Assessment of Research Activities Statement (completed by the research supervisor), and Description of the Research Environment (completed by the research supervisor), Training or Mentoring Plan (completed by the research supervisor). Institutional Contacts electronically transmit a certification that: (a) assures the applicant will be provided the necessary time and resources to engage in the research project for two years from the date a Loan Repayment Program Contract is executed; (b) assures that the applicant is or will be engaged in qualifying research for 50 percent of their time (or not less than 20 hours per week based on a 40 hour week); (c) certifies that the institution is nonprofit (exempt from tax under 26 USC 501), and (d) provides the applicant's institutional base salary. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Applicants must access and submit the Loan Repayment Program application via the website www.lrp.nih.gov. Applications submitted to the NIH Division of Loan Repayment are first examined to determine if the basic eligibility requirements are satisfied. If these requirements are met, the application is forwarded to the NIH Center for Scientific Review for a determination regarding which NIH Institute or Center the application will be assigned to for review, scoring, and ranking.

Award Procedure:

The NIH Institutes and Centers approve or disapprove applications for participation in the Loan Repayment Program for Clinical Researchers. When an Institute or Center approves an application, the NIH Office of Loan Repayment notifies individuals of their award amount, provides a repayment schedule that covers the period of participation, and a Loan Repayment Program Contract is executed by an authorized representative of the Secretary, DHHS. If the approved research assignment changes, or if the employing research institution changes, the participant must have their continued eligibility re-certified by the Institute or Center which approved their application. Contact the NIH via e-mail at LRP@NIH.GOV for further guidance.

Deadlines:

Nov 15, 2012: At 8:00 p.m. EST. Review the Loan Repayment Program website www.lrp.nih.gov, or contact the Headquarters Office listed below for the application deadlines.

Range of Approval/Disapproval Time:

The approximate time for approval/disapproval is 6 months from the closing date of the annual application period.

Appeals:

Not Applicable.

Renewals:

At the conclusion of the initial 2-year contract, participants may apply and be considered for subsequent 1 or 2-year continuation contracts under the application and approval procedures specified above. Eligible renewal applications that score within the funding range receive continuation contracts.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Assistance is available for a minimum 2-year period. One or 2-year continuation contracts are available. Payments will be made directly to lenders, following each quarter of the participant's satisfactory service, unless otherwise agreed upon by the participant and Secretary of Health and Human Services. See the following for information on how assistance is awarded/released: check with the program office.

Reports:

Program participants must be periodically certified by their research supervisor to have been engaged in the qualifying research project for a minimum 50 percent effort (not less than 20 hours per week based on a 40 hour week) during the service period. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

Not Applicable.

Records:

The NIH will maintain applicant records for 3 years after rejection and participant records for 6 years after completion of final service obligation.

Account Identification:

75-4554-0-1-552.

Obligations:

(Direct Payments for Specified Use) FY 12 \$39,564,604; FY 13 est \$38,483,000; and FY 14 est \$34,015,000

Range and Average of Financial Assistance:

For initial two-year contract periods, loan repayment of 50 percent of education debt up to \$70,000. For renewal contracts, loan repayment of 50 percent of education debt per year up to \$35,000 per year.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: 882. Fiscal Year 2013: 875. Fiscal Year 2014: 748.

REGULATIONS, GUIDELINES, AND LITERATURE:

Pertinent information is contained in Public Health Service Act, Title IV, Part G, Section 487F, Public Law 106-505, 42 USC 288-5a. The NIH LRP-CR was initially announced by publication of a Notice in the Federal Register (Vol. 66, No. 173), and updated in Federal Register (Vol. 68, No. 61).

Regional or Local Office:

None.

Headquarters Office:

Patricia Chappell-West 6011 Executive Boulevard, Suite 206, Rockville, Maryland 20852 Email: chappellwestp@od.nih.gov Phone: 3014027848

Website Address:

<http://www.lrp.nih.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The NIH Institutes and Centers approve or disapprove applications for participation in the Clinical Research Loan Repayment Program. Applications that are on time, complete and eligible are referred to the appropriate NIH Institute or Center by the NIH Center for Scientific Review. The NIH Institutes and Centers convene panels comprised of non-NIH scientists to review, score and rank applications. In evaluating the application, reviewers are directed to consider the following components as they relate to the likelihood that the applicant will continue in a research career: (1) potential of the applicant to pursue a career in research, and (2) quality of the overall environment to prepare the applicant for a research career.

93.281 MENTAL HEALTH RESEARCH CAREER/SCIENTIST DEVELOPMENT AWARDS

(Research Career/Scientist Development)

FEDERAL AGENCY:

National Institutes of Health, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Title III, Section 301, 42 U.S.C 241.

OBJECTIVES:

Prior to FY2013, this programs objectives included to provide support for research career development relating to causes, risk, diagnosis, genetics, development, epidemiology, prevention, treatment, and services use for mental illness, behavioral disorders, and HIV/AIDS; and relating to basic neuroscience and basic behavioral science research addressing basic and clinical research pertinent to mental disorders; in order to increase the number of individuals who are competing to advance research supported by the National Institute of Mental Health support, including women, individuals from underrepresented racial and ethnic minority groups, individuals with disabilities and individuals from disadvantaged backgrounds.

Starting in FY2013, the 93.242 Mental Health Research Grants CFDA program reflects the consolidation of NIMHs former CFDA numbers, 93.282 Mental Health National Research Service Awards for Research Training and 93.281 Mental Health Research Career/Scientist Development Awards into 93.242 Mental Health Research Grants.

This program will not be used to fund new projects. Please refer to 93.242 for new funding opportunities.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Prior to FY2013, this programs aimed to provide Mentored Research Scientist Development Award (K01, MRSDA), Mentored Clinical Scientist Development Award (K08, MCSDA), and Mentored Quantitative Research Career Development Award (K25) support for individuals with research potential who need additional mentored development and/or experience in a productive research environment. Awards are available for up to 5 years of salary support (up to \$90,000 per year) for the individual candidate, fringe benefits, and 8 percent F&A costs. Specialized training costs and limited research costs are allowable under justified circumstances. Mentored Patient-Oriented Research Career Development Award (K23) supports the career development of individuals who have made commitment to focus their research endeavors on patient-oriented research. Awards are available for three to five years up to \$90,000 per year to help offset the full time salary requirement of the candidate. The NIH Pathway to Independence (PI) Award (K99/R00) provides up to 2 years of mentored support (K99) for a postdoctoral individual followed by up to 3 years of support after the awardee transitions to research independence (R00). K99/R00 awards are awards for up to 5 years of support. During the K99 phase, awards typically provide up to \$50,000 per year for the salary of the individual candidate as well as fringe benefits, and 8 percent F&A costs. Specialized training costs and limited research costs are

allowable under justified circumstances. Salary support for all mentored awards may be supplemented by the sponsoring institution from nonfederal funds. The Independent Scientist Award (K02, ISA) is for independent scientists whose careers are developing rapidly; the Mid-career Investigator Award in Patient-Oriented Research (K24) is for outstanding clinical scientists engaged in patient-oriented research. No specialized training costs are available; limited research costs for K24s and K02s in theoretical areas are available.

Starting in FY2013, the 93.242 Mental Health Research Grants CFDA program reflects the consolidation of NIMHs former CFDA numbers, 93.282 Mental Health National Research Service Awards for Research Training and 93.281 Mental Health Research Career/Scientist Development Awards into 93.242 Mental Health Research Grants.

This program will not be used to fund new projects. Please refer to 93.242 for new funding opportunities.

Applicant Eligibility:

Prior to FY2013, all awards in these series are made to appropriate domestic research centers, medical schools, departments of psychiatry, non-medical academic departments, psychiatric hospitals or hospitals with psychiatric services, community mental health centers, and biomedical research institutes on behalf of individuals who need the opportunity to realize research potential. Except for the NIH Pathway to Independence (PI) Award (K99/R00), the individuals must be citizens or nationals of the United States or have been lawfully admitted for permanent residence. The NIH Pathway to Independence (PI) Award (K99/R00) is open to both U.S. citizens and non-U.S. citizens. Individuals must qualify by scholastic degree and previous training and/or experience.

Starting in FY2013, the 93.242 Mental Health Research Grants CFDA program reflects the consolidation of NIMHs former CFDA numbers, 93.282 Mental Health National Research Service Awards for Research Training and 93.281 Mental Health Research Career/Scientist Development Awards into 93.242 Mental Health Research Grants.

This program will not be used to fund new projects. Please refer to 93.242 for new funding opportunities.

Beneficiary Eligibility:

Public and private profit or nonprofit organizations will benefit.

Credentials/Documentation:

For other grantees, costs will be determined in accordance with HHS Regulation 45 CFR Part 74, Subpart Q. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Applications must be prepared and submitted electronically using the SF 424 (Research & Related). Information about the SF 424 form may be found on <http://grants.nih.gov/grants/funding/424/index.htm>, but application packages should be accessed through the appropriate funding opportunity announcement, found either on the NIH Guide to Grants and Contracts (<http://grants1.nih.gov/grants/guide/index.html>) or through the Grants.gov website (<http://www.grants.gov/>). For further assistance, contact GrantsInfo, Telephone (301) 435-0714, Email: grantsInfo@nih.gov. Information and instructions may be obtained from the program officials at the National Institute of Mental Health. Applications are reviewed by nonfederal consultants and recommended for support on the basis of merit. This program is subject to the provisions of OMB Circular No. A-21 for educational organizations and 45 CFR, Part 52.

Award Procedure:

All "K" Series awards are recommended for approval by the National Advisory Mental Health Council and those approved are awarded directly by NIMH to

the applicant institution.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 240 to 270 days from submission of the application. Review of AIDS-related applications is expedited.

Appeals:

A principal investigator may question the substantive or procedural aspects of the review of his/her application by communicating with the staff of the Institute. A description of the NIH Peer Review Appeals procedure is available on the NIH home page at <http://grants.nih.gov/grants/guide/notice-files/NOT-OD-11-064.html>.

Renewals:

K01, K08, K23, K25 awards are made for up to 5-year periods with no renewal. K02 and K24 awards are made for a 5-year period, renewable once (unless holder has held a previous K02 or K24 award). K99 awards are made for up to 2-year periods with no renewal.

Formula and Matching Requirements:

This program has no statutory formula. This program has no matching requirements. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Varies, with a project periods ranging from 1 to 5 years. Funding commitments are made annually. See the following for information on how assistance is awarded/released: A formal notification in the form of a Notice of Award (NoA) will be provided to the application organization.

Reports:

No program reports are required. No cash reports are required. Reports must be submitted as follows: (1) Interim progress reports annually as part of a non-competing application for previously recommended support; (2) terminal progress report within 90 days after end of project support; (3) annual financial status report within 90 days after termination of annual grant for some programs. In addition, immediate and full reporting of any inventions is required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials. Per the regulations, a for-profit (commercial) organization is subject to audit requirements for a non-Federal audit if, during its fiscal year, it expended \$500,000 or more under HHS awards and at least one award is a HHS grant. For-profit organizations have two options regarding the type of audit that will satisfy the audit requirements either: 1. a financial related audit (as defined in the Government Auditing Standards, GPO Stock #020-000-00-265-4, <http://www.gao.gov/govaud/ybk01.htm>) of the HHS awards in accordance with Government Auditing Standards, or 2. an audit that meets the requirements contained in OMB Circular No. A-133(<http://www.whitehouse.gov/OMB/circulars/a133/a133.html>).

Records:

Records must be retained at least 3 years; records shall be retained beyond the 3-year period if audit findings have not been resolved.

Account Identification:

75-0892-0-1-552.

Obligations:

(Salaries) FY 12 Not Available; FY 13 est \$0; and FY 14 Estimate Not Available - In FY2013, it is estimated that no grants will be awarded under this CFDA program as starting

in FY2013, the 93.242 Mental Health Research Grants CFDA program reflects the consolidation of NIMHs former CFDA numbers, 93.282 Mental Health National Research Service Awards for Research Training and 93.281 Mental Health Research Career/Scientist Development Awards into 93.242 Mental Health Research Grants.

This program will not be used to fund new projects. Please refer to 93.242 for new funding opportunities.

Range and Average of Financial Assistance:

\$22,813 to \$198,616; \$158,108.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: It is estimated that 379 grants will be awarded in FY2012.

Fiscal Year 2013: In FY13, it is estimated that no new awards will be made.

Starting in FY2013, the 93.242 Mental Health Research Grants CFDA program reflects the consolidation of NIMHs former CFDA numbers, 93.282 Mental Health National Research Service Awards for Research Training and 93.281 Mental Health Research Career/Scientist Development Awards into 93.242 Mental Health Research Grants.

This program will not be used to fund new projects. Please refer to 93.242 for new funding opportunities. Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR 52. NIH Grants Policy Statement (Revised 12/2003).

Regional or Local Office:

None.

Headquarters Office:

Tracy Waldeck Division of Extramural Activities
National Institute of Mental Health
6001 Executive Blvd
Room 6160, Bethesda, Maryland 20892 Email: waldeckt@mail.nih.gov Phone:
(301) 443-5047 Fax: (301) 443-9474

Website Address:

<http://www.nimh.nih.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: See RePORTer tool to examine funded research grants (<http://projectreporter.nih.gov/reporter.cfm> and or <http://report.nih.gov/rcdc/categories/>). Fiscal Year 2012: See NIH RePORTer tool to examine funded research grants (<http://projectreporter.nih.gov/reporter.cfm> and/or <http://report.nih.gov/rcdc/categories/>). Fiscal Year 2013: No Current Data Available. Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The following considerations are used in determining projects to be funded: (1) priority score and recommendation of the Initial Review Committee; (2) program priorities of the Institute; and (3) the availability of funds.

93.282 MENTAL HEALTH NATIONAL RESEARCH SERVICE AWARDS FOR RESEARCH TRAINING

(NRSA Program)

FEDERAL AGENCY:

National Institutes of Health, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Title IV, Section 487, 42 U.S.C 288.

OBJECTIVES:

Prior to FY2013, this programs objectives included to ensure a continuing and adequate supply of well-trained scientists who are able to conduct research on mental health problems. The program provides training grants to institutions and fellowships to individuals at both the predoctoral and postdoctoral levels. There are awards to medical students proposing to acquire doctoral research

degrees in addition to their medical degrees. Awards are made for training in the areas of basic and clinical neuroscience, genetics, developmental, behavioral, clinical, epidemiological, prevention, treatment and services research relevant to mental illnesses, behavioral disorders, and HIV/AIDS. These programs encourage training individuals in mental health research who are from underrepresented racial and ethnic groups, individuals with disabilities, or individuals disadvantaged because of educational or other circumstances that have inhibited their ability to pursue such a career.

Starting in FY2013, the 93.242 Mental Health Research Grants CFDA program reflects the consolidation of NIMHs former CFDA numbers, 93.282 Mental Health National Research Service Awards for Research Training and 93.281 Mental Health Research Career/Scientist Development Awards into 93.242 Mental Health Research Grants.

This program will not be used to fund new projects. Please refer to 93.242 for new funding opportunities.

TYPES OF ASSISTANCE:

Training

USES AND USE RESTRICTIONS:

Prior to FY2013, this programs aimed to provide Individual fellowships to students seeking predoctoral or postdoctoral support for full-time research training or who are enrolled in an formal M.D./Ph.D. program. An institutional allowance will be provided to the sponsoring institution for each awardee. In addition, any domestic public or nonprofit institution may apply for an institutional research training grant in a specified area of research from which a number of stipend awards will be made to individuals selected by the training program director at the institution. Support is available for both predoctoral and postdoctoral trainees and will include an amount for institutional expenses. Indirect costs may be requested at 8 percent of total direct costs, exclusive of tuition and related fees and expenditures for equipment, or actual indirect costs, whichever is less. Postdoctoral students receiving fellowships or stipend support under either individual or institutional grants for 12 months or less are obligated to pay back this support through an equivalent period of continued postdoctoral training or a period of health related research and/or teaching activities after the completion of their training. Postdoctoral individuals in their thirteenth and subsequent months of support will incur no further obligation, and such support will be considered acceptable postdoctoral payback service. Research training support may not be used for internships or other clinical training.

Starting in FY2013, the 93.242 Mental Health Research Grants CFDA program reflects the consolidation of NIMHs former CFDA numbers, 93.282 Mental Health National Research Service Awards for Research Training and 93.281 Mental Health Research Career/Scientist Development Awards into 93.242 Mental Health Research Grants.

This program will not be used to fund new projects. Please refer to 93.242 for new funding opportunities.

Applicant Eligibility:

Prior to FY2013, fFor a training grant, an institution must be a domestic public or nonprofit private organization. The applicant institution must have, or be able to develop, the staff and facilities to provide the proposed research training in an environment suitable for performing high-quality research. An applicant for individual predoctoral fellowship support must be enrolled in a research doctoral degree program by the proposed activation date of the fellowship. A postdoctoral applicant must have received a Ph.D., Psy.D., M.D., D.D.S., Sc.D., D.N.S., D.O., D.S.W., or equivalent degree from an accredited institution to be eligible for an individual postdoctoral fellowship. An applicant for an M.D./Ph.D. individual fellowship must be enrolled in an M.D./Ph.D. program at an approved medical school, accepted in a related scientific program, and supervised by a mentor in the related scientific field. All individuals to be supported under the NRSA program must be citizens or noncitizen nationals of the United States, or have been lawfully admitted to the United States for permanent residence.

Starting in FY2013, the 93.242 Mental Health Research Grants CFDA program

reflects the consolidation of NIMHs former CFDA numbers, 93.282 Mental Health National Research Service Awards for Research Training and 93.281 Mental Health Research Career/Scientist Development Awards into 93.242 Mental Health Research Grants.

This program will not be used to fund new projects. Please refer to 93.242 for new funding opportunities.

Beneficiary Eligibility:

Individuals and nonprofit organizations will benefit.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-21 for educational institutions. For other grantees, costs will be determined in accordance with HHS Regulations 45 CFR Part 74, Subpart Q, and 42 CFR Part 66. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Applications must be prepared and submitted electronically using the SF 424 (Research & Related). Information about the SF 424 form may be found on <http://grants.nih.gov/grants/funding/424/index.htm>, but application packages should be accessed through the appropriate funding opportunity announcement, found either on the NIH Guide to Grants and Contracts (<http://grants1.nih.gov/grants/guide/index.html>) or through the Grants.gov website (<http://www.grants.gov/>). For further assistance contact GrantsInfo, Telephone (301) 435-0714, Email: GrantsInfo@nih.gov. Information concerning current areas of science being supported is available from the National Institute of Mental Health (NIMH). Completed paper forms should be submitted to the Center for Scientific Review, National Institutes of Health, 6701 Rockledge Drive, Room 1040, MSC 7710, Bethesda, MD 20892-7710 or Bethesda, MD 20817 (for express/courier service). This program is subject to the provisions of 45 CFR Part 92 for State and local governments and OMB Circular No. A-110 for nonprofit organizations.

Award Procedure:

Applications are reviewed for scientific merit by nonfederal consultants recruited nationwide from the mental health field. Applications other than fellowships must also be reviewed by the National Advisory Mental Health Council. If an institutional training grant is recommended for approval and a decision to make an award is made, a formal award notice will be sent to the applicant institution. If an individual fellowship is recommended for approval and a decision to make an award is made, a formal award notice will be sent to the designated sponsoring institution business official. Postdoctoral students to be supported must submit required appointment forms, including a payback agreement and a Statement of Non-Delinquency on Federal Debt Certification. Predoctoral trainees whose appointments began after June 10, 1993, no longer incur a payback obligation. Starting in FY2013, the 93.242 Mental Health Research Grants CFDA program reflects the consolidation of NIMHs former CFDA numbers, 93.282 Mental Health National Research Service Awards for Research Training and 93.281 Mental Health Research Career/Scientist Development Awards into 93.242 Mental Health Research Grants.

This program will not be used to fund new projects. Please refer to 93.242 for new funding opportunities.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

> 180 Days. From 5 to 7 months. Review of AIDS-related applications is expedited.

Appeals:

A principal investigator may question the substantive or procedural aspects of

the review of his/her application by communicating with the staff of the Institute. A description of the NIH Peer Review Appeal procedures is available on the NIH home page at <http://grants.nih.gov/grants/guide/notice-files/NOT-OD-11-064.html>.

Renewals:

By law an individual may receive no more than 6 years of support in the aggregate at the predoctoral level and no more than 3 years of support in the aggregate at the postdoctoral level under the NRSA program (through an individual and/or institutional award). Any exception to these limitations requires a waiver from the Director of the awarding Institute based on review of justification from the awardee and the program director for the institutional grant.

Starting in FY2013, the 93.242 Mental Health Research Grants CFDA program reflects the consolidation of NIMHs former CFDA numbers, 93.282 Mental Health National Research Service Awards for Research Training and 93.281 Mental Health Research Career/Scientist Development Awards into 93.242 Mental Health Research Grants.

This program will not be used to fund new projects. Please refer to 93.242 for new funding opportunities.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

By law an individual may receive no more than 6 years of support in the aggregate at the predoctoral level and no more than 3 years of support in the aggregate at the postdoctoral level under the NRSA program (through an individual and/or institutional award). For an M.D./Ph.D. fellowship, 6 years may be requested. In practice, recommendations of review committees are generally for 2 or 3 years. Awards for institutional grants may be made for project periods of up to 5 years. See the following for information on how assistance is awarded/released: A formal notification in the form of a Notice of Award (NoA) will be provided to the application organization.

Starting in FY2013, the 93.242 Mental Health Research Grants CFDA program reflects the consolidation of NIMHs former CFDA numbers, 93.282 Mental Health National Research Service Awards for Research Training and 93.281 Mental Health Research Career/Scientist Development Awards into 93.242 Mental Health Research Grants.

This program will not be used to fund new projects. Please refer to 93.242 for new funding opportunities. See the following for information on how assistance is awarded/released: A formal notification in the form of a Notice of Award (NoA) will be provided to the application organization.

Reports:

No program reports are required. No cash reports are required. Annual progress reports are required. Annual reports of activities for tracking payback provisions are required from postdoctoral fellows and trainees upon termination of support. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. For nongovernmental grant recipients, audits are to be carried out in accordance with the provisions set forth in OMB Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal officials.

Records:

Records must be retained for at least 3 years; records shall be retained beyond the 3-year period if audit findings have not been resolved.

Account Identification:

75-0892-0-1-552.

Obligations:

(Salaries) FY 12 Not Available; FY 13 est \$0; and FY 14 Estimate Not Available - It is estimated that zero award will be made under this CFDA program.

Starting in FY2013, the 93.242 Mental Health Research Grants CFDA program reflects the consolidation of NIMHs former CFDA numbers, 93.282 Mental Health National Research Service Awards for Research Training and 93.281 Mental Health Research Career/Scientist Development Awards into 93.242 Mental Health Research Grants.

This program will not be used to fund new projects. Please refer to 93.242 for new funding opportunities.

Range and Average of Financial Assistance:

Predocutorial stipends: \$22,813. Postdoctoral stipends: \$39,264 to \$54,180; \$39,227.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: It is estimated that 271 individual and 113 institutional grants will be awarded. Fiscal Year 2013: It is estimated that zero award will be made under this CFDA program.

Starting in FY2013, the 93.242 Mental Health Research Grants CFDA program reflects the consolidation of NIMHs former CFDA numbers, 93.282 Mental Health National Research Service Awards for Research Training and 93.281 Mental Health Research Career/Scientist Development Awards into 93.242 Mental Health Research Grants.

This program will not be used to fund new projects. Please refer to 93.242 for new funding opportunities. Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR 66. NIH Grants Policy Statement (Revised 12/2003).

Regional or Local Office:

None.

Headquarters Office:

Tracy Waldeck Division of Extramural Activities
National Institute of Mental Health
6001 Executive Blvd
Room 6160, Bethesda, Maryland 20892 Email: waldeckt@mail.nih.gov Phone: 301-443-5047 Fax: 301-443-9474

Website Address:

<http://www.nimh.nih.gov>

RELATED PROGRAMS:

93.242 Mental Health Research Grants; 93.281 Mental Health Research Career/Scientist Development Awards

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: See RePORTer tool to examine funded research grants (<http://projectreporter.nih.gov/reporter.cfm> and or <http://report.nih.gov/rcdc/categories/>). Fiscal Year 2013: See RePORTer tool to examine funded research grants (<http://projectreporter.nih.gov/reporter.cfm> and or <http://report.nih.gov/rcdc/categories/>). Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The following considerations will be used in determining projects to be funded. For Training Grants: (1) quality of the proposed training program as determined by peer review; (2) availability of funds; and (3) program priority. For Fellowships: (1) overall merit of the application; (2) relevance of the application to the research priorities and mission of the institute and programs; and (3) availability of funds.

93.283 CENTERS FOR DISEASE CONTROL AND PREVENTION INVESTIGATIONS AND TECHNICAL ASSISTANCE

CDC_Investigations, Technical Assistance

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Title 42, Section 243, 247b(k)(2).

OBJECTIVES:

To assist State and local health authorities and other health related organizations in controlling communicable diseases, chronic diseases and disorders, and other preventable health conditions. Investigations and evaluation of all methods of controlling or preventing disease and disability are carried out by providing epidemic aid, surveillance, technical assistance, consultation, and program support; and by providing leadership and coordination of joint national, State, and local efforts. STEPS- To enable communities to reduce the burden of chronic disease, including: preventing diabetes among populations with pre-diabetes; increasing the likelihood that persons with undiagnosed diabetes are diagnosed; reducing complications of diabetes; preventing overweight and obesity; reducing overweight and obesity; and reducing the complications of asthma. STEPS will achieve these outcomes by improving nutrition; increasing physical activity; preventing tobacco use and exposure, targeting adults who are diabetic or who live with persons with asthma; increasing tobacco cessation, targeting adults who are diabetic or who live with persons with asthma; increasing use of appropriate health care services; improving the quality of care; and increasing effective self-management of chronic diseases and associated risk factors. REACH - REACH U.S. supports community coalitions that design, implement, evaluate, and disseminate community-driven strategies to eliminate health disparities. Racial and ethnic groups targeted include: African American/Black, American Indian/Alaska Native, Asian, Native Hawaiian/Other Pacific Islander, and Hispanic/Latino. Health priority areas include: breast and cervical cancer; cardiovascular disease; diabetes mellitus; adult/older adult immunization, hepatitis B, and/or tuberculosis; asthma; and infant mortality. Vulnerable Populations: The purpose of this program is to reduce morbidity, premature mortality, and eliminate health disparities associated with diabetes. This will be done by funding organizations to mobilize community partners and assist them to effectively plan, develop, implement, and evaluate community-based interventions to reduce the risk factors that influence the disproportionate burden of diabetes in vulnerable populations borne by many communities in regions across the country.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

To strengthen State and local disease prevention and control programs, such as tuberculosis, childhood immunization, and sexually-transmitted diseases. To support national and local programs to combat disability related to epilepsy through education, partnership development, and enhanced communication. Services and program support: Epidemic aid; technical assistance (field studies and investigations of ongoing disease problems; occupational safety and health); consultation; dissemination of technical information; and provision of specialized services and assistance, including responses to public health emergencies. Training: Training State and local health professionals in broad areas of epidemiology, disability, and research programs such as hospital infections, hepatitis, vector-borne diseases, food-borne diseases, epilepsy, and tuberculosis, at the State or other health related organization's request.) To support capacity building, program planning, development, implementation, evaluation, and surveillance for current and emerging chronic disease conditions related to tobacco use. To achieve four Program Goals through community interventions and mobilization; counter-marketing; policy development and implementation, and surveillance and evaluation. Goals include preventing initiation to tobacco use among young people; eliminate exposure to second hand smoke; promote cessation among adults and young people who use tobacco; and identify and eliminate tobacco-related disparities among specific population groups. Lead regional efforts to prevent and reduce the use of tobacco and exposure to secondhand smoke. Conduct evaluations and implementation of culturally relevant and community competent tobacco control and prevention strategies and continue regional capacity building

efforts. To address goal four of the National Tobacco Control Program (Identify and Eliminate Tobacco-Related Disparities). To eliminate health disparities among segments of the population, including differences that occur by gender, race or ethnicity, education, income, disability, geographic location, or sexual orientation. Establish a National Network consortium among six priority populations to coordinate and evaluate tobacco control and prevention initiatives through a broad national strategy to prevent and reduce tobacco use and exposure to second hand smoke and to eliminate tobacco-related disparities among priority populations. To provide funding to establish and/or enhance state-based telephone quitlines to provide tobacco cessation telephone counseling to the public at large. To fund projects that address the promotion of cessation among adults and young people who use tobacco (quitlines.) Address at the National level the promotion of cessation among adults and young people who use tobacco (quitlines). To build state arthritis programs that exponentially expand access and use of evidence-based interventions by embedding intervention programs into existing systems, conducting surveillance and data analysis to inform decision making, and developing state-level intervention, policy, and communication focused partnerships that further program goals. To strengthen state oral health programs and public health core capacity and to reduce inequalities in the oral health of targeted populations. To improve state public health programs, including support for program leadership and staff, to monitor oral health risk factors, and for developing and evaluating prevention programs. To support evidenced- based interventions to prevent oral disease, including community water fluoridation and school-based dental sealant programs. To identify and disseminate information on best practices for state and community oral health programs. To assess state programs and provide recommendations to address gaps and weaknesses. To collaborate in development of the National Oral Health Surveillance System and to cosponsor the annual National Oral Health Conference. To develop initiatives related to oral disease prevention and chronic disease and health promotion capacity. To improve and strengthen state oral health infrastructure by providing technical assistance to state health agencies and coalitions, developing and implementing a plan to advance the Surgeon General's National Call to Action to Promote Oral Health. To coordinate the dissemination of comprehensive oral disease prevention information and health promotion programmatic expertise among state and local agencies and public and private sector organizations in the United States. To develop and promote policies to address oral disease prevention for high-risk adults. To identify modifiable barriers to optional care across diverse managed care settings. To promote public awareness and partnership, provide interstitial cystitis (IC) education for the general public and for health care providers, and develop and enhance communication channels to allow for improved interaction and information sharing among those with IC, advocates for persons with IC and their families, those who provide care and services for persons with IC, researchers and public health scientists. To promote public awareness and partnerships, assess the impact and burden of cognitive impairment within states and nationally, describe the characteristics of expanded data on caregivers and care from a public health perspective, provide Alzheimers disease and cognitive health education for the general public and health professionals, and develop and enhance communication channels to allow for improved interaction and information sharing about cognitive impairment and Alzheimers disease.

Applicant Eligibility:

States, political subdivisions of States, local health authorities, Federally recognized or state recognized American Indian/Alaska Native tribal governments and organizations with specialized health interests may apply. Colleges, universities, private nonprofit and public nonprofit domestic organizations, research institutions, faith-based organizations, and managed care organizations for some specific programs such as Diabetes.

Beneficiary Eligibility:

States, political subdivisions of States, local health authorities, and individuals or organizations with specialized health interests will benefit. Colleges, universities, private non-profit and public nonprofit domestic organizations, research institutions, faith-based organizations, and managed care organizations for some specific programs such as Diabetes.

Credentials/Documentation:

For other grantees, costs will be determined by HHS regulations 45 CFR 74,

Subpart Q. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Forms and instructions are available in an interactive format on the CDC web site, at the following Internet address: www.cdc.gov/od/pgo/forminfo.htm. To apply for research funding opportunities, use application form PHS 398 (OMB number 0925-0001 rev. 5/2001). Submit the signed original and five copies of your application by mail or express delivery service to: Technical Information Management, CDC Procurement and Grants Office, 2920 Brandywine Road, Atlanta, GA 30341. State and local governments may use Form 5161. An original and two copies must be submitted. This program is subject to the provisions of 45 CFR Parts 74 or 92, as appropriate.

Award Procedure:

After review and approval, a notice of award is prepared and processed, along with appropriate notification to the public, initial award provides funds for first budget period (usually 12 months) and Notice of Award indicates support recommended for the remainder of project period, allocation of Federal funds by budget categories, and special conditions, if any. However, applicants are encouraged to call CDC for programmatic technical assistance prior to the development and submission of their assistance application.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. About 120 days from receipt of application.

Appeals:

Not Applicable.

Renewals:

If additional support is desired to continue a research project beyond the approved project period, an application for competing continuation must be submitted for review in the same manner as a new application. Projects are renewable for periods of 1 to 3 years.

Formula and Matching Requirements:

This program has no statutory formula. This program has no matching requirements. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Assistance is available for a 12-month budget period within project periods ranging from 1 to 5 years. After awards are issued, funds are released in accordance with the payment procedure established by the grantee institution with DHHS, which may be an Electronic Transfer System or a Monthly Cost Request System. Method of awarding/releasing assistance: lump sum.

Reports:

Financial status and progress reports are required annually. Upon completion of the project, final financial status and performance reports are required. Interim reporting requirements may be delineated in the FOA. Cash reports are not applicable. Progress reports are required annually. Interim reporting requirements may be delineated in the FOA. Financial status reports are required annually. Upon completion of the project, final financial status reports are required. Progress reports are required annually. Upon completion of the project, performance reports are required. Interim reporting requirements may be delineated in the FOA.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit

Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the project must be kept readily available for review by personnel authorized to examine PHS grant accounts. Records must be maintained for a minimum of 3 years after the end of a budget period. If questions still remain, such as those raised as a result of an audit, records must be retained until completion or resolution of any audit in process or pending resolution. Property records must be retained in accordance with PHS Grants Policy Statement requirements.

Account Identification:

75-0943-0-1-550.

Obligations:

(Cooperative Agreements) FY 12 \$2,996,443; FY 13 est \$2,800,000; and FY 14 est \$2,800,000 - DP10-1001. (Cooperative Agreements) FY 12 \$60,568,528; FY 13 est \$60,568,528; and FY 14 est \$0 - FY2013 OSH DP09-901 \$58,417,798.00
FY2013 OSH DP09-902 \$800,716.00
FY2013 OSH DP10-1002 \$1,350,014.00. (Cooperative Agreements) FY 12 \$937,398; FY 13 est \$937,398; and FY 14 est \$937,398. (Cooperative Agreements (Discretionary Grants)) FY 12 \$85,671,230; FY 13 est \$65,503,027; and FY 14 est \$0 - FY2013 DP09-901

For FY2012 DDT added \$27,253,432

For FY2013 DDT added \$7,085,229. (Cooperative Agreements (Discretionary Grants)) FY 12 \$1,435,826; FY 13 est \$1,435,674; and FY 14 est \$1,435,827 - FY2013 DP09-902

For FY2012 DDT added \$635,110

For FY2013 DDT added \$634,958

For FY2014 DDT added \$635,111.

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations governing this program are published in the application kit and identified on the notices of award. PHS Grants Policy Statement No. 94-50,000 (Revised) April 1, 1994.

Regional or Local Office:

None. DP09-901, DP09-902, DP08-811, HM08-805, DP10-1002.

Headquarters Office:

ELYSE HILL 4770 Buford Hwy, Atlanta, Georgia 30341 Phone: 770-488-5314

Website Address:

<http://www.cdc.gov> .

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications are reviewed on the basis of scientific/technical merit, with attention given to such matters as: (1) The degree to which the applicant satisfies the essential requirements and possesses other desired characteristics, such as depth, breadth, and scientific merit of the overall application relative to the types of research and demonstration projects proposed; (2) clarity of purpose and overall qualifications, adequacy and appropriateness of personnel to accomplish proposed prevention research projects and demonstration projects, and the nation's health priorities and needs; (3) ability to generalize,

translate and disseminate to State or local health departments, boards of education and other appropriate national regional, and local public health agencies and organizations; (4) reasonableness of the proposed budget in relation to the work proposed.

93.284 INJURY PREVENTION PROGRAM FOR AMERICAN INDIANS AND ALASKAN NATIVES_COOPERATIVE AGREEMENTS

FEDERAL AGENCY:

Indian Health Service, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Section 301a.

OBJECTIVES:

To improve the quality of the health of American Indians and Alaska Natives by developing the capacity of tribes to address their injury problems.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

The assistance is used for three types of injury prevention related projects: (1) Part I Basic: Build or enhance local injury prevention capacity; and (2) Part II Intervention: Implement a proven or promising injury prevention intervention. This assistance is available to federally-recognized Indian tribes, tribal organizations, and urban Indian organizations. All IHS funds are discretionary funds.

Applicant Eligibility:

Federally-recognized tribes, tribal organizations, non-profit organizations serving primarily American Indians and Alaska Natives, and urban Indian organizations may apply.

Beneficiary Eligibility:

Individuals who are members of an eligible applicant tribe, band, or group or village and who may be regarded as within the scope of the Indian health and medical service program and who are regarded as an Indian by the community in which he lives as evidenced by such factors as tribal membership, enrollment, residence on tax exempt land, ownership or restricted property, active participation in tribal affairs or other relevant factors in keeping with general Bureau of Indian Affairs practices in the jurisdiction.

Credentials/Documentation:

Certification of American Indian or Alaska descent is required for direct services. Provisions of cooperative agreement require tribal endorsement in the form of Resolution to sanction tribal participation. Costs will be determined in accordance with OMB Circular No. A-87 for State, local, and Indian tribal governments (and Circular No. A-122 for Nonprofit Organizations) implemented through applicable grant administration regulations 45 CFR, 92 (45 CFR, 74 for nonprofit organizations). OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Standard application forms, as furnished by IHS and required by 45 CFR, 92, for State and local governments and 45 CFR, 74, for nonprofit organizations, must be used for this program. Injury Prevention Programs are processed and administered by IHS Headquarters. This program is subject to the provisions of 45 CFR 92 for Indian tribes and Part 74 for Nonprofit organizations.

Award Procedure:

Cooperative agreements are awarded on a competitive basis with processing and final approved by Indian Health Service Headquarters.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. Grants are approved or disapproved within 90 days of receipt of grant applications by the Indian Health Service, program office.

Appeals:

Cooperative agreement appeals will follow PHS appeals procedures: 42 CFR, Part 50 Subpart D and DHHS appeals procedures: 45 CFR, Part 16.

Renewals:

Renewal of multi-year cooperative agreements is on a year-to-year basis and requires the submission of continuation applications.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

IHS Grants are usually 3 to 5 years for a project period. Method of awarding/releasing assistance: quarterly.

Reports:

Program reports are not applicable. Grantees will be required to submit quarterly Federal Financial Report (SF-425 or FFR) to the Division of Payment Management Branch. Progress reports are required annually, semi-annually or quarterly depending on the time lines set by the IHS program. A final progress report is due 90 days after the end of each budget period and at the end of the final project period. Grantees will be required to submit quarterly Federal Financial Report (SF-425 or FFR) to the Division of Payment Management Branch. IHS grants are monitored by the Division of Grants Management for financial compliance and by the IHS Program Staff for programmatic compliance.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

HHS and the Comptroller General of the United States or any of their authorized representatives, shall have the right of access to any books, documents, papers, or other records of a grantee, subgrantee, contractor, or subcontractor, that are pertinent to the HHS grant in order to make audits, examinations, excerpts and transcripts. In accordance with 45 CFR, 92.42, grantees are required to maintain grant records 3 years after they submit their final expenditures report. If any litigation, claim, negotiation, audit or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues arising from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0390-0-1-551.

Obligations:

(Cooperative Agreements) FY 12 \$2,700,033; FY 13 est \$2,470,000; and FY 14 est \$2,400,000

Range and Average of Financial Assistance:

Injury Prevention: Part 1a up to \$65,000; Part 1b up to \$80,000; Part II Effective Strategies up to \$10,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 92 and 45 CFR 74, HHS Grants Policy Statement dated 1/07, Program Guidelines for Competitive Projects.

Regional or Local Office:

None. See Appendix IV of the Catalog for Indian Health Service Area Offices.

Injury Prevention Program Contact: Ms. Nancy Bill, Injury Prevention Program Manager, Indian Health Service, 801 Thompson Avenue, Suite 120, Rockville, MD 20852. Telephone: (301) 443-1054.

Grants Management Contact: Ms. Tammy Bagley, Acting Director, Division of Grants Management, Indian Health Service, 801 Thompson Avenue, Suite TMP 360, Rockville, MD 20852. Telephone: (301) 443-5204, Fax (301) 443-9602. Use the same number for FTS.

Headquarters Office:

Grants Policy Office 801 Thompson Avenue, Suite TMP 360, Rockville, Maryland 20852 Email: grantspolicy@ihs.gov Phone: 301-443-5204

Website Address:

http://www.ihs.gov

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

(1) The apparent capability of the applicant to organize and manage the proposed project successfully considering the adequacy of staff, management systems, equipment and facilities; (2) the soundness of the applicant's plan for conducting the project and for assuring effective utilization of grant funds; (3) the adequacy of the budget in relation to the scope of the project and available funds; and (4) the relative effectiveness of the applicant's plan to carry out each of the requirements as set forth in the application.

93.285 NATIONAL INSTITUTES OF HEALTH PEDIATRIC RESEARCH LOAN REPAYMENT PROGRAM

(NIH Pediatric Research Loan Repayment Program; PR-LRP)

FEDERAL AGENCY:

National Institutes of Health, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Title IV, Part G, Section 487F, Public Law 106-310, 42 U.S.C. 288-6.
, Title IV, Part G, Section 487F, Public Law 106-310, 42 U.S.C 288-6.

OBJECTIVES:

To attract and retain health professionals to pediatric research careers by offering educational loan repayment for participants who agree by written contract to engage in pediatric research in a qualifying nonprofit institution for a minimum of 2 years.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

To provide repayment of extant educational loans incurred by health professionals engaged in pediatric research in a qualified nonprofit institution or Federal or other domestic government agency (State or local), and who are engaged in research that is supported by a nonprofit foundation, nonprofit professional association, or other nonprofit entity, or a Federal or other domestic government agency (State, local). Recipients must agree by written contract to engage in such research, initially, for a minimum of 2 years; individuals who have conflicting service obligations may not participate in this program until those obligations are satisfied or have been deferred during the period of program service. Participants may apply for and the Secretary may grant extension contracts for one-year or two-year periods, as determined by the Secretary, if the individual continues to engage in qualifying research. Renewal applications are competitively reviewed and the submission of a renewal application does not assure the award of benefits. Maximum program benefit is \$35,000 per year in loan repayments and \$13,650 per year in Federal tax reimbursements, plus discretionary state and local tax reimbursements. Recipients must have qualified educational debt in excess of 20 percent of their annual salary, which is referred to as their "debt threshold."

Applicant Eligibility:

Eligible applicants must: (1) be a U.S. citizen, U.S. national, or permanent resident of the United States; (2) have a Ph.D., M.D., D.O., D.D.S., D.M.D., D.P.M., Pharm.D., D.C., N.D., O.D. or equivalent doctoral degree from an accredited institution; (3) have total qualifying educational loan debt equal to or in excess of 20 percent of their institutional base salary on the date of program eligibility (the effective date that a loan repayment contract has been executed by the Secretary of Health and Human Services or designee); (4) conduct qualifying research supported by a domestic nonprofit foundation, nonprofit professional association, or other nonprofit institution, or a U.S. or other government agency (Federal, State, or local); (5) engage in qualified pediatric research (pediatric research is research that is directly related to diseases, disorders, and other conditions in children); (6) engage in qualified pediatric research for at least 50 percent of their time, i.e., not less than 20 hours per week based on a 40 hour week; (7) agree to conduct research for which funding is not prohibited by Federal law, regulation, or HHS/NIH policy, and in accordance with applicable Federal, State and local law (e.g., applicable human subject protection regulations); and (8) sign and submit to the Secretary of Health and Human Services, at the time of application submission, a contract agreeing to engage in pediatric research in a qualifying institution for a minimum of 2 years. Full-time employees of Federal Government agencies are ineligible to apply for LRP benefits. Part-time Federal employees who engage in qualifying research as part of their non-Federal duties for at least 20 hours per week based on a 40 hour week, and who are not compensated as Federal employees for their research, are eligible to apply for loan repayment if they meet all other eligibility requirements.

Beneficiary Eligibility:

Health professionals who are interested in pursuing pediatric research careers who have unpaid educational loans will benefit from this program.

Credentials/Documentation:

Applicants electronically transmit the following documents to the NIH: Applicant Information Statement, Biosketch, Personal Statement, Description of Research Activities, 3 Recommendations, Loan Information including current account statement(s) and promissory note(s) or disclosure statement(s), Assessment of Research Activities Statement (completed by the research supervisor), and Description of the Research Environment (completed by the research supervisor), Training or Mentoring Plan (completed by the research supervisor). Institutional Contacts electronically transmit a certification that: (a) assures the applicant will be provided the necessary time and resources to engage in the research project for two years from the date a Loan Repayment Program Contract is executed; (b) assures that the applicant is or will be engaged in qualifying research for 50 percent of their time (or not less than 20 hours per week based on a 40 hour week); (c) certifies that the institution is non-profit (exempt from tax under 26 USC 501), and (d) provides the applicant's institutional base salary. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Applicants must access and submit the Loan Repayment Program application via the website www.lrp.nih.gov. Applications submitted to the NIH Division of Loan Repayment are first examined to determine if the basic eligibility requirements are satisfied. If these requirements are met, the application is forwarded to the NIH Center for Scientific Review for a determination regarding which NIH Institute or Center the application will be assigned to for review, scoring, and ranking.

Award Procedure:

The NIH Institutes and Centers approve or disapprove applications for participation in the Pediatric Research Loan Repayment Program. When an Institute or Center approves an application, the NIH Office of Loan Repayment notifies individuals of their award amount, provides a repayment schedule that covers the period of participation, and a Loan Repayment Program Contract is

executed by an authorized representative of the Secretary, DHHS. If the approved research assignment changes, or if the employing research institution changes, the participant must have their continued eligibility re-certified by the Institute or Center which approved their application. Contact the NIH via e-mail at LRP@NIH.GOV for further guidance.

Deadlines:

Nov 15, 2012: At 8:00 p.m., EST. Review the Loan Repayment Program website www.lrp.nih.gov, or contact the Headquarters Office listed below for the application deadlines.

Range of Approval/Disapproval Time:

> 180 Days. The approximate time for approval/disapproval is 6 months from the closing date of the annual application period.

Appeals:

Not Applicable.

Renewals:

> 180 Days. At the conclusion of the initial 2-year contract, participants may apply and be considered for subsequent 1 or 2-year continuation contracts under the application and approval procedures specified above. Eligible renewal applications that score within the funding range receive continuation contracts.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Assistance is available for a minimum 2-year period. One or 2-year continuation contracts are available for eligible individuals whose renewal applications score within the funding range. Payments will be made directly to lenders, following each quarter of the participant's satisfactory service, unless otherwise agreed upon by the participant and Secretary of Health and Human Services. Method of awarding/releasing assistance: quarterly.

Reports:

No reports are required.

Audits:

No audits are required for this program.

Records:

The NIH will maintain applicant records for 3 years after rejection and participant records for 6 years after completion of final service obligation.

Account Identification:

75-4554-0-1-552.

Obligations:

(Direct Payments for Specified Use) FY 12 \$19,041,363; FY 13 est \$19,000,000; and FY 14 est \$17,176,000

Range and Average of Financial Assistance:

For initial 2-year contract periods, loan repayment of 50 percent of education debt up to \$70,000 plus tax payments. For renewal contracts, loan repayment of 50 percent of education debt per year up to \$35,000 per year.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: 382. Fiscal Year 2013: 425. Fiscal Year 2014: 334.

REGULATIONS, GUIDELINES, AND LITERATURE:

Pertinent information is contained in the Public Health Service Act, Title IV, Part G, Section 487F, Public Law 106-310, 42 U.S.C. 288-6. The NIH PR-LRP was initially announced by publication of a Notice in the Federal Register (Vol. 66, No. 173), and updated in Federal Register (Vol. 68, No. 61).

Regional or Local Office:

None.

Headquarters Office:

Patricia Chappell-West 6011 Executive Boulevard, Suite 206, Rockville, Maryland 20852 Email: chappellwestp@od.nih.gov Phone: 3014027848

Website Address:

<http://www.lrp.nih.gov>

RELATED PROGRAMS:

93.162 National Health Service Corps Loan Repayment Program; 93.164 Indian Health Service Educational Loan Repayment; 93.209 Contraception and Infertility Research Loan Repayment Program; 93.220 Clinical Research Loan Repayment Program for Individuals from Disadvantaged Backgrounds; 93.307 Minority Health and Health Disparities Research ; 93.308 Extramural Loan Repayment for Individuals from Disadvantaged Backgrounds Conducting Clinical Research; 93.364 Nursing Student Loans; 93.936 National Institutes of Health Acquired Immunodeficiency Syndrome Research Loan Repayment Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The NIH Institutes and Centers approve or disapprove applications for participation in the Pediatric Research Loan Repayment Program. Applications that are on time, complete and eligible are referred to the appropriate NIH Institute or Center by the NIH Center for Scientific Review. The NIH Institutes and Centers convene panels comprised of non-NIH scientists to review, score and rank applications. In evaluating the application, reviewers are directed to consider the following components as they relate to the likelihood that the applicant will continue in a research career: (1) potential of the applicant to pursue a career in research, and (2) quality of the overall environment to prepare the applicant for a research career.

**93.286 DISCOVERY AND APPLIED RESEARCH FOR TECHNOLOGICAL INNOVATIONS TO IMPROVE HUMAN HEALTH
FEDERAL AGENCY:**

National Institutes of Health, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Title IV, Section 401(b)(1) & 464z.

OBJECTIVES:

To support hypothesis-, design-, technology-, or device-driven research related to the discovery, design, development, validation, and application of technologies for biomedical imaging and bioengineering. The program includes biomaterials (biomimetics, bioprocessing, organogenesis, rehabilitation, tissue engineering, implant science, material science, interface science, physics and stress engineering, technology assessment of materials/devices), biosensors/biotransducers (technology development, technology assessment, development of algorithms, telemetry), nanotechnology (nanoscience, biomimetics, drug delivery systems, drug bioavailability, microarray/combinatorial technology, genetic engineering, computer science, technology assessment), bioinformatics (computer science, information science, mathematics, biomechanics, computational modeling and simulation, remote diagnosis and therapy), imaging device development, biomedical imaging technology development, image exploitation, contrast agents, informatics and computer sciences related to imaging, molecular and cellular imaging, bioelectrics/biomagnetics, organ and whole body imaging, screening for diseases and disorders, and imaging technology assessment and surgery (technique development and technology development).

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Research Grant funds may be used for salaries, consultation, equipment, travel and other usual costs, subject to Federal regulations applicable to the grant. Individual National Research Service Awards (NRSA) are made directly to individuals for research training in specified areas related to the mission of NIBIB. Institutional NRSA may be made to institutions to enable them to accept individuals for research training. Each individual who receives a NRSA postdoctoral fellowship or traineeship is obligated upon termination of the award to comply with certain service and payback provisions. Small Business Innovation Research (SBIR) Phase I grants are to establish the technical merit and feasibility of a proposed research effort that may lead to a commercial product or process. Only Phase I awardees are eligible to apply for Phase II support. Small Business Technology Transfer (STTR) Phase I grants are to

determine the scientific, technical, and commercial merit and feasibility of the proposed cooperative effort that has potential for commercial application. Phase II funding is based on results of research initiated in Phase I and scientific and technical merit and commercial potential of the Phase II application.

Applicant Eligibility:

Any corporation, public or private institution or agency, or other legal entity, either nonprofit or for-profit, may apply for a research grant. An applicant for an individual NRSA must be a citizen of the United States or lawfully admitted for permanent residence. Those on temporary or student visas are not eligible. An eligible institution for an institutional NRSA must be capable of providing predoctoral and/or postdoctoral research training opportunities for engineers, bioengineers or other. SBIR grants can be awarded only to domestic small businesses (entities that are independently owned and operated for profit; are not dominant in the field in which research is being proposed; and have no more than 500 employees). Primary employment (more than one-half time) of the principal investigator must be with the small business at the time of award and during the conduct of the proposed project. In both Phase I and Phase II, the research must be performed in the United States or its possessions. To be eligible for funding, a grant application must be reviewed for scientific merit and program relevance by a scientific review group and a national advisory council. STTR grants can be awarded only to domestic small business concerns (entities that are independently owned and operated for profit, are not dominant in the field in which research is proposed and have no more than 500 employees) which "partner" with a research institution in cooperative research and development. At least 40 percent of the project is to be performed by the small business concern and at least 30 percent by the research institution. In both Phase I and Phase II, the research must be performed in the U.S. or its possessions. To be eligible for funding, a grant application must be approved for scientific merit and program relevance by a scientific review group and a national advisory council.

Beneficiary Eligibility:

Any nonprofit or for-profit organization, company, or institution engaged in biomedical research.

Credentials/Documentation:

Credentials/Documentation: Each applicant for research projects must present a research plan and furnish evidence that scientific competence, facilities, equipment, and supplies are appropriate to carry out the plan. For an individual NRSA, the applicant's academic record, research experience, citizenship, and institutional sponsorship should be documented in the application. For an institutional NRSA, the applicant organization must show the objectives, methodology and resources for the research training program, the qualifications and experience of directing staff, the criteria to be used in selecting individuals for awards, and detailed budget justification for the amount of grant funds requested. Costs will be determined in accordance with OMB Circular No. A-21, Cost Principles for Educational Institutions and OMB Circular No. A-87 for State and local governments. For-profit organizations' costs are determined in accordance with Subpart 31.2 of the Federal Acquisition Regulations. For other grantees, costs will be determined by HHS Regulations 45 CFR, Part 74, Subpart Q. For SBIR and STTR grants, applicant organization (small business concern) must present in a research plan an idea that has potential for commercialization and furnish evidence that scientific competence, experimental methods, facilities, equipment, and funds requested are appropriate to carry out the plan. Use of SF424 (R&R) Adobe Version B series or PHS 398 (Rev. 11/2007), and associated forms are required for all applications submitted to the NIH. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Application Forms SF424 and PHS 398 (Rev. 11/2007) are the standard forms. Application kits are available on-line at <http://grants1.nih.gov/grants/oe.htm>. Completed applications should be submitted via Grants.gov (<http://www.grants.gov>) or to

the Center for Scientific Review, National Institutes of Health, Bethesda, MD 20892. The standard application forms, as furnished by PHS and required by 45 CFR Part 92 for State and local governments, must be used for this program. The same application form will be used for all types of applications including training (NRSA), both individual and institutional, and SBIR/STTR. The training (NRSA) program is subject to the provisions of 45 CFR Part 92 for State and local governments and OMB Circular No. A-110 for nonprofit organizations. SBIR and STTR Grant Solicitations and SBIR Contract Solicitation may be obtained electronically through the NIH's "Small Business Funding Opportunities" home page at www.nih.gov/grants/funding/sbir.htm on the World Wide Web. SBIR and STTR grant applications should be submitted electronically through Grants.gov (<http://www.grants.gov>) using the SF424 Research and Related (R&R) forms and the SF424 (R&R) SBIR/STTR Application Guide.

Award Procedure:

All applications for research or training are reviewed for scientific merit by an appropriate initial review group and then by a national advisory council. All applications favorably recommended compete for available funds on the basis of scientific merit and program emphasis. All accepted SBIR/STTR applications are evaluated for scientific and technical merit by an appropriate scientific peer review panel and by a national advisory council or board. All applications receiving a priority score compete for available SBIR/STTR set-aside funds on the basis of scientific and technical merit and commercial potential of the proposed research, program relevance, and program balance among the areas of research.

Deadlines:

Feb 01, 2009 Research Grants: February 1, June 1, and October 1. Renewals: March 1, July 1, and November 1. Individual NRSA's: April 5, August 5, and December 5. Institutional NRSA's: January 10, May 10 and September 10. SBIR or STTR: April 1, August 1, and December 1.

Range of Approval/Disapproval Time:

> 180 Days. Research Grants: From 6 to 9 months. NRSA's: From 6 to 9 months. SBIR/STTR: About 7.5 months.

Appeals:

From 30 to 60 days. A principal investigator (P.I.) may question the substantive or procedural aspects of the review of his/her application by communicating with the staff of the Institute. A description of the NIH Peer Review Appeal procedures is available on the NIH home page <http://grants.nih.gov/grants/guide/notice-files/NOT-OD-11-064.html>.

Renewals:

> 180 Days. Research Grant applications submitted for renewal are reviewed and selected for funding on a competitive basis. NRSA awards may be made for 1 to 5 years. No individual may receive more than 5 years of aggregate NRSA support beyond the predoctoral level, and no individual may receive NRSA support for more than 3 years of support beyond the postdoctoral level. Institutional awards may be renewed.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Research Grant awards are usually made annually with no project periods to exceed 5 years in length. NRSA Institutional awards may be made for up to 5 years, and Individual awards may be made for up to 5 years at the predoctoral level, 3 years at postdoctoral level, and 2 years at senior level. Normally SBIR and STTR Phase I awards are for 6 months and Phase II awards are for 2 years. See the following for information on how assistance is awarded/released: Normally, awards are released each fiscal year.

Reports:

No program reports are required. Cash reports are not applicable. Annual Progress Reports are required. Instructions are found: <http://grants1.nih.gov/grants/guide/notice-files/NOT-OD-09-139.html>. Annual financial status reports are required. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. For nongovernmental grant recipients, audits are to be carried out in accordance with the provisions set forth in OMB Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal officials.

Records:

Expenditures and other financial records must be retained for 3 years from the day on which the grantee submits the last financial status report for the report period.

Account Identification:

75-0898-0-1-552.

Obligations:

(Project Grants) FY 12 \$278,007,000; FY 13 est \$261,853,000; and FY 14 est \$276,319,000

Range and Average of Financial Assistance:

FY 12, Range: \$1669 - \$2750201 Avg.: \$414317; FY 13 est. Range: \$3,000 - \$2693855; Avg.: \$375686; FY 14 est. Range: \$3,000 - \$2779718; Avg.: \$394178.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: 671. Fiscal Year 2013: 697. Fiscal Year 2014: 701.

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR 52; 45 CFR 74; 45 CFR 92; PHS Grants Policy Statement, DHHS Publication No. (OASH) 94- 50,000, (Rev.) April 1, 1994. Grants will be available under the authority of and administered in accordance with the PHS Grants Policy Statement and Federal regulations at 42 CFR 52 and 42 U.S.C. 241; Omnibus Solicitation of the Public Health Service for Small Business Innovation Research (SBIR) Grant and Cooperative Agreement Applications. Omnibus Solicitation of the National Institute of Health for Small Business Technology Transfer (STTR) Grant Applications.

Regional or Local Office:

None. Program Contact: William Heetderks, MD, PhD, National Institute of Biomedical Imaging and Bioengineering, National Institutes of Health, 6707 Democracy Blvd., Bethesda, MD 20892. Telephone Number: (301) 496-9388. Facsimile: (301) 480-4973. Business Contact: Joe Mossiman, National Institute of Biomedical Imaging and Bioengineering, National Institutes of Health, 6707 Democracy Blvd., Bethesda, MD 20892. Telephone Number: (301) 451-4247. Facsimile: (301) 480-4530. Use the same numbers for FTS.

Headquarters Office:

Anthony Demsey Office of Research Administration, National Institute of Biomedical Imaging and Bioengineering, 6707 Democracy Blvd. Suite 200, MSC-5477, Bethesda, Maryland 20892-5477 Email: demsey@od.nih.gov Phone: (301) 402-7039 Fax: (301) 480-4973.

Website Address:

<http://www.nibib.nih.gov> .

RELATED PROGRAMS:

93.113 Environmental Health; 93.121 Oral Diseases and Disorders Research; 93.172 Human Genome Research; 93.173 Research Related to Deafness and Communication Disorders; 93.242 Mental Health Research Grants; 93.273 Alcohol Research Programs; 93.279 Drug Abuse and Addiction Research Programs; 93.361 Nursing Research; 93.394 Cancer Detection and Diagnosis Research; 93.395 Cancer Treatment Research; 93.396 Cancer Biology Research; 93.837 Cardiovascular Diseases Research; 93.838 Lung Diseases Research; 93.839 Blood Diseases and Resources Research; 93.846 Arthritis, Musculoskeletal and Skin Diseases Research; 93.847 Diabetes, Digestive, and Kidney Diseases Extramural Research; 93.853 Extramural Research Programs in the Neurosciences and Neurological Disorders; 93.855 Allergy, Immunology and Transplantation Research; 93.856 Microbiology and Infectious Diseases Research; 93.859 Biomedical Research and Research Training; 93.865 Child

Health and Human Development Extramural Research; 93.866 Aging Research; 93.867 Vision Research; 93.879 Medical Library Assistance

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The major elements in evaluating proposals include assessments of: (1) Significance: Does this study address an important problem? If the aims of the application are achieved, how will scientific knowledge be advanced? What will be the effect of these studies on the concepts or methods that drive this field? (2) Approach: Are the conceptual framework, design, methods, and analyses adequately developed, well-integrated, and appropriate to the aims of the project? Does the applicant acknowledge potential problem areas and consider alternative tactics? (3) Innovation: Does the project employ novel concepts, approaches or method? Are the aims original and innovative? Does the project challenge existing paradigms or develop new methodologies or technologies? (4) Investigator: Is the investigator appropriately trained and well suited to carry out this work? Is the work proposed appropriate to the experience level of the principal investigator and other researchers (if any)? (5) Environment: Does the scientific environment in which the work will be done contribute to the probability of success? Do the proposed experiments take advantage of unique features of the scientific environment or employ useful collaborative arrangements? Is there evidence of institutional support? (6) the appropriateness of the proposed budget and duration in relation to the proposed research; (7) the relevance and importance to announced program objectives; (8) the adequacy of plans to include both genders, minorities and their subgroups, and children as appropriate for the scientific goals of the research. Plans for the recruitment and retention of subjects will also be evaluated; (9) the adequacy of the proposed protection for humans or the environment, to the extent they may be adversely affected by the project proposed in the application; and (10) the adequacy of the proposed plan to share data, if appropriate. The following criteria will be used in considering the scientific and technical merit of SBIR/STTR Phase I grant applications: (1) The soundness and technical merit of the proposed approach; (2) the qualifications of the proposed principal investigator, supporting staff, and consultants; (3) the technological innovation of the proposed research; (4) the potential of the proposed research for commercial application; (5) the appropriateness of the budget requested; (6) the adequacy and suitability of the facilities and research environment; and (7) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment. Phase II grant applications will be reviewed based upon the following criteria: (1) The degree to which the Phase I objectives were met and feasibility demonstrated; (2) the scientific and technical merit of the proposed approach for achieving the Phase II objectives; (3) the qualifications of the proposed principal investigator, supporting staff, and consultants; (4) the technological innovation, originality, or societal importance of the proposed research; (5) the potential of the proposed research for commercial application; (6) the reasonableness of the budget requested for the work proposed; (7) the adequacy and suitability of the facilities and research environment; and (8) where applicable, the adequacy of assurances detailing the proposed means for (a)safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment.

93.288 NATIONAL HEALTH SERVICE CORPS SCHOLARSHIP PROGRAM

NHSC Scholarship Program

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Title III, Section 338A of the Public Health Service Act (42 USC 254I), as amended Sections 338C-H of the Public Health Service Act (42 USC 254m-q), as amended Section 10503 of the Affordable Care Act (P.L. 111-148).

OBJECTIVES:

The National Health Service Corp (NHSC) Scholarship Programs objective is to increase the supply of primary care physicians, dentists, certified nurse

midwives, primary care nurse practitioners, and physician assistants and, if needed by the National Health Service Corps, other health professionals in Health Professional Shortage Areas (HPSAs) within the United States by providing service-obligated scholarships to health professions students.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

Service-obligated scholarships for full-time students of allopathic (M.D.) and osteopathic (D.O.) medicine, dentistry, primary care nurse practitioner, nurse midwifery, and primary care physician assistants. Other health disciplines may be included if needed by the National Health Service Corps. Scholarships pay tuition and required fees to the school, a monthly stipend to students for living expenses and a single annual payment to the student to assist with other reasonable educational expenses. Reasonable expenses may include books, supplies, equipment, uniforms, travel for the provision of clinical services, etc. The program requires 1 year of service for each year of scholarship support, with a minimum 2-year service commitment post graduation. A maximum of 4 years of support may be awarded. Service sites are selected by the scholarship recipient from the list of high priority sites in HPSAs identified by the NHSC.

Refer to the NHSC Scholarship Program Guidance for specific requirements. (<http://nhsc.hrsa.gov/downloads/spapplicationguide.pdf>).

Applicant Eligibility:

At the time of award, the applicant must be a U.S. citizen or national. The applicant must be enrolled or accepted for enrollment in an accredited school in a State, the District of Columbia, or a U.S. Territory as a full-time student in a course of study leading to a health professional degree. The applicant must be eligible for Federal employment, be free of any Federal judgment liens, not be excluded, debarred, suspended or disqualified by a Federal agency, and have no conflicting service obligation. The applicant must submit an application and a signed contract to the National Health Service Corps agreeing to accept payment of scholarship and provide full-time primary health services in a Health Professional Shortage Area.

Beneficiary Eligibility:

U.S. citizens or nationals enrolled or accepted for enrollment in a State in a course of study leading to a health professional degree.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Applicants may apply online at <https://programportal.hrsa.gov>

Award Procedure:

NHSC SP awards are very competitive; the Program anticipates more applicants for scholarship awards than there are funds available. The applications of individuals who meet the eligibility criteria are scored numerically. All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions. Notification in writing is made to those in the final selection by a Notice of Award email or letter.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Notification to successful and rejected applicants is made no later than 6

months after deadline from receipt of application.

Appeals:

Not Applicable.

Renewals:

Renewal applications are not necessary for students in compliance; contracts may be multi-year funded through graduation (1 to 4 years, if the applicant signs the contract through the graduation date).

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Each award covers up to 1 to 4 years of support, as requested. No awardees may receive more than 4 years of support. See the following for information on how assistance is awarded/released: Assistance is awarded/released through Direct Deposit in recipients accounts through PSC.

Reports:

Annual reports by schools indicating average student educational expenses. The academic institution where the student is enrolled must complete and submit a Verification of Enrollment Form each semester.

The employing facility must complete and send a semi-annual In-Service Verification form verifying that the participating health professional is providing service. The certification includes a signature by an appropriate official of the facility. No cash reports are required. No progress reports are required. No expenditure reports are required. No performance monitoring is required.

Audits:

Not Applicable.

Records:

Not applicable.

Account Identification:

75-0350-0-1-550.

Obligations:

(Direct Payments for Specified Use) FY 12 Not Available(Exp: No program offered); FY 13 Estimate Not Available(Exp: No program offered); and FY 14 Estimate Not Available(Exp: No program offered)

Range and Average of Financial Assistance:

Not Applicable.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: No Current Data Available. Fiscal Year 2013: No Current Data Available. Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Please see application process at <http://nhsc.hrsa.gov/>

Regional or Local Office:

None. National Health Service Corps Scholarship Program, Division of National Health Service Corps, Bureau of Clinician Recruitment and Service (BCRS), Health Resources and Services Administration, Department of Health and Human Services, Room 8-37, 5600 Fishers Lane., Rockville, MD 20857. Telephone: (301) 594-4400. For 24-hour toll-free calls from outside MD: (1-800) 221-9393, use for requesting applications.

Headquarters Office:

Bureau of Clinician Recruitment and Service (BCRS), Health Resources and Services Administration, Department of Health and Human Services 5600 Fishers Lane, Room 8-37, Rockville, Maryland 20857 Phone: (301) 594-4400

Website Address:

<http://nhsc.hrsa.gov/>

RELATED PROGRAMS:

93.162 National Health Service Corps Loan Repayment Program; 93.303 Nursing Scholarship Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: Not currently available. Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

By statute, first priority will be given to any applicant for such assistance, who has previously received a scholarship under this section or under section 758 of the PHS Act. Second priority will be given to students who are from a "disadvantaged background", and third priority will be given to all students who have characteristics which increase the probability they will continue professional practice in a Health Professional Shortage Area when they complete their service obligation.

93.289 PRESIDENT'S COUNCIL ON FITNESS, SPORTS, AND NUTRITION

(PCFSN)

FEDERAL AGENCY:

President's Council on Fitness, Sports, and Nutrition, Department of Health and Human Services

AUTHORIZATION:

Executive Order Executive Order 13265, dated June 6, 2002, as amended by Executive Order 13545, dated June 22, 2010.

OBJECTIVES:

There are no funds associated with this announcement. The PCFSN does not have grant-making authority. The objectives of the PCFSN are to expand interest in and awareness of the importance of regular physical activity, fitness, sports participation and good nutrition for Americans of all ages by encouraging the development, improvement, or enhanced coordination of programs that promote healthy lifestyles. These objectives are accomplished by providing consultation and technical assistance, creating partnerships with public, private and non-profit organizations, releasing publications and maintaining a web presence (via web site and social media), and by accessing or capitalizing on a variety of outreach opportunities. The PCFSN does not have authority to make Federal funds available to provide assistance.

TYPES OF ASSISTANCE:

ADVISORY SERVICES AND COUNSELING

USES AND USE RESTRICTIONS:

Providing public information on physical activity, fitness, sports, and nutrition programs.

Applicant Eligibility:

General public.

Beneficiary Eligibility:

General public. The PCFSN only provides public information on physical activity, fitness, sports, and nutrition programs. The PCFSN has no grant-making authority.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. The PCFSN has no funds available to offer any type of financial assistance. However, requests for technical assistance and/or advisory services can be submitted to the Council in writing or orally. This program is excluded from coverage under E.O. 12372.

Award Procedure:

Not applicable.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not applicable.

Appeals:

Not applicable.

Renewals:

Not applicable.

Formula and Matching Requirements:

This program has no statutory formula.

Matching requirements are not applicable to this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Not applicable. See the following for information on how assistance is awarded/released: Not applicable. The PCFSN has no grant making authority.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

None.

Account Identification:

75-0120-0-1-551.

Obligations:

(Salaries) FY 12 \$1,248,000; FY 13 est \$1,215,000; and FY 14 est \$1,225,000 - Funds are appropriated to the PCFSN to support program operations, including activities of the Council. The PCFSN has no authority to provide financial assistance. The PCFSN has no grant-making authority.

Range and Average of Financial Assistance:

Not applicable. The PCFSN has no grant-making authority.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: During FY 2012, the PCFSN supported First Lady Michelle Obama's "Let's Move" and Joining Forces initiatives in a variety of capacities with the goal of improving the health of Americans. In addition to supporting these initiatives, the PCFSN launched an enhanced version of the Presidential Active Lifestyle Award (PALA) called PALA+ (Activity + Nutrition). PALA+ is a recognition program that encourages regular physical activity and healthy eating for all Americans. More than 250 partners from the non-profit and private sectors pledged to support PALA+ through their programs and communications in FY 2012.

The Council worked with the Centers for Disease Control and Prevention (CDC) and leaders in the youth fitness industry to evaluate and inform improvements to the Physical Fitness Test with the goal of creating one national youth fitness assessment program for America's schools. The Council launched a Physical Activity Outreach initiative that promotes the positive physical and cognitive benefits of regular activity among youth, including improved concentration, and time-on-tasks skills. The new Presidential Youth Fitness Program was launched in September 2012. Fiscal Year 2013: In FY 2013, PCFSN convened a subcommittee which included representatives from the U.S. Department of Health and Human Services (HHS) Office of Disease Prevention and Health Promotion (ODPHP), CDC, and the National Institutes of Health (NIH) to review the evidence on strategies to increase physical activity among youth. The subcommittee, chaired by PCFSN member Dr. Risa Lavizzo-Mourey, released its findings through HHS in the Physical Activity Guidelines for Americans Midcourse Report in March 2013 (www.health.gov/paguidelines). The report serves as a resource for communities to support and create effective interventions for youth to be active at least 60 minutes a day where they live, learn and play.

In FY 2013, the Council also addressed health disparities through its work on the I Can Do It, You Can Do It! (ICDI) program. ICDI previously promoted enhanced physical activity opportunities through a mentoring model to youth with disabilities. However, in FY 2013, the program was expanded to include adults with the goal of launching at least 100 new sites nationwide by 2018. Fiscal Year 2014: In FY 2014, the PCFSN will continue its efforts to facilitate

partnerships that encourage the use or enhancement of its programs and existing resources. Information on these initiatives is posted on the PCFSN website (www.fitness.gov) and on the website of the PCFSN President's Challenge Physical Activity, Fitness, and Nutrition Awards Program (www.presidentschallenge.org).

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices. Shannon Feaster, President's Council on Fitness, Sports, and Nutrition; Department of Health and Human Services: 1101 Wootton Parkway; Tower Building, Suite 560; Rockville, MD 20852; Telephone: (240) 276-9857; Fax: (240) 276-9860. Email address: shannon.feaster@hhs.gov.

Headquarters Office:

Shannon Feaster Suite 560 Tower Building
1101 Wootton Parkway, Rockville, Maryland 20852 Email:
shannon.feaster@hhs.gov Phone: (240) 276-9857 Fax: (240) 276-9860

Website Address:

<http://www.fitness.gov> .

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.290 NATIONAL COMMUNITY CENTERS OF EXCELLENCE IN WOMEN'S HEALTH

Coalition for a Healthier Community (CHC)

FEDERAL AGENCY:

Office of the Secretary, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, as amended, Title XVII, Section 1707 (e)(1), 42 U.S.C 300u et seq. , 42 U.S.C 300u et seq.

OBJECTIVES:

Phase 1

1. Provide 1 year of financial and technical support to an existing wellness coalition to develop a strategic action plan and implementation plan to reduce gender based health disparities in targeted communities.
2. Enhance collaborative working partnerships among health organizations, community based and faith based organizations, public and private academic institutions, hospitals, medical groups and practices.
3. Enhance consumer and provider awareness of gender-based health issues in the community;
4. Increase the capacity and strengthen infrastructure among local public health organizations to increase the coordination of services to better serve women and girls

Phase II

5. Provide up to 5 years of financial and technical support for implementation of the strategic action health plan.
6. To develop and implement a health intervention plan which will be sustained after OWH funding ends.
7. To use a public health systems approach to improve on two or more identified health issues affecting women and/or girls.
8. Improve surveillance/information systems that allow tracking of program progress on gender based morbidity/ mortality objectives at the grantee level.
9. Reduce the incidence and prevalence of adverse health outcomes among women girls in local communities.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funds may be used to implement evidence-based strategies to program objectives. Funds may not be used for construction, building alterations,

equipment, printing, food, and medical treatment.

Phase II: to implement the approved strategic plan and evaluate the effectiveness of the program.

Applicant Eligibility:

Eligible applicants include public and private non profit organizations (public and private academic institutions and hospitals); community-based and faith-based organizations, medical groups/practices and State, city, county, and local health departments, tribes and tribal organizations with expertise/experience in the proposed objectives. The applicant must be part of an existing coalition. At least a third of the partners should have demonstrated experience addressing gender differences through appropriate interventions, programs, or research related to the selected objectives.

Phase II: Eligible applicants are limited to Phase I grantees.

Beneficiary Eligibility:

Women and girls across the life span.

Credentials/Documentation:

No Credentials or documentation are required. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. All available grant opportunities are posted on www.grants.gov.

Award Procedure:

Applications will be screened upon receipt. Those that are judged to be ineligible will be returned to the applicant. Accepted applications will be reviewed for technical merit in accordance with PHS policies. Applications will be evaluated by a technical review panel composed of independent experts. Funding decisions will be made by the OWH and will take into consideration the recommendations of the review panel; program needs; stated preferences; and geographic location. Applicants approved and funded will be issued a Notice of Grant Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. Approximately 90 days from the application deadline date.

Appeals:

Not Applicable.

Renewals:

> 180 Days.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

For Phase I, project period is not to exceed 1 year. For Phase II, project period is not to exceed 5 years. Method of awarding/releasing assistance: by letter of credit.

Reports:

Progress reports are due quarterly and the end-of-year report is due 30 days after the close of the project period. Cash reports are required for each cash withdrawal or transfer. For Phase I and Phase II, the applicant will submit quarterly progress reports on December 10, 2010, March 10, and June 10, 2011. The purpose of the progress reports is to provide accurate and timely program information to program managers and to respond to Congressional,

Departmental, and public requests for information about the program. Federal Financial Reports SF425 are due quarterly and at the end of each budget period. The strategic action plan will be due on May 13, 2011 and the implementation plan will be due on July 16, 2011. The July 16, 2011 report will serve as the competing renewal application. An original and two copies of the competing renewal application must be submitted no later than July 16, 2011.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to a grant shall be retained for a minimum of 3 years, or longer pending completion and resolution of any audit findings.

Account Identification:

75-0120-0-1-551.

Obligations:

(Cooperative Agreements) FY 12 \$2,999,998; FY 13 est \$3,000,000; and FY 14 Estimate Not Available

Range and Average of Financial Assistance:

For Phase I, the OWH anticipates making a 1 year award of a \$100,000 (direct and indirect) starting September 1, 2010, and ending August 31, 2011. For Phase II, the OWH anticipates making competitive continuation awards available for up to 5 years for implementation ranging from 300,000-400,000 total cost (indirect and direct). For Phase II, the number of awards made is contingent upon satisfactory progress of Phase I the quality and type of applications received, the amount of funds requested by the applicants and the availability of Federal funds.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None. Ms. Stephanie Alexander
DHHS, OWH, DPM
Hubert Humphrey Building, 733E
200 Independence Avenue, SW
Washington, DC 20201
Phone : (202) 401-9546
Fax: (202) 401-4005
Email: stephanie.alexander@hhs.gov.

Headquarters Office:

Brenda C. Donaldson Tower Building Suite 550, 1101 Wootton Parkway,
Rockville, Maryland 20852 Email: Brenda.Donaldson@hhs.gov Phone: (240) 453-8822.

Website Address:

<http://www.womenhealth.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

To be considered for review, applications must be received by the Office of The Assistant Secretary for Health, Office of Grants Management, c/o LCG by 5:00 p.m. Eastern Time on the due date published in the Dates section of the FR. Applications will be screened upon receipt. Those that are judged to be in

compliance will be reviewed for technical merit by a technical panel composed of experts with experience with sex and gender programs, program management, program delivery, outreach, health education and program assessment in accordance with DHHS policy. The technical review of the applications will consider the following factors for Phase I:

Factor 1: Background and Summary (10 points)

Factor 2: Coalition and Capacity Plan (20 points)

Factor 3: Management Plan (25 points)

Factor 4: Target Population (15 points)

Factor 5: Evaluation Plan (20 points)

Factor 6: Sustainability Plan (10 points)

The technical review of the applications will consider the following factors for Phase II:

Factor 1: Background and Need (20 points)

Factor 2: Implementation Plan (25 points)

Factor 3: Management Plan (20 points)

Factor 4: Evaluation Plan (20 points)

Factor 5: Sustainability Plan (15 points)

Details regarding the elements to be addressed within each Factor are available in the FR notice.

93.291 SURPLUS PROPERTY UTILIZATION

(Federal Property Assistance Program)

FEDERAL AGENCY:

Program Support Center, Department of Health and Human Services

AUTHORIZATION:

Federal Property and Administrative Services Act of 1949, Section 203(k), Public Law 81-152, 40 U.S.C. 484., Section 203(k), Public Law 81-152, 101 Stat. , 40 U.S.C 484; Stewart B. McKinney Homeless Assistance Act of 1987, Section 501, Public Law 100-77, 101 Stat. 509, 42 U.S.C 11301.

OBJECTIVES:

To convey or lease all surplus Federal real properties made available by the disposal agency which are needed and usable by eligible organizations and institutions to carry out health programs, including homeless assistance programs.

TYPES OF ASSISTANCE:

Sale, Exchange, or Donation of Property and Goods

USES AND USE RESTRICTIONS:

Real property must be used for eligible health purposes including research. It may consist of land with or without buildings and other improvements or buildings only. A discount of up to 100 percent based on the proposed program use is granted. This discount applied against the fair value of the property, is earned by approved use over a prescribed period of 30 years for land with or without improvements, and a lesser time for leased facilities and improvements which are sold without land. Allowance of less than 100 percent requires payment of the difference in cash at the time of conveyance. Property must be used for the purpose for which conveyed, and may not be sold, leased, mortgaged, or encumbered without consent of the Department. Property must be used for the approved purpose for a specific period of time (i.e., 30 years); property can not be sold, encumbered, mortgaged, etc. without prior approval of office; grantee required to submit annual utilization reports; grantee must not discriminate in its use of the property; etc.

Applicant Eligibility:

States, their political subdivisions and instrumentalities; tax-supported public health institutions, and nonprofit institutions which (except for institutions which lease property to assist the homeless under Title V of Public Law 100-77) have been held exempt from taxation under Section 501 (c) (3) of the 1986 Internal Revenue Code.

Beneficiary Eligibility:

Anyone attending, working with or for, or served by the eligible applicants. Examples of potentially eligible use programs are hospitals, public health clinics, water and sewer systems, institutions for the rehabilitation of mentally or physically handicapped, health research institutions, homeless assistance facilities, and other institutions with basic health programs.

Credentials/Documentation:

Applicants must demonstrate current need for properties they request and the ability to carry out the proposed program. Applicants must also provide documentation of its authority to hold title to real property and evidence of tax-exempt status. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Notice of availability of surplus real property is sent by the Office of Enterprise Support Programs, Program Support Center (PSC), Department of Health and Human Services (DHHS), to all known potentially interested institutions. When applying for real property, an informal preapplication conference either in person or by telephone is recommended. At that time, consultation and assistance are available to aid in the preparation of an application.

Additionally, it is advisable that an applicant participate in a site tour of the property provided by the disposal agency. Applicants are required to submit factors for consideration of potential environmental impact, in accordance with the Environmental Questionnaire furnished with the application instructions. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Applicants interested in acquiring Federal real properties must contact the Office of Enterprise Support Programs, PSC, DHHS.

Award Procedure:

Real property is awarded to the applicant whose program(s) of use are determined to be in the highest public interest. Land (with or without improvements) is conveyed by quitclaim deed or lease; buildings for off-site removal are by agreement of sale.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Normally, approval or disapproval is made within 30 days after a completed application has been submitted for real property. For properties to assist homeless individuals under Title V of the McKinney Act, approval or disapproval is made 25 days after a complete application is received.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Deed restrictions run for a period of 30 years and Agreements of Sale are normally for 5 years, after which the transferee has clear title to the property. Restrictions on leased property run for the period of the lease which will not extend beyond a total of a 20 year period. See the following for information on how assistance is awarded/released: Via deed or lease.

Reports:

Transferee is required to submit an annual utilization report on the operation and maintenance of the property. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. The Department's Audit agency

makes periodic audits of the headquarters operation and may make spot checks of the utilization by real property transferees.

Records:

Transferees of real property, who expend funds to rebuild, refurbish, or to otherwise improve property under restrictions, should maintain records of these costs in the event they wish to dispose of the property prior to termination of the restriction period.

Account Identification:

75-4552-0-1-551.

Obligations:

(Sale, Exchange, or Donation of Property and Goods) FY 12 \$521,075; FY 13 est \$726,922; and FY 14 est \$672,000

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Title 45, Subtitle A, Part 12, Disposal and Utilization of Surplus Real Property for Public Health Purposes. Literature: The following pamphlet is available from the Office of Enterprise Support Programs: "How to Acquire Federal Surplus Real Property for Public Health Purposes," at no charge.

Regional or Local Office:

None.

Headquarters Office:

Theresa M. Ritta 5600 Fishers Lane, Parklawn Building
Suite 12-07, Rockville, Maryland 20857 Email: theresa.ritta@psc.hhs.gov
Phone: (301) 443-2265

Website Address:

https://www.psc.gov/property_management/federalprop-index

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

All applications must establish eligibility of the institution, its programs, and the proposed use to meet the program needs. A public benefit allowance formula, uniformly applied, determines the respective benefits of each program. Basic allowance of 50 percent is allowed to institutions meeting the following: (1) Proof of current need; (2) ability to operate and maintain; (3) suitability of facilities or adaptability for conversion; (4) requirement for utilization through period of restrictions; and (5) nondiscrimination because of race, color, sex, age, handicap, or national origin. Additional allowances are made for tax support, accreditation, hardship, integrated research, outpatient services, public services, and training programs. For competing programs, the one showing the greatest public benefit is selected. Where property can be divided, as many compatible programs as possible are accommodated.

93.292 NATIONAL PUBLIC HEALTH IMPROVEMENT INITIATIVE

National Public Health Improvement Initiative (NPHII) - Capacity Building Assistance to Strengthen Public Health Infrastructure and Performance
CDC-RFA-CD10-1011

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Section 301(a) of the Public Health Service Act [42 U.S.C. Sections 241], as amended.

OBJECTIVES:

The purpose of the program is to provide support for accelerating public health accreditation readiness activities; to provide additional support for performance management and improvement practices; and, for the development,

identification and dissemination of evidence-based policies and practices (i.e., best and promising practices.).

This program supports the Healthy People 2020 focus area of addressing Public Health Infrastructure (<http://www.healthypeople.gov/hp2020/>).

Cross-jurisdictional (state, local, tribal, territorial, regional, community, and border) collaborations are encouraged to increase the impact of limited resources, improve efficiency, and to leverage other related health reform efforts/projects.

Measurable outcomes of the program align with the following performance goals:

- 1) Increased efficiencies (saving time/money) of program services and/or operations,
- 2) Increased effectiveness (use of evidence-based policies and practices) Improved health outcome is a example of increased effectiveness., and,
- 3) Increased readiness for applying to and achieving accreditation by the Public Health Accreditation Board PHAB. (More information on accreditation activities can be found on the PHAB web site at <http://www.phaboard.org/>).

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

Grantees may use funds provided for allowable direct and indirect costs including personnel, supplies, etc. that advance the following activities.

1. Implementation of relevant and essential activities to accelerate the agencies accreditation readiness. This includes- but is not limited to- applying for and achieving national accreditation which is entirely voluntary.

2. In addition, grantees will complete one or more of the following activities that advance their ability to meet or conform with the national Public Health Accreditation Board (PHAB) standards**. Recipients may not use funds for research.

* Recipients may not use funds for clinical care.

* Recipients may only expend funds for reasonable program purposes, including personnel, travel, supplies, and services, such as contractual.

* Awardees may not generally use HHS/CDC/ATSDR funding for the purchase of furniture or equipment. Any such proposed spending must be identified in the budget.

* The direct and primary recipient in a cooperative agreement program must perform a substantial role in carrying out project objectives and not merely serve as a conduit for an award to another party or provider who is ineligible.

* Reimbursement of pre-award costs is not allowed.

* It is imperative for applicants to identify the relevant funding opportunity that most closely aligns to the nature of the proposed work in order for the application to be competitive and for post award activities to remain aligned to the original proposal. Applicants are reminded that CDC is prohibited from supporting the same project or activity from more than one funding opportunity announcement (FOA).

Applicant Eligibility:

This award will be a continuation of funds intended only for grantees previously awarded under CDC-RFA-CD10-101101PPHF12.

Beneficiary Eligibility:

State health departments, large local health departments supporting cities with populations of 1 million or more inhabitants, the District of Columbia, U.S. Territories, tribal health organizations and the general public.

Credentials/Documentation:

OMB Circular No. A-87 applies to this program. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Information on the submission of applications may be obtained from the Grants Management Officer, Grants Management Branch, Procurement and Grants Office, Centers for Disease Control and Prevention. Telephone: (770) 488-2700.

Award Procedure:

A review will be conducted to evaluate complete and responsive applications according to the evaluation criteria listed in funding opportunity announcement. Successful applicants will receive a Notice of Award (NOA) from the CDC Procurement and Grants Office. The NOA shall be the only binding, authorizing document between the recipient and CDC. The NOA will be signed by an authorized Grants Management Officer.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

This is applicable for renewal upon successful reporting.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grant period is 5 years from September 30, 2010-Sept 29, 2015. Grant funds are to be obligated in the budget year specified i.e., September 30, 2013-Sept 29, 2014 for this continuation award. Method of awarding/releasing assistance: lump sum.

Reports:

Interim progress reports, project plans and annual reports are required by program. In addition reporting for annual and baseline program evaluation and reporting is required. Finally financial reporting to comply with FY13 appropriation act is required. FFR (Federal Financial Reports) are required annually. Interim and annual progress reports are required. No expenditure reports are required. This is accomplished via completion of mid and annual reporting described above.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and General Accounting Office (GAO). The grantee is to also ensure that the sub-recipients receiving CDC funds also meet these requirements (if total Federal grant or grants funds received exceeded \$500,000). the grantee should include this requirement in all sub-recipient contracts.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the grant program shall be retained for a minimum of three years, or until completion and resolution of any audit in process or pending resolution. In all cases records must be retained until resolution of any audit questions. Property records must be retained in accordance with 45 CFR 92.42.

Account Identification:

75-1309-5-9-550.

Obligations:

(Formula Grants (Cooperative Agreements)) FY 12 \$33,500,000; FY 13 est \$33,500,000; and FY 14 Estimate Not Available

Range and Average of Financial Assistance:

Component I: This amount is based on population and will continue for each year of the cooperative agreement:

Below 1.5 million = \$100,000
1.5 million - 5 million = \$200,000
5 million - 8 million = \$300,000
Above 8 million = \$400,000

Component II: \$1 million - \$2.95 million.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: No data available. Fiscal Year 2013: No data available. Fiscal Year 2014: No data available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations governing this program are published under 42 CFR 55b. Guidelines are available. 45 CFR 92, and also HHS Grants Policy Statement at www.ahrq.gov/fund/hhspolicy

Regional or Local Office:

See Regional Agency Offices. Roberta Erlwein
rx5@cdc.gov.

Headquarters Office:

Andrea W. Wooddall 4770 Buford Hwy, MS E70
, Atlanta, Georgia 30341 Email: ayw3@cdc.gov Phone: 4044986792

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.293 SUPPORTING PERMANENT PLACEMENTS OF FOSTER CARE CHILDREN THROUGH ELECTRONIC RECORDS EXCHANGE

Foster Care Records Pilot

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Consolidated Appropriations Act, 2010, Public Law 111-117, 123 Stat. 3171.

OBJECTIVES:

The Partnership Fund for Program Integrity Innovation at the Office of Management and Budget funds pilot projects to improve the administration of federal assistance programs by: reducing improper payments; improving administrative efficiency; improving service delivery; and protecting and improving program access for eligible beneficiaries.

This pilot implements real-time, on-line data exchange for states to share records and other information to support permanent placements of foster care children in homes across state lines. The Interstate Compact on the Placement of Children (ICPC) ensures safe and suitable interstate placements for children in foster care. In many cases, permanent placement with relative or adoptive families in other states is in the best interests of the child. But to make these interstate placements - - after doing the required due diligence to ensure that the placement is appropriate for the child states currently share and exchange case files, information and evaluations, and to collect, track, synthesize and report comprehensive data through paper-based processes. The pilot will test whether an automated system reduces the time to process such cross-state exchanges to determine whether a placement is safe and suitable. The pilot evaluation will measure timeliness of communication, expeditious exchange of case documentation and similar immediate outcomes as well as the utilization and adherence to streamlined ICPC processes.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

According to Public Law 111-117, assistance can be used for pilot projects that address Federal programs that have a substantial State role in eligibility determination or administration or where Federal-State cooperation could otherwise be beneficial; in aggregate, save at least as much money as they cost; demonstrate the potential to streamline administration or strengthen program integrity; and do not achieve savings primarily by reducing the participation of eligible beneficiaries..

Applicant Eligibility:

Agencies or organizations that have the capacity to facilitate the administration of the Interstate Compact on the Placement of Children.

Beneficiary Eligibility:

Immediate beneficiaries are states and U.S. Territories in increasing efficiencies in interstate placement of children in foster care. Ultimate beneficiaries are foster care children who will achieve permanency.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program.

Award Procedure:

Grant applications will undergo a panel review. Approved grant applications will receive official notice through a grant award detailing the amount of funds awarded, the purpose of the award, the terms and conditions of the award, and the budget period for which support is given.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The project and budget period is 17 months. Method of awarding/releasing assistance: lump sum.

Reports:

Grantees under this program will be required to submit Program Performance Reports (PPR) and Financial Progress Reports (FPR) periodically throughout the project period. Monitoring is conducted by the Federal Project Officer (FPO). No cash reports are required. Progress reports are required semi-annually. Federal Financial Reports (FFR) are due every 6 months. The Federal Project Officer (FPO) will monitor program progress.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. All fiscal transactions identifiable to Federal financial assistance are subject to audit by the HHS audit agency, and

other Federal officials.

Records:

Proper accounting records, identifiable by grant and including all receipts and expenditures, must be maintained for 3 years in accordance with 45 CFR 92. Subsequent to an audit they must be maintained until all questions are resolved, or at the end of the regular 3-year period, whichever is later.

Account Identification:

75-0035-0-1-506.

Obligations:

(Project Grants) FY 12 Not Available; FY 13 est \$1,250,000; and FY 14 Estimate Not Available - Cooperative Agreement.

Range and Average of Financial Assistance:

1 grant award in the amount of \$1,250,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Not Applicable. Fiscal Year 2013: It is estimated that 1 grant will be awarded. Fiscal Year 2014: 0 grants will be awarded.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

June Dorn 1250 Maryland Avenue, SW, Suite 8000, Washington, District of Columbia 20024 Email: June.Dorn@acf.hhs.gov Phone: 202-205-9540

Website Address:

<http://www.acf.hhs.gov/programs/CB>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

1. Objectives and need for assistance; 2. Approach; 3. Evaluation; 4. Organizational Capacity; 5. Budget.

93.296 STATE PARTNERSHIP GRANT PROGRAM TO IMPROVE MINORITY HEALTH

State Partnership Program

FEDERAL AGENCY:

Office of the Secretary, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, as amended, Title XVII, Section 1707 (e)(1), 42 U.S.C. 300u et seq., Title 17, Section 1707, 42 U.S.C 300u et seq.

OBJECTIVES:

To facilitate the improvement of minority health and eliminate health disparities (adult/child immunization, asthma, cancer, diabetes, heart disease and stroke, HIV, infant mortality, and mental health) through the development of partnerships with state and territorial offices of minority health.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds are to be used to support the development and implementation of minority-targeted strategies which will facilitate improvement in minority health and address health disparities and gaps. Funds are not to be used for the provision of health care treatment, for construction, or to supplant ongoing project activities.

Applicant Eligibility:

State/Territorial Offices of Minority Health (established through legislation, executive order, or a directive process) are eligible to apply.

Beneficiary Eligibility:

Members of minority groups: Alaskan Natives; American Indians; Asians;

Blacks/African Americans; Hispanics/Latinos; Native Hawaiians and other Pacific Islanders; or subgroups of these.

Credentials/Documentation:

Documentation to verify official status as a State or Territorial Office of Minority Health (e.g., copy of Executive Order, statute, or administrative directive) and a signed statement from an authorizing official (e.g., Commissioner of Health or designee in the state/territorial Department of Health). OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Application kits for this Program may be obtained by accessing Grants.gov at <http://www.grants.gov>. Applications are screened upon receipt for completeness, responsiveness and conformance to the program announcement. Those applications judged to be unacceptable based on this initial screening will be returned. Applications may only be submitted electronically via the electronic submission mechanisms specified in the program announcement. Any applications submitted via any other means of electronic communication, including facsimile or electronic mail, will not be accepted. Information about Grants.gov system is available on the Grants.gov Web Site.

Award Procedure:

Accepted applications will be reviewed by a review panel that will make recommendations on the technical merit based on the published criteria. After considering the recommendations of the panel, the Deputy Assistant Secretary for Minority Health will make a final decision on funding projects and a notice of grant award will be issued.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. Approximately 90 to 120 days from the application deadline date.

Appeals:

Not Applicable.

Renewals:

From 90 to 120 days. Approximately 90 to 120 days from the application deadline date.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants can have a project period not to exceed 5 years, with 12 month budget periods. Following the initial budget period, continued funding is subject to the availability of funds and satisfactory program performance. Payments will be made either on a monthly cash request basis or under a letter of credit. Necessary instructions regarding payment procedure will be provided at the time the Notice of Grant Award is issued. Method of awarding/releasing assistance: by letter of credit.

Reports:

Progress reports are required semi-annually. Cash reports are required for each cash withdrawal or transfer. Progress reports are required quarterly. Federal Financial Reports SF425 are due quarterly and a final Federal Financial Report is due 90 days following the end of the project period. Grantees are required to use the PDS evaluation system. In addition to the required semi-annual progress reports, a final performance report is due 90 days following the end of the

project period.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. For State and local governments, audits are to be carried out in accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," or Federal laws and regulations of the programs in which they participate.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to a grant shall be retained for a minimum of 3 years, or longer pending completion and resolution of any audit findings.

Account Identification:

75-0120-0-1-551.

Obligations:

(Project Grants) FY 12 \$5,374,989; FY 13 est \$5,600,000; and FY 14 Estimate Not Available

Range and Average of Financial Assistance:

\$120,000 to \$175,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Forty (40) grants were issued. Grantees continued working through the partnerships with state and territorial offices of minority health to facilitate the improvement of minority health and eliminate health disparities. Fiscal Year 2013: Grantees will continue to work with state and territorial partnerships to facilitate the improvement of minority health and eliminate health disparities. Fiscal Year 2014: Same.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 74 and 92. Specific program requirements are contained in the Federal Register Notice, the application instructions, and the HHS Grants Policy Statement.

Regional or Local Office:

None. Headquarters Office: Office of Minority Health, Assistant Secretary for Health (OASH), Office of the Secretary, Tower Building, Suite 600, 1101 Wootton Parkway, Rockville, Maryland 20852. Telephone: (240) 453-2882.

Program Contact: Ms. Sonsiere Cobb-Souza, Acting Director, Division of Program Operations, Office of Minority Health, Office of Public Health and Science, Office of the Secretary, Tower Building, Suite 600, 1101 Wootton Parkway, Rockville, Maryland 20852. Telephone: (240) 453-8444.

Grants Management Contact: Office of Grants Management, Office of Public Health and Science, Office of the Secretary, Tower Building, Suite 550, 1101 Wootton Parkway, Rockville, Maryland 20852. Telephone: (240) 453-8822.

Headquarters Office:

Eric C. West, Tower Building Suite 550, 1101 Wootton Parkway, Rockville, Maryland 20852 Email: eric.west@hhs.gov Phone: (240) 453-8822.

Website Address:

<http://www.omhrc.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Complete review criteria are published in the Federal Register Notice or can be obtained from the Program contact. Listed below are some criteria used to review applications: 1) consistency of project's objectives relevant to the Program purpose and the federal Office of Minority Health's mission; 2)

coherence and feasibility of methodology and activities selected to address the problem as evidence in the proposed implementation plan; 3) strength of proposed grant organization's management capability; 4) adequacy of qualifications and experience of proposed personnel; and 5) strength of analysis of potential impact or innovation the project proposes to generate.

93.297 TEENAGE PREGNANCY PREVENTION PROGRAM

FEDERAL AGENCY:

Office of the Secretary, Department of Health and Human Services

AUTHORIZATION:

The statutory authority for awards under this Funding Opportunity Announcement is contained in Division D, Title II of the Consolidated Appropriations Act, 2010 (Public Law 111-117) and Section 513(c)(1) of the Social Security Act (to be codified at 42 U.S.C. 713(c)(1)).

OBJECTIVES:

To make competitive grants to public and private entities to (a) fund a wide range of evidence-based teen pregnancy prevention programs, and (b) research and demonstration projects testing additional strategies for preventing teenage pregnancy.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

A wide range of evidence-based programs are eligible for these funds. Grants are available to funding medically accurate and age appropriate programs that reduce teen pregnancy. Of the \$100 million appropriated, \$75 million must be used for programs that have been proven effective through rigorous evaluation to reduce teenage pregnancy, behavioral risk factors underlying teenage pregnancy, or other associated risk factors. The remaining \$25 million must be used for research and demonstration grants to develop, replicate, refine, and test additional strategies for preventing teenage pregnancy. Funds are to support reasonable program purposes including staffing, travel, supplies, and services. Grant funds may not be used for: Building alterations or renovations; Construction; Fund-raising activities; Job training; Political education and lobbying; Vocational rehabilitation.

Applicant Eligibility:

Public (including city, county, regional, and State government) organizations and private nonprofit entities.

Beneficiary Eligibility:

Teenagers in the US.

Credentials/Documentation:

A nonprofit private entity must provide evidence of its nonprofit status. Allowability of costs charged to the grant will be determined in accordance with the following Cost Principles: OMB Circular No. A-21 for educational institutions, OMB Circular No. A-122 for nonprofit organizations. Faith based organizations are eligible to apply. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

This program does not require preapplication coordination. The Governor(s) of the State(s) in which the project is to be located is to be given 60 days in which to review and comment on applications for funding under this authority. This program is subject to the Public Health Systems Reporting Requirements. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. To apply, access the announcement thru www.grants.gov. Enter the CFDA# and follow the website instructions. Applications submitted after the deadlines will not be accepted for review. The submission deadline will not be extended. Applications which do not conform to the requirements of the grant announcement will not be accepted for review and will be returned to the applicant. Applications may only be submitted electronically via www.grants.gov. Any applications submitted via any other means of electronic communication, including facsimile or electronic mail, will not be accepted for review.

Award Procedure:

Application will be screened upon receipt. Those that are deemed to be ineligible will be returned to the applicant. Accepted applications will be reviewed and evaluated by a panel of independent experts who make recommendations for action to the Office of Adolescent Health. After considering the recommendation of this panel, the Director of the Office of Adolescent Health makes the final decision on the funding of applications. All applicants are notified in writing of actions taken on their applications. A Notice of Grant Award is issued for those applications that are approved and funded.

Deadlines:

Apr 01, 2010 to Jun 01, 2010

Range of Approval/Disapproval Time:

From 90 to 120 days. From 3 to 5 months.

Appeals:

Not Applicable.

Renewals:

Renewal awards may be approved pending availability of funding, and evidence of satisfactory progress and compliance.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. This program has no matching requirements. No matching requirement. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grants may not exceed 5 years (subject to the availability of funds). Payments will be made either on a monthly cash request basis or under the Electronic Transfer System. Necessary instructions for the appropriate type of payment will be issued at the time an award is made. Method of awarding/releasing assistance: by letter of credit.

Reports:

Each grantee will submit yearly program reports on progress to the project officer. NEW FINANCIAL REPORTING REQUIREMENT Federal Financial Reporting (FFR) SF 425: As of February 1, 2011, the Department of Health and Human Services (DHHS) will begin the transition from use of the SF-269, Financial Status Report (Short Form or Long Form) to the use of the SF-425 Federal Financial Report for expenditure reporting. SF-269s will no longer be accepted for expenditure reports due after that date. If an SF-269 is submitted, the Office of the Assistant Secretary for Health, Office of Grants Management will return it and require the recipient to complete the SF-425. Copies of the SF-425 can be found on the website at: http://www.whitehouse.gov/sites/default/files/omb/assets/grants_forms/SF-425.pdf. 1. Grantees must submit quarterly Federal Financial Reports due on one of the standard due dates below by which cash reporting is required to be submitted to PMS or at the end of a calendar quarter. The FFR is due 30 days after the end of each calendar quarter. 2. Also, grantees must submit an annual Federal Financial Report due 90 days after the end of the budget period/project period end date. 3. Reports are to be submitted by hard copy or electronically through Grant Solutions to the assigned Grants Management Specialist in the Office of Grants Management. Please reference the CONTACTS section of the Terms and Conditions for the contact information for these individuals. Quarterly/Annual Reporting Deadlines Budget Period Due Date: 1st Quarter FFR Due 30-Jan; 2nd Quarter FFR Due 30-Apr; 3rd Quarter FFR Due 30-Jul; 4th Quarter FFR Due 30-Oct; Annual FFR Due 30-Jan. Progress reports are required for each budget period. Expenditure reports are not applicable. A final performance report is due 90 days following the end of the project period.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

HHS and the Comptroller General of the United States or any of their authorized representatives, shall have the right of access to any books, documents, papers, or other records of a grantee, subgrantee, contractor, or subcontractor, which are pertinent to the HHS grant, in order to make audits, examinations, excerpts and transcripts. In accordance with 45 CFR, Part 74.53 and 45 CFR, Part 92, grantees are required to maintain grant accounting records 3 years after the end of a project period. If any litigation, claim, negotiation, audit or other action involving the records has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0120-0-1-551.

Obligations:

(Cooperative Agreements) FY 12 Not Available; FY 13 Estimate Not Available; and FY 14 Estimate Not Available - (Grants) FY 2010 New program \$100 million.

Range and Average of Financial Assistance:

Evidence-based Programs:
\$400,000 to \$600,000
\$600,000 to \$1,000,000
\$1,000,000 to \$1,500,000

Research and Demonstration Program:

\$400,000-\$600,000
\$600,000-\$1,000,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices. Program Contact: Ms. Evelyn Kappeler, Acting Director, Office of Adolescent Health, Office of Public Health and Science, Office of the Secretary, Tower Building, 1101 Wootton Pkwy, Rockville, MD 20852; 240-453-2846-.

Headquarters Office:

Eric C. West, 1101 Wootton Parkway, Suite 550, Rockville, Maryland 20852
Email: eric.west@hhs.gov Phone: (240) 453-8449.

Website Address:

<http://www.hhs.gov/oah>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Proposals must contain prevention services requirements specified in the statute. Priority will be given to applicants who: (1) Serve an area (a) where there is a high incidence of adolescent pregnancy, (b) where the incidence of low-income families is high, and (c) where the availability of programs for adolescents as specified in this act is low; (2) show evidence (a) for care services, of ability to deliver wide range of required services on single-site or network basis, or (b) for prevention services, ability to provide services targeted to population; (3) will utilize to maximum extent possible (a) existing programs and facilities, and (b) other sources of funding; (4) can demonstrate community commitment to the project; (5) have involved community in developing the project; (6) will demonstrate replication and innovative programs; and (7) demonstrate the reasonableness of the estimated cost considering the anticipated results.

**93.300 NATIONAL CENTER FOR HEALTH WORKFORCE ANALYSIS
NCHWA**

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and

Human Services

AUTHORIZATION:

Public Health Service Act, Title VII; Section 761; 42 U.S.C. 294n, as amended; Health Professions Education Partnerships Act of 1998; Public Law 105-392, as amended by the Patient Protection and Affordable Care Act, Public Law 111-148, Section 5103.

OBJECTIVES:

To provide for the development of information describing and analyzing the health care workforce and workforce related issues in order to provide necessary information for decision-making regarding future directions in health professions in response to societal and professional needs. Grants under this CFDA number include the following: (1) Health Workforce Information Center -- a gateway to information on health workforce issues for health providers, practitioners, employers and policy makers and for all others interested in these issues. Through daily interactions and responding to inquiries and concerns, the HWAC will be able to ensure that the general public BHP, HRSA and the Department of Health and Human Services are informed of developing and ongoing health workforce issues in the U.S.; and (2) Health Workforce Research Center -- to increase the amount of high quality, impartial, policy-relevant research on the health workforce and to assist decision-makers at the federal, state and local levels to better understand health workforce needs to ensure access to high quality, efficient health care.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funds can be used to provide for the collecting, analyzing, and reporting of data related to health workforce, including targeted information collection and research on high priority workforce questions, technical assistance to local and regional entities to support the development of a nonfederal analytic and research infrastructure related to health workforce-related issues, and program evaluation and assessment efforts.

Applicant Eligibility:

Those eligible to apply are: state or local governments, a state workforce investment board, public health or health professions schools, schools of medicine, schools of nursing, universities, academic health centers, community-based health facilities, and other appropriate public or private nonprofit entities, including faith based and community based organizations. Federally Recognized Indian Tribal Government and Native American Organizations may apply if they are otherwise eligible.

Beneficiary Eligibility:

Those who will ultimately benefit from these grants are the local, state, and federal legislators and policy makers, as well as the public, who will receive the information needed with which to make health workforce decisions.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. HRSA requires all applicants to apply electronically through Grants.gov.

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Award Procedure:

Notification is made in writing (electronic) by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately six months.

Appeals:

Not Applicable.

Renewals:

At the end of the initial project period awardees may submit a competing continuation application for additional years of support, typically between three and five years.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: Some cooperative agreements may require a matching requirement for State and local studies.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Assistance is available for between three and five years. See the following for information on how assistance is awarded/released: Grantees drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

Program reports are required. The awardee will be required to submit annual performance and progress reports as well as status-federal financial reports (see below for general information on each required report). Cash reports are required. The awardee must submit a quarterly electronic Federal Financial Report (FFR) Cash Transaction Report via the Payment Management System within 30 days of the end of each calendar quarter. A Federal Financial Report (SF-425) is required within 90 days of the end of each budget period. A final report is due within 90 days after the project period ends. If applicable, the awardee must submit a Tangible Personal Property Report (SF-428) and any related forms within 90 days after the project period ends. New awards (Type 1) issued under this funding opportunity announcement are subject to the reporting requirements of the Federal Funding Accountability and Transparency Act (FFATA) of 2006 (Pub. L. 109282), as amended by section 6202 of Public Law 110252, and implemented by 2 CFR Part 170. Grant and cooperative agreement recipients must report information for each first-tier subaward of \$25,000 or more in federal funds and executive total compensation for the recipients and subrecipients five most highly compensated executives as outlined in Appendix A to 2 CFR Part 170 (FFATA details are available online at <http://www.hrsa.gov/grants/ffata.html>). Competing continuation awardees, etc. may be subject to this requirement and will be so notified in the Notice of Award. Expenditure reports are not applicable. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records three years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the three year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular three year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Cooperative Agreements) FY 12 \$500,000; FY 13 est \$1,364,860; and FY 14 est \$3,407,000

Range and Average of Financial Assistance:

\$300,000 to 375,000; Average award of \$350,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for state, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

None. Margaret Glos, Management Analyst, National Center for Health Workforce Analysis, Bureau of Health Professions, Health Resources and Services Administration, Department of Health and Human Services. 5600 Fishers Lane, Room 9-57, Rockville, Maryland 20857 Phone: (301) 443-3579 email: mglos@hrsa.gov.

Headquarters Office:

Margaret Glos, Management Analyst, National Center for Health Workforce Analysis, Bureau of Health Professions Health Resources and Services Administration, Department of Health and Human Services 5600 Fishers Lane, Room 9-57, Rockville, Maryland 20857 Email: mglos@hrsa.gov Phone: (301) 443-3579

Website Address:

<http://bhpr.hrsa.gov/healthworkforce>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Procedures for assessing the technical merit of grant applications have been instituted to provide an objective review of applications and to assist the applicant in understanding the standards against which each application will be judged. Critical indicators have been developed for each review criterion to assist the applicant in presenting pertinent information related to that criterion and to provide the reviewer with a standard for evaluation. Competing applications are reviewed by nonfederal reviewers for technical merit recommendations using the following review criteria.

- (1) The degree to which an applicant specializes in health workforce research. An applicant will be considered as specializing in health workforce research through identification of its mission, health workforce research accomplishments, and dedicated staff to health workforce research;
- (2) The degree to which the applicant demonstrates state and national experience and accomplishments in health workforce issues and research directly supporting the BHP priorities, health workforce distribution, racial/ethnic diversity of the health workforce, geriatrics, and genetics. Experience and accomplishments could be demonstrated through past or currently funded research;
- (3) The degree to which the applicant has health workforce research experience relevant to the proposed research project. In terms of workforce discipline-specific studies, the applicant should demonstrate experience in conducting research on the given discipline. For example, for a proposed research project that focuses on a behavioral health workforce issue, the applicant should demonstrate experience in conducting research on behavioral health workforce; and
- (4) The degree to which the applicant's proposed research includes: a well-developed background statement, clearly stated research objectives, clearly stated project activities and methodology, and a well-developed, reasonable management plan that will enable the successful completion of the project.

93.301 SMALL RURAL HOSPITAL IMPROVEMENT GRANT PROGRAM

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and

Human Services

AUTHORIZATION:

Section 1820(g)(3)(F)(ii)(I) of Social Security Act (42 U.S.C. 1395i-4 (g) (3), as amended by Section 3129 of the Patient Protection and Affordable Care Act (PPACA), P.L. 111-148.

OBJECTIVES:

To support hospital activities related to patient quality improvement and attaining meaningful use of health information technology. Hospitals will utilize funds to: 1) pay for costs related to maintaining accurate prospective payment system billing and coding such as updating and or implementing ICD-10 hardware/software 2) pay for the costs related to delivery system changes as outlined in the ACA such as value-based purchasing (VBP), accountable care organizations (ACO), and payment bundling.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

All funds awarded are to be expended solely for carrying out the approved projects.

Applicant Eligibility:

The State Office of Rural Health (SORH) in each state will be the official grantee of record, as they will act as a fiscal intermediary for all hospitals within their state. Each SORH will be charged with organizing the distribution of funds to eligible hospitals. Only SORHs are eligible to apply for this grant.

Beneficiary Eligibility:

Small rural hospitals must meet the following eligibility requirements in order to be eligible for SHIP funds:

- 1) A small hospital is defined as 49 available beds or less, as reported on the hospitals most recently filed Medicare Cost Report,
- 2) Rural is defined as either located outside of a Metropolitan Statistical Area (MSA) or located within a rural census tract of a MSA, as determined under the Goldsmith Modification or the Rural Urban Commuting Areas (RUCAs), and,
- 3) Eligible SHIP hospitals may be for-profit or not-for-profit. Tribally operated hospitals under Titles I and V of P.L. 93-638 are eligible to the extent that such hospitals meet the above criteria.

In regards to hospitals determining their eligibility and to request a hospital application, hospitals should contact their SORH.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. HRSA requires all applicants to apply electronically through Grants.gov.

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Award Procedure:

Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Up to six months.

Appeals:

Not Applicable.

Renewals:

SHIP awards may be made for up to 3-year project periods. Subject to availability of funds, after initial awards, projects may be renewed non-competitively contingent upon submission and approval of an application, availability of appropriated funds, and awardee's satisfactory performance.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Awards are made annually and payment is made through the DHHS Payment Management System. See the following for information on how assistance is awarded/released: Grantee drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

No program reports are required. No cash reports are required. A final financial report (FFR) is due within 90 days after end of the project period. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

An electronic quarterly Federal Financial Report (FFR) is due to the Division of Payment Management within 30 days of the end of each quarter. A final FFR must be submitted to HRSA via the Electronic HandBooks (EHB) within 90 days of the end of each budget period. Grantees are required to maintain grant accounting records 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Project Grants) FY 12 \$15,205,065; FY 13 est \$14,010,928; and FY 14 est \$14,203,464

Range and Average of Financial Assistance:

17,448 - \$828,791. Average award for FY 13 is \$298,105.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices. Bridget Ware, Office of Rural Health Policy, Parklawn Building, Room 5A-05, 5600 Fishers Lane, Rockville, MD, 20857, 301-443-3822.

Headquarters Office:

Office of Rural Health Policy 5600 Fishers Lane, Room 5A-05 , Rockville, Maryland 20857 Phone: (301) 443-0835

Website Address:

www.hrsa.gov.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applicants will be evaluated based on: (1) extent to which applicant is responsive to the requirements and purposes of this program; and (2) extent to which application describes need, strategies and investment to address those needs.

93.303 NURSE CORPS SCHOLARSHIP PROGRAM**FEDERAL AGENCY:**

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Section 846, as amended, Nurse Reinvestment Act of 2002, Public Law 107-205.

OBJECTIVES:

To increase the supply of registered nurses (RN) and nurse practitioners (NPs) in approved health care facilities with a critical shortage of nurses by providing service-obligated scholarships to nursing students.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

Service-obligated scholarships are awarded to full-time and part-time students of nursing. Scholarships pay tuition and required fees to the school, a monthly stipend to students and a single annual payment to the student to assist with other reasonable educational expenses that include books, supplies, instruments, and uniforms. The program requires 1 year of service for each year of scholarship support, with a minimum 2-year service commitment post graduation. A maximum of four years of educational support may be awarded. Service obligation must be fulfilled at a health care facility with a critical shortage of nurses.

Refer to the NURSE Corps Scholarship Program Guidance for specific requirements.

Applicant Eligibility:

The applicant must be a U.S. citizen or national, enrolled or accepted for enrollment in a fully accredited academic institution with a graduate, baccalaureate, associate degree or diploma nursing program located in a State, the District of Columbia, or a U.S. Territory. The applicant must be free of any Federal judgment liens, not have breached a prior service obligation, is not excluded, debarred, suspended, or disqualified by a Federal agency, and have no conflicting service obligations. Financial need is also reviewed as part of the eligibility determination. The applicant must submit an application and a signed contract, agreeing to accept payment of scholarship funds and provide health care services in a health care facility with a critical shortage of nurses for a minimum of two years.

Beneficiary Eligibility:

United States citizens or nationals enrolled or accepted for enrollment in a fully accredited graduate, baccalaureate, associate degree or diploma nursing program.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Applicants are required to apply electronically. Applicants submit their applications through <https://programportal.hrsa.gov>.

Award Procedure:

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions. Notification in writing is made to those in the final selection by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Notification is made within 4-5 months after deadline for receipt of application.

Appeals:

Not Applicable.

Renewals:

Renewal applications are not necessary for students in compliance. Contracts may be multi-year funded through graduation (up to one to four years) if the applicant signs the contract through the graduation date.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Each award covers up to one to four years of educational support, as requested. No awardees may receive more than four years of support. See the following for information on how assistance is awarded/released: Direct Deposit in recipients accounts through PSC.

Reports:

Annual reports by schools indicating average student educational expenses. The academic institution where the student is enrolled must complete and submit a Verification of Enrollment Form each semester.

The employing critical shortage facility must complete and send a semi-annual Service Verification Form verifying that the participating nurse is providing full-time or part-time continuous service as a registered nurse (RN) or nurse practitioner (NP). The certification includes a signature by an appropriate official of the facility. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

No audits are required for this program.

Records:

None.

Account Identification:

75-0350-0-1-550.

Obligations:

(Salaries) FY 12 \$24,074,090; FY 13 est \$27,434,410; and FY 14 est \$27,434,410

Range and Average of Financial Assistance:

Range of new awards for FY 12: \$18,195 - \$260,056; Average: \$99,484.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: No Data Available. Fiscal Year 2013: No Data Available. Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Please see application process at
<http://www.hrsa.gov/loanscholarships/scholarships/Nursing/index.html>

Regional or Local Office:

None. NURSE Corps Scholarship Program, Division of Nursing and Public Health, Bureau of Clinician Recruitment and Service (BCRS), Health Resources and Services Administration, Department of Health and Human Services, Room 8A-55, 5600 Fishers Lane, Rockville, MD 20857. Telephone: (301) 594-4400. For 24-hour toll-free calls from outside MD: (1-800) 221-9393, use for application cycle information.

Headquarters Office:

Bureau of Clinician Recruitment and Service (BCRS), Health Resources and Services Administration, Department of Health and Human Service Room 8A-55, 5600 Fishers Lane, Rockville, Maryland 20857 Phone: (301) 594-4400

Website Address:

<http://www.hrsa.gov/loanscholarships/scholarships/Nursing/index.html>

RELATED PROGRAMS:

93.288 National Health Service Corps Scholarship Program; 93.908 Nursing Education Loan Repayment Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

As provided in the amendment in section 846(e) of the Public Health Service (PHS) Act, a funding preference is given to eligible applicants with the greatest financial need. NURSE Corps SP will use the Department of Education's Student Aid Report (SAR) from the Free Application for Federal Student Aid (FAFSA) to evaluate financial need.

93.304 RACIAL AND ETHNIC APPROACHES TO COMMUNITY HEALTH

REACH National Networks

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Sections 301(a) and 317(k)(2) of the Public Health Service Act (as amended) [42 U.S.C. Sections 241(a) and 247b(k)(2)].

OBJECTIVES:

The purpose of this program is to fund National Networks to fund, manage, support, and monitor sub-recipients to address health disparities and implement evidence- and practice-based strategies that reduce health disparities for intervention population(s) experiencing high burden of disease or risk factors. The intervention population is the population within the selected geographic area that applicants will reach with proposed program activities. They are to contribute to the development and implementation of a community health action plan to address chronic disease health disparities.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Project funds may be used for costs associated with planning, organizing, conducting, implementing, managing, and coordinating all recipient requirements of the FOA. Applicants must adhere to all CDC guidelines regarding allowable and unallowable expenses and activities. CDC will have substantial involvement with the award recipient during performance of the funded activity. Recipients may not use funds for research.

Recipients may not use funds for clinical care.

Recipients may only expend funds for reasonable program purposes, including personnel, travel, supplies, and services, such as contractual.

Recipients may not generally use HHS/CDC/ATSDR funding for the

purchase of furniture or equipment. Any such proposed spending must be identified in the budget.

The direct and primary recipient in a cooperative agreement program must perform a substantial role in carrying out project objectives and not merely serve as a conduit for an award to another party or provider who is ineligible.

Reimbursement of pre-award costs is not allowed.

If requesting indirect costs in the budget, a copy of the indirect cost rate agreement is required. If the indirect cost rate is a provisional rate, the agreement should be less than 12 months of age. The indirect cost rate agreement should be uploaded as a PDF file with Other Attachment Forms when submitting via Grants.gov.

The recommended guidance for completing a detailed justified budget can be found on the CDC Web site, at the following Internet address:
<http://www.cdc.gov/od/pgo/funding/budgetguide.htm>.

FY 2012 Appropriations Provision: HHS recipients must comply with all terms and conditions outlined in their grant award, including grant policy terms and conditions contained in applicable Department of Health and Human Services (HHS) Grant Policy Statements, and requirements imposed by program statutes and regulations and HHS grant administration regulations, as applicable, as well as any requirements or limitations in any applicable appropriations acts.

Recipients may not use funds for abortions in accordance with Executive Order 13535.

[Click here to enter text.](#)

2. Are there restrictions upon the use of the assistance? If you select yes you must describe the restrictions

xYesDescription:

- o Applicants must adhere to all CDC guidelines regarding allowable and unallowable expenses and activities.
- o In accordance with U.S. law, no Federal funds provided by CDC are permitted to be used by community grantees for lobbying or to influence, directly or indirectly, specific pieces of pending or proposed legislation at the federal, state, or local levels. The awardee should work with project officer to ensure activities adhere to federal guidelines, and federal dollars are not used to engage in unauthorized activities. Throughout all objectives and activities, the awardee should clarify that the work plan language clearly describes the role, nature, and purpose of the funded activities. This includes providing clear language focusing on the message (e.g., addressing the health risks/effects, using evidence based strategies for increasing protections) when conducting public educational initiatives. In addition, language should be included for proper engagement of elected officials as documented in the federal guidelines. Additionally, awardees should consult appropriate legal counsel to ensure compliance with all rules, regulations, and restriction of any funding sources.
- o Title 2 of the United States Code Section 1611 states that an organization described in Section 501(C)(4) of the Internal Revenue Code that engages in lobbying activities is no eligible to receive Federal funds constituting a grant, loan, or award.
- o The awardee should refer to the AR-12 and CDC Guidance documents on Anti-Lobbying restrictions for more information on allowable and restricted activities.
- o http://www.cdc.gov/od/pgo/funding/grants/additional_req.shtm#ar12
- o <http://www.cdc.gov/obesity/downloads/Anti-Lobbying-Restrictions-for-CDC-Grantees-July2012-508.pdf>.

Applicant Eligibility:

In continuation years, eligibility is limited to the six (6) existing original awardees:

- o Asian and Pacific Islander American Health Forum
- o Hidalgo Medical Services

- o National Council of Young Mens Christian Association of the USA
- o National REACH Coalition
- o Regents of the University of California, Los Angeles
- o University of Colorado Denver.

Beneficiary Eligibility:

The general public will benefit from the objectives of this program.

Credentials/Documentation:

Applicants should document the need for assistance, state the objectives of the project, outline the method of operation, describe the evaluation procedures, and provide a budget with justification of funds. OMB Circular No. A-87 applies to this program. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program.

Award Procedure:

Successful applicants will receive a Notice of Award (NoA) from the CDC Procurement and Grants Office. The NoA shall be the only binding, authorizing document between the recipient and CDC. The NoA will be signed by an authorized Grants Management Officer and e-mailed to the program director. A hard copy of the NoA will be mailed to the recipient fiscal officer identified in the application. Any application awarded in response to this FOA will be subject to the DUNS, CCR Registration and Transparency Act requirements. Unsuccessful applicants will receive notification of the results of the application review by mail.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

From 120 to 180 days. Project period is 5 years with 12-month budget periods. Applications must be obtained from www.Grants.Gov.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Project periods are for 1 5 years with 12-month budget periods. Method of awarding/releasing assistance: lump sum. Method of awarding/releasing assistance: lump sum.

Reports:

- Each funded applicant must provide CDC with an annual Interim Progress Report submitted via www.grants.gov:
1. The interim progress report is due no less than 90 days before the end of the budget period. The Interim Progress Report will serve as the non-competing continuation application, and must contain the following elements:
 - a. Standard Form (SF) 424S Form.
 - b. SF-424A Budget Information-Non-Construction Programs.
 - c. Budget Narrative.
 - d. Indirect Cost Rate Agreement.
 - e. Project Narrative.

Additionally, funded applicants must provide CDC with an original, plus two hard copies of the following reports:

1. Annual progress report, due 90 days after the end of the budget period. Information needed in an annual report includes:

- a. Progress towards objectives.
- b. Successes.
- c. Barriers encountered.
- d. Technical Assistance and training requested. No cash reports are required. 2. Annual and Final performance and Federal Financial Reports (SF-425)*, no more than 90 days after the end of each budget year and project period. Unobligated funds should be broken down and reported. The annual progress report must contain the following elements:
 - a. Annual Performance Report
 - b. Success Story

These reports must be submitted to the attention of the Grants Management Specialist listed in the Section VII below entitled Agency Contacts. Federal Financial Reports are required 90 days after the end of the calendar quarter in which the budget period ends. Performance Monitoring and Evaluation:

- o Describe performance monitoring plans and how the applicant plans to monitor their own performance and that of sub-recipients on a regular basis. Describe plans to make mid-course corrections as needed.
- o Describe the applicants ability to input performance monitoring information in the CDC performance monitoring system (CD MIS) on a quarterly basis.
- o Describe process to collect cost data (total cost and number of people reached for each separate intervention) to calculate and report cost per person reached.
- o Describe process to collect reach of implementation activities, including demographic characteristics of people reached within the intervention population(s). Describe how the implementation activities will be tracked to capture the percentage of the intervention population(s) reached, including processes and measures.
- o Indicate whether additional evaluation activities will be proposed or not. If they are proposed, describe plans for evaluation, including whether process and/or outcome evaluation data will be collected and potential data sources. If any new data will be collected for the purposes of evaluation, indicate willingness to share with CDC in an electronic format suitable for analysis.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

There is a 3-year record retention requirement; records shall be retained beyond the 3-year period if final audit has not been done or findings resolved. Property records must be retained in accordance with PHS Grants Policy Statement requirements.

Account Identification:

75-0094-3-1-550.

Obligations:

(Cooperative Agreements) FY 12 \$21,953,412; FY 13 est \$1,800,000; and FY 14 est \$0 - FY12 was Public Prevention Health Funding (PPHF).

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: In fiscal year 2012, the Centers for Disease Control and Prevention (CDC) initiated the REACH: Racial and Ethnic Approaches to Community Health Program - aka National Networks. This program provides funding and technical assistance to six organizations across the United States to put into action sustainable strategies to reduce or eliminate chronic disease disparities in racial and ethnic groups and to build practice-based evidence around effective strategies. They launched 82 communities to focus on 1) Increased Use of High-Impact Quality Clinical Preventive Services to control hypertension and cholesterol, 2) Tobacco-Free Living, and 3) Active Living and Healthy Eating. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Robert Bailey 4770 Buford Hwy, MS F-81, Atlanta, Georgia 30341 Email: apu8@cdc.gov Phone: 770-488-8438

Website Address:

<http://www.cdc.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: DP12-1209PPHF12 - \$21,953,412. Fiscal Year 2013: This initiative builds on the body of knowledge funded through previous Racial and Ethnic Approaches to Community Health programs, including REACH US, REACH 2010, REACH CEED, and the REACH National Organization FOAs.

1.8 million. Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.305 NATIONAL STATE BASED TOBACCO CONTROL PROGRAMS

National Tobacco Control Program

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Section 301(a) of the Public Health Service Act [42] U.S.C. Section 241(a).

OBJECTIVES:

This cooperative agreement program addresses tobacco use and secondhand smoke exposure in the United States and supports four National Tobacco Control Program goals to (1) Prevent initiation of tobacco use among youth and young adults; (2) Eliminate exposure to secondhand smoke; (3) Promote quitting among adults and youth; and (4) Identify and eliminate tobacco related disparities. Achievement of these goals will reduce chronic disease morbidity, mortality, and disability related to tobacco use and secondhand smoke exposure. This cooperative agreement program relates to Healthy People 2020 objectives in the topic area of Tobacco Use. In addition, this cooperative agreement program supports the Government Performance Results Modernization Act Long-term Objective 4.6: Reduce Death and Disability Due to Tobacco and the following measures: Tobacco Measure: 4.6 - Reduce death and disability due to tobacco use; 4.6.2 - Reduce per capita cigarette consumption in the U.S. per adult age 18+; 4.6.3 - Reduce the proportion of adults (age 18 and over) who are current cigarette smokers; 4.6.4 Increase the proportion of the U.S. population covered by comprehensive state and/or local laws making workplaces, restaurants, and bars 100% smoke free (no smoking allowed, no exceptions); 4.6.5 - Reduce the proportion of adolescents (grade 9-12) who are current cigarette smokers. Furthermore, this cooperative agreement program supports national public health priorities and strategic plans including: (1) Centers for Disease Controls Winnable Battles; (2) Department of Health and Human Services Ending the Tobacco Epidemic and Strategic Plan; (3) National Prevention Councils National Prevention Strategy; (4) Institute of Medicines, Ending the Tobacco Problem; and (5) numerous Surgeon General Reports.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Awardees may not use funds for research or clinical care, to provide direct cessation services or other direct services other than those through evidence-based quitline services; to purchase nicotine replacement therapy or other products used for cessation; to pay for inspection of tobacco retail establishments. Awardees may only expend funds for reasonable program

purposes, including personnel, travel, supplies, and services, such as contractual. Awardees may only use federal funds for evidence-based tobacco control interventions and strategies. No federal funds from this cooperative agreement may be used to purchase K-12 school curriculum. In most cases, awardees may not use HHS/CDC/ATSDR funding for the purchase of furniture or equipment. Any such proposed spending must be clearly identified in the budget. Reimbursement of pre-award costs is not allowed. Awardees may not use funds for any kind of impermissible lobbying activity designed to influence proposed or pending legislation, appropriations, regulations, administrative actions, or Executive Orders (legislation and other orders). These restrictions include grass roots lobbying efforts and direct lobbying. Certain activities within the normal and recognized executive-legislative relationships within the executive branch of that government are permissible. See Additional Requirement (AR) 12 for further guidance on this prohibition. The direct and primary recipient in a cooperative agreement program must perform a substantial role in carrying out project outcomes and not merely serve as a conduit for an award to another party or provider who is ineligible. Awardees may not use funds for research or clinical care, to provide direct cessation services or other direct services other than those through evidence-based quitline services; to purchase nicotine replacement therapy or other products used for cessation; to pay for inspection of tobacco retail establishments. Awardees may only expend funds for reasonable program purposes, including personnel, travel, supplies, and services, such as contractual. Awardees may only use federal funds for evidence-based tobacco control interventions and strategies. No federal funds from this cooperative agreement may be used to purchase K-12 school curriculum. In most cases, awardees may not use HHS/CDC/ATSDR funding for the purchase of furniture or equipment. Any such proposed spending must be clearly identified in the budget. Reimbursement of pre-award costs is not allowed. Awardees may not use funds for any kind of impermissible lobbying activity designed to influence proposed or pending legislation, appropriations, regulations, administrative actions, or Executive Orders (legislation and other orders). These restrictions include grass roots lobbying efforts and direct lobbying. Certain activities within the normal and recognized executive-legislative relationships within the executive branch of that government are permissible. See Additional Requirement (AR) 12 for further guidance on this prohibition. The direct and primary recipient in a cooperative agreement program must perform a substantial role in carrying out project outcomes and not merely serve as a conduit for an award to another party or provider who is ineligible.

Applicant Eligibility:

State departments of health are essential for coordinating the public health response to prevent tobacco use and protect the population from second hand smoke exposure. They have experience with implementing evidence-based interventions and strategies that use environment, policy, and system approaches that have the potential to reach large numbers of people in the state. State departments of health have experience working with an array of state and local governmental and non-governmental organizations to leverage resources that can support tobacco control efforts across a number of categorical programs and sectors. State departments of health are uniquely positioned to carry out the activities outlined in this funding opportunity announcement. State departments of health serve as the lead for tobacco control in every state. State departments of health have existing personnel who are able to provide technical assistance based on their area of expertise (e.g., environment, policy, and systems approaches, program planning, implementation, and evaluation, health communication, surveillance and epidemiology, partnership development) which conserves valuable resources. All this infrastructure and capacity can be integrated and employed by state departments of health to: Leverage partnerships to support the implementation of evidence-based interventions and strategies to prevent and control tobacco at the state and local levels; implement policy and programmatic strategies that cut across multiple chronic conditions and that leverage individual categorical efforts and create synergies and efficiencies across multiple state programmatic efforts also funded by CDC, given the high level of co-morbidities among people with chronic diseases and conditions (e.g., obesity, diabetes, hypertension, heart disease and stroke); utilize the public health resources and capacity unique to state departments of health to achieve a comprehensive state-based tobacco control program; develop models that integrate with other state departments of health chronic disease programs affecting similar populations; collect state-wide surveillance

data on tobacco use, secondhand smoke exposure, and the populations most affected through data sources that are uniquely available to state departments of health.

Beneficiary Eligibility:

General Public would benefit.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Applicants must download application forms from www.Grants.gov.

Applications must be submitted electronically through www.Grants.gov.

If an applicant does not have access to the Internet, or if they have difficulty accessing the forms online, contact the CDC Procurement and Grants Office, Technical Information Management Section (PGO-TIMS) staff. For this, or further technical assistance, contact PGO-TIMS: Telephone: 770-488-2700, email: PGOTIM@cdc.gov.

Award Procedure:

All applications submitted by the deadline that are complete and responsive to competitive funding opportunity announcement will undergo an objective review process, receive a written critique and be scored according to published review criteria. Successful applicants will receive a Notice of Award (NoA) from the CDC Procurement and Grants Office. The NoA shall be the only binding, authorizing document between the recipient and CDC. The NoA will be signed by an authorized CDC Grants Management Officer.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. Range of Approval/Disapproval is 60-90 days from receipt of application.

Appeals:

Not Applicable.

Renewals:

From 120 to 180 days. Throughout the project period, CDC's commitment to continuation of awards will be conditioned on the availability of funds, evidence of satisfactory progress by the recipient (as documented in required reports, in documented technical assistance, and in other relevant documented activities), and the determination that continued funding is in the best interest of the federal government.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Matching funds are required from non-federal sources in the amount of not less than \$1 for each \$4 federal funds awarded. The match must be from non-federal sources and can be all cash, in-kind, or a combination thereof. In-kind activities must support evidence-based interventions outlined in CDC's Best Practices for Comprehensive Tobacco Control Programs. The match must be for the total dollar amount requested. The amount and source of the match is required in the budget narrative and justification section of the application. Responsiveness to match requirements will be a criterion used in evaluating the application. Cost sharing is encouraged if it helps to leverage federal and state resources, is responsive to stated CDC recipient activities, is advantageous to chronic disease prevention

and health programs, supports the four National Tobacco Control Program goals, focuses on evidence-based interventions outlined in Best Practices, and does not compromise the integrity or the ability of the programs to accomplish proposed activities. Examples of cost sharing may include, but are not limited to, sharing 1) the cost of an FTE that can support the work of several projects across program content areas, 2) costs associated with data collection and analysis, 3) costs associated with various communication campaigns that can benefit multiple program areas, and 4) costs associated with working with diverse populations to modify risk factors or eliminate chronic disease-related disparities.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Financial assistance is available for a 12-month budget period and 60 month project period. Method of awarding/releasing assistance: lump sum.

Reports:

The reporting schedule will be based on the requirements listed in the funding opportunity announcement. An Annual Progress Report and Federal Financial Report (SF425) are due 90 days after the end of the budget period. A final progress report and final Federal Financial Report are due 90 days after the end of the project period. Cash reports are not applicable. An Annual Progress Report is due 90 days after the end of the budget period. The Annual Progress Report will describe successes, challenges, and progress toward program goals, objectives and performance measures. A Federal Financial Report is due 90 days after the end of the quarter in which budget period ends. Awardee performance will be monitored through a technical review of the Annual Progress Report; ongoing communication between the awardee and project officer via email, conference calls, and meetings; and periodic site visits. Awardees will describe their activities and progress toward meeting goals, objectives, and performance measures in the progress reports submitted.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the program shall be retained for a minimum of 3 years, or until completion and resolution of any audit in process or pending resolution. In all cases, records must be retained until resolution of any audit questions. Property records must be retained in accordance with 45 CFR 92.42.

Account Identification:

75-0943-0-1-551.

Obligations:

(Cooperative Agreements) FY 12 \$0; FY 13 est \$0; and FY 14 est \$58,000,000

Range and Average of Financial Assistance:

Approximate Average Award: \$1,000,000
Floor of Individual Award Range: \$600,000
Ceiling of Individual Award Range: \$1,900,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

National Goals, Guidelines and Recommendations
Healthy People 2020 objectives (Tobacco Use)
<http://www.healthypeople.gov/2020/topicsobjectives2020/objectiveslist.aspx?topicId=41>
CDC's Winnable Battles (Tobacco)
<http://www.cdc.gov/winnablebattles/tobacco/index.html>
The National Prevention Councils, National Prevention Strategy Americas Plan for Better Health (Tobacco Free Living)
<http://www.surgeongeneral.gov/initiatives/prevention/strategy/report.pdf>
HHS's Strategic Plan (Promote Prevention and Wellness Reduce Cigarette

Consumption Among Adults and Proportion of Adolescents who are Current Cigarette Smokers)

<http://www.hhs.gov/secretary/about/message.html>

HHSs Ending the Tobacco Epidemic: A Tobacco Control Strategic Action Plan for the Department of Health and Human Services

<http://www.hhs.gov/ash/initiatives/tobacco>

The Institute of Medicines, Ending the Tobacco Problem A Blueprint for the Nation

http://www.nap.edu/catalog.php?record_id=11795

CDCs Best Practices for Comprehensive Tobacco Control Programs

http://www.cdc.gov/tobacco/stateandcommunity/best_practices/index.htm

The Community Preventive Services Task Force, Guide to Community Preventive Services

<http://www.thecommunityguide.org/tobacco/index.html>

Regional or Local Office:

None.

Headquarters Office:

Karla Sneegas 4770 Buford Hwy., NE, Malstop F-79, Atlanta, Georgia 30341

Email: KSneegas@cdc.gov Phone: 770-488-5218

Website Address:

<http://www.cdc.gov>

RELATED PROGRAMS:

93.283 Centers for Disease Control and Prevention_ Investigations and Technical Assistance

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Phase I Review: All eligible applications will be initially reviewed for completeness by CDCs Procurement and Grants Office (PGO) staff. In addition, eligible applications will be jointly reviewed for responsiveness by the CDC NCCDPHP and PGO. Incomplete applications and applications that are non-responsive to the eligibility criteria will not advance to Phase II review. Applicants will be notified that the application that the application did not meet eligibility and/or published submission requirements.

Phase II Review: An objective review panel will evaluate complete and responsive applications according to the criteria listed in the criteria section of the funding opportunity announcement. Applicants will be notified electronically if the applications did not meet eligibility and/or published submission requirements thirty (30) days after the completion of Phase II review.

Applications will be funded in order by score and rank determined by the review panel.

93.307 MINORITY HEALTH AND HEALTH DISPARITIES RESEARCH

FEDERAL AGENCY:

National Institutes of Health, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Title III and IV, Part A and Part E, Sections 485E, 485F, 485G; Public Law 99-158 and Public Law 106-525; 42 U.S.C. 287c-31, 42 U.S.C. 287c-32, 42 U.S.C. 287c-33, 42 U.S.C. 241 and 285; Small Business Research and Development Enhancement Act of 1992, Public Law 102-564, Title III, Part A & E, Section 485E 485F 485G, Public Law 99-158, 106 Stat. 525, 42 U.S.C 287c-31.

OBJECTIVES:

To support basic, clinical, social, and behavioral research, promote research infrastructure and training; foster emerging programs; disseminate information; and reach out to minority and other health disparity communities. The National Institute on Minority Health and Health Disparities (NIMHD) has established programs to pursue these goals: (1) The Centers of Excellence Program promotes research to improve minority health, and/or reduce and eliminate health disparities, build research capacity for minority health and health disparities research in academic institutions; encourages the participation of

health disparity groups and communities in biomedical and behavioral research and prevention and intervention activities; and brings together investigators from relevant disciplines in a manner that will enhance and extend the effectiveness of their research; (2) NIMHD Research Endowment Program builds research capacity and infrastructure at eligible NIMHD Centers of Excellence or eligible Section 736 health professions schools (42 U.S.C. 293) to facilitate minority health and other health disparities research to close the disparity gap in the burden of illness and death experienced by racial and ethnic minority Americans and other health disparity populations; promotes a diverse and strong scientific, technological and engineering workforce; and emphasizes the recruitment and retention of underrepresented minorities and other socio-economically disadvantaged populations in the fields of biomedical, and behavioral research and other areas of the scientific workforce; (3) Building Research Infrastructure and Capacity (BRIC) Program, formerly Research Infrastructure in Minority Institutions (RIMI) assists non-doctoral degree institutions in developing their research infrastructure primarily through collaborations with research-intensive universities thereby enabling the institutions to develop and enhance their capacity and competitiveness to conduct biomedical or behavioral research; (4) Minority Health and Health Disparities International Research Training Program (MHIRT) awards enable U.S. institutions to tailor short-term basic science, biomedical and behavioral mentored student international research training opportunities to address global issues related to understanding, reducing, and eliminating health disparities; (5) Community-Based Participatory Research (CBPR) Initiative supports the development, implementation and evaluation of intervention research using CBPR principles and methods in targeting diseases of major public health importance in health disparity communities; (6) Small Business Innovation Research (SBIR) Program increases private sector commercialization of innovations derived from Federal research and development; encourages small business participation in Federal research and development; and fosters and encourages participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation; (7) Small Business Technology Transfer (STTR) Program stimulates and fosters scientific and technological innovation through cooperative research development carried out between small business concerns and research institutions; fosters technology transfer between small business concerns and research institutions; increases private sector commercialization of innovations derived from Federal research and development; and fosters and encourages participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation; (8) Health Disparities Research Projects Grants (RPG) supports innovative projects to enhance our understanding of biological mechanisms, social, behavioral, and health services that can directly and demonstrably contribute to the improvement in minority health and the elimination of health disparities; (9) Research Centers in Minority Institutions (RCMI) builds capacity for basic biomedical and/or behavioral research by focusing on institutional resource development, such as supporting core research facilities and staff, purchasing advanced instrumentation, and laboratory renovations/alterations; (10) RCMI Infrastructure for Clinical and Translational Research (RCTR) supports the development of infrastructure required to conduct clinical and translational research by enhancement of, but is not limited to outpatient clinical resources, biostatistical support, core laboratories, and other capacity for patient-oriented research; (11) RCMI Translational Research Network (RTRN) supports a consortium of clinical and translational investigators from RCMI Centers, other academic health centers, providers, and community-based organizations to encourage sharing of resources and expertise in minority health and health disparities; (12) Clinical Research Education and Career Development (CRECD) Awards provide didactic training and mentored clinical research experiences to develop independent researchers who can lead clinical research studies, especially those addressing health disparities; (13) Resource-Related Minority Health and Health Disparities Research supports minority health and health disparities resource-related research in the priority areas of Bioethics Research, Global Health Research, Data Infrastructure and Dissemination, and Healthcare for Rural Populations Research; (14) Science Education Initiative supports educational, mentoring, and/or career development programs for individuals from underrepresented or health disparity populations to facilitate the development of a nationwide cohort of scientists and a multi-disciplinary national pool of health disparities investigators with necessary skills to conduct

health disparities research in a diverse range of settings; and (15) NIH Research Conference Grant and NIH Research Conference Cooperative Agreement Programs support high quality conferences that are relevant to the minority health and health disparities; and (16) Transdisciplinary Collaborative Centers for Health Disparities Research comprise regional coalitions of academic institutions, community organizations, service providers and systems, government agencies and other stakeholders conducting coordinated research, implementation and dissemination activities that transcend customary approaches and silo organizational structures to address critical questions at multiple levels in innovative ways focused on priority research areas in minority health and health disparities.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grants are intended to support the direct costs of a project in accordance with an approved budget, plus appropriate amount for indirect costs. Grantees must agree to administer the grant in accordance with the regulations and policies governing the research grants program of the Public Health Service. Endowment grants: Some qualifying institutions may use income earned from a research endowment corpus to support institutions in their effort to expand and add teaching programs in the biomedical and behavioral sciences and related areas, to improve facilities, to enhance student and faculty recruitment and retention, to develop instructional delivery systems and information technology in areas that will enhance minority health and other health disparities research activities, and to establish endowed chairs and programs. Other qualifying institutions must use income earned from a research endowment corpus to increase the institutions' investments in the recruitment and retention of underrepresented minority students and students from other health disparity populations that matriculate at such institutions. This includes activities such as increasing student recruitment and retention, creating merit-based and need-based scholarships, establishing or enhancing tutoring, providing counseling, and designing student service programs to improve academic success, and faculty recruitment and retention. SBIR grants: SBIR Phase I grants are to establish the technical merit and feasibility of a proposed research effort that may lead to a commercial product or process. SBIR Phase II grants are for the continuation of the research initiated in Phase I and are likely to result in commercial products or processes. Only Phase I awardees are eligible to apply for Phase II support. STTR grants: STTR Phase I grants are to determine the scientific, technical, and commercial merit and feasibility of the proposed cooperative effort that has potential for commercial application. STTR Phase II grants are based on results of research initiated in Phase I and scientific and technical merit and commercial potential on Phase II application. See Program Announcements: PA-12-088 and PA-12-089. As specified in each of the program announcements.

Applicant Eligibility:

Individuals and public and private institutions, both non-profit and for-profit, who propose to establish, expand, and conduct research, promote or engage in research training, and outreach activities that contribute to improving minority health and/or eliminating health disparities. Endowment grants: Only NIMHD Centers of Excellence OR Section 736 health professional schools (see 42 U.S.C. 293) with net endowment assets less than or equal to 50 percent of the national median of endowment funds at institutions that conduct similar biomedical research or training of health professionals are eligible for the research endowment awards. All applicants must have an NIMHD Center of Excellence Award or HRSA Centers of Excellence Award at the time of award of an NIMHD Research Endowment Grant. SBIR grants: Domestic small businesses (entities that are independently owned and operated for profit, are not dominant in the field in which the research is proposed, and have no more than 500 employees). Primary employment (more than one-half time) of the principal investigator must be with the small business at the time of award and during the conduct of the proposed project. Small business concerns must be at least 51 percent US owned by individuals and independently operated and/or at least 51 percent owned and controlled by another (one) for-profit business concern that is at least 51 percent owned and controlled by one or more individuals. The research must be performed in the U.S. or its possessions. STTR grants: Domestic small businesses (entities that are independently owned and operated for profit, are not dominant in the field in which the research is

proposed, and have no more than 500 employees) that "partner" with a research institution in cooperative research and development. At least 40 percent of the project is to be performed by the small business concern and at least 30 percent by the research institution.

Beneficiary Eligibility:

Any non-profit or for-profit organization, company, or institution engaged in biomedical and behavioral research. Endowment grants: NIMHD Centers of Excellence or Section 736 institutions.

Credentials/Documentation:

Applicants must present a research plan and furnish evidence required for research grant applications, PHS 398, (Rev. 05/01) as specified in the application form. Costs will be determined in accordance with HHS Regulations 45 CFR, Part 74, Subpart Q and OMB Circular No. A-21 for Educational Institutions and OMS Circular No. A-87 for state and local governments. For-profit organizations costs are determined in accordance with Subpart 31.2 of the Federal Acquisition Regulations. Endowment grants: Requirements for the endowment application are specified in the application form (SF424 R&R). SBIR and STTR grants: Applicant organizations (small business concerns) must present a research plan that includes an idea that has the potential for commercialization, and furnish evidence that the scientific competence, experimental methods, facilities, equipment, and funds requested are appropriate to carry out the plan. To apply for SBIR Phase I and Phase II Grants, applicants must complete SF424 (R&R) Application for Electronic Submission. For general information on SF424 (R&R) Application and Electronic Submission, see these Web sites: SF424 (R&R) Application and Electronic Submission Information: <http://grants.nih.gov/grants/funding/424/index.htm>. General information on Electronic Submission of Grant Applications: <http://grants.nih.gov/grants/ElectronicReceipt/>. OMB Circular No. A-87 applies to this program. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Applications for the various programs should be submitted to the NIH office that is responsible for the receipt of applications, and in such form and manner as the application prescribes. (All information pertaining to grants hereinafter, also apply to Endowment Grants unless otherwise noted). Research Grants: Applications must be prepared either electronically through Grants.gov (<http://www.grants.gov>) using the SF 424 (Research and Research-Related) or on paper using the PHS 398 research grant application instructions and forms (Revised 9/2004, Interim Revision 4/2006). The PHS 398 is available at <http://grants.nih.gov/grants/funding/phs398/phs398.html>. The format is interactive. For NIH planned transition dates of mechanisms for electronic submission, see: http://grants.nih.gov/grants/ElectronicReceipt/files/timeline_NIH_transitions.pdf. For further assistance contact Grants Info, Telephone (301) 435- 0714, Email: GrantsInfo@nih.gov. NRSAs (Individual): Individuals must submit the application form, PHS Individual National Research Service Award (PHS 416-1, rev. 10/05), and include at least three letters of reference. If the applicant has been lawfully admitted to the United States for permanent residence, the appropriate item should be checked on the Face Page of the application. Applicants who have applied for and have not yet been granted admission as a permanent resident should check the Permanent resident block on the Face Page of the PHS 416-1 application, and also write in the word "pending." A notarized statement documenting legal admission for permanent residence must be submitted prior to the issuance of an award. SBIR and STTR: The SF 424 (Research and Research-Related) application forms and the SF 424 SBIR/STTR Application Guide are used to apply for both SBIR and STTR grants, Phase I and Phase II. Application must be made electronically through grants.gov (<http://www.grants.gov>). For further assistance contact Grants Info, Telephone:

(301) 435-0714, Email: GrantsInfo@nih.gov.

Award Procedure:

Each eligible application will be evaluated for scientific and technical merit by an appropriate Scientific Review Group in accordance with NIH policies and procedures. The National Advisory Council on Minority Health and Health Disparities (NACMHD) will conduct the second-level review of the applications.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

> 180 Days. Approximately 6 to 9 months. SBIR and STTR grants: Approximately 7 to 8 months.

Appeals:

A principal investigator or applicant may question the substantive or procedural aspects of the review of his/her application by communicating with NIMHD staff. A description of the NIH Peer Review Appeal procedures is available on the NIH home page <http://grants.nih.gov/grants/guide/notice-files/NOT-OD-11-064.html>.

Renewals:

Renewal of grant awards beyond the initial project period of support is contingent upon successful competition by the applicant and upon the appropriation of funds for this purpose.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

All awards have a project period of one to five years. Non-competitive grant awards in successive years (year 2 up to year 5) are awarded based on the applicant's annual performance and the availability of funds. Endowment Grants: Awards have a project period of one to five years. Non-competitive years (year 2 up to year 5) are awarded based on the applicant's annual performance and the availability of funds. SBIR grants: Generally, Phase I awards are for six months, and Phase II awards are for two years. STTR grants: Generally, Phase I awards are for one year, and Phase II awards are for two years. All funds for any award must be obligated within five years from the date of the award. See the following for information on how assistance is awarded/released: See above for information about each program.

Reports:

Annual progress and selected financial status reports for continuing projects and final reports on all projects upon conclusion are required. Endowment Grants: The NIMHD will exercise extended monitoring of a participant's annual progress towards meeting the objectives as outlined in the participant's five-year strategic plan for prioritizing the use of endowment income. These reports will extend 20 years beyond the last year of a participant's project period. Cash reports are not applicable. Annual progress and selected financial status reports for continuing projects and final reports on all projects upon conclusion are required. Endowment Grants: The NIMHD will exercise extended monitoring of a participant's annual progress towards meeting the objectives as outlined in the participants five-year strategic plan for prioritizing the use of endowment income. These reports will extend 20 years beyond the last year of a participants project period. Selected financial status reports for continuing projects and final reports on all projects upon conclusion are required. Endowment Grants: The NIMHD will exercise extended monitoring of a participant's annual progress towards meeting the objectives as outlined in the participants five-year strategic plan for prioritizing the use of endowment income. These reports will extend 20 years beyond the last year of a participants project period. Annual progress and selected financial status reports for continuing projects and final reports on all projects upon conclusion are required. Endowment Grants: The NIMHD will exercise extended monitoring of a participant's annual progress towards meeting the objectives as outlined in the participants five-year strategic plan for prioritizing the use of endowment income. These reports will extend 20 years beyond the last year of a participants project period.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$300,000 or more in Federal awards will have a single or a program specific audit conducted for that year. Nonfederal entities that expend less than \$300,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Expenditures and other financial records must be retained three years from the day on which the grantee submits the last financial status report for the report period.

Account Identification:

75-0897-0-1-552.

Obligations:

(Project Grants) FY 12 \$233,932,000; FY 13 Estimate Not Available; and FY 14 Estimate Not Available - (Project Grants) FY 12 \$233,932,000; FY 13 Estimate Not Available; and FY 14 estimate not available- (1) Centers of Excellence (COE) grants: FY 12 \$70,776,000; FY 13 and FY 14 estimate not reported. (2) NIMHD Research Endowment grants: FY 12 \$20,313,000; FY 13 and FY 14 estimate not reported. (3) Building Research Infrastructure and Capacity (BRIC) grants, formerly Research Infrastructure in Minority Institutions (RIMI) grants: FY 12 \$11,159,000; FY 13 and FY 14 estimate not reported. (4) Minority Health and Health Disparities International Research Training (MHIRT) grants: FY 12 \$5,118,000; FY 13 and FY 14 estimate not reported. (5) Community-Based Participatory Research grants (CBPR): FY 12 \$21,941,000; FY 13 and FY 14 estimate not reported. (6) Small Business Innovation Research (SBIR) and (7) Small Business Technology Transfer Grant Applications (STTR): FY 12 \$7,723,000; FY 13 and FY 14 estimate not reported. (8) Health Disparities Research Project Grants (RPG): FY 12 \$18,048,000; FY 13 and FY 14 estimate not reported. (9) Research Centers in Minority Institutions (RCMI): FY 12 \$35,598,000 FY 13 and FY 14 estimate not reported. (10) RCMI Infrastructure for Clinical and Translational Research (RCTR): FY 12 \$19,896,000; FY 13 and FY 14 estimate not reported. (11) RCMI Translational Research Network (RTRN): FY 12 \$3,500,000; FY 13 and FY 14 estimate not reported. (12) Clinical Research Education and Career Development (CRECD) Awards: FY 12 \$1,497,000; FY 13 and FY 14 estimate not reported. (13) Resource-Related Minority Health and Health Disparities Research: FY 12 \$10,008,000; FY 13 and FY 14 estimate not reported. (14) Science Education: FY 12 \$3,086,000; FY 13 and FY 14 estimate not reported. (15) NIH Research Conference Grant and NIH Research Conference Cooperative Agreement Programs: FY 12 \$769,000; FY 13 and FY 14 estimate not reported. (16) Transdisciplinary Collaborative Centers for Health Disparities Research FY 12 \$4,500,000; FY 13 and FY 14 estimate not reported.

Range and Average of Financial Assistance:

(1) Centers of Excellence (COE) grants: total awards made by the NIMHD ranged from \$1,041,552 to \$1,918,234; average \$1,332,993. (2) Endowment grants: total awards made by the NIMHD ranged from \$5,000,000 to \$5,000,000; average \$5,000,000. (3) Building Research Infrastructure and Capacity (BRIC) grants, formerly Research Infrastructure in Minority Institutions (RIMI) grants: total awards made by the NIMHD ranged from \$264,811 to \$939,353; average \$739,385. (4) Minority Health and Health Disparities Research International Research Training (MHIRT) grants: total awards made by the NIMHD ranged from \$149,534 to \$300,464; average \$232,653. (5) Community-Based Participatory Research (CBPR) grants: total awards made by the NIMHD ranged from \$313,866 to \$1,149,674; average \$547,877. (6) Small Business Innovation Research (SBIR) grants: total awards made by the NIMHD ranged from \$148,015 to \$712,641; average \$377,555. (7) Small Business Technology Transfer (STTR) grants: total awards made by the NIMHD ranged from \$74,271 to \$617,784; average \$231,750. (8) Health Disparities Research Project Grants (RPG): total awards made by the NIMHD ranged from \$115,251 to \$469,274; average \$352,382. (9) Research Centers in Minority Institutions (RCMI): total awards made ranged from \$1,383,495 to

\$3,961,667; average \$2,368,861. (10) RCMI Infrastructure for Clinical and Translational Research (RCTR): total awards made ranged from \$3,380,388 to \$4,381,670; average \$3,979,114. (11) RCMI Translational Research Network (RTRN): single award made was \$3,500,000. (12) Clinical Research Education and Career Development (CRECD) Awards: total awards ranged from \$438,296 to \$530,123; average \$499,128. (13) Resource-Related Minority Health and Health Disparities Research: total awards made by the NIMHD ranged from \$356,181 to \$1,290,254; average \$912,949. (14) Science Education Initiative: total awards made by the NIMHD ranged from \$74,247 to \$374,052; average \$280,563. (15) NIH Research Conference Grant and NIH Research Conference Cooperative Agreement Programs: total awards made ranged from \$42,090 to \$108,900; average \$54,916. (16) Transdisciplinary Collaborative Centers for Health Disparities Research: total awards made ranged from \$1,500,000 to \$1,500,000; average \$1,500,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Fiscal Year 2012: NIMHD program accomplishments are as follows: (1) Centers of Excellence (COE) grants: total of 57 awarded grants; (2) Endowment grants: total of 5 awarded grants; (3) Building Research Infrastructure and Capacity (BRIC) grants, formerly Research Infrastructure in Minority Institutions (RIMI) grants: total of 15 awarded grants; (4) Minority Health and Health Disparities Research International Research Training (MHIRT) grants: 22 awarded grants; (5) Community-Based Participatory Research (CBPR) grants: 42 awarded grants; (6) Small Business Innovation Research (SBIR) grants and (7) Small Business Technology Transfer (STTR) grants: total of 21 awarded grants; (8) Health Disparities Research Project Grants (RPG): total of 53 awarded grants. (9) Research Centers in Minority Institutions: total of 16 awarded grants. (10) RCMI Infrastructure for Clinical and Translational Research (RCTR): total of 5 awarded grants. (11) RCMI Translational Research Network (RTRN): one awarded grant. (12) Clinical Research Education and Career Development (CRECD) Awards: total of 3 awarded grants. (13) Resource-Related Minority Health and Health Disparities Research: total of 9 awarded grants. (14) Science Education Initiative: total of 11 awarded grants. (15) NIH Research Conference Grant and NIH Research Conference Cooperative Agreement Programs: total of 15 awarded grants. (16) Transdisciplinary Collaborative Centers for Health Disparities Research: total of 3 awarded grants. FY 13 and FY 14: not available. Fiscal Year 2013: Not available. Fiscal Year 2014: Not available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Pertinent information is contained in 42 USC 271c-31 et. Seq.; 42 CFR 52; 42 CFR 66; 45 CFR 74; 45 CFR 92; NIH Extramural Programs brochure; and miscellaneous program literature from the Headquarters Office. Grants will be available under the authority of and administered in accordance with the NIH Grants Policy Statement and Federal regulations at 42 CFR 52 and 42 USC 241. Additional loan repayment information can be obtained at www.lrp.nih.gov. SBIR and STTR information can also be obtained from the Omnibus Solicitation of the Public Health Service for Small Business Innovation Research (SBIR) Grant and Cooperative Agreement Applications; and the Omnibus Solicitation of the National Institutes of Health for Small Business Technology Transfer (STTR) Grant Applications.

Regional or Local Office:

None.

Headquarters Office:

Francisco S. Sy, MD, DrPH NIH/NIMHD, 6707 Democracy Blvd. Suite 800, Bethesda, Maryland 20892-5465 Email: syf@mail.nih.gov Phone: (301) 402-1366 Fax: 301-480-4049

Website Address:

<http://www.nimhd.nih.gov>. <http://www.nih.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The major elements in evaluating all new grant and renewal grant applications include assessments of: the scientific merit and general significance of the proposed study and its objectives; the technical adequacy of the experimental

design and approach; the competency of the proposed investigator or group to successfully pursue the project; the adequacy of the available and proposed facilities and resources; and the necessity of the budget components requested in relation to the proposed project, and the relevance and importance to the announced program objectives. SBIR and STTR grants: For Phase I grant applications, the criteria used are: the soundness and technical merit of the proposed approach; the qualifications of the proposed principal investigator, supporting staff, and consultants; the technological innovation of the proposed research; the potential of the proposed suitability of the facilities and research environment; and where applicable, the adequacy of assurances detailing the proposed means for safeguarding human or animal subjects and/or protecting against or minimizing any adverse effect on the environment. For Phase II grant applications, the criteria used are: the degree to which the Phase I objectives were met and feasibility demonstrated; the scientific and technical merit of the proposed approach for achieving the phase II objectives; the qualifications of the proposed principal investigator, supporting staff and consultants; the technological innovation, originality, or societal importance of the proposed research; the potential of the proposed research for commercial application; the reasonableness of the budget requested for the work proposed; the adequacy and suitability of the facilities and research environment; and where applicable, the adequacy of assurances detailing the proposed means for safeguarding human or animal subjects and/or protecting against or minimizing any adverse effect on the environment. All persons interested in any of the DHHS/NIH/NIMHD grant and/or contract program initiatives are strongly encouraged to carefully read the Request for Applications as they are announced annually in order to understand what is required for each unique program as well as meet each deadline in a timely manner.

93.308 NATIONAL INSTITUTE ON MINORITY HEALTH AND HEALTH DISPARITIES (NIMHD) EXTRAMURAL LOAN REPAYMENT PROGRAMS

NIMHD Loan Repayment Programs

FEDERAL AGENCY:

National Institutes of Health, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act; Title IV, Subtitle D, Part G, Section 487E, as amended; Public Law 106-554; 42 U.S.C. 288-5. , Title IV, Part G, Section 487E, Public Law 106-554, 42 U.S.C 288-5.

OBJECTIVES:

The mission of the National Institute on Minority Health and Health Disparities (NIMHD) is to lead scientific research to improve minority health and eliminate health disparities. To accomplish this, NIMHD 1) Plans, reviews, coordinates, and evaluates all minority health and health disparities research and activities of the National Institutes of Health; 2) Conducts and supports research in minority health and health disparities; 3) Promotes and supports the training of a diverse research workforce; 4) Translates and disseminates research information; 5) Fosters innovative collaborations and partnerships.

There are two separate types of loan repayment within NIMHD's Loan Repayment Program: (1) Health Disparities Research Loan Repayment Program (LRP-HDR): recruits and retains highly qualified health professionals to research careers that focus on minority health disparities research or other health disparities research and the (2) Extramural Clinical Research Loan Repayment Program for Individuals from Disadvantaged Backgrounds (LRP-IDB), recruits and retains highly qualified health professionals from disadvantaged backgrounds to conduct clinical research.

The objective of these extramural loan repayment programs are to recruit and retain qualified health professionals including those from health disparity populations and those from disadvantaged backgrounds to conduct minority health disparities research, other health disparities research, and clinical research.

(1) Health Disparities Research may pertain to basic, clinical, or behavioral research on a health disparity population (including individual members and communities of such populations), that relate to health disparities, including the causes of health disparities and methods to prevent, diagnose, and treat such

disparities.

(2) Clinical research consists of patient-oriented clinical research conducted with human subjects, or research on the causes and consequences of disease in human populations involving material of human origin (such as tissue specimens and cognitive phenomena) for which the investigator or colleague directly interacts with human subjects in an outpatient or inpatient setting to clarify a problem in human physiology, pathophysiology or disease, or epidemiologic or behavioral studies, outcomes research or health services research, or developing new technologies, therapeutic interventions, or clinical trials.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

To provide repayment of existing educational loan debt incurred by health professionals including those from health disparity populations and those from disadvantaged backgrounds to conduct minority health disparities research and clinical research. Recipients must execute a written contract agreeing to engage in such research for a minimum of two years. The Secretary of the Department of Health and Human Services, or the Secretary's designee, may grant extension contracts for a one-year or two-year period after the completion of the initial two-year contract, provided that the participant applies for an extension, continues to engage in qualified research, and there is sufficient repayable debt remaining. The maximum program benefit is \$35,000 per year in loan repayment.

Program payments are made directly to the participants' lenders following each quarter and a verification of satisfactory research service, unless otherwise agreed upon by the participant and the Director, NIMHD.

Applicant Eligibility:

The following eligibility requirements applies to both: (1) Extramural Loan Repayment Program for Health Disparities Research (LRP-HDR); and (2) Extramural Clinical Research Loan Repayment Program for Individuals from Disadvantaged Backgrounds (LRP-IDB).

An applicant must: (1) be a United States citizen, national, or permanent resident; (2) have an M.D., Ph.D., Pharm.D., D.D.S., D.M.D., D.C., N.D., or equivalent doctoral degree from an accredited institution; (3) conduct research that is supported by a non-profit institution, foundation, professional society or U.S. government entity, (4) commit 50 percent or more of his/her time to clinical research; (5) have total qualifying educational debt greater than or equal to 20 percent of his/her institutional base salary at the time of award; (6) conduct research in accordance with applicable Federal, state and local law; (7) not be under any existing service obligations to Federal, State, or other entities, unless and until the existing service obligation is discharged or deferred during the period of program service; (8) not have Federal judgment liens against their property arising from a Federal debt from receiving Federal funds until all judgments have been paid in full or otherwise satisfied; (9) not be a full-time employee of a Federal government agency. Part-time Federal employees who engage in qualifying research as part of their non-Federal duties are eligible to apply for loan repayment if they meet all other eligibility requirements; and (10) have the institution provide an assurance that the applicant will be provided research support for two years, that the applicant will engage in qualified clinical research for 50 percent of his/her time, that the funding foundation, professional society, or institution is considered to be non-profit pursuant to Section 501 of the Internal Revenue Code or is a U.S. government entity, and certify the applicant's annual institutional base salary.

There are additional requirements for the Extramural Clinical Research Loan Repayment Program for Individuals from Disadvantaged Backgrounds (LRP-IDB). Eligible applicants must come from an disadvantaged background and adhere to the following guidelines relating to the definition of a disadvantaged background:

An Individual from disadvantaged background means an individual who:
(1) Comes from an environment that inhibited the individual from obtaining the knowledge, skill and ability required to enroll in and graduate from a health

professions school; or

(2) Comes from a family with an annual income below a level based on low-income thresholds according to family size published by the U.S. Bureau of the Census, adjusted annually for changes in the Consumer Price Index, and adjusted by the Secretary for use in all health professions programs. The Secretary periodically publishes these income levels in the Federal Register.

Beneficiary Eligibility:

(1) Extramural Loan Repayment Program for Health Disparities Research (LRP-HDR); and (2) Extramural Clinical Research Loan Repayment Program for Individuals from Disadvantaged Backgrounds (LRP-IDB) contracts: Qualified health professionals who conduct minority health or other health disparities research or highly qualified health professionals from disadvantaged backgrounds who will conduct clinical research and who possess substantial unpaid educational debt relative to income.

Credentials/Documentation:

An applicant to either NIMHD extramural LRP program must submit the following documentation electronically: (1) Applicant Information Statement; (2) Biosketch; (3) Personal Statement, which includes a discussion of career goals and academic objectives; (4) Description of Research Activities; (5) Contact Information of Three Recommenders; (6) Contact Information of Institution Official able to serve as the Institutional Contact; (7) On-line Certification; (8) Loan Information; (9) Notice of Grant/Award (or PHS 2271 for T32 recipients), if applying based on NIH support; and in addition for those applying to the Extramural Clinical Research Loan Repayment Program for Individuals from Disadvantaged Backgrounds (LRP-IDB) applicants must submit a Certification of Disadvantaged Background [see Federal Register: April 24, 2009 (Volume 74, Number 78)].

The Research supervisor or mentor of applicants to both NIMHD extramural LRP programs must electronically submit the following: (1) Recommendation; (2) Biosketch; (3) Assessment of the Research Activities Statement submitted by the applicant; (4) Description of the Research Environment; and (5) Training or Mentoring Plan. Recommenders must submit their recommendations electronically. Institutional Contacts must electronically submit a certification that: (1) assures the applicant will be provided the necessary time and resources to engage in the research project for two years from the date a Loan Repayment Program Contract is executed; (2) assures that the applicant is or will be engaged in qualifying research for 50 percent of his/her time, i.e., not less than 20 hours per week; (3) certifies that the funding foundation, professional society, or institution is considered to be non-profit as provided under Section 501 of the Internal Revenue Code (26 U.S.C. 501) or is a U.S. government entity (Federal, state or local); and (4) provides the applicant's institutional base salary. Requirements for the loan repayment application, OMB Approval No. 0925-0361, are specified in the electronic application form located at www.lrp.nih.gov. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. An application for participation in the program can be obtained from www.lrp.nih.gov and should be submitted to the NIH office, which is responsible for the receipt of applications, in such form and manner as the Secretary or the authorized designee may prescribe.

Award Procedure:

Each eligible application will be evaluated for scientific and technical merit by an appropriate Scientific Review Group in accordance with NIH policies and procedures. Awards will be made to meritorious applications, subject to the receipt of an appropriation and/or allocation of funds from the United States Congress, the NIH and/or NIMHD. All selections are subject to final approval by the Secretary or the authorized designee, the Director of NIMHD.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

> 180 Days. The approximate time for approval/disapproval is 6-8 months.

Appeals:

Not Applicable.

Renewals:

(1) Extramural Loan Repayment Program for Health Disparities Research (LRP-HDR); and (2) Extramural Clinical Research Loan Repayment Program for Individuals from Disadvantaged Backgrounds (LRP-IDB) contracts: Prior to the expiration of a participant's current award, the participant may apply for a one- or two-year extension provided the participant continues to engage in qualified clinical research or minority health or other health disparities research and has outstanding repayable debt remaining. Health Disparities Loan Repayment and Disadvantaged Individuals Loan Repayment contracts renewal applications are reviewed and selected for funding on a competitive basis.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. This program has no statutory formula or matching requirements.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

An initial contract provides assistance for a two-year period, and an extension contract provides assistance for a one-year or two-year period. Program payments will be made directly to lenders following each quarter of the participant's satisfactory service, unless otherwise agreed upon by the participant and Secretary or authorized designee. Method of awarding/releasing assistance: quarterly.

Reports:

Standard annual report from the NIH Division of Loan Repayment. Cash reports are not applicable. Participants must be periodically certified to have satisfactorily performed the duties of their positions. No expenditure reports are required. Participants must be periodically certified to have satisfactorily performed the duties of their positions.

Audits:

Not Applicable.

Records:

The NIMHD will retain applicant records for three years after rejection and participant records for six years after completion of final service obligation.

Account Identification:

75-0897-0-1-552.

Obligations:

(Direct Payments for Specified Use) FY 12 \$10,816,000; FY 13 Estimate Not Available; and FY 14 Estimate Not Available - FY 12 \$10,816,000; FY 13 and FY 14 Estimates Not Available - (1) Extramural Loan Repayment Program for Health Disparities Research (LRP-HDR) contracts: FY 12 \$9,935,000; FY 13 and FY 14 estimates not reported. (2) Extramural Clinical Research Loan Repayment Program for Individuals from Disadvantaged Backgrounds (LRP-IDB) contracts: FY 12 \$881,000; FY 13 and FY 14 estimates not reported.

Range and Average of Financial Assistance:

(1) FY 12 Extramural Loan Repayment Program for Health Disparities Research (LRP-HDR) contracts: total awards made by the NIMHD ranged from \$4,070 to \$97,300; average \$40,968. (2) Extramural Clinical Research Loan Repayment Program for Individuals from Disadvantaged Backgrounds (LRP-IDB) contracts: total awards made by the NIMHD ranged from \$9,216 to \$97,300; average \$46,347.

(2) FY 13 and FY 14 estimates not available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: FY 12: (1) Extramural Loan Repayment Program for Health Disparities Research (LRP-HDR): A total of 553 applications were received and out of those, 242 were awarded; with a success rate of 44%. (2) Extramural Clinical Research Loan Repayment Program for Individuals from

Disadvantaged Backgrounds (LRP-IDB): A total of 34 new applications were received and out of those, 18 were awarded; with a success rate of 53%. FY 13 and FY 14 estimates are not available. Fiscal Year 2013: Estimate not available. Fiscal Year 2014: Estimate not available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Pertinent information is contained in Public Law 106-554; Section 487E of the Public Health Service Act (42 U.S.C. 288-5).

Regional or Local Office:

None.

Headquarters Office:

Francisco S. Sy, MD, DrPH NIH/NIMHD, 6707 Democracy Blvd. Suite 800, Bethesda, Maryland 20892-5465 Email: syf@mail.nih.gov Phone: (301) 402-1366 Fax: 301-480-4049

Website Address:

<http://www.nimhd.nih.gov>

RELATED PROGRAMS:

93.162 National Health Service Corps Loan Repayment Program; 93.209 Contraception and Infertility Research Loan Repayment Program; 93.923 Disadvantaged Health Professions Faculty Loan Repayment (FLRP) and Minority Faculty Fellowship Program (MFFP); 93.936 National Institutes of Health Acquired Immunodeficiency Syndrome Research Loan Repayment Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The major elements in evaluating applications/proposals include the assessment of the following: (1) personal statement; (2) proposed research project and its scientific merit (priority will be given to biomedical and behavioral researchers); (3) training plan and environment (which includes the research program, the facilities/environment, the mentor/advisor's training, the applicant's training); (4) loan debt ratio and any associated impediments that may affect the applicant's decision to pursue a research career; and (5) references.

93.310 TRANS-NIH RESEARCH SUPPORT

Common Fund Research Support

FEDERAL AGENCY:

National Institutes of Health, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Title IV, Section 301.

OBJECTIVES:

To provide support for new initiatives designed to address major opportunities and gaps in biomedical research that no single NIH institute could address alone, but which the agency as a whole can pursue to stimulate the progress of biomedical research and to catalyze changes that will serve to transform new scientific knowledge into tangible benefits for public health. Information on recent and current initiatives is available at <http://commonfund.nih.gov/>.

TYPES OF ASSISTANCE:

PROJECT GRANTS; TRAINING

USES AND USE RESTRICTIONS:

To support research and other projects that will accelerate fundamental biomedical discovery and translation of that knowledge into effective prevention strategies and new treatments.

Applicant Eligibility:

Awards can be made to domestic, public or private, for-profit or nonprofit organization, university, college, hospital, laboratory, or other institution, including State and local units of government, and individuals. Some initiatives will accept applications from foreign organizations. Additional details on eligibility are specified in the Common Fund Grants and Funding Opportunities funding announcement, listed at <http://commonfund.nih.gov/>.

Beneficiary Eligibility:

Institutions as described above.

Credentials/Documentation:

Applications must be submitted in compliance with instructions provided with each initiative. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Prepare and submit applications according to instructions provided in the applicable Common Fund Grants and Funding Opportunities announcement, located at <http://commonfund.nih.gov/>. This program is subject to the provisions of 45 CFR 74 including applicable federal cost principles as referenced, the NIH Grants Policy Statement (rev. 11/2003), and the provisions in the funding announcement. NIH is transitioning to an electronic application by grant mechanism. Details, including the appropriate application form, can be found at <http://era.nih.gov/ElectronicReceipt/>.

Award Procedure:

Applications are reviewed for scientific and technical merit by a scientific review group and for program relevance by a national advisory council/board.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

The length of the review cycle is four to nine months.

Appeals:

A principal investigator may question the substantive or procedural aspects of the review of his/her application by communicating with the NIH Scientific/Research contact, as provided on an initiative. A description of the NIH Peer Review Appeal procedures is available on the NIH Web site at <http://grants.nih.gov/grants/guide/notice-files/not97-232.html>.

Renewals:

Renewal (competing continuation, type 2) applications to Common Fund programs are not accepted unless specifically accepted by NIH staff.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Almost all awards are made for at least one year, with additional support (up to four more years) depending on the recommendation of the scientific review group, the national advisory council, successful annual performance, and availability of funds. See the following for information on how assistance is awarded/released: drawn from established accounts.

Reports:

Annual progress reports and selected financial reports are required for continuing projects. Final progress reports, invention statements, and expenditure reports are required at the end of the projects. Cash reports are not applicable. Annual progress reports and selected financial reports are required for continuing projects. Final progress reports, invention statements, and expenditure reports are required at the end of the projects. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees generally must retain financial and programmatic records, supporting documents, statistical records, and all other records that are required by the terms of a grant, or may reasonably be considered pertinent to a grant, for a period of 3 years from the date the annual FSR is submitted. For awards under SNAP (other than those to foreign organizations and Federal institutions), the 3-year retention period will be calculated from the date the FSR for the entire competitive segment is submitted. Those grantees must retain the records pertinent to the entire competitive segment for 3 years from the date the FSR is submitted to NIH. Foreign organizations and Federal institutions must retain records for 3 years from the date of submission of the annual FSR to NIH. See 45 CFR 74.53 and 92.42 for exceptions and qualifications to the 3-year retention requirement (e.g., if any litigation, claim, financial management review, or audit is started before the expiration of the 3-year period, the records must be retained until all litigation, claims, or audit findings involving the records have been resolved and final action taken). Those sections also specify the retention period for other types of grant-related records, including F&A cost proposals and property records. See 45 CFR 74.48 and 92.36 for record retention and access requirements for contracts under grants. In accordance with 45 Code of Federal Regulations, Part 74.53(e), the HHS Inspector General, the U.S. Comptroller General, or any of their duly authorized representatives have the right of timely and unrestricted access to any books, documents, papers, or other records of recipients that are pertinent to awards in order to make audits, examinations, excerpts, transcripts, and copies of such documents. This right also includes timely and reasonable access to a recipients personnel for the purpose of interview and discussion related to such documents. The rights of access are not limited to the required retention period, but shall last as long as records are retained.

Account Identification:

75-0846-0-1-552.

Obligations:

(Project Grants) FY 12 \$544,930,000; FY 13 est \$544,930,000; and FY 14 est \$513,475,595

Range and Average of Financial Assistance:

\$10,000 to \$15,462,500 with the average being \$737,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices. Director of the Office of Strategic Coordination, phone 301-402-7617.

Headquarters Office:

Elizabeth Wilder Building One, Room 201, Bethesda, Maryland 20892 Email: Elizabeth.Wilder@nih.gov Phone: (301) 402-7617 Fax: (301) 435-7268.

Website Address:

<http://commonfund.nih.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Funding decisions are based on scientific merit (42 CFR 52h) and program relevance. Scientific merit review encompasses: the peer review criteria detailed in the specific funding announcement, as well as the significance, approach, and innovation of the proposed research; the competency of the principal investigator; the adequacy of the resources and environment; the proposed budget and requested period of support; and, where applicable, the adequacy of the measures for protecting against adverse effects upon humans, animals, or the environment.

93.311 MOBILIZATION FOR HEALTH: NATIONAL PREVENTION PARTNERSHIP AWARDS (NPPA)

Mobilization For Health: National Prevention Partnership Awards (NPPA)

FEDERAL AGENCY:

Office of the Secretary, Department of Health and Human Services

AUTHORIZATION:

Department of Health and Human Services, Office of the Assistant Secretary for Health, National Vaccine Program Office, Executive Order Section 1702(a) [42 U.S.C. 300u-1(a)] and Section 1703(a) and (c) [42 U.S.C. 300u-2(a) and (c)] of the Public Health Service Act, as amended.

OBJECTIVES:

The purpose of the program is to conduct and support research programs and to conduct and support programs in health promotion, disease preventive health services, education and training, and communications to support such work by private non-profit entities.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

The program would be targeted on efforts related to preventive or educational or wellness services focused on projects (pilot/demonstration projects) to include children pregnant, diverse and adult populations designed to decrease infectious diseases by stimulating immunization rate improvements. A wide range of evidence-based programs are eligible for these funds. Grants are available to funding medically accurate and age appropriate programs that reduce health disparities in infectious disease. Of the \$7.7 million appropriated, \$1 million must be used for programs that have been proven effective through rigorous evaluation to regional health community grants to develop, educate, train, and communicate strategies for health promotion and disease prevention in the 10 regional health districts of the US. Funds are to support reasonable program purposes including staffing, supplies, and services. Grant funds may not be used for: Building alterations or renovations; Construction; Fund-raising activities; Job training; Political education and lobbying; Vocational rehabilitation. Estimated Funds Available for Competition: \$7.7 million Anticipated Number of Awards: Category A 20 awards; Category B 15 Awards

Range of Awards: Award range A: \$50,000 to 75,000

Award range B: Between \$50,001 and \$500,000.

Applicant Eligibility:

Public (including city, county, regional, and State government) organizations and private nonprofit entities.

Beneficiary Eligibility:

All children, women (including pregnant women), and adults, including those underserved and minority populations in the US.

Credentials/Documentation:

A nonprofit private entity must provide evidence of its nonprofit status. Allowability of costs charged to the grant will be determined in accordance with the following Cost Principles: OMB Circular No. A-21 for educational institutions, OMB Circular No. A-122 for nonprofit organizations. Faith based organizations are eligible to apply. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. To apply, access the announcement thru www.grants.gov. Enter the CFDA# and follow the website instructions. Applications submitted after the deadlines will not be accepted for review. The submission deadline will not be extended. Applications which do not conform to the requirements of the grant announcement will not be accepted for review and will be returned to the applicant. Applications may only be submitted electronically via www.grants.gov. Any applications submitted via any other means of electronic communication, including facsimile or electronic mail, will not be accepted for review.

Award Procedure:

Applications will be screened upon receipt. Those that are judged to be

ineligible will be returned without comment. Accepted applications will be reviewed for technical merit in accordance with PHS policies. Applications will be evaluated by a technical review panel composed of experts. Final funding decisions will be determined by the OASH OWH, OMH, NVPO Office Director; taken into consideration are the recommendations of the review panel; program needs, stated preferences; geographic location; and recommendations of DHHS Regional Health Administrators. All applicants are notified in writing of actions taken on their applications. A Notice of Grant Award is issued for those applications that are approved and funded.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Renewal awards may be approved pending availability of funding, and evidence of satisfactory progress and compliance.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

CATEGORY A (\$50,000 to \$75,000) Funding (1year, including a 12 month option to extend to 24 months)

CATEGORY B (not to exceed \$500,000) Funding (3 year project period)

Annual increments by budget period for Project A and B.

Grants may not exceed 3 years (subject to the availability of funds). Payments will be made either on a monthly cash request basis or under the Electronic Transfer System. Necessary instructions for the appropriate type of payment will be issued at the time an award is made. Method of awarding/releasing assistance: by letter of credit.

Reports:

See FOA. Each grantee will submit yearly program reports on progress to the project officer. NEW FINANCIAL REPORTING REQUIREMENT Federal Financial Reporting (FFR) SF 425: As of February 1, 2011, the Department of Health and Human Services (DHHS) will begin the transition from use of the SF-269, Financial Status Report (Short Form or Long Form) to the use of the SF-425 Federal Financial Report for expenditure reporting. SF-269s will no longer be accepted for expenditure reports due after that date. If an SF-269 is submitted, the Office of the Assistant Secretary for Health, Office of Grants Management will return it and require the recipient to complete the SF-425. Copies of the SF-425 can be found on the website at: http://www.whitehouse.gov/sites/default/files/omb/assets/grants_forms/SF-425.pdf. 1. Grantees must submit quarterly Federal Financial Reports due on one of the standard due dates below by which cash reporting is required to be submitted to PMS or at the end of a calendar quarter. The FFR is due 30 days after the end of each calendar quarter. 2. Also, grantees must submit an annual Federal Financial Report due 90 days after the end of the budget period/project period end date. Quarterly, Annual Progress and Final Report. A revised work plan must be submitted each year to indicate any service and/or partnership revisions. Expenditure reports are not applicable. A final performance report is due 90 days following the end of the project period.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

HHS and the Comptroller General of the United States or any of their

authorized representatives, shall have the right of access to any books, documents, papers, or other records of a grantee, sub-grantee, contractor, or subcontractor, which are pertinent to the HHS grant, in order to make audits, examinations, excerpts and transcripts. In accordance with 45 CFR, Part 74.53 and 45 CFR, Part 92, grantees are required to maintain grant accounting records 3 years after the end of a project period. If any litigation, claim, negotiation, audit or other action involving the records has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0120-0-1-551.

Obligations:

(Cooperative Agreements) FY 12 \$0; FY 13 est \$0; and FY 14 est \$7,700,000 - New Program.

Range and Average of Financial Assistance:

Estimated Funds Available for Competition: \$7.7 million
Anticipated Number of Awards: Category A 20 awards; Category B 15 Awards
Range of Awards: Award range A: \$50,000 to 75,000
Award range B: Between \$50,001 and \$500,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices. Regional Offices will be identified separately.

Headquarters Office:

Brenda C. Donaldson Tower Building
1101 Wootton Parkway, Suite 550, Rockville, Maryland 20852 Email:
Brenda.Donaldson@hhs.gov Phone: 2404538442

Website Address:

http://www.hhs.gov/ash/public_health/indexph.html

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Please see FOA.

93.313 NIH OFFICE OF RESEARCH ON WOMEN'S HEALTH

(NIH/ORWH)

FEDERAL AGENCY:

National Institutes of Health, Department of Health and Human Services

AUTHORIZATION:

NIH Revitalization Act of 1993, Public Law 107-103-43, 22 Stat. , U.S.C 289 (A)(1).

OBJECTIVES:

ORWH will identify projects on women's health that should be conducted or supported by national research institutes; identify multi-disciplinary research related to research on women's health that should be conducted or supported; and promote coordination and collaboration among entities conducting research.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

To support research and other projects that will accelerate biomedical and behavioral discovery and translation of that knowledge into effective prevention strategies and new treatments. Awards are limited by availability of funds. Specific restrictions are outlined in each funding opportunity announcement.

Applicant Eligibility:

Awards can be made to domestic, public or private, non-profit or profit organization, university, hospital, laboratory, or other institution including state and local units of government and individuals. Some initiatives will accept applications from foreign organizations. Additional details on eligibility will be specified in the funding opportunity announcement.

Beneficiary Eligibility:

Institutions as described above.

Credentials/Documentation:

Applications must be submitted in compliance with instructions provided with each initiative. This program is excluded from coverage under OMB Circular A-87. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

Competitive peer reviewed applications are reviewed for scientific and technical merit by a scientific review group; and for program relevance by a national advisory council/board. Administrative supplements are reviewed for merit and relevance by NIH scientific staff.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days.

Appeals:

A principal investigator may question the substantive or procedural aspects of his/her application by communication with the NIH scientific/research contact as provided in the initiative.

Renewals:

Administrative supplements are not renewable. Other programs may be renewable and applicants must review the funding opportunity announcement for further guidance.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Almost all awards are made for at least one year. Applicants should review to the specific funding opportunity announcement for further detail. Method of awarding/releasing assistance: lump sum.

Reports:

Program reports are not applicable. Cash reports are not applicable. Applicants must submit annual progress reports and selected financial reports. Final progress reports, invention statements and expenditure reports are required at the end of the project. Cash reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees must generally retain financial and programmatic records, supporting

documents, statistical reports and all other records that are required by the terms of a grant or may reasonably be considered pertinent to a grant for a period of 3 years from the date the annual FSR is submitted. For awards under SNAP (other than those to foreign organizations and Federal institutions), the 3-year retention period will be calculated from the date the FSR is submitted. Those grantees must maintain the records pertinent to the entire competitive segment for 3 years from the date of submission of the annual FSR to NIH. Foreign organizations and Federal institutions must retain records for 3 years from the date of the annual FSR to NIH. See 45 CFR 74.53 and 92.42 for exceptions and qualifications to the 3-year retention requirement (e.g., if any litigation, claim, financial management review, or audit is started before the expiration of the 3-year period, the records must be retained until all litigation, claims or audit findings involving the records have been resolved and final action taken). Those sections also specify the retention period for other types of grant-related records, including F&A cost proposals and property records. See 45 CFR 74.48 and 92.36 for record retention and access requirements for contracts under grants. In accordance with 45 Code of Federal Regulations, Part 74.53(e), the HHS Inspector General, the U.S. Comptroller General, or any of their duly authorized representatives have the right of timely and unrestricted access to any books, documents, papers, or other records of recipients that are pertinent to awards in order to make audits, examinations, excerpts, transcripts, and copies of such documents. This right also includes timely and reasonable access to a recipient's personnel for the purpose of interview and discussion related to such documents. The rights of access are not limited to the required retention period, but shall last as long as records are retained.

Account Identification:

75-1208-4-6-000.

Obligations:

(Salaries) FY 12 Not Available; FY 13 est \$4,362,322; and FY 14 Estimate Not Available(Exp: PA-13-018 was for FY 2013 only.) - This CFDA number will be used for all future ORWH-created funding opportunity announcements. The \$3.5M figure represents the total research funding expected for PA-13-018, to be funded in FY 2013 only. The FY 2013 estimated amount, \$4,362,322, for the administrative supplements (PA-13-018) is current as of September 11, 2013. The FY 2012 figure of \$35 million relates to the total ORWH research budget in that fiscal year.

Range and Average of Financial Assistance:

The maximum funding under PA-13-018 was \$100,000 for any single award.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Not applicable as PA-13-018 was not issued during FY2012. Fiscal Year 2013: PA-13-018 was successfully implemented and completed in FY 2013. Administrative Supplement applications were received by the NIH institutes and centers, and reviewed for scientific merit. One or more awards were made to 21 NIH institutes and centers. Fiscal Year 2014: PA-13-018 was only issued for FY 2013.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None. Office of Research on Women's Health 301 402-1770.

Headquarters Office:

Lisa Begg, Dr.P.H., R.N. 6707 Democracy Blvd., Suite 400, Bethesda, Maryland 20892 Email: beggl@od.nih.gov Phone: 301-402-1770 Fax: 301-402-1798

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.338 FOREIGN PUBLIC HEALTH CONSTRUCTION

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act (PHSA), Section 307(b)(10), 42 U.S.C. 2421, as amended., Title PHS, Section 307b10.

OBJECTIVES:

PHSA Section 307(b)(10) authorizes the award of grants or cooperative agreements to public or nonprofit private institutions or agencies in foreign countries to acquire, lease, construct, alter, or renovate facilities in their country in furtherance of activities authorized under Public Health Service Act Section 307(a), which includes: (1) biomedical research, health care technology, and the health services research and statistical analysis authorized under 42 U.S.C. Section 242k and 42 U.S.C. Subchapter VII; and (2) biomedical research, health care services, health care research, or other related activities in furtherance of the activities, objectives or goals authorized under the Tom Lantos and Henry J. Hyde United States Global Leadership Against HIV/AIDS, Tuberculosis, and Malaria Reauthorization Act of 2008, 22 U.S.C. 7601. Awards are limited to real property projects, and can not include any other CDC assistance program activities.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Support for Construction Projects, Modernization Projects, and Minor Alteration and Renovation Projects only. Construction Projects include construction of a new building of any dollar value, alteration and renovation of any dollar value that changes the footprint of an existing building, acquisition of an existing building of any dollar value, and permanently-installed trailers and modular units. Modernization Projects are limited to alteration and renovation that does not change the footprint of an existing building, and is limited to a single project in excess of \$500,000 or an aggregate of multiple projects for a single facility that total in excess of \$500,000. Minor Alteration and Renovation Projects are limited to alteration and renovation that does not change the footprint of an existing building, and is limited to a single project of \$500,000 or less or an aggregate of multiple projects for a single facility that total \$500,000 or less. All projects are subject to requirements for recipient responsibilities, project manager duties, property title and insurance, project signage, fixed equipment, budget, international use of funds, construction assurance statement, and public policy. Prior approval of individual project design and specifications, contracts, and phases is required. Funding is accompanied by a liability disclaimer. Technical assistance may be provided. Construction and Modernization Projects are subject to additional requirements for notice of federal interest, leased facilities, mortgages, construction insurance, allowable costs, completion, post-completion facility usage, property disposal, and real property status reporting.

Applicant Eligibility:

An eligible applicant must: (1) Be a public or nonprofit private institution or agency in a foreign country; and (2) Be located in the same foreign country as the Project. An eligible project must: (1) Be located in the same foreign country as the Recipient; (2) Be limited to approved Construction, Modernization, and Minor Alteration and Renovation activities; and (3) Result upon completion is a facility that is for use by recipient personnel only, and not for use by any U.S. Government personnel; and (4) Fulfill a purpose of Public Health Service Act Section 307(a). Sub-recipients are not authorized.

Beneficiary Eligibility:

An eligible applicant must: (1) Be a public or nonprofit private institution or agency in a foreign country; and (2) Be located in the same foreign country as the Project. An eligible project must: (1) Be located in the same foreign country as the Recipient; (2) Be limited to approved Construction, Modernization, and Minor Alteration and Renovation activities; and (3) Result upon completion is a facility that is for use by recipient personnel only, and not for use by any U.S. Government personnel; and (4) Fulfill a purpose of Public Health Service Act Section 307(a). Sub-recipients are not authorized.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from

coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Applicants must download application forms from www.Grants.gov. Applications must be submitted electronically at www.Grants.gov. Applicants that do not have access to the Internet or have difficulty accessing the forms on-line should contact the CDC Procurement and Grants Office, Technical Information Management Section (PGO-TIMS), Telephone (770)488-2700, Email: PGOTIM@cdc.gov.

Applicants must be responsive to the specific requirements in the funding opportunity announcement. Application forms available at www.Grants.gov include the SF 424 (Application for Federal Assistance); the SF 424-C (Budget Information - Construction Programs); the SF 424-D (Assurances - Construction Programs); the GG Lobbying Form (Certification Regarding Lobbying for applications over \$100,000); the SF-LLL Disclosure of Lobbying Activities (for applications over \$100,000, if required); the Project Narrative Attachment; and the Budget Narrative Attachment. OMB Circular No. A-102 does not apply.

Award Procedure:

Applications that are complete and responsive will be evaluated for technical merit and receive support. CDC will not review incomplete or non-responsive applications. Applications that are complete and responsive will undergo an objective review process, receive a written critique, and be scored according to the published review criteria. Successful applicants will receive a Notice of Award (NOA) from the CDC Procurement and Grants Office. The NOA shall be the only binding, authorizing document between the recipient and CDC. The NOA will be signed by an authorized Grants Management Officer.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 90 to 120 days. Applications are due by the date established in the funding opportunity announcement.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. This program has no matching requirements. This program has no statutory formula. This program has no matching requirement. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Individual projects will be incrementally funded in phases with lump sum awards. The initial project period will not exceed five years. Payments are made on an advance or reimbursement basis, as specified in the award. Method of awarding/releasing assistance: lump sum.

Reports:

Program reports are not applicable. Cash reports are not applicable. Progress reports are required as part of the review and approval process for individual project phases. For completed Construction and Modernization Projects, an annual Real Property Status Report is required for the duration of federal interest in the project. Financial Status Reports on expenditures for each budget period and for the overall project period are required. A quarterly Cash Transaction Report is required. A quarterly Cash Transaction Report is required. As noted in the Funding Opportunity Announcement.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. In

accordance with HHS policy, foreign recipients are subject to the same audit requirement as commercial organizations specified in 45 CFR 74.26(d).

Records:

Record retention and access requirements for records are specified in 45 CFR 74.53.

Account Identification:

75-0955-0-1-550.

Obligations:

(Cooperative Agreements) FY 12 \$0; FY 13 est \$1,000,000; and FY 14 est \$299,500 - FY10 - \$2,000,000 Actual (Haiti); FY11 - \$2,000,000,000 Actual (Haiti); FY12 - \$1,000,000 Estimate (Lesotho).

Range and Average of Financial Assistance:

New program with no awards to date.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 74. HHS Grants Policy Statement (<http://www.ahrq.gov/fund/hhspolicy.htm>).

Regional or Local Office:

None.

Headquarters Office:

Valerie Naglich CGH
1600 Clifton Rd NE (Mailstop E39), Atlanta, Georgia 30333 Email: VNaglich@cdc.gov Phone: (404) 639-6481

Website Address:

<http://www.cdc.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be evaluated based on the criteria cited in the funding opportunity announcements.

93.339 PUBLIC HEALTH CONFERENCE SUPPORT

Centers for Disease Control and Prevention (CDC)
National Center for HIV, Viral Hepatitis, Sexually Transmitted Disease and Tuberculosis Prevention (NCHSTP) Public Health Conference Support

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

This program is authorized under the Public Health Service Act, section 301(a), 42 U.S.C. 241(a), as amended and section 317(a), 42 U.S.C. 247b (a), as amended.

OBJECTIVES:

Program activities under this funding will allow state and local governments, their Bona Fide Agents, non-governmental organizations and the general public to request funds for partial support for public health conferences related to the health promotion, education and prevention of HIV, Viral Hepatitis, STD, and TB Prevention.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Project funds may be used for costs associated with reasonable program planning, including personnel, travel, supplies, and services such as contractual. Project funds may not be used for research, clinical care, or generally for the purchase of furniture or equipment. Reimbursement of pre-award costs is not allowed.

Applicant Eligibility:

N/A.

Beneficiary Eligibility:

N/A.

Credentials/Documentation:

Allowable costs will be determined in accordance with OMB Circular No. A-87 for State, Local, and Indian Tribal governments. OMB Circular A102 is applicable. Allowable cost will be applicable to; A-21 Educational Institutions, A-122 Non-Profit Organizations and A-110. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

Successful applicants will receive a Notice of Award (NoA) from the CDC Procurement and Grants Office. The NoA shall be the only binding, authorizing document between the recipient and CDC. The NoA will be signed by an authorized Grants Management Officer and emailed to the program director and a hard copy mailed to the recipient fiscal officer identified in the application.

Unsuccessful applicants will receive notification of the results of the application review by mail.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Renewals will be based upon the conditions in the funding announcement.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Financial assistance is available for a 12-month budget period. Method of awarding/releasing assistance: lump sum.

Reports:

The reporting schedule will be based on the requirements listed in the funding announcement. In most cases, funded applicants must provide an interim and annual progress report. Where applicable, the interim progress report is due no less than 90 days before the end of the specified budget period. Where applicable, the Interim Progress Report (IPR) will serve as the non-competing continuation application. Cash reports are not applicable. Final financial status and progress reports are due no later than 90 days after the end of the project period. Financial Status Reports (SF 269) are required no more than 90 days after the end of the budget period. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the program shall be retained for a minimum of 3 years, or

until completion and resolution of any audit in process or pending resolution. In all cases records must be retained until resolution of any audit questions. Property records must be retained in accordance with 45 CFR 92.42.

Account Identification:

75-0950-0-1-550.

Obligations:

(Formula Grants (Cooperative Agreements)) FY 12 \$289,500; FY 13 est \$300,000; and FY 14 Estimate Not Available(Exp: It is anticipated that 5-6 organ) - It is anticipated that 5-6 organizations may be funded in FY 2014 should HHS regulations regarding conferences be revised.

Range and Average of Financial Assistance:

Awards will range from approximately \$25,000 to \$125,000 with an average of approximately \$30,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Five (5) organizations were selected to receive funding in FY 2012. Fiscal Year 2013: In FY 2013 up to 5 organizations may be awarded; however, due to Congressional sequestration and restrictions on conferences, funding to support requests submitted under this program will not be funded at this time. Applications will be held for twelve (12) months from during which time should HHS regulations regarding conferences be revised 5 to 6 applications may be reconsidered for funding. Fiscal Year 2014: It is anticipated that 5-6 organizations may be funded in FY 2014 should HHS regulations regarding conferences be revised.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations governing this program are published under 42 CFR 55b. Guidelines are available. 45 CFR 92, and also HHS Grants Policy Statement at <http://www.ahrq.gov/fund/hhspolicy.htm>

Regional or Local Office:

See Regional Agency Offices. Beth Wolfe
Public Health Advisor
Centers for Disease Control and Prevention
1600 Clifton Road NE, MS E-07
Atlanta, GA 30333
404 639-8531
Email: eow1@cdc.gov.

Headquarters Office:

Elizabeth Wolfe 1600 Clifton Road, NE, Mailstop E-07, Atlanta, Georgia 30333 Email: eow1@cdc.gov Phone: (404) 639-8531

Website Address:

<http://www.cdc.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be reviewed for completeness by the Procurement and Grants Office (PGO) staff and for responsiveness jointly by NCHHSTP/OD and PGO. Incomplete applications and applications that are non-responsive to the eligibility criteria will not advance through the review process. Applicants will be notified the application did not meet submission requirements.

CDC will conduct a review to evaluate complete and responsive applications according to the criteria listed in Section V. Application and Submission Information, subsection Evaluation Criteria within the individual funding announcement. Applicants will be notified if their application did not meet program requirements.

93.342 HEALTH PROFESSIONS STUDENT LOANS, INCLUDING PRIMARY CARE LOANS/LOANS FOR DISADVANTAGED STUDENTS HPSL/PCL/LDS

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Title VII, Part C, Section 721-735, 42 U.S.C. 292q-292y; Health Professions Education Partnerships Act of 1998, Public Law 105-392.

OBJECTIVES:

To increase educational opportunities by providing long-term, low-interest loans to students in need of financial assistance and in pursuit of a course of study in an approved health discipline.

TYPES OF ASSISTANCE:

Project Grants (to capitalize loan funds)

USES AND USE RESTRICTIONS:

Funds on deposit can only be used for loans to eligible students pursuing a full-time course of study. The maximum amount a student may borrow is the cost of attendance (including tuition, other reasonable educational expenses and reasonable living expenses). Third and fourth year medical and osteopathic medicine students may be eligible for additional funding to repay earlier higher-interest educational loans. The interest rate is five percent.

Effective July 1, 1993, to be eligible for a Primary Care Loan (PCL), students of allopathic medicine and osteopathic medicine must meet financial need criteria and agree to enter and complete a residency training program in primary health care not later than four years after the date on which the student graduates from such school. The PCL borrower must also practice primary health care for 10 years (including the years spent in residency training) or through the date in which the loan is repaid in full, whichever occurs first.

To be eligible for Loans for Disadvantaged Students (LDS) students must meet the Health Professions Student Loans (HPSL) criteria and also be from a disadvantaged background as defined by the Secretary. A school must be carrying out a program for recruiting and retaining students from disadvantaged backgrounds, including racial and ethnic minorities and carrying out a program for recruiting and retaining minority faculty to be eligible for LDS funds. In addition, the school must agree to ensure that adequate instruction regarding minority health issues is in the curricula of the school. Health clinics must provide services to a significant number of individuals who are from disadvantaged backgrounds, including members of minority groups and enter into arrangements with one or more such clinics for the purpose of providing students of the school with experience in clinical services to such individuals. Secondary educational institutions and undergraduate institutions of higher education must enter into arrangements with one or more such institutions for the purpose of carrying out programs regarding the educational preparation of disadvantaged students, including minority students, to enter the health professions and recruit such individuals into the health professions. These institutions should also establish a mentor program for assisting disadvantaged students, including minority students, toward completion of the educational requirements for degrees from the school. .

Applicant Eligibility:

Any accredited public or other nonprofit private school of medicine, dentistry, osteopathic medicine, optometry, podiatry, pharmacy, or veterinary medicine which provides a course of study leading to a degree of Doctor of Medicine or Doctor of Osteopathic Medicine for PCL and LDS borrowers, Doctor of Dentistry (or an equivalent degree), Doctor of Optometry (or an equivalent degree), Doctor of Podiatric Medicine (or an equivalent degree), Bachelor of Science in Pharmacy (or an equivalent degree), Doctor of Pharmacy (or an equivalent degree), or Doctor of Veterinary Medicine (or an equivalent degree) for HPSL and LDS borrowers. Additionally, the school must be located in the United States, the District of Columbia, the Commonwealth of Puerto Rico, the Mariana Islands, the Virgin Islands, Guam, American Samoa, the Trust Territory of the Pacific Islands, the Republic of Palau, the Republic of the Marshall Islands, or the Federated State of Micronesia.

Beneficiary Eligibility:

Student applicants must display financial need and be enrolled or accepted for enrollment in a health professions school to pursue a full-time course of study leading to a degree as specified above. Students must also be citizens, nationals or lawful permanent residents of the United States, the District of Columbia, the Commonwealths of Puerto Rico, the Mariana Islands, the Virgin Islands, Guam,

American Samoa, the Trust Territory of the Pacific Islands, the Republic of Palau, the Republic of the Marshall Islands, or the Federated State of Micronesia.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Students should apply at financial aid office of school of their choice for assistance in applying for a loan. The school then tabulates requests for financial aid and award loans based on needs of students and available funds.

New applications for this program can be acquired from the Division of Student Loans and Scholarships by calling (301) 443-1173. Annual operating reports serve as the renewal application for current loan recipient institutions.

Award Procedure:

Notification is made in writing (electronic) by a Notice of Award. When Federal Capital Contribution funds are available for re-distribution, a Notice of Award is sent to the School's Contact Person notifying the school of the amount of federal funds allocated for loans within program and discipline prior to the beginning of the academic year in which the award is to be utilized. Students are notified by the schools financial aid office of approval or disapproval of loans at times determined by schools.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 6 months.

Appeals:

Not Applicable.

Renewals:

Applications are required only for new schools. Annual operating reports are required for renewal.

Formula and Matching Requirements:

Statutory Formula: Allotment of funds based on statutory formula which takes into account the ratio each school's enrollment bears to the enrollments of all schools making application for funds but never in excess of request of school. Under the Federal Capital Contribution method, a school must match Federal Capital Contribution with an amount equal to one-ninth of the funds drawn down.

Matching Requirements: 11% Matching Requirement. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The time required by a student to complete the necessary course of study leading to a degree and subject to the availability of funds. See the following for information on how assistance is awarded/released: Grantees drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

Annual Operating Report (AOR) through electronic submission at <http://grants.hrsa.gov>. AOR must be submitted 45 days after the end of each budget period. Cash reports are not applicable. No progress reports are required. No expenditure reports are required. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June

27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. .

Records:

Financial records must be kept until such time as agreed upon with the Secretary as specified in 42 CFR 57.215.

Grantees are required to maintain grant accounting records three years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the three year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular three year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Project Grants (to capitalize loan funds)) FY 12 \$18,826,993; FY 13 est \$9,444,064; and FY 14 est \$9,444,064

Range and Average of Financial Assistance:

\$909 to \$12,642,404 for FY 12; Average: \$179,305 per institution for FY 12.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices. Program Contacts: Jim Essel (PCL), Devonaline Horne(LDS), or Hai Le (HPSL), Division of Student Loans and Scholarships, Bureau of Health Professions, Health Resources and Services Administration, Department of Health and Human Services, Parklawn Building, Room 9-105, 5600 Fishers Lane, Rockville, MD 20857. Telephone: (301) 443-1173.

Headquarters Office:

Jim Essel (PCL), Devonaline Horne (LDS), or Hai Le (HPSL) 5600 Fishers Lane, Room 9-105, Rockville, Maryland 20857 Phone: (301) 443-1173

Website Address:

<http://bhpr.hrsa.gov/scholarshipsloans/index.html>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be reviewed for completeness, accuracy, and to determine if applicant schools meet minimum program requirements.

93.350 NATIONAL CENTER FOR ADVANCING TRANSLATIONAL SCIENCES

(NCATS)

FEDERAL AGENCY:

National Institutes of Health, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Title IV, Section 479-481 487F, Public Law 99-158; Small Business Research and Development Enhancement Act of 1992, Public Law 102-564; Public Health Service Act, Title III, Section 301, Public Law 78-410, 341 U.S.C 287-288.

OBJECTIVES:

The mission of the National Center for Advancing Translational Sciences (NCATS) is to catalyze the generation of innovative methods and technologies that will enhance the development, testing, and implementation of diagnostics and therapeutics across a wide range of human diseases and conditions.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Support from NCATS advances the development, testing, and implementation of diagnostics and therapeutics across a wide range of human diseases and conditions. NCATS strives to support research to reduce, remove or bypass costly and time-consuming bottlenecks in the therapeutic development pipeline. NCATS unifies complementary programs in cross-cutting areas. Clinical and Translational Science Activities include Clinical and Translational Science Awards (UL1) and training awards in clinical and translational research (KL2, TL1). The Office of Rare Disease Research supports a network of research consortia, each targeted to a specific rare disease (U54). ORDR also supports an extensive resource of information for the public on rare diseases. Re-engineering Translational Sciences activities include developing Tissue Chips for Drug Screening (U18, UH2/UH3) and Discovering New Therapeutic Uses for Existing Molecules (UH2/UH3). Small Business Innovation Research (SBIR) grants (R43, R44) and Small Business Technology Transfer (STTR) grants (R41, R42) support domestic small businesses engaging in research and development that has the potential for commercialization.

Applicant Eligibility:

None.

Beneficiary Eligibility:

Biomedical investigators at any nonprofit or for-profit organization, company, or institution engaged in biomedical research.

Credentials/Documentation:

The required credentials of the applicant are described in the relevant Funding Opportunity Announcement. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Cooperative agreement applications must be submitted using the PHS 398 form per the instructions in the NIH Guide for Grants and Contracts. Forms can be found at <http://grants.nih.gov/grants/funding/phs398/phs398.html>. Completed applications should be submitted to the Center for Scientific Review, 6701 Rockledge Drive, MSC 7710, Bethesda, MD 20892-7710. Form SF424 must be used for small business and conference grant applications. http://grants.nih.gov/grants/funding/424/SF424_RR_Guide_SBIR_STTR_Adobe_VerB.pdf

Award Procedure:

The initial review of applications from eligible investigators and institutions is conducted by committees comprised of authorities in various fields of biomedical research and science education, as appropriate. Each application is given a peer evaluation for merit. Recommendations for award are forwarded to the NCATS Advisory Council for the second level of review and recommendation for award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

> 180 Days.

Appeals:

> 180 Days. Principal investigators (P.I.) may question the substantive or procedural aspects of the review of their applications by communicating with

the NCATS staff. A description of the NIH Peer Review Appeal procedures is available on the NIH home page www.nih.gov/grants/guide/1997/97.11.21/n2.html.

Renewals:

> 180 Days. Grants may be renewed upon successful reapplication except for the CTSA grants. CTSA grantees must re-apply with a new application.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

1 to 5 years. An Electronic Transfer System is to transfer funds. Method of awarding/releasing assistance: by letter of credit.

Reports:

No program reports are required. No cash reports are required. Annual progress and financial status reports are required for all grants. Final performance and financial reports are required 90 days following the end of the project period. Annual progress and financial status reports are required for all grants. Final performance and financial reports are required 90 days following the end of the project period.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees generally must retain financial and programmatic records, supporting documents, statistical records, and all other records that are required by the terms of a grant, or may reasonably be considered pertinent to a grant, for a period of 3 years from the date the annual FSR is submitted. For awards under SNAP (other than those to foreign organizations and Federal institutions), the 3-year retention period will be calculated from the date the FSR for the entire competitive segment is submitted. Those grantees must retain the records pertinent to the entire competitive segment for 3 years from the date the FSR is submitted to NIH. Foreign organizations and Federal institutions must retain records for 3 years from the date of submission of the annual FSR to NIH. See 45 CFR 74.53 and 92.42 for exceptions and qualifications to the 3-year retention requirement (e.g., if any litigation, claim, financial management review, or audit is started before the expiration of the 3-year period, the records must be retained until all litigation, claims, or audit findings involving the records have been resolved and final action taken). Those sections also specify the retention period for other types of grant-related records, including F&A cost proposals and property records. See 45 CFR 74.48 and 92.36 for record retention and access requirements for contracts under grants. In accordance with 45 Code of Federal Regulations, Part 74.53(e), the HHS Inspector General, the U.S. Comptroller General, or any of their duly authorized representatives have the right of timely and unrestricted access to any books, documents, papers, or other records of recipients that are pertinent to awards in order to make audits, examinations, excerpts, transcripts, and copies of such documents. This right also includes timely and reasonable access to a recipients personnel for the purpose of interview and discussion related to such documents. The rights of access are not limited to the required retention period, but shall last as long as records are retained.

Account Identification:

75-0875-0-1-552.

Obligations:

(Project Grants) FY 12 \$501,268,646; FY 13 est \$470,242,200; and FY 14 est \$545,827,501 - Clinical and Translational Science activities: FY 12 actual \$462,395,000; FY 13 est \$431,985,957; FY 14 est: \$462,503,010
Rare Disease Research: FY 12 actual \$14,710,497; FY 13 est \$13,775,511; FY 14 est: \$14,710,497
Cures Acceleration Network: FY 12 actual \$9,889,149; FY 13 est: \$9,404,969;

FY 14 est: \$50,000,000.

SBIR/STTR: FY 12 actual \$14,274,000; FY 13 est \$15,075,763; FY 14 est: \$18,613,994.

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

OMB Circular No. A-21, "Cost Principles for Educational Institutions." Reference: 44 FR 12368, March 6, 1979; Rev. No. 1, 47 FR 33658, August 3, 1982; Rev. No. 2, 51 FR 20908, June 9, 1986. OMB Circular No. A-110, "Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Nonprofit Organizations." Reference: 41 FR 32016, July 30, 1976. OMB Circular No. A-122, "Cost Principles for Nonprofit Organizations." OMB Circular No. A-128, "Audits of State and Local Governments"; Reference: Public Law 98-502, the Single Audit Act of 1984. OMB Circular No. A-87, "Cost Principles Applicable to Grants and Contracts with State and Local Governments"; Reference: 34 CFR 255.45 CFR 92. Reference: 42 FR 45828. OMB Circular No. A-122, "Cost Principles for Nonprofit Organizations." Reference: 45 FR 46022, July 8, 1980. 45 CFR 74; 42 CFR 52h. Grants will be available under the authority of and administered in accordance with the PHS Grants Policy Statement and Federal Regulations at 42 CFR 52 and 42 USC 241. Department Staff Manual "Grants Administration"; Indirect Cost Register, DHHS; PHS Grants Policy Statement, DHHS Publications No. (OASH) 94-50,000, (Rev.) April 1, 1994; "NIH Grants Policy Guide," Office for Protection from Research Risks, NIH; "A Guide to Grant and Award Programs of the NIH"; and miscellaneous program literature from Headquarters Office.

Regional or Local Office:

None.

Headquarters Office:

Sylvia Parsons 6701 Democracy Blvd, Room 988, Bethesda, Maryland 20892-4874 Email: parsonss@mail.nih.gov Phone: 3014350860

Website Address:

<http://www.ncats.nih.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The major elements in evaluating proposals include assessments of: (1) The scientific merit and general significance of the proposed study and its objectives; (2) the technical adequacy of the experimental design and approach; (3) the competency of the proposed investigator or group to successfully pursue the project; (4) the adequacy of the available and proposed facilities and resources; (5) the necessity of the budget components requested in relation to the proposed project; and (6) the relevance and importance to announced program objectives.

93.351 RESEARCH INFRASTRUCTURE PROGRAMS

Comparative Medicine, Instrumentation, Research Infrastructure

FEDERAL AGENCY:

National Institutes of Health, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Title III, Section 301, Public Law 78-410, 341 U.S.C 287-288; Public Health Service Act, Title IV, Section 487, Public Law 99-158; Small Business Research and Development Enhancement Act of 1992, Public Law 102-564.

OBJECTIVES:

The Office of Research Infrastructure Programs (ORIP) is a program office in the Division of Program Coordination, Planning, and Strategic Initiatives (DPCPSI) dedicated to supporting research infrastructure and related research programs, and coordinating NIHs science education efforts. ORIP consists of

the Division of Comparative Medicine (DCM); Division of Construction and Instruments (DCI); and Science Education activities.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

The Division of Comparative Medicine (DCM) helps meet the needs of biomedical researchers for high-quality, disease-free animals and specialized animal research facilities. Additionally, DCM seeks to increase small business participation in federally supported research and development as well as the private-sector commercialization of technology developed with federal support. It does this through the federal government's Small Business Innovation Research (SBIR) and Small Business Technology Transfer (STTR) programs. Division of Construction and Instruments: This Division supports programs to expand the

Nations capacity for the conduct of biomedical research; grants for the acquisition of state-of-the-art biomedical research instrumentation and integrated instrument systems; and grants to expand, re-model, renovate, or alter existing research facilities, or to construct new research facilities or complete shell space, when funds are available. Science Education is designed to improve life science literacy throughout the nation through innovative educational programs. Science education projects create partnerships among biomedical and clinical researchers and K-12 teachers and schools, museums and science centers, media experts, and other educational organizations.

Applicant Eligibility:

Comparative Medicine: Institutions of higher education, hospitals, and other institutions and organizations, both nonprofit and for-profit, seeking to establish, continue, or enlarge programs consistent with the objectives of the program. Applicants for NRSA must be citizens of the United States or be admitted to the United States for permanent residency. Applicants must be nominated and sponsored by a public or private nonprofit institution with staff and facilities suitable for the proposed research training. Nonprofit domestic organizations may apply for the institutional NRSA. Construction: Except where otherwise prohibited by law, any nonprofit organization competent to carry out biomedical research. Instrumentation awards will be made to institutions only, not to individuals. Research Career Development: Applications may be submitted on behalf of candidates by domestic, nonfederal organizations, public or private institutions of higher education. Science Education: Public and private for-profit and nonprofit institutions and museums.

Beneficiary Eligibility:

Biomedical investigators at any nonprofit or for-profit organization, company, or institution engaged in biomedical research.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Application forms may be obtained from the Division of Extramural Outreach and Information, 6701 Rockledge Drive, MSC 7910, Bethesda, MD 20892-7910. Telephone (301) 435-0714, e-mail: ASKNIH@odrockml.od.nih.gov . Completed applications should be submitted to the Center for Scientific Review, 6701 Rockledge Drive, MSC 7710, Bethesda, MD 20892-7710. The standard application forms PHS 398, (Rev. November 1998), as furnished by PHS and required by 45 CFR, Part 92, and OMB Circular No. A-110 must be used for all programs. For filing NRSA individual fellowship award applications, Form 416-1 (Rev. October 1991) is needed.

Award Procedure:

The initial review of applications from eligible investigators and institutions is reviewed by committees comprised of authorities in various fields of biomedical research and science education, as appropriate. Each application is given a peer evaluation for merit, and recommendations are forwarded to the Council of Councils for final review and recommendation.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

> 180 Days.

Appeals:

From 60 to 90 days. A principal investigator (P.I.) may question the substantive or procedural aspects of the review of their application by communicating with the NIH staff. A description of the NIH Peer Review Appeal procedures is available on the NIH home page www.nih.gov/grants/guide/1997/97.11.21/n2.html .

Renewals:

Construction and Instrumentation: None. All others may be renewed upon successful reapplication.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

All programs: 1 to 5 years. An Electronic Transfer System is used for transferring funds. See the following for information on how assistance is awarded/released: An Electronic Transfer System is used for transferring funds.

Reports:

No reports are required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In accordance with 45 Code of Federal Regulations, Part 74.26, for-profit (commercial) organizations are subject to audit requirements for a non-Federal audit if, during its fiscal year, it expended \$500,000 or more under HHS awards and at least one award is a HHS grant or subgrant. The regulation incorporates the thresholds and deadlines of OMB Circular No. A-133, but provides for profit organizations with two options for the type of audit that will satisfy the audit requirement: 1. a financial related audit of the HHS awards in accordance with Government Auditing Standards, or 2. an audit that meets the requirements of OMB Circular No. A-133. In accordance with NIH grants policy, Foreign grantees are subject to the same audit requirements as for-profit (commercial) organizations.

Records:

Grantees generally must retain financial and programmatic records, supporting documents, statistical records, and all other records that are required by the terms of a grant, or may reasonably be considered pertinent to a grant, for a period of 3 years from the date the annual FSR is submitted. For awards under SNAP (other than those to foreign organizations and Federal institutions), the 3-year retention period will be calculated from the date the FSR for the entire competitive segment is submitted. Those grantees must retain the records pertinent to the entire competitive segment for 3 years from the date the FSR is

submitted to NIH. Foreign organizations and Federal institutions must retain records for 3 years from the date of submission of the annual FSR to NIH. See 45 CFR 74.53 and 92.42 for exceptions and qualifications to the 3-year retention requirement (e.g., if any litigation, claim, financial management review, or audit is started before the expiration of the 3-year period, the records must be retained until all litigation, claims, or audit findings involving the records have been resolved and final action taken). Those sections also specify the retention period for other types of grant-related records, including F&A cost proposals and property records. See 45 CFR 74.48 and 92.36 for record retention and access requirements for contracts under grants. In accordance with 45 Code of Federal Regulations, Part 74.53(e), the HHS Inspector General, the U.S. Comptroller General, or any of their duly authorized representatives have the right of timely and unrestricted access to any books, documents, papers, or other records of recipients that are pertinent to awards in order to make audits, examinations, excerpts, transcripts, and copies of such documents. This right also includes timely and reasonable access to a recipients personnel for the purpose of interview and discussion related to such documents. The rights of access are not limited to the required retention period, but shall last as long as records are retained.

Account Identification:

75-0846-0-1-552.

Obligations:

(Project Grants) FY 12 \$124,350,463; FY 13 est \$119,177,000; and FY 14 est \$124,937,519 - Comparative Medicine Centers (Research Grants). (Project Grants) FY 12 \$148,638,022; FY 13 est \$142,040,000; and FY 14 est \$149,609,678 - Research Project Grants (RPGs), Other Research .. (Project Grants (Contracts)) FY 12 \$14,559,893; FY 13 est \$12,869,000; and FY 14 est \$15,549,275 - Research & Development Contracts. (Training) FY 12 \$7,061,511; FY 13 est \$6,673,000; and FY 14 est \$7,414,587 - Research Training (Research Grants (Contracts)).

Range and Average of Financial Assistance:

In fiscal year 2012, there were 61 Research Project Grants; In FY 2013, it is estimated that there will be 48 and in FY 2014, it is estimated that there will be 58. In FY 2012 there were 120 and FY Full Time Training positions, and in FY13 and 14 it is estimated that there will be 110, and 116. There were 4 Research and Development Contracts in FY 2012. It is estimated that there will be 2 in FY 2013 and 2 in FY 2014.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

OMB Circular No. A-21, "Cost Principles for Educational Institutions." Reference: 44 FR 12368, March 6, 1979; Rev. No. 1, 47 FR 33658, August 3, 1982; Rev. No. 2, 51 FR 20908, June 9, 1986. OMB Circular No. A-110, "Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Nonprofit Organizations." Reference: 41 FR 32016, July 30, 1976. OMB Circular No. A-122, "Cost Principles for Nonprofit Organizations." OMB Circular No. A-128, "Audits of State and Local Governments"; Reference: Public Law 98-502, the Single Audit Act of 1984. OMB Circular No. A-87, "Cost Principles Applicable to Grants and Contracts with State and Local Governments"; Reference: 34 CFR 255. 45 CFR 92. Reference: 42 FR 45828. OMB Circular No. A-122, "Cost Principles for Nonprofit Organizations." Reference: 45 FR 46022, July 8, 1980. 45 CFR 74; 42 CFR 52h. Grants will be available under the authority of and administered in accordance with the PHS Grants Policy Statement and Federal Regulations at 42 CFR 52 and 42 USC 241. Department Staff Manual "Grants Administration"; Indirect Cost Register, DHHS; PHS Grants Policy Statement, DHHS Publications No. (OASH) 94-50,000, (Rev.) April 1, 1994; "NIH Grants Policy Guide," Office for Protection from Research Risks, NIH; "A Guide to Grant and Award Programs of the NIH"; and miscellaneous program literature from Headquarters Office.

Regional or Local Office:

None.

Headquarters Office:

Patricia Newman 6701 Democracy Boulevard
Room 956-MSC4874, Bethesda, Maryland 20892-4874 Email:
pnewman@mail.nih.gov Phone: 3014350864

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The major elements in evaluating proposals include assessments of: (1) The scientific merit and general significance of the proposed study and its objectives; (2) the technical adequacy of the experimental design and approach; (3) the competency of the proposed investigator or group to successfully pursue the project; (4) the adequacy of the available and proposed facilities and resources; (5) the necessity of the budget components requested in relation to the proposed project; and (6) the relevance and importance to announced program objectives.

93.352 CONSTRUCTION SUPPORT

Extramural Construction

FEDERAL AGENCY:

National Institutes of Health, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Section 404I 404J, 42 U.S.C 288J.

OBJECTIVES:

To renovate existing research facilities and build new research facilities to meet basic and clinical space requirements, laboratory safety, biohazard containment, and animal care standards in order to support the facility demands of NIH research programs.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

New physical resources for research include basic research laboratories, clinical and support facilities, and those related to biohazard control that are integral parts of an overall effort. Proposed facilities must be part of an existing research effort or a developing research program. Facilities shall be used for research pertinent to the NIH mission for as long as needed. The usual period of Federal interest is 20 years. Funds must be used for purposes stated in the approved grant application. See above.

Applicant Eligibility:

Construction.

Beneficiary Eligibility:

Public nonprofit institution/organization.

Credentials/Documentation:

Application should include a complete research program description (with staff curricula vitae), space requirements for projected program, an environmental summary of the impact of the proposed facility as detailed in Public Law 90-190, the National Environmental Policy Act, and listing of all relevant licenses, permits, or other approvals required by State and local authorities. Costs will be determined in accordance with OMB Circular No. A-21 for Educational Institutions, OMB Circular No. A-87 for State and local governments, and OMB Circular No. A-122 for nonprofit institutions. For grants issued to hospitals, costs will be determined in accordance with HHS Regulations 45 CFR Part 74, Appendix E, "Principles for Determining Costs Applicable to Research and Development Under Grants and Contracts with Hospitals". OMB Circular No. A-87 applies to this program. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication assistance is available from the address noted below under Information Contacts. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. An

environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Prepare and submit applications according to instructions provided in the Funding Opportunities announcement. This program is subject to the provisions of 45 CFR 74 including applicable federal cost principles as referenced, the NIH Grants Policy Statement, and the provisions in the funding announcement. NIH is transitioning to an electronic application by grant mechanism. Details, including the appropriate application form, can be found at <http://era.nih.gov/ElectronicReceipt/>. Construction applications are to be submitted on a Standard Form 424, Application for Federal Assistance.

Award Procedure:

Applications are reviewed for scientific and technical merit by a scientific review group and for program relevance by a national advisory council/board.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

A principal investigator may question the substantive or procedural aspects of the review of his/her application by communicating with the NIH Scientific/Research contact, as provided on an initiative. A description of the NIH Peer Review Appeal procedures is available on the NIH Web site at <http://grants.nih.gov/grants/guide/notice-files/not97-232.html>.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
Matching Requirements: Percent: 50%.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

None. See the following for information on how assistance is awarded/released: No information provided. See the following for information on how assistance is awarded/released: An Electronic Transfer System is used for transferring funds.

Reports:

Program reports are not applicable. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Grantee is responsible for performance of contractor. Contractor must be covered by performance and payment bonds. Grantees are required to file a Notice of Federal Interest at the time construction begins.

Audits:

No audits are required for this program.

Records:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Account Identification:

75-0846-0-1-552.

Obligations:

(Project Grants) FY 12 \$0; FY 13 est \$0; and FY 14 est \$0

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 74; 42 CFR 52. NIH Grants Policy Statement, (Rev.) December 1, 2003. The PHS 398 may be obtained from NIH's Extramural Research Home Page: <http://grants1.nih.gov/grants/oer.htm>.

Regional or Local Office:

See Regional Agency Offices. See Regional Agency Offices. Holly Atherton, 6701 Democracy Blvd., Bethesda, MD 20892-4874. (301) 435-0840; athertoh@mail.nih.gov.

Headquarters Office:

Louise Ramm 6701 Democracy Blvd, Bethesda, Maryland 20892-4874 Email: ramml@mail.nih.gov Phone: 301-435-0879

Website Address:

<http://www.dpcpsi.nih.gov/orip>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications that are complete and responsive to this program will be evaluated for scientific and technical merit by an appropriate peer review group. The major elements in evaluating proposals include: (1) significance and need of the project, (2) the description of project management and institutional commitment, (3) evaluation of the proposed design, (4) justification for requested equipment, (5) the commitment to sustainable design/environmentally friendly design principles.

93.358 ADVANCED EDUCATION NURSING TRAINEESHIPS

AENT

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Title VIII , Section 811 (a)(2) of the Public Health Service Act, (42 U.S.C. 296j(a)(2)), as amended by Section 5308 of the Patient Protection and Affordable Care Act, Public Law 111-148.

OBJECTIVES:

Grants are awarded to eligible institutions to provide financial support through traineeships for registered nurses enrolled in programs pursuing advanced degrees as primary care nurse practitioners (NP) or nurse-midwives.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Funds can be used to pay all or part of the costs of tuition, books, and fees of the program of advanced nurse education, and reasonable living expenses of the individual during the period for which the traineeship is provided.

Restricted Uses: Books for library or personal use and trainee travel are not allowed.

Applicant Eligibility:

Eligible applicants are collegiate schools of nursing, academic health centers, and other private or public nonprofit entities accredited by a recognized body or bodies or State agency, approved for the purpose of nursing education by the Secretary of Education. The school must be located in the 50 states, the District of Columbia, the Commonwealth of Puerto Rico, the Northern Mariana Islands,

the Virgin Islands, American Samoa, Guam, the U.S. Virgin Islands, the Federated States of Micronesia, the Republic of the Marshall Islands, and the Republic of Palau.

Federally Recognized Indian Tribal Government and Native American Organizations may apply if otherwise eligible.

Beneficiary Eligibility:

The participating institutions select traineeship recipients. A recipient (student) must be enrolled in an advanced education nursing program for preparation as a primary care nurse practitioner and/or nurse-midwife. The student/enrollee must be pursuing a master's or doctoral degree, a combined RN to master's degree, nurse-midwifery certificate in existence on November 12, 1998, or a post-graduate master's certificate. The student/enrollee must be a citizen of the United States, non-citizen national, or foreign national who possesses a visa permitting permanent residence in the United States. The student/enrollee must be prepared to sit for national nursing certification in the nursing specialty or field of study (as applicable) following graduation.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. HRSA requires all applicants to apply electronically through Grants.gov.

All qualified applications are forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Award Procedure:

Notification of award is made in writing (electronic) through a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 6-8 months after receipt of application.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. This program has MOE requirements, see funding agency for further details. See funding agency for further details.

Length and Time Phasing of Assistance:

The project period is two years in length. See the following for information on how assistance is awarded/released: Grantees draw down funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

Program reports are required. The awardee will be required to submit annual performance and progress reports as well as status-federal financial reports (see below for general information on each required report). The awardee must submit a quarterly electronic Federal Financial Report (FFR). Cash Transaction

Report via the Payment Management System within 30 days of the end of each calendar quarter. A Federal Financial Report (SF-425) is required within 90 days of the end of each budget period. A final report is due within 90 days after the project period ends. If applicable, the awardee must submit a Tangible Personal Property Report (SF-428) and any related forms within 90 days after the project period ends. Awardees are required to report all federally-owned property and acquired equipment with an New awards (Type 1) issued under this funding opportunity announcement are subject to the reporting requirements of the Federal Funding Accountability and Transparency Act (FFATA) of 2006 (Pub. L. 109282), as amended by section 6202 of Public Law 110252, and implemented by 2 CFR Part 170. Grant and cooperative agreement recipients must report information for each first-tier subaward of \$25,000 or more in federal funds and executive total compensation for the recipients and subrecipients five most highly compensated executives as outlined in Appendix A to 2 CFR Part 170 (FFATA details are available online at <http://www.hrsa.gov/grants/ffata.html>). No expenditure reports are required. Program performance measures are now required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records three years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the three year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular three year period, whichever is later.

Account Identification:

75-0350-0-1-551.

Obligations:

(Project Grants) FY 12 \$22,755,038; FY 13 est \$22,755,038; and FY 14 est \$23,755,038

Range and Average of Financial Assistance:

FY 12 Range \$45,000 \$350,000 Average \$320,101

FY 13 Range \$90,000 \$350,000 Average \$323,729.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for state, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices. Janice Young, AENT Project Officer, Department of Health and Human Services, Health Resources and Services Administration, Bureau of Health Professions, Division of Nursing, 5600 Fishers Lane, Room 9-61, Parklawn Building, Rockville, MD 20857. Telephone: 301- 443-5688.

Headquarters Office:

Janice Young 5600 Fishers Lane, Room 9-61, Rockville, Maryland 20857 Phone: (301) 443-5688

Website Address:

www.hrsa.gov.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Competing applications are reviewed by non-Federal expert consultant(s) for technical merit recommendations. Approval of an application will be based on an analysis of the factors set forth in the application. See the section on Application Review in the funding opportunity announcement. All eligible applicants are submitted to an Objective Review Committee for review.

93.359 NURSE EDUCATION, PRACTICE QUALITY AND RETENTION GRANTS

NEPQR

NEPQR - Interprofessional Collaborative practice

Faculty Development: ITNEP (FD-ITNEP)

Veterans Bachelor of Science in Nursing (VBSN) program

Veterans to Nursing and Physicians Assistants Workforce Program (VNPA-WP)

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Title VIII, Section 831 and 831A of the Public Health Service Act (42 U.S.C. 296p and 42 U.S.C. 296p-1), as amended by section 5309 of the Patient Protection and Affordable Care Act, P.L. 111-148.

VNPA-WP program, Title VII section 747(a) of the Public Health Service Act (42 U.S.C. 293k) as amended by section 5301 of the Patient Protection and Affordable Care Act (P.L. 111-148).

OBJECTIVES:

Nurse Education, Practice, Quality, and Retention Program (NEPQR): Grants are awarded to eligible applicant organizations for projects to strengthen and enhance the capacity for nurse education, practice, quality, and retention to address the nursing shortage. There are three major priority areas (Education, Practice and Retention) and ten purposes associated with the three priority areas. The education priority areas are: Purpose E1) expanding enrollment in baccalaureate nursing programs; and Purpose E2) providing education in new technology, including distance learning methodologies. The practice priority areas are: Purpose P1) establishing or expanding nursing practice arrangements in non-institutional settings to demonstrate methods to improve access to primary health care in medically underserved communities; Purpose P2) providing care for underserved populations and other high-risk groups such as the elderly, individuals with HIV/AIDS, substance abusers, the homeless, and victims of domestic violence; Purpose P3) providing quality coordinated care and other skills needed to practice in existing and emerging organized health care systems; and Purpose P4) developing cultural competencies among nurses.

The retention priority areas are: Purpose R1) career ladder bridge programs which promote career advancement for nursing personnel and registered nurses to become baccalaureate prepared or advanced education nurses; Purpose R2) developing and implementing internship and residency programs in collaboration with an accredited school of nursing to encourage mentoring and the development of specialties; Purpose R3) career ladder programs to assist with entering into and advancing within the nursing profession; and Purpose R4) enhancing patient care delivery systems that is directly related to nursing activities by enhancing collaboration and communication among nurses and other health care professionals, and by promoting nurse involvement in the organizational and clinical decision-making processes of a health care facility.

For FY2012 and FY2013, the NEPQR Program solicited projects that create and/or expand Interprofessional Collaborative Practice (IPCP) environments where nurses and other professional disciplines join together to provide comprehensive healthcare services for patients and their families. The goal of

this solicitation is to strengthen nursing's capacity to advance the health of patients, families and communities by supporting the development and implementation of innovative practice models that use collaborative interprofessional teams comprised of nurses and other health professionals.

Faculty Development: Integrated Technology into Nursing Education and Practice Initiative (Faculty Development: ITNEP):

The purpose of this initiative is to provide support to nursing collaboratives for faculty development in the use of information and other technologies in order to expand the capacity of collegiate schools of nursing to educate students for 21st century health care practice. Nursing collaboratives will use healthcare information systems to enhance nursing education and practice, optimize patient safety, and drive improvements in health care quality.

The Veterans Baccalaureate of Science in Nursing programs (VBSN): FY2013, the NEPQR Program solicited four-year cooperative agreement applicants to develop and implement career ladder programs to increase the enrollment, progression and graduation of veterans from baccalaureate (BSN) nursing programs (VBSN).

The Veterans to Nursing and Physician Assistants Workforce Program (VNPA-WP) purpose is to identify, develop, disseminate, and analyze educational pathways, or career ladder programs, that build upon veterans military training and experience and provide a series of educational opportunities and strategies to integrate military veterans into the education and certification process required for civilian nursing and physician assistant careers.

TYPES OF ASSISTANCE:

Cooperative Agreements; Project Grants

USES AND USE RESTRICTIONS:

Funds may be used for salaries of personnel specifically employed for the project; consultant fees; supplies and equipment necessary to conduct the project; essential travel expenses and student stipends or scholarships; and other expenses related to the project.

Applicant Eligibility:

NEPQR, FD-ITNEP, and VBSN: Eligible applicants are: accredited schools of nursing, health care facilities, a partnership of such a school and a health care facility, nursing centers, academic health centers, State or local governments, and other public or private non-profit entities determined appropriate by the Secretary. The term health care facility means an Indian Health Service health center, a Native Hawaiian health center, a hospital, a Federally qualified health center, a rural health center, a nursing home, a home health agency, a hospice program, a public health clinic, a State or local department of public health, a skilled nursing facility, an ambulatory surgical center, or any other facility designated by the Secretary. The eligible applicant must be capable of carrying out the legislative purpose of the NEPQR program.

Schools of nursing providing undergraduate education and formal degree programs must be accredited by a national nurse education accrediting agency or State approval agency recognized by the Secretary of the U.S. Department of Education. Newly established programs should consult with the U.S. Department of Education and provide appropriate documentation.

VNPA-WP: Eligible entities under Sec. 831 include a school of nursing, as defined in section 801(2), a health care facility, or a partnership of such a school and facility. Eligible entities under Sec. 747(a) include an accredited public or nonprofit private hospital, accredited school of medicine or osteopathic medicine, accredited academically affiliated physician assistant training program, or a public or private nonprofit entity which the Secretary has determined is capable of carrying out such grant or contract. Federally Recognized Indian Tribal Government and Native American Organizations may apply if they are otherwise eligible.

Beneficiary Eligibility:

Project participants, or students in the program, must be U.S. Citizens, non-citizen nationals, or foreign nationals who possess visas permitting permanent residence in the United States. Individuals on temporary student

visas are not eligible.

VBSN Project Participants must be honorably or generally discharged service members or reservists, with prior medical training, who have not yet earned Bachelor of Science in Nursing (BSN) degrees.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications/accreditation documentation which must be submitted prior to or simultaneous with submission of an application package. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. HRSA requires all applicants to apply electronically through Grants.gov.

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Award Procedure:

Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 6 months after receipt of applications.

Appeals:

Not Applicable.

Renewals:

At the end of the project period, competing continuation applications may be submitted for additional years of support. The Faculty Development ITNEP program will not be accepting new applications.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

NEPQR
Competing and competing continuation grant applications are submitted for up to three (3) years of support, except for legislative purpose: (P1) establishing or expanding nurse practice arrangements which is for up to five years of support.

Faculty Development: ITNEP.

Awards were for five years of support; no additional awards will be made.

Veterans Bachelor of Science in Nursing:

Awards are made for up to four years of support.

Veterans to Nursing and Physicians Assistants Workforce Program:

Awards are for five years of support.

See the following for information on how assistance is awarded/released: Grantees drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards. See the following for information on how assistance is awarded/released: Grantees drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

Program reports are required. The awardee will be required to submit annual performance and progress reports as well as status-federal financial reports (see below for general information on each required report). The awardee must submit a quarterly electronic Federal Financial Report (FFR) Cash Transaction Report via the Payment Management System within 30 days of the end of each calendar quarter. A Federal Financial Report (SF-425) is required within 90 days of the end of each budget period. A final report is due within 90 days after the project period ends. If applicable, the awardee must submit a Tangible Personal Property Report (SF-428) and any related forms within 90 days after the project period ends. New awards (Type 1) issued under this funding opportunity announcement are subject to the reporting requirements of the Federal Funding Accountability and Transparency Act (FFATA) of 2006 (Pub. L. 109282), as amended by section 6202 of Public Law 110252, and implemented by 2 CFR Part 170. Grant and cooperative agreement recipients must report information for each first-tier subaward of \$25,000 or more in federal funds and executive total compensation for the recipients and subrecipients five most highly compensated executives as outlined in Appendix A to 2 CFR Part 170 (FFATA details are available online at <http://www.hrsa.gov/grants/ffata.html>). Competing continuation awardees, etc. may be subject to this requirement and will be so notified in the Notice of Award. No expenditure reports are required. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records three years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the three year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular three year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Cooperative Agreements) FY 12 \$1,372,379; FY 13 est \$779,416; and FY 14 est \$0 - Faculty Dev-ITNEP. (Project Grants) FY 12 \$0; FY 13 est \$370,000; and FY 14 est \$370,000 - VNPA-WP. (Project Grants) FY 12 \$0; FY 13 est \$2,800,000; and FY 14 est \$5,800,000 - VBSN. (Project Grants) FY 12 \$32,427,476; FY 13 est \$29,696,356; and FY 14 est \$27,842,102 - NEPQR.

Range and Average of Financial Assistance:

NEPQR: FY12: not available, FY13: not available, FY14: not available

ITNEP: FY12: not available; FY13: not available; FY14: not available

VBSN: FY 13: not available.

VNPA-WP: FY 13: not available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for state, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices. NEPQR: Josepha Burnley, Kirk Koyama, Janice Young, and Marian Smithey, Division of Nursing, Bureau of Health Professions, Health Resources and Services Administration, Department of Health and Human Services, 5600 Fishers Lane, Room 9-61, Rockville, MD 20857. Telephone: (301) 443-5688.

ITNEP: Nancy Douglas-Kersellius, Nurse Consultant, Division of Nursing, Bureau of Health Professions, Health Resources and Services Administration, Department of Health and Human Services, 5600 Fishers Lane Room 9-61, Rockville, MD 20857, 301-443-5688, Fax: 301-443-0791.

VBSN: Marian Smithey, Nurse Consultant, Division of Nursing, Bureau of Health Professions, Health Resources and Services Administration, Department of Health and Human Services, 5600 Fishers Lane Room 9-61, Rockville, MD 20857, 301-443-3831, Fax: 301-443-0791.

VNPA-WP: Joan Wasserman, DrPH, RN, Branch Chief, Division of Nursing, Bureau of Health Professions, Health Resources and Services Administration, Department of Health and Human Services, 5600 Fishers Lane Room 9-61, Rockville, MD 20857, 301-443-0367, Fax: 301-443-0791.

Headquarters Office:

Division of Nursing 5600 Fishers Lane, Room 9-61, Rockville, Maryland 20857 Phone: (301) 443-5688

Website Address:

www.hrsa.gov.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Competing applications are reviewed by non-Federal expert consultant(s) for technical merit recommendations. Approval of an application will be based on an analysis of the factors set forth in the HRSA funding opportunity announcement (FOA). The purpose of the project must be consistent with and promote the activities identified in the law. Need must be documented, and the capability for achieving project goals must be described. In making awards of grants under this section, preference will be given to any qualified applicant with a project that will substantially benefit rural or underserved populations, or help meet public health nursing needs in State in local health departments. This preference will only be applied to applications that rank above the 20th percentile of applications recommended for approval.

93.360 BIOMEDICAL ADVANCED RESEARCH AND DEVELOPMENT AUTHORITY (BARDA), BIODEFENSE MEDICAL COUNTERMEASURE DEVELOPMENT**FEDERAL AGENCY:**

Office of the Secretary, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Title III, Section 319L; Consolidated Appropriations Act, 2010, Division D Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2010.

OBJECTIVES:

The purpose of this program is to coordinate the acceleration of countermeasure and product advanced research and development by (A) facilitating collaboration between the Department of Health and Human Services and other Federal agencies, relevant industries, academia, and other persons, with respect to such advanced research and development; (B) promoting countermeasure and product advanced research and development; (C) facilitating contacts between interested persons and the offices or employees authorized by the Secretary to advise such persons regarding requirements under the Federal Food, Drug, and Cosmetic Act and under section 351 of the Public Health Service Act; and (D) promoting innovation to reduce the time and cost of countermeasure and product advanced research and development. The Public Health Service Act at 42 U.S.C. 247d-6a defines a qualified countermeasure as

a drug (as that term is defined by section 321(g)(1) of title 21), biological product (as that term is defined by section 262(i) of this title), or device (as that term is defined by section 321(h) of title 21), that the Secretary determines to be a priority (consistent with sections 182(2) and 184(a) of title 6) to - (i) diagnose, mitigate, prevent, or treat harm from any biological agent (including organisms that cause an infectious disease) or toxin, chemical, radiological, or nuclear agent that may cause a public health emergency affecting national security; or (ii) diagnose, mitigate, prevent, or treat harm from a condition that may result in adverse health consequences or death and may be caused by administering a drug, biological product, or device that is used as described in this subparagraph.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants); Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Support international in-country and domestic advanced development and industrialization of human pandemic influenza vaccine. Support activities related to the mitigation of the global shortage of influenza vaccines through awards and sub-awards to developing countries with the potential and capacity to manufacture various influenza vaccines. Basic research (e.g. scientific or medical experiments) is not allowable. 100% of funds are for discretionary activities.

Applicant Eligibility:

All types of entities are eligible, including highly qualified foreign nationals outside the United States either alone or in collaboration with American participants when such transactions may inure to the benefit of the American people. Applicants should review the individual funding opportunity announcements issued under this CFDA program.

Beneficiary Eligibility:

All individuals including at-risk individuals such as children, pregnant women, elderly, and others at-risk who may be given special priority.

Credentials/Documentation:

Applicants should review the individual funding opportunity announcements issued under this CFDA program for any credentials or certifications that must be submitted prior to or simultaneous with submission of an application package. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Grant applications and required forms for this program can be obtained through Grants.gov FIND, <http://www.grants.gov/>. Applicants should visit Grants.gov - FIND for the funding opportunity announcement and Grants.gov - APPLY to download application materials to complete and submit their applications in accordance with the funding opportunity announcement (FOA).

Award Procedure:

Applications that successfully proceed through initial screening (as outlined in the funding opportunity announcement) will be objectively reviewed based upon the review criteria specified in the FOA. Applications selected for funding will be processed and the applicant notified in writing through the issuance of a Notice of Award from the Office of the Assistant Secretary for Preparedness and Response.

Deadlines:

Sep 30, 2010: Applicable deadlines and due dates are specified in each funding opportunity announcement.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Project periods are limited up to 60 months. Budget periods are limited up to 12 months. See the following for information on how assistance is awarded/released: Awardee is issued a Notice of Award, a legal document that informs the awardee that a grant award has been made. Awardee may draw down funds in advance of the incurrence of an expenditure (up to three days) from the Payment Management System.

Reports:

Program reports are not applicable. Recipients must report cash transaction data via the Payment Management System (PMS) using the cash transaction data elements captured on the Federal Financial Report (FFR), Standard Form (SF) 425. Recipients will utilize the Transactions section of SF425 in lieu of the SF272. The FFR SF425 cash Transaction Report is due 30 days after the end of each calendar quarter. Grantees are required to submit semi-annual Program Progress Reports as well as final Program Progress Reports. Report formats and submission requirements are outlined in the funding opportunity announcement. ASPR requires cumulative financial reporting through consecutive funding periods on the SF269 FSR long form. The FFR SF425 was designed to replace the Financial Status Report SF269 and the Federal Cash Transactions Report SF272 with one comprehensive financial reporting form. Until HHS fully migrates to the SF425 FFR, recipients are still required to submit the SF269 Financial Status Report (FSR) semi-annually within 30 days after the first 6 month period and within 90 days of the budget period end date. Grantees are required to submit final Financial Status Reports (SF-269). Performance monitoring of awardees is completed by BARDA program staff.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records must be kept available for 3 years after submission of the final expenditure report.

Account Identification:

75-0140-0-1-551.

Obligations:

(Project Grants (Cooperative Agreements)) FY 12 \$1,522,965; FY 13 est \$15,000,000; and FY 14 est \$15,000,000

Range and Average of Financial Assistance:

\$250,000 to \$15,000,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Public Law 109-417, 45 CFR 92, 45 CFR 74, 45 CFR 46, 2 CFR, HHS Grants Policy Statement found at <http://www.hhs.gov/asrt/og/grantinformation/hhsgps107.pdf>

Regional or Local Office:

None.

Headquarters Office:

Rick A. Bright 330 Independence Ave SW, Room G-640, Washington, District of Columbia 20201, Washington, District of Columbia 20201 Email: Rick.Bright@hhs.gov Phone: 202-260-8535

Website Address:

<https://www.medicalcountermeasures.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: - biomanufacturing training of influenza vaccine manufacturers in under-resourced nations

- technology transfer of vaccine adjuvant for pandemic influenza vaccines in developing countries

- program management for sustainable influenza vaccine production capacity in under-resourced nations

- Integrate rapid influenza testing with Cepheids XPert Flu. Fiscal Year 2013: \$15,000,000. Fiscal Year 2014: \$15,000,000.

CRITERIA FOR SELECTING PROPOSALS:

Discretionary awards are subject to objective review. Reviewers will individually evaluate and score applications based upon the review criteria specified in the funding opportunity announcement. Scored applications will be ordinarily ranked. The highest ranked applications will receive priority consideration for award within available funding.

93.361 NURSING RESEARCH**FEDERAL AGENCY:**

National Institutes of Health, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Section 301, 464, 487, 42 U.S.C 285q; Public Health Service Act, Public Law 102-564; Public Health Service Act, Public Law 99-158.

OBJECTIVES:

The National Institute of Nursing Research (NINR) supports clinical and basic research to develop the scientific foundation for clinical practice, prevent disease and disability, manage and eliminate symptoms, enhance palliative and end-of-life care, improve innovation, and train the next generation of scientists. In doing so, the Institutes scientific focus bridges multiple disciplines; uniting the biological and behavioral sciences to foster and improve the health of individuals, families, and communities across diverse populations and the lifespan in a variety of clinical settings. NINR research seeks to advance the science of health by (a) enhancing health promotion and disease prevention in diverse groups through culturally-appropriate interventions designed to encourage health equity and eliminate health disparities; (b) engaging individuals as active participants in their own health and improving symptom management in those with acute and chronic illness; (c) improving quality of life for patients with advanced illness through evidence-based palliative and end-of-life care; (d) developing new technologies and innovative programs to improve health and reduce healthcare costs; and, (e) preparing the next generation of nurse scientists and clinicians to address current and future health challenges and contribute to an innovative, multidisciplinary, and diverse scientific workforce. NINRs research programs incorporate the use of interdisciplinary approaches designed to promote scientific exploration and generate improved health outcomes and services. These approaches include: basic science-driven clinical intervention studies; interdisciplinary and translational research strategies; analyses of cost, outcomes, and quality of care; and studies to assess and enhance the effectiveness of the nursing workforce. NINR also continues to uphold its strong commitment to addressing the health needs of minority and underserved populations, promote health equity, and build a diverse scientific workforce. Investing in Advancing the Quality of Life: Symptom Management: NINRs research program to improve quality of life focuses on reducing the burdens of chronic and acute illness across both the spectrum of human diseases and the lifespan. The Investing in Health Promotion and Disease Prevention program investigates the key biological, behavioral, and social factors that promote long-term health and healthy behaviors and prevent the development of disease across health conditions, settings, and the lifespan. Investing in Palliative and End-of-Life Care: Under the NINR Palliative and End-of-Life Care program, researchers are applying interdisciplinary biological, behavioral, and social science strategies to advance understanding of the needs of individuals and their caregivers, and develop palliative care interventions to manage the symptoms of advanced illness. Through the Investing in Innovation program, NINR promotes technological advancements that address a range of health care challenges and facilitate the delivery of real-time personalized information to individuals and families,

health care providers, and communities. Investing in Nurse Scientists: To develop a strong cohort of nurse scientists and contribute to an innovative, multidisciplinary, and diverse scientific workforce, the Investing in Nurse Scientists program emphasizes research training and career development. Intramural Research Program: The Intramural Research Program supports cutting-edge clinical and genomic research to better understand the underlying mechanisms of a variety of symptoms, their effect on patients, and how patients respond to interventions.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Research Grants: Funds may be used for salaries, consultation, equipment, travel and other usual costs, subject to Federal regulations applicable to the grant. National Research Service Awards (NRSAs) (Individual): Individual awards are made to institutions on behalf of the individual for research training in specified areas related to the mission of NINR. NRSAs (Institutional): Grants may be made to institutions to enable them to accept individuals for research training. Each individual who receives a NRSA postdoctoral fellowship on an NRSA award must comply with all applicable service and payback provisions. SBIR Phase I grants: (of approximately 6-months' duration) are to establish the technical merit and feasibility of a proposed research effort that may lead to a commercial product or process. Only Phase I awardees are eligible to apply for Phase II support. STTR Phase I grants (normally of 1-year duration) are to determine the scientific, technical, and commercial merit and feasibility of the proposed cooperative effort that has potential for commercial application. Phase II funding is based on results of research initiated in Phase I and scientific and technical merit and commercial potential of Phase II application.

Applicant Eligibility:

Research Grants: Any corporation, public or private institution or agency, or other legal entity, either nonprofit or for-profit, may apply. NRSAs (Individual): An applicant must be a registered professional nurse with either a baccalaureate and/or a master's degree in nursing and must be a citizen of the United States or lawfully admitted for permanent residence. Those on temporary or student visas are not eligible. NRSAs (Institutional): An eligible institution must be capable of providing predoctoral and/or postdoctoral research training opportunities for nurses. SBIR grants: can be awarded only to domestic small businesses (entities that are independently owned and operated for profit; are not dominant in the field in which research is being proposed; and have no more than 500 employees). Primary employment (more than one-half time) of the principal investigator must be with the small business at the time of award and during the conduct of the proposed project. In both Phase I and Phase II, the research must be performed in the United States or its possessions. To be eligible for funding, a grant application must be reviewed for scientific merit and program relevance by a scientific review group and a national advisory council. STTR grants can be awarded only to domestic small business concerns (entities that are independently owned and operated for profit, are not dominant in the field in which research is proposed and have no more than 500 employees) which "partner" with a research institution in cooperative research and development. At least 40 percent of the project is to be performed by the small business concern and at least 30 percent by the research institution. In both Phase I and Phase II, the research must be performed in the U.S. and its possessions. To be eligible for funding, a grant application must be approved for scientific merit and program relevance by a scientific review group and a national advisory council.

Beneficiary Eligibility:

Individuals and public or private institutions.

Credentials/Documentation:

Each applicant for research projects must present a research plan and furnish evidence that scientific competence, facilities, equipment, and supplies are appropriate to carry out the plan. NRSAs (Individual): The applicant's academic record, research experience, citizenship, licensure as a registered nurse, and institutional sponsorship should be documented in the application. NRSAs (Institutional): The applicant organization must show the objectives, methodology and resources for the research training program, the qualifications and experience of directing staff, the criteria to be used in selecting individuals for awards, and detailed budget justification for the amount of grant funds

requested. Costs will be determined in accordance with OMB Circular A-21, Cost Principles for Educational Institutions. For-profit organizations' costs are determined in accordance with 48 CFR Subpart 31.2 of the Federal Acquisition Regulations. For other grantees, costs will be determined by HHS Regulations 45 CFR, Part 74, Subpart Q. For SBIR and STTR grants, applicant organization (small business concern) must present in a research plan an idea that has potential for commercialization and furnish evidence that scientific competence, experimental methods, facilities, equipment, and funds requested are appropriate to carry out the plan. The SF 424 (Research and Research-Related) application forms and the SF 424 SBIR/STTR Application Guide are used to apply for both SBIR and STTR, Phase I and Phase II. Application is made electronically through Grants.gov (<http://www.grants.gov>). This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Research Grants: Applications must be prepared either electronically through Grants.gov (<http://www.grants.gov>) using the SF 424 (Research and Research-Related). The format is interactive. For assistance contact GrantsInfo, Telephone (301) 435-0714, Email: GrantsInfo@nih.gov. NRSAs (Individual): Individuals must submit the application form, PHS Individual National Research Service Award (PHS 416-1, rev. 10/05), and include at least three letters of reference. If the applicant has been lawfully admitted to the United States for permanent residence, the appropriate item should be checked on the Face Page of the application. Applicants who have applied for and have not yet been granted admission as a permanent resident should check the Permanent resident block on the Face Page of the PHS 416-1 application, and also write in the word "pending." A notarized statement documenting legal admission for permanent residence must be submitted prior to the issuance of an award. SBIR and STTR: The SF 424 (Research and Research-Related) application forms and the SF 424 SBIR/STTR Application Guide are used to apply for both SBIR and STTR grants, Phase I and Phase II. Application must be made electronically through grants.gov (<http://www.grants.gov>). For further assistance contact GrantsInfo, Telephone: (301) 435-0714, E-mail: GrantsInfo@nih.gov.

Award Procedure:

Research Grants and National Research Service Awards (NRSAs) (Institutional): All accepted applications are reviewed for scientific merit by an appropriate initial review group and then by a national advisory council. (Individual NRSA applications are not reviewed by council.) All applications favorably recommended compete for available funds on the basis of scientific merit and mission/program relevance. All accepted SBIR/STTR applications are evaluated for scientific and technical merit by an appropriate scientific peer review panel and by a national advisory council. All applications receiving a priority score compete for available SBIR/STTR set-aside funds on the basis of scientific and technical merit and commercial potential of the proposed research, mission/program relevance, and program balance among the areas of research.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Research Grants: From 6 to 9 months. NRSAs: From 6 to 9 months. SBIR/STTR: About 7-1/2 months. AIDS Grants: About 6 months.

Appeals:

Research Grants: A principal investigator may question the substantive or procedural aspects of the review of his/her application by communicating with the staff of the NINR. NINR follows the NIH Appeals policy, NOT-OD-11-064: <http://grants.nih.gov/grants/guide/notice-files/NOT-OD-11-064.html>. NINR works with application Program Director/Principal Investigators (PD/PI) within the NIH appeals policy.

Renewals:

Research Grants: Renewal applications are accepted. NRSAs: Awards may be made for 1 to 5 years. No individual may receive more than 5 years of aggregate NRSA support beyond the predoctoral level, and no individual may receive NRSA support for more than 3 years of support beyond the postdoctoral level. Institutional awards may be renewed.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Research Grants: Awards are usually made annually with no project periods to exceed 5 years in length. NRSAs: Institutional awards may be made for up to 5 years, and Individual awards may be made for up to 5 years at the predoctoral level, 3 years at postdoctoral level, and 2 years at senior level. SBIR: Normally, Phase I awards are for 6 months; normally, Phase II awards are for 2 years. STTR: Normally, Phase I awards are for 1 year; normally, Phase II awards are for 2 years. See the following for information on how assistance is awarded/released: Grant awards are made annually.

Reports:

Final reports including the financial status report on all projects are required within 90 days after their conclusion. No cash reports are required. Progress reports where applicable are required annually for continuing projects. Financial status reports where applicable are required annually for continuing projects. Progress reports where applicable are required annually for continuing projects.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees generally must retain financial and programmatic records, supporting documents, statistical records, and all other records that are required by the terms of a grant, or may reasonably be considered pertinent to a grant, for a period of 3 years from the date the annual FSR is submitted. For awards under SNAP (other than those to foreign organizations and Federal institutions), the 3-year retention period will be calculated from the date the FSR for the entire competitive segment is submitted. Those grantees must retain the records pertinent to the entire competitive segment for 3 years from the date the FSR is submitted to NIH. Foreign organizations and Federal institutions must retain records for 3 years from the date of submission of the annual FSR to NIH. See 45 CFR 74.53 and 92.42 for exceptions and qualifications to the 3-year retention requirement (e.g., if any litigation, claim, financial management review, or audit is started before the expiration of the 3-year period, the records must be retained until all litigation, claims, or audit findings involving the records have been resolved and final action taken). Those sections also specify the retention period for other types of grant-related records, including F&A cost proposals and property records. See 45 CFR 74.48 and 92.36 for record retention and access requirements for contracts under grants.

In accordance with 45 Code of Federal Regulations, Part 74.53(e), the HHS Inspector General, the U.S. Comptroller General, or any of their duly authorized representatives have the right of timely and unrestricted access to any books, documents, papers, or other records of recipients that are pertinent to awards in order to make audits, examinations, excerpts, transcripts, and copies of such documents. This right also includes timely and reasonable access to a recipients personnel for the purpose of interview and discussion related to such documents. The rights of access are not limited to the required retention period, but shall last as long as records are retained.

Account Identification:

75-0889-0-1-552.

Obligations:

(Project Grants) FY 12 \$109,770,000; FY 13 est \$101,987,000; and FY 14 est

\$110,154,000 - Research Grants. (Training) FY 12 \$9,087,000; FY 13 est \$8,916,000; and FY 14 est \$8,916,000 - National Research Service Awards (NRSA).

Range and Average of Financial Assistance:

FY12 Research Grants: \$68,880 to \$932,343; average cost \$375,000. NRSA Individual Awards: \$2,317 to \$57,734; average cost of FTTP/Award \$35,000; Institutional NRSA Awards: \$155,877 to \$574,965; average cost of FTTP \$48,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: 292 research grants, 71 NRSA Individual FTTPs, 134NRSA Institutional FTTPs. Fiscal Year 2013: 284 research grants, 71 NRSA Individual FTTPs, 134 NRSA Institutional FTTPs. Fiscal Year 2014: 297 research grants, 70 NRSA Individual FTTPs, 131 NRSA Institutional FTTPs.

REGULATIONS, GUIDELINES, AND LITERATURE:

Research Grants: Grants will be available under the authority of and administered in accordance with the NIH Grants Policy Statement and Federal regulations at 42 CFR 52 and 42 USC 241; Omnibus Solicitation of the Public Health Service for Small Business Innovation Research (SBIR) Grant and Cooperative Agreement Applications. Omnibus Solicitation of the National Institutes of Health for Small Business Technology Transfer (STTR) Grant Applications.

Regional or Local Office:

None.

Headquarters Office:

Ann Knebel 31 Center Drive, Bethesda, Maryland 20892 Email: ann.knebel@nih.gov Phone: 301.496.8230

Website Address:

<http://www.ninr.nih.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: (1) Improving Symptoms and Quality-of-Life in Advanced Chronic Heart Failure; (2) Early Detection and Prevention of Multiple Chronic Illnesses Due to Cerebrovascular Disease; (3) Mapping the Complexity of End-of-Life Transitions in the Chronically Critically Ill; (4) PillStream: Agile High-Throughput Assembly of Custom Multi-Medication Packaging; (5) Training in Interventions to Improve Outcomes in Chronic Conditions; (6) Investigating Molecular-Genetic Correlates of Fatigue Experienced by Cancer Patients Receiving Treatment. Fiscal Year 2013: Please see 2012 for a representation of the general science areas covered. Fiscal Year 2014: Please see 2012 for a representation of the general science areas covered.

CRITERIA FOR SELECTING PROPOSALS:

Reviewers provide an overall impact/priority score to reflect their assessment of the likelihood for the project to exert a sustained, powerful influence on the research field(s) involved, in consideration of the following review criteria and additional review criteria (as applicable for the project proposed): (1) Significance: Does the project address an important problem or a critical barrier to progress in the field? If the aims of the project are achieved, how will scientific knowledge, technical capability, and/or clinical practice be improved? How will successful completion of the aims change the concepts, methods, technologies, treatments, services, or preventative interventions that drive this field?(2) Investigators: Are the PD/PIs, collaborators, and other researchers well suited to the project? If Early Stage Investigators or New Investigators, or in the early stages of independent careers, do they have appropriate experience and training? If established, have they demonstrated an ongoing record of accomplishments that have advanced their field(s)? If the project is collaborative or multi-PD/PI, do the investigators have complementary and integrated expertise; are their leadership approach, governance and organizational structure appropriate for the project? (3) Innovation: Does the application challenge and seek to shift current research or clinical practice paradigms by utilizing novel theoretical concepts, approaches or methodologies, instrumentation, or interventions? Are the concepts, approaches or methodologies, instrumentation, or interventions novel to one field of research or novel in a broad sense? Is a refinement, improvement, or new

application of theoretical concepts, approaches or methodologies, instrumentation, or interventions proposed? (4) Approach: Are the overall strategy, methodology, and analyses well-reasoned and appropriate to accomplish the specific aims of the project? Are potential problems, alternative strategies, and benchmarks for success presented? If the project is in the early stages of development, will the strategy establish feasibility and will particularly risky aspects be managed? If the project involves human subjects and/or NIH-defined clinical research, are the plans to address 1) the protection of human subjects from research risks, and 2) the inclusion (or exclusion) of individuals on the basis of sex/gender, race, and ethnicity, as well as the inclusion (exclusion) of children, justified in terms of the scientific goals and research strategy proposed? (5) Environment: Will the scientific environment in which the work will be done contribute to the probability of success? Are the institutional support, equipment and other physical resources available to the investigators adequate for the project proposed? Will the project benefit from unique features of the scientific environment, subject populations, or collaborative arrangements? In addition to the above criteria, the following items will continue to be considered in the determination of scientific merit and the impact/priority score. Protections for Human Subjects: For research that involves human subjects but does not involve one of the six categories of research that are exempt under 45 CFR Part 46, the committee will evaluate the justification for involvement of human subjects and the proposed protections from research risk relating to their participation according to the following five review criteria: 1) risk to subjects, 2) adequacy of protection against risks, 3) potential benefits to the subjects and others, 4) importance of the knowledge to be gained, and 5) data and safety monitoring for clinical trials. For research that involves human subjects and meets the criteria for one or more of the six categories of research that are exempt under 45 CFR Part 46, the committee will evaluate: 1) the justification for the exemption, 2) human subjects involvement and characteristics, and 3) sources of materials. Inclusion of Women, Minorities, and Children: When the proposed project involves human subjects and/or NIH-defined clinical research, the committee will evaluate the proposed plans for the inclusion (or exclusion) of individuals on the basis of sex/gender, race, and ethnicity, as well as the inclusion (or exclusion) of children to determine if it is justified in terms of the scientific goals and research strategy proposed. Vertebrate Animals: The committee will evaluate the involvement of live vertebrate animals as part of the scientific assessment according to the following five points: 1) proposed use of the animals, and species, strains, ages, sex, and numbers to be used; 2) justifications for the use of animals and for the appropriateness of the species and numbers proposed; 3) adequacy of veterinary care; 4) procedures for limiting discomfort, distress, pain and injury to that which is unavoidable in the conduct of scientifically sound research including the use of analgesic, anesthetic, and tranquilizing drugs and/or comfortable restraining devices; and 5) methods of euthanasia and reason for selection if not consistent with the AVMA Guidelines on Euthanasia. Biohazards: Reviewers will assess whether materials or procedures proposed are potentially hazardous to research personnel and/or the environment, and if needed, determine whether adequate protection is proposed. Resubmission: The committee will evaluate the application as now presented, taking into consideration the responses to comments from the previous scientific review group and changes made to the project. Renewal: The committee will consider the progress made in the last funding period. Revision: The committee will consider the appropriateness of the proposed expansion of the scope of the project. If the Revision application relates to a specific line of investigation presented in the original application that was not recommended for approval by the committee, then the committee will consider whether the responses to comments from the previous scientific review group are adequate and whether substantial changes are clearly evident. Applications compete for available funds with all other recommended applications. The following will be considered in making funding decisions: Scientific merit of the proposed project as determined by peer review; Availability of funds; Relevance of program priorities.

93.364 NURSING STUDENT LOANS

NSL

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Title VIII, Section 835-842, 42 U.S.C. 297a-i, as amended; Health Professions Education Partnership Act of 1998, Public Law 105-392.

OBJECTIVES:

To increase educational opportunities by providing long-term, low-interest loans to students in need of financial assistance and in pursuit of a course of study in an approved nursing program.

TYPES OF ASSISTANCE:

DIRECT LOANS

USES AND USE RESTRICTIONS:

The maximum amount a student may borrow is \$3,300 for an academic year for each of the first two years, \$5,200 for each of the final two years, or the amount of the student's financial need, whichever is less. The total of all Nursing Student Loans to any student is limited to \$17,000. Loans will be made to full and half-time nursing students enrolled after June 30, 2000 who are citizens, nationals, lawful permanent residents of the United States, the District of Columbia, the Commonwealths of Puerto Rico, the Mariana Islands, the Virgin Islands, Guam, the American Samoa, the Trust Territory of the Pacific Islands, the Republic of Palau, the Republic of the Marshall Islands, and the Federated States of Micronesia. Funds are made to schools of nursing to capitalize loan.

Applicant Eligibility:

All accredited public and nonprofit private schools of nursing that prepare students for practice as registered or graduate nurses, and that do not discriminate against students because of race, color, origin, sex, or handicapping conditions, are eligible to apply for funds to be disbursed to qualified nursing students.

Beneficiary Eligibility:

The Nursing Student Loan Program provides financial assistance to nursing students who are citizens, nationals or lawful permanent residents of the United States or the District of Columbia, the Commonwealths of Puerto Rico or the Mariana Islands, the Virgin Islands, Guam, the American Samoa or the Trust Territory of the Pacific Islands, the Republic of Palau, the Republic of the Marshall Islands, and the Federated State of Micronesia.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. New applications for this program can be acquired from the Division of Student Loans and Scholarships by calling (301) 443-1173.

Annual operating reports serve as the new year application for current NSL recipient institutions.

Award Procedure:

The Notice of Award is sent to the School's Contact Person notifying the school of the amount of federal funds allocated for loans prior to the beginning of the academic year in which the award is to be utilized. Student is notified by student aid office of approval or disapproval at times determined by schools.

The HRSA program official with delegated authority is responsible for final selection and funding decisions. Notification is made in writing (electronic) by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 6 months.

Appeals:

Not Applicable.

Renewals:

Applications are required for new schools. Annual operating reports are required for renewals.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Matching Requirements: Percent: 11%. Schools participating in loan programs must match the federal contribution with an amount not less than one-ninth of the federal allotment.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Length and time of assistance depends upon the time required by the student to complete the necessary course of study leading to a degree and is subject to availability of funds. See the following for information on how assistance is awarded/released: Grantees draw down funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

Annual Operating Report (AOR) through electronic submission at <http://grants.hrsa.gov>. AOR must be submitted 45 days after the end of each budget period. No cash reports are required. No progress reports are required. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records three years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the three year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular three year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Direct Loans) FY 12 \$1,629,296; FY 13 est \$1,794,588; and FY 14 est \$1,794,588

Range and Average of Financial Assistance:

Range:\$1,001 to \$229,511 (FY2012); Average: \$19,869 per institution for FY 12.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices. Christopher Grosso, Division of Student Loans and Scholarships, Bureau of Health Professions, Health Resources and Services Administration, Department of Health and Human Services, Parklawn Building,

Room 9-105, 5600 Fishers Lane, Rockville, MD 20857. Telephone: (301) 443-1173.

Headquarters Office:

Christopher Grosso 5600 Fishers Lane, Room 9-105, Rockville, Maryland 20857 Phone: (301) 443-1173

Website Address:

www.hrsa.gov.

RELATED PROGRAMS:

84.063 Federal Pell Grant Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be reviewed for completeness and accuracy, and to determine if applicant schools meet minimum program requirements.

93.365 SICKLE CELL TREATMENT DEMONSTRATION PROGRAM SCDTDP**FEDERAL AGENCY:**

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Section 712(c) of the American Jobs Creation Act of 2004, P.L. 108-357.

OBJECTIVES:

To support demonstration projects for the development and establishment of systemic mechanisms and partnerships to improve the prevention and treatment of sickle cell disease (SCD) through coordination of service delivery, genetic counseling and testing, bundling of technical services, training of health professionals, patient and provider education and identifying and establishing other efforts related to the expansion and coordination of education, treatment and continuity of care programs for individuals living with sickle cell disease.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

All funds granted should be expended solely for carrying out the approved projects in accordance with Section 712 of the Public Law 103-357.

Applicant Eligibility:

Eligible entities include, Federally-qualified health center, as defined in section 1905(10)(2)(B) of the Social Security Act (42 U.S.C. 1396d(10)(2)(B)), nonprofit hospital or clinic, or university health center that provides primary health care, that: (1) has a collaborative agreement with a community-based Sickle Cell Disease organization or a nonprofit entity with experience in working with individuals who have Sickle Cell Disease; and (2) demonstrates that either the Federally-qualified health center, the nonprofit hospital or clinic, the university health center, the community-based Sickle Cell Disease organization or the Sickle Cell Disease experts who serve as consultants to the project have at least 5 years of experience in working with individuals who have Sickle Cell Disease.

Beneficiary Eligibility:

Projects will benefit individuals with Sickle Cell Disease and health professionals who provide care for individuals with Sickle Cell Disease.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. HRSA requires all applicants to apply electronically through Grants.gov.

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Award Procedure:

Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Final decisions are made approximately 2-3 months after receipt of applications.

Appeals:

Not Applicable.

Renewals:

After initial award, projects may be renewed annually up to the limit of the project period upon the submission and approval of a satisfactory continuation application.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Awards are made on an annual basis for the duration of the grant period, and payments are made through an Electronic Transfer System or Cash Demand System. See the following for information on how assistance is awarded/released: Grantees drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

Annual Reports. Refer to funding opportunities announcement. Refer to funding opportunities announcement. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records 3 years after the date they submit the Federal Financial Review (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0354-0-1-550.

Obligations:

(Cooperative Agreements) FY 12 \$3,402,432; FY 13 est \$3,209,562; and FY 14 est \$4,000,000

Range and Average of Financial Assistance:

Based on FY 2013
\$356,618; \$356,618.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: No Current Data Available. Fiscal Year 2013: No Current Data Available. Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices. Program Office: R. Lorriane Brown, RN, BS, CPHP, Genetic Services Branch, Division of Services for Children with Special Health Needs, Maternal and Child Health Bureau, Health Resources and Services Administration, Department of Health and Human Services, Room 18A-19, 5600 Fishers Lane, Rockville, MD 20857; Telephone: (301) 443-9775.

Headquarters Office:

Edward Donnell Ivy, MD, MPH 5600 Fishers Lane, Room 18-A19, Rockville, Maryland 20857 Phone: (301) 443-9775

Website Address:

www.hrsa.gov.

RELATED PROGRAMS:

93.110 Maternal and Child Health Federal Consolidated Programs

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: 9 comprehensive sickle cell treatment programs funded nationally. Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

General criteria are described in Program Regulations 45 CFR 51, and specific criteria are included in the funding opportunity announcement materials provided with application kits.

93.389 NATIONAL CENTER FOR RESEARCH RESOURCES

(DBT, DCRR, DCM, DRI)

FEDERAL AGENCY:

National Institutes of Health, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Title III, Section 301, Public Law 78-410, U.S.C 341, 287-288; Public Health Service Act, Title IV, Section 479-480 487, Public Law 99-158; Small Business Research and Development Enhancement Act of 1992, Public Law 102-564.

OBJECTIVES:

The National Center for Research Resources (NCRR) provides laboratory scientists and clinical researchers with the environments and tools they need to understand, detect, treat, and prevent a wide range of diseases. This support enables discoveries that begin at a molecular and cellular level, move to animal-based studies, and then are translated to patient-oriented clinical research, resulting in cures and treatments for both common and rare diseases. NCRR connects researchers with one another and with patients and communities across the nation to harness the power of shared resources and research.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

The Division of Biomedical Technology supports research, development and access to sophisticated technologies at resource centers nationwide which assist academic, nonprofit organizations in the development, use, and dissemination of innovative technologies useful for the advancement of biomedical science. Awards are provided under a variety of funding mechanisms composed of Biomedical Technology Resource(BTR) grants, Research Project Grants, Shared Instrumentation Grants (SIG), High End Instrumentation (HEI) Program and other mechanisms, such as Exploratory Grants to develop new technologies

for biomedical research, Small Business Innovation Research (SBIR) grants, and Small Business Technology Transfer (STTR) grants. The Division for Clinical Research Resources makes it possible to apply knowledge gained through basic research to the development of lifesaving drugs, devices, and therapies that protect the health of our nation's citizens by providing research infrastructure for clinical investigators. These specialized institutional resources are equipped to be flexible and responsive to changing scientific and economic environments and to unforeseen research challenges. The Division for Clinical Research Resources helps translate scientific knowledge into effective patient care through General Clinical Research Centers (GCRC), Research Career Development (K23, K24), Clinical Research Curriculum Award (CRCA, K30), Clinical Research-related activities, Small Business Innovation Research (SBIR) grants and Small Business Technology Transfer (STTR) grants; and improves pre-college science education and the public's understanding of science through the Science Education Partnership Award (SEPA) Program. Additionally, a new consortium, funded through Clinical and Translational Science Awards (CTSAs), will transform how clinical and translational research is conducted, ultimately enabling researchers to provide new treatments more efficiently and quickly to patients. The Division of Comparative Medicine supports research and resource projects, as well as centers that enable biomedical scientists to use high quality, disease-free animals effectively in research on human health problems. Support is also available for the development of a wide range of other research models, particularly marine invertebrates and lower vertebrates, and access to an array of important biological materials. These objectives are accomplished through activities of National Primate Research Centers (NPRC), Laboratory Animal Science (LAS), and Biological Models and Materials Research (BMMR). The Division of Research Infrastructure addresses the under representation of minority investigators; enhances the research environment at minority colleges and universities in the health sciences; broadens the geographic distribution of research grant funding to states with historically low NIH success rates; and improves biomedical research facilities through matching grants for construction and renovation. These objectives are accomplished through activities of the Research Centers in Minority Institutions (RCMI) program, the RCMI Clinical Research Infrastructure Initiative (RCRII), and the Institutional Developmental Award (IDeA). The Office of Construction supports the Research Facilities Improvement Program (RFIP) and the Animal Facilities Improvement Program.

Applicant Eligibility:

BTR Grants: Nonprofit health professional schools; other academic institutions; hospitals; State and local health agencies; and research organizations with programs in biomedical technology research located in the United States and its territories. **SIG and HEI awards** will be made to institutions only, not to individuals. **GCRC and CTSA grants:** schools, research hospitals, and other institutions capable of carrying out well-designed studies in any preclinical or clinical science involving human beings as research subjects in conjunction with investigators funded through the National Institutes of Health (NIH) and other Federal agencies. **Research Career Development:** Applications may be submitted on behalf of candidates by domestic, nonfederal organizations, public or private institutions of higher education. **Clinical Research Scholar Program Award:** Applications will be accepted from domestic, nonprofit organizations, public and private institutions of higher education that have strong, well-established clinical research and training program. **SEPA:** Public and private for-profit and nonprofit institutions and museums. **DCM:** Institutions of higher education, hospitals, and other institutions and organizations, both nonprofit and for-profit, seeking to establish, continue, or enlarge programs consistent with the objectives of the program. Applicants for NRSA must be citizens of the United States or be admitted to the United States for permanent residency. Applicants must be nominated and sponsored by a public or private nonprofit institution with staff and facilities suitable for the proposed research training. Nonprofit domestic organizations may apply for the institutional NRSA. **RCMI:** Institutions with more than 50 percent minority enrollment that award an M.D., D.D.S., D.V.M., or other doctoral degree in the health professions and/or a Ph.D. in the health-related sciences. This program is open only to institutions within the United States and its territories. **RCRII:** RCMI eligible institutions affiliated with medical schools. **IDeA:** Domestic public and private for profit and nonprofit institutions in States in which the success rate for applications to the NIH has been historically low. **RFIP and Animal Facilities Improvement:** Except where otherwise prohibited by law, any

nonprofit organization competent to carry out biomedical research.

Beneficiary Eligibility:

Biomedical investigators at any nonprofit or for-profit organization, company, or institution engaged in biomedical research.

Credentials/Documentation:

SIG, HEI: A major user group of three or more investigators should be identified. Each major user must have NIH peer-reviewed research support at the time of the award. The application must show a clear need for the instrumentation by projects supported by multiple NIH research awards and demonstrate that these projects will require at least 75 percent of the total usage of the instrument. Applications for NRSA must be citizens of the United States or be admitted to the United States for permanent residency. Applicants must be nominated and sponsored by a public or private nonprofit institution with staff and facilities suitable for the proposed research training. Nonprofit domestic organizations may apply for the institution NRSA. **RCMI, RCRII, and CCRC:** Applicants must certify eligibility (see Applicant Eligibility requirements). **IDeA, and Animal Facilities Improvement:** None. **RFIP:** Application should include a complete research program description (with staff curricula vitae), space requirements for projected program, an environmental summary of the impact of the proposed facility as detailed in Public Law 90-190, The National Environmental Policy Act, and listing of all relevant licenses, permits or other approvals required by State and local authorities. Costs will be determined in accordance with OMB Circular Nos. A-122, "Cost Principles for Nonprofit Organizations," and in the case of SIG & HEI No. A-87 for State and local governments or A-21 for Educational Institutions, and HHS Regulations 45 CFR, Part 74, Subpart Q. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Application forms may be obtained from the Division of Extramural Outreach and Information, 6701 Rockledge Drive, MSC 7910, Bethesda, MD 20892-7910. Telephone (301) 435-0714, e-mail: ASKNIH@odrockml.od.nih.gov. Completed applications should be submitted to the Center for Scientific Review, 6701 Rockledge Drive, MSC 7710, Bethesda, MD 20892-7710. The standard application forms PHS 398, (Rev. November 1998), as furnished by PHS and required by 45 CFR, Part 92, and OMB Circular No. A-110 must be used for all programs. For filing NRSA individual fellowship award applications, Form 416-1 (Rev. October 1991) is needed. **CTSA:** Special instructions for preparing applications should be requested from the Division for Clinical Research Resources, One Democracy Plaza, 6701 Democracy Blvd., National Center for Research Resources, National Institutes of Health, Bethesda, MD 20892-4874. **RFIP:** Construction applications are to be submitted on a Standard Form 424, Application for Federal Assistance.

Award Procedure:

The initial review of applications from eligible investigators and institutions is reviewed by committees comprised of authorities in various fields of biomedical research and science education, as appropriate. Each application is given a peer evaluation for merit, and recommendations are forwarded to the National Advisory Research Resources Council for final review and recommendation.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

> 180 Days.

Appeals:

A principal investigator (P.I.) may question the substantive or procedural aspects of the review of their application by communicating with the NCRR

staff. A description of the NIH Peer Review Appeal procedures is available on the NIH home page www.nih.gov/grants/guide/1997/97.11.21/n2.html.

Renewals:

K23, K24, SIG, HEL, Animal Facilities Improvement, and RFIP: None. All others may be renewed upon successful reapplication.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

All programs except for RFIP: 1 to 5 years. An Electronic Transfer System is used for transferring funds. RFIP: Construction must be completed within the time specified in grant award. The initial budget period is usually 2 years in length, although extensions may be requested. All funds must be obligated within 5 years from the date of award. See the following for information on how assistance is awarded/released: All programs except for RFIP: 1 to 5 years. An Electronic Transfer System is used for transferring funds. RFIP: Construction must be completed within the time specified in grant award. The initial budget period is usually 2 years in length, although extensions may be requested. All funds must be obligated within 5 years from the date of award.

Reports:

No program reports are required. No cash reports are required. Annual progress and financial status reports are required for all grants. Final performance and financial reports are required 90 days following the end of the project period. Reports are required after termination of NRSA to ascertain compliance with the service and payback provisions. RFIP: The grantee is responsible for performance of the contractor. The contractor must be covered by performance and payment bonds. Annual progress and financial status reports are required for all grants. Final performance and financial reports are required 90 days following the end of the project period. Reports are required after termination of NRSA to ascertain compliance with the service and payback provisions. RFIP: The grantee is responsible for performance of the contractor. The contractor must be covered by performance and payment bonds. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees generally must retain financial and programmatic records, supporting documents, statistical records, and all other records that are required by the terms of a grant, or may reasonably be considered pertinent to a grant, for a period of 3 years from the date the annual FSR is submitted. For awards under SNAP (other than those to foreign organizations and Federal institutions), the 3-year retention period will be calculated from the date the FSR for the entire competitive segment is submitted. Those grantees must retain the records pertinent to the entire competitive segment for 3 years from the date the FSR is submitted to NIH. Foreign organizations and Federal institutions must retain records for 3 years from the date of submission of the annual FSR to NIH. See 45 CFR 74.53 and 92.42 for exceptions and qualifications to the 3-year retention requirement (e.g., if any litigation, claim, financial management review, or audit is started before the expiration of the 3-year period, the records must be retained until all litigation, claims, or audit findings involving the records have been resolved and final action taken). Those sections also specify the retention period for other types of grant-related records, including F&A cost proposals and property records. See 45 CFR 74.48 and 92.36 for record retention and access requirements for contracts under grants. In accordance with 45 Code of Federal Regulations, Part 74.53(e), the HHS Inspector General, the U.S. Comptroller General, or any of their duly authorized representatives have the right of timely and unrestricted access to any books, documents, papers, or other records of recipients that are pertinent to awards in order to make audits, examinations, excerpts, transcripts, and copies of such documents.

This right also includes timely and reasonable access to a recipients personnel for the purpose of interview and discussion related to such documents. The rights of access are not limited to the required retention period, but shall last as long as records are retained.

Account Identification:

75-0848-1-1-552.

Obligations:

(Project Grants) FY 12 \$0; FY 13 est \$0; and FY 14 est \$0 - Special Comprehensive Centers. No funding for this program after FY2011. (Project Grants) FY 12 \$0; FY 13 est \$0; and FY 14 est \$0 - General Clinical Research Centers/Clinical and Translational Science Awards Centers. (Project Grants) FY 12 \$0; FY 13 est \$0; and FY 14 est \$0 - Comparative Medicine Centers. (Project Grants) FY 12 \$0; FY 13 est \$0; and FY 14 est \$0 - Other Research. (Project Grants) FY 12 \$0; FY 13 est \$0; and FY 14 est \$0 - Biomedical Technology Research Centers. (Project Grants) FY 12 \$0; FY 13 est \$0; and FY 14 est \$0 - Research Project Grants. (Project Grants) FY 12 \$0; FY 13 est \$0; and FY 14 est \$0 - Research Centers in Minority Institutions Centers. (Project Grants (Capacity Building and Complaint Processing, Training)) FY 12 \$0; FY 13 est \$0; and FY 14 est \$0 - Research Training. (Project Grants (Contracts)) FY 12 \$0; FY 13 est \$0; and FY 14 est \$0 - Research & Development Contracts.

Range and Average of Financial Assistance:

No new funding for this program since FY2011.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

BT: OMB Circular No. A-21, "Cost Principles for Educational Institutions." Reference: 44 FR 12368, March 6, 1979; Rev. No. 1, 47 FR 33658, August 3, 1982; Rev. No. 2, 51 FR 20908, June 9, 1986. OMB Circular No. A-110, "Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Nonprofit Organizations." Reference: 41 FR 32016, July 30, 1976. OMB Circular No. A-122, "Cost Principles for Nonprofit Organizations." OMB Circular No. A-128, "Audits of State and Local Governments"; Reference: Public Law 98-502, the Single Audit Act of 1984. OMB Circular No. A-87, "Cost Principles Applicable to Grants and Contracts with State and Local Governments"; Reference: 34 CFR 255.45 CFR 92. Reference: 42 FR 45828. OMB Circular No. A-122, "Cost Principles for Nonprofit Organizations." Reference: 45 FR 46022, July 8, 1980. 45 CFR 74; 42 CFR 52h. Grants will be available under the authority of and administered in accordance with the PHS Grants Policy Statement and Federal Regulations at 42 CFR 52 and 42 USC 241. Department Staff Manual "Grants Administration"; Indirect Cost Register, DHHS; PHS Grants Policy Statement, DHHS Publications No. (OASH) 94-50,000, (Rev.) April 1, 1994; "NIH Grants Policy Guide," Office for Protection from Research Risks, NIH; "A Guide to Grant and Award Programs of the NIH"; and miscellaneous program literature from Headquarters Office.

Regional or Local Office:

None.

Headquarters Office:

Patricia Newman, 6701 Democracy Boulevard, Room 994-MSC4874, Bethesda, Maryland 20892-4874 Email: pnewman@mail.nih.gov Phone: (301) 435-0864.

Website Address:

<http://www.ncrr.nih.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The major elements in evaluating proposals include assessments of: (1) The scientific merit and general significance of the proposed study and its objectives; (2) the technical adequacy of the experimental design and approach; (3) the competency of the proposed investigator or group to successfully pursue the project; (4) the adequacy of the available and proposed facilities and

resources; (5) the necessity of the budget components requested in relation to the proposed project; and (6) the relevance and importance to announced program objectives. RFIP: Factors considered in making awards include the merit of the proposal, the needs of the institution, with special consideration for institutions designated as centers of excellence, the commitment of the institution, the availability of funds, and the overall program priorities.

93.393 CANCER CAUSE AND PREVENTION RESEARCH

FEDERAL AGENCY:

National Institutes of Health, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Sections 301, 410 and 411, Public Law 78-410, as amended, 42 U.S.C. 241, 42 U.S.C. 285 - 285a-1; Small Business Research and Development Enhancement Act of 1992, Public Law 102-564.

OBJECTIVES:

To identify cancer risks and risk reduction strategies, to identify factors that cause cancer in man, and to discover and develop mechanisms for cancer prevention in man. Research programs include: (1) epidemiology; (2) chemical, physical and molecular carcinogenesis; (3) early detection biomarkers; (4) nutrition and bioactive food components; (5) immunology and vaccines; (6) field studies and statistics; (7) chemoprevention; (8) agent development; and (9) organ site studies. Small Business Innovation Research (SBIR) program: To expand and improve the SBIR program; to increase private sector commercialization of innovations derived from Federal research and development; to increase small business participation in Federal research and development; and to foster and encourage participation on socially and economically disadvantaged small business concern and women-owned small business concern in technological innovation. Small Business Technology Transfer (STTR) program: To stimulate and foster scientific and technological innovation through cooperative research and development carried out between small business concerns and research institutions; to foster technology transfer between small business concerns and research institutions; to increase private sector commercialization of innovations derived from Federal research and development and economically disadvantage small business concerns and women-owned small business concerns in technological innovation.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grants and cooperative agreements may be made to eligible institutions for the support of cancer research projects. The grants and cooperative agreements may be used for personnel, consultant costs, equipment, supplies, travel, patient costs, animals, alterations and renovations, miscellaneous items, and indirect costs. SBIR Phase I grants (of approximately 6-months' duration) are to establish the technical merit and feasibility of a proposed research effort that may lead to a commercial product or process. Phase II grants are for the continuation of the research initiated in Phase I, and that are likely to result in commercial products or processes. Only Phase I awardees are eligible to receive Phase II support. STTR Phase I grants (normally of 1-year duration) are to determine the scientific, technical, and commercial merit and feasibility of the proposed cooperative effort that has potential for commercial application. Phase II funding is based on results of research initiated in Phase I and scientific and technical merit and commercial potential of Phase II application. The SBIR Fast-Track Initiative provides additional assistance to applicants by expediting the decision and award of SBIR Phase II funding for scientifically meritorious applications for projects that have a high potential for commercialization. Fast-Track is a parallel review option whereby Phase I and Phase II projects are reviewed concurrently with the aim of reducing or eliminating the funding gap between Phase I and Phase II.

Applicant Eligibility:

The awardee will be a university, college, hospital, public agency, nonprofit research institution or for-profit organization that submits an application and receives a grant or cooperative agreement for support of research by a named principal investigator. SBIR grants can be awarded only to domestic small businesses (entities that are independently owned and operated for profit, are not dominant in the field in which research is proposed, and have no more than 500 employees). Primary employment (more than one-half time) of the

principal investigator must be with the small business at the time of award and during the conduct of the proposed project. In both Phase I and Phase II, the research must be performed in the U.S. and its possessions. To be eligible for funding, a grant application must be approved for scientific merit and program relevance by a scientific review group and a national advisory council. STTR grants can be awarded only to domestic small business concern (entities that are independently owned and operated for profit, are not dominant in the field in which research is proposed and have no more than 500 employees) which "partner" with a research institution in cooperative research and development. At least 40 percent of the project is to be performed by the small business concern and at least 30 percent by the research institution. In both Phase I and Phase II, the research must be performed in the U.S. and its possessions. To be eligible for funding, a grant applicant must be approved for scientific merit and program relevance by a scientific review group and a national advisory council.

Beneficiary Eligibility:

Any nonprofit or for-profit organization, company, or institution engaged in biomedical research on cancer.

Credentials/Documentation:

For other grantees, costs will be determined in accordance with HHS Regulations 45 CFR, Part 74, Subpart Q. For-profit organizations' costs are determined in accordance with 48 CFR, Subpart 31.2 of the Federal Acquisition Regulations. For SBIR and STTR grants, applicant organization (small business concern) must present in a research plan and idea that has potential for commercialization and furnish evidence that scientific competence, experimental methods, facilities, equipment, and funds requested are appropriate to carry out the plan. Grant form SF424(R&R) (Rev. January 2010) is used to apply for SBIR Phase I and Phase II and STTR Phase I and Phase II. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Depending on the grant mechanism, either form PHS-398 (Rev. June 2009) or form SF424(R&R) (Rev. January 2010) is the standard application form. Both forms can be obtained online at <http://grants.nih.gov/grants/forms.htm>. The standard application forms, as furnished by DHHS and required by 45 CFR Part 92 for State and local governments, must be used for this program. This program is subject to the provisions of 45 CFR Part 92 for State and local governments. SBIR and STTR Grant Solicitations and SBIR Contract Solicitations may be obtained electronically through the NIH's Small Business Funding Opportunities home page at <http://www.nih.gov/grants/funding/sbir.htm>. The Solicitations include submission procedures, review considerations, and grant application or contract proposal forms. All competing SBIR and STTR grant applications must be submitted electronically to the NIH via Grants.gov (<http://www.grants.gov/>).

Award Procedure:

Grants and cooperative agreements are funded based on scientific merit, program relevance and program balance and are made annually. Initial award provides funds for the first budget period (usually 12 months) and Notice of Grant Award (Form PHS 1533-2) indicates support recommended for the remainder of the project period, allocation of Federal funds by budget categories, and special conditions, if any. All accepted SBIR/STTR applications are evaluated for scientific and technical merit by an appropriate scientific peer review panel and by a national advisory council or board. All applications receiving a priority score compete for available SBIR/STTR set-aside funds on the basis of scientific and technical merit and commercial potential of the proposed research, program relevance, and program balance among the areas of research.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

(Grants) Approximately 10 months. SBIR/STTR: About 7-1/2 months.

Appeals:

A principal investigator (P.I.) may question the substantive or procedural aspects of the review of his/her application by communicating with the staff of the Institute. A description of the NIH Peer Review Appeal procedures is available online through the NIH home page at <http://www.grants.nih.gov/grants/guide/notice-files/not97-232.html>.

Renewals:

Applications submitted for renewal must be reviewed and selected for funding on a competitive basis.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants and Cooperative Agreements: Average 3 to 4 years, maximum of 5 years. Renewals may be awarded for additional periods of up to 5 years based on competitive peer review. Funding is provided through Monthly Demand Payment System or Letter of Credit. SBIR: Normally, Phase I awards are for 6 months; normally, Phase II awards are for 2 years. STTR: Normally, Phase I awards are for 1 year; normally, Phase II awards are for 2 years. Method of awarding/releasing assistance: by letter of credit.

Reports:

No program reports are required. No cash reports are required. Progress reports are required each year. Annual financial status report is required 90 days after end of budget period. Special reports may be requested by DHHS. Terminal reports are required 6 months after the end of a project. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal officials.

Records:

Expenditures and other financial records must be retained for 3 years from the day on which the grantee submits the last financial status report for the report period.

Account Identification:

75-0849-0-1-550 - Cancer Causation Research.

Obligations:

(Project Grants (Cooperative Agreements)) FY 12 \$684,842,483; FY 13 est \$689,659,307; and FY 14 est \$685,331,323 - (Grants) For RPG: FY2012 act.\$684,842,483; FY2013 est. \$689,659,307; and FY2014 est. \$685,331,323. For SBIR/STTR: FY2012 act. \$5,582,941; FY2013 est. \$4,990,122; and FY2014 est. \$3,265,233.

Range and Average of Financial Assistance:

Range: \$6,831 to \$4,908,989

Average: \$452,938.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: FY 2012 Competing RPG actual - 364 awards which were 15.7% of applications received

FY 2012 Competing SBIR/STTR actual - 5 awards which were 9.4% of applications received. Fiscal Year 2013: FY 2013 est. 1,489 total awards. Fiscal Year 2014: FY 2014 est. 1441 awards.

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR 52; 42 CFR 74; 45 CFR 92; NIH Grants Policy Statement (10/1/11); Grants will be available under the authority of and administered in accordance with the NIH Grants Policy Statement and Federal regulations at 42 CFR 52 and 42 U.S.C. 241; Omnibus Solicitation of the Public Health Service for Small

Business Innovation Research (SBIR) Grant and Cooperative Agreement Applications. Omnibus Solicitation of the National Institutes of Health for Small Business Technology Transfer (STTR) Grant Applications.

Regional or Local Office:

None. Not applicable.

Headquarters Office:

Catherine M. Battistone 9609 Medical Center Drive
Seventh Floor, West Tower, 7W532, MSC 9750, Rockville, Maryland 20850
Email: battistic@mail.nih.gov Phone: 240-276-6443 Fax: 240-276-7682

Website Address:

<http://www.nih.gov>; <http://cancer.gov>; <http://cancercontrol.cancer.gov>;
<http://prevention.cancer.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.394 CANCER DETECTION AND DIAGNOSIS RESEARCH**FEDERAL AGENCY:**

National Institutes of Health, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Sections 301, 410, and 411, Public Law 78-410, as amended, 42 U.S.C. 241; Public Law 100-607, 42 U.S.C. 285 and 285a; Small Business Research and Development Enhancement Act of 1992, Public Law 102-564.

OBJECTIVES:

To improve screening and early detection strategies and to develop accurate diagnostic techniques and methods for predicting the course of disease in cancer patients. Screening and Early Detection research includes development of strategies to decrease cancer mortality by finding tumors early when they are more amenable to treatment. Diagnosis research focuses on methods to determine the presence of a specific type of cancer; to predict its course and response to therapy, both a particular therapy or a class of agents; and to monitor the effect of the therapy and the appearance of disease recurrence. These methods include diagnostic imaging and direct analyses of specimens from tumor or other tissues. Support is also provided for establishing and maintaining resources of human tissue to facilitate research. Small Business Innovation Research (SBIR) program: To expand and improve the SBIR program; to increase private sector commercialization of innovations derived from Federal research and development; to increase small business participation in Federal research and development; and to foster and encourage participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation. Small Business Technology Transfer (STTR) program: To stimulate and foster scientific and technological innovation through cooperative research and development carried out between small business concerns and research institutions; to foster technology transfer between small business concerns and research institutions; to increase private sector commercialization of innovations derived from Federal research and development; and to foster and encourage participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grants and cooperative agreements may be made to eligible institutions for the support of cancer research projects. The grants and cooperative agreements may be used for personnel, consultants costs, equipment, supplies, travel, patient costs, animals, alterations and renovations, miscellaneous items, and indirect costs. SBIR Phase I grants (of approximately 6-months' duration) are to

establish the technical merit and feasibility of a proposed research effort that may lead to a commercial product or process. Phase II grants are for the continuation of the research initiated in Phase I, and that are likely to result in commercial products or processes. Only Phase I awardees are eligible to receive Phase II support. STTR Phase I grants (normally of 1-year duration) are to determine the scientific, technical, and commercial merit and feasibility of the proposed cooperative effort that has potential for commercial application. Phase II funding is based on results of research initiated in Phase I and scientific and technical merit and commercial potential of Phase II application. The SBIR Fast-Track Initiative provides additional assistance to applicants by expediting the decision and award of SBIR Phase II funding for scientifically meritorious applications for projects that have a high potential for commercialization. Fast-Track is a parallel review option whereby Phase I and Phase II projects are reviewed concurrently with the aim of reducing or eliminating the funding gap between Phase I and Phase II.

Applicant Eligibility:

The awardee will be a university, college, hospital, public agency, nonprofit research institution or organization, unit of tribal government, or a for-profit organization that submits an application and receives a grant or cooperative agreement for support of research by a named principal investigator. SBIR grants can be awarded only to domestic small businesses (entities that are independently owned and operated for profit, are not dominant in the field in which research is proposed, and have no more than 500 employees). Primary employment (more than one-half time) of the principal investigator must be with the small business at the time of award and during the conduct of the proposed project. In both Phase I and Phase II, the research must be performed in the U.S. and its possessions. To be eligible for funding, a grant application must be approved for scientific merit and program relevance by a scientific review group and a national advisory council. STTR grants can be awarded only to domestic small business concerns (entities that are independently owned and operated for profit, are not dominant in the field in which research is proposed and have no more than 500 employees) which "partner" with a research institution in cooperative research and development. At least 40 percent of the project is to be performed by the small business concern and at least 30 percent by the research institution. In both Phase I and Phase II, the research must be performed in the U.S. and its possessions. To be eligible for funding, a grant application must be approved for scientific merit and program relevance by a scientific review group and a national advisory council.

Beneficiary Eligibility:

Any nonprofit or for-profit organization, company, or institution engaged in biomedical research.

Credentials/Documentation:

For-profit organizations' costs are determined in accordance with 48 CFR, Subpart 31.2 of the Federal Acquisition Regulations. For other grantees, costs will be determined in accordance with HHS Regulations 45 CFR, Part 74, Subpart Q. For SBIR and STTR grants, applicant organization (small business concern) must present in a research plan an idea that has potential for commercialization and furnish evidence that scientific competence, experimental methods, facilities, equipment, and funds requested are appropriate to carry out the plan. Grant form SF424 is used to apply for SBIR Phase I and Phase II, respectively. Grant form SF424 is also used to apply for STTR Phase I and Phase II, respectively. See the website for more information on electronic submission of grants. <http://grants.nih.gov/grants/funding/424/index.htm>. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. The NIH is in the process of a transition to fully electronic grant submission through Grants.gov (see <http://era.nih.gov/ElectronicReceipt> for details). Depending on the grant mechanism, either form PHS-398 (Rev. June 2009) or form SF424(R&R) (January 2010) is the standard form. Both can be obtained at

<http://grants.nih.gov/grants/forms.htm>. The standard application forms, as furnished by PHS and required by 45 CFR, Part 92 for State and local governments, must be used for this program. This program is subject to the provisions of 45 CFR, Part 92 for State and local governments. SBIR and STTR Grant Solicitations and SBIR Contract Solicitation may be obtained electronically through the NIH's "Small Business Funding Opportunities" home page at www.nih.gov/grants/funding/sbir.htm on the World Wide Web. The Solicitations include submission procedures, review considerations, and grant application or contract proposal forms. All competing SBIR and STTR grant applications must be submitted electronically via Grants.gov The following is a website address for NIH electronic submission of grant application information: <http://era.nih.gov/ElectronicReceipt/index.htm>.

Award Procedure:

Approved grants and cooperative agreements are funded based on scientific merit, program relevance, and program balance and are made annually. Initial award provides funds for the first budget period (usually 12 months) and Notice of Grant Award (Form PHS 1533) indicates support recommended for the remainder of the project period, allocation of Federal funds by budget categories, and special conditions, if any. All accepted SBIR/STTR applications are evaluated for scientific and technical merit by an appropriate scientific peer review panel and by a national advisory council or board. All applications receiving a priority score compete for available SBIR/STTR set-aside funds on the basis of scientific and technical merit and commercial potential of the proposed research, program relevance, and program balance among the areas of research.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

(Regular Grants) Approximately 10 months. SBIR/STTR: About 7-1/2 months. See website: <http://grants1.nih.gov/grants/funding/submissionschedule.htm>.

Appeals:

A principal investigator (P.I.) may question the substantive or procedural aspects of the review of his/her application by communicating with the staff of the Institute. A description of the NIH Peer Review Appeal procedures is available on the NIH home page <http://grants.nih.gov/grants/guide/notice-files/not97-232.html>.

Renewals:

Applications submitted for renewal are reviewed and selected for funding on a competitive basis.

Formula and Matching Requirements:

This program has no statutory formula. This program has no matching requirements. This program has no statutory formula or matching requirements. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants and cooperative agreements: Average 3 to 4 years and a maximum of 5 years. Renewals may be awarded for additional periods of up to 5 years based on competitive peer review. Funding is provided through Monthly Demand Payment System or an Electronic Transfer System. SBIR: Normally, Phase I awards are for 6 months; normally, Phase II awards are for 2 years. STTR: Normally, Phase I awards are for 1 year; normally, Phase II awards are for 2 years. Method of awarding/releasing assistance: by letter of credit.

Reports:

No program reports are required. No cash reports are required. Progress reports are required each year. Annual financial status report is required 90 days after the end of the budget period. Special reports may be requested by DHHS. Terminal reports are required 6 months after the end of the project. No expenditure reports are required. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a

year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Expenditures and other financial records must be retained for 3 years from the day on which the grantee submits the last financial status report for the report period.

Account Identification:

75-0849-0-1-550 - Detection and Diagnosis Research.

Obligations:

(Project Grants (Cooperative Agreements)) FY 12 \$292,176,892; FY 13 est \$322,598,456; and FY 14 est \$310,660,454 - (Grants) (RPG) FY2012 act. \$292,176,892; FY2013 est.\$322,598,456 and FY2014 est. \$310,660,454. For SBIR/STTR: FY2012 act. \$24,636,243; FY2013 est. \$23,115,321 and FY2014 est. \$24,989,651.

Range and Average of Financial Assistance:

Range: \$5,032 to \$2,469,676

Average: \$408,068.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Competing FY2012 RPG act. - 189 awards which were 13.1% of applications received
Competing FY2012 SBIR/STTR act. - 42 awards which were 11.6% of applications received. Fiscal Year 2013: FY2013 estimate: 828 awards. Fiscal Year 2014: FY2014 estimate: 827 awards.

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR 52; 45 CFR 74; 45 CFR 92; PHS Grants Policy Statement, DHHS Publication No. (OASH) 94- 50,000, (Rev.) April 1, 1994. Grants will be available under the authority of and administered in accordance with the PHS Grants Policy Statement and Federal regulations at 42 CFR 52 and 42 U.S.C. 241; Omnibus Solicitation of the Public Health Service for Small Business Innovation Research (SBIR) Grant and Cooperative Agreement Applications. Omnibus Solicitation of the National Institute of Health for Small Business Technology Transfer (STTR) Grant Applications.

Regional or Local Office:

None. Not applicable.

Headquarters Office:

Catherine M. Battistone, 9609 Medical Center Drive
Seventh Floor, West Tower, 7W532, MSC 9750, Rockville, Maryland 20850
Email: battistc@mail.nih.gov Phone: 240-276-6443 Fax: 240-276-7682

Website Address:

<http://www.prevention.cancer.gov/programs-resources/groups/ed> and
<http://prevention.cancer.gov/programs-resources/groups/cb>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.395 CANCER TREATMENT RESEARCH

FEDERAL AGENCY:

National Institutes of Health, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Sections 301, 410, and 411, Public Law 78-410, 42 U.S.C. 241, as amended, Public Law 100-607, 42 U.S.C 285(a); Small Business Research and Development Enhancement Act of 1992, Public Law 102-564.

OBJECTIVES:

To develop the means to cure as many cancer patients as possible and to control the disease in those patients who are not cured. Cancer Treatment Research includes the development and evaluation of improved methods of cancer

treatment through the support and performance of both fundamental and applied laboratory and clinical research. Research is supported in the discovery, development, and clinical testing of all modes of therapy including: surgery, radiotherapy, chemotherapy, and biological therapy including molecularly targeted therapies, both individually and in combination. In addition, research is carried out in areas of nutritional support, stem cell and bone marrow transplantation, image guided therapies and studies to reduce toxicity of cytotoxic therapies, and other methods of supportive care that may supplement and enhance primary treatment. Small Business Innovation Research (SBIR) program: To expand and improve the SBIR program; to increase private sector commercialization of innovations derived from Federal research and development; to increase small business participation in Federal research and development; and to foster and encourage participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation. Small Business Technology Transfer (STTR) program: To stimulate and foster scientific and technological innovation through cooperative research and development carried out between small business concerns and research institutions; to foster technology transfer between small business concerns and research institutions; to increase private sector commercialization of innovations derived from Federal research and development; and to foster and encourage participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grants and cooperative agreements may be made to eligible institutions for the support of cancer research projects. The grants may be used for personnel, consultant costs, equipment, supplies, travel, patient costs, animals, alterations and renovations, miscellaneous items, and indirect costs. SBIR Phase I grants (of approximately 6-months duration) are to establish the technical merit and feasibility of a proposed research effort that may lead to a commercial product or process. Phase II grants are for the continuation of the research initiated in Phase I, and that are likely to result in commercial products or processes. Only Phase I awardees are eligible to receive Phase II support. STTR Phase I grants (normally of 1-year duration) are to determine the scientific, technical, and commercial merit and feasibility of the proposed cooperative effort that has potential for commercial application. Phase II funding is based on results of research initiated in Phase I and scientific and technical merit and commercial potential of Phase II application. The SBIR Fast-Track Initiative provides additional assistance to applicants by expediting the decision and award of SBIR Phase II funding for scientifically meritorious applications for projects that have a high potential for commercialization. Fast-Track is a parallel option whereby Phase I and Phase II projects are reviewed concurrently with the aim of reducing or eliminating the funding gap between Phase I and Phase II.

Applicant Eligibility:

The awardee will be a university, college, hospital, public agency, nonprofit research institution, or for-profit organization that submits an application and receives a grant or cooperative agreement for support of research by a named principal investigator. SBIR grants can be awarded only to domestic small businesses (entities that are independently owned, and operated for profit, are not dominant in the field in which research is proposed and have no more than 500 employees). Primary employment (more than one-half time) of the principal investigator must be with the small business at the time of award and during the conduct of the proposed project. In both Phase I and Phase II, the research must be performed in the U.S. and its possessions. To be eligible for funding, a grant application must be approved for scientific merit and program relevance by a scientific review group and a national advisory council. STTR grants can be awarded only to domestic small business concerns (entities that are independently owned and operated for profit, are not dominant in the field in which research is proposed and have no more than 500 employees) which "partner" with a research institution in cooperative research and development. At least 40 percent of the project is to be performed by the small business concern and at least 30 percent by the research institution. In both Phase I and Phase II, the research must be performed in the U.S. and its possessions. To be eligible for funding, a grant application must be approved for scientific merit and program relevance by a scientific review group and a national advisory

council.

Beneficiary Eligibility:

Any nonprofit or for-profit organization, company, or institution engaged in biomedical research.

Credentials/Documentation:

For-profit organizations' cost are determined in accordance with 48 CFR, Subpart 31.2 of the Federal Acquisition Regulations. For other grantees, costs will be determined in accordance with HHS Regulations 45 CFR, Part 74, Subpart Q. For SBIR and STTR grants, applicant organization (small business concern) must present in a research plan an idea that has potential for commercialization and furnish evidence that scientific competence, experimental methods, facilities, equipment, and funds requested are appropriate to carry out the plan. Grant form SF424 is used to apply for SBIR Phase I and Phase II, respectively. Grant form SF424 is also used to apply for STTR Phase I and Phase II, respectively. See the website for more information on electronic submission of grants.

<http://grants.nih.gov/grants/funding/424/index.htm>. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Application form PHS-398 is the standard form which may be obtained electronically from:

<http://grants.nih.gov/grants/funding/phs398/phs398.html> in an interactive format. The standard application forms required by 45 CFR, Part 92 for State and local governments, must be used for this program. SBIR and STTR Grant Solicitations and SBIR Contract Solicitation may be obtained electronically through the NIH's "Small Business Funding Opportunities" home page at <http://www.nih.gov/grants/funding/sbir.htm> on the Web. Solicitations include submission procedures, review considerations, and grant application or contract proposal forms. SBIR and STTR grant applications should be submitted to the Center for Scientific Review, 6701 Rockledge Drive, Room 1040-MSC 7710, Bethesda, MD 20892-7710.

Award Procedure:

Approved grants and cooperative agreements are funded based on scientific merit, program relevance, and program balance and are made annually. Initial award provides funds for the first budget period (usually 12 months) and Notice of Grant Award (Form PHS 1533) indicates support recommended for remainder of project period, allocation of Federal funds by budget categories, and special conditions, if any. All accepted SBIR/STTR applications are evaluated for scientific and technical merit by an appropriate scientific peer review panel and if they are recommended for further consideration, by a national advisory council or board. All applications receiving a priority score compete for available SBIR/STTR set-aside funds on the basis of scientific and technical merit and commercial potential of the proposed research, program relevance, and program balance among the areas of research.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 10 months. SBIR Applications: About 7-1/2 months.

Appeals:

A principal investigator (P.I.) may question the substantive or procedural aspects of the review of his/her application with the staff of the Institute. A description of the NIH Peer Review Appeal procedures is available on the NIH home page <http://grants.nih.gov/grants/guide/notice-files/not97-232.html>.

Renewals:

Applications submitted for renewal are reviewed and selected for funding on a competitive basis.

Formula and Matching Requirements:

This program has no statutory formula.

Matching requirements are not applicable to this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants and cooperative agreements: Average 3 to 4 years, maximum of 5 years. Renewals may be awarded for additional periods of up to 5 years based on competitive peer review. Funds are provided through Monthly Demand Payment System or an Electronic Transfer System. SBIR: Normally, Phase I awards are for 6 months; normally, Phase II awards are for 2 years. STTR: Normally, Phase I awards are for 1 year; normally, Phase II awards are for 2 years. Method of awarding/releasing assistance: by letter of credit.

Reports:

No program reports are required. No cash reports are required. Progress reports are required each year. An Annual financial status report is required 90 days after the end of the budget period. Special reports may be requested by the DHHS. Terminal reports are required 6 months after the end of the project. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Expenditures and other financial records must be retained for 3 years from the day on which the grantee submits the last financial status report for the report period.

Account Identification:

75-0849-0-1-550 - Treatment Research.

Obligations:

(Salaries) FY 12 \$491,791,914; FY 13 est \$490,191,103; and FY 14 est \$493,214,333 - (Grants) For RPG: FY2012 act. \$491,791,914; FY2013 est. \$490,191,103 and FY2014 est. \$489,342,143,333. For SBIR/STTR: FY2012 act. \$40,872,362; FY2013 est. \$44,625,108 and FY2014 est \$50,742,845.

Range and Average of Financial Assistance:

Range: \$15,918 to \$6,386,327

Average: \$434,061.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Competing FY2012 RPG act. - 250 awards which were 11.3% of applications received. Competing FY2012 SBIR/STTR act. - 75 awards which were 13.4% of applications received. Fiscal Year 2013: It is estimated that 1,197 total awards will be made in FY 2013. Fiscal Year 2014: It is estimated that 1,177 total awards will be made in FY 2014.

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR 52; 45 CFR 74; 45 CFR 92. Grants will be available under the authority of and administered in accordance with the PHS Grants Policy Statement and Federal regulations at 42 CFR 52 and 42 USC 241; Omnibus Solicitation of the Public Health Service for Small Business Innovation Research (SBIR) Grant and Cooperative Agreement Applications. Omnibus Solicitation of the National Institutes of Health for Small Business Technology Transfer (STTR) Grant Applications.

Regional or Local Office:

None. Not applicable.

Headquarters Office:

Catherine M. Battistone, 9609 Medical Center Drive
Seventh Floor, West Tower, Room 7W532, MSC 9750, Rockville, Maryland
20850 Email: battistc@mail.nih.gov Phone: 240-276-6443 Fax: 240-276-7682

Website Address:

<http://www.nci.nih.gov>.

RELATED PROGRAMS:

93.393 Cancer Cause and Prevention Research; 93.394 Cancer Detection and Diagnosis Research; 93.396 Cancer Biology Research; 93.399 Cancer Control

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The major elements in evaluating applications include assessments of: (1) The scientific merit and general significance of the proposed study and its objectives; (2) the technical adequacy of the experimental design and approach; (3) the competency of the proposed investigator or group to successfully pursue the project; (4) the adequacy of the available and proposed facilities and resources; (5) the necessity of the budget components requested in relation to the proposed project; and (6) the relevance and importance to announced program objectives. The following criteria will be used in considering the scientific and technical merit of SBIR/STTR Phase I grant applications: (1) The soundness and technical merit of the proposed approach; (2) the qualifications of the proposed principal investigator, supporting staff, and consultants; (3) the technological innovation of the proposed research; (4) the potential of the proposed research for commercial application; (5) the appropriateness of the budget requested; (6) the adequacy and suitability of the facilities and research environment; and (7) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment. Phase II grant applications will be reviewed based upon the following criteria: (1) The degree to which the Phase I objectives were met and feasibility demonstrated; (2) the scientific and technical merit of the proposed approach for achieving the Phase II objectives; (3) the qualifications of the proposed principal investigator, supporting staff, and consultants; (4) the technological innovation, originality, or societal importance of the proposed research; (5) the potential of the proposed research for commercial application; (6) the reasonableness of the budget requested for the work proposed; (7) the adequacy and suitability of the facilities and research environment; and (8) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment.

93.396 CANCER BIOLOGY RESEARCH

FEDERAL AGENCY:

National Institutes of Health, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Section 301, 410, and 411, Public Law 78-410, 42 U.S.C. 241, as amended; Public Law 100-607, 42 U.S.C. 285 and 285a; Small Business Research and Development Enhancement, Act of 1992, as amended, Public Law 102-564.

OBJECTIVES:

To provide fundamental information on the cause and nature of cancer in people, with the expectation that this will result in better methods of prevention, detection and diagnosis, and treatment of neoplastic diseases. Cancer Biology Research includes the following research programs: cancer cell biology, cancer etiology, structural biology and molecular applications, DNA and chromosomal aberrations, tumor biology and metastasis, and immunology and hematology.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grants and cooperative agreements may be made to eligible institutions for the support of cancer research projects. The grants and cooperative agreements may be used for personnel, consultant costs, equipment, supplies, travel, patient costs, animals, alterations and renovations, miscellaneous items, and Facilities and Administrative Costs (formerly known as indirect costs).

Applicant Eligibility:

The awardee will be a university, college, hospital, public agency, nonprofit research institution or for-profit organization that submits an application and receives a grant for support of research by a named principal investigator. To be eligible for funding, a grant application must be approved for scientific merit and program relevance by a scientific review group and a national advisory council.

Beneficiary Eligibility:

Any nonprofit or for-profit organization, company, or institution engaged in biomedical research.

Credentials/Documentation:

For-profit organizations' costs are determined in accordance with Subpart 31.2 of the Federal Acquisition Regulations. For other grantees, costs will be determined in accordance with HHS Regulations 45 CFR Part 74, Subpart Q. See the website for more information on the electronic submission of grants. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. The NIH is in the process of a transition to fully electronic grant submission through Grants.gov (see <http://era.nih.gov/ElectronicReceipt> for details). Depending on the grant mechanism, either form PHS-398 (Rev. June 2009) or form SF424(R&R) (January 2010) is the standard form. Both can be obtained at <http://grants1.nih.gov/grants/forms.htm>. The standard application forms, as furnished by PHS and required by 45 CFR, Part 92 for State and local governments, must be used for this program. This program is subject to the provisions of 45 CFR, Part 92 for State and local governments. The following is a website address for NIH electronic submission of grant application information: <http://era.nih.gov/ElectronicReceipt/index.htm>.

Award Procedure:

Grants and cooperative agreements are funded based on scientific merit, program relevance, and program balance and are made annually. The initial grant award provides funds for the first budget period (usually 12 months) and letter Notice of Award indicates support recommended for the remainder of the project period, allocation of Federal funds by budget categories and special conditions, if any.

Deadlines:

Aug 05, 2012 All Grants including renewals and supplements: April 5, August 5, December 5. Cooperative Agreements and contracts are usually submitted in response to a Request for Applications (RFA) or a Request for Proposals (RFP). The receipt date is stipulated in the RFA or RFP.

Range of Approval/Disapproval Time:

Regular Grants: Approximately 10 months.

Appeals:

A principal investigator may question the substantive or procedural aspects of the review of his/her application by communicating with the staff of the Institute. A description of the NIH Peer Review Appeal procedures is available on the NIH home page www.nih.gov/grants/guide/notice-files/not97-232.html.

Renewals:

Applications submitted for renewal are reviewed and selected for funding on a competitive basis.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grants and Cooperative Agreements: Average 3 to 4 years, and a maximum of 5 years. Renewals may be awarded for additional periods of up to 5 years based on competitive peer review. Funding is provided through Monthly Demand Payment System or an Electronic Transfer System. Method of awarding/releasing assistance: by letter of credit.

Reports:

No program reports are required. No cash reports are required. Progress reports are required each year. Annual financial status report is required 90 days after the end of the budget period. Special reports may be requested by DHHS. Terminal reports are required 6 months after the end of a project. Expenditure

reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Expenditures and other financial records must be retained for 3 years from the day on which the grantee submits the last financial status report for the report period.

Account Identification:

75-0849-0-1-550 - Cancer Biology Research.

Obligations:

(Salaries) FY 12 \$529,128,143; FY 13 est \$512,011,134; and FY 14 est \$500,146,890 - (Grants) RPG: FY2012 act. \$529,128,143; FY2013 est. \$512,011,134; and FY2014 est \$00,146,890. SBIR/STTR: FY2012 \$6,263,677; FY2013 est. \$5,258,449; and FY2014 est. \$6,105,271.

Range and Average of Financial Assistance:

Range: \$3,278 to \$4,298,042

Average: \$359,951.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Competing FY2012 RPG act.- 282 awards which were 13.5% of applications received

Competing FY2012 SBIR/STTR act.- 13 awards which were 12.1% of applications received. Fiscal Year 2013: It is estimated that 1,390 total awards will be made in FY2013. Fiscal Year 2014: It is estimated that 1,450 total awards will be made in FY2014.

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR 52; 45 CFR 74; 45 CFR 92; Grants will be available under the authority of and administered in accordance with the PHS Grants Policy Statement and Federal regulations at 42 CFR 52 and 42 U.S.C. 241; Omnibus Solicitation of the Public Health Service for Small Business Innovation Research (SBIR) Grant and Cooperative Agreement Applications. Omnibus Solicitation of the National Institutes of Health for Small Business Technology Transfer (STTR) Grant Applications.

Regional or Local Office:

None.

Headquarters Office:

Catherine M. Battistone, 9609 Medical Center Drive
Seventh Floor, West Tower, 7W532, MSC 9750, Rockville, Maryland 20850
Email: battistc@mail.nih.gov Phone: 240-276-6443 Fax: 240-276-7682

Website Address:

<http://www.nih.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.397 CANCER CENTERS SUPPORT GRANTS

FEDERAL AGENCY:

National Institutes of Health, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Section 305(a), 410, 411, and 414, Public Law 78-410, 42 U.S.C. 241, as amended; Public Law 100-607, 42 U.S.C. 285, 285a

and 285a-3; Public Law 99-500.

OBJECTIVES:

To provide an organizational focus and stimulus for the highest quality cancer research that effectively promotes interdisciplinary cancer research aimed toward the ultimate goal of reducing cancer incidence, mortality and morbidity. The Cancer Center Support Grant (CCSG) provides the resources and infrastructure to facilitate the coordination of interdisciplinary programs across a broad spectrum of research from basic laboratory research to clinical investigation to population science. The CCSG supports salaries for scientific leadership of the Center, shared resources for funded center investigators, certain administrative costs, planning and evaluation, and developmental funds for new recruitments and feasibility studies.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

In general, CCSGs do not support research projects directly but are used to enhance the ongoing cancer research and to strengthen the existing research base according to the "Policies and Guidelines Relating to the Cancer Center Support Grant."

Applicant Eligibility:

Any nonprofit institution within the United States with a peer-reviewed cancer research base of 4.0 million dollars in direct costs may apply for a Cancer Center Support Grant.

Beneficiary Eligibility:

University, college, public agency or research institution in the U.S.

Credentials/Documentation:

The application requests documentation concerning the qualifications of the principal investigators and associates, pertinent budget information and justification for the support of this proposed grant. Methods to be utilized are outlined in the application materials. Costs will be determined in accordance with HHS Regulations 45 CFR, Part 74, Subpart Q, and for State and local governments in accordance with OMB Circular No. A-87. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Method of Application: Form PHS-398 (Rev. June 2009) can be obtained from the grant.nih.gov/grants/forms.htm website (click on PHS-398). In addition, supplemental instructions for applications are contained in the Cancer Center Support Grant Guidelines which can be obtained by writing to the Office of Cancer Centers, 6116 Executive Boulevard, Suite 700, MSC 8345, Bethesda, MD 20892-8345 (301-496-8531 or http://cancercenters.cancer.gov/grants_funding/index.html). Generally, the potential applicant should send a letter of intent to the National Cancer Institute, Office of Cancer Centers, submitting a CCSG application to the same address for review (see Guidelines for CCSGs). Completed applications should be submitted to the Center for Scientific Review, National Institutes of Health, 6701 Rockledge Drive, Bethesda, MD 20892. This program is subject to the provisions of 45 CFR, Part 92 for State and local governments and OMB Circular No. A-110 for nonprofit organizations.

Award Procedure:

Grants are funded based on scientific merit, program relevance, and program balance and are made annually. Initial award provides funds for the first budget period (usually 12 months) and Notice of Grant Award (Form PHS 1533) indicates support recommended for the remainder of the project period, allocation of Federal funds by budget categories and special conditions, if any.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Core Grants: Approximately 10 months.

Appeals:

A principal investigator may question the substantive or procedural aspects of the review of his/her application by communicating with the staff of the Institute. A description of the NIH Peer Review Appeal procedures is available on <http://grants.nih.gov/grants/guide/notice-files/NOT-OD-11-064.html> and NIH Policy Manual Release date: February 7, 2012 <http://oma.od.nih.gov/manualchapters/grants/54513/index.html>.

Renewals:

Same procedure as new applications.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Up to 5 years of support may be requested. Grants may be awarded up to 5 years based on competitive peer review. Method of awarding/releasing assistance: by letter of credit.

Reports:

No program reports are required. No cash reports are required. Progress reports are required each year. An annual financial status report is requested 90 days after the end of the budget period. Special reports may be requested by DHHS. Terminal reports are required 90 days after the end of a project. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Expenditures and other financial records must be retained for 3 years from the day on which the grantee submits the last financial status report for the report period.

Account Identification:

75-0849-0-1-550 - Cancer Center Support Grants532273000.

Obligations:

(Salaries) FY 12 \$569,475,682; FY 13 est \$611,442,582; and FY 14 est \$617,442,234 - (Grants) (TotalCenters) FY2012 act. 569,475,682; FY2013 est. \$611,442,682; and FY2014 est. \$671,442,234..

Range and Average of Financial Assistance:

Range: \$18,607 to \$13,185,550
Average: \$2,382,743.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Fiscal year 2012 funded 239 total Centers which will include 67 COREs, 54 SPOREs and 113 Specialized Centers. Fiscal Year 2013: Fiscal year 2013 will fund an estimated 251 total Centers which will include 68 COREs, 54 SPOREs and 113 Specialized Centers. Fiscal Year 2014: Fiscal year 2014 will fund an estimated 251 total Centers which will include 68 COREs, 54 SPOREs and 113 Specialized Centers.

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR 52; 45 CFR 74; 45 CFR 92; PHS Grants Policy Statement, DHHS Publication No. (OASH) 90- 50,000, (Rev.) October 1, 1990.

Regional or Local Office:

None. None.

Headquarters Office:

Catherine M. Battistone, 9609 Medical Center Drive
Seventh Floor, West Tower, 7W532, MSC 9750, Rockville, Maryland 20850
Email: battistic@mail.nih.gov Phone: 240-276-6443 Fax: 240-276-7682

Website Address:

<http://cancercenters.cancer.gov/>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The major elements in evaluating applications include the following: (1) An adequate base of established programs of high quality in laboratory and clinical cancer research; (2) research activity in a variety of disciplines, and evidence of high degree of interdisciplinary coordination, interaction and cooperation among center members; (3) appropriate and adequate organization and facilities for the conduct and evaluation of center activities; (4) a qualified director with adequate authority; and (5) an adequate commitment of the parent institution or institutions to the cancer center. The center should be recognized as a major element within the organizational structure.

93.398 CANCER RESEARCH MANPOWER

FEDERAL AGENCY:

National Institutes of Health, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Sections 413 and 487, as amended, Public Law 100-607, 42 U.S.C. 285a, 42 U.S.C. 288.

OBJECTIVES:

To make available support to nonprofit and for-profit institutions interested in providing biomedical training opportunities for individuals interested in careers in basic, clinical, and prevention research important to the National Cancer Program.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

The Research Manpower program provides support for research training in all scientific fields related to cancer. The Ruth L. Kirschstein National Research Service Awards (NRSA) for Individual Fellows, are made directly to individuals for research training in biomedical sciences areas. In addition, the National Institutes of Health (NIH) will award the Ruth L. Kirschstein NRSA Institutional Research Training grants to institutions, to enable them to make NRSA awards to individuals selected by them. Each individual who receives a postdoctoral NRSA is obligated, upon termination of the award, to comply with certain service and payback provisions. Cancer Education Grants are designed to promote education programs carried out by organizations engaged in health-related education, research, or training, located in the United States, its possessions, or territories. Various Career awards are designed to sponsor the development of independent scientists. Individual short-term support for students is available under the Cancer Education Program.

Applicant Eligibility:

University, college, hospital, public agency, or nonprofit research institution for institutional grants and individuals for fellowships. The applicant institution must be able to provide the staff and facilities and be responsible for the selection of trainees and overall direction of the training. Selected awardees must be citizens of the United States or be admitted to the United States for permanent residency. Career and Cancer Education awards may be given to for-profit institutions.

Beneficiary Eligibility:

University, college, hospital, public agency, nonprofit research institution or for-profit institution.

Credentials/Documentation:

(1) Individual Ruth L. Kirschstein NRSAs: The applicant's academic record, research experience, citizenship, institutional sponsorship, and the proposed area and plan of training must be included in the application. (2) Institutional Ruth L. Kirschstein NRSAs: Applicants must show the objectives, methodology, and resources for the training program, the qualifications and experience of directing staff, the criteria to be used in selecting individuals for

award and a detailed budget and justification for the amount of grant funds requested. (3) Institutional Cancer Education Grants: Applicants must describe the organization and administration of the proposed program, list and define institutional objectives, methodology, resources and techniques of evaluation, as well as a justification of proposed expenditures. (4) For Career awards, the applicant must provide documentation on the candidate, sponsor, career development plan, research proposed, and institutional commitment. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Applications for the Individual Ruth L. Kirschstein NRSA must be prepared using the PHS 416-1 application form and instructions for Individual National Research Service Award Fellowships (rev. 10/05). The PHS 416-1 is available at <http://grants.nih.gov/grants/funding/416/phs416.htm>. For further assistance, direct inquiries may be made to GrantsInfo@nih.gov or (301) 435-0714. Applications for the Institutional Ruth L. Kirschstein NRSA must be prepared using the PHS 398 Form (Revised 9/2004). Refer to Section IV of the instructions titled Instructions For Preparing An NRSA Application. The PHS 398 Form (Revised June 2009) is available at <http://grants.nih.gov/grants/forms.html>. Applications for Cancer Education and Career grants must be prepared using the PHS 398 Form. Refer to Section III of the instructions, Preparing An Individual CDA Application. Applicants are advised to consult the "Submitting an Application" section of the Program Announcement, since each award has distinct application criteria. Completed applications should be submitted to the Center for Scientific Review, National Institutes of Health, 6701 Rockledge Drive, Room 1040, Bethesda, Maryland 20892-7710 (Bethesda, MD 20817 for express/courier service).

Award Procedure:

Applications are reviewed for scientific merit by an appropriate study section, committee, or advisory council. If recommended for approval and a decision to make award is made, a formal award notice will be sent to the applicant.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 10 months.

Appeals:

A principal investigator (P.I.) may question the substantive or procedural aspects of the review of his/her application by communicating with the staff of the Institute. A description of the NIH Peer Review Appeal procedures is available under the Review Criteria section of the NIH Office of Extramural Research website at http://grants2.nih.gov/grants/policy/nihgps_2003/NIHGPs_Part3.htm.

Renewals:

Cancer Education Grants may be made for periods of up to 5 years and renewals may be submitted when appropriate. Research training grants may be renewed for additional project periods of 5 years. Only the following Career awards are renewable: K05 and K24 only a one-time renewal after a 5-year project period, and the K12 up to 5 years (Trainees 2-7 years).

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Up to 5 years. Method of awarding/releasing assistance: by letter of credit.

Reports:

No program reports are required. No cash reports are required. Progress reports are required each year. An annual financial status report is required 90 days after the end of the budget period. Special reports may be requested by the

grantor. Terminal reports are required 6 months after the end of a project. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Expenditures and other financial records must be retained for 3 years from the day on which the grantee submits the last financial status report for the report period.

Account Identification:

75-0849-0-1-550 - Cancer Manpower Research.

Obligations:

(Salaries) FY 12 \$172,527,850; FY 13 est \$173,289,900; and FY 14 est \$174,839,000 - (Grants/Manpower) FY2012 act. \$172,527,850; FY2013 est. \$173,289,900; and FY2014 est. \$174,839,000.

Range and Average of Financial Assistance:

Range: \$4,495 to \$1,272,412

Average: \$181,417.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: FY 2012 individual FTTP: 284 Awards (Full Time Training Position). Of the 284, 171 were competing awards totaling \$6,658,983.

FY 2012 institutional FTTP: 152 Awards with 1,054 FTTPs. Of the 152, 23 were competing awards totaling \$7,280,285.

FY 2012 Career Awards - 422 awards totalling \$73,163,424.

FY 2012 Cancer Education Awards - 93 awards totalling \$33,372,612. Fiscal Year 2013: FY 2013 Manpower Award estimates that 933 awards will be made.

Fiscal Year 2014: FY 2014 Manpower Award estimates that 936 awards will be made.

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR 6; 42 CFR 66; 45 CFR 74; Application kit, National Institutes of Health; PHS Grants Policy Statement, DHHS Publication No. (OASH) 94-50,000, (Rev.) April 1, 1994.

Regional or Local Office:

See Regional Agency Offices. None.

Headquarters Office:

Catherine M. Battistone, 9609 Medical Center Drive Seventh Floor, West Tower, 7W532, MSC 9750, Rockville, Maryland 20850 Email: battistc@mail.nih.gov Phone: 240-276-6443 Fax: 240-276-7682

Website Address:

<http://www.cancer.gov/researchandfunding/training>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The major elements in evaluating proposals include the assessment of: (1) The scientific merit and general significance of the proposed study and its objectives; (2) the technical adequacy of the experimental design and approach; (3) the competency of the proposed investigator or group to successfully pursue the project; (4) the adequacy of the available and proposed facilities and resources; (5) the necessity of the budget components requested in relation to the proposed project; and (6) the relevance and importance to announced program objectives.

93.399 CANCER CONTROL

FEDERAL AGENCY:

National Institutes of Health, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Sections 301, 410 and 412, Public Law 78-410, as amended, Public Law 99-500, Public Law 100-607, 42 U.S.C. 241, 42 U.S.C. 285 - 285a-1; Small Business Research and Development Enhancement Act of 1992, Public Law 102-564.

OBJECTIVES:

To reduce cancer risk, incidence, morbidity, and mortality and enhance quality of life in cancer survivors through an orderly sequence from research on interventions and their impact in defined populations to the broad, systematic application of the research results through dissemination and diffusion strategies. Primary emphasis is on the inclusion of cancer prevention and control intervention(s) in any proposed study. Cancer Prevention and Cancer Control research studies are classified into one of five phases: (1) Hypothesis development; (2) methods development and testing; (3) controlled intervention trials to establish cause-and-effect relationships; (4) research in defined populations; and (5) demonstration and implementation studies. Primary interests are in research on cancer control interventions in Phases 2 through 5, and on cancer prevention research in all phases. Cancer Prevention and Control programs include those in the following areas: (1) chemoprevention; (2) cancer communications; (3) nutrition, diet, and physical activity; (4) screening and early detection, including biomarker discovery, development and validation; (5) biobehavioral mechanisms; (6) tobacco control; (7) health disparities research; (8) supportive care and survivorship; (9) health services and outcomes research; and (10) surveillance research. Both Cancer Prevention and Cancer Control use the Small Business Innovation Research (SBIR) and the Small Business Technology Transfer (STTR) programs to encourage research participation by the small business community. The goals of each program are as follows. SBIR: To expand and improve the SBIR program; to increase private sector commercialization of innovations derived from Federal research and development; and to foster and encourage participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation. STTR: To stimulate and foster scientific and technological innovation through cooperative research and development carried out between small business concerns and research institutions; to foster technology transfer between small business concerns and research institutions; to increase private sector commercialization of innovations derived from Federal research and development; and to foster and encourage participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grants and cooperative agreements may be made to eligible institutions for the support of cancer research projects. The grants and cooperative agreements may be used for personnel, consultant costs, equipment, supplies, travel, patient costs, animals, alterations and renovations, miscellaneous items, and indirect costs. Restrictions are imposed against the use of funds for entertainment, foreign travel (unless specifically authorized), office equipment, and other items not normally necessary for the effective prosecution of such research. SBIR Phase I grants (of approximately 6- months' duration) are to establish the technical merit and feasibility of a proposed research effort that may lead to commercial product or process. Phase II grants are for the continuation of the research initiated in Phase I, and that are likely to result in commercial products or processes. Only Phase I awardees are eligible to apply for Phase II support. STTR Phase I grants (normally of 1-year duration) are to determine the scientific, technical, and commercial merit and feasibility of the proposed cooperative effort that has potential for commercial application. Phase II funding is based on results of research initiated in Phase I and scientific and technical merit and commercial potential of Phase II application. The SBIR Fast-Track Initiative provides additional assistance to applicants by expediting the decision and award of SBIR Phase II funding for scientifically meritorious applications for projects that have a high potential for commercialization.

Fast-Track is a parallel review option whereby Phase I and Phase II projects are reviewed concurrently with the aim of reducing or eliminating the funding gap between Phase I and Phase II.

Applicant Eligibility:

The awardee will be a university, college, hospital, public agency, nonprofit research institution or for-profit organization that submits an application and receives a grant or cooperative agreement for support of research by a named principal investigator. SBIR grants can be awarded only to domestic small businesses (entities that are independently owned and operated for profit, are not dominant in the field in which research is proposed, and have no more than 500 employees). Primary employment (more than one-half time) of the principal investigator must be with the small business at the time of award and during the conduct of the proposed project. In both Phase I and Phase II, the research must be performed in the U.S. and its possessions. To be eligible for funding, a grant must be approved for scientific merit and program relevance by a scientific review group and a national advisory council. STTR grants can be awarded only to domestic small business concerns (entities that are independently owned and operated for profit, are not dominant in the field in which research is proposed and have no more than 500 employees) which "partner" with a research institution in cooperative research and development. At least 40 percent of the project is to be performed by the small business concern and at least 30 percent by the research institution. In both Phase I and Phase II, the research must be performed in the U.S. and its possessions. To be eligible for funding, a grant application must be approved for scientific merit and program relevance by a scientific review group and a national advisory council.

Beneficiary Eligibility:

University, college, hospital, public agency, nonprofit research institutions or for-profit organizations will benefit.

Credentials/Documentation:

For-profit organizations' costs are determined in accordance with 48 CFR, Subpart 31.2 of the Federal Acquisition Regulations. For other grantees, costs will be determined in accordance with HHS Regulations 45 CFR, Part 74, Subpart Q. For SBIR and STTR grants, applicant organization (small business concern) must present in a research plan an idea that has potential for commercialization and furnish evidence that scientific competence, experimental methods, facilities, equipment, and funds requested are appropriate to carry out the plan. Grant form SF424(R&R) (Rev. January 2010) is used to apply for SBIR Phase I and Phase II and STTR Phase I and Phase II. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Depending on the grant mechanism, either Form PHS-398 (Rev. June 2009) or Form SF424(R&R) (Rev. January 2010) is the standard application form. Both forms can be obtained online at <http://grants.nih.gov/grants/forms.htm>. The standard application forms, as furnished by DHHS and required by 45 CFR Part 92 for State and local governments, must be used for this program. This program is subject to the provisions of 45 CFR Part 92 for State and local governments and OMB Circular No. A-110 for nonprofit organizations, as appropriate. SBIR and STTR Grant Solicitations and SBIR Contract Solicitations may be obtained electronically through the NIH Small Business Funding Opportunities home page at <http://www.nih.gov/grants/funding/sbir.htm>. The Solicitations include submission procedures, review considerations, and grant application or contract proposal forms. All competing SBIR and STTR grant applications should be submitted electronically to the NIH via Grants.gov (<http://www.grants.gov/>).

Award Procedure:

Grants and cooperative agreements are funded based on scientific merit, program relevance, and program balance and are made annually. Initial award provides funds for first budget period (usually 12 months) and Notice of Grant Award (Form PHS 1533) indicates support recommended for remainder of project period, allocation of Federal funds by budget categories, and special

conditions, if any. All accepted SBIR/STTR applications are evaluated for scientific and technical merit by an appropriate scientific peer review panel and by a national advisory council or board. All applications receiving a priority score compete for available SBIR/STTR set-aside funds on the basis of scientific and technical merit and commercial potential of the proposed research, program relevance, and program balance among the areas of research.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Regular Grants: Approximately 10 months. SBIR/STTR: About 7-1/2 months.

Appeals:

A principal investigator (P.I.) may question the substantive or procedural aspects of the review of his/her application by communicating with the staff of the Institute. A description of the NIH Peer Review Appeal procedures is available online through the NIH home page at <http://www.grants.nih.gov/grants/guide/notice-files/not97-232.html>.

Renewals:

Applications submitted for renewal are reviewed and selected for funding on a competitive basis.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants and cooperative agreements average from 3 to 4 years, up to a maximum of 5 years. Renewals may be awarded for additional periods of up to 5 years based on competitive peer review. Funding is provided through Monthly Demand Payment System or an Electronic Transfer System. SBIR: Normally, Phase I awards are for 6 months; normally, Phase II awards are for 2 years. STTR: Normally, Phase I awards are for 1 year; normally, Phase II awards are for 2 years. Method of awarding/releasing assistance: by letter of credit.

Reports:

No program reports are required. No cash reports are required. Progress reports are required each year. Annual financial status report is required 90 days after the end of a budget period. Special reports may be requested by DHHS. Terminal reports are required 6 months after the end of a project. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Expenditures and other financial records must be retained for 3 years from the day on which the grantee submits the last financial status report for the report period.

Account Identification:

75-0849-0-1-550 - Cancer Control.

Obligations:

(Salaries) FY 12 \$18,313,047; FY 13 est \$2,564,766; and FY 14 est \$2,564,766 - (Grants) FY2012 act. \$18,313,047; FY2013 est \$2,564,766; and FY2014 est \$2,564,766.

Range and Average of Financial Assistance:

Range \$170,511 to \$13,185,766
Average: \$1,220,869.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: FY2012 competing applicant - 0 awards were made in FY2012

FY2012 competing and noncompeting - 15 awards were made in FY2012.

Fiscal Year 2013: It is estimated that 2 awards will be made in FY2013. Fiscal Year 2014: It is estimated that 2 awards will be made in FY2014.

REGULATIONS, GUIDELINES, AND LITERATURE:

Grants: 42 CFR 52; 45 CFR 74 and NIH Grants Policy Statement (10/1/2011).

Regional or Local Office:

None.

Headquarters Office:

Catherine M. Battistone, 9609 Medical Center Drive
Seventh Floor, West Tower, 7W532, MSC 9750
, Rockville, Maryland 20850 Email: battistc@mail.nih.gov Phone:
240-276-6443 Fax: 240-276-7682

Website Address:

<http://www.nih.gov>; <http://cancer.gov>; <http://cancercontrol.cancer.gov>;
<http://prevention.cancer.gov>.

RELATED PROGRAMS:

93.393 Cancer Cause and Prevention Research; 93.394 Cancer Detection and Diagnosis Research; 93.395 Cancer Treatment Research; 93.396 Cancer Biology Research; 93.398 Cancer Research Manpower

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The major elements in evaluating proposals include assessments of: (1) The scientific merit and general significance of the proposed study and its objectives; (2) the technical adequacy of the experimental design and approach; (3) the competency of the proposed investigator or group to successfully pursue the project; (4) the adequacy of the available and proposed facilities and resources; (5) the necessity of the budget components requested in relation to the proposed project; and (6) the relevance and importance to announced program objectives.

93.400 NATIONAL HEALTH SERVICE CORPS SCHOLARSHIP PROGRAM

ARRA - National Health Service Corps Scholarship Program

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

American Recovery and Reinvestment Act of 2009 (Recovery Act), Title II, Public Law 111-5. , Title II, Public Law 111-5.

OBJECTIVES:

As funded under the American Recovery and Reinvestment Act of 2009 (ARRA), the National Health Service Corps (NHSC) Scholarship Programs objective is to increase the supply of primary care physicians, dentists, certified nurse midwives, primary care nurse practitioners, and physician assistants and , if needed by the National Health Service Corps, other health professionals in Health Professional Shortage Areas (HPSAs) within the U.S. by providing service-obligated scholarships to health professions students.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

Service-obligated scholarships for full-time students of allopathic (M.D.) and osteopathic (D.O.) medicine, dentistry, primary care nurse practitioner, nurse midwifery, and primary care physician assistants. Other health disciplines may be included if needed by National Health Service Corps. Scholarships pay tuition and required fees to the school, a monthly stipend to students for living expenses and a single annual payment to the student to assist with other reasonable educational expenses. Reasonable expenses may include books, supplies, equipment, uniforms, travel for the provision of clinical services, etc. Program requires 1 year of service for each year of scholarship support with a minimum 2-year service commitment post graduation. A maximum of 4 years

of support may be awarded. Service sites are selected by the scholarship recipient from the list of high priority sites in HPSAs identified by the NHSC.

Refer to the NHSC Scholarship Program Guidance for specific requirements. (<http://nhsc.hrsa.gov/downloads/spapplicationguide.pdf>).

Applicant Eligibility:

At the time of award, the applicant must be a U.S. citizen or national. The applicant must be enrolled or accepted for enrollment in an accredited school in a State, the District of Columbia, or a U.S. Territory as a full-time student in a course of study leading to a health professional degree. The applicant must be eligible for Federal employment, be free of any Federal judgment liens, not be excluded, debarred, suspended or disqualified by a Federal agency, and have no conflicting service obligation. The applicant must submit an application and a signed contract to the National Health Service Corps agreeing to accept payment of scholarship and provide full-time primary health services in a Health Professional Shortage Area.

Beneficiary Eligibility:

U.S. citizens or nationals enrolled or accepted for enrollment in a State in a course of study leading to a health professional degree.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Applicants are required to submit their applications electronically through <https://programportal.hrsa.gov>.

Award Procedure:

NHSC SP awards are very competitive; the Program anticipates more applicants for scholarship awards than there are funds available. The applications of individuals who meet the eligibility criteria are scored numerically. All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions. Notification in writing is made to those in the final selection by a Notice of Award email or letter.

Notification is made in writing to those in the final selection by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Notification to successful and rejected applicants is made no later than 6 months after deadline from receipt of application.

Appeals:

Not Applicable.

Renewals:

Renewal applications are not necessary for students in compliance; contracts may be multi-year funded through graduation (up to 1 to 4 years, if the applicant signs the contract through the graduation date).

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Each award covers up to 1 to 4 years of support, as requested. No awardees may receive more than 4 years of support. See the following for information on how assistance is awarded/released: Assistance is awarded/released through Direct Deposit in recipients accounts through PSC.

Reports:

All projects funded with ARRA funds are subject to special quarterly reporting requirements as outlined in Section 1512 of the Recovery Act. Annual reports by schools indicating average student educational expenses. The academic institution where the student is enrolled must complete and submit a Verification of Enrollment Form each semester.

The employing facility must complete and send a semi-annual In-Service Verification form verifying that the participating health professional is providing service. The certification includes a signature by an appropriate official of the facility. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

No audits are required for this program.

Records:

No Data Available.

Account Identification:

75-0351-0-1-550.

Obligations:

(Direct Payments for Specified Use) FY 12 Not Available(Exp: No program offered); FY 13 Estimate Not Available(Exp: No program offered); and FY 14 Estimate Not Available(Exp: No program offered)

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: No program offered. Fiscal Year 2013: No program offered. Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Please see application process at <http://nhsc.hrsa.gov/>

Regional or Local Office:

None. National Health Service Corps Scholarship Program, Division of National Health Service Corps, Bureau of Clinician Recruitment and Service (BCRS), Health Resources and Services Administration, Department of Health and Human Services, Room 8-37, 5600 Fishers Lane, Rockville, Maryland 20857. Phone: (301) 594-4400. For 24-hour toll-free calls from outside MD: 1-800-221-9393, use for requesting applications.

Headquarters Office:

Bureau of Clinician Recruitment and Service (BCRS), Health Resources and Services Administration, Department of Health and Human Services 5600 Fishers Lane Room 8-37, Rockville., Maryland 20857 Phone: (301) 594-4400.

Website Address:

<http://nhsc.hrsa.gov/>

RELATED PROGRAMS:

93.162 National Health Service Corps Loan Repayment Program; 93.165 Grants to States for Loan Repayment Program; 93.288 National Health Service Corps Scholarship Program; 93.303 Nursing Scholarship Program; 93.972 Health Professions Scholarship Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

By statute, first priority will be given to any applicant for such assistance, who has previously received a scholarship under this section or under section 758 of the PHS Act. Second priority will be given to students who are from a "disadvantaged background", and third priority will be given to all students who have characteristics which increase the probability they will continue professional practice in a Health Professional Shortage Area when they

complete their service obligation.

93.401 ARRA - NATIONAL HEALTH SERVICE CORPS LOAN REPAYMENT

ARRA - NHSC Loan Repayment Program

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

American Recovery and Reinvestment Act of 2009 (Recovery Act) , Public Law 111-5. , Public Law 111-5.

OBJECTIVES:

The National Health Service Corp (NHSC) Loan Repayment Programs objective is to increase the supply of primary care physicians, dentists, dental hygienists, behavioral and mental health professionals, certified nurse midwives, primary care nurse practitioners, certified family nurse practitioners, and physician assistants and, if needed by the NHSC, other health professionals in Health Professional Shortage Areas (HPSAs) within the U.S.A and its territories by assisting the repayment of qualifying educational loans in return for service to populations located in HPSAs identified by the Secretary of the Department of Health and Human Services.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

Awards provide an initial payment of up to \$50,000 towards participants' qualified government and commercial health professions undergraduate and graduate education loans during the first 2 years of full time practice or 4 years of half time practice at a selected National Health Service Corps (NHSC) Loan Repayment Service Site under a written agreement with this program. Health professions given priority for selection are those identified by communities.

Applicant Eligibility:

Individuals are eligible to apply if they have (1) U.S. citizenship; (2) a health professions degree or are in professional practice (they must hold an unrestricted health professions license from the State in which they will be working, and be eligible for selection for a Federal civil service appointment, or hold an appointment as a commissioned officer in the Regular or Reserve Corps of the U.S. Public Health Service); (3) not had any judgment liens arising from Federal debt ; (4) not been excluded, debarred, suspended, or disqualified by a Federal agency; (5) not been in breach of a health professional service obligation to the Federal, State or local government and (6) no conflicting service obligation. Individuals must also participate or be eligible to participate as a provider in the Medicare, Medicaid, and Childrens Health Insurance Programs, as appropriate.

Refer to the NHSC Loan Repayment Program Guidance for specific requirements.

Beneficiary Eligibility:

Primary care medical, oral health, and mental and behavioral health professions are eligible for the Loan Repayment Program (LRP). Specific specialties within these professions are selected for LRP awards based on community demand for health services.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Applicants are required to submit their applications electronically through

<https://programportal.hrsa.gov>.

Award Procedure:

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions. NHSC loan repayment awards are made based on the highest need as determined by descending health professional shortage area scores. Priority will be given to individuals whose training is in a health discipline or specialty determined by the Secretary for Health and Human Services to be needed by the NHSC and who the Secretary determines are committed to service in health professional shortage areas.

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions. Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 120 days.

Appeals:

Not Applicable.

Renewals:

Contract continuations will be considered according to the availability of appropriated funds and eligibility criteria applied to the individual and the site at which that individual is employed.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Loan repayments for 1 year of obligated service will be made no later than the end of the fiscal year in which the individual completes such year of service. See the following for information on how assistance is awarded/released: Direct Deposit in recipients accounts through Program Support Center (PSC).

Reports:

All projects funded with ARRA funds are subject to special quarterly reporting requirements as outlined in Section 1512 of the Recovery Act. The employing facility must complete and send a semi-annual Service Verification Form verifying that the participating health professional is providing service. The certification includes a signature by an appropriate official of the facility. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

Not Applicable.

Records:

No Data Available.

Account Identification:

75-0351-0-1-550.

Obligations:

(Direct Payments for Specified Use) FY 12 Not Available(Exp: No Program Offered); FY 13 Estimate Not Available(Exp: No Program Offered); and FY 14 Estimate Not Available(Exp: No program offered)

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: No Current Data Available. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Please see application process for NHSC LRP at <http://nhsc.hrsa.gov/>

Regional or Local Office:

See Regional Agency Offices. National Health Service Corps Loan Repayment Branch, Division of National Health Service Corps, Bureau of Clinician Recruitment and Service, Health Resources and Services Administration, Department of Health and Human Services, 5600 Fishers Lane, Room 8-37, Rockville, MD 20857. Telephone: (301) 594-4400. Public Information Phone: (1-800) 221-9393.

Headquarters Office:

Bureau of Clinician Recruitment and Service, Health Resources and Services Administration, Department of Health and Human Services Bureau of Clinician Recruitment and Service, Health Resources and Services Administration, Department of Health and Human Services, Room 8-37, 5600 Fishers Lane., Rockville, MD 20857. Telephone: (301) 594-4400. For 24-hour toll-free calls from outside MD: (1-800) 221-9393, use for requesting applications. , Rockville, Maryland 20857 Phone: (301) 594-4400

Website Address:

<http://nhsc.hrsa.gov/>

RELATED PROGRAMS:

93.162 National Health Service Corps Loan Repayment Program; 93.165 Grants to States for Loan Repayment Program; 93.288 National Health Service Corps Scholarship Program; 93.342 Health Professions Student Loans, Including Primary Care Loans/Loans for Disadvantaged Students; 93.364 Nursing Student Loans; 93.908 Nursing Education Loan Repayment Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Priority will be given to applicants who are individuals whose training is in a health profession or specialty determined by the Secretary to be needed by NHSC.

93.402 ARRA - STATE LOAN REPAYMENT PROGRAM

ARRA - State Loan Repayment Program

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

American Recovery and Reinvestment Act of 2009 (Recovery Act) Public Law 111-5. , Public Law 111-5.

OBJECTIVES:

For medically underserved populations, as funded under the American Recovery and Reinvestment Act of 2009 (ARRA). To increase the availability of primary health care in health professional shortage areas (HPSAs) by assisting States in operating programs for the repayment of educational loans of health professionals in return for their practice in HPSAs.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use; Project Grants

USES AND USE RESTRICTIONS:

Funds under this grant must only be used to repay the qualifying educational loans of health professionals who have entered into State Loan Repayment Program (SLRP) contract with the States. Funds cannot be used for the following purposes: costs of administering the program, State matching funds, or any other purpose.

Applicant Eligibility:

Eligible entities include the 50 States, the District of Columbia, the Commonwealth of Puerto Rico, the U.S. Virgin Islands, Guam, American Samoa, Palau, the Marshall Islands, and the Commonwealth of the Northern Mariana Islands.

Beneficiary Eligibility:

Applicants for State programs must have completed a course of study required to practice independently without supervision, as one of the following health care professionals: Doctors of Allopathic Medicine or Osteopathic Medicine, General Practice Dentists (D.D.S. or D.M.D.), Pediatric Dentists, Primary Care Certified Nurse Practitioners, Certified Nurse-Midwives, Primary Care

Physician Assistants, Registered Clinical Dental Hygienists, Health Service Psychologist (formerly Clinical or Counseling Psychologists (Ph.D. or equivalent), Licensed Clinical Social Workers (masters or doctoral degree in social work), Psychiatric Nurse Specialists, Mental Health Counselors, Licensed Professional Counselors (masters or doctoral degree with a major study in counseling), Marriage and Family Therapists (masters or doctoral degree with a major study in marriage and family therapy). The primary care specialties approvable for physicians are: family medicine (and osteopathic general practice), internal medicine, including geriatricians, pediatrics, obstetrics/gynecology, and geriatrics and general psychiatry. General practitioners (physicians who have not completed residency training programs) are not eligible for funding under the SLRP. The primary care specialties approval for nurse practitioners and physician assistants are: adult, family, pediatrics, psychiatry/mental health, geriatrics, or womens health.

Participants must provide full-time clinical service for a minimum of two years in a public or non-profit entity located in a federally designated health professional shortage area (HPSA).

Refer to the NHSC State Loan Repayment Program Guidance for specific requirements.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

SLRP grantees may need State agency approval prior to submitting an application to a Federal agency. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. HRSA requires all applicants to apply electronically through Grants.gov.

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Award Procedure:

Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 120 days.

Appeals:

Not Applicable.

Renewals:

Subsequent funding depends upon the availability of funds and program performance. Renewals are funded through a non-competitive process each year. It is anticipated that there will be no ongoing support of SLRP grant activities after the end of the two-year project period.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching Requirements: Percent: 50%. Matching Requirement: Fifty-percent (50%). States must agree to make available (directly or through donations from public or private entities) non-Federal contributions in cash toward SLRP contracts in an amount not less than \$1 for each \$1 of Federal funds provided in the grant. A State may not use any Federal funds or in-kind contributions to

satisfy the non-Federal match requirement. A State must verify that contributions from sources other than State appropriated funds are non-Federal. States may use non-Federal funds in excess of the \$1 for \$1 minimum match to supplement the repayment of qualifying loans of health professionals.

The Federal share must be used to repay the government and commercial loans of health professionals for tuition, educational expenses and living expenses described in Section 338B(g)(1) of the Public Health Service Act. States must assume administrative costs.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Applicants may propose a project period of up to 2 years. However, awards are distributed in annual budget periods subject to availability of funds. States are asked to commit the full amount of funding for their loan repayment awards to practitioners in the current fiscal year to avoid out-year commitments for additional Federal funds. See the following for information on how assistance is awarded/released: Grantee drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

All projects funded with ARRA funds are subject to special quarterly reporting requirements as outlined in Section 1512 of the Recovery Act.

Recipient States must submit: (1) a Financial Report within 90 days of the end of each budget period; (2) Semi-Annual Field Strength Reports through the HRSA Electronic Handbooks System (EHBs); (3) non-competing continuation application(s) report through the EHBs; (4) a Final Report along with an annual financial report in the format approved under OMB Circular 0915 0004. All BHP grantees are required to submit a final report within 90 days after the project period ends. Cash reports are not applicable. See above. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0351-0-1-550.

Obligations:

(Project Grants) FY 12 \$0; FY 13 est \$0; and FY 14 est \$0

Range and Average of Financial Assistance:

Not Applicable.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: No data available. Fiscal Year 2013: No data available. Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

None. Division of National Health Service Corps, Bureau of Clinician Recruitment and Service, Health Resources and Services Administration, Department of Health and Human Services, 5600 Fishers Lane, Room 8-37, Rockville, MD 20857. Telephone: (301) 594-4400. Public Information Phone: (1-800) 221-9393.

Headquarters Office:

Bureau of Clinician Recruitment and Service, Health Resources and Services Administration, Department of Health and Human Services 5600 Fishers Lane, Room 8-37, Rockville, Maryland 20857 Phone: (301) 594-4400

Website Address:

<http://www.hrsa.gov>

RELATED PROGRAMS:

93.162 National Health Service Corps Loan Repayment Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

For new and competing continuation grants the following criteria will be used to evaluate State applications to determine which States are to be supported under this notice: (a) The extent of the State's need for health professionals consistent with the health professions and specialties identified later in this notice; (b) the extent to which special consideration will be extended to federally designated HPSAs with large minority populations; (c) the number and type of providers the State proposes to support through this program; (d) the appropriateness of the proposed placements of State LRP recipients (e.g., consistency and coordination with State-based plans to improve access to primary health services for the underserved communities and individuals); (e) the appropriateness of the qualifications, the administrative, and managerial ability of the staff to implement the proposed project; (f) the suitability of the State's approach and the degree to which the plan of a State is coordinated with Federal, State, and other programs for meeting the State's health professional needs and resources, including mechanisms for ongoing evaluation of the program's activities; (g) the source and plans for the use of the nonfederal match (the degree to which the nonfederal match exceeds the minimum requirements or has increased over time and the amount of the match relative to the needs and resources of the State); (h) for competing continuation applicants only, the grantee's progress in achieving stated goals and objectives for the previous year's grant; this includes a progress report on the impact the State LRP placements have had on the State's short-term and long-term professional needs: (i) an assessment of the reasons for initial breaches by health professionals of repayment contracts; and (j) the grantee's history of compliance with reporting requirements. Noncompeting continuation applications will be evaluated on the grantee's progress in achieving stated goals and objectives for the previous year's grant; this includes a progress report on the impact the State LRP placements have had on the State's short-term and long-term professional needs: (i) and assessment of the reasons for initial breaches by health professionals of repayment contracts; and (ii) the grantee's history of compliance with reporting requirements.

93.403 ARRA GRANTS FOR TRAINING IN PRIMARY CARE MEDICINE AND DENTISTRY TRAINING AND ENHANCEMENT

ARRA Primary Care Medicine Training; ARRA Primary Care Dental Training

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Title VII, Section 747, Public Health Service Act, as amended by the Patient Protection and Affordable Care Act of 2010 (Pub. L. 111-148), and The American Recovery and Reinvestment Act of 2009 (Pub. L. 111-5), Public Law 111-5.

OBJECTIVES:

ARRA Primary Care Training and Enhancement Grants are awarded for the following purposes: (1) Academic Administrative Units in Primary Care-- to establish, maintain, or improve academic administrative units (which may be departments, divisions, or other entities) to provide clinical instruction in family

medicine, general internal medicine, and/or general pediatrics; (2) Predoctoral Training in Primary Care-- to plan, develop, and operate or participate in programs (including provision of financial assistance to students) that teach medical students primary care skills through experiences in family medicine, general internal medicine, and/or general pediatrics; (3) Residency Training in Primary Care-- to plan, develop, and operate or participate (including provision of financial assistance to residents) in approved residency programs in family medicine, general internal medicine and/or general pediatrics; (4) Physician Faculty Development in Primary Care-- to plan, develop, and operate programs (including provision of financial assistance to trainees) that prepare physicians who teach or plan to teach in family medicine, general internal medicine and/or general pediatrics training programs; (5) Physician Assistant Training in Primary Care-- to plan, develop, and operate programs (including provision of financial assistance to students) physician assistant students and that train individuals who will teach physician assistant students; (6) Interdisciplinary and Interprofessional Graduate Joint Degree -- to plan, develop, and operate joint degree programs to provide interdisciplinary and interprofessional graduate training in public health and other health professions and that teach environmental health, infectious disease control, disease prevention and health promotion, epidemiological studies and/or injury control.

ARRA Training in General, Pediatric, and Public Health Dentistry grants were awarded for the following purposes; (1) Predoctoral Training in General, pediatric and Public Health Dentistry and Dental Hygiene to plan, develop, and operate, or participate in, an approved professional predoctoral training program in the field of general dentistry, pediatric dentistry, or public health dentistry for dental or dental hygiene students, dental hygienists, or other approved primary care dental trainees, that emphasizes training for general, pediatric, or public health dentistry; (2) Postdoctoral Training in General, Pediatric and Public Health Dentistry and Dental Hygiene to plan, develop, and operate, or participate in, an approved professional postdoctoral training program in the fields of general dentistry, pediatric dentistry, or public health dentistry for dental residents, or practicing dentists or other approved primary care dental trainees that emphasizes training for general, pediatric and public health dentistry; (3) Faculty Development Training in General, Pediatric and Public Health Dentistry or Dental Hygiene -- to plan, develop, and operate a program for the training of oral health care providers who plan to teach in general, pediatric, public health dentistry, or dental hygiene; and (4) Dental Faculty Loan Repayment -- to plan, develop, and operate a dental faculty loan repayment program for faculty engaged in primary care dentistry to include general dentistry, pediatric dentistry, public health dentistry, and dental hygiene.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Grants may not be used for new construction or patient services.

Applicant Eligibility:

The applicant organization must meet the eligibility requirements as indicated for each program area, as follows:

Medicine: Residency Training in Primary Care, Physician Faculty Development in Primary Care, Physician Assistant Training in Primary Care, Interdisciplinary and Interprofessional Graduate Joint Degree: Public or nonprofit private hospitals, accredited schools of medicine or osteopathic medicine, accredited, academically affiliated physician assistant education programs, or public or private nonprofit entities are eligible to apply. Faith-based and community-based organizations that meet the criteria in the preceding sentence are eligible to apply. Joint Degree applicants must be able to confer both a health profession degree and a graduate public health degree and support the integration of public health into primary care education for health professionals.

Predoctoral Training in Primary Care, Academic Administrative Units in Primary Care: Accredited public or nonprofit private schools of allopathic or osteopathic medicine are eligible to apply. Faith-based and community-based organizations that meet the criteria in the preceding sentence are eligible to apply.

Dental: Entities eligible for grants to support training in general, pediatric, or public health dentistry include entities that have programs in dental or dental hygiene schools; or have approved residency or advanced education programs in the practice of general, pediatric, or public health dentistry, including dental hygiene unless otherwise noted.

Grants may be made to accredited dental or dental hygiene schools, public or private not-for-profit hospitals, or other public or not for profit entities to plan, develop, and operate, or participate in, an approved professional training program in the field of general dentistry, pediatric dentistry, public health dentistry, or dental hygiene for dental or dental hygiene students, dental residents, practicing dentists or dental hygienists, or other approved primary care dental trainees, that emphasizes training in general, pediatric, or public health dentistry.

Beneficiary Eligibility:

For the following programs: Residency Training in Primary Care, Physician Faculty Development in Primary Care, Physician Assistant Training in Primary Care, Interdisciplinary and Interprofessional Graduate Joint Degree: Public or nonprofit private hospitals, accredited schools of medicine or osteopathic medicine, or public or private nonprofit entities are eligible to apply.

Predoctoral Training in Primary Care, Academic Administrative Units in Primary Care: Accredited public or nonprofit private schools of allopathic or osteopathic medicine are eligible to apply.

Credentials/Documentation:

All medicine programs were represented in one funding opportunity announcement issued under this CFDA, and all dental programs were represented in a second funding opportunity announcement. Applicants should review those specific announcements to identify any required proof or certifications that must be submitted prior to or simultaneous with submission of an application package. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. All applicants are required to apply electronically through Grants.gov.

Award Procedure:

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Notification is made in writing (electronic) by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

Project periods for grants are five years. See the following for information on how assistance is awarded/released: Grantees draw down funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web

based payment system for HHS awards.

Reports:

All projects funded with ARRA funds are subject to special quarterly reporting requirements as outlined in Section 1512 of the Recovery Act.

Program reports are required. The awardee will be required to submit annual performance and progress reports as well as status-federal financial reports (see below for general information on each required report). Cash reports are required. The awardee must submit a quarterly electronic Federal Financial Report (FFR) Cash Transaction Report via the Payment Management System within 30 days of the end of each calendar quarter. A Federal Financial Report (SF-425) is required within 90 days of the end of each budget period. A final report is due within 90 days after the project period ends. If applicable, the awardee must submit a Tangible Personal Property Report (SF-428) and any related forms within 90 days after the project period ends. New awards (Type 1) issued under this funding opportunity announcement are subject to the reporting requirements of the Federal Funding Accountability and Transparency Act (FFATA) of 2006 (Pub. L. 109282), as amended by section 6202 of Public Law 110252, and implemented by 2 CFR Part 170. Grant and cooperative agreement recipients must report information for each first-tier subaward of \$25,000 or more in federal funds and executive total compensation for the recipients and subrecipients five most highly compensated executives as outlined in Appendix A to 2 CFR Part 170 (FFATA details are available online at <http://www.hrsa.gov/grants/ffata.html>). Competing continuation awardees, etc. may be subject to this requirement and will be so notified in the Notice of Award. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records three years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the three year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular three year period, whichever is later.

Account Identification:

75-0351-0-1-550.

Obligations:

(Project Grants) FY 12 \$0; FY 13 est \$0; and FY 14 est \$0 - Awards were funded for five years in FY10.

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Applicants should review the individual HRSA funding opportunity announcements (FOA) issued under this CFDA program for any required proof or certifications that must be submitted prior to or simultaneous with submission of an application package.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices. Medicine: Shannon K. Bolon, MD, MPH, Chief, Primary Care Medical Education Branch, Division of Medicine and Dentistry, Bureau of Health Professions, Health Resources and Services Administration,

Department of Health and Human Services, 5600 Fishers Lane, Room 9A-27, Rockville, Maryland 20857, Telephone: (301) 443-1467, Fax: 301-443-8890, E-mail: SBolon@hrsa.gov

Dental: Renee Joskow, Chief, Oral Health Training Branch, Division of Medicine and Dentistry, Bureau of Health Professions, Health Resources and Services Administration, Department of Health and Human Services, 5600 Fishers Lane, Room 9A-27, Rockville, Maryland 20857, Telephone: (301) 443-6190, Fax: 301-443-8890, E-mail rjoskow@hrsa.gov.

Headquarters Office:

Division of Medicine and Dentistry, Bureau of Health Professions, HRSA, DHHS 5600 Fishers Lane, Room 9-A27, Rockville, Maryland 20857 Phone: (301) 443-6190 Fax: 301-443-8890

Website Address:

<http://www.hrsa.gov>

RELATED PROGRAMS:

93.059 Training in General, Pediatric, and Public Health Dentistry; 93.884 Grants for Training in Primary Care Medicine and Dentistry

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Competing applications are reviewed by nonfederal review panels for technical merit recommendations. Approval of an application will be based on an analysis of the factors set forth in the application. See the section on Application Procedures in the application guidance.

93.404 ARRA DENTAL PUBLIC HEALTH RESIDENCY TRAINING GRANTS

ARRA Dental Public Health Residency Training Grants

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

American Recovery and Reinvestment Act of 2009 (Recovery Act) Public Law 111-5, Public Law 111-5.

OBJECTIVES:

To plan and develop new residency training programs and to maintain or improve existing residency training programs in dental public health; and to provide financial assistance to residency trainees enrolled in such programs, as funded under the American Recovery and Reinvestment Act of 2009 (ARRA).

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Grants are intended to assist in meeting the costs to plan, develop (including develop curricula), operate or participate in dental public health residency training programs in prevention or public health dentistry, and provide financial assistance to trainees enrolled in the programs. Grants may not be used for construction or for providing direct patient services.

Applicant Eligibility:

An entity that offers a Dental Public Health Training Program accredited by the American Dental Association Commission on Dental Accreditation, such as a School of Public Health or Dentistry, is eligible to apply.

Beneficiary Eligibility:

Same as above.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement (FOA) issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact

information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. HRSA requires all applicants to apply electronically through Grants.gov.

Award Procedure:

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Awards were forwarded funded for three years in FY 09. No additional competition is planned.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Project periods are for three years. See the following for information on how assistance is awarded/released: Grantee drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

All projects funded with ARRA funds are subject to special quarterly reporting requirements as outlined in Section 1512 of the Recovery Act. Program reports are required. The awardee will be required to submit yearly performance and progress reports as well as status-federal financial reports (see below for general information on each required report). Cash reports are required. The awardee must submit a quarterly electronic Federal Financial Report (FFR) Cash Transaction Report via the Payment Management System within 30 days of the end of each calendar quarter. A Federal Financial Report (SF-425) is required within 90 days of the end of each budget period. A progress report must be submitted to HRSA on an annual basis. A final report is due within 90 days after the project period ends. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records three years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0351-0-1-550.

Obligations:

(Project Grants) FY 12 \$0; FY 13 est \$0; and FY 14 est \$0 - Prior awards were made under this program in FY 09 for three years.

Range and Average of Financial Assistance:

Total award amounts range from \$215,321 to \$300,000 per grantee. The average total award for the 3 year project period is \$270,308.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices. Thomas Vallin, MPH, Public Health Analyst, Oral Health Branch, Division of Medicine and Dentistry, Bureau of Health Professions, Health Resources and Services Administration, Department of Health and Human Services, 5600 Fishers Lane, Room 9A-27, Rockville, Maryland 20857, Telephone: (301) 443-1307, Fax: 301-443-8890, E-mail: TVallin@hrsa.gov.

Headquarters Office:

Division of Medicine and Dentistry, Bureau of Health Professions, HRSA 5600 Fishers Lane, Room 9A-27, Rockville, Maryland 20857 Phone: (301) 443-6190 Fax: (301) 443-8890

Website Address:

<http://www.hrsa.gov>

RELATED PROGRAMS:

93.236 Grants for Dental Public Health Residency Training

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Competing applications are reviewed by non-federal reviewers for technical merit recommendations. Approval of an application will be based on an analysis of the factors set forth in the application. See the section on Application Procedure above to obtain a copy of the application, and the respective factors delineated in the application.

93.406 NURSE CORPS LOAN REPAYMENT PROGRAM

ARRA - NURSE Corps Loan Repayment Program (NURSE Corps LRP)

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

American Recovery and Reinvestment Act of 2009, Public Law 111-5, Public Law 111-5.

OBJECTIVES:

As funded under the American Recovery and Reinvestment Act of 2009 (ARRA), the objective of the NURSE Corps Loan Repayment Program (NURSE Corps LRP) is to assist in the recruitment and retention of professional registered nurses (RN) in approved critical shortage areas to increase access to quality health care by offering loan repayment in return for full-time service at an eligible health care facility with a critical shortage of nurses and 2) accredited, eligible schools of nursing for RNs dedicated to serving as full-time faculty members.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

The program offers RNs substantial economic assistance to repay their qualifying educational loans in exchange for full-time service at a health care facility with a critical shortage of nurses. NURSE Corps LRP participants enter

into a contract agreeing to provide full-time service at an approved Critical Shortage Facility (CSF) for two years. In return, NURSE Corps LRP will pay 30 percent of the participant's total qualifying loan balance each year (total of 60 percent). A participant may be eligible to continue his/her two-year NURSE Corps LRP contract to serve for a third (optional) year at a CSF. If a participant works full-time for a third year in a CSF, the NURSE Corps LRP will pay an additional 25 percent of the participant's original qualifying loan balance, bringing the total up to 85 percent of eligible loan balances. Loan repayments for 2 years of obligated service will be made no later than the end of the Federal fiscal year in which the individual completes such year of service.

Applicant Eligibility:

Individuals who satisfy the following criteria are eligible to apply: (1) have received a bachelors degree, a masters degree, an associate degree, a diploma, or a doctoral degree in nursing; (2) have outstanding qualifying educational loans leading to a degree or diploma in nursing; (3) a U.S. citizen (either U.S. born or naturalized), U.S., National or a Permanent Lawful Resident of the United States; (4) employed full-time (32 hours or more per week) at a critical shortage facility (CSF) or employed as a full-time nurse faculty member at an accredited, public or private nonprofit school of nursing; (5) have a current, full, permanent, unencumbered, unrestricted license in the State in which they intend to practice or be authorized to practice in the State under the Nurse Licensure Compact; and (6) submit a complete application, including a signed contract to work full-time as a registered or advanced practice nurse for 2 years at an eligible health care facility with a critical shortage of nurses or an accredited, eligible school of nursing.

Beneficiary Eligibility:

Beneficiaries include registered nurses who have received a diploma, an associate degree, a baccalaureate degree or a graduate degree in nursing from an accredited school of nursing.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Applicants may apply online at <https://programportal.hrsa.gov>

Award Procedure:

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions. Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 5 months.

Appeals:

Not Applicable.

Renewals:

Renewals for the third year of service will be considered based on the availability of funds.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Loan repayments for 1 year of obligated service will be made no later than the end of the Federal fiscal year in which the individual completes such year of service. See the following for information on how assistance is awarded/released: Direct Deposit into recipients account through PSC.

Reports:

All projects funded with ARRA funds are subject to special quarterly reporting requirements as outlined in Section 1512 of the Recovery Act.

The NURSE Corps LRP verifies every 6 months that participants are meeting program requirements and fulfilling their service obligation. The In-Service Verification (ISV) is completed by both the participant and the approved critical shortage facility or school of nursing. By completing and electronically signing the verification, the participant and the site are certifying the participants compliance or noncompliance with the applicable service requirements during that 6-month period. No cash reports are required. No progress reports are required. No expenditure reports are required. No performance monitoring is required.

Audits:

No audits are required for this program.

Records:

No Data Available.

Account Identification:

75-0351-0-1-550.

Obligations:

(Direct Payments for Specified Use) FY 12 Not Available(Exp: No Program Offered); FY 13 Estimate Not Available(Exp: No Program Offered); and FY 14 Estimate Not Available(Exp: No Program Offered)

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Please see application process for NURSE Corps LRP at <http://www.hrsa.gov/loanscholarships/repayment/nursing/>

Regional or Local Office:

None. NURSE Corps Loan Repayment Program, Division of Nursing and Public Health, Bureau of Clinician Recruitment and Service (BCRS), Health Resources and Services Administration, 5600 Fishers Lane, Room 8-37, Rockville, MD 20857. Telephone: (301) 594-4130.

Headquarters Office:

Bureau of Clinician Recruitment and Service (BCRS), Health Resources and Services Administration, Nursing Education Loan Repayment Program, 5600 Fishers Lane, Room 8-37, Rockville, Maryland 20857 Phone: (301) 594-4130.

Website Address:

<http://www.hrsa.gov/loanscholarships/repayment/nursing/>

RELATED PROGRAMS:

93.162 National Health Service Corps Loan Repayment Program; 93.164 Indian Health Service Educational Loan Repayment; 93.364 Nursing Student Loans

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Funding preference is defined as the funding of a specific category of group of approved applicants ahead of other categories or groups of approved applicants. As provided in section 846(e) of the PHS Act, as amended, a funding preference is given to eligible applicants with the greatest financial need. Awards are made to the highest ranked applicants until funds are expended. Please review the HRSA Preview or BCRS website at www.hrsa.gov for important information regarding funding preferences.

93.409 FACULTY LOAN REPAYMENT PROGRAMS

ARRA - Faculty Loan Repayment Programs (FLRP)

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

American Recovery and Reinvestment Act of 2009 (Recovery Act) Public Law 111-5, Public Law 111-5.

OBJECTIVES:

As funded under the American Recovery and Reinvestment Act of 2009 (ARRA), the Faculty Loan Repayment Program (FLRP) provides a financial incentive for degree-trained health professionals from disadvantaged backgrounds to serve as a faculty member in an accredited, eligible health professions school in the following disciplines: allopathic, osteopathic, podiatric or veterinary medicine; dentistry, pharmacy, optometry, nursing (RN or Advanced Practice RN), physician assistants, allied health or graduate programs in public health or behavioral and mental health for a minimum of 2 years.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

Funds will be used to repay eligible health professions educational loans incurred by the applicant in return for serving a minimum of 2 years as a full-time or part-time faculty member at an accredited health professions college or university, in one of the following disciplines: allopathic, osteopathic, podiatric or veterinary medicine; dentistry, pharmacy, optometry, nursing (RN or Advanced Practice RN), physician assistants, allied health or at a school offering graduate programs in public health or behavioral and mental health. Recipients of a FLRP award will receive a contract signed by the Secretary of HHS or his/her designee. The Faculty Loan Repayment Program will pay up to \$20,000 a year in return for a two year service obligation, based on the participants outstanding balance of qualifying educational loans (the maximum amount is \$40,000 for 2 years).

Applicant Eligibility:

Individuals from disadvantaged backgrounds who:

(1) are U.S. citizens (either U.S. born or naturalized), U.S. nationals or Lawful Permanent Residents; 2) have a degree or certificate in one of the following health profession disciplines: allopathic, osteopathic, podiatric or veterinary medicine; dentistry, pharmacy, optometry, nursing (RN or Advanced Practice RN), physician assistants, allied health, or graduate programs in public health or behavioral and mental health; or are enrolled in an approved graduate training program in one of the health professions listed above, or are enrolled as full-time students (in the disciplines listed above) at an accredited health professions institution and are in the final course of study or program leading to a degree from that institution; (3) have a minimum 2-year employment commitment from an eligible health professions school for a full-time or part-time faculty position; (4) have a written agreement with the eligible health professions school for equivalent loan repayment; and (5) be currently repaying qualifying educational loans.

Beneficiary Eligibility:

See above.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. FLRP

applications are generally solicited by a program announcement posted on the HRSA Web site at www.HRSA.gov

Award Procedure:

The Faculty Loan Repayment Program will pay up to \$20,000 a year in return for a two year service obligation, based on the participants outstanding balance of qualifying educational loans (the maximum amount is \$40,000 for 2 years). Recipients of a FLRP award will receive a contract signed by Secretary of HHS or his/her designee.

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Percent: 50%. FLRP - The employing institution is required for each year of service to make payments in an amount equal to the amount of principal and interest payments made by the Secretary. These payments must be in addition to the recipients salary the individuals otherwise would receive. However, the Secretary may waive this requirement if it is determined that it would impose an undue financial hardship on the institution involved. A consideration for waiver will be based on required documentation submitted by the institution which must include financial statements to support hardship.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Assistance is available for 2 years and the time is specified in the contract. See the following for information on how assistance is awarded/released: Direct Deposit into recipients account through PSC.

Reports:

All projects funded with ARRA funds are subject to special quarterly reporting requirements as outlined in Section 1512 of the Recovery Act. No cash reports are required. No progress reports are required. No expenditure reports are required. No performance monitoring is required.

Audits:

No audits are required for this program.

Records:

No Data Available.

Account Identification:

75-0351-0-1-550.

Obligations:

(Direct Payments for Specified Use) FY 12 \$0; FY 13 est \$0; and FY 14 est \$0

Range and Average of Financial Assistance:

No program currently offered.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None. Faculty Loan Repayment Program, Division of Nursing and Public

Health, Bureau of Clinician Recruitment and Service (BCRS), Health Resources and Services Administration, 5600 Fishers Lane, Room 8-37, Rockville, MD 20857. Telephone: (301) 594-4130.

Headquarters Office:

Bureau of Clinician Recruitment and Service (BCRS), Health Resources and Services Administration 5600 Fishers Lane, Room 8-37, Rockville, Maryland 20857 Phone: 301-594-4130

Website Address:

<http://www.hrsa.gov/loanscholarships/repayment/faculty/>

RELATED PROGRAMS:

93.162 National Health Service Corps Loan Repayment Program; 93.908 Nursing Education Loan Repayment Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.414 ARRA - STATE PRIMARY CARE OFFICES

ARRA State Primary Care Office (PCO)

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

American Recovery and Reinvestment Act of 2009 (Recovery Act). Public Law 111-5. , Public Law 111-5.

OBJECTIVES:

To coordinate local, State, and Federal resources contributing to primary care service delivery and workforce issues in the State to meet the needs of medically-underserved populations through health centers and other community-based providers of comprehensive primary care and the retention, recruitment, and oversight of health professions for medically underserved populations, as funded under the American Recovery and Reinvestment Act of 2009 (ARRA).

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Recipients will be expected to perform State-wide primary care planning and resource coordination, including Medicaid, Children's Health Insurance program, State Offices of Rural Health, Ryan White Area Health Education Centers, Maternal and Child Health, etc.; support health systems development, primary care practitioners retention and recruitment, National Health Services Corps monitoring activities, as well as activities relating to other special populations including rural populations; and conduct operational and administrative support activities.

Applicant Eligibility:

States or territories, political subdivisions of States, agencies of States, or other public entities that operate solely within one State.

Beneficiary Eligibility:

These entities must provide statewide coverage for primary health care issues and represent or have relationships with the broad range of primary health care delivery systems and programs in the State.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. HRSA is requiring applicants to apply electronically through Grants.gov.

Award Procedure:

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awards are made annually. After awards are issued, funds are released in accordance with HHS payment procedures, which may be through an Electronic Transfer System or a Monthly Cash Request System. See the following for information on how assistance is awarded/released: Grantees draw down funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

All projects funded with ARRA funds are subject to special quarterly reporting requirements as outlined in Section 1512 of the Recovery Act. Semi-annual program reports, federal financial reports, and special reports are required as requested. Federal Financial Reports are required as requested. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0351-0-1-550.

Obligations:

(Cooperative Agreements) FY 12 \$0; FY 13 est \$0; and FY 14 est \$0

Range and Average of Financial Assistance:

No program offered.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and

tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices. Office of Shortage Designation, Bureau of Health Professions, Health Resources and Services Administration, Department of Health and Human Services, 5600 Fishers Lane, Room 9A-55, Rockville, Maryland 20857. Telephone: (301) 594-0816.

Headquarters Office:

Office of Shortage Designation 5600 Fishers Lane, Room 9A-55, Rockville, Maryland 20857 Phone: (301) 594-0816.

Website Address:

No Data Available

RELATED PROGRAMS:

93.130 Cooperative Agreements to States/Territories for the Coordination and Development of Primary Care Offices; 93.162 National Health Service Corps Loan Repayment Program; 93.288 National Health Service Corps Scholarship Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applicants will be evaluated in the following areas: (1) Ability to assess and analyze the State's health policy environment, its unmet need for preventive and primary care services, its health care marketplace and its major disparities in health outcomes; and (2) develop and implement strategies and activities to effectively assist health centers and NHSC delivery sites in their response to these State environmental conditions.

93.415 ARRA - CENTERS OF EXCELLENCE

ARRA - COE

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

American Recovery and Reinvestment Act of 2009 (Recovery Act), Public Law 111-5.

OBJECTIVES:

As funded under the American Recovery and Reinvestment Act of 2009 (ARRA), the Centers of Excellence program assists eligible schools in supporting programs of excellence in health professions education for underrepresented minority(URM) individuals. The COE program is a catalyst for institutionalizing a commitment to URM students/faculty and to serve as a national resource and educational center for diversity and minority health issue. Additionally, the COE program will help to strengthen the national capacity to produce a culturally competent healthcare workforce whose diversity is representative of the United States Population.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Grant funds must be used by health professions schools to: (1) develop a large competitive applicant pool through linkages with institutions of higher education, local school districts, and other community-based entities; and establish an education pipeline for health professions careers; (2) establish, strengthen, or expand programs to enhance the academic performance of underrepresented minority students attending the school; (3) improve the capacity of such school to train, recruit, and retain underrepresented minority faculty including the payment of stipends and fellowships as the Secretary may determine appropriate; (4) carry out activities to improve the information resources, clinical education, curricula and cultural competence of the graduates of the school as it relates to minority health issues; (5) facilitate faculty and

student research on health issues particularly affecting underrepresented minority groups, including research on issues relating to the delivery of health care; (6) carry out a program to train students of the school in providing health services to a significant number of underrepresented minority individuals through training provided to such students at community-based health facilities. These facilities provide such health services and are located at a site remote from the main site of the teaching facilities of the school; and (7) provide stipends as the Secretary determines appropriate in the amounts the Secretary determines.

Applicant Eligibility:

Eligible applicants include designated Historically Black Colleges and Universities (HBCUs) and health professions schools that are accredited schools of allopathic medicine, osteopathic medicine, dentistry, pharmacy, graduate programs in behavioral or mental health, or other public and nonprofit health or educational entities that meet the required conditions regarding underrepresented minorities as described in Section 736(c) of the Public Service Act:

Eligible applicants must also have: (1) a significant number of underrepresented minority individuals enrolled in the schools; (2) been effective in assisting underrepresented minority students of the schools to complete the program of education and receive the degree involved; (3) been effective in recruiting underrepresented minority individuals to enroll in and graduate from the school, including providing scholarships and other financial assistance to such individuals and encouraging under-represented minority students from all levels of the educational pipeline to pursue health professions careers; and (4) made significant recruitment efforts to increase the number of under-represented minority individuals serving in faculty or administrative positions at the school.

Beneficiary Eligibility:

Designated HBCUs and eligible health professions schools must recruit and train a significant number of under-represented minority students in medicine, dentistry, and pharmacy; faculty recruitment, training and retention; and faculty and student research activities.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. HRSA is requiring applicants to apply electronically through Grants.gov.

Award Procedure:

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Notification is made in writing (electronic) by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Project periods are for approximately three years. See the following for information on how assistance is awarded/released: Grantee drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

All projects funded with ARRA funds are subject to special quarterly reporting requirements as outlined in Section 1512 of the Recovery Act. A uniform progress report must be submitted annually within the approved project period. Cash reports are not applicable. A final progress report and final financial report must be submitted within 90 days after the end of the project period. Financial Reports are required within 90 days after the end of each budget period. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records three years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the three year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular three year period, whichever is later.

Account Identification:

75-0351-0-1-550.

Obligations:

(Salaries) FY 12 \$0; FY 13 est \$0; and FY 14 est \$0 - All funds awarded in FY10.

Range and Average of Financial Assistance:

Not applicable. All funds awarded in FY 10.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for state, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices. Violet Woo, Chief, Behavioral Health and Diversity Branch, Division Public Health and Interdisciplinary Education, Bureau of Health Professions, Health Resources and Services Administration, Department of Health and Human Services, Room 9C-26, Parklawn Building, 5600 Fishers Lane, Rockville, MD 20857. (301) 443-8032, Email: vwoo@hrsa.gov

Tia-Nicole Leak, Ph.D. Social Science Research Analyst/Project Officer, Division of Public Health and Interdisciplinary Education, Bureau of Health Professions, Health Resources and Services Administration, Department of Health and Human Services, 5600 Fishers Lane, Room 9C-36, Rockville, Maryland 20857 Phone: (301) 443-1134 E-mail: tleak@hrsa.gov.

Headquarters Office:

Violet Woo 5600 Fishers Lane, Room 9C-26, Rockville, Maryland 20857
Phone: (301) 443-8032

Website Address:

<http://bhpr.hrsa.gov/grants/diversity.htm>

RELATED PROGRAMS:

93.157 Centers of Excellence

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Procedures for assessing the technical merit of grant applications have been instituted to provide an objective review of applications and to assist the applicant in understanding the standards against which each application will be judged. Critical indicators have been developed for each review criterion to assist the applicant in presenting pertinent information related to that criterion and to provide the reviewer with a standard for evaluation. Competing applications are reviewed by nonfederal reviewers for technical merit recommendations.

The Centers of Excellence have seven criteria:

(1) NEED - The extent to which the application provides a description of a) the problem, and the associated contributing factors, b) the need with respect to students, faculty development, and URM in the health professions, and c) geographic factors, such as the size of the area that will be served, and any regional issues.

(2) RESPONSE The extent to which project responds to the Purpose included in the program description. This includes the clarity of the proposed goals and objectives and their relationship to the identified project and achieving the seven legislative requirements. The extent to which the activities (scientific or other) described in the application are capable of addressing the problem and attaining the project objectives.

(3) EVALUATIVE MEASURES - The effectiveness of the method proposed to monitor and evaluate the project results. Evaluative measures must be able to assess to what extent: 1) the program objectives have been met; and 2) these can be attributed to the project.

(4) IMPACT - The extent and effectiveness of the dissemination of the project results, the extent to which the project results may be national in scope, the degree to which the project activities are replicable, and the sustainability of the program beyond the federal funding and established linkages/collaboration with key stakeholders. It may include the degree to which COE project outcomes and results are disseminated to the Health Professions Education community regionally or nationally.

(5) RESOURCES /CAPABILITIES The extent to which project personnel are qualified by training and/or experience to implement and carry out projects. This includes the capabilities of the applicant organization, and the quality and availability of facilities and personnel to fulfill the needs and requirements of the proposed project. This may include whether the health professions school has a significant number of URM individuals enrolled in the school, including individuals accepted for enrollment and successful completion in the school, and the extent to which the applicants activities influence the recruitment, training and retention of URM faculty.

(6) SUPPORT REQUESTED - The extent to which the overall budget, including non-trainee expenses, is a) cost effective, reasonable, and consistent with the stated objectives and proposed activities, b) expenditures are well justified, and c) in-kind contributions are documented.

(7) CULTURAL COMPETENCE DEVELOPMENT The extent to which the application reflects the institutions commitment to developing culturally competent health professionals by establishing a system that values the importance of culture in the delivery of health care services to all segments of the population. The practices and initiatives of the applicant institution their commitment to increasing culturally competent and diverse health professionals.

93.420 ARRA - COMMUNITY HEALTH APPLIED RESEARCH NETWORK

Community Health Applied Research Network (CHARN);
CHARN Central Data Management and Coordinating Center (CDMCC)

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

American Recovery and Reinvestment Act of 2009, Pub.L. 111-5 and Section 301 of the Public Health Service Act., Public Law 111-5.

OBJECTIVES:

To provide infrastructure support for consortia of safety net* providers and academic institutions to develop the capacity to undertake community-based comparative effectiveness research (CER). It is anticipated that by the end of the grant period, the funded consortia would be able to conduct high quality observational and interventional studies on priority comparative effectiveness topics.

*The term Safety-Net Provider means a provider that by mandate or mission organizes and delivers a significant level of healthcare and other health-related services to the uninsured, Medicaid, and other vulnerable populations.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants); Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

Funds are to support CER Data Infrastructure activities or investments that develop, build, or maintain data, information systems, or tools to support CER research and analysis. These investments could also include data linkages to administrative databases and registries, operational and administrative support, including scientific and technical personnel. None of the funds appropriated or otherwise made available in ARRA may be used by any State or local government, or any private entity, for any casino or other gambling establishment, aquarium, zoo, golf course, or swimming pool. (ARRA Sec. 1604)

Grantees are not permitted to issue subgrants. ARRA-funded awards are also subject to the HHS Standard Terms and Conditions as described in Appendix D on the web at:

<http://www.hhs.gov/recovery/grantscontracts/recoverytermsconditions.html>.

Applicant Eligibility:

CHARN: Health Center Consortia, such as a health center as defined and funded under Section 330 of the Public Health Service (PHS) Act, as amended (42 U.S.C. 254b), applying on behalf of a managed care Network or plan, that has received Federal grants under subsection 330(e)(1)(A) for at least the two consecutive preceding years; or a health center as defined and funded under Section 330 of the Public Health Service (PHS) Act, as amended (42 U.S.C. 254b), applying on behalf of a Practice Management Network. Applicants must have a formal relationship with an academic research institution, through mechanisms such as MOU, MOA, etc. The United States institution/organization must be located in the 50 states, territories and possessions of the U.S., Commonwealth of Puerto Rico, Trust Territory of the Pacific Islands, or District of Columbia.

CHARN CDMCC: Academic research institutions, such as public or nonprofit universities, laboratories, hospitals, and other public or private institutions. Federally Recognized Indian Tribal Government and Native American Organizations if they are eligible to apply.

Beneficiary Eligibility:

The beneficiaries of this support are going to be the funded entities themselves, such as the health center consortia or health center controlled networks; the collaborating institutions that are the Affiliates of the funded entities, such as academic institutions and other research organizations; and, ultimately, the patient populations that are served by the funded entities.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission

of an application package. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. HRSA requires all applicants to apply electronically through Grants.gov.

Award Procedure:

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions. Notification is made in writing by a Notice of Award.

Deadlines:

Jun 09, 2010

Range of Approval/Disapproval Time:

From 30 to 60 days. Applicants can locate funding opportunities along with deadline information at <http://www.hrsa.gov/grants/default.htm> and www.grants.gov.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The assistance is available for no more than 3 years. ARRA restrictions require that money awarded must be spent by the end of the third year of the project, no later than September 30, 2013. See the following for information on how assistance is awarded/released: Grantees drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

Progress Reports are required with information on grantee progress on program-specific goals. A financial report is required within 90 days of the end of each year. The report is an accounting of expenditures under the project. Quarterly reports must be compliant with the provisions set in the Recovery Act. Recipients of Federal awards from funds authorized under Division A of the ARRA must comply with all requirements specified in Division A of the ARRA (Public Law 111-5), http://frwebgate.access.gpo.gov/cgi-bin/getdoc.cgi?dbname=111_cong_bills&docid=f:h1enr.pdf, including reporting requirements outlined in Section 1512 of the Act.

All CHARN grantees are required to submit a final report within 90 days after the project period ends. All information required by Section 1512 of the Recovery Act must be submitted through FederalReporting.gov. Submit a quarterly electronic PSC-272 via the Payment Management System. The report identifies cash expenditures against the authorized funds for the grant. All CHARN grantees are required to submit a final report within 90 days after the project period ends. All information required by Section 1512 of the Recovery Act must be submitted through FederalReporting.gov. Grantees are required to submit a quarterly electronic PSC-272 via the Payment Management System. The report identifies cash expenditures against the authorized funds for the grant. Grantees will be required to participate, where appropriate, in an on-site performance review of their HRSA funded program(s). Grantees will be required to participate, where appropriate, in an on-site performance review of their HRSA funded program(s) by a review team from HRSA's Office of Regional Operations (ORO).

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Successful applicants must comply with audit requirements of OMB Circular A-133, Audits of States, Local Governments, and Non-Profit Organizations. Recipients agree to separately identify the expenditures for each grant award funded under ARRA on the Schedule of Expenditures of Federal Awards (SEFA) and the Data Collection Form (SF-SAC).

Records:

Grantees are required to maintain grant accounting records 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550 - CHARN CDMCC; 75-0351-0-1-550 - CHARN.

Obligations:

(Salaries) FY 12 \$0; FY 13 est \$0; and FY 14 est \$0 - The American Recovery and Reinvestment Act of 2009 (ARRA or Recovery Act) provides funds for awards in FY11.

Range and Average of Financial Assistance:

The range of the five awards was \$1,983,468 to \$2,000,000; the average award was \$1,990,575.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: N/A. Fiscal Year 2013: A data coordinating center and four research nodes created a centralized data warehouse extracted from electronic health record systems at 18 community health centers for doing patient-centered outcomes research. Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable. Any identifiable patient health information collected by grantees under this award will also be obtained and managed in accordance with 45 CFR Parts 160 and 164. Information on these requirements can be found at <http://hhs.gov/ocr/hipaa>. Federal regulations at 45 CFR Part 46 require that research involving human subjects must be evaluated in accordance with those regulations. For guidelines on compliance with 45 CFR 46 see <http://www.hhs.gov/ohrp/humansubjects/guidance/45cfr46.htm>.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices. Robert Mills, 5600 Fishers Lane, Room 7C-07, Rockville, Maryland 20857 Email: rmills@hrsa.gov Phone: (301) 443-3899.

Headquarters Office:

Robert Mills, 5600 Fishers Lane, Room 7C-07, Rockville, Maryland 20857 Email: rmills@hrsa.gov Phone: (301) 443-3899.

Website Address:

<http://www.hrsa.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

All applications were evaluated by an Objective Review Committee. Please refer to the funding opportunity announcements for specific information on review criteria.

93.440 NATIONAL PROJECT MANAGEMENT OF THE HEALTHCARE COMMUNITIES KNOWLEDGE GATEWAY

Knowledge Gateway, Healthcare Communities

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Sections 330(I), 340B, and 377 of the Public Health Service Act (42U.S.C. 254b).

OBJECTIVES:

The objectives of this collaborative agreement is to provide technical assistance to HRSA grantees and other providers of healthcare services by supporting the Healthcare Communities Knowledge Gateway, a robust knowledge and content management system portal, and related quality improvement efforts that bridge healthcare, public health, local and state based community organizations in improvement efforts across the United States. Healthcare Communities Knowledge Gateway provides support to the following: (a) Patient Safety and Clinical Pharmacy Services Collaborative (PSPC) participant organizations and related community based activities; (b) the Donation and Transplantation Community of Practice (DTCP) continued growth and success in saving lives and increasing awareness of the need for additional donors; and (c) information resources for the State Health Access program.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

The cooperative agreement recipient operates and manages the Healthcare Communities Knowledge Gateway main portal and resources center, results-sharing site, and help desk or create an equivalent system without disruption of service to the current users such as eligible organizations and a variety of HRSA grantees including but not limited to: health centers, Counties and States, transplant hospitals and centers, qualified organ procurement organizations, educational institutions, state and local health departments, public health and other private entities. Refer to the funding opportunity announcement for specific terms of the cooperative agreement.

Applicant Eligibility:

Public, private, for profit and not-for-profit entities are eligible to apply. Federally recognized Indian Tribal Government and Native American Organizations are eligible to apply. The applicant must have the ability to provide technical support and development; national project management of community based improvements, results sharing with automated analysis, and associated resources; and improve community resources including payer and health information technology systems.

Beneficiary Eligibility:

Beneficiaries include current users such as eligible organizations and a variety of HRSA grantees including but not limited to: health centers, Counties and States, transplant hospitals and centers, qualified organ procurement organizations, educational institutions, state and local health departments, public health and other private entities.

Credentials/Documentation:

Applicants should review the individual HRSA Guidance documents issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Applicants should review the individual HRSA Guidance documents issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. HRSA requires all applicants

to apply electronically through Grants.gov.

Award Procedure:

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions. Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 3 months after receipt of applications.

Appeals:

Not Applicable.

Renewals:

This cooperative agreement will provide funding for up to 3 years. Approximately \$1,000,000 is expected to be available annually to fund one cooperative agreement. Applicants may request up to \$1,000,000 per year. Funding beyond the first year is dependent on the availability of appropriated funds in subsequent fiscal years, awardee satisfactory performance, and a decision that continued funding is in the best interest of the Federal government.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

This cooperative agreement will provide funding for up to 3 years. Approximately \$1,000,000 is expected to be available annually to fund one cooperative agreement. Applicants may request up to \$1,000,000 per year. See the following for information on how assistance is awarded/released: Grantee drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

The awardee must submit a progress report to HRSA on a quarterly basis. Sample text describing the report: This report has two parts. The first part demonstrates grantee progress on program-specific goals. The second part collects core performance measurement data including performance measurement data to measure the progress and impact of the project. Further information will be provided in the award notice. Submit a quarterly electronic Federal Financial Report (FFR) Cash Transaction Report via the Payment Management System. The report identifies cash expenditures against the authorized funds for the award. The FFR Cash Transaction Reports must be filed within 30 days of the end of each quarter. Failure to submit the report may result in the inability to access award funds. Go to www.dpm.psc.gov for additional information. See above. See above. See above.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records 3 years after the date they submit the FFR. If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Salaries) FY 12 \$998,772; FY 13 est \$0; and FY 14 est \$0

Range and Average of Financial Assistance:

Approximately \$1,000,000 is expected to be available annually to fund one cooperative agreement.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices. Office of Pharmacy Affairs, HRSA, Healthcare Systems Bureau, Parklawn Building, Room 10C-03, 5600 Fishers Lane, Rockville, MD 20857
Telephone: (301) 594-4353, Fax: (301) 594-4982.

Headquarters Office:

Office of Pharmacy Affairs 5600 Fishers Lane, Room 10C-03, Rockville, Maryland 20857 Phone: (301) 594-4353

Website Address:

<http://www.hrsa.gov>

RELATED PROGRAMS:

93.107 Model State-Supported Area Health Education Centers; 93.134 Grants to Increase Organ Donations

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the funding opportunities announcement for further information.

93.441 INDIAN SELF-DETERMINATION

(Indian Self-Determination 638 Contracts)

FEDERAL AGENCY:

Indian Health Service, Department of Health and Human Services

AUTHORIZATION:

Indian Self-Determination and Education Assistance Act, Title 1, Section 102, Public Law 93-638.

OBJECTIVES:

To enable Indian tribes to assume the management and operation of programs, functions, services, and activities (PFSA) for the delivery of health care to Indian people. To enter into a self-determination contract or contracts with Indian tribes or tribal organizations (T/TO) interested in transferring the responsibility for the administration and provision of health services from the government to tribal leadership.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

Funds are for the tribes to provide the PFSA's transferred from the Indian Health Service (IHS). The funds are not available for construction unless specifically authorized by Congress. Tribes can reallocate funds within their budget from one line item to another without contract modifications. Tribes also receive administrative funds for the contracted programs.

Applicant Eligibility:

Any federally-recognized tribe that formally requests, through a governing body action, a resolution, to enter into a self-determination contract or contracts for the purpose of planning, conducting, and administering programs, or portions thereof, including construction programs, provided that the T/TO submits a contract proposal that conforms to the requirements contained in 25

CFR 900.8 may apply.

Beneficiary Eligibility:

Indian tribes will benefit.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

The Agency shall, within 30 days of receipt of a notice of intent to contract, provide the tribe pre-proposal technical assistance, if requested to do so. The Agency will also notify the tribe of the amount of funds available to contract, under 106(a) of the ISDA, and begin planning for the transfer of responsibilities and funding to the tribe. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. A tribe or tribal organization may submit a proposal for a self-determination contract or a proposal to amend or renew an existing contract. Unless there is a declination issue the Secretary shall within 90 days after receiving the proposal, approve the proposal and award a contract to the tribe. Declination issues are: (A) The service to be rendered to the Indian beneficiaries of the particular program or function to be contracted will not be satisfactory; (B) adequate protection of trust resources is not assured; (C) the proposed project or function to be contracted for cannot be properly completed or maintained by the proposed contract; (D) the amount of funds proposed under the contract is in excess of the applicable funding level for the contract as determined under Section 106(a); or (E) the program, function, service, or activity (or portion thereof) that is the subject of the proposal is beyond the scope of programs, functions, services, or activities covered under paragraph (1) because the proposal includes activities that cannot lawfully be carried out by the contractor.

Award Procedure:

The agency negotiation team and the representatives of the tribe shall develop the agenda for the contract negotiation session(s). The agency negotiation team should assure that any issues identified during the proposal review stage, together with any agency recommendations for overcoming these issues, are raised at the negotiations. Title I contracts are generally awarded at the area office level. They are awarded for a definite period of time (1 to 3 years) or may be designated as "mature" and awarded for a definite or indefinite period of time.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Section 102 of the ISDA and Subpart L of the regulations are the basic sections covering the appeal procedures. Section 900.150 of 25 CFR lists the decisions a T/TO can appeal under Subpart L (25 CFR 900.150-169). The procedures here apply to those appeals. Also, 25 CFR Section 900.151 of the regulations describes appeals that are covered under Subpart N of the regulations and other appeals. The T/TO has three options in appealing a decision under 25 CFR 900-150. The T/TO can request an informal conference and/or can file a formal appeal. The T/TO also has the option of going directly to the Federal court at any time.

Renewals:

Annual funding agreements are renegotiated and renewed annually. Title I contracts may be designated as "mature" and awarded for a definite or indefinite period of time.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: A T/TO may use funds provided under a Title I contract to meet matching or close participation requirements under other Federal and nonfederal programs.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

n/a. Method of awarding/releasing assistance: quarterly.

Reports:

No reports are required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Section 5(f) of the ISDA requires a T/TO to submit an annual "Single Audit Act" as required by Chapter 75 of Title 31 U.S.C. OMB Circular No. A-133, Audits of States, Local Governments and Nonprofit Organizations, revised June 24, 1997, rescinded circular No. A-128 and superseded the Prior version of A-133. Revised circular No. A-133 now applies to T/TO.

Records:

Department of Health and Human Services (DHHS) and the Comptroller General of the United States or any of their authorized representatives, shall have the right to access any books, documents, papers, or other records of a grantee, subgrantee, contractor, or subcontractor, which are pertinent to the HHS award, in order to make audits, examinations, excerpts, and transcripts. Grantees are required to maintain accounting records 3 years after the end of the budget period of the award. If any litigation, claim, negotiation, audit or other action involving the records shall be retained until completion of the action and resolution of all issues arising from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0391-0-1-551.

Obligations:

(Direct Payments for Specified Use) FY 12 \$399,072,052; FY 13 est \$399,072,052; and FY 14 est \$399,072,052

Range and Average of Financial Assistance:

\$31,963 to \$25,950,652; \$1,722,872.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Public Law 93-638, as amended, Internal Agency Procedures Manual for Contracting Under Title I of the Indian Self-Determination and Education Assistance Act; Indian Health Circular No. 2000-01, "Contract Support Costs apply."

Regional or Local Office:

See Regional Agency Offices. Aberdeen Area IHS, Alaska Area IHS, Albuquerque Area IHS, Bemidji Area IHS, Billings Area IHS, California Area IHS, Nashville Area IHS, Navajo Area IHS, Oklahoma City Area IHS, Phoenix Area IHS, Portland Area IHS, and Tucson Area IHS.

Headquarters Office:

Grants Policy Office, 801 Thompson Avenue, Suite TMP 360, Rockville, Maryland 20852 Email: grantspolicy@ihs.gov Phone: (301) 443-5204.

Website Address:

<http://www.ihs.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applicants who meet the requirements of Section 102 of Public Law 93-638, as amended, and provide a proposal in accordance with 25 CFR 900 will be funded.

**93.442 SPECIAL DIABETES PROGRAM FOR INDIANS (SDPI)
DIABETES PREVENTION AND HEALTHY HEART INITIATIVE
FEDERAL AGENCY:**

Indian Health Service, Department of Health and Human Services

AUTHORIZATION:

Balanced Budget Act of 1997, Public Law 105-33; Medicare, Medicaid, and SCHIP Extension Act of 2007, Public Law 110-173; Medicare Improvements for Patients and Providers Act of 2008, Public Law 110-275; Consolidated Appropriations Act of 2001, Public Law 106-554; Reauthorization of SDPI 2002, Public Law 107-360.

OBJECTIVES:

The Indian Health Service (IHS) announces a new initiative under the Special Diabetes Program for Indians (SDPI). This funding mechanism is a competitive cooperative agreement program that will provide funding to selected SDPI grantees for a demonstration project to implement and evaluate defined activities in one of two areas (primary prevention of diabetes or prevention of cardiovascular disease in people with diabetes).

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funds (including direct costs and allowable indirect costs) may be used only for expenses clearly related and necessary to carry out approved activities that will support the implementation and evaluation of demonstration projects under this competitive grant program.

Applicant Eligibility:

Applicants eligible to receive an award under this announcement are SDPI grant recipients. The applicant must be one of the following entities: IHS hospital or clinic, federally recognized Tribes, Title V Urban Indian Health Programs or consortia of any of the above. A SDPI grant recipient can apply only once as a single entity or as part of consortium. An application submitted by consortium of SDPI grant recipients must be submitted by one of the SDPI grant recipients sanctioned as the principal applicant. A letter of support must be included in the application from each SDPI grantee the principle applicant is representing. The letter must specifically state that the principal applicant is officially representing that SDPI grantee in this application. Applicants for consortium who do not submit these letters of support at the time of the application receipt date will not be reviewed and are ineligible for the award. Smaller applicants are encouraged to apply as part of consortium, especially their diabetes registry is less than 250. Applicants are strongly encouraged to establish eligibility of their proposed applications prior to submission. Inquires about eligibility should be addressed to Gordon Quam, SDPI Demonstration Projects Grant Project Officer, IHS Division of Diabetes Treatment and Prevention at 505-248-4182; email to diabetesprogram@ihs.gov. Applicants that are not SDPI grant recipients are not eligible. Non-profit tribal organizations or national/area health boards are not eligible, consistent with recent tribal consultation on this issue. Applications that do not meet these eligibility requirements will be returned to the applicant without further review.

Beneficiary Eligibility:

American Indians and Alaska Natives will be the ultimate beneficiaries of the funded demonstration projects through either prevention or direct treatment services.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular Circular No. A-122, "Cost Principles for Non-Profit Organizations.". OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Applications can be found at <http://www.grants.gov>. Applications will also be available on request from the IHS Division of Diabetes Treatment and Prevention at the following address:

5300 Homestead Road, NE, Albuquerque, NM 87110. Telephone: (505) 248-4182, fax 505-248-4188, email address: diabetesprogram@ihs.gov. The application will be posted on the IHS Division of Diabetes Treatment and Prevention web site at www.diabetes.ihs.gov and on the HHS Grants web site at <http://www.grants.gov>. Applicants may submit one application for one or both demonstration projects. A separate application is required for each demonstration project. Applicants will be funded for only one project (primary prevention of diabetes or prevention of cardiovascular disease in people with diabetes).

Tribal Approval of Application/Letter of Support: It is the policy of the IHS that all projects involving AIAN tribes be approved by the Tribal governments with jurisdiction. Therefore, the following documentation is required as part of this application: 1) For federally recognized Indian tribe - a resolution of support from the Tribal government must be a part of the application. Applications that involve more than one Indian tribe must include resolutions of support from all participating tribes. 2) For an eligible consortium of tribes - a resolution of support from each tribe of the consortium must be included. 3) For Title V Urban Indian Health Programs - a letter of support from the program's board must be included. 4) For IHS hospitals or clinics - a letter of support from the Service Unit Director or Chief Executive Officer must be included. 5) For all applicants - letters of support from all partners and collaborating entities.

The preferred method for receipt of applications is electronic submission through Grants.gov. However, should any technical problems arise regarding the submission, please contact Grants.gov Customer Support at 1-800-518-4726 or support@grants.gov. The Contact Center is open 24 hours a day, 7 days a week (except Federal holidays). If you require additional assistance please contact Tammy Bagley, Grants Policy Officer; Telephone: (301) 443-5204 at least ten days prior to the application deadline. To submit an application electronically, please use the <http://www.Grants.gov> apply site. Download a copy of the application package, on the Grants.gov website, complete it offline and then upload and submit the application via the Grants.gov site. You may not e-mail an electronic copy of a grant application to us. Upon receipt, IHS will administratively review applications by completeness and responsiveness. Applications that are incomplete, non-responsive to this RFA, do not meet eligibility criteria or do not follow the guidelines of the SF 424 will be returned to the applicant without further consideration. Applications will be evaluated for technical merit by appropriate peer review groups convened by the IHS National Diabetes Program in accordance with established criteria.

Award Procedure:

Applicants will only be eligible to receive one award for funding in one area (i.e. primary prevention of diabetes or prevention of cardiovascular disease in people with diabetes). Priorities for funding will be based on the technical merit of the application, the assessed potential of the applicant and the likelihood of the applicant to successfully implement the defined interventions. Awards will be made only to organizations with financial management systems and management capabilities that are acceptable under PHS Policy. Awards will be administered under the PHS Grants Policy Statement. Awards will be subject to the availability of funds and grants will be administered in accordance with applicable Office of Management and Budget (OMB) Circulars, Department of Health and Human Services grant regulations at 45 CFR Parts 74 and 92, the Public Health Service Grants Policy Statement and other applicable IHS policies and procedures such as the regulations governing protection of human subjects at 45 CFR Part 46. Grants Management will not award a grant without an approved application in conformance with regulatory and policy requirements and which describes the purpose and scope of the project to be funded. When the application is approved for funding, the Grants Management Office will prepare a Notice of Grant Award with special terms and conditions binding upon the award and refer to all general terms applicable to the award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. The anticipated date for the announcement of selectees is 60 days after the application due date.

Appeals:

Not Applicable.

Renewals:

This funding will be awarded as a cooperative agreement, renewable annually for up to one additional year (FY 2011). The IHS will determine if cooperative agreements are renewable after these 2 years depending on funding levels and congressional actions.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Assistance is available during the stated Project Periods. Method of awarding/releasing assistance: quarterly.

Reports:

Program reports are not applicable. Grantees will be required to submit quarterly Federal Financial Report (SF-425 or FFR) to the Division of Payment Management Branch. Progress reports are required annually, semi-annually or quarterly depending on the time lines set by the IHS program. A final progress report is due 90 days after the end of each budget period and at the end of the final project period. Grantees will be required to submit quarterly Federal Financial Report (SF-425 or FFR) to the Division of Payment Management Branch. IHS grants are monitored by the Division of Grants Management for financial compliance and by the IHS Program Staff for programmatic compliance.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

All records of the grant must be retained for 3 years after submission of the final expenditure report. If questions remain, such as those resulting from an audit, pertinent records must be kept until the matter is resolved. The Secretary, the Inspector General of the Department of the Health and Human Services, and the Comptroller General of the United States or any of their authorized representatives shall have the right to access all records, report, books, document, papers or other records of the grantee, contractor, or subcontractor or of any entity pertinent to the DHHS grant in order to make audits, examinations, excerpts and transcripts.

Account Identification:

75-0390-0-1-551.

Obligations:

(Salaries) FY 12 \$23,182,200; FY 13 est \$23,182,200; and FY 14 est \$23,182,200

Range and Average of Financial Assistance:

\$137,500 - \$397,000; average award is \$340,915.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices. Program Contact: IHS Division of Diabetes Treatment and Prevention, 5300 Homestead Road, NE., Albuquerque, NM 87110 Telephone: (505) 248-4182.

Grants Management Contact: Ms. Tammy Bagley, Acting Director, Division of Grants Management, Indian Health Service, 801 Thompson Avenue, Suite TMP

360, Rockville, MD 20852. Telephone: (301) 443-5204, Fax (301) 443-9602. Use the same number for FTS.

Headquarters Office:

Grants Policy Office 801 Thompson Avenue, Suite TMP 360, Rockville, Maryland 20852 Email: grantspolicy@ihs.gov Phone: 301-443-5204

Website Address:

http://www.ihs.gov

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.443 HEALTH PROMOTION/DISEASE PREVENTION PROGRAM FOR AMERICAN INDIANS AND ALASKA NATIVES**FEDERAL AGENCY:**

Indian Health Service, Department of Health and Human Services

AUTHORIZATION:

Authorized by 25 U.S.C. 13, Synder Act, Public Law 83-568, Transfer Act, 42 U.S.C. 2001.

OBJECTIVES:

To create healthier American Indian/Alaska Native (AI/AN) communities by developing, implementing, and coordinating innovative and effective community and clinic-based interventions programs designed to promote health and prevent chronic disease and disability.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Establishing and operating programs designed to recruit American Indians and Alaska Natives into health and allied health professional schools.

Applicant Eligibility:

Federally-recognized tribes, tribal organizations, nonprofit organizations primarily American Indians and Alaska Natives, and urban Indian organizations may apply.

Beneficiary Eligibility:

Individuals who are members of an eligible applicant tribe, band, or group or village and who may be regarded as within the scope of the Indian Health and medical service program and who are regarded as an Indian by the community in which he lives as evidenced by such factors as tribal membership, enrollment, residence on tax exempt land, ownership or restricted property, active participation in tribal affairs or other relevant factors in keeping with general Bureau of Indian Affairs practices in the jurisdiction.

Credentials/Documentation:

Certification of American Indian or Alaska descent is required for direct services. Costs will be determined in accordance with Circular No. A-122 for Tribal nonprofit organizations codified through applicable grant administration regulations 45 CFR Part 92 and as applicable 45 CFR Part 74. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. The preferred method for receipt of applications is electronic submission through Grants.gov. However, should any technical problems arise regarding the submission, please contact Grants.gov Customer Support at 1-800-518-4726 or support@grants.gov. The Contact Center is open 24 hours a day, 7 days a week (except for Federal holidays). If you require additional assistance please

contact Tammy Bagley, Grants Policy Officer at (301) 443-5204 at least fifteen days prior to the application deadline. To submit an application electronically, please use the <http://www.Grants.gov> apply site. Download a copy of the application package, on the Grants.gov website, complete it offline and then upload and submit the application via the Grants.gov site. You may not e-mail an electronic copy of a grant application to us. Health Promotion/Disease Prevention program are processed and administered by IHS Headquarters. This program is subject to the provisions of 45 CFR Part 92 for Indian tribes and Part 74 for Nonprofit organizations, and OMB Circulars No. A-110, A-122, A-87, and A-102.

Award Procedure:

Grants are awarded on a competitive basis with processing and final approval by Indian Health Service Headquarters.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. Grants are approved or disapproved within 90 days of receipt of grant applications by the Indian Health Service, Division of Grants Operation.

Appeals:

Other - Not Specified.

Renewals:

Project periods are for 3 years with 12-month budget periods.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants will be awarded for project periods up to 3 years. Method of awarding/releasing assistance: quarterly.

Reports:

Program reports are not applicable. Grantees will be required to submit quarterly Federal Financial Report (SF-425 or FFR) to the Division of Payment Management Branch. Progress reports are required annually, semi-annually or quarterly depending on the time lines set by the IHS program. A final progress report is due 90 days after the end of each budget period and at the end of the final project period. Grantees will be required to submit quarterly Federal Financial Report (SF-425 or FFR) to the Division of Payment Management Branch. IHS grants are monitored by the Division of Grants Management Management for financial compliance and by the IHS Program Staff for programmatic compliance.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grantees are subject to site visits and audits by the Department of Health and Human Services (DHHS) and other Federal officials.

Records:

DHHS and the Comptroller General of the United States or any of their authorized representatives shall have the right of access to any books, documents, papers, or other records of a grantee, subgrantee, contractor, or subcontractor, which are pertinent to the grant in order to make audit, examinations, excerpts, and transcripts. In accordance with 45 CFR Parts 74 and 92, grantees are required to maintain grant accounting records for 3 years after the end of a budget period. If any litigation, claim, negotiation, audit or other action involving the records has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0390-0-1-551.

Obligations:

(Cooperative Agreements) FY 12 \$0; FY 13 est \$95,000; and FY 14 est \$95,000

Range and Average of Financial Assistance:

\$95,000 per award.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations governing this program are published under the provisions of 45 CFR Part 92 and the HHS Grants Policy Statement.

Regional or Local Office:

None. Program Contact: CAPT Candace Jones, Administrative Officer, Improving Patient Care Program, Indian Health Service, 5300 Homestead Rd NE, Albuquerque, NM 87110, Phone: (505) 248-4861.

Grants Management Contact: Ms. Tammy Bagley, Acting Director, Division of Grants Management, Indian Health Service, 801 Thompson Avenue, Suite TMP 360, Rockville, MD 20852. Telephone: (301) 443-5204, Fax (301) 443-9602. Use the same number for FTS.

Headquarters Office:

Grants Policy Office 801 Thompson Avenue, Suite TMP 360, Rockville, Maryland 20852 Email: grantspolicy@ihs.gov Phone: 301-443-5204

Website Address:

<http://www.ihs.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.444 TRIBAL SELF-GOVERNANCE PROGRAM: PLANNING AND NEGOTIATION COOPERATIVE AGREEMENT

(Tribal Self Governance)

FEDERAL AGENCY:

Indian Health Service, Department of Health and Human Services

AUTHORIZATION:

Indian Self-Determination and Education Assistance Act (ISDEAA), 25 U.S.C. 458aaa-2(e)-(f); 42 C.F.R. 137.24-26.

OBJECTIVES:

To enable Federally recognized Indian tribes to enter into agreements that would allow them to assume programs, services, functions, and activities (PSFA) (or portions thereof) that the IHS would otherwise provide for Indians because of their status as Indians. To accomplish this objective, the Indian Health Service (IHS) offers Planning and Negotiation Cooperative Agreements each year for: (1) additional tribes interested in participating in the IHS Tribal Self-Governance Program, and (2) existing Self-Governance Tribes that would like to plan for the assumption of new and/or expanded programs. The Planning Cooperative Agreements allow Tribes to gather information to determine the current types and extent of PSFA and funding levels available within its service areas and to plan for the types and extent of PSFA and funding to be made available to the tribe under a compact. The Negotiation Cooperative Agreements provide Tribes with additional funding to defray costs involved in and preparing to negotiate Self-Governance compacts pursuant to Title V of the ISDEAA.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funding transferred through a Planning or Negotiation Cooperative Agreement

must be used to either: (1) establish and operate programs to provide planning and negotiation resources to Tribes interested in participating in the IHS Tribal Self-Governance Program, or (2) expand current Self-Governance activity.

Applicant Eligibility:

For Planning Cooperative Agreements, any Federally recognized tribe that (1) formally requests, through a governing body action, a Planning Cooperative Agreement for the purpose of preparing to enter the IHS Tribal Self-Governance Program and (2) has furnished organization-wide single audit reports as prescribed by Pub. L. 96-502, the Single Audit Act of 1984, as amended, for the previous three years that contain no uncorrected significant and material audit exceptions. See OMB Circular No. A-133 (June 27, 2003 and June 26, 2007). For Negotiation Cooperative Agreements, in addition to the two criteria listed above, applicants must have successfully completed the planning phase as described at 42 C.F.R. 137.20.

Beneficiary Eligibility:

Federally recognized Indian tribes and tribal organizations (as defined in 25 U.S.C. 458aaa-(b)) may benefit.

Credentials/Documentation:

No Credentials or documentation are required. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. The preferred method for receipt of applications is electronic submission through <http://www.Grants.gov>. However, should any technical problems arise regarding the submission, please contact Grants.gov Customer Support by telephone at (800) 518-4726 or by electronic mail at support@grants.gov. Customer Support is available 24 hours a day, 7 days a week (except for Federal Holidays). If problems persist, please contact Paul Gettys, Division of Grants Management Systems Coordinator by telephone at (301) 443-2114 or by e-mail to Paul.Gettys@ihs.gov at least ten (10) days prior to the application deadline. To submit an application electronically, please use the Internet Website located at <http://www.Grants.gov>. Download a copy of the application package, on the Grants.gov website, complete it offline and then upload and submit the application via the Grants.gov site. An electronic copy of a grant application submitted by electronic mail to the IHS will not be accepted.

Award Procedure:

Cooperative agreements are made directly by the IHS to the Indian tribal applicants, based on results of an Objective Review process.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. Within 45 days of IHS deadline.

Appeals:

From 30 to 60 days. Per 42 C.F.R. 137.416, decisions relating to the award of Cooperative Agreements may be appealed under 45 C.F.R. Part 5.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Not applicable. Method of awarding/releasing assistance: quarterly.

Reports:

Program reports are not applicable. Federal cash transaction reports are due 30 days after the close of every calendar quarter to the Division of Payment

Management (DPM), Payment Management Branch. Please refer to the DPM website (<http://www.dpm.psc.gov/>) for additional guidance. Progress reports are required to be submitted semi-annually and within 30 days after the budget period ends. A final report must be submitted within 90 days of expiration of the budget/ project period. Financial status reports are required to be submitted quarterly within 30 days after the budget period ends. Final financial status reports are due within 90 days of expiration of the budget/project period. Grantees must submit the reports consistent with the applicable deadlines identified in the Standard Grant Conditions. Failure to submit required reports within the time allowed may result in suspension or termination of an active grant, withholding of additional awards for the project, or other enforcement actions such as withholding of payments or converting to the reimbursement method of payment.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

HHS and the Comptroller General of the United States, or any of their authorized representatives, shall have the right to access any books, documents, papers, or other records of a grantee, subgrantee, contractor, or subcontractor, which are pertinent to the HHS award, in order to make audits, examinations, excerpts and transcripts. Grantees are required to maintain accounting records 3 years after the end of the budget period of the award. If any litigation, claim, negotiation, audit or other action involving the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0390-0-1-551.

Obligations:

(Cooperative Agreements) FY 12 \$622,275; FY 13 est \$840,000; and FY 14 est \$840,000

Range and Average of Financial Assistance:

Range \$48,000 to \$120,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: IHS awarded 4 Planning Awards, 3 Negotiation Awards. Fiscal Year 2013: IHS estimates it will award approximately 10 (5 planning and 5 negotiation) awards, subject to the availability of funds. Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Indian Self-Determination and Education Assistance Act (ISDEAA), 25 U.S.C. 458aaa-2(e)-(f); 42 C.F.R. 137.24-26.

Regional or Local Office:

None. Program Contact: Ms. Anna Johnson, Program Analyst, Office of Tribal Self-Governance, Indian Health Service, 801 Thompson Avenue Suite 240, Rockville, Maryland 20852. Phone: (301) 443-7821 Fax: (301) 443-1050.

Grants Management Contact: Ms. Tammy Bagley, Acting Director, Division of Grants Management, Indian Health Service, 801 Thompson Avenue, Suite TMP 360, Rockville, MD 20852. Telephone: (301) 443-5204, Fax (301) 443-9602. Use the same number for FTS.

Headquarters Office:

Grants Policy Office 801 Thompson Avenue, Suite TMP 360, Rockville, Maryland 20852 Email: grantspolicy@ihs.gov Phone: 301-443-5204

Website Address:

<http://www.ihs.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Introduction and Need for Assistance; Project Objective(s), Work Plan and Approach; Program Evaluation; Organizational Capabilities, Key Personnel and Qualifications; Categorical Budget and Budget Justification.

93.445 INDIAN HEALTH SERVICE SANITATION FACILITIES CONSTRUCTION PROGRAM

IHS SFC Program

FEDERAL AGENCY:

Indian Health Service, Department of Health and Human Services

AUTHORIZATION:

25 U.S.C. 13 Snyder Act, Public Law 83-568, Transfer Act, 42 U.S.C. 2001, Public Law 86-121, Indian Sanitation Facilities Act; and Title III of Public Law 94-437, Indian Health Care Improvement Act, as amended.

OBJECTIVES:

To provide essential sanitation facilities, such as safe drinking water and adequate waste disposal systems, for Indian homes and communities. The IHS Sanitation Facilities Construction Program, an integral component of the IHS disease prevention activity, has carried out those authorities since 1960 using funds appropriated for Sanitation Facilities Construction to provide potable water and waste disposal facilities for AI/AN people. As a result, the rates for infant mortality, the mortality rate for gastroenteritis and other environmentally related diseases have been dramatically reduced, by about 80 percent since 1973. The IHS physicians and health professionals credit many of these health status improvements to IHS' provision of water supplies, sewage disposal facilities, development of solid waste sites, and provision of technical assistance to Indian water and sewer utility organizations. The provision of Indian sanitation facilities is a very important component of the overall effort required to achieve a reduction in infant mortality, a goal highlighted in Healthy People 2010 "The Year 2010 Objectives for the Nation. Safe drinking water supplies and adequate waste disposal facilities are essential preconditions for most health promotion and disease prevention efforts, as well as being a major factor in the quality of life of Indian people.

TYPES OF ASSISTANCE:

Provision of Specialized Services

USES AND USE RESTRICTIONS:

Sanitation Facilities Construction (SFC) program provides essential sanitation facilities including water supply, sewage and solid waste disposal facilities to American Indian and Alaska Native (AI/AN) homes and communities. The SFC budget supports Indian Health Service (IHS) health priorities by providing water supply, sewage, and solid waste disposal facilities to AI/AN homes and communities. These services will implement strategies/activities to address the health disparities that exist in these areas. The funds must be expended for the specific purpose outlined in the Public Law 86-121 Arrangements. The funds must be expended for the specific purpose outlined in the Public Law 86-121 Arrangements.

Applicant Eligibility:

Not Applicable.

Beneficiary Eligibility:

Not Applicable.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

Projects are selected from a priority list.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Funds are not recurring.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Average project length is 4 years. Funds are non-recurring. Obligated funds are disbursed upon receipt of approved invoices. See the following for information on how assistance is awarded/released: Funds are disbursed upon receipt and approval of invoices for work completed.

Reports:

No program reports are required. The Director of the SFC Program, sends a list to IHS Finance Office, which allots the specified amounts to Area Finance offices. Each Area SFC Program creates obligations for projects selected. The mechanism for allocating, allotting, obligating, invoicing, and paying is the Department's Unified Financial Management System (UFMS) which provides reports as required to those who have access to the system. IHS Finance provides weekly reports to the Department. Progress reports are due quarterly. No expenditure reports are required. Performance monitoring is conducted by the appropriate IHS staff for the performance and financial reports.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Public Law 86-121 projects are subject to inspection and audits by HHS and other Federal government officials as required by applicable law or regulation.

Records:

HHS and the Comptroller General of the United States or any of their authorized representatives, shall have the right of access to any books, documents, papers, or other records of a recipient, subrecipient, contractor, or subcontractor, that are pertinent to the project in order to make audits, examinations, excerpts and transcripts. In accordance with 45 CFR 92.42, recipients are required to maintain project records 3 years after they submit their final expenditures report. If any litigation, claim, negotiation, audit or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues arising from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0391-0-1-551.

Obligations:

(Provision of Specialized Services) FY 12 \$76,582,464; FY 13 est \$75,431,000; and FY 14 est \$79,582,000

Range and Average of Financial Assistance:

\$7,000 to \$2,800,000. Average project cost was about \$300,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Indian Health Manual Part 5 Chapter 2; Internal Agency Procedures--

Memorandum of Agreement Guidelines Working Draft June 2003 Version 1.01; Criteria for the Sanitation Facilities Construction Program Version 1.01; Sanitation Deficiency System Working Draft May 2003; Environmental Review Manual for Indian Health Service Indian Health Manual Part 5 Chapter 2; Internal Agency Procedures-- Memorandum of Agreement Guidelines Working Draft June 2003 Version 1.01; Criteria for the Sanitation Facilities Construction Program Version 1.01; Sanitation Deficiency System Working Draft May 2003; Environmental Review Manual for Indian Health Service Programs January 2007.

Regional or Local Office:

See Regional Agency Offices. Please see <http://www.ihs.gov/index.cfm?module=AreaOffices> for Area Office information.

Headquarters Office:

CAPT Randall Gardner, Division of Sanitation Facilities Construction, 801 Thompson Avenue, Suite TMP 610, Rockville, Maryland 20852 Phone: (301) 443-1247

Website Address:

<http://www.ihs.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Projects are selected from a priority list mandated by Public Law 94-437, as amended, in consultation with the applicable Tribe. Contact the appropriate IHS Area Office referenced above or in Appendix IV for additional information.

93.448 FOOD SAFETY AND SECURITY MONITORING PROJECT

FERN Grant Program

FEDERAL AGENCY:

Food and Drug Administration, Department of Health and Human Services

AUTHORIZATION:

Public Health Security and Bioterrorism Preparedness and Response Act of 2002, Public Law 107-188.

OBJECTIVES:

To complement, develop and improve State, Indian Tribal, and local food safety and security testing programs through the provision of supplies, personnel, facility upgrades, training in current food testing methodologies and participation in proficiency testing to establish additional reliable laboratory sample analysis capacity and analysis of surveillance samples. New programs will be included to complement, develop and improve State, Indian Tribal, and local food safety and security analyses of foods and food products related to radiological terrorism or other emergency situations through the provision of supplies, personnel, facility upgrades, training in current food testing methodologies, participation in proficiency testing to establish additional reliable laboratory sample analysis capacity, participation in method enhancement activities to extend analysis capability, and analysis of surveillance samples in the event of a large-scale radiological terrorism event affecting foods or food products.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

The grants and cooperative agreements are intended to expand participation in networks to enhance Federal, State, local and tribal food safety and security efforts and continue the promotion of a continuing, reliable capability and capacity for laboratory sample analyses of foods and food products for the rapid detection and identification of toxic chemicals, toxins or radiological terrorism affecting foods or food products. These funds cannot be used to fund or conduct food inspections for food safety regulatory agencies. They may not be used for new building construction, however remodeling of existing facilities is allowed, provided that remodeling costs do not exceed 25% of the grant. Funding

restrictions are noted in the Funding Opportunity Announcement and the Terms and Conditions of Award.

Applicant Eligibility:

These cooperative agreement and grants programs are only available to State, Local and Tribal government Food Emergency Response (FERN) laboratories.

Beneficiary Eligibility:

Successful programs will benefit the general population as more rapid and reliable food testing to enhance food safety efforts is developed. The FERN laboratory network will also benefit from better testing methods, equipment and training.

Credentials/Documentation:

Applications must present a project plan and provide evidence that their facilities, personnel and equipment are appropriate to carry out the plan. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. The required application forms as referenced in the Funding Opportunity Announcement (FOA) and required by 45 CFR 72, and as posted in Grants.gov must be used for this program. The FOA may be published in the NIH Guide or Federal Register. All applications submitted for new, competing continuations or competing supplemental grants must complete the required applications forms through Grants.gov at <http://www.grants.gov>.

Award Procedure:

All accepted applications receive a programmatic and Ad Hoc technical merit review. All approved and recommended applications will be scored and compete for available funds on the basis of score, programmatic/scientific merit and program relevance. Geographic distribution will be a consideration in the award selection process.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. 90-120 days.

Appeals:

From 15 to 30 days.

Renewals:

From 90 to 120 days. The Funding Opportunity Announcement will indicate if "Renewals" are accepted. Procedures are the same as for new applications.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The length of support will depend on the nature of the project, program relevance and the availability of funds. For those projects with an expected duration of more than 1 year, future recommended support, up to five years will depend on: 1) performance during the preceding year and, 2) the availability of Federal funds. See the following for information on how assistance is awarded/released: Recommended and approved projects will receive Notice of Grant Award electronically or in hard copy.

Funds are made available through the Payment Management System (PMS).

Reports:

Program reports are required as stated in the Funding Opportunity Announcement and the Terms and Conditions of Award. Cash reports are not applicable. Progress Reports are required as stated in the Funding Opportunity Announcement and the Terms and Conditions of Award. Expenditure reports are required as stated in the Funding Opportunity Announcement and the Terms and Conditions of Award. Performance monitoring is required as stated in the Funding Opportunity Announcement and the Terms and Conditions of Award.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Progress reports, financial and programmatic records, supporting documents, statistical records and all other records that are required in the terms and conditions of the grant, or may be considered pertinent to grant expenditures and other financial records must be retained for 3 years from the day on which the grantee submits the required final financial reports for the project period. See 45 CFR 74.53 and 92.42 for exceptions and qualification to the 3 year retention requirement. See 45 CFR 74.48 and 92.36 for record retention and access requirements for contracts under grants.

Account Identification:

75-0600-0-1-554.

Obligations:

(Cooperative Agreements) FY 12 \$10,690,539; FY 13 est \$9,701,629; and FY 14 est \$10,253,494

Range and Average of Financial Assistance:

An average of \$250,000 - \$400,000 total cost per award per year (for direct and indirect costs and supplemental funds as necessary, reasonable and allocable) for up to five years as referenced in the Funding Opportunity Announcement or available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR Part 52-Grants for Research Projects; 45 CFR Part 74 - Uniform Administrative Requirements for Awards and Subawards to Institutions of Higher Education, Hospital, Other Nonprofit Organizations and Commercial Organizations; and certain grants and agreements with States, Local Governments and Indian Tribal Governments; 45 CFR Part 92-Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments; the HHS Grants Policy Statement (most recent update) available at <http://dhhs.gov/asfr/ogapa/grantinformation/hhsgps107.pdf> ; Executive Order 12372, Intergovernmental Review of Federal Programs as implemented through 45 CFR Part 100-Intergovernmental Review of Department of Health and Human Services Programs and Activities.

Regional or Local Office:

See Regional Agency Offices. See Headquarters Office below.

Headquarters Office:

Lauren Gore 12420 Parklawn Drive, Room 3016, Rockville, Maryland 20857
Email: Lauren.Gore@fda.hhs.gov Phone: 301-796-5811

Website Address:

<http://www.fda.gov/ForFederalStateandLocalOfficials/CooperativeAgreements/CRADAsGrants/default.htm>

RELATED PROGRAMS:

93.103 Food and Drug Administration_Research; 93.449 Ruminant Feed Ban Support Project

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

All applications will be reviewed for completeness by the Grants Management staff and responsiveness by the Office of Regulatory Affairs (ORA). To be responsive, the application must be submitted in accordance with the program guidelines as published in the Funding Opportunity Announcement in the Federal Register Notice and/or NIH Guide. All responsive applications will undergo a programmatic/scientific review and an Ad Hoc Review.

Applications may be scored and ranked based on the following criteria: a) adequacy of facilities, expertise of project staff, equipment, support services, commitment to analyze surveillance samples, and quality management practices needed for the project; b) expertise in the use of gas chromatography/mass spectrometry for the analysis of foods or animal tissues; c) expertise in the use of liquid chromatography/mass spectrometry for the analysis of foods or animal tissues; d) expertise in the use of inductively coupled plasma/mass spectrometry for the analysis of foods or animal tissues; e) expertise in the use of ELISA and other antibody based analyses for the identification of toxins in foods or animal tissues; f) current food or animal tissue analysis programs; g) the rationale and design to meet the goals of the cooperative agreement; h) quality control and quality assurance procedures and practices; i) abilities and procedures in place to recall personnel, establish extended work-weeks. All funding decisions will be made by the Commissioner of Food and Drug Administration or his designee.

93.449 RUMINANT FEED BAN SUPPORT PROJECT

BSE Grant Program

FEDERAL AGENCY:

Food and Drug Administration, Department of Health and Human Services

AUTHORIZATION:

Public Health Security and Bioterrorism Preparedness and Response Act of 2002, Public Law 107-188.

OBJECTIVES:

To assist in an increased surveillance presence throughout the commercial feed channels to prevent the introduction or amplification of Bovine Spongiform Encephalopathy (BSE) in the United States.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funds are used for location and visitation of firms involved in the manufacture, distribution and transportation of animal feed, and operations feeding ruminant animals in their jurisdiction, to verify compliance with the ruminant feed ban. Visitations could include annual inspections of renderers, protein blenders and feed mills that manufacture animal feeds and feed ingredients utilizing materials prohibited under the ruminant feed ban that are not covered under existing FDA contracts and other agreements. Funds can be used for inspections of salvagers of food and feed, and transporters of animal feed and feed ingredients, supplies, training, laboratory equipment for feed sample testing, and as a supplement to the annual State program appropriations. Funds may not be used to replace annual State program funds for these purposes. Specific funding restrictions are as noted in the Funding Opportunity Announcement (FOA) and the Notice of Grant Award.

Applicant Eligibility:

This cooperative agreement grant program is only available to State and Tribal Feed/BSE regulatory programs.

Beneficiary Eligibility:

The general public, State and Tribal Feed/BSE regulatory programs.

Credentials/Documentation:

Applications must present a project plan and furnish evidence that facilities, personnel and equipment are appropriate to carry out the project specific objectives as stated in the Funding Opportunity Announcement (FOA) as published in the Federal Register or NIH Guide. These awards are subject to Executive Order 12372, as it applies. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is

not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. The application forms referenced in the Funding Opportunity Announcement (FOA) as required by 45 CFR 72 must be used for this program. The FOA may be published in the NIH Guide or Federal Register. All applications submitted for new, competing continuations or competing supplemental grants must complete the required applications forms through Grants.gov at <http://www.grants.gov>.

Award Procedure:

All accepted applications receive a programmatic and Ad Hoc Review Group review for technical merit and responsiveness to the Funding Opportunity funding criteria. All approved, recommended and scored applications compete for available funds on the basis of score, scientific/technical merit as well as programmatic relevance. Geographic distribution will be a consideration in the award selection process.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

From 15 to 30 days.

Renewals:

Renewals are available if allowed in the most recently published Funding Opportunity Announcement.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The length of support will depend on the nature of the projects, program relevance and the availability of funds. For those projects with an expected duration of more than 1 year, future recommended support for up to 5 years will depend on (1) performance during the preceding year and (2) availability of Federal funds. See the following for information on how assistance is awarded/released: Notice of Award will be provided electronically or in hard copy.

Reports:

Program Reports are required as noted in the FOA and the Terms and Conditions of Award. Cash reports are not applicable. Progress Reports are required as stated in the FOA and the Terms and Conditions of Award. Expenditure Reports are required as stated in the FOA and the Terms and Conditions of Award. Performance Monitoring is required as stated in the FOA and the Terms and Conditions of Award.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Awarded grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Progress reports, financial and programmatic records, supporting documents, statistical records and all other records that are required by the terms and

conditions of the grant or may be considered pertinent to a grant expenditures and other financial records must be retained for 3 years from the day on which the grantee submits the required final financial reports for the project period. See 45 CFR 74.53 and 92.42 for exceptions and qualification to the 3 year retention requirement. See 45 CFR 74.48 and 92.36 for record retention and access requirements for contracts under grants.

Account Identification:

75-0600-0-1-554.

Obligations:

(Cooperative Agreements) FY 12 \$2,695,568; FY 13 est \$2,315,623; and FY 14 est \$2,578,146

Range and Average of Financial Assistance:

An average of \$250,000 to \$350,000 total cost per award/per year (for direct, indirect cost and supplemental funds as available) for up to five years as determined necessary, reasonable and allocable.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR Part 52-Grants for Research Projects; 45 CFR Part 74 - Uniform Administrative Requirements for Awards and Subawards to Institutions of Higher Education, Hospital, Other Nonprofit Organizations and Commercial Organizations; and certain grants and agreements with States, Local Governments and Indian Tribal Governments; 45 CFR Part 92-Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments; the HHS Grants Policy Statement (most recent revision); Executive Order 12372, Intergovernmental Review of Federal Programs as implemented through 45 CFR Part 100--Intergovernmental Review of Department of Health and Human Services Programs and Activities.

Regional or Local Office:

See Regional Agency Offices. See item 152 Headquarters Office below.

Headquarters Office:

Lauren Gore 12420 Parklawn Drive; Room 3016, Rockville, Maryland 20857
Email: Lauren.Gore@fda.hhs.gov Phone: 301-796-5811

Website Address:

<http://www.fda.gov/ForFederalStateandLocalOfficials/CooperativeAgreements/CRADAsGrants/ucm234348.htm>

RELATED PROGRAMS:

93.103 Food and Drug Administration_Research; 93.448 Food Safety and Security Monitoring Project

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

All applications will be reviewed for responsiveness by the Grants Management Staff and the Office of Regulatory Affairs (ORA). To be responsive, the application must be submitted in accordance with the requirements of the FOA as published in the NIH Guide or the Federal Register. All responsive applications will be reviewed by an Ad Hoc Review committee. Each application will be scored and ranked based on the following criteria: 1) availability of adequately trained staff; 2) detailed description of current feed regulatory program; 3) properly detailed budget that is intended to supplement State or Tribal appropriations; 4) accurate count of all feed facilities, etc., in the State or Tribal nation; 5) detailed description of how inspections are to be performed; 6) detailed descriptions of how current, non-inspected facilities will be identified and added to the State's inspection responsibilities; 7) ability to satisfy the reporting requirements; 8) provision of current funding level certification; 9) detailed methodology for program improvement; 10) justification for hiring new staff; and 11) detailed description of the enforcement of violative facilities; under the cooperative agreement all funding will be made by the Commissioner of the Food and Drug Administration or his designee.

93.452 HEALTH IMPROVEMENT FOR RE-ENTERING EX-OFFENDERS INITIATIVE (HIRE) HIV/AIDS

HIRE Program

FEDERAL AGENCY:

Office of the Secretary, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, as amended, Title XVII, Section 1707 (e)(1), 42 U.S.C. 300u et seq., 42 U.S.C 300u et seq.

OBJECTIVES:

The HIRE Program seeks to improve the HIV/AIDS health outcomes of ex-offenders re-entering the mainstream population (Re-entry Population) by supporting community-based efforts to ensure their successful transition from state or federal incarceration back to their communities. It is expected that applicants will ensure that ex-offenders returning to their communities from incarceration or detention are sufficiently prepared to: maximize their chances for successful reintegration; seek to eliminate the structural and medical barriers to reintegration that are necessary to preserve public safety; acknowledge the roles that families and communities play in the reentry process and ensure that they are sufficiently prepared to take on those responsibilities; and collect the data needed from pre-release and post-release prison medical reports to better evaluate the effectiveness of the project and assist ex-offenders affected by HIV/AIDS.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funds are to be used to support projects or activities consistent with the mission of the Office of Minority Health of the U.S. Public Health Service, and that facilitate improvement in the HIV/AIDS health outcomes of ex-offenders re-entering the mainstream population. Funds are not to be used for the provision of health care treatment, for construction, or to supplant ongoing project activities.

Applicant Eligibility:

To qualify for funding, an applicant organization must: be located within one of the three targeted states of New York, Florida, or Texas and have a minimum of five years experience providing HIV/AIDS related health and support services and be a: private nonprofit community-based, minority-serving organization (see definitions) which addresses health, human, or correctional services; or public entity (local government) which addresses health, human or correctional services; or Tribal governmental entity which addresses health, human or correctional services; or faith-based organization which provides comprehensive pre-release, transitional or reentry services.

Beneficiary Eligibility:

The HIRE Program places primary focus on the reentry populations in the three targeted states, with special emphasis on the following reentry subpopulations: substance abusers, men who have sex with men, and individuals impacted by mental health disorders.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. To apply, access the announcement thru www.grants.gov. Enter the CFDA# and follow the website instructions. Applications submitted after the deadlines will not be accepted for review. The submission deadline will not be extended. Applications which do not conform to the requirements of the grant announcement will not be accepted for review and will be returned to the applicant. Applications may only be submitted electronically via www.grants.gov. Any applications submitted via any other means of electronic communication, including facsimile or electronic mail, will

not be accepted for review

Award Procedure:

Applications will be screened upon receipt. Those that are deemed to be ineligible will be returned to the applicant. Accepted applications will be evaluated by a technical review panel composed of independent experts. The final funding decision will be determined by the Agency Director, who will take into consideration the recommendations of the review panel; programmatic needs, stated preferences; geographic location; and recommendations of DHHS Regional Health Coordinators. All applicants will be notified in writing of actions taken on their applications. Applications that are approved and funded will be issued A Notice of Grant Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Not Applicable.

Renewals:

Project period is 1 - 3 years.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants can have a project period not to exceed 3 years, with 12-month budget periods. Following the initial budget period, continued funding is subject to the availability of funds and satisfactory program performance. Payments will be made either on a monthly cash request basis or under a letter of credit. Necessary instructions regarding payment procedure will be provided at the time the Notice of Grant Award is issued. Method of awarding/releasing assistance: by letter of credit.

Reports:

Program progress reports are required quarterly. Cash reports are required for each cash withdrawal or transfer. Payments will be made either on a monthly cash request basis or under a letter of credit. Necessary instructions regarding payment procedure will be provided at the time the Notice of Grant Award is issued. End-of-year progress reports are required and are due 90 days after the end of each budget period. NEW FINANCIAL REPORTING REQUIREMENT Federal Financial Reporting (FFR) SF 425:

As of February 1, 2011, the Department of Health and Human Services (DHHS) will begin the transition from use of the SF-269, Financial Status Report (Short Form or Long Form) to the use of the SF-425 Federal Financial Report for expenditure reporting. SF-269s will no longer be accepted for expenditure reports due after that date. If an SF-269 is submitted, the Office of the Assistant Secretary for Health, Office of Grants Management will return it and require the recipient to complete the SF-425. Copies of the SF-425 can be found on the website at: http://www.whitehouse.gov/sites/default/files/omb/assets/grants_forms/SF-425.pdf

1. Grantees must submit quarterly Federal Financial Reports due on one of the standard due dates below by which cash reporting is required to be submitted to PMS or at the end of a calendar quarter. The FFR is due 30 days after the end of each calendar quarter.

2. Also, grantees must submit an annual Federal Financial Report due 90 days after the end of the budget period/project period end date.

3. Reports are to be submitted by hard copy or electronically through GrantSolutions to the assigned Grants Management Specialist in the Office of Grants Management. Please reference the CONTACTS section of the Terms and Conditions for the contact information for these individuals. A Final Performance Report is due 90 days following the end of the project period.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit

Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

HHS and the Comptroller General of the United States or any of their designated authorized officials shall have the right of access to any books, documents, papers, or other records of a grantee, sub-grantee, contractor, or subcontractor, which are pertinent to the HHS grant, in order to make audits, examinations, excerpts and transcripts. In accordance with 45 CFR, Part 74.53 and 45 CFR, Part 92, grantees are required to maintain grant accounting records 3 years after the end of a project period. If any litigation, claim, negotiation, audit or other action involving the records has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0120-0-1-551.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 12 \$1,500,000; FY 13 est \$1,500,000; and FY 14 Estimate Not Available

Range and Average of Financial Assistance:

\$200,000 to \$250,000 75-0942.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 74 and 92. Specific program requirements are contained in the Federal Register Notice, the application instructions, and the HHS Grants Policy Statement.

Regional or Local Office:

See Regional Agency Offices. Ms. Sonesi Cobb-Souza, Acting Director, Division of Program Operations, Office of Minority Health, Office of the Assistant Secretary, Office of the Secretary, Tower Building, Suite 600, 1101 Wootton Parkway, Rockville, Maryland 20852. Telephone: (240) 453-8444.

Headquarters Office:

Brenda C. Donaldson Tower Building Suite 550, 1101 Wootton Parkway, Rockville, Maryland 20852 Email: Brenda.Donaldson@hhs.gov Phone: (240) 453-8822.

Website Address:

<http://www.omhrc.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Complete review criteria are published in the Program Announcement or can be obtained from the Program contact. Listed below are some criteria used to review applications: (1) consistency of projects objectives relevant to the Program purpose and the federal Office of Minority Healths mission; (2) coherence and feasibility of methodology and activities selected to address the problem as evidence in the proposed implementation plan; (3) strength of proposed grant organizations management capability; (4) adequacy of qualifications and experience of proposed personnel; and (5) strength of analysis of potential impact or innovation the project proposes to generate.

93.500 PREGNANCY ASSISTANCE FUND PROGRAM

Support for Pregnant and Parenting Teens and Women

FEDERAL AGENCY:

Office of the Secretary, Department of Health and Human Services

AUTHORIZATION:

Patient Protection and Affordable Care Act, Public Law 111-148 SEC. 10211 - 10214.

OBJECTIVES:

Competitive Grants to States to assist pregnant and parenting teens and women.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

A State may use amounts received under a grant to make funding available to eligible high schools (grades 7-12), community service center, and institutions of higher education to establish, maintain or operate pregnant and parenting students. Funds may be used to supplement, not supplant, existing funding for such services. A State may also make funds available to its Attorney General to assist in providing services for eligible pregnant women who are victims of domestic violence, sexual violence, sexual assault, or stalking, or to provide technical assistance to other organizations. States may also use funds for public awareness and education concerning services available to pregnant and parenting teens and women, in keeping with the intent of the Fund.

Applicant Eligibility:

A State may apply for a grant. The term State includes the District of Columbia, any commonwealth, possession, or other territory of the United States, any Indian tribe or reservation.

Beneficiary Eligibility:

Pregnant and Parenting Teens and Women.

Credentials/Documentation:

A nonprofit private entity must provide evidence of its nonprofit status. Allowability of costs charged to the grant will be determined in accordance with the following Cost Principles: OMB Circular No. A-21 for educational institutions and OMB Circular No. A-122 for nonprofit organizations. Faith based organizations are eligible to apply. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

The Governor(s) of the State(s) in which the project is to be located is to be given 60 days in which to review and comment on applications for funding under this authority. An applicant should consult the office or official designated as the single point of contact in the State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. This program is subject to the Public Health Systems Reporting Requirements. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. The announcement for this grant opportunity may be found on www.Grants.gov. The application forms and guidelines are available for online submission only. If assistance is needed, please contact the OASH Office of Grants Management, Grant Application Center: Telephone: (240) 453-8822(OGM) or 1-888-203-6161(GAC). Submitted applications are screened upon receipt for completeness, responsiveness and conformance to the program announcement. Those applications judged to be unacceptable based on this initial screening will be returned. Applications may only be submitted electronically via the electronic submission mechanisms specified in the announcement (Grants.gov). Any application submitted via any other means of electronic communication, including facsimile or electronic mail, will not be accepted. While applications are accepted in hard copy, the use of the electronic application submission capabilities provided by the Grants.gov website portal or the OASH Grant Solutions system is encouraged.

Award Procedure:

All applications and proposals are read by a panel of independent experts who make recommendations for action to the Office of Adolescent Health. After considering the recommendation of this panel, the Director of the Office of

Adolescent Health makes the final decision on the funding of applications. All applicants are notified in writing of actions taken on their applications. A Notice of Grant Award is issued for those applications that are approved and funded.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Not Applicable.

Renewals:

Renewal awards may be approved pending availability of funding, and evidence of satisfactory progress and compliance.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: Percent: 25%. An eligible institution of higher education that receives funding shall contribute an amount from non-Federal funds equal to 25 percent of the amount of the funding provided. The non-Federal share may be in cash or in-kind, fairly evaluated, including services, facilities, supplies or equipment. The non-Federal contribution does not apply to eligible high schools and community service organizations. This program has MOE requirements, see funding agency for further details. See program announcement for details.

Length and Time Phasing of Assistance:

Grants may not exceed 5 years (subject to the availability of funds). Payments will be made either on a monthly cash request basis or under the Electronic Transfer System. Necessary instructions for the appropriate type of payment will be issued at the time an award is made. Method of awarding/releasing assistance: quarterly.

Reports:

Please see Notice of Grant Award for detailed requirements. Quarterly Cash Reports are required to be filed with the Division of Payment Management. Progress reports are required for each budget period. Federal Financial Reports SF425 are due quarterly, and a final report is due 90 days following the end of the project period. A final performance report is due 90 days following the end of the project period.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to a grant shall be retained for a minimum of 3 years, or longer pending completion and resolution of any audit findings. HHS and the Comptroller General of the United States or any of their designated authorized officials shall have the right of access to any books, documents, papers, or other records of a grantee, sub-grantee, contractor, or subcontractor, which are pertinent to the HHS grant, in order to make audits, examinations, excerpts and transcripts. In accordance with 45 CFR, Part 74.53 and 45 CFR, Part 92, grantees are required to maintain grant accounting records 3 years after the end of a budget period. If any litigation, claim, negotiation, audit or other action involving the records has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0120-0-1-551.

Obligations:

(Project Grants) FY 12 \$23,994,228; FY 13 est \$21,600,000; and FY 14 Estimate Not Available

Range and Average of Financial Assistance:

Range: \$500,000-\$1,500,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

HHS Grants Policy Statement is available online:

<http://www.hhs.gov/asrt/og/aboutog/grantsnet.html>

Regional or Local Office:

See Regional Agency Offices. Program Contact: Department of Health and Human Services, Office of the Assistant Secretary for Health, Office of Adolescent Health; Ms. Evelyn Kappeler; 1101 Wootton Parkway, Suite 550, Rockville, MD 20852, Telephone (240) 453-2800. Grants Management Contact:

Department of Health and Human Services, Office of the Assistant Secretary for Health, Office of Grants Management 1101 Wootton Parkway, Suite 550, Rockville, MD 20852, Telephone (240) 453-8822.

Headquarters Office:

Eric C. West Tower Building Suite 550, 1101 Wootton Parkway, Rockville, Maryland 20852 Email: eric.west@hhs.gov Phone: (240) 453-8449.

Website Address:

<http://www.hhs.gov/oah>

RELATED PROGRAMS:

93.297 Teenage Pregnancy Prevention Program; 93.995 Adolescent Family Life_Demonstration Projects

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Proposals must contain prevention services requirements specified in the statute. Priority will be given to applicants who: (1) Serve an area (a) where there is a high incidence of adolescent pregnancy, (b) where the incidence of low-income families is high, and (c) where the availability of programs for adolescents as specified in this act is low; (2) show evidence (a) for care services, of ability to deliver wide range of required services on single-site or network basis, or (b) for prevention services, ability to provide services targeted to population; (3) will utilize to maximum extent possible (a) existing programs and facilities, and (b) other sources of funding; (4) can demonstrate community commitment to the project; (5) have involved community in developing the project; (6) will demonstrate replication and innovative programs; and (7) demonstrate the reasonableness of the estimated cost considering the anticipated results.

93.501 AFFORDABLE CARE ACT (ACA) GRANTS FOR SCHOOL-BASED HEALTH CENTER CAPITAL EXPENDITURES SBHCC

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Patient Protection and Affordable Care Act of 2010, Title IV, Section 4101, Public Law 111-148.

OBJECTIVES:

The goal of the program is to award funds made available by the Patient Protection and Affordable Care Act (Health Reform) that will support school-based health center efforts to expand capacity to provide primary healthcare services to school-aged children. This competitive funding opportunity will be available to new and existing school-based health centers to address significant and pressing capital improvement needs, including: construction, repair, renovation, and the purchase of equipment.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

An eligible entity shall use funds provided under an awarded grant only for expenditures for facilities (including the acquisition or improvement of land, or the acquisition, construction, expansion, replacement, or other improvement of any building or other facility), equipment, or similar expenditures. No funds provided shall be used for expenditures for personnel or to provide health services. See above and funding opportunity announcement.

Applicant Eligibility:

To be eligible for a grant under this subsection, an entity shall be a school-based health center or a sponsoring facility of a school-based health center as defined in section 2110(c)(9) of the Social Security Act (42 USC 1397jj(c)(9)).

A school-based health center is defined as a health clinic that:

1. Is located in or near a school facility of a school district or board of an Indian tribe or tribal organization;
2. Is organized through school, community, and health provider relationships;
3. Is administered by a sponsoring facility;
4. Provides through health professionals primary health services to children in accordance with State and local law, including laws relating to licensure and certification; and
5. Satisfies such other requirements as a State may establish for the operation of such a clinic.

A sponsoring facility includes any of the following:

1. A hospital
2. A public health department
3. A community health center
4. A non-profit health care agency
5. A school or school system
6. A program administered by the Indian Health Service or the Bureau of Indian Affairs or operated by an Indian tribe or a tribal organization.

Beneficiary Eligibility:

School-based health centers or a sponsoring facility of a school-based health center.

Credentials/Documentation:

Applicants should review the applicable HRSA funding opportunity announcements issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

The National Environmental Policy Act of 1969 (NEPA), 42 U.S.C. 4321 (Public Law 91-190, Section 2, January 1, 1970, 83 Stat., 852), including Public Disclosure, Section 102 of NEPA, and E.O. 11514, requires Federal agencies to assess the environmental impacts of major Federal actions, including construction projects supported in whole or in part through Federal contracts, grants, subsidies, loans, or other forms of funding assistance. HRSA requires that applicants provide information on anticipated environmental impact as part of their applications. An environmental impact statement is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. HRSA requires all applicants to apply electronically through Grants.gov.

Award Procedure:

All qualified applications will be forwarded to an objective review committee. Taking into consideration the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application

deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. Refer to the applicable funding opportunity announcement(s) under this CFDA program.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching requirements are not applicable to this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Project periods are for 2 years. See the following for information on how assistance is awarded/released: Grantees draw down funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web-based payment system for HHS awards.

Reports:

A progress report must be submitted quarterly. A final progress report and final Federal Financial Report (FFR) must be submitted within 90 days after the end of the project period. No cash reports are required. A progress report must be submitted quarterly. Submit a quarterly electronic Federal Financial Report (FFR) Cash Transaction Report via the Payment Management System. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

07-0352-0-1-035.

Obligations:

(Project Grants) FY 12 \$14,552,020; FY 13 est \$75,000,000; and FY 14 est \$0

Range and Average of Financial Assistance:

\$11,000 to \$500,000. \$350,000 average award..

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

None.

Headquarters Office:

Bureau of Primary Health Care Health Resources and Services Administration, Room 17C-26, Parklawn Building, 5600 Fishers Lane, Rockville, Maryland

20857 Phone: (301) 443-1034

Website Address:

<http://www.hrsa.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

All applications will be evaluated by an Objective Review Committee to determine the extent to which the applicant addresses the Review Criteria outlined in Section V of the funding opportunity announcement.

93.502 AFFORDABLE CARE ACT (ACA) INFRASTRUCTURE TO EXPAND ACCESS TO CARE

IEAC - Infrastructure to Expand Access to Care

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Title X, Section 10502 of the Patient Protection and Affordable Care Act of 2010 (Public Law 111-148).

OBJECTIVES:

Grants are awarded to meet the cost of projects for debt service on, or direct construction or renovation of a health care facility that provides research, inpatient tertiary care, or outpatient clinical services. The facility must be affiliated with an academic health center at a public research university that contains a States sole public academic medical and dental school. The Governor must certify that: (1) the new health center is critical for the greater access to care in the State; (2) the new health center is essential for the continued viability of the medical and dental school and its academic health center; (3) the Federal support is up to 40 percent of the total cost of the project; and (4) the State has an established funding mechanism to provide the remaining funds to complete the project.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Funds must be used for debt service on or the construction or renovation of a health care facility that provides research, inpatient tertiary care, or outpatient clinical services. The facility must be affiliated with an academic health center at a public research university that contains a States sole public academic medical and dental school. The Federal support is up to 40 percent of the total cost of the project and the State must have an established funding mechanism to provide the remaining funds to complete the project.

Applicant Eligibility:

Institutions of Higher Education. Eligible applicants must have a project that requires debt service on, or direct construction or renovation of a health care facility that provides research, inpatient tertiary care, or outpatient clinical services. The project must be affiliated with an academic health center at a public research university that contains a States sole public academic medical and dental school.

Beneficiary Eligibility:

Institutions of Higher Education, General Public.

Credentials/Documentation:

Applicants should review the individual HRSA Guidance documents issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. The Governor must certify that: (1) the new health center is critical for the greater access to care in the State; (2) the new health center is essential for the continued viability of the medical and dental school and its academic health center; (3) the Federal support is up to 40 percent of the total cost of the project; and (4) the State has an established funding mechanism to provide the remaining funds to complete the project. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

The National Environmental Policy Act of 1969 (NEPA), 42 U.S.C. 4321 (Public Law 91-190, Section 2, January 1, 1970, 83 Stat. 852), including Public Disclosure, Section 102 of NEPA, and E.O. 11514, requires Federal agencies to assess the environment impacts of major Federal actions, including construction projects supported in whole or in part through Federal contracts, grants, subsidies, loans, or other forms of funding assistance.

HRSA requires that applicants provide information on anticipated environmental impact as part of their applications. An environmental impact statement is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. HRSA requires all applicants to apply electronically through Grants.gov.

Award Procedure:

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Percent: 60%. 40 percent Federal support and 60 percent State funding mechanism to provide remaining funds to complete the project.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grantee will be given 5 years to complete the project and drawdown the funds from the Payment Management System (PMS). See the following for information on how assistance is awarded/released: Grantee will drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

Grantee must submit quarterly progress reports on the status of the project via the Electronic Handbook. Cash reports are not applicable. Progress reports are not applicable. The grantee must submit a quarterly electronic Federal Financial Report (FFR) Cash Transaction Report via the Payment Management System. The report identifies cash expenditures against the authorized funds for the grant. The FFR Cash Transaction Reports must be filed within 30 days of the end of each quarter. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records 3 years after the date they submit the FFR. If any litigation, claim, negotiation, audit or other action

involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Project Grants) FY 12 \$0; FY 13 est \$0; and FY 14 est \$0

Range and Average of Financial Assistance:

One award was made in FY 2011 for \$99,943,199.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable. HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at: <http://www.hrsa.gov/grants/hhsgrantspolicy.pdf>.

Regional or Local Office:

See Regional Agency Offices. David Colwander, Chief, Facilities Services Branch, Division of Poison Control and Healthcare Facilities, Healthcare Systems Bureau, Health Resources and Services Administration, Department of Health and Human Services, 5600 Fishers Lane, Room 10-105, Rockville, MD, 301 443-5656.

Headquarters Office:

David Colwander, 5600 Fishers Lane, Room 10-105, Rockville, Maryland 20857 Phone: (301) 443-5656.

Website Address:

<http://www.hrsa.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: No funded projects. Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

All applications will be evaluated by an Objective Review Committee to determine the extent to which the applicant documents the following, such as: (1) the project is for debt service on, or direct construction or renovation of a health care facility that provides research, inpatient tertiary care, or outpatient clinical services; (2) the facility is affiliated with an academic health center at a public research university that contains a States sole public academic medical and dental school; (3) the Governor certifying that: (a) the new health center is critical for the greater access to care in the State; (b) the new health center is essential for the continued viability of the medical and dental school and its academic health center; (c) the Federal support is up to 40 percent of the total cost of the project; and (d) the State has an established funding mechanism to provide the remaining funds to complete the project.

93.503 AFFORDABLE CARE ACT (ACA) NURSING ASSISTANT AND HOME HEALTH AIDE PROGRAM

NAHHA

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Title VIII, Section 831, 42 U.S.C. 296p, as amended by the Patient Protection and Affordable Care Act (P. L. 111-148); Conference Report, H. Rept. 111-366, Division D, Title II at 997 (referencing S. Rept. 111-66), accompanying the Consolidated Appropriations Act, 2010, P.L.

111-117.

OBJECTIVES:

Infrastructure training grants are awarded to eligible applicant organizations for projects to strengthen and enhance the capacity of nursing and home health aide training programs. This will enable individuals to enter into a nursing or home health aide position and gain entry into the nursing career ladder. Training programs can be offered on-campus, at alternate sites, or through distance education.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Funds may be used for the development, testing, and demonstration of training programs for nursing home aides and home health aides on-campus, at alternate sites, and through distance education. Restricted Uses: Indirect costs under training grants to organizations other than state, local or Indian tribal governments will be budgeted and reimbursed at 8 percent of modified total direct costs rather than on the basis of a negotiated rate agreement, and are not subject to upward or downward adjustment.

Applicant Eligibility:

Grants may be made to colleges or community-based training programs for the development, evaluation, and demonstration of training programs on-campus, at alternative sites, and through telehealth methodologies. Federally Recognized Indian Tribal Government and Native American Organizations may apply if they are otherwise eligible.

Beneficiary Eligibility:

Beneficiary Eligibility: Students, the general public, etc.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. All applicants are required to apply electronically through Grants.gov.

Award Procedure:

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Notification is made in writing (electronic) by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

Competing and competing continuation grant applications are submitted for

three (3) years of support. See the following for information on how assistance is awarded/released: Grantees draw down funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

Program reports are required. The awardee will be required to submit annual performance and progress reports as well as status-federal financial reports (see below for general information on each required report). The awardee must submit a quarterly electronic Federal Financial Report (FFR) Cash Transaction Report via the Payment Management System within 30 days of the end of each calendar quarter. A Federal Financial Report (SF-425) is required within 90 days of the end of each budget period. A final report is due within 90 days after the project period ends. If applicable, the awardee must submit a Tangible Personal Property Report (SF-428) and any related forms within 90 days after the project period ends. New awards (Type 1) issued under this funding opportunity announcement are subject to the reporting requirements of the Federal Funding Accountability and Transparency Act (FFATA) of 2006 (Pub. L. 109282), as amended by section 6202 of Public Law 110252, and implemented by 2 CFR Part 170. Grant and cooperative agreement recipients must report information for each first-tier subaward of \$25,000 or more in federal funds and executive total compensation for the recipients and subrecipients five most highly compensated executives as outlined in Appendix A to 2 CFR Part 170 (FFATA details are available online at <http://www.hrsa.gov/grants/ffata.html>). Competing continuation awardees, etc. may be subject to this requirement and will be so notified in the Notice of Award. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records three years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the three year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular three year period, whichever is later.

Account Identification:

75-0321-0-1-550.

Obligations:

(Project Grants) FY 12 \$2,777,499; FY 13 est \$0; and FY 14 est \$0

Range and Average of Financial Assistance:

FY 10 awards:

Range: \$186,623 (min) - \$250,000 (max)

Average: \$241,196

FY 11 awards:

Range: \$152,089 (min) - \$250,000 (max)

Average: \$238,069

FY12 awards:

\$139,350 (min) - \$350,000(max)*

Average: \$277,750

*Note: \$399,074 in supplemental funding was made available and awarded during FY12.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education,

hospitals, other nonprofit organizations and commercial organizations, as applicable.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices. Kirk Koyama, Division of Nursing, Bureau of Health Professions, Health Resources and Services Administration, Department of Health and Human Services, 5600 Fishers Lane, Room 9-61, Rockville, MD 20857. Telephone: (301) 443-4926.

Headquarters Office:

Kirk Koyama Division of Nursing, Bureau of Health Professions, Health Resources and Services Administration, Department of Health and Human Services, 5600 Fishers Lane, Room 9-61, Rockville, Maryland 20857 Phone: (301) 443-4926

Website Address:

<http://www.hrsa.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Approval of an application will be based on an analysis of the factors set forth in the application. See the section on Application Review in the funding opportunity announcement. In making awards of grants under this section, preference will be given to any qualified applicant with a project that will substantially benefit rural or underserved populations, or help meet public health nursing needs in State in local health departments.

93.504 AFFORDABLE CARE ACT (ACA) FAMILY TO FAMILY HEALTH INFORMATION CENTERS

F2F HICs

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Social Security Act, Title V, Section 501(c)(1)(A)(iii), codified at 42 U.S.C. 701(c), as amended by the American Taxpayer Relief Act of 2012, Section 624 (P.L.112-240).

OBJECTIVES:

To carry out special maternal and child health (MCH) projects of regional and national significance for the development and support of Family to Family Health Information Centers. The Family to Family Health Information Centers (A) assist families of children with disabilities or special health care needs to make informed choices about health care in order to promote good treatment decisions, cost-effectiveness, and improved health outcomes for such children; (B) provide information regarding the health care needs of, and resources available for, such children; (C) identify successful health delivery models for such children; (D) develop with representatives of health care providers, managed care organizations, health care purchasers, and appropriate State agencies, a model for collaboration between families of such children and health professionals; (E) provide training and guidance regarding caring for such children; (F) conduct outreach activities to the families of such children, health professionals, schools, and other appropriate entities and individuals; and (G) are staffed by such families who have expertise in Federal and State public and private health care systems; and by health professionals.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

All funds granted should be expended solely for carrying out the approved project in accordance with Section 501(c)(A) of the Social Security Act.

Applicant Eligibility:

Eligible applicants include public and private entities, including an Indian tribe or tribal organization (as those terms are defined at 25 U.S.C. 450b), faith-based organizations, and community-based organizations. The law limits the site of eligible organizations to the 50 States and the District of Columbia. Although Tribes and tribal organizations may apply, they must meet all applicable requirements, including targeting of all CSHCN across the State for services. Applicants must have experience related to the purpose of the program, must have a focus on health for children and youth with special health care needs (CYSHCN) (as defined by the MCH Bureau), and the effort must be family-staffed/run. If an applicant is a family organization with a history of funding that is condition specific or related to education, mental health or developmental disabilities, as with any applicant, evidence of health for the broad CYSHCN population must be evident in their application. Applicants MUST demonstrate that they have EXISTING and effective fiduciary, administrative and management systems. If a different fiduciary agency is needed, such as a university, activities must still be family-staffed/run for the entire grant period.

Beneficiary Eligibility:

Projects will benefit (1) public or private agencies, organizations and institutions engaged in activities for CYSHCN; (2) family members and children who receive services through the program; and (3) professionals and trainees who provide services to CYSHCN.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Grant applications and required forms for this program can be obtained from Grants.gov. HRSA requires all applicants to apply electronically through Grants.gov.

Award Procedure:

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

After initial award, projects may be renewed annually up to the limit of the project period upon the submission and approval of a satisfactory continuation application.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Competing and competing continuation grant applications are submitted for up to three (3) years of support and is dependent on the availability of appropriated funds for the program in subsequent fiscal years, grantee satisfactory performance, and a decision that continued funding is in the best interest of the

Federal government. See the following for information on how assistance is awarded/released: Grantees drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

Grantees are required to submit progress, performance and financial reports on the status of the project. Submit a quarterly electronic Federal Financial Report (FFR) Cash Transaction Report via the Payment Management System. The report identifies cash expenditures against the authorized funds for the grant. The FFR Cash Transaction Reports must be filed within 30 days of the end of each quarter. Failure to submit the report may result in the inability to access grant funds. Go to www.dpm.psc.gov for additional information. Annual financial reports and annual narrative progress reports. The annual Federal Financial Report (FFR or SF-425 formerly known as the Financial Status Report) is required within 90 days of the end of each budget period. The report is an accounting of expenditures for the project year. It must be submitted online via the HRSA Electronic Handbooks system at <https://grants.hrsa.gov/webexternal/home.asp>. Failure to submit the report may result in the inability to access grant funds. Successful applicants receiving funding are required to electronically complete performance measures and program specific data reports. These reports capture program-related data (e.g., performance measures, budget breakdowns, project abstract, and other grant summary data) and data needed for Government Performance and Results Act (GPRA) of 1993 (P.L. 103-62). The performance measures and program data report must be filed annually depending on the terms and conditions of award. This report must be submitted online via the HRSA Electronic Handbooks system at <https://grants.hrsa.gov/webexternal/home.asp>.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Project Grants) FY 12 \$4,885,842; FY 13 est \$4,892,700; and FY 14 est \$0

Range and Average of Financial Assistance:

Awards range from \$95,700 to \$95,700. Average award amount is \$95,700.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: 51 projects funded for activities supported under this CFDA.

Fiscal Year 2013: 51 projects funded for activities supported under this CFDA.

Fiscal Year 2014: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments or 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices. LaQuanta Smalley, MPH, Integrated Services Branch, Division of Services for Children with Special Health Needs, Health

Resources and Services Administration, Department of Health and Human Services, Room 13-61, 5600 Fishers Lane, Rockville, MD 20857. Telephone: (301) 443-2372.

Headquarters Office:

LaQuanta Smalley 5600 Fishers Lane, Room 13-61, Rockville, Maryland 20857 Email: L.Smalley@HRSA.gov Phone: (301) 443-2372

Website Address:

<http://www.mchb.hrsa.gov>

RELATED PROGRAMS:

93.110 Maternal and Child Health Federal Consolidated Programs; 93.127 Emergency Medical Services for Children; 93.153 Coordinated Services and Access to Research for Women, Infants, Children, and Youth; 93.234 Traumatic Brain Injury State Demonstration Grant Program; 93.251 Universal Newborn Hearing Screening; 93.365 Sickle Cell Treatment Demonstration Program; 93.926 Healthy Start Initiative; 93.994 Maternal and Child Health Services Block Grant to the States

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Approval of an application will be based on an analysis of the factors set forth in the Application Review Information in the funding opportunity announcement.

93.505 AFFORDABLE CARE ACT (ACA) MATERNAL, INFANT, AND EARLY CHILDHOOD HOME VISITING PROGRAM

MIECHV

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Social Security Act, Title V, Section 511 (42 U.S.C. 711), as added by Section 2951 of the Patient Protection and Affordable Care Act of 2010 (P.L. 111-148).

OBJECTIVES:

The Maternal, Infant, and Early Childhood Home Visiting Program is designed : (1) to strengthen and improve the programs and activities carried out under Title V; (2) to improve coordination of services for at risk communities; and (3) to identify and provide comprehensive services to improve outcomes for families who reside in at risk communities. Voluntary evidence-based home visiting is the primary strategy to deliver services. A nurse, social worker, parent educator, or other paraprofessional regularly visits an expectant mother or father, new parent, or primary caregiver of a young child from birth to kindergarten entry to support and strengthen the parent-child relationship to improve the health, development and well-being for the child and family.

TYPES OF ASSISTANCE:

Formula Grants; Project Grants

USES AND USE RESTRICTIONS:

1. Assistance can potentially be used for enhancing States infrastructure for improving coordination of services for at-risk communities and identifying and providing comprehensive services to improve outcomes for families who reside in at-risk communities, as well as for implementing State home visiting service programs. The statute reserves the majority of funding for the delivery of services through use of one or more evidence-based home visiting service delivery models. In addition, it supports continued innovation by allowing up to 25 percent of funding to support service delivery through promising approaches that do not yet qualify as evidence-based models. To ensure that the required statutory distribution is maintained, all MIECHV programs must demonstrate that they are being delivered in conformity with the approved service delivery models. This fidelity is demonstrated by programs that have the requisite designation and/or approval from a model developer to provide evidence-based home visiting services. MIECHV-funded programs must maintain the requisite designation and/or approval from the model developer while receiving MIECHV funding.

2. Restrictions: No more than 10% of the award amount may be spent on costs

associated with administering the award. Funds made available to a grantee for a fiscal year shall remain available for expenditure by the grantee through the end of the second succeeding fiscal year after award. Funds that have not been expended during the period of availability will be deobligated and no longer available for use by the grantee.

Applicant Eligibility:

Eligibility for funding is limited to a single application from each State, the District of Columbia, Puerto Rico, Guam, the Virgin Islands, the Northern Mariana Islands, and American Samoa. The Governor has the responsibility and authority to designate which entity or group of entities will apply for and administer home visiting program funds on behalf of the State or US Territory.

Regardless of the entity or entities designated by the Governor, this application must contain the concurrence and signatures of the:

Director of the States Title V agency;

Director of the States agency for Title II of the Child Abuse Prevention and Treatment Act (CAPTA);

The States child welfare agency (Title IV-E and IV-B), if this agency is not also administering Title II of CAPTA;

Director of the States Single State Agency for Substance Abuse Services;

The States Child Care and Development Fund (CCDF) Administrator;

Director of the States Head Start State Collaboration Office, and

The States Advisory Council on Early Childhood Education and Care authorized by 642B(b)(1)(A)(i) of the Head Start Act.

For those states that have elected not to participate in MIECHV, nonprofit organizations with an established record of providing early childhood home visiting programs or initiatives in a state or several states are eligible to apply to carry out programs in those states.

Beneficiary Eligibility:

Eligible families residing in communities in need of such services, as identified in a State needs assessment

Low-income eligible families

Eligible families who are pregnant women under age 21

Eligible families with a history of child abuse or neglect or have had interactions with child welfare services

Eligible families with a history of substance abuse or need substance abuse treatment

Eligible families that have users of tobacco products in the home

Eligible families that are or have children with low student achievement

Eligible families with children with developmental delays or disabilities

Eligible families who, or that include individuals serving or formerly serving in the Armed Forces, including those with members who have had multiple deployments outside the US

Eligible family:

o A woman who is pregnant, and the father of the child if available, or

o A parent or primary caregiver of the child, including grandparents or other relatives and foster parents serving as the child's primary caregiver from birth until kindergarten entry, including a noncustodial parent with an ongoing relationship with, and at times provides physical care for the child.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. HRSA requires all applicants to apply electronically through Grants.gov.

Award Procedure:

Formula Grants: All qualified applications will be reviewed internally by grants management officials (business and financial review) and program staff (technical review) for eligibility, completeness, accuracy, and compliance with the requirements outlined in this announcement. The HRSA program official with delegated authority is responsible for final selection and funding decisions.

Competitive Grants: All qualified applications will be forwarded to an independent objective review committee. Based on the advice of the independent objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Notification is made in writing by an electronic Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. Contact the Headquarters or Regional Office as appropriate for application deadlines or view the specific funding opportunity announcement.

Appeals:

Not Applicable.

Renewals:

Formula funds are awarded on an annual basis.

Formula and Matching Requirements:

Statutory Formula: Formula funding is determined as follows:

- i. The number of children under age five in families at or below 100% of the Federal poverty line in each state was obtained by using 2011 U.S. Census Bureaus Small Area Income Poverty Estimate (SAIPE) data (available at <http://www.census.gov/did/www/saipe/data/statecounty/data/2011.html>).
- ii. The percentage of children under age five in families at or below 100% of the Federal poverty line in the state, as compared to the number of such children nationally, was calculated.
- iii. For each state, the percentage of children under age five in families at or below 100% of the Federal poverty line was multiplied by the total amount of funding available, (excluding the funding allocated for Puerto Rico, Guam, the Virgin Islands, the Northern Mariana Islands, and American Samoa. Because SAIPE data is not available for these jurisdictions, each was allocated a base amount of \$1,000,000.
- iv. This initial distribution, based on the percentage of children in poverty, was reviewed and proportionally modified to ensure a floor of the allocation provided in federal FY 2012 (excluding the funding provided for the Supporting Evidence Based Home Visiting Program). This program has no matching requirements. This program has MOE requirements, see funding agency for further details. Funds provided to an eligible entity receiving a grant shall supplement, and not supplant, funds from other sources for early childhood home visitation programs or initiatives (per the Social Security Act, Title V, 511(f)). The grantee must agree to maintain non-Federal funding (State General Funds) for grant activities at a level which is not less than expenditures for such activities as of the most recently completed fiscal year.

For purposes of maintenance of effort/non-supplantation, home visiting is defined as an evidence-based program, implemented in response to findings from a needs assessment, that includes home visiting as a primary service delivery strategy (excluding programs with infrequent or supplemental home visiting), and is offered on a voluntary basis to pregnant women or children birth to age five targeting the participant outcomes in the legislation which include improved maternal and child health, prevention of child injuries, child

abuse, or maltreatment, and reduction of emergency department visits, improvement in school readiness and achievement, reduction in crime or domestic violence, improvements in family economic self-sufficiency, and improvements in the coordination and referrals for other community resources and supports.

Length and Time Phasing of Assistance:

Funds awarded to an eligible entity for a fiscal year remain available for expenditure through the end of the second succeeding fiscal year after award. See the following for information on how assistance is awarded/released: Grantee drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

Performance Reports (DGIS Forms 1, 2, 4, and 6, Products and Publications. The HRSA MCHB Discretionary Grant Information System (DGIS) Forms 1, 2, 4 and 6, and Products and Publications reports are due within 120 days of the Notice of Award (NoA) issue date. For additional information about these forms, please visit: <https://mchdata.hrsa.gov/dgisreports/AboutDGIS.aspx>

Demographic, Service Utilization, and Benchmark Area-related Data Reporting (DGIS-HV Forms 1 and 2). Data for DGIS-HV Forms 1 and 2 (available online at <http://mchb.hrsa.gov/programs/homevisiting/ta/resources/enrolleeschildrenform.pdf> and <http://mchb.hrsa.gov/programs/homevisiting/ta/resources/granteedefinedperformancemeasuresform.pdf>) must be submitted by October 30 of each fiscal year. Grantees will provide demographic, service utilization and benchmark area-related data into DGIS. The demographic and service utilization data report will include: an unduplicated count of enrollees; selected characteristics by race and ethnicity; socioeconomic data; other demographics; numbers of enrolled from priority populations; and, service utilization across all models. The benchmark data report will include an update of data collected for all constructs within each of the six benchmark areas. The benchmark data report will also provide the following information: the name of the benchmark and construct; the performance measure; the operational definition; the measurement tool utilized; rationale for the measure; the reporting period value; and, the definition of improvement.

Grantees are required to submit progress and financial reports on the status of the project using the Electronic Handbooks System (<https://grants.hrsa.gov/webexternal/home.asp>). Refer to the specific funding opportunity announcement for further information. Submit a quarterly electronic Federal Financial Cash Transaction Report via the Payment Management System. The report identifies cash expenditures against the authorized funds for the grant. The Cash Transaction Reports must be filed within 30 days of the end of each quarter. Failure to submit the report may result in the inability to access award funds. Go to www.dpm.psc.gov for additional information. Successful applicants receiving funding will be required, within 90 days from the end of the project period, to electronically complete the program specific data forms. The requirement includes providing expenditure data for the final year of the project period, the project abstract and award summary data as well as final indicators/scores for the performance measures. A Financial Report is required within 90 days of the end of each budget period. The report is an accounting of expenditures under the project that year. It must be submitted on-line by grantees in the HRSA Electronic Handbooks system at <https://grants.hrsa.gov/webexternal/home.asp>. More specific information will be included in the award notice. It must be submitted on-line by grantees in the HRSA Electronic Handbooks system at <https://grants.hrsa.gov/webexternal/home.asp>.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0321-0-1-550.

Obligations:

(Formula Grants) FY 12 \$309,069,046; FY 13 est \$344,510,947; and FY 14 est \$344,510,947

Range and Average of Financial Assistance:

Formula (2013):
\$1,000,000 \$11,234,549

Competitive (2013):

A Expansion \$1,461,377 - \$8,910,423.

B. Nonprofit - \$589,685 - \$5,584,723.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices. Angela Odjidja-Boateng, JD, MHS ; Health Resources and Services Administration; Maternal and Child Health Bureau; 5600 Fishers Lane, 10-86; Rockville MD 20857; (301) 443-8932; aablorh-odjidja@hrsa.gov.

Headquarters Office:

Angela Odjidja-Boateng, JD, MHS, HRSA, 5600 Fishers Lane, 10-86,, Rockville, Maryland 20857 Email: aablorh-odjidja@hrsa.gov Phone: (301) 443-8932

Website Address:

<http://mchb.hrsa.gov/programs/homevisiting/>

RELATED PROGRAMS:

93.110 Maternal and Child Health Federal Consolidated Programs; 93.615 Affordable Care Act (ACA) Maternal, Infant, and Early Childhood Home Visiting Research Programs; 93.994 Maternal and Child Health Services Block Grant to the States

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

In FY2014, awards will be made on both a formula and competitive basis. All formula applications will be reviewed internally by grants management officials (business and financial review) and program staff (technical review) for eligibility, completeness, accuracy, and compliance with the requirements outlined in this announcement.

All competitive applications will be reviewed internally by grants management officials (business and financial review) and program staff (technical review) for eligibility, completeness, accuracy, and compliance with the requirements outlined in this announcement. All qualified applications will be forwarded to an independent objective review committee. Based on the advice of the independent objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions. See section V. Application Review Information of the relevant funding opportunity announcement.

93.506 ACA NATIONWIDE PROGRAM FOR NATIONAL AND STATE BACKGROUND CHECKS FOR DIRECT PATIENT ACCESS EMPLOYEES OF LONG TERM CARE FACILITIES AND PROVIDERS FEDERAL AGENCY:

Centers for Medicare and Medicaid Services, Department of Health and Human Services

AUTHORIZATION:

The Affordable Care Act , Title VI, Part III, Section 6201, Public Law 111-148.

OBJECTIVES:

The program is intended to establish a nationwide program to identify efficient, effective, and economical procedures for long term care facilities and providers to conduct background checks on a statewide basis on all prospective direct patient access employees.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

CMS is conducting a nationwide program that will identify efficient, effective and economical procedures for long term care facilities and providers to conduct background checks on prospective direct patient/resident access employees. In order to participate in this nationwide program, a State must guarantee that it will make available non-Federal funds to cover a portion of the cost to be incurred by the State to carry out the program in their State.

Applicant Eligibility:

CMS is inviting proposals from all States and U.S. territories to be considered for inclusion in this National Background Check Program. Federal matching funds are available to all States and U.S. territories.

Beneficiary Eligibility:

Beneficiary Eligibility: These facilities and providers include skilled nursing facilities, nursing facilities, home health agencies, hospice care providers, long-term care hospitals, personal care service providers, adult day care providers, residential care providers, assisted living facilities, intermediate care facilities for the mentally retarded (ICFs/MR) and other entities that provide long-term care services, as specified by each participating State.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Participating States must guarantee non-Federal funds to cover a portion of the cost to conduct the program in their State. CMS will provide a three-to-one match for these funds to each grantee up to a maximum of \$3 million for newly applying states and \$1.5 million for states that previously participated in the pilot program. The participating State must require fingerprint checks as part of the criminal background check for all direct patient access employees. The participating State must have a plan to implement the program (a) statewide and (b) in all long term care entities specified in Section 6201 of the ACA, although the State may phase-in the program over a multi-year period, and the phase-in may be accomplished by geographical location, provider type, or other factors determined by the State.

Award Procedure:

This is not a competitive grant. All applying States that provide an application that is scored by the Federal technical panel at 70.2 out of the possible 101 points or greater shall receive a grant. Applications that score below 70.2 points will not be included as grantees.

Funding for this program shall become effective upon CMS approval application. Grants will be awarded to every State that meets the qualifications and terms of agreement described in this solicitation. This includes providing a

satisfactory application that meets all provisions of the Law and scores at least 70.2 points or greater (out of 101) from the Federal technical panel review. Grantees may expect to begin receiving funds within 30 days from the Notice of Grant Award.

Deadlines:

Jun 01, 2010 to Jun 30, 2011: Deadline to apply under this solicitation is June 30, 2011. CMS continues to provide open solicitations to states to apply for funding under the program. Applications for the grant solicitation are accepted on a flowing basis and acted on every 30 days.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: Matching requirements as specified in Section 6201 (a) (5) of the Affordable Care Act:

(A) NEWLY PARTICIPATING STATES.

(i) IN GENERAL.As part of the application submitted by a State under paragraph (1)(A)(iii), the State shall guarantee, with respect to the costs to be incurred by the State in carrying out the nationwide program, that the State will make available (directly or through donations from public or private entities) a particular amount of non-Federal contributions, as a condition of receiving the Federal match under clause (ii).

(ii) FEDERAL MATCH.The payment amount to each State that the Secretary enters into an agreement with under paragraph (1)(A) shall be 3 times the amount that the State guarantees to make available under clause (i), except that in no case may the payment amount exceed \$3,000,000.

(B) PREVIOUSLY PARTICIPATING STATES.H. R. 3590607

(i) IN GENERAL.As part of the application submitted by a State under paragraph (1)(B)(iii), the State shall guarantee, with respect to the costs to be incurred by the State in carrying out the nationwide program, that the State will make available (directly or through donations from public or private entities) a particular amount of non-Federal contributions, as a condition of receiving the Federal match under clause (ii).

(ii) FEDERAL MATCH.The payment amount to each State that the Secretary enters into an agreement with under paragraph (1)(B) shall be 3 times the amount that the State guarantees to make available under clause (i), except that in no case may the payment amount exceed \$1,500,000 .

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Up to 36 months. Method of awarding/releasing assistance: lump sum.

Reports:

Grantees must also agree to respond to requests that are necessary for the evaluation of the National Background Check Program grant efforts to satisfy the statutory requirements for the Federal evaluation enumerated in Section 6201 of the ACA and provide data on key elements of their Background Check Program grant activities. This will include detailed information on the number of background checks requested by various providers, information gathered in the course of background checks, employment decisions made based on this information and their rationale, whether prospective employees challenge the results of adverse decisions and the outcomes of these challenges. It will also include cost accounting information of sufficient quality to allow reliable calculation of the costs of the various components of the background checks programs. Grantees must agree to fully cooperate with any Federal evaluation of the program and provide quarterly or semi-annually any required program progress and financial reports in a form prescribed by CMS (including the SF-269a, Financial Status Report forms). These reports will be designed to outline how grant funds were used and to describe program progress, as well as barriers and measurable outcomes. CMS will provide a format for reporting. Progress reports are not applicable. Expenditure reports are not applicable.

Following the awarding of the grants, grantees must submit an operational protocol. The operational protocol must describe in detail the policies and procedures that the State will follow during the program period. The operational protocol should detail the responsibilities of the providers included in the program; the State government personnel and any additional responsible parties (e.g., contractors) involved in the program. Those who receive grant awards will be provided further detail as to the expected contents of the operational protocol prior to or during the first grantee meeting.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

No Data Available.

Account Identification:

75-0509-0-1-550.

Obligations:

(Project Grants) FY 12 \$6,520,000; FY 13 est \$14,770,000; and FY 14 est \$18,000,000 - \$39.3 million expected to be obligated for the 36 month period.

Range and Average of Financial Assistance:

\$1.5 million to \$3 million.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR Parts 74 and 92, Cost Principles, the HHS Grant Policy Statement, OMB Cost Principles, Request for Application (RFA) and Terms and Conditions of Award.

Regional or Local Office:

See Regional Agency Offices. Debra Spears CMCS/SCG/DNH.

Headquarters Office:

Melissa Rice 7500 Security Blvd., Baltimore, Maryland 21244 Email: melissa.rice@cms.hhs.gov Phone: 410-786-3270

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The criteria are as specified in Section 6201 (a) (1) of the Affordable Care Act:

(1) AGREEMENTS. H. R. 3590604

(A) NEWLY PARTICIPATING STATES.The Secretary shall enter into agreements with each State

(i) that the Secretary has not entered into an agreement with under subsection (c)(1) of such section 307;

(ii) that agrees to conduct background checks under the nationwide program on a Statewide basis; and

(iii) that submits an application to the Secretary containing such information and at such time as the Secretary may specify.

(B) CERTAIN PREVIOUSLY PARTICIPATING STATES.The Secretary shall enter into agreements with each State

(i) that the Secretary has entered into an agreement with under such subsection (c)(1), but only in the case where such agreement did not require the State to conduct background checks under the program established under subsection (a) of such section 307 on a Statewide basis;

(ii) that agrees to conduct background checks under the nationwide program on

a Statewide basis; and
(iii) that submits an application to the Secretary containing such information and at such time as the Secretary may specify .

93.507 PPHF 2012 NATIONAL PUBLIC HEALTH IMPROVEMENT INITIATIVE

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Public Health Act and Prevention and Public Health Fund (PPHF), Section 301 and 317, 42 U.S.C 241 and 247b ; Patient Protection and Affordable Care Act and Prevention and Public Health Fund (PPHF), Title IV, Section 4002, Public Law 111-148.

OBJECTIVES:

The National Public Health Improvement Initiative is part of the Centers for Disease Control and Preventions larger effort to increase the performance management capacity of public health departments in order to ensure that public health goals are effectively and efficiently met. On March 30, 2010, President Obama signed into law the Affordable Care Act (ACA) (PL 111-148).

This legislation established a Prevention and Public Health Fund (Title IV, Section 4002) to provide for expanded and sustained national investment in prevention and public sector health care costs. ACA and the Prevention and Public Health Fund make improving public health a priority with investments to improve public health services, establish meaningful and measureable health indicators, and to achieve long-term improvement in health outcomes. The 5-year Strengthening Public Health Infrastructure for Improved Health Outcomes cooperative agreement program is designed to support innovative changes in key areas that improve the quality, effectiveness and efficiency of the public health infrastructure to better enable the delivery of public health services and programs as specified within ACA. In this continuation announcement, the CDC proposes to award approximately \$33.7 million to usfund 74 grantees using a formula. Eligible applicants are limited to current Awardees.

In this continuation funding is available to ALL current awardees to provide support for:

- accelerating public health accreditation readiness activities
- providing additional support for performance management and improvement practices and,
- developing, identifying and disseminating innovative and evidence-based policies and practices.

This program supports the Healthy People 2020 focus area of addressing Public Health Infrastructure (<http://www.healthypeople.gov/hp2020/>).

Cross-jurisdictional (state, local, tribal, territorial, regional, community, and border) collaborations are encouraged to increase the impact of limited resources, improve efficiency, and to leverage other related health reform efforts/projects.

Measurable outcomes of the program align with the following performance goals:

- 1) Increased efficiencies of program operations,
- 2) Increased use of evidence-based policies and practices, and,
- 3) Increased readiness for applying to and achieving accreditation by the Public Health Accreditation Board PHAB. (More information on accreditation activities can be found on the PHAB web site at <http://www.phaboard.org/>).

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Project funds may be used for costs associated with planning, organizing, and the implementation of other program elements to strengthen State, Tribal, Local, and Territorial public health core infrastructure. Recipients may only expend funds for reasonable program purposes, including personnel, travel, supplies, and services, such as contractual.

Applicant Eligibility:

Eligible applicants include all 50 states, Washington, D.C., 9 large local health departments supporting cities with populations of 1 million or more inhabitants (Chicago, Illinois; Dallas, Texas; Houston Texas; Los Angeles, California; New York City, New York; Philadelphia, Pennsylvania; Phoenix, Arizona; San Antonio, Texas; San Diego, California), 5 U.S. Territories 3 U.S. Affiliated Pacific Islands, and up to 7 federally-recognized tribes with an established public health departments structure (or their equivalent) that provide public health services to their tribal members or their bona fide agents.

Beneficiary Eligibility:

State health departments, large local health departments supporting cities with populations of 1 million or more inhabitants, the District of Columbia, U.S. Territories, tribal health organizations and the general public.

Credentials/Documentation:

. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applicants must download application forms from www.Grants.gov. Applications must be submitted electronically at www.Grants.gov.

If an applicant does not have access to the Internet, or if they have difficulty accessing the forms on-line, contact the CDC Procurement and Grants Office Technical Information Management Section (PGO-TIMS) staff. For this, or further assistance, contact PGO-TIMS: Telephone (770) 488-2700, Email: PGOTIM@cdc.gov

Award Procedure:

Applications that are complete and responsive will be evaluated for scientific and technical merit and receive support. CDC will not review incomplete and non-responsive applications. Applications that are complete and responsive will undergo an objective review process, receive a written critique and be scored according to the published review criteria. Successful applicants will receive a Notice of Award (NOA) from the CDC Procurement and Grants Office. The NOA shall be the only binding, authorizing document between the recipient and CDC. The NOA will be signed by an authorized Grants Management Officer. Initial award provides funds for the first budget period (usually 12 months) and the NOA will indicate support recommended for the remainder of the project period, allocation of Federal funds by budget categories, and special conditions, if any.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Renewals will be based upon availability of funding under the Patient Protection and Affordable Care Act.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Financial assistance is available for a 12-month budget period within project periods ranging from one to five years. Method of awarding/releasing assistance: lump sum. Method of awarding/releasing assistance: lump sum.

Reports:

Program reports are not applicable. Cash reports are not applicable. Each funded applicant must provide an interim and annual progress report. The interim progress report is due no less than 90 days before the end of the specified budget period. The Interim Progress Report will serve as the non-competing continuation application. Semi-annual progress reports are also required. Financial Status Reports (SF 269) are required no more than 90 days after the end of the budget period. Final financial status and progress reports are required, no more than 90 days after the end of the project period. No later than 10 days after the end of each calendar quarter, starting with the quarter ending December 31, 2010 and reporting by January 10, 2010 the recipients must submit a calendar quarter cash transaction report (SF-272 or top portion of the SF-425 Financial Report Form) to the Payment Management System (PMS). Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and General Accounting Office (GAO). The grantee is to also ensure that the sub-recipients receiving CDC funds also meet these requirements (if total Federal grant or grants funds received exceed \$500,000). The grantee should include this requirement in all sub-recipient contracts.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the grant program shall be retained for a minimum of 3 years, or until completion and resolution of any audit in process or pending resolution. In all cases records must be retained until resolution of any audit questions. Property records must be retained in accordance with 45 CFR 92.42.

Account Identification:

75-0943-0-1-551.

Obligations:

(Cooperative Agreements) FY 12 \$33,266,808; FY 13 est \$33,500,000; and FY 14 Estimate Not Available

Range and Average of Financial Assistance:

Component I: This amount is based on population and will continue for each year of the cooperative agreement:

- Below 1.5 million = \$100,000
- 1.5 million - 5 million = \$200,000
- 5 million - 8 million = \$300,000
- Above 8 million = \$400,000.

Component II: \$1M - \$2.95M.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations governing this program are published under 42 CFR 55b. Guidelines are available. 45 CFR 92, and also HHS Grants Policy Statement at <http://www.ahrq.gov/fund/hhspolicy.htm>

Regional or Local Office:

See Regional Agency Offices. Local Office - Program Contact: Kristin Brusuelas, Branch Chief, Government Relations Branch, Office for State, Tribal, Local and Territorial Support, Centers for Disease Control and Prevention, Department of Health and Human Services, 1600 Clifton Road, NE, Mailstop K86, Atlanta, GA 30333. Telephone: (770) 488-1624. Fax: (770) 488-1600. E-mail: KMB0@cdc.gov; Grants Management Contact: Annie Camacho- Harrison, Procurement and Grants Office, Center for Disease Control and Prevention, Department of Health and Human Services, 2920 Brandywine Road, Room 1126, Atlanta, GA 30341. Telephone: (770) 488-2098.

Headquarters Office:

Andrea Wooddall 2500 Century Pkwy, Atlanta, Georgia 30329 Email: ayw3@cdc.gov Phone: (404) 498-6792

Website Address:

<http://www.cdc.gov>; www.Grants.gov

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be evaluated based on: (1) clearly provide a plan that include quantitative process and outcome measures (2) provide adequate and clear information to enable the reviewer to gain a reasonable understanding of the applicants recent and current efforts to address the issues and (3) provide a realistic plan with activities that can be achieved in year one and subsequent years. Other criteria will be listed in the individual funding opportunity announcement.

93.508 AFFORDABLE CARE ACT (ACA) TRIBAL MATERNAL, INFANT, AND EARLY CHILDHOOD HOME VISITING PROGRAM

Tribal Home Visiting (HV) Program and Tribal Research Center for Early Childhood (TRCEC).

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Social Security Act as amended by the Patient Protection and Affordable Care Act, Title V, Section 511(h)(2)(A); Head Start Act as Amended by Improving Head Start for School Readiness Act, Section 648, 42 U.S.C 9843-9844; Social Security Act as amended by the Patient Protection and Affordable Care Act, Title V, Section 511(h)(3) & (j).

OBJECTIVES:

The Tribal Maternal, Infant, and Early Childhood Home Visiting Program (TMIECHV) is being administered by The Administration for Children and Families (ACF), Office of Child Care (OCC), in collaboration with the Health Resources and Services Administration (HRSA) and is supported by Affordable Care Act (ACA). Assistance is available to eligible Tribes (or consortia of Tribes), Tribal Organizations, and Urban Indian Organizations, to strengthen and improve maternal and child health programs, improve service coordination for at-risk communities, and identify and provide comprehensive evidence-based home visiting services to families who reside in at-risk communities.

The ACF, Office of Planning, Research and Evaluation (OPRE), in collaboration with HRSA, is administering the Tribal Research Center for Early Childhood (TRCEC), which will provide leadership and support to promote excellence in community-based participatory research and evaluation of ACF early childhood initiatives that serve Tribal communities (i.e., Home Visiting, Head Start, Early Head Start). The TRCEC is expected to engage in a variety of activities that are designed to identify and develop effective practices and systems for home visiting, Head Start, and Early Head Start programs in Tribal communities; to establish the culturally meaningful processes and outcomes of those programs; to build research capacity within Tribal communities; and to build the capacity of researchers to conduct research and evaluation in partnership with Tribal communities. TRCEC will provide leadership and support to promote excellence in community-based research and evaluation of Tribal MIECHV, Head Start, and EHS initiatives that serve AI/AN children and families. TRCEC is expected to engage in activities that support the identification and development of effective practices and systems for integrated services for Tribal MIECHV, Head Start, and EHS in tribal communities.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Assistance for the Tribal HV Program will be used to: conduct a needs

assessment that considers community characteristics and the quality and capacity of existing home visiting programs and other supportive services; is coordinated with other relevant needs assessments; and involves community stakeholders as appropriate; collaborative planning efforts to address identified needs by developing capacity and infrastructure; providing high-quality, evidence-based home visiting services to pregnant women and families with young children aged birth to kindergarten entry; and conducting rigorous local evaluations that may include examining effectiveness of home visiting models in serving Tribal populations, adaptations of home visiting models for Tribal communities, or questions regarding implementation of infrastructure necessary to support evidence-based home visitation models in Tribal communities.

Consistent with statutory authority, Home Visiting funds for the TRCEC will be used for: (a) activities to promote excellence in research and evaluation of Tribal MIECHV programs in tribal communities; and (b) measurement development activities that will expand the evidence base around home visiting programs for AI/AN populations. Consistent with the relevant statutory authority, Head Start funds for the TRCEC will be used for research and evaluation activities to: (a) foster continuous improvement in the quality of the Head Start programs and in their effectiveness in enabling participating AI/AN children and their families to succeed in school and otherwise; and (b) develop, test, and disseminate new ideas based on existing scientifically valid research, for addressing the needs of AI/AN children and their families and communities. Costs of organized fundraising, including financial campaigns, endowment drives, solicitation of gifts and bequests, and similar expenses incurred solely to raise capital or obtain contributions, are unallowable.

Grant awards will not allow reimbursement of pre-award costs.

Construction and purchase of real property are not allowable activities or expenditures under this grant award.

The statute requires that grantees supplement and not supplant funds from other HV initiatives.

Awards issued under this announcement are subject to the uniform administrative requirements and cost principles of 45 CFR Part 74 (Awards and Subawards to Institutions of Higher Education, Hospitals, Other Non-Profit Organizations, and Commercial Organizations), or 45 CFR Part 92 (Grants and Cooperative Agreements to State, Local, and Tribal Governments).

An application funded with the release of Federal funds through a grant award, does not constitute, or imply, compliance with Federal regulations. Funded organizations are responsible for ensuring that their activities comply with all applicable Federal regulations.

Grantees are subject to the limitations set forth in 45 CFR Part 74.81 (Prohibition against profit), which states that, "... no HHS funds may be paid as profit to any recipient even if the recipient is a commercial organization. Profit is any amount in excess of allowable direct and indirect costs."

Grantees are also subject to the requirements of 45 CFR Part 87, Equal Treatment for Faith-Based Organizations: "Direct Federal grants, sub-award funds, or contracts under this ACF program shall not be used to support inherently religious activities such as religious instruction, worship, or proselytization. Therefore, organizations must take steps to separate, in time or location, their inherently religious activities from the services funded under this program. Regulations pertaining to the Equal Treatment for Faith-Based Organizations, which includes the prohibition against Federal funding of inherently religious activities, can be found at the HHS web site at: <http://www.hhs.gov/fbc/waisgate21.pdf>.

A faith-based organization receiving HHS funds retains its independence from Federal, State, and local governments, and may continue to carry out its mission, including the definition, practice, and expression of its religious beliefs. For example, a faith-based organization may use space in its facilities to provide secular programs or services funded with Federal funds without removing religious art, icons, scriptures, or other religious symbols. In addition, a faith-based organization that receives Federal funds retains its authority over

its internal governance, and it may retain religious terms in its organization's name, select its board members on a religious basis, and include religious references in its organization's mission statements and other governing documents in accordance with all program requirements, statutes, and other applicable requirements governing the conduct of HHS funded activities." Additional information on "Understanding the Regulations Related to the Faith-Based and Community Initiative" can be found at: <http://www.hhs.gov/fbc/regulations/index.html>.

The Code of Federal Regulations (CFR) is available at <http://www.gpoaccess.gov/CFR/>.

Awards issued under this announcement are subject to the requirements of Section 106 (g) of the Trafficking Victims Protection Act of 2000, as amended (22 U.S.C. 7104). For the full text of the award term, go to http://www.acf.hhs.gov/grants/award_term.html.

TRCEC awards are subject to the availability of Federal funds. Please note that funds for MIECHV are not currently appropriated for the full length of the identified project period.

The Federal share of TRCEC project costs shall not exceed \$600,000 for any of the 12-month budget periods, inclusive of indirect costs (\$250,000 will be from the Tribal HV Program and \$350,000 will be from Head Start research funds).

Funds and their associated activities/costs supported by funds from MIECHV Tribal Home Visiting and OHS for the TRCEC must be tracked separately; and must be accounted for on an ongoing basis and expenditures attributed to either Tribal HV or Head Start portions of the project. All funds are discretionary under these programs.

Applicant Eligibility:

Only Tribes (or a consortium of Indian Tribes), Tribal Organizations, or Urban Indian Organizations, as defined by Section 4 of the Indian Health Care Improvement Act, Public Law 94-437, are eligible applicants for the Tribal HV Grant Program.

For the TRCEC, only the following are eligible applicants: a) public and State controlled institutions of higher education; b) nonprofits having a 501(3)(3) status with the IRS, other than institutions of higher education; c) nonprofits without 501(c)(3) status with the IRS, other than institutions of higher education; (d) private institutions of higher education; e) for profit organizations other than small businesses; and f) small businesses.

Beneficiary Eligibility:

For the Tribal HV program:

- Eligible families residing in at-risk American Indian/Alaskan Native communities in need of such services, as identified in a needs assessment;
- Low-income eligible families;
- Eligible families who are pregnant women under age 21;
- Eligible families with a history of child abuse or neglect or have had interactions with child welfare services;
- Eligible families with a history of substance abuse or need substance abuse treatment;
- Eligible families that have users of tobacco products in the home;
- Eligible families that are or have children with low student achievement;
- Eligible families with children with developmental delays or disabilities; and
- Eligible families who, or that include individuals serving or formerly serving in the Armed Forces, including those with members who have had multiple deployments outside the US.

Eligible family:

- A woman who is pregnant, and the father of the child if available, or
- A parent or primary caregiver of the child, including grandparents or other relatives and foster parents serving as the child's primary caregiver from birth until kindergarten entry, including a noncustodial parent with an ongoing relationship with, and at times provides physical care for the child.

Credentials/Documentation:

Applicants should review each of the individual funding opportunity

announcements issued under this CFDA program for any required proof or certifications, which must be submitted prior to or simultaneous with submission of an application package. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applicants should review each of the individual funding opportunity announcements issued under this CFDA program for any additional application procedures, which must be followed when submitting an application package.

Award Procedure:

For the Tribal HV program: each application will be screened to determine whether it was received by the closing date and time and whether the requested amount exceeds the award ceiling. Applications that are designated as late or those with requests that exceed the award ceiling will be returned to the applicant with a notation that they were deemed non-responsive and will not be reviewed.

Applications competing for financial assistance will be reviewed and evaluated by objective review panels using the criteria described in the funding opportunity announcement. Each panel is made up of experts with knowledge and experience in the area under review. Generally, review panels are composed of three reviewers and one chairperson.

Results of the competitive objective review are taken into consideration by ACF in the selection of projects for funding; however, objective review scores and rankings are not binding and are one element of the decision-making process.

ACF may elect to not fund applicants with management or financial problems that would indicate an inability to successfully complete the proposed project. Applications may be funded in whole or in part. Successful applicants may be funded at an amount lower than that requested. ACF reserves the right to consider a preference to fund organizations serving emerging, unserved, or under-served populations, including those located in pockets of poverty, and to consider the geographic distribution of Federal funds in its funding decisions.

ACF may refuse funding for projects with what it regards as unreasonably high start-up costs for facilities or equipment, or for projects with unreasonably high operating costs. In addition, federal staff will conduct an administrative review of the applications and the results of the competitive review and will make recommendations for funding to the Director, Office of Child Care (OCC). With the results of the competitive review and information from federal staff, the Director of OCC, in consultation with Deputy Assistant Secretary and Inter-Departmental Liaison for Early Childhood Development, will make the final funding decisions.

For the TRCEC, grant awards are subject to the availability of Federal funds. Note that funds for the Tribal MIECHV are not appropriated for the full length of the specified project period.

The Federal share of project costs shall not exceed \$600,000 for any of the 12-month budget periods, inclusive of indirect costs (\$250,000 will be from the Tribal MIECHV Program and \$350,000 will be from Head Start research funds). Consistent with statutory authority, Home Visiting funds will be used for: (a) activities to promote excellence in research and evaluation of Tribal MIECHV programs in tribal communities; and (b) measurement development activities that will expand the evidence base around home visiting programs for AI/AN populations. Consistent with the relevant statutory authority, Head Start funds will be used for research and evaluation activities to: (a) foster continuous improvement in the quality of the Head Start programs and in their effectiveness in enabling participating AI/AN children and their families to succeed in school and otherwise; and (b) develop, test, and disseminate new

ideas based on existing scientifically valid research, for addressing the needs of AI/AN children and their families and communities.

No grant award will be made under this announcement on the basis of an incomplete application. No grant award will be made to an applicant or sub-recipient that does not have active CCR registration (www.ccr.gov or 1-866-606-8220).

Each application will be screened to determine whether it was received by the closing date and time and whether the requested amount exceeds the award ceiling. Applications that are designated as late, or those with requests that exceed the award ceiling, will receive a screen-out letter noting that the application was deemed non-responsive and will not be considered for competitive review or funding. For those applications that have been deemed disqualified under the initial ACF screening, notice will be given of such determination by postal mail.

Applications competing for financial assistance will be reviewed and evaluated by objective review panels using the criteria described in the funding opportunity announcement. Each panel is made up of experts with knowledge and experience in the area under review. Generally, review panels are composed of three reviewers and one chairperson.

Results of the competitive objective review are taken into consideration by ACF in the selection of projects for funding; however, objective review scores and rankings are not binding. They are one element in the decision-making process.

Applications recommended for approval that were not funded under the competition because of the lack of available funds, may be held over by ACF and re-considered in a subsequent review cycle if a future competition under the program area is planned. These applications will be held over for a period of up to one year and will be re-competed for funding with all other competing applications in the next available review cycle. For those applications that have been deemed as approved but unfunded, notice will be given of such determination by postal mail.

Announcement of awards and the disposition of applications will be provided to applicants at a later date.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. For the TRCEC, successful applicants will be notified through the issuance of a Financial Assistance Award (FAA) document that sets forth the amount of funds granted, the terms and conditions of the grant, the effective date of the grant, the budget period for which initial support will be given, the non-Federal share to be provided (if applicable), and the total project period for which support is contemplated. The FAA will be signed by the Grants Officer and transmitted via postal mail. Following the finalization of funding decisions, organizations whose applications will not be funded will be notified by letter, signed by the Program Office head.

Other correspondence announcing to a Principal Investigator or Project Director that an application was selected is not an authorization to begin performance. Costs incurred before receipt of a FAA are at the recipient's risk and may be reimbursed only to extent considered allowable as approved pre-award costs.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

For the Tribal HV, grants (cooperative agreements) are generally awarded for a 5-year project period. In the first year of the project, grants will be awarded on a competitive basis. Pending successful completion of Year 1 activities, submission of a plan that meets requirements, and approval of this plan, applications will be considered on a noncompetitive continuation basis for Years 2-5 subject to availability of funds, satisfactory progress of the grantee, and a determination that continued funding would be in the best interest of the Federal Government. Grants will be awarded for 12-month budget periods with a 5-year project period.

Funds awarded to grantees under this program will be made available for expenditure through the end of the second succeeding fiscal year after award.

For the TRCEC, grants (cooperative agreements) are generally awarded with a project period of up to five years. The initial award will be for the first 12-month budget period. Budget requests for the second through fifth year of funding within the project period should be identified in the current application (on SF-424A), but such requests will be considered in subsequent years on a noncompetitive basis, subject to the applicant's eligibility status, the availability of funds, satisfactory progress of the grantee, and a determination that continued funding would be in the best interest of the Federal Government. Method of awarding/releasing assistance: lump sum.

Reports:

Grantees under this announcement will be required to submit performance progress and financial reports periodically throughout the project period.

The frequency of required reporting is described in each funding opportunity announcement. Final reports may be submitted in hard copy to the Grants Management Office Contact identifiable post-award. Instructions on submission of reports electronically will be provided with award documents.

ACF grantees are required to submit the SF-PPR Cover Page. ACF Programs that utilize reporting forms or formats in addition to, or instead of, the SF-PPR have listed the reporting requirements.

Grant award documents will inform grantees of the appropriate performance progress report form or format to use. Grantees should consult their award documents to determine the appropriate performance progress report format required under their award. Performance progress reports are due 30 days after the end of the reporting period.

Final program performance reports are due 90 days after the close of the project period. The SF-PPR may be found at http://www.acf.hhs.gov/grants/grants_resources.html.

Further instructions will be provided, as necessary, with award terms and conditions that will address specific reporting periods and due dates on an award-by-award basis. Additional information on frequency of reporting is available on the ACF Funding Opportunities web site at http://www.acf.hhs.gov/grants/msg_sf425.html. Federal Financial Report (FFR) - Federal Cash Transaction Report. Progress reports are not applicable. As of February 1, 2011, the Department of Health and Human Services (HHS) began the transition from use of the SF-269, Financial Status Report (Short Form or Long Form) to the use of the SF-425 Federal Financial Report for expenditure reporting. SF-269s will no longer be accepted for expenditure reports due after that date. If an SF-269 is submitted, the ACF will return it and require the recipient to complete the SF-425.

The transition strategy is allowing individual HHS Operating Divisions to select--from a limited number of options--the approach that best fits their programs and business process. This transition does not affect completion or submission of the cash reporting to the HHS Division of Payment Management's Payment Management System (PMS). The primary features of this transition for recipients are that OPDIVs that previously required electronic submission of the SF-269 will receive the SF-425 expenditure reports electronically and, until further notice, OPDIVs that have been receiving expenditure reports in hard copy will continue to do so.

All expenditure reports will be due on one of the standard due dates by which cash reporting is required to be submitted to PMS OR at the end of a calendar quarter as determined by the Operating Division. As a result, a recipient that receives awards from more than one OPDIV may be subject to more than one approach, but will not be required to change its current means of submission or be subjected to more than eight standard due dates.

Beginning with budget periods which end from January 1 - March 31, 2011, and for all budget periods thereafter, all affected ACF grantees will be required to submit an SF-425 report as frequently as is required in the terms and conditions of their award using due dates for reports to PMS.

Fillable versions of the SF-425 form in Adobe PDF and MS-Excel formats, along with instructions, are available at http://www.whitehouse.gov/omb/grants_forms, www.forms.gov, and on the ACF Funding Opportunity website Forms page.

Further instructions will be provided, as necessary, with award terms and conditions that will address specific reporting periods and due dates on an award-by-award basis. Additional information on frequency of reporting is available on the ACF Funding Opportunities web site at http://www.acf.hhs.gov/grants/msg_sf425.html.

Awards issued as a result of this funding opportunity may be subject to the Transparency Act subaward and executive compensation reporting requirements of 2 C.F.R. Part 170. See ACF's Award Term for Federal Financial Accountability and Transparency Act (FFATA) Subaward and Executive Compensation Reporting Requirement implementing this requirement and additional award applicability information. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. None.

Records:

Awards issued under this program are subject to the uniform administrative requirements of 45 CFR Part 74 (Awards And Subawards To Institutions Of Higher Education, Hospitals, Other Nonprofit Organizations, And Commercial Organizations) or 45 CFR Part 92 (Grants And Cooperative Agreements To State, Local, And Tribal Governments).

Account Identification:

75-0321-0-1-506.

Obligations:

(Direct Payments for Specified Use) FY 12 \$738,737; FY 13 est \$1,856,854; and FY 14 est \$2,257,361 - Funding is for Tribal contracts. (Project Grants) FY 12 \$10,850,000; FY 13 est \$11,433,990; and FY 14 est \$11,433,990 - All funding is available through the Affordable Care Act.

ACA Funding Data for the Tribal HV: Three percent of annual appropriations under Section 511(h)(2)(A) of Title V of the Social Security Act, as amended by Section 2951 of the Affordable Care Act (ACA) (Public Law 111-148) shall be reserved for purposes of making grants to eligible entities that are Indian Tribes (or a consortium of Indian Tribes), Tribal organizations, or Urban Indian Organizations. Tribes (or a consortium of Indian Tribes), Tribal Organizations, or Urban Indian Organizations are those defined by Section 4 of the Indian Health Care Improvement Act, Public Law 94-437.

Range and Average of Financial Assistance:

For the Tribal HV, the range of funding is \$265,000-\$730,000 per budget period.

For the TRCEC, the range of funding is up to \$600,000 per budget period and the average is \$300,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: 26 grants were awarded in FY 2012. (25 Tribal MIECHV grants and one TRCEC grant). Fiscal Year 2013: It is estimated that 26 grants will be awarded in FY 2013. (25 Tribal HV grants and one TRCEC grant). Fiscal Year 2014: It is estimated that 26 grants will be awarded in FY 2014. (25 Tribal HV grants and one TRCEC grant).

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Carol Gage Child Care Bureau, Office of Family Assistance, Administration for Children and Families, Department of Health and Human Services, 370 L'Enfant Promenade, S.W. , Washington, District of Columbia 20447 Email: carol.gage@acf.hhs.gov Phone: 202-690-6243 Fax: 202-690-5600

Website Address:

<http://www.acf.hhs.gov/programs>

RELATED PROGRAMS:

93.505 Affordable Care Act (ACA) Maternal, Infant, and Early Childhood Home Visiting Program; 93.600 Head Start

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be evaluated based upon the criteria published in each funding opportunity announcement.

93.509 AFFORDABLE CARE ACT (ACA) STATE HEALTH CARE WORKFORCE DEVELOPMENT GRANTS

ACA State Health Care Workforce Development Grants

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Section 5102 of the Affordable Care Act; and section 4002 of the Patient Protection and Affordable Care Act, Public Law 111-148.

OBJECTIVES:

The primary focus of this program is to address health workforce shortages within each state and nationally. Of secondary importance but essential in order to develop viable statewide plans and identify key shortage areas, is the need to support the development of uniform data collection across states on licensed health professionals. This uniform data collection will be used to develop baseline numbers on the current supply of the health care workforce in order to evaluate the impact and success of this program. Efforts to develop and implement state health care workforce plans across state and federal organizations (including the Departments of Health and Human Services, Labor, and Education) should result in increases in the number of health care providers available to address the nations health care needs.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Funds may only be used for the purposes of enabling (1) state partnerships to complete comprehensive health care workforce development planning and (2) to implement those plans or carry out activities as defined by the state application in order to address current and projected workforce demands within the state. Applicants may not receive both a planning and an implementation award. Funds will be competitively awarded in the form of discretionary grants.

Applicant Eligibility:

Planning Grant Eligibility - To be eligible to receive a planning grant, a state must have an eligible partnership. An eligible partnership is a state Workforce Investment Board, that includes or modifies the members to include at least one representative from each of the following: health care employer, labor organization, a public 2-year institution of higher education, a public 4-year institution of higher education, the recognized state federation of labor, the state

public secondary education agency, the state P16 or P20 Council (statewide assemblies of education, business, and community leaders charged with developing strategies to better coordinate, integrate, and improve education for preschool through college students), if such a council exists, and a philanthropic organization that is actively engaged in providing learning, mentoring, and work opportunities to recruit, educate, and train individuals for, and retain individuals in, careers in health care and related industries.

Implementation Grants Eligibility. To be eligible for an implementation grant, a state partnership (including the members stated above) shall have (1) received a planning grant as specified under subsection (c) of Section 5102, P.L. 111-148 and completed all requirements of such grant; or (2) completed a satisfactory application, including a plan to coordinate with required partners and complete the required activities during the two year period of the implementation grant. Federally Recognized Indian Tribal Government and Native American Organizations may apply if they are otherwise eligible.

Beneficiary Eligibility:

Those who will ultimately benefit from these grants are the local, rural, state, and federal legislators and policy makers who will receive the information needed with which to make health workforce decisions.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Grant applications and required forms for this program can be obtained from Grants.gov. HRSA requires all applicants to apply electronically through Grants.gov.

Award Procedure:

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Notification is made in writing (electronic) by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Planning Grants Match -- Each State partnership receiving a planning grant shall provide an amount, in cash or in kind, that is not less than 15 percent of the amount of the grant, to carry out the activities supported by the grant. The matching requirement may be provided from funds available under other federal, state, local or private sources to carry out the activities.

Implementation Grants Match Each State partnership receiving an implementation grant shall provide an amount, in cash or in kind that is not less than 25 percent of the amount of the grant, to carry out the activities supported by the grant. The matching funds may be provided from funds available from other federal, state, local, or private sources to carry out such activities. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Planning grants will be awarded for a period of one year. Implementation grants will be awarded for a period of two years, except in those cases where the Health Resources and Services Administration (HRSA) determines that the grantee is high performing and the activities supported by the grant warrant up to one additional year of funding. See the following for information on how assistance is awarded/released: Grantees draw down funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

Program reports are required. The awardee will be required to submit annual performance and progress reports as well as status-federal financial reports (see below for general information on each required report). Cash reports are required. The awardee must submit a quarterly electronic Federal Financial Report (FFR) Cash Transaction Report via the Payment Management System within 30 days of the end of each calendar quarter. A Federal Financial Report (SF-425) is required within 90 days of the end of each budget period. A progress report must be submitted to HRSA on an annual basis. A final report is due within 90 days after the project period ends. If applicable, the awardee must submit a Tangible Personal Property Report (SF-428) and any related forms within 90 days after the project period ends. New awards (Type 1) issued under this funding opportunity announcement are subject to the reporting requirements of the Federal Funding Accountability and Transparency Act (FFATA) of 2006 (Pub. L. 109282), as amended by section 6202 of Public Law 110252, and implemented by 2 CFR Part 170. Grant and cooperative agreement recipients must report information for each first-tier subaward of \$25,000 or more in federal funds and executive total compensation for the recipients and subrecipients five most highly compensated executives as outlined in Appendix A to 2 CFR Part 170 (FFATA details are available online at <http://www.hrsa.gov/grants/ffata.html>). Competing continuation awardees, etc. may be subject to this requirement and will be so notified in the Notice of Award. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-055.

Obligations:

(Project Grants) FY 12 \$0; FY 13 est \$0; and FY 14 est \$0

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

Not applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices. Virginia Sutton, 5600 Fishers Lane, Room 9A-49, Rockville, Maryland 20857 Phone: (301) 443-0078.

Headquarters Office:

Virginia Sutton 5600 Fishers Lane, Room 9A-49, Rockville, Maryland 20857 Phone: (301) 443-0078.

Website Address:

<http://www.hrsa.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Approval of an application will be based on an analysis of the factors set forth in the application. See the section on Application Review in the funding opportunity announcement.

93.510 AFFORDABLE CARE ACT (ACA) PRIMARY CARE RESIDENCY EXPANSION PROGRAM

ACA PCRE

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Title VII, Section 747, as amended by the Patient Protection and Affordable Care Act (Public Law 111-148); and the Public Health Prevention Act Section 4002, Conference Report, H. Rept. 111-366, Division D (referencing S. Rept. 111-66), accompanying the Consolidated Appropriations Act, 2010, Public Law 111-117.

OBJECTIVES:

The Primary Care Residency Expansion program is a five-year initiative to increase the number of physicians trained in family medicine, general internal medicine, and general pediatrics residency programs. Grantees are primary care residency programs that commit to increasing their number of training positions by one to four new post-graduate year one positions for five consecutive years. Awards facilitate this expansion by providing \$80,000 per expanded position per year.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Awards may only be used to fund an accredited family medicine, general internal medicine, or general pediatric residency program. Applicants may request support for only one residency program (discipline).

Approved uses of Primary Care Residency Expansion grant funds are resident salary including fringe benefits and indirect costs, training expenses, and resident physician travel. Personnel, staffing, consultant cost, equipment, supplies, subcontracts and other items are strictly prohibited under this grant award.

Applicant Eligibility:

Eligible applicants include public or nonprofit private hospitals, schools of medicine or osteopathic medicine or a public or private nonprofit entity of which the Secretary has determined is capable of carrying out such grants. Applicants may request support for only one residency program (discipline). Federally Recognized Indian Tribal Government and Native American Organizations may apply if they are otherwise eligible.

Beneficiary Eligibility:

Accredited primary care residency training programs in family medicine, general internal medicine, and general pediatrics.

Project participants (residents) must be U.S. Citizens, non-citizen nationals, or foreign nationals who possess visas permitting permanent residence in the United States. Individuals on temporary or student visas are not eligible.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications that must be submitted prior to or simultaneous with submission of an application package. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Grant applications and required forms for this program can be obtained from Grants.gov. HRSA requires all applicants to apply electronically through Grants.gov.

Award Procedure:

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions. Notification is made in writing (electronic) by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

Awards are funded for five (5) years of support. See the following for information on how assistance is awarded/released: Grantees draw down funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

Program reports are required. The awardee will be required to submit annual performance and progress reports as well as status-federal financial reports (see below for general information on each required report). The awardee must submit a quarterly electronic Federal Financial Report (FFR) Cash Transaction Report via the Payment Management System within 30 days of the end of each calendar quarter. A Federal Financial Report (SF-425) is required within 90 days of the end of each budget period. A final report is due within 90 days after the project period ends. If applicable, the awardee must submit a Tangible Personal Property Report (SF-428) and any related forms within 90 days after the project period ends. New awards (Type 1) issued under this funding opportunity announcement are subject to the reporting requirements of the Federal Funding Accountability and Transparency Act (FFATA) of 2006 (Pub. L. 109282), as amended by section 6202 of Public Law 110252, and implemented by 2 CFR Part 170. Grant and cooperative agreement recipients must report information for each first-tier subaward of \$25,000 or more in federal funds and executive total compensation for the recipients and subrecipients five most highly compensated executives as outlined in Appendix A to 2 CFR Part 170 (FFATA details are available online at <http://www.hrsa.gov/grants/ffata.html>). Competing continuation awardees, etc. may be subject to this requirement and will be so notified in the Notice of Award. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records three years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the three year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular three year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Project Grants) FY 12 \$0; FY 13 est \$0; and FY 14 est \$0 - Awards were funded for five years under ACA in FY 10.

Range and Average of Financial Assistance:

Total award amounts range from \$960,000 to \$3,840,000 per grantee. The average total award for the five year project period is \$1,440,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for state, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices. Anthony Anyanwu, Division of Medicine and Dentistry, Bureau of Health Professions, Health Resources and Services Administration, Department of Health and Human Services, 5600 Fishers Lane, Room 9A-27, Rockville, Maryland 20857, Telephone: (301) 443-3870, Fax: 301-443-8437, Email: aanyanwu@hrsa.gov.

Headquarters Office:

Division of Medicine and Dentistry, Bureau of Health Professions, HRSA, DHHS 5600 Fishers Lane, Room 9A-27, Rockville, Maryland 20857 Phone: (301) 443-6190 Fax: (301) 443-8890

Website Address:

<http://www.hrsa.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Procedures for assessing the technical merit of grant applications have been instituted to provide an objective review of applications and to assist the applicant in understanding the standards against which each application will be judged. Critical indicators have been developed for each review criterion to assist the applicant in presenting pertinent information related to that criterion and to provide the reviewer with a standard for evaluation. Competing applications are reviewed by nonfederal reviewers for technical merit recommendations.

93.511 AFFORDABLE CARE ACT (ACA) GRANTS TO STATES FOR HEALTH INSURANCE PREMIUM REVIEW

Premium Review Grants

FEDERAL AGENCY:

Centers for Medicare and Medicaid Services, Department of Health and Human Services

AUTHORIZATION:

Patient Protection and Affordability Care Act, Section 1003.

OBJECTIVES:

A program to assist States in a) in reviewing and, if appropriate under State law, approving premium increases for health insurance coverage; b) in providing information and certain data requirements to the HHS Secretary on premium increase patterns, and c) establishing and enhancing data centers that will compile and publish fee schedule information. Refer to funding opportunity announcement for additional information.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Grant funds are to be used as outlined in Section 1003 of the Affordable Care Act (ACA). Grant funds that are not fully obligated under this, or subsequent grant programs authorized under Section 1003 of the ACA, by FY 2014 can remain available to the Secretary for grants to States for planning and implementing the insurance reforms and consumer protections outlined in Section 1003 of the ACA.

Applicant Eligibility:

Grants to States' (including the District of Columbia) and Territories for premium review, and Data Centers enhancing pricing transparency. Applicants should review the CMS funding opportunity announcement documents for further eligibility requirements.

Beneficiary Eligibility:

Grants to States' (including the District of Columbia) and Territories for premium review, and Data Centers.

Credentials/Documentation:

Applicants should review the CMS funding opportunity announcement documents issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Grant applications and required forms for this program can be obtained from Grants.gov. CMS requires all applicants to apply electronically through Grants.gov.

Award Procedure:

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the CMS program official with delegated authority is responsible for final selection and funding decisions. Notification is made in writing by a Notice of Grant Award.

Deadlines:

Jul 17, 2013 to Aug 01, 2013 A Letter of Intent is mandatory and must be submitted by 4:00 pm Eastern Daylight Time on June 17, 2013. All grant applications must be submitted electronically and be received through <http://www.grants.gov> by 4:00 pm Eastern Daylight Time on August 1, 2013.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Not Applicable.

Renewals:

Section 1003 of the ACA provides for funding to be awarded between Fiscal Year 2010 and Fiscal Year 2014. Refer to funding opportunity announcement for additional information on subsequent grants awarded for premium review authorized under Section 1003 of ACA.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching requirements are not applicable to this program.

This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

Grant funding for this funding opportunity announcement will be available for the remainder of Fiscal Year 2013, through Fiscal Year 2015. See the following for information on how assistance is awarded/released: Grantees drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards. See the following for information on how assistance is awarded/released: Grantees drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

Quarterly, Annual and Final programmatic reports are required in the funding opportunity announcement. Submit a quarterly electronic Federal Financial Report (FFR) Cash Transaction Report via the Payment Management System. The report identifies cash expenditures against the authorized funds for the grant. The FFR Cash Transaction Reports must be filed within 30 days of the end of each quarter. Failure to submit the report may result in the inability to access grant funds. Go to www.dpm.psc.gov for additional information. Annual financial reports and annual narrative progress reports are required. Submit a Financial Status Report (FSR or SF-269) directly to OS. The report identifies cash expenditures against the authorized funds for the grant. The Financial Status Report must be filed semi-annually or annually depending on the terms and conditions of award. Failure to submit the report may result in the inability to access grant funds. As described in the funding opportunity announcement.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records 3 years after the date they submit the final Federal Financial Report. If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0112-0-1-551.

Obligations:

(Project Grants) FY 12 \$8,000,000; FY 13 est \$2,000,000; and FY 14 Estimate Not Available

Range and Average of Financial Assistance:

Grantees receive between \$1,000,000 and \$4,999,999 per grant year.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments or 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable. CMS awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://dhhs.gov/asfr/ogapa/grantinformation/hhsgps107.pdf>

Regional or Local Office:

None.

Headquarters Office:

Sarah Norman Department of Health and Human Services, 200 Independence Avenue, SW, Hubert H. Humphrey Building, Washington DC, District of Columbia 20201 Email: sarah.norman@cms.hhs.gov Phone: 301-492-4185

Website Address:

<http://ccio.cms.gov/programs/marketreforms/rates/index.html>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Approval of an application will be based on an analysis of the factors set forth in Section V--Application Review in the funding opportunity announcement.

93.512 AFFORDABLE CARE ACT (ACA) PERSONAL AND HOME CARE AIDE STATE TRAINING PROGRAM (PHCAST)

ACA PHCAST

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Section 2008 (b) of the Social Security Act, as added by section 5507 (b) of the Affordable Care Act; and section 4002 of the Affordable Care Act, Public Law 111-148.

OBJECTIVES:

To train individuals as qualified personal and home care aides to provide care in complex environments such as home healthcare services, residential care facilities, and private households. Grants will be made to state entities to conduct demonstration projects for purposes of developing core training competencies and certification programs for personal and home care aides. Infrastructure training grants are awarded to eligible applicant organizations for projects to strengthen and enhance the capacity of personal and home care aide training programs. This will enable individuals to enter into a personal and/or home care aide position, thus strengthening the direct-care worker workforce.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Funds may be used for the development, evaluation, and demonstration of training programs for personal and/or home care aides on-campus, at alternate sites, and through distance education. Restricted Uses: Indirect costs under training grants to organizations other than state, local or Indian tribal governments will be budgeted and reimbursed at 8 percent of modified total direct costs rather than on the basis of a negotiated rate agreement, and are not subject to upward or downward adjustment.

Applicant Eligibility:

Eligible entities are States, including the District of Columbia, the Commonwealth of Puerto Rico, the Virgin Islands, Guam, Northern Mariana Islands, and American Samoa. Within the eligible entity, the Governor may designate the appropriate state agency to administer the program, such as a state health department or state workforce investment board.

Beneficiary Eligibility:

Project participants, (students) in the demonstration program must be U.S. Citizens, non-citizen nationals, or foreign nationals who possess visas permitting permanent residence in the United States. Individuals on temporary student visas are not eligible. Participating States would be responsible for the recruitment of a minimum number of eligible health and long-term care providers to participate in the project.

Credentials/Documentation:

Applicants should review the individual HRSA Guidance documents issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Grant applications and required forms for this program can be obtained from Grants.gov. HRSA requires all applicants to apply electronically through Grants.gov.

Award Procedure:

All qualified applications are forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Notification is made in writing (electronic) by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Competing grant applications are submitted for three (3) years of support. See the following for information on how assistance is awarded/released: Grantees draw down funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

Program reports are required. The awardee will be required to submit annual performance and progress reports as well as status-federal financial reports (see below for general information on each required report). A Federal Financial Report (SF-425) is required within 90 days of the end of each budget period. Cash reports are required. The awardee must submit a quarterly electronic Federal Financial Report (FFR) Cash Transaction Report via the Payment Management System within 30 days of the end of each calendar quarter. A final report is due within 90 days after the project period ends. If applicable, the awardee must submit a Tangible Personal Property Report (SF-428) and any related forms within 90 days after the project period ends. New awards (Type 1) issued under this funding opportunity announcement are subject to the reporting requirements of the Federal Funding Accountability and Transparency Act (FFATA) of 2006 (Pub. L. 109282), as amended by section 6202 of Public Law 110252, and implemented by 2 CFR Part 170. Grant and cooperative agreement recipients must report information for each first-tier subaward of \$25,000 or more in federal funds and executive total compensation for the recipients and subrecipients five most highly compensated executives as outlined in Appendix A to 2 CFR Part 170 (FFATA details are available online at <http://www.hrsa.gov/grants/ffata.html>). Competing continuation awardees, etc. may be subject to this requirement and will be so notified in the Notice of Award. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that

year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records three years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the three year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular three year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Project Grants) FY 12 \$4,364,325; FY 13 est \$0; and FY 14 est \$0

Range and Average of Financial Assistance:

FY10 awards: Range: \$578,745 (min) - \$749,960 (max)
Average: \$702,240

FY11 awards: Range: \$703,162 (min) - \$750,000 (max)
Average: \$740,577

FY12 Awards: Range: \$630,476 (min) - \$749,850 (max)
Average: \$727,388.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices. Kirk Koyama, Division of Nursing, Bureau of Health Professions, Health Resources and Services Administration, Department of Health and Human Services, 5600 Fishers Lane, Room 9-61, Rockville, MD 20857. Telephone: (301) 443-4926, Email: kkoyama@hrsa.gov.

Headquarters Office:

Kirk Koyama, Division of Nursing, Bureau of Health Professions, Health Resources and Services Administration, Department of Health and Human Services 5600 Fishers Lane, Room 9-61, Rockville, Maryland 20857 Email: kkoyama@hrsa.gov Phone: (301) 443-4926

Website Address:

<http://www.hrsa.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Competing applications are reviewed by nonfederal review panels for technical merit recommendations. Approval of an application is based on an analysis of the factors set forth in the application. See the section on Application Review in the funding opportunity announcement.

93.513 AFFORDABLE CARE ACT (ACA) ADVANCED NURSING EDUCATION EXPANSION INITIATIVE

ACA ANEE

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Section 811 of the Public Health Service Act (42 U.S.C. 296j), as amended by

the Affordable Care Act, Public Law 111-148.

OBJECTIVES:

The purpose of the Advanced Nursing Education Expansion (ANEE) Initiative is to 1) increase the number of students enrolled full time in accredited primary care Nurse Practitioner and Nurse Midwifery programs, and 2) accelerate the graduation of part time student in such programs by encouraging full time enrollment.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

The grantee is responsible for the disbursement of grant funds to eligible students. Stipend grant funds may be used as follows:

- 1) ANEE grant funds are used to support stipends awarded under the terms of the Notice of Award.
- 2) Stipends for students cannot exceed \$22,000 per year.
- 3) Full tuition and fees, reasonable living expenses and other educational costs are allowable costs.
- 4) Trainee travel (including daily commuting costs) is not an allowable expense.
- 5) Indirect Costs (Facilities and Administrative - F&A Costs) are applicable to the ANEE Program.

Applicant Eligibility:

Eligible applicants are collegiate schools of nursing, academic health centers, and other private or public entities accredited by a national nursing accrediting agency recognized by the Secretary of the U.S. Department of Education that offers and has students enrolled in primary care nurse practitioner programs and/or a nurse-midwifery program. The nurse midwifery program must be accredited by the Accreditation Commission on Midwifery Education. Federally Recognized Indian Tribal Government and Native American Organizations may apply if they are otherwise eligible.

Beneficiary Eligibility:

Project participants (students) must be U.S. Citizens, non-citizen nationals, or foreign nationals who possess visas permitting permanent residence in the United States. Individuals on temporary student visas are not eligible.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or accreditation which must be submitted prior to or simultaneous with submission of an application package. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. The grant application and required forms for this program can be obtained from Grants.gov. HRSA requires all applicants to apply electronically through Grants.gov.

Award Procedure:

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Notification is made in writing (electronic) by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The project period is for up to five years and the total five year budget period is awarded in the first year of support. See the following for information on how assistance is awarded/released: Grantees draw down funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

Program reports are required. The awardee will be required to submit annual performance and progress reports as well as status-federal financial reports (see below for general information on each required report). Cash reports are required. The awardee must submit a quarterly electronic Federal Financial Report (FFR) Cash Transaction Report via the Payment Management System within 30 days of the end of each calendar quarter. A Federal Financial Report (SF-425) is required within 90 days of the end of each budget period. A progress report must be submitted to HRSA on an annual basis. A final report is due within 90 days after the project period ends. If applicable, the awardee must submit a Tangible Personal Property Report (SF-428) and any related forms within 90 days after the project period ends. New awards (Type 1) issued under this funding opportunity announcement are subject to the reporting requirements of the Federal Funding Accountability and Transparency Act (FFATA) of 2006 (Pub. L. 109282), as amended by section 6202 of Public Law 110252, and implemented by 2 CFR Part 170. Grant and cooperative agreement recipients must report information for each first-tier subaward of \$25,000 or more in federal funds and executive total compensation for the recipients and subrecipients five most highly compensated executives as outlined in Appendix A to 2 CFR Part 170 (FFATA details are available online at <http://www.hrsa.gov/grants/ffata.html>). Competing continuation awardees, etc. may be subject to this requirement and will be so notified in the Notice of Award. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records three years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the three year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular three year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Project Grants) FY 12 \$0; FY 13 est \$0; and FY 14 est \$0 - All funds were awarded in FY10 with a five year budget and project period.

Range and Average of Financial Assistance:

Up to \$22,000 per full-time student for each year of the two-year program enrollment per student.

FY 2010 range: \$704,000 to \$1,425,600; average: \$1,194,009 (award amounts are for 5 years of funding per grantee).

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices. Joan Wasserman, Division of Nursing, Bureau of Health Professions, Health Resources and Services Administration, Department of Health and Human Services, 5600 Fishers Lane, Room 9B-45, Rockville, MD 20857. Telephone: (301) 443-5688.

Headquarters Office:

Joan Wasserman 5600 Fishers Lane, Room 9B-45, Rockville, Maryland 20857
Phone: (301) 443-5688

Website Address:

<http://www.hrsa.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Competing applications are reviewed by non-Federal expert consultant(s) for technical merit recommendations. Approval of an application will be based on analysis of the factors set forth in the application. See the section on Application Review in the funding opportunity announcement. In making awards of grants under this Section, preference will be given to any qualified applicant with a project that will substantially benefit rural or underserved populations, or help meet public health nursing needs in State or local health departments.

93.514 AFFORDABLE CARE ACT (ACA) EXPANSION OF PHYSICIAN ASSISTANT TRAINING PROGRAM

ACA EPAT

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Title VII, Section 747, as amended by the Patient Protection and Affordable Care Act (Public Law 111-148); and the Public Health Prevention Act Section 4002, Conference Report, H. Rept. 111-366, Division D (referencing S. Rept. 111-66), accompanying the Consolidated Appropriations Act, 2010, Public Law 111-117.

OBJECTIVES:

The Expansion of Physician Assistant Training Program is a five-year initiative to increase the number of physician assistants in the primary care workforce. Grantees are physician assistant education programs that commit to sustaining an increased number of students for five consecutive years. Awards facilitate this expansion by supporting higher enrollment by funding new student stipends, educational expenses, reasonable living expenses, and indirect costs.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Funds are to be distributed, through a competitive application process, to academically affiliated accredited physician assistant education programs and may only be used to provide stipends, educational expenses, reasonable living expenses, and indirect costs to physician assistant students. Personnel, staffing, fringe benefits, consultant cost, travel, equipment, supplies, subcontracts and other items are strictly prohibited under this grant award. Restricted Uses: Applicants may request support for only one physician assistant education

program. Funding requests may not be more or less than \$22,000 per student supported by the program per year (excluding grantee indirect costs).

Applicant Eligibility:

Eligible applicants include public or nonprofit academically affiliated physician assistant education programs whose purpose is to educate individuals that will be qualified to provide primary care medical services under the supervision of a physician. Applicants must be accredited by the Accreditation Review Commission on Education for the Physician Assistant (ARC-PA).

Beneficiary Eligibility:

Newly enrolled, full time physician assistant students in an accredited physician assistant education program who intend to work or teach in a primary care discipline after graduation. Project participants (students) must be U.S. Citizens, non-citizen nationals, or foreign nationals who possess visas permitting permanent residence in the United States. Individuals on temporary student visas are not eligible.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications that must be submitted prior to or simultaneous with submission of an application package. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Grant applications and required forms for this program can be obtained from Grants.gov. HRSA requires all applicants to apply electronically through Grants.gov.

Award Procedure:

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Notification of award is made in writing (electronic) by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awards were funded for five (5) years of support. See the following for information on how assistance is awarded/released: Grantees drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

Program reports are required. The awardee will be required to submit annual performance and progress reports as well as status-federal financial reports (see below for general information on each required report). Cash reports are required. The awardee must submit a quarterly electronic Federal Financial Report (FFR) Cash Transaction Report via the Payment Management System within 30 days of the end of each calendar quarter. A Federal Financial Report

(SF-425) is required within 90 days of the end of each budget period. A final report is due within 90 days after the project period ends. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records three years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the three year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular three year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Project Grants) FY 12 \$0; FY 13 est \$0; and FY 14 est \$0 - Awards were funded for five year project/budget period in FY 10.

Range and Average of Financial Assistance:

The range of total awards is \$641,520 to \$2,117,808.
The average total award for the five year project period is \$738,144.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for state, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices. Sherrilyn Crooks, PA, Division of Medicine and Dentistry, Bureau of Health Professions, Health Resources and Services Administration, Department of Health and Human Services, 5600 Fishers Lane, Rockville, MD 20857, Telephone: (301) 443-7662, Email: SCrooks@hrsa.gov.

Headquarters Office:

Division of Medicine and Dentistry, Bureau of Health Professions, HRSA, DHHS 5600 Fishers Lane, Room 9A-27, Rockville, Maryland 20857 Phone: (301) 443-6190 Fax: (301) 443-8890

Website Address:

<http://www.hrsa.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Procedures for assessing the technical merit of grant applications have been instituted to provide an objective review of applications and to assist the applicant in understanding the standards against which each application will be judged. Critical indicators have been developed for each review criterion to assist the applicant in presenting pertinent information related to that criterion and to provide the reviewer with a standard for evaluation. Competing applications are reviewed by nonfederal reviewers for technical merit recommendations.

93.515 AFFORDABLE CARE ACT (ACA) NURSE-MANAGED HEALTH CLINICS

ACA NMHC

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Section 330A-1 of the Public Health Service Act (42 U.S.C. 254b et seq.) as added by Section 5208 of the Patient Protection and Affordable Care Act, Public Law 111-148; and Section 4002 of the Patient Protection and Affordable Care Act, Public Law 111-148.

OBJECTIVES:

To provide federal funding to support the development and operation of Nurse-Managed Health Clinics (NMHC) to: 1) improve access to primary health care, disease prevention and health promotion in medically underserved areas (including enhancements of outreach strategies); 2) enhance nursing practice by increasing the number of structured clinical teaching sites for undergraduate and graduate nursing students; and 3) enhance electronic processes for establishing effective patient and workforce data collection systems. Under this program, the focus would support the training and practice development site for nurse practitioners to build the capacity of the primary care provider workforce.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Awards may be used to support the operation of nurse managed centers, to enhance electronic data collection systems, to support training programs for nurse practitioner students and students of other health related disciplines, and to support the enhancement of patient care and accessibility.

Applicant Eligibility:

Eligible applicants must be nurse-practice arrangements managed by advanced practice nurses that provide primary care or wellness services to underserved or vulnerable populations and are associated with a school, college, university, or department of nursing, federally qualified health center or independent nonprofit health or social services agency or Federally Recognized Indian Tribal Government and Native American Organization.

Beneficiary Eligibility:

Beneficiaries include the following: individual/family; specialized group; health professionals; education profession; student/trainee; graduate student.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package.

Any Nurse Managed Clinic that will have undergraduate or graduate nursing students receiving clinical education or experiences during this grant period must provide a Memorandum of Agreement with the school(s) of nursing for clinical placement arrangement. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. All applicants are required to apply electronically through Grants.gov.

Award Procedure:

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Notification is made in writing (electronic) by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Competing grant applications are submitted for up to a three year grant period and the total three year budget is awarded in the first year of support. See the following for information on how assistance is awarded/released: Grantees draw down funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

The awardee will be required to submit annual performance and progress reports as well as status-federal financial reports (see below for general information on each required report). Cash reports are required. The awardee must submit a quarterly electronic Federal Financial Report (FFR) Cash Transaction Report via the Payment Management System within 30 days of the end of each calendar quarter. A Federal Financial Report (SF-425) is required within 90 days of the end of each budget period. A progress report must be submitted to HRSA on an annual basis. A final report is due within 90 days after the project period ends. If applicable, the awardee must submit a Tangible Personal Property Report (SF-428) and any related forms within 90 days after the project period ends. New awards (Type 1) issued under this funding opportunity announcement are subject to the reporting requirements of the Federal Funding Accountability and Transparency Act (FFATA) of 2006 (Pub. L. 109282), as amended by section 6202 of Public Law 110252, and implemented by 2 CFR Part 170. Grant and cooperative agreement recipients must report information for each first-tier subaward of \$25,000 or more in federal funds and executive total compensation for the recipients and subrecipients five most highly compensated executives as outlined in Appendix A to 2 CFR Part 170 (FFATA details are available online at <http://www.hrsa.gov/grants/ffata.html>). Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records three years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the three year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular three year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Project Grants) FY 12 \$0; FY 13 est \$0; and FY 14 est \$0 - All awards, totaling \$15,000,000 were made in FY10.

Range and Average of Financial Assistance:

Range: N/A

Average: \$1,500,000 (award amounts are for 3 years of funding per grantee, for fiscal years 2010 through 2012.).

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices. Josepha Burnley, Division of Nursing, Bureau of Health Professions, Health Resources and Services Administration, Department of Health and Human Services, 5600 Fishers Lane, Room 9-61, Rockville, MD. Telephone: (301) 443-5688.

Headquarters Office:

Josepha Burnley 5600 Fishers Lane, Room 9-61, Rockville, Maryland 20857
Phone: (301) 443-5688

Website Address:

<http://www.hrsa.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Competing applications are reviewed by non-Federal expert consultant(s) for technical merit recommendations. Approval of an application will be based on analysis of the factors set forth in the applicants proposal. See the section on Application Review in the funding opportunity announcement. An administrative preference is available for applicants affiliated with a university, college, or department of nursing. NMHCs must ensure that a minimum of 30% of their training slots are available for advanced practice nursing students, and 100% must be available for training for primary/ community health care provider students. The program has a funding preference shall be granted to applicants with projects that will substantially benefit rural or underserved populations, or help meet public health nursing needs in State or local health departments.

93.516 AFFORDABLE CARE ACT (ACA) PUBLIC HEALTH TRAINING CENTERS PROGRAM

ACA PPHF - PHTC Program

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Title VII, Sections 765 and 766 of the Public Health Service Act (42 U.S.C. 295a), as amended by Public Law 105-392 Health Professions Education Partnerships Act of 1998 and the Patient Protection and Affordable Care Act of 2010, Public Law 111-148.

OBJECTIVES:

To improve the Nation's public health system by strengthening the technical, scientific, managerial and leadership competencies and capabilities of the current and future public health workforce. Emphasis is placed on developing the existing public health workforce as a foundation for improving the infrastructure of the public health system and helping achieve the Healthy People 2020 Objectives. With respect to a public health training center, applicants must agree to: (1) specifically designate a geographic area, including medically underserved populations, e.g., elderly, immigrants/refugees,

disadvantaged, to be served by the Center that shall be in a location removed from the main location of the teaching facility of the school participating in the program with a Center; (2) assess the public health personnel needs of the area to be served by the Center and assist in the planning and development of training programs to meet their needs; (3) establish or strengthen field placements for students in public or nonprofit private public health agencies or organizations; and (4) involve faculty members and students in collaborative projects to enhance public health services to medically underserved communities.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Grant funds may support salaries, stipends, consultant costs, equipment, and travel as requested to develop and implement a Public Health Training Center. Grant funds may not be used for construction or patient services.

Applicant Eligibility:

Accredited schools of public health or other public or nonprofit private institutions accredited for the provision of graduate or specialized training in public health may apply. Federally Recognized Indian Tribal Government and Native American Organizations may apply if they are otherwise eligible.

Beneficiary Eligibility:

Accredited schools of public health or other public or nonprofit private institutions accredited for the provision of graduate or specialized training will benefit.

Credentials/Documentation:

Applicants should review the individual HRSA Guidance documents issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Grant applications and required forms for this program can be obtained from Grants.gov. Please visit the Grants.gov Web site at www.grants.gov to both find and apply for all Federal grant opportunities. HRSA requires all applicants to apply electronically through Grants.gov.

Award Procedure:

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Notification is made in writing (electronic) by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

At the end of the initial project period, competing continuation applications may be submitted.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Project periods range from two to three years. See the following for information on how assistance is awarded/released: Grantees draw down funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

Program reports are required. The awardee will be required to submit annual performance and progress reports as well as status-federal financial reports (see below for general information on each required report). Cash reports are required. The awardee must submit a quarterly electronic Federal Financial Report (FFR) Cash Transaction Report via the Payment Management System within 30 days of the end of each calendar quarter. A Federal Financial Report (SF-425) is required within 90 days of the end of each budget period. A final report is due within 90 days after the project period ends. If applicable, the awardee must submit a Tangible Personal Property Report (SF-428) and any related forms within 90 days after the project period ends. New awards (Type 1) issued under this funding opportunity announcement are subject to the reporting requirements of the Federal Funding Accountability and Transparency Act (FFATA) of 2006 (Pub. L. 109282), as amended by section 6202 of Public Law 110252, and implemented by 2 CFR Part 170. Grant and cooperative agreement recipients must report information for each first-tier subaward of \$25,000 or more in federal funds and executive total compensation for the recipients and subrecipients five most highly compensated executives as outlined in Appendix A to 2 CFR Part 170 (FFATA details are available online at <http://www.hrsa.gov/grants/ffata.html>). Competing continuation awardees, etc. may be subject to this requirement and will be so notified in the Notice of Award. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records three years after the date they submit the Financial Status Report (FSR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the three year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular three year period, whichever is later.

Account Identification:

75-0353-0-1-552.

Obligations:

(Cooperative Agreements) FY 12 \$23,266,570; FY 13 est \$3,555,158; and FY 14 est \$3,555,158

Range and Average of Financial Assistance:

FY 2012 Range: \$129,649 to \$650,000; Average \$628,826

FY13 Range, est \$100,000 - \$150,000; average, est \$125,000

FY14 Range, est \$100,000 - \$150,000; average, est \$125,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for state, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices. Julia Sheen-Aaron, Chief, Public Health Branch, Division of Public Health and Interdisciplinary Education, Bureau of Health Professions, Health Resources and Services Administration, Department of Health and Human Services, Room 9-C-05, 5600 Fishers Lane, Rockville, Maryland 20857 Email: JSheen-aaron@hrsa.gov, Phone: (301) 443-3875

Marian Ladipo, Public Health Analyst, Public Health Branch, Division of Public Health and Interdisciplinary Education, Bureau of Health Professions, Health Resources and Services Administration, Department of Health and Human Services, Room 9-C-05, 5600 Fishers Lane, Rockville, MD 20857. Telephone: (301) 443-7756 and E-mail address at mladipo@hrsa.gov.

Angela Landeen Public Health Analyst, Public Health Branch, Division of Public Health and Interdisciplinary Education, Bureau of Health Professions, Health Resources and Services Administration, Department of Health and Human Services, Room 9C-05, 5600 Fishers Lane, Rockville, MD 20857. Telephone: (301) 443-1550 and E-mail address at alandeen@hrsa.gov.

Headquarters Office:

Julia Sheen-Aaron Chief, Public Health Branch, Division of Public Health and Interdisciplinary Education, Bureau of Health Professions Health Resources and Services Administration, Department of Health and Human Services, 5600 Fishers Lane, Room 9C-05, Rockville, Maryland 20857 Email: jsheen-aaron@hrsa.gov Phone: 301-443-3875

Website Address:

<http://www.hrsa.gov>

RELATED PROGRAMS:

93.249 Public Health Training Centers Grant Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Procedures for assessing the technical merit of applications have been instituted to provide for an objective review of applications and to assist the applicant in understanding the standards against which each application will be judged. Critical indicators have been developed for each review criterion to assist the applicant in presenting pertinent information related to that criterion and to provide the reviewer with a standard for evaluation. Selection criteria can be found in the program guidance at www.grants.gov.

93.517 AFFORDABLE CARE ACT AGING AND DISABILITY RESOURCE CENTER

Affordable Care Act ADRC

FEDERAL AGENCY:

Administration for Community Living, Department of Health and Human Services

AUTHORIZATION:

Patient Protection and Affordable Care Act, Title III, Part III, Section 2405, Public Law 111-111-148, III Stat. 930, 1395W U.S.C 3306.

OBJECTIVES:

ADRCs are designed to serve as visible and trusted sources where people can turn to for objective information on their long-term services and support options and their Medicare benefits. These programs also provide one-on-one counseling and advice to help consumers, including private pay individuals, to fully understand how available options relate to their particular needs, as well as streamlined access to all publicly supported long-term services and support programs. Funding under this Program will help states further develop and strengthen their statewide systems of person-centered information, counseling and access. Through this grant program, states and local aging and disability programs will receive funds to provide outreach and assistance to Medicare beneficiaries on their Medicare benefits including prevention; use additional funds through a competitive process, to provide Options Counseling on health and long term care through ADRCs; use additional funds through a competitive process in existing Money Follows the Person states for Nursing Home

Transitions and Diversions; and finally, AoA and CMS will be funding states, through a competitive process, to strengthen the role of ADRCs in the implementation of Evidence-Based Care Transition models that integrate the medical and social service systems to help older individuals and those with disabilities remain in their own homes and communities after a hospital, rehabilitation or skilled nursing facility visit and avoid unnecessary readmission.

(A) to serve as visible and trusted sources of information on the full range of long-term care options that are available in the community, including both institutional and home and community-based care;

(B) to provide personalized and consumer friendly assistance to empower people to make informed decisions about their care options;

(C) to provide coordinated and streamlined access to all publicly supported long-term care options so that consumers can obtain the care they need through a single intake, assessment and eligibility determination process;

(D) to help people to plan ahead for their future long-term care needs; and

(E) to assist, in coordination with the State Health Insurance Assistance Program, Medicare beneficiaries in understanding and accessing the Prescription Drug Coverage and prevention health benefits available under the Medicare Modernization Act.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

1. Support the participation of State staff, local ADRC staff, partners, consumers and other stakeholders in the process of developing State-specific standards for ADRC Options Counseling.
2. Implement the standard operating procedures statewide or in at least one ADRC site.
3. Provide training for new or existing options counselors to meet the new standards.
4. Expand options counseling services to a new population or into a new setting or situation.
5. Modify existing IT/MIS client tracking systems and protocols as needed to meet new Options Counseling standards.
6. Gather and analyze service delivery and consumer outcomes data.
7. Gather feedback from ADRC staff and stakeholders about their experience with the new standards to contribute toward future improvement and refinement.
8. Support the participation of State staff, local ADRC staff, partners, consumers and other stakeholders in collaborative process of establishing minimum national standards for options counseling (regular conference calls and two in-person conferences). The following activities are not fundable:
 Construction and/or major rehabilitation of buildings
 Basic research (e.g. scientific or medical experiments)
 Continuation of existing projects without expansion or new and innovative approaches. This is a discretionary grant program that will accept applications and issue awards based on merit. There is \$10M set aside for the ACA - ADRC program.

Applicant Eligibility:

ADRCs are designed to serve as visible and trusted sources where people can turn to for objective information on their long-term services and support options and their Medicare benefits. These programs also provide one-on-one counseling and advice to help consumers, including private pay individuals, to fully understand how available options relate to their particular needs, as well as streamlined access to all publicly supported long-term services and support programs. Funding under this Program will help states further develop and strengthen their statewide systems of person-centered information, counseling and access. Through this grant program, states and local aging and disability programs will receive funds to provide outreach and assistance to Medicare beneficiaries on their Medicare benefits including prevention; use additional funds through a competitive process, to provide Options Counseling on health and long term care through ADRCs; use additional funds through a competitive process in existing Money Follows the Person states for Nursing Home Transitions and Diversions; and finally, AoA and CMS will be funding states, through a competitive process, to strengthen the role of ADRCs in the implementation of Evidence-Based Care Transition models that integrate the medical and social service systems to help older individuals and those with

disabilities remain in their own homes and communities after a hospital, rehabilitation or skilled nursing facility visit and avoid unnecessary readmission.

Beneficiary Eligibility:

N/A.

Credentials/Documentation:

A. Applicant is a State Agency or instrumentality of the State (e.g., State Unit on Aging, State Medicaid Agency, State Disability Agencies);

B. Applicant has received an award to implement ADRCs through the AoA and CMS ADRC grants funded in fiscal years 2003, 2004, 2005 and 2009, and/or the CMS Person-Centered Hospital Discharge Planning Model Grants. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Grant applications and required forms for this program can be obtained from Grants.gov. AoA requires all applicants to apply electronically through Grants.gov.

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the AoA program official with delegated authority is responsible for final selection and funding decisions

Award Procedure:

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the AoA program official with delegated authority is responsible for final selection and funding decisions. Notification is made in writing by a Notice of Grant Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

From 90 to 120 days.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. This program does not have MOE requirements. Not Applicable.

Length and Time Phasing of Assistance:

Project period 24 months. See the following for information on how assistance is awarded/released: Payment Management System.

Reports:

No program reports are required. SF-272 completed at the Payment Management System website. Semi-Annual, due every 6 months from the start of the project period, with a final report due 90 days after the award expiration date. SF-425, due annually. Site visits and standard project monitoring requirements apply.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. See above.

Records:

Grantees are required to maintain grant accounting records 3 years after the date they submit the Financial Status Report (FSR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0142-0-1-506.

Obligations:

(Salaries) FY 12 \$5,593,757; FY 13 est \$0; and FY 14 est \$0

Range and Average of Financial Assistance:

Average \$699,220.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable. AoA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of program.

Regional or Local Office:

None. Not Applicable.

Headquarters Office:

Joe Lugo Administration for Community Living
One Massachusetts Ave., NW, Washington, District of Columbia 20001 Email:
Joe.Lugo@acl.hhs.gov Phone: (202) 357-3417 Fax: (202) 357-3469

Website Address:

<http://www.acl.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications are scored by assigning a maximum of 100 points across four criteria during the merit review process:

- A. Current Program Status and Proposed Approach - (50 points);
- B. Organizational Capacity - (20 points).
- C. Project Management and Stakeholder Participation - (20 points).
- D. Work Plan and Budget (10 points);.

93.518 AFFORDABLE CARE ACT - MEDICARE IMPROVEMENTS FOR PATIENTS AND PROVIDERS

(ACA MIPPA)

FEDERAL AGENCY:

Administration for Community Living, Department of Health and Human Services

AUTHORIZATION:

The Medicare Improvements for Patients and Providers Act of 2008 Section 119, Public Law (PL) 110-275 as amended by section 3306 of the Patient Protection and Affordable Care Act of 2010 (Affordable Care Act),.

OBJECTIVES:

To provide outreach to eligible Medicare beneficiaries regarding the benefits available under title XVIII of the Social Security Act, including the Medicare prescription drug benefit under Part D of title XVIII of the Social Security Act and under the Medicare Savings Program, and to coordinate efforts to inform older Americans about benefits available under Federal and state programs.

TYPES OF ASSISTANCE:

Formula Grants; Project Grants

USES AND USE RESTRICTIONS:

As a condition for receiving funding, States are required to submit one plan for

Sections 1 through 3. States should describe how the SHIP, AAA and ADRC efforts will be coordinated to provide outreach to beneficiaries with limited incomes statewide, for general Part D outreach and assistance to beneficiaries in rural areas, and for outreach aimed at preventing disease and promoting wellness.

Outreach efforts of the SHIP will be coordinated with the related outreach efforts of, AAAs and ADRCs to provide assistance to beneficiaries with limited income for LIS and MSP, and provide general Part D outreach and assistance on Medicare benefits. Specifically, States should expand their efforts to reach beneficiaries through coordinated efforts.

Applicant Eligibility:

Not Applicable.

Beneficiary Eligibility:

Not Applicable.

Credentials/Documentation:

As a condition for receiving funding, all State agencies participating in this announcement will submit one joint plan that will be signed by each participating State agency. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. AC released a PA on June 2, 2010 and it is posted on Grants.gov. States were also notified through a press release and AoA/CMS communications channels.

Award Procedure:

States will submit a single state plan that is due to AoA by July 30, 2010. The plan is reviewed and evaluated by the Federal Agency for compliance with the announcement and statute. Notice of Awards issued by the Agency upon approval of the plan.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. Not Applicable.

This program does not have MOE requirements. Not Applicable.

Length and Time Phasing of Assistance:

Project period will be 24 months. See the following for information on how assistance is awarded/released: Payment Management System.

Reports:

9 month program report and a 24 month project report. SF272 - prepared at PMS. Progress reports are not applicable. Annual SF-425 reports. Site visits and standard project monitoring requirements apply.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records 3 years after the date they submit the Financial Status Report (FSR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0142-0-1-506.

Obligations:

(Salaries) FY 12 \$0; FY 13 est \$0; and FY 14 est \$0

Range and Average of Financial Assistance:

Formula Based range for awards.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable. AoA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.aoa.gov/AoARoot/Grants/Terms/index.aspx>

Regional or Local Office:

None.

Headquarters Office:

Katherine Glendening One Massachusetts Ave., Washington, District of Columbia 20001 Email: Katherine.Glendening@acl.hhs.gov Phone: (202) 357-3589 Fax: (202) 357-3467

Website Address:

<http://www.acl.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

States are expected to establish and meet measurable performance goals for the various MIPPA funding opportunities. Plans for funding formula under MIPPA under the Affordable Care Act will be reviewed by AoA and CMS based on the requirements of the Program Announcement. AoA and CMS will contact applicants to negotiate any questions or concerns before issuing a Notice of Award.

93.519 AFFORDABLE CARE ACT (ACA) CONSUMER ASSISTANCE PROGRAM GRANTS

FEDERAL AGENCY:

Centers for Medicare and Medicaid Services, Department of Health and Human Services

AUTHORIZATION:

The Public Health Service Act Section 2793, as amended by Section 1002 of the ACA.

OBJECTIVES:

To assist consumers with filing complaints and appeals, assist consumers with enrollment into health coverage, and educate consumers on their rights and responsibilities. In addition, by law they will collect data on consumer inquires and complaints to help the Secretary identify problems in the marketplace and strengthen enforcement. Starting in 2014, programs must also help resolve problems with premium credits for Exchange coverage.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Grant Funds are to be used as outlined in Section 1002 of the Affordable Care

Act (ACA). Section 1002 also includes specific restrictions for these grant funds. ..

Applicant Eligibility:

Consumer Assistance program grants are grants to States.

Beneficiary Eligibility:

Projects will benefit (1) Consumer Assistance Program.

Credentials/Documentation:

Applicants should review the funding opportunity announcement documents issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Grant applications and required forms for this program can be obtained from Grants.gov. CCHIO requires all applicants to apply electronically through Grants.gov

Award Procedure:

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the CCHIO program with delegated authority is responsible for final selection and funding decisions. Notification is made in writing by a Notice of Grant Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Section 1002 of the ACA states that grants shall be awarded to States for the creation or expansion of consumer assistance or ombudsman program. Awards made under this grant will be for 12 months. Method of awarding/releasing assistance: lump sum.

Reports:

As required under the Public Health Service (PHS) Act Section 2793(d), awardees are expected to submit quarterly data reports to HHS. Quarterly reports will be due 30 days after the end of each quarter. Awardees must also agree to respond to requests and provide data on consumer assistance activities as needed by the Secretary. Awardees must also submit a quarterly electronic Federal Financial Report (FFR) Cash Transaction Report via the Payment Management System. The report identifies cash expenditures against the authorized funds for the grant. The FFR Cash Transaction Report must be filed within 30 days of the end of each quarter. An additional Final Financial Report is due within 90 days of the end of the grant period of performance. Go to www.dpm.psc.gov for additional information. Please also refer to the funding opportunity announcement for required reports. Performance monitoring may include training, technical assistance, conference calls, and seminars. Submit a quarterly electronic Federal Financial Report (FFR) Cash Transaction Report via the Payment Management System. The report identifies cash expenditures against the authorized funds for the grant. The FFR Cash Transaction Reports must be filed within 30 days of the end of each quarter. Failure to submit the report may result in the inability to access grant funds. Go to

www.dpm.psc.gov for additional information. Refer to funding opportunity announcement for required reports. Submit a Financial Status Report (FSR or SF-269) directly to OS. The report identifies cash expenditures against the authorized funds for the grant. The Financial Status Report must be filed annually. Failure to submit the report may result in the inability to access subsequent grant funds. Performance monitoring may include training, technical assistance, conference calls, and seminars.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records and progress reports 3 years after the date they submit the final Federal Financial Report. If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0511-0-1-551.

Obligations:

(Project Grants) FY 12 \$32,459,356; FY 13 est \$29,000,000; and FY 14 Estimate Not Available

Range and Average of Financial Assistance:

Available funds per applicant are based on State populations and requested funding amount. The award minimum is \$200,000 unless applicant requests less than the minimum award amount.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: N/A. Fiscal Year 2013: N/A. Fiscal Year 2014: N/A.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments or 45 CFR Part 74 for institutions of higher education, hospitals, other non profit organizations and commercial organizations, as applicable.

CCIIO awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://dhhs.gov/asfr/ogapa/aboutog/ogpoe.html>

Regional or Local Office:

See Regional Agency Offices. Holly Whelan, Consumer Support Group, Center for Consumer Information and Insurance Oversight, Department of Health and Human Services, HHH Building, 200 Independence Ave., S.W., Washington D.C. 20201.

Headquarters Office:

Michelle T. Feagins, 200 Independence Ave., SW, Washington, District of Columbia 20201 Email: michelle.feagins@hhs.gov Phone: (202) 260-6121.

Website Address:

<http://www.cciio.cms.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Approval of an application will be based on an analysis of the factors set forth in Section V--Application Review in the funding opportunity announcement.

93.520 CENTERS FOR DISEASE CONTROL AND PREVENTION

AFFORDABLE CARE ACT (ACA) COMMUNITIES PUTTING PREVENTION TO WORK

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

This program is authorized under section 301(a) and 317(k)(2) Health Care and Education Affordability Reconciliation Act of 2010, Public Health Service Act, 42 U.S. Code 241(a) and 247b (k) 2311.

OBJECTIVES:

This funding was appropriated under the Health Care and Education Affordability Reconciliation Act of (Public Health Service Act, 42 U.S. Code 241(a) and 247b (k) (2) to reduce Chronic Disease risk factors, prevent and delay chronic disease, promote wellness, and better manage chronic conditions. This initiative will address the following: Increased levels of physical activity Improved nutrition (e.g. increased fruit/vegetable consumption, reduced salt and transfats); Decreased smoking prevalence and decreased teen smoking initiation; and Decreased exposure to secondhand smoke.

Communities funded under this announcement will accomplish this by implementing population-based approaches such as policy, systems, and environmental changes across 5 evidence-based strategies access, media, point of decision information, social support services, and price in both schools and communities. Both components are necessary to achieve behavior change in youth that sustain from school settings into family and community settings, and supports sustaining healthy behavior into adulthood. Communities funded by this announcement will receive a menu of evidence-based interventions along with support and tools from the Centers for Disease Control and Prevention (CDC) to strengthen and develop effective strategies tailored to their needs.

The cooperative agreements will address the Healthy People 2010 focus areas of obesity, cardiovascular disease, tobacco, and educational and community-based programs; the Health Protection Goals of Healthy People in Every Stage of Life which states that all people, and especially those at greater risk of health disparities, will achieve their optimal lifespan with the best quality of health in every stage of life, and Healthy People in Healthy Places which states that the places where people live, work, learn, and play will protect and promote their health and safety, especially those at greater risk of health disparities..

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Of the \$34 million dollars will be used to support intensive community approaches to chronic disease prevention and control described in Section 0.50 above. The use of and use restrictions are as follows:

Recipients may only expend funds for reasonable program purposes, including personnel, travel, supplies, and services, such as contractual to reduce risk factors, prevent and delay chronic disease, promote wellness, and better manage chronic conditions in the following areas: to increased levels of physical activity, improved nutrition (e.g. increased fruit/vegetable consumption, reduced salt and transfats); decreased smoking prevalence and decreased teen smoking initiation; and to decreased exposure to secondhand smoke.

Recipients may not use funds for research.

Recipients may not use funds for clinical care.

Recipients may not generally use HHS/CDC/ATSDR funding for the purchase of furniture or equipment. Any such proposed spending must be identified in the budget.

The recipient must perform a substantial role in carrying out project objectives and not merely serve as a conduit for an award to another party or provider who is ineligible.

Recipients may not spend more than 10% of the total award on nicotine replacement therapy.

Applicant Eligibility:

Eligible applicants that can apply for this funding opportunity are listed below: All grant applications not funded under DP09-912ARRA consisting of Federally recognized Tribal Governments, Regional Area Indian Health Boards,

Urban Indian organizations, and Inter-Tribal Councils as designated by the Principal Tribal elected official or chief executive officer County/City Health Departments

The official state health department (or its bona fide agent), or its equivalent, as designated by the Governor, is to serve as the lead/fiduciary agency for Small City and Rural Community applications. For this announcement, the term State includes the 50 states, the Commonwealth of Puerto Rico, the Virgin Islands, the Commonwealth of the Northern Mariana Islands, American Samoa, Guam, the Federated States of Micronesia, the Republic of the Marshall Islands, and the Republic of Palau.

The official local health department (or its bona fide agent), or its equivalent, as designated by the mayor, county executive, or other equivalent governmental official.

All grantees funded under funding opportunity announcement CDC-RFA-DP09-901 and CDC-RFA-DPPO-902 which are all official state health departments (or its bona fide agent) of the 50 states, the District of Columbia, Puerto Rico and the U.S. Virgin Islands, the Commonwealth of Puerto Rico, the Virgin Islands, the Commonwealth of the Northern Mariana Islands, American Samoa, Guam, the Federated States of Micronesia, the Republic of the Marshall Islands, and the Republic of Palau.

Beneficiary Eligibility:

Any State, local health department (as described above), and other public entities will benefit.

Credentials/Documentation:

Applicants will be required to provide the following information: Demonstrate that they have a coalition in place with experience in promoting health promotion or prevention of chronic disease outcomes or experience that relates to improving chronic disease outcomes, including the capacity to influence policy, system and/or environmental changes in the community. Demonstrate that they have an existing community action plan in place related to improving behaviors that lead to chronic diseases through changing policy, systems and/or environment. Provide documentation that they have received a grant of sufficient size to indicate the ability to adhere to Federal requirements and to expend a large amount of funds in the project period outlined by the Communities Putting Prevention to Work Initiative. Ensure that at least 50% of the total award is spent extramurally. Provide letters of support from school districts indicating support for implementing the YRBS survey for baseline and follow-up data.

Successful applicants will also be required to meet quarterly benchmarks for the Communities Putting Prevention to Work Initiative. At the end of each quarter, the grantee will receive a score card that indicates the percentage of benchmarks being met (100%-70% of benchmarks = green; 70%-50% of benchmarks = yellow; less than 50% of benchmarks = red). OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Applicants must download the SF424 application forms through Grants.gov/Apply. Only the forms package directly attached to a specific Funding Opportunity Announcement (FOA) can be used. If an applicant does not have access to the Internet, or if they have difficulty accessing the forms online, contact the CDC Procurement and Grants Office Technical Information Management Section (PGOTIMS) staff. For this, or further assistance, contact PGO TIMS: Telephone (770) 488-2700, Email: PGOTIM@cdc.gov. HHS/CDC Telecommunications for the hearing impaired: TTY 770-488-2783.

Award Procedure:

All applications that were complete and responsive under FOA

DP09-912ARRA to this competitive funding opportunity announcement were evaluated for programmatic and technical merit. Applications were reviewed for completeness by the Procurement and Grants Office (PGO) staff and for responsiveness jointly by the National Center for Chronic Disease Prevention and Health Promotion and PGO. Incomplete applications and applications that were non-responsive to the eligibility criteria did not advance through the review process. Applicants were notified the application did not meet submission requirements.

The panel was comprised of a primary, secondary, and tertiary reviewer that will score the applications and document their strengths and weaknesses. The applications were scored against the criteria not against one another. Successful applicants received a Notice of Award (NOA) from the CDC Procurement and Grants Office. The NOA shall be the only binding, authorizing document between the recipient and CDC. The NOA will be signed by an authorized Grants Management Officer.

A technical review panel will evaluate complete and responsive applications according to the evaluation criteria listed in funding opportunity announcements D09-901 and DP09-902.

An objective review panel will evaluate complete and responsive applications according to the evaluation criteria listed in funding opportunity announcement.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Renewals will be based upon the availability of funding under the Affordable Care Act (ACA).

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Project Period: Until April 30, 2012. Method of awarding/releasing assistance: lump sum.

Reports:

Recipients of Federal awards from funds authorized under Health Care and Education Affordability Reconciliation of Act of 2010 must comply with all requirements specified in: (a) The amount of unobligated award balances; (b) A detailed list of all projects or activities for which Health Care Reform Act funds under this award were obligated and expended, including: (1) the name of the project or activity; (2) a description of the project or activity; (3) an evaluation of the completion status of the project or activity; (4) for infrastructure investments made by State and local governments, the purpose, total cost, and rationale of the agency for funding the infrastructure investment with funds made available under this Act, and the name of the person to contact at the agency if there are concerns with the infrastructure investment; (c) Detailed information on any sub-awards (sub-contracts or sub-grants) made by the grant recipient. (d) Recipients must account for each Health Care Reform Act award and sub-award (sub-grant and sub-contract) separately. Recipients will draw down Health Care Reform Act funds on an award-specific basis. Pooling of Health Care Reform Act award funds with other funds for drawdown or other purposes is not permitted. (e) Recipients must account for each Health Care Reform Act award separately by referencing the assigned CFDA number for each award. Additional reporting requirements will be detailed in Funding Opportunity Announcements and included in the award notice. Final financial status and performance reports are required 90 days after the end of the project period. No cash reports are required. Recipients of Federal awards from funds authorized under Health Care and Education Affordability Reconciliation of Act of 2010 must comply with all requirements specified in: (a) The amount of unobligated award balances; (b) A detailed list of all projects or activities for which Health Care Reform Act funds under this award were obligated and expended, including: (1) the name of the project or

activity; (2) a description of the project or activity; (3) an evaluation of the completion status of the project or activity; (4) for infrastructure investments made by State and local governments, the purpose, total cost, and rationale of the agency for funding the infrastructure investment with funds made available under this Act, and the name of the person to contact at the agency if there are concerns with the infrastructure investment; (c) Detailed information on any sub-awards (sub-contracts or sub-grants) made by the grant recipient. (d) Recipients must account for each Health Care Reform Act award and sub-award (sub-grant and sub-contract) separately. Recipients will draw down Health Care Reform Act funds on an award-specific basis. Pooling of Health Care Reform Act award funds with other funds for drawdown or other purposes is not permitted. (e) Recipients must account for each Health Care Reform Act award separately by referencing the assigned CFDA number for each award. Additional reporting requirements will be detailed in Funding Opportunity Announcements and included in the award notice. Final financial status and performance reports are required 90 days after the end of the project period. No cash reports are required. Additional reporting requirements will be detailed in Funding Opportunity Announcements and included in the award notice. Final financial status and performance reports are required 90 days after the end of the project period. Additional reporting requirements will be detailed in Funding Opportunity Announcements and included in the award notice. Final financial status and performance reports are required 90 days after the end of the project period.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the grant program must be kept readily available for review by personnel authorized to examine PHS grant accounts. Financial records, supporting documentation, statistical records, and all other records pertinent to an award shall be retained for a minimum of 3 years, or until completion and resolution of any audit in process or pending resolution. In all cases records must be retained until resolution of any audit questions. Property records must be retained in accordance with 45 CFR 92.42 requirements.

Account Identification:

75-0943-0-1-550.

Obligations:

(Salaries) FY 12 \$21,045,024; FY 13 est \$15,613,166; and FY 14 est \$15,613,166 - Estimate for FY11 to support ACA Quitline program. 1 year budget/project period.

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Objective have been met. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

MEMORANDUM FOR THE HEADS OF DEPARTMENTS AND AGENCIES: Updated Implementing Guidance for the American Recovery and Reinvestment Act of 2009
Code of Federal Regulations 45 CFR Part 92

Regional or Local Office:

None.

Headquarters Office:

Mike Waller, 4770 Buford Hwy, NE, Mailstop K45, Atlanta, Georgia 30341
Email: MNW1@cdc.gov Phone: (770) 488-5264.

Website Address:

<http://www.cdc.gov/>; and www.Grants.gov

RELATED PROGRAMS:

93.283 Centers for Disease Control and Prevention_Investigations and Technical Assistance

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Funding would be specifically directed to state and local health departments for Evidenced-Based Clinical and Community-Based Prevention and Wellness activities. CDC will require state applicants to specifically address in their applications state plans for sustaining the impact of Health Care Reform Act investments beyond the federal funding provided in the next two years. Specifically, states will need to demonstrate a continued plan for progress toward meeting HHS Action Plan prevention targets as demonstrated through reporting metrics outlined in the Plan. Other criteria will be listed in individual funding opportunity announcements.

93.521 THE AFFORDABLE CARE ACT: BUILDING EPIDEMIOLOGY, LABORATORY, AND HEALTH INFORMATION SYSTEMS CAPACITY IN THE EPIDEMIOLOGY AND LABORATORY CAPACITY FOR INFECTIOUS DISEASE (ELC) AND EMERGING INFECTIONS PROGRAM (EIP) COOPERATIVE AGREEMENTS;PPHF

ELC/EIP - 2012 Prevention and Public Health Fund and other Capacity-Building Activities

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Patient Protection and Affordable Care Act (PL 11-148), Title IV, Section 4002 (Prevention and Public Health Fund) for expanded and sustained national investment in prevention and public health programs. This program is funded in part by 2012 Prevention and Public Health Funds (PPHF-2012), Section 301 and 317, 42 U.S.C 241 and 247b; Sections 301 and 317 of the Public Health Service Act (PHS Act), 42 USC, 241 and 247b as amended; and Funding is appropriated under Affordable Care Act (PL 111-148), Title IV, Section 4002 (Prevention and Public Health Fund), Title IV, Section 4002.

OBJECTIVES:

The Prevention and Public Health Fund (Title IV, Section 4002) was established under the Patient Protection and Affordable Care Act to provide for expanded and sustained national investment in prevention and public health programs to improve health and help restrain the rate of growth in private and public sector health care costs. The Epidemiology and Laboratory Capacity for Infectious Diseases (ELC) and Emerging Infections Program (EIP) cooperative agreements were formed in 1995 as key components of CDC's national strategy to address and reduce emerging infectious disease (EID) threats. The programs play a critical role in strengthening national infectious disease infrastructure by serving as collaborative platforms for state and local health departments, CDC programs, and academic and various other public health partners to improve the ability to detect and respond to EIDs and other public health threats. Specifically, the programs build epidemiology, laboratory, and information systems capacity, integrate epidemiology and laboratory practice, implement active surveillance, and conduct targeted research aimed at improving methods and informing national surveillance and response activities. Overall, additional funds from multiple sources including PPHF will allow ELC and EIP partner agencies to substantially address gaps in EID epidemiology and laboratory capacity (e.g. number and training level of epi and lab staff, efficient/functional information systems, etc).

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Project funds may be used for costs associated with planning, organizing, and the implementation of other program elements to build public health epidemiology, laboratory, and health information systems capacity. Recipients may only expend funds for reasonable program purposes, including personnel, travel, supplies, and services, such as contractual. Funds may not be used for clinical care.

Applicant Eligibility:

Eligible applicants include the current ELC and EIP grantees which consists of all 50 states, Washington, D.C., 5 largest local health departments (Chicago, Illinois; Houston, Texas; Los Angeles, California; New York City, New York; Philadelphia, Pennsylvania); the Commonwealth of Puerto Rico, the Virgin Islands, the Commonwealth of the Northern Mariana Islands, American Samoa, Guam, the Federated States of Micronesia, the Republic of the Marshall Islands, and the Republic of Palau or their bona fide agents.

Beneficiary Eligibility:

State health departments, large local health departments, the District of Columbia, U.S. Territories, and the general public.

Credentials/Documentation:

Allowable costs will be determined in accordance with OMB Circular No. A-87 for State, Local, and Indian Tribal governments. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Applicants must download application forms from www.Grants.gov. Applications must be submitted electronically at www.Grants.gov.

If an applicant does not have access to the Internet, or if they have difficulty accessing the forms on-line, contact the CDC Procurement and Grants Office Technical Information Management Section (PGO-TIMS) staff. For this, or further assistance, contact PGO-TIMS: Telephone (770) 488-2700, Email: PGOTIM@cdc.gov.

Award Procedure:

Applications that are complete and responsive will be evaluated for scientific and technical merit and receive support. CDC will not review incomplete and non-responsive applications. Applications that are complete and responsive will undergo an objective review process, receive a written critique and be scored according to the published review criteria. Successful applicants will receive a Notice of Award (NOA) from the CDC Procurement and Grants Office. The NOA shall be the only binding, authorizing document between the recipient and CDC. The NOA will be signed by an authorized Grants Management Officer. Initial award provides funds for the first budget period (usually 12 months) and the NOA will indicate support recommended for the remainder of the project period, allocation of Federal funds by budget categories, and special conditions, if any.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. About 120 days from receipt of application.

Appeals:

Not Applicable.

Renewals:

Renewals will be based upon availability of funding under the Patient Protection and Affordable Care Act.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Financial assistance is available for a 12-month budget period within project periods ranging from one to five years. Method of awarding/releasing assistance: lump sum.

Reports:

Program reports are not applicable. No later than 10 days after the end of each calendar quarter, the recipients must submit a calendar quarter cash transaction report (SF-272 or top portion of the SF-425 Financial Report Form) to the Payment Management System (PMS). The reporting schedule will be based on the requirements listed in the ELC and EIP funding opportunity announcements. Funded applicants must provide an interim and annual progress report. Federal Financial Reports (SF 425) and Final Progress Report are due no more than 90 days after the end of the budget period. All reports must be submitted to the Grants Management Specialist indicated in the NoA. Federal Financial Reports (SF 425) are due no more than 90 days after the end of the budget period. Federal Financial Reports and Progress Reports are required, no more than 90 days after the end of the budget/project period.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and General Accounting Office (GAO). The grantee is to also ensure that the sub-recipients receiving CDC funds also meet these requirements (if total Federal grant or grants funds received exceed \$500,000). The grantee should include this requirement in all sub-recipient contracts.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the grant program shall be retained for a minimum of 3 years, or until completion and resolution of any audit in process or pending resolution. In all cases records must be retained until resolution of any audit questions. Property records must be retained in accordance with 45 CFR 92.42.

Account Identification:

75-0943-0-1-550.

Obligations:

(Cooperative Agreements) FY 12 \$51,296,002; FY 13 est \$41,070,257; and FY 14 est \$0

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: 51296002. Fiscal Year 2013: 41070257. Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations governing this program are published under 42 CFR 55b. Guidelines are available. 45 CFR 92, and also HHS Grants Policy Statement at <http://www.ahrq.gov/fund/hhspolicy.htm>.

Regional or Local Office:

None.

Headquarters Office:

Greg J. Jones Scientific and Program Services Branch, Division of Preparedness and Emerging Infections, National Center for Emerging and Zoonotic Diseases, Centers for Disease Control and Prevention, Department of Health and Human Services, 1600 Clifton Road, NE, Mailstop C18, Atlanta, Georgia 30333 Email: GJJ1@cdc.gov Phone: (404) 639-4180 Fax: (404) 639-3106

Website Address:

<http://www.cdc.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be evaluated based on: (1) clearly provide a plan that includes

quantitative process and outcome measures (2) provide adequate and clear information to enable the reviewer to gain a reasonable understanding of the applicants recent and current efforts to address the issues and (3) provide a realistic plan with activities that can be achieved in year one and subsequent years. Other criteria will be listed in the individual funding opportunity announcements.

93.523 THE AFFORDABLE CARE ACT: HUMAN IMMUNODEFICIENCY VIRUS (HIV) PREVENTION AND PUBLIC HEALTH FUND ACTIVITIES

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Executive Order This program is authorized under Sections 301, 317, 317(k)(2), 318 and 318B of the Public Health Service Act (42 U.S.C. Sections 247b, 247b(k)(2) and 247e), as amended, 42 U.S.C 247b,247b(k)(2); Patient Protection and Affordable Care Act - This program is authorized under the Patient Protection and Affordable Care Act (Public Law 111-148), Title IV, Sections 4002, (Prevention and Public Health Fund) for expanded and sustained national investment in prevention and public health programs. . Executive Order This program is authorized under the Patient Protection and Affordable Care Act (Public Law 111-148), Title IV, Sections 4002, (Prevention and Public Health Fund) for expanded and sustained national investment in prevention and public health programs. , Title IV, Section 4002, Public Law 111-148, Stat. 124-1025, 42 U.S.C.

OBJECTIVES:

Program activities under this funding will allow for CDC partnership with state and local health departments and other agencies to substantially reduce HIV risk and incidence. Specifically, the program activities may include but are not limited to:

1. Expanded and improved surveillance activities, including a laboratory reporting supplement to the current surveillance program to enable state and local health departments to improve the reporting of HIV laboratory data with special emphasis on CD4 and VL test results.
2. Increased HIV testing and linkage to care services.
3. Comprehensive HIV prevention activities, including the implementation of a structured, evidence-based collection of HIV prevention interventions in a limited number of jurisdictions with the most cases of AIDS. Interventions will include HIV testing, linkage and retention in care, Prevention with Positives including antiretroviral therapy and behavioral interventions, prioritized behavioral interventions for people at highest risk of HIV infection, condom distribution, and enhanced policy, systems, and environmental approaches.
4. Activities to support the above efforts, including program monitoring and evaluation, capacity building, and technical assistance.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Project funds may be used for costs associated with planning, data collection, organizing, and the implementation of program elements to build HIV prevention and public health capacity. Recipients may only expend funds for reasonable program purposes, including personnel, travel, supplies, and services, such as contractual.

Applicant Eligibility:

Eligible applicants include state and local governments or their Bona Fide Agents.

Beneficiary Eligibility:

State and local health departments, U.S. Territories, and the general public will benefit.

Credentials/Documentation:

No credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87. Costs will be determined by 2 CFR Part 230, Cost Principles for Non-Profit Organizations (OMB Circular A-122). This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Applicants must download application forms from www.Grants.gov. Applications must be submitted electronically at www.Grants.gov. If an applicant does not have access to the Internet, or if they have difficulty accessing the forms on-line, contact the CDC Procurement and Grants Office Technical Information Management Section (PGO-TIMS) staff. For this, or further assistance, contact PGO-TIMS: Telephone (770) 488-2700, Email: PGOTIM@cdc.gov

Award Procedure:

Successful applicants will receive a Notice of Award (NoA) from the CDC Procurement and Grants Office. The NoA shall be the only binding, authorizing document between the recipient and CDC. The NoA will be signed by an authorized Grants Management Officer and emailed to the program director and a hard copy mailed to the recipient fiscal officer identified in the application.

Unsuccessful applicants will receive notification of the results of the application review by mail.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. About 120 days from receipt of application.

Appeals:

Not Applicable.

Renewals:

Renewals will be based upon the conditions in the funding announcement and are subject to the availability of funds under the Patient Protection and Affordable Care Act.

Formula and Matching Requirements:

This program has no statutory formula. This program has no matching requirements. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Financial assistance is available for a 12-month budget period. See the following for information on how assistance is awarded/released: See the FOA for information on how assistance is awarded/released.

Reports:

The reporting schedule will be based on the requirements listed in the funding announcement. In most cases, funded applicants must provide an interim and annual progress report. Where applicable, the interim progress report is due no less than 90 days before the end of the specified budget period. Where applicable, the Interim Progress Report (IPR) will serve as the non-competing continuation application. Financial Status Reports (SF 269) are required no more than 90 days after the end of the budget period. Final financial status and progress reports are due no later than 90 days after the end of the project period. The reporting schedule will be based on the requirements listed in the funding announcement. In most cases, funded applicants must provide an interim and annual progress report. Where applicable, the interim progress report is due no less than 90 days before the end of the specified budget period. Where applicable, the Interim Progress Report (IPR) will serve as the non-competing continuation application. Financial Status Reports (SF 269) are required no more than 90 days after the end of the budget period. Final financial status and progress reports are due no later than 90 days after the end of the project period. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000

or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and General Accounting Office (GAO). The grantee is to also ensure that the sub-recipients receiving CDC funds also meet these requirements (if total Federal grant or grants funds received exceed \$500,000). The grantee should include this requirement in all sub-recipient contracts.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the program shall be retained for a minimum of 3 years, or until completion and resolution of any audit in process or pending resolution. In all cases records must be retained until resolution of any audit questions. Property records must be retained in accordance with 45 CFR 92.42.

Account Identification:

75-0950-0-1-550.

Obligations:

(Cooperative Agreements) FY 12 \$11,578,076; FY 13 est \$0; and FY 14 est \$0 - Please note FY 2011 and 2012 funds are not PPHF.

Range and Average of Financial Assistance:

Awards will range from approximately \$85,000 to \$1,700,000 with an average of approximately \$500,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations governing this program are published under 42 CFR 55b. Guidelines are available. 45 CFR 74 and 45 CFR 92, and also HHS Grants Policy Statement, as applicable, at <http://www.ahrq.gov/fund/hhspsolicy.htm>.

Regional or Local Office:

None.

Headquarters Office:

Elizabeth Wolfe 1600 Clifton Road, NE, Mailstop E-07, Atlanta, Georgia 30333 Email: eow1@cdc.gov Phone: (404) 639-8531

Website Address:

<http://www.cdc.gov>; www.Grants.gov

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be reviewed for completeness by the Procurement and Grants Office (PGO) staff and for responsiveness jointly by Division of HIV/AIDS Prevention (DHAP), NCHHSTP and PGO. Incomplete applications and applications that are non-responsive to the eligibility criteria will not advance through the review process. Applicants will be notified the application did not meet submission requirements.

CDC will conduct a review to evaluate complete and responsive applications according to the criteria listed in Section V. Application and Submission Information, subsection Evaluation Criteria within the individual funding announcement. Applicants will be notified if their application did not meet program requirements.

93.524 BUILDING CAPACITY OF THE PUBLIC HEALTH SYSTEM TO IMPROVE POPULATION HEALTH THROUGH NATIONAL, NON-PROFIT ORGANIZATIONS- FINANCED IN PART BY 2013 PREVENTION AND PUBLIC HEALTH FUNDS (PPHF-2013)

CBA to Strengthen Public Health Infrastructure and Performance

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human

Services

AUTHORIZATION:

This program is authorized under sections 301 and 317 of the Public Health Service Act (PHS Act), 42 U.S.C. 241 and 247b as amended, and the Patient Protection and Affordable Care Act (Public Law 111-148), Title IV, Section 4002 (Prevention and Public Health Fund), Title IV, Section 4002, 42 U.S.C 242,247.

OBJECTIVES:

This program will cover projects under two funding initiatives: 1) specific capacity building activities (CBA) under PPHF; and 2) CBA activities under an umbrella cooperative agreement for unique target populations. Applicant organizations will have the opportunity to compete for PPHF supported projects as well as high priority CDC projects. This program will assist state, tribal, local and U.S. territorial health departments, and other components of the public health system by making available to them capacity building assistance (including technical consultation, skills building/training, information, and technology transfer assistance) that will result in: systems and organizational efficiencies; a capable and qualified workforce; state-of-the-art information technology systems and integrated and standardized community and population data; improved planning, implementation and evaluation of evidence-based public health policies, laws, programs and services; results driven local and national public and private partnerships; and increased availability and accessibility of public health resources, such as publications, educational materials, syndicated website material, training curricula, assessments and evaluation tools, and other products for improvement of public health agencies and other agencies in the public health system.

The overall goal of capacity building assistance is to ensure improvements in the public health infrastructure so that it is prepared for responding to both acute and chronic threats relating to the Nations health such as emerging infections, disparities in health status, and increases in chronic disease and injury rates.

Capacity building assistance will focus on the provision of technical consultation, skills building/training, and information and technology transfer assistance to ensure successful planning, implementation, coordination and evaluation of the key areas for public health infrastructure investments. CBA may also be provided for CDC-funded projects which may include limited CBA to international public health agencies where there is a benefit to US public health efforts or to the US public health system.

Investing in CBA is expected to help public health agencies and other public health components fulfill their mission of protecting and promoting health in their communities and effectively performing essential public health services. Some of the capacity building needs are in the following areas:

Improving the public health workforce (e.g., e-learning, other training, and fellowship programs); vital statistics systems (electronic birth and death registration); food and water borne disease identification and prevention, prevention of hospital acquired infections, electronic health record/IT systems, communications systems and processes (e.g. information syndication and social media capacity), and laboratory and epidemiologic capacity.

Developing information systems to support adoption, implementation, enforcement, and/or evaluation of public health legislation, codes, rules, regulations, ordinances, and other policies.

Improving public health system development/redevelopment by building or re-engineering infrastructure to improve networking, coordination, standardization, and centralization of public health services to effectively and efficiently address priority health indicators.

Implementing best health care practices and conducting impact evaluation
Improving information and data system capacity through policy/communication for standardized data collection and analysis systems, information management technology, information dissemination, and meaningful data use and translation

Improving organizational capacity to effectively and efficiently use resources such as fiscal agents, and intermediaries, and

Improving technical and program guidance and evaluation of preventive services to expand coverage for community and clinical preventive services. This includes technical consultation and promotion on the adoption and utilization of the recommendations from the Guide for Community Preventive

Services.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Project funds will be used for costs associated with providing capacity building assistance to strengthen the infrastructure of State, Tribal, Local, and Territorial public health agencies and other components of the public health system. For the purposes of this program, other components of the public health system refers to specific professional constituencies (i.e., epidemiologists, community health nurses, health education and promotion specialists tribal health leaders, chronic disease directors, health information specialists, Medicaid/Medicare Directors, state/local public health financial officials, etc.) or key components of the public health system (i.e., community and neighborhood health centers, public health and primary care delivery systems, community and faith-based organizations, public health and accreditation boards, public health institutes, primary care residency programs, health insurance consortia, family/social services programs, maternal/child health associations, etc.). Recipients may only expend funds for reasonable program purposes, including personnel, travel, supplies (including training materials), and services, such as contractual.

Applicants will be required to participate, comply, and cooperate with CDC for any reporting requirements stipulated by, or mandated for, the receipt of funding. PPHF funding is intended to be used only in support of PPHF programs and projects.

Applicant Eligibility:

1. Eligible Applicants: Organizations with nonprofit 501(c)(3) or nonprofit 501(c)(6) IRS status (other than institutions of higher education).
2. Special Eligibility Requirements: The applicant organization must provide evidence of national scope of work and of public health charge or mission.
3. Justification for Less than Maximum Competition:

The program leadership in the Office of State, Tribal, Local and Territorial Support (OSTLTS) determined that in order to achieve its strategic priorities for strengthening the public health infrastructure and advancing the quality of public health decision making, OSTLTS will need to expand its capacity building assistance (CBA) efforts through national, non-profit organizations with experience and expertise providing capacity building assistance to governmental and non-governmental components of the public health system.

Eligible applicants are limited to national, non-profit professional public health mission organizations with experience and expertise providing capacity building assistance (CBA) to governmental and non-governmental components of the public health system.

The CDC is requesting the provision of capacity building assistance (CBA) to public health agencies and other public health entities across the United States and its territories in order to strengthen public health practice to improve health for all populations. These national public health mission organizations are the only entities positioned to effectively and efficiently execute on the expected capacity building outcomes, outputs, and activities outlined in the FOA. The characteristics that position these organizations are: 1) designated mission and experience working nationally, 2) demonstrated infrastructure, experience and expertise providing CBA, and 3) relationship to the public health system workforce across the United States and Territories.

Therefore, eligibility is limited to the above specified types of applicants/organizations that are expected to demonstrate significant experience and expertise providing capacity building assistance (CBA) to the target populations described in the attached OT13-1302 FOA.

This expertise is necessary for the grantee to effectively and efficiently complete the related activities and achieve the program outcomes described in the funding opportunity announcement.

Additionally the following capacities will facilitate the completion of projects in the specified timeframe:

 Infrastructure to organize, conduct work and disseminate key outcomes.

 Communicate key information to organization members, stakeholders and the public health community on a regular basis.

 Leverage a wide array of resources among organization members and the public health community to expeditiously achieve results in a cost-effective manner.

 Interact with other public health organizations; act as a networking hub to build the capacity of governmental and non-governmental components of the public health system.

Beneficiary Eligibility:

Beneficiaries include state health departments; tribal health organizations; local health departments; the District of Columbia; U.S. Territories; and other components of the public health system. The general public will also serve as beneficiaries.

Credentials/Documentation:

The applicant organization must include evidence of national scope of work and of public health role or mission. Articles of incorporation, board resolution or by-laws are acceptable forms of evidence. The applicant must also provide evidence of federally assigned 501(c)(3) or 501(c)(6) status designation by submitting a copy of the current, valid Internal Revenue Service (IRS) determination letter. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Allowable costs will be determined in accordance with OMB Circular No. A-87 for non-profit non-governmental organizations.

Applicants must download application forms from www.grants.gov, and completed applications must be submitted electronically at www.Grants.gov.

If an applicant does not have access to the Internet, or if they have difficulty accessing the forms on-line, contact the CDC Procurement and Grants Office Technical Information Management Section (PGO-TIMS) staff. For this, or further assistance, contact PGO-TIMS: Telephone (770) 488-2700, Email: PGOTIM@cdc.gov

Award Procedure:

1. Phase I Review: All eligible applications will be initially reviewed for completeness by CDCs Procurement and Grants Office (PGO) staff. In addition, eligible applications will be jointly reviewed for responsiveness by CDC/OSTLTS and PGO. Incomplete applications and applications that are non-responsive to the eligibility criteria will not advance to Phase II review. Applicants will be notified if the application did not meet eligibility and/or published submission requirements.

2. Phase II (Initial Base-Funding) Review: An objective review panel will evaluate complete and responsive applications according to the criteria listed in the Criteria section of the FOA. The applications will be compiled and reviewed according to the category for which applicants submitted their applications. Applicants will be notified electronically if the application did not meet eligibility and/or published submission requirements thirty (30) days after the completion of Phase II review.

3. Phase III Review: In addition, the following factors may affect the funding decision during Phase II (Initial Base-Funding Competition) Review:

a. Preference to avoid duplication of CBA services to the same target populations.

b. Preference may be given to the funding of applicants that propose to provide CBA services to target populations not served by higher ranking applicants.

c. Preference will be given to ensure funding of organizations that provide CBA services to target populations not duplicated in other CDC funding mechanisms.

CDC will provide justification for any decision to fund outside of ranked order of scores.

Final funding determinations will be based on application scores from the objective review panels and consideration for CDC's funding preferences.

4. Phase IV (Secondary CIO-Funding) Review: Applicants that are successful in Phase II (Initial Base-Funding) Review and are awarded funds will be eligible to participate in the Phase IV (Secondary CIO-Funding) Review for CDC-wide public health projects. Eligible awardees will receive an application packet detailing the Phase IV (Secondary CIO-Funding) application submission process upon receipt of the Phase II (Initial-Base Funding) Notice of Award. The awardees must submit stand-alone applications in response to a range of CBA projects that represent public health disciplinary areas and cross-cutting disciplines and/or topics. CIO-funded projects may also include limited CBA to international public health constituents where there is a benefit to U.S. public health efforts or to the U.S. public health system. The applications will be compiled and reviewed according to the Category and CIO project for which applicants submitted proposals. In the event a technical review is more efficient (i.e., there is one proposal submitted for a particular CIO project), the technical review will be held in place of an objective review.

Final funding determinations will be based on application scores from the objective review (and technical review) panels.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Not Applicable.

Renewals:

Renewals will be based upon the conditions in the funding announcement and are subject to the availability of CIO discretionary and programmatic funding, and funding under the Patient Protection and Affordable Care Act.

Formula and Matching Requirements:

This program has no statutory formula.

Matching requirements are not applicable to this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Financial assistance is available for a 12-month budget period within project periods ranging from one to five years. Method of awarding/releasing assistance: lump sum. Method of awarding/releasing assistance: lump sum.

Reports:

a. Reporting: Reporting allows for continuous program monitoring and identifies successes and challenges that awardees encounter throughout the award. Reporting is also necessary for awardees to apply for yearly continuation of funding. In addition, reporting is helpful to CDC and awardees because it:

Helps target support to awardees, particularly for cooperative agreements.

Provides CDC with periodic data to monitor awardee progress towards meeting the FOA outcomes and overall performance.

Allows CDC to track performance measures and evaluation findings for continuous program improvement throughout the project period and to determine applicability of evidence-based approaches to different populations, settings, and contexts.

Enables the assessment of the overall effectiveness and impact of the FOA.

For organizations that receive PPHF funding, additional reporting is required. These requirements will be communicated to awardees post-award.

As described below, awardees must submit one report per year; ongoing performance measures data, administrative reports, and a final performance and financial report. Below are the specific reporting requirements:

b. Annual Performance Report (due 120 days before the end of the budget period; serves as a continuation application). This report must not exceed 35

pages, excluding work plan and administrative reporting. Attachments are not permitted when submitting this report.

This report must include the following:

Performance Measures (including outcomes): Awardees must report on performance measures for each budget period and update measures, if needed.

Evaluation Results: Awardees must report evaluation results for the work completed to date (including any impact data).

Work Plan (maximum of 25 pages): Awardees should update the work plan each budget period.

Successes

 Awardees must report progress on completing activities outlined in the work plan.

 Awardees must describe any additional successes (e.g., identified through evaluation results or lessons learned) achieved in the past year.

 Awardees must describe success stories.

Challenges

 Awardees should describe any challenges that hinder achievement of both annual and project period outcomes, performance measures, or their ability to complete the activities in the work plan.

 Awardees must describe any additional challenges (e.g., identified through evaluation results or lessons learned) encountered in the past year.

CDC Program Support to Awardees

 Awardees should describe how CDC could assist them in overcoming any challenges to achieve both annual and project period outcomes and performance measures, and complete activities outlined in the work plan.

Administrative Reporting (not subject to page limits)

 SF-424A Budget Information-Non-construction Programs

 Budget Narrative Must use the format outlined in Section IV.

Content and Form of Application Submission, Budget Narrative Section

 Indirect Cost Rate Agreement

During years 25, awardees may request up to 75% of their estimated unobligated funds to be carried forward into the next budget period.

The carryover request must:

Express a bona fide need for permission to use an unobligated balance.

Include a signed, dated, and accurate Federal Financial Report (FFR) for the budget period from which the fund will be transferred (can request up to 75% unobligated balances).

Include a list of proposed activities, an itemized budget, and a narrative justification of those activities.

The applicant must submit the Annual Performance Report via www.grants.gov 120 days before the end of the budget period.

c. Performance Measure Reporting: CDC programs must require awardees to submit performance measures at least annually. CDC may require more frequent reporting of performance measures. Performance measure reporting should be limited to the collection and reporting of data. CDC programs should specify reporting frequency, required data fields, and format for awardees at the beginning of the award.

As indicated in the previous section, awardees will submit annual performance reports based on their selected program strategies and activities. These performance measures and the frequency of reporting will vary by project.

d. Federal Financial Reporting: The Annual Federal Financial Report (FFR) SF 425 is required and must be submitted through eRA Commons within 90 days after the end of each budget period. The FFR should only include those funds authorized and disbursed during the timeframe covered by the report. The final FFR must indicate the exact balance of unobligated funds and may not reflect any un-liquidated obligations. There must be no discrepancies between the final FFR expenditure data and the Payment Management Systems (PMS) cash transaction data. Failure to submit the required information in a timely manner may adversely affect the future funding of this project. If the information cannot be provided by the due date, you are required to submit a letter explaining the reason and date by which the Grants Management Officer will receive the information.

e. Final Performance and Financial Report: At the end of the five-year project

period, awardees should submit a final report to include a final financial and performance report. This report is due 90 days after the end of the project period and should not exceed 40 pages.

At a minimum, this report must include the following:

Performance Measures (including outcomes): Awardees should report final performance data for all performance measures for the project period.

Evaluation results: Awardees should report final evaluation results for the project period.

Impact/Results: Awardees should describe the impact/results of the work completed over the project period, including success stories.

The completed FFR (SF-425).

The report should be emailed to the CDC Project Officer and the GMS listed in Agency Contacts section of the FOA.

Reporting Requirements under Section 203 of the 2012 Enacted Appropriations Bill for the Prevention and Public Health Fund, Public Law 111-5:

This award requires the recipient to complete projects or activities which are funded under the 2013 Prevention and Public Health Fund (PPHF) and to report on use of PPHF funds provided through this award. Information from these reports will be made available to the public.

Recipients awarded a grant, cooperative agreement, or contract from such funds with a value of \$25,000 or more shall produce reports on a semi-annual basis with a reporting cycle of January 1 - June 30 and July 1 - December 31; and email such reports (in 508 compliant format) to the CDC website (template and point of contact to be provided after award) no later than 20 calendar days after the end of each reporting period (i.e. July 20 and January 20, respectively). Recipient reports shall reference the notice of award number and title of the grant or cooperative agreement, and include a summary of the activities undertaken and identify any sub-grants or sub-contracts awarded (including the purpose of the award and the identity of the sub-recipient).

Note: Recipients that were previously financed solely by PPHF funds and are now financed solely by a source other than PPHF do not need to adhere to the reporting and tracking requirements for the continuation portion of the project. Grantees that do not have FY13 PPHF funding do not need to adhere to the PPHF reporting requirements for FY13. However, grantees will still need to adhere to PPHF reporting requirements for the PPHF funding from FY12. No later than 10 days after the end of each calendar quarter, starting with the quarter ending December 31, 2012 and reporting by January 10, 2013, the recipients must submit a calendar quarter cash transaction report (SF-272 or top portion of the SF-425 Financial Report Form) to the Payment Management System (PMS). CDC programs must require awardees to submit performance measures at least annually. CDC may require more frequent reporting of performance measures. Performance measure reporting should be limited to the collection and reporting of data. CDC programs should specify reporting frequency, required data fields, and format for awardees at the beginning of the award.

As indicated in the previous section, awardees will submit annual performance reports based on their selected program strategies and activities. These performance measures and the frequency of reporting will vary by project. At the end of the five-year project period, awardees should submit a final report to include a final financial and performance report. This report is due 90 days after the end of the project period and should not exceed 40 pages.

At a minimum, this report must include the following:

Performance Measures (including outcomes): Awardees should report final performance data for all performance measures for the project period.

Evaluation results: Awardees should report final evaluation results for the project period.

Impact/Results: Awardees should describe the impact/results of the work completed over the project period, including success stories.

The completed FFR (SF-425).

The report should be emailed to the CDC Project Officer and the GMS listed in Agency Contacts section of the FOA. Grantees will be monitored by project officers to ensure accomplishment of approved objectives and activities

(including development and dissemination of deliverables, and number of training and technical assistance episodes delivered), and for capacity building outcomes such as adoption of best practices by state, tribal, local and territorial health departments and increases in the implementation and evaluation of public health infrastructure investments.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and General Accounting Office (GAO). The grantee is to also ensure that the sub-recipients receiving CDC funds also meet these requirements (if total Federal grant or grants funds received exceed \$500,000). The grantee should include this requirement in all sub-recipient contracts.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the program shall be retained for a minimum of 3 years, or until completion and resolution of any audit in process or pending resolution. In all cases, records must be retained until resolution of any audit questions. Property records must be retained in accordance with 45 CFR 92.42.

Account Identification:

75-0943-0-1-551.

Obligations:

(Cooperative Agreements) FY 12 \$53,717,910; FY 13 est \$6,000,000; and FY 14 est \$6,000,000

Range and Average of Financial Assistance:

The floor of individual award range is \$4 million for Category A, \$1 million for Category B and \$100,000 for Category C. The approximate average award ranges for the 12-month budget period are up to \$9 million for Category A, up to \$2.5 million for Category B and up to \$1 million for Category C.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations governing this program are published under 42 CFR 55b. Guidelines are available for 45 CFR 92, and also HHS Grants Policy Statement at <http://www.ahrq.gov/fund/hhspolicy.htm>

Evaluation activities such as submission of annual reports and site visit participation will be required to ensure awardees adhere to the program guidelines and regulations. Detailed report requirements are described in Funding Opportunity Announcement CDC-RFA-OT13-1302.

Regional or Local Office:

None.

Headquarters Office:

Samuel Taveras 4770 Buford Highway NE, MS: K-90, Atlanta, Georgia 30345
Email: [sy2@cdc.gov](mailto:syt2@cdc.gov) Phone: 7704881523 Fax: 7704881600

Website Address:

<http://www.cdc.gov/stltpublichealth/funding/rfaot13.html>

RELATED PROGRAMS:

93.097 Strengthening the Nation's Public Health System through a National Voluntary Accreditation Program for State, Tribal, Local and Territorial Health Departments

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications were evaluated based on: (1) demonstrated track record of providing technical consultation, skills building/training, information, or technology transfer assistance to components of the public health system,

including state, tribal, local and territorial health departments; (2) understanding of the capacity building infrastructure development needs of state, tribal, local, and territorial health departments; (3) a clear plan of action with objectives achievable in one year and subsequent years; and (4) a clear assessment strategy (plan) to measure the effectiveness of capacity building assistance in improving the planning, implementation, coordination, evaluation (including dissemination of best practices) of public health infrastructure investments.

93.525 STATE PLANNING AND ESTABLISHMENT GRANTS FOR THE AFFORDABLE CARE ACT (ACA)S EXCHANGES

FEDERAL AGENCY:

Centers for Medicare and Medicaid Services, Department of Health and Human Services

AUTHORIZATION:

Sections 1311 and 1323 of Patient Protection and Affordable Care Act, Public Law 111-148.

OBJECTIVES:

To provide assistance for activities related to establishing a Health Insurance Exchange that facilitates the purchase of qualified health plans, provides for the establishment of a Small Business Health Options Program (SHOP Exchange), and meets the requirements set forth by the Secretary and the Affordable Care Act.

Refer to specific Exchange program funding opportunity announcement (FOA) for additional information on objectives.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Grant and cooperative agreement funds are to be used for activities related to setting up a health insurance Exchange. Please see the specific funding opportunity announcement for more information on how funds can be used.

Applicant Eligibility:

Eligible applicants for the FOA "State Planning and Establishments Grants for the Affordable Care Acts Exchanges" include the 50 States and the District of Columbia.

Eligible applicants for the FOA "Limited Competition for State Planning and Establishment Grants for the Affordable Care Act's Exchanges" include States which did not receive an award under the prior FOA "State Planning and Establishment Grants for the Affordable Care Act's Exchanges" (i.e. Alaska and Minnesota).

Eligible applicants for the FOA "Territory Cooperative Agreements for the Affordable Care Act's Exchanges" include Territories, i.e. American Samoa, Guam, Northern Mariana Islands, Puerto Rico, and the United States Virgin Islands.

Eligible applicants for the FOA "Cooperative Agreements to Support Innovative Exchange Information Technology Systems" include the 50 States, the District of Columbia, and consortia of States.

Eligible applicants for the FOA "Cooperative Agreement to Support Establishment of State-Operated Health Insurance Exchanges" include States, the District of Columbia, and consortia of States, previously awarded funding under the FOA "State Planning and Establishment Grants for the Affordable Care Acts Exchanges."

Eligible applicants for the FOA Cooperative Agreement to Support Establishment of the Affordable Care Acts Health Insurance Exchange include States, the District of Columbia, and consortia of States.

Beneficiary Eligibility:

Projects will benefit States and Territories or entities authorized by the State or Territory that will set up Exchanges in States or Territories. .

Credentials/Documentation:

Applicants should review the specific funding opportunity announcement documents issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. OMB Circular No A-87 applies to this program. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Applications and required forms for each program can be obtained from Grants.gov. The Center for Consumer Information and Insurance Oversight (CCIIO) requires all applicants to apply electronically through Grants.gov.

Award Procedure:

All qualified applications will be forwarded to an objective review committee. Based on the comments of the objective review committee, the CCIIO program official with delegated authority is responsible for final selection and funding decisions. Notification is made in writing by a Notice of Grant Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Federal Fiscal Year 2010: Grants awarded to 48 States and DC for a one year project period to conduct planning activities related to establishment of their Exchanges.

Federal Fiscal Year 2011: Grant funding for four funding opportunity announcements will be awarded in Federal Fiscal Year 2011 ranging from an up to one year project period to up to a four year project period, beginning on the date of award and up through December 31, 2014.

Federal Fiscal Year 2012: Establishment cooperative agreement funds will be awarded in 2011 and 2012 and may also extend through December 31, 2014.

Federal Fiscal Year 2013: Establishment cooperative agreement funds will be awarded in 2013 and may also extend through 2015.

Federal Fiscal Year 2014: Establishment cooperative agreement funds will be awarded in 2014 and may also extend through 2016.

Federal Fiscal Year 2015: Establishment cooperative agreement funds will be awarded in 2015 and may also extend through 2017.

Federal Fiscal Year 2016: Establishment Cooperative Agreements funds awarded in 2014 and 2015 may extend through 2017.

Federal Fiscal Year 2017: Establishment Cooperative Agreement funds awarded in 2014 and 2015 may extend through 2017.

See the following for information on how assistance is awarded/released:

Grantees drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards. See the following for information on how assistance is

awarded/released: Grantees drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

No program reports are required. Submit a quarterly electronic Federal Financial Report (FFR) via the Payment Management System. The report identifies cash transactions against the authorized funds for the grant or cooperative agreement. The FFR must be filed within 30 days of the end of each quarter. Failure to submit the report may result in the inability to access grant funds. Go to www.dpm.psc.gov for additional information. Quarterly Progress Reports- includes information on project status, implementation activities initiated, accomplishments, barriers, and lessons learned in order to ensure that funds are used for authorized purposes and instances of fraud,

waste, error, and abuse are mitigated. Also includes changes in work plan components and progress on milestones, goals, objectives, and activities. Progress will be evaluated by the Project Officers and determinations regarding the approval of drawdowns will be based on the recipient's progress. Final project reports are also required.

Public Report - Recipients post information about the grants/cooperative agreements on their respective websites. Please see the specific FOA to determine if this is applicable.

Work Plan Updates - As necessary, recipients will provide CMS with documentation of substantive approved changes to their work plans. Please see the specific FOA to determine if this is applicable.

Periodic Establishment Reviews - as described in the applicable funding opportunity announcement.

On an annual basis, the Federal Financial Report (FFR) must also include information on expenditures. Failure to submit the report may result in the inability to access grant funds.

Performance Review as described in the applicable funding opportunity announcement. On an annual basis, the Federal Financial Report (FFR) must also include information on expenditures. Failure to submit the report may result in the inability to access grant funds. Performance Review - as described in the applicable funding opportunity announcement.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Recipients are required to maintain grant accounting records 3 years after the date they submit the final Federal Financial Report. If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0115-0-1-551.

Obligations:

(Project Grants) FY 12 Not Available; FY 13 est \$2,382,799,790; and FY 14 Estimate Not Available - Fiscal Year 2012-2015 TBD.

Range and Average of Financial Assistance:

No floor or ceiling for award. Amounts are provided based on the wide range and complexity of applications.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Not available. Fiscal Year 2013: Not available. Fiscal Year 2014: Not available.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments.

CCIIO awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at

<http://dhhs.gov/asfr/ogapa/aboutog/ogpoe.html>.

Regional or Local Office:

See Regional Agency Offices. Michelle T. Feagins, Office of Acquisition and Grants Management, Centers for Medicare and Medicaid Services, Department of Health and Human Services, 200 Independence Ave, SW, Washington DC 20201.

Headquarters Office:

Michelle T. Feagins, 200 Independence Ave., SW. , Washington, District of Columbia 20201 Email: michelle.feagins@hhs.gov Phone: (301) 492-4312.

Website Address:

<http://www.cciio.cms.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Approval of an application will be based on an analysis of the factors set forth in Section V -Application Review and Selection Information - of the specific funding opportunity announcement.

93.526 AFFORDABLE CARE ACT (ACA) GRANTS FOR CAPITAL DEVELOPMENT IN HEALTH CENTERS

ACA Capital Development Grants

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

The Patient Protection and Affordable Care Act of 2010, Section 10503, P.L. 111-148, Title IV, Section 4101.

OBJECTIVES:

To award grants to Health Center Programs for Capital Development Grants for: (1) immediate facility improvements or (2) building capacity.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Funds will be used (1) to address immediate and pressing capital needs or (2) to support the costs of alteration/renovation or construction of a facility that is consistent with the Health Center Programs mission to provide comprehensive, culturally competent, quality primary healthcare services to medically underserved communities and vulnerable populations.

Applicant Eligibility:

Eligibility is limited to currently-funded health centers (see applicable Funding Opportunity Announcement available through Grants.gov for additional eligibility information).

Beneficiary Eligibility:

Populations in medically underserved areas.

Credentials/Documentation:

Applicants should review the applicable HRSA funding opportunity announcement(s) issued under the relevant program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Eligibility is limited to currently-funded grantees under the Health Center Program.

HRSA requires all applicants to apply electronically through Grants.gov.

Award Procedure:

All qualified applications will be forwarded to an objective review committee. Taking into consideration the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Refer to the applicable funding opportunity announcement(s) issued for additional information.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching requirements are not applicable to this program.

This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

Grant funds will be awarded for up to 2 or 3 year budget/project periods. See the following for information on how assistance is awarded/released: Refer to the relevant funding opportunity announcement for additional information.

After Notices of Award are issued, funds are released in accordance with HHS payment procedures, which may be through an Electronic Transfer System or a Monthly Cash Request System.

Reports:

Refer to the applicable funding opportunity announcement(s) for additional information. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records 3 years after the end of a grant period. If any litigation, claim, negotiation, audit or other action involving the record has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0351-0-1-550.

Obligations:

(Project Grants) FY 12 \$729,862,399; FY 13 est \$0; and FY 14 est \$30,000,000

Range and Average of Financial Assistance:

Varies. See applicable Funding Opportunity Announcement.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices. Bureau of Primary Health Care, HRSA, 5600 Fishers Lane, Rockville, MD 20857. Northeast Division: (301) 594-4488; Central Southeast Division: (301) 594-4420; Southwest Division: (301) 480-1130; North Central Division: (301) 443-0111.

Headquarters Office:

Branch Chief, Capital Development Branch Bureau of Primary Health Care, Health Resources and Services Administration, 5600 Fishers Lane, Room 17C-26, Rockville, Maryland 20857 Phone: (301) 443-1034

Website Address:

<http://www.hrsa.gov>.

RELATED PROGRAMS:

93.224 Consolidated Health Centers (Community Health Centers, Migrant Health Centers, Health Care for the Homeless, Public Housing Primary Care, and School Based Health Centers)

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the applicable funding opportunity announcement(s) issued under this CFDA program for specific information on the review criteria used in evaluating applications.

93.527 AFFORDABLE CARE ACT (ACA) GRANTS FOR NEW AND EXPANDED SERVICES UNDER THE HEALTH CENTER PROGRAM

ACA Grants for New and Expanded Services under the Health Center Program

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Section 330 of the Public Health Service Act as amended (42 U.S.C. 254b), as amended by Section 10503 of The Patient Protection and Affordable Care Act (P.L. 111-148), Public Law 111-148.

OBJECTIVES:

To provide for expanded and sustained national investment in health centers funded under section 330 of the Public Health Service Act.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Applications should be designed to improve the availability, accessibility and provision of primary health care services. Refer to the funding opportunity announcement under this CFDA number for additional information.

Applicant Eligibility:

Eligible applicants for funding for new access points are public and private non-profit entities, including tribal, faith-based, and community-based organizations that have the capacity to effectively administer the grant. Eligible applicants for funding for expanded services are grantees currently funded as Health Centers under section 330 of the Public Health Service Act. Refer to the applicable funding opportunity announcement under this CFDA number for additional information.

Beneficiary Eligibility:

Population groups in medically underserved areas.

Credentials/Documentation:

Applicants should review the applicable HRSA funding opportunity announcement(s) issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. HRSA requires all applicants to apply electronically through Grants.gov.

Award Procedure:

Qualified applications will be forwarded to an objective review committee as required. Taking into consideration the objective review committee as well as internal/programmatic review for noncompeting renewals, the HRSA program

official with delegated authority is responsible for final selection and funding decisions. Notification is made in writing by a Notice of Award (NoA).

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Refer to the applicable funding opportunity announcement under this CFDA program for specific information.

Appeals:

Not Applicable.

Renewals:

Awards to support projects beyond the first budget year will be contingent upon Congressional appropriation, compliance with applicable statutory and regulatory requirements, demonstrated organizational capacity to accomplish the projects goals, and a determination that continued funding would be in the best interest of the government.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

Awards are made annually. After Notices of Award are issued, funds are released in accordance with DHHS payment procedures, which may be through an Electronic Transfer System or a Monthly Cash Request System. See the following for information on how assistance is awarded/released: Grantees drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

Refer to the applicable funding opportunity announcement under this CFDA program for additional reporting information. Submit a quarterly electronic Federal Financial Report (FFR) Cash Transaction Report via the Payment Management System. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records for 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit, or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Project Grants) FY 12 \$178,154,153; FY 13 est \$19,000,000; and FY 14 est \$25,000,000

Range and Average of Financial Assistance:

Varies. See applicable funding opportunity announcement.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

The program is subject to the provision of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 75 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices. Northeast Division: (301) 594-4488; Central Southeast Division: (301) 594-4420; Southwest Division: (301) 480-1130; North Central Division: (301) 443-0011.

Headquarters Office:

Bureau of Primary Health Care, HRSA, 5600 Fishers Lane, Rockville, Maryland 20857 Phone: (301) 594-4300.

Website Address:

<http://www.hrsa.gov>

RELATED PROGRAMS:

93.224 Consolidated Health Centers (Community Health Centers, Migrant Health Centers, Health Care for the Homeless, Public Housing Primary Care, and School Based Health Centers)

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria for selecting proposals are available in the funding opportunity announcement(s) under this CFDA program. In addition, all applicants are expected to demonstrate compliance with the Health Center Program requirements and the ability to be operational within 120 days of Notice of Award.

93.528 NATIONAL FORUM FOR STATE AND TERRITORIAL CHIEF EXECUTIVES

National Forum

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Section 301 of the Public Health Service Act, as amended.

OBJECTIVES:

The National Forum will assist governors and key policy staff to develop and implement innovative solutions to governance and policy challenges in their jurisdictions. Examples of activities include the following:

Promote promising and/or evidence-based practices that explore ways to strengthen primary care training programs and delivery systems through the practice of interdisciplinary teams;

Support states efforts to improve their health services delivery systems with innovative workforce strategies that promote effective use of health care providers and that address health workforce supply and practice and educational and training capacities;

Support and drive the integration of public health principles into the primary care delivery system. Through public health services and training:

- o Improve the quality of care;
- o Reduce health disparities;
- o Promote evidence-based and promising practices to achieve health equity; and
- o Identify and address other emerging issues in the states and territories.

The purpose of the National Forum is to convene high level decision makers representing the many state, federal, health and human services providers, and private sector interests around issues of importance to state and territory governors and their senior policy advisors. Through this project, the National Forum will work with their constituencies to address cross-cutting publicly funded health program integration and health access issues identified by the governors and their representatives. The overall goals of the National Forum program are to: (1) seek alignment of HRSA's Affordable Care Act (ACA) funded programs with State/territorial programs; (2) promote the integration of primary care and public health; (3) strengthen the health workforce, and; (4) reduce health disparities and improve health equity.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Core Functions and Examples of Funded Activities for the National Forum for State and Territorial Chief Executives

1) Facilitate communication with states and territories policy makers on current and emerging strategies addressing common priorities

Provide a forum for high-ranking state and territorial decision makers to discuss public policy and governance issues affecting states to better leverage limited resources to strengthen and promote integration of public health and primary care systems.

Promote public and private sector strategies and best practices that improve access to quality health care and services, assure an adequate supply and effective use of the health workforce through a range of innovative strategies to improve the health status of individuals and populations.

Improve planning and program design to complement other public and private sector initiatives.

Provide recommendations to enhance the effectiveness of HRSA programs and initiatives to address the needs of the underserved and targeted populations, including the alignment of HRSA programs with State programs as a result of ACA implementation.

Collaborate with the National Organizations of State and Local Officials (NOSLO) cooperative agreement recipients to develop and promote public and private sector strategies and best practices that improve access to quality health care and services.

2) Encourage integration of public health practice, primary care delivery systems and public health infrastructures

Work with governors and high-ranking state and territorial decision makers to address crosscutting publicly funded health program integration and health access issues, including utilizing promising practices for integration of services and programs to support an improved health care system.

Promote and enhance the sharing of information and human expertise to strengthen existing primary care and public health programs through effective collaborations with other governmental and nongovernmental entities and provide support to address gaps in services and resources.

Identify cross-cutting publicly funded health programs serving similar populations (e.g., Childrens Health Insurance Program [CHIP], Medicaid, Title V Maternal Child Health Block Grant Program and MCH discretionary programs, Ryan White HIV/AIDS Program, Health Center Program, Rural Health programs, Health Professions grant programs, etc.) to promote effective implementation of these programs in states and territories.

Work with governors and high-ranking state and territorial decision makers to improve state health workforce data and information.

Support best practice applications of information technology systems in health care settings, building upon integrated health infrastructures that use data to address public health and workforce issues.

Convene workshops, summits or Policy Academies to address emerging issues to support the efforts of governors and their staffs to improve the health of the underserved, uninsured, and targeted populations to more effectively address their access to care, health care infrastructure capacities, health workforce needs and capacities, and the quality of care received in the context of ACA implementation.

3) Support health and human services policy development/analysis capacity for states and territories

Address issues concerning the intended and unintended impact of changes on Medicaid and Childrens Health Insurance Program (CHIP) policies on the viability of safety net providers and the populations they serve.

Identify best practices of cost containment strategies in health care to assist the jurisdictions to leverage their financial resources and promote partnerships across different regions, disciplines, funding sources, and sectors.

Provide state-specific technical assistance on policy development for governors and their health policy staff on issues such as implementing ACA provisions as they relate to HRSA programs in their jurisdictions, including providing access to care, health workforce preparedness, Medicaid expansion, primary care, care coordination, home visiting programs, health insurance exchanges, and other aspects of the ACA.

Develop and maintain an information clearinghouse for use by governors and their staff on issues that relate to health care access for underserved and vulnerable populations by reducing health disparities to strengthen the public

health infrastructure and safety net systems in the states and territories.

Improve/enhance a website that will provide easy access to analytic research, case studies (including the best practices of states) and other resources for governors offices on a variety of health care issues including health workforce preparedness and health IT development issues to plan for ACA implementation.

Work with governors and high-ranking state and territorial decision makers to assess best practices in effective and efficient deployment of health care workforce.

Develop and distribute issue briefs, articles, reports, or other documents relating to health care access, unmet population needs, health workforce capacity, the uses of existing data systems within states to address health care needs, and the complexity of private sector initiatives for use by governors and their staff.

Prepare issue briefs or convene regional or national meetings of state and territorial executive branch employees and others, as appropriate, for discussion of public and private sector strategies and best practices addressing the unmet needs of the uninsured and under-insured populations.

Serve as a mechanism to implement agency and departmental initiatives, such as oral health, mental health, rural health, homelessness, ACA implementation, and healthcare workforce shortages in states and territories.

Provide consultation as key policy stakeholders from states and territories in implementing a strategic approach for coordinating resources to support the integration of public health with effective, sustainable and accountable primary care services systems.

Provide technical assistance to strengthen the ability of primary care systems to improve the quality of care delivered by health care providers. The full amount will be used to cover the activities described in the funding opportunity announcement.

Applicant Eligibility:

Nonprofit, bi-partisan or nonpartisan organization that represents governors and their staff of a broad cross section of states, the Commonwealths of the Northern Mariana Islands and Puerto Rico, the U.S. flag territories of American Samoa, Guam, the U.S. Virgin Islands, and the District of Columbia are eligible to apply.

Beneficiary Eligibility:

See eligible beneficiaries listed above.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. HRSA requires all applicants to apply electronically through Grants.gov.

Award Procedure:

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions. Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Funding beyond the first year is dependent on the availability of appropriated funds for the National Forum in subsequent fiscal years, awardee satisfactory performance, and a decision that continued funding is in the best interest of the Federal government.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
This program has no matching requirements.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The project period is for up to three years. See the following for information on how assistance is awarded/released: Awardees draw down funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards. Electronic Federal Financial Report (FFR) Cash Transaction Reports must be submitted electronically via the Payment Management System within 30 days of the end of each calendar quarter. Failure to submit the report may result in the inability to access award funds. Go to www.dpm.psc.gov for additional information.

Reports:

Refer to the HRSA funding opportunity announcement for additional information. Federal Financial Report (FFR) Cash Transaction Reports must be submitted electronically via the Payment Management System within 30 days of the end of each calendar quarter. The report identifies cash expenditures against the authorized funds for the grant or cooperative agreement. Go to www.dpm.psc.gov for additional information. The awardee must submit a progress report to HRSA on an annual basis. For multi-year awards: Submission and HRSA approval of the Progress Report(s) triggers the budget period renewal and release of subsequent year funds. The progress report typically has two parts. The first part demonstrates grantee progress on program-specific goals. The second part collects core performance measurement data including performance measurement data to measure the progress and impact of the project. An annual Federal Financial Report is required. The report is an accounting of expenditures under the project that year. More specific information will be included in the Notice of Award. Refer to the HRSA funding opportunity announcement for the roles and responsibilities of the Federal government and the recipient.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Awardees are required to maintain grant accounting records 3 years after the date they submit the Federal Financial Report. If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Salaries) FY 12 \$460,000; FY 13 est \$460,000; and FY 14 est \$310,000

Range and Average of Financial Assistance:

This program will provide funding for Federal fiscal years 2012-2014. Approximately \$460,000 is expected to be available annually to fund one (1) recipient. Funding beyond the first year is dependent on the availability of appropriated funds for the National Forum in subsequent fiscal years, recipient satisfactory performance, and a decision that continued funding is in the best interest of the Federal government.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

Regional or Local Office:

None.

Headquarters Office:

Lynnette S. Araki, 5600 Fishers Lane, Rockville, Maryland 20857 Email: Laraki@hrsa.gov Phone: (301) 443-6204. Fax: (301) 443-2286.

Website Address:

<http://www.hrsa.gov>

RELATED PROGRAMS:

93.011 National Organizations of State and Local Officials; 93.224 Consolidated Health Centers (Community Health Centers, Migrant Health Centers, Health Care for the Homeless, Public Housing Primary Care, and School Based Health Centers)

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Review Criteria are outlined in Section V of the HRSA funding opportunity announcement.

93.529 PRE-EXISTING CONDITION INSURANCE PROGRAM (PCIP) FEDERAL AGENCY:

Centers for Medicare and Medicaid Services, Department of Health and Human Services

AUTHORIZATION:

Patient Protection and Affordability Care Act, Section 1101.

OBJECTIVES:

The Patient Protection and Affordability Care Act, was enacted on March 23, 2010. The Health Care and Education Reconciliation Act Public Law 111-152, was enacted on March 30, 2010. Collectively, these laws are known as the "Affordable Care Act." Section 1101 of the Affordable Care Act requires that the Secretary of the Department of Health and Human Services (HHS) establish, either directly or through contracts with States or nonprofit private entities, a temporary high risk health insurance program to provide access to coverage for uninsured Americans with pre-existing conditions. (Hereafter, we generally refer to this program as the Pre-Existing Condition Insurance Plan program, or PCIP program, to avoid confusion with the existing State high risk pool programs, which will continue to operate separately.) The PCIP program is intended to remain in place from the time of its establishment until the Exchanges established under sections 1311 or 1321 of the Act go into effect on January 1, 2014.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

Please see State PCIP Model Contract. Please see State PCIP Model Contract www.hhs.gov/cciio/Documents/state_hrp_model_contact.pdf Under the state-run PCIP contracts, HHS shall exercise each of the option years described in paragraph A.2 according to the model contract by giving a preliminary notice of its intent at least 90 days prior to the end of each performance period and a written notice of exercise at least 60 days prior to the end of each performance period to the Contractor. The 90-day notice will state HHS's intent to exercise the option, but does not commit HHS to exercising the option.

Applicant Eligibility:

The applicants must meet the terms and conditions outlined in the model contract. See the State PCIP Model contract at www.hhs.gov/cciio/Documents/state_hrp_model_contact.pdf.

Beneficiary Eligibility:

Eligibility and enrollment rules for the Federal and state-operated PCIP

programs can be found at www.healthcare.gov or below as set forth in text from our Interim Final Regulation (IFR).

B. Eligibility and Enrollment (Subpart C, Sec. 152.14 Through Sec. 152.15 of the PCIP IFR (45 CFR Part 152).

Section 1101(d) of the Affordable Care Act provides the basic eligibility criteria for the PCIP program, which are set forth under Sec. 152.14. In addition, consistent with the Secretary's general authority under section 1101(c)(2)(D) of the Act to establish requirements for a PCIP, we set forth enrollment and disenrollment requirements in Sec. 152.15.

In general, under section 1101(d) of the Affordable Care Act and subparagraphs (1), (2) and (3) of Sec. 152.14(a) of this interim final rule, an individual is eligible to enroll in a PCIP if he or she: (1) Is a citizen or national of the United States or is lawfully present in the United States as determined in accordance with section 1411 of the Affordable Care Act; (2) has not been covered under creditable coverage, as defined in section 2701(c)(1) of the Public Health Service Act as of the date of enactment, during the 6-month period prior to the date on which he or she is applying for coverage through the PCIP; and (3) has a pre-existing condition, as determined in a manner consistent with guidance issued by the Secretary. We further provide in Sec. 152.14(a)(4) that an individual must be a resident of a State that falls within the service area of a PCIP. Additional detail found in the IFR.

Credentials/Documentation:

Applicants should review the OCIIO state model contract issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

In order to be awarded a state contract to run the Pre-existing Condition Program in an individual state, a state must have submitted a notice of intent to apply, a proposal and model contract criteria approved by HHS.

Deadlines:

Jul 01, 2010

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Other - Not Specified.

Renewals:

Other - Not Specified.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. This program has MOE requirements, see funding agency for further details. Yes, see State Solicitation at www.hhs.gov/cciio/Documents/state_hrp_model_contact.pdf.

Length and Time Phasing of Assistance:

States have options years through 2013. The funding is proposed to carry the program to 2014, with the last option year of the contract exercised in 2013. See State Model Contract for details. See the following for information on how assistance is awarded/released: Funding is distributed through the Payment Management System to pay for administrative costs and medical claims, monitored by monthly cost-reporting. Please see the State Model Contract for more detail.

Reports:

Please see State Model Contract www.hhs.gov/cciio/Documents/state_hrp_model_contact.pdf. Please see State Model Contract www.hhs.gov/cciio/Documents/state_hrp_model_contact.pdf. Please see State Model Contract www.hhs.gov/cciio/Documents/state_hrp_model_contact.pdf. Please see State Model Contract www.hhs.gov/cciio/Documents/state_hrp_model_contact.pdf. Please see State Model Contract www.hhs.gov/cciio/Documents/state_hrp_model_contact.pdf.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. .

Records:

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Account Identification:

01-2345-6-7-890.

Obligations:

(Direct Payments for Specified Use) FY 12 \$843,948; FY 13 est \$1,719,000,000; and FY 14 est \$358,000,000

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations can be found at: 45 CFR Part 152, Pre-Existing Condition Insurance Plan, Program; Interim Final Rule.

Regional or Local Office:

None.

Headquarters Office:

Michelle T. Feagins, 200 Independence Ave., SW , Washington, District of Columbia 20201 Email: michelle.feagins@hhs.gov Phone: 301-492-4312

Website Address:

<http://www.healthcare.gov> and www.pcip.com

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

See state model contract requirements.

93.530 AFFORDABLE CARE ACT - TEACHING HEALTH CENTER GRADUATE MEDICAL EDUCATION PAYMENTS PROGRAM

THC GME Payment Program

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Section 340H of the Public Health Service Act, as added by Section 5508 of the Patient Protection and Affordable Care Act of 2010 (Pub. L. 111-148).

OBJECTIVES:

Expansion of primary care and dental residency training programs in community based settings.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

Payments are for direct and indirect expenses for expansion of existing or establishment of new residency training programs in qualified teaching health centers. Eligible residencies include family medicine, internal medicine, pediatrics, med-peds, ob/gyn, psychiatry, general and pediatric dentistry, geriatrics. THCGME (Teaching Health Center Graduate Medical Education

Program) payments cannot duplicate payments from other GME funding such as Childrens Hospital GME and payments from the Centers for Medicare and Medicaid Services.

Applicant Eligibility:

Eligible entities include community-based ambulatory patient care centers that operate a primary care residency program. Specific examples of eligible entities include, but are not limited to:

- Federally qualified health centers, as defined in section 1905(l)(2)(B) of the Social Security Act
- Community mental health centers, as defined in section 1861(ff)(3)(B) of the Social Security Act
- Rural health clinics, as defined in section 1861(aa) of the Social Security Act
- Health centers operated by the Indian Health service, an Indian tribe, or tribal organization, or an urban Indian organization, as defined in section 4 of the Indian Health Care Improvement Act
- An entity receiving funds under Title X of the Public Health Service Act.

In addition, the eligible entity must be listed as the institutional sponsor by the relevant accrediting body, including the Accreditation Council for Graduate Medical Education (ACGME), American Osteopathic Association (AOA), or the Council on Dental Accreditation (CODA)

Federally Recognized Indian Tribal Government and Native American Organizations may apply if they are otherwise eligible.

Beneficiary Eligibility:

The program supports high-quality primary care residency training in community based settings.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. HRSA requires all applicants to apply electronically through Grants.gov.

Award Procedure:

Applications will be reviewed for eligibility including accreditation status, program approval, qualifications of the Project Director, completeness, accuracy and compliance with the requirements outlined in the funding opportunity announcement. Based on this review, the HRSA program official with delegated authority is responsible for final selection and formula-based funding decisions. Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Refer to the funding opportunity announcement for additional information.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Until the Secretary approves the THCGME payment formula an interim payment will be paid to eligible entities.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Awards are based on an annual assessment. See the following for information

on how assistance is awarded/released: Grantees draw down funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

The awardee must submit an annual report and complete reconciliation at the end of each Federal Fiscal year. Awardees are also required to report annually on specific performance measures. Cash reports are not applicable. New awards (Type 1) issued under this funding opportunity announcement are subject to the reporting requirements of the Federal Funding Accountability and Transparency Act (FFATA) of 2006 (Pub. L. 109282), as amended by section 6202 of Public Law 110252, and implemented by 2 CFR Part 170. Grant and cooperative agreement recipients must report information for each first-tier subaward of \$25,000 or more in federal funds and executive total compensation for the recipients and subrecipients five most highly compensated executives as outlined in Appendix A to 2 CFR Part 170 (FFATA details are available online at <http://www.hrsa.gov/grants/ffata.html>). Competing continuation awardees, etc. may be subject to this requirement and will be so notified in the Notice of Award. Refer to the funding opportunity announcement for additional information. Performance monitoring is not applicable.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. DHHS, or any other authorized Federal agency, may conduct an audit to determine whether the applicant has complied with all governing laws and regulations in its application for funding. Any and all information submitted to DHHS by an applicant or participating THC during or after the award of funds is subject to review in an audit. The THCGME statute provides for a reconciliation process at the end of each fiscal year, through which overpayments may be recouped and underpayments may be adjusted. (See section 340H (f) of the Public Health Service Act.) The reconciliation process is based on the number of residents reported by the THC for the Federal Fiscal Year to determine the final amount payable to the THC for the Federal Fiscal Year.

Records:

Grantees are required to maintain grant accounting records three years after the date they submit the final FFR. If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the three year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular three year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Formula Grants) FY 12 \$12,450,000; FY 13 est \$28,837,500; and FY 14 est \$68,084,000

Range and Average of Financial Assistance:

Graduate Medical Expense payments for a specific use.

Until the Secretary approves the THCGME payment formula an interim payment of up to \$150,000 per resident full time equivalent (FTE) per year will be paid to eligible entities for: (1) Direct expenses (DME) associated with sponsoring an approved graduate medical residency training program; and (2) Indirect expenses (IME) associated with the additional costs related to teaching residents.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for state, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable. HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices. Songhai Barclift, MD, Chief of the Community Based Training Branch Division of Medicine and Dentistry, Bureau of Health

Professions, Health Resources and Services Administration, Department of Health and Human Services, 5600 Fishers Lane, Room 9A-27, Rockville, Maryland 20857, Telephone: (301) 443-8681; Fax: 301 443-1945; E-mail: SBArclift@hrsa.gov.

Headquarters Office:

Division of Medicine and Dentistry, 5600 Fishers Lane, Room 9A-27, Rockville, Maryland 20857. Phone: (301) 443-6190 Fax: (301) 443-1945

Website Address:

http://www.hrsa.gov

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Formula grants to all eligible entities.

93.531 PPHF 2012: COMMUNITY TRANSFORMATION GRANTS AND NATIONAL DISSEMINATION AND SUPPORT FOR COMMUNITY TRANSFORMATION GRANTS - FINANCED SOLELY BY 2012 PREVENTION AND PUBLIC HEALTH FUNDS

Community Transformation Grants and National Dissemination and Support for Community Transformation Grants

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

This program is authorized under section 301(a) and 317(k) Public Health Service Act, 42 U.S. Code 241(a) and 247b (k) and Title IV Section 4002 Prevention and Public Health Fund.

OBJECTIVES:

Community Transformation Grants - To reduce death and disability from the five leading causes of death through the prevention and control these conditions and their risk factors. Recipients will select from a menu of interventions across the health and wellness spectrum, each of which can prevent or control chronic conditions. Each recipient will choose interventions that will help to achieve health equity, eliminate disparities, and improve the health of all groups. More specific goals within these broad goal areas will be provided in funding announcements

National Dissemination and Support for Community Transformation Grants - TTo support the efforts of the CTG program by funding national non-governmental organizations with a network of community based organizations.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Both programs - Funds may be used to support intensive approaches to chronic disease prevention and control described in Section 0.50 above. The use of and use restrictions are as follows: Recipients may only expend funds for reasonable policies, systems and environmental program purposes, including personnel, travel, supplies, and services, such as contractual to reduce risk factors, prevent and delay chronic disease. Recipients may not use funds for research. Recipients may not use funds for clinical care. Recipients may not generally use funding for the purchase of furniture or equipment. Any such proposed spending must be identified in the budget. The recipient must perform a substantial role in carrying out project objectives and not merely serve as a conduit for an award to another party or provider who is ineligible. The use of and use restrictions are as follows: Recipients may only expend funds for reasonable policies, systems and environmental program purposes, including personnel, travel, supplies, and services, such as contractual to reduce risk factors, prevent and delay chronic disease. Recipients may not use funds for research. Recipients may not use funds for clinical care. Recipients may not generally use funding for the purchase of furniture or equipment. Any such

proposed spending must be identified in the budget. The recipient must perform a substantial role in carrying out project objectives and not merely serve as a conduit for an award to another party or provider who is ineligible.

Applicant Eligibility:

Applications may be submitted by State or local governments or their Bona Fide Agents (this includes the District of Columbia, the Commonwealth of Puerto Rico, the Virgin Islands, the Commonwealth of the Northern Marianna Islands, American Samoa, Guam, the Federated States of Micronesia, the Republic of the Marshall Islands, and the Republic of Palau). Eligible applicants also include public and private nonprofit organizations, for profit organizations, small, minority, women-owned businesses, universities, colleges, research institutions, hospitals, community-based organizations, faith-based organizations, Federally recognized Indian tribal governments, Indian tribes, and Indian tribal organizations. Additional guidance may be provided in individual program announcements.

Beneficiary Eligibility:

The general public will benefit from the objectives of this program.

Credentials/Documentation:

Applicants must document the need for assistance, state the objectives of the project, outline the method of operation, describe the evaluation procedures and provide a budget justification for funds requested. Costs for nonprofit recipients will be determined in accordance with HHS Regulations, 45 CFR Part 74, Subpart Q. This program is excluded from coverage under OMB Circular No. A-87. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Executive Order 12372 may apply to the Community Transformation Grants, but does not apply to the National Dissemination Support for Community Transformation Grants. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program.

Award Procedure:

Applicable to both programs - An objective review panel will evaluate complete and responsive applications according to the evaluation criteria listed in funding opportunity announcement. Successful applicants will receive a Notice of Award (NOA) from the CDC Procurement and Grants Office. The NOA shall be the only binding, authorizing document between the recipient and CDC. The NOA will be signed by an authorized Grants Management Officer.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days.

Appeals:

Not Applicable.

Renewals:

> 180 Days. Both programs will be subject to satisfactory performance as documented through semi-annual progress reports, Project Officer oversight documentation and availability of funds.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Community Transformation Grants are for 1 to 5 years with 12-month budget periods.
National Dissemination and Support for Community Transformation Grants are for 1-4 years with 12 month budget periods. See the following for

information on how assistance is awarded/released: Notice of Award.

Reports:

Applicable to both programs - Annual and semiannual progress reports are required. No cash reports are required. Applicable to both programs - Annual or semiannual progress reports are required. Applicable to both programs - Final financial status and performance reports are required 90 days after the end of a budget period. Programmatic performance monitoring is a requirement of these cooperative agreements.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the grant program must be kept readily available for review by personnel authorized to examine PHS grant accounts. Financial records, supporting documentation, statistical records, and all other records pertinent to an award shall be retained for a minimum of 3 years, or until completion and resolution of any audit in process or pending resolution. In all cases records must be retained until resolution of any audit questions. Property records must be retained in accordance with 45 CFR 92.42 requirements.

Account Identification:

75-0094-3-1-550.

Obligations:

(Cooperative Agreements) FY 12 \$104,391,200; FY 13 est \$107,747,317; and FY 14 est \$0

Range and Average of Financial Assistance:

Community Transformation Grants Expected: \$50,000 - \$500,000
National Dissemination and Support for Community Transformation Grants Expected - \$350,000 - 3,500,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Code of Federal Regulations 45 CFR Part 92 and 74

Regional or Local Office:

None.

Headquarters Office:

Rebecca Bunnell 4770 Buford Hwy, NE, MS K40, NCCDPHP, Atlanta, Georgia 30333 Email: rrb7@cdc.gov Phone: 770-488-2524 Fax: 770-488-5964

Website Address:

: <http://www.cdc.gov/> ; and www.Grants.gov

RELATED PROGRAMS:

93.283 Centers for Disease Control and Prevention_ Investigations and Technical Assistance

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.533 PREVENTION AND PUBLIC HEALTH FUND (AFFORDABLE CARE ACT): ENHANCED SURVEILLANCE FOR NEW VACCINE PREVENTABLE DISEASE

Prevention and Public Health Fund Affordable Care Act - Enhanced Surveillance for New Vaccine Preventable Disease (NVSN)

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

This program is authorized under Sections 317, 317(k)(2), 318 and 318B of the Public Health Service Act (42 U.S.C. Sections 247b, 247b(k)(2) and 247c), as amended; and the Patient Protection and Affordable Care Act (PL 111-148), Title IV, Sections 4002, (Prevention and Public Health Fund) for expanded and sustained national investment in prevention and public health programs.

OBJECTIVES:

The purpose of the program is to support a network of sites that provide surveillance and data collection on new vaccine use, the impact of new and upcoming vaccines and other immunoprophylaxis, new vaccine policies / policies under consideration, through enhanced inpatient and Emergency Department(ED) surveillance, applied epidemiologic research, and investigator-initiated investigations. Measurable outcomes of the program will be in alignment with the following performance goal for NCIRD. Outcomes: 1) To evaluate the impact of new or upcoming vaccines and other immunoprophylaxis, and new vaccine policies on disease in site populations. 2) To evaluate the impact of new vaccines and new vaccine policies / policies under consideration on administration of vaccines. 3) To understand the burden of vaccine preventable diseases (VPDs) or potentially VPDs in the population.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Project funds may be used for costs associated with planning, data collection, organizing, and the implementation of program elements. Recipients may only expend funds for reasonable program purposes, including personnel, travel, supplies, and services, such as contractual.

Applicant Eligibility:

Applicant Eligibility: Higher Education Institutions: Public/State Controlled Institutions of Higher Education Private Institutions of Higher Education

The following types of Higher Education Institutions are always encouraged to apply for CDC support as Public or Private Institutions of Higher Education:

Hispanic-serving Institutions
Historically Black Colleges and Universities (HBCUs)
Tribally Controlled Colleges and Universities (TCCUs)
Alaska Native and Native Hawaiian Serving Institutions

Nonprofits Other Than Institutions of Higher Education
Nonprofits (Other than Institutions of Higher Education)

Governments
State Governments
County Governments
City or Township Governments
Special District Governments
Indian/Native American Tribal Governments (Federally Recognized)
Indian/Native American Tribal Governments (Other than Federally Recognized)
Eligible Agencies of the Federal Government
U.S. Territory or Possession

Other
Independent School Districts
Public Housing Authorities/Indian Housing Authorities
Native American tribal organizations (other than Federally recognized tribal governments)
Faith-based or Community-based Organizations
Regional Organizations
Bona Fide Agents

Entities must be States (or bona fide agents of States), political subdivision of States, or other public or non-profit private entities.

Beneficiary Eligibility:

Any U.S. state, political subdivision and U.S. territories (as described above), and other public entities will benefit.

Credentials/Documentation:

Allowable costs will be determined in accordance with OMB Circular No. A-87 for State, Local, and Indian Tribal governments. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Applicants must download application forms from www.Grants.gov. Applications must be submitted electronically at www.Grants.gov. If an applicant does not have access to the Internet, or if they have difficulty accessing the forms on-line, contact the CDC Procurement and Grants Office Technical Information Management Section (PGO-TIMS) staff. For this, or further assistance, contact PGO-TIMS: Telephone (770) 488-2700, Email: PGOTIM@cdc.gov.

Award Procedure:

Successful applicants will receive a Notice of Award (NoA) from the CDC Procurement and Grants Office. The NoA shall be the only binding, authorizing document between the recipient and CDC. The NoA will be signed by an authorized Grants Management Officer and emailed to the program director and a hard copy mailed to the recipient fiscal officer identified in the application.

Unsuccessful applicants will receive notification of the results of the application review by mail.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. Contact the headquarters, as appropriate, or the funding opportunity announcement for application deadlines.

Appeals:

Not Applicable.

Renewals:

Renewals will be based upon the conditions in the funding announcement and are subject to the availability of funds under the Patient Protection and Affordable Care Act.

Formula and Matching Requirements:

This program has no statutory formula. This program has no matching requirements. This program has no statutory formula. This program has no matching requirements. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Financial Assistances is available for a 12-month budget period. Method of awarding/releasing assistance: lump sum. Method of awarding/releasing assistance: lump sum.

Reports:

The reporting schedule will be based on the requirements listed in the funding announcement. In most cases, funded applicants must provide an interim and annual progress report. Where applicable, the interim progress report is due no less than 90 days before the end of the specified budget period. Where applicable, the Interim Progress Report (IPR) will serve as the non-competing continuation application. Financial Status Reports (SF 425) are required no more than 90 days after the end of the budget period. Final financial status and progress reports are due no later than 90 days after the end of the project period. No cash reports are required. The reporting schedule will be based on the requirements listed in the funding announcement. In most cases, funded applicants must provide an interim and annual progress report. Where applicable, the interim progress report is due no less than 90 days before the end of the specified budget period. Where applicable, the Interim Progress Report (IPR) will serve as the non-competing continuation application. Financial Status Reports (SF 425) are required no more than 90 days after the end of the budget period. Final financial status and progress reports are due no

later than 90 days after the end of the project period. The reporting schedule will be based on the requirements listed in the funding announcement. In most cases, funded applicants must provide an interim and annual progress report. Where applicable, the interim progress report is due no less than 90 days before the end of the specified budget period. Where applicable, the Interim Progress Report (IPR) will serve as the non-competing continuation application. Financial Status Reports (SF 425) are required no more than 90 days after the end of the budget period. Final financial status and progress reports are due no later than 90 days after the end of the project period. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. The grantee should include this requirement in all sub-recipient contracts.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the program shall be retained for a minimum of 3 years, or until completion and resolution of any audit in process or pending resolution. In all cases records must be retained until resolution of any audit questions. Property records must be retained in accordance with 45 CFR 92.42.

Account Identification:

75-0943-0-1-551.

Obligations:

(Salaries) FY 12 Not Available; FY 13 est \$1,878,200; and FY 14 Estimate Not Available - (Cooperative Agreements) FY10 Not Available; FY11 \$1,656,000; FY12 Estimate \$1,878,200. Approximately \$1,878,200 (estimate) for FY 2013 in cooperative agreement funding will be made available. Future year funding is subject to the availability of funds.

Range and Average of Financial Assistance:

Awards will range from approximately \$450,000 to \$550,000 with an average of approximately \$500,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations governing this program are published under 42 CFR 55b. Guidelines are available. 45 CFR 92, and also HHS Grants Policy Statement at <http://www.ahrq.gov/fund/hhspolicy.htm>

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Terry C Maricle 1600 Clifton Road, NE, MS A-47, Atlanta, Georgia 30333
Email: tcm1@cdc.gov Phone: 4046392102

Website Address:

No Data Available

RELATED PROGRAMS:

93.185 Immunization Research, Demonstration, Public Information and Education Training and Clinical Skills Improvement Projects

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be reviewed for completeness by the Procurement and Grants Office (PGO) staff and for responsiveness jointly by the Extramural Research Program Office (ERPO), NCHHSTP and PGO. Incomplete applications and applications that are non-responsive to the eligibility criteria will not advance through the review process. Applicants will be notified the application did not meet submission requirements.

CDC will conduct a review to evaluate complete and responsive applications according to the criteria listed in Section V. Application and Submission Information, subsection Evaluation Criteria within the individual funding announcement. Applicants will be notified if their application did not meet program requirements.

93.534 AFFORDABLE CARE ACT PROGRAM FOR EARLY DETECTION OF CERTAIN MEDICAL CONDITIONS RELATED TO ENVIRONMENTAL HEALTH HAZARDS

Agency for Toxic Disease Registry, Division of Health Studies

FEDERAL AGENCY:

Agency for Toxic Substances and Disease Registry, Department of Health and Human Services

AUTHORIZATION:

Affordable Care Act (ACA) Section 10323(b) amends Title XX of the Social Security Act (42 U.S.C. 1397 et seq.) to establish a Program for Early Detection of Certain Medical Conditions Related to Environmental Health Hazards. (Section 2009 of the Social Security Act, as amended) (42 U.S.C. 1397h).

OBJECTIVES:

The overall goals of this program are for Early Detection of Certain Medical Conditions Related to Environmental Health Hazards.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

This program provides screening, health education, and outreach services for residents of a geographic area subject to declared public health emergencies under the Comprehensive Environmental Response, Compensation, and Liability Act (CERCLA).

Applicant Eligibility:

- Eligibility as defined by the authorizing legislation.
- A hospital or community health center.
- A Federally qualified health center.
- A facility of the Indian Health Service.
- A National Cancer Institute-designated cancer center.
- An agency of any State or local government.
- A nonprofit organization.

Beneficiary Eligibility:

- Eligibility as defined by the authorizing legislation.
- A hospital or community health center.
- A Federally qualified health center.
- A facility of the Indian Health Service.
- A National Cancer Institute-designated cancer center.
- An agency of any State or local government.
- A nonprofit organization.

Credentials/Documentation:

Applicants must document the need for assistance, state the objectives of the project, outline the method of operation, describe the evaluation procedures and provide a budget justification for funds requested. Costs for nonprofit recipients will be determined in accordance with HHS Regulations, 45 CFR Part 74, Institutions of Higher Education, Other non-profits and Community Organizations and Hospitals also 45 CFR part 92, State, Local and Tribal Governments. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110

applies to this program.

Award Procedure:

An objective review panel will evaluate complete and responsive applications according to the evaluation criteria listed in funding opportunity announcement. Successful applicants will receive a Notice of Award (NOA) from the CDC Procurement and Grants Office. The NOA shall be the only binding, authorizing document between the recipient and ATSDR. The NOA will be signed by an authorized Grants Management Officer, Centers for Disease Control and Prevention (CDC), acting as agent for ATSDR.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

From 90 to 120 days. Renewals will be based upon the availability of funding and satisfactory programmatic progress. Project periods are for 1 to 5 years with 12 month budget periods. Applications must be obtained from Grants Management Officer, Grants Management Branch, Procurement and Grants Office, Centers for Disease Control and Prevention, acting as agent for ATSDR. Telephone: (770) 488-2700.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Project periods are for 1 to 5 years with 12-month budget periods. Method of awarding/releasing assistance: lump sum.

Reports:

May be requested on a quarterly basis. May be requested on a quarterly basis. May be requested on a quarterly basis. May be requested on a quarterly basis.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Detailed and accurate records of travel expenditures, personnel hours and all other costs will be retained for ten years in accordance with HHS "Superfund Financial Management and Recordkeeping Guidance for Federal Agencies." Such documents may be required to provide the basis of cost recovery actions or other litigation. Additionally, this documentation must be available for audit or verification upon the request of the Inspector General. Financial records, supporting documents, statistical records, and all other records pertinent to the grant program must be kept readily available for review by personnel authorized to examine PHS grant accounts. Financial records, supporting documentation, statistical records, and all other records pertinent to an award shall be retained for a minimum of 10 years, or until completion and resolution of any audit in process or pending resolution. In all cases records must be retained until resolution of any audit questions. Property records must be retained in accordance with 45 CFR 92.42 requirements.

Account Identification:

75-0944-0-1-551.

Obligations:

(Salaries) FY 12 \$2,325,280; FY 13 est \$2,500,000; and FY 14 est \$2,500,000

Range and Average of Financial Assistance:

Expected: \$2,000,000 3,000,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Code of Federal Regulations 45 CFR Part 74 and 45 CFR Part 92.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Caroline Gardner, 4770 Buford Hwy, NE, MS F61, Atlanta, Georgia 30341-3717 Email: ctg8@cdc.gov Phone: (770) 488-0572.

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Additional criteria will be listed in individual funding opportunity announcements.

93.535 AFFORDABLE CARE ACT (ACA) CHILDHOOD OBESITY RESEARCH DEMONSTRATION

Childhood Obesity Research Demonstration

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

This program is authorized under section 401(a) of the Childrens Health Insurance Program Reauthorization Act of 2009 (CHIPRA, Public Law 111-3), added new section 1139A, to the Social Security Act (the Act). Subsection 1139A(e) of the Social Security Act requires the Secretary of Health and Human Services (HHS) to conduct a Childhood Obesity Demonstration Project (http://www.ssa.gov/OP_Home/ssact/title11/1139A.htm). In 2010, the Patient Protection and Affordability Act (ACA) appropriated funding for the Project in section 4306.section 301(a) and 317(k).

OBJECTIVES:

The overall goals of this program are to determine whether an integrated model of primary care and public health approaches in the community, such as policy, systems, and environmental supports for nutrition and physical activity, can improve underserved childrens risk factors for obesity. More specific goals will be provided in funding announcement.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funds may be used to develop, implement, and evaluate multi-sectoral (i.e., childcare, school, community, health care), multi-level (i.e., child, family, organization, community, policy) intervention demonstration projects for underserved children ages 2-12 years and their families, utilizing the Obesity Chronic Care Model and other similar models, as described in subsection 1139A(e) of the Social Security Act and section 301(a) and 317(k) of the ACA above. The use of and use restrictions are as follows: Recipients may only expend funds to implement and evaluate evidence-based policies, systems and environmental interventions, including personnel, travel, supplies, and services, such as contractual to reduce risk factors and prevent childhood obesity. Recipients may not use funds for clinical care. Recipients may not generally use funding for the purchase of furniture or equipment. Any such proposed spending must be identified in the budget. The recipient must perform a substantial role in carrying out project objectives and not merely serve as a conduit for an award to another party or provider who is ineligible. The use of and use restrictions are as follows: Recipients may only expend funds to implement and evaluate evidence-based policies, systems and environmental interventions, including personnel, travel, supplies, and services, such as

contractual to reduce risk factors and prevent childhood obesity. Recipients may not use funds for clinical care. Recipients may not generally use funding for the purchase of furniture or equipment. Any such proposed spending must be identified in the budget. The recipient must perform a substantial role in carrying out project objectives and not merely serve as a conduit for an award to another party or provider who is ineligible.

Applicant Eligibility:

Applications may be submitted by State or local governments or their Bona Fide Agents (this includes the District of Columbia, the Commonwealth of Puerto Rico, the Virgin Islands, the Commonwealth of the Northern Mariana Islands, American Samoa, Guam, the Federated States of Micronesia, the Republic of the Marshall Islands, and the Republic of Palau). Eligible applicants also include public and private nonprofit organizations, for profit organizations, universities, colleges, research institutions, hospitals, community-based organizations, faith-based organizations, Federally recognized Indian tribal governments, Indian tribes, and Indian tribal organizations, Federally qualified health centers, health care providers, and an established consortium or partnership of entities. Additional guidance may be provided in the funding opportunity announcement.

Beneficiary Eligibility:

The general public will benefit from the objectives of this program, with a specific focus on low income children eligible for services under titles XIX and XXI of the Social Security Act; children and their families living at least 150% or higher federal poverty level; or catchment areas where 50% of students are in schools eligible for the National School Lunch Program.

Credentials/Documentation:

Applicants must document their reach into the eligible pool of children for this FOA, identify a catchment area and specify a rigorous pre -post design that include at least 1500 children: (1) low income children eligible for services under titles XIX and XXI of the Social Security Act; (2) children and their families living at least 150% or higher federal poverty level; or (3) catchment areas where 50% of students are in schools eligible for the National School Lunch Program. Applicants must state the research aims, the scientific knowledge to be obtained, specify the research methods to be used to test intervention strategies, and provide a budget justification for funds requested. Costs for nonprofit recipients will be determined in accordance with HHS Regulations, 45 CFR Part 74, Subpart Q. This program is excluded from coverage under OMB Circular No. A-87. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Pre-application coordination is not required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. This program is covered under OMB Circular No. A-102. This program is covered under OMB Circular No. A-110. Information on the submission of applications may be obtained from the Grants Management Officer, Grants Management Branch, Procurement and Grants Office, Centers for Disease Control and Prevention. Telephone: (770) 488-2700. The standard application forms must be used for this program as furnished by the Public Health Service and requirement by OMB Circular No. A-110 for nongovernmental applicants.

Award Procedure:

A scientific merit review will be conducted, followed by pre-decisional site visits, and a secondary review, to evaluate the scientific merit, responsiveness, readiness, and feasibility of applications according to the evaluation criteria listed in funding opportunity announcement. Successful applicants will receive a Notice of Award (NOA) from the CDC Procurement and Grants Office. The NOA shall be the only binding, authorizing document between the recipient and CDC. The NOA will be signed by an authorized Grants Management Officer.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

> 180 Days. From 200-220 days (approximately 7 months).

Appeals:

Not Applicable.

Renewals:

> 180 Days. Renewals will be based upon the availability of funding and satisfactory programmatic progress. The Project Periods is 4 years with four 12-month budget periods. Applications must be obtained from Grants Management Officer, Grants Management Branch, Procurement and Grants Office, Centers for Disease Control and Prevention. Telephone: (770 488-2700).

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. This program has no matching requirement.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The Project Periods is for 4 years, with four 12-month budget periods. See the following for information on how assistance is awarded/released: The Project Periods is for 4 years, with four 12-month budget periods. Based on availability of funds and performance. Assistance is awarded on an annual basis.

Reports:

An interim progress report, financial status reports, and a final report are required. The final financial status and final report are due within 90 days after the end of a Project Period. Cash reports are not applicable. An interim progress report, financial status reports, and a final report are required. The final financial status and final report are due within 90 days after the end of a Project Period. Annual Financial Status Reports are required 90 days after the end of each budget period. An interim progress report, financial status reports, and a final report are required. The final financial status and final report are due within 90 days after the end of a Project Period.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the grant program must be kept readily available for review by personnel authorized to examine PHS grant accounts. Financial records, supporting documentation, statistical records, and all other records pertinent to an award shall be retained for a minimum of 3 years, or until completion and resolution of any audit in process or pending resolution. In all cases records must be retained until resolution of any audit questions. Property records must be retained in accordance with 45 CFR 92.42 requirements.

Account Identification:

75-0943-0-1-550.

Obligations:

(Cooperative Agreements) FY 12 \$6,071,563; FY 13 est \$6,238,236; and FY 14 est \$6,200,000 - FY2012 estimate is \$5,071,564 for Component A and \$999,999 for Component B for a total of \$6,071,563.

FY2013 estimate is \$5,250,000 for component A and 1,000,000\$ for component B for a total award of \$6,250,000.

Range and Average of Financial Assistance:

Expected: Average award of \$1.75 million (3 awards) for Component A and \$1.0 million for Component B. (1 award).

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Code of Federal Regulations 45 CFR Part 92.

Regional or Local Office:

None. Headquarters Office: Michael E. Dalmat, Dr.P.H., Extramural Research Program Office, National Center for Chronic Disease Prevention and Health Promotion, Centers for Disease Control and Prevention, Department of Health and Human Services, 4770 Buford Highway, NE., Mailstop K-92, Atlanta, Georgia, 30341 Email: med1@cdc.gov , Phone: 770-488-6423, Fax: 770-488-8046.

Headquarters Office:

Michael Dalmat, 4770 Buford Highway, NE., Mailstop K-92, Atlanta, Georgia 30341 Email: med1@cdc.gov Phone: (770) 488-6423 Fax: (770) 488-8046.

Website Address:

: <http://www.cdc.gov/> ; and www.Grants.gov

RELATED PROGRAMS:

93.283 Centers for Disease Control and Prevention_Investigations and Technical Assistance

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

: Funding will be directed to tribal, territorial, large county, and state and local health departments for evidenced-based policies, systems, and environmental programmatic activities. Non-governmental organizations may also be eligible for the funding opportunity. Additional criteria will be listed in the funding opportunity announcement.

93.536 THE AFFORDABLE CARE ACT MEDICAID INCENTIVES FOR PREVENTION OF CHRONIC DISEASE DEMONSTRATION PROJECT MIPCD Program**FEDERAL AGENCY:**

Centers for Medicare and Medicaid Services, Department of Health and Human Services

AUTHORIZATION:

Affordable Care Act (Public Law 111-148) Section 4108.

OBJECTIVES:

Section 4108(a)(1)(A)The Secretary shall award grants to States to carry out initiatives to provide incentives to Medicaid beneficiaries who (i) successfully participate in a program described in paragraph (3); and (ii) upon completion of such participation, demonstrate changes in health risk and outcomes, including the adoption and maintenance of healthy behaviors by meeting specific targets (as described in subsection (c)(2)). (a)(1)(B) The purpose of the initiatives under this section is to test approaches that may encourage behavior modification and determine scalable solutions. (a)(3)(A)The Secretary shall award grants to States to carry out initiatives to provide incentives to Medicaid beneficiaries who successfully participate in a comprehensive, evidence-based, widely available, and easily accessible program, proposed by the State and approved by the Secretary, that is designed and uniquely suited to address the needs of Medicaid beneficiaries and has demonstrated success in helping individuals achieve ceasing use of tobacco, controlling their weight, lowering cholesterol, lowering blood pressure, and avoiding onset of diabetes. (a)(3)(B) A program under this section may also address co-morbidities (including depression) that are related to any of the conditions described in subparagraph (A). (a)(3)(C) WAIVER AUTHORITY- The Secretary may waive the requirements of section 1902(a)(1) (relating to statewideness) of the Social Security Act for a State awarded a grant to conduct an initiative under this section and shall ensure that a State makes any program described in subparagraph (A) available and accessible to Medicaid beneficiaries. (a)(4)(D) FLEXIBILITY IN IMPLEMENTATION- A State may enter into arrangements with providers participating in Medicaid, community-based organizations, faith-based organizations, public-private partnerships, Indian tribes, or similar entities or organizations to carry out programs described in subparagraph (A). (d)(2) A State awarded a grant to conduct an initiative under this section shall develop and implement a system to(1) track Medicaid beneficiary

participation in the program and validate changes in health risk and outcomes with clinical data, including the adoption and maintenance of health behaviors by such beneficiaries; (2) to the extent practicable, establish standards and health status targets for Medicaid beneficiaries participating in the program and measure the degree to which such standards and targets are met; (3) evaluate the effectiveness of the program and provide the Secretary with such evaluations; (4) report to the Secretary on processes that have been developed and lessons learned from the program; and (5) report on preventive services as part of reporting on quality measures for Medicaid managed care programs.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Funding under this program will be used according to the ACA section 4108 statute. States will be reimbursed for approved expenditures through the grant with 100 percent Federal funds. Approvable expenditures will include direct cash incentives, or alternative forms of inducement such as free goods, transportation support, reduced Medicaid program fees, provision of alternative preventive services not normally reimbursable through Medicaid, or payments to family members, friends or community agencies that provide support and facilitation of a participants preventive program attendance and meeting goals of tobacco cessation, controlling or reducing weight, lowering cholesterol, lowering blood pressure, and avoiding the onset of diabetes or improving the management of the condition. Also reimbursement of 100 percent of approved expenditures for the administrative operation of the MIPCD Program is available through the grant. Examples of eligible reimbursable items are: key personnel; MIPCD travel, training, outreach and marketing; IT infrastructure to accommodate the MIPCD reporting requirements; and completing the satisfaction survey requirements. Applicants should review the individual funding opportunity announcement issued under this CFDA program for prohibited uses of grant funding.

Applicant Eligibility:

Applicants should review the eligibility information of the individual funding opportunity announcement issued under this CFDA program.

Beneficiary Eligibility:

Applicants should review the funding opportunity description of the funding opportunity announcement issued under this CFDA program for information on participant eligibility requirements.

Sec 4108(e) No Effect on Eligibility For, or Amount of, Medicaid or Other Benefits Any incentives provided to a Medicaid beneficiary participating in a program described in subsection (a)(3) shall not be taken into account for purposes of determining the beneficiary's eligibility for, or amount of, benefits under the Medicaid program or any program funded in whole or in part with Federal funds.

Credentials/Documentation:

Applicants should review the individual funding opportunity announcement issued under this CFDA program for any required proof of certifications which must be submitted prior to or simultaneous with submission of an application package. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Sec 4108(4) APPLICATION- Following the development of program criteria by the Secretary, a State may submit an application, in such manner and containing such information as the Secretary may require, that shall include a proposal for programs described in paragraph (3)(A) and a plan to make Medicaid beneficiaries and providers participating in Medicaid who reside in the State aware and informed about such programs. The standard application form SF-424 and related forms, as furnished by CMS, must be used for this program. Applicants are encouraged to submit a non-binding Notice of Intent to Apply.

Notices of Intent to Apply are not required and a states submission or failure to submit a notice has no bearing on the scoring of proposals received. Notices of Intent to Apply are due no later than February 3, 2011. Applications are due March 1, 2011. Applicants should review the application and submission information in the funding opportunity announcement for specific instructions to apply for this grant program.

Award Procedure:

Successful applicants will receive a Notice of Award. Unsuccessful applicants will be notified by letter. Applicants should review the award administration information of the funding opportunity announcement issued under this CFDA program.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Applicants should review the award information of the individual funding opportunity announcement issued under this CFDA program for applicable approval/disapproval time range.

Appeals:

Not Applicable.

Renewals:

Subject to approval, the grant funding will be renewed annually.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. This program has no matching requirements.

This program does not have MOE requirements. This program has no MOE requirements.

Length and Time Phasing of Assistance:

Section 4108(f) Funding- Out of any funds in the Treasury not otherwise appropriated, there are appropriated for the 5-year period beginning on January 1, 2011, \$100,000,000 to the Secretary to carry out this section. The funds in this section may be obligated within the 5-year period beginning 1/1/2011. Method of awarding/releasing assistance: quarterly.

Reports:

Applicants should review the individual funding opportunity announcement for information on programmatic and evaluation reporting required. Section 4108(2) STATE REPORTING- A State awarded a grant to carry out initiatives under this section shall submit reports to the Secretary, on a semi-annual basis, regarding the programs that are supported by the grant funds. Such report shall include information, as specified by the Secretary, regarding--(A) the specific uses of the grant funds; (B) an assessment of program implementation and lessons learned from the programs; (C) an assessment of quality improvements and clinical outcomes under such programs; and (D) estimates of cost savings resulting from such programs. Applicants should review the individual funding opportunity announcement for information on financial reporting requirements. Applicants should review the individual funding opportunity announcement for information on programmatic and evaluation reporting requirements. Applicants should review the individual funding opportunity announcement for information on financial reporting requirements. Applicants should review the individual funding opportunity announcement for information on programmatic and evaluation reporting requirements.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Proper accounting records, identifiable by project number including all receipts and expenditures must be maintained for 3 years. Subsequent to audit, they must be maintained until all questions are resolved.

Account Identification:

75-0516-0-1-551.

Obligations:

(Salaries) FY 12 \$16,320,704; FY 13 est \$20,386,148; and FY 14 Estimate Not Available

Range and Average of Financial Assistance:

Estimate 8-10 states, \$1.7-2.1 million/per state in annual grant money and the full 12-month obligation to be made in the FY.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Applicants should refer to the funding opportunity announcement for applicable regulations, guidelines and literature.

Regional or Local Office:

See Regional Agency Offices. Applicants should refer to the agency contact information of the funding opportunity announcement under this CFDA program for contact information.

Headquarters Office:

Ed Hutton, 7500 Security Boulevard, Baltimore, Maryland 21244 Email: Grant@cms.hhs.gov Phone: (410) 786-6616.

Website Address:

<http://www.grants.gov> <http://www.cfda.gov>

RELATED PROGRAMS:

93.778 Medical Assistance Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applicant should refer to the funding opportunity announcement under this CFDA program for specific criteria requirements on proposal selection.

93.537 AFFORDABLE CARE ACT MEDICAID EMERGENCY PSYCHIATRIC DEMONSTRATION**FEDERAL AGENCY:**

Centers for Medicare and Medicaid Services, Department of Health and Human Services

AUTHORIZATION:

Affordable Care Act (Public Law 111-148) Section 2707.

OBJECTIVES:

Section 2707(a)The purpose of this program is for the Secretary of Health and Human Services (in this section referred to as the Secretary) to establish a demonstration project under which an eligible State (as described in subsection (c)) shall provide payment under the State Medicaid plan under title XIX of the Social Security Act to an institution for mental diseases (IMDs) that is not publicly owned or operated and that is subject to the requirements of section 1867 of the Social Security Act (42 U.S.C. 1395dd) or the provision of medical assistance available under such plan to individuals who(1) have attained age 21, but have not attained age 65; (2) are eligible for medical assistance under such plan; and (3) require such medical assistance to stabilize a psychiatric emergency medical condition. To conduct a 3-year Medicaid demonstration project participating States would be allowed to provide payment to (IMDs) of 17 or more beds for services provided to Medicaid beneficiaries who are the ages of 21 through 64, and who are in need of medical assistance to stabilize a psychiatric emergency medical condition.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

The statutes permits States selected to participate in the demonstration to provide payment to private (IMDs) of 17 or more beds for services provided to Medicaid beneficiaries who are the ages of 21 through 64, and who are in need of medical assistance to stabilize a psychiatric emergency medical condition. States will receive only federal matching funds for Medicaid payments made

under the demonstration. Funds shall be allocated to eligible States on the basis of criteria, including a States application and the availability of funds, as determined by the Secretary. Funding under the demonstration is intended to be used only for Medicaid payment for services specified under Section 2707. In addition, In no case may the aggregate amount of payments made by the Secretary to eligible States under this section exceed \$75,000,000; or payments be provided by the Secretary under this section after December 31, 2015. Funding under the demonstration is intended to be used only for Medicaid payment for services specified under Section 2707. In addition, In no case may the aggregate amount of payments made by the Secretary to eligible States under this section exceed \$75,000,000; or payments be provided by the Secretary under this section after December 31, 2015.

Applicant Eligibility:

State Medicaid Agencies.

Beneficiary Eligibility:

Medicaid eligibles (who are retroactively enrolled) and enrolled Medicaid beneficiaries who are the ages of 2 through 64, and who are in need of medical assistance to stabilize a psychiatric emergency medical condition.

Credentials/Documentation:

Yes, applicants should review the solicitation mailed directly to the State Medicaid Directors for any required proof of certifications which must be submitted prior to or simultaneous with submission of an application package. This program is excluded from coverage under OMB Circular No. A-87. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. . b) STABILIZATION REVIEW.A State shall specify in its application described in subsection (c)(1) establish a mechanism for how it will ensure that institutions participating in the demonstration will determine whether or not such individuals have been stabilized (as defined in subsection (h)(5)). This mechanism shall commence before the third day of the inpatient stay. States participating in the demonstration project may manage the provision of services for the stabilization of medical emergency conditions through utilization review, authorization, or management practices, or the application of medical necessity and appropriateness criteria applicable to behavioral health. States will be given 60 days to respond with their application. Applications will be reviewed by a panel of experts and panel results forwarded to the Administrator for final selection.

Award Procedure:

Applications will be reviewed and the results forwarded to the CMS Administrator for final selection. The selected States will be notified of approval status. .

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximate range of approval/disapproval is 60 days from the application deadline.

Appeals:

Not applicable.

Renewals:

Not applicable.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Section 2707(e)(5) of the ACA stipulates that, The Secretary shall pay to each eligible State, from its allocation under paragraph (4), an amount each quarter equal to the Federal medical assistance percentage of expenditures in the quarter for medical assistance provided under the demonstration. In accordance with this provision, CMS will make payments of

the federal Medicaid matching share quarterly based on the quarterly submission of State Medicaid expenditures. However, as part of the terms and agreements for participating in the demonstration, State Medicaid Agencies will be advised that once the federal funding limit is reached, States will not receive payment of the federal share of any outstanding Medicaid expenditures. This program does not have MOE requirements. Not applicable.

Length and Time Phasing of Assistance:

\$75 million is appropriated for fiscal year 2011 and shall remain available for obligation through December 31, 2015. Method of awarding/releasing assistance: quarterly. Method of awarding/releasing assistance: quarterly.

Reports:

Section 2707 (5) As a condition of receiving payment, a State shall collect and report information, as determined necessary by the Secretary, for the purposes of providing Federal oversight and conducting an evaluation under subsection (f)(1). No cash reports are required. Applicants should review the solicitation notice for additional information on progress reports required. States are required to submit quarterly expenditure reports. No performance monitoring is required. No cash reports are required. Applicants should review the solicitation notice for additional information on progress reports required. States are required to submit quarterly expenditure reports. No performance monitoring is required.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. .

Records:

Proper accounting records, identifiable by project number and including all receipts and expenditures must be maintained for 3 years. Subsequent to audit, they must be maintained until all questions are resolved.

Account Identification:

75-0516-0-1-551.

Obligations:

(Salaries) FY 12 \$1,397,600; FY 13 est \$15,000,000; and FY 14 est \$28,000,000

Range and Average of Financial Assistance:

Funds shall be allocated to eligible States on the basis of criteria, including a States application and the availability of funds, as determined by the Secretary.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Applicants should refer to the SMD letter for applicable regulations, guidelines and literature. Applications will be available in the announcement and a downloadable application will be available on the specified demonstration webpage.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Debra Gillespie 7500 Security Blvd., Baltimore, Maryland 21244 Email: Debra.Gillespie@cms.hhs.gov Phone: 410-786-4631

Website Address:

<http://www.cms.hhs.gov/contracts>

RELATED PROGRAMS:

93.778 Medical Assistance Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Section 2707(c)(3) A State shall be determined eligible for the demonstration by the Secretary on a competitive basis among States with applications meeting the requirements of paragraph (1). In selecting State applications for the demonstration project, the Secretary shall seek to achieve an appropriate national balance in the geographic distribution of such projects. The CMS review process of the State applications will be conducted by a panel

of experts. The CMS project officer will coordinate the panels review. Applicant should refer to the solicitation under this CFDA program or contact the CMS project officer for more information regarding the selection process.

93.538 AFFORDABLE CARE ACT - NATIONAL ENVIRONMENTAL PUBLIC HEALTH TRACKING PROGRAM-NETWORK IMPLEMENTATION

National Center for Environmental Health, Division of Environmental Hazards and Health Effects, Environmental Health Tracking Branch

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Section 317(k)(2)(42 U.S.C. 247b), Patient Protection and Affordable Care Act (ACA), section 4002(42 U.S.C. 300u-11).

OBJECTIVES:

The purpose of this program is to establish and maintain a nationwide tracking network to obtain integrated health and environmental data and use it to provide information in support of actions that improve the health of communities.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

This program provides funding to the states to establish their tracking network.

Applicant Eligibility:

Consistent with appropriation legislative history which began the Tracking initiative in FY 2002, states and local government health departments or their Bona Fide Agents.

Beneficiary Eligibility:

The general public will benefit from the objectives of this program.

Credentials/Documentation:

Applicants must document the need for assistance, state the objectives of the project, outline the method of operation, describe the evaluation procedures and provide a budget justification for funds requested. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Pre-application coordination is not required. This program is eligible for coverage under Executive Order 12372, Intergovernmental Review of Federal Programs.. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. This program is covered under OMB Circular No. A-102. It is subject to the provisions of 45 CFR, Part 92 for State and local governments. The standard application forms must be used for this program. Applicants must register their organizations through www.Grants.gov, the official agency-wide E-grant website, at least 30 days prior to submitting their applications. Registration information is located on the Get Registered screen of www.Grants.gov. Applications must be submitted electronically at www.Grants.gov. Electronic applications will be considered as having met the deadline if the application has been successfully made available to CDC for processing from www.Grants.gov on the deadline date. The application package can be downloaded from www.Grants.gov. Applicants can complete the application package off-line, and then upload and submit the application via the [Grants.gov](http://www.Grants.gov) Web site. The applicant must submit all application attachments using a PDF file format when submitting via [Grants.gov](http://www.Grants.gov). Directions for creating PDF files can be found on the [Grants.gov](http://www.Grants.gov) Web site. Use of file formats other than PDF may result in the file being unreadable by staff.

Award Procedure:

An objective review panel will evaluate complete and responsive applications

according to the evaluation criteria listed in funding opportunity announcement. Successful applicants will receive a Notice of Award (NOA) from the CDC Procurement and Grants Office. The NOA shall be the only binding, authorizing document between the recipient and CDC. The NOA will be signed by an authorized Grants Management Officer, CDC.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Renewals will be based upon the availability of funding and satisfactory programmatic progress. Project period is for 3 years with 12-month budget periods.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Project period is for 3 years with 12-month budget periods. See the following for information on how assistance is awarded/released: Terms are with in the Notice of Award which is a binding agreement between the grantee and the funding agency and comes from the Procurement and Grants Office.

Reports:

Semi-annual and annual progress reports are required. Final Financial Status and performance reports are required 90 days after the end of a project period. Site visits to ensure program integrity may also be conducted by CDC. Cash reports are not applicable. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. An organization that expends \$500,000 or more in a year in Federal Awards shall have a single or program-specific audit conducted for that year in accordance with the provisions of OMB Circular A-133, Audit of States, Local Governments, and Non-Profit Organizations.

Records:

Records must be retained in accordance with 45 CFR 92.42.

Account Identification:

75-0943-0-1-551.

Obligations:

(Cooperative Agreements) FY 12 \$22,359,835; FY 13 est \$14,367,667; and FY 14 est \$14,367,667 - Comments added: Program was funded fully by Affordable Care Act (ACA)/Preventive and Public Health Fund (PPHF) for FY2012, FY2013 and FY2014.

Range and Average of Financial Assistance:

Approximate Average Award is \$700,000. This amount is for the first 12-month budget period, and includes both direct and indirect costs. Floor of Individual Award Range is \$ 500,000. Ceiling of Individual Award Range is \$1,100,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Code of Federal Regulations 45 CFR Part 92

Regional or Local Office:

None.

Headquarters Office:

Caroline Gardner, 4770 Buford Hwy, NE, MS F61, Atlanta, Georgia 30341-3717 Phone: (770) 488-0572.

Website Address:

<http://www.cdc.gov/ephracking>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Criteria for selection of applicants are listed in the funding opportunity announcement.

93.539 PPHF 2012 - PREVENTION AND PUBLIC HEALTH FUND (AFFORDABLE CARE ACT) - CAPACITY BUILDING ASSISTANCE TO STRENGTHEN PUBLIC HEALTH IMMUNIZATION INFRASTRUCTURE AND PERFORMANCE FINANCED IN PART BY 2012 PREVENTION AND PUBLIC HEALTH FUNDS

Prevention and Public Health Fund (Affordable Care Act) - Immunization Program

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

This program is authorized under Section 317 of the Public Health Service Act (42 U.S.C. Sections 247b), as amended; and the Patient Protection and Affordable Care Act (PL 111-148), Title IV, Sections 4002, (Prevention and Public Health Fund) for expanded and sustained national investment in prevention and public health programs. This program is funded in part by 2012 Prevention and Public Health Funds (PPHF-2012).

OBJECTIVES:

Program activities under this funding will support efforts to transition immunization programs supported by Section 317 funding to the healthcare environment being transformed by the Affordable Care Act (ACA). Section 317 grantees manage the public health force that implements and supports immunization practices in the public and private sectors. Additionally, the importance of monitoring the effectiveness and impact of vaccines is critical for maintaining an immunization program that is scientifically and programmatically sound. The specific objectives may include but are not limited to: 1. Enhance interoperability between electronic health records and immunization information systems and reception of Health Level 7 (HL7) standard messages into IIS. 2. Develop a vaccine ordering module in an immunization information system that interfaces with CDC's VTrckS vaccine ordering and management system. 3. Develop and/or implement strategic plans for billing for immunization services in health department clinics to enable programs to increase program revenue, reach additional populations, provide recommended vaccines that are not currently offered, and address under-vaccinated populations. 4. Plan and implement adult immunization programs to improve adult immunization rates by establishing collaborations with employers and pharmacies and other healthcare entities to expand adult vaccination activities. 5. Enhance the sustainability of school-located vaccination (SLV) to make SLV programs successful, efficient, and sustainable through new technologies and innovative systems and third-party payer billing to recover program costs. 6. Increase Human Papillomavirus (HPV) vaccination coverage rates among adolescents.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Project funds may be used for costs associated with planning, organizing, conducting, and supporting immunization programs directed toward vaccine-preventable diseases, and for the implementation of other program

elements as described in Section 050 above and through CDC allowable and unallowable expenses. See above.

Applicant Eligibility:

Eligibility is limited to existing grantees under the Immunization Program (CFDA 93.268) which includes all 50 U.S. states, the District of Columbia, local health departments (Chicago, Houston, New York City, Philadelphia, San Antonio) and U.S. territories (Commonwealth of Puerto Rico, Virgin Islands, Commonwealth of the Northern Mariana Islands, American Samoa, Guam, Federated States of Micronesia, Republic of the Marshall Islands, Republic of Palau). Private individuals, private nonprofit agencies, and Indian tribes are not eligible.

Beneficiary Eligibility:

Any U.S. state, political subdivision and U.S. territories (as described above), and other public entities will benefit.

Credentials/Documentation:

Applicants should document the need for assistance, state the objectives of the project, outline the method of operation, describe the evaluation procedures, and provide a budget with justification of funds. Costs will be determined in accordance with 2 CFR 225 (OMB Circular No. A-87) for state and local governments. OMB Circular No. A-87 applies to this program. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Pre-application coordination is not required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372 Intergovernmental Review of Federal Programs. An applicant should consult the office or official designated as the single point of contact in his or her state for more information on the process the state requires to be followed in applying for assistance, if the state has selected the program for review.

This program is excluded from coverage under OMB Circular No. A-110. Allowable costs will be determined in accordance with OMB Circular No. A-87 for non-profit, non-governmental organizations. Applicants must download supplemental application forms from www.Grants.gov. Supplemental applications must be submitted electronically at www.Grants.gov.

If an applicant does not have access to the Internet, or if they have difficulty accessing the forms online, contact the CDC Procurement and Grants Office, Technical Information Management Section (PGO-TIMS) staff. For this, or further assistance, contact PGO-TIMS: Telephone: 770-488-2700, email: PGOTIM@cdc.gov. HHS/CDC Telecommunications for the hearing impaired: TTY 770-488-2783.

Award Procedure:

All applications that are complete and responsive to competitive supplemental grant and cooperative agreement announcements will undergo an objective review process, receive a written critique and be scored according to published review criteria. Successful applicants will receive a Notice of Award (NoA) from the CDC Procurement and Grants Office. The NoA shall be the only binding, authorizing document between the recipient and CDC. The NoA will be signed by an authorized CDC Grants Management Officer.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Renewals will be based upon the conditions in the funding announcement and are subject to the availability of funds under the Patient Protection and

Affordable Care Act.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Financial assistance is available for a 12-month budget period and 24-month project period. Method of awarding/releasing assistance: lump sum.

Reports:

The reporting schedule will be based on the requirements listed in the funding opportunity announcement. No cash reports are required. Funded applicants must provide a Monthly Progress Report within 30 days after the award and monthly thereafter. An Annual Progress Report is due 90 days after the end of the budget period. Federal Financial Reports (SF-425) and Final Progress Report are due 90 days after the end of the budget period. Federal Financial Reports (SF-425) are due 90 days after the end of the budget period. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and General Accounting Office (GAO). The grantee is to also ensure that the sub-recipients receiving CDC funds also meet these requirements (if total Federal grant or grants funds received exceed \$500,000). The grantee should include this requirement in all sub-recipient contracts.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the program shall be retained for a minimum of 3 years, or until completion and resolution of any audit in process or pending resolution. In all cases records must be retained until resolution of any audit questions. Property records must be retained in accordance with 45 CFR 92.42.

Account Identification:

75-0943-0-1-551.

Obligations:

(Cooperative Agreements) FY 12 \$23,467,989; FY 13 est \$7,000,000; and FY 14 Estimate Not Available

Range and Average of Financial Assistance:

Award ranges and averages vary based on program areas and supplemental projects funded through these cooperative agreements.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations governing this program are published under 42 CFR 55b. Guidelines are available for 45 CFR 92 and HHS Grants Policy statement at <http://www.ahrq.gov/fund/hhspolicy.htm>.

Regional or Local Office:

None.

Headquarters Office:

Aaron Borrelli, 1600 Clifton Road, NE, MS A-19, Atlanta, Georgia 30033. Phone: 404-639-8715.

Website Address:

<http://www.cdc.gov>.

RELATED PROGRAMS:

93.268 Immunization Grants

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be reviewed for completeness by the Procurement and Grants Office (PGO) staff and for responsiveness jointly by the National Center for Immunization and Respiratory Diseases and PGO. Incomplete applications and applications that are non-responsive to the eligibility criteria will not advance through the review process. Applicants will be notified if the application did not meet submission requirements. CDC will conduct a review to evaluate complete and responsive applications according to the criteria listed in Section V. Application Review Information within the individual funding announcement. Applicants will be notified if their application did not meet program requirements.

93.540 AFFORDABLE CARE ACT STREAMLINED SURVEILLANCE FOR VENTILATOR-ASSOCIATED PNEUMONIA: REDUCING BURDEN AND DEMONSTRATING PREVENTABILITY; AND PREVENTION AND PUBLIC HEALTH FUND**FEDERAL AGENCY:**

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

This program is authorized under Sections 317, 317(k)(2), 318 and 318B of the Public Health Service Act (42 U.S.C. Sections 247b, 247b(k)(2) and 247c), as amended; and the Patient Protection and Affordable Care Act (PL 111-148), Title IV, Sections 4002, (Prevention and Public Health Fund) for expanded and sustained national investment in prevention and public health programs, IV U.S.C 4002.

OBJECTIVES:

Ventilator-associated pneumonia (VAP) is one of the most common and deadly healthcare-associated infections (HAIs). Program activities under this funding will facilitate a study to demonstrate the utility and relevance of sVAP and promote acceptance of sVAP within the critical care community. Implementation of a new, reliable, valid, clinically credible and potentially automatable VAP surveillance definition will provide the foundation for national surveillance and prevention efforts and for pay-for-reporting and pay-for-performance programs, leading to improved patient safety and fewer complications for patients needing mechanical ventilation. Specifically, the objectives of this project are to: 1. Implement prospective sVAP surveillance in 9 acute-care hospitals currently conducting VAP surveillance using existing National Healthcare Safety Network (NHSN) definitions; 2. Compare the total sVAP surveillance burden to the total burden associated with use of existing NHSN VAP definitions; and 3. Implement an evidence-based VAP prevention initiative and evaluate sVAP preventability by assessing the association between sVAP rates and prevention measure compliance rates. Nine hospitals currently engaged in NHSN VAP surveillance will be recruited.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Project funds may be used for costs associated with planning, data collection, organizing, and the implementation of program elements to build HAI prevention and public health capacity. Recipients may only expend funds for reasonable program purposes, including personnel, travel, supplies, and services, such as contractual.

Applicant Eligibility:

Eligible applicants include recipients funded under the CDC Prevention Epicenters Program (CI11-001):

- a. Chicago Prevention Epicenter
- b. Duke University Prevention Epicenter
- c. Harvard/Irvine Prevention Epicenter
- d. University of Pennsylvania Prevention Epicenter
- e. Washington University Prevention Epicenter.

Beneficiary Eligibility:

State and local health departments, U.S. Territories, and the general public.

Credentials/Documentation:

Allowable costs will be determined in accordance with OMB Circular No. A-87 for State, Local, and Indian Tribal governments. OMB Circular No. A-87

applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Applicants must download application forms from www.Grants.gov. Applications must be submitted electronically at www.Grants.gov. If an applicant does not have access to the Internet, or if they have difficulty accessing the forms on-line, contact the CDC Procurement and Grants Office Technical Information Management Section (PGO-TIMS) staff. For this, or further assistance, contact PGO-TIMS: Telephone (770) 488-2700, Email: PGOTIM@cdc.gov.

Award Procedure:

Successful applicants will receive a Notice of Award (NoA) from the CDC Procurement and Grants Office. The NoA shall be the only binding, authorizing document between the recipient and CDC. The NoA will be signed by an authorized Grants Management Officer and emailed to the program director and a hard copy mailed to the recipient fiscal officer identified in the application.

Unsuccessful applicants will receive notification of the results of the application review by mail.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Renewals will be based upon the conditions in the funding announcement and are subject to the availability of funds under the Patient Protection and Affordable Care Act.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. This program has no matching requirements.

This program does not have MOE requirements. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Financial assistance is available for a 18-month budget period. Method of awarding/releasing assistance: lump sum.

Reports:

The reporting schedule will be based on the requirements listed in the funding announcement. In most cases, funded applicants must provide an interim and annual progress report. Where applicable, the interim progress report is due no less than 90 days before the end of the specified budget period. Where applicable, the Interim Progress Report (IPR) will serve as the non-competing continuation application. Financial Status Reports are required no more than 90 days after the end of the budget period. Final financial status and progress reports are due no later than 90 days after the end of the project period. No cash reports are required. The reporting schedule will be based on the requirements listed in the funding announcement. In most cases, funded applicants must provide an interim and annual progress report. Where applicable, the interim progress report is due no less than 90 days before the end of the specified budget period. Where applicable, the Interim Progress Report (IPR) will serve as the non-competing continuation application. Financial Status Reports are required no more than 90 days after the end of the budget period. Final financial status and progress reports are due no later than 90 days after the end of the project period. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and General Accounting Office (GAO). The grantee is to also ensure that the sub-recipients receiving CDC funds also meet these requirements (if total Federal grant or grants funds received exceed \$500,000). The grantee should include this requirement in all sub-recipient contracts.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the program shall be retained for a minimum of 3 years, or until completion and resolution of any audit in process or pending resolution. In all cases records must be retained until resolution of any audit questions. Property records must be retained in accordance with 45 CFR 92.42.

Account Identification:

75-0943-0-1-551.

Obligations:

(Cooperative Agreements) FY 12 \$30,000; FY 13 est \$0; and FY 14 est \$0 - Program has added \$30,000 (non-ACA funds) in FY12 to reinstate a research aim from the initial proposal. The project period is the same and the total funding provided for this project is now \$1,574,309.

Range and Average of Financial Assistance:

Approximately \$1,544,309 in FY 2011 is anticipated to fund 1 application. The award period is 24 months. An applicant may request a project period up to 24 months for a total of \$1,544,309 including direct and indirect costs.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None. Not applicable.

Headquarters Office:

Tiffanee L. Woodard CDC, 1600 Clifton Rd., MS-A31
, Atlanta, Georgia 30333. Phone: 404-639-7093. Fax: 406-639-4046.

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be reviewed for completeness by the Procurement and Grants Office (PGO) staff and for responsiveness jointly by Division of Healthcare Quality Promotion (DHQP), NCEZID and PGO. Incomplete applications and applications that are non-responsive to the eligibility criteria will not advance through the review process. Applicants will be notified the application did not meet submission requirements.

CDC will conduct a review to evaluate complete and responsive applications according to the criteria listed in Section V. Application and Submission Information, subsection Evaluation Criteria within the individual funding announcement. Applicants will be notified if their application did not meet program requirements.

93.541 THE PATIENT PROTECTION AND AFFORDABLE CARE ACT OF 2010 (ACA)

Racial and Ethnic Approaches to Community Health (REACH)

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

This program is authorized under section 301(a) and 317(k)(2) Patient Protection and Affordable Care Act of 2010, Public Health Service Act, 42 U.S. Code 241(a) and 247b (k) 2311, sec 4201.

OBJECTIVES:

The overall goals of this program are to reduce death and disability from leading causes of death through the prevention and control of these conditions and their risk factors. Racial and ethnic groups targeted include: African American/Black, American Indian/Alaska Native, Asian, Native Hawaiian/Other Pacific Islander, and Hispanic/Latino. Health priority areas include: breast and cervical cancer; cardiovascular disease; diabetes mellitus; adult/older adult immunization, hepatitis B, and/or tuberculosis; asthma; and infant mortality. REACH and Community Health program recipients will design, implement, evaluate, and disseminate community-driven strategies to eliminate health disparities as well as provide capacity-building to increase effectiveness of chronic disease prevention and health promotion programs at the state, territorial and local level through professional development and workforce training, identification, communication and use of effective evidence-based interventions. More specific goals within these broad goal areas will be provided in funding announcements.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funds may be used to support intensive approaches to chronic disease prevention and control described in Section 0.50 above. Recipients may only expend funds for reasonable policies, systems and environmental program purposes, including personnel, travel, supplies, and services, such as contractual to reduce risk factors, prevent and delay chronic disease.

Recipients may not use funds for research.

Recipients may not use funds for clinical care.

Recipients may not generally use funding for the purchase of furniture or equipment. Any such proposed spending must be identified in the budget.

The recipient must perform a substantial role in carrying out project objectives and not merely serve as a conduit for an award to another party or provider who is ineligible.

Recipients may not use funds for construction.

Applicant Eligibility:

Applications may be submitted by State or local governments or their Bona Fide Agents (this includes the District of Columbia, the Commonwealth of Puerto Rico, the Virgin Islands, the Commonwealth of the Northern Mariana Islands, American Samoa, Guam, the Federated States of Micronesia, the Republic of the Marshall Islands, and the Republic of Palau). Eligible applicants also include public and private nonprofit organizations, for profit organizations, small, minority, women-owned businesses, universities, colleges, research institutions, hospitals, community-based organizations, faith-based organizations, Federally recognized Indian tribal governments, Indian tribes, and Indian tribal organizations. Additional guidance may be provided in individual program announcements.

Beneficiary Eligibility:

The general public will benefit from the objectives of this program. Additionally, colleges, universities, private non-profit and public nonprofit domestic organizations, research institutions, and faith-based organization, states, political subdivisions of states, local health authorities, and individuals or organizations with specialized health interests will benefit.

Credentials/Documentation:

Applicants must document the need for assistance, state the objectives of the project, outline the method of operation, describe the evaluation procedures and provide a budget justification for funds requested. Costs for nonprofit recipients will be determined in accordance with HHS Regulations, 45 CFR Part 74, Subpart Q. This program is covered under OMB Circular No. A-87. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program.

Award Procedure:

An technical review panel will evaluate complete and responsive applications according to the evaluation criteria listed in funding opportunity announcement. Successful applicants will receive a Notice of Award (NOA) from the CDC Procurement and Grants Office. The NOA shall be the only binding, authorizing document between the recipient and CDC. The NOA will be signed by an authorized Grants Management Officer.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days.

Appeals:

Not Applicable.

Renewals:

Renewals will be based upon the availability of funding and satisfactory programmatic progress. Project periods is for one year with a 12 month budget period. Applications must be obtained from Grants Management Officer, Grants Management Branch, Procurement and Grants Office, Centers for Disease Control and Prevention. Telephone: (770) 488-2700.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Project periods is for one year with a 12 month budget period. Method of awarding/releasing assistance: lump sum.

Reports:

Annual or semiannual progress reports are required. Final financial status and performance reports are required 90 days after the end of a project period. Cash reports are not applicable. Annual or semiannual progress reports are required. Final financial status and performance reports are required 90 days after the end of a project period. Annual or semiannual progress reports are required. Final financial status and performance reports are required 90 days after the end of a project period. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the grant program must be kept readily available for review by personnel authorized to examine PHS grant accounts. Financial records, supporting documentation, statistical records, and all other records pertinent to an award shall be retained for a minimum of 3 years, or until completion and resolution of any audit in process or pending resolution. In all cases records must be retained until resolution of any audit questions. Property records must be retained in accordance with 45 CFR 92.42 requirements.

Account Identification:

75-0094-3-1-550.

Obligations:

(Cooperative Agreements) FY 12 \$1,499,770; FY 13 est \$1,500,000; and FY 14

est \$0

Range and Average of Financial Assistance:

09-905 \$250,000 (REACH NMO).

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Code of Federal Regulations 45 CFR Part 74.

Regional or Local Office:

None.

Headquarters Office:

Sandra L Coulberson 4770 Buford Highway, NE, Mailstop K30
, Atlanta, Georgia 30341 Email: axc1@cdc.gov Phone: 770-488-5332 Fax:
770-488-5974.

Website Address:

No Data Available

RELATED PROGRAMS:

93.283 Centers for Disease Control and Prevention_ Investigations and
Technical Assistance

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Funding will be directed to tribal, territorial, large county, and state and local health departments for evidenced-based policies, systems, and environmental programmatic activities. Non-governmental organizations are also eligible for funding opportunities. Additional criteria will be listed in individual funding opportunity announcements.

**93.542 HEALTH PROMOTION AND DISEASE PREVENTION
RESEARCH CENTERS: PPHF 2012 - AFFORDABLE CARE ACT
PROJECTS**

Prevention Research Centers

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human
Services

AUTHORIZATION:

Executive Order This program is authorized under Section 4002 of the ACA,
Prevention and Public Health Fund, Section 1706 of the PHS Act, 42 U.S.C.
300u-5, and Section 317(k)(2) of the PHS Act, 42 U.S.C. 247b(k)(2).

OBJECTIVES:

To: (1) To maintain, and operate academic-based centers for high-quality research and demonstration with respect to health promotion and disease prevention; (2) to establish linkages, where applicable, between ongoing, basic research in a wide array of fields and applied research in disease prevention and health promotion; (3) to bring the knowledge and expertise of academic health centers to bear on practical public health problems; (4) to field test and rigorously evaluate more cost-effective methods and strategies for preventing unnecessary illness and promoting good health; (5) to shorten the time lag between the development of new and proven effective disease prevention and health promotion techniques and their widespread application, and; 6) to involve the community in the development, conduct, and implementation of prevention research. Prevention Research Centers will: (1) focus on the major causes of death and disability, with an emphasis on underserved and vulnerable populations (2) improve public health practice through community-based participatory research, and (3) design, test, disseminate, or translate effective public health programs at the state and community level. Funding will support key elements of (1) infrastructure and administration, (2) community engagement and partnerships, (3) communication and dissemination, (4) training and education, (5) evaluation, (6) a community-based participatory core research project, and -- for some Prevention Research Centers, 7) special interest projects (SIPS).

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funds may be used for cost associated with planning, data collection, organizing, and the implementation of program elements related to the objectives identified in 050 above. Recipients may only expend funds for reasonable program purposes, including personnel, travel, supplies, and services, such as contractual. Recipients may not use funds for clinical care. The recipient must perform a substantial role in carrying out project objectives and not merely serve as a conduit for an award to another party or provider who is ineligible to apply for funding.

Applicant Eligibility:

Only applicants who have applied for and have been selected as Prevention Research Centers under CDC Program Announcement DP-09-001 are eligible to apply for the annual continuation funding for the core award and Special Interest Project (SIPS) awards. Funding is limited to Prevention Research Centers under CDC Program Announcement PA DP-09-001 because they are uniquely positioned to perform, oversee, and coordinate community-based participatory research that promotes the field of prevention research due to their established relationships with community partners.

Beneficiary Eligibility:

The general public will benefit from the objectives of this program.

Credentials/Documentation:

Applicants must have applied for and been selected as Prevention Research Centers under CDC Program Announcement DP-09-001. Applicants must document the need for assistance, state the objectives of the proposed project, outline the method of operation, describe the evaluation procedures and provide a budget justification for funds requested. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Application Procedure: The CDC Procurement and Grants Office (PGO) will provide a Prevention Research Center (PRC) Program Guidance document to eligibility applicants entitled How to Prepare the PRC Non-Competing Continuation Application and Progress Report. Applicants must manually submit an annual continuation application to PGO by the deadline provided in the Program Guidance document. If the applicant has difficulty, contact the CDC Procurement and Grants Office Technical Information Management Section (PGO-TIMS) staff. For this, or further assistance, contact PGO-TIMS: Telephone (770) 488-2700, Email: PGOTIM@cdc.gov.

Award Procedure:

CDC will not review incomplete and non-responsive continuation applications for core awards and Special Interest Projects (SIPS) awards. Applications that are complete and responsive will be evaluated for scientific and technical merit by the CDC Scientific Collaborator for the project, the CDC Project Officer for the Prevention Research Center, and a Scientific Program Official within the CDC Extramural Research Program Office. Successful applicants will receive a Notice of Grant Award (NGA) from the CDC Procurement and Grants Office. The NGA shall be the only binding, authorizing document between the recipient and CDC. The NGA will be signed by an authorized Grants Management Officer. The award will provide funds for the budget period of 12 months and the NGA will indicate allocation of Federal funds by budget categories and special conditions, if any. The project period for continuation funding is 12 months.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. None.

Appeals:

Not Applicable.

Renewals:

No renewals; the project period is 12 months.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. The program has no statutory formula. The program has no matching requirement.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The project period is 12 months with one budget period of 12 months. Method of awarding/releasing assistance: quarterly.

Reports:

An interim progress report, financial status reports, and a final progress report are required. The final financial status and final report are due within 90 days after the end of a Project Period. Cash reports are not applicable. An interim progress report, financial status reports, and a final progress report are required.

The final financial status and final report are due within 90 days after the end of a Project Period. An interim progress report, financial status reports, and a final progress report are required. The final financial status and final report are due within 90 days after the end of a Project Period. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. An organization that expends \$500,000 or more in a year in Federal awards shall have a single or program-specific audit conducted for that year in accordance with the provisions of OMB Circular A-133, Audit of States, Local Governments, and Non-Profit Organizations.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the grant program must be kept readily available for review by personnel authorized to examine PHS grant accounts. Financial records, supporting documentation, statistical records, and all other records pertinent to an award shall be retained for a minimum of 3 years, or until completion and resolution of any audit in process or pending resolution. In all cases records must be retained until resolution of any audit questions. Property records must be retained in accordance with 45 CFR 92.42 requirements.

Account Identification:

75-1209-4-3-000.

Obligations:

(Salaries) FY 12 \$9,875,000; FY 13 est \$9,875,000; and FY 14 est \$0

Range and Average of Financial Assistance:

Range from \$300,000 to \$625,000 per award for an average award of \$500,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Code of Federal Regulations 45 CFR Part 92 at

<http://www.hhs.gov/opa/grants/toolsdocs/45cfr92.html>.

HHS/CDC grants policies as described in the HHS Grants Policy Statement.

Regional or Local Office:

None.

Headquarters Office:

Joyner Sims NCCDPHP, Chamble Campus, Building 107, Room 8111

4770 Buford Highway, NE

MailStop F-80, Atlanta, Georgia 30341. Email: jsims2@cdc.gov Phone:

770-488-3043. Fax: 770-488-7863.

Website Address:

<http://www.cdc.gov/> ; and www.Grants.gov

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

CDC will complete a review to evaluate responsive applications according to criteria provided in Section V of the Application Review Information of the Funding Opportunity Announcement (FOA). Applicants will be notified of the evaluation results.

93.544 THE PATIENT PROTECTION AND AFFORDABLE CARE ACT OF 2010 (AFFORDABLE CARE ACT) AUTHORIZES COORDINATED CHRONIC DISEASE PREVENTION AND HEALTH PROMOTION PROGRAM

Coordinated Chronic Disease Prevention and Health Promotion Program

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

This program is authorized under section 301(a) and 317(k) of the Public Health Service Act, 42 U.S.C. 241(a) and 247b.

OBJECTIVES:

Coordinated Chronic Disease Prevention and Health Promotion Program - The purpose of the program is to establish or strengthen Chronic Disease Prevention Programs within State and Territory Health Departments, to provide leadership and coordination, and support development, implementation and evaluation of CDC funded programs, including those addressing nutrition, physical activity and obesity, diabetes, heart disease and stroke, arthritis, cancer prevention and control, and diabetes. These inter-related conditions share risk factors and intervention strategies that would benefit from coordinated, collaborative implementation with consolidated leadership and oversight to ensure achievement of outcomes, efficient use of resources, implementation of evidence-based interventions, and dissemination of best practices across programs. The award recipients will be expected to establish or strengthen a Chronic disease Prevention Unit that provides leadership for chronic disease prevention and control, establishes cross cutting policy, communications, epidemiology and evaluation activities with the Chronic Disease Prevention Unit to support an array of categorical programs, foster collaboration and efficient use of resources across existing or new categorical programs addressing chronic diseases and their associated risk factors, best position programs and resources to achieve population level change in proposed chronic disease health and risk factor outcomes, and to identify specific population subgroups that suffer disproportionately from the conditions or risk factors being addressed and narrow the gaps in health status between these population subgroups and the population as a whole.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funds may be used to support intensive approaches to chronic disease prevention and control described in Section 0.50 above. The use of and use restrictions are as follows:

Recipients may only expend funds for reasonable policies, systems and environmental program purposes, including personnel, travel, supplies, and services, such as contractual to reduce risk factors, prevent and delay chronic disease.

Recipients may not use funds for research.

Recipients may not use funds for clinical care.

Recipients may not generally use funding for the purchase of furniture or equipment. Any such proposed spending must be identified in the budget.

The recipient must perform a substantial role in carrying out project objectives and not merely serve as a conduit for an award to another party or provider who is ineligible. The use of and use restrictions are as follows:

Recipients may only expend funds for reasonable policies, systems and environmental program purposes, including personnel, travel, supplies, and

services, such as contractual to reduce risk factors, prevent and delay chronic disease.

Recipients may not use funds for research.

Recipients may not use funds for clinical care.

Recipients may not generally use funding for the purchase of furniture or equipment. Any such proposed spending must be identified in the budget.

The recipient must perform a substantial role in carrying out project objectives and not merely serve as a conduit for an award to another party or provider who is ineligible.

Applicant Eligibility:

Eligible applicants that can apply for this funding opportunity are listed below: Grantees currently funded under D09-901 Collaborative Chronic Disease, Health Promotion, and Surveillance Program Announcement and DP09-902 Affiliated Pacific Island Collaborative Performance Agreement: Healthy Communities, Tobacco Control, Diabetes Prevention and Control, and Behavioral Risk Factor Surveillance System, National Center for Chronic Disease Prevention and Health Promotion.

Beneficiary Eligibility:

The general public will benefit from the objectives of this program.

Credentials/Documentation:

Applicants must document the need for assistance, state the objectives of the project, outline the method of operation, describe the evaluation procedures and provide a budget justification for funds requested. Costs for nonprofit recipients will be determined in accordance with HHS Regulations, 45 CFR Part 74, Subpart Q. This program is excluded from coverage under OMB Circular No. A-87. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program.

Award Procedure:

All eligible applications will be initially reviewed for completeness by the Procurement and Grants Office (PGO) staff. Incomplete applications will not advance through the review process. Applicants will be notified the application did not meet eligibility and/or published submission requirements.

Selection

All approved applications will be funded based on the formula provided in appendix A.

Successful applicants will receive a Notice of Award (NOA) from the CDC Procurement and Grants Office. The NOA shall be the only binding, authorizing document between the recipient and CDC. The NOA will be signed by an authorized Grants Management Officer.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days.

Appeals:

Not Applicable.

Renewals:

> 180 Days. Renewals will be based upon the availability of funding and satisfactory programmatic progress. Project periods are for 1 to 3 years with 12 month budget periods. Applications must be obtained from Grants Management Officer, Grants Management Branch, Procurement and Grants Office, Centers for Disease Control and Prevention. Telephone: (770) 488-2700.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Project periods are for 1 to 3 years with 12-month budget periods. See the following for information on how assistance is awarded/released: Notice of Award.

Reports:

Annual and semiannual progress reports are required. Final financial status and performance reports are required 90 days after the end of a budget period. Cash reports are not applicable. Annual and semiannual progress reports are required. Final financial status and performance reports are required 90 days after the end of a budget period. Final financial status and performance reports are required 90 days after the end of a budget period. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. An organization that expends \$500,000 or more in a year in Federal awards shall have a single or program-specific audit conducted for that year in accordance with the provisions of OMB Circular A-133, Audit of States, Local Governments, and Non-Profit Organizations.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the grant program must be kept readily available for review by personnel authorized to examine PHS grant accounts. Financial records, supporting documentation, statistical records, and all other records pertinent to an award shall be retained for a minimum of 3 years, or until completion and resolution of any audit in process or pending resolution. In all cases records must be retained until resolution of any audit questions. Property records must be retained in accordance with 45 CFR 92.42 requirements.

Account Identification:

75-0094-3-1-550.

Obligations:

(Salaries) FY 12 \$53,000; FY 13 est \$0; and FY 14 Estimate Not Available

Range and Average of Financial Assistance:

\$200,000 to 1,800,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Code of Federal Regulations 45 CFR Part 92

Regional or Local Office:

None.

Headquarters Office:

John R. Lehnerr, 4770 Buford Highway, NE., Mailstop K40 , Atlanta,

Georgia 30333 Email: jrl5@cdc.gov Phone: (770) 488-5402 Fax: (770) 488-5971.

Website Address:

<http://www.cdc.gov/> ; and www.Grants.gov

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.545 CONSUMER OPERATED AND ORIENTED PLAN [CO-OP] PROGRAM

FEDERAL AGENCY:

Centers for Medicare and Medicaid Services, Department of Health and Human Services

AUTHORIZATION:

Affordable Care Act Section 1322.

OBJECTIVES:

To provide assistance to applicants for activities related to establishing a consumer operated and oriented, nonprofit health insurance issuer. Please refer to the Funding Opportunity Announcement (FOA) for additional information.

TYPES OF ASSISTANCE:

Direct Loans

USES AND USE RESTRICTIONS:

Start-up Loans: Start-up Loans are intended to assist applicants with the start-up costs associated with establishing a new health insurance issuer, such as: renting or developing administrative technology systems; renting or developing provider networks; hiring counsel and consultants to assist with State licensure requirements; hiring actuaries and management staff; conducting community and prospective member education; developing strategic plans to build enrollment; developing plans for quality improvement, member and provider services, and grievances and appeals systems with feedback loops to improve operations; establishing and participating in a private purchasing council; and other start-up costs. Start-up Loans cannot be used to fund costs associated with construction of facilities, including clinical facilities, nor can Start-up Loans be used for clinical expenses, such as provider salaries or payments, provider clinical space or administrative staff associated with clinical functions, and clinical equipment. These items are intended to be covered by the premiums and reflected in the reimbursement to providers. Solvency Loans: Solvency Loans are intended to assist eligible entities with meeting the solvency and reserve requirements of States in which the applicant seeks to be licensed to issue CO-OP qualified health plans. Loan recipients must use the loans awarded under the CO-OP program to fund the activities proposed and approved in the loan recipients application consistent with the terms of the organizations loan agreement with CMS. Loan recipients are prohibited from using the loans awarded under the CO-OP program to conduct marketing or carry on propaganda and other activities attempting to influence legislation. Start-up Loans: Start-up Loans are required to be repaid five years after each drawdown of funds. Solvency Loans: Solvency Loans are required to be repaid 15 years after each drawdown of funds. Please refer to the FOA for this program for more detail on each type of loan and the requirements for each type of loans use and repayment.

Applicant Eligibility:

Please refer to the FOA for more information.

Beneficiary Eligibility:

*Please refer to the FOA for more information.

Credentials/Documentation:

Applicants must provide proof that they have formed a nonprofit member organization and are not prohibited from program participation. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

All qualified applications will be forwarded to objective external reviewers. The CMS program official with delegated authority is responsible for final selection and funding decisions. In making these decisions, the program official will take into consideration: recommendations of the external reviewers; reviews for programmatic and grants management compliance; the reasonableness of the size of the loan request and anticipated results of funding the application; ability to repay the loan; and the likelihood that the proposed project will result in the benefits expected. CMS reserves the right to conduct pre-award Budget Negotiations with potential loan recipients. Notification is made in writing by a Notice of Award.

Deadlines:

Oct 17, 2011: First Round. First Round Loans

Grants.gov application due date: October 17, 2011;

First loan award date: February 17, 2012. Subsequent Loans will be awarded in 2012 and 2013. After the first round application due date (October 17, 2011), applications will be accepted on a quarterly basis until December 31, 2012.

Range of Approval/Disapproval Time:

From 60 to 90 days. Loan awards or a response to the application will be provided approximately 75 days after each applicant receives notice that its application is complete.

Appeals:

An applicant may request reconsideration of a loan application determination. Any determination made by CMS with respect to that loan application as a result of reconsideration is final and is not subject to reconsideration, appeals, or other administrative or judicial review related to that specific loan application. However, an applicant is not prohibited from submitting an entirely new loan application.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Start-up Loans must be repaid within 5 years of the date of each drawdown and Solvency Loans must be repaid within 15 years of the date of each drawdown. See the following for information on how assistance is awarded/released: The FOA will outline how assistance is awarded/released.

Reports:

Start-up and Solvency Loan Recipients. All successful applicants under this announcement must comply with the following reporting and review activities:

a. Semi-Annual Progress Report. Loan recipients must provide the Project Officer information such as, but not limited to: Progress on the goals, objectives, milestones, and activities identified in its Business Plan and the Loan Agreement; Accomplishments, barriers, and lessons learned; Data on the loan recipients responsiveness to member grievance, maintenance of consumer control, and quality of care; Any changes in the Business Plan; and Updated financial reports and pro forma reports. More details of the semi-annual progress report will be outlined in the Loan Agreement and loan recipients will be provided with a reporting template. b. Annual Program Report; In addition to the semi-annual progress report, each loan recipient will be required to submit a program report annually, such as, but not limited to: Updated financial reports and pro forma reports; Certification of compliance with all applicable state insurance regulation or an explanation of any deficiencies or adverse actions or determinations by state insurance regulators and a timeline for resolving all issues with state insurance regulators; An updated Business Plan.

More details of the annual report will be outlined in the Loan Agreement and loan recipients will be provided with a reporting template. c. Corrective Action Plan (CAP) If CMS concludes that a loan recipient has not complied with the requirements set forth in Section 1322 of the Affordable Care Act, 45 CFR part 156 subpart F, or in its Loan Agreement, CMS may require the loan recipient, via a notice of violation, to submit a CAP and implement the CAP as approved by CMS. 1. The loan recipient must submit, for CMS approval, a CAP by the deadline indicated on the notice of violation. 2. The CAP must specify the actions that the loan recipient will take to ensure that the loan recipient, its members, its providers and suppliers, and contracted entities performing services or functions on its behalf, will correct any deficiencies and remain in compliance with program requirements. 3. CMS will monitor the loan recipients performance during the CAP process. 4. Failure to submit, obtain approval for, or implement a CAP may result in termination of the loan agreement, as may failure to demonstrate improved performance upon completion of the CAP. d. Enhanced Oversight Plan CMS may place a loan recipient in an enhanced oversight plan if the loan recipient consistently underperforms or repeatedly has difficulty in meeting program milestones and benchmarks, as identified in its loan agreement. Under an enhanced oversight plan, CMS will conduct stronger and more frequent review of the loan recipients operations and financial status. CMS may require the loan recipient to develop and implement a corrective action plan (CAP). In addition, CMS may provide technical assistance if CMS determines that doing so would improve the performance of the loan recipient and increase the likelihood of loan repayment. e. Transparency Act Reporting Requirements New awards issued under this funding opportunity announcement are subject to the reporting requirements of the Federal Funding Accountability and Transparency Act (FFATA) of 2006 (P. L. 109282), as amended by section 6202 of Public Law 110252 and implemented by 2 CFR Part 170. Recipients must report information for each first-tier sub award of \$25,000 or more in Federal funds and executive total compensation for the recipients and sub recipients five most highly compensated executives as outlined in Appendix A to 2 CFR Part 170 (available online at www.frsr.gov). Each loan recipient must submit a quarterly electronic SF 425 via the Payment Management System. The report identifies cash transactions and expenditures against the authorized funds for the loan. Please refer to the description provided in Reports (111) a. of this template for information on the semi-annual progress report. Each loan recipient must submit a quarterly electronic SF 425 via the Payment Management System. The report identifies cash transactions and expenditures against the authorized funds for the loan. Please refer to the FOA.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Each loan recipient must submit an audited annual financial report.

Records:

A loan recipient must comply with the requirements contained in the Funding Opportunity Announcement and its loan agreement. Specifically: (a) Right to audit. The loan recipient must agree, and must require its providers, suppliers, and contracted entities performing services or functions on behalf of the loan recipient to agree, that HHS, the Comptroller General, the OIG or their designees have the right to audit, inspect, and evaluate any books, contracts, records, documents, and other evidence of the loan recipient, and its providers and suppliers, and contracted entities that pertain to(1) The loan recipients compliance with program requirements; and (2) The ability of the loan recipient to repay loan funds to CMS. (b) Maintenance of records. A loan recipient must agree and must require its providers, suppliers, and contracted entities performing functions or services on behalf of the loan recipient to agree to the following: (1) To maintain and give HHS, the Comptroller General, OIG, or their designees access to all books, contracts, records, documents, and other evidence sufficient to enable the audit, evaluation, and inspection of the loan recipients compliance with program requirements; (2) To maintain such books, contracts, records, documents, and other evidence for a period of 10 years from the final date of the repayment period or from the date of completion

of any audit, evaluation, or inspections, whichever is later, unless (i) CMS determines there is a special need to retain a particular record or group of records for a longer period and notifies the loan recipient at least 30 days before the normal disposition date; (ii) There has been a termination, dispute, or allegation of fraud or similar fault by the loan recipient, its providers, suppliers, or contracted entities that perform functions or services on its behalf, in which case the loan recipient must retain records for an additional 6 years from the date of any resulting final resolution of the termination, dispute, or allegation of fraud or similar fault; (iii) There is a reasonable possibility of fraud or similar fault by the loan recipient or its providers and suppliers, or contracted entities performing services or functions on behalf of the loan recipient, in which case CMS may inspect, evaluate, and audit the loan recipient at any time while the loan funds are in repayment; and (3) Notwithstanding any arrangements between or among a loan recipient and its members, providers and suppliers, and contracted entities performing functions or services on its behalf, the loan recipient must have ultimate responsibility for adhering to and otherwise fully complying with all terms and conditions of its loan agreement with CMS, including the requirements set forth in this section.

Account Identification:

75-0118-0-1-551.

Obligations:

(Direct Loans) FY 12 \$1,691,348,280; FY 13 est \$302,000,000; and FY 14 Estimate Not Available

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Applicants should refer to the FOA for applicable regulations, guidance, and/or literature.

Regional or Local Office:

See Regional Agency Offices. Health and Human Services, Hubert H. Humphrey Building, Room 737F, 200 Independence Ave., SW, Washington, D.C. 20201.

Headquarters Office:

Anne Bollinger and Ilana Cohen 200 Independence Ave., SW, Room 737F, Washington, District of Columbia 20201 Email: anne.bollinger@cms.hhs.gov Phone: 301-492-4395 or 301-492-4371

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

In awarding loans, CMS will evaluate applications (based upon type of loan) using factors identified in the FOA. Please refer to the FOA for additional evaluation criteria.

93.546 EARLY RETIREE REINSURANCE PROGRAM

FEDERAL AGENCY:

Centers for Medicare and Medicaid Services, Department of Health and Human Services

AUTHORIZATION:

Patient Protection and Affordability Act, Section 1102.

OBJECTIVES:

The program provides reimbursement to sponsors of participating employment-based plans for a portion of the cost of health benefits for early retirees and their spouses, surviving spouses, and dependents. The purpose of the reimbursement is to make health benefits more affordable for plan participants and sponsors so that health benefits are accessible to more Americans than they would otherwise be without this program.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

ERRP regulation specifies that the sponsor must use the proceeds under this program only for the following purposes: (1) To reduce the sponsors health benefit premiums or health benefit costs, or (2) To reduce health benefit premium contributions, copayments, deductibles, coinsurance, or other out-of-pocket costs, or any combination of these costs, for plan participants, or (3) To reduce any combination of the costs in (1) and (2) Proceeds under this program must not be used as general revenue for the sponsor. (See the ERRP regulation at 45 C.F.R. 149.200). The restrictions include using the funds in a manner other than as follows: (1) To reduce the sponsors health benefit premiums or health benefit costs, or (2) To reduce health benefit premium contributions, copayments, deductibles, coinsurance, or other out-of-pocket costs, or any combination of these costs, for plan participants, or (3) To reduce any combination of the costs in (1) and (2) Proceeds under this program must not be used as general revenue for the sponsor. (See the ERRP regulation at 45 C.F.R. 149.200).

Applicant Eligibility:

In order to be eligible for the ERRP, a plan sponsor must complete and submit an ERRP application to the ERRP Center on or before May 5, 2011. In summary, the plan sponsor must be an organization that provides health benefits to Early Retirees, have programs and procedures in place that generate cost savings with respect to participants with chronic and high-cost conditions, use reimbursements appropriately, ensure that policies and procedures are in place to protect against fraud, waste and abuse under ERRP, and agree to the Plan Sponsor Agreement included in the ERRP application. The application will be reviewed and either approved or not approved for acceptance in to the program.

Beneficiary Eligibility:

In order to be eligible to receive reimbursements under the ERRP, a plan sponsor must complete and submit an ERRP application to the ERRP Center on or before May 5, 2011. If approved, both the sponsor, and early retirees and their spouses, surviving spouses and their dependents are direct or indirect beneficiaries of the program. In summary, the sponsor must be an organization that provides health benefits to Early Retirees, have programs and procedures in place that generate (or have the potential to generate) cost savings with respect to participants with chronic and high-cost conditions, use reimbursements appropriately, ensure that policies and procedures are in place to protect against fraud, waste and abuse under ERRP, and agree to the Plan Sponsor Agreement included in the ERRP application. The application will be reviewed and either approved or not approved for acceptance in to the program.

Credentials/Documentation:

A sponsor must submit an application for the program to the ERRP Center on or before May 5, 2011. After approval into the program, a sponsor must provide the ERRP Center with a list of the Early Retirees and the cost and claims data for which it is requesting in reimbursement. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

Sponsors must complete and submit an application to the ERRP Center on or before May 5, 2011. The application must be approved. An Account Manager and Authorized Representative must then successfully register in the ERRP secure website. Once registration is complete, a sponsor must provide its banking information in the secure website. A sponsor must then provide a list of the Early Retirees and the cost and claims data supporting its reimbursement request. The Authorized Representative is also required to affirm that the sponsor is complying with the program rules. After completing these steps, a sponsor may then actually submit a reimbursement request. The ERRP Center

will make a determination of whether the reimbursement is approved or denied.

If approved, the reimbursement will be deposited in the bank account chosen by the sponsor. Sponsors may request reimbursement one time, each calendar year quarter, for each plan year.

Deadlines:

May 05, 2011 The ERRP program started accepting applications June 30, 2010 and the program ends January 1, 2014, or when there are no more funds available for disbursement. Sponsors wishing to apply to the ERRP must submit an application to the ERRP Center on or before May 5, 2011.

Range of Approval/Disapproval Time:

From 1 to 15 days. Applications are generally processed within 2 weeks. If additional information is required, then the ERRP Center will send communication to the sponsor.

Appeals:

Sponsors may not appeal an application determination, but may appeal an adverse reimbursement determination.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title Patient Protection and Affordability Care Act, Part 1102, Subpart c. The statute at section 1102(c) of the Affordable Care Act requires the Secretary, upon receipt of a valid claim for health benefits, to make reimbursement in an amount of 80 percent of the portion of the health benefit costs (net of negotiated price concessions) attributable to the claims that exceed \$15,000, but are below \$90,000, during a plan year for a given early retiree or early retirees spouse, surviving spouse, or dependent. The payment thresholds may be adjusted in the future, based on changes in the medical care component of the Consumer Price Index.

Matching Requirements: This program has maintenance of contribution requirements, to ensure that the prohibition against using ERRP funds as general revenue, is complied with. A sponsor may demonstrate that it is maintaining its level of contribution in a number of ways: using aggregate spending trends, per-capita spending trends, or other methods subject to Secretarial approval. These options are set forth in a publicly posted Common Question.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The reimbursement will be available until January 01, 2014 or until the program no longer has funds available for disbursement. ERRP reimbursement may be requested once per calendar year quarter per each plan year. See the following for information on how assistance is awarded/released: After (1) the Account Manager and Authorized Representative successfully register via the ERRP secure website; (2) the sponsor provides banking information in the website; and (3) the sponsor provides a list of Early Retirees and the cost and claims data supporting reimbursement requests, the sponsor may submit a reimbursement request (after the authorized representative also affirms sponsor is complying with program rules). The ERRP Center will make a determination of whether the reimbursement is approved or denied. If approved, the reimbursement will be deposited in the bank account chosen by the sponsor. Sponsors may request reimbursement one time, each calendar year quarter, for each plan year.

Reports:

No reports are required.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. Audits of sponsors will be conducted to ensure sponsors are in compliance with ERRP requirements.

Records:

The ERRP does not require specific progress, expenditure, cash reports, or performance monitoring at set intervals. However, the sponsors must comply with record maintenance requirements and provide records upon request in connection with audit or other ERRP integrity related activities. The Sponsors must maintain records for 6 years after the expiration of the plan year in which the costs were incurred, or longer if otherwise required by law. The records

that must be retained are as follows (1) All documentation, data, and other information related to the regulations, and (2) Any other records specified by the Secretary. The Secretary may issue additional guidance addressing recordkeeping requirements, including (but not limited to) the use of electronic media. The sponsor must require its health insurance issuer or employment based plan, as applicable, to maintain and produce records upon request.

Account Identification:

75-0114-0-1-551.

Obligations:

(Direct Payments for Specified Use) FY 12 \$1,930,747,000; FY 13 est \$116,000,000; and FY 14 est \$0 - There is appropriated to the Secretary, out of any moneys in the Treasury not otherwise appropriated, \$5,000,000,000 to carry out the program under this section. Such funds shall be available without fiscal year limitation. Program ends January 1, 2014, or when there are no more funds available for disbursement.

Range and Average of Financial Assistance:

Smallest: \$165.58; Largest: \$64,993,651.44; Average: \$1,446,135.05 (All data as of 1/9/11).

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations are available at 45 CFR Part 149. Guidance, Common Questions, and additional literature is posted on the ERRP website: www.errp.gov.

Regional or Local Office:

None.

Headquarters Office:

Michelle Feagins 200 Independence Avenue, SW
, Washington, District of Columbia 20201. Email: michelle.feagins@hhs.gov
Phone: 301-492-4312.

Website Address:

<http://www.healthcare.gov> and www.errp.gov

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.547 AFFORDABLE CARE ACT NATIONAL HEALTH SERVICE CORPS

ACA - NHSC

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Section 338B of the Public Health Service (PHS) Act (42 United States Code Section 2541-1), as amended by P.L. 111-148, Affordable Care Act.

OBJECTIVES:

The National Health Service Corps (NHSC) assists Health Professional Shortage Areas (HPSAs) in every State, Territory, and Possession of the United States to meet their primary care medical, oral, and mental and behavioral health service needs by increasing the supply of clinicians through the following programs: National Health Service Corps Loan Repayment Program (LRP), National Health Service Corps Scholarship Program (SP), and State Loan Repayment Program (SLRP). The NHSC Loan Repayment Program offers fully trained primary care clinicians the opportunity to receive assistance to pay off qualifying educational loans in exchange for service in a HPSA. The NHSC Scholarship Program awards scholarships to health professions students committed to a career in primary care and service in underserved communities of greatest need. The Students to Service (S2S) Loan Repayment Program provides assistance to pay off qualifying educational loans to medical students in their last year of school in exchange for service in a HPSA. The NHSC State

Loan Repayment Program provides matching funds in a form of a grant to States to operate their own repayment programs in HPSAs.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use; Project Grants

USES AND USE RESTRICTIONS:

NHSC Loan Repayment Awards provide payments of up to \$50,000 towards participants' qualified government and commercial health professions undergraduate and graduate education loans during the first 2 years of full time practice or 4 years of half time practice at a selected NHSC Loan Repayment Service Site under a written agreement with this program. NHSC Scholarships pay tuition and required fees to the school, a monthly stipend to students for living expenses, and a single annual payment to the student to assist with other reasonable educational expenses. Students to Service Loan Repayment Program provides payments of up to \$120,000 for the first 3 years of full-time service or 6 years of half-time service. State Loan Repayment Funds under this grant must only be used to repay the qualifying educational loans of health professionals who have entered into SLRP contract with the States. Funds cannot be used for the following purposes: costs of administering the program, State matching funds, or any other purpose. Refer to each of the program funding opportunity announcements that contain specific requirements for the NHSC Loan Repayment, NHSC Scholarship and State Loan Repayment Programs. Please see the applicable program funding opportunity announcements.

Applicant Eligibility:

Training

For specific program and eligibility requirements, please see:
<http://nhsc.hrsa.gov/>.

Beneficiary Eligibility:

Health professional
U.S. citizen
Education (13+).

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

SLRP grantees may need State agency approval prior to submitting an application to a Federal agency.

Does not apply to NHSC LRP, NHSC SP and S2S LRP. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. OMB Circular No. A-102 applies to SLRP.

HRSA requires SLRP applicants to apply electronically through Grants.gov.

For the NHSC LRP, SP, and S2S programs, applicants may apply online at <https://programportal.hrsa.gov>.

Award Procedure:

NHSC LRP awards are made based on the highest need as determined by descending health professional shortage area scores. Priority will be given to individuals whose training is in a health discipline or specialty determined by the Secretary for Health and Human Services to be needed by the NHSC and who the Secretary determines are committed to service in health professional shortage areas. All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the

HRSA program official with delegated authority is responsible for final selection and funding decisions.

NHSC S2S awards are prioritized based on having a disadvantaged background and characteristics likely to remain in a HPSA as identified by their essay and letters of recommendation.

NHSC SP awards are very competitive; the Program anticipates more applicants for scholarship awards than there are funds available. The applications of individuals who meet the eligibility criteria are scored numerically.

For SLRP awards, all qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions. Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

For NHSC LRP and SLRP, approximately 120 days.

For NHSC S2S it is anticipated that notification to successful and rejected applicants will be made no later than 6 months after application receipt deadline.

For NHSC SP, notification to successful and rejected applicants is made no later than 6 months after application receipt deadline.

Appeals:

Not Applicable.

Renewals:

For NHSC LRP, continuation contracts will be considered according to the availability of appropriated funds and eligibility criteria applied to the individual and the site at which that individual is employed. For NHSC S2S, continuation contracts will be considered according to the availability of appropriated funds and eligibility criteria applied to the individual and the site at which that individual is employed. For NHSC SP, Renewal applications are not necessary for students in compliance; contracts may be multi-year funded through graduation (1 to 4 years, if the applicant signs the contract through the graduation date).

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: Percent: 50.%. Matching requirement does not apply to NHSC LRP, NHSC SP and S2S LRP.

SLRP Matching Requirements: Percent: 50.%. Fifty-percent (50%). States must agree to make available (directly or through donations from public or private entities) non-Federal contributions in cash toward SLRP contracts in an amount not less than \$1 for each \$1 of Federal funds provided in the grant. A State may not use any Federal funds or in-kind contributions to satisfy the non-Federal match requirement. A State must verify that contributions from sources other than State appropriated funds are non-Federal. States may use non-Federal funds in excess of the \$1 for \$1 minimum match to supplement the repayment of qualifying loans of health professionals.

The Federal share must be used to repay the government and commercial loans of health professionals for tuition, educational expenses and living expenses described in Section 338B(g)(1) of the Public Health Service Act. States must assume administrative costs.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

NHSC LRP - Loan repayments for 1 year of obligated service will be made no later than the end of the fiscal year in which the individual completes such year of service.

NHSC S2S - Loan repayments for 3 years of obligated service will be made no later than the end of the fiscal year in which the individual completes such year of service.

NHSC SP - Each award covers 1 to 4 years of support, as requested. No awardees may receive more than 4 years of support.

SLRP - Applicants may propose a project period of up to 4 years. However, funds are distributed in yearly budget period subject to availability of funds. States are asked to commit the full amount of funding for their loan repayment awards to practitioners in the current fiscal year to avoid out-year commitments for additional Federal funds. See the following for information on how assistance is awarded/released: Grantee drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

NHSC SP - Annual reports by schools indicating average student educational expenses. The academic institution where the student is enrolled must complete and submit a Verification of Enrollment Form each semester as a full-time student pursuing the program for which the scholarship was awarded.

NHSC SP, NHSC LRP, and S2S LRP - The employing facility must complete and send a semi-annual In-Service Verification form verifying that the participating health professional is providing full-time or half-time service. The certification includes a signature by an appropriate official of the facility.

SLRP - Recipient States must submit: (1) a Financial Report within 90 days of the end of each budget period; (2) Semi-Annual Field Strength Reports through the HRSA Electronic Handbooks System (EHBs); (3) non-competing continuation application(s) report through the EHBs; (4) a Final Report. All grantees are required to submit a final report within 90 days after the project period ends. No cash reports are required. Progress reporting applies to SLRP only. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Annual reporting does not apply to NHSC LRP, NHSC SP and S2S LRP.

Records:

None for NHSC LRP, NHSC SP and S2S LRP.

For SLRP, Grantees are required to maintain grant accounting records 3 years after the date they submit the final Federal Financial Report. If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Direct Payments for Specified Use) FY 12 \$169,201,422; FY 13 est \$175,200,000; and FY 14 est \$178,400,000 - NHSC LRP. (Direct Payments for Specified Use) FY 12 \$8,168,571; FY 13 est \$12,000,000; and FY 14 est \$12,000,000 - NHSC S2S. (Direct Payments for Specified Use) FY 12 \$42,234,761; FY 13 est \$41,800,000; and FY 14 est \$42,600,000 - NHSC SP. (Project Grants) FY 12 \$9,843,582; FY 13 est \$10,000,000; and FY 14 est \$10,000,000 - SLRP.

Range and Average of Financial Assistance:

NHSC LRP - New awards for FY12 range from \$877 to \$60,000, with an average new award of \$50,381

NHSC S2S - New awards for FY12 range from \$5,000 to \$30,000 per year, with an average new award of \$118,385.

NHSC SP - New awards for FY12 range from \$32,000 to \$510,000 per year, with an average award of \$99,484.

SLRP (Project Grants for FY12) - \$76,500 to \$1,000,000, with an average award of 319,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: No Current Data Available. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Please see application process for NHSC LRP, NHSC SP and S2S LRP at <http://nhsc.hrsa.gov/>

For SLRP:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

None. Division of National Health Service Corps Health Resources and Services Administration, Department of Health and Human Services, Room 8-37, 5600 Fishers Lane., Rockville, MD 20857. Telephone: (301) 594-4400. For 24-hour toll-free calls from outside MD: (1-800) 221-9393, use for requesting applications.

Headquarters Office:

Bureau of Clinician Recruitment and Service (BCRS) Health Resources and Services Administration, Room 8-37, 5600 Fishers Lane, Rockville, Maryland 20857 Phone: (301) 594-4400. For 24-hour toll

Website Address:

<http://nhsc.hrsa.gov>

RELATED PROGRAMS:

93.162 National Health Service Corps Loan Repayment Program; 93.288 National Health Service Corps Scholarship Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

NHSC LRP - Priority will be given to applicants who are individuals whose training is in a health or specialty profession determined by the Secretary to be needed by NHSC.

NHSC S2S - Priority will be given to applicants who have a disadvantaged background and characteristics likely to remain in a HPSA as identified by their essay and letters of recommendation.

NHSC SP - By statute, first priority will be given to any applicant for such assistance, who has previously received a scholarship under this section or under section 758 of the PHS Act. Second priority will be given to students who are from a "disadvantaged background", and third priority will be given to all students who have characteristics which increase the probability they will continue professional practice in a Health Professional Shortage Area when they complete their service obligation.

SLRP - For new and competing continuation grants the following criteria will be used to evaluate State applications to determine which States are to be supported under this notice: (a) The extent of the State's need for health professionals consistent with the health professions and specialties identified later in this notice; (b) the number and type of providers the State proposes to support through this program; (c) the appropriateness of the proposed

placements of State LRP recipients (e.g., consistency and coordination with State-based plans to improve access to primary health services for the underserved communities and individuals); (d) the appropriateness of the qualifications, the administrative, and managerial ability of the staff to implement the proposed project; (e) the suitability of the State's approach and the degree to which the plan of a State is coordinated with Federal, State, and other programs for meeting the State's health professional needs and resources, including mechanisms for ongoing evaluation of the program's activities; (f) the source and plans for the use of the nonfederal match (the degree to which the nonfederal match exceeds the minimum requirements or has increased over time and the amount of the match relative to the needs and resources of the State); (g) for competing continuation applicants only, the grantee's progress in achieving stated goals and objectives for the previous year's grant; this includes a progress report on the impact the State LRP placements have had on the State's short-term and long-term professional needs; (h) an assessment of the reasons for initial breaches by health professionals of repayment contracts; and (i) the grantee's history of compliance with reporting requirements. Noncompeting continuation applications will be evaluated on the grantee's progress in achieving stated goals and objectives for the previous year's grant; this includes a progress report on the impact the State LRP placements have had on the State's short-term and long-term professional needs; (j) and assessment of the reasons for initial breaches by health professionals of repayment contracts; and (k) the grantee's history of compliance with reporting requirements.

93.548 PPHF2013: STATE NUTRITION, PHYSICAL ACTIVITY, AND OBESITY PROGRAMS - FINANCED IN PART BY 2013 PPHF

Nutrition, Physical Activity and Obesity Program

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Title 42, Section 243, 247b(k)(2); and the Patient Protection and Affordable Care Act, Public Health Service Act, 42 U.S. Code 241(a) and 247b (k) 2311, section 4201

Public Health Service Act, Title 42, Section 241, 243, 247b(k)(2) and 301; and Title IV Section 4002 Public Health and Prevention Fund.

OBJECTIVES:

To assist State and local health authorities and other health related organizations in controlling communicable diseases, chronic diseases and disorders, and other preventable health conditions. Investigations and evaluation of all methods of controlling or preventing disease and disability are carried out by providing epidemic aid, surveillance, technical assistance, consultation, and program support; and by providing leadership and coordination of joint national, State, and local efforts. Nutrition, Physical Activity and Obesity Program is to improve healthful eating and physical activity to prevent and control obesity and other chronic diseases by building and sustaining statewide capacity, and implementing population based strategies and interventions. ACA funding: Policy Education -- To ensure public policy leaders have the tools and knowledge to support improvements in the public health infrastructure so that the public health system is prepared to respond to both acute and chronic threats relating to the Nation's health.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

To support capacity building, program planning, development, implementation, evaluation, and surveillance for current and emerging chronic disease conditions related to preventing obesity and other chronic diseases. To achieve the program goals of increasing health related physical activity through population based approaches; improve those aspects of dietary quality most related to population burden of chronic disease and unhealthy child development and decreasing prevalence of obesity through prevention of excess weight gain and maintenance of healthy weight loss.

Eligibility is limited to state health departments and the District of Columbia because they are uniquely qualified to develop and implement statewide

surveillance systems to define and monitor nutrition and physical activity risk factors and the burden of obesity and other chronic diseases throughout the state, to develop and implement a comprehensive statewide nutrition, physical activity, and obesity plan (state plan), to provide overall state coordination of nutrition and physical activity promotion and obesity control activities among partners, to direct and oversee statewide interventions within overarching state policies, and to monitor critical aspects of obesity and the evaluation of the state plan. Funds may be used to support intensive approaches to chronic disease prevention and control described in Section 0.50 above. The use of and use restrictions are as follows:

Recipients may only expend funds for reasonable policies, systems and environmental program purposes, including personnel, travel, supplies, and services, such as contractual to reduce risk factors, prevent and delay chronic disease.

Recipients may not use funds for research.

Recipients may not use funds for clinical care.

Recipients may not generally use funding for the purchase of furniture or equipment. Any such proposed spending must be identified in the budget.

The recipient must perform a substantial role in carrying out project objectives and not merely serve as a conduit for an award to another party or provider who is ineligible.

No part of any appropriated funds used under this cooperative agreement shall be used other than for normal and recognized executive legislative relationships, for publicity or propaganda purposes, for the preparation, distribution, or use of any kit, pamphlet, booklet, publication, radio, television, or video presentation designed to support or defeat legislation pending or proposed before the Congress or any State or local legislature, including city councils or ballot initiatives except in presentation to the Congress or any State or local legislature, including city councils, itself.

Applicant Eligibility:

Eligibility is limited to state health departments because they are uniquely qualified to develop and implement statewide surveillance systems to define and monitor nutrition and physical activity risk factors and the burden of obesity and other chronic diseases throughout the state, to develop and implement a comprehensive statewide nutrition, physical activity, and obesity plan (state plan), to provide overall state coordination of nutrition and physical activity promotion and obesity control activities among partners, to direct and oversee statewide interventions within overarching state policies, and to monitor critical aspects of obesity and the evaluation of the state plan. ACA funding: Conduct policy education aimed at strengthening public health infrastructure and performance including: 1) training/education about health reform as it relates to improvement of public health infrastructure investments; 2) networking between jurisdictions to ensure peer support and intra-jurisdictional learning about the role of public health in health reform; and 3) technical assistance in policy development that supports public health goals.

Beneficiary Eligibility:

States, political subdivisions of States, local health authorities, and individuals or organizations with specialized health interests will benefit. Colleges, universities, private non-profit and public nonprofit domestic organizations, research institutions, faith-based organizations, and managed care organizations for some specific programs such as Diabetes.

Credentials/Documentation:

For other grantees, costs will be determined by HHS regulations 45 CFR 74, Subpart Q. OMB Circular No. A-87 applies to this program. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Applicants must download

application forms from www.Grants.gov. Applications must be submitted electronically at www.Grants.gov. HHS/CDC grants policies as described in the HHS Grants Policy Statement will apply to the applications submitted and awards made in response to this Funding Opportunity Announcement (FOA). If an applicant does not have access to the Internet, or if they have difficulty accessing the forms on-line, contact the CDC Procurement and Grants Office Technical Information Management Section (PGO-TIMS) staff. For this, or further assistance, contact PGO-TIMS: Telephone (770) 488-2700, Email: PGOTIM@cdc.gov.

Award Procedure:

After review and approval, a notice of award is prepared and processed, along with appropriate notification to the public, initial award provides funds for first budget period (usually 12 months) and Notice of Award indicates support recommended for the remainder of project period, allocation of Federal funds by budget categories, and special conditions, if any. However, applicants are encouraged to call CDC for programmatic technical assistance prior to the development and submission of their assistance application.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

From 90 to 120 days. If additional support is desired to continue a project beyond the approved project period, an application for competing continuation must be submitted for review in the same manner as a new application. Projects are renewable for periods of 1 to 3 years.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching Requirements: Cost sharing is recommended from non-Federal sources in an amount not less than \$1 for each \$4 of Federal funds awarded. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Assistance is available for a 12-month budget period within project periods ranging from 1 to 5 years. After awards are issued, funds are released in accordance with the payment procedure established by the grantee institution with DHHS, which may be an Electronic Transfer System or a Monthly Cost Request System. Method of awarding/releasing assistance: lump sum. See the following for information on how assistance is awarded/released: Notice of Award.

Reports:

No program reports are required. Cash reports are not applicable. Interim reporting requirements may be delineated in the FOA. Progress reports are required annually. Interim reporting requirements may be delineated in the FOA. Financial status reports are required annually. Progress reports are required annually. Upon completion of the project, performance reports are required. Interim reporting requirements may be delineated in the FOA. Financial status and progress reports are required annually. Upon completion of the project, final financial status and performance reports are required. Upon completion of the project, final financial status reports are required. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the project must be kept readily available for review by personnel authorized to examine PHS grant accounts. Records must be maintained for a minimum of 3 years after the end of a budget period. If questions still remain, such as those raised as a result of an audit, records must be retained until completion or resolution of any audit in process or pending resolution. Property records must be retained in accordance with PHS Grants Policy Statement requirements.

Account Identification:

75-0943-0-1-551.

Obligations:

(Cooperative Agreements) FY 12 \$17,386,884; FY 13 est \$0; and FY 14 est \$0

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations governing this program are published in the application kit and identified on the notices of award. PHS Grants Policy Statement No. 94-50,000 (Revised) April 1, 1994. Code of Federal Regulations 45 CFR Part 72.

Regional or Local Office:

None.

Headquarters Office:

Linda Barnes 4770Buford Hwy, NE, NCCDPHP, Atlanta, Georgia 30341
Email: lbarnes@cdc.gov Phone: 404-867-9697

Website Address:

<http://www.cdc.gov>; and www.Grants.gov

RELATED PROGRAMS:

93.283 Centers for Disease Control and Prevention_Investigations and Technical Assistance

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications are reviewed on the basis of scientific/technical merit, with attention given to such matters as: (1) The degree to which the applicant satisfies the essential requirements and possesses other desired characteristics, such as depth, breadth, and scientific merit of the overall application relative to the types of research and demonstration projects proposed; (2) clarity of purpose and overall qualifications, adequacy and appropriateness of personnel to accomplish proposed prevention research projects and demonstration projects, and the nation's health priorities and needs; (3) ability to generalize, translate and disseminate to State or local health departments, boards of education and other appropriate national regional, and local public health agencies and organizations; (4) reasonableness of the proposed budget in relation to the work proposed. Additional criteria will be listed in individual funding opportunity announcements.

93.549 AFFORDABLE CARE ACT (ACA) THE PRIMARY CARE SERVICES RESOURCE COORDINATION AND DEVELOPMENT PROGRAM

State Primary Care Offices (PCO)

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act as amended, Title 3, Sections 330 and 333, and the Patient Protection and Affordable Care Act of 2010, Public Law 111-148.

OBJECTIVES:

To coordinate local, State, and Federal resources contributing to primary care service delivery and workforce issues in the State to meet the needs of medically underserved populations through health centers and other

community-based providers of comprehensive primary care and the retention, recruitment, and oversight of health professions for medically underserved populations.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

The Primary Care Services Resources Coordination and Development program is intended to facilitate the coordination of activities within a State that relate to the delivery of primary care services and the recruitment and retention of critical health care providers. This includes working with other agencies within the State government, as well as organizations outside of the State government, whose policies affect the health care services. This program does not support the direct delivery of services. TBD.

Applicant Eligibility:

States or territories, political subdivisions of States, agencies of States, or other public entities that operate solely within one State, or represent multiple territories where appropriate.

Beneficiary Eligibility:

Health Professional Shortage Areas and Medically Underserved Areas/Populations within States and Territories will benefit from this program.

These entities must provide statewide coverage for primary health care issues and represent or have relationships with the broad range of primary health care delivery systems and programs in the State.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applicants for this program are required to apply electronically through Grants.gov.

Award Procedure:

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions. Notification of award is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Two - four months.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. This program has no matching requirements. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The Primary Care Services Resource Coordination and Development Program will provide funding during Fiscal Years 2009-2013. See the following for information on how assistance is awarded/released: Grantees draw down funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

Refer to funding opportunity announcement for program reporting requirements. No cash reports are required. Refer to funding opportunity announcement for progress reporting requirements. Expenditure reports are not applicable. Refer to funding opportunity announcement for performance monitoring requirements.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Cooperative Agreements) FY 12 \$2,000,000; FY 13 est \$2,000,000; and FY 14 est \$2,000,000

Range and Average of Financial Assistance:

For FY 13 - \$104,215 to \$487,190; Average award per grantee \$196,358.

Funding beyond the first year is dependent on appropriated funds from the HRSA's National Health Service Corps (NHSC) and Health Center Programs in subsequent fiscal years, grantee satisfactory performance, and a determination that continued funding is in the best interest of the government.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Fiscal Year 2012 and FY2013: 53 new awards were funded in FYs 2010-13. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: It is anticipated that 54 competing continuation awards will be funded in FY 2014.

REGULATIONS, GUIDELINES, AND LITERATURE:

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices. Division of Regional Operations, Bureau of Clinician Recruitment and Service (BCRS), Health Resources and Services Administration (HRSA), Department of Health and Human Services; 5600 Fishers Lane, Room 9A-55, Rockville, Maryland 20852. Telephone: (301) 594-0816.

Headquarters Office:

Shortage Designation Branch, Division of Policy and Shortage Designation, BCRS, HRSA 5600 Fishers Lane, Room 9A-55, Rockville, Maryland 20852 Phone: 301-594-0816

Website Address:

<http://www.hrsa.gov>

RELATED PROGRAMS:

93.129 Technical and Non-Financial Assistance to Health Centers

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applicants will be evaluated in the following areas: (1) Ability to assess and analyze the State's health policy environment, its unmet need for preventive and primary care services, its health care marketplace and its major disparities in

health outcomes; and (2) develop and implement strategies and activities to effectively assist health centers and NHSC delivery sites in their response to these State environmental conditions and needs identified. I: NEED - The applicant must clearly identify the purpose and state needs for grant support for this project. The need for the project is fully described and documented with relevant data.

II: RESPONSE - The objectives for the project should relate to the identified problems/needs as related to the program goals and objectives. The work plan should clearly articulate and address the specific program expectations outlined in the program narrative section; organizational effectiveness, technical assistance, assessment of need/data sharing, workforce development, and shortage area designations. It is critical that the applicant demonstrate it addresses the broad array of primary care issues, services and organizations throughout the State. Objectives are measurable, realistic, and have specific outcomes indicated with timeframes stated. Outcome measures which are attainable within the states time frame are included.

III: EVALUATIVE MEASURES - Each application will be reviewed on the extent to which the proposal: 1) includes a clear, practical, and viable work plan including timeframes and proposed outcomes; and 2) clearly links project activities to the project objectives and outcomes.

IV: IMPACT - Activities described clearly contribute to the accomplishment of each objective. Proposed activities are reasonable given the level and experience of staff, resources available, and length of the project period to carry out the proposed expectations.

V: RESOURCES/CAPABILITIES - Evidence is provided of the programs commitment, personnel experience, and success in working with State policies and resources addressing primary care for the underserved. VI: SUPPORT REQUESTED - A fiscal plan assuring effective use of grant funds and resources to carry out the project is provided. The proposed budget is reasonable and reflects effective use of funds to carry out the proposed activities. A detailed justification for each overarching goal and line item is provided. Funding plan by years is provided.

93.550 TRANSITIONAL LIVING FOR HOMELESS YOUTH

Transitional Living Program (TLP) and Maternity Group Homes (MGH)

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Reconnecting Youth Act, Public Law 110-378 Part B; The Runaway and Homeless Youth Act, Title III, Part B, Section 321, Public Law 110-378, 42 U.S.C 5701-5752.

OBJECTIVES:

The overall purpose of the Transitional Living Program (TLP) for homeless youth and the Maternity Group Homes (MGH) is to establish and operate transitional living projects for homeless youth, including pregnant and parenting youth. This program is structured to help older homeless youth achieve self-sufficiency and avoid long-term dependency on social services. Transitional living projects provide shelter, skills training, and support services to homeless youth, including pregnant and parenting youth, ages 16 to less than 22. This extends the residential stay for homeless youth to 635 days or 21 months. MGHs provide the same services as the TLP in addition to providing parenting instructions and child care. Other services that are offered include, but are not limited to, transportation, family planning, and pregnancy prevention services.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Transitional Living Programs are required to provide youth with stable, safe living accommodations and services that help them develop the skills necessary to move to independence and self sufficiency. Living accommodations may be host family homes, group homes, Maternity Group Homes, or "supervised

apartments." (Supervised apartments are either agency-owned apartment buildings or "scattered site" apartments, which are single-occupancy apartments rented directly by young people with support from the agency.) Eligible applicants include States, localities, private entities, and coordinated networks of such entities unless they are part of the law enforcement structure or the juvenile justice system. Federally recognized Indian organizations are also eligible to apply for grants as private, nonprofit agencies. Indian Tribes that are not federally recognized and urban Indian organizations are also eligible to apply for grants as private, nonprofit agencies. Faith-based organizations and small community-based organizations are eligible to apply for Transitional Living Program grants.

Applicant Eligibility:

States, localities, private entities, and coordinated networks of such entities are eligible to apply for a Transitional Living Program grant unless they are part of the law enforcement structure or the juvenile justice system. Federally recognized Indian organizations are also eligible to apply for grants as private, nonprofit agencies. Faith-based organizations and small community-based organizations are eligible to apply.

Beneficiary Eligibility:

Homeless youth (ages 16 to 21).

Credentials/Documentation:

Nonprofit organizations must submit proof of nonprofit status. Applicable costs and administration procedures will be determined in accordance with Parts 74 and 92 or Title 45, Code of Federal Regulations. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Application for Federal Assistance, Standard Form 424 must be submitted. Specific instructions are published via the Internet under the Form section at the following website address: <http://www.acf.hhs.gov/grants>.

Award Procedure:

All applications are reviewed by a panel of nonfederal experts, which assigns scores according to the published criteria in the funding opportunity announcement. The panel's scores are factored into the recommendations for funding. Scores and recommendations are reviewed by the Associate Commissioner of the Family and Youth Services Bureau, who makes recommendations to the ACYF Commissioner, who makes the final funding decisions.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

> 180 Days. Awards are made for 5-year project periods; funding after the first year is dependent upon satisfactory performance, availability of funds, and determination that continued funding would be in the best interest of the government.

Formula and Matching Requirements:

This program has no statutory formula.
Matching Requirements: Percent: 10%.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grants are awarded competitively for project periods of 5 years. Funded applicants receive their full award amount each year of the five year funding cycle. Method of awarding/releasing assistance: lump sum.

Reports:

Program reports are not applicable. Quarterly using the SF-272 Federal Cash Transaction Report through the payment management system. Funded applicants are required to submit semi-annual program narrative reports, SF-425 financial reports as well as RHYMIS client service data reports. A final narrative and SF-425 financial report is due at the completion of the five year funding cycle. Performance progress reports are submitted by each grantee semi-annually. Semi-annually using the SF-425 Financial Status Report. Federal program specialists conduct site monitoring visits in concert with the information from RHYMIS to assess performance.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audits are conducted in accordance with the requirements in 45 CFR 74 and 92.

Records:

All financial records are to be maintained 3 years after termination of the project or until audit is completed, whichever occurs first.

Account Identification:

75-1536-0-1-506.

Obligations:

(Project Grants (Discretionary)) FY 12 \$43,819,007; FY 13 est \$41,004,307; and FY 14 est \$43,819,000

Range and Average of Financial Assistance:

Range of grant is \$100,000 to \$200,000; the average grant is \$190,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: 214 grants were funded and \$930,602 in contracts. Fiscal Year 2013: It is anticipated that 208 grants will be funded in FY 13 and \$1,647,890 in contracts. Fiscal Year 2014: It is anticipated that 215 grants will be funded and \$2,052,561 in contracts.

REGULATIONS, GUIDELINES, AND LITERATURE:

Contact Headquarters Office listed below for available literature. You may also get information on the Family and Youth Services Bureau website at the following address <http://www.hhs.gov/programs/fysb> under the "Policy and Legislative Documents" link.

Information is also available through the National Clearinghouse on Families and Youth, 5515 Security Lane, Suite 800, North Bethesda, MD 20852. Telephone: (301) 608-8098. Fax: (301) 608-8721. The National Clearinghouse on Families and Youth website address is www.ncfy.com.

Regional or Local Office:

See Regional Agency Offices. Contact: Administration for Children and Families, Family and Youth Services Bureau, Division of Adolescent Development and Support (See Appendix IV of this Catalog for the addresses of the Regional Offices.).

Headquarters Office:

Curtis Porter 1250 Maryland Avenue, SW, Washington, District of Columbia 20447 Email: curtis.porter@acf.hhs.gov Phone: 202-205-8306

Website Address:

<http://www.acf.hhs.gov/programs/fysb>.

RELATED PROGRAMS:

93.557 Education and Prevention Grants to Reduce Sexual Abuse of Runaway, Homeless and Street Youth; 93.623 Basic Center Grant; 93.674 Chafee Foster Care Independence Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be evaluated based on the criteria published in the Funding Opportunity Announcement located on the following website address: http://www.acf.hhs.gov/grants/grants_fysb.html.

93.551 ABANDONED INFANTS

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Abandoned Infants Assistance Act of 1988, Section 104, Public Law 100-505, as amended; Abandoned Infants Assistance Act of 1988; Section 104, Public Law, as amended; Section 101, The Child Abuse Prevention and Treatment Act (CAPTA), as amended by Public Law 111-320, the CAPTA Reauthorization Act of 2010., Section 302, 42 U.S.C 670.

OBJECTIVES:

Section 50 - To develop, implement and operate projects to demonstrate methods to: (1) prevent the abandonment of infants and young children, including the provision of services to members of the family for any condition that increases the probability of abandonment of an infant or young child; (2) identify and address the needs of abandoned infants and young children; (3) assist abandoned infants and young children to reside with their natural families or in foster care, as appropriate; (4) recruit, train and retain foster families for abandoned infants and young children, who are unable to reside with their natural families or to be placed in foster care; (6) carry out programs of respite care for families and foster families of infants and young children described in subsection (b); (7) recruit and train health and social services personnel to work with families, foster care families and residential care programs for abandoned infants and young children; and (8) prevent the abandonment of infants and young children, and to care for the infants and young children who have been abandoned, through model programs providing health, educational, and social services at a single site in a geographic area in which a significant number of infants and young children described in subsection (b) reside (with special consideration given to applications from entities that will provide the services of the project through community-based organizations). This program also funds technical assistance, including training, with respect to the planning, development and operation of the projects described in Section 101.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds may be used to accomplish any of the stated program objectives.

Applicant Eligibility:

State or local governments; federally- recognized Indian Tribal governments; U.S. Territories and possessions; and nonprofit organizations and universities.

Beneficiary Eligibility:

Infants and young children impacted by HIV/AIDS and or substance abuse, their parents, families, and other caretakers.

Credentials/Documentation:

Requirements will be specified in pertinent Federal Register Announcements. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Application procedure can be obtained at: <http://www.acf.hhs.gov/grants/index.html> or by contacting

Headquarters Office, listed below, for application information.

Award Procedure:

Review is scored by at least three non-federal professionals. Final funding decision will be made by the Commissioner of the Administration on Children, Youth and Families (ACYF).

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 180 days.

Appeals:

Other - Not Specified.

Renewals:

Other - Not Specified.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: This program has no statutory formula for matching. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grants will be made for a period up to 48 months. Method of awarding/releasing assistance: by letter of credit.

Reports:

Financial and progress reports are required, as follows: Financial reports are required semi-annually, a final expenditure report must be submitted no later than 90 days after the completion of the project. Program progress reports are required semi-annually; a final report is required no later than 90 days after the completion of the project. Expenditures are reported as part of the financial report, detailed above. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audits are conducted in accordance with the requirements in 45 CFR 74 and 92.

Records:

Records must be maintained for 3 years.

Account Identification:

75-1536-0-1-506.

Obligations:

(Project Grants (Discretionary)) FY 12 \$10,778,821; FY 13 est \$10,210,103; and FY 14 est \$11,101,000 - Actual funding in fiscal year (FY) 2011 and projections for 2012 and 2013 reflect the Congressionally mandated budget rescission.

Range and Average of Financial Assistance:

Awards range from \$400,000 to \$1,100,000 with the average award being \$480,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: 22 grants were issues in FY 2012. Fiscal Year 2013: In fiscal year 2013, it is estimated that 21 grants will be awarded. Fiscal Year 2014: It is estimated that 21 grants will be awarded in FY 2014.

REGULATIONS, GUIDELINES, AND LITERATURE:

Contact Headquarters Office listed below for available literature.

Regional or Local Office:

None.

Headquarters Office:

Matthew McGuire Administration on Children, Youth and Families, Children's Bureau; 1250 Maryland Avenue, SW; 8th Floor., Washington, District of

Columbia 20024 Email: matthew.mcguire@acf.hhs.gov Phone: (202) 205-7270 Fax: (202) 260-9345.

Website Address:

<http://www.acf.hhs.gov/programs/cb>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Demonstration projects designed to prevent the abandonment of drug-affected and HIV positive infants and young children; to maintain family stability and to reunify and strengthen families impacted by substance abuse and HIV/AIDS; service demonstration projects designed to provide comprehensive, coordinated and community-based social support services to clients in a location accessible to the target population; to provide support for family caregivers, and provide therapeutic services in a recreational setting for HIV-infected or affected children and young people. Fiscal Year 2013:

Demonstration projects designed to prevent the abandonment of drug-affected and HIV positive infants and young children; to maintain family stability and to reunify and strengthen families impacted by substance abuse and HIV/AIDS; service demonstration projects designed to provide comprehensive, coordinated and community-based social support services to clients in a location accessible to the target population; to provide support for family caregivers, and provide therapeutic services in a recreational setting for HIV-infected or affected children and young people. Fiscal Year 2014: No current data available.

CRITERIA FOR SELECTING PROPOSALS:

Contact Headquarters Office for specific criteria.

93.556 PROMOTING SAFE AND STABLE FAMILIES

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Social Security Act, as amended under , Title IV, Part B, Section Subpart 2.

OBJECTIVES:

To prevent the unnecessary separation of children from their families, improve the quality of care and services to children and their families, and ensure permanency for children by reuniting them with their parents, by adoption or by another permanent living arrangement. This is accomplished through issuance of grants to State child welfare agencies and eligible Indian Tribes to serve families at risk or in crisis, including provision of the following services: reunification and adoption services, preplacement/preventive services, follow-up services after return of a child from foster care, respite care, services designed to improve parenting skills; and infant safe haven programs; to fund community-based family support services that promote the safety and well-being of children and families, to afford children a safe, stable and supportive family environment, to strengthen parental relationships and promote healthy marriages, and otherwise to enhance child development; time-limited family reunification services to facilitate the reunification of the child safely and appropriately within a timely fashion; and adoption promotion and support services designed to encourage more adoptions out of the foster care system, when adoption, promotes the best interests of the child. In addition, a portion of funds also is reserved for a separate formula grant for States and territories to support monthly caseworker visits with children who are in foster care. A small proportion of appropriated funds are reserved for research, evaluation and technical assistance, which may be awarded competitively through contracts or discretionary grants.

TYPES OF ASSISTANCE:

FORMULA GRANTS; PROJECT GRANTS

USES AND USE RESTRICTIONS:

For the main Promoting Safe and Stable Families Formula Grants, a significant portion of funds must be spent on each of the service categories of family preservation, family support services, time-limited family reunification services and adoption promotion and support services. State grantees must limit administrative costs to 10 percent of the Federal funds.

Caseworker visit formula grants: States and territories are required to spend funds to improve the quality of monthly caseworker visits with children in foster care under the responsibility of the State, with an emphasis on improving caseworker decision making on the safety, permanency, and well-being of foster children, and on activities designed to increase retention, recruitment, and training of caseworkers.

Discretionary Grants: Grants are awarded for research, evaluation and technical assistance activities relating to family support, family preservation, time-limited family reunification and adoption promotion support.

Applicant Eligibility:

(1) Formula Grants: States, territories and certain Indian Tribes are eligible applicants. For caseworker visit funds, only States and territories are eligible applicants. (2) Discretionary Grants: States, local governments, tribes, public agencies or private agencies or organizations (or combinations of such agencies or organizations) with expertise in providing, evaluating and/or providing technical assistance related to family preservation, family support, time-limited family reunification and adoption promotion and support.

Beneficiary Eligibility:

Families and children who need services to assist them to stabilize their lives, strengthen family functioning, prevent out-of-home placement of children, enhance child development and increase competence in parenting abilities, facilitate timely reunification of the child, and promote appropriate adoptions.

Credentials/Documentation:

Formula Grants: The State agency which administers the social services program under Title XX of the Social Security Act (Social Services Block Grant) must also be the agency which administers the Title IV-B programs. Discretionary Grants: Nonprofit agencies must submit proof of nonprofit status. Applicable costs and administrative procedures will be determined in accordance with parts 74 and 92 of Title 45 of the Code of Federal Regulations. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Discretionary grants under this program are eligible for coverage under E.O. 12372, however, formula grants are not. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Application Procedure (1) Formula Grants: A 5-year agency plan must be submitted. A full plan (every five years) or a plan update is due for submission by June 30th of each year. The plan must be jointly developed by the Secretary and the State or Indian Tribe and written after consultation by the agency with appropriate public and non-profit private agencies and community-based organizations. The plan must coordinate the provision of services under Title IV-B with services under other Federal or federally-assisted programs serving the same populations. (2) Discretionary Grants: Announcement of availability of funds is posted on the www.grants.gov website and on the ACF website at: <http://www.acf.hhs.gov/grants/open/foa>. Eligible applicants submit applications by specified deadlines.

Award Procedure:

(1) Formula Grants: Funds will be approved after the agency plan, or annual update is submitted and approved. (2) Discretionary Grants: Grant applications are reviewed by a panel of nonfederal professionals with expertise in child and family services, evaluation and related fields. Final decisions are made by the Commissioner, Administration for Children, Youth, and Families (ACYF). Contract proposals are reviewed by a panel of Federal Staff.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Range of Approval/Disapproval Time (1) Formula Grants: The agency plan or

its annual update is due June 30 of each year. Approval/disapproval is due September 30 of each year. (2) Discretionary Grants: From 3 to 6 months.

Appeals:

Appeals are processed in accordance with Departmental regulations at 45 CFR 16.

Renewals:

Discretionary Grants: Grants are generally available for a 12-, 24-, or 36- month period. Some may be renewed for up to a total of 5 years. Renewal funding for multiple-year grants is dependent upon grantee performance and availability of funds.

Formula and Matching Requirements:

Statutory Formula:

Matching Requirements: Percent: 25%. Statutory Formula: (1) Formula Grants: State allotments are computed based on the number of children receiving food stamps over a three year rolling average. Allotments to Indian Tribes, including Tribal consortia are based on a percent set aside of the total appropriation in each fiscal year and computed based on the number of children in the tribe compared to the total number of children in eligible Indian Tribes. However, grants will not be made to Indian Tribes whose allotment is less than \$10,000. Allotments to Territories are based on the formula in subpart 1 of Title IV-B. Matching Requirements: FFP is available up to the full allotment at the rate of 75 percent for allowable program expenditures made by the grantee. Grantees must provide a 25 percent match for these expenditures. (2)

Discretionary Grants: The funding formula and matching requirements are described in each program announcement.

This program has MOE requirements, see funding agency for further details. A MOE requirement is applicable to the formula grants to States. Specifically, States may not use the Federal funds under title IV-B, subpart 2, to supplant Federal or non-Federal funds for existing family preservation and family support services as of 1992 (base year). The discretionary grants component of this program does not have MOE requirements.

Length and Time Phasing of Assistance:

(1) Formula Grants: Grants are available for obligation and liquidation in the FY granted and in the subsequent FY. Grant awards will be made quarterly on a fiscal year basis through a letter of credit. The Electronic Transfer System will be used for monthly cash draws from Federal Reserve Banks. (2) Discretionary Grants: Grants are generally available for a 12-, 24-, or 36- month period. Some may be renewed for up to a total of 5 years. Renewal funding for multiple-year grants is dependent upon grantee performance and availability of funds. Method of awarding/releasing assistance: quarterly. Method of awarding/releasing assistance: quarterly.

Reports:

(1) Formula Grants: After the end of each of the first four fiscal years, the States, Territories, and Indian Tribes must submit an interim review of progress toward accomplishment of the goals in the State or tribal plan. After the end of the fifth fiscal year, the States and Indian Tribes must perform a final review of progress toward accomplishment of the goals. An SF-425 financial status report must be submitted for the funds awarded in each Federal fiscal year (FFY) within three months after the close of the FFY in which the funds were granted (interim report) and again within three months after the close of the subsequent FFY (final report). Performance monitoring is conducted through the Children and Family Services Review (CFSR) process in accordance with 45 CFR 1355.31 - 1355.37. (2) Discretionary Grants: Financial and program reports are required semiannually with a final report at completion of the project. PSC-272 and annually, SF-425. PSC-272. (1) Formula Grants: After the end of each of the first four fiscal years, the States, Territories, and Indian Tribes must submit an interim review of progress toward accomplishment of the goals in the State or tribal plan. After the end of the fifth fiscal year, the States and Indian Tribes must perform a final review of progress toward accomplishment of the goals. (2) Discretionary Grants: Financial and program reports are required semiannually with a final report at completion of the project. Annually, SF-425. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000

or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audits are conducted in accordance with the requirement of 45 CFR Part 92.

Records:

(1) Formula Grants: Grantees must develop and maintain records which permit review of expenditures in accordance with the provisions of 45 CFR Part 92.20 and 92.42. (2) Discretionary Grants: All financial records are to be maintained for 3 years after termination of the project or until audit is completed, whichever comes first.

Account Identification:

75-1512-0-1-506.

Obligations:

(Formula Grants) FY 12 \$351,143,638; FY 13 est \$334,391,910; and FY 14 est \$330,100,855 - Actual funding in FY 2012, as well as projections for FY 2013 and FY 2014, reflect the Congressionally mandated budget rescission in FY 2011.

Range and Average of Financial Assistance:

In FY 2013, Formula Grants for main grant program: States and Territories: \$243,573 to \$31,656,153, with an average of \$5,366,798. Tribes: \$10,051 to \$1,463,241, with an average of \$74,280.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Formula Grants for the main grant program: FY 2012: we awarded 187 formula grants to States, Territories and Indian Tribes. Fiscal Year 2013: FY 2013: we awarded 197 formula grants to States, Territories and Indian Tribes. Fiscal Year 2014: FY 2014: We anticipate we will award 197 formula grants.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 1355 and 45 CFR 1357.

Regional or Local Office:

See Regional Agency Offices. Consult Children's Bureau (CB) Regional Child Welfare Program Manager. (See Appendix IV of the Catalog for listing.).

Headquarters Office:

Eileen West, 1250 Maryland Avenue, SW, Washington, District of Columbia 20024 Email: eileen.west@acf.hhs.gov Phone: (202) 205-8438.

Website Address:

<http://www.acf.hhs.gov/programs/cb>.

RELATED PROGRAMS:

93.087 Enhance the Safety of Children Affected by Parental Methamphetamine or Other Substance Abuse; 93.586 State Court Improvement Program; 93.645 Child Welfare Services_State Grants; 93.648 Child Welfare Services Training Grants; 93.659 Adoption Assistance; 93.667 Social Services Block Grant

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.557 EDUCATION AND PREVENTION GRANTS TO REDUCE SEXUAL ABUSE OF RUNAWAY, HOMELESS AND STREET YOUTH

Street Outreach Program (SOP)

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Reconnecting Homeless Youth Act of 2008, Public Law 110-378 Part E.

OBJECTIVES:

The Street Outreach Program (SOP) makes grants available to nonprofit agencies for the purpose of providing street-based services to runaway, homeless and street youth who have been subjected to, or are at risk of being subjected to sexual abuse, prostitution, or sexual exploitation.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Provide education and prevention services, directly or through collaboration with other agencies, to runaway, homeless and street youth that have been subjected to or are at risk of sexual exploitation or abuse. The goal and design of these efforts is to help young people leave the streets to build positive relationships.

Applicant Eligibility:

Any private, nonprofit agency is eligible to apply. Nonfederally recognized Indian Tribes and urban Indian organizations are eligible to apply for grants as private, nonprofit agencies: (Note: Public agencies are not eligible).

Beneficiary Eligibility:

Runaway and homeless street youth will benefit.

Credentials/Documentation:

Nonprofit organizations must submit proof of nonprofit status. Applicable costs and administrative procedures will be determined in accordance with 45 CFR 74 and 92. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Consultation or assistance is available from the Family and Youth Services Bureau (FYSB), Administration for Children and Families, Department of Health and Human Services. The standard application forms furnished by DHHS and required by OMB Circular No. A-102 must be used for this program. An applicant should consult the office or official designated as the Single Point of Contact (SPOC) in his or her State for more information on the process. (NOTE: State/territory participation in the intergovernmental review process does not signify applicant eligibility for financial assistance under a program. A potential applicant must meet the eligibility requirements of the program for which it is applying prior to submitting an application to its SPOC). Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Application for Federal Assistance, Standard Form 424 must be submitted. Specific instructions are published via the Internet under the Form section at the following website address: <http://www.acf.hhs.gov/grants>.

Award Procedure:

All applications are reviewed by a panel of nonfederal experts that assigns scores according to the published criteria in the funding opportunity announcement. The panel's scores are factored into the recommendations for funding. Scores and recommendations are reviewed by the Associate Commissioner of the Family and Youth Services Bureau. Recommendations are made to the ACYF Commissioner, who makes the final funding decisions.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Awards are generally made for 3-year project periods; funding for the second and third year is dependent upon satisfactory performance and availability of funds.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Grantee must provide a nonfederal share or match of at least 10 percent of the Federal funds awarded. The nonfederal share may be met by cash or in-kind contributions, although applicants are encouraged to

meet their match requirements through cash contributions. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants will be awarded for a period of 36 months. Method of awarding/releasing assistance: quarterly.

Reports:

No program reports are required. Fiscal reports (SF-425) must be submitted semi-annually. A final program and expenditure report must be submitted within 90 days after the completion of the project period. Performance progress reports are due semi-annually. *See cash reports (SF-425). Federal program specialists conduct site monitoring visits in concert with information from the RHYMIS to assess performance.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audits are conducted in accordance with the requirements in 45 CFR 74 and 92.

Records:

All financial records are to be maintained 3 years after termination of the project or until audit is completed, whichever occurs first.

Account Identification:

75-1536-0-1-506.

Obligations:

(Project Grants) FY 12 \$17,883,794; FY 13 est \$17,901,000; and FY 14 est \$17,901,000

Range and Average of Financial Assistance:

\$100,000 to \$200,000 per budget period; Average award is \$103,942.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: 155 grants were awarded and \$1,124,803 in contracts. Fiscal Year 2013: It is anticipated that 150 grants will be funded and \$1,434,862 in contracts. Fiscal Year 2014: It is anticipated that 155 grants will be award and \$1,399,840 in contracts.

REGULATIONS, GUIDELINES, AND LITERATURE:

Contact Headquarters Office listed below for available literature. You may also get information on the Family and Youth Services Bureau's website at the following address <http://www.acf.hhs.gov/programs/fysb> under the "Policy and Legislative Documents" link.

Information is also available through the National Clearinghouse on Families and Youth, 5515 Security Lane, Suite 800, North Bethesda, MD 20852. Telephone: (301) 608-8098. Fax: (301) 608- 8721. The National Clearinghouse on Families and Youth website address is <http://www.http://ncfy.acf.hhs.gov> and general email address is info@ncfy.com.

Regional or Local Office:

See Regional Agency Offices. Contact: Administration for Children and Family, Family and Youth Services Bureau, Division of Adolescent Development and Support. (See Appendix IV of this Catalog for the addresses of the Regional Offices.)

Headquarters Office:

Curtis Porter 1250 Maryland Avenue, S.W., Washington, District of Columbia 20447 Email: curtis.porter@acf.hhs.gov Phone: 202.205.8306

Website Address:

<http://www.acf.hhs.gov/programs/fysb>.

RELATED PROGRAMS:

93.550 Transitional Living for Homeless Youth; 93.623 Basic Center Grant

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Not applicable. Fiscal Year 2013: Not applicable. Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Applications are evaluated based upon the criteria published in the Funding Opportunity Announcement located on the following website address: http://www.acf.hhs.gov/grants/grants_fysb.html. Organizations with experience in providing services to homeless youth are given priority.

93.558 TEMPORARY ASSISTANCE FOR NEEDY FAMILIES (TANF)

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Social Security Act, Title IV, Part A, 42 U.S.C 601 et seq.

OBJECTIVES:

To provide grants to States, Territories, the District of Columbia, and Federally-recognized Indian Tribes operating their own Tribal TANF programs to assist needy families with children so that children can be cared for in their own homes; to reduce dependency by promoting job preparation, work, and marriage; to reduce and prevent out-of-wedlock pregnancies; and to encourage the formation and maintenance of two-parent families.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

States, Territories, the District of Columbia, and Federally-recognized Indian Tribes operating their own TANF programs have broad flexibility to use the grant funds in any manner that meets the purposes of the program (including providing low-income households with assistance in meeting home heating and cooling costs) and in ways that States and Territories were authorized to use funds received under the predecessor Aid to Families with Dependent Children (AFDC), Job Opportunities and Basic Skills Training (JOBS), and Emergency Assistance (EA) programs. States and Territories may also transfer a limited portion of their assistance grant funds to the Child Care and Development Block Grant (CCDBG) and Social Services Block Grant (SSBG) Programs. Not more than 15 percent of any State grant may be spent on administrative costs, exclusive of certain computerization and information technology expenses. Cash grants, work opportunities, and other services are made directly to needy families with children. For Tribal programs, ACF will negotiate a limitation on administrative costs for the first year of the program's operation not to exceed 35 percent, for the second year of the program's operation not to exceed 30 percent, and then for the third and subsequent years of the program's operation not to exceed 25 percent. For the TANF program, there are certain prohibitions, restrictions, and limitations on the provision of assistance. For example, families may only receive Federally-funded assistance for five years. In addition, Federal funds may not be used to provide medical services, unless they are pre-pregnancy family planning services (Tribes, however, may use Federal funds for medical services if they are job-related).

Applicant Eligibility:

In general, all States, Territories, the District of Columbia, and all Federally-recognized Tribes in the lower 48 States and 13 specified entities in Alaska are eligible. State and local agencies and Tribes that operate TANF programs must do so under plans determined to be complete (or for Tribes approved) by the Department of Health and Human Services (DHHS). For Contingency Funds, all States and the District of Columbia are eligible if they are determined to be a "needy State" by satisfying either an unemployment trigger or a food stamp trigger. Territories and Tribes are not eligible for Contingency Funds.

Beneficiary Eligibility:

Needy families with children, as determined eligible by the State, Territory, or Tribe in accordance with the State or Tribal plan submitted to the Department of Health and Human Services (HHS).

Credentials/Documentation:

Federal funds go to the State, Territory, or Tribal agency certified by the Chief Executive Officer. Needy families must meet State or Tribal eligibility requirements. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Each State, Territory, and the District of Columbia must develop a State plan and include the certifications signed by the Executive Officer (Governor). Applicants must consult with local governments and private organizations and provide them 45 days to comment on the plan. The State plan and certifications must be submitted to the Secretary of HHS. Tribes may apply to the Secretary to receive funds and to administer the TANF block grant. Tribes would submit a 3-year family assistance plan. The Secretary, in consultation with the Tribe, would set program requirements and time-limits for receipt of welfare-related services, consistent with the purposes of the program and economic conditions/resources of each Tribe. Tribes should contact the OFA Regional TANF Program Manager for further Tribal plan submittal procedures. For Contingency Funds, each State must request these funds monthly for each month they meet either the unemployment or food stamp triggers. For Supplemental Grants, there is no application. Supplemental Grants are awarded annually and issued quarterly to the States (in number 17) with exceptionally high population growth in the early 1990s, historic (1994) welfare grants per poor person lower than 35 percent of the national average, or a combination of above average population growth and below average historic welfare grants per poor person.

Award Procedure:

Once a plan is determined complete, or in the case of a Tribe the plan is approved, by the DHHS, Family Assistance Grants are awarded in quarterly payments. Supplemental Grants are also awarded in quarterly payments. Contingency Fund awards will be made monthly.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

States, Territories, the District of Columbia, and Tribes implement their assistance programs according to their State and Tribal plans. The Secretary does not have authority to approve or disapprove a State plan, only to determine its completeness. Tribal plans are subject to approval by the Secretary. For Contingency Funds, approval/disapproval will be from 30 to 60 days after receipt of the request for funds.

Appeals:

States, Territories, the District of Columbia, and Tribes facing an adverse action by the Secretary may appeal under both administrative and judicial procedures. In addition, States, Territories, the District of Columbia, and Tribes must provide opportunities for recipients who have been adversely affected to be heard in a State or Tribal administrative or appeal process.

Renewals:

States, Territories, and the District of Columbia must periodically (every 2 or 3 years) renew their funding status by submitting their TANF plan. Tribes must renew their funding status every 3 years. For Contingency Funds, requests for additional funding must be made monthly.

Formula and Matching Requirements:

Statutory Formula: Title 45 CFR, Chapter 2, Part 260; 286.

This program has no matching requirements.

This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

States, Territories, the District of Columbia, and Tribes are awarded their assistance grants in quarterly payments. They may reserve grant moneys, without fiscal year limitation, to provide any allowable benefits and services that are consistent with the purposes of the TANF program. With certain exceptions, most families are limited to no more than 5 years of assistance under the Federal grant. Tribes have the flexibility to establish time limits on receipt of assistance. For Contingency Funds, grant awards are issued monthly to States meeting the eligibility criteria stipulated under Section 101. Method of awarding/releasing assistance: quarterly.

Reports:

States, Territories, the District of Columbia, and Tribes operating their own Tribal TANF programs are required to collect and report to the Secretary on a quarterly basis case record information on the families receiving assistance. They are also required to report administrative costs and overhead expenditures on programs for needy families, participation by noncustodial parents in work activities, and transitional services provided to former recipients. They may be required to report child poverty information annually in accordance with the methodology the Secretary has established by regulation. No cash reports are required. No progress reports are required. Quarterly financial reports. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audits shall be conducted by the Inspector General under Chapter 75 of Title 31, United States Code. If a State or Territory is found to have used funds from the State Family Assistance Grant in violation of the statute, the Secretary shall reduce the grant payable to them for the immediately succeeding fiscal year by that same amount. For Tribes, the Secretary will have the ability to maintain program funding accountability consistent with generally accepted accounting principles and the requirements of the Single Audit Act of 1984 and OMB Circular A-133.

Records:

States, Territories, the District of Columbia, and Tribes must maintain records containing information the Secretary may require by regulation.

Account Identification:

75-1552-0-1-609.

Obligations:

(Formula Grants) FY 12 \$612,000,000; FY 13 est \$612,000,000; and FY 14 est \$292,550,000 - (Contingency Funds--estimated ending balance). (Formula Grants) FY 12 \$16,488,667,000; FY 13 est \$16,488,667,000; and FY 14 est \$16,488,667,000 - (State and Tribal Family Assistance Grants). (Formula Grants) FY 12 \$0; FY 13 est \$0; and FY 14 est \$319,450,000 - (Supplemental Grants for Population Increases). (Formula Grants) FY 12 \$77,875,000; FY 13 est \$77,875,000; and FY 14 est \$77,875,000 - (Territory Assistance Grants and Matching Grants).

Range and Average of Financial Assistance:

State and Tribal Family Assistance grants are estimated from \$77,195 to \$3,659,482,790 with an average of \$149,896,973.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: In FY 2012, grants were made to 50 States, the District of Columbia, three Territories, and 66 Tribes. Fiscal Year 2013: In FY 2013, grants were made to 50 States, the District of Columbia, three Territories and 68 Tribes. Fiscal Year 2014: In FY 2014, it is anticipated that grants will be made to 50 States, the District of Columbia, three Territories and a projected 75 Tribes.

REGULATIONS, GUIDELINES, AND LITERATURE:

Temporary Assistance for Needy Families (TANF) Final Rule was originally published in the Federal Register on April 12, 1999 (Vol. 64, No. 69). Publication of the Deficit Reduction Act of 2005 was published in the Federal Register on February 5, 2008 (Vol. 73, No. 24) to incorporate changes resulting from reauthorization of the TANF program. Program rules for State programs can be found at 45 CFR Parts 260 through 265. The Tribal Temporary Assistance for Needy Families (TANF) Final Rule was published in the Federal Register on February 18, 2000 (Vol. 65, No. 34). Tribal rules can be found at 45 CFR Part 286.

Regional or Local Office:

See Regional Agency Offices. Region I (CT, MA, ME, NH, RI, VT):

Carol Monteiro, Regional Program Manager, Administration for Children and Families, Office of Family Assistance, Boston Regional Office, JFK Building,

Rm. 2000, Boston, MA 02203. Telephone: (617) 565-2462.

Region II (NJ, NY):

Frank Ceruto, Regional Program Manager, Administration for Children and Families, Office of Family Assistance, New York Regional Office, 26 Federal Plaza, Room 4114, New York, NY 10278. Telephone: (212) 264-2890, ext. 133.

Region III (DC, DE, MD, PA, VA, WV):

Eileen Friedman, Regional Program Manager, Administration for Children and Families, Office of Family Assistance, Philadelphia Regional Office, 150 S. Independence Mall West, Suite 864, Philadelphia, Pennsylvania 19106-3499. Telephone: (215) 861-4058.

Region IV (AL, FL, GA, KY, MS, NC, SC, TN):

LaMonica Shelton, Regional Program Manager, Administration for Children and Families, Office of Family Assistance, Atlanta Regional Office, 61 Forsyth Street, Suite 4M60, Atlanta, Georgia 30303-8909. Telephone: (404) 562-2938.

Region V (IL, IN, MI, MN, OH, WI):

Thomas Schindler, Acting Regional Program Manager, Administration for Children and Families, Office of Family Assistance, Chicago Regional Office, 233 N. Michigan Ave. - Suite 400, Chicago, IL 60601. Telephone: (312) 886-9540.

Region VI (AR, LA, NM, OK, TX):

Larry Brendel, Regional Program Manager, Administration for Children and Families, Office of Family Assistance, Dallas Regional Office, 1301 Young Street, Room 945, Dallas, TX 75202. Telephone: (214) 767-6236.

Region VII (IA, KS, MO, NE):

Gary Allen, Regional Program Manager, Administration for Children and Families, Office of Family Assistance, Kansas City Regional Office, Rm. 349, 601 E 12 St., Kansas City, MO 64106. Telephone: (816) 426-2236.

Region VIII (CO, MT, ND, SD, UT, WY):

Larry Brendel, Acting Regional Program Manager, Administration for Children and Families, Office of Family Assistance, Denver Regional Office, 999 18th Street, South Terrace, Suite 499, Denver, CO 80202. Telephone: (214) 767-6236.

Region IX (AZ, CA, HI, NV):

Julie Fong, Regional Program Manager, Administration for Children and Families, Office of Family Assistance, San Francisco Regional Office, 90 7th Street, Ninth Floor, San Francisco, CA 94103. Telephone: (415) 437-7579.

Region X (AK, ID, OR, WA):

Frank Shields, Regional Program Manager, Administration for Children and Families, Office of Family Assistance, Seattle Regional Office, 2201 Sixth Avenue, M/S 74, Seattle, WA 98121. Telephone (206) 615-2569.

Headquarters Office:

Robert M. Shelbourne Office of the Director, Office of Family Assistance, Administration for Children and Families, Department of Health and Human Services, 5th Floor East, Aerospace Building, 370 L'Enfant Promenade, SW., Washington, District of Columbia 20447 Email: rshelbourne@acf.hhs.gov Phone: (202) 401-5150 Fax: (202) 401-5554

Website Address:

<http://www.acf.hhs.gov/programs/ofa/>

RELATED PROGRAMS:

93.594 Tribal Work Grants; 93.595 Welfare Reform Research, Evaluations and National Studies; 93.596 Child Care Mandatory and Matching Funds of the Child Care and Development Fund; 93.667 Social Services Block Grant

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.560 FAMILY SUPPORT PAYMENTS TO STATES_ASSISTANCE PAYMENTS

(Adult Programs in the Territories)

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Social Security Act, Title XVI, 42 U.S.C 1351.

OBJECTIVES:

To provide aid to the aged, blind, and the permanently and totally disabled in Guam, Puerto Rico, and the Virgin Islands.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Cash payments are made to eligible needy aged, blind, or disabled persons in Guam, Puerto Rico, and the Virgin Islands. These payments are to cover costs for food, shelter, clothing, and other daily living needs recognized as necessary by each Territory's program. In addition, an individual must be at least age 65 to receive assistance for the aged; must be determined blind to receive aid to the blind; or must be at least 18 years of age and totally disabled to receive aid to the permanently and totally disabled.

Applicant Eligibility:

Agencies must operate under Department of Health and Human Services (HHS) approved plans and agreements, which must comply with all Federal statutory and regulatory requirements governing these programs. The applicant must be needy -- i.e., meet specific income and resource requirements. In addition, the individual must be at least age 65 to receive assistance for the aged; must be determined blind to receive aid to the blind; or must be at least 18 years of age and totally disabled to receive aid to the permanently and totally disabled.

Beneficiary Eligibility:

The beneficiary must be needy -- i.e., meet specific income and resource requirements. In addition, the individual must be at least age 65 to receive assistance for the aged; must be determined blind to receive aid to the blind; or must be at least 18 years of age and totally disabled to receive aid to the permanently and totally disabled. These programs also have specific unearned and earned income disregards that are applied in determining the amount of assistance payable.

Credentials/Documentation:

Federal funds must go to a certified Territorial welfare agency. Individuals must meet all eligibility requirements. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Eligible individuals should apply directly to their Territorial or local welfare agency. The Territories should contact the Regional Temporary Assistance for Needy Families (TANF) program managers for application forms. (See Appendix IV of the Catalog for addresses.) Territories contact the Regional TANF program manager for applications for administrative funds. OFA/Regional TANF program managers have authority to approve applications and amendments; disapproval authority is held by the Director of the Office of Family Assistance, in the Administration

for Children and Families.

Award Procedure:

Once an application is approved, Territories are awarded funds quarterly based on ACF-approved estimates of maintenance assistance and administrative costs. Notification of awards must be made to the designated Territorial agency. Eligible individuals receive monthly subsistence checks from Territorial welfare agencies.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 30 to 60 days. Welfare agencies must have a time standard for notifying applicants of approval/disapproval; not over 45 days after application, except 60 days for aid to the disabled.

Appeals:

From 90 to 120 days. Individuals denied assistance must be given a fair hearing on appeal (45 CFR, Chapter 2, Section 205.10). Territorial agencies may request a hearing before the Assistant Secretary for Family Support regarding adverse decisions (45 CFR, Chapter 2, Section 201.14; 45 CFR, Part 16).

Renewals:

> 180 Days. The agency must conduct periodic reviews to determine whether conditions justifying eligibility still exist.

Formula and Matching Requirements:

Statutory Formula: Title XVI.
Matching Requirements: Program funds are provided based on a formula linked to the number of recipients receiving benefits each month. Administrative costs are matched at 50%. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Individuals receive support from the Territorial or local welfare agency until they no longer meet the eligibility requirements. See the following for information on how assistance is awarded/released: Payments to eligible individuals are paid monthly.

Reports:

No program reports are required. Cash reports are not applicable. No progress reports are required. Territorial claims must be submitted quarterly to receive Federal matching funds. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Territories must maintain records which substantiate eligibility determination and grants that are made.

Account Identification:

75-1501-0-1-609.

Obligations:

(Formula Grants) FY 12 \$33,000,000; FY 13 est \$33,000,000; and FY 14 est \$33,000,000

Range and Average of Financial Assistance:

The range for these three Territories is \$707,436 and \$30,880,328, with an average of \$10,936,095.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: In FY 2012, three grants were awarded. Fiscal Year 2013: In FY 2013, three grants were awarded. Fiscal Year 2014: In FY 2014, three grants will be awarded.

REGULATIONS, GUIDELINES, AND LITERATURE:

ACF regulations are published in the Federal Register, 45 CFR 200 et seq.

Regional or Local Office:

See Regional Agency Offices. Region II (Puerto Rico, Virgin Islands)

Frank Ceruto, Regional Program Manager, Administration for Children and Families, Office of Family Assistance, New York Regional Office, 26 Federal Plaza, Room 4114, New York, NY 10278. Telephone: (212) 264-2890, ext. 133.

Region IX (Guam)

Julie Fong, Regional Program Manager, Administration for Children and Families, Office of Family Assistance, San Francisco Regional Office, 90 7th Street, Ninth Floor, San Francisco, CA 94103. Telephone: (415) 437-7579.

Headquarters Office:

Robert M. Shelbourne Office of the Director, Office of Family Assistance, Administration for Children and Families, Department of Health and Human Services, 5th Floor East, Aerospace Building, 370 L'Enfant Promenade, SW., Washington, District of Columbia 20447 Email: rshelbourne@acf.hhs.gov Phone: (202) 401-5150 Fax: (202) 401-5554

Website Address:

<http://www.acf.hhs.gov/programs/ofa/>

RELATED PROGRAMS:

93.778 Medical Assistance Program; 96.001 Social Security_Disability Insurance; 96.006 Supplemental Security Income

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.563 CHILD SUPPORT ENFORCEMENT

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Social Security, Title IV, Part D, 42 U.S.C 1673-6103-6.

OBJECTIVES:

To enforce the support obligations owed by absent parents to their children, locate absent parents, establish paternity, and obtain child, spousal and medical support.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

States and some Tribes provide support enforcement services directly to individuals who are receiving federally-funded Foster Care Maintenance Payments, Medicaid, Temporary Assistance to Needy Families (TANF) (or those who cease to receive TANF), and to individuals not otherwise eligible for such services. TANF, Medicaid, and certain federally-funded Foster Care applicants or recipients must have assigned support rights to the State. Non-TANF individuals other than those who cease to receive TANF and/or who provide authorization to the IV-D agency to continue support enforcement services, must have signed a written application for support enforcement services. The State must provide services to locate absent parents, establish paternity and enforce support obligations.

Applicant Eligibility:

All States, the District of Columbia, Puerto Rico, Virgin Islands, and Guam. Each of these jurisdictions is required to establish or designate a single and separate State Child Support Enforcement Agency. Tribes are eligible and we currently have fifty tribal child support programs and six in the start-up phase.

Beneficiary Eligibility:

The State must provide support enforcement services to: (1) All applicants for, or recipients of TANF, Foster Care Maintenance Payments, and Medicaid, for whom an assignment to the State of support rights has been made and who are in need of such services; (2) all individuals who cease to receive TANF; (3)

individuals who provide authorization to the IV-D agency to continue support enforcement services; and (4) any other individual who is in need of such services and who has applied for them.

Credentials/Documentation:

No Credentials or documentation are required. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

State officials prepare and review state plans and amendments. Regional Office staff are available for consultation or assistance in preparation of State plans and amendments. The standard application forms, as furnished by Department of Health and Human Services (DHHS) and required by OMB Circular No. A-102, must be used for this program. High level State officials review State Plans and amendments before they are submitted. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Applications are made in the form of a State Plan, prepared in the format provided by the Office of Child Support Enforcement.

Award Procedure:

States are awarded funds quarterly based on their estimates of funds needed to provide support enforcement services to individuals eligible under an approved Title IV-D State plan. These awards are made up of matching funds (federal financial participation FFP), and incentive funds that states earn based on good or improved performance.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. State Plans and amendments submitted as new State Plans, and Plan amendments may require up to 90 days for approval.

Appeals:

From 30 to 60 days. The State may request reconsideration of plan disapproval or plan amendment disapproval within 60 days after that disapproval.

Renewals:

Once approved, State IV-D plans remain in effect until amended or revised.

Formula and Matching Requirements:

Statutory Formula: Title IV-D, Public Law 94-46,94-88,94,365,95-30,95-59,95-171,95-598,96-178,96-265,96-272,96-611, 97-35,97-248,98-369,98-378,100-485,101-508.

Matching Requirements: States receive a 66 percent Federal Financial Participation (FFP). Federally recognized Indian tribes and Alaska Native organizations are eligible to apply for direct funding for Child Support programs. Those with approved applications receive 90 percent FFP of their program needs during the first three years and 80 percent FFP thereafter. Tribes also may apply for two-year start-up grants. There are currently fifty tribal child support program grantees and six tribes receiving two-year start-up funding.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Federal funds are awarded quarterly on a fiscal year basis. The Electronic Transfer System is used for monthly cash draw from Federal Reserve Banks based on quarterly awards. Method of awarding/releasing assistance: by letter of credit.

Reports:

Periodic audits are made as part of recipient's systems of financial management and internal control in accordance with Public Law 98-502, the single Act of 1984. Cash reports are done on Form 269A. Periodic reports are required on the

progress of the programs. Quarterly financial reports of administrative expenditures and child support collections and annual program reports including caseload data are required by OCSE. Periodic audits are conducted.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS Inspector general and State Audit (private groups) and other Federal government officials. OCSE conducts data reliability of variables used to compute performance measures. These audits were mandated by The Child Support Performance and Incentive Act of 1998 (CSPIA).

Records:

The IV-D agency must maintain records necessary for the proper and efficient administration of the State IV-D plan.

Account Identification:

75-1501-0-1-609.

Obligations:

(Formula Grants) FY 12 \$4,134,026,395; FY 13 est \$4,268,181,000; and FY 14 est \$4,339,199,000

Range and Average of Financial Assistance:

FY 12, from \$3,666,273 to \$583,157,285; \$75,813,062; FY 13, from \$3,786,055 to \$602,209,852; \$78,289,981; FY 14, from \$3,849,092 to \$612,236,429; \$79,593,481.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: 54 grants were awarded to States and Territories. Tribal programs received \$42 million. Child support distributed collections were \$27.719 billion. Fiscal Year 2013: 54 grants are expected to be awarded to States and Territories. Tribal programs are estimated to receive \$48 million. Child support distributed collections are estimated to be \$28.361 billion. Fiscal Year 2014: 54 grants are expected to be awarded to States and Territories. Tribal programs are estimated to receive \$41 million. Child support distributed collections are estimated to be \$28.776 billion.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR, Chapter III, 45 CFR 16, 45 CFR 74, 45 CFR 95, 45 CFR 213, 45 CFR 232.

Regional or Local Office:

See Regional Agency Offices. Regional Representative, Department of Health and Human Services. (See Appendix IV of the Catalog for addresses and telephone numbers.).

Headquarters Office:

Renee R. Jackson-Hebron 901 D, St. SW, Washington, District of Columbia 20447 Email: rjackson@acf.hhs.gov Phone: 2024015101

Website Address:

<http://www.acf.dhhs.gov/programs/cse>.

RELATED PROGRAMS:

93.558 Temporary Assistance for Needy Families; 93.597 Grants to States for Access and Visitation Programs; 93.658 Foster Care_Title IV-E

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.564 CHILD SUPPORT ENFORCEMENT RESEARCH

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Social Security, Title IV, Part D, Section 1115, 42 U.S.C 1315.

OBJECTIVES:

To provide federal funds for experimental, pilot, or demonstration projects that are likely to assist in promoting the objectives of Part D of Title IV. Section 1115 provides that 'the project - 1) must be designed to improve the financial well-being of children or otherwise improve the operation of the child support program; 2) may not permit modifications in the child support program which would have the effect of disadvantaging children in need of support; and 3) must not result in increased cost to the federal government under Part A of such title.' The principal purpose is to carry out the public purpose of implementing a demonstration project that is likely to "improve the financial well-being of children or otherwise improve the operation of the child support program" as stated in the statutory authority. Any responsibility to the federal government is a condition for receiving the grant, but not a principal purpose.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

In FY 2013, Section 1115 grants are dedicated to the second year of the OCSE National Child Support Noncustodial Parent Employment Demonstration Projects and to the evaluation of these demonstration projects. The funding provides states with the opportunity to implement a child support-led model that provides a comprehensive set of services that have previously been associated with successful outcomes as part of a rigorous experimental evaluation in order to learn how to improve child support payments among underemployed or unemployed noncustodial parents. The goal of the demonstration projects is to improve the reliable payment of child support, thereby improving child well-being and avoiding public costs. The federal initiative also examines the effect of employment services on child support and related outcomes, including the benefits and costs of employment services for noncustodial parents. To accomplish these goals, grantees administer a package of child support, employment, and fatherhood services for noncustodial parents, and collect and report data on participants and those assigned to the control group, as agreed upon with OCSE. All grants must follow the program requirements and restrictions outlined in the funding opportunity announcement HHS-2012-ACF-OCSE-FI-0298 and follow all of the standard terms and conditions for ACF grant awards, not limited to what is described in the HHS Grants Policy Statement.

Applicant Eligibility:

Section 1115 grants may be made only to State Child Support Enforcement agencies or their umbrella agencies.

Beneficiary Eligibility:

State agencies, local governments, nonprofit organizations, and for-profit organizations.

Credentials/Documentation:

When appropriate, applicants should present written evidence of other agencies' willingness to cooperate when the project involves their cooperation or the utilization of their facilities or service. Costs will be determined in accordance with 2 CFR 225 for State governments. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. The standard application forms, as furnished by DHHS and required by OMB Circular No. A-102, must be used for this program. Application kits which contain the prescribed application forms and supplemental descriptive information on the priority projects are available from: Administration for Children and Families, Office of Grants Management, Director, Division of Discretionary Grants, 6th Floor East, 370 L'Enfant Promenade, SW., Washington, DC 20447. Telephone: (202) 401-4577. Contact: Daphne Weeden, Director, Division of Discretionary Grants. Eligible interested parties are encouraged to submit applications on grants.gov. To be considered for a grant award, all applications must be submitted on the prescribed

application forms and submitted to the Division of Grants Management. The application shall be executed by an individual authorized to act for the applicant agency or organization and to assume for the agency or organization the obligations imposed by the terms and conditions of the grant. As part of the project title (page 1 of the application form, Form SF-424) the applicant must clearly indicate whether the application submitted is in response to a priority project identified in a program announcement and must reference the unique project identifier (e.g., HHS-2010 - ACF - OCSE-FD-0113) for which the application is to compete. Applications are initially screened for relevance to program interests of the Office of Child Support Enforcement (OCSE). Ineligible applications will be returned to the applicant. Eligible applications are reviewed and evaluated by a review panel of not fewer than three knowledgeable people. A limited number of projects may be approved pending available funds and will compete with other nonpriority projects. Written assessment of each application is made.

Award Procedure:

Official notice of approved grant applications is made through issuance of a Notice of Grant Award. This provides the amount of funds awarded, the purpose of the award, the term and conditions of the award, the budget period for which support is given, the total project period for which support is contemplated, and the total grantee participation.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 120 days. Generally, solicited grants will be acted upon within 90 days.

Appeals:

There are no formal appeals procedures. If an application for a grant is disapproved, the reasons for disapproval will be fully stated, applicants may request the reasons for disapproval. In the case of unsolicited proposals, applicants are free to resubmit applications with attention to the changes suggested by the reasons for disapproval in the future.

Renewals:

Extensions and continuations of grants with 1-year project periods are generally not available except under extreme circumstances. If an application is recommended for approval for 2 or more years, the grantee must submit a formal request for continuation each year accompanied by a progress report which will be evaluated prior to a recommendation of continuation.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. But may require an administratively imposed non-federal match.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Section 1115 grants are typically three years in length. FY 2013 grants will be five years in length. For multi-year projects, support beyond the first year is contingent upon acceptable evidence of satisfactory progress and availability of funds. Method of awarding/releasing assistance: by letter of credit.

Reports:

Semi-annual program narrative reports and semi-annual expenditure reports are required. Periodic monitoring is conducted by the federal project office when progress reports are reviewed. Semi-annual expenditure reports are required. Quarterly reports are required. Semi-annual expenditure reports are required. Periodic monitoring is conducted by the Federal Project Officer when progress reports are reviewed.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. All fiscal transactions identifiable

to Federal financial assistance are subject to audit by the HHS audit agency, and other Federal officials.

Records:

Proper accounting records, identifiable by grant number act number and including all receipts and expenditures must be maintained for 3 years in accordance with 45 CFR Part 92. Subsequent to audit, they must be maintained until all questions are resolved, or at the end of the regular 3-year period, whichever is later.

Account Identification:

75-1501-0-1-609.

Obligations:

(Project Grants) FY 12 \$4,000,000; FY 13 est \$4,000,000; and FY 14 Estimate Not Available - OCSE and the Centers for Medicare and Medicaid Services (CMS) share equally of the total \$4,000,000 in Section 1115 funds. FY 2013 funds cover continuation awards only.

Range and Average of Financial Assistance:

FY 13 \$50,000 to \$2,250,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: OCSE awarded nine grants in FY 2012. Fiscal Year 2013: OCSE will not fund any new grants in FY 2013. OCSE funded twelve continuation awards. Fiscal Year 2014: OCSE anticipates nine continuation awards in FY 2014. Estimates on new awards not available.

REGULATIONS, GUIDELINES, AND LITERATURE:

The pamphlets "Research Grants in Social Security," "Grants Administration Policies," and application kits may be obtained from the ACF, Office of Grants Management, Division of Discretionary Grants, 4th Floor, 370 L'Enfant Promenade, SW., Washington, DC 20447.

Regional or Local Office:

See Regional Agency Offices. Regional Administrators. (See Appendix IV of the Catalog for Regional Offices).

Headquarters Office:

Lauren Antelo 370 L'Enfant Promenade, S.W., Washington, District of Columbia 20447 Email: lauren.antelo@acf.hhs.gov Phone: 202 401-5602

Website Address:

<http://www.acf.hhs.gov/programs/cse>.

RELATED PROGRAMS:

93.558 Temporary Assistance for Needy Families; 93.563 Child Support Enforcement; 93.597 Grants to States for Access and Visitation Programs; 93.601 Child Support Enforcement Demonstrations and Special Projects; 93.647 Social Services Research and Demonstration; 93.779 Centers for Medicare and Medicaid Services (CMS) Research, Demonstrations and Evaluations

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: In FY 2012, awarded 1) Child Support Noncustodial Parent Employment Demonstration Projects and 2) Evaluation of Child Support Noncustodial Parent Employment Demonstration Projects. Fiscal Year 2013: There will be no new awards in FY 2013. OCSE will provide continuation funding to FY 2012 grantees. Fiscal Year 2014: Examples of funded projects are not yet available.

CRITERIA FOR SELECTING PROPOSALS:

(1) Program Design; (2) Program Management; (3) Evaluation Design; and (4) Budget and Budget Justification.

93.566 REFUGEE AND ENTRANT ASSISTANCE_STATE ADMINISTERED PROGRAMS

Refugee Cash and Medical Assistance Program and Refugee Social Services Program

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Refugee Education Assistance Act of 1980, Title V, Section 501(a), Public Law

96-422, 94 Stat. 1799, 8 U.S.C 1522 note; Refugee Act of 1980, Section 412, Public Law 96-212, 94 Stat. 111, 8 U.S.C 1522; William Wilberforce Trafficking Victims Protection Reauthorization Act of 2008, Section 212-235, Public Law 110-457; Victims of Trafficking and Violence Protection Act of 2000, Public Law 106-386.

OBJECTIVES:

The Refugee Cash and Medical Assistance program reimburses states for the cost of cash and medical assistance provided to refugees, certain Amerasians from Viet Nam, Cuban and Haitian entrants, asylees, victims of a severe form of trafficking, and Iraqi and Afghan Special Immigrants during the first eight months after their arrival in this country or grant of asylum. Reimbursement is also provided for care of unaccompanied refugee minors and grantee administrative costs. Social Services formula funding may be used for employment and other social services for same population for five years after their date of arrival or grant of asylum.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Assistance is limited to refugees, certain Amerasians from Viet Nam, Cuban and Haitian entrants, asylees, victims of a severe form of trafficking, and Iraqi and Afghan Special Immigrants.

Applicant Eligibility:

State agencies, State Replacement Designees, and Wilson/Fish Alternative projects are eligible to apply for these funds.

Beneficiary Eligibility:

Refugees, certain Amerasians from Viet Nam, Cuban and Haitian entrants, asylees, victims of a severe form of trafficking, and Iraqi and Afghan Special Immigrants are eligible for benefits and services.

Credentials/Documentation:

Assistance is authorized for refugees, certain Amerasians from Viet Nam, asylees, Cuban and Haitian entrants, asylees, victims of a severe form of trafficking, and Iraqi and Afghan Special Immigrants with documentation on their immigration status. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Submittal, and approval of, State plans, annual service plans, and annual State Cash and Medical Assistance estimates.

Award Procedure:

Cash and Medical Assistance grants are made to State agencies and State Replacement designees following submittal of approved State plans and annual State expenditure estimates (ORR-1). For Social Services grants, State agencies, State Replacement designees, and Wilson/Fish Alternative projects must submit annual service plans.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Annual submission of Cash and Medical Assistance program expenditure estimates and, for Social Services grants, submission of annual service plans.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

This program has no matching requirements. Statutory formulas are not applicable to the Cash and Medical Assistance Program. Social Services funds are allocated in accordance with a statutory formula.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Funds are awarded on a quarterly basis. Funds for Cash and Medical Assistance and administrative costs must be obligated within the fiscal year of appropriation and liquidated within the next fiscal year. Funds for services for unaccompanied refugee minors may be obligated and expended in the year of award and in the subsequent year. Funds for social services must be obligated by end of the fiscal year after the fiscal year of appropriation and liquidated within the following fiscal year. Method of awarding/releasing assistance: quarterly.

Reports:

State agencies submit program performance reports (ORR-6) three times a year. Cash reports are not applicable. No progress reports are required. Grantees submit Federal Financial Reports (SF-425) four times a year. ORR regularly monitors State-administered programs, including cash and medical assistance, social services, and the unaccompanied refugee minors program. The ORR monitoring team reviews with State staff the eligibility and documentation requirements of beneficiaries and verifies the maintenance of accurate and up-to-date client files. ORR also monitors State financial and aggregate and case-level assistance reports and reviews State Plans and State Plan amendments.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

The grantee must maintain financial and program records for three years after the grantee submits the last expenditure report for the funding period.

Account Identification:

75-1503-0-1-609.

Obligations:

(Formula Grants) FY 12 \$221,245,031; FY 13 est \$302,361,297; and FY 14 est \$294,067,800 - Refugee Cash and Medical Assistance Grants. (Formula Grants) FY 12 \$84,401,513; FY 13 est \$82,036,800; and FY 14 est \$82,036,800 - Social Services Formula Grants.

Range and Average of Financial Assistance:

In FY 2012, grants for the Refugee Cash and Medical Assistance program ranged from \$20,000 to \$55,200,000; the average was \$5,147,196. Grants for the Refugee Social Services program ranged from \$75,000 to \$22,388,000; the average was \$1,688,030. It is anticipated that this pattern will continue in FY 2013 and FY 2014.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: In FY 2012, there was CMA grants to 43 States. There was 50 Social Services grants to States and WilsonFish Alternative projects. Fiscal Year 2013: In FY 2013, there will be CMA grants to 43 States. There will be 50 Social Services grants to States and WilsonFish Alternative projects. Fiscal Year 2014: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR Part 400, Subparts A-I.

Regional or Local Office:

None.

Headquarters Office:

Mitiku Ashebir 370 L'Enfant Promenade SW, 8TH Floor West
, Washington, District of Columbia 20447 Email: Mitiku.Ashebir@acf.hhs.gov
Phone: (202) 205-3602 Fax: (202) 401-0981

Website Address:

<http://www.acf.hhs.gov/programs/orr>

RELATED PROGRAMS:

93.567 Refugee and Entrant Assistance_Voluntary Agency Programs; 93.576 Refugee and Entrant Assistance_Discretionary Grants; 93.583 Refugee and Entrant Assistance_Wilson/Fish Program; 93.584 Refugee and Entrant Assistance_Targeted Assistance Grants; 93.598 Services to Victims of a Severe Form of Trafficking

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.567 REFUGEE AND ENTRANT ASSISTANCE_VOLUNTARY AGENCY PROGRAMS

Matching Grant Program

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Refugee Act of 1980, Section 412, Public Law 96-212, 94 Stat. 111, 8 U.S.C 1522; Victims of Trafficking and Violence Protection Act of 2000, Public Law 106-386; Consolidated Appropriations Act, 2008, Public Law 110-161; Defense Authorization Act of 2008, Public Law 110-181; FY 2009 Omnibus Appropriations Bill, Public Law 111-08, as amended by Refugee Assistance Extension Act of 1986, Title 100, Part 3449, Section 7, Public Law 99-605.

OBJECTIVES:

The Matching Grant program assists refugees in becoming self-supporting and independent members of American society by providing grant funds to private nonprofit organizations to support case management, transitional assistance, and social services for new arrivals.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Federal funds of up to \$2,200 per refugee are provided on a matching basis of \$2.20 of Federal funds matched by \$1.10 of private funds and in-kind contributions. Required services include case management, job development, and maintenance assistance for four to six months. Funds may also be used for English language training concurrent with employment services, job training, and cash allowance.

Applicant Eligibility:

Grant awards are limited to States and private, nonprofit organizations that have a Reception and Placement Cooperative Agreement with the Department of State or Department of Homeland Security.

Beneficiary Eligibility:

Enrollment must occur within 31 days of the individuals date of eligibility. The date of eligibility for Matching Grant Services is counted from the date of arrival into the country for refugees and Amerasians; the date a Cuban/Haitian becomes an entrant; the date of the final grant of asylum for asylees; the date of the certification or eligibility letter for Victims of Severe Forms of Trafficking; and the date an SIV arrives in the U.S. or the date of adjustment of status if applying for Special Immigrant Status within the U.S.

At least one member of the case unit must be deemed 'employable' for the case to be enrolled in the Matching Grant Program, and all other members must be otherwise Program eligible.

Credentials/Documentation:

Newly arriving refugees, certain Amerasians, Cuban or Haitian Entrants, asylees, and Iraqi or Afghan Special Immigrants, as evidenced in the documentation from the United States Citizenship and Immigration Services (USCIS) and victims of trafficking with certification documents issued by HHS. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program.

Award Procedure:

Eligible applicants submit an application to HHS. This document includes a detailed program proposal and budget. Subsequent to review by an independent panel and consideration of past performance, the Director of the Office of Refugee Resettlement makes final funding decisions and grant awards are issued.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Deadlines are established in the funding opportunity announcement, which is published on grants.gov and the ORR website at <http://www.acf.hhs.gov/programs/orr>.

Appeals:

Not Applicable.

Renewals:

Continuation funding is contingent on funds availability, grantee performance, and the best interest of the government.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: Percent: 50.%. Grants are awarded on a basis of \$2.20 in Federal funds for each \$1.10 of private funds up to a maximum of \$2,200 per refugee. Up to 80 percent of the nonfederal share may be through in-kind donations, with a minimum required cash match of 20 percent. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Funds are awarded with a 3-year project period and incremental 1-year budget periods. Method of awarding/releasing assistance: lump sum.

Reports:

Program progress reports are required every four months; and Federal Financial Reports are required semi-annually. An interim final financial status report is due 90 days after the program period has ended; and a final financial status report is due 210 days after the project period. Cash reports are not applicable. Program progress reports are required every four months; and financial status reports are required semi-annually. SF-425 Federal Financial Reports are required semi-annually. The program has an annual grantee monitoring schedule to conduct site visits to approximately 5-10 percent of all local affiliates. On monitoring visits to local affiliate, the team selects a representative caseload for review and evaluates eligibility for the program, self-sufficiency plan, case notes, and employment outcomes. The team also conducts interviews with staff to assess their knowledge of the program requirements. The team will also interview selected clients and visit local employers of refugee clients to assess local agency-employer-client relations. Also, Matching Grant Program guidelines include the requirement that national volags must monitor their affiliates and report back to ORR on an annual basis.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

The grantee shall maintain financial and program records for three years following the end of the project period.

Account Identification:

75-1503-0-1-609.

Obligations:

(Cooperative Agreements) FY 12 \$65,309,200; FY 13 est \$63,448,000; and FY 14 est \$65,309,200

Range and Average of Financial Assistance:

In FY 2012, the grants ranged from \$1,500,000 to \$19,000,000, with an average award of \$7,256,555.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: In Fiscal Year 2012, 35,166 individuals received services and 71 percent of those completing the Program achieved self sufficiency. Fiscal Year 2013: FY 2013 funding for the Matching Grant Program is \$95,172,000. This includes \$63.45 million from ORR and \$31.72 million from nine voluntary agencies participating through cooperative agreements. These agencies are providing Matching Grant Program services at 234 locations in 42 states. The program is expected to serve 28,840 individuals with FY 2013 funding. Fiscal Year 2014: It is expected that nine voluntary agencies will receive grants through this program.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Ronald Munia 370 L'Enfant Promenade SW, 8th Floor West, Washington, District of Columbia 20447 Email: ronald.munia@acf.hhs.gov Phone: (202) 401-4559 Fax: (202) 401-0981.

Website Address:

<http://www.acf.hhs.gov/programs/orr/programs>

RELATED PROGRAMS:

93.566 Refugee and Entrant Assistance_State Administered Programs; 93.576 Refugee and Entrant Assistance_Discretionary Grants; 93.583 Refugee and Entrant Assistance_Wilson/Fish Program; 93.584 Refugee and Entrant Assistance_Targeted Assistance Grants; 93.598 Services to Victims of a Severe Form of Trafficking

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Matching grant awards are made on the basis of the evaluation criteria in the notice of funding availability.

93.568 LOW-INCOME HOME ENERGY ASSISTANCE

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Low Income Home Energy Assistance Act of 1981, as amended.; The Omnibus Budget Reconciliation Act of 1981; Energy Policy Act of 2005, Public Law 109-58.

OBJECTIVES:

To make Low Income Home Energy Assistance Program (LIHEAP) grants available to States and other jurisdictions to assist eligible households to meet the costs of home energy. Supplemental Leveraging Incentive Funds may be awarded to reward States and other jurisdictions that provide additional benefits and services to LIHEAP-eligible households beyond what could be provided with Federal funds. Up to 25 percent of the leveraging incentive funds may be set aside for LIHEAP grantees that provide services through community-based nonprofit organizations to help LIHEAP-eligible households reduce their energy vulnerability under the Residential Energy Assistance Challenge Program (REACH). Training and Technical Assistance: To provide training and technical assistance to States and other jurisdictions administering the LIHEAP block grant program.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Energy Assistance Block Grants: Funds are awarded to States, Tribes and Tribal Organizations, and Territories, which then make payments directly to an eligible low-income household or, on behalf of such household, to an energy

supplier to assist in meeting the cost of home energy. Up to 10 percent of these funds may be used for State and local planning and administration. Up to 15 percent may be used for low-cost residential weatherization. Grantees may request that HHS grant a waiver for the fiscal year that increases from 15 percent to 25 percent funds that can be allotted for residential weatherization. Depending upon specific appropriations, HHS may allocate supplemental LIHEAP leveraging incentive funds to grantees that have acquired nonfederal leveraged resources in order to provide additional benefits and services to LIHEAP-eligible households to help them meet their home heating and cooling needs. Up to 25 percent of Leveraging Incentive Funds may be allocated by HHS to LIHEAP grantees that provide services through community-based nonprofit organizations to LIHEAP-eligible households to reduce their energy vulnerability, under the Residential Energy Assistance Challenge Program (REACH). Up to \$600,000,000 is authorized as emergency contingency funds to be used to meet additional needs arising from a natural disaster or other emergency. Such funds will be made available only after submission to Congress of a formal budget request for all or part of the funds by the President that designates the amount of the request as an emergency under the Balanced Budget and Emergency Deficit Control Act of 1985. Training and Technical Assistance: the Secretary has authority to set aside up to \$300,000 from each years appropriation for training and technical assistance relating to the Low-Income Home Energy Assistance Program. These T/TA activities are accomplished through: grants, contracts, or jointly financed cooperative or interagency agreements with States, Indian tribes, tribal organizations, public agencies or private nonprofit organizations; through interagency agreements, including with Federal agencies, or through on-site compliance reviews of LIHEAP grantees. The nature of and amount awarded for training and technical assistance vary from year to year.

Applicant Eligibility:

Energy Assistance Block Grants: All States, the District of Columbia, federally- and State-recognized Indian Tribal governments which request direct funding, and specified Territories may receive direct grants. The prospective grantee must submit an annual application. Grantees desiring Leveraging Incentive Funds and REACH funds must submit special applications each year. Instructions will be issued if emergency contingency funds are released. Training and Technical Assistance: States, Indian tribes or tribal organizations, Territories, public agencies, and private nonprofit organizations may apply. Nothing in the statute precludes a business concern that applies jointly with a private nonprofit organization from receiving a training and technical assistance grant.

Beneficiary Eligibility:

Energy Assistance Block Grants: All States, the District of Columbia, federally- and State-recognized Indian Tribal governments that request direct funding, and specified Territories may provide assistance to households with incomes up to the greater of 150 percent of the poverty level or 60 percent of the State median income. Grantees may establish lower income eligibility levels, but they may not set the limit below 110 percent of the poverty level. Training and Technical Assistance: States, Indian tribes or tribal organizations, Territories, public agencies, and private nonprofit organizations may apply. Nothing in the Statute precludes a business concern that applies jointly with a private nonprofit organization from receiving a training and technical assistance grant.

Credentials/Documentation:

Energy Assistance Block Grants: The chief executive officer of the State, Tribe or Territory or his designee must certify to 16 assurances required by law. Households must meet beneficiary eligibility requirements. Grantees desiring Leveraging Incentive Funds or REACH funds must submit annual applications. Instructions will be issued if emergency contingency funds are released. Training and Technical Assistance: Requirements vary and are at www.grants.gov or www.fedbizopps.gov. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Energy Assistance Block Grants: Applications are submitted by the chief executive officer of a State, Indian Tribe (recognized by the Federal government or a State government), tribal organization, or Territory, or his designee. Applications contain assurances prescribed by law and a plan describing how certain assurances will be carried out, and other information specified by law. Separate applications are required to qualify for Leveraging Incentive Funds and REACH funds. Instructions will be issued if emergency contingency funds are released. Households wishing to apply for benefits should contact the local welfare agency or community action agency for information regarding the agency administering the program in their jurisdiction. Training and Technical Assistance: Requirements that apply to each request for proposals vary and are at www.grants.gov or www.fedbizopps.gov.

Award Procedure:

Energy Assistance Block Grants: Grants are made upon receipt of a complete application by the Office of Community Services Headquarters Office. Leveraging Incentive Funds and REACH funds will be awarded based on applications submitted by grantees. Instructions will be issued if emergency contingency funds are released. Training and Technical Assistance: Procedures that apply to each request for proposals vary and are at www.grants.gov or www.fedbizopps.gov.

Deadlines:

Sep 01, 2012 Energy Assistance Block Grants: States and Territories must submit their applications by September 1, prior to the fiscal year for which funds are sought, unless the Department agrees to a later date. Tribal applications must also be submitted by September 1, prior to the fiscal year for which the funds are sought, unless the State in which a tribe is located agrees to a later date. All applications must be completed by December 15, of the fiscal year for which funds are sought, unless the Department (in the case of States and Territories) or the State (in the case of tribal grantees) agrees to a later date. Leveraging incentive fund reports are due by November 30 of each year. Reach applications are due by March 30 of each year. Contact Headquarters Office listed below for further information. www.grants.gov or www.fedbizopps.gov.

Range of Approval/Disapproval Time:

Energy Assistance Block Grants: The Department reviews plans for completeness and will act on the plans submitted as quickly as possible. Leveraging Incentive and REACH applications will be reviewed and acted upon as quickly as possible. Training and Technical Assistance: Range of time varies, depending upon the nature and complexity of a request for proposals.

Appeals:

Energy Assistance Block Grants: Grantees may request a hearing regarding repayment of funds or withholding of funds under Section 2608 of the authorization. Training and Technical Assistance: Not applicable for unsuccessful applicants for grants or cooperative agreements. For contracts, prospective applicants may object to a contract award by filing a protest with the contracting officer or higher authority. The notification issued by the contracting office to unsuccessful bidders contains the procedures and time frame for filing a protest.

Renewals:

Energy Assistance Block Grants: Annual applications are required. Separate annual applications are also required for Leveraging Incentive Funds and REACH funds. Instructions will be issued if emergency contingency funds are released. Training and Technical Assistance: Award periods may vary and are at www.fedbizopps.gov.

Formula and Matching Requirements:

Statutory Formula: Section 2604 of the LIHEAP statute addresses this issue. This program has no matching requirements. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Energy Assistance Block Grants: Grant awards are made to grantees with complete applications for carrying out the program within the fiscal year. Up to 10 percent of a fiscal year's grant may be held available for obligation in the subsequent fiscal year. For Leveraging Incentive Funds and REACH funds, obligation must occur by the end of the fiscal year following the year in which

the funds are appropriated, without regard to the 10 percent carryover limit. See the following for information on how assistance is awarded/released: Section 2607 of the Low Income Home Energy Assistance Program, as amended.

Reports:

Energy Assistance Block Grants: Grantees must submit an annual report within 90 days of the end of the fiscal year on the amount of funds obligated during the fiscal year and the last date of obligations of funds. A report is required annually on the number and income levels of households served during the previous year, on those households that are served that have members who are elderly, disabled, or young children, and on the number and income levels of all households that apply for assistance whether or not they are served. This report must be included as part of the annual plan before funds are released. A report on the amount of funds that the grantee desires to carry over for obligations in the succeeding fiscal year, the reasons any such funds will not be used during the first fiscal year, the types of assistance to be provided with funds carried over and the amount of funds, if any, to be subject to reallocation must be submitted by August 1 annually. Grant awards for the following fiscal year will not be released until this report is received. No cash reports are required. Training and Technical Assistance: Grantees are required to submit quarterly financial reports and a final progress report. For contracts, contractors are required to submit quarterly financial reports form HHS-646, Financial Report of Individual Project (Contact). A report is required from those grantees expending up to 5 percent of funds under section 2605(b)(16) for services that encourage and enable households to reduce their home energy needs. A report is due by November 30 of each year for those grantees that which to receive Leveraging Incentive Funds, reporting the amount value of leveraged resources they added to the program in the previous fiscal year. For REACH, a financial status report is due 90 days after the end of the program year and a final evaluation is due six months after the end of the program year. Performance monitoring is required for Leveraging Incentive Funds.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. (As discussed in Appendix I of this Supplement, Federal Programs Excluded from the A-102 Common Rule, LIHEAP is exempt from the provisions of the OMB cost principles circulars. However, according to 45 CFR 96.31(a), LIHEAP grantees and sub-grantees are responsible for obtaining audits in accordance with the Single Audit Act Amendments of 1996 (31 U.S.C. 7501-7507) and revised OMB Circular A-133, Audits of State, Local Governments, and Non-Profit Organizations. An independent auditor in accordance with generally accepted Government auditing standards covering financial audits shall make the audits. State cost principals requirements apply to LIHEAP.

Records:

Grant accounting records must be maintained in accordance with section 2605(b)(10).

Account Identification:

75-1502-0-1-609.

Obligations:

(Direct Payments for Specified Use) FY 12 \$2,994,326; FY 13 est \$3,012,655; and FY 14 est \$3,300,000 - FY 2012 and FY 2013 totals represent Block Grant related costs. The FY 2014 estimates includes \$3,000,000 for Block Grants and \$300,000 for Energy Burden Reduction Grants related costs. (Formula Grants) FY 12 \$3,468,677,785; FY 13 est \$3,252,422,891; and FY 14 est \$3,016,700,000 - FY 2012 and FY 2013 totals represent Block Grant funding only. The FY 2014 estimates includes \$2,817,000,000 for Block Grants; \$150,000,000 for Contingency Fund and \$49,700,000 for Energy Burden Reduction Grants.

Range and Average of Financial Assistance:

FY12 \$4,196,380 to \$375,714,335; Average is \$62,576,888.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: There were 281 grants awarded in FY2012. Fiscal Year 2013: It is anticipated that 279 grants will be awarded in FY 2013. Fiscal Year 2014: It is anticipated that 293 grants will be awarded in FY 2014.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 96

Regional or Local Office:

None.

Headquarters Office:

Lauren Christopher 370 L'Enfant Promenade, SW, Washington, District of Columbia 20447 Email: Lauren.Christopher@acf.hhs.gov Phone: 202-401-4870 Fax: 202-401-5661

Website Address:

<http://www.acf.hhs.gov/programs/ocs/programs/liheap>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria pertinent to each request for training and technical assistance proposals are published in the Federal Register or Federal Business Opportunities (www.febrizopps.gov).

93.569 COMMUNITY SERVICES BLOCK GRANT (CSBG)

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Community Services Block Grant (CSBG) Act.

OBJECTIVES:

To provide assistance to States and local communities, working through a network of community action agencies and other neighborhood-based organizations, for the reduction of poverty, the revitalization of low-income communities, and the empowerment of low-income families and individuals in rural and urban areas to become fully self-sufficient (particularly families who are attempting to transition off a State program carried out under part A of title IV of the Social Security Act) and (1) To provide services and activities having a measurable and potential major impact on causes of poverty in the community or those areas of the community where poverty is a particularly acute problem; (2) to provide activities designed to assist low-income participants, including the elderly poor, to: (a) secure and retain meaningful employment; (b) attain an adequate education; (c) make better use of available income; (d) obtain and maintain adequate housing and a suitable living environment; (e) obtain emergency assistance through loans or grants to meet immediate and urgent individual and family needs, including health services, nutritious food, housing, and employment-related assistance; (f) remove obstacles and solve problems which block the achievement of self-sufficiency; (g) achieve greater participation in the affairs of the community; and (h) make more effective use of other related programs; (3) to provide on an emergency basis for the provision of such supplies and services, nutritious foodstuffs, and related services, as may be necessary to counteract conditions of starvation and malnutrition among the poor; and (4) to coordinate and establish linkages between governmental and other social services programs to assure the effective delivery of such services to low-income individuals.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

(1) States receive block grants to ameliorate the causes of poverty in communities. The block grant approach gives the States flexibility to tailor their programs to the particular services needs in their communities. (2) States are required to use at least 90 percent of their allocations for grants to "eligible entities" as defined in the Community Services Block Grant (CSBG) Act, as amended; this includes primarily locally-based community action agencies

and/or organizations that serve seasonal or migrant farm workers. (2) No more than the greater of \$55,000 or 5 percent of each State's allocation may be used for administrative expenses at the State level.

Applicant Eligibility:

The Secretary is authorized to make grants to States. This includes each of the 50 States, the District of Columbia, the Commonwealth of Puerto Rico, Guam, the Virgin Islands, American Samoa, and the Commonwealth of the Northern Mariana Islands. The Secretary also provides assistance directly to the governing body of an Indian Tribe or Tribal organization upon application by the tribe. Only State-recognized tribes, as evidenced by a statement to that effect by the Governor, or tribes formally recognized by the Secretary of the Interior, under the procedure for such recognition in 25 CFR 54, are eligible to receive direct grants.

Beneficiary Eligibility:

States make grants to qualified locally-based nonprofit community antipoverty agencies and other eligible entities which provide services to low-income individuals and families. The official poverty line, as established by the Secretary of Health and Human Services, is used as a criterion of eligibility in the Community Services Block Grant program. When a State determines that it serves the objectives of the block grant, it may revise the income limit, not to exceed 125 percent of the official poverty line.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Each State desiring to receive an allotment for a fiscal year is required to submit an application to the Secretary of Health and Human Services (HHS). Each application must contain assurances by the appropriate State designee that the State will comply with Section 676 of the Community Services Block Grant Act and also meet conditions enumerated in Sections 678(B-D). The State is required to hold at least one legislative hearing every three years in conjunction with the development of the State Plan (Section 676(a)(3)). States are also required to conduct public hearings on the proposed use and distribution of funds to be provided under the Act. The latter sets forth the general purpose for which funds will be used, restrictions on administrative expenses, eligible recipients, board requirements for community action agencies and other nonprofit organizations, fiscal control, monitoring, and Federal investigation provisions, coordination between antipoverty programs in each community and certain prohibitions on political activities. The Chief Executive Officer of each State is also required to designate a lead agency to prepare and submit a plan to the Secretary of HHS describing how the State will carry out the assurances in Section 676. This program is excluded from coverage under 45 CFR, Part 1050.

Award Procedure:

HHS determines the amount of funds to be allocated as block grants to each State in accordance with the formula set forth in the Community Services Block Grant Act. The Office of Management and Budget (OMB) has the authority to apportion to the HHS through the course of a year the Congressional appropriation for block grants. Consistent with OMB's apportionment of funds, HHS will assign allotments to the States through individual awards or a series of awards, normally on a quarterly basis.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Funds may be withheld from any State which does not utilize its allotment

substantially in accordance with the provisions of the Community Services Block Grant Act and the assurances provided in its application. This may be done only after adequate notice and an opportunity for a hearing is conducted within the affected State.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title 45, Chapter 96.71, Part 96, Subpart G, Public Law 97-35. Section 674 of the CSBG Act authorizes the Secretary to use one and one-half of one percent of the amount appropriated to be reserved for corrective action activities, training, technical assistance, planning, evaluation, and data collection activities related to programs or projects carried under this subtitle. Such activities may be carried out through grants, contracts, or cooperative agreements with eligible entities or with organizations or associations whose membership is composed of eligible entities or agencies that administer programs for eligible entities. One-half of one percent of the amount appropriated is apportioned on the basis of need among Guam, American Samoa, the Virgin Islands, and the Northern Mariana Islands. Of the remaining amount, each state, (excluding the above, but including the District of Columbia and the Commonwealth of Puerto Rico) is allotted an amount which bears the same ratio as the amount received by the state for fiscal year 1981 under Section 221 of the Economic Opportunity Act of 1964 bore to the total amount received by all states for fiscal year 1981 under Section 221. However, if the appropriation exceeds \$345,000,000 after the amount necessary for purposes of discretionary funding under Section 680 and the one-half of one percent set-aside for territories and insular areas under Section 675(A) are determined, no state receives less than one-half of one percent of the amount appropriated. This program has no matching requirements. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Entitlement amounts are for a specific fiscal year and the grant funds allotted to the State will be awarded in accordance with apportionment of funds from the Office of Management and Budget. Amounts unobligated by the State at the end of a fiscal year remain available for obligation during the succeeding fiscal year. See the following for information on how assistance is awarded/released: Each fiscal year.

Reports:

All funded grantees and sub-grantees must submit SF- 269 annually, as required by the Code of Federal regulation 45 CFR 96. No cash reports are required. No progress reports are required. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

States are required to keep records sufficient to permit preparation of the required reports and to permit tracing of funds to a level of expenditure adequate to insure that funds have not been spent unlawfully.

Account Identification:

75-1536-0-1-506.

Obligations:

(Salaries) FY 12 \$1,251,222; FY 13 est \$1,258,000; and FY 14 est \$1,373,000. (Direct Payments for Specified Use) FY 12 \$2,878,956; FY 13 est \$2,462,956; and FY 14 est \$1,237,919. (Formula Grants) FY 12 \$666,673,151; FY 13 est \$625,263,333; and FY 14 est \$344,750,000. (Project Grants (Discretionary)) FY 12 \$6,549,622; FY 13 est \$6,299,622; and FY 14 est \$2,639,081

Range and Average of Financial Assistance:

\$1,019 to \$55,942,793; average \$5,242,585.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: 131 awards were awarded in FY 2012. Fiscal Year 2013: It is anticipated that 124 awards will be awarded in FY 2013. Fiscal Year 2014: It is anticipated that 124 awards will be awarded in FY 2014.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 16, 45 CFR 74 and 45 CFR 96.

Regional or Local Office:

None.

Headquarters Office:

Seth Hassett 901 D Street, S.W., 5th Floor - Room 5A165, Washington, District of Columbia 20447 Email: seth.hassett@acf.hhs.gov Phone: 202-401-2333

Website Address:

<http://www.acf.hhs.gov/programs/ocs>.

RELATED PROGRAMS:

93.045 Special Programs for the Aging Title III, Part C Nutrition Services; 93.568 Low-Income Home Energy Assistance; 93.600 Head Start

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.570 COMMUNITY SERVICES BLOCK GRANT_DISCRETIONARY AWARDS

Community Economic Development & Rural Community Development Programs

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Community Services Block Grant (CSBG) Act.

OBJECTIVES:

To support program activities to alleviate the causes of poverty in distressed communities which: (1) assist businesses in creating jobs for low-income individuals, i.e., develop employment and business development opportunities for low-income individuals; (2) a better standard of living for rural low-income individuals in terms of water and waste water treatment.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Federal funds awarded under the Secretary's Discretionary Authority may be used for activities that: improve the quality of the economic and social environment of low-income residents by providing resources to eligible applicants, by arresting tendencies toward dependency, chronic unemployment and community deterioration in urban and rural areas; and by addressing needs of water and wastewater treatment in rural communities. Community Economic Development (CED) grants are awarded to cover project costs for (1) startup or expansion of business, physical improvements, or commercial activities. (2) capital expenditures such as the purchase of equipment or real property; (3) allowable operating expenses; and (4) loans or equity investments. Expenditures must result in the creation of employment or business opportunities for low-income individuals. Generally, the project must be completed within 36 months for non-construction projects and 60 months for construction projects. Projects must document public/private partnership mobilizing cash and/or in-kind contributions; and be located in areas characterized by poverty and other indicators of socioeconomic distress, such as high levels of unemployment, or high levels of violence, gang activity, crime, drug use and/or low-income individuals or designation as an Empowerment Zone or Enterprise Community (EZ/EC). Rural Community Development (RCD) grants are awarded to provide training and technical assistance to small, rural communities concerning their water and waste water treatment needs. RCD grants focus on the development and upgrading of small water and wastewater systems in low-income rural areas. Types of services provided included training of persons responsible for community water and wastewater facilities; assisting communities with problem-solving and planning for

facilities; producing and disseminating publications, newsletters, videos, and training manuals about rural waste and wastewater systems; and assisting rural communities in leveraging Federal, State, local, and private sector financing for facilities.

Applicant Eligibility:

For Community Economic Development projects, eligibility is restricted to private, locally initiated, nonprofit community development corporations (or affiliates of such corporations) governed by a board consisting of residents of the community and business and civic leaders. This includes faith based community development corporations. For Rural Community Development Activity projects, eligibility is restricted to multi-state, regional private, non-profit organizations that can provide training and technical assistance to small, rural communities interested in safe water and waste water treatment needs.

Beneficiary Eligibility:

A project must be targeted to address the needs of a specific segment of low-income individuals or families. The official poverty line established by the Director of the Office of Management and Budget, published annually by the Department of Health and Human Services is used as a criterion of eligibility in the Community Economic Development Discretionary Grant program.

Credentials/Documentation:

Costs will be determined in accordance with the provisions of Title 45 CFR 74. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Awards are granted on a competitive basis. Announcements concerning the availability of funds, requests for applications, along with application form and assurance documents, are at www.grants.gov. Applications to the Office of Community Services for grants under the announcement should be addressed to: OCS Operations Center 1515 Wilson Blvd., Suite 100, Arlington, Virginia 22209. Applicants are urged to inform and coordinate application submissions with State and local governments where such information and coordination is appropriate or necessary for the success of the program. This program is subject to the provisions of Title 45 CFR Part 74 (non-governmental entities). All applications for assistance under this program must contain: (1) SF-424, Application for Federal Assistance; (2) SF-424A, Budget Information; (3) SF-424B, Assurances-Non- Construction Programs; (4) Certificate Regarding Drug Free Workplace Requirements; (5) Certification regarding environmental tobacco smoke; (6) Certification Regarding Debarment, Suspension, and Other Responsibility Matters; and (7) Certification Regarding Lobbying. These must be accompanied by a detailed budget, and a program narrative submitted in accordance with the format detailed in the Funding Opportunity Announcement (FOA).

Award Procedure:

The Director, Office of Community Services, Administration for Children and Families (ACF), will make the final decision on all grant awards. Selection of applicants will be determined based on the review criteria outlined in the Funding Opportunity Announcement.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. Generally, solicited grants will be acted upon within 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

From 90 to 120 days. Rural Community Development Activity projects (also referred to as Rural Community Facilities Projects), are awarded for a five year project period with 12 month budget periods.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Generally, Community Economic Development financial assistance awards are made available for periods of 36 to 60 months; Rural Community Development financial assistance awards are awarded for 12-month budget periods with a five year project period. Method of awarding/releasing assistance: quarterly.

Reports:

No program reports are required. No cash reports are required. Semi-annual progress reports, semi-annual financial reports and a final report summarizing the activities and accomplishments of the project in relation to the approved goals and objectives are required by OCS. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grant recipients are required to keep all financial, business and program reports necessary for program review and audit to insure that funds have been expended in accordance with the regulations, grant terms and conditions of this program. Audits and supporting records must be kept on file for at least 3 years.

Account Identification:

75-1536-0-1-506.

Obligations:

(Direct Payments for Specified Use) FY 12 \$85,062; FY 13 est \$99,158; and FY 14 est \$0 - This funding is for the RCD program. (Direct Payments for Specified Use) FY 12 \$2,492,275; FY 13 est \$2,293,398; and FY 14 est \$0 - This funding is for the CED program. (Project Grants (Discretionary)) FY 12 \$4,895,505; FY 13 est \$4,572,047; and FY 14 est \$0 - This funding is for the RCD program. (Project Grants (Discretionary)) FY 12 \$27,442,438; FY 13 est \$25,790,000; and FY 14 est \$0 - This funding is for the CED program.

Range and Average of Financial Assistance:

Up to \$800,000 for Community Economic Development and \$600,000 for Rural Community Development Activities.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: 38 grants were awarded for CED and 8 grants were awarded for RCD. Fiscal Year 2013: It is anticipated that 38 grants will be awarded for CED, and 8 grants for RCD. Fiscal Year 2014: No new grants are anticipated.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program announcements are posted on the web.

Regional or Local Office:

None. OCS Liaisons, stationed in - ACF.

Headquarters Office:

Rafael J. Elizalde 370 L'Enfant Promenade, SW, 5th Floor West
, Washington, District of Columbia 20447 Email: Rafael.Elizalde@acf.hhs.gov
Phone: 202-401-5115 Fax: 202-401-4687

Website Address:

<http://www.acf.hhs.gov/programs/ocs>.

RELATED PROGRAMS:

93.593 Job Opportunities for Low-Income Individuals

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Specific criteria for selecting proposals for funding are stated in each Funding Opportunity Announcement.

93.575 CHILD CARE AND DEVELOPMENT BLOCK GRANT

Child Care and Development Block Grant (CCDBG)

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Child Care and Development Block Grant Act of 1990, as amended, 42 U.S.C 9858; Consolidated and Further Continuing Appropriations Act, 2013, Public Law 113-6.

OBJECTIVES:

The Child Care and Development Block Grant (CCDBG or Discretionary Funds) is a part of the Child Care and Development Fund (CCDF) program, along with the Child Care Mandatory and Matching Funds (see CFDA 93.596). The CCDBG provides grants to States, Territories, Tribes, and tribal organizations serving federally-recognized tribes (public institutions of higher education and hospitals are not eligible applicants) for child care assistance for low-income families. The goals are to: (1) allow each State maximum flexibility in developing child care programs and policies that best suit the needs of children and parents within such State; (2) promote parental choice to empower working parents to make their own decisions on the child care that best suits their family's needs; (3) encourage States to provide consumer education information to help parents make informed choices about child care; (4) assist States to provide child care to parents trying to achieve independence from public assistance; and (5) assist States in implementing the health, safety, licensing, and registration standards established in State regulations. Current priorities include: making systemic investments in quality child care to promote child development and health and safety; and creating a system that is child focused, family friendly, and fair to providers.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

In the Consolidated and Further Continuing Appropriations Act, 2013, Congress directs that funds appropriated for the Child Care and Development Block Grant (CCDBG or Discretionary Funds) must be used to supplement, not supplant, State general revenue funds for child care assistance for low-income families. Lead Agencies must use Discretionary Funds for child care services on a sliding fee scale basis, activities that improve the quality or availability of such services, and other activities that realize the goals of the CCDBG. Certain amounts of Discretionary Funds must be used for specific purposes: quality expansion; infant and toddler quality improvement; and child care resource and referral, including a national toll-free hotline and website, and school-age child care activities. A portion of the Discretionary Funds is also designated for the U.S. Department of Health & Human Services to carry-out research, demonstration, and evaluation projects. Not more than five percent of the aggregate amount of CCDF Funds expended by the State or Territory (15 percent for Tribes or tribal organizations) may be expended for administrative costs incurred by the State or Territory to carry-out all of its functions and duties. The term "administrative costs" does not include the costs of providing direct services. A State or Territory shall use not less than four percent of the CCDF Funds to improve child care quality and availability including comprehensive consumer education, activities to increase parental choice, and other activities such as resource and referral services, provider grants and loans, monitoring and enforcement of requirements, training and technical assistance, and improved compensation for child care staff. Except for approved construction of child care facilities by tribal grantees, no Discretionary Funds may be used for the purchase or improvement of land, or for the purchase, construction, or permanent improvement of any building or facility (other than for minor remodeling and for upgrading facilities to meet State and local child care standards). No Discretionary Funds provided directly to child care providers through grants or contracts may be expended for any sectarian

purpose or activity, including sectarian worship or instruction. However, Grantees must give parents the option of receiving vouchers or certificates to allow parents the choice of child care provider, including faith-based or community providers. No Discretionary Funds may be provided for any services provided to students enrolled in grades 1 through 12 during the regular school day; for any services for which such students receive academic credit toward graduation; or for any instructional services which supplant or duplicate the academic program of any public or private school. Lead Agencies shall assure that a substantial portion of the Discretionary Funds will be used to provide assistance to low-income working families who are not receiving assistance under the Temporary Assistance for Needy Families program, attempting through work activities to transition off of temporary assistance programs, nor at risk of becoming dependent on temporary assistance programs.

Applicant Eligibility:

Eligibility: All 50 States, the District of Columbia, the Virgin Islands, Puerto Rico, Guam, American Samoa, the Commonwealth of the Northern Mariana Islands, and Federally recognized Tribal Governments and consortia.

Beneficiary Eligibility:

Children under age 13 (or, at the option of the grantee, up to age 19, if physically or mentally incapable of self-care or under court supervision), who reside with a family whose income does not exceed 85 percent of the State median income for a family of the same size, and who reside with a parent (or parents) who is working or attending job training or educational program; or are in need of, or are receiving protective services.

Credentials/Documentation:

Lead Agencies must operate under a CCDF plan approved by the Administration for Children and Families, and must provide assurances that the Grantee will comply with the requirements of the CCDBG Act and all applicable Federal law. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Each Grantee must designate a Lead Agency to which grants are awarded and that is accountable for the use of the Discretionary Funds provided, the duties of which shall include developing a CCDF plan. In conjunction with the development of the CCDF plan, the Lead Agency must hold at least one public hearing no earlier than nine months before the CCDF plan becomes effective and after at least 20 days of statewide public notice, to provide the public an opportunity to comment on the provision of child care services under the plan. In advance of the hearing, the Lead Agency must make the content of the plan available to the public. The Lead Agency must also coordinate the provision of services under the program with other Federal, State, and local child care and early childhood development programs. Also, the Lead Agency must consult with appropriate representatives of local governments. Tribal Lead Agencies submitting applications for construction must submit an environmental impact assessment. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. A Lead Agency desiring to receive an allotment for a fiscal year is required to submit a two-year CCDF plan to the Administration for Children and Families, as well as, financial and other information necessary for the grants process. Each CCDF plan must contain certifications and assurances by the Lead Agency that it will comply with the requirements of the Child Care and Development Block Grant Act (CCDBG). The plan must also include: the designation of a Lead Agency; the provision of assurances regarding policies and procedures as stated in Section 658E(c)(2) of the CCDBG; an outline of the intended use of block grant funds in compliance with Section 658E(c)(3) of the CCDBG; the provision of certification regarding payment rates as stated in Section 658E(c)(4) of the CCDBG; and the establishment of a sliding fee scale. Additional requirements are specified by 45 CFR Parts 98 and 99.

Award Procedure:

Grants are awarded after the receipt and approval of the CCDF plan by the Administration for Children and Families.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

The Administration for Children and Families will review the CCDF plans for approval and will act on the plans within 90 days.

Appeals:

Guidelines for appealing the disapproval of CCDF plans are specified in regulations (45 CFR Part 99).

Renewals:

CCDF plans must be submitted every two years.

Formula and Matching Requirements:

Statutory Formula: Title 45 CFR, Part 98.61.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grant awards are made to Lead Agencies with approved CCDF plans. Grantees must obligate all Discretionary Funds in the fiscal year in which they are granted or in the succeeding fiscal year. Those funds must be liquidated no later than the end of the third fiscal year. Method of awarding/releasing assistance: quarterly.

Reports:

As specified in 45 CFR Part 98, States must report to ACF annually aggregate data on families, children in care, providers, payment methods, and consumer education. Quarterly case-level reports are required to provide data on families, children, and providers, and payment information. Tribes must also provide an annual count of children and families served through CCDF; average hours of service per child by type of care; average monthly payment and co-payments per child; number of children served by income; and supplemental narratives. No cash reports are required. No progress reports are required. States must also submit quarterly expenditure reports. Territories are required to report estimates and expenditures for the Discretionary Fund only on a quarterly basis. States, DC, and Puerto Rico are required to measure, calculate, and report improper payments as well as identify strategies for reducing future improper payments. One-third of the 50 States, DC, and Puerto Rico must report annually on a three-year cycle. Tribes report expenditures for the Tribal Mandatory, Discretionary, and Construction/Renovation funds. Monitoring is in accordance with 45 CFR 98.90.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Additional auditing may be necessary.

Records:

Proper grant accounting records must be maintained.

Account Identification:

75-1515-0-1-609.

Obligations:

(Formula Grants) FY 12 \$2,262,771,000; FY 13 est \$2,190,704,294; and FY 14 est \$2,462,050,435 - These figures do not include CCDF Mandatory Funds and Matching Funds under CFDA 93.596. (Project Grants (Contracts)) FY 12 \$4,671,000; FY 13 est \$4,513,895; and FY 14 est \$5,341,565 - These figures do not include CCDF Mandatory Funds and Matching Funds under CFDA 93.596.

Range and Average of Financial Assistance:

For States, including DC and Puerto Rico, the range of grants in FY 2013 is: \$2,903,068 to \$240,744,848; the average grant is \$41,050,374. For 260 Tribal grantees, the range of grants in FY 2012 is: \$22,861 to \$3,752,614; the average grant is \$172,070. (The FY 2013 information for Tribes is not yet available.) For the four Territories, the range of grants in FY 2013 is \$1,976,900 to \$4,358,920; the average grant is \$2,847,891. These figures are not inclusive of

funds received through CFDA 93.596.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: In FY 2012, 313 Discretionary grants were awarded. Fiscal Year 2013: In FY 2103, it is anticipated that 313 Discretionary grants will be awarded. Fiscal Year 2014: In FY 2014, it is anticipated that 319 Discretionary grants will be awarded.

REGULATIONS, GUIDELINES, AND LITERATURE:

These funds are subject to the Child Care and Development Fund regulations at 45 CFR Parts 98 and 99.

Regional or Local Office:

See Regional Agency Offices. Persons are encouraged to communicate with the Office of Child Care Regional Program Managers (RPMs). A list of the RPMs and their contact information is available at www.acf.hhs.gov/programs/occ/ta/raaddr/program_managers.htm. You may also contact the Office of Child Care, Administration for Children and Families at (202) 690-6782 or by Fax at (202) 690-5600.

Headquarters Office:

Mary Sprague, Office of Child Care, Administration for Children and Families, Department of Health and Human Services, 370 L'Enfant Promenade, S.W., 5th Floor East, Washington, District of Columbia 20447 Email: occ@acf.hhs.gov Phone: (202)-690-6782 Fax: (202) 690-5600.

Website Address:

<http://www.acf.hhs.gov/programs/occ>

RELATED PROGRAMS:

93.558 Temporary Assistance for Needy Families; 93.596 Child Care Mandatory and Matching Funds of the Child Care and Development Fund

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.576 REFUGEE AND ENTRANT ASSISTANCE_DISCRETIONARY GRANTS

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Refugee Act of 1980, Section 412, Public Law 96-212, 94 Stat. 111, 8 U.S.C 1522; Refugee Education Assistance Act of 1980, Title V, Public Law 96-422, 94 Stat. 1809, 8 U.S.C 1522.

OBJECTIVES:

The objectives of the discretionary grant programs include: (1) decreasing the numbers of refugees on public assistance and the length of time refugees require such assistance; (2) encouraging the placement of refugees in locations with good job opportunities and lower costs of living; (3) providing supplemental services to areas with high numbers of arrivals; (4) promoting older refugees' access to aging services; (5) assisting low-income refugees with matching funds for individual development accounts and with financial literacy classes; (6) providing micro-credit to refugees interested in starting new businesses but unable to access commercial sources of capital; (7) providing services to refugees in rural areas; (8) providing preventive health services; and (9) providing school impact assistance.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds may be used only for the purposes set forth in the notice of funds availability and in the grant award.

Applicant Eligibility:

Public and private nonprofit agencies may apply for these grants.

Beneficiary Eligibility:

Refugees, certain Amerasians Cuban and Haitian entrants, asylees, certified

victims of a severe form of trafficking, and special immigrants from Iraq and Afghanistan.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Agencies submit applications which are reviewed and evaluated in accordance with criteria specified in the notice of funds availability. Notices of funds availability may be accessed at Grants.gov or at the Office of Refugee Resettlement website at www.acf.hhs.gov/programs/orr. Application kits can also be accessed at the ORR website.

Award Procedure:

Eligible applicants submit an application to HHS. This document includes a detailed program proposal and budget. After review by an independent panel, the Director of the Office of Refugee Resettlement makes final funding decisions and grant awards are issued.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Contingent upon funding availability, grantee performance, and the best interest of the government.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Funds made available under this program are for project periods ranging from 17 months to 5 years. Funds are awarded annually during the project period. Method of awarding/releasing assistance: lump sum.

Reports:

Program Performance Reports are required, usually on a quarterly or semi-annual basis. Final program reports summarizing the activities and accomplishments of the project in relation to the original approved goals and objectives are required 90 days after the end of the project period. No cash reports are required. No progress reports are required. Federal Financial Reports (SF-425) are required, usually on a quarterly or semi-annual basis. Final Federal Financial Reports are required 90 days after the end of the project period. Performance monitoring activities include on-site and desk monitoring of projects. On site, the ORR team interviews agency staff and clients and reviews case files and financial documents. In addition to program monitoring, program performance reports and Federal Financial Reports (SF-425) are reviewed to ensure that agencies are spending funds appropriately and are performing in a manner consistent with the approved application and grant.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a

year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Nonprofit organizations receiving less than \$25,000 a year in Federal awards are exempt from Federal audit requirements, but records must be available for review by appropriate officials of the Federal grantor agency or subcontracting entity.

Records:

Grant recipients are required to keep all financial, business, and program reports necessary for program review and audit for the project period and for three years after the end of the project period.

Account Identification:

75-1503-0-1-609.

Obligations:

(Project Grants) FY 12 \$4,729,715; FY 13 est \$4,600,000; and FY 14 est \$4,730,000 - Preventive Health. (Project Grants (Discretionary)) FY 12 \$2,807,200; FY 13 est \$4,760,100; and FY 14 est \$4,840,000 - TAG-Discretionary. (Project Grants (Discretionary)) FY 12 \$39,902,900; FY 13 est \$67,687,200; and FY 14 est \$71,265,200 - SS-Discretionary.

Range and Average of Financial Assistance:

Preventive Health grants range from a minimum of \$40,000 to a maximum of \$150,000.

TAG and SS Discretionary grants range from a minimum of \$50,000 to a maximum of \$19,000,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: In FY 2012, 289 TAG and SS discretionary grants were awarded. We began incremental funding TAG and SS in FY 2012. 40 preventive health grants were awarded. Fiscal Year 2013: It is projected that there will be 289 discretionary grants and 40 preventive health grants awarded in FY 2013. Fiscal Year 2014: It is projected that there will be 289 discretionary grants and 40 preventive health grants awarded in FY 2014.

REGULATIONS, GUIDELINES, AND LITERATURE:

Notices of funds availability are posted on the ACF and ORR website and can also be accessed through Grants.gov. Inquiries may be directed to the Information Contact listed below.

Regional or Local Office:

None.

Headquarters Office:

Ronald Munia 370 L'Enfant Promenade SW, Eighth Floor West, Washington, District of Columbia 20447 Email: ronald.munia@acf.hhs.gov Phone: (202) 401-4559 Fax: (202) 401-0981

Website Address:

<http://www.acf.hhs.gov/programs/orr/programs>

RELATED PROGRAMS:

93.566 Refugee and Entrant Assistance_State Administered Programs; 93.567 Refugee and Entrant Assistance_Voluntary Agency Programs; 93.583 Refugee and Entrant Assistance_Wilson/Fish Program; 93.584 Refugee and Entrant Assistance_Targeted Assistance Grants

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The criteria for awarding funds are published in the notice of funds availability.

93.579 U.S. REPATRIATION

Repatriation Program

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Social Security Act, Section 1113, 24 U.S.C 9.

OBJECTIVES:

The United States (U.S.) Repatriation Program was established by Title XI, Section 1113 of the Social Security Act (Assistance for U.S. Citizens Returned

from Foreign Countries) to provide temporary assistance to U.S. citizens and their dependents who have been identified by the Department of State (DOS) as having returned, or been brought from a foreign country to the U.S. because of destitution, illness, war, threat of war, or a similar crisis, and are without available resources immediately accessible to meet their needs.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

This program provides temporary assistance such as cash assistance, medical care, admission into a nursing home or hospital, settling with family or friends, moving into permanent housing, foster care placement, transportation, overnight lodging, food, provision for escort services, clothing, and other goods and services necessary for the health or welfare of individuals to U.S. citizens and their dependents who are without resources immediately accessible to meet their needs in the U.S. upon their arrival from abroad. This temporary assistance is given in the form of a loan and must be repaid to the U.S. Government. Repatriates sign repayment agreements in which they agree to repay all repatriation costs to the federal government.

Applicant Eligibility:

Social service organizations with expertise in mental health, child welfare, the criminal justice system, and emergency assistance.

Beneficiary Eligibility:

ORR authorized staff determines eligibility for citizens and their dependents who have been identified by the Department of State (DOS) as having returned, or been brought from a foreign country to the U.S. because of destitution, illness, war, threat of war, or a similar crisis, and are without resources immediately accessible to meet their needs. In addition, U.S. nationals of the USA who are determined eligible by an authorized ORR staff in accordance to 211.3.

Credentials/Documentation:

Written statement from the Department of State containing information and documents required to establish eligibility under applicable repatriation laws (e.g. proof of U.S. Citizenship, certificate of mental condition). OMB Circular No. A-87 applies to this program. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program.

Award Procedure:

Grants are awarded through the Administration for Children and Families discretionary grants procedures.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Project periods are for five years with one year budget periods.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grants are awarded for a five-year project period with annual budget periods. See the following for information on how assistance is awarded/released: Grantees draw down funds, as required, for reimbursement of expenditures

from the HHS Payment Management System.

Reports:

Program reports are required quarterly; a final report is required 90 days after the end of the project period. No cash reports are required. Progress reports are required quarterly. The progress reports include updates on individual cases and the status of payments and collections. The Federal Financial Report (SF-425) is required. There is ongoing desk monitoring of the grantee and yearly on-site monitoring.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

The grantee must maintain records for three years after the conclusion of the project period.

Account Identification:

75-1501-0-1-609.

Obligations:

(Cooperative Agreements) FY 12 \$1,000,000; FY 13 est \$949,000; and FY 14 est \$1,000,000

Range and Average of Financial Assistance:

One Cooperative Agreement of approximately \$1,000,000 is awarded each year of the project period.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: One Cooperative Agreement was awarded. Fiscal Year 2013: One Cooperative Agreement to be awarded. Fiscal Year 2014: One Cooperative Agreement of approximately \$1,000,000 is anticipated to be awarded.

REGULATIONS, GUIDELINES, AND LITERATURE:

Section 1113 of the Social Security Act, 45 CFR 211-212. A fact sheet is available from the Headquarters Office and at the website <http://www.acf.hhs.gov/programs/orr/programs/repatriation>

Regional or Local Office:

None.

Headquarters Office:

Elizabeth B. Russell Coordinator, HHS Repatriation Program
U.S. Department of Health and Human Services
Administration for Children & Families
370 L'Enfant Promenade SW, Aerospace Building,
8th floor West Washington, DC 20447
, Washington, District of Columbia 20447 Email:
Elizabeth.Russell@acf.hhs.gov Phone: (202) 401-4845 Fax: (202) 401-0981

Website Address:

<http://www.acf.hhs.gov/programs/orr>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The criteria are included in the grant announcement.

93.581 IMPROVING THE CAPABILITY OF INDIAN TRIBAL GOVERNMENTS TO REGULATE ENVIRONMENTAL QUALITY

Environmental Regulatory Enhancement (ERE)

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

The Native American Programs Act of 1974 (NAPA). , Section 803(d), Public Law 93-644, 42 U.S.C 2991b(d).

OBJECTIVES:

To strengthen tribal government capacity identifying, planning, developing and implementing environmental programs that bolster regulatory efforts in a manner that is consistent with a Tribe's cultural preservation and natural resource management priorities.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds may be used for planning, developing and implementing tribal environmental regulatory projects through competitive grants. Types of allowable activities are: to develop regulations, ordinances and laws to protect the environment on Indian land; to build the technical and program capability of the Tribe or organization to perform essential environmental program functions to meet tribal and Federal regulatory requirements; building the technical and program capability of personnel to monitor compliance and enforcement of tribal and Federal environmental regulations, ordinances, and laws; informing the community about regulations and environmental stewardship; establishing demonstration projects to exhibit technologies, which can lead to compliance with environmental regulations. Funding cannot be used for the following purpose or activities: purchase of real property; costs of organized fundraising, reimbursement of pre-award costs, activities in support of any foreseeable litigations against the United States Government that is unallowable under the Office of Management and Budget Circulars A-87 and A-122, activities that qualify as major renovations and alterations. Further details regarding ineligible applicants and activities can be found in the ANA regulations at 45 CFR 1336.33.

Applicant Eligibility:

Federally-recognized Indian tribes; consortia of Indian tribes; incorporated non federally and state-recognized Tribes; Alaska Native villages as defined in the Alaska Native Claims Settlement Act (ANCSA) and/or nonprofit village consortia; and tribal governing bodies (IRA or traditional councils) as recognized by the Bureau of Indian Affairs. These include: Tribal Government Environmental Department programs, other Environmental programs, and Native American/Alaska Native Organizations, including Indian groups, cooperatives, corporations, partnerships, and associations.

Beneficiary Eligibility:

American Indians and Alaska Natives.

Credentials/Documentation:

Nonprofit organizations must submit proof of nonprofit status. For applicants that are not Tribes or Native Alaska villages, organizations applying for funding must show that a majority of board members approving the project proposal are representative of the Native community to be served. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

All applicants must submit documentation, such as a Board Resolution, demonstrating that the governing body of the organization approves the applications submission to ANA for the current grant competition period. Please see the Funding Opportunity Announcements for more information. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Information regarding the availability of grant funds will be posted on Grants.gov and the ACF Funding Opportunities websites through publication of Funding Opportunity Announcements. Applicants are required to submit their applications electronically via Grants.gov unless they have requested and received a waiver that will allow submission in a paper format. If applicants do not have an Internet connection or sufficient computing capacity to upload large documents (files) to www.Grants.gov, they may apply for the waiver. Details on how to submit a waiver request are published in each Funding Opportunity Announcement. For applicants that have received a waiver to submit applications in paper format, Standard Forms, assurances, and certifications are

available at the ACF Funding Opportunities Forms webpage at <http://www.acf.hhs.gov/grants-forms>.

Award Procedure:

All funds are awarded directly to the grantees.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days. Applicants will receive notice of approval/disapproval approximately 120 days after receipt of application.

Appeals:

Appeals are processed in accordance with HHS regulations in 45 CFR Part 16.

Renewals:

Other - Not Specified.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Percent: 20%. The 20% match is required unless waived in accordance with criteria published in 45 CFR 1336.50.

This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

Grantees may apply for non-competitive continuation support within a project period of 1 to 3 years. Method of awarding/releasing assistance: lump sum per budget period. Method of awarding/releasing assistance: quarterly.

Reports:

No program reports are required. No cash reports are required. ANA does require quarterly progress reports which are known as the Objective Progress Reports (OPR) and closely follows the Project Objective Work Plan (OWP). All progress reports are required to be submitted via the Grant Solutions electronic grants management system. The Federal Financial Report (FFR), also known as SF-425, is required to be submitted on a quarterly basis. Upon submission of the quarterly OPR, ANA reviews the report to monitor project performance and achievement towards stated goals and objectives. It is ANA policy that one third of projects in their final quarter receive an on-site impact evaluation towards the end of the project period.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audits are conducted in accordance with the requirements in 45 CFR 74 and 92.

Records:

Financial records, supporting documents and all other related records pertinent to ANA grants must be maintained for a period of 3 years. If an audit is not completed by the end of 3-year period, or if audit findings have not been resolved, records shall be retained until resolution of the audit findings.

Account Identification:

75-1536-0-1-506.

Obligations:

(Project Grants) FY 12 \$2,051,047; FY 13 est \$2,009,217; and FY 14 est \$1,757,530

Range and Average of Financial Assistance:

\$100,000 - \$300,000

Average = \$135,959 per budget period.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: 12 grants were awarded. Fiscal Year 2013: It is anticipated that 4 new awards and 9 continuations will be awarded for a total of 13 ERE grants. Fiscal Year 2014: It is anticipated that 6 new awards and 8 continuations will be awarded for a total of 14 ERE grants.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations are published in 45 CFR 1336. Applicable costs and administrative procedures will be determined in accordance with 45 CFR Parts 74 and 92 of the Code of Federal Regulations, which implement the requirements of applicable OMB Circular Nos. A-87, A-21, and A-122.

Regional or Local Office:

None.

Headquarters Office:

Carmelia A. Strickland Administration for Native Americans

Administration for Children and Families

Department of Health and Human Services

Aerospace Building, 2nd Floor West

370 L'Enfant Promenade, Washington, District of Columbia 20447 Email:

carmelia.strickland@acf.hhs.gov Phone: (877) 922-9262

Website Address:

<http://www.acf.hhs.gov/programs/ana/>.

RELATED PROGRAMS:

66.926 Indian Environmental General Assistance Program (GAP)

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Grantee: Bad River Band of Lake Superior Tribe of Chippewa Indians

Project: Implementing Water Quality Standards at Cultural Sites

Location: Odanah, Wisconsin 54861

Description: Articulating the historical and cultural relationship between the Bad River community, including its waterways and ceremonial sites on the reservation, in the form of a "Human Landscape Report" to be used by the government of the tribe in the management of the community's water.

Grantee: Lac du Flambeau Band of Lake Superior Chippewa Indians

Project: Lac du Flambeau Natural Resource Regulatory Infrastructure and Education Enhancement Project

Location: Lac du Flambeau, Wisconsin 54538

Increasing knowledge, compliance, and efficiency of tribal regulations by establishing an electronic system for the environmental regulatory process and educating staff members and the public regarding environmental protections necessary to ensure a sustainable natural environment and related treaty resources for the next seven generations. Fiscal Year 2013: ANA intends to fund similar projects. Fiscal Year 2014: ANA intends to fund similar projects.

CRITERIA FOR SELECTING PROPOSALS:

Specific criteria for selecting proposals for funding are stated in each Funding Opportunity Announcement. In general, proposals are judged on the basis of relevance to program objectives as stated in the Funding Opportunity Announcement, project viability, community support, reasonable cost estimates, and qualifications of applicant organization and personnel.

93.583 REFUGEE AND ENTRANT ASSISTANCE_WILSON/FISH PROGRAM

Wilson/Fish Program

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Refugee Education Assistance Act of 1982, Title V, Public Law 96-422, 94 Stat. 1809, 8 U.S.C 1522; Refugee Act of 1980, Section 412, Public Law 96-212, 94 Stat. 111, 8 U.S.C 1522; Defense Authorization Act, 2008, Public Law 110-181, 122 Stat. 398; Victims of Trafficking and Violence Protection Act of 2000, Public Law 106-386; Consolidated Appropriations Act, 2008, Public Law 110-161, 121 Stat. 2212.

OBJECTIVES:

To develop alternative projects which promote early employment of refugees, certain Amerasians from Viet Nam, Cuban and Haitian entrants, asylees, certified victims of a severe form of trafficking, and special immigrants from Iraq and Afghanistan. States, voluntary resettlement agencies, and other resettlement organizations may develop innovative approaches for the provision of refugee cash and medical assistance, otherwise available through the

State-administered program. The purpose of the Wilson-Fish program is to provide integrated services that include cash assistance, medical assistance and other related services described above in order to increase refugees' prospects for early employment and self-sufficiency, reduce their level of welfare dependence, and promote coordination among voluntary resettlement agencies and services providers. In addition, the WF program allows ORR to ensure that refugee assistance programs exist in every State where refugees are resettled. Projects must serve all eligible refugees in a community. Wilson/Fish projects comprise two types of projects. (1) Nine projects currently establish or maintain a refugee program in a State where the State is not participating in the refugee program or has withdrawn from the refugee program or a portion of the program. (Alabama, Alaska, Idaho, Kentucky, Louisiana, Nevada, North Dakota, South Dakota, and Tennessee). (2) Four projects currently provide an alternative to the existing system of assistance and services to refugees (Colorado, Massachusetts, Vermont and San Diego).

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funds may be used only for the purposes set forth in the grant award. Assistance is limited to refugees, asylees, Cuban and Haitian entrants, certain Amerasian immigrants, certified trafficking victims, and special immigrants from Iraq and Afghanistan, as defined in the authorizing Acts. The refugee must document his immigration status in order to be eligible for assistance. The scope of services available to refugees from Wilson/Fish grantees is similar to that of the State-Administered Refugee Resettlement Programs, which in turn is similar to regular domestic public assistance programs.

Applicant Eligibility:

States, voluntary resettlement agencies, and other nonprofit refugee resettlement organizations may apply to initiate an alternative program. No separate funding is appropriated for Wilson/Fish demonstration projects. Funds are drawn instead from funds earmarked for refugee cash and medical assistance grants and social services allocations for the State-administered program (93.566) according to ORR projections.

Beneficiary Eligibility:

Refugees, certain Amerasian immigrants, Cuban/Haitian entrants, asylees, victims of a severe of trafficking, and Iraqi and Afghan Special Immigrants are eligible for services and assistance through funded projects in a community. Cash assistance is transitional for up to 8 months; services may be provided for up to five years.

Credentials/Documentation:

Proof of immigration status in the form of an I-94 card or final grant of asylum letter from the U.S. Citizenship and Immigration Services (USCIS), formerly the Immigration and Naturalization Service (INS). For victims of a severe form of trafficking, clients must have a certification or eligibility letter issued by HHS/ORR. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Awards are granted on a competitive basis, as specified in the program announcements. Each agency desiring to respond to a program announcement is required to submit an application. Applications are reviewed and evaluated pursuant to criteria specified in the program announcement.

Award Procedure:

Eligible applicants submit and application to HHS. Subsequent to review by an independent panel, the Director of ORR makes the funding decision on all grant awards.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Contingent upon funding availability, grantee performance, and the best interest of the government.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Funds are made available for this program for one-year budget period. The project period is up to four years. Funds are awarded all at once at the beginning of each budget period (lump sum). If refugee arrivals and refugee cash and medical assistance recipients exceed those that were estimated at the time of application, a grantee can submit a request for supplemental funding to meet the unanticipated need for refugee cash and medical assistance. Method of awarding/releasing assistance: lump sum.

Reports:

Program Performance reports summarizing the activities and accomplishments of the project in relation to the approved goals and objectives are required every four months. Cash reports are not applicable. No progress reports are required. Financial reports are required on a quarterly basis. During the monitoring visits, a representative collection of case files are reviewed to ensure clients are receiving appropriate services. Specifically, the ORR WF team reviews client, legal, financial, categorical and time eligibility, the Family self-sufficiency plan, receipt of cash assistance, case management and employment service and outcomes. Also the ORR WF team interviews agency staff and clients to ensure that information gleaned from the case-file, agency staff and clients corroborates with one another. In addition to program monitoring quarterly performance reports, Federal Financial Reports (SF-425) and Annual goal plans are reviewed to ensure WF agencies that agencies are spending funds appropriately and are performing in a manner consistent with the approved application and cooperative agreement.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Periodic audits are made a part of the system of financial management and internal control to meet terms and conditions of grants and other agreements.

Records:

Grant recipients are required to keep all financial, business and program reports necessary for program review and audit for the project period and for 3 years following the end of the project period to ensure that funds have been expended in accordance with the regulations, grant terms, and conditions of the program.

Account Identification:

75-1503-0-1-609.

Obligations:

(Cooperative Agreements) FY 12 \$32,000,000; FY 13 est \$31,090,000; and FY 14 est \$27,000,000

Range and Average of Financial Assistance:

Awards range from \$483,629 to \$7,24,0196.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: 13 awards were made in FY 2012. Fiscal Year 2013: 13 awards will be made in FY 2013. Fiscal Year 2014: It is expected that 13 awards will be made.

REGULATIONS, GUIDELINES, AND LITERATURE:

The Wilson/Fish Program announcement can be found on the ORR website. All inquiries should be directed to the Information Contacts listed below.

Regional or Local Office:

None.

Headquarters Office:

Carl Rubenstein, 370 L'Enfant Promenade SW, 8th Floor West, Washington, District of Columbia 20447 Email: carl.rubenstein@acf.hhs.gov Phone: (202) 205-5933 Fax: (202) 205-5888

Website Address:

<http://www.acf.hhs.gov/programs/orr>

RELATED PROGRAMS:

93.566 Refugee and Entrant Assistance_State Administered Programs; 93.567 Refugee and Entrant Assistance_Voluntary Agency Programs; 93.576 Refugee and Entrant Assistance_Discretionary Grants; 93.584 Refugee and Entrant Assistance_Targeted Assistance Grants; 93.598 Services to Victims of a Severe Form of Trafficking

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.584 REFUGEE AND ENTRANT ASSISTANCE_TARGETED ASSISTANCE GRANTS

Targeted Assistance Grants Program

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Refugee Act of 1980, Section 412, Public Law 96-212, 94 Stat. 111, 8 U.S.C 1522; Refugee Education Assistance Act of 1980, Public Law 96-422, 8 U.S.C 1522 note; Consolidated Appropriations Act of 2008, Public Law 110-161; Defense Authorization Act of 2008, Public Law 110-181; Victims of Trafficking Violence and Protection Act of 2000, Public Law 106-386; Department of Defense Appropriations Act of 2010, 123 Stat. 3409.

OBJECTIVES:

The Targeted Assistance Grant program provides funding for employment-related and other social services for refugees, certain Amerasians from Vietnam, Cuban and Haitian Entrants, asylees, victims of a severe form of trafficking, and Iraqi and Afghan Special Immigrants in areas with large refugee populations. An arrival must be within five years of arriving in this country or grant of asylum to be eligible for services under these grants.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Assistance may be used for employment-related and other social services for refugees, certain Amerasians, Cuban and Haitian entrants, asylees, victims of a severe form of trafficking, and Afghan and Iraqi Special Immigrants.

Applicant Eligibility:

State agencies, State Replacement Designees, and Wilson/Fish Alternative projects are eligible to receive funding for assistance to counties and Targeted Assistance Areas where, because of factors such as large refugee population, there exists a need for supplementation of available resources for services to refugees.

Beneficiary Eligibility:

Refugees, certain Amerasians, Cuban and Haitian Entrants, asylees, victims of a severe form of trafficking, and Iraqi and Afghan Special Immigrants. Potential beneficiaries must be within five years of arrival in this country or grant of asylum to be eligible for services.

Credentials/Documentation:

Documentation on immigration status. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from

coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program.

Award Procedure:

Grants are made to State agencies on behalf of qualified counties. Counties are requalified for Targeted Assistance grants every three years based on the number of arrivals in a county.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Grants are for a three-year project period with annual budget periods. A new three-year project period began in FY 2011 when ORR requalified counties and Targeted Assistance Areas for assistance. Counties and Targeted Assistance Areas that qualify in FY 2011 will continue to qualify for Targeted Assistance grants through FY 2013.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Funds are awarded on a yearly basis and must be obligated by the end of the fiscal year following the year of award. Method of awarding/releasing assistance: lump sum.

Reports:

Trimesterly program performance reports (ORR-6). Cash reports are not applicable. No progress reports are required. Quarterly expenditure reports (SF-425) are required. ORR on-site monitoring teams review with grantee staff the eligibility and documentation requirements for this program and verify the maintenance of accurate and up-to-date client files. ORR staff also monitor State financial, aggregate services, and case level reports.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

The grantee must maintain financial and program records for three years after the grantee submits the last expenditure report for the funding period.

Account Identification:

75-1503-0-1-609.

Obligations:

(Formula Grants) FY 12 \$43,561,000; FY 13 est \$43,561,000; and FY 14 est \$43,561,000 - Beginning in FY 2012, Target Assistance grants were incrementally funded.

Range and Average of Financial Assistance:

In FY 2012 Awards ranged from \$109,000 to \$7,706,000. The average award was \$871,227.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: In FY 2012, 29 grants were awarded. Fiscal Year 2013: In FY 2013, 29 grants were awarded. Fiscal Year 2014: It is estimated that approximately 29 grants will be awarded in FY 2014.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR Subpart L - 400.310 to 400.319.

Regional or Local Office:

None.

Headquarters Office:

Mitiku Ashebir 370 L'Enfant Promenade SW, 8th Floor West, Washington, District of Columbia 20447 Email: mitiku.ashebir@acf.hhs.gov Phone: (202) 205-3602 Fax: (202) 401-0981

Website Address:

<http://www.acf.hhs.gov/programs/orr>

RELATED PROGRAMS:

93.566 Refugee and Entrant Assistance_State Administered Programs; 93.567 Refugee and Entrant Assistance_Voluntary Agency Programs; 93.576 Refugee and Entrant Assistance_Discretionary Grants; 93.583 Refugee and Entrant Assistance_Wilson/Fish Program; 93.598 Services to Victims of a Severe Form of Trafficking

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.586 STATE COURT IMPROVEMENT PROGRAM

State and Tribal Court Improvement Programs

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Social Security Act, Title IV-B, Part subpart 2, Section 438.

OBJECTIVES:

The program provides three grant opportunities to State courts to improve court efficiency and the quality of legal representation; a basic grant for assessment work; a grant for data collection and analysis; and a grant to increase training of court personnel, including cross training with agency staff. The program provides State courts flexibility to design assessments which identify barriers to timely and effective decision-making, highlight practices which are not fully successful, examine areas they find to be in need of correction or added attention, and then implement reforms which address the State courts specific needs. State courts are required to collaborate with the State child welfare agency and Tribes in this work. The Child and Family Services Improvement and Innovation Act (P.L. 112-34) of 2011, reauthorized all three Court Improvement Program (CIP) grants through fiscal year (FY) 2016. The Act added provisions encouraging State courts to promote the use of concurrent planning and increases and improve engagement of the entire family in court processes relating to child welfare, family preservation, family reunification and adoption. The Act also allocated one million dollars to establish a Tribal Court Improvement Program.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Grants under the State Court Improvement Program may be used for assessment and improvement activities, broadly defined, of the child welfare functions of a court system to promote continuous quality improvement with respect to: due process; timeliness and quality of court hearings; quality legal representation; engagement of the entire family in court processes; improved case tracking and analysis of child welfare cases; data collection, analysis and sharing; and training of legal and judicial personnel in child welfare cases, including cross-training with child welfare agency staff and contractors.

Grants under the Tribal Court Improvement Program may be used to conduct assessments of how Tribal courts handle child welfare proceedings and to make improvements to court processes to provide for the safety, permanency, and well-being of children as set forth in the Adoption and Safe Families Act (ASFA) and increase and improve engagement of the entire family in court

processes relating to child welfare, family preservation, family reunification and adoption; ensure children's safety, permanence, and well-being needs are met in a timely and complete manner (through better collection and analysis of data); and provide for training of judges, attorneys, and legal personnel in child welfare cases.

Applicant Eligibility:

The highest State courts in each of the 50 States, the District of Columbia and Puerto Rico are eligible to apply for funding. The term "highest State court" means the judicial tribunal which is the ultimate court of appeals in the State.

Tribal courts or Tribal governments of federally recognized Tribes may apply for grants. To be eligible, a Tribe must be operating an approved title IV-E program in accordance with section 479B of the Social Security Act (the Act); or plan to operate a title IV-E program and have received a title IV-E plan development grant, as authorized by section 476 of the Act; or have a court responsible for proceedings related to foster care or adoption (section 438(c)(3)(A)(iv) of the Act).

Beneficiary Eligibility:

Families and children who are served by State and Tribal courts in proceedings related to foster care, guardianship and adoption.

Credentials/Documentation:

All State courts which submit applications as per instructions released by the Children's Bureau, Administration on Children, Youth, and Families (ACYF) are eligible to receive Court Improvement Program grant funds.

Tribal Court Improvement Program grants are discretionary and will be awarded on a competitive basis to federally recognized Tribes. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. For State Formula Grant, program instructions can be obtained from the Children's Bureau, ACYF and the appropriate Health and Human Services (HHS) Regional Offices. For Tribal discretionary grants, announcement of the availability of funds is published on the www.grants.gov website. Eligible applicants submit applications by specified deadlines.

Award Procedure:

Awards to approved applicants will be made from the Administration for Children and Families, Office of Administration, Office of Grants Management.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Federal approval time will be between 30 and 120 days.

Appeals:

Appeals are processed in accordance with HHS Departmental regulations at 45 CFR Part 16.

Renewals:

Renewal applications will be accepted every four years for the State Court Improvement Program with the option to apply annually for any grant not previously received. The Tribal Court Improvement Program will be re-competed after 36 months, by the Children's Bureau.

Formula and Matching Requirements:

Statutory Formula:

Matching Requirements: Percent: 25.%. Each State court with an approved application is allotted \$85,000 for fiscal year 2012-2016. In addition to this base amount, the remainder of the amount appropriated for all State courts will be divided among those courts with approved applications according to each State's proportionate share of children under the age of 21. If any State courts do not apply for their share of these funds, the unclaimed amount will be

reallocated each year to all other State courts with approved applications. Nonfederal share (or match) is required at the rate of 25 percent of the total budget. The same formula and match requirements apply to funds appropriated for case tracking/analysis and training for fiscal years 2012 through 2016 (\$20,000,000).

This program does not have MOE requirements. There is no match requirement for the Tribal Court Improvement Program.

Length and Time Phasing of Assistance:

The Treasury will provide funds for this program. Method of awarding/releasing assistance: by letter of credit.

Reports:

Both fiscal and program reports are required annually and are due 90 days after the close of the grant year. Both fiscal and program reports are required annually and are due 90 days after the close of the grant year. No progress reports are required. Both fiscal and program reports are required annually and are due 90 days after the close of the grant year. No progress reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records must be retained at least 3 years. Records shall be retained beyond the 3-year period if audit findings have not been resolved.

Account Identification:

75-1512-0-1-506.

Obligations:

(Formula Grants) FY 12 \$32,081,131; FY 13 est \$30,442,276; and FY 14 est \$29,921,145 - (Discretionary Grants, Tribal CIP) FY 2012 est \$1,000,000; FY 2013 est \$1,000,000.

Range and Average of Financial Assistance:

State grant awards ranged from \$94,622 to \$840,365 per individual grant received in FY 2013, with the average total State award being \$573,365. The discretionary Tribal Court Improvement grant awards ranged between \$95,618 and \$148,166 per year in FY 2013.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: 154 grants were awarded in FY 2012. Fiscal Year 2013: 154 grants will be awarded. All but two states (including District of Columbia and Puerto Rico) received all three CIP grants in FY 2013. Fiscal Year 2014: It is estimated that 154 grants will be awarded to States in FY2014. Seven Tribes were awarded three year grants in FY 2014.

REGULATIONS, GUIDELINES, AND LITERATURE:

ACYF-CB-PI-12-02, issued January 11, 2012. The Court Improvement Program is not regulated.

Regional or Local Office:

See Regional Agency Offices. Please contact the ACF branch of the appropriate Regional Office. (See Appendix IV of the Catalog.).

Headquarters Office:

David Kelly 1250 Maryland Avenue, SW; 8th Floor, Washington, District of Columbia 20024 Email: david.kelly@acf.hhs.gov Phone: (202) 205-8709.

Website Address:

<http://www.acf.hhs.gov/programs/cb>.

RELATED PROGRAMS:

93.556 Promoting Safe and Stable Families; 93.643 Children's Justice Grants to States

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.587 PROMOTE THE SURVIVAL AND CONTINUING VITALITY OF NATIVE AMERICAN LANGUAGES

Native American Language Preservation and Maintenance (P&M) and the Esther Martinez Initiative (EMI)

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

The Native American Programs Act of 1974, as amended by the Esther Martinez Native American Languages Preservation Act of 2006., Section 803(a) and (c), Public Law 93-644, 42 U.S.C 2991b-3.

OBJECTIVES:

To provide financial assistance to eligible applicants for the purpose of promoting the survival and continued vitality of native languages. This program area is divided into two categories: (1) Native Language Preservation and Maintenance and (2) Esther Martinez Initiative. The Language Preservation and Maintenance Grants are 12, 24 or 36-month projects that enable communities to conduct assessments of native languages, plan language programs and implement language projects that support the communities long-term language preservation goals. The Esther Martinez Initiative Grants are 36-month projects that will be awarded to applicants that meet the statutory requirements for immersion projects with language nests, restoration programs or language survival schools in accordance with P.L. 109-394.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grant awards under this program are for projects that contribute to the social development and self-sufficiency of native communities through the preservation and maintenance of Native American languages. ANA recognizes that applicants have varying levels of capacity and knowledge for language preservation and maintenance techniques and programs. Funds may be used for activities related to the preservation of Native American languages including: collecting and organizing data to assess a community's Native American language status; planning and implementing an immersion, master/apprentice, or distance learning model; training and certifying teachers, interpreters, or translators in a Native American language; developing, printing, and disseminating materials to be used for the teaching and enhancement of a Native American language. This is a discretionary grant program. Funding cannot be used for the following purpose or activities: purchase of real property; costs of organized fundraising, reimbursement of pre-award costs, activities in support of any foreseeable litigations against the United States Government that is unallowable under the Office of Management and Budget Circulars A-87 and A-122, activities that qualify as major renovations and alterations.

Applicant Eligibility:

Federally-recognized Indian Tribes, as recognized by the Bureau of Indian Affairs,
Incorporated non-federally recognized Tribes
Incorporated state-recognized Indian Tribes
Consortia of Indian Tribes
Incorporated nonprofit multi-purpose community-based Indian organizations
Urban Indian Centers
Alaska Native villages as defined in the Alaska Native Claims Settlement Act (ANSCA) and/or nonprofit village consortia
Nonprofit native organizations in Alaska with village specific projects
Incorporated non-profit Alaska Native multi-purpose, community-based organizations
Non-profit Alaska Native Regional Corporations/Associations in Alaska with village-specific projects
Non-profit Alaska Native community entities or tribal governing bodies (Indian Reorganization Act or Traditional Councils) as recognized by the Bureau of Indian Affairs,
Public and nonprofit private agencies serving Native Hawaiians,
National or regional incorporated nonprofit Native American organizations with

Native American community-specific objectives, Public and nonprofit private agencies serving native peoples from Guam, American Samoa, or the Commonwealth of the Northern Mariana Islands, Tribal Colleges and Universities, and colleges and universities located in Hawaii, Guam, American Samoa, or the Tribal Colleges and Universities, and colleges and universities located in Hawaii, Guam, American Samoa, or the Commonwealth of the Northern Mariana Islands which serve Native American Pacific Islanders.

Beneficiary Eligibility:

American Indians, Alaska Natives, Native Hawaiians, and Native American Pacific Islanders will benefit.

Credentials/Documentation:

Non-profit organizations must submit proof of non-profit status. For applicants that are not Tribes or Native Alaska villages, organizations applying for funding must show that a majority of board members approving the project proposal are representative of the Native American Community to be served. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

All applicants must submit documentation, such as a Board Resolution, demonstrating that the governing body of the organization approves the applications submission to ANA for the current grant competition period. Please see the funding opportunities announcement for more information. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Information regarding the availability of grant funds will be posted on Grants.gov and the ACF Funding Opportunities websites through publication of Funding Opportunity Announcements. Applicants are required to submit their applications electronically via Grants.gov unless they have requested and received a waiver that will allow submission in a paper format. If applicants do not have an Internet connection or sufficient computing capacity to upload large documents (files) to www.Grants.gov, they may apply for the waiver. Details on how to submit a waiver request are published in each Funding Opportunity Announcement. For applicants that have received a waiver to submit applications in paper format, Standard Forms, assurances, and certifications are available at the ACF Funding Opportunities Forms webpage at <http://www.acf.hhs.gov/grants-forms>.

Award Procedure:

All funds are awarded directly to the grantees.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days. Applicants will receive notice of approval/disapproval approximately 120 days after receipt of application.

Appeals:

Appeals are processed in accordance with HHS regulations in 45 CFR 16.

Renewals:

Other - Not Specified.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: Percent: 20%. The 20% match is required unless waived in accordance with criteria published in 45 CFR 1336.50. This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

Grantees may apply for non-competitive continuation support within a project period. Preservation and Maintenance projects can be 12, 24 or 36 months and Esther Martinez projects are 36-month grants. Method of awarding/releasing assistance: lump sum per budget period.

. Method of awarding/releasing assistance: quarterly.

Reports:

No program reports are required. Cash reports are not applicable. ANA does require quarterly progress reports which are known as the Objective Progress Reports (OPR). The OPR uses the OPR form which closely follows the Project Objective Work Plan (OWP). All progress reports are required to be submitted via the GrantSolutions electronic grants management system. Financial Status reports (SF-425) are required quarterly. Upon submission of the quarterly OPR, ANA reviews the report to monitor project performance and achievement towards stated goals and objectives. It is ANA policy that one third of projects in their final quarter receive an on-site impact evaluation towards the end of the project period.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audits are conducted in accordance with the requirements in 45 CFR 74 and 92.

Records:

Financial records, supporting documents and all other related records pertinent to ANA grants must be maintained for a period of 3 years. If an audit is not completed by the end of 3-year period, or if audit findings have not been resolved, records shall be retained until resolution of the audit findings.

Account Identification:

75-1536-0-1-506.

Obligations:

(Project Grants) FY 12 \$14,693,149; FY 13 est \$13,182,546; and FY 14 est \$12,922,953

Range and Average of Financial Assistance:

Language Preservation and Maintenance - \$100,000 \$300,000; Average = \$273,966 per budget period.

Esther Martinez Initiative - \$100,000 - \$300,000; Average = \$297,159 per budget period.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: In FY 2012, 63 grants were awarded, 21 new language grants and 42 continuation awards. Of the new awards, 16 were for preservation and maintenance projects, and 5 were for the Esther Martinez Initiative. Fiscal Year 2013: In FY 2013, ANA estimates new awards of 13 grants for preservation and maintenance and 6 awards for the Esther Martinez Initiative. ANA expects to fund 40 continuations with 27 preservation and maintenance and 13 Easter Martinez Initiative for a total of 59 awards. Fiscal Year 2014: In FY 2014, ANA estimates 16 new awards. ANA expects to fund 37 continuations with 27 preservation and maintenance and 13 Easter Martinez Initiative for a total of 53 awards.

REGULATIONS, GUIDELINES, AND LITERATURE:

ANA regulations are published in 45 CFR 1336.

Regional or Local Office:

None.

Headquarters Office:

Carmelia Strickland Administration for Native Americans
Administration for Children and Families
Department of Health and Human Services
370 L'Enfant Promenade
Aerospace Building, 2nd Floor West, Washington, District of Columbia 20447
Email: carmelia.strickland@acf.hhs.gov Phone: (877) 922-9262

Website Address:

<http://www.acf.hhs.gov/programs/ana>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: For FY 2012, the following are examples of funded projects:

Esther Martinez Initiative (EMI)

Grantee: Turtle Island Cultural Services

Project: Ojibwemowin Wadiswan

Location: Baraga, Michigan 49908

Description: Preserving the Ojibwe language by implementing a language nest program that includes family and community immersion components aimed at increased language learning and use.

Native Language Preservation and Maintenance (P & M)

Grantee: Mississippi Band of Choctaw Indians

Project: Chahta Annopa Isht Aya

Location: Choctaw, Mississippi 39350

Description: Preserving the Choctaw language through the creation of a language curriculum and the development and implementation of a Choctaw teacher certification program.

For more information on currently funded ANA projects, please visit the ANA website at <http://www.acf.hhs.gov/programs/ana/>. Fiscal Year 2013: ANA intends to fund similar projects in FY 2013. Fiscal Year 2014: ANA intends to fund similar projects in FY 2014.

CRITERIA FOR SELECTING PROPOSALS:

Specific criteria for selecting proposals for funding are stated in each funding opportunity announcement. In general, proposals are judged on the basis of relevance to program objectives as stated in the funding opportunity announcement, project viability, community support, reasonable cost estimates, and qualifications of applicant organization and personnel.

93.590 COMMUNITY-BASED CHILD ABUSE PREVENTION GRANTS FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Child Abuse Prevention and Treatment Act (CAPTA), as amended by P.L.111-320, enacted on December 20, 2010., 42 U.S.C 5116 et.seq.

OBJECTIVES:

(1) to support community-based efforts to develop, operate, expand, and enhance, and coordinate initiatives, programs, and activities to prevent child abuse and neglect and to support the coordination of resources and activities to better strengthen and support families to reduce the likelihood of child abuse and neglect; and (2) to foster understanding, appreciation and knowledge of diverse populations in order to effectively prevent and treat child abuse and neglect.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Funds are used to develop, operate, expand, and enhance community-based, prevention focused programs and activities designed to strengthen and support families to prevent child abuse and neglect. One percent is set aside for discretionary grants to migrant and tribal populations and an additional amount to fund a national resource center to provide training and technical assistance to State lead agencies. To receive funds, States must meet eligibility requirements stated in the authorizing legislation.

Applicant Eligibility:

States, the District of Columbia, Puerto Rico, the Virgin Islands, Guam, American Samoa, the Commonwealth of the Northern Mariana Islands are eligible. Tribes may participate through application for grants made available by a 1% (of the entire CBCAP apportionment, of both mandatory and discretionary funds) annual funds set aside, legislated for Tribes, Tribal Organizations and Migrant Programs. These are discretionary competitive grants. Complete information regarding these grants may be found on the Federal website, www.grants.gov. Should you wish to speak to someone about this set-aside, see the contact information provided here in section 152.

Beneficiary Eligibility:

There are no eligibility requirements. Beneficiaries, which include children and

their families, are organizations dealing with community-based, prevention focused programs and activities designed to prevent child abuse and neglect.

Credentials/Documentation:

Applications require certification and/or documentation that the State meets the eligibility requirements described in the Act, requiring that: (1) the Governor of the State has designated a lead entity to administer funds under this title for the purposes identified under the authority of this title; (2) the Governor of the State has provided assurances that the lead entity will provide, or be responsible for providing, community-based, prevention-focused programs and activities designed to strengthen and support families to prevent child abuse and neglect; composed of local, collaborative, public-private partnerships directed by interdisciplinary structures with balanced representation from public and private sector members, parents, and public and private nonprofit service providers, individuals and organizations experienced in working in partnership with families with children with disabilities; and (3) the Governor of the State has provided additional assurances that the lead entity has demonstrated certain capacities that ensure the State's ability to meet the purposes of this authority. Applicable costs and administrative procedures will be determined in accordance with 45 CFR 74 and 92. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. States and Territories respond to an annual Program Instruction (PI) which announces availability of funds. Applications must include assurance and information required in the PI, as well as completed forms from the PI.

Award Procedure:

Applications are reviewed against all eligibility requirements at the headquarters level. Approval/disapproval is decided by the Commissioner of the Administration on Children, Youth and Families (ACYF).

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. Deadlines will be provided in the annual Program Instruction (PI). Contact the headquarters office listed below for future deadlines.

Appeals:

Appeals are processed in accordance with Health and Human Services (HHS) regulations in 45 CFR, part 16.

Renewals:

Grants are made annually. A new application is required each year.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: States that meet all of the eligibility requirements will be awarded funds based on the following statutory formula: (a) 70 percent of the total amount appropriated is allotted among the States based on the number of children under the age of 18 in each such State, except that each State shall receive not less than \$175,000; and (b) the remaining 30 percent of the total amount appropriated is allotted in an amount that bears the same proportion to such amount appropriated as the amount leveraged by the State from private, State, or other nonfederal sources, and directed through the State lead agency in the preceding fiscal year bears to the aggregate of the amount leveraged by all States from private, State, or other nonfederal sources, and directed through the lead agency of such States in the preceding fiscal year. There is also a required 20 percent State match. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants are awarded by the Director of the Office of Mandatory Grants to the State on an annual basis, as described by the formula information Section.

Method of awarding/releasing assistance: lump sum.

Reports:

Financial and program progress reporting requirements are described in Program Instructions. A State receiving a grant under this title, through reports provided to the Commissioner of the Administration on Children, Youth and Families, should include the following:

- 1) Community-based child abuse and neglect prevention programs and activities designed to strengthen and support families to prevent child abuse and neglect (through networks where appropriate) composed of local, collaborative, public-private partnerships directed by interdisciplinary structures with balanced representation from private and public sector members, parents, adult former victims of child abuse and neglect, and public and private nonprofit service providers and individuals and organizations experienced in working in partnership with families with children with disabilities (section 202(2)(A));
 - 2) Direction to an interdisciplinary, collaborative, public-private structure with balanced representation from private and public sector members, parents, adult former victims of child abuse and neglect, public sector and private nonprofit sector service providers, and parents with disabilities (section 202(2)(B));
 - 3) Direction and oversight through identified goals and objectives, clear lines of communication and accountability, the provision of leveraged or combined funding from Federal, State and private sources, centralized assessment and planning activities, the provision of training, technical assistance, evaluation assistance and reporting and evaluation functions (section 202(2)(C));
 - 4) A demonstrated commitment to parental participation in the development, operation and oversight of the community-based child abuse and neglect prevention programs and activities designed to strengthen and support families to prevent child abuse and neglect (section 202(3)(A));
 - 5) A comprehensive strategy to provide a continuum of preventive, family-centered, comprehensive services for children and families, especially to young parents, to parents with young children and to parents who are adult former victims of domestic violence or child abuse and neglect, through public and private partnerships (section 202(3)(B));
 - 6) Operational support (both financial and programmatic) and training, technical assistance and evaluation assistance to community-based child abuse and neglect prevention programs and activities designed to strengthen and support families to prevent child abuse and neglect, through innovative, interagency funding and inter-disciplinary service delivery mechanisms (section 202(3)(C)); and,
 - 7) Integration of its efforts with individuals and organizations experienced in working in partnership with families with children with disabilities, parents with disabilities and with the child abuse and neglect prevention activities of the State, and a financial commitment to those activities (section 202(3)(D)).
- PSC-272. Program progress reporting requirements are described in Program Instructions (PI). Financial reporting requirements are described in the PI. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audits are conducted in accordance with the requirements in 45 CFR 74 and 92.

Records:

Records are to be kept in accordance with 45 CFR Parts 74 and 92.

Account Identification:

75-1536-0-1-506.

Obligations:

(Formula Grants) FY 12 \$41,317,057; FY 13 est \$38,647,851; and FY 14 est \$41,315,000

Range and Average of Financial Assistance:

In FY 2012, the range of financial assistance was from a low of \$200,000 to a high of \$3,595,715.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: 60 grants were awarded in FY 2012. Fiscal Year 2013: 53 grants will be awarded in FY 2013. Fiscal Year 2014: It is anticipated that 55 grants will be awarded in FY 2014.

REGULATIONS, GUIDELINES, AND LITERATURE:

All pertinent instructions are contained in the annual Program Instruction.

Regional or Local Office:

None.

Headquarters Office:

Rosie Gomez 1250 Maryland Avenue, SW; 8th Floor, Washington, District of Columbia 20024 Email: rosie.gomez@acf.hhs.gov Phone: 202-205-7403

Website Address:

<http://www.friendsnrc.org>

RELATED PROGRAMS:

93.505 Affordable Care Act (ACA) Maternal, Infant, and Early Childhood Home Visiting Program; 93.645 Child Welfare Services_State Grants; 93.648 Child Welfare Services Training Grants; 93.667 Social Services Block Grant; 93.669 Child Abuse and Neglect State Grants

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Voluntary home visiting; Parent education/skills; Parent mutual support/self-help; Respite care/crisis care; Community outreach and referral; Family resource centers; Family support services; Outreach to special populations. Fiscal Year 2013: Voluntary home visiting; Parent education/skills; Parent mutual support/self-help; Respite care/crisis care; Community outreach and referral; Family resource centers; Family support services; Outreach to special populations. Fiscal Year 2014: Voluntary home visiting; Parent education/skills; Parent mutual support/self-help; Respite care/crisis care; Community outreach and referral; Family resource centers; Family support services; Outreach to special populations.

CRITERIA FOR SELECTING PROPOSALS:

States which meet all eligibility requirements will receive funds under this program.

93.591 FAMILY VIOLENCE PREVENTION AND SERVICES/BATTERED WOMEN'S SHELTERS_GRANTS TO STATE DOMESTIC VIOLENCE COALITIONS

Family Violence Prevention and Services Act Grants to State Domestic Violence Coalitions

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Family Violence Prevention and Services Act, 42 U.S.C. 10401 et seq., as amended by Section 201 of the CAPTA Reauthorization Act of 2010, Pub. L. 111-320.

OBJECTIVES:

To provide for State Domestic Violence Coalitions (Coalitions) to collaborate and coordinate with States, tribes, localities, cities, and the private sector to be involved in State and local planning towards an integrated service delivery approach that meets the needs of all victims, including those in underserved communities and racial and ethnic minorities; to provide training and technical assistance to domestic violence programs and providers of direct services; and to increase public awareness about and prevention of family violence, domestic violence, and dating violence, and increase the quality and availability of immediate shelter and supportive services for victims of family violence, domestic violence, and dating violence, and their dependents.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Coalitions shall use funds for administration and operations to support a range of activities, including but not limited to (1) working with local family violence, domestic violence, and dating violence service programs and providers of direct services to encourage appropriate and comprehensive responses to family violence, domestic violence, and dating violence against adults or youth within

the State involved, including providing training and technical assistance and conducting State needs assessments; (2) participating in planning and monitoring the distribution of subgrants and subgrant funds within the State under Section 10408(a); (3) working in collaboration with service providers and community-based organizations to address the needs of family violence, domestic violence, and dating violence victims, and their dependents, who are members of racial and ethnic minority populations and underserved populations; (4) collaborating with and providing information to entities in such fields as housing, health care, mental health, social welfare, or business to support the development and implementation of effective policies, protocols, and programs that address the safety and support needs of adult and youth victims of family violence, domestic violence, or dating violence; (5) encouraging appropriate responses to cases of family violence, domestic violence, or dating violence against adults or youth, including by working with judicial and law enforcement agencies; (6) working with family law judges, criminal court judges, child protective service agencies, and children's advocates to develop appropriate responses to child custody and visitation issues in cases of child exposure to family violence, domestic violence, or dating violence; (7) providing information to the public about prevention of family violence, domestic violence, and dating violence, including information targeted to underserved populations; and (8) collaborating with Indian tribes and tribal organizations (and corresponding Native Hawaiian groups or communities) to address the needs of Indian (including Alaska Native) and Native Hawaiian victims of family violence, domestic violence, or dating violence, as applicable in the State.

Applicant Eligibility:

To be eligible for a grant under Section 10411, an entity shall be a statewide nongovernmental nonprofit private domestic violence organization organized under section 501(c)(3) of the Internal Revenue Code that has a membership that includes a majority of the primary-purpose domestic violence service providers in the State; has board membership that is representative of primary-purpose domestic violence service providers, and which may include representatives of the communities in which the services are being provided in the State; has as its purpose to provide education, support, and technical assistance to such service providers to enable the providers to establish and maintain shelter and supportive services for victims of domestic violence and their dependents; and serves as an information clearinghouse, primary point of contact, and resource center on domestic violence for the State and supports the development of policies, protocols, and procedures to enhance domestic violence intervention and prevention in the State.

Beneficiary Eligibility:

This program will benefit victims of family violence, domestic violence, dating violence, their children and other dependents, their families, other persons affected by such violence including friends, relatives, and the general public. The program will also benefit communities, including the State and business and nonprofit sectors.

Credentials/Documentation:

Applicants must provide proof of 501(c)(3) determination. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Applications must be submitted to the Administration for Children and Families/Administration on Children, Youth and Families/Family and Youth Services Bureau/Division of Family Violence Prevention and Services. Required standard forms and certifications are available at Grants.gov Forms Repository.

Award Procedure:

Funds are awarded directly to designated State Domestic Violence Coalitions.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

See 45 CFR 16, Procedures of the Departmental Grant Appeals Board.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: No less than 10 percent of the amount appropriated in Section 10403(a) in each fiscal year will be made available to the designated State Domestic Violence Coalition in each of the 50 States, Puerto Rico, the District of Columbia, Guam, the Northern Mariana Islands, American Samoa, and the U.S. Virgin Islands. Each shall receive not less than 1/56 of appropriated funds in each fiscal year.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Funds may be used for expenditures on and after October 1 of each fiscal year for which they are granted, and will be available for expenditure through September 30 of the following fiscal year. Method of awarding/releasing assistance: lump sum.

Reports:

Awards may be subject to the Transparency Act subaward and executive compensation reporting requirements of 2 CFR Part 170. The SF-428 Tangible Property Report and the SF-429 Real Property Status Report are required. The forms are available at www.whitehouse.gov/omb/grants_forms/. Quarterly cash transaction reports (SF-425) are required to be filed with the HHS Division of Payment Management through the Payment Management System. Recipients must submit an annual Performance Progress Report (SF-PPR) by December 29 of each year to the Program Office. Recipients must submit an annual Federal Financial Report (SF-425) by December 29 of each year to the Division of Mandatory Grants through the Online Data Collection system (OLDC). Performance progress reports are reviewed and selected grantees may be identified for site visits by federal program officers.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

State Coalitions are required to maintain financial and program records necessary for program review and audit to ensure that funds have been expended in accordance with the statute, regulations, grant conditions, and administrative terms of the project funded. Requirements are found in 45 CFR Part 74.

Account Identification:

75-1536-0-1-506.

Obligations:

(Formula Grants) FY 12 \$12,954,669; FY 13 est \$12,122,525; and FY 14 est \$13,500,000

Range and Average of Financial Assistance:

In FY 2012, each State and Territorial Coalition was awarded \$231,334.

In FY 2013, each State and Territorial Coalition was awarded \$216,474.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: In FY 2012, 56 State Domestic Violence Coalitions received grants. Fiscal Year 2013: In FY 2013, 56 State Domestic Violence Coalitions received grants. Fiscal Year 2014: In FY 2014, 56 State Domestic Violence Coalitions will be awarded grants.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Kenneth E. Noyes, Esq. and Angela H. Yannelli 1250 Maryland Avenue SW, Washington, District of Columbia 20024 Email: kenneth.noyes@acf.hhs.gov;angela.yannelli@acf.hhs.gov Phone: 202-205-7891; 202-401-5524 Fax: 202-401-7038

Website Address:

<http://www.acf.hhs.gov/programs/fysb/programs/family-violence-prevention-services>

RELATED PROGRAMS:

93.556 Promoting Safe and Stable Families; 93.667 Social Services Block Grant; 93.669 Child Abuse and Neglect State Grants

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Each State Domestic Violence Coalition will receive its respective share of funds if the application submitted meets the necessary requirements.

93.592 FAMILY VIOLENCE PREVENTION AND SERVICES/BATTERED WOMEN'S SHELTERS_ DISCRETIONARY GRANTS

Family Violence Prevention and Services Act Discretionary Grants

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Family Violence Prevention and Services Act, 42 U.S.C. 10401 et seq., as amended by Section 201 of the CAPTA Reauthorization Act of 2010, Pub. L. 111-320.

OBJECTIVES:

To fund a wide range of discretionary activities for the purpose of: (1) providing resource information, training and technical assistance to improve the capacity of individuals, organizations, government entities, and communities to prevent family violence, domestic violence, and dating violence and to provide effective intervention services; (2) improving the design, delivery, and coordination of services to address family violence, domestic violence and dating violence; (3) gathering information on the incidence and prevalence of family violence, domestic violence and dating violence; and (4) increasing knowledge and understanding of the issues through research, demonstration, and evaluation projects. Specific sections in the Act authorize funding for such discretionary projects as a National Resource Center on Domestic Violence; a National Indian Resource Center Addressing Domestic Violence and Safety for Indian Women; Special Issue Resource Centers including Culturally-Specific Issue Resource Centers; State Resource Centers to Reduce Tribal Disparities; Specialized Services for Abused Parents and Their Children; and a National Domestic Violence Hotline.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Discretionary financial assistance may be made to public and private entities and may take the form of a competitive grant or cooperative agreement. Applicants must meet the program and administrative requirements specified in the Funding Opportunity Announcement. Of the amount appropriated under Section 10403(a), not less than 6% shall be used for making grants under Section 10410, National Resource Centers and Training and Technical Assistance Centers.

Of the amounts appropriated under Section 10403(a) that exceed \$130,000,000, not less than 25% of the excess funds shall be made available to carry out Section 10412, Specialized Services for Abused Parents and Their Children.

Applicant Eligibility:

Depending on the purpose of the project and the statutory requirements, an

applicant may be a nonprofit private organization, tribal organization, federally-recognized Indian tribe, Native Hawaiian organization, local public agency, institution of higher education, private organization, Alaska Native Village, or nonprofit Alaska Native Regional Corporation.

Beneficiary Eligibility:

These discretionary grants and contracts will benefit victims of family violence, their dependents, families, other interested persons, the general public, and communities and government entities.

Credentials/Documentation:

No Credentials or documentation are required. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Grants are awarded on a competitive basis. All applications must contain standard forms 424 and 424A and all required certifications, assurances and disclosures. Required Standard Forms and certifications are available at Grants.gov Forms Repository.

Award Procedure:

The Commissioner, Administration on Children, Youth and Families, will make the final decision on all awards. Selection of applicants will be determined based on the review criteria published in the Funding Opportunity Announcement. Funds are awarded directly to grantees.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Renewals (continuations), if authorized under the terms of the award, are awarded based on satisfactory performance and availability of funding.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Projects may vary from a 12-month project period to a 5-year project period depending on statutory and programmatic requirements. Method of awarding/releasing assistance: lump sum.

Reports:

Specific reports for each type of Discretionary grant are published in the funding announcement. Awards may be subject to the Transparency Act subaward and executive compensation reporting requirements of 2 CFR Part 170. The SF-428 Tangible Property Report and the SF-429 Real Property Report are required. The forms are available at www.whitehouse.gov/omb/grants_forms/. Quarterly cash transaction reports (SF-425) are required to be filed with HHS Division of Payment Management through the Payment Management System. Recipients of financial assistance must submit semi-annual Performance Reports (SF-PPR) within 30 days of the end of the reporting period to the Program Office. Recipients of financial assistance must submit semi-annual Federal Financial Reports (SF-425) within 30 days of the end of the 6 month reporting period. Performance progress reports are required and selected grantees may be identified for site visits by federal program officers.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Recipients are required to keep financial and program records necessary for program review and audit to ensure that funds have been expended in accordance with the statute, regulations, grant conditions, and administrative terms of the project funded.

Account Identification:

75-1536-0-1-506.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 12 \$9,030,000; FY 13 est \$7,887,770; and FY 14 est \$8,881,990 - National Resource Centers and Training and Technical Assistance Centers ONLY. (Cooperative Agreements (Discretionary Grants)) FY 12 \$1,350,000; FY 13 est \$1,490,000; and FY 14 est \$1,600,000 - Discretionary Grants: Service and Demonstration ONLY. (Cooperative Agreements (Discretionary Grants)) FY 12 \$3,196,946; FY 13 est \$2,991,589; and FY 14 est \$4,500,000 - National Domestic Violence Hotline ONLY. Previously, there was only one Obligation for Discretionary grants so the FY 2012 Projected amount reflected the total of all three obligation line items.

Range and Average of Financial Assistance:

Discretionary Service Grants: \$100,000 - \$500,000.
Discretionary National Resource Centers and Training and Technical Assistance Centers: \$379,000 - \$1,680,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: In FY 2012, 16 discretionary grants were awarded including the National Domestic Violence Hotline. Fiscal Year 2013: In FY 2013, 16 discretionary grants are anticipated to be awarded including the National Domestic Violence Hotline. Fiscal Year 2014: In FY 2014, it is estimated that 18 discretionary grants will be awarded including the National Domestic Violence Hotline.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Shawndell Dawson; Rebecca Odor 1250 Maryland Ave. SW
8th Floor, Washington, District of Columbia 20024 Email:
shawndell.dawson@acf.hhs.gov;rebecca.odor@acf.hhs.gov Phone:
202-205-1476; 202-205-7746 Fax: 202-401-7038

Website Address:

<http://www.acf.hhs.gov/programs/fysb/programs/family-violence-prevention-services>

RELATED PROGRAMS:

93.556 Promoting Safe and Stable Families; 93.612 Native American Programs; 93.667 Social Services Block Grant; 93.669 Child Abuse and Neglect State Grants

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: In FY 2012: One grant to a National Resource Center on Domestic Violence, one grant to a National Indian Resource Center Addressing Domestic Violence and Safety for Indian Women, four Special Issue Resource Center grants, three Culturally Specific Special Issue Resource Center grants serving racial and ethnic minority groups, one grant to the National Domestic Violence Hotline, one grant for Expanding Leadership Opportunities Within the Domestic Violence Field for Members of Underrepresented Groups, and five grants for services and technical assistance related to Children Exposed to Domestic Violence. Fiscal Year 2013: In FY 2013: One grant to a National Resource Center on Domestic Violence, one grant to a National Indian

Resource Center Addressing Domestic Violence and Safety for Indian Women, four Special Issue Resource Center grants, three Culturally Specific Special Issue Resource Center grants serving racial and ethnic minority groups, one grant to the National Domestic Violence Hotline, one grant for Expanding Leadership Opportunities Within the Domestic Violence Field for Members of Underrepresented Groups, four grants for Trauma-Informed Practice in Culturally-Specific Community-Based programs and Domestic Violence services, and one grant to expand LGBTQ Service Accessibility in Domestic Violence programs. Fiscal Year 2014: In FY 2014: One grant to a National Resource Center on Domestic Violence, one grant to a National Indian Resource Center Addressing Domestic Violence and Safety for Indian Women, four Special Issue Resource Center grants, three Culturally Specific Special Issue Resource Center grants serving racial and ethnic minority groups, one grant to the National Domestic Violence Hotline, one grant for Expanding Leadership Opportunities Within the Domestic Violence Field for Members of Underrepresented Groups, four grants for Trauma-Informed Practice in Culturally-Specific Community-Based programs and Domestic Violence services, one grant to expand LGBTQ Service Accessibility in Domestic Violence programs, one grant to develop resources around Children Exposed to Domestic Violence, and one grant to provide technical assistance to formula grantees on accessibility and service issues.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be evaluated based on the criteria published in the Funding Opportunity Announcement.

93.593 JOB OPPORTUNITIES FOR LOW-INCOME INDIVIDUALS

(JOLI Program)

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Family Support Act of 1988, Section 505, Public Law 100-485, 42 U.S.C 1926; as amended, Personal Responsibility and Work Opportunity Reconciliation Act of 1996, Section 112, Public Law 104-193.

OBJECTIVES:

The purpose of the JOLI program is to create new jobs to be filled by low-income individuals. JOLI grantees create jobs through business plans and the provision of technical and/or financial assistance to private employers in the community. Accordingly, JOLI grantees assist in creating new employment and business opportunities for individuals receiving Temporary Assistance for Needy Families (TANF) and for other low-income individuals. Funded projects focus on one of three program strategies to create new job and employment opportunities: (1) new business ventures, (2) business expansion, and (3) self-employment/micro-enterprise.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funded projects must: (1) create new employment and/or business opportunities for TANF recipients and other low-income individuals; (2) enter into a cooperative agreement with the local TANF agency involving client referrals to the applicant project and supportive services for clients, and applicants are encouraged to establish a formal partnership with the local Child Support Enforcement (CSE) agency involving referrals of non-custodial parents to the applicant; (3) develop a comprehensive project design that describes: the work program and strategy; the participant training design; supportive services and counseling; technical assistance and financial assistance plan; access to business capital; and, follow-up participant support services; and, (4) include an independent, methodologically sound evaluation of the effectiveness of the activities in creating new jobs and/or business opportunities. Funds awarded under this program cannot be used for 1) new construction, 2) the purchase of real property, 3) fundraising, 4) reimbursement of pre-award costs, 5) any project where the role of the applicant is primarily to serve as a conduit for funds to organizations other than the applicant, or 6) a previously funded grantee to carry out the same project in the same geographic area.

Applicant Eligibility:

Only nonprofit organizations (including community development corporations, faith based, charitable, and tribal organizations) that are tax-exempt under section 501(a) of the Internal Revenue Code of 1986, by reason of paragraph (3) or (4) of section 501(c) of such Code are eligible to apply.

Beneficiary Eligibility:

Only recipients of Temporary Assistance for Needy Families (TANF) benefits and any other individual, whose income level does not exceed 100 percent of the official poverty guidelines, as published by the U.S. Department of Health and Human Services, can be served under this program. Emphasis is placed on serving individuals who are TANF recipients, homeless, unemployed, non-custodial parents, reside in public housing or receive housing assistance.

Credentials/Documentation:

Applicants must include with the application written evidence of the local TANF agency's willingness to enter a cooperative agreement. Applicants are strongly encouraged to include with the application written evidence of a partnership with the local CSE agency. All non-profit organizations (including community development corporations, faith based, charitable, and tribal organizations) must include with the application written proof of tax-exempt status under 501(c) (3) or (4) of Internal Revenue Code of 1986. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. All information and forms required to prepare a grant are published in the Federal Register and www.grants.gov website.

Award Procedure:

Official notice of approved grant applications is made through issuance of a Financial Assistance Award. Official notice of an accepted application proposal is made through award of a grant.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 90 to 120 days. Generally, solicited grants will be acted upon within 90-120 days.

Appeals:

Appeals are processed in accordance with HHS regulations in 45 CFR Part 16.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grant awards are approved for up to a 3-year budget and project period. The maximum grant for the full project and budget period is \$311,000. See the following for information on how assistance is awarded/released: Grant awards are approved for up to a 3-year budget and project period. The maximum grant for the full project and budget period is \$311,000.

Reports:

Semi annual progress and expenditure reports are required. Cash reports are not applicable. See above. Expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit

Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Financial and other records of the grant are to be kept 3 years after the termination of the grant or until audited, whichever comes first.

Account Identification:

75-1536-0-1-506.

Obligations:

(Project Grants) FY 12 \$0; FY 13 est \$0; and FY 14 est \$0 - Funding has not been provided for this program.

Range and Average of Financial Assistance:

FY11 est average award \$225,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: N/A. Fiscal Year 2013: N/A. Fiscal Year 2014: N/A.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Lynda Perez 370 L'Enfant Promenade SW, 5th Floor West, Washington, District of Columbia 20447 Email: lynda.perez@acf.hhs.gov Phone: (202) 401-9365 Fax: (202) 401-4687

Website Address:

<http://www.acf.hhs.gov/programs/ocs/index.html>

RELATED PROGRAMS:

93.570 Community Services Block Grant_Discretionary Awards; 93.601 Child Support Enforcement Demonstrations and Special Projects; 93.645 Child Welfare Services_State Grants; 93.647 Social Services Research and Demonstration

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.594 TRIBAL WORK GRANTS

(Native Employment Works; NEW)

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Social Security Act, Title IV, Part A, Section 412.

OBJECTIVES:

To allow eligible Indian Tribes and Alaska Native organizations to operate a program to make work activities available.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Tribes have broad flexibility to use the grant for the purpose of making work activities available.

Applicant Eligibility:

An Indian Tribe or Alaska Native organization that conducted a Tribal JOBS (Job Opportunities and Basic Skills Training) Program in fiscal year 1995.

Beneficiary Eligibility:

Service areas and populations as designated by the eligible Indian Tribe or Alaska Native organization.

Credentials/Documentation:

The grantee's chief executive officer must sign the application for assistance (the grantee's Native Employment Works plan), documenting the Tribe's official support for, and approval of, the application/plan. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Each eligible Indian Tribe or Alaska Native organization must submit a NEW plan to the Secretary for approval.

Award Procedure:

The Administration for Children and Families (ACF) will issue grant awards.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. Approximately 45 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula:

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grant awards are issued annually. Effective for NEW funds awarded on or after July 1, 1999, grantees may carry forward (carry over) unobligated NEW fund balances for use in subsequent fiscal years, without fiscal year limitation. Method of awarding/releasing assistance: by letter of credit.

Reports:

The annual program report includes a narrative report in which grantees summarize their activities, achievements, and barriers during the report period, and a statistical report in which grantees reports on clients they served during the report period. Cash reports are not applicable. (Please see the description above of the program report. These are annual program progress reports.). Grantees must submit SF 425 (Federal Financial Report) annually. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. The Secretary will have the ability to maintain program funding accountability consistent with generally accepted accounting principles and the requirements of the Single Audit Act of 1984 and OMB Circular A-133.

Records:

Indian Tribes and Alaska Native organizations must maintain records containing information the Secretary may require.

Account Identification:

75-1552-0-1-609.

Obligations:

(Formula Grants) FY 12 \$7,535,110; FY 13 est \$7,633,000; and FY 14 est \$7,633,000

Range and Average of Financial Assistance:

From \$5,187 to \$1,752,666; \$96,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: In FY 2012, 78 grants were awarded. Fiscal Year 2013: In FY 2013, it is anticipated that 79 grants will be awarded. Fiscal Year 2014: In FY 2014, it is anticipated that 79 grants will be awarded.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations for the Native Employment Works (NEW) program were published on February 18, 2000, and became effective June 19, 2000.

Regional or Local Office:

See Regional Agency Offices. Region I (CT, MA, ME, NH, RI, VT):

Carol Monteiro, Regional Program Manager, Administration for Children and Families, Office of Family Assistance, Boston Regional Office, JFK Building, Rm. 2000, Boston, MA 02203. Telephone: (617) 565-2462.

Region II (NJ, NY):

Frank Ceruto, Regional Program Manager, Administration for Children and Families, Office of Family Assistance, New York Regional Office, 26 Federal Plaza, Room 4114, New York, NY 10278. Telephone: (212) 264-2890, ext. 133.

Region III (DC, DE, MD, PA, VA, WV):

Eileen Friedman, Regional Program Manager, Administration for Children and Families, Office of Family Assistance, Philadelphia Regional Office, 150 S. Independence Mall West, Suite 864, Philadelphia, Pennsylvania 19106-3499. Telephone: (215) 861-4058.

Region IV (AL, FL, GA, KY, MS, NC, SC, TN):

LaMonica Shelton, Regional Program Manager, Administration for Children and Families, Office of Family Assistance, Atlanta Regional Office, 61 Forsyth Street, Suite 4M60, Atlanta, Georgia 30303-8909. Telephone: (404) 562-2938.

Region V (IL, IN, MI, MN, OH, WI):

Steven Krasner, Regional Program Manager, Administration for Children and Families, Office of Family Assistance, Chicago Regional Office, 233 N. Michigan Ave. - Suite 400, Chicago, IL 60601. Telephone: (312) 353-3265.

Region VI (AR, LA, NM, OK, TX):

Larry Brendel, Regional Program Manager, Administration for Children and Families, Office of Family Assistance, Dallas Regional Office, 1301 Young Street, Room 945, Dallas, TX 75202. Telephone: (214) 767-6236.

Region VII (IA, KS, MO, NE):

Gary Allen, Regional Program Manager, Administration for Children and Families, Office of Family Assistance, Kansas City Regional Office, Rm. 349, 601 E 12 St., Kansas City, MO 64106. Telephone: (816) 426-2236.

Region VIII (CO, MT, ND, SD, UT, WY):

Larry Brendel, Acting Regional Program Manager, Administration for Children and Families, Office of Family Assistance, Denver Regional Office, 999 18th Street, South Terrace, Suite 499, Denver, CO 80202. Telephone: (214) 767-6236.

Region IX (AZ, CA, HI, NV):

Julie Fong, Regional Program Manager, Administration for Children and Families, Office of Family Assistance, San Francisco Regional Office, 90 7th Street, Ninth Floor, San Francisco, CA 94103. Telephone: (415) 437-7579.

Region X (AK, ID, OR, WA):

Frank Shields, Regional Program Manager, Administration for Children and

Families, Office of Family Assistance, Seattle Regional Office, 2201 Sixth Avenue, M/S 74, Seattle, WA 98121. Telephone (206) 615-2569.

Headquarters Office:

Felicia Gaither 370 L'Enfant Promenade S.W., Washington, District of Columbia 20447 Email: felicia.gaither@acf.hhs.gov Phone: 2022058354

Website Address:

<http://www.acf.hhs.gov/programs/ofa/dts/>

RELATED PROGRAMS:

17.265 Native American Employment and Training; 93.558 Temporary Assistance for Needy Families

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: 78 NEW grantees will continue to operate work activity programs using FY 2012 funds. Fiscal Year 2013: It is anticipated that 78 NEW grantees will continue to operate work activity programs using FY 2013 funds. Fiscal Year 2014: It is anticipated that 78 NEW grantees will continue to operate work activity programs using FY 2014 funds.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.595 WELFARE REFORM RESEARCH, EVALUATIONS AND NATIONAL STUDIES

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Deficit Reduction Act of 2005, Public Law 109-171, 42 U.S.C 613 and 710.

OBJECTIVES:

To support research on the benefits, effects, and costs of operating different State welfare programs, including studies on the effects of different programs and the operation of such programs on welfare dependency, employment rates, child well-being, family formation and healthy marriage, illegitimacy, teen pregnancy, and others; to assist States in developing and evaluating innovative approaches for reducing welfare dependency and increasing the well-being of minor children living at home.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grants, cooperative agreements, and contracts are awarded for innovative research, demonstrations, and evaluations that are responsive to the Administration for Children and Families (ACF) program priorities. All applications must meet standards of excellence in research, demonstration, or evaluation design. Construction or the purchase of real estate are not allowable under these grants or contracts. Further, these funds may not be used to supplement the cost of program operations otherwise capped under the law. Organizations may not use funds under these grants or contracts to engage in inherently religious activities, such as worship, religious instruction, or proselytizing. In addition, an organization receiving ACF funds shall not, in providing ACF-funded services, discriminate against a program beneficiary or prospective program beneficiary on the basis of religion or religious belief. Regulations pertaining to the Equal Treatment for Faith-Based Organizations, which include the prohibition against Federal funding of inherently religious activities, as well as provisions related to nondiscrimination against religious organizations and the ability of religious organizations to maintain their religious character, can be found at either 45 CFR 87.1 or the HHS web site at <http://www.hhs.gov/partnerships/about/regulations/>. Through grants, contracts, or interagency agreements, may fund research on the benefits, effects, and costs of operating different State programs funded under the Temporary Assistance for Needy Families Program and evaluations of innovative approaches to reducing welfare dependency and increasing child well-being.

Applicant Eligibility:

Grants and cooperative agreements may be made to or with governmental entities, colleges, universities, nonprofit and for-profit organizations (if fee is

waived), and faith- and community-based organizations. Grants or cooperative agreements cannot be made directly to individuals. Contracts may be awarded to non-profit or for-profit organizations.

Beneficiary Eligibility:

Children, youth, and families, especially low-income families, will benefit.

Credentials/Documentation:

Applicants must present written evidence of other agencies' willingness to cooperate when the project involves the use of other agencies, facilities, or services. Nonprofit organizations which have not previously received ACF program support must submit proof of nonprofit status. For State and local governments, costs will be determined in accordance with 45 CFR 92 and other grantees, applicable costs and administrative procedures will be determined in accordance with 45 CFR Parts 74 and 92. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applicants will be able to find a synopsis of grant or cooperative agreement opportunities and apply electronically for opportunities via: www.grants.gov. Applicants will also be able to find the complete text of grant announcements on the ACF web site located at: <http://www.acf.hhs.gov/grants/index.html>.

Award Procedure:

Official notice of approved grant applications is made through issuance of a Notice of Award (NOA). This provides the amount of funds awarded, the purpose of the award, the terms and conditions of the award, the budget period for which support is given, the total project period for which support is contemplated, and the total grantee participation. Official notice of an accepted contract proposal is made through award of a contract.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 365 days. Generally, solicited grants, cooperative agreements, and contracts will be acted upon within 120 days.

Appeals:

Appeals are processed in accordance with HHS regulations in 45 CFR Part 16.

Renewals:

Grant extensions and continuations are available if formally applied for and approved. If an application is recommended for approval for 2 or more years, the grantee must submit a formal request for a non-competitive continuation application each year accompanied by a progress report which will be evaluated prior to a recommendation of continuation. This process is not applicable to contracts.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Grantees are often required to share in the cost of projects. Cost sharing may range up to 25 percent of the total approved project cost for grants or cooperative agreements.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Funds are granted on a 1- to 5-year basis, with support beyond the first year contingent upon acceptable evidence of satisfactory progress, continuing program relevance, and availability of funds. See the following for information on how assistance is awarded/released: Post award, our Division of Payment Management will establish an account from which a grantee may draw down awards. For additional information on this process see:

http://www.dpm.psc.gov/grant_recipient/new_grantee_information/hhs_grantee_info.aspx?explorer.event=true.

Reports:

No program reports are required. No cash reports are required. Grantees are required to submit performance progress reports (ACF-OGM-SF-PPR) throughout the project period. Performance progress reports are due 30 days after the reporting period. Final performance progress reports are due 90 days after the close of the project period. Reporting forms are available at http://www.acf.hhs.gov/grants/grants_resources.html. Grantees are required to submit program financial expenditure reports throughout the project period. Financial reports are due 30 days after the reporting period. Final financial reports are due 90 days after the close of the project period. Grantees will use the Financial Status Report (FSR) SF-425 for required financial reporting. Reporting forms are available at http://www.acf.hhs.gov/grants/grants_resources.html. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. All fiscal transactions identifiable to Federal financial assistance are subject to audit by the HHS audit agency, and other Federal officials.

Records:

Proper accounting records, identifiable by grant number and including all receipts and expenditures, must be maintained for 3 years in accordance with 45 CFR Part 92. Subsequent to audit, they must be maintained until all questions are resolved, or at the end of the regular 3-year period, whichever is later.

Account Identification:

75-1553-0-1-609.

Obligations:

(Direct Payments for Specified Use) FY 12 \$13,899,230; FY 13 est \$12,941,000; and FY 14 est \$13,706,000. (Project Grants) FY 12 \$1,093,621; FY 13 est \$1,294,000; and FY 14 est \$1,294,000

Range and Average of Financial Assistance:

\$93,261 to \$1,000,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: 2 grants were awarded in FY 2012. Fiscal Year 2013: It is anticipated that 4 grants will be awarded in FY 2013. Fiscal Year 2014: It is anticipated that 4 grants will be awarded in FY 2014.

REGULATIONS, GUIDELINES, AND LITERATURE:

A variety of guidance on grants can be found at www.grants.gov. Helpful information on contracts is available at www.fedbizopps.gov.

Regional or Local Office:

See Regional Agency Offices. Contact the appropriate ACF Regional Administrator. (See Appendix IV of the Catalog for ACF Regional Offices.).

Headquarters Office:

Karl J. Koerper, Office of Planning, Research and Evaluation, Administration for Children and Families, 370 L'Enfant Promenade, SW Floor, West, Washington, District of Columbia 20447 Email: Karl.Koerper@acf.hhs.gov Phone: (202) 4014535.

Website Address:

<http://www.acf.hhs.gov/programs/opre>.

RELATED PROGRAMS:

93.558 Temporary Assistance for Needy Families; 93.647 Social Services Research and Demonstration

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: The funding supports Welfare Research projects that are focused on welfare reform. Fiscal Year 2013: We anticipate funding similar

types of projects in fiscal year 2013. Fiscal Year 2014: We anticipate funding similar types of projects in fiscal year 2014. More information describing research and evaluation projects supported by these funds can be found at <http://www.acf.hhs.gov/programs/opre/>.

CRITERIA FOR SELECTING PROPOSALS:

Applications may be funded in whole or in part depending on: (1) the ranked order of applicants resulting from competitive review; (2) staff review and consultations; (3) the combination of projects that best meet the information needs of the program; (4) the funds available; and (5) other relevant considerations. Typical criteria include project cost and budget justification, the qualification of the principal investigator and organization, the quality of approach and methodologies employed, and results and the programs objectives and results and benefits expected. Specific criteria for selecting recipients under individual funding announcements under this program are published in www.Grants.gov for grant announcement and in the solicitation for requests for proposals published in www.fedbizopps.gov for contracts.

93.596 CHILD CARE MANDATORY AND MATCHING FUNDS OF THE CHILD CARE AND DEVELOPMENT FUND

(CCDF)

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Social Security Act, as amended, Title IV, Section 418, 42 U.S.C 618; Child Care and Development Block Grant Act of 1990, as amended (42 USC 9858, et seq).

OBJECTIVES:

The Child Care Mandatory and Matching Funds are a part of the Child Care and Development Fund (CCDF) program, along with the Child Care and Development Block Grant (CCDBG) or Discretionary Funds (see CFDA 93.575). The Child Care Mandatory and Matching Funds provide grants to States, Tribes, and tribal organizations for child care assistance for low-income families. The goals are to: (1) allow each State maximum flexibility in developing child care programs and policies that best suit the needs of children and parents within such State; (2) promote parental choice to empower working parents to make their own decisions on the child care that best suits their family's needs; (3) encourage States to provide consumer education information to help parents make informed choices about child care; (4) assist States to provide child care to parents trying to achieve independence from public assistance; and (5) assist States in implementing the health, safety, licensing, and registration standards established in State regulations. Current priorities include: making systemic investments in quality child care to promote child development and health and safety; and creating a system that is child focused, family friendly, and fair to providers.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Title IV of the Social Security Act appropriates funds (Mandatory and Matching Funds) for the purpose of providing child care assistance. Lead Agencies must use Mandatory and Matching Funds for child care services on a sliding fee scale basis, activities that improve the quality or availability of such services, and other activities that realize the goals of the CCDBG Act. Lead Agencies must ensure that not less than 70 percent of the total amount of Mandatory and Matching Funds are used to provide child care assistance to families who are receiving assistance under the Temporary Assistance for Needy Families program, families who are attempting through work activities to transition off of temporary assistance programs, and families who are at risk of becoming dependent on temporary assistance programs. Not more than five percent of the aggregate amount of CCDF Funds expended by the State (15 percent for Tribes or tribal organizations) may be expended for administrative costs incurred by the State to carry-out all of its functions and duties. The term "administrative costs" does not include the costs of providing direct services. A State shall use not less than four percent of the CCDF Funds to improve child care quality and availability including comprehensive consumer education, activities to increase parental choice, and other activities such as resource and

referral services, provider grants and loans, monitoring and enforcement of requirements, training and technical assistance, and improved compensation for child care staff. Except for approved construction of child care facilities by tribal grantees, no Mandatory or Matching Funds may be used for the purchase or improvement of land, or for the purchase, construction, or permanent improvement of any building or facility (other than for minor remodeling and for upgrading of facilities to meet State and local child care standards.) No Mandatory or Matching Funds provided directly to child care providers through grants or contracts may be expended for any sectarian purpose or activity, including sectarian worship or instruction. However, Grantees must give parents the option of receiving vouchers or certificates to allow parents the choice of child care providers, including faith-based or community providers. No Mandatory or Matching Funds may be provided for any services provided to students enrolled in grades 1 through 12 during the regular school day; for any services for which such students receive academic credit toward graduation; or for any instructional services which supplant or duplicate the academic program of any public or private school.

Applicant Eligibility:

Eligibility: All 50 States, the District of Columbia, and Federally recognized Tribal Governments, including Alaskan Native Corporations.

Beneficiary Eligibility:

Children under age 13 (or, at the option of the grantee, up to age 19, if physically or mentally incapable of self-care or under court supervision), who reside with a family whose income does not exceed 85 percent of the State median income for a family of the same size, and who reside with a parent (or parents) who is working or attending job training or educational program, or are in need of, or are receiving protective services.

Credentials/Documentation:

Lead Agencies must operate under a CCDF plan approved by the Administration for Children and Families, and must provide assurances that the grantee will comply with the requirements of the CCDBG Act and all applicable Federal law. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Each Grantee must designate a Lead Agency to which grants are awarded and that is accountable for the use of the Mandatory and Matching Funds provided, the duties of which shall include developing a CCDF plan. In conjunction with the development of the CCDF plan, the Lead Agency must hold at least one public hearing no earlier than nine months before the CCDF plan becomes effective and after at least 20 days of statewide public notice, to provide the public an opportunity to comment on the provision of child care services under the plan. In advance of the hearing, the Lead Agency must make the content of the plan available to the public. The Lead Agency must also coordinate the provision of services under the program with other Federal, State, and local child care and early childhood development programs. Also, the Lead Agency must consult with appropriate representatives of local governments. Tribal Lead Agencies submitting applications for construction must submit an environmental impact assessment. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. A Lead Agency desiring to receive an allotment for a fiscal year is required to submit a two-year CCDF plan to the Administration for Children and Families, as well as, financial and other information necessary for the grants process. Each plan must contain certifications and assurances by the Lead Agency that it will comply with the requirements of the Child Care and Development Block Grant Act (CCDBG). The plan must also include: the designation of a Lead Agency; the provision of assurances regarding policies and procedures as stated in Section 658E(c)(2) of the amended CCDBG; an outline of the proposed use of block grant funds in compliance with Section 658E(c)(3) of the CCDBG; the provision of certification regarding payment rates as stated in Section 658E(c)(4) of the CCDBG; and the establishment of a sliding fee scale. Additional requirements are specified by 45 CFR Parts 98 and 99.

Award Procedure:

Grants are awarded after the receipt and approval of an application and plan by the Administration for Children and Families.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

The Administration for Children and Families will review the CCDF plans for approval and will act on the plans within 90 days.

Appeals:

Guidelines for appealing disapprovals of CCDF plans are specified in regulations at 45 CFR Part 99.

Renewals:

CCDF plans must be submitted every two years.

Formula and Matching Requirements:

Statutory Formula: Title 45 CFR, Part 98.53.

Matching Requirements: Allocations of the Mandatory Funds are based on a State's Federal share of the expenditures for the now-repealed AFDC-linked child care programs (AFDC/JOBS Child Care, Transitional Child Care, and At-Risk Child Care) in 1994 or 1995, or the average of 1992 through 1994, whichever was greater. A State is not required to expend any State funds in order to receive its share of the Mandatory Funds. The remaining funds are Matching Funds and are distributed based on the number of children under age 13 in a State compared with the national total of children under age 13. To access Matching Funds, a State must obligate all of its Mandatory Funds allotted in a fiscal year and maintain 100 percent of the State's share of expenditures for the former programs in fiscal year 1994 or fiscal year 1995, whichever is greater. Matching Funds must be matched at the applicable FMAP rate, which is the Medicaid Program matching rate. Not less than one percent, but not more than two percent of the total Mandatory and Matching Funds are reserved for Tribes and tribal organizations based on the number of children living on or near tribal reservations or other appropriate area served by the tribal grantee. Tribes and tribal organizations are not required to provide matching funds. The District of Columbia is treated as a State for purposes of receiving its share of Mandatory and Matching Funds. Territories are not eligible to receive Mandatory or Matching Funds.

This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

Grant awards are made to Lead Agencies with approved CCDF plans. If Matching Funds are requested, Mandatory Funds must be obligated by the end of the first fiscal year. There is no time limit on liquidation of Mandatory Funds and no time limit on obligation of Mandatory Funds if no Matching Funds are requested. Matching Funds must be obligated by the end of the first fiscal year and liquidated by the second fiscal year. State funds expended toward the Maintenance of Effort requirement must be both obligated and expended by the end of the first fiscal year. Mandatory and Matching Funds awarded to Tribes and tribal organizations must be obligated by the end of the second fiscal year and liquidated by the end of the third fiscal year. Method of awarding/releasing assistance: quarterly.

Reports:

As specified in 45 CFR Part 98, States must report to the Administration on Children and Families on an annual basis aggregate data on families, children in care, providers, payment methods, and consumer education. Quarterly case-level reports are required to provide data on families, children, and providers, and payment information. Tribes must also provide an annual count of children and families served through CCDF; average hours of service per child by type of care; average monthly payment and co-payments per child; number of children served by income; and supplemental narratives. No cash reports are required. No progress reports are required. States must also submit quarterly expenditure reports. Territories are required to report estimates and expenditures for the Discretionary Fund only on a quarterly basis. States, DC, and Puerto Rico are required to measure, calculate, and report improper authorizations for payments as well as identify strategies for reducing future improper authorizations for payments. One-third of the 50 States, DC, and Puerto Rico must report annually on a three-year cycle. Tribes report expenditures for the Tribal Mandatory, Discretionary, and

Construction/Renovation funds. Monitoring is in accordance with 45 CFR 98.90.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Additional auditing may be necessary.

Records:

Proper grant accounting records must be maintained.

Account Identification:

75-1550-0-1-609.

Obligations:

(Formula Grants) FY 12 \$2,909,707,500; FY 13 est \$2,909,707,500; and FY 14 est \$3,408,457,500 - These figures do not include CCDF Discretionary Funds under CFDA 93.575. (Project Grants (Contracts)) FY 12 \$7,292,500; FY 13 est \$7,292,500; and FY 14 est \$8,542,500 - These figures do not include CCDF Discretionary Funds under CFDA 93.575.

Range and Average of Financial Assistance:

For States, including DC, the range of grants in FY 2013 is: \$5,944,662 to \$293,950,110; the average grant is \$54,833,990. For 243 Tribal grantees, the range of grants in FY 2012 is: \$4,682 to \$6,108,524; the average grant is \$240,082. (The FY 2013 information on Tribes is not yet available.) The Territories do not receive Mandatory funding. These figures are not inclusive of funds received through CFDA 93.575.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: In FY 2012, 294 grants were awarded. Fiscal Year 2013: In FY 2013, it is anticipated that 294 grants will be awarded. Fiscal Year 2014: In FY 2014, it is anticipated that 294 grants will be awarded.

REGULATIONS, GUIDELINES, AND LITERATURE:

These funds are subject to the Child Care and Development Fund regulations at 45 CFR Parts 98 and 99.

Regional or Local Office:

See Regional Agency Offices. Persons are encouraged to communicate with the Office of Child Care Regional Program Managers (RPMs). A list of the RPMs and their contact information is available at www.acf.hhs.gov/programs/occ/ta/raaddr/program_managers.htm. You may also contact the Office of Child Care, Administration for Children and Families at (202) 690-6782 or by Fax at (202) 690-5600.

Headquarters Office:

Mary Sprague, Office of Child Care, Administration for Children and Families, Department of Health and Human Services, 370 L'Enfant Promenade, S.W., 5th Floor East, Washington, District of Columbia 20447 Email: oc@acf.hhs.gov Phone: (202)-690-6782 Fax: (202)-690-5600.

Website Address:

<http://www.acf.hhs.gov/programs/occ>

RELATED PROGRAMS:

93.558 Temporary Assistance for Needy Families; 93.575 Child Care and Development Block Grant

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.597 GRANTS TO STATES FOR ACCESS AND VISITATION PROGRAMS

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Social Security Act, Title IV, Part D, Section 469B, Public Law 104-193.

OBJECTIVES:

To enable States to create programs which support and facilitate access and visitation by non-custodial parents with their children. Activities may include mediation, counseling, education, development of parenting plans, visitation enforcement and development of guidelines for visitation and alternative custody arrangements.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

A State to which a grant is made under this section may not use the grant to supplant expenditures by the State for allowable activities, but shall use the grant to supplement such expenditures at a level at least equal to the level of such expenditures for fiscal year 1995.

Applicant Eligibility:

All States, the District of Columbia, Puerto Rico, Virgin Islands and Guam.

Beneficiary Eligibility:

Custodial and non-custodial parents.

Credentials/Documentation:

The Governors have designated a single State agency to represent the State in carrying out this responsibility. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applications are submitted biannually using the standard application form, as furnished by the Department of Health and Human Services. Application kits which contain the prescribed application forms and supplemental descriptive information on the access and visitation grant program are available from: The Office of Child Support Enforcement, Administration for Children and Families, 4th Floor, 370 L'Enfant Promenade, SW., Washington DC 20447.

Award Procedure:

Official notice of approved grant applications is made through issuance of a Notice of Grant Award. This provides the amount of funds awarded, the purpose of the award, the terms and conditions of the award, the budget period for which support is given, the total project period, and the total grantee participation.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 120 days.

Appeals:

Governed by 45 CFR Part 16 - "Procedures of the Department Grant Appeals Board".

Renewals:

Extensions and continuations of grants are not available.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: Percent: 10%. 10 percent of the total award. The award amount is based on the number of children in a State living with one biological parent as determined by the Census Bureau. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Funds are awarded for a two-year project period. Method of awarding/releasing assistance: by letter of credit. Method of awarding/releasing assistance: by letter

of credit.

Reports:

Annual reports are required. Reports are required. Annual progress reports are required. Annual expenditure reports are required by Section 303.109.

Monitoring is done when the progress reports are reviewed for accuracy and completeness.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Proper accounting records, identifiable by grant number and including all receipts and expenditures, must be maintained for 3 years. Subsequent to audit, they must be maintained until all questions are resolved.

Account Identification:

75-1501-0-1-609.

Obligations:

(Formula Grants) FY 12 \$10,000,000; FY 13 est \$10,000,000; and FY 14 est \$10,000,000 - States have until September 30, 2014 to obligate FY 2012 funds.

Range and Average of Financial Assistance:

The amount of funding provided to each grantee is based on an allocation formula with a designated minimum amount provided in statute.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: OCSE awarded 54 grants in FY 2012. Fiscal Year 2013: OCSE anticipates awarding 54 grants in FY 2013. Fiscal Year 2014: OCSE anticipates awarding 54 grants in FY 2014.

REGULATIONS, GUIDELINES, AND LITERATURE:

Grants Administration Policies and Application kits may be obtained from: Office of Child Support Enforcement, Administration for Children and Families, 4th Floor, 370 L'Enfant Promenade, S.W., Washington DC 20447.

Regional or Local Office:

See Regional Agency Offices. See Appendix IV of the Catalog for ACF Regional Offices.

Headquarters Office:

Michelle Jadczyk 370 L'Enfant Promenade, S.W., Washington, District of Columbia 20447 Email: michelle.jadczyk@acf.hhs.gov Phone: 202 401-4578

Website Address:

<http://www.acf.dhhs.gov/programs/cse>.

RELATED PROGRAMS:

93.563 Child Support Enforcement

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.598 SERVICES TO VICTIMS OF A SEVERE FORM OF TRAFFICKING

Anti-Trafficking in Persons Program

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Trafficking Victims Protection Act of 2000 (TVPA), as amended, Public Law 106-386, 114 Stat. 1464, 20 U.S.C 7104(b)-7105(b).

OBJECTIVES:

To provide outreach to, identification of, and service referral to individuals who

may be victims of a severe form of trafficking. To provide comprehensive case management services to alien victims of a severe form of trafficking. To enhance anti-trafficking efforts in the U.S. by building regional capacity, developing regional coalitions, conducting public awareness, and providing training and technical assistance on human trafficking issues.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

The Office of Refugee Resettlement (ORR) provides funding for outreach to, and identification of, human trafficking victims, in particular among vulnerable populations. Funds are provided for comprehensive case management services for alien victims of trafficking potential victims and certain family members of victims in the United States and U.S. territories. Funds are also provided to conduct public awareness and to build and maintain the Rescue and Restore Regional Programs grants that serve as the focal points for regional public awareness campaign activities, trainings and intensification of local outreach to identify victims of human trafficking. Funds may be used only for the purposes set forth in the funding opportunity announcements.

Applicant Eligibility:

State and local governments and private organizations.

Beneficiary Eligibility:

Under the TVPA, as amended, alien victims of severe form of trafficking in persons are eligible for benefits and services to the same extent as refugees. Beneficiaries are adult alien victims of a severe form of trafficking who have been certified by HHS/ORR. Alien children under 18 years of age subjected to a severe form of trafficking who have received letters of eligibility from HHS/ORR are considered eligible for services. Certain family members of victims of a severe form of trafficking are eligible for federally funded or administered benefits and services to the same extent as refugees if they hold "derivative T Visas" (T-2, T-3, T-4, or T-5 visa). Potential victims of trafficking are also eligible for services.

Credentials/Documentation:

No Credentials or documentation are required. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Each application submitted under this program announcement will undergo a pre-review to determine if (1) the application was received by the closing date and submitted in accordance with the instructions in this announcement and (2) the applicant is eligible for funding.

Award Procedure:

Applications that pass the initial Administration for Children and Families (ACF) screening will be evaluated and rated by an independent review panel on the basis of specific criteria that are included Funding Opportunity Announcement. The evaluation criteria are designed to assess the quality of a proposed project and to determine the likelihood of its success.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Funds are generally made available for 3-year project periods with funding on an annual basis. Funds are available to reimburse costs, up to the amount of the award, incurred during the year for which the funds are awarded. Method of awarding/releasing assistance: lump sum.

Reports:

Performance progress reports are required, usually on a quarterly basis. Final program reports summarizing the activities and accomplishments of the project in relation to the approved goals and objectives are also required. Cash reports are not applicable. SF-425 Federal Financial Reports are due on a quarterly basis. Final financial reports are also required after the end of the project period. On-site and desk monitoring is done on an ongoing basis. Cash reports are not applicable. No progress reports are required. SF-425 Federal Financial Reports are due on a semi-annual basis. Final financial reports are also required 90 days after the end of the project period. On-site and desk monitoring is done on an ongoing basis.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grant recipients are required to keep all financial, business, and program reports necessary for program review and audit during the project period and for 3 years thereafter to insure that funds have been expended in accordance with the regulations, grant terms, and conditions of this program.

Account Identification:

75-1503-0-1-609.

Obligations:

(Salaries) FY 12 \$459,430; FY 13 est \$437,000; and FY 14 est \$440,000. (Direct Payments for Specified Use) FY 12 \$691,950; FY 13 est \$459,430; and FY 14 est \$735,000. (Project Grants) FY 12 \$8,615,966; FY 13 est \$8,158,000; and FY 14 est \$8,600,000

Range and Average of Financial Assistance:

In FY 2012, grants ranged from \$150,000 to \$2,917,244. The average grant amount was \$574,398.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: 15 grants were awarded and 469 victims received certification or eligibility letters. Fiscal Year 2013: It is estimated that ORR will award 145 continuation grants and one new grant will be awarded and the number of trafficking victims who receive eligibility or certification letters will be approximately the same as in FY 2012. Fiscal Year 2014: It is estimated that one new grant and 14 continuation grants will be awarded.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Maggie Wynne 370 L'Enfant Promenade, S.W., 8th Floor West, Washington, District of Columbia 20447 Email: Maggie.Wynne@acf.hhs.gov Phone: (202) 401-4664 Fax: (202) 401-5487.

Website Address:

<http://www.acf.hhs.gov/programs/orr/programs/anti-trafficking>

RELATED PROGRAMS:

93.566 Refugee and Entrant Assistance_State Administered Programs; 93.567

Refugee and Entrant Assistance_Voluntary Agency Programs; 93.576 Refugee and Entrant Assistance_Discretionary Grants; 93.583 Refugee and Entrant Assistance_Wilson/Fish Program; 93.584 Refugee and Entrant Assistance_Targeted Assistance Grants; 93.676 Unaccompanied Alien Children Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: (1) National Human Trafficking Victim Assistance Program (NHTVAP), (2) Rescue and Restore Victims of Human Trafficking Regional Program, and (3) National Human Trafficking Hotline, Training, and Technical Assistance Program (NHTTTAP). Fiscal Year 2013: The NHTVAP and the Rescue and Restore Regional Program will continue to receive funding. A new award will be made to fund the NHTTTAP. Fiscal Year 2014: The NHTTTAP will continue to receive funding and new awards will be made to fund the Rescue and Restore Victims of Human Trafficking Regional Program, but the NHTVAP grants will end and no new grant awards will be made. However, the NHTVAP grants will receive supplements in FY 1014 to complete their third-year funding awards.

CRITERIA FOR SELECTING PROPOSALS:

Information on evaluation criteria for awarding funds can be found in the Funding Opportunity Announcement.

93.599 CHAFEE EDUCATION AND TRAINING VOUCHERS PROGRAM (ETV)**FEDERAL AGENCY:**

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Social Security Act, Title IV, Part E, Section 477(h); as amended.

OBJECTIVES:

To provide resources to States and eligible Indian Tribes to make available vouchers for postsecondary training and education, to youths who have aged out of foster care or who have been adopted or left for kinship guardianship from the public foster care system after age 16.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Funds may be used to provide vouchers for postsecondary education and training to youth otherwise eligible for services under the State's or Tribe's Chafee Foster Care Independence Program. Vouchers may also be provided to youth who leave foster care for adoption or kinship guardianship after age 16. Vouchers can be provided to youth up to the age of 23, as long as they are participating in the program at age 21 and are making satisfactory progress toward completing their course of study or training. Vouchers provided to individuals may be available for the cost of attending an institution of higher education (as defined in section 472 of the Higher Education Act) and shall not exceed the lesser of \$5,000 per grant year or the total cost of attendance as defined in section 472 of the Higher Education Act.

Applicant Eligibility:

State governments, including the 50 States, the District of Columbia and Puerto Rico (hereafter "States"), and eligible Indian Tribes, Indian Tribal organizations, or Indian Tribal consortia (hereafter "Tribes").

Beneficiary Eligibility:

Youth otherwise eligible for services under a State's or Tribe's Chafee Foster Care Independence Program. (This includes youth who have left foster care because they attained 18 years of age, and have not yet attained 21 years of age; youth likely to remain in foster care until 18 years of age, commensurate with the State's or Tribe's criteria used to determine eligibility for the program; and former foster care recipients, age 21 and younger.) Vouchers may also be provided to youth who are adopted from foster care or exit foster care to kinship guardianship after attaining age 16 and to youth up to the age of 23, as long as they are participating in the voucher program at age 21 and are making satisfactory progress toward completing their course of study or training.

Credentials/Documentation:

Costs will be determined in accordance with 45 CFR Part 92. OMB Circular

No. A-87 applies to this program.

Preapplication Coordination:

Applications must include the information and assurances required from the States or Tribes. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. A 5-year State or Tribal plan must be submitted with annual updates. The plan must coordinate the provision of services under the State's or Tribe's Education and Training Voucher Program with services under other Federal or Federally-assisted programs serving the same population. Plans are submitted to the appropriate Regional Child Welfare Program Manager in the appropriate ACF Regional Office.

Award Procedure:

Quarterly awards are made up to the amount of one-fourth of the State's or Tribe's annual allotment.

Deadlines:

Jun 30, 2013: June 30 of each year.

Range of Approval/Disapproval Time:

From 60 to 90 days. The plan or its annual update is due June 30 of each year. Approval/disapproval is due September 30 of each year.

Appeals:

Appeals are processed in accordance with HHS regulations in 45 CFR, Part 16.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: States: Each State is allocated an amount of funds which bears the same ratio as the number of children in foster care in that State bears to the total number of children in foster care in all States in the most recent fiscal year for which such information is available. Date submitted by States into the Adoption and Foster Care Analysis and Reporting System (AFCARS) national database will be used to calculate State allotments.

Tribes: Each Tribe with an approved plan is allocated an amount equal to the number of children in foster care under the responsibility of the Tribe (either directly or under supervision of the State) in the most recent fiscal year for which such information is available to the sum of the total number of children in foster care under the responsibility of all Indian Tribes in the State, that have an approved plan.

Matching Requirements: Percent: 20%. The Federal government will pay 80 percent of the total amount of funds expended by the grantee up to the amount of funds allocated for the Educational and Training Vouchers program. The grantee must provide matching contributions to cover the additional 20% of the costs.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grant awards are made quarterly on a fiscal year basis. Method of awarding/releasing assistance: quarterly.

Reports:

Annual updates to the State's or Tribe's plan. An SF-425 financial status report must be submitted for the funds awarded in each Federal fiscal year (FFY) within three months after the close of the FFY in which the funds were granted (interim report) and again within three months after the close of the subsequent FFY (final report). Progress reports are not applicable. Submitted to Administration for Children and Families, Office of Administration. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that

year, except as noted in Circular No. A-133. Audits are conducted in accordance with the requirements in 45 CFR 74 and 92.

Records:

Grantees must develop and maintain records which permit review of expenditures in accordance with the provisions of 45 CFR Part 92.20 and 92.42.

Account Identification:

75-1536-0-1-506.

Obligations:

(Formula Grants) FY 12 \$44,546,841; FY 13 est \$41,689,762; and FY 14 est \$44,560,764 - Actual funding in FY 2012 and projections for FY 2013 and FY 2014 reflect the Congressionally mandated rescission in FY 2011.

Range and Average of Financial Assistance:

FY 2013 States: \$77,546 to \$5,711,015 with an average of \$800,293.
FY 2013 Tribes: \$3,886 to \$14,092 with an average of \$7,787.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: In FY 2012, 55 grants were awarded to all States, DC, Puerto Rico and 3 Indian Tribes. In FY 2013, Fiscal Year 2013: 55 grants were awarded to all States, DC, Puerto Rico and 3 Indian Tribes. Fiscal Year 2014: In FY 2014, we estimate that we will make 55 grants.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program Instructions (PI): ACYF--CB-PI-13-03, issued April 10, 2013 and ACYF-CB-PI-13-04, issued April 10, 2013.

Regional or Local Office:

See Regional Agency Offices. Contact Regional Administrator for Children and Families.

Headquarters Office:

Catherine Heath 1250 Maryland Ave, SW; 8th Floor, Washington, District of Columbia 20024 Email: Catherine.Heath@acf.hhs.gov Phone: 202-690-7888

Website Address:

<http://www.acf.hhs.gov/programs/cb>

RELATED PROGRAMS:

93.550 Transitional Living for Homeless Youth; 93.556 Promoting Safe and Stable Families; 93.645 Child Welfare Services_State Grants; 93.658 Foster Care_Title IV-E; 93.674 Chafee Foster Care Independence Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.600 HEAD START

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Head Start Act, as amended by the Improving Head Start for School Readiness Act of 2007
, Public Law 110-134, 42 U.S.C 9801.

OBJECTIVES:

To promote school readiness by enhancing the social and cognitive development of low-income children, including children on federally recognized reservations and children of migratory farm workers, through the provision of comprehensive health, educational, nutritional, social and other services; and to involve parents in their children's learning and to help parents make progress toward their educational, literacy and employment goals. Head Start also emphasizes the significant involvement of parents in the administration of their local Head Start programs.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

At least 90 percent of the enrollees in a program must be income eligible; i.e.

from families whose income is below the poverty line, from families receiving public assistance, from homeless families or children in foster care. Programs may serve an additional 35 percent of participants with incomes up to 130 percent of poverty if they can demonstrate that they already are meeting the needs of children below the poverty line in the area served. American Indian and Alaska Native programs may enroll additional over-income children if they enroll all children in their service area that are income eligible and predominantly serve children meeting the low income criteria. Training and technical assistance grants are available to Head Start programs.

Applicant Eligibility:

Any government, federally-recognized Indian tribe, or public or private nonprofit or for profit agency which meets the requirements may apply for a grant. However, applications will be considered only when submitted in response to a specific announcement, published via the Internet at the following website address: <http://www.acf.hhs.gov/grants/open/foa/>, which solicits proposals to establish new Head Start Programs. Grantee agencies may subcontract with other child-serving agencies to provide services to Head Start children.

Beneficiary Eligibility:

Head Start/Early Head Start programs are for children from birth up to the age when the child enters the school system; however, Head Start programs only serve pre-school age children while Early Head Start programs serve children from birth through age three. No less than 10 percent of each Head Start program's enrollment shall be for children with disabilities.

Credentials/Documentation:

Nonprofit organizations must submit proof of nonprofit status. Applicable costs and administrative procedures will be determined in accordance with Part 74 and 92 of Title 45 of the Code of Federal Regulations. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. The Office of Head Start will provide each applicant agency with a completed check list form showing exactly which items must be completed by each applicant and delegate agency.

Award Procedure:

All funds are awarded directly to the grantees. Funds for local Head Start/Early Head Start programs, including a portion of training and technical assistance funds, are awarded by the Office of Head Start's Regional Offices. Funds for the following are awarded by the Central Office of Head Start: American Indian and Alaskan Native programs; Migrant programs; research, demonstration and evaluation efforts and some training and technical assistance efforts.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. This, depending on the nature of the proposal, can vary considerably from 90 days to as much as several months.

Appeals:

Grantees who are denied refunding may appeal adverse decisions to the HHS Departmental Appeals Board. There is no appeal for new grants denials.

Renewals:

From 90 to 120 days. OHS Headquarters or Regional Offices will inform grantees of the application procedures for renewal.

Formula and Matching Requirements:

This program has no statutory formula.
Matching Requirements: Percent: 20.%

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Funds are available for a 12-month budget period. Funds are released by monthly allowance on an Electronic Transfer System or by monthly check. Method of awarding/releasing assistance: by letter of credit.

Reports:

Annual information on the types of children served and the services provided. No cash reports are required. No progress reports are required. Semi-annual spending reports. At least once every three years every agency is monitored. New grantees are monitored after their first year in operation.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audits are conducted in accordance with the requirements in 45 CFR, Parts 74 and 92.

Records:

Grantee must maintain an accounting system adequate to meet the purposes of the grant. Requirements for records are found in 45 CFR, Part 74 and 92.

Account Identification:

75-1536-0-1-506.

Obligations:

(Salaries) FY 12 \$0; FY 13 est \$0; and FY 14 est \$5,063,000 - These are salary and benefit costs that will be used to support the Early Head Start-Child Care (EHS-CC) Partnership initiative using authority proposed in appropriation language. (Direct Payments for Specified Use) FY 12 \$173,442,000; FY 13 est \$172,466,000; and FY 14 est \$198,037,000 - In FY 2014, there is \$5,473,000 included in the estimate to implement the Early Head Start-Child Care (EHS-CC) Partnership initiative. (Project Grants) FY 12 \$7,794,287,000; FY 13 est \$7,400,629,029; and FY 14 est \$9,429,718,000 - In FY 2014, there is \$1,419,840,000 included in the estimate to implement the Early Head Start-Child Care (EHS-CC) Partnership initiative.

Range and Average of Financial Assistance:

136,000-200,351,000; 4,300,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: The Head Start program funded 956,497 early childhood slots that provided comprehensive services to children and families. Fiscal Year 2013: We estimate that the Head Start program will fund 943,465 early childhood slots that provided comprehensive services to children and families. Fiscal Year 2014: We estimate that the Head Start program will fund 1,052,466 early childhood slots that will provide comprehensive services to children and families. These projected slots include the Early Head Start-Care Care (EHS-CC) Partnership investment which is expected to double the number of infants and toddlers served in Early Head Start slots from 113,566 in FY 2012 to an estimated 221,025 in FY 2014.

REGULATIONS, GUIDELINES, AND LITERATURE:

Chapter XIII of Title 45 Code of Federal Regulations, including 45 CFR 1301 - 1311. These are available on request at no charge.

Regional or Local Office:

See Regional Agency Offices. Region I (CT, MA, ME, NH, RI, VT):

Louise Eldridge, Regional Program Manager, Administration for Children and Families, Office of Head Start, Boston Regional Office, JFK Building, Rm. 2000, 15 New Sudbury Street, Boston, MA 02203. Telephone: (617) 565-1150.

Region II (NJ, NY, PI, VI):

Iran Rodriguez, Regional Program Manager, Administration for Children and Families, Office of Head Start, New York Regional Office, 26 Federal Plaza, Room 4114, New York, NY 10278. Telephone: (212) 264-2890, ext. 173.

Region III (DC, DE, MD, PA, VA, WV):

Linda Savage, Regional Program Manager, Administration for Children and Families, Office of Head Start, Philadelphia Regional Office, 150 S. Independence Mall West, Suite 864, Philadelphia, Pennsylvania 19106-3499. Telephone: (215) 861-4048.

Region IV (AL, FL, GA, KY, MS, NC, SC, TN):

Jeff Fredericks, Regional Program Manager, Administration for Children and Families, Office of Head Start, Atlanta Regional Office, Atlanta Federal Center, 61- Forsyth Street, SW, Suite 4M60, Atlanta, Georgia 30303-8909. Telephone: (404) 562-2841.

Region V (IL, IN, MI, MN, OH, WI):

Kay Willmoth, Regional Program Manager, Administration for Children and Families, Office of Head Start, Chicago Regional Office, 233 N. Michigan Ave. - Suite 400, Chicago, IL 60601. Telephone: (312) 353-7562.

Region VI (AR, LA, NM, OK, TX):

Kimberly Chalk, Regional Program Manager, Administration for Children and Families, Office of Head Start, Dallas Regional Office, 1301 Young Street, Room 914, Dallas, TX 75202. Telephone: (214) 767-8844.

Region VII (IA, KS, MO, NE):

Clarence Small, Regional Program Manager, Administration for Children and Families, Office of Head Start, Kansas City Regional Office, 601 East 12th Street, Rm. 276, Kansas City, MO 64106. Telephone: (816) 426-2275.

Region VIII (CO, MT, ND, SD, UT, WY)

Deb Hedin, Regional Program Manager, Administration for Children and Families, Office of Head Start, Denver Regional Office, 1961 Stout Street, Suite 924, Denver, CO 80294. Telephone: (303) 844-1106.

Region IX (AZ, CA, HI, NV):

Jan Len, Regional Program Manager, Administration for Children and Families, Office of Head Start, San Francisco Regional Office, 90 7th Street, Ninth Floor, San Francisco, CA 94103. Telephone: (415) 437-8447.

Region X (AK, ID, OR, WA):

Nancy Hutchins, Regional Program Manager, Administration for Children and Families, Office of Head Start, Seattle Regional Office, 2201 Sixth Avenue, room 610 - M/S RX-70, Seattle, WA 98121. Telephone: (206) 615-3661.

Headquarters Office:

Colleen Rathgeb 1250 Maryland Avenue, S.W., Washington, District of Columbia 20024 Email: colleen.rathgeb@acf.hhs.gov Phone: 202-205-7378

Website Address:

<https://www.acf.hhs.gov/programs/ohs>

RELATED PROGRAMS:

93.708 ARRA - HEAD START; 93.709 ARRA EARLY HEAD START

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.601 CHILD SUPPORT ENFORCEMENT DEMONSTRATIONS AND SPECIAL PROJECTS

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Social Security, Title IV, Part D, Section 452(j), Public Law 104-193, 42 U.S.C 652j.

OBJECTIVES:

To provide federal funds for information dissemination and technical assistance to states, training of federal and state staff to improve state child support programs, and research, demonstration, and special projects of regional or national significance relating to the operation of child support programs.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

All grants must follow the program requirements and restrictions outlined in the funding opportunity announcement HHS-2012-ACF-OCSE-FI-0298 and follow all of the standard terms and conditions for ACF grant awards, not limited to what is described in the HHS Grants Policy Statement.

Applicant Eligibility:

Eligibility for these grants is open to state (including the District of Columbia, Guam, Puerto Rico, and the Virgin Islands) Title IV-D agencies, county, city or township, special district Title IV-D agencies, and umbrella agencies of Title IV-D agencies. Individuals, foreign entities, and sole proprietorship organizations are not eligible for awards.

Beneficiary Eligibility:

Eligibility for these grants is open to state (including the District of Columbia, Guam, Puerto Rico, and the Virgin Islands) Title IV-D agencies, county, city or township, special district Title IV-D agencies, and umbrella agencies of Title IV-D agencies. Individuals, foreign entities, and sole proprietorship organizations are not eligible for awards.

Credentials/Documentation:

When appropriate, applicants should present written evidence of other agencies' willingness to cooperate when the project involves their cooperation or the utilization of their facilities or service. For other grantees, costs will be determined in accordance with 2 CFR 220 for educational institutions, 2 CFR 230 for nonprofit organizations, and any amendments to the aforementioned. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Application forms and supplemental descriptive information on the priority projects are available from: Administration for Children and Families, Child Support Enforcement Program, 4th floor, 370 L'Enfant Promenade, SW., Washington, DC 20447. Applications may be submitted to this address or via grants.gov. See the actual program announcement for details. The application shall be executed by an individual authorized to act for the applicant agency or organization and to assume for the agency or organization the obligations imposed by the terms and conditions of the grant. The application must clearly indicate whether the application submitted is in response to a program announcement (e.g. CFDA 93.601) and must reference the announcement number for which the application is to compete. Applications are reviewed and evaluated by a review panel of not less than three knowledgeable people. Written assessment of each application is made.

Award Procedure:

Official notice of approved grant applications is made through issuance of a Notice of Grant Award. This provides the amount of funds awarded, the purpose of the award, the term and conditions of the award, the budget period for which support is given, the total project period for which support is contemplated, and the total grantee participation.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application

deadlines.

Range of Approval/Disapproval Time:

From 60 to 120 days. Generally, solicited grants will be acted upon within 90 days.

Appeals:

There are no formal appeals procedures. If an application for a grant is disapproved, the reasons for disapproval will be fully stated, applicants may request the reasons for disapproval. In the case of unsolicited proposals, applicants are free to resubmit applications with attention to the changes suggested by the reasons for disapproval in the future. If an applicant is not awarded a grant, the applicant can request a written response that will address: (1) Weak and deficient areas of the proposal; (2) impact of weaknesses or deficiencies in not being selected; and (3) factors that were the basis of the selection of the successful grantee.

Renewals:

Generally, project and budget periods vary according to priority area (up to 3 years). Projects of a longer duration will be considered if unique circumstances warrant. If projects are approved for a period longer than 12 months, funding will be provided in discrete 12-month increments, or "budget periods." Funding beyond the first 12-month budget period is not guaranteed. Future funding will depend on the grantee's satisfactory performance and the availability of future appropriations.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Generally, project and budget periods vary according to the priority area (up to 3 years). For multi-year projects, support beyond the first year is contingent upon acceptable evidence of satisfactory progress and availability of funds. Method of awarding/releasing assistance: by letter of credit.

Reports:

Semi-annual program narrative reports and semi-annual expenditure reports are required. Periodic monitoring is conducted by the federal project office when progress reports are reviewed. Semi-annual expenditure reports are required. Quarterly narrative progress reports are required. Semi-annual reports of expenditures are required. Periodic monitoring is conducted by the Federal Project Officer when progress reports are reviewed.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. All fiscal transactions identifiable to Federal financial assistance are subject to audit by the HHS agency, and other Federal officials.

Records:

Proper accounting records, identifiable by grant and including all receipts and expenditures, must be maintained for 3 years in accordance with 45 CFR 74 or 92. Subsequent to an audit they must be maintained until all questions are resolved, or at the end of the regular 3-year period, whichever is later.

Account Identification:

75-1553-0-1-609.

Obligations:

(Project Grants) FY 12 \$685,767; FY 13 est \$498,215; and FY 14 est \$700,000 - Funds are estimated for continuation awards only. FY 2014 funds is estimated for \$500,000 in continuation funding and \$200,000 in new awards.

Range and Average of Financial Assistance:

\$50,000 to \$100,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: FY 2012 four new grants were awarded. Fiscal Year 2013:

FY 2013 OCSE anticipates awarding continuation funds to five grantees. Fiscal Year 2014: FY 2014 OCSE anticipates up to eight new awards and up to five continuation awards.

REGULATIONS, GUIDELINES, AND LITERATURE:

"Grants Administration Policies," and application kits may be obtained from the ACF, Office of Child Support Enforcement, 4th Floor, 370 L'Enfant Promenade, SW., Washington, DC 20447.

Regional or Local Office:

See Regional Agency Offices. Contact Regional Administrators. (See Appendix IV of the Catalog for Regional Offices).

Headquarters Office:

Michelle Jadczyk 370 L'Enfant Promenade, S.W., Washington, District of Columbia 20447 Email: michelle.jadczyk@acf.hhs.gov Phone: 202 401-4578

Website Address:

<http://www.acf.dhhs.gov/programs/cse/grants>

RELATED PROGRAMS:

93.558 Temporary Assistance for Needy Families; 93.563 Child Support Enforcement; 93.564 Child Support Enforcement Research; 93.597 Grants to States for Access and Visitation Programs; 93.647 Social Services Research and Demonstration

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: In FY 2012 awarded Parenting Time Opportunities for Children in the Child Support Program. Fiscal Year 2013: OCSE will provide continuation funding to FY 2012 grantees. Fiscal Year 2014: TBD.

CRITERIA FOR SELECTING PROPOSALS:

1) Program Design; 2) Program Management; 3) Evaluation Design; and 4) Budget and Budget Justification.

93.602 ASSETS FOR INDEPENDENCE DEMONSTRATION PROGRAM

AFI Program

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Assets for Independence Act.

OBJECTIVES:

The purpose of the Assets for Independence program is to demonstrate and evaluate the effectiveness of asset-building projects that assist low-income people in becoming economically self-sufficient by teaching them about economic and consumer issues and enabling them to establish matched savings accounts called individual development accounts (IDAs). The program is demonstrating and evaluating the effects of AFI projects and IDAs in terms of increasing the economic self-sufficiency of low-income families; promoting savings for home ownership, post-secondary education, and small business or micro-enterprise development; and stabilizing families and communities.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

The AFI Act imposes the following limitations on uses of AFI grant funds: Entities that receive AFI grants must reserve at least 85% of the Federal AFI grant amount for matching participants' IDA savings. The grantee entities may use no more than 15% of the Federal AFI grant amount for all other costs such as administering the project, reporting, and recruiting and enrolling participants; training participants in such areas as financial education, economic literacy, budgeting, and credit; providing supports that assist participants to achieve economic self-sufficiency; and providing information to organizations evaluating the AFI demonstration.

Applicant Eligibility:

This program supports innovative projects administered by national, State-wide, regional and community-based organizations. Eligible applicants are: (1) Private nonprofit organizations that are tax exempt under Section 501(c)(3) of

the Internal Revenue Code; (2) State or local governments or agencies or Tribal governments submitting applications jointly with tax exempt organizations; (3) credit unions designated as low-income credit unions by the National Credit Union Administration; or an organization designated as a Community Development Financial Institution by the Secretary of the Treasury.

Beneficiary Eligibility:

The Assets for Independence Act limits eligibility for participation in AFL-funded projects to individuals and families with the following characteristics: 1) Individuals who are members of households that are eligible to receive support under the Federal Temporary Assistance for Needy Families program; 2) Individuals whose adjusted gross household income is less than twice the Federal poverty line, taking into consideration the number of household members, and whose household net worth as of the end of the prior calendar year was less than \$10,000; and 3) Individuals whose adjusted gross household income enables them to qualify for the Federal Earned Income Tax Credit, taking into consideration the number of household members, and whose household net worth as of the end of the prior calendar year was less than \$10,000. When determining the net worth of the household, a household's assets shall not be considered to include the primary dwelling unit and one motor vehicle owned by a member of the household.

Credentials/Documentation:

Low Income Credit Unions must submit official documentation that the National Credit Union Administration has designated the organization as such. Community Development Financial Institutions must submit documentation that the Department of the Treasury has designated the organization as such. Private nonprofit organizations that are tax exempt under Section 510(3) of the Internal Revenue Code must provide documentation of nonprofit status. Applications submitted by credit unions and community development financial institutions must demonstrate a strong collaborative relationship with one or more community-based organization(s) that seek to address poverty and the economic needs of community residents. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Competitive applications must be submitted on Standard Forms 424, 424A, and 424B, and include a project narrative as described in the program announcement issued by the Office of Community Services and published on the www.grants.gov website. Required forms are attached to the published announcement. No State plan is required.

Award Procedure:

The Director, Office of Community Services makes funding decisions based on recommendations of a panel of experts who review funding proposals. The panel review is based on criteria set forth in the program announcement.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. Applications will normally be approved/disapproved and grant awards made within 90 days of application receipt.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: Percent: 100.%. The matching requirement is for a non-federal cash contribution.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

These grants have 5-year budget and project periods. Grantees may access grant funds throughout the period to the extent that they have the required non federal cash support on hand. See the following for information on how assistance is awarded/released: Grantees may access federal grant funds to the extent that they have the required non-federal cash contribution. See the following for information on how assistance is awarded/released: Grantees may access federal grant funds to the extent that they provide proof of the required non-federal cash contribution.

Reports:

Standard Form Performance Progress Report (SF-PPR). Standard Form - Federal Financial Report (SF-425). Standard Form Performance Progress Report (SF-PPR). Standard Form - Federal Financial Report (SF-425). Data Report.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Program records, financial records, and all other records pertinent to the award shall be retained for a period of three years from the date of submission of the final expenditure report.

Account Identification:

75-1536-0-1-506.

Obligations:

(Salaries) FY 12 \$1,287,210; FY 13 est \$1,293,000; and FY 14 est \$1,302,000. (Direct Payments for Specified Use) FY 12 \$4,982,654; FY 13 est \$4,450,701; and FY 14 est \$4,667,000. (Project Grants (Discretionary)) FY 12 \$13,594,577; FY 13 est \$12,849,363; and FY 14 est \$13,500,000

Range and Average of Financial Assistance:

The Office of Community Services (OCS) awards grants for this program ranging up to \$1,000,000. The average grant is approximately \$350,000. Eligible entities may apply for new grants up to the statutory limit of \$1,000,000 for 5-year project periods.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: 61 grants were awarded in FY 2012. Fiscal Year 2013: It is anticipated that 47 grants will be awarded in FY 2013. Fiscal Year 2014: It is anticipated that 47 grants will be awarded in FY 2014.

REGULATIONS, GUIDELINES, AND LITERATURE:

The following regulations: Title 45 of the Code of Federal Regulations, Part 16 - DHHS Grant Appeals Process, Part 74 - Administration of Grants (grants with sub grants to entities), Part 75 - Informal Grant Appeal Procedures, Part 76 - Debarment and Suspension from Eligibility For Financial Assistance, Sub part F - Drug Free Workplace Requirements, Part 80 - Non-discrimination Under Programs Receiving Federal Assistance through Department of Health and Human Services Effectuation of Title VI of the Civil Rights Act of 1964, Part 81 - Practice and Procedures for Hearings Under Part 80 of this Title, Part 83 - Regulation for the Administration and Enforcement of Sections 799A and 845 of the Public Health Service Act, Part 84 - Non-discrimination on the Basis of Handicap in Programs and Activities Receiving Federal Financial Assistance, Part 85 - Enforcement of Non-discrimination on the Basis of Handicap in Programs or Activities Conducted by Department of Health and Human Services, Part 86 - Non-discrimination on the Basis of Sex in Education Programs and Activities Receiving or Benefiting from Federal Financial Assistance, Part 91 - Non-discrimination on the Basis of Age in Health and Human Services Programs or Activities Receiving Federal Financial Assistance, Part 92 - Uniform Administrative Requirements for Grants and Cooperative Agreements to States and Local Governments (Federal Register, March 11, 1988), Part 93 - New Restrictions on Lobbying, Part 1000 -

Individual Development Accounts.

Regional or Local Office:

None.

Headquarters Office:

J. Janelle George 370 L'Enfant Promenade, SW, 5th Floor West, Washington, District of Columbia 20447 Email: Jolleen.George@acf.hhs.gov Phone: 202-401-4830 Fax: 202-401-5648

Website Address:

<http://www.acf.hhs.gov/programs/ocs/afi>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

(1) Organization experience and administrative capability; (2) Sufficiency of the project, design and plan: The degree to which the project described in the application appears likely to aid project participants in achieving economic self-sufficiency through activities requiring one or more of the qualified expenses (home purchase, post secondary education or training, or business capitalization); (3) Adequacy of plan for providing information for evaluation; (4) Commitment of non-federal funds and additional resources; (5) Results or benefits expected; and (6) Significant and beneficial impacts.

93.603 ADOPTION INCENTIVE PAYMENTS

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Social Security Act, Title IV, Part E, Section 473A; as amended.

OBJECTIVES:

To provide incentives to States to increase annually the number of foster child adoptions, special needs adoptions, and older child adoptions.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

A State shall not expend an amount paid to the State under this grant except to provide to children of families any activity or service (including post-adoption services) that may be provided under Part B or E of Title IV of the Social Security Act. Amounts expended by a State in accordance with the preceding sentence shall be disregarded in determining State expenditures for purposes of Federal matching payments under Sections 423, 434, and 474 of the Social Security Act.

Applicant Eligibility:

Applications are not required. The States, including Puerto Rico and the District of Columbia, may receive payments. The Children's Bureau (CB) shall determine the numbers of foster child adoptions, the special needs adoptions, and the older child adoption in a State on the basis of AFCARS data as reported by each State and accepted by CB no later than May 15 of the fiscal year subsequent to the fiscal year in which the adoptions were finalized (i.e., the "earning year").

Beneficiary Eligibility:

Beneficiaries are those children and families eligible under Title IV-B and Title IV-E of the Social Security Act, as amended.

Credentials/Documentation:

The Children's Bureau (CB) shall determine the numbers of foster child adoptions, the special needs adoptions, and the older child adoptions, as well as the highest ever foster child adoption rate in a State on the basis of data reported by each State to the Adoption and Foster Care Analysis and Reporting System (AFCARS) data and accepted by CB no later than May 15 of the fiscal year subsequent to the fiscal year in which the adoptions were finalized (i.e., the "earning year"). OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. States are automatic applicants.

Award Procedure:

Incentive payments will be issued annually, in the fiscal year subsequent to the earning year. Payment will be based on AFCARS data submitted no later than May 15 of the fiscal year following the earning year. AFCARS data must be accepted and approved by the Children's Bureau.

Deadlines:

May 15, 2010 AFCARS reports must be received no later than May 15, for subsequent fiscal years in order to be considered for incentive payments.

Range of Approval/Disapproval Time:

AFCARS awards are generally made in August or September each year.

Appeals:

Not Applicable.

Renewals:

Each "earning year" (fiscal years 2008 - 2012), State adoption data received through AFCARS will be considered on its own merit.

Formula and Matching Requirements:

Statutory Formula: The adoption incentive payment payable to a State for a fiscal year shall be equal to the sum of (a) \$4,000, multiplied by the number of foster child adoptions in the State during the fiscal year which exceeds the base number of foster child adoptions for the State for the fiscal year; (b) \$8,000 multiplied by the number of foster child adoptions of children age 9 or older in the State during the fiscal year in which exceeds the base number of foster child adoption of children age 9 or older for the State for the fiscal year; and (c) \$4,000, multiplied by the number of special needs adoptions of children under age nine in the State during the fiscal year which exceeds the base number of special needs adoptions of children under age 9 for the State for the fiscal year, if the State receives a bonus under (a) or (b). Awards are pro-rated if insufficient funds are available to make the full awards. In addition, if funds remain available after making the above awards, a State is eligible to earn an additional incentive award by exceeding the highest ever foster child adoption rate in the State between FY 2002 and the immediately preceding fiscal year. The incentive is calculated by multiplying the State's highest ever foster child adoption rate times the number of children in foster care on the last day of the preceding fiscal year and subtracting that number from the number of foster child adoptions in the State in that fiscal year. That number is rounded to the nearest whole number and then multiplied by \$1,000. If a State does not qualify for an incentive payment under (a) or (b), the State may still receive an award for (c) if the State exceeds the highest ever adoption rate. There are no matching requirements for these funds.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Payments to a State in a fiscal year shall remain available for use by the State through the end of the succeeding fiscal year. Method of awarding/releasing assistance: lump sum.

Reports:

States must identify in the Child and Family Services Plan (CFSP) or Annual Progress and Services Report (APSR) as applicable (due June 30 of each year), the services they have provided to children and families as a result of the expenditure of adoption incentive payments. Should more than one fiscal year's incentive funds be expended during a given reporting period, the report should reflect the services provided and identify the fiscal year's funds expended. Actual expenditures of incentive funds must be reported annually on SF-425, Financial Status Report. No progress reports are required. Standard form (SF) 425, Financial Status Report (FSR), must be completed and submitted utilizing the established on-line data collection system. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In accordance with 45 CFR 92.

Records:

None.

Account Identification:

75-1536-0-1-506.

Obligations:

(Formula Grants) FY 12 \$41,984,152; FY 13 est \$37,229,534; and FY 14 est \$39,346,000

Range and Average of Financial Assistance:

For FY 2012 earning year, 25 States earned incentive payments totaling \$43.9 million. The awards ranged from \$24,000 to \$10,024,000 with an average award amount of \$1,755,840.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: 25 States received adoption incentive payments. Fiscal Year 2013: We project that 25 States will receive adoption incentives payments. Fiscal Year 2014: No current data available.

REGULATIONS, GUIDELINES, AND LITERATURE:

ACYF-CB-IM-03, issued September 1, 2009.

Regional or Local Office:

See Regional Agency Offices. ACF Children's Bureau Regional Child Welfare Program Managers.

Headquarters Office:

Gail Collins 1250 Maryland Ave, SW, 8th Floor, Washington, District of Columbia 20024 Email: gail.collins@acf.hhs.gov Phone: 202-205-8552

Website Address:

<http://www.acf.hhs.gov/programs/cb>.

RELATED PROGRAMS:

93.556 Promoting Safe and Stable Families; 93.645 Child Welfare Services_State Grants; 93.658 Foster Care_Title IV-E; 93.659 Adoption Assistance

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

All State Agencies are considered if the number of adoptions exceed the baseline number of adoptions.

93.604 ASSISTANCE FOR TORTURE VICTIMS

Services for Survivors of Torture

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Torture Victims Relief Act of 1998, Public Law 105-320.

OBJECTIVES:

The Office of Refugee Resettlement (ORR) provides a comprehensive program of support for domestic centers and programs for survivors of torture. The Services for Survivors of Torture Program assists persons who have suffered torture in their home countries to regain their health and independence and build productive lives in the U.S.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Allowable activities include treatment of the physical and psychological effects of torture, social and legal services, and provision of research and training to

health care providers to enable them to treat the physical and psychological effects of torture.

Applicant Eligibility:

Eligible applicants are public or private non-profit agencies.

Beneficiary Eligibility:

Individuals and families, regardless of immigration status, who have suffered torture.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Eligible applicants submit an application to the Administration for Children and Families in accordance with the requirements described in the notice of funding availability.

Award Procedure:

Applications are reviewed by a panel of independent experts in accordance with the evaluation criteria included in the notice of funding availability. Funding decisions are made by the Director of ORR based on the review.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grants are awarded for a three-year project period with one-year budget periods. Method of awarding/releasing assistance: lump sum.

Reports:

Program Performance Reports are required on a semi-annual basis. Final Program Performance Reports summarizing the activities and accomplishments of the project over the entire project period are required 90 days after the end of the project period. No cash reports are required. No progress reports are required. SF-425 Federal Financial Reports are required semi-annually. A final SF-425 Federal Financial Report is required 90 days after the end of the project period. Staff of the Office of Refugee Resettlement make site visits to grantees to review the financial and programmatic aspects of the project.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Periodic audits are made as part of the system of financial management and internal control to meet terms and conditions of grants and other agreements. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit

requirements for that year, except as noted in Circular No. A-133.

Records:

Grant recipients are required to keep all financial and program reports necessary for program review and audit for the project period and for 3 years after the end of the project period to insure that funds have been expended in accordance with the regulations, grant terms, and conditions of this program.

Account Identification:

75-1503-0-1-609.

Obligations:

(Salaries) FY 12 \$100,000; FY 13 est \$130,000; and FY 14 est \$132,000. (Direct Payments for Specified Use) FY 12 \$201,299; FY 13 est \$115,000; and FY 14 est \$87,000. (Project Grants) FY 12 \$10,826,000; FY 13 est \$10,490,000; and FY 14 est \$10,836,000

Range and Average of Financial Assistance:

Grant awards range from \$193,000 to \$400,000. The average award was \$360,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Approximately 4,500 survivors of torture received services from this program. 30 grants were awarded. Fiscal Year 2013: It is expected that 4,000 - 5,000 survivors of torture will receive services from this program in FY 2013. It is projected that grants will be awarded to 31 grantees. Fiscal Year 2014: No current data available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Essey Workie Office of Refugee Resettlement
370 L'Enfant Prom SW
Eighth Floor West, Washington, District of Columbia 20447 Email:
Essey.Workie@acf.hhs.gov Phone: 202-401-5364 Fax: 202-401-0981

Website Address:

<http://www.acf.hhs.gov/programs/orr>

RELATED PROGRAMS:

93.566 Refugee and Entrant Assistance_State Administered Programs; 93.576 Refugee and Entrant Assistance_Discretionary Grants; 93.584 Refugee and Entrant Assistance_Targeted Assistance Grants

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The criteria for selecting proposals are included in the notice of funds availability.

93.605 FAMILY CONNECTION GRANTS

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Social Security Act, Title IV, Part B, subpart 1, Section 427.

OBJECTIVES:

To provide matching grants to State, local or tribal child welfare agencies and private nonprofit organizations that have experience in working with foster children or children in kinship care arrangements for the purpose of helping children who are in, or at risk of entering, foster care to reconnect with family members.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grants are provided for services or activities that are consistent with the purpose of section 102, Public Law 110-351, and may include the following:

(1) a kinship navigator program to assist kinship caregivers in learning about, finding and using programs and services to meet the needs of the children they are raising and their own needs, and to promote effective partnerships among public and private agencies to ensure kinship caregiver families are served; (2) intensive family-finding efforts that utilize search technology to find biological family members for children in the child welfare system; (3) family group decision-making meetings for children in the child welfare system that enable families to make decisions and develop plans that nurture children and protect them from abuse and neglect; and (4) residential family treatment program that enable parent and their children to live in a safe environment for a period of not less than 6 months. 100% of funds are used for discretionary activities.

Applicant Eligibility:

State, local or tribal child welfare agencies and private nonprofit organizations that have experience in working with foster children or children in kinship care arrangements.

Beneficiary Eligibility:

Public or private nonprofit agencies or organizations or tribal child welfare agencies, working with foster children, children in kinship care arrangements or children at risk of entering foster care, that help the children reconnect with family members.

Credentials/Documentation:

Nonprofit agencies must submit proof of nonprofit status. Applicable costs and administrative procedures will be determined in accordance with Parts 74 and 92 of Title 45 of the Code of Federal Regulations. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Standard application forms as furnished by HHS must be used for this program. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Announcement of availability of funds is posted to www.Grants.gov. Eligible applicants submit applications by specified deadlines.

Award Procedure:

Grant applications are reviewed by a panel of non-federal professionals with expertise in the field of child welfare, particularly foster and kinship care.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 3 to 6 months. The deadline for the receipt of applications is stated in the Program Announcement.

Appeals:

Appeals are processed in accordance with HHS regulations in 45 CFR Part 16.

Renewals:

Projects are awarded with a provision for non-competitive renewal during the approved award period (one to three years).

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching Requirements: The required match must increase over the course of the grant period, such that grantees must contribute 25 percent of the program costs for the first and second year of the grant and 50 percent of the program costs for the third year of the three year grant. (Section 427(d) of the Social Security Act). No more than 50 percent of the non-federal share may be in kind, as required by Section 427(e) of the Act. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants are available for 36-month project period with three 12-month budget periods. Renewal funding for multiple-year grants is dependent upon grantee performance and availability of funds. Method of awarding/releasing assistance: by letter of credit.

Reports:

Grants must select and track performance indicators that measure the extent to which grant-funded activities and services enhance the safety, permanency and well-being of children and their families. PSC-272. Program progress reports are required semiannually with a final report at completion of the project.

Financial reports are required semiannually with a final report at completion of the project. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audits are conducted in accordance with requirements in 45 CFR 74 and 92.

Records:

All financial records are to be maintained for 3 years after termination of the project or until audit is completed, whichever comes first.

Account Identification:

75-1512-0-1-609.

Obligations:

(Project Grants (Discretionary)) FY 12 \$13,892,818; FY 13 est \$14,250,000; and FY 14 est \$14,000,000 - Of the \$15,000,000 appropriated each year, the authorizing legislation requires 3% of the funds to be set aside for evaluation and allows an additional 2% of funds to be set aside for technical assistance, each fiscal year.

Range and Average of Financial Assistance:

Awards will range from a floor of \$400,000 to a ceiling of \$750,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: In FY 2012, 17 new grants in the program areas of Kinship Navigator, Family-finding/Family Group Decision-Making and Residential Family Treatment. Fiscal Year 2013: It is estimated that 24 grants will be continued. Fiscal Year 2014: No current data available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Specific program requirements are contained in the Funding Opportunity Announcement, the application instructions, and the HHS Grants Policy Statement. The HHS Grants Policy Statement can be found at: <http://www.hhs.gov/grantsnet/>.

Regional or Local Office:

None.

Headquarters Office:

Cathy Overbaugh 1250 Maryland Avenue, S.W., 8th Floor, Washington, District of Columbia 20024 Email: cathy.overbaugh@acf.hhs.gov Phone: (202)205-7273

Website Address:

<http://www.acf.hhs.gov/programs/cb>

RELATED PROGRAMS:

93.556 Promoting Safe and Stable Families; 93.645 Child Welfare Services_State Grants; 93.648 Child Welfare Services Training Grants; 93.652 Adoption Opportunities; 93.669 Child Abuse and Neglect State Grants; 93.670 Child Abuse and Neglect Discretionary Activities

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Information of funded grant projects can be found at: <http://www.nrcpfc.org/grantees.html>. Fiscal Year 2013: No current data available. Fiscal Year 2014: No current data available.

CRITERIA FOR SELECTING PROPOSALS:

Applications are evaluated on the basis of the degree to which proposals meet specific objectives defined in the program announcement including, but not limited to: (1) relevance of proposed services and activities in enhancing the well-being of children in foster care, in kinship care arrangements, or at risk of foster care and in helping the children reconnect with family members; (2) demonstrated ability to conduct an effective kinship navigator program; provide intensive family-finding efforts that successfully utilize search technology;

conduct effective family group-decision making efforts or provide effective, comprehensive, residential family treatment programs; and (3) organizational capacity to collaborate with other service providers to achieve improved outcomes for the target population.

93.606 AFFORDABLE CARE ACT - PREPAREDNESS AND EMERGENCY RESPONSE LEARNING CENTERS

Preparedness and Emergency Response Learning Centers (PERLC)

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Section 319F(d), 42 U.S.C 247d-6(d); Patient Protection and Affordable Care Act, Title IV, Section 4002, Public Law 111-148.

OBJECTIVES:

The purpose of this program is to address legislative requirements, as stated in section 319F(d) of the Public Health Service (PHS) Act (42 USC 247d-6(d)), as part of a plan to improve the nations public health and medical preparedness and response capabilities for emergencies, whether deliberate, accidental, or natural. Accredited schools of public health will collaborate, develop and deliver core competency-based training and education that respond to the public health preparedness and response needs of state, local and tribal public health authorities, and emphasize essential public health security capabilities. The intent of this program is to meet the preparedness and response training and educational needs of the U.S. public health workforce by providing assistance to U.S. states, localities and tribal public health authorities within defined service areas.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funds may be used to support public health approaches to public health preparedness and response described in Section 0.50 above. The use of and use restrictions are as follows: Recipients may only expend funds for reasonable public health preparedness and response activities, and program purposes, including personnel, travel, supplies, and services, such as contractual arrangements with educational partners or consultants. Recipients may not use funds for research Recipients may not use funds for clinical care. Recipients may not generally use funding for construction or the purchase of furniture or equipment. Any such proposed spending must be identified in the budget.

Reimbursement of pre-award costs is not allowed. The recipient must perform a substantial role in carrying out project objectives and not merely serve as a conduit for an award to another party or provider who is ineligible.

Applicant Eligibility:

Eligible applicants for this project are accredited Schools of Public Health, as required by section 319F-2(d) of the Public Health Service Act. Only schools accredited by the Council on Education for Public Health are eligible.

Beneficiary Eligibility:

The general public, and federal state, local, and tribal public health preparedness programs that protect the public from all-hazards will benefit from the objectives of this program.

Credentials/Documentation:

Applicants must provide documentation of current accreditation by the Council on Education for Public Health to confirm eligibility. Applicants must document how the proposed research would address stated objectives of the program, outline the research methods to be followed, and provide a budget justification for funds. OMB Circular A-21 applies to this program. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Information on the submission of applications was obtained from the Grants Management Officer, Grants Management Branch, Procurement and Grants Office, Centers for Disease Control and Prevention. Telephone: (770) 488-2700. The standard application forms were used for this program as furnished by the Public Health Service and requirement by OMB Circular No. A-21 for educational institutions.

Award Procedure:

All applications that are complete and responsive to the FOA will undergo an objective review process in accordance with the review criteria stated in the FOA. Successful applicants will receive a Notice of Award (NOA) from the CDC Procurement and Grants Office. The NOA shall be the only binding, authorizing document between the recipient and CDC. The NOA will be signed by an authorized Grants Management Officer. Unsuccessful applicants will receive notification of the results of the application review by mail.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days.

Appeals:

Not Applicable.

Renewals:

Renewals will be based upon the availability of funding and satisfactory programmatic progress. Project period is for 5 years with 12 month budget periods. Applications must be obtained from Grants Management Officer, Grants Management Branch, Procurement and Grants Office, Centers for Disease Control and Prevention. Telephone: (770) 488-2700.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Project period is for 5 years with 12-month budget periods. See the following for information on how assistance is awarded/released: Notice of Award.

Method of awarding/releasing assistance: lump sum.

Reports:

Final financial status and performance reports are required 90 days after the end of a project period. No cash reports are required. Annual and semiannual progress reports are required. Financial status reports are required 90 days after the end of each budget period. Performance monitoring is maintained by oversight of Project Officers.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the grant program must be kept readily available for review by personnel authorized to examine PHS grant accounts. Financial records, supporting documentation, statistical records, and all other records pertinent to an award shall be retained for a minimum of 3 years, or until completion and resolution of any audit in process or pending resolution. In all cases records must be retained until resolution of any audit questions. Property records must be retained in accordance with 45 CFR 92.42 requirements.

Account Identification:

75-0943-0-1-550.

Obligations:

(Cooperative Agreements) FY 12 \$0; FY 13 est \$0; and FY 14 est \$0

Range and Average of Financial Assistance:

FY 2011 Actual: \$714,286 to \$714,286 Avg - \$714,286.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Code of Federal Regulations 45 CFR Part 74 for higher education, hospitals, or other nonprofit organizations and commercial organizations.

Regional or Local Office:

None.

Headquarters Office:

Van A. King OPHPR

NI600 Clifton Road

MS D-29

, Atlanta, Georgia 30029-4018 Email: vbk5@cdc.gov Phone: 4046395276

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be reviewed for completeness by the Procurement and Grants Office (PGO) staff and for responsiveness jointly by OPHPR and PGO. Incomplete applications and applications that are non-responsive to the eligibility criteria will not advance through the review process. Applicants will be notified the application did not meet submission requirements. CDC will conduct a review to evaluate complete and responsive applications according to the criteria listed in Section VI. Application Review Information, subsection Evaluation Criteria within the individual funding opportunity announcement. Applicants will be notified if their application did not meet program requirements.

93.607 AFFORDABLE CARE ACT - PREPAREDNESS AND EMERGENCY RESPONSE RESEARCH CENTERS: A PUBLIC HEALTH SYSTEMS APPROACH

Preparedness and Emergency Response Research Centers (PERRC)

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Section 319F(d)(7), 42 U.S.C 247d-6(d)(7); Patient Protection and Affordable Care Act, Title IV, Section 4002, Public Law 111-148.

OBJECTIVES:

The overall goal of this program is to support research to improve federal, state, local, and tribal public health preparedness and response capabilities. Recipients use a multidisciplinary public health systems research approach to examine the structure, capabilities, and performance of public health systems preparing for and responding to any and all potential threats and hazards. Research addresses one of the four research priority recommendations identified in an Institute of Medicine (IOM) Letter Report to yield results that achieve near-term impact on public health preparedness and response systems.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Funds may be used to support public health system research approaches to public health preparedness and response described in Section 0.50 above. The use of and use restrictions are as follows:

Recipients may only expend funds for reasonable public health preparedness and response research activities, and program purposes, including personnel, travel, supplies, and services, such as contractual arrangements with

research partners or consultants. Recipients may not use funds for clinical care.

Recipients may not generally use funding for the purchase of furniture or equipment. Any such proposed spending must be identified in the budget.

The recipient must perform a substantial role in carrying out project objectives and not merely serve as a conduit for an award to another party or provider who is ineligible.

Applicant Eligibility:

Eligible applicants for this project are accredited Schools of Public Health, as required by section 319F-2(d) of the Public Health Service Act. Only schools accredited by the Council on Education for Public Health are eligible.

Beneficiary Eligibility:

The general public, and federal state, local, and tribal public health preparedness programs that protect the public from all-hazards will benefit from the objectives of this program.

Credentials/Documentation:

Applicants must provide documentation of current accreditation by the Council on Education for Public Health to confirm eligibility. Applicants must document how the proposed research would address stated objectives of the program, outline the research methods to be followed, and provide a budget justification for funds. OMB Circular A-21 applies to this program. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Information on the submission of applications was obtained from the Grants Management Officer, Grants Management Branch, Procurement and Grants Office, Centers for Disease Control and Prevention. Telephone: (770) 488-2700. The standard application forms were used for this program as furnished by the Public Health Service and requirement by OMB Circular No. A-21 for educational institutions.

Award Procedure:

Applications that were complete and responsive to the Funding Opportunity Announcement (FOA) were evaluated for scientific and technical merit by an appropriate external peer review group convened by OPHPR in accordance with the review criteria stated in the FOA.

Successful applicants will receive a Notice of Award (NOA) from the CDC Procurement and Grants Office. The NOA shall be the only binding, authorizing document between the recipient and CDC. The NOA will be signed by an authorized Grants Management Officer.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days.

Appeals:

Not Applicable.

Renewals:

Renewal will be based upon the availability of funding and satisfactory programmatic progress. Project period is for 5 years with 12 month budget periods. Applications were obtained from Grants Management Officer, Grants Management Branch, Procurement and Grants Office, Centers for Disease Control and Prevention. Telephone: (770) 488-2700.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Project period is for 5 years with 12-month budget periods. See the following for information on how assistance is awarded/released: Notice of Award.

Method of awarding/releasing assistance: lump sum.

Reports:

Final financial status and performance reports are required 90 days after the end of a project period. No cash reports are required. Annual and semiannual progress reports are required. Financial status reports are required 90 days after the end of each budget period. Performance monitoring is maintained by oversight of Project Officers.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the grant program must be kept readily available for review by personnel authorized to examine PHS grant accounts. Financial records, supporting documentation, statistical records, and all other records pertinent to an award shall be retained for a minimum of 3 years, or until completion and resolution of any audit in process or pending resolution. In all cases records must be retained until resolution of any audit questions. Property records must be retained in accordance with 45 CFR 92.42 requirements.

Account Identification:

75-0943-0-1-550.

Obligations:

(Project Grants) FY 12 \$0; FY 13 est \$0; and FY 14 est \$0

Range and Average of Financial Assistance:

FY 2011 Actual: \$1,147,000 to \$1,509,000 FY 2011 Avg - \$1,250,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Code of Federal Regulations 45 CFR Part 74 for higher education, hospitals, or other nonprofit organizations and commercial organizations.

Regional or Local Office:

None.

Headquarters Office:

Van A. King OPHPR
1600 Clifton Road
Mailstop D-29
, Atlanta, Georgia 30333 Email: vbk5@cdc.gov Phone: 404-639-5276

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The following criteria were considered in making funding decisions:

Scientific merit of the proposed program project as determined by peer review

Availability of funds

Relevance of program priorities and the priorities of the U.S. Department of Health and Human Services

Preference for funding research program projects that address one or more federal, state, local, or tribal public health preparedness priority themes as determined by COTPER.

Preference for funding to achieve coverage of a range of priority themes for public health preparedness and emergency response systems research.

Preference for funding meritorious applications that leverage expertise from a broad range of disciplines to address a theme for public health preparedness

and emergency response systems research.

Preference for funding to achieve a geographical or regional distribution of awarded programs.

Preference for funding to achieve a balance for addressing preparedness and emergency response research needs in both urban and rural communities.

93.609 THE AFFORDABLE CARE ACT MEDICAID ADULT QUALITY GRANTS

Measuring and Improving the Quality of Maternity Care in Medicaid

FEDERAL AGENCY:

Centers for Medicare and Medicaid Services, Department of Health and Human Services

AUTHORIZATION:

The Patient Protection and Affordable Care Act (Pub. L. 111-148) Section 2701 Adult Health Quality Measures.

OBJECTIVES:

To support State Medicaid agencies in testing, collecting, and reporting the Initial Core Set of Health Care Quality Measures for Adults Enrolled in Medicaid to CMS. Additionally, the grant funding will also support States efforts to use this/these data for improving the quality of care for adults covered by Medicaid.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Each participating State Medicaid grantee will be required to implement a grant project with the following three aims:

1. Testing and evaluating methods for collection and reporting of the Initial Core Set Measures in varying delivery care settings (e.g. managed care, fee-for-service, long term care settings such as nursing homes and intermediate care facilities). States are encouraged to demonstrate alignment with existing methods and infrastructures for collection and reporting [e.g., Health Information Technology for Economic and Clinical Health (HITECH), Medicaid Management Information Systems (MMIS)];
2. Developing staff capacity to report the data, analyze, and use the data for monitoring and improving access and the quality of care in Medicaid; and
3. Conducting at least two Medicaid quality improvement projects related to the Initial Core Set Measures. States are encouraged to consider alignment with CMS or other Federal quality improvement activities such as the National Quality Strategy, Strong Start Initiative, Partnership for Patients, Million Hearts Initiative, etc. States may not use funds as the State's share of the Medicaid program costs or as supplemental Disproportionate Share Hospital (DSH) payments.

Applicant Eligibility:

Grant applicants are limited to the 51 State Medicaid Agencies and the Medicaid Agencies in the US Territories.

Beneficiary Eligibility:

N/A.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. The standard application form SF-424 and related forms must be used for this program. Applicants are encouraged to submit a non-binding Letter of Intent to Apply. Letters of Intent to Apply are not required and a states submission or failure to submit a letter has no bearing on the scoring of proposals received. Applicants should review

the application and submission information in the funding opportunity announcement for specific instructions on applying for this grant program.

Award Procedure:

CMS will make a decision for each application received. Each applicant will receive written notification of CMS decision. Applicants approved for a grant award must submit a letter of acceptance to CMS within 30 days of the date of award, agreeing to the terms and conditions of the award letter.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Grantee can receive a second year of funding based on first year performance and progress towards meeting the grant project goals outlined in the application as well as in the terms and conditions.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grants agreements are funded on a 12-month basis, with support for the second year (final year) contingent upon acceptable evidence of satisfactory progress, continuing program relevance, and availability of funds. The maximum time period for funding is 24 months. See the following for information on how assistance is awarded/released: Successful applicants will receive a Notice of Award. Unsuccessful applicants will be notified by letter. Applicants should review the award administration information of the funding opportunity announcement issued under this CFDA program for more information.

Reports:

Reports due are: two Semi-annual progress reports; one Annual progress report; performance measurement data submitted to CMS on least 15 Initial Core Measures; and one Final report addressing the entire two year grant. Applicants should review the individual funding opportunity announcement for information on programmatic and evaluation reporting requirements. Submit a quarterly electronic Federal Financial Report (FFR) via the Payment Management System. The quarterly report identifies cash transactions against the authorized funds for the grant. The FFR Cash Transaction Reports must be filed within 30 days of the end of each quarter. Failure to submit the report may result in the inability to access grant funds. Go to - www.dpm.psc.gov for additional information. The final FFR, including both cash transactions and expenditures, must be submitted and received within 90 days of the end of the project period. Applicants should review the individual funding opportunity announcement for information on programmatic reporting requirements. Grantees must include expenditures on the annual FFR (submitted at the end of the budget period). The final FFR must be submitted within 90 days after the end of the project period end date and include both cash transactions and expenditures data. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Recipients are required to maintain grant accounting records 3 years after the date the grant is officially closed. If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year

period, whichever is later.

Account Identification:

75-0511-0-1-550.

Obligations:

(Project Grants) FY 12 \$56,000,000; FY 13 est \$56,000,000; and FY 14 Estimate Not Available

Range and Average of Financial Assistance:

Grant awards up to \$1 million for each 12-month budget period, with an estimated total of up to \$2 million per Grantee over the two-year project period.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Refer to the Funding Opportunity Announcement for more information.

Regional or Local Office:

None. Michelle Feagins
Grants Management Officer
Centers for Medicare and Medicaid Services
Office of Acquisition and Grants Management
200 Independence Ave., S.W.
Room 733H-02
Washington, DC 20201

Health Insurance Specialist, Mark D. Smith
Centers for Medicare & Medicaid Services
7500 Security Boulevard
Baltimore, MD 21244-1850
Phone: 410-786-8015
E-mail: Mark.Smith@cms.hhs.gov.

Headquarters Office:

Michelle T. Feagins 200 Independence Ave., SW
Room 733H-02, Washington, District of Columbia 20201 Email:
michelle.feagins@cms.hhs.gov Phone: 301-492-4312

Website Address:

<http://www.medicaid.gov/Medicaid-CHIP-Program-Information/By-Topics/Quality-of-Care/Adult-Medicaid-Quality-Grants.html>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the Funding Opportunity Announcement for more information.

93.610 HEALTH CARE INNOVATION AWARDS (HCIA)

FEDERAL AGENCY:

Centers for Medicare and Medicaid Services, Department of Health and Human Services

AUTHORIZATION:

Section 1115A of the Social Security Act (added by Section 3021 of the Affordable Care Act).

OBJECTIVES:

The first round of the Health Innovation Awards (HCIA), announced on November 14, 2011, was a broad solicitation in which CMS welcomed a wide variety of proposals. In HCIA, Round One, CMS funded 107 Awardees who proposed compelling new models of service delivery/ payment improvements that showed substantial promise of delivering the Three-Part Aim of better health, better health care, and lower costs through improved quality for Medicare, Medicaid, and Childrens Health Insurance Program (CHIP) beneficiaries. Successful models included plans to rapidly develop and/or deploy the requisite workforce to support the proposed model. Awards recognized interventions that showed capability to improve care within the first 6 months of the award, while creating a sustainable pathway to net Medicare/Medicaid/CHIP savings within two to three years. These models of

service delivery and payment improvement are now entering Year Two of the three-year period of performance for Round One of the HCIA.

A second round of the Health Care Innovation Awards (HCIA) was announced on May 15, 2013. In HCIA Round Two, the Center for Medicare & Medicaid Services (CMS) will fund applicants who propose new service delivery models and design corresponding new payment models that show promise of providing better health, better health care, and lower costs through improved quality for Medicare, Medicaid, and Childrens Health Insurance Program (CHIP) enrollees.

In Round Two, CMS is specifically seeking new payment and service delivery models in four broad Innovation Categories, as follows:

Models that are designed to rapidly reduce Medicare, Medicaid, and/or CHIP costs in outpatient and/or post-acute settings. Priority areas are diagnostic services, outpatient radiology, high-cost physician-administered drugs, home based services, therapeutic services, and post-acute services. While preference will be given to submissions within these priority areas, CMS will consider submissions in other outpatient and/or post-acute areas within this Category.

Models that improve care for populations with specialized needs. Priority areas are high-cost pediatric populations, children in foster care, children at high risk for dental disease, adolescents in crisis, persons with Alzheimers disease, persons living with HIV/AIDS (in particular, efforts to link and retain patients in care and improve medication adherence that lead to viral suppression), persons requiring long-term support and services, and persons with serious behavioral health needs. While preference will be given to submissions within these areas, CMS will consider submissions that improve care for other populations with specialized needs.

Models that test approaches for specific types of providers to transform their financial and clinical models. Priority areas are models designed for physician specialties and subspecialties (for example, oncology and cardiology), and for pediatric providers who provide services to children with complex medical issues (including but not limited to care for children with multiple medical conditions, behavioral health issues, congenital disease, chronic respiratory disease, and complex social issues); and that include, as appropriate, shared decision-making mechanisms to engage beneficiaries and their families and/or caregivers in treatment choices. While preference will be given to submissions within these areas, CMS will consider submissions in other areas within this Category and from other specific types of non-physician providers.

Models that improve the health of populations defined geographically (health of a community), clinically (health of those with specific diseases), or by socioeconomic class through activities focused on engaging beneficiaries, prevention (for example, a diabetes prevention program or a hypertension prevention program), wellness, and comprehensive care that extend beyond the clinical service delivery setting. These models may include community based organizations or coalitions and may leverage community health improvement efforts. These models must have a direct link to improving the quality and reducing the costs of care for Medicare, Medicaid, and/or CHIP beneficiaries. Priority areas are: models that lead to better prevention and control of cardiovascular disease, hypertension, diabetes, chronic obstructive pulmonary disease, asthma, and HIV/AIDS; models that promote behaviors that reduce risk for chronic disease, including increased physical activity and improved nutrition; models that promote medication adherence and self-management skills; models that prevent falls among older adults; and broader models that link clinical care with community-based interventions. While preference will be given to submissions within these areas, CMS will consider submissions in other areas within this Category.

These categories were identified as gaps in the current Innovation Center portfolio and as areas that could result in potentially usable models for changes in Medicare, Medicaid, and CHIP payment methods. This round of Innovation Awards encourages a strong focus on Medicaid and CHIP populations. In addition, models that primarily focus on acute hospital inpatient care are excluded from this round and will not be reviewed. (Hospitals are eligible to apply for awards if they propose a model within one of the four Innovation Categories described below.)

In Round Two in contrast to the first round CMS specifically seeks new payment models to support the service delivery models funded by this initiative. Awardees will be required to implement the service delivery models at the start of the three-year cooperative agreement period and submit a fully developed new Medicare, Medicaid, or CHIP payment model by the end of the cooperative agreement period. Successful applicants will demonstrate that they

can implement a model that improves quality of care and reduces cost within the first six months of the award and delivers net savings to CMS within three years. At its discretion and consistent with the requirements of Section 1115A of the Social Security Act, CMS may further develop one or more of these payment and service delivery models and open them to participation through a subsequent solicitation.

Do not rely on this Catalog of Federal Domestic Assistance announcement for complete and precise answers about the Health Care Innovation Awards. The final authority on all matters, including but not limited to application procedures, format of proposals, deadlines, criteria, eligibility, model test requirements, and the nature of the Funding Opportunity, is the appropriate Funding Opportunity Announcement itself, either for Round One or Round Two of the Health Care Innovation Awards.

For specific details about Round Two of the initiative, see the Funding Opportunity Announcement at <https://www.grantsolutions.gov/gs/preaward/previewPublicAnnouncement.do?id=17996>.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

The funds shall be used to implement models that support system transformation toward higher quality care at lower costs, and to plan and develop complementary payment models. Award dollars may be used for specific components, devices, equipment, software, analytical tools, or personnel provided that are integrated into the service delivery and payment model. Award dollars cannot be used to make permanent improvements to property not owned by the federal government; minor alterations and renovations are permissible under certain circumstances that will be described in the Financial Plan template (to be provided on or about June 14, 2013 on the Innovation Center website at <http://innovation.cms.gov>). CMS will not fund proposals that duplicate models that CMS is currently testing in other initiatives (see Section I.4) or other proposals being investigated elsewhere in HHS. CMS will not fund the provision of services to non-CMS beneficiaries. CMS will not fund applicants that cannot monitor, self-evaluate, and report on the progress and impact of their model in a timely manner. In Round Two, CMS will not fund models that focus primarily on acute hospital inpatient care.

All equipment, staff, other budgeted resources, and expenses must be used exclusively for the project identified in the awardees original cooperative agreement application or agreed upon subsequently with HHS, and may not be used for any prohibited uses.

Award dollars cannot be used

For specific components, devices, equipment, software, or personnel that are not integrated into the entire service delivery and payment model proposal.

To match any other Federal funds.

To provide services, equipment, or supports that are the legal responsibility of another party under Federal or State law (e.g., vocational rehabilitation or education services) or under any civil rights laws. Such legal responsibilities include, but are not limited to, modifications of a workplace or other reasonable accommodations that are a specific obligation of the employer or other party.

To supplant existing State, local, or private funding of infrastructure or services, such as staff salaries, etc.

To be used by local entities to satisfy state matching requirements.

To pay for the use of specific components, devices, equipment, or personnel that are not integrated into the entire service delivery and payment model proposal. Award dollars cannot be used for specific components, devices, equipment, or personnel that are not integrated into the entire service delivery and payment model proposal. CMS will not fund proposals that replicate models that CMS is currently testing in other initiatives. Finally, given the breadth of models that could be submitted, CMS will not fund proposals that cannot monitor, evaluate, and report on the progress and impact of their program in a timely manner.

Funding Restrictions Indirect Costs

Indirect costs will be capped at 20% or the applicants Federally negotiated indirect cost rate or the applicants provisional rate, whichever of these is lowest. Applicants may elect to waive their Federally negotiated indirect cost rate. If requesting indirect costs, a Federally negotiated Indirect Cost Rate

Agreement will be required.

Funding Restrictions Direct Services

Cooperative Agreement funds may not be used to provide individuals with services that are already funded through Medicare, Medicaid and/or CHIP. In compliance with the OMB Circulars, which define allowed cost, funding from the Innovation Center may not supplant funding for services that are currently authorized through the Medicaid State Plan. This also applies to funding provided through waivers or other grants, including federal grants. Travel or participation in conferences may require CMS approval.

Funding Restrictions Reimbursement of Pre-Award Costs

No cooperative agreement funds awarded under this solicitation may be used to reimburse pre-award costs.

The following standard requirements apply to applications and awards under this FOA:

Specific administrative requirements, as outlined in 2 CFR Part 225 and 45 CFR Part 92, apply to cooperative agreement awarded under this announcement.

All awardees under this project must comply with all applicable Federal statutes relating to nondiscrimination including, but not limited to:

- o Title VI of the Civil Rights Act of 1964,
- o Section 504 of the Rehabilitation Act of 1973,
- o The Age Discrimination Act of 1975,
- o Hill-Burton Community Service nondiscrimination provisions, and
- o Title II Subtitle A of the Americans with Disabilities Act of 1990. The funds shall be used to implement and evaluate models that support system transformation toward higher quality of care at lower costs. Award dollars cannot be used for specific components, devices, equipment, or personnel that are not integrated into the entire service delivery and payment model proposal. CMS will not fund proposals that replicate models that CMS is currently testing in other initiatives. Finally, given the breadth of models that could be submitted, CMS will not fund proposals that cannot monitor, evaluate, and report on the progress and impact of their program in a timely manner.

The following standard requirements apply to applications and awards under this FOA:

Specific administrative requirements, as outlined in 2 CFR Part 225 and 45 CFR Part 92, apply to cooperative agreement awarded under this announcement.

All awardees under this project must comply with all applicable Federal statutes relating to nondiscrimination including, but not limited to:

- o Title VI of the Civil Rights Act of 1964,
- o Section 504 of the Rehabilitation Act of 1973,
- o The Age Discrimination Act of 1975,
- o Hill-Burton Community Service nondiscrimination provisions, and
- o Title II Subtitle A of the Americans with Disabilities Act of 1990,

All equipment, staff, other budgeted resources, and expenses must be used exclusively for the project identified in the awardees original cooperative agreement application or agreed upon subsequently with HHS, and may not be used for any prohibited uses.

Prohibited Uses of Cooperative Agreement Funds

To match any other Federal funds.

To provide services, equipment, or supports that are the legal responsibility of another party under Federal or State law (e.g., vocational rehabilitation or education services) or under any civil rights laws. Such legal responsibilities include, but are not limited to, modifications of a workplace or other reasonable accommodations that are a specific obligation of the employer or other party.

To supplant existing State, local, or private funding of infrastructure or services, such as staff salaries, etc.

To be used by local entities to satisfy State matching requirements.

To pay for the use of specific components, devices, equipment, or personnel that are not integrated into the entire service delivery and payment model proposal.

Applicant Eligibility:

Round One of HCIA sought to attract a wide variety of health care innovators and organizations, including: provider groups, health systems, payers and other

private sector organizations, faith-based organizations, local governments, and public-private partnerships. In addition, certain organizations (such as professional associations) were eligible to apply as conveners assembling and coordinating the efforts of a group of participants. Conveners could serve as facilitators or could be direct award recipients. States were not eligible to apply to HCIA Round One.

HCIA Round Two seeks to engage with a wide variety of innovators. Welcome to apply are interested parties that meet the eligibility requirements specified in the Funding Opportunity Announcement, have developed innovations that will drive significant improvement in population health, quality of care, and total cost of care, and can create a clear pathway to an alternate payment model based on their innovation. Examples of the types of organizations expected to apply are: provider groups, health systems, payers and other private sector organizations, faith-based organizations, state and/or local governments, territories or possessions, academic institutions, research organizations, public-private partnerships, and for-profit organizations. By state, we refer to the definition provided under 45 CFR 74.2 as "any of the several States of the U.S., the District of Columbia, the Commonwealth of Puerto Rico, (or) any territory or possession of the U.S." By territory or possession, we mean Guam, the U.S. Virgin Islands, American Samoa, and the Commonwealth of the Northern Mariana Islands. In addition, certain organizations may apply as conveners that assemble and coordinate the efforts of a group of participants. Unsuccessful applicants from prior CMS funding competitions are eligible to apply. Technology-based models need to reflect the actual use, not the development, of a product in a broader service delivery or payment model.

For specific details on eligibility, see the Funding Opportunity Announcement at <https://www.grantsolutions.gov/gs/preaward/previewPublicAnnouncement.do?id=17996>.

Beneficiary Eligibility:

The Health Care Innovation Awards initiative will fund applicants who propose the most compelling new service delivery and payment models that will drive system transformation and deliver better outcomes for Medicare, Medicaid, and CHIP beneficiaries. Proposals should be focused on innovative approaches to improving health and lowering costs for high risk/high opportunity populations, including Medicare, Medicaid, and CHIP beneficiaries. In Round Two, proposals should focus, in particular, on beneficiary care and payment in the four Innovation Categories described under the heading, Objectives (050), above.

Round Two of the Innovation Awards encourages a strong focus on Medicaid and CHIP populations. However, CMS recognizes that in order for providers to have meaningful incentives to change their service delivery models they must engage multiple payers. Therefore, applications must include a feasible approach for securing participation of multiple payers for their proposed models. This could include demonstrable commitments from current payer partners, current contracts, letters of support or commitment from private insurers, state governments, or local governments. Preference will be given to applications that include participation by non-CMS payers at the outset of the models implementation. Funding from CMS can only be used to provide care for beneficiaries of Medicare, Medicaid, and CHIP.

For specific details, see the Funding Opportunity Announcement at <https://www.grantsolutions.gov/gs/preaward/previewPublicAnnouncement.do?id=17996>.

Credentials/Documentation:

Applicants should review the solicitation criteria to determine the required documentation. OMB Circular No. A-87 applies to this program. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. For HCIA Round Two, Letters of Intent to Apply must be received by 3:00 pm Eastern Daylight Time on June 28, 2013. Failure to submit a Letter of Intent to Apply will disqualify the application from that organization from being reviewed. The information specified for the Letter of

Intent to Apply must be provided through an online form. In addition to required Standard Forms, as described in the Funding Opportunity Announcement, applications for HCIA Round Two should include a Cover Letter (to be enclosed with the project narrative), a Project Abstract, and a Project Narrative that includes the following sections:

Model Design.

Organizational Capacity.

Return on Investment, which should include a Financial Plan signed by the chief financial officer of the applicant organization, a Model Sustainability Plan, and if \$10 million or more is requested an Actuarial Review. Applicants requesting less than \$10 million are encouraged but not required to submit an external actuarial review.

Monitoring, Reporting, and Evaluation.

Funding and Sustainability, including a Budget Narrative that gives a yearly breakdown of costs for the 3-year model period.

Supplementary Materials, including an Operational Plan, an Executive Overview, and documentation related to financial projections, profiles of participating organizations, relevant letters of endorsement, etc.

For more detail about application requirements, including required registrations and the format, size, structure, and content of the application package, consult the Funding Opportunity Announcement, available online at <https://www.grantsolutions.gov/gs/preaward/previewPublicAnnouncement.do?id=17996>.

Award Procedure:

There are a number of differences between the criteria and review processes for Round One and Round Two of the HCIA. In Round One, the Innovation Center made 107 awards ranging from approximately \$1 million to \$26.5 million for a three-year period. Cooperative agreements were awarded with consideration to: (1) available funding; (2) geographic diversity; and (3) the quality of each application and the ability to meet the goals of the project. In the first round, less than 5% percent of applications were funded. Profiles of Awardees are available on the CMS website at <http://innovation.cms.gov/>. The CMS Innovation Center announced cooperative agreements for Round One of HCIA in two batches, with the first batch of awardees for Round One of HCIA announced on May 8, 2012 and the second (final) batch for Round One announced on June 15, 2012. Applications in Round One were scored with a total of 100 points available. The following criteria were used to evaluate Round One applications received in response to this solicitation.

Round One Criteria

Design of Project (30 points)
Organizational Capacity and Management Plan (25 points)
Workforce Goals (15 points)
Budget, Budget Narrative, Financial Plan and Sustainability (20 points)
Evaluation and Reporting (10 points)

In Round Two, applications will be scored with a total of 100 points available. The following criteria will be used to evaluate applications received in response to this solicitation.

Round Two Criteria

Design of Proposed Model (25 points)
Organizational Capacity and Management Plan (25 points)
Return on Investment (20 points)
Budget, Budget Narrative, and Model Sustainability (20 points)
Monitoring and Reporting (10 points)

For specific details about criteria for evaluation being used for Round Two of the initiative, see the Funding Opportunity Announcement at <https://www.grantsolutions.gov/gs/preaward/previewPublicAnnouncement.do?id=17996>.

Review Process: A team consisting of HHS staff from outside CMMI and other outside experts will review all eligible applications. The review process will include the following steps:

Prior to submission of the application to the review panel, a preliminary

eligibility screen will be conducted by CMS staff or CMS contractors to ensure that the technical requirements of the application are met.

Applications will be screened again to determine eligibility for further review using criteria detailed in this solicitation and in applicable law, including 2 CFR Parts 180 and 376.

The review panel will assess each application to determine the merits of the proposal and the extent to which the proposed model furthers the purposes of Health Care Innovation Awards Round Two. Reviewers will award points in each area to determine scores. CMS reserves the right to request that applicants revise or otherwise modify their proposals and budget based on the recommendations of the panel.

Concurrently, the CMS Office of the Actuary will assist the GMO in review of the reasonableness of the estimated cost to the government, and will review the potential for federal savings. This review will be one of the criteria for the CMS Approving Official to consider during the application review process. The CMS Approving Official may utilize information provided by the CMS Actuaries assessment of applicants potential for savings in determining award recipients.

The results of the objective review of the applications by qualified experts will be used to advise the CMS Approving Official. Final award decisions will be made by the CMS Approving Official, guided by recommendations of the review panel and by programmatic concerns.

CMS intends to fund models in communities with a wide variety of geographic and socio- economic characteristics, including underserved urban and rural areas. CMS reserves the right to approve or deny any or all proposals for funding. Note that section 1115A of the Social Security Act states that there is no administrative or judicial review under sections 1869 or 1878 of the Act for the selection of organizations, sites, or participants to test models under section 1115A of the Act.

Interviews may be conducted with applicants prior to selection in order to clarify Application and Submission Information as needed. CMS reserves the right to request that applicants revise or otherwise modify their proposals and budget based on the recommendations of the panel and the review of the CMS Approving Official. Successful applicants will receive one cooperative agreement award issued under this announcement. Unsuccessful applicants may request reviewers comments.

For specific details about the Review Process for Round Two of the initiative, see the Funding Opportunity Announcement at <https://www.grantsolutions.gov/gs/preaward/previewPublicAnnouncement.do?id=17996>.

The Announcement and Award dates for Round Two are:

Funding Opportunity Announcement: May 15, 2013
Anticipated Awardee Announcements: Phase 1 January 15, 2014; Phase 2 January 31, 2014
Anticipated Notice of Cooperative Agreement Award: Phase 1 and Phase 2 February 28, 2014

Award Notices for Round Two of the HCIA: The Authorized Official of successful applicants will receive an electronic Notice of Award (NoA) signed and dated by the CMS Grants Management Officer that will set forth the amount of the award and other pertinent information. The award will also include standard Terms and Conditions, and may also include additional specific cooperative agreement terms and conditions. Potential applicants should be aware that special requirements could apply to cooperative agreement awards based on the particular circumstances of the effort to be supported and/or deficiencies identified in the application by the review panel. The NoA is the legal document issued to notify the awardee that an award has been made and that funds may be requested from the HHS payment system. Any communication between CMS and awardees prior to issuance of the NoA is not an authorization to begin performance of a model.

Unsuccessful applicants will be notified by letter, sent through the U.S. Postal Service to the applicant organization as listed on its SF 424, within 30 days of announcement of Notices of Award.

The award procedures for Round Two are revised versions of those stipulated for Round One. For more details, see the Funding Opportunity Announcement at

<https://www.grantsolutions.gov/gs/preaward/previewPublicAnnouncement.do?id=17996>.

Deadlines:

Jun 28, 2013 to Aug 15, 2013 The deadlines for Round One were: Required Letter of Intent was due: December 19, 2011. Proposals for Round One were due: January 27, 2012.

The deadlines for Round Two are: Required Letter of Intent Due Date: June 28, 2013, by 3:00 p.m. Eastern Daylight Time Application Due Date: August 15, 2013, by 3:00 p.m. Eastern Daylight Time.

Range of Approval/Disapproval Time:

For Round One:

The CMS Innovation Center announced cooperative agreements for Round One of HCIA in two batches, with the first batch of awardees for Round One of HCIA announced on May 8, 2012 and the second (final) batch for Round One announced on June 15, 2012.

For Round Two:

Anticipated Notice of Cooperative Agreement Award: Phase 1 and Phase 2 February 28, 2014.

Appeals:

CMS reserves the right to approve or deny any or all proposals for funding. Note that section 3021 of the Affordable Care Act establishes title XI, section 1115A of the Social Security Act, which creates the Center for Medicare and Medicaid Innovations (CMMI). Section 1115A(d)(2) states that there is no administrative or judicial review of the selection of organizations, sites, or participants to test models.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. N/A.

This program does not have MOE requirements. No MOE Requirements.

Length and Time Phasing of Assistance:

For Round One, the anticipated period of performance for the 3-year project period is July 1, 2012 through June 30, 2015.

For Round Two, the anticipated period of performance for the 3-year model period is April 1, 2014 to March 31, 2017. The budget period is 12 months. See the following for information on how assistance is awarded/released: Awards will be made through Cooperative Agreements.

Reports:

The reporting requirements for Round Two differ in some respects from Round Ones reporting requirements. Round One applicants were expected to include in their proposals a description of their plans for collecting and producing the data and analysis of the model that would be provided to CMS and its evaluation and monitoring contractors. Careful monitoring and reporting of the effect of the model on the quality of care received as well as health care outcomes and costs are expected. Awardees agreed to cooperate with any Federal evaluation of the program and provide quarterly, semi-annual (every 6 months), annual, and final (at the end of the cooperative agreement period) reports in a form prescribed by CMS, and submitted electronically. These reports outline how cooperative agreement funds are used, describe program progress, and describe any barriers encountered and measurable outcomes. CMS provides the format for program reporting and the technical assistance necessary to complete required report forms. Awardees also agreed to respond to requests for information necessary for the evaluation of national efforts and for data on key elements of their own cooperative agreement activities. For Round Two, the application must include a description of the applicants plan for collecting and producing the data and analysis of the model that will be

provided to CMS and its evaluation and monitoring contractors. The application must include detailed information on the self-monitoring plan. Careful monitoring and reporting of the effect of the model on the quality of care received as well as health care outcomes and costs are expected. Awardees also will be required to monitor patient satisfaction with their care experience by using validated tools that measure the patient experience of care. Monitoring reports must be provided to CMS quarterly and they must include information on the use of cooperative agreement funding and an assessment of model implementation, lessons learned, patient experience, quality improvements, clinical outcomes, and estimates of cost savings. Note that awardees will also be required to cooperate fully with the monitoring and evaluation contractors in reporting data that they require for the model evaluations.

CMS plans to conduct rigorous evaluation of each of these models through a separate evaluation contract. This work will entail establishing treatment and control or comparison groups and measuring the model effects on costs and outcomes. Applicants will be expected to facilitate evaluation contractor work in these areas by providing all necessary information and access required for the evaluation. Awardees may be required to report information in standard format and measure and report outcomes in a standardized way, if requested by the evaluation contractor.

Awardees will be measured and monitored on their ability to execute their proposed operational work plan submitted as part of their application. Key components of this monitoring will include, but are not limited to:

- Meeting proposed milestones and deliverables;
- Producing timely and accurate reports with clear progress on quality and cost performance as described above; and
- Building and/or enhancing required infrastructure.

Award recipients will be required to report their actual performance on cost and quality outcomes and operational performance, and CMS will regularly monitor the results. Awardees will be required to provide, or cooperate in providing, as applicable, the necessary data elements to CMS.

In addition to this self-monitoring and self-evaluation, CMS will also collect from awardees a standard minimum set of performance indicators through CMS monitoring and evaluation contractors. CMS will contract with independent entities to assist in monitoring the models and to conduct an independent evaluation.

For specific details on monitoring, reporting, and evaluation requirements for Round Two of the HCIA, see the Funding Opportunity Announcement at <https://www.grantsolutions.gov/gs/preaward/previewPublicAnnouncement.do?id=17996>. No cash reports are required. CMS plans to collect data elements to be part of monitoring for all of the different models, and these monitoring and surveillance elements will feed into the evaluation. All awardees will be required to cooperate in providing the necessary data elements to CMS or a CMS contractor.

Data for monitoring will include process, safety, and performance measures. It will include, but will not be limited to, data on the background characteristics of the target population and target area, data characterizing the activities of the model, and a battery of follow-up data describing relevant characteristics of the target population or target area and metrics at selected intervals after commencement of the model. This will include detailed information on model participant (i.e., beneficiaries participating in the model) characteristics and outcomes reported in a standard format. Data for monitoring will be collected from awardees and/or CMS claims data sources. The monitoring aspect of this initiative will balance the examination of the extent to which awardees demonstrate fidelity to their proposed models of care and the potential need to make mid-course corrections that improve the models of care based on feedback from the monitoring and evaluation findings. Moreover, the evaluation will assess whether there are unintended consequences as a result of the model. Each proposed model in this portfolio is expected to submit a Financial Plan and supplemental narrative and schedules that provide an explanation of how it expects to meaningfully reduce medical cost trend for their identified population. The Financial Plan requires awardees to provide the following:

Uses of Funds: Awardees should enter proposed use of funds in the Financial

Plan template. These funds must match Form SF 424A. Applicants should provide yearly line-item projections on how awarded funds will be allocated. The total use of funds will sum to the requested award.

Savings:

o Data under Current Census should describe services currently provided to program participants. Applicants are requested to provide data on the number of participants in applicant programs, the current baseline Per Beneficiary Per Year (PBPY) Total Cost of Care for Participants touched by programs, and the proposed percent cost reduction the award funding will facilitate in those costs.

o Data under Proposed Expansion should describe the impact on the proposed expansion population that award funding will facilitate. Applicants are requested to provide data on the number of participants targeted, the baseline PBPY Total Cost of Care for participants in targeted expansion area, and the proposed percent cost reduction the award funding will facilitate.

Applicants are required to provide supplemental narrative and supporting schedules with detailed information on the specific intervention expected to reduce cost and the estimated reduction in expenditures by funding source resulting from said intervention by service type and by funding source, including estimates for the number of affected individuals. Applicants should provide backup documentation, e.g., research studies, evidence of reduced cost from existing intervention, et cetera, as available. Applicants are encouraged to provide clear, detailed reports to facilitate objective, data-driven reviews. CMS plans to collect data elements to be part of monitoring for all of the different models, and these monitoring and surveillance elements will feed into the evaluation. All awardees will be required to cooperate in providing the necessary data elements to CMS or a CMS contractor. Data for monitoring will include process, safety and performance measures. It will include, but will not be limited to, data on the background characteristics of the target population and target area, data characterizing the activities of the program, and a battery of follow-up data describing relevant characteristics of the target population or target area and metrics at selected intervals after commencement of the program model. Data for monitoring will be collected both from awardees and or CMS claims data sources. The program monitoring aspect of this initiative will balance the examination of the extent to which awardees demonstrate fidelity to their proposed models of care and the potential need to make mid-course corrections that improve the models of care based on feedback from the monitoring and evaluation findings. Moreover, the evaluation will assess whether there is evidence of harm or unintended consequences as a result of the intervention.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

See Reports (111) above.

Account Identification:

75-0522-0-1-551.

Obligations:

(Salaries) FY 12 \$280,100,000; FY 13 est \$308,300,000; and FY 14 est \$506,500,000 - This total includes both Round One and Round Two awards. For Round One, estimated obligations by fiscal year for the program are as follows:

FY2012 \$280.1

FY2013 \$308.3

FY2014 \$306.5

For more details, see the Funding Opportunity Announcement at <https://www.grantsolutions.gov/gs/preaward/previewPublicAnnouncement.do?id=17996>.

Range and Average of Financial Assistance:

In Round Two of the Health Care Innovation Awards, the Innovation Center expects to make up to \$900 million in funding available to support a diverse portfolio of new and innovative payment and service delivery models that will reduce the cost of health care and improve its quality in Medicare, Medicaid, and/or CHIP. CMS intends to fund the best qualified applications within the scope of available funds. CMS estimates that there will be approximately 100 awards, with a range of approximately \$1 million to \$30 million per award; however CMS is not obligated to fund a minimum number of applicants, or to distribute a minimum amount of funds available for the second round of Health Care Innovation Awards.

Cooperative agreements will be awarded with consideration to the criteria listed above under Award Procedure (093). Awardees might not receive the award amount requested and might be asked to adjust the service delivery model, payment model, work plan, budget, or other application deliverable. For specific details, see the Funding Opportunity Announcement at <https://www.grantsolutions.gov/gs/preaward/previewPublicAnnouncement.do?id=17996>.

In Round One, the Innovation Center made 107 awards ranging from approximately \$1 million to \$26.5 million for a three-year period. Cooperative agreements were awarded with consideration to: (1) available funding; (2) geographic diversity; and (3) the quality of each application and the ability to meet the goals of the project. In the first round, less than 5% percent of applications were funded. Profiles of Awardees are available on the CMS website at <http://innovation.cms.gov/>.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None. All programmatic questions about the Health Care Innovation Awards Round Two must be directed to the initiative email address:

InnovationAwards@cms.hhs.gov. Responses to Frequently Asked Questions will be posted on <http://innovation.cms.gov>.

Headquarters Office:

Sheila Hanley 7500 Security Blvd., Baltimore, Maryland 21207 Email: InnovationAwards@cms.hhs.gov Phone: 410-786-7724

Website Address:

<http://innovation.cms.gov>

RELATED PROGRAMS:

93.536 The Affordable Care Act Medicaid Incentives for Prevention of Chronic Disease Demonstration Project; 93.537 Affordable Care Act Medicaid Emergency Psychiatric Demonstration ; 93.624 ACA - State Innovation Models: Funding for Model Design and Model Testing Assistance

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

See Award Procedures (093) Above.

93.611 STRONG START FOR MOTHERS AND NEWBORNS

FEDERAL AGENCY:

Centers for Medicare and Medicaid Services, Department of Health and Human Services

AUTHORIZATION:

The Patient Protection and Affordable Care Act, Public Law 111-148, Section 3021, Innovation Center Authority.

OBJECTIVES:

The Centers for Medicare and Medicaid Services (CMS), Center for Medicare and Medicaid Innovation (Innovation Center), is interested in testing new care and payment models that have the potential to improve perinatal outcomes for women enrolled in Medicaid or CHIP who are at high-risk for adverse pregnancy outcomes.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Cooperative agreement awards made to successful applicants will be provided to augment and coordinate with pre-existing State-provided prenatal care services and benefits to test whether more comprehensive prenatal care services, as are to be enabled in these awards, lead to better birth outcomes for high risk women and infants, per inclusion in a rigorous evaluation study framework and program improvement initiative. States may not use funds as the State's share of the Medicaid program costs or as supplemental Disproportionate Share Hospital (DSH) payments. This funding may not be duplicative of other Federal funding opportunities for information technology capacity building such as assistance provided through Medicaid, ARRA, HITECH, ONC or other CMS initiatives. The intent of this proposal is to complement, not supplant any of these or other Federal programs. States may not use funds as the State's share of the Medicaid program costs or as supplemental Disproportionate Share Hospital (DSH) payments. This funding may not be duplicative of other Federal funding opportunities for information technology capacity building such as assistance provided through Medicaid, ARRA, HITECH, ONC or other CMS initiatives. The intent of this proposal is to complement, not supplant any of these or other Federal programs.

Applicant Eligibility:

The target applicants for this solicitation are providers (e.g., specific service providers, clinician groups and/or hospitals); States applying in partnership with providers; managed care organizations (MCOs) applying in partnership with providers; and conveners applying in partnership with providers. All applicants not directly providing prenatal care services (i.e. States, MCOs, and conveners) are expected to partner with providers of obstetrical care services and proposed enhanced prenatal care services. Included within the definition of State, for the purposes of this FOA are the District of Columbia and the 5 U.S. Territories: American Samoa, Guam, Northern Mariana Islands, Puerto Rico, and the U.S. Virgin Islands. Faith-based organizations, Community-based organizations, and Tribal organizations are also eligible to apply.

States, managed care organizations, and conveners must include letters of agreement from their provider partners who will be carrying out the intervention as described in the proposal. Partnerships with public health organizations are encouraged, as are multi-state collaborations.

Beneficiary Eligibility:

Women enrolled in Medicaid and/or CHIP.

Credentials/Documentation:

Not Applicable. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. The standard application form SF-424 and related forms must be used for this program. Applicants should review the application and submission information in the Funding Opportunity Announcement (FOA) for specific instructions on applying for this cooperative agreement program.

Award Procedure:

CMS will make a decision for each application received. Each applicant will receive written notification of CMS' decision. Applicants approved for an award must submit a letter of acceptance to CMS within 30 days of the date of the award, agreeing to the terms and conditions of the award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Cooperative agreements include three years of service delivery and an additional year for data reporting requirements on births of intervention infants. The awardee must annually submit a formal request for continuation accompanied by a progress report which will be evaluated prior to a recommendation of continuation. Upon notification of the award, awardees will receive initial funding for the first year or 12-months of their program, to be used for implementation of the proposed intervention. Non-competing continuation awards will be awarded for each additional year of the award, contingent upon availability of funding, awardee performance, and demonstrated progress toward the goal of reducing premature births.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Cooperative agreements are generally funded on a 12-month basis, with support beyond the first year contingent upon acceptable evidence of satisfactory progress, continuing program relevance, and availability of funds. See the following for information on how assistance is awarded/released: Successful applicants will receive a Notice of Award. Unsuccessful applicants will be notified by letter. Applicants should review the award administration information in the funding opportunity announcement issued under this CFDA program for more information. See the following for information on how assistance is awarded/released: Successful applicants will receive a Notice of Award. Unsuccessful applicants will be notified by letter. Applicants should review the award administration information in the funding opportunity announcement issued under this CFDA program for more information.

Reports:

Applicants should review the individual funding opportunity announcement for information on programmatic and evaluation reporting requirements. Submit a quarterly electronic Federal Financial Report (FFR) via the Payment Management System. The quarterly report identifies cash transactions against the authorized funds for the cooperative agreement. The FFR Cash Transaction Reports must be filed within 30 days of the end of each quarter. Failure to submit the report may result in the inability to access cooperative agreement funds. Go to - www.dpm.psc.gov for additional information. The final FFR, including both cash transactions and expenditures, must be submitted and received within 90 days of the end of the project period. Applicants should review the individual funding opportunity announcement for information on programmatic and evaluation reporting requirements. Grantees must include expenditures on the annual FFR (submitted at the end of each budget period). The final FFR must be submitted within 90 days after the end of the project period end date and include both cash transactions and expenditures data. No performance monitoring is required. Submit a quarterly electronic Federal Financial Report (FFR) via the Payment Management System. The quarterly report identifies cash transactions against the authorized funds for the cooperative agreement. The FFR Cash Transaction Reports must be filed within 30 days of the end of each quarter. Failure to submit the report may result in the inability to access cooperative agreement funds. Go to - www.dpm.psc.gov for additional information. The final FFR, including both cash transactions and expenditures, must be submitted and received within 90 days of the end of the project period. Applicants should review the individual funding opportunity announcement for information on programmatic and evaluation reporting requirements. Grantees must include expenditures on the annual FFR (submitted at the end of each budget period). The final FFR must be submitted within 90 days after the end of the project period end date and include both cash transactions and expenditures data. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Proper accounting records, identifiable by project number including all receipts and expenditures must be maintained for 3 years. Subsequent to audit, they must be maintained until all questions are resolved.

Account Identification:

75-0522-0-1-551.

Obligations:

(Cooperative Agreements) FY 12 Not Available(Exp: Not applicable); FY 13 est \$11,774,223; and FY 14 est \$13,000,000 - FY 15 est \$13,000,000 FY 16 est \$3,600,000.

Range and Average of Financial Assistance:

Approximately \$41.4 million may be awarded over the four-year period of performance (overlapping FFY 2013 into FFY 2016) to implement the approved models. This includes a three year period for service delivery and up to an additional year for data collection and submission. There were 27 awards in FY 2013, ranging from \$190,000 to \$1,600,000 with an average award of \$436,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Applicants should refer to the funding opportunity announcement for applicable regulations, guidelines and literature.

Regional or Local Office:

See Regional Agency Offices. Michelle T. Feagins, Centers for Medicare and Medicaid Services, Office of Acquisition and Grants Management (OAGM), 200 Independence Ave, SW, Room 733H-02, Washington DC 20201.

Headquarters Office:

Michelle T. Feagins Centers for Medicare and Medicaid Services
Office of Acquisition and Grants Management
200 Independence Ave., SW
Room 733H-02, Washington, District of Columbia 20201 Email:
michelle.feagins@cms.hhs.gov Phone: 301-492-4312

Website Address:

<http://innovations.cms.gov/initiatives/Strong-Start/index.html>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applicant should refer to the funding opportunity announcement under this CFDA program for specific criteria requirements on proposal selection.

93.612 NATIVE AMERICAN PROGRAMS

Social and Economic Development Strategies (SEDS)

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Native American Programs Act of 1974 (NAPA), Title VIII, Section 803(a), Public Law 102-375, 42 U.S.C 2991b and b-3; Older Americans Act Technical Amendments of 1993. , Public Law 103-171; Indian Reorganization Act Amendments, Section 215, Public Law 100-581.

OBJECTIVES:

To promote economic and social self-sufficiency for American Indians, Alaska Natives, Native Hawaiians, and other Native American Pacific Islanders from American Samoa, Guam, and the Commonwealth of the Northern Mariana Islands. The Social and Economic Development Strategies (SEDS) program provides funding for projects to support the health and well-being of Native Americans, including the interests of children and families and to help strengthen communities. The SEDS program supports the principle that social and economic development are inter-related and essential for the development of healthy, self-sufficient Native American communities. The SEDS program

funds projects that promote the establishment and expansion of diversified local economies, the preservation of Native American cultures, and programs and services that safeguard the health and well-being of Native Americans.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grant funding may be used for such purposes as: (1) Governance projects to increase tribal and village governments ability to exercise local control and decision-making over their resources; (2) Economic Development projects, which promote the long term mobilization and management of economic resources necessary to achieve a diversified economy; (3) Strengthening Families projects which incorporate culturally relevant strategies to strengthen families, foster child well-being, and promote responsible fatherhood to nourish and sustain healthy families and (4) Social Development projects which invest in human and social capital to advance the needs of Native Americans while incorporating culturally appropriate activities to enhance tribal, Native community, and Alaska Native village goals. Funding cannot be used for the following purpose or activities: purchase of real property; costs of organized fundraising, reimbursement of pre-award costs, activities in support of any foreseeable litigations against the United States Government that is unlawful under the Office of Management and Budget Circulars A-87 and A-122, activities that qualify as major renovations and alterations. Further details regarding ineligible applicants and activities can be found in the ANA regulations at 45 CFR 1336.33.

Applicant Eligibility:

Federally-recognized Indian Tribes, as recognized by the Bureau of Indian Affairs;
Incorporated non-federally recognized Tribes;
Incorporated state-recognized Indian Tribes;
Consortia of Indian Tribes;
Incorporated nonprofit multi-purpose community-based Indian organizations;
Urban Indian Centers;
Alaska Native villages as defined in the Alaska Native Claims Settlement Act (ANSCA) and/or nonprofit village consortia;
Nonprofit native organizations in Alaska with village specific projects;
Incorporated non-profit Alaska Native multi-purpose, community-based organizations;
Non-profit Alaska Native Regional Corporations/Associations in Alaska with village-specific projects;
Non-profit Alaska Native community entities or tribal governing bodies (Indian Reorganization Act or Traditional Councils) as recognized by the Bureau of Indian Affairs;
Public and nonprofit private agencies serving Native Hawaiians;
National or regional incorporated nonprofit Native American organizations with Native American community-specific objectives;
Public and nonprofit private agencies serving native peoples from Guam, American Samoa, or the Commonwealth of the Northern Mariana Islands;
Tribal Colleges and Universities, and colleges and universities located in Hawaii, Guam, American Samoa, or the Commonwealth of the Northern Mariana Islands which serve Native American Pacific Islanders.

Beneficiary Eligibility:

American Indians, Alaska Natives, Native Hawaiians, and Native American Pacific Islanders will benefit.

Credentials/Documentation:

Non-profit organizations must submit proof of non-profit status. For applicants that are not Tribes or Native Alaska villages, organizations applying for funding must show that a majority of board member approving the project proposal are representative of the Native American community to be served. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

All applicants must submit documentation, such as a Board Resolution, demonstrating that the governing body of the organization approves the application's submission to ANA for the current grant competition period. Please see the funding opportunity announcement for more information. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Information regarding the availability of grant funds will be posted on Grants.gov and the ACF Funding Opportunities websites through publication of Funding Opportunity Announcements. Applicants are required to submit their applications electronically via Grants.gov unless they have requested and received a waiver that will allow submission in a paper format. If applicants do not have an Internet connection or sufficient computing capacity to upload large documents (files) to www.Grants.gov, they may apply for the waiver. Details on how to submit a waiver request are published in each Funding Opportunity Announcement. For applicants that have received a waiver, Standard Forms, assurances, and certifications are available at the ACF Funding Opportunities Forms webpage at <http://www.acf.hhs.gov/grants-forms>.

Award Procedure:

All funds are awarded directly to the grantees.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days. Applicants will receive notice of approval/disapproval approximately 120 days after receipt of application.

Appeals:

Appeals are processed in accordance with HHS regulations in 45 CFR 16.

Renewals:

Other - Not Specified.

Formula and Matching Requirements:

This program has no statutory formula.
Matching Requirements: Percent: 20%. The 20% match is required unless waived in accordance with criteria published in 45 CFR 1336.50.
This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

Grantees may apply for non-competitive continuation support within a project period of 1 to 3 years. Method of awarding/releasing assistance: quarterly.

Reports:

No program reports are required. No cash reports are required. ANA does require quarterly progress reports which are known as the Objective Progress Reports (OPR). The OPR uses the OPR form which closely follows the project's Objective Work Plan (OWP). All progress reports are required to be submitted via the GrantSolutions electronic grants management system. The Federal Financial Report form (SF-425) is required quarterly. Upon submission of the quarterly OPR, ANA reviews the report to monitor project performance and achievement towards stated goals and objectives. It is ANA policy that one third of projects in their final quarter receive an on-site impact evaluation towards the end of the project period.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audits are conducted in accordance with the requirements in 45 CFR 74 and 92.

Records:

Financial records, supporting documents and all other related records pertinent to ANA grants must be maintained for a period of 3 years. If an audit is not completed by the end of the 3-year period, or if audit findings have not been resolved, records shall be retained until resolution of the audit findings.

Account Identification:

75-1536-0-1-506.

Obligations:

(Project Grants (Discretionary)) FY 12 \$24,318,655; FY 13 est \$23,862,105; and FY 14 est \$27,290,480

Range and Average of Financial Assistance:

\$25,000 to \$400,000

Average = \$222,539 per budget period.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: 112 grants were awarded, 45 new and 67 continuations were made for the SEDS program funding. Fiscal Year 2013: It is estimated that 37 new and 63 continuation awards will receive funding through the SEDS program. Fiscal Year 2014: It is estimated that 38 new and 72 continuations will receive funding through the SEDS program.

REGULATIONS, GUIDELINES, AND LITERATURE:

SEDS program regulations are published in 45 CFR 1336.

Regional or Local Office:

None.

Headquarters Office:

Carmelia A. Strickland Administration for Native Americans
Administration for Children and Families
Department of Health and Human Services
370 L'Enfant Promenade
Aerospace Building, 2nd Floor West, Washington, District of Columbia 20447
Email: carmelia.strickland@acf.hhs.gov Phone: (877) 922-9262

Website Address:

<http://www.acf.hhs.gov/programs/ana>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Grantee: Morongo Band of Mission Indians
Project: Development of Morongo Park and Recreation Facility Master Plan
Location: Banning, California 92284
Description: Promoting a healthy community by creating a comprehensive plan for a Morongo Park and Recreation Facility.

Grantee: Chippewa Cree Tribe
Project: CCT Office of the Attorney General Enhancement Project
Location: Box Elder, Montana 59521
Description: Planning, implementing, and sustaining the infrastructure to enhance the capacity of the Office of the Attorney General for the Chippewa Cree Tribe, especially as it pertains to child support, the Indian Child Welfare Act, and the Tribal Law and Order Act. Fiscal Year 2013: We anticipate funding similar projects. Fiscal Year 2014: We anticipate funding similar projects.

CRITERIA FOR SELECTING PROPOSALS:

Specific criteria for selecting proposals for funding are stated in each funding opportunity announcement. In general, proposals are judged on the basis of relevance to program objectives as stated in the funding opportunity announcement, project strategy, community support in project design and implementation, reasonable cost estimates, and qualifications of applicant organization and personnel.

93.613 PRESIDENT'S COMMITTEE FOR PEOPLE WITH INTELLECTUAL DISABILITIES (PCPID)

(PCPID)

FEDERAL AGENCY:

Administration for Community Living, Department of Health and Human Services

AUTHORIZATION:

Executive Order 13511 as Amended on September 30, 2011.

OBJECTIVES:

The Presidents Committee for People with Intellectual Disabilities (PCPID), provides advice to the President and to the Secretary of Health and Human Services through the Commissioner of the Administration on Intellectual and Developmental Disabilities (AIDD), concerning a broad range of topics relating

to people with intellectual and developmental disabilities.

TYPES OF ASSISTANCE:

Information

USES AND USE RESTRICTIONS:

None.

Applicant Eligibility:

General public.

Beneficiary Eligibility:

General public.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. None.

Award Procedure:

None.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

NOT APPLICABLE.

Appeals:

NOT APPLICABLE.

Renewals:

NOT APPLICABLE.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

NOT APPLICABLE. See the following for information on how assistance is awarded/released: NOT APPLICABLE.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

None.

Account Identification:

75-1536-0-1-506.

Obligations:

(Salaries) FY 12 \$0; FY 13 est \$0; and FY 14 Estimate Not Available - the Presidents Committee for People with Intellectual Disabilities (PCPID) is an advisory committee, within the Administration for Community Living (ACL), whose members are designated and appointed by the President of the United States. The Committee consists of 13 Ex officio members designated from various Federal agencies, and 21 citizen members appointed by the President. The role of the members of the Committee is to advise the President on matters pertaining to people with intellectual disabilities, and the field of intellectual disabilities. The Committee does not administer any grants.

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Federal Advisory Committee Act of 11972 as amended, Executive Order 13585 as amended on September 30, 2011. The HHS Charter was amended on November 10, 2011.

Regional or Local Office:

None.

Headquarters Office:

Sharon Lewis One Massachusetts Ave
, Washington, District of Columbia 20201 Email: Sharon.Lewis@acl.hhs.gov
Phone: 202-690-6590 Fax: 202-205-9519

Website Address:

<http://www.acl.gov/Programs/AIDD/Programs/PCPID/index.aspx>

RELATED PROGRAMS:

27.005 Federal Employment for Individuals With Disabilities

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.615 AFFORDABLE CARE ACT (ACA) MATERNAL, INFANT, AND EARLY CHILDHOOD HOME VISITING RESEARCH PROGRAMS

Home Visiting Research Programs

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Social Security Act, Title V, 511(h)(3), (42 U.S.C. 711(h)(3)), as added by the Patient Protection and Affordable Care Act, 2951 (P.L. 111-148).

OBJECTIVES:

The Maternal, Infant, and Early Childhood Home Visiting Research Programs are designed to: increase knowledge about the implementation and effectiveness of home visiting programs, using random assignment designs to the maximum extent feasible. Research relating to maternal, infant, and early childhood home visiting services will be conducted to advancing knowledge about the implementation and effectiveness of home visiting programs designed to improve life outcomes among mothers, infants, young children, and families who reside in at risk communities. Research and evaluation activities include consultation with independent researchers, State officials, and developers and providers of home visiting programs on topics such as research design and administrative data matching. The MIECHV Research Programs include the MIECHV Research Program and the MIECHV Research Network.

TYPES OF ASSISTANCE:

Cooperative Agreements; Project Grants

USES AND USE RESTRICTIONS:

MIECHV Research (Project Grants): Assistance can potentially be used to conduct applied research relating to maternal, infant, and early childhood home visiting services which show promise of advancing knowledge about the implementation and effectiveness of home visiting programs designed to improve life outcomes among mothers, infants, young children, and families who reside in at risk communities. Research and evaluation activities include consultation with independent researchers, State officials, and developers and providers of home visiting programs on topics including research design and administrative data matching. Of the amounts paid to a State recipient, not more than 10 percent may be used for administering the award. Funds are to be used for research and evaluation activities.

MIECHV Research Network (Cooperative Agreement): Assistance can be used to:

Develop a national research agenda designed to improve the implementation and effectiveness of maternal, infant, and early childhood home visiting programs through: (a) research on the components of effective interventions, and (b) research targeted to improving the delivery of home visiting services; this national research agenda will also be used to actively guide the Networks

implementation of research studies through both MCHB and external funding sources;

Advance the methods of studying the implementation and effectiveness of home visiting programs;

Develop approaches to data sharing that will expedite the translation of research results into knowledge, products, and procedures;

Disseminate critical information and foster continued education activities on its research findings to inform researchers, professionals, policy makers, other stakeholders in the home visiting field, and the public;

Provide a research environment that is supportive of advancing home visiting research through: facilitating mentoring relationships between faculty members and emerging researchers; and encouraging active communication, networking, and collaboration among researchers. Restrictions: Not more than 10 percent may be used for administering the award. Funds made available to a grantee for a fiscal year shall remain available for expenditure by the grantee through the end of the second succeeding fiscal year after award. Funds that have not been expended during the period of availability will be deobligated and no longer available for use by the grantee.

Applicant Eligibility:

As cited in 42 CFR Part 51a.3(b), only public or nonprofit institutions of higher learning and public or private nonprofit agencies engaged in research or in programs relating to maternal and child health and/or services for children with special health care needs may apply for grants, contracts or cooperative agreements for research in maternal and child health services or in services for children with special health care needs. Entities directly involved in the operation of home visiting programs are not eligible to apply.

Beneficiary Eligibility:

Funded research should benefit MIECHV grantees by increasing knowledge about the implementation and effectiveness of home visiting programs.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. HRSA requires all applicants to apply electronically through Grants.gov.

Award Procedure:

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions. Notification is made in writing by an electronic Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days. Contact the Headquarters or Regional Office as appropriate for application deadlines or view the specific funding opportunity announcement.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

This program has no matching requirements.

This program has MOE requirements, see funding agency for further details. Per Social Security Act, Title V, 511(f), Funds provided to an eligible entity

shall supplement, and not supplant, funds from other sources for early childhood home visitation programs or initiatives.

Length and Time Phasing of Assistance:

Approved projects are awarded a project period of up to three years pending satisfactory awardee performance, the availability of appropriated funds, and a decision that continued funding is in the best interest of the Federal Government. Funds awarded to an eligible entity for a fiscal year remain available for expenditure through the end of the second succeeding fiscal year after award. See the following for information on how assistance is awarded/released: Grantee drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

Upon completion of the project, a comprehensive final report is required. A quarterly electronic Federal Financial Report (FFR) Cash Transaction Report is required via the Payment Management System. Annual non-competing progress reports are required for out-year continuation awards. Annual performance reports that include reporting on financial and expenditure data are required. Annual performance reports that include reporting on performance measures are required. A mid-project progress report is required for awardees of the MIECHV Home Visiting Program. A semi-annual performance report is required for the awardee of the MIECHV Research Network.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0321-0-1-551.

Obligations:

(Cooperative Agreements) FY 12 \$374,968; FY 13 est \$284,599; and FY 14 est \$284,599 - MIECHV Research Network. (Project Grants) FY 12 \$877,930; FY 13 est \$872,903; and FY 14 est \$842,903 - MIECHV Research.

Range and Average of Financial Assistance:

Awards of up to \$300,000 per year for up to 3 years.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable. HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices. Program Contact: Angela Odjidja-Boateng, JD, MHS
Maternal and Child Health Bureau, HRSA
5600 Fishers Lane, Room 10-86
Rockville, MD 20857
Email: aablorh-odjidja@hrsa.gov
Phone: (301) 443-8932.

Headquarters Office:

Angela Odjidja-Boateng, JD, MHS Maternal and Child Health Bureau, HRSA
5600 Fishers Lane, Room 10-86, Rockville, Maryland 20857 Email:
aablorh-odjidja@hrsa.gov Phone: 301-443-8932

Website Address:

<http://mchb.hrsa.gov/programs/homevisiting/>

RELATED PROGRAMS:

93.110 Maternal and Child Health Federal Consolidated Programs; 93.505 Affordable Care Act (ACA) Maternal, Infant, and Early Childhood Home Visiting Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

All competitive applications will be reviewed internally by grants management officials (business and financial review) and program staff (technical review) for eligibility, completeness, accuracy, and compliance with the requirements outlined in the funding announcement. All qualified applications will be forwarded to an independent objective review committee for review and rating based on the program elements and review criteria presented in the funding opportunity announcement. Based on the advice of the independent objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

93.616 MENTORING CHILDREN OF PRISONERS

(MCP)

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Section 439 of the Social Security Act as amended by the Child and Family Services Improvement Act of 2006 (<http://www.acf.hhs.gov/programs/fysb/content/aboutfysb/documents>), Public Law 109-288.

OBJECTIVES:

To provide competitive grants to applicants in areas with significant numbers of children of prisoners to support the establishment and operation of programs using a network of public and private entities and to provide mentoring services for these children.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Provide mentoring and support services to urban and/or suburban populations with substantial numbers of children with incarcerated parents.

Applicant Eligibility:

Those eligible to apply for funding under this grant competition include applicants in areas where there are substantial numbers of children of prisoners. Applicants must establish or expand and operate programs using a network of public and private entities to provide this mentoring. This includes any State or local government unit, Tribe and Tribal organization, private nonprofit, community and faith based groups.

Beneficiary Eligibility:

Children of prisoners ages 4 through 18 will benefit.

Credentials/Documentation:

Nonprofit organizations must submit proof of nonprofit status. Applicable costs and administrative procedures will be determined in accordance with Public Law 107-133. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Consultation or assistance is available from the Family and Youth Services Bureau (FYSB), Administration for Children and Families, Department of Health and Human Services. The standard application forms furnished by DHHS and required by OMB Circular No. A-102 must be used for this program. (NOTE: State/territory participation in the intergovernmental review

process does not signify applicant eligibility for financial assistance under a program. A potential applicant must meet the eligibility requirements of the program for which it is applying prior to submitting an application to its SPOC). Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Application for Federal Assistance, Standard Form 424 must be submitted. Specific instructions are published via the Internet under the Form section at the following website address: <http://www.acf.hhs.gov/grants>.

Award Procedure:

All applicants are reviewed by a panel of nonfederal experts which assigns scores according to published criteria. The panel's scores are factored into the recommendations for funding. Scores and recommendations are reviewed by the Associate Commissioner of the Family and Youth Services Bureau, who makes the recommendations to the ACYF Commissioner, who makes the final funding decision.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

The initial grant award is for a 12-month budget period. A non-competitive application is required for a 12-month continuation grant to be awarded in each of two subsequent years subject to the availability of funds, satisfactory progress on the part of the grantee, and a determination that continued funding would be in the best interest of the Federal Government.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching Requirements: Grantee must provide a nonfederal share or match of at least 25 percent of the total project budget in the first and second years of the project. In the third year, the grantee must provide a nonfederal share or match of at least 50 percent of the total project budget. The nonfederal share may be met by cash or in-kind contributions although applicants are encouraged to meet their Federal match requirements through cash contributions. There is no match requirement for the Mentoring Children of Prisoners Program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants will be awarded for a period of 36 months with 12 month budget periods. Method of awarding/releasing assistance: quarterly.

Reports:

Applicants must submit quarterly performance reports, semi-annual financial reports, and agree to submit other required program and financial reports, as instructed by FYSB. Financial SF-425 reports are due semi-annually. Performance reports are due quarterly. Financial SF-425 reports are due semi-annually. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audits are conducted in accordance with the requirements in 45 CFR 74 and 92.

Records:

All financial records are to be maintained for 3 years after termination of the project or until audit is completed, whichever occurs first.

Account Identification:

75-1536-0-1-506.

Obligations:

(Project Grants) FY 12 \$0; FY 13 est \$0; and FY 14 est \$0 - The FY 11 budget makes no provision for the MCP program.

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: N/A. Fiscal Year 2013: N/A. Fiscal Year 2014: N/A.

REGULATIONS, GUIDELINES, AND LITERATURE:

Contact Headquarters office listed below for available literature. You may also get information on the Family and Youth Services Bureau website at the following address <http://www.acf.hhs.gov/programs/fysb> under the "Policy and Legislative Documents" link.

Regional or Local Office:

See Regional Agency Offices. Acting Associate Commissioner, Family and Youth Services Bureau, Administration for Children and Families, Department of Health and Human Services, 1250 Maryland Avenue, S.W., Washington, DC 20447. Contact: Debbie Powell. Telephone: (202) 205-8102. FTS is not available.

Headquarters Office:

Curtis Porter 1250 Maryland Ave, SW , Washington, District of Columbia 20447 Email: curtis.porter@acf.hhs.gov Phone: 202.205.8306

Website Address:

<http://www.acf.hhs.gov/programs/fysb>

RELATED PROGRAMS:

93.556 Promoting Safe and Stable Families

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be evaluated based upon the criteria published in the Funding Opportunity Announcement located on the following website address: http://www.acf.hhs.gov/grants_fysb.html.

93.617 VOTING ACCESS FOR INDIVIDUALS WITH DISABILITIES GRANTS TO STATES

(Election Assistance for Individuals with Disabilities (EAID))

FEDERAL AGENCY:

Administration for Community Living, Department of Health and Human Services

AUTHORIZATION:

Help America Vote Act, Title II, Part 2, Section 261, Public Law 107-252.

OBJECTIVES:

Section 261 of HAVA provides that funds be made available to States to: (1) make polling places, including the path of travel, entrances, exits, and voting areas of each polling facility, accessible to individuals with the full-range of disabilities (e.g., visual impairments, including blindness; hearing impairments, including deafness; the full-range of mobility impairments, including gross and fine motor impairments, emotional impairments, and intellectual impairments); (2) provide the same opportunity for access and participation (including privacy and independence) to individuals with the full-range of disabilities; (3) provide training for election officials, poll workers, and election volunteers on how best to promote the access and participation of individuals with the full-range of disabilities in election for Federal office; and (4) provide individuals with the full-range of disabilities with information about the accessibility of polling places.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

To assist States to provide greater accessibility to individuals with full-range of disabilities as it relates for the four (4) areas of funding. Grant funds are only available for five years after the appropriation year, as required by 31 U.S.C. Section 1552.

Applicant Eligibility:

States (including the District of Columbia, the Commonwealth of Puerto Rico, Guam, American Samoa, and the Virgin Islands).

Beneficiary Eligibility:

Individuals with full-range of disabilities.

Credentials/Documentation:

Applicant eligibility: Secretary of State/Chief Election Official of States including the District of Columbia, the Commonwealth of Puerto Rico, Guam, American Samoa, and the U.S. Virgin Islands. Beneficiary Eligibility: States and Units of Local Government. Cost will be determined in accordance with 45 CFR Parts 74 and 92. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. The reporting requirements information collection for the application and annual report are approved under OMB control number 0970-0327, expiration date 11/30/2010.

Award Procedure:

Funds will be awarded annually.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

None.

Appeals:

Appeals are processed in accordance with HHS regulations in 45 CFR Part 16.

Renewals:

None. Awards are generally made for a 12-month project period.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. This program has no matching requirements. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grants are awarded annually. The statistical factor used to fund distribution is the population age 18 and over in each State. No State will receive less than \$100,000. There is no matching requirement. Method of awarding/releasing assistance: quarterly.

Reports:

Annual narrative report that describes how any funds authorized were used. Report due no later than December 31st of each year. No cash reports are required. Annual progress report. Expenditures are to be reported using a Financial Status Report Short Form (SF-269A). Submission of annual financial reports are due 90 days after the end of each 12-month period (October 1-September 30). Annual progress and financial status report are used as the primary monitoring tools.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a

year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audits are conducted in accordance with the requirements of 45 CFR Parts 74 and 92.

Records:

Records must be retained for at least 3 years; records shall be retained beyond the 3-year period if all findings have not been resolved.

Account Identification:

75-1536-0-1-506.

Obligations:

(Formula Grants) FY 12 Not Available; FY 13 est \$0; and FY 14 Estimate Not Available

Range and Average of Financial Assistance:

Not less than \$100,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: FY12 Not available. Fiscal Year 2013: In FY 2013, is anticipated that 0 grants will be awarded in FY 2013. Fiscal Year 2014: FY 2013 no current data available.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR Parts 74 and 92, Grant Administrative Requirements.

Regional or Local Office:

None. Administration on Intellectual and Developmental Disabilities, Administration for Community Living, Department of Health and Human Services, One Massachusetts Avenue, N.W., Washington, DC 20001. Contact: Melvenia Wright. Telephone: (202) 357-3486.

Headquarters Office:

Melvenia Wright Administration on Intellectual and Developmental Disabilities, Administration for Community Living, Department of Health and Human Services, One Massachusetts Avenue, N.W., Washington, DC 20001., Washington, District of Columbia 20201 Email: melvenia.wright@acl.hhs.gov Phone: (202) 357-3486

Website Address:

<http://www.acf.hhs.gov/programs.add>

RELATED PROGRAMS:

93.618 Voting Access for Individuals with Disabilities-Grants for Protection and Advocacy Systems

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.618 VOTING ACCESS FOR INDIVIDUALS WITH DISABILITIES-GRANTS FOR PROTECTION AND ADVOCACY SYSTEMS

FEDERAL AGENCY:

Administration for Community Living, Department of Health and Human Services

AUTHORIZATION:

Help America Vote Act, Title II, Part 5, Section 291, Public Law 107-252.

OBJECTIVES:

Section 291 of HAVA provides that funds be made available to Protection and Advocacy Systems to: (1) ensure full participation in the electoral process for individuals with disabilities; (2) provide education, training and assistance to individuals with disabilities that will promote their participation in the electoral process; (3) participate in advocacy and education efforts revolving around HAVA implementation efforts in their State or Territory; (4) training and education of election officials, poll workers, and election volunteers regarding the rights of the voters with disabilities and best practices in working with individuals with disabilities; (5) assist individuals with disabilities in filing complaints under the State-based administrative grievance procedure required by HAVA and represent individuals with disabilities in any hearing that may be held regarding the complaint; (6) provide assistance to States and other

governmental entities regarding the physical accessibility of polling places; and (7) obtain training and technical assistance on voting issues, including education regarding accessible voting equipment and systems. Once educated, the information may be used to inform others of the availability of accessible voting equipment and its use.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

To assist Protection and Advocacy Systems to ensure full participation in the electoral process of individuals with a full-range of disabilities. Grant funds are only available for five years after the appropriation year, as required by 31 U.S.C. Section 1552.

Applicant Eligibility:

States and Territories who have a Protection and Advocacy System in place in accordance with the Developmental Disabilities Assistance and Bill of Rights Act of 2000.

Beneficiary Eligibility:

Individuals with full-range of disabilities.

Credentials/Documentation:

Cost will be determined in accordance with 45 CFR Parts 74 and 92. OMB Circular No. A-87 applies to this program. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. The Protection and Advocacy Systems (P&As) for each territory and state must submit an application by the requested deadline as indicated in the Program Instruction. The application may be in the format of its choice addressing the seven areas in emphasis which the Help America Vote Act (HAVA) funds should be used. Funds requested by the P&As are used to provide education, training and assistance to individuals with disabilities that will promote their participation in the electoral process in seven different areas. The application is faxed, emailed and mailed to the Administration on Intellectual and Developmental Disabilities (AIDD) for furthering review and processing. The application is reviewed thoroughly in accordance with the eligibility criteria as outlined in the program instruction and processed approved by the program office.

Award Procedure:

Funds will be awarded annually.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Appeals are processed in accordance with HHS regulations in 45 CFR Part 16.

Renewals:

None. Awards are generally made for a 12-month project period.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grants are awarded annually. The Protection and Advocacy System in each State including the District of Columbia or the Commonwealth of Puerto Rico shall receive less than \$70,000 and no Territory will receive less than \$35,000. Method of awarding/releasing assistance: quarterly.

Reports:

Annual narrative report that describes how any funds authorized are due no later than December 31st of each year. No cash reports are required. Annual program and annual financial status reports required. Expenditures are to be reported using a Financial Status Report Short Form (SF-269A) submission of annual financial reports are due 90 days after the end of each 12-month period (October 1 - September 30). Monitoring is performed through the review of annual program and financial status reports required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audits are conducted in accordance with the requirements of 45 CFR Parts 74 and 92.

Records:

Records must be retained for at least 3 years; records shall be retained beyond the 3-year period if all findings have not been resolved.

Account Identification:

75-1536-0-1-506.

Obligations:

(Formula Grants) FY 12 \$366,456; FY 13 est \$0; and FY 14 Estimate Not Available - T&TA P&A set-aside FY 2010 \$368,000; FY 20011 \$368,000; and FY 2012 estimate \$0.

Range and Average of Financial Assistance:

The awards range from \$35.00 to \$323,000. The average award amount is approximately \$144,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: No current data available. Fiscal Year 2013: In FY2013, TBD pending availability of funds. Fiscal Year 2014: No current data available.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR Parts 74 and 92, Grant Administrative Requirements.

Regional or Local Office:

None. Administration on Developmental Intellectual Disabilities, Administration for Community Living, Department of Health and Human Services, 1 Massachusetts Ave, N.W., Washington, DC 20201. Contact: Melvenia Wright. Telephone: (202) 690-5557.

Headquarters Office:

Melvenia Wright, Administration on Developmental Disabilities, Administration for Children and Families, Department of Health and Human Services, 200 Independence Avenue, S.W., Washington, District of Columbia 20201 Email: melvenia.wright@acl.hhs.gov Phone: (202) 690-5557.

Website Address:

<http://www.acl.gov/Programs/AIDD/Index.aspxhttp>

RELATED PROGRAMS:

93.617 Voting Access for Individuals with Disabilities_Grants to States

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.621 AFFORDABLE CARE ACT INITIATIVE TO REDUCE AVOIDABLE HOSPITALIZATIONS AMONG NURSING FACILITY RESIDENTS

Nursing Facility Initiative

FEDERAL AGENCY:

Centers for Medicare and Medicaid Services, Department of Health and Human Services

AUTHORIZATION:

Affordable Care Act, Public Law 111-148, section 3021.

OBJECTIVES:

Under this Initiative, the Centers for Medicare & Medicaid Services (CMS) selected eligible organizations to test a series of evidence-based clinical interventions. The goal of these interventions is to improve the health and health care among long-stay nursing facility residents and ultimately reduce avoidable inpatient hospital admissions. Successful applicants are implementing such interventions that will have the following objectives:

- Reduce the frequency of avoidable hospital admissions and readmissions;
- Improve resident health outcomes;
- Improve the process of transitioning between inpatient hospitals and nursing facilities; and
- Reduce overall health care spending without restricting access to care or choice of providers.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Under the Cooperative Agreements, CMS funded enhanced care & coordination providers to implement an intervention that meets the objectives of the Initiative. All interventions must include the following activities:

- Hire staff who shall maintain a physical presence at nursing facilities and who shall partner with nursing facility staff to implement preventive services and improve recognition, assessment, and management of conditions such as pneumonia, congestive heart failure, chronic obstructive pulmonary disease and asthma, urinary tract infections, dehydration, skin ulcers, falls, and other common causes of avoidable hospitalizations;
- Work in cooperation with existing providers, including residents primary care providers, nursing facility staff, and families to implement best practices and improve the overall quality of nursing facility care, focusing on quality improvement activities that most directly relate to avoidable hospitalizations;
- Facilitate residents transitions to and from inpatient hospitals and nursing facilities, including facilitating timely and complete exchange of health information among providers and providing support for residents and nursing facility staff to support successful discharge to the community as appropriate;
- Provide support for improved communication and coordination among hospital staff (including attending physicians), nursing facility staff, residents primary care providers and other specialists, and pharmacies; and
- Coordinate and improve management and monitoring of prescription drugs to reduce risk of polypharmacy and adverse drug events for residents, including inappropriate prescribing of psychotropic drugs.

All interventions must also:

- Demonstrate a strong evidence base;
- Demonstrate strong potential for replication and sustainability in other communities and institutions;
- Supplement (rather than replace) existing care provided by nursing facility staff;
- Coordinate closely with State Medicaid and State survey and certification agencies and State public health and health reform efforts, including other CMS demonstrations and waivers; and
- Allow for participation by nursing facility residents without any need for residents or their families to change providers or enroll in a health plan. (Residents will be able to opt-out from participating, if they choose.)

The enhanced care & coordination providers must collaborate with State Medicaid and State survey and certification agencies and participating nursing facilities, with each enhanced care & coordination provider implementing its intervention in at least 15 Medicare- and Medicaid-certified nursing facilities in the same State. In addition to implementing the interventions and executing other activities outlined in the Initiative funding opportunity announcement, enhanced care & coordination providers must also participate in ongoing learning and diffusion activities and cooperate with operations support and evaluation efforts, including adapting models based on needed mid-course

corrections. The following standard requirements apply to applications and awards under the Initiative funding opportunity announcement:

Specific administrative requirements, as outlined in 2 CFR Part 225 and 45 CFR Part 92 and OMB Circulars A-87, A-102, A-110, and A-133 apply to cooperative agreement awarded under this announcement.

All awardees under this project must comply with all applicable Federal statutes relating to nondiscrimination including, but not limited to:

- o Title VI of the Civil Rights Act of 1964,
- o Section 504 of the Rehabilitation Act of 1973,
- o The Age Discrimination Act of 1975,
- o Hill-Burton Community Service nondiscrimination provisions, and
- o Title II Subtitle A of the Americans with Disabilities Act of 1990.

All equipment, staff, other budgeted resources, and expenses must be used exclusively for the project identified in the awardees original cooperative agreement application or agreed upon subsequently with HHS, and may not be used for any prohibited uses.

Prohibited Uses of Cooperative Agreement Funds

To match any other Federal funds.

To provide services, equipment, or supports that are the legal responsibility of another party under Federal or State law (e.g., vocational rehabilitation or education services) or under any civil rights laws. Such legal responsibilities include, but are not limited to, modifications of a workplace or other reasonable accommodations that are a specific obligation of the employer or other party.

To supplant existing State, local, or private funding of infrastructure or services, such as staff salaries, etc.

To be used by local entities to satisfy State matching requirements.

To pay for the use of specific components, devices, equipment, or personnel that are not integrated into the application.

To pay for construction or alteration and renovation of real property (A&R).

To pay for information technology (IT) equipment exceeding 10 percent of the total award. Any equipment, which includes IT, over \$5,000 must be approved by CMS.

To pay States for the use of any of their data made available for this Initiative.

Applicant Eligibility:

Applicants eligible to be enhanced care & coordination providers included, but were not limited to:

Organizations that provide care coordination, case management, or related services;

Medical care providers, such as physician practices;

Health plans (although this initiative will not be capitated managed care);

Public or not-for-profit organizations, such as Aging and Disability Resource Centers, Area Agencies on Aging, Behavioral Health Organizations, Centers for Independent Living, universities, or others;

Integrated delivery networks, if they will extend their networks to include unaffiliated nursing facilities.

Nursing facilities, entities controlled by nursing facilities, or entities for which the primary line of business is the delivery of nursing facility/skilled nursing facility services were excluded from serving as enhanced care & coordination providers under this cooperative agreement.

Legal Status: To be eligible, an organization must have been recognized as a single legal entity by the State where it is incorporated, and must have had a unique Tax Identification Number (TIN) designated to receive payment. The organization must have had a governing body capable of entering into a cooperative agreement with CMS on behalf of its members.

Beneficiary Eligibility:

The primary target population for the clinical interventions is fee-for-service Medicare-Medicaid enrollees in nursing facilities, but fee-for-service long-stay residents who are not yet Medicare-Medicaid enrollees will also benefit (i.e., Medicare beneficiaries not yet eligible for Medicaid, or Medicaid beneficiaries not yet eligible for Medicare but who represent similar opportunities for inpatient reductions).

Credentials/Documentation:

Seven (7) organizations, which fulfilled these requirements (below), have already been selected for this Initiative.

All applications shall attach letter(s) of support from the State Medicaid director and State survey and certification director from each State in which the applicant is proposing to implement the intervention. They shall also describe Medicare- and Medicaid-certified nursing facilities that have agreed to participate in this initiative and attach letters of intent (LOIs) from partnering nursing facilities. All applications must include LOIs from a minimum of 15 Medicare- and Medicaid-certified nursing facilities in the same State with an average census of 100 residents or more per facility. CMS will only review applications that include these letters of support from the State and LOIs from nursing facilities. All applications shall also include other required forms: standard forms, Tables 1-3 (in the funding opportunity announcement) outlining characteristics of nursing facility partners, and Monthly Financial Plan (which includes Tables 4-7 in the funding opportunity announcement). In addition to this documentation, the application is expected to address how the applicant will implement the cooperative agreement program, including how it will meet the clinical intervention requirements, and ultimately, meet the objectives of this Initiative. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Not Applicable

Award Procedure:

Seven (7) organizations, which completed the review process as outlined below, have already been selected for this Initiative.

The review process will include the following:

Applications will be screened to determine eligibility for further review using the criteria detailed in this solicitation. Applications received late or that fail to meet the eligibility requirements as detailed in the solicitation or do not include the required forms will not be reviewed.

Applications must include the required letters of support from State Medicaid and State survey and certification directors and at least 15 letters of intent from nursing facilities in the same State with an average census of 100 residents per facility. If an application includes these required letters and includes all other required forms (standard forms, Tables 1-3 (in the funding opportunity announcement) outlining characteristics of nursing facility partners, and Monthly Financial Plan (in the funding opportunity announcement), the proposal will be reviewed in the technical review process outlined below. If not, the applicants submission will not receive further consideration and will not be eligible for award.

A team consisting of staff from HHS and other outside experts will review the applications. The review panel will assess each application to determine the merits of the proposal and the extent to which the proposed program furthers the purposes of the program. CMS reserves the option to request that applicants revise or otherwise modify their proposals and budget based on the recommendations of the panel. Applications will be scored with a total of 100 points available. The following criteria will be used to evaluate applications received in response to this solicitation. More information about each criteria domain can be found in the Initiative funding opportunity announcement.

Proposed Approach (45 points)

Organizational Capacity and Management Plan (25 points)

Budget, Budget Narrative, and Monthly Financial Plan (15 points)

Evaluation and Reporting (15 points)

The results of the objective review of the applications by qualified experts will be used to advise the approving HHS official. Final award decisions will be made by an HHS program official. In making these decisions, the HHS program official will take into consideration: recommendations of the review panel; diversity in the clinical models; diversity in enhanced care & coordination providers; strength of partnerships with nursing facilities and States; number of nursing facility partners and size of target population; the geographic diversity of locations; feasibility of evaluating the proposed interventions; the reasonableness of the estimated cost to the government and anticipated results; likelihood that the proposed project will result in the

benefits expected; and availability of funding.

Successful applicants will receive one cooperative agreement award issued under this announcement.

Deadlines:

Jun 14, 2012: The period of performance is September 24, 2012 through September 23, 2016. Seven (7) organizations, which fulfilled these requirements, have already been selected for this Initiative.

Potential applicants were required to submit a non-binding Notice of Intent to Apply by April 30, 2012, 3:00 p.m. Eastern Standard Time in order to be eligible for a funding award. Applications were due by June 14, 2012, 3:00 p.m. Eastern Standard Time.

Range of Approval/Disapproval Time:

Cooperative agreement awards were made on September 24, 2012.

Appeals:

None.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

This program has no matching requirements. No. This program has no matching requirements.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The project period of performance is 48-months and is expected to last from September 2012 to September 2016. No restriction is placed on the time permitted to spend the money awarded. See the following for information on how assistance is awarded/released: Awards were made through cooperative agreements.

Reports:

The seven (7) award recipients must comply with the report requirements as outlined below:

Enhanced care & coordination providers will be funded based on their ability to execute their proposed work plan. The components of the work plan include, but are not limited to:

Meeting proposed milestones and deliverables as outlined in the work plan and communications plan;

Satisfying all Enhanced Care & Coordination Provider Activities, including a) submitting quarterly progress reports as scheduled and providing complete and accurate information for all required data fields in those reports and b) submitting timely, complete, and accurate semi-annual funding reports that show efficient use of cooperative agreement funds;

Participating in ongoing learning and diffusion activities, including those offered through the CMS Learning Community; and

Cooperating with operations support and evaluation efforts, including adapting models based on needed midcourse corrections.

CMS will regularly monitor operations. Awardees will be required to cooperate in providing the necessary data elements to CMS. CMS will contract with independent entities to assist in monitoring the programs and to conduct an independent evaluation. The seven (7) award recipients must comply with the report requirements as outlined below:

The enhanced care & coordination provider must submit a semi-annual electronic SF 425 via the Payment Management System. The report identifies cash expenditures against the authorized funds for the cooperative agreement. Failure to submit the report may result in the inability to access funds. The seven (7) award recipients must comply with the report requirements as outlined below:

Enhanced care & coordination providers will be measured and funded based on their ability to execute their proposed work plan. The components of the work plan include, but are not limited to:

Meeting proposed milestones and deliverables as outlined in the work plan and communications plan;

Satisfying all Enhanced Care & Coordination Provider Activities, including a) submitting quarterly progress reports as scheduled and providing complete and accurate information for all required data fields in those reports and b) submitting timely, complete, and accurate semi-annual funding reports that show efficient use of cooperative agreement funds;

Participating in ongoing learning and diffusion activities, including those offered through the CMS Learning Community; and

Cooperating with operations support and evaluation efforts, including adapting models based on needed midcourse corrections.

CMS will regularly monitor operations. Awardees will be required to cooperate in providing the necessary data elements to CMS. CMS will contract with independent entities to assist in monitoring the programs and to conduct an independent evaluation. The seven (7) award recipients must comply with the report requirements as outlined below:

The enhanced care & coordination provider shall detail how cooperative agreement funds were used for each six-month period. This information shall be provided to CMS using the SF 424A form and the Monthly Financial Plan template (Appendix D of the funding opportunity announcement). CMS will use this information, in addition to quarterly progress reports, to monitor operations. Within 30 calendar days of the end of each six-month period, the enhanced care & coordination provider shall provide the completed SF 424A and relevant table from the Monthly Financial Plan. The seven (7) award recipients must comply with the report requirements as outlined below:

Enhanced care & coordination providers will be measured and funded based on their ability to execute their proposed work plan. The components of the work plan include, but are not limited to:

Meeting proposed milestones and deliverables as outlined in the work plan and communications plan;

Satisfying all Enhanced Care & Coordination Provider Activities, including a) submitting quarterly progress reports as scheduled and providing complete and accurate information for all required data fields in those reports and b) submitting timely, complete, and accurate semi-annual funding reports that show efficient use of cooperative agreement funds;

Participating in ongoing learning and diffusion activities, including those offered through the CMS Learning Community; and

Cooperating with operations support and evaluation efforts, including adapting models based on needed midcourse corrections.

CMS will regularly monitor operations. Awardees will be required to cooperate in providing the necessary data elements to CMS. CMS will contract with independent entities to assist in monitoring the programs and to conduct an independent evaluation.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Not applicable.

Records:

The seven (7) award recipients must comply with the report requirements as outlined below:

Enhanced care & coordination providers must track data required for quarterly progress reports and semi-annual funding reports.

Account Identification:

75-0522-0-1-551.

Obligations:

(Cooperative Agreements) FY 12 \$26,262,112; FY 13 est \$25,762,828; and FY 14 Estimate Not Available

Range and Average of Financial Assistance:

The seven (7) organizations which received funding are:

Alabama Quality Assurance Foundation Alabama,

Alegent + Creighton Health Nebraska,

HealthInsight of Nevada Nevada,

Indiana University Indiana,

The Curators of the University of Missouri Missouri,

The Greater New York Hospital Foundation, Inc. New York City, and

UPMC Community Provider Services - Pennsylvania.

The awards ranged from: \$5 million to \$25 million to cover a four-year period of performance.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

The background provided in the funding opportunity announcement describes relevant literature. A list of references is also included.

Regional or Local Office:

None. Not Applicable.

Headquarters Office:

Melissa Seeley 7500 Security Blvd, Baltimore, Maryland 21214 Email:

Melissa.Seeley@cms.hhs.gov Phone: 212-616-2329

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

See Award Procedures (093).

93.622 AFFORDABLE CARE ACT: COORDINATING CENTER FOR INTERPROFESSIONAL EDUCATION AND COLLABORATIVE PRACTICE

Affordable Care Act: Coordinating Center for Interprofessional Education and Collaborative Practice (CC-IPECP)

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Title VII, Section 747(a), as amended by Section 5301 of the Patient Protection and Affordable Care Act, P.L. 111-148 and Title VIII, Section 831, as amended by Section 5309 of the Patient Protection and Affordable Care Act, P.L. 111-148.

OBJECTIVES:

The purpose of the coordinating center for interprofessional education and collaborative practice (CC-IPECP) is to provide an infrastructure for leadership, expertise, and support to enhance the coordination and capacity building of IPECP among health professions across the U.S. particularly in medically underserved areas. Through innovative program coordination, scholarly activities, and analytic data collection, the coordinating center will raise the visibility of high-quality, coordinated, team-based care that is informed by best practice models for interprofessional education. The CC-IPECP will be a focal point in a growing national effort to foster IPECP among health professions.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funds may be used for personnel costs, consultant costs, fringe benefits, travel, equipment, supplies, contractual, data collection activities, indirect costs and other expenses. Funds under this announcement may not be used for the following purposes:

Student support including tuition, stipends, scholarships, bonuses, student salaries and travel;

Subsidies or paid release time for project trainees/participants;

Payment of temporary personnel replacement costs for the time

trainees/participants are away from usual worksite during involvement in

project activities; and

Accreditation, credentialing, licensing, continuing education, and franchise fees and expenses; preadmission costs, student books and fees; promotional items and memorabilia; food and drinks; and animals laboratories.

Applicant Eligibility:

Eligible applicants for this cooperative agreement represent a consortia or a partnership of entities such as a health professions school and a healthcare facility (e.g., between multiple health professions education programs and at least one team-based practice setting as a central partner) identified in the Public Health Service Act, Sec. 747(a) and Sec. 831. Federally Recognized Indian Tribal Government and Native American Organizations may apply if they are otherwise eligible. Please refer to the funding opportunity announcement for additional information.

Beneficiary Eligibility:

Please refer to the funding opportunity announcement for additional information on beneficiary eligibility.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. HRSA requires all applicants to apply electronically through Grants.gov

Award Procedure:

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Notification is made in writing (electronic) by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. The due date for applications under this CFDA program will be posted in the body of the funding opportunity announcement that is issued under this CFDA.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
Matching Requirements: Percent: 25%.
This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

This announcement is inviting applications for project periods of five years.

Awards, made on a competitive basis, will be for a one-year budget period. See the following for information on how assistance is awarded/released: Grantee drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

The awardee will be required to submit annual performance and progress reports as well as status-federal financial reports (see below for details for each required report). The awardee must submit a quarterly electronic Federal

Financial Report (FFR) Cash Transaction Report via the Payment Management System within 30 days of the end of each calendar quarter. A Federal Financial Report (SF-425) is required within 90 days of the end of each budget period. A final report is due within 90 days after the project period ends. If applicable, the awardee must submit a Tangible Personal Property Report (SF-428) and any related forms within 90 days after the project period ends. New awards (Type 1) issued under this funding opportunity announcement are subject to the reporting requirements of the Federal Funding Accountability and Transparency Act (FFATA) of 2006 (Pub. L. 109282), as amended by section 6202 of Public Law 110252, and implemented by 2 CFR Part 170. Grant and cooperative agreement recipients must report information for each first-tier subaward of \$25,000 or more in federal funds and executive total compensation for the recipients and subrecipients five most highly compensated executives as outlined in Appendix A to 2 CFR Part 170 (FFATA details are available online at <http://www.hrsa.gov/grants/ffata.html>). Competing continuation awardees, etc. may be subject to this requirement and will be so notified in the Notice of Award. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Awardees are required to maintain grant accounting records three years after the date they submit the FSR. If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the three year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular three year period, whichever is later.

Account Identification:

75-0353-0-3-553.

Obligations:

(Cooperative Agreements) FY 12 \$772,122; FY 13 est \$771,425; and FY 14 est \$788,285

Range and Average of Financial Assistance:

FY 12 \$772,122, FY 13 \$771,425. (1 awardee).

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of the Public Health Service Act, Title VII, Section 747, and 747(a)(b), as amended by Section 5301 of the Patient Protection and Affordable Care Act, P.L. 111-148 and Title VIII, Section 831 and 831A, as amended by Section 5309 of the Patient Protection and Affordable Care Act, P.L. 111-148.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

None. Shanita Williams, PhD, MPH, APRN 5600 Fishers Lane Room 9-61, Rockville, Maryland 20857 Email: swilliams3@hrsa.gov Phone: 301-443-1253.

Headquarters Office:

Shanita Williams, PhD, MPH, APRN 5600 Fishers Lane Room 9-61, Rockville, Maryland 20857 Email: swilliams3@hrsa.gov Phone: 301-443-1253

Website Address:

<http://www.hrsa.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Review criteria: Procedures for assessing the technical merit of grant applications have been instituted to provide for an objective review of applications and to assist the applicant in understanding the standards against which each application will be judged. Critical indicators have been developed for each review criterion to assist the applicant in presenting pertinent information related to that criterion and to provide the reviewer with a standard for evaluation. Review criteria are outlined in the funding opportunity announcement with specific detail and scoring points. Review Criteria are used to evaluate and rank applications.

93.623 BASIC CENTER GRANT

(BCP)

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Reconnecting Homeless Youth Act, Public Law 110-378 A.

OBJECTIVES:

The purpose of Part A of the RHY Act (Runaway and Homeless Youth Grant Program) is to establish or strengthen locally controlled community-based programs that address the immediate needs of runaway and homeless youth and their families. Services must be delivered outside of the law enforcement, child welfare, mental health, and juvenile justice systems. The goals and objectives of the Basic Center Program are to: 1) alleviate problems of runaway and homeless youth; 2) reunite youth with their families, if deemed safe, and encourage the resolution of intra-family problems through counseling and other services; 3) strengthen family relationships and encourage stable living conditions for youth; and 4) help youth decide on constructive courses of action.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Each Basic Center Program is required to provide outreach to runaway and homeless youth; temporary shelter for up to twenty one (21) days; food; clothing; individual, group and family counseling; aftercare and referrals, as appropriate. Basic Center Programs are required to provide their services in residential settings for no more than twenty (20) youth unless a state or local law or regulation requires a higher maximum to comply with licensure requirements for facilities serving child and youth. Some programs also provide part or all of their shelter services through host homes (usually private homes under contract to the centers), with counseling and referrals being provided from a central location. Basic Center Programs offer shelter to youth who are less than 18 years of age and who are at risk of separation from their family.

Applicant Eligibility:

States, localities, private entities, and coordinated networks of such entities are eligible to apply for a Basic Center Program grant unless they are part of the law enforcement structure or the juvenile justice system. Federally recognized Indian organizations are also eligible to apply for grants as private, non-profit agencies.

Beneficiary Eligibility:

Runaway and homeless youth and their families are the beneficiaries. Services can be provided to youth up to the age of 18.

Credentials/Documentation:

Nonprofit organizations must submit proof of nonprofit status. Applicable costs and administrative procedures will be determined in accordance with Parts 74 and 92 of Title 45 of the Code of Federal Regulations. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or

her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Application for Federal Assistance, Standard Form 424 must be submitted. Specific instructions are published via the Internet under the Form section at the following website address: <http://www.acf.hhs.gov/grants>.

Award Procedure:

All applications are reviewed by a panel of nonfederal experts which assigns scores according to the published criteria. The panel's scores are factored into the recommendations for funding. Scores and recommendations are reviewed by the Associate Commissioner of the Family and Youth Services Bureau, who makes recommendations to the ACYF Commissioner, who makes the final funding decisions.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Awards are generally made for 3-year project periods; funding for the second and third year is dependent upon satisfactory performance and availability of funds.

Formula and Matching Requirements:

Statutory Formula: Public Law Reconnecting Homeless Youth Act.

Matching Requirements: Percent: 10.%. Federal share of grant is up to 90 percent. The nonfederal share may be in cash or in-kind contributions. The statute contains a formula for allocation of funds by State. It is based on the population of each State under age 18 as a proportion of the current national population under age 18.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grants are awarded competitively for project periods of up to 3 years. Method of awarding/releasing assistance: quarterly.

Reports:

No program reports are required. SF-425 Financial reports are due semi-annually. A final report is due 90 days after conclusion of project period. Performance progress reports are due semi-annually. A final report is due 90 days after conclusion of the project period. SF-425 reports are submitted semi-annually. Federal program specialists conduct site monitoring visits in concert with information from the RHYMIS to assess performance. Each grantee also submits semi-annual performance progress reports.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audits are conducted in accordance with the requirements in 45 CFR 74 and 92.

Records:

All financial records are to be maintained 3 years after termination of the project or until audit is completed, whichever occurs first.

Account Identification:

75-1536-0-1-506.

Obligations:

(Project Grants) FY 12 \$53,535,620; FY 13 est \$50,096,762; and FY 14 est \$53,536,000

Range and Average of Financial Assistance:

\$100,000 to \$200,000 per budget period. Average \$141,700.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: 324 grants were funded and \$2,771,154 in Contracts. Fiscal Year 2013: It is projected that 321 grants will be funded in FY 2013 and \$2,279,919 in contracts. Fiscal Year 2014: It is projected that 323 grants will be funded in FY 2014 and \$2,712,340 in contracts.

REGULATIONS, GUIDELINES, AND LITERATURE:

Contact Headquarters Office listed below for available literature. You may also get information on the Family and Youth Services Bureau website at the following address <http://www.acf.hhs.gov/programs/fysb> under the "Policy and Legislative Documents" link.

Information is also available through the National Clearinghouse on Families and Youth, 5515 Security Lane, Suite 800, North Bethesda, MD 20852. Telephone: (301) 608-8098. Fax: (301) 608-8721. The National Clearinghouse on Families and Youth website address is www.ncfy.com.

Regional or Local Office:

See Regional Agency Offices. Contact: Administration for Children and Families, Regional Offices, Family and Youth Services Bureau, Division of Adolescent Development and Support (See Appendix IV of this Catalog for the addresses of the Regional Offices.).

Headquarters Office:

Curtis O. Porter 1250 Maryland Avenue, S.W., 8th Fl., Washington, District of Columbia 20024 Email: curtis.porter@acf.hhs.gov Phone: 202.205.8306

Website Address:

<http://www.acf.hhs.gov/programs/fysb>.

RELATED PROGRAMS:

93.550 Transitional Living for Homeless Youth; 93.557 Education and Prevention Grants to Reduce Sexual Abuse of Runaway, Homeless and Street Youth

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

An assessment is made on the basis of the degree to which: (1) the likelihood that the proposal will provide necessary services including emergency shelter, counseling, and aftercare to runaway and other homeless youth and their families; (2) reasonableness of cost of these services; and (3) qualifications of staff. Applications will be evaluated based on the criteria published in the Funding Opportunity Announcement located on the following website address: <http://www.acf.hhs.gov/grants>.

93.624 ACA - STATE INNOVATION MODELS: FUNDING FOR MODEL DESIGN AND MODEL TESTING ASSISTANCE

State Innovation Models (SIM)

FEDERAL AGENCY:

Centers for Medicare and Medicaid Services, Department of Health and Human Services

AUTHORIZATION:

Section 1115A of the Social Security Act (Added by Section 3021 of the Affordable Care Act).

OBJECTIVES:

The Center for Medicare and Medicaid Innovation (Innovation Center) announces the State Innovation Models (SIM) initiative. The purpose of the State Innovation Models (SIM) initiative is to test whether new payment and service delivery models will produce greater results when implemented in the context of a state-sponsored State Health Care Innovation Plan. These Plans must improve health, improve health care and lower costs for a states citizens through a sustainable model of multi-payer payment and delivery reform, and must be dedicated to delivering the right care at the right time in the right setting. The Innovation Center has created the SIM initiative for states that are prepared for or committed to planning, designing, testing, and supporting evaluation of new payment and service delivery models in the context of larger

health system transformation.

This initiative is based on the premise that Governor-supported multi-payer models that have broad stakeholder input and engagement will achieve sustainable delivery system transformation that significantly improves health system performance. Because of the unique powers of state governments, Governors and their executive agencies working together with and key public and private stakeholders and the Centers for Medicare & Medicaid Services (CMS) can accelerate community-based health system improvements, with greater sustainability and effect, to produce better results for Medicare, Medicaid, and CHIP beneficiaries.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

This FOA provides two different funding opportunities; states can apply for a Model Design award or a Model Testing award but not both. States can receive only one Model Testing award. States that submit a proposal in round one and are not selected for a Model Testing cooperative agreement may submit a proposal in an expected round two, whether or not they have received a pre-testing assistance award. States may also submit a Model Testing proposal without having received a Model Design cooperative agreement.

Model Design awards will support states that need financial and technical support to engage stakeholders and complete a State Health Care Innovation Plan. A Comprehensive Plan must provide a broad vision of health system transformation and payment reform. The Plan shall describe the states broad strategy for delivery system evolution into community-integrated health care. Based on the State Health Care Innovation Plan, the state should develop a health system model design that includes the participation of multiple payers as part of a new payment and delivery system model. States receiving these awards must complete their Plan and Model Design and submit a Model Testing proposal in the second round Model Testing opportunity expected in the spring of 2013; however, Model Testing funding is not guaranteed.

Funding for Model Design will support the required work. We expect states to:

- 1) bring a broad range of stakeholders into the design process;
- 2) create multi-payer payment and service delivery models that include Medicare, Medicaid, CHIP, and other payers;
- 3) utilize the full range of their executive and legislative authority to facilitate and support new health care delivery models; and
- 4) design models that complement and coordinate with other initiatives sponsored by the CMS and Department of Health and Human Services (HHS), including the new Administration for Community Living.

Model Testing awards will provide funds for the state to implement the State Health Care Innovation Plan and to test and evaluate the proposed service delivery and payment models. CMS expects to offer two separate opportunities for states to apply for Model Testing Awards. This FOA presents the first of these FOA opportunities. Testing new payment and service delivery models in the context of the broader State Health Care Innovation Plan is the central feature of the SIM initiative. Some states may find that new payment and service delivery models that are currently available through CMS, such as the Medicare Shared Savings Program or Innovation Center initiatives, are appropriate to achieve their goals. States may also seek to build upon the new flexibility that CMS, through State Medicaid Director letters and other mechanisms, has provided to states to utilize new payment and service delivery models for the Medicaid population. Model testing proposals based on such established approaches will require less time for CMS to approve. However, we also recognize that in some limited instances, it may be appropriate for a state as part of its SIM proposal to request approval to pursue payment and service delivery models that differ from established CMS pathways. The approval process for such requests could take significantly more time.

Illustrative List of Allowable Model Design Costs Allowable costs associated with state Model Design work could include:

State staff costs to engage in model design

Staff participation and travel to relevant learning collaboratives and workshops and other relevant learning and diffusion opportunities

Investments in State data collection and analysis capacity and cost and

utilization pattern analysis

Consumer and provider engagement and focus group costs

Actuarial modeling

Performance measure development and evidence-based improvement research

Business process analysis and requirement system analysis

Policy, legal, and regulatory research to address legislative and legal frameworks for models

Planning and convening for creating a statewide allpayer data bases

Planning work relating to public health programs including the states

Healthy People 2020 plan, and meeting goals for the National Quality and/or National Prevention Strategy

Model Design costs, including: model scope development, theory of action development, target population research, setting performance targets, financial analysis and analysis of health care trend impacts, budget planning, and travel to SIM workshop and conferences

Illustrative List of Allowable Model Testing Costs Allowable costs associated with state Model Testing work could include:

Technical resources to implement new model

Model performance data collection, analysis, reporting cost

Data center costs, and system information processing associated with the model testing

Provider costs for data collection

Coordination with Innovation Center rapid cycle evaluation, and costs for collecting and preparing data for Innovation Center evaluator and/or state evaluator

Staff resources associated with model management and project management, including travel to SIM workshops and conferences

Simulation and modeling cost

Provider and beneficiary tracking system cost

Health information exchange cost associated with the model

Infrastructure costs to build or expand telemedicine system

Model beneficiary assignment or reconciliation cost

Web and internet collaborative learning and communication cost

Project management and reporting cost

Business operation associated with the model

Model contract management and administration

Building a statewide allpayer database

Completing work relating to public health programs including the states

Healthy people 2020 plan, and meeting goals for the National Quality and/or the National Prevention Strategy

Impact model evaluation data collection, reporting, beneficiary and provider survey data, and other costs associated with final model evaluation

In addition, on a limited, case-by-case, basis CMS may consider funding provider payments for performance-based shared savings.

Other activities necessary to implement the overall State Health Care Innovation Plan that will further the testing of payment and service delivery models and improve outcomes for CMS beneficiaries.

Administrative and National Policy Requirements: The following standard requirements apply to applications and awards under this FOA:

Specific cost principles and administrative requirements, as outlined in 2 CFR Part 225 and 45 CFR Part 92, apply to cooperative agreements awarded under this announcement.

All awardees under this project must comply with all applicable Federal statutes relating to nondiscrimination including, but not limited to: Title VI of the Civil Rights Act of 1964; Section 504 of the Rehabilitation Act of 1973; The Age Discrimination Act of 1975; and Title II Subtitle A of the Americans with Disabilities Act of 1990,

Terms and Conditions: Cooperative agreements issued under this FOA are subject to the Health and Human Services Grants Policy Statement (HHS GPS) at <http://www.hhs.gov/grantsnet/adminis/gpd/>. Standard terms and special terms of award will accompany the Notice of Award. Potential awardees should be aware that special requirements could apply to awards based on the particular circumstances of the effort to be supported and/or deficiencies identified in the application by the HHS review panel. The General Terms and Conditions that are outlined in Section II of the HHS GPS will apply as indicated unless there

are statutory, regulatory, or award-specific requirements to the contrary (as specified in the Notice of Award). CMS will not fund proposals that duplicate models for populations that are already being funded and tested as part of CMS or HHS initiatives. For example, if the state receives a Strong Start for Mothers and Newborns cooperative agreement, SIM funding will only be used in a coordinated manner and not to supplant funding for Strong Start for Mothers and Newborns. States may propose the use of SIM testing funds to support additional costs associated with or created by testing a SIM model.

Indirect Costs

If requesting indirect costs, an Indirect Cost Rate Agreement will be required. For this Cooperative Agreement funding opportunity indirect costs are limited to 10%. The provisions of 2 CFR Part 225 (previously OMB Circular A-87) govern reimbursement of indirect costs under this solicitation. A copy of these cost principles is available online at: http://www.whitehouse.gov/sites/default/files/omb/fedreg/2005/083105_a87.pdf

Direct Services

Cooperative Agreement funds may not be used to provide individuals with services that are already funded through Medicare, Medicaid, and/or CHIP

Reimbursement of Pre-Award Costs

No cooperative agreement funds awarded under this solicitation may be used to reimburse pre-award costs.

Prohibited Uses of Cooperative Agreement Funds

To match any other Federal funds.

To provide services, equipment, or support that are the legal responsibility of another party under Federal or state law (e.g., vocational rehabilitation, criminal justice, or foster care) or under any civil rights laws. Such legal responsibilities include, but are not limited to, modifications of a workplace or other reasonable accommodations that are a specific obligation of the employer or other party.

To supplant existing Federal, state, local, or private funding of infrastructure or services.

To be used by local entities to satisfy state matching requirements.

To pay for the use of specific components, devices, equipment, or personnel that are not integrated into the entire service delivery and payment model proposal.

To lobby or advocate for changes in Federal and/or state law.

Applicant Eligibility:

CMS invites the 50 state Governors Offices, United States Territories Governors Offices (American Samoa, Guam, Northern Mariana Islands, Puerto Rico, and the Virgin islands), and the Mayors Office of the District of Columbia to apply. Only one application from a Governor per state is permitted for Model Design requests, and one application for each round of Model Testing awards (assuming the state applied and was not selected for funding under the first round of Model Testing awards). A state cannot receive multiple Model Design, pre-testing assistance, or Model Testing awards. Each application must include a letter from the Governor (or the Mayor, if from the District of Columbia) officially endorsing the application for a Model Design award or for a Model Testing award.

All states, the District of Columbia, and U.S. Territories may submit applications for Model Design and Model Testing funding through this FOA.

Foreign, international, and faith-based organizations are not eligible to apply.

Beneficiary Eligibility:

The emphasis is on targeting Medicare, Medicaid, and CHIP populations.

The proposal describes the target populations, geographic areas, or communities that will be the focus of service delivery and payment model testing, the current quality and beneficiary experience outcomes including current health population status, and the specific improvement targets expected from the model.

Credentials/Documentation:

Employer Identification Number: All applicants must have a valid Employer

Identification Number (EIN) assigned by the Internal Revenue Service.

Dun and Bradstreet (D&B) Data Universal Numbering System (DUNS number): All applicants must have a Dun and Bradstreet (D&B) Data Universal Numbering System (DUNS) number in order to apply. The DUNS number is a nine-digit identification number that uniquely identifies business entities. Obtaining a DUNS number is free. To obtain a DUNS number, access the following website: www.dunandbradstreet.com or call 1-866-705-5711. See Section IV, Application and Submission Information, for more information on obtaining a DUNS number.

Central Contractor Registration (CCR) Requirement: All awardees must provide DUNS and EIN numbers in order to be able to register in the Central Contractor Registration (CCR) database at www.ccr.gov. Applicants must successfully register with CCR prior to submitting an application or registering in the Federal Funding Accountability and Transparency Act Subaward Reporting System (FSRS) as a prime awardee user. See Section IV, Application and Submission Information, for more guidance on CCR registration. Prime awardees must maintain a current registration with the CCR database, and may make subawards only to entities that have DUNS numbers. Organizations must report executive compensation as part of the registration profile at www.ccr.gov by the end of the month following the month in which this award is made, and annually thereafter (based on the reporting requirements of the Federal Funding Accountability and Transparency Act (FFATA) of 2006 (Pub. L. 109-282), as amended by section 6202 of Public Law 110-252 and implemented by 2 CFR Part 170)). See Section VI, Award Administration Information, for more information on FFATA. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Letter of Intent to Apply: No letter of intent is required.

Application Materials: Application materials will be available for download at <http://www.grants.gov>. Please note that HHS requires applications for all announcements to be submitted electronically through <http://www.grants.gov>. For assistance with grants.gov, contact support@grants.gov or call 1-800-518-4726. The Funding Opportunity Announcement can also be viewed on the Innovation Center website at <http://innovations.cms.gov>. Specific instructions for the format and content of applications are included in the FOA.

Standard Forms: The following standard forms must be completed with an electronic signature and enclosed as part of the proposal:

- a. SF 424: Official Application for Federal Assistance
- b. SF 424A: Budget Information Non-Construction
- c. SF 424B: Assurances-Non-Construction Programs
- d. SF LLL: Disclosure of Lobbying Activities
- e. Project Site Location Forms(s)
- f. Project Abstract Summary

In order to receive a cooperative agreement for either Model Design or for Model Testing, States must submit an application in the required format, no later than the established deadline date and time. Applications that do not meet all the technical requirements will not be reviewed. If an applicant fails to submit all of the required documents or does not address each of the topics described below, the applicant risks not being awarded a cooperative agreement.

As indicated in Section IV, Application and Submission Information, all State applicants for Model Design awards must submit the following:

- 1) Standard forms
- 2) Letter of Endorsement from Governor
- 3) Project abstract

- 4) Model design strategy
- 5) Description of stakeholder engagement
- 6) Evidence of public and private payer participation
- 7) Project organization information
- 8) Provider Engagement
- 9) Project plan and timeline
- 10) Budget narrative and itemized expenditure plan
- 11) Financial Analysis demonstrating net savings
- 12) Letters of support and participation from major stakeholders

All State applicants for Model Testing awards must submit the following:

- 1) Standard forms
- 2) Letter of Endorsement from Governor
- 3) Project abstract
- 4) State Health Care Innovation Plan
- 5) Description of the model testing strategy
- 6) Description of expected engagement and transformation of major provider entities within the State
- 7) Description of roles of other payers and stakeholders participating in the model
- 8) Description of multi-stakeholder engagement and commitment
- 9) Budget Narrative and expenditure plan
- 10) Financial Analysis demonstrating net savings
- 11) Plan for performance reporting, continuous improvement, and evaluation support
- 12) Model Testing project plan and timeline with milestones
- 13) Letters of support and participation from major stakeholders including key provider groups, and payers committing to transforming their clinical and business models in support of the Model objectives.

Award Procedure:

Successful applicants will receive a Notice of Award (NoA) signed and dated by the CMS Grants Management Officer. The NoA is the document authorizing the cooperative agreement award and will be sent through electronic mail to the applicant organization as listed on the SF424. Any communication between CMS and applicants prior to issuance of the NoA is not an authorization to begin performance of a project. Unsuccessful applicants are notified within 30 days of the final funding decision for each cooperative agreement and will receive a disapproval letter via the U.S. Postal Service and/or electronic mail.

Deadlines:

Jul 19, 2012 to Sep 17, 2012: Please see "Other Deadline Information".
Electronic Cooperative Agreement Application Due Dates:

Model Design Applications: Due September 17, 2012, by 5 p.m., EDT

Round One Model Testing Applications: Due September 17, 2012, by 5 p.m., EDT.

Range of Approval/Disapproval Time:

Anticipated Notice of Cooperative Agreement Award Dates:

Model Design Phase: Award Date: November 15, 2012

Round One Model Testing: Award Date: November 15, 2012.

Appeals:

CMS reserves the right to approve or deny any or all proposals for funding. Note that section 1115A of the Social Security Act states that there is no administrative or judicial review of the selection of organizations, sites, or participants to test models.

Renewals:

N/A.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching requirements are not applicable to this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Anticipated Period of Performance

States receiving Model Design awards and pre-testing assistance awards have from announcement of award until May 14, 2013 to complete their State Health Care Innovation Plans and Model Designs. The period of performance and budget period for Model Design and Model Pre-Testing assistance awards will be six months.

States receiving Model Testing awards will be placed on one of two tracks: Ready-to-go states and New Model States. Ready-to-go Model Testing awards are for 42 months and will be divided into four budget periods. The first budget period will be six months followed by three budget periods of 12 months each. Also note, the period of performance and budget period for States receiving pre-testing assistance awards is six months. The period of performance for New Model Testing awards is 48 months and will be divided into five budget periods. The first budget period will be approximately six months for waiver/plan review followed by six months for implementation and then by three budget periods of 12 months each. Also note, the period of performance and budget period for States receiving pre-testing assistance awards is six months.

Cooperative Agreement Anticipated Period of Performance:

Model Design:

-From award date through May 14, 2013.

Model Testing:

-Ready-to-Go States Up to 6 months for implementation readiness

-36 months for and testing after the date of award, through May 14, 2016.

-New Model States - Approximately 6 months for CMS review and up to 6 months for implementation readiness and 36 months for implementation and testing after the date of award, through November 14, 2016

States in the Ready-to-Go track are expected to implement model testing within 6 months of receiving the award. Each states capacity and readiness to implement its proposed model within the six month period after the award will be assessed. For States in the New Model track, an additional estimated 6 months will be provided for CMS review of needed waivers.

Once the Model Testing period begins 6 months after award for Ready-to-Go States and approximately 12 months after award for New Model States the model will run for three consecutive years. However, should the model fail to meet its performance milestones, including savings targets, CMS may modify or terminate that agreement prior to the completion of the three-year testing period. The Model Testing cooperative agreement with the state will delineate all testing and evaluation support requirements for the model. See the following for information on how assistance is awarded/released: Anticipated date of awards for Model Design or Model Pre-Testing assistance is November 15, 2012. Anticipated date of First round awards for Model Testing is November 15, 2012. All cooperative agreement awards (Model Design, Model Pre-Testing assistance, Model Testing) will have an initial budget period of six months.

Reports:

Awardees must agree to cooperate with any federal evaluation of the model and performance results and provide required quarterly, semi-annual (every six months), annual and final (at the end of the cooperative agreement period) reports in a form prescribed by CMS. Reports will be submitted electronically. These reports will include how cooperative agreement funds were used, describe project or model progress, and describe any barriers, delays, and measurable outcomes. CMS will provide the format for project and model reporting and technical assistance necessary to complete required report forms. States must also agree to respond to requests that are necessary for the evaluation of the Model Design, pre-testing assistance, or Model Testing efforts and provide data on key elements of model performance and on results from the

cooperative agreement activities.

Project Monitoring: CMS will enlist a third party entity to assist us in monitoring the model implementation and testing performance results and outcomes. CMS plans to collect data elements to be part of monitoring for all of the different state models, and these monitoring and surveillance elements will feed into the evaluation. All awardees will be required to cooperate in providing the necessary data elements to CMS or a CMS contractor.

Evaluation: The evaluation strategy for this initiative includes three parts: an overall design and data collection phase, rapid cycle evaluation of state models, and an impact evaluation. Broadly, CMS will evaluate each design and each state model and then compare all models to identify themes related to improved care and health outcomes and reduced costs. While states must play an active role in these evaluations, particularly in regard to Medicaid and CHIP benefits, so that these evaluation efforts continue after the model funding has ended, CMS is in charge of the evaluation process and reports.

Impact Evaluation: Towards the end of the Model Test, the evaluation contractor will conduct impact evaluations of the effectiveness of each state model on key outcomes for target Medicare, Medicaid, and CHIP beneficiaries. Again, either difference-in-difference or time trend models, using concurrent controls, will be used to evaluate the impact of the models.

Executive Compensation: Organizations must report executive compensation as part of the registration profile at www.ccr.gov by the end of the month following the month in which this award is made, and annually thereafter (based on the reporting requirements of the Federal Funding Accountability and Transparency Act (FFATA) of 2006 (Pub. L. 109-282), as amended by section 6202 of Public Law 110-252 and implemented by 2 CFR Part 170)). See Section VI, Award Administration Information, for more information on FFATA.

Federal Funding Accountability and Transparency Act (FFATA) Subaward Reporting Requirement: New awards issued under this funding opportunity announcement are subject to the reporting requirements of the Federal Funding Accountability and Transparency Act of 2006 (Pub. L. 109282), as amended by section 6202 of Public Law 110252 and implemented by 2 CFR Part 170. Grant and cooperative agreement States must report information for each first-tier sub-award of \$25,000 or more in Federal funds and executive total compensation for the States and sub-recipients five most highly compensated executives as outlined in Appendix A to 2 CFR Part 170 (available online at www.fhrs.gov). States must submit a quarterly electronic SF-425 via the Payment Management System. The report identifies cash expenditures against the authorized funds for the cooperative agreement. Failure to submit the report may result in the inability to access funds. Awardees must agree to cooperate with any federal evaluation of the model and performance results and provide required quarterly, semi-annual (every six months), annual and final (at the end of the cooperative agreement period) reports in a form prescribed by CMS. Reports will be submitted electronically. These reports will include how cooperative agreement funds were used, describe project or model progress, and describe any barriers, delays, and measurable outcomes. CMS will provide the format for project and model reporting and technical assistance necessary to complete required report forms. States must also agree to respond to requests that are necessary for the evaluation of the Model Design, pre-testing assistance, or Model Testing efforts and provide data on key elements of model performance and on results from the cooperative agreement activities. The Federal Financial Report (FFR or Standard Form 425) has replaced the SF-269, SF-269A, SF-272, and SF-272A financial reporting forms. All grantees must utilize the FFR to report cash transaction data, expenditures, and any program income generated. States must report on a quarterly basis cash transaction data via the Payment Management System (PMS) using the FFR in lieu of completing a SF-272/SF272A. The FFR, containing cash transaction data, is due within 30 days after the end of each quarter.

In addition to submitting the quarterly FFR to PMS, states must also provide, on an annual basis, a hard copy FFR to CMS which includes their expenditures and any program income generated in lieu of completing a Financial Status Report (FSR) (SF269/269A). Expenditures and any program income generated

should only be included on the annually submitted FFR, as well as the final FFR. Annual hard-copy FFRs should be mailed and received within 30 calendar days of the applicable year end date. The final FFR should be mailed and received within 90 calendar days of the project period end date. More details will be outlined in the Notice of Award. CMS will enlist a third party entity to assist us in monitoring the model implementation and testing performance results and outcomes. CMS plans to collect data elements to be part of monitoring for all of the different state models, and these monitoring and surveillance elements will feed into the evaluation. All awardees will be required to cooperate in providing the necessary data elements to CMS or a CMS contractor. The contractor would assist CMS in developing a cost, quality, beneficiary experience, and population health monitoring and review model performance to ensure model design requirements are met; tracking performance across awardees and providing for rapid cycle evaluation and early detection of model performance issues; developing a system to collect, store, and analyze data to assess health care cost and utilization, quality performance, beneficiary experience, and population health improvements and assisting with state implementation, including coordination between states and CMS and its other contractors.

Data for monitoring will include process, safety, and performance measures including beneficiary experience. It will include, but will not be limited to, data on the background characteristics of the target population and target area, data characterizing the activities of the model testing and a battery of follow-up data describing relevant characteristics of the target population or target area and metrics at selected intervals after commencement of the delivery system and/or payment model. This will include detailed information on participant characteristics and outcomes reported in a standard format. Data for monitoring will be collected from awardees and/or CMS claims data, electronic health record, public health or other sources. The model monitoring aspect of this initiative will balance the examination of the extent to which awardees demonstrate fidelity to their proposed delivery system and payment models and the potential need to make mid-course corrections that improve or optimize performance of the delivery system or payment models based on feedback from the monitoring and rapid cycle evaluation findings. The evaluation will also assess whether there is evidence of harm or unintended consequences as a result of the models or testing methods.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Awardees must comply with the audit requirements of Office of Management and Budget (OMB) Circular A-133. Information on the scope, frequency, and other aspects of the audits can be found on the Internet at www.whitehouse.gov/omb/circulars.

Account Identification:

75-0522-0-1-551 - N/A.

Obligations:

(Salaries) FY 12 Not Available; FY 13 est \$117,000,000; and FY 14 est \$100,000,000

Range and Average of Financial Assistance:

CMS may award a total of up to \$50 million for up to twenty five (25) states for Model Design cooperative agreements. Any pre-testing assistance awards provided to unsuccessful applicants for Model Testing awards will be counted toward this limit of 25 Model Design cooperative agreements.) CMS may award a total of up to \$270 million in funding for up to six state-sponsored Model Testing cooperative agreements, awarded in this first round. All states, the District of Columbia, and U.S. Territories may submit applications for Model Design and Model Testing funding in round one through this FOA.

Model Design: State Model Design awards will be based on the budget

submitted by the state to support its work to produce a Comprehensive Health Care Transformation Plan and Model Design proposal. The range for Model Design cooperative agreement awards is \$1 million to \$3 million. State budget proposals will be reviewed to determine the appropriateness of itemized budget expenditure estimates and the total requested amount. CMS reserves the right to request modifications to the Model Design budget and expenditure plan. Consideration will be given to the size of the Medicaid, CHIP, and Medicare population in the state as well as the overall efficiency and sustainability of the proposal.

Model Testing: Up to six Model Testing cooperative agreements will be awarded under the State Innovation Model initiative in this first round of awards. Each states budget plan will be reviewed to determine appropriateness of the amount requested based on the models complexity, size of the target population, spectrum of state policy activity, level of multi-payer and other stakeholder engagement, the return on investment, and the strength of the evidence base or logic model in supporting the expected impact of the Plan. CMS expects the total award for a Model Testing will range from \$20 to 60 million for the implementation and testing period. This amount would include the cost of testing and evaluating the model. The Innovation Center is responsible for the evaluation for each Model Test. States must also develop their own model evaluation process, under the guidance of the Innovation Center. In general, we expect that Model Testing awards will cover only costs not normally part of a states operational cost, data collection cost, or administrative cost.

States applying for Model Testing awards may receive pre-testing assistance ranging from \$1-3 million if they do not qualify for a full Model Testing award, but meet enough of the Testing award requirements to merit further consideration. The eligibility standards, deliverables and other requirements for pre-testing awards are based on the review of the states Model Testing application.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Cooperative agreements issued under this FOA are subject to the Health and Human Services Grants Policy Statement (HHS GPS) at <http://www.hhs.gov/grantsnet/adminis/gpd/>. Standard terms and special terms of award will accompany the Notice of Award. Potential awardees should be aware that special requirements could apply to awards based on the particular circumstances of the effort to be supported and/or deficiencies identified in the application by the HHS review panel. The General Terms and Conditions that are outlined in Section II of the HHS GPS will apply as indicated unless there are statutory, regulatory, or award-specific requirements to the contrary (as specified in the Notice of Award).

Regional or Local Office:

None.

Headquarters Office:

James T. Johnston 7205 Windsor Blvd, Baltimore, Maryland 21244 Email: james.johnston@cms.hhs.gov Phone: 410-786-2817

Website Address:

<http://www.innovations.cms.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Model Design Awards: States that submit Model Design applications will be reviewed and scored based on the quality of their proposals. The review criteria for Model Design applications are based on a total of 100 points allocated across the following areas:

Model Design Strategy: (30 points)

Plan for Provider Engagement: (15 Points)

Evidence of Payer and other Stakeholder Engagement: (15 points)

Organizational Capacity, Project Plan and Timeline (10 points)
Model Design Budget and Financial Analysis (30 points)

Model Testing Awards: States that submit Model Testing applications will be reviewed and scored based on the quality of their proposals. The Model Testing proposals receiving the highest scores, and meeting other criteria specified under the Review and Section Process section of this FOA, will be offered cooperative agreements to implement and test multi-payer payment and/or service delivery models. States with lower scores signifying that additional implementation work is needed, may be offered pre-testing assistance awards. Part of the review process will include an analysis of the readiness of the state to implement a model within the six months after approval of a cooperative agreement award. Applications will be scored with a total of 100 points possible. The following criteria will be used to evaluate applications received in response to this solicitation:

Model Testing Strategy: (25 points)
Evidence and Scope of Provider Engagement: (15 Points)
Participation of Other Payers: (15 points)
Organizational Capacity, Project Plan and Timeline: (5 points)
Multi-Stakeholder Commitment: (5 points)
Model Testing Operational Budget/Financial Analysis and Model Sustainability (25 points)
Performance Reporting and Rapid Cycle Evaluation and Evaluation Support: (10 points).

93.626 AFFORDABLE CARE ACT STATE HEALTH INSURANCE ASSISTANCE PROGRAM (SHIP) AND AGING AND DISABILITY RESOURCE CENTER (ADRC) OPTIONS COUNSELING FOR MEDICARE-MEDICAID INDIVIDUALS IN STATES WITH APPROVED FINANCIAL ALIGNMENT MODELS

SHIP and ADRC Options Counseling for Medicare-Medicaid Individuals in States with Approved Financial Alignment Models

FEDERAL AGENCY:

Centers for Medicare and Medicaid Services, Department of Health and Human Services

AUTHORIZATION:

This solicitation is being issued under section 1115A of the Social Security Act (added by section 3021 of the Patient Protection and Affordable Care Act (P.L. 111-148)), which authorizes the Innovation Center to test innovative payment and service delivery models to reduce program expenditures under Medicare, Medicaid, and the Childrens Health Insurance Program while preserving or enhancing the quality of care.

OBJECTIVES:

The goal of this Funding Opportunity is to provide funding over a three year period to states that have signed a Memorandum of Understanding (MOU) with CMS to implement a CMS-approved State Financial Alignment Initiative. In April 2011, the Medicare-Medicaid Coordination Office awarded design contracts to 15 states to implement State Demonstrations to Integrate Care for Medicare-Medicaid Individuals. Subsequently, CMS released a letter to State Medicaid Directors which discussed two models for integrating care and aligning financial incentives for Medicare and Medicaid as part of CMS Financial Alignment Initiative, a joint initiative of the Innovation Center and the Medicare Medicaid Coordination Office, under which States and CMS will collaborate to integrate care and financing for Medicare-Medicaid individuals. The Financial Alignment Initiative is a unique Federal-state partnership to test aligning the service delivery and financing of the Medicare and Medicaid programs to better serve Medicare-Medicaid individuals. As part of this effort, there is a need for strong beneficiary support education and outreach in each State that is implementing a Financial Alignment model, including options counseling for beneficiaries.

Awardees will develop and implement a coordinated SHIP/ADRC strategy and action plan to provide beneficiaries who are eligible for both Medicare and Medicaid during both the Phase 1 Activities and Ongoing Activities of the program, as described in the funding opportunity announcement - with objective information and one-on-one counseling on the nature of the states

Financial Alignment model. Such activities will include, but are not limited to, providing information and counseling as to how and when the project will be implemented, the options these dual eligible beneficiaries will have for receiving their Medicare and Medicaid services in their state, the appeal rights they will have under the model, and what they need to do to participate in the program. The SHIP/ADRC staff assigned to this project must be able to provide this information and counseling in a way that is easy for beneficiaries to understand and is culturally and linguistically appropriate. These activities must be carried out in a SHIP/ADRC. Also, the SHIP/ADRC should possess a basic understanding of all CMS approved State Financial Alignment Initiatives.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

This Funding Opportunity will provide financial assistance to State Health Insurance Assistance Programs (SHIPs) and/or Aging and Disability Resource Centers (ADRCs) to provide options counseling to Medicare-Medicaid Individuals (dual eligibles) to ensure that these individuals have access to an unbiased and consumer friendly source of information and counseling distinct from the Demonstration Plans and Enrollment Brokers to help them make informed decisions about options they have for receiving their Medicare and Medicaid benefits.

Applicant Eligibility:

Eligible applicants from the CMS-approved Financial Alignment States include any one of the State agencies that administers the ADRC and/or SHIP programs(s) within the State (e.g. State Unit on Aging, State Department of Insurance, a State Disability Agency, State Medicaid Agency or other State entity).

Beneficiary Eligibility:

State Medicaid Agencies and the Medicaid Agencies in the Federal Territories This cooperative agreement only serves beneficiaries that are dually eligible for Medicare and Medicaid coverage.

Credentials/Documentation:

Yes. In order to apply, applicants must include a copy of the signed MOU with CMS to implement an approved Financial Alignment model. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. The standard application form SF-424 and related forms must be used for this program. In addition to the standard forms, applicants must provide a copy of the signed MOU with CMS to implement an approved Financial Alignment model. Applicants should review the application and submission information in the funding opportunity announcement for specific instructions on applying for this cooperative agreement program.

Award Procedure:

Successful applicants will receive a Notice of Award (NoA) signed and dated by the Grants Management Officer.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

This Cooperative Agreement is being funded for a period of performance of three (3) years, with support beyond the first year contingent upon acceptable evidence of satisfactory progress, and continuing program relevance. See the following for information on how assistance is awarded/released: Successful applicants will receive a Notice of Award. Unsuccessful applicants will be notified by letter. Applicants should review the award administration information of the funding opportunity announcement issued under this CFDA program for more information.

Reports:

Yes. Applicants should review the individual funding opportunity announcement for information on programmatic and evaluation reporting requirements. Yes. Submit a quarterly electronic Federal Financial Report (FFR) via the Payment Management System. The quarterly report identifies cash transactions against the authorized funds for the grant. The FFR Cash Transaction Reports must be filed within 30 days of the end of each quarter. Failure to submit the report may result in the inability to access grant funds. Go to - www.dpm.psc.gov for additional information. The final FFR, including both cash transactions and expenditures, must be submitted and received within 90 days of the end of the project period. Yes. Applicants should review the individual funding opportunity announcement for information on programmatic and evaluation reporting requirements. Yes. Grantees must include expenditures on the annual FFR (submitted at the end of each annual year). The final FFR must be submitted within 90 days after the end of the project period end date and include both cash transactions and expenditures data. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Proper accounting records, identifiable by project number including all receipts and expenditures must be maintained for 3 years. Subsequent to audit, they must be maintained until all questions are resolved.

Account Identification:

75-0522-0-1-551.

Obligations:

(Project Grants) FY 12 \$0; FY 13 Estimate Not Available(Exp: TBD); and FY 14 Estimate Not Available(Exp: TBD)

Range and Average of Financial Assistance:

The total estimated amount of Federal funds available is \$5,000,000. Individual awards will range from \$250,000 to \$1,000,000. States with a signed Memorandum of Understanding (MOU) that receive awards under this funding opportunity will, per that MOU, be required to support options counseling. Following the initial deadline, based on available funding, applications will be accepted for review and approval throughout fiscal year 2013 according to the due dates outlined in the funding opportunity announcement.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Applicants should refer to the funding opportunity announcement for applicable regulations, guidelines and literature.

Regional or Local Office:

See Regional Agency Offices. Christopher Clark, Office of Acquisition and Grants Management (OAGM), Centers for Medicare and Medicaid Services, 200 Independence Ave, SW, Room 733H-02, Washington DC 20201.

Headquarters Office:

Christopher Clark 200 Independence Ave., SW, Room 733H-02, Washington, District of Columbia 20201 Email: Christopher.Clark@cms.hhs.gov Phone: 301-492-4319

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applicant should refer to the funding opportunity announcement under this CFDA program for specific criteria requirements on proposal selection.

93.627 AFFORDABLE CARE ACT: TESTING EXPERIENCE AND FUNCTIONAL ASSESSMENT TOOLS

TEFT

FEDERAL AGENCY:

Centers for Medicare and Medicaid Services, Department of Health and Human Services

AUTHORIZATION:

Section 2701 of the Affordable Health Care Act.

OBJECTIVES:

To support State Medicaid agencies in testing, collecting, and reporting the Initial Core Set of Health Care Quality Measures for Adults Enrolled in Medicaid to CMS. Additionally, the grant funding will also support States efforts to use this data for improving the quality of care for adults covered by Medicaid.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Each participating State Medicaid grantee will be required to implement a grant project with the following three aims:

1. Testing and evaluating methods for collection and reporting of the Initial Core Set Measures in varying delivery care settings (e.g. managed care, fee-for-service, long term care settings such as nursing homes and intermediate care facilities). States are encouraged to demonstrate alignment with existing methods and infrastructures for collection and reporting [e.g., Health Information Technology for Economic and Clinical Health (HITECH), Medicaid Management Information Systems (MMIS)];
2. Developing staff capacity to report the data, analyze, and use the data for monitoring and improving access and the quality of care in Medicaid; and
3. Conducting at least two Medicaid quality improvement projects related to the Initial Core Set Measures. States are encouraged to consider alignment with CMS or other Federal quality improvement activities such as the National Quality Strategy, Strong Start Initiative, Partnership for Patients, Million Hearts Initiative, etc. States may not use funds as the State's share of the Medicaid program costs or as supplemental Disproportionate Share Hospital (DSH) payments.

Applicant Eligibility:

Grant applicants are limited to the 51 State Medicaid Agencies and the Medicaid Agencies in the US Territories. .

Beneficiary Eligibility:

N/A.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from

coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Applicants must register on www.grants.gov in order to apply for this grant. Applicants are encouraged to register early as the registration process can take as long as four weeks if all steps are not completed in a timely manner. The standard application form SF-424 and related forms must be used for this program. Applicants must submit their applications electronically through <http://www.grants.gov>. Applicants are encouraged to submit a non-binding Letter of Intent to Apply. Letters of Intent to Apply are not required and a states submission or failure to submit a letter has no bearing on the scoring of proposals received. Applicants should review the application and submission information in the funding opportunity announcement for specific instructions on applying for this grant program.

Award Procedure:

CMS will make a decision for each application received. Each applicant will receive written notification of CMS decision. Applicants approved for a grant award must submit a letter of acceptance to CMS within 30 days of the date of award, agreeing to the terms and conditions of the award letter.

Deadlines:

Aug 15, 2013 to Aug 14, 2017 Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Grantee can receive a second year of funding based on first year performance and progress towards meeting the grant project goals outlined in the application as well as in the terms and conditions.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grants agreements are funded on a 12-month basis, with support for the second year (final year) contingent upon acceptable evidence of satisfactory progress, continuing program relevance, and availability of funds. The maximum time period for funding is 24 months. See the following for information on how assistance is awarded/released: Successful applicants will receive a Notice of Award. Unsuccessful applicants will be notified by letter. Applicants should review the award administration information of the funding opportunity announcement issued under this CFDA program for more information. . See the following for information on how assistance is awarded/released: Successful applicants will receive a Notice of Award. Unsuccessful applicants will be notified by letter. Applicants should review the award administration information of the funding opportunity announcement issued under this CFDA program for more information.

Reports:

Reports due are: two Semi-annual progress reports; one Annual progress report; performance measurement data submitted to CMS on least 15 Initial Core Measures; and one Final report addressing the entire two year grant. Applicants should review the individual funding opportunity announcement for information on programmatic and evaluation reporting requirements. Submit a quarterly electronic Federal Financial Report (FFR) via the Payment Management System. The quarterly report identifies cash transactions against the authorized funds for the grant. The FFR Cash Transaction Reports must be filed within 30 days of the end of each quarter. Failure to submit the report may result in the inability to access grant funds. Go to - www.dpm.psc.gov for additional information. The final FFR, including both cash transactions and expenditures, must be submitted and received within 90 days of the end of the project period. Applicants should review the individual funding opportunity announcement for information on programmatic reporting requirements.

Grantees must include expenditures on the annual FFR (submitted at the end of the budget period). The final FFR must be submitted within 90 days after the end of the project period end date and include both cash transactions and expenditures data. No performance monitoring is required. States must submit a quarterly electronic Federal Financial Report (FFR) via the Payment Management System. The quarterly report identifies cash transactions against the authorized funds for the grant. The FFR Cash Transaction Reports must be filed within 30 days of the end of each quarter. Failure to submit the report may result in the inability to access grant funds. Go to - www.dpm.psc.gov for additional information. The final FFR, including both cash transactions and expenditures, must be submitted and received within 90 days of the end of the project period. The Grantees must participate in monthly TA conference calls (or other periods as defined by CMS), creating the opportunity for States to share lessons learned, develop solutions to address challenges, and provide hands on technical assistance and guidance to Grantees. Grantees must include expenditures on the annual FFR (submitted at the end of the budget period). The final FFR must be submitted within 90 days after the end of the project period end date and include both cash transactions and expenditures data. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Recipients are required to maintain grant accounting records 3 years after the date the grant is officially closed. If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0511-0-1-550.

Obligations:

(Salaries) FY 12 \$56,000,000; FY 13 est \$56,000,000; and FY 14 Estimate Not Available

Range and Average of Financial Assistance:

Grant awards up to \$1 million for each 12-month budget period, with an estimated total of up to \$2 million per Grantee over the two-year project period.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Refer to the Funding Opportunity Announcement for more information

Regional or Local Office:

See Regional Agency Offices. Michelle Feagins
Grants Management Officer
Centers for Medicare and Medicaid Services
Office of Acquisition and Grants Management
200 Independence Ave., S.W.
Room 733H-02
Washington, DC 20201

Health Insurance Specialist, Mark D. Smith
Centers for Medicare & Medicaid Services
7500 Security Boulevard
Baltimore, MD 21244-1850
Phone: 410-786-8015
E-mail: Mark.Smith@cms.hhs.gov.

Headquarters Office:

Michelle Feagins 200 Independence Ave., SW
Room 733H-02

, Washington, District of Columbia 21201 Email:
michelle.feagins@cms.hhs.gov Phone: 301-492-4312

Website Address:

<http://www.medicaid.gov/Medicaid-CHIP-Program-Information/By-Topics/Quality-of-Care/Adult-Medicaid-Quality-Grants.html>

RELATED PROGRAMS:

93.791 Money Follows the Person Rebalancing Demonstration

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the Funding Opportunity Announcement for more information.

93.628 AFFORDABLE CARE ACT IMPLEMENTATION SUPPORT FOR STATE DEMONSTRATIONS TO INTEGRATE CARE FOR MEDICARE-MEDICAID ENROLLEES

ACA Implementation Support for State Demonstrations (ISSD)

FEDERAL AGENCY:

Centers for Medicare and Medicaid Services, Department of Health and Human Services

AUTHORIZATION:

Patient Protection and Affordable Care Act, P.L. 111-148, section 3021.

OBJECTIVES:

Through this Funding Opportunity, Centers for Medicare & Medicaid Services (CMS) seeks to fund activities necessary to implement the demonstrations to integrate care for Medicare-Medicaid enrollees, especially those that promote beneficiary engagement and protection of beneficiary rights. The purpose of the cooperative agreement is to provide funding over a two-year period to states that previously had design contracts and also have a signed Memorandum of Understanding (MOU) with CMS to implement a CMS-approved demonstration.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Awardees will implement the Cooperative Agreement following CMS direction. CMS seeks to fund activities necessary to implement the demonstrations to integrate care for Medicare-Medicaid enrollees, especially those that promote beneficiary engagement and protection of beneficiary rights.

Implementation tasks will vary, but could include:

- Beneficiary and provider outreach and education;
- Participant ombudsmen activities to support individual advocacy and independent systematic oversight with a focus on compliance with principles of community integration, independent living, and person-centered care in the home and community based care context;
- Independent enrollment broker and information and assistance for beneficiaries;
- Stakeholder engagement;
- Provider training;
- Ongoing monitoring of health plans, care coordination entities, and providers, including network adequacy;
- Improvement to and execution of grievances and appeals;
- Ongoing processes to confirm network adequacy;
- Tracking claims and quality data;
- Actuarial analysis and rate-setting;
- State-level IT systems modifications to support beneficiary enrollment and data collection and reporting; and
- Evaluation activities that do not duplicate those performed by the CMS independent evaluator for the demonstration.

Awardees will develop and submit implementation plans that describe how the awardee will manage the project. Awardees must submit regular progress reports to summarize progress against milestones identified in the implementation plans. The following standard requirements apply to applications and awards under the ISSD funding opportunity announcement:

Specific administrative and policy requirements of Grantees as outlines in 45 CFR 92.2 CFR Part 225 (previously OMB Circular A-87) and OMB Circulars

A-102 and A-133 apply to this cooperative agreement opportunity.

All awardees under this project must comply with all applicable Federal statutes relating to nondiscrimination including, but not limited to:

- Title VI of the Civil Rights Act of 1964,
 - Section 504 of the Rehabilitation Act of 1973,
 - The Age Discrimination Act of 1975,
 - Hill-Burton Community Service nondiscrimination provisions, and
 - Title II Subtitle A of the Americans with Disabilities Act of 1990,
- All equipment, staff, other budgeted resources, and expenses must be used exclusively for the project identified in the awardees original cooperative agreement application or agreed upon subsequently with HHS, and may not be used for any prohibited uses.

Prohibited Uses of Cooperative Agreement Funds

To match any other federal funds.

To provide services, equipment, or supports that are the legal responsibility of another party under federal or state law (e.g., vocational rehabilitation or education services) or under any civil rights laws. Such legal responsibilities include, but are not limited to, modifications of a workplace or other reasonable accommodations that are a specific obligation of the employer or other party.

To supplant existing state, local, or private funding of infrastructure or services, such as staff salaries, etc.

To be used by local entities to satisfy state matching requirements.

To provide infrastructure for which federal Medicaid matching funds are available at the 90 / 10 matching rate, such as certain information systems projects.

To pay for the use of specific components, devices, equipment, or personnel that are not integrated into the application.

Construction or alteration and renovation of real property (A&R).

Any equipment, (including information technology equipment), over \$5,000 must be approved by CMS.

Applicant Eligibility:

Funding under this opportunity is only available to states that were previously awarded a State Demonstration to Integrate Care for Dual Eligible Individuals - Design Contract in 2011 and associated state designated entities (i.e., those entities designated by those state Medicaid agencies) that are authorized by law to administer cooperative agreements, grants, and contracts in support of state programs. Funding is only available once the state has signed a Memorandum of Understanding with CMS to implement their demonstration design.

Beneficiary Eligibility:

Under this funding opportunity, interventions shall primarily target individuals enrolled in both Medicare and Medicaid.

Credentials/Documentation:

Applicants must be states that were previously awarded a State Demonstration to Integrate Care for Dual Eligible Individuals Design Contract in 2011 and associated state designated entities that are authorized by law to administer cooperative agreements, grants, and contracts in support of state programs. These States may submit applications, but funding is only available once the state has signed a Memorandum of Understanding with CMS to implement their demonstration design.

Applications must include a cover letter expressing support from the state Medicaid director. If applicable, this letter shall express support for the state designated entity to apply and administer the cooperative agreement.

In addition to this documentation, the application is expected to address how the applicant will implement the cooperative agreement program, including how the implementation activities are necessary to implement the demonstration. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. N/A

Award Procedure:

The review process will include the following:

Applications will be screened to determine eligibility for further review using the criteria detailed in the solicitation. Applications received late or that fail to meet the eligibility requirements or do not include the required forms will not be reviewed.

Applications must include a cover letter of support from the state Medicaid director. If not, the applicants submission will not receive further consideration and will not be eligible for award.

A team consisting of staff from HHS and potentially other outside experts will review all applications. The review panel will assess each application to determine the merits of the application and the extent to which the proposed program furthers the purposes of the program. CMS reserves the option to request that applicants revise or otherwise modify their applications and budget based on the recommendations of the panel.

Applications will be scored with a total of 100 points available. The following criteria will be used to evaluate applications received in response to this solicitation. More information about each criteria can be found in the funding opportunity announcement.

- o Proposed approach (40 points)
- o Organizational capacity and management plan (25 points)
- o Evaluation and reporting (10 points)
- o Budget and budget narrative (25 points)

The results of the objective review of the applications by qualified experts will be used to advise the approving HHS official. Final award decisions will be made by an HHS program official. In making these decisions, the HHS program official will take into consideration: recommendations of the review panel; the extent to which the requested resources directly support demonstration implementation efforts; any overlap with existing resources that support implementation; the extent to which the state is committing state resources to implementation efforts; the reasonableness of the estimated cost to the government and anticipated results; likelihood that the proposed project will result in the benefits expected; and availability of funding.

Successful applicants will receive one cooperative agreement per application issued under this announcement, pending the signing of an MOU with CMS to implement the demonstration design.

Deadlines:

Jan 28, 2012 to May 29, 2013: Applications are due January 28, 2012. A second round of applications is due April 15, 2013. A third round of applications is due May 29, 2013. Applications are due January 28, 2012. A second round of applications is due April 15, 2013. A third round of applications is due May 29, 2013. The anticipated period of performance is two years from award. The anticipated funding period for the January 28, 2013 applicants is March 15, 2013 March 14, 2015. The anticipated funding period for the April 15, 2013 applicants is May 29, 2013 to May 28, 2015. The anticipated funding period for the May 29, 2013 applicants is July 15, 2013 to July 14, 2015.

Range of Approval/Disapproval Time:

The anticipated notice of cooperative agreement dates are approximately March 15, 2013, May 29, 2013, and July 15, 2013.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Percent: 25%. 25% in year two only.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The project period of performance is two years. For the first 12-month period of performance, cooperative agreement funding may cover 100% of approved implementation activities during this period.

In the second 12-month period of performance, cooperative agreement funding is available for 75% of the costs of approved implementation activities. The applicant must fund the remaining 25%. See the following for information on how assistance is awarded/released: Award will be made through cooperative

agreements.

Reports:

Awardees must submit semi-annual Progress Reports that describe their activities, outcomes related to their goals, challenges and lessons learned with final copies of formal funding agreements developed during the grant period attached. The semi-annual Progress Reports shall also detail how cooperative agreements funds were used for each six-month period.

In the second budget period, the report shall report expenditure of the non-federal share. This information shall be provided to CMS using the SF-424A form. CMS will use this information to monitor operations.

Awardees must agree to cooperate with any federal evaluation of the program and provide required quarterly, semi-annual, and final reports in a form prescribed by CMS. Awardees must submit a semi-annual electronic SF-425 via the Payment Management System and to the CMS Office of Acquisition and Grants Management/Grants Specialist. The report identifies cash expenditures against the authorized funds for the cooperative agreement. Failure to submit the report may result in the inability to access funds.

Awardees must submit semi-annual Progress Reports that describe their activities, outcomes related to their goals, challenges and lessons learned with final copies of formal funding agreements developed during the grant period attached. The semi-annual Progress Reports shall also detail how cooperative agreements funds were used for each six-month period.

In the second budget period, the report shall report expenditure of the non-federal share. This information shall be provided to CMS using the SF-424A form. CMS will use this information to monitor operations.

Within 90 days of the end of the cooperative agreement, the awardee shall submit a final report to CMS that summarizes federal and state expenditures for implementation activities, review lessons learned, and inform CMS about implementation needs to replicate successful demonstrations in other states or make potential future Medicare and Medicaid policy changes. Awardees shall detail how cooperative agreement funds were used for each six-month period. This information shall be provided to CMS using the SF 424A. Awardees will be monitored based on their progress to execute the implementation plan and achieve the outcomes developed collaboratively between CMS and the awardee during the first 25 calendar days of the cooperative agreement.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Awardees must track data required for tracking progress in the implementation plan and for semi-annual progress reports and the final report.

Account Identification:

75-0522-0-1-551.

Obligations:

(Formula Grants (Cooperative Agreements)) FY 12 Not Available(Exp: NA); FY 13 Estimate Not Available(Exp: TBD); and FY 14 Estimate Not Available - TBD.

Range and Average of Financial Assistance:

CMS expects to make awards ranging from \$1,000,000 to \$15,000,000 to each grantee to cover a two-year cooperative agreement period of performance, and CMS reserves the right to award less or more depending on the scope and nature of the individual applications received. Awardees may not receive the total award amount requested but may be asked to revise the work plan and reflect the funding that CMS will award.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: N/A. Fiscal Year 2013: It is anticipated that up to 17 applications will be received and up to 17 awards will be granted in fiscal year 2013. Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

The background provided in the funding opportunity announcement describes

relevant literature.

Regional or Local Office:

See Regional Agency Offices. All programmatic questions about the Implementation Support for State Demonstrations to Integrate Care for Medicare-Medicaid Enrollees (ISSD) may be directed to the program email address: FCHCOSTateDemosProgram@cms.hhs.gov. Interested parties may also contact:

Yelile Saca
Centers for Medicare & Medicaid Services
Medicare-Medicaid Coordination Office
Phone: 410-786-4954.

Headquarters Office:

Penny Williams 7500 Security Blvd. , Baltimore, Maryland 21244 Email: Penny.Williams@cms.hhs.gov Phone: 410-786-2237

Website Address:

<http://cms.gov/Medicare-Medicaid-Coordination/Medicare-and-Medicaid-Coordination/Medicare-Medicaid-Coordination-Office/StateDemonstrationstoIntegrateCareforDualEligibleIndividuals.html>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

See Award procedures (093).

93.630 DEVELOPMENTAL DISABILITIES BASIC SUPPORT AND ADVOCACY GRANTS

(State Councils on Developmental Disabilities and Protection and Advocacy Systems)

FEDERAL AGENCY:

Administration for Community Living, Department of Health and Human Services

AUTHORIZATION:

Developmental Disabilities Assistance and Bill of Rights Act of 2000, Title I, Section 121, Public Law 106-402, 42 U.S.C 15021-15045. , Title I, Section 121, Public Law 106-402, 42 U.S.C 15021-15045.

OBJECTIVES:

Developmental Disabilities Basic Support and Advocacy Grants: To enable individuals with developmental disabilities to become independent, productive, integrated and included into their communities. Funding under these programs is to assist States in the development of a plan for a comprehensive and coordinated system of services and other activities to enhance the lives of individuals with developmental disabilities and their families to their maximum potential, and to support a system which protects the legal and human rights of individuals with developmental disabilities.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Developmental Disabilities Basic Support and Advocacy Grants: (1) Allotments under the basic developmental disabilities formula grant program may be used by States for priority area and other activities, including administrative costs, to build capacity, to refocus existing services, and to advocate to better meet the needs of individuals with developmental disabilities. The designated State agency in each State receives, accounts for and disburses funds, and provides for required assurances and other administrative support services on behalf of the State Developmental Disabilities Council, which carries out the priority area activity and other activities under an approved five year State Plan. This plan and corresponding budget is developed and administered by the State Developmental Disabilities Council. Federal funds may be expended for up to half the cost of the functions of the designated State agency under this program, but may not exceed five percent of a State's allotment or \$50,000, whichever is less. (2) Allotments under the protection and

advocacy program may be used to assist States in supporting a system which will have authority to pursue legal and other remedies to protect the rights of individuals with developmental disabilities within the State.

Applicant Eligibility:

State grant agencies are the designated State agencies of the respective States, the District of Columbia, Puerto Rico, Virgin Islands, Guam, Northern Mariana Islands, American Samoa. Under the basic developmental disabilities program, the designated State agency must not provide or pay for services to individuals with developmental disabilities, unless it has held such designation on the date of the enactment of the Developmental Disabilities Assistance and Bill of Rights Act Amendments of 1994, and the Governor of the State (or the legislature, where appropriate and in accordance with State law) determines prior to June 30, 1994, not to change the designation of such agency. The State can only receive funding under the basic developmental disabilities program if it is also participating in the protection and advocacy program. The agency designated to implement the State system under the protection and advocacy program cannot provide or pay for services to individuals with developmental disabilities, and that agency must have authority to obtain access to records of individuals with developmental disabilities.

Beneficiary Eligibility:

To be eligible for a grant, an agency must be designated to administer the program on behalf of the State. The Basic Program benefits individuals with developmental disabilities through systems change. The Protection and Advocacy system benefits individuals with developmental disabilities. Developmental disability is defined here as a severe chronic disability of an individual that is attributable to mental, physical, or a combination of impairments, is manifested before age 22, that is likely to continue indefinitely, that results in substantial functional limitations in three or more of the following major life activities (self-care, receptive and expressive language, learning, mobility, self-direction, capacity for independent living, and economic self-sufficiency), and that reflects an individual's lifelong need for services. Infants and children from birth to age 9, inclusive, are included if they have a developmental delay or condition with a high probability of resulting in developmental disabilities if services are not provided.

Credentials/Documentation:

Costs will be determined in accordance with 45 CFR 74 and 92. OMB Circular No. A-87 applies to this program. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Basic Support: Requests for allotments are submitted in the form of a State plan, which must be submitted not less than every 5 years, and must address all of the requirements of the Statute. Protection and Advocacy: Application is made by the State's submission of a description of the Protection and Advocacy system in the State and appropriate assurances as required by law, and by annual submission statement on the goals and priorities.

Award Procedure:

Formula awards are processed through a payment management system to the State.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

None.

Appeals:

Appeals are processed in accordance with HHS regulations in 45 CFR, Part 16.

Renewals:

Automatic, under approved application.

Formula and Matching Requirements:

Statutory Formula: Title I Programs for Individuals with Developmental Disabilities, Public Law 106-402.

Matching Requirements: Percent: 25%. Match is only for the Councils. No match is required for Protection and Advocacy allotments.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Funds provided under allotments must be obligated by states by the end of the fiscal year following the fiscal year for which appropriations were received. Such obligation must be liquidated by the end of the following fiscal year.

Payments are made through Electronic Transfer System or, when such is not practicable, on basis of payment requests from the State to meet current needs. Method of awarding/releasing assistance: lump sum.

Reports:

Not Applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audits are conducted in accordance with the requirements in 45 CFR 74 and 92.

Records:

Records must be retained for at least 3 years; records shall be retained beyond the 3-year period if all findings have not been resolved.

Account Identification:

75-1536-0-1-506.

Obligations:

(Formula Grants) FY 12 \$74,774,000; FY 13 est \$70,555,000; and FY 14 est \$70,555,000 - Estimates not available.

Range and Average of Financial Assistance:

Basic Support: \$450,000; Protection and Advocacy: \$200,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Fiscal Year 2012: 113 grants were awarded. Fiscal Year 2013: Fiscal Year 2013: In FY 2013, 113 grants were awarded. Fiscal Year 2014: Fiscal Year 2014: It is estimated that 113 grants will be awarded.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 74 and 92, Grant Administrative Requirements; and Program Regulations in Chapter XIII of Title 45 of the Code of Regulations, Parts 1385 and 1386.

Regional or Local Office:

See Regional Agency Offices. See Regional Agency Offices. Contact the Regional Administrator, Department of Health and Human Services, Regional Offices. (See Appendix IV of the Catalog for list of addresses.).

Headquarters Office:

Jennifer Johnson Administration on Developmental Disabilities, Administration for Community Living, Department of Health and Human Services, 1 Massachusetts Ave., NW, Washington, District of Columbia 20201, Washington, District of Columbia 20201 Email: jjohnson@acl.hhs.gov Phone: (202) 690-5982.

Website Address:

<http://www.acl.dhhs.gov/programs/add>.

RELATED PROGRAMS:

93.631 Developmental Disabilities Projects of National Significance; 93.632 University Centers for Excellence in Developmental Disabilities Education, Research, and Service

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.631 DEVELOPMENTAL DISABILITIES PROJECTS OF NATIONAL SIGNIFICANCE

Developmental Disabilities Projects of National Significance

FEDERAL AGENCY:

Administration for Community Living, Department of Health and Human Services

AUTHORIZATION:

Developmental Disabilities Assistance and Bill of Rights Act of 2000, Title I, Section 161, Public Law 106-402, 42 U.S.C 15081-15083.

OBJECTIVES:

To provide for grants, contracts and cooperative agreements for projects of national significance that create opportunities for individuals with intellectual and developmental disabilities to directly and fully contribute to, and participate in, all facets of community life; and support the development of national and State policies that reinforce, promote the self-determination, independence, productivity, and integration and inclusion of individuals with intellectual and developmental disabilities in all facets of community life.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

PNS Uses and Restrictions: Project grants, contracts, and cooperative agreements are approved for personnel, equipment, travel, supplies, etc. Duplicative Federal assistance is precluded. Uses include: (1) Family support activities; (2) Projects that educate policy makers; (3) projects that conduct data collection and analysis; (4) projects that provide technical assistance for developing information and referral systems; (5) Federal interagency initiatives; (6) technical assistance to self-advocacy organizations of individuals with intellectual and developmental disabilities; (7) projects that enhance opportunities for individuals with intellectual and developmental disabilities who are from racial and ethnic minority backgrounds; (8) projects that address the needs of aging individuals with intellectual and developmental disabilities and caregivers of adults with developmental disabilities; (9) projects concerning the transition of youth with intellectual and developmental disabilities from school to work and to adult life; and (10) other projects of national significance. Funds are not awarded solely for direct service delivery, construction, or for the continuation or expansion of existing projects, but rather for projects which are considered innovative and likely to have significant national impact.

Applicant Eligibility:

In general, any State, local, public or private nonprofit organization or agency may apply.

Beneficiary Eligibility:

In general, any State, local, public or private nonprofit organization or agency may apply.

Credentials/Documentation:

Proof of community need and consistency with developmental disabilities State Plans. Proof of nonprofit status. Compliance with statutes, regulations, and approval of State agency and DHHS/ACF Regional Offices. Costs and administrative procedures will be determined in accordance with Parts 74 and 92 of Title 45 of the Code of Federal Regulations. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Forms and instructions for project grants are available from HHS Central Office and the appropriate DHHS-ACF Regional Office. Forms and instructions for projects of national significance grants are available at www.grants.gov. One signed original and two copies of the grant application are required, including all attachments, and must be submitted to the address

specified in the application kit. Three additional copies would be useful to facilitate processing. In addition, concurrently, one (1) copy of the application should be submitted to the applicant's DD State Council and two (2) copies to the appropriate State Single Point of Contact (SPOC) for review and comment as per Executive Order 12372, "Intergovernmental Review of Federal Programs." (Addresses for State Developmental Disabilities Councils and SPOCs are included in the application kit.) The application must be signed by an individual authorized to act for the applicant agency and to assume for the agency the obligations imposed by the terms and conditions of the grant award including the regulations for the Projects of National Significance Grants Program.

Award Procedure:

Applications are reviewed and recommendations made by the Central Office, Administration on Intellectual and Developmental Disabilities. Successful applicants are notified through the issuance of a Financial Assistance Award which sets forth in writing the amount of funds granted, the purpose of the grant, the terms and conditions of the grant award, the effective date of the award, the budget period for which support is given and the total grantee participation. The initial award, when applicable, also specifies the project period for which support is contemplated.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 60 to 180 days according to the type of program being requested.

Appeals:

Appeals are processed in accordance with HHS regulations in 45 CFR 16.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Matching requirements are specified in each published funding opportunity announcement.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Project periods for grants are specified in each published funding opportunity announcement. Method of awarding/releasing assistance: lump sum.

Reports:

No program reports are required. No cash reports are required. Progress reports are required for each budget period. A Final progress report is required 90 days after the end of project period. Expenditure reports are required for each budget period. Performance monitoring includes review of reports and site visits as required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audits are conducted in accordance with the requirements in 45 CFR 74 and 92.

Records:

Records must be maintained for 3 years.

Account Identification:

75-1536-0-1-506.

Obligations:

(Project Grants (Discretionary)) FY 12 \$6,059,633; FY 13 est \$8,333,000; and FY 14 est \$8,333,000

Range and Average of Financial Assistance:

The range is \$50,000 to \$300,000; The average is \$184,566.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: In FY 2012: No current data available. Fiscal Year 2013: In

FY 2013, it is estimated that 17 grants will be awarded. Fiscal Year 2014: In FY 2014: No current data available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations are published in Chapter XIII of Title 45 of the Code of Federal Regulations, Part 1387.

Regional or Local Office:

See Regional Agency Offices. Administration on Intellectual and Developmental Disabilities, Administration for Community Living, Department of Health and Human Services; 1 Massachusetts Ave, NW., Washington, District of Columbia 20201.

Headquarters Office:

Ophelia McLain, Administration on Intellectual and Developmental Disabilities, Administration for Community Living, Department of Health and Human Services; 1 Massachusetts Ave, NW., Washington, District of Columbia 20201, Washington, District of Columbia 20201 Email: omclain@acf.hhs.gov Phone: (202) 690-7025.

Website Address:

<http://www.acl.gov/Programs/AIDD/Index.aspx>

RELATED PROGRAMS:

93.630 Developmental Disabilities Basic Support and Advocacy Grants; 93.632 University Centers for Excellence in Developmental Disabilities Education, Research, and Service

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: Current PNS priorities include improving state employment policies and employment outcomes of individual with developmental disabilities, collecting data on family support and service outcomes, and providing technical assistance and training for AIDD network entities. PNS funds support national long-term data collection projects that help policymakers, service providers, and individuals with developmental disabilities and their families make the most informed policy and individual care decisions. Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

No application can be approved unless it shows/provides evidence of its non-profit status and the State in which the applicant's project will be conducted has an approved DD State plan under this Act. Applications are also to be reviewed by the State Developmental Disabilities Council for the State in which it is to be conducted. Project's proposed design is complete and feasible, and includes measurable objectives and an evaluation component. Project does not supplant activities that are funded under other Federal programs. Competing grant applications will be reviewed and evaluated against criteria that are specified in each published funding opportunity announcement.

93.632 UNIVERSITY CENTERS FOR EXCELLENCE IN DEVELOPMENTAL DISABILITIES EDUCATION, RESEARCH, AND SERVICE

(University Centers (UCEDD))

FEDERAL AGENCY:

Administration for Community Living, Department of Health and Human Services

AUTHORIZATION:

Developmental Disabilities Assistance and Bill of Rights Act of 2000 Title I, Section 151, Public Law 106-402, 42 U.S.C 15061-15066. , Title I, Section 151, Public Law 106-402, 42 U.S.C 15061-15066.

OBJECTIVES:

To pay the Federal share of the cost of administration and operation of interdisciplinary centers that: (1) Provide interdisciplinary training for personnel concerned with developmental disabilities; (2) provide community service activities that include training and technical assistance and may include direct services, e.g., family support, individual support, educational, vocational, clinical, health and prevention; (3) conduct research (basic and applied), evaluation and analysis of public policy in areas affecting individuals with developmental disabilities; and (4) disseminate information as a national and international resource.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Salaries for administrators, coordinators, and others needed to operate the Center and expenses required to start up new programs, and faculty for training programs who will meet critical manpower shortages and are not eligible for support from other sources. Salaries for administrators, coordinators, and others needed to operate a training facility, maintenance and housekeeping personnel, overhead expenses, and expenses required to start up new programs, and faculty for training programs who will meet critical manpower shortages and are not eligible for support from other sources.

Applicant Eligibility:

Existing Centers; A public or nonprofit entity which is associated with, or is an integral part of a college or university and which provides at least: interdisciplinary training; demonstration of exemplary services, technical assistance, research and dissemination of findings.

Beneficiary Eligibility:

Individuals of all ages with developmental disabilities attributable to a mental and/or physical impairment, their families, and personnel and trainees providing services to them.

Credentials/Documentation:

Assurance of maintenance of effort; statement of financial resources, especially other federally-assisted programs; identification of personnel needs and resources as found in developmental disabilities State Plans for the applicant's service area; evaluation of present training programs and utilization of space in the facility; and capability of informing researchers and others in development of services related research. Memorandum of Understanding with the University. Eligible candidate designated in the previous fiscal year as a Center. This program is excluded from coverage under OMB Circular No. A-87. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Application forms are available from the DHHS Central Office. Completed application forms and narrative should be sent to the State Developmental Disabilities Council, Designated State Agency and Protection and Advocacy agency for review and comment. The standard application forms, as furnished by DHHS must be used for this program.

Award Procedure:

Applications are evaluated by outside peer reviews and grants are awarded at the DHHS AIDD Central Office. Recommendations for funding are made by the Headquarters Office.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 45 to 90 days.

Appeals:

Appeals are processed in accordance with regulations in 45 CFR, Part 16.

Renewals:

Same as Application Procedure.

Formula and Matching Requirements:

Statutory Formula: Title I, Subpart D, Public Law 106-402.

Matching Requirements: Percent: 75%. If project activities or products target individuals with developmental disabilities who live in an urban or rural poverty area, the Federal share may not exceed 90 percent of the project's necessary costs.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

University Centers awards are made for 5 years with a 12-month budget period. Method of awarding/releasing assistance: lump sum.

Reports:

An annual progress report is required. Final progress report is required upon project completion. Fiscal reports are required as prescribed by grant applications. An annual progress report is required. A Final progress report is required upon project completion. Fiscal reports are required as prescribed by grant specifications. Grantees receive technical assistance through on-site compliance reviews and other supports.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audits are conducted in accordance with the requirements in 45 CFR 74 and 92.

Records:

Records must be maintained for 3 years.

Account Identification:

75-1536-0-1-506.

Obligations:

(Project Grants (Discretionary)) FY 12 \$38,000,000; FY 13 est \$37,000,000; and FY 14 est \$37,000,000

Range and Average of Financial Assistance:

All Centers receive the same award amount. FY 2013 award amount was \$535,000 per Center.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Fiscal Year 2012: 71 grants awarded. Fiscal Year 2013: In FY 2013, it is anticipated that 67 grants will be awarded. Fiscal Year 2014: Fiscal Year 2014: Est. 67 grants awarded.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations are published in Chapter XIII of Title 45 of the Code of Federal Regulations, Part 1388.

Regional or Local Office:

None.

Headquarters Office:

Jennifer Johnson, Administration on Intellectual and Developmental Disabilities, Administration for Community Living, Department of Health and Human Services, 1 Massachusetts Avenue, N.W., Washington, District of Columbia 20201, Washington, District of Columbia 20201 Email: jennifer.johnson@acl.hhs.gov Phone: (202) 690-6590

Website Address:

<http://www.acf.dhhs.gov/programs/add>.

RELATED PROGRAMS:

93.630 Developmental Disabilities Basic Support and Advocacy Grants; 93.631 Developmental Disabilities Projects of National Significance

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data available. Fiscal Year 2013: Grants are funded to assist in the operation of public or nonprofit facilities which are associated with a college or university and provide training programs and delivery of services for persons with developmental disabilities. In addition, tied to the level of funds appropriated, training grants may be awarded to the University Centers. Fiscal Year 2014: No Current Data available.

CRITERIA FOR SELECTING PROPOSALS:

University Centers must address the provisions of the Act and regulations. Geographic dispersion. University Affiliation.

93.634 ACA SUPPORT FOR DEMONSTRATION OMBUDSMAN

**PROGRAMS SERVING BENEFICIARIES OF STATE
DEMONSTRATIONS TO INTEGRATE CARE FOR
MEDICARE-MEDICAID**

Support for Demonstration Ombudsman Programs Serving Beneficiaries of State Demonstrations to Integrate Care for Medicare-Medicaid

FEDERAL AGENCY:

Centers for Medicare and Medicaid Services, Department of Health and Human Services

AUTHORIZATION:

Statutory Authority: section 1115A of the Social Security Act (added by section 3021 of the Patient Protection and Affordable Care Act (P.L. 111-148).

OBJECTIVES:

CMS is presenting this Funding Opportunity Announcement (FOA) to ensure that the beneficiaries of the Financial Alignment Demonstration models as well as their caregivers and authorized representatives -- have access to person-centered assistance in resolving problems related to the Plans and providers.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Through this cooperative agreement, CMS seeks to fund activities necessary to plan for, develop and implement Demonstration Ombudsman Program services to beneficiaries and their families, as appropriate. Restrictions: Direct services already provided by Medicare or Medicaid.

Applicant Eligibility:

States which have signed an MOU with CMS to implement one of the financial alignment demonstration models.

Beneficiary Eligibility:

States which have signed an MOU with CMS to implement one of the financial alignment demonstration models.

Enrollees and caregivers in the Financial Alignment Demonstration,.

Credentials/Documentation:

A state must be pursuing a financial alignment model. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

Awards will be made to state agencies to implement ombudsman programs to support the Financial Alignment Demonstration. States may choose to contract with other parties to implement their program.

Deadlines:

Aug 07, 2013: Applications will be due 45 days after posting. Release date: 6/28/2013

Applications are due on the following dates:

1st Round: August 5, 2013, 3:00 PM EST
2nd Round: October 3, 2013
3rd Round: January 14, 2014

Applications will be due 45 days after posting.

Range of Approval/Disapproval Time:

45 to 60 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Yes, 3 years. Method of awarding/releasing assistance: quarterly.

Reports:

Semi-Annual and data reports. Quarterly reporting will be required. Semi-Annual progress reports will be required. Quarterly expenditure reports will be required. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Accounting and Programmatic records must be maintained for three years.

Account Identification:

75-0522-0-1-551.

Obligations:

(Cooperative Agreements) FY 12 \$0; FY 13 est \$4,805,000; and FY 14 est \$4,000,000

Range and Average of Financial Assistance:

\$275,000-\$3,000,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Kemuel Johnson 7500 Security Boulevard
Baltimore, MD 21207, Baltimore, Maryland 21207 Email:
kemuel.johnson@cms.hhs.gov Phone: 4107868200

Website Address:

<http://www.cms.gov/Medicare-Medicaid-Coordination/Medicare-and-Medicaid-Coordination/Medicare-Medicaid-Coordination-Office/FinancialModelstoSupportStatesEffortsInCareCoordination.html>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be scored with a total of 100 points available. The following criteria will be used to evaluate applications received in response to this solicitation. More information about each criteria can be found in the funding opportunity announcement.

Proposed Approach (30 Points)
Organizational Capacity and Management Plan (25 Points)
Evaluation and Reporting (20 Points)
Budget and Budget Narrative (25 Points).

93.643 CHILDREN'S JUSTICE GRANTS TO STATES

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human

Services

AUTHORIZATION:

Child Abuse and Treatment Act (CAPTA), Section 107, 42 U.S.C 5106 et. seq.

OBJECTIVES:

To encourage States to enact reforms which are designed to improve (1) the assessment and investigation of suspected child abuse and neglect cases, including cases of suspected child sexual abuse and exploitation, in a manner that limits additional trauma to the child and the child's family; (2) the assessment and investigation of cases of suspected child abuse-related fatalities and suspected child neglect-related fatalities; (3) the investigation and prosecution of cases of child abuse and neglect, including child sexual abuse and exploitation; and (4) the assessment and investigation of cases involving children with disabilities or serious health-related problems who are suspected victims of child abuse or neglect.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Funds are to be used (a) investigative, administrative, and judicial handling of cases of child abuse and neglect, including child sexual abuse and exploitation, as well as cases involving suspected child maltreatment related fatalities and cases involving a potential combination of jurisdictions, such as interstate, Federal-State, and State-Tribal, in a manner which reduces the additional trauma to the child victim and the victim's family and which also ensures procedural fairness to the accused; (b) experimental, model, and demonstration programs for testing innovative approaches and techniques which may improve the prompt and successful resolution of civil and criminal court proceedings or enhance the effectiveness of judicial and administrative action in child abuse and neglect cases, particularly child sexual abuse and exploitation cases, including the enhancement of performance of court-appointed attorneys and guardians ad litem for children, and which also ensure procedural fairness to the accused; and (c) reform of State laws, ordinances, regulations, protocols and procedures to provide comprehensive protection for children from abuse, including sexual abuse and exploitation, while ensuring fairness to all affected persons. To receive funds, States must meet eligibility requirements stated in the authorizing statute.

Applicant Eligibility:

States, Puerto Rico, District of Columbia, Virgin Islands, Guam, American Samoa, and the Commonwealth of the Northern Marianas.

Beneficiary Eligibility:

Beneficiaries include State governments and victims of child abuse and neglect, particularly child sexual abuse and exploitation.

Credentials/Documentation:

Applicable costs and administrative procedures will be determined in accordance with 45 CFR Parts 74 and 92. Applications require certification and/or documentation that the State meets eligibility requirements described in the Social Security Act (the Act). OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

No preapplication is required. Advice and technical assistance to State applicants are available from the Children's Bureau, Office on Child Abuse and Neglect within the Administration on Children, Youth and Families. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. No Federal forms are required, but certain assurances and information described in the Annual Program Instruction must be included.

Award Procedure:

Applications will be reviewed against all eligibility requirements contained in

the authorizing legislation. All eligible State and territorial governments will receive funding.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. Deadlines will be contained in the Program Instruction sent to each State and other eligible entities announcing the availability of funds under this program.

Appeals:

Appeals are processed in accordance with HHS regulations in 45 CFR, Part 16.

Renewals:

Grants are made annually. A new application is required each year.

Formula and Matching Requirements:

Statutory Formula:

Matching Requirements: There is no matching requirement. Each State receives a base amount of \$50,000 with an additional amount based on the population of children under age 18 in each State.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grant funds may be expended for a period of 2 years after the end of the fiscal year in which the funds are awarded. Method of awarding/releasing assistance: lump sum.

Reports:

Program reports are not applicable. PSC-272. Program progress reporting requirements are described in the Program Instructions. Financial reporting requirements are described in the Program Instructions. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audits are conducted in accordance with the requirements in 45 CFR 74 and 92.

Records:

Records must be kept in accordance with 45 CFR, Parts 74 and 92.

Account Identification:

15-5041-0-2-754.

Obligations:

(Formula Grants) FY 12 \$17,000,000; FY 13 est \$17,000,000; and FY 14 est \$17,000,000

Range and Average of Financial Assistance:

\$53,348 to \$1,804,779 with an average grant of \$303,571.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: In FY 2012, grants were made to 50 States, the District of Columbia, and five territorial governments. Fiscal Year 2013: In FY 2013, grants are expected to be made to 50 States, the District of Columbia, and five territorial governments. Fiscal Year 2014: In FY 2014, grants are expected to be made to 50 States, the District of Columbia, and five territorial governments.

REGULATIONS, GUIDELINES, AND LITERATURE:

All pertinent instructions are contained in the annual Program Instruction.

Regional or Local Office:

None.

Headquarters Office:

Catherine Luby Office on Child Abuse and Neglect, 1250 Maryland Ave, SW, 8th Floor, Washington, District of Columbia 20024 Email: catherine.luby@acf.hhs.gov Phone: (202) 205-8879

Website Address:

<http://www.acf.dhhs.gov/programs/cb>.

RELATED PROGRAMS:

93.645 Child Welfare Services_State Grants; 93.667 Social Services Block Grant; 93.669 Child Abuse and Neglect State Grants; 93.670 Child Abuse and Neglect Discretionary Activities; 93.671 Family Violence Prevention and Services/Grants for Battered Women's Shelters_Grants to States and Indian Tribes

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

States which meet all eligibility requirements and propose projects which meet the required uses of these funds will receive grants.

93.645 STEPHANIE TUBBS JONES CHILD WELFARE SERVICES PROGRAM

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Social Security Act, Title IV-B, Part Subpart 1; as amended.

OBJECTIVES:

The purpose of the Stephanie Tubbs Jones Child Welfare Services program is to promote State and Tribal flexibility in the development and expansion of a coordinated child and family services program that utilizes community-based agencies and ensures all children are raised in safe, loving families.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Funds may be used for the following purposes: (a) protecting and promoting the welfare of all children; (b) preventing the abuse, neglect, or exploitation of children; (c) supporting at-risk families through services that allow children to remain with their families or return to their families in a timely manner; (d) promoting the safety, permanence, and well-being of children in foster care and adoptive families; and (e) providing training, professional development, and support to ensure a well-qualified workforce. State and Tribal grantees may spend no more than 10 percent of the Federal allocation under title IV-B, subpart 1 for administrative costs. States may also not spend (or use as required matching funds) more title IV-B, subpart 1, funds for child care, foster care maintenance and adoption assistance payments than the State expended for those purposes in FY 2005. In addition, the amount of State expenditures of non-Federal funds for foster care maintenance payments that may be used as match for the title IV-B, subpart 1 award may not exceed the amount of such non-Federal expenditures applied as State match for title IV-B, subpart 1 for the FY 2005 grant.

Applicant Eligibility:

States, the District of Columbia, Indian Tribes, Puerto Rico, the Northern Marianas, the Virgin Islands, Guam, and American Samoa.

Beneficiary Eligibility:

Families and children in need of child welfare services will benefit.

Credentials/Documentation:

For States, the department which administers the services program under Title XX must be the designated single State agency to administer child welfare services. Costs will be determined in accordance with 45 CFR Part 92.

See Circular No. A-87 (2 CFR Part 225). OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372 "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Environmental impact information is

not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. A 5-year plan must be submitted. A full plan (every five years) or a plan update is due for submission by June 30th of each year. The plan must be jointly developed by the Secretary and the State or Indian Tribe and written after consultation with appropriate public and nonprofit private agencies and community-based organizations. The plan must coordinate the provision of services under Title IV-B with services under other Federal or federally-assisted programs serving the same populations.

Award Procedure:

Funds will be awarded after the plan, or annual update, is submitted and approved.

Deadlines:

Jun 30, 2013 The Plan or update submitted is due by June 30 of each year. States, Territories and Indian Tribes are encouraged to submit application in accordance with Department requirements.

Range of Approval/Disapproval Time:

The Plan or update s due on June 30 of each year. Approval/disapproval is due September 30 of each year.

Appeals:

Appeals are processed in accordance with Health and Human Services (HHS) regulations in 45 CFR 16.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula:

Matching Requirements: Percent: 25.%. Statutory Formula: Each State (including territories) receives a base allotment amount of \$70,000. The Stephanie Tubbs Jones Federal Child Welfare Services appropriation is further allotted on a variable formula which takes into account the child population under 21 and the complement of the State per capita income compared to the U.S. per capita income. The statistical factors used to fund allocations are: (1) the population of children under 21 years of age by State and the source is "Current Population Reports", P-25 Bureau of the Census; and (2) 3-year average per capita income by State and the source is the Bureau of Economic Analysis, Department of Commerce. Each eligible Tribes allotment is derived from the allotment for the State in which the Tribe is located and is based on the State population under 21, the number of Indian children in the Tribal population, and the multiplication factor determined by the Secretary in accordance with 45 CFR 1357.40(d)(6). Matching Requirements: Federal financial participation is available up to the full allotment at the rate of 75 percent for allowable program expenditures made by the grantee. Grantees must provide a 25 percent match for these expenditures. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grants are available for obligation and liquidation in the FY granted and in the subsequent FY. Grant awards are made quarterly on a fiscal year basis through a letter of credit. The Electronic Transfer System will be used for monthly cash draw-downs from Federal Reserve Banks. Method of awarding/releasing assistance: quarterly.

Reports:

At the end of the fifth fiscal year, a final review of progress toward accomplishment of goals is due. Interim reviews of progress toward accomplishment of the goals of the plan are due at the end of each of the first four fiscal years. An SF-425 financial status report must be submitted for the funds awarded in each Federal fiscal year (FFY) within three months after the close of the FFY in which the funds were granted (interim report) and again within three months after the close of the subsequent FFY (final report).

Performance monitoring is conducted through the Children and Family Services Review (CFSR) process in accordance with 45 CFR 1355.31 - 1355.37. Required financial reporting is described above. Required progress reporting is described above. Required expenditure reporting is described above. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audits are conducted in accordance with the requirements in 45 CFR Part 92.

Records:

Grantees must develop and maintain records which permit review of expenditures in accordance with the provisions of 45 CFR Part 92.20 and 92.42.

Account Identification:

75-1536-0-1-506.

Obligations:

(Formula Grants (Apportionments)) FY 12 \$280,649,567; FY 13 est \$262,622,022; and FY 14 est \$280,650,000

Range and Average of Financial Assistance:

States and Territories FY 2013: \$208,34 to \$28,997,532 with an average of \$4,580,859;

Tribes FY 2013: \$580 to \$707,255 with an average of \$31,905.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: In FY 2012, 240 grants were awarded to States, Territories and Indian Tribes. Fiscal Year 2013: In FY 2013, 247 grants were awarded to States, Territories and Indian Tribes. Fiscal Year 2014: It is anticipated that 247 grants will be awarded in FY 2014.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 1355 and 45 CFR 1357.

Regional or Local Office:

See Regional Agency Offices. Contact Regional Child Welfare Program Managers, Administration for Children and Families. (See Appendix IV of the Catalog for a list of addresses of the Regional offices.).

Headquarters Office:

Eileen West 1250 Maryland Ave, SW, Washington, District of Columbia 20024
Email: eileen.west@acf.hhs.gov Phone: 202-205-8438

Website Address:

<http://www.acf.hhs.gov/programs/cb>

RELATED PROGRAMS:

93.090 Guardianship Assistance; 93.556 Promoting Safe and Stable Families; 93.600 Head Start; 93.648 Child Welfare Services Training Grants; 93.658 Foster Care Title IV-E; 93.659 Adoption Assistance; 93.667 Social Services Block Grant

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

**93.647 SOCIAL SERVICES RESEARCH AND DEMONSTRATION
FEDERAL AGENCY:**

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Social Security Act, Title XI, Section 1110, 42 U.S.C 1310.

OBJECTIVES:

To promote research and demonstrations related to the prevention and reduction of dependency or the administration and effectiveness of programs related to

that purpose.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Grants, cooperative agreements, and contracts are awarded for innovative research, demonstrations, and evaluations that are responsive to the Administration for Children and Families' (ACF) program priorities. All applications must meet standards of excellence in research, demonstration, or evaluation design. Construction or the purchase of real estate are not allowable under these grants or contracts. Further, these funds may not be used to supplement the cost of program operations otherwise capped under the law. Organizations may not use funds under these grants or contracts to engage in inherently religious activities, such as worship, religious instructions, or proselytizing. In addition, an organization receiving ACF funds shall not, in providing ACF-funded services, discriminate against a program beneficiary or prospective program beneficiary on the basis of religion or religious belief. Regulations pertaining to the Equal Treatment for Faith-Based Organizations, which include the prohibition against Federal funding of inherently religious activities, as well as provisions related to nondiscrimination against religious organizations and the ability of religious organizations to maintain their religious character, can be found at either 45 CFR 87.1 or the HHS Web site at <http://www.hhs.gov/partnerships/about/regulations/>. Discretionary funding may be used for contracts or grants, including cooperative agreements, for the cost of research or demonstration projects, or which will aid in effecting coordination and planning between private and public welfare agencies or which will improve the effectiveness of programs carried on or assisted under the Social Security Act or related programs.

Applicant Eligibility:

Grants and cooperative agreements may be made to or with governmental entities, colleges, universities, nonprofit and for-profit organizations (if fee is waived), and faith-based and community organizations. Grants or cooperative agreements cannot be made directly to individuals. Contracts may be awarded to nonprofit or for-profit organizations.

Beneficiary Eligibility:

Children, youth, and families, especially low-income families, will benefit.

Credentials/Documentation:

Applicants must present written evidence of other agencies' willingness to cooperate when the project involves the use of other agencies, facilities, or services. Nonprofit organizations which have not previously received ACF program support must submit proof of nonprofit status. For State and local governments, costs will be determined in accordance with 45 CFR Part 92 and OMB Circular No. A-87. For other grantees, applicable costs and administrative procedures will be determined in accordance with 45 CFR Parts 74 and 92. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applicants will be able to find a synopsis of grant or cooperative agreement opportunities and apply electronically for opportunities via: www.Grants.gov. Applicants will also be able to find the complete text of grant announcements on the ACF web site located at: <http://www.acf.hhs.gov/grants/index.html>.

Award Procedure:

Official notice of approved grant applications is made through issuance of a Notice of Award (NOA). This provides the amount awarded, the purpose of the award, the terms and conditions of the award, the budget period for which support is given, the total project period for which support is contemplated, and the total grantee participation. Official notice of an accepted contract proposal

is made through award of a contract.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 365 days. Generally, solicited grants, cooperative agreements, and contracts will be acted upon within 120 days.

Appeals:

Appeals are processed in accordance with HHS regulations in 45 CFR Part 16.

Renewals:

Grant extensions and continuations are available if formally applied for and approved. If an application is recommended for approval for 2 or more years, the grantee must submit a formal request for a non-competitive continuation application each year accompanied by a progress report which will be evaluated prior to a recommendation of continuation. This process is not applicable to contracts.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Funds are granted on a 1- to 5-year basis, with support beyond the first year contingent upon acceptable evidence of satisfactory progress, continuing program relevance, and availability of funds. See the following for information on how assistance is awarded/released: Post award, our Division of Payment Management will establish an account from which a grantee may draw down awards. For additional information on this process see:

http://www.dpm.psc.gov/grant_recipient/new_grantee_information/hhs_grantee_info.aspx?explorer.event=true.

Reports:

No program reports are required. No cash reports are required. Grantees are required to submit performance progress reports (ACF-OGM-SF-PPR) throughout the project period. Performance progress reports are due 30 days after the reporting period. Final performance reporting reports are due 90 days after the close of the project. Reporting forms are available at http://www.acf.hhs.gov/grants/grants_resources.html. Grantees will be required to submit financial expenditure reports throughout the project period. Financial reports are due 30 days after the reporting period. Final financial reports are due 90 days after the close of the project period. Grantees use the Financial Status Report (FSR) SF-425 for required financial reporting. Reporting forms are available at http://www.acf.hhs.gov/grants/grants_resources.html. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. All fiscal transactions identifiable to Federal financial assistance are subject to audit by the HHS audit agency, and other Federal officials.

Records:

Proper accounting records, identifiable by grant number and including all receipts and expenditures, must be maintained for 3 years in accordance with 45 CFR Part 92. Subsequent to audit, they must be maintained until all questions are resolved, or at the end of the regular 3-year period, whichever is later.

Account Identification:

75-1536-0-1-506.

Obligations:

(Direct Payments for Specified Use) FY 12 \$5,742,490; FY 13 est \$4,302,000; and FY 14 est \$43,302,000 - Funding for contracts for research and evaluation. (Project Grants) FY 12 \$487,492; FY 13 est \$1,460,000; and FY 14 est

\$6,400,000 - The Social Services Research and Demonstration funds (\$6,229,982 in FY12, \$5,762,000 in FY13 and \$49,762,000 in FY14) are focused on prevention or reduction of dependency or the administration of and effectiveness of programs related to that purpose. In FY14 we anticipate funding \$49,762,000 including 1) an initiative on addressing financial deterrents to marriage 2) a study of early care and education programs and 3) conducting the National Survey of Child and Adolescent Well-Being as part of the Administrations government-wide initiative to strengthen program evaluation.

Range and Average of Financial Assistance:

\$3000 to \$484,492.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: 2 grants were awarded in FY 2012. Fiscal Year 2013: It is anticipated that funding for 4 grants will be awarded in FY 2013. Fiscal Year 2014: It is anticipated that funding for 29 grants will be awarded in FY 2014.

REGULATIONS, GUIDELINES, AND LITERATURE:

A variety of guidance on grants can be found at www.Grants.gov. Helpful information on contracts is available at www.fedbizopps.gov.

Regional or Local Office:

See Regional Agency Offices. Appropriate ACF Regional Administrator. (See Appendix IV of the Catalog for ACF Regional Offices.).

Headquarters Office:

Karl J. Koerper, Office of Planning, Research and Evaluation, Administration for Children and Families, 370 L'Enfant Promenade, SW, 7th Floor, West, Washington, District of Columbia 20447 Email: Karl.Koerper@acf.hhs.gov Phone: (202) 4014535.

Website Address:

<http://www.acf.hhs.gov/programs/opre>

RELATED PROGRAMS:

93.009 Compassion Capital Fund ; 93.558 Temporary Assistance for Needy Families; 93.595 Welfare Reform Research, Evaluations and National Studies; 93.648 Child Welfare Services Training Grants

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: In fiscal year 2012 we anticipate funded projects related to prevention or reduction of dependency or the administration of and effectiveness of programs related to that purpose. Fiscal Year 2013: In fiscal year 2013 we anticipate continuing to fund projects related to prevention or reduction of dependency or the administration of and effectiveness of programs related to that purpose. In FY13 we anticipate funding \$5,762,000 in support of research and evaluation projects. Fiscal Year 2014: In fiscal year 2014 we anticipate continuing to fund projects related to prevention or reduction of dependency or the administration of and effectiveness of programs related to that purpose. In FY14 we anticipate funding \$49,762,000 including a study of early care and education programs as part of the Administrations government-wide initiative to strengthen program evaluation. More information describing research and evaluation projects supported by these funds can be found at <http://www.acf.hhs.gov/programs/opre/>.

CRITERIA FOR SELECTING PROPOSALS:

Applications may be funded in whole or in part depending on: (1) the ranked order of applicants resulting from competitive review; (2) staff review and consultations; (3) the combination of projects that best meet the information needs of the program; (4) the funds available; and (5) other relevant considerations. Typical criteria include project cost and budget justification, the qualification of the principal investigator and organization, the quality of approach and methodologies employed, and results and the programs objectives and results and benefits expected. Specific criteria for selecting recipients under individual funding announcements under this program are published in www.Grants.gov for grant announcement and in the solicitation for requests for proposals published in www.fedbizopps.gov for contracts.

93.648 CHILD WELFARE RESEARCH TRAINING OR DEMONSTRATION

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human

Services

AUTHORIZATION:

Social Security Act, Section 426, 42 U.S.C. 626, as amended including, Section 429, 42 U.S.C 628b.

OBJECTIVES:

To support research and demonstration projects which are of national or regional significance and special projects for the demonstration of new methods which show promise of substantial contribution to the advancement of child welfare. To demonstrate the utilization of research in the field of child welfare to encourage experimental and special types of child welfare services. To provide professional education opportunities to prospective and current child welfare agency staff and to develop competency-based training curricula and special projects for training child welfare personnel in specific areas.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grants are made to accredited public or other nonprofit institutions of higher learning, public or other nonprofit agencies and/or organizations and to State or local child welfare agencies for specific projects for training prospective and current personnel for work in the field of child welfare, including trainee-ships with stipends; child welfare demonstration projects of national or regional significance; and for utilization of child welfare research to encourage experimental and special types of welfare services.

Applicant Eligibility:

Child Welfare research or demonstration projects of national or regional significance. Utilization of child welfare research to encourage experimental and special types of welfare services.

Beneficiary Eligibility:

Grants are made to accredited public or other nonprofit institutions of higher learning, public or other nonprofit agencies and/or organizations and to State or local child welfare agencies for specific projects for training prospective and current personnel for work in the field of child welfare, including trainee-ships with stipends; child welfare demonstration projects of national or regional significance; and for utilization of child welfare research to encourage experimental and special types of welfare services.

Credentials/Documentation:

Grants to educational institutions are made only to accredited institutions. Costs are determined in accordance with Parts 74 and 92 of Title 45 of the Code of Federal Regulations. Nonprofit organizations must provide proof of nonprofit status. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Standard application forms, as furnished by HHS must be used for this program. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Applications made in the format provided by and at the time specified in the annual Funding Opportunity Announcements of the availability of funds.

Award Procedure:

Grants are awarded after review by a panel of mostly nonfederal persons, with final decision by the Commissioner, ACYF in the Headquarters office.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. From 90 to 120 days.

Appeals:

Appeals are processed in accordance with HHS regulations in 45 CFR, 16.

Renewals:

Renewals and extensions are available through formal submission of progress reports and continuation applications.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Duration is specified in announcement. See the following for information on how assistance is awarded/released: The manner of the assistance awarded and/or released is specified in the announcement.

Reports:

Program reports are not applicable. Submitted biannually: PSC - 272. Submitted biannually: SF - PPR. Submitted biannually: SF - 269. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audits are required in accordance with the requirement in 45 CFR 74 and 92.

Records:

Financial and other records of the grant are to be kept 3 years after the termination of the grant or until audited, whichever comes first.

Account Identification:

75-1536-0-1-506.

Obligations:

(Project Grants (Discretionary)) FY 12 \$22,463,918; FY 13 est \$19,773,834; and FY 14 est \$21,358,000 - A Congressionally mandated budget rescission in fiscal year (FY) 2011 lowered funds available for grant awards in 2011 and the following fiscal years.

Range and Average of Financial Assistance:

Grants ranged from \$250,000 to \$3,300,000 with the average award being \$1,900,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: The Children's Bureau funded 13 grants. Fiscal Year 2013: Fiscal Year 2013 grants fund child welfare research training or demonstration programs in the areas of long-term foster care reduction, child welfare workforce training, and the child welfare workforce curriculum development. Fiscal Year 2014: The Children's Bureau estimates that 2 grants will be awarded in FY 2014.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices. Contact the Regional Administrator, ACF.

Headquarters Office:

Randi Walters 1250 Maryland Avenue; 8th Floor, Washington, District of Columbia 20024 Email: randi.walters@acf.hhs.gov Phone: 202-205-5588

Website Address:

<http://www.acf.hhs.gov/programs/cb>.

RELATED PROGRAMS:

93.645 Child Welfare Services_State Grants; 93.670 Child Abuse and Neglect Discretionary Activities

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: The Federal Permanency Innovation Initiative is a multi-site Federal demonstration project designed to improve permanency outcomes among children in foster care who have the most serious barriers to permanency. This initiative includes a small set of grantees, each with a unique intervention designed to help a specific subgroup of children leave foster care in fewer than three years. See <https://www.acf.hhs.gov/programs/cb/resource/pii-project-resources> for more information. Fiscal Year 2013: The Federal Permanency Innovation Initiative

is a multi-site Federal demonstration project designed to improve permanency outcomes among children in foster care who have the most serious barriers to permanency. This initiative includes a small set of grantees, each with a unique intervention designed to help a specific subgroup of children leave foster care in fewer than three years. See

<https://www.acf.hhs.gov/programs/cb/resource/pii-project-resources> for more information. Fiscal Year 2014: TBD.

CRITERIA FOR SELECTING PROPOSALS:

An assessment is made of: (1) The degree to which the proposal shows promise of meeting specific objectives defined in the annual program announcement; (2) reasonableness of cost; and (3) qualifications of the applicant organization and staff, and (4) quality of the methodology.

93.652 ADOPTION OPPORTUNITIES

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Child Abuse Prevention and Treatment and Adoption Reform Act of 1978, Title II, Section 205; Child Abuse Prevention and Treatment and Adoption Reform Act of 1978, as amended, Section II, Public Law 111-320, The CAPTA Reauthorization Act of 2010., Public Law 111-8, 42 U.S.C 5111 et. seq.

OBJECTIVES:

To eliminate of barriers, including geographic barriers, to adoption and to provide permanent, loving home environments for children who would benefit from adoption, particularly children with special needs.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

To award grants and contracts to: (1) conduct an education and training program on adoption and prepare, publish and disseminate information and education and training materials regarding adoption, adoption assistance and post-legal adoption services; (2) conduct extensive recruitment efforts on a national level to promote the adoption of children with special needs and develop a national public awareness effort to unite children in need of adoption with appropriate adoptive parents; (3) to operate a national adoption information exchange system; (4) provide technical assistance to programs related to the adoption of special needs children and promote professional leadership training of minorities in the adoption field; (5) encourage corporations and small businesses to establish adoption benefit programs for employees who adopt children; (6) support placement of children in kinship care arrangements, preadoptive or adoptive homes; (7) increase the effective use of public or private agencies for the recruitment of potential adoptive and foster families and to provide assistance in the placement of children for adoption, especially special needs children; (8) consult with other appropriate Federal departments and agencies to promote the maximum coordination of services relating to adoption; (9) maintain a National Resource Center for Special Needs Adoption; (10) provide programs to increase the numbers of minority children placed in adoptive families with a special emphasis on recruitment of minority families; and (11) provide programs to increase the number of older children placed in adoptive families.

Applicant Eligibility:

Grants or Contracts: State, local government entities, public or private licensed child welfare or adoption agencies or community based other organizations, or adoptive family groups, minority groups, sectarian institutions.

Beneficiary Eligibility:

Children who are in foster care with the goal of adoption, especially children with special needs, that is, children who are older, minority children and infants and toddlers with disabilities who have a life-threatening condition.

Credentials/Documentation:

Nonprofit organizations which have not previously had Office of Management Services or ACF support must submit proof of nonprofit status. Applicable costs and administrative procedures will be determined by 45 CFR 74 and 92. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Limited consultation available at Headquarters Office. The standard application forms as furnished by DHHS must be used for this program. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applications must be submitted to the Administration on Children, Youth and Families' (ACYF) Operations Center listed in the program announcement.

Award Procedure:

After review and recommendation by a nonfederal panel, the Commissioner, ACYF, makes final decision.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days. Determined annually and specified in the annual program announcement which is posted to Grants.gov.

Appeals:

Appeals are processed in accordance with HHS regulations in 45 CFR Part 16.

Renewals:

Renewals are available for multi-year grants through formal submission of progress reports and continuation applications. Funding for multi-year grants is provided on an annual basis.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: This program has no statutory formula or matching requirements; however, administratively-required matching is described in the annual program announcement.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants may extend from 3 to 5 years. Average duration is expected to be 5 years. Method of awarding/releasing assistance: by letter of credit.

Reports:

No program reports are required. PSC-272. Semi-annual progress reports. Final progress report due 90 days after completion of grant. Semi-annual financial reports. Final financial report due 90 days after completion of grant. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audits are conducted in accordance with the requirements in 45 CFR 74 and 92.

Records:

Grantees are required to keep financial and other grant records for 3 years after the completion of the grant or completion of audit whichever occurs first.

Account Identification:

75-1536-0-1-506.

Obligations:

(Project Grants (Discretionary)) FY 12 \$33,132,495; FY 13 est \$31,808,489; and FY 14 est \$33,057,000

Range and Average of Financial Assistance:

\$250,000 to \$1,800,000 with an average of \$475,655.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: 42 grants were awarded in FY 2012. Fiscal Year 2013: It is anticipated that 28 grants will be awarded in FY 2013. Fiscal Year 2014: It is

anticipated that 28 grants will be awarded in FY 2014.

REGULATIONS, GUIDELINES, AND LITERATURE:

Priority statements, application information and submission deadline available at no charge.

Regional or Local Office:

None.

Headquarters Office:

Jan Shafer 1250 Maryland Avenue, SW; 8th Floor, Washington, District of Columbia 20024 Email: jan.shafer@acf.hhs.gov Phone: 202-205-8172 Fax: 202-260-9345

Website Address:

<http://www.acf.hhs.gov/programs/cb>.

RELATED PROGRAMS:

93.645 Child Welfare Services_State Grants; 93.648 Child Welfare Services Training Grants

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

An assessment is made of: (1) The degree to which proposal shows promise of meeting specific objectives defined in a program announcement; (2) innovation and advancement of the field, (3) reasonableness of cost; and (4) qualification of staff.

93.658 FOSTER CARE_TITLE IV-E

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Social Security Act, Title IV-E, Section 470, et seq.; as amended.

OBJECTIVES:

The Title IV-E Foster Care program helps States, Indian Tribes, Tribal organizations and Tribal consortia (Tribes) to provide safe and stable out-of-home care for children under the jurisdiction of the State or Tribal child welfare agency until the children are returned home safely, placed with adoptive families, or placed in other planned arrangements for permanency. The program provides funds to assist with the costs of foster care maintenance for eligible children; administrative costs to manage the program; and training for public agency staff, foster parents and certain private agency staff. In addition, \$3 million is reserved for technical assistance and plan development/implementation grants to eligible Tribes, beginning in fiscal year 09.

TYPES OF ASSISTANCE:

Formula Grants; Project Grants

USES AND USE RESTRICTIONS:

Formula grant funds may be used by the State or local (under State supervision) child welfare agency or by the Tribal child welfare agency for Federal Financial Participation (FFP) in foster care maintenance payments on behalf of eligible children; for administrative and training costs; and for costs related to design, implement and operate a statewide data collection system. Funds may not be used for costs of social services such as those that provide counseling or treatment to ameliorate or remedy personal problem, behaviors, or home conditions for a child, the child's family, or the child's foster family. Plan development/implementation grants to Tribes are one-time grants for projects lasting up to 24 months that are to be used to develop a plan to operate a title IV-E program for foster care, adoption assistance and kinship guardianship as specified under section 479B of title IV-E. The Tribe must submit a plan for the title IV-E program within 24 months of receiving the plan development grant or must repay the grant funds, unless the HHS Secretary waives the requirements under section 471 to carry out a program under section 479B. There is \$3 million reserved for technical assistance and plan development/implementation grants to eligible Tribes beginning in FY 09.

Applicant Eligibility:

States, the District of Columbia, and Puerto Rico, and Indian Tribes.

Beneficiary Eligibility:

Eligible children whose removal and placement in foster care are in accordance with a voluntary placement agreement or judicial determinations to the effect that continuation in the home would be contrary to the child's welfare and that reasonable efforts were made to prevent the removal (or that such efforts were not necessary), and whose placement and care are the responsibility of the State or Tribal agency administering the title IV-E program.

Credentials/Documentation:

Formula Grants: Costs are to be determined in accordance with OMB Circular No. A-87 and 45 CFR Part 92. Plan Development/Implementation Grants: Non-profit agencies must submit proof of non-profit status. Applicable costs and administrative procedures will be determined in accordance with 45 CFR Part 92. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Formula Grants: Consultation is available to States and Tribes from Regional Administration for Children and Families (ACF) offices. Plan Development/Implementation Grants: Standard application forms as furnished by Health and Human Services must be used. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Formula Grants: Applications are made in the form of a title IV-E Plan, prepared in the format provided by the ACF Children's Bureau. Title IV-E plans and amendments must be submitted to the ACF Regional Child Welfare Program Manager for approval.

Plan Development/Implementation Grants: Announcement of availability of funds for these grants is published on grants.gov and the ACF website, www.acf.hhs.gov/grants/open. Eligible applicants must submit applications by specified deadlines.

Award Procedure:

Formula Grants: Quarterly awards are made on the basis of estimated expenditures that are later revised accordingly to include only actual allowable claimed expenditures. Next quarter estimates are due on July 30, October 30, January 30, and April 30 each year. Final decisions and awards are made by the headquarters office. Letter-of-credit is issued by the United States Department of Health and Human Services' (HHS) Payment Management System.

Plan Development/Implementation Grants: Applications are reviewed by professional Federal staff with expertise in child and family services, evaluation and related fields. Final decisions are made by the Commissioner of the Administration on Children, Youth and Families.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Formula Grants: HHS approves or disapproves title IV-E plans within 45 days of receipt by HHS.

Plan Development/Implementation Grants: HHS approves or disapproves within 3 to 6 months.

Appeals:

Appeals are processed in accordance with HHS regulations in 45 CFR Part 16.

Renewals:

Awards remain effective without plan renewal, but title IV-E plans may be amended as necessary.

Formula and Matching Requirements:

Statutory Formula: Funding is provided on an open-ended basis. Federal financial participation (FFP) is equal to the applicable Federal Medical Assistance Percentage (FMAP) rate (as defined by sec. 1905(b), and sec. 479B(d) for Tribes) of the Social Security Act (i.e., from 50 percent to 83

percent, depending on the State or Tribe and the fiscal year) and as temporarily enhanced through Section 5001 of Public Law 111-5, the American Recovery and Reinvestment Act of 2009 (ARRA) for the total expended for foster care maintenance payments; 75% of staff or provider training costs; and 50% of other administrative costs. Short term training provided to specified professional partners is subject to a special FFP rate that varies by fiscal year (60% FFP in FY 2010; 65% for FY 2011, 70% for FY 2012 and 75% for FY 2013 and thereafter).

Plan Development/Implementation grants are available up to a maximum of \$300,000.

Matching Requirements: The non-Federal share of program costs (difference between total cost and FFP) in each funding category constitutes the required match to be met by the title IV-E agency. Matching funds from State agencies must be appropriated monies raised from non-Federal revenue sources.

Matching funds from Tribal agencies must also be appropriated monies, but may at the option of the Tribe include Federal funds specifically authorized by Federal statutes to be used as match for other Federal programs and, in accordance with 45 CFR 1356.68 for administration and training costs only, in-kind expenditures (or contributions) from allowable third party sources.

Plan Development/Implementation Grants: There is no matching requirement. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Formula Grants: Federal financial participation is available to a State or Tribe operating under an approved title IV-E plan. Method of awarding/releasing assistance: Quarterly, through a letter of credit.

Plan Development/Implementation Grants: Provided on a one-time basis for generally 12 - 24 month project periods. Renewal funding for multiple year grants is dependent upon grantee performance and availability of funds. Method of awarding/releasing assistance: by letter of credit.

Reports:

Plan Development/Implementation Grants: Program reports are required in accordance with the program announcement. Plan Development/Implementation Grants: Financial reports are required in accordance with the program announcement. No progress reports are required. Formula Grants: A report of estimates, expenditures and children assisted (form CB-496) must be submitted 30 days after the end of each quarter: Other reports as required by the Secretary. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audits are conducted in accordance with the requirements in 45 CFR 92.

Records:

Formula Grants: States and Tribes must maintain all financial and programmatic records necessary for the proper and efficient operation of the program, including records of applications, determinations of eligibility, utilization of awarded grants and other funds for the provision of financial assistance and allocated indirect and direct administrative and training costs in accordance with requirements in Health and Human Services regulations at 45 CFR 92.42, and written guidance from the Administration for Children and Families.

Plan Development/Implementation Grants: All financial records are to be maintained for 3 years after termination of the project or until a required audit is completed, whichever comes first.

Account Identification:

75-1545-0-1-609 - ARRA grants: 75-1545-0-1-609.

Obligations:

(Formula Grants) FY 12 \$4,291,000,000; FY 13 est \$4,288,515,469; and FY 14

est \$4,281,910,748

Range and Average of Financial Assistance:

Formula Grants FY 12: \$2,142,406 to \$1,170,994, with an average of \$80,073,974.

Plan Development/Implementation Grants: Estimated as ranging from \$50,000 to \$300,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Grant awards were provided to a total of 51 States (including the District of Columbia) and one additional Tribe assisting an average of 157,300 in-placement children per month. Fiscal Year 2013: It is anticipated that a total of 51 States (including the District of Columbia) and one additional Tribe will receive grant awards assisting an average of 149,600 in-placement children per month. Fiscal Year 2014: It is anticipated that a total of 51 States (including the District of Columbia) and one additional Tribe will receive grant awards.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 1355-1356.

Regional or Local Office:

See Regional Agency Offices. Consult the Children's Bureau Regional Child Welfare Program Manager. (See Appendix IV of the Catalog for a list of addresses of the Regional Offices.).

Headquarters Office:

Jennifer Butler-Hembree 1250 Maryland Ave, SW, 8th Floor, Washington, District of Columbia 20024 Email: jennifer.butler-hembree@acf.hhs.gov Phone: 225-654-2527

Website Address:

<http://www.acf.hhs.gov/programs/cb>.

RELATED PROGRAMS:

93.556 Promoting Safe and Stable Families; 93.560 Family Support Payments to States_Assistance Payments; 93.599 Chafee Education and Training Vouchers Program (ETV); 93.645 Child Welfare Services_State Grants; 93.659 Adoption Assistance; 93.674 Chafee Foster Care Independence Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.659 ADOPTION ASSISTANCE

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Social Security Act, Title IV-E, Section 470 et seq; as amended.

OBJECTIVES:

To provide Federal Financial Participation (FFP) to States, Indian Tribes, Tribal organizations and Tribal consortia (Tribes) in adoption subsidy costs for the adoption of children with special needs who cannot be reunited with their families and who meet certain eligibility tests. This assistance is intended to prevent inappropriately long stays in foster care and to promote the healthy development of children through increased safety, permanency and well-being.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

All parents adopting special needs children are eligible for the nonrecurring cost of adoption. Federal subsidy may be used only in support of the adoption of children who meet the definition of special needs as specified in the Statute. States and Tribes may receive Federal Financial Participation (FFP) only if title IV-E plans have been approved by the Secretary.

Applicant Eligibility:

Funds are available to States, the District of Columbia, and Puerto Rico, and to

Tribes with approved title IV-E plans.

Beneficiary Eligibility:

Beneficiaries are children who are: (1) in title IV-E foster care, eligible for AFDC (as in effect July 16, 1996) although this requirement is being phased out between 2010 and 2018, SSI recipients, the minor child living with his/her parent who is in title IV-E Foster Care; or was previously adopted and eligible for adoption assistance but the adoption dissolved or the adoptive parents died and (2) have been determined by the State or Tribe to be special needs, e.g., a special factor or condition which makes it reasonable to conclude that they cannot be adopted without adoption assistance; a State or Tribe determination that the child cannot or should not be returned home; and a reasonable effort has been made to place the child without providing financial or medical assistance. FFP is available from the time of placement for adoption in accordance with State, local or Tribal law or final adoption decree to age 18 (21 if the State/Tribe finds that a disability means aid should continue) as long as the parent supports the child. No child adopted prior to the approval of the State or Tribal title IV-E plan is eligible for FFP. No means test applies to adopting parents, but the amount of subsidy is agreed to by agency and parents and may be readjusted by joint agreement.

Credentials/Documentation:

The State or Tribe must submit an application for approval by the Secretary of Health and Human Services. Costs will be determined in accordance with 45 CFR Part 92. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Consultation to States and Tribes is available from the Administration for Children and Families (ACF) Regional Offices. The standard application forms as furnished by HHS must be used for this program. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Applications are made in the form of a title IV-E Plan, prepared in the format provided by the ACF Children's Bureau (CB). Title IV-E plans and amendments are submitted to the ACF Regional Child Welfare Program Manager for approval.

Award Procedure:

Quarterly awards are made on the basis of estimated expenditures, which are later revised to include only actual allowable claimed expenditures. Next quarter estimates are due on July 30, October 30, January 30, and April 30 each year. Final decisions and awards are made by the Headquarters Office. Letter-of-credit is issued by the HHS Payment Management System (PMS).

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

HHS will approve/disapprove applications (title IV-E plans or amendments to them) within 45 days of receipt by HHS.

Appeals:

Appeals are processed in accordance with HHS regulations in 45 CFR Part 16.

Renewals:

Awards remain effective without plan renewal but title IV-E plan may be amended as necessary.

Formula and Matching Requirements:

Statutory Formula: Funding is provided on an open-ended basis in accordance with sec.474(a) of the Social Security Act.

Formula Grant Funding Level:

FFP for adoption assistance is equal to the applicable Federal Medical Assistance Percentage (FMAP) rate as defined in section 1905(b) (sec. 479B(d) for Tribes) of the Social Security Act (i.e., from 50% to 83%, depending on the State or Tribe and the fiscal year) and as temporarily enhanced through Section 5001 of Public Law 111-5, the American Recovery and Reinvestment Act of 2009 (ARRA) for the total expended for adoption assistance payments, 75% of training costs, and 50% of other administrative

costs. Short term training provided to specified professional partners is subject to a special FFP rate that varies by fiscal year (60% FFP in FY 2010, 65% for FY 2011, 70% for FY 2012 and 75% for FY 2013 and thereafter).

Matching Requirements: The non-Federal Share of program costs (difference between total cost and FFP) in each funding category constitutes the required match to be met by the title IV-E agency. Matching funds from State agencies must be appropriated monies raised from non-Federal revenue sources.

Matching funds from Tribal agencies must also be appropriated monies, but may at the option of the Tribe include Federal funds specifically authorized by Federal statutes to be used as match for other Federal programs and, in accordance with 45 CFR 1356.68 for administration and training costs only, in-kind expenditures (or contributions) from allowable third party sources. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Federal financial participation is available to a State or Tribe operating under an approved title IV-E plan. See the following for information on how assistance is awarded/released: Quarterly, through a letter of credit.

Reports:

Reports as required by the Secretary: Cash reports are not applicable. Progress reports are not applicable. A quarterly report of estimates and expenditures and children assisted (form CB-496) is required 30 days after the end of the quarter. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audits are conducted in accordance with the requirements in 45 CFR 92.

Records:

States and Tribes must maintain all financial and programmatic records necessary for the proper and efficient operation of the program, including records of applications, determinations of eligibility, the utilization of awarded grants and other funds for the provision of financial assistance and allocated indirect and direct administrative and training costs in accordance with requirements in HHS regulations at 45 CFR 92.42 and written guidance from ACF.

Account Identification:

75-1545-0-1-609 - ARRA grants: 75-1546-0-1-609.

Obligations:

(Formula Grants) FY 12 \$2,265,499,689; FY 13 est \$2,368,680,000; and FY 14 est \$2,463,000,000

Range and Average of Financial Assistance:

FY 2012: \$837,157 to \$430,062,970, with an average of \$44,981,721.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Grant awards were provided to a total of 51 States (including the District of Columbia) assisting an average of 425,600 children per month.

Fiscal Year 2013: It is anticipated that a total of 51 States (including the District of Columbia) will receive grant awards assisting an average of 438,000 children per month. Fiscal Year 2014: It is anticipated that a total of 51 States (including the District of Columbia) will receive grant awards.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 1355-1356.

Regional or Local Office:

See Regional Agency Offices. Contact the Regional Administrator, ACF, HHS in the appropriate Regional Office. (See Appendix IV of the Catalog for a list of addresses of the Regional Offices.)

Headquarters Office:

Eileen West 1250 Maryland Ave, SW; 8th Floor, Washington, District of Columbia 20024 Email: eileen.west@acf.hhs.gov Phone: 202-205-8438

Website Address:

<http://www.acf.hhs.gov/programs/cb>.

RELATED PROGRAMS:

93.556 Promoting Safe and Stable Families; 93.645 Child Welfare Services_State Grants; 93.652 Adoption Opportunities; 93.658 Foster Care_Title IV-E

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.667 SOCIAL SERVICES BLOCK GRANT

SSBG Program

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Social Security Act, Title XX, as amended; Omnibus Budget Reconciliation Act of 1981, as amended, Public Law 97-35; Jobs Training Bill, Public Law 98-8 and 473; Medicaid and Medicare Patient and Program Act of 1987; Omnibus Budget Reconciliation Act of 1987, Public Law 100-203; Family Support Act of 1998, Public Law 100-485; Omnibus Budget Reconciliation Act of 1993, Public Law 106-66, 42 U.S.C 1397 ET seq.

OBJECTIVES:

To enable each State to furnish social services best suited to the needs of the individuals residing in the State. Federal block grant funds may be used to provide services directed toward one of the following five goals specified in the law: (1) To prevent, reduce, or eliminate dependency; (2) to achieve or maintain self-sufficiency; (3) to prevent neglect, abuse, or exploitation of children and adults; (4) to prevent or reduce inappropriate institutional care; and (5) to secure admission or referral for institutional care when other forms of care are not appropriate.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Federal funds may be used by States for the proper and efficient operation of social service programs. Except for items (1) and (4) below, for which a waiver from the Secretary may be requested, Federal funds cannot be used for the following: (1) The purchase or improvement of land, or the purchase, construction, or permanent improvement of any building or other facility; (2) the provision of cash payments for costs of subsistence or the provision of room and board (other than costs of subsistence during rehabilitation, room and board provided for a short term as an integral but subordinate part of a social service, or temporary shelter provided as a protective service); (3) the payment of wages to any individual as a social service (other than payment of wages to welfare recipients employed in the provision of child day care services); (4) the provision of medical care (other than family planning services, rehabilitation services or initial detoxification of an alcoholic or drug dependent individual) unless it is an integral but subordinate part of a social service for which grants may be used; (5) social services (except services to an alcoholic or drug dependent individual or rehabilitation services) provided in and by employees of any hospital, skilled nursing facility, intermediate care facility, or prison, to any individual living in such institution; (6) the provision of any educational service which the State makes generally available to its residents without cost and without regard to their income; (7) any child day care service unless such service meets applicable standards of State and local law; (8) the provision of cash payments as a service; or (9) for payment for any item or service (other than an emergency item or service) furnished by an individual or entity during the period when such individual or entity is excluded pursuant to Section 1128 or Section 1128(A) of the Social Security Act from participation in this program; or at the medical direction or on the prescription of a physician during the period when the physician is excluded based on Section 1128 or 1128(A) from participation in the program and when the person furnishing such item or service knew or had reason to know of the exclusion (after a reasonable time period after reasonable notice has been furnished to the person). A State may transfer up to 10 percent of its allotment for any fiscal year to the preventive

health and health services, alcohol and drug abuse, mental health services, maternal and child health services, and low-income home energy assistance block grants.

Applicant Eligibility:

The 50 States, the District of Columbia, Puerto Rico, Guam, the Virgin Islands, the Commonwealth of the Northern Mariana Islands, and American Samoa.

Beneficiary Eligibility:

Under Title XX, each eligible jurisdiction determines the services that will be provided and the individuals that will be eligible to receive services.

Credentials/Documentation:

Prior to expenditure of funds, the State must report on the intended use of the payments the State is to receive, including information on the types of activities to be supported and the categories or characteristics of individuals to be served. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Submission of a pre-expenditure report application is required.

Award Procedure:

States are awarded funds quarterly.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

See 45 CFR, Part 16, Procedures of the Departmental Appeals Board.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title 45, Chapter 96.71, Part 96, Subpart G, Public Law 97-35. Provides the requirements for the statutory formula and administrative rule.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grants are awarded quarterly on a fiscal year basis. The Electronic Transfer System will be used based on quarterly grant awards for monthly cash draws from Federal Reserve Banks. Method of awarding/releasing assistance: quarterly.

Reports:

An annual report is required. No cash reports are required. No progress reports are required. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

States are required to maintain records documenting the purposes for which expenditures were made.

Account Identification:

75-1534-0-1-506.

Obligations:

(Formula Grants) FY 12 \$1,700,000,000; FY 13 est \$1,613,300,000; and FY 14 est \$1,577,600,000

Range and Average of Financial Assistance:

\$36,087 to \$194,063,454 ; \$28,303,508.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: 57 grants were awarded in FY 2012. Fiscal Year 2013: 57 grants were awarded in FY 2013. Fiscal Year 2014: It is anticipated that 57 grants will be awarded in FY 2014.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 96.

Regional or Local Office:

See Regional Agency Offices. Local Office: Not applicable. (See Appendix IV of the Catalog for Regional Offices.).

Headquarters Office:

Seth Hassett 901 D Street, S.W., 5th Floor - Room 5A165, Washington, District of Columbia 20447 Email: seth.hassett@acf.hhs.gov Phone: 202-401-2333

Website Address:

<http://www.acf.hhs.gov/programs/ocs/ssbg>.

RELATED PROGRAMS:

93.044 Special Programs for the Aging_Title III, Part B_Grants for Supportive Services and Senior Centers; 93.045 Special Programs for the Aging_Title III, Part C_Nutrition Services; 93.600 Head Start; 93.630 Developmental Disabilities Basic Support and Advocacy Grants; 93.645 Child Welfare Services_State Grants; 93.647 Social Services Research and Demonstration; 93.658 Foster Care_Title IV-E; 93.669 Child Abuse and Neglect State Grants; 93.671 Family Violence Prevention and Services/Grants for Battered Women's Shelters_Grants to States and Indian Tribes

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

All States, the District of Columbia, and the five other jurisdictions will receive their share of funds if they submit a pre-expenditure report that meets the requirements.

93.669 CHILD ABUSE AND NEGLECT STATE GRANTS

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Child Abuse Prevention and Treatment Act (CAPTA), as amended, Section 106, 42 U.S.C 5101 et seq.

OBJECTIVES:

To assist States in the support and improvement of their child protective services systems.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

The CAPTA Basic State Grant is a formula grant to States only, to be used for improving child protective services systems.

States can choose from a wide variety of activities as outlined in the legislation.

Each State was required to submit a new State plan for the fiscal year (FY) 2011 grant funds specifying which of the 14 program areas described in section 106(a) of the CAPTA. Examples include the intake, assessment, screening, and investigation of reports of abuse and neglect; creating and improving the use of multidisciplinary teams and interagency protocols to enhance investigations; improving legal preparation and representation, case management; developing, improving, and implementing risk and safety assessment tools and protocols; developing and updating systems of technology; training regarding research-based strategies to promote collaboration with the families, legal duties of such individuals and personal safety training for case workers; improving the skills, qualifications, and availability of individuals providing

services to children and families, and the supervisors of such individuals; developing and delivering information to improve public education relating to the role and responsibilities of the child protection system and the nature and basis for reporting suspected incidents of child abuse and neglect; supporting and enhancing interagency collaboration between the child protection system and the juvenile justice system for improved delivery of services and treatment; supporting and enhancing collaboration among public health agencies, the child protection system, and private community-based programs to provide child abuse and neglect prevention and treatment services (including linkages with education systems) and to address the health needs, including mental health needs, of children identified as abused or neglected, including supporting prompt, comprehensive health and developmental evaluations for children who are the subject of substantiated child maltreatment reports, or developing and implementing procedures for collaboration among child protective services, domestic violence services, and other agencies in investigations, interventions, and the delivery of services and treatment provided to children and families, including the use of differential response, where appropriate, and the provision of services that assist children exposed to domestic violence, and that also support the caregiving role of their non-abusing parents.

Applicant Eligibility:

States, the District of Columbia, Puerto Rico, Guam, the Virgin Islands, American Samoa, and the Commonwealth of the Northern Mariana Islands.

Beneficiary Eligibility:

There are no eligibility requirements attached to the beneficiaries of these funds (abused and neglected children and their families).

Credentials/Documentation:

State plans require certification of the State's eligibility under Section 106(b) of the authorizing legislation. Applicable costs and administrative procedure will be determined in accordance with 45 CFR 74 and 92.

In order to be eligible for the Basic State Grant under CAPTA, there are a variety of assurances that the governor must provide in the form of a certification that the State has in effect and is enforcing a State law, or has in effect and is operating a statewide program.

To the extent practicable, States are encouraged to participate in submitting data to the National Child Abuse and Neglect Data System (NCANDS) project. The Children's Bureau (CB) produces an annual national data report, the most recent of which is entitled Child Maltreatment 2010.

Assurances include provisions or procedures on reporting child abuse and neglect; the intake, assessment, screening, and investigation of reports of abuse and neglect; an appeals process; policies and procedures (including appropriate referrals to child protection service systems and for other appropriate services) to address the needs of substance exposed newborns; provisions for immunity for individuals making good faith reports; confidentiality of all records to protect the rights of the child and of the child's parents or guardians; disclosure provisions including those which allow for public disclosure of the findings or information about the case of child abuse or neglect which has resulted in a child fatality or near fatality; procedures to promptly expunge unsubstantiated records; provisions for appointment of a guardian ad litem or Court appointed special advocates (CASA) to represent the child in judicial proceedings; expedited termination of parental rights in the case of any infant determined to be abandoned under State law; provisions and procedures for improving the training, retention, and supervision of caseworkers; processes for referral of a child under the age of 3 who is involved in a substantiated case of child abuse or neglect to early intervention services funded under part C of the Individuals with Disabilities Education Act; provisions for requiring criminal background checks for prospective foster and adoptive parents and other adult relatives and non-relatives residing in the household; procedures for responding to medical neglect; prevention efforts, caseworker training, community education, and the establishment of Citizen Review Panels. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Technical assistance is available at the regional level to assist States in meeting conditions specified in the Act. Environmental impact information is not required for this program. This program is eligible for coverage under E.O.

12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. State plans and annual updates must be submitted to the Regional Offices. Complete information is furnished annually to the appropriate State office regarding format and timing of State plan applications and updates.

Award Procedure:

State plans and updates are reviewed at the Regional level; Children's Bureau Associate Commissioner has approval/disapproval authority for these grants.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

The State agency annual update is due June 30 of each year. Approval/disapproval is due September 30 of each year.

Appeals:

Other - Not Specified.

Renewals:

Grants are made annually. States submit a plan and annual updates are required each year.

Formula and Matching Requirements:

Statutory Formula:

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grants are awarded annually. Method of awarding/releasing assistance: quarterly.

Reports:

An annual report on the use of CAPTA funds is required. Financial data and annual reporting requirements are described in the annual Program Instructions. . Funds under CAPTA must be expended within five years of the end of the FY in which granted. States are required to submit the SF-425 fiscal report for CAPTA at the end of each 12 months (October 1 through September 30) of the five-year expenditure period. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audits are conducted in accordance with the requirements in 45 CFR 74 and 92.

Records:

Records must be kept in accordance with 45 CFR Parts 74 and 92.

Account Identification:

75-1536-0-1-506.

Obligations:

(Formula Grants) FY 12 \$26,431,949; FY 13 est \$24,734,090; and FY 14 est \$26,432,000

Range and Average of Financial Assistance:

In FY 2013 grants ranged from \$50,000 to \$2,768,474, with an average award of \$441,680..

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: 56 grants were awarded in FY 2012.. Fiscal Year 2013: 56 grants were awarded in FY 2013. Fiscal Year 2014: It is anticipated that 56 grants will be awarded in FY 2014.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulation 45 CFR 1340; annual Program Instruction.

Regional or Local Office:

See Regional Agency Offices. Children's Bureau Regional Program Managers.

Headquarters Office:

Gail Collins 1250 Maryland Avenue, SW, 8th Floor, Washington, District of Columbia 20024 Email: gail.collins@acf.hhs.gov Phone: 202-205-8552

Website Address:

<http://www.acf.dhhs.gov/programs/cb>.

RELATED PROGRAMS:

93.600 Head Start; 93.643 Children's Justice Grants to States; 93.645 Child Welfare Services_State Grants; 93.667 Social Services Block Grant; 93.670 Child Abuse and Neglect Discretionary Activities; 93.671 Family Violence Prevention and Services/Grants for Battered Women's Shelters_Grants to States and Indian Tribes

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.670 CHILD ABUSE AND NEGLECT DISCRETIONARY ACTIVITIES

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Child Abuse Prevention and Treatment Act of 1978, Title I, as amended, Public Law 111-320, The CAPTA Reauthorization Act of 2010., 42 U.S.C 5101 et seq.

OBJECTIVES:

To improve the national, State, community and family activities for the prevention, assessment, identification, and treatment of child abuse and neglect through research, demonstration, service improvement, evaluation of best practices, dissemination of information, and technical assistance.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

To award grants and contracts to : (1) Provide technical assistance to public and private nonprofit agencies; (2) conduct research and service demonstration projects to identify, assess, prevent and treat child abuse and neglect, which may include the nature and scope of effective practices relating to differential response, child abuse and neglect issues facing Native American, Alaskan Native and Native Hawaiians, the impact of child abuse and neglect on the incidence and the progression of disabilities; effective approaches to interagency collaboration between child protection , juvenile justice and domestic violence providers; (3)research into the incidence, consequences and prevalence of child abuse and neglect, and (4) disseminate information on the incidence, causes, prevention and treatment of child abuse and neglect which may include the dissemination of best practices to meet the needs of special populations.

Applicant Eligibility:

Grants: States, local governments, tribes, public agencies or private agencies or organizations (or combinations of such agencies or organizations)engaged in activities related to the prevention, identification, and treatment of child abuse and neglect. Contracts: Public and private agencies.

Beneficiary Eligibility:

Children that have been abused or neglected, or that are at-risk for abuse or neglect, and their families.

Credentials/Documentation:

Nonprofit agencies must submit proof of nonprofit status. Applicable costs and administrative procedures will be determined in accordance with Parts 74 and 92 of Title 45 of the Code of Federal Regulations. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Standard application forms as furnished by the U.S. Department of Health and Human Services (HHS) must be used for this program. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Announcement of availability of funds is published on grants.gov and the ACF web-site, www.acf.hhs.gov/grants/open. Eligible applicants must submit applications by specified deadlines.

Award Procedure:

Grant applications are reviewed by a panel of nonfederal professionals with expertise in the child abuse and neglect field. Final decisions are made by the Commissioner, Administration for Children, Youth and Families (ACYF). Contract proposals are reviewed by a panel of Federal staff.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. Deadlines change from year to year. Each program announcement provides specific deadline information. Contact Headquarters Office for details.

Appeals:

Appeals are processed in accordance with HHS regulations in 45 CFR Part 16.

Renewals:

Some projects are awarded with a provision for non-competitive renewal. Most grants are one-time awards. Renewals may be subject to a competitive process.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Matching requirements are described in each program announcement.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants are generally available for a 12, 24, 36 or 60-month period. Renewal funding for multiple-year grants is dependent upon grantee performance and availability of funds. Method of awarding/releasing assistance: by letter of credit.

Reports:

Program reports are required semiannually with a final report at completion of the project. PSC-272. No progress reports are required. Financial reports are required semiannually with a final report at completion of the project. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audits are conducted in accordance with requirements in 45 CFR 74 and 92.

Records:

All financial records are to be maintained for 3 years after termination of the project or until audit is completed, whichever comes first.

Account Identification:

75-1536-0-1-506.

Obligations:

(Project Grants (Discretionary)) FY 12 \$17,758,483; FY 13 est \$18,234,392;

and FY 14 est \$19,025,000 - Congressionally mandated rescissions, beginning with FY 2011, lowered the apportionment for Child Abuse and Neglect Discretionary Activities.

The apportionment for FY 2011 did not include include Congressional Earmarks, which may be appropriated annually. The FY 2012 estimate does not include Congressional Earmarks.

Range and Average of Financial Assistance:

Grants ranged between \$150,000 to \$2,000,000, with an average award of \$524,235.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: 25 grants were awarded in FY 2012. Fiscal Year 2013: It is estimated that 38 grants will be awarded. Fiscal Year 2014: It is estimated that 23 grants will be awarded.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 1340.

Regional or Local Office:

None.

Headquarters Office:

Jan Shafer Division of Program Innovation, Children's Bureau, 1250 Maryland Ave, SW; 8th Floor, Washington, District of Columbia 20024 Email: jan.shafer@acf.hhs.gov Phone: (202) 205-8172.

Website Address:

<http://www.acf.hhs.gov/programs/cb>.

RELATED PROGRAMS:

93.600 Head Start; 93.643 Children's Justice Grants to States; 93.645 Child Welfare Services_State Grants; 93.648 Child Welfare Services Training Grants; 93.669 Child Abuse and Neglect State Grants

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Examples of funded projects include: training and technical assistance providers such as the National Resource Center (NRC) on Child Protective Services and the NRC on In-Home Services; the Quality Improvement Center on Early Childhood; the National Data Archive on Child Abuse and Neglect, which houses data relevant to the study of child abuse and neglect and makes the data available to researchers for further secondary analysis; and a cluster of grantees that are implementing Rigorous Evaluations of Existing Child Abuse and Neglect Prevention Programs. Fiscal Year 2013: Examples of funded projects include: training and technical assistance providers such as the National Resource Center (NRC) on Child Protective Services and the NRC on In-Home Services; the Quality Improvement Center on Early Childhood; the Quality Improvement Center on Differential Response; research and demonstration projects such as Partnerships to Demonstrate the Effectiveness of Supportive Housing for Families in the Child Welfare System and Planning Grants to Develop a Model Intervention for Youth/Young Adults With Child Welfare Involvement At-Risk of Homelessness. Fiscal Year 2014: The Children's Bureau anticipates the continuation of grants expected to be awarded in FY 2013 and also to award training and technical assistance to support the implementation of various CAPTA programs.

CRITERIA FOR SELECTING PROPOSALS:

Applications are evaluated on the basis of the degree to which proposals meet specific objectives defined in the annual program announcement including, but not limited to: (1) Reasonableness of cost; (2) qualifications of staff; and (3) relevance to stated areas of interest.

93.671 FAMILY VIOLENCE PREVENTION AND SERVICES/BATTERED WOMEN'S SHELTERS_GRANTS TO STATES AND INDIAN TRIBES

Family Violence Prevention and Services Act Formula Grants for States and Native American Tribes (including Alaska Native Villages) and Tribal Organizations

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Family Violence Prevention and Services Act, 42 U.S.C. 10401 et seq., as amended by Section 201 of the CAPTA Reauthorization Act of 2010, Pub. L. 111-320.

OBJECTIVES:

To assist States and Native American Tribes (including Alaska Native Villages) and Tribal Organizations [Tribes] in efforts to increase public awareness about, and primary and secondary prevention of family violence, domestic violence, and dating violence; and assist States and Tribes in efforts to provide immediate shelter and supportive services for victims of family violence, domestic violence, or dating violence, and their dependents.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Federal funds are used by States and Tribes to support the establishment, maintenance, and expansion of programs and projects: (1) to prevent incidents of family violence, domestic violence, and dating violence; (2) to provide immediate shelter, supportive services, and access to community-based programs for victims of family violence, domestic violence, or dating violence, and their dependents; and (3) to provide specialized services for children exposed to family violence, domestic violence, or dating violence, underserved populations, and victims who are members of racial and ethnic minority populations. Federal funds are awarded by the States through subgrants to local public agencies and nonprofit private organizations. States and their subgrantees, and Tribes may not impose an income eligibility standard on individuals receiving services supported by funds appropriated under the Family Violence Prevention and Services Act and Federal funds may not be used as direct payment to any victim of family violence, domestic violence, or dating violence. The receipt of supportive services shall be voluntary and no condition may be applied for the receipt of emergency shelter. Each State may not use more than 5% of the amounts allotted under Section 10406(a) for State administrative costs. Not less than 70 percent of the funds distributed by a State must be used for immediate shelter and supportive services to adult and youth victims of family violence, domestic violence, or dating violence and their dependents, and not less than 25 percent distributed by a State shall be for supportive services and prevention services.

Applicant Eligibility:

Eligible applicants for the State Grants are the 50 States, the District of Columbia, the Commonwealth of Puerto Rico, Guam, American Samoa, the Virgin Islands and the Northern Mariana Islands. Eligible applicants for the Tribal Grants are the federally recognized Indian Tribes (including Alaska Native Villages), or a tribal organization or nonprofit private organization authorized by an Indian Tribe.

Beneficiary Eligibility:

This program will benefit victims of family violence, domestic violence, and dating violence, and their dependents.

Credentials/Documentation:

No Credentials or documentation are required. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Applications must be submitted to the Administration for Children and Families/Administration on Children, Youth and Families/Family and Youth Services Bureau/Division of Family Violence Prevention and Services. Required Standard Forms and certifications are available at Grants.gov Forms Repository.

Award Procedure:

Formula grants are awarded directly to States, U.S. Territories, and eligible

Native American Tribes (including Alaska Native Villages) and Tribal Organizations.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

See 45 CFR, Part 16, Procedures of the Departmental Grant Appeals Board.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: From sums appropriated under Section 10403(a) of the Family Violence Prevention and Services Act:

1) each State shall be allotted \$600,000 for a grant under Section 10406(a) with the remaining funds to be allotted to each State in an amount that bears the same ratio to such remaining funds as the population of such State bears to the population of all States;

(2) Guam, American Samoa, the United States Virgin Islands, and the Commonwealth of the Northern Mariana Islands shall be allotted not less than one eighth of 1 percent of the amounts available for grants under Section 10406(a) for the fiscal year for which the allotment is made.

(3) not less than 10 percent shall be used to make grants under Section 10409 to Native American Tribes (including Alaska Native Villages), tribal organizations and nonprofit private organizations approved by an Indian Tribe.

Matching Requirements: No grant may be made to any entity other than a State or an Indian Tribe unless the entity agrees that, with respect to costs to be incurred by the entity in carrying out the program or project for which the grant is awarded, the entity will make available (directly or through donations from public or private entities) non-Federal contributions in an amount that is not less than \$1 for every \$5 of Federal funds provided under the grant. The non-Federal contributions required may be in cash or in-kind.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Funds may be used for expenditures on and after October 1 of each fiscal year for which they are granted, and will be available for expenditure through September 30 of the following fiscal year. Method of awarding/releasing assistance: lump sum.

Reports:

Awards may be subject to the Transparency Act subaward and executive compensation reporting requirements of 2 CFR Part 170. The SF-428 Tangible Property Report and the SF-429 Real Property Status Report are required. The forms are available at www.whitehouse.gov/omb/grants_forms/. Quarterly cash transaction reports (SF-425) are required to be filed with the HHS Division of Payment Management through the Payment Management System. Recipients must submit an annual Performance Progress Report (SF-PPR) by December 29 of each year to the Program Office through the Online Data Collection system (OLDC). Recipients must submit an annual Federal Financial Report (SF-425) by December 29 of each year to the Division of Mandatory Grants through the Online Data Collection system (OLDC). Performance progress reports are required and selected grantees may be identified for site visits by federal program officers.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain records documenting the purposes for which expenditures were made. Requirements are found in 45 CFR Part 74 or 45 CFR Part 92 as applicable to grantee or subgrantee.

Account Identification:

75-1536-0-1-506.

Obligations:

(Formula Grants) FY 12 \$12,954,669; FY 13 est \$12,122,525; and FY 14 est \$13,500,000 - Represents ONLY grants to Native American Tribes (including Alaska Native Villages) and Tribal Organizations. (Formula Grants) FY 12 \$90,682,687; FY 13 est \$84,857,677; and FY 14 est \$94,500,000 - Represents ONLY grants to States and U.S. Territories. Previously, there was only one Obligation for the Grants to States and Tribes so the FY 2012 Projected amount reflected the total of both funding to the States and funding to the Tribes.

Range and Average of Financial Assistance:

FY 2012

States: \$706,352 to \$7,629,510

Territories: \$129,547

Indian Tribes: \$14,897 to \$1,675,967

FY 2013

States: \$695,813 to \$6,956,462

Territories: \$121,225

Indian Tribes: Not Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: In FY 2012, 56 grants were awarded to the States and Territories, and 142 Tribal grants were awarded (an additional 141 Tribes/Tribal Organizations received subawards). Fiscal Year 2013: In FY 2013, it is estimated that 56 grants will be awarded to the States and Territories, and 141 grants will be awarded to Tribes (an additional 94 Tribes/Tribal Organizations will receive subawards). Fiscal Year 2014: In FY 2014, it is estimated that 56 grants will be awarded to the States and Territories, and 141 grants will be awarded to Tribes (an additional 94 Tribes/Tribal Organizations will receive subawards).

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Edna James (States) and Shena Williams (Tribes) 1250 Maryland Ave. SW 8th Floor, Washington, District of Columbia 20024 Email: edna.james@acf.hhs.gov;shena.williams@acf.hhs.gov Phone: 202-205-7750; 202-205-5932 Fax: 202-401-7038

Website Address:

<http://www.acf.hhs.gov/programs/fysb/programs/family-violence-prevention-services>

RELATED PROGRAMS:

93.556 Promoting Safe and Stable Families; 93.612 Native American Programs; 93.667 Social Services Block Grant; 93.669 Child Abuse and Neglect State Grants

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Each State and eligible Territory, and eligible Tribe, will receive its respective share of funds if the application submitted meets the necessary requirements.

93.674 CHAFEE FOSTER CARE INDEPENDENCE PROGRAM

CFCIP; Independent Living Program

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Social Security Act, Title IV, Part E, Section 477.

OBJECTIVES:

To assist States and eligible Indian Tribes in establishing and carrying out

programs designed to assist foster youth likely to remain in foster care until 18 years of age, youth who leave foster care for adoption or kinship guardianship after attaining age 16, and youth who have left foster care because they attained 18 years of age and have not yet attained 21 years of age, to make the transition from foster care to self-sufficiency.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Grants may be used to assist youth: to make the transition to self-sufficiency; to receive education, training and related services; to prepare for and obtain employment; to prepare for and enter postsecondary training and educational institutions; to provide personal and emotional support to youth through mentors and the promotion of interactions with dedicated adults; and to provide financial, housing, counseling, employment, education, other appropriate support and services to current and former foster care recipients up to the age of 21.

Applicant Eligibility:

State governments, including the 50 States, the District of Columbia and Puerto Rico (hereafter "States"), and eligible Indian Tribes, Indian Tribal organizations or Indian Tribal consortia (hereafter "Tribes").

Beneficiary Eligibility:

Children and youth who are likely to remain in foster care until age 18, youth who left foster care to adoption or kinship guardianship after attaining age 16, and former foster care recipients up to age 21.

Credentials/Documentation:

Costs will be determined in accordance with 45 CFR Part 92. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. A five-year State or Tribal plan must be submitted with annual updates. The plan must coordinate the provision of services under the State's or Tribe's Chafee Foster Care Independence Program with services under other Federal or Federally-assisted programs serving the same population. Plans are submitted to the appropriate Regional Child Welfare Program Manager in the appropriate ACF Regional Office.

Award Procedure:

Quarterly awards are made up to the amount of one-fourth of the State's annual allotment.

Deadlines:

Jun 30, 2013: June 30 of each year.

Range of Approval/Disapproval Time:

From 60 to 90 days. The plan or its annual update is due June 30 of each year. Approval/Disapproval is due September 30 of each year.

Appeals:

Appeals are processed in accordance with HHS regulations in 45 CFR Part 16.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: States: Each State is allotted an amount of funds which bears the same ratio as the number of children in foster care in that State bears to the total number of children in foster care in all States in the most recent fiscal year for which such information is available. Data submitted by States into the Adoption and Foster Care Analysis and Reporting System's (AFCARS) national database will be used to calculate State allotments. The minimum payable amount to a State is \$500,000. See Section 477(c) of the Social Security Act.

Tribes: Tribes with an approved title IV-E plan to directly operate a title IV-E

program or Tribes that have a title IV-E Tribal/State cooperative agreement or contract have the option to apply to receive Chafee Foster Care Independence Funds Program funds directly from the Secretary. Each Tribe with an approved plan is allocated an amount equal to the number of children in foster care under the responsibility of the Tribe (either directly or under supervision of the State) in the most recent fiscal year for which such information is available to the sum of the total number of children in foster care under the responsibility of the State within which the Tribe is located and the total number of children in foster care under the responsibility of all Indian Tribes in the State, that have an approved plan.

Matching Requirements: Percent: 20.%. The Federal government will pay 80 percent of the total amount of funds expended by the grantee up to the amount of Chafee Foster Care Independence Program funds allocated. The grantee must provide matching contributions to cover the additional 20 percent of the costs.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grant awards are made quarterly on a fiscal year basis. Method of awarding/releasing assistance: quarterly.

Reports:

Annual updates to the State's or Tribe's plan. An SF-425 financial status report must be submitted for the funds awarded in each Federal fiscal year (FFY) within three months after the close of the FFY in which the funds were granted (interim report) and again within three months after the close of the subsequent FFY (final report). Cash reports are not applicable. Progress reports are not applicable. SF-425. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audits are conducted in accordance with the requirements in 45 CFR 74 and 92.

Records:

Grantees must develop and maintain records which permit review of expenditures in accordance with the provisions of 45 CFR Part 92.20 and 92.42.

Account Identification:

75-1545-0-1-609.

Obligations:

(Formula Grants (Apportionments)) FY 12 \$137,933,063; FY 13 est \$138,174,000; and FY 14 est \$138,221,000

Range and Average of Financial Assistance:

FY 2013 States: \$500,000 to \$18,193,913 with an average award of \$2,650,256.

FY 2013 Tribes: \$12,266 to \$44,894 with an average award of \$21,671.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: In FY 2012, 56 grants were issued to all States, DC and Puerto Rico and to four Indian Tribes. Fiscal Year 2013: In FY 2013, 56 grants were issued to all States, DC and Puerto Rico and to four Indian Tribes. Fiscal Year 2014: It is estimated that 56 grants grants will be awarded in FY 2014.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program Instruction (PI): ACYF-CB-PI-05-06, issued October 12, 2005; ACYF-CB-PI-13-03, issued April 10, 2013; ACYF-CB-PI-13-04, issued April 10, 2013.

Regional or Local Office:

See Regional Agency Offices. Contact Regional Administration for Children and Families. (See Appendix IV of the Catalog for addresses.)

Headquarters Office:

Catherine Heath 1250 Maryland Ave, SW, 8th Floor, Washington, District of Columbia 20024 Email: catherine.heath@acf.hhs.gov Phone: 202-690-7888

Website Address:

<http://www.acf.dhhs.gov/programs/cb>.

RELATED PROGRAMS:

93.550 Transitional Living for Homeless Youth; 93.556 Promoting Safe and Stable Families; 93.599 Chafee Education and Training Vouchers Program (ETV); 93.645 Child Welfare Services_State Grants; 93.658 Foster Care_Title IV-E

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

States meeting requirements for entitlement funds will receive grants.

93.676 UNACCOMPANIED ALIEN CHILDREN PROGRAM

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

William Wilberforce Trafficking Victims Protection Reauthorization Act of 2008, Section 235, Public Law 110-457, 122 Stat. 5074-5082, 8 U.S.C 1232; Homeland Security Act of 2002, Section 462, Public Law 107-296, 116 Stat. 2202-2205, 6 U.S.C 279.

OBJECTIVES:

The Unaccompanied Alien Children's program is designed to provide for the care and placement of unaccompanied alien minors who are apprehended in the U.S. by Homeland Security agents, Border patrol officers, or other law enforcement agencies and are taken into care pending resolution of their claims for relief under U.S. immigration law or release to adult family members or responsible adult guardians. Resolution of their claims may result in release, granting of an immigration status (such as special immigrant juvenile or asylum), voluntary departure, or removal.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements or Contracts)

USES AND USE RESTRICTIONS:

Funds may be used only for the purposes set forth in the grant and/or contract. Services may be provided only to unaccompanied alien children who are apprehended by agents of the Department of Homeland Security or referred by other federal agencies or departments.

Applicant Eligibility:

State and local governments, private non-profit organizations, and private for-profit organizations.

Beneficiary Eligibility:

Beneficiaries are unaccompanied alien children who are in Federal custody by reason of their immigration status.

Credentials/Documentation:

No Credentials or documentation are required. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Applications submitted in response to program announcements under this program will undergo a pre-review to determine if applicant is eligible for funding.

Award Procedure:

Applications found to be eligible for funding will undergo an evaluation by an independent review panel. In the case of emergency, staff will review on the basis of specific evaluation criteria to determine applicant qualification based upon published review criteria, quality of services to be rendered, and the likelihood of the program success.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. The ORR Director may invite applications, if necessary, due to unusual and compelling urgency of unaccompanied alien children apprehended by law enforcement agents at the Department of Homeland Security or referred by other federal agencies or departments. Deadlines for applications are noted in notices of funds of availability. It is anticipated that the approval/disapproval time will not exceed 30 days in urgent situations and will not exceed 90 days in normal application situations.

Appeals:

Not Applicable.

Renewals:

Contingent upon appropriations, grantee performance, and whether continuation of funding is in the best interest of the government.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grant awards are made on an as needed basis and on an annual budget basis. Method of awarding/releasing assistance: lump sum.

Reports:

Final program reports summarizing the activities and accomplishments of the project in relation to the approved goals and objectives are required. No cash reports are required. Monthly progress reports of placements are required. Additional progress reports are required, usually on a quarterly or semi-annual basis, but sometimes more frequently. Federal Financial Reports (SF-425) are required, usually on a quarterly or semi-annual basis. Final financial status reports are also required at the end of the project period. ORR conducts programmatic and financial on-site monitoring of grantees for the purpose of ensuring that the grantee's service delivery program and financial management system meet the requirements and standards of the program. All grantees are visited at least once a year by Project Officer Staff, who regularly make site visits, monitor the care provider grantees, and make recommendations for non-compliance or improvements. ORR regularly requires reports on a daily, weekly, monthly, semi-annual, and annual basis for a variety of functions. In the event deficiencies or needs for improvement are indicated, the items are documented through monitoring reports and corrective action plans are required from the grantee.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Periodic audits are made as part of the system of financial management and internal control to meet terms and conditions of grants, contracts, and other agreements. Nonprofit organizations receiving less than \$25,000 a year in Federal awards are exempt from federal audit requirements, but records must be available for review by appropriate officials of the Federal grantor agency or sub-granting entity.

Records:

Grant and contract recipients are required to keep all financial and program reports necessary for program review and audit during the project period and for three years after the end of the project period to ensure that funds have been expended in accordance with the regulations, grant terms, and conditions of this program.

Account Identification:

75-1503-0-1-506.

Obligations:

(Project Grants (Cooperative Agreements)) FY 12 \$223,267,786; FY 13 est \$319,567,912; and FY 14 est \$494,414,000

Range and Average of Financial Assistance:

In FY 2012, award amounts ranged from \$571,000 to \$64,793,300. Average of Awards: \$8,269,177.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: In FY 2012, 27 grants were awarded. Fiscal Year 2013: In FY 2013, it is anticipated 36 grants will be awarded. Fiscal Year 2014: It is anticipated 36 grants will be awarded.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program announcements can be found at <http://www.acf.hhs.gov/programs/orr>. All inquiries should be directed to the information contact listed below.

Regional or Local Office:

None.

Headquarters Office:

Jalyn Sualog 370 L'Enfant Promenade SW, 7th Floor East, Washington, District of Columbia 20447 Email: Jalyn.Sualog@acf.hhs.gov Phone: (202) 401-4997 Fax: (202) 401-1022.

Website Address:

<http://www.acf.hhs.gov/programs/orr>

RELATED PROGRAMS:

93.566 Refugee and Entrant Assistance_State Administered Programs; 93.576 Refugee and Entrant Assistance_Discretionary Grants; 93.584 Refugee and Entrant Assistance_Targeted Assistance Grants; 93.598 Services to Victims of a Severe Form of Trafficking

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: The program funded post release services referring community services and resources. Other types of care included: shelter, staff-secure juvenile detention for children with criminal backgrounds, therapeutic staff-secure, group homes, residential treatment centers for children with severe and acute mental illness, short-term foster care. Fiscal Year 2013: The program is expected to fund post release services referring community services and resources. Other types of care included: shelter, staff-secure juvenile detention for children with criminal backgrounds, therapeutic staff-secure, group homes, residential treatment centers for children with severe and acute mental illness, short-term foster care. Fiscal Year 2014: In FY 2014, the program is expected to fund post release services referring community services and resources. Other types of care included: shelter, staff-secure juvenile detention for children with criminal backgrounds, therapeutic staff-secure, group homes, residential treatment centers for children with severe and acute mental illness, short-term foster care.

CRITERIA FOR SELECTING PROPOSALS:

Notice of evaluation criteria for program funds can be found at <http://www.acf.hhs.gov/programs/orr>. Please refer to the Contact section for additional information.

93.701 TRANS-NIH RECOVERY ACT RESEARCH SUPPORT FEDERAL AGENCY:

National Institutes of Health, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Title IV, Section 301, 42 U.S.C 241.

OBJECTIVES:

To provide support for initiatives funded under The American Recovery and Reinvestment Act of 2009 (ARRA). These projects will provide economic stimulus to the nation while furthering the NIH mission to uncover new knowledge that will lead to better health for everyone.

TYPES OF ASSISTANCE:

Project Grants (Capacity Building and Complaint Processing, Training)

USES AND USE RESTRICTIONS:

To support research and other projects that will support fundamental biomedical discovery and translation of that knowledge into effective prevention strategies and new treatments while also providing economic stimulus to the nation. See above.

Applicant Eligibility:

U.S. Territories and possessions (includes institutions of higher education and hospitals).

Beneficiary Eligibility:

Federal, State, Local, Sponsored organization, Public nonprofit U.S. Territories/institution/organization, Other public institution/organization, Federally Recognized Indian Tribal Governments, Specialized group (e.g. health professionals, students, veterans) , Private nonprofit institution/organization Small business, Profit organization, - Quasi-public nonprofit organization, Other private institution/organization, Native American Organizations.

Credentials/Documentation:

Applications must be submitted in compliance with instructions provided with each initiative. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Prepare and submit applications according to instructions provided in the applicable ARRA Funding Opportunities announcement. ARRA grant funding announcements for NIH can be found at <http://grants.nih.gov/recovery/>. This program is subject to the provisions of 45 CFR parts 74 and 92, and 42 CFR Part 52 as well as applicable federal cost principles as referenced, the NIH Grants Policy Statement, and the provisions in the funding announcement. NIH is transitioning to an electronic application by grant mechanism. Details, including the appropriate application form, can be found at <http://era.nih.gov/ElectronicReceipt/>.

Award Procedure:

Applications are reviewed for scientific and technical merit by a scientific review group and for program relevance by a national advisory council/board.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

A principal investigator may question the substantive or procedural aspects of the review of his/her application by communicating with the NIH Scientific/Research contact, as provided on an initiative. A description of the NIH Peer Review Appeal procedures is available on the NIH Web site at <http://grants.nih.gov/grants/guide/notice-files/not97-232.html> .

Renewals:

Renewal (competing continuation, type 2) applications to ARRA programs are not accepted unless specifically announced by the NIH Institute/Center.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

ARRA funds are available for FY2009 & FY2010 only. Award length may vary depending on the recommendation of the scientific review group, the national advisory council, successful annual performance, and availability of funds. See the following for information on how assistance is awarded/released:
A formal notification in the form of a Notice of Award (NoA) will be provided to the applicant organization.

Reports:

No program reports are required. No cash reports are required. Annual progress reports and selected financial reports are required for continuing projects. Final progress reports, invention statements, and expenditure reports are required at the end of the projects. In addition, all projects funded with ARRA funds are

subject to special quarterly reporting requirements as outlined in Section 1512 of the Recovery Act. Annual progress reports and selected financial reports are required for continuing projects. Final progress reports, invention statements, and expenditure reports are required at the end of the projects. In addition, all projects funded with ARRA funds are subject to special quarterly reporting requirements as outlined in Section 1512 of the Recovery Act. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Expenditures and other financial records, and also administrative records, must be retained for 3 years from the day on which the grantee submits the last expenditure report for the grant period.

Account Identification:

75-0846-0-1-552 - TAFS Numbers: 75-0850, 75-0871, 75-0874, 75-0883, 75-0901, 75-0900, 75-0852, 75-0840, 75-0902, 75-0863, 75-0842, 75-0903, 75-0905, 75-0907, 75-0908, 75-0909, 75-0904, 75-0906, 75-0899, 75-0847, 75-0910, 75-0911, 75-0818, 75-0808, 75-0845.

Obligations:

(Project Grants (Capacity Building and Complaint Processing, Training)) FY 12 \$0; FY 13 est \$0; and FY 14 est \$0 - the full amount ARRA funding was available for obligation during FY 2009 & FY 2010 and was not assigned to a fiscal year, no funds for competing awards will be available after FY 2011.

Range and Average of Financial Assistance:

\$2,300 to \$3,800,000; \$620,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 74; 42 CFR 52. NIH Grants Policy Statement, (Rev.) December 1, 2003. The PHS 398 may be obtained from NIH's Extramural Research Home Page: <http://grants1.nih.gov/grants/oer.htm>.

Regional or Local Office:

See Regional Agency Offices. Financial or Grants Management contact as provided on the initiative, or Director, Office of Policy for Extramural Research Administration (OPERA), OER, NIH for award policy or application issues: 301-435-0938.

Headquarters Office:

OER ARRA, see NIH ARRA Grants Page at Grants Contacts page at <http://grants.nih.gov/recovery/> and the NIH General Grants Contacts page at <http://grants.nih.gov/grants/contacts.htm>, Bethesda, Maryland 20892 Email: OER-ARRA@mail.nih.gov Phone: (301) 435-0714.

Website Address:

<http://www.nih.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Funding decisions are based on scientific merit (42 CFR 52h) and program relevance. Scientific merit review encompasses: the peer review criteria detailed in the specific funding announcement, as well as the significance, approach, and innovation of the proposed research; the competency of the principal investigator; the adequacy of the resources and environment; the proposed budget and requested period of support; and, where applicable, the adequacy of the measures for protecting against adverse effects upon humans, animals, or the environment.

**93.702 NATIONAL CENTER FOR RESEARCH RESOURCES,
RECOVERY ACT CONSTRUCTION SUPPORT**

FEDERAL AGENCY:

National Institutes of Health, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Section 481A, 42 U.S.C 287.

OBJECTIVES:

To renovate existing research facilities and build new research facilities to meet basic and clinical space requirements, laboratory safety, biohazard containment, and animal care standards in order to support the facility demands of NIH research programs.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

New physical resources for research include basic research laboratories, clinical and support facilities, and those related to biohazard control that are integral parts of an overall effort. Proposed facilities must be part of an existing research effort or a developing research program. Facilities shall be used for research pertinent to the NIH mission for as long as needed. The Recovery Act has modified the usual period of Federal interest from 20 years to 10 years. Funds must be used for purposes stated in the approved grant application. See above.

Applicant Eligibility:

Construction/Renewal/Rehabilitation.

Beneficiary Eligibility:

Public nonprofit institution/organization.

Credentials/Documentation:

Application should include a complete research program description (with staff curricula vitae), space requirements for projected program, an environmental summary of the impact of the proposed facility as detailed in Public Law 90-190, the National Environmental Policy Act, and listing of all relevant licenses, permits, or other approvals required by State and local authorities. Costs will be determined in accordance with OMB Circular No. A-21 for Educational Institutions, OMB Circular No. A-87 for State and local governments, and OMB Circular No. A-122 for nonprofit institutions. For grants issued to hospitals, costs will be determined in accordance with HHS Regulations 45 CFR Part 74, Appendix E, "Principles for Determining Costs Applicable to Research and Development Under Grants and Contracts with Hospitals". OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication assistance is available from the address noted below under Information Contacts. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Prepare and submit applications according to instructions provided in the applicable ARRA Funding Opportunities announcement. This program is subject to the provisions of 45 CFR 74 including applicable federal cost principles as referenced, the NIH Grants Policy Statement, and the provisions in the funding announcement. NIH is transitioning to an electronic application by grant mechanism. Details, including the appropriate application form, can be found at <http://era.nih.gov/ElectronicReceipt/>.

Award Procedure:

Applications are reviewed for scientific and technical merit by a scientific review group and for program relevance by a national advisory council/board.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

A principal investigator may question the substantive or procedural aspects of the review of his/her application by communicating with the NIH Scientific/Research contact, as provided on an initiative. A description of the NIH Peer Review Appeal procedures is available on the NIH Web site at <http://grants.nih.gov/grants/guide/notice-files/not97-232.html>.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

None. See the following for information on how assistance is awarded/released:
No information provided.

Reports:

Program reports are not applicable. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Grantee is responsible for performance of contractor. Contractor must be covered by performance and payment bonds. Grantees are required to file a Notice of Federal Interest at the time construction begins. In addition, all projects funded with ARRA funds are subject to special quarterly reporting requirements as outlined in Section 1512 of the Recovery Act.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Expenditures and other financial records, and also administrative records, must be retained for 3 years from the day on which the grantee submits the last expenditure report for the grant period.

Account Identification:

75-0848-0-1-552.

Obligations:

(Project Grants) FY 12 \$0; FY 13 est \$0; and FY 14 est \$0 - There will be no available funds for competing awards in FY 2011.

Range and Average of Financial Assistance:

Awards will range from \$2M to \$20M total costs. The average award is expected to be \$10M.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 74; 42 CFR 52. NIH Grants Policy Statement, (Rev.) December 1, 2003. The PHS 398 may be obtained from NIH's Extramural Research Home Page: <http://grants1.nih.gov/grants/oer.htm>.

Regional or Local Office:

See Regional Agency Offices. Ms. Quadira Huff, Division Coordinator, Office of Grants Management, NCRR, 6701 Democracy Blvd., Room 1040, Bethesda, MD 20892-4874. (301) 435-0852; huffq@mail.nih.gov.

Headquarters Office:

Gregory K. Farber, 6701 Democracy Blvd., Room 960, Bethesda, Maryland 20892-4874 Email: farberg@mail.nih.gov Phone: (301) 435-08778.

Website Address:

<http://www.ncrr.nih.gov>

RELATED PROGRAMS:

93.310 Trans-NIH Research Support

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications that are complete and responsive to this program will be evaluated for scientific and technical merit by an appropriate peer review group. The major elements in evaluating proposals include: (1) significance and need of the project, (2) the description of project management and institutional commitment, (3) evaluation of the proposed design, (4) justification for requested equipment, (5) the commitment to sustainable design/environmentally friendly design principles.

93.703 ARRA GRANTS TO HEALTH CENTER PROGRAMS

ARRA Grants to Health Center Programs

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

American Recovery and Reinvestment Act of 2009, Public Law 111-5., Public Law 111-5.

OBJECTIVES:

The American Recovery and Reinvestment Act of 2009 (ARRA) provided funds to support grants for the following purposes: (1) to establish new access points that will increase the number of underserved and uninsured persons with access to comprehensive primary and preventive health care (new access point); (2) to enable health centers to increase services at existing sites and to address spikes in demand to serve uninsured persons (increased demand for services); (3) to enable health centers to carry out alteration/repair/renovation projects; construction projects; health information technology and other equipment purchases (capital improvement projects); and (4) to support selected competitively-reviewed applications submitted by health centers to address significant and pressing capital improvement needs, including modernization, renovation, and construction (facility improvement projects).

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Funds may not be used to make cash payments to intended recipients of services. Specific restrictions were applicable to specific opportunities and were specified in applicable award notices. See above.

Applicant Eligibility:

Eligible applicants for new access point funding were public and private non-profit entities, including tribal, faith-based and community based organizations that demonstrate the capability to effectively administer the grant. Eligibility for other opportunities were limited to currently-funded health centers (93.224).

Beneficiary Eligibility:

Population groups in medically underserved areas.

Credentials/Documentation:

Refer to the applicable HRSA funding opportunity announcements issued under this CFDA program for required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination was required for the new access points opportunity. An applicant under the new access opportunity was advised to consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Preapplication coordination was not required for the other opportunities. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State

requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applications are generally solicited by a program announcement in <http://www.hrsa.gov/grant>. Application were required electronically through <http://www.grants.gov/>. Application and review procedures varied with the opportunity. Applications for new access points are subject to open competition. All currently-funded health centers were permitted to submit applications for increased demand for services and for capital improvement projects at funding levels targeted to each grantee based on that grantees current patient load.

Award Procedure:

Qualified applications were forwarded to an objective review committee if applicable to the opportunity. Taking into consideration the objective review committee, the HRSA program official with delegated authority was responsible for final selection and funding decisions. Notification was made in writing by a Notice of Award.

Applicants who were selected for funding may be required to respond in a satisfactory manner to conditions placed on their application before funding may proceed. Letters of notification do not provide authorization to begin performance.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

After Notices of Award are issued, funds are released after any applicable special conditions of the award are resolved to the satisfaction of the HRSA. After all conditions applicable to a project are resolved, funds are released in accordance with DHHS payment procedure. See the following for information on how assistance is awarded/released: Grantee drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

Program reports are not applicable. Cash reports are not applicable. Progress reports must be submitted quarterly for each applicable funded ARRA opportunity. Final Federal Financial Reports (FFR) are due 90 days after the end of each applicable 2-year budget/project period. Other reports/documentations/verifications that must be submitted based on the requirements of a specific opportunity are noted in the application grant award. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until

completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0351-0-1-550.

Obligations:

(Project Grants) FY 12 \$0; FY 13 est \$0; and FY 14 est \$0

Range and Average of Financial Assistance:

Refer to the applicable funding opportunity announcement.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations. HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Bureau of Primary Health Care 5600 Fishers Lane, Room 17-105, Rockville, Maryland 20857 Phone: (301) 594-4110

Website Address:

<http://www.grants.gov>.

RELATED PROGRAMS:

93.224 Consolidated Health Centers (Community Health Centers, Migrant Health Centers, Health Care for the Homeless, Public Housing Primary Care, and School Based Health Centers)

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the applicable funding opportunity announcement.

**93.704 TRANS-NIH RECOVERY ACT LOAN REPAYMENT SUPPORT
FEDERAL AGENCY:**

National Institutes of Health, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Title IV, Part G, Section 487F, Public Law 106-505, 42 U.S.C 288-5a.

OBJECTIVES:

To provide support for NIH loan repayment initiatives funded under The American Recovery and Reinvestment Act of 2009 (ARRA). Initiatives will attract and retain health professionals performing research in fields required by the NIH to carry out its mission by providing educational loan repayment for participants with substantial amounts of educational debt relative to income, who agree by written contract to engage in biomedical, behavioral or clinical research in a qualifying nonprofit institution or as an employee of the NIH for 2 years for new intramural and extramural contracts.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

To provide support for NIH loan repayment initiatives funded under The American Recovery and Reinvestment Act of 2009 (ARRA). Initiatives will attract and retain health professionals performing research in fields required by the NIH to carry out its mission by providing educational loan repayment for participants with substantial amounts of educational debt relative to income, who agree by written contract to engage in biomedical, behavioral or clinical research in a qualifying nonprofit institution or as an employee of the NIH for 2 years for new intramural and extramural contracts. Recipients must have qualified educational debt in excess of 20 percent of their annual salary, which is referred to as their "debt threshold." An amount equal to half of this "debt

threshold" will not be repaid by NIH and must be paid by the program participants. Participants may apply for and the Secretary may grant extension contracts for one-year or two-year periods, as determined by the Secretary, if the individual continues to engage in qualifying research beyond their initial contracts. Renewal applications are competitively reviewed and the submission of a renewal application does not assure the award of benefits. Eligible renewal applications that score within the funding range will receive continuation contracts from non-ARRA funding sources. The maximum program benefit is \$35,000 per year in loan repayments and \$13,650 per year in Federal tax reimbursements, plus discretionary State and local tax reimbursements.

Applicant Eligibility:

Small business (less than 500 employees).

Beneficiary Eligibility:

Specialized group (e.g. health professionals, students, veterans).

Credentials/Documentation:

Applicants electronically transmit the following documents to the NIH: Applicant Information Statement, Biosketch, Personal Statement, Description of Research Activities, 3 Recommendations, Loan Information including current account statement(s) and promissory note(s) or disclosure statement(s), Assessment of Research Activities Statement (completed by the research supervisor), and Description of the Research Environment (completed by the research supervisor), Training or Mentoring Plan (completed by the research supervisor). Institutional Contacts electronically transmit a certification that: (a) assures the applicant will be provided the necessary time and resources to engage in the research project for two years from the date a Loan Repayment Program Contract is executed; (b) assures that the applicant is or will be engaged in qualifying research for 50 percent of their time (or not less than 20 hours per week based on a 40 hour week); (c) certifies that the institution is nonprofit (exempt from tax under 26 USC 501), and (d) provides the applicant's institutional base salary. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Applicants must access and submit the Loan Repayment Program application via the website www.lrp.nih.gov. Applications submitted to the NIH Division of Loan Repayment are first examined to determine if the basic eligibility requirements are satisfied. If these requirements are met, the application is forwarded to the NIH Center for Scientific Review for a determination regarding which NIH Institute or Center the application will be assigned to for review, scoring, and ranking.

Award Procedure:

The NIH Institutes and Centers approve or disapprove applications for participation in the Loan Repayment Program. When an Institute or Center approves an application, the NIH Office of Loan Repayment notifies individuals of their award amount, provides a repayment schedule that covers the period of participation, and a Loan Repayment Program Contract is executed by an authorized representative of the Secretary, DHHS. If the approved research assignment changes, or if the employing research institution changes, the participant must have their continued eligibility recertified by the Institute or Center which approved their application. Contact the NIH via E-mail at LRP@NIH.GOV for further guidance.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

At the conclusion of the ARRA 2-year contract, participants may apply and be considered for subsequent 1 or 2-year continuation contracts under the application and approval procedures specified above. Renewal applications are competitively reviewed and the submission of a renewal application does not assure the award of benefits. Eligible renewal applications that score within the funding range will receive continuation contracts from non-ARRA funding sources.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

For initial two-year contract periods, loan repayments awards may range from \$2,298 to \$70,000; Tax reimbursements range from \$1,040 to \$31,679. The average contract cost which includes loan and tax reimbursement is \$48,718. See the following for information on how assistance is awarded/released: Not available.

Reports:

No reports are required.

Audits:

No audits are required for this program.

Records:

No Data Available.

Account Identification:

74-4554-0-1-552.

Obligations:

(Direct Payments for Specified Use) FY 12 \$0; FY 13 est \$0; and FY 14 est \$0

Range and Average of Financial Assistance:

For initial two-year contract periods, loan repayments awards may range from \$2,298 to \$70,000; Tax reimbursements range from \$1,040 to \$31,679. The average contract cost which includes loan and tax reimbursement is \$48,718.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: no funds to be expended. Fiscal Year 2013: no funds to be expended. Fiscal Year 2014: no funds to be expended.

REGULATIONS, GUIDELINES, AND LITERATURE:

Pertinent information is contained in Public Health Service Act, Title IV, Part G, Section 487F, Public Law 106-505, 42 U.S.C. 288-5a. The NIH LRP-CR was initially announced by publication of a Notice in the Federal Register (Vol. 66, No. 173), and updated in Federal Register (Vol. 68, No. 61).

Regional or Local Office:

None.

Headquarters Office:

Sherry Mills 6011 Executive Blvd., Room 206, MSC 7650, Bethesda, Maryland 20892-7650 Email: lrp@nih.gov Phone: (866) 849-4047 Fax: (866) 849-4046

Website Address:

<http://www.lrp.nih.gov/>

RELATED PROGRAMS:

93.162 National Health Service Corps Loan Repayment Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The NIH Institutes and Centers approve or disapprove applications for participation in the Loan Repayment Programs. Applications that are on time, complete and eligible are referred to the appropriate NIH Institute or Center by the NIH Center for Scientific Review. The NIH Institutes and Centers convene panels comprised of non-NIH scientists to review, score and rank applications. In evaluating the application, reviewers are directed to consider the following components as they relate to the likelihood that the applicant will continue in a research career: (1) potential of the applicant to pursue a career in research, and (2) quality of the overall environment to prepare the applicant for a research

career.

93.706 AGING NUTRITION SERVICES FOR NATIVE AMERICANS

Aging Nutrition Services for Native Americans

FEDERAL AGENCY:

Administration for Community Living, Department of Health and Human Services

AUTHORIZATION:

American Recovery and Reinvestment Act of 2009 , Public Law 111-5; Older Americans Act of 1965, as amended Older Americans Act of 1965, as amended., Title VI; American Recovery and Reinvestment Act of 2009 , Public Law 111-5.

OBJECTIVES:

Under the American Recovery and Reinvestment Act of 2009 to provide grants to Native American Tribal Organizations and Native Hawaiian Organizations who are current grantees under Title VI of the Older Americans Act, as amended, to support nutrition services including nutritious meals, nutrition education and other appropriate nutrition services to maintain health, independence and quality of life. Meals may be served in a congregate setting or delivered to the home, if the older individual is homebound.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Funds are available through grants to eligible Indian Tribal Organizations and Native Hawaiian Organizations for services comparable to those provided under Title III. Services must include nutrition services, information and referral. Indian Tribes receive funds based on a formula that considers the number of eligible elder Indians sixty years of age or older represented by the tribal organizations with population ranges from 50-100, 101-200, 201-300, 301-400, 401-500, 501 to 1,500, and 1,501+. Indian Tribes receive funds based on a formula that considers the number of eligible elder Indians sixty years of age or older represented by the tribal organizations with population ranges from 50-100, 101-200, 201-300, 301-400, 401-500, 501 to 1,500, and 1,501+.

Applicant Eligibility:

Current Title VI Part A/B grantees eligible to apply for ARRA assistance to provide and expand nutrition services and create or retain jobs.

Beneficiary Eligibility:

Applicants must be current Title VI grantees.

Credentials/Documentation:

Applicable costs and administrative procedures will be determined in accordance with Part 92 of Title 45 of the Code of Federal Regulations.

Applicant Eligibility: Tribal organizations of Indian tribes eligible for assistance under Section 4 of the Indian Self-Determination and Education Assistance Act (25 U.S.C. 450b), which represent at least 50 Indians or Hawaiians 60 years of age or older. Applicants must demonstrate that they have the ability to deliver supportive and nutrition services.

Beneficiary Eligibility: Indians and Native Hawaiian elders who are 60 years of age and older, and in the case of nutrition services, their spouses. Tribes also have the authority to define Indians under 60 years of age as "older Indian" making them eligible for services. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. No application is required. Current grant recipients under Title VI of the Older Americans Act will be awarded a grant to provide Nutrition Services. Awards will be made base upon the formula established.

Award Procedure:

Notification of award will be issued to the grantee.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Appeals are processed in accordance with Administration on Aging regulations in 45 CFR 1326 and 1328.

Renewals:

PROGRAM UNDER RECOVERY ACT NOT BEING RENEWED.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Funds for grants awarded will remain available until September 30, 2010.

See the following for information on how assistance is awarded/released: payment management system. See the following for information on how assistance is awarded/released: payment management system.

Reports:

No reports are required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records must be maintained for 3 years after submission of the final expenditure report.

Account Identification:

75-0142-0-1-506.

Obligations:

(Project Grants) FY 12 \$0; FY 13 est \$0; and FY 14 est \$0

Range and Average of Financial Assistance:

N/A.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Fiscal Year 2012: No Current Data Available. Fiscal Year 2013: Fiscal Year 2013: No Current Data Available. Fiscal Year 2014: Fiscal Year 2014: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 92, 45 CFR 1326, Public Law 111-5, M-09-10, NoA.

Regional or Local Office:

See Regional Agency Offices. Regional Administrator, Administration on Aging, Department of Health and Human Services Regional Offices.

Headquarters Office:

Cynthia LaCounte One Massachusetts Ave, NW , Washington, District of Columbia 20201 Email: Cynthia.LaCounte@aoa.hhs.gov Phone: 202-357-0148

Website Address:

<http://www.acl.gov>

RELATED PROGRAMS:

93.047 Special Programs for the Aging Title VI, Part A, Grants to Indian Tribes Part B, Grants to Native Hawaiians

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.708 ARRA - HEAD START

HS, Recovery Act

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

American Recovery and Reinvestment Act of 2009, Public Law 111-5; Head Start Act, as amended by the Improving Head Start for School Readiness Act of 2007, Public Law 110-134.

OBJECTIVES:

This funding will provide economic stimulus to the nation while furthering the ACF mission to promote the school readiness of low-income children. The objective of the Head Start program is to enhance the cognitive, social and emotional development of low-income children through the provision of comprehensive health, educational, nutritional, social and other services and to involve parents in their children's learning and to help parents make progress toward their educational, literacy and employment goals. Head Start also emphasizes the significant involvement of parents in the administration of their local Head Start programs.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

At least 90 percent of the enrollees in a program must be income eligible, i.e., from families whose income is below the poverty line, from families receiving public assistance, from homeless families or children in foster care. Programs can serve an additional 35 percent of participants with incomes up to 130 percent of poverty if they can demonstrate that they already are meeting the needs of children below the poverty line in the area served. American Indian and Alaska Native programs may enroll additional over-income children if they enroll all children in their service area that are income eligible and predominantly serve children meeting the low-income criteria. Training and technical assistance grants are available to Head Start programs. The State Advisory Council funds will be awarded as one-time startup grants of not less than \$500,000 to those states that submit an acceptable application, consistent with the requirements of Section 642B of the Head Start Act. See above.

Applicant Eligibility:

Program is no longer accepting grant applications.

Beneficiary Eligibility:

Program is no longer accepting grant applications.

Credentials/Documentation:

Program is no longer accepting grant applications. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

This program is no longer accepting applications. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. This program is no longer accepting applications.

Award Procedure:

This program is no longer accepting applications.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Percent: 20.%. Head Start grantees are required to provide 20 percent of the total cost of the program, although this may be waived wholly or in part if certain conditions pertain. Matching share may be in cash or in-kind fairly evaluated. The Federal share of the cost of State Advisory Councils activities conducted under section 642B of the Head Start Act shall be 30 percent and the state must provide the non-Federal share of 70 percent.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Funds awarded under the authority of ARRA are available for obligation through September 30, 2010. Funds are released by monthly allowance on an Electronic Transfer System or by monthly check. Semiannual financial and annual program reports are required. See the following for information on how assistance is awarded/released: State Advisory Council grants will be awarded to the Governors office and awarded centrally by the Office of Head Start.

Reports:

Semiannual financial and annual program reports are required for State Advisory Councils until the end of the project period. Funded grantees must submit quarterly reports required by Section 1512 of the Recovery Act which only applies to State Advisory Councils. No cash reports are required. No progress reports are required. Expenditure data is included as part of the quarterly reporting requirements of Section 1512 of the Recovery Act. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audits are conducted in accordance with the requirements in 45 CFR, Parts 74 and 92.

Records:

Recipient must maintain information on children and families served, including income data to determine eligibility and data on services provided. Data should be kept for three years.

Account Identification:

75-1537-0-1-506.

Obligations:

(Project Grants) FY 12 \$0; FY 13 est \$0; and FY 14 est \$0 - This money was all obligated by the end of FY 2010.

Range and Average of Financial Assistance:

\$100,000-\$5,000,000; \$500,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Chapter XIII of Title 45 Code of Federal Regulations, including 45 CFR 1301 1311. These are available electronically at the following ACF website: <http://eclkc.ohs.acf.hhs.gov/hslc/Program%20Design%20and%20Management/Head%20Start%20Requirements/Head%20Start%20Requirements>

Regional or Local Office:

See Regional Agency Offices. Region I (CT, MA, ME, NH, RI, VT):

Louise Eldridge, Regional Program Manager, Administration for Children and Families, Office of Head Start, Boston Regional Office, JFK Building, Rm. 2000, 15 New Sudbury Street, Boston, MA 02203. Telephone: (617) 565-1150.

Region II (NJ, NY, PI, VI):

Iran Rodriquez, Regional Program Manager, Administration for Children and Families, Office of Head Start, New York Regional Office, 26 Federal Plaza, Room 4114, New York, NY 10278. Telephone: (212) 264-2890, ext. 173.

Region III (DC, DE, MD, PA, VA, WV):

Linda Savage, Regional Program Manager, Administration for Children and Families, Office of Head Start, Philadelphia Regional Office, 150 S. Independence Mall West, Suite 864, Philadelphia, Pennsylvania 19106-3499. Telephone: (215) 861-4048.

Region IV (AL, FL, GA, KY, MS, NC, SC, TN):

Jeff Fredericks, Regional Program Manager, Administration for Children and Families, Office of Head Start, Atlanta Regional Office, Atlanta Federal Center, 61- Forsyth Street, SW, Suite 4M60, Atlanta, Georgia 30303-8909. Telephone: (404) 562-2841.

Region V (IL, IN, MI, MN, OH, WI):

Kay Willmoth, Regional Program Manager, Administration for Children and Families, Office of Head Start, Chicago Regional Office, 233 N. Michigan Ave. - Suite 400, Chicago, IL 60601. Telephone: (312) 353-7562.

Region VI (AR, LA, NM, OK, TX):

Kimberly Chalk, Regional Program Manager, Administration for Children and Families, Office of Head Start, Dallas Regional Office, 1301 Young Street, Room 914, Dallas, TX 75202. Telephone: (214) 767-8844.

Region VII (IA, KS, MO, NE):

Clarence Small, Regional Program Manager, Administration for Children and Families, Office of Head Start, Kansas City Regional Office, 601 East 12th Street, Rm. 276, Kansas City, MO 64106. Telephone: (816) 426-2275.

Region VIII (CO, MT, ND, SD, UT, WY)

Deb Hedin, Regional Program Manager, Administration for Children and Families, Office of Head Start, Denver Regional Office, 1961 Stout Street, Suite 924, Denver, CO 80294. Telephone: (303) 844-1106.

Region IX (AZ, CA, HI, NV):

Jan Len, Regional Program Manager, Administration for Children and Families, Office of Head Start, San Francisco Regional Office, 90 7th Street, Ninth Floor, San Francisco, CA 94103. Telephone: (415) 437-8447.

Region X (AK, ID, OR, WA):

Nancy Hutchins, Regional Program Manager, Administration for Children and Families, Office of Head Start, Seattle Regional Office, 2201 Sixth Avenue, room 610 - M/S RX-70, Seattle, WA 98121. Telephone: (206) 615-3661.

Headquarters Office:

Colleen Rathgeb, 1250 Maryland Avenue, S.W., Washington, District of Columbia 20024 Email: colleen.rathgeb@acf.hhs.gov Phone: (202) 205-7378.

Website Address:

<http://www.acf.hhs.gov/programs/ohs>

RELATED PROGRAMS:

93.600 Head Start

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The degree to which the proposed project will meet the Head Start Program Performance Standards located on the ACF website:

<http://eclkc.ohs.acf.hhs.gov/hslc/Program%20Design%20and%20Management/>

Head%20Start%20Requirements/Head%20Start%20Requirements and other program objectives as specified in the program announcement.

93.709 ARRA - EARLY HEAD START

EHS, Recovery Act

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

American Recovery and Reinvestment Act of 2009, Public Law 111-5; Head Start Act, as amended by the Improving Head Start for School Readiness Act of 2007, Public Law 110-134.

OBJECTIVES:

This funding will provide economic stimulus to the nation while furthering the ACF mission to promote the development of infant and toddler age children. The objective of the Early Head Start program is to enhance the cognitive, social and emotional development of low-income children, including children on federally recognized reservations and children of migratory farm workers, through the provision of comprehensive health, educational, nutritional, social and other services and to involve parents in their childrens learning and to help parents make progress toward their educational, literacy and employment goals. Early Head Start also emphasizes the significant involvement of parents in the administration of their local Early Head Start programs.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

At least 90 percent of the enrollees in a program must be income eligible, i.e., from families whose income is below the poverty line, from families receiving public assistance, from homeless families or children in foster care. Programs may serve an additional 35 percent of participants with incomes up to 130 percent of poverty if they can demonstrate that they already are meeting the needs of children below the poverty line in the area served. American Indian and Alaska Native programs may enroll additional over-income children if they enroll all children in their services area that are income eligible and predominantly serve children meeting the low income criteria. Up to 10 percent of the funding is for training and technical assistance grants and up to 3 percent is for monitoring the program. See above.

Applicant Eligibility:

Program is no longer accepting applications.

Beneficiary Eligibility:

Program is no longer accepting applications.

Credentials/Documentation:

Program is no longer accepting applications. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

This program is no longer accepting applications. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. This program is no longer accepting applications.

Award Procedure:

This program is no longer accepting applications.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: Percent: 20%. Head Start grantees are required to provide 20 percent of the total cost of the program, although this may be waived wholly or in part if certain conditions pertain. Matching share may be in cash or in-kind fairly evaluated.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Funds awarded under the authority of ARRA are available for obligation through September 30, 2010. Funds are released by monthly allowance on an Electronic Transfer System or by monthly check. Semiannual financial and annual program reports are required. See the following for information on how assistance is awarded/released: Funds are released by monthly allowance on an Electronic Transfer System or by monthly check. Semiannual financial and annual program reports are required.

Reports:

Semiannual financial and annual program reports are no longer required. No cash reports are required. No progress reports are required. Expenditure data is included in the quarterly reports required by Section 1512 of the Recovery Act. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audits are conducted in accordance with the requirements in 45 CFR, Parts 74 and 92.

Records:

Grantee must maintain an accounting system adequate to meet the purposes of the grant. Requirements for records are found in 45 CFR, Part 74 and 92.

Account Identification:

75-1537-0-1-506.

Obligations:

(Project Grants) FY 12 \$0; FY 13 est \$0; and FY 14 est \$0 - These funds were all obligated by the end of FY 2010.

Range and Average of Financial Assistance:

\$100,000-\$5,000,000; \$1,000,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Chapter XIII of Title 45 Code of Federal Regulations, including 45 CFR 1301 1311. These are electronically at the following ACF website:
<http://eclkc.ohs.acf.hhs.gov/hslc/Program%20Design%20and%20Management/Head%20Start%20Requirements/Head%20Start%20Requirement>

Regional or Local Office:

See Regional Agency Offices. Region I (CT, MA, ME, NH, RI, VT):

Louise Eldridge, Regional Program Manager, Administration for Children and Families, Office of Head Start, Boston Regional Office, JFK Building, Rm. 2000, 15 New Sudbury Street, Boston, MA 02203. Telephone: (617) 565-1150.

Region II (NJ, NY, PI, VI):

Iran Rodriguez, Regional Program Manager, Administration for Children and Families, Office of Head Start, New York Regional Office, 26 Federal Plaza, Room 4114, New York, NY 10278. Telephone: (212) 264-2890, ext. 173.

Region III (DC, DE, MD, PA, VA, WV):

Linda Savage, Regional Program Manager, Administration for Children and

Families, Office of Head Start, Philadelphia Regional Office, 150 S. Independence Mall West, Suite 864, Philadelphia, Pennsylvania 19106-3499. Telephone: (215) 861-4048.

Region IV (AL, FL, GA, KY, MS, NC, SC, TN):

Jeff Fredericks, Regional Program Manager, Administration for Children and Families, Office of Head Start, Atlanta Regional Office, Atlanta Federal Center, 61- Forsyth Street, SW, Suite 4M60, Atlanta, Georgia 30303-8909. Telephone: (404) 562-2841.

Region V (IL, IN, MI, MN, OH, WI):

Kay Willmoth, Regional Program Manager, Administration for Children and Families, Office of Head Start, Chicago Regional Office, 233 N. Michigan Ave. - Suite 400, Chicago, IL 60601. Telephone: (312) 353-7562.

Region VI (AR, LA, NM, OK, TX):

Kimberly Chalk, Regional Program Manager, Administration for Children and Families, Office of Head Start, Dallas Regional Office, 1301 Young Street, Room 914, Dallas, TX 75202. Telephone: (214) 767-8844.

Region VII (IA, KS, MO, NE):

Clarence Small, Regional Program Manager, Administration for Children and Families, Office of Head Start, Kansas City Regional Office, 601 East 12th Street, Rm. 276, Kansas City, MO 64106. Telephone: (816) 426-2275.

Region VIII (CO, MT, ND, SD, UT, WY)

Deb Hedin, Regional Program Manager, Administration for Children and Families, Office of Head Start, Denver Regional Office, 1961 Stout Street, Suite 924, Denver, CO 80294. Telephone: (303) 844-1106.

Region IX (AZ, CA, HI, NV):

Jan Len, Regional Program Manager, Administration for Children and Families, Office of Head Start, San Francisco Regional Office, 90 7th Street, Ninth Floor, San Francisco, CA 94103. Telephone: (415) 437-8447.

Region X (AK, ID, OR, WA):

Nancy Hutchins, Regional Program Manager, Administration for Children and Families, Office of Head Start, Seattle Regional Office, 2201 Sixth Avenue, room 610 - M/S RX-70, Seattle, WA 98121. Telephone: (206) 615-3661.

Headquarters Office:

Colleen Rathgeb, 1250 Maryland Avenue, S.W., Washington, District of Columbia 20024 Email: colleen.rathgeb@acf.hhs.gov Phone: (202) 205-7378.

Website Address:

<https://www.acf.hhs.gov/programs/ohs>

RELATED PROGRAMS:

93.600 Head Start

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The degree to which the proposed project will meet the Head Start Program Performance Standards located on the ACF website: <http://eclkc.ohs.acf.hhs.gov/hslc/Program%20Design%20and%20Management/Head%20Start%20Requirements/Head%20Start%20Requirements> and other program objectives as specified in the program announcement.

93.710 ARRA - COMMUNITY SERVICES BLOCK GRANT

CSBG, Recovery Act.

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human

Services

AUTHORIZATION:

American Recovery and Reinvestment Act of 2009, Public Law 111-5; Community Services Block Grant Act (CSBG Act), as amended by the Community Opportunities, Accountability, Training, and Educational Services Act of 1998, Public Law 105-285.

OBJECTIVES:

This funding will provide economic stimulus to the nation while furthering the ACF mission to promote the economic and social well-being of children, youth, families and communities. Objectives are to provide assistance to States and local communities, working through a network of community action agencies and other neighborhood-based organizations, for the reduction of poverty, revitalization of low-income communities, and empowerment of low-income families and individuals in rural and urban areas to become fully self-sufficient (particularly families who are attempting to transition off a State program carried out under part A of Title IV of the Social Security Act). Additional objectives are (1) to provide services and activities having a measurable and potential major impact on causes of poverty in the community or those areas of the community where poverty is a particularly acute problem; (2) to provide activities designed to assist low-income participants, including the elderly poor, to: (a) secure and retain meaningful employment; (b) attain an adequate education; (c) make better use of available income; (d) obtain and maintain adequate housing and a suitable living environment; (e) obtain emergency assistance through loans or grants to meet immediate and urgent individual and family needs, including health services, nutritious food, housing, and employment-related assistance; (f) remove obstacles and solve problems which block the achievement of self-sufficiency; (g) achieve greater participation in the affairs of the community; and (h) make more effective use of other related programs; (3) to provide on an emergency basis for the provision of such supplies and services, nutritious food, and related services, as may be necessary to counteract conditions of starvation and malnutrition among the poor; and (4) to coordinate and establish linkages between governmental and other social services programs to assure the effective delivery of such services to low-income individuals.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

Uses and restrictions for CSBG Recovery Act funds differ from the uses and restrictions that apply to allotments to States from regular CSBG annual appropriations with regard to use and percentage of funds retained by the States. As with regular CSBG grants, States receive CSBG Recovery Act funds to ameliorate the causes of poverty in communities. The block grant approach gives the States flexibility to tailor their programs to the particular services needs in their communities. States are required to pass through 99 percent of their Recovery Act allocations for grants to eligible entities, as defined in the CSBG Act and use the remaining one percent of funds for benefits enrollment coordination activities relating to the identification and enrollment of eligible individuals and families. See Above.

Applicant Eligibility:

The Secretary is authorized to make grants to States. This includes each of the 50 States, the District of Columbia, the Commonwealth of Puerto Rico, Guam, the Virgin Island, American Samoa, and the Commonwealth of the Northern Mariana Islands. The Secretary also provides assistance directly to the governing body of an Indian Tribe or Tribal organization upon application by the tribe. Only State-recognized tribes, as evidenced by a statement to that effect by the Governor, or tribes formally recognized by the Secretary of the Interior, under the procedure for such recognition in 25 CFR 54, are eligible to receive direct grants.

Beneficiary Eligibility:

States make grants to qualified locally-based nonprofit community anti-poverty agencies and other eligible entities which provide services to low-income individuals and families. The official poverty line, as established by the Secretary of Health and Human Services, is used as a criterion of eligibility in the Community Services Block Grant program. When a State determines that it serves the objectives of the block grant, it may revise the income limit, not to exceed 200 percent of the official poverty line.

Credentials/Documentation:

No Credentials or documentation are required. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Under the regularly-funded CSBG Program, each State desiring to receive an allotment for a fiscal year is required to submit an application to the Secretary of HHS. For Recovery Act funds, States will receive a separate allotment of funds based on the statutory formula. Within 30 days of receiving new grant allotments, each State must submit an application amendment outlining the intended use of Recovery Act funds, to include how the State will carry out the assurances in Section 676(b) of the CSBG Act. The application amendment must be a separate document from the original application and must contain assurances by the Chief Executive Officer of the State that the State will comply with the allowable uses of funds under Section 675C of the CSBG Act, with modifications to allowable uses specified in the Recovery Act. The application amendment must set forth the general purposes for which funds will be used, including a description of the State intended use for benefits enrollment coordination activities relating to the identification and enrollment of eligible individuals and families in Federal, State, and local benefit programs. The State also must describe how it will incorporate monitoring of Recovery Act funds into its regular monitoring of CSBG eligible entities. This includes descriptions of how the State will monitor restrictions on administrative expenses, eligible recipients, board requirements for community action agencies and other nonprofit organizations, fiscal control, monitoring, and Federal investigation provisions, coordination between antipoverty programs in each community and certain prohibitions on political activities. States also must include a description of how they plan to track CSBG funds from ARRA separately from CSBG funds provided through the regular FY 2009 and 2010 appropriations process. In addition, States must indicate how they plan to evaluate the effectiveness of ARRA CSBG funds, including tracking the number of jobs created. This program is excluded from coverage under 45 CFR Part 1050.

Award Procedure:

HHS determines the amount of funds to be allocated as block grants to each State in accordance with the formula set forth in the CSBG Act. The Office of Management and Budget (OMB) has the authority to apportion to HHS through the course of a year the Congressional appropriation for block grants. Consistent with OMB's apportionment of funds, HHS will assign allotments to the States through individual awards or a series of awards.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Funds may be withheld from any State which does not utilize its allotment substantially in accordance with the provisions of the CSBG Act, the American Recovery and Reinvestment Act, and the assurances provided in its application.

This may be done only after adequate notice and an opportunity for a hearing conducted within the affected State.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title 45, Chapter 96.71, Part 96, Subpart G, Public Law 97-35. States are required to pass through 99 percent of their Recovery Act allocations for grants to eligible entities, as defined in the CSBG Act and use the remaining one percent of funds for benefits enrollment coordination activities relating to the identification and enrollment of eligible individuals and

families.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Formula grant awards will be made in FY 2009. Grant funds allotted to the State will be awarded in accordance with apportionment of funds from OMB. Amounts unobligated by the State at the end of a fiscal year remain available for obligation during the succeeding fiscal year. See the following for information on how assistance is awarded/released: Formula grant awards will be made in FY 2009.

Reports:

Funded grantees must submit quarterly reports required by Section 1512 of the Recovery Act. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. States must conduct financial and compliance audits of block grant funds annually in accordance with Section 678D of the CSBG Act.

Records:

States are required to keep records sufficient to permit preparation of the required reports and to permit tracking of funds to a level of expenditure adequate to ensure that funds have not been spent unlawfully.

Account Identification:

75-1537-0-1-506 - TAFS: 75-0910-1537.

Obligations:

(Formula Grants) FY 12 \$0; FY 13 est \$0; and FY 14 est \$0

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: N/A. Fiscal Year 2013: N/A. Fiscal Year 2014: N/A.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR Part 16, 45 CFR Part 74 and 45 CFR Part 96. All ARRA Standard Terms and Conditions will be applicable to the grantee. Also reference the HHS Grants Policy Statement available online at: <http://www.hhs.gov/grantsnet/adminis/gpd/index.htm>

Regional or Local Office:

None.

Headquarters Office:

Seth Hassett 901 D Street, S.W., 5th Floor - Room 5A165, Washington, District of Columbia 20447 Email: seth.hassett@acf.hhs.gov Phone: 202-401-2333

Website Address:

<http://www.acf.hhs.gov/programs/ocs/csbg/>

RELATED PROGRAMS:

93.045 Special Programs for the Aging Title III, Part C Nutrition Services; 93.048 Special Programs for the Aging Title IV and Title II Discretionary Projects; 93.568 Low-Income Home Energy Assistance; 93.570 Community Services Block Grant Discretionary Awards; 93.600 Head Start

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.711 ARRA STRENGTHENING COMMUNITIES FUND

SCF, Recovery Act

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

American Recovery and Reinvestment Act of 2009 , Public Law 111-5.

OBJECTIVES:

This funding will provide economic stimulus to the nation while furthering the ACF mission to promote the economic and social well-being of children, youth, families, and communities.

The objectives of the Strengthening Communities Fund (SCF) are to (1) fund entities to provide training, technical assistance, and financial assistance to local faith-based and community organizations enabling them to more fully participate in economic recovery and (2) build the capacity of State, Local, and Tribal governments to provide outreach to faith-based and community-based organizations. The funding is used to build capacity of government and nonprofit organizations to partner with local faith-based and community-based organizations, with a focus on expanding economic recovery efforts, increasing community access to public benefits (including ARRA benefits), and helping low- and moderate-income people secure and retain employment.

SCF is composed of two programs:

(1) SCF Nonprofit Capacity Building Program

The focus of the SCF Nonprofit Capacity Building Program is to fund organizations that provide training, technical assistance, and competitive financial assistance to faith-based and community-based nonprofit organizations to help them address the broad economic recovery issues present in their communities, including helping low-income individuals secure and retain employment, earn higher wages, obtain better-quality jobs, and increase access to State and Federal benefits and tax credits.

(2) SCF Government Capacity Building Program

The focus of the SCF State, Local, and Tribal Government Capacity Building Program is to build the sustainability and effectiveness of the government entities that provide outreach to faith-based and community-based organizations. Ultimately, these partnerships will enable local nonprofit organizations to better serve those in need and to increase nonprofit organizations' involvement in the economic recovery. Government entities or their designees will use program funds to develop their capacity to serve as a resource to nonprofit organizations and other government agencies regarding ARRA funding/benefits to ensure that disadvantaged and hard-to-serve populations are aware of and understand how to access the benefits and services described in the ARRA. Grantees also will use program funds to offer free capacity building services to nonprofit organizations to improve awareness of and access to ARRA efforts/benefits, improve nonprofits organizational capacities to be active participants in ARRA efforts, and facilitate partnerships between and among nonprofits and other government agencies. For example, grantees could establish a beneficiary benefits clearinghouse for individuals and a funding opportunities clearinghouse for nonprofit organizations, provide outreach and education, and deliver training and technical assistance.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Under the SCF Nonprofit Capacity Building Program, lead organizations assist nonprofit project partners in distressed communities with capacity building activities in four critical areas: (1) organizational development, (2) program development, (3) collaboration and community engagement (4) leadership development and (5) evaluating their effectiveness. Capacity building activities are designed to increase an organization's sustainability and effectiveness, enhance its ability to provide social services, and create collaborations to better serve those in need. Lead organizations use the award funds to provide two services within their communities: (1) training and technical assistance to nonprofit organizations; and (2) competitive financial assistance to some subset of the nonprofit organizations receiving training and technical assistance. Training and technical assistance activities are conducted at no cost to interested nonprofit organizations. Each organization will also provide subawards to local non-profits to help these organizations build the capacity to

expand and strengthen programs and services related to helping low-income individuals secure and retain employment, earn higher wages, and obtain better-quality jobs. Examples of these capacity building activities include staff training, technical assistance, and materials and equipment to enhance efficiency or enable the ability to provide a new service. Funds also help organizations build capacity to expand and strengthen programs aimed at increasing low- and moderate-income individuals access to the state and Federal benefits and tax credits for which individuals are eligible. Examples include developing organizational capacity to offer a counselor-assisted centralized electronic screening and application tool, establishing procedures to assess eligibility of prospective and current clients for benefits and tax credits, developing referral protocols with other local service providers, developing a guide/clearinghouse of benefits and tax credits, and developing the capacity to offer free tax preparation assistance.

Under the SCF State, Local, and Tribal Government Capacity Building Program, funds are awarded to State, city, county and tribal government offices (e.g., offices responsible for outreach to faith-based and community organizations or those offices interested in initiating such an effort) or their designees for the purpose of building the sustainability and effectiveness of the government entities, other government agencies and ultimately local nonprofits to better serve those in need. Specifically, funds are used to build the capacity of organizations that are contributing to the economic recovery and help Federal, State, and local governments ensure that the information and services described in the ARRA reach disadvantaged and hard-to-serve populations. Grantees use program funds to provide free capacity building services to nonprofit organizations, such as developing a funding opportunities clearinghouse and/or training and technical assistance to improve access to ARRA funding/benefits and to improve organizational capacity to be competitive applicants for ARRA funding/benefits. Grantees also will use program funds to build their own capacity to provide these services to nonprofits. Priority for awards will be given to State and Indian/Native American Tribal governments and such governments designees. Grantees must also use funds to measure their own effectiveness and help non-profit organizations develop ways to measure their effectiveness. Costs of organized fund raising, including financial campaigns, endowment drives, solicitation of gifts and bequests, and similar expenses incurred solely to raise capital or obtain contributions, are unallowable under this program. Awards will not allow reimbursement of pre-award costs. Construction is not an allowable activity or expenditure under this program. Purchase of real property is not an allowable activity or expenditure under this program. The SCF grantees and project partners (including subawardees) may not use funds for direct social service provisions. Funds are intended to help grantees and their partners build and strengthen their capacity to provide services. The cost of the augmentation or supplanting of direct services is unallowable. Direct Federal grants, financial assistance funds, or contracts under the Department of Health and Human Services shall not be used to support inherently religious activities such as religious instruction, worship, or proselytization. Organizations that receive HHS funds may not engage in inherently religious activities, such as worship, religious instruction, or proselytization, as part of the programs or services funded with HHS funds. An organization receiving a SCF award shall not, in providing Federally-funded services, discriminate against a program beneficiary or prospective program beneficiary on the basis of religion or religious belief. SCF program funding may not pay for capacity building activities that support medical and/or health care related activities or items such as medical equipment or supplies or medically-oriented trainings, certifications, or licensures. SCF Nonprofit Capacity Building Program lead organizations may not provide competitive financial assistance to a health care organization. A grantee is not to use award funds to provide a contract to organizations that provide the grantee with a cost share match..

Applicant Eligibility:

This program is no longer accepting grant applications.

Beneficiary Eligibility:

This program is no longer accepting grant applications.

Credentials/Documentation:

This program is no longer accepting grant applications. OMB Circular No. A-87 applies to this program. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

An applicant should consult the office or official designated as the single point of contact in his or her state for more information on the process the state requires to be followed in applying for assistance, if the state has selected the program for review. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. This program is no longer issuing new awards.

Award Procedure:

This program is no longer issuing new awards.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Percent: 20%. Awardees must provide at least 20percent of the total approved cost of the project. The total approved cost of the project is the sum of the ACF (Federal) share and the non-Federal share. The non-Federal share may be met by cash or in-kind contributions, although applicants are encouraged to meet their match requirements through cash contributions. Awardees will be held accountable for commitments of non-Federal resources even if they exceed the amount of the required match. Failure to provide the required amount will result in the disallowance of federal funds. A lack of supporting documentation at the time of application will not exclude the application from competitive review. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

SCF grants and cooperative agreements are for project/budget periods of 24 months. Method of awarding/releasing assistance: lump sum.

Reports:

Funded organizations must submit quarterly reports required by Section 1512 of the Recovery Act. In addition, funded grantees are required to submit Performance Progress Reports, and semi-annual financial status reports using the required standard form (SF-269) which is located on the Internet at: <http://forms.psc.gov/forms/sf/SF-269.pdf>. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

SCF complies with 74.50 Purpose of reports and records, 74.51 Monitoring and reporting program performance and 74.52 Financial reporting of Title 45 of the Code of Federal Regulations.

Account Identification:

75-1537-0-1-506 - TAFS: 75-0910-1537.

Obligations:

(Cooperative Agreements) FY 12 \$0; FY 13 est \$0; and FY 14 est \$0 - This money was all obligated by the end of FY 2010.

Range and Average of Financial Assistance:

\$1,000,000 for 24 months for SCF Nonprofit Capacity Building Program; \$250,000 for 24 months for SCF State, Local, and Tribal Government Capacity Building Program.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices. Program Manager, Office of Community Services (OCS), Administration for Children and Families, Department of Health and Human Services, 370 L'Enfant Promenade, 5th Floor West, Washington, DC 20447. Contact: Rafael J. Elizalde, Telephone: (202) 401-5115 (office); (202) 401-4719 (fax); rafael.elizalde@acf.hhs.gov.

Headquarters Office:

Rafael J. Elizalde Division of Community Discretionary Programs, Office of Community Services, Administration for Children and Families, Department of Health and Human Services, 370 L'Enfant Promenade, S.W., Washington, District of Columbia 20447 Email: rafael.elizalde@acf.hhs.gov Phone: (202) 401-5115 Fax: (202) 401-4719

Website Address:

<http://www.acf.hhs.gov/programs/ocs/index.html>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applicant organizations are evaluated on the following criteria: Project Abstract, Objectives and Need for Assistance, Approach, Organizational Profiles, Evaluation and Budget and Budget Justification. Applicants will be evaluated under the budget and budget justification criteria on the extent to which they include a budget that is clear, easy to understand, and provides a detailed justification for the amount requested.

93.713 ARRA CHILD CARE AND DEVELOPMENT BLOCK GRANT

Child Care and Development Block Grant (CCDBG), Recovery Act Funds.

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

American Recovery and Reinvestment Act of 2009, Public Law 111-5, 42 U.S.C 9858; Child Care and Development Block Grant Act of 1990, Public Law 101-508, 42 U.S.C 9858.

OBJECTIVES:

The ARRA - CCDBG is a part of the Child Care and Development Fund (CCDF) program, along with Child Care Discretionary Funds (CFDA number 93.575) the Child Care Mandatory and Matching Funds (CFDA number 93.596). This supplemental FY 2009 CCDBG funding was appropriated under the American Recovery and Reinvestment Act of 2009 (Public Law 111-5). This funding will provide economic stimulus to the nation while furthering the ACF mission to promote the economic and social well being of children, youth, families, and communities. Objectives under the CCDBG Act are: To make grants to States, Territories, Tribes, and tribal organizations for child care assistance for low-income families and to: (1) allow each State maximum flexibility in developing child care programs and policies that best suit the needs of children and parents within such State; (2) promote parental choice to empower working parents to make their own decisions on the child care that best suits their family's needs; (3) encourage States to provide consumer education information to help parents make informed choices about child care; (4) assist States to provide child care to parents trying to achieve independence

from public assistance; and (5) assist States in implementing the health, safety, licensing, and registration standards established in State regulations.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

Congress directs that Recovery Act funds appropriated for the CCDBG must be used to supplement, not supplant, State general revenue funds for child care assistance for low-income families. Lead Agencies must use CCDBG Recovery Act Funds for child care services on a sliding fee scale basis, activities that improve the quality or availability of such services, and other activities that realize the goals of the CCDBG Act. Not more than 5 percent of the aggregate amount of CCDF Funds, including CCDBG Recovery Act Funds, expended by the State or Territory (15 percent for Tribes or tribal organizations) may be expended for administrative costs incurred by the State or Territory to carryout all of its functions and duties. The term "administrative costs" does not include the costs of providing direct services. A State or Territory shall use not less than 4 percent of the aggregate CCDF Funds, including CCDBG Recovery Act Funds, to improve child care quality and availability including comprehensive consumer education, activities to increase parental choice, and other activities such as resource and referral services, provider grants and loans, monitoring and enforcement of requirements, training and technical assistance, and improved compensation for child care staff. In addition to the minimum four percent quality expenditures, \$255,186,000 of the CCDBG Recovery Act Funds shall be reserved by the States for quality improvement activities, of which \$93,587,000 shall be for activities that improve the quality of infant and toddler care. Except for approved construction of child care facilities by Tribal Grantees, no CCDBG Recovery Act Funds may be used for the purchase or improvement of land, or for the purchase, construction, or permanent improvement of any building or facility (other than for minor remodeling and for upgrading facilities to meet State and local child care standards). No CCDBG Recovery Act Funds provided directly to child care providers through grants or contracts may be expended for any sectarian purpose or activity, including sectarian worship or instruction; however, Grantees must give parents the option of receiving vouchers or certificates to allow parents the choice of faith-based or community child care providers. No CCDBG Recovery Act Funds may be provided for any services provided to students enrolled in grades 1 through 12 during the regular school day; for any services for which such students receive academic credit toward graduation; or for any instructional services which supplant or duplicate the academic program of any public or private school. Lead Agencies shall assure that a substantial portion of the total CCDBG (CCDF Discretionary) Funds will be used to provide assistance to low-income working families who are not receiving assistance under the Temporary Assistance for Needy Families program, attempting through work activities to transition off of temporary assistance programs, nor at risk of becoming dependent on temporary assistance programs.

Applicant Eligibility:

Eligibility: All 50 States, the District of Columbia, the Virgin Islands, Puerto Rico, Guam, American Samoa, the Commonwealth of the Northern Mariana Islands, and Federally recognized Tribal Governments, including Alaskan Native Corporations.

Beneficiary Eligibility:

None.

Credentials/Documentation:

Grantees must operate under a CCDF plan approved by the Administration for Children and Families (ACF), and must provide assurances that the Grantee will comply with the requirements of the CCDBG and the Recovery Act and all applicable Federal law. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

State, Tribal, and Territorial Lead Agencies already receiving FY2009 funds under the CCDBG program (i.e., Discretionary Funds) have received CCDBG Recovery Act Funds. Separate applications for the CCDBG Recovery Act Funds are not required. To receive funds under the CCDBG Act (CFDA number 93.575), each Grantee must designate a Lead Agency to which grants are awarded and that is accountable for the use of the Discretionary Funds provided, the duties of which shall include developing a CCDF plan. In

conjunction with the development of the CCDF plan, the Lead Agency must hold at least one public hearing no earlier than nine months before the CCDF plan becomes effective and after at least 20 days of statewide public notice, to provide the public an opportunity to comment on the provision of child care services under the plan. In advance of the hearing, the Lead Agency must make the content of the plan available to the public. The Lead Agency must also coordinate the provision of services under the program with other Federal, State, and local child care and early childhood development programs. Also, the Lead Agency must consult with appropriate representatives of local governments. Tribal Lead Agencies submitting applications for construction must submit an environmental impact assessment. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. State, Tribal, and Territorial Lead Agencies already receiving FY 2009 funds under the CCDBG Act (i.e., Discretionary Funds) will receive CCDBG Recovery Act Funds. Separate applications for the CCDBG Recovery Act Funds are not required. However, should a Grantee decide to make a substantial change to its approved CCDF plan in FY 2009 as a result of the availability of CCDBG Recovery Act Funds, the Lead Agency must submit an amendment to its existing approved FY 2008-2009 CCDF plan within 60 days of making the change. A decision on the approval of the amendment will be made by ACF within 90 days from the date the amendment is received. (An extension of the time period may be made by a written agreement.)

To receive funds under the CCDBG Act, a Lead Agency desiring to receive an allotment for a fiscal year is required to submit a two-year CCDF plan to ACF, as well as, financial and other information necessary for the grants process. Each plan must contain certifications and assurances by the Lead Agency that it will comply with the requirements of the CCDBG Act. The plan must also include: the designation of a Lead Agency; the provision of assurances regarding policies and procedures as stated in Section 658E(c)(2) of the CCDBG Act; an outline of the intended use of block grant funds in compliance with Section 658E(c)(3) of the CCDBG Act; the provision of certification regarding payment rates as stated in Section 658E(c)(4) of the CCDBG Act; and the establishment of a sliding fee scale. Additional requirements are specified by 45 CFR Parts 98 and 99.

Award Procedure:

In April 2009, ACF awarded CCDBG Recovery Act Funds to all State, Tribe, and Territory Lead Agencies that were currently receiving FY 2009 funds under the CCDBG Act.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

ACF will review for approval any amendments to approved FY 2008-2009 CCDF plans submitted by Lead Agencies within 90 days after receipt of the amendment. To receive CCDBG Act Funds, CCDF plans must be submitted for approval every two years. FY 2010-2011 CCDF plans will be approved by ACF within 90 days after the due date.

Appeals:

Guidelines for appeals of disapprovals of CCDF plans or plan amendments are specified in regulations (45 CFR Part 99).

Renewals:

To receive CCDBG Act Funds, CCDF plans must be submitted to ACF for approval every two years.

Formula and Matching Requirements:

Statutory Formula: Title 42, Chapter 105, Part II-B, Subpart 9858m, Public Law 101-508.

This program has no matching requirements.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

CCDBG Recovery Act Funds were made available to Lead Agencies with approved FY 2008-2009 CCDF plans. Grantees must obligate all CCDBG

Recovery Act Funds in the fiscal year in which they are granted or in the succeeding fiscal year. Those funds must be liquidated by the third year. Method of awarding/releasing assistance: lump sum.

Reports:

Funded grantees must submit quarterly programmatic reports. In addition, as specified in 45 CFR Part 98, States must report to ACF annually aggregate data on families, children in care, providers, payment methods, and consumer education. Quarterly case-level reports are required to provide data on families, children, and providers, and payment information. No cash reports are required. No progress reports are required. Funded grantees must submit quarterly financial reports. Monitoring is in accordance with 45 CFR 98.90.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Proper grant accounting records must be maintained. State, Territorial and Tribal Lead Agencies must separately track and report on expenditures of CCDBG Recovery Act Funds.

Account Identification:

75-1516-0-1-609.

Obligations:

(Formula Grants) FY 12 \$0; FY 13 est \$0; and FY 14 est \$0

Range and Average of Financial Assistance:

For States, including the District of Columbia and Puerto Rico, the range of CCDBG Recovery Act Funds in FY 2009 was approximately \$2.5 million to \$220 million; the average award was approximately \$38 million. For 260 Tribal Grantees, the approximate range of CCDBG Recovery Act Funds in FY 2009 was: \$3,000 to \$5 million; the average was approximately \$154,000. For the four Territories, the range of CCDBG Recovery Act Funds in FY 2009 was approximately \$1.8 million to \$4 million; the average grant was \$2.5 million (These figures do not include other FY 2009 CCDF funds received through CCDF Mandatory and Matching Funds (93.575) and CCDF Discretionary Funds (93.596)). These funds were not available in Fiscal Year 2008. One-fourth of 1 percent of these supplemental CCDBG funds is reserved to provide technical assistance to Grantees via contracts.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: N/A. Fiscal Year 2013: N/A. Fiscal Year 2014: N/A.

REGULATIONS, GUIDELINES, AND LITERATURE:

These funds are subject to the Child Care and Development Fund regulations at 45 CFR Parts 98 and 99.

Regional or Local Office:

See Regional Agency Offices. Persons are encouraged to communicate with the Office of Child Care (OCC) Regional Program Managers (RPM). Contact information for the RPMs is available at http://www.acf.hhs.gov/programs/ccb/ta/raaddr/program_managers.htm.

Headquarters Office:

Mary Sprague, Office of Child Care, Administration for Children and Families, Department of Health and Human Services, 370 L'Enfant Promenade, S.W., 5th Floor East, Washington, District of Columbia 20447 Email: mary.sprague@acf.hhs.gov Phone: (202)-401-4831 Fax: (202)-690-5600.

Website Address:

<http://www.acf.hhs.gov/programs/ccb>

RELATED PROGRAMS:

93.558 Temporary Assistance for Needy Families; 93.575 Child Care and Development Block Grant; 93.596 Child Care Mandatory and Matching Funds of the Child Care and Development Fund

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.714 ARRA EMERGENCY CONTINGENCY FUND FOR TEMPORARY ASSISTANCE FOR NEEDY FAMILIES (TANF) STATE PROGRAM

TANF Emergency Fund, Recovery Act

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

TANF Emergency Fund, Recovery Act, Title IV, Part A, Section 2101, Public Law 111-5, 42 U.S.C 603(c).

OBJECTIVES:

This funding was to provide economic stimulus to the nation while furthering the ACF mission to promote the economic and social well being of children, youth, families, and communities. The objective of the program was to provide up to \$5 billion for jurisdictions (States, Territories, and Tribes) in fiscal year (FY) 2009 and FY 2010 that had an increase in assistance caseloads and/or certain types of expenditures. This Emergency Fund was in addition to the TANF Contingency Fund in section 403(b) of the Act that gives money to qualifying States (but not Territories or Tribes) during an economic downturn.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

Emergency Fund grants are Federal TANF funds. Jurisdictions must use these funds in accordance with section 404 of the Act. This does not include authority to transfer emergency funds to either the Social Services Block Grant (SSBG) or the Child Care and Development Block Grant (CCDBG) because that transfer authority is limited to grants made under section 403(a) of the Act. Emergency funds are available until expended.

Jurisdictions may carry over emergency funds for use in a succeeding fiscal year as authorized under section 404(e) of the Act, i.e., the funds are available until expended. Section 403(c)(5) of the Act imposes a cumulative cap on the amount of emergency funding that a jurisdiction can receive for the two-year period. The capped amount consists of the cumulative combined grants from the existing Contingency Fund (section 403(b)) and the Emergency Fund (section 403(c)) and the total of the two cannot exceed 50 percent of the jurisdictions annual Federal TANF family assistance grant.

The same financial and programmatic rules pertaining to the appropriate use of the jurisdictions Federal TANF block grant funds also apply when using the emergency funds. This also means that all TANF requirements, including work participation requirements and time limits, apply to families receiving assistance with emergency funds exactly as they do to families receiving other Federal TANF-funded assistance. See above.

Applicant Eligibility:

None.

Beneficiary Eligibility:

None.

Credentials/Documentation:

Federal funds go to the State, Territory, or Tribal TANF agencies. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Funding was available in fiscal years 2009 and 2010 for jurisdictions that were eligible by having an increase in assistance caseloads or certain types of expenditures. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110.

Jurisdictions had to submit applications by September 1, 2010.

Award Procedure:

Requests for emergency funds approved by HHS were distributed quarterly.

Deadlines:

Sep 01, 2010 Initial requests were submitted by September 1, 2010, for qualifying expenditures made in fiscal years 2009 and 2010, with updates to data allowed until September 30, 2011.

Range of Approval/Disapproval Time:

Review and evaluation of applications has been a priority and we acted upon them within an as soon as possible timeframe.

Appeals:

Jurisdictions facing an adverse action by the Secretary of HHS may appeal under both administrative and judicial procedures.

Renewals:

Funding for the program ended September 30, 2010.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Jurisdictions were awarded their emergency fund grants as applications were approved. They may reserve grant moneys, without fiscal year limitation.

Method of awarding/releasing assistance: quarterly.

Reports:

Program reports are not applicable. Cash reports are not applicable. Progress reports are not applicable. Jurisdictions have to submit information on caseloads and expenditures. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audits shall be conducted by the Inspector General and the jurisdictions under Chapter 75 of Title 31, United States Code.

Records:

Jurisdictions must maintain records containing information the Secretary may require by regulation. Information submitted in support of a request for Emergency Funds will be tested for reliability and accuracy. Accordingly, jurisdictions are expected, as required by the federal regulations at 45 CFR 92.20 and 45 CFR 92.42, to maintain pertinent documentation related to caseload and expenditure data used to support the request for funds, be able to link the information to the relevant reporting and accounting system, and make such information available in a clear and understandable form that can be validated by an auditor.

Account Identification:

75-1523-0-1-506 - TAFS: 75-0910-1523.

Obligations:

(Formula Grants) FY 12 \$0; FY 13 est \$0; and FY 14 est \$0 - Up to \$5 billion was available in FY 2009 and 2010.

Range and Average of Financial Assistance:

The range for ARRA actual allocations was \$20,374 and \$837,950,135 with an average of \$58,444,898.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program rules for State programs can be found at 45 CFR Parts 260 through 265. Tribal rules can be found at 45 CFR Part 286. Guidance for the submittal of applications for the Emergency Fund, was accomplished through issuance of

a Policy Announcement and Program Instruction, including reporting forms approved under the Paperwork Reduction Act.

Regional or Local Office:

See Regional Agency Offices. Region I (CT, MA, ME, NH, RI, VT):

Carol Monteiro, Regional Program Manager, Administration for Children and Families, Office of Family Assistance, Boston Regional Office, JFK Building, Rm. 2000, Boston, MA 02203. Telephone: (617) 565-2462.

Region II (NJ, NY):

Frank Ceruto, Regional Program Manager, Administration for Children and Families, Office of Family Assistance, New York Regional Office, 26 Federal Plaza, Room 4114, New York, NY 10278. Telephone: (212) 264-2890, ext. 133.

Region III (DC, DE, MD, PA, VA, WV):

Eileen Friedman, Regional Program Manager, Administration for Children and Families, Office of Family Assistance, Philadelphia Regional Office, 150 S. Independence Mall West, Suite 864, Philadelphia, Pennsylvania 19106-3499. Telephone: (215) 861-4058.

Region IV (AL, FL, GA, KY, MS, NC, SC, TN):

LaMonica Shelton, Regional Program Manager, Administration for Children and Families, Office of Family Assistance, Atlanta Regional Office, 61 Forsyth Street, Suite 4M60, Atlanta, Georgia 30303-8909. Telephone: (404) 562-2938.

Region V (IL, IN, MI, MN, OH, WI):

Thomas Schindler, Acting Regional Program Manager, Administration for Children and Families, Office of Family Assistance, Chicago Regional Office, 233 N. Michigan Ave. - Suite 400, Chicago, IL 60601. Telephone: (312) 886-9540.

Region VI (AR, LA, NM, OK, TX):

Larry Brendel, Regional Program Manager, Administration for Children and Families, Office of Family Assistance, Dallas Regional Office, 1301 Young Street, Room 945, Dallas, TX 75202. Telephone: (214) 767-6236.

Region VII (IA, KS, MO, NE):

Gary Allen, Regional Program Manager, Administration for Children and Families, Office of Family Assistance, Kansas City Regional Office, Rm. 349, 601 E 12 St., Kansas City, MO 64106. Telephone: (816) 426-2236.

Region VIII (CO, MT, ND, SD, UT, WY)

Larry Brendel, Acting Regional Program Manager, Administration for Children and Families, Office of Family Assistance, Denver Regional Office, 999 18th Street, South Terrace, Suite 499, Denver, CO 80202. Telephone: (214) 767-6236.

Region IX (AZ, CA, HI, NV):

Julie Fong, Regional Program Manager, Administration for Children and Families, Office of Family Assistance, San Francisco Regional Office, 90 7th Street, Ninth Floor, San Francisco, CA 94103. Telephone: (415) 437-7579.

Region X (AK, ID, OR, WA):

Frank Shields, Regional Program Manager, Administration for Children and Families, Office of Family Assistance, Seattle Regional Office, 2201 Sixth Avenue, M/S 74, Seattle, WA 98121. Telephone (206) 615-2569.

Headquarters Office:

Robert M. Shelbourne Office of the Director, Office of Family Assistance,

Administration for Children and Families, Department of Health and Human Services, 5th Floor East, Aerospace Building, 370 L'Enfant Promenade, SW., Washington, District of Columbia 20447 Email: rshelbourne@acf.hhs.gov Phone: (202) 401-5150 Fax: (202) 401-5554

Website Address:

<http://www.acf.hhs.gov/programs/ofa/>

RELATED PROGRAMS:

93.558 Temporary Assistance for Needy Families; 93.594 Tribal Work Grants; 93.595 Welfare Reform Research, Evaluations and National Studies; 93.596 Child Care Mandatory and Matching Funds of the Child Care and Development Fund; 93.667 Social Services Block Grant

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.715 RECOVERY ACT COMPARATIVE EFFECTIVENESS RESEARCH - AHRQ

FEDERAL AGENCY:

Agency for Healthcare Research and Quality, Department of Health and Human Services

AUTHORIZATION:

American Recovery and Reinvestment Act of 2009, Title 8, Public Law 111-5; Social Security Act, Title XI, Part A, Public Law 74-271, 42 U.S.C 1301-1320; Medicare Prescription Drug, Improvement, and Modernization Act of 2003, Title IX, Section 1013, Public Law 108-173, 42 U.S.C 1305; Public Health Service Act, Title IX, 42 U.S.C 299 et seq.

OBJECTIVES:

To improve health outcomes by providing evidence to enhance medical decisions made by patients and their medical providers. This goal is pursued by generating research comparing different interventions and strategies to prevent, diagnose, treat and monitor health conditions. Funds are available under the American Recovery and Reinvestment Act of 2009 to support research and evaluations, demonstration projects, research networks and multidisciplinary centers, predoctoral and postdoctoral training opportunities, individual fellowships, and to disseminate rigorous evaluations of the impact of different options that are available for treating a given medical condition for a particular set of patients.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

The research will contribute to the health services and clinical knowledge base in comparative effectiveness of different treatment and practices from which empirically based information can be derived by patients, providers, policymakers, and other stakeholders, both immediately and over the coming decades. Grant funds will be available in FYs 2009 and 2010 for costs necessary to carry out an approved project. Unallowable costs, as well as those for which prior written approval is required, are indicated in the HHS Grants Policy Statement. Grantees must comply with all Recovery Act reporting requirements. Approximately 66% of the \$300,000,000 in Recovery Act funds provided to AHRQ for CER research was awarded for discretionary grants.

Applicant Eligibility:

Award recipients include a combination of academic institutions, States, community-based organizations, and private or non-profit national organizations.

Beneficiary Eligibility:

Funds will be spent to research and provide information on the relative strengths and weaknesses of various medical interventions. Such research will give clinicians and patients valid information with which to make decisions that will improve the performance of the U.S. health care system.

Credentials/Documentation:

Nonprofit organizations must submit proof of their nonprofit status when applying for grants. Costs will be determined in accordance with HHS

Regulations 45 CFR 74 or 45 CFR 92 as appropriate. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. No new grants will be awarded by AHRQ under the Recovery Act in FY2013 or FY2014, therefore applications are no longer being accepted.

Award Procedure:

Following review for scientific merit by a group composed primarily of nonfederal scientists, grant applications may be reviewed by the National Advisory Council for Healthcare Research and Quality, after which AHRQ makes final decisions to support recommended applications. When such decisions are made, applicants are notified directly by AHRQ staff and all required steps are taken to issue the Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

> 180 Days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. This program has no matching requirements. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grants may be approved for project periods up to 4 years. Funds will be awarded for the total project in fiscal year 2009 or 2010. Costs budgeted beyond the initial 12 months of funding will be restricted pending satisfactory progress. After awards are issued, funds are released in accordance with the payment procedure established by the grantee institution with DHHS, which may be an Electronic Transfer System or a Monthly Cash Request System. Method of awarding/releasing assistance: lump sum.

Reports:

Grantees must submit quarterly reports in compliance with the American Recovery and Reinvestment Act of 2009. Inventions are to be reported immediately. A final progress report must be submitted within 90 days after the end of the project. Grantees must submit quarterly SF425 reports to HHS' Program Support Center (PSC). Progress reports are required to be submitted to AHRQ on an annual basis and will be based on the PHS 2590 Non-Competing Continuation Progress Report. A Federal Financial Report (FFR; SF425) must be submitted within 90 days after the end of the calendar quarter of each 12 month increment of time. A final FFR must be submitted within 90 days after the project period end date. Quarterly performance monitoring in accordance with the requirements of the Recovery Act.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Records must be retained for at least three years; records shall be retained

beyond the three year period if audit findings have not been resolved.

Account Identification:

75-0910-1-7-010.

Obligations:

(Project Grants (Discretionary)) FY 12 \$0; FY 13 est \$0; and FY 14 est \$0 - The \$310,969,115 in Recovery Act funds awarded by AHRQ in FY2010 represent AHRQ ARRA grants (\$199,463,507) and AHRQ OS ARRA grants (\$111,505,608). No new grants will be awarded by AHRQ in FY2011, FY2012, FY2013, or FY2014 under the Recovery Act.

Range and Average of Financial Assistance:

AHRQ ARRA grants: \$563,672 to \$9,898,332; \$3,693,769 average. AHRQ OS ARRA grants: \$361,109 to \$11,881,843; \$2,027,375 average. These are total cost figures.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: No new grants were awarded by AHRQ under the Recovery Act. Fiscal Year 2013: No new grants will be awarded by AHRQ under the Recovery Act. Fiscal Year 2014: No new grants will be awarded by AHRQ under the Recovery Act.

REGULATIONS, GUIDELINES, AND LITERATURE:

American Recovery and Reinvestment Act of 2009; 42 CFR 67, Regulations for Health Services Research, Evaluation, Demonstration, and Dissemination Projects as amended by Public Law 106-129; HHS Grants Policy Statement.

Regional or Local Office:

None.

Headquarters Office:

Jean Slutsky, Agency for Healthcare Research and Quality, Department of Health and Human Services, 540 Gaither Road, Rockville, Maryland 20850
Email: Jean.Slutsky@AHRQ.HHS.gov Phone: (301) 427-1600.

Website Address:

<http://effectivehealthcare.ahrq.gov>

RELATED PROGRAMS:

93.225 National Research Service Awards_Health Services Research Training;
93.226 Research on Healthcare Costs, Quality and Outcomes

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The proposals must first be reviewed by review groups and recommended for funding on the basis of scientific and technical merit. This includes consideration of the qualifications of the principal investigator and staff to conduct the research using appropriate methodology and budget. Applications may be reviewed for program relevance by the National Advisory Council for Healthcare Research and Quality. Those recommended proposals which are most relevant to comparative effectiveness and promote the goals of the ARRA will be funded to the extent that funds are available.

93.716 ARRA TEMPORARY ASSISTANCE FOR NEEDY FAMILIES (TANF) SUPPLEMENTAL GRANTS

Supplemental Grants for Population Increases in Certain States, Recovery Act
FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Supplemental Grants for Population Increases in Certain States, Recovery Act, Title IV, Part A, Section 2102, Public Law 111-5, 42 U.S.C 603(a)(3).

OBJECTIVES:

These funds will help promote the economic and social well being of children, youth, families, and communities. The objective of the program is to provide supplemental TANF funds for States with exceptionally high population growth in the early 1990s, historic (1994) welfare grants per poor person lower than 35 percent of the national average, or a combination of above average population growth and below average historic welfare grants per poor person.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

The Federal TANF Supplemental Grants are in addition to the States annual Federal TANF block fund. Thus, these funds are subject to the same uses and restrictions as the States annual Federal TANF block grant (see CFDA 93.558 Temporary Assistance for Needy Families), and repeated below.

Each State designs and operates its own TANF program, and establishes the specific eligibility criteria that must be met to receive financial assistance payments or other types of benefits and services. Each State has broad flexibility to use the grant funds in any manner that is reasonably calculated to accomplish a purpose of the TANF program (including providing low-income households with assistance in meeting home heating and cooling costs). The purposes of the TANF program are to assist needy families to care for their children in their own homes; reduce dependency of needy parents by promoting job preparation, work and marriage; prevent and reduce the incidence of out-of-wedlock pregnancies; and encourage the formation and maintenance of two-parent families. A State may also use the funds in ways that had been authorized and allowable under its former approved Aid to Families with Dependent Children (AFDC), Job Opportunities and Basic Skills Training (JOBS), and Emergency Assistance (EA) plans. A State may also transfer a limited portion of its Federal TANF funds to the Child Care and Development Block Grant (CCDBG) and Social Services Block Grant (SSBG) Programs. No State may spend more than 15 percent of its Federal TANF funds on administrative costs, exclusive of certain computerization and information technology expenses. See above.

Applicant Eligibility:

None.

Beneficiary Eligibility:

None.

Credentials/Documentation:

Federal funds go to the State (the grantee). States decide the objective eligibility criteria and verification required to determine eligibility and payment of TANF benefits. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

Supplemental Grants, like the annual Federal TANF block grant, are awarded in quarterly payments.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Varies by State (usually within 45 days).

Appeals:

States must provide opportunities for recipients who have been adversely affected to be heard in a State administrative or appeal process.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: Percent: 75.%. The TANF program, which includes the standard TANF block grant and the additional Supplemental Grants for 17 States, has an annual cost-sharing requirement known as maintenance-of-effort (MOE). This means that every fiscal year, each State receiving Federal TANF funds must spend an applicable percentage of its own money to help eligible families in ways that are consistent with the purposes of the TANF program. The applicable percentage depends on whether the State

meets the minimum work participation rate requirements for that fiscal year. If the State does not meet the work participation rates, then it must spend 80 percent of the amount it spent for fiscal year 1994 on AFDC and AFDC-related programs. If the State meets the work participation rates, then the applicable percentage is 75 percent of the amount it spent for fiscal year 1994 on AFDC and AFDC-related programs.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

States are awarded Supplemental Grants in quarterly payments. Once awarded, the funds are available to the State until expended. See the following for information on how assistance is awarded/released: No information provided.

Reports:

States receiving the TANF block grant and Supplemental Grants must submit quarterly data reports on families receiving assistance. States must also report expenditures of grant funds on a quarterly basis. Expenditure data related to Supplemental Grants will be reported in a separate category on the quarterly TANF expenditure report for FY 2011. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. The TANF program (including the additional TANF supplemental grants) is subject to the Single Audit Act under Chapter 75 of Title 31, United States Code.

Records:

States are subject to the uniform administrative requirements in 45 CFR Part 92. In particular, the regulations at 45 CFR 92.20 require that all grantees have a financial management system that can adequately trace expenditures to ensure that the funds have been used appropriately, and not in violation of any programmatic restrictions and prohibitions; and require that accounting records are supported by source documentation to support all expenditures. Also, the regulations at 45 CFR 92.42 and TANF Program Instruction TANF-ACF-PI-2003-1, dated January 28, 2003 (<http://www.acf.hhs.gov/programs/ofa/policy/pi-ofa/2003/pi2003-1.PDF>), address record retention and access requirements applicable to all financial and programmatic records, supporting documents, statistical records, and other records of grantees or subgrantees.

Account Identification:

75-1558-0-1-506.

Obligations:

(Formula Grants) FY 12 \$0; FY 13 est \$0; and FY 14 est \$0

Range and Average of Financial Assistance:

State Supplemental Grants were from \$1,132,701 to \$60,405,668, with an average of \$18,791,190.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Temporary Assistance for Needy Families (TANF) Final Rule was originally published in the Federal Register on April 12, 1999 (Vol. 64, No. 69). The TANF final rule implementing the statutory changes pursuant to Deficit Reduction Act of 2005 (Public Law 109-171), which reauthorized the TANF program through FY 2010, were published in the Federal Register on February 5, 2008 (Vol. 73, No. 24). The TANF regulations applicable to State TANF Programs are found in 45 CFR Parts 260 through 265.

Regional or Local Office:

See Regional Agency Offices. Region I (CT, MA, ME, NH, RI, VT):

Carol Monteiro, Regional Program Manager, Administration for Children and Families, Office of Family Assistance, Boston Regional Office, JFK Building,

Rm. 2000, Boston, MA 02203. Telephone: (617) 565-2462.

Region II (NJ, NY):

Frank Ceruto, Regional Program Manager, Administration for Children and Families, Office of Family Assistance, New York Regional Office, 26 Federal Plaza, Room 4114, New York, NY 10278. Telephone: (212) 264-2890, ext. 133.

Region III (DC, DE, MD, PA, VA, WV):

Eileen Friedman, Regional Program Manager, Administration for Children and Families, Office of Family Assistance, Philadelphia Regional Office, 150 S. Independence Mall West, Suite 864, Philadelphia, Pennsylvania 19106-3499. Telephone: (215) 861-4058.

Region IV (AL, FL, GA, KY, MS, NC, SC, TN):

LaMonica Shelton, Regional Program Manager, Administration for Children and Families, Office of Family Assistance, Atlanta Regional Office, 61 Forsyth Street, Suite 4M60, Atlanta, Georgia 30303-8909. Telephone: (404) 562-2938.

Region V (IL, IN, MI, MN, OH, WI):

Thomas Schindler, Acting Regional Program Manager, Administration for Children and Families, Office of Family Assistance, Chicago Regional Office, 233 N. Michigan Ave. - Suite 400, Chicago, IL 60601. Telephone: (312) 886-9540.

Region VI (AR, LA, NM, OK, TX):

Larry Brendel, Regional Program Manager, Administration for Children and Families, Office of Family Assistance, Dallas Regional Office, 1301 Young Street, Room 945, Dallas, TX 75202. Telephone: (214) 767-6236.

Region VII (IA, KS, MO, NE):

Gary Allen, Regional Program Manager, Administration for Children and Families, Office of Family Assistance, Kansas City Regional Office, Rm. 349, 601 E 12 St., Kansas City, MO 64106. Telephone: (816) 426-2236.

Region VIII (CO, MT, ND, SD, UT, WY)

Larry Brendel, Acting Regional Program Manager, Administration for Children and Families, Office of Family Assistance, Denver Regional Office, 999 18th Street, South Terrace, Suite 499, Denver, CO 80202. Telephone: (214) 767-6236.

Region IX (AZ, CA, HI, NV):

Julie Fong, Regional Program Manager, Administration for Children and Families, Office of Family Assistance, San Francisco Regional Office, 90 7th Street, Ninth Floor, San Francisco, CA 94103. Telephone: (415) 437-7579.

Region X (AK, ID, OR, WA):

Frank Shields, Regional Program Manager, Administration for Children and Families, Office of Family Assistance, Seattle Regional Office, 2201 Sixth Avenue, M/S 74, Seattle, WA 98121. Telephone (206) 615-2569.

Headquarters Office:

Robert M. Shelbourne Office of the Director, Office of Family Assistance, Administration for Children and Families, Department of Health and Human Services, 5th Floor East, Aerospace Building, 370 L'Enfant Promenade, SW., Washington, District of Columbia 20447 Email: rshelbourne@acf.hhs.gov Phone: (202) 401-5150 Fax: (202) 401-5554

Website Address:

<http://www.acf.hhs.gov/programs/ofa/tanf/index.html>

RELATED PROGRAMS:

93.558 Temporary Assistance for Needy Families; 93.594 Tribal Work Grants; 93.595 Welfare Reform Research, Evaluations and National Studies; 93.667 Social Services Block Grant

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.718 HEALTH INFORMATION TECHNOLOGY REGIONAL EXTENSION CENTERS PROGRAM

FEDERAL AGENCY:

Office of the Secretary, Department of Health and Human Services

AUTHORIZATION:

Section 3012(c) of the Public Health Service Act (PHSA), as added by Section 13301 within Title XIII in Division A of the American Recovery and Reinvestment Act of 2009, Public Law 111-5 (ARRA).

OBJECTIVES:

Establish Health Information Technology Regional Extension Centers to identify and disseminate best practices and provide technical assistance supporting the adoption and meaningful use of health IT to improve care quality while protecting patient privacy. The statutory objective of the regional centers is to enhance and promote the adoption of health information technology through means specified in PHSA 3012(c)(3).

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

Funds may be used for the initial and operating expenses incurred in establishing and operating a regional center that provides technical assistance services to providers seeking to adopt, implement, and/or meaningfully use certified electronic health records (EHR) technology. The assistance supported under this health information technology extension program, and carried out by regional centers, is technical assistance and consulting service to providers, and does not include funding to the providers. None of the funds appropriated or otherwise made available in ARRA may be used by a state or local government, or any private entity, for any casino or other gambling establishment, aquarium, zoo, golf course, or swimming pool. (ARRA Sec. 1604).

Applicant Eligibility:

None.

Beneficiary Eligibility:

None.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Applications are solicited by a program announcement. The availability of this announcement will be broadly published, including by posting on www.grants.gov.

Award Procedure:

Following objective review of applications, those applicants whose applications have been selected by the Secretary of HHS (or designee) for funding will receive a Notice of Award signed by an HHS Grants Management Officer. The Notice of Award, which is sent to the applicants Authorized Organizational Representative, is the only official notification of award. Unsuccessful applicants will be advised by letter.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application

deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: PHSA 3012(c)(5) states that the Secretary may not provide more than 50 percent of the capital and annual operating and maintenance funds required to create and maintain such a center, except in an instance of national economic conditions which would render this cost-share requirement detrimental to the program and upon notification to Congress as to the justification to waive the cost-share requirements. The Secretary has determined that there are national economic conditions which would render the 50 percent cost share requirement to be detrimental to the program and has provided such notification to Congress. Instead, the Secretary has structured a funding partnership between HHS and recipients, as indicated in the Program Announcement.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

PHSA 3012(c)(5), as added by ARRA, specifies that the Secretary may provide financial support to any regional center created under PHSA 3012(c) for a period not to exceed 4 years. Awards are expected to be for one, 4-year budget period and a four year project period, with a 90/10 matching requirement across all 4 years. See the following for information on how assistance is awarded/released. Subject to meeting performance requirements. See the following for information on how assistance is awarded/released: Subject to meeting performance requirements.

Reports:

Program report requirements, if applicable, will be identified in the Program Announcement. The Federal Cash Transaction Report (formerly the PSC-272) is due after the end of each quarter (January 30, April 30, July 30, and October 30). Recipients of Federal awards from funds authorized under Division A of the ARRA must comply with all requirements specified in Division A of the ARRA (Public Law 111-5), including reporting requirements outlined in Section 1512 of the Act. ARRA reports will be required quarterly. For purposes of reporting, ARRA recipients must also report on ARRA sub-recipient (sub-grantees and sub-contractors under the cooperative agreement) activities. Reporting requirements will be detailed in the program announcement and included in award notices. The frequency of interim progress reports and financial status reports will be identified in the program announcement and will be submitted no later than 30 days following the end of the reporting period to which they pertain. The Federal Financial Report (FFR-425) is due within 90 days after the end of the applicable 12-month period (January 30). ARRA reporting and agency programmatic reporting provisions will require awardees to evaluate performance in view of stated objectives.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant records for a minimum of 3 years from the submission date of the final financial report. If any litigation, claim, negotiation, audit or other action involving the record has been started before the expiration of the 3-year period, the records shall be retained until the completion of the action and resolution of all issues which arise from it or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0130-0-1-551.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 12 \$28,114,165; FY 13 est \$0; and FY 14 est \$0

Range and Average of Financial Assistance:

Awards for regional centers are expected to average \$8.5M.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR part 74 and the HHS Grants Policy Statement located at: <http://www.hhs.gov/grantsnet/adminis/gpd/index.htm>. There are no program regulations.

Regional or Local Office:

None.

Headquarters Office:

Mat Kendall 330 C Street, SW, Suite 1100, Washington, District of Columbia 20201 Email: regional-center-applications@hhs.gov Phone: (202)690-7151

Website Address:

<http://healthit.hhs.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Specific, detailed criteria for determining merit of applications will be made available in the program announcement(s) for this program. In general, all applicants are required to demonstrate capability to achieve the goals, purposes, and objectives of the program consistent with applicable statutory provisions.

93.719 ARRA - STATE GRANTS TO PROMOTE HEALTH INFORMATION TECHNOLOGY

FEDERAL AGENCY:

Office of the Secretary, Department of Health and Human Services

AUTHORIZATION:

American Recovery and Reinvestment Act (ARRA) of 2009, Division A, Title XIII, HITECH Act, Section 3013.

OBJECTIVES:

Promote the electronic movement and use of health information among organizations using nationally recognized interoperability standards. Enable providers to qualify for Medicare and Medicaid financial incentives, authorized by ARRA, by providing health information exchange that meets meaningful use requirements. Improve health care quality and efficiency. A subset of grantees received additional funding to focus on breakthrough innovations for health information exchange that can be leveraged widely to support nationwide health information exchange and interoperability.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

This state-based program is targeted specifically towards developing widespread and sustainable health information exchange (HIE) capacity in support of the meaningful use definition that qualifies providers for the Medicare and Medicaid incentive payments. This program also supports state public health programs to ensure that public health stakeholders prepare for HIE and mobilizes clinical data needed for consumer engagement across all states. Grantees working on breakthrough innovations are focused on: achieving health goals through health information exchange, improving long-term and post-acute care transitions, consumer-mediated information exchange, enabling enhanced query for patient care and fostering distributed population-level analytics. None of the funds appropriated or otherwise made

available in ARRA may be used by an state or local government, or any private entity, for any casino or other gambling establishment, aquarium, zoo, golf course, or swimming pool. (ARRA Sec. 1604).

Applicant Eligibility:

Either a State or State Designated Entity may apply.

Beneficiary Eligibility:

None.

Credentials/Documentation:

State governments and Qualified State-Designated Entities are eligible to apply.

The program will require a letter from the Governor establishing the Qualified State Designated Entity, if applicable. Documentation must be provided in accordance with the requirements of all applicable OMB Circulars. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applications are solicited by a program announcement. The availability of this announcement will be broadly published, including by posting on www.grants.gov.

Award Procedure:

Following objective review of applications, those applicants whose applications have been selected by the Secretary of HHS (or designee) for funding will receive a Notice of Award signed by an HHS Grants Management Officer. The Notice of Award, which is sent to the applicants Authorized Organizational Representative, is the only official notification of award. Unsuccessful applicants will be advised by letter.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: In FY 2010, there is no match required. Beginning with fiscal year 2011, the HITECH Act, Section 3013, requires awardees to make available non-Federal contributions (which may include in-kind contributions) toward the costs of a grant awarded in an amount equal to not less than \$1 for each \$10 of federal funds provided under this grant in fiscal year 2011, not less than \$1 for each \$7 of federal funds provided under this grant in fiscal year 2012 and not less than \$1 for each \$3 of federal funds provided under this grant for all subsequent fiscal years.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Awards are expected to be for a four year period. See the following for information on how assistance is awarded/released: Subject to meeting performance requirements.

Reports:

Grantees are required to submit bi-annual progress reports outlining their work in advancing the development of widespread and sustainable health information exchange capacity in support of the meaningful use. Programmatic reporting requirements will be identified in the Program Announcement. Federal Case Transaction Report (formerly PSC-272) is due 30 days after the end of each quarter (January 30, April 30, July 30, and October 30). Recipients of Federal awards from funds authorized under Division A of the ARRA must comply with all requirements specified in Division A of the ARRA (Public Law 111-5),

including reporting requirements outlined in Section 1512 of the Act. For purposes of reporting, ARRA recipients must also report on ARRA sub-recipient (sub-grantee and sub-contractor) activities. Reports required by ARRA will be required quarterly. Additional reporting requirements, including the frequency thereof, will be detailed in Funding Opportunity Announcements and included in award notices. Federal Financial Report (FFR 425) is due within 90 days after the end of the applicable 12-month period (January 30). ARRA reporting and programmatic reporting will require awardees to evaluate performance in view of stated objectives.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant records for a minimum of 3 years from the submission date of the final financial report. If any litigation, claim, negotiation, audit or other action involving the record has been started before the expiration of the 3-year period, the records shall be retained until the completion of the action and resolution of all issues which arise from it or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0130-0-1-551.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 12 \$0; FY 13 est \$480,205; and FY 14 est \$0

Range and Average of Financial Assistance:

Average of each award is estimated at \$10.25M.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations.

Regional or Local Office:

None.

Headquarters Office:

Chris Muir 300 C Street, SW, Suite 1100
, Washington, District of Columbia 20201 Email: christopher.muir@hhs.gov
Phone: (202) 205-0470

Website Address:

<http://healthit.hhs.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Specific, detailed criteria for determining merit of applications will be made available in the funding opportunity guidance document(s) for this program. In general, all applicants are required to demonstrate capability to achieve the goals, purposes, and objectives of the program consistent with applicable statutory provisions.

93.720 ARRA - SURVEY AND CERTIFICATION AMBULATORY SURGICAL CENTER HEALTHCARE-ASSOCIATED INFECTION (ASC-HAI) PREVENTION INITIATIVE

ASC-HAI Initiative - Recovery Act

FEDERAL AGENCY:

Centers for Medicare and Medicaid Services, Department of Health and Human

Services

AUTHORIZATION:

American Recovery and Reinvestment Act of 2009 (Recovery Act), Section 1864 of the Social Security Act.

OBJECTIVES:

- (a) Improve State Agency inspection capability and frequency for onsite surveys of Ambulatory Surgical Centers nationwide;
- (b) Use a new infection control survey tool developed by the Centers for Disease Control and Prevention and CMS;
- (c) Improve the survey process through the use of a CMS tracer methodology; and
- (d) Use multi-person teams for ASCs over a certain size or complexity.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

States will use the funding to conduct additional ASC surveys, save and/or create jobs directly within the State Agency; contract with experienced surveyors who will be trained in the new ASC survey process, enlist the services of State physicians or certified infection control specialists who will accompany surveyors on several of their initial surveys conducted under the new survey process. Funding may not be used to offset costs that are funded through CMS regular survey and certification budget.

Applicant Eligibility:

See Index.

Beneficiary Eligibility:

See Index.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Any questions about the Recovery Act ASC HAI Initiative or the application process should be directed to Angela Mason-Elbert at 410-786-8279. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Memorandum S&C-09-43 outlined the Recovery Act ASC-HAI initiative application process. States must submit projected plans and costs eligible for Recovery Act funding. The plans must include the following:

- Identifying information
- Staffing plan
- Capability Expansion and Hiring Plan
- Training Plan
- Survey Plan
- Barriers and Prospective Solutions
- Budget Estimate

Award Procedure:

All plans must meet the minimum requirements. Plans will be reviewed and grants awarded based on the amount of funding available.

Deadlines:

- Jun 30, 2009 to Aug 30, 2009 Submission of Plan for FY 2009 funding: June 30, 2009
- Selection of States for FY 2009 Implementation: July 2009
- Notice to States of FY 2009 Approval & Funding Levels: July 2009
- Start Implementation in FY 2009 for Volunteer States: July 2009
- Submission of Plan for FY 2010 funding: August 30, 2009
- Notice to States of FY 2010 Approval & Funding Levels: September 2009.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. There is no match requirement for States for the Recovery Act ASC-HAI Initiative. Additionally, cost-sharing does not apply insofar as it pertains to the add-ons to the survey process that are occasioned by the Recovery Act.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Funding is available over two years. Method of awarding/releasing assistance: lump sum.

Reports:

All State Agencies must be able to track and report ASC-HAI project activities, program participant data, and spending separate from other survey and certification activities. Reporting requirements include quarterly reports, annual reporting, and close-out reporting. State Agencies are responsible for furnishing data on the number of jobs created or saved.

CMS will produce quarterly reports on what work has been completed, including milestones such as training, outreach efforts, and allotments to State Agencies. CMS will also issue an evaluative report on the new ASC survey process. This report will include State Agency input on the value from the enhanced survey infection control tool and other important aspects of the new survey process. No cash reports are required. No progress reports are required. No expenditure reports are required. Based on State agency input into Survey and Certification databases CMS will capture output and outcome measures such as training of surveyors and an increase of ASC surveys performed.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Recipients must follow the Administrative requirements for record keeping as listed in A-102.

Account Identification:

75-0518-0-1-551.

Obligations:

(Formula Grants (Apportionments)) FY 12 \$0; FY 13 est \$0; and FY 14 est \$0

Range and Average of Financial Assistance:

FY 09: (12 States participating in pilot program); Range for 12 States is from \$11,500-\$125,000; and an average of \$61,163; FY 10: Range of 43 States participating \$17,262 - \$1,365,979; and an average of \$190,715.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Grants Administration policies (45 CFR 74 and 92) and application kits may be obtained from the Office of Acquisition and Grants Management, Centers for Medicare and Medicaid Services, Room C2-21-15, Central Building, 7500 Security Boulevard, Baltimore, MD 21244-1850.

The HHS Grants Policy Statement can be found at:

http://www.hhs.gov/grantsnet/docs/HHSGPS_107.doc

The ARRA Award Terms and Conditions can be found at:

<http://www.hhs.gov/recovery/grantscontracts/recoverytermsconditions.html>

Regional or Local Office:

See Regional Agency Offices. Angela Mason-Elbert, angela.mason-elbert@cms.hhs.gov - (410) 786-8279
Marilyn Dahl, Marilyn.dahl@cms.hhs.gov - (410) 786-8665.

Headquarters Office:

Angela Mason-Elbert, 7500 Security Boulevard, Baltimore, Maryland 21207
Email: angela.mason-elbert@cms.hhs.gov Phone: (410) 786-8279.

Website Address:

<http://www.cms.hhs.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

All acceptable proposals will be funded. To the extent that proposals exceed available funds, the funds will be pro-rated downward, beginning with those States that propose to survey more than 33% of non-deemed ASCs.

93.721 ARRA - HEALTH INFORMATION TECHNOLOGY PROFESSIONALS IN HEALTH CARE

Health Information Technology Education (HITE)

FEDERAL AGENCY:

Office of the Secretary, Department of Health and Human Services

AUTHORIZATION:

Section 3016 of the Public Health Service Act (PHSA), as added by Section 13301 within Title XIII in Division A of the American Recovery and Reinvestment Act (ARRA) of 2009, Public Law 111-5.

OBJECTIVES:

PHSA 3016, as added by the Recovery Act, directs the Secretary to provide assistance to institutions of higher education (or consortia thereof) to establish or expand health informatics education programs, including certification, undergraduate, and masters degree programs, for both health care and information technology students to ensure the rapid and effective utilization and development of health information technologies (in the United States health care infrastructure).

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants); Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Activities for which assistance may be provided include the following: (1) Developing and revising curricula in medical health informatics and related disciplines. (2) Recruiting and retaining students to the program involved. (3) Acquiring equipment necessary for student instruction in these programs, including the installation of test bed networks for student use. (4) Establishing or enhancing bridge programs in the health informatics fields between community colleges and universities. None of the funds appropriated or otherwise made available in ARRA may be used by a state or local government, or any private entity, for any casino or other gambling establishment, aquarium, zoo, golf course, or swimming pool. (ARRA Sec. 1604).

Applicant Eligibility:

Financial assistance to institutions of higher education, or consortia thereof, to rapidly develop a health information technology workforce.

Beneficiary Eligibility:

Financial assistance to institutions of higher education, or consortia thereof, to rapidly develop a health information technology workforce.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Application procedures will be specified in individual funding opportunity announcements. Applicants may consult with the office or official designated as the contact in the funding opportunity announcement for additional information on the application procedures.

Award Procedure:

Following objective review of applications, those applicants whose applications have been selected for funding will receive a Notice of Award signed by an HHS Grants Management Officer. The Notice of Award, which is sent to the applicants Authorized Organizational Representative, is the only official notification of award. Unsuccessful applicants will be advised by letter.

Deadlines:

Jan 25, 2010: Competency Examination Program. Application deadlines for each program were established in the funding opportunity announcement for each American Recovery and Reinvestment Act: Information Technology Professionals in Health Care program, as follows: Curriculum Development Centers January 14, 2010; Community College Consortia to Educate Health Information; Technology Professionals January 22, 2010 Competency Examination Program January 25, 2010; Program of Assistance for University-Based Training January 25, 2010; Community College Consortia to Educate Health Information Technology; and Professionals Supplemental Award - June 29, 2012.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awards were made for program periods ranging from 2 to 4 years. Individual programs' funding opportunity announcements should be consulted for specific information. Method of awarding/releasing assistance: lump sum.

Reports:

Program reports, if required, will be identified in individual funding opportunity announcements. A Federal Cash Transaction Report (formerly the PSC-272) is due 30 days after the end of each quarter (January 30, April 30, July 30, and October 30). Progress and financial reporting requirements will be identified in individual funding opportunity announcements. In addition, recipients of Federal awards from funds authorized under Division A of the ARRA must comply with all requirements specified in Division A of the ARRA (Public Law 111-5), including reporting requirements outlined in Section 1512 of the Act. ARRA reports will be required quarterly. The Federal Financial Report (FFR-425) is due within 90 days after the end of the applicable 12-month period (January 30). Performance monitoring, if required, will be identified in individual funding opportunity announcements.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant records for a minimum of 3 years from the submission date of the final financial report. If any litigation, claim,

negotiation, audit or other action involving the record has been started before the expiration of the 3-year period, the records shall be retained until the completion of the action and resolution of all issues which arise from it or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0130-0-1-551.

Obligations:

(Project Grants (Discretionary)) FY 12 \$723,381; FY 13 est \$0; and FY 14 est \$0

Range and Average of Financial Assistance:

Awards range from approximately \$1,000,000 - \$11,000,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR part 74 and the HHS Grants Policy Statement located at: <http://www.hhs.gov/grantsnet/adminis/gpd/index.htm> and ARRA terms and conditions: <http://www.hhs.gov/recovery/grantscontracts/recoverytermsconditions.html>

Regional or Local Office:

None.

Headquarters Office:

Chitra Mohla 200 Independence Avenue, SW
Suite 729D, Washington, District of Columbia 20201 Email:
HealthTEducation@hhs.gov Phone: 202-205-0690

Website Address:

<http://healthit.hhs.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Specific, detailed criteria for determining the merit of applications are available in the program-specific funding opportunity announcements for this program. In general, all applicants were required to demonstrate capability to achieve the goals, purposes, and objectives of the program consistent with applicable statutory provisions.

93.723 ARRA - PREVENTION AND WELLNESS-STATE, TERRITORIES AND PACIFIC ISLANDS

Centers for Disease Control and Prevention - ARRA Prevention and Wellness - State, Territories and Pacific Islands Supplemental

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

This program is authorized under sections 301(a), 307, 310, 3111 and 317(k) (2) American Recovery and Reinvestment Act of 2009 (ARRA) [Public Law 111.5], Public Health Service Act, 42 U.S. Code 241(a) and 247b (k) 2, and the Comprehensive Smoking Education Act of 1984, Comprehensive Smokeless Tobacco Health Education Act of 1986.

OBJECTIVES:

This funding was appropriated under the American Recovery and Reinvestment Act of 2009 (Public Health Service Act, 42 U.S. Code 241(a) and 247b (k) 2). The ARRA funds are intended to reduce Chronic Disease risk factors, prevent and delay chronic disease, promote wellness, and better manage chronic conditions. There will be two program components included in the supplemental award announcement; Nutrition, Physical Activity, and Tobacco Control (component 1) and National Network of Tobacco Quitlines (component 2).

This initiative will address the following areas in component 1:

Increased levels of physical activity
Improved nutrition (e.g. increased fruit/vegetable consumption, reduced salt and trans fats);
decreased smoking prevalence and decreased teen smoking initiation; and
Decreased exposure to secondhand smoke. and Component 2
Expand National Network of Tobacco Quitlines. States, Territories, Pacific Islands and the District of Columbia funded under this announcement will accomplish this by planning and implementing interventions and establishing evidence-based policies to support and institutionalize healthy behaviors related to nutrition, physical activity and tobacco prevention among state/territory residents.

These strategies will ultimately help change social norms and make healthier choices easier and more affordable to residents. Programs will focus on policy and environmental changes that both improve state- and territory-wide policies and support community efforts for chronic disease prevention and control.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Of the \$650 million, \$128.1 million will support States and Territories in promoting wellness and preventing chronic disease through state-wide and local policy and environmental change for chronic disease prevention and to expand tobacco quit lines and tobacco counter advertising. This program will support evidence-based policies and interventions at the state and local levels which ultimately help change social norms and make healthier choices easier and more affordable. Programs will focus on policy and environmental changes that both improve statewide policies and support community efforts for chronic disease prevention and control described in Section 0.50 above. The use of and use restrictions are as follows:

Recipients may only expend funds for reasonable program purposes, including personnel, travel, supplies, and services, such as contractual to support policy and system changes to reduce chronic disease risk factors, prevent and delay chronic disease, promote wellness, and better manage chronic conditions in the following areas; to increased levels of physical activity, improved nutrition (e.g. increased fruit/vegetable consumption, reduced salt and trans fats); decreased smoking prevalence and decreased teen smoking initiation; and to decreased exposure to secondhand smoke.

To support a core infrastructure for the delivery of quitline services which include proactive counseling and promotion/outreach. Proactive quitlines exist when a trained counselor telephones the smokers to provide support in initiating a quit attempt and maintaining prolonged abstinence.

Funds may be used to expand and promote proactive counseling capacity, enhance and expand integration of online and other electronic information support technologies, expand media and marketing efforts, extend hours of service, expand outreach to specific populations, provide multiple language services, increase collaborations with healthcare systems and providers, and for evaluation.

Purchase of Nicotine Replacement Therapy medications is authorized with these funds. FDA-approved Nicotine Replacement Therapy (e.g., nicotine patch, gum, nasal spray, inhaler, and lozenge) is proven effective in helping people quit smoking.

Recipients may not use funds for research.

Recipients may not use funds for clinical care.

Recipients may not generally use HHS/CDC/ATSDR funding for the purchase of furniture or equipment. Any such proposed spending must be identified in the budget.

The recipient must perform a substantial role in carrying out project objectives and not merely serve as a conduit for an award to another party or provider who is ineligible.

Recipients may not spend more than 10 percent of the total award on nicotine replacement therapy.

Applicant Eligibility:

Only applicants currently funded under Funding Opportunity Announcement DP09-901 and DP09-902 are eligible to apply for these supplemental funds.

Beneficiary Eligibility:

Only applicants currently funded under Funding Opportunity Announcement DP09-901 and DP09-902 are eligible to apply for these supplemental funds.

Credentials/Documentation:

Applicants should document the need for assistance, state the objectives of the project, outline the method of operation, describe the evaluation procedures, describe plans for sustaining the impact of ARRA investments beyond the federal funding provided in the next two years and provide a budget with justification of funds requested. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Applicants must download the SF424 application forms through Grants.gov/Apply. Only the forms package directly attached to a specific Funding Opportunity Announcement (FOA) can be used. If an applicant does not have access to the Internet, or if they have difficulty accessing the forms online, contact the CDC Procurement and Grants Office Technical Information Management Section (PGOTIMS) staff. For this, or further assistance, contact PGO TMS: Telephone (770) 488-2700, Email: PGOTIM@cdc.gov. HHS/CDC Telecommunications for the hearing impaired: TTY (770) 488-2783.

Award Procedure:

All applications that are complete and responsive to non-competitive supplemental grant announcements will be evaluated for scientific and technical merit and receive support. Support will be need and formula based and demonstrated capacity to accomplish the activities listed in the supplemental announcement. Successful applicants will receive a Notice of Award (NOA) from the CDC Procurement and Grants Office. The NOA shall be the only binding, authorizing document between the recipient and CDC. The NOA will be signed by an authorized Grants Management Officer.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Project Period: until October 30, 2012. Method of awarding/releasing assistance: lump sum.

Reports:

Recipients of Federal awards from funds authorized under Division A of the ARRA must comply with all requirements specified in Division A of the ARRA (Public Law 111-5), including reporting requirements outlined in Section 1512 of the Act. For purposes of reporting, ARRA recipients must report on ARRA sub-recipient (sub-grantee and sub-contractor) activities as specified below. Not later than 10 days after the end of each calendar quarter, starting with the quarter ending June 30, 2009 and reporting by July 10, 2009, the recipient must submit quarterly reports to HHS that will be posted to Recovery.gov, containing the following information: (a) The total amount of ARRA funds under this award; (b) The amount of ARRA funds received under this award that were obligated and expended to projects or activities; (c) The amount of unobligated award balances; (d) A detailed list of all projects or activities for which ARRA

funds under this award were obligated and expended, including: (1) the name of the project or activity; (2) a description of the project or activity; (3) an evaluation of the completion status of the project or activity; (4) an estimate of the number of jobs created and the number of jobs retained by the project or activity; and (5) for infrastructure investments made by State and local governments, the purpose, total cost, and rationale of the agency for funding the infrastructure investment with funds made available under this Act, and the name of the person to contact at the agency if there are concerns with the infrastructure investment; (e) Detailed information on any sub-awards (sub-contracts or sub-grants) made by the grant recipient to include the data elements required to comply with the Federal Funding Accountability and Transparency Act of 2006 (Public Law 109-282). For any sub-award equal to or larger than \$25,000, the following information will be required: (1) the name of the entity receiving the sub-award; (2) the amount of the sub-award; (3) the transaction type; (4) the North American Industry Classification System code or Catalog of Federal Domestic Assistance (CFDA) number; (5) program source; (6) an award title descriptive of the purpose of each funding action; (7) the location of the entity receiving the award; (8) the primary location of performance under the award, including the city, State, congressional district, and country; and (9) a unique identifier of the entity receiving the award and of the parent entity of the recipient, should the entity be owned by another entity. (f) All sub-awards less than \$25,000 or to individuals may be reported in the aggregate, as prescribed by HHS. (g) Recipients must account for each ARRA award and sub-award (sub-grant and sub-contract) separately. Recipients will draw down ARRA funds on an award-specific basis. Pooling of ARRA award funds with other funds for drawdown or other purposes is not permitted. (h) Recipients must account for each ARRA award separately by referencing the assigned CFDA number for each award. Additional reporting requirements will be detailed in Funding Opportunity Announcements and included in the award notice. Final financial status and performance reports are required 90 days after the end of the project period. No cash reports are required. No progress reports are required. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the grant program must be kept readily available for review by personnel authorized to examine PHS grant accounts. Financial records, supporting documentation, statistical records, and all other records pertinent to an award shall be retained for a minimum of 3 years, or until completion and resolution of any audit in process or pending resolution. In all cases records must be retained until resolution of any audit questions. Property records must be retained in accordance with 45 CFR 92.42 requirements.

Account Identification:

75-0942-0-1-550.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 12 \$6,000; FY 13 est \$0; and FY 14 est \$0 - Funding for ARRA awards were budgeted for a 2-year budget/project period, and funding was obligated in FY10. In FY12 PPHF dollars were used to fund these awards.

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: 6000. Fiscal Year 2013: N/A. Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Code of Federal Regulations 45 CFR Part 92

Regional or Local Office:

None.

Headquarters Office:

Kevin Collins, 1600 Clifton Road, NE., Mailstop K45, Atlanta, Georgia 30333
Email: KTCollins@cdc.gov Phone: (770) 488-1218 Fax: (770) 488-1220.

Website Address:

No Data Available

RELATED PROGRAMS:

93.283 Centers for Disease Control and Prevention_Investigations and Technical Assistance

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Funding would be specifically directed to state and local health departments for Evidenced-Based Clinical and Community-Based Prevention and Wellness activities. CDC will require state applicants to specifically address in their applications state plans for sustaining the impact of ARRA investments beyond the federal funding provided in the next 2 years. Specifically, states will need to demonstrate a continued plan for progress toward meeting HHS Action Plan prevention targets as demonstrated through reporting metrics outlined in the Plan. Other criteria will be listed in individual funding opportunity announcements.

93.724 ARRA - PREVENTION AND WELLNESS COMMUNITIES PUTTING PREVENTION TO WORK FUNDING OPPORTUNITIES ANNOUNCEMENT (FOA)

Centers for Disease Control and Prevention ARRA Prevention and Wellness Communities Putting Prevention to Work

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

This program is authorized under section 301(a) and 317(k) 2) American Recovery and Reinvestment Act of 2009, Public Health Service Act, 42 U.S.C. 241(a) and 247b (k) 2311.

OBJECTIVES:

This funding was appropriated under the American Recovery and Reinvestment Act of 2009 (Public Health Service Act, 42 U.S. Code 241(a) and 247b (k) 2) to reduce Chronic Disease risk factors, prevent and delay chronic disease, promote wellness, and better manage chronic conditions. This initiative will address the following:

- Increased levels of physical activity
- Improved nutrition (e.g. increased fruit/vegetable consumption, reduced salt and trans fats);
- Decreased smoking prevalence and decreased teen smoking initiation; and
- Decreased exposure to secondhand smoke.

Communities funded under this announcement will accomplish this by implementing population-based approaches such as policy, systems, and environmental changes across 5 evidence-based strategies access, media, point of decision information, social support services, and price in both schools and communities. Both components are necessary to achieve behavior change in youth that sustain from school settings into family and community settings, and supports sustaining healthy behavior into adulthood. Communities funded by this announcement will receive a menu of evidence-based interventions along with support and tools from the Centers for Disease Control and Prevention (CDC) to strengthen and develop effective strategies tailored to their needs.

The cooperative agreements will address the Healthy People 2010 focus areas of obesity, cardiovascular disease, tobacco, and educational and community-based programs; the Health Protection Goals of Healthy People in Every Stage of Life which states that all people, and especially those at greater risk of health disparities, will achieve their optimal lifespan with the best quality of health in every stage of life, and Healthy People in Healthy

Places which states that the places where people live, work, learn, and play will protect and promote their health and safety, especially those at greater risk of health disparities..

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

Of the \$650 million, \$409.9 million will support intensive community approaches to chronic disease prevention and control described in Section 0.50 above. The use of and use restrictions are as follows: Recipients may only expend funds for reasonable program purposes, including personnel, travel, supplies, and services, such as contractual to reduce risk factors, prevent and delay chronic disease, promote wellness, and better manage chronic conditions in the following areas; to increased levels of physical activity, improved nutrition (e.g. increased fruit/vegetable consumption, reduced salt and trans fats); decreased smoking prevalence and decreased teen smoking initiation; and to decreased exposure to secondhand smoke.

Recipients may not use funds for research.

Recipients may not use funds for clinical care.

Recipients may not generally use HHS/CDC/ATSDR funding for the purchase of furniture or equipment. Any such proposed spending must be identified in the budget.

The recipient must perform a substantial role in carrying out project objectives and not merely serve as a conduit for an award to another party or provider who is ineligible.

Recipients may not spend more than 10% of the total award on nicotine replacement therapy.

Applicant Eligibility:

See Index.

Beneficiary Eligibility:

See Index.

Credentials/Documentation:

Applicants will be required to provide the following information:

Demonstrate that they have a coalition in place with experience in promoting health promotion or prevention of chronic disease outcomes or experience that relates to improving chronic disease outcomes, including the capacity to influence policy, system and/or environmental changes in the community.

Demonstrate that they have an existing community action plan in place related to improving behaviors that lead to chronic diseases through changing policy, systems and/or environment.

Provide documentation that they have received a grant of sufficient size to indicate the ability to adhere to Federal ARRA requirements and to expend a large amount of funds in the project period outlined by the Communities Putting Prevention to Work Initiative.

Ensure that at least 50% of the total award is spent extramurally.

Provide letters of support from school districts indicating support for implementing the YRBS survey for baseline and follow-up data. Successful applicants will also be required to meet quarterly benchmarks for the Communities Putting Prevention to Work Initiative. At the end of each quarter, the grantee will receive a score card that indicates the percentage of benchmarks being met (100%-70% of benchmarks = green; 70%-50% of benchmarks = yellow; less than 50% of benchmarks = red). OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110.

Applicants must download the SF424 application forms through Grants.gov/Apply.

Only the forms package directly attached to a specific Funding Opportunity Announcement (FOA) can be used.

If an applicant does not have access to the Internet, or if they have difficulty

accessing the forms online, contact the CDC Procurement and Grants Office Technical Information Management Section (PGOTIMS) staff. For this, or further assistance, contact PGO TIMS: Telephone (770) 488-2700, Email: PGOTIM@cdc.gov.

HHS/CDC Telecommunications for the hearing impaired: TTY 770-488-2783.

Award Procedure:

All applications that are complete and responsive to this competitive funding opportunity announcement will be evaluated for programmatic and technical merit. Applications will be reviewed for completeness by the Procurement and Grants Office (PGO) staff and for responsiveness jointly by the National Center for Chronic Disease Prevention and Health Promotion and PGO. Incomplete applications and applications that are non-responsive to the eligibility criteria will not advance through the review process. Applicants will be notified the application did not meet submission requirements.

An objective review panel will evaluate complete and responsive applications according to the evaluation criteria listed in the funding opportunity announcement. The panel will be comprised of a primary, secondary, and tertiary reviewer that will score the applications and document their strengths and weaknesses. The applications will be scored against the criteria not against one another. Successful applicants will receive a Notice of Award (NOA) from the CDC Procurement and Grants Office. The NOA shall be the only binding, authorizing document between the recipient and CDC. The NOA will be signed by an authorized Grants Management Officer.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. This program has no matching requirement.

This program does not have MOE requirements. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Project Period: until April 30, 2012. Method of awarding/releasing assistance: lump sum.

Reports:

Recipients of Federal awards from funds authorized under Division A of the ARRA must comply with all requirements specified in Division A of the ARRA (Public Law 111-5), including reporting requirements outlined in Section 1512 of the Act. For purposes of reporting, ARRA recipients must report on ARRA sub-recipient (sub-grantee and sub-contractor) activities as specified below. Not later than 10 days after the end of each calendar quarter, starting with the quarter ending June 30, 2009 and reporting by July 10, 2009, the recipient must submit quarterly reports to HHS that will be posted to Recovery.gov, containing the following information:

- (a) The total amount of ARRA funds under this award;
- (b) The amount of ARRA funds received under this award that were obligated and expended to projects or activities;
- (c) The amount of unobligated award balances;
- (d) A detailed list of all projects or activities for which ARRA funds under this award were obligated and expended, including: (1) the name of the project or activity; (2) a description of the project or activity; (3) an evaluation of the completion status of the project or activity; (4) an estimate of the number of jobs created and the number of jobs retained by the project or activity; and (5) for infrastructure investments made by State and local governments, the purpose, total cost, and rationale of the agency for funding the infrastructure investment with funds made available under this Act, and the name of the person to contact at the agency if there are concerns with the infrastructure investment;
- (e)

Detailed information on any sub-awards (sub-contracts or sub-grants) made by the grant recipient to include the data elements required to comply with the Federal Funding Accountability and Transparency Act of 2006 (Public Law 109-282). For any sub-award equal to or larger than \$25,000, the following information will be required: (1) the name of the entity receiving the sub-award; (2) the amount of the sub-award; (3) the transaction type; (4) the North American Industry Classification System code or Catalog of Federal Domestic Assistance (CFDA) number; (5) program source; (6) an award title descriptive of the purpose of each funding action; (7) the location of the entity receiving the award; (8) the primary location of performance under the award, including the city, State, congressional district, and country; and (9) a unique identifier of the entity receiving the award and of the parent entity of the recipient, should the entity be owned by another entity.

(f) All sub-awards less than \$25,000 or to individuals may be reported in the aggregate, as prescribed by HHS.

(g) Recipients must account for each ARRA award and sub-award (sub-grant and sub-contract) separately. Recipients will draw down ARRA funds on an award-specific basis. Pooling of ARRA award funds with other funds for drawdown or other purposes is not permitted. (h) Recipients must account for each ARRA award separately by referencing the assigned CFDA number for each award. Additional reporting requirements will be detailed in Funding Opportunity Announcements and included in the award notice. Final financial status and performance reports are required 90 days after the end of the project period. No cash reports are required. No progress reports are required. No expenditure reports are required. No cash reports are required. No progress reports are required. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the grant program must be kept readily available for review by personnel authorized to examine PHS grant accounts. Financial records, supporting documentation, statistical records, and all other records pertinent to an award shall be retained for a minimum of 3 years, or until completion and resolution of any audit in process or pending resolution. In all cases records must be retained until resolution of any audit questions. Property records must be retained in accordance with 45 CFR 92.42 requirements.

Account Identification:

75-0942-0-1-550.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 12 \$0; FY 13 est \$0; and FY 14 Estimate Not Available - Funding for ARRA awards were budgeted for a 2-year budget/project period, and funding was obligated in FY10. Funding reflects - DP09-912- 372,812,500 and Additional Approved but unfunded award 29,400,000.

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Program was for 2-year budget period. Fiscal Year 2013: N/A. Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Code of Federal Regulations 45 CFR Part 92

Regional or Local Office:

None.

Headquarters Office:

Mike Waller, 1600 Clifton Rd, Atlanta, Georgia 30333 Email:

MNW1@cdc.gov Phone: (770) 488-5264.

Website Address:

No Data Available

RELATED PROGRAMS:

93.283 Centers for Disease Control and Prevention_Investigations and Technical Assistance

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Funding would be specifically directed to state and local health departments for Evidenced-Based Clinical and Community-Based Prevention and Wellness activities. CDC will require state applicants to specifically address in their applications state plans for sustaining the impact of ARRA investments beyond the federal funding provided in the next 2 years. Specifically, states will need to demonstrate a continued plan for progress toward meeting HHS Action Plan prevention targets as demonstrated through reporting metrics outlined in the Plan. Other criteria will be listed in individual funding opportunity announcements.

93.725 ARRA - COMMUNITIES PUTTING PREVENTION TO WORK: CHRONIC DISEASE SELF-MANAGEMENT PROGRAM (CDSMP)

FEDERAL AGENCY:

Administration for Community Living, Department of Health and Human Services

AUTHORIZATION:

The statutory authority for cooperative agreements under this program announcement is contained in Division A, Title VIII of the American Recovery and Reinvestment Act (Recovery Act) of 2009 (Public Law 111.5). , Title VIII, Public Law 111-5.

OBJECTIVES:

The American Recovery and Reinvestment Act of 2009 (Recovery Act), signed into law February 17, 2009, is designed to stimulate economic recovery in various ways: to preserve and create jobs; to promote economic recovery; to assist those most impacted by the recession, to stabilize state, territorial and local government budgets to minimize and avoid reductions in essential services and counterproductive state, territorial, and local tax increases; to strengthen the Nations healthcare infrastructure; and to reduce healthcare costs through prevention activities. The Recovery Act includes \$650 million for evidence-based clinical and community-based prevention and wellness strategies that deliver specific, measurable health outcomes. The legislation provides an important opportunity for communities, States, territories, cities, rural areas, and tribes to advance public health across the lifespan and to eliminate health disparities. Of the \$650 million appropriated for this initiative (Communities Putting Prevention to Work), \$32.5 million will support States and territories in promoting wellness by deploying evidence-based chronic disease self-management programs targeted at older adults with chronic conditions. AoA, in collaboration with CDC, is providing Recovery Act funding under this announcement to support state efforts to deploy evidence-based chronic disease self-management (CDSMP) programs that empower older people with chronic diseases to maintain and improve their health status. The community-based collaborative networks setup through these efforts will provide the foundation for an infrastructure and statewide distribution system that States can use for delivering CDSMP and other evidence-based prevention programs to older adults. These statewide distribution systems will include a quality assurance component to ensure the evidence-based prevention programs are being delivered with fidelity and achieving results comparable to those produced in the original research. The ultimate goal is to have States and communities embed these structures into their statewide systems that provide community-based services and supports to older adults to help them maintain their health and independence in the community.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

In general, the use of these funds is to support state efforts to deploy evidence-based chronic disease self-management (CDSMP) programs that empower older people with chronic diseases to maintain and improve their health status. These funds are not to be used for free standing CDSMP programs that are not part of a states larger systems development effort to delivery community-based evidence-based prevention programs to older adults. In using funds for this award for infrastructure investment, recipients shall give preference to activities that can be started and completed expeditiously, including a goal of using at least 50 percent of the funds for activities that can be initiated not later than 120 days after the date of the enactment of Recovery Act. Recipients shall also use grant funds in a manner that maximizes job creation and economic benefit (Recovery Act Sec. 1602). The following activities are not fundable: construction and/or major rehabilitation of buildings; basic research (e.g. scientific or medical experiments); and, continuation of existing projects without expansion. Furthermore, none of the funds appropriated or otherwise made available in the Recovery Act may be used by any State or local government, or any private entity, for any casino or other gambling establishment, aquarium, zoo, golf course, or swimming pool. (Recovery Act Sec. 1604). These funds are not to be used for free standing CDSMP programs that are not part of a states larger systems development effort to delivery community-based evidence-based prevention programs to older adults. The following activities are not fundable: construction and/or major rehabilitation of buildings; basic research (e.g. scientific or medical experiments); and, continuation of existing projects without expansion. Furthermore, none of the funds appropriated or otherwise made available in the Recovery Act may be used by any State or local government, or any private entity, for any casino or other gambling establishment, aquarium, zoo, golf course, or swimming pool.

Applicant Eligibility:

Eligible applicants include all 56 States and territories as follows: in the 24 States with existing AoA Evidence-Based Disease Prevention cooperative agreements, eligibility is limited to the recipient State agency of those existing cooperative agreements; in the 32 States and territories that do not have existing AoA Evidence-Based Disease Prevention cooperative agreements, only State units on aging and State health departments are eligible to apply. Regardless of which agency applies, both the State unit on aging and State health department must be full partners in the development and implementation of the proposed program. The State Medicaid Agency must also be involved in the development and implementation of the program. Only one application will be permitted for each State or territory.

Beneficiary Eligibility:

In general, older adults with chronic diseases. Within this population grantees will be asked to define how they will specifically target low-income, minority, and limited English speaking older adults with chronic diseases.

Credentials/Documentation:

The application must include a letter of support from the states Governor in support of the appointed lead agency (i.e. the State unit on aging or the State health department). OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Only one application was accepted from each State or territory, and a letter of support from the Governor must be included with the States application. States are expected to coordinate with tribal entities in their jurisdiction, and give special attention to serving low-income, minority and limited English speaking seniors. States are encouraged to link with other community-based efforts with special attention to leveraging other public and private sector resources, including federally funded (including Recovery Act, Older Americans Act, Public Health Services Act, Medicare, and Medicaid funded) activities, State revenue programs, and private philanthropy. This funding opportunity was an opportunity for State units on aging and State health departments to collaborate on the development of distribution and delivery systems for CDSMP and eventually other evidence-based prevention programs that have the potential to improve the quality of life for millions of seniors and reduce health care costs. A State governments State unit on aging or health department will be the lead agency for this cooperative agreement. Regardless of which agency is the lead, the entire grant application, including the work plan, should reflect substantial mutual effort, cooperation, and substantive contributions by both agencies throughout the grant period as well as a significant role for the State Medicaid

agency. Environmental impact information is not required for this program. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Grant applications for federal assistance (Nonconstruction Programs) were in accordance with program announcements developed by the Administration on Aging (AoA)/Administration for Community Living (ACL) and will be simultaneously published on Grants.gov (www.grants.gov) and on the AoA/ACL web site at <http://www.aoa.gov/AoARoot/Grants/index.aspx>

Award Procedure:

A Notification of Award was issued to the grantee.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

This program has no matching requirements. This program has no statutory formula or matching requirement.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Cooperative Agreements were made for a 2 year period. Grant extensions may be available and requested from the grantee's Program Officer and Grants Management Specialist. Method of awarding/releasing assistance: by letter of credit.

Reports:

Semi-annual program progress reports are required through the life of the award. Financial Reports are required on a quarterly basis through the life of the award. Final program progress reports and financial reports are due 90 days after the end of the project period. Semi-annual program progress reports are required through the life of the award. Payment Management Systems financial reports are required on a quarterly basis through the life of the award. Final program progress reports and financial reports are due 90 days after the end of the project period. All grantees must also comply with all requirements specified in Division A of the Recovery Act, including the reporting requirements outlined in Section 1512. Section 1512 of the Recovery Act requires reports on the use of Recovery Act funding by grantees no later than the 10th day after the end of each calendar quarter and for the federal agency providing those funds to make the reports publicly available no later than the 30th day after the end of that quarter. A full list of the recipient reporting data elements is available at:

http://www.whitehouse.gov/omb/assets/memoranda_fy2009/m09-21-supp2.pdf

and the HHS ARRA award terms and conditions are available at:

<http://www.hhs.gov/recovery/grantscontracts/recoverytermsconditions.html>.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records must be maintained for 3 years after submission of the final financial report.

Account Identification:

75-0142-0-1-506.

Obligations:

(Cooperative Agreements) FY 12 \$0; FY 13 est \$0; and FY 14 est \$0 - ARRA funding. No future funding anticipated.

Range and Average of Financial Assistance:

\$50,000 to \$2,000,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

All grantees must also comply with all requirements specified in Division A of the Recovery Act, including the reporting requirements outlined in Section 1512. Section 1512 of the Recovery Act requires reports on the use of Recovery Act funding by grantees no later than the 10th day after the end of each calendar quarter and for the federal agency providing those funds to make the reports publicly available no later than the 30th day after the end of that quarter. A full list of the recipient reporting data elements is available at: http://www.whitehouse.gov/omb/assets/memoranda_fy2009/m09-21-supp2.pdf and the HHS ARRA award terms and conditions are available at: <http://www.hhs.gov/recovery/grantscontracts/recoverytermsconditions.html>

Regional or Local Office:

See Regional Agency Offices. See Regional Agency Offices. Regional Administrator, Administration on Aging, Department of Health and Human Services, located at all HHS Federal Regional Offices. (See Appendix IV of the Catalog for addresses.).

Headquarters Office:

Michele L. Boutaugh Administration on Aging
Administration for Community Living
Department of Health and Human Services
61 Forsyth Street, St, Suite 5M69
, Atlanta, Georgia 30303 Email: Michele.Boutaugh@acl.hhs.gov Phone:
404-562-7578 Fax: 404-562-7598

Website Address:

<http://www.acl.gov>

RELATED PROGRAMS:

93.048 Special Programs for the Aging Title IV and Title II Discretionary Projects; 93.724 ARRA - Prevention and Wellness Communities Putting Prevention to Work Funding Opportunities Announcement (FOA)

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Cooperative agreements were awarded on a competitive basis. Specific details for evaluating applications are published as part of the program announcement. Major emphases are: (1) documented need; (2) expected outcomes and benefits; (3) innovative approach; (4) level of effort; (5) coordination and partnerships; and (6) projects that benefit disadvantaged groups, including low-income, minority and limited English speaking populations.

93.726 ARRA ACCELERATING ADOPTION OF COMPARATIVE EFFECTIVENESS RESEARCH (CER)

(CER)

FEDERAL AGENCY:

Office of the Secretary, Department of Health and Human Services

AUTHORIZATION:

American Recovery and Reinvestment Act (ARRA), Public Law 111-5; Public Health Services Act, Title 42, Section 301.

OBJECTIVES:

Comparative effectiveness research is the conduct and synthesis of research comparing the benefits and harms of different interventions and strategies to prevent, diagnose, treat and monitor health conditions in real world settings. The purpose of this research is to improve health outcomes by developing and disseminating evidence-based information to patients, clinicians, and other decision-makers, responding to their expressed needs, about which

interventions are most effective for which patients under specific circumstances.

The purpose of this program is to develop and test strategies to improve the dissemination of CER information and the resultant translation of this evidence into practice in the non-profit and private sectors. Doing so effectively would demonstrate a return on the CER investment, and, more importantly, improve the health of the patients served by a given organization. Funds will be used to support organizations that 1) develop evidence-informed, yet innovative dissemination and translation strategies; 2) develop proposals that study the implementation of dissemination and translation strategies within their organization.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

This program is subject to the provisions of 45 CFR 74, and 45 CFR 92 as well as OMB Circular No. A-102 (Administrative Guidelines for States and Local Governments), and OMB Circular A-110 (Administrative Guidelines for Institutions of Higher Education, Hospitals, and Other Nonprofit Organizations). Funds may not be used for construction or renovation of buildings.

Applicant Eligibility:

Types of institutions or organizations that can apply include integrated health care systems, integrated provider systems, academic medical centers, provider consortia, community health center networks, private payers, other non-governmental organizations that administer or directly provide health care services, and organizations that serve health care consumers. Additional eligible applicants include University-based schools (e.g. School of Public Policy or Public Health) and private research institutes.

Projects must meet the definition of CER in the Federal Coordinating Council Report: <http://www.hhs.gov/recovery/programs/cer/cerannualrpt.pdf>.

Beneficiary Eligibility:

Expected beneficiaries include patients, health care providers, policymakers, and healthcare systems.

Credentials/Documentation:

Nonprofit organizations must provide evidence of tax-exempt status. When projects involve the collaborative efforts of more than one organization or require the use of services or facilities not under the direct control of the applicant, written assurances of specific support or agreements must be submitted by the affected parties. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applications are submitted in response to specific funding opportunity announcements via Grants.gov. Each announcement provides specific additional instructions regarding the contents of the narrative description of the project, budget justification, forms and other required information.

Award Procedure:

After the peer review of the application is completed, the Principal Investigator will be able to access his or her Summary Statement (written critique) via the eRA Commons. A formal notification in the form of a Notice of Award (NOA) will be provided to the applicant organization. Once all administrative and programmatic issues have been resolved, the Notice of Award will be generated from the awarding component to the grantee business official. The NOA signed by the grants management officer is the authorizing document.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Extensions to the project period may be made if deemed appropriate by the Assistant Secretary for Planning and Evaluation.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. This program is funded by ARRA and has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grants and cooperative agreements are generally funded on a 12-month basis. Support beyond the first year is contingent upon acceptable evidence of satisfactory progress, continuing program relevance, and the availability of funds. Method of awarding/releasing assistance: quarterly.

Reports:

No program reports are required. No cash reports are required. When multiple years are involved, grantees will be required to submit the Non-Competing Grant Progress Report (PHS 2590) annually. The annual progress reports must include Sections a through f as described in the general PHS form 2590 instructions, as well as sections g through j as described in Section IV of the 2590 instructions. For details regarding progress report submission, refer to <http://www.ahrq.gov/fund/noncomp.htm>. If instructions on the ASPE website are different from the PHS form 2590 instructions, follow the instructions on the ASPE website. The Progress Report is to include descriptive and evaluative comments on both completed activities and plans for the remainder of that year, including any changes foreseen in the future. At a minimum, the reports will include descriptive comments on: progress to date measured against project aims; methodological changes implemented; key preliminary findings; significant problems and resolutions; inclusion of priority populations; and project related publications, presentations, and dissemination activities. ASPE will provide the timetable for these progress reports. Financial Status Reports (FSRs; SF 269) are required annually by ASPE for ALL grant programs as described in the HHS Grants Policy Statement (see <http://www.ahrq.gov/fund/hhspolicy.htm>). This report must be submitted for each budget period no later than 90 days after the close of the budget period. A hard copy of the report should be submitted to the assigned grants management specialist.

A final Progress Report and Financial Status Report are required when an award ends. For further details regarding grant closeout requirements, refer to <http://www.ahrq.gov/fund/closeout.htm>. In addition to the standard ASPE terms of award, all funding provided under the Recovery Act will be subject to the HHS Standard Terms and Conditions for American Recovery and Reinvestment Act of 2009 (ARRA). The full text of these terms approved for ASPE awards can be found in the following document: Standard Terms and Conditions for ARRA Awards. Recovery Act-related reporting requirements will be incorporated as a special term of award. A final Progress Report and Financial Status Report are required when an award ends. For further details regarding grant closeout requirements, refer to <http://www.ahrq.gov/fund/closeout.htm>.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. All fiscal transactions identifiable as Federal financial assistance are subject to audit by the HHS audit agency and the Office of the Assistant Secretary for Planning and Evaluation and NIH conducts audits.

Records:

In accordance with 45 CFR Part 74.53 and 45 CFR Part 92, grantees are to maintain accounting records for a minimum of 3 years after the end of a budget period. If any litigation, claim, negotiation, audit or other action involving the records has been started before the expiration of the 3-year period, the records

shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0910-1-7-010.

Obligations:

(Project Grants) FY 12 \$0; FY 13 est \$0; and FY 14 est \$0 - Note: In FY 2010, \$20,345,962 was obligated for the full three years for seven grants.

Range and Average of Financial Assistance:

ASPE designated \$300,000 to \$3,000,000 in FY 2010 to fund 5-10 grants.

Budget proposals are limited to \$1,000,000 in total costs per year for a total of \$3,000,000 in costs over 3 years. Facilities and administrative (F&A) costs requested by applicants are included in the total cost limitation.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: All projects were successful in their accomplishments during 2012. Fiscal Year 2013: All projects are successfully underway with no anticipated problems. Fiscal Year 2014: Projects will be finished in FY 2013.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Cille Kennedy 200 Independence Avenue SW, Room 447-D, Washington, District of Columbia 20201 Email: Cille.Kennedy@hhs.gov Phone: 2022600370

Website Address:

<http://aspe.hhs.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The rating criteria will be included in the FOA that requests grant proposals. The criteria typically include such concerns as the significance and usefulness of the project; the appropriateness of the intervention/measurement methodology and design; the qualifications of personnel and the sponsoring organization; and the likelihood that the work plan and budget will produce the intended results.

93.727 ARRA - HEALTH INFORMATION TECHNOLOGY - BEACON COMMUNITIES

Beacon Community Program

FEDERAL AGENCY:

Office of the Secretary, Department of Health and Human Services

AUTHORIZATION:

American Recovery and Reinvestment Act (ARRA) of 2009, Division A, Title XIII, Part HITECH Act, Section 3011, Public Law 111-5.

OBJECTIVES:

The Beacon Community Program will provide funding to 17 communities to:

- 1) Build and strengthen the health IT infrastructure and exchange capabilities within communities, positioning each community to pursue a new level of sustainable health care quality and efficiency over the coming years;
- 2) Translate investments in health IT to measurable improvements in cost, quality and population health, and;
- 3) Develop innovative approaches to performance measurement, technology and care delivery to accelerate evidence generation for new approaches.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Awarded communities must use the funding provided under this program to

support health IT and information exchange infrastructure and implement performance measurement, quality improvement, and care coordination programs to achieve improvements in health care quality, safety, efficiency, and population health. Funding can build and strengthen existing health IT and information exchange infrastructure and support 1) the use of health information technology by public health departments, 2) adoption of certified electronic health records (by providers not currently eligible for HIT incentive payments or regional HIT extension center assistance), 3) training on and dissemination of information on best practices to integrate health information technology into providers delivery of care, 4) infrastructure and tools for the promotion of telemedicine, 5) community-wide quality reporting repositories and registries, and 6) the protection of health information by all holders of individually identifiable health information. None of the funds appropriated or otherwise made available in ARRA may be used by any state or local government, or any private entity, for any casino or other gambling establishment, aquarium, zoo, golf course, or swimming pool. (ARRA Sec. 1604).

Applicant Eligibility:

The lead applicant which submits a Beacon Community application on behalf of their community must be a US-based non-profit organization or state, local, tribal or territorial government entity, but may represent a consortium of organizations and providers. Private providers and insurers will be encouraged to participate in the consortia.

Beneficiary Eligibility:

The identification and dissemination of best practices and lessons learned will directly benefit organizations and communities advancing health information technology and exchange. The knowledge attained by these awarded communities will, in turn, benefit the nations communities as a whole.

Credentials/Documentation:

If an applicant is a US-based non-profit entity it must provide documentation of its 501C (3) status or IRS determination letter, IRS tax exemption certificate, or letter from state taxing body verifying tax-exempt status. If the proposal is on behalf of a consortium, there must be letters of commitment from all members of the consortium which include their tax status. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. This program is excluded from Executive Order 12372.

Applications are solicited by a program announcement. The availability of this announcement will be broadly published, including by posting on www.grants.gov.

All timely applications from eligible entities that conform to the requirements of the program announcement will be forwarded to an objective review committee which will make funding recommendations, based on the merits of the applications. The selection of awardees will be made by the Secretary of HHS, or designee.

Award Procedure:

Following objective review of applications, those applicants whose applications have been selected by the Secretary of HHS (or designee) for funding will receive a Notice of Award signed by an HHS Grants Management Officer. The Notice of Award, which is sent to the applicants Authorized Organizational Representative, is the only official notification of award. Unsuccessful applicants will be advised by letter.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. There is no match required for this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awards will be made for a 36 month project period with one 36 month budget period. Method of awarding/releasing assistance: lump sum.

Reports:

Program report requirements, if applicable, will be identified in the Program Announcement. The Federal Cash Transaction Report (formerly the PSC-272) is due 30 days after the end of each quarter (January 30, April 30, July 30, and October 30). Progress report requirements, if applicable, will be identified in the Program Announcement. Recipients of Federal awards from funds authorized under Division A of the ARRA must comply with all requirements specified in Division A of the ARRA (Public Law 111-5), including reporting requirements outlined in Section 1512 of the Act. ARRA reports will be required quarterly. Reporting requirements for ARRA programs can be found at: <http://www.hhs.gov/recovery/grantscontracts/recoverytermsconditions.html>. The Federal Financial Report (FFR-425) is also due within 90 days after the end of the applicable 12-month period (January 30). Applicants will submit annual progress reports as outlined in the Program Announcement.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain records for a minimum of 3 years from the submission date of the final financial report. If any litigation, claim, negotiation, audit or other action involving the record has been started before the expiration of the 3- year period, the records shall be retained until the completion of the action and resolution of all issues which arise from it or until the end of the regular 3 year period, whichever is later.

Account Identification:

75-0130-0-1-551.

Obligations:

(Salaries) FY 12 \$141,194; FY 13 est \$0; and FY 14 est \$0

Range and Average of Financial Assistance:

Awards may range from a minimum of \$10,000,000 to a maximum of \$20,000,000. Average award amount is anticipated to be \$15,000,000. 17 awards were granted in Fiscal Year 2010.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 74, 45 CFR Part 92, and the HHS Grants Policy Statement located at:

<http://www.hhs.gov/asrt/og/grantinformation/hhsgps107.pdf>. There are no program regulations.

Regional or Local Office:

None.

Headquarters Office:

Office of the National Coordinator for Health Information Technology 200 Independence Avenue, SW, Suite 729D, Washington, District of Columbia 20201 Email: BeaconCommunityGrants@hhs.gov Phone: 202-690-7151

Website Address:

http://healthit.hhs.gov

RELATED PROGRAMS:

93.718 Health Information Technology Regional Extension Centers Program;
93.719 ARRA - State Grants to Promote Health Information Technology

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Specific, detailed criteria for determining the merit of applications will be made available in the program announcements for this program. In general, all applicants are required to demonstrate capability to achieve specific and measurable health outcomes and health care efficiency objectives consistent with health IT enabled performance monitoring, feedback, practice redesign, and care coordination.

93.728 ARRA - STRATEGIC HEALTH IT ADVANCED RESEARCH PROJECTS (SHARP)

(SHARP)

FEDERAL AGENCY:

Office of the Secretary, Department of Health and Human Services

AUTHORIZATION:

American Recovery and Reinvestment Act of 2009 (Public Law 111-5)
(Recovery Act), Title XIII, Part A, Section 3011.

OBJECTIVES:

To competitively-awarded cooperative agreements to establish Strategic Health IT Advanced Research Projects (SHARP). The awardees will conduct research focusing on where breakthrough advances are needed to address well-documented problems that have impeded adoption of health IT and to accelerate progress towards achieving nationwide meaningful use of health IT in support of a high-performing, learning health care system.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

ONC expects to award four cooperative agreements to support establishment of Strategic Health IT Advanced Research Projects (SHARP) sites for a project period of four years. Each such research site will have a two-part mission: (1) to implement a collaborative, interdisciplinary program of research addressing a specific focus area from the list provided below, addressing short-term as well as long-term challenges; and (2) to develop and implement a cooperative program between researchers, patient groups, health care providers, and other health IT sector stakeholders to transition the results of research into practice. Each site will focus on areas where breakthrough improvements are needed to address well-documented problems that have impeded adoption of health IT and thereby accelerate progress on the pathway towards achieving the goals outlined in the strategic plan for health IT developed by the National Coordinator pursuant to PHSA Section 3001(c)(3) as added by the Recovery Act, specifically including the nationwide achievement of meaningful use of health IT to achieve transformational improvement of the health care system. Each site will implement a collaborative, inter-disciplinary research program addressing one of the specific focus area(s) listed below. An eligible entity may, apply for funding in separate applications to establish more than one site, and thus it is possible a single eligible entity (institution) may receive more than one award under this program. Regardless of the total number of institutions funded to establish sites under this program, it is expected that the all of the awardees will collaborate with each other, as there will be numerous points of intersection among the sites' agendas. Within its focus (listed below) area, each site will carry out at least the following set of activities:

Initially define and subsequently update or redefine on a yearly basis, the key issues and research challenges within their respective area(s) of focus. The research areas identified will be those that significantly address barriers and solutions to achieving widespread adoption and meaningful use of health IT. Projects to address the identified issues will be mapped to either a short-term (< 2 years) or long-term (4 years) time frame. Because this is a cooperative agreement, recipients shall plan and allow for collaboration with ONC and other federal staff with relevant expertise as identified or approved by ONC

in establishing and updating the definition of key issues and research challenges in the sites focus area; Conduct ambitious research addressing these key issues and challenges. This work will draw on the scientific methods and expertise of researchers and practitioners in diverse fields; Collect specific, uniform data about the research activities and track progress toward milestones;

Facilitate practical and efficient processes that enable translation of research into health care and public health innovations, facilitating the transition of multidisciplinary research outcomes into new healthcare products and services both the short- and long-term; Partner with industry to rapidly transfer short term results of this research into health IT products; Publish and otherwise disseminate these research findings, preferably in open source journals that maximize the accessibility of this knowledge to the entire health IT community.

Participate in an external evaluation; and Select desired, measurable outcomes specific to the chosen research issue and methods for attaining results. Research focus areas;

In no particular order, the four foci for research identified below will be addressed by this program. The areas have been assigned numbers for ease of reference. Appendix B provides further detail about each of these areas. 1. Security of Health Information Technology This research area addresses the challenges of developing security and risk mitigation policies and the technologies necessary to build and preserve the public trust as health IT systems become ubiquitous.

This research area is responsive to paragraphs (1), (4), (5), and (6) of the PHSA 3011(a), as added by the Recovery Act, through the following activities:

Promoting the development and implementation of health information technology solutions that will support the electronic exchange and use of health information in a secure, private manner; Promoting the development and implementation of technologies and best practices that enhance the protection of health information by all holders of individually identifiable health information; Enhancing the capabilities and functionalities of tools to support privacy and security of individually identifiable health information as it is exchanged and used; and,

Supporting and enhancing the assurance of privacy needed providers and patients widespread acceptance of telemedicine and robust participation in clinical data repositories and registries. 2. Patient-Centered Cognitive Support This research area addresses the challenge of harnessing the power of health IT so that it integrates with, enhances and supports clinicians reasoning and decision making, rather than forcing them into a mode of thinking that is natural to machines but not to people. PHSA 3011(a), as added by the Recovery Act, directs the support of health IT architecture that will support the use of health information in an accurate manner. Additionally, paragraphs (3) and (5) of the same subsection of the statute authorize use of funding appropriated under PHSA Section 3018, as added by the Recovery Act, to disseminate training and information on best practices to integrate health IT into a providers delivery of care, and to promote the use of clinical data repositories and registries. All of these paragraphs ((1), (3), and (5) of PHSA 3011(a) as added by the Recovery Act) point to longstanding challenges that require new and creative research related to the accurate and effective use of electronic health information to enhance the safety, quality, efficacy, and thus the overall value of care. 3. Healthcare Application and Network Platform Architectures This research area focuses on the development of architectures that are necessary to achieve electronic exchange and use of health information in a secure, private and accurate manner. PHSA 3011(a)(1), as added by the Recovery Act, authorizes support of health IT architecture that will support the nationwide electronic exchange and use of health information in a secure, private, and accurate manner. This research focus specifically supports the development of new and improved architectures and technology infrastructure needed to achieve the goals outlined in the plan for health IT by the National Coordinator in support of PHSA 3001(c)(3), as added by the Recovery Act, and to support measures for determination of meaningful use of health IT by providers over time (required by Social Security Act (SSA) Sections 1848(o) and 1866(n), as added by Title IV in Division B of the Recovery Act). 4. Secondary Use of EHR Data

This research area focuses on strategies to enhance the use of health IT in improving the overall quality of health care. PHSA 3011(a), as added by the Recovery Act, states that the Secretary shall invest in the infrastructure necessary to allow for and promote the electronic exchange and use of health information for each individual in the United States consistent with the goals outlined in the strategic plan developed by the National Coordinator. PHSA 3001(c)(3), as added by the Recovery Act, directs that the National Coordinator

shall, in consultation with other appropriate Federal agencies (including the National Institute of Standards and Technology), update the Federal Health IT Strategic Plan (developed as of June 3, 2008) to include specific objectives, milestones, and metrics with respect to a specific set of topic areas. The current Federal Health IT Strategic Plan (developed as of June 3, 2008), as referenced in the statute, advances secondary use as a key mode of information technology use to transform health care. This is consistent with, and will be updated and expanded upon pursuant to the direction of PHSA 3001(c)(3)(i), (vii) and (viii), as added by the Recovery Act, to add to the updated strategic plan such objectives, milestones, and metrics with respect to:

(Reference: PHSA 3001(c)(3)(i)) the electronic exchange and use of health information and the enterprise integration of such information. (Reference: PHSA 3001(c)(3)(vii)) strategies to enhance the use of health IT in improving the quality of health care, reducing medical errors, reducing health disparities improving public health, increasing prevention and coordination with community resources, and improving the continuity of care among health care settings. (Reference: PHSA 3001(c)(3)(viii)) the specific plans for ensuring that populations with unique needs, such as children, are appropriately addressed in the technology design, as appropriate.

Applicant Eligibility:

Any entity submitting an application for this award must be a U.S.-based: public or private institution of higher education; or other public or private institution or organization with a research mission.

Beneficiary Eligibility:

This Funding Opportunity Announcement (FOA) will result in new competitively-awarded cooperative agreements to establish Strategic Health IT Advanced Research Projects (SHARP). The awardees will conduct research focusing on where breakthrough advances are needed to address well-documented problems that have impeded adoption of health IT and to accelerate progress towards achieving nationwide meaningful use of health IT in support of a high-performing, learning health care system.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Application procedures will be specified in individual program announcements. Applicants should consult with the office or official designated as the contact for additional information on the application procedures.

Award Procedure:

Following objective review of applications, those applicants whose applications have been selected by the Secretary of HHS (or designee) for funding will receive a Notice of Award signed by an HHS Grants Management Officer. The Notice of Award, which is sent to the applicants Authorized Organizational Representative, is the only official notification of award. Unsuccessful applicants will be advised by letter.

Deadlines:

Jan 25, 2010

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

ONC expects to award four cooperative agreements to support establishment of Strategic Health IT Advance Research Projects (SHARP) for a project period of four years. See the following for information on how assistance is awarded/released: PHSA 3012(c)(5), as added by ARRA, specifies that the Secretary may provide financial support to any regional center created under PHSA 3012(c) for a period not to exceed 4 years. Awards are expected to be for two, 2-year budget periods and a four year project period. The Funding Opportunity Announcement provides additional details on how assistance is awarded/released.

Reports:

Reporting and Registration Requirements under Section 1512 of the Recovery Act:

(a) This award requires the recipient to complete projects or activities which are funded under the Recovery Act and to report on use of Recovery Act funds provided through this award. Information from these reports will be made available to the public. (b) The reports are due no later than ten calendar days after each calendar quarter in which the recipient receives the assistance award funded in whole or in part by the Recovery Act. (c) Recipients and their first-tier recipients must maintain current registrations in the Central Contractor Registration (www.ccr.gov) at all times during which they have active federal awards funded with Recovery Act funds. A Dun and Bradstreet Data Universal Numbering System (DUNS) Number (www.dnb.com) is one of the requirements for registration in the Central Contractor Registration. (d) The recipient shall report the information described in Section 1512(c) using the reporting instructions and data elements that will be provided online at www.FederalReporting.gov and ensure that any information that is pre-filled is corrected or updated as needed. The frequency of interim progress reports and financial status reports will be identified in the program announcement and will be submitted no later than 30 days following the end of the reporting period to which they pertain. The final progress report and final financial status report are due no later than 90 days after the end of the project period. ARRA reporting and agency programmatic reporting provisions will require awardees to evaluate performance in view of stated objectives. No cash reports are required. The awardee shall submit annual progress reports related to their projects and overall site performance. A specific Performance Report format will be finalized between the awardee and ONC following the award date. In accepting an ONC award, the recipient assumes legal, financial, administrative, and programmatic responsibility for administering the award in accordance with the terms and conditions of the award, as well as applicable laws, rules, regulations, and Executive Orders governing HHS assistance awards, all of which are incorporated into this award by reference. Failing to comply with these requirements may result in suspension or termination of the award and/or ONC's recovery of award funds. Quarterly Financial and Programmatic Reporting: Consistent with the Recovery Acts emphasis on accountability and transparency, reporting requirements under Recovery Act programs will differ from and expand upon HHSs standard reporting requirements for grants and cooperative agreements. In particular, Section 1512(c) of the Recovery Act sets out detailed requirements for quarterly reports that must be submitted within 10 days of the end of each calendar quarter. Recovery Act-Required Performance Measures - To assist in fulfilling the accountability objectives of the Recovery Act, as well as the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, applicants who receive funding under this program must provide data that measure the results of their work. Expenditure reports are not applicable. The awardee shall submit annual progress reports related to their projects and overall Center performance. A specific Performance Report format will be finalized between the awardee and ONC following the award date. In accepting an ONC award, the recipient assumes legal, financial, administrative, and programmatic responsibility for administering the award in accordance with the terms and conditions of the award, as well as applicable laws, rules, regulations, and Executive Orders governing HHS assistance awards, all of which are incorporated into this award by reference. Failing to comply with these requirements may result in suspension or termination of the award and/or ONC's recovery of award funds. Quarterly Financial and Programmatic Reporting: Consistent with the Recovery Acts emphasis on accountability and transparency, reporting requirements under Recovery Act programs will differ from and expand upon HHSs standard reporting requirements for grants and

cooperative agreements. In particular, Section 1512(c) of the Recovery Act sets out detailed requirements for quarterly reports that must be submitted within 10 days of the end of each calendar quarter. Recovery Act-Required Performance Measures - To assist in fulfilling the accountability objectives of the Recovery Act, as well as the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, applicants who receive funding under this program must provide data that measure the results of their work.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. The recipient shall comply with audit requirements of OMB Circular A-133. Information on the scope, frequency, and other aspects of the audits can be found on the Internet at www.whitehouse.gov/omb/circulars;

Records:

Grantees are required to maintain grant records for a minimum of 3 years from the submission date of the final financial report. If any litigation, claim, negotiation, audit or other action involving the record has been started before the expiration of the 3-year period, the records shall be retained until the completion of the action and resolution of all issues which arise from it or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0130-0-1-551.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 12 \$0; FY 13 est \$0; and FY 14 est \$0 - Awards will be in the form of a cooperative agreement with a 4-year project period. Under this type of award, ONC will work collaboratively with each site to accomplish the goals of the award. Each cooperative agreement will anticipate a total budget of between \$10 million and \$18 million over the full 4-year project period.

Range and Average of Financial Assistance:

Awards may range from \$10,000,000 - \$18,000,000.
Four awards each \$15,000,000 were awarded in FY 2010.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 74 and the HHS Grants Policy Statement located at: <http://www.hhs.gov/grantsnet/adminis/gpd/index.htm>. There are no program regulations.

Regional or Local Office:

None.

Headquarters Office:

Avinash Shanbhag (SHARPN & SMART); Alicia Morton (SHARPC) & Will Phelps (SHARPS) 200 Independence, SW, Washington, District of Columbia 22209 Email: avinash.shanbhag@hhs.gov; alici.morton@hhs.gov; will.phelps@hhs.gov Phone: (202) 690-7151

Website Address:

<http://healthit.gov/policy-researchers-implementers/strategic-health-it-advanced-research-projects-sharp>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Specific, detailed criteria for determining the merit of applications will be made

available in the program announcements for this program. In general, all applicants are required to demonstrate capability to achieve the goals, purposes, and objectives of the program consistent with applicable statutory provisions.

93.730 ARRA PREVENTION RESEARCH CENTERS COMPARATIVE EFFECTIVENESS RESEARCH PROGRAM

(RC CER Program)

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

This program is authorized under the American Recovery and Reinvestment Act of 2009 (ARRA), Public Law 111-5; Public Health Service Act, 42 U.S.C. 241(a) and 247b(k)2 and Public Health Service Act, Title 42, Part 330u-5, Section 1706, Public Law 111-5.

OBJECTIVES:

To support Prevention Research Centers (PRCs) to perform comparative effectiveness research (CER) that compares innovative public health strategies or interventions, in new settings or populations (such as minorities or people with disabilities), and that preferably include new endpoints such as biologic measures or combined clinical and social interventions. The emphasis is to develop new scientific evidence that fills important knowledge gaps. The interventions or strategies should be policy or environmental but are expected to consider what targeted outcome(s) are anticipated and how such outcomes will be achieved and to describe how the intervention will be implemented so it can be replicated by others.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

CDC has designated \$10,000,000 in FY 2010 to fund 3-5 cooperative agreements to PRCs to compare innovative public health strategies or interventions, in new settings or populations (such as minorities or people with disabilities), and that preferable include new endpoints such as biologic measures or combined clinical and social interventions. The use of and use restrictions are as follows: Recipients may only expend funds for reasonable program purposes, including personnel, travel, supplies, and services, such as contractual to support policy and system changes to perform comparative effectiveness research that compares innovative public health strategies or interventions, in new settings or populations (such as minorities or people with disabilities) preferably those that assess the impact of policy and environmental interventions, and includes new endpoints such as biologic measures or combined clinical and social interventions. Recipients may not generally use HHS/CDC/ATSDR funding for the purchase of furniture or equipment. Any such proposed spending must be identified in the budget. The recipient must perform a substantial role in carrying out project objectives and not merely serve as a conduit for an award to another party or provider who is ineligible. Federal regulations at 45 CFR Part 46 require that applications and proposals involving human subjects research must be evaluated in accordance with those regulations, with reference to the risks to the subjects, the adequacy of protections against these risks, the potential benefits of the research to the subjects and others, and the importance of the knowledge gained or to be gained. Federal funds may not be used on human subjects research until appropriate IRB approvals are in place. .

Applicant Eligibility:

The CDC program limits eligibility only to applicants who have applied for and have been selected as PRCs under Funding Opportunity Announcement DP09-001. Competition is limited to PRCs under this CDC Program Announcement because (1) they are uniquely positioned to perform, oversee, and coordinate public health-oriented CER that promotes the field of prevention research due to their established relationships with community partners and previous experience with CER and (2) these PRCs recently competed for prevention research funding and were deemed to be the best applicants among research institutions that include all schools of public health and schools of medicine with preventive medicine residency programs. The current recipients funded under Funding Opportunity Announcement DP09-001 are:

1. Boston University

2. Case Western Reserve University
3. Columbia University
4. Dartmouth College
5. Emory University
6. Harvard University
7. Johns Hopkins University
8. Morehouse School of Medicine
9. New York University School of Medicine
10. Ohio State University
11. Oregon Health and Science University
12. Saint Louis University (with Washington University)
13. San Diego State University (with University of California at San Diego)
14. Texas A&M Health Science Center
15. Tulane University
16. University of Alabama at Birmingham
17. University of Arizona
18. University of Arkansas for Medical Sciences
19. University of California at Berkeley
20. University of California at Los Angeles
21. University of Colorado Denver
22. University of Kentucky
23. University of Maryland
24. University of Massachusetts Medical School
25. University of Michigan
26. University of Minnesota
27. University of New Mexico
28. University of North Carolina at Chapel Hill
29. University of Rochester
30. University of South Carolina
31. University of South Florida
32. University of Texas Health Science Center at Houston
33. University of Washington
34. West Virginia University
35. Yale University.

Beneficiary Eligibility:

None.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. The funding opportunity announcement is located on the HHS/CDC website at the following Internet address: <http://www.grants.gov>. Only the forms package directly attached to a specific Funding Opportunity Announcement (FOA) can be used. Applicants must download the SF424 application forms through Grants.gov/Apply. If an applicant does not have access to the Internet, or if they have difficulty accessing the forms online, contact the CDC Procurement and Grants Office Technical Information Management Section (PGO-TIMS) staff. For this, or further assistance, contact PGO TIMS: Telephone (770) 488-2700, Email: PGOTIM@cdc.gov. HHS/CDC Telecommunications for the hearing impaired: TTY (770) 488-2783.

Award Procedure:

All applications which are complete and responsive to the competitive funding opportunity announcement will be evaluated for scientific and technical merit by an appropriate peer review group convened in accordance with CDC peer review procedures. Incomplete and/or non-responsive applications or applications not following instructions given in the FOA will be returned to the applicant without further consideration. Applicants will be notified the application did not meet submission requirements. As part of the initial merit peer review, all applications will (1) undergo a selection process in which only

those applications deemed to have the highest scientific merit will be discussed and assigned a priority score (2) these applications will be reviewed for scientific merit using current NIH/CDC criteria (a scoring system of 1-9 points) to evaluate the methods and scientific quality of the application; and (3) receive a written critique. A second level of review will be conducted by a panel of senior federal officials. This panel will review the ranked proposals to assure maximal impact and balance of the proposed research. Successful applicants will receive a Notice of Award (NOA) from the CDC Procurement and Grants Office. The NOA shall be the only binding, authorizing document between the recipient and CDC. The NOA will be signed by an authorized Grants Management Officer.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Applications may be up to 2 years in duration. Method of awarding/releasing assistance: lump sum.

Reports:

Recipients of Federal awards from funds authorized under Division A of the ARRA must comply with all requirements specified in Division A of the ARRA Public Law 111-5), including reporting requirements outlined in Section 1512 of the Act. For purposes of reporting, ARRA recipients must report on ARRA sub-recipient (sub-grantee and sub-contractor) activities as specified below. Not later than 10 days after the end of each calendar quarter, starting with the quarter ending August 30, 2010 and reporting by September 10, 2010, the recipient must submit quarterly reports to HHS that will be posted to Recovery.gov, containing the following information: The total amount of ARRA funds under this award; (b) The amount of ARRA funds received under this award that were obligated and expended to projects or activities; (c) The amount of unobligated award balances; (d) A detailed list of all projects or activities for which ARRA funds under this award were obligated and expended, including: (1) the name of the project or activity; (2) a description of the project or activity; (3) an evaluation of the completion status of the project or activity; (4) an estimate of the number of jobs created and the number of jobs retained by the project or activity; and (5) for infrastructure investments made by State and local governments, the purpose, total cost, and rationale of the agency for funding the infrastructure investment with funds made available under this Act, and the name of the person to contact at the agency if there are concerns with the infrastructure investment; (e) Detailed information on any sub-awards (sub-contracts or sub-grants) made by the grant recipient to include the data elements required to comply with the Federal Funding Accountability and Transparency Act of 2006 (Public Law 109-282). For any sub-award equal to or larger than \$25,000, the following information will be required: (1) the name of the entity receiving the sub-award; (2) the amount of the sub-award; (3) the transaction type; (4) the North American Industry Classification System code or Catalog of Federal Domestic Assistance (CFDA) number; (5) program source; (6) an award title descriptive of the purpose of each funding action; (7) the location of the entity receiving the award; (8) the primary location of performance under the award, including the city, State, congressional district, and country; and (9) a unique identifier of the entity receiving the award and of the parent entity of the recipient, should the entity be owned by another entity. (f) All sub-awards less than \$25,000 or to individuals may be reported in the aggregate, as prescribed by HHS. (g) Recipients must account for each ARRA award and sub-award (sub-grant and sub-contract) separately. Recipients will draw down ARRA funds on an award-specific basis.

Pooling of ARRA award funds with other funds for drawdown or other purposes is not permitted. (h) Recipients must account for each ARRA award separately by referencing the assigned CFDA number for each award. Additional reporting requirements will be detailed in Funding Opportunity Announcements and included in the award notice. Final financial status and performance reports are required 90 days after the end of the project period. No cash reports are required. No progress reports are required. No expenditure reports are required. An HHS/CDC agency program official or CIO program director will be responsible for the normal scientific and programmatic stewardship of the award and will be named in the Notice of Award. Responsibilities will include carrying out continuous review of all activities to ensure objectives are being met; participating in conference calls for the purpose of assessing overall progress and for program evaluation purposes; providing scientific consultation and technical assistance in the conduct of the project; and conducting site visits to determine the adequacy of the research and to monitor performance against project objectives.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the grant program must be kept readily available for review by personnel authorized to examine HHS grant accounts. Financial records, supporting documentation, statistical records, and all other records pertinent to an award shall be retained for a minimum of 3 years, or until completion and resolution of any audit in process or pending resolution. In all cases records must be retained until resolution of any audit questions. Property records must be retained in accordance with 45 CFR 74.53 requirements.

Account Identification:

75-1701-0-1-551.

Obligations:

(Cooperative Agreements) FY 12 \$4,805,106; FY 13 est \$1,396,775; and FY 14 est \$0 - Total Award: \$9,997,283.

Range and Average of Financial Assistance:

A total of \$10 million will be awarded with an anticipated 3-5 awards. The total number of awards will depend upon the quality, duration, and costs of the applications received. Because the nature and scope of the proposed research will vary from application to application, it is anticipated that the size and duration of each award also will vary.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: N/A. Fiscal Year 2013: N/A. Fiscal Year 2014: N/A.

REGULATIONS, GUIDELINES, AND LITERATURE:

Code of Federal Regulations 45 CFR Part 74. HHS Grants Policy Statement at: <http://www.hhs.asrt/org/grantinformation/hhsgps107.pdf> Grantees must also comply with the HHS Standard Terms and Conditions for ARRA funds, which can be found at <http://www.hhs.gov/recovery/grantscontracts/recoverytermsconditions.html>

Regional or Local Office:

None.

Headquarters Office:

Elizabeth K Patterson, 4770 Buford Highway N.E. Mailstop K92, Atlanta, Georgia 30341 Email: BPatterson@cdc.gov Phone: (770) 488-6045.

Website Address:

<http://www.cdc.gov/>; and www.Grants.gov

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Funding would be specifically directed to Prevention Research Centers to perform comparative effectiveness research that compares innovative public health strategies or interventions, in new settings or populations (such as minorities or people with disabilities), preferably includes CER that assesses the impact of policy and environmental interventions, and includes new endpoints such as biologic measures or combined clinical and social interventions. CDC will require applicants to specifically address in their applications plans for sustaining the impact of ARRA investments beyond the federal funding provided in the next 2 years. The following criteria will be used by the initial merit review panel in order to judge the likelihood that the proposed research will have a substantial impact on the pursuit of stated goals: significance, approach, innovation, investigators and environment. In addition, reviewers will consider the following additional items in the determination of the scientific and technical merit: budget, inclusion (adequacy of plans to address the needs of both genders, racial and ethnic minorities); protection of human subjects from research risk and privacy and security protections for patients. The following will be considered in making funding decisions: scientific merit of the proposed project as determined by peer review; availability of funds; relevance to program priorities; focus is on public health interventions or strategies in two or more of the following areas: community-level behavior change, environmental-level, and policy level; research addresses populations with major health disparities; and selected PRCs ensures geographic distribution of awards. PRCs will also need to demonstrate a continued plan for progress toward meeting HHS Action Plan prevention targets as demonstrated through reporting metrics outlined in the Plan.

93.731 ARRA - PREVENTION AND WELLNESS - LEVERAGING NATIONAL ORGANIZATIONS

Communities Putting Prevention to Work (CPPW)- Leveraging National Organizations

FEDERAL AGENCY:

Office of the Secretary, Department of Health and Human Services

AUTHORIZATION:

This program is authorized by the American Recovery and Reinvestment Act of 2009 (Public Health Service Act, 42 U.S. Code 241(a) and 247b (k) 2) and 42 U.S.C. 300k-1, 300 sec.1701 of the Public Health Service Act, as amended., Section 1701, 42 U.S.C 300k-1, 300.

OBJECTIVES:

Providing resources and promoting prevention efforts to ARRA Communities Putting Prevention to Work funded communities through the national organizations systems and networks. Sustaining community prevention efforts beyond Recovery Act CPPW funding; Supporting a National Prevention Media Initiative through co-branding and augmenting HHS-developed media campaigns in communities.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funds are to support reasonable program purposes including staffing, travel, supplies, and services. Grant funds may not be used for: Building alterations or renovations; Construction; Fund-raising activities; Job training; Political education and lobbying; Vocational rehabilitation. Grant funds may not be used for: Building alterations or renovations; Construction; Fund-raising activities; Job training; Political education and lobbying; Vocational rehabilitation.

Applicant Eligibility:

Must have an established (two years or longer) national outreach infrastructure with an existing focus on population based approaches such as policy, systems, and environmental change in the areas of tobacco, obesity, nutrition, and/or physical activity.

Beneficiary Eligibility:

Public and Private Non-Profit Institutions
U.S. Citizen (all ages).

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. To apply, access the announcement thru www.grants.gov. Enter the CFDA# and follow the website instructions. Applications submitted after the deadlines will not be accepted for review. The submission deadline will not be extended. Applications which do not conform to the requirements of the grant announcement will not be accepted for review and will be returned to the applicant. Applications may only be submitted electronically via www.grants.gov. Any applications submitted via any other means of electronic communication, including facsimile or electronic mail, will not be accepted for review.

Award Procedure:

Applications will be screened upon receipt. Those that are judged to be ineligible will be returned to the applicant. Accepted applications will be evaluated by a technical review panel composed of independent experts. The final funding decision will be determined by the Agency Director, who will take into consideration the recommendations of the review panel; programmatic needs, stated preferences; geographic location; and recommendations of DHHS Regional Health Coordinators. All applicants will be notified in writing of actions taken on their applications. Applications that are approved and funded will be issued A Notice of Grant Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

24 months from start date of grant award. See the following for information on how assistance is awarded/released: Payments will be made either on a monthly cash request basis or under the Electronic Transfer System. Necessary instructions for the appropriate type of payment will be issued at the time an award is made.

Reports:

Quarterly Progress reports are required and a final performance report is due 90 days following the end of the 2 year project period. NEW FINANCIAL REPORTING REQUIREMENT Federal Financial Reporting (FFR) SF 425: As of February 1, 2011, the Department of Health and Human Services (DHHS) will begin the transition from use of the SF-269, Financial Status Report (Short Form or Long Form) to the use of the SF-425 Federal Financial Report for expenditure reporting. SF-269s will no longer be accepted for expenditure reports due after that date. If an SF-269 is submitted, the Office of the Assistant Secretary for Health, Office of Grants Management will return it and require the recipient to complete the SF-425. Copies of the SF-425 can be found on the website at: http://www.whitehouse.gov/sites/default/files/omb/assets/grants_forms/SF-425.pdf

1. Grantees must submit quarterly Federal Financial Reports due on one of the standard due dates below by which cash reporting is required to be submitted to PMS or at the end of a calendar quarter. The FFR is due 30 days after the end of each calendar quarter.
2. Also, grantees must submit an annual Federal Financial Report due 90 days after the end of the budget period/project period end date.
3. Reports are to be submitted by hard copy or electronically through GrantSolutions to the assigned Grants Management Specialist in the Office of Grants Management. Please reference the CONTACTS section of the Terms and Conditions for the contact information for these individuals.
4. To determine your due dates, select the row corresponding to the month in which your Budget Period begins, e.g. a June 30 start would correspond to the 1-Jun row.

Quarterly/Annual Reporting Deadlines

Budget Period 1st	Quarter FFR Due 2nd	Quarter FFR Due 3rd	Quarter FFR Due 4th	Quarter FFR Due Annual FFR Due	Begin	End	Date	Date	Date	Date
1-Jan	31-Dec	30-Apr	30-Jul	30-Oct	30-Jan	30-Apr	30-Jul	30-Oct	30-Jan	30-Apr
1-Feb	31-Jan	30-Jul	30-Oct	30-Jan	30-Apr	30-Jul	30-Oct	30-Jan	30-Apr	30-Jul
1-Mar	28-Feb	30-Jul	30-Oct	30-Jan	30-Apr	30-Jul	30-Oct	30-Jan	30-Apr	30-Jul
1-Apr	31-Mar	30-Jul	30-Oct	30-Jan	30-Apr	30-Jul	30-Oct	30-Jan	30-Apr	30-Jul
1-May	30-Apr	30-Oct	30-Jan	30-Apr	30-Jul	30-Oct	30-Jan	30-Apr	30-Jul	30-Oct
1-Jun	31-May	30-Oct	30-Jan	30-Apr	30-Jul	30-Oct	30-Jan	30-Apr	30-Jul	30-Oct
1-Jul	30-Jun	30-Oct	30-Jan	30-Apr	30-Jul	30-Oct	30-Jan	30-Apr	30-Jul	30-Oct
1-Aug	31-Jul	30-Jan	30-Apr	30-Jul	30-Oct	30-Oct	30-Jan	30-Apr	30-Jul	30-Oct
1-Sep	31-Aug	30-Jan	30-Apr	30-Jul	30-Oct	30-Jan	30-Apr	30-Jul	30-Oct	30-Jan
1-Oct	30-Sep	30-Jan	30-Apr	30-Jul	30-Oct	30-Jan	30-Apr	30-Jul	30-Oct	30-Jan
1-Nov	31-Oct	30-Apr	30-Jul	30-Oct	30-Jan	30-Jan	30-Apr	30-Jul	30-Oct	30-Jan
1-Dec	31-Nov	30-Apr	30-Jul	30-Oct	30-Jan	30-Apr	30-Jul	30-Oct	30-Jan	30-Apr

Quarterly Progress reports are required and a final performance report is due 90 days following the end of the 2 year project period. Cash disbursement reports are due prior to receipt of funds or within designated time frame. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to a grant shall be retained for a minimum of 3 years, or longer pending completion and resolution of any audit findings.

HHS and the Comptroller General of the United States or any of their designated authorized officials shall have the right of access to any books, documents, papers, or other records of a grantee, sub-grantee, contractor, or subcontractor, which are pertinent to the HHS grant, in order to make audits, examinations, excerpts and transcripts. In accordance with 45 CFR, Part 74.53 and 45 CFR, Part 92, grantees are required to maintain grant accounting records 3 years after the end of a budget period. If any litigation, claim, negotiation, audit or other action involving the records has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0120-0-1-551.

Obligations:

(Cooperative Agreements) FY 12 \$0; FY 13 est \$0; and FY 14 est \$0 - FY10 funds only; 2 year program ended 7/14/2012.

Range and Average of Financial Assistance:

Up to \$1.5M per award; total available \$10Million.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

The Notice of Grant Award will contain and reference applicable regulations. Information on HHS Grants Policy Statement and 45 CFR Part 74 can be found here: <http://www.hhs.gov/asrt/og/aboutog/grantsnet.html>
Information on ARRA terms and conditions can be found here: <http://www.hhs.gov/recovery/grantscontracts/recoverytermsconditions.html>

Regional or Local Office:

See Regional Agency Offices. Calvin Teel
Office of The Assistant Secretary for Health
200 Independence Avenue 730F
Washington, DC 20201
202-260-8414
Calvin.Teel@hhs.gov.

Headquarters Office:

Eric C. West Tower Building Suite 550
1101 Wootton Parkway, Rockville, Maryland 20852 Email: eric.west@hhs.gov
Phone: 2404538822 Fax: 2404538823

Website Address:

<http://www.hhs.gov/recovery/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

CRITERIA FOR SELECTING PROPOSALS:
WORK PLAN (30 points)
ORGANIZATIONAL CAPACITY AND STRUCTURE (20 POINTS)
STAFFING AND MANAGEMENT PLAN (15 POINTS)
PROGRAM REPORTING REQUIREMENTS & EVALUATION PLAN (15 POINTS)
SUSTAINABILITY (10 POINTS)
BUDGET (SF 424A) AND BUDGET NARRATIVE (10 POINTS).

93.732 MENTAL AND BEHAVIORAL HEALTH EDUCATION AND TRAINING GRANTS

MBHET

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Title VII, Sections 750 and 756 of the Public Health Service Act (42 U.S.C. 294e-1), as amended by Sections 5306(a), 5002(a)(3), and 4002 of the Patient Protection and Affordable Care Act, Public Law 111-148.

OBJECTIVES:

Provide support to institutions of higher education with accredited schools or programs in social work and doctoral psychology and pre-degree internship organizations (public and private nonprofit) to recruit students and provide education and clinical experience in behavioral health. This program was funded by Prevention and Public Health Fund (PPHF) in FY 12. There are no appropriations anticipated for this program in FY 13 or FY 14.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

The MBHET program supports eligible institutions of higher education with accredited health professions training programs in social work and psychology to recruit students and provide education and clinical experience in mental and behavioral health.

Seventy-five percent of the requested funding must be distributed as stipends to

the social work and psychology graduate students to support their field placements and pre-degree internships respectively. The remaining twenty-five percent can be used to support and recruit new students interested in pursuing a clinical concentration with identified special populations; develop and implement interprofessional training; and add to existing, expand and/or foster the development of new pre-degree internship slots for PhD/PsyD psychology students or field placements for MSW students. Two applications from an institution are allowable if one application is submitted from the graduate program in psychology and the other from the graduate program in social work.

Applicant Eligibility:

Eligible applicants for the Mental and Behavioral Health Education and Training Program are accredited schools and programs of social work, and accredited doctoral psychology schools, programs and pre-degree internship organizations (public and private nonprofit). Eligible applicant institutions/organizations must be located in the United States, the District of Columbia, the Commonwealth of Puerto Rico, or the Commonwealth of the Northern Mariana Islands, the Virgin Islands, Guam, American Samoa, the Republic of Palau, the Republic of Marshall Islands or the Federated States of Micronesia. Faith-based and community-based organizations, Tribes and Tribal Organizations are eligible to apply for these funds.

Beneficiary Eligibility:

Beneficiaries of the award (student trainees) must be citizens of the United States, Nationals of the United States, or must possess a visa permitting permanent residence in the United States. Student trainees must also be enrolled in accredited master level schools or programs in social work or doctoral schools or programs of psychology and pre-degree internship organizations (public and private nonprofit).

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. Applicant institutions are required to include documentation of accreditation in their application. Social work training programs must be accredited by the Council on Social Work Education (CSWE). Masters level psychology programs must be accredited by one or more of the following accrediting bodies: Council for Accreditation of Counseling and Related Educational Programs (CACREP), Masters in Psychology and Counseling Accreditation Council (MPCAC), Commission on Accreditation for Marriage and Family Therapy Education (COAMFTE), and Council for Higher Education Accreditation (CHEA). OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. HRSA requires all applicants to apply electronically through Grants.gov.

Award Procedure:

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions. Notification is made in writing (electronic) by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

This funding opportunity provides support for a three-year project period. See the following for information on how assistance is awarded/released: Grantees draw down funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

The awardee will be required to submit annual performance and progress reports as well as status-federal financial reports (see below for general information on each required report). The awardee must submit a quarterly electronic Federal Financial Report (FFR) Cash Transaction Report via the Payment Management System within 30 days of the end of each calendar quarter. A Federal Financial Report (SF-425) is required within 90 days of the end of each budget period. A progress report must be submitted to HRSA on an annual basis. A final report is due within 90 days after the project period ends. If applicable, the awardee must submit a Tangible Personal Property Report (SF-428) and any related forms within 90 days after the project period ends. New awards (Type 1) issued under this funding opportunity announcement are subject to the reporting requirements of the Federal Funding Accountability and Transparency Act (FFATA) of 2006 (Pub. L. 109282), as amended by section 6202 of Public Law 110252, and implemented by 2 CFR Part 170. Grant and cooperative agreement recipients must report information for each first-tier subaward of \$25,000 or more in federal funds and executive total compensation for the recipients and subrecipients five most highly compensated executives as outlined in Appendix A to 2 CFR Part 170 (FFATA details are available online at <http://www.hrsa.gov/grants/ffata.html>). Competing continuation awardees, etc. may be subject to this requirement and will be so notified in the Notice of Award. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records three years after the date they submit the FSR. If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the three year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular three year period, whichever is later.

Account Identification:

75-0353-0-1-552.

Obligations:

(Project Grants) FY 12 \$9,758,907; FY 13 est \$0; and FY 14 est \$0 - Fiscal year 2012 funding is from the Prevention and Public Health Funds.

Range and Average of Financial Assistance:

FY 12 awards ranged from \$121,096 to \$480,275, with an average award of \$406,621.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable. HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>. All OMB circulars A-133, A-110, A-122 apply to this program.

Regional or Local Office:

See Regional Agency Offices. Cynthia Harne, Project Officer, Division of Public Health and Interdisciplinary Education, Bureau of Health Professions, Health Resources and Services Administration, Room 9C-26, Parklawn Building, 5600 Fishers Lane, Rockville, MD 20857. Telephone: (301) 443-8998. E-mail: charne@hrsa.gov.

Headquarters Office:

Cynthia Harne, Project Officer, Division of Public Health and Interdisciplinary Education, Office of the Director, 5600 Fishers Lane, Room 9C-26, Rockville, Maryland 20857 Phone: (301) 443-8998

Website Address:

<http://www.hrsa.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Procedures for assessing the technical merit of grant applications have been instituted to provide an objective review of applications and to assist the applicant in understanding the standards against which each application will be judged. Critical indicators have been developed for each review criterion to assist the applicant in presenting pertinent information related to that criterion and to provide the reviewer with a standard for evaluation. Competing applications are reviewed by nonfederal reviewers for technical merit recommendations. All competitive applications will be reviewed and ranked on the basis of review criteria that is used to review and rank applications. Specific review criteria can be found in the funding opportunity announcement.

93.733 CAPACITY BUILDING ASSISTANCE TO STRENGTHEN PUBLIC HEALTH IMMUNIZATION INFRASTRUCTURE AND PERFORMANCE FINANCED IN PART BY THE PREVENTION AND PUBLIC HEALTH FUND (PPHF-2012)

Immunization Program 2012 Prevention and Public Health Fund and Other Capacity-Building Activities

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

This program is authorized under Section 317 of the Public Health Service Act (42 U.S.C. Sections 247b), as amended; and the Patient Protection and Affordable Care Act (PL 111-148), Title IV, Sections 4002, (Prevention and Public Health Fund) for expanded and sustained national investment in prevention and public health programs. This program is funded in part by 2012 Prevention and Public Health Funds (PPHF-2012).

OBJECTIVES:

This program will improve the efficiency, effectiveness, and/or quality of immunization practices by strengthening the immunization information technology infrastructure, building capacity for public health department insurance billing, and expanding immunization delivery partnerships so that more children, adolescents, and adults are protected against vaccine-preventable diseases. The specific objectives may include but are not limited to:

1. Develop strategic plans for billing for immunization services in health department clinics;
2. Enhance interoperability between electronic health records (EHRs) and Immunization Information Systems (IIS) and reception of HL7 standard messages in IIS;
3. Develop a vaccine ordering module in an immunization information system that interfaces with CDC's VTrackS vaccine ordering and management system;
4. Vaccine barcode improvement to improve the system functions to capture data from 2-D barcodes on vaccine vials and syringes as well as improve data capture from the Vaccine Information Statements;
5. Improve vaccine management, storage and handling at provider and grantee level;
6. Use immunization information systems to improve adolescent vaccination coverage;

7. Conduct hepatitis B vaccination pilot to reduce the incidence of acute hepatitis B infection among adults through targeted hepatitis B vaccination of adults who present for medical care in high risk settings or who have behaviors that increase their risk of hepatitis B virus (HBV) infection;
8. Conduct school vaccination assessment evaluation to implement substantial improvements in the quality of kindergarten coverage and exemption data collected at state and local levels while building state and local area capacity;
9. Use immunization information systems to conduct small area analysis of vaccination coverage.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Project funds may be used for costs associated with planning, organizing, conducting, and supporting immunization programs directed toward vaccine-preventable diseases, and for the implementation of other program elements as described in Section 050 above and through CDC allowable and unallowable expenses.

Applicant Eligibility:

Eligibility is limited to the current 64 CDC Immunization Program grantees and some program areas have more specific criteria. Private individuals; private, nonprofit agencies; and Indian tribes are not eligible.

Beneficiary Eligibility:

Any U.S. state, political subdivision and U.S. territories (as described above), and other public entities will benefit.

Credentials/Documentation:

Applicants should document the need for assistance, state the objectives of the project, outline the method of operation, describe the evaluation procedures, and provide a budget with justification of funds. Costs will be determined in accordance with 2 CFR 225 (OMB Circular No. A-87) for state and local governments. OMB Circular No. A-87 applies to this program. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. This program is excluded from coverage under OMB Circular No. A-110. Allowable costs will be determined in accordance with OMB Circular No. A-87 for non-profit, non-governmental organizations. Applicants must download supplemental application forms from www.Grants.gov. Supplemental applications must be submitted electronically at www.Grants.gov.

If an applicant does not have access to the Internet, or if they have difficulty accessing the forms online, contact the CDC Procurement and Grants Office, Technical Information Management Section (PGO-TIMS) staff. For this, or further assistance, contact PGO-TIMS: Telephone: 770-488-2700, email: PGOTIM@cdc.gov.

HHS/CDC Telecommunications for the hearing impaired: TTY 770-488-2783.

Award Procedure:

All applications that are complete and responsive to competitive supplemental grant and cooperative agreement announcements will undergo an objective review process, receive a written critique and be scored according to published review criteria. Successful applicants will receive a Notice of Award (NoA) from the CDC Procurement and Grants Office. The NoA shall be the only binding, authorizing document between the recipient and CDC. The NoA will be signed by an authorized CDC Grants Management Officer.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Renewals will be based upon the conditions in the funding announcement and are subject to the availability of funds under Section 317 of the Public Health Service Act or under the Patient Protection and Affordable Care Act.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Financial assistance is available for a 12-month budget period and 24-month project period. Method of awarding/releasing assistance: lump sum.

Reports:

No program reports are required. No cash reports are required. The reporting schedule will be based on the requirements listed in the funding opportunity announcement. Funded applicants must provide a Monthly Progress Report within 30 days after the award and monthly thereafter. An Annual Progress Report is due 90 days after the end of the budget period. Federal Financial Reports (SF 425) and Final Progress Report are due 90 days after the end of the budget period. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), Audits of States, Local Governments, and Non-Profit Organizations, nonfederal entities that expend financial assistance of \$500,000 or more in the Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audits requirements for that year, except as noted in Circular No. A-133. Records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and General Accounting Office (GAO). The grantee is to also ensure that the sub-recipients receiving CDC funds also meet these requirements (if total Federal grant or grants funds received exceed \$500,000). The grantee should include this requirement in all sub-recipient contracts.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the program shall be retained for a minimum of 3 years, or until completion and resolution of any audit in process or pending resolution. In all cases records must be retained until resolution of any audit questions. Property records must be retained in accordance with 45 CFR 92.42.

Account Identification:

75-0943-0-1-551.

Obligations:

(Cooperative Agreements) FY 12 \$34,206,585; FY 13 Estimate Not Available; and FY 14 Estimate Not Available - No funds are planned for current fiscal year 2013. Future year funding is subject to the availability of funds.

Range and Average of Financial Assistance:

Awards will range from approximately \$25,000 to \$900,000 with an average of approximately \$300,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations governing this program are published under 42 CFR 55b. Guidelines are available for 45 CFR 92 and HHS Grants Policy statement at <http://www.ahrq.gov/fund/hhspolicy.htm>.

Regional or Local Office:

None.

Headquarters Office:

Aaron Borrelli 1600 Clifton Road, NE, MS A-19, Atlanta, Georgia 30033
Email: aborrelli@cdc.gov Phone: 4046398715

Website Address:

No Data Available

RELATED PROGRAMS:

93.268 Immunization Grants; 93.539 Prevention and Public Health Fund (Affordable Care Act) - Capacity Building Assistance to Strengthen Public Health Immunization Infrastructure and Performance

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be reviewed for completeness by the Procurement and Grants Office (PGO) staff and for responsiveness jointly by the National Center for Immunization and Respiratory Diseases and PGO. Incomplete applications and applications that are non-responsive to the eligibility criteria will not advance through the review process. Applicants will be notified if the application did not meet submission requirements.

CDC will conduct a review to evaluate complete and responsive applications according to the criteria listed in Section V. Application Review Information within the individual funding announcement. Applicants will be notified if their application did not meet program requirements.

93.734 EMPOWERING OLDER ADULTS AND ADULTS WITH DISABILITIES THROUGH CHRONIC DISEASE SELF-MANAGEMENT EDUCATION PROGRAMS FINANCED BY 2012 PREVENTION AND PUBLIC HEALTH FUNDS (PPHF-2012)

Chronic Disease Self-Management Education Programs

FEDERAL AGENCY:

Administration for Community Living, Department of Health and Human Services

AUTHORIZATION:

Title IV, Section 4002 of the Affordable Care Act (Prevention and Public Health Fund).

OBJECTIVES:

The overall purpose of this funding opportunity is to help ensure that evidence-based self-management education programs are embedded into the nations health and long-term services and supports systems. This effort will help preserve and expand the prevention program distribution and delivery systems that were developed through previous AoA grants. This funding is supportive of the HHS Strategic Framework on Multiple Chronic Conditions in bringing to scale and enhancing sustainability of evidence-based, self-management programs. It also helps to address the Healthy People 2020 objectives to increase the proportion of older adults with one or more chronic health conditions who report confidence in managing their conditions and to increase the proportion of older adults who receive Medicare benefits for Diabetes Self-Management Training. There are three components of this funding including: 1) state cooperative agreements; 2) a National Resource Center cooperative agreement; and 3) a contract for Technical Assistance on Chronic Disease Self-Management Education Initiatives and Building Business Acumen and Capacity in the Aging Network. The state cooperative agreements are designed to achieve the following two major objectives:

1. Significantly increase the number of older and/or disabled adults with chronic conditions who complete evidence-based chronic disease self-management education (CDSME) programs to maintain or improve their health status.
2. Strengthen and expand integrated, sustainable service systems within States to provide evidence-based CDSME programs. The National Resource Center has two objectives: 1. Provide leadership, expert guidance and resources to promote the value of and increase access to evidence-based self-management programs; and
2. Serve as a national clearinghouse and disseminate resources and best practices to increase the capacity of states, aging, disability and public health

networks and their partners to implement and sustain CDSME programs. The contract for technical assistance on business acumen will provide an expert to assist, at ACLs request or permission, states and groups or networks of area agencies on aging and other community based organizations (CBOs) to build their business acumen and capacity to partner or contract with health care entities (e.g., hospitals, health systems, accountable care organizations, managed/integrated care plans, Federally Qualified Health Centers, etc.) to ensure the financial sustainability of chronic disease self-management education and other evidence-based health programs.

TYPES OF ASSISTANCE:

Cooperative Agreements; Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

1. The use of these funds is to support state and Resource Center efforts to deploy evidence-based self-management education programs that empower older and/or disabled adults with chronic conditions to maintain and improve their health. State grant funds may be used to 1) implement or continue evidence-based CDSME programs, and 2) develop an integrated, sustainable service system that can be used to systematically deliver evidence-based CDSME programs, including an effective State-level aging and public health leadership, partnerships to embed CDSME programs into ongoing delivery systems, a delivery infrastructure/ capacity to provide programs throughout the State, centralized or coordinated processes for recruitment, intake, referral, registration/ enrollment, a quality assurance program and ongoing data systems and procedures, and business planning and financial sustainability activities. Funds may also be used for travel at a national grantee meeting and to meet all training, licensing, fees or other requirements, associated with the selected CDSME program to ensure compliance with all the requirements stipulated by the authorizing entity. The following activities are not fundable: Construction and/or major rehabilitation of buildings, Basic research (e.g. scientific or medical experiments), Continuation of existing projects without expansion, and Pre-award costs.

Applicant Eligibility:

Eligible applicants for the state cooperative agreements included State Units on Aging and State Public Health departments in all U.S. states and territories; however, priority consideration was given to:

The State Units on Aging and State Public Health departments within the 48 U.S. states and territories that previously received and successfully completed either an AoA Evidence-Based Disease and Disability Prevention Grant or a Recovery Act Communities Putting Prevention to Work CDSMP grant. Among the 47 Recovery Act CDSMP grantees, agencies that successfully reached their CDSMP completer target goal by 3/30/12.

Agencies that have made substantial progress towards developing sustainable financing strategies to continue to offer CDSME programs beyond the grant period.

Eligible applicants for the National Resource Center are public or private non-profit organizations with substantial demonstrated successful experience and expertise in providing leadership at the national level to state units on aging, state public health departments, area agencies on aging, and other local aging, disability, public health and community-based organizations related to the implementation and sustaining of chronic disease self-management education programs and other evidence-based programs targeted to older adults and adults with disabilities.

The technical assistance expert must have the following minimum qualifications: be a certified/registered health care practitioner (e.g., M.D., R.N., Pharm.D.) with at least 5 years experience working in a management role within the health care field; have knowledge and expertise in the aging services network, program development and business processes, opportunities afforded to the network through the Affordable Care Act, managed health care, Medicare and Medicaid, CMS reimbursement policy, and Federally Qualified Health Center operations.

Beneficiary Eligibility:

The ultimate beneficiaries of this funding opportunity are older and disabled adults with chronic conditions residing with the States and Territories. Applicants must identify and select a specific targeted population(s) including at least one low-income, minority, rural, limited English speaking or other underserved older and/or disabled group.

Credentials/Documentation:

The application must include a letter of support from the States Governor, and letter of commitment from the co-lead State Unit on Aging (SUA) or State Public Health (SPH) department. The SUA/ SPH letter of commitment should describe the departments commitment to substantive contributions in the planning and implementation of the project. The OMB Circular No. A-87 applies to this program. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Only one application will be accepted from each state or territory and a letter of support from the Governor and letter of commitment from the co-lead State agency must be included with the application. Applications must include a description of existing partnerships including those with agencies that have multiple delivery sites, the involvement of the Aging Network (area agencies on aging, Aging and Disability Resource Centers, senior centers and other provider agencies) and other local agencies, existing partnerships and statewide systems that provide community-based services and supports to older and/or disabled adults, and how this effort will be coordinated and/or integrated with existing CDSME program licensed organizations and other community-based prevention programs being delivered to older and disabled adults in the State. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Grant applications for Federal assistance (Nonconstruction programs) are in accordance with program announcements developed by the Administration for Community Living. Application materials will be published on the Grants.gov website (<http://www.grants.gov>) or the ACL website (www.acl.gov). ACL requires applications for all announcements to be submitted electronically through www.grants.gov.

Award Procedure:

Notification of Award will be issued to the grantee.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. Please consult www.ACL.gov for future Program Announcements.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Cooperative agreements will be made for a 3 year period, depending upon funding availability. Grant extensions are available and may be requested from the grantees Project Officer. The method of awarding/ releasing assistance is by letter of credit. Method of awarding/releasing assistance: by letter of credit.

Reports:

Grantees will be expected to report on the numbers of workshops, sites, participants and completers. Effective March 1, 2011, ACL requires the submission of the SF-425 (Federal Financial Report). The reporting cycle will be reflected in the Notice of Award. The ACL program progress report is due semi-annually from the start date of the award and is due within 30 days of the reporting period end date. The final progress report and SF-425 reports are due 90 days after the end of the project period. The suggested content and format for these reports will be available to grantees post award administration. Grantees are required to complete the federal cash transactions portion of the SF-425 within the Payment Managements System as identified in their award documents for the calendar quarters ending 3/31, 6/30, 9/30, and 12/31 through the life of their award. In addition, the fully completed SF-425 will be required

as denoted in the Notice of Award terms and conditions. Grantees are also expected to comply with the semi-annual reporting requirements related to the Prevention and Public Health Fund. Grantees are required to complete the federal cash transactions portion of the SF-425 within the Payment Managements System. Semi-annual progress reports will be required. The PPHF requires quarterly reporting on cash expenditures. Grantees will be expected to develop a quality assurance plan including performance indicators and a process for ongoing performance monitoring.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records must be maintained for 3 years after submission of the final financial report.

Account Identification:

75-0142-0-1-506.

Obligations:

(Salaries) FY 12 \$9,585,320; FY 13 est \$6,833,404; and FY 14 est \$10,000,000 - \$200,000 \$575,000 per year for state grants
\$790,000 - \$1M for Resource Center
\$100,000 for technical assistance contract - Task Order Through GSA MOBIS SIN 874-1 Fixed Price.

Range and Average of Financial Assistance:

\$200,000 \$575,000 per year for state grants
\$790,000 - \$1M for Resource Center
\$100,000 for technical assistance contract.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

All grantees must comply with all requirements specified for grants funded through the Prevention and Public Health fund. Grantees also must comply with polices outlined in HHS Grants Policy Statement located at <http://www.hhs.gov/asfr/ogapa/grantinformation/hhsgps107.pdf>

Regional or Local Office:

None. U.S. Department of Health and Human Services
Administration for Community Living
Washington, DC 20201
Attn: Michele Boutaugh
email: michele.boutaugh@acl.hhs.gov.

Headquarters Office:

Michele Boutaugh U.S. Department of Health and Human Services
Administration for Community Living
One Massachusetts Avenue, NW, Washington, District of Columbia 20201
Email: Michele.Boutaugh@acl.hhs.gov Phone: 404-562-7578

Website Address:

<http://www.acl.gov>

RELATED PROGRAMS:

93.048 Special Programs for the Aging Title IV and Title II Discretionary Projects

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Cooperative agreements will be awarded on a competitive basis. Specific details for evaluating applications are published as part of the program announcement. Major emphases are: 1) documented need, 2) expected reach, outcomes and impact, 3) organizational capacity, 4) coordination and partnerships, and 5) level of integration with the states long term supports and

services and health systems.

93.735 STATE PUBLIC HEALTH APPROACHES FOR ENSURING QUITLINE CAPACITY FUNDED IN PART BY 2012 PREVENTION AND PUBLIC HEALTH FUNDS (PPHF-2012)

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Consolidated Appropriations Act, Fiscal Year 2012, Public Law 112-74, for the Departments of Labor, Health and Human Services, and Education and the Department of Interior and Related Agencies; and the Consolidated and Further Continuing Appropriations Act, Fiscal Year 2012, Public Law 112-55 for the United States Department of Agriculture, and Related Agencies. Title IV Section 4002 Prevention and Public Health Fund.

OBJECTIVES:

As part of the overall effort to reduce the burden of chronic diseases and chronic disease risk factors, the Centers for Disease Control and Prevention (CDC), National Center for Chronic Disease Prevention and Health Promotion (NCCDPHP), Office on Smoking and Health (OSH) announces the opportunity to apply for funds to ensure and support state quitline capacity, in order to respond to upcoming federal initiatives such as the National Tobacco Education Campaign. <http://www.cdc.gov/tobacco>. This program addresses the Healthy People 2020 focus area of tobacco use and the goal of reducing illness, disability, and death related to tobacco use and secondhand smoke exposure. Preventing tobacco use and helping tobacco users quit can improve the health and quality of life for Americans of all ages. People who stop smoking greatly reduce their risk of disease and premature death. Benefits are greater for people who stop at earlier ages, but quitting tobacco use is beneficial at any age. This FOA will be a new, 2-year cooperative agreement for all states and territories that currently have a quitline. Funds will be used for the following:

- Address the anticipated increase in calls

- Expand capacity and eligibility to ensure all callers receive some form of assistance

- Increase efficiencies of quitline operations, such as demonstrating how they will connect to or incorporate an interactive voice recording system (IVR) at the state or federal level

- Incorporate technological enhancements to provide additional forms of assistance to callers who want to quit

- Expand paid and earned media to promote the quitline and increase quit attempts

- Develop and/or implement private/public partnerships or other strategies to sustain quitline capacity.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Of the \$22 million dollars will be used to support intensive community approaches to chronic disease prevention and control described in Section 0.50 above. The use of and use restrictions are as follows:

- Recipients may not use funds for research.

- Recipients may not use funds for clinical care.

- Recipients may only expend funds for reasonable program purposes, including personnel, travel, supplies, and services, such as contractual.

- Awardees may not generally use HHS/CDC/ATSDR funding for the purchase of furniture or equipment. Any such proposed spending must be identified in the budget.

- The direct and primary recipient in a cooperative agreement program must perform a substantial role in carrying out project objectives and not merely serve as a conduit for an award to another party or provider who is ineligible.

- Reimbursement of pre-award costs is not allowed.

- Funds will not be used to supplant existing state funding for quitlines

- A maximum of 10% of funds may be spent on Nicotine Replacement Therapy (NRT), and NRT cannot be provided to any individual for greater than two weeks using these funds.

Applicant Eligibility:

Eligible applicants that can apply for this funding opportunity are listed below:

Eligibility is limited to currently funded recipients under RFA-DP09-901 and RFA-DP09-902. These include state, District of Columbia, and the U.S. territorial health departments of Guam and Puerto Rico because they are the only entities with the authority to prevent and control tobacco use and which provide quitline services within the states and territories. State and territorial health departments are also uniquely qualified to address the anticipated increase in calls to quitlines due to federal media education campaigns, while expanding capacity and eligibility to ensure all callers receive some form of assistance. These agencies are also uniquely qualified to promote the quitline, increase quit attempts, and increase public and private partnerships to ensure quitline sustainability.

Beneficiary Eligibility:

Any State and territorial health department, and other public entities will benefit.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. This program is covered under OMB Circular No. A-102. Applicants must download the SF424 application forms through Grants.gov/Apply.

Only the forms package directly attached to a specific Funding Opportunity Announcement (FOA) can be used. If an applicant does not have access to the Internet, or if they have difficulty accessing the forms online, contact the CDC Procurement and Grants Office Technical Information Management Section (PGOTIMS) staff. For this, or further assistance, contact PGO TIMS: Telephone (770) 488-2700, Email: PGOTIM@cdc.gov. HHS/CDC Telecommunications for the hearing impaired: TTY 770-488-2783.

Award Procedure:

Eligible applicants are required to provide measures of effectiveness that will demonstrate the accomplishment of the various identified objectives of the DP12-1214PPHF12.. Measures of effectiveness must relate to the performance goals stated in the Purpose section of this announcement. Measures of effectiveness must be objective, quantitative and measure the intended outcome of the proposed program. The measures of effectiveness must be included in the application and will be an element of the evaluation of the submitted application. All applications that are complete and responsive to this competitive funding opportunity announcement will be evaluated for programmatic and technical merit. Applications will be reviewed for completeness by the Procurement and Grants Office (PGO) staff and for responsiveness jointly by the National Center for Chronic Disease Prevention and Health Promotion and PGO. Incomplete applications and applications that are non-responsive to the eligibility criteria will not advance through the review process. Applicants were notified the application did not meet submission requirements. Technical reviews will be conducted on complete and responsive applications according to the evaluation criteria listed in funding opportunity announcement DP12-1214PPHF12. Successful applicants will receive a Notice of Award (NOA) from the CDC Procurement and Grants Office. The NOA shall be the only binding, authorizing document between the recipient and CDC. The NOA will be signed by an authorized Grants Management Officer.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Renewals will be based upon the availability of funding under the Public Health

Prevention Fund.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

until June 24, 2014. See the following for information on how assistance is awarded/released: Notice of Award.

Reports:

Each funded applicant must provide CDC with an annual Interim Progress Report submitted via www.grants.gov:

1. The interim progress report is due no less than 120 days before the end of the budget period. The Interim Progress Report will serve as the non-competing continuation application, and must contain the following elements:

- a. Standard Form (SF) 424S Form.
- b. SF-424A Budget Information-Non-Construction Programs.
- c. Budget Narrative.
- d. Indirect Cost Rate Agreement.
- e. Project Narrative.

Additionally, funded applicants must provide CDC with an original, plus two hard copies of the following reports: Annual progress report, due 90 days after the end of the budget period. Information needed in an annual report includes:

- 1 Progress towards objectives.
- 2 Successes.
- 3 Barriers encountered.
- 4 Technical Assistance and training requested.

Final performance and Federal Financial Reports (SF 425)*, no more than 120 days after the end of each budget year. Cash reports are not applicable. Each funded applicant must provide CDC with an annual Interim Progress Report submitted via www.grants.gov:

1. The interim progress report is due no less than 120 days before the end of the budget period. The Interim Progress Report will serve as the non-competing continuation application, and must contain the following elements:

- a. Standard Form (SF) 424S Form.
- b. SF-424A Budget Information-Non-Construction Programs.
- c. Budget Narrative.
- d. Indirect Cost Rate Agreement.
- e. Project Narrative.

Additionally, funded applicants must provide CDC with an original, plus two hard copies of the following reports: Annual progress report, due 90 days after the end of the budget period. Information needed in an annual report includes:

- 1 Progress towards objectives.
- 2 Successes.
- 3 Barriers encountered.
- 4 Technical Assistance and training requested.

Final performance and Federal Financial Reports (SF 425)*, no more than 120 days after the end of each budget year. Federal Financial Reports (SF 425)*, no more than 120 days after the end of each budget year. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the grant program must be kept readily available for review by personnel authorized to examine PHS grant accounts. Financial records, supporting documentation, statistical records, and all other records pertinent to an award shall be retained for a minimum of 3 years, or until completion and resolution of any audit in process or pending resolution. In all cases records must be retained until resolution of any audit questions. Property records must be retained in accordance with 45 CFR 92.42 requirements.

Account Identification:

75-0943-0-1-551.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 12 \$21,045,024; FY 13 est \$15,613,167; and FY 14 est \$0 - FY2013 DP12-1214 PPHF \$15,613,166.00.

Range and Average of Financial Assistance:

\$50,000-\$2,771,803.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: This is a new program and therefore there are no accomplishments at this time. Fiscal Year 2013: No Current Data Available
Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

www.cdc.gov/tobacco

Regional or Local Office:

None.

Headquarters Office:

Karla Sneegas 4770 Buford Hwy. NE, Mailstop K-50

, Atlanta, Georgia 30341 Email: KSneegas@cdc.gov Phone: 770-488-1221

Website Address:

<http://www.cdc.gov/>; and www.Grants.gov

RELATED PROGRAMS:

93.283 Centers for Disease Control and Prevention_ Investigations and Technical Assistance

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applicants will be reviewed on the following criteria.

- I. Executive Summary
 - II. Background and Need
 - III. Program Infrastructure
 - IV. Program Plan : Quitline Capacity, Media, and/or Public and Private Partnerships Recipient Activities
 - V. Monitoring and Evaluation
 - VI. Budget- Should be included in application appendices
- Other criteria will be listed in individual funding opportunity announcements.

93.736 PREVENTION PUBLIC HEALTH FUND 2012: VIRAL HEPATITIS PREVENTION

Viral Hepatitis Prevention, Screening, Linkage to Care and Education

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Sections 301(a) and 317N of the Public Health Service Act (42 U.S.C. Section 241(a) and 247b-15) as amended; and Prevention and Public Health Fund (PPHF).

OBJECTIVES:

Program activities under this funding will allow for CDC to partner with multiple organizations to benefit individuals by substantially reducing viral hepatitis transmission, identifying those that are chronically infected and linking infected individuals with treatment if appropriate. Specifically, the program activities may include but are not limited to:

- 1. Increasing the proportion of persons living with HCV and HBV who are aware of their infection and referred for prevention and clinical care services.
- 2. Expanding and improving efforts to develop and disseminate viral hepatitis training and educational materials targeting the public and private sector health care professionals to build capacity to assess, test and medically manage populations at risk for chronic HCV and HBV infection.

3. Supporting state and local health departments and other organizations in linking HCV infected persons to community health centers, clinics serving injection drug users, and other health organizations seeing individuals potentially infected with chronic viral hepatitis.

4. Additional support will be provided for program monitoring, evaluation, capacity building, and technical assistance.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funds may be used for costs associated with establishing and maintaining a prevention and control program directed towards prevention of viral hepatitis infections and its manifestations. Recipients may only expend funds for reasonable policies, systems and program purposes including personnel, travel, supplies and services, such as reducing transmission of HBV and HCV, preventing and delaying the progress of chronic infection, as well as education and training of the general public and health care providers. Recipients may not use funds for clinical care. Recipients may not generally use funding for the purchase of furniture or equipment. Any such proposed spending must be identified in the budget. The recipient must perform a substantial role in carrying out project objectives and not merely serve as a conduit for an award in another party or provider who is eligible.

Applicant Eligibility:

Applicants include State or local governments or their Bona Fide Agents, public and private nonprofit organizations, for profit organizations, small, minority, women-owned businesses, universities, colleges, research institutions, hospitals, community-based organizations, faith based organizations, Federally recognized Indian tribal governments, Indian tribes, and Indian tribal organizations. Additional guidance may be provided in individual program announcement.

Beneficiary Eligibility:

The individual will benefit from the objectives of this program.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applicants must download application forms from www.Grants.gov. Applications must be submitted electronically at www.Grants.gov. If an applicant does not have access to the Internet, or if they have difficulty accessing the forms on-line, contact the CDC Procurement and Grants Office Technical Information Management Section (PGO-TIMS) staff. For this, or further assistance, contact PGO-TIMS: Telephone (770) 488-2700, Email: PGOTIM@cdc.gov

Award Procedure:

Successful applicants will receive a Notice of Award (NOA) from the CDC Procurement and Grants Office. The NOA shall be the only binding, authorizing document between the recipient and CDC. The NOA will be signed by an authorized Grants Management Officer and emailed to the program director and a hard copy mailed to the recipient fiscal officer identified in the application.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days.

Appeals:

Not Applicable.

Renewals:

Renewals will be based upon the conditions in the funding announcement and are subject to the availability of funds under the Patient Protection and Affordable Care Act.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Project period is for 1 year with 12-month budget period. See the following for information on how assistance is awarded/released: Assistance is awarded through Notice of Grant Awards to funded applicants.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

Financial records, supporting documents, statistical records, and all other reports pertinent to the program shall be retained for a minimum of 3 years, or until completion and resolution of any audit in process or pending resolution. In all cases records must be retained until resolution of any audit questions. Property records must be retained in accordance with 45 CFR 92.42.

Account Identification:

09-2950-2-0-121 - Direct Category A funding:Viral Hepatitis.

Obligations:

(Salaries) FY 12 \$6,500,000; FY 13 est \$0; and FY 14 est \$0 - This funding is PPHF funding for 1 year only, for FY 2012. There were 42 awards made in FY 2012, and this project has been continued in FY 2013 under another project, CFDA number 93.270.

Range and Average of Financial Assistance:

Awards will range from approximately \$30,000 to \$500,000 with an average of \$150,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations governing this program are published under 42 CFR 55b. Guidelines are available. 45 CFR 92, and also HHS Grants Policy Statement at <http://www.ahrq.gov/fund/hhsolicy.htm>.

Regional or Local Office:

None. Viral Hepatitis Program Contact: Jeffrey L. Efirid, MPA, Deputy Director, Division of Viral Hepatitis, National Center for HIV, Viral Hepatitis, STD, and TB Prevention, Centers for Disease Control and Prevention, Department of Health and Human Services, 1600 Clifton Road, Mailstop G-37, Atlanta, Georgia, 30333. Email: jle1@cdc.gov

Grants Management Contact: Carla Harper, Grants Management Officer, Department of Health and Human Services, CDC Procurement and Grants Office, 2920 Brandywine Road, MS E-15, Atlanta, GA 30341, Telephone 770-488-2439, Email: CHarper@cdc.gov.

Headquarters Office:

Elizabeth Wolfe 1600 Clifton Road, NE, Mailstop E-07, Atlanta, Georgia 30333 Email: eow1@cdc.gov Phone: (404) 639-8531

Website Address:

<http://www.Grants.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Applications will be reviewed for completeness by the Procurement and Grants

Office (PGO) staff and for responsiveness jointly by Division of Viral Hepatitis (DVH), NCHHSTP. Incomplete applications and applications that are non-responsive to the eligibility criteria will not advance through the review process. Applicant will be notified that the application did not meet submission requirements.

CDC will conduct a review to evaluate complete and responsive applications according to the criteria listed in Section V. Application and Submission information, subsection Evaluation Criteria within the individual funding opportunity announcement.

**93.737 PPHF 2012: COMMUNITY TRANSFORMATION GRANTS
-SMALL COMMUNITIES PROGRAM FINANCED SOLELY BY 2012
PUBLIC PREVENTION AND HEALTH FUNDS**

Community Transformation Grants Small Communities Program

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Consolidated Appropriations Act, Fiscal Year 2012, Public Law 112-74, for the Departments of Labor, Health and Human Services, and Education and the Department of Interior and Related Agencies; and the Consolidated and Further Continuing Appropriations Act, Fiscal Year 2012, Public Law 112-55 for the United States Department of Agriculture, and Related Agencies. Title IV Section 4002 Prevention and Public Health Fund.

OBJECTIVES:

The overarching purpose of this program is to prevent heart attack, stroke, cancer, diabetes and other leading chronic disease-related causes of death or disability through evidence and practice-based policy, environmental, programmatic, and infrastructure changes in small communities that improve health and health behaviors among an intervention population. This program aims to achieve this by creating healthier communities through funding to governmental and nongovernmental agencies and organizations, from multiple sectors, to take actions that align with their mission and ongoing responsibility in partnership with agencies and organizations in other sectors, to enhance the health of the people they serve. This FOA will support key evidence- and practice-based policy, environmental, programmatic and infrastructure changes, in small communities (populations less than 500,000), and tribes, including in rural and frontier areas, to achieve demonstrated progress in one or more the following five outcome measures outlined in the Affordable Care Act: 1) changes in weight, 2) changes in proper nutrition, 3) changes in physical activity, 4) changes in tobacco use prevalence, and 5) changes in emotional well-being and overall mental health, as well as other program-specific measures related to local CTIP performance monitoring and evaluation. Recipients must measure and demonstrate changes in the outcome measures they choose to address. Progress toward the grantee selected ACA outcome measures must be assessed during each year of funding. A report describing the status of the selected measures addressed under this grant must be submitted to CDC in the first and second year of this grant award.

More specific goals within these broad goal areas will be provided in funding.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funds may be used to support intensive approaches to chronic disease prevention and control described in Section 0.50 above. The use of and use restrictions are as follows: Recipients may only expend funds for reasonable policies, systems and environmental program purposes, including personnel, travel, supplies, and services, such as contractual to reduce risk factors, prevent and delay chronic disease. Recipients may not use funds for research. Recipients may not use funds for clinical care. Recipients may not generally use funding for the purchase of furniture or equipment. Any such proposed spending must be identified in the budget. The recipient must perform a substantial role in carrying out project objectives and not merely serve as a conduit for an award to another party or provider who is ineligible. Funds may be used to support intensive approaches to chronic disease prevention and control described in Section 0.50 above. The use of and use restrictions are

as follows: Recipients may only expend funds for reasonable policies, systems and environmental program purposes, including personnel, travel, supplies, and services, such as contractual to reduce risk factors, prevent and delay chronic disease. Recipients may not use funds for research. Recipients may not use funds for clinical care. Recipients may not generally use funding for the purchase of furniture or equipment. Any such proposed spending must be identified in the budget. The recipient must perform a substantial role in carrying out project objectives and not merely serve as a conduit for an award to another party or provider who is ineligible.

Applicant Eligibility:

Applications may be submitted Governmental agencies and non-governmental organizations. This includes, but is not limited to, school districts, local housing authorities, local transportation authorities, health departments, planning and economic development agencies, non-profit and community based organizations, area aging agencies, cooperative extension agencies, and others. Federally recognized American Indian Tribes and Alaska Native Villages. Tribal organizations, which include Intertribal Councils and American Indian Health Boards which meet the definition set forth in 25 U.S.C. Section 1603(e) and are under a resolution that such organizations, councils, and boards represent the underlying tribes. Urban Indian Health Programs, tribal and intertribal consortia that meet the definition set forth in 25 U.S.C. Section 1603(f) or 1603(g).

Beneficiary Eligibility:

The general public will benefit from the objectives of this program.

Credentials/Documentation:

Applicants must document the need for assistance, state the objectives of the project, outline the method of operation, describe the evaluation procedures and provide a budget justification for funds requested. Costs for nonprofit recipients will be determined in accordance with HHS Regulations, 45 CFR Part 74, Subpart Q. This program is excluded from coverage under OMB Circular No. A-87. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program.

Award Procedure:

An objective review panel will evaluate complete and responsive applications according to the evaluation criteria listed in funding opportunity announcement. Successful applicants will receive a Notice of Award (NOA) from the CDC Procurement and Grants Office. The NOA shall be the only binding, authorizing document between the recipient and CDC. The NOA will be signed by an authorized Grants Management Officer.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days.

Appeals:

Not Applicable.

Renewals:

Renewals will be based upon the availability of funding and satisfactory programmatic progress. Project period is for 2 years with 24 month budget periods. Applications must be obtained from Grants Management Officer, Grants Management Branch, Procurement and Grants Office, Centers for Disease Control and Prevention. Telephone: (770 488-2700).

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Project period is for 2 years with 24 -month budget periods. Method of awarding/releasing assistance: lump sum.

Reports:

Annual or semiannual progress reports are required. Final financial status and performance reports are required 90 days after the end of a project period. No cash reports are required. Progress reports are not applicable. FFR due 90 days after the end of budget period. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the grant program must be kept readily available for review by personnel authorized to examine PHS grant accounts. Financial records, supporting documentation, statistical records, and all other records pertinent to an award shall be retained for a minimum of 3 years, or until completion and resolution of any audit in process or pending resolution. In all cases records must be retained until resolution of any audit questions. Property records must be retained in accordance with 45 CFR 92.42 requirements.

Account Identification:

75-0094-3-1-550.

Obligations:

(Cooperative Agreements) FY 12 \$70,000,000; FY 13 est \$0; and FY 14 est \$0 - This is a 24 project and budget period.

Range and Average of Financial Assistance:

\$200,000 - \$9,999,980.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Code of Federal Regulations 45 CFR Part 92; Code of Federal Regulations 45 CFR Part 74.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Rebecca Bunnell 4770 Buford Hwy, NE, MS K40, NCCDHP, Atlanta, Georgia 30333 Email: rrb7@cdc.gov Phone: 770-488-2524 Fax: 770-488-5964

Website Address:

<http://www.cdc.gov>

RELATED PROGRAMS:

93.283 Centers for Disease Control and Prevention_ Investigations and Technical Assistance

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

All applicants must be able to demonstrate the health impact of the program on the intervention population. Monitoring of the impact of the programs is mandated under Section 4201(c) (4) of the ACA, which requires that eligible entities must use funding to conduct activities to measure changes in the prevalence of chronic disease. This may take the form of an assessment of one or more of the five outcome measures in the intervention population at the beginning and end of the project period. Additional criteria will be listed in individual funding opportunity announcements.

93.738 PPHF 2012: RACIAL AND ETHNIC APPROACHES TO COMMUNITY HEALTH PROGRAM FINANCED SOLELY BY 2012 PUBLIC PREVENTION AND HEALTH FUNDS

Racial and Ethnic Approaches to Community Health (REACH)

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

This program is authorized under section 301(a) and 317(k)(2) Public Health Service Act, 42 U.S. Code 241(a) and 247b (k) and Title IV Section 4002 of the Affordable Care Act (PPHF).

OBJECTIVES:

The purpose of the proposed FY2012 REACH program is to support the implementation of projects to reduce racial and ethnic health disparities. Program activities will focus on prevention of chronic diseases and conditions across multiple identified health areas, including: cardiovascular disease, diabetes, breast and cervical cancer, infant mortality, asthma, and child and adult immunizations. The program will build upon the body of knowledge from previous REACH programs. The program is designed to fund national organizations and community-based organizations to implement sustainable evidence- and practice-based strategies in targeted populations. Plans for FY2012 advance REACHs past and successes, provide rigorous evaluation and ensure that the practice-based evidence expands. More specific goals within these broad goal areas will be provided in funding announcements.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funds may be used to support intensive approaches to chronic disease prevention and control described in Section 0.50 above. The use of and use restrictions are as follows:

Recipients may only expend funds for reasonable policies, systems and environmental program purposes, including personnel, travel, supplies, and services, such as contractual to reduce risk factors, prevent and delay chronic disease.

Recipients may not use funds for research.

Recipients may not use funds for clinical care.

Recipients may not generally use funding for the purchase of furniture or equipment. Any such proposed spending must be identified in the budget.

The recipient must perform a substantial role in carrying out project objectives and not merely serve as a conduit for an award to another party or provider who is ineligible.

Recipients may not use funds for construction.

Applicant Eligibility:

Applications may be submitted by State or local governments or their Bona Fide Agents (this includes the District of Columbia, the Commonwealth of Puerto Rico, the Virgin Islands, the Commonwealth of the Northern Mariana Islands, American Samoa, Guam, the Federated States of Micronesia, the Republic of the Marshall Islands, and the Republic of Palau). Eligible applicants also include public and private nonprofit organizations, for profit organizations, small, minority, women-owned businesses, universities, colleges, research institutions, hospitals, community-based organizations, faith-based organizations, Federally recognized Indian tribal governments, Indian tribes, and Indian tribal organizations. Additional guidance may be provided in individual program announcements.

Beneficiary Eligibility:

The general public will benefit from the objectives of this program. Additionally, colleges, universities, private non-profit and public nonprofit domestic organizations, research institutions, and faith-based organization, states, political subdivisions of states, local health authorities, and individuals or organizations with specialized health interests will benefit.

Credentials/Documentation:

Applicants must document the need for assistance, state the objectives of the project, outline the method of operation, describe the evaluation procedures and provide a budget justification for funds requested. Costs for nonprofit recipients

will be determined in accordance with HHS Regulations, 45 CFR Part 74, Subpart Q. This program is covered under OMB Circular No. A-87. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Pre-application coordination is not required. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Award Procedure:

An objective review panel will evaluate complete and responsive applications according to the evaluation criteria listed in funding opportunity announcement. Successful applicants will receive a Notice of Award (NOA) from the CDC Procurement and Grants Office. The NOA shall be the only binding, authorizing document between the recipient and CDC. The NOA will be signed by an authorized Grants Management Officer.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days.

Appeals:

Not Applicable.

Renewals:

Renewals will be based upon the availability of funding and satisfactory programmatic progress. Project period is for 5 years with a 12 month budget period. Applications must be obtained from Grants Management Officer, Grants Management Branch, Procurement and Grants Office, Centers for Disease Control and Prevention. Telephone: (770) 488-2700.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Project period is for five years with a 12-month budget period. See the following for information on how assistance is awarded/released: Notice of Award.

Reports:

performance reports are required 90 days after the end of a project period. Cash reports are not applicable. Annual or semiannual progress reports are required. and. Final financial status required 90 days after the end of a project period. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Financial records, supporting documents, statistical records, and all other

records pertinent to the grant program must be kept readily available for review by personnel authorized to examine PHS grant accounts. Financial records, supporting documentation, statistical records, and all other records pertinent to an award shall be retained for a minimum of 3 years, or until completion and resolution of any audit in process or pending resolution. In all cases records must be retained until resolution of any audit questions. Property records must be retained in accordance with 45 CFR 92.42 requirements.

Account Identification:

75-0943-0-1-550.

Obligations:

(Cooperative Agreements) FY 12 \$21,953,412; FY 13 est \$1,800,000; and FY 14 est \$0 - FY13 PPHF funding was not available 6 grantees awarded 300,000 a piece for orderly close out.

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Code of Federal Regulations 45 CFR Part 74.

Regional or Local Office:

None.

Headquarters Office:

Sandee Coulberson 4770 Buford Highway, NE., Mailstop K30, Atlanta, Georgia 30333 Email: scoulberson@cdc.gov Phone: 404-498-3058

Website Address:

<http://www.cdc.gov>

RELATED PROGRAMS:

93.283 Centers for Disease Control and Prevention_Investigations and Technical Assistance

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.739 PPHF2012: CHRONIC DISEASE INOVATION GRANTS - FINANCED SOLEY BY 2012 PUBLIC PREVENTION HEALTH FUNDS

National Diabetes Prevention Program Evidence-Based Lifestyle Intervention to Prevent Type 2 Diabetes in Underserved Communities

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

This program is authorized under the Patient Protection and Affordable Care Act of 2010, 4201 (P.L. 111-148) and title IV Section 4002 of the Affordable Care Act (PPHF).

OBJECTIVES:

National Diabetes Prevention Program Evidence-Based Lifestyle Intervention to Prevent Type 2 Diabetes in Underserved Communities - The purpose of the program is to expand the National Diabetes Prevention Program, an evidence-based lifestyle change program in populations at high-risk for developing type 2 diabetes (African American; American Indian/Alaska Native; Hispanic/Latino, Low Social Economic Status; Women with a history of Gestational Diabetes). The CDC-led National Diabetes Prevention Program (National DPP) is a public-private partnership of community organizations, private insurers, employers, health care organizations, and government agencies working to deliver the type 2 diabetes prevention lifestyle change program. It is an innovative example of linking the community and clinical sectors to cost-effectively improve health outcomes. The National DPP is focused on aligning incentives since third-party payers reimburse for health outcomes. Economic studies indicate that lifestyle change programs (costing about \$400 per person) are cost effective and even cost saving by reducing the cost of treating diabetes and its complications prevented by the program. These

favorable costs are the result of employing a lower cost workforce, delivering the program in groups, and consistency in program content. The award recipients will be expected to develop, implement, market, and evaluate a comprehensive plan for scaling the National DPP lifestyle change program in select communities. The awardees will identify and engage organizations and/or employers that have capacity to offer the National DPP lifestyle change program and facilitate the establishment of the National DPP lifestyle change program, in collaboration with these organizations and/or employers. Awardees will also work with insurance companies to reimburse organizations offering the National DPP lifestyle change program through this cooperative agreement and work with employers and insurers to offer the National DPP lifestyle change program using pay for performance model. Also, they may work with employers to offer the National DPP lifestyle change program as a covered benefit for employees. The awardees will be expected to develop, implement and evaluate marketing and communications strategies to promote the National DPP lifestyle change program, educate health care providers about the program and encourage provider referrals to National DPP lifestyle change programs.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funds may be used to support scaling the National DPP lifestyle change programs in select communities described in Section 050 above. The use of and use restrictions are as follows:

Recipients may not use funds for research.

Recipients may not use funds for the provision of health care services.

Recipients may not generally use funding for the purchase of furniture or equipment. Any such proposed spending must be identified in the budget.

The recipient must perform a substantial role in carrying out project objectives and not merely serve as a conduit for an award to another party or provider who is ineligible.

Recipients may not use funds for construction.

Applicant Eligibility:

Eligible Applicants: Nonprofits with 501(c)(3) IRS Status (Other than Institutions of Higher Education); For-Profit Organizations; Small, minority, and women-owned businesses; Indian/Native American Tribal Governments (Federally Recognized); Hospitals; Regional Organizations; Faith-based or Community-based Organizations.

Beneficiary Eligibility:

The general public will benefit from the objectives of this program. More specifically, populations at high-risk for developing type 2 diabetes (African American; American Indian/Alaska Native; Hispanic/Latino, Low Social Economic Status; Women with a history of Gestational Diabetes) will benefit from the objectives of this program.

Credentials/Documentation:

Applicants must document the need for assistance, state the objectives of the project, outline the methods of operation, describe the evaluation procedures and provide a budget justification for funds requested. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. OMB Circular No. A-102 applies to this program; OMB Circular No. A-110 applies to this program; 45 CFR Part 74 applies to

this program for hospitals, other nonprofit; organizations, and commercial organizations; 45 CFR Part 92 applies to this program for awards for tribal governments.

Award Procedure:

All eligible applications will be initially reviewed for completeness by the Procurement and Grants Office (PGO) staff. Incomplete applications will not advance through the review process. Applicants will be notified if the application did not meet eligibility and/or published submission requirements. An objective review panel will evaluate complete and responsive applications according to the evaluation criteria listed in funding opportunity announcement. Successful applicants will receive a Notice of Award (NOA) from the CDC Procurement and Grants Office. The NOA shall be the only binding, authorizing document between the recipient and CDC. The NOA will be signed by an authorized Grants Management Officer.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days.

Appeals:

Not Applicable.

Renewals:

> 180 Days. Subject to satisfactory performance as documented through semi-annual progress reports, Project Officer oversight documentation and availability of funds.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. This program has no matching requirements.

This program does not have MOE requirements. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Project periods is for 4 years with 12-month budget periods. See the following for information on how assistance is awarded/released: Assistance is awarded/released as Notice of Award.

Reports:

Not Applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the grant program must be kept readily available for review by personnel authorized to examine PHS grant accounts. Financial records, supporting documentation, statistical records, and all other records pertinent to an award shall be retained for a minimum of 3 years, or until completion and resolution of any audit in process or pending resolution. In all cases records must be retained until resolution of any audit questions. Property records must be retained in accordance with 45 CFR 92.42 requirements.

Account Identification:

75-0094-3-1-550.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 12 \$6,755,060; FY 13 est \$0; and FY 14 est \$0 - The specific amount of funding per award will be determined by proposed activities, reach, burden of disease, quality of application, and likelihood of success.

Successful applicants serving populations within the same geographic area may

be expected to communicate with other successful applicants, to prevent duplication of effort. Throughout the project period, CDC's commitment to continuation of awards will be conditioned on the availability of funds, evidence of satisfactory progress by the recipient (as documented in required reports), and the determination that continued funding is in the best interest of the Federal government.

FY13-funding for this project was completed with Basic Appropriation and another CFDA was assigned to support FY13.

Range and Average of Financial Assistance:

\$750,000 to \$2,000,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Code of Federal Regulations 45 CFR Part 92 and 74

Regional or Local Office:

None.

Headquarters Office:

Debra Toress 4770 Buford Hwy, NE, MS K10, NCCDPHP, Atlanta, Georgia 30333 Email: djt4@cdc.gov Phone: 770-488-1097 Fax: 770-488-8550

Website Address:

<http://www.cdc.gov>

RELATED PROGRAMS:

93.283 Centers for Disease Control and Prevention_Investigations and Technical Assistance

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be funded in order by score and rank determined by the review panel. Other criteria will be listed in the funding opportunity announcement.

93.740 PREVENTION PUBLIC HEALTH FUND 2012: VIRAL HEPATITIS EDUCATION

Viral Hepatitis Provider Education - Supplement

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Sections 301(a) and 317N of the Public Health Service Act (42 U.S.C. Section 241(a) and 247b-15) as amended.

OBJECTIVES:

Program activities under this funding will allow CDC to partner with organizations to expand and improve efforts to develop and disseminate viral hepatitis training and educational materials targeting the public and private sector health care professionals to build capacity to assess, test and medically manage populations at risk for chronic HBV and HCV infection.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funds may be used for costs associated with establishing and maintaining a prevention and control program directed towards prevention of viral hepatitis infections and its manifestations. Recipients may only expend funds for reasonable policies, systems and program purposes including personnel, travel, supplies and services, such as reducing transmission of HBV and HCV, preventing and delaying the progress of chronic infection, as well as education and training of the general public and health care providers. Recipients may not use funds for clinical care. Recipients may not generally use funding for the purchase of furniture or equipment. Any such proposed spending must be identified in the budget. The recipient must perform a substantial role in carrying out project objectives and not merely serve as a conduit for an award

to another party or provider who is eligible.

Applicant Eligibility:

Applicants include State or local governments or their Bona Fide Agents, public and private nonprofit organizations, for profit organizations, small, minority, women-owned businesses, universities, colleges, research institutions, hospitals, community-based organizations, faith based organizations, Federally recognized Indian tribal governments, Indian tribes, and Indian tribal organizations. Additional guidance may be provided in the individual program announcement.

Beneficiary Eligibility:

The individual will benefit from the objectives of this program.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applicants must download application forms from www.Grants.gov. Applications must be submitted electronically at www.Grants.gov. If an applicant does not have access to the Internet, or if they have difficulty accessing the forms on-line, contact the CDC Procurement and Grants Office Technical Information Management Section (PGO-TIMS) staff. For this, or further assistance, contact PGO-TIMS: Telephone (770) 488-2700, Email: PGOTIM@cdc.gov.

Award Procedure:

Successful applicants will receive a Notice of Award (NOA) from the CDC Procurement and Grants Office. The NOA shall be the only binding, authorizing document between the recipient and CDC. The NOA will be signed by an authorized Grants Management Officer and emailed to the program director and a hard copy mailed to the recipient fiscal officer identified in the application.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days. Contact the headquarters for application deadline. This will be between 30-60 days from date of publication.

Appeals:

Not Applicable.

Renewals:

Renewals will be based upon the conditions in the funding announcement and are subject to the availability of funds under the Patient Protection and Affordable Care Act.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Project period is for 1 year with a 12-month budget period. See the following for information on how assistance is awarded/released: Assistance is awarded through Notice of Grant Awards to funded applicants.

Reports:

Program reports are not applicable. Cash reports are not applicable. Interim and annual reports will be required as outlined in the Funding Opportunity Announcement (FOA). The reporting schedule will be based on the requirements listed in the FOA. Where applicable, the interim progress report is due no less than 90 days before the end of the specified budget period. Where applicable, the Interim Progress Report will serve as the non-competing

continuation application. Federal Financial Reports (FFRs) (SF425) are required no more than 90 days after the end of the budget period. Final FFR and progress reports are due no later than 90 days after the end of the project period. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and General Accounting Office (GAO). The grantee is to also ensure that the sub-recipients receiving CDC funds also meet these requirements (if total Federal grant or grants funds received exceed \$500,000). The grantee should include this requirement in all sub-recipient contracts.

Records:

Financial records, supporting documents, statistical records, and all other reports pertinent to the program shall be retained for a minimum of 3 years, or until completion and resolution of any audit in process or pending resolution. In all cases records must be retained until resolution of any audit questions. Property records must be retained in accordance with 45 CFR 92.42.

Account Identification:

09-2950-2-0-121 - Direct Category A funding: Viral Hepatitis.

Obligations:

(Salaries) FY 12 \$250,000; FY 13 est \$0; and FY 14 est \$0

Range and Average of Financial Assistance:

Awards will range from approximately \$125,000 to \$250,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations governing this program are published under 42 CFR 55b. Guidelines are available. 45 CFR 92, and also HHS Grants Policy Statement at <http://www.ahrq.gov/fund/hhsolicy.htm>.

Regional or Local Office:

None. Viral Hepatitis Program Contact: Jeffrey L. Eford, MPA, Deputy Director, Division of Viral Hepatitis, National Center for HIV, Viral Hepatitis, STD, and TB Prevention, Centers for Disease Control and Prevention, Department of Health and Human Services, 1600 Clifton Road, Mailstop G-37, Atlanta, Georgia, 30333. Email: jle1@cdc.gov

Grants Management Contact: Carla Harper, Grants Management Officer, Department of Health and Human Services, CDC Procurement and Grants Office, 2920 Brandywine Road, MS E-15, Atlanta, GA 30341, Telephone 770-488-2439, Email: CHarper@cdc.gov.

Headquarters Office:

Elizabeth Wolfe Centers for Disease Control and Prevention
1600 Clifton Road, MS E-07
, Atlanta, Georgia 30333 Email: eow1@cdc.gov Phone: 404-639-8531

Website Address:

<http://www.cdc.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be reviewed for completeness by the Procurement and Grants Office (PGO) staff and for responsiveness jointly by Division of Viral Hepatitis (DVH), NCHHSTP. Incomplete applications and applications that are non-responsive to the eligibility criteria will not advance through the review process. Applicant will be notified that the application did not meet submission

requirements.

CDC will conduct a review to evaluate complete and responsive applications according to the criteria listed in Section V. Application and Submission information, subsection Evaluation Criteria within the individual funding opportunity announcement.

93.741 BREASTFEEDING PROMOTION AND SUPPORT IMPROVING MATERNITY CARE PRACTICES PROJECT FINANCED SOLELY BY 2012 PUBLIC PREVENTION AND HEALTH FUNDS

Hospital Collaboratives to Improve Maternity Care Practices Related to Breastfeeding in the U.S.

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Consolidated Appropriations Act, Fiscal Year 2012, Public Law 112-74, for the Departments of Labor, Health and Human Services, and Education and the Department of Interior and Related Agencies; and the Consolidated and Further Continuing Appropriations Act, Fiscal Year 2012, Public Law 112-55 for the United States Department of Agriculture, and Related Agencies. Title IV Section 4002 Prevention and Public Health Fund.

OBJECTIVES:

The overarching purpose of this program is to support breastfeeding mothers and support hospitals in promoting breastfeeding as part of national efforts to improve childhood nutrition and address childhood obesity. This program aims to achieve this by increasing support for system level changes in maternity care practices that optimally support breastfeeding through funding an independent, not-for-profit, organization to take actions that align with their mission and ongoing responsibility in partnership with agencies and organizations in other sectors, to enhance the access and quality of maternity care and reduce barriers related to breastfeeding. This FOA seeks to address key actions identified in the Surgeon Generals Call to Action to Support Breastfeeding for the health care system to ensure that maternity care practices are fully supportive of breastfeeding and which cites the Ten Steps to Successful Breastfeeding. This FOA will support key evidence- and practice- based policy, environmental, programmatic and infrastructure changes, in hospitals to enable hospitals to successfully implement the Ten Steps to Successful Breastfeeding and achieve demonstrated progress in one or more of the following six outcome measures. 1) Increase the number of hospitals in the U.S. that are designated as Baby-Friendly. 2) Increase the proportion of live births (by state) that occur at Baby-Friendly facilities. 3)Increase the proportion of infants who are breastfed. 4) Reduce the proportion of breastfed newborns who receive formula supplementation within the first 2 days of life. 5) Increase the prevalence of infants who are exclusively breastfed at 6 months age. 6) Reduce geographic and race/ethnic disparities in rates as measured by items 3-5 and in mPINC survey scores.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funds may be used to support approaches to chronic disease prevention and control described in section 050 above. Recipients may only expend funds for reasonable policies, systems and environmental program purposes, including personnel, travel, supplies, and services, such as contractual to reduce risk factors, prevent and delay chronic disease. The use of and use restrictions are as follows:

Recipients may not use funds for research.

Recipients may not use funds for clinical care.

Recipients may not generally use funding for the purchase of furniture or equipment. Any such proposed spending must be identified in the budget.

The recipient must perform a substantial role in carrying out project objectives and not merely serve as a conduit for an award to another party or provider who is ineligible.

Recipients may not use funds for any kind of impermissible lobbying activity designed to influence proposed or pending legislative matters. These restrictions include grass roots lobbying efforts and direct lobbying. Certain activities within the normal and recognized executive-legislative relationships

within the executive branch of that government are permissible.

Applicant Eligibility:

Current funding available is intended only for grantee previously awarded under Funding Opportunity Number: CDC- RFA-DP11-1116 Hospital Collaboratives to Improve Maternity Care Practices Related to Breastfeeding in the U.S..

Beneficiary Eligibility:

The general public will benefit for the objectives of this program.

Credentials/Documentation:

Costs for nonprofit recipients will be determined in accordance with HHS Regulations, 45 CFR Part 74, Subpart Q. This program is excluded from coverage under OMB Circular No. A-87. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. This program is covered under OMB Circular No. A-110. Submit Interim Progress Reports through www.Grants.gov. If you encounter any difficulties submitting your interim progress report through www.Grants.gov, please contact CDC's Technical Information Management Section at (770) 488-2700 prior to the submission deadline. If further information is needed regarding the application process, please contact the CDC Procurement and Grants Office at (770) 488-2710 located at 2920 Brandywine Road, Atlanta, GA 30341.

Award Procedure:

After review and approval, a notice of award is prepared and processed, along with appropriate notification to the public, initial award provides funds for first budget period (usually 12 months) and Notice of Award indicates support recommended for the remainder of project period, allocation of Federal funds by budget categories, and special conditions, if any. However, applicants are encouraged to call CDC for programmatic technical assistance prior to the development and submission of their assistance application.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days.

Appeals:

Not Applicable.

Renewals:

> 180 Days. Renewals will be based upon the availability of funding and satisfactory programmatic progress. Project period is for 3 years with 12 month budget periods.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Project period is for 3 years with 12 month budget periods. Method of awarding/releasing assistance: lump sum.

Reports:

No program reports are required. No cash reports are required. Annual or semiannual progress reports are required. Final financial status reports are required 90 days after the end of a project period. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000

or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the project must be kept readily available for review by personnel authorized to examine PHS grant accounts. Records must be maintained for a minimum of 3 years after the end of a budget period. If questions still remain, such as those raised as a result of an audit, records must be retained until completion or resolution of any audit in process or pending resolution. Property records must be retained in accordance with PHS Grants Policy Statement requirements.

Account Identification:

75-0943-0-1-550.

Obligations:

(Cooperative Agreements) FY 12 \$2,135,000; FY 13 est \$2,363,132; and FY 14 est \$2,500,000

Range and Average of Financial Assistance:

2153000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: 2135000. Fiscal Year 2013: 2363132. Fiscal Year 2014: 2500000.

REGULATIONS, GUIDELINES, AND LITERATURE:

Code of Federal Regulations 45 CFR Part 74.

Regional or Local Office:

None.

Headquarters Office:

Linda Barnes 4770Buford Hwy, NE, NCCDPHP, Atlanta, Georgia 30341
Email: lbarnes@cdc.gov Phone: 404-867-9697

Website Address:

<http://www.cdc.gov>

RELATED PROGRAMS:

93.283 Centers for Disease Control and Prevention_Investigations and Technical Assistance

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Applicant must be able to demonstrate the health impact of the program on the intervention population. Monitoring of the impact of the programs is mandated under Section 4201(c) (4) of the ACA, which requires that eligible entities must use funding to conduct activities to measure changes in the prevalence of chronic disease. This may take the form of an assessment of one or more of the six outcome measures at the beginning, interim and end of the project period. Additional criteria will be listed in funding opportunity announcements.

93.742 PPHF 2012: EARLY CHILDCARE AND EDUCATION OBESITY PREVENTION PROGRAM - OBESITY PREVENTION IN YOUNG CHILDREN - FINANCED SOLELY BY 2012 PUBLIC PREVENTION AND HEALTH FUNDS

Early Care and Education Statewide Collaboratives to Improve Nutrition, Breastfeeding Support, Physical Activity and Screen Time Practices for Obesity Prevention in Young Children

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Consolidated Appropriations Act, Fiscal Year 2012, Public Law 112-74, for the

Departments of Labor, Health and Human Services, and Education and the Department of Interior and Related Agencies; and the Consolidated and Further Continuing Appropriations Act, Fiscal Year 2012, Public Law 112-55 for the United States Department of Agriculture, and Related Agencies. Title IV Section 4002 Prevention and Public Health Fund.

OBJECTIVES:

The overarching purpose of this program is to improve the quality of obesity prevention practices through evidence and practiced based policy, environmental, programmatic and infrastructure changes in Early Child Care settings (e.g. child care centers, family care homes, Head Start programs, pre-schools, etc.) and facilities. This programs aims to achieve this by providing opportunities to ensure equitable access to ECE services that meet the national Preventing Childhood Obesity (PCO) (2nd Ed.) standard components related to nutrition, breastfeeding support, physical activity, and screen time, all of which are key areas for obesity prevention through funding an organization, to take actions that align with their mission and ongoing responsibility in partnerships with agencies and organizations in other sectors, to enhance the health of the people they serve. This FOA seeks to include a small subset of the standard components selected and adapted to be the core goals of First Lady Michelle Obamas national Lets Move Child Care (LMCC) obesity prevention initiative and will support key evidence- and practice- based policy, environmental, programmatic and infrastructure in Early Child Care settings and facilities to achieve demonstrated progress in one or more of the following eight outcome measures:

- 1) Ensure trained ECE providers successfully implement PCO (2nd Ed.) obesity prevention standard components.
- 2) Increase the number of ECE facilities that meet the PCO (2nd Ed.) obesity prevention standard components.
- 3) Increase the proportion of children 0 5 years attending ECE facilities (by state) that meet the PCO (2nd Ed.) obesity prevention standard components.
- 4) Increase the proportion of mothers with infants cared for in ECE facilities who meet their breastfeeding duration goals
- 5) Increase the proportion of young children who eat fruits and vegetables daily.
- 6) Decrease the proportion of young children who consume sugary drinks daily.
- 7) Increase the proportion of young children who have no more than 30 minutes per week of screen time in ECE facilities.
- 8) Increase the proportion of young children being provided age-appropriate physical activity time each day.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funds may be used to support approaches to chronic disease prevention and control described in Section 050 above. The use are as follows:

Recipients may only expend funds for reasonable policies, systems and environmental program purposes, including personnel, travel, supplies, and services, such as contractual to reduce risk factors, prevent and delay chronic disease. Uses and Use Restrictions: Funds may be used to support approaches to chronic disease prevention and control described in Section 050 above. The use and use restrictions are as follows:

Recipients may only expend funds for reasonable policies, systems and environmental program purposes, including personnel, travel, supplies, and services, such as contractual to reduce risk factors, prevent and delay chronic disease.

Recipients may not use funds for research

Recipients may not use funds for clinical care.

Recipients may not generally use funding for the purchase of furniture or equipment. Any such proposed spending must be identified in the budget.

The recipient must perform a substantial role in carrying out project objectives and not merely serve as a conduit for an award to another party or provider who is ineligible.

Recipients may not use for any kind of impermissible lobbying activity designed to influence proposed or pending legislative matters. These restrictions include grass roots lobbying efforts and direct lobbying. Certain

activities within the normal and recognized executive-legislative relationships within the executive branch of that government are permissible.

Applicant Eligibility:

Applications may be submitted Governmental agencies and non-governmental organizations. This includes, but is not limited to, school districts, local housing authorities, local transportation authorities, health departments, planning and economic development agencies, non-profit and community based organizations, area aging agencies, cooperative extension agencies, and others. Federally recognized American Indian Tribes and Alaska Native Villages Tribal organizations, which include Intertribal Councils and American Indian Health Boards which meet the definition set forth in 25 U.S.C. Section 1603(e) and are under a resolution that such organizations, councils, and boards represent the underlying tribes. Urban Indian Health Programs, tribal and intertribal consortia that meet the definition set forth in 25 U.S.C. Section 1603(f) or 1603(g).

Beneficiary Eligibility:

Applications may be submitted Governmental agencies and non-governmental organizations. This includes, but is not limited to, school districts, local housing authorities, local transportation authorities, health departments, planning and economic development agencies, non-profit and community based organizations, area aging agencies, cooperative extension agencies, and others. Federally recognized American Indian Tribes and Alaska Native Villages Tribal organizations, which include Intertribal Councils and American Indian Health Boards which meet the definition set forth in 25 U.S.C. Section 1603(e) and are under a resolution that such organizations, councils, and boards represent the underlying tribes. Urban Indian Health Programs, tribal and intertribal consortia that meet the definition set forth in 25 U.S.C. Section 1603(f) or 1603(g).

Credentials/Documentation:

Applicants must document the need for assistance, state the objectives of the project, outline the method of operation, describe the evaluation procedures and provide a budget justification for funds requested. Costs for nonprofit recipients will be determined in accordance with HHS Regulations, 45 CFR Part 74, Subpart Q. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program.

Award Procedure:

An objective review panel will evaluate complete and responsive applications according to the evaluation criteria listed in funding opportunity announcement. Successful applicants will receive a Notice of Award (NOA) from the CDC Procurement and Grants Office. The NOA shall be the only binding, authorizing document between the recipient and CDC. The NOA will be signed by an authorized Grants Management Officer.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Renewals will be based upon the availability of funding and satisfactory programmatic progress. Project period is for 5 years with 12 month budget periods. Applications must be obtained from Grants Management Officer, Grants Management Branch, Procurement and Grants Office, Centers for Disease Control and Prevention. Telephone: (770 488-2700).

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Project period is for 5 years with 12 month budget periods. After awards are issued, funds are released in accordance with the payment procedure established by the grantee institution with DHHS, which may be an Electronic Transfer System or a Monthly Cost Request System. Method of awarding/releasing assistance: lump sum.

Reports:

No program reports are required. No cash reports are required. Annual or semiannual progress reports are required. Interim reporting requirements may be delineated in the FOA. Final financial status and performance reports are required 90 days after the end of a project period. Interim reporting requirements may be delineated in the FOA. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In accordance with the provisions of OMB Circular A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the project must be kept readily available for review by personnel authorized to examine PHS grant accounts. Records must be maintained for a minimum of 3 years after the end of a budget period. If questions still remain, such as those raised as a result of an audit, records must be retained until completion or resolution of any audit in process or pending resolution. Property records must be retained in accordance with PHS Grants Policy Statement requirements.

Account Identification:

75-0094-3-1-550.

Obligations:

(Cooperative Agreements) FY 12 \$4,247,504; FY 13 est \$3,777,600; and FY 14 est \$0

Range and Average of Financial Assistance:

Expected 3,700,000 - \$4,200,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Code of Federal Regulations 45 Code of Federal Regulations (CFR) Part 74 and PHS Grants Policy Statement No. 94-50,000 (Revised) April 1, 1994. Any other regulations governing this program are published in the application kit and identified in the notices of award.

Regional or Local Office:

None.

Headquarters Office:

Linda Barnes 4770Buford Hwy, NE, NCCDPHP, Atlanta, Georgia 30341
Email: lbarnes@cdc.gov Phone: 404-867-9697

Website Address:

<http://www.cdc.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

All applicants must be able to demonstrate the health impact of the program on the intervention population. Monitoring of the impact of the programs is mandated under Section 4201(c) (4) of the ACA, which requires that eligible entities must use funding to conduct activities to measure changes in the prevalence of chronic disease. This may take the form of an assessment of one or more of the eight outcome measures in the intervention population at the beginning, in the interim and at the end of the project period. Any additional criteria will be listed in individual funding opportunity announcements.

93.743 RACIAL AND ETHNIC APPROACHES TO COMMUNITY HEALTH: OBESITY AND HYPERTENSION DEMONSTRATION PROJECTS FINANCED SOLELY BY 2012 PREVENTION AND PUBLIC HEALTH FUNDS

Racial and Ethnic Approaches to Community Health (REACH)

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

This program is authorized under section 301(a) and 317(k)(2) Public Health Service Act, 42 U.S. Code 241(a) and 247b (k) and Title IV Section 4002 Prevention and Public Health Fund.

OBJECTIVES:

The purpose of the proposed FY2012 REACH program is to support the implementation of projects to reduce racial and ethnic health disparities. Recipients funded through this FOA will develop and implement replicable and scalable strategies to assure that population-wide policy, systems, and environmental (PSE) changes to reduce obesity and hypertension also decrease health disparities in these morbid outcomes in their jurisdiction. The program will build upon the body of knowledge from previous REACH programs. The program is designed to fund organizations that have a partnership with a local community-based organization, a local public health department (or tribal organization), and a university/academic institution. Plans for FY2012 advance and expand REACHs past program successes and ensure that the practice-based evidence expands.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funds may be used to support intensive approaches to chronic disease prevention and control described in Section 0.50 above. The use of and use restrictions are as follows:

Recipients may only expend funds for reasonable policies, systems and environmental program purposes, including personnel, travel, supplies, and services, such as contractual to reduce risk factors, prevent and delay chronic disease.

Recipients may not use funds for research.

Recipients may not use funds for clinical care.

Recipients may not generally use funding for the purchase of furniture or equipment. Any such proposed spending must be identified in the budget.

The recipient must perform a substantial role in carrying out project objectives and not merely serve as a conduit for an award to another party or provider who is ineligible.

Recipients may not use funds for construction.

Applicant Eligibility:

Applications may be submitted by State or local governments or their Bona Fide Agents (this includes the District of Columbia, the Commonwealth of Puerto Rico, the Virgin Islands, the Commonwealth of the Northern Mariana Islands, American Samoa, Guam, the Federated States of Micronesia, the Republic of the Marshall Islands, and the Republic of Palau). Eligible applicants also include public and private nonprofit organizations, for profit

organizations, small, minority, women-owned businesses, universities, colleges, research institutions, hospitals, community-based organizations, faith-based organizations, Federally recognized Indian tribal governments, Indian tribes, and Indian tribal organizations. Additional guidance may be provided in individual program announcements.

Beneficiary Eligibility:

The general public will benefit from the objectives of this program. Additionally, colleges, universities, private non-profit and public nonprofit domestic organizations, research institutions, and faith-based organization, states, political subdivisions of states, local health authorities, and individuals or organizations with specialized health interests will benefit.

Credentials/Documentation:

Applicants must document the need for assistance, state the objectives of the project, outline the method of operation, describe the evaluation procedures and provide a budget justification for funds requested. Costs for nonprofit recipients will be determined in accordance with HHS Regulations, 45 CFR Part 74, Subpart Q. This program is covered under OMB Circular No. A-87. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Pre-application coordination is not required. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Environmental impact information is not required for this program. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. This program is covered under OMB Circular No. A-102. This program is covered under OMB Circular No. A-110. Information on the submission of applications may be obtained from the Grants Management Officer, Grants Management Branch, Procurement and Grants Office, Centers for Disease Control and Prevention. Telephone: (770) 488-2700. The standard application forms must be used for this program as furnished by the Public Health Service and requirement by OMB Circular No. A-110 for nongovernmental applicants.

Award Procedure:

An objective review panel will evaluate complete and responsive applications according to the evaluation criteria listed in funding opportunity announcement. Successful applicants will receive a Notice of Award (NOA) from the CDC Procurement and Grants Office. The NOA shall be the only binding, authorizing document between the recipient and CDC. The NOA will be signed by an authorized Grants Management Officer.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days.

Appeals:

Not Applicable.

Renewals:

Renewals will be based upon the availability of funding and satisfactory programmatic progress. Project period is for 5 years with a 12 month budget period. Applications must be obtained from Grants Management Officer, Grants Management Branch, Procurement and Grants Office, Centers for Disease Control and Prevention. Telephone: (770) 488-2700.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Project period is for five years with a 12-month budget period. Method of awarding/releasing assistance: lump sum.

Reports:

No program reports are required. No cash reports are required. Annual or semiannual progress reports are required. Final financial status and performance reports are required 90 days after the end of a project period. Final financial status reports are required 90 days after the end of a project period. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records: Financial records, supporting documents, statistical records, and all other records pertinent to the grant program must be kept readily available for review by personnel authorized to examine PHS grant accounts. Financial records, supporting documentation, statistical records, and all other records pertinent to an award shall be retained for a minimum of 3 years, or until completion and resolution of any audit in process or pending resolution. In all cases records must be retained until resolution of any audit questions. Property records must be retained in accordance with 45 CFR 92.42 requirements.

Account Identification:

75-0943-0-1-550.

Obligations:

(Cooperative Agreements) FY 12 \$12,300,000; FY 13 est \$0; and FY 14 est \$0 - This is a 36-month budget/project period all funding received in FY12.

Range and Average of Financial Assistance:

Range and Average of Financial Assistance: Expected: 1,500,000 4,000,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Code of Federal Regulations 45 CFR Part 74.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Rebecca Bunnell 4770 Buford Hwy, NE, MS K40, NCCDPHP, Atlanta, Georgia 30333 Email: rrb7@cdc.gov Phone: 770-488-2524 Fax: 770-488-5964

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be funded to ensure the inclusion of a range of geographic area, types of strategies and outcome measures, communities of varying sizes, and inclusion of populations and areas with a high burden of chronic diseases. Applicants are required to demonstrate a partnership with a local community-based organization, local public health department (or tribal organization), and a university/academic partner. Applications may be selected to ensure that populations and geographic areas with the highest burden of disease and/or health disparities are reached. Additional criteria will be listed in individual funding opportunity announcements.

93.744 PPHF 2012: BREAST AND CERVICAL CANCER SCREENING OPPORTUNITIES FOR STATES, TRIBES AND TERRITORIES SOLELY FINANCED BY 2012 PREVENTION AND PUBLIC HEALTH FUNDS

Breast and Cervical Cancer Screening Opportunities through the National Breast and Cervical Cancer Early Detection Program (NBCCEDP) - PPHF 2012 Funds

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Consolidated Appropriations Act, Fiscal Year 2012, Public Law 112-74, for the Departments of Labor, Health and Human Services, and Education and the Department of Interior and Related Agencies; and the Consolidated and Further Continuing Appropriations Act, Fiscal Year 2012, Public Law 112-55 for the United States Department of Agriculture, and Related Agencies. Title IV Section 4002 Prevention and Public Health Fund.

OBJECTIVES:

The purpose of this FOA is to enhance and leverage existing organized systems for breast and cervical cancer screening to provide high quality screening with tracking and follow-up including patient navigation to low income, uninsured and under-insured women. This FOA will leverage the existing infrastructure and organized delivery system of the NBCCEDP.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funds may be used to support breast and cervical cancer screening, detection and follow-up as described in Section 0.50 above.

Recipients may only expend funds for reasonable policies, systems and environmental program purposes, including personnel, travel, supplies, and services, such as contractual to reduce risk factors, prevent and delay chronic disease. The use of and use restrictions are as follows:

Recipients may not use funds for research.

Recipients may not use funds for clinical care.

Recipients may not generally use funding for the purchase of furniture or equipment. Any such proposed spending must be identified in the budget.

The recipient must perform a substantial role in carrying out project objectives and not merely serve as a conduit for an award to another party or provider who is ineligible.

Recipients may not use funds for construction.

Applicant Eligibility:

Eligibility is limited to the currently funded states or their bona fide agents, tribal and territorial recipients under CDC-RFA-DP12-1205 . Cancer Prevention and Control Programs for State, Territorial and Tribal Organizations.

Beneficiary Eligibility:

The general public will benefit from the objectives of this program.

Additionally states, tribes and territories funded under DP12-1205 will benefit from this program.

Credentials/Documentation:

Applicants must document the need for assistance, state the objectives of the project, outline the method of operation, describe the evaluation procedures and provide a budget justification for funds requested. Costs for nonprofit recipients will be determined in accordance with HHS Regulations, 45 CFR Part 74, Subpart Q. This program is covered under OMB Circular No. A-87. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Pre-application coordination is not required. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the

program for review. Environmental impact information is not required for this program. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. This program is covered under OMB Circular No. A-102. This program is covered under OMB Circular No. A-110. Information on the submission of applications may be obtained from the Grants Management Officer, Grants Management Branch, Procurement and Grants Office, Centers for Disease Control and Prevention. Telephone: (770) 488-2700. The standard application forms must be used for this program as furnished by the Public Health Service and requirement by OMB Circular No. A-110 for nongovernmental applicants.

Award Procedure:

A technical review panel will evaluate complete and responsive applications according to the evaluation criteria listed in funding opportunity announcement. Successful applicants will receive a Notice of Award (NOA) from the CDC Procurement and Grants Office. The NOA shall be the only binding, authorizing document between the recipient and CDC. The NOA will be signed by an authorized Grants Management Officer.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days.

Appeals:

Not Applicable.

Renewals:

Renewals will be based upon the availability of funding and satisfactory programmatic progress. Project period is for 1 year with a 12 month budget period. Applications must be obtained from Grants Management Officer, Grants Management Branch, Procurement and Grants Office, Centers for Disease Control and Prevention. Telephone: (770) 488-2700.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching requirements are not applicable to this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Project period is for 1 year with a 12-month budget period. Method of awarding/releasing assistance: lump sum.

Reports:

Annual or semiannual progress reports are required. Final financial status and performance reports are required 90 days after the end of a project period. Final financial status reports are required 90 days after the end of a project period. Annual or semiannual progress reports are required. Final performance reports are required 90 days after the end of a project period. Final financial status reports are required 90 days after the end of a project period. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the grant program must be kept readily available for review

by personnel authorized to examine PHS grant accounts. Financial records, supporting documentation, statistical records, and all other records pertinent to an award shall be retained for a minimum of 3 years, or until completion and resolution of any audit in process or pending resolution. In all cases records must be retained until resolution of any audit questions. Property records must be retained in accordance with 45 CFR 92.42 requirements.

Account Identification:

75-0943-0-1-550.

Obligations:

(Cooperative Agreements) FY 12 \$9,971,598; FY 13 est \$0; and FY 14 est \$0

Range and Average of Financial Assistance:

Expected Approximately: \$15,000 - \$700,000 (estimated based on availability of funds).

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: The CDC funds 67 programs for the NBCCEDP including all 50 states, the District of Columbia, 11 American Indian/Alaska Native tribes, and 5 US territories. The NBCCEDP only reaches a small fraction of women estimated to be eligible for screening by the program. Based on 2007-2009 data from the US Census Bureau and NBCCEDP, the program screened 8.5% of its 18-64 years old women eligible for cervical cancer screening services and 12.5% of it 40-64 years old women eligible for breast cancer screening services. 48 States were awarded PPHF funds in FY12. Fiscal Year 2013: No FY13 PPHF funds were available. Fiscal Year 2014: No FY14 funds are expected.

REGULATIONS, GUIDELINES, AND LITERATURE:

Code of Federal Regulations 45 CFR Part 92.

Regional or Local Office:

None.

Headquarters Office:

Tanya M. Hicks 4770 Burford, HWY, MS K-57, NCCDPHP, Atlanta, Georgia 30341 Email: thicks@cdc.gov Phone: 770-488-4326 Fax: 770-488-3230

Website Address:

<http://www.cdc.gov>

RELATED PROGRAMS:

93.919 Cooperative Agreements for State-Based Comprehensive Breast and Cervical Cancer Early Detection Programs

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be funded to ensure that women are screened for breast and cervical cancer with priority on low-income, under-served women in priority populations and will ensure that high quality breast and cervical cancer screening services are assured consistent with current clinical guidelines/protocols for screening, follow-up and referral to treatment. Applicants are required to demonstrate their ability to achieve screening targets utilizing the existing breast and cervical cancer screening programs in their jurisdictions. Additional criteria will be listed in individual funding opportunity announcements.

93.745 PPHF-2012: HEALTH CARE SURVEILLANCE/HEALTH STATISTICS SURVEILLANCE PROGRAM ANNOUNCEMENT: BEHAVIORAL RISK FACTOR SURVEILLANCE SYSTEM FINANCED IN PART BY 2012 PREVENTION AND PUBLIC HEALTH FUNDS (PPHF-2012)

Behavioral Risk Factor Surveillance System (BRFSS)

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Section 301 of the Public Health Service Act (as amended),.

OBJECTIVES:

The purpose of this program is to provide assistance to State and Territorial Health Departments to maintain and expand:

1) Specific health surveillance using telephone and multi-mode survey methodology for the behaviors of the general population that contribute to the occurrences and prevention of chronic diseases, injuries, and other public health threats;

2) The collection, analysis, and dissemination of BRFSS data to State and Territorial Health Department Categorical Programs for their use in assessing trends, directing program planning, evaluating program priorities, developing policy, and targeting relevant population groups. Specifically, this program will:

A. Add six questions specifically on health care access and use to the 2013 Behavioral Risk Factor Surveillance System (BRFSS) survey to measure the effect of ACA on the population;

B. Increase the BRFSS landline sample size to restore the number of completed interviews achieved to 2011 levels. BRFSS programs should develop plans for increasing their sample size of their 2013 surveys which will increase the number of completed interviews achieved which will increase the precision of estimates in small areas and sub-populations;

C. Increase the proportion of cell phone interviews completed on the 2013 BRFSS survey to maintain coverage and validity achieving at least a 25% completed interview rate by cell phone mode.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Project funds may be used for costs associated with planning, implementing and data collecting surveillance information through the BRFSS for adding six health care access and use questions to the 2013 BRFSS survey, and for implementing other program elements as described in Section 050 above and through CDC allowable and unallowable expenses.

(Are there restrictions upon the use of the assistance? Yes □ Not Applicable. (If you select yes, you must describe the restrictions)

Restrictions on Funding:

Section 503(a) No part of any appropriation contained in this Act or transferred pursuant to section 4002 of Public Law 111-148 shall be used, other than for normal and recognized executive-legislative relationships, for publicity or propaganda purposes, for the preparation, distribution, or use of any kit, pamphlet, booklet, publication, electronic communication, radio, television, or video presentation designed to support or defeat the enactment of legislation before the Congress or any State or local legislature itself, or designed to support or defeat any proposed or pending regulation, administrative action, or order issued by the executive branch of any State or local government itself.

No part of any appropriate contained in this Act or transferred pursuant to section 4002 of Public Law 111-148 shall be used to pay the salary or expenses of any grant or contract recipient, or agent acting for such recipient, related to any grant or contract recipient, or agent acting for such recipient, related to any activity designed to influence the enactment of legislation, appropriations, regulation, administrative action, or Executive Order proposed or pending before the Congress or any State Government, State Legislature, or Local Legislative body, other than normal and recognized executive-legislative relationships or participation by an agency or officer of a State, local or tribal government in policymaking and administrative processes within the executive branch of that government.

The prohibitions in subsections (1) and (b) shall include any activity to advocate or promote any proposed, pending, or future Federal, State, or local tax increase, or any proposed, pending, or future requirement or restriction on any legal consumer product, including its sale and marketing, including but not limited to the advocacy or promotion of gun control.

Sec. 218. None of the funds made available in this title may be used, in whole or in part, to advocate or promote gun control.

Sec. 253. Notwithstanding any other provision of this Act, no funds appropriated in this Act shall be used to carry out any program of distributing sterile needles or syringes for the hypodermic injection of illegal drugs.

Sec. 738. None of the funds made available by this Act may be used to enter into a contract, memorandum of understanding, or cooperative agreement with, make a grant to, or provide a loan or loan guarantee to any corporation that was convicted (or had an officer or agent of such corporation acting on behalf of the corporation convicted) of a felony criminal violation under any Federal or State law within the preceding 24 months, where the awarding agency is aware of the conviction, unless the agency has considered suspension or debarment of the

corporation, or such officer or agent, and made a determination that this further action is not necessary to protect the interests of the Government.

Sec. 739. None of the funds made available by this Act may be used to enter into a contract, memorandum of understanding, or cooperative agreement with, make a grant to, or provide a loan or loan guarantee to, any corporation that any unpaid Federal tax liability that had been assessed, for which judicial and administrative remedies have been exhausted or have parsed, and that is not being paid in a timely manner pursuant to an agreement with the authority responsible for collecting the tax liability, where the awarding agency is aware of the unpaid tax liability, unless the agency has considered suspension of debarment of the corporation and made a determination that this further action is not necessary to protect the interests of the Government.

Section 433. None of the funds made available by this Act may be used to enter into a contract, memorandum of understanding, or cooperative agreement with, made a grant to, or provide a loan or loan guarantee to, any corporation that was convicted (or had an officer or agent of such corporation acting on behalf of the corporation convicted) of a felony criminal violation under any Federal law within the preceding 24 months, where the awarding agency is aware of the conviction, unless the agency has considered suspension or debarment of the corporation, or such officer or agent and made a determination that this further action is not necessary to protect the interests of the Government.

Section 434. None of the funds made available by this Act may be used to enter into a grant to, memorandum of understanding, or cooperative agreement with, make a grant to, or provide a loan or loan guarantee to, any corporation with respect to which any unpaid Federal tax liability that has been assessed for which all judicial and administrative remedies have been exhausted or have lapsed, and that is not being paid in a timely manner pursuant to an agreement with the authority responsible for collecting the tax liability, unless the agency has considered suspension or debarment of the corporation and made a determination that the suspension or debarment is not necessary to protect the interests of the Government.

Recipients may not use funds for research.

Recipients may not use funds for clinical care and pharmaceutical products.

Recipients may only expend funds for reasonable program purposes, including personnel, travel, supplies, and services, such as contractual.

Recipients may not generally use HHS/CDC/ATSDR funding for the purchase of furniture or equipment. Any such proposed spending must be identified in the budget.

Reimbursement of pre-award costs is not allowed. Section 503(a) No part of any appropriation contained in this Act or transferred pursuant to section 4002 of Public Law 111-148 shall be used, other than for normal and recognized executive-legislative relationships, for publicity or propaganda purposes, for the preparation, distribution, or use of any kit, pamphlet, booklet, publication, electronic communication, radio, television, or video presentation designed to support or defeat the enactment of legislation before the Congress or any State or local legislature itself, or designed to support or defeat any proposed or pending regulation, administrative action, or order issued by the executive branch of any State or local government itself.

No part of any appropriation contained in this Act or transferred pursuant to section 4002 of Public Law 111-148 shall be used to pay the salary or expenses of any grant or contract recipient, or agent acting for such recipient, related to any grant or contract recipient, or agent acting for such recipient, related to any activity designed to influence the enactment of legislation, appropriations, regulation, administrative action, or Executive Order proposed or pending before the Congress or any State Government, State Legislature, or Local Legislative body, other than normal and recognized executive-legislative relationships or participation by an agency or officer of a State, local or tribal government in policymaking and administrative processes within the executive branch of that government.

The prohibitions in subsections (1) and (b) shall include any activity to advocate or promote any proposed, pending, or future Federal, State, or local tax increase, or any proposed, pending, or future requirement or restriction on any legal consumer product, including its sale and marketing, including but not limited to the advocacy or promotion of gun control.

Sec. 218. None of the funds made available in this title may be used, in whole or in part, to advocate or promote gun control.

Sec. 253. Notwithstanding any other provision of this Act, no funds appropriated in this Act shall be used to carry out any program of distributing

sterile needles or syringes for the hypodermic injection of illegal drugs.

Sec. 738. None of the funds made available by this Act may be used to enter into a contract, memorandum of understanding, or cooperative agreement with, make a grant to, or provide a loan or loan guarantee to any corporation that was convicted (or had an officer or agent of such corporation acting on behalf of the corporation convicted) of a felony criminal violation under any Federal or State law within the preceding 24 months, where the awarding agency is aware of the conviction, unless the agency has considered suspension or debarment of the corporation, or such officer or agent, and made a determination that this further action is not necessary to protect the interests of the Government.

Sec. 739. None of the funds made available by this Act may be used to enter into a contract, memorandum of understanding, or cooperative agreement with, make a grant to, or provide a loan or loan guarantee to, any corporation that any unpaid Federal tax liability that had been assessed, for which judicial and administrative remedies have been exhausted or have parsed, and that is not being paid in a timely manner pursuant to an agreement with the authority responsible for collecting the tax liability, where the awarding agency is aware of the unpaid tax liability, unless the agency has considered suspension of debarment of the corporation and made a determination that this further action is not necessary to protect the interests of the Government.

Section 433. None of the funds made available by this Act may be used to enter into a contract, memorandum of understanding, or cooperative agreement with, made a grant to, or provide a loan or loan guarantee to, any corporation that was convicted (or had an officer or agent of such corporation acting on behalf of the corporation convicted) of a felony criminal violation under any Federal law within the preceding 24 months, where the awarding agency is aware of the conviction, unless the agency has considered suspension or debarment of the corporation, or such officer or agent and made a determination that this further action is not necessary to protect the interests of the Government.

Section 434. None of the funds made available by this Act may be used to enter into a grant to, memorandum of understanding, or cooperative agreement with, make a grant to, or provide a loan or loan guarantee to, any corporation with respect to which any unpaid Federal tax liability that has been assessed for which all judicial and administrative remedies have been exhausted or have lapsed, and that is not being paid in a timely manner pursuant to an agreement with the authority responsible for collecting the tax liability, unless the agency has considered suspension or debarment of the corporation and made a determination that the suspension or debarment is not necessary to protect the interests of the Government.

Recipients may not use funds for research.

Recipients may not use funds for clinical care and pharmaceutical products.

Recipients may only expend funds for reasonable program purposes, including personnel, travel, supplies, and services, such as contractual.

Recipients may not generally use HHS/CDC/ATSDR funding for the purchase of furniture or equipment. Any such proposed spending must be identified in the budget.

Reimbursement of pre-award costs is not allowed.

Applicant Eligibility:

Eligibility includes all 50 states, Washington D.C., Puerto Rico, the U.S. Virgin Islands, Guam, American Samoa, Palau, and the Federated States of Micronesia, who are currently funded through the Behavioral Risk Factor Surveillance System (BRFSS) Funding Opportunity Announcements (CDC-RFA-SO11-1101 and CDC-RFA-SO11-1102).

Beneficiary Eligibility:

Any U.S. State, political subdivision, and U.S. Territories as described above.

Credentials/Documentation:

Applicants should document a detailed work plan for meeting the objectives of the project, outline their method of operation, describe their evaluation procedures, and provide a budget with justification of funds requested.

Applicants must comply with Administrative Requirements (ARs) outlined in 45 CFR Part 74 or Part 92 as appropriate. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110.

Applicants must download the SF424 (R&R) application package associated with this supplemental funding opportunity from www.Grants.gov. If access to the internet is not available or if the applicant encounters difficulty accessing the forms on-line, contact the HHS/CDC Procurement and grants Office Technical Information Management Section (PGOTIMS) staff at (770) 477-2700 for further instructions.

HHS/CDC Telecommunications for the hearing impaired or disabled is available at TTY 1-888-232-6348.

If an applicant encounters technical difficulties with www.Grants.gov, the applicant should contact Grants.gov Customer Service. The Grants.gov Contact Center is available 24 hours a day, 7 days a week, with the exception of all Federal Holidays. The Contact Center provides customer service to the applicant community. The extended hours will provide applicants support around the clock, ensuring the best possible customer service is received any time it is needed. Applicants can reach the Grants.gov Support Center at 1-800-518-4726 or by e-mail at support@grants.gov. Submissions sent by e-mail, fax, CDs or thumb drives of applications will not be accepted.

Award Procedure:

Eligible applications will be jointly reviewed for responsiveness by the Office of Surveillance, Epidemiology, and Laboratory Services and the CDC Procurement and Grants Office. Incomplete applications and applications that are non-responsive will not advance through the review process. Recipients will be notified in writing of the results.

A technical review panel within the Division of Behavioral Surveillance (DBS), Office of Surveillance, Epidemiology, and Laboratory Services (OSELS), CDC, will evaluate complete and responsive supplemental applications according to the published review criteria. Successful applicants will receive a Notice of Award (NoA) from the CDC Procurement and Grants Office. The NoA shall be only binding, authorizing document between the recipient and the CDC. The NoA will be signed by an authorized Grants Management Officer and e-mailed to the program director. A hard copy of the NoA will be mailed to the recipient fiscal officer identified in the application. Unsuccessful recipients will receive notification of the results of the application review by mail.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

21-45 days after application submission deadline.

Appeals:

Not Applicable.

Renewals:

Renewals will be based on the conditions in the supplemental funding announcement and are subject to the availability of funds under Section 301 of the Public Health Service Act (as amended) and Section 4002 of the Patient Protection and Affordable Care Act, Public Law 111-148.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

This program has no matching requirements.

This program does not have MOE requirements. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Financial assistance is available for a 12-month budget period and a 22-month project period. See the following for information on how assistance is awarded/released: Funds will be awarded as a lump sum through a Notice of Award (NoA) from the agency Procurement and Grants Office.

Reports:

Federal Funding Accountability and Transparency Act of 2006 (FFATA): Public Law 109-282, the Federal Funding Accountability and Transparency Act of 2006 as amended (FFATA), requires full disclosure of all entities and organizations receiving Federal funds including grants, contracts, loans and other assistance and payments through a single publicly accessible web site, www.USASpending.gov. The Web site includes information on each Federal

financial assistance award and contract over \$25,000, including such information as:

1. The name of the entity receiving the award
 2. The amount of the award
 3. Information on the award including transaction type, funding agency, etc.
 4. The location of the entity receiving the award
 5. A unique identifier of the entity receiving the award; and
 6. Names and compensation of highly-compensated officers (as applicable)
- Compliance with this law is primarily the responsibility of the Federal agency. However, two elements of the law require information to be collected and reported by recipients: 1) information on executive compensation when not already reported through the Central Contractor Registry; and 2) similar information on all sub-awards/subcontracts/consortiums over \$25,000.

For the full text of the requirements under the Federal Funding Accountability and Transparency Act of 2006, please view the following web site: http://frwebgate.access.gpo.gov/cgi-bin/getdoc.cgi?dbname=109_cong_bills&docid=f.s2590enr.txt.pdf. Cash reports are not applicable. Federal Funding Accountability and Transparency Act of 2006 (FFATA): Public Law 109-282, the Federal Funding Accountability and Transparency Act of 2006 as amended (FFATA), requires full disclosure of all entities and organizations receiving Federal funds including grants, contracts, loans and other assistance and payments through a single publicly accessible web site, www.USASpending.gov. The Web site includes information on each Federal financial assistance award and contract over \$25,000, including such information as:

1. The name of the entity receiving the award
 2. The amount of the award
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 4. The location of the entity receiving the award
 5. A unique identifier of the entity receiving the award; and
 6. Names and compensation of highly-compensated officers (as applicable)
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1. The name of the entity receiving the award
 2. The amount of the award
 3. Information on the award including transaction type, funding agency, etc.
 4. The location of the entity receiving the award
 5. A unique identifier of the entity receiving the award; and
 6. Names and compensation of highly-compensated officers (as applicable)
- Compliance with this law is primarily the responsibility of the Federal agency. However, two elements of the law require information to be collected and reported by recipients: 1) information on executive compensation when not already reported through the Central Contractor Registry; and 2) similar information on all sub-awards/subcontracts/consortiums over \$25,000.

For the full text of the requirements under the Federal Funding Accountability and Transparency Act of 2006, please view the following web site: http://frwebgate.access.gpo.gov/cgi-bin/getdoc.cgi?dbname=109_cong_bills&docid=f.s2590enr.txt.pdf. Federal Funding Accountability and Transparency Act of 2006 (FFATA): Public Law 109-282, the Federal Funding Accountability and Transparency Act of 2006 as amended (FFATA), requires full disclosure of all entities and organizations receiving Federal funds including grants, contracts, loans and other assistance and payments through a single publicly accessible web site, www.USASpending.gov. The Web site includes information on each Federal financial assistance award and contract over \$25,000, including such information as:

1. The name of the entity receiving the award
 2. The amount of the award
 3. Information on the award including transaction type, funding agency, etc.
 4. The location of the entity receiving the award
 5. A unique identifier of the entity receiving the award; and
 6. Names and compensation of highly-compensated officers (as applicable)
- Compliance with this law is primarily the responsibility of the Federal agency. However, two elements of the law require information to be collected and reported by recipients: 1) information on executive compensation when not already reported through the Central Contractor Registry; and 2) similar information on all sub-awards/subcontracts/consortiums over \$25,000. For the full text of the requirements under the Federal Funding Accountability and Transparency Act of 2006, please view the following web site: http://frwebgate.access.gpo.gov/cgi-bin/getdoc.cgi?dbname=109_cong_bills&docid=f.s2590enr.txt.pdf.

Audits:

No audits are required for this program.

Records:

Records must follow the Records Management protocols.

Account Identification:

75-0943-0-1-551 - Authorization is: 75-12-0943 Fund No.: 09216020121DBM BACS No.: H008131101.

Obligations:

(Cooperative Agreements) FY 12 \$5,685,000; FY 13 est \$4,672,122; and FY 14 est \$4,672,122 - Approximately \$4,000,000 in FY2012 funding in cooperative agreements and approximately \$1,685,000 in contracts will be made available. Future year funding is subject to availability of funds.

Approximately 3,854,000 in FY13 funding in cooperative agreements to state and territorial health departments and approximately \$817,400 in contracts will be made available. Future year funding is contingent on availability of funds.

Range and Average of Financial Assistance:

Awards will range from approximately \$50,000 through \$125,000 with an average award of approximately \$80,000 for FY12.

Awards will range from approximately \$50,000 through \$100,000 with an average award of approximately \$68,000 for FY13.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations governing this program are published under 45 Code of Federal Regulations (CFR) Part 74 or Part 92, as appropriate. For competing supplements, Administrative Regulations (ARs) remain in effect as published in the original funding announcement. For more information on the Code of Federal Regulations, see the National Archives and Records Administration at the following Internet address: <http://www.access.gpo.gov/nara/cfr-table-search.html>.

Regional or Local Office:

None.

Headquarters Office:

richard s. roman 4770 Buford Hwy., N.W., MS-K-45, Chamblee, Georgia 30341 Email: rsr1@cdc.gov Phone: 7704885144

Website Address:

<http://www.grants.gov>

RELATED PROGRAMS:

93.283 Centers for Disease Control and Prevention_ Investigations and Technical Assistance; 93.988 Cooperative Agreements for State-Based Diabetes Control Programs and Evaluation of Surveillance Systems

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be reviewed for completeness by the Procurement and Grants

Office (PGO) staff and for responsiveness jointly by the Office of Surveillance, Epidemiology, and Laboratory Services and PGO. Incomplete applications and applications that are non-responsive to the eligibility criteria will not advance through the review process. Applicants will be notified if the application did not meet submission requirements.

CDC will conduct a review to evaluate complete and responsive applications according to the criteria listed in Section V. Application Review Information within the supplemental funding opportunity announcement. Applicants will be notified if their application did not meet program requirements.

93.747 ELDER ABUSE PREVENTION INTERVENTIONS PROGRAM

Elder Abuse Prevention Intervention Projects

FEDERAL AGENCY:

Administration for Community Living, Department of Health and Human Services

AUTHORIZATION:

Section 2042 of the Patient Protection and Affordable Care Act, Section 411 of the Older Americans Act, and Section 4002 of the Affordable Care Act (PPHF).

OBJECTIVES:

To develop, implement, and evaluate successful or promising interventions, practices, and programs to prevent elder abuse, neglect, and exploitation. Through this program, ACL hopes to demonstrate how existing research can be used to craft new, useful programs to address elder abuse, neglect, and exploitation.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

The use of these funds is to support primary, secondary, and/or tertiary elder abuse, neglect, and exploitation activities, interventions, and programs, including, but not limited to: (a) state and tribal efforts to develop successful prevention interventions using existing research in order to prevent and detect elder abuse, neglect, and exploitation; (b) building on the scientific and programmatic knowledge base by developing and evaluating new elder abuse, neglect, and exploitation interventions; (c) enhance the quality, consistency, and effectiveness of adult protective services secondary and tertiary prevention activities. The following activities are not fundable: Construction and/or major rehabilitation of buildings, Basic research (e.g. scientific or medical experiments), Continuation of existing projects without expansion, and Pre-award costs. The following activities are not fundable: Construction and/or major rehabilitation of buildings, Basic research (e.g. scientific or medical experiments), Continuation of existing projects without expansion, and Pre-award costs.

Applicant Eligibility:

Eligible entities for grants authorized under Section 411 of the Older Americans Act are: domestic public or private non-profit entities including state and local governments, Indian tribal governments and organizations (American Indian/Alaskan Native/Native American), faith-based organizations, community-based organizations, hospitals, and institutions of higher education, are eligible. For grants authorized under Section 2042 of the Patient Protection and Affordable Care Act, state government entities are eligible to apply.

Beneficiary Eligibility:

The ultimate beneficiaries of this funding opportunity are older adults who are at risk of experiencing some form of elder maltreatment.

Credentials/Documentation:

The application must include a letter of support from identified partners. Applications should also include a strong partnership with the justice system, and provide evidence of these partnerships. Letters of intent to apply are requested. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded

from coverage under OMB Circular No. A-110. Application materials will be published on the Grants.gov website (<http://www.grants.gov>) or the AoA website (<http://www.aoa.gov/AoARoot/Grants/Funding/index.aspx>).

ACL/AoA is requiring applications for all announcements to be submitted electronically through <http://www.grants.gov>.

Award Procedure:

Merit review of all applications and issuance of a Notice of Award to the grantee.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

This program has no matching requirements. Programs authorized under Section 2042 of the Patient Protection and Affordable Care Act have no matching requirements. Programs authorized under Section 411 of the Older Americans Act have match requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Cooperative agreements will be made for up to a 3 year period, depending upon funding availability. Grant extensions are available and may be requested from the grantees Project Officer. The method of awarding/ releasing assistance is by letter of credit. Method of awarding/releasing assistance: by letter of credit.

Reports:

Grantees will be expected to work through AoA project officers to report on data for the purposes of a multi-component evaluation. Grantees are required to complete the federal cash transactions portion of the SF-425 within the Payment Managements System as identified in their award documents for the calendar quarters ending 3/31, 6/30, 9/30, and 12/31 through the life of their award. In addition, the fully completed SF-425 will be required as denoted in the Notice of Award terms and conditions. Semi-annual progress reports will be required. Grantees will also be expected to comply with new reporting requirements related to the Prevention and Public Health Fund. The PPHF requires quarterly reporting on cash expenditures.

Effective March 1, 2011, AoA requires the submission of the SF-425 (Federal Financial Report). The reporting cycle will be reflected in the Notice of Award.

The AoA program progress report is due semi-annually from the start date of the award and is due within 30 days of the reporting period end date. The final progress report and SF-425 reports are due 90 days after the end of the project period. The suggested content and format for these reports will be available to grantees post award administration. Applicants should be sure to identify the duration, frequency, and level of effort for conducting the proposed activities in order to benefit the target audience, as well as the expected outcomes of the proposed activities and the corresponding performance metrics.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 24, 1997), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that receive financial assistance of \$300,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that

expend less than \$300,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audit info

Records:

Records must be maintained for 3 years after submission of the final financial report.

Account Identification:

75-0142-0-1-506.

Obligations:

(Salaries) FY 12 \$5,671,849; FY 13 est \$600,000; and FY 14 est \$600,000

Range and Average of Financial Assistance:

FY 12 States: \$625,000 \$1,020,000 (5 awards)

Tribes: \$150,000 -- \$250,000 (3 awards).

FY 13: \$500,000 - \$600,000 (est. 1 award).

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

All grantees must comply with all requirements specified for grants funded through the Prevention and Public Health fund. Grantees also must comply with policies outlined in HHS Grants Policy Statement located at <http://www.hhs.gov/asfr/ogapa/grantinformation/hhsgps107.pdf>

Regional or Local Office:

None.

Headquarters Office:

Stephanie WhittierEliason Administration on Aging
Administration for Community Living
1 Massachusetts Ave, NW, Washington, District of Columbia 20201 Email:
Stephanie.WhittierEliason@aoa.hhs.gov Phone: 202-357-3519

Website Address:

<http://www.acl.gov>

RELATED PROGRAMS:

93.048 Special Programs for the Aging_Title IV_and Title II_Discretionary Projects

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Cooperative agreements will be awarded on a competitive basis. Specific details for evaluating applications are published as part of the program announcement. Major emphases are: 1) documented need, 2) expected reach , outcomes and impact , 3) organizational capacity, 4) coordination and partnerships, and 5) strong basis in evidence or theory.

93.748 PPHF-2012 COOPERATIVE AGREEMENTS FOR PRESCRIPTION DRUG MONITORING PROGRAM ELECTRONIC HEALTH RECORD (EHR) INTEGRATION AND INTEROPERABILITY EXPANSION

PPHF-2012: PDMP EHR Integration and Interoperability (TI-12-011)

FEDERAL AGENCY:

Substance Abuse and Mental Health Services Administration, Department of Health and Human Services

AUTHORIZATION:

PDMP EHR Integration and Interoperability cooperative agreements are authorized under Section 509 of the Public Health Service Act, as amended, and are financed by 2012 Prevention and Public Health Funds (PPHF-2012). This announcement addresses Healthy People 2020 Substance Abuse Topic Area HP 2020-SA.

OBJECTIVES:

The purpose of this program is to: 1) improve real-time access to PDMP data by integrating PDMPs into existing technologies like EHRs, in order to improve the ability of State PDMPs to reduce the nature, scope, and extent of prescription drug abuse; and 2) strengthen State PDMPs that are currently

operational by providing resources to make the changes necessary to increase interoperability of State PDMPs.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Applicants that have not yet established interoperability or integration of PDMP into EHR systems must propose implementation of both systems to improve the States ability to reduce prescription drug abuse. Applicants must have an existing PDMP or have an operational PDMP by September 30, 2012, in order to receive an award under this program. An operational PDMP is one that is collecting data and responding to authorized requests for reports. If you do not currently have an operational PDMP, you must submit an assurance in Attachment 2 of your application that you will have one by September 30, 2012. Applicants that do not have an operational PDMP by September 30, 2012, will not be considered for an award. Applicants that have already established interoperability with at least one State or have integrated their PDMP into an EHR system must propose expanding interoperability to multiple State PDMPs and improving real-time access to PDMP data by integrating PDMPs into existing technologies. No more than 10% of the grant award may be used for data collection, performance measurement, and performance assessment expenses.

Applicant Eligibility:

Grant funds will enable States to integrate their PDMPs into EHR and other health information technology systems to expand utilization by increasing the production and distribution of unsolicited reports and alerts to prescribers and dispensers of prescription data. Grant funds will also be used by States to allow for modification of their systems to expand interoperability.

Beneficiary Eligibility:

Eligible applicants are the immediate office of the Chief Executive (e.g., Governor) in the 49 States and 1 U.S. territory (i.e., Guam) that have enacted legislation or regulations that permit the following: implementation of a State PDMP; imposition of appropriate penalties for the unauthorized use and disclosure of information maintained in the program; and ability to share PDMP data (de-identified or anonymized) with CDC for research purposes. Eligibility is limited to States/Territory with enacted PDMP legislation because only these States/Territory have the capability to collect the required information and make that information available to prescribers, dispensers, and under controls, other States. PDMPs that are not actively collecting information cannot link their systems to EHRs or share information with other State PDMPs. Due to State laws establishing PDMPs, privacy, confidentiality, security, and other limitations on PDMPs, PDMP EHR Integration and Interoperability grants are limited to State and applicable territorial government entities.

Credentials/Documentation:

nature of this project: An operational PDMP is one that is collecting data and responding to authorized request for reports. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Applicants must download the SF-424 application forms through <http://www.samhsa.gov/grants/apply.aspx>.

Only the forms package directly attached to a specific Request for Applications (RFA) can be used.

Award Procedure:

Applications are awarded based on the outcomes of the SAMHSA peer review and CSAT National Advisory Council review, and approval by the Administrator, SAMHSA. Grants are awarded directly by SAMHSA to the applicant organization.

Deadlines:

Jun 28, 2012 to Jul 31, 2012: Application are due by July 31, 2012.

Range of Approval/Disapproval Time:

From 60 to 90 days. September 30, 2012.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

This program will be funded through one-year Prevention and Public Health Funds. Method of awarding/releasing assistance: lump sum.

Reports:

All SAMHSA grantees are required to collect and report certain data so that SAMHSA can meet its obligations under the Government Performance and Results Modernization Act of 2010 (GPRA).

The following GPRA measures have been established for this program:

- Number of providers registered with the PDMP;
- Number of physicians, pharmacists, and other healthcare providers using the PDMP;
- Number of providers from other states requesting PDMP reports;
- Number of unsolicited reports sent to licensure boards and law enforcement (where applicable);
- An increase in the number of referrals to substance abuse treatment providers as a result of using the State PDMP;
- Percentage of professional partnerships with other healthcare providers that are established (i.e., with substance abuse treatment providers and primary care providers) as a result of using the State PDMP;
- Number of unsolicited (proactive) reports sent to practitioners; and
- Number of EHR or other health information technology systems that have incorporated PDMP data. No cash reports are required. Grantees must periodically review the performance data they report to SAMHSA (as required above) and assess their progress and use this information to improve management of their grant projects. The assessment should be designed to help you determine whether you are achieving the goals, objectives and outcomes you intend to achieve and whether adjustments need to be made to your project. You will be required to report on your progress achieved, barriers encountered, and efforts to overcome these barriers in a performance assessment report to be submitted at least annually. At a minimum, your performance assessment should include the required performance measures identified above.

Outcome Questions:

- What was the effect of intervention on key outcome goals?
- What program/contextual/cultural factors were associated with outcomes?
- What individual factors were associated with outcomes?
- How durable were the effects?

Process Questions:

- How closely did implementation match the plan?
- What types of changes were made to the originally proposed plan?
- What led to the changes in the original plan?
- What effect did the changes have on the planned intervention and performance assessment?. No expenditure reports are required. Grantees will be required to report on progress achieved, barriers encountered, and efforts to overcome these barriers in a performance assessment report to be submitted at least annually.

Audits:

No audits are required for this program.

Records:

Records must be retained for at least 3 years; SAMHSA has the right to disallow costs and recover funds on the basis of a later audit or other review; records shall be retained beyond the 3 year period for that reason.

Account Identification:

75-1364-0-1-551.

Obligations:

(Cooperative Agreements) FY 12 \$3,438,716; FY 13 est \$0; and FY 14 est \$0

Range and Average of Financial Assistance:

up to \$225,000 per year.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: 9 awards were made. Fiscal Year 2013: No current Data Available. Fiscal Year 2014: No current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None. For questions about program issues contact:

Jinhee J. Lee, Pharm.D.

Project Officer

Division of Pharmacologic Therapies

Center for Substance Abuse Treatment

Substance Abuse and Mental Health Services Administration

1 Choke Cherry Road

Room 7 - 1028

Rockville, Maryland 20857

(240) 276-0545

jinhee.lee@samhsa.hhs.gov

For questions on grants management and budget issues contact:

Eileen Bermudez

Office of Financial Resources, Division of Grants Management

Substance Abuse and Mental Health Services Administration

1 Choke Cherry Road

Room 7-1079

Rockville, Maryland 20857

(240) 276-1412

eileen.bermudez@samhsa.hhs.gov

Headquarters Office:

Kathleen K. Sample, 1 Choke Cherry Road, Room 7-1091, Rockville,

Maryland 20857 Email: kathleen.sample@samhsa.hhs.gov Phone: (240)

276-1407 Fax: (240) 276-1430.

Website Address:

<http://www.samhsa.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

1. EVALUATION CRITERIA

The Project Narrative describes what you intend to do with your project and includes the Evaluation Criteria in Sections A-E below. Your application will be reviewed and scored according to the quality of your response to the requirements in Sections A-E.

In developing the Project Narrative section of your application, use these instructions, which have been tailored to this program.

The Project Narrative (Sections A-E) together may be no longer than 25 pages.

You must use the five sections/headings listed below in developing your Project Narrative. You must place the required information in the correct section, or it will not be considered. Your application will be scored according to how well you address the requirements for each section of the Project Narrative.

Reviewers will be looking for evidence of cultural competence in each section of the Project Narrative, and will consider how well you address the cultural competence aspects of the evaluation criteria when scoring your application. SAMHSA's guidelines for cultural competence can be found on the SAMHSA Web site at <http://www.samhsa.gov/grants/apply.aspx> at the bottom of the page under Resources for Grant Writing.

The Supporting Documentation you provide in Sections F-I and Attachments

1 and 2 will be considered by reviewers in assessing your response, along with the material in the Project Narrative.

The number of points after each heading is the maximum number of points a review committee may assign to that section of your Project Narrative.

Although scoring weights are not assigned to individual bullets, each bullet is assessed in deriving the overall Section score.

Section A: Statement of Need (10 points)

Indicate if your State has established interoperability or integration of your PDMP into EHR systems.

If it has not, discuss the need to implement either system to improve your States ability to reduce prescription drug use. Explain why interoperability is needed and how use and effectiveness will be improved by linking to EHR systems.

Describe the service gaps, barriers, and other problems related to the need for enhanced interoperability and EHR integration.

Section B: Proposed Approach (30 points)

Describe the purpose of the proposed project, including a clear statement of its goals and objectives. These must relate to the performance measures you identify in Section E, Performance Assessment and Data.

Describe how achievement of goals will expand interoperability and enable PDMP integration into EHR.

Describe the proposed project activities, how they meet your PDMP needs, and how they relate to your goals and objectives. These should align with Section I-2, Expectations.

Provide a chart or graph depicting a realistic time line for the entire project period showing key activities, milestones, and responsible staff. [Note: The time line should be part of the Project Narrative. It should not be placed in an attachment.]

Describe any other organizations that will participate and their roles and responsibilities. Demonstrate their commitment to the project. Include letters from these community organizations in Attachment 1 of your application.

Describe the potential barriers to successful conduct of the proposed project and how you will overcome them.

Describe your plan to continue the project after the funding period ends. Also describe how program continuity will be maintained when there is a change in the operational environment (e.g., staff turnover, change in project leadership) to ensure stability over time.

Describe your plan to analyze other similar initiatives and propose how the activities under this grant may complement and not duplicate these other initiatives, including initiatives supported by the Department of Justice and the Office of the National Coordinator for Health Information Technology at HHS.

Attest that activities supported by awards received through this funding opportunity will comply with the Database Requirements found in Section I-2.2 and Appendix G.

Section C: Interoperability and EHR Integration (30 points)

Describe how you will adopt the NIEM PMP specification as the common specification for exchanging PDMP reports with prescriber and dispenser organizations.

Describe how you will adopt ASAP 4.2 or higher as the electronic format for reporting, sharing, and disclosure of information.

Describe how the use of grant funds will expand interoperability to at least eight other State PDMPs, including two geographically bordering States.

Include a description of the manner in which the State PDMP will achieve interoperability with other State PDMPs. If you plan on enhancing your systems to provide real-time access or other system upgrades, please specify in your proposal.

Describe how you will integrate PDMPs into emergency department EHRs, primary care EHRs and pharmacy dispensing systems with appropriate privacy protections.

Describe your plan to meet established criteria for the availability of information and limitation on access to program personnel.

Describe your processes and criteria for granting access to the PDMP, and describe procedures that will ensure information in the database is accurate.

Section D: Staff, Management, and Relevant Experience (15 points)

Discuss the capability and experience of the applicant organization and other participating organizations with similar projects and populations.

Provide a complete list of staff positions for the project, including the Project Director and other key personnel, showing the role of each and their level of effort and qualifications.

Discuss how key staff have demonstrated experience and are qualified to carry out grant activities.

Describe the resources available for the proposed project (e.g., facilities, equipment).

Section E: Performance Assessment and Data (15 points)

Document your ability to collect and report on the required performance measures as specified in Section I-2.4 of this RFA. Describe your plan for data collection, management, analysis and reporting. Specify and justify any additional measures you plan to use for your grant project.

Describe how data will be used to manage the project and assure continuous quality improvement. Describe how information related to process and outcomes will be routinely communicated to program staff.

Describe your plan for conducting the performance assessment as specified in Section I-2.5 of this RFA and document your ability to conduct the assessment.

NOTE: Although the budget for the proposed project is not a scored review criterion, the Review Group will be asked to comment on the appropriateness of the budget after the merits of the application have been considered.

SUPPORTING DOCUMENTATION

Section F: Literature Citations. This section must contain complete citations, including titles and all authors, for any literature you cite in your application.

Section G: Budget Justification, Existing Resources, Other Support. You must provide a narrative justification of the items included in your proposed budget, as well as a description of existing resources and other support you expect to receive for the proposed project. Be sure to show that no more than 10% of the total grant award will be used for data collection, performance measurement, and performance assessment. Specifically identify the items associated with these costs in your budget. An illustration of a budget and narrative justification is included in Appendix E of this document.

Section H: Biographical Sketches and Job Descriptions.

Include a biographical sketch for the Project Director and other key positions. Each sketch should be 2 pages or less. If the person has not been hired, include a position description and/or a letter of commitment with a current biographical sketch from the individual.

Include job descriptions for key personnel. Job descriptions should be no longer than 1 page each.

Information on what you should include in your biographical sketches and job descriptions can be found in Appendix D of this document.

Section I: Confidentiality and SAMHSA Participant Protection/Human Subjects: You must describe procedures relating to Confidentiality, Participant Protection and the Protection of Human Subjects Regulations in Section I of your application. See Appendix F for guidelines on these requirements.

93.749 PPHF 2012 PUBLIC HEALTH LABORATORY INFRASTRUCTURE FINANCED SOLELY BY 2012 PREVENTION AND PUBLIC HEALTH FUND

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Section 317, 42 U.S.C 247b; Patient Protection and Affordable Care Act, Title IV, Section 4002.

OBJECTIVES:

Over the course of the cooperative agreement period, the Association of Public Health Laboratories (APHL) will engage in activities to strengthen public health infectious disease laboratory infrastructure by addressing gaps in public health laboratory practice, and assist with development, implementation and ongoing support of laboratory technologies for use in public health.

The specific objectives may include but are not limited to:

1. Assess public health infectious disease laboratory capabilities and capacities by conducting and analyzing formal and informal surveys and other methodologies.
2. Convene Committees/Task Forces/Workgroups to develop or review guidelines and recommendations on PHL laboratory capacity and quality laboratory practice.

3. Provide training and other resources to address knowledge and capacity gaps.
4. Convene forums to exchange knowledge and build communities of practice and promote the role of public health laboratories in infectious disease control, especially in emerging infectious diseases.

5. Sustain and enhance strategic partnerships with governmental and non-governmental agencies and professional organizations including CDC, Centers for Medicare & Medicaid Services (CMS), Federal Drug Administration (FDA), American Society of Microbiology (ASM), Infectious Disease Society of America (IDSA), American Society for Clinical Pathology (ASCP), ASTHO, CSTE, NACCHO, National Tuberculosis Controllers Association (NTCA), Advisory Council for the Elimination of Tuberculosis (ACET), National Alliance of State and Territorial AIDS Directors (NASTAD), National Coalition of STD Directors (NCSDD), and U.S. Department of Defense to contribute to public policy development and reinforce and expand the role of public health laboratories in infectious disease detection and control.

6. Enhance communication and professional linkages regarding infectious diseases between public health laboratories and clinical and academic laboratories.

7. Provide expert consultation regarding infectious diseases to regulatory agencies and standard setting organizations (e.g., United States Department of Agriculture [USDA], FDA, and CMS).

8. Assure and enhance technology transfer from CDC and other agencies to public health laboratories. Assuring knowledge of appropriate quality control by end users when technology is further transferred to community based organizations involved in infectious disease testing.

9. Provide programmatic and technical support to other focus areas, including policy, emergency preparedness, global health, informatics, and professional development.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Project funds may be used for costs associated with planning, organizing, conducting, and supporting public health laboratory infrastructure programs, and for the implementation of other program elements as described in Section 050 above. CDC's rules on allowable expenses must be followed.

Applicant Eligibility:

Eligibility is limited to the Association of Public Health Laboratories (APHL), a private nonprofit organization and the current grantee. CDC approved single eligibility of this award. This group is the appropriate and only qualified organization to address the activities described under the program announcement.

Beneficiary Eligibility:

Students/trainees and any U.S. state, political subdivision and U.S. territories (as described above), and other public entities will benefit.

Credentials/Documentation:

Applicants should document the need for assistance, state the objectives of the project, outline the method of operation, describe the evaluation procedures, and provide a budget with justification of funds. Costs will be determined in accordance with 2 CFR 215 (OMB Circular No. A-122). OMB Circular No. A-110 applies to this program. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. To apply for this funding opportunity use application Form PHS 5161. Application forms and instructions are available on www.grants.gov and/or the CDC web site, at the following Internet address: www.cdc.gov/od/pgo/forminfo.htm. If you do not have access to the Internet, or if you have difficulty accessing the forms on-line, you may contact the CDC Procurement and Grants Office Technical Information Management Section (PGO-TIMS) staff at 770-488-2700. Application forms can be mailed to you.

Award Procedure:

Successful applicants receive a Notice of Award (NoA) from the CDC Procurement and Grants Office. The NoA shall be the only binding, authorizing document between the recipient and CDC. The NoA will be signed by an authorized Grants Management Officer, and mailed to the recipient fiscal officer identified in the application. Unsuccessful applicants receive notification of the results of the application review by mail.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Renewals will be based upon the conditions in the funding announcement and are subject to the availability of funds under Section 317 of the Public Health Service Act or under the Patient Protection and Affordable Care Act.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Financial assistance is provided for a 12-month budget period with project periods of up to five years subject to the availability of funds and satisfactory progress of the grantee. Method of awarding/releasing assistance: lump sum.

Reports:

Each funded applicant must provide CDC with an interim progress reports via www.grants.gov: 1. The first interim progress report is due at mid year and will serve as the non-competing continuation application, and must contain the following elements: a. Standard Form (SF) 424S Form. b. SF-424A Budget Information-Non-Construction Programs. c. Budget Narrative. d. Indirect Cost Rate Agreement. e. Project Narrative. 2. The second report is due no later than 90 days after the end of the budget period. This report should include a detailed description of specific projects and activities conducted, measurable outcomes achieved and public health impact resulting from projects conducted during the reporting period. 3. Annual progress report, due 90 days after the end of the budget period. These reports must be submitted to the attention of the Grants Management Specialist. No cash reports are required. The reporting schedule will be based on the requirements listed in the funding opportunity announcement.

Interim progress report are required no less than 90 days before the end of the budget period. The progress report will serve as your non-competing continuation application, and must contain the following elements: current budget period activities objectives, current budget period financial progress, new budget period program proposed activity objectives, budget, additional requested information, and measures of effectiveness. Financial status report and annual progress report, no more than 90 days after the end of the budget period; and Final financial and performance reports, no more than 90 days after the end of the project period. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Financial and personnel records including financial awards, financial status reports, interim reports, and final reports must be maintained for a period of seven years.

Account Identification:

75-0943-0-1-551.

Obligations:

(Cooperative Agreements) FY 12 \$28,000,000; FY 13 est \$45,000,000; and FY 14 est \$45,000,000 - Future year funding is subject to the availability of funds.

Range and Average of Financial Assistance:

Awards will range from approximately \$1 million to \$3 million with an average of approximately \$2 million.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations governing this program are published under 42 CFR 55b.

Guidelines are available for 45 CFR 92 and HHS Grants Policy statement at <http://www.ahrq.gov/fund/hhspolicy.htm>

Regional or Local Office:

None.

Headquarters Office:

SABRINA M. HARPER 1600 CLIFTON ROAD
MS E56

CDC, OSELS, ATLANTA, Georgia 30333 Email: SMH0@CDC.GOV Phone: 4044981307

Website Address:

<http://www.cdc.gov>

RELATED PROGRAMS:

93.065 Laboratory Leadership, Workforce Training and Management Development, Improving Public Health Laboratory Infrastructure

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications are reviewed for completeness by the Procurement and Grants Office (PGO) staff and for responsiveness jointly by the Office of Surveillance, Epidemiology and Laboratory Services (OSELS) and PGO. Incomplete applications and applications that are non-responsive to the eligibility criteria will not advance through the review process. Applicants will be notified if the application did not meet submission requirements.

CDC conducts a review to evaluate complete and responsive applications according to the criteria listed in Section V. Application Review Information within the individual funding announcement. Applicants will be notified if their application did not meet program requirements.

93.750 PPHF 2013 - COOPERATIVE AGREEMENT TO SUPPORT NAVIGATORS IN FEDERALLY-FACILITATED AND STATE PARTNERSHIP EXCHANGES

Navigator Grants

FEDERAL AGENCY:

Centers for Medicare and Medicaid Services, Department of Health and Human Services

AUTHORIZATION:

Patient Protection and Affordable Care Act 1311(i); 45 C.F.R. 155.210 and title IV Section 4002 of the Affordable Care Act establishing the Prevention and Public Health Fund (PPHF).

OBJECTIVES:

Section 1311(i) of the Affordable Care Act authorizes Exchange Navigators in States with a Federally-facilitated Exchange (FFE). Exchanges are required to develop and implement Navigator grant programs. Navigators will serve consumers in States with an FFE, including State Partnership Exchanges. As health reform implementation continues, consumers will benefit from understanding new programs, taking advantage of new protections, and navigating the system to find the most affordable coverage that meets their needs. Exchange Navigators are intended to assist consumers in those areas in the following ways:

Maintain expertise in eligibility, enrollment, and program specifications and

conduct public education activities to raise awareness about the Exchange;

Provide information and services in a fair, accurate, and impartial manner. Such information must acknowledge other health programs (e.g., Medicaid, Childrens Health Insurance Program (CHIP));

Facilitate selection of a QHP;

Provide referrals to any applicable office of health insurance consumer assistance or health insurance ombudsman established under Section 2793 of the Public Health Service (PHS) Act, or any other appropriate State agency or agencies, for any enrollee with a grievance, complaint, or question regarding their health plan, coverage, or a determination under such plan or coverage; and

Provide information in a manner that is culturally and linguistically appropriate to the needs of the population being served by the Exchange, including individuals with limited English proficiency, and ensure accessibility and usability of Navigator tools, such as fact sheets, and functions for individuals with disabilities in accordance with the Americans with Disabilities Act and Section 504 of the Rehabilitation Act.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Use of these funds should be to build a program that supports the following required duties:

Maintain expertise in eligibility, enrollment, and program specifications and conduct public education activities to raise awareness about the Exchange;

Provide information and services in a fair, accurate, and impartial manner. Such information must acknowledge other health programs (e.g., Medicaid, Childrens Health Insurance Program (CHIP));

Facilitate selection of a QHP;

Provide referrals to any applicable office of health insurance consumer assistance or health insurance ombudsman established under Section 2793 of the PHS Act, or any other appropriate State agency or agencies, for any enrollee with a grievance, complaint, or question regarding their health plan, coverage, or a determination under such plan or coverage; and

Provide information in a manner that is culturally and linguistically appropriate to the needs of the population being served by the Exchange, including individuals with limited English proficiency, and ensure accessibility and usability of Navigator tools, such as fact sheets, and functions for individuals with disabilities in accordance with the Americans with Disabilities Act and Section 504 of the Rehabilitation Act. Funds are restricted from being used:

1. To cover the costs to provide direct health care services to individuals.
2. To match any other Federal funds.
3. To provide services, equipment, or support that are the legal responsibility of another party under Federal or State law (e.g., vocational rehabilitation or education services) or under any civil rights laws. Such legal responsibilities include, but are not limited to, modifications of a workplace or other reasonable accommodations that are a specific obligation of the employer or other party.
4. To supplant existing State, local, or private funding of infrastructure or services such as staff salaries, etc.
5. To cover any pre-award costs.
6. To carry out services that are the responsibility of the Exchange, such as eligibility and enrollment determinations, or to carry out any functions already funded through federal Exchange Establishment grants under section 1311(a) of the Affordable Care Act.
7. To assist consumers residing in a State with a State-based Exchange (SBE) or in a State the Navigator does not serve. Navigators may provide these consumers with basic information about Exchanges, but should refer them to Navigators, the Exchange Call Center, and other resources within the State where the consumer resides for more in-depth assistance.

Applicant Eligibility:

Funding through the cooperative agreement is open to self-employed individuals and private and public entities including community and consumer-focused nonprofit groups; trade, industry and professional associations; commercial fishing industry organizations; ranching and farming organizations; chambers of commerce; unions; resource partners of the Small Business Administration; licensed insurance agents and brokers; and other public or private entities. Other entities may include but are not limited to Indian Tribes, tribal organizations, urban Indian organizations, and State or

local human services.

Beneficiary Eligibility:

Beneficiaries must propose to serve in a State with a FFE to be eligible to be served by applicants receiving funding through this cooperative agreement.

Credentials/Documentation:

All applicants (individuals, entities, and all members making up a consortium) should submit a brief statement (one or two short paragraphs) within the Project Narrative attesting that they are not one of the following ineligible entities: Health insurance issuers, subsidiaries of health insurance issuers, associations that include members of, or lobbies on behalf of, the insurance industry, or recipients of any direct or indirect consideration from any health insurance issuer in connection with the enrollment of any individuals or employees in a QHP or non-QHP. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. 1. All applicants under this announcement must have an Employer Identification Number/Taxpayer Identification Number (EIN/TIN) to apply. Please note, the time needed to complete the EIN/TIN registration process is substantial, and applicants should therefore begin the process of obtaining an EIN/TIN immediately upon posting of this FOA to ensure this information is received in advance of application deadlines.

2. All applicants, as well as sub-recipients must have a Dun and Bradstreet (D&B) Data Universal Numbering System (DUNS) number at the time of application in order to be considered for a grant or cooperative agreement. A DUNS number is required whether an applicant is submitting a paper application (only applicable if a waiver is granted) or using the Government-wide electronic portal, <http://www.grants.gov>. The DUNS number is a nine-digit identification number that uniquely identifies business entities. Obtaining a DUNS number is easy and free. To obtain a DUNS number, access the following website: www.dunandbradstreet.com or call 1-866-705-5711.

This number should be entered in the block with the applicant's name and address on the cover page of the application (Item 8c on the Form SF-424, Application for Federal Assistance). The name and address in the application should be exactly as given for the DUNS number. Applicants should obtain this DUNS number as soon as possible after the announcement is posted to ensure all registration steps are completed in time.

3. The applicant must also register in the System for Award Management (SAM) database in order to be able to submit the application. Applicants are encouraged to register early, and must have their DUNS and EIN/TIN numbers in order to do so. Information about SAM is available at <https://www.sam.gov/portal/public/SAM/>. The SAM registration process is a separate process from submitting an application. You should allow a minimum of five business days to complete SAM registration; however, in some cases, the registration process can take approximately two weeks or longer to be completed. Therefore, applicants should begin the SAM registration process as soon as possible after the announcement is posted to ensure that it does not impair your ability to meet required submission deadlines.

4. Authorized Organizational Representative: The Authorized Organizational Representative (AOR) who will officially submit an application on behalf of the organization must register with grants.gov for a username and password. AORs must complete a profile with [Grants.gov](http://grants.gov) using their organizations DUNS Number to obtain their username and password at http://grants.gov/applicants/get_registered.jsp. AORs must wait one business day after successful registration in SAM before entering their profiles in [Grants.gov](http://grants.gov). Applicants should complete this process as soon as possible after successful registration in SAM to ensure this step is completed in time to apply before application deadlines.

5. When an AOR registers with [Grants.gov](http://grants.gov) to submit applications on behalf of an organization, that organizations E-Biz POC will receive an email notification. The email address provided in the profile will be the email used to send the notification from [Grants.gov](http://grants.gov) to the E-Biz POC with the AOR copied on the correspondence.

6. The E-Biz POC must then login to [Grants.gov](http://grants.gov) (using the organizations

DUNS number for the username and the special password called M-PIN) and approve the AOR, thereby providing permission to submit applications.

7. Any files uploaded or attached to the Grants.Gov application must be PDF file format and must contain a valid file format extension in the filename. Even though Grants.gov allows applicants to attach any file formats as part of their application, CMS restricts this practice and only accepts PDF file formats. Any file submitted as part of the Grants.gov application that is not in a PDF file format, or contains password protection, will not be accepted for processing and will be excluded from the application during the review process. In addition, the use of compressed file formats such as ZIP, RAR, or Adobe Portfolio will not be accepted. The application must be submitted in a file format that can easily be copied and read by reviewers. It is recommended that scanned copies not be submitted through Grants.gov unless the applicant confirms the clarity of the documents. Pages cannot be reduced in size, resulting in multiple pages on a single sheet, to avoid exceeding the page limitation. All documents that do not conform to the above specifications will be excluded from the application materials during the review process.

8. After you electronically submit your application, you will receive an acknowledgement from <http://www.grants.gov> that contains a Grants.gov tracking number. HHS will retrieve your application package from Grants.gov. Please note, applicants may incur a time delay before they receive acknowledgement that the application has been accepted by the Grants.gov system. Applicants should not wait until the application deadline to apply because notification by Grants.gov that the application is incomplete may not be received until close to or after the application deadline, eliminating the opportunity to correct errors and resubmit the application. Applications submitted after the deadline, as a result of errors on the part of the applicant, will not be accepted and/or granted a waiver.

9. After HHS retrieves your application package from Grants.gov, a return receipt will be emailed to the applicant contact. This will be in addition to the validation number provided by Grants.gov.

10. All grant applications must be submitted electronically and be received through <http://www.grants.gov> by 1:00 pm Eastern Daylight Time on the applicable due date.

11. All applications will receive an automatic time stamp upon submission and applicants will receive an email reply acknowledging the applications receipt.

Award Procedure:

Applications will be screened to determine eligibility for further review using the criteria detailed in Section III, Eligibility Information, of this solicitation.

An evaluation rubric will be developed by HHS, which will consist of critical elements identified in Section V, Application Review Information, of this solicitation. This evaluation rubric will be used by qualified, unbiased experts in their review of all applications. An applicant may receive a score of up to 100 points.

The results of the objective review of applications by qualified experts will be used to advise the approving HHS official. Final award decisions will be made by a HHS program official. In making these decisions, the HHS program official will take into consideration: the regulatory requirement that there be at least two types of Navigators in each Exchange and that one of these Navigators be a community and consumer focused nonprofit group; span or scope of populations proposed to be served; recommendations of the review panel; reviews for programmatic and grants management compliance; the reasonableness of the estimated cost to the government and anticipated results; and the likelihood the proposed cost will result in the benefits expected. HHS reserves the right to conduct pre-award Budget Negotiations with potential awardees.

Successful applicants can receive one cooperative agreement award based on this solicitation.

Evaluations will be made available for review upon request, excluding scores.

Successful applicants will receive a Notice of Award (NoA) signed and dated by the HHS Grants Management Officer. The NoA is the document authorizing the grant award and will be sent by electronic mail to the awardee as listed on its SF-424. Any communication between HHS and applicants prior to issuance of the NoA is not an authorization to begin performance of a project.

Unsuccessful applicants will be notified by letter, sent electronically or through the U.S. Postal Service to the applicant organization as listed on its SF-424, within 30 days of the award date.

Deadlines:

Apr 05, 2013 to Aug 14, 2013

Range of Approval/Disapproval Time:

Anticipated Award Date: August 14, 2013.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grant period is one year from date of award. See the following for information on how assistance is awarded/released: Once an award is made, the funds are posted in recipient accounts established in the Department of Health and Human Services, Division of Payment Management, Payment Management System (PMS). Grantees may then access their funds by using the PMS funds request process. Upon notification of award, recipients under this announcement will be able to drawdown funds for approved start-up costs. The remaining funds will be reimbursable upon meeting required milestones.

Reports:

Grantee must provide required quarterly and final (at the end of the cooperative agreement period) reports in a form prescribed by CMS. Reports will be submitted electronically. These reports will outline how cooperative agreement funds were used, describe program progress, describe any barriers encountered including how any potential conflicts of interest were mitigated and process for handling non-compliant staff or volunteers, describe how the program ensured access to culturally and linguistically appropriate services, and detail measurable outcomes to include how many staff and volunteers completed required training and became certified as Navigators. CMS will provide the format for program reporting and the technical assistance necessary to complete program reporting requirements. At each stage, CMS will evaluate reports and provide feedback to recipients.

Recipients agree to separately identify to each sub-recipient, and document at the time of sub-award and the time of disbursement of funds, the Federal award number, and CFDA number 93.750 for 2013 PPHF fund purposes, and amount of PPHF funds.

Recipient agrees to report on the following: This award requires the recipient to complete projects or activities which are funded under the 2013 PPHF and to report on use of PPHF funds provided through this award. Information from these reports will be made available to the public.

Recipients awarded a grant, cooperative agreement, or contract from such funds with a value of \$25,000 or more shall produce reports on a semi-annual basis with a reporting cycle of January 1 June 30 and July 1 December 31; and email such reports (in 508 compliant format) to the HHS grants management official assigned to the grant or cooperative agreement no later than 20 calendar days after the end of each reporting period (i.e., July 20 and January 20, respectively). Recipient reports shall reference the notice of award number and title of the grant or cooperative agreement, and include a summary of the activities undertaken and identify any sub-grants or sub-contracts awarded (including the purpose of the award and the identity of the [sub] recipient).

Grantees must report on a quarterly basis cash transaction data via the Payment Management System (PMS) using the FFR in lieu of completing a SF-272/SF-272A. The FFR, containing cash transaction data, is due within 30 days after the end of each quarter. No progress reports are required. Grantees must report on a quarterly basis cash transaction data via the Payment Management System (PMS) using the FFR in lieu of completing a SF-272/SF-272A. The FFR, containing cash transaction data, is due within 30 days after the end of each quarter. Awardees must agree to cooperate with any Federal evaluation of the program and must provide required quarterly and final (at the end of the cooperative agreement period) reports in a form prescribed by CMS.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June

27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Recipient must maintain expenditures and other financial records detailing the use of cooperative agreement funds for three years from the day on which the grantee submits the last financial status report for the grant period unless there is an open investigation in which case the records must be maintained during the duration of the investigation. Recipient should submit these reports to CMS on a quarterly basis along with their quarterly progress reports.

Account Identification:

75-0116-0-1-551.

Obligations:

(Cooperative Agreements) FY 12 Not Available; FY 13 est \$67,000,000; and FY 14 Estimate Not Available

Range and Average of Financial Assistance:

N/A.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

The criteria as outlined in this grant announcement and in Affordable Care Act Section 1311(i) and 45 CFR 155.210.

Administrative Regulations for Grants:

45 CFR, Part 74, Uniform Administrative Requirements for Awards and Subawards to Institutions of Higher Education, Hospitals, Other Nonprofit Organizations, and Commercial Organizations.

45 CFR, Part 92, Uniform Administrative Requirements for Grants and Cooperative Agreements to State, Local and Tribal Governments.

Grants Policy:

HHS Grants Policy Statement, Revised 01/07.

Cost Principles:

Title 2, Code of Federal Regulations: Grants and Agreements, Part 220 Cost Principles for Educational Institutions (previously OMB Circular A-21)

Title 2, Code of Federal Regulations: Grant and Agreements, Part 225 Cost Principles for State, Local, and Indian Tribal Governments (previously OMB Circular A-87).

Title 2, Code of Federal Regulations: Grant and Agreements, Part 230 Cost Principles for Non-Profit Organizations (previously OMB Circular A 122).

48 CFR subpart 31.2 Contracts with Commercial Organizations

Audit Requirements:

OMB Circular A 133, Audits of States, Local Governments, and Non profit Organizations.

Regional or Local Office:

See Regional Agency Offices. Programmatic Questions

Navigatorgrants@cms.hhs.gov

Administrative Questions

Michelle Feagins

Grants Management Division

Office of Acquisition and Grants Management

Centers for Medicare and Medicaid Services

Department of Health and Human Services

Michelle.Feagins@cms.hhs.gov

1-301-492-4312.

Headquarters Office:

Michelle Feagins 200 Independence Ave., S.W., Washington, District of Columbia 20201 Email: Michelle.Feagins@cms.hhs.gov Phone:

1-301-492-4312

Website Address:

<http://www.cciio.cms.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Successful applicants are required to demonstrate that they will use cooperative agreement funds to, at a minimum, carry out the statutory and regulatory duties of a Navigator for the entire length of the grant period, including: maintaining expertise in eligibility, enrollment, and program specifications; conducting public education activities to raise awareness about the Exchange; providing information and services in a fair, accurate, and impartial manner; facilitating selection of a QHP; providing referrals to any applicable office of health insurance consumer assistance or ombudsman established under Section 2793 of the PHS Act to address consumer grievances, questions, or complaints about their health plan, coverage, or a determination; and providing information in a manner that is culturally and linguistically appropriate and accessible to individuals with disabilities.

93.751 PPHF2013: CONSORTIUM FOR TOBACCO USE CESSATION TECHNICAL ASSISTANCE FINANCED BY SOLELY BY 2013 PREVENTION AND PUBLIC HEALTH FUNDS

PPHF2013: Consortium for Tobacco Use Cessation Technical Assistance

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Consolidation Appropriations Act, Fiscal Year 2013, Public Law 112-74, for the Department of Labor, Health and Human Services, and Education and the Department of Interior and Related Agencies; and the Consolidated and Further Continuing Appropriations Act, Fiscal Year 2013, Public Law 112-55 for the United States Department of Agriculture, and Related Agencies. Title IV section 4002 Prevention and Public Health Fund.

OBJECTIVES:

The purpose of this program is to develop a consortium for tobacco use cessation technical assistance. The aim of the cessation consortium is to provide technical assistance to state tobacco control programs and other partners by translating the science of tobacco control cessation into public health action to further increase the rate of cessation among tobacco users in the United States. The funded organizations will accomplish this by providing technical assistance to state tobacco control programs and other partners to (1) promote comprehensive cessation strategies for state tobacco control programs, (2) enhance state quitline capacity, (3) promote comprehensive cessation coverage, and (4) promote health systems change. Tobacco use remains the leading preventable cause of disease and death in the United States. More than half of smokers attempt to quit each year, but only 4-7% succeed. Evidence-based cessation treatments, including individual, group, and telephone counseling and seven FDA-approved cessation medications, exist, but are underutilized. This program is intended to increase the number of quit attempts and successful quit attempts, thereby increasing cessation and reducing tobacco use.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Project funds may be used for costs associated with planning, organizing, conducting, and supporting tobacco cessation technical assistance strategies. CDC will have substantial involvement with the award recipient during performance of the funded activity. Applicants must adhere to all CDC guidelines regarding allowable and unallowable expenses.

Applicant Eligibility:

A Bona Fide Agent is an agency/organization identified by the state as eligible to submit an application under the state eligibility in lieu of a state application. If applying as a bona fide agent of a state or local government, a legal, binding agreement from the state or local government as documentation of the status is required.

Beneficiary Eligibility:

The general public will benefit from the objectives of this program.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program.

Award Procedure:

All applications that are complete and responsive to competitive cooperative agreement announcement will undergo an objective review process, receive a written critique and be scored according to published review criteria. Successful applicants will receive a Notice of Award (NoA) from the CDC Procurement and Grants Office. The NOA shall be the only binding, authorizing document between the recipient and CDC. The NoA will be signed by an authorized CDC Grants Management Officer.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

From 120 to 180 days. All applications that are complete and responsive to competitive cooperative agreement announcement will undergo an objective review process, receive a written critique and be scored according to published review criteria. Successful applicants will receive a Notice of Award (NoA) from the CDC Procurement and Grants Office. The NOA shall be the only binding, authorizing document between the recipient and CDC. The NoA will be signed by an authorized CDC Grants Management Officer.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Financial assistance is available for a 12 month budget period and 5 year project period.

Method of awarding and releasing assistance: lump sum. Method of awarding/releasing assistance: lump sum.

Reports:

Reporting Requirements under Section 203 of the 2012 Enacted Appropriations Bill for the Prevention and Public Health Fund, Public Law 111-5:

This award requires the recipient to complete projects or activities which are funded under the 2012 Prevention and Public Health Fund (PPHF) and to report on use of PPHF funds provided through this award. Information from these reports will be made available to the public.

Recipients awarded a grant, cooperative agreement, or contract from such funds with a value of \$25,000 or more shall produce reports on a semi-annual basis with a reporting cycle of January 1 - June 30 and July 1 - December 31; and email such reports (in 508 compliant format) to the CDC website (template and point of contact to be provided after award) no later than 20 calendar days

after the end of each reporting period (i.e. July 20 and January 20, respectively). Recipient reports shall reference the notice of award number and title of the grant or cooperative agreement, and include a summary of the activities undertaken and identify any sub-grants or sub-contracts awarded (including the purpose of the award and the identity of the subrecipient). No cash reports are required. Annual Progress reports are required. Federal Financial Reports are required 90 Days after the end of the calendar quarter in which the budget period ends. Annual Progress reports are required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the program shall be retained for a minimum of 3 years, or until completion and resolution of any audit in process or pending resolution. In all cases records must be retained until resolution of any audit questions. Property records must be retained in accordance with 45 CFR 92.42.

Account Identification:

75-0094-3-1-550.

Obligations:

(Salaries) FY 12 \$0; FY 13 est \$450,000; and FY 14 est \$0 - Approximately \$450,000 for FY2013 in cooperative agreement funding will be made available. Future year funding is subject to the availability of funds.

Range and Average of Financial Assistance:

Awards will range from approximately \$75,000 to \$450,000 with an average of approximately \$112,500.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

www.cdc.gov/tobacco

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Steve Babb NCCDPHP, 4770 Buford Highway NE, MSK50, Atlanta, Georgia 30341 Email: SBabb@cdc.gov Phone: 770-488-1172

Website Address:

<http://www.cdc.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be reviewed for completeness by the Procurement and Grants Office (PGO) staff and for responsiveness jointly by the National Center for Chronic Disease Prevention and Health Promotion and PGO. Incomplete applications and applications that are non-responsive to the eligibility criteria will not advance through the review process. Applicants will be notified if the application did not meet submission requirements.

CDC will conduct a review to evaluate complete and responsive applications according to the criteria listed in Section V. Application Review Information within the individual funding announcement. Applicants will be notified if their application did not meet program requirements.

93.767 CHILDREN'S HEALTH INSURANCE PROGRAM

(CHIP)

FEDERAL AGENCY:

Centers for Medicare and Medicaid Services, Department of Health and Human Services

AUTHORIZATION:

Title XXI of the Social Security Act as amended by the Children's Health Insurance Program Reauthorization Act of 2009 (CHIPRA; Public Law 111-003) and the Affordable Care Act (ACA; Public Law 111-148 taken together with Public Law 111-152).

OBJECTIVES:

To provide funds to States to enable them to maintain and expand child health assistance to uninsured, low-income children, and at a state option, low-income pregnant women and legal immigrants, primarily by three methods: (1) obtain health insurance coverage that meets the requirements in Section 2103 relating to the amount, duration, and scope of benefits; (2) expand eligibility for children under the State's Medicaid program; or (3) a combination of the two.

For the CHIP Cycle III Project Grants, this solicitation seeks applications for Connecting Kids to Coverage Outreach and Enrollment (Cycle III) grant funding, provided under the Section 2113 of the Social Security Act, as amended by section 10203(d)(2)(E)(i) of the Patient Protection and Affordable Care Act (ACA) (Pub. L. 111-148). A total of \$32 million is available for grants to states, local governments, community-based and non-profit organizations. Cycle III grants will support outreach strategies similar to those conducted in previous grant cycles, and also will fund activities designed to help families understand new application procedures and health coverage opportunities, including Medicaid, CHIP and insurance affordability programs under the ACA. Refer to the funding opportunity announcement (Agency Funding Opportunity Number: CMS-1Z0-13-002, Competition ID Number: CMS-1Z0-13-002-016205) for additional information.

TYPES OF ASSISTANCE:

FORMULA GRANTS; Project Grants

USES AND USE RESTRICTIONS:

No State is eligible for payments for child health assistance for coverage provided prior to October 1, 1997. Standards used to determine eligibility may include those related to geographic areas to be served by the plan. Age, income and resources, residency, disability status (as long as the standard does not restrict eligibility), access to or coverage under other health coverage, and duration of eligibility are factors. Standards may not discriminate on the basis of diagnosis. Eligibility standards must not cover higher-income children without covering lower-income children, and must not deny eligibility based on a child having a pre-existing medical condition. The State must ensure that only targeted low-income children are furnished child health assistance under the plan. Children found through screening to be eligible for Medicaid are to be enrolled in Medicaid. The insurance provided using Federal funds under the State plan does may not substitute for coverage under group health plans. Coordination with other public and private programs providing creditable coverage for low-income children should occur. Child Health Assistance (other than Medicaid), must consist of any of the following: Benchmark coverage; benchmark equivalent coverage (which can be FEHBP-equivalent coverage); State employee coverage or coverage offered through the HMO with the largest insured commercial non-Medicaid enrollment in the State; existing comprehensive State-based coverage; or Secretary-approved coverage. A State child health plan must include a description of the amount (if any) of cost-sharing and must be in accordance with a public schedule. Cost-sharing may be varied in a way that does not favor higher-income children over lower-income children. No cost-sharing is permitted for well-baby and well-child care, including age-appropriate immunizations. Cost-sharing for children at 150 percent of poverty must be consistent with Medicaid; Cost-sharing for children at 150 percent of poverty and above must be based on an income-related sliding scale. The aggregate cost sharing for all children in a family cannot exceed 5 percent of the family's income. The State child health plan may not impose pre-existing condition exclusions for covered benefits. Funds provided to a State under this Title may only be used to carry out the purposes of this Title. Health insurance coverage provided may include

coverage of abortion only if necessary to save the life of the mother or if the pregnancy is the result of an act of rape or incest. States may spend up to 10 percent of their total CHIP expenditures (Federal and State) on non-benefit activities, including: outreach conducted to identify and enroll eligible children in CHIP; administration costs; health services initiatives; and other child health assistance. These expenditures are matched at the enhanced CHIP matching rate and counted against both the 10 percent limit and the allotment. Monetary amounts provided by the Federal government or services assisted or subsidized to any extent by the Federal government, may not be included in determining the amount of nonfederal contributions required for State matching purposes.

All Cycle III awardees must adhere to all HHS terms and conditions regarding uses and exclusions of funds. All awardees will receive this information in their award packages.

Applicant Eligibility:

All States and Territories may apply.

For eligibility requirements for the Cycle III project grants, refer to the funding opportunity announcement (Agency Funding Opportunity Number: CMS-1Z0-13-002, Competition ID Number: CMS-1Z0-13-002-016205) for additional information.

Beneficiary Eligibility:

Targeted low-income children will benefit. These children are defined (for the purposes of Title XXI) as children who have been determined eligible by the State for child health assistance under their State plan; are low-income children; or are children whose family income exceeds the Medicaid applicable income level but does not exceed 50 percentage points above the Medicaid applicable income level; and are not found to be eligible for medical assistance under Title XIX or covered under a group health plan or under health insurance coverage.

Credentials/Documentation:

States and Territories must submit and have approved by the Secretary of DHHS, a State Child Health Plan. Individuals must meet State requirements. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

For the Cycle III project grants application and award process, refer to the funding opportunity announcement (Agency Funding Opportunity Number: CMS-1Z0-13-002, Competition ID Number: CMS-1Z0-13-002-016205) for additional information. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Title XXI plans and amendments are submitted by the State Governor, or designee, to the CMS Center for Medicaid and CHIP Services; Children and Adults Health Programs Group (CMCS/CAHPG). The Title XXI plan should be a stand alone document that fully addresses each relevant Section of the statutory requirements.

Award Procedure:

The CMS Administrator exercises delegated authority to approve Title XXI plans and amendments. Letters of approval will be signed by the CMS Administrator.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Section 2106 of the Law, specifies that a State plan is considered approved unless the Secretary notifies the State in writing, within 90 days after receipt of the plan, that the plan is disapproved (and the reasons for disapproval) or that specific additional information is needed. Informal clarification and discussion between the State and the DHHS review team is permitted and encouraged during the review period. This does not stop the "90-day clock." The 90-day review period may be stopped by formal written requests for additional information and clarification. The 90-day review period may be stopped as

many times as necessary to obtain completed information necessary to disapprove or approve the plan. The 90-day period will resume when the finalized additional information is received by CMS.

Appeals:

If a State wishes to appeal a disapproval, it may petition for a reconsideration of this decision within 60 days after the date of receipt of the disapproval letter, by submitting a written request for reconsideration to the project officer and the regional office. States also have the option to submit a new application following the disapproval, starting a new 90-day review clock.

Renewals:

An approved State child health plan shall continue in effect unless the State amends that plan or the Secretary finds substantial noncompliance of the plan in accordance with the requirements of Title XXI.

Formula and Matching Requirements:

Statutory Formula:

Matching Requirements: For the Cycle III project grants, there are no matching requirements.

Section 2105(b), Title XXI, provides for an "enhanced Federal Matching Assistance Percentage (EFMAP)" for child health assistance provided under Title XXI that is equal to the current FMAP for the fiscal year (FY) in the Medicaid Title XIX program, increased by 30 percent of the difference between 100 and the current FMAP for that fiscal year. For fiscal years 2013 and prior, the EFMAP may not exceed 85 percent. As a result of the implementation of the Affordable Care Act (ACA), states shall receive a 23 percentage point increase in their respective EFMAPs for fiscal years 2016-2019 for most CHIP expenditures; however the EFMAP for a state may not exceed 100 percent. In FY 2009, the CHIPRA implemented a limitation on matching rates for states that propose to cover children with effective family income that exceeds 300 percent of the poverty line to FMAP rather than EFMAP, unless a waiver or State Plan Amendment or state law was in place to cover this population before the enactment of CHIPRA.

Section 2104(a) of the Social Security Act as amended by section 10203 of the ACA provide appropriations through through September 30, 2015 for the purpose of providing annual allotments to the states to fund their CHIP programs. Only States with approved State Plans by the end of the fiscal year will be included in the final allotment calculation.

In general, in FY 2010, the states annual allotments were calculated as the sum of the following four amounts, multiplied by the applicable growth factor for the year.

--the FY 2009 CHIP allotments;

--FY 2006 unspent allotments redistributed to and spent by shortfall states in FY 2009;

--spending of funds provided to shortfall states in the first half of FY 2009; and
--spending of Contingency Fund payments in FY 2009, although there were no Contingency Fund payments made in FY 2009.

Note: States may also qualify to receive an increase in their FY 2010, FY 2012, or FY 2014 allotments if they meet the criteria described in section 2104(m)(6) and 2107(m)(7) of the Social Security Act (the Act) as previously amended by section 102 of CHIPRA and further amended by sections 2102(a)(1)(B) 10203 of the ACA.

For FY 2011, FY 2013, and FY 2015 the allotments will be rebased on prior year spending. This will be done by multiplying the states growth factor for the year by the new base, which will be the prior years federal CHIP spending (including any contingency fund payments or redistribution amounts). For FY 2012 and FY 2014, the allotment for a state will be calculated as the previous fiscal years allotment amount and any previous fiscal years contingency fund spending, multiplied by the states growth factor for the year. The ACA extended the availability of the Child Enrollment Contingency Fund which may be available for states that meet the criteria provided in section 2104(n) of the Act through FY 2015.

This program has MOE requirements, see funding agency for further details.
This program has MOE requirements, see finding agency for further details.

Length and Time Phasing of Assistance:

Enrolled children receive medical services as necessary. Federal funds are obligated to the States by issuing Title XXI grant awards. To ensure that all of the appropriated funds are available to States, CMS will issue grant awards to all States with Title XXI State plans approved by the end of the fiscal year equaling the national amount available for allotment to the 50 States, the District of Columbia, and the Commonwealths and Territories for that fiscal year. Grant awards must be issued by the time the CMS/HHS accounting system closes with respect to that fiscal year. Method of awarding/releasing assistance: lump sum.

Reports:

No program reports are required. No cash reports are required. Section 2108 of the Law specifies that States must develop annual reports assessing the operation of their State Plan for each fiscal year, including the progress made in reducing the number of uncovered low-income children and report to the Secretary by January 1, of the following year the results of the assessment. By March 31, 2000, each State with a child health plan must submit to the Secretary an evaluation that includes an assessment of the effectiveness of the State Plan in increasing the number of children with creditable health coverage, in increasing the availability of affordable quality individual and family health insurance for children, and in coordinating recommendations for improving the program under this Title. By December 31, 2001, the Secretary must submit to Congress and make available to the public, a report based on the evaluations submitted by the States recommendations and conclusions. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. A State child health plan under Title XXI must include an assurance that the State will afford the Secretary access to any records or information relating to the plan for the purposes of review or audit.

Records:

A State child health plan must include an assurance that the State will collect the data, maintain the records, and furnish the report to the Secretary at the times and in standardized format (as the Secretary requires), in order to enable the Secretary to monitor State program administration and compliance and to evaluate and compare the effectiveness of State Plans under this Title.

Account Identification:

75-0515-0-1-551.

Obligations:

(Formula Grants (Apportionments)) FY 12 \$8,971,000,000; FY 13 est \$17,406,000,000; and FY 14 est \$19,147,000,000

Range and Average of Financial Assistance:

For FY 2013, the range is from \$934,000 (Northern Mariana Islands) to \$1,296,015,000(California).

For the CHIP Cycle III Grants to be awarded over a 24-month period beginning in FY 2013, grants will range in size from \$250,000 to \$1 million.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations and guidance issued related to the Children's Health Insurance Program may be accessed through the World Wide Web at: www.medicaid.gov.

Regional or Local Office:

See Regional Agency Offices. Contact the Regional Administrator, Centers for Medicare and Medicaid Services. (See Appendix IV of the Catalog for addresses and telephone numbers).

Headquarters Office:

Center for Medicaid, CHIP, and Survey & Certification, 7500 Security Boulevard, Baltimore, Maryland 21244 Phone: (410) 786-3870.

Website Address:

<http://www.cms.gov>

RELATED PROGRAMS:

93.778 Medical Assistance Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.768 MEDICAID INFRASTRUCTURE GRANTS TO SUPPORT THE COMPETITIVE EMPLOYMENT OF PEOPLE WITH DISABILITIES

(Ticket To Work Infrastructure Grants)

FEDERAL AGENCY:

Centers for Medicare and Medicaid Services, Department of Health and Human Services

AUTHORIZATION:

Ticket to Work and Work Incentives Improvement Act of 1999, Section 203, Public Law 106-170.

OBJECTIVES:

To support State efforts to enhance employment options for people with disabilities by building Medicaid infrastructure. Funding may be used to develop a Medicaid buy-in, increase availability of Personal Assistance Services, or for State-to-State technical assistance. In addition, States may use funding to develop a comprehensive approach to bridge Medicaid services with other key supports and programs used by employed people with disabilities.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

While the proposals submitted by the States may vary, States participating in this grant program will use the funds to remove the barriers to employment of persons with disabilities, by creating health systems change through the Medicaid program. The development or enhancement of certain core Medicaid components in each State would enable people with disabilities not only to work, but to sustain adequate health coverage if they find they need to relocate to another State for employment purposes. An adequate personal assistance services benefit and a Medicaid buy-in for employed people with disabilities are significant components of the Ticket to Work and Work Incentives Improvement Act. The infrastructure grants program provides money to the States to develop these core elements. In addition, States may use funding to develop a comprehensive approach to bridge Medicaid services with other key supports and programs used by employed people with disabilities. Funds may not be used for the direct provision of services to people with disabilities except on a one-time, last resort, emergency basis for the purpose of sustaining the individual's competitive employment.

Applicant Eligibility:

Either of the following may apply: (a) the single state medicaid agency; or (b) any other agency or instrumentality of a state(as determined under State law) in partnership, agreement and active participation with the single state medicaid agency, the State Legislature, or the office of the Governor.

Beneficiary Eligibility:

There are two groups of potentially eligible beneficiaries, as defined by the Ticket to Work and Work Incentives Improvement Act of 1999. The first group consists of persons with disabilities between the ages of 16 and 65 years old, employed, and who meet income, asset, and resource standards established by the State. The second group of potential beneficiaries consists of persons between the ages of 16 and 65 years old, employed, and who cease to be eligible for Medical Assistance because of medical improvements determined at the time of a regularly scheduled disability review, but who also continue to have a severe medically determinable impairment.

Credentials/Documentation:

Federal funds must go to a designated State Medicaid Agency or its partner agencies or instrumentality's. Individuals must meet State requirements. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. The standard application form SF-424 and related forms, as furnished by CMS, must be used for this program. Application forms are submitted to the Acquisition and Grants Group, CMS, C2-21-15 Central Building, 7500 Security Boulevard, Baltimore, MD 21244-1850.

Award Procedure:

Official notice of approved applications is made through the issuance of a grant award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

The range is from 100 to 150 days.

Appeals:

There are no formal appeals procedures. If an application is disapproved, the reasons for disapproval will be fully stated.

Renewals:

Extensions and continuations of projects are available if formally applied for and approved. If a grant application is recommended for approval for 2 or more years, the awardee must annually submit a formal request for continuation accompanied by a progress report that will be evaluated prior to a recommendation of continuation.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

CMS announced the first round of infrastructure grant awards in October 2000. This grant program is authorized for 11 years beginning in fiscal year 2001. Method of awarding/releasing assistance: lump sum.

Reports:

No program reports are required. No cash reports are required. Progress and expenditure reports are required on all projects. Progress and expenditure reports are required on all projects. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. All fiscal transactions identifiable to Federal financial assistance are subject to audit by the DHHS audit agency.

Records:

Proper accounting records, identifiable by project number and including all receipts and expenditures, must be maintained for 3 years. Subsequent to audit, they must be maintained until all questions are resolved.

Account Identification:

75-0516-0-1-551.

Obligations:

(Formula Grants (Apportionments)) FY 12 \$753,287; FY 13 est \$0; and FY 14 est \$0

Range and Average of Financial Assistance:

\$500,000 to \$2,750,000; \$500,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Grants Administration policies (45 CFR 74 and 92) and application kits may be obtained from the Office of Acquisition and Grants Group, Centers for Medicare and Medicaid Services, Room C2-21-15, Central Building, 7500 Security Boulevard, Baltimore, MD 21244-1850. Grant applications forms are also available on the CMS website at <http://www.cms.hhs.gov/twwiia/424forms.pdf>.

Regional or Local Office:

See Regional Agency Offices. Contact the appropriate CMS Regional Administrator. (See Appendix IV for Regional Offices.).

Headquarters Office:

Adrienne Delozier, 7500 Security Boulevard, Baltimore, Maryland 21244
Email: Adrienne.Delozier@cms.hhs.gov Phone: (410) 786-0278.

Website Address:

<http://www.cms.hhs.gov/contracts/>.

RELATED PROGRAMS:

93.778 Medical Assistance Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The major elements in evaluating proposals include: understanding the barriers that impede competitive employment of people with disabilities; the extent to which the proposed infrastructure development will offer enduring and significant improvement in the ability of the system to provide adequate health coverage for people with disabilities and are competitively employed; providing needed personal assistance and other supports, and/or removing other significant employment barriers; the appropriateness of the methods, work plan, budget, and timetable; the qualifications of key staff; and the State's plan for using its grant experiences to identify different or better ways to improve its buy-in or Medicaid services that support the competitive employment efforts of people with disabilities.

93.770 MEDICARE PRESCRIPTION DRUG COVERAGE

(Medicare Part D)

FEDERAL AGENCY:

Centers for Medicare and Medicaid Services, Department of Health and Human Services

AUTHORIZATION:

Authorized under Part D of Title XVIII of the Social Security Act.

OBJECTIVES:

To provide prescription drugs to Medicare beneficiaries through their voluntary participation in prescription drug plans, with an additional subsidy provided to lower-income beneficiaries.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

Payments will be made to participating prescription drug plans.

Applicant Eligibility:

An entity organized and licensed under State law as a risk-bearing entity eligible to offer health insurance in each State in which it is to offer a plan, meeting the requirements in 42 CFR 423.504 and 42 CFR 423.505. The entity may offer prescription drug coverage in conjunction with a Medicare Advantage plan or as a separate stand alone benefit.

Beneficiary Eligibility:

Eligible beneficiaries include individuals who are entitled to Medicare benefits under Part A or enrolled in Part B and who reside in the plan's service area. Individuals in a Medicare Advantage Plan with Part D coverage may not be separately enrolled in a stand alone prescription drug plan.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Potential sponsors apply to CMS to become an approved prescription drug plan. Generally, individuals enroll directly with the prescription drug program sponsor. The sponsor forwards the enrollment and eligibility information to CMS, which verifies eligibility for the drug benefit. Some individuals who are entitled to both Medicare and Medicaid have been enrolled automatically. Low income beneficiaries may complete a subsidy application at any Social Security office or through their State Medicaid office.

Award Procedure:

Payment will be made by CMS to the prescription drug plan sponsors.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Up to six months for plan sponsors.

Appeals:

Sponsors whose applications to become a prescription drug plan sponsor are rejected have the right to a reconsideration and appeal process. Beneficiaries have the right to a reconsideration and appeal process for adverse coverage determinations.

Renewals:

Contracts with sponsors may be renewed annually. Beneficiaries may enroll and disenroll from plans according to the timeframes established in 42 CFR 423.30-423.46.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Indefinite. See the following for information on how assistance is awarded/released: Monthly payments to Prescription Drug Plan sponsors combined with an annual reconciliation adjustment.

Reports:

Plans must provide periodic reports to CMS, enrollees and the general public on cost; utilization; availability, accessibility and acceptability of services; fiscal soundness and other information required by CMS. Plans must provide an annual report of business transactions and combined financial statements. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. Periodic audits of plans by HHS, the Comptroller General or their designees. Periodic audits by CMS and the HHS Office of Inspector General (OIG) of plan cost reporting. Audit by CMS of financial records of at least one-third of participating plans every year.

Records:

None.

Account Identification:

75-8308-0-7-571.

Obligations:

(Insurance) FY 12 \$55,742,000,000; FY 13 est \$63,572,000,000; and FY 14 est \$72,453,000,000

Range and Average of Financial Assistance:

Determined by plan offerings, number of enrollees, and utilization.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations governing this program are authorized under Part D of Title XVIII of the Social Security Act and are available at 42 CFR 423.

Regional or Local Office:

None.

Headquarters Office:

John Scott 7500 Security Blvd., Baltimore, Maryland 21244 Email: John.Scott@cms.hhs.gov Phone: 410-786-3636

Website Address:

<http://www.cms.hhs.gov>.

RELATED PROGRAMS:

93.773 Medicare_Hospital Insurance; 93.774 Medicare_Supplementary Medical Insurance; 93.778 Medical Assistance Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.773 MEDICARE_HOSPITAL INSURANCE

(Medicare Part A)

FEDERAL AGENCY:

Centers for Medicare and Medicaid Services, Department of Health and Human Services

AUTHORIZATION:

American Recovery and Reinvestment Act of 2009, Public Law 111-5, Title XVIII of the Social Security Act, Health Care and Education Reconciliation Act (Public Law 111-152); Affordable Care Act (Public Law 111-148).

OBJECTIVES:

To provide hospital insurance protection for covered services to persons age 65 or above, to certain disabled persons and to individuals with chronic renal disease.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

Hospital insurance benefits are paid to participating hospitals, inpatient rehabilitation facilities, skilled nursing facilities, home health agencies, and hospice agencies. Payments are made in order to cover the prospective payment amount or reasonable cost of medically necessary services furnished to individuals who are entitled under this program. In limited circumstances, Medicare will also pay for certain aspects of receiving care in an inpatient religious non-medical health care setting.

Applicant Eligibility:

Persons age 65 or over and certain disabled persons are eligible to receive hospital insurance benefits. Nearly all individuals who had reached the age of 65 before 1968 are eligible for Part A, including people not eligible for cash Social Security benefits. A person reaching the age of 65 from 1968 to the present, and who is not eligible for social security benefits, needs to have accumulated work credits (amount dependent on age) to qualify for hospital insurance benefits.

Hospital insurance (Medicare Part A) is also available to persons aged 65 and over through payment of a monthly premium, which is currently \$441 per month, effective January 1, 2013. A reduced Part A premium of \$243 per

month is applied to persons with 30 or more quarters in which they have paid into the social security system. This reduced Part A premium applies to their spouse, surviving spouse or divorced spouse as well.

Federal employees began contributing toward Medicare hospital insurance coverage in 1983. Employees who have worked in the federal government prior to this year are still eligible to receive credit for prior non-contributory quarters of Federal employment. State and local government employees not already in Social Security-covered positions and who were hired on or after April 1, 1986 also contribute toward Medicare hospital insurance coverage. Although states may request agreements to cover individuals employed prior to April 1, 1986, no credit is given toward establishing Medicare entitlement for prior employment. Persons under the age of 65 who have been entitled to Social Security or Railroad Retirement disability benefits for at least 29 months are also eligible to receive hospital insurance benefits, as are any individuals who have been diagnosed with End Stage Renal Disease (ESRD).

Beneficiary Eligibility:

Persons age 65 or over and qualified disabled persons.

Credentials/Documentation:

Proof of age or disability. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Telephone or visit the local Social Security Office. Individuals entitled to Social Security or railroad retirement are enrolled without application.

Award Procedure:

The individual will be notified by mail of enrollment, whether automatic or applied for.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

None.

Appeals:

Telephone or visit the local Social Security Office or Medicare payment organization responsible for the initial determination. The appeal process ranges from reviews of the initial determinations to formal hearings and, in cases meeting certain criteria, reviews by Federal Courts.

Renewals:

None.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

None. See the following for information on how assistance is awarded/released:
Claims-based payment to providers or monthly capitation payments to Medicare Advantage plans.

Reports:

No reports are required.

Audits:

No audits are required for this program.

Records:

None.

Account Identification:

20-8005-0-7-571.

Obligations:

(Insurance) FY 12 \$251,139,000,000; FY 13 est \$270,814,000,000; and FY 14 est \$275,461,000,000 - These figures represent benefit outlays, not including QIO health IT payments to medical providers.

Range and Average of Financial Assistance:

Benefits may be paid based on the prospective payment amount or the reasonable costs of covered inpatient hospital services and based on the reasonable costs of covered post-hospital extended care services, which are incurred during a benefit period. For benefit periods beginning in calendar year 2013 the beneficiary is responsible for \$1,184 inpatient hospital deductible, a \$296 per day coinsurance amount for 61 through 90 days of inpatient hospital care, a \$592 per day coinsurance amount for inpatient hospital care during the 60 lifetime reserve days, and a \$148 per day coinsurance amount for days 21 through 100 of care in a skilled nursing facility. Home health services are paid in full.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: No data available. Fiscal Year 2013: No data available. Fiscal Year 2014: No data available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Code of Federal Regulations, Title 20, Parts 401, 405 and 422; Title 42, Parts 400, 401, 405, 406, 409, 412, 417, and 418. " Medicare and You," SSA-79-10050, and other publications are available from any Social Security Office without charge.

Regional or Local Office:

See Regional Agency Offices. Consult Appendix IV of the Catalog for a listing of Regional Offices.

Headquarters Office:

Rob Ludwig 7500 Security Boulevard, Baltimore, Maryland 21244 Email: Robert.Ludwig@cms.hhs.gov Phone: (410) 786-5407

Website Address:

<http://www.medicare.gov> or <http://www.cms.hhs.gov>.

RELATED PROGRAMS:

57.001 Social Insurance for Railroad Workers; 93.770 Medicare_Prescription Drug Coverage; 93.774 Medicare_Supplementary Medical Insurance; 96.001 Social Security_Disability Insurance

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.774 MEDICARE SUPPLEMENTARY MEDICAL INSURANCE

(Medicare Part B)

FEDERAL AGENCY:

Centers for Medicare and Medicaid Services, Department of Health and Human Services

AUTHORIZATION:

Social Security Act Title XVIII, Section 1831.

OBJECTIVES:

To provide medical insurance protection for covered services to persons age 65 or over, to certain disabled persons and to individuals with end-stage renal disease. Enrollment in Part B is voluntary.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

Managed care benefits are paid on the basis of Medicare capitation rates. Fee-for-service benefits are paid on the basis of fee schedules or other approved amounts for services furnished by physicians and other suppliers of medical services to aged or disabled enrollees. Benefits are paid on the basis of prospective payment systems for certain covered services furnished by participating providers such as hospitals and home health agencies.

Applicant Eligibility:

All persons who are eligible for premium-free hospital insurance benefits (see

93.773), and persons age 65 and older who reside in the United States and are either citizens or aliens lawfully admitted for permanent residence who have resided in the United States continuously during the five years immediately preceding the month in which the application for enrollment is filed, may voluntarily enroll for Part B supplementary medical insurance (SMI). The beneficiary pays a monthly premium and an annual deductible. Beginning in calendar year 2008, the Part B premiums have been set based upon beneficiary income. The calendar year 2013 premiums range from \$104.90 to \$335.70 per month. The annual deductible is \$147.00. Some States and other third parties may pay the SMI PART B premium on behalf of qualifying individuals.

Beneficiary Eligibility:

All persons who qualify for hospital insurance, and those who do not qualify for hospital insurance but meet eligibility requirements and choose to purchase Part "B".

Credentials/Documentation:

Proof of age, disability or lawful admission status. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Telephone or visit the local Social Security Office. Most persons entitled to hospital insurance and already receiving benefits from Social Security or the Railroad Retirement Board are enrolled automatically for supplementary medical insurance. Since the program is voluntary, you may decline coverage. Persons not entitled to hospital insurance must file an application.

Award Procedure:

After review of the application is completed, the applicant will be notified by mail.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Telephone or visit the local Social Security Office or the Medicare payment organization responsible for the initial determination. The appeal process ranges from reviews, of the initial determinations to formal hearings and, in cases meeting certain criteria, reviews by Federal courts.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

None. See the following for information on how assistance is awarded/released: Claims-based payments to providers and suppliers or monthly capitation payments to MA plans.

Reports:

No program reports are required. No cash reports are required. No progress reports are required. No expenditure reports are required. No performance monitoring is required.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. Providers, suppliers, and Medicare Advantage plans are subject to audit requirements.

Records:

None.

Account Identification:

20-8004-0-7-571.

Obligations:

(Insurance) FY 12 \$230,916,000,000; FY 13 est \$250,593,000,000; and FY 14 est \$251,160,000,000

Range and Average of Financial Assistance:

Generally, with exceptions of certain services, the beneficiary is responsible for meeting the annual \$147 deductible before you may begin. Thereafter, Medicare pays a percent of the approved amount of the covered service. For many services, this percentage is 80 percent. For other covered services, the percentage that Medicare pays will vary from 100 percent to 50 percent depending upon the category of service.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: In fiscal year 2012, the number of enrollees is estimated to be 46,018,000. The source for the number of Medicare beneficiaries is the FY 2014 MSR. Fiscal Year 2013: In fiscal year 2013, the number of enrollees is estimated to be 47,599,000. The source for the number of Medicare beneficiaries is the FY 2014 MSR. Fiscal Year 2014: In fiscal year 2014, the number of enrollees is estimated to be 49,092,000. The source for the number of Medicare beneficiaries is the FY 2014 MSR.

REGULATIONS, GUIDELINES, AND LITERATURE:

Code of Federal Regulations, Title 20, Parts 401, 405, and 422; Title 42, Parts 401, 405, 407, 408, 410, 413, 416, and 417. "Your Medicare Handbook," and other publications are available from any Social Security Office without charge.

Regional or Local Office:

See Regional Agency Offices. Consult Appendix IV of the Catalog for listing of Regional Offices.

Headquarters Office:

Inga Feldmanayte, 7500 Security Boulevard, Baltimore, Maryland 21207
Email: Inga.Feldmanayte@cms.hhs.gov Phone: (410) 786-5995.

Website Address:

<http://www.cms.hhs.gov>.

RELATED PROGRAMS:

57.001 Social Insurance for Railroad Workers; 64.012 Veterans Prescription Service; 64.013 Veterans Prosthetic Appliances; 93.770 Medicare_Prescription Drug Coverage; 93.773 Medicare_Hospital Insurance; 93.778 Medical Assistance Program; 96.001 Social Security_Disability Insurance

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.775 STATE MEDICAID FRAUD CONTROL UNITS

(SMFCU's)

FEDERAL AGENCY:

Centers for Medicare and Medicaid Services, Department of Health and Human Services

AUTHORIZATION:

Social Security Act (The Act), as amended, Title XIX, Section 1902(a)(61).

OBJECTIVES:

To eliminate fraud and patient abuse in the State Medicaid Programs.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

The statute authorizes 90 percent matching funds for the first 12 quarters, and 75 percent matching funds thereafter for investigation and prosecution of fraud and patient abuse in the State Medicaid Programs. A unit must be separate and distinct from the single State Medicaid agency. A unit must also employ sufficient professional, administrative and support staff to carry out its duties and responsibilities in an effective and efficient manner.

Applicant Eligibility:

An established State Medicaid Fraud Control Unit must be a single identifiable entity of the State government which the Secretary certifies (and the Office of Inspector General annually re-certifies) as complying with the requirements of 1903(q) of the Social Security Act (42 CFR 1007) regarding location, function and procedure. Applicants must also comply with section 1902 (a)(61) of the Act as amended by the Omnibus Budget Reconciliation Act of 1993. Tribes (American Indian/Alaskan Native/Native American) are not eligible to apply.

Beneficiary Eligibility:

Grantees are State entities.

Credentials/Documentation:

Units must submit applications containing proposed organization, administration, agreements, detailed budgets and procedures for certification of eligibility (see 42 CFR 1007). Costs will be determined in accordance with OMB Circular No. A-87 and 45 CFR 92. Governors in States applying for Federal assistance must approve the application pursuant to 42 CFR 1007.15(a). OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. The standard application forms (SF 424, SF 424A, and SF 424B), as furnished by OIG and required by OMB Circular No. A-102 for State and local governments, must be used for the application. The Inspector General's staff is available to assist with technical development of programs, plans, and budget amendments and revisions.

Award Procedure:

States are awarded funds based on a detailed description of estimated expenditures needed to support the program. Award funds are distributed quarterly on a fiscal year basis as follows: October 1, January 1, April 1, and July 1.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

The time required to process an application may take up to 90 days.

Appeals:

From 60 to 90 days. If the application is not approved, the State may submit an amended application at any time.

Renewals:

The application for the annual re-certification must be submitted 60 days prior to the end of each certification period.

Formula and Matching Requirements:

Statutory Formula:

Matching Requirements: States are awarded, through the issuance of a grant award, for 90 percent of their costs for the first 12 quarters, and 75 percent thereafter, computed against a quarterly maximum allowable of the higher of \$125,000, or one-fourth of 1 percent of the sums expended by the Federal, State, or local government in carrying out the State plan under Title XIX of the Social Security Act.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grant periods are 1 year in length and funds are awarded quarterly based on an approved annual budget and limitations on entitlement. Method of awarding/releasing assistance: quarterly.

Reports:

Annual Reports are required and must be submitted 60 days prior to the expiration of the MFCU's certification period. Federal Financial Reports (SF-425) to report cash transactions are required on a quarterly basis to PMS. An Annual Report to report the MFCU's progress is required. Expenditure reports on the SF-425 are required to be submitted to OIG and are due 30 days following each quarter and a final expenditure report is required and is due 90

days after the close of the grant year. Performance monitoring is required through the submission of annual reports by the MFCUs to HHS/OIG and through site visits to the MFCUs that are conducted by the HHS/OIG.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. OIG performs periodic onsite reviews of the State program, as appropriate, as well as an annual re-certification review of each SMFCU.

Records:

All records are to be retained for 3 years, in accordance with 45 CFR 92.

Account Identification:

75-0512-0-1-551.

Obligations:

(Formula Grants) FY 12 \$215,973,000; FY 13 est \$222,201,000; and FY 14 est \$226,146,000

Range and Average of Financial Assistance:

FY 2013: Range \$297,452 to \$38,443,112; Average \$4,118,563.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR 1007, 45 CFR 92, OMB Circular No. A-87, and Performance Standards originally issued September 1994 and revised in June 2012.

Regional or Local Office:

None.

Headquarters Office:

Richard B. Stern 330 Independence Ave., S.W., Cohen Building, Washington, District of Columbia 20201 Email: richard.stern@oig.hhs.gov Phone: (202) 619-0480

Website Address:

<http://www.oig.hhs.gov>

RELATED PROGRAMS:

93.778 Medical Assistance Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.777 STATE SURVEY AND CERTIFICATION OF HEALTH CARE PROVIDERS AND SUPPLIERS (TITLE XVIII) MEDICARE

FEDERAL AGENCY:

Centers for Medicare and Medicaid Services, Department of Health and Human Services

AUTHORIZATION:

Social Security Act, Sections 1863, 1864, 1865, 1902, 1903, and 1919, Title XVIII.

OBJECTIVES:

To provide financial assistance to any State which is able and willing to determine through its State health agency or other appropriate State agency that providers and suppliers of health care services are in compliance with Federal regulatory health and safety standards and conditions of participation.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Funds are made available to States for the purpose of inspecting providers and suppliers of health care services, to ensure mandatory adherence to

Medicare/Medicaid health and safety standards and conditions. Funds made available under these programs are used to support or reimburse State staff for performing survey activities and for State administration of the program.

Applicant Eligibility:

Under Title XVIII, States enter into Section 1864 agreements with the Secretary of Health and Human Services whereby the designated agency of the State will be supported or reimbursed for on-site inspection of health care providers and suppliers. The designated State agency is usually that unit performing licensure activities within the State health department.

Beneficiary Eligibility:

NA.

Credentials/Documentation:

A signed 1864 Agreement for Title XVIII in order to carry out the survey function. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Sign an 1864 Agreement for support of Medicare/Medicaid survey and certification activities.

Award Procedure:

States are provided a quarterly award based on an approved annual budget under Title XVIII and quarterly formula grants under Title XIX. States are reimbursed for Title XVIII and Title XIX survey activities under Electronic Funds Transfer System procedures. States draw funds through the Department's Payment Management System (PMS).

Deadlines:

Aug 10, 2010: By the end of the 4th quarter of the previous Fiscal Year, Annual budget proposals for Title XVIII for the upcoming Fiscal Year are requested from the appropriate State agency as negotiated with Regional Office. By the end of the 4th quarter of the previous Fiscal Year, Annual budget proposals for Title XVIII for the upcoming Fiscal Year are requested from the appropriate State agency as negotiated with Regional Office.

Range of Approval/Disapproval Time:

From 30 to 60 days. This timeline is for initial approval or disapproval.

Appeals:

Title XVIII appeals are reviewed by the Armed Services Contracts Appeal Board.

Renewals:

Ongoing renewals are awarded to States that are willing and able to conduct required survey activities in compliance with statutory mandate.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grants/cooperative agreements are generally funded on a 12-month basis, with support beyond the first year contingent upon acceptable evidence of satisfactory progress, continuing program relevance, and availability of funds. Method of awarding/releasing assistance: lump sum.

Reports:

Program reports are required. Cash reports are required. Progress reports are required. Expenditure reports are required. States operate under 1864 Agreement (Title XVIII). Quarterly expenditure reports are required 30 days after the close of each quarter and monitoring is carried out by the Department of Health and Human Services. Performance monitoring is required. Comprehensive final reports are due no later than 90 days after termination projects.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. DHHS Office of Inspector General staff or designated representatives make periodic audits of State survey agencies. In addition, under the Single State Audit Act, States may elect to conduct their own nonpartisan audits and certify the results to the Secretary of Health and Human Services.

Records:

States must maintain surveyor time records, line item and expenditure documentation, which substantiate the costs relating to survey activities.

Account Identification:

75-0511-0-1-550.

Obligations:

(Direct Payments for Specified Use) FY 12 \$341,116,538; FY 13 est \$329,882,093; and FY 14 est \$377,925,931

Range and Average of Financial Assistance:

(State distributed Title XVIII funds) FY10 range is from \$526,987 to \$37,734,359 and an average of \$5,962,506; FY11 range is from \$573,885 to \$39,537,895 and an average of \$6,072,034; FY12 range is from \$583,136 to \$41,822,057 and an average of \$6,464,700; FY13 range is from \$471,936 to \$41,200,039 and an average of \$6,260,795.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Title XVIII, Social Security Act as amended Sections 1863, 1864 and 1865.

Regional or Local Office:

See Regional Agency Offices. Contact the Regional Administrator for Centers for Medicare and Medicaid Services. (See Appendix IV of the Catalog for listing of Regional Offices).

Headquarters Office:

Thomas Hamilton, 7500 Security Boulevard, Baltimore, Maryland 21244
Email: thomas.hamilton@cms.hhs.gov Phone: (410) 786-9493.

Website Address:

[http://www.cms.hhs.gov/contracts/.](http://www.cms.hhs.gov/contracts/)

RELATED PROGRAMS:

93.773 Medicare_Hospital Insurance; 93.774 Medicare_Supplementary Medical Insurance; 93.778 Medical Assistance Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Selecting criteria for Federal funding of State certification proposals are available to State survey agencies upon request.

93.778 MEDICAL ASSISTANCE PROGRAM

(Medicaid; Title XIX)

FEDERAL AGENCY:

Centers for Medicare and Medicaid Services, Department of Health and Human Services

AUTHORIZATION:

Social Security Act, Title XIX, as amended; Public Laws 89-97, 90-248, and 91-56; 42 U.S.C. 1396 et seq., as amended; Public Law 92-223; Public Law 92-603; Public Law 93-66; Public Law 93-233; Public Law 96-499; Public Law 97-35; Public Law 97-248; Public Law 98-369; Public Law 99-272; Public Law 99-509; Public Law 100-93; Public Law 100-202; Public Law 100-203; Public Law 100-360; Public Law 100-436; Public Law 100-485; Public Law 100-647; Public Law 101-166; Public Law 101-234; Public Law 101-239; Public Law 101-508; Public Law 101-517; Public Law 102-234; Public Law 102-170; Public Law 102-394; Public Law 103-66; Public Law 103-112; Public Law

103-333; Public Law 104-91; Public Law 104-191; Public Law 104-193; Public Law 104-208,104-134; Balanced Budget Act of 1997, Public Law 105-33; Public Law 106-113; Public Law 106-554; Public Law 108-27; Public Law 108-173; Public Law 109-91; Public Law 109-171; Public Law 109-432; Public Law 110-28; Public Law 110-161; Public Law 111-3; Public Law 111-5; Public Law 111-8; Public Law 111-31; Public Law 111-68; Public Law 111-88; Public Law 111-117; Public Law 111-118; Public Law 111-148; Public Law 111-150; Public Law 111-150; Public Law 111-152; Public Law 111-309, Public Law 112-10, Public Law 112-33, Public Law 112-36, Public Law 112-55, Public Law 112-74, Public Law 112-78, Public Law 112-96, Public Law 112-175.

OBJECTIVES:

To provide financial assistance to States for payments of medical assistance on behalf of cash assistance recipients, children, pregnant women, and the aged who meet income and resource requirements, and other categorically-eligible groups. In certain States that elect to provide such coverage, medically-needy persons, who, except for income and resources, would be eligible for cash assistance, may be eligible for medical assistance payments under this program. Financial assistance is provided to States to pay for Medicare premiums, copayments and deductibles of qualified Medicare beneficiaries meeting certain income requirements. More limited financial assistance is available for certain Medicare beneficiaries with higher incomes.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

For the categorically needy, States must provide in and out-patient hospital services; rural health clinic services; federally-qualified health center services; other laboratory and x-ray services; nursing facility services, home health services for persons over age 21; family planning services; physicians' services; early and periodic screening, diagnosis, and treatment for individuals under age 21; pediatric or family nurse practitioner services; and services furnished by a nurse-midwife as licensed by the States. For the medically needy, States are required to provide a minimum mix of services for which Federal financial participation is available (see section 1902(a)(10)(C)(iv) of the Social Security Act).

Applicant Eligibility:

State and local welfare agencies must operate under an HHS-approved Medicaid State Plan and comply with all Federal regulations governing aid and medical assistance to the needy.

Beneficiary Eligibility:

Low-income persons who are over age 65, blind or disabled, members of families with dependent children, low-income children and pregnant women, certain Medicare beneficiaries and, in many States, medically-needy individuals may apply to a State or local welfare agency for medical assistance. At the State's option, eligibility to non-elderly individuals with family incomes up to 133 percent of the federal poverty level will start in calendar year 2014. Eligibility is determined by the State in accordance with Federal regulations.

Credentials/Documentation:

Federal funds must go to a designated State Medicaid Agency. Individuals must meet State requirements. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Individuals needing medical assistance should apply directly to the State or local welfare agency. States should contact the Regional Administrator, CMS for application forms. (See Appendix IV of the Catalog for agency Regional Office addresses.)

Award Procedure:

States are awarded funds quarterly based on their estimates of funds needed to provide medical assistance to the needy. Awards are made quarterly on a fiscal year basis as follows: October 1, January 1, April 1, and July 1. Individuals receive medical care from providers of medical care who are participating in the

Medicaid program.

Deadlines:

Aug 08, 2013 An individual needing medical assistance may apply to the State at any time. States must submit quarterly estimates of funds needed no later than August 8, November 15, February 15, and May 15, in order to receive a timely quarterly grant award for the following quarter.

Range of Approval/Disapproval Time:

Up to 60 days. The States usually provide needy individuals with immediate medical assistance.

Appeals:

Individuals denied medical assistance by the State or local welfare agency must be given a fair hearing on appeal (see 42 CFR, Subchapter C, Part 431, Subpart E). States have 60 days to resubmit revised applications.

Renewals:

Recipients receive assistance as long as they are qualified under State requirements.

Formula and Matching Requirements:

Statutory Formula:

Matching Requirements: Federal funds are available to match State expenditures for medical care. Under the Act, the Federal share for medical services may range from 50 percent to 83 percent. The statistical factors used for fund allocation are: (1) Medical assistance expenditures by State; and (2) per capita income by State based on a 3-year average (source, "Personal Income," Department of Commerce, Bureau of Economic Analysis). Statistical factors for eligibility do not apply to this program. This program has maintenance of effort (MOE) requirements, see funding agency for further details.

This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

The needy receive medical assistance as necessary. States receive funds quarterly. The Electronic Transfer System will be used by States for monthly cash draws on the Federal Reserve Bank. Method of awarding/releasing assistance: lump sum.

Reports:

No program reports are required. No cash reports are required. No progress reports are required. States must submit fiscal and statistical reports, as required, to the Centers for Medicare and Medicaid Services, Department of Health and Human Services. A Treasury Report TUS-5401 is required monthly. States must submit certified expenditure reports within 30 days after the end of each quarter. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

States must maintain records which substantiate direct and indirect costs charged to the grant award activity.

Account Identification:

75-0512-0-1-551.

Obligations:

(Formula Grants (Apportionments)) FY 12 \$270,525,039; FY 13 est \$268,967,561; and FY 14 est \$306,234,431

Range and Average of Financial Assistance:

\$12,224,000 TO \$34,495,561,000. Average assistance is \$5,028,836,661.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR, Subchapter C.

Regional or Local Office:

See Regional Agency Offices. Contact the Associate Regional Administrator, Division of Medicaid, Center for Medicaid, CHIP and Survey & Certification. (See Appendix IV of the Catalog for addresses and telephone numbers.).

Headquarters Office:

Division of Medicaid, 7500 Security Boulevard, Baltimore, Maryland 21244
Phone: (410) 786-3870.

Website Address:

<http://www.cms.hhs.gov/contracts/>.

RELATED PROGRAMS:

64.012 Veterans Prescription Service; 64.013 Veterans Prosthetic Appliances; 93.110 Maternal and Child Health Federal Consolidated Programs; 93.224 Consolidated Health Centers (Community Health Centers, Migrant Health Centers, Health Care for the Homeless, Public Housing Primary Care, and School Based Health Centers); 93.560 Family Support Payments to States_Assistance Payments; 93.767 State Children's Insurance Program; 93.773 Medicare_Hospital Insurance; 93.774 Medicare_Supplementary Medical Insurance; 93.775 State Medicaid Fraud Control Units; 93.777 State Survey and Certification of Health Care Providers and Suppliers; 96.006 Supplemental Security Income

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.779 CENTERS FOR MEDICARE AND MEDICAID SERVICES (CMS) RESEARCH, DEMONSTRATIONS AND EVALUATIONS

(CMS Research)

FEDERAL AGENCY:

Centers for Medicare and Medicaid Services, Department of Health and Human Services

AUTHORIZATION:

Social Security Act, Title XI, Sections 1110 and 1115; 42 U.S.C. 1310 and 1315(a); Title XVIII, Section 1875; 42 U.S.C. 1395 and 42 U.S.C. 1881 (f); Section 402, Public Law 90-248, as amended; Section 222, Public Law 92-603.

OBJECTIVES:

The Centers for Medicare & Medicaid Services (CMS) conducts research, demonstrations, and evaluations in support of CMS' key role as a beneficiary-centered purchaser of high-quality health care at a reasonable cost. These grants are awarded in the form of research grants and cooperative agreements; Hispanic health services grants; historically black colleges and university grants. For fiscal years 2010 and 2011, CMS research, demonstrations and evaluations will focus on expanding agency efforts to improve the efficiency of payment, delivery, access and quality of our health care programs that serve millions of beneficiaries.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Under all authorizations, all applications must meet standards of excellence in research or evaluation design. Funds may not be used for construction or renovation of buildings. Funds authorized by Section 1115 of the Social Security Act are limited to State agencies administering the Medicaid program.

The research issues and/or hypotheses identified by a project should be clearly stated and realistic and should reflect the issues of interest to CMS and, where appropriate, HHS, Federal Government, and the broader health services research community.

The research design should clearly identify the measures that will be collected and analyzed to address the issues and/or hypotheses. These proposed measures should reflect concepts and variables that are consistent with the stated issues and hypotheses.

The research design should also address the reliability of measures i.e., a

measurement should not change when the concept being measured remains constant in value. A common reliability concern is the level of inter-rater reliability of an instrument, determined by the extent to which two or more persons measuring the same characteristic would assign the same score.

The research design should address the validity of proposed measures i.e., the extent to which differences in scores on variable or the measuring instrument represent true differences in the characteristic we are trying to measure, rather than constant or random errors or the influence of other factors.

Applicant Eligibility:

Grants or cooperative agreements may be made to private, or public agencies or organizations, including State agencies that administer the Medicaid program. Private profit organizations may apply.

Beneficiary Eligibility:

All Medicare and Medicaid beneficiaries are eligible.

Credentials/Documentation:

Applicants should present written evidence of other agencies' willingness to cooperate when the project involves collaborative efforts or the utilization of non-CMS facilities or services. Costs will be determined in accordance with OMB Circular No. A-102 for State and local governments. The standard forms, as furnished by DHHS and required by OMB Circular No. A-102, must be used. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

CMS research and demonstration projects are solicited by publication in grants.gov as well. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Application forms are submitted to the Acquisition and Grants Group, CMS, 2-21-15 Central Building, 7500 Security Boulevard, Baltimore, MD 21244-1850. A letter of intent to file an application is necessary 30 days prior to the closing date of each solicitation cycle.

Award Procedure:

Official notice of approved applications is made through issuance of a Notice of Cooperative Agreement or Grant Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Range from 150 to 180 days.

Appeals:

No formal appeals procedures. If an application is disapproved, the reasons for disapproval will be fully stated. Applicants are free to resubmit applications with attention to the changes suggested by the reasons for disapproval. In the case of solicited proposals, extensions may be allowed to prepare revisions which clarify various aspects of projects.

Renewals:

Extensions and continuations of projects are available if formally applied for and approved. If a grant/cooperative agreement application is recommended for approval for 2 or more years, the awardee must annually submit a formal request for continuation accompanied by a progress report which will be evaluated prior to a recommendation of continuation.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. Awardees are required to share in the cost of projects. Normally, the minimum cost-sharing requirement is 5 percent of total project costs. This program has no statutory formula, except in Section 1115 projects, where the statutory formula is the same as that established for the Medicaid Program, both administrative and operational.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grants/cooperative agreements are generally funded on a 12-month basis, with

support beyond the first year contingent upon acceptable evidence of satisfactory progress, continuing program relevance, and availability of funds. Method of awarding/releasing assistance: lump sum.

Reports:

Reports of progress and expenditures are required on all projects. Comprehensive final reports are due no later than 90 days after termination of projects. Cash reports are not applicable. Reports of progress are required on all projects. Reports on expenditures are required on all projects. Reports on performance is required on all projects.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. All fiscal transactions identifiable to Federal financial assistance are subject to audit by DHHS audit agency.

Records:

Proper accounting records, identifiable by project number and including all receipts and expenditures, must be maintained for 3 years. Subsequent to audit, they must be maintained until all questions are resolved.

Account Identification:

75-0511-0-1-550.

Obligations:

(Cooperative Agreements) FY 12 \$1,000,000; FY 13 est \$600,000; and FY 14 est \$600,000

Range and Average of Financial Assistance:

Not determined at this time.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: N/A. Fiscal Year 2013: N/A. Fiscal Year 2014: N/A.

REGULATIONS, GUIDELINES, AND LITERATURE:

Grants Administration policies (45 CFR 74 and 92) and application kits may be obtained from the Office of Acquisition and Grants Management, Centers for Medicare and Medicaid Services, Room C2-21-15, Central Building, 7500 Security Boulevard, Baltimore, MD 21244-1850.

Regional or Local Office:

See Regional Agency Offices. Contact the appropriate CMS Regional Administrator. (See Appendix IV for Regional Offices.).

Headquarters Office:

Office of Research, 7500 Security Boulevard, Baltimore, Maryland 21244
Email: research@cms.hhs.gov Phone: (1-800) 633-4277.

Website Address:

<http://www.cms.hhs.gov/contracts/>.

RELATED PROGRAMS:

93.773 Medicare_Hospital Insurance; 93.774 Medicare_Supplementary Medical Insurance; 93.778 Medical Assistance Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The review process for grants/cooperative agreements consists of initially screening applications for completeness and relevancy to CMS priority areas. If the application is not relevant to CMS priority areas, it will be returned to the applicant. If accepted as submitted it will be reviewed and evaluated. The review will be conducted by a panel of not less than three experts. CMS Project Officer will coordinate the panel's review, but will not vote. This individual will also prepare the panel's recommendation to the Director, Office of Research, Development, and Information (ORDI). The panel's recommendations will contain numerical ratings, rankings of applications, and a written assessment of each application. The recommendations will be based on published criteria as stated in the Federal Register. The review process for applications is also stated

in the Federal Register Announcement.

93.780 GRANTS TO STATES FOR OPERATION OF QUALIFIED HIGH-RISK POOLS

FEDERAL AGENCY:

Centers for Medicare and Medicaid Services, Department of Health and Human Services

AUTHORIZATION:

Trade Act of 2002; Public Law 107-210; Deficit Reduction Act of 2005; Public Law 109-171; Public Law 109-172., Executive Order State High Risk Pool Funding Extension Act of 2006, Public Law 109-171.

OBJECTIVES:

To assist States in the operation of a qualified high-risk health insurance pool by providing Federal funding (for up to appropriate funding levels) of losses incurred by the pool for a given State fiscal year.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

A State must have a qualified high-risk pool (as defined in section 2744(c)(2) of the Public Health Service Act) that has incurred a loss in order to be eligible for a grant.

Applicant Eligibility:

A State must meet all of the following requirements to be eligible for a grant: 1) the State is operating a qualified high-risk pool as defined in section 2744(c)(2) of the Public Health Service Act; 2) the pool restricts premium charged under the pool to no more than 200 percent for applicable standard risk rates for the State; 3) the pool offers a choice of two or more coverage options through the pool; 4) the pool has in effect a mechanism reasonably designed to ensure continued funding of losses incurred by the State; and 5) the FY 2012 amount of \$44 million will be awarded to the 31 federally qualified States currently receiving a HRP Grant award as a supplemental (extension) award to their 2008 Operational Losses.

Beneficiary Eligibility:

State Agency.

Credentials/Documentation:

Federal funds must go to a designated State Agency or its partner agencies. Individuals must meet State requirements. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. The standard application form SF-424 and related forms, as furnished by CMS, must be used for this program. These forms may be downloaded from the following Web site, www.cms.hhs.gov/researchers/priorities/grants.asp. In addition to the standard forms some additional information regarding the history and description of the qualified high-risk pool, accounting of risk pool losses, and contact person information is also required. Please refer to the following Web site for additional information: www.cms.hhs.gov/HighriskPools. Applicants are required to submit an original and two copies of the application to the Acquisition and Grants Group, CMS, Mailstop C2-21-15, 7500 Security Boulevard, Baltimore, MD 21244-1850. Facsimile (fax) transmissions will not be accepted.

Award Procedure:

The Centers for Medicare and Medicaid Services (CMS) will make a decision for each application received. Each applicant will receive written notification of CMS's decision. Applicants approved for a grant award must submit a letter of acceptance to CMS within 30 days of the date of the award, agreeing to the terms and conditions of the award letter.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

60 to 120 days.

Appeals:

If an application is disapproved, the reasons for disapproval will be fully stated.

Renewals:

None.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Under the original legislation, a total of \$80,000,000 has been made available for the qualified high-risk pool operation grant program. \$40,000,000 was made available for obligation from FY 2003-FY 2004 and another \$40,000,000 was made available for obligation from FY 2004-FY 2005. Under the provisions of section 6202 of the Deficit reduction Act, \$75,000,000 was made available in FY 2006 for this activity. The Consolidated Appropriations Act of 2008 made available \$49,126,500. The Omnibus Appropriations Act of 2009 made available \$75,000,000. The Consolidated Appropriations Act of 2010 made available \$55,000,000. Sections 1119 and 1818 of the Full-Year Continuing Appropriations Act, 2011 authorized \$54,890,000. The Consolidated Appropriations Act of 2012 made available \$44,000,000. Method of awarding/releasing assistance: lump sum.

Reports:

No program reports are required. No cash reports are required. No progress reports are required. Grant awardees may be required to submit quarterly progress and financial reports to CMS. At a maximum, a grantee would have to complete 8 reports per year if requested. It is anticipated that grantees may only need to file semi-annually, thus 4 reports per year. Refer to 45 CFR part 92. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. All fiscal transactions identifiable to Federal financial assistance are subject to audit by DHHS audit agency.

Records:

Proper accounting records, identifiable by project number and including all receipts and expenditures, must be maintained for 3 years. Subsequent to audit, they must be maintained until all questions are resolved.

Account Identification:

75-0511-0-1-551.

Obligations:

(Formula Grants (Apportionments)) FY 12 \$44,000,000; FY 13 est \$41,756,000; and FY 14 est \$22,000,000

Range and Average of Financial Assistance:

None.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Grants Administration policies (45 CFR 74 and 92) application kits may be obtained from the Acquisition and Grants Group, CMS, Mailstop C2-21-15, 7500 Security Boulevard, Baltimore, MD 21244-1850. The grant application kit may be downloaded from the following web site, www.cms.hhs.gov/researchers/priorities/grants.asp.

Regional or Local Office:

See Regional Agency Offices. Contact the appropriate CMS Regional

Administrator. (See appendix IV for Regional Offices).

Headquarters Office:

Kevin Simpson 7500 Security Boulevard, Baltimore, Maryland 21244 Email: Kevin.Simpson@cms.hhs.gov Phone: (410) 786-0017

Website Address:

<http://www.cms.hhs.gov/HighRiskPools/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Each application will be reviewed to ensure it meets the eligibility criteria (as stated above). If eligible, the State will be awarded the lesser 50 percent of losses incurred by its qualified risk pool for the fiscal year in question or its allotment under the formula for the grants.

93.784 FEDERAL REIMBURSEMENT OF EMERGENCY HEALTH SERVICES FURNISHED TO UNDOCUMENTED ALIENS

FEDERAL AGENCY:

Centers for Medicare and Medicaid Services, Department of Health and Human Services

AUTHORIZATION:

Medicare Prescription Drug, Improvement, and Modernization Act of 2003, Public Law 108-73, Section 1011; Medicare Modernization Act (MMA).

OBJECTIVES:

To reimburse eligible providers for their otherwise un-reimbursed costs associated with furnishing emergency health services to undocumented and certain other aliens.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

Sections 1866(a)(1)(I), 1866 (a)(1)(N), and 1867 of the Social Security Act (the Act) impose specific obligations on Medicare-participating hospitals that offer emergency services. These obligations concern individuals who come to a hospital emergency department and request examination or treatment for medical conditions, and apply to all of these individuals, regardless of whether or not they are beneficiaries of any program under the Act. Section 1867 of the Act sets forth requirements for medical screening examinations of medical conditions, as well as necessary stabilizing treatment or appropriate transfer. In addition, section 1867(h) of the Act specifically prohibits a delay in providing required screening or stabilization services in order to inquire about the individual's payment method or insurance status.

Applicant Eligibility:

From the allotments made for a State, the Secretary of Health and Human Services shall pay an amount (subject to the total amount available from such allotments) directly to eligible providers located in the State where emergency services were incurred to the extent that the eligible provider was not otherwise reimbursed. An eligible provider defined under the statute is a hospital, physician, or provider of ambulance services including an Indian Health Service (IHS) facility whether operated by the IHS or by an Indian tribal or tribal organization).

Beneficiary Eligibility:

The amounts of money set aside for each State will be paid directly to hospitals, certain physicians, and ambulance providers for the costs of providing emergency health care required under EMTALA and related hospital inpatient, outpatient, and ambulance services (including those operated by the Indian Health Service and Indian tribes and Tribal organizations) furnished to undocumented aliens, aliens paroled into the United States at a United States port of entry for the purposes of receiving such services, and Mexican citizens permitted temporary entry to the United States with a laser visa.

Credentials/Documentation:

Final policy guidance was released on May 9, 2005 regarding the

implementation of section 1011 of the MMA. This notice establishes the general framework and procedural rules for submitting an enrollment application and payment requests, establishes general statements of policy, and provides CMS' interpretation of section 1011. It is posted in the "downloads" section of the following web address:

http://www.cms.hhs.gov/UndocAliens/02_policy.asp#TopOfPage. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Not applicable

Award Procedure:

Not applicable.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

None.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

This project is authorized beginning in FY 2005 until all appropriated funds have been exhausted. Method of awarding/releasing assistance: lump sum.

Reports:

No program reports are required. No cash reports are required. The Centers for Medicare & Medicaid Services (CMS) has designated Novitas Solutions (formerly Highmark Medicare Services) as the national contractor for Section 1011 and Federal Reimbursement of Emergency Health Services Furnished to Undocumented Aliens.

Novitas Medicare Services will enroll eligible providers, assist providers with enrollment and billing questions, calculate provider payment amounts, and serve as the compliance contractor.

Novitas Medicare Services will send CMS periodic reports on the payments and they can also be found on their website at www.novitas-solutions.com/section1011/index.html. Periodic reports are due to CMS in accordance with the timeframes established by CMS. No performance monitoring is required.

Audits:

No audits are required for this program.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the project shall be retained for a period consistent with the information contained in the final payment methodology.

Account Identification:

75-0516-0-1-551.

Obligations:

(Formula Grants (Apportionments)) FY 12 \$22,479,376; FY 13 est \$17,626,302; and FY 14 est \$14,698,366

Range and Average of Financial Assistance:

None.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This information can be found in the Final Policy Notice at the following link:

www.cms.gov/UndocAliens.02.policy.asp

Regional or Local Office:

None.

Headquarters Office:

Lorraine Zicha, 7500 Security Boulevard, Baltimore, Maryland 21244 Email: Lorraine.Zicha@cms.hhs.gov Phone: (410) 786-0048

Website Address:

None.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.791 MONEY FOLLOWS THE PERSON REBALANCING DEMONSTRATION

(Money Follows the Person Demonstration)

FEDERAL AGENCY:

Centers for Medicare and Medicaid Services, Department of Health and Human Services

AUTHORIZATION:

Section 6071, Deficit Reduction Act of 2005, Public Law 109-171; Section 2403, Affordable Care Act, Public Law 111-148.

OBJECTIVES:

The Money Follows the Person (MFP) Rebalancing Demonstration, authorized by section 6071 of the Deficit Reduction Act of 2005 (P.L. 109-171), was designed to assist States to balance their long-term care systems and help Medicaid enrollees transition from institutions to the community. Congress initially authorized up to \$1.75 billion in Federal funds through Fiscal Year (FFY) 2011. With the subsequent passage of the Patient Protection and Affordable Care Act (P.L. 111-148) in 2010, Section 2403 extended the program through September 30, 2016. An additional \$2.25 billion in Federal funds was appropriated through FFY 2016. The MFP Demonstration supports State efforts to rebalance their long-term support system so that individuals have a choice of where they live and receive services. Transition individuals from institutions who want to live in the community. Promote a strategic approach to implement a system that provides person centered services and a quality management strategy that ensures the provision of, and improvement of such services in both home and community-based settings and institutions. The demonstration provides for enhanced Federal Medical Assistance Percentage (FMAP) for 12 months for qualified home and community-based services for each person transitioned from an institution to the community during the demonstration period. Eligibility for transition is dependent upon residence in a qualified institution for more than 90 consecutive days. However, days that an individual was residing in the institution for the sole purpose of receiving short-term rehabilitation services that are reimbursed under Medicare are excluded and will not be counted toward the 90-day requirement. The State must continue to provide community-based services after the 12-month period for as long as the person needs community services and is Medicaid eligible. Demonstration grants will be awarded to States through March 31, 2016. CMS will accept one proposal from each State interested in participating in the demonstration program. The State Medicaid Agency must be the lead applicant. States must propose a demonstration period of no less than two consecutive fiscal years but no greater than five years. The State will indicate in its application the targeted group(s) and number of individuals it intends to transition during the demonstration period. The number of demonstration projects approved by CMS depends largely on the scope (i.e., proposed enrollment and breadth of services) and quality of the proposed projects. Under

the demonstration, the State must propose a system of Medicaid home and community-based care that will be sustained after the demonstration period and is deemed qualified by the Secretary. Specifically, the program must be conducted in conjunction with a "qualified HCBS program" which is a program that is in operation (or approved) in the State for such individuals in a manner that assures continuity of Medicaid coverage of services in the qualified HCBS program for eligible individuals. States may also propose to enhance the services they will provide during the demonstration period to achieve greater success with transition. States will be required to participate in a national qualitative and quantitative evaluation conducted by CMS. Data collected on a national level will help evaluate the core objectives of the statute.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Indirect Costs: The provisions of the 2 CFR 225 (formerly OMB Circular A-87) govern reimbursement of indirect costs under this solicitation. A copy of OMB Cost Principles for State, Local, and Indian Tribal Governments is available online at http://www.whitehouse.gov/sites/default/files/omb/assets/omb/fedreg/2005/083105_a87.pdf.

Applicant Eligibility:

Applicants for this Demonstration Grant must be any single State Medicaid Agency, State Mental Health Agency, or instrumentality of the State. Only one application can be submitted for a given State. By "State" we refer to the definition provided under 45 CFR 74.2 as "any of the several States of the United States, the District of Columbia, the Commonwealth of Puerto Rico, any territory or possession of the United States, or any agency or instrumentality of a State exclusive of local governments." By "territory or possession", we mean Guam, the U. S. Virgin Islands, American Samoa, and the Commonwealth of the Northern Mariana Islands.

Beneficiary Eligibility:

As defined in Section 6071(b)(2) of the DRA and amended by Section 2403 of the Affordable Care Act, the term eligible individual means an individual in the State who, immediately before beginning participation in the MFP demonstration project: (i) resides (and has resided, for a period of not less than 90 consecutive days in an inpatient facility; (ii) is receiving Medicaid benefits for inpatient services furnished by such inpatient facility; and (iii) with respect to whom a determination has been made that, but for the provision of home and community-based long-term care services, the individual would continue to require the level of care provided in an inpatient facility and, in any case in which the State applies a more stringent level of care standard as a result of implementing the State plan option permitted under section 1915 (i) of the Social Security Act, the individual must continue to require at least the level of care which had resulted in admission to the institution.

Credentials/Documentation:

Applications can only be submitted by the single State Medicaid agency. A cover letter must accompany the application. The cover letter must be signed by the State Medicaid Director, and must indicate the title of the project, the principal contact person, the amount of funding requested, and the names of the major partners actively collaborating in the project. This program is excluded from coverage under OMB Circular No. A-87. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Applicants must submit their applications electronically through <http://www.grants.gov>.

Award Procedure:

An independent review of all applications will be conducted by a panel of experts including members of the disability community, advocates, providers and staff from State and Federal agencies including CMS. The review panel will assess each application to determine the merits of the proposal and the extent to which it furthers the purposes of the demonstration program. The panel will evaluate each application for further review by CMS. CMS reserves the right to request that States revise or otherwise modify certain sections of their proposals based on the recommendations of the panel and the budget. A low score in one or two areas, even if offset by high scores in other areas, may result in a rejection of the proposal. Final approval of demonstration projects will be made by the Administrator of CMS after consideration of the comments and recommendations of the review panelists, program office recommendations, and the availability of funds. CMS reserves the right to approve or deny any or all proposals for funding. CMS seeks to achieve reasonable balance among the grants to be awarded in a particular category in terms of key factors such as geographic distribution and broad target group representation. CMS may redistribute grant funds (as detailed in the "Award Information" section of this solicitation) based upon the number and quality of applications received. (e.g., to adjust the minimum or maximum awards permitted or adjust the aggregate amount of Federal funds allotted to a particular category of grants).

Deadlines:

Nov 01, 2009 January 7, 2011 The deadline for applications is January 7, 2011, (11:59pm Eastern Standard Time).

Range of Approval/Disapproval Time:

Successful applicants will receive a Financial Assistance Award (FAA) signed and dated by the CMS Grants Management Officer. The FAA is the document authorizing the grant award and will be sent through the U.S. Postal Service to the applicant organization as listed on its SF 424. Any communication between CMS and applicants prior to issuance of the FAA is not an authorization to begin performance of a project. Unsuccessful applicants will be notified by letter, sent through the U.S. Postal Service to the applicant organization as listed on its SF 424.

Appeals:

Appeals are governed by the Code of Federal Regulations, Title 45--Public Welfare, Subtitle A--Department of Health and Human Services, Part 16--Procedures of the Departmental Grant Appeals Board.

Renewals:

Any funding allocated for the Federal match for home and community-based services provided under the demonstration for the first year of this grant that remain unspent will be carried over to the next year of the Grant.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching Requirements: A State receiving an award under this solicitation will receive reimbursement for home and community-based services provided under the demonstration on a quarterly basis at the following Federal Medical Assistance Percentage (FMAP) rates: The FMAP rate will be adjusted to reflect the increased FMAP available to States through the American Recovery and Reinvestment Act of 2009 each quarter from October 1, 2008 and extended by passage of Education, Jobs and Medicaid Assistance Act (P.L. 111-226) of 2010 through June 30, 2011 (increased rate). The funding for the increased FMAP will be provided from Money Follows the Person grant demonstration appropriations. The enhanced FMAP provided by the DRA of 2005 (Enhanced Rate increased up to 50% of the State Match capped at 90%) will be applied to the Recovery Act increased quarterly FMAP. Service Category Match rate for a 12-month demonstration period for "Qualified HCB program" services and HCB Demonstration services are at the Increased and Enhanced Match Rate. Supplemental Demonstration services will be provided at the Increased Rate only for the Recovery Act period. Administrative costs will be reimbursed according to the requirements of CFR 42, 433.15. At the end of the Recovery Act period, the yearly-published FMAP Rate in the Federal Register will be used to determine the Enhanced Rate and the State match requirements for the prior quarters to the Recovery Act period and subsequent quarters until the end of the demonstration. This program has MOE requirements, see funding agency for further details. Total expenditures under the State Medicaid program

for home and community-based long-term care services will not be less for any fiscal year during the MFP demonstration project than for the greater of such expenditures for fiscal year 2005 or any succeeding fiscal year before the first of the year of the MFP demonstration project.

This program has MOE requirements, see funding agency for further details.

This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

The demonstration period is ten years. The project period is from January 1, 2007 through March 31, 2016. The budget period is from January 1, 2007 through March 31, 2016. Method of awarding / releasing assistance: lump sum. Method of awarding/releasing assistance: lump sum.

Reports:

No program reports are required. No cash reports are required. No progress reports are required. Financial Status Report, form (SF-425), will be required to be submitted semi-annually. This financial status report will account for all uses of grant monies during each reporting period. During the pre-implementation period, CMS will work with the grantees to determine if additional reporting requirements are required. At the end of each demonstration grant year, States will be required to produce documentation that they have not exceeded the determined budget ceiling and meet all CMS financial requirements. The format of this financial report will be determined during the program development phase of the Demonstration Semi-Annual Progress Reports. The submission of the finalized Operational Protocol (OP) will be due no later than 9 months after receipt of the Notice of Financial Assistance Award. The OP will be considered the First report due under this demonstration. No performance monitoring is required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to follow 45 CFR 74.53: Code of Federal Regulations, Title 45--Public Welfare, Subtitle A--Department of Health and Human Services, Section--74.53 Retention and access requirements for records.

Account Identification:

75-0516-0-1-551.

Obligations:

(Formula Grants (Apportionments)) FY 12 \$297,026,817; FY 13 est \$435,200,000; and FY 14 est \$435,200,000

Range and Average of Financial Assistance:

There is not a prescribed or predetermined maximum floor or ceiling grant award. Each State is unique in the number of individuals that will be projected for transition under the demonstration grant. In addition, the costs of individuals transitioning to community settings may vary, by targeted population. Applicants are advised to request a grant award that is sufficient in the amount needed to transition the projected individuals into community settings. CMS reserves the right to reduce the requested grant award, based on the number and size of additional grant awards given under this demonstration, as well as because of concerns contained within a State's application (i.e., concerns with the number of costs of individuals projected for transition by the individual State.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

To promote effective outcomes from the demonstration, the statute provides waiver authority for four provisions of title XIX of the Social Security Act, to the extent necessary to enable a State initiative to meet the requirements and accomplish the purposes of the demonstration. These provisions are: (1) Statewide (Section 1902(a)(1) of the Social Security Act) - in order to permit implementation of a State initiative in a selected area or areas of the

State; (2) Comparability (Section 1902(a)(10)(B) - in order to permit a State initiative to assist a selected category or categories of individuals enrolled in the demonstration; (3) Income and Resource Eligibility (Section 1902(a)(10)(C)(i)(III) - in order to permit a State to apply institutional eligibility rules to individuals transitioning to community-based care; and (4) Provider agreement (Section 1902(a)(27)) - in order to permit a State to implement self-direction services in a cost-effective manner for purposes of this demonstration program. By "State" we refer to the definition provided under 45 CFR 74.2 as any of the several States of the United States, the District of Columbia, the Commonwealth of Puerto Rico, any territory or possession of the United States, or any agency or instrumentality of a State exclusive of local governments. By "territory or possession", we mean Guam, the U. S. Virgin Islands, American Samoa, and the Commonwealth of the Northern Mariana Islands. CMS will reimburse States for home and community-based services provided under the demonstration on a quarterly basis at a rate equal to the State's Federal Medical Assistance Percentage (FMAP) or the State's Enhanced FMAP, as specified in the solicitation. Administrative costs will be reimbursed according to the requirements of 42 CFR 433.15. A complete electronic application package, including all required forms, for this demonstration grant is available at https://apply.grants.gov/forms_apps_idx.html Standard application forms and related instructions are available online at <http://gsa.gov/forms>. Standard forms are available as detailed in, Section V.A, Address to Request Application Package. The following standard forms must be completed with an original signature and enclosed as part of the proposal: SF 424: Official Application for Federal Assistance (see Note below*) SF 424A: Budget Information SF 424B: Assurances - Non-Construction Programs SF LLL: Disclosure of Lobbying Activities PHS-5161-1 (7/00) Additional Certifications - can be found at the following website: http://apply.grants.gov/forms/sample/SSA_additionalassurances_VI.0.pdf Usual Requirements that must be adhered to by law and regulation: Specific administrative and policy requirements of grantees as outlined in 45 CFR 74 and 45 CFR 92, apply to this grant opportunity. All grantees receiving awards under these grant programs must meet the requirements of: Title VI of the Civil Rights Act of 1964, Section 504 of the Rehabilitation Act of 1973, The Age Discrimination Act of 1975, Hill-Burton Community Service nondiscrimination provisions, and Title II Subtitle A of the Americans with Disabilities Act of 1990.

Regional or Local Office:

None.

Headquarters Office:

Cathy M. Cope, 7500 Security Boulevard, Baltimore, Maryland 21244 Email: cathy.cope@cms.hhs.gov Phone: (410) 786-8287

Website Address:

https://www.cms.gov/CommunityServices/20_MFP.asp

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.796 STATE SURVEY CERTIFICATION OF HEALTH CARE PROVIDERS AND SUPPLIERS (TITLE XIX) MEDICAID

FEDERAL AGENCY:

Centers for Medicare and Medicaid Services, Department of Health and Human Services

AUTHORIZATION:

Social Security Act, Sections 1863, 1864, 1865, 1902, 1903 and 1919, Title XIX.

OBJECTIVES:

To provide (Medicaid) financial assistance to any State which is able and willing to determine through its State health agency or other appropriate State agency that providers and suppliers of health care services are in compliance with Federal regulatory health and safety standards and conditions of

participation.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

Funds are made available to States for the purpose of inspecting providers and suppliers of health care services, to ensure mandatory adherence to Medicaid health and safety standards and conditions. Funds made available under this program are used to support or reimburse State Staff for performing survey activities and for State administration of the program.

Applicant Eligibility:

The Federal government reimburses States for the Federal Financial Participation share for costs of inspection. Such participation is dependent on an approved State activity plan.

Beneficiary Eligibility:

NA.

Credentials/Documentation:

State must have an approved State Plan for Title XIX. A signed 1864 Agreement for Title XIX in order to carry out the survey function. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. States submit an acceptable State Plan for support of Medicaid survey and certification activities. Budget proposals and estimated expenditures must comply with OMB Circular No. A-87 cost principles and regulatory guidelines.

Award Procedure:

States are provided a quarterly award based on an approved annual budget. States are reimbursed for survey activities under Electronic Funds Transfer System procedures. States draw funds through Department's Payment Management System.

Deadlines:

Oct 01, 2012 to Aug 15, 2013 Annual budget proposals are requested from the appropriate State agency as negotiated with Regional Office.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Appeals are reviewed by the Department Grants Appeal Board.

Renewals:

Ongoing renewals are awarded to State agencies that are willing and able to conduct required survey activities in compliance with statutory mandate.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: Percent: 25%. Formula Grants. This program does have a matching requirement (75% Fed/25% State). This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Funding authority is provided for a full year to State agencies performing survey and certification activities pertaining to Medicaid survey activities. Method of awarding/releasing assistance: lump sum.

Reports:

No reports are required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit

Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

State must maintain surveyor time records, line item and expenditure documentation, which substantiate the costs relating to survey activities.

Account Identification:

75-0512-0-1-550.

Obligations:

(Formula Grants) FY 12 \$203,938,764; FY 13 est \$230,280,000; and FY 14 est \$235,400,000

Range and Average of Financial Assistance:

FY 10 range is from \$398,202 to \$23,148,410 and an average of \$3,733,219.
FY 11 range is from \$482,636 to \$25,052,869 and an average of \$3,877,783.
FY 12 range is from \$429,473 to \$25,578,617 and an average of \$3,998,799.
FY 13 & FY 14 information is unavailable at this time.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Title XIX, Social Security Act as amended Sections 1863, 1864 and 1865.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Thomas Hamilton, 7500 Security Boulevard, Baltimore, Maryland 21244
Email: thomas.hamilton@cms.hhs.gov Phone: (410) 786-9493.

Website Address:

<http://www.cms.hhs.gov/contracts>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.822 HEALTH CAREERS OPPORTUNITY PROGRAM

HCOP

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Section 739 of the Public Health Service Act (42 USC 293c), (Educational Assistance in the Health Professions Regarding Individuals from Disadvantaged Backgrounds) as amended by Section 5402 of the Patient Protection and Affordable Care Act (P.L. 111-148).

OBJECTIVES:

The Health Careers Opportunity Program (HCOP) program strives to develop a more competitive applicant pool to build diversity in the health professions. The program's goal is to provide students from economically and educationally disadvantaged backgrounds an opportunity to develop the skills needed to successfully compete for, enter into and graduate from health professions schools, allied health professions schools, graduate programs in behavioral and mental health, and programs for the training of physician assistants.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Section 739 provides for assisting individuals from disadvantaged backgrounds to undertake education to enter a health or allied health profession. Grant funds may be used for: (1) recruitment; (2) facilitating entry; (3) counseling,

mentoring and other services; (4) preliminary education and health research training; (5) financial aid information dissemination; (6) primary care exposure activities; (7) development of a more competitive applicant pool; and (8) stipends.

Applicant Eligibility:

Eligible applicants include accredited schools of medicine, osteopathic medicine, public health, dentistry, veterinary medicine, optometry, pharmacy, allied health, chiropractic, podiatric medicine, public and nonprofit private schools that offer graduate programs in behavioral and mental health, programs for the training of physician assistants, and other public or private nonprofit health or educational entities. HCOP grant programs may only operate in the fifty (50) states, the District of Columbia, Commonwealth of Puerto Rico, Commonwealth of Northern Mariana Islands, the U.S. Virgin Islands, Guam, American Samoa, the Republic of Palau, Republic of the Marshall Islands, and the Federated States of Micronesia.

Beneficiary Eligibility:

Students who meet the definition of either educationally or economically disadvantaged, and who express an interest in pursuing a health degree program are eligible for participation in an HCOP program during their primary, secondary, pre-professional, and professional education. Students must be U.S. citizens, non-citizen nationals, or foreign nationals who possess a visa permitting permanent residence in the United States. An individual will be determined to be disadvantaged if he or she comes from a background that has inhibited the individual from obtaining the knowledge, skills, and abilities required to enroll in and graduate from a health professions school or program providing education or training in an allied health profession; or comes from a family with an annual income below a level based on low income thresholds according to family size published by the Bureau of the Census, adjusted annually for changes in the Consumer Price Index, and adjusted by the Secretary for use in health professions programs.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. HRSA requires all applicants to apply electronically through Grants.gov.

Award Procedure:

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Notification is made in writing (electronic) by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 4 months after receipt of applications.

Appeals:

Not Applicable.

Renewals:

During the final budget year of the approved project period, competing continuation applications may be submitted.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Projects periods are up to three years. See the following for information on how assistance is awarded/released: Grantees draw down funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

The awardee will be required to submit annual performance and progress reports as well as status-federal financial reports (see below for general information on each required report). The awardee must submit a quarterly electronic Federal Financial Report (FFR) Cash Transaction Report via the Payment Management System within 30 days of the end of each calendar quarter. A Federal Financial Report (SF-425) is required within 90 days of the end of each budget period. A final report is due within 90 days after the project period ends. If applicable, the awardee must submit a Tangible Personal Property Report (SF-428) and any related forms within 90 days after the project period ends. New awards (Type 1) issued under this funding opportunity announcement are subject to the reporting requirements of the Federal Funding Accountability and Transparency Act (FFATA) of 2006 (Pub. L. 109282), as amended by section 6202 of Public Law 110252, and implemented by 2 CFR Part 170. Grant and cooperative agreement recipients must report information for each first-tier subaward of \$25,000 or more in federal funds and executive total compensation for the recipients and subrecipients five most highly compensated executives as outlined in Appendix A to 2 CFR Part 170 (FFATA details are available online at <http://www.hrsa.gov/grants/ffata.html>). Competing continuation awardees, etc. may be subject to this requirement and will be so notified in the Notice of Award. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records three years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the three year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular three year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Project Grants) FY 12 \$13,985,148; FY 13 est \$13,307,452; and FY 14 est \$13,307,452

Range and Average of Financial Assistance:

FY 2012: \$236,158 - \$1,078,000; \$699,257;

FY 2013 est \$238,425 to \$750,000; \$669,842;.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for state, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

None. Violet Woo, Chief of the Diversity Branch, Division of Public Health and Interdisciplinary Education, Parklawn Building Room 9C-26, 5600 Fishers Lane, Rockville, Maryland 20857. Phone: 301-443-8032. E-mail: vwwo@hrsa.gov.

Tia-Nicole Leak, Ph.D. Social Science Research Analyst/Project Officer, Diversity Branch, Division of Public Health and Interdisciplinary Education, Bureau of Health Professions, Health Resources and Services Administration, Department of Health and Human Services, Room 9C-26, 5600 Fishers Lane, Rockville, MD 20857, Email: tleak@hrsa.gov.

Headquarters Office:

Violet Woo 5600 Fishers Lane, Room 9C-26, Rockville, Maryland 20857
Phone: (301) 443-8032

Website Address:

www.hrsa.gov.

RELATED PROGRAMS:

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Procedures for assessing the technical merit of grant applications have been instituted to provide an objective review of applications and to assist the applicant in understanding the standards against which each application will be judged. Critical indicators have been developed for each review criterion to assist the applicant in presenting pertinent information related to that criterion and to provide the reviewer with a standard for evaluation. Competing applications are reviewed by nonfederal reviewers for technical merit recommendations.

All competitive applications will be reviewed and ranked on the basis of review criteria that is used to review and rank applications. Specific review criteria can be found in the funding opportunity announcement.

93.824 AREA HEALTH EDUCATION CENTERS INFRASTRUCTURE DEVELOPMENT AWARDS

AHEC-ID

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act (42 U.S.C. 294a), Title VII, Section 751(a) (1), as amended by the Patient Protection and Affordable Care Act of 2010, Public Law 111-148, Section 5403.

OBJECTIVES:

The Patient Protection and Affordable Care act, Public Law 111-148, amended the PHS Act, Section 751, Area Health Education Centers. The required program activities in the amended legislation embrace the following goals to: (1) educate and train students to become culturally competent primary care health professionals who will provide healthcare in underserved areas and to health disparity populations; (2) increase the number and variety of primary care health professionals who provide care to underserved populations in Health Professional Shortage Areas (HPSAs) and other medically underserved areas; and (3) recruit into health careers individuals from underrepresented minority populations or from disadvantaged or rural backgrounds. The AHEC Infrastructure Development (AHEC-ID) awards consist of cooperative agreements with accredited schools of medicine and nursing, to assist the schools to improve the distribution, diversity, supply, and quality of health personnel in the health services delivery system, and to attract and retain health personnel in underserved areas. The AHEC awardees link the academic resources of medical schools and participating health profession schools with local educational and clinical sites, and thereby establish a network of primary care oriented community-based training sites to provide educational services to health profession students, faculty-preceptors and health care providers in underserved areas, and ultimately to improve the delivery of health care in an underserved region or in an entire state. Grantees shall allocate not less than 75

percent of the award to AHEC centers to coordinate community-based training and interdisciplinary/interprofessional training in underserved areas and for health disparity populations. AHEC health careers recruitment activities shall be coordinated, where feasible, with Department of Labor workforce investment boards, and shall include an emphasis on careers in public health. Interdisciplinary/interprofessional training shall involve physicians, physician assistants, nurse practitioners, nurse midwives, dentists, psychologists, pharmacists, optometrists, community health workers, public health and allied health professionals, as practicable.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funds shall be used to recruit individuals from underrepresented, disadvantaged, or rural backgrounds into the health professions; establish and maintain community based placements or preceptorships with an emphasis on primary care; conduct interdisciplinary/interprofessional training involving an array of health professionals; deliver or facilitate continuing education; propose and implement outcomes measurement and evaluation strategies. Not less than 75 percent of the total amount provided to an AHEC program under subsection 751(a) (1) shall be allocated to the AHEC centers participating in the program.

The aggregate amount of awards to schools in the state for the fiscal year under Infrastructure Development AHEC authority shall not be less than \$250,000 per AHEC center, including program office funds. If amounts appropriated to carry out Section 751 are not sufficient to comply with the amount stated in the preceding sentence, the Secretary may reduce the per center amount as necessary.

Applicant Eligibility:

Eligible applicants for Area Health Education Centers (AHEC) Program cooperative agreements under Section 751(a)(1) include public or private nonprofit accredited schools of medicine and osteopathic medicine and incorporated consortia made up of such schools, or the parent institutions of such schools. Also, in States in which no AHEC program is in operation, an accredited school of nursing is an eligible applicant.

Beneficiary Eligibility:

Beneficiaries include a full range of trainees: high school students from underrepresented minority populations or disadvantaged or rural backgrounds, health professions students, faculty and practitioners.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. HRSA requires all applicants to apply electronically through Grants.gov.

Award Procedure:

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions. When making final funding decisions regarding Section 751 awards, consideration will be given to the Sense of the Congress that there be an area health education center program in each state.

Notification is made in writing (electronic) by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 3 to 4 months after the review of the application.

Appeals:

Not Applicable.

Renewals:

During the final budget year of the approved project period, competing continuation applications may be submitted.

Formula and Matching Requirements:

Statutory Formula: Title Section 751(a)(1), Public Law PHS Act. Matching Requirements: Percent: 50%. Matching Funds: With respect to the costs of operating a program through a grant under section 751, to be eligible for assistance under section 751, an entity shall make available (directly or through contributions from state, county or municipal governments or the private sector) recurring nonfederal contributions in cash or in kind toward such costs in an amount that is equal to not less than 50 percent of such costs. Thus, the matching ratio for AHEC awards is 1:1 (federal funds to non-federal contributions). At least 25 percent of the total required non-federal contributions shall be in cash. The Secretary may grant a waiver for up to 75 percent of the amount required in the first three years in which an awardee receives funds under this section: Section 751 (a) (1) of the PHS Act, as amended. This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

Funds are available for expenditure during appropriate budget period. See the following for information on how assistance is awarded/released: Grantees drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

The awardee will be required to submit annual performance and progress reports as well as status-federal financial reports (see below for general information on each required report). The awardee must submit a quarterly electronic Federal Financial Report (FFR) Cash Transaction Report via the Payment Management System within 30 days of the end of each calendar quarter. A Federal Financial Report (SF-425) is required within 90 days of the end of each budget period. A final report is due within 90 days after the project period ends. If applicable, the awardee must submit a Tangible Personal Property Report (SF-428) and any related forms within 90 days after the project period ends. New awards (Type 1) issued under this funding opportunity announcement are subject to the reporting requirements of the Federal Funding Accountability and Transparency Act (FFATA) of 2006 (Pub. L. 109282), as amended by section 6202 of Public Law 110252, and implemented by 2 CFR Part 170. Grant and cooperative agreement recipients must report information for each first-tier subaward of \$25,000 or more in federal funds and executive total compensation for the recipients and subrecipients five most highly compensated executives as outlined in Appendix A to 2 CFR Part 170 (FFATA details are available online at <http://www.hrsa.gov/grants/ffata.html>). Competing continuation awardees, etc. may be subject to this requirement and will be so notified in the Notice of Award. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records three years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the three year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular three year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Cooperative Agreements) FY 12 \$6,199,483; FY 13 est \$4,546,355; and FY 14 est \$0

Range and Average of Financial Assistance:

FY 12 \$413,056 to \$1,032,640; Average award amount \$563,589.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for state, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations, and commercial organizations.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices. Meseret Bezuneh, MEd, Chief, AHEC Branch, Division of Public Health and Interdisciplinary Education, Bureau of Health Professions, Health Resources and Services Administration, Department of Health and Human Services, Parklawn Building, 5600 Fishers Lane, Room 9C-05, Rockville, MD 20857. Telephone: 301-443-6950. E-mail: MBezuneh@hrsa.gov.

Jamie Weng, Project Officer, Area Health Education Centers Branch, Division of Public Health and Interdisciplinary Education, Bureau of Health Professions, Health Resources and Services Administration, Department of Health and Human Services, Room 9C-05, 5600 Fishers Lane, Rockville, MD 20857. , Phone: 301-443-6950, and E-mail: JWeng@hrsa.gov.

Headquarters Office:

Meseret Bezuneh 5600 Fishers Lane, Room 9C-05, Rockville, Maryland 20857
Phone: (301) 443-6950.

Website Address:

<http://bhpr.hrsa.gov/grants/areahealtheducationcenters/index.html>

RELATED PROGRAMS:

93.107 Model State-Supported Area Health Education Centers

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Procedures for assessing the technical merit of grant applications have been instituted to provide an objective review of applications and to assist the applicant in understanding the standards against which each application will be judged. Critical indicators have been developed for each review criterion to assist the applicant in presenting pertinent information related to that criterion and to provide the reviewer with a standard for evaluation. Competing applications are reviewed by nonfederal reviewers for technical merit recommendations in the following areas:

NEED- Involves the extent to which the application describes the problem(s) to be addressed and the target population(s) and geographic area(s) to be served by the AHEC(s).

RESPONSE- Involves the degree to which the proposal responds to AHEC legislative and programmatic requirements.

EVALUATION MEASURES- The adequacy of the evaluation strategies to monitor and evaluate project results.

IMPACT- Involves the extent and effectiveness of meeting the primary care workforce needs of the population and geography/region identified for AHEC services and programs, and potential for replication of project activities. Involves the extent to which the project demonstrates past performance success.

RESOURCES/CAPABILITIES- The extent to which personnel are qualified by training and/or experience to implement and carry out the proposal. The capabilities of the applicant organization and quality and availability of facilities and personnel to fulfill the needs and requirements of the proposed project will be reviewed.

SUPPORT REQUESTED- Involves the reasonableness of the proposed budget and resources in relation to the objectives, scope of the project, complexity of activities, and anticipated results.

See most recent funding opportunity announcement at www.grants.gov for detailed review criteria.

93.837 CARDIOVASCULAR DISEASES RESEARCH**FEDERAL AGENCY:**

National Institutes of Health, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Section 301, 422 and 487, as amended, Public Laws 78-410 and 99-158, 42 U.S.C. 241, 42 U.S.C. 285, and 42 U.S.C. 288, as amended; Small Business Reauthorization Act of 2000, Public Law 106-554.

OBJECTIVES:

To foster research and prevention, education, and control activities related to heart and vascular diseases and to develop young scientist investigators in these areas. Small Business Innovation Research (SBIR) program: To increase private sector commercialization of innovations derived from Federal research and development; to increase small business participation in Federal research and development; and to foster and encourage participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation. Small Business Technology Transfer (STTR) program: To stimulate and foster scientific and technological innovation through cooperative research and development carried out between small business concerns and research institutions; to foster technology transfer between small business concerns and research institutions; to increase private sector commercialization of innovations derived from Federal research and development; and to foster and encourage participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grants may support stipends, research expenses, supplies, travel, and research training tuition as required to perform the research effort. Restrictions or limitations are imposed against the use of funds for entertainment, foreign travel, general-purpose equipment, alterations and renovations, and other items not regularly required for the performance of research. Individual Predoctoral and Postdoctoral National Research Service Awards (NRSA) are made directly to individuals for research training in specified biomedical shortage areas. Institutional NRSA awards may be made to eligible institutions to enable them to appoint individuals selected by the institution for research training. Certain service and payback provisions may apply to postdoctoral individuals upon termination of the award or termination of the appointment. SBIR Phase I grants (of approximately 6-months' duration) are to establish the technical merit and feasibility of a proposed research effort that may lead to a commercial product or process. Phase II grants are for the continuation of research initiated in Phase I that is likely to result in commercial products or processes. Only Phase I awardees are eligible to apply for Phase II support. STTR Phase I grants (normally of 1-year duration) are to determine the scientific, technical, and commercial merit and feasibility of the proposed cooperative effort that has potential for commercial application. These awards are made to small businesses working in collaboration with academic institutions. Phase II funding is based on results of research initiated in Phase I and scientific and technical merit and commercial potential of Phase II application. Fast-Track is an option whereby Phase I and Phase II SBIR or STTR projects are submitted and reviewed concurrently with the aim of reducing or eliminating the funding gap between Phase I and Phase II. While the intent of the SBIR/STTR programs

is commercialization (Phase III), no SBIR/STTR funds are allowed for commercialization activities such as patents; market and sales; market research; business development/product development/market plans; legal fees, travel and other costs including labor relating to license agreements and partnerships.

Applicant Eligibility:

Any nonprofit organization engaged in biomedical research and institutions or companies organized for profit may apply for almost any kind of grant. Only domestic, non-profit, private or public institutions may apply for NRSA Institutional Research Training Grants. An individual may apply for an NRSA or, in some cases, for a research grant if adequate facilities to perform the research are available. SBIR grants can be awarded only to United States small business concerns (entities that are independently owned and operated for profit, or owned by another small business that itself is independently owned and operated for profit, are not dominant in the field in which research is proposed, and have no more than 500 employees including affiliates). Primary employment (more than one-half time) of the principal investigator must be with the small business at the time of award and during the conduct of the proposed project. In both Phase I and Phase II, the research must be performed in the U.S. or its possessions. To be eligible for funding, a grant application must be approved for scientific merit and program relevance by a scientific review group and a national advisory council. SBIR projects must be performed at least 67% by the applicant small business in Phase I and at least 50% of the Project in Phase II. STTR grants can be awarded only to United States small business concerns (entities that are independently owned and operated for profit and have no more than 500 employees) that formally collaborate with a university or other non-profit research institution in cooperative research and development. The principle investigator may be employed with the small business concern or collaborating non-profit research institution as long as s/he has a formal appointment with or commitment to the applicant small business concern. At least 40% of the project is to be performed by the small business concern and at least 30% by the non-profit research institution. In both Phase I and Phase II, the research must be performed in the U.S. and its possessions.

Beneficiary Eligibility:

Any nonprofit or for-profit organization, company or institution engaged in biomedical research. Only domestic for-profit small business firms may apply for SBIR and STTR programs.

Credentials/Documentation:

Individual NRSA awardees and Institutional NRSA trainees must be citizens or noncitizen nationals of the United States, or have been admitted for permanent residency. Two levels of training are available: graduate level predoctoral training and postdoctoral training. All potential trainees must possess a desire for training in one of the health or health-related areas specified by the National Institutes of Health. Each applicant must be sponsored by an accredited public or private nonprofit institution engaged in such training. Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments. For-profit organization costs are determined in accordance with Subpart 31.2 of the Federal Acquisition Regulations. For other grantees, costs will be determined in accordance with DHHS Regulations 45 CFR, Part 74, Subpart Q. For SBIR and STTR grants, applicant organization (small business concern) must present a research plan that has potential for commercialization and furnish evidence that scientific competence, experimental methods, facilities, equipment, and funds requested are appropriate to carry out the plan. SBIR and STTR applicants must use the SF424 Research and Related (R&R) application for electronic submission through grants.gov. Electronic submission of NIH Research Performance Progress Reports (RPPR) apply for non-competing continuations (e.g., second year of Phase II). OMB Circular No. A-87 applies to this program. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Grant applications are submitted electronically. Visit

the following link to obtain application information and instructions (<http://grants1.nih.gov/grants/funding/424/index.htm>)

Award Procedure:

All accepted applications are evaluated by an appropriate initial review group (study section). All grant applications receive a final secondary review by the National Heart, Lung, and Blood Advisory Council. Staff inform applicants of the results of the review. If support is contemplated, staff initiate preparation of awards for grants. All accepted SBIR/STTR applications are evaluated for scientific and technical merit by an appropriate scientific peer review panel and by a national advisory council or board. All applications receiving a priority score compete for available SBIR/STTR set-aside funds on the basis of scientific and technical merit and commercial potential of the proposed research, program relevance, and program balance among the areas of research.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

> 180 Days. Regular Grants: From 7 to 9 months. SBIR/STTR Grants: About 7-1/2 to 9 months.

Appeals:

A principal investigator (P.I.) may question the substantive or procedural aspects of the review of his/her application by communicating with the staff of the Institute. A description of the NIH Peer Review Appeal procedures is available in the NIH Guide at the following URL <http://grants.nih.gov/grants/guide/notice-files/NOT-OD-11-064.html>.

Renewals:

> 180 Days. Renewal applications are reviewed in the same manner as new applications.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. This program has no matching requirements.

This program does not have MOE requirements. This program has no matching requirements.

Length and Time Phasing of Assistance:

The National Heart, Lung, and Blood Advisory Council may recommend funding for periods ranging from 1 to 5 years. Funding commitments are made annually. The National Heart, Lung, and Blood Advisory Council may recommend funding for periods ranging from 1 to 5 years. Funding commitments are made annually. SBIR: Normally, Phase I awards are for 6 months; normally, Phase II awards are for 2 years. STTR: Normally, Phase I awards are for 1 year; normally, Phase II awards are for 2 years. Award length may vary depending on the recommendation of the scientific review group, the national advisory council, successful annual performance, and availability of funds. A formal notification in the form of a Notice of Award (NoA) will be provided to the applicant organization. See the following for information on how assistance is awarded/released: Award length may vary depending on the recommendation of the scientific review group, the national advisory council, successful annual performance, and availability of funds. See the following for information on how assistance is awarded/released: A formal notification in the form of a Notice of Award (NoA) will be provided to the applicant organization.

Reports:

No program reports are required. No cash reports are required. Annual reports on progress and expenditures are required. Final reports are required within 120 days of termination. Reports are required after termination of NRSA's to ascertain compliance with the service and payback provisions. Annual reports on progress and expenditures are required. Final reports are required within 120 days of termination. Reports are required after termination of NRSA's to ascertain compliance with the service and payback provisions. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit

Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees generally must retain financial and programmatic records, supporting documents, statistical records, and all other records that are required by the terms of a grant, or may reasonably be considered pertinent to a grant, for a period of 3 years from the date the annual FSR is submitted. For awards under SNAP (other than those to foreign organizations and Federal institutions), the 3-year retention period will be calculated from the date the FSR for the entire competitive segment is submitted. Those grantees must retain the records pertinent to the entire competitive segment for 3 years from the date the FSR is submitted to NIH. Foreign organizations and Federal institutions must retain records for 3 years from the date of submission of the annual FSR to NIH. See 45 CFR 74.53 and 92.42 for exceptions and qualifications to the 3-year retention requirement (e.g., if any litigation, claim, financial management review, or audit is started before the expiration of the 3-year period, the records must be retained until all litigation, claims, or audit findings involving the records have been resolved and final action taken). Those sections also specify the retention period for other types of grant-related records, including F&A cost proposals and property records. See 45 CFR 74.48 and 92.36 for record retention and access requirements for contracts under grants.

In accordance with 45 Code of Federal Regulations, Part 74.53(e), the HHS Inspector General, the U.S. Comptroller General, or any of their duly authorized representatives have the right of timely and unrestricted access to any books, documents, papers, or other records of recipients that are pertinent to awards in order to make audits, examinations, excerpts, transcripts, and copies of such documents. This right also includes timely and reasonable access to a recipients personnel for the purpose of interview and discussion related to such documents. The rights of access are not limited to the required retention period, but shall last as long as records are retained.

Account Identification:

75-0872-0-1-552.

Obligations:

(Project Grants) FY 12 \$1,426,764,627; FY 13 est \$1,426,764,627; and FY 14 est \$1,426,764,627 - In fiscal year 2012, 2,979 research grants and National Research Service Awards were made. The estimates of fiscal year 2013 are 2,689 research grants and 290 National Research Service awards. The estimates for fiscal year 2014 are 2,689 research grants and 290 National Research Service Awards. In fiscal year 2012, for new and competing renewal awards: 4,337 grant applications were received, and of these, 606 were awarded; 258 National Research Service Award applications were received, and of these, 61 were awarded. Small Business innovation Research Awards/Small Technology Transfer Research Awards: In fiscal year 2012, 45 Phase I awards, and 48 Phase II awards were made.

Range and Average of Financial Assistance:

Grants: \$5,000 to \$11,262,237; \$479,063. SBIR Phase I - \$150,000; Phase II - up to \$1,000,000; STTR Phase I - \$100,000, Phase II - \$750,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR 52; 42 CFR 66; 45 CFR 74; "NIH Guide for Grants and Contracts, and Supplements"; Grants will be available under the authority of and administered in accordance with the PHS Grants Policy Statement and Federal regulations at 42 CFR 52 and 42 USC 241; Omnibus Solicitation of the Public Health Service for Small Business Innovation Research (SBIR) Grant and Cooperative Agreement Applications; Omnibus Solicitation of the National Institutes of Health for Small Business Technology Transfer (STTR) Grant Applications.

Regional or Local Office:

None.

Headquarters Office:

Dana A. Phares 6701 Rockledge Drive, Room 7176, Bethesda, Maryland 20892
Email: pharesda@nhlbi.nih.gov Phone: 301-435-0314

Website Address:

<http://www.nhlbi.nih.gov/about/dcvfs/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The major elements in evaluating proposals include assessments of: (1) The scientific merit and general significance of the proposed study and its objectives; (2) the technical adequacy of the experimental design and approach; (3) the competency of the proposed investigator or group to successfully pursue the project; (4) the adequacy of the available and proposed facilities and resources; (5) the necessity of the budget components requested in relation to the proposed project; and (6) the relevance and importance to announced program objectives. The following criteria will be used in considering the scientific and technical merit of SBIR/STTR Phase I grant applications: (1) The soundness and technical merit of the proposed approach; (2) the importance of the problem the proposed research will address; (3) the qualifications of the proposed principal investigator, supporting staff, and consultants; (4) the technological innovation of the proposed research; (5) the potential of the proposed research for commercial application; (6) the appropriateness of the budget requested; (7) the adequacy and suitability of the facilities and research environment; and (8) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment. Phase II grant applications will be reviewed based upon the following criteria: (1) The degree to which the Phase I objectives were met and feasibility demonstrated; (2) the scientific and technical merit of the proposed approach for achieving the Phase II objectives; (3) the qualifications of the proposed principal investigator, supporting staff, and consultants; (4) the technological innovation, originality, or societal importance of the proposed research; (5) the potential of the proposed research for commercial application; (6) the reasonableness of the budget requested for the work proposed; (7) the adequacy and suitability of the facilities and research environment; and (8) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment.

93.838 LUNG DISEASES RESEARCH

FEDERAL AGENCY:

National Institutes of Health, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Section 301, 422 and 487, as amended, Public Laws 78-410 and 99-158, 42 U.S.C. 241, 42 U.S.C. 285, and 42 U.S.C. 288, as amended; Small Business Research and Development Enhancement Act of 1992, Public Law 102-564.

OBJECTIVES:

To use available knowledge and technology to solve specific disease problems of the lungs; to promote further studies on the structure and function of the lung; and to achieve improvement in the prevention and treatment of lung diseases. Small Business Innovation Research (SBIR) program: to stimulate technological innovation; to increase private sector commercialization of innovations derived from Federal research and development; to increase small business participation in Federal research and development; and to foster and encourage participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation. Small Business Technology Transfer (STTR) program: To stimulate and foster scientific and technological innovation through cooperative research and development carried out between small business concerns and research institutions; to foster technology transfer between small business concerns and research institutions; to increase private sector commercialization of innovations derived from Federal research and development; and to foster and encourage participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation.

TYPES OF ASSISTANCE:**PROJECT GRANTS****USES AND USE RESTRICTIONS:**

Grants may support salaries, equipment, supplies, travel, and patient hospitalization as required to perform the research effort. Restrictions or limitations are imposed against the use of funds for entertainment, foreign travel, general-purpose equipment, alterations and renovations, and other items not regularly required for the performance of research. National Research Service Awards (NRSAs) are made directly to individuals for research training in specified biomedical shortage areas. Grants may be made to institutions to enable them to make NRSAs to individuals selected by them. Certain service and payback provisions apply to individuals upon termination of the award. SBIR Phase I grants (of approximately 6-months' duration) are to establish the technical merit and feasibility of a proposed research effort that may lead to a commercial product or process. Phase II grants are for the continuation of the research initiated in Phase I and which are likely to result in commercial products or processes. Only Phase I awardees are eligible to apply for Phase II support. STTR Phase I grants (normally of 1-year duration) are to determine the scientific, technical, and commercial merit and feasibility of the proposed cooperative effort that has potential for commercial application. Phase II funding is based on results of research initiated in Phase I and scientific and technical merit and commercial potential on Phase II application. Fast-Track is an option whereby Phase I and Phase II SBIR or STTR projects are submitted and reviewed concurrently with the aim of reducing or eliminating the funding gap between Phase I and Phase II. While the intent of the STTR/STTR programs is commercialization (Phase III), no SBIR/STTR funds are allowed for commercialization activities such as patents; market and sales; market research; business development/product development/market plans; legal fees, travel and other costs including labor relating to license agreements and partnerships.

Applicant Eligibility:

Any nonprofit organization engaged in biomedical research, as well as institutions or companies organized for profit, may apply for grants with the exception of NRSAs. An individual may apply for a NRSA or, in some cases, may qualify for a research grant if adequate facilities in which to perform the research are available. SBIR grants can be awarded only to domestic small businesses (entities that are independently owned and operated for profit, are not dominant in the field in which research is proposed and have no more than 500 employees). Primary employment (more than one-half time) of the principal investigator must be with the small business at the time of award and during the conduct of the proposed project. In both Phase I and Phase II, the research must be performed in the U.S. and its possessions. To be eligible for funding, a grant application must be approved for scientific merit and program relevance by a scientific review group and a national advisory council. SBIR projects must be performed at least 67% by the applicant small business in Phase I and at least 50% of the Project in Phase II. STTR grants can be awarded only to domestic small business concerns (entities that are independently owned and operated for profit, are not dominant in the field in which research is proposed and have no more than 500 employees) which "partner" with a research institution in cooperative research and development. At least 40 percent of the project is to be performed by the small business concern and at least 30 percent by the research institution. In both Phase I and Phase II, the research must be performed in the U.S. and its possessions. To be eligible for funding, a grant application must be approved for scientific merit and program relevance by a scientific review group and a national advisory council.

Beneficiary Eligibility:

Any nonprofit or for-profit organization, company or institution engaged in biomedical research. Only domestic for-profit small business firms may apply for SBIR and STTR programs.

Credentials/Documentation:

Applicants for individual National Research Service Awards must be citizens of the United States or have been admitted for permanent residency; must hold a doctoral degree (M.D., Ph.D., D.D.S., D.O., D.V.M., Sc.D., D. Eng., or equivalent domestic or foreign degree); and must designate a desire for training in one of the health or health-related areas specified by the National Institutes of Health. Each applicant must be sponsored by an accredited public or private nonprofit institution engaged in such training. For-profit organizations' costs are

determined in accordance with Subpart 31.2 of the Federal Acquisition Regulations. For other grantees, costs will be determined in accordance with HHS regulations 45 CFR, Part 74, Subpart Q. For SBIR and STTR grants, applicant organization (small business concern) must present in a research plan an idea that has potential for commercialization and furnish evidence that scientific competence, experimental methods, facilities, equipment, and funds requested are appropriate to carry out the plan. SBIR and STTR applicants must use the SF424 Research and Related (R&R) application for electronic submission through grants.gov. Electronic submission of SNAP Progress Reports (eSNAP) apply for non-competing continuations (e.g., second year of Phase II). OMB Circular No. A-87 applies to this program. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Grant applications are submitted electronically. Visit the following link to obtain application information and instructions (<http://grants1.nih.gov/grants/funding/424/index.htm>)

Award Procedure:

All accepted applications are evaluated by an appropriate initial review group (study section). All grant applications receive a final secondary review by the National Heart, Lung, and Blood Advisory Council. Staff informs applicants of the results of the review. If support is contemplated, staff initiates preparation of awards for grants. All accepted SBIR/STTR applications are evaluated for scientific and technical merit by an appropriate scientific peer review panel and by a national advisory council or board. All applications receiving a priority score compete for available SBIR/STTR set-aside funds on the basis of scientific and technical merit and commercial potential of the proposed research, program relevance, and program balance among the areas of research.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

> 180 Days. Regular Grants: From 7 to 9 months. SBIR/STTR Grants: About 7-1/2 months.

Appeals:

A principal investigator (P.I.) may question the substantive or procedural aspects of the review of his/her application by communicating with the staff of the Institute. A description of the NIH Peer Review Appeal procedures is available in the NIH Guide at the following URL <http://grants.nih.gov/grants/guide/notice-files/NOT-OD-11-064.html>.

Renewals:

> 180 Days. Renewal applications are reviewed in the same manner as new applications.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. This program has no matching requirements.

This program does not have MOE requirements. This program has no matching requirements.

Length and Time Phasing of Assistance:

The National Heart, Lung, and Blood Advisory Council may recommend funding for periods ranging from 1 to 5 years. Funding commitments are made annually. SBIR: Normally, Phase I awards are for 6 months; normally, Phase II awards are for 2 years. STTR: Normally, Phase I awards are for 1 year; normally, Phase II awards are for 2 years. See the following for information on how assistance is awarded/released: Award length may vary depending on the recommendation of the scientific review group, the national advisory council, successful annual performance, and availability of funds. See the following for information on how assistance is awarded/released: A formal notification in the

form of a Notice of Award (NoA) will be provided to the applicant organization.

Reports:

No program reports are required. No cash reports are required. Annual reports on progress and expenditures. Final reports are required within 120 days of termination. Reports are required after termination of National Research Service Awards to ascertain compliance with the service and payback provisions. Annual reports on progress and expenditures. Final reports are required within 120 days of termination. Reports are required after termination of National Research Service Awards to ascertain compliance with the service and payback provisions. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees generally must retain financial and programmatic records, supporting documents, statistical records, and all other records that are required by the terms of a grant, or may reasonably be considered pertinent to a grant, for a period of 3 years from the date the annual FSR is submitted. For awards under SNAP (other than those to foreign organizations and Federal institutions), the 3-year retention period will be calculated from the date the FSR for the entire competitive segment is submitted. Those grantees must retain the records pertinent to the entire competitive segment for 3 years from the date the FSR is submitted to NIH. Foreign organizations and Federal institutions must retain records for 3 years from the date of submission of the annual FSR to NIH. See 45 CFR 74.53 and 92.42 for exceptions and qualifications to the 3-year retention requirement (e.g., if any litigation, claim, financial management review, or audit is started before the expiration of the 3-year period, the records must be retained until all litigation, claims, or audit findings involving the records have been resolved and final action taken). Those sections also specify the retention period for other types of grant-related records, including F&A cost proposals and property records. See 45 CFR 74.48 and 92.36 for record retention and access requirements for contracts under grants.

In accordance with 45 Code of Federal Regulations, Part 74.53(e), the HHS Inspector General, the U.S. Comptroller General, or any of their duly authorized representatives have the right of timely and unrestricted access to any books, documents, papers, or other records of recipients that are pertinent to awards in order to make audits, examinations, excerpts, transcripts, and copies of such documents. This right also includes timely and reasonable access to a recipients personnel for the purpose of interview and discussion related to such documents. The rights of access are not limited to the required retention period, but shall last as long as records are retained.

Account Identification:

75-0872-0-1-552.

Obligations:

(Project Grants) FY 12 \$555,190,145; FY 13 est \$555,190,000; and FY 14 est \$555,190,000 - In fiscal year 2012, 1,183 research grants and National Research Service Awards were made. The estimates of fiscal year 2013 are 1,092 research grants and 91 National Research Service awards. The estimates for fiscal year 2014 are 1,092 research grants and 91 National Research Service Awards. In fiscal year 2012, for new and competing renewal awards: 1,754 grant applications were received, and of these, 293 were awarded; 92 National Research Service Award applications were received, and of these, 24 were awarded. Small Business innovation Research Awards/Small Technology Transfer Research Awards: In fiscal year 2012, 23 Phase I awards, and 9 Phase II awards were made.

Range and Average of Financial Assistance:

Grants: \$9,000 to \$5,401,147; \$469,307. SBIR Phase I - \$150,000; Phase II - up to \$1,000,000; STTR Phase I - \$100,000; Phase II \$750,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Dana A. Phares 6701 Rockledge Drive, Room 7176, Bethesda, Maryland 20892
Email: pharesda@nhlbi.nih.gov Phone: 301-435-0314

Website Address:

<http://www.nhlbi.nih.gov/about/dld/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The major elements in evaluating proposals include assessments of: (1) The scientific merit and general significance of the proposed study and its objectives; (2) the technical adequacy of the experimental design and approach; (3) the competency of the proposed investigator or group to successfully pursue the project; (4) the adequacy of the available and proposed facilities and resources; (5) the necessity of the budget components requested in relation to the proposed project; and (6) the relevance and importance to announced program objectives. The following criteria will be used in considering the scientific and technical merit of SBIR/STTR Phase I grant applications: (1) The soundness and technical merit of the proposed approach; (2) the qualifications of the proposed principal investigator, supporting staff, and consultants; (3) the scientific, technical, or technological innovation of the proposed research; (4) the potential of the proposed research for commercial application; (5) the appropriateness of the budget requested; (6) the adequacy and suitability of the facilities and research environment; and (7) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment. Phase II grant applications will be reviewed based upon the following criteria: (1) The degree to which the Phase I objectives were met and feasibility demonstrated; (2) the scientific and technical merit of the proposed approach for achieving the Phase II objectives; (3) the qualifications of the proposed principal investigator, supporting staff, and consultants; (4) the technological innovation, originality, or societal importance of the proposed research; (5) the potential of the proposed research for commercial application; (6) the reasonableness of the budget requested for the work proposed; (7) the adequacy and suitability of the facilities and research environment; (8) The adequacy of plans to include both genders and minorities and their subgroups as appropriate for the scientific goals of the research. Plans for the recruitment and retention of subjects will be evaluated; and (9) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment.

93.839 BLOOD DISEASES AND RESOURCES RESEARCH

FEDERAL AGENCY:

National Institutes of Health, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Section 301, 422, and 487, as amended, Public Laws 78-410 and 99-158, 42 U.S.C. 241, 42 U.S.C. 285 and 42 U.S.C. 288, as amended; Small Business Research and Development Enhancement Act of 1992, Public Law 102-564.

OBJECTIVES:

To foster research and research training on the pathophysiology, diagnosis, treatment, and prevention of non-malignant blood diseases, including anemias, sickle cell disease, thalassemia; leukocyte biology, pre-malignant processes such as myelodysplasia and myeloproliferative disorders; hemophilia and other abnormalities of hemostasis and thrombosis; and immune dysfunction. Funding encompasses a broad spectrum of hematologic inquiry, ranging from stem cell biology to medical management of blood diseases and to assuring the adequacy

and safety of the nation's blood supply. Programs also support the development of novel cell-based therapies to bring the expertise of transfusion medicine and stem cell technology to the repair and regeneration of human tissues and organs. Small Business Innovation Research (SBIR) program: To stimulate technological innovation; to increase private sector commercialization of innovations derived from Federal research and development; to increase small business participation in Federal research and development; and to foster and encourage participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation. Small Business Technology Transfer (STTR) program: To stimulate and foster scientific and technological innovation through cooperative research development carried out between small business concerns and research institutions; to foster technology transfer between small business concerns and research institutions; to increase private sector commercialization of innovations derived from Federal research and development; and to foster and encourage participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grants may support salaries, equipment, supplies, travel, and patient hospitalization as required to perform the research effort. Restrictions or limitations are imposed against the use of funds for entertainment, foreign travel, general-purpose equipment, alterations and renovations, and other items not regularly required for the performance of research. National Research Service Awards (NRSAs) are made directly to individuals for research training. Grants may be made to institutions to enable them to make NRSAs to individuals selected by them. Certain service and payback provisions apply to individuals upon termination of the award. SBIR Phase I grants (of approximately 6-months' duration) are to establish the technical merit and feasibility of a proposed research effort that may lead to a commercial product or process. Phase II grants are for the continuation of the research initiated in Phase I and which are likely to result in commercial products or processes. Only Phase I awardees are eligible to apply for Phase II support. STTR Phase I grants (normally of 1-year duration) are to determine the scientific, technical, and commercial merit and feasibility of the proposed cooperative effort that has potential for commercial application. These awards are made to small businesses working in collaboration with academic institutions. Phase II funding is based on results of research initiated in Phase I and scientific and technical merit and commercial potential on Phase II application. Fast-Track is an option whereby Phase I and Phase II SBIR or STTR projects are submitted and reviewed concurrently with the aim of reducing or eliminating the funding gap between Phase I and Phase II. While the intent of the SBIR/STTR programs is commercialization (Phase III), no SBIR/STTR funds are allowed for commercialization activities such as patents; market and sales; market research; business development/product development/market plans; legal fees, travel and other costs including labor relating to license agreements and partnerships.

Applicant Eligibility:

Any nonprofit organization engaged in biomedical research and institutions (or companies) organized for profit may apply for grants, with the exception of NRSAs. An individual may apply for a NRSA or, in some cases, may qualify for a research grant if adequate facilities in which to perform the research are available. SBIR grants can be awarded only to domestic small businesses (entities that are independently owned and operated for profit, or owned by another small business that itself is independently owned and operated for profit, are not dominant in the field in which research is proposed, and have no more than 500 employees including affiliates). Primary employment (more than one-half time) of the principal investigator must be with the small business at the time of award and during the conduct of the proposed project. In both Phase I and Phase II, the research must be performed in the U.S. and its possessions. To be eligible for funding, a grant application must be approved for scientific merit and program relevance by a scientific review group and a national advisory council. SBIR projects must be performed at least 67% by the applicant small business in Phase I and at least 50% of the project in Phase II. STTR grants can be awarded only to domestic small business concerns (entities

that are independently owned and operated for profit, are not dominant in the field in which research is proposed and have no more than 500 employees which "partner" with a research institution in cooperative research and development. At least 40 percent of the project is to be performed by the small business concern and at least 30 percent by the research institution. In both Phase I and Phase II, the research must be performed in the U.S. and its possessions. To be eligible for funding, a grant application must be approved for scientific merit and program relevance by a scientific review group and a national advisory council.

Beneficiary Eligibility:

Any nonprofit or for-profit organization, company or institution engaged in biomedical research. Only domestic for-profit small business firms may apply for SBIR and STTR programs.

Credentials/Documentation:

Applicants for individual National Research Service Awards must be citizens of the United States or have been admitted for permanent residency; must hold a doctoral degree (M.D., Ph.D, D.D.S., D.O., D.V.M., Sc.D., D.Eng., or equivalent domestic or foreign degree); and must designate a desire for training in one of the health or health-related areas specified by the National Institutes of Health. Each applicant must be sponsored by an accredited public or private nonprofit institution engaged in such training. For-profit organizations' costs are determined in accordance with Subpart 31.2 of the Federal Acquisition Regulations. For other grantees, costs will be determined in accordance with HHS Regulations 45 CFR, Part 74, Subpart Q. For SBIR and STTR grants, applicant organization (small business concern) must present a research plan that has potential for commercialization and furnish evidence that scientific competence, experimental methods, facilities, equipment, and funds requested are appropriate to carry out the plan. SBIR and STTR applicants must use the SF424 Research and Related (R&R) application for electronic submission through Grants.gov. Electronic submission of SNAP Progress Reports (eSNAP) apply for non-competing continuations (e.g., second year of Phase II). OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Grant applications are submitted electronically. Visit the following link to obtain application information and instructions (<http://grants1.nih.gov/grants/funding/424/index.htm>).

Award Procedure:

All accepted applications are evaluated by an appropriate initial review group (study section). All grant applications receive a final secondary review by the National Heart, Lung, and Blood Advisory Council. Staff informs applicants of the results of the review. If support is contemplated, staff initiates preparations of awards for grants. All accepted SBIR/STTR applications are evaluated for scientific and technical merit by an appropriate scientific peer review panel and by a national advisory council or board. All applications receiving a priority score compete for available SBIR/STTR set-aside funds on the basis of scientific and technical merit and commercial potential of the proposed research, program relevance, and program balance among the areas of research.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

> 180 Days. Regular Grants: From 7 to 9 months. SBIR/STTR Grants: About 7-1/2 months.

Appeals:

A principal investigator (P.I.) may question the substantive or procedural aspects of the review of his/her application by communicating with the staff of the Institute. A description of the NIH Peer Review Appeal procedures is available in the NIH Guide at the following URL

<http://grants.nih.gov/grants/guide/notice-files/NOT-OD-11-064.html>.

Renewals:

> 180 Days. Renewals require application and review in the same manner as new applications.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. This program has no matching requirements.

This program does not have MOE requirements. This program has no matching requirements.

Length and Time Phasing of Assistance:

The National Heart, Lung, and Blood Advisory Council may recommend funding for periods ranging from 1 to 5 years. Funding commitments are made annually. SBIR: Normally, Phase I awards are for 6 months; normally, Phase II awards are for 2 years. STTR: Normally, Phase I awards are for 1 year; normally, Phase II awards are for 2 years. See the following for information on how assistance is awarded/released: Award length may vary depending on the recommendation of the scientific review group, the national advisory council, successful annual performance, and availability of funds. See the following for information on how assistance is awarded/released: A formal notification in the form of a Notice of Award (NoA) will be provided to the applicant organization.

Reports:

No program reports are required. No cash reports are required. Annual reports from grantees are required on progress and expenditures. Final reports are required within 120 days of termination. Reports are required after termination of National Research Service Awards to ascertain compliance with the service and payback provisions. Annual reports from grantees are required on progress and expenditures. Final reports are required within 120 days of termination. Reports are required after termination of National Research Service Awards to ascertain compliance with the service and payback provisions. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees generally must retain financial and programmatic records, supporting documents, statistical records, and all other records that are required by the terms of a grant, or may reasonably be considered pertinent to a grant, for a period of 3 years from the date the annual FSR is submitted. For awards under SNAP (other than those to foreign organizations and Federal institutions), the 3-year retention period will be calculated from the date the FSR for the entire competitive segment is submitted. Those grantees must retain the records pertinent to the entire competitive segment for 3 years from the date the FSR is submitted to NIH. Foreign organizations and Federal institutions must retain records for 3 years from the date of submission of the annual FSR to NIH. See 45 CFR 74.53 and 92.42 for exceptions and qualifications to the 3-year retention requirement (e.g., if any litigation, claim, financial management review, or audit is started before the expiration of the 3-year period, the records must be retained until all litigation, claims, or audit findings involving the records have been resolved and final action taken). Those sections also specify the retention period for other types of grant-related records, including F&A cost proposals and property records. See 45 CFR 74.48 and 92.36 for record retention and access requirements for contracts under grants. In accordance with 45 Code of Federal Regulations, Part 74.53(e), the HHS Inspector General, the U.S. Comptroller General, or any of their duly authorized representatives have the right of timely and unrestricted access to any books, documents, papers, or other records of recipients that are pertinent to awards in order to make audits, examinations, excerpts, transcripts, and copies of such documents. This right also includes timely and reasonable access to a recipients personnel for the purpose of interview and discussion related to such documents. The

rights of access are not limited to the required retention period, but shall last as long as records are retained.

Account Identification:

75-0872-0-1-552.

Obligations:

(Project Grants) FY 12 \$362,847,767; FY 13 est \$362,847,767; and FY 14 est \$362,847,767 - In fiscal year 2012, 787 research grants and National Research Service Awards were made. The estimates of fiscal year 2013 are 711 research grants and 76 National Research Service awards. The estimates for fiscal year 2014 are 711 research grants and 76 National Research Service Awards. In fiscal year 2012, for new and competing renewal awards: 1178 grant applications were received, and of these, 179 were awarded; 76 National Research Service Award applications were received, and of these, 18 were awarded. Small Business innovation Research Awards/Small Technology Transfer Research Awards: In fiscal year 2012, 21 Phase I awards and 17 Phase II awards were made.

Range and Average of Financial Assistance:

Grants: \$2,746 to \$4,029,847; \$460,467. SBIR Phase I-- \$150,000, Phase II-- \$1,000,000; STTR Phase I- \$100,000, Phase II- \$750,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Dana A. Phares 6701 Rockledge Drive, Room 7176, Bethesda, Maryland 20892
Email: pharesda@nhlbi.nih.gov Phone: 301-435-0314

Website Address:

<http://www.nhlbi.nih.gov/about/dbdr/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The major elements in evaluating proposals include assessments of: (1) The scientific merit and general significance of the proposed study and its objectives; (2) the technical adequacy of the experimental design and approach; (3) the competency of the proposed investigator or group to successfully pursue the project; (4) the adequacy of the available and proposed facilities and resources; (5) the necessity of the budget components requested in relation to the proposed project; and (6) the relevance and importance to announced program objectives. The following criteria will be used in considering the scientific and technical merit of SBIR/STTR Phase I grant applications: (1) The soundness and technical merit of the proposed approach; (2) the qualifications of the proposed principal investigator, supporting staff, and consultants; (3) the technological innovation of the proposed research; (4) the potential of the proposed research for commercial application; (5) the appropriateness of the budget requested; (6) the adequacy and suitability of the facilities and research environment; and (7) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment. Phase II grant applications will be reviewed based upon the following criteria: (1) The degree to which the Phase I objectives were met and feasibility demonstrated; (2) the scientific and technical merit of the proposed approach for achieving the Phase II objectives; (3) the qualifications of the proposed principal investigator, supporting staff, and consultants; (4) the technological innovation, originality, or societal importance of the proposed research; (5) the potential of the proposed research for commercial application; (6) the reasonableness of the budget requested for the work proposed; (7) the adequacy and suitability of the facilities and research environment; and (8) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the

environment.

93.846 ARTHRITIS, MUSCULOSKELETAL AND SKIN DISEASES RESEARCH

FEDERAL AGENCY:

National Institutes of Health, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Section 301, 437, 487, Public Law 78-410, 42 U.S.C 241.

OBJECTIVES:

To support research relevant to arthritis, musculoskeletal and skin diseases, the National Institute of Arthritis and Musculoskeletal and Skin Diseases (NIAMS) supports research training and basic and clinical investigations including epidemiology and clinical trials in the areas of skin and rheumatic diseases and musculoskeletal diseases. The Division of Skin and Rheumatic Diseases promotes and supports basic, epidemiological, and clinical studies of skin and rheumatic and related diseases. Studies range from determining the underlying basis and mechanisms of disease (including large genetic studies), to translational and clinical research aimed at the diagnosis, treatment, prediction, and/or prevention of disease. The skin program supports research in normal and diseased skin including keratinocyte biology and wound healing, and disorders such as psoriasis, atopic dermatitis and other chronic inflammatory skin disorders; the vesiculobullous diseases such as pemphigus, bullous pemphigoid, and epidermolysis bullosa; acne, alopecia areata, vitiligo, and skin neoplasia. The rheumatic disease program supports research in the systemic autoimmune diseases and arthritides, including systemic lupus erythematosus (SLE), systemic scleroderma, autoimmune myositis, rheumatoid arthritis, juvenile idiopathic arthritis, spondyloarthropathies, vasculitis, gout, Sjogren's syndrome, and fibromyalgia syndrome. In addition, the Division supports studies on the extracellular matrix, including research on Marfan syndrome, keloid formation, and pseudoxanthoma elasticum. The Division also supports biopsychosocial research related to rheumatic, musculoskeletal, or skin diseases. Topics include behavioral interventions, pain mechanisms, neuroendocrine and neuroimmune mechanisms, behavioral and social research, and epidemiology. The Division of Musculoskeletal Diseases supports studies of the skeleton and associated connective tissues. Broad areas of interest include skeletal development, metabolism, mechanical properties, and responses to injury. Among these diseases and skeletal disorders are osteoporosis; osteogenesis imperfecta; Paget's disease of bone; vitamin D refractory diseases; and rickets and osteochondrodysplasias. Other areas of interest include treatment of acute and chronic injuries, including carpal tunnel syndrome, repetitive stress injury, low back pain and clinical and epidemiological studies of osteoarthritis. The Division supports development of new technologies with the potential to improve treatment of skeletal disorders and facilitate the repair of trauma in the normal skeleton. These include drugs and nutritional interventions, joint replacement, bone and cartilage transplantation, biomarkers and gene therapy. In addition, bioengineering, sports medicine and musculoskeletal fitness are areas of special research emphasis. This Division also encourages and supports research on skeletal muscle, its diseases and disorders, and its central role in human physiology and exercise. Topics include the molecular structure of muscle and the molecular mechanisms that produce force and motion. Muscle biophysics, cell biology, muscle biology, muscle disorders and therapies, musculoskeletal development, tissue reengineering, and regenerative medicine are encompassed in this area as well. The Division also supports research into the biology of cartilage, tendons, ligaments, menisci, and intervertebral discs, including pre-clinical studies on injury and disease conditions affecting these tissues. NIAMS participates in the Small Business Innovation Research (SBIR) and Small Business Technology Transfer (STTR) programs. The SBIR program is intended to increase private sector commercialization of innovations derived from Federal research and development; to increase small business participation in Federal research and development; and to foster and encourage participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation. The STTR program is intended to stimulate and foster scientific and technological innovation through cooperative research and development carried out between small business concerns and research institutions; to foster technology transfer between small

business concerns and research institutions; to increase private sector commercialization of innovations derived from Federal research and development; and to foster and encourage participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Research Grants provide funds for salaries, equipment, supplies, travel, and other expenses associated with scientific investigation relevant to program objectives. Individual National Research Service Awards (NRSA) are made to individuals for research training in specified biomedical shortage areas. In addition, grants may be made to institutions to enable them to make NRSA to individuals selected by them. Each individual who receives a NRSA is obligated upon termination of the award to comply with certain service and payback provisions. Small Business Innovation Research Phase I grants (of approximately 6-months' duration) are to establish the technical merit and feasibility of a proposed research effort that may lead to a commercial product or process. Phase II grants are for the continuation of the research initiated in Phase I that are likely to result in commercial products or processes. Only Phase I awardees are eligible to receive Phase II support. STTR Phase I grants (normally of 1-year duration) are to determine the scientific, technical, and commercial merit and feasibility of the proposed cooperative effort that has potential for commercial application. Phase II funding is based on results of research initiated in Phase I and scientific and technical merit and commercial potential on Phase II application.

Applicant Eligibility:

Research Grants: Individuals and public and private institutions, both nonprofit and for-profit, who propose to establish, expand, and improve research activities in health sciences and related fields. National Research Service Awards: Individuals must be nominated and sponsored by a public or private, for-profit or nonprofit institution having staff and facilities appropriate to the proposed research training program. All awardees must be citizens or have been admitted to the United States for permanent residence. To be eligible, predoctoral awardees must have completed the baccalaureate degree and postdoctoral awardees must have a professional or scientific degree (M.D., Ph.D., D.D.S., D.O., D.V.M., Sc.D., D. Eng., or equivalent domestic or foreign degree). Nonprofit domestic organizations may apply for the Institutional National Research Service grant. Small Business Innovation Research grants can be awarded only to domestic small businesses (entities that are independently owned and operated for profit, are not dominant in the field in which research is proposed, and have no more than 500 employees). Primary employment (more than one-half time) of the principal investigator must be with the small business at the time of award and during the conduct of the proposed project. In both Phase I and Phase II, the research must be performed in the U.S. or its possessions. To be eligible for funding, a grant application must be approved for scientific merit and program relevance by a scientific review group and a national advisory council. STTR grants can be awarded only to domestic small business concerns (entities that are independently owned and operated for profit, are not dominant in the field in which research is proposed and have no more than 500 employees) which "partner" with a research institution in cooperative research and development. At least 40 percent of the project is to be performed by the small business concern and at least 30 percent by the research institution. In both Phase I and Phase II, the research must be performed in the U.S. and its possessions. To be eligible for funding, a grant application must be approved for scientific merit and program relevance by a scientific review group and a national advisory council.

Beneficiary Eligibility:

Research Grants: Although no degree of education is either specified or required, nearly all successful applicants have doctoral degrees in one of the sciences or professions. National Research Service Awards: Predoctoral awardees must have completed the baccalaureate degree and postdoctoral awardees must have a professional or scientific degree.

Credentials/Documentation:

Requirements are specified in the application form. For-profit organizations' costs are determined in accordance with Subpart 31.2 of the Federal Acquisition

Regulations. For other grantees, costs will be determined in accordance with HHS Regulations 45 CFR, Part 74, Subpart Q. For SBIR and STTR grants, applicant organization (small business concern) must present in a research plan an idea that has potential for commercialization and furnish evidence that scientific competence, experimental methods, facilities, equipment, and funds requested are appropriate to carry out the plan. Grant forms PHS 6246-1 and PHS 6246-2 are used to apply for SBIR Phase I and Phase II, respectively. Grant forms PHS 6246-3 and PHS 6246-4 are used to apply for STTR Phase I and Phase II, respectively. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Research grants: Applications must be prepared either electronically through grants.gov (<http://www.grants.gov>) using the SF 424 (Research and Research-Related) or on paper using the PHS 398 research grant application instructions and forms (Rev. 11/2007). Individual funding opportunity announcements will indicate the appropriate application format to be used. A listing of NIAMS and other funding opportunities can be found through the NIAMS web site (http://www.niams.nih.gov/Funding/Funding_Opportunities/filter.asp), through the Grants.gov web site (<http://www.grants.gov/>), or on the NIH Guide to Grants and Contracts (<http://grants1.nih.gov/grants/guide/index.html>). For those few types of applications that require the PHS 398, the most recent version of the form and instructions are available at <http://grants.nih.gov/grants/funding/phs398/phs398.html> in an interactive format. Electronic applications are submitted through the Grants.gov website. Completed PHS 398 applications should be submitted to the National Institutes of Health, Center for Scientific Review, 6701 Rockledge Drive, Room 1040 - MSC 7710, Bethesda, MD 20892-7710 or Bethesda, MD 20817 (for express/courier service). For assistance contact GrantsInfo, Telephone: (301) 435-0714, Email: GrantsInfo@nih.gov. National Research Services Awards: Completed application forms should be submitted to the Center for Scientific Review, National Institutes of Health, 6701 Rockledge Drive, Room 1040 - MSC 7710, Bethesda, Md. 20892-7710 (regular USPS or USPS Express mail) or Bethesda, Md. 20817 (other courier/express mail delivery) Phone: 301-435-0715. This program is subject to the provisions of 45 CFR, Part 92 for State and local governments and OMB Circular No. A-110 for nonprofit organizations. SBIR and STTR Grant Solicitations and SBIR Contract Solicitation may be obtained electronically through the NIH's "Small Business Research Funding Opportunities" web page at <http://grants1.nih.gov/grants/funding/sbir.htm>. A limited number of hard copies of these publications are produced. Subject to availability, they may be obtained by contacting the NIH support services contractor: Telephone: (301) 206-9385; Fax: (301) 206-9722; E-mail: a2y@cu.nih.gov. The Solicitations include submission procedures, review considerations, and grant application or contract proposal forms. SBIR and STTR grant applications should be submitted to the Center for Scientific Review, 6701 Rockledge Drive, Room 1040 - MSC 7710, Bethesda, MD 20892-7710. Application forms for SBIR and STTR grants may be obtained through the SBIR/STTR funding announcements posted on the Grants.gov sites and the NIH Guide to Grants and Contracts (see URLs listed above). All SBIR and STTR applications must be submitted electronically. Electronic applications are submitted through the Grants.gov website. Completed PHS 398 applications should be submitted to the National Institutes of Health, Center for Scientific Review, 6701 Rockledge Drive, Room 1040 - MSC 7710, Bethesda, MD 20892-7710 or Bethesda, MD 20817 (for express/courier service). This program is subject to the provisions of 45 CFR Part 92 for State and local governments, OMB Circular No. A-110 for nonprofit organizations, cost principles of A-21 for educational institutions, and 42 CFR Part 42.

Award Procedure:

Following review by the appropriate study section and council, the successful applicant is notified by the National Institute of Arthritis and Musculoskeletal and Skin Diseases through a Notice of Grant Award. All accepted SBIR/STTR applications are evaluated for scientific and technical merit by an appropriate

scientific peer review panel and by a national advisory council or board. All applications receiving a priority score compete for available SBIR/STTR set-aside funds on the basis of scientific and technical merit and commercial potential of the proposed research, program relevance, and program balance among the areas of research.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days. Research grants: From 6 to 9 months. National Research Service Awards: From 6 to 9 months. SBIR/STTR applications: About 7-1/2 months.

Appeals:

A principal investigator may question the substantive or procedural aspects of the review of his/her application by communicating with the staff of the Institute. A description of the NIH Peer Review Appeal procedures is available on the NIH home page <http://grants.nih.gov/grants/guide/notice-files/not97-232.html> and at <http://grants.nih.gov/grants/guide/notice-files/not-od-11-064.html>.

Renewals:

Research grants: renewals by competitive application and review. Extension by request and administrative action. National Research Service Awards: individual awards may be made for 1, 2, or 3 years. No individual may receive NIH fellowship support at the postdoctoral level for more than 3 years.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Research grants: Awards may be recommended for up to 5 years. Awards usually are made for 12-month budget periods. SBIR: Normally, Phase I awards are for 6 months; normally, Phase II awards are for 2 years. STTR: Normally, Phase I awards are for 1 year; normally, Phase II awards are for 2 years. See the following for information on how assistance is awarded/released: A formal notification in the form of a Notice of Grant Award (NoGA) will be provided to the applicant organization.

Reports:

No reports are required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO). In accordance with 45 Code of Federal Regulations, Part 74.26, for-profit (commercial) organizations are subject to audit requirements for a non-Federal audit if, during its fiscal year, it expended \$500,000 or more under HHS awards and at least one award is a HHS grant or subgrant. The regulation incorporates the thresholds and deadlines of OMB Circular No. A-133, but provides for profit organizations with two options for the type of audit that will satisfy the audit requirement: 1. a financial related audit of the HHS awards in accordance with Government Auditing Standards, or 2. an audit that meets the requirements of OMB Circular No. A-133. In accordance with NIH grants policy, Foreign grantees are subject to the same audit requirements as for-profit (commercial) organizations.

Records:

Grantees generally must retain financial and programmatic records, supporting documents, statistical records, and all other records that are required by the terms of a grant, or may reasonably be considered pertinent to a grant, for a period of 3 years from the date the annual FFR is submitted. For awards under

SNAP (other than those to foreign organizations and Federal institutions), the 3-year retention period will be calculated from the date the FFR for the entire competitive segment is submitted. Those grantees must retain the records pertinent to the entire competitive segment for 3 years from the date the FFR is submitted to NIH. Foreign organizations and Federal institutions must retain records for 3 years from the date of submission of the annual FFR to NIH. See 45 CFR 74.53 and 92.42 for exceptions and qualifications to the 3-year retention requirement (e.g., if any litigation, claim, financial management review, or audit is started before the expiration of the 3-year period, the records must be retained until all litigation, claims, or audit findings involving the records have been resolved and final action taken). Those sections also specify the retention period for other types of grant-related records, including F&A cost proposals and property records. See 45 CFR 74.48 and 92.36 for record retention and access requirements for contracts under grants. In accordance with 45 Code of Federal Regulations, Part 74.53(e), the HHS Inspector General, the U.S. Comptroller General, or any of their duly authorized representatives have the right of timely and unrestricted access to any books, documents, papers, or other records of recipients that are pertinent to awards in order to make audits, examinations, excerpts, transcripts, and copies of such documents.

This right also includes timely and reasonable access to a recipients personnel for the purpose of interview and discussion related to such documents. The rights of access are not limited to the required retention period, but shall last as long as records are retained.

Account Identification:

75-0888-0-1-552.

Obligations:

(Project Grants) FY 12 \$418,898,000; FY 13 est \$398,060,000; and FY 14 est \$421,631,000 - Research Grants: FY 12 \$418,898,000; FY 13 \$398,060,000; FY 14 \$421,631,000. National Research Service Awards (NRSAs): FY 12 \$15,085,000; FY 13 \$15,085,000; FY 14 \$15,513,000.

Range and Average of Financial Assistance:

Research Grants: \$7,883 to \$1,623,290; \$327,908. National Research Service Awards: \$6,158 to \$516,686; \$135,301. SBIR: Phase I awards -- approximately \$150,000; Phase II awards --approximately \$1,000,000. STTR: Phase I awards -- approximately \$150,000; Phase II awards --approximately \$1,000,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Research Grants: In fiscal year 2012, a total of 1,236 noncompeting and competing research grants were funded, including 32 SBIR and STTR awards. National Research Service Awards (NRSA): In fiscal year 2012, 296 trainees were funded. Fiscal Year 2013: Research Grants: The fiscal year 2013 estimate is 1,210 research grant awards, including 31 SBIR and STTR awards. National Research Service Awards (NRSA): The estimate for fiscal year 2013 is 296 trainees. Fiscal Year 2014: Research Grants: The fiscal year 2014 estimate is 1,245 grants, including 35 SBIR and STTR awards. National Research Service Awards (NRSA): The estimate for fiscal year 2014 is 296 trainees.

REGULATIONS, GUIDELINES, AND LITERATURE:

Research Grants: 42 CFR 52; 42 CFR 66; 45 CFR 74; 45 CFR 92; Grants will be available under the authority of and administered in accordance with the PHS Grants Policy Statement and Federal regulations at 42 CFR 52 and 42 U.S.C. 241; Omnibus Solicitation of the Public Health Service for Small Business Innovation Research (SBIR) Grant and Cooperative Agreement Applications. Omnibus Solicitation of the National Institutes of Health for Small Business Technology Transfer (STTR) Grant Applications.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Laura K. Moen, 6701 Democracy Boulevard, Ste 800, Bethesda, Maryland 20892 Email: moenl@mail.nih.gov Phone: (301) 451-6515.

Website Address:

<http://www.hhs.gov> .

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The major elements in evaluating proposals include assessments of: (1) The scientific merit and general significance of the proposed study and its objectives; (2) the technical adequacy of the experimental design and approach; (3) the competency of the proposed investigator or group to successfully pursue the project; (4) the adequacy of the available and proposed facilities and resources; (5) the necessity of the budget components requested in relation to the proposed project; and (6) the relevance and importance to announced program objectives. The following criteria will be used in considering the scientific and technical merit of SBIR/STTR Phase I grant applications: (1) The soundness and technical merit of the proposed approach; (2) the qualifications of the proposed principal investigator, supporting staff, and consultants; (3) the technological innovation of the proposed research; (4) the potential of the proposed research for commercial application; (5) the appropriateness of the budget requested; (6) the adequacy and suitability of the facilities and research environment; and (7) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects and/or (b) protecting against or minimizing any adverse effect on the environment. Phase II grant applications will be reviewed based upon the following criteria: (1) The degree to which the Phase I objectives were met and feasibility demonstrated; (2) the scientific and technical merit of the proposed approach for achieving the Phase II objectives; (3) the qualifications of the proposed principal investigator, supporting staff, and consultants; (4) the technological innovation, originality, or societal importance of the proposed research; (5) the potential of the proposed research for commercial application; (6) the reasonableness of the budget requested for the work proposed; (7) the adequacy and suitability of the facilities and research environment; and (8) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects and/or (b) protecting against or minimizing any adverse effect on the environment.

93.847 DIABETES, DIGESTIVE, AND KIDNEY DISEASES EXTRAMURAL RESEARCH

FEDERAL AGENCY:

National Institutes of Health, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Sections 301, 405, 428, 431, 487, 491, 493, 495, and 498, as amended; Public Laws 78-410, 99-158, 100-607, 106-554, and 107-360; 42 U.S.C. 241, as amended; 42 U.S.C. 285c-2, 42 U.S.C. 285c-5, 42 U.S.C. 288; Small Business Research and Development Enhancement Act of 1992, Public Law 102-564.

OBJECTIVES:

(1) To promote extramural basic and clinical biomedical research that improves the understanding of the mechanisms underlying disease and leads to improved preventions, diagnosis, and treatment of diabetes, digestive, and kidney diseases. Programmatic areas within the National Institute of Diabetes and Digestive and Kidney Diseases include diabetes, digestive, endocrine, hematologic, liver, metabolic, nephrologic, nutrition, obesity, and urologic diseases. Specific program areas of interest include the following: (a) For diabetes, endocrine, and metabolic diseases areas: Fundamental and clinical studies including the etiology, pathogenesis, prevention, diagnosis, treatment and cure of diabetes mellitus and its complications; Normal and abnormal function of the pituitary, thyroid, parathyroid, adrenal, and other hormone secreting glands; Hormonal regulation of bone, adipose tissue, and liver; on fundamental aspects of signal transduction, including the action of hormones, coregulators, and chromatin remodeling proteins; Hormone biosynthesis, secretion, metabolism, and binding; and on hormonal regulation of gene expression and the role(s) of selective receptor modulators as partial agonists or antagonists of hormone action; and Fundamental studies relevant to metabolic disorders including membrane structure, function, and transport phenomena and enzyme biosynthesis; and basic and clinical studies on the etiology, pathogenesis, prevention, and treatment of inherited metabolic disorders (such as cystic fibrosis). (b) For digestive disease and nutrition areas: Genetics and genomics of the GI tract and its diseases; Genetics and genomics of liver/pancreas and diseases; Genetics and genomics of nutrition; genetics and

genomics of obesity; Bariatric surgery; Clinical nutrition research; Clinical obesity research; Complications of chronic liver disease; Fatty liver disease; Genetic liver disease; HIV and liver; Cell injury, repair, fibrosis and inflammation in the liver; Liver cancer; Liver transplantation; Pediatric liver disease; Viral hepatitis and infectious diseases; Gastrointestinal and nutrition effects of AIDS; Gastrointestinal mucosal and immunology; Gastrointestinal motility; Basic neurogastroenterology; Gastrointestinal development; Gastrointestinal epithelial biology; Gastrointestinal inflammation; Digestive diseases epidemiology and data systems; Nutritional epidemiology and data systems; Autoimmune liver disease; Bile, Bilirubin and cholestasis; Bioengineering and biotechnology related to digestive diseases, liver, nutrition and obesity; Cell and molecular biology of the liver; Developmental biology and regeneration; Drug-induced liver disease; Gallbladder disease and biliary diseases; Exocrine pancreas biology and diseases; Gastrointestinal neuroendocrinology; Gastrointestinal transport and absorption; Nutrient metabolism; Pediatric clinical obesity; Clinical trials in digestive diseases; Liver clinical trials; Obesity prevention and treatment; and Obesity and eating disorders. (c) For kidney, urologic and hematologic diseases areas: Studies of the development, physiology, and cell biology of the kidney; Pathophysiology of the kidney; Genetics of kidney disorders; Immune mechanisms of kidney disease; Kidney disease as a complication of diabetes; Effects of drugs, nephrotoxins and environmental toxins on the kidney; Mechanisms of kidney injury repair; Improved diagnosis, prevention and treatment of chronic kidney disease and end-stage renal disease; Improved approaches to maintenance dialysis therapies; Basic studies of lower urinary tract cell biology, development, physiology, and pathophysiology; Clinical studies of bladder dysfunction, incontinence, pyelonephritis, interstitial cystitis, benign prostatic hyperplasia, urolithiasis, and vesicoureteral reflux; Development of novel diagnostic tools and improved therapies, including tissue engineering strategies, for urologic disorders; Research on hematopoietic cell differentiation; metabolism of iron overload and deficiency; Structure, biosynthesis and genetic regulation of hemoglobin; as well as Research on the etiology, pathogenesis, and therapeutic modalities for the anemia of inflammation and chronic diseases.

(2) To encourage basic and clinical research training and career development of scientists during the early stages of their careers. The Ruth L. Kirschstein National Research Service Award (NRSA) funds basic and clinical research training, support for career development, and the transition from postdoctoral biomedical research training to independent research related to diabetes, digestive, endocrine, hematologic, liver, metabolic, nephrologic, nutrition, obesity, and urologic diseases. (3) To expand and improve the Small Business Innovation Research (SBIR) program. The SBIR Program aims to increase and facilitate private sector commercialization of innovations derived from Federal research and development; to enhance small business participation in Federal research and development; and to foster and encourage participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation. (4) To utilize the Small Business Technology Transfer (STTR) program. The STTR Program intends to stimulate and foster scientific and technological innovation through cooperative research and development carried out between small business concerns and research institutions; to foster technology transfer between small business concerns and research institutions; to increase private sector commercialization of innovations derived from Federal research and development; and to foster and encourage participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Project Grants provide funds for salaries, equipment, supplies, travel, and other expenses associated with scientific investigation relevant to program objectives. NRSA are made directly to individuals for research training in specified biomedical shortage areas, or, to institutions to enable them to make NRSA to individuals selected by them. Each individual who receives a NRSA is obligated upon termination of the award to comply with certain service and payback provisions. SBIR Phase I grants (of approximately 6-months duration) are to establish the technical merit and feasibility of a proposed research effort that may lead to a commercial product or process. Phase II grants are for the

continuation of the research initiated in Phase I and that are likely to result in commercial products or processes. Only Phase I awardees are eligible to receive Phase II support. STTR Phase I grants (normally of 1-year duration) are to determine the scientific, technical, and commercial merit and feasibility of the proposed cooperative effort that has potential for commercial application. Phase II funding is based on results of research initiated in Phase I and scientific and technical merit and commercial potential of the Phase II application.

Applicant Eligibility:

Project Grants: Universities, colleges, medical, dental and nursing schools, schools of public health, laboratories, hospitals, State and local health departments, other public or private institutions, both non-profit and for-profit, and individuals who propose to establish, expand, and improve research activities in health sciences and related fields. NRSA: Support is provided for academic and research training only, in health and health-related areas that are periodically specified by the National Institutes of Health. To be eligible, predoctoral awardees must have completed the baccalaureate degree and postdoctoral awardees must have a professional or scientific degree (M.D., Ph.D., D.D.S., D.O., D.V.M., Sc.D., D.Eng., or equivalent domestic or foreign degree). Individuals must be nominated and sponsored by a public or nonprofit private institution having staff and facilities appropriate to the proposed research training program. All awardees must be citizens or have been admitted to the United States for permanent residence. Nonprofit domestic organizations may apply for the Institutional NRSA. SBIR and STTR grants can be awarded only to domestic small businesses that meet the following criteria: 1) Is independently owned and operated, is not dominant in the field of operation in which it is proposing, has a place of business in the United States and operates primarily within the United States or makes a significant contribution to the US economy, and is organized for profit; 2) Is (a) at least 51% owned and controlled by one or more individuals who are citizens of, or permanent resident aliens in, the United States, or (b) for SBIR only, it must be a for-profit business concern that is at least 51% owned and controlled by one or more individuals who are citizens of, or permanent resident aliens in, the United States. 3) Has, including its affiliates, an average number of employees for the preceding 12 months not exceeding 500, and meets the other regulatory requirements found in 13 C.F.R. Part 121. Business concerns are generally considered to be affiliates of one another when either directly or indirectly, (a) one concern controls or has the power to control the other; or (b) a third-party/parties controls or has the power to control both. STTR grants which "partner" with a research institution in cooperative research and development. At least 40 percent of the project is to be performed by the small business concern and at least 30 percent by the research institution. In both Phase I and Phase II, the research must be performed in the U.S. and its possessions. To be eligible for funding, a grant application must be approved for scientific merit and program relevance by a scientific review group and a national advisory council.

Beneficiary Eligibility:

Health professionals, graduate students, health professional students, scientists, and researchers, any nonprofit or for-profit organization, company, or institution engaged in biomedical research. Project Grants: Although no degree of education is either specified or required, nearly all successful applicants have doctoral degrees in one of the sciences or professions. NRSA: Predoctoral awardees must have completed the baccalaureate degree and postdoctoral awardees must have a professional or scientific degree.

Credentials/Documentation:

Each applicant for research projects must present a research plan and furnish evidence that scientific competence, facilities, equipment, and supplies are appropriate to carry out the plan. For SBIR and STTR grants, applicant organization (small business concern) must present in a research plan an idea that has potential for commercialization and furnish evidence that scientific competence, experimental methods, facilities, equipment, and funds requested are appropriate to carry out the plan. Individual NRSA applications for postdoctoral training must include the candidate's academic record, research experience, citizenship, institutional sponsorship, and the proposed area and plan of training. Institutional Training grant applications for predoctoral and postdoctoral training must show the objectives, methodology and resources for the research training program; the qualifications and experience of directing

staff; the criteria to be used in selecting individuals for stipend support; and a detailed budget and justification for the amount of grant funds requested. For-profit organizations' costs are determined in accordance with Subpart 31.2 of the Federal Acquisition Regulations. For other grantees, costs will be determined in accordance with HHS Regulations 45 CFR, Part 74, Subpart Q. For SBIR and STTR grants, applicant organization (small business concern) must present in a research plan an idea that has potential for commercialization and furnish evidence that scientific competence, experimental methods, facilities, equipment, and funds requested are appropriate to carry out the plan. Grant form PHS 398 is used to apply for SBIR and STTR Phase I Phase II and Phase I/Phase II Fast Track. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Project Grants: Applications for Federal assistance must be submitted electronically through Grants.gov (<http://www.grants.gov>) using the SF424 Research and Related (R&R) forms and the SF424 (R&R) Application Guide. Applications may not be submitted in paper format. A registration process through Grants.gov is necessary before submission and applicants are highly encouraged to start the process at least four weeks prior to the grant submission date. Two steps are required for on time submission: (1) The application must be successfully received by Grants.gov no later than 5:00 p.m. local time (of the applicant institution/organization) on the submission/receipt date. (2) Applicants must complete a verification step in the eRA Commons within two business days of notification from NIH. Note: Since email can be unreliable, it is the responsibility of the applicant to periodically check on their application status in the Commons. The standard application forms, as furnished by PHS and required by 45 CFR Part 92, must be used for this program by those applicants that are State or local units of government. SBIR and STTR Grant Solicitations and SBIR Contract Solicitation may be obtained electronically through the NIH's "Small Business Funding Opportunities" home page at www.nih.gov/grants/funding/sbir.htm on the World Wide Web. The Solicitations include submission procedures, review considerations, and grant application or contract proposal forms.

Award Procedure:

Research Grant and Training Program applications are reviewed initially for scientific merit by an appropriate review panel, composed of scientific authorities, and by the National Diabetes and Digestive and Kidney Diseases Advisory Council composed of leaders in medical science, education, and public affairs. Approved applications will compete on a merit basis for available funds. The successful applicant is sent a Notice of Grant Award. All accepted SBIR/STTR applications are evaluated for scientific and technical merit by an appropriate scientific peer review panel and by a national advisory council or board. All applications receiving a priority score compete for available SBIR/STTR set-aside funds on the basis of scientific and technical merit and commercial potential of the proposed research, program relevance, and program balance among the areas of research.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Project Grants: From 6 to 9 months. National Research Service Awards: From 6 to 9 months. SBIR/STTR applications: About 7-1/2 months.

Appeals:

A principal investigator (P.I.) may question the substantive or procedural aspects of the review of his/her application by communicating with the staff of the Institute. A description of the NIH Peer Review Appeal procedures is available on the NIH home page <http://grants.nih.gov/grants/guide/notice-files/not97-232.html>.

Renewals:

Project Grants: Renewals are determined by competitive application and

review. Extensions considered upon request. Individual NRSAs: Awards may be made for 1, 2, or 3 years. No individual may receive NIH fellowship support at the postdoctoral level for more than 3 years.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Project Grants: Awards are usually made for a 12-month period with recommendation of up to 4 years of additional support. SBIR: Normally, Phase I awards are for 6 months; normally, Phase II awards are for 2 years. STTR: Normally, Phase I awards are for 1 year; normally, Phase II awards are for 2 years. See the following for information on how assistance is awarded/released: The Notice of Award (NoA) is the legal document issued to notify the grantee that an award has been made and that funds may be requested from the designated HHS payment system or office. An NoA is issued for the initial budget period. If subsequent budget periods are also approved, the NoA will include a reference to those budgetary commitments. Funding for subsequent budget periods are generally provided in annual increments following the annual assessment of progress. This funding is also contingent on the availability of funds. The NoA includes all applicable terms of award either by reference or specific statements. It provides contact information for the assigned program officer and grants management specialist. The grantee accepts a NIH award and its associated terms and conditions by drawing or requesting funds from the Payment Management System, or upon the endorsement of a check from the US Treasury for foreign awardees.

Reports:

Project Grants: Expenditures and other financial records, including documents supporting accounting records and substantive charges to each grant, must be retained for 3 years from the day on which the grantee submits the last expenditure report for the report period. NRSAs: Documentation of expenditures and other fiscal records must be kept readily available for examination by authorized Government personnel and must be retained for 3 years from the day on which the grantee submits the last expenditure report for the report period. Reports are required after termination of NRSAs to ascertain compliance with service and payback provisions. Cash reports are not applicable. Annual and terminal progress reports, annual reports of inventions, and annual certification with respect to research involving human subjects are required. Reports of expenditures are required. NRSAs: Reports are required after termination of NRSAs to ascertain compliance with service and payback provisions. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO). Foreign grantees are subject to the same audit requirements as for-profit (commercial) organizations.

Records:

Grantees generally must retain financial and programmatic records, supporting documents, statistical records, and all other records that are required by the terms of a grant, or may reasonably be considered pertinent to a grant, for a period of 3 years from the date the annual FSR is submitted. For awards under SNAP (other than those to foreign organizations and Federal institutions), the 3-year retention period will be calculated from the date the FSR for the entire competitive segment is submitted. Those grantees must retain the records pertinent to the entire competitive segment for 3 years from the date the FSR is submitted to NIH. Foreign organizations and Federal institutions must retain records for 3 years from the date of submission of the annual FSR to NIH. See 45 CFR 74.53 and 92.42 for exceptions and qualifications to the 3-year retention requirement (e.g., if any litigation, claim, financial management

review, or audit is started before the expiration of the 3-year period, the records must be retained until all litigation, claims, or audit findings involving the records have been resolved and final action taken). Those sections also specify the retention period for other types of grant-related records, including F&A cost proposals and property records. See 45 CFR 74.48 and 92.36 for record retention and access requirements for contracts under grants. In accordance with 45 Code of Federal Regulations, Part 74.53(e), the HHS Inspector General, the U.S. Comptroller General, or any of their duly authorized representatives have the right of timely and unrestricted access to any books, documents, papers, or other records of recipients that are pertinent to awards in order to make audits, examinations, excerpts, transcripts, and copies of such documents.

This right also includes timely and reasonable access to a recipients personnel for the purpose of interview and discussion related to such documents. The rights of access are not limited to the required retention period, but shall last as long as records are retained.

Account Identification:

75-0884-0-1-552.

Obligations:

(Project Grants) FY 12 \$1,480,024,000; FY 13 est \$1,488,798,000; and FY 14 est \$1,472,775,000 - Project Grants without TID funds: FY2012 Actual: \$1,334,474,000; FY 2013 Estimate: \$1,344,439,000; FY 2014 Estimate: \$1,328,761,000

NRSAs (including TID funds): FY 2012 Actual: \$57,797,000; FY 2013 Estimate: \$58,928,000; FY 2014 Estimate: \$60,703,000

NRSAs (excluding TID funds): FY 2012 Actual: \$57,460,000, FY 2013 Estimate: \$57,828,000; FY 2014 Estimate: \$59,478,000

SBIRs(including TID funds): FY 2012 Actual: \$49,496,000; FY 2013 Estimate: \$50,850,000; FY 2014 Estimate: \$53,393,000

SBIRs(excluding TID Funds): FY 2012 Actual: \$45,383,000; FY 2013 Estimate: \$46,309,000; FY 2014 Estimate: \$48,632,000.

Range and Average of Financial Assistance:

Project Grants: Range of \$1,000 to \$55,997,000; \$420,000 average

NRSAs: Range of \$9,000 to \$575,000; \$121,000 average

SBIR: Range of \$19,000 to \$1,855,000; \$472,000 average.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Project Grants (including TID): 3,436 awards were made. TID Awards: 61 actual. NRSA:468 awards and 1,162 Trainees (FTTPs); SBIR Awards (including TID): 100 and excluding TID: 85 awards were made. Fiscal Year 2013: Project Grants: In FY 2013, 3,332 awards are estimated and 58 TID only projects are estimated. NRSA: In FY 2013,468 awards and 1,164 trainees are estimated. SBIR(including TID): In FY 2013, 110 awards are estimated and 20 TID only awards estimated. Fiscal Year 2014: Project Grants (including TID): In FY 2014, 3,185 awards are estimated; 84 TID only projects are estimated. NRSA: In FY 2014, 482 awards and 1,164 trainees are estimated. SBIR (including TID): In FY 2014, 115 awards are estimated, and 19 TID only awards estimated.

REGULATIONS, GUIDELINES, AND LITERATURE:

Project Grants: 42 CFR 52; 42 CFR 66; 42 CFR 74; 45 CFR 74; 45 CFR 92. Administration Policy Directive No. 65 01 (47 Fed. Reg. 52966 et seq. (1982), as amended by Policy Directive No. 65 01.1 (48 Fed. Reg. 38794 et seq. (1983)). Grants will be available under the authority of and administered in accordance with the NIH Grants Policy Statement, http://grants.nih.gov/grants/policy/nihgps_2003/; Omnibus Solicitation of the Public Health Service for SBIR Grant and Cooperative Agreement Applications. Omnibus Solicitation of the National Institutes of Health for STTR Grant Applications.

Regional or Local Office:

None. Project Grants: Dr. Judith Fradkin, Director, Division of Diabetes, Endocrinology, and Metabolic Diseases, National Institute of Diabetes and Digestive and Kidney Diseases, National Institutes of Health, 2 Democracy Plaza, Room 689, 6707 Democracy Blvd., Bethesda, MD 20892-2560. Telephone: (301) 496-7349; Dr. Stephen James, Director, Division of Digestive Diseases and Nutrition, National Institute of Diabetes and Digestive and Kidney Diseases, National Institutes of Health, 2 Democracy Plaza, Room 675, 6707 Democracy Blvd., Telephone: (301) 594-7680; Dr. Robert Star, Director, Division of Kidney, Urologic and Hematologic Diseases, National Institute of

Diabetes and Digestive and Kidney Diseases, National Institutes of Health, 2 Democracy Plaza, Room 625, 6707 Democracy Blvd., Bethesda, MD 20892-2560. Telephone: (301) 594-7717. Small Business Innovation Research Grants Contact: Mrs. Helen Ling, Senior Grants Management Specialist, Grants Management Branch, Division of Extramural Activities, National Institute of Diabetes and Digestive and Kidney Diseases, National Institutes of Health, 2 Democracy Plaza, Room 732, 6707 Democracy Blvd., Bethesda, MD 20892. Telephone: (301) 594-8857. Grants Management Contact: Mr. Robert Pike, Chief Grants Management Officer, Grants Management Branch, Division of Extramural Activities, National Institute of Diabetes and Digestive and Kidney Diseases, National Institutes of Health, 2 Democracy Plaza, Room 731, 6707 Democracy Blvd., Bethesda, MD 20892. Telephone: (301) 594-8854. Use the same numbers for FTS.

Headquarters Office:

Christine Salaita DEM II, MSC 5460, Bethesda, Maryland 20892 Email: csalaita@nidk.nih.gov Phone: (301) 443-9908

Website Address:

<http://www2.niddk.nih.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: The following link provides a summary of NIDDK program accomplishments:

<http://www2.niddk.nih.gov/AboutNIDDK/ResearchAndPlanning/Advances/FY2013/default>. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The major elements in evaluating proposals include assessments of: (1) The scientific merit and general significance of the proposed study and its objectives; (2) the technical adequacy of the experimental design and approach; (3) the competency of the proposed investigator or group to successfully pursue the project; (4) the adequacy of the available and proposed facilities and resources; (5) the necessity of the budget components requested in relation to the proposed project; and (6) the relevance and importance to announced program objectives. The following criteria will be used in considering the scientific and technical merit of SBIR/STTR Phase I grant applications: (1) The soundness and technical merit of the proposed approach; (2) the qualifications of the proposed principal investigator, supporting staff, and consultants; (3) the technological innovation of the proposed research; (4) the potential of the proposed research for commercial application; (5) the appropriateness of the budget requested; (6) the adequacy and suitability of the facilities and research environment; and (7) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment. Phase II grant applications will be reviewed based upon the following criteria: (1) The degree to which the Phase I objectives were met and feasibility demonstrated; (2) the scientific and technical merit of the proposed approach for achieving the Phase II objectives; (3) the qualifications of the proposed principal investigator, supporting staff, and consultants; (4) the technological innovation, originality, or societal importance of the proposed research; (5) the potential of the proposed research for commercial application; (6) the reasonableness of the budget requested for the work proposed; (7) the adequacy and suitability of the facilities and research environment; and (8) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment.

93.853 EXTRAMURAL RESEARCH PROGRAMS IN THE NEUROSCIENCES AND NEUROLOGICAL DISORDERS

FEDERAL AGENCY:

National Institutes of Health, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Sections 301, 405,408, 457, 458, 459, and 487, Public Law 78-410, 42 U.S.C 241-285L-2; Small Business Research and Development Enhancement Act of 1992, Public Law 102-564.

OBJECTIVES:

(1) To support extramural research funded by the National Institute of Neurological Disorders and Stroke (NINDS) including: basic research that explores the fundamental structure and function of the brain and the nervous system; research to understand the causes and origins of pathological conditions of the nervous system with the goal of preventing these disorders; research on the natural course of neurological disorders; research on mechanisms associated with stroke and other cerebrovascular disorders, effects of trauma to the nervous system, neuroplasticity and regeneration, and tumors of neural tissues; improved methods of disease prevention; new methods of diagnosis and treatment; clinical trials; drug development; development of neural prostheses for stroke and paraplegia; epidemiological research; and research training in clinical neuroscience. The Institute supports research on topics including but not limited to stroke; traumatic injury to the brain, spinal cord and peripheral nervous system; neurodegenerative disorders; brain tumors; development of artificial prosthetic devices to restore function to the damaged nervous system; convulsive disorders; infectious disorders of the brain and nervous system; immune disorders of the brain and nervous system, including multiple sclerosis; disorders related to sleep; and pain. Programmatic areas also include neurodevelopment, repair and plasticity, channels synapses and circuits, neurogenetics, neurodegeneration, neural environment, and systems and cognitive neuroscience. Extramural research is also supported by the Office of Training, Career Development & Workforce Diversity, the Office of Special Programs and Diversity, the Office of International Activities, the Office of Translational Research, and the Office of Clinical Research. (2) To expand and improve the Small Business Innovation Research (SBIR) program; to increase private sector commercialization of innovations derived from Federal research and development; to increase small business participation in Federal research and development; and to foster and encourage participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation. (3) To utilize the Small Business Technology Transfer (STTR) program; to stimulate and foster scientific and technological innovation through cooperative research and development carried out between small business concerns and research institutions; to foster technology transfer between small business concerns and research institutions; to increase private sector commercialization of innovations derived from Federal research and development; and to foster and encourage participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation. The NINDS also participates in the Academic Research Enhancement Award (AREA) Program, which is intended to provide research support to institutions currently having little support from the National Institutes of Health (NIH) support.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Research grants may be used to provide salaries, equipment, supplies, travel and other expenses for research. The grantee institution is obliged to expend grant funds prudently for the purposes stated in the application and award document. National Research Service Awards (NRSAs) are made directly to individuals for research training in specified biomedical shortage areas, or to institutions, to enable them to make NRSAs to individuals selected by them. Each individual who receives a NRSA may be obligated upon termination of the award to comply with service and payback provisions. Career Development Awards such as the Independent Scientist Awards (K02) provide support for newly independent scientists with health-related degrees who can demonstrate the need for a period of intensive research focus as a means of enhancing their research careers and facilitating their ability to obtain major NIH research awards. Mentored Clinical Research Scientist Development Awards (K08) provide support for clinically trained health professionals who need an additional period of sponsored research experience as a way to gain expertise in a research area new to the candidate or in an area that would demonstrably enhance the candidate's scientific career. The K01 and K22 Mentored Research Scientist Development Awards are used to promote diversity in neuroscience research and support an intensive supervised research career development experience for underrepresented, disabled or disadvantaged career neuroscientists. The Mentored Patient-Oriented Research Career Development Award (K23) provide support for a period of supervised study and research for

clinically trained professionals who have the potential to develop into productive clinical investigators. The Mid-Career Investigator Award in Patient-Oriented Research (K24) provides support for clinicians to allow them protected time to devote to patient-oriented research and to act as mentors for beginning clinical investigators. SBIR Phase I grants (of approximately 6-months to 1 year in duration) are to establish the technical merit and feasibility of a proposed research effort that may lead to a commercial product or process. Phase II grants are for the continuation of the research efforts initiated in Phase I that are likely to result in commercial products or processes. Only Phase I awardees are eligible to receive Phase II support. STTR Phase I grants (normally of 1 to 3 years in duration) are to determine the scientific, technical, and commercial merit and feasibility of proposed cooperative effort that has potential for commercial application. Phase II funding is based on results of research initiated in Phase I and scientific and technical merit and commercial potential of Phase II application. Grant funds may be expended only for the purpose stated in the application and award document. The NINDS participates in the NIH SBIR/STTR "fast track" initiative.

Applicant Eligibility:

Research Grants: Any public, private, nonprofit, or for-profit institution is eligible to apply. For-profit institutions are not eligible for Institutional National Research Service Awards but are eligible for Individual NRSAs. All proposals are reviewed for scientific merit, for evaluation of the qualifications of the investigators, for adequacy of the research environment, and for significance of the problem. Approved proposals compete for available funds. All Career Development Program awardees, with the exception of awardees of the Pathway to Independence Award (K99/R00), must be citizens or have been admitted to the United States for permanent residence. Candidates must be nominated for the program by a nonfederal public or private nonprofit institution located in the United States, its possessions or territories. To be eligible, postdoctoral NRSA trainees or fellows must have a professional or scientific degree (M.D., Ph.D., D.D.S., D.O., D.V.M., Sc.D., D. Eng., or equivalent domestic or foreign degree). SBIR grants can be awarded only to domestic small businesses (entities that are independently owned and operated for profit, are not dominant in the field in which research is proposed, and have no more than 500 employees). Primary employment (more than one-half time) of the principal investigator must be with the small business at the time of award and during the conduct of the proposed project. In both Phase I and Phase II, the entire research must be performed in the United States. To be eligible for funding, a grant application must be approved for scientific merit and program relevance by a scientific review group and a national advisory council. STTR grants can be awarded only to domestic small business concerns (entities that are independently owned and operated for profit, are not dominant in the field in which research is proposed and have no more than 500 employees) which "partner" with a research institution in cooperative research and development. At least 40 percent of the project is to be performed by the small business concern and at least 30 percent by the research institution. In both Phase I and Phase II, the research must be performed in the U.S. and its possessions. To be eligible for funding, a grant application must be approved for scientific merit and program relevance by a scientific review group and a national advisory council.

Beneficiary Eligibility:

Health professionals, graduate students, health professional students, scientists, and researchers.

Credentials/Documentation:

Research grants are awarded to an institution in the name of an individual investigator. Persons qualified to carry out research related to the extramural programs described above may apply for funds to support their investigations. Mentored Career Development Program training must be conducted under the direction of a competent sponsor. National Research Service Awards: individual NRSA Fellowships for postdoctoral training: the candidate's academic record, research experience, citizenship, institutional sponsorship, and the proposed area and plan of training must be included in the application. Institutional Training Grants for predoctoral and postdoctoral training: the applicant institution must show the objectives, methodology and resources for the research training program; the qualifications and experience of directing staff; the criteria to be used in selecting individuals for stipend support; and a detailed budget and justification for the amount of grant funds requested.

For-profit organizations' costs are determined in accordance with Subpart 31.2 of the Federal Acquisition Regulations. For other grantees, costs will be determined in accordance with HHS Regulations 45 CFR, Part 74 and 92, Subpart Q. For SBIR and STTR grants, the applicant organization (small business concern) must present in a research plan an idea that has potential for commercialization and furnish evidence that scientific competence, experimental methods, facilities, equipment, and funds requested are appropriate to carry out the plan. Grant forms PHS 6246-1 and PHS 6246-2 are used to apply for SBIR Phase I and Phase II, respectively. Grant forms PHS 6246-3 and PHS 6246-4 are used to apply for STTR Phase I and Phase II, respectively. OMB Circular No. A-87 applies to this program. OMB Circular No. A-87 applies to this program. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Research grant applications that request \$500,000 or more in direct costs in any yearly budget period will not be accepted unless the NINDS has agreed to accept the application prior to submission (see <http://grants.nih.gov/grants/guide/notice-files/NOT-OD-02-004.html>). Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. NIH has transitioned to the SF424 family of forms and electronic submission through Grants.gov for most research programs and funding mechanisms. All applications must be submitted in response to a specific funding opportunity announcement (FOA). The FOA will specify which forms should be used for submission.

Award Procedure:

Research Grant and Training Program applications are reviewed initially by technical panels, composed of scientific authorities, and by the National Advisory Neurological Disorders and Stroke Council composed of 18 leaders in medical science, education, and public affairs. Approved applications will compete on a merit basis for available funds. Formal award notices are transmitted to the grantee or awardee. All accepted SBIR/STTR applications are evaluated for scientific and technical merit by an appropriate scientific peer review panel and by a national advisory council or board. All applications receiving a priority score compete for available SBIR/STTR set-aside funds on the basis of scientific and technical merit and commercial potential of the proposed research, program relevance, and program balance among the areas of research.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Research grants: Approximately 6 to 9 months. Career program: From 7 to 8 months. SBIR/STTR applications: About 7-1/2 months. Institutional Training Grants: From 6 to 12 months.

Appeals:

A principal investigator (P.I.) may question the substantive or procedural aspects of the review of his/her application by communicating with the staff of the Institute, and subsequently, the P.I. and applicant institution may appeal to the NINDS appeals officer. A description of the NIH Peer Review Appeal procedures is available on the NIH home page <http://grants.nih.gov/grants/guide/notice-files/NOT-OD-11-064.html>.

Renewals:

By application and review in same manner as new applications. A P.I. should consult the specific FOA to determine if a particular grant is renewable.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Research grant awards are made for a 12-month period with recommendation of up to 4 years of additional support. Career Program awards provide support for

3 to 5 years. Training Program awards are usually for a 12-month period with recommendation of additional support of up to a total of 5 years for predoctoral training and no more than 3 years for postdoctoral training. SBIR: Normally, Phase I awards are for 6 months; normally, Phase II awards are for 2 years. STTR: Normally, Phase I awards are for 1 year; normally, Phase II awards are for 2 years. Method of awarding/releasing assistance: quarterly. Method of awarding/releasing assistance: quarterly.

Reports:

Research Grants: annual and final progress reports, including a description of results, positive and negative, and a list of any publications. Career Development Program: awardee submits annual progress report. Termination notice, PHS 416-7, must be submitted upon completion of NRSA training. Reports are required after termination of National Research Service Awards to ascertain compliance with the service and payback provisions. A Financial Status Report must be submitted within 90 days after the close of each budget period for which an award has been issued. No cash reports are required. No expenditure reports are required. No performance monitoring is required. Research Grants: annual and final progress reports, including a description of results, positive and negative, and a list of any publications. Career Program: Awardee submits annual progress report. Termination notice, PHS 416-7, must be submitted upon completion of NRSA training. Reports are required after termination of National Research Service Awards to ascertain compliance with the service and payback provisions. A Financial Status Report must be submitted within 90 days after the close of each budget period for which an award has been issued. No expenditure reports are required. No performance monitoring is required. Research Grants: Annual and final progress reports, including a description of results, positive and negative, and a list of any publications. Research Grants: Annual and final progress reports, including a description of results, positive and negative, and a list of any publications. Career Program: Awardee submits annual progress report. Termination notice, PHS 416-7, must be submitted upon completion of NRSA training. Reports are required after termination of National Research Service Awards to ascertain compliance with the service and payback provisions. A Financial Status Report must be submitted within 90 days after the close of each budget period for which an award has been issued. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO). In accordance with 45 Code of Federal Regulations, Part 74.26, for-profit (commercial) organizations are subject to audit requirements for a non-Federal audit if, during its fiscal year, it expended \$500,000 or more under HHS awards and at least one award is a HHS grant or subgrant. The regulation incorporates the thresholds and deadlines of OMB Circular No. A-133, but provides for profit organizations with two options for the type of audit that will satisfy the audit requirement: 1. a financial related audit of the HHS awards in accordance with Government Auditing Standards, or 2. an audit that meets the requirements of OMB Circular No. A-133.

In accordance with NIH grants policy, Foreign grantees are subject to the same audit requirements as for-profit (commercial) organizations.

Records:

Grantees generally must retain financial and programmatic records, supporting documents, statistical records, and all other records that are required by the terms of a grant, or may reasonably be considered pertinent to a grant, for a period of 3 years from the date the annual FSR is submitted. For awards under SNAP (other than those to foreign organizations and Federal institutions), the 3-year retention period will be calculated from the date the FSR for the entire competitive segment is submitted. Those grantees must retain the records

pertinent to the entire competitive segment for 3 years from the date the FSR is submitted to NIH. Foreign organizations and Federal institutions must retain records for 3 years from the date of submission of the annual FSR to NIH. See 45 CFR 74.53 and 92.42 for exceptions and qualifications to the 3-year retention requirement (e.g., if any litigation, claim, financial management review, or audit is started before the expiration of the 3-year period, the records must be retained until all litigation, claims, or audit findings involving the records have been resolved and final action taken). Those sections also specify the retention period for other types of grant-related records, including F&A cost proposals and property records. See 45 CFR 74.48 and 92.36 for record retention and access requirements for contracts under grants. In accordance with 45 Code of Federal Regulations, Part 74.53(e), the HHS Inspector General, the U.S. Comptroller General, or any of their duly authorized representatives have the right of timely and unrestricted access to any books, documents, papers, or other records of recipients that are pertinent to awards in order to make audits, examinations, excerpts, transcripts, and copies of such documents. This right also includes timely and reasonable access to a recipients personnel for the purpose of interview and discussion related to such documents. The rights of access are not limited to the required retention period, but shall last as long as records are retained.

Account Identification:

75-0886-0-1-552 - Extramural Research.

Obligations:

(Project Grants) FY 12 \$84,761; FY 13 est \$84,946; and FY 14 est \$84,761. (Project Grants (Contracts)) FY 12 \$1,131,826; FY 13 est \$1,016,645; and FY 14 est \$141,150,281

Range and Average of Financial Assistance:

Research grants: \$50,000 to \$10,887,994; \$392,000. National Research Service Awards: Institutional \$15,412 to 459,127; \$53,539. Individual: \$2,006 to \$59,402; \$37,213. SBIR: Phase I approximately \$150,000; Phase II not to exceed \$750,000. STTR: Phase I approximately \$100,000; Phase II not to exceed \$500,000. (The SBIR and STTR dollars are guidelines, not limits). FY11, FY12, FY13 are not available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: There were 701 competing research applications awards made. Fiscal Year 2013: There will be 664 competing research applications awards made. Fiscal Year 2014: There will be 837 competing research application awards made.

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR 52; 42 CFR 66; 45 CFR 74; Grants will be available under the authority of and administered in accordance with the PHS Grants Policy Statement and Federal regulations at 42 CFR 52 and 42 USC 241; Omnibus Solicitation of the Public Health Service for Small Business Innovation Research (SBIR) Grant and Cooperative Agreement Applications. Omnibus Solicitation of the National Institutes of Health for Small Business Technology Transfer (STTR) Grant Applications.

Regional or Local Office:

None. National Institute of Neurological Disorders and Stroke (NINDS), Division of Extramural Research (DER), National Institutes of Health, Department of Health and Human Services, Neuroscience Center, 6001 Executive Boulevard, Bethesda, MD 20892. Director DER: Dr. Robert Finkelstein, Suite 3309, Telephone: (301) 496- 9248. The address above (include suite #'s below) should be used for each Program Contact: Dr. Kip Ludwig, Repair and Plasticity, Suite 2208, Telephone: (301) 496-1447; Dr. Francesca Bosetti, Neural Environment, Suite 2118, Telephone: (301) 496-1431; Dr. Amelie Gubitzi, Neurodegeneration, Suite 2145, Telephone: (301) 496-5680; Dr. Debra Babcock, Systems & Cognitive Neuroscience, Suite 2108, telephone: (301) 496-9964; Dr. Shai Silberberg, Channels, Synapses & Circuits, Suite 2131, Telephone: (301) 496-1917; Dr. Katrina Gwinn, Neurogenetics, Suite 2143, Telephone: (301) 496-5745; Dr. Yuan Liu, Office of International Activities, Suite 2187, Telephone: 301-496-0012; Dr. Deborah Hirtz, Office of Clinical Research, Suite 2212, Telephone: (301) 496-9135; Dr. Rajesh Ranganathan, Office of Translational Research, Suite 2137, Telephone: (301) 496-1779; Grants Management Branch: Ms. Tijuana DeCoster, Grants Management Officer, Suite 3258, Telephone: (301) 496-9231; Contracts Management Branch: Mr. Kirk Davis, Contracts Management Officer, Suite

3280, Telephone: (301) 496-1813; Dr. Alfred Gordon, Office of Special Programs in Diversity, Suite 2151, Telephone: (301) 496-3102; Dr. Stephen Korn, Office of Training, Career Development, and Workforce Diversity Officer, Suite 2186, Telephone: (301) 496-4188.

Headquarters Office:

Robert F. Finkelstein, 6001 Executive Boulevard, Suite 3309, Rockville, Maryland 20892 Email: finkelsR@nih.gov Phone: (301) 496-9248 Fax: (301) 402-4370.

Website Address:

<http://www.ninds.nih.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The major elements in evaluating proposals include assessments of: (1) the scientific merit and general significance of the proposed study and its objectives; (2) the technical adequacy of the experimental design and approach; (3) the competency of the proposed investigator or group to successfully pursue the project; (4) the adequacy of the available and proposed facilities and resources; (5) the necessity of the budget components requested in relation to the proposed project; and (6) the relevance and importance to announced program objectives. The following criteria will be used in considering the scientific and technical merit of SBIR/STTR Phase I grant applications: (1) the soundness and technical merit of the proposed approach; (2) the qualifications of the proposed principal investigator, supporting staff, and consultants; (3) the technological innovation of the proposed research; (4) the potential of the proposed research for commercial application; (5) the appropriateness of the budget requested; (6) the adequacy and suitability of the facilities and research environment; and (7) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment. Phase II grant applications will be reviewed based upon the following criteria: (1) the degree to which the Phase I objectives were met and feasibility demonstrated; (2) the scientific and technical merit of the proposed approach for achieving the Phase II objectives; (3) the qualifications of the proposed principal investigator, supporting staff, and consultants; (4) the technological innovation, originality, or societal importance of the proposed research; (5) the potential of the proposed research for commercial application; (6) the reasonableness of the budget requested for the work proposed; (7) the adequacy and suitability of the facilities and research environment; and 8) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment.

93.855 ALLERGY, IMMUNOLOGY AND TRANSPLANTATION RESEARCH

FEDERAL AGENCY:

National Institutes of Health, Department of Health and Human Services

AUTHORIZATION:

Small Business Research and Development Enhancement Act of 1992, 42 U.S.C 241; Public Health Service Act, Title IV, Section 301.

OBJECTIVES:

To assist public and private nonprofit institutions and individuals to establish, expand, and improve biomedical research and research training in allergic and immunologic diseases and related areas to assist public, private, and commercial institutions to conduct developmental research; to produce and test research materials; and to provide research services as required by the agency for research progress in allergic and immunologic diseases. NIAID's Allergy, Immunology and Transplantation Research concerns the immune system as it functions in the maintenance of health and as it malfunctions in the production of diseases. Because of this dual focus, the program encompasses both basic research and clinical research. Small Business Innovation Research (SBIR) program expands and improves private sector participation in biomedical research. The SBIR Program intends to increase and facilitate private sector

commercialization of innovations derived from Federal research and development; to increase small business participation in Federal research and development; and to foster and encourage participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation. The Small Business Technology Transfer (STTR) program stimulates and fosters scientific and technological innovation through cooperative research and development carried out between small business concerns and research institutions; to foster technology transfer between small business concerns and research institutions; to increase private sector commercialization of innovations derived from Federal research and development; and to foster and encourage participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation. Research Career Development Awards support the development of scientists during the formative stages of their careers. Individual National Research Service Awards (NRSAs) are made directly to approved applicants for research training in specified biomedical shortage areas. In addition, Institutional National Research Service Awards are made to enable institutions to select and make awards to individuals to receive training under the aegis of their institutional program.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Beginning with fiscal year 1998, the direct costs awarded in the first year to a research project grant resulting from a competing renewal application will be limited to no more than a 20 percent increase over the direct costs awarded in the last non-competing year of that grant. Research grants provide funds for salaries, equipment, supplies, and travel. They also afford the collateral benefits of enriching the training experience of research workers. Grantees are expected to be judicious in using these funds. The application for a research grant sets forth specific terms and conditions and requires the signatures of the principal investigator and an official authorized to sign for the institution. Scientists and institutions are under an obligation to expend grant funds prudently for the purposes stated in the application and award document. For Research Career Development Awards (RCDAs) the scientists must demonstrate an outstanding research potential for independent research in the sciences related to transplantation, immunology, allergies, and immunological diseases. For National Research Service Awards (NRSAs), each individual who receives NRSA support is obligated upon termination of the award to comply with certain service and payback provisions. SBIR Phase I grants (of approximately 6-months' duration) are to establish the technical merit and feasibility of a proposed research effort that may lead to a commercial product or process. Phase II grants are for the continuation of the research initiated in Phase I and which are likely to result in commercial products or processes. Only Phase I awardees are eligible to receive Phase II support. STTR Phase I grants (normally of 1-year duration) are to determine the scientific, technical, and commercial merit and feasibility of proposed cooperative effort that has potential for commercial application. Phase II funding is based on results of research initiated in Phase I and scientific and technical merit and commercial potential of the Phase II application.

Applicant Eligibility:

Universities, colleges, hospitals, laboratories, and other public or private nonprofit domestic institutions, including State and local units of government, and individuals are eligible to make application for grant support of research by a named principal investigator or a research career development candidate. For-profit organizations are also eligible, with the exception of NRSA. Individual NRSA awardees must be nominated and sponsored by a public or nonprofit private institution having staff and facilities appropriate to the proposed research training program. All NRSA awardees must be citizens or have been admitted to the United States for permanent residence. To be eligible, predoctoral candidates must have completed the baccalaureate degree, and postdoctoral awardees must have a professional or scientific degree (M.D., Ph.D., D.D.S., D.O., D.V.M., Sc.D., D.Eng., or equivalent domestic or foreign degree). SBIR grants can be awarded only to domestic small businesses (entities that are independently owned and operated for profit, are not dominant in the field in which research is being proposed and have no more than 500 employees). Primary employment (more than one-half time) of the principal investigator must be with the small business at the time of award and during the

conduct of the proposed project. In both Phase I and Phase II, the research must be performed in the U.S. or its possessions. STTR grants can be awarded only to domestic small business concerns (entities that are independently owned and operated for profit, are not dominant in the field in which researches proposed and have no more than 500 employees) which "partner" with a research institution in cooperative research and development. At least 40 percent of the project is to be performed by the small business concern and at least 30 percent by the research institution. In both Phase I and Phase II, the research must be performed in the U.S. and its possessions. To be eligible for funding, a grant application must be approved for scientific merit and program relevance by a scientific review group and a national advisory council.

Beneficiary Eligibility:

Any nonprofit or for-profit organization, company, or institution engaged in biomedical research.

Credentials/Documentation:

Research grant applicants must define the objectives, methodology, and facilities for the program, and must present the program director's competence and scientific interest. For SBIR and STTR grants, applicant organization (small business concern) must present in a research plan an idea that has potential for commercialization and furnish evidence that scientific competence, experimental methods, facilities, equipment, and funds requested are appropriate to carry out the plan. Grant forms PHS 6246-1 and PHS 6246-2 are used to apply for SBIR Phase I and Phase II, respectively. Grant forms PHS 6246-3 and PHS 6246-4 are used to apply for STTR Phase I and Phase II, respectively. Applicants for individual NRSAs must include their academic record, research experience, citizenship, institute sponsorship, and the proposed area and plan of training in their applications. The applicant for an institution must specify the objectives, methodology, and resources for the research training program, the qualifications experience of directing staff, the criteria to be used in selecting individuals for award, and a detailed budget justification for the amount of grant funds requested. For-profit organizations' costs are determined in accordance with Subpart 31.2 of the Federal Acquisition Regulations. For other grantees, costs will be determined by HHS Regulations 45 CFR, Part 74, Subpart Q. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Use Form PHS-398 (Rev. May 1995) to apply for new, renewal, and supplemental research grants. Application forms and information concerning current areas of science being supported are available from the Division of Extramural Outreach and Information Resources, Office of Extramural Research, National Institutes of Health, 6701 Rockledge Drive, Room 6207, MSC 7910, Bethesda, MD 20892-7910. Telephone: (301) 435-0714. Fax: (301) 480-0525. E-mail: asknih@od.nih.gov. The standard application forms, as furnished by PHS and required by 45 CFR Part 92, must be used for this program. This program is subject to the provisions of 45 CFR, Part 92 for State and local governments and OMB Circular No. A-110 for nonprofit organizations. Completed applications should be submitted to the Center for Scientific Review, National Institutes of Health, Bethesda, MD 20892. SBIR and STTR Grant Solicitations and SBIR Contract Solicitation may be obtained electronically through the NIH's "Small Business Funding Opportunities" homepage at www.nih.gov/grants/funding/sbir.htm on the World Wide Web. A limited number of hard copies of these publications are produced. Subject to availability, they may be obtained by contacting the NIH support services contractor: telephone: (301) 206-9385; fax: (301) 206-9722; E-mail: a2y@cu.nih.gov. The Solicitations include submission procedures, review considerations, and grant application or contract proposal forms. SBIR and STTR grant applications should be submitted to the Center for Scientific Review, 6701 Rockledge Drive, Room 1040 - MSC 7710, Bethesda, MD 20892-7710.

Award Procedure:

All accepted applications are reviewed for scientific merit by an appropriate

initial review group and a national advisory council. If recommended for approval and a decision to make an award is made, a formal award notice will be sent to the applicant and applicant institution. All accepted SBIR/STTR applications are evaluated for scientific and technical merit by an appropriate scientific peer review panel and by a national advisory council or board. All applications receiving a priority score compete for available SBIR/STTR set-aside funds on the basis of scientific and technical merit and commercial potential of the proposed research, program relevance, and program balance among the areas of research.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

New Non-AIDS research applications: February 1, June 1 and October 1. For Renewal and Supplemental Non-AIDS research grant applications: March 1, July 1, and November 1. For all AIDS research grant applications: January 2, May 1, September 1. Research Career Development Award programs: February 1, June 1, October 1. Individual NRSA: April 5, August 5, and December 5. Institutional NRSA: September 10. SBIR/STTR: April 1, August 1 and December 1.

Appeals:

A principal investigator may question the substantive or procedural aspects of the review of his/her application by communicating with the staff of the Institute. Final decisions on unresolved appeals are made with the advice of the National Advisory Allergy and Infectious Diseases Council.

Renewals:

Renewals of research grant support are by competitive application and review. The competitive application may request support for a segmental period of up to 5 years.

Formula and Matching Requirements:

This program has no statutory formula. This program has no matching requirements. no matching requirements. This program has MOE requirements, see funding agency for further details. MOE requirements apply with allocations over \$100 million.

Length and Time Phasing of Assistance:

Research grant project periods average 4 years; they may not be extended beyond 7 years. (Project periods are generally composed of 1-year budget periods.) SBIR Normally, Phase I awards are for 6 months; normally, Phase II awards are for 2 years. STTR: Normally, Phase I awards are for 1 year; normally, Phase II awards are for 2 years. See the following for information on how assistance is awarded/released: Research grant project periods average 4 years; they may not be extended beyond 7 years. (Project periods are generally composed of 1-year budget periods.) SBIR Normally, Phase I awards are for 6 months; normally, Phase II awards are for 2 years. STTR: Normally, Phase I awards are for 1 year; normally, Phase II awards are for 2 years.

Reports:

Program reports are not applicable. require quarterly expenditure reports and yearly or grant period reports of expenditures. At the end of the project we expect final expenditure reports. annual progress reports with information on inventions and expenditures are required. require quarterly expenditure reports and yearly or grant period reports of expenditures. At the end of the project we expect final expenditure reports. yearly and final progress reports are monitored.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Grantees generally must retain financial and programmatic records, supporting documents, statistical records, and all other records that are required by the terms of a grant, or may reasonably be considered pertinent to a grant, for a period of 3 years from the date the annual FSR is submitted. For awards under SNAP (other than those to foreign organizations and Federal institutions), the 3-year retention period will be calculated from the date the FSR for the entire competitive segment is submitted. Those grantees must retain the records pertinent to the entire competitive segment for 3 years from the date the FSR is submitted to NIH. Foreign organizations and Federal institutions must retain records for 3 years from the date of submission of the annual FSR to NIH. See 45 CFR 74.53 and 92.42 for exceptions and qualifications to the 3-year retention requirement (e.g., if any litigation, claim, financial management review, or audit is started before the expiration of the 3-year period, the records must be retained until all litigation, claims, or audit findings involving the records have been resolved and final action taken). Those sections also specify the retention period for other types of grant-related records, including F&A cost proposals and property records. See 45 CFR 74.48 and 92.36 for record retention and access requirements for contracts under grants. In accordance with 45 Code of Federal Regulations, Part 74.53(e), the HHS Inspector General, the U.S. Comptroller General, or any of their duly authorized representatives have the right of timely and unrestricted access to any books, documents, papers, or other records of recipients that are pertinent to awards in order to make audits, examinations, excerpts, transcripts, and copies of such documents.

This right also includes timely and reasonable access to a recipients personnel for the purpose of interview and discussion related to such documents. The rights of access are not limited to the required retention period, but shall last as long as records are retained.

Account Identification:

75-0885-0-1-552.

Obligations:

(Project Grants) FY 12 \$665,294,000; FY 13 est \$671,119,000; and FY 14 est \$682,772,000

Range and Average of Financial Assistance:

from \$2,500 to \$6,395,901 and the average \$426,165.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Grants will be available under the authority of and administered in accordance with the PHS Grants Policy Statement and Federal regulations at 42 CFR 52 and 42 U.S.C. 241; Omnibus Solicitation of the Public Health Service for Small Business Innovation Research (SBIR) Grant and Cooperative Agreement Applications. Omnibus Solicitation of the National Institutes of Health for Small Business Technology Transfer (STTR) Grant Applications.

Regional or Local Office:

None.

Headquarters Office:

Donna C. Perry 6610 Rockledge Drive Suite 2100, Bethesda, Maryland 20892 Email: dp94y@nih.gov Phone: 301-451-3197

Website Address:

<http://www.niaid.nih.gov>.

RELATED PROGRAMS:

93.856 Microbiology and Infectious Diseases Research

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The major elements in evaluating proposals include assessments of: (1) The scientific merit and general significance of the proposed study and its objectives; (2) the technical adequacy of the experimental design and approach; (3) the competency of the proposed investigator or group to successfully pursue the project; (4) the adequacy of the available and proposed facilities and resources; (5) the necessity of the budget components requested in relation to the proposed project; and (6) the relevance and importance to announced

program objectives. The following criteria will be used in considering the scientific and technical merit of SBIR/STTR Phase I grant applications: (1) The soundness and technical merit of the proposed approach; (2) the qualifications of the proposed principal investigator, supporting staff, and consultants; (3) the technological innovation of the proposed research; (4) the potential of the proposed research for commercial application; (5) the appropriateness of the budget requested; (6) the adequacy and suitability of the facilities and research environment; and (7) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment. Phase II grant applications will be reviewed based upon the following criteria: (1) The degree to which the Phase I objectives were met and feasibility demonstrated; (2) the scientific and technical merit of the proposed approach for achieving the Phase II objectives; (3) the qualifications of the proposed principal investigator, supporting staff, and consultants; (4) the technological innovation, originality, or societal importance of the proposed research; (5) the potential of the proposed research for commercial application; (6) the reasonableness of the budget requested for the work proposed; (7) the adequacy and suitability of the facilities and research environment; and (8) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment.

93.856 MICROBIOLOGY AND INFECTIOUS DISEASES RESEARCH FEDERAL AGENCY:

National Institutes of Health, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Title IV, Section 301; Small Business Research and Development Enhancement Act of 1992, 42 U.S.C 241.

OBJECTIVES:

To support research related to Microbiology and Infectious Diseases with the broad aim of improving health by controlling disease caused by infectious or parasitic agents. Projects range from studies of microbial physiology and antigenic structure to collaborative trials of experimental drugs and vaccines. Also supported are studies on the mechanisms of resistance to antibiotics as well as research dealing with epidemiological observations in hospitalized patients or community populations. The objectives of the program are to assist public and private nonprofit institutions and individuals to establish, expand and improve biomedical research and research training in infectious diseases and related areas; to assist public, private and commercial institutions to conduct developmental research; to produce and test research materials; and to provide research services as required by the agency for programs in infectious diseases. Small Business Innovation Research (SBIR) program expands and improves private sector participation in biomedical research. The SBIR Program intends to increase and facilitate private sector commercialization of innovations derived from Federal research and development; to increase small business participation in Federal research and development; and to foster and encourage participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation. The Small Business Technology Transfer (STTR) program stimulates and fosters scientific and technological innovation through cooperative research and development carried out between small business concerns and research institutions; to foster technology transfer between small business concerns and research institutions; to increase private sector commercialization of innovations derived from Federal research and development; and to foster and encourage participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation. Research Career Development Awards support the development of scientists during the formative stages of their careers. Individual National Research Service Awards (NRSA) are made directly to approved applicants for research training in specified biomedical shortage areas. In addition, Institutional National Research Service Awards are made to enable institutions to select and make awards to individuals to receive training under the aegis of their institutional program.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Beginning with fiscal year 1998, the direct costs awarded in the first year to a research project grant resulting from a competing renewal application will be limited to no more than a 20 percent increase over the direct costs awarded in the last non-competing year of that grant. Research grants provide funds for salaries, equipment, supplies, and travel. They also afford the collateral benefits of enriching the training experience of research workers. Grantees are expected to be judicious in using these funds. The application for a research grant sets forth specific terms and conditions and requires the signatures of the principal investigator and an official authorized to sign for the institution. Scientists and institutions are under an obligation to expend grant funds prudently for the purposes stated in the application and award document. For Research Career Development Awards (RCDAs) the scientists must demonstrate an outstanding research potential for independent research in the sciences related to transplantation, immunology, allergies, and immunological diseases. For National Research Service Awards (NRSA), each individual who receives NRSA support is obligated upon termination of the award to comply with certain service and payback provisions. SBIR Phase I grants (of approximately 6-months' duration) are to establish the technical merit and feasibility of a proposed research effort that may lead to a commercial product or process. Phase II grants are for the continuation of the research initiated in Phase I and which are likely to result in commercial products or processes. Only Phase I awardees are eligible to receive Phase II support. STTR Phase I grants (normally of 1-year duration) are to determine the scientific, technical, and commercial merit and feasibility of proposed cooperative effort that has potential for commercial application. Phase II funding is based on results of research initiated in Phase I and scientific and technical merit and commercial potential of the Phase II application.

Applicant Eligibility:

Universities, colleges, hospitals, laboratories and other public or private nonprofit domestic institutions, including State and local units of government. Individuals are eligible to make application for grant support of research by a named principal investigator or a research career development candidate. For-profit organizations are also eligible, with the exception of NRSA. Individual NRSA awardees must be nominated and sponsored by a public or nonprofit private institution having staff and facilities appropriate to the proposed research training program. All NRSA awardees must be citizens or have been admitted to the United States for permanent residence. To be eligible, predoctoral candidates must have completed the baccalaureate degree and postdoctoral candidates must have a professional or scientific degree (M.D., Ph.D., D.D.S., D.O., D.V.M., Sc.D., D.Eng.), or must have an equivalent domestic or foreign degree. SBIR grants can be awarded only to domestic small businesses (entities that are independently owned and operated for profit, are not dominant in the field in which research is being proposed and have no more than 500 employees). Primary employment (more than one-half time) of the principal investigator must be with the small business at the time of award and during the conduct of the proposed project. In both Phase I and Phase II, the research must be performed in the U.S. or its possessions. To be eligible for funding, a grant application must be approved for scientific merit and program relevance by a scientific review group and a national advisory council. STTR grants can be awarded only to domestic small business concerns (entities that are independently owned and operated for profit, are not dominant in the field in which researches proposed and have no more than 500 employees) which "partner" with a research institution in cooperative research and development. At least 40 percent of the project is to be performed by the small business concern and at least 30 percent by the research institution. In both Phase I and Phase II, the research must be performed in the U.S. and its possessions. To be eligible for funding, a grant application must be approved for scientific merit and program relevance by a scientific review group and a national advisory council.

Beneficiary Eligibility:

Any nonprofit or for-profit organization, company, or institution engaged in biomedical research.

Credentials/Documentation:

Research grant applicants must define the objectives, methodology, and facilities for the program, and must present the program director's competence and scientific interest. For SBIR and STTR grants, applicant organization (small business concern) must present in a research plan an idea that has

potential for commercialization and furnish evidence that scientific competence, experimental methods, facilities, equipment, and funds requested are appropriate to carry out the plan. Grant forms PHS 6246-1 and PHS 6246-2 are used to apply for SBIR Phase I and Phase II, respectively. Grant forms PHS 6246-3 and PHS 6246-4 are used to apply for STTR Phase I and Phase II, respectively. Applicants for Individual NRSAs must include their academic record, research experience, citizenship, institutional sponsorship, and the proposed area and plan of training in their applications. The applicant for an Institutional NRSA must specify the objectives, methodology, and resources for the research training program, the qualifications and experience of directing staff, the criteria to be used in selecting individuals for award, and a detailed budget and justification for the amount of grant funds requested. For-profit organizations' costs are determined in accordance with Subpart 31.2 of the Federal Acquisition Regulations. For other grantees, costs will be determined by HHS Regulations 45 CFR, Part 74, Subpart Q. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Use Form PHS-398 (Rev. May 1995), (PHS- 5161-1 for State and local government units) to apply for new, renewal, and supplemental research grants. Application forms and information concerning current areas of science being supported are available from the Division of Extramural Outreach and Information Resources, Office of Extramural Research, National Institutes of Health, 6701 Rockledge Drive, Room 6207, MSC 7910, Bethesda, MD 20892. Telephone: (301) 435- 0714. Fax (301) 480-0525. E-mail: asknih@od.nih.gov. The standard application forms, as furnished by PHS and required by 45 CFR, Part 92 for State and local governments, must be used for this program. This program is subject to the provisions of 45 CFR, Part 92 for State and local governments and OMB Circular No. A-110 for nonprofit organizations. Completed applications should be submitted to the Center for Scientific Review, National Institutes of Health, Bethesda, MD 20892. SBIR and STTR Grant Solicitations and SBIR Contract Solicitation may be obtained electronically through the NIH's "Small Business Funding Opportunities" home page at www.nih.gov/grants/funding/sbir.htm on the World Wide Web. A limited number of hard copies of these publications are produced. Subject to availability, they may be obtained by contacting the NIH support services contractor: phone: (301) 206-9385; fax: (301) 206-9722; e-mail: a2y@cu.nih.gov. The Solicitations include submission procedures, review considerations, and grant application or contract proposal forms. SBIR and STTR grant applications should be submitted to the Center for Scientific Review, 6701 Rockledge Drive, Room 1040 - MSC 7710, Bethesda, MD 20892-7710.

Award Procedure:

All accepted applications are reviewed for scientific merit by an appropriate initial review group and a national advisory council. If the application is recommended for approval and a decision to make an award is made, a formal award notice will be sent to the applicant or applicant institution. All accepted SBIR/STTR applications are evaluated for scientific and technical merit by an appropriate scientific peer review panel and by a national advisory council or board. All applications receiving a priority score compete for available SBIR/STTR set-aside funds on the basis of scientific and technical merit and commercial potential of the proposed research, program relevance, and program balance among the areas of research.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 8 to 9 months. SBIR/STTR: About 7-1/2 months.

Appeals:

A principal investigator may question the substantive or procedural aspects of the review of his/her application by communicating with the staff of the Institute. Final decisions on unresolved appeals are made with the advice of the National Advisory Allergy and Infectious Diseases Council.

Renewals:

Renewals of research grant support are by competitive application and review. The competitive application may request support for a segmental period of up to 5 years.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

Research grant project periods average 4 years; these may not be extended beyond 7 years. (Project periods are generally composed of 1-year budget periods.) SBIR: Normally, Phase I awards are for 6 months; normally, Phase II awards are for 2 years. STTR: Normally, Phase I awards are for 1 year; normally, Phase II awards are for 2 years. See the following for information on how assistance is awarded/released: Research grant project periods average 4 years; these may not be extended beyond 7 years. (Project periods are generally composed of 1-year budget periods.) SBIR: Normally, Phase I awards are for 6 months; normally, Phase II awards are for 2 years. STTR: Normally, Phase I awards are for 1 year; normally, Phase II awards are for 2 years.

Reports:

Yes annual reports requiring progress reports with information on inventions and expenditures are required. Yes we require quarterly expenditure reports and yearly and/or grant period reports of expenditures. At the end of the project we expect final expenditure reports. Yes annual reports requiring progress reports with information on inventions and expenditures are required. Yes we require quarterly expenditure reports and yearly and/or grant period reports of expenditures. At the end of the project we expect final expenditure reports. Yes all yearly and the final progress report are reviewed and monitored.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Expenditures and other financial records must be retained for 3 years from the day on which the grantee submits the last expenditure report for the report period.

Account Identification:

75-0885-0-1-552.

Obligations:

(Salaries) FY 12 \$1,977,034,000; FY 13 est \$1,994,407,000; and FY 14 est \$2,030,137,000

Range and Average of Financial Assistance:

Low 1,036 to high 45,599,285. Average is 601,743.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Donna C. Perry 6610 Rockledge Drive
Suite 2100, Bethesda, Maryland 20892 Email: dp94y@nih.gov Phone:
301-451-3197

Website Address:

<http://www.niaid.nih.gov> .

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

See relevant program announcements.

93.859 BIOMEDICAL RESEARCH AND RESEARCH TRAINING

FEDERAL AGENCY:

National Institutes of Health, Department of Health and Human Services

AUTHORIZATION:

Section 301 and Title IV of the Public Health Service Act, as amended.

OBJECTIVES:

The National Institute of General Medical Sciences primarily supports research that lays the foundation for advances in disease diagnosis, treatment and prevention. NIGMS-funded researchers seek to answer important scientific questions in fields such as cell biology, biophysics, genetics, developmental biology, pharmacology, physiology, biological chemistry, biomedical technology, bioinformatics, computational biology, selected aspects of the behavioral sciences and specific cross-cutting clinical areas that affect multiple organ systems. To assure the vitality and continued productivity of the research enterprise, NIGMS also provides leadership in training the next generation of scientists as well as in developing and increasing the diversity of the scientific workforce.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

NIGMS is organized into divisions that support research and research training in a range of scientific fields. Major areas in which these units fund research are listed below their names:

Division of Biomedical Technology, Bioinformatics, and Computational Biology

- Biomedical technology and software development
- Cell and molecular modeling and simulation
- Computational genomics
- Database design and enhancement
- High-throughput data analysis
- Systems biology

Division of Cell Biology and Biophysics

- Analytical and separation techniques
- Biophysical properties of proteins and nucleic acids
- Cell organization, motility and division
- Cellular imaging
- Membrane structure and function
- Molecular biophysics
- Single-molecule biophysics and nanoscience
- Spectroscopic techniques
- Structural biology
- Structural genomics and proteomics

Division of Genetics and Developmental Biology

- Chromosome organization and mechanics
- Developmental biology and genetics
- DNA replication, recombination and repair
- Epigenetics
- Genetic basis of human biology
- Neurogenetics and the genetics of behavior
- Population genetics, evolution and the genetics of complex traits
- Protein synthesis
- Regulation of cell growth, cell division, cell death and differentiation
- Regulation of cellular processes by signaling
- RNA transcription and processing
- Stem cell biology

Division of Pharmacology, Physiology, and Biological Chemistry

- Anesthesiology
- Biochemistry
- Bioenergetics and mitochondrial physiology
- Biotechnology and metabolic engineering
- Chemical biology and bio-inorganic chemistry
- Drug absorption, distribution, metabolism, excretion and predictive toxicology
- Enzymology
- Glycomics and glycosciences
- Molecular immunobiology
- Pharmacogenomics
- Pharmacology and clinical pharmacology
- Physiology
- Synthetic chemistry
- Sepsis
- Trauma, burn injury and wound healing

Division of Training, Workforce Development, and Diversity

- Undergraduate student training and development
- Post-baccalaureate research education
- Predoctoral research training
- Postdoctoral research training, development and transition to independence
- Career development
- Faculty research development
- Research on interventions for workforce development
- Capacity-building research and resources in states that have historically not received significant levels of NIH research funding.

Applicant Eligibility:

NIGMS trainees must be U.S. citizens, non-citizen nationals or permanent residents.

Beneficiary Eligibility:

Any nonprofit or for-profit organization, company or institution engaged in biomedical research.

Credentials/Documentation:

Each applicant for research projects must present a research plan and furnish evidence that scientific competence, facilities, equipment and supplies are appropriate to carry out the plan. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. NIH announces availability of funds for grant programs by issuing funding opportunity announcements in the NIH Guide for Grants and Contracts (<http://grants.nih.gov/grants/guide/index.html>) and on Grants.gov (<http://www.grants.gov>). Application packages must be downloaded from Grants.gov and the majority must be submitted through Grants.gov. This program is subject to the provisions of 45 CFR, Part 92 for state and local governments and 45 CFR, Part 72 for other organizations, as appropriate.

Award Procedure:

All accepted applications are reviewed for scientific merit by an appropriate initial review group and by a national advisory council. National Research Service Award (NRSA) applications for the support of individual fellows and other applications requesting less than \$50,000 direct costs per year are not reviewed by the council. All approved applications compete for available funds on the basis of scientific merit and program emphasis.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Project Grants: about 9 months. NRSA: (institutional) 9-12 months, (individual) 6 months. Small Business Innovation Research (SBIR)/Small Business Technology Transfer Research (STTR): about 7-1/2 months.

Appeals:

A principal investigator may question the substantive or procedural aspects of the review of his/her application by communicating with the staff of the Institute. A description of the NIH Peer Review Appeal procedures is available on the NIH Web site at <http://grants.nih.gov/grants/guide/notice-files/not97-232.html>.

Renewals:

Renewal grants are generally made prior to the expiration of any current award.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Almost all awards are made for at least 1 year, with additional support (up to 4 more years) depending on the recommendation of the scientific review group, the national advisory council, successful annual performance and availability of funds. See the following for information on how assistance is awarded/released: varies by program, always on an annual basis.

Reports:

varies by program, always on an annual basis. varies by program, always on an annual basis. varies by program, always on an annual basis. varies by program, always on an annual basis.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audits of profit making organizations are addressed in 45 CFR Part 72 (Subpart C, Section 74.26). In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Expenditures and other financial records must be retained for 3 years from the day on which the grantee submits the last financial status report for the report period.

Account Identification:

75-0897-0-1-552.

Obligations:

(Project Grants) FY 12 \$2,322,269,000; FY 13 est \$2,188,323,000; and FY 14 est \$2,293,132,000

Range and Average of Financial Assistance:

\$20,000 to \$10,000,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: No Current Data Available. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 16 Procedures of the Departmental Grant Appeals Board; 45 CFR 74; 45 CFR 92; NIH Extramural Programs brochure; miscellaneous program literature from Headquarters Office. Grants will be available under the authority of and administered in accordance with the NIH Grants Policy Statement and Federal regulations at 42 CFR 52 and 42 USC 241; Omnibus Solicitation of the Public Health Service for Small Business Innovation Research (SBIR) Grant and Cooperative Agreement Applications. Omnibus Solicitation of the National Institutes of Health for Small Business Technology Transfer (STTR) Grant Applications.

Regional or Local Office:

None.

Headquarters Office:

Susan J. Athey 45 Center Drive MSC6200, Bethesda, Maryland 20892 Email: atheys@nigms.nih.gov Phone: (301) 496-7301 Fax: (301) 402-0224

Website Address:

<http://www.nigms.nih.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

For an overview of NIGMS grant application and review, including funding policy, see <http://www.nigms.nih.gov/Research/Application/GrantAppRev.html>. For more information about NIGMS, visit <http://www.nigms.nih.gov>.

93.865 CHILD HEALTH AND HUMAN DEVELOPMENT EXTRAMURAL RESEARCH

NICHD Eunice Kennedy Shriver National Institute of Child Health and Human Development

FEDERAL AGENCY:

National Institutes of Health, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Section 301, 448 and 487, as amended, Public Laws 78-410 and 99-158, as amended, 42 U.S.C. 241; 42 U.S.C. 285g; 42 U.S.C. 288; Small Business Research and Development Enhancement Act of 1992, Public Law 102-564., Public Law 113-6.

OBJECTIVES:

To conduct and support laboratory research, clinical trials, and studies with people that explore health processes. NICHD researchers examine growth and development, biologic and reproductive functions, behavior patterns, and population dynamics to protect and maintain the health of all people. To examine the impact of disabilities, diseases, and defects on the lives of individuals. With this information, the NICHD hopes to restore, increase, and maximize the capabilities of people affected by disease and injury. To sponsor training programs for scientists, doctors, and researchers to ensure that NICHD research can continue. By training these professionals in the latest research methods and technologies, the NICHD will be able to conduct its research and make health research progress until all children, adults, families, and populations enjoy good health.

The mission of the NICHD is to ensure that every person is born healthy and wanted, that women suffer no harmful effects from reproductive processes, and that all children have the chance to achieve their full potential for healthy and productive lives, free from disease or disability, and to ensure the health, productivity, independence, and well-being of all people through optimal rehabilitation.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grantee agrees to administer the grant in accordance with the regulations and policies governing the research grant programs of the Public Health Service as stated in the terms and conditions on the application for the grant. National Research Service Awards: Awarded to individuals for full-time research training in specified behavioral and biomedical shortage areas. Awardees may utilize some of their time in academic and clinical duties if such work is closely related to their research training. Awards may be made to institutions to enable them to make NRS awards to individuals selected by them. Each individual awardee is obligated upon termination of the award to comply with certain service and payback provisions. SBIR Phase I grants (of approximately 6-months' duration) are to establish the technical merit and feasibility of a proposed research effort that may lead to a commercial product or process. Phase II grants are for the continuation of the research initiated in Phase I and which are likely to result in commercial products or processes. Only Phase I

awardees are eligible to receive Phase II support. STTR Phase I grants (normally of 1- year duration) are to determine the scientific, technical, and commercial merit and feasibility of the proposed cooperative effort that has potential for commercial application. Phase II funding is based on results of research initiated in Phase I and scientific and technical merit and commercial potential on Phase II application.

Applicant Eligibility:

Universities, colleges, medical, dental and nursing schools, schools of public health, laboratories, hospitals, State and local health departments, other public or private institutions, both nonprofit and for-profit, and individuals. National Research Service Award: Support is provided for academic and research training only, in health and health-related areas that are periodically specified by the National Institutes of Health. Individuals with a professional or scientific degree are eligible (M.D., Ph.D., D.D.S., D.O., D.V.M., Sc.D., D.Eng., or equivalent domestic or foreign degree). Predoctoral research training grants to institutions are also supported. Proposed study must result in biomedical or behavioral research training in a specified shortage area and which may offer opportunity to research health scientists, research clinicians, etc., to broaden their scientific background or to extend their potential for research in health-related areas. Applicants must be citizens of the United States or be admitted to the United States for permanent residency; they also must be nominated and sponsored by a public or private institution having staff and facilities suitable to the proposed research training. Domestic nonprofit organizations may apply for the institutional NRS grant. SBIR: SBIR grants can be awarded only to domestic small businesses (entities that are independently owned and operated for profit, are not dominant in the field in which research is proposed, and have no more than 500 employees). Primary employment (more than one-half time) of the principal investigator must be with the small business at the time of award and during the conduct of the proposed project. In both Phase I and Phase II, the research must be performed in the U.S. or its possessions. To be eligible for funding, a grant application must be approved for scientific merit and program relevance by a scientific review group and a national advisory council. STTR grants can be awarded only to domestic small business concerns (entities that are independently owned and operated for profit, are not dominant in the field in which research is proposed and have no more than 500 employees) which "partner" with a research institution in cooperative research and development. At least 40 percent of the project is to be performed by the small business concern and at least 30 percent by the research institution. In both Phase I and Phase II, the research must be performed in the U.S. and its possessions. To be eligible for funding, a grant application must be approved for scientific merit and program relevance by a scientific review group and a national advisory council.

Beneficiary Eligibility:

Any nonprofit or for-profit organization, company, or institution engaged in biomedical or biobehavioral research.

Credentials/Documentation:

Applicants should submit the most recent revision of research grant application form to the Center for Scientific Review, National Institutes of Health, Bethesda, MD 20892, using either PHS Form 398 or electronic submission via Grants.gov as directed in the relevant NIH Funding Opportunity Announcement. NIH is in the process of converting to electronic submission of grant applications through Grants.gov, using the SF-424 (Research and Related (R&R)) family of forms. Information on the transition plan and on registering for electronic submission is available at <http://grants.nih.gov/grants/gide/notice-files/NOT-OD-05-067.html>. Updates regarding the transition process may be viewed at <http://era.nih.gov/ElectronicReceipt/>. All required forms specified in the application kit are to be completed by the applicant and submitted with the application package. National Research Service Award: Individual Award: The applicant's academic record, research experience, citizenship, and institution sponsorship should be documented in the application. Institutional Award: the applicant organization must show the objectives, methodology, and resources for the research training program, the qualifications and experience of directing staff, the criteria to be used in selecting individuals for awards, and a detailed budget and justification for the amount of grant funds requested. For-profit organizations' costs are determined in accordance with 48 CFR, Subpart 31.2 of the Federal Acquisition Regulations. For other grantees, costs will be

determined by HHS Regulations, 45 CFR, Part 74, Subpart Q. For SBIR and STTR grants, applicant organization (small business concern) must present in a research plan an idea that has potential for commercialization and furnish evidence that scientific competence, experimental methods, facilities, equipment, and funds requested are appropriate to carry out the plan. Grant forms PHS 6246-1 and PHS 6246-2 are used to apply for SBIR Phase I and Phase II, respectively. Grant forms PHS 6246-3 and PHS 6246-4 are used to apply for STTR Phase I and Phase II, respectively. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. The standard application forms, as furnished by PHS and required by 45 CFR, Part 92, must be used for this program. National Research Service Award: Prior to formal application, an individual must arrange for acceptance at a sponsoring institution by a sponsor who will supervise the training. Individuals must be sponsored by a domestic or foreign institution. SBIR/STTR: Same as for grants (above). NRSA applications, may be obtained at <http://www.grants.nih.gov/grants/funding/416/phs416.htm>; e-mail: grantsinfo@nih.gov. Completed applications should be submitted to the Center for Scientific Review, National Institutes of Health, 6701 Rockledge Drive, Bethesda, MD 20892-7710, or electronically via Grants.gov. The SBIR and STTR Grant Solicitations and SBIR Contract Solicitation may be obtained electronically through the NIH's "Small Business Funding Opportunities" home page at www.nih.gov/grants/funding/sbir.htm on the World Wide Web. A limited number of hard copies of these publications are produced. Subject to availability, they may be obtained by contacting the NIH support services contractor: Telephone: (301) 206-9385; Fax: (301) 206-9722; E-mail: a2y@cu.nih.gov. The Solicitation includes application forms, which, upon completion, should be submitted to the Center for Scientific Review, National Institutes of Health, Bethesda, MD 20892. Small Business Technology Transfer (STTR) program uses same procedure as SBIR immediately above.

Award Procedure:

Each application receives a dual scientific review by non-NIH scientists. Awards are issued by the Eunice Kennedy Shriver National Institute of Child Health and Human Development (NICHD). National Research Service Awards: Applications are reviewed for scientific merit by an appropriate study section committee or by an institute review committee. If recommended for approval and a decision is made to make an award, a formal award notice will be sent to the applicant and sponsor. Institutional Awards are issued by the Eunice Kennedy Shriver National Institute of Child Health and Human Development (NICHD). All accepted SBIR/STTR applications are evaluated for scientific and technical merit by an appropriate scientific peer review panel and by a national advisory council or board. All applications receiving a priority score compete for the available SBIR/STTR set-aside funds on the basis of scientific and technical merit and the commercial potential of the proposed research, program relevance, and program balance among the areas of research.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

> 180 Days. From 6 to 9 months: National Research Service Awards: From 6 to 9 months. SBIR/STTR: approximately 6 months.

Appeals:

A principal investigator (P.I.) may question the substantive or procedural aspects of the review of his/her application by communicating with the staff of the Institute. A description of the NIH Peer Review Appeal procedures is available on the NIH web site at <http://grants.nih.gov/grants/guide/notice-files/not97-232.html>.

Renewals:

> 180 Days. Renewal applications are accepted, except for small grants.

National Research Service Awards: awards may be made for 1, 2, or 3 years. No individual may receive NIH fellowship support at the postdoctoral level for more than 3 years. Institutional Awards may be renewed.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Awards are usually made annually with no project period to exceed 5 years in length. National Research Service Awards: From 1 to 3 years. SBIR: Phase I awards are generally for 6 months; Phase II awards normally may not exceed 2 years. STTR Phase I awards are generally for 1 year; Phase II awards normally may not exceed 2 years. See the following for information on how assistance is awarded/released: Each year, submitted progress reports for awarded grants are reviewed, and if satisfactory progress is demonstrated, a Notice of Grant Award is issued.

Reports:

Program reports are not applicable. The grantee institution is required to submit a SF 272 - Federal Cash Transactions Report - on a quarterly basis. The grantee institution is required to submit a progress report on an annual basis for each grant award. A Financial Status Report (FSR) is due either annually or at the end of the project period, depending on the type of grant. The grantee institution is required to submit a progress report on an annual basis for each grant award, which includes monitoring of performance.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal officials.

Records:

Expenditures and other financial records must be retained for 3 years from the day on which the grantee submits the last expenditure report for the report period.

Account Identification:

75-0844-0-1-552.

Obligations:

(Project Grants) FY 12 \$930,940,000; FY 13 est \$874,024,000; and FY 14 est \$945,732,000 - SBIR/STTR: FY 2012 actual \$31,445,000; FY 2013 estimate \$30,604,000; FY 2014 estimate \$34,609,000.

1. Do you create a separate obligation record for each funding stream? Each grant award is a separate obligation record.

2. In which cases do you answer yes to the question, Is this obligation for salaries and expenses? Salaries and expenses are included in the grantees budget request and are separate from federal salaries and expenses.

3. What is the source of the data for the actual obligations and estimated obligations? Do these figures include assistance funds only or do they also include program office funds to implement the programs? The source of data is NIHs Accounting system for actual obligations and the most current Presidents Budget request for estimated obligations. The figures represent project grants; small business innovation and technology transfer research; and Ruth L. Kirschstein National Research Service Awards (NRSA) only.

4. In which cases, do you use the not separately identifiable for the estimates? N/A

5. If the program is a revolving fund or has no-year or multiple-year obligations, in which year(s) do you enter the obligation (e.g., all funds

obligated in the initial year, as funds are obligated to grantees, or other)? If applicable, NICHD would enter obligations in the actual year incurred.

6. What information do you typically include in the additional information textbox? Program updates.

Range and Average of Financial Assistance:

For research project grants, fiscal year 2013, range is \$50,000 to \$5,000,000; average is \$433,433. Individual research fellowship awards: Basic stipend (first year beyond the doctoral degree) of \$41,500. The sponsoring institution will be provided, on application, with an allowance of up to \$8,860 per year to help defray the cost of training. No dependency allowances. SBIR: Average Phase I awards are for approximately \$100,000; Phase II awards may be made for amounts up to \$760,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Fiscal year 2012 competing and noncompeting research project grants awarded were 1,628. Of this number, 87 were SBIR/STTR awards. Exactly 49 research centers were awarded. 511 other research grants were awarded. Final institutional training awards were 622 in fiscal year 2012. The individual training awards were for fiscal year 2012 exactly 83. Fiscal Year 2013: Fiscal year 2013 competing and noncompeting research project grants estimates are 1,529. Of this number, 80 are expected to be SBIR/STTR awards. Approximately 52 research centers will be awarded. 522 other research grants are anticipated to be awarded. Projected institutional training awards are 566 in fiscal year 2013. The individual training award are projected for fiscal year 2013 to be 80. Fiscal Year 2014: Fiscal year 2014 competing and noncompeting research project grants estimates are 1,618. Of this number, 89 are expected to be SBIR/STTR awards. Approximately 54 research centers will be awarded. 504 other research grants are anticipated to be awarded. Projected institutional training awards are 591 in fiscal year 2014. The individual training award are projected for fiscal year 2014 to be 82.

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR 52; 42 CFR 66; 45 CFR 74; 45 CFR 92; NIH Grants Policy Statement, (Rev.) March 1, 2001, available on the NIH website at http://grants.nih.gov/grants/policy/nihgps_2001/; NIH Guide to Grants and Contracts, available on the NIH website at <http://grants.nih.gov/grants/guide/>. Grants will be available under the authority of and administered in accordance with the NIH Grants Policy Statement and Federal regulations at 42 CFR 52 and 42 USC 241; Omnibus Solicitation of the Public Health Service for Small Business Innovation Research (SBIR) Grant and Cooperative Agreement Applications. Omnibus Solicitation of the National Institutes of Health for Small Business Technology Transfer (STTR) Grant Applications.

Regional or Local Office:

None.

Headquarters Office:

Eugene G. Hayunga, 6100 Executive Blvd., Room 2C01, Bethesda, Maryland 20892-7510 Email: ehayunga@mail.nih.gov Phone: (301) 496-6856.

Website Address:

<http://www.nichd.nih.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: In 2012, NICHD celebrated 50 years of scientific achievements. Our anniversary theme, Research for a Lifetime, highlighted the far-ranging impact of our mission: to ensure that every person is born healthy and wanted, that women suffer no harmful effects from reproductive processes, that all children have the chance to achieve their full potential for healthy and productive lives; and to ensure the health, productivity, independence, and well-being of all people through optimal rehabilitation. NICHD research is uniquely positioned to advance understanding of the basic mechanisms that transform cells into healthy and effectively-functioning individuals as our translational studies help improve the lifelong health and well-being of women, children, and individuals with disabilities.

Informed by our Scientific Vision for the next decade, NICHD will continue to identify promising opportunities, build collaborations that leverage resources,

and target research gaps that are critical public health issues.

Promising Opportunities In addition to its recent scientific visioning process, NICHD convened two separate Blue Ribbon Panels, one to assess rehabilitation research at the NIH (focused on the National Center for Medical Rehabilitation Research at NICHD) and the other to provide new perspectives on the science of NICHDs Division of Intramural Research (DIR). Based on emerging needs and opportunities, the Institute also will implement a high priority initiative to improve our ability to understand, diagnose, prevent, and treat concussions, or mild traumatic brain injuries. This initiative addresses injuries that are difficult to detect with current technologies and can occur from infancy through adulthood. Another high priority initiative will support research that addresses the major health risks posed by household air pollution. Developing evidence-based interventions could reduce the up to 2 million deaths that occur globally from this exposure each year and decrease other major health problems such as premature birth and childhood and adult breathing problems for mothers and children in the U.S. and around the world.

Strengthening Internal Collaborations These and other future initiatives will be developed within the newly reorganized extramural research program. NICHD restructured its extramural branches in 2012 to better leverage the multidisciplinary expertise of our scientists, encourage joint program planning and execution, and streamline operations. The Blue Ribbon Panel for the DIR will also provide insights on ways to organize intramural resources to maximize efficiencies and enhance the cross-fertilization of scientific ideas.

Strengthening External Collaborations NICHD continues to promote collaborations that can yield the most innovative and far-reaching advances. Through a trans-federal partnership with academia, researchers working on BrainGate2 (a brain-computer interface system) demonstrated that paralyzed individuals could reach and grasp an object by controlling a robotic arm simply by using their thoughts. NICHD also works closely with the Bill and Melinda Gates Foundation and the March of Dimes to prevent preterm birth and stillbirths. By jointly funding research and sponsoring activities, these collaborative efforts address vital research questions and bring needed attention to the significant impact that these conditions have on millions of infants and their families each year.

Addressing Critical Research Gaps Our scientific visioning process highlighted important research gaps that NICHD is uniquely positioned to address. This includes contraceptive development. NICHD will not only continue to support the development of new male contraceptive methods that are reversible and acceptable, but will also pursue non-hormonal contraceptive approaches for females, including the development of agents with fewer side effects than current products on the market. The visioning process also highlighted the need to better understand how experiences and exposures in childhood affect health throughout the life span. Under the NICHDs leadership, the National Childrens Study (NCS) offers unprecedented opportunities for examining a broad range of environmental and biological factors that affect child health and development, as well as the earliest beginnings of many diseases or conditions that may not manifest themselves until adulthood. The NCS will follow approximately 100,000 children from across the United States from before birth to 21 years, providing a strong scientific foundation for improving the health and well-being of children for many generations to come.

NICHD will continue to build other aspects of our research programs and support diverse efforts to foster a new generation of researchers and physician scientists.

Investments in basic science help make tomorrows discoveries possible. Through the collaborative Autism Centers of Excellence program, researchers identified differences in brain structure as early as six months of age in children who were later diagnosed with autism spectrum disorders, the earliest that such structural changes have been recorded. Using gene analysis technology, other NICHD-supported researchers identified two novel genes associated with common childhood obesity. Although earlier studies identified genes associated with obesity in extremely obese youth and in adults, this study was important in identifying genes associated with the less severe, early onset, more common form of obesity.

Building on basic science, translational research is essential for developing interventions to improve public health. We know this can work: according to the Centers for Disease Control and Prevention, the U.S. preterm birth rate recently dropped for the fifth year in a row, slipping from a peak of 12.8 per

1,000 births in 2006 to 11.7 in 2011. Progress can be linked to NICHD-supported and other research focused on treatments to prevent preterm birth, combined with efforts to transmit and help the clinical community adopt appropriate interventions. Similarly, recognizing NICHDs successful clinical research programs, the Society for Clinical Trials selected our Management of Myelomeningocele Study (MOMS), as its Trial of the Year in 2012. This study showed that surgery to repair spina bifida while the fetus is still in the womb can yield better overall outcomes than standard postnatal treatment. To advance our understanding and treatment of disorders that appear in the newborn period, NICHD recently launched a new translational research program, with the National Human Genome Research Institute, to determine how neonatal genome sequence information might improve the clinical care of infants and children.

Inspiring and supporting the next generation of researchers is vital to maintaining a scientific workforce to support our multidisciplinary mission. Committed to promoting the success of new and early stage investigators, NICHD established a different and higher payline for these investigators. When combined with other programs, the policy helps to build a pipeline of future innovators of science. Maintaining this pipeline, however, also requires inspiration to motivate youth. Longtime NICHD grantee, Teresa K. Woodruff, Ph.D., received a Presidential Award for Excellence in Science, Mathematics, and Engineering Mentoring for a Chicago program that encourages high school girls to pursue science careers. NICHD also proudly recognizes that our own employees serve as role models for future researchers and the next generation of scientific staff. Lynne Mofenson, M.D., received the Federal Employee of the Year Award from the Partnership for Public Service for her pivotal role in preventing the AIDS epidemic in children. When she began her work, the rate of mother-to-child HIV transmission in the United States reached 25 percent. Today, based on the research she championed, the number of maternally-transmitted HIV cases in the United States has plummeted to an annual total of less than 100. Her persistence and dedication shows that, step by step, individually and together, NICHD and our partners can move science forward to improve the health of millions for a lifetime.

Funds are included in R&D contracts to support trans-NIH initiatives, such as the Basic Behavioral and Social Sciences Opportunity Network (OppNet). Fiscal Year 2013: FY 2013: Moving forward, NICHD will continue to focus its activities on the most promising scientific opportunities of the future. NICHDs scientific visioning process, to identify the most important scientific opportunities of the next decade across the Institutes broad mission, is key to this effort. The process engages hundreds of researchers, clinicians, and policy experts, catalyzing discussions about future directions in the scientific areas embodied by the NICHD mission. The emerging priorities and bold ideas will help drive future initiatives and collaborations.

NICHD priorities complement those of NIH, and are premised on the knowledge that building extraordinary scientific opportunities for the future starts with investments in basic research. Recently, NICHD researchers converted stem cells from the lining of the human uterus into insulin-producing cells, transplanting them into mice to control the animals diabetes, a finding that may lead to more effective diabetes treatments. Other NICHD-supported researchers developed a novel animal model for autism by modifying genes in mice to mimic human mutations associated with autism; the animal model will enable future studies on the causes of autism and the development of new interventions. Another study identified a molecular trigger to create the myelin that insulates nerve fibers and aids neural functioning. That discovery may allow scientists, one day, to speed myelin formation and help repair injured neurons.

Accelerating discovery through technology opens new paths to improve health. NICHD collaborative studies on x-ray and neutron diffraction on membranes exposed to anesthetics refined our understanding of how anesthesia works at the molecular level. These data could help researchers design better anesthetics and increase understanding of the membrane-protein interactions that are crucial to cellular function and survival. Recent advances also showed how gene regulatory networks control the formation of the basic body plan in an invertebrate model organism, the sea urchin. Now, improved genomic sequencing and digital imaging may permit researchers to extend these studies to vertebrates, eventually allowing scientists to map how the networks regulate

the development of any cell type, any tissue, at any point in time. Such knowledge may shed new light on ways to prevent or ameliorate birth defects.

NICHDs efforts to advance translational sciences also promise new treatments for major health concerns. Building on previous NICHD-funded research, scientists found that administering progesterone, a naturally occurring hormone, to pregnant women with a short cervix can reduce preterm birth and the infants risk of developing respiratory distress syndrome. Researchers also discovered that a drug already approved to treat HIV/AIDS, tenofovir, when formulated as a vaginal gel, reduces the risk of herpes infections in women by disabling a key DNA enzyme in the herpes virus. Such discoveries, which lead to new uses for previously-approved drugs, have the potential to save many years and dollars in drug testing and approval. NICHD research also holds promise for developing novel, long-acting contraceptives for women, without negative side effects, and for creating innovative models that reveal the genetic and molecular pathways underlying drug toxicity in the developing human. These models will help generate improved therapeutics for pregnant women and children.

Future progress, however, cannot be made without new investigators and new ideas. NICHD has long supported the nations leading scientists. Recently, two NICHD-supported researchers were honored with the National Medal of Science, one for pioneering work in understanding the genetic basis of human biology and disease, the other for work that includes transforming mature cells into stem cells that can then be used to treat disease. For the fourth year in a row, NICHD also supported a researcher who won the Presidential Early Career Award for Scientists and Engineers; this year for work to develop biomarkers to optimize the dosing for certain drugs to treat children with cancer. Most importantly, the NICHD vision reaffirms the need to continue supporting the next generation of scientists, by encouraging the use of creative incentives, new mentoring approaches, social media, and creative transdisciplinary training programs.

In 2013, NICHD will complete its 50th birthday commemoration. This is an occasion not so much to applaud how far we have come, but to celebrate the promise of a healthier future for all women, children, families, and persons with disabilities, in communities at home and around the world. Fiscal Year 2014: This past year, the NICHD celebrated its 50th anniversary. Beyond celebrating past accomplishments, this milestone inspired the Institute, along with its many stakeholders, to identify compelling scientific opportunities for the next decade. The Institutes future research must build upon its strong foundation of scientific advances, from better understanding of the basic mechanisms that transform cells into healthy and effectively functioning individuals, to clinical studies that improve the health and well-being of women, families, and individuals with disabilities.

DEVELOPMENTAL BIOLOGY

Research in developmental biology increases knowledge about how individuals develop and the origins of various diseases and conditions. Recently, NICHD-funded scientists found that women with epilepsy who took the prescription drug topiramate during their first trimester to prevent seizures were at a slightly increased risk of having babies with cleft lip. Intramural scientists recently used next-generation gene sequencing techniques to discover in an animal model new brain regions, once thought to be inert, which appear to be active in the pineal gland, which controls the bodys 24-hour cycle and is integral to development. Advances in genetics and systems biology will shed new light on human development, and provide critical underpinnings for emerging fields such as regenerative medicine.

DEVELOPMENTAL ORIGINS OF HEALTH AND DISEASE

Complex interactions among biological and external factors, starting before conception, can influence health across the life course, and even across generations. NICHD researchers discovered a genetic pathway common to the rapid growth of healthy fetuses and the uncontrolled cell division of cancer, shedding light on both normal development and the genetic bases of common cancers. Understanding the developmental origins of health and disease will benefit from interdisciplinary and global studies and, ultimately, can be applied to prevent, treat, or even reverse chronic conditions such as obesity, diabetes, and cognitive deterioration.

PREGNANCY AND PREGNANCY OUTCOMES

Achieving a better understanding of pregnancy processes and fetal

development can pave the way for predicting and preventing poor pregnancy outcomes as well as improving lifelong health for both women and infants. A new NICHD-funded study reported that pregnant womens exposure to the flu was associated with a nearly four-fold increased risk that their children would develop bipolar disorder in adulthood. This information may encourage and increase the use of prevention strategies, such as the flu vaccine. Another study found that women who develop gestational diabetes during pregnancy can greatly decrease their risk of developing type 2 diabetes later in life by maintaining a healthy diet in the years following pregnancy. Targeted areas for future research include obtaining further understanding of how to promote healthy pregnancies and unraveling the complex causes of stillbirth and prematurity.

REPRODUCTION

Reproductive health is an essential element of personal well-being across the lifespan, and necessary to ensuring the health of future generations. NICHD-supported research found that the hormone progestin, often given as a first step in infertility treatment for polycystic ovary syndrome, unexpectedly decreased the odds of conception and giving birth. Scientists advance our understanding of what works in clinical practice, while identifying potential new diagnostic and therapeutic targets for managing critical aspects of womens and mens reproductive health.

BEHAVIOR AND COGNITION

Human behaviors can promote positive health outcomes or increase the risk of adverse ones. NICHD-funded researchers found that when the mind is at rest, the electrical signals by which brain cells communicate appear to travel in reverse, wiping out unimportant information, while sensitizing cells for future learning. NICHD research found that children who failed to acquire a particular math skill, number system knowledge, in first grade scored well behind their peers by seventh grade, pointing the way for targeted intervention when it matters most. In another study, seven-month old babies who were later diagnosed with autism took slightly longer to shift their gaze than babies who developed normally, which may provide an early clue to differences in their brain structure. Future basic and translational research that combines neuropsychological, behavioral, and social science perspectives will increase knowledge about the mechanisms that underlie typical and atypical behavior and cognition.

PLASTICITY AND REHABILITATION

Plasticity, adaptive or maladaptive change at the cellular, tissue, organ, or system levels, is at the core of human development and rehabilitation. NICHD researchers have identified proteins in an animal model that help fuse early-stage cells and eventually develop into muscle cells. This finding has implications for understanding how to repair and rehabilitate muscle tissue and how specialized cells (osteoclasts) repair and maintain bones. The ongoing challenge for scientists will be to generate additional knowledge about the mechanisms of plasticity, and translate this knowledge into interventions that can help individuals remodel, maintain, or enhance functioning.

POPULATION DYNAMICS

Individuals, families, and communities are all critical units through which population-level factors interact with genetic and environmental variables, influencing individual health across the lifespan. A NICHD study demonstrated that the stresses of poverty were shown to lead to impaired learning ability in children. Another study, a landmark collaboration among NICHD, other NIH Institutes and Centers, federal agencies, and private foundations, demonstrated that providing specialized housing vouchers that enabled low-income women and children to move from impoverished neighborhoods to those with relatively few poor residents reduced extreme obesity and diabetes over time. Over the next decade, cutting edge data collection and analytic methods could assess biological, physical, and social processes in diverse families and communities to inform the development of effective population-based interventions to improve health and reduce health disparities.

CONDUCT OF SCIENCE

In the coming years, biomedical and biobehavioral researchers will need to work as transdisciplinary teams, manage massive amounts of data, and acquire new and diverse skill sets. The very breadth of NICHDs mission requires us to create and support such teams to translate and implement our research advances into actions that improve the health of women, families, and individuals with disabilities.

CRITERIA FOR SELECTING PROPOSALS:

The major elements in evaluating proposals include assessments of the significance of the proposed research; approach; innovation; investigators; and environment. The following criteria will be used in considering the scientific and technical merit of SBIR/STTR Phase I grant applications: (1) The soundness and technical merit of the proposed approach; (2) the qualifications of the proposed principal investigator, supporting staff, and consultants; (3) the technological innovation of the proposed research; (4) the potential of the proposed research for commercial application; (5) the appropriateness of the budget requested; (6) the adequacy and suitability of the facilities and research environment; and (7) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment. Phase II grant applications will be reviewed based upon the following criteria: (1) The degree to which the Phase I objectives were met and feasibility demonstrated; (2) the scientific and technical merit of the proposed approach for achieving the Phase II objectives; (3) the qualifications of the proposed principal investigator, supporting staff, and consultants; (4) the technological innovation originality, or societal importance of the proposed research; (5) the potential of the proposed research for commercial application; (6) the reasonableness of the budget requested for the work proposed; (7) the adequacy and suitability of the facilities and research environment; and (8) where applicable, the adequacy of (a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment.

93.866 AGING RESEARCH

(Aging)

FEDERAL AGENCY:

National Institutes of Health, Department of Health and Human Services

AUTHORIZATION:

Small Business Research and Development Enhancement Act of 1992, Public Law 102-564; Public Health Service Act, Title III, Part A, Section 301, Public Law 78-410, 42 U.S.C 241; Public Health Service Act, Title IV, Part C, Section 444,445,445 A-F, Public Law 99-158, 42 U.S.C 285; Public Health Service Act, Title IV, Part F, Section 487, Public Law 100-607, 42 U.S.C 288; National Institutes Reform Act of 2006, Title IV, Part F, Section 487, Public Law 109-482, 120 Stat. 3675.

OBJECTIVES:

To encourage biomedical, social, and behavioral research and research training directed toward greater understanding of the aging process and the diseases, special problems, and needs of people as they age. The National Institute on Aging has established programs to pursue these goals. The Division of Aging Biology emphasizes understanding the basic biological processes of aging. The Division of Geriatrics and Clinical Gerontology supports research to improve the abilities of health care practitioners to respond to the diseases and other clinical problems of older people. The Division of Behavioral and Social Research supports research that will lead to greater understanding of the social, cultural, economic and psychological factors that affect both the process of growing old and the place of older people in society. The Division of Neuroscience fosters research concerned with the age-related changes in the nervous system as well as the related sensory, perceptual, and cognitive processes associated with aging and has a special emphasis on Alzheimer's disease. Small Business Innovation Research (SBIR) program: To expand and improve the SBIR program; to increase private sector commercialization of innovations derived from Federal research and development; to increase small business participation in Federal research and development; and to foster and encourage participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation. Small Business Technology Transfer (STTR) program: To stimulate and foster scientific and technological innovation through cooperative research development carried out between small business concerns and research institutions; to foster technology transfer between small business concerns and research institutions; to increase private sector commercialization of innovations derived from Federal research and development; and to foster and encourage participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Research grants are intended to support the direct costs of a project in accordance with an approved budget, plus an appropriate amount for facilities and administrative costs. Grantees must agree to administer the grant in accordance with the regulations and policies governing the research grants program of the Public Health Service. National Research Service Awards (NRSA) (Individual) are made directly to approved applicants for research training in specified biomedical shortage areas. In addition, National Research Service Awards (Institutional) may be made to institutions to enable them to make NRSA's to individuals selected by them. Each individual who receives a postdoctoral NRSA is obligated, upon termination of the award, to comply with certain service and payback provisions. Regulations are published in the Code of Federal Regulations and 42 CFR, Part 66. SBIR Phase I grants (of approximately 6 months' duration) are to establish the technical merit and feasibility of a proposed research effort that may lead to a commercial product or process. SBIR Phase II grants are for the continuation of the research initiated in Phase I and that are likely to result in commercial products or processes. Only Phase I awardees are eligible to apply for Phase II support. STTR Phase I grants (normally of 1- year duration) are to determine the scientific, technical, and commercial merit and feasibility of the proposed cooperative effort that has potential for commercial application. Phase II funding is based on results of research initiated in Phase I and scientific and technical merit and commercial potential on Phase II application.

Applicant Eligibility:

Grants: Universities, colleges, medical, dental and nursing schools, schools of public health, laboratories, hospitals, State and local health departments, other public or private institutions (both for-profit and nonprofit), and individuals. National Research Service Award: Individual NRSA's may be made for postdoctoral training to applicants who hold a professional or scientific degree (M.D., Ph.D., D.D.S., D.O., D.V.M., Sc.D., D.Eng., or equivalent domestic or foreign degree) or for predoctoral training to applicants registered for doctoral research training. Institutional NRSA's may be made for both predoctoral and postdoctoral research training. Predoctoral awardees must have a baccalaureate degree. Applicants must be citizens of the United States or admitted for permanent residency. Individual NRSA awardees must be nominated and sponsored by a public or private nonprofit institution having staff and facilities suitable to the proposed research training. Nonprofit domestic organizations may apply for the Institutional NRSA. SBIR grants can be awarded only to domestic small businesses (entities that are independently owned and operated for profit, are not dominant in the field in which research is proposed, and have no more than 500 employees). Primary employment (more than one-half time) of the principal investigator must be with the small business at the time of award and during the conduct of the proposed project. In both Phase I and Phase II, the research must be performed in the U.S. or its possessions. STTR grants can be awarded only to domestic small business concerns (entities that are independently owned and operated for profit, are not dominant in the field in which research is proposed and have no more than 500 employees) which "partner" with a research institution in cooperative research and development. At least 40 percent of the project is to be performed by the small business concern and at least 30 percent by the research institution. In both Phase I and Phase II, the research must be performed in the U.S. and its possessions. To be eligible for funding, a grant application other than a fellowship must be assessed for scientific merit by a scientific review group and receive approval from a national advisory council. Individual funding opportunity announcements published in the NIH Guide provide more detail on eligibility.

Beneficiary Eligibility:

Any nonprofit or for-profit organization, company, or institution engaged in biomedical research. Students pursuing doctoral research training.

Credentials/Documentation:

The grants application submission process is currently in transition from a paper-based to an electronic format. A current transition-to-electronic-submission timeline for all grant mechanisms in the National Institutes of Health (NIH) Extramural Research Program timeline can be obtained from this site: <http://grants1.nih.gov/grants/funding/submissionschedule.htm>. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. For grant programs still requiring submission of paper applications, the standard application forms, as furnished by the Public Health Service (PHS) and required by 45 CFR Part 92 for State and local governments, must be used. Consultation from institute staff is available for all grant programs. Applicants are encouraged to visit the Internet Web sites of the 27 institutes and centers at the NIH to obtain contact information for program staff. Applicants for multi-project awards are strongly encouraged to consult program staff before applying. Applicants may obtain forms and instructions for application preparation and submission at the following Internet Web site: <http://grants.nih.gov/grants/forms.htm> When completed these applications should be submitted to the Center for Scientific Review, National Institutes of Health, Bethesda, MD. Applications are reviewed for scientific merit, evaluation of applicant qualifications, adequacy of the research environment, and significance of the proposed problem to be studied. The application form and instructions for the preparation and submission of applications to the National Research Service Awards Research Training Grants and Fellowships (NRSA) Program, PHS Form 416-1, can be obtained from the following URL

(<http://grants.nih.gov/grants/funding/416/phs416.htm>). Prior to making formal application to the NRSA programs, individual NRSA applicants must be nominated and sponsored by a Federal, public or nonprofit institution having staff and facilities appropriate to the proposed research training program. Information concerning current areas of science being supported by those institutes participating in the NRSA Program at the NIH is available on the individual institute Web sites. A complete list of institutes at NIH is available at: <http://www.nih.gov/icd>. In addition, information about each institute's NRSA research topics and information about the NRSA Program can be obtained from the Division of Extramural Outreach and Information Resources, (see address above). The NRSA program is subject to the provisions of 45 CFR Part 92 for State and local governments and OMB Circular No. A-110 for nonprofit organizations. The annual NIH Small Business Innovation Research (SBIR)-Small Business Technology Transfer (STTR) Omnibus Solicitation and SBIR-STTR Contract Solicitation may be obtained electronically by accessing the following URL: <http://grants1.nih.gov/grants/funding/sbir.htm>. The solicitations include application preparation and submission guidelines and instructions, information about review considerations, and Internet hyperlinks to the SBIR-STTR application and/or or contract proposal forms. Applications to NIH's SBIR-STTR Programs must be submitted electronically through the U.S. Federal Government's Internet Web site, Grants.gov: www.grants.gov. Submission of SBIR-STTR applications through Grants.gov requires pre-registration, which typically takes 2-4 weeks to complete. Information about registration to submit applications through Grants.gov can be found at: <http://era.nih.gov/ElectronicReceipt/preparing.htm>. Applicants are encouraged to read and refer to the extensive information on electronic submission of grant applications on the NIH Electronic Submission of Grants Applications Internet Web site at: <http://era.nih.gov/ElectronicReceipt>.

Award Procedure:

Grants and Institutional NRSA's: Each application receives an initial scientific review by non-NIH scientists and a secondary review by the National Advisory Council on Aging. Individual NRSA's do not receive a secondary review by the National Advisory Council on Aging. Awards are issued by the NIA to the grantee institution. All accepted SBIR/STTR applications are evaluated for scientific and technical merit by an appropriate scientific peer review panel and by a national advisory council or board. All applications receiving a priority score compete for available SBIR/STTR set-aside funds on the basis of scientific and technical merit and commercial potential of the proposed research, program relevance, and program balance among the areas of research.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Grants: From 6 to 9 months. National Research Service Awards: From 6 to 9 months. SBIR/STTR: About 7-1/2 months.

Appeals:

From 30 to 60 days. A principal investigator may question the substantive or procedural aspects of the review of his/her application by communicating with the staff of the Institute. A formal appeal requires the signature of the appropriate institutional official.

Renewals:

> 180 Days. Grants: Renewal applications are accepted for most programs. Most individual NIH postdoctoral fellowship support is for no more than 3 years. Most individual predoctoral support is for no more than five years. Exploratory/developmental grant awards and Small Grant awards may not be renewed.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. None.

This program does not have MOE requirements. None.

Length and Time Phasing of Assistance:

Grant Awards are usually made annually and usually with project periods not to exceed 5 years in length. National Research Service Awards: Institutional awards may be made for up to 5 years, and individual awards may be made for as many as 3 years. SBIR: Normally, Phase I awards are for 6 months; normally, Phase II awards are for 2 years. STTR: Normally, Phase I awards are for 1 year; normally, Phase II awards are for 2 years. Method of awarding/releasing assistance: lump sum.

Reports:

No program reports are required. No cash reports are required. Grants: Annual progress reports and reports of expenditures are required. National Research Service Awards: Institutional awards require that a statement of appointment for each trainee selected by the Program Director be submitted to the NIA for each year of training. Reports are required after termination of the awards to ascertain compliance with the service and payback provisions for each institutionally selected trainee. Individual awards require reports upon award expiration to determine compliance with the service and payback provisions. Grants: Annual progress reports and reports of expenditures are required. National Research Service Awards: Institutional awards require that a statement of appointment for each trainee selected by the Program Director be submitted to the NIA for each year of training. Reports are required after termination of the awards to ascertain compliance with the service and payback provisions for each institutionally selected trainee. Individual awards require reports upon award expiration to determine compliance with the service and payback provisions. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO). In accordance with 45 Code of Federal Regulations, Part 74.26, for-profit (commercial) organizations are subject to audit requirements for a non-Federal audit if, during its fiscal year, it expended \$500,000 or more under HHS awards and at least one award is a HHS grant or subgrant. The regulation incorporates the thresholds and deadlines of OMB Circular No. A-133, but provides for profit organizations with two options for the type of audit that will satisfy the audit requirement: 1. a financial related audit of the HHS awards in accordance with Government Auditing Standards, or 2. an audit that meets the requirements of OMB Circular No. A-133. In accordance with NIH grants policy, Foreign grantees are subject to the same audit requirements as for-profit (commercial) organizations.

Records:

Grantees generally must retain financial and programmatic records, supporting

documents, statistical records, and all other records that are required by the terms of a grant, or may reasonably be considered pertinent to a grant, for a period of 3 years from the date the annual FSR is submitted. For awards under SNAP (other than those to foreign organizations and Federal institutions), the 3-year retention period will be calculated from the date the FSR for the entire competitive segment is submitted. Those grantees must retain the records pertinent to the entire competitive segment for 3 years from the date the FSR is submitted to NIH. Foreign organizations and Federal institutions must retain records for 3 years from the date of submission of the annual FSR to NIH. See 45 CFR 74.53 and 92.42 for exceptions and qualifications to the 3-year retention requirement (e.g., if any litigation, claim, financial management review, or audit is started before the expiration of the 3-year period, the records must be retained until all litigation, claims, or audit findings involving the records have been resolved and final action taken). Those sections also specify the retention period for other types of grant-related records, including F&A cost proposals and property records. See 45 CFR 74.48 and 92.36 for record retention and access requirements for contracts under grants. In accordance with 45 Code of Federal Regulations, Part 74.53(e), the HHS Inspector General, the U.S. Comptroller General, or any of their duly authorized representatives have the right of timely and unrestricted access to any books, documents, papers, or other records of recipients that are pertinent to awards in order to make audits, examinations, excerpts, transcripts, and copies of such documents. This right also includes timely and reasonable access to a recipient's personnel for the purpose of interview and discussion related to such documents. The rights of access are not limited to the required retention period, but shall last as long as records are retained.

Account Identification:

75-0843-0-1-552.

Obligations:

(Project Grants) FY 12 \$871,064,000; FY 13 est \$801,211,000; and FY 14 est \$944,401,000 - Amounts shown are actual/estimated amounts available for research grants including SBIR/STTR, centers, research career awards, research project grants, and cooperative agreements. Amounts for research training are not included. (Project Grants (Capacity Building and Complaint Processing, Training)) FY 12 \$24,756,000; FY 13 est \$23,271,000; and FY 14 est \$24,756,000 - Amounts shown are for individual and institutional research training awards.

Range and Average of Financial Assistance:

Awards vary in range depending on the particular activity codes. Individual fellowships range from \$38,000 to \$51,000 and average about \$42,000.

Research grants have much larger ranges - from \$75,000 to several million dollars. Average costs of research grants are around \$400,000. All costs are shown on a single year basis. Awards may be for up to five years.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Robin A. Barr, Division of Extramural Activities, National Institute on Aging, 7201 Wisconsin Avenue, Room 2C218, Bethesda, Maryland 20892 Email: rb42h@nih.gov Phone: (301) 496-9322 Fax: (301) 402-2945.

Website Address:

<http://www.nia.nih.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: Rapamycin is the only compound that has been unambiguously shown to extend the maximum lifespan of mice. Unfortunately, side effects including immunosuppression and the elevation of cardiovascular risk factors are likely to limit the utility of the drug in humans. Therefore, there is a great need and opportunity to understand how rapamycin works - both for the development of

safe and effective therapeutics, and to gain insight into the basic mechanisms of aging itself. The canonical target of rapamycin is mTORC1, a nutrient sensing kinase whose homolog has been implicated in the extension of lifespan by caloric restriction (CR) in lower organisms. In mice, ablation of the mTORC1 target S6 kinase 1 (S6K1) mimics salient features of CR, including increases in insulin sensitivity, mitochondrial biogenesis, and lifespan. Therefore, it has been postulated that rapamycin mimics CR by inhibiting the mTORC1/S6K1 axis in mammals. In sharp contrast to CR, however, rapamycin actually causes insulin resistance and, at least in cells, inhibits the production and activity of mitochondria. These are surprising and potentially very important observations, given that both insulin sensitization and increased mitochondrial biogenesis have been suggested to contribute to CR-induced longevity. We recently showed that rapamycin-induced insulin resistance is the result of inhibiting a second target, mTORC2, and moreover, that specific inhibition of mTORC1 extends lifespan without detrimental effects on insulin signaling. Next, we plan to test whether the inhibition of mitochondrial biogenesis and activity that is observed in cells also occurs in vivo. If so, rapamycin will allow us to provide the first clear demonstration that mitochondrial biogenesis can be uncoupled from longevity. In a second line of experiments, we will treat S6K1 knockout mice with rapamycin to test the hypothesis that S6K1-independent mechanisms contribute to its effects on longevity. There are a number of reasons for believing that this will be the case. S6K1 ablation produces very different changes in physiology and does not extend life in males, whereas rapamycin does. Moreover, the mTORC2 homolog regulates longevity in worms, and our demonstration that rapamycin disrupts mTORC2 in mice therefore provides a candidate mechanism for S6K1-independent effects. Finally, we will explore the tissue-specific consequences of mTORC2 disruption. Loss of mTORC2 in the liver appears to mediate detrimental effects of rapamycin on insulin sensitivity, and ameliorating these effects could lead to complementary approaches to improve the safety and efficacy of the drug. On the other hand, loss of another insulin signaling molecule, IRS2, in the brain has previously been shown to extend life, and loss of neuronal mTORC2 might therefore contribute to the beneficial effect of rapamycin on lifespan. Elucidating the mechanisms by which rapamycin is able to prevent or slow progression of age-related diseases and extend the maximum survival time in mice will offer important insights, and likely new therapeutic targets, in the effort to promote healthy human aging. PUBLIC HEALTH RELEVANCE: Rapamycin is the only compound that has been unambiguously shown to extend the maximum lifespan of a mammalian species. The underlying mechanisms remain unknown, and side effects including immunosuppression and the elevation of cardiovascular risk factors are likely to limit the utility of the drug in humans. Elucidating the mechanisms by which rapamycin is able to slow the progression of age-related deterioration will provide crucial insight into the basic mechanisms of aging, and guide the development of new therapies to combat age-related diseases.

1 R01 AG043483 Joseph Baur. Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The major elements in evaluating proposals include assessments of: the scientific merit and general significance of the proposed study and its objectives; the technical adequacy of the experimental design and approach; the competency of the proposed investigator or group to successfully pursue the project; the adequacy of the available and proposed facilities and resources; the necessity of the budget components requested in relation to the proposed project; and the relevance and importance to announced program objectives. The following criteria will be used in considering the scientific and technical merit of SBIR/STTR Phase I grant applications: (1) The soundness and technical merit of the proposed approach; (2) the qualifications of the proposed principal investigator, supporting staff, and consultants; (3) the technological innovation of the proposed research; (4) the potential of the proposed research for commercial application; (5) the appropriateness of the budget requested; (6) the adequacy and suitability of the facilities and research; and (7) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment. Phase II grant applications will be reviewed based upon the following criteria: (1) The degree to which the Phase I objectives were met and feasibility demonstrated; (2) the scientific and technical merit of the proposed approach for achieving the Phase II objectives;

(3) the qualifications of the proposed principal investigator, supporting staff, and consultants; (4) the technological innovation, originality, or societal importance of the proposed research; (5) the potential of the proposed research for commercial application; (6) the reasonableness of the budget requested for the work proposed; (7) the adequacy and suitability of the facilities and research environment; and (8) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment.

93.867 VISION RESEARCH

FEDERAL AGENCY:

National Institutes of Health, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, as amended, Title III, Part A, Section 301; Health Research Extension Act of 1985, Public Law 99-158, 42 U.S.C. 241; Title IV, Part B, Section 405; 42 U.S.C. 284; Part C, Section 455; 42 U.S.C. 285i; Health Omnibus Programs Extension Act of 1988, Section 487(d); Public Law 100-607, 42 U.S.C. 288(d); Small Business Research and Development Enhancement Act of 1992, Public Law 102-564.

OBJECTIVES:

To support eye and vision research projects that address the leading causes of blindness and impaired vision in the U.S. These include retinal diseases; corneal diseases; cataract; glaucoma and optic neuropathies; strabismus; amblyopia; and low vision and blindness rehabilitation. To increase understanding of the normal development and function of the visual system in order to better prevent, diagnose, and treat sight-threatening conditions; and, to enhance the rehabilitation, training, and quality of life of individuals who are partially-sighted or blind. To support a broad program of basic vision research through grants and cooperative agreements; to encourage high quality clinical research, including clinical trials, other epidemiological studies, and health services research; to encourage research training and career development in the sciences related to vision; and to sponsor scientific workshops in high priority research areas to encourage exchange of information among scientists. Small Business Innovation Research (SBIR) program: To increase private sector commercialization of innovations derived from Federal research and development; to encourage small business participation in Federal research and development; and to foster and encourage participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation. Small Business Technology Transfer (STTR) program: To stimulate and foster scientific and technological innovation through cooperative research development carried out between small business concerns and research institutions; to foster technology transfer between small business concerns and research institutions; to increase private sector commercialization of innovations derived from Federal research and development; and to foster and encourage participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Research grants and cooperative agreements provide funds for salaries, consultant costs, equipment, supplies, travel, patient costs, alterations and renovations, other expenses, and consortium/contractual costs. The scientists and institutions are under an obligation to expend grant funds prudently for the purposes as stated in the application and award document. The Conference Cooperative Agreement supports scientific meetings and workshops in high priority research areas to encourage exchange of information among scientists. The Center Core Grant is intended to enhance an institution's environment and capability to conduct vision research, to facilitate collaborative studies of the visual system and its disorders, and to attract scientists of diverse disciplines to research on the visual system. Career development awards include the Mentored Clinical Scientist Development Award, Mentored Patient-Oriented Research Career Development Award, Institutional Clinical Scientist Development Program, and the NIH Pathway to Independence Award. The Clinical Study Planning Grant is designed to support the development of an applied clinical research plan. NEI Research Grants for Secondary Data

Analysis provide limited support for meritorious research projects that involve secondary analysis of research data generated from clinical trials, population research and other applied clinical vision research projects supported by the Institute. Bioengineering Research Grants (BRG) support basic bioengineering research whose outcomes are likely to advance health or health-related vision research. BRGs may propose to apply basic bioengineering design-directed or hypothesis-driven research to an important vision research area.

Bioengineering Research Partnership grants support multidisciplinary research teams applying an integrative, systems approach to develop knowledge and/or methods to prevent, detect, diagnose, or treat diseases of the eye and visual system. NEI Translational Research Program on Therapy for Visual Disorders grants provide support to collaborative, multidisciplinary research focused on the development of novel therapies to restore or prevent the loss of function due to visual diseases and disorders. Small Business Innovation Research Phase I grants are awarded to establish the technical merit and feasibility of a proposed research effort that may lead to a commercial product or process. Phase II grants are for the continuation of the research initiated in Phase I that is likely to result in commercial products or processes. Only Phase I awardees are eligible to apply for Phase II support. Small Business Technology Transfer Research, Phase I grants are to determine the scientific, technical, and commercial merit and feasibility of the proposed cooperative effort that has potential for commercial application. Phase II funding is based on results of research initiated in Phase I and scientific and technical merit and commercial potential on Phase II application. National Research Service Awards (NRSAs), both individual and institutional, support training in vision research. Some individuals who receive an NRSA may be obligated upon termination of the award to comply with certain service and payback provisions. The NIH Extramural Loan Repayment Programs provides payback of a portion of eligible student loan debt of qualified health professionals who agree to conduct clinical research.

Applicant Eligibility:

Public/State-controlled institutions of higher education, private institutions of higher education, Hispanic-serving institutions, Historically Black Colleges and Universities, tribally-controlled colleges and universities, Alaska Native- and Native Hawaiian-serving Institutions, nonprofits with or without 501(c)(3) IRS status, Small Businesses, for-profit organizations, federal institutions, and State and local units of government are eligible to make application for research grants, cooperative agreements, and career development awards. Foreign institutions may apply for research grants and cooperative agreements only. The grantee institution must agree to administer the grant in accordance with prevailing regulations and policies. Candidates for the Mentored Clinical Scientist Development and Mentored-Patient Oriented Research Career Awards are restricted to those holding health professional degrees in the clinical sciences (M.D., O.D., D.D.S., D.V.M., or equivalent). NRSAs are provided for predoctoral and postdoctoral research training. Individual NRSAs may be made for applicants who hold a professional or scientific degree (M.D., Ph.D., O.D., D.D.S., D.V.M., Sc.D., D.Eng., or equivalent degree). Institutional NRSAs may be made for both predoctoral and postdoctoral research training. Predoctoral awardees must have completed the baccalaureate degree. All awardees must be citizens of the United States, or have been admitted to the U.S. for permanent residence prior to award. Individual NRSA awardees must be nominated and sponsored by a Federal, public or private nonprofit institution having staff and facilities suitable to the proposed research training. Federal and for-profit organizations, and State and local governments may not apply for an institutional NRSA. Refer to the NIH Program Guidelines on NRSAs for further information. The Small Business Innovation grants: SBIRs can be awarded only to domestic small businesses (entities that are independently owned and operated for profit, are not dominant in the field in which research is proposed, and have not more than 500 employees). Primary employment (more than one-half time) of the principal investigator must be with the small business at the time of the award and during the conduct of the proposed project. The research during both Phase I and Phase II must be performed in the U.S. or its possessions. Only Phase I awardees are eligible to receive Phase II support. STTR grants can be awarded only to domestic small business concerns (entities that are independently owned and operated for profit, are not dominant in the field in which research is proposed and have no more than 500 employees) which "partner" with a research institution in cooperative research and development. At least 40 percent of the project is to be performed by the small

business concern and at least 30 percent by the research institution. In both Phase I and Phase II, the research must be performed in the U.S. and its possessions. Individuals applying for the NIH Loan Repayment Programs must be engaged in patient oriented research and be trained in a medical subspecialty as defined under Section 206 of Public Law 106-505. These individuals must be U.S. citizens, U.S. citizen nationals, or lawfully admitted for permanent residence in the U.S.; have a student loan debt which equals or exceeds 20 percent of their university compensation; have no Federal judgment lien against their property arising from a Federal debt; and owe no obligation of health professional service to the Federal government, a State, or other entity unless deferrals are granted during the length of their Loan Repayment Program service obligation.

Beneficiary Eligibility:

Any nonprofit or for-profit organization, company, or institution engaged in biomedical research.

Credentials/Documentation:

(1) Research grants and cooperative agreements: Applications for research involving the use of human subjects must include documentation that all key personnel have received training in human subject protection. Applicants may provide additional documentation to establish the scientific and technical merit and the programmatic relevance of the application. (2) Individual NRSA applications: The applicant's academic record, research experience, institutional sponsorship, and the proposed area and plan of training must be included. (3) Institutional NRSA application: The applicant institution must discuss the objectives, methodology and resources for the research training program; the qualifications and experience of staff; the criteria to be used in selecting individuals for an appointment; and a budget and justification for the grant funds requested. Costs will be determined in accordance with the appropriate OMB Circular or with HHS Regulations 45 CFR, Part 74, Subpart Q. (4) For SBIR and STTR grants, the small business concern must present a research plan that has potential for commercialization and furnish evidence that scientific competence, experimental methods, facilities, equipment, and funds requested are appropriate to carry out the plan. Loan Repayment Program applicants must provide certification of loan status, loan data verification, and an institutional supporting statement. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Applicants for core grants, clinical study planning grants, cooperative agreements, small research grants for data analyses, and bioengineering research partnerships should seek preapplication coordination through contact with the National Eye Institute staff. All staff may be contacted at (301) 451-2020. Applicants for planning to submit a research project grant application requesting \$500,000 or more in direct costs for any year are required to discuss their eligibility with the NEI staff, at (301) 451-2020. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Standard application forms, as required by 45 CFR, Part 74 and 92, must be used for this program. Information regarding the application process, access to forms, and information regarding the <http://www.Grants.Gov> site are available on the NEI web site at <http://www.nei.nih.gov>. Prior to making formal application to the NRSA programs, individual NRSA applicants must be nominated and sponsored by a federal, public, or nonprofit institution having staff and facilities appropriate to the proposed research program. NEI specific instructions for preparing applications for Core Grants, Clinical Study Planning Grants, the NEI Translational Program on Therapy for Visual Disorders, and Cooperative Clinical Research Agreements are specified in the Funding Opportunity Announcements, links to which are available at <http://www.nei.nih.gov/>. SBIR and STTR Grant Solicitations and SBIR Contract Solicitation may be obtained electronically through the NIH's "Small Business Funding Opportunities" home page at <http://www.nih.gov/grants/funding/sbir.htm>.

Award Procedure:

Applications are reviewed initially for scientific and technical merit. A second level review of the programmatic relevance of applications is provided by the

National Advisory Eye Council. Final approval is made by the Director, National Eye Institute. Formal award notices are transmitted to the appropriate institutional official.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately six to nine months for Research Grants, Mentored Clinical Scientist Development Awards, Clinical Study Planning Grants, cooperative clinical studies or conferences, Core Grants, and NRSA Institutional applications; from six to seven months for NRSA individual applications; and for SBIR, about eight months.

Appeals:

A principal investigator may communicate to the staff of the National Eye Institute any concerns regarding the substantive or procedural aspects of the review of an application.

Renewals:

Renewals of research grant or cooperative agreement support are by competitive application and review. Mentored Clinical Scientist Development Awards, Clinical Study Planning Grants, NEI Translational Research Program on Therapy for Visual Disorders, and NEI Research Grants for Secondary Data Analysis are non-renewable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Up to five years for research grants and cooperative agreements, Mentored Clinical Scientist Development Awards, Core Grants, and Institutional NRSA's. Up to three years for Academic Research Enhancement Awards and NRSA Individual postdoctoral awards. Clinical Study Planning Grants: one year. Awards to individuals made through an institutional NRSA may total eight years (five years predoctoral and an additional three years postdoctoral). SBIR: Normally, Phase I awards are for six months; normally, Phase II awards are for two years. STTR: Normally, Phase I awards are for one year; normally, Phase II awards are for two years. Loan Repayment Program Awards are for two years with the opportunity for renewal. See the following for information on how assistance is awarded/released: A formal notification in the form of a Notice of Grant Award (NoGA) will be provided to the applicant organization.

Reports:

No reports are required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Expenditures and other financial records must be retained for three years from the day on which the grantee submits the last expenditure report for the report period.

Account Identification:

75-0887-0-1-552.

Obligations:

(Project Grants) FY 12 \$564,003,270; FY 13 est \$523,134,848; and FY 14 est \$558,681,000

Range and Average of Financial Assistance:

Grants and Cooperative agreements: \$26,298 to \$5,057,448; \$392,655. NRSA (Institutional): \$26,298 to \$560,041; \$217,314. NRSA (Individual): \$14,476 to \$62,002; \$45,355. SBIR Phase I: \$99,231 to \$570,438; \$130,023. SBIR Phase II: \$71,484 to \$953,134; \$431,300. STTR Phase I: \$105,906 to \$310,645;

\$188,551. STTR Phase II: \$310,719 to \$408,108; \$346,016.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: In FY 2012 this program supported 1,255 research grants, including 46 SBIR and STTR awards, and 248 NRSA positions. This program received 994 competing research grant applications in FY2012, of which 296 competing applications were funded, with a success rate of 29.8%. Fiscal Year 2013: It is projected that this program will support 1,230 research grants including 45 SBIR and STTR awards, and 247 NRSA positions. Fiscal Year 2014: IN FY 2014, the program anticipates supporting 1,031 research grants.

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR 52; 42 CFR 66; 45 CFR 74; 45 CFR 92. PHS Grants Policy Statement DHHS Publication No. (OASH) 94-50,000 (Rev.) April 1, 1994, and Addendum effective February 15, 1995; "NIH Guide for Grants and Contacts and Supplements." National Eye Institute Guidelines are available on the internet at <http://www.nei.nih.gov>. Grants will be available under the authority of and administered in accordance with the PHS Grants Policy Statement and Federal regulations at 42 CFR 52 and 42 USC 241; Omnibus Solicitation of the Public Health Service for Small Business Innovation Research (SBIR) Grant and Cooperative Agreement Applications. Omnibus Solicitation of the National Institutes of Health for Small Business Technology Transfer (STTR) Grant Applications.

Regional or Local Office:

None.

Headquarters Office:

Ellen S. Liberman, Ph.D. National Eye Institute, National Institutes of Health, Department of Health and Human Services, Room 1300, 5635 Fishers Lane, Bethesda, MD 20892-9300. Grants Management Officer Contact: Mr. William W. Darby, Chief, Grants Management Branch, National Eye Institute, National Institutes of Health, Department of Health and Human Services, Room 1300, 5635 Fishers Lane, Bethesda, MD 20892-9300. Telephone: (301) 451-2020. Use the same numbers for FTS. The National Eye Institute encourages applicants to visit its internet site at www.nei.nih.gov/. Among the many recent additions to the site is an expanded "Research Funding" section which contains all National Eye Institute application guidelines and internet links to relevant NIH information., Bethesda, Maryland 20892-9300 Email: esl@nei.nih.gov Phone: (301) 451-2020 Fax: (301) 402-0528.

Website Address:

<http://www.nei.nih.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The major elements in the initial scientific and technical review of most applications include an assessment of significance, approach, innovation, investigator training and experience, and the scientific environment. In addition to these criteria, in accordance with NIH policy, all applications will also be reviewed with respect to the following: the adequacy of plans to include both genders, minorities, and their subgroups as appropriate for the scientific goals of the research; the reasonableness of the proposed budget and duration in relation to the proposed research; and, the adequacy of the proposed protection for humans, animals or the environment, to the extent they may be adversely affected by the project proposed in the application. A second level review of the programmatic relevance of most applications is provided by the National Advisory Eye Council. The following criteria will be used in considering the scientific and technical merit of SBIR/STTR Phase I grant applications: (1) The soundness and technical merit of the proposed approach; (2) the qualifications of the proposed principal investigator, supporting staff, and consultants; (3) the technological innovation of the proposed research; (4) the potential of the proposed research for commercial application; (5) the appropriateness of the budget requested; (6) the environment; and (7) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment. Phase II grant applications will be reviewed based upon the following criteria: (1) The degree to which the Phase I objectives were met and

feasibility demonstrated; (2) the scientific and technical merit of the proposed approach for achieving the Phase II objectives; (3) the qualifications of the proposed principal investigator, supporting staff, and consultants; (4) the technological innovation, originality, or societal importance of the proposed research; (5) the potential of the proposed research for commercial application; (6) the reasonableness of the budget requested for the work proposed; (7) the adequacy and suitability of the facilities and research environment; and (8) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment.

93.879 MEDICAL LIBRARY ASSISTANCE

FEDERAL AGENCY:

National Institutes of Health, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Title III, Part A, Section 301; Public Health Service Act, Title IV, Part D, Subpart 2, Section 472-476.

OBJECTIVES:

To meet a growing need for investigators trained in biomedical informatics research and data science by training qualified pre- and post-doctoral candidates; to conduct research in biomedical informatics, bioinformatics and related computer and information sciences; to facilitate management of electronic health records and clinical research data; to prepare scholarly works in biomedicine and health; to advance bioinformatics and bioinformatics through participation in Federal initiatives relating to biomedical informatics, bioinformatics and biomedical computing; to stimulate and foster scientific and technological innovation through cooperative research development carried out between small business concerns and research institutions, through Small Business Innovation Research (SBIR) and Small Business Technology Transfer (STTR) grants.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

RESEARCH GRANT PROGRAMS: Research Grants are available for fundamental and applied research in biomedical informatics and bioinformatics. Areas of research interest include: representation, organization and retrieval of biomedical and biological knowledge, data and images; enhancement of human intellectual capacities through virtual reality, dynamic modeling, artificial intelligence, and machine learning; medical decision-making; linguistic analyses for natural language processing and understanding; informatics topics relevant to public health, consumer information and disaster information management. Research Project Grants (R01) are available for rigorous scientific research studies and experiments. From time to time, NLM issues Requests for Applications for innovative informatics research in special priority areas. Exploratory/Developmental Grants (R21) support early, conceptual work and feasibility tests. Other research grants include Informatics Conference Grants (R13) and a number of NIH-wide grant programs on high priority topics such as health literacy, behavioral research, health disparity, climate change, and detection of gene environment interactions for complex human diseases. Further information on research grants is available at <http://www.nlm.nih.gov/ep/Grants.html#research>.

TRAINING GRANT PROGRAMS: NLM's University-based Biomedical Informatics Research Training Programs (T15) promote the training of talented individuals who seek research careers in biomedical informatics, translational bioinformatics, clinical research informatics, and public health informatics. A competition for these institution-based training grants is announced every five years. The most recent competition was held in 2011. University-based training support may be at the pre- or post-doctoral level. Training sites recruit and choose their trainees, and are expected to have well-established computer facilities, strong informatics research and education programs, experienced faculty and staff committed to research in the field of biomedical computing and/or biomedical informatics, and available courses or experience in computer science, information science, and cognitive sciences. Institutional training grants provide trainee stipends, tuition and fees, travel, and certain institutional support costs. Further information on training grants is available at <http://www.nlm.nih.gov/ep/Grants.html#training>.

CAREER DEVELOPMENT GRANT PROGRAMS: NLM offers a Career Development award (K01) to help promising junior investigators launch their research careers in biomedical informatics research. NIH Pathway to Independence Career Development awards (K99/R00) are reserved for investigators who are making the transition to their first research positions. These grants can last up to five years. They include a mentored period, followed by an unmentored period, and provide both salary and a research fund. Research supplement awards are also offered to promote diversity in health-related informatics research, and to promote re-entry into informatics careers. Diversity and re-entry awards are issued as supplements to existing research grants funded by NLM. Further information on career development grants is available at <http://www.nlm.nih.gov/ep/Grants.html#career>.

RESOURCE GRANT PROGRAMS: NLM Information Resource Grants to Reduce Health Disparities (G08) support implementation projects that bring useful, usable health information to health disparity populations and their health care providers. NLM offers Grants for Scholarly Works (G13) to support preparation of books in the history and philosophy of biomedicine, bioethics, and public policy areas of importance to health professionals and biomedical scientists. Typically, these programs issue one funding opportunity announcement (FOA) per year. The purpose, restrictions, funding periods and award limits vary for these grant programs and are described in the FOAs. Further information on resource grants is available at <http://www.nlm.nih.gov/ep/Grants.html#resource>.

SMALL BUSINESS RESEARCH & DEVELOPMENT GRANT PROGRAMS: Small Business Innovation Research (SBIR) and Small Business Technology Transfer (STTR) grants are made to U.S. small businesses that seek to undertake informatics research and development leading to commercialization. The STTR program requires a small business applicant organization to formally collaborate with a research institution in Phase I and Phase II. SBIR Phase I grants (of approximately 6-months' duration) are to establish the technical merit and feasibility of a proposed research effort that may lead to a commercial product or process. Phase II grants are for the continuation of the research initiated in Phase I and that are likely to result in commercial products or processes. Only Phase I awardees are eligible to receive Phase II support. STTR Phase I grants (normally of 1-year duration) are to determine the scientific, technical, and commercial merit and feasibility of the proposed cooperative effort that has potential for commercial application. Phase II funding is based on results of research initiated in Phase I and scientific and technical merit and commercial potential in Phase II application. Further information on SBIR/STTR grants is available at <http://www.nlm.nih.gov/ep/Grants.html#small>.

Applicant Eligibility:

Any individual(s) with the skills, knowledge, and resources necessary to carry out the proposed research as the project director/principal investigator (PD/PI) is invited to work with his/her organization to develop an application for support. Individuals from underrepresented racial and ethnic groups as well as individuals with disabilities are always encouraged to apply for NIH support. See Beneficiary Eligibility below for further details.

Beneficiary Eligibility:

Research Grants are available to public or private, domestic or foreign, for profit or not-for-profit institutions or organizations with research capabilities in biomedical informatics, bioinformatics, computer sciences, information sciences and related disciplines. Training Grants may be made to nonfederal public and nonprofit private institutions. With the exception of NIH Pathway to Independence awards, trainees must be citizens or non-citizen nationals of the United States or have been lawfully admitted to the United States for permanent residence. Resource grants (Information Resource, Scholarly Works) are open to any U.S. public or private nonprofit institution or organization. SBIR and STTR grants can be awarded only to domestic small businesses (entities that are independently owned and operated for profit, are not dominant in the field in which research is proposed, and have no more than 500 employees). To be eligible for funding, a grant application must be approved for scientific merit and program relevance by a scientific review group and a national advisory council.

Credentials/Documentation:

Cost allowability will be determined in accordance with OMB Circular A-87 for State, Local, and Indian Tribal Governments, OMB Circular A-21 for

Educational Institutions and for For-profit organizations, costs will be determined in accordance with 48 CFR Subpart 31.2 Federal Acquisition Regulation (FAR). Nonprofit institutions cost principles are outlined under OMB Circular A-122 and for Hospitals, 45 CFR Part 74, Appendix E. These cost principles are codified under 45 CFR 74.27 and 92.22. Uniform Administrative Requirements for Grants and Other Agreements with Institutions of Higher Education, Hospitals, and Other Nonprofit Organizations are outlined in OMB Circular A-110. Documentation providing NIH grants policy and guidance can be found at <http://grants.nih.gov/grants/policy/policy.htm>. The Division of Extramural Programs, NLM provides program specific grant information at <http://www.nlm.nih.gov/ep>. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Only electronic applications are accepted for all types of Research Grants, SBIR and STTR grants, and Resource Grants. Electronic grant submission of the SF424 (R&R) form is via <http://www.grants.gov>. For instructions on submitting grants electronically, see <http://era.nih.gov/ElectronicReceipt/>. These programs are subject to the provisions of 45 CFR, Part 92 for State and local governments and OMB Circular No. A-110 for nonprofit organizations. Updates on NLM application deadlines and links to available forms can also be found at <http://www.nlm.nih.gov/ep/>.

Award Procedure:

Research, training, career development and resource applications are evaluated for merit by a committee of scientific experts and for program relevance by the Board of Regents (BOR) of the National Library of Medicine (NLM). If favorably recommended, the applications are considered for funding. An award notice is prepared when it is determined that a grant is to be paid. All accepted SBIR/STTR applications are evaluated for scientific and technical merit by an appropriate scientific peer review panel and by a national advisory council or board. All applications receiving a priority score compete for available SBIR/STTR set-aside funds on the basis of scientific and technical merit and commercial potential of the proposed research, program relevance, and program balance among the areas of research.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

> 180 Days. From 9-10 months after the application deadline.

Appeals:

NIH policy allows a principal investigator (PI) to appeal the outcome of a review if procedural errors or factual errors entered into the review of the application. A description of the NIH Peer Review Appeal procedures is available at <http://grants.nih.gov/grants/guide/notice-files/NOT-OD-11-064.html>. However, differences of scientific opinion that often occur between investigators and reviewers may not be contested through these procedures. In addition, communications from investigators consisting of additional information that was not available to the reviewers are not considered to be appeals. The NIH policy on unsuccessful applicant resubmissions of grant proposals can be found at: <http://grants.nih.gov/grants/guide/notice-files/NOT-OD-10-080.html>.

Renewals:

> 180 Days. Competing renewal applications follow the same review procedures and deadlines as new applications; dates for submission are at <http://www.nlm.nih.gov/ep/Deadlines.html>. NIH also permits the resubmission of an application that has been revised following merit review. Deadlines for resubmissions are listed with other deadlines. Applicants should consult the program announcement to find out if renewals are allowed for that grant program.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The length of a grant award varies by the type of grant. R01 research grants generally last three or four years. Resource grants, Exploratory/Developmental grants, and Career Transition awards have defined maximum periods that are provided in the funding opportunity announcement. See the following for information on how assistance is awarded/released: A formal notification in the form of a Notice of Award (NoA) will be provided to the applicant organization.

Reports:

No program reports are required. No cash reports are required. Annual grant progress reports covering progress by specific aims must be submitted. A mid-year progress report may be requested at the program officers discretion. At the end of a grant, the awardee must file a final progress report and final statement of invention. A Federal Financial Report must be filed when requested. A final Federal Financial Report must be filed at the end of the grant. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In accordance with 45 CFR 74 Subpart C Section 74.26(d) for-profit organizations that expend more than \$500,000 in DHHS awards in a year shall have an audit of the DHHS awards in accordance with the "Government Auditing Standards" (Yellow Book) or an audit that meets the requirements of OMB Circular A-133. Award recipients that do not meet the \$500,000 threshold are exempt from Federal audit requirements for that year, except as noted in Circular A-133. In addition, grants and cooperative agreements are subject to review or audit by appropriate officials of the Federal (funding) agency, pass-through entity and the Government Accountability Office (GAO). Foreign organizations must use the for-profit audit requirements for DHHS supported awards. The NIH/DHHS Loan Repayment Program (LRP) is not subject to Federal auditing requirements. For OMB policy statements on grants, see http://www.whitehouse.gov/omb/grants/grants_docs.html. Government Auditing Standards is available on the GAO Web site at <http://www.gao.gov/govaud/ybk01.htm>.

Records:

Financial and programmatic grant records must be retained for 3 years from the day on which the grantee submits the last (annual) Federal Financial Report (FFR). Streamlined Noncompeting Application Process (SNAP) awardees beginning date for record retention purposes is the date of the FFR submission for the entire competitive segment of the grant.

Account Identification:

75-0807-0-2-552.

Obligations:

(Project Grants) FY 12 \$44,281,000; FY 13 est \$41,183,998; and FY 14 est \$41,183,998

Range and Average of Financial Assistance:

\$20,000 to \$750,000 (range)
\$370,540 average total cost for Basic Research Grant (R01)
\$112,882 average total cost for Information Resource Grant (G08)
\$44,095 - average total cost for Scholarly Works Grant (G13).

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

National Library of Medicine Grant Programs are awarded under the Public Health Service Act and the Medical Library Assistance Act. Grants and funding awards are made available under the authority of the Acts as codified under 45

CFR 74 (Uniform Administrative Requirements for Awards and Subawards to Institutions of Higher Education, Hospitals, Other Nonprofit Organizations, and Commercial Organizations; and Certain Grants and Agreements with States, Local Governments, and Indian Tribal Governments and 45 CFR 92 (Uniform Administrative Requirements for Grants and Cooperative Agreements to States and Local Governments); the NIH Grants Policy Statement is available to grantees as a single document governing the NIH/DHHS policy requirements and terms and conditions of NIH/DHHS grant awards. Additional regulations and guidelines are provided in the Omnibus Solicitation of the Public Health Service for Small Business Innovation Research (SBIR - R43, R44) Grant and Cooperative Agreement Applications including the Omnibus Solicitation of the National Institutes of Health for Small Business Technology Transfer (STTR - R41, R42) Grant Applications.

Regional or Local Office:

None.

Headquarters Office:

Extramural Programs National Library of Medicine, National Institutes of Health, Public Health Service, Department of Health and Human Services, Bethesda, MD 20894. Telephone: (301) 496-4621. Program Contact: Program Officer: Telephone: (301) 594-4882. Grants Management Contact: Grants Management Officer: Telephone: (301) 496-4221. Grant Review Contact: Scientific Review Officer: Telephone: (301) 496-4253. Use the same numbers for FTS. Website: <http://www.nlm.nih.gov/ep>, Bethesda, Maryland 20892 Phone: (301) 496-4621

Website Address:

<http://www.nlm.nih.gov/ep>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: No Current Data Available. Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The standard NIH review criteria, significance, investigator, innovation, approach, environment are used as the basis of merit review. Considerations for final award decisions include level of innovation, program priority, portfolio balance, and availability of funds. For NLM resource grants, review criteria include considerations as to relevance to program objectives; impact on the management and transmission of biomedical knowledge; institutional readiness and resources available to project; expertise of project director and key personnel; scientific or technical merit of project; sustainability of what is deployed, and appropriateness of budget. For Career awards, review criteria include issues pertaining to the suitability of the candidates and their career development plan, research plan, mentors/consultants/collaborators, as well as the environment and institutional commitment to the candidates. For Training awards, review criteria include evidence of soundness in the areas of: Training Program and Environment, Training Program Principal Investigator(s), Preceptors and Mentors, Trainees, and Training Record. Comparative priorities on the above criteria are based on collective judgment of peer reviewers. The following criteria are used in considering the scientific and technical merit of SBIR/STTR Phase I grant applications: (1) The soundness and technical merit of the proposed approach; (2) the qualifications of the proposed principal investigator, supporting staff, and consultants; (3) the scientific, technical, or technological innovation of the proposed research; (4) the potential of the proposed research for commercial application; (5) the appropriateness of the budget requested; (6) the adequacy and suitability of the facilities and research environment; and (7) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment. Phase II grant applications are reviewed based upon similar criteria, and on the degree to which the Phase I objectives were met and feasibility demonstrated.

93.884 GRANTS FOR PRIMARY CARE TRAINING AND ENHANCEMENT

Primary Care Training; PCTE

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Title VII, Section 747 (a) (b), Public Health Service Act, as amended by the Affordable Care Act (P. L. 111-148).

OBJECTIVES:

Grants are awarded for the following purposes: (1) Academic Administrative Units in Primary Care-- to establish, maintain, or improve academic administrative units (which may be departments, divisions, or other entities) to provide clinical instruction in family medicine, general internal medicine, general pediatrics, and/or combined internal medicine-pediatrics; (2) Predoctoral Training in Primary Care-- to plan, develop, and operate or participate in programs that teach medical students primary care skills through experiences in family medicine, general internal medicine, general pediatrics, and/or combined internal medicine-pediatrics; (3) Residency Training in Primary Care-- to plan, develop, and operate or participate in approved residency programs in family medicine, general internal medicine, general pediatrics, and/or combine internal medicine-pediatrics; (4) Physician Faculty Development in Primary Care-- to plan, develop, and operate programs (including provision of financial assistance to trainees) that prepare physicians who teach or plan to teach in family medicine, general internal medicine, general pediatrics, and/or combined internal medicine-pediatrics training programs; (5) Physician Assistant Training in Primary Care to plan, develop, and operate or maintain programs that teach physician assistant students and that train individuals who will teach physician assistant students; (6) Interdisciplinary and Interprofessional Graduate Joint Degree -- to plan, develop, and operate joint degree programs to provide interdisciplinary and interprofessional graduate training in public health and other health professions and that teach environmental health, infectious disease control, disease prevention and health promotion, epidemiological studies and/or injury control.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grants may not be used for new construction or patient services.

Applicant Eligibility:

The applicant organization must meet the eligibility requirements as indicated for each program area: Residency Training in Primary Care, Physician Faculty Development in Primary Care, Interdisciplinary and Interprofessional Joint Graduate Degree, Physician Assistant Training in Primary Care: Public or nonprofit private hospitals, accredited schools of medicine or osteopathic medicine, accredited academically affiliated physician assistant education programs, or public or private nonprofit entities are eligible to apply.

Predoctoral Training in Primary Care, Academic Administrative Units in Primary Care: Accredited public or nonprofit private schools of allopathic or osteopathic medicine are eligible to apply. Faith-based and community-based organizations that meet the criteria in the preceding sentences are eligible to apply.

Beneficiary Eligibility:

For the following programs: Residency Training in Primary Care, Physician Faculty Development in Primary Care, Interdisciplinary and Interprofessional Joint Graduate Degree, Physician Assistant Training in Primary Care: Public or nonprofit private hospitals, accredited schools of medicine or osteopathic medicine, accredited academically affiliated physician assistant education programs, or public or private nonprofit entities are eligible to apply.

Predoctoral Training in Primary Care, Academic Administrative Units in Primary Care: Accredited public or nonprofit private schools of allopathic or osteopathic medicine are eligible to apply. Faith-based and community-based organizations that meet the criteria in the preceding sentence are eligible to apply.

Credentials/Documentation:

Each program has its own HRSA Funding Opportunity Announcement (FOA) issued under this CFDA program that applicants should review to identify any required proof or certifications that must be submitted prior to or simultaneous

with submission of an application package. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. HRSA requires all applicants to apply electronically through Grants.gov.

Award Procedure:

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Notification of award is made in writing (electronic) by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From two to three months after receipt of application.

Appeals:

Not Applicable.

Renewals:

During the last budget period of the project period, competing continuation applications may be submitted for five (5) years of support.

Formula and Matching Requirements:

This program has no statutory formula.

Matching requirements are not applicable to this program.

This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

Project periods are for five years. See the following for information on how assistance is awarded/released: Grantees draw down funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

The awardee will be required to submit annual performance and progress reports as well as status-federal financial reports (see below for general information on each required report). The awardee must submit a quarterly electronic Federal Financial Report (FFR) Cash Transaction Report via the Payment Management System within 30 days of the end of each calendar quarter. A Federal Financial Report (SF-425) is required within 90 days of the end of each budget period. A final report is due within 90 days after the project period ends. If applicable, the awardee must submit a Tangible Personal Property Report (SF-428) and any related forms within 90 days after the project period ends. New awards (Type 1) issued under this funding opportunity announcement are subject to the reporting requirements of the Federal Funding Accountability and Transparency Act (FFATA) of 2006 (Pub. L. 109282), as amended by section 6202 of Public Law 110252, and implemented by 2 CFR Part 170. Grant and cooperative agreement recipients must report information for each first-tier subaward of \$25,000 or more in federal funds and executive total compensation for the recipients and subrecipients five most highly compensated executives as outlined in Appendix A to 2 CFR Part 170 (FFATA details are available online at <http://www.hrsa.gov/grants/ffata.html>).

Competing continuation awardees, etc. may be subject to this requirement and will be so notified in the Notice of Award. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a

year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records three years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the three-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular three-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Project Grants) FY 12 \$28,785,258; FY 13 est \$31,039,326; and FY 14 est \$48,813,000

Range and Average of Financial Assistance:

The range of awards is \$68,158 to \$467,536; the average is \$200,989.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for state, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices. Shannon K. Bolon, MD, MPH. Chief of the Primary Care Medical Education Branch, Division of Medicine and Dentistry, Bureau of Health Professions, Health Resources and Services Administration, Department of Health and Human Services, 5600 Fishers Lane, Room 9A-27 Rockville, Maryland 20857, Telephone: (301) 443-6190, Fax: 301-443-8890, E-mail: SBolon@hrsa.gov.

Headquarters Office:

Division of Medicine and Dentistry Bureau of Health Professions, HRSA, DHHS 5600 Fishers Lane, Room 9A-27, Rockville, Maryland 20857 Phone: (301) 443-6190 Fax: (301) 443-8890

Website Address:

<http://bhpr.hrsa.gov/grants/medicine.index.html>

RELATED PROGRAMS:

93.403 ARRA - Training in Primary Care Medicine and Dentistry

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Procedures for assessing the technical merit of grant applications have been instituted to provide an objective review of applications and to assist the applicant in understanding the standards against which each application will be judged. Critical indicators have been developed for each review criterion to assist the applicant in presenting pertinent information related to that criterion and to provide the reviewer with a standard for evaluation. Competing applications are reviewed by nonfederal reviewers for technical merit recommendations. Refer to Grants.gov to obtain a copy of the pertinent funding opportunity announcement, and section V.1 of any announcement for specific review criteria.

93.887 HEALTH CARE AND OTHER FACILITIES

Renovation or Construction Projects

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Consolidated Appropriations Act, 2010 (P. L. 111-117).

OBJECTIVES:

To construct, renovate, expand, equip, or modernize health care facilities and other health care related facilities.

TYPES OF ASSISTANCE:

Project Grants (for specified projects)

USES AND USE RESTRICTIONS:

All funds awarded should be expended solely for carrying out the approved projects in accordance with the provisions of the fiscal year.

Applicant Eligibility:

Eligible applicants include State and local governments, including their universities and colleges, quasi- governmental agencies, federally recognized Indian Tribal Governments, Native American organizations, private universities and colleges, and private profit and nonprofit organizations. Organizations must be specifically earmarked in the Congressional Appropriation Bill.

Beneficiary Eligibility:

Public or other entities will benefit.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Application materials are provided to organizations that were specifically earmarked in the Appropriation Bill or Conference Report, based upon information in HRSA's annual appropriation.

Award Procedure:

Only Congressional-directed funded organizations may receive an award.

All applicants are required to apply electronically through Grants.gov.

Awards are made subsequent to proposal evaluations carried out by HRSA staff.

Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 3 to 6 months.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Awards are usually issued for a 3-year project period. Funds must be expended in 5 years. See the following for information on how assistance is awarded/released: Grantees drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

At the conclusion of the project, recipients must submit a final report on project costs (424C) and a list of equipment purchased with funds. No cash reports are

required. Final Financial Report. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Project Grants) FY 12 \$0; FY 13 est \$0; and FY 14 est \$0 - Last award year was fiscal year 2010. No funds authorized for fiscal years 2011, 2012 and 2013.

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: No Data Available. Fiscal Year 2013: No Data Available. Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable. HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

None. Program Contact: Mr. David Colwander, Chief, Facilities Services Branch, Division of Poison Control and Healthcare Facilities, Healthcare Systems Bureau, 5600 Fishers Lane, Room 10-105, Rockville, Maryland 20857 Phone: (301) 443-7858.

Headquarters Office:

David Colwander 5600 Fishers Lane, Room 10-105, Rockville, Maryland 20857 Phone: (301) 443-7858

Website Address:

<http://www.hrsa.gov/hcofconstruction/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.888 SPECIALLY SELECTED HEALTH PROJECTS

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

The Consolidated Appropriations Act of 2010 (Public Law 111-117).

OBJECTIVES:

To carry out programs that have been selected by the U.S. Congress as being needed for improved health care.

TYPES OF ASSISTANCE:

Project Grants (for specified projects)

USES AND USE RESTRICTIONS:

All funds awarded should be expended solely for carrying out the approved projects in accordance with the provisions of the Consolidated Appropriations Act of 2010 (Public Law 111-117).

Applicant Eligibility:

State and local governments, including their universities and colleges, quasi-governmental agencies, private universities and colleges, and private profit and nonprofit organizations. Organizations must be specifically identified in the Congressional Appropriation Bill.

Beneficiary Eligibility:

Public or other entities will benefit.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. HRSA requires all applicants to apply electronically through Grants.gov.

Award Procedure:

Only Congressionally identified organizations may receive an award. All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 3 to 6 months.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awards are usually issued a one-year project period. Grant funds must be expended in 5 years. See the following for information on how assistance is awarded/released: Grantees drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

No program reports are required. No cash reports are required. At the conclusion of the project, grantees must submit a final Federal Financial Report (FFR), a final report on project costs, and a list of equipment purchased with grant funds. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000

or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Project Grants) FY 12 \$0; FY 13 est \$0; and FY 14 est \$0

Range and Average of Financial Assistance:

No data available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices. Program Contact: Robert Pie, The Office of Rural Health Policy Room 5A-55 , 5600 Fishers Lane, Rockville, MD 2085, (301) 443-0835.

Headquarters Office:

The Office of Rural Health Policy, 5600 Fishers Lane, Room 5A-55 , Rockville, Maryland 20857 Phone: (301) 443-0835.

Website Address:

<http://www.rurahealth.hrsa.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.889 NATIONAL BIOTERRORISM HOSPITAL PREPAREDNESS PROGRAM

(HPP)

FEDERAL AGENCY:

Office of the Secretary, Department of Health and Human Services

AUTHORIZATION:

Section 319C-2 of the Public Health Service (PHS) Act, as amended by the Pandemic and All-Hazards Preparedness Reauthorization Act (PAHPRA) of 2013, Public Law 113-5.

OBJECTIVES:

To ready hospitals and other healthcare systems, in collaboration with other partners, to deliver coordinated and effective care to victims of terrorism and other public health emergencies.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

The distribution of funds will be to the health departments of all 50 States, the District of Columbia, the nation's three largest municipalities (New York City, Chicago and Los Angeles County), the Commonwealths of Puerto Rico and the Northern Mariana Islands, the territories of American Samoa, Guam and the United States Virgin Islands, the Federated States of Micronesia, and the Republics of Palau and the Marshall Islands. The statute specifies appropriate use of funds.

Applicant Eligibility:

State health departments of all 50 States, the District of Columbia, the nation's three largest municipalities (New York City, Chicago and Los Angeles County), the Commonwealths of Puerto Rico and the Northern Mariana Islands, the territories of American Samoa, Guam and the United States Virgin Islands, the Federated States of Micronesia, and the Republics of Palau and the Marshall Islands.

Beneficiary Eligibility:

All State health departments listed above, hospitals and supporting health care systems.

Credentials/Documentation:

Applicants should review the individual ASPR Hospital Preparedness Program Funding Opportunity Announcement documents issued under this CFDA for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Grant applications and required forms for this program can be obtained from Grants.gov. Please visit the Grants.gov Web site at www.grants.gov to both find and apply for all Federal grant opportunities. All qualified applications from eligible applicants will be reviewed and final funding award recommendations forwarded to ASPR.

Award Procedure:

Notification is made in writing through a Notice of Grant Award issued from the program's Grants Management Office.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Section 319C-2 of the Public Health Service (PHS) Act, as amended by the Pandemic and All-Hazards Preparedness Act (PAHPA) of 2006, Public Law 109-417.

Matching Requirements: Percent: 10.%.
This program has MOE requirements, see funding agency for further details.

This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

Project periods are limited up to 3 years. Budget periods are limited up to 12 months. See the following for information on how assistance is awarded/released: See the following for information on how assistance is awarded/released: Awardee is issued a Notice of Award, a legal document that informs the awardee that a grant award has been made. Awardee may draw down funds in advance of the incurrence of an expenditure (up to three days) from the Payment Management System.

Reports:

Program reports are not applicable. Recipients must report cash transaction data

via the Payment Management System (PMS) using the cash transaction data elements captured on the Federal Financial Report (FFR), Standard Form (SF) 425. Recipients will utilize the Transactions section of SF425 in lieu of the SF272. The FFR SF425 cash Transaction Report is due 30 days after the end of each calendar quarter. Semi-annual and year end progress reports are required. ASPR requires cumulative financial reporting through consecutive funding periods on the SF269 FSR long form. The FFR SF425 was designed to replace the Financial Status Report SF269 and the Federal Cash Transactions Report SF272 with one comprehensive financial reporting form. Until HHS fully migrates to the SF425 FFR, recipients are still required to submit the SF269 Financial Status Report (FSR) semi-annually within 30 days after the first 6 month period and within 90 days of the budget period end date. Grantees are required to submit final Financial Status Reports (SF-269). Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. A-133

Records:

Awardees are required to maintain grant accounting records for a minimum of 3 years after the end of a grant period. If any litigation, claim, negotiation, audit or other action involving the record has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. More detailed information regarding retention requirements are provided in Title 45, CFR Part 92.

Account Identification:

75-0140-0-1-551.

Obligations:

(Formula Grants (Cooperative Agreements)) FY 12 \$0; FY 13 est \$331,759,862; and FY 14 est \$234,500,000 - Actual FY12 \$351,644,731 This program is aligned with 93.074 and FY12 funds are obligated under that number.

Range and Average of Financial Assistance:

Range in FY 13: \$270,00- to \$27,000,000. FY 13 Average: \$5,351,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: HPP shifted its focus from hospital preparedness to healthcare system preparedness through building healthcare coalitions. Building on the success of the programs improved foundational hospital-based preparedness tenets, the focus surrounds medical surge, continuity of healthcare operations, situational awareness, volunteer management, training and exercises. Grant awards will continue enhancing HPP surge capacity and capability through awardee implementation of the new FY 2012 Hospital Preparedness Program (HPP) capabilities promoted in the ASPR publication Healthcare Preparedness Capabilities: National Guidance for Healthcare System Preparedness. In support of the capabilities, NHPP has developed a more comprehensive, yet succinct, set of measures to better assess progress. Specifically, current and future grant awards will help state and local governments, healthcare coalitions, and ESF #8 planners identify gaps in preparedness, determine specific priorities, and develop plans for building and sustaining national stakeholder-created and vetted healthcare-specific capabilities to build community preparedness and resilient healthcare systems. Fiscal Year 2013: Not available. Fiscal Year 2014: Not available.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Robert Scott Dugas , Hospital Preparedness Program, U.S. Department of Health and Human Services, Assistant Secretary for Preparedness and Response, 200 C E Street, SW, Concourse C4K17, Washington, District of Columbia 20024 Email: Robert.Dugas@hhs.gov Phone: (202) 245-0732

Website Address:

http://www.phe.gov

RELATED PROGRAMS:

93.003 Public Health and Social Services Emergency Fund

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

This is a formula grant program. The applicants must submit their application in accordance with fiscal year Funding Opportunity Announcement. The applications are reviewed and approved applications are processed immediately. Applications approved with conditions, require the applicant to resubmit supporting information within a prescribed time frame. Upon review and approval, the revised application can then be submitted to Grants Management for processing and issuing a notice of grant award.

93.908 NURSE CORPS LOAN REPAYMENT PROGRAM

NURSE Corps Loan Repayment Program (NURSE Corps LRP)

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act (42 United States Code 297(n)(a)), Section 846(a), as amended by, Patient Protection and Affordable Care Act of 2010, Public Law 111-148 Sec. 5310(a).

OBJECTIVES:

To assist in the recruitment and retention of professional registered nurses (RN) in: 1) approved critical shortage areas to increase access to quality health care by offering loan repayment in return for full-time service at an eligible health care facility with a critical shortage of nurses; and 2) accredited, eligible schools of nursing for RNs dedicated to serving as full-time faculty members.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

The program offers RNs substantial economic assistance to repay their qualifying educational loans in exchange for full-time service at a health care facility with a critical shortage of nurses. NURSE Corps LRP participants enter into a contract agreeing to provide full-time service at an approved Critical Shortage Facility (CSF) for two years. In return, NURSE Corps LRP will pay 30 percent of the participant's total qualifying loan balance each year (total of 60 percent). A participant may be eligible to continue his/her two-year NURSE Corps LRP contract to serve for a third (optional) year at a CSF. If a participant works full-time for a third year in a CSF, the NURSE Corps LRP will pay an additional 25 percent of the participant's original total qualifying loan balance, bringing the total to 85 percent of eligible loan balances.

Applicant Eligibility:

Individuals who satisfy the following criteria are eligible to apply: (1) have received a bachelors degree, a masters degree, an associate degree, a diploma, or a doctoral degree in nursing; (2) have outstanding qualifying educational loans leading to a degree or diploma in nursing; (3) a U.S. citizen (either U.S. born or naturalized), U.S., National or a Lawful Permanent Resident of the United States; (4) employed full-time (32 hours or more per week) at a critical shortage facility (CSF) or employed as a full-time nurse faculty member at an accredited, public or private nonprofit school of nursing; (5) have completed the nursing education program for which the loan balance applies; (6) have a current, full, permanent, unencumbered, unrestricted license in the State in which they intend to practice or be authorized to practice in the State under the Nurse Licensure Compact; and (7) submit a complete application, including a signed contract to work full-time as a registered or advanced practice nurse for 2 years at an eligible health care facility with a critical shortage of nurses or an accredited, eligible school of nursing.

Beneficiary Eligibility:

Beneficiaries include registered nurses who have received a diploma, an associate degree, a baccalaureate degree or a graduate degree in nursing from an accredited school of nursing.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Applicants may apply online at <https://programportal.hrsa.gov>

Award Procedure:

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 5 months.

Appeals:

Not Applicable.

Renewals:

Renewals for the third year of service will be considered based on the availability of funds.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Loan repayments for 1 year of obligated service will be made no later than the end of the Federal fiscal year in which the individual completes such year of service. See the following for information on how assistance is awarded/released: Direct Deposit into recipients account through PSC.

Reports:

The NURSE Corps LRP verifies every 6 months that participants are meeting program requirements and fulfilling their service obligation. The In-Service Verification (ISV) is completed by both the participant and the approved critical shortage facility or school of nursing. By completing and electronically signing the verification, the participant and the site are certifying the participants compliance or noncompliance with the applicable service requirements during that 6-month period. No cash reports are required. No progress reports are required. No expenditure reports are required. No performance monitoring is required.

Audits:

Not Applicable.

Records:

No Data Available.

Account Identification:

75-0350-0-1-550.

Obligations:

(Direct Payments for Specified Use) FY 12 \$48,889,685; FY 13 est \$55,700,167; and FY 14 est \$55,700,167

Range and Average of Financial Assistance:

New awards for FY 12 range from \$7,320 to \$183,392, with an average new award of \$47,114.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: No Data Available. Fiscal Year 2013: No Data Available. Fiscal Year 2014: No Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Please see application process for NURSE Corps LRP at <http://www.hrsa.gov/loanscholarships/repayment/nursing/> Refer to the NURSE Corps Loan Repayment Program Guidance.

Regional or Local Office:

None. NURSE Corps Loan Repayment Program, Division of Nursing and Public Health, Bureau of Clinician Recruitment and Service (BCRS), Health Resources and Services Administration, 5600 Fishers Lane, Room 8-37, Rockville, MD 20857. Telephone: (301) 594-4130.

Headquarters Office:

Bureau of Clinician Recruitment and Service (BCRS), Health Resources and Services Administration, 5600 Fishers Lane 5600 Fishers Lane, Room 8-37, Rockville, Maryland 20857 Phone: (301) 594-4130

Website Address:

<http://www.hrsa.gov/loanscholarships/repayment/nursing/>

RELATED PROGRAMS:

93.162 National Health Service Corps Loan Repayment Program; 93.303 Nursing Scholarship Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Funding preference is defined as the funding of a specific category of group of approved applicants ahead of other categories or groups of approved applicants. As provided in section 846(e) of the PHS Act, as amended, a funding preference is given to eligible applicants with the greatest financial need. Awards are made to the highest ranked applicants until funds are expended. Please review the HRSA Preview or BCRS website at www.hrsa.gov for important information regarding funding preferences.

93.910 FAMILY AND COMMUNITY VIOLENCE PREVENTION PROGRAM

(Family Life Centers)

FEDERAL AGENCY:

Office of Minority Health, Department of Health and Human Services

AUTHORIZATION:

OMH is authorized to conduct this program under 42 U.S.C. Section 300 u-6, Section 1707 of the Public Health Service Act, as amended.

OBJECTIVES:

To establish Family Life Centers on the campuses of 24 minority institutions of higher education to: (1) assess local community resources for violence prevention projects; (2) coordinate activities with existing violence prevention projects; (3) design and implement educational interventions addressing interpersonal family violence; and (4) design and implement a project to identify students from dysfunctional families and support them with coping strategies.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funds will be used to support a cooperative agreement for a family and community violence program to prevent minority related violence and improve health and human services to minorities. Funds are not to be used for the provision of health care services, construction, augmentation of ongoing Office of Minority Health (OMH) supported demonstrations, or supplantation of

ongoing project activities.

Applicant Eligibility:

Eligible Applicants: An institute of higher education representing 4-year undergraduate institutions and a 2 year tribal college historically identified as providing education primarily to minority students, or having a majority enrollment of minority students.

Beneficiary Eligibility:

Minority families and communities will benefit.

Credentials/Documentation:

Proof of nonprofit status is required as part of the application submission. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. All open grant opportunities are posted on www.grants.gov. Additional information and application kits may be requested by calling 240-453-8822 or writing to: Office of the Assistant Secretary for Health (OASH) Office of Grants Management, 1101 Wootton Parkway, Suite 550, Rockville, MD 20852

Award Procedure:

Accepted applications will be reviewed by a review panel that will make recommendations on the technical merit based on the published criteria. After considering the recommendations of the panel. The Deputy Assistant Secretary for Minority Health makes final decisions to approve, hold, or reject individual grants or contracts. Applicants are notified regarding the final decision on each application and are furnished with a Notice of Grant Award for all projects approved.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. About 60 days.

Appeals:

none.

Renewals:

> 180 Days. The cooperative agreement will have a 4-year project period with 12-month budget periods.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The cooperative agreement will have a 3-year project period with 12-month budget periods. Following the initial budget period, continued funding is subject to the availability of funds and satisfactory program performance. Payments will be made either on a monthly cash request basis or under a letter of credit. Necessary instructions regarding payment procedure will be provided at the time the notice of grant award is issued. Method of awarding/releasing assistance: by letter of credit.

Reports:

Annual Program reports are required. Cash Reports are due on a minimum basis of quarterly. Progress reports are required quarterly. Financial status reports are due at the end of each budget period. A final performance report is due 90 days following the end of the project period.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June

27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

HHS and the Comptroller General of the United States or any of their authorized representatives shall have the right of access to any books, documents, papers, or other records of a grantee, subgrantee, contractor, or subcontractor, which are pertinent to the HHS grant, in order to make audits, examinations, excerpts and transcripts. In accordance with 45 CFR Part 74.53 and 45 CFR Part 92, grantees are required to maintain grant accounting records 3 years after the end of a budget period. If any litigation, claim, negotiation, audit or other action involving the records has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0120-0-1-551.

Obligations:

(Cooperative Agreements) FY 12 \$3,263,547; FY 13 est \$3,063,511; and FY 14 Estimate Not Available

Range and Average of Financial Assistance:

\$100,000 to \$250,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 74 and 92. Specific program requirements are contained in the Federal Register Notice, the application instructions, and the HHS Grants Policy Statement.

Regional or Local Office:

None. Program Management Contact:
Office of Minority Health, Division of Program Operations,
1101 Wootton Parkway, Suite 600, Rockville, MD 20852. Telephone: (240) 453-8444.

Grants Management Contact:

Office of Grants Management,
1101 Wootton Parkway, Suite 550, Rockville, MD 20852, Telephone (240) 453-8822.

Headquarters Office:

Eric C. West, Tower Building Suite 550, 1101 Wootton Parkway, Rockville, Maryland 20852 Email: eric.west@hhs.gov Phone: (240) 453-8822.

Website Address:

<http://www.fcvp.org> .

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Complete review criteria are published in the Federal Register Notice or can be obtained from the Program contact. Listed below are some criteria used to review applications: (1) consistency of project's goals and objectives with the Office of Minority Health's mission; (2) coherence and feasibility of methodology and activities selected to address the problem as evidence in the proposed implementation plan; (3) strength of proposed grant organization's management capability; (4) adequacy of qualifications, experience and cultural competence of proposed personnel; and (5) strength of analysis of potential impact or innovation the project proposes to generate.

93.912 RURAL HEALTH CARE SERVICES OUTREACH, RURAL HEALTH NETWORK DEVELOPMENT AND SMALL HEALTH CARE

PROVIDER QUALITY IMPROVEMENT PROGRAM

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Section 330A (f) of the Public Health Service Act, 42 U.S.C. 254(c) (e) (f), as amended by section 201, P.L. 107-251 of the Health Care Safety Net Amendments of 2002.

OBJECTIVES:

To expand delivery of health care services in rural areas, for the planning and implementation of integrated health care networks in rural areas, and for planning and implementation of small health care provider quality improvement activities.

TYPES OF ASSISTANCE:

Project Grants; Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

All funds awarded are to be expended solely for carrying out the approved projects.

Applicant Eligibility:

Rural Health Care Services Outreach, Rural Health Network Development and Rural Health Network Development Planning Programs: Rural public or rural nonprofit private entities such as faith-based organizations, health departments, Tribal governments whose grant-funded activities will be conducted in a Federally-recognized Tribal area, organizations that serve migrant and seasonal farm- workers in rural areas etc. that include three or more health care providers that provide or support the delivery of health care services. The administrative headquarters of the organization must be located in a rural county or a rural zip code of an urban county. Rural Health Information Technology Workforce Program:

Rural public or rural nonprofit private entities such as faith-based organizations, health departments, Tribal governments whose grant-funded activities will be conducted in a Federally-recognized Tribal area, organizations that serve migrant and seasonal farmworkers in rural areas etc. that include three or more health care providers that provide or support the delivery of health care services. The administrative headquarters of the organization must be located in a rural county or a rural zip code of an urban county. Rural Health Information Technology Network Development Program: Rural public or nonprofit private entities that include three or more health care providers to support the delivery of health care services. The administrative headquarters of the organization must be located in a rural county or a rural zip code of an urban county. Small Health Care Provider Quality Improvement Program: This program is available to Rural public or rural nonprofit private entities that deliver health care services in rural areas. The organization must be located in a non-metropolitan county or in a rural census tract of a metropolitan county and all services must be provided in a non-metropolitan county or rural census tract. Delta States Rural Development Network Grant Program: rural, nonprofit or public entities that represent a consortium of three or more diverse organizations that deliver health care services in eligible rural Delta counties/parishes. To ascertain rural eligibility, please refer to <http://datawarehouse.hrsa.gov/RuralAdvisor/>. This website can be searched by eligibility by county and by address.

Beneficiary Eligibility:

Medically underserved populations in rural areas will receive expanded services in rural communities where they did not previously exist.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed

in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. HRSA requires all applicants to apply electronically through Grants.gov.

Award Procedure:

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 6-9 months.

Appeals:

Not Applicable.

Renewals:

Rural Health Care Services Outreach and Rural Health Network Development awards may be made for up to 3-year project periods. After initial awards, projects may be renewed non-competitively contingent upon approval of an application, availability of appropriated funds, and awardees satisfactory performance. Rural Health Information Technology Workforce Program awards may be made up for 3-year project periods. After initial awards, projects may be renewed non-competitively contingent upon approval of their application, availability of appropriated funds and awardee satisfactory performance. Rural Health Information Technology Network Program awards may be made up for 3-year project periods. After initial awards, projects may be renewed non-competitively contingent upon approval of their application, availability of appropriated funds and awardee satisfactory performance. This is a one-time only funding opportunity, the funding period ends FY2013 (August, 2013). Rural Health Network Planning Awards are available for one year project periods. The Small Health Care Provider Quality Improvement Program awards may be up to three year project periods. After initial awards, projects may be renewed non-competitively contingent upon approval of an application, availability of appropriated funds, and awardees satisfactory performance. The Delta States Rural Development Network awards may be up to three year project periods After initial awards, projects may be renewed non-competitively contingent upon availability approval of an application, availability of appropriated funds, and awardees satisfactory performance.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Awards are made annually and funds are available to grantees on an as needed basis throughout the budget period. See the following for information on how assistance is awarded/released: Grantee drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

No program reports are required. An electronic Federal Financial Report (FFR) is due to the PMS within 30 days of the end of each quarter. An FFR is due to HRSA within 90 days after the close of each budget period via Electronic HandBook (EHB) submission. A performance progress report is submitted with each non-competing continuation application. Grantees will be required to submit a Performance Improvement Measurement System (PIMS) report through the EHB once a year throughout their project period. A final Program report must be submitted to HRSA within 90 days after the close of the project period. No progress reports are required. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June

27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Project Grants) FY 12 \$55,658,000; FY 13 est \$55,552,807; and FY 14 est \$55,552,807

Range and Average of Financial Assistance:

A maximum of \$150,000 of funding for each grant year for the Rural Health Care Services Outreach Grant; A maximum of \$180,000 of funding for each grant year for the Rural Health Network Development Grant; A maximum of \$85,000 of funding for the Rural Health Network Development Planning Grant; A maximum of \$300,000 of funding for each grant year for the Rural Health Information Technology Workforce Grant; A maximum of \$300,000 of funding for each grant year for the Rural Health Information Technology Network Grant; A maximum of \$150,000 of funding for each grant year for the Small Health Care Provider Quality Improvement Grant; A maximum of \$510,000 for the Delta States Rural Development Network Grant.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable. HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices. Program Contacts: Ms. Kathryn Umali, Rural Health Care Services Outreach Grant Program Coordinator, Office of Rural Health Policy, Health Resources and Services Administration, Room 5A-05, Parklawn Building, 5600 Fishers Lane, Rockville, MD 20857. Telephone: (301) 443-0835. LT. Leticia Manning, Rural Health Network Development Grant Program Coordinator, Office of Rural Health Policy, Health Resources and Services Administration, Room 5A-05 Parklawn Building, 5600 Fishers Lane, Rockville, MD 20857. Telephone: (301) 443-0835. Ms. Marcia Colburn Green, Rural Health Network Development Grant Program Coordinator, Office of Rural Health Policy, Health Resources and Services Administration, Room 5A-05-Parklawn Building, 5600 Fishers Lane, Rockville, MD 20857. Telephone: (301) 443-0835. Ms. Linda Kwon, Rural Health Network Development Planning Grant Program, Office of Rural Health Policy, Health Resources and Services Administration, Room 5A-05 Parklawn Building, 5600 Fishers Lane, Rockville, MD 20857. Telephone: (301) 443-0835. Ms. Ann Ferrero, Small Health Care Provider Quality Improvement Grant Program Coordinator, Office of Rural Health Policy, Health Resources and Services Administration, Room 5A-05, Parklawn Building, 5600 Fishers Lane, Rockville, MD 20857. Telephone: (301) 443-0835. CAPT. Valerie Darden Delta States Rural Development Network Grant Program Coordinator, Office of Rural Health Policy, Health Resources and Services Administration, Room 5A-05, Parklawn Building, 5600 Fishers Lane, Rockville, MD 20857. Telephone: (301) 443-0835.

Headquarters Office:

Office of Rural Health Policy 5600 Fishers Lane, Room 5A-05, Rockville, Maryland 20857 Phone: (301) 443-0835

Website Address:

<http://www.hrsa.gov/ruralhealth/>

RELATED PROGRAMS:

93.224 Consolidated Health Centers (Community Health Centers, Migrant Health Centers, Health Care for the Homeless, Public Housing Primary Care, and School Based Health Centers)

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are based on the need of the project, innovation, realistic and measurable goals and objectives, clearly defined roles of each network member, strength of applicant's management plan, community involvement, level of local commitment, costs, and program evaluation plan. Specific criteria will be included in the guidance for each program.

93.913 GRANTS TO STATES FOR OPERATION OF OFFICES OF RURAL HEALTH

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Section 338J; 42 U.S.C. 254r, as amended by sec. 301 P.L. 105-392.

OBJECTIVES:

The purpose of the State Offices of Rural Health (SORH) grant program is to assist States in strengthening rural health care delivery systems by maintaining a focal point for rural health within each State. The program provides funding for an institutional framework that links small rural communities with State and Federal resources to help develop long-term solutions to rural health problems. Cooperative Agreement: The purpose of the State Rural Health Coordination and Development Cooperative Agreement (SRHCD-CA) is to enhance the rural health infrastructure in each State by providing guidance and technical assistance to State Offices of Rural Health (SORHs) as well as their partners and to identify and promote best practices. The goals of the SRHCD-CA are 1) to assist in the coordination of health care delivery through the development of State level rural health leadership; and 2) to facilitate partnerships and collaboration at the national and State levels to improve the exchange of information and engage in collaborative activities for supporting rural health.

TYPES OF ASSISTANCE:

Cooperative Agreements; Project Grants

USES AND USE RESTRICTIONS:

This is a matching grant program with States to support the establishment and ongoing operation of Offices of Rural Health. While a wide range of activities can be conducted to address unique State needs, each State Office of Rural Health must (1) Establish an information clearinghouse for collecting and disseminating information, (2) coordinate State and Federal rural health programs throughout the State for the purpose of avoiding duplication, and (3) provide technical assistance that will improve participation in State and Federal programs.

Applicant Eligibility:

Grants: All fifty States may apply. Each State may only submit one application. Cooperative Agreement: An organization that is national in scope with experience providing coordination and development to enhance the rural health infrastructure in each of the 50 States. Federally Recognized Indian Tribal Government and Native American Organizations are eligible to apply.

Beneficiary Eligibility:

Underserved populations in rural areas; facilities and services in rural areas.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. HRSA requires all applicants to apply electronically through Grants.gov.

Award Procedure:

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

6 months.

Appeals:

Not Applicable.

Renewals:

Grantees may request funding beyond an initial project period by submitting a competing continuation application during a regular competing application cycle. All competitive cycles are contingent upon availability of funds for such purposes.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: States must match not less than \$3 for each \$1 of Federal funds provided in the grant.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grant applications should be submitted for up to a 3-year project period. Awards are normally made in a lump sum for an entire 12-month budget period. Payments are made annually either through a letter of credit or cash demand system. See the following for information on how assistance is awarded/released: Grantee drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

No program reports are required. No cash reports are required. An electronic quarterly Federal Financial Report (FFR) is due to the Division of Payment Management within 30 days of the end of each quarter. A final FFR must be submitted to HRSA via the Electronic HandBooks (EHB) within 90 days of the end of each budget period. Expenditure reports are not applicable. Grantees are required to submit performance monitoring data within 60 days after the end of the budget period.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until

the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Cooperative Agreements) FY 12 \$500,000; FY 13 est \$475,000; and FY 14 est \$500,000. (Project Grants) FY 12 \$8,592,347; FY 13 est \$8,579,271; and FY 14 est \$8,789,987

Range and Average of Financial Assistance:

\$153,544 - \$172,737.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable. HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices. Program Contacts: Keith Midberry, Program Coordinator, State Office of Rural Health Grant Program, Office of Rural Health Policy, Health Resources and Services Administration, Public Health Service, Parklawn Building, Room 5A-05, 5600 Fishers Lane, Rockville, MD 20857. Telephone: (301) 443-2669; E-mail: kmidberry@hrsa.gov.

Headquarters Office:

The Office of Rural Health Policy 5600 Fishers Lane, Room 5A-05, Rockville, Maryland 20857 Phone: (301) 443-0835

Website Address:

www.hrsa.gov.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

(Grants) Grant applications will be evaluated on the basis of the following criteria: (1) The extent to which the application is responsive to the requirements and purposes of the program; (2) the extent to which the applicant has developed measurable goals, objectives, and an evaluation plan for the required, and any discretionary, activities; (3) the extent to which the Office is coordinated with, and has the cooperation of, other health entities and activities within the State; (4) the strength of the applicant's plans for administrative and financial management of the Office; (5) the reasonableness of the budget proposed for the Office; and (6) the likelihood that the Office will be continued after Federal grant support is completed.

(Cooperative Agreement) Applications will be reviewed and rated on the applicant's ability to address the following areas: (1) Developing and disseminating knowledge through the solicitation, review, selection, compilation, and circulation of manuscripts derived from relevant research conducted on rural health issues; (2) organizing, publicizing, and managing meetings to enhance the dissemination of information on rural health care issues, including minority rural health, rural health clinics, and recruitment and retention of health care professionals in rural areas; (3) providing technical and other nonfinancial assistance to State offices of rural health to increase their capability to serve rural residents; (4) developing a network to promote recruitment and retention of health professionals in rural areas; and (5) providing updated information to the public about rural health clinics.

93.914 HIV EMERGENCY RELIEF PROJECT GRANTS

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Title XXVI of the Public Health Service Act, Sections 2601-2610 (42 USC 300ff-11 300ff-20), as amended by the Ryan White HIV/AIDS Treatment Extension Act of 2009 (Public Law 11-87).

OBJECTIVES:

To provide direct financial assistance to Eligible Metropolitan Areas (EMAs) and Transitional Grant Areas (TGAs) that have been the most severely affected by the Human Immunodeficiency Virus (HIV) epidemic to enhance access to a comprehensive, effective and cost efficient continuum of high quality, community-based care for low-income individuals and families with HIV and to strengthen strategies to reach minority populations. A comprehensive continuum of care includes the 13 core medical services specified in legislation and appropriate support services that assist People Living With HIV/AIDS (PLWH/A) in accessing treatment for HIV/AIDS infection that is consistent with the Department of Health and Human Service (HHS) Treatment Guidelines. Comprehensive HIV/AIDS care beyond these core services may include supportive services that meet the criteria of enabling individuals and families living with HIV/AIDS to access and remain in primary medical care to improve their medical outcomes.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds received under a grant are to be used to fund not less than 75 percent core medical services and 25 percent support services. Core medical services include: outpatient and ambulatory health services, AIDS Drug Assistance Program, AIDS pharmaceutical assistance, oral health care, early intervention services, health insurance premium and cost-sharing for low-income individuals, home health care, medical nutritional therapy, hospice services, home and community-based health services as defined under Section 2614 (c), mental health services, substance abuse outpatient care, and medical case management, including treatment adherence services. Support services that are for individuals with HIV/AIDS to achieve medical outcomes (such as respite care for persons caring for individuals with HIV/AIDS, outreach services, medical transportation, linguistic services and referral for health care and support services). A percentage of the grant, determined by the percentage of women, infants, and children in the area with Acquired Immune Deficiency Syndrome, will be used to provide health and support services to infants, children, and women with HIV disease, including treatment measures to prevent the perinatal transmission of HIV. No more than 10 percent of amounts received under a grant may be used to fund the grantees grant administration and monitoring activities, program support activities and all activities associated with grantee contract award procedures. In addition, sub-grantee/provider level administrative expenses may not exceed 10 percent of aggregate expenditures (without regard to whether particular entities expend more than 10 percent for such administrative expenses). Grantees may use up to 5 percent of funds or \$3 million, whichever is less, for clinical quality management activities to assess the extent to which HIV health services provided to patients under the grant are consistent with the most recent Department of Health and Human Services guidelines for the treatment of HIV/AIDS. Funds may not be used to supplant or replace the resources of such institutional providers that are already devoted to the support of personnel providing HIV-related services. Funds may not be used to purchase or improve land, or to purchase, construct or make permanent improvement to any building except for minor remodeling. Funds may not be used to make payments to recipients of services.

Applicant Eligibility:

Part A Grantees that were classified as an EMA or as a TGA in fiscal year (FY) 2007 and continue to meet the statutory requirements are eligible to apply for these funds. For an EMA, this is more than 2,000 cases of AIDS reported and confirmed during the most recent 5 calendar years, and for a TGA, this is at least 1,000, but fewer than 2,000 cases of AIDS reported and confirmed during the most recent period of 5 calendar years for which such data are available. Additionally, they must not have fallen below, for three consecutive years, the required incidence levels already specified AND required prevalence levels (cumulative total of living cases of AIDS reported to and confirmed by the Director of the CDC as of December 31 of the most recent calendar year for which such data are available). For an EMA, this is 3,000 living cases of AIDS, and for a TGA, this is 1,500 living cases of AIDS. Eligible metropolitan

areas (EMA) with a population of 50,000 or more individuals for which the Centers for Disease Control and Prevention has reported a cumulative total of more than 2,000 HIV/AIDS cases for the most recent period of 5 calendar years period. EMAs include: Atlanta, Georgia; Baltimore, Maryland; Boston, Massachusetts; Chicago, Illinois; Dallas, Texas; Detroit, Michigan, Ft. Lauderdale, Florida; Houston, Texas; Los Angeles, California; Miami, Florida; New Haven, Connecticut; New Orleans, Louisiana; New York, New York; Newark, New Jersey; Orlando, Florida; Philadelphia, Pennsylvania; Phoenix, Arizona; San Diego, California; San Francisco, California; San Juan, Puerto Rico; Tampa-St. Petersburg, Florida; Washington, DC; and West Palm Beach, Florida. Transitional Grant areas (TGA) with a population of 50,000 or more individuals for which the Centers for Disease Control and Prevention has reported a cumulative total of at least 1,000, but not more than 1,999 HIV/AIDS cases for the more most recent five year period. TGAs include: Austin, Texas; Baton Rouge, Louisiana; Bergen-Passaic, NJ; Charlotte, North Carolina; Cleveland, Ohio; Denver, Colorado; Fort Worth, Texas; Hartford, Connecticut; Indianapolis, Indiana; Jacksonville, Florida; Jersey City, New Jersey; Kansas City, Missouri; Las Vegas, Nevada; Memphis, Tennessee; Middlesex, New Jersey; Minneapolis, Minnesota; Nassau/Suffolk Counties, New York; Nashville, Tennessee; New Haven, Connecticut; Norfolk, Virginia; Oakland, California; Orange County, California; Ponce, Puerto Rico; Portland, Oregon; Riverside-San Bernardino, California; Sacramento, California; St. Louis, Missouri; San Antonio, Texas; San Jose, California; and Seattle, Washington.

Beneficiary Eligibility:

Individuals and families with HIV disease will benefit.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. HRSA requires all applicants to apply electronically through Grants.gov.

Award Procedure:

Two-thirds of the funds available are disbursed on a formula basis as required by the legislation, subject to a completeness review of required information specified in the HRSA funding opportunity issued under this CFDA program. The remaining one-third of available funds are disbursed as a competitive, supplemental grant, based on criteria specified by the legislation. All qualified applications will be forwarded to an objective review committee. Based on the recommendations of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions. In addition, Minority AIDS Initiative (MAI) funds available under Section 2693 are disbursed on a formula basis together with the Formula and Supplemental awards as required by the legislation. Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

About 60 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Part A grants to EMAs and TGAs include formula and supplemental components as well as Minority AIDS Initiative (MAI) funds, which supports access to services targeting minority populations. Statutory Formula: The formula portion of the grant is determined by the number of living cases of HIV/AIDS in each eligible area as reported to and confirmed by the Centers for Disease Control and Prevention by December 31 for the most recent calendar year for which data is available. In FY 2013, Formula awards will be based ONLY on name-based data. Name-based reporting is defined as the number of living name-based cases of HIV/AIDS reported to the Centers for Disease Control and Prevention (CDC) as of December 31 of the most recent calendar year for which data is available. Supplemental grants are awarded competitively on the basis of demonstrated need and other criteria. MAI formula funds are based on the number of reported and confirmed living minority cases of HIV/AIDS for the most recent calendar year.

Matching requirements are not applicable to this program. This program has MOE requirements, see funding agency for further details. The Ryan White HIV/AIDS Program legislation requires Part A grantees to maintain, as a Condition of Award, political subdivision expenditures within the eligible area for HIV-related Core Medical Services and Support Services at a level equal to the 1-year period preceding the fiscal year for which the grantee is applying to receive a Part A grant.

Length and Time Phasing of Assistance:

Grants are awarded for a 12-month budget period. See the following for information on how assistance is awarded/released: Grantees drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

Program reports are not applicable. Quarterly financial cash transaction reports are required within 30 days of the end of each calendar quarter. Annual progress and annual financial reports are required. Annual reports are to be submitted within 90 days after the end of each budget period. The final performance report and final financial status reports are due 90 days from the end of the project period. Reports documenting services and expenditures are required. Grantees must report expenditures for WICY for the previous budget year within 150 days of the end of the grant year as mandated by the Ryan White HIV/AIDS Program. As a Condition of Award, the grantee is required to meet specific requirements regarding the monitoring of both their grant and their provider/sub-grantees as detailed in the National Monitoring Standards for Ryan White Grantees.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Project Grants) FY 12 \$637,502,999; FY 13 est \$594,339,261; and FY 14 est \$600,588,000

Range and Average of Financial Assistance:

For 2012.: Range = \$1,892,647 - \$120,489,151; Average = \$12,259,673.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable. HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Steven Young, Director 5600 Fishers Lane, Room 7-A55, Rockville, Maryland 20857 Phone: (301) 443-7136

Website Address:

<http://www.hrsa.gov>.

RELATED PROGRAMS:

93.917 HIV Care Formula Grants

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications for supplemental funds must meet nine criteria set forth in the enacting legislation: (1) Contain a report concerning the dissemination of emergency relief funds and the plan for utilization of such funds; (2) demonstrate need in such area for supplemental financial assistance to combat the HIV epidemic; (3) demonstrate the existing commitment of local resources of the area, both financial and in-kind, to combat the HIV epidemic; (4) demonstrate the ability of the area to utilize such supplemental financial resources in a manner that is immediately responsive and cost-effective; (5) demonstrate that resources will be allocated in accordance with the local demographic incidence of AIDS including appropriate allocations for services for infants, children, women, and families with HIV disease; (6) demonstrate the inclusiveness of affected communities and individuals with HIV disease; (7) demonstrate the manner in which proposed services are consistent with the local needs assessment and the statewide coordinated statement of need; (8) demonstrate the ability of the applicant to expend funds efficiently by not having had, for the most recent Part A formula grant year for which data is available, more than 5 percent of grant funds unobligated at the end of the year, even if a request for carryover was granted; and (9) demonstrate success in identifying individuals with HIV and AIDS, who are unaware of their HIV/AIDS status, and provides a description of the Strategy, Plan, and Data associated with the early identification of these individuals.

93.917 HIV CARE FORMULA GRANTS

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Title XXVI of the Public Health Service Act, Sections 2611-23, (42 U.S.C. 300ff-55? Or 21-31(b)), as amended by the, Ryan White HIV/AIDS Treatment Extension Act of 2009 (Public Law 111-87).

OBJECTIVES:

To enable States and Territories to improve the quality, availability, and organization of a comprehensive continuum of HIV/AIDS health care and support services for individuals and families living with Human Immunodeficiency Virus (HIV) disease.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Funds received under a grant are to be used to fund 75 percent core medical services and 25 percent support services. Core medical services include: outpatient and ambulatory health services, AIDS Drug Assistance Program, AIDS, pharmaceutical assistance, oral health care, health insurance premium, and cost-sharing for low-income individuals, home health care; home and community-based health services, hospice services, early intervention services, medical nutritional therapy, substance abuse outpatient care, mental health

services, and medical case management, including treatment and adherence services. Support services that are for individuals with HIV/AIDS to achieve medical outcomes (such as respite care for persons caring for individuals with HIV/AIDS, outreach services, medical transportation, linguistic services and referral for health care and support services). Funds may be used to establish and operate HIV Care Consortia within areas most affected by HIV disease that shall be designed to provide a comprehensive continuum of care to individuals and families with HIV disease; provide home and community-based care services for individuals with HIV disease; provide assistance to assure the continuity of health insurance coverage for individuals with HIV disease; and provide therapeutics to treat HIV disease to individuals with HIV disease. A State shall use a percentage of the grant, determined by the percentage of infants, children, and women with acquired immune deficiency syndrome in the State, to provide health and support services to infants, children, and women with HIV disease, including treatment measures to prevent the perinatal transmission of HIV. Funds may not be used to purchase or improve land, or to purchase, construct or make permanent improvement to any building except for minor remodeling. Funds may not be used to make payments to recipients of services. A State may not use more than 10 percent of amounts received under this grant for administration, planning and evaluation activities. In addition, sub-grantee/provider level administrative expenses may not exceed 10 percent of aggregate expenditures (without regard to whether particular entities expend more than 10 percent for such administrative expenses). Grantees may use up to 5 percent of funds or \$3 million, whichever is less, for clinical quality management activities to assess the extent to which HIV health services provided to patients under the grant are consistent with the most recent Department of Health and Human Services guidelines for the treatment of HIV/AIDS. If a State receives the minimum allotment, it may not use more than the amount required to support one full-time equivalent employee for these activities. Seventy-five (75) percent of grant funds must be obligated within 120 days of the budget period start date.

Applicant Eligibility:

All 50 States of the United States, and the District of Columbia and U.S. Territories (and possessions) of the United States, the Commonwealths of Puerto Rico, Commonwealth of the Northern Mariana Islands, the Virgin Islands, Guam, American Samoa and the Republic of the Marshall Islands, Federated States of Micronesia, the Republic of Palau.

Beneficiary Eligibility:

Individuals and families with HIV disease.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. HRSA requires all applicants to apply electronically through Grants.gov.

Award Procedure:

Part B funding is available through several forms: the formula grant (i.e., Part B base award, MAI and ADAP Earmark award for HIV/AIDS-related medications); the ADAP Supplemental award; and the Emerging Communities award. Funding is available through several forms: the formula grant funds (Part B Base awards, MAI and AIDS Drug Assistance Program (ADAP) earmark award for HIV/AIDS-related medications), ADAP Supplemental, and Emerging Communities funds are disbursed based on legislatively mandated formulas. Supplemental ADAP grants are awarded to states demonstrating severe need for medications. In addition, Minority AIDS Initiative (MAI) funds available under Section 2693 are disbursed on a formula basis together with the formula grant funds as required by the legislation. In a separate, competitive application process, Part B Supplemental funds are disbursed based on criteria specified by the legislation; and all qualified applications will be forwarded to

an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Other - Not Specified.

Appeals:

Not Applicable.

Renewals:

Yes.

Formula and Matching Requirements:

Statutory Formula: Part B formula/base, ADAP and Emerging Communities awards are based on the number of reported living cases of HIV/AIDS cases in the State or Territory in the most recent calendar year as confirmed by CDC submitted to HRSA. Similarly, for grantees applying for MAI formula funds, awards are based on the number of reported and confirmed living minority cases of HIV/AIDS for the most recent calendar year submitted to HRSA. The most recently completed calendar year ended December 31, 2011.

Supplemental ADAP grants are awarded to states demonstrating severe need for medications. In FY 2013, formula awards will be based ONLY on name-based data. Names-based reporting is defined as the number of living names-based cases of HIV/AIDS reported to CDC as of December 31 of the most recent calendar year for which data is available.

Matching Requirements: Varies.

This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

Grants are awarded for a 12-month budget period following issuance of the Notice of Award by HRSA. See the following for information on how assistance is awarded/released: Grantees drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

Please refer to funding opportunity and notice of award for any applicable program reports. No cash reports are required. The grantee must submit the annual Ryan White Services Report due the last Monday in March each year, covering the just-ended Jan. 1 - Dec. 31 calendar year. Grantees must also submit an Expenditures Report due 90 days after the end of the budget period (OMB 0915-0318). Grantees must submit a Federal Financial Report (FFR) or SF 245 within 90 days after the end of the budget period. A final financial report and final performance report must be submitted 90 days after the end of the project period.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Formula Grants) FY 12 \$1,217,889,719; FY 13 est \$1,148,789,581; and FY 14

est \$1,380,000 - Awards for the Part B program (CFDA 93.917) provided in the above total do not include the ADAP ERF.

Range and Average of Financial Assistance:

\$51,250 to \$162,141,000 (Does not include ADAP ERF).

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable. HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Harold Phillips 5600 Fishers Lane, Room 7A-21, Parklawn Building, Rockville, Maryland 20857 Phone: (301) 443- 6745

Website Address:

<http://www.hrsa.gov>.

RELATED PROGRAMS:

93.914 HIV Emergency Relief Project Grants

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Grants will be awarded to applicants that submit: (1) An acceptable detailed description of the HIV-related services provided in the State to individuals and families with HIV disease during the year preceding the year for which the grant is requested, and the number of individuals and families receiving such services; (2) a comprehensive plan for the organization and delivery of HIV health care and support services to be funded with assistance received under this part that shall include a description of the purposes for which the State intends to use such assistance; (3) an assurance that the public health agency administering the grant for the State will periodically convene a meeting of individuals with HIV, representatives of grantees receiving both HIV Emergency Relief and HIV CARE Grants, providers, and public agency representatives to develop a statewide coordinated statement of need; and (4) an assurance by the State that: (A) the public health agency that is administering the grant for the State will conduct public hearings concerning the proposed use and distribution of the assistance to be received; and (B) the State will (a) to the maximum extent practicable, ensure that HIV-related health care and support services delivered pursuant to a program established with assistance provided under this part will be provided without regard to the ability of the individual to pay for such services and without regard to the current or past health condition of the individual with HIV disease; (b) ensure that such services will be provided in a setting that is accessible to low-income individuals with HIV disease; (c) provide outreach to low-income individuals with HIV disease to inform such individuals of the services available; (d) for continuum of health insurance coverage, submit a plan to the Secretary that demonstrates that the State has established a program that assures that (d.1) such amounts will be targeted to individuals who would not otherwise be able to afford health insurance coverage; and (2) income, asset, and medical expense criteria will be established and applied by the State to identify those individuals who qualify for assistance under such program, and information concerning such criteria shall be made available to the public; (e) provide for periodic independent peer review to assess that quality and appropriateness of health and support services provided by entities that receive funds from the State; (f) permit and cooperate with any Federal investigations undertaken regarding programs; (g) maintain HIV-related activities at a level that is equal to not less than the level of such expenditures by the State for the 1-year period preceding the fiscal year for which the State is applying to receive a grant; and (h) ensure that grant funds are not utilized to make payments for any item or service to the extent that payment has been made.

93.918 GRANTS TO PROVIDE OUTPATIENT EARLY INTERVENTION SERVICES WITH RESPECT TO HIV DISEASE

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Title XXVI of the Public Health Service Act, Sections 2651-2667 and 2693 et seq. (42 USC 300ff -51), as amended by the Ryan White HIV/AIDS Treatment Extension Act of 2009 (P.L. 111-87).

OBJECTIVES:

The Early Intervention Services grant is to provide a comprehensive continuum of HIV primary care in the outpatient setting. This includes: 1) HIV counseling, testing and referral; 2) medical evaluation and clinical care; 3) other primary care services; and 4) referrals to other health services. The capacity development grant is used to enhance, or expand access to high quality primary health care services to persons living with HIV or who are at risk of infection in underserved or rural communities. This program is not intended to support long term activities.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Applications should be designed to improve the availability, accessibility and organization of ambulatory health services to persons infected with HIV or who are at high risk. Funds may not be used for acquiring property, used for inpatient or residential care, and no more than 10 percent of the Federal funds may be used for administrative costs.

Applicant Eligibility:

Eligible applicants are public and private nonprofit entities that are: federally qualified health centers under Section 1905(1)(2)(B) of the Social Security Act; grantees under Section 1001 (regarding family planning) other than States; comprehensive hemophilia diagnostic and treatment centers; rural health clinics; State and local governments, Indian Tribes or tribal organizations with or without Federal recognition; community based organizations, clinics, hospitals and other health facilities that provide early intervention services to those persons infected with HIV/AIDS through intravenous drug use; or nonprofit private entities that provide comprehensive primary care services to populations at-risk of HIV/AIDS, including faith-based and community-based organizations. Capacity development grants require that applicants be public or private non-profit organizations that are or intend to become HIV Primary Care Providers.

Beneficiary Eligibility:

Persons infected with HIV or who are at high risk of HIV infection.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. HRSA requires all applicants to apply electronically through Grants.gov.

Award Procedure:

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program has MOE requirements, see funding agency for further details. This program has no statutory formula for the amount of the grant. The amount is negotiated based on costs of the proposed grant activities. Grantees are not required to match Federal funds under the grant; however, the level of nonfederal expenditures for early intervention services must be maintained at the level for the year prior to the grant award.

Length and Time Phasing of Assistance:

Early Intervention Services grants may be made for up to 3-year project periods. Continued support, beyond the first year, is contingent upon satisfactory performance and the availability of Federal funds. Capacity development grants may be funded at up to \$150,000 for up to 3 years. See the following for information on how assistance is awarded/released: Grantees drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

The grantee must submit the annual Ryan White Services Report due the last Monday in March each year, covering the just-ended Jan. 1 - Dec. 31 calendar year. Grantees must also submit an Allocations Report, 60 days after the start of the budget period, and an Expenditures Report due 90 days after the end of the budget period (OMB 0915-0318). No cash reports are required. Grantees must submit a Federal Financial Report or SF 245 within 90 days after the end of the budget period. Additionally, each funded program must submit a budget period renewal progress report each year prior to the end of the project period. A final financial report and final performance report must be submitted 90 days after the end of the project period. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Project Grants) FY 12 \$198,500,866; FY 13 est \$175,353,000; and FY 14 est \$175,500,000 - Early Intervention Services. (Project Grants) FY 12 \$1,194,050; FY 13 est \$6,000,000; and FY 14 est \$1,000,000 - Capacity Development.

Range and Average of Financial Assistance:

\$65,000 to \$1,267,534; \$479,220.

Capacity Development grants are limited to \$100,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable. HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Polly Ross 5600 Fishers Lane, Room 9-74, Rockville, Maryland 20857 Phone: (301) 443-7602

Website Address:

www.hrsa.gov.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Evaluations will be based on (1) Applicant's assessment of community need for additional preventive and primary care services to those with, and at risk for, HIV infection; (2) applicant's ability to describe its role in addressing unmet needs; (3) appropriateness of the proposed budget; (4) comprehensiveness of existing and proposed services; (5) collaboration with other local city, county, State HIV-prevention and treatment activities; and (6) adequacy and completeness of applicant's program evaluation plan.

93.919 COOPERATIVE AGREEMENTS FOR STATE-BASED COMPREHENSIVE BREAST AND CERVICAL CANCER EARLY DETECTION PROGRAMS

National Breast and Cervical Cancer Early Detection Program (NBCCEDP)

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Breast and Cervical Cancer Mortality Prevention Act of 1990, Section 301(a), Section 317(k)(3), and Section 1501, Public Law 101-354; 42 U.S.C.241a, 42 U.S.C. 247b(k)(3), and 42 U.S.C. 300K; Public Law 103-183, Public Law 101-354, 42 U.S.C 241,247,300.

OBJECTIVES:

To work with official State and territorial health agencies or their designees, and tribal health agencies in developing comprehensive breast and cervical cancer early detection programs. To the extent possible, increase screening and follow-up among all groups of women in the State, tribe or territory, with special to reach those women who are of low income, uninsured, underinsured and minority, and Native Americans.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Cooperative agreements funds may be used to assure screening of women for breast and cervical cancer as an early detection preventive measure; assure appropriate referrals for follow-up services for women with abnormal screening tests and routine rescreening; develop and disseminate public education and outreach programs for the early detection and control of breast and cervical cancers; improve the education, training and skills of health professionals (including allied health professionals) in the early detection and control of breast and cervical cancers; establish mechanisms through which the States, tribes and territories can monitor the quality of breast and cervical cancer screening procedures in the State, including the interpretation of such

procedures; and evaluate program activities through appropriate surveillance and monitoring. Cooperative agreement funds may not be expended for screening and follow-up services to the extent that payment has been made, or can reasonably be expected to be made, with respect to such items or services: (1) under any State compensation program, under any insurance policy or under any Federal or State health benefits program; or (2) by any entity that provides health services on a prepaid basis. Cooperative agreement funds shall not be used for treatment or treatment services. States, tribes and territories are required to make available nonfederal contributions in cash or in-kind toward such cost in an amount equal to not less than \$1 for each \$3 of Federal funds provided. Such contributions may be made directly or through donations from public or private entities. The payment for treatment services or the donation of treatment service may not be used for nonfederal contributions. States, tribes and territories may include only nonfederal contributions in excess of the average amount of nonfederal contributions made by the State, tribe or territory for the two year period preceding the first fiscal year for which the State, tribe or territory is applying to receive a cooperative agreement for a comprehensive breast and cervical cancer early detection program. In making a determination of the amount of nonfederal contributions for purposes of matching fund requirements, applicants may include any nonfederal amounts expended pursuant to Title XIX of the Social Security Act for the purpose of screening and follow-up for women at-risk for breast and cervical cancers. Can not be used for treatment.

Applicant Eligibility:

Eligible applicants are the official State health agencies of the United States, the District of Columbia, the Commonwealth of Puerto Rico, the Virgin Islands, Guam, the Northern Mariana Islands, the Federated States of Micronesia, the Republic of the Marshall Islands, American Samoa, American Indian and Alaska Native tribes and tribal organizations as defined in Section 4 of the Indian Self-Determination and Education Assistance Act.

Beneficiary Eligibility:

Official State and Territorial health agencies, women especially low-income women.

Credentials/Documentation:

Applicants should document the need for assistance, state the objectives of the project, outline the method of operation, describe evaluation procedures, identify and describe nonfederal contributions, and provide a budget with justification for funds requested. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Information on the submission of applications may be obtained from Ms. Shicann Phillips, Grants Management Specialist, in the Grants Management Branch, Procurement and Grants Office, Centers for Disease Control and Prevention, Atlanta, Georgia 30341. Telephone: (770) 488-2615. This program is subject to the provisions of 45 CFR 92 for State and local governments. The standard application forms, as furnished by PHS and required by 45 CFR 92 must be used for this program.

Award Procedure:

In the competitive year one and after objective review and approval, a notice of award is prepared and processed, along with appropriate notification to the public. For non-competitive continuation years, after review and approval on the Interim Progress Report (IPR), a notice of award is prepared and processed, along with appropriate notification to the public.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. From 3 to 4 months.

Appeals:

None.

Renewals:

Information on renewals may be obtained from Shicann Phillips, Grants Management Specialist, Grants Management Branch, Procurement and Grants Office, Centers for Disease Control and Prevention, Atlanta, GA 30341; Telephone: (770) 488-2615.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Percent: 35.%. Public Law 101-354 requires States, tribes and territories to make available nonfederal contributions (cash or in-kind) toward such costs in an amount equal to not less than \$1 of matching for every \$3 of Federal dollars provided in this cooperative agreement. Such contributions may be made directly or through donations from public or private entities. Payment for treatment services or the donation of treatment services may not be used for nonfederal contributions. States, tribes and territories may include only nonfederal contribution in excess of the average amount of nonfederal contributions made by the State, tribe territory for the two year period preceding the first fiscal year for which the State, tribe or territory is applying to receive a cooperative agreement for a comprehensive Breast and cervical cancer early detection program.

This program has MOE requirements, see funding agency for further details. The average amount of non-Federal contributions toward breast and cervical cancer programs and activities for the two year period proceeding the first Federal fiscal year of funding for NBCCEDP is referred to as Maintenance of Effort (MOE). Only those non-Federal contributions in excess of the MOE amount may be considered matching funds. Supplanting, or replacing, existing program efforts currently paid with Federal or non-Federal sources is not allowable.

Length and Time Phasing of Assistance:

From 1 to 5 years. Budget period is 12 months. Assistance is awarded through the Payment Management System (PMS). Method of awarding/releasing assistance: lump sum.

Reports:

Interim Progress Reports (IPR) are due on or about January 30 of each year and Annual Progress Report are due 90 days after the end of the budget period. Grantees must address fiscal progress in the IPR & Annual Reports. Financial status reports (FSRs) are due 90 days after the end of the budget period. Interim Progress Reports (IPR) are due on or about January 30 of each year and Annual Progress Report are due 90 days after the end of the budget period. Final performance and FSRs are due no more than 90 days after the end of the project period. Final performance and FSRs are due no more than 90 days after the end of the project period.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the cooperative agreement program shall be retained for a minimum of three years, or until completion and resolution of any audit in process or pending resolution. In all cases, records must be retained in accordance with PHS Grants Policy Statement requirements.

Account Identification:

75-0943-0-1-550.

Obligations:

(Cooperative Agreements) FY 12 \$157,791,772; FY 13 est \$151,441,018; and FY 14 est \$151,441,018

Range and Average of Financial Assistance:

\$242,796 to \$8,693,584; \$2,320,696.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: In fiscal 2012, the Centers for Disease Control and Prevention (CDC) entered into the 22nd year of the National Breast and Cervical Cancer early Detection Program (NBCCEDP). This landmark Program brings critical breast and cervical cancer screening services to underserved women, including older women, women with low income, and women of racial and ethnic minority groups. CDC plans to continue support of programs in all 50 states, US territories, the District of Columbia, and Tribes/Tribal Organizations. Fiscal Year 2013: In fiscal 2013, the Centers for Disease Control and Prevention (CDC) will enter into the 23rd year of the National Breast and Cervical Cancer early Detection Program (NBCCEDP). This landmark Program brings critical breast and cervical cancer screening services to underserved women, including older women, women with low income, and women of racial and ethnic minority groups. CDC plans to continue support of programs in all 50 states, US territories, the District of Columbia, and Tribes/Tribal Organizations. Fiscal Year 2014: In fiscal 2014, the Centers for Disease Control and Prevention (CDC) will enter into the 24th year of the National Breast and Cervical Cancer early Detection Program (NBCCEDP). This landmark Program brings critical breast and cervical cancer screening services to underserved women, including older women, women with low income, and women of racial and ethnic minority groups. CDC plans to continue support of programs in all 50 states, US territories, the District of Columbia, and Tribes/Tribal Organizations.

REGULATIONS, GUIDELINES, AND LITERATURE:

There are no regulations, but guidelines are available. PHS Grants Policy Statement, DHHS Publication No. (OASH) 94-50,000, applies to cooperative agreements. Public Law 101-354 (August 10, 1994) places specific requirements on monies from this law which are to be used for funding State-based breast and cervical cancer early detection programs.

Regional or Local Office:

None.

Headquarters Office:

Faye L Wong, 4770 Buford Highway, Mailstop K57, Atlanta, Georgia 30341
Email: FWong@cdc.gov Phone: (770) 488-4880 Fax: (770) 488-3230.

Website Address:

<http://www.cdc.gov/cancer>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: At this time, it is assumed that grantees will continue to provide screening and follow-up for low income women, State health agencies will incorporate into their health care system: (1) Public Education: (a) population targeted for screening and follow-up services; (b) for women (other than low income) requiring periodic screening and follow up services; (2) Professional Education: (a) practitioners providing screening and follow-up services for targeted low income women; (b) for all practitioners who will provide or refer women (other than low income) for required periodic screening and follow-up services (3) quality assurance: (a) mammography; (b) cervical cytology; (4) surveillance: (a) breast and cervical cancer incidence registry; (b) tracking and follow-up system; (5) evaluation: (a) implementation of all program components; (b) effectiveness of all program components; and (6) breast and cervical cancer control plan and coalition. Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

(1) Extent of disease burden and need; (2) feasibility and appropriateness of operational plan to meet the purpose of the cooperative agreement; (3) the extent of collaboration and community involvement; (4) the extent to which the applicant appears likely to succeed in implementing proposed objectives; (5) the appropriateness of nonfederal contributions; and (6) the extent to which the budget is reasonable, consistent with the intended use of cooperative agreement

funds, and includes evidence of the State's commitment to the program application of financial and/or in-kind contributions from nonfederal sources to activities of the proposed program.

93.923 DISADVANTAGED HEALTH PROFESSIONS FACULTY LOAN REPAYMENT (FLRP) AND MINORITY FACULTY FELLOWSHIP PROGRAM (MFFP)

FLRP/MFF

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Title VII, Section 738(b) of the Public Health Service Act (42 U.S.C. 738 [293b]), as amended by P.L. 105-392.

OBJECTIVES:

The purpose of the Minority Faculty Fellowship Program is to assist eligible entities in increasing the number of under-represented minority faculty at health professions schools. The applicant school shall demonstrate its ability to: (1) identify, recruit, and select under-represented minority individuals who have potential for teaching, administration, or conducting research at health professions schools; (2) provide such individuals with the skills necessary to enable them to: (a) secure a tenured faculty position, or its equivalent, according to the policies of the school; (b) learn pedagogical skills and program administration; (c) design and conduct research; (d) write grants; and (e) prepare articles suitable for publication in peer reviewed journals; (3) provide services designed to assist such individuals in their preparation for an academic career, including provision of counselors; and (4) enable the fellow to provide health services to rural and/or medically underserved populations.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE; PROJECT GRANTS

USES AND USE RESTRICTIONS:

Faculty Loan Repayment Program (FLRP) - Funds will be used to repay qualifying health professions educational loans consisting of principal and interest on loans incurred by the applicant while enrolled in a school in return for serving a minimum of least 2 years as a full-time or part-time faculty member at one of the following accredited health profession schools: allopathic, osteopathic, podiatric or veterinary medicine; dentistry, pharmacy, optometry, nursing (RN or Advanced Practice RN), physician assistants, allied health or graduate programs in public health or behavioral and mental health.

Minority Faculty Fellowship Program (MFFP) - To be able to receive an MFFP grant under section 738 (b) of the Public Health Service Act, an entity should provide an assurance in the application that each fellowship awarded will include: (1) a stipend in an amount not exceeding 50 percent of the regular salary of a faculty member not to exceed 3 years of training; and (2) an allowance for other expenses such as travel to professional meetings and costs related to specialized training.

Applicant Eligibility:

FLRP - Individuals who are from disadvantaged backgrounds who: (1) are U.S. citizens (either U.S. born or naturalized), U.S. nationals or Lawful Permanent Residents; (2) have a degree or certificate in one of the following health profession disciplines: allopathic, osteopathic, podiatric or veterinary medicine; dentistry, pharmacy, optometry, nursing (RN or Advanced Practice RN), physician assistants, allied health, or graduate programs in public health or behavioral and mental health; or are enrolled in an approved graduate training program in one of the health professions listed above, or are enrolled as full-time students (in the disciplines listed above) at an accredited health professions institution and are in the final course of study or program leading to a degree from that institution; (3) have a minimum 2-year employment commitment from an eligible health professions school for a full-time or part-time faculty position; (4) have a written agreement with the eligible health professions school for equivalent loan repayment; and (5) be currently repaying qualifying educational loans. MFFP - Eligible applicants are accredited health professions schools of allopathic and osteopathic medicine, nursing, dentistry,

veterinary medicine, optometry, allied health, public health, podiatric medicine, pharmacy, and schools offering graduate programs in behavioral and mental health. To be eligible to receive the grant the applicant shall: (1) provide assurance that such applicant will make available (directly through cash donations) \$1 for every \$1 of Federal funds received under section 738 of the Public Health Service Act; (2) provide an assurance that institutional support will be provided for the individual for the second and third year at a level that is equal to the total amount of institutional funds provided in the year in which the grant or contract was awarded; (3) provide an assurance that the individual that will receive the fellowship will be a member of the faculty of the applicant school; and (4) provide an assurance that the individual that will receive the fellowship will have, at a minimum, appropriate advanced preparation (such as a master's or doctoral degree) and special skills necessary to enable such individuals to teach and practice.

Beneficiary Eligibility:

FLRP - See above MFFP - Fellowship recipients must meet the following conditions: (1) must fall under the definition of underrepresented minority individuals' (URM). URM is defined as racial and ethnic populations who are under-represented in the designated health profession discipline relative to the number of individuals who are members of the population involved. This definition would include Black or African American, American Indian or Alaska Native, Native Hawaiian or Other Pacific Islander, Hispanic or Latino, and any Asian other than Chinese, Filipino, Japanese, Korean, Asian Indian or Thai; and (2) must be a U.S. citizen, non-citizen national, or foreign national who possesses a visa permitting permanent residence in the United States, except in the case of programs specifically designed for support of foreign nationals. Individuals on temporary or student visas are not eligible to receive PHS fellowship or training grant support.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable for FLRP. Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. HRSA requires all applicants to apply electronically through Grants.gov.

Award Procedure:

FLRP - FLRP awards are based on a percentage of the eligible applicant's outstanding balance of qualifying educational loans (the maximum amount is \$40,000 for 2 years). Payments are made to individuals via electronic direct deposit. MFFP - Based upon the availability of funds, Notice of Grant awards are issued to the eligible schools which in turn distribute the awards to the fellow(s).

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions. Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Three to six months following receipt of applications.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Percent: 50%. Percent: 50% FLRP - The employing institution is required for each year of service to make payments in an amount equal to the amount of principal and interest payments made by the Secretary. These payments must be in addition to the recipients salary the individuals otherwise would receive. However, the Secretary may waive this requirement if it is determined that it would impose an undue financial hardship on the institution involved. A consideration for waiver will be based on required documentation submitted by the institution which must include financial statements to support hardship.

MFFP: Applicants must: (1) provide assurance that such applicant will make available (directly through cash donations) \$1 for every \$1 of Federal funds received under section 738 of the Public Health Service Act; (2) provide an assurance that institutional support will be provided for the individual for the second and third year at a level that is equal to the total amount of institutional funds provided in the year in which the grant or contract was awarded; (3) provide an assurance that the individual who will receive the fellowship will be a member of the faculty of the applicant school; and (4) provide an assurance that the individual who will receive the fellowship will have, at a minimum, appropriate advanced preparation (such as a master's or doctoral degree) and special skills necessary to enable such individuals to teach and practice. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

FLRP - Assistance is available for 2 years during the time specified in the contract. Payments are made to individuals via electronic direct deposit. MFFP support is limited to a 3-year project period. See the following for information on how assistance is awarded/released: Grantees drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards. FLRP - Awards are based on a percentage of the eligible applicant's total debt. Payments are made to individuals via electronic direct deposit.

Reports:

FLRP recipients are required to annually provide documentation demonstrating that funds were used to repay educational loans. No cash reports are required. Progress reports are not applicable. No expenditure reports are required. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

MFFP Grantees are required to maintain grant accounting records 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Direct Payments for Specified Use) FY 12 \$1,149,706; FY 13 est \$1,264,000; and FY 14 est \$1,264,000 - FLRP. (Project Grants) FY 12 \$0; FY 13 est \$0; and FY 14 est \$0 - MFFP.

Range and Average of Financial Assistance:

FLRP - Range for FY12 \$20,151 to \$59,853; Average: \$57,485. One MFFP award was made in FY 2010 for \$56,825. No awards were made in FY 2011 or FY 2012. It is estimated that there will be no awards in FY 2013.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable. HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices. Faculty Loan Repayment Program, Division of Nursing and Public Health, Bureau of Clinician Recruitment and Service (BCRS), Health Resources and Services Administration, 5600 Fishers Lane, Room 8-37, Rockville, MD 20857. Telephone: (301) 594-4130.

Violet Woo, 5600 Fishers Lane, Room 8-37, Rockville, Maryland 20857
Phone: (301) 443-8032.

Headquarters Office:

FLRP: Bureau of Clinician Recruitment and Service (BCRS), Health Resources and Services Administration 5600 Fishers Lane, Room 8-37, Rockville, Maryland 20857 Phone: (301) 594-4130.

Website Address:

<http://www.hrsa.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

FLRP - The applicant will be rated on the extent to which the individual meets the intent of the program to expand disadvantaged representation in health professions faculty positions. MFFP:

Overview: The health professions school should provide information that adequately documents the need and data relative to the school's experience and ability to identify, recruit, select and train underrepresented minority faculty. In addition to teaching, acquiring administrative skills and conducting research, the fellow must also provide health care services, especially as a means of meeting community needs for reducing barriers to health care services to rural or underserved populations. The application should also include a discussion of how the development of underrepresented minority faculty, through use of the fellowship program, will enhance the participant's academic career potential and community service abilities. Review Criteria are used to review and rank applications. The Minority Faculty Fellowship Program has 6 review criteria:

(1) Need: This includes the extent to which the application describes the problem (e.g. lack of Minority Faculty), and associated factors contributing to this problem. (2) Response: (a) Faculty Recruitment: This includes the extent to which the school demonstrates that it has the commitment and ability to identify, recruit, and select underrepresented minority individuals who have the potential for teaching, administration, and/or conducting research at a health professions institution; and (b) Faculty Development: This includes the extent to which the schools faculty training program will provide the fellow with the preparation, training, and skills needed to secure a tenured faculty position. The Faculty Development Plan should include the provision of counselors and protected time for research and faculty development. Training may include: pedagogical skills, including teaching experience; program administration; grant writing; research methods and design; and preparation of articles suitable for publication in peer reviewed journals. (3) Impact: Faculty Training in Providing Health Service - This includes the extent to which the Fellow is available to provide health services to rural or medically underserved populations. (4) Resources/Capabilities: This includes the extent to which project personnel are qualified by training and/or experience to implement and carry out projects. This includes the capabilities of the applicant organization; and the quality and availability of facilities and personnel to fulfill the needs and requirements of the proposed project. (5) Support Requested: This includes the extent to which the overall budget, including non-trainee expenses, is cost effective, reasonable, and consistent with the stated objectives and proposed activities. Expenditures are well justified and in-kind contributions are documented. (6) Evaluative Measures: This includes the methodology that will be used to monitor and evaluate the project results. Evaluative measures must

be able to assess to what extent the program objectives have been met.

93.924 RYAN WHITE HIV/AIDS DENTAL REIMBURSEMENT AND COMMUNITY BASED DENTAL PARTNERSHIP GRANTS

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Title XXVI of the Public Health Service Act, Section 2692(b) (42 U.S.C. 300ff-111) as amended the Ryan White HIV/AIDS Treatment Extension Act of 2009 (P.L. 111-87).

OBJECTIVES:

Dental Reimbursement Program partially compensates dental schools, postdoctoral dental education programs, and dental hygiene education programs for unreimbursed costs they have incurred in providing oral health services to persons living with HIV/AIDS. Reimbursement will be made for documenting the number of HIV patients served and the unreimbursed oral health costs incurred by each institution as compared with the total number of patients served and costs incurred by all eligible applicants. The purpose of the Dental Reimbursement program is to reduce the unmet oral health care needs of persons living with HIV and provide clinical training for dental and hygiene students and dental residents.

Community Based Dental Partnership (CBDP) grants aim to increase access to oral health care services for persons living with HIV, while at the same time providing education and clinical training for dental and hygiene students and residents and other dental providers in community-based settings. This is achieved through multi-partner collaborations between dental and dental hygiene education programs and community-based dentist and dental clinics, marked by shared expertise and resources.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Dental Reimbursement: Funds are restricted to dental schools, postdoctoral dental education programs, and dental hygiene education programs which submit an application documenting unreimbursed costs of oral health care provided to patients with HIV/AIDS.

CBDP Grants: Limited to dental schools, postdoctoral dental education programs, and dental hygiene education programs.

Applicant Eligibility:

Applicants are limited to dental schools and programs, that were described in 777(b)(4)(B) Public Health Service Act as such section was in effect on the day before the date of the enactment of the Health Professions Partnerships Education Act of 1998 (Public Law 105-392) [postdoctoral dental education program means a program sponsored by a school of dentistry, a hospital, or a public or private institution that offers postdoctoral training in the specialties of dentistry, advanced education in general dentistry, or a dental general practice residency]. In addition, dental hygiene programs that are accredited by the Commission on Dental Accreditation are also eligible.

Applicants must be located in the 50 United States, the District of Columbia, the Commonwealth of Puerto Rico, the Commonwealth of the Northern Mariana Islands, the Virgin Islands, Guam, American Samoa or the Federated States of Micronesia, the Republic of the Marshall Islands and the Republic of Palau.

Beneficiary Eligibility:

Persons living with HIV/AIDS infection.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. Applicants for Dental Reimbursement program and grantees for the Community Based Dental Partnership Program must be accredited by the Commission on Dental Accreditation. OMB Circular No.

A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. HRSA requires all applicants to apply electronically through Grants.gov.

Award Procedure:

Dental Reimbursement Program: All qualified applicants will receive funds utilizing a formula type process dividing the unreimbursed costs for each applicant by the total unreimbursed cost across all applications, then multiplying by the amount available for the Dental Reimbursement Program.

Community Based Dental Partnership Program: All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions. Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Reimbursement of uncompensated costs incurred in the prior year. Community-Based Dental Partnership grants may be made for up to three-year project periods. Continued support beyond the first year is contingent upon satisfactory performance and the availability of Federal funds. See the following for information on how assistance is awarded/released: Grantees draw down funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

No reports are required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Community Based Dental Partnership Program: Grantees are required to maintain grant accounting records 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Project Grants (with Formula Distribution)) FY 12 \$3,790,119; FY 13 est \$3,790,119; and FY 14 est \$3,790,000 - Community Based Dental Partnership Program. (Project Grants (with Formula Distribution)) FY 12 \$9,012,578; FY 13 est \$9,012,578; and FY 14 est \$9,012,578 - Dental Reimbursement Program (Formula Distribution).

Range and Average of Financial Assistance:

Dental Reimbursement: \$288 to \$859,828; \$160,939.
Community-Based Dental Partnership grants: \$234,747 to \$389,948; \$315,843.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Mahyar Mofidi 5600 Fishers Lane, Room 17A-29, Rockville, Maryland 20857
Phone: (301) 443-2075

Website Address:

www.hrsa.gov.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Those dental schools, postdoctoral dental education programs and dental hygiene education programs, that submit applications documenting unreimbursed costs of oral health care provided to patients with HIV/AIDS and show evidence of efforts to establish working relations with HIV care consortia and planning councils, AIDS Education and Training Centers, Parts A, B, C and D, Community-Based Dental Partnership, and Special Projects of National Significance programs authorized under Public Law 109-415. Applicants for the Community Based Dental Partnership are reviewed by an objective review committee based on the following criteria: Need, Response, Evaluative Measures, Impact, Resources/Capabilities, and Support Requested.

93.925 SCHOLARSHIPS FOR HEALTH PROFESSIONS STUDENTS FROM DISADVANTAGED BACKGROUNDS

Scholarships for Disadvantaged Students (SDS)

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Title VII, Section 737 of the Public Health Service Act (42 U.S.C. 293a), as amended by the Patient Protection and Affordable Care Act (P.L. 111-148).

OBJECTIVES:

Promotes service in primary care and in medically underserved communities and diversity among health professions students and practitioners by providing grants to eligible health professions and nursing schools for use in awarding scholarships. Eligible health professions and nursing schools apply for funds to provide scholarships to students from disadvantaged and underrepresented racial and ethnic backgrounds who have financial need for scholarships and are enrolled, or accepted for enrollment, as full-time students at the schools. Schools provide student scholarships and disadvantaged student recruitment and retention programs that encourage completion of school and service in primary care and in medically underserved communities. The purpose is to provide entrance into a career as a health professional to help diversify the

health workforce and encourage service in primary care and in medically underserved communities.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

SDS funds awarded to schools must be used to award full or partial scholarships to eligible students enrolled in health professions programs on a full-time basis. Scholarships may only be expended for tuition expenses, other reasonable educational expenses, and reasonable living expenses incurred while in attendance for the year. Student scholarships must be at least 50% of the students annual tuition cost for tuition \$30,000 or less, but the SDS award must be \$15,000 for students whose tuitions are over \$30,000 per year. No student can be awarded over \$15,000 in SDS funds per year. The Secretary is required to make available at least 16 percent of the funds to schools that will provide scholarships only for nursing students. Schools are required to agree that, in providing scholarships under this program, preference shall be given to students from disadvantaged backgrounds for whom the costs of attending the school would constitute a severe financial hardship. The Secretary may not make a grant to a school unless the school is carrying out a program for recruiting and retaining students from disadvantaged backgrounds and have met the eligibility criteria of demonstrating the success of outcome measures.

Applicant Eligibility:

Accredited public or private nonprofit schools of allopathic medicine, nursing, osteopathic medicine, dentistry, pharmacy, podiatric medicine, optometry, veterinary medicine, chiropractic, allied health, or schools offering graduate programs in public health, behavioral and mental health or physician assistants.

- 1) At least 20 percent of the total enrollment (full-time enrolled) of a program during the specified academic year must be students from disadvantaged backgrounds; and
- 2) At least 20 percent of the total graduates (who were full-time students) of a program during the specified academic year must have been from disadvantaged backgrounds.

Beneficiary Eligibility:

Students who are citizens, nationals, or lawful permanent residents of the United States or the District of Columbia, the Commonwealths of Puerto Rico or the Mariana Islands, the Virgin Islands, Guam, the American Samoa, the Trust Territory of the Pacific Islands, the Republic of Palau, the Republic of the Marshall Islands, the Federated States of Micronesia; and enrolled full-time in health professions or nursing schools.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. HRSA requires all applicants to apply electronically through Grants.gov.

Award Procedure:

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions. Notification is made in writing (electronic) by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 6 months.

Appeals:

Not Applicable.

Renewals:

At the conclusion of the project period current grantees may submit new competitive applications for consideration.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

Each grant award is made for up to four years. Student scholarships are provided in one year awards. See the following for information on how assistance is awarded/released: Grantees draw down funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

The Scholarships for Disadvantaged Students Performance Report (SDSPR) must be submitted 45 days after the end of the budget period through electronic submission. Cash reports are not applicable. Annual non-competing continuation progress reports are required. A financial status report must be submitted 90 days after the end of each budget period. In addition, a final report is required at the end of the project period. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records three years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the three year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular three year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Project Grants) FY 12 \$44,241,381; FY 13 est \$42,006,888; and FY 14 est \$45,346,000

Range and Average of Financial Assistance:

Range: \$40,000 to \$610,000; Average \$424,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for state, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations, and commercial organizations, as applicable.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices. Andreina Stampone, Division of Student Loans and Scholarships, Bureau of Health Professions, Health Resources and Services Administration, Public Health Service, Parklawn Building, Room 9-105, 5600 Fishers Lane, Rockville, MD 20857. Telephone: (301) 443-1173.

Headquarters Office:

Andreina Stampone 5600 Fishers Lane, Room 9-105, Rockville, Maryland
20857 Phone: (301) 443-1173

Website Address:

www.hrsa.gov

RELATED PROGRAMS:

93.288 National Health Service Corps Scholarship Program; 93.342 Health Professions Student Loans, Including Primary Care Loans/Loans for Disadvantaged Students; 93.364 Nursing Student Loans

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be reviewed for completeness, accuracy, and to determine if applicant schools meet minimum program requirements.

93.926 HEALTHY START INITIATIVE

Healthy Start

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Title III, Part D, Section 330H of the Public Health Service Act, (42 U.S.C.254c-8).

OBJECTIVES:

To eliminate disparities in perinatal infant and maternal health by: enhancing a community's health care infrastructure and service system and a State's infrastructure; and directing resources and interventions to improve access to, utilization of, and full participation in comprehensive perinatal and women's health services, particularly for women and infants at higher risk for poor health outcomes.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Every Healthy Start project implements community-driven approaches to address infant mortality rates by reducing maternal behavioral and medical risk factors and promoting healthy outcomes for women and their families. Beginning with prenatal care and continuing through the infant's second year of life, each community awarded funds assures the availability of a core set of services and activities for the perinatal population in their project area. These services include case management, home visiting and links to health care and other needed services for mothers and their infants; direct outreach and peer mentoring by trained community members; screening and referral for perinatal/postpartum depression; and strong coordination with and access to substance abuse, domestic violence, mental health, early intervention, parenting and other critical services for high-risk women and families. In addition, each Healthy Start project is required to have a community-based consortium composed of individuals and organizations and that includes women and families served by their project, to collaborate with their State Title V (MCH) Agency, and to implement a local health system action plan to improve the quality, cultural competence of and access to services and/or to address other problems in the local system of care. Funds may not be used to supplant currently funded activities/services.

Applicant Eligibility:

Urban and rural communities with significant disparities in perinatal health, and States with need to build their infrastructure/capacity to address and support those communities trying to achieve the goals of the Healthy Start program. Eligible applicants are any public or private entity, including an Indian Tribe or tribal organization (as those terms are defined at 25 U.S.C. 450b). Community-based organizations, including faith-based organizations are eligible to apply.

Beneficiary Eligibility:

Service area residents, particularly women and infants in areas with significant perinatal health disparities.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. HRSA requires all applicants to apply electronically through Grants.gov.

Award Procedure:

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Final decisions are made approximately 166 days after receipt of applications.

Appeals:

Not Applicable.

Renewals:

After initial award, projects may be renewed annually up to the limit of the project period upon the submission and approval of a satisfactory progress report .

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Project periods vary depending on specific grant announcement with non-competing continuation awards made on an annual basis for up to 5 years depending on satisfactory progress and subject to the availability of funds. See the following for information on how assistance is awarded/released: Grantees drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

Annual Reports. No cash reports are required. Federal Financial Report (FFR). No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0354-0-1-550.

Obligations:

(Project Grants) FY 12 \$98,353,195; FY 13 est \$93,984,121; and FY 14 est \$103,532,000

Range and Average of Financial Assistance:

Based on FY12: \$255,000 - 2,350,000; \$750,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices. Director, Division of Healthy Start and Perinatal Services, Maternal and Child Health Bureau, Health Resources and Services Administration, Department of Health and Human Services, Room 13-91, Parklawn Building, 5600 Fishers Lane, Rockville, MD 20857. Telephone: (301) 443-0543.

Headquarters Office:

Director, Division of Healthy Start and Perinatal Services 5600 Fishers Lane, Room 13-91, Rockville, Maryland 20857 Phone: (301) 443-0543

Website Address:

www.hrsa.gov.

RELATED PROGRAMS:

93.110 Maternal and Child Health Federal Consolidated Programs; 93.224 Consolidated Health Centers (Community Health Centers, Migrant Health Centers, Health Care for the Homeless, Public Housing Primary Care, and School Based Health Centers)

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

See the funding opportunity announcement to obtain specific program grant guidance.

93.928 SPECIAL PROJECTS OF NATIONAL SIGNIFICANCE

(SPNS)

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Title XXVI, Public Health Service Act, Section 2691 (42 USC 300ff-101), as amended, by the Ryan White HIV/AIDS Treatment Modernization Act of 2009 (Public Law 111-87).

OBJECTIVES:

To quickly respond to emergency needs of individuals receiving assistance under the Ryan White HIV/AIDS program by supporting the development of innovative delivery models of HIV care, services, and capacity development initiatives. SPNS evaluates the effectiveness of these models, and promotes the replication of successful models. Areas of evaluation include design, implementation, utilization, costs, and health related outcomes of effective models. The SPNS Program also supports special programs to develop or enhance health information and technology data systems to enable Ryan White Program grantees to report and utilize clinical data.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Proposals are expected to adequately define and justify the needs, innovative nature, and evaluation methodology of the proposed model of services. Funds shall be used to create and/or evaluate innovative models of HIV care, services, capacity development initiatives, and health information and technology data systems that would likely not exist nor be evaluated without SPNS Program support, or that would extend the care model to previously underserved or uninsured populations. Funds under the SPNS Program cannot be used for: charges that are billable to third party payers (e.g., private health insurance, prepaid health plans, Medicaid, Medicare); construction of new facilities or capital improvements to existing facilities; to purchase or improve land; cash payments to intended service recipients, as opposed to various incentives to encourage participation in evaluation activities.

Applicant Eligibility:

Academic institutions, non-profit organizations including faith-based organizations, and those eligible for funding under Parts A-D authorized by Title XXVI of the Public Health Service (PHS) Act as amended by the Ryan White HIV/AIDS Treatment Extension Act of 2009 (Ryan White Program). Additionally, the District of Columbia, the Commonwealth of Puerto Rico, the Territories of the Virgin Islands, Guam, American Samoa, the Commonwealth of the Northern Mariana Islands, the Republic of Palau, the Federated States of Micronesia, and the Republic of the Marshall Islands, and federally recognized Indian Tribal Government are also eligible to apply for these funds.

Beneficiary Eligibility:

Adults, women, children and families with HIV disease.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. HRSA requires all applicants to apply electronically through Grants.gov.

Award Procedure:

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 4 to 6 months.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants will generally be awarded for up to a five year project period and

12-month budget periods; some grants may have more limited 12, 24 or 36 month project periods. See the following for information on how assistance is awarded/released: Grantees drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

Program progress reports are required. No cash reports are required. A final progress report is due 90 days after the end of the project period. In addition, a Federal Financial Report (FFR) or SF 245 is due within 90 days after the close of each budget period. A final Federal Financial Report must be submitted 90 days after the end of the project period. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Project Grants) FY 12 \$25,000,000; FY 13 est \$25,000,000; and FY 14 est \$25,000,000

Range and Average of Financial Assistance:

\$80,000 to \$550,000; \$300,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Barbara Aranda-Naranjo 5600 Fishers Lane, Room 7-90, Rockville, Maryland 20857 Phone: (301) 443-3726

Website Address:

www.hrsa.gov.

RELATED PROGRAMS:

93.917 HIV Care Formula Grants; 93.918 Grants to Provide Outpatient Early Intervention Services with Respect to HIV Disease

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Building a Medical Home for Multiply Diagnosed HIV positive Homeless Populations; Enhancing Access to and Retention in Quality HIV Care for Transgender Women of Color; and Health Information Technology Capacity Building Initiative for Ryan White HIV/AIDS Program Providers. Fiscal Year 2013: HIT Capacity Building Initiative for Ryan White HIV/AIDS Program AIDS Drug Assistance Program (ADAP) Grantee Sites

and Culturally Appropriate Interventions of Outreach, Access and Retention among Latino(a) Populations. Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Evaluation criteria vary and are based on the grant initiative. However, evaluation criteria generally include some or all of the following: Factor 1: Adequacy of demonstrated knowledge of the local HIV service delivery system and the adequacy of the justification of need for the proposed model within the community and target population to be served by the project. Factor 2: Extent of the feasibility and clarity of the description, appropriateness, innovative quality, and potential for evaluation, replication, and dissemination of the proposed model. Factor 3: Comprehensiveness of the program plan as described in clearly stated goals, time-limited and measurable objectives for each goal, activities directly related to each objective, and a timeline that shows the schedule of activities and production of materials that corresponds to milestones stated in the objectives and program evaluation. Factor 4: Thoroughness, feasibility and appropriateness of the project's evaluation design from a methodological and statistical perspective. Factor 5: Extent to which the applicant demonstrates past involvement with disseminating information about HIV service delivery by describing dissemination activities to date (e.g., presenting and publishing findings through reports and papers, training, or technical assistance). Factor 6: Competency of the applicant organization in terms of fiscal, program management, and evaluation.

93.932 NATIVE HAWAIIAN HEALTH CARE SYSTEMS

Native Hawaiian Health Care Systems

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Native Hawaiian Health Care Improvement Act (42 USC 11705 and 11706), Public Law 102-396, as amended by P.L. 111-148, Section 10221.

OBJECTIVES:

To raise the health status of Native Hawaiians living in Hawaii to the highest possible level through the provision of comprehensive health promotion and disease prevention services, as well as primary health services, and to provide existing Native Hawaiian health care programs with all resources necessary to effectuate this policy.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Required Native Hawaiian Health Care Systems services under this program include outreach, health promotion, disease prevention, and primary health care services. These services will attempt to integrate traditional health concepts with western medicine so that existing barriers to health care can be removed. It is anticipated that the various components will be integrated into one comprehensive system of care and that the existing health resources of the community will be used to the greatest extent possible. Required Papa Ola Lokahi activities include coordination and updating of a comprehensive Native Hawaiian health care plan, providing training, technical assistance, and coordination for Native Hawaiian health care sites/practitioners statewide, serving as a clearinghouse, and conducting disease prevalence research.

Applicant Eligibility:

Eligible entities include Papa Ola Lokahi and the "Native Hawaiian Health Care Systems." The term Native Hawaiian health care system is defined as an entity: (1) which is organized under the laws of the State of Hawaii; (2) which provides or arranges for health care services through practitioners licensed by the State of Hawaii, where licensure requirements are applicable; (3) which is a public or nonprofit private entity; (4) in which Native Hawaiian health practitioners significantly participate in the planning, management, monitoring, and evaluation of health care services; and (5) which is recognized by Papa Ola Lokahi (a consortium of Hawaiian and Native Hawaiian organizations) for the purpose of planning, conducting, or administering programs or portions of programs, authorized by this act for the benefit of Native Hawaiians; and (6) which is certified by Papa Ola Lokahi as having the qualifications and the capacity to provide the services and meet the requirements of this Act for the

benefit of Native Hawaiians.

Beneficiary Eligibility:

Hawaiian natives will benefit.

Credentials/Documentation:

Applicants should review the applicable HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Grant applications and required forms for this program can be obtained from Grants.gov. Please visit the Grants.gov Web site at www.grants.gov to both find and apply for Federal grant opportunities under this CFDA number. Applicants are required to apply electronically through Grants.gov.

Award Procedure:

Refer to the applicable funding opportunity announcement for this CFDA program for information on awards. Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. Refer to the applicable funding opportunity announcement available through Grants.gov for application deadlines.

Appeals:

Not Applicable.

Renewals:

Same as application procedure. Grants are made for one year only.

Formula and Matching Requirements:

This program has no statutory formula.
Matching Requirements: Grants may not be awarded to a Native Hawaiian Health Care System unless the entity agrees that it will make available, directly or through donations to the entity, nonfederal matching funds in an amount not less than \$1 for every \$5 of Federal funds provided. Nonfederal contributions may be in cash or in kind, fairly evaluated, including plant, equipment, or services. Amounts provided by Federal government or services assisted or subsidized to any significant extent by the Federal Government may not be included in determining the amount of such nonfederal contributions. Federal sources may be used as matching funds if received as fees, payments, or reimbursements for the provision of specific services, such as patient care reimbursements received under Medicare or Medicaid. The match requirements may be waived if the Secretary determines, in consultation with Papa Ola Lokahi, that it is not feasible for the entity to comply with the requirement. Grant funds may not be used to pay for (1) inpatient services; (2) cash payments to intended recipients of health services; or (3) purchasing or improving real property (other than minor remodeling of existing improvements to real property) or to purchase major medical equipment. The entity may not expend more than 10 percent of amounts received under the grant for administering the grant. Other requirements and limitations are set forth in Public Law 102-396.

There is no matching requirement for Papa Ola Lokahi., MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Budget and project periods are for one year. See the following for information on how assistance is awarded/released: Grantees draw down funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

Refer to the applicable funding opportunity announcement for this CFDA number for all applicable reporting requirements. Progress reporting is required. Quarterly cash transaction reports are required. A Federal Financial Report (FFR) is required. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Project Grants) FY 12 \$13,071,000; FY 13 est \$13,000,000; and FY 14 est \$13,000,000

Range and Average of Financial Assistance:

\$1,000,000 to \$3,000,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices. Southwest Division, Bureau of Primary Health Care, Health Resources and Services Administration, 5600 Fishers Lane, Rockville, MD 20857. Phone: (301) 480-1130.

Headquarters Office:

Southwest Division, Bureau of Primary Health Care, Health Resources and Services Administration, 5600 Fishers Lane, Rockville, Maryland 20857 Phone: (301) 480-1130

Website Address:

<http://www.hrsa.gov/>

RELATED PROGRAMS:

93.224 Consolidated Health Centers (Community Health Centers, Migrant Health Centers, Health Care for the Homeless, Public Housing Primary Care, and School Based Health Centers)

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications are not subject to independent objective review procedures. They are, however, reviewed by HRSA grants management officials (business and financial review) and program staff (technical review and analysis of performance measures) to determine if the applicant: (1) performed satisfactorily; (2) is in compliance with statutory/regulatory requirements; and (3) proposed appropriate goals and activities with allowable and reasonable

costs. The following review criteria will be used by federal staff to assess the merits of each application: need, project performance, project plans, resource/capabilities, evaluative measures, and support requested.

93.933 DEMONSTRATION PROJECTS FOR INDIAN HEALTH

Demonstration Projects for Indian Health

FEDERAL AGENCY:

Indian Health Service, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Section 103 (B)(1), Public Law 93-638; Public Health Service Act.

OBJECTIVES:

To promote improved health care among American Indians and Alaska Natives through research studies and demonstration projects, addressing such issues as, but not limited to Women's Health Care, Native American Research Centers for Health (NARCH), National Indian Health Outreach and Education I, II & III, Tribal Dental Clinical and Preventive Support Centers, Public Health Nursing, Methamphetamine and Suicide Prevention Program, National HIV Program, Behavioral Health Domestic Violence Prevention Program and Healthy Lifestyles in Youth.

TYPES OF ASSISTANCE:

Cooperative Agreements; Project Grants

USES AND USE RESTRICTIONS:

Federal assistance is to be used for the following purposes: (1) Research, analysis, and investigation of a broad range of issues affecting the health of American Indians and Alaska Natives; and (2) demonstration projects and studies that provide American Indians/Alaska Natives with impetus and involvement in their health care and that promote improved health care to Indian people. All IHS Grants Funds are for discretionary grant activities.

Applicant Eligibility:

Federally recognized Indian tribes; tribal organizations; nonprofit inter-tribal organizations; nonprofit urban Indian organizations contracting with the Indian Health Service under Title V of the Indian Health Care Improvement Act; public or private nonprofit health and education entities; and State and local government health agencies.

Beneficiary Eligibility:

American Indians/Alaska Natives will be the ultimate beneficiaries of the funded projects either directly or indirectly depending upon the nature of the project. For example, those individuals who participate in research studies and receive services will be direct beneficiaries while those impacted by policy changes resulting from analyses of Indian health care issues will be indirect beneficiaries.

Credentials/Documentation:

Costs will be determined in accordance with the applicable OMB Circular: OMB Circular No. A-87 (State, local, and Indian tribal governments); OMB Circular No. A-21 (institutions of higher education); and OMB Circular No. A-122 (nonprofit organizations). Depending upon the nature of the project, letters of support or tribal resolutions may be required. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. This program is subject to the provisions of either 45 CFR, Part 92 or OMB Circular No. A-110 depending upon the type of applicant organization. The preferred method for receipt of applications is electronic submission through Grants.gov. However, should any technical problems arise regarding the submission, please contact Grants.gov Customer Support at (1-800) 518-4726 or support@grants.gov. The Contact Center is open 24 hours a day, 7 days a week, except Federal holidays, or contact the IHS Grants Policy Office on (301) 443-5204 at least fifteen days prior to the application deadline. To submit an application electronically,

please use the <http://www.Grants.gov> apply site. Download a copy of the application package, on the Grants.gov website, complete it offline and then upload and submit the application via the Grants.gov site. You may not e-mail an electronic copy of a grant application to IHS.

Award Procedure:

After review and approval, a notice of award is prepared and processed, along with appropriate notification to the public.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

From 30 to 60 days. Grant appeals will follow PHS appeals procedures: 42 CFR, Part 50, Subpart D and DHHS appeals procedures: 45 CFR 16.

Renewals:

Initial project period of up to 5 years, usually 3 years, with competitive renewals for periods not to exceed a total project period of 5 years.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The project period is limited to 5 years or less. Within the project period, a continuation application must be submitted annually on a noncompetitive basis for each year of support. Method of awarding/releasing assistance: quarterly.

Reports:

No program reports are required. Grantees will be required to submit quarterly Federal Financial Report (SF-425 or FFR) to the Division of Payment Management Branch. Progress Reports are due 90 days after the end of each budget period, or more frequently if stated in the terms and conditions of the IHS grant award. Reports should be sent to the attention of the Grants Management Specialist at the Division of Grants Operations. Grantees will be required to submit quarterly Federal Financial Report (SF-425 or FFR) to the Division of Payment Management Branch. All IHS grant awards are monitored for Financial compliance by the Division of Grants Management and for Programmatic Compliance by the IHS Program Staff.

NIHA - other reports as required and specified in the program announcement.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

DHHS and the Comptroller General of the United States or any of their authorized representatives shall have the right of access to any books, documents, paper, or other records of the grantee, contractor, or subcontractor, which are pertinent to the DHHS grant, in order to make audits, examinations, excerpts and transcripts. In accordance with 45 CFR Part 92.42 or 45 CFR Part 74, Subpart D, as applicable, grantees are required to maintain grant records 3 years after they submit their final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the end of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0390-0-1-551.

Obligations:

(Cooperative Agreements) FY 12 \$250,000; FY 13 est \$250,000; and FY 14 est \$250,000 - Obligations for National Indian Health Outreach and Education II. (Cooperative Agreements) FY 12 \$1,000,000; FY 13 est \$1,000,000; and FY 14 est \$1,000,000 - Obligations for Healthy Lifestyles in Youth. (Cooperative Agreements) FY 12 \$947,533; FY 13 est \$947,533; and FY 14 est \$947,533 - Obligations for National Indian Health Outreach and Education I. (Cooperative Agreements) FY 12 \$666,000; FY 13 est \$666,000; and FY 14 est \$666,000 - Obligations for National Indian Health Outreach and Education III. (Project Grants) FY 12 \$1,500,000; FY 13 est \$1,500,000; and FY 14 est \$1,500,000 - Obligations for Public Health Nursing. (Project Grants) FY 12 \$9,721,714; FY 13 est \$6,331,053; and FY 14 est \$6,331,053 - Obligations for Native American Research Centers for Health. (Project Grants) FY 12 \$1,388,745; FY 13 est \$1,388,745; and FY 14 est \$1,388,745 - Obligations for Methamphetamine and Suicide Prevention Initiative. (Project Grants) FY 12 \$261,999; FY 13 est \$0; and FY 14 est \$0 - Obligations for Behavioral Health Domestic Violence Prevention Initiative, Sexual Assault Projects Expansion Community Developed Models. (Project Grants) FY 12 \$996,000; FY 13 est \$996,000; and FY 14 est \$996,000 - Obligation for Dental Preventive and Clinical Support Centers Program. (Project Grants) FY 12 \$262,000; FY 13 est \$0; and FY 14 est \$0 - Obligations for Behavioral Health Domestic Violence Prevention Initiative. (Project Grants) FY 12 \$180,000; FY 13 est \$500,000; and FY 14 est \$500,000 - Obligations for OCPH-HIV program.

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 92 and 45 CFR 74, Health and Human Services Grants Policy Statement, January 2007.

Regional or Local Office:

None. Program Contact: For Methamphetamine and Suicide Prevention Initiative contact: Skye Bass, Public Health Advisor, 801 Thompson Ave, Ste RB 330, Rockville, MD 20852, Telephone: (301) 443-2051.

For Public Health Nursing Program contact: Ms. Tina Tah, Program Specialist, Office of Clinical and Preventive Services, 801 Thompson Avenue, Suite 300, Rockville, MD 20852. Telephone: (301) 443-0038.

For National Indian Health Outreach and Education Programs I, II and III, contact: Chris Buchanan, Director, Office of Direct Service and Contracting Tribes, 801 Thompson Avenue, Suite 220, Rockville, MD 20852. Telephone: (301) 443-1104.

For Office of Clinical and Preventive Services, National HIV Program, contact: Lisa C. Neel, MPH; e-mail lisa.neel@ihs.gov; telephone: (301) 443-4305.

For Clinical and Preventive Dental Support Centers contact: Dr. Patrick Blahut, IHS HQ, 801 Thompson Ave, Rockville, MD 20852. Telephone 301-443-4323. E-mail Patrick.Blahut@ihs.gov

For Native American Research Centers for Health, contact: Mr. Mose Herne, Director, Division of Planning, Evaluation and Research, OPHS, 801 Thompson Avenue, Suite TMP 450, Rockville, MD 20852.

For Behavioral Health Domestic Violence Prevention programs, contact: Jennifer Downs, Public Health Advisor, 801 Thompson Avenue, Suite RB 300, Rockville, MD 20852.

For Healthy Lifestyles in Youth, contact: Division of Diabetes Treatment and Prevention, 5300 Homestead Road NE, Albuquerque, NM 87110.

Grants Management Contact: Ms. Tammy Bagley, Acting Director, Division of Grants Management, Indian Health Service, 801 Thompson Avenue, TMP, Suite 360 Rockville, MD 20852. Telephone: (301) 443-5204. Use the same numbers for FTS.

Headquarters Office:

Grants Policy Office 801 Thompson Avenue, Suite TMP 360, Rockville, Maryland 20852 Email: grantspolicy@ihs.gov Phone: 301-443-5204

Website Address:

http://www.ihs.gov

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The selection criteria are: Statement of problem(s) requiring solution; need for assistance; results or benefits expected from the project; approach or soundness of the applicant's plan for conducting the project; key personnel and their capability to carry out the project; and adequacy of management controls. Consideration will be given to the demonstrative aspects of the project and the compatibility of the project with the overall goals and objectives of the Indian Health Service.

93.936 NATIONAL INSTITUTES OF HEALTH ACQUIRED IMMUNODEFICIENCY SYNDROME RESEARCH LOAN REPAYMENT PROGRAM

(NIH AIDS Research Loan Repayment Program; AIDS LRP)

FEDERAL AGENCY:

National Institutes of Health, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Title VI, Part 68, Section 487A, Public Law 100-607, 288-I U.S.C.

OBJECTIVES:

To help assure an adequate supply of trained researchers (physicians, registered nurses and scientists) with respect to acquired immunodeficiency syndrome (AIDS) at the National Institutes of Health (NIH) by providing for the repayment of educational loans for participants who agree, by written contract, to primarily engage in AIDS research as employees of the NIH.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

This program will provide partial repayment of educational loans incurred by physicians, registered nurses and scientists engaged, as employees of the NIH, in AIDS research. Recipients must agree by written contract to engage in such research, initially, for a minimum of 2 years; individuals who have conflicting service obligations may not participate in this program until those obligations are satisfied or have been deferred during the period of program service. Continuation contracts are available, dependent upon the level of debt and continued involvement in AIDS research, and are issued for one-year periods. Maximum program benefit is \$35,000 per year in loan repayments and \$13,650 per year in Federal tax reimbursements. Recipients must have qualified educational debt in excess of 20 percent of their annual NIH salary, which is referred to as their "debt threshold." An amount equal to half of this "debt threshold" will not be repaid by NIH and must be paid by the Program participants.

Applicant Eligibility:

Eligible applicants must: (1) Be a citizen, national, or permanent resident of the United States; (2) possess a M.D., Ph.D., D.O., D.D.S., D.M.D., D.V.M., D.P.M., A.D.N., B.S.N., or equivalent degree, or hold the position of Physician Assistant; (3) have educational debt, which results from governmental or commercial loans obtained to support their undergraduate and/or graduate education in excess of 20 percent of their annual NIH salary (exclusive of special allowances of any kind) on the program eligibility date; (4) be appointed under any temporary or permanent employment mechanism in the Intramural Research Program of the NIH, so long as their employment has the potential to last a minimum of 2 years; (5) not have an existing service obligation to Federal, State, or other entities, unless deferred during period of program service; (6) submit an application to participate in the Loan Repayment Program; and (7) submit to the Secretary for Health and Human Services, at the time of

application, a signed contract agreeing to engage in AIDS research at the NIH for a minimum of 2 years.

Beneficiary Eligibility:

AIDS researchers who have unpaid educational loans will benefit from this program.

Credentials/Documentation:

Applicants must submit documentation of the following, as appropriate: 1) Copies of loan applications and agreements from governmental or commercial educational loans that are being considered for repayment; 2) copies of the standard student budget from schools attended during period when debt was incurred; and 3) other documentation as required by law. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Applicants must access and submit the Loan Repayment Program application electronically via the website www.lrp.nih.gov; seek qualified employment with a sponsoring Institute or Center (IC) of the NIH. Once an application has been submitted, the Loan Repayment Committee reviews it at a regularly scheduled review meeting and a determination of loan repayment recipients is made.

Award Procedure:

The NIH Loan Repayment Committee (LRC) will review, rank, and approve or disapprove applications recommended for program participation by each IC. Applications approved for program participation by the LRC will be notified by the Program Director. The Secretary, or her/his designee, will sign the service contract of program participants, provide a copy to the participant, and notice of the amount of approved loan repayment.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. The approximate time for approval/disapproval is three months.

Appeals:

From 90 to 120 days. Employees of the NIH who are not approved as a result of this process may ask for reconsideration through the standard appeal procedures available to NIH employees.

Renewals:

From 60 to 90 days. At the conclusion of the initial two-year contract, participants may apply and be recommended for subsequent one-year continuation contracts under the application and approval procedures specified above.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Assistance is available for a minimum 2-year period. One-year, continuation contracts are available for individuals whose educational debt exceeds that maximum repayable amount for 2-years. Payments are made directly to lenders, following each quarter of the participant's satisfactory service, unless otherwise agreed upon by the participant and Secretary of Health and Human Services. Method of awarding/releasing assistance: quarterly.

Reports:

A year-end summary is provided for inclusion in the OITE annual report. Program participants must be certified quarterly as to having satisfactorily performed their research assignments. No cash reports are required. Program participants must be certified quarterly as to having satisfactorily performed

their research assignments. No expenditure reports are required. No performance monitoring is required.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. Records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO). In accordance with 45 Code of Federal Regulations, Part 74.26, for-profit (commercial) organizations are subject to audit requirements for a non-Federal audit if, during its fiscal year, it expended \$500,000 or more under HHS awards and at least one award is a HHS grant or subgrant. The regulation incorporates the thresholds and deadlines of OMB Circular No. A-133, but provides for profit organizations with two options for the type of audit that will satisfy the audit requirement: 1. a financial related audit of the HHS awards in accordance with Government Auditing Standards, or 2. an audit that meets the requirements of OMB Circular No. A-133. In accordance with NIH grants policy, Foreign grantees are subject to the same audit requirements as for-profit (commercial) organizations.

Records:

The NIH will maintain applicant records for 3 years after rejection and participant records for 6 years after completion of final service obligation. Grantees generally must retain financial and programmatic records, supporting documents, statistical records, and all other records that are required by the terms of a grant, or may reasonably be considered pertinent to a grant, for a period of 3 years from the date the annual FSR is submitted. For awards under SNAP (other than those to foreign organizations and Federal institutions), the 3-year retention period will be calculated from the date the FSR for the entire competitive segment is submitted. Those grantees must retain the records pertinent to the entire competitive segment for 3 years from the date the FSR is submitted to NIH. Foreign organizations and Federal institutions must retain records for 3 years from the date of submission of the annual FSR to NIH. See 45 CFR 74.53 and 92.42 for exceptions and qualifications to the 3-year retention requirement (e.g., if any litigation, claim, financial management review, or audit is started before the expiration of the 3-year period, the records must be retained until all litigation, claims, or audit findings involving the records have been resolved and final action taken). Those sections also specify the retention period for other types of grant-related records, including F&A cost proposals and property records. See 45 CFR 74.48 and 92.36 for record retention and access requirements for contracts under grants. In accordance with 45 Code of Federal Regulations, Part 74.53(e), the HHS Inspector General, the U.S. Comptroller General, or any of their duly authorized representatives have the right of timely and unrestricted access to any books, documents, papers, or other records of recipients that are pertinent to awards in order to make audits, examinations, excerpts, transcripts, and copies of such documents. This right also includes timely and reasonable access to a recipients personnel for the purpose of interview and discussion related to such documents. The rights of access are not limited to the required retention period, but shall last as long as records are retained.

Account Identification:

75-6846-0-1-552.

Obligations:

(Project Grants) FY 12 \$193,361; FY 13 est \$122,703; and FY 14 est \$190,000

Range and Average of Financial Assistance:

For initial 2-year contracts, loan repayment awards may range from \$4,000 to \$70,000; tax reimbursements range from \$1,977 to \$34,598. The average contract cost which includes loan and tax reimbursement is \$53,162. There were no new awards in 2009. There 4 renewal awards.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: During Fiscal Year 2012, there were five awards granted. Fiscal Year 2013: During Fiscal Year 2013, there were four awards granted. Fiscal Year 2014: It is projected that there will be four awards provided in Fiscal Year 2014.

REGULATIONS, GUIDELINES, AND LITERATURE:

Pertinent information is contained in Public Law 100-607, Public Law 103-43, Public Law 105-392; Federal Register, Volume 54, No. 215, November 8, 1989; NIH Guide for Grants and Contracts, Volume 23, No. 24, June 24, 1994;

Federal Register, Volume 56, No. 150, August 5, 1991; Section 487A of Public Health Service Act (42 U.S.C. 288-1). Notice of Proposed Rulemaking is pending.

Regional or Local Office:

None.

Headquarters Office:

Patricia A. Cole, PhD Office of Intramural Training and Education, National Institutes of Health, Department of Health and Human Services Building 2, Room 2E18, 2 Center Drive, Bethesda, Maryland 20892-0230 Email: colep@mail.nih.gov Phone: (301) 402-1283 Fax: (301) 480-4942.

Website Address:

<http://www.lrp.nih.gov>

RELATED PROGRAMS:

93.162 National Health Service Corps Loan Repayment Program; 93.164 Indian Health Service Educational Loan Repayment; 93.220 Clinical Research Loan Repayment Program for Individuals from Disadvantaged Backgrounds; 93.232 Loan Repayment Program for General Research; 93.364 Nursing Student Loans

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Applicants are approved for participation in the NIH Loan Repayment Program for AIDS Research after the LRC determines that the applicant will conduct qualified AIDS research. LRC approval, in part, is based upon the appropriateness of the research assignment to qualified AIDS research, the scientific merit of the research, and the credentials of the applicant as they relate to performing qualified AIDS research.

93.938 COOPERATIVE AGREEMENTS TO SUPPORT COMPREHENSIVE SCHOOL HEALTH PROGRAMS TO PREVENT THE SPREAD OF HIV AND OTHER IMPORTANT HEALTH PROBLEMS

(SHEPSA)

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, 42 U.S.C 241(a) & 243(b).

OBJECTIVES:

To improve the health and well-being of youth and prepare them to be healthy adults.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Cooperative Agreement funds may be used to support personnel, purchase equipment, supplies, services, and travel directly related to the program activities and consistent with the scope of this program. Cooperative agreement funds are used to help schools prevent sexual risk behaviors that result in HIV infection, especially among youth who are at highest risk. For example, cooperative agreement recipients implement and integrate effective policies and educational strategies to reduce risk behaviors that lead to HIV infection among youth; implement strategies to reduce disparities among sub-populations of youth disproportionately affected by HIV infection and other health problems related to sexual risk behaviors; evaluate the implementation and effectiveness of strategies to reduce risks for HIV infection among youth in schools for the purposes of programmatic improvement and long-range planning. CDC believes that the specific scope and content of HIV education programs in schools should be locally determined and should be consistent with parental and community values. CDC supports local decision-making by providing sound scientific information to grantees who work directly with schools, communities, and parents. Funds may be expended for written materials, pictorials,

audiovisuals, questionnaires or survey instruments, and educational group sessions related to HIV education for youth, school, and college populations if approved in accordance with the document "Content of AIDS-Related Written Materials, Pictorials, Audiovisuals, Questionnaires, Survey Instruments, and Educational Sessions in Centers for Disease Control Assistance Programs." Cooperative agreement funds are also used to build state education and state health agency partnership and capacity to implement and coordinate school health programs across agencies and within schools. The expected outcome of this effort is to help schools reduce chronic disease risk factors, including tobacco use, poor nutrition, and physical inactivity. For example, cooperative agreement recipients implement and integrate effective policies, environmental changes, and educational strategies to reduce unhealthy behaviors; leverage resources to avoid duplication at the state and local levels; evaluate school health capacity-building efforts while implementing effective strategies to reduce priority health risks. Funds may not be used to conduct research projects, provide direct delivery of patient care or treatment services, and purchase or disseminate condoms. Although public health may have an assurance role in clinical testing and screening, funds are not to be used to provide clinical testing or screening services. Funds may not be used for purchasing computer equipment, office equipment or furnishing, renting or leasing office space, or to support construction or renovation unless specifically approved.

Applicant Eligibility:

Eligible applicants are official States (including the District of Columbia, the Commonwealth of Puerto Rico, American Samoa, Commonwealth of the Northern Mariana Islands, Federated States of Micronesia, Guam, the Republic of the Marshall Islands, the Republic of Palau, and the U.S. Virgin Islands), Tribal Governments, large urban school districts (with the highest number of reported AIDS cases, high levels of poverty, and student enrollment greater than 75,000 students), and national non-governmental organizations.

Beneficiary Eligibility:

Official State education agencies in states and territories in the United States (including the District of Columbia, the Commonwealth of Puerto Rico, American Samoa, Commonwealth of the Northern Mariana Islands, Federated States of Micronesia, Guam, the Republic of the Marshall Islands, the Republic of Palau, and the U.S. Virgin Islands); local education agencies; national private sector organizations and their constituents; universities and colleges; school-age youth, including minority youth, youth in high-risk situations, and youth with special education needs; college-age youth; and school personnel, including teachers, school nurses, paraprofessionals, and school administrators.

Credentials/Documentation:

Costs will be determined by 45 CFR Part 74, Subpart Q for nonprofit organizations and in accordance with OMB Circular A-87, "Cost Principles for State and Local Governments.". OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Information on the submission of applications may be obtained from Grants Management Branch, Procurement and Grants Office, Centers for Disease Control and Prevention, Atlanta, GA 30341. This program is subject to the provisions of 45 CFR Part 92 and 45 CFR Part 74. The standard application forms, as furnished by PHS and required by 45 CFR Part 92 for State and local governments and 45 CFR Part 74 for nonprofit organizations must be used for this program.

Award Procedure:

After review and approval, a notice of award is prepared and processed, along with appropriate notification to the public.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Information on renewals may be obtained from Tracey Sims, Grants Management Officer, Grants Management Branch, Procurement and Grants Office, Centers for Disease Control and Prevention, 2920 Brandywine Road, Room 2306, Atlanta, GA 30341.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Project period can be up to 5 years. Budget periods are for 12 months. Payment under this program is made available through HHS Payment Management System (PMS). Method of awarding/releasing assistance: lump sum.

Reports:

An annual progress report is due within 90 days after the end of the budget period and a final performance report is due 90 days after the end of the project period. This report describes the extent to which program objectives and activities were carried out, reasons for not achieving objectives or carrying out activities as planned, and other information relevant to the program. This report also describes data generated and includes reports of evaluations of program activities conducted during the budget period. Cash reports are not applicable. An annual progress report is due within 90 days after the end of the budget period and a final performance report is due 90 days after the end of the project period. This report describes the extent to which program objectives and activities were carried out, reasons for not achieving objectives or carrying out activities as planned, and other information relevant to the program. This report also describes data generated and includes reports of evaluations of program activities conducted during the budget period. An annual financial status report is due within 90 days after the end of the budget period and a final financial status report is due within 90 days after the end of the project period. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the cooperative agreements program shall be retained for a minimum of 3 years, or until completion and resolution of any audit in process or pending resolution. In all cases, records must be retained in accordance with PHS Grants Policy Statement requirements.

Account Identification:

75-0943-0-1-550.

Obligations:

(Salaries) FY 12 \$24,108,000; FY 13 est \$0; and FY 14 est \$0 - FY13 this program is under a no cost extension. No funding for FY13 awarded.

Range and Average of Financial Assistance:

\$1,000 to \$678,000; \$283,600.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: All Objectives were accomplished. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Subject to regulations outlined in 45 CFR Part 74 and 45 CFR Part 92. Program guidelines are available from the Grants Management Contact.

Regional or Local Office:

None. Program Contact: John C. Canfield, Program Development and Services Branch, Division of Adolescent and School Health, National Center for Chronic Disease Prevention and Health Promotion, Centers for Disease Control and Prevention, Public Health Service, Department of Health and Human Services, 4770 Buford Highway, Mailstop K-31, Atlanta, GA 30341. Telephone: (770) 488-6141. Grants Management Contact: Tracey Sims, Grants Management Officer, Grants Management Branch, Procurement and Grants Office, Centers for Disease Control and Prevention, 2920 Brandywine Road, Atlanta, GA 30341. Telephone: (770) 488-2739.

Headquarters Office:

John Canfield, 4770 Buford Highway, NE, MS-K31, Atlanta, Georgia 30341
Email: qzc6@cdc.gov Phone: (770) 488-6141.

Website Address:

<http://www.cdc.gov/healthyyouth> .

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Continuation awards are made on the basis of the following criteria: (1) the accomplishments of the current budget period show that the applicant is meeting its objectives and carrying out activities as planned; (2) the capacity of the recipient and staff responsible for managing and coordinating activities; (3) current collaboration with HIV prevention programs of State and local health agencies and with other relevant organizations; (4) the objectives for the new budget period are realistic, specific, and measurable; (5) a description of the methods of operation and activities that will be used to accomplish the stated objectives; (6) a plan of evaluation; (7) evidence of support from relevant organizations; (8) plans to share information about the program, including copies of HIV education curricula, program descriptions, progress reports, and educational materials with other agencies interested in HIV education for youth; (9) a budget request that is clearly explained, adequately justified, reasonable, and consistent with the intended use of cooperative agreement funds.

93.939 HIV PREVENTION ACTIVITIES_NON-GOVERNMENTAL ORGANIZATION BASED

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Section 301(a).

OBJECTIVES:

To provide assistance to local, regional and, national nonprofit organizations to: (a) develop and implement effective community-based Human Immunodeficiency Virus (HIV) prevention programs related to achieving national goals; (b) promote coordination for primary and secondary HIV prevention efforts among community organizations, HIV education/prevention service agencies, and public organizations including local and State health departments and substance abuse agencies; and (c) evaluate the HIV prevention programs for which support is provided.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Project funds may be used to promote coordination for primary and secondary HIV prevention efforts among community-based organizations, HIV education/prevention service agencies, and nonprofit national and regional organizations.

Applicant Eligibility:

Nongovernmental public and nonprofit private entities are eligible, including American Indian/Alaska Native tribal governments or tribal organizations located wholly or in part within their boundaries..

Beneficiary Eligibility:

Public and nonprofit private entities.

Credentials/Documentation:

Applicants should document the need for assistance, state the objectives of the project, outline the method of operation, describe the evaluation procedures and provide a budget justification of funds requested. Costs for nonprofit recipients will be determined in accordance with HHS Regulations, 45 CFR Part 74. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Information on the submission of applications may be obtained from the Grants Management Officer, Grants Management Branch, Procurement and Grants Office, Centers for Disease Control and Prevention. Telephone: (770) 488-2720. The standard application forms must be used for this program as furnished by the Public Health Service and requirement by OMB Circular No. A-110 for nongovernmental applicants. This program is subject to the provisions of OMB Circular No. A-110 for nonprofit organizations.

Award Procedure:

After review and approval of an application, a notice of award is prepared and processed, along with appropriate notification to the public.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. From 3 to 6 months.

Appeals:

Not Applicable.

Renewals:

Project periods are for 1 to 5 years with 12-month budget periods. Applications must be obtained from Grants Management Officer, Grants Management Branch, Procurement and Grants Office, Centers for Disease Control and Prevention. Telephone: (770) 488-2700.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

As stated in the Terms and Conditions in the Notice of Award (NoA). Method of awarding/releasing assistance: by letter of credit.

Reports:

See FOA for details. See FOA for details. See FOA for details. See FOA for details. See FOA for details.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal

government officials.

Records:

There is a 3-year record retention requirement; records shall be retained beyond the 3-year period if final audit has not been done or findings resolved. Property records must be retained in accordance with PHS Grants Policy Statement requirements.

Account Identification:

75-0950-0-1-550.

Obligations:

(Cooperative Agreements) FY 12 \$77,088,800; FY 13 est \$70,957,725; and FY 14 est \$70,957,725

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations governing this program are published under 42 CFR Part 51b. Guidelines are available in the application kit. PHS Grants Policy Statement No. 94-50,000 (Revised) April 1, 1994.

Regional or Local Office:

None.

Headquarters Office:

Elizabeth Wolfe 1600 Clifton Road, NE, Mailstop E-07, Atlanta, Georgia 30333 Email: eow1@cdc.gov Phone: (404) 639-8531

Website Address:

<http://www.cdc.gov>.

RELATED PROGRAMS:

93.116 Project Grants and Cooperative Agreements for Tuberculosis Control Programs; 93.118 Acquired Immunodeficiency Syndrome (AIDS) Activity; 93.135 Centers for Research and Demonstration for Health Promotion and Disease Prevention; 93.947 Tuberculosis Demonstration, Research, Public and Professional Education; 93.977 Preventive Health Services_Sexually Transmitted Diseases Control Grants

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be evaluated based on: (1) Agreements between all agencies providing professional and/or supportive care, either voluntarily or for fees; (2) a description of the approach that will be used to provide prevention case management services; and (3) a description of how services will complement/expand existing agency primary and secondary services and specific criteria published in program guidance.

93.940 HIV PREVENTION ACTIVITIES_HEALTH DEPARTMENT BASED

(HIV Prevention Program)

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Section 317, Public Law 100-436.

OBJECTIVES:

To assist States and political subdivisions of States in meeting the cost of establishing and maintaining Human Immunodeficiency Virus (HIV) prevention programs.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Project funds may be used to support, develop, implement and evaluate primary and secondary HIV prevention programs implemented by State and local health

departments.

Applicant Eligibility:

States, and in consultation with State health authorities, political subdivisions of States including American Indian/Alaska Native tribal governments or tribal organizations located wholly or in part within their boundaries and U.S. territories and possessions.

Beneficiary Eligibility:

States, State health authorities, political subdivisions of States including American Indian/Alaska Native tribal governments or tribal organizations located wholly or in part within their boundaries and U.S. territories and possessions.

Credentials/Documentation:

Applicants should document the need for assistance, state the objectives of the project, outline the method of operation, describe the evaluation procedures, and provide a budget with justification of funds requested. OMB Circular No. A-87 (Revised May 10, 2011) applies to this program. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Information on the submission of applications may be obtained from the Grants Management Officer, Grants Management Branch, Procurement and Grants Office, Centers for Disease Control and Prevention. Telephone: (770) 488-2700. The standard application forms, as furnished by CDC, must be used for this program.

Award Procedure:

After review and approval, a notice of award is prepared and processed, along with appropriate notification to the public.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. From three to six months.

Appeals:

Not Applicable.

Renewals:

Project periods are for one to five years with 12-month budget periods.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The project period on these grants is one to five years and the budget period is 12 months. Method of awarding/releasing assistance: by letter of credit.

Reports:

See FOA for details. No cash reports are required. See FOA for details. See FOA for details. See FOA for details.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the project shall be retained for at least 3 years or until resolution of any audit questions. Property records must be retained in accordance with PHS Grants Policy Statement requirements.

Account Identification:

75-0950-0-1-550.

Obligations:

(Cooperative Agreements) FY 12 \$372,380,089; FY 13 est \$351,111,511; and FY 14 est \$351,111,511 - Note: 93.940 includes funds appropriated by congress for HIV testing. 93.940 - This CFDA category includes CDC-RFA-PS10-10138 - Expanded Human Immunodeficiency Virus (HIV) Testing for Disproportionately Affected Populations - which will be funded for less than 12 months in FY 2011. Testing funds that were not allocated to this announcement in FY 2011 were redistributed to other testing projects with Dr. Frieden's approval. In addition, in FY 2010 this announcement included supplemental Prevention and Public Health Funds (PPHF) and emergency dollars, which were not continued in FY 2011.

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations governing this program are published under 42 CFR Part 51. Guidelines are available in the application kit. PHS Grants Policy Statement No. 94- 50,000 (Revised) April 1, 1994.

Regional or Local Office:

None.

Headquarters Office:

Elizabeth Wolfe 1600 Clifton Road, NE, Mailstop E-07, Atlanta, Georgia 30333 Email: eow1@cdc.gov Phone: (404) 639-8531

Website Address:

<http://www.cdc.gov>.

RELATED PROGRAMS:

93.118 Acquired Immunodeficiency Syndrome (AIDS) Activity; 93.283 Centers for Disease Control and Prevention_ Investigations and Technical Assistance; 93.991 Preventive Health and Health Services Block Grant

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be evaluated based on (1) satisfactory progress in meeting project objectives; (2) the extent to which the objectives for the new budget period are specific; (3) measurable, time phased, realistic, and consistent with National HIV Prevention Goals; (3) the extent to which proposed changes in methods of operation are appropriate and likely to improve prevention efforts; (4) evaluation plans which enhance the success of the project; (5) the availability of funds.

93.941 HIV DEMONSTRATION, RESEARCH, PUBLIC AND PROFESSIONAL EDUCATION PROJECTS

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Section 301(a).

OBJECTIVES:

To develop, test, and disseminate improved HIV prevention strategies.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

To assist States, political subdivisions of States, and other public and nonprofit entities with research on the prevention of HIV infection at the community

level. Funds may be used to develop, implement and evaluate new intervention, including those targeting people who are infected with HIV. Applicants are encouraged to have research groups participate in the program.

Applicant Eligibility:

States, political subdivisions of States, other public including American Indian/Alaska Native tribal governments or tribal organizations located wholly or in part within their boundaries and nonprofit private entities.

Beneficiary Eligibility:

States, political subdivisions of States, other public including American Indian/Alaska Native tribal governments or tribal organizations located wholly or in part within their boundaries and nonprofit private entities.

Credentials/Documentation:

Applicants should document the need for assistance, state the objectives of the project, outline the method of operation, describe the evaluation procedures, and provide a budget with justification of funds requested. For nonprofit recipients, costs will be determined in accordance with HHS Regulations 45 CFR 74. OMB Circular No. A-87 (revised May 10, 2004) applies to this program. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. To apply for this funding opportunity, use application form PHS 398 (OMB number 0925-0001 rev. 5/2001). Forms and instructions are available in an interactive format on the CDC web site, at the following Internet address: <http://grants.nih.gov/grants/funding/phs398/phs398.html>. Submit the signed original and five copies of your application by mail or express delivery service to: Technical Information Management, CDC Procurement and Grants Office, 2920 Brandywine Road, Atlanta, GA 30341.

Award Procedure:

After review and approval, a notice of award is prepared and processed, along with appropriate notification to the public.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days/three to six months.

Appeals:

As stated in the Terms and Conditions.

Renewals:

Projects are for one to five years with 12-month budget periods. Application forms must be obtained from the Grants Management Officer, Grants Management Branch, Procurement and Grants Office, Centers for Disease Control and Prevention: Telephone: (770) 488-2700.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Project Period: From one to five years. Budget Period: For 12 months. Method of awarding/releasing assistance: by letter of credit.

Reports:

See FOA for details. See FOA for details. See FOA for details. See FOA for details. See FOA for details.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June

27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the project shall be retained for at least 3 years or until resolution of any audit questions. Property records must be retained in accordance with PHS Grants Policy Statement requirements.

Account Identification:

75-0950-0-1-550.

Obligations:

(Cooperative Agreements) FY 12 \$15,107,260; FY 13 est \$7,226,083; and FY 14 est \$7,226,083

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations governing this program are published under 42 CFR Part 51b. Guidelines are available in the application kit. PHS Grants Policy Statement No. 94- 50,000 (Revised) April 1, 1994.

Regional or Local Office:

None.

Headquarters Office:

Elizabeth Wolfe 1600 Clifton Road, NE, Mailstop E-07, Atlanta, Georgia 30333 Email: cowl1@cdc.gov Phone: (404) 639-8531

Website Address:

<http://www.cdc.gov>.

RELATED PROGRAMS:

93.283 Centers for Disease Control and Prevention_Investigations and Technical Assistance; 93.991 Preventive Health and Health Services Block Grant

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be evaluated on the extent to which proposed objectives are specific, measurable, time-phased, and consistent with the stated purpose of this program; the extent to which the applicant's proposed plan will identify and reach appropriate hard-to-reach individuals at risk for HIV, and the quality and scope of the applicant's past and current activities to provide education about HIV prevention and AIDS to high risk individuals; the quality of the applicant's proposed interventions and strategies to motivate and reinforce risk reduction behavior change among hard-to-reach individuals; the quality of the applicant's proposed plan to promote changes in community norms regarding HIV prevention and risk reduction behavior, and to generate support, cooperation, and collaboration with community based organizations serving individuals at high risk for HIV; the extent to which the applicant's proposed plan will determine, monitor, and measure changes in the prevalence of specific knowledge, attitudes, beliefs and self-reported behaviors among hard-to-reach individuals; the quality of the applicant's plan, including proposed methods and instruments of measurement to evaluate effectiveness of the strategies used to motivate and reinforce behavior change among groups targeted by the interventions, and the impact of the programmatic efforts on community norms; the extent to which the applicant's proposed plan will enable it to respond to and redirect programmatic efforts based on new information, changes in behavior, and changes in HIV sero prevalence.

93.942 RESEARCH, PREVENTION, AND EDUCATION PROGRAMS

ON LYME DISEASE IN THE UNITED STATES

(Lyme Disease)

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Section 301, 42 U.S.C 241(a).

OBJECTIVES:

To develop, implement and evaluate practical and effective measures for the primary and secondary prevention of Lyme disease in the United States.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funding opportunities are made available to develop disease surveillance, conduct ecological and epidemiological studies, develop prevention and control activities, develop better diagnostic tests, and develop and disseminate educational materials and programs. Grants include funds for direct costs, i.e., personnel, travel, equipment, supplies, necessary to carry out an approved project as well as funds for the reimbursement of applicable indirect costs. Unallowable costs, such as reimbursement of pre-award costs, as well as those for which prior written approval is required, are indicated in the Public Health Service (PHS) Grants Policy Statement (<http://www.hhs.gov/grantsnet.gps/>).

Applicant Eligibility:

Public and nonprofit organizations able to provide services to geographical areas where Lyme disease is endemic or found to be newly emerging in the continental United States. Thus, universities, colleges, research institutions, State and local health departments, and private nonprofit organizations are eligible.

Beneficiary Eligibility:

States, political subdivisions of states, and other public and nonprofit private entities and the general public who may be exposed to the threat of Lyme disease in certain geographical areas.

Credentials/Documentation:

Nonprofit organizations are corporations or associations no part of whose net earnings may lawfully inure to the benefit of any private shareholder or individual. Proof of nonprofit status must be submitted by private nonprofit organizations with the application or, if previously filed with PHS, the applicant must state where and when the proof was submitted. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

This program has no preapplication requirements. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. To apply to published funding opportunity, applications must be submitted electronically through Grants.gov (<http://www.grants.gov>) using the SF424 Research and Related (R&R) forms and the SF424 (R&R) Application Guide. Detailed application instructions are included in the Funding Opportunity Announcements (FOAs) for federal assistance. Submit the signed original and five copies of your application by mail or express delivery service to: Technical Information Management System, (Add FOA number here), CDC Procurement and Grants Office, U.S. Department of Health and Human Services, 2920 Brandywine Road, Atlanta, GA 30341. This program is subject to the provisions of 45 CFR 92 for State and local governments and OMB Circular No. A-110 for nonprofit organizations.

Award Procedure:

Upon receipt, applications will be evaluated for completeness and responsiveness by the agency program and HHS/CDC Procurement and Grants

Office (PGO). HHS/CDC will not review incomplete and non-responsive applications. After review and approval, a notice of grant award (NoA) is prepared and processed, along with appropriate notification to the public. Initial award provides funds for first budget period (usually 12 months) and NoA indicates support recommended for the remainder of project period, allocation of Federal funds by budget categories, and special conditions, if any.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 3 to 6 months.

Appeals:

Not Applicable.

Renewals:

Cooperative agreement project periods are for 3 to 4 years. Subject to availability of funds, after initial awards, projects may be renewed non-competitively contingent upon satisfactory progress by the recipient (as documented in required reports) and the determination that continued funding is in the best interest of the Federal government.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Assistance is available for a 12-month budget period within project periods ranging from 3 to 4 years. See the following for information on how assistance is awarded/released: After awards are issued, funds are released in accordance with the payment procedure established by the grantee institution with DHHS, which may be an Electronic Transfer System or a Monthly Cost Request System.

Reports:

Program reports are not applicable. Cash reports are not applicable. An original and two hard-copies of the Non-Competing Grant Progress Report (PHS 2590) are due no less than 120 days prior to the end of each budget period within the project period. Financial status reports are required no later than 90 days after the end of each budget period. Final financial status reports are required no more than 90 days after the end of the project period. Final performance reports are required no more than 90 days after the end of the project period. All reports must be submitted to the Grants Management Specialist indicated in the NoA.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the project must be kept readily available for review by personnel authorized to examine PHS grant accounts. Records must be maintained for a minimum of 3 years after the end of a budget period. If questions still remain, such as those raised as a result of an audit, records must be retained until completion or resolution of any audit in process or pending resolution. Property records must be retained in accordance with PHS Grants Policy Statement requirements.

Account Identification:

75-0949-0-1-550.

Obligations:

(Cooperative Agreements) FY 12 \$1,762,785; FY 13 est \$900,000; and FY 14 est \$600,000 - These obligations exclude federal assistance provided to the States through the Epidemiology and Laboratory Capacity (ELC) and Emerging Infections Program (EIP) cooperative agreements (covered under CFDA

93.283), and their supporting large contract(s) provided by program discretionary funds.

Range and Average of Financial Assistance:

\$250,000 to \$300,000; Average award depends on funding opportunity announcement.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: The non-competing continuation research grant award for the long-term complications of Lyme disease study, and two non-competing continuation research cooperative agreement awards for the improved diagnostics project were awarded.

The new funding opportunity announcement in FY 2011 for community-based Lyme disease prevention and control programs in the highest endemic areas of the United States to begin to evaluate and implement selected interventions to reduce Lyme disease was awarded in FY 2012 as a non-competitive continuation, and a second, new award under this FOA.

Continuation awards to ELC and EIP cooperative agreements were made, along with a second service contract to conduct a follow-on acaricide treatment intervention trial in support of TickNet.

A small cooperative agreement award was made to update the physician education module for Lyme disease.

A new contract award was made for a small treatment trial to prevent Lyme disease in CT using bait boxes. Fiscal Year 2013: The non-competing continuation research grant award for the long-term complications of Lyme disease study was awarded, and the two non-competing continuation research cooperative agreement awards for the improved diagnostics projects are ending. Two non-competitive continuation awards for community-based Lyme disease prevention and control programs in the highest endemic areas of the United States to begin to evaluate and implement selected interventions to reduce Lyme disease were awarded.

Continuation awards to ELC and EIP cooperative agreements were made with some expansion to states that border Lyme endemic areas, along with a large service contract in support of the collective Lyme and Other Tickborne Diseases Prevention Study for TickNet. Fiscal Year 2014: In fiscal year 2014, the program expects to award funding for the non-competitive final year of the continuing research grant for the long-term complications of Lyme disease study, and the final year for one of the non-competitive continuation awards for community-based Lyme disease prevention and control programs in the highest endemic areas of the United States to begin to evaluate and implement selected interventions to reduce Lyme disease.

Continuation awards to ELC and EIP cooperative agreements are expected to be made to include states that border Lyme endemic areas, along with the continued large service contract in support of the collective Lyme and Other Tickborne Diseases Prevention Study for TickNet.

Program is considering other concepts pending availability of funds that may include expanded community-based Integrated Tick Management studies, cause of Lyme-like illness in southern states, a study to evaluate *Borrelia miyamotoi* as a cause of human illness in the U.S., and multi-center diagnostic study for new Lyme tests.

REGULATIONS, GUIDELINES, AND LITERATURE:

PHS Grants Policy Statement, DHHS Publication No. (OASH) 94-50.000 (Rev.) April 1, 1994. Pertinent information may be obtained by contacting the Headquarters Office.

Regional or Local Office:

See Regional Agency Offices. Bacterial Diseases Branch, Division of Vector-Borne Diseases, National Center for Emerging and Zoonotic Infectious Diseases, Office of Infectious Diseases, Centers for Disease Control and Prevention, 3156 Rampart Road, Fort Collins, CO 80521. Branch Extramural Activities Contacts: Ms. Diane Hamm - Telephone: (970) 221-6414 and Dr. Charles B. (Ben) Beard - Telephone: (970) 221- 6418. Division Extramural Activities Contacts: Ms. Mary Ellen (Mel) Fernandez - Telephone: (970) 221-6426 or Dr. Lyle R. Petersen - Telephone: (970) 221-6428.

Headquarters Office:

Christine Morrison 1600 Clifton Road, E60, Atlanta, Georgia 30329-4018
Email: CMorrison@cdc.gov Phone: 404-718-8845 Fax: 404-718-8640

Website Address:

<http://www.cdc.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications for public health research grants and cooperative agreements are evaluated for scientific and technical merit through the HHS/CDC peer review system. Applications are evaluated by a chartered Special Emphasis Panel in accordance with the Federal Advisory Committee Act, and in accordance with CDC peer review policy and manual.

Applications will be evaluated on the review criteria described in the Funding Opportunity Announcements (FOAs). In general, the review and selection process of complete and responsive applications to the FOA consists of determination of the scientific merit by peer review, availability of funds, and relevance of program priorities and the priorities of DHHS. Refer to the FOA for additional review criteria.

93.943 EPIDEMIOLOGIC RESEARCH STUDIES OF ACQUIRED IMMUNODEFICIENCY SYNDROME (AIDS) AND HUMAN IMMUNODEFICIENCY VIRUS (HIV) INFECTION IN SELECTED POPULATION GROUPS

(Epidemiologic Research Studies of AIDS and HIV)

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Public Health Act, Section 301.

OBJECTIVES:

To support research of important HIV-related epidemiologic issues concerning risks of transmission, the natural history and transmission of the disease in certain populations and development and evaluation of behavioral recommendations for reducing AIDS and HIV infection. Of special interest are programs that examine these research issues as they affect minority populations (defined as one of the four federally-recognized groups: African-Americans; Asian/Pacific Islanders; Latinos/Hispanics; and Native Americans).

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Assistance is provided to support cooperative agreements that examine important epidemiologic research issues concerning AIDS and HIV infection. The research should contribute to the health services knowledge base from which empirically based information can be derived by policy makers, both immediately and over the coming decades. Grants include funds for direct costs (such as personnel, travel, equipment, or supplies) necessary to carry out an approved project as well as funds for the reimbursement of applicable indirect costs. Unallowable costs, as well as those for which prior written approval is required, are indicated in the PHS Grants Policy Statement.

Applicant Eligibility:

Eligible applicants include States, political subdivisions of States or their agents or instrumentalities, private research organizations, including American Indian/Alaska Native tribal governments or tribal organizations located wholly or in part within their boundaries, other public and private nonprofit organizations, and for-profit organizations.

Beneficiary Eligibility:

State and local health agencies; private research organizations, public and private nonprofit organizations, for profit organizations; minority groups; including American Indian/Alaska Native tribal governments or tribal organizations located wholly or in part within their boundaries, and persons physically afflicted with AIDS/HIV infection.

Credentials/Documentation:

Costs will be determined by HHS Regulations 45 CFR Part 74, for nonprofit organizations and in accordance with OMB Circular No. A-87, "Cost Principles for State and Local Governments;". OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. A signed original and five copies of the application Form 398 must be sent to the Centers for Disease Control and Prevention (CDC). State and local governments may use Form 5161. An original and two copies must be submitted. This program is subject to the provisions of 45 CFR 92 for State and Local Governments and 45 CFR 74 for nonprofit organizations, as appropriate.

Award Procedure:

After review and approval, a notice of award is prepared and processed, along with appropriate notification to the public, initial award provides funds for first budget period (usually 12 months) and Notice of Award indicates support recommended for the remainder of project period, allocation of Federal funds by budget categories, and special conditions, if any. However, applicants are encouraged to call CDC for programmatic technical assistance prior to the development and submission of their assistance application.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days. About 3 - 6 months from receipt of application.

Appeals:

As stated in the Terms and Conditions.

Renewals:

If additional support is desired to continue a research project beyond the approved project period, an application for competing continuation must be submitted for review in the same manner as a new application.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Assistance is available for a 12-month budget period within project periods ranging from one to four years. After awards are issued, funds are released in accordance with the payment procedure established by the grantee institution with DHHS, which may be an Electronic Transfer System or a Monthly Cost Request System. Method of awarding/releasing assistance: by letter of credit.

Reports:

See FOA for details. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. See FOA for details.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the project must be kept readily available for review by personnel authorized to examine PHS grant accounts. Records must be maintained for a minimum of 3 years after the end of a budget period. If questions still remain, such as those cited as a result of an audit, records must be retained until completion or resolution of any audit in process or pending resolution. Property records must be retained in accordance with PHS Grants Policy Statement requirements.

Account Identification:

75-0950-0-1-550.

Obligations:

(Cooperative Agreements) FY 12 \$358,626,600; FY 13 est \$3,037,244; and FY 14 est \$3,037,244

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations governing this program are published in the application kit. PHS Grants Policy Statement No. 94-50,000 (Revised) April 1, 1994.

Regional or Local Office:

None.

Headquarters Office:

Elizabeth Wolfe 1600 Clifton Road, NE, Mailstop E-07, Atlanta, Georgia 30333 Email: eow1@cdc.gov Phone: (404) 639-8531

Website Address:

<http://www.cdc.gov>.

RELATED PROGRAMS:

93.118 Acquired Immunodeficiency Syndrome (AIDS) Activity

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be reviewed and evaluated based on the evidence submitted which specifically describes the applicant's abilities to meet the following criteria: (1) the plans to develop and implement the study describing how study participants will be identified, enrolled, tested and followed; (2) the ability to enroll and follow an adequate number of eligible study participants to assure proper conduct of the study. This includes both demonstration of the availability of HIV-infected potential study participants and the experience of the investigator in enrolling and following such persons; (3) the applicant's current activities in AIDS and HIV research and how they will be applied to achieving the objectives of the study; letters of support from cooperating organizations that demonstrate that nature and extent of such cooperation should be included; (4) the applicant's understanding of the research study objectives and, their ability, willingness and/or need to collaborate with CDC and researchers from other study sites in study design and analysis, including use of common forms, and sharing of specimens and data; (5) the plan to protect the rights and confidentiality of all participants and ensure adequate participation; (6) the size, qualifications and time allocation of the proposed staff and the availability of facilities to be used during the research study; (7) how the project will be administered to assure the proper management of the daily activities of the program; (8) the proposed schedule for accomplishing the activities of the research; including time frames; and (9) the quality of an evaluation plan which specifies methods and instruments to be used to evaluate the progress made in attaining research objectives.

93.944 HUMAN IMMUNODEFICIENCY VIRUS (HIV)/ACQUIRED IMMUNODEFICIENCY VIRUS SYNDROME (AIDS) SURVEILLANCE

(HIV/AIDS Surveillance)

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Section 301(a).

OBJECTIVES:

To continue and strengthen effective human immunodeficiency virus (HIV) and acquired immunodeficiency syndrome (AIDS) surveillance programs and to affect, maintain, measure and evaluate the extent of HIV/AIDS incidence and prevalence throughout the United States and its territories, providing information for targeting and implementing HIV prevention activities.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Grant funds may be used for salaries of staff conducting HIV/AIDS surveillance and sero surveillance activities, travel related to carrying out project activities and participating in national planning and implementation meetings, necessary supplies, computer software and hardware, and laboratory, data collection, and analysis costs. Grant funds are to be added to replace existing resources.

Applicant Eligibility:

The governments, or their agents or instrumentalities, of any of the States of the United States, the District of Columbia, the Commonwealth of Puerto Rico, territories or possessions of United States, including American Indian/Alaska Native tribal governments or tribal organizations located wholly or in part within their boundaries, and local governments who are current recipients of HIV/AIDS surveillance cooperative agreements.

Beneficiary Eligibility:

Official health agencies will benefit.

Credentials/Documentation:

. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Applications are made in the form of an application PHS-5161-1, to the Centers for Disease Control and Prevention. The standard application forms, as furnished by PHS and required by 45 CFR 92 for State and local governments must be used for this program. This program is subject to the provisions of 45 CFR Part 92 for State and local governments.

Award Procedure:

After review and approval, a notice of award is prepared and processed, along with appropriate notification to the public. Initial award provides funds for first budget period (usually 12 months) and Notice of Award (Form 5152-1) indicates support recommended for the remainder of project period, allocation of Federal funds by budget categories, and special conditions, if any.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days. About 120 days after application submission.

Appeals:

As stated in the Terms and Conditions.

Renewals:

Renewals may be approved for 1 to 5 years.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

From one to five years. Method of awarding/releasing assistance: by letter of credit.

Reports:

See FOA for details. No cash reports are required. See FOA for details. No expenditure reports are required. See funding opportunity announcement (FOA) for details.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the project must be kept readily available for review by personnel authorized to examine PHS grant accounts. Records must be maintained for a minimum of three years after the end of each budget period. If questions still remain, such as those raised as a result of an audit, records must be retained until completion or resolution of any audit in process or pending resolution. Property records must be retained in accordance with PHS Grants Policy Statement requirements.

Account Identification:

75-0950-0-1-550.

Obligations:

(Cooperative Agreements) FY 12 \$77,603,598; FY 13 est \$76,977,647; and FY 14 est \$76,977,547

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

PHS Grants Policy Statement, DHHS Publication No. (OASH) 94-50,000, (Rev.) April 1, 1994; Administration of Grants, DHHS, 45 CFR 92; Application Instructions and Information and Program Guidance established by CDC for HIV/AIDS cooperative agreements.

Regional or Local Office:

None.

Headquarters Office:

Elizabeth Wolfe 1600 Clifton Road, NE, Mailstop E-07, Atlanta, Georgia 30333 Email: eow1@cdc.gov Phone: (404) 639-8531

Website Address:

<http://www.cdc.gov>.

RELATED PROGRAMS:

93.283 Centers for Disease Control and Prevention_Investigations and Technical Assistance

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

(1) Official health agencies which are current recipients of funds for HIV/AIDS surveillance activities; (2) need and background; and (3) a willingness to comply with applicable CDC sero surveillance protocols.

93.945 ASSISTANCE PROGRAMS FOR CHRONIC DISEASE PREVENTION AND CONTROL

(State Cardiovascular Health Programs (CVH); and Racial and Ethnic Approaches to Community Health (REACH)); State Public Health Approaches to Improving Arthritis Outcomes, State Nutrition, Physical Activity and Obesity Programs.

State Public Health Actions to Prevent and Control Diabetes, Heart Disease Obesity and Associated Risk Factors and Promote School Health.

Using Traditional Foods and Sustainable Ecological Approaches for Health Promotion and Diabetes Prevention in American Indian/Alaska Native

Communities.

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act., Title 42, Section 301(a) and 317.

OBJECTIVES:

To work with State health agencies and other public and private nonprofit organizations in planning, developing, integrating, coordinating, or evaluating programs to prevent and control chronic diseases; assist in monitoring the major behavioral risks associated with the 10 leading causes of premature death and disability in the United States including cardiovascular diseases; and, establish new chronic disease prevention programs like Racial and Ethnic Approaches to Community Health (REACH), State Nutrition, Physical Activity and Obesity Programs (NPAO)

DP08-819/DP13-1317: Purpose - The purpose of the program is to 1) support community use of traditional foods and sustainable ecological approaches for diabetes prevention and health promotion in American Indian and Alaska Native communities; and 2) engage communities in identifying and sharing the stories of healthy traditional ways of eating, being active, and communicating health information and support for diabetes prevention and wellness.

CDC-RFA-DP13-1305, State Public Health Actions to Prevent and Control Diabetes, Heart Disease, Obesity and Associated Risk Factors and Promote School Health, supports statewide implementation of cross-cutting approaches to promote health and prevent and control chronic diseases and their risk factors. Four chronic disease prevention programs (Diabetes; Heart Disease and Stroke Prevention; Nutrition, Physical Activity, and Obesity; and School Health) are included in this FOA. State Health Departments are funded under this FOA to address the following goals:

Short/Intermediate Term Goals:

Improve state, community, worksite, school, and early childhood environments to promote and reinforce healthful behaviors across the lifespan related to diabetes, cardiovascular health, physical activity, healthful foods and beverages, obesity, and breastfeeding;

Improve effective delivery and use of quality clinical and other preventive services aimed at preventing and managing diabetes and hypertension; and Increase community-clinical linkages to support prevention, self-management, and control of diabetes, hypertension, and obesity.

Long Term Goals:

Improved prevention and control of hypertension
Improved prevention and control of diabetes
Improved prevention and control of overweight and obesity

The FOA has two components: 1) A basic non-competitive component to support health promotion, epidemiology, and surveillance activities and targeted strategies that will result in measurable impacts to address school health, nutrition and physical activity risk factors, obesity, diabetes, and heart disease and stroke prevention in all 50 states and the District of Columbia. These efforts will be supported by core public health activities such as partnership engagement, workforce development, guidance and support for programmatic efforts, strategic communication, surveillance and epidemiology, and evaluation; and 2) A competitive enhanced component to build on and extend the activities supported with basic funding to achieve even greater reach and impact. Thirty-two states were funded under the enhanced Component to implement evidence and practice-based interventions to improve physical activity and nutrition, reduce obesity, and prevent and control diabetes, heart disease, and stroke with a focus on high blood pressure. The enhanced component includes implementation of evidence-based strategies that are more extensive and wider-reaching than those implemented in the basic component. States funded for this enhanced component must implement interventions at scale in order to reach large segments of the population in the state (e.g., through school districts, early care and education (ECEs), worksites, and state and local governmental agencies) and in partnership with organizations that may or may not have worked with state departments of health in the past (e.g., large employers, public housing, the education sector, health insurers, and large

health systems).

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Grant funds may be used for costs associated with planning, implementing, and evaluating chronic disease prevention and control programs. Cooperative agreement funds may not be used for direct curative or rehabilitative services.

Applicant Eligibility:

Eligible applicants are the official State and territorial health agencies of the United States, the District of Columbia, tribal organizations, the Commonwealth of Puerto Rico, the Virgin Islands, Guam, the Northern Mariana Islands, the Federated States of Micronesia, the Republic of the Marshall Islands, the Republic of Palau, and American Samoa. Other public and private nonprofit community based organizations are also eligible (see REACH).

Beneficiary Eligibility:

State health agencies and community based organizations will benefit.

Credentials/Documentation:

Applicants should document the need for assistance, State the objectives of the project, outline the method of operation, describe evaluation procedures, and provide a budget with justification for funds requested. Costs will be determined in accordance with OMB Circular No. A-21 for Educational Institutions, and OMB Circular No. A-122 for nonprofit organizations. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Information on the submission of applications may be obtained from the Grants Management Officer, Grants Management Branch, Procurement and Grants Office, Centers for Disease Control and Prevention, 2920 Brandywine Road, Room 3000, Atlanta, GA 30341. This program is subject to the provisions of 45 CFR 92 and 74. The standard application forms, as furnished by PHS and required by 45 CFR 92 for State and local governments, must be used for these programs.

Award Procedure:

After review and approval, a notice of award is prepared and processed, along with appropriate notification to the public.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. From three to four months.

Appeals:

Not Applicable.

Renewals:

After review and approval, a notice of award is prepared and processed, along with appropriate notification to the public.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Project Period: From 3 three to 5 five years. Budget period: Usually 12 months. Method of awarding/releasing assistance: lump sum.

Reports:

Progress reports are required on a semi-annual basis. An annual Financial Status Report (FSR) is required. Financial status reports are required no later than 90 days after the end of each specified funding period. Final financial status and progress reports are required 90 days after the end of a project. Cash reports are not applicable. Progress reports are required on a semi-annual basis. An annual Financial Status Report (FSR) is required. Financial status reports are required no later than 90 days after the end of each specified funding period. Final financial status and progress reports are required 90 days after the end of a project. Progress reports are required on a semi-annual basis.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the cooperative agreement program shall be retained for a minimum of 3 years, or until completion and resolution of any audit in process or pending resolution. In all cases, records must be retained in accordance with PHS Grants Policy Statement requirements.

Account Identification:

75-0943-0-1-550.

Obligations:

(Cooperative Agreements) FY 12 \$6,520,000; FY 13 est \$6,520,000; and FY 14 est \$0 - These numbers reflect program from DNPAO and DCH

FY12 funding- 910 - 645,000

FY12 funding- 911 - 750,000

FY12 funding-Arthritis CDC-RFA-DP12-1210 2013 5,125,000 million, 12 states funded

FY13 DP13-1316 Consortium for Tobacco \$450,000.00. (Cooperative Agreements) FY 12 \$1,700,000; FY 13 est \$1,598,985; and FY 14 est \$1,598,985 - DP08-819

DP13-1317 for FY2014. (Cooperative Agreements) FY 12 \$0; FY 13 est \$59,261,408; and FY 14 est \$64,261,408 - FY13 funding by Program:

DDT - \$20,725,474

DNPAO - \$8,366,249

HDSP - \$23,280,000

DPH-SHB - \$6,889,685.

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

There are program regulations under 42 CFR 51b, Project Grants for Preventive Health Services. Guidelines are also available from PHS Grants Policy Statement, DHHS Publication No. (OASH) 94-50,000, (Rev.) April 1, 1994, applies to grants and cooperative agreements.

Regional or Local Office:

See Regional Agency Offices. See Appendix IV.

Headquarters Office:

Nicholas Farrell 1600 Clifton Rd, Atlanta, Georgia 30333 Phone: (770) 488-5269.

Website Address:

<http://www.cdc.gov/nccdphp>.

RELATED PROGRAMS:

93.283 Centers for Disease Control and Prevention_Investigations and

Technical Assistance; 93.988 Cooperative Agreements for State-Based Diabetes Control Programs and Evaluation of Surveillance Systems

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Based on the evaluation criteria as published in the program and/or Federal Register Announcement.

93.946 COOPERATIVE AGREEMENTS TO SUPPORT STATE-BASED SAFE MOTHERHOOD AND INFANT HEALTH INITIATIVE PROGRAMS

(Infant Health and pre-term delivery Initiative; PRAMS; MCHEP; ART; Maternal Health Research; Teen Pregnancy Prevention)

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Title 42, Section 301(a), 317K., Public Law USC-241(a); 241(a);.

OBJECTIVES:

To work with official public health agencies of States to: (1) establish and maintain State-specific, population-based surveillance of selected maternal behaviors that occur during pregnancy and the child's early infancy; and (2) generate State-specific data for planning and assessing perinatal health programs. Additionally, work with official public health agencies of States and localities to develop a multidisciplinary team of individuals dedicated to building the recipient's analytic capacity to use epidemiologic and surveillance data to address the health problems that affect its women, infants, and children. Prevention research activities related to women's health related to pregnancy, in vitro fertilization, pre-term delivery, and other reproductive health complications.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

PRAMS: Cooperative Agreement funds may be used to develop a surveillance system that will identify behavioral risk factors during pregnancy and early infancy, and will identify problems in health care delivery. Funds may be used to support the following activities: (1) Obtaining a commitment of participation from the Maternal and Child Health, Vital Statistics, and Data Processing units; (2) assuring that the State PRAMS program will have access to needed vital records information; (3) forming a Steering Committee to promote user involvement; (4) developing a surveillance protocol; (5) preparing a questionnaire, including State-specific questions; (6) obtaining Institutional Review Board review and approval; (7) implementing surveillance operations, such as sampling, data collection, data management, database development, and data analysis; and (8) planning for data dissemination and use. MCHEP: Cooperative agreement funds may be used by grantees to build their analytic capacity to use epidemiologic and surveillance data to address health problems affecting women, infants and children. Grantees in States, D.C., cities, and U.S. territories will develop a multidisciplinary team to identify populations at increased risk of infant mortality and to help direct programs to reduce this risk. Funds may be used to: (1) Establish a risk-oriented approach to the reduction of infant mortality; (2) substantially build State and local surveillance and epidemiologic capabilities; (3) enhance capacity in States to use surveillance and epidemiologic findings in program and policy development, implementation, and evaluation; (4) disseminate such analytic capabilities to other States by providing information, direct technical assistance, and a pool of knowledgeable and experienced individuals for collaboration; (5) coordinate State programs in database development and intervention research; and (6) develop stronger interactive partnerships among State, territorial, and Federal. To carry out other types of surveillance, prevention research, and demonstrations projects as authorized under the Safe Motherhood authorization.

Applicant Eligibility:

Official State and Territorial public health agencies. City of New York public health agency and District of Columbia. Applications may be submitted by

public and private nonprofit and for-profit organizations and by governments and their agencies; that is, universities, colleges, research institutions, hospitals, other public and private nonprofit and for-profit organizations, State and local governments or their bona fide agents, including the District of Columbia, the Commonwealth of Puerto Rico, the Virgin Islands, the Commonwealth of the Northern Mariana Islands, American Samoa, Guam, the Federated States of Micronesia, the Republic of the Marshall Islands, and the Republic of Palau, federally recognized Indian tribal governments, Indian tribes, or Indian tribal organizations, and small, minority, women-owned businesses.

Beneficiary Eligibility:

Official State and Territorial public health agencies. City of New York public health agency and District of Columbia. Applications may be submitted by public and private nonprofit and for-profit organizations and by governments and their agencies; that is, universities, colleges, research institutions, hospitals, other public and private nonprofit and for-profit organizations, State and local governments or their bona fide agents, including the District of Columbia, the Commonwealth of Puerto Rico, the Virgin Islands, the Commonwealth of the Northern Mariana Islands, American Samoa, Guam, the Federated States of Micronesia, the Republic of the Marshall Islands, and the Republic of Palau, federally recognized Indian tribal governments, Indian tribes, or Indian tribal organizations, and small, minority, women-owned businesses.

Credentials/Documentation:

Applicants should document the need for assistance, state the objectives of the project, outline the method of operation, describe evaluation procedures, and provide a budget with justification for funds requested. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Information on the submission of applications may be obtained from the Grants Management Officer, Grants Management Branch, Procurement and Grants Office, Centers for Disease Control and Prevention, 2920 Brandywine Road, Room 3000, Atlanta, GA 30341. This program is subject to the provisions of 45 CFR 92. The standard application forms, as furnished by CDC and required by 45 CFR 92 for State and local governments, must be used for this program.

Award Procedure:

After review and approval, a notice of award is prepared and processed, along with appropriate notification to the public.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. From three to four months.

Appeals:

Not Applicable.

Renewals:

Information on renewals may be obtained from the Grants Management Officer, Procurement and Grants Office, Centers for Disease Control and Prevention, 2920 Brandywine Road, Room 3000, Atlanta, GA 30341. This program is subject to the provisions of 45 CFR 92. The standard application forms, as furnished by CDC and required by 45 CFR 92 for State and local governments, must be used for this program.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Project Period: up to five years. Budget Period: All programs: For 12 months. Assistance is awarded through the SMARTLINK II System. Method of awarding/releasing assistance: lump sum.

Reporting:

Progress reports are required annually. Cash reports are not applicable. Progress reports are required annually. Annual financial reports are required at the end of each budget period. A final financial status report is due 90 days after the project period ends. Progress reports are required annually.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the cooperative agreements program shall be retained for a minimum of three years, or until completion and resolution of any audit in process or pending resolution. In all cases, records must be retained in accordance with PHS Grants Policy Statement requirements.

Account Identification:

75-0943-0-1-550.

Obligations:

(Cooperative Agreements) FY 12 \$13,797,643; FY 13 est \$24,262,039; and FY 14 est \$24,262,039

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

PHS Grants Policy Statement, DHHS Publication No. (OASH) 94-50,000, (Rev.) April 1, 1994, applies to cooperative agreements.

Regional or Local Office:

None.

Headquarters Office:

Dee Wetterhall 1600 Clifton Rd , Atlanta, Georgia 30333 Phone: (770) 488-6255

Website Address:

<http://www.cdc.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

All programs: (1) The extent to which the application describes and presents appropriate data indicating an understanding of the problem, the program for which assistance is requested, and the purpose of the cooperative agreement; (2) the degree to which the workplan addresses the stated needs, is likely to achieve the purposes of the cooperative agreement, and describes the specific roles and responsibilities of participating personnel; (3) the degree to which the application provides a complete and achievable timetable of appropriate events; (4) the adequacy of the plan to monitor progress toward the stated objectives; (5) the extent to which the budget is reasonable, consistent with the problems identified and the scope of the program proposed to address these problems, and the intended use of cooperative agreement funds, and clearly reflects the applicant's intent to commit nonfederal resources to support the operational costs of these programs. PRAMS only: The degree to which the application describes the process for registering births in the State.

93.947 TUBERCULOSIS DEMONSTRATION, RESEARCH, PUBLIC AND PROFESSIONAL EDUCATION

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

This program is authorized under Sections 317(k)(2) and 317E of the Public Health Service Act (42 U.S.C. Sections 247b-6), as amended.

OBJECTIVES:

To assist States, political subdivisions of States, and other public and nonprofit private entities in conducting research into prevention and control of tuberculosis nationally and internationally, especially in conducting demonstration projects for the prevention and control of tuberculosis; in providing public information and education programs for prevention and control of tuberculosis; and in developing education, training, and clinical skills improvement activities in the prevention and control of tuberculosis for health professionals, including allied health personnel.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Requests for direct assistance (i.e., "in lieu of cash") for personnel, equipment, and supplies directly related to project activities, particularly directly observed therapy, patient outreach, and program assessment will be considered. Project funds may not be used to supplant State or local funds available for tuberculosis control or to support construction costs or inpatient care.

Applicant Eligibility:

States, political subdivisions of States, and other public and nonprofit private entities.

Beneficiary Eligibility:

States, political subdivisions of States, other public and nonprofit private entities, serving persons with TB infection and disease.

Credentials/Documentation:

Applicants should document the need for assistance, state the objectives of the project, outline the method of operation, describe the evaluation procedures, and provide a budget with justification of funds requested. For nonprofit recipients, costs will be determined in accordance with DHHS Regulations 45 CFR Part 74 Subpart Q. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. To apply for this funding opportunity, use application form PHS 398 (OMB number 0925-0001 rev. 5/2001). Forms and instructions are available in an interactive format on the CDC web site, at the following Internet address: www.cdc.gov/od/pgo/forminfo.htm. Submit the signed original and five copies of your application by mail or express delivery service to: Technical Information Management, CDC Procurement and Grants Office, 2920 Brandywine Road, Atlanta, GA 30341. The standard application forms 5161 must be used for the program as furnished by CDC and required by 45 CFR 92 for state and local governments and by 45 CFR 74 for nongovernmental applicants.

Award Procedure:

Applications that are complete and responsive to the announcement will be evaluated for scientific and technical merit by an appropriate peer review group convened by the NCHHSTP in accordance with the review criteria listed above. As part of the initial merit review, all applications may: (1) Undergo a process

in which only those applications deemed to have the highest scientific merit, generally the top half of the applications under review, will be discussed and assigned a priority score; (2) receive a written critique; and (3) receive a second level review by the Science and Program Review Subcommittee (SPRS). Successful applicants will receive a Notice of Grant Award (NGA) from the CDC Procurement and Grants Office. The NGA shall be the only binding, authorizing document between the recipient and CDC. The NGA will be signed by an authorized Grants Management Officer, and mailed to the recipient fiscal officer identified in the application.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

From 120 to 180 days.

Renewals:

From 60 to 90 days. Project periods are for 3 to 5 years with 12-month budget periods. Applications must be obtained from Grants Management Officer, Grants Management Branch, Procurement and Grants Office, Centers for Disease Control and Prevention.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. This program has no statutory formula or matching requirements. Although there are no statutory requirements, applicants must assume part of the project cost and fiscal information must be provided in the narrative portion of the application pursuant to provisions of Section 317(e).

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

From three to five years. Budget Period: For 12 months. Method of awarding/releasing assistance: lump sum.

Reports:

No program reports are required. No cash reports are required. Either quarterly or semi-annual narrative and statistical progress reports are required. Progress reports are required 90 days after the end of a project period. Report should address the recipients progress of achievement of the stated objectives. Annual financial status reports are required no later than 90 days after the end of each budget period. Final financial status reports are required 90 days after the end of a project period. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the project shall be retained for at least 3 years or until resolution of any audit questions. Property records must be retained in accordance with PHS Grants Policy Statement requirements.

Account Identification:

75-0950-0-1-550.

Obligations:

(Cooperative Agreements) FY 12 \$1,628,134; FY 13 est \$7,684,296; and FY 14 est \$7,684,296

Range and Average of Financial Assistance:

\$100,000 to \$1,000,000 with an average of \$120,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: An award was made during fiscal year 2012 to an international organization to conduct joint conferences, to develop teaching materials and jointly conduct courses in TB epidemiology in developing countries and to conduct demonstration projects of TB control programs in countries of strategic interest to the United States. Another award was made to an international organization to expand efforts and strategies to prevent and control TB and TB/HIV and to implement activities to assist national governments, regional authorities, non-governmental organizations, private and public health care systems, donors and other interested partners to develop, establish, and coordinate systems and procedures to strengthen TB and TB/HIV treatment and control efforts worldwide. An award was also made to a domestic organization to maintain effective communication capacity among the nation's Tuberculosis Control officials (TB Controllers) and TB nursing professionals. During 2012, an award was also made to an organization to travel laboratory staff to a national meeting and also to perform a laboratory survey. There were three awards made in fiscal year 2012. Fiscal Year 2013: An award is expected during fiscal year 2013 to an international organization to conduct joint conferences, to develop teaching materials and jointly conduct courses in TB epidemiology in developing countries and to conduct demonstration projects of TB control programs in countries of strategic interest to the United States. Another award is expected to an international organization to expand efforts and strategies to prevent and control TB and TB/HIV and to implement activities to assist national governments, regional authorities, non-governmental organizations, private and public health care systems, donors and other interested partners to develop, establish, and coordinate systems and procedures to strengthen TB and TB/HIV treatment and control efforts worldwide. An award is also expected during fiscal year 2013 to a domestic organization to maintain effective communication capacity among the nation's Tuberculosis Control officials (TB Controllers) and TB nursing professionals. During 2013, an award is also expected to an organization to travel laboratory staff to a national meeting and also to perform a laboratory survey. It is estimated that up to six awards will be made in fiscal year 2013. Fiscal Year 2014: An award is expected during fiscal year 2014 to an international organization to conduct joint conferences, to develop teaching materials and jointly conduct courses in TB epidemiology in developing countries, and to conduct demonstration projects of TB control programs in countries of strategic interest to the United States. Another award is expected to an international organization to expand efforts and strategies to prevent and control TB and TB/HIV and to implement activities to assist national governments, regional authorities, non-governmental organizations, private and public health care systems, donors and other interested partners to develop, establish, and coordinate systems and procedures to strengthen TB and TB/HIV treatment and control efforts worldwide. An award is also expected during fiscal year 2013 to a domestic organization to maintain effective communication capacity among the nation's Tuberculosis Control officials (TB Controllers) and TB nursing professionals. During 2014, an award is also expected to an organization in order to travel laboratory staff to a national meeting and also to perform a laboratory survey. It is estimated that up to six awards will be made in fiscal year 2014.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations governing this program are published under 42 CFR 51b. Guidelines are available in the application kit. PHS Grants Policy Statement No. 94-50,000 (Revised) April 1, 1994.

Regional or Local Office:

None.

Headquarters Office:

Kathryn Koski 1600 Clifton Road, MS E-10, Atlanta, Georgia 30333 Email: kak4@cdc.gov Phone: 404-639-5259 Fax: 404-639-8604

Website Address:

<http://www.cdc.gov>.

RELATED PROGRAMS:

93.283 Centers for Disease Control and Prevention_ Investigations and Technical Assistance; 93.991 Preventive Health and Health Services Block Grant

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Applications will be evaluated on the extent of the tuberculosis problem; the establishment of specific and measurable objectives; and the development of a sound operational plan that will ensure the implementation of each program's elements.

93.958 BLOCK GRANTS FOR COMMUNITY MENTAL HEALTH SERVICES

Mental Health Block Grant (MHBG)

FEDERAL AGENCY:

Substance Abuse and Mental Health Services Administration, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Subpart 1 and III, Title XIX, Part B, as amended by Public Health Service Act, Public Law 106-310, 42 U.S.C 300x.

OBJECTIVES:

To provide financial assistance to States and Territories to enable them to carry out the State's plan for providing comprehensive community mental health services to adults with a serious mental illness and to children with a serious emotional disturbance; monitor the progress in implementing a comprehensive community based mental health system; provide technical assistance to States and the Mental Health Planning Council that will assist the States in planning and implementing a comprehensive community based mental health system.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Funds may be used at the discretion of the State to achieve the described objectives except for certain requirements. State plans must meet prescribed criteria. Services under the plan will be provided only through appropriate, qualified community programs (which may include community mental health centers, child mental-health programs, psychosocial rehabilitation programs, mental health peer-support programs and mental-health primary consumer-directed programs). Services under the plan will be provided through community mental health centers only if the centers meet prescribed criteria.

Up to 5 percent of grant funds may be used for administering the funds. Funds may not be used to provide inpatient services; to make cash payments to intended recipients of health services; to purchase or improve land, purchase, construct, or permanently improve (other than minor remodeling) any building or other facility, or purchase major medical equipment; to satisfy any requirement for the expenditure of nonfederal funds as a condition for the receipt of Federal funds; or to provide financial assistance to any entity other than a public or nonprofit private entity.

Other statutory requirements also apply.

Applicant Eligibility:

State and U.S. Territory Governments.

Beneficiary Eligibility:

Recipients of State and U.S. Territory Governments.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Chief Executive Officer of the State or Territory must apply annually for an allotment. States are required to submit an application which contains a State Plan that describes comprehensive community mental health services for adults with a serious mental illness and children with a serious emotional disturbance; an Implementation Report that describes State progress in implementing the

plan for the preceding year; recommendations from the State Mental Health Planning Council; a report on expenditure of the preceding fiscal year's block grant funds; a maintenance of effort report and agreements signed by the Chief Executive Officer of the State.

Award Procedure:

Grant Awards are issued directly by The Center for Mental Health Services, Substance Abuse and Mental Health Services Administration (SAMHSA) to the designated State agency.

Deadlines:

Nov 30, -0001 The application is due no later than September 1 of the fiscal year for which MHBG funding is being requested. The Implementation report is due by December 1.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title XIX, Part B, Subpart I and III, Public Law 106-310.

This program has no matching requirements.

This program has MOE requirements, see funding agency for further details.

Under 42 USC, 300x-4(b), States are required to maintain aggregate State expenditures for authorized activities at a level that is not less than the average level of such expenditures maintained by the State for the 2-year period preceding the fiscal year for which the State is applying for the grant.

Length and Time Phasing of Assistance:

Each allotment is available for obligation and expenditure during the fiscal year in which it was allotted through the end of the subsequent fiscal year. Method of awarding/releasing assistance: quarterly.

Reports:

As part of the application, each State must submit an Implementation Report that describes the State's progress in implementing the State's Comprehensive Community Mental Health Services Plan for the preceding fiscal year and a report of the purposes for which the grant received for the preceding fiscal year were expended, including a description of the activities of the State under the program and the recipients of the funds. No cash reports are required. No progress reports are required. Federal Financial Report SF-425 is due 90 days after the end of the project period. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Each State must maintain records which are consistent with their State laws and requirements.

Account Identification:

75-1363-0-1-551.

Obligations:

(Formula Grants) FY 12 \$431,558,674; FY 13 est \$408,922,525; and FY 14 est \$408,922,525

Range and Average of Financial Assistance:

\$50,000 to \$55,061,609 \$6,930,890.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: 59 awards were made. Fiscal Year 2013: 59 awards were made. Fiscal Year 2014: It is estimated that 59 awards will be made.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR Part 96.

Regional or Local Office:

None.

Headquarters Office:

Virginia Simmons 1 Choke Cherry Road, Rm. 7-1091, Rockville, Maryland 20857 Email: virginia.simmons@samhsa.hhs.gov Phone: 240-276-1422 Fax: 240-276-1430

Website Address:

<http://www.samhsa.gov> .

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications must fulfill statutory and regulatory requirements. For information regarding statutory and regulatory requirements, contact Dr. John Morow, Division of State & Community Systems Development, Center for Mental Health Services, SAMHSA, HHS, 1 Choke Cherry Road, Room 2-1091, Rockville, MD 20850. Telephone: (240) 276-1783.

93.959 BLOCK GRANTS FOR PREVENTION AND TREATMENT OF SUBSTANCE ABUSE

Substance Abuse Block Grant (SABG)

FEDERAL AGENCY:

Substance Abuse and Mental Health Services Administration, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Subpart II and III, Title XIX, Part B, as amended by Public Health Service Act, Public Law 106-310, 42 U.S.C 300x.

OBJECTIVES:

To provide financial assistance to States and Territories to support projects for the development and implementation of prevention, treatment and rehabilitation activities directed to the diseases of alcohol and drug abuse.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

Funds may be used at the discretion of the States to achieve the statutory objectives, including the fulfillment of certain requirements. Not less than 20 percent of the funds shall be spent for programs for individuals who do not require treatment for substance abuse, but to educate and counsel such individuals and to provide for activities to reduce the risk of such abuse by the individuals by developing community-based strategies for prevention of such abuse, including the use of alcoholic beverages and tobacco products by individuals to whom it is unlawful to sell or distribute such beverages or products. States shall expend not less than 5 percent of the grant to increase (relative to fiscal year 1994) the availability of treatment services designed for pregnant women and women with dependent children (either by establishing new programs or expanding the capacity of existing programs). In addition, a State that has a rate of acquired immune deficiency syndrome in excess of the rate stipulated at 42 USC 300A-24(b) must expend the portion of its block grant specified by 300A-24(b) for HIV early intervention services as defined by the PHS Act. States must require programs of treatment for intravenous drug abuse to admit individuals into treatment within 14 days after making such a request or 120 days of such a request, if interim services are made available within 48 hours. States will provide, directly or through arrangements with other public or nonprofit entities, tuberculosis services such as counseling, testing, treatment, and early intervention services for substance abusers at risk for the human immunodeficiency virus (HIV) disease. Other statutory requirements also apply. Up to 5 percent of grant funds may be used for administering the grant.

Applicant Eligibility:

State and U.S. Territory Governments; or Tribal Organizations. NOTE: Only the Red Lake Band of Chippewa Indians is eligible for direct award of Block Grants for Prevention and Treatment of Substance Abuse Funds, per the PHS

Act.

Beneficiary Eligibility:

Recipients of State and U.S. Territory Governments; or Tribal Organizations.
NOTE: Only the Red Lake Band of Chippewa Indians is eligible for direct award of Block Grants for Prevention and Treatment of Substance Abuse Funds, per the PHS Act.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Chief Executive Officer of the State, Territory, or Tribal Organization (limited to the Red Lake Band of the Chippewa) must apply annually for an allotment.

Award Procedure:

Grant awards are issued directly by the Center for Substance Abuse Treatment, Substance Abuse and Mental Health Services Administration (SAMHSA) to the designated State Agency, Territory, or Tribal organization (limited to the Red Lake Band of the Chippewa).

Deadlines:

Nov 30, -0001 The application is due no later than October 1 of the fiscal year for which SABG funding is being requested.

Range of Approval/Disapproval Time:

From 30 to 60 days. Synar Report is due by December 31, 2013.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title XIX , Part B, Subpart II and III, Public Law 106-310. This program has no matching requirements.

This program has MOE requirements, see funding agency for further details. Under 42 USC 300x-30, States expenditure for authorized activities at a level that is not less than the average level of such expenditures maintained by the State for the 2-year period preceding the fiscal year for which the State is applying for the grant.

Length and Time Phasing of Assistance:

Each allotment is available for obligation and expenditure during the fiscal year it was allotted through the end of the subsequent fiscal year for which the State is applying for the grant. Method of awarding/releasing assistance: quarterly.

Reports:

Synar report is due on December 31. Cash reports are not applicable. Progress reports are not applicable. Federal Financial Report SF-425 is due 90 days after the end of the budget period. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Each State must maintain records which are consistent with their State laws and requirements.

Account Identification:

75-1364-0-1-551.

Obligations:

(Formula Grants) FY 12 \$1,689,876,866; FY 13 est \$1,600,199,867; and FY 14 est \$1,600,199,867

Range and Average of Financial Assistance:

\$116,688 to \$153,120,476; \$26,669,998.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: 60 awards were made. Fiscal Year 2013: 60 awards were made. Fiscal Year 2014: It is estimated that 60 awards will be made.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR Part 96

Regional or Local Office:

None.

Headquarters Office:

Virginia Simmons 1 Choke Cherry Road, Rm. 7-1097, Rockville, Maryland 20857 Email: virginia.simmons@samhsa.hhs.gov Phone: 240-276-1422 Fax: 240-276-1430

Website Address:

<http://www.samhsa.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications must fulfill statutory and Federal regulations and regulatory requirements. For information on statutory and Federal regulations requirements contact John J. Campbell, Division of State and Community Assistance, Center for Substance Abuse Treatment, SAMHSA, 1 Choke Cherry Road, Rm. 5-1069, Rockville, MD 20857. Telephone: (240-) 276-2891.

93.964 PREVENTION AND PUBLIC HEALTH FUND (PPHF) PUBLIC HEALTH TRAINEESHIPS

Public Health Traineeships

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Title VII, Section 767, as amended by section 5206 of the Patient Protection and Affordable Care Act (Pub. L. 111-148).

OBJECTIVES:

To support students pursuing a graduate degree in fields with a severe shortage of health professionals, such as epidemiology, environmental health, toxicology, nutrition, biostatistics, and maternal and child health through the provision of tuition, fees, stipends and allowances.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Grant funds may be used only to support students enrolled in grant-eligible graduate programs for severe shortage public health professions. Students pursuing joint degrees such as the MPH/MD, MPH/MSN, MPH/MSA, etc., are eligible for traineeship support for completion of their public health degree only. Funding may not be sought for courses or other expenses related to their non-public health degree curriculum. Funding may not be used to support residency requirements. Traineeship expenditures are limited to payment of stipends, tuition and fees in accordance with the institution's established rates, and a transportation allowance on an individual trainee basis when prior approval has been obtained from the Grants Management Officer. Grantees may not spend grant funds for sectarian instruction or for any religious purpose. Use of traineeship funds for indirect cost (overhead) of the trainee institution is not authorized.

Applicant Eligibility:

Eligible applicants are schools of public health accredited by the Council on

Education for Public Health (CEPH), and other public or nonprofit private institutions accredited for the provision of graduate or specialized training in public health, by a body recognized by the Secretary of the U.S. Department of Education.

Beneficiary Eligibility:

(1) Trainees must be United States Citizens or non-citizen nationals and foreign nationals who possess a visa permitting permanent residence in the United States. (2) Trainees must be pursuing a graduate degree in an accredited school of public health or other eligible institution. (3) For grants awarded on the basis of a formula, all trainees must meet the admission requirements specified in the approved grant application and those of the institution.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. HRSA is requiring all applicants applying for funding opportunities to apply electronically through Grants.gov. This is a formula-based award.

Award Procedure:

All applications will be reviewed by program staff. Funding will be determined by a formula. The HRSA program official with delegated authority is responsible for final selection and funding decisions. Notification is made in writing (electronic) by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 3 to 5 months after receipt of applications.

Appeals:

Not Applicable.

Renewals:

During the final budget year of the approved project period, competing continuation applications may be submitted.

Formula and Matching Requirements:

Statutory Formula: Available funds are provided to schools of public health and other eligible accredited applicants on the basis of a formula which considers comparative enrollment of trainees documented in the applicant's proposal.

This program has no matching requirements.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Project periods are for up to three years. See the following for information on how assistance is awarded/released: Grantees drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

The awardee will be required to submit annual performance and progress reports as well as status-federal financial reports (see below for general information on each required report). The awardee must submit a quarterly electronic Federal Financial Report (FFR) Cash Transaction Report via the Payment Management System within 30 days of the end of each calendar quarter. A Federal Financial Report (SF-425) is required within 90 days of the end of each budget period. A final report is due within 90 days after the project period ends. If applicable, the awardee must submit a Tangible Personal Property Report (SF-428) and any related forms within 90 days after the project

period ends. New awards (Type 1) issued under this funding opportunity announcement are subject to the reporting requirements of the Federal Funding Accountability and Transparency Act (FFATA) of 2006 (Pub. L. 109282), as amended by section 6202 of Public Law 110252, and implemented by 2 CFR Part 170. Grant and cooperative agreement recipients must report information for each first-tier subaward of \$25,000 or more in federal funds and executive total compensation for the recipients and subrecipients five most highly compensated executives as outlined in Appendix A to 2 CFR Part 170 (FFATA details are available online at <http://www.hrsa.gov/grants/ffata.html>). Competing continuation awardees, etc. may be subject to this requirement and will be so notified in the Notice of Award. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records three years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the three year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular three year period, whichever is later.

Account Identification:

75-0353-0-1-552.

Obligations:

(Formula Grants) FY 12 \$1,107,229; FY 13 est \$0; and FY 14 est \$0 - Prevention and Public Health Funds. (Formula Grants) FY 12 \$329,178; FY 13 est \$0; and FY 14 est \$0 - Regular Appropriation.

Range and Average of Financial Assistance:

Prevention and Public Health Fund
FY 2012 Range: \$14,280 - \$139,319. Average: \$58,289;
FY 2013 est.: Range: \$0; Average: \$0;
FY 2014 est.: Range: \$0; Average: \$0.

Regular Appropriation:

FY 2012 Range: \$621 - \$30,918; Average: \$12,192 ;
FY 2013 est. Range: \$0; Average est. \$0.
FY 2014 est.: Range: \$0; Average: \$0.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for state, local and tribal governments, and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations, and commercial organizations, as applicable.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices. Julia Sheen-Aaron, Chief, Public Health Branch, Division of Public Health and Interdisciplinary Education, Bureau of Health Professions, Health Resources and Services Administration, Department of Health and Human Services Room 9C-05 , 5600 Fishers Lane, Rockville, Maryland 20857
Email: JSheen-aaron@hrsa.gov Phone: (301) 443-3875.

Headquarters Office:

Marian Ladipo 5600 Fishers Lane, Room 9C-05, Rockville, Maryland 20857
Email: mladipo@hrsa.gov Phone: (301) 443-7756

Website Address:

www.hrsa.gov.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

After staff review, funds are awarded on a formula basis among the eligible applicant schools of public health and other accredited public or private nonprofit institutions based on the number of students preparing to enter occupations for which there exist severe shortages.

93.965 COAL MINERS RESPIRATORY IMPAIRMENT TREATMENT CLINICS AND SERVICES

Black Lung Clinics

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Sec. 427(a) of the Federal Mine Safety and Health Act of 1977, as amended (30 U.S.C. 937).

OBJECTIVES:

1) Improve the health status of active and inactive miners. This includes reducing the prevalence of pneumoconiosis among active miners and enhancing the quality of life for those with employment related pulmonary and respiratory diseases; 2) Increase coordination among service providers to enhance access to services for both inactive and active miners and their families; 3) Facilitate the enhanced processing of claims for Federal Black Lung benefits through their provision of well-reasoned medical opinions and timely scheduling/completion of U.S. Department of Labor exams; 4) Strengthen the quality of care among service providers (both within and outside of the program) through the implementation of care standards, accurate benefits counseling, and outreach efforts to miners and their families, as well as service providers; and 5) Ensure the appropriate identification, treatment, and/or referral for secondary conditions identified as a result of screenings and U.S. Department of Labor exams.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

USES: Grants may be used for the cost of providing diagnostic and treatment procedures required in the management of black lung and other respiratory impairments in coal miners and others with occupational related respiratory diseases, and costs of equipment and facilities renovation when these costs are demonstrated to be necessary to enable the implementation of services.

Applicant Eligibility:

Any state or public or private entity that meets the requirements of the legislation to provide analysis, examination, and treatment of respiratory and pulmonary impairments in active and inactive coal miners. is eligible to apply for funding. Preference will be given to a state applicant over an individual entity in the same state. New state applicants must provide assurance that they will continue to provide services to miners and their families served under the previous state grantee whose project period is ending; and funding should not be used to supplant existing services provided by an applicant.

Beneficiary Eligibility:

Active and inactive coal miners who have or are at risk for employment-related respiratory and pulmonary impairments as well as their families.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is

not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. HRSA requires all applicants to apply electronically through Grants.gov.

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Award Procedure:

Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Up to 6 months.

Appeals:

Not Applicable.

Renewals:

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Assistance will cover operational costs and grantees will generally be expected to begin to deliver services immediately. See the following for information on how assistance is awarded/released: Grantee drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

Grantees are required to submit an annual report that describes the utilization costs of services provided under the grant, and provide such other information as the Secretary determines appropriate. The Black Lung Clinics Program Database report is due annually according to specific instructions from the Program Office. No cash reports are required. An electronic quarterly Federal Financial Report (FFR) is due to the Division of Payment Management within 30 days of the end of each quarter. A final FFR must be submitted to HRSA via the Electronic HandBooks (EHB) within 90 days of the end of each budget period. Final progress reports are due 90 days after the end of a project period. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until

the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Project Grants) FY 12 \$7,153,000; FY 13 est \$7,139,481; and FY 14 est \$7,139,481

Range and Average of Financial Assistance:

Range of awards is \$139,154 to \$1,424,3681. The average award is \$439,625.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: 18,129. Fiscal Year 2013: No Current Data Available. Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices. Nadia Ibrahim, nibrahim@hrsa.gov; 301-443-5490, Office of Rural Health Policy, Health Resources and Services Administration, 5600 Fishers Lane Room, 5A-05 Rockville, MD 20857. Telephone: (301) 443-0835.

Headquarters Office:

Office of Rural Health Policy 5600 Fishers Lane, Room 5A-55, Rockville, Maryland 20857 Phone: (301) 443-0835

Website Address:

<http://www.hrsa.gov/ruralhealth/>

RELATED PROGRAMS:

17.307 Coal Mine Workers' Compensation; 93.224 Consolidated Health Centers (Community Health Centers, Migrant Health Centers, Health Care for the Homeless, Public Housing Primary Care, and School Based Health Centers); 93.773 Medicare_Hospital Insurance; 93.774 Medicare_Supplementary Medical Insurance; 93.778 Medical Assistance Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Projects will be funded based on the number of miners to be served and their needs as well as the quality and breadth of services to be provided. Projects must: (1) Describe the target population, the need for services, and the services to be provided; (2) Serve a significant number of coal workers with pulmonary impairment without regard for their ability to pay; (3) Provide the following for active and inactive miners: primary care services; patient and family education and counseling, outreach, patient care coordination (including individual patient care plans for all patients), smoking cessation information, and other symptomatic treatments; (4) Provide medical services in consultation a physician with special training or experience in the diagnosis and treatment of respiratory diseases; (5) Meet all criteria for approval and designation by the Department of Labor (under 20 CFR part 725) to perform disability examinations and provide treatment.

93.969 PPHF-2012 GERIATRIC EDUCATION CENTERS

GECs (financed in part by 2012 Prevention and Public Health Funds (PPHF-2012))

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Title VII, Section 753(a), Health Professions Education Partnerships Act of 1998, Public Law 105-392 as amended by the Patient Protection and Affordable Care Act of 2010, Public Law 111-148.

OBJECTIVES:

Grants are awarded to establish or operate Geriatric Education Centers. to provide interdisciplinary training of health professions faculty, students, and practitioners in the diagnosis, treatment and prevention of disease, disability, and other health problems of the elderly. Health professionals include allopathic physicians, osteopathic physicians, dentists, optometrists, podiatrists, pharmacists, nurse practitioners, physician assistants, chiropractors, clinical psychologists, social workers, health administrators, and other allied health professionals. Projects supported under these grants must offer training involving four or more health professions, one of which must be allopathic or osteopathic medicine, and must address all of the following statutory purposes: (a) improve the training of health professionals in geriatrics; (b) develop and disseminate curricula relating to the treatment of the health problems of elderly individuals; (c) support training and retraining of faculty to provide instruction in geriatrics; (d) support continuing education of health professionals who provide geriatric care; and (e) provide students with clinical training in geriatrics in nursing homes, chronic and acute disease hospitals, ambulatory care centers, and senior centers.

Prevention and Public Health Funds (PHHF) grants were awarded in FY 2012 to funded Geriatric Education Centers (GEC) Program grantees to provide interprofessional continuing education to healthcare providers on Alzheimers disease and related dementias (AD). The goal of this training is to improve detection and early intervention of AD and ultimately improve care for patients and their families.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Assistance can be used to establish and operate a Geriatric Education Center (GEC). Funds may not be used for trainee costs, the constructions of buildings or the acquisition of land.

Applicant Eligibility:

Grants may be made to accredited health professions schools as defined by Section 799B(1) of the Public Health Service Act, or programs for the training of physicians assistants as defined by Section 799B(3), or schools of allied health as defined in Section 799B(4), or schools of nursing as defined by Section 801(2).

Eligible applicants are accredited health professions schools and programs. The following entities are eligible applicants:

Schools of Allopathic Medicine;
Schools of Veterinary Medicine;
Schools of Dentistry;
Schools of Public Health;
Schools of Osteopathic Medicine;
Schools of Chiropractic;
Schools of Pharmacy;
Physician Assistant Programs;
Schools of Optometry;
Schools of Allied Health;
Schools of Podiatric Medicine; and
Schools of Nursing

The following accredited graduate programs are also eligible applicants:

Health Administration; and
Behavioral Health and Mental Health Practice, including -- :
Clinical Psychology,
Clinical Social Work,
Professional Counseling,
and Marriage and Family Therapy.

Applicants must be located in the United States, the District of Columbia, the Commonwealth of Puerto Rico, the Commonwealth of the Northern Mariana Islands, the Virgin Islands, Guam, American Samoa, the Republic of Palau, the

Republic of the Marshall Islands, or the Federated States of Micronesia.

Beneficiary Eligibility:

Accredited health professions schools.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. HRSA requires all applicants to apply electronically through Grants.gov.

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Award Procedure:

Notification is made in writing (electronic) by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 4 to 6 months after receipt of applications.

Appeals:

Not Applicable.

Renewals:

At the end of the project period, competing continuation applications may be submitted.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grants are awarded as cooperative agreements for a project period of up to five (5) years. See the following for information on how assistance is awarded/released: Grantees draw down funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

The awardee will be required to submit annual performance and progress reports as well as status-federal financial reports (see below for general information on each required report). The awardee must submit a quarterly electronic Federal Financial Report (FFR) Cash Transaction Report via the Payment Management System within 30 days of the end of each calendar quarter. A Federal Financial Report (SF-425) is required within 90 days of the end of each budget period. A final report is due within 90 days after the project period ends. If applicable, the awardee must submit a Tangible Personal Property Report (SF-428) and any related forms within 90 days after the project period ends. New awards (Type 1) issued under this funding opportunity announcement are subject to the reporting requirements of the Federal Funding Accountability and Transparency Act (FFATA) of 2006 (Pub. L. 109282), as amended by section 6202 of Public Law 110252, and implemented by 2 CFR Part 170. Grant and cooperative agreement recipients must report information for each first-tier subaward of \$25,000 or more in federal funds and executive total compensation for the recipients and subrecipients five most highly compensated executives as outlined in Appendix A to 2 CFR Part 170 (FFATA

details are available online at <http://www.hrsa.gov/grants/ffata.html>).

Competing continuation awardees, etc. may be subject to this requirement and will be so notified in the Notice of Award. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records three years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the three year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular three year period, whichever is later.

Account Identification:

75-0353-0-1-552 - PPHF; 75-0350-0-1-550.

Obligations:

(Project Grants) FY 12 \$15,240,142; FY 13 est \$15,726,258; and FY 14 est \$15,726,258 - Regular Appropriations. (Project Grants) FY 12 \$1,899,990; FY 13 est \$1,841,539; and FY 14 est \$5,300,000 - Prevention and Public Health Funding.

Range and Average of Financial Assistance:

Fiscal Year 12: Range: \$189,670 - \$379,340. Average Award: \$336,713 regular appropriation.

Prevention and Public Health Fund: \$42,222

Fiscal Year 13: Range: \$173,304 - \$391,854. Average Award: \$339,241 regular appropriation.

Prevention and Public Health Fund est. Range: \$25,248 - \$43,335. Average Award: \$40,521 from the Prevention and Public Health Fund.

Fiscal Year 14: Range: \$173,304 - \$391,854. Average Award: \$339,241 regular appropriation.

Prevention and Public Health Fund est: \$108,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for state, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

None. Nina Tumosa, PhD, RN, CRNP, Geriatrics and Allied Health Branch, Division of Public Health and Interdisciplinary Education, Bureau of Health Professions, Health Resources and Services Administration, Department of Health and Human Services, 5600 Fishers Lane, Room 9-C05, Rockville, MD 20857; telephone (301) 443-5626 and E-mail: ntumosa@hrsa.gov.

Headquarters Office:

Nina Tumosa, PhD 5600 Fishers Lane, Room 9-36, , Rockville, Maryland 20857 Email: ntumosa@hrsa.gov Phone: 301-443-5626

Website Address:

<http://www.hrsa.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Competing applications are reviewed by non-Federal expert consultant(s) for technical merit recommendations. Approval of an application will be based on an analysis of the factors set forth in the HRSA funding opportunity announcement (FOA). All applications will be evaluated by and Objective Review Committee to determine the extent to which the applicant documents the following criteria: (1) Need; (2) Response; (3) Evaluative Measures; (4) Impact; (5) Resources/Capabilities; (6) Support Requested; and (7) Interdisciplinary Collaboration, Education, and Training.

See latest GEC funding opportunity announcement at www.grants.gov.

93.970 HEALTH PROFESSIONS RECRUITMENT PROGRAM FOR INDIANS

(Recruitment Program)

FEDERAL AGENCY:

Indian Health Service, Department of Health and Human Services

AUTHORIZATION:

Indian Health Care Improvement Act, Title I, Sections 202, 112, 114, and 122, Public Law 94-437, as amended by Public Law 102-573 and Public Law 111-148, Public Laws 96-537, 100-713, and 102-573, 25 U.S.C. 1621p(a-d).

OBJECTIVES:

(1) To identify American Indians and Alaska Natives with a potential for education or training in the health professions, and to encourage and assist them to enroll in health or allied health professional schools; (2) to increase the number of nurses, nurse midwives, nurse practitioners and nurse anesthetists who deliver health care services to American Indians and Alaska Natives; (3) to place health professional residents for short-term assignments at Indian Health Service (IHS) facilities as a recruitment aid; and (4) to remove the multiple barriers to their entrance into IHS and private practice among Indians.

TYPES OF ASSISTANCE:

Cooperative Agreements; Project Grants

USES AND USE RESTRICTIONS:

Establishing and operating programs designed to recruit American Indians and Alaska Natives into health and allied health professional schools.

Applicant Eligibility:

Public or private nonprofit health or educational entities or Indian tribes or tribal organizations as specifically provided in legislative authority.

For Indians into Psychology, public or private nonprofit colleges and universities that offer a Ph.D. in clinical programs accredited by the American Psychological Association will be eligible to apply for a grant under this program. However, only one grant will be awarded and funded to a college or university per funding cycle.

Beneficiary Eligibility:

Preference is given to applicants in the following order of priority: (1) Indian tribes; (2) tribal organizations; (3) urban Indian organizations and other Indian health organizations; or (4) other public or nonprofit health or educational entities.

Credentials/Documentation:

Costs for other institutions will be determined by HHS Regulations 45 CFR, Part 74, Subpart Q. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. The preferred method for

receipt of applications is electronic submission through Grants.gov. However, should any technical problems arise regarding the submission, please contact Grants.gov Customer Support at (1-800) 518-4726 or support@grants.gov. The Contact Center is open 24 hours a day, 7 days a week, closed on Federal holidays. If you require additional assistance please contact the Grants Policy Office at (301) 443-5204 at least fifteen days prior to the application deadline. To submit an application electronically, please use the <http://www.Grants.gov> apply site. Download a copy of the application package, on the Grants.gov website, complete it offline and then upload and submit the application via the Grants.gov site. You may not e-mail an electronic copy of a grant application to us. This program is subject to the provisions of 45 CFR, Part 92 for State and local governments.

For Indians into Psychology, the grant applicant must submit official documentation indicating a Tribes cooperation with and support of the program within the schools on its reservation and its willingness to have a Tribal representative serving on the program advisory board. Documentation must be in the form prescribed by the Tribes governing body, i.e., letter of support or Tribal resolution. Documentation must be submitted from every Tribe involved in the grant program. If application budgets exceed the stated dollar amount that is outlined within this announcement it will not be considered for funding.

Award Procedure:

Grants are made directly by the Indian Health Service to applicant agency, based on results of competitive review process.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. Within 90 days of IHS deadline.

Appeals:

From 60 to 90 days.

Renewals:

From 30 to 60 days. Continuation applications must be submitted annually. Continued funding is subject to availability of funds and satisfactory performance.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Payments of grant funds are made through the DHHS Payment Management System (PMS) or DHHS Electronic Transfer System. Information will be forwarded to new grantees regarding the process of obtaining funds. Awards are for a twelve month period. Method of awarding/releasing assistance: quarterly.

Reports:

Program reports are not applicable. Grantees will be required to submit quarterly Federal Financial Report (SF-425 or FFR) to the Division of Payment Management Branch. Progress reports are required annually, semi-annually or quarterly depending on the time lines set by the IHS program. A final progress report is due 90 days after the end of each budget period and at the end of the final project period. Grantees will be required to submit quarterly Federal Financial Report (SF-425 or FFR) to the Division of Payment Management Branch. IHS grants are monitored by the Division of Grants Management for financial compliance and by the IHS Program Staff for programmatic compliance.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal

awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

HHS and the Comptroller General of the United States or any of their authorized representatives, shall have the right of access to any books, documents, papers, or other records of a grantee, subgrantee, contractor, or subcontractor, which are pertinent to the HHS grant, in order to make audits, examinations, excerpts and transcripts. Grantees are required to maintain grant accounting records 3 years after the end of a budget period. If any litigation, claim, negotiation, audit or other action involving the records has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0390-0-1-551.

Obligations:

(Cooperative Agreements) FY 12 \$1,762,126; FY 13 est \$1,762,126; and FY 14 est \$1,762,126 - for Indians into Nursing, section 112. (Cooperative Agreements (Discretionary Grants)) FY 12 \$0; FY 13 est \$95,000; and FY 14 est \$95,000 - for Medical Professionals Recruitment and Continuing Education Programs. (Project Grants) FY 12 \$1,068,250; FY 13 est \$1,068,250; and FY 14 est \$1,068,250 - for American Indians into Medicine (InMed). (Project Grants) FY 12 \$755,871; FY 13 est \$750,000; and FY 14 est \$750,000 - for American Indians into Psychology (InPsych).

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR 36.310 - 36.319. Final rules and regulations, the Indian Health Care Improvement Act, Public Law 94-437, amended by Public Law 100-713, enacted November 23, 1988; and Public Law 102-573, enacted October 29, 1992; HHS Grants Policy Statement revised January, 2007.

Regional or Local Office:

None. Indians Into Psychology Contact: Mr. Michael Berryhill, Office of Public Health Support, Division of Health Professions Support, 801 Thompson Avenue, TMP Suite 450A, Rockville, MD 20852. Telephone: (301) 443-2443.

Indians Into Medicine Contact: Ms. Jackie Santiago, Office of Public Health Support, Division of Health Professions Support, 801 Thompson Avenue, TMP Suite 450A, Rockville, MD 20852. Telephone: (301) 443-3396.

Indians into Nursing Contact: Ms. Naomi Aspaas, Office of Public Health Support, Division of Health Professions Support, 801 Thompson Avenue, TMP Suite 450A, Rockville, MD 20852. Telephone: (301) 443-2362.

Medical Professionals Recruitment and Continuing Education Program Contact: CAPT Candace Jones, Administrative Officer, Improving Patient Care Program, Indian Health Service, 5300 Homestead Rd NE, Albuquerque, NM 87110, Phone: (505) 248-4861.

Grants Management Contact: Ms. Tammy Bagley, Acting Director, Division of Grants Management, Indian Health Service, 801 Thompson Avenue, Suite TMP 360, Rockville, MD 20852. Telephone: (301) 443-5204, Fax (301) 443-9602. Use the same number for FTS.

Headquarters Office:

Grants Policy Office 801 Thompson Avenue, Suite TMP 360, Rockville, Maryland 20852 Email: grantspolicy@ihs.gov Phone: 301-443-5204

Website Address:

<http://www.ihs.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

(1) Potential effectiveness of the proposed project in carrying out such purposes, (2) the demonstrated capability of the applicants to successfully conduct this type of project, (3) accessibility of the applicant to the target population, (4) relationship of project objectives and known or anticipated Indian health manpower objectives, (5) soundness of the fiscal plan, (6) cost reasonableness, and (7) completeness of the application.

93.971 HEALTH PROFESSIONS PREPARATORY SCHOLARSHIP PROGRAM FOR INDIANS

FEDERAL AGENCY:

Indian Health Service, Department of Health and Human Services

AUTHORIZATION:

Indian Health Care Improvement Act, Public Law 94-437.

OBJECTIVES:

To make scholarships to American Indians and Alaska Natives for the purpose of completing compensatory pre-professional education to enable the recipient to qualify for enrollment or re-enrollment in a health professions school or curriculum.

TYPES OF ASSISTANCE:

Training

USES AND USE RESTRICTIONS:

Scholarship awards are limited to persons of American Indian or Alaska Native descent. Awards are limited to a maximum of 2 years of full-time scholarship support under this section of the Indian Health Care Improvement Act.

Applicant Eligibility:

Scholarship awards are made to individuals of American Indian or Alaska Native descent, who have successfully completed high school education or high school equivalency and who have been accepted for enrollment in a compensatory, pre-professional general education course or curriculum.

Beneficiary Eligibility:

Individuals of American Indian or Alaska Native descent.

Credentials/Documentation:

Students must submit proper documentation of Indian eligibility verification from the college advisor that the courses or curriculum to be taken are required to meet the applicant's deficiency and compensatory needs at the professional level and represent the number of credit hours which the school considers as full-time or at least 6 credit hours per semester for part-time. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Application forms and instructions are provided by the Indian Health Service (IHS) Scholarship Program acting through the IHS Area Program Offices. Application forms and required documentation (official transcripts, letter of acceptance by college, curriculum verification, Indian eligibility, tuition verification, faculty evaluations) should be submitted to IHS Headquarters for review and approval/disapproval.

Award Procedure:

Tuition payments and fees are made to the college or university, upon receipt of an invoice.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Continuation applications must be submitted annually. Continued funding subject to availability of funds and satisfactory performance.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Tuition payments are paid upon receipt of invoices from the universities. See the following for information on how assistance is awarded/released: Tuition payments are paid upon receipt of invoices from the universities.

Reports:

No program reports are required. No cash reports are required. Students are required to submit their official transcripts as they are received. In addition, recipients must submit initial progress reports on forms supplied by IHS and notifications of problems/changes. No expenditure reports are required. No performance monitoring is required.

Audits:

Not Applicable.

Records:

Indian Health Service Scholarship Branch, IHS, maintains records on all students. Records are maintained for 4 years after the final award payment has been made.

Account Identification:

75-0390-0-1-551.

Obligations:

(Training) FY 12 \$903,869; FY 13 est \$903,869; and FY 14 est \$903,869

Range and Average of Financial Assistance:

\$20,626 - \$66,267; avg \$30,128.97.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR 36.320 - 36.324. Final Rules and Regulations, the Indian Health Care Improvement Act, Public Law 94-437, published in the Federal Register on November 18, 1977.

Regional or Local Office:

See Regional Agency Offices. See Appendix IV of the Catalog for Indian Health Service Area Offices.

Program Contact: Dr. Dawn Kelly, Scholarship Branch, Division of Health Professions Support, 801 Thompson Avenue, Suite 450A, Rockville, MD 20852. Telephone: (301) 443-6197.

Grants Management Contact: Ms. Tammy Bagley, Acting Director, Division of Grants Management, Indian Health Service, 801 Thompson Avenue, Suite TMP 360, Rockville, MD 20852. Telephone: (301) 443-5204, Fax (301) 443-9602. Use the same number for FTS.

Headquarters Office:

Grants Policy Office, 801 Thompson Avenue, Suite TMP 360, Rockville, Maryland 20852 Email: grantspolicy@ihs.gov Phone: (301) 443-5204

Website Address:

<http://www.ihs.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applicant must be American Indian or Alaska Native. Other elements considered are: (1) Academic performance; (2) faculty recommendation; (3)

stated reasons for asking for the scholarship; (4) goals of the individual; and (5) the relative needs of the IHS and Indian health organizations for persons in specific health professions are taken under consideration.

93.972 HEALTH PROFESSIONS SCHOLARSHIP PROGRAM

FEDERAL AGENCY:

Indian Health Service, Department of Health and Human Services

AUTHORIZATION:

Indian Health Care Improvement Act, Public Law 94-437.

OBJECTIVES:

To provide scholarships to American Indians and Alaska Natives at health professions schools in order to obtain health professionals to serve Indians. Upon completion, scholarship recipients are obligated to serve in the Indian Health Service or an Indian health organization for each year of support with a minimum of 2 years.

TYPES OF ASSISTANCE:

Training

USES AND USE RESTRICTIONS:

Scholarships support students who pursue full-time or part-time courses of study in health professions needed by the Indian Health Service (IHS). Disciplines have included allopathic and osteopathic medicine, dentistry, nursing (baccalaureate and graduate), public health nutrition (graduate), medical social work (graduate), speech pathology/audiology (graduate), optometry, pharmacology and health care administration. Not all disciplines participate each year. Scholarships may not be awarded to students who have conflicting service obligations after graduation or who are not enrolled members of Federally-recognized tribes. Recipients are required to perform 1 year of obligated service for each year of scholarship support, with a minimum obligation of 2 years in the Indian Health Service, or in a program conducted under a contract entered into under the Indian Self-Determination Act; or in an Indian health organization assisted under Title V of the Indian Health Care Improvement Act; or in private practice in a Health Manpower Shortage Area which addresses the health care needs of a substantial number of Indians. Deferment for internship, residency, or other advanced clinical training for medical, osteopathy, and dental students is generally not to exceed 3 years, consistent with the specialty needs of the IHS. Currently, 4-year deferments may be granted for obstetrics- gynecology and psychiatry residencies.

Applicant Eligibility:

Individuals of American Indian or Alaska Native who are enrolled members of a Federally-recognized tribe are eligible. Applicants for new awards: (1) must be accepted by an accredited U.S. educational institution for a full-time or part-time course of study leading to a degree in medicine, osteopathy, dentistry, or other participating health profession which is deemed necessary by the Indian Health Service; (2) be eligible for or hold an appointment as a Commissioned Officer in the Regular or Reserve Corps of the Public Health Service; or (3) be eligible for civil service in the Indian Health Service.

Beneficiary Eligibility:

Individuals of American Indian or Alaska Native who are enrolled members of Federally-recognized tribe; full-time students of medicine or other health professions; prospective or currently Commissioned Officers in the Regular or Reserve Corps of the Public Health Service; and eligible civilians of the Indian Health Service.

Credentials/Documentation:

Students claiming Indian priority must submit proper certification and documentation as specified in the application. All applicants must provide transcripts of their latest academic work. All incoming freshmen are to provide copies of acceptance letters for enrollment in school. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102.

OMB Circular No. A-110 applies to this program. Application forms and instructions are provided by the Indian Health Service Scholarship Program, acting through the IHS Area offices.

Award Procedure:

Priority will be given to applicants who are prior recipients. Special consideration is given to junior and senior level students whose specialty plans are in primary care fields. Criteria which determine competitive ranking awards may include: (1) work experience, preferably health-related in communities of health care need; (2) personal background from a community of health care need; (3) career goals of primary care practice in service to Indians; and, (4) academic performance. Student financial need does not affect selection.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Continuation applications must be submitted annually. Continued funding subject to availability of funds and satisfactory performance.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Maximum length of funding is a total of 4 years. See the following for information on how assistance is awarded/released: Tuition payments and fees are paid upon receipt of invoices from the universities. Stipend payments are paid monthly for the 12-month academic period. An amount for books and other necessary expenses is provided to the student.

Reports:

No program reports are required. Annual reports are required from schools indicating average student educational expense. Students are required to submit their official transcripts as they are received. In addition, recipients must submit initial and mid-term progress reports on forms supplied by IHS. No expenditure reports are required. No performance monitoring is required.

Audits:

Not Applicable.

Records:

The Indian Health Service Scholarship Program maintains records for 7 years following completion of obligated service or other disposition of the scholarship obligation.

Account Identification:

75-0390-0-1-551.

Obligations:

(Training) FY 12 \$11,797,726; FY 13 est \$10,590,252; and FY 14 est \$10,590,252

Range and Average of Financial Assistance:

\$15,210 - \$112,217; avg \$41,984.79.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices. Program Contact: Dr. Dawn Kelly, IHS Scholarship Program, Division of Health Professions Support, Indian Health Service, 801 Thompson Avenue, Suite 450A, Rockville, MD 20852. Telephone: (301) 443-6197.

Grants Management Contact: Ms. Tammy Bagley, Acting Director, Division of

Grants Management, Indian Health Service, 801 Thompson Avenue, Suite TMP 360, Rockville, MD 20852. Telephone: (301) 443-5204, Fax (301) 443-9602. Use the same number for FTS.

See Appendix IV of the Catalog for Indian Health Service Area Program Offices.

Headquarters Office:

Grants Policy Office, 801 Thompson Avenue, Suite TMP 360, Rockville, Maryland 20852 Email: grantspolicy@ihs.gov Phone: (301) 443-5204

Website Address:

<http://www.ihs.gov>

RELATED PROGRAMS:

93.288 National Health Service Corps Scholarship Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

(1) Academic performance; (2) faculty recommendations; (3) stated reasons for asking for the scholarship; (4) goals of the individual; and (5) the relative needs of the IHS and Indian health organizations for persons in specific health professions.

93.974 FAMILY PLANNING SERVICE DELIVERY IMPROVEMENT RESEARCH GRANTS

(SDI)

FEDERAL AGENCY:

Office of Population Affairs, Department of Health and Human Services

AUTHORIZATION:

Title X of the Public Health Service Act, as amended, Section 1005, as applicable, 42 CFR 59, Public Law 91-572.

OBJECTIVES:

To promote service delivery improvement through research studies and application of knowledge.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grants are awarded to develop research studies for the improvement of family planning services delivery of projects funded under Title X, Section 1001 of the Public Health Service Act. Funds may not be used in programs where abortion is a method of family planning.

Applicant Eligibility:

Any public entity (city, county, local, regional, or State government) or private nonprofit entity located in a State (including the District of Columbia, Puerto Rico, the Commonwealth of the Northern Marianas Islands, Guam, American Samoa, the Virgin Islands, the Federated States of Micronesia, the Republic of Marshall Islands and the Republic of Palau) is eligible to apply for a grant under this subpart.

Beneficiary Eligibility:

All levels of government and nonprofit entities responsible for the efficient and effective delivery of family planning services; providers and recipients of family planning services; and the general public.

Credentials/Documentation:

A nonprofit private entity must provide evidence of its nonprofit status. Allowability of costs charged to the grant will be determined in accordance with the following cost principles: OMB Circular No. A-21, for educational institutions, OMB Circular No. A-87 for State and local governments, and OMB Circular No. A-122 for nonprofit organizations. Faith based organizations are eligible to apply. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Application Form PHS-398 (Rev. May 1995) for research grants should be submitted. The standard application forms, as furnished by PHS and required by 45 CFR, Part 74, (for institutions of higher education, hospitals and other non-profit organizations), and 45 CFR, Part 92 (for state and local governments), must be used for this program. This program is subject to the provisions of 45 CFR, Part 74, and 45 CFR, Part 92, as well as OMB Circular No. A-102 (administrative guidelines and standards for states and local governments), and OMB Circular No. A-110 (administrative guidelines and standards for institutions of higher education, hospitals, and other non-profit organizations).

Award Procedure:

The Deputy Assistant Secretary for Population Affairs makes final decisions to approve, hold, or reject individual grants or contracts. Applicants are notified regarding the final decision on each application and are furnished statements of award for all projects approved.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days. From 150 to 180 days.

Appeals:

Not Applicable.

Renewals:

> 180 Days. Projects are renewed, as required, based on submission of an application, including a progress report and evidence of plan compliance.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants may be approved for a budget period not to exceed 12 months. Method of awarding/releasing assistance: by letter of credit.

Reports:

Annual Program reports are required. Cash Reports are due on a minimum basis of quarterly. Progress reports are required for each budget period. Federal Financial Reports SF425 are due quarterly and at the end of each budget period. A final performance report is due 90 days following the end of the project period.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

HHS and the Comptroller General of the United States or any of their authorized representatives, shall have the right of access to any books, documents, papers, or other records of a grantee, subgrantee, contractor, or subcontractor, which are pertinent to the HHS grant, in order to make audits, examinations, excerpts and transcripts. In accordance with 45 CFR, Part 74.53, and 45 CFR, Part 92, grantees are required to maintain grant accounting records 3 years after the end of a budget period. If any litigation, claim, negotiation, audit or other action involving the records has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Project Grants) FY 12 \$2,895,652; FY 13 est \$1,049,400; and FY 14 Estimate Not Available

Range and Average of Financial Assistance:

\$150,000 to \$200,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Seventeen (17) grants were awarded to promote service delivery improvement through research studies and application of knowledge and to develop research studies for the improvement of family planning services delivery of projects funded under Title X, Section 1001 of the Public Health Service Act. Fiscal Year 2013: It is anticipated that four (4) grants will be awarded to promote service delivery improvement through research studies and application of knowledge and to develop research studies for the improvement of family planning services delivery of projects funded under Title X, Section 1001 of the Public Health Service Act. Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 74 and 92. Specific program requirements are contained in the Federal Register Notice, the application instructions, and the HHS Grants Policy Statement.

Regional or Local Office:

None. OASH-Office of Population Affairs, Office of Family Planning Tower Building, 1101 Wootton Pkwy Suite 700 Rockville, MD 20852. Phone 240-453-2828

OASH-Office of Grants Management

Tower Building 1101 Wootton Parkway, Suite 550
Rockville, MD 20852. Phone (240) 453-8822.

Headquarters Office:

Eric C. West, Tower Building Suite 550, 1101 Wootton Parkway, Rockville, Maryland 20852 Email: eric.west@hhs.gov Phone: (240) 453-8822.

Website Address:

<http://www.opa.gov>

RELATED PROGRAMS:

93.217 Family Planning_Services; 93.260 Family Planning_Personnel Training

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Scientific merit and significance; competency of project staff; feasibility, reasonableness of proposed budget period relative to proposed research; adequacy of applicant's resources; amount of support required; adequacy of methodology; adequacy of the means for protecting against adverse effects upon humans, animals, or the environment (when applicable).

93.975 NATIONAL ALL SCHEDULES PRESCRIPTION ELECTRONIC REPORTING GRANT

NASPER

FEDERAL AGENCY:

Substance Abuse and Mental Health Services Administration, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Title 3, Part P, Public Law 109-60, 42 U.S.C 280g-3.

OBJECTIVES:

To foster the establishment of State-administered controlled substance monitoring programs (PMP) in order to ensure that health care providers have access to the accurate, timely prescription history information that they may use as a tool for the early identification of patients at risk for addiction in order to initiate appropriate medical interventions and avert the tragic personal, family, and community consequences of untreated addiction.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

Funds (including direct costs and indirect costs) are to be used for expense clearly related and necessary to carry out the establishment and implementation of a State PMP or to make improvements to an existing State PMP.

Applicant Eligibility:

State and District of Columbia.

Beneficiary Eligibility:

None.

Credentials/Documentation:

No Credentials or documentation are required. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. All applicants must use application HHS-5161-1. States, including the District of Columbia, must apply annually for an allotment, and are required to submit an application which contains a State response to how it would meet the requirements set forth in the Request for Application (RFA).

Award Procedure:

Application for grants recommended for approval by the review group and concurred by the Center Director (CSAT) are awarded directly by CSAT to the applicant organization.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 15 to 30 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Each allotment is available for obligation and expenditure during the fiscal year it was allotted. Method of awarding/releasing assistance: lump sum.

Reports:

No program reports are required. Quarterly report SF272 required by the Division of Payment Management. Quarterly report SF 272 required to be submitted to the Division of Payment Management. Federal Financial Reports are due 90 days after the end of the project period to OPDIV. Performance monitoring will be done through progress reports.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

There is a 3-year records retention requirement. If any litigation, claim, negotiation, audit or other actions involving the records, records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-1364-0-1-551.

Obligations:

(Formula Grants) FY 12 \$0; FY 13 est \$0; and FY 14 est \$0

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR Part 74 and 92

Regional or Local Office:

None.

Headquarters Office:

Virginia Simmons 1 Choke Cherry Road, 7-1091, Rockville, Maryland 20857
Email: virginia.simmons@samhsa.hhs.gov Phone: 240-276-1422 Fax: 240-276-1430

Website Address:

<http://www.samhsa.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Eligible applicants who submit an application for improving a State PMP must include a budget cost estimate and a plan for ensuring that the State controlled substance monitoring program is in compliance to the following criteria and penalty requirements: criteria for security information handling and for the database maintained by the State; an agreement to adopt interoperability standards, including health vocabulary and messaging standards, set by SAMHSA; criteria for meeting the uniform electronic format set by SAMHSA; criteria for availability of information and limitation on access to program personnel; criteria for access to the database and procedures to ensure that information in the database is accurate; criteria for the use and disclosure of information including the description of the certification process to be applied to requests for information; penalties for the unauthorized use and disclosure of information maintained in the monitoring program in violation of applicable State law or regulation. A plan is also needed to enable the State PMP to achieve interoperability with at least one other State PMP. Assurances of compliance must be made; however, if compliance is not feasible or is contrary to the best interests of public health in that state, a statement describing why must be submitted. In addition to the criteria mentioned above, applications for establishing and implementing a State PMP must also include information on the relevant State laws, policies, and procedures regarding purging of information from the database; and assurances of compliance with all requirements for this program.

93.977 PREVENTIVE HEALTH SERVICES SEXUALLY TRANSMITTED DISEASES CONTROL GRANTS

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Public Law 100-202.

OBJECTIVES:

To reduce morbidity and mortality by preventing cases and complications of sexually transmitted diseases (STD). Project grants under Section 318c awarded to State and local health departments emphasize the development and implementation of nationally uniform prevention and control programs which focus on disease intervention activities designed to reduce the incidence of these diseases, with applied research, demonstration, and public and professional education activities supporting these basic program activities authorized under Section 318b of the Public Health Service Act.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grant funds may be used for: (1) Sexually-transmitted disease (STD) surveillance activities, including reporting, screening, and follow-up of diagnostic tests for, and diagnosed cases of, STD; (2) STD case finding and case follow-up activities, including notification of sex partners to infectious cases of STD and follow-up systems; (3) interstate epidemiological referral and follow-up activities; (4) program-specific professional (including appropriate allied health personnel) STD education, training, and clinical skills improvement activities as authorized under section 318(b) of the PHS Act; (5) program-specific STD education and information activities as authorized under Section 318b of the PHS Act; (6) special studies or demonstrations to evaluate or test STD prevention activities; and (7) control strategies and activities as may be prescribed by the Secretary. Grant funds may not be used for: (1) Supplanting funds supporting existing STD control services provided by a State or locality; or (2) unless specifically approved for that purpose, grant funds shall not be used for performing diagnostic tests (other than gonorrhea screening tests), maintaining central registries, providing diagnostic and treatment facilities and services, or purchasing automated data processing equipment.

Applicant Eligibility:

Any State, and, in consultation with the appropriate State Health Authority, any political subdivision of a State, including American Indian/Alaska Native tribal governments or tribal organizations located wholly or in part within their boundaries.

Beneficiary Eligibility:

Any State or authorized subdivision including American Indian/Alaska Native tribal governments or tribal organizations located wholly or in part within their boundaries.

Credentials/Documentation:

Applicants should document the need for assistance, state the objectives of the project, outline the method of operation, describe the evaluation procedures, and provide a budget with justification of funds requested. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Information on the submission of applications may be obtained from the Grants Management Officer, Grants Management Branch, Procurement and Grants Office, Centers for Disease Control and Prevention, Telephone: (770) 488-2720. This program is subject to the provisions of 45 CFR, 92. The standard application forms, as furnished by PHS and required by 45 CFR, 92 for State and local governments, must be used for this program.

Award Procedure:

After review and approval, a Notice of Grant Award is prepared and processed, along with appropriate notification to the public.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 90 to 120 days. From 3 to 4 months.

Appeals:

Not Applicable.

Renewals:

Same as Application Procedure.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Project period: From one to five years; budget period: 12 months. Method of awarding/releasing assistance: by letter of credit.

Reports:

No program reports are required. No cash reports are required. Bi-Annual and Annual performance reports. Financial status reports no later than 90 days after the end of each specified period. No expenditure reports are required. Bi-Annual and Annual.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the grant program shall be retained for a minimum of 3 years, or until completion and resolution of any audit in process or pending resolution. In all cases records must be retained until resolution of any audit questions. Property records must be retained in accordance with PHS Grants Policy Statement requirements.

Account Identification:

75-0950-0-1-550.

Obligations:

(Salaries) FY 12 \$104,276,327; FY 13 est \$98,780,387; and FY 14 est \$98,780,387

Range and Average of Financial Assistance:

\$43,440 to \$6,717,174; Average- \$1,335,871.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations for this program are published under 42 CFR 51b. Guidelines are available. PHS Grants Policy Statement, DHHS Publication No. (OASH) 94-50,000, (Rev.) April 1, 1994, is available.

Regional or Local Office:

None.

Headquarters Office:

Elizabeth Wolfe 1600 Clifton Road, NE, Mailstop E-07, Atlanta, Georgia 30333 Email: eow1@cdc.gov Phone: (404) 639-8531

Website Address:

<http://www.cdc.gov/std/>

RELATED PROGRAMS:

93.217 Family Planning_Services; 93.224 Consolidated Health Centers (Community Health Centers, Migrant Health Centers, Health Care for the Homeless, Public Housing Primary Care, and School Based Health Centers); 93.260 Family Planning_Personnel Training; 93.283 Centers for Disease Control and Prevention_Investigations and Technical Assistance; 93.855 Allergy, Immunology and Transplantation Research; 93.856 Microbiology and Infectious Diseases Research; 93.865 Child Health and Human Development Extramural Research

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Applications will be evaluated based upon the magnitude of the problem, the establishment of specific and measurable objectives to address the problem, and

the development of a sound operational plan which will ensure the implementation of each program element. A formula may be added for the next funding opportunity announcement (FOA).

93.982 MENTAL HEALTH DISASTER ASSISTANCE AND EMERGENCY MENTAL HEALTH

(Mental Health Disaster Assistance)

FEDERAL AGENCY:

Substance Abuse and Mental Health Services Administration, Department of Health and Human Services

AUTHORIZATION:

Robert T. Stafford Disaster Relief and Emergency Assistance Act., Section 416, Public Law 100-707.

OBJECTIVES:

To provide supplemental emergency mental health counseling to individuals affected by major disasters, including the training of workers to provide such counseling.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Provides funds for staff, travel, consultants and other expenses incident to the provision of mental health counseling to and referral of individuals in a disaster area. Funds may not be used for long-term treatment.

Applicant Eligibility:

Applicants may be State or local nonprofit agencies as recommended by the State Governor and accepted by the Secretary.

Beneficiary Eligibility:

Individuals who were victims of major disasters.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments. For other grantees, costs will be determined in accordance with HHS Regulations 45 CFR Part 74, Subpart Q. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. The standard application forms as Application for Federal Assistance SF-424 required by 45 CFR, Part 92 for State and local governments, must be used for this program. Application form (SF-424) is submitted by the State Coordinating Officer to the Regional Director, Federal Emergency Management Agency, no later than 60 days following declaration of a disaster. This program is subject to the provisions of 45 CFR 92 for State and local governments and OMB Circular No. A-110 for nonprofit organizations.

Award Procedure:

Applications are reviewed by an objective review committee and recommended for approval or disapproval. Final determination for an award is made by Federal Emergency Management Agency.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. 30 to 60 days.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Assistance may be for 9 months with a possible extension of up to 90 days as approved by FEMA Regional Director. See the following for information on how assistance is awarded/released: SAMHSA Grants Management Office notifies grantees through a Notice of Award (NoA).

Reports:

A progress report is due 90 days after the end of the budget period. The (SF-425) Federal Financial Report is required by SAMHSA, Division of Grants Management 120 days after the end of each 12 month period and 90 days after the final 12 month period. No cash reports are required. A final progress report is due 90 days after the end of the budget period. The project officer requires a quarterly budget expenditure report to determine the supplemental funding. The project officers monitors grantee's progress through frequent site visits and request for budget changes, etc.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, 45 CFR 74.26 requires that for-profit recipients and subrecipients have an audit performed in accordance with Government Auditing Standards or Circular No. A-133.

Records:

Records must be retained for at least 3 years; records shall be retained beyond the 3 year period if audit findings have not been resolved.

Account Identification:

75-1363-0-1-551.

Obligations:

(Project Grants (Discretionary)) FY 12 \$15,946,849; FY 13 est \$0; and FY 14 est \$0

Range and Average of Financial Assistance:

\$694,600 to \$2,134,752; \$1,305,100.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: 15 awards were made. Fiscal Year 2013: No current data available. Fiscal Year 2014: No current data available.

REGULATIONS, GUIDELINES, AND LITERATURE:

See 44 CFR 205. Guidelines available in application kit. Disaster Assistance and Emergency Mental Health, HHS Publication No. (ADM) 76-327. HHS Grants Policy Statement, HHS Publication No. (OASH) 94-50,000, (Rev.) April 1, 1994.

Regional or Local Office:

See Regional Agency Offices. Contact the Regional Director, Federal Emergency Management Agency in the appropriate regional office. (See Appendix IV of the Catalog.).

Headquarters Office:

Kathleen K. Sample, 1 Choke Cherry Road, Room 7-1091, Rockville, Maryland 20857 Email: kathleen.sample@samhsa.hhs.gov Phone: (240) 276-1407 Fax: (240) 276-1430.

Website Address:

<http://www.samhsa.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Presidential declaration of the disaster area. Justified need for supplementary services.

93.988 COOPERATIVE AGREEMENTS FOR STATE-BASED DIABETES CONTROL PROGRAMS AND EVALUATION OF SURVEILLANCE SYSTEMS

(DPCPs) Behavioral Risk Factor Surveillance System (BRFSS)

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Title 42, Section 301(a) 317(k)(3), Public Law USC-95-626; Public Health Service Act, Title 42, Section 243, 247B(k)(2).

OBJECTIVES:

Diabetes Prevention and Control Programs (DPCPs) are funded by the CDC's Division of Diabetes Translation to address the following national level goals:

- 1) Prevent diabetes.
- 2) Prevent the complications, disabilities, and burden associated with diabetes.
- 3) Eliminate diabetes-related health disparities.
- 4) Maximize organizational capacity to achieve the National Diabetes Program goals.

DPCPs aim to achieve system and population-level change and, ultimately, to improve health outcomes by coordinating and leveraging the efforts of the statewide diabetes community. They are responsible for establishing partnerships with key organizations in support of the national goals stated above, and for implementing and evaluating evidence-based interventions in three main areas: 1. Intervention #1: Improve quality of clinical care for populations with greatest diabetes burden and risk to improve control of A1c, blood pressure, and cholesterol, and to promote tobacco cessation. 2. Intervention #2: Increase access to sustainable self-management education and support services for populations with greatest diabetes burden and risk to improve control of A1c, blood pressure, and cholesterol, and to promote tobacco cessation. 3. Intervention #3: Increase use of lifestyle change programs that have achieved CDC recognition (or pending recognition) to prevent or delay onset of type 2 diabetes among people at high risk.

Assist State and local health authorities and other health related organizations in controlling communicable diseases, chronic diseases and disorders and other preventable health conditions. Provide specific health surveillance using telephone and multi-mode survey methodologies for the behaviors of the general population that contribute to the occurrences and prevention of chronic diseases, injuries and other public health threats. The collection, analysis and dissemination of BRFSS data to state and territorial health department categorical programs is used for assessing trends, directing program planning, evaluating program priorities, developing policies and targeting relevant population groups.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Cooperative Agreement funds may be used for costs associated with planning, implementing, and evaluating State based diabetes control programs. Cooperative Agreement funds may not be used for direct curative or rehabilitative services.

Cooperative Agreement funds may also be used for health surveillance around BRFSS activities.

Applicant Eligibility:

Eligible applicants are the official State and territorial health agencies of the United States, the District of Columbia, the Commonwealth of Puerto Rico, the Virgin Islands, Guam, the Northern Mariana Islands, the Federated States of Micronesia, the Republic of the Marshall Islands, the Republic of Palau, and American Samoa.

Beneficiary Eligibility:

State health agencies will benefit.

Credentials/Documentation:

Applicants should document the need for assistance, state the objectives of the project, outline the method of operation, describe evaluation procedures, and provide a budget with justification for funds requested. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Information on the submission of applications may be obtained from the Grants Management Officer, Grants Management Branch, Procurement and Grants Office, Centers for Disease Control and Prevention, 2920 Brandywine Road, Room 3000, Atlanta, GA 30341. This program is subject to the provisions of 45 CFR 92. The standard application forms, as furnished by PHS and required by 45 CFR 92 for State and local governments, must be used for this program.

Award Procedure:

After review and approval, a notice of award is prepared and processed, along with appropriate notification to the public.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 3 to 4 months.

Appeals:

Not Applicable.

Renewals:

Same as Application Procedure.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: Percent: 25%. Matching funds are required from non-federal sources in the amount of not less than \$1 for each \$4 federal funds awarded to grantees.

No matching funds are required for the Health Surveillance BRFSS program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Project period: 5 years. Budget period: Approximately 12 months. All funds awarded should be spent by the end of the project period.

For BRFSS project period 3 years. Budget period approximately 12 months. All funds awarded should be spent by the end of the project period. Method of awarding/releasing assistance: lump sum.

Reports:

Progress reports are required annually. A plan of action is required annually. Cash reports are not applicable. Progress reports are required annually. A plan of action is required annually. Financial status reports are required no later than 90 days after the end of each specified funding period. Final financial status and progress reports are required 90 days after the end of a project. Progress reports are required annually. A plan of action is required annually.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the cooperative agreement program shall be retained for a minimum of 3 years, or until completion and resolution of any audit in process or pending resolution. In all cases, records must be retained in accordance with PHS Grants Policy Statement requirements.

Account Identification:

75-0943-0-1-550.

Obligations:

(Salaries) FY 12 \$27,253,432; FY 13 est \$7,085,229; and FY 14 est \$0 - For FY 2013 diabetes component only funded for three months. Funding for nine months see DP13-1305 under 93.945

For FY 2014 diabetes component see DP13-1305 under 93.945. (Salaries) FY 12 \$14,000,000; FY 13 est \$14,000,000; and FY 14 est \$14,000,000 - Funding ranges for the BRFS program:

Range and Average of Financial Assistance:

FY 11 range is \$202,000 to \$1,043,922

FY 12 range is \$202,000 to \$1,042,839.

FY 13 range is \$42,195 to \$260,981 (3 months funding)

BRFSS:

FY 11 range is \$40,000 - \$450,000

FY 12 range is \$40,000 - \$450,000

FY 13 range is \$40,000 - \$450,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices. Program Contact: Barbara Park, Program Evaluation Branch, Division of Diabetes Translation, National Center for Chronic Disease Prevention and Health Promotion, Centers of Health and Human Services, 1600 Clifton Road, NE., Atlanta, GA 30333. Telephone: (770) 488-1094. Grants Management Contact: Mildred Garner, Grants Management Officer, Grants Management Branch, Procurement and Grants Office, Centers for Disease Control and Prevention, 2920 Brandywine Road, Room 3000, Atlanta, GA 30341. Telephone: (770) 488- 2745.

For BRFSS:

Richard Roman, Deputy Director, Division of Behavioral Surveillance, Public Health Surveillance and Informatics Program Office, Office of Surveillance, Epidemiology and Laboratory Services, CDC
1600 Clifton Road, MS E97

Atlanta, GA 30329

Phone: 404-498-0966

E-mail: RSR1@cdc.gov.

Headquarters Office:

Barbara Z Park, 2877 Brandywine Road

Williams Building, Atlanta, Georgia 30341 Email: BPark@cdc.gov Phone: (770) 488-1094 Fax: (770) 488-5966.

Website Address:

<http://www.cdc.gov>.

RELATED PROGRAMS:

93.283 Centers for Disease Control and Prevention_Investigations and Technical Assistance

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Background and Need - the extent to which the applicant justifies the need for this program, including whether sufficient information is provided to describe the diabetes burden in the state, the state's environment, anticipated barriers to reducing the burden of diabetes, and plans to overcome barriers.

Project Work Plan 1) Administration, Management, and Leadership - the extent

to which the applicant describes plans to address recipient activities in the following categories that are realistic and feasible given the time and resources allocated: a) Five-year Strategic Plan, b) Leadership and Collaboration/Partnership, c) Training, Technical Assistance, and Consultation, d) Coordination of Efforts among Chronic Disease Prevention and Health Promotion Programs, e) Information Exchange, f) Sustainability, and g) Program Management, including the extent to which the staffing number and qualifications will support the objectives and activities of the program. 2) Surveillance, Analyses, and Evaluation - the extent to which the applicant describes plans to address recipient activities in these three categories that are realistic and feasible given the time and resources allocated. Accomplishments - the extent to which the applicant describes the program accomplishments within the past five and a half years, including the program's contributions to improving the current national objectives. Proven Capacity - the extent to which the applicant's narrative description of its past performance demonstrates its ability to manage fiscal resources, implement an effective management plan, and maintain and develop adequate leadership and staffing capacity to carry out the program objectives/activities.

For BRFS, Applicants ability to describe the need for behavioral surveillance activities in their state jurisdiction and how health data will be used to improve prevention and health policy.

93.989 INTERNATIONAL RESEARCH AND RESEARCH TRAINING

Global Health Research and Research Training

FEDERAL AGENCY:

National Institutes of Health, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Sections 301 and 405, as amended; 42 U.S.C. 241 and 284.

OBJECTIVES:

The John E. Fogarty International Center (FIC) supports research and research training to reduce disparities in global health and to foster partnerships between U.S. scientists and their counterparts abroad. FIC supports basic biological, behavioral, and social science research, as well as related research training and career development. The research portfolio is divided into several programs that support a wide variety of funding mechanisms to meet programmatic objectives.

TYPES OF ASSISTANCE:

PROJECT GRANTS; TRAINING

USES AND USE RESTRICTIONS:

Research and Research Training Grants provide funds for salaries, equipment, supplies, travel, tuition, and other expenses associated with research training and scientific investigation concerning global health issues. These grants are awarded to universities; colleges; medical, nursing and dental schools; hospitals; and other non-profit institutions. Awards include grants, cooperative agreements, international research training grants, and career development awards.

Applicant Eligibility:

In general, universities, colleges, hospitals, laboratories, Federal institutions and other public or private non-profit and for-profit domestic and foreign institutions, and State and local units of government are eligible to submit applications for research grants, research training grants, cooperative agreements, and career development awards. The grantee institution must agree to administer the grant in accordance with prevailing regulations and policies. The eligibility requirements may differ amongst FIC programs, based on the eligibility section found in our Requests for Applications (RFAs) and Program Announcements (PAs) at: <http://www.fic.nih.gov/Funding/Pages/default.aspx>.

Beneficiary Eligibility:

Usually any non-profit or for-profit organization, company or institution engaged in health and biomedical research.

Credentials/Documentation:

Applications for research grants must present the objectives, methodology, and resources for the specific research project, and must demonstrate the applicant's competence and interest. For training grants, the applicant's academic record,

research experience, and the proposed area and plan of training must be included in the application. The applicant institution must present the objectives, training program, and resources for the research-training program, the research qualifications and experience of directing staff in training students (the criteria to be used in selecting individuals for awards), a detailed budget, and justification for the amount of grant funds requested. Costs will be determined in accordance with OMB Circular A-21 - Cost Principles for Educational Institutions, Circular A-110 - Uniform Administrative Requirements for Grants and Other Agreements with Institutions of Higher Education, OMB Circular A-122 for Nonprofit Organizations, Circular A-102 - Grants and Cooperative Agreements with State and Local Governments, and Circular A-87, Cost Principles for State, Local and Indian Tribal Governments. For-profit organizations' costs are determined in accordance with Subpart 31.2 of the Federal Acquisition Regulations. For other than State and local government grantees, costs will be determined by Health and Human Services (HHS) Regulations 45 CFR, Part 74, Subpart Q. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Standard application forms, as required by 45 CFR, Part 74 and 92, must be used for this program. Detailed instructions for submitting applications to our programs are provided in our Requests For Applications (RFAs) and Program Announcements (PARs) at: <http://www.fic.nih.gov/Funding/Pages/default.aspx>

Award Procedure:

Applications are reviewed initially for scientific and technical merit by a review group that is assembled by the Center for Scientific Review at the National Institute of Health. This is followed by a second level review by the Fogarty International Center's Advisory Board. Decisions to fund are then made by FIC program officers and FIC Executive Leadership based on reviews by the original review group and the Advisory Board.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Grants: From six to nine months.

Appeals:

A Principal Investigator (PI) may question the substantive or procedural aspects of the initial scientific review of his or her application by communicating with FIC staff.

Renewals:

Non-competing renewal applications may be made for all multi-year awards. Applicants must submit their request on form PHS 2590 approximately two months before the end of the last budget period. Competing renewal applications may be submitted only if allowed in the RFA or PAR.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Approval of a project includes determination of support for the authorized project period (not to exceed five years). Awards to support the project are usually made on an annual basis. At the time of initial award, the grant provides funds for the conduct of the project during the first budget period (usually 12 months) and the Notice of Award (Form PHS-1533) indicates the support recommended and expected to be made available for the remainder of the project beyond the approved initial project period. Funds are disbursed via the Payment Management System Electronic Transfer System for domestic and foreign grantees. Loan Repayment Program Awards are for two years with the opportunity for renewal. Method of awarding/releasing assistance: quarterly.

Reports:

For most projects, Federal Financial Reports (FFR), Form SF425, must be filed 90 days after each competitive segment is completed. Reports must be provided to FIC staff in accordance with the CFR Title 42 Part 52 - Grants for Research Projects and the CFR Title 45 Part 74 - Uniform Administrative Requirements for grants and cooperative agreements. Cash reports are not applicable. The grantee is responsible for annual progress reports. These reports are required for program analysis as part of all continuation applications. In addition, a final report must be filed within 90 days of the termination of the grant. The final report includes an account of progress made toward achievement of the originally stated aims; a list of the significant results; and a list of publications and inventions resulting from the projects. The Research Progress Performance Reporting (RPPR) grantees guidelines requires a final projects report at the end of the project period. For all other grantees, the Federal Financial Report (FFR) must be filed after 90 days of the competitive segment. Performance is monitored annually by the NIH/Fogarty Center program staff.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees generally must retain financial and programmatic records, supporting documents, statistical records, and all other records that are required by the terms of a grant, or may reasonably be considered pertinent to a grant, for a period of 3 years from the date the annual FSR is submitted. For awards under SNAP (other than those to foreign organizations and Federal institutions), the 3-year retention period will be calculated from the date the FFR for the entire competitive segment is submitted. Those grantees must retain the records pertinent to the entire competitive segment for 3 years from the date the FFR is submitted to NIH. Foreign organizations and Federal institutions must retain records for 3 years from the date of submission of the annual FFR to NIH. See 45 CFR 74.53 and 92.42 for exceptions and qualifications to the 3-year retention requirement (e.g., if any litigation, claim, financial management review, or audit is started before the expiration of the 3-year period, the records must be retained until all litigation, claims, or audit findings involving the records have been resolved and final action taken). Those sections also specify the retention period for other types of grant-related records, including F&A cost proposals and property records. See 45 CFR 74.48 and 92.36 for record retention and access requirements for contracts under grants. In accordance with 45 Code of Federal Regulations, Part 74.53(e), the HHS Inspector General, the U.S. Comptroller General, or any of their duly authorized representatives have the right of timely and unrestricted access to any books, documents, papers, or other records of recipients that are pertinent to awards in order to make audits, examinations, excerpts, transcripts, and copies of such documents. This right also includes timely and reasonable access to a recipients personnel for the purpose of interview and discussion related to such documents. The rights of access are not limited to the required retention period, but shall last as long as records are retained.

Account Identification:

75-0819-0-1-552.

Obligations:

(Project Grants (including individual awards)) FY 12 \$51,024,000; FY 13 est \$50,931,000; and FY 14 est \$53,648,000

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: The Fogarty International Center provides funding to support research and research training in a variety of global health topics. The intent is to engage in collaborative science with institutions to build sustainable research capacity in low- and middle-income countries in order to accelerate scientific discovery and benefit human health.

Awards made in FY2012 were reviewed and approved by the Fogarty International Center's (FIC) Advisory Board and FIC executive leadership. The Board met in September 2011, February 2012, May 2012 and August 2012.

For a current list of successful FIC awardees and institutions by program for FY 2012, please refer to the following FIC website:

<http://www.fic.nih.gov/Grants/Search/Pages/default.aspx>. Fiscal Year 2013: Funded projects for FY 2013 have focused on the following objectives from the Fogarty International Center's Strategic Plan for 2008-2012: " Goal I: Mobilize the scientific community to address the shifting global burden of disease and disability. Goal II: Bridge the training gap in implementation research. Goal III: Develop human capital to meet global health challenges. Goal IV: Foster a sustainable research environment in low- and middle-income countries. and Goal V: Build strategic alliances and partnerships in global health research and training.

FIC is currently revising their Strategic Plan 2008-2012: Pathways to Global Health Research. For the current Strategic Plan and any future updates, please refer to this website:

<http://www.fic.nih.gov/About/Pages/Strategic-Plan.aspx> and <http://www.fic.nih.gov/About/Pages/strategic-plan-update-2012.aspx>. Fiscal Year 2014: No data available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Guidelines for these awards are outlined in the following: the grant program legislation, terms and conditions cited in the Notice of Grant Award; the restrictions on the expenditure of Federal funds in appropriations acts, to the extent those restrictions are pertinent to the award, 45 CFR Part 74 or 45 CFR Part 92, as applicable; and the NIH Grants Policy Statement.

Regional or Local Office:

None.

Headquarters Office:

Farah Nikhath Bader Fogarty International Center, Division of International Training and Research, 31 Center Drive, MSC 2022, Building 31, Room B2-C39, Bethesda, Maryland 20892-2022 Email: baderf@mail.nih.gov Phone: (301) 402-5011 Fax: (301) 402-0779.

Website Address:

<http://www.fic.nih.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The major elements in evaluating proposals include assessment of: (1) the scientific merit and general significance of the proposed study or research training program and its objectives; (2) the technical adequacy of the experimental design and approach; (3) the competency of the proposed investigator or group to successfully pursue the project; (4) the adequacy of the available proposed facilities and resources; (5) the necessity of the budget components requested in relation to the proposed project; and (6) the relevance and importance to the announced program objectives. All pertinent evaluation criteria are provided in the RFAs and PAs.

93.990 NATIONAL HEALTH PROMOTION

Health Promotion

FEDERAL AGENCY:

Office of Disease Prevention and Health Promotion, Department of Health and Human Services

AUTHORIZATION:

Title III of the U.S. Public Health Service Act: 42 U.S.C. Section 301, also Section 1110 of the Social Security Act (42 U.S.C. 1310), along with funds appropriated under 42 U.S.C. 300U-6, Section 1707 of the Public Health Service Act, as amended., 42 U.S.C 300u-6.

OBJECTIVES:

To engage national membership organizations from various sectors as a means of expanding and coordinating health promotion efforts. To promote the development, implementation and coordination of programs that promote good health habits and programs that are designed to prevent disease and disability. These objectives are consistent with the General Authorities set forth in Sections 1701(a) and 301 of the Public Health Service Act

Additionally this program includes a cooperative agreement project entitled Disease Prevention and Health Promotion Scholarship Program. This project supports several focus areas of Healthy People 2010 specifically: Access to Quality Health Services; Educational and Community-Based Programs; Health Communication; and Public Health Infrastructure.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Assistance must be provided to satisfy program needs of the National Health Promotion Program, including but not limited to: (1) identifying or developing materials for health promotion programs for use by schools, medical treatment sites, worksites, and community health promotion programs; (2) adding to the scientific data base, especially to fill gaps identified in the "Healthy People 2010: National Health Promotion and Disease Prevention Objectives"; and (3) identifying the needs of special population groups, such as Blacks, Hispanics, Asian/Pacific Islanders, American Indians/Alaska Natives, those with disabilities or special health care needs, and elderly Americans.

Applicant Eligibility:

Public or private nonprofit organizations. Faith based organizations are eligible to apply.

Beneficiary Eligibility:

General public.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. All grant announcements are posted on www.grants.gov.

Award Procedure:

Accepted applications will be reviewed by a review panel that will make recommendations on the technical merit based on the published criteria. After considering the recommendations of the panel, the Deputy Assistant Secretary for Health, will make a final decision on funding projects and a notice of grant award will be issued.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. Approximately 90 days from the application deadline date.

Appeals:

Not Applicable.

Renewals:

> 180 Days. Support is recommended for a specified project period (5 years), and is not generally considered for renewal beyond that period.

Formula and Matching Requirements:

This program has no statutory formula.

Matching requirements are not applicable to this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Varies, but a project period is generally limited to 5 years or less. Within a project period, continuation applications must be submitted annually on a noncompeting basis for each year of support. Method of awarding/releasing assistance: by letter of credit.

Reports:

Annual Program reports are required. Cash Reports are due on a minimum basis of quarterly. Progress reports are required for each budget period. Federal Financial Reports (SF425) are due quarterly and at the end of each budget period. A final performance report is due 90 days following the end of the project period.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

HHS and the Comptroller General of the United States or any of their authorized representatives, shall have the right of access to any books, documents, papers, or other records of a grantee, subgrantee, contractor, or subcontractor, which are pertinent to the HHS grant, in order to make audits, examinations, excerpts and transcripts. In accordance with 45 CFR, Part 74.53 and 45 CFR, Part 92, grantees are required to maintain grant accounting records 3 years after the end of a budget period. If any litigation, claim, negotiation, audit or other action involving the records has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0120-0-1-551.

Obligations:

(Cooperative Agreements) FY 12 \$434,000; FY 13 est \$200,000; and FY 14 Estimate Not Available

Range and Average of Financial Assistance:

\$500,000 to \$900,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: This project provided disease prevention and health promotion educational and training opportunities for medical and public health students; preventive medicine and primary care residents; and practicing physicians and public health professionals. Fiscal Year 2013: This project will continue to provide disease prevention and health promotion educational and training opportunities for medical and public health students; preventive medicine and primary care residents; and practicing physicians and public health professionals. Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 74 and 92. Specific program requirements are contained in the Federal Register Notice, the application instructions, and the HHS Grants Policy Statement.

"Healthy People 2010," 2 vols., Government Printing Office, Stock No. 017-001-00547-9 (\$70.00/set); "Healthy People 2010: Understanding and Improving Health," Government Printing Office, Stock No. 017-001-00550-9 (\$10.00); "Tracking Healthy People 2010," Government Printing Office, Stock No. 017-001-00548-7 (\$67.00); "Healthy People 2010 CD-Rom," Government Printing Office, Stock No. 017-001-00549-5 (\$19.00).

Regional or Local Office:

None. Program Management Contact:
Office of Disease Prevention and Health Promotion, 1101 Wootton Parkway, Suite LL 100, Rockville, MD 20852, Telephone (240) 453-8280.

Grants Management Contact:
Office of Grants Management, 1101 Wootton Parkway, Suite 550, Rockville, MD 20852, Telephone (240) 453-8822.

Headquarters Office:

Eric C. West, Tower Building, Suite 550, 1101 Wootton Parkway, Rockville, Maryland 20852 Email: eric.west@hhs.gov Phone: (240) 453-8822.

Website Address:

<http://www.health.gov/healthypeople> .

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Proposals will be assessed according to ability to show: organizational goals consistent with the National Health Promotion Program; access to a nationwide network of local affiliates through which health information and health promotion resources can be decentralized; administrative capability and staff expertise to contribute to satisfaction of program need; detailed proposal including cost proposal in response to program announcement.

93.991 PREVENTIVE HEALTH AND HEALTH SERVICES BLOCK GRANT

(PHHS Block Grants)

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, as amended; Omnibus Budget Reconciliation Act of 1981, Title XIX, Section 1905, Public Law 97-35, as amended; Preventive Health Amendments of 1984, Public Law 98-555; Health Omnibus Programs Extension Act of 1988, Public Law 100-607; Preventive Health Amendments of 1992, Public Law 102-531.

OBJECTIVES:

To provide States with the resources to improve the health status of the population of each grantee by: (A) conducting activities leading to the accomplishment of the most current Healthy People objectives for the nation; (B) rapidly responding to emerging health threats; (C) providing emergency medical services excluding most equipment purchases; (D) providing services for sex offense victims including prevention activities; and (E) coordinating related administration, education, monitoring and evaluation activities.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

Except as described below, Block Grant funds may be used for preventive health service programs for: (A) Activities to achieve improvements in the health status of populations through achievement of the most current Healthy People health objectives for the nation; (B) preventive health service programs for the control of rodents and for community and school-based fluoridation programs; (C) feasibility studies and planning for emergency medical services systems and the establishment, expansion, and improvement of such systems; Funds may not be used to operate emergency medical services systems or to purchase more than 50 percent of the cost of communications equipment for such systems. (D) providing services to victims of sex offenses and prevention of sex offenses; (E) the establishment, operation, and coordination of effective and cost-efficient systems to reduce the prevalence of asthma and asthma related illnesses, especially among children; (F) related planning, administration, and educational activities; and (G) monitoring and evaluation activities related to (A) through (F).

Applicant Eligibility:

Only State and U.S. Pacific Territorial governments, the District of Columbia, the Kickapoo Tribe of Kansas and the Santee Sioux Tribe of Nebraska are eligible for Preventive Health and Health Services Block Grants.

Beneficiary Eligibility:

The general public will benefit from the objectives of this program with special attention to disparately affected populations.

Credentials/Documentation:

Applications shall contain certification by the CEO of the State that the State has complied with provisions of and will meet the requirements of PHS Act, Title XIX, Section 1905, and Title XVII, Chapter 2 of the Omnibus Reconciliation Act of 1981. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Each State shall submit an application to the funding agency. Specific format is required, and forms are provided.

Award Procedure:

Applications are reviewed for completeness and for compliance with legislative requirements. Award is made to the applicant by the Centers for Disease Control and Prevention.

Deadlines:

Oct 01, 2009 Applications will be accepted beginning on October 1 of the eligible fiscal year.

Range of Approval/Disapproval Time:

From 15 to 30 days. About 3 weeks.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Each allotment is available for obligations over a 24-month period; payments are made through the Electronic Transfer System. See the following for information on how assistance is awarded/released: Each allotment is available for obligations over a 24-month period; payments are made through the Electronic Transfer System.

Reports:

Not Applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Each State must maintain records which are consistent with their State laws and requirements.

Account Identification:

75-0943-0-1-551.

Obligations:

(Formula Grants) FY 12 \$71,167,658; FY 13 est \$71,112,912; and FY 14 est \$0

Range and Average of Financial Assistance:

\$21,258 to \$7,026,219; \$1,572,013. (Note: A formula based on fiscal year 1981 allocations to States is used for the annual basic grant allocation.)

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: All objectives were accomplished. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

45 Code of Federal Regulations, Part 96 (Published in the Federal Register on July 6, 1982 and revised in the Federal Register, October 13, 1987).

Regional or Local Office:

None.

Headquarters Office:

Audrey Williams NCCDPHP, 3005 Chamblee Tucker Road
Mailstop K45, Chamblee, Georgia 30341 Email: akwilliams@cdc.gov Phone:
(770) 488-5941 Fax: (770) 488-5974.

Website Address:

<http://www.cdc.gov/nccdphp/blockgrant>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.994 MATERNAL AND CHILD HEALTH SERVICES BLOCK GRANT TO THE STATES

MCH Block Grants

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Social Security Act, Title V, Section 501(a) (1), as amended.

OBJECTIVES:

To enable States to maintain and strengthen their leadership in planning, promoting, coordinating and evaluating health care for pregnant women, mothers, infants, and children, children with special health care needs (CSHCN) and families in providing health services for maternal and child health populations who do not have access to adequate health care.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

States may use funds to develop systems of care for the provision of health services and related activities, including planning, administration, education and evaluation consistent with the State's annual application. Funds may not be used for (1) Inpatient services other than those provided to children with special health care needs or to high-risk pregnant women and infants and such other inpatient services as the Secretary may approve; (2) cash payments to intended recipients for health services; (3) purchase and improvement of land, construction or permanent improvement of buildings or purchase of major medical equipment; (4) matching other Federal grants; or (5) providing funds for research or training to any entity other than a public or private nonprofit entity. Beginning in FY 1991, States must use at least 30 percent of their Federal allotment for preventive and primary care services for children, and at least 30 percent for services for children with special health care needs. In addition, each State must establish and maintain a toll-free information number for parents on maternal and child health (MCH) and Medicaid providers. No more than 10 percent of each State's allotment may be used for administration.

Applicant Eligibility:

Title V MCH Block Grants are limited to States and insular areas.

Beneficiary Eligibility:

Mothers, infants, children, including CSHCN, and their families, particularly those of low-income.

Credentials/Documentation:

Application guidance for this program is approved by the Office of

Management and Budget every three years. Applicants review the current Guidance for requirements in applying annually to receive Title V Maternal and Child Health Block Grant funding. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

The level of preapplication coordination between a State Maternal and Child Health Agency and other State and local entities within the State is at the discretion of the State. States are required to have mechanisms in place for obtaining public input and feedback on the development of their Title V Maternal and Child Health Block Grant applications and Title V supported programs. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. HRSA requires all applicants to apply electronically through its Electronic Handbooks (EHB).

All qualified applications will be forwarded to a review committee. Based on the advice of the review committee, the HRSA program official with delegated authority is responsible for final funding decisions.

Award Procedure:

Notification is made in writing by a Notice of Award.

Deadlines:

Jul 15, 2013: Applications are due to HRSA by COB on July 15 of each year. (If the application due date falls on a Saturday or Sunday, the deadline will be extended to the following Monday.)

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Not Applicable.

Renewals:

Funds will be made available annually to any State submitting an acceptable application.

Formula and Matching Requirements:

Statutory Formula: Title V Section 502(c), Public Law Social Security Act. Subject to 45 CFR Part 96.

Matching Requirements: Percent: 75.%.

This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

Funds are awarded each fiscal year in quarterly installments and remain available for expenditure for the current and subsequent fiscal year. See the following for information on how assistance is awarded/released: Grantees drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

Annual Reports which document the States progress towards the achievement of National Performance and Outcome Measures, State Performance Measures, Health Systems Capacity Indicators and Health Status Indicators. Federal Financial Report (FFR). See Title V Maternal and Child Health Services Block Grant to States Program Guidance and Forms for the Title v Application/Annual Report for additional information. In the Annual Report, States submit a breakdown of expenditures by funding source (e.g., Federal, State, and local), by individuals served (e.g., women, infants, children and CSHCN) and by Maternal and Child Health service level (i.e., direct health care services, enabling services, population-based services and infrastructure building services.). No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a

year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0354-0-1-550.

Obligations:

(Formula Grants) FY 12 \$540,518,516; FY 13 est \$511,906,743; and FY 14 est \$549,729,000

Range and Average of Financial Assistance:

(FY 2013 Awards) \$138,215 - 36,282,041; \$8,676,385.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: No Current Data Available. Fiscal Year 2013: 59 awards. Fiscal Year 2014: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 96 as applicable and individual State Regulations.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices. Program Contact: Division of State and Community Health, Maternal and Child Health Bureau, Health Resources and Services Administration, Department of Health and Human Services, Room 18-31, Parklawn Building, 5600 Fishers Lane, Rockville, MD 20857. Telephone: (301) 443-2204.

Headquarters Office:

Division of State and Community Health, 5600 Fishers Lane, Room 18-31, Rockville, Maryland 20857 Phone: (301) 443-2204.

Website Address:

www.hrsa.gov.

RELATED PROGRAMS:

93.110 Maternal and Child Health Federal Consolidated Programs

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.995 ADOLESCENT FAMILY LIFE DEMONSTRATION PROJECTS

AFL CARE & Prevention

FEDERAL AGENCY:

Office of Population Affairs, Department of Health and Human Services

AUTHORIZATION:

Executive Order 42 U.S.C. 300z et seq., 42 U.S.C 300z et seq.

OBJECTIVES:

To establish innovative, comprehensive, and integrated approaches to the delivery of care services for pregnant and parenting adolescents with primary emphasis on adolescents who are under 17 years of age. To promote abstinence from sexual relations through provision of age-appropriate education on sexuality and decision-making skills as the most effective method of preventing adolescent pregnancy and avoiding sexually transmitted diseases, including HIV/AIDS.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds for services under this program can only be used to: (1) Provide care services, prevention services, or both care and prevention services to eligible persons; (2) coordinate integrate and provide linkages among providers of care, prevention, and other services for eligible persons; (3) provide supplemental services to eligible persons in the community when existing services are not adequate or not available and are essential to the care of pregnant adolescents and/or to the prevention of adolescent sexual activity and adolescent pregnancy; (4) plan for the administration, evaluation, and coordination of pregnancy prevention services and programs of care for pregnant adolescents and adolescent parents to further the objectives of this legislation; and (5) fulfill assurances required for grant approval. Funded projects may not provide or subcontract for the provision of abortions or abortion counseling or referral (with exceptions).

Applicant Eligibility:

Public (including city, county, regional, and State government) organizations; and private nonprofit organizations.

Beneficiary Eligibility:

For prevention services: non-pregnant adolescents and their families. For care services: pregnant adolescents, infants, adolescent parents, and their families. Primary emphasis should be given to unmarried adolescents 17 years of age and under.

Credentials/Documentation:

A nonprofit private entity must provide evidence of its nonprofit status. Allowability of costs charged to the grant will be determined in accordance with the following Cost Principles: OMB Circular No. A-21 for educational institutions, OMB Circular No. A-87 for State and local governments, and OMB Circular No. A-122 for nonprofit organizations. Faith based organizations are eligible to apply. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Application kits may be requested by contacting Office of Assistant Secretary for Health, Office of Grants Management, 1101 Wootton Parkway, Suite 550, Rockville, MD 20852.

Award Procedure:

All applications and proposals are read by a panel of independent experts who make recommendations for action to the Office of Adolescent Pregnancy Programs. After considering the recommendation of this panel, the Deputy Assistant Secretary for Population Affairs makes the final decision on the funding of applications. All applicants are notified in writing of actions taken on their applications. A Notice of Grant Award is issued for those applications that are approved and funded.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days. From 3 to 5 months.

Appeals:

Not Applicable.

Renewals:

> 180 Days. Renewal awards may be approved pending availability of funding, and evidence of satisfactory progress and compliance.

Formula and Matching Requirements:

This program has no statutory formula.
Matching Requirements: 40% match for year 1
50% match for year 2
60% match for year 3

See Notice of Grant Award for more details.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants may not exceed 5 years (subject to the availability of funds). Payments will be made either on a monthly cash request basis or under the Electronic Transfer System. Necessary instructions for the appropriate type of payment will be issued at the time an award is made. Method of awarding/releasing assistance: by letter of credit.

Reports:

Annual Program reports are required. Cash Reports are due on a minimum basis of quarterly. Progress reports are required for each budget period. Federal Financial Reports are due quarterly and at the end of each budget period. A final performance report is due 90 days following the end of the project period.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

HHS and the Comptroller General of the United States or any of their authorized representatives, shall have the right of access to any books, documents, papers, or other records of a grantee, subgrantee, contractor, or subcontractor, which are pertinent to the HHS grant, in order to make audits, examinations, excerpts and transcripts. In accordance with 45 CFR, Part 74.53 and 45 CFR, Part 92, grantees are required to maintain grant accounting records 3 years after the end of a budget period. If any litigation, claim, negotiation, audit or other action involving the records has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0120-0-1-550.

Obligations:

(Project Grants) FY 12 \$0; FY 13 est \$0; and FY 14 est \$0 - No funding was appropriated for this program in FY 2012. Last grant project period ended 4/30/2013.

Range and Average of Financial Assistance:

\$400,000.00 to \$600,000.00.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: No funding appropriated in 2012. Fiscal Year 2013: Project ended. Fiscal Year 2014: Project ended.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 74 and 92. Specific program requirements are contained in the Federal Register Notice, the application instructions, and the HHS Grants Policy Statement.

Regional or Local Office:

See Regional Agency Offices. OASH-OPA-Office of Adolescent Pregnancy Programs

Tower Building, 1101 Wootton Pkwy Suite 700
Rockville, MD 20852. Phone 240-453-2828

OASH-Office of Grants Management

Tower Building 1101 Wootton Parkway, Suite 550
Rockville, MD 20852. Phone (240) 453-8822.

Headquarters Office:

Eric C. West, Tower Building Suite 550, 1101 Wootton Parkway, Rockville, Maryland 20852 Email: eric.west@hhs.gov Phone: (240) 453-8822.

Website Address:

<http://www.opa.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Proposals must contain care and/or prevention services requirements specified in the statute. Priority will be given to applicants who: (1) Serve an area (a) where there is a high incidence of adolescent pregnancy, (b) where the incidence of low-income families is high, and (c) where the availability of programs for adolescents as specified in this act is low; (2) show evidence (a) for care services, of ability to deliver wide range of required services on single-site or network basis, or (b) for prevention services, ability to provide services targeted to population; (3) will utilize to maximum extent possible (a) existing programs and facilities, and (b) other sources of funding; (4) can demonstrate community commitment to the project; (5) have involved community in developing the project; (6) will demonstrate innovative approaches; and (7) demonstrate the reasonableness of the estimated cost considering the anticipated results.

93.998 AUTISM AND OTHER DEVELOPMENTAL DISABILITIES, SURVEILLANCE, RESEARCH, AND PREVENTION

Autism and Other Developmental Disabilities

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Executive Order 317(k)(2), Title 42, Part 241(a), Section 301(a), 42 U.S.C 247b(k)(2), Title 42, Part 241(A), Section 301(a).

OBJECTIVES:

This funding supports State health agencies, universities, and public and private nonprofit organizations in planning, implementing, coordinating, or evaluating programs related to autism and other developmental disabilities and the improvement of infant and child health and developmental outcomes. Programs may include the following activities: conducting epidemiologic research or surveillance; providing a national, State or local platform in the area of autism and developmental disabilities prevention that focuses on improving early identification of children with autism and other developmental disabilities so children and families can get the services and support they need; enhancing existing research or surveillance programs to better address research questions, monitor changes in prevalence and contributing factors, and inform policy and program activities; employing epidemiological methods to set priorities, build capacity and direct health promotion interventions for persons with autism and other developmental disabilities; disseminating data and health information; employing strategies to reduce racial/ethnic disparities in the identification of autism and other developmental disabilities; and expanding public health training opportunities through fellowships to introduce geneticists, epidemiologists, and clinicians to public health practice through education, training and career-enhancing experiences.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funds may be used to strengthen, expand, build upon or enhance research, surveillance, health promotion, education, and prevention/intervention activities that contribute to the mission and activities of the Division of Birth Defects and Developmental Disabilities. Funds may be used to improve and strengthen State and local public health infrastructure by providing technical assistance to other appropriate health agencies, organizations, special groups or coalitions. Funds may be used to support capacity building, program planning, development, implementation, evaluation, and surveillance for autism and other developmental disabilities, and infant and child health and developmental outcomes, including related health promotion, education and training, and prevention/intervention. Funds may also be used to coordinate the dissemination of prevention information to the general public, target populations, state and local agencies, and public and private sector organizations in the United States. Funds may not be used for direct curative or rehabilitative services.

Applicant Eligibility:

N/A.

Beneficiary Eligibility:

State; Consumer; Local; Public nonprofit institution/organization; Federally Recognized Indian Tribal Governments; Private nonprofit institution/organizations and others.

Credentials/Documentation:

Credentials/Documentation (083):

Applicants should document the need for assistance, state the objectives of the project, outline the method of operation, describe the evaluation procedures and provide a budget justification of funds requested. Costs for nonprofit recipients will be determined in accordance with HHS Regulations, 45 CFR Part 74, Subpart Q. OMB Circular No. A-87 applies to this program. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

After review and approval, a Notice of Award from the CDC Procurement and Grants Office (PGO) is prepared and signed by an authorized Grants Management Officer and mailed to the recipient officer identified in the application, along with appropriate notification to the public. The initial award provides funds for first budget period (usually 12 months) and Notice of Award indicates support recommended for the remainder of project period, allocation of Federal funds by budget categories, and special conditions, if any.

Cooperative agreement awards and grants, competitive and single eligibility, are determined by an internal objective committee review process at CDC, including peer reviews for research activities. Awards will be based on evaluation criteria set forth in the respective Funding Opportunity Announcements, the availability of funds, and such other significant factors as deemed necessary and appropriate by CDC.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Project periods are for 1 to 5 years with 12-month budget periods. Applications must be obtained from Grants Management Officer, Grants Management Branch, Procurement and Grants Office, Centers for Disease Control and Prevention. Telephone: (770) 488-2700. Throughout project periods, CDC's commitment to continuation of awards will be conditioned on the availability of funds and evidence of satisfactory progress by recipient as documented in the required reports. If additional support is desired to continue a project beyond the approved project period, an application for competing continuation must be submitted for review in the same manner as a new application. Projects are renewable for periods of 1 to 4 years.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Assistance is available for 12-month budget periods within project periods ranging from 1 to 5 years. After awards are issued, funds are released in accordance with the payment procedure established by the grantee institution with DHHS, which may be an Electronic Transfer System or a Monthly Cost

Request System. Method of awarding/releasing assistance: quarterly.

Reports:

Program reports are not applicable. Cash reports are not applicable. Semiannual or annual progress reports are required. Annual Progress reports and Federal Financial Reports are required no later than 90 days after the end of each budget period. Final federal financial reports and performance reports are required 90 days after the end of a project period. The National Center on Birth Defects and Developmental Disabilities may propose on-site meetings, off-site or other processes for the exchange of information on progress toward objectives of collaborative activities. A plan of proposed activities is required annually. The reporting format and required report content details are specified in Funding Opportunity Announcements. Final federal financial reports and performance reports are required 90 days after the end of the project period. Cash reports are not applicable. Semiannual or annual progress reports are required. Annual Progress reports and Federal Financial Reports are required no later than 90 days after the end of each budget period. Final federal financial reports and performance reports are required 90 days after the end of a project period. The National Center on Birth Defects and Developmental Disabilities may propose on-site meetings, off-site or other processes for the exchange of information on progress toward objectives of collaborative activities. A plan of proposed activities is required annually. The reporting format and required report content details are specified in Funding Opportunity Announcements. Expenditure reports are not applicable. Performance monitoring is not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

There is a 3-year record retention requirement; records shall be retained beyond the 3-year period if final audit has not been done or findings resolved. Property records must be retained in accordance with the HHS Grants Policy Statement requirements.

Account Identification:

75-0958-1-1-550.

Obligations:

(Cooperative Agreements) FY 12 \$0; FY 13 est \$0; and FY 14 est \$6,900,000

Range and Average of Financial Assistance:

FY 14 range is dependent upon funding availability. Award Amounts range from \$5,000 to \$1,750,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations governing this program are published under 42 CFR Part 51b. Guidelines are available in the application kit. The HHS Grants Policy Statement can be found online at: <http://www.hhs.gov/asrt/og/grantinformation/hhsgps107.pdf>

Regional or Local Office:

None.

Headquarters Office:

Victoria Wright 1600 Clifton Rd, NE, MS-E87, Atlanta, Georgia 30333 Email: vwright@cdc.gov Phone: 404-498-3860

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

In general, applications are reviewed on the basis of scientific/technical merit, with attention given to such matters as: (1) The degree to which the applicant satisfies the essential requirements and possesses other desired characteristics, such as depth, breadth, and merit of the overall application relative to the types of projects proposed; (2) clarity of purpose and overall qualifications, adequacy and appropriateness of personnel to accomplish proposed prevention research projects and demonstration projects, and the nation's health priorities and needs; (3) ability to generalize, translate and disseminate to State or local health departments, and other appropriate national regional, and local public health agencies and organizations; (4) reasonableness of the proposed budget in relation to the work proposed.

CORPORATION FOR NATIONAL AND COMMUNITY SERVICE

94.002 RETIRED AND SENIOR VOLUNTEER PROGRAM

FEDERAL AGENCY:

Corporation for National and Community Service

AUTHORIZATION:

Domestic Volunteer Service Act of 1973, as amended, Title II, Part A, Section 201, Public Law 93-113, 42 U.S.C. 5001, as amended.

OBJECTIVES:

The Retired and Senior Volunteer Program (RSVP) provides grants that support volunteers 55 years and older serving in a diverse range of activities that meet specific community needs and that respond to National Performance Measures.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Volunteers are not to supplant hiring, displace employed workers, or impair existing contracts for service. No agency supervising volunteers may request or receive compensation for services of the volunteers. Volunteers may not be involved in and funds may not be used for religious activities, labor or anti-labor organizations, lobbying, or partisan or non-partisan political activities. Grants may be used for staff salaries and fringe benefits, staff travel, equipment, and related expenses, and for volunteer out-of-pocket expenses, primarily for transportation. In addition, eligible agencies or organizations may, with a Notice of Grant Award from the Corporation for National and Community Service, receive technical assistance and materials to aid in establishing and operating non-Corporation funded RSVP projects using local funds. Refer to the program's Notice of Funding Availability for additional information for uses and restrictions including the prohibited activities. Restrictions on use of funds are described in the program's Notice of Funding Availability. Use of funds must be consistent with funded application, and are subject to the Corporation for National and Community Service's grants administration laws, regulations and policies. All appropriated funds awarded under this program are discretionary.

Applicant Eligibility:

See program's Notice of Funding Availability.

Beneficiary Eligibility:

Persons age 55 and older who are willing to volunteer on a regular basis by serving in a diverse range of activities that meet specific community needs. See program's Notice of Funding Availability for additional information.

Credentials/Documentation:

Various records and forms are required for first time applicants, as well as copy of last audit may be requested. First time applicants must certify financial systems. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State

requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. See program's Notice of Funding Availability/Opportunity and application instructions for information on other application procedures.

Award Procedure:

See program's Notice of Funding Availability/Opportunity and application instructions for information on award procedures.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

> 180 Days. See program's Notice of Funding Availability/Opportunity.

Appeals:

No formal appeals for denial of initial grant application, but regulations provide for hearings on terminations and suspensions, and opportunity to show cause in cases of denial of refunding. See program's Notice of Funding Availability/Opportunity.

Renewals:

From 120 to 180 days. See program's Notice of Funding Availability/Opportunity.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: The RSVP sponsor is responsible for generating needed financial support for the RSVP project from all sources, Federal and non-federal, including grants, cash and in-kind contributions, to meet the budgeted costs of the project. The sponsor will supplement a Corporation for National and Community Service grant with other support to the fullest extent possible. See program's Notice of Funding Availability/Opportunity for additional information on matching.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Assistance is usually awarded for project periods of three or more years; funding must be obligated during the award project period and liquidated by the end of the closeout period. Method of awarding/releasing assistance: lump sum.

Reports:

No program reports are required. Cash management reports are required. The Corporation for National and Community Service uses the US HHS Payment Management System for payments and cash management reporting. Progress reporting requirements are described in the awarded grant terms and conditions or provisions. Federal Financial Status Reports are required as specified in the grant award provisions. The Corporation for National and Community Service and its grant recipients are required to practice risk-based monitoring and routine field and desk-based oversight of all grant awards and expenditures.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Corporation for National and Community Service grants are subject to audit by Corporation for National and Community Service employees, the CNCS Inspector General, the Government Accountability Office, and auditors contracted by the CNCS.

Records:

Grant recipients must maintain all grant financial and program records for a period of three years from date of submission of the final Federal Financial Report for the award. This includes but is not limited to all financial records including receipts, disbursements, and vouchers for Federal and non-federal costs, timecards, copies of all contracts, personnel records, job descriptions,

program execution and performance records, criminal history checks, and similar records to reflect all activities and spending performed under the award.

Note that all individuals receiving a salary, living allowance, stipend, National Trust Education Award or similar compensation through a national service program funded by the Corporation for National and Community Service must first clear a National Service Criminal History Check and check records must be maintained within grant records.

Account Identification:

95-2728-1-2-000.

Obligations:

(Project Grants (Discretionary)) FY 12 \$48,529,697; FY 13 est \$47,577,968; and FY 14 Estimate Not Available

Range and Average of Financial Assistance:

The range of financial assistance ranges from \$0 to \$768,228. The average amount of financial assistance requested is \$71,695.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: In FY 2012, RSVP volunteers delivered an estimated 47 million hours of service in their communities. Moreover, CNCS estimates that across a network of 678 grants awarded to sponsoring organizations, a total of more than 320,000 RSVP volunteers served in more than 38,000 community organizations nationwide to deliver services. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

The program's regulations are located in the CFR located at this website <http://ecfr.gpoaccess.gov/cgi/t/text/text-idx?c=ecfr;sid=a7f7104482a690292caa297e31d9f774;rgn=div5;view=text;node=45%3A4.1.9.11.35;idno=45;cc=ecfr>

Regional or Local Office:

See Regional Agency Offices. The Corporation for National and Community Service maintains state offices in most states. Refer to the CNCS website for address and contact information.

Headquarters Office:

Tamika Becton Corporation for National and Community Service RSVP
1201 New York Ave., NW , Washington, District of Columbia 20525 Email: tbecton@cns.gov Phone: (202) 606-5000

Website Address:

<http://www.nationalservice.gov/programs/senior-corps>

RELATED PROGRAMS:

94.011 Foster Grandparent Program; 94.016 Senior Companion Program; 94.017 Senior Demonstration Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Proposal selection criteria are described in the program Notice of Funding Availability or Opportunity.

94.007 PROGRAM DEVELOPMENT AND INNOVATION GRANTS FEDERAL AGENCY:

Corporation for National and Community Service

AUTHORIZATION:

The National and Community Service Act of 1990, Title 42, Part 12653(s), Section section 198(s); National and Community Service Act of 1990 as amended, Executive Order Public Law 101-610, Nov 16, 1990, Title 1, 98, as added and amended by Public Law 103 -82, Title 1, 104 (c), Title IV, 405 (p) (2), Sept. 21, 1993, 107 Stat. 840, 922; Public Law 103 304, 3 (a), (b) (6), Aug. 23, 1994, 108 Stat. 1566, 1568; Public Law 105 354, 2 (b), Nov. 3, 1998, 112 Stat. 3244; Public Law 111-13, Title I, 1802, Apr. 21, 2009, 123 Stat. 1552 (Serve America Act H.R. 1388), Part Subtitle H, Section 198.

OBJECTIVES:

Innovation grants support innovative demonstration service programs that build the ethic of service among Americans of all ages and backgrounds. These

include the Martin Luther King, Jr., Day of Service grants, and Disability Outreach grants. Additional programs may be added based upon legislation and appropriation.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Varies by program. See programs Notice of Funding Availability/Opportunity. Restrictions on use of funds are described in programs Notice of Funding Availability/Opportunity. Use of funds must be consistent with funded application, and are subject to the Corporation for National and Community Services grants administration laws, regulations and policies. All appropriated funds awarded under this program are discretionary.

Applicant Eligibility:

See programs Notice of Funding Availability/Opportunity.

Beneficiary Eligibility:

See programs Notice of Funding Availability/Opportunity.

Credentials/Documentation:

Various records and forms are required for first time applicants, as well as a copy of last audit may be requested. First time applicants must certify financial systems. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. See programs Notice of Funding Availability/Opportunity and application instructions for information on other application procedures.

Award Procedure:

See programs Notice of Funding Availability/Opportunity and application instructions for information on award procedures.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. See programs Notice of Funding Availability/Opportunity.

Appeals:

From 60 to 90 days. See programs Notice of Funding Availability/Opportunity.

Renewals:

From 60 to 90 days. See programs Notice of Funding Availability/Opportunity.

Formula and Matching Requirements:

This program has no statutory formula.
Matching Requirements: Matching requirements will vary. Refer to individual program notice of funding opportunity and application instructions.
This program does not have MOE requirements. Corporation programs usually do not have maintenance of effort requirements. Refer to individual notice of funding opportunity and application instructions.

Length and Time Phasing of Assistance:

Assistance is usually awarded for project periods of three or more years; funding must be obligated during the award project period and liquidated by the end of the closeout period. Method of awarding/releasing assistance: lump sum.

Reports:

No program reports are required. Cash management reports are required. The Corporation for National and Community Service uses the US HHS Payment Management System for payments and cash management reporting. Progress reporting requirements are described in the awarded grant terms and conditions or provisions. Progress reporting requirements are described in the awarded

grant terms and conditions or provisions. The Corporation for National and Community Service and its grant recipients are required to practice risk-based monitoring and routine field and desk-based oversight of all grant awards and expenditures.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Corporation for National and Community Service grants are subject to audit by Corporation for National and Community Service employees, the CNCS Inspector General, the Government Accountability Office, and auditors contracted by the CNCS.

Records:

Grant recipients must maintain all grant financial and program records for a period of three years from date of submission of the final Federal Financial Report for the award. This includes but is not limited to all financial records including receipts, disbursements, and vouchers for Federal and nonfederal costs, timecards, copies of all contracts, personnel records, and job descriptions, program execution and performance records, criminal history checks, and similar records to reflect all activities and spending performed under the award.

Note that all individuals receiving a salary, living allowance, stipend, National Trust Education Award or similar compensation through a national service program funded by the Corporation for National and Community Service must first clear a National Service Criminal History Check and check records must be maintained within grant records.

Account Identification:

95-2728-1-2-000.

Obligations:

(Project Grants (Discretionary)) FY 12 \$0; FY 13 est \$0; and FY 14 est \$0 - This CFDA listing addresses multiple programs. Funding varies per year based on available appropriations, active programs, and other events. Refer to agency budget and reports for actual annual obligation amounts.

Range and Average of Financial Assistance:

Varies by program.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Multiple programs; see agency web site for accomplishment data. Fiscal Year 2013: Multiple programs; see agency web site for accomplishment data. Fiscal Year 2014: Multiple programs; see agency web site for accomplishment data.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Douglas Godesky 8th Floor, Office of Grants Management
1201 New York Avenue, NW, Washington, District of Columbia 20525 Email: DGodesky@cns.gov Phone: 202-606-6967

Website Address:

<http://www.nationalservice.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Varies by program. Specific criteria is published in the Federal Register through a Notice of Funds Availability (NOFA) when appropriate. The Corporation is looking for high quality programs that have the potential to be

replicated and which provide meaningful service activities for Americans of all ages, backgrounds and abilities.

94.011 FOSTER GRANDPARENT PROGRAM

(FGP)

FEDERAL AGENCY:

Corporation for National and Community Service

AUTHORIZATION:

Domestic Volunteer Service Act of 1973, as amended, Title II, Part B, Section 211, Public Law 93-113, 42 U.S.C. 5011, as amended.

OBJECTIVES:

The Foster Grandparent Program provides grants to qualified agencies and organizations for the dual purpose of engaging persons 55 or older, with limited incomes, in volunteer service to meet critical community needs; and to provide a high quality volunteer experience that will enrich the lives of the volunteers. Program funds are used to support Foster Grandparents in providing supportive, person to person service to children with exceptional or special needs or in circumstances identified as limiting their academic, social or emotional development.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

The grants may be used for: low-income Foster Grandparent stipends, transportation, physical examinations and meals; staff salaries and fringe benefits, staff travel, equipment, space costs, etc. Foster Grandparents may be assigned to children and youth in residential and non-residential facilities, including schools and preschools and to children living in their own homes. Volunteers may not supplant hiring or displace employed workers, or impair existing contracts for service. No agency supervising volunteers may request or receive compensation for services of the volunteers. Volunteers may not be involved in and funds may not be used for religious activities, labor or anti-labor organization, lobbying, or partisan or non-partisan political activities. In addition, eligible agencies or organizations may, with a Notice of Grant Award from the Corporation for National and Community Service, receive technical assistance and materials to aid in establishing and operating a non-Corporation funded Foster Grandparent Program project using state, local and/or private funds. Volunteers may not be involved in and funds may not be used for religious activities, labor or anti-labor organization, lobbying, or partisan or non-partisan political activities. Funds must be used as described in the approved application. All appropriated funds awarded under this program are discretionary.

Applicant Eligibility:

Funding must be used consistent with approved application.

Beneficiary Eligibility:

Foster Grandparents must be: 55 years of age or older, with an income of up to 200 percent of poverty, based on the Department of Health and Human Services Poverty Guidelines, and interested in serving infants, children, and youth with special or exceptional needs. (However, individuals who are not income eligible may serve as non-stipended volunteers under certain conditions.) Foster Grandparents must be physically, mentally, and emotionally capable and willing to serve selected infants, children or youth on a person-to-person basis.

Credentials/Documentation:

The applicant must furnish evidence of: availability of income-eligible older persons, eligible volunteer stations, and the ability to provide sufficient matching nonfederal funds. Nonprofit organizations must furnish: proof of nonprofit status, articles of incorporation, and certification of accounting capability. Various records and forms required for first time applicants, as well as copy of last audit may be requested. First time applicants must certify financial systems. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Organizations interested in exploring the possibility of developing a local Foster Grandparent project should contact the Corporation for National and Community Service State Program Office serving their state (list available at <http://www.nationalservice.gov/about/contact/stateoffices.asp>) The

OMB-approved Senior Corps application forms furnished by the Corporation for National and Community Service must be used for this Program. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applications must be submitted following the Senior Corps Grant Application instructions (OMB Control No. 3045-0035). Applications are submitted via the Corporation's eGrants system. For more information on eGrants, go to <http://www.nationalservice.gov/egrants/index.asp>.

Award Procedure:

See program's Notice of Funding Availability/Opportunity and application instructions for information on award procedures.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

> 180 Days.

Appeals:

No formal appeals for denial of initial grant application, but regulations provide for hearings on terminations and suspensions, and opportunity to show cause in cases of denial of refunding.

Renewals:

From 120 to 180 days. See program's Notice of Funding Availability/Opportunity.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: This Program has no statutory formula. Generally, at least 10 percent of the total project costs must be met by the applicant. In exceptional cases, the Corporation for National and Community Service may make grants in excess of 90 percent of total project budget costs. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Assistance is usually awarded for project periods of three or more years; funding must be obligated during the award project period and liquidated by the end of the closeout period. Method of awarding/releasing assistance: lump sum.

Reports:

No program reports are required. Cash management reports are required. The Corporation for National and Community Service uses the US HHS Payment Management System for payments and cash management reporting. Progress reporting requirements are described in the awarded grant terms and conditions or provisions. No expenditure reports are required. The Corporation for National and Community Service and its grant recipients are required to practice risk-based monitoring and routine field and desk-based oversight of all grant awards and expenditures.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Corporation for National and Community Service grants are subject to audit by Corporation for National and Community Service employees, the CNCS Inspector General, the Government Accountability Office, and auditors contracted by CNCS.

Records:

Grant recipients must maintain all grant financial and program records for a

period of three years from date of submission of the final Federal Financial Report for the award. This includes but is not limited to all financial records including receipts, disbursements, and vouchers for Federal and non-federal costs, timecards, copies of all contracts, personnel records, and job descriptions, program execution and performance records, criminal history checks, and similar records to reflect all activities and spending performed under the award.

Note that all individuals receiving a salary, living allowance, stipend, National Trust Education Award or similar compensation through a national service program funded by the Corporation for National and Community Service must first clear a National Service Criminal History Check and check records must be maintained within grant records.

Account Identification:

95-2728-1-2-000.

Obligations:

(Project Grants (Discretionary)) FY 12 \$109,742,804; FY 13 est \$104,781,443; and FY 14 Estimate Not Available

Range and Average of Financial Assistance:

The range of financial assistance is from \$0 to \$1,917,775.
The average of financial assistance is \$333,362.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: CNCS estimates that in FY 2012, more than 28,000 FGP volunteers delivered nearly 24 million hours of service in their communities. Volunteers served a total of more than 215,000 children, including mentoring more than 110,000 children. Of those mentioned more than 4,000 were children of incarcerated parents and more than 3,000 were children of military families. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

The program's regulations are located in the CFR located at this website <http://ecfr.gpoaccess.gov/cgi/t/text/text-idx?c=ecfr&sid=fc6a87b1d5af326bda644011bb19e66&rgn=div5&view=text&node=45:4.1.9.11.34&idno=45#45:4.1.9.11.34.2.16.5>.

Regional or Local Office:

See Regional Agency Offices. The Corporation for National and Community Service maintains state offices in most States. Refer to the CNCS website for address and contact information.

Headquarters Office:

Tamika Becton 1201 New York Avenue, NW, Washington, District of Columbia 20525 Email: tbecton@cns.gov Phone: 202-606-5000

Website Address:

<http://www.nationalservice.gov/programs/senior-corps>

RELATED PROGRAMS:

94.002 Retired and Senior Volunteer Program; 94.016 Senior Companion Program; 94.017 Senior Demonstration Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Proposal selection criteria are described in the program Notice of Funding Availability or Opportunity.

94.013 VOLUNTEERS IN SERVICE TO AMERICA

(Americorps VISTA)

VISTA

Volunteers In Service To America

FEDERAL AGENCY:

Corporation for National and Community Service

AUTHORIZATION:

Domestic Volunteer Service Act of 1973, as amended, Public Law 93-113, , Title I, Part A, Public Law 93-113, 87 Stat. 394, 42 U.S.C 4951-49600.

OBJECTIVES:

To supplement efforts of private, nonprofit organizations and Federal, State,

and local government agencies to eliminate poverty and poverty-related problems by enabling persons from all walks of life and all age groups to perform meaningful and constructive service as volunteers.

TYPES OF ASSISTANCE:

Provision of Specialized Services

USES AND USE RESTRICTIONS:

See program's Notice of Funding Opportunity. Restrictions on use of funds are described in programs Notice of Funding Availability/Opportunity. Use of funds must be consistent with awarded application, and are subject to the Corporation for National and Community Services administration laws, regulations and policies. All appropriated funds awarded under this program are discretionary.

Applicant Eligibility:

The proposed project must have a clear anti-poverty focus. See program's Notice of Funding Opportunity.

Beneficiary Eligibility:

Persons who are assisted by VISTA project activities must be low-income. VISTA activities must benefit low-income persons and communities by building the capacity of the sponsoring organization to find permanent solutions to poverty.

Credentials/Documentation:

Various records and forms are required for first time applicants, as well as a copy of last audit may be requested. First time applicants must certify financial systems. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. See program's Notice of Funding Opportunity and application instructions for information on other application procedures.

Award Procedure:

See program's Notice of Funding Opportunity and application instructions for information on other application procedures.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

No formal appeals for denial of initial project application; however, regulations provide for hearings on terminations and suspensions, and opportunity to show cause in cases of denial of refunding.

Renewals:

From 120 to 180 days. Renewal applications are required annually at least 120 days prior to the end of the project period. Renewals are subject to the same review procedures as new projects.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. The VISTA program does not, as a rule, provide assistance through cash grants, and, thus has no matching requirements. In the case of those projects that receive small grants to offset costs, there are no matching requirements. VISTA does operate a voluntary cost share program where sponsoring organizations can pay living allowance costs for one or more VISTA members, allowing the program to maximize its reach. Sponsors also provide equipment, work space and other in-kind support of the VISTA members assigned to ensure overall project success.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

VISTA Volunteers are assigned to a project for a given length of time, generally 3-4 years, as designated in a Memorandum of Agreement between Corporation for National and Community Service (CNCS) and the sponsor. Individual volunteers commit themselves to an one year term of service which may be extended with sponsor and CNCS approval. Note that, with certain small exceptions, the VISTA program does not grant financial resources to organizations, but rather provides the resource through the placement of a VISTA member. Method of awarding/releasing assistance: lump sum.

Reports:

No program reports are required. In the case of VISTA projects that receive cash grants, cash management reports are required. The Corporation for National and Community Service uses the US HHS Payment Management System for payments and cash management reporting. Progress reporting requirements are described in the Memorandum of Agreement and reporting forms. In the case of VISTA projects that receive small cash grants, Federal Financial Reports are required as specified in the grant award provisions. The Corporation for National and Community Service and its VISTA sponsors are required to practice risk-based monitoring and routine field and desk-based oversight of all project/grant awards and expenditures.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audits are required only for those projects receiving cash grants. Corporation for National and Community Service grants are subject to audit by Corporation for National and Community Service employees, the CNCS Inspector General, the Government Accountability Office, and auditors contracted by the CNCS.

Records:

Sponsors are expected to maintain records pertaining to volunteer assignments and training activities for 3 years after the end of the project year. Grant recipients must maintain all grant financial and program records for a period of three years from date of submission of the final Federal Financial Report for the award. This includes but is not limited to all financial records including receipts, disbursements, and vouchers for Federal and nonfederal costs, timecards, copies of all contracts, personnel records, and job descriptions, program execution and performance records, criminal history checks, and similar records to reflect all activities and spending performed under the award. Note that all individuals receiving a salary, living allowance, stipend, National Trust Education Award or similar compensation through a national service program funded by the Corporation for National and Community Service must first clear a National Service Criminal History Check and check records must be maintained within project records.

Account Identification:

95-2728-1-2-000.

Obligations:

(Provision of Specialized Services) FY 12 \$94,824,450; FY 13 est \$95,300,000; and FY 14 est \$94,820,000

Range and Average of Financial Assistance:

See programs Notice of Funding Opportunity for information.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: 8,165 AmeriCorps VISTA members and Summer Associates started service during FY 2012. Fiscal Year 2013: We anticipate 7700 AmeriCorps VISTA members and Summer Associates will start service during FY 2013. Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 1201; VISTA Member Handbook; Publications: Overcoming Poverty, Building Capacity, Is VISTA Right for Your Organization? http://www.americorps.gov/pdf/12_0724_vista_guide_to_sponsor.pdf

Regional or Local Office:

See Regional Agency Offices. The Corporation for National and Community Service maintains regional offices in most States. Refer to the CNCS website for address and contact information.

Headquarters Office:

Kelly L. Daly, 1201 New York Avenue NW, Washington, District of Columbia 20525 Email: kdaly@cns.gov Phone: (202) 606-6849

Website Address:

<http://www.americorps.gov/about/programs/vista.asp>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

All of the following elements must be found in the applicant's proposal for new or renewal projects. The project must: (1) be poverty oriented in scope and comply with the provisions of the Domestic Volunteer Service Act of 1973 applicable to VISTA and all published regulations and Corporation for National Service policies; (2) show that the project goals, objectives and Volunteer tasks are attainable within the time frame during which the Volunteers will be serving on the project and will produce a measurable result; (3) must recruit and involve low-income community residents in the planning, development and implementation of the VISTA project; (4) provide for frequent and effective supervision of the Volunteers; (5) identify resources needed and make them available for Volunteers to perform their tasks; and (6) have the management capability to carry out the project.

**94.016 SENIOR COMPANION PROGRAM
SCP**

FEDERAL AGENCY:

Corporation for National and Community Service

AUTHORIZATION:

Domestic Volunteer Service Act of 1973, as amended, Title II, Part C, Section 213, Public Law 93-113, 42 U.S.C. 5011, as amended.

OBJECTIVES:

The Senior Companion Program provides grants to qualified agencies and organizations for the dual purpose of engaging persons 55 and older, particularly those with limited incomes, in volunteer service to meet critical community needs; and to provide a high quality experience that will enrich the lives of the volunteers. Program funds are used to support Senior Companions in providing supportive, individualized services to help adults with special needs maintain their dignity and independence.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

The grants may be used for: Senior Companion stipends, transportation, physical examinations, insurance, and meals; staff salaries and fringe benefits, staff travel, equipment, space costs, etc. Assignment of Senior Companions to adults may occur in residential and non-residential facilities and in their own homes. Volunteers do not supplant hiring or displace employed workers, or impair existing contracts for service. No agency supervising volunteers shall request or receive compensation for services of the volunteers. Volunteers are not to be involved in and funds are not to be used for religious activities, labor or anti-labor organizations, lobbying, or partisan or non-partisan political activities. In addition, eligible agencies or organizations may, under a Notice of Grant Award from the Corporation for National and Community Service, receive technical assistance and materials to aid in establishing and operating non-Corporation for National and Community Service funded SCP projects using state, local and private funds. Volunteers may not be involved in and funds may not be used for religious activities, labor or anti-labor organization, lobbying, or partisan or non-partisan political activities. Funds must be used as described in the approved application. All appropriated funds awarded under this program are discretionary.

Applicant Eligibility:

Funding must be consistent with approved and funded application.

Beneficiary Eligibility:

Senior Companions must be: 55 years of age or older, with an income of up to 200 percent of poverty, based on the Department of Health and Human Services Poverty Guidelines; interested in serving special-needs adults, especially the frail elderly, and must be physically, mentally and emotionally capable, and willing to serve on a person-to-person basis. However, non-income eligible individuals may serve as non-stipended volunteers under certain conditions.

Credentials/Documentation:

The applicant must furnish evidence of: availability of income-eligible older persons, eligible volunteer stations, ability to provide sufficient matching nonfederal funds. Non-profit organizations must furnish: proof of nonprofit status, articles of incorporation, and certification of accounting capability. Various records and forms required for first time applicants, as well as copy of last audit may be requested. First time applicants must certify financial systems. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Organizations interested in exploring the possibility of developing a local Senior Companion project should contact the Corporation for National and Community Service State Program Office serving their state (list available at <http://www.nationalservice.gov/about/contact/stateoffices.asp>) The OMB-approved Senior Corps application forms furnished by the Corporation for National and Community Service must be used for this Program. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applications must be submitted following the Senior Corps Grant Application instructions (OMB Control No. 3045-0035). Applications are submitted via the Corporation's eGrants system. For more information on eGrants, go to <http://www.nationalservice.gov/egrants/index.asp>.

Award Procedure:

See program's Notice of Funding Availability/Opportunity and application instructions for information on award procedures.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

> 180 Days.

Appeals:

No formal appeals for denial of initial grant application, but regulations provide for hearings on terminations and suspensions, and opportunity to show cause in cases of denial of refunding.

Renewals:

From 120 to 180 days. See program's Notice of Funding Availability/Opportunity.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: Generally, at least 10 percent of the total project costs must be met by the applicant. In exceptional cases, the Corporation for National and Community Service may make grants in excess of 90 percent of total project budget costs.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Assistance is usually awarded for project periods of three or more years; funding must be obligated during the award project period and liquidated by the end of the closeout period. Method of awarding/releasing assistance: lump sum.

Reports:

No program reports are required. The Corporation for National and Community Service uses the US HHS Payment Management System for payments and cash management reporting. Progress reporting requirements are described in the awarded grant terms and conditions or provisions. No expenditure reports are required. The Corporation for National and Community Service and its grant recipients are required to practice risk-based monitoring and routine field and desk-based oversight of all grant awards and expenditures.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. The Corporation for National and Community Service grants are subject to audit by Corporation for National and Community Service employees, the CNCS Inspector General, the Government Accountability Office, and auditors contracted by CNCS.

Records:

Grant recipients must maintain all grant financial and program records for a period of three years from date of submission of the final Federal Financial Report for the award. This includes but is not limited to all financial records including receipts, disbursements, and vouchers for Federal and non-federal costs, timecards, copies of all contracts, personnel records, and job descriptions, program execution and performance records, criminal history checks, and similar records to reflect all activities and spending performed under the award.

Note that all individuals receiving a salary, living allowance, stipend, National Trust Education Award or similar compensation through a national service program funded by the Corporation for National and Community Service must first clear a National Service Criminal History Check and check records must be maintained within grant records.

Account Identification:

95-2728-1-2-000.

Obligations:

(Project Grants) FY 12 \$46,038,637; FY 13 est \$44,277,713; and FY 14 Estimate Not Available

Range and Average of Financial Assistance:

The range of financial assistance is from \$0 to \$611,758.

The average of financial assistance is \$238,077.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: CNCS estimates that in FY 2012, nearly 14,000 SCP volunteers delivered nearly 12 million hours of service to more than 50,000 frail, older adults and others with physical or other limitations of whom 23,000 were veterans. They transport clients to medical appointments; help shop for food and basic necessities; provide companionship to offset isolation; and offer respite to 7,900 family members and informal caregivers. Fiscal Year 2013: No Current Data Available Fiscal Year 2014: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

The program's regulations are located in the CFR located at this website <http://ecfr.gpoaccess.gov/cgi/t/text/text-idx?c=ecfr&sid=fc6a87b1d5af326bda644011bb19e66&rgn=div5&view=text&node=45:4.1.9.11.33&idno=45#45:4.1.9.11.33.12.16.1>.

Regional or Local Office:

See Regional Agency Offices. The Corporation for National and Community Service maintains regional offices in most States. Refer to the CNCS website and address and contact information.

Headquarters Office:

Tamika Becton 1201 New York Avenue, NW, Washington, District of Columbia 20525 Email: tbecton@cns.gov Phone: 202-606-5000

Website Address:

<http://www.nationalservice.gov/programs/senior-corps>

RELATED PROGRAMS:

94.002 Retired and Senior Volunteer Program; 94.011 Foster Grandparent Program; 94.017 Senior Demonstration Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Proposal selection criteria are described in the program Notice of Funding Availability or Opportunity.

94.017 SENIOR DEMONSTRATION PROGRAM**FEDERAL AGENCY:**

Corporation for National and Community Service

AUTHORIZATION:

Domestic Volunteer Service Act of 1973, as amended., 42 U.S.C 5028-5028(a).

OBJECTIVES:

To provide grants to qualified agencies for the purpose of conducting innovative activities involving older Americans as volunteers, including both volunteers receiving stipends and volunteers not receiving stipends.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

The following are illustrative activities cited in the authorizing legislation: (1) linking youth groups and older American organizations in volunteer activities; (2) involving older volunteers in programs and activities different from existing programs and activities supported in the community; and (3) testing whether older American volunteer programs may contribute to new objectives or certain national priorities. Volunteers may not supplant hiring or displace employed workers, or impair existing contracts for service. Volunteers may not be involved in and funds may not be used to support religious activities, labor or anti-labor organization, lobbying, or partisan or non-partisan political activities.

Applicant Eligibility:

Eligibility information is found in NOFA/NOFO.

Beneficiary Eligibility:

Beneficiaries, i.e., the volunteers enrolled in the program, must be age 55 or older.

Credentials/Documentation:

Documents required to be submitted are specified in the application instructions. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. OMB Circular No. A-21 and OMB Circular No. A-122 applies to this program. Applications must be submitted following the Senior Corps Grant Application instructions (OMB Control No. 3045-0035). Applications are submitted via the Corporation's eGrants system. For more information on eGrants go to <http://www.nationalservice.gov/egrants/index.asp>.

Award Procedure:

Applications are reviewed by the applicable Corporation State Office, which then submits a recommendation for approval or disapproval to the Director of the Senior Corps.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days.

Appeals:

From 90 to 120 days.

Renewals:

From 90 to 120 days. Grants entirely funded from non-federal sources may be renewed, subject to satisfactory performance.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. At this time, this program is funded entirely from non-Corporation funds that are either transferred from other federal agencies to the Corporation or from funds raised locally by grantees.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grants are generally awarded between 1 - 3 years. Method of awarding/releasing assistance: lump sum.

Reports:

No program reports are required. Cash management reports are required. The Corporation for National and Community Service uses the US HHS Payment Management System for payments and cash management reporting. Progress reporting requirements are described in the awarded grant terms and conditions or provisions. No expenditure reports are required. The Corporation will monitor grantee performance in accordance with established policies, through desk reviews and site visits.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Corporation for National and Community Service grants are subject to audit by Corporation for National and Community Service employees, the CNCS Inspector General, the Government Accountability Office, and auditors contracted by the CNCS.

Records:

Grant recipients must maintain all grant financial and program records for a period of three years from date of submission of the final Federal Financial Report for the award. This includes but is not limited to all financial records including receipts, disbursements, and vouchers for Federal and non-federal costs, timecards, copies of all contracts, personnel records, and job descriptions, program execution and performance records, criminal history checks, and similar records to reflect all activities and spending performed under the award.

Account Identification:

95-2728-1-2-000.

Obligations:

(Project Grants (Discretionary)) FY 12 \$0; FY 13 est \$0; and FY 14 est \$0 - Obligations reported are shown as zero per year because only non-federal funds donated to the Corporation for National and Community Service are used to fund this program.

Range and Average of Financial Assistance:

Not Applicable.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Senior Corps Grant Application (OMB Control No. 3045-0035). When federal funds are available for this program, specific guidelines will be developed.

Regional or Local Office:

See Regional Agency Offices. The Corporation for National and Community Service maintains state offices in most states. Refer to the CNCS website for address and contact information.

Headquarters Office:

Tamika L. Becton Corporation for National and Community Service
Senior Demonstration Program
1201 New York Avenue, NW, Washington, District of Columbia 20525 Email:
tbecton@cns.gov Phone: 2026065000

Website Address:

<http://www.seniorcorps.gov>

RELATED PROGRAMS:

94.002 Retired and Senior Volunteer Program; 94.011 Foster Grandparent Program; 94.016 Senior Companion Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Selection criteria for federally funded programs are developed in cooperation with the funding agency, and may vary widely.

94.019 SOCIAL INNOVATION FUND

Social Innovation Fund (SIF)

FEDERAL AGENCY:

Corporation for National and Community Service

AUTHORIZATION:

National and Community Service Act of 1990, as amended 42 U.S.C. 12653k , 123 Stat. 1565-1571, 42 U.S.C 12653k.

OBJECTIVES:

To support a national network of funds, led by community experts, that identify and invest in promising organizations that demonstrate impact in low-income communities.

The Corporation defines social innovation as the development of a potentially transformative practice or approach to meeting critical social needs. By investing in social innovation as a driver of results and accountability, the Federal government will play a central role in accelerating the spread of promising solutions to address our most pressing national and local challenges.

SIF awards are focused on improving measurable outcomes in the following priority areas:

Economic Opportunity Increasing the economic opportunities for economically disadvantaged individuals;
Youth Development Preparing Americas youth for success in school, active citizenship, productive work, and healthy and safe lives; and
Healthy Futures Promoting healthy lifestyles, and reducing the risk factors that can lead to illness.

The SIF funds are awarded to existing intermediary organizations, which are either grantmaking institutions or grantmaking partnerships as defined in this Notice. These intermediary organizations will award subgrants to nonprofit community organizations working to address priority issues. To be awarded funding, intermediaries will need to demonstrate:
the ability to identify innovative solutions and successfully invest in growth and replication;
a track record of using rigorous evidence to select, invest in, and monitor the growth and progression of their grantees;
expertise and demonstrated impact in the proposed issue area(s) of focus; and
depth and breadth of relationships with stakeholders in the issue area or region of focus.

The SIF will also attract and leverage private donors to match Federal dollars, bringing new resources to support promising organizations. The statute requires both the SIF intermediaries and their subgrantees to match their grants dollar-for-dollar, in cash, with non-Federal funding.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

The SIF funds are awarded to existing intermediary organizations, grantmaking

institutions, or grantmaking partnerships. These intermediary organizations will award subgrants to promising nonprofit community organizations working to address priority issues in low-income communities across the country. Please see the Notice of Funding Availability found at www.nationalservice.gov.

Applicant Eligibility:

Please see the Notice of Funding Availability found at www.nationalservice.gov.

Beneficiary Eligibility:

Please see the Notice of Funding Availability found at www.nationalservice.gov.

Credentials/Documentation:

No Credentials or documentation are required. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Please see the Notice of Funding Availability found at www.nationalservice.gov for additional information on the application procedures.

Award Procedure:

Please see the Notice of Funding Availability found at www.nationalservice.gov for additional information on the award procedure.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. Please see the Notice of Funding Availability found at www.nationalservice.gov for additional information on the approval process.

Appeals:

Not Applicable.

Renewals:

This is a grant and cooperative agreement program with up to 5 year awards.

Formula and Matching Requirements:

This program has no statutory formula.
Matching Requirements: Percent: 100%. Please see the Notice of Funding Availability found at www.nationalservice.gov for additional information on match requirements.
This program does not have MOE requirements. Not Applicable.

Length and Time Phasing of Assistance:

The SIF awards are made to intermediaries for up to periods of 5 years, but funded annually. If a grantee is awarded \$5 million in an upcoming competition, then that amount will be awarded for the first year of the overall grant period. Grantees will be eligible for further annual funding contingent on the availability of appropriations, compliance with grant conditions (including having secured cash matching funds), and satisfactory performance. See the following for information on how assistance is awarded/released: Annual award for a period of up to 5 years.

Reports:

Performance Progress Reports and Federal Financial Reports. Please see the Notice of Funding Availability found at www.nationalservice.gov for additional information on program reports. HHS Payment Management System collects quarterly cash transaction reports on behalf of the Corporation for National and Community Service. Please see the Notice of Funding Availability found at www.nationalservice.gov for additional information on the progress reports. Federal Financial Reports are required as specified in the grant award provisions. Please see the Notice of Funding Availability found at www.nationalservice.gov for additional information on performance monitoring.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records must be retained for 3 years after final financial report is expected by the agency.

Account Identification:

95-2728-1-2-000 - Operating Funds.

Obligations:

(Direct Payments for Specified Use (Cooperative Agreements)) FY 12 Not Available; FY 13 est \$45,089,000; and FY 14 est \$48,815,000 - The Corporation anticipates that up to \$50 million will be available to award new cooperative agreements.

Range and Average of Financial Assistance:

Please see the Notice of Funding Availability found at www.nationalservice.gov for additional information related to the range and average of financial assistance.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Please see the Notice of Funding Availability found at www.nationalservice.gov for additional information related to literature.

Regional or Local Office:

None. Not Applicable.

Headquarters Office:

Marlene Saez Corporation for National and Community and Service, 1201 New York Avenue, Washington, District of Columbia 20005 Email: msaez@cns.gov Phone: (202) 606-3918

Website Address:

<http://www.nationalservice.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: <http://www.nationalservice.gov/about/programs/innovation.asp>. Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Please see the Notice of Funding Availability found at www.nationalservice.gov for additional information related to the selection criteria.

94.020 CNCS DISASTER RESPONSE COOPERATIVE AGREEMENT

FEDERAL AGENCY:

Corporation for National and Community Service

AUTHORIZATION:

42 U.S.C. Robert T. Stafford Disaster Relief and Emergency Assistance Act (Stafford Act) 42 U.S.C. National and Community Service Act of 1990.

OBJECTIVES:

The Corporation for National and Community Services (CNCS) Disaster Response Program is an initiative with the Federal Emergency Management Administration (FEMA) through which national service programs provide support to communities affected by disaster through various roles and are reimbursed for expenses in times of disasters. Through the Disaster Response Program, CNCS establishes cooperative agreements with organizations that can provide volunteers and support in response to FEMA-authorized disasters. Organizations that want to be part of the CNCS Disaster Response Program must have a cooperative agreement in place in order to be deployed during

disasters and reimbursed for eligible expenses. It defines the conditions for deployment, reimbursable expenses and support provided by CNCS for these disaster activities.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

These agreements will allow reimbursement of disaster-related expenses for existing CNCS grantees deployed to disaster impacted areas. Allowable expenses are detailed in the agreement. Expenses must fall within the pre-approved budget. Disaster deployments must be supported with a FEMA Mission Assignment and CNCS issued disaster deployment form.

Applicant Eligibility:

See application instructions.

Beneficiary Eligibility:

See application instructions.

Credentials/Documentation:

Various records and forms required for first time applicants, as well as copy of last audit may be requested. Applicants will need proof of liability coverage. First time applicants must certify financial systems. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Eligible organizations include the following groups:  CNCS sub-grantees- AmeriCorps State, Senior Corps programs (including youth corps, local volunteer and service programs, etc.) with the capacity to deploy and support national service participants to impacted areas to provide disaster service functions.  State Service Commissions and AmeriCorps National Direct Grantees- These organizations administer, support, represent and/or coordinate networks of national service programs. AmeriCorps National Direct grantees will deploy AmeriCorps members currently assigned to their program to provide disaster service functions, while State Service Commissions can utilize their networks to engage other national service participants in disaster response activities. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Federal Acquisition Regulations (FAR) also apply to for-profit entities.

Award Procedure:

Awards are given as a reimbursement for approved, actual disaster related costs. If organization is not deployed on a disaster, no award is given.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 60 to 90 days. Rolling application process.

Appeals:

From 60 to 90 days.

Renewals:

Agreements are renewed every three years. Agreements are dependent on base grants. If the base grant is terminated, so is the agreement.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Reimbursement is provided per deployment for actual costs incurred. Method of awarding/releasing assistance: lump sum.

Reports:

No program reports are required. No cash reports are required. Daily reporting on progress and accomplishments are required when programs are deployed on a disaster. A deployment reimbursement form must be completed and submitted

to claim reimbursement. Performance monitoring is incorporated into base grant performance monitoring requirements.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Corporation for National and Community Service grants are subject to audit by Corporation for National and Community Service employees, the CNCS Inspector General, the Government Accountability Office, and auditors contracted by the CNCS.

Records:

Grantees must maintain documentation for all reimbursable expenses.

Account Identification:

95-8981-0-0-000.

Obligations:

(Direct Payments for Specified Use (Cooperative Agreements)) FY 12 Not Available; FY 13 Estimate Not Available; and FY 14 Estimate Not Available

Range and Average of Financial Assistance:

Variable depending on levels of disaster activity across the country.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Guidelines provided in cooperative agreement language, application instructions, and CNCS guidance.

Regional or Local Office:

None.

Headquarters Office:

Jennifer Prall 1201 New York Avenue, NW, 9th Floor, Washington, District of Columbia 20525 Email: jprall@cns.gov Phone: (202) 606-6738

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria outlined in Cooperative Agreement application.

94.023 AMERICORPS VISTA TRAINING & LOGISTICS SUPPORT

VISTA (Volunteers in Service to America)

FEDERAL AGENCY:

Corporation for National and Community Service

AUTHORIZATION:

Domestic Volunteer Service Act of 1973, as amended, Title 1, Part A, Public Law 93-113, 42 U.S.C 4951-4960.

OBJECTIVES:

To provide training and technical assistance to the AmeriCorps VISTA program in the professional development of its members and sponsors at community-based, non-profit organizations and agencies.

TYPES OF ASSISTANCE:

Dissemination of Technical Information; Training

USES AND USE RESTRICTIONS:

Cooperative agreements will support the VISTA program in training VISTA members, and their supervisors at their service sites, prior to service. This assistance includes travel arrangement, fingerprinting for criminal history background checks, curriculum design and instructional design, facilitation, contracting training venues and on site logistical support, development of

training-support materials in multi-media, web and graphic design, server maintenance, marketing/communication strategies, and evaluation. Assistance must be consistent with approved purposes.

Applicant Eligibility:

The use of this cooperative agreement is limited to overall support of VISTA Training.

Beneficiary Eligibility:

Any US Citizen or legal resident, 18 years of age or older, with no history of crime against a minor, is eligible to serve as a VISTA member and therefore be trained through the assistance of this cooperative agreement. Equally any organization whose mission is to eradicate poverty in the US may apply to "sponsor" a VISTA member or members, and as such is eligible to be trained through assistance of this cooperative agreement.

Credentials/Documentation:

Various records and forms required for first time applicants, as well as a copy of the last audit may be requested. First time applicants must certify financial systems. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Contact CNCS for application procedures.

Award Procedure:

The cooperative agreement shall be awarded by CNCS and its program AmeriCorps VISTA. Pre-award negotiations are usually held.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

From 30 to 60 days. Appeals process is in accordance with CNCS policy and procedure.

Renewals:

From 30 to 60 days. Renewals are subject to performance, continuing need, and the availability of funds.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Award is for two (2) years in one year increments. Funds must be expended during the grant period. Method of awarding/releasing assistance: lump sum.

Reports:

Reports shall be submitted in accordance with the terms of the cooperative agreement and as required by law. HHS Payment Management System collects quarterly cash transaction reports on behalf of CNCS. Progress reports shall be submitted in accordance with the terms of the cooperative agreement and as required by law. Federal Financial Status Reports are required as specified in the award grant provisions. AmeriCorps VISTA will monitor all training events and review financial reports per event, monitor materials development and related expenditure per product and/or quarterly.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records shall be maintained in accordance with the terms of the cooperative agreement and as required by law.

Account Identification:

95-2728-1-2-000 - AmeriCorps VISTA.

Obligations:

(Salaries) FY 12 \$0; FY 13 est \$9,000,000; and FY 14 est \$9,000,000

Range and Average of Financial Assistance:

See Notice of Funds Opportunity.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Contact CNCS for guidelines.

Regional or Local Office:

None.

Headquarters Office:

Patrick Triano 1201 New York Ave, NW, Washington, District of Columbia 20525 Email: ptriano@cns.gov Phone: 202-606-6816 Fax: 202-606-3475

Website Address:

<http://www.nationalservice.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

CNCS will assess the VISTA Training & Logistical Support proposals for quality of design/strategy, organizational capacity, and cost effectiveness.

EXECUTIVE OFFICE OF THE PRESIDENT

95.001 HIGH INTENSITY DRUG TRAFFICKING AREAS PROGRAM (HIDTA)

FEDERAL AGENCY:

Executive Office of the President

AUTHORIZATION:

AUTHORIZATION: Office of National Drug Control Policy Reauthorization Act of 2006, Public Law 109-469.

OBJECTIVES:

To reduce drug trafficking and drug production in the United States by-- (A) facilitating cooperation among Federal, State, local, and tribal law enforcement agencies to share information and implement coordinated enforcement activities; (B) enhancing law enforcement intelligence sharing among Federal, State, local, and tribal law enforcement agencies; (C) providing reliable law enforcement intelligence to law enforcement agencies needed to design effective enforcement strategies and operations; and (D) supporting coordinated law enforcement strategies which maximize use of available resources to reduce the supply of illegal drugs in designated areas and in the United States as a whole.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

This program, established by the Anti-Drug Abuse Act of 1988, authorized the Director of The Office of National Drug Control Policy (ONDCP) to designate areas within the United States which exhibit serious drug trafficking problems and harmfully impact other areas of the country as High Intensity Drug Trafficking Areas (HIDTA). Grant funds are provided to law enforcement initiatives in areas that have been designated as a HIDTA. The initiatives are developed to counter the regional drug threat by regional Executive Boards composed of a balance of Federal, State, local, and tribal law enforcement leadership. Initiatives must include collocated Federal and state/local law enforcement officers. Initiatives must also share information with regional

intelligence or information centers and deconflict their operations. The Reauthorization statutes provide the restrictions for the HIDTA program. Discretionary funding is based upon appropriated amounts.

Applicant Eligibility:

Law enforcement initiatives must be located and operate in an area designated as a HIDTA by the Director of ONDCP. The request for funding must be supported by the Executive Board of the regional HIDTA under which they will operate, or in the case of applicants for a new HIDTA, must be submitted by a coalition of law enforcement leaders. Applicants must agree to operate in accordance with HIDTA Program Policy and Budget Guidance and applicable Grant Circulars and Law.

Beneficiary Eligibility:

Law enforcement Drug Task Forces; Drug Related Law Enforcement Initiatives; Drug Related Intelligence or Information Centers located in designated HIDTAs.

Credentials/Documentation:

Operate in an area designated as HIDTA. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Applicants must coordinate with and submit their requests through the Executive Board of the Regional HIDTA. This requirement ensures a coordinated response to counter the threat of illegal drugs in the region. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. The Office of National Drug Control Policy will administer and manage the HIDTA Program. Applicants must submit a proposal to the office on Standard Form 424, Federal Assistance Applications. Additional application procedures are included in HIDTA Program Policy and Budget Guidance. The receipt, review, and analysis of applications will follow the Office of National Drug Control Policy policies and procedures for the administration of grant applications. This program is subject to the all OMB grant circulars.

Award Procedure:

Upon approval by the Office of National Drug Control Policy, a letter is sent to the applicant agency with copies of the Grant Award. The original signed copy of the Grant Award must be signed by the authorized official and returned to the National HIDTA Assistance Center.

Deadlines:

Nov 30, -0001: June 15th annually.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Each grantee must resubmit annually. The Executive Board of each Regional HIDTA will prepare and submit to ONDCP, an annual request for funds that includes a specific projected budget for each initiative, a description of the initiative for which the funds are requested, and performance data for the prior years activities.

Formula and Matching Requirements:

Statutory Formula: 21 CFR 1403 and 1404; 28 CFR 69.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Under the HIDTA program, award length is mandated by appropriation law. Currently awards are made for two years. See the following for information on how assistance is awarded/released: Grants are awarded as part of the baseline

and discretionary funding allocations.

Reports:

Under the HIDTA Program, quarterly progress and financial reports are required. FFR Cash reports are required to be submitted quarterly. Under the HIDTA Program, quarterly progress and financial reports are required. FFR Financial reports are required to be submitted quarterly. Under the HIDTA Program, quarterly progress and financial reports are required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. All organizations that expend financial assistance of \$500,000 or more in any fiscal year must have a single audit for that year, in accordance with OMB Circular No. A-133, as amended, unless the audit condition on the award says otherwise. These audits are due to the cognizant Federal agency not later than 9 months after the end of the grantees fiscal year. In addition, additional external audits may be directed and funded by ONDCP.

Records:

Grantee must keep complete records on the disposition of funds, and records related to the grant must be retained for 3 years.

Account Identification:

11-1070-0-1-754.

Obligations:

(Project Grants) FY 12 \$235,822,000; FY 13 est \$223,487,094; and FY 14 est \$190,700,000

Range and Average of Financial Assistance:

Award amounts vary by HIDTA.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

OMB Circular A-21 Cost Principles for Educational Institutions. OMB Circular A-87 Cost Principles for State, Local, and Indian Tribal Governments. OMB Circular A-102 Grants and Cooperative Agreements with State and Local Governments. (Codified at 21 CFR 1403) OMB Circular A-110 Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-Profit Organizations. OMB Circular A-122 Cost Principles for Nonprofit Organizations. OMB Circular A-133 Audits of States, Local Governments, and Non-Profit Institutions. Uniform Administrative Requirements for Grants and Cooperative Agreements with State and Local Units of Governments, codified at 21 CFR Part 1403, Grants Management Common Rule for State and Local Units of Governments. Government-wide Debarment and Suspension (Nonprocurement), codified at 21 CFR Part 1404. Government-wide Requirements for Drug-free Workplace (Financial Assistance) (codified at 21 CFR Part 1405). New Restrictions on Lobbying (codified at 28 CFR Part 69).

HIDTA Program Policy and Budget Guidance

Regional or Local Office:

None.

Headquarters Office:

Puong DeSear, 750 17th Street, NW, Washington, District of Columbia 20503 Phone: (202) 395-6739.

Website Address:

<http://www.whitehousedrugpolicy.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

ONDCP uses a threat-driven process that requires each HIDTA Executive Board to assess the drug trafficking activities in its region; design a strategy to attack those activities; plan initiatives to carry out the strategy; and develop a budget that is sufficient to carry out the planned initiatives. ONDCP awards funds to HIDTAs based on a review and performance based assessment of the Threat Assessment, Strategy, Initiative Description and Budget Proposals that each HIDTA submits to ONDCP.

95.004 ANTI-DOPING ACTIVITIES

FEDERAL AGENCY:

Executive Office of the President

AUTHORIZATION:

Public Law 107-67, Section 644.

OBJECTIVES:

The grantee must provide continued support of anti-doping efforts to educate athletes on the dangers of drug use and eliminate doping in amateur athletic competitions recognized by the United States Olympic Committee. Specifically, provide support for athlete drug testing programs, research initiatives, educational programs, and efforts to inform athletes of the newly adopted rules governing the use of prohibited substances outlined in the World Anti-Doping Code (the Code). In addition, provide support for legal efforts to enforce compliance with the Code and adjudicate athlete appeals involving doping violations.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

The anti-doping efforts must be accomplished by an agency that: (1) Is an independent anti-doping organization for the amateur athletic competitions recognized by the United States Olympic Committee; (2) Ensures that athletes participating in amateur athletic activities recognized by the United States Olympic Committee are prevented from using performance-enhancing drugs, or performance-enhancing genetic modifications accomplished through gene-doping; (3) Implements anti-doping education, research, testing, and adjudication programs to prevent United States Amateur Athletes participating in any activity recognized by the United States Olympic Committee from using performance-enhancing drugs, or performance-enhancing genetic modifications accomplished through gene-doping; (4) Serves as the United States representative responsible for coordination with other anti-doping organizations coordinating amateur athletic competitions recognized by the United States Olympic Committee to ensure the integrity of athletic competition, the health of the athletes and the prevention of use of performance-enhancing drugs, or performance-enhancing genetic modifications accomplished through gene-doping by United States amateur athletes; and (5) permanently includes gene doping among any list of prohibited substances adopted by the Agency.

Applicant Eligibility:

Anti-doping activities.

Beneficiary Eligibility:

Anti-doping activities.

Credentials/Documentation:

P.L. 107-67, SEC. 644 says The Congress of the United States recognizes the United States Anti-Doping Agency (USADA) as the official anti-doping agency for Olympic, Pan American, and Paralympic sport in the United States.. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program.

Award Procedure:

Upon approval by the Office of National Drug Control Policy, a grant letter and conditions are sent to the grantee.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 15 to 30 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The grant will be available for 12 months, with extensions possible. Method of awarding/releasing assistance: lump sum.

Reports:

Annual program reports required. Federal Financial Report required quarterly and final report to be submitted within 90 days. No progress reports are required. Federal Financial Report required quarterly and final report to be submitted within 90 days. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Single Audit requirements.

Records:

Grantee must keep complete records on the disposition of funds, and records related to the grant must be retained for 3 years.

Account Identification:

11-1460-0-1-754.

Obligations:

(Project Grants) FY 12 \$9,000,000; FY 13 est \$8,555,340; and FY 14 est \$7,800,000

Range and Average of Financial Assistance:

\$8 to \$9 million.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

P.L. 107-67, P.L. 109-469, WADA Codes

Regional or Local Office:

None.

Headquarters Office:

Phuong DeSear 750 17th Street, NW, Washington, District of Columbia 20503
Email: phuong_desear@ondcp.eop.gov Phone: 202-395-6739

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The Congress of the United States recognizes the United States Anti-Doping Agency (USADA) as the official anti-doping agency for Olympic, Pan

American, and Paralympic sport in the United States.

95.005 DRUG COURT TRAINING AND TECHNICAL ASSISTANCE

FEDERAL AGENCY:

Executive Office of the President

AUTHORIZATION:

Consolidated Appropriations Act, 2012, Public Law 112-74.

OBJECTIVES:

The Administration supports a combined public health and public safety approach to reducing drug use and its consequences. Three facts guide our drug policy: (1) addiction is a disease that can be treated; (2) people can recover; and, (3) we cannot arrest our way out of the drug problem. Innovative new interventions are needed to fairly and effectively address substance abuse and drug-related crime. The National Drug Control Strategy views drug addiction as a disease, and promotes an improved criminal justice system to help break the cycle of drug use, crime, incarceration, and recidivism. The Office of National Drug Control Policy (ONDCP) will award one or more Federal grants to establish training, technical assistance, and other resources to advance the drug court field and to improve the criminal justice systems practices and effectiveness in addressing substance abusing offenders.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

ONDCP is seeking providers for the following issue areas:

1. Evidence-based Interventions and Systemic Implementation
2. Behavioral Management and Science of Addiction
3. Current and Emerging Issues Facing Drug Courts.

Applicant Eligibility:

Organizations with expert knowledge of drug courts and extensive experience in brokering and developing training and technical assistance for drug court professionals are eligible. The trainings and technical assistance will require organizations with relevant subject matter expertise and extensive experience in developing and providing training and technical assistance for a variety of disciplines (e.g. judges, prosecutors, public defenders, defense counsel, probation and parole officers, law enforcement officers, law enforcement executives, court administrators, and treatment providers). Applicants can partner with other entities to achieve required expertise.

Beneficiary Eligibility:

Not applicable.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program.

Award Procedure:

Upon approval by the Office of National Drug Control Policy, a grant letter and conditions are sent to the grantee.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
 This program has no matching requirements.
 This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The grant will be available for 12 months, with extensions possible. Method of awarding/releasing assistance: lump sum.

Reports:

Program report required every 6 months and final report to be submitted within 90 days. They should include information on the trainings, technical assistance and information developed, conducted and delivered during the reporting period. Including number of trainings provided, what information has been developed, what type of technical assistance was provided, how many participants received training, and how many entities received technical assistance. Program reports should also include information on performance measures outlined below. Federal Financial Report required quarterly and final report to be submitted within 90 days. No progress reports are required. Federal Financial Report required quarterly and final report to be submitted within 90 days. Successful applicants must provide data that measure the results of their work. Report will include measures of adhering to project timelines, meeting deliverables schedules, obtaining input from customers, and seeking feedback from stakeholders. Applicants must describe any baseline data that will be used, the method to store data, and any safeguards put in place to protect personally identifiable information. Reports are due every 6 months.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantee must keep complete records on the disposition of funds, and records related to the grant must be retained for 3 years.

Account Identification:

11-1460-0-1-754.

Obligations:

(Project Grants) FY 12 \$1,400,000; FY 13 est \$1,330,831; and FY 14 Estimate Not Available

Range and Average of Financial Assistance:

varies by appropriated amount.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Phuong DeSear 750 17th Street, NW, Washington, District of Columbia 20503
 Email: phuong_desear@ondcp.eop.gov Phone: 202-395-6739

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications that meet basic minimum requirements will be evaluated, scored and rated based on the following criteria: statement of the problem, program design and implementation, capabilities and competencies, performance

measure data collection plan, budget, and budget narrative.

95.006 MODEL STATE DRUG LAWS INITIATIVE**FEDERAL AGENCY:**

Executive Office of the President

AUTHORIZATION:

Consolidated Appropriations Act, 2012, Public Law 112-74.

OBJECTIVES:

The purpose of ONDCPs Model State Drug Laws Initiative is to conduct research and analysis, provide technical assistance, and draft model state drug and alcohol laws, policies and programs as established by PL109-469, Section 1105, MODEL ACTS and in accordance with the Presidents Commission on Model State Drug Laws in 1993. Through this Initiative, ONDCP aims to assist policymakers and practitioners in developing comprehensive laws, policies and programs on current and emerging drug and alcohol issues facing states and localities.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

ONDCP is seeking a provider to:

- Conduct research and analysis on state and local drug and alcohol laws, policies and programs;
- Draft comprehensive model state drug and alcohol legislation, revise current model laws and draft supplementary model state laws; and
- Provide technical assistance and maintain a repository and web-based resource center on model state drug and alcohol laws, policies and programs.

Applicant Eligibility:

Applicants must have expert knowledge and extensive experience in conducting research and analysis, providing technical assistance, and drafting model state drug and alcohol laws, policies and programs as established by PL109-469, Section 1105, MODEL ACTS and in accordance with the Presidents Commission on Model State Drug Laws in 1993.

Beneficiary Eligibility:

Not applicable.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program.

Award Procedure:

Upon approval by the Office of National Drug Control Policy, a grant letter and conditions are sent to the grantee.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
 This program has no matching requirements.
 This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The grant will be available for 12 months, with extensions possible. Method of awarding/releasing assistance: lump sum. Method of awarding/releasing assistance: lump sum.

Reports:

Federal Program Report required quarterly and final report to be submitted within 90 days. Federal Financial Report required quarterly and final report to be submitted within 90 days. Federal Program Report required quarterly and final report to be submitted within 90 days. Federal Financial Report required quarterly and final report to be submitted within 90 days. Federal Program Report required quarterly and final report to be submitted within 90 days.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantee must keep complete records on the disposition of funds, and records related to the grant must be retained for 3 years.

Account Identification:

11-1460-0-1-754.

Obligations:

(Salaries) FY 12 \$1,250,000; FY 13 est \$1,188,242; and FY 14 Not Separately Identifiable

Range and Average of Financial Assistance:

range varies based upon appropriated amount.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Phuong DeSear 750 17th Street, NW, Washington, District of Columbia 20503
Email: phuong_desear@ondcp.eop.gov Phone: 202-395-6739

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications will not be considered that fail to demonstrate the organizations expertise and extensive experience in drafting model state drug and alcohol laws, conducting research and analysis, and providing technical assistance on model state drug and alcohol laws. Application must include: statement of the problem, program design and implementation, capabilities and competencies, budget worksheet and narrative, and performance measures.

DEPARTMENT OF HOMELAND SECURITY

97.005 STATE AND LOCAL HOMELAND SECURITY NATIONAL TRAINING PROGRAM

FY 2013 HSNTF NDPC and CTG

FEDERAL AGENCY:

Department of Homeland Security

AUTHORIZATION:

The Homeland Security Act of 2002, as amended, , Public Law 107-296, 6 U.S.C 101, et seq.

OBJECTIVES:

The Fiscal Year (FY) 2013 Homeland Security National Training Program (HSNTF), National Domestic Preparedness Consortium (HSNTF/NDPC) Funding Opportunity Announcement (FOA), provides funds to support nationwide training initiatives and further the mission of FEMA. Based upon their current activities and identified needs, the National Preparedness Directorate (NPD) will invite select organizations to be eligible to apply under this solicitation. Cooperative agreements will be awarded to these eligible applicants to design, develop, and deliver training content and support for Federal, State, local, and tribal jurisdictions in accordance with FEMA doctrine and approved Homeland Security Strategies. Funding for this years solicitation is authorized by The Consolidated and Further Continuing Appropriations Act, 2013, (Public Law No: 133-6).

This year, FY 2013 Continuing Training Grants (CTG) funds are available to develop and deliver innovative training programs that are national in scope and meet emerging training needs in our Nations communities. Where possible, efforts will be made in the development and delivery of training programs to address priorities from the National Preparedness Report and Capability Estimation Process. Funding will be provided in the form of cooperative agreements directly to qualified applicants. Funding for this years funding opportunity announcement is authorized by the Consolidated and Further Continuing Appropriations Act, 2013, Division D, Title III, State and Local Programs (Public Law 133-6).

Eligible applicants for the FY 2013 Continuing Training Grants (CTG) would include entities with existing programs or demonstrable expertise relevant to the focus areas in the funding opportunity announcement:

Multi-State/multi-jurisdictional; non-profit national associations and organizations; non-profit higher education institutions; and other nonprofits, including community and faith-based organizations.

Ensuring training is developed which directly addresses the needs and gaps of the rural community

Focus areas based on the National Preparedness Report, National Exercise Program Principal Objectives, other known priorities (e.g., focus areas for the Secretarys discretionary grant funding), and language from the original FY13 Senate Appropriations Report (FEMA should consider the training needs of State and local first responders in preparedness and response to cyber attacks; and in medical readiness training and public health resiliency.).

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Provides funding through cooperative agreements to the National Domestic Preparedness Consortium, and Continuing Training Grants program for the purpose of the development and delivery of all-hazards training for Federal, State, local, and tribal emergency responders. Refer to the NDPC and CTG Funding Opportunity Announcements for specific information on eligibility. These funds must be used to develop and deliver all hazards training to State, local and tribal personnel. Other special conditions will apply to the recipients of the award. A portion of these funds will be awarded in specific focus areas identified in the Funding Opportunity Announcement.

Indirect costs are allowable only if the applicant has an approved indirect cost rate with the cognizant Federal agency. A copy of the approved rate (a fully executed, agreement negotiated with the applicants cognizant federal agency) is required at the time of application.

Applicant Eligibility:

The HSNTF is a closed solicitation, available only to eligible organizations. Non-Federal members that make up the National Domestic Preparedness Consortium (NDPC) which consist of the following institutions: Louisiana State University, Texas A&M, New Mexico Institute of Mining and Technology, and the University of Hawaii, and Continuing Training Grant (CTG) programs will be an open and fair competition to develop and deliver training in selected focus areas.

This year Continuing Training Grants (CTG) identifies important focus areas for applicants to use in the development of their application. Applicants may

submit only one application per focus area. Applications will undergo a review to determine whether all required format guidelines and selection criteria are met. The full application review process will conclude with a rigorous, competitive peer review process used to select programs for recommendation for award.

Beneficiary Eligibility:

State and Local units of government, public non-profits, and Federally recognized tribal entities.

Credentials/Documentation:

Applicants must complete the Application for Federal Assistance SF-424. Applicants must also possess a Data Universal Numbering System (DUNS). D-U-N-S, is a system developed and regulated by Dun & Bradstreet (D&B).

System for Award Management (SAM): The application process also involves an updated and current SAM registration by the applicant at <http://www.sam.gov> Please ensure that your organizations name, address, DUNS number and EIN are up to date in SAM and that the DUNS number used in SAM is the same one used to apply for all FEMA awards. Future payments will be contingent on the information provided in SAM; therefore it is imperative that the information is correct. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact statement is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Application deadline and other information are contained in the HSNTP and CTG FOAs.

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Refer to program guidance document.

Appeals:

Refer to HSNTP and CTG FOAs.

Renewals:

Potential continuation or extensions of some awards. Refer to HSNTP/CTG FOA.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Refer to FOA. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures. See the following for information on how assistance is awarded/released: Refer to FOA.

Reports:

Semi-annual progress reports. Quarterly Financial Reports. Semi-Annual Progress Reports. Semi-Annual Progress Reports are required. Grantees are required to submit Quarterly Financial Status Reports (FSR). The FSRs are due 30 days after the end of each calendar quarter on January 30, April 30, July 30, and October 30. Final FSR report is due 90 days after the expiration or termination of grant award, whichever occurs first. Semi-Annual Progress Reports (SAPR) are required semi-annually and due within 30 days after the end of the reporting period (July 30 with a reporting period of January 1

through June 30, and January 30 with a reporting period of July 1 through December 31) for the life of the award. Final SAPR report is due 90 days after the expiration or termination of grant award, whichever occurs first.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0560-0-1-999 - 2013-90-A224-P260-4101-D.

Obligations:

(Cooperative Agreements) FY 12 \$70,050,600; FY 13 est \$75,740,333; and FY 14 Estimate Not Available - FY2012 - HSNTP/NDPC \$ \$66,257,000, and CTG \$ 3,793,600
FY2013 - HSNTP/NDPC \$67,929,077, and CTG \$ 7,811,256.

Range and Average of Financial Assistance:

Refer to FOA.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Developed and delivered training to the Nations first responders, state and local personnel, and tribal entities. Fiscal Year 2013: Developed and delivered training to the Nations first responders, state and local personnel, and tribal entities. Fiscal Year 2014: Develop and deliver all hazards training to the Nations first responders, state and locals personnel, and tribal entities.

REGULATIONS, GUIDELINES, AND LITERATURE:

Refer to HSNTP and CTG FOA.

Regional or Local Office:

None.

Headquarters Office:

Federal Emergency Management Agency (FEMA), National Preparedness Directorate (NPD), Grants Program Directorate (GPD) National Training and Education Division
800 K Street, NW, Suite 210, Washington, District of Columbia 20472 Email: askcsid@dhs.gov Phone: (1-800) 927-5646

Website Address:

<http://www.fema.gov/government/grant/index.shtm>

RELATED PROGRAMS:

97.007 Homeland Security Preparedness Technical Assistance Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the FY 2013 HSNTP and CTG FOA.

97.007 HOMELAND SECURITY PREPAREDNESS TECHNICAL ASSISTANCE PROGRAM

(HSPTAP)

FEDERAL AGENCY:

Department of Homeland Security

AUTHORIZATION:

Consolidated Appropriations Act, 2012, Public law 112-74, Division D, Title III, Public Law 112-74.

OBJECTIVES:

To provide direct assistance to state, regional, local, and tribal jurisdictions as well as other homeland security organizations, to improve their ability to prevent, protect against, respond to, recover from, and mitigate major events, including threats or acts of terrorism.

QHSR Linkage:

Mission 5: Ensuring Resilience to Disasters

Goal 5.2 Enhance Preparedness

Goal 5.3: Ensure Effective Emergency Response

PPD-8 Linkage:

This program fully aligns with the National Preparedness Goal, National Preparedness System. In addition, the NHSC will be instrumental in the development of the Prevent, Protect, Respond, Recover, and Mitigate frameworks.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

For costs associated with developing and delivering technical assistance services to State and local homeland security personnel to address / support the full spectrum of mission areas, national priorities, and target capabilities as outlined in the National Preparedness Guidelines, National Priorities, and national strategies and doctrine related to homeland security. Refer to program guidance for complete information. There are restrictions regarding usage of funds, compliance with program requirements, copyrights, patents, and other restrictions. Refer to program guidance for complete information. Refer to program guidance.

Applicant Eligibility:

Refer to program guidance.

Beneficiary Eligibility:

State and local units of government.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. FEMA participates in the Administrations e-government initiative. As part of that initiative, all applicants must file their application using the Federal electronic storefront portal Grants.gov. Eligible applicants must apply through this portal, accessible on the Internet at <http://www.grants.gov>. The application submitted through Grants.gov must include the HSPTAP Program Narrative, Budget, and Budget Narrative. Incomplete applications will not be accepted. Refer to program guidance for additional information regarding application procedures and deadlines.

Award Procedure:

FEMA will review applications for completeness and soundness of proposed methodologies and pricing. An applicants approach to implementing and completing the projects outlined in the program guidance must be consistent with existing DHS / FEMA policy. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued. Refer to program guidance for additional information regarding award procedures.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Refer to program guidance document.

Appeals:

Other - Not Specified.

Renewals:

Other - Not Specified.

Formula and Matching Requirements:

This program has no statutory formula.

Matching requirements are not applicable to this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Announcement of award approval is made through the electronic Grants Management System (GMS). Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures. Refer to program guidance for additional information. See the following for information on how assistance is awarded/released: Refer to program guidance.

Reports:

Categorical Assistance Progress Reports (CAPR) are required semi-annually and due within 30 days after the end of the reporting period (July 30 with a reporting period of January 1 through June 30, and January 30 with a reporting period of July 1 through December 31) for the life of the award. Grantees are also required to submit quarterly Financial Status Reports (FSR). The FSR are due 30 days after the end of each calendar quarter on January 30, April 30, July 30, and October 30. Final CAPR and FSR reports are due 90 days after the expiration or termination of grant award, whichever occurs first. Refer to program guidance document. Refer to program guidance document. Refer to program guidance document. Refer to program guidance document.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0560-0-1-999.

Obligations:

(Cooperative Agreements) FY 12 \$6,941,705; FY 13 est \$5,355,932; and FY 14 est \$5,206,488

Range and Average of Financial Assistance:

Refer to program guidance document.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

FEMA Regulations at 44 CFR 206.8, 44 CFR 206.141, and DOL Regulations at 20 CFR Part 625.

Regional or Local Office:

None. Department of Homeland Security/Techworld

Control Desk, 4th Floor, Room 427
(1-800) 368-6498

Centralized Scheduling and Information Desk (CSID) CSID is open from 8:00 am to 7:00 pm., Eastern Standard Time, Monday through Friday.

Headquarters Office:

Department of Homeland Security, Federal Emergency Management Agency (FEMA) 500 C Street, SW, Sixth Floor

, Washington, District of Columbia 20472-3100 Email: askcsid@dhs.gov
Phone: (202) 212-1117

Website Address:

<http://www.fema.gov>

RELATED PROGRAMS:

97.067 Homeland Security Grant Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the program guidance for information on criteria for selecting proposals.

97.008 NON-PROFIT SECURITY PROGRAM

NSGP

FEDERAL AGENCY:

Department of Homeland Security

AUTHORIZATION:

Consolidated Security Disaster Assistance, and Continuing Appropriations Act of 2009, Public Law 110-329; Implementing Recommendations of the 9/11 Commission Act of 2007, Public Law 110-53, as amended by Department of Homeland Security Appropriations Act of 2013

, Public Law 113-6.

OBJECTIVES:

The FY 2013 NSGP provides \$10,000,000 in funding support for target hardening and other physical security enhancements and activities to nonprofit organizations that are at high risk of terrorist attack and located within one of the specific UASI-eligible Urban Areas. While this funding is provided specifically to high-risk nonprofit organizations under The Department of Homeland Security Appropriations Act, 2013 (Public Law 113-6), the program seeks to integrate nonprofit preparedness activities with broader State and local preparedness efforts. It is also designed to promote coordination and collaboration in emergency preparedness activities among public and private community representatives, as well as State and local government agencies.

The FY 2013 NSGP plays an important role in the implementation of the National Preparedness System (NPS) by supporting the development and sustainment of core capabilities. Core capabilities are essential for the execution of each of the five mission areas outlined in the National Preparedness Goal (NPG). Delivering core capabilities requires the combined effort of the whole community, rather than the exclusive effort of any single organization or level of government. The FY 2013 NSGPs allowable costs support efforts to build and sustain core capabilities across the Prevention, Protection, Mitigation, Response, and Recovery mission areas.

Examples of tangible outcomes from the FY 2013 NSGP include strengthening emergency management capabilities through:

- Building and sustaining core capabilities
- Strengthening governance integration
- Whole community approach to security and emergency management.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

The FY 2012 NSGP provides funding support for target hardening and other physical security enhancements and activities to nonprofit organizations that are

at high risk of terrorist attack and located within one of the specific UASI-eligible Urban Areas. The intent of the FY 2012 NSGP is to competitively award grant funding to assist nonprofit organizations in obtaining the resources required to support the NPG associated mission areas and core capabilities. While funding is provided specifically to high-risk nonprofit organizations, the program seeks to integrate nonprofit preparedness activities with broader State and local preparedness efforts. It is also designed to promote coordination and collaboration in emergency preparedness activities among public and private community representatives, as well as State and local government agencies. NSGP grant recipients and sub-recipients may only use NSGP grant funds for the purpose set forth in the grant, and must be consistent with the statutory authority for the award. Grant funds may not be used for matching funds for other Federal grants/cooperative agreements, lobbying, or intervention in Federal regulatory or adjudicatory proceedings. In addition, Federal funds may not be used to sue the Federal government or any other government entity.

Pre-award costs are allowable only with the written consent of DHS and if they are included in the award agreement. Federal employees are prohibited from serving in any capacity (paid or unpaid) on any proposal submitted under this program. Federal employees may not receive funds under this award. In FY 2012, the total amount of funds distributed under this grant program will be \$10,000,000. Each nonprofit organization must apply through their State Administrative Agency (SAA) for up to a \$75,000 grant award. The FY 2012 NSGP funds will be allocated to organizations characterized as Section 501(c)(3) organizations under the Internal Revenue Code of 1986 (26 U.S.C.) and deemed at high risk by the Secretary of the Department of Homeland Security. Such nonprofit organizations must be located within one of the designated FY 2012 UASI-eligible Urban Areas.

Applicant Eligibility:

The SAA is the only entity eligible to apply for FY 2012 NSGP funds on behalf of eligible nonprofit organizations (as described under section 501(c)(3) of the Internal Revenue Code of 1986) determined to be at high risk of terrorist attack and are located within one of the designated FY 2012 UASI-eligible Urban Areas. Eligible nonprofit organizations must provide their applications to their respective SAAs in order to be considered for FY 2012 NSGP funding. SAAs, in coordination with the Urban Area Working Groups (UAWGs), are encouraged to notify and actively inform eligible nonprofit organizations of the availability of the FY 2012 NSGP especially organizations that have not previously applied for or received NSGP funding. It is important to ensure that ALL eligible nonprofit organizations are afforded an opportunity to seek funding. Criteria for determining eligible applicants that are at high risk of terrorist attack include, but are not limited to:

Identification and substantiation (e.g., police reports or insurance claims) of prior threats or attacks against the nonprofit organization or closely related organizations (within or outside the U.S.) by a terrorist organization, network, or cell.

Symbolic value of the site(s) as a highly recognized national or historical institution that renders the site as possible target of terrorism.

Role of the applicant nonprofit organization in responding to or recovering from terrorist attacks.

Findings from previously conducted risk assessments including threat or vulnerability.

Beneficiary Eligibility:

Local governments and private nonprofit institutions/organizations.

Credentials/Documentation:

No Credentials or documentation are required. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded

from coverage under OMB Circular No. A-110. FEMA makes all funding opportunities available on the Internet at <http://www.grants.gov>. If you experience difficulties accessing information or have any questions please call the Grants.gov customer support hotline at (800) 518-4726. Application forms and instructions are available at Grants.gov. To access these materials, go to <http://www.grants.gov>, select Apply for Grants, then select the CFDA number (97.008) or the FOA number (DHS XX-XXX-XXX-XX-XX) and Download Application Package. Enter the CFDA and/or the funding opportunity number located on the cover of this announcement. Select Download Application Package, and then follow the prompts to download the application package. To download the instructions, go to Download Application Package and select Instructions Content and Form of Application. Application via Grants.gov. All applicants must file their applications using the Administrations common electronic storefront <http://www.grants.gov>. Eligible grantees must apply for funding through this portal, accessible on the Internet at <http://www.grants.gov>. The application must be started and submitted using grants.gov after Central Contractor Registration (CCR) is confirmed. The on-line application includes the following required form:

Standard Form 424, Application for Federal Assistance
Applying for FY 2012 NSGP funds requires a two-step process. Step 1: initial submission to determine eligibility and Step 2: full application. Applicants are encouraged to initiate Step 1 as soon after the FOA is published but no later than April 27, 2012. This involves submitting a complete Standard Form 424 to www.grants.gov. The Standard Form 424 will be retrieved by ND Grants and the system will automatically populate the relevant data fields in the application. Successful completion of this step is necessary for FEMA to determine eligibility of the applicant. Late submissions to grants.gov to complete Step 1 could result in applicants missing the application deadline in Step 2. Once FEMA has determined an applicant to be eligible, applicants can proceed to Step 2 which involves submitting the full application package via the ND Grants system. The submission deadline for the full application package is May 4, 2012. The application must be completed and final submission made through the ND Grants system located at <https://portal.fema.gov>. If you need assistance registering for the ND Grants system, please contact ndgrants@fema.gov or (800) 865-4076. Applicants are encouraged to begin their ND Grants registration at the time of solicitation to ensure they have adequate time to start and complete their application submission. Unless otherwise referenced, the ND Grants system includes the following required forms and submissions:

- Standard Form 424A, Budget Information (Non-construction)
- Standard Form 424B, Standard Assurances (Non-construction)
- Standard Form 424C, Budget Information (Construction)
- Standard Form 424D, Standard Assurances (Construction)
- Standard Form LLL, Disclosure of Lobbying Activities (if the grantee has engaged or intends to engage in lobbying activities)
- Grants.gov (GG) Lobbying Form, Certification Regarding Lobbying
- FEMA Form 20-16C, Certifications Regarding Lobbying; Debarment, Suspension and Other Responsibility Matters; and Drug-Free Workplace Requirements (available at <http://www.fema.gov/library/viewRecord.do?id=2628>)

Investment Justifications from eligible nonprofits (Office of Management and Budget [OMB] Number 1660-0110/FEMA Form 089-25)
SAA Prioritization of Investment Justifications (in rank order) in FEMA-provided template (OMB Number 1660-0110/FEMA Form 089-24)
The program title listed in the CFDA is Non-profit Security Grant Program. The CFDA number is 97.008.

Dun and Bradstreet Data Universal Numbering System (DUNS) Number. The applicant must provide a DUNS number with their application. This number is a required field within <http://www.grants.gov> and for CCR. Organizations should verify that they have a DUNS number, or take the steps necessary to obtain one, as soon as possible. Applicants can receive a DUNS number at no cost by calling the dedicated toll-free DUNS Number request line at (866) 705-5711.

Valid CCR. The application process also involves an updated and current registration by the applicant, which must be confirmed at <http://www.ccr.gov>.

Award Procedure:

Applications will be reviewed in two phases to leverage local knowledge and

understanding of the applicants risk of a terrorist attack, while also ensuring coordination and alignment with Federal, State, and local preparedness efforts.

State Review: Applications must be submitted by the nonprofit organization to the SAA/UAWG (if they are separate entities), no later than 11:59 p.m. EDT, April 4, 2012 to ensure adequate time for a State review of nonprofit applications. The SAA, in coordination with the UAWG, is encouraged to conduct an initial review of all submitted applications from nonprofit organizations to first determine eligibility based on the established criteria. Once eligibility has been determined, the SAA will review and score compliant IJs using the FY 2012 NSGP Scoring Worksheet (see Appendix D- Investment Justification Scoring Worksheet of the FY 2012 NSGP Full FOA) and FY 2012 Prioritization of Investment Justifications Template (Office of Management and Budget Number 1660-0110/FEMA Form 089-24). The SAA should provide the scores from the State Review along with a prioritized list of NSGP projects. Each application should receive a unique rank. Rankings should be developed based on these two factors:

Need The relative need for the nonprofit organization compared to the other applicants.

Impact The potential impact of the nonprofit organization in achieving maximum prevention and/or protection results at minimal cost. The SAA should ensure that information noted in the Prioritization of Investment Justifications accurately reflects the data noted in each organizations IJ. While completing the Prioritization of Investment Justifications, the SAA should verify the Dun and Bradstreet Data Universal Numbering System (DUNS) Number, Central Contractor Registration (CRR), 501(c)(3) Number, and legal organization name. Tracker information submitted by the SAA pertaining to a nonprofit(s) Organization Type and Total Project Cost will be considered during FEMA's review and scoring process.

Federal Review: The highest-scoring IJs from each submitting Urban Area will be reviewed by a panel of Federal evaluators. In order to determine the number of applications that will advance to the Federal Review, FEMA will multiply the available FY 2012 NSGP funding by 1.5 (150%). Applicants will then be selected from each submitting Urban Area, based on their State scores, using a top-down approach until the cumulative funding amount requested has reached 150 percent (150%).

Final Score: To calculate the final score, the sum of each applicants Federal and State scores will be multiplied by a factor of three (3) for non-profit groups that are at a high risk of terrorist attacks due to their ideology, beliefs and mission, by a factor of two (2) for medical and educational institutions, and by a factor of one (1) for all others. All final application scores will then be sorted in descending order and awardees will be selected for funding from highest to lowest until the available FY 2012 NSGP funding has been exhausted. In the event of a tie during the funding determination process, priority will be given to nonprofit organizations that have not received prior year funding and those ranked highest by their SAA. FEMA will use the final results to make funding recommendations to the Secretary of Homeland Security.

NOTE: Upon award, recipients may only fund Investments that were included within the FY 2012 IJs that were submitted to FEMA and evaluated through the Federal review process.

Deadlines:

Feb 17, 2012 to May 04, 2012 Application Start Date: 02/17/2012
Application Submission Deadline Date: 05/04/2012 at 11:59:59 PM EDT
Anticipated Funding Selection Date: 06/29/2012
Anticipated Award Date: 09/30/2012

Other Key Dates

Applying for FY 2012 NSGP funds requires a two-step process. Step 1: initial submission to determine eligibility and Step 2: full application. Applicants are encouraged to initiate Step 1 immediately after the FOA is published but no later than April 27, 2012. This involves submitting a complete Standard Form 424 to grants.gov Successful completion of this step is necessary for FEMA to determine eligibility of the applicant. Late submissions of Step 1 to grants.gov could result in applicants missing the application deadline in Step 2. Once FEMA has determined an applicant to be eligible, applicants can proceed to Step 2 which involves submitting the full application package via the Non Disaster (ND) Grants system. The submission deadline for the full application package is May 4, 2012. For additional details see Section X of the Full FOA.

Range of Approval/Disapproval Time:

Applying for FY 2012 NSGP Program funds requires a two-step process. Step 1: initial submission to determine eligibility and Step 2: full application. Applicants are encouraged to initiate Step 1 as soon after the FOA is published but no later than April 27, 2012. This involves submitting a complete Standard Form 424 to grants.gov. Successful completion of this step is necessary for FEMA to determine eligibility of the applicant. Late submissions to grants.gov to complete Step 1 could result in applicants missing the application deadline in Step 2. Once FEMA has determined an applicant to be eligible, applicants can proceed to Step 2 which involves submitting the full application package via the ND Grants system. The submission deadline for the full application package is May 4, 2012. The anticipated funding award date will be September 30, 2012.

Appeals:

Refer to the FY 2012 NSGP FOA.

Renewals:

Refer to the FY 2012 NSGP FOA.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Projected Award Start Date(s): 09/30/2012

Projected Award End Date(s): 09/30/2014

Period of Performance: 24 months

The periods of performance outlined above support the effort to expedite the outlay of grant funding and provide economic stimulus. Agencies should request waivers sparingly, and they will be granted only due to compelling legal, policy, or operational challenges. For example, SAAs may request waivers from the deadlines outlined above for discretionary grant funds where adjusting the timeline for spending will constitute a verifiable legal breach of contract by the grantee with vendors or sub-recipients, or where a specific statute or regulation mandates an environmental review that cannot be completed within this timeframe or where other exceptional circumstances warrant a discrete waiver. For more information, refer to the FY 2012 NSGP FOA. See the following for information on how assistance is awarded/released: Based on program need. Grantees must accept their grant awards no later than 90 days from the award date. The grantee shall notify the awarding agency of its intent to accept and proceed with work under the award, or provide a written notice of intent to decline. Funds will remain on hold until the grantee accepts the award through official correspondence, e.g., written, electronic signature, signed letter or fax to GPD, and all other conditions of award have been satisfied, or the award is otherwise rescinded. Failure to accept the grant award within the 90 day timeframe may result in a loss of funds.

Reports:

All successful applicants for all DHS grant and cooperative agreements are required to comply with DHS Standard Administrative Terms and Conditions available within Section 6.1.1 of <http://www.dhs.gov/xlibrary/assets/cfo-financial-management-policy-manual.pdf>.

Upon approval of an application, the award will be made in the form of a grant. The date the approval of award is entered in the system is the award date. Notification of award approval is made through the ND Grants system through an automatic e-mail to the grantee point of contact listed in the initial application. Once an award has been approved and recorded in the system, a notice is sent to the authorized grant official. Follow the directions in the notification to accept your award documents. The authorized grant official should carefully read the award package for instructions on administering the grant and to learn more about the terms and conditions associated with responsibilities under Federal awards.

State Preparedness Report (SPR) Submittal. Section 652(c) of the Post-Katrina Emergency Management Reform Act of 2006 (Public Law 109-295), 6 U.S.C. 752(c), requires any State that receives Federal preparedness assistance to submit an SPR to FEMA. States submitted the most recent SPR in December 2011 thus satisfying this requirement in order to receive funding under the FY 2012 NSGP.

Biannual Strategy Implementation Reports (BSIR). Grantees are responsible for completing and submitting the BSIR reports, as a complement to the programmatic performance report/SF-PPR. The BSIR is due within 30 days after the end of the reporting period (July 30 for the reporting period of January 1 through June 30; and January 30 for the reporting period of July 1 through December 31). Updated obligations and expenditure information must be provided within the BSIR to show progress made toward meeting strategic goals and objectives. The first BSIR is not due until at least six months after the award notice has been received by the grantee.

For more information, refer to the FY 2012 NSGP FOA. Federal Financial Report (FFR) required quarterly. Obligations and expenditures must be reported on a quarterly basis through the FFR (SF-425). A report must be submitted for every quarter of the period of performance, including partial calendar quarters, as well as for periods where no grant activity occurs. Future awards and fund draw downs may be withheld if these reports are delinquent. The final FFR is due 90 days after the end date of the performance period. FFRs must be filed electronically through PARS.

Grant Close-Out Process. Within 90 days after the end of the period of performance, grantees must submit a final FFR and final progress report detailing all accomplishments throughout the period of performance. After these reports have been reviewed and approved by FEMA, a close-out notice will be completed to close out the grant. The notice will indicate the period of performance as closed, list any remaining funds that will be deobligated, and address the requirement of maintaining the grant records for three years from the date of the final FFR. The grantee is responsible for returning any funds that have been drawn down but remain as unliquidated on grantee financial records.

For more information, refer to the FY 2012 NSGP FOA. Performance Progress Report (SF-PPR). Awardees are responsible for providing updated performance reports using the SF-PPR (OMB Approval Number 0970-0334) on a semi-annual basis. The SF-PPR is due within 30 days after the end of the reporting period (July 30 for the reporting period of January 1 through June 30; and January 30 for the reporting period of July 1 through December 31). Grantees should submit the SF-PPR as an attachment to the ND Grants system. The SF-PPR can be accessed online at http://www.na.fs.fed.us/fap/SF-PPR_Cover%20Sheet.pdf.

For more information, refer to the FY 2012 NSGP FOA. Grantees are obligated to submit various financial and programmatic reports as a condition of their award acceptance. Please see below for a summary of financial and/or programmatic reports as required. Future awards and funds drawdown may be withheld if these reports are delinquent.

Federal Financial Report (FFR) required quarterly. Obligations and expenditures must be reported on a quarterly basis through the FFR (SF-425). A report must be submitted for every quarter of the period of performance, including partial calendar quarters, as well as for periods where no grant activity occurs. Future awards and fund drawdowns may be withheld if these reports are delinquent. The final FFR is due 90 days after the end date of the performance period. FFRs must be filed electronically through PARS.

Grant Close-Out Process. Within 90 days after the end of the period of performance, or after a Grant Adjustment Notice (GAN) has been issued to close out a grant, whichever comes first, grantees must submit a final FFR and final progress report detailing all accomplishments throughout the period of performance. After these reports have been reviewed and approved by FEMA, a close-out notice will be completed to close out the grant. The notice will indicate the period of performance as closed, list any remaining funds that will be deobligated, and address the requirement of maintaining the grant records for three years from the date of the final FFR. The grantee is responsible for returning any funds that have been drawn down but remain as unliquidated on grantee financial records. Monitoring. Grant recipients will be monitored periodically by FEMA staff, both programmatically and financially, to ensure that the project goals, objectives, performance requirements, timelines, milestone completion, budgets, and other related program criteria are being met. Monitoring may be accomplished through either a desk-based review or on-site monitoring visits, or both. Monitoring will involve the review and analysis of the financial, programmatic, performance, compliance and administrative processes, policies, activities, and other attributes of each Federal assistance award and will identify areas where technical assistance, corrective actions and other support may be needed.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0560-0-1-754.

Obligations:

(Formula Grants) FY 12 \$10,000,000; FY 13 est \$10,000,000; and FY 14 Estimate Not Available

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

All successful applicants for all DHS grant and cooperative agreements are required to comply with DHS Standard Administrative Terms and Conditions available within Section 6.1.1 of

<http://www.dhs.gov/xlibrary/assets/cfo-financial-management-policy-manual.pdf>.

Upon approval of an application, the award will be made in the form of a grant. The date the approval of award is entered in the system is the award date.

Notification of award approval is made through the ND Grants system through an automatic e-mail to the grantee point of contact listed in the initial application. Once an award has been approved and recorded in the system, a notice is sent to the authorized grant official. Follow the directions in the notification to accept your award documents. The authorized grant official should carefully read the award package for instructions on administering the grant and to learn more about the terms and conditions associated with responsibilities under Federal awards.

For more information, refer to the FY 2012 NSGP FOA.

Regional or Local Office:

None.

Headquarters Office:

Grant Programs Directorate DHS/FEMA
5071 D Techworld Building
500 C Street SW
, Washington, District of Columbia 20472 Phone: (1-800) 368-6498

Website Address:

<http://www.fema.gov/government/grant/index.shtm>

RELATED PROGRAMS:

97.042 Emergency Management Performance Grants; 97.053 Citizen Corps

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The SAA is the only entity eligible to apply for FY 2012 NSGP funds on behalf of eligible nonprofit organizations (as described under section 501(c)(3) of the Internal Revenue Code of 1986) determined to be at high risk of terrorist attack and are located within one of the designated FY 2012 UASI-eligible Urban Areas. Eligible nonprofit organizations must provide their applications to their

respective SAA in order to be considered for FY 2012 NSGP funding. SAAs, in coordination with the UAWGs, are encouraged to notify and actively inform eligible nonprofit organizations of the availability of the FY 2012 NSGP especially organizations that have not previously applied for or received NSGP funding. It is important to ensure that ALL eligible nonprofit organizations are afforded an opportunity to seek funding. Criteria for determining eligible applicants that are at high risk of terrorist attack include, but are not limited to:

Identification and substantiation (e.g., police reports or insurance claims) of prior threats or attacks against the nonprofit organization or closely related organizations (within or outside the U.S.) by a terrorist organization, network, or cell.

Symbolic value of the site(s) as a highly recognized national or historical institution that renders the site as possible target of terrorism.

Role of the applicant nonprofit organization in responding to or recovering from terrorist attacks.

Findings from previously conducted risk assessments including threat or vulnerability.

97.009 CUBAN/HAITIAN ENTRANT PROGRAM

FEDERAL AGENCY:

Department of Homeland Security

AUTHORIZATION:

Refugee Education Assistance Act of 1980, Executive Order Executive Order 12341, January 21, 1982, Public Law 96-422; Economy Act of 1932, 31 U.S.C 1535.

OBJECTIVES:

(1) To provide primary resettlement services to Cubans and Haitians, paroled into the United States by the Department of Homeland Security (DHS) for humanitarian reasons and; (2) to provide secondary resettlement assistance to Cuban and Haitian entrants living in south Florida whose initial resettlement did not lead to their achievement of economic self-sufficiency.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Assistance is limited to Cuban and Haitian entrants as defined in the authorizing Act. Federal policy governs further eligibility factors. The scope of services for which funds are available to grantees, or cooperative agreement recipients, are defined in program announcements published in the Federal Register dated March 9, 1981, January 5, 1983, July 5, 1985, April 12, 1988, June 26, 1989, and January 5, 1995. See program announcement published in Federal Register dated March 9, 1981, January 5, 1983, July 5, 1985, April 12, 1988, June 26, 1989, January 5, 1995.

Applicant Eligibility:

Public or Private, nonprofit organizations or agencies, and under certain conditions for-profit organizations, agencies, or institutions.

Beneficiary Eligibility:

Cuban and Haitian nationals who meet the definition of entrant set forth in Title V, Section 501(e) of Public Law 96-422.

Credentials/Documentation:

Persons for whom assistance is authorized through resettlement programs are limited to Cuban and Haitian nationals who possess documentation as described in Title V of the Refugee Education Assistance Act of 1980 (Public Law 96-422). This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Application deadline and other information are contained in the application/program guidance.

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Refer to program guidance document.

Appeals:

None.

Renewals:

A grant or cooperative agreement may be amended in order to extend the program and budgetary period if funds are available and the proposed amendment does not represent a substantive deviation from previous services or costs. Applications may also be renewed through the competitive process after the end of the program period.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Refer to program guidance. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures. See the following for information on how assistance is awarded/released: Payment based on project need.

Reports:

Refer to program guidance document. Cash reports are not applicable. Refer to program guidance document. Refer to program guidance document. Grantees are required to submit quarterly financial and performance reports. Quarterly "Progress Reports" must include the progress of each sub-grant award. Reports are due 30 days after the end of each quarter: January 30, April 30, July 30, and October 30. Final financial and performance reports are due 90 days after the expiration or termination of grant award.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0504-0-1-999.

Obligations:

(Cooperative Agreements) FY 12 \$7,900,000; FY 13 est \$7,900,000; and FY 14 est \$7,900,000

Range and Average of Financial Assistance:

Refer to program guidance.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: 7,755 Cuban and Haitian entrants were served. Fiscal Year 2013: It is estimated that over 11,000 Cuban and Haitian entrants will be served. Fiscal Year 2014: It is estimated that over 11,000 Cuban and Haitian entrants will be served.

REGULATIONS, GUIDELINES, AND LITERATURE:

Several regulations apply to the resettlement grants. Until further notice, these include but are not limited to: 28 CFR Part 42, Sub-parts C,G, H and OMB Circular Nos. A-110, A-122, A-133, and Federal Acquisition Regulation (FAR), Sub-part 32.1.

Regional or Local Office:

See Regional Agency Offices. DHS/USCIS/IO/HAB/Miami CHEP Office
99 SE 5th Street
Miami, FL 33131.

Headquarters Office:

DHS/USCIS/IO/HAB 20 Massachusetts Ave. N.W., 3rd Floor
, Washington, District of Columbia 20529 Phone: (1-800) 375-5283

Website Address:

<http://www.dhs.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the program guidance for information on criteria for selecting proposals. The applicant provides for effective program structure and accountability as demonstrated by administrative and programmatic controls, as well as program and client records and reports. (10 POINTS) F. The adequacy of the program evaluation plan, including the methodology and criteria for evaluating effective of the program in resettling the clients. (10 POINTS). Programmatic control, predictability and accountability as evidenced by the structure and continuity inherent in the program design. (15 POINTS) 5. The adequacy of the plans for: a. developing and updating individual client service plans; and, b. the proposed system of case management. (10 POINTS) 6. The reasonableness of the proposed budget and budget narrative, in relation to proposed program activities. (10 POINTS) 7. The plan for program evaluation, including the methodology and criteria for evaluation the program. (5 POINTS) 8. The degree to which the application has provided written documented evidence of community support and acceptance of the program. (5 POINTS).

97.010 CITIZENSHIP EDUCATION AND TRAINING

FEDERAL AGENCY:

Department of Homeland Security

AUTHORIZATION:

Consolidated Appropriations Act, 2013

Division DDepartment Of Homeland Security Appropriations Act, 2013, Title V, Section 546, Public Law 113-6.

OBJECTIVES:

The goal of the grant program is to expand the availability of quality citizenship preparation services for permanent residents in communities across the nation. Activities that support this goal include making citizenship instruction and naturalization application services accessible to low income and other underserved permanent resident populations; developing, identifying, and sharing promising practices in citizenship preparation; supporting innovative and creative solutions to barriers faced by those seeking naturalization; increasing the use of and access to technology in citizenship preparation programs; and engaging receiving communities in the citizenship and civic integration process.

TYPES OF ASSISTANCE:

Cooperative Agreements; Project Grants (Contracts)

USES AND USE RESTRICTIONS:

DHS grant funds may only be used for the purpose set forth in the agreement, and must be consistent with the statutory authority for the award. Expenses that may be paid for with grant funds include the following: Resources to support citizenship instruction and naturalization application services, including staff salaries, textbooks/materials, standardized assessments, software, etc. Restrictions on use of funds will be identified in the announcement/program guidance and award provisions. See program guidance.

Applicant Eligibility:

Community- and faith-based organizations, public libraries, as well as adult educators conducting citizenship education classes for aliens, are eligible as described in the section above.

Beneficiary Eligibility:

Immigrant Service Providers; Refugee/Alien.

Credentials/Documentation:

May be required by the U.S. Statute, regulation, or program guidance. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Application deadline and other information are contained in the application/program guidance.

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Refer to program guidance document.

Appeals:

None.

Renewals:

Grantees must reapply for continued funding.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Refer to program guidance. Monetary awards may be subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures. See the following for information on how assistance is awarded/released: Payment based on Project need.

Reports:

Grantees are required to file:

1. quarterly performance and financial reports during the performance period, and
2. final performance and financial reports within three months of the close of performance period. Federal Financial Reports, SF-425, are due 30 days after each calendar quarter and within three months of the close of the performance period. Grantees are required to file:
 1. quarterly performance reports during the performance period, and
 2. final performance reports within three months of the close of performance period. Federal Financial Reports, SF-425, are due 30 days after each calendar quarter and within three months of the close of the performance period. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June

27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0300-0-1-751.

Obligations:

(Project Grants (Contracts)) FY 12 \$4,939,082; FY 13 est \$9,873,000; and FY 14 est \$10,000,000

Range and Average of Financial Assistance:

Not applicable for dissemination of technical information projects.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Grant recipients provided citizenship education and naturalization application preparation services to approximately 21,694 LPRs. Fiscal Year 2013: Grant recipients are estimated to provide citizenship education and naturalization application preparation services to approximately 13,000 LPRs. Fiscal Year 2014: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

OMB Circulars No. A-102, Grants and Cooperative Agreements with State and Local Governments and A-87, Cost Principles for State, Local and Indian Tribal Governments, A-110, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals and Non-Profit Organizations, A-21, Cost Principles for Educational Institutions and A-122, Cost Principles for Non-Profit Organization.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

DHS, Office of Procurement Operations, USCIS 245 Murray Lane, S.W., Building 410; Mail Stop 0115, Washington, District of Columbia 20528
Phone: (202) 447-5589

Website Address:

<http://www.uscis.gov/grants>

RELATED PROGRAMS:

84.002 Adult Education - Basic Grants to States

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

97.012 BOATING SAFETY FINANCIAL ASSISTANCE**FEDERAL AGENCY:**

Department of Homeland Security

AUTHORIZATION:

Sport Fish Restoration and Boating Act of 2005/State Boating Safety Financial Assistance, 46 U.S.C 13101-13109.

OBJECTIVES:

To encourage greater State participation and uniformity in boating safety, permit the States to assume the greater share of boating safety education, assistance, and enforcement activities, and to assist the States in developing,

carrying out and financing their recreational boating safety programs. Awards are also made available to national nonprofit public service organizations to support national recreational boating safety activities.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Acquisition, maintenance and operating costs of State boating safety program facilities, equipment, and supplies; personnel salaries and reimbursable expenses; costs of training personnel; public boating safety education; cost of acquiring, constructing and repairing public access sites; costs of administering the program; national boating safety activities of national nonprofit public service organizations; and other expenses which the Secretary considers appropriate. National nonprofit public service organizations may apply for and receive a combined total of up to 5 percent of the funds available in any 1 year. The application process for nonprofit public service organizations may be competitive. States (includes Puerto Rico, Virgin Islands, Guam, American Samoa, Northern Marianas, and the District of Columbia) are eligible applicants. National nonprofit public service organizations may apply and receive a combined total of up to 5 percent of the funds available in any 1 year. The application process for nonprofit public service organizations may be competitive. States (includes Puerto Rico, Virgin Islands, Guam, American Samoa, Northern Marianas, and the District of Columbia) are eligible applicants.

Applicant Eligibility:

States (including Puerto Rico, Virgin Islands, Guam, American Samoa, Northern Marianas, and the District of Columbia) having a Coast Guard-approved boating safety program may apply for financial assistance. States may not receive more than one-half of the total costs incurred for their boating safety program. States must have a boating safety program as described in 46 U.S.C. 13102(c) to be eligible for a full share of the funds. National nonprofit public service organizations may apply and receive a combined total of up to 5 percent of the funds available in any 1 year. The application process for nonprofit public service organizations may be competitive.

Beneficiary Eligibility:

See program guidance.

Credentials/Documentation:

Applicant Eligibility: States (including Puerto Rico, Virgin Islands, Guam, American Samoa, Northern Marianas, and the District of Columbia) having a Coast Guard-approved boating safety program may apply for financial assistance. States may not receive more than one-half of the total costs incurred for their boating safety program. States must have a boating safety program as described in 46 U.S.C. 13102 (c) to be eligible for a full share of the funds. National nonprofit public service organizations may apply and receive a combined total of up to 5 percent of the funds available in any 1 year. The application process for nonprofit public service organizations may be competitive.

Cost of State programs will be determined in accordance with 46 U.S.C. 13107(b) and OMB Circular No. A-87. The Federal cost principles contained in OMB Circular No. A-122 shall be used in determining costs applicable to national nonprofit public service organizations. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Application deadline and other information are contained in the application/program guidance.

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff.

Any issues or concerns noted in the State application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Refer to announcement or application guidance for further information.

Appeals:

Will be considered.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title Sport Fish Restoration and Boating Act of 2005, Chapter 46, Part 13101-13109.

Matching Requirements: Percent: 50%. One-third of the funds available to the States shall be divided equally among eligible States; one-third shall be divided among eligible States based on the ratio of vessels numbered under approved State numbering systems; the remaining one-third shall be divided among eligible States based on the ratio of State funds spent for State boating safety programs in the previous fiscal year. The Federal share of funds expended on boating safety may not exceed 50 percent of total cost of a State Program. Funds remain available for obligation by the State for 3 years following date of allocation. Not more than 5 percent of the appropriation may be allocated to national nonprofit public service organizations. The application process for nonprofit public service organizations may be competitive. There is no matching requirement for grants to nonprofit organizations. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Refer to program guidance. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures. See the following for information on how assistance is awarded/released: Allocation; Request and Receipt; Lump Sum.

Reports:

Refer to program guidance document. Refer to program guidance document. Refer to program guidance document. Refer to program guidance document.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years following grant closeout or final audit, whichever is later. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-8149-0-7-403.

Obligations:

(Project Grants) FY 12 \$113,199,495; FY 13 est \$118,269,410; and FY 14 est \$109,464,110

Range and Average of Financial Assistance:

Refer to program guidance.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: The Coast Guard entered into contractual obligations with 50 States, the District of Columbia, Puerto Rico, the Virgin Islands, American Samoa, Guam, Northern Mariana Islands, and national nonprofit public service organizations. Fiscal Year 2013: The Coast Guard will enter into contractual obligations with 50 States, the District of Columbia, Puerto Rico, the Virgin Islands, American Samoa, Guam, Northern Mariana Islands, and national nonprofit public service organizations. Fiscal Year 2014: The Coast Guard will enter into contractual obligations with 50 States, the District of Columbia, Puerto Rico, the Virgin Islands, American Samoa, Guam, Northern Mariana Islands, and national nonprofit public service organizations.

REGULATIONS, GUIDELINES, AND LITERATURE:

44 CFR Part 13 (States) and 49 CFR Part 19 (nonprofit organizations); Office of Management and Budget Circular Nos. A-87; A-102; A-110; A-122; A-133; "Grant Management Manual for the National Nonprofit Organization Recreational Boating Safety Grant Program (COMINST M16755.4);" and the State Recreational Boating Safety Program State Guide (COMDTPUB P16755.3).

Regional or Local Office:

None.

Headquarters Office:

Pavlo Oborski 2100 Second Street, SW., Stop 7581, Washington, District of Columbia 20593 Phone: (202) 372-1055

Website Address:

<http://www.uscgboating.org>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the program guidance document.

97.016 REIMBURSEMENT FOR FIREFIGHTING ON FEDERAL PROPERTY**FEDERAL AGENCY:**

Department of Homeland Security

AUTHORIZATION:

Federal Fire Prevention and Control Act of 1974 as amended, 15 U.S.C 2201 et seq.

OBJECTIVES:

To provide that each fire service organization which engages in firefighting operations on Federal property may be reimbursed for their direct expenses and direct losses (those losses and expenses that are not considered normal operating expenses) incurred in firefighting.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

Reimbursement is provided only for direct costs and losses over and above normal operating costs.

Applicant Eligibility:

Fire Departments, (volunteer and paid) of the States, District of Columbia, Commonwealths, Territories and Possessions of the United States, and Indian lands that the U.S. holds technical legal title to, are eligible to apply.

Beneficiary Eligibility:

Fire departments.

Credentials/Documentation:

See 44 CFR 151 for requirements. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from

coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. See 44 CFR 151 for details.

Award Procedure:

None.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 90 days.

Appeals:

30 days after receiving an initial notification of decision.

Renewals:

None.

Formula and Matching Requirements:

This program has no statutory formula.

Matching requirements are not applicable to this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

See Program Guidance. See the following for information on how assistance is awarded/released: See Program Guidance.

Reports:

No reports are required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0700-0-1-999.

Obligations:

(Direct Payments for Specified Use) FY 12 \$5,013; FY 13 est \$0; and FY 14 est \$0

Range and Average of Financial Assistance:

From a few dollars to \$500,000 (no stated limit).

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Reimbursements were made to organizations for fighting fires on Federal property. Fiscal Year 2013: Reimbursement to be made for fire fighting on government property, if incident occurs. Fiscal Year 2014: Reimbursement to be made for fire fighting on government property, if incident occurs.

REGULATIONS, GUIDELINES, AND LITERATURE:

Section 11 of the Federal Fire Prevention and Control Act of 1974; Public Law 93-498; 44 CFR 151.11.

Regional or Local Office:

None.

Headquarters Office:

Headquarters: Dept of Homeland Security, Federal Emergency Management Agency (FEMA) 245 Murray Lane, Bldg 410, Washington, District of Columbia 20523 Phone: (1-866) 927-5646

Website Address:

http://www.dhs.gov.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Fire departments which fight fires on Federal property for which they are not otherwise reimbursed may be reimbursed under this program for direct losses and direct expenses over and above normal operating expenses. The amount of reimbursement is subject to availability of funds (see 44 CFR 151.12). All claims must be accompanied by sufficient supporting data to prove expenses and losses incurred in the amount claimed.

97.018 NATIONAL FIRE ACADEMY TRAINING ASSISTANCE

Student Stipend Reimbursement Program

FEDERAL AGENCY:

Department of Homeland Security

AUTHORIZATION:

Federal Fire Prevention and Control Act of 1974, Section 21, Public Law 93-498; U.S.A. Patriot Act of 2001, Public Law 107-56; Federal Emergency Management Agency, Executive Order 12127, 44FR 19367, 3CFR, 1979 Comp P 376; Homeland Security Act of 2002, Public Law 107-296.

OBJECTIVES:

To provide travel stipends to first responders and emergency managers attending Academy courses. NFA curricula and programs are designed to support PPD-8 through training programs which support State and local response agencies to prevent, mitigate, prepare for, and respond, and recover from man-made and natural disasters at local, regional, and national levels.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

National Fire Academy training assists first responders and emergency managers in building and improving capabilities necessary to prepare, prevent, protect against, mitigate the effects of, respond to, and recover from man-made and natural disasters. Presently, the program covers reimbursement for the cost of a 21-day pre-purchase, nonrefundable ticket for round-trip transportation by common carrier, or privately owned vehicle mileage reimbursement not to exceed the cost of the airline ticket and when appropriate baggage cost for one bag; for National Fire Academy Sponsored on-campus program courses at the National Fire Academy. For National Fire Academy Sponsored on-campus courses, students are required to pay the cost of meals daily. Reimbursements are not made to Federal employees who are attending on behalf of their employer, employees from private industry, or foreign students. See Program Guidance. See Program Guidance.

Applicant Eligibility:

Any student who is a member of a fire department or has significant responsibility for fire prevention and control and has been accepted into an eligible course at the National Fire Academy may apply for stipend reimbursement. Federal or private industry employees or foreign students may be accepted into National Fire Academy courses but are not eligible for stipend reimbursement.

Beneficiary Eligibility:

Student or sponsoring organization.

Credentials/Documentation:

Student Stipend Agreement (FEMA Form 75-3) and Student Stipend

Agreement (Amendment) (FEMA Form 75-3a) accompanied by a copy of the required supporting documentation. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Application is made to the National Emergency Training Center Admission's Office using the Student Stipend Agreement (FEMA Form 75-3) and Student Stipend Agreement (Amendment) (FEMA Form 75-3a) after acceptance into the course. The Student Stipend Agreement must be accompanied by the required supporting documentation. The completed agreement package will be processed immediately.

Award Procedure:

The completed agreement package will be processed immediately. A U.S. Department of the Treasury payment schedule will be prepared and a reimbursement check issued directly to the beneficiary.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Refer to program guidance document.

Appeals:

May be made to the Superintendent of the National Fire Academy, or his designee.

Renewals:

None.

Formula and Matching Requirements:

This program has no statutory formula. This program has no matching requirements. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

None. See the following for information on how assistance is awarded/released: See Program Guidance.

Reports:

No reports are required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

None.

Account Identification:

70-0564-0-1-800.

Obligations:

(Salaries) FY 12 \$1,571,960; FY 13 est \$1,624,128; and FY 14 est \$1,625,000

Range and Average of Financial Assistance:

Refer to Program Guidance.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: The National Fire Academy trained 104,089 fire and emergency services personnel through NFA Sponsored 2/6/10 day on- and off-courses, State sponsored 2/6/10 day courses, and NFAOnline distance

learning courses. Fiscal Year 2013: It is anticipated that the National Fire Academy will train an estimated 100,000 fire and emergency services personnel through NFA Sponsored 2/6/10 day on- and off-courses, State sponsored 2/6/10 day courses, and NFAOnline distance learning courses. Fiscal Year 2014: It is anticipated that the National Fire Academy will train an estimated 100,000 fire and emergency services personnel through NFA Sponsored 2/6/10 day on- and off-courses, State sponsored 2/6 day course, and NFAOnline distance learning courses.

REGULATIONS, GUIDELINES, AND LITERATURE:

National Fire Academy Course Catalog, course schedules.

Regional or Local Office:

None.

Headquarters Office:

Homeland Security, Federal Emergency Management Agency, U.S. Fire Administration 500 C Street, S.W., Washington, District of Columbia 20472
Email: NETC-Admissions@dhs.gov Phone: (1-800) 238-3828

Website Address:

<http://www.usfa.fema.gov>

RELATED PROGRAMS:

97.043 State Fire Training Systems Grants

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the program guidance for information on criteria for selection.

97.022 FLOOD INSURANCE

FEDERAL AGENCY:

Department of Homeland Security

AUTHORIZATION:

The National Flood Insurance Act of 1968, 42 U.S.C. 4001 et seq. authorized the National Flood Insurance Program (NFIP), Title 13, Public Law 90-448, 44CFR U.S.C Subchapter B.

OBJECTIVES:

To enable personal and business property owners and renters to purchase flood insurance coverage for buildings and/or contents in low-to-moderate flood risk areas, as well as in high-risk flood zones to reduce taxpayer provided federal disaster assistance and to promote wise floodplain management practices in the Nation's high-risk flood zones.

TYPES OF ASSISTANCE:

INSURANCE

USES AND USE RESTRICTIONS:

The maximum limits of flood insurance coverage for a building and/or personal property, eligible building types, and more detailed additional flood insurance information is contained in the Flood Insurance Manual available online at <http://www.fema.gov/business/nfip/manual.shtm>. In a Regular Program Community the residential building limit is \$250,000 and non-residential building limit is \$500,000. The residential contents limit is \$100,000 and the non-residential contents limit is \$500,000. Lower limits apply to a limited number of Emergency Program buildings and buildings in Alaska, Guam, Hawaii and U.S. Virgin Islands and are listed in the Flood Insurance Manual. The Flood Disaster Protection Act of 1973, as amended by the Flood Insurance Reform Act of 1994, requires flood insurance purchased as a condition of any form of Federal or federally-related financial assistance, including Federal grants, disaster assistance, SBA low-interest disaster assistance loans, and mortgage loans from federally regulated lending institutions and FHA, Farm Credit Administration, VA or guaranteed mortgages secured by buildings in high-risk flood zones, which are called the Special Flood Hazard Areas (SFHAs). Communities having one or more identified SFHAs must enter into the NFIP within 1 year of the official identification of the SFHAs or be denied Federal financial assistance for acquisition or construction purposes within those areas. Federally regulated conventional sources (i.e., banks, savings and loan associations, or similar lending institutions) are permitted to make conventional loans secured by improved real estate or Manufactured Housing

located or to be located in SFHAs of a nonparticipating community which has been formally identified as flood-prone for more than 1 year, but Federal disaster assistance for acquisition or construction purposes will not be available in the event of flood or flood-related property damage. The conventional lender is statutorily required to notify the borrower, before making a loan in such an area, that Federal flood insurance and disaster assistance will not be available to the property. The Coastal Barrier Improvement Act of 1990 amended the Coastal Barrier Resources Act of 1982 by greatly enlarging the acreage included in the designated coastal barrier resources system unit. The 1990 Act also added "otherwise protected areas." "Otherwise protected areas" are defined as an undeveloped coastal barrier within the boundaries of an area established under Federal, State, or local law, or held by a qualified organization, primarily for wildlife refuge, sanctuary, recreational or natural resource conservation purposes. The 1982 Act prohibits the sale of new flood insurance on or after October 1, 1983 for new construction or substantial improvements of structures located on any coastal barrier with the Coastal Barrier Resources System designated by this Act. The 1990 Act prohibits the sale of new flood insurance on or after November 16, 1990 for new construction or substantial improvements of structures located on any new coastal barrier within the Coastal Barrier Resources System designated or modified by this Act. It also prohibits the sale of new flood insurance on or after November 16, 1991 for new construction or substantial improvements of structures located in an otherwise protected area that are not used in a manner consistent with the purpose of the otherwise protected area. Subsequent pieces of legislation have added or deleted areas. Also, the Secretary of the Interior is authorized to make technical revisions and modifications to the boundaries of such units as may be necessary.

Applicant Eligibility:

Federal flood insurance can be made available in any community (a State or political subdivision thereof with authority to adopt and enforce floodplain management measures for the areas within its jurisdiction) that adopts and enforces floodplain management measures consistent with the National Flood Insurance Program regulations.

Beneficiary Eligibility:

Residential and business property owners, renters and state owned property.

Credentials/Documentation:

Same as Applicant Eligibility. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Community officials must submit an NFIP eligibility application form, which is available from the FEMA, together with: copies of adopted floodplain management measures meeting the minimum standards of 44 CFR Section 60.3(a), 60.3(b), 60.3(c), 60.3(d), and/or 60.3(e), as appropriate for the type of flood hazards identified; a list of any incorporated communities within the applicant's boundaries; and estimates of population and, by kind, of buildings situated in the known flood-prone areas of the community. Such Applications should be submitted to the Mitigation Directorate, FEMA, Washington, DC 20472.

Award Procedure:

FEMA reviews the application and if complete, designates the community as participating. The community is informed of its admission, and notice is published in the Federal Register. Residents and property owners may then purchase flood insurance through any property insurance agent or broker.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

For complete applications, a maximum of 10 working days is allowed for review and notification.

Communities with one or more identified special flood hazard areas must enter the program within 1 year after the identification of those areas or else prohibitions against Federally related financial assistance for acquisition or construction purposes in identified special flood hazard areas take force. Once the community does qualify, after the prescribed date, these prohibitions are removed. Adequate floodplain management measures must be in effect within 6 months of the date that the special flood hazard area is identified and within 6 months of the date flood water surface elevations are provided.

Appeals:

Communities are allowed to appeal flood-prone identification and are also given an opportunity to appeal proposed flood elevation determinations for new construction and substantial improvement of existing structures in the special flood hazard areas. Notice of the proposed elevations is published in the Federal Register and is sent directly to the local governments. Following notification to the local government, the notice of proposed flood elevation determinations is published twice during a 10-day period in a prominent newspaper. The local community and its individual residents then have 90 days following the second newspaper notice to submit any scientific or technical data that tend to negate or contradict FEMA's findings. If a conflict in data exists and cannot be resolved at the community level, the community consolidates all individual appeals for review by FEMA. FEMA shall resolve the appeals by consultation with officials of the local government, or by submission of conflicting data to an independent scientific body, or by administrative hearings.

Renewals:

None.

Formula and Matching Requirements:

This program has no statutory formula.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

None. See the following for information on how assistance is awarded/released:
Refer to program guidance.

Reports:

Every two years to FEMA on progress of the floodplain management program.
Refer to program guidance. Refer to program guidance. Refer to program guidance. Refer to program guidance.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Specific elevation information on proposed new construction or substantial improvements in the area of special flood hazard. Backup data for biennial report.

Account Identification:

70-4236-0-4-453.

Obligations:

(Insurance) FY 12 \$163,000,000; FY 13 est \$171,000,000; and FY 14 est \$176,300,000

Range and Average of Financial Assistance:

Claims paid: \$1 to \$1,900,000; \$22,267.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Established NFIP Implementation Team (Flood Insurance and Reform Act of 2012, Public Law 112-141) to define and coordinate priorities, integrate implementation across FEMA and FIMA, and de-conflict any cross-cutting issues. Fiscal Year 2013: Establish National Flood Insurance Reserve Fund in accordance with Biggert-Waters Flood Insurance Reform Act. Assessments will be used to pay for catastrophic losses and repay the debt. Fiscal Year 2014: Implement Section 205 (rate increases) of the Biggert-Waters

Flood Insurance Reform Act.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulation 44 CFR 59 et seq. Publication, "Answers to Questions About the National Flood Insurance Program," "Mandatory Purchase of Flood Insurance Guidelines," "Elevated Residential Structures," "Guide To Flood Insurance Rate Maps," "Flood Insurance Manual" (agents manual), "National Flood Insurance Program. Application Forms," "Manufactured Home Installation in Flood Hazard Areas," "Coastal Construction Manual," "Alluvial Fans: Hazards and Management," "Floodplain Management in the United States: An Assessment Report," "Design Guidelines for Flood Damage Reduction" and "Repairing Your Flooded Home," "Technical Bulletin Series (FIA-TB)" "Answers to Questions About Substantially Damaged Buildings," "Reducing Losses in High Risk Flood Hazard Areas," "Design Manual for Retrofitting Flood- Prone Residential Structures" and "Flood Proofing Non-Residential Structures."

Regional or Local Office:

See Regional Agency Offices. NFIP Regional Offices are listed online at: http://www.fema.gov/business/nfip/nfip_regions.shtm Contact the appropriate FEMA regional office, or the State office responsible for coordinating the NFIP activities.

Headquarters Office:

Department of Homeland Security, Federal Emergency Management Agency (FEMA) 1800 South Bell Street, Arlington, Virginia 20598-3010 Phone: (800) 621-FEMA (3363)

Website Address:

<http://www.fema.gov/business/nfip>

RELATED PROGRAMS:

10.450 Crop Insurance; 59.008 Disaster Assistance Loans

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

97.023 COMMUNITY ASSISTANCE PROGRAM STATE SUPPORT SERVICES ELEMENT (CAP-SSSE)

(CAP-SSSE)

FEDERAL AGENCY:

Department of Homeland Security

AUTHORIZATION:

National Flood Insurance Act of 1968, as amended, and the Flood Disaster Protection Act of 1973, as amended, 42 U.S.C 4001 et seq.

OBJECTIVES:

To provide, through a State grant mechanism, a means to ensure that communities participating in the National Flood Insurance Program (NFIP) are achieving flood loss reduction goals of the NFIP. CAP-SSSE funds are to be used by States to provide technical assistance to NFIP communities, to evaluate community implementation/performance of NFIP floodplain management activities, and to build State and community floodplain management expertise and capacity.

The CAP-SSSE program supports the Mitigation Mission Area Capabilities and Targets described in the National Preparedness Goal (NPG) under Planning and Risk and Disaster Resilience Assessment.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

CAP-SSSE funds are used for activities that support the NFIP. Such activities include the fostering of effective floodplain management programs in participating communities and assisting non-participating communities in enrolling in the NFIP. CAP-SSSE funds cannot be used for conducting floodplain studies developing floodplain maps or supporting web-based digital mapping platforms. Further, if a State does not have the necessary expertise and capability to conduct CAP-SSSE activities, the Region and State must develop

a remedial plan. If the necessary expertise and capability does not develop in accordance with the remedial plan, the following year's CAP-SSSE funding may be reduced or withheld. For complete information on Uses and Restrictions, refer to program guidance. Applicants must be a qualified State that intends to provide technical assistance to NFIP communities. Refer to program guidance for complete information on applicant eligibility.

Applicant Eligibility:

FEMA's ten Regional Offices will provide each State Governor's Office with the grant application package. The Cooperative Agreement is only available to that state or territorial agency so designated by the Governor, as the state or territorial National Flood Insurance Program State Coordinating Agency. The CAP-SSSE is administered through the Mitigation Division of each FEMA Regional Office. FEMA's Regional Offices will contact eligible States to negotiate a mutually acceptable grant agreement. Funding is made available when the grant agreement is negotiated and agreed upon. Continued participation in the CAP-SSSE is dependent upon completing activities described in the grant agreement. The SSSE is designed to utilize the floodplain management capabilities of individual States in a cost effective cooperative agreement with FEMA. A State's participation in the SSSE is voluntary and is contingent upon need and skill level capability.

Beneficiary Eligibility:

The direct beneficiary of the CAP-SSSE is the individual State receiving financial assistance. In addition, participating NFIP communities and local governments which receive flood plain management and flood loss reduction assistance provided by the State are also (indirect) beneficiaries as a consequence of the services they receive.

Credentials/Documentation:

A State's participation in the CAP-SSSE is voluntary and is contingent upon need and skill level capability as determined by FEMA. In addition, applicant states are required to provide any relevant documentation contained in the grant agreement package provided by FEMA. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

FEMA's ten Regional Offices will provide each State Governor's Office with the grant application package. The Cooperative Agreement is only available to that state or territorial agency so designated by the Governor, as the state or territorial National Flood Insurance Program State Coordinating Agency. The CAP-SSSE is administered through the Mitigation Division of each FEMA Regional Office. FEMA's Regional Offices will contact eligible States to negotiate a mutually acceptable grant agreement. Funding is made available when the grant agreement is negotiated and agreed upon. Continued participation in the CAP-SSSE is dependent upon completing activities described in the grant agreement. The SSSE is designed to utilize the floodplain management capabilities of individual States in a cost effective cooperative agreement with FEMA. A State's participation in the SSSE is voluntary and is contingent upon need and skill level capability. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Application deadline and other information are contained in the application/program guidance. Include any other application procedures not found above.

Award Procedure:

Applications are reviewed by FEMA Regional Office program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

30-60 days, Refer to Program Guidance.

Appeals:

Appeals can be made to the appropriate FEMA Regional Office.

Renewals:

Participation in the CAP-SSSE can be renewed each year contingent upon meeting requirements.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Percent: 25.%. Funding is provided on a 75 percent maximum Federal, 25 percent minimum State cost-match basis. Both cash and in-kind resources may meet the 25 percent cost-match.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Awards are for 12 months with no cost extensions allowed. Refer to program guidance. See the following for information on how assistance is awarded/released: Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures.

Reports:

States must report at least semi-annually to the Regions to demonstrate progress in meeting agreed upon performance measures. Some Regions may require quarterly reporting to satisfy Regional grants management requirements. States are expected to cooperate with Regions by submitting documentation or other evidence that demonstrates completion of approved activities. Several key activities are required to be entered digitally into the NFIP Community Information System (CIS) as the tasks are completed. States must provide a final status report on meeting their performance measures to the Region by December 30th of each year in which the state was granted CAP-SSSE funds. For complete reporting requirement information, refer to program guidance. Refer to program guidance. Refer to program guidance. Refer to program guidance.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-4236-0-4-453.

Obligations:

(Project Grants) FY 12 \$10,000,000; FY 13 est \$10,400,000; and FY 14 est \$10,400,000

Range and Average of Financial Assistance:

\$10,400,000 Total Funding for all 50 states and US Territories.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: 50 states, Puerto Rico and the District of Columbia were funded in FY 12. Fiscal Year 2013: 50 states, Puerto Rico and the District of Columbia were funded in FY 13. Fiscal Year 2014: 50 states, Puerto Rico and the District of Columbia are planned to be funded in FY 14.

REGULATIONS, GUIDELINES, AND LITERATURE:

Refer to Program Guidance.

Regional or Local Office:

See Regional Agency Offices. FEMA Regional office information is available

on the Internet at <http://www.fema.gov/about/structure>. Information may also be obtained by contacting the State office responsible for coordinating CAP-SSSE activities.

Headquarters Office:

Community Assistance Program State Support Services Element, FEMA 500 C St., SW, Washington, District of Columbia 20472 Phone: (1-800) 621-FEMA (3363)

Website Address:

<http://www.fema.gov>.

RELATED PROGRAMS:

97.022 Flood Insurance

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the program guidance for information on criteria for selecting proposals.

97.024 EMERGENCY FOOD AND SHELTER NATIONAL BOARD PROGRAM

(EFSP)

FEDERAL AGENCY:

Department of Homeland Security

AUTHORIZATION:

Stewart B. McKinney Homeless Assistance Act of 1987, as amended, Title 3, Section 301, Public Law 100-77, 42 U.S.C 11331-11346.

OBJECTIVES:

Program funds support the National Preparedness Goal (NPG) by complementing actions undertaken to achieve an all-of-Nation preparedness approach that optimizes the use of available resources. When a disaster strikes, it is often the poor or those with economic vulnerabilities who suffer the most. By providing emergency economic assistance that keeps people off the streets, from being evicted from their homes, or with groceries to prevent hunger, the EFSP prepares a population that could otherwise be even more adversely impacted by a disaster situation and mitigates potential burdens imposed on recovery efforts to assist such people.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Food and related expenses (such as transporting food/food preparation and serving equipment); mass shelter; other shelter (such as hotels and motels); and rent/mortgage and/or utility assistance limited to one month. For a complete listing on eligible and ineligible costs under this program, refer to the current Phase (fiscal year): Responsibilities and Requirements document, issued by the Emergency Food and Shelter Program National Board.

For additional details on the NPG, please refer to <http://www.fema.gov/pdf/prepared/npg.pdf>. Emergency Food and Shelter National Board Program (EFSP) funds cannot be used for: security deposits of any kind, cash payments of any kind, lobbying efforts, salaries (except as administrative allowance and limited to that total allowance of 2 percent of total award where provided by Local Boards), purchases or improvements of an individual's private property, telephone costs, repairs to government-owned or profit-making facilities and any payments for services not incurred. For a complete listing on eligible and ineligible costs under this program, refer to the Responsibilities and Requirements Manual of the current Phase (fiscal year) issued by the Emergency Food and Shelter Program National Board.

Applicant Eligibility:

Since funds are initially distributed to jurisdictions based on either a National Board formula or recommendations from State Set-Aside Committees, there is no application process for jurisdictions. All jurisdictions are considered within the National Board formula and all jurisdictions in an individual State may be considered by the State Set-Aside Committee for either initial or additional (if the jurisdiction had previously been selected by the National Board) funding.

At the local level, following award notification by the National Board to the jurisdiction, a Local Board is formed. The Local Board then advertises the availability of the funds to local nonprofit agencies and governments. The Local Board must conduct a local application process for agencies to apply for funding. The Local Board selects which local nonprofit and governmental organizations are to receive funding and submits their selections via a Local Board Plan to the National Board.

Beneficiary Eligibility:

The law directs that the Local Boards, which manage the program at the local level, shall "determine which private nonprofit organizations or public organizations of the local government in the individual locality shall receive grants to act as service providers." The range of participant groups on the Local Boards include the affiliates of the National Board membership which consists of American Red Cross, Catholic Charities USA, The Jewish Federations of North America, National Council of Churches of Christ in the USA, The Salvation Army, and United Way Worldwide, with a local government representative replacing FEMA. Also participating are thousands of independent nonprofits (such as Community Action Agencies and Food Banks and food pantries) which provide food and/or shelter services. Due to the broad category of people in need of such emergency services, the providers can include specialized groups such as domestic violence shelters, Native American organizations, and organizations providing food or shelter to: AIDS patients, handicapped individuals, the elderly, homeless veterans, non-wards of the State teenage runaways, and many other groups with emergency food and shelter needs. As noted earlier, the decisions on selections are made through the consensus of the Local Board as they have assessed their community's most urgent needs. It is important that such agencies use these funds to supplement, not replace, their current efforts. This program is intended to supplement ongoing programs and allow them to extend and expand upon their existing services.

Credentials/Documentation:

The program employs a Local Recipient Organization Certification Form. This form certifies an applicant's status (i.e., that it is a nonprofit or government agency capable of delivering eligible services) and that it will abide by the program guidelines (both on eligible spending and reporting requests), and comply with other fiscal and program rules so stipulated. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. There is no application process for local jurisdictions since the award notification to local jurisdictions is based on either a National Board formula or recommendations from State Set-Aside Committees. The local jurisdiction (i.e., Local Board) must conduct a local application process for local agencies to apply for funding.

Award Procedure:

At the local level, following award notification by the National Board, a Local Board is formed. The Local Board then advertises the availability of the funds to local nonprofit agencies and governments. The Local Board must conduct a local application process for agencies to apply for funding. The Local Board selects which agencies are to receive funding and submits their selections via a Local Board Plan to the National Board. The National Board confirms all pertinent information has been submitted and disburses funds directly to the awarded local agencies.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Refer to announcement or application guidance for further information.

Appeals:

Refer to the Phase 30 (fiscal year 2012) Responsibilities and Requirements Manual issued by the Emergency Food and Shelter Program National Board for information on the appeal process. Those cases that cannot be handled locally should be referred to the National Board giving details on action that has been taken up to that point. Any allegations regarding fraud or the misuse of funds should be referred directly to the DHS Office of Inspector General.

Renewals:

Each phase (fiscal year) is a new program.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

This program has no matching requirements.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Refer to program guidance. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures. See the following for information on how assistance is awarded/released: Payment based on Project need. Refer to program guidance.

Reports:

Refer to program guidance. Refer to program guidance. Refer to program guidance. Refer to program guidance. Refer to program guidance.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Local Boards are required to remain in operation until all program and audit requirements of the National Board have been satisfied. Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0707-0-1-605.

Obligations:

(Project Grants) FY 12 \$119,994,055; FY 13 est \$113,804,918; and FY 14 est \$100,000,000

Range and Average of Financial Assistance:

Refer to program guidance.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Emergency Food and Shelter National Board Program, Phase 30 Responsibilities and Requirements Manual.

Regional or Local Office:

See Regional Agency Offices. See Regional FEMA Agency Offices.

Headquarters Office:

Community Services Branch/Individual Assistance Division/Recovery Directorate 500 C Street, S.W., Room 614, Washington, District of Columbia 20472 Email: poc@dhs.gov Phone: (800) 621-FEMA (3363)

Website Address:

<http://www.fema.gov>

RELATED PROGRAMS:

10.568 Emergency Food Assistance Program (Administrative Costs)

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

97.025 NATIONAL URBAN SEARCH AND RESCUE (US&R) RESPONSE SYSTEM (US&R)

FEDERAL AGENCY:

Department of Homeland Security

AUTHORIZATION:

Robert T. Stafford Disaster Relief and Emergency Assistance Act, 42 U.S.C 5144; Homeland Security Act, Title VI, Part B, Section 2, Public Law 112-10; Homeland Security Act of 2002, 6 U.S.C 101 et seq; Post-Katrina Emergency Management Reform Act of 2006, Section 634, 6 U.S.C 722.

OBJECTIVES:

The purpose of this Readiness Cooperative Agreement is to support the continued development and maintenance of a national urban search and rescue capability among the 28 task forces within the National Urban Search and Rescue Response System.

This program supports Mission Area: Response, Mass Search and Rescue Operations, with the target of conducting search and rescue operations in order to locate and rescue persons in distress, based on the requirements of the state and local authorities.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

State and local emergency management agencies may jointly develop one or more US&R Task Force(s), as defined in the National US&R Response System Operation Manual. Use of cooperative agreement funding is restricted to program management/administration, training, equipment acquisition, and storage and maintenance of equipment, to meet task force position criteria, and conduct and participate in meetings determined to benefit the National US&R Response System. Equipment acquisitions are limited to those included in FEMA's approved equipment cache list, including equipment for management/administration and other items approved by the FEMA US&R Branch. Training requirements as included in the Statement of Work, Training Program Administrative Manual and current US&R Program Directives. Refer to Funding Opportunity Announcement. State and local emergency management agencies may jointly develop one or more US&R Task Force(s), as defined in the National US&R Response System Operations Manual. Use of cooperative agreement funding is restricted to program management/administration, training, equipment acquisition, and storage and maintenance of equipment, to meet task force position criteria, and conduct and participate in meetings determined to benefit the National US&R Response System. Equipment acquisitions are limited to those included in FEMA's approved equipment cache list, including equipment for management/administration and other items approved by the FEMA US&R Branch. Training requirements as included in the Statement of Work, Training Program Administrative Manual and current US&R Program Directives. Refer to Funding Opportunity Announcement.

Applicant Eligibility:

Only the 28 sponsoring agencies currently designated by FEMA as members of the National Urban Search and Rescue Response System are eligible for readiness and response cooperative agreements.

Beneficiary Eligibility:

Only the 28 sponsoring jurisdictions currently designated by FEMA as members of the National Urban Search and Rescue Response System are eligible for readiness and response cooperative agreements.

Credentials/Documentation:

Only the 28 sponsoring jurisdictions currently designated by FEMA as members of the National Urban Search and Rescue Response System are eligible for readiness and response cooperative agreements. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Application deadline and other information are contained within the yearly Funding Opportunity Announcement.

Award Procedure:

Applications and budget plans are reviewed by FEMA US&R Branch and the Grant Programs Directorate. Any issues or concerns noted in the application will be negotiated with the applicants prior to issuance of the award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 30 - 60 days from time of submission.

Appeals:

Refer to guidance posted on Grants.gov site.

Renewals:

Not applicable - Annual applications must be submitted.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. N/A.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Refer to Funding Opportunity Announcement. See the following for information on how assistance is awarded/released: Awards are subject to the Cash Award Improvement Act for payment and/or reimbursement of expenditures. Assistance is released base on Program need.

Reports:

The following program reports are required: Semi-Annual Performance Reports, Annual Task Force Self-Evaluations, Annual Personnel and Equipment Database CD. Refer to Funding Opportunity Announcement, Appendix A Statement of Work. Quarterly Financial Reports (SF-425). Semi-Annual Performance Reports, Annual Self-Evaluations. Refer to Funding Opportunity Announcement, Appendix A Statement of Work. Only for those grantees deemed to be High-Risk, as defined in 44 CFR Part 13.12. Refer to Program Guidance.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0711-0-1-999.

Obligations:

(Project Grants) FY 12 \$36,448,524; FY 13 est \$34,127,408; and FY 14 est \$27,570,800

Range and Average of Financial Assistance:

FY2012: \$1,261,108 - \$1,416,608

FY2013: \$1,134,211 - \$1,321,211.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Developed, maintained, deployed, coordinated, and supported National Urban Search and Rescue resources on-scene to locate, provide initial medical treatment, and extricate victims of incidents requiring specialized search and rescue operations while simultaneously enhancing the US&R response capabilities of State and local governments. Fiscal Year 2013: To develop, maintain, deploy and support the National Urban Search and Rescue resources on-scene to locate, provide initial medical treatment, and extricate victims of incidents requiring specialized search and rescue operations while simultaneously enhancing the US&R response capabilities of State and local governments. Fiscal Year 2014: To develop, maintain, deploy and support the National Urban Search and Rescue resources on-scene to locate, provide initial medical treatment, and extricate victims of incidents requiring specialized search and rescue operations while simultaneously enhancing the US&R response capabilities of State and local governments.

REGULATIONS, GUIDELINES, AND LITERATURE:

44 CFR Part 208 National Urban Search and Rescue Response System.

Regional or Local Office:

None.

Headquarters Office:

Wanda L. Casey 500 C Street, SW., Suite 214, Washington, District of Columbia 20472 Phone: (202) 646-4013

Website Address:

<http://www.fema.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Program Management to ensure day to day operations of US&R Task Forces and to maintain operational readiness. Acquisition of tools and equipment identified in the current US&R equipment cache list, office equipment to manage the program, i.e., computers, desks, chairs, file cabinets, etc. Development and delivery of training needs to fulfill task force missions. Grants and financial training necessary for the financial management of the cooperative agreements. Lease of, and/or modification to, existing buildings (not to change the buildings existing footprint). Proper maintenance and storage of vehicles and equipment.. Establishment of the ability to operate in a water environment and acquisition of equipment (boats, personal protective equipment (PPE), etc.). Acquired PPE and detection equipment to prepare for use during a Hazmat/CBRNE environment. Fiscal Year 2013: Program Management to ensure day to day operations of US&R Task Forces and to maintain operational readiness. Acquisition of tools and equipment identified in the current US&R equipment cache list, office equipment to manage the program, i.e., computers, desks, chairs, file cabinets, etc. Development and delivery of training needs to fulfill task force missions. Grants and financial training necessary for the financial management of the cooperative agreements. Lease of, and/or modification to, existing buildings (not to change the buildings existing footprint). Proper maintenance and storage of vehicles and equipment. Fiscal Year 2014: Program Management to ensure day to day operations of US&R Task Forces and to maintain operational readiness. Acquisition of tools and equipment identified in the current US&R equipment cache list, office equipment to manage the program, i.e., computers, desks, chairs, file cabinets, etc. Development and delivery of training needs to fulfill task force missions. Grants and financial training necessary for the financial management of the cooperative agreements. Lease of, and/or modification to, existing buildings (not to change the buildings existing footprint). Proper maintenance and storage of vehicles and equipment.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the funding opportunity announcement for information on criteria for selecting proposals.

97.026 EMERGENCY MANAGEMENT INSTITUTE TRAINING ASSISTANCE

(Student Stipend Reimbursement Program (SEP))

FEDERAL AGENCY:

Department of Homeland Security

AUTHORIZATION:

Earthquake Hazards Reduction Act of 1977, as amended, 42 U.S.C 7701 et seq; Defense Production Act of 1950, as amended, 50 U.S.C App. 2061; National Security Act of 1947, 50 U.S.C 401 et seq.; Robert T. Stafford Disaster Relief and Emergency Assistance Act, 42 U.S.C 5121 et seq.

OBJECTIVES:

To defray travel and per diem expenses of State, local, tribal, and Territorial emergency management personnel who attend training courses conducted by the Emergency Management Institute, at the Emmitsburg, Maryland facility; the Center for Domestic Preparedness in Anniston, Alabama; and selected off-site locations. Its purpose is to improve emergency management practices among State, local, tribal, and Territorial government managers, in response to emergencies and disasters. Programs embody the Comprehensive Emergency Management System by unifying the elements of management common to all emergencies: planning, preparedness, mitigation, response, and recovery. EMI training is conducted in an effort to strengthen the security and resilience of the United States through systematic preparation for the threats that pose the greatest risk to the security of the Nation, including acts of terrorism, cyber attacks, pandemics, and catastrophic natural disasters.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

State (includes territories), local, and tribal emergency management officials, who have been accepted for emergency management training by the Emergency Management Institute, Emmitsburg, Maryland. Reimbursement may be made for a portion of student's travel, but attendees are required to pay for meals. Refer to program guidance document or contact administering program office for additional information.

Applicant Eligibility:

Individuals who are assigned to an emergency management position in State, local or tribal government are eligible. Some restrictions apply, refer to program guidance document or contact administering program office for additional information.

Beneficiary Eligibility:

Specialized Group.

Credentials/Documentation:

Individuals must first be accepted/approved by EMI and NETC Admissions, to attend the training course. Student Stipend Agreement (FEMA 75-3) accompanied by required supporting documentation. This program is excluded from coverage under OMB Circular No. A-87. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Applications for admission to training course, are processed/ coordinated through county, State, tribal, and regional emergency management entities. This program is excluded from coverage under 44 CFR Part 13 and E.O. 12372. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Application is made to the Admissions Office, Management Operations and Support Services Division at the National Emergency Training Center using the Student Stipend Agreement after acceptance into the course. The Student Stipend Agreement must be accompanied by the required supporting documentation. This program is excluded from coverage under OMB Circular

No. A-110.

Award Procedure:

The completed agreement will be processed immediately. If reimbursement is to be made, a U.S. Department of Treasury payment schedule is prepared and funds are reimbursed via direct deposit into the individuals bank account within 60 days.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Refer to program guidance document.

Appeals:

May be made to the Superintendent of the Emergency Management Institute.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Refer to program guidance document or contact administering program office for additional information. Certain match requirements (payment of meals by attendees) apply to all participants. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Refer to program guidance document. See the following for information on how assistance is awarded/released: Refer to program guidance document.

Reports:

No reports are required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Not Applicable.

Account Identification:

70-0711-0-1-999.

Obligations:

(Direct Payments for Specified Use) FY 12 \$1,903,268; FY 13 est \$1,596,088; and FY 14 est \$1,500,000

Range and Average of Financial Assistance:

Refer to program guidance document.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: 30,696 Students attended courses, and 3,408 of those students received a stipend by the Emergency Management Institute Resident Program. Fiscal Year 2013: Anticipate number of students will be approximately the same and the same number of stipends will be processed. Fiscal Year 2014: Anticipate number of students will be approximately the same and the same number of stipends will be processed.

REGULATIONS, GUIDELINES, AND LITERATURE:

Emergency Management Institute Course Catalog.

Regional or Local Office:

See Regional Agency Offices. See Catalog Appendix IV for FEMA Regional Offices.

Headquarters Office:

National Preparedness Directorate, FEMA 16825 South Seton Avenue, Emmitsburg, Maryland 21727 Phone: (301) 447-1286

Website Address:

<http://www.training.fema.gov>

RELATED PROGRAMS:

97.027 Emergency Management Institute (EMI)_Independent Study Program;
97.028 Emergency Management Institute (EMI)_Resident Educational Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the program guidance for information on criteria for selection.

97.027 EMERGENCY MANAGEMENT INSTITUTE (EMI)_INDEPENDENT STUDY PROGRAM

ISP

FEDERAL AGENCY:

Department of Homeland Security

AUTHORIZATION:

Earthquake Hazards Reduction Act of 1977, as amended, 42 U.S.C 7701 et seq;
Robert T. Stafford Disaster Relief and Emergency Assistance Act, 42 U.S.C 5121 et seq; Defense Production Act of 1950 as amended, 50 U.S.C 2061;
National Security Act of 1947, 50 U.S.C 401 et seq.

OBJECTIVES:

The program currently consists of over 160 courses available to participants, with the objective of enhancing public and selected audience knowledge of emergency management practices among officials of Federal, State, local and tribal governments and voluntary organizations to strengthen the security and resilience of the United States through systematic preparation for the threats that pose the greatest risk to the security of the Nation, including acts of terrorism, cyber attacks, pandemics, and catastrophic natural disasters.

TYPES OF ASSISTANCE:

TRAINING

USES AND USE RESTRICTIONS:

The ISP provides online training free of charge to the public, Federal, State local, tribal, and territorial government personnel, and non-government and voluntary organizations.

Applicant Eligibility:

Unlimited Application. Anyone/general public - Any person(s), without regard to specified eligibility criteria.

Beneficiary Eligibility:

Anyone/General Public.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Course electronic enrollment is processed via the internet at <http://training.fema.gov/IS>.

Award Procedure:

Independent study courses furnished directly to applicants via online access.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Training courses under the ISP are available online 365 days a year, 24 hours. Training is provided free of charge. See the following for information on how assistance is awarded/released: Training courses under the ISP are available online 365 days a year, 24 hours. Training is provided free of charge.

Reports:

No reports are required.

Audits:

No audits are required for this program.

Records:

Not applicable.

Account Identification:

70-0711-0-1-999.

Obligations:

(Salaries) FY 12 \$666,000; FY 13 est \$1,802,000; and FY 14 est \$1,993,000

Range and Average of Financial Assistance:

Not Applicable.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: 2,148,746 course completions in the ISP. Fiscal Year 2013: 2,200,000 course completions in the ISP. Fiscal Year 2014: 2,200,000 course completions in the ISP.

REGULATIONS, GUIDELINES, AND LITERATURE:

Emergency Management Institute Course Catalog or Independent Study Program Brochure found on the web at <http://www.training.fema.gov/IS/crslist.asp>.

Regional or Local Office:

See Regional Agency Offices. See EMI Catalog for listing of FEMA Regional Offices.

Headquarters Office:

National Preparedness Directorate, FEMA 16825 South Seton Avenue, Emmitsburg, Maryland 21727 Phone: (301) 447-1200

Website Address:

<http://www.training.fema.gov/IS>

RELATED PROGRAMS:

97.026 Emergency Management Institute_Training Assistance; 97.028 Emergency Management Institute (EMI)_Resident Educational Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

97.028 EMERGENCY MANAGEMENT INSTITUTE (EMI)_RESIDENT EDUCATIONAL PROGRAM**FEDERAL AGENCY:**

Department of Homeland Security

AUTHORIZATION:

Robert T. Stafford Disaster Relief and Emergency Assistance Act, 42 U.S.C 5121 et seq; Earthquake Hazards Reduction of 1977, 42 U.S.C 7701 et seq; National Security Act of 1947, 50 U.S.C 401 et seq.; Defense Production Act of 1950, as amended., 50 U.S.C App 2061 et.

OBJECTIVES:

To improve emergency management practices among State, local and tribal government managers, and Federal officials as well, in response to emergencies and disasters. Programs embody the Comprehensive Emergency Management System by unifying the elements of management common to all emergencies: planning, preparedness, mitigation, response, and recovery. EMI training is conducted in an effort to strengthen the security and resilience of the United

States through systematic preparation for the threats that pose the greatest risk to the security of the Nation, including acts of terrorism, cyber attacks, pandemics, and catastrophic natural disasters.

TYPES OF ASSISTANCE:

TRAINING

USES AND USE RESTRICTIONS:

Courses are not open to the general public. The primary audience is State, local and tribal government officials. Acceptance into specific courses is based on a need to know the information and how it will be used for the benefit of the community. Courses are not open to the general public. Acceptance into specific courses is based on a need to know the information and how it will be used for the benefit of the community.

Applicant Eligibility:

Individuals assigned to an emergency management position in State, local, tribal, or Territorial government are eligible. Restrictions apply, however; refer to program guidance document or contact administering program office for additional information.

Beneficiary Eligibility:

General Public.

Credentials/Documentation:

Applicants may be asked to verify their emergency management responsibilities. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Application deadline and other information are contained in the application/program guidance.

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to acceptance into the course.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Refer to program guidance document.

Appeals:

May be made to the Superintendent of the Emergency Management Institute.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Indefinite. See the following for information on how assistance is awarded/released: Payment based on Project need.

Reports:

No reports are required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. There may be an evaluation

following completion of most courses to determine the application of the information and the benefit derived by the community.

Records:

Not applicable.

Account Identification:

70-0711-0-1-999.

Obligations:

(Training) FY 12 \$2,304,416; FY 13 est \$2,373,548; and FY 14 est \$2,444,754

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: In FY 2012, 9,292 students required housing at the NETC campus or at the Center for Domestic Preparedness. Fiscal Year 2013: Estimate number will remain close to the number of resident students in FY 2012 (9,000-10,000). EMI has been conducting more off-site offerings as well as using other venues to conduct training (videoteleconferencing and Adobe Connect). Fiscal Year 2014: Estimate number will remain close to the number of resident students in FY 2012 (9,000-10,000). EMI has been conducting more off-site offerings as well as using other venues to conduct training (videoteleconferencing and Adobe Connect).

REGULATIONS, GUIDELINES, AND LITERATURE:

Emergency Management Institute Course Catalog.

Regional or Local Office:

See Regional Agency Offices. See EMI Catalog for listing of FEMA Regional Offices.

Headquarters Office:

Protection and National Preparedness, National Preparedness Directorate, National Training and Education, Emergency Management Institute, FEMA 16825 South Seton Avenue, Emmitsburg, Maryland 21727 Phone: (301) 447-1286

Website Address:

www.training.fema.gov

RELATED PROGRAMS:

97.026 Emergency Management Institute_Training Assistance; 97.027 Emergency Management Institute (EMI)_Independent Study Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the program guidance for information on selection criteria.

97.029 FLOOD MITIGATION ASSISTANCE

(FMA)

FEDERAL AGENCY:

Department of Homeland Security

AUTHORIZATION:

The FMA program is authorized by Section 1366 of the National Flood Insurance Act of 1968, as amended (NFIA), Public Law 108-264, 42 U.S.C 4104c.

OBJECTIVES:

To assist States, Federally - recognized Indian tribal governments, and communities with the goal of reducing or eliminating claims under the National Flood Insurance Program (NFIP). DHS QHSR Goal 5.1, Mitigate Hazards. This program also conforms to the definitions of the term Mitigation as defined in the National Preparedness Goal (NPG), in that this program supports those capabilities that are necessary to reduce loss of life and property by lessening the impact of disasters. Mitigation capabilities include, but are not limited to, community-wide risk reduction projects; efforts to improve the resilience of critical infrastructure and key resource lifelines; risk reduction for specific vulnerabilities from natural hazards; and initiatives to reduce future risks after a disaster has occurred.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

States, Territories, local and Federally-recognized Indian tribal governments may apply for FMA funding to reduce or eliminate the long-term risk of flood damage to properties insured under the National Flood Insurance Program (NFIP). Eligible activities under this program include: acquisition-demolition and acquisition-relocation; elevation of existing structures to the Base Flood Elevation (BFE) or an ABFE Advisory Base Flood Elevation (ABFE) or higher; minor localized flood risk reduction projects; plans; and dry-flood proofing (historic properties and non-residential structures). Projects must meet all eligibility criteria including cost-effectiveness; compliance with environmental and historic preservation laws and regulations and other program requirements.

Applicant Eligibility:

Entities eligible to apply for HMA grants include the emergency management agency or a similar office of the 50 States, the District of Columbia, American Samoa, Guam, the U.S. Virgin Islands, Puerto Rico, the Northern Mariana Islands, and Federally-recognized Indian Tribal governments. Each State, Territory, Commonwealth, or Federally-recognized Indian Tribal government shall designate one agency to serve as the Applicant for each HMA program. Please see the HMA program guidance for more detailed information.

Beneficiary Eligibility:

State agencies, Federally-recognized Indian Tribal governments, and local governments and communities are eligible to apply as subapplicants for assistance under the FMA program. All interested subapplicants must apply to the Applicant. Please see the HMA program guidance for detailed information.

Credentials/Documentation:

Please see the HMA Program Guidance. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact statement is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Contact the headquarters or regional office, as appropriate, for application deadlines.

Award Procedure:

Applications are reviewed by DHS/FEMA program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Refer to HMA program guidance document.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching Requirements: Refer to HMA program guidance for additional information.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Refer to the HMA program guidance. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures. See the following for information on how assistance is

awarded/released: Payment based on Project need.

Reports:

Grantees are required to submit quarterly financial and performance reports. Quarterly Progress Reports must include the progress of each sub-grant award. Reports are due 30 days after the end of each quarter: January 30, April 30, July 30, and October 30. Final financial and performance reports are due 90 days after the expiration or termination of grant award. Please refer to HMA program guidance for more information. Grantees are required to submit quarterly financial and performance reports. Quarterly Progress Reports must include the progress of each sub-grant award. Reports are due 30 days after the end of each quarter: January 30, April 30, July 30, and October 30. Final financial and performance reports are due 90 days after the expiration or termination of grant award. Please refer to HMA program guidance for more information. Grantees are required to submit quarterly financial and performance reports. Quarterly Progress Reports must include the progress of each sub-grant award. Reports are due 30 days after the end of each quarter: January 30, April 30, July 30, and October 30. Final financial and performance reports are due 90 days after the expiration or termination of grant award. Please refer to HMA program guidance for more information. Grantees are required to submit quarterly financial and performance reports. Quarterly Progress Reports must include the progress of each sub-grant award. Reports are due 30 days after the end of each quarter: January 30, April 30, July 30, and October 30. Final financial and performance reports are due 90 days after the expiration or termination of grant award. Please refer to HMA program guidance for more information. Grantees are required to submit quarterly financial and performance reports. Quarterly Progress Reports must include the progress of each sub-grant award. Reports are due 30 days after the end of each quarter: January 30, April 30, July 30, and October 30. Final financial and performance reports are due 90 days after the expiration or termination of grant award. Please refer to HMA program guidance for more information.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0701-0-1-453.

Obligations:

(Project Grants) FY 12 \$23,787,234; FY 13 est \$120,000,000; and FY 14 Estimate Not Available

Range and Average of Financial Assistance:

Not available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

The FMA Program Regulations at 44 CFR Part 79; the DHS FEMA Real Property Acquisition Regulations at 44 CFR Part 80, and the HMA program guidance.

Regional or Local Office:

See Regional Agency Offices. See Appendix IV of the Catalog for a listing of addresses of FEMA's Regional Offices.

Headquarters Office:

Virginia Franki Coons - DHS/FEMA 1800 South Bell Street, Arlington, Virginia 20595-3015 Email: Franki.Coons@dhs.fema.gov Phone: (202) 646-3079

Website Address:

<http://www.fema.gov>

RELATED PROGRAMS:

97.039 Hazard Mitigation Grant; 97.047 Pre-Disaster Mitigation; 97.110 Severe Loss Repetitive Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the HMA Program Guidance for information on criteria for selecting proposals.

97.030 COMMUNITY DISASTER LOANS**FEDERAL AGENCY:**

Department of Homeland Security

AUTHORIZATION:

Robert T. Stafford Disaster Relief and Emergency Assistance Act, Section 417, 42 U.S.C 5184.

OBJECTIVES:

To provide loans subject to Congressional loan authority, to any local government that has suffered substantial loss of revenues in an area in which the President designates a major disaster exists in order to assist communities affected by an incident to recover effectively in accordance with PPD-8. The funds can only be used to maintain existing functions of a municipal operating character and the local government must demonstrate a need for financial assistance.

TYPES OF ASSISTANCE:

DIRECT LOANS

USES AND USE RESTRICTIONS:

Loans are made only to local governments or other political subdivisions of the State, and are based on need. The funds cannot be used to meet the non federal share of any Federal program, finance capital improvements, or repair or restore public facilities. Applicants must be in a designated major disaster area and must demonstrate that they meet the specific conditions of FEMA Disaster Assistance Regulations 44 CFR Part 206, Subpart K, Community Disaster Loans. To be eligible, the applicant must demonstrate: 1) a substantial loss of revenues as a result of a major disaster; 2) a need for financial assistance to perform its governmental functions. The amount of the loan is based on the demonstrated need. The interest rate is the U.S. Treasury rate for 5-year maturities on the date the Promissory Note is executed, adjusted to the nearest 1/8th. When applicable, if an applicant can demonstrate unusual circumstances involving financial hardship, the Assistance Administrator of the Disaster Assistance Directorate may approve a rate equal to the 5-year maturity rate plus 1 per centum, adjusted to the nearest 1/8th, and further reduced by one-half. The term of the loan is usually 5 years, but may be extended. Interest accrues on the funds as they are disbursed. When applicable, the Assistance Administrator of the Disaster Assistance Directorate may cancel repayment of all or part of the loan if the revenues of the applicant in the 3 fiscal years following the financial year of the disaster are insufficient to meet the operating budget because of disaster related revenue losses and un-reimbursed disaster related operating expenses.

Applicant Eligibility:

Applicants must be in a designated major disaster area and must demonstrate that they meet the specific conditions of FEMA Disaster Assistance Regulations 44 CFR Part 206, Subpart K, Community Disaster Loans. To be eligible the applicant must demonstrate: 1) a substantial loss of revenues as a result of a major disaster; 2) a need for financial assistance to perform its governmental functions.

Beneficiary Eligibility:

Local governments in a designated disaster area.

Credentials/Documentation:

The loan application is developed from financial information contained in the local government's published financial reports and current annual operating budget. Loan awards will be determined in accordance with OMB Circulars No. A-102 and No. A-87 for State and local governments. Awards made to Institutions of Higher Education, Hospitals and Other Non-Profit Organizations will be required to follow the requirements of OMB Circulars No. A-110 and No. A-21. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

The State Governor makes a request for a Presidential declaration of a major disaster through the FEMA Regional Director. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Upon declaration of a major disaster, application for a Community Disaster Loan is made through the Governor's Authorized Representative to the Regional Director of FEMA in accordance with FEMA Disaster Assistance Regulations, 44 CFR 206.364. The Assistant Administrator of the Disaster Assistance Directorate approves or disapproves the loan. The Designated Loan Officer will execute a Promissory Note with the applicant. The promissory note must be co-signed by the State, or if the State cannot legally co-sign the note, the local government must pledge collateral security.

Award Procedure:

Funds are disbursed to the local government when requested in accordance with the Schedule of Loan Increments in the Promissory Note. Funds awarded to Institutions of Education, Hospitals and Other Non-Profit Organizations will follow requirements of OMB Circulars No. A-110, No. A-21 and No. A-133.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 1 to 6 months. The loan must be approved in the fiscal year of the disaster or the financial year immediately following.

Appeals:

If a loan application is disapproved, in whole or in part, a revised application may be resubmitted by the local government within 60 days of the date of the disapproval.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The length of the loan is generally 5 years, but may be extended. Funds are disbursed per the Schedule of Payments in the Promissory Note. See the following for information on how assistance is awarded/released: Payment based on Project need.

Reports:

The applicant must demonstrate a financial need prior to any incremental disbursement by submitting a copy of its most recent financial report as well as data on anticipated and actual revenues. The applicant annually must submit copies of its annual financial reports. Refer to program guidance. Refer to program guidance. Refer to program guidance.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000

or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Annual Operating Budgets including revenue and expense and balance sheets must be retained for the duration of the loan. Additional record requirements are detailed in the Federal Disaster Assistance Regulations, 44 CFR 206, Subpart K.

Account Identification:

70-0703-0-1-453.

Obligations:

(Direct Loans) FY 12 \$5,014,387; FY 13 est \$200,000,000; and FY 14 est \$34,000,000

Range and Average of Financial Assistance:

None.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Obligation of 6 CDLs totaling \$5,014,387; the cancellation of 6 CDLs totaling \$14,894,003. Fiscal Year 2013: Anticipate the obligation of 90 CDLs totaling over \$200,000,000. The cancellation of 30 SCDL totaling \$45,000,000; and the cancellation of 6 CDLs totaling \$15,000,000. Fiscal Year 2014: Anticipate the obligation of 10 loans totaling \$34,000,000.

REGULATIONS, GUIDELINES, AND LITERATURE:

Federal Disaster Assistance Regulations, 44 CFR Part 206, Subpart K.

Regional or Local Office:

See Regional Agency Offices. See Appendix IV of the Catalog for a listing of addresses for FEMA's Regional Offices.

Headquarters Office:

Victor Kurz 500 C Street, SW, Washington, District of Columbia 20742 Email: Victor.Kurz@fema.dhs.gov Phone: (202) 646-7947

Website Address:

<http://www.dhs.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

97.031 CORA BROWN FUND

FEDERAL AGENCY:

Department of Homeland Security

AUTHORIZATION:

Robert T. Stafford Disaster Relief and Emergency Assistance Act, Public Law 93-288, 42 U.S.C 5121.

OBJECTIVES:

To use funds made possible by a bequest of funds from the late Cora C. Brown of Kansas City, Missouri, who left a portion of her estate to the United States for the purpose of helping victims of natural disasters not caused by or attributed to war. This fund supports disaster recovery for those survivors with unmet needs that cannot be addressed through other forms of assistance.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

To provide for disaster-related needs that have not been, or will not be met by government agencies or any other organizations which have programs to address such needs; however, the fund is not intended to replace or supersede these programs. The fund may not be used in a way that is inconsistent with

other federally mandated disaster assistance or insurance programs. Money from the fund will not duplicate assistance for which a person is eligible from other sources.

Applicant Eligibility:

Individuals, families, and groups in need of (1) Disaster-related home repair and rebuilding; (2) disaster-related unmet needs; and (3) other services which alleviate human suffering and promote the well being of disaster victims.

Beneficiary Eligibility:

Individuals, families, and groups in need.

Credentials/Documentation:

Recommendation from Regional Director or his/her designee. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Disaster victims do not apply for assistance from the fund; instead, they are identified and recommended by the Regional Director or his/her representatives, with assistance from other governmental agencies and voluntary disaster agencies.

Award Procedure:

The Assistant Administrator will request the Chief Financial Officer to send a check to the intended recipient or provider. The Assistance Administrator shall notify the Regional Administrator, and the Regional Administrator shall notify a representative of the group in writing.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Other - Not Specified.

Appeals:

Other - Not Specified.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

None. See the following for information on how assistance is awarded/released: Payment based on Project need.

Reports:

No reports are required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and

program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-8244-0-7-453.

Obligations:

(Direct Payments for Specified Use) FY 12 \$7,088; FY 13 Estimate Not Available; and FY 14 Estimate Not Available

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Federal Disaster Assistance Regulations, 44 CFR 206.181; DOM Chapter 17; Cora Brown Fund Program.

Regional or Local Office:

See Regional Agency Offices. See Appendix IV of the Catalog for a listing of addresses for FEMA's Regional Offices.

Headquarters Office:

Department of Homeland Security, Emergency Preparedness and Response, Federal Emergency Management Agency (FEMA) 500 C Street SW, Sixth Floor, Washington, District of Columbia 20472-3100 Phone: (202) 212-1000

Website Address:

<http://www.dhs.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

97.032 CRISIS COUNSELING

(CCP)

FEDERAL AGENCY:

Department of Homeland Security

AUTHORIZATION:

Robert T. Stafford Disaster Relief and Emergency Assistance Act, Section 416, Public Law 93-288.

OBJECTIVES:

The Crisis Counseling Assistance and Training Program (CCP) supports the Recovery core capability and mission area as defined in the National Preparedness Goal. It accomplishes this by assisting individuals and communities in recovering from the challenging effects of natural and human-caused disasters through the provision of community-based outreach and psycho-educational services.

The CCP goals are to:

- Reach large numbers of people affected by disasters through face-to-face outreach to shelters, homes, and other locations.

- Assess the emotional needs of survivors and make referrals to traditional behavioral health services when necessary.

- Identify tangible needs and link survivors to community resources and disaster relief services.

- Provide emotional support, education, basic crisis counseling, and connection to familial and community support systems.

- Train and educate CCP staff and other community partners about disaster reactions, appropriate interventions, and CCP services.

- Develop partnerships with local disaster and other organizations.

- Work with local stakeholders to promote community resilience and recovery.

- Collect and evaluate data to ensure quality services and justify program efforts.

- Leave behind a permanent legacy of improved coping skills, educational and

resource materials, and enhanced community linkages.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

The Crisis Counseling Assistance and Training Program (CCP) provides supplemental funding to States, U.S. Territories and Federally recognized tribes for technical assistance and training as well as short-term crisis counseling services to individuals impacted by a Presidentially-declared major disaster that includes Individual Assistance. CCP services involve the counseling goals of assisting disaster survivors in understanding their current situations and reactions, mitigating additional stress, assisting survivors in reviewing their options, promoting the use or development of coping strategies, providing emotional support and encouraging linkages with other individuals and agencies who may help survivors recover to their pre-disaster level of functioning. The CCP is comprised of the Immediate Services Program (ISP) and the Regular Services Program (RSP). The Immediate Services Program grant provides 60 days of services from the declaration date and enables the State or local agency to respond to the immediate behavioral health needs of people affected by the disaster. The Regular Services Program grant provides up to nine months of crisis counseling, outreach, consultation and education services to people affected by a disaster. Funding for the ISP and RSP programs is considered separate and distinct as these are two different grants.

Applicant Eligibility:

States are eligible for grants. If the Governor determines, during an assessment of the need for crisis counseling services, that because of unusual circumstances or serious conditions within the State or local mental health network, the State cannot carry out the crisis counseling program, he/she may identify a public or private mental health agency or organization to carry out the program or request the Department of Homeland Security's Federal Emergency Management Agency (FEMA) Regional Director to identify, with assistance of the Secretary of the Department of Health and Human Services (DHHS), such an agency or organization.

Beneficiary Eligibility:

In order to be eligible for services under this program, an individual must be a resident of the designated disaster area or must have been in the designated area at the time the disaster occurred.

Credentials/Documentation:

Grant awards will be determined in accordance with OMB Circulars A-102 for State and local governments. States are eligible for grants. If the Governor determines, during an assessment of the need for crisis counseling services, that because of unusual circumstances or serious conditions within the State or local mental health network, the State cannot carry out the crisis counseling program, he/she may identify a public or private mental health agency or organization to carry out the program or request the Department of Homeland Security's Federal Emergency Management Agency (FEMA) Regional Administrator to identify, with assistance of the Secretary of the Department of Health and Human Services (DHHS), such an agency or organization. In order to be eligible for services under this program, an individual must be a resident of the designated disaster area or must have been in the designated area at the time the disaster occurred. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Application for Federal assistance for the Immediate Services Program must be submitted by the State to FEMA no later than 14 days after the Presidential declaration. Application for Federal assistance for the Regular Services Program must be submitted by the State to FEMA, along with a copy to SAMHSA CMHS, no later than 60 days after the Presidential declaration.

Award Procedure:

Immediate Services Program applications are reviewed by FEMA program staff in consultation with SAMHSA CMHS. Grant award is provided by FEMA to

the State Emergency Management Agency. Regular Services Program applications are reviewed by FEMA with formal recommendation from SAMHSA CMHS. Grant funds are provided by FEMA to SAMHSA CMHS for award to the State's mental health agency.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Refer to announcement or application guidance for further information.

Appeals:

Immediate Services Program - The State may appeal the Regional Administrator's decision in writing within 60 days of the date of notification of the application decision. The State may further appeal the Regional Administrator's decision to the Assistant Administrator, Recovery, within 60 days of the date of the Regional Administrator's notification of the application decision. Regular Services Program - The State may appeal FEMA's decision in writing within 60 days of the date of notification of the decision.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Refer to program guidance. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures. See the following for information on how assistance is awarded/released: Payment based on Project need.

Reports:

Grantee is required to submit quarterly financial and performance reports. Quarterly Progress Reports must include the progress of each sub-grant award. Final financial and program reports are due 90 days after the end of the grants period of performance. Refer to Program Guidance. SF-425 is required on a quarterly basis and 90 days after the project end date. Refer to program guidance. No progress reports are required. The Quarterly Report must include an interim budget expenditure report. A final expenditure report showing expenditure of funds by category and budget line is also required. Refer to program guidance for details. Refer to program guidance.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0702-0-1-453.

Obligations:

(Project Grants) FY 12 \$20,284,885; FY 13 est \$81,860,690; and FY 14 est \$80,000,000

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Federal Disaster Assistance Regulations, 44 CFR 206.171; Crisis Counseling Assistance and Training and the FEMA Crisis Counseling Assistance and Training Program Guidance.

Regional or Local Office:

See Regional Agency Offices. See Appendix IV of the Catalog for a listing of addresses for FEMA's Regional Offices.

Headquarters Office:

Federal Emergency Management Agency (FEMA), Individual Assistance 500 C Street, SW, Sixth Floor, Washington, District of Columbia 20472-3100
Phone: (202) 212-1117

Website Address:

<http://www.samhsa.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

97.033 DISASTER LEGAL SERVICES

DLS

FEDERAL AGENCY:

Department of Homeland Security

AUTHORIZATION:

Robert T. Stafford Disaster Relief and Emergency Assistance Act, Section 416, Public Law 93-288, 42 U.S.C 5182.

OBJECTIVES:

The Disaster Legal Services Program (DLS) supports the NPG Mission Area of Recovery and the specific capability targets of economic recovery and health and social services. DLS accomplishes this by providing legal assistance to low-income individuals who, prior to or as a result of a Presidentially declared disaster, are unable to secure legal services adequate to meet their disaster-related needs.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

The Disaster Legal Services program provides free legal advice for individuals impacted by a Presidentially-declared major disaster that includes Individual Assistance. Legal advice is limited to cases that do not produce a fee. This free legal advice includes assistance with insurance claims; counseling on landlord/tenant problems; assistance with home repair contracts; assistance in consumer protection matters; counseling on mortgage foreclosure problems; replacement of wills and other important legal documents; drafting powers of attorney; estate administration; preparation of guardianships and conservatorships; and referrals to local and State agencies for additional assistance.

Applicant Eligibility:

FEMA enters into a contract with the American Bar Association Young Lawyers Division to cover the administrative costs of implementing and managing the Disaster Legal Services program.

Beneficiary Eligibility:

Service provision is geared toward low-income individuals who, prior to or because of the disaster, are unable to secure legal services adequate to meet their disaster-related needs.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from

coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. FEMA makes a formal request to the National Director of the Disaster Legal Services (DLS) program indicating the intent to implement the DLS program.

Award Procedure:

Administrative funds are made available through a contractual agreement as outlined in the Memorandum of Agreement between FEMA and the American Bar Association on behalf of its Young Lawyers Division.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

None.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The length of the program is generally tied to the need for services and varies with each disaster. See the following for information on how assistance is awarded/released: Payment based on Project need.

Reports:

Volunteer attorneys submit reports on services and/or advice given to clients. Cash reports are not applicable. Volunteer attorneys submit reports on services and/or advice given to clients. Refer to Program guidance. Refer to Program guidance.

Audits:

No audits are required for this program.

Records:

None.

Account Identification:

70-0702-0-1-453.

Obligations:

(Provision of Specialized Services) FY 12 \$25,837; FY 13 est \$30,000; and FY 14 est \$30,000

Range and Average of Financial Assistance:

None.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Federal Disaster Assistance Regulations, 44 CFR 206.164; and Disaster Legal Services Handbook.

Regional or Local Office:

See Regional Agency Offices. See Appendix IV of the Catalog for a listing of addresses for FEMA's Regional Offices.

Headquarters Office:

Federal Emergency Management Agency (FEMA) Disaster Assistance Directorate 500 C Street, SW, Sixth Floor
, Washington, District of Columbia 20472-3100 Phone: (202) 212-1117

Website Address:

<http://www.fema.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

97.034 DISASTER UNEMPLOYMENT ASSISTANCE

(DUA)

FEDERAL AGENCY:

Department of Homeland Security

AUTHORIZATION:

Robert T. Stafford Disaster Relief and Emergency Assistance Act, Section 410, Public Law 93-288.

OBJECTIVES:

The Disaster Unemployment Assistance (DUA) Program supports the NPG Mission Area of Recovery and the specific capability target of Economic Recovery. DUA accomplishes this by providing temporary benefits to individuals whose employment or self-employment has been lost or interrupted as a direct result of a major disaster and who are not eligible for regular unemployment insurance (UI).

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

The Disaster Unemployment Assistance (DUA) program provides weekly benefits and re-employment assistance services to individuals unemployed as a direct result of a Presidentially-declared major disaster that includes Individual Assistance. Funds are used for benefit payments to individuals and project administrative costs to States. DUA weekly benefits and re-employment assistance require proof of employment eligibility, proof of employment or self-employment to assist in determining a Weekly Benefit Amount (WBA). Aliens must prove they are "qualified aliens" (as determined by the Immigration and Naturalization Service) in order to be eligible to receive a federal public benefit.

Applicant Eligibility:

The State Workforce Agency (SWA) applies for Disaster Unemployment Assistance funds to provide to eligible beneficiaries.

Beneficiary Eligibility:

Refer to program guidance.

Credentials/Documentation:

FEMA Disaster Assistance Regulations 44 CFR Part 206.141 and the DOL Regulations at 20 CFR Part 625. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

The State Governor makes a request for a Presidential declaration of a major disaster through the appropriate FEMA Regional Administrator having jurisdiction over the State. Requests for assistance must be made by the Governor in accordance with FEMA Disaster Assistance Regulations, 44 CFR 206. An applicant should consult the office or officials designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. An applicant should consult the office or officials designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Award Procedure:

FEMA provides funding to the Department of Labor, which administers the

DUA program on FEMA's behalf. Individual applicants for DUA assistance must apply through their local SWA.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

In general, individual applications are approved or denied within 1 to 2 weeks. Generally, applications for DUA must be filed within 30 days after the date of the SWA announcement regarding availability of DUA. When applicants have good cause, they may file claims after the 30-day deadline. However, no initial application will be considered if filed after the 26th week following the declaration date.

Appeals:

Appeals must be filed in accordance with DOL Regulation 20 CFR Part 625.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

DUA may be paid from the period beginning with the first week (as defined in State law) following the date the major disaster began, and extending up through, but no longer than, the 26th week following the Presidential major disaster declaration date. See the following for information on how assistance is awarded/released. See the following for information on how assistance is awarded/released: Payment based on Project need.

Reports:

The State Workforce Agency submits reports when requesting additional funding and at the close of the DUA program. Closeout reports must include the ETA 9130 and a narrative. Refer to Department of Labor DUA Handbook. Refer to program guidance. Refer to program guidance. Refer to program guidance.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Record retention in accordance with DOL Regulation 20 CFR Part 625.

Account Identification:

70-0702-0-1-453.

Obligations:

(Direct Payments for Specified Use) FY 12 \$12,347,284; FY 13 est \$29,371,590; and FY 14 est \$30,000,000

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

FEMA Regulations at 44 CFR 206.8, 44 CFR 206.141, and DOL Regulations at 20 CFR Part 625.

Regional or Local Office:

See Regional Agency Offices. See Appendix IV of the Catalog for a listing of addresses for FEMA's Regional Offices.

Headquarters Office:

Department of Homeland Security, Federal Emergency Management Agency (FEMA) 500 C Street, SW, Sixth Floor, Washington, District of Columbia

20472-3100 Phone: (202) 212-1117

Website Address:

<http://www.fema.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

97.036 DISASTER GRANTS - PUBLIC ASSISTANCE (PRESIDENTIALLY DECLARED DISASTERS)

FEDERAL AGENCY:

Department of Homeland Security

AUTHORIZATION:

Robert T. Stafford Disaster Relief and Emergency Assistance Act, Public Law 93-288, as amended, 42 U.S.C. 5121-5206.

OBJECTIVES:

To assist State and local governments in responding to and recovering from the devastating effects of disasters by providing assistance for debris removal, emergency protective measures and the repair, restoration, reconstruction or replacement of public facilities or infrastructure damaged or destroyed.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Restricted to Presidential-declared disasters or emergencies, such as an earthquake, hurricane, tornado, or wildfire. Program activates only after issuance of a presidential declaration. Public Assistance is awarded in the form of cost-shared grants to States, local governments, other political subdivisions such as special districts, federally recognized Indian tribal governments and Alaska Native villages or organizations, and certain eligible Private Non-Profit organizations. A State is defined as any State of the United States, the District of Columbia, Puerto Rico, the Virgin Islands, Guam, American Samoa, the Northern Mariana Islands, the Marshall Islands and Micronesia. Following a Presidential declaration, grants may be made for: removal of wreckage and debris from private and public lands; performance of emergency protective measures; emergency transportation assistance; emergency communications; and permanent restoration of eligible facilities.

Applicant Eligibility:

State and local governments, other political subdivisions such as a special districts, federally recognized Indian tribal governments, Alaska Native villages or organizations, but not Alaska Native Corporations, and certain Private Non-Profit organizations in designated emergency or major disaster areas.

Beneficiary Eligibility:

Eligible work must be required as a result of the disasters; be located in a designated emergency or major disaster area; and be the legal responsibility of the applicant.

Credentials/Documentation:

Cost will be determined in accordance with FEMA Disaster Assistance Regulations, 44 CFR Part 206 and Part 13, and the FEMA Schedule of Equipment Rates. Grant awards will be determined in accordance with OMB Circulars No. A-102 and No. A-87 for States, local governments and Indian Tribes. Awards made to Institutions of Higher Education, Hospitals and other Non-Profit organizations will be required to follow the requirements of OMB Circulars No. A-110 and No. A-21. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

The State Governor makes a request for a Presidential declaration of an emergency or a major disaster through the FEMA Regional Director. Requests for assistance must be made by the Governor in accordance with FEMA Disaster Assistance Regulations, 44 CFR 206.36, except as provided in Part 206.35(d) for emergency declarations involving primarily Federal

responsibility. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. A Presidential Disaster or Emergency Declaration must be issued, which is then followed by an application for Public Assistance through the Governor's Authorized Representative to the Regional Director of FEMA.

Award Procedure:

Funds are allocated from the President's Disaster Relief Fund for use in a designated emergency or major disaster area. The FEMA Regional Director approves grants from this allocation on the basis of project applications for eligible applicants. States are responsible for distributing funds to applicants. As a condition of receiving assistance under the Stafford Act, applicants are encouraged to take mitigation measures to reduce future losses from natural hazards.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 1 day to 6 weeks. A Request for Assistance must be submitted by the applicant at the Applicants' Briefing or within 30 days of designation of an emergency declaration or major disaster declaration for the Public Assistance Program.

Appeals:

Any determination made under the Public Assistance Program may be appealed. All appeals must be made through the State and the appropriate FEMA Regional Office listed in Appendix IV of the Catalog. The appeal deadlines are: 60 days from receipt of notification of the action being appealed.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title Robert T. Stafford Disaster Relief and Emergency Assistance Act, Chapter 42, Part 5121-5206, Public Law 93-288.

Matching Requirements: Percent: 25%. The Federal share of the grant is not less than 75 percent with the State and local governments responsible for the remainder.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The length of the assistance period varies depending on the type of work or service. Reimbursement for small projects under \$60,900 is made based on the Federal estimate of costs. For projects over the \$63,200, reimbursement of actual eligible costs is made as the costs are incurred. The \$63,200 limit is adjusted annually to reflect the Consumer Price Index. When purchase of insurance is required, the applicant is obliged to maintain the policy for the economic and useful life of the repairs or of the insured property, whichever is the lesser. Also, applicants must comply with Floodplain Management, Hazard Mitigation, Environmental, and Historic Preservation considerations. See the following for information on how assistance is awarded/released: Payment based on Project need. See the following for information on how assistance is awarded/released: Payment based on Project need.

Reports:

Progress status reports are required quarterly. Refer to program guidance. Refer to program guidance. Refer to program guidance. Refer to program guidance.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a

year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Expenditure records and related documents must be retained for 3 years from the date the applicant's project application is closed.

Account Identification:

70-0702-0-1-453.

Obligations:

(Project Grants) FY 12 \$4,399,716,548; FY 13 est \$4,335,989,668; and FY 14 est \$4,000,000,000

Range and Average of Financial Assistance:

None.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Federal Disaster Assistance Regulations, 44 CFR Part 205 for disasters and emergencies declared prior to November 23, 1988; Federal Disaster Assistance Regulations, 44 CFR Part 206 for disasters declared on or after November 23, 1988; "Public Assistance Guide," FEMA 322 (formerly FEMA 286); "Public Assistance Policy Digest," FEMA 321; "Public Assistance Applicant Handbook," FEMA 323. See Public Assistance web page at <http://www.fema.gov/government/grant/pa/index.shtm>.

Regional or Local Office:

See Regional Agency Offices. See Appendix IV of the Catalog for a listing of addresses for FEMA's Regional Offices.

Headquarters Office:

William W. Roche 500 C Street, S.W., Washington, District of Columbia 20472 Phone: (202) 646-4136 or 1-800-621-3363

Website Address:

<http://www.fema.gov>

RELATED PROGRAMS:

10.054 Emergency Conservation Program; 12.102 Emergency Rehabilitation of Flood Control Works or Federally Authorized Coastal Protection Works; 12.103 Emergency Operations Flood Response and Post Flood Response; 97.034 Disaster Unemployment Assistance

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

97.039 HAZARD MITIGATION GRANT

(HMGP)

FEDERAL AGENCY:

Department of Homeland Security

AUTHORIZATION:

Robert T. Stafford Disaster Relief and Emergency Assistance Act, Section 404, 42 U.S.C 5170c.

OBJECTIVES:

To provide funding support to states, Indian tribal governments, territories, communities, and other eligible applicants to implement mitigation planning and hazard mitigation measures that are cost effective and which substantially reduce the risk of future damage, hardship, loss or suffering in any area affected by a major disaster. This program also conforms to the definitions of the term Mitigation as defined in the National Preparedness Goal (NPG), in that this program supports those capabilities that are necessary to reduce loss of life and property by lessening the impact of disasters. Mitigation capabilities include, but are not limited to, community-wide risk reduction projects; efforts to improve the resilience of critical infrastructure and key resource lifelines; risk reduction for specific vulnerabilities from natural hazards; and initiatives to reduce future risks after a disaster has occurred.

TYPES OF ASSISTANCE:**PROJECT GRANTS****USES AND USE RESTRICTIONS:**

Assistance can be used for eligible activities including structural hazard controls or protection projects; construction activities that will result in reduction of hazards; retrofitting of facilities; acquisition of real property, relocation, demolition of structures; elevation of residential structures; minor flood reduction projects; structural retrofitting of existing structures; safe room construction; initial implementation of vegetation management programs; elevation or dry flood-proofing of non-residential structures; initial training of building officials and other professionals to facilitate the implementation of newly adopted State or local mitigation standards and codes, and mitigation planning actions. Projects must meet all eligibility criteria including cost-effectiveness; compliance with environmental and historic preservation laws and regulations and other program requirements.

Applicant Eligibility:

Entities eligible to apply for HMGP grants include State and local governments; Private, non-profit organizations that own or operate a private, non-profit public facility; certain qualified conservation organizations may apply for acquisition or relocation for open space projects; Indian tribes or authorized tribal organizations and Alaska Native villages or organizations, but not Alaska native corporations with ownership vested in private individuals. Please see the HMA program guidance for more detailed information. Grantees that meet planning requirements published as a result of the Disaster Mitigation Act of 2000 may receive an increased percentage (20 percent of the value of all other disaster assistance grants) of HMGP funds if, at the time of the declaration of a major disaster they have in effect an approved Enhanced State Mitigation Plan. Grantees that have a current, approved Standard Mitigation Plan are eligible to receive up to 15% of the value of all other disaster assistance grants.

Beneficiary Eligibility:

States and communities.

Credentials/Documentation:

Refer to HMA program guidance document. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Rather than require applicants to submit a separate pre-application in order for FEMA and the State to identify the need for assistance, FEMA will employ the administrative and Mitigation plans in this manner. When States submit plans for review and indicate their intention to apply for assistance to implement one or more projects described in it, FEMA will use the plan to identify a need for funding assistance, and to discourage applications that are unlikely to be funded. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Contact the headquarters or regional office, as appropriate, for application deadlines.

Award Procedure:

Applications or plans are reviewed by DHS/FEMA program, technical and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Refer to HMA program guidance document.

Appeals:

An eligible applicant, subgrantee, or State may appeal any determination previously made related to an application for or the provision of Federal

assistance according to procedures set forth in 44CFR Section 206.440.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: 44 CFR Subpart N Hazard Mitigation Grant Program Sections 206.430-206.440.

Matching Requirements: FEMA can fund up to 75 percent of the eligible costs of projects submitted under each declaration. The State or project applicant must provide a 25 percent match. The 25 percent nonfederal share can be a combination of cash, in-kind services, or materials.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Refer to program guidance. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures. See the following for information on how assistance is awarded/released: Payment based on Project need.

Reports:

Grantees are required to submit quarterly financial and performance reports. Quarterly Progress Reports must include the progress of each sub-grant award. Reports are due 30 days after the end of each quarter: January 30, April 30, July 30, and October 30. Final financial and performance reports are due quarterly and a final financial report is due 90 days after the expiration or termination of grant award. Grantees are required to submit quarterly financial and performance reports. Quarterly Progress Reports must include the progress of each sub-grant award. Reports are due 30 days after the end of each quarter: January 30, April 30, July 30, and October 30. Final financial and performance reports are due quarterly and a final financial report is due 90 days after the expiration or termination of grant award. Grantees are required to submit quarterly financial and performance reports. Quarterly Progress Reports must include the progress of each sub-grant award. Reports are due 30 days after the end of each quarter: January 30, April 30, July 30, and October 30. Final financial and performance reports are due quarterly and a final financial report is due 90 days after the expiration or termination of grant award. Grantees are required to submit quarterly financial and performance reports. Quarterly Progress Reports must include the progress of each sub-grant award. Reports are due 30 days after the end of each quarter: January 30, April 30, July 30, and October 30. Final financial and performance reports are due quarterly and a final financial report is due 90 days after the expiration or termination of grant award. Grantees are required to submit quarterly financial and performance reports. Quarterly Progress Reports must include the progress of each sub-grant award. Reports are due 30 days after the end of each quarter: January 30, April 30, July 30, and October 30. Final financial and performance reports are due quarterly and a final financial report is due 90 days after the expiration or termination of grant award.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0700-0-1-999.

Obligations:

(Project Grants) FY 12 \$1,076,816,669; FY 13 Estimate Not Available; and FY 14 Estimate Not Available

Range and Average of Financial Assistance:

Refer to program guidance.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: \$1,076,816,669 has been slated for implementation of various hazard mitigation projects to include: acquisition and elevation of flood prone structures, retrofitting of infrastructure and buildings to resist earthquake, wind, flood and other natural hazards, hazard mitigation planning activities and post disaster code enforcement projects. Fiscal Year 2013: No Current Data Available. Fiscal Year 2014: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

44 CFR Subpart N Hazard Mitigation Grant Program Sections 206.430-206.440; Robert T. Stafford Disaster Relief and Emergency Assistance Act, Public Law 93-288, as amended; Hazard Mitigation Assistance Unified Guidance; Hazard Mitigation Grant Program Desk Reference FEMA 345 October 1999, Hazard Mitigation Grant Program, L-169, February 1996. Property acquisition handbook, FEMA-317.

Regional or Local Office:

See Regional Agency Offices. See Appendix IV of the Catalog for a listing of FEMA's Regional Offices.

Headquarters Office:

Franki Coons - FEMA 1800 South Bell Street, Arlington, Virginia 20598-3015
Email: Franki.Coons@fema.dhs.gov Phone: (202) 646-3079

Website Address:

<http://www.fema.gov/government/mitigation.shtm>

RELATED PROGRAMS:

97.029 Flood Mitigation Assistance; 97.047 Pre-Disaster Mitigation; 97.092 Repetitive Flood Claims; 97.110 Severe Loss Repetitive Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the HMA program guidance for information on criteria for selecting proposals.

97.040 CHEMICAL STOCKPILE EMERGENCY PREPAREDNESS PROGRAM

(CSEPP)

FEDERAL AGENCY:

Department of Homeland Security

AUTHORIZATION:

Department of Defense Authorization Act of 1986, Title 14, Part B, Section 1412, Public Law 99-145, 50 U.S.C 1521.

OBJECTIVES:

To enhance emergency preparedness capabilities of the States and local communities at the two chemical agent stockpile storage facilities. The purpose of the program is to assist States and local communities in efforts to improve their capacity to plan for and respond to accidents associated with the storage and ultimate disposal of chemical warfare materials.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Applications are accepted only from the State of Colorado and the Commonwealth of Kentucky. These eligible States house the U.S. Army stockpiles unitary chemical warfare agent as bulk chemicals and munitions. Local governments are eligible to participate as subgrantees under their State's application. In keeping with the intent of the Congressional appropriations, CSEPP funds are to be utilized for effective emergency management capabilities in the two affected States and localities surrounding the two Army

stockpile locations. CSEPP funds may not be used as a substitute for other mandated, unfunded programs required for existing needs or by other laws. CSEPP funds may not be used to supplant other forms of emergency management funding. CSEPP funds may not be commingled with other, non-CSEPP funds. CSEPP funds are used for the purpose set forth in the Cooperative Agreement (CA) and must be consistent with the statutory authority for the award. CA funds may not be used for matching funds for other Federal grants/CAs, lobbying, or intervention in Federal regulatory or adjudicatory proceedings. Refer to Section IV. Funding Restrictions of the CA Guidance Document for additional information.

Applicant Eligibility:

Applications are accepted only from the State of Colorado and the Commonwealth of Kentucky. These eligible States house the U.S. Army stockpiles unitary chemical warfare agent as bulk chemicals and munitions. Local governments are eligible to participate as subgrantees under their State's application.

Beneficiary Eligibility:

State, local and tribal governments, and general public.

Credentials/Documentation:

The budget worksheets used for CSEPP must be included in the official application package. These worksheets will be used for budget analysis by FEMA. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

EO 12372 requires Grantees from State and local units of government or other organizations providing services with a State to submit a copy of the application to the State Single Point of Contact (SPOC). Grantees must contact their State SPOC to determine if the program has been selected for a State review. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

Applications or plans are reviewed by DHS/FEMA program staff. Any issues or concerns noted in the application will be negotiated with the State prior to the award being issued.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Refer to Section V, Application Review and Selection Information of the CSEPP CA Guidance Document.

Appeals:

Not Applicable.

Renewals:

A new application is required for each Federal fiscal year.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Refer to Section VI. Post-Selection and Pre-Award Guidelines of CA Document for detailed information. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures. See the following for information on how assistance is awarded/released. See the following for information on how assistance is awarded/released: Upon receipt of appropriated funding from the Army and approval of apportionment authority from the Office of Management and Budget (OMB), the FEMA Program Office will provide the approved funding

to each Region Office. The FEMA Regional Offices will provide an award package to the Grantee. Award packages include an award letter, FEMA Form 76-10 and Articles of Agreement. The FEMA Form 76-10 must be signed by the Grantee and returned to FEMA before funds can be obligated.

Reports:

Grantees are required to submit quarterly financial and performance reports. Quarterly Progress Reports must include the progress of each sub-grant award. Reports are due 30 days after the end of each quarter: January 30, April 30, July 30, and October 30. Final financial and performance reports are due 90 days after the expiration or termination of grant award. Refer to program guidance. Grantees are required to submit performance reports for each funded CSEPP National Benchmark 30 days after the end of each quarter. Report dates are: January 30, April 30, July 30, and October 30. The final performance report is due 90 days after the close of the Cooperative Agreement. Expenditure reports are created in CSEPPWebCA. Expenditure reports will be monitored to identify any funding that may not be used and could be available to reallocate either for CSEPP requirements within the State or for return to FEMA. Refer to program guidance for detailed information.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Recipients are required to submit an organization-wide financial and compliance audit report. The audit must be performed in accordance with the General Accounting Office (GAO) Government Auditing Standards and submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0711-0-1-999 - also 70 9 11 0715 ;70-10 12 0715.

Obligations:

(Project Grants) FY 12 \$52,000,000; FY 13 est \$33,513,000; and FY 14 est \$30,096,000

Range and Average of Financial Assistance:

Refer to program guidance.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Preparedness was enhanced and maintained in five states and affected communities to meet congressionally mandated maximum protection. Fiscal Year 2013: Preparedness will be enhanced and maintained in Colorado and Kentucky's affected communities to meet congressionally mandated maximum protection. Fiscal Year 2014: Preparedness will be enhanced and maintained in Colorado and Kentucky's affected communities to meet congressionally mandated maximum protection.

REGULATIONS, GUIDELINES, AND LITERATURE:

44 CFR 13 and 14; Chemical Stockpile Emergency Preparedness Program Planning Guidance and CSEPP Policy Papers. All available, relevant guidance is routinely provided to all eligible State participants. State and local organizations desiring information about this program should contact their State Emergency Management Agencies or Departments.

Regional or Local Office:

See Regional Agency Offices. See Appendix IV of the Catalog for FEMA Regional Offices. State and local government officials and the public are

encouraged to contact their State and Local Emergency Management Agencies or Departments.

Headquarters Office:

Department of Homeland Security, Federal Emergency Management Agency, National Preparedness Directorate, Technological Hazards Division, Chemical Stockpile Emergency Preparedness Program 1800 South Bell Street, Crystal City, Virginia 20598-3025 Phone: (703) 605-1379

Website Address:

<http://www.dhs.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the program guidance for information on criteria for selecting proposals.

97.041 NATIONAL DAM SAFETY PROGRAM

FEDERAL AGENCY:

Department of Homeland Security

AUTHORIZATION:

Dam Safety Act of 2006, Public Law 109-460 Dec. 2006.

OBJECTIVES:

To support State governments and the Commonwealth of Puerto Rico in the development and maintenance of dam safety programs. To enable States and the Commonwealth of Puerto Rico to take precautions that ensure the safety of the dams, such as the development of regulatory authority for the design, construction, operation, and maintenance of dams, the undertaking of dam inspections, and development of Emergency Action Plans (EAPs) for dams.

The National Dam Safety Program also supports the Department of Homeland Security and PPD8 objective of mitigation and include but not limited to those capabilities necessary to reduce the loss of lives and property by lessening the impact of the failure of dams, a key resource.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

For costs associated with the Establishment and maintenance of effective State/Commonwealth dam safety programs. Restrictions: Funds may only be used for the purposes set forth in the grant and must be consistent with the statutory authority for the award. Funds may not be used for matching funds for other Federal grants or cooperative agreements. Funds may not be used for lobbying, or intervention in federal regulatory or adjudicatory proceedings. Note that the FY 2010 grant funds may NOT be used to purchase equipment. In addition, funds may not be used to sue the Federal government or any other government entity. Federal employees are prohibited from serving in any capacity (paid or unpaid) on any proposal submitted under this program. Federal employees may not receive funds under this award. Federal funds may not be comingled with State/Commonwealth funds. Pre-award costs are allowable only with the written consent of DHS and such costs must be reflected in the award agreement. For complete information on Uses and Restrictions, refer to program guidance. Eligible applicants are 49 States (excludes the State of Alabama) and the Commonwealth of Puerto Rico (PR). The states and PR must be working toward and/or developing a State dam safety program that is 1) authorized and budgeted by State or Commonwealth legislation and 2) includes, at a minimum, the 10 specified criteria and budgeting requirements outlined in the program guidance. For complete information regarding applicant eligibility and the 10 specified criteria and budgeting requirements refer to program guidance. This grant is intended for the use by the State Dam Safety Program.

Applicant Eligibility:

All States are eligible for the National Dam Safety Program Assistance for State Dam Safety Programs including the territory of Puerto Rico.

Beneficiary Eligibility:

The State Dam Safety Program Office of eligible States.

Credentials/Documentation:

The State/Commonwealth must be working toward and/or developing a dam safety program that 1) is authorized and budgeted by State/Commonwealth legislation and 2) includes, at a minimum, the 10 specified criteria and budgeting requirements outlined in the program guidance. For complete information, refer to program guidance. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Application deadline and other information are contained in the application/program guidance.

Award Procedure:

FEMA makes the award to the State Dam Safety Program Office. Applications or plans are reviewed by DHS/FEMA program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Other - Not Specified.

Appeals:

Not Applicable.

Renewals:

Other - Not Specified.

Formula and Matching Requirements:

Statutory Formula: Title Dam Safety Act of 2006, Public Law 109-460. This program has no matching requirements. Refer to program guidance. This program has MOE requirements, see funding agency for further details. Assistance may not be provided to a State under this subsection for a fiscal year unless the State enters into such agreement with the Director as the Director requires to ensure that the State will maintain the aggregate expenditures of the State from all other sources for programs to ensure dam safety for the protection of human life and property at or above a level equal to the average annual level of such expenditures for the two (2) fiscal years preceding the fiscal year. National Dam Safety Act, Sec. 8(e)(4).

Length and Time Phasing of Assistance:

Refer to program guidance. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures. See the following for information on how assistance is awarded/released: The Grantees apply via Grants.gov and utilize Non-Disaster (ND) Grants System for the management of the State Assistance Grants.

Reports:

Program Specific Narrative and Work Plan Reporting requirements must be met throughout the life of the grant (refer to the program guidance and the special conditions found in the award package for a full explanation of these requirements). Any reports or documents prepared as a result of this grant shall be in compliance with Federal plain English policies, directives, etc. Please note that PARS contains edits that will prevent access to funds if reporting requirements are not met on a timely basis.

Federal Financial Report (FFR) required quarterly. Quarterly progress reports are required to monitor progress. Obligations and expenditures must be reported on a quarterly basis through the FFR (SF-425), which replaced the SF-269 and SF-272, which is due within thirty (30) days of the end of each calendar quarter (e.g., for the quarter ending March 31, the FFR is due no later than April 30). A report must be submitted for every quarter of the period of performance,

including partial calendar quarters, as well as for periods where no grant activity occurs. Future awards and fund draw downs may be withheld if these reports are delinquent. The final FFR is due ninety (90) days after the end date of the performance period.

FFRs must be filed according to the process and schedule below:

FFRs must be filed manually to the GPD or Regional Point of Contact listed in Part VII below:

Reporting periods and due dates:

October 1 December 31; Due January 30

January 1 March 31; Due April 30

April 1 June 30; Due July 30

July 1 September 30; Due October 30

Progress Reports.

The Recipient shall submit quarterly performance reports within 30 days after the end of each period. Reports are due on, January 30, April 30 and July 30, October 30.

The report shall consist of a comparison of actual accomplishments to the approved project objectives and performance measures. Copies of Performance Reports must be submitted through the ND Grants system and the Program Officer listed in Part VII of the FY2012 grant guidance language.

Final Report

The recipient shall submit two error free color laser copies of a report to the Grants Management Specialist and the Program Officer listed in section VII within thirty (30) days after the expiration of the grant. The final report is due ninety (90) days after the end of the performance period. This report shall document and summarize the results of the entire project. The report shall provide a qualitative assessment of the impact this effort had related to emergency responder roadway operational safety public education and outreach, as well as documentation of met performance measures. The final report must be submitted in both Print and Web Publishing. Refer to program guidance. The Recipient shall submit quarterly performance reports within 30 days after the end of each period. Reports are due on, January 30, April 30 and July 30, October 30.

The report shall consist of a comparison of actual accomplishments to the approved project objectives and performance measures. Copies of Performance Reports must be submitted through the ND Grants system and the Program Officer listed in Part VII of the FY2012 grant guidance language. Refer to program guidance.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of three (3) years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular three (3) year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0711-0-1-453.

Obligations:

(Project Grants) FY 12 \$7,134,000; FY 13 Estimate Not Available; and FY 14 Estimate Not Available

Range and Average of Financial Assistance:

Refer to program guidance.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

FEMA Performance Office of Partnership Agreement and Cooperative Agreement guidance, Office of Financial Management Modular Instruction, FEMA's National Dam Safety Program Implementation Plan (September 97).

Regional or Local Office:

See Regional Agency Offices. The National Dam Safety Program (NDSP) currently has four (4) Pilot Regions (IV, VI, VIII, IX). Also, see Regional Agency Offices.

Headquarters Office:

Department of Homeland Security, Federal Emergency Management Agency (FEMA) Techworld Control Desk 500 C St. SW, 4th Floor, Room 427, Washington, District of Columbia 20472 Phone: (1-800) 621-FEMA (3363)

Website Address:

<http://www.fema.gov>

RELATED PROGRAMS:

97.023 Community Assistance Program State Support Services Element (CAP-SSSE)

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the program guidance for information on criteria for selecting proposals.

97.042 EMERGENCY MANAGEMENT PERFORMANCE GRANTS

EMPG

FEDERAL AGENCY:

Department of Homeland Security

AUTHORIZATION:

National Flood Insurance Act of 1968 , 42 U.S.C 4001, as amended by Post-Katrina Emergency Management Reform Act of 2006 (PKEMRA), Section 662, Public Law 109-295, 6 U.S.C 762, as amended by Earthquake Hazards Reduction Act of 1977 , 42 U.S.C 7701, as amended by Reorganization Plan No. 3 of 1978 , 5 U.S.C , as amended by Robert T. Stafford Disaster Relief and Emergency Assistance Act, 42 U.S.C 5121.

OBJECTIVES:

The EMPG Program provides resources to assist state, local, tribal and territorial governments in preparing for all hazards, as authorized by the Robert T. Stafford Disaster Relief and Emergency Assistance Act, as amended (42 U.S.C. 5121 et seq.). Title VI of the Stafford Act authorizes FEMA to make grants for the purpose of providing a system of emergency preparedness for the protection of life and property in the United States from hazards and to vest responsibility for emergency preparedness jointly in the federal government and the states and their political subdivisions. The intent of the FY 2012 EMPG is to provide grant funding to assist state emergency management agencies in obtaining the resources required to support the National Preparedness Goals (NPGs) associated mission areas and core capabilities. The federal government, through the EMPG Program, provides necessary direction, coordination, and guidance, and provides necessary assistance, as authorized in this title so that a comprehensive emergency preparedness system exists for all hazards.

The FY 2013 EMPG Program plays an important role in the implementation of the National Preparedness System (NPS) by supporting the building, sustainment, and delivery of core capabilities essential to achieving the

National Preparedness Goal (NPG) of a secure and resilient Nation. Delivering core capabilities requires the combined effort of the whole community, rather than the exclusive effort of any single organization or level of government. The FY 2013 EMPG Programs allowable costs support efforts to build and sustain core capabilities across the Prevention, Protection, Mitigation, Response, and Recovery mission areas.

Examples of tangible outcomes from the EMPG Program include building and sustaining core capabilities through:

- Building and sustaining core capabilities
- Completion of the Threat and Hazard Identification and Risk Assessment (THIRA) process
- Strengthening governance integration
- Approved emergency plans
- Development and maintenance of multi-year Training and Exercise Plans (TEPs)
- Targeted training and verification of personnel capability
- Whole community approach to security and emergency management.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

DHS grant funds may only be used for the purpose set forth in the grant, and must be consistent with the statutory authority for the award. Grant funds may not be used for matching funds for other Federal grants/cooperative agreements, lobbying, or intervention in Federal regulatory or adjudicatory proceedings. In addition, Federal funds may not be used to sue the Federal government or any other government entity. Pre-award costs are allowable only with the written consent of DHS and if they are included in the award agreement. Federal employees are prohibited from serving in any capacity (paid or unpaid) on any proposal submitted under this program. Federal employees may not receive funds under this award. EMPG Program grantees may only fund projects that were included in the FY 2012 Work Plan that was submitted to and approved by a FEMA Program Analyst. For more information, please see the FY2012 EMPG Program FOA. DHS grant funds may only be used for the purpose set forth in the grant, and must be consistent with the statutory authority for the award. Grant funds may not be used for matching funds for other Federal grants/cooperative agreements, lobbying, or intervention in Federal regulatory or adjudicatory proceedings. In addition, Federal funds may not be used to sue the Federal government or any other government entity. Pre-award costs are allowable only with the written consent of DHS and if they are included in the award agreement. Federal employees are prohibited from serving in any capacity (paid or unpaid) on any proposal submitted under this program. Federal employees may not receive funds under this award. EMPG Program grantees may only fund projects that were included in the FY 2012 Work Plan that was submitted to and approved by a FEMA Program Analyst. For more information, please see the FY2012 EMPG Program FOA.

Applicant Eligibility:

All 56 States and territories, as well as the Republic of the Marshall Islands and the Federated States of Micronesia, are eligible to apply for FY 2012 EMPG Program funds. Either the SAA or the States EMA are eligible to apply directly to FEMA for EMPG Program funds on behalf of State and local emergency management agencies, however only one application will be accepted from each State or territory.

Beneficiary Eligibility:

Funding under this program is ultimately used by emergency management organizations and programs of States, the District of Columbia, territories and possessions of the United States, local, and Indian Tribal governments.

Credentials/Documentation:

All EMPG Program applicants are encouraged to use the template to submit a required Work Plan that outlines the States emergency management sustainment and enhancement efforts, including new and ongoing projects, proposed for the FY 2012 EMPG Program period of performance. The Work Plan consists of a Program and Budget Narrative, Personnel Data Table, Training Data Table, Exercise Data Table, and Grant Activities Outline. FEMA regional offices will work closely with States to monitor Work Plans during the

performance period. In addition, FEMA regional offices must approve final Work Plans before States may draw down EMPG Program funds. Grant funds will be released on a rolling basis upon approval of the States final Work Plan. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Application deadline and other information are contained in the FY2012 EMPG Program FOA. Applying for FY 2012 EMPG Program funds requires a two-step process. Step 1: initial submission to determine eligibility and Step 2: full application. Applicants are encouraged to initiate Step 1 immediately after the FOA is published but no later than April 27, 2012. This involves submitting a complete Standard Form 424 to grants.gov. Successful completion of this step is necessary for FEMA to determine eligibility of the applicant. Late submissions of Step 1 to grants.gov could result in applicants missing the application deadline in Step 2. Once FEMA has determined an applicant to be eligible, applicants can proceed to Step 2 which involves submitting the full application package via the Non Disaster (ND) Grants system. The submission deadline for the full application package is May 4, 2012.

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to award being issued.

Deadlines:

Feb 17, 2012 to May 04, 2012 Application Start Date: 02/17/2012
Application Submission Deadline Date: 05/04/2012 at 11:59:59 PM EDT
Anticipated Funding Selection Date: 06/29/2012
Anticipated Award Date: 09/30/2012.

Range of Approval/Disapproval Time:

Refer to FY 2012 EMPG Program FOA. Anticipated Funding Selection Date is 06/29/2012.

Appeals:

Other - Not Specified.

Renewals:

A new application is required for each Federal fiscal year.

Formula and Matching Requirements:

Statutory Formula: Title Consolidated Security, Disaster Assistance, and Continuing Appropriations Act of 2009, Public Law 110-329.
Matching Requirements: In FY 2012, the Federal share of the cost of an activity carried out using funds made available under the program shall not exceed 50 percent of the total budget. State cost match (cash or in-kind) requirement, as authorized by the Robert T. Stafford Disaster Relief and Emergency Assistance Act (Public Law 93-288), as amended, 42 U.S.C. 5121-5207, specifically, Title VI, sections 611(j) and 613. Unless otherwise authorized by law, Federal funds cannot be matched with other Federal funds. FEMA administers cost matching requirements in accordance with 44 CFR 13.24 which is located at http://www.access.gpo.gov/nara/cfr/waisidx_07/44cfrv1_07.htmlor 2 CFR 215.23 which is located at http://www.access.gpo.gov/nara/cfr/waisidx_07/2cfrv1_07.html. To meet matching requirements, the grantee contributions must be reasonable, allowable, allocable, and necessary under the grant program and must comply with all Federal requirements and regulations. In accordance with 48 U.S.C. 1469a, match requirements are waived for the insular areas: the U.S. territories of American Samoa, Guam, the U.S. Virgin Islands, the Trust Territory of the Pacific Island, and the Commonwealth of the Northern Mariana Islands. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The Period of Performance is 24 months. For more information, refer to the FY 2012 EMPG Program FOA. Awards are subject to the Cash Management Act for payment and/or reimbursement of expenditures. See the following for information on how assistance is awarded/released: Payment based on project need.

Reports:

Grantees are responsible for completing and submitting the BSIR reports, as a compliment to the Quarterly Progress Report (programmatic performance report/SF-PPR). The BSIR is due within 30 days after the end of the reporting period (July 30 for the reporting period of January 1 through June 30; and January 30 for the reporting period of July 1 through December 31). Updated obligations and expenditure information must be provided within the BSIR to show progress made toward meeting strategic goals and objectives. The first BSIR is not due until at least six months after the award notice has been received by the grantee. For more information, please see the FY2012 EMPG Program FOA. Federal Financial Report (FFR) required quarterly. Obligations and expenditures must be reported on a quarterly basis through the FFR (SF-425). A report must be submitted for every quarter of the period of performance, including partial calendar quarters, as well as for periods where no grant activity occurs. Future awards and fund draw downs may be withheld if these reports are delinquent. The final FFR is due 90 days after the end date of the performance period. FFRs must be filed electronically through PARS. Grant Close-Out Process. Within 90 days after the end of the period of performance, or after a Grant Adjustment Notice (GAN) has been issued to close out a grant, whichever comes first, grantees must submit a final FFR and final progress report detailing all accomplishments throughout the period of performance. After these reports have been reviewed and approved by FEMA, a close-out notice will be completed to close out the grant. The notice will indicate the period of performance as closed, list any remaining funds that will be deobligated, and address the requirement of maintaining the grant records for three years from the date of the final FFR. The grantee is responsible for returning any funds that have been drawn down but remain as unliquidated on grantee financial records. For more information, please see the FY2012 EMPG Program FOA. EMPG Program Quarterly Performance Progress Reports must be submitted to the EMPG Program Regional Program Analyst located at the FEMA regional office on a quarterly basis through the ND Grants System. The Quarterly Performance Progress Reports must be based on the approved EMPG Program Work Plan. The Quarterly Performance Progress Report should be completed using the SF-Performance Progress Report (SF-PPR), available at http://www.whitehouse.gov/sites/default/files/omb/assets/omb/grants/approved_forms/sf-ppr.pdf. If other formats are used at least equivalent information to that contained in the SF-Performance Progress Report has to be submitted. See FY 2012 EMPG Program FOA, Appendix B FY 2012 EMPG Program Priorities and Appendix D - FY 2012 EMPG Program Work Plan. Grantees are required to report on progress towards implementing plans described in their application. Additionally, as part of the SF-PPR, grantees are required to report on progress made towards implementing the following performance measures as described in Appendix B FY 2012 EMPG Program Priorities:

- Percentage completion towards CPG 101 v.2 compliance;
- Percent completion of the progressive exercise series to include percent completion of personnel participation;
- Any After Action Reports/Improvements Plans (AAR/IP) that relate to the four quarterly exercises and one full-scale exercise;
- A list of EMPG Program funded personnel along with the training completed together with the Personnel Data Table Template in Appendix D - FY 2012 EMPG Program Work Plan; and
- Percent of the Training and Exercise Plan (TEP) completed that was outlined in the FY 2012 EMPG Program Work Plan together with the Training and Exercise Data Tables Template. For more information, please see the FY2012 EMPG Program FOA. Federal Financial Report (FFR) required quarterly. Obligations and expenditures must be reported on a quarterly basis through the FFR (SF-425). A report must be submitted for every quarter of the period of performance, including partial calendar quarters, as well as for periods where no grant activity occurs. Future awards and fund draw downs may be withheld if these reports are delinquent. The final FFR is due 90 days after the end date of the performance period. FFRs must be filed electronically through PARS. Grant Close-Out Process. Within 90 days after the end of the period of performance, or after a Grant Adjustment Notice (GAN) has been issued to

close out a grant, whichever comes first, grantees must submit a final FFR and final progress report detailing all accomplishments throughout the period of performance. After these reports have been reviewed and approved by FEMA, a close-out notice will be completed to close out the grant. The notice will indicate the period of performance as closed, list any remaining funds that will be deobligated, and address the requirement of maintaining the grant records for three years from the date of the final FFR. The grantee is responsible for returning any funds that have been drawn down but remain as unliquidated on grantee financial records. For more information, please see the FY2012 EMPG Program FOA. Grant recipients will be monitored periodically by FEMA staff, both programmatically and financially, to ensure that the project goals, objectives, performance requirements, timelines, milestone completion, budgets, and other related program criteria are being met. Monitoring may be accomplished through either a desk-based review or on-site monitoring visits, or both. Monitoring will involve the review and analysis of the financial, programmatic, performance, compliance and administrative processes, policies, activities, and other attributes of each Federal assistance award and will identify areas where technical assistance, corrective actions and other support may be needed.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0519-0-1-999.

Obligations:

(Formula Grants) FY 12 \$339,500,000; FY 13 est \$332,456,012; and FY 14 Estimate Not Available

Range and Average of Financial Assistance:

For more information, refer to the FY2012 EMPG Program FOA.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

FY2012 Emergency Management Performance Grants Funding Opportunity Announcement (EMPG Program FOA).

Regional or Local Office:

See Regional Agency Offices. FEMA Regions may also provide fiscal support, including pre- and post-award administration and technical assistance, to the grant programs included in this solicitation. For a list of contacts, please go to <http://www.fema.gov/about/contact/regions.shtm>.

Headquarters Office:

Grant Programs Directorate DHS/FEMA
5071 D Techworld Building
500 C Street SW, Washington, District of Columbia 20472 Phone: (1-800) 368-6498

Website Address:

<http://www.fema.gov/government/grant/index.shtm>

RELATED PROGRAMS:

97.008 Urban Areas Security Initiative ; 97.053 Citizen Corps; 97.067

Homeland Security Grant Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

All Work Plans will require final approval by the corresponding FEMA Region. Grantees who have not submitted their Work Plan as part of their application will not be issued an award until such Work Plan is received, reviewed, and approved by the FEMA Region. Grantees will be notified by their FEMA Regional Program Analyst should any component of the EMPG Program application require additional information. For more information, refer to the FY2012 EMPG Program FOA.

97.043 STATE FIRE TRAINING SYSTEMS GRANTS

National Fire Academy State Fire Training Grants

FEDERAL AGENCY:

Department of Homeland Security

AUTHORIZATION:

U.S.A. Patriot Act of 2001, Public Law 107-56; Federal Emergency Management Agency, Executive Order 12127; Federal Fire Prevention and Control Act of 1974, Title 21, Public Law 93-498; Homeland Security Act of 2002, Public Law 107-296.

OBJECTIVES:

The NFA promotes the professional development of the fire and the emergency response community and its allied professionals. NFA supports State and local training organizations to fulfill their obligation to the career and volunteer fire and emergency services. NFA also develops, delivers, and manages educational and training programs having a national focus that are outside State and local training missions or that exceed State and local capabilities because of cost or audience. The programs are designed to support PPD-8 through training programs which support State and local response agencies to prevent, mitigate, prepare for, and respond to local, regional, and national emergencies.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Uses: Grant funds are to be used within each State to deliver NFA training courses/programs and for marketing, administrative costs, and electronic feedback of student data. Each State Fire Training System (State) will receive a total of \$22,000 with \$17,000 earmarked for delivery of NFA training programs and \$5,000 for marketing, administrative costs, and electronic feedback of student data.

Restrictions: DHS grant funds may only be used for the purpose set forth in the grant, and must be consistent with the statutory authority for the award. Grant funds may not be used for matching funds for other federal grants / cooperative agreements, or for lobbying or intervention in federal regulatory or adjudicatory proceedings. In addition, federal funds may not be used to sue the federal government or any other government entity. Federal employees are prohibited from serving in any capacity (paid or unpaid) on any proposal submitted under this program. Federal employees may not receive funds under this award. States must use funds for the purpose of delivering NFA- developed courses. Funds unused by a State may be reallocated to other States. Pre-award costs are allowable only with the written consent of DHS and such costs must be reflected in the award agreement. See program guidance for information on eligibility. Applicant eligibility is restricted to the 50 State Fire Training Systems.

Applicant Eligibility:

Representatives from the 50 State Fire Training Systems.

Beneficiary Eligibility:

Specialized Group; fire and emergency response personnel.

Credentials/Documentation:

Credentials: Applicants must provide a work plan that includes a narrative project description along with the proposed costs for the project. (Allowable costs are determined by reference to OMB Circular No. A-87). The work plan will be used as a guide by the program office to approve / disapprove payment

requests, therefore, the plan must be specific and must align with the amount of funding requested / received for the project description.

Documentation: All beneficiaries / students must submit the standard FEMA Form 75-5 (long form) to the State or sponsoring host organization prior to the start of the class. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. FEMA participates in the Administrations e-government initiative. As part of that initiative, all applicants must file their application using the Federal electronic storefront portal Grants.gov. Eligible applicants must apply through this portal, accessible on the Internet at <http://www.grants.gov>. Only applications made through www.grants.gov will be accepted. The on-line application requires (among other documentation), the Standard Forms 424, Project Narrative, and Budget. For complete information on all required forms, attachments, submission instructions / requirements, deadlines, and other procedural information, refer to program guidance.

Award Procedure:

The application will be reviewed and recommended for funding by the DHS/FEMA/USFA/NFA Project Officer based on the program criteria identified in the announcement / guidance. Notification of award is made through the electronic Non Disaster Grant System (NDGrants). Once an award has been approved, a notice is sent to the authorized grantee official. Awards are subject to certain administrative, national policy, and cost principle requirements delineated in both 2 CFR and 44 CFR. For complete information on the award procedure, and CFR (and other) requirements, refer to program guidance.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Refer to program guidance document.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Refer to program guidance. See the following for information on how assistance is awarded/released: Refer to program guidance.

Reports:

Quarterly progress reports. Quarterly Financial Status Reports Audits (112): In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year. Refer to program guidance. Refer to program guidance. Refer to program guidance. Refer to program guidance.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June

27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0564-0-1-800.

Obligations:

(Project Grants) FY 12 \$1,200,000; FY 13 est \$1,100,000; and FY 14 est \$0

Range and Average of Financial Assistance:

Refer to program guidance.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Delivered National Fire Academy 2/6 day off campus courses, State sponsored courses, Enfranchisement Program courses, and the Chief Officer training curriculum in State and local areas of the Nation to 42,551 students. Fiscal Year 2013: Anticipated to deliver National Fire Academy 2/6 day off campus courses, State sponsored courses, Enfranchisement Program courses, and the Chief Officer training curriculum in State and local areas of the Nation to an estimated 45,000 students. Fiscal Year 2014: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices. FEMA regional offices.

Headquarters Office:

Diane Close, Project Officer 16825 South Seton Avenue, Emmitsburg, Maryland 21727 Phone: (301) 447-1376

Website Address:

<http://www.usfa.fema.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Refer to program guidance.

97.044 ASSISTANCE TO FIREFIGHTERS GRANT

(Fire Grants)

FEDERAL AGENCY:

Department of Homeland Security

AUTHORIZATION:

The Federal Fire Prevention and Control Act of 1974, Public Law 112-239, 15 U.S.C 2229 et seq.

OBJECTIVES:

To provide funding directly to fire departments and emergency medical services (EMS) organizations of a State for the purpose of enhancing departments abilities to protect the health and safety of the public, as well as that of firefighting personnel, facing fire and fire-related hazards.

TYPES OF ASSISTANCE:**PROJECT GRANTS****USES AND USE RESTRICTIONS:**

Competitive grants for the AFG program are awarded to support firefighting and EMS operations and safety, vehicle acquisition, regional projects. Specific activities funded include professional training, wellness and fitness programs, equipment, personal protective equipment, modifications to facilities for first responder safety, other equipment and supplies that support firefighting and EMS operations and safety; firefighting vehicles, and emergency vehicles. Grants also are awarded for the Fire Prevention and Safety program are awarded to support activities to protect the public from fire and fire-Related hazards. Specific activities funded include general public education and awareness; code enforcement and awareness; fire and arson investigation; national, state, and regional programs and studies; and firefighter safety and research and development. Grants for the Staffing for Adequate Fire and Emergency Response (SAFER) grant program are awarded to help fire departments and volunteer firefighter interest organizations to increase the number of frontline firefighters in their community and to enhance the capabilities of local fire departments to comply with staffing, response, and operational standards established by the NFPA and OSHA (NFPA 1710 and/or NFPA 1720 and OSHA 1910.134). Yes. Grantees must adhere to the restrictions stated in the guidance and application kit for the program and fiscal year in which they receive the award. Furthermore, as a condition of receiving an award, grantees also must agree to adhere to the award articles of agreement that require grantees to use the funds for the purpose stated in the awarded grant applications, and to meet the special conditions specified in the articles of agreement.

Applicant Eligibility:

Eligible applicants for AFG are limited to fire departments, nonaffiliated EMS organizations, and State Fire Training Academies. These organizations operating in any of the 50 States plus the District of Columbia, the Commonwealth of the Northern Mariana Islands, the Virgin Islands, Guam, American Samoa, and Puerto Rico are eligible for funding. A "fire department" is defined as an agency or organization that has a formally recognized arrangement with a State, territory, local, or tribal authority (city, county, parish, fire district, township, town, or other governing body) to provide fire suppression to a population within a fixed geographical area on a first-due basis. A "nonaffiliated EMS organization" is defined as a public or private nonprofit emergency medical services organization that provides direct emergency medical services, including medical transport, to a specific geographic area on a first-due basis but is not affiliated with a hospital and does not serve a geographic area where emergency medical services are adequately provided by a fire department. A State Fire Training Academy is defined as the primary state fire training academy, agency, or institution, for each state; which provides entity-wide delivery of fire training (and EMS training if applicable) as specified by legislative authorization, by general statutory authorization or charter, or is ad-hoc in nature with the general acceptance of the fire service. A listing of eligible State Fire Training Academy organizations and institutions can be found at: <http://www.usfa.fema.gov/fireservice/>.

Beneficiary Eligibility:

The ultimate beneficiaries of this program are the local or tribal communities serviced by the applicants, including, but not limited to, local businesses, homeowners and property owners. Additionally, children under 16 years of age, senior citizens, and firefighters would be the beneficiaries since these groups are the targeted "risk groups" for the fire prevention program.

Credentials/Documentation:

Each applicant must certify: 1) that they are an eligible applicant, as defined above; 2) as to the characteristics of their community, i.e., urban, suburban, or rural; 3) to the population size of the community served; and, 4) to their department type, i.e., volunteer, combination, or career (where applicable). Eligible applicants for the AFG program are limited to fire departments, nonaffiliated EMS organizations, and State fire training academies operating in any of the 50 States plus the District of Columbia, the Commonwealth of the Northern Mariana Islands, the Virgin Islands, Guam, American Samoa, and Puerto Rico. A "fire department" is defined as an agency or organization that has a formally recognized arrangement with a State, territory, local, or tribal authority (city, county, parish, fire district, township, town, or other governing

body) to provide fire suppression to a population within a fixed geographical area on a first-due basis. A "nonaffiliated EMS organization" is defined as a public or private nonprofit emergency medical services organization that provides direct emergency medical services, including medical transport, to a specific geographic area on a first-due basis but is not affiliated with a hospital and does not serve a geographic area where emergency medical services are adequately provided by a fire department. A State Fire Training Academy is defined as the primary state fire training academy, agency, or institution, for each state; which provides entity-wide delivery of fire training (and EMS training if applicable) as specified by legislative authorization, by general statutory authorization or charter, or is ad-hoc in nature with the general acceptance of the fire service. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

There is no preapplication form required under this grant program. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. The application deadline and other relevant information are contained in the application and in the program-specific guidance and application kits.

Award Procedure:

Submitted applications are reviewed by peer review panelists and then by DHS program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Refer to the program guidance and application kit document.

Appeals:

Applicants whose grant requests are not recommended for funding after the peer review panel evaluation may request a reconsideration of that decision. Such a request may be successful if it is determined that the rating criteria were not appropriately applied, or there was a flaw in the competitive process that unfairly disadvantaged the applicant, or there was human error in the processing of the grant application. Requests for reconsideration must be submitted in writing within 30 days of the date of the notification of denial.

Renewals:

The AFG award period of performance is 1 year. Grantees may request an extension if they are unable to fulfill their grant obligations before the end of the 1-year period. Such requests will be considered and approved based on the written justification.

Formula and Matching Requirements:

Statutory Formula: 44 CFR 152.

Matching Requirements: Applicants who protect a population of over 1,000,000 are required to provide a nonfederal cost-share of not less than 15 percent of the total award. Applicants who protect a population between 20,000 and 1,000,000 are required to provide a nonfederal cost-share of not less than 10 percent of the total award. Applicants who protect a population of less than 20,000 are required to provide a nonfederal cost-share of not less than 5 percent of the total award. No waivers of this requirement will be granted except for fire departments of Insular Areas as provided for in 48 U.S.C. 1469a. This program has MOE requirements, see funding agency for further details. This program also has a Maintenance of Effort (MOE) in that grantees are required to maintain operating expenditures during the grants period of performance in the areas funded by a grant at a level equal to or greater than the average of their operating expenditures in the 2 years preceding the year in which the grant is received. This requirement is intended to ensure that the Federal funds are used to supplement, not supplant, existing resources. In addition, this program will allocate more than \$100 million in grants during the current fiscal year.

Length and Time Phasing of Assistance:

Grants are available during the period of performance, which is 1 year. Refer to the FOA for specific details. See the following for information on how assistance is awarded/released: Electronic funds transfer.

Reports:

Grantees are required to submit semiannual financial and performance reports. The "Progress Reports" must include a narrative statement that details the progress of each award. Reports are due 30 days after the end of the sixth month of the performance period. Final financial and performance reports are due 90 days after the expiration or termination of grant award. Refer to program guidance. Refer to program guidance. Refer to program guidance. Refer to program guidance.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grantees are required to maintain and retain backup documentation such as bids, quotes, and cost/price analyses on file for Federal auditors. The required documentation for federally funded purchases should include specifications, solicitations, purchase orders, invoices, cancelled checks, copies of competitive quotes or proposals, and basis for selection.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0561-0-1-999.

Obligations:

(Project Grants) FY 12 \$320,625,000; FY 13 est \$320,920,083; and FY 14 est \$335,000,000

Range and Average of Financial Assistance:

Refer to program guidance.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

The Federal Fire Prevention and Control Act of 1974, 15 U.S.C. 2229 et seq. In addition, the program guidance and application kit, the FAQs, an applicant tutorial, and other program information can be found on the program's website at www.fema.gov/firegrants.

Regional or Local Office:

See Regional Agency Offices. The Assistance to Firefighters Grants are administered by FEMA. FEMA has 10 regional offices which employ Fire Program Specialists who may be contacted for information on the program.

Headquarters Office:

DHS/FEMA/Grant Programs Directorate, Assistance to Firefighters Grant Program TechWorld Bldg - South Tower, 5th Floor, 500 C Street, SW, Washington, District of Columbia 20472 Email: firegrants@dhs.gov Phone: (866) 274-0960

Website Address:

<http://www.fema.gov>.

RELATED PROGRAMS:

15.031 Indian Community Fire Protection; 15.228 National Fire Plan - Wildland Urban Interface Community Fire Assistance; 97.018 National Fire Academy Training Assistance; 97.043 State Fire Training Systems Grants

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

44 CFR 152. Refer to the current fiscal year AFG guidance and application kit for specific eligibility and award criteria.

97.045 COOPERATING TECHNICAL PARTNERS

(CTP)

FEDERAL AGENCY:

Department of Homeland Security

AUTHORIZATION:

National Flood Insurance Reform Act of 1994; Flood Disaster Protection Act of 1973; Flood Insurance Act of 1968

, 42 U.S.C 4101.

OBJECTIVES:

The Cooperating Technical Partners Program was developed to supplement and expand the on-going efforts of participating National Flood Insurance Program (NFIP) communities, Tribes, and States (and the agencies and organizations who support them) who perform certain functions in the flood risk analysis, flood hazard identification, flood risk communication and mitigation processes to reduce flood losses and protect life and property from the risk of future flood damage. The Cooperating Technical Partners Program seeks to: increase local involvement in, and ownership of, the development and maintenance of Flood Insurance Rate Maps (FIRMS) and risk related products produced for the NFIP; build and maintain partner capabilities; continue to increase local ownership of data and processes; and establish sound performance criteria for current Cooperating Technical Partners.

In FY 2009, FEMA transitioned from its Map Modernization (Map Mod) program to Risk MAP (Mapping, Assessment, and Planning). The vision for Risk MAP is to deliver quality data that increases public awareness of flood hazard risk and leads to action that reduces flood risk to life and property. Risk MAP builds on flood hazard data and maps produced during the Map Mod program. FEMA collaborates with a variety of stakeholders to achieve the following goals under Risk MAP:

1. Flood Hazard Data. Address gaps in flood hazard data to form a solid foundation for risk assessment, floodplain management, and actuarial soundness of the NFIP.
 2. Public Awareness/Outreach. Ensure that a measurable increase of the public's awareness and understanding of risk results in a measurable reduction of current and future vulnerability.
 3. Hazard Mitigation Planning. Lead and support States, local, and Tribal communities to effectively engage in risk-based mitigation planning resulting in sustainable actions that reduce or eliminate risks to life and property from natural hazards.
 4. Enhanced Digital Platform. Provide an enhanced digital platform that improves management of Risk MAP, stewards information produced by Risk MAP, and improves communication and sharing of risk data and related products to all levels of government and the public.
 5. Alignment and Synergies. Align Risk Analysis programs and develop synergies to enhance decision-making capabilities through effective risk communication and management.
- Information about Risk MAP (including goals, strategies, progress and success stories), can be found on FEMA's website at

<http://www.fema.gov/risk-mapping-assessment-planning>.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Assistance may be used for costs associated with Program Management, Technical Risk Analysis and Mapping, Letter of Map Revision (LOMR) Delegation and Special Project activities as delineated in the FY 2013 Funding Opportunity Announcement. Allowable costs are determined by reference to OMB Circulars A-122, A-21, and A-87. Use of funds must be consistent with the statutory authority for the award. Restrictions: The recipient must have in-house staff capabilities in the appropriate technical area for the activity approved in the award. If the work for any portion of a mapping activity is contracted, the recipient of the award must have in-house staff with the technical capability to monitor the contractor(s) and approve the product(s) developed by the contractor(s). Applicants must meet the eligibility criteria outlined in the FY 2013 Funding Opportunity Announcement. This criteria includes (but is not limited to) the requirement that potential partners have existing processes and/or systems in place to support mapping or data collection activities that contribute to flood hazard identification and that potential partners have the demonstrated capability and capacity to implement, or contract, the activities for which funding is being sought. Yes, all funds are discretionary.

Applicant Eligibility:

All States and Commonwealths (including the District of Columbia and territories and possessions of the United States), regional agencies, and communities may apply. Applicants must be a participating community or Tribal Nation in the NFIP and be in good standing with the NFIP as determined by the FEMA Regional Office, or be a State (including Colleges and Universities), regional, or local agency that serves communities or Tribal Nations that participate in the NFIP and are considered to be in good standing. A grantee may also be a FEMA Headquarters pre-approved non-profit association whose mission supports the NFIP.

Beneficiary Eligibility:

State, local, specialized group, small business, general public.

Credentials/Documentation:

Potential partners/applicants must meet the criteria outlined in the FY2013 Funding Opportunity Announcement, including (but not limited to): evidence that they have sufficient technical capability (including credentials or certifications) and capacity necessary to perform program activities, a signed Partnership Agreement with the appropriate FEMA office (Region or HQ), and meet the eligibility requirements. Applicants must be NFIP participating communities in good standing. Documentation: Allowable costs are determined by reference to OMB Circulars A-122, A-21, and A-87. For complete information on credentials and documentation, please refer to program guidance. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

All applicants should consult with the appropriate FEMA awarding office (i.e., Regional office or HQ) for application information and technical assistance. Non-profits should also consult with FEMA HQ for pre-approval, application information and/or technical assistance. Environmental impact information is not required for this program. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. FEMA participates in the Administrations e-government initiative. As part of that initiative, all applicants must file their application using the Federal electronic storefront portal [Grants.gov](http://www.grants.gov). Eligible applicants must apply through this portal, accessible on the Internet at <http://www.grants.gov>. For complete information on all required forms, attachments, submission instructions / requirements, deadlines, and other procedural information, please refer to program guidance.

Award Procedure:

DHS/FEMA staff will review the submitted applications and make determinations about the potential applicant's capability, capacity, and experience with earned value management systems. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Successful applications are typically selected and awarded between 60 and 90 days from submission.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grant dollars will be awarded in the current fiscal and grants are typically 3-5 years in length. See the following for information on how assistance is awarded/released: Dollars are typically available in quarterly or annual funding periods based on project needs.

Reports:

Progress Reports and Financial Status Reports (FSRs) must be submitted quarterly. These reports are due on January 30, April 30, July 30, and October 30. Progress Reports must include the progress of each sub-grant award. Final financial and performance reports are due 90 days after the expiration or termination of grant award. Reports must be submitted to the FEMA Regional CTP Grant and Program Officers. For complete information regarding report submission requirements, refer to the FY2013 Funding Opportunity Announcement. Refer to the FY2013 Funding Opportunity Announcement. Refer to the FY2013 Funding Opportunity Announcement. Refer to the FY2013 Funding Opportunity Announcement.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-5464-0-2-453.

Obligations:

(Project Grants) FY 12 \$35,000,000; FY 13 est \$22,700,000; and FY 14 est \$33,000,000

Range and Average of Financial Assistance:

Range: \$20,000 to \$4,900,000
Average: approximately \$350,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Award Rate (# awarded/# received): 100%
Total Federal Award: approximately \$35.5 million
Total Applicant Match: approximately \$12.9 million. Fiscal Year 2013: Award Rate (# awarded/# received): 100%
Total Federal Award: approximately \$22.5 million
Total Applicant Match: approximately \$2.5 million. Fiscal Year 2014: Award Rate (# awarded/# received): 100%
Total Federal Award: approximately \$33 million
Total Applicant Match: approximately \$5 million.

REGULATIONS, GUIDELINES, AND LITERATURE:

Annual program guidance is available to all CTP initiative participants and the general public through the funding opportunity on www.grants.gov. Additional information regarding mitigation program goals, priorities and standards is available on <http://www.fema.gov/cooperating-technical-partners-program>.

Regional or Local Office:

See Regional Agency Offices. FEMA Regional Offices will manage all Regional program activities under the CTP Program and HQ will manage all HQ activities. See the program guidance for a listing of FEMA Regional Offices.

Headquarters Office:

Department of Homeland Security, Federal Emergency Management Agency (FEMA) 245 Murray Lane, Bldg 410, Washington, District of Columbia 20523
Email: askcsid@dhs.gov Phone: (1-800) 368-6498

Website Address:

<http://fema.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

FEMA will consider the following elements when prioritizing funding for and selecting FY2013 cooperative agreements: program priorities, past performance, technical capability and capacity, and partner contributions.

97.046 FIRE MANAGEMENT ASSISTANCE GRANT

(FMAG)

FEDERAL AGENCY:

Department of Homeland Security

AUTHORIZATION:

Robert T. Stafford Disaster Relief and Emergency Act, Section 420, Public Law 93-288, 42 U.S.C 5187.

OBJECTIVES:

To provide grants to States, Indian tribal governments and local governments for the mitigation, management and control of any fire burning on publicly (nonfederal) or privately owned forest or grassland that threatens such destruction as would constitute a major disaster. The funds are primarily used for fire suppression services (response) but also for essential assistance as described in the Stafford Act Section 403 (42 U.S.C 5170b). This includes, but is not limited to evacuations and sheltering, police barricading, equipment and supplies, and other costs related to fire suppression operations and administration in support of these operations. Of the 31 core capabilities, this program fits into several including Public Information and Warning, Infrastructure Systems, Environmental Response/Health and Safety, and Natural and Cultural Resources categories.

TYPES OF ASSISTANCE:

PROJECT GRANTS; PROVISION OF SPECIALIZED SERVICES

USES AND USE RESTRICTIONS:

Fire Management Assistance Grants are made in the form of cost-sharing grants for the mitigation, management, and control of any fire on publicly (nonfederal)

or privately owned forestland or grassland that threatens such destruction as would constitute a major disaster. The Fire Management Assistance Grant Program, authorized by the Stafford Act and amended by the Disaster Mitigation Act of 2000, provides for the mitigation, management and control of fires that threaten such destruction as would constitute a major disaster.

Applicant Eligibility:

State governments and Indian tribal governments are eligible for fire management assistance grants. The State or Indian tribal government may be the Grantee. The Grantee is the government to which a grant is awarded, and is accountable for the use of funds provided.

Beneficiary Eligibility:

The State Government and/or Indian tribal government, acting as the Grantee, is the government to which the grant is awarded and which is accountable for the use of the funds provided. Other State entities, Indian tribal governments and local governments are eligible to apply for subgrants.

Credentials/Documentation:

Costs will be determined in accordance with FEMA Regulations, 44 CFR Part 204. Federal funds will be awarded to subgrantees in accordance with State law and procedure and in compliance with 44 CFR Part 13 and 204. Grant awards will be determined in accordance with OMB Circulars No. A-87 and No. A-102 for State and local governments. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

A FEMA-State Agreement and/or Indian tribal Agreement for the Fire Management Assistance Grant Program (the agreement) is signed by the Governor/Indian tribal government and the Regional Administrator at the beginning of the calendar year or after a State's first fire management assistance grant declaration in a calendar year. The agreement is amended for subsequent approvals of assistance for the remainder of the year. This agreement outlines the level of fire management assistance to be provided for all fires declared during the calendar year, and contains the necessary terms and conditions for requesting and receiving assistance. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. A State Governor, or Governor's Authorized Representative, may request a fire management assistance declaration from the FEMA Regional Administrator. To expedite the request, the State may submit a telephone request to the Regional Administrator, promptly followed by a confirming message or letter. The FEMA Regional Administrator will render a decision on the State's request and notify the State and FEMA Headquarters of this decision. This decision may be relayed by telephone, to be followed by a written determination.

Award Procedure:

Requests for fire management assistance declarations must be submitted while a fire is burning uncontrolled and threatens such destruction as would constitute a major disaster. The State should submit its grant application within nine (9) months of the declaration. Upon receipt of a written request from the State, the Regional Administrator may grant an extension for up to three (3) months.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 1 hour to 72 hours.

Appeals:

States have the recourse to two types of appeals under the Fire Management Assistance Grant Program. Under the first type of appeal, a Governor, Governor's Authorized Representative, may appeal a denial of its request for a fire management assistance declaration. The State should submit this one-time request for reconsideration in writing, with additional information, to the Deputy Associate Administrator of the Response and Recovery Directorate through the Regional Administrator. The appeal must be submitted within 30 days of the date of the letter denying the State's request. The Deputy Associate

Administrator will render the final determination on this type of appeal within 90 days of receipt of the appeal or receipt of the additional information requested. The Deputy Associate Administrator may extend the 30-day period provided that the Governor, or the Governor's Authorized Representative, submits a written request for a time extension within the 30-day period. The Deputy Associate Administrator will evaluate the need for an extension based on the reasons cited in the request and either approve or deny the request for an extension. Under the second type of appeal, an applicant or subgrantee may appeal any determination FEMA makes under an approved fire management assistance declaration through a two level appeal process. Appellants must file appeals within 60 days after receipt of a notice of the action that is being appealed. The Grantee will review and forward appeals from an applicant or subgrantee, with a written recommendation, to the Regional Administrator within 60 days of receipt. The Regional Administrator will render a determination on first appeals for fire management assistance grant- related decisions within 90 days following receipt of the appeal or requested additional information. In the event the Regional Administrator denies the appeal, the applicant may submit a second-level appeal to the Deputy Associate Administrator of the Office of Response and Recovery (formerly the Assistant Administrator for the Recovery Directorate) at FEMA Headquarter Office (for second appeals) . Within 90 days following receipt of a second appeal, the Deputy Associate Administrator , will notify the grantee in writing of the disposition of the appeal or of the need for additional information. If the decision is to grant the appeal, the Regional Administrator will take appropriate implementing action. The decision of the Deputy Associate Administrator at the second appeal level is the final administrative decision of FEMA.

Renewals:

None.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching Requirements: Fire Management Assistance Grants may be available to States on a 75 percent Federal/25 percent nonfederal cost-sharing basis when the State's application demonstrates either of the following: total eligible costs for the declared fire meet or exceed the individual fire cost threshold or total costs of all declared and non-declared fires in a given calendar year meet the cumulative fire cost threshold. The individual fire cost threshold for a State for FY 2013 is the greater of \$100,000 or five percent x \$1.37 x state population. The cumulative fire cost threshold for a State FY 2013 is the greater of \$500,000 or three times the five percent x \$1.37 x State population. Both formulas are adjusted annually for inflation using the Consumer Price Index for All Urban Consumers published annually by the Department of Labor. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The time interval during which a declared fire occurs is the incident period. Generally costs must be incurred during the incident period to be considered eligible. Pre-positioning of resources may be approved for Federal funding up to a maximum of 21 days before a declared fire. Mobilization and demobilization also occur outside the incident period and are eligible for Federal funding. Temporary repair work must be completed within 30 days of the close of the incident period for the declared fire. See the following for information on how assistance is awarded/released: Payment based on Project need.

Reports:

Within 90 days of the Performance Period expiration date, the State must submit a Financial Status Report (FEMA Form 425) which reports all costs incurred within the incident period and all administrative costs incurred within the performance period. The performance period, defined on the SF-424, may be 9 months from the close of the incident period and may be extended for an additional 3 months by the Regional Administrator. During the performance period the Grantee will submit the subgrants, which document eligible costs; FEMA will review the eligibility of costs, and process, obligate, and close- out the grant. Refer to program guidance. Refer to program guidance. Refer to program guidance. Refer to program guidance.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit

Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records must be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0702-0-1-453.

Obligations:

(Project Grants) FY 12 \$10,085,464; FY 13 est \$20,000,000; and FY 14 est \$15,000,000 - FY 2012-\$10,085,46, as of April 1, 2013.

Range and Average of Financial Assistance:

None.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: 45 FMAG grants were approved and over ten million dollars federal share obligated as of April 1, 2013. That number will rise as additional payments are made when all fire suppression costs are invoiced. 17 Requests for FMAG declarations were not approved. These funds were directly responsible for payment for fire suppression operations for all 45 FMAG declared fires. These funds pay for equipment, personnel, and administrative expenses for these fire suppression operations, and potential damages to property damage and possible loss of lives were mitigated, and economic losses lessened. Fiscal Year 2013: Projection: \$20,000,000. Any projections for costs are just a small step above a guess as an accurate projection of the severity of a fire season ahead of time is not possible. Fiscal Year 2014: Projection: \$20,000,000. Any projections for costs are just a small step above a guess as an accurate projection of the severity of a fire season ahead of time is not possible.

REGULATIONS, GUIDELINES, AND LITERATURE:

Federal Disaster Assistance, Fire Management Assistance Grant Program Regulations, 44 CFR Part 204. See the Fire Management Assistance Grant Program page at:
<http://www.fema.gov/fire-management-assistance-grant-program>

Regional or Local Office:

See Regional Agency Offices. See Appendix IV of the Catalog for a listing of addresses for FEMA's Regional Offices.

Headquarters Office:

Department of Homeland Security / FEMA / Public Assistance Division, Control Desk 4th Floor, Room 427, 500 C St. SW, Washington, District of Columbia 20472 Phone: (1-800) 621-FEMA (3363)

Website Address:

www.fema.gov.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

97.047 PRE-DISASTER MITIGATION

PDM

FEDERAL AGENCY:

Department of Homeland Security

AUTHORIZATION:

Robert T. Stafford Disaster Relief and Emergency Assistance Act, Section 203, 42 U.S.C 5133.

OBJECTIVES:

Funding under PDM for FY14 is uncertain at this time. FEMA will open an application period for the program once funds are appropriated in the FY 2014 DHS Appropriation Bill. If funds are appropriated, FEMA will post a notice of funding availability via grants.gov and open an application period at that time.

The objective of the program is to provide funding support to states, Indian tribal governments, territories, communities, and public colleges and universities for pre-disaster mitigation planning and projects primarily addressing natural hazards. This program promotes implementation of activities designed to reduce injuries, loss of life, and damage and destruction to property from natural hazards which is consistent with DHS QHSR Goal 5.1, Mitigate Hazards.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Mitigation Planning: Planning activities that develop state, Indian tribal, local, and university hazard mitigation plans that meet planning criteria outlined in 44 CFR Part 201 are eligible for the PDM program. Hazard mitigation planning activities must primarily focus on natural hazards but may also address hazards caused by manmade events. Either single or multi-jurisdictional hazard mitigation plans may be submitted for funding. Mitigation Projects: Proposed multi-hazard mitigation projects must primarily focus on natural hazards. Funding is restricted to a maximum of \$3 million Federal share per project subapplication. Hazard mitigation is any sustained action taken to reduce or eliminate long-term risk to people and property from natural hazards and their effects. Projects must meet all eligibility criteria including cost-effectiveness; compliance with environmental and historic preservation laws and regulations and other program requirements. Please see the HMA program guidance for detailed information.

Applicant Eligibility:

Entities eligible to apply for HMA grants include the emergency management agency or a similar office of the 50 States, the District of Columbia, American Samoa, Guam, the U.S. Virgin Islands, Puerto Rico, the Northern Mariana Islands, and federally-recognized Indian Tribal Governments. Each State, Territory, Commonwealth, or Indian Tribal government shall designate one agency to serve as the Applicant for each HMA program. Please see the HMA program guidance for more detailed information.

Beneficiary Eligibility:

State agencies, Indian Tribal governments, and local governments and communities are eligible to apply as subapplicants for assistance under the PDM program. All interested subapplicants must apply to the Applicant, which is either a State, Territory or federally-recognized Indian Tribal Government, who then applies to FEMA. Please see the HMA program guidance for detailed information.

Credentials/Documentation:

Please see the HMA program guidance. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Contact the headquarters or regional office, as appropriate, for application deadlines.

Award Procedure:

Applications are reviewed by DHS/FEMA program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Refer to HMA program guidance document.

Appeals:

Refer to HMA program guidance document.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title Robert T. Stafford Disaster Relief and Emergency Assistance Act, Chapter 42, Part 5133, Public Law 100-707.

Matching Requirements: Refer to HMA program guidance for additional information.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Refer to HMA program guidance for information on applicable Periods of Performance and Availability of Funds. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures. See the following for information on how assistance is awarded/released: Payment based on Project need - Refer to HMA program guidance for additional information.

Reports:

Grantees are required to submit quarterly financial and performance reports. Quarterly Progress Reports must include the progress of each sub-grant award. Reports are due 30 days after the end of each quarter: January 30, April 30, July 30, and October 30. Final financial and performance reports are due 90 days after the expiration or termination of grant award. Please refer to HMA program guidance for more information. Grantees are required to submit quarterly financial and performance reports. Quarterly Progress Reports must include the progress of each sub-grant award. Reports are due 30 days after the end of each quarter: January 30, April 30, July 30, and October 30. Final financial and performance reports are due 90 days after the expiration or termination of grant award. Please refer to HMA program guidance for more information. Grantees are required to submit quarterly financial and performance reports. Quarterly Progress Reports must include the progress of each sub-grant award. Reports are due 30 days after the end of each quarter: January 30, April 30, July 30, and October 30. Final financial and performance reports are due 90 days after the expiration or termination of grant award. Please refer to HMA program guidance for more information. Grantees are required to submit quarterly financial and performance reports. Quarterly Progress Reports must include the progress of each sub-grant award. Reports are due 30 days after the end of each quarter: January 30, April 30, July 30, and October 30. Final financial and performance reports are due 90 days after the expiration or termination of grant award. Please refer to HMA program guidance for more information. Grantees are required to submit quarterly financial and performance reports. Quarterly Progress Reports must include the progress of each sub-grant award. Reports are due 30 days after the end of each quarter: January 30, April 30, July 30, and October 30. Final financial and performance reports are due 90 days after the expiration or termination of grant award. Please refer to HMA program guidance for more information.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0508-0-1-402.

Obligations:

(Project Grants) FY 12 \$53,206,742; FY 13 est \$23,500,000; and FY 14 Estimate Not Available

Range and Average of Financial Assistance:

Refer to HMA program guidance.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Awards will be governed by Section 203 of the Stafford Act; the DHS FEMA Real Property Acquisition Regulations at 44 CFR Part 80; and the Hazard Mitigation Assistance Unified grant program guidance.

Regional or Local Office:

See Regional Agency Offices. See Appendix IV of the Catalog for the list of addresses of Federal Emergency Management Agency Regional Offices. A list of FEMA regional offices also is available on the Internet at <http://www.fema.gov/about/contact/regions.shtm>.

Headquarters Office:

Franki Coons - FEMA 1800 South Bell Street, Arlington, Virginia 20598-3015
Email: Franki.Coons@fema.dhs.gov Phone: (202) 646-3079 Fax: (202) 646-2880

Website Address:

<http://www.fema.gov/government/grant/pdm/index.shtm>

RELATED PROGRAMS:

97.029 Flood Mitigation Assistance; 97.039 Hazard Mitigation Grant; 97.092 Repetitive Flood Claims; 97.110 Severe Loss Repetitive Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: No Current Data Available Fiscal Year 2013: Grants for mitigation projects vary and include activities such as retrofitting structures to resist flood, wind, and earthquake damage; elevating structures above base flood levels; property acquisition and demolition or relocation for the creation of open space; and, minor flood reduction and drainage measures. Fiscal Year 2014: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Refer to the HMA program guidance for information on criteria for selecting proposals.

97.048 FEDERAL DISASTER ASSISTANCE TO INDIVIDUALS AND HOUSEHOLDS IN PRESIDENTIAL DECLARED DISASTER AREAS (IHP)

FEDERAL AGENCY:

Department of Homeland Security

AUTHORIZATION:

Robert T. Stafford Disaster Relief and Emergency Assistance Act, as amended, Public Law 106-390.

OBJECTIVES:

To provide financial assistance to individuals and households affected as a direct result of a Presidentially declared major disaster or emergency, who have uninsured or under-insured, necessary expenses and serious needs and are unable to meet such expenses or needs through other means. This program supports disaster recovery by providing the financial means to disaster

survivors to secure adequate interim and long-term housing in addition to other necessary expenses and serious needs.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use; PROJECT GRANTS

USES AND USE RESTRICTIONS:

Restricted to Presidential-declared disasters or emergencies, such as an earthquake, hurricane, tornado, wildfire, or flood, etc. Program activates only after issuance of a presidential declaration. Housing Assistance, either Financial or Direct, may be provided for the following: (1) Temporary Housing, (2) Home Repair, (3) Home Replacement and (4) Permanent Housing Construction. Assistance not used for the specified purpose will be required to be returned. Other Needs Assistance may be provided for: (1) Medical, (2) Dental, (3) Funeral, (4) Personal Property, (5) Transportation, and (6) Other Miscellaneous Expenses. Assistance not used for the specified purpose will be required to be returned. If the State is providing for other needs under Section 408(f) of the Stafford Act, please refer to CFDA 97.050.

Applicant Eligibility:

Individuals and households, in areas declared an emergency or major disaster by the President, whose primary residence has been damaged or destroyed and whose losses are not covered by insurance, are eligible to apply for this program. All needs must be caused by the disaster. The individual or a member of the household must be a citizen of the United States, a non-citizen national, or a qualified alien. Housing Assistance: 1) Temporary Housing Assistance - proof of primary residence; disaster caused displacement, and/or paid receipts for Rent or Lodging Expenses; 2) Repair - primary residence, proof of residency and ownership; disaster related home damage; 3) Replacement - primary residence, proof of residency and ownership; home destroyed by the disaster; 4) Permanent Housing Construction - primary residence, proof of residency and ownership; disaster related home damage, home is located in an insular area outside the continental United States or in other remote locations. Alternative housing resources are unavailable, infeasible, or not cost-effective. Other Needs Assistance: 1) Medical-disaster caused expenses, and/or paid receipts (bills) for medical treatment; 2) Dental-disaster caused expenses, and/or paid receipts (bills) for treatment; 3) Funeral - disaster caused expenses, and/or paid receipts (bills) for services; 4) Personal Property proof of ownership; disaster related personal property damage; 5) Transportation, proof of ownership; vehicle complies with State laws, disaster caused vehicle damage; 6) Other Necessary Expenses and Serious Needs Identified, the expense or need must be caused by the disaster and approved by FEMA.

Beneficiary Eligibility:

Individual/Family; Homeowner (located within an area which has been designated as a disaster area, by Presidential declaration).

Credentials/Documentation:

FEMA Disaster Assistance Regulations at 44 CFR Part 206.110-120. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Based on damage assessments and an agreement to commit state funds and resources to the long-term recovery, the State Governor makes a request (through the FEMA regional Director) for a Presidential Declaration for an emergency or major disaster. Requests for assistance must be made by the Governor in accordance with FEMA Disaster Assistance Regulations, 44 CFR 206, except as provided in Part 206.24(d) for emergency declarations involving primarily Federal responsibility. Environmental impact information is not required for this program. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Based on damage assessments and an agreement to commit state funds and resources to the long-term recovery, the State Governor makes a request (through the FEMA regional Director) for a Presidential Declaration for an emergency or major disaster.

Requests for assistance must be made by the Governor in accordance with FEMA Disaster Assistance Regulations, 44 CFR 206, except as provided in Part 206.24(d) for emergency declarations involving primarily Federal responsibility. Environmental impact information is not required for this program.

Award Procedure:

A Presidential Disaster or Emergency Declaration must be issued, before individuals and households can register an application for assistance with FEMA via a toll-free number or FEMA's Internet Application or by visiting a Disaster Recovery Center. Assistance for real property damages will not be awarded to individuals residing outside of the area declared as a disaster. Funds are allocated from the President's Disaster Relief Fund for use in a designated emergency or major disaster. Households receive assistance directly from FEMA or through disaster aid programs of other participating federal or state agencies.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Varies, in general 7-21 days. Generally, individual and household applications for disaster assistance must be filed within 60 days of the disaster declaration.

Appeals:

Appeals of program decisions must be submitted in writing within 60 days of the date of the notification letter.

Renewals:

None.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching Requirements: Percent: 25%. Housing - not applicable. Other Needs - FEMA or the State may administer the Other Needs Assistance Program. State provides 25 percent of the funds for the total number of grants disbursed for the designated disaster. Federal Government provides the remaining 75 percent of the costs for grant awards as well as the regulations, policies, and procedures that govern the program. States may also receive up to 5 percent of the Federal share of the program for administrative costs. The Governor may request a loan of the State's 25 percent share. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Generally, one time grant assistance with a total assistance period not exceeding 18 months. See the following for information on how assistance is awarded/released: Payment based on Project need.

Reports:

No reports are required.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. Refer to program guidance.

Records:

Internal program audits are conducted.

Account Identification:

70-0702-0-1-453.

Obligations:

(Project Grants) FY 12 \$453,413,376; FY 13 est \$1,825,455,014; and FY 14 est \$1,800,000,000

Range and Average of Financial Assistance:

FY 11: Award Range: \$50 - \$30,200; Average Award: \$4,074

FY 12: Award Range: \$50 - \$31,400; Average Award: \$2,982

FY 13: Estimate not available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Federal Emergency Assistance Regulations, 44 CFR 206.110-120.

Regional or Local Office:

See Regional Agency Offices. See Appendix IV of the Catalog for a listing of addresses for FEMA's Regional Offices.

Headquarters Office:

Department of Homeland Security, Federal Emergency Management Agency (FEMA) 500 C Street, SW, Sixth Floor, Washington, District of Columbia 20472-3100 Phone: (202) 212-1000

Website Address:

www.FEMA.gov.

RELATED PROGRAMS:

97.049 Presidential Declared Disaster Assistance - Disaster Housing Operations for Individuals and Households; 97.050 Presidential Declared Disaster Assistance to Individuals and Households - Other Needs

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

97.049 PRESIDENTIAL DECLARED DISASTER ASSISTANCE - DISASTER HOUSING OPERATIONS FOR INDIVIDUALS AND HOUSEHOLDS

(DHOPS)

FEDERAL AGENCY:

Department of Homeland Security

AUTHORIZATION:

Robert T. Stafford Disaster Relief and Emergency Assistance Act, Section 408, Public Law 106-390.

OBJECTIVES:

To address disaster related housing needs of individuals and households suffering hardship within an area which by Presidential declaration has been designated as a disaster area supporting the established PPD8 Recovery goal of providing adequate interim and long-term housing for survivors."

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE; Provision of Specialized Services

USES AND USE RESTRICTIONS:

Restricted to Presidential-declared disaster or emergencies such as an earthquake, hurricane, tornado, floods, or wildfire, etc. Program activates only after issuance of a presidential declaration. Direct assistance under this program is used for temporarily housing disaster victims who lack available housing resources and would be unable to make use of potential financial assistance to rent an alternative place to live. Refer to program guidance.

Applicant Eligibility:

Individuals and households, in areas which have received a Presidential emergency or major disaster declaration, whose primary residence has been damaged or destroyed and whose losses are not covered by insurance are eligible to apply for this program. The individual or household member must be a citizen of the United States, a non-citizen national, or a qualified alien. Direct Assistance - proof of residency, displacement must be caused by the disaster; and financial assistance to rent an alternative place to live is determined not to be a feasible option by the Federal Emergency Management Agency (FEMA).

Beneficiary Eligibility:

Individual/Family (located within a Presidentially - declared emergency or disaster area).

Credentials/Documentation:

FEMA Disaster Assistance Regulations at 44 CFR Part 206. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

The State Governor makes a request for a Presidential declaration of an emergency or a major disaster through the FEMA Regional Director. Requests for assistance must be made by the Governor in accordance with FEMA Disaster Assistance Regulations, 44 CFR 206, except as provided in Part

206.24(d) for emergency declarations involving primarily Federal responsibility. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. A Presidential disaster or emergency declaration must first be issued. Afterwards, individuals and households within an area which has received a Presidential disaster or emergency declaration, may register an application for assistance with FEMA via a toll-free number, FEMA's online application, or by visiting a Disaster Recovery Center.

Award Procedure:

Funds are allocated from the President's Disaster Relief Fund for use in a designated emergency or major disaster area. Households receive assistance directly from FEMA or State.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Generally, individual and household applications for disaster assistance must be filed within 60 days of the disaster.

Appeals:

Appeals of program decisions must be submitted in writing within 60 days of the date of the notification letter.

Renewals:

None.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Generally, limited to an assistance period not exceeding 18 months. See the following for information on how assistance is awarded/released: Based on program need.

Reports:

Quarterly and final financial reports are required. Refer to program guidance. Refer to program guidance. Refer to program guidance. Refer to program guidance.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Expenditure records (receipts) and related documents must be retained by the recipient for 3 years from the date of payment.

Account Identification:

70-0702-0-1-453.

Obligations:

(Direct Payments for Specified Use) FY 12 \$104,482,818; FY 13 est \$14,985,774; and FY 14 est \$50,000,000

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Federal Disaster Assistance Regulations, 44 CFR 206.101.

Regional or Local Office:

See Regional Agency Offices. See Appendix IV of the Catalog for a listing of addresses for FEMA's Regional Offices.

Headquarters Office:

Department of Homeland Security, Federal Emergency Management Agency (FEMA) 500 C Street SW, Sixth Floor, Washington, District of Columbia 20472-3100 Phone: (202) 212-1000

Website Address:

<http://www.dhs.gov>.

RELATED PROGRAMS:

97.048 Disaster Housing Assistance to Individuals and Households in Presidential Declared Disaster Areas ; 97.050 Presidential Declared Disaster Assistance to Individuals and Households - Other Needs

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

97.050 PRESIDENTIAL DECLARED DISASTER ASSISTANCE TO INDIVIDUALS AND HOUSEHOLDS - OTHER NEEDS

(ONA)

FEDERAL AGENCY:

Department of Homeland Security

AUTHORIZATION:

Robert T. Stafford Disaster Relief and Emergency Assistance Act, as amended , Section 408, Public Law 106-390.

OBJECTIVES:

This program supports disaster recovery by providing financial assistance to disaster survivors affected by a disaster or emergency declared by the President in order to repair or repurchase essential items needed to support adequate interim and long-term housing in addition to other necessary expenses and serious needs which cannot be met through other forms of disaster assistance or through other means such as insurance.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

Restricted to Presidential-declared disasters or emergencies, such as an earthquake, hurricane, tornado, wildfire, or flood, etc. The Other Needs Assistance provision of the Individuals and Households Program activates only after issuance of a presidential declaration. "Other Needs Assistance" may be provided for the following: 1) Medical, 2) Dental, 3) Funeral, 4) Personal Property, 5) Transportation, and 6) Other Miscellaneous Expenses. Assistance not used for the specified purpose will be required to be returned. If FEMA is administering the Other Needs Assistance, please refer to CFDA 97.048.

Applicant Eligibility:

A Presidential Disaster or Emergency Declaration must first be issued. To receive the grant, the state must make an annual submission of the OMB form 010-1-11 (formerly 90-153).

Beneficiary Eligibility:

Applicant Eligibility for individuals and households affected by a Presidential-declared disaster or emergency: 1) Medical disaster caused expenses, and/or paid receipts (bills) for medical treatment; 2) Dental disaster caused expenses, and/or paid receipts (bills) for treatment; 3) Funeral disaster caused expenses, and/or paid receipts (bills) for services; 4) Personal Property proof of ownership; disaster caused personal damage; 5) Transportation proof of ownership; vehicle complies with State laws, disaster caused vehicle damage; and 6) Other Necessary Expenses and Serious Needs Identified the expense or need must be caused by the disaster and approved by FEMA.

Credentials/Documentation:

FEMA Disaster Assistance Regulations at 44 CFR Part 206. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Based on damage assessments and an agreement to commit state funds and resources to the long-term recovery, the State Governor makes a request (through the FEMA Regional Director) for a Presidential Declaration for an emergency or major disaster. Requests for assistance must be made by the Governor in accordance with FEMA Disaster Assistance Regulations, 44 CFR 206, except as provided in Part 206.24(d) for emergency declarations involving primarily Federal responsibility. If the State has selected the program for Review, an applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. A Presidential Disaster or Emergency Declaration must first be issued. To receive the grant, the state must make an annual submission of the OMB form 010-1-11 (formerly 90-153).

Award Procedure:

Funds are allocated from the President's Disaster Relief Fund for use in a designated emergency or major disaster. Households receive assistance directly from FEMA or through disaster aid programs of other participating federal or state agencies.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

7-21 days. Generally, individual and household applications for disaster assistance must be filed within 60 days of the disaster declaration.

Appeals:

Appeals of program decisions must be submitted in writing within 60 days of the date of the notification letter.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula:

Matching Requirements: Percent: 25%. The State provides 25 percent of the funds for the total number of grants disbursed for the designated disaster. The Federal Government provides the remaining 75 percent of the costs for grant awards as well as the regulations, policies, and procedures that govern the provision. States may also receive up to 5 percent of the Federal share of the provision for administrative costs. The Governor may request a loan of the State's 25 percent share.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Generally, a one time assistance payment, with a total assistance period of no longer than 18 months. See the following for information on how assistance is awarded/released: Payment based on Project need.

Reports:

Quarterly and final financial reports are required. Refer to program guidance. Refer to program guidance. Refer to program guidance. Refer to program guidance.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit

conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Internal program audits are conducted.

Records:

Expenditure records (receipts) and related documents must be retained by the recipient for 3 years from the date of payment.

Account Identification:

70-0702-0-1-453.

Obligations:

(Direct Payments for Specified Use) FY 12 \$44,710,606; FY 13 est \$230,714,426; and FY 14 est \$200,000,000

Range and Average of Financial Assistance:

FY 11: Award Range: \$50 - \$30,200; Average Award: \$307

FY 12: Award Range: \$50 - \$31,400; Average Award: \$346.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Emergency Assistance Regulations, 44 CFR 206.110-120.

Regional or Local Office:

See Regional Agency Offices. See Appendix IV of the Catalog for a listing of addresses for FEMA's Regional Offices.

Headquarters Office:

Department of Homeland Security, Federal Emergency Management Agency (FEMA) 500 C Street, SW, Sixth Floor, Washington, District of Columbia 20472-3100 Phone: (202) 212-1000

Website Address:

<http://www.dhs.gov>

RELATED PROGRAMS:

97.048 Disaster Housing Assistance to Individuals and Households in Presidential Declared Disaster Areas ; 97.049 Presidential Declared Disaster Assistance - Disaster Housing Operations for Individuals and Households

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

97.052 EMERGENCY OPERATIONS CENTER

(EOC)

FEDERAL AGENCY:

Department of Homeland Security

AUTHORIZATION:

The EOC Grant Program is authorized by section 614 of the Robert T. Stafford Disaster Relief and Emergency Assistance Act (42 U.S.C. 5196c) as amended by section 202 of the Implementing Recommendations of the 9/11 Commission Act of 2007 (Public Law 110-53), Public Law 110-53; Funds for the FY 2011 EOC Grant Program are appropriated under the Department of Defense and Full-Year Continuing Appropriations Act, 2011 (Public Law 112-10), Public Law 112-10.

OBJECTIVES:

The purpose of the Emergency Operations Center (EOC) Grant Program is to improve emergency management and preparedness capabilities by supporting flexible, sustainable, secure, strategically located and fully interoperable EOCs with a focus on addressing identified deficiencies and needs. This program provides funding for construction or renovation of a State, local or Tribal government's principal EOC. Fully capable emergency operations facilities at the State and local levels are an essential element of a comprehensive national emergency management system and are necessary to ensure continuity of operations and continuity of government in major disasters cause by any hazard.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

The EOC grant program supports EOC construction and renovation. Funds must be spent in compliance with rules and regulations noted in the EOC Grant Program Guidance and Application Kit.

Applicant Eligibility:

Specific information on applicant eligibility is identified in the funding opportunity announcement and in the EOC Grant Program Guidance and Application Kit.

Beneficiary Eligibility:

Funding under this program is ultimately to benefit State and local governments.

Credentials/Documentation:

Specific Information on applicant eligibility is identified in the funding opportunity announcement and in the EOC Grant Program Guidance and Application Kit . OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Application deadline and other information are contained in the application/program guidance.

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Refer to in the EOC Grant Program Guidance and Application Kit .

Appeals:

None.

Renewals:

Extensions to the period of performance will be considered only through formal requests to FEMA with specific and compelling justifications why an extension is required.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: Percent: 25.%. The program will require a 75 percent Federal and 25 percent grantee cost share cash or in-kind match requirement. Unless otherwise authorized by law, Federal funds cannot be matched with other Federal funds.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Refer to the EOC Grant Program Guidance and Application Kit. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures. See the following for information on how assistance is awarded/released: Refer to program guidance.

Reports:

Grantees are required to submit quarterly Federal Financial Reports (FFR) (SF-425) and performance reports. Quarterly "Progress Reports" must include the progress of each sub-grant award. Reports are due within 30 days of the end of each calendar quarter : January 30, April 30, July 30, and October 30. Semi-Annual Assistance Progress Reports (SAPR). Following an award, the awardees will be responsible for providing updated obligation expenditure

information on a semi-annual basis. The SAPR is due within 30 days after the end of the reporting period (July 30 for the reporting period of January 1 through June 30; and January 30 for the reporting period of July 1 through December 31).

Final financial and performance reports are due 90 days after the expiration or termination of grant award. Refer to in the EOC Grant Program Guidance and Application Kit. Refer to in the EOC Grant Program Guidance and Application Kit. Refer to in the EOC Grant Program Guidance and Application Kit.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0560-0-1-000.

Obligations:

(Project Grants) FY 12 \$0; FY 13 est \$0; and FY 14 est \$0 - Program was last funded in FY2010. This program will remain open due to open awards.

Range and Average of Financial Assistance:

Refer to in the EOC Grant Program Guidance and Application Kit.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

For regulations and guidelines, please refer to the solicitation guidance and application kit.

Regional or Local Office:

See Regional Agency Offices. See Appendix IV of the Catalog for FEMA regional offices.

Headquarters Office:

Department of Homeland Security /FEMA Grant Programs Directorate 500 C street S.W., Washington, District of Columbia 20472 Email: askcsid@dhs.gov Phone: (1-800) 368-6498

Website Address:

<http://www.fema.gov>.

RELATED PROGRAMS:

97.042 Emergency Management Performance Grants

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the program guidance for information on criteria for selecting proposals.

97.053 CITIZENS-COMMUNITY RESILIENCE INNOVATION CHALLENGE

CRIC

FEDERAL AGENCY:

AUTHORIZATION:

Robert T. Stafford Disaster Relief and Emergency Assistance Act of 1974 , Public Law 93-288, 42 U.S.C 5196, 5201(b); Homeland Security Act of 2002 , Public Law 107-296, 6 U.S.C 605.

OBJECTIVES:

To bring together government, community leaders, non-profit organizations, and citizens to enhance community resilience for all-hazards emergency events.

The Community Resilience Innovation Challenge program seeks to foster a culture of community resilience by identifying needs, mobilizing partners, and creating innovative, motivating, and effective solutions that can be grown, sustained, and replicated. FEMA seeks to spark the dramatic expansion and transformation of current community engagement strategies in the field of emergency management, promoting approaches that take direct steps to put local residents in leadership roles in planning, organizing, and sharing accountability for the success of local disaster management-related projects and programs. FEMA's approach draws inspiration and direction from community policing as a philosophy of how local law enforcement institutions work with residents to identify local priorities, share information and cooperate in decisions about operational directions. The program will make communities safer, stronger, and better prepared to respond to threats of terrorism, crime, public health issues, and disasters of all kinds.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Assistance through this program can be used to support a broad range of activities that promote organizing resources, partnerships, and leadership activities among small neighborhood groups, civic or faith based organizations, private sector entities, or other institutions in order to be better prepared for emergencies. These activities will leverage all other local efforts to explore innovative approaches to emergency preparedness in communities as a means to support resilience initiatives underway across all sectors and stakeholder groups. Projects must be initiated within 30 days of receiving awarded funds and utilized within 1 year of receipt. Refer to program guidance for any additional restrictions.

Applicant Eligibility:

Non-profit organizations with 501(c)(3) status which possess an established expertise in, and an ability to identify and encourage collaborative relationships within and across all major sectors (business, academic, faith-based, tribal, government, non-profit, associations and community stakeholder groups) for the purpose of enhancing community resilience to major disaster events.

Beneficiary Eligibility:

The program will benefit non-profit organizations which are engaged in promoting emergency preparedness and community resilience to disasters affecting the citizens of the communities in which they are located.

Credentials/Documentation:

The applicant must clearly demonstrate the ability to identify, propose and advance creative solutions that bring together all segments of American society to address complex challenges to improving community resiliency. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program.

Award Procedure:

Applications will be assessed for effectiveness and reviewed by DHS/FEMA program and administrative staff. FEMA will verify compliance with all administrative and eligibility criteria identified in the grant announcement/program guidance. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Refer to program guidance document.

Appeals:

None.

Renewals:

No renewal of awards are available. Application for assistance under this program, if available, must be submitted annually.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. None.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Notification of award approval will be made by FEMA. Once an award has been approved, a notice is sent to the authorized grantee official. The period of performance of this grant is twelve (12) months. Any unobligated funds will be de-obligated at the end of the 90 day close-out period. Extensions to the period of performance will be considered only through formal requests to FEMA and will only be granted for specific and compelling reasons. See the following for information on how assistance is awarded/released: Based on project need.

Reports:

For complete information on report submission requirements, refer to program guidance. Refer to program guidance. Obligations and expenditures must be reported on a quarterly basis via the Financial Status Report (FSR). FSRs These reports are due on 30 days after the end of each quarter: January 30, April 30, July 30, and October 30. Semi-annual progress report (SAPR) and Bi annual Strategy Implementation Reports (BSIR) are required semi-annually. SAPR. The SAPR is due January 30 and July 30. Final FSR and financial, SAPR and BSIR reports are due 90 days after the expiration or termination of the grant award. Categorical Assistance Progress Reports (CAPR) and Biannual Strategy Implementation Reports (BSIR) are due within 30 days after the end of the reporting periods, which are June 30 and December 31, for the life of the award. Grantees are also required to submit quarterly financial reports. These reports are due 30 days after the end of each quarter: January 30, April 30, July 30, and October 30. Final financial, CAPR and BSIR reports are due 90 days after the expiration or termination of the grant award.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, no later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-8244-0-1-999; 70-0560-0-1-999.

Obligations:

(Project Grants) FY 12 \$1,000,000; FY 13 Estimate Not Available; and FY 14

Estimate Not Available

Range and Average of Financial Assistance:

Refer to program guidance.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

All available, relevant guidance is provided to the designated points of contact of all eligible State participants.

Regional or Local Office:

None.

Headquarters Office:

Department of Homeland Security/FEMA 500 C St SW, Techworld Control Desk
4th Floor, Room 427, Washington, District of Columbia 20472 Phone: (202) 646-3640

Website Address:

<http://www.fema.gov>.

RELATED PROGRAMS:

97.008 Urban Areas Security Initiative ; 97.042 Emergency Management Performance Grants

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the program guidance for information on criteria for selecting proposals.

97.055 INTEROPERABLE EMERGENCY COMMUNICATIONS

FEDERAL AGENCY:

Department of Homeland Security

AUTHORIZATION:

Implementing Recommendations of the 9/11 Commission Act of 2007 (Public Law 110-53), Public Law 110-53.

OBJECTIVES:

To provide governance, planning, training and exercise funding to States, territories, and local and tribal governments to carry out initiatives to improve interoperable emergency communications, including communications in collective response to natural disasters, acts of terrorism, and other man-made disasters. All activities proposed under the program must be integral to interoperable emergency communications and must be aligned with the goals, objectives, and/or initiatives identified in the grantees approved Statewide Communication Interoperability Plan (SCIP). The Program provides the flexibility to purchase interoperable communications equipment.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Refer to program guidance document. Refer to program guidance document.

Applicant Eligibility:

Applicant Eligibility - All 56 states and territories.

Beneficiary Eligibility:

Beneficiary Eligibility: States, territories, and local and tribal governments.

Credentials/Documentation:

Prior to, or along with, an application for assistance. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded

from coverage under OMB Circular No. A-110. Application deadline and other information are contained in the application/program guidance.

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Refer to program guidance document.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: Percent: 25.%. Grant awards will require a 25 percent nonfederal cost share on all equipment purchases. The source of the match funds must be identified in the grant application. The match does not need to be a cash match. In-kind contributions are permitted and must be activities in direct support of and eligible under the project. The nonfederal share may not be funded by other federal funds unless permitted by Federal statute.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Refer to program guidance. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures. See the following for information on how assistance is awarded/released: Based on project need.

Reports:

Refer to program guidance document. Refer to program guidance document. Quarterly progress reports. Refer to program guidance document. Quarterly Federal Financial Reports (FFR), Semi-Annual Assistance Progress Report (SAPR), and Biannual Strategy Implementation Reports (BSIR). Refer to program guidance.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

58-0101-0-1-999.

Obligations:

(Project Grants) FY 12 \$0; FY 13 est \$0; and FY 14 est \$0 - Program was last funded in FY2010. This program will remain open due to open awards.

Range and Average of Financial Assistance:

Refer to program guidance document.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Refer to program guidance.

Regional or Local Office:

See Regional Agency Offices. See Appendix IV of the Catalog.

Headquarters Office:

Department of Homeland Security, Federal Emergency Management Agency (FEMA) 500 C Street, SW, Washington, District of Columbia 20523 Phone: (202) 646-2500 Fax: (202) 646-3061

Website Address:

<http://www.fema.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the program guidance for information on criteria for selecting proposals.

97.056 PORT SECURITY GRANT PROGRAM

PSGP

FEDERAL AGENCY:

Department of Homeland Security

AUTHORIZATION:

Section 102 of the Maritime Transportation Security Act of 2002, as amended Public Law 107-295.

OBJECTIVES:

The FY 2013 PSGP plays an important role in the implementation of the National Preparedness System (NPS) by supporting the building, sustainment, and delivery of core capabilities essential to achieving the National Preparedness Goal (NPG) of a secure and resilient Nation. Delivering core capabilities requires the combined effort of the whole community, rather than the exclusive effort of any single organization or level of government. The FY 2013 PSGPs allowable costs support efforts to build and sustain core capabilities across Prevention, Protection, Mitigation, Response, and Recovery mission areas.

Grantees under the FY 2013 PSGP are encouraged to build and sustain core capabilities through activities such as:

- Strengthening governance integration;
- Enhancing Maritime Domain Awareness (MDA)
- Enhancing IED and Chemical, Biological, Radiological, Nuclear, Explosive (CBRNE) prevention, protection, response and supporting recovery capabilities within the maritime domain
- Enhancing cybersecurity capabilities
- Maritime Security Risk Mitigation Projects that support Port Resilience and Recovery Capabilities
- Training and exercises
- Transportation Worker Identification Credential (TWIC) implementation.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

The FY 2013 PSGP is one of the Departments FY 2013 grant programs that directly support maritime transportation infrastructure security activities. PSGP is one tool in the comprehensive set of measures authorized by Congress and implemented by the Administration to strengthen the Nations critical infrastructure against risks associated with potential terrorist attacks.

The vast majority of U.S. maritime critical infrastructure is owned and/or

operated by State, local, and private sector maritime industry partners. PSGP funds available to these entities are intended to improve port-wide maritime security risk management; enhance maritime domain awareness; support maritime security training and exercises; and to maintain or reestablish maritime security mitigation protocols that support port recovery and resiliency capabilities. PSGP investments must address U.S. Coast Guard identified vulnerabilities in port security and support the prevention, detection, response, and/or recovery from attacks involving improvised explosive devices (IED) and other non-conventional weapons. PSGP grant recipients and sub-recipients may only use PSGP grant funds for the purpose set forth in the grant, and must be consistent with the statutory authority for the award. Grant funds may not be used for matching funds for other Federal grants/cooperative agreements, lobbying, or intervention in Federal regulatory or adjudicatory proceedings. In addition, Federal funds may not be used to sue the Federal government or any other government entity.

Pre-award costs are allowable only with the written consent of DHS and if they are included in the award agreement.

Federal employees are prohibited from serving in any capacity (paid or unpaid) on any proposal submitted under this program. Federal employees may not receive funds under this award. In FY 2013, the total amount of funds distributed under this grant program will be \$93,207,313. The FY 2013 PSGP funds will be allocated based on the funding priorities outlined in FY 2013 PSGP Funding Opportunity Announcement (FOA).

Applicant Eligibility:

Pursuant to the Maritime Transportation Security Act of 2002, as amended (MTSA), DHS established a risk based grant program to support maritime security risk management. Eligible applicants under the FY 2013 PSGP are listed in Appendix A FY 2013 PSGP Allocations. Funding is directed towards the implementation of Area Maritime Security Plans (AMSP) and Facility Security Plans (FSP) among port authorities, facility operators, and State and local government agencies that are required to provide port security services. In administering the grant program, national, economic, energy, and strategic defense concerns based upon the most current risk assessments available shall be taken into account.

Certain ferry systems are eligible to apply for FY 2013 PSGP funds. However, any ferry system receiving funds under the FY 2013 PSGP will not be eligible to participate under the FY 2013 Transit Security Grant Program (TSGP) and will not be considered for funding under the FY 2013 TSGP. Likewise, any ferry system that participates in the TSGP will not be eligible for funding under the PSGP.

By law, DHS must direct these funds to the Nations highest risk ports. DHS has identified 145 critical ports. Based upon United States Coast Guard (USCG) recommendations, these ports are aggregated into 90 port funding areas. Eligible entities within other Port Areas covered by an AMSP may also apply for PSGP funds.

Within the PSGP, the following entities are encouraged to apply:

- Owners or operators of Federally regulated terminals, facilities, U.S. inspected passenger vessels or ferries as defined in the MTSA and 33 CFR Parts 101, 104, 105, and 106
- Members of an Area Maritime Security Committee, per 33 CFR Part 103, who are recognized as such by the Captain of the Port (COTP) and are required to provide port security services. Specifically, eligible applicants include port authorities, port police, local law enforcement agencies, port and local fire departments, and facility fire brigades that have jurisdictional authority to respond to incidents in the port

As a condition of eligibility, all PSGP applicants are required to be fully compliant with relevant Maritime Security Regulations (33 CFR Parts 101-106). Any applicant with an open or outstanding Notice of Violation (NOV), as of the grant application submission deadline date, which has been issued to an applicant, and the applicant has (1) failed to pay within 45 days of receipt; (2) failed to decline the NOV within 45 days of receipt (in which case a

finding of default will be entered by the Coast Guard in accordance with 33 CFR 1.07-11[f][2]; or (3) the applicant has appealed the NOV as provided for in 33 CFR 1.07-70 and is in receipt of a final appeal decision from Commandant, U.S. Coast Guard, as described in 33 CFR 1.07-75, and has failed to come into compliance with the final adjudication within the timelines noted therein, will not be allowed to make application for a Port Security Grant. COTP will verify security compliance eligibility during the field review process.

For a listing of eligible Port Areas, please refer to Appendix A FY 2013 PSGP Allocations. Eligibility does not guarantee grant funding.

Beneficiary Eligibility:

Critical national seaports and terminals.

Credentials/Documentation:

No Credentials or documentation are required. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Address to Request Application Package
FEMA makes all funding opportunities available on the Internet at <http://www.grants.gov>. If you experience difficulties accessing information or have any questions please call the Grants.gov customer support hotline at (800) 518-4726.

Application forms and instructions are available at Grants.gov. To access these materials, go to <http://www.grants.gov>, select Apply for Grants, then select the CFDA number (97.056) or the FOA Number noted in the Overview Information section of the FOA and then select Download Application Package. Select Download Application Package, and then follow the prompts to download the application package. To download the instructions, go to Download Application Package and select Instructions.

Content and Form of Application

1. Application via Grants.gov. All applicants must file their applications using the Administrations common electronic storefront <http://www.grants.gov>. Eligible grantees must apply for funding through this portal, accessible on the Internet at <http://www.grants.gov>.

The application must be started and submitted using Grants.gov after registration in the System for Award Management (SAM) is confirmed. The on-line application includes the following required form:

Standard Form 424, Application for Federal Assistance

Applying for FY 2013 PSGP funds requires a two-step process. Step One: initial submission to determine eligibility and Step Two: full application. Applicants are encouraged to initiate Step One as soon after the FOA is published but no later than June 24, 2013. This involves submitting a complete Standard Form 424 to <http://www.grants.gov>. The Standard Form 424 will be retrieved by ND Grants and the system will automatically populate the relevant data fields in the application. Successful completion of this step is necessary for FEMA to determine eligibility of the applicant. Late submissions to Grants.gov to complete Step One could result in applicants missing the application deadline in Step Two. Once FEMA has determined an applicant to be eligible, applicants can proceed to Step Two which involves submitting the full application package via the ND Grants system. The submission deadline for the full application package is July 1, 2013.

The application must be completed and final submission made through the ND Grants system located at <https://portal.fema.gov>. If you need assistance registering for the ND Grants system, please contact ndgrants@fema.gov or

(800) 865-4076. Applicants are encouraged to begin their ND Grants registration at the time of solicitation to ensure they have adequate time to start and complete their application submission. Unless otherwise referenced, the ND Grants system includes the following required forms and submissions:

Standard Form 424A, Budget Information (Non-construction)
Standard Form 424B, Standard Assurances (Non-construction)
Standard Form 424C, Budget Information (Construction)
Standard Form 424D, Standard Assurances (Construction)
Standard Form LLL, Disclosure of Lobbying Activities (if the grantee has engaged or intends to engage in lobbying activities)
Grants.gov (GG) Lobbying Form, Certification Regarding Lobbying
FEMA Form 112-0-3C, Certifications Regarding Lobbying; Debarment, Suspension and Other Responsibility Matters; and Drug-Free Workplace Requirements
Investment Justification (FEMA Form 089-5) (see Appendix D PSGP Investment Justification Template)
Detailed Budget Worksheet

2. The program title listed in the CFDA is Port Security Grant Program. The CFDA number is 97.056. Dun and Bradstreet Data Universal Numbering System (DUNS) Number. The applicant must provide a DUNS number with their application. This number is a required field within <http://www.grants.gov> and for SAM. Organizations should verify that they have a DUNS number, or take the steps necessary to obtain one, as soon as possible. Applicants can receive a DUNS number at no cost by calling the dedicated toll-free DUNS Number request line at (866) 705-5111.

3. System for Award Management (SAM). The application process also involves an updated and current SAM registration by the applicant at <http://www.sam.gov>. Please ensure that your organizations name, address, DUNS number and EIN are up to date in SAM and that the DUNS number used in SAM is the same one used to apply for all FEMA awards. Future payments will be contingent on the information provided in SAM; therefore it is imperative that the information is correct.

Applicants will obtain FOA Overviews and Full Announcement information from the Grants.gov website where the full FOA is posted.

In addition, the following Telephone Device for the Deaf (TDD) and/or Federal Information Relay Service (FIRS) number available for this Announcement is: (800) 462-7585.

Applications will be processed through the Grants.gov portal and the ND Grants system.

Hard copies of the application will not be accepted.

Award Procedure:

Initial Screening. FEMA will conduct an initial review of all FY 2013 PSGP applications to ensure each application is complete. All complete applications will be provided to the applicable COTP for further review.

Field Review. Field-level reviews will be managed by the applicable COTP in coordination with the Gateway Directors of the U.S. Department of Transportation (DOTs) Maritime Administration (MARAD) and appropriate personnel from the AMSC, to include owner/operators of MTSA regulated facilities and vessels, as well as Federal, State, and local agencies, as identified by the COTP. To support coordination of and regionalization of maritime security grant application projects with State and Urban Area homeland security strategies, as well as other State and local security plans, AMSC members representing State and local agencies should coordinate the results with the applicable State administrative agency or agencies and State homeland security advisor(s).

Field reviews for all Groups occur immediately following the initial screening. Each specific project is scored for compliance with criteria enumerated in the previous section and the COTP/MARAD provides a prioritized list of eligible

maritime security risk mitigation projects for funding within each port area. The COTP will use the COTP Field Review Form to review all projects.

After completing field reviews, COTPs will submit the field review project scores, any associated comments, and prioritized lists to FEMA who will begin coordination of the national review process.

Deadlines:

May 21, 2013 to Jul 01, 2013 Applying for FY 2013 PSGP funds requires a two-step process. Step One: initial submission to determine eligibility and Step Two: full application. Applicants are encouraged to initiate Step One immediately after the FOA is published but no later than June 24, 2013. This involves submitting a complete Standard Form 424 to Grants.gov. Successful completion of this step is necessary for FEMA to determine eligibility of the applicant. Late submissions of Step One to Grants.gov could result in applicants missing the application deadline in Step Two. Once FEMA has determined an applicant to be eligible, applicants can proceed to Step Two which involves submitting the full application package via the Non Disaster (ND) Grants system. The submission deadline for the full application package is July 1, 2013. For additional details see Section X of the full FOA.

Range of Approval/Disapproval Time:

Following the field review, a National Review Panel (NRP), comprised of subject matter experts drawn from DHS and DOT, will convene and conduct a national level review. The purpose of the National Review is to identify a final, prioritized list of eligible projects for funding. The NRP will conduct an initial review of the prioritized project listings for each port area submitted by the USCGs COTP to ensure that the proposed projects will accomplish intended risk mitigation goals. The NRP will validate and normalize the COTP Field Review Project Priority List and provide a master list of prioritized projects by port area.

The NRP will have the ability to recommend partial funding for individual projects and eliminate others that are determined to be duplicative or require a sustained Federal commitment to fully realize the intended risk mitigation. The NRP will also validate proposed project costs. Decisions to reduce requested funding amounts or eliminate requested items deemed inappropriate under the scope of the FY 2013 PSGP will take into consideration the ability of the revised project to address the intended national port security priorities and achieve the intended risk mitigation goal. Historically, PSGP has placed a high priority on providing full project funding rather than partial funding.

A risk-based analysis will then be applied to the NRPs prioritized list for each port area in all groups. This analysis considers the following factors to produce a comprehensive national priority ranking of port security proposals:

- Relationship of the project to one or more of the national port security priorities
- Relationship of the project to the local port security priorities
- COTP ranking (based on each COTPs prioritized list of projects)
- Risk level of the port area in which the project would be located (based on a comprehensive risk analysis performed by DHS)
- Effectiveness and feasibility of project to be completed in support of above priorities during the period of performance

The NRP will be asked to evaluate and validate the consolidated and ranked project list and submit their recommendations to FEMA. The NRP may request additional information or clarification from applicants. The Secretary of Homeland Security will have the final approval authority on all projects.

FEMA may place minimum project effectiveness limit on all projects submitted. Projects failing to meet the minimum level of effectiveness may not be considered for funding.

Funds will not be made available for obligation, expenditure, or drawdown until the applicants detailed budget and budget narrative have been approved by FEMA.

The applicant must provide a detailed budget for the funds requested. The detailed budget must be submitted with the grant application as a file

attachment within ND Grants. The budget must be complete, reasonable, and cost-effective in relation to the proposed project. The budget must provide the basis of computation of all project-related costs, any appropriate narrative, and a detailed justification of M&A costs.

Appeals:

Other - Not Specified.

Renewals:

Other - Not Specified.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: The following match requirements apply for the FY 2013 PSGP (including ferry systems):

Public Sector. Public sector applicants must provide a non-Federal match (cash or in-kind) supporting at least 25 percent (25%) of the total project cost for each proposed project.

Private Sector. Private sector applicants must provide a non-Federal match (cash or in-kind) supporting at least 50 percent (50%) of the total project cost for each proposed project.

Other Matching Requirements Information:

Exceptions. The following exceptions to the cost-match requirement may apply:

There is no matching requirement for grant awards where the total award is \$25,000 or less (with the exception of national and/or regional corporations submitting 11 or more projects throughout their system[s]).

There is no matching requirement for grants to train law enforcement agency personnel in the enforcement of security zones as defined by 46 U.S.C. 70132, 70107(c)(2)(C) or in assisting in the enforcement of such security zones.

If the Secretary of Homeland Security determines that a proposed project merits support and cannot be undertaken without a higher rate of Federal support, the Secretary may approve grants with a matching requirement other than that specified above in accordance with Title 46, Section 70107 of the United States Code of Federal Regulations (46 U.S.C. 70107(c)(2)(B)). Cost match waivers under 46 U.S.C. 70107(c)(2)(B) may be granted only if the Secretary of DHS determines that (1) a proposed project merits support in light of the overall grant purpose and mission goals; and (2) the Secretary of DHS determines that the meritorious project cannot be undertaken without a higher rate of Federal support. See FEMA Grant Programs Directorate (GPD) Information Bulletin (IB) 376, dated January 4, 2012 for further information on the PSGP cost match waiver process (<http://www.fema.gov/pdf/government/grant/bulletins/info376.pdf>).

Cash and in-kind matches must consist of eligible costs (i.e., purchase price of allowable contracts, equipment). A cash match includes cash spent for project-related costs while an in-kind match includes the valuation of in-kind services. The cost-match requirement for the PSGP award may not be met by costs borne by another Federal grant or assistance program. Likewise, in-kind matches used to meet the matching requirement for the PSGP award may not be used to meet matching requirements for any other Federal grant program (e.g., FY 2013 funds are used to purchase a mobile command center from a vendor, the vendor contributes or donates communications equipment associated with the mobile command center, the value of the donated equipment may be considered as an in-kind match for the PSGP award only). Please see Title 44, Part 13, Section 24 of the Code of Federal Regulations (44 CFR 13.24) for further guidance regarding in-kind matches. (<http://ecfr.gpoaccess.gov/cgi/t/text/text-idx?type=simple;c=ecfr;cc=ecfr;sid=183d717ad04a78067db6a831421874ea;idno=44;region=DIV1;q1=13;rgn=div5;view=text;node=44%3A1.0.1.1.14>).

The cost match requirement must be included in the applicant's detailed budget. The applicant must demonstrate that sufficient funds are available for the

recipients share of the project at the time of the application (46 U.S.C. 70107(e)(4)(B)). Projects without a detailed budget demonstrating the required cost match will not be considered for funding.

The non-Federal share can be cash or in-kind, with the exception of construction activities, which must be a cash-match (hard). This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The period of performance is 24 months. For more information, refer to the FY2013 PSGP FOA. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures. See the following for information on how assistance is awarded/released: Grantees must accept their grant awards no later than 90 days from the award date. The grantee shall notify the awarding agency of its intent to accept and proceed with work under the award, or provide a written notice of intent to decline. Funds will remain on hold until the grantee accepts the award through official correspondence (e.g., written, electronic signature, signed letter or fax to GPD) and all other conditions of award have been satisfied, or the award is otherwise rescinded. Failure to accept the grant award within the 90 day timeframe may result in a loss of funds.

Reports:

Exercise Evaluation and Improvement. Exercises implemented with grant funds should evaluate performance of the capabilities required to respond to the exercise scenario. Guidance related to exercise evaluation and the implementation of improvements is defined in the Homeland Security Exercise and Evaluation Program located at <https://hseep.dhs.gov>. Federal Financial Report (FFR) required quarterly. Obligations and expenditures must be reported on a quarterly basis through the FFR (SF-425). A report must be submitted for every quarter of the period of performance, including partial calendar quarters, as well as for periods where no grant activity occurs. Future awards and fund draw downs may be withheld if these reports are delinquent. The final FFR is due 90 days after the end date of the performance period. FFRs must be filed electronically through PARS.

Grant Close-Out Process. Within 90 days after the end of the period of performance, or after a Grant Adjustment Notice (GAN) has been issued to close out a grant, whichever comes first, grantees must submit a final FFR and final progress report detailing all accomplishments throughout the period of performance. After these reports have been reviewed and approved by FEMA, a close-out notice will be completed to close out the grant. The notice will indicate the period of performance as closed, list any remaining funds that will be deobligated, and address the requirement of maintaining the grant records for three years from the date of the final FFR. The grantee is responsible for returning any funds that have been drawn down but remain as unliquidated on grantee financial records. Performance Progress Report (SF-PPR). Awardees are responsible for providing updated performance reports using the SF-PPR (OMB Approval Number 0970-0334) on a semi-annual basis. The SF-PPR is due within 30 days after the end of the reporting period (July 30 for the reporting period of January 1 through June 30; and January 30 for the reporting period of July 1 through December 31). The SF-PPR can be accessed online at http://www.na.fs.fed.us/fap/SF-PPR_Cover%20Sheet.pdf. Federal Financial Report (FFR) required quarterly. Obligations and expenditures must be reported on a quarterly basis through the FFR (SF-425). A report must be submitted for every quarter of the period of performance, including partial calendar quarters, as well as for periods where no grant activity occurs. Future awards and fund draw downs may be withheld if these reports are delinquent. The final FFR is due 90 days after the end date of the performance period. FFRs must be filed electronically through PARS.

Grant Close-Out Process. Within 90 days after the end of the period of performance, or after a Grant Adjustment Notice (GAN) has been issued to close out a grant, whichever comes first, grantees must submit a final FFR and final progress report detailing all accomplishments throughout the period of performance. After these reports have been reviewed and approved by FEMA, a close-out notice will be completed to close out the grant. The notice will indicate the period of performance as closed, list any remaining funds that will be deobligated, and address the requirement of maintaining the grant records for three years from the date of the final FFR. The grantee is responsible for returning any funds that have been drawn down but remain as unliquidated on grantee financial records. Grant recipients will be monitored on an annual and

as needed basis by recipients will be monitored on an annual and as needed basis by FEMA staff, both programmatically and financially, to ensure that the project goals, objectives, performance requirements, timelines, milestone completion, budgets, and other related program criteria are being met.

Monitoring may be accomplished through either a desk-based review or on-site monitoring visits, or both. Monitoring will involve the review and analysis of the financial, programmatic, performance, compliance and administrative processes, policies, activities, and other attributes of each Federal assistance award and will identify areas where technical assistance, corrective actions and other support may be needed.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0560-0-1-402.

Obligations:

(Cooperative Agreements) FY 12 \$93,207,313; FY 13 est \$93,207,313; and FY 14 est \$100,000,000

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: PSGP has conducted significant outreach to Federal, State, and local partners as well as national associations such as the American Association of Ports Authorities (AAPA). Fiscal Year 2013: No Current Data Available. Fiscal Year 2014: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

The FY 2013 Port Security Grant Program Funding Opportunity Announcement (PSGP FOA).

Regional or Local Office:

None.

Headquarters Office:

Grant Programs Directorate DHS/FEMA
5071 D Techworld Building
500 C Street SW, Washington, District of Columbia 20472-3615 Phone:
(1-800) 368-6498

Website Address:

<http://www.fema.gov/government/grant/index.shtm>

RELATED PROGRAMS:

97.075 Rail and Transit Security Grant Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Following the field review, a National Review Panel (NRP), comprised of subject matter experts drawn from DHS and DOT, will convene and conduct a national level review. The purpose of the National Review is to identify a final, prioritized list of eligible projects for funding. The NRP will conduct an initial

review of the prioritized project listings for each port area submitted by the USCGs COTP to ensure that the proposed projects will accomplish intended risk mitigation goals. The NRP will validate and normalize the COTP Field Review Project Priority List and provide a master list of prioritized projects by port area.

The NRP will have the ability to recommend partial funding for individual projects and eliminate others that are determined to be duplicative or require a sustained Federal commitment to fully realize the intended risk mitigation. The NRP will also validate proposed project costs. Decisions to reduce requested funding amounts or eliminate requested items deemed inappropriate under the scope of the FY 2013 PSGP will take into consideration the ability of the revised project to address the intended national port security priorities and achieve the intended risk mitigation goal. Historically, PSGP has placed a high priority on providing full project funding rather than partial funding.

A risk-based analysis will then be applied to the NRPs prioritized list for each port area in all groups. This analysis considers the following factors to produce a comprehensive national priority ranking of port security proposals:

- Relationship of the project to one or more of the national port security priorities
- Relationship of the project to the local port security priorities
- COTP ranking (based on each COTPs prioritized list of projects)
- Risk level of the port area in which the project would be located (based on a comprehensive risk analysis performed by DHS)
- Effectiveness and feasibility of project to be completed in support of above priorities during the period of performance

The NRP will be asked to evaluate and validate the consolidated and ranked project list and submit their recommendations to FEMA. The NRP may request additional information or clarification from applicants. The Secretary of Homeland Security will have the final approval authority on all projects.

FEMA may place minimum project effectiveness limit on all projects submitted. Projects failing to meet the minimum level of effectiveness may not be considered for funding.

Funds will not be made available for obligation, expenditure, or drawdown until the applicants detailed budget and budget narrative have been approved by FEMA.

The applicant must provide a detailed budget for the funds requested. The detailed budget must be submitted with the grant application as a file attachment within ND Grants. The budget must be complete, reasonable, and cost-effective in relation to the proposed project. The budget must provide the basis of computation of all project-related costs, any appropriate narrative, and a detailed justification of M&A costs.

97.057 INTERCITY BUS SECURITY GRANTS

Intercity Bus Security

FEDERAL AGENCY:

Department of Homeland Security

AUTHORIZATION:

1532 of the Implementing Recommendations of the 9/11 Commission Act of 2007, Public Law 110-53; Department of Homeland Security Appropriations Act, 2010, Public Law 111-83.

OBJECTIVES:

IBSGP is one tool among a comprehensive set of measures authorized by Congress and implemented by the Administration to help strengthen the Nations critical infrastructure against risks associated with potential terrorist attacks.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funds may be used for vehicle security enhancements; emergency

communication technology; coordinating with local police and emergency responders; facility security enhancements; training and exercises; improvement of ticket identification and further implementation of passenger and baggage screening enhancements; and driver security enhancements. The program guidance outlines uses and restrictions in detail.

Applicant Eligibility:

This program is limited to applicants meeting one or both of the following criteria: (1) own/operate a fixed-route intercity bus service using over-the-road buses and providing services to a defined Urban Area Security Initiative (UASI) jurisdiction; or (2) own/operate a charter bus service using over-the-road buses providing a minimum of 50 trips annually to a defined UASI jurisdiction.

Beneficiary Eligibility:

General public.

Credentials/Documentation:

This program is limited to applicants meeting one or both of the following criteria: (1) own/operate a fixed-route intercity bus service using over-the-road buses and providing services to a defined Urban Area Security Initiative (UASI) jurisdiction; or (2) own/operate a charter bus service using over-the-road buses providing a minimum of 50 trips annually to a defined UASI jurisdiction. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Application deadline and other information are contained in the application/program guidance.

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Refer to program guidance document.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

This program has no statutory formula.
Matching Requirements: Percent: 25%.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Refer to program guidance. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures. See the following for information on how assistance is awarded/released: Payment based on Project need.

Reports:

Categorical Assistance Progress Reports (CAPR) are required semi-annually and are due within 30 days after the end of the reporting period (July 30 with a reporting period of January 1 through June 30, and January 30 with a reporting period of July 1 through December 31) for the life of the award. Grantees are also required to submit quarterly Financial Status Reports (FSR). The FSR are due 30 days after the end of each calendar quarter on January 30, April 30, July 30, and October 30. Final CAPR and FSR reports are due 90 days after the expiration or termination of grant award, whichever occurs first. Refer to program guidance. Refer to program guidance. Refer to program guidance.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0560-0-1-402.

Obligations:

(Project Grants) FY 12 \$0; FY 13 est \$0; and FY 14 est \$0 - Starting in FY 2011, the Intercity Bus Security Grant Program at Congressional direction became a component (carve-out) of the Transit Security Grant Program not separately appropriated. This program will remain open due to open awards.

Range and Average of Financial Assistance:

Refer to program guidance.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

For information on requirements and guidance please refer to the current FY Intercity Bus Security Grants Program Guide and Application Kit.

Regional or Local Office:

None.

Headquarters Office:

Department of Homeland Security/FEMA Techworld, Control Desk TechWorld
800 K Street NW, Washington, District of Columbia 20472-3615 Email:
askcsid@dhs.gov Phone: (1-800) 368-6498

Website Address:

<http://www.fema.gov>.

RELATED PROGRAMS:

97.056 Port Security Grant Program ; 97.075 Rail and Transit Security Grant Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the program guidance for information on criteria for selecting proposals.

97.061 CENTERS FOR HOMELAND SECURITY

(COE)

FEDERAL AGENCY:

Department of Homeland Security

AUTHORIZATION:

Homeland Security Act of 2002, Section 1205, Public Law 107-296, 6 U.S.C 188(b).

OBJECTIVES:

Sponsored by the DHS Office of University Programs, the Centers of Excellence perform research and development activities to provide critical

homeland security tools, technologies, training, and talent. The Centers of Excellence (COE) network is an extended consortium of hundreds of universities generating ground-breaking ideas for new technologies and critical knowledge. All Centers of Excellence work closely with academia, industry, DHS components and first-responders to develop customer-driven research solutions to on the ground challenges as well as provide essential training to the next generation of homeland security experts. The research portfolio is a mix of basic and applied research addressing both short and long-term needs. The COE extended network is also available for rapid response efforts.

TYPES OF ASSISTANCE:

Cooperative Agreements; Project Grants

USES AND USE RESTRICTIONS:

Proposals must be submitted by an accredited U.S. institution of higher education that, along with its chosen partners, has the ability and capacity to conduct the required research. An accredited public and private institution of higher education in the United States must be identified as the official lead for proposal submission and subsequent negotiations. COEs may partner with other academic institutions, historically black colleges and universities (HBCUs) and/or other MSIs and institutions in states that are part of the Experimental Program to Stimulate Competitive Research (EPSCoR), public or private sector, and non-profit organizations, including any organizations that meet the definition of nonprofit in OMB Circular A-122, relocated to 2 CFR Part 230. However, nonprofit organizations described in Section 501(c)(4) of the Internal Revenue Code that engage in lobbying activities as defined in Section 3 of the Lobbying Disclosure Act of 1995 are not eligible to apply. Partnering entities will generally be funded through subawards from the lead institutions. National laboratories funded by Federal agencies may not apply. Refer to Program Guidance.

Applicant Eligibility:

Eligible applicants are accredited U.S. institutions of higher education.

Beneficiary Eligibility:

Public and private colleges and universities.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Application deadline and other information are contained in the application/program guidance.

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff for eligibility and responsiveness. Applications will undergo merit review by a panel of subject matter experts which may include federal and non-federal reviewers. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Refer to announcement or program guidance document.

Appeals:

None.

Renewals:

Renewals of grant awards are based on performance, program plan, and availability of funds.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. This program has no matching requirements.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Refer to program guidance. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures. See the following for information on how assistance is awarded/released: Refer to announcement/program guidance or the award document.

Reports:

Refer to program guidance. Required to submit a Cash Transaction Report (SF 272) to the Department of Health and Human Services Division of Payment Management with a copy of the SF 272 to the DHS Grants Officer. Refer to program guidance. Refer to program guidance. Refer to program guidance.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0800-0-1-999.

Obligations:

(Salaries) FY 12 \$27,255,517; FY 13 est \$29,500,000; and FY 14 est \$29,000,000

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Funded 16 continuation awards for the 9 COEs. Fiscal Year 2013: Continuation application for 9 COEs. Fiscal Year 2014: Continuation application for 9 COEs.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Department of Homeland Security, University Programs, S&T 245 Murray Lane, Building 410, Mail Stop 0217, Washington, District of Columbia 20523
Email: universityprograms@dhs.gov Phone: (202) 254-5695

Website Address:

<http://www.hsuniversityprograms.org>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the program guidance for information on criteria for selecting proposals.

97.062 SCIENTIFIC LEADERSHIP AWARDS

FEDERAL AGENCY:

Department of Homeland Security

AUTHORIZATION:

Homeland Security Act of 2002, Section 1205, Public Law 107-296, 6 U.S.C 188(b).

OBJECTIVES:

The objectives of this program are: (1) increase the intellectual capacity, skills and talents, especially those of U.S. citizens in areas of relevance to homeland security; (2) to attract undergraduate and graduate students to obtain advanced degrees in disciplines of importance to homeland security; (3) provide advanced professional and research development opportunities for fellows, scholars and faculty in targeted areas; and (4) to increase the diversity of and highly talented cadre of new and emerging science and technology expertise working in areas of importance and need to homeland security.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE; Project Grants

USES AND USE RESTRICTIONS:

Support is provided to Minority Serving Institutions (MSIs) to develop capacity in HS-STEM and provide financial support and career guidance to students. See announcement.

Applicant Eligibility:

US accredited MSIs are eligible to apply. Student recipients must be U.S. citizens studying in one of the following areas including: computer science, engineering, life sciences, math, physical sciences, psychology, social sciences. Students must have career and employment goals aligned with the mission and objectives of the U.S. Department of Homeland Security.

Beneficiary Eligibility:

Undergraduate Student, Graduate Student, and Minority Serving Institution Faculty.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. .

Award Procedure:

Applications are reviewed by subject matter experts (SMEs). Awards are made based on agency priorities and available funding.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Refer to program guidance document.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Refer to program guidance. See the following for information on how assistance is awarded/released: Payment based on Project need.

Reports:

Refer to program guidance. Refer to program guidance. Yearly progress reports

and a final report are required. Refer to program guidance. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0800-0-1-999.

Obligations:

(Project Grants) FY 12 \$2,850,000; FY 13 est \$2,850,000; and FY 14 est \$3,000,000

Range and Average of Financial Assistance:

Refer to program guidance.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: OUP awarded 4 long-term Scientific Leadership Awards in 2012. There are 50 ongoing Scientific Leadership Award grants. Now requires MOA with a COE. Fiscal Year 2013: Fund 4 continuation applications for the Scientific Leadership Awards awarded in FY2012. Fiscal Year 2014: Hold competition for FY2014 Scientific Leadership Awards. Expect to make 3-6 awards.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

DHS, Office of University Programs, S&T 245 Murray Lane, Building 410, Mail Stop 0217, Washington, District of Columbia 20523 Email: universityprograms@dhs.gov Phone: (202) 254-5695

Website Address:

<http://www.hsuniversityprograms.org>

RELATED PROGRAMS:

97.104 Homeland Security-related Science, Technology, Engineering and Mathematics (HS STEM) Career Development Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the program guidance for information on criteria for selecting proposals.

97.065 HOMELAND SECURITY ADVANCED RESEARCH PROJECTS AGENCY

Plant Pathogen

FEDERAL AGENCY:

Department of Homeland Security

AUTHORIZATION:

Homeland Security Act of 2002, Title 3, Section 307, Public Law 107-296.

OBJECTIVES:

Iowa State University is developing capabilities to detect and correctly identify the presence of plant pathogens present in other countries and threatening US agriculture through introduction into the US by deliberate or natural events.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements or Contracts)

USES AND USE RESTRICTIONS:

The grant has been awarded with funds being sent directly to the Iowa State University by the Department of Homeland Security OPO Division.

Applicant Eligibility:

DHS Components researching pathogen issues as weapons of mass destruction. Department of Agriculture, Department of Commerce and Department of Homeland Security Components.

Beneficiary Eligibility:

DHS Components researching pathogen issues as weapons of mass destruction. Department of Agriculture, Department of Commerce and Department of Homeland Security Components.

Credentials/Documentation:

No Credentials or documentation are required. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program.

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Refer to program guidance document.

Appeals:

None.

Renewals:

This program is renewed or extended as needed per the Statement of Work.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

As required by solicitation. See the following for information on how assistance is awarded/released: Payment based on project need.

Reports:

See program announcement. See program announcement. See program announcement. See program announcement. Records must be retained at least 3 years beyond the 3 year period if audit findings have not been resolved.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Records must be retained at least 3 years beyond the 3 year period if audit findings have not been resolved.

Account Identification:

70-0800-0-1-999.

Obligations:

(Salaries) FY 12 \$0; FY 13 est \$0; and FY 14 est \$0 - This project has ended with no further funding available.

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: The Plant Pathogens Program tested and verified that it is possible using high-resolution satellite imagery to detect pathogen-specific temporal and spatial signatures within agricultural crops to accurately detect and identify plant pathogens that threaten U.S. crops and to develop a base set of algorithms to monitor threatening plant pathogens on a global scale. Fiscal Year 2013: No Current Data Available. Fiscal Year 2014: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Joel Wall 245 Murray Lane, SW, Building 410, Mail Stop 0202, Washington, District of Columbia 20523 Phone: (202) 254-5819

Website Address:

<http://www.dhs.gov>; <http://www.baa.st.dhs.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the program guidance for information on criteria for selecting proposals.

97.066 HOMELAND SECURITY INFORMATION TECHNOLOGY RESEARCH, TESTING, EVALUATION AND DEMONSTRATION PROGRAM**FEDERAL AGENCY:**

Department of Homeland Security

AUTHORIZATION:

Consolidated Appropriations Act, 2008, Public Law 110-161.

OBJECTIVES:

To foster and identify novel uses of existing "state-of-the-art" information technology that will remove barriers and/or improve information sharing and integration of State and local public safety interoperability.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Allowable costs and any funding restrictions will be identified in the Program Announcement. No construction will be eligible for funding. This funding may only be used to supplement existing funding and not be used to supplant funding. Refer to program guidance.

Applicant Eligibility:

Specific information on applicant eligibility is identified in the funding opportunity announcement and program guidance.

Beneficiary Eligibility:

State, U.S. Territories and local governments.

Credentials/Documentation:

The applicant must complete a proposal as outlined in the funding opportunity announcement. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Application deadline and other information are contained in the funding opportunity announcement/program guidance.

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Refer to program guidance document.

Appeals:

None.

Renewals:

Extensions are at the discretion of DHS. Refer to the funding opportunity announcement or application guidance for information concerning renewals.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Refer to program guidance. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures. See the following for information on how assistance is awarded/released: Refer to program guidance.

Reports:

Refer to program guidance. Refer to program guidance. Refer to program guidance. Refer to program guidance. Refer to program guidance.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0560-0-1-999.

Obligations:

(Cooperative Agreements) FY 12 \$0; FY 13 est \$0; and FY 14 est \$0 - No additional funding approved/available.

Range and Average of Financial Assistance:

Refer to program guidance.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: UTSA CIAS is currently engaged with the state of Massachusetts for Phase 1 exercise support. There are two named communities involved, Boston and Springfield, and both have completed one exercise and one After Action Conference. There are an additional 3 exercises to be completed by the end of FY13. UTSA CIAS remains engaged Delaware for Phase 2, which primarily consists of training and guidance by UTSA CIAS and is on target to complete the training by end of FY13. Fiscal Year 2013: Massachusetts and Delaware will complete their prospective tasks on time. No additional time extension will be required and no additional funding will be requested. Fiscal Year 2014: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Department of Homeland Security, Office of Grants and Financial Assistance Division, NPPD 245 Murray Lane, SW Mail Stop Code 0115, Building 410, Washington, District of Columbia 20528 Phone: (202) 447-5589

Website Address:

<http://www.dhs.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the program guidance for information on criteria for selecting proposals.

97.067 HOMELAND SECURITY GRANT PROGRAM

HSGP and THSGP

FEDERAL AGENCY:

Department of Homeland Security

AUTHORIZATION:

The Homeland Security Act of 2002, Public Law 107-296; Implementing Recommendations of 9/11 Commission Act of 2007, Public Law 110-53; Department of Homeland Security Appropriations Act of 2013, Public Law 113-6.

OBJECTIVES:

FY 2012 HSGP and THSGP provide grant funding to assist state and local governments in obtaining the resources required to support the National Preparedness Goals (NPGs) associated mission areas and core capabilities. FY 2012 THSGP provides funding directly to eligible tribes to help strengthen the nation against risks associated with potential terrorist attacks. The FY 2012 HSGP is comprised of three interconnected grant programs:

State Homeland Security Program (SHSP): SHSP supports the implementation of State Homeland Security Strategies to address the identified planning, organization, equipment, training, and exercise needs to prevent, protect against, mitigate, respond to, and recover from acts of terrorism and other catastrophic events.

Urban Areas Security Initiative (UASI): The UASI program addresses the unique planning, organization, equipment, training, and exercise needs of high-threat, high-density Urban Areas, and assists them in building an enhanced and sustainable capacity to prevent, protect against, mitigate, respond to, and recover from acts of terrorism.

Operation Stonegarden (OPSG): OPSG supports enhanced cooperation and coordination among local, tribal, territorial, State, and Federal law enforcement agencies in a joint mission to secure the United States borders along routes of ingress from international borders to include travel corridors in States bordering Mexico and Canada, as well as States and territories with international water borders.

All three programs are founded on risk-driven, capabilities-based strategic plans. These strategic plans outline capability requirements and inform how available funding may be applied to manage risk. For these plans to be effective, government officials and elected leaders, working with the whole community, must consider how to sustain current capability levels and address potential shortfalls to prevent, protect, mitigate, respond to, and recover from disasters.

The FY 2013 HSGP plays an important role in the implementation of the National Preparedness System (NPS) by supporting the building, sustainment, and delivery of core capabilities essential to achieving the National Preparedness Goal (NPG) of a secure and resilient Nation. Delivering core capabilities requires the combined effort of the whole community, rather than the exclusive effort of any single organization or level of government. The FY 2013 HSGPs allowable costs support efforts to build and sustain core capabilities across the Prevention, Protection, Mitigation, Response, and Recovery mission areas by setting the following priorities and objectives:

Priority One: Implementation of the NPS and a Whole Community Approach to Homeland Security and Emergency Management

Objective One: Completion of the THIRA process in alignment with National Preparedness System guidance to identify the resources required to deliver core capabilities.

Objective Two: Conduct risk driven, capabilities-based planning through whole community councils and working groups.

Objective Three: Organization Typing of equipment, and credentialing and training of personnel.

Objective Four: Sustaining Capabilities.

Priority Two: Building and Sustaining Law Enforcement Terrorism Prevention Capabilities

Objective One: Nationwide Suspicious Activity Reporting Initiative (NSI)

Priority Three: Maturation and Enhancement of State and Major Urban Area Fusion Centers

Objective One: Baseline Capabilities.

Objective Two: Analytic Capabilities.

The Department of Homeland Security Appropriations Act, 2013 (Public Law 113-6) authorized additional funding allocated at the discretion of the Secretary of the Department Homeland Security, to support appropriated programs in FY 2013. DHS has augmented the SHSP and UASI grant programs in FY 2013 by allocating an additional \$163.4 million to support the following priority areas:

Priority Four: Innovation and Sustained Support for the National Campaign for Preparedness

Objective One: Foster individual and community preparedness and resilience by identifying needs; mobilizing partners; and creating innovative and effective solutions that can be grown, sustained, and replicated.

Priority Five: Improve Immediate Emergency Victim Care at Mass Casualty Events

Objective One: Improve emergency care to victims of mass casualty events, including mass shootings.

Objective Two: Improve community first aid training.

Minimum funding amounts are not prescribed by the Department for these priorities; however grantees are expected to support state, local, and regional efforts in achieving the desired outcomes of one or more of these priorities.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

DHS grant funds may only be used for the purpose set forth in the grant, and must be consistent with the statutory authority for the award. Grant funds may not be used for matching funds for other Federal grants/cooperative agreements, lobbying, or intervention in Federal regulatory or adjudicatory proceedings. In addition, Federal funds may not be used to sue the Federal government or any

other government entity. Pre-award costs are allowable only with the written consent of DHS and if they are included in the award agreement. Although no longer funded as discrete grant programs, all activities and costs allowed under the FY 2010 Buffer Zone Protection Program (BZPP), FY 2010 Interoperable Emergency Communications Grant Program (IECGP), FY 2011 Citizen Corps Program (CCP), FY 2011 Drivers License Security Grant Program (DLSGP), and FY 2011 Metropolitan Medical Response System (MMRS) grant program are allowable and encouraged activities and costs under the FY 2012 HSGP. For additional information on allowable activities under the aforementioned grant programs, please refer to

<http://www.fema.gov/government/grant/nondisaster.shtm>.

Federal employees are prohibited from serving in any capacity (paid or unpaid) on any proposal submitted under this program. Federal employees may not receive funds under this award. There may be limitations on the use of HSGP and THSGP funds for the following categories of costs:

- Management and Administration
- Planning
- Organization
- Equipment
- Training
- Exercises
- Maintenance and Sustainment
- Critical Emergency Supplies
- Construction and Renovation

For additional details on restrictions on the use of funds, please refer to the FY 2012 HSGP and THSGP Funding Opportunity Announcement.

THSGP grantees may only fund investments that were included in the FY 2012 Investment Justifications (IJs) that were submitted to FEMA and evaluated through the peer review process. SHSP and UASI Pass-Through Requirements: Awards made to the SAA for HSGP carry additional pass-through requirements. Pass-through is defined as an obligation on the part of the States to make funds available to local units of government, combinations of local units, or other specific groups or organizations. The States pass-through requirement must be met within 45 days of the award date for the HSGP. Four requirements must be met to pass-through grant funds:

There must be some action to establish a firm commitment on the part of the awarding entity.

The action must be unconditional on the part of the awarding entity (i.e., no contingencies for availability of SAA funds).

There must be documentary evidence of the commitment.

The award terms must be communicated to the official grantee.

The SAA must obligate at least 80 percent (80%) of the funds awarded under SHSP and UASI to local units of government within 45 days of receipt of the funds. For Puerto Rico, the SAA must also obligate at least 80 percent (80%) of the funds to local units of government within 45 days of receipt of the funds. No pass-through requirements will be applied to the District of Columbia, Guam, American Samoa, the U.S. Virgin Islands, and the Commonwealth of the Northern Mariana Islands. Any UASI funds retained by the SAA must be used to directly support the designated Urban Areas in the State. Under SHSP, the State may retain more than 20 percent (20%) of SHSP funding for expenditure made by the State on behalf of the local unit of government. This may occur only with the written consent of the local unit of government, with the written consent specifying the amount of funds to be retained and the intended use of funds. If a written consent agreement is already in place from previous fiscal years, FEMA will continue to recognize it for FY 2012. If any modifications to the existing agreement are necessary to reflect new initiatives, States should contact their assigned FEMA Program Analyst. If UASI funds are used by the SAA in support of the Urban Area, the SAA must, as part of the up to 10 Investments, propose an Investment describing how UASI funds will be used by the SAA to directly support the Urban Area. OPSG Funds: The recipient is prohibited from obligating or expending funds provided through this award until each unique and specific county level or equivalent Operational Order/Fragmentary Operations Order with an embedded estimated operational budget has been reviewed and approved through an official e-mail notice issued by FEMA removing this special programmatic condition. Funds Transfer Restriction: The recipient is prohibited from transferring funds between programs (SHSP, UASI, and OPSG). Grantees are allowed to submit an Investment/project where funds come from multiple funding sources (i.e., SHSP/UASI); however, grantees are not allowed to divert funding from one

program to another due to the risk-based funding allocations, which were made at the discretion of DHS. FY 2012 OPSG funds will be allocated based on risk-based prioritization using a U.S. Customs and Border Protection (CBP) Sector-specific border risk methodology to include, but not limited to: threat, vulnerability, miles of border, and other border-specific law enforcement intelligence, as well as feasibility of FY 2012 Operation Orders to designated localities within the United States border States and territories. Eligible sub-recipients under the FY 2012 OPSG are local units of government at the county level and federally-recognized tribal governments in the States bordering Canada (including Alaska), States bordering Mexico, and States and territories with international water borders. The SAA is the only entity eligible to apply to FEMA for FY 2012 OPSG funds on behalf of the county or similar level of government and federally-recognized tribal governments. Eligible States and territories without a county or similar level of government structure are authorized to accept applications on behalf of the alternative unit of local government. SAAs in this situation must advise FEMA in writing as to their intent. Note: Not all applicants are guaranteed to receive funding under the FY 2012 OPSG. FY 2012 THSGP funds will be allocated based on two factors: Eligibility, as defined in 9/11 Act and self-certified by applicant, and Effectiveness: the score of the applicants THSGP Investment Justification (as determined through a peer review process). With respect to the effectiveness criteria, THSGP IJs will be evaluated through a peer review process for completeness, adherence to programmatic guidelines and the anticipated effectiveness of the proposed Investment(s). Only the information included in the IJ will be scored in the review process. As part of the FY 2012 THSGP scoring process, applicants who have not received funding in prior years will receive five (5) points in addition to the peer reviewers average score for each Investment submitted as part of their IJ.

Applicant Eligibility:

All 56 States and territories are eligible to apply for SHSP funds. For those States and territories that are eligible for UASI and/or OPSG funds, the SAA is the only entity eligible to submit applications to FEMA on behalf of UASI and OPSG applicants. National Incident Management System (NIMS) Implementation

In accordance with Homeland Security Presidential Directive (HSPD)-5, Management of Domestic Incidents, the adoption of NIMS is a requirement to receive Federal preparedness assistance, through grants, contracts, and other activities. Prior to allocation of any Federal preparedness awards in FY 2012, grantee must ensure compliance and/or alignment with FY 2011 NIMS implementation plan. The list of objectives against which progress and achievement are assessed and reported can be found at <http://www.fema.gov/emergency/nims/ImplementationGuidanceStakeholders.shtm#item2>. The primary grantee/administrator of FY 2012 HSGP and THSGP award funds is responsible for determining if sub-awardees have demonstrated sufficient progress in NIMS implementation to disburse awards.

Beneficiary Eligibility:

THSGP: Native American Tribal Government (Federally recognized)

HSGP: State and local governments

THSGP: In order to be eligible to receive THSGP funding, grantees must be considered directly eligible Tribes. Per the 9/11 Act, the term directly eligible Tribe means

(A) any Indian Tribe

(i) that is located in the continental United States;

(ii) that operates a law enforcement or emergency response agency with the capacity to respond to calls for law enforcement or emergency services;

(iii) a. that is located on or near (50 miles) an international border or a coastline bordering an ocean (including the Gulf of Mexico) or international waters (excluding shoreline border around the Great Lakes);

b. that is located within 10 miles of a system or asset included on the prioritized critical infrastructure list established under section 210E(a)(2) [please refer to section 1001 of the 9/11 Act] or has such a system or asset within its territory;

c. that is located within or contiguous to one of the 50 most populous metropolitan statistical areas in the United States; or

d. the jurisdiction of which includes not less than 1,000 square miles of Indian country, as that term is defined in section 1151 of title 18, United States Code; and

(iv) that certifies to the Secretary that a State has not provided funds under

section 2003 [UASI] or 2004 [SHSP] to the Indian Tribe or consortium of Indian Tribes for the purpose for which direct funding is sought; and (B) a consortium of Indian Tribes, if each Tribe satisfies the requirements of subparagraph (A).

In summary, eligible Tribes must meet the requirements set forth in (A) (i), and (A) (ii), and (A) (iv). Tribes must also meet one of the requirements set forth in (A) (iii); either (A) (iii) (a), or (A) (iii) (b), or (A) (iii) (c), or (A) (iii) (d).

Finally, (B) may also be satisfied, if each Tribe satisfies the requirements of subparagraph (A).

In FY 2012, applicants must self-certify as to whether they meet the eligibility requirements. Self-certification will be provided on the THSGP Eligibility Certification Form as part of Application Investment Justification. Any questions regarding an applicants proximity to a Critical Infrastructure and Key Structures (CI/KR) site, as described in the eligibility criteria, may be directed to the State Administrative Agency (SAA). Any additional questions regarding the overall self-certification of eligibility process may be directed to the Centralized Scheduling and Information Desk (CSID). CSID can be reached by phone at (800) 368-6498 or by e-mail at askcsid@dhs.gov, Monday through Friday, 9:00 a.m. 5:30 p.m. EST.

Credentials/Documentation:

Investment Justification (SHSP and UASI). As part of the FY 2012 HSGP application process for SHSP and UASI funds, applicants must develop a formal IJ that addresses each Investment being proposed for funding. The IJ must demonstrate how proposed projects address gaps and deficiencies in delivering one or more core capabilities outlined in the NPG and must also describe engagement with and/or impacts on the general population, to include children and individuals with disabilities such as those with access and functional needs. The IJ must demonstrate the ability to provide enhancements consistent with the purpose of the program and guidance provided by FEMA. Instructions for SHSP:

Applicants may propose up to 10 Investments within their IJ to describe the activities they would like to implement with SHSP funds.

Of the up to 10 Investments, applicants are required to propose at least one Investment to provide funding support to the States primary fusion center, as designated by the Governor. Grantees must coordinate with the fusion center when developing a fusion center Investment prior to submission.

Instructions for UASI:

Urban Areas may propose up to 10 Investments within their IJ to describe the activities they would like to implement with UASI funds.

If applicable, of the up to 10 Investments, Urban Areas are required to propose at least one Investment in support of a DHS-recognized fusion center within the Urban Area. Grantees must coordinate with the fusion center when developing a fusion center Investment prior to submission.

If UASI funds are used by the SAA in support of the Urban Area, the SAA must, as part of the up to 10 Investments, propose an Investment describing how UASI funds will be used by the SAA to directly support the Urban Area. For specific instructions on completing and submitting Investments, please refer to the FY 2012 HSGP Funding Opportunity Announcement. Operations Order and Detailed Budget Summary (OPSG). As part of the FY 2012 OPSG application process, each eligible local unit of government at the county level or federally-recognized tribal government must develop their Operations Order in coordination with State and Federal law enforcement agencies, to include, but not limited to CBP/BP. Operations Orders that are developed at the county level should be inclusive of city, county, tribal, and other local law enforcement agencies that are eligible to participate in OPSG operational activities, and the Operations Order should address this in the Executive Summary. The details should include the names of the agencies, the points of contact, and the individual funding requests. All applications must be coordinated through the CBP sector office and that BP will forward application to the SAA for review. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Application deadline and other information are contained in the FY 2012 HSGP and THSGP FOA. Applying for FY 2012 HSGP and THSGP funds require a two-step process. Step One: initial submission to determine eligibility and Step Two: full application. Applicants are encouraged to initiate Step One immediately after the FOA is published but no later than April 27, 2012. This involves submitting a complete Standard Form 424 to Grants.gov. Successful completion of this step is necessary for FEMA to determine eligibility of the applicant. Late submissions of Step One to Grants.gov could result in applicants missing the application deadline in Step Two. Once FEMA has determined an applicant to be eligible, applicants can proceed to Step Two which involves submitting the full application package via the Non Disaster (ND) Grants system. The submission deadline for the full application package is May 4, 2012. For additional information and program-specific application requirements, refer to the FY 2012 HSGP and THSGP Funding Opportunity Announcement.

Award Procedure:

In FY 2012, the total amount of funds distributed under this grant program will be \$830,976,000. The specific information regarding funding allocations for the three HSGP programs are detailed below:

SHSP Allocations: FY 2012 SHSP funds will be allocated based on three factors: minimum amounts as legislatively mandated, DHS risk methodology, and anticipated effectiveness based on the strength of the Investment Justification (IJ). Each State and territory will receive a minimum allocation under SHSP using the thresholds established in the 9/11 Act. All 50 States, the District of Columbia, and Puerto Rico will receive 0.35 percent of the total funds allocated for grants under Section 2003 and Section 2004 of the Homeland Security Act of 2002, as amended by the 9/11 Act, for SHSP. Four territories (American Samoa, Guam, the Northern Mariana Islands, and the U.S. Virgin Islands) will receive a minimum allocation of 0.08 percent of the total funds allocated for grants under Section 2003 and 2004 of the Homeland Security Act of 2002, as amended by the 9/11 Act, for SHSP. UASI Allocations: FY 2012 UASI funds will be allocated based on DHS risk methodology and anticipated effectiveness based on the strength of the IJ. Eligible candidates for the FY 2012 UASI program have been determined through an analysis of relative risk of terrorism faced by the 100 most populous metropolitan statistical areas in the United States, in accordance with the 9/11 Act. LETPA Allocations: Per the Homeland Security Act of 2002 (Public Law 107296), title XX, 2006, as amended by the 9/11 Act, title I, 101, August 3, 2007, 121 Stat. 280, 6 U.S.C. 607, States are required to ensure that at least 25 percent (25%) of the combined HSGP funds allocated under SHSP and UASI are dedicated towards law enforcement terrorism prevention activities linked to one or more core capabilities within the NPG. The LETPA allocation can be from SHSP, UASI or both. OPSG Allocations: FY 2012 OPSG funds will be allocated based on risk-based prioritization using a U.S. Customs and Border Protection (CBP) Sector-specific border risk methodology to include, but not limited to: threat, vulnerability, miles of border, and other border-specific law enforcement intelligence, as well as feasibility of FY 2012 Operation Orders to designated localities within the United States border States and territories. Eligible sub-recipients under the FY 2012 OPSG are local units of government at the county level and federally-recognized tribal governments in the States bordering Canada (including Alaska), States bordering Mexico, and States and territories with international water borders. The SAA is the only entity eligible to apply to FEMA for FY 2012 OPSG funds on behalf of the county or similar level of government and federally-recognized tribal governments. Eligible States and territories without a county or similar level of government structure are authorized to accept applications on behalf of the alternative unit of local government. SAAs in this situation must advise FEMA in writing as to their intent. Note: Not all applicants are guaranteed to receive funding under the FY 2012 OPSG.

FY 2012 THSGP funds will be allocated based on two factors: Eligibility, as defined in 9/11 Act and self-certified by applicant, and Effectiveness: the score of the applicants THSGP Investment Justification (as determined through a peer review process). With respect to the effectiveness criteria, THSGP IJs will be evaluated through a peer review process for completeness, adherence to programmatic guidelines and the anticipated effectiveness of the proposed Investment(s). Only the information included in the IJ will be scored in the review process. As part of the FY 2012 THSGP scoring process, applicants

who have not received funding in prior years will receive five (5) points in addition to the peer reviewers average score for each Investment submitted as part of their IJ.

The following process will be used to make awards under the THSGP:

Applicants will self-certify as to Tribal eligibility per the 9/11 Act;

FEMA will verify compliance with all administrative and eligibility criteria identified in the application kit, to include the required submission of an IJ by the established due dates;

Eligible applications will be reviewed and scored through a peer review process to analyze the anticipated effectiveness of proposed Investments; and

FEMA will use the results of the peer review effectiveness scores to make recommendations for funding to the Secretary of Homeland Security.

Deadlines:

Feb 17, 2012 to May 04, 2012 Application Start Date: 02/17/2012

Application Submission Deadline Date: 05/04/2012 at 11:59:59 PM EDT

Anticipated Funding Selection Date: 06/29/2012

Anticipated Award Date: 09/30/2012.

Range of Approval/Disapproval Time:

Refer to FY 2012 HSGP and THSGP Funding Opportunity Announcement. Anticipated Funding Selection Date is 06/29/2012. FY 2012 SHSP and UASI are non-competitive programs. Funds for FY 2012 OPSG will be allocated competitively. This includes the use of risk-based prioritization using CBP Sector-specific border risk to include, but not limited to: threat, vulnerability, miles of border, and other border-specific law enforcement intelligence. Each applicants final funding allocation will be determined by using a combination of the results of the risk analysis and feasibility of the Operations Orders. The following process will be used to make awards under FY 2012 THSGP:

Applicants will self-certify as to tribal eligibility per the 9/11 Act;

FEMA will verify compliance with all administrative and eligibility criteria identified in the application kit, to include the required submission of an IJ by the established due dates;

Eligible applications will be reviewed and scored through a peer review process to analyze the anticipated effectiveness of proposed Investments; and

FEMA will use the results of the peer review effectiveness scores to make recommendations for funding to the Secretary of Homeland Security.

Appeals:

Other - Not Specified.

Renewals:

Other - Not Specified.

Formula and Matching Requirements:

Statutory Formula: FY 2012 SHSP funds will be allocated based on three factors: minimum amounts as legislatively mandated, DHS risk methodology, and anticipated effectiveness based on the strength of the Investment Justification (IJ). Each State and territory will receive a minimum allocation under SHSP using the thresholds established in the 9/11 Act. All 50 States, the District of Columbia, and Puerto Rico will receive 0.35 percent of the total funds allocated for grants under Section 2003 and Section 2004 of the Homeland Security Act of 2002, as amended by the 9/11 Act, for SHSP. Four territories (American Samoa, Guam, the Northern Mariana Islands, and the U.S. Virgin Islands) will receive a minimum allocation of 0.08 percent of the total funds allocated for grants under Section 2003 and 2004 of the Homeland Security Act of 2002, as amended by the 9/11 Act, for SHSP.

This program has no matching requirements. None.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The Period of Performance is 24 months. For more information, refer to the FY 2012 HSGP and THSGP Funding Opportunity Announcement. Awards are subject to the Cash Management Act for payment and/or reimbursement of expenditures.

The period of performance outlined above support the effort to expedite the outlay of grant funding and provide economic stimulus. Agencies should request waivers sparingly, and they will be granted only due to compelling legal, policy, or operational challenges. For example, tribal grantees may request waivers from the deadlines outlined above for discretionary grant funds where adjusting the timeline for spending will constitute a verifiable legal breach of contract by the grantee with vendors or sub-recipients, or where a

specific statute or regulation mandates an environmental review that cannot be completed within this timeframe or where other exceptional circumstances warrant a discrete waiver. See the following for information on how assistance is awarded/released: Based on project need.

Reports:

All successful applicants for all DHS grant and cooperative agreements are required to comply with DHS Standard Administrative Terms and Conditions available within Section 6.1.1 of

<http://www.dhs.gov/xlibrary/assets/cfo-financial-management-policy-manual.pdf>. Federal Financial Report (FFR) required quarterly. Obligations and expenditures must be reported on a quarterly basis through the FFR (SF-425).

A report must be submitted for every quarter of the period of performance, including partial calendar quarters, as well as for periods where no grant activity occurs. Future awards and fund draw downs may be withheld if these reports are delinquent. The final FFR is due 90 days after the end date of the performance period. FFRs must be filed electronically through PARS.

Initial Strategy Implementation Plan (ISIP). Following the award of grant funds, awardees will be responsible for reporting planned expenditures of the newly awarded grant funds to meet the pass-through requirement. The applicable SAAs are responsible for completing and submitting the ISIP online.

The ISIP is due within 45 days of the award date.

Grant Close-Out Process. Within 90 days after the end of the period of performance, or after a Grant Adjustment Notice (GAN) has been issued to close out a grant, whichever comes first, grantees must submit a final FFR and final progress report detailing all accomplishments throughout the period of performance. After these reports have been reviewed and approved by FEMA, a close-out notice will be completed to close out the grant. The notice will indicate the period of performance as closed, list any remaining funds that will be deobligated, and address the requirement of maintaining the grant records for three years from the date of the final FFR. The grantee is responsible for returning any funds that have been drawn down but remain as unliquidated on grantee financial records. Performance Progress Report (SF-PPR). Awardees are responsible for providing updated performance reports using the SF-PPR (OMB Approval Number 0970-0334) on a semi-annual basis. The SF-PPR is due within 30 days after the end of the reporting period (July 30 for the reporting period of January 1 through June 30; and January 30 for the reporting period of July 1 through December 31). Grantees should submit the SF-PPR as an attachment to the Non Disaster (ND) Grants system. The SF-PPR can be accessed online at http://www.na.fs.fed.us/fap/SF-PPR_Cover%20Sheet.pdf. Grantees will be required to report on progress towards implementing plans described in their application. Additionally, for HSGP, as part of the SF-PPR, grantees will be required to report on progress towards implementing the following performance measures:

All grantees, as part of programmatic monitoring, will be required to describe how expenditures first support maintenance and sustainment of current NPG core capabilities. For additional information on maintenance and sustainment, please see Appendix B FY 2012 HSGP Program Specific Priorities

For SHSP and UASI, grantees that maintain an emergency operations plan (EOP) are required to submit a Plan Analysis Tool annually and are required to include bi-annual updates on percentage of completion of CPG 101 v.2 compliance (for additional information, see <http://www.fema.gov/prepared/plan.shtm>)

For SHSP and UASI, grantees will report the number of people trained in a given capability to support a reported number of defined resource typed teams (e.g., 63 responders were trained in structural collapse to support 23 Type 2 USAR Teams).

For SHSP and UASI, grantees will report the total number of a defined type of resource and capabilities built utilizing the resources of this grant.

For SHSP and UASI, grantees will report what equipment was purchased and what typed capability it supports.

For SHSP and UASI, fusion centers will report on the achievement of capabilities and compliance with measurement requirements within the Maturation and Enhancement of State and Major Urban Area Fusion Centers priority through the annual Fusion Center Assessment Program managed by DHS Office of Intelligence and Analysis (I&A) and reported to FEMA.

For SHSP and UASI, grantees will submit a certification indicating the number of personnel involved in the Nationwide Suspicious Activity Reporting (SAR) Initiative (NSI) as well as the number of personnel who have completed

the required training.

For SHSP and UASI, grantees should establish a planning body and demonstrate that the membership and activities reflect the whole community. State Preparedness Report (SPR) Submittal. Section 652(c) of the Post-Katrina Emergency Management Reform Act of 2006 (Public Law 109-295), 6 U.S.C. 752(c), requires any State that receives Federal preparedness assistance to submit an SPR to FEMA. States submitted the most recent State Preparedness Report in December 2011, which meets this requirement in order to receive funding under the FY 2012 HSGP. Biannual Strategy Implementation Reports (BSIR). Grantees are responsible for completing and submitting the BSIR reports as a complement to the SF-PPR. The BSIR is due within 30 days after the end of the reporting period (July 30 for the reporting period of January 1 through June 30; and January 30 for the reporting period of July 1 through December 31). Updated obligations and expenditure information must be provided within the BSIR to show progress made toward meeting strategic goals and objectives. The first BSIR is not due until at least six months after the award notice has been received by the grantee. Federal Financial Report (FFR) required quarterly. Obligations and expenditures must be reported on a quarterly basis through the FFR (SF-425). A report must be submitted for every quarter of the period of performance, including partial calendar quarters, as well as for periods where no grant activity occurs. Future awards and fund draw downs may be withheld if these reports are delinquent. The final FFR is due 90 days after the end date of the performance period. FFRs must be filed electronically through PARS.

Initial Strategy Implementation Plan (ISIP). Following the award of HSGP grant funds, awardees will be responsible for reporting planned expenditures of the newly awarded grant funds to meet the pass-through requirement. The applicable SAAs are responsible for completing and submitting the ISIP online. The ISIP is due within 45 days of the award date.

Grant Close-Out Process. Within 90 days after the end of the period of performance, or after a Grant Adjustment Notice (GAN) has been issued to close out a grant, whichever comes first, grantees must submit a final FFR and final progress report detailing all accomplishments throughout the period of performance. After these reports have been reviewed and approved by FEMA, a close-out notice will be completed to close out the grant. The notice will indicate the period of performance as closed, list any remaining funds that will be deobligated, and address the requirement of maintaining the grant records for three years from the date of the final FFR. The grantee is responsible for returning any funds that have been drawn down but remain as unliquidated on grantee financial records.

For more information, please see the FY 2012 HSGP and THSGP Funding Opportunity Announcement. Grant recipients will be monitored periodically by FEMA staff, both programmatically and financially, to ensure that the project goals, objectives, performance requirements, timelines, milestone completion, budgets, and other related program criteria are being met. Monitoring may be accomplished through either a desk-based review or on-site monitoring visits, or both. Monitoring will involve the review and analysis of the financial, programmatic, performance, compliance and administrative processes, policies, activities, and other attributes of each Federal assistance award and will identify areas where technical assistance, corrective actions and other support may be needed. Exercise Evaluation and Improvement. Exercises implemented with grant funds should evaluate performance of the capabilities required to respond to the exercise scenario. Guidance related to exercise evaluation and the implementation of improvements is defined in the Homeland Security Exercise and Evaluation Program located at <https://hseep.dhs.gov>.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit,

or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0560-0-1-999.

Obligations:

(Formula Grants) FY 12 \$294,000,000; FY 13 est \$354,644,123; and FY 14 Estimate Not Available - HSGP:
FY 2012: 294,000,000 (SHSP), 495,376,000 (UASI), 46,600,000 (OPSG)
FY 2013: 354,644,123 (SHSP), 558,745,566 (UASI), 55,000,000 (OPSG)

THSGP:

FY 2012: 6,000,000.

Range and Average of Financial Assistance:

For more information, refer to the FY 2012 HSGP and THSGP Funding Opportunity Announcement.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

FY 2012 HSGP Funding Opportunity Announcement.

Regional or Local Office:

See Regional Agency Offices. Additional information (Please include additional information, if applicable)

FEMA Regions may also provide fiscal support, including pre- and post-award administration and technical assistance, to the grant programs included in this solicitation. For a list of contacts, please go to <http://www.fema.gov/about/contact/regions.shtm>.

Headquarters Office:

Grant Programs Directorate DHS/FEMA
5071 D Techworld Building
500 C Street SW
, Washington, District of Columbia 20472 Phone: (1-800) 368-6498

Website Address:

<http://www.fema.gov/government/grant/index.shtm>

RELATED PROGRAMS:

97.008 Urban Areas Security Initiative ; 97.042 Emergency Management Performance Grants

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

FY 2012 SHSP and UASI are non-competitive programs. For additional information on FY 2012 SHSP and UASI allocations, refer to the FY 2012 HSGP Funding Opportunity Announcement. Funds for FY 2012 OPSG will be allocated competitively. This includes the use of risk-based prioritization using CBP Sector-specific border risk to include, but not limited to: threat, vulnerability, miles of border, and other border-specific law enforcement intelligence. Each applicants final funding allocation will be determined by using a combination of the results of the risk analysis and feasibility of the Operations Orders. In order to be eligible to receive THSGP funding, grantees must be considered directly eligible Tribes. Per the 9/11 Act, the term directly eligible Tribe means

(A) any Indian Tribe

(i) that is located in the continental United States;

(ii) that operates a law enforcement or emergency response agency with the capacity to respond to calls for law enforcement or emergency services;

(iii)

a. that is located on or near (50 miles) an international border or a coastline bordering an ocean (including the Gulf of Mexico) or international waters

(excluding shoreline border around the Great Lakes);
b. that is located within 10 miles of a system or asset included on the prioritized critical infrastructure list established under section 210E(a)(2) [please refer to section 1001 of the 9/11 Act] or has such a system or asset within its territory;
c. that is located within or contiguous to one of the 50 most populous metropolitan statistical areas in the United States; or
d. the jurisdiction of which includes not less than 1,000 square miles of Indian country, as that term is defined in section 1151 of title 18, United States Code; and
(iv) that certifies to the Secretary that a State has not provided funds under section 2003 [UASI] or 2004 [SHSP] to the Indian Tribe or consortium of Indian Tribes for the purpose for which direct funding is sought; and

(B) a consortium of Indian Tribes, if each Tribe satisfies the requirements of subparagraph (A).
In summary, eligible Tribes must meet the requirements set forth in (A) (i), and (A) (ii), and (A) (iv). Tribes must also meet one of the requirements set forth in (A) (iii); either (A) (iii) (a), or (A) (iii) (b), or (A) (iii) (c), or (A) (iii) (d). Finally, (B) may also be satisfied, if each Tribe satisfies the requirements of subparagraph (A).
In FY 2012, applicants must self-certify as to whether they meet the eligibility requirements. Self-certification will be provided on the THSGP Eligibility Certification Form as part of Application Investment Justification. Any questions regarding an applicant's proximity to a Critical Infrastructure and Key Structures (CI/KR) site, as described in the eligibility criteria, may be directed to the State Administrative Agency (SAA). Any additional questions regarding the overall self-certification of eligibility process may be directed to the CSID.

97.069 AVIATION RESEARCH GRANTS

FEDERAL AGENCY:

Department of Homeland Security

AUTHORIZATION:

Homeland Security Act of 2002, Public Law 107-296, 6 U.S.C 188(b)(1)(c).

OBJECTIVES:

To encourage and support innovative, advanced, and applied research and development in areas of potential benefit to the long-term growth of civil aviation security.

TYPES OF ASSISTANCE:

Cooperative Agreements; Project Grants (Contracts); USE OF PROPERTY, FACILITIES, OR EQUIPMENT

USES AND USE RESTRICTIONS:

The grants may be used to support research and development projects at colleges, universities, nonprofit and for-profit institutions in the area of System Security Technology. Restrictions on use of funds will be identified in the announcement, program guidance and award provisions. Refer to program guidance.

Applicant Eligibility:

Public and private nonprofit institutions/organizations, colleges and universities, State, local and Indian Tribal Governments and for-profit organizations are eligible to benefit.

Beneficiary Eligibility:

Public and private nonprofit institutions/organizations (colleges and universities), State, local and Indian Tribal Governments and for-profit organizations are eligible to benefit.

Credentials/Documentation:

May be required by U.S. Statute, regulation or program guidance. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110

applies to this program. Application deadline and other information are contained in the application/program guidance.

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Refer to program guidance document.

Appeals:

None.

Renewals:

Multi-year grants or cooperative agreements are available; extensions are possible. No-cost extensions available upon request by the recipient and approval by the Grants Office.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Refer to program guidance. See the following for information on how assistance is awarded/released: Payment based on Project need.

Reports:

Refer to program guidance. No cash reports are required. Frequency of interim reporting will be identified in the funding opportunity/program guidance document. Final financial and performance reports are due 90 days after the expiration or termination of grant award. Refer to program guidance. Refer to program guidance.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantee fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0800-0-1-999.

Obligations:

(Project Grants) FY 12 \$0; FY 13 est \$0; and FY 14 est \$0 - No funding available for FY2011, FY2012, and FY 2013. The National Academy of Sciences Grant (number 04-G-045) is still active until September 30, 2013.

Range and Average of Financial Assistance:

Refer to program guidance.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Completion of Cargo Supply Chain Integrity Laboratory and Field Tests and Publication of Associated Technical Report and Market Surveys. Fiscal Year 2013: Publication of National Academy of Sciences Final

Report on Explosive Detection Systems by the National Academy Press. Fiscal Year 2014: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

OMB Circulars A-21, Cost Principles for Educational Institutions (relocated to 2 CFR, Part 220); A-87, Cost Principles for State, Local and Indian Tribal Governments (relocated to 2 CFR, Part 225); A-102, Grants and Cooperative Agreements with State and Local Governments; A-110, Uniform Administrative Requirements for Grants and Other Agreements with Institutions of Higher Education, Hospitals and Nonprofit Organizations (relocated to 2 CFR, Part 215); A-122, Cost Principles for Nonprofit Organizations (relocated to 2 CFR, Part 230); and A-133, Audits of States, Local Governments and Nonprofit Organizations. In addition to the OMB criteria, program regulations, guidelines, DHS policy and procedures will also apply.

Regional or Local Office:

See Regional Agency Offices. US Department of Homeland Security, Science and Technology Directorate
Transportation Security Laboratory, William J. Hughes Technical Center, Building 315,
Atlantic City International Airport, NJ 08405.

Headquarters Office:

Dr. Susan Hallowell W. J. Hughes Technical Center, Bldg 315, International Airport, Atlantic City, New Jersey 08405 Phone: (1-609) 813-2700 Fax: (1-609) 383-1973

Website Address:

<http://www.dhs.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the program guidance for information on criteria for selecting proposals.

97.075 RAIL AND TRANSIT SECURITY GRANT PROGRAM

TSGP/IPR

FEDERAL AGENCY:

Department of Homeland Security

AUTHORIZATION:

Implementing Recommendations of the 9/11 Commission Act of 2007, Section 1406, Public Law 110-53.

OBJECTIVES:

The FY 2013 TSGP and IPR Program play an important role in the implementation of Presidential Policy Directive 8 (PPD-8) by supporting the development and sustainment of core capabilities. Core capabilities are essential for the execution of each of the five mission areas outlined in the National Preparedness Goal (NPG). The development and sustainment of these core capabilities are not exclusive to any single level of government or organization, but rather require the combined effort of the whole community. The FY 2013 TSGP and IPR Program support all core capabilities in the Prevention, Protection, Mitigation, Response, and Recovery mission areas based on allowable costs. Examples of tangible outcomes from the TSGP and IPR Program include building and sustaining emergency management capabilities through operational activities, operational packages (OPacks), Top Transit Asset List (TTAL) remediation; protection of high risk/high consequence underwater and underground rail assets; planning; use of visible, unpredictable deterrence; emergency preparedness drills and exercises; public awareness and preparedness campaigns; and protection of other high risk, high consequences areas or systems that have been identified through system wide risk assessments.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

The TSGP and IPR Program are two of the Department of Homeland Security FY 2013 grant programs which directly support transportation infrastructure security activities. These grant programs are part of a comprehensive set of measures authorized by Congress and implemented by the Department of Homeland Security to strengthen the Nations critical infrastructure against risks associated with potential terrorist attacks. TSGP provides funds to the Nations High-Threat Urban Areas for enhancement of security measures at critical transit infrastructure including bus, ferry, and rail systems. The intent of the FY 2013 TSGP is to competitively award grant funding to assist transit systems in obtaining the resources required to support the NPGs associated mission areas and core capabilities. IPR Program provides funds to Amtrak to develop security enhancements for eligible intercity passenger rail operations. The intent of the FY 2013 IPR is to grant funding to assist the Amtrak rail system in obtaining the resources required to support the NPGs associated mission areas and core capabilities. DHS grant funds may only be used for the purpose set forth in the grant, and must be consistent with the statutory authority for the award. Grant funds may not be used for matching funds for other Federal grants/cooperative agreements, lobbying, or intervention in Federal regulatory or adjudicatory proceedings. In addition, Federal funds may not be used to sue the Federal government or any other government entity. Pre-award costs are allowable only with the written consent of DHS and if they are included in the award agreement. Federal employees are prohibited from serving in any capacity (paid or unpaid) on any proposal submitted under this program. Federal employees may not receive funds under this award. In accordance with Homeland Security Presidential Directive (HSPD)-5, Management of Domestic Incidents, the adoption of NIMS is a requirement to receive Federal preparedness assistance, through grants, contracts, and other activities. Federal FY 2011 NIMS implementation must be considered prior to allocation of any Federal preparedness awards in FY 2013. The list of objectives against which progress and achievement are assessed and reported can be found at <http://www.fema.gov/emergency/nims/ImplementationGuidanceStakeholders.htm#item2>. The primary grantee/administrator of FY 2013 TSGP award funds is responsible for determining if sub-awardees have demonstrated sufficient progress in NIMS implementation to disburse awards. Yes, in TSGP. Refer to the FY2013 TSGP FOA for more information.

Applicant Eligibility:

Agencies eligible for FY 2013 TSGP are determined based upon daily unlinked passenger trips (ridership) and transit systems that serve historically eligible Urban Area Security Initiative (UASI) jurisdictions. Certain ferry systems are eligible to participate in the FY 2013 TSGP and receive funds. However, any ferry system electing to participate and receive funds under the FY 2013 TSGP will not be eligible to participate under the FY 2013 Port Security Grant Program (PSGP) and will not be considered for funding under the FY 2013 PSGP. Likewise, any ferry system that participates in the PSGP will not be eligible for funding under the TSGP. The 9/11 Act requires that high risk public transportation agencies, including those that receive grant funding, must develop a security plan based on a security assessment. Additionally, the legislation directs that grant funds be used to address items in the security assessment or the security plan. In order to be eligible for the FY 2013 TSGP, transit agencies must have developed and/or updated their security plan, which must be based on a security assessment such as the Baseline Assessment and Security Enhancement (BASE) performed by Transportation Security Inspectors-Surface from the Transportation Security Administration (TSA), within the last three years. A copy of the Security Plan and security assessment must be available for DHS review upon request. Entities providing transit security (e.g., city/county police department, transit agency's own police department) for a transit agency must approve the security plan. The signature of a responsible official from the agency's transit security provider serves as this approval. If there is more than one provider in the core service area, all transit security providers must review and concur with the plan. Associated documentation of this approval must be provided to DHS upon request. In addition, the agency's transit security provider is encouraged to review the Investment Justifications (IJ) prior to submission. Each Regional Transit Security Working Group (RTSWG) is required to develop and update at least every three years a Regional Transit Security Strategy (RTSS). As the owners and/or operators of infrastructure that is vital to the well being of the States and Urban Areas they serve, it is imperative that transit systems be incorporated

into regional preparedness planning efforts. A copy of the Security Plan or security assessment must be available for DHS review upon request. The National Passenger Railroad Corporation (Amtrak) is the only entity eligible to apply for funding under FY 2013 IPR Program. For more information, refer to the FY2013 TSGP and IPR Program FOAs., National Incident Management System (NIMS) Implementation. In accordance with Homeland Security Presidential Directive (HSPD)-5, Management of Domestic Incidents, the adoption of NIMS is a requirement to receive Federal preparedness assistance, through grants, contracts, and other activities. Prior to allocation of any Federal preparedness awards in FY 2013, grantee must ensure compliance and/or alignment with FY 2011 NIMS implementation plan. The list of objectives against which progress and achievement are assessed and reported can be found at <http://www.fema.gov/emergency/nims/ImplementationGuidanceStakeholders.shtm#item2>. The primary grantee/administrator of FY 2013 TSGP and IPR Program award funds is responsible for determining if sub-awardees have demonstrated sufficient progress in NIMS implementation to disburse awards.

Beneficiary Eligibility:

Specialized group; general public.

Credentials/Documentation:

In order to be eligible for the FY 2013 TSGP, transit agencies must have developed and/or updated their security plan, which must be based on a security assessment such as the Baseline Assessment and Security Enhancement (BASE) performed by Transportation Security Inspectors-Surface from the Transportation Security Administration (TSA), within the last three years. A copy of the Security Plan and security assessment must be available for DHS review upon request. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Yes for TSGP. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Agencies eligible for FY 2013 TSGP are determined based upon daily unlinked passenger trips (ridership) and transit systems that serve historically eligible Urban Area Security Initiative (UASI) jurisdictions. Certain ferry systems are eligible to participate in the FY 2013 TSGP and receive funds. However, any ferry system electing to participate and receive funds under the FY 2013 TSGP will not be eligible to participate under the FY 2013 Port Security Grant Program (PSGP) and will not be considered for funding under the FY 2013 PSGP. Likewise, any ferry system that participates in the PSGP will not be eligible for funding under the TSGP. The 9/11 Act requires that high risk public transportation agencies, including those that receive grant funding, must develop a security plan based on a security assessment. Additionally, the legislation directs that grant funds be used to address items in the security assessment or the security plan. In order to be eligible for the FY 2013 TSGP, transit agencies must have developed and/or updated their security plan, which must be based on a security assessment such as the Baseline Assessment and Security Enhancement (BASE) performed by Transportation Security Inspectors-Surface from the Transportation Security Administration (TSA), within the last three years. A copy of the Security Plan and security assessment must be available for DHS review upon request. Entities providing transit security (e.g., city/county police department, transit agency's own police department) for a transit agency must approve the security plan. The signature of a responsible official from the agency's transit security provider serves as this approval. If there is more than one provider in the core service area, all transit security providers must review and concur with the plan. Associated documentation of this approval must be provided to DHS upon request. In addition, the agency's transit security provider is encouraged to review the Investment Justifications (IJ) prior to submission. Each Regional Transit Security Working Group (RTSWG) is required to develop and update at least every three years a Regional Transit Security Strategy (RTSS). As the owners and/or operators of infrastructure that is vital to the well being of the States and Urban Areas they serve, it is imperative that

transit systems be incorporated into regional preparedness planning efforts. A copy of the Security Plan or security assessment must be available for DHS review upon request.

The National Passenger Railroad Corporation (Amtrak) is the only entity eligible to apply for funding under FY 2013 IPR Program.

For more information, refer to the FY2013 TSGP and IPR Program FOAs.

Address to Request Application Package

FEMA makes all funding opportunities available on the Internet at <http://www.grants.gov>. If you experience difficulties accessing information or have any questions please call the Grants.gov customer support hotline at (800) 518-4726.

Application forms and instructions are available at Grants.gov. To access these materials, go to <http://www.grants.gov>, select Apply for Grants, then select the CFDA number (97.075) or the FOA number (DHS XX-XXX-XXX-XX-XX) and Download Application Package. Enter the CFDA and/or the funding opportunity number located on the cover of this announcement. Select Download Application Package, and then follow the prompts to download the application package. To download the instructions, go to Download Application Package and select Instructions.

Content and Form of Application

Application via Grants.gov. All applicants must file their applications using the Administrations common electronic storefront <http://www.grants.gov>. Eligible grantees must apply for funding through this portal, accessible on the Internet at <http://www.grants.gov>.

The application must be started and submitted using grants.gov after Central Contractor Registration (CCR) is confirmed. The on-line application includes the following required form:

Standard Form 424, Application for Federal Assistance

Applying for FY 2013 TSGP and IPR Program funds require a two-step process. Step 1: initial submission to determine eligibility and Step 2: full application. Applicants are encouraged to initiate Step 1 as soon after the FOA is published but no later than June 17, 2013. This involves submitting a complete Standard Form 424 to www.grants.gov. The Standard Form 424 will be retrieved by ND Grants and the system will automatically populate the relevant data fields in the application. Successful completion of this step is necessary for FEMA to determine eligibility of the applicant. Late submissions to grants.gov to complete Step 1 could result in applicants missing the application deadline in Step 2. Once FEMA has determined an applicant to be eligible, applicants can proceed to Step 2 which involves submitting the full application package via the ND Grants system. The submission deadline for the full application package is June 24, 2013.

The application must be completed and final submission made through the ND Grants system located at <https://portal.fema.gov>. If you need assistance registering for the ND Grants system, please contact ndgrants@fema.gov or (800) 865-4076. Applicants are encouraged to begin their ND Grants registration at the time of solicitation to ensure they have adequate time to start and complete their application submission. Unless otherwise referenced, the ND Grants system includes the following required forms and submissions:

- Standard Form 424A, Budget Information (Non-construction)
- Standard Form 424B, Standard Assurances (Non-construction)
- Standard Form 424C, Budget Information (Construction)
- Standard Form 424D, Standard Assurances (Construction)
- Standard Form LLL, Disclosure of Lobbying Activities (if the grantee has engaged or intends to engage in lobbying activities)
- Grants.gov (GG) Lobbying Form, Certification Regarding Lobbying
- FEMA Form 20-16C, Certifications Regarding Lobbying; Debarment, Suspension and Other Responsibility Matters; and Drug-Free Workplace Requirements (available at: <http://www.fema.gov/library/viewRecord.do?id=2628>).
- Investment Justification (OMB 1660-0112/FEMA Form 089-4)

The program title listed in the CFDA is Rail and Transit Security Grant Program. The CFDA number is 97.075.

Dun and Bradstreet Data Universal Numbering System (DUNS) Number. The applicant must provide a DUNS number with their application. This number is a required field within <http://www.grants.gov> and for CCR. Organizations should verify that they have a DUNS number, or take the steps necessary to obtain one, as soon as possible. Applicants can receive a DUNS number at no cost by calling the dedicated toll-free DUNS Number request line at (866) 705-5711.

Valid CCR. The application process also involves an updated and current registration by the applicant, which must be confirmed at <http://www.ccr.gov>.

Applicants will obtain FOA Overviews and Full Announcement information from the [grants.gov](http://www.grants.gov) website where the full FOA is posted.

Award Procedure:

All successful applicants for all DHS grant and cooperative agreements are required to comply with DHS Standard Administrative Terms and Conditions available on page 6 of <http://www.dhs.gov/xlibrary/assets/cfo-financial-management-policy-manual.pdf>.

Upon approval of an application, the award will be made in the form of a grant. The date the approval of award is entered in the system is the award date. Notification of award approval is made through the ND Grants system through an automatic e-mail to the grantee point of contact listed in the initial application. Once an award has been approved and recorded in the system, a notice is sent to the authorized grant official. Follow the directions in the notification to accept your award documents. The authorized grant official should carefully read the award package for instructions on administering the grant and to learn more about the terms and conditions associated with responsibilities under Federal awards.

Deadlines:

May 21, 2013 to Jun 24, 2013 Application Start Date: 05/21/2013
Application Submission Deadline Date: 06/24/2013 at 11:59:59 PM EDT
Anticipated Funding Selection Date: 08/15/2013
Award Date: 09/30/2013.

Range of Approval/Disapproval Time:

Applying for FY 2013 TSGP and IPR Program funds require a two-step process. Step 1: initial submission to determine eligibility and Step 2: full application. Applicants are encouraged to initiate Step 1 immediately after the FOA is published but no later than June 17, 2013. This involves submitting a complete Standard Form 424 to [grants.gov](http://www.grants.gov). Successful completion of this step is necessary for FEMA to determine eligibility of the applicant. Late submissions of Step 1 to [grants.gov](http://www.grants.gov) could result in applicants missing the application deadline in Step 2. Once FEMA has determined an applicant to be eligible, applicants can proceed to Step 2 which involves submitting the full application package via the Non Disaster (ND) Grants system. The submission deadline for the full application package is June 24, 2013.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching requirements are not applicable to this program.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The period of performance for TSGP is 24 months. The period of performance for the IPR Program is 24 months. Refer to FY2013 TSGP or IPR Program FOA for more information. Awards are subject to the Cash Management

Improvement Act for payment and/or reimbursement of expenditures.

Projected Award Start Date(s): 09/30/2013

Projected Award End Date(s): 09/30/2015

Period of Performance: 24 months

The periods of performance outlined above support the effort to expedite the outlay of grant funding and provide economic stimulus. The grantee should request waivers sparingly, and they will be granted only due to compelling legal, policy, or operational challenges. For example, the grantee may request waivers from the deadlines outlined above for discretionary grant funds where adjusting the timeline for spending will constitute a verifiable legal breach of contract by the grantee with vendors or sub-recipients, or where a specific statute or regulation mandates an environmental review that cannot be completed within this timeframe or where other exceptional circumstances warrant a discrete waiver. See the following for information on how assistance is awarded/released: Grantees must accept their grant awards no later than 90 days from the award date. The grantee shall notify the awarding agency of its intent to accept and proceed with work under the award, or provide a written notice of intent to decline. Funds will remain on hold until the grantee accepts the award through official correspondence, e.g., written, electronic signature, signed letter or fax to GPD, and all other conditions of award have been satisfied, or the award is otherwise rescinded. Failure to accept the grant award within the 90 day timeframe may result in a loss of funds.

Reports:

Performance Progress Report (SF-PPR). Awardees are responsible for providing updated performance reports using the SF-PPR (OMB Approval Number 0970-0334) on a semi-annual basis. The SF-PPR is due within 30 days after the end of the reporting period (July 30 for the reporting period of January 1 through June 30; and January 30 for the reporting period of July 1 through December 31). Grantees should submit the SF-PPR as an attachment to the Non Disaster (ND) Grants system. The SF-PPR can be accessed online at http://www.na.fs.fed.us/fap/SF-PPR_Cover%20Sheet.pdf.

Exercise Evaluation and Improvement. Exercises implemented with grant funds should evaluate performance of the capabilities required to respond to the exercise scenario. Guidance related to exercise evaluation and the implementation of improvements is defined in the Homeland Security Exercise and Evaluation Program located at <https://hseep.dhs.gov>.

Monitoring. Grant recipients will be monitored periodically by FEMA staff, both programmatically and financially, to ensure that the project goals, objectives, performance requirements, timelines, milestone completion, budgets, and other related program criteria are being met.

Monitoring may be accomplished through either a desk-based review or on-site monitoring visits, or both. Monitoring will involve the review and analysis of the financial, programmatic, performance, compliance and administrative processes, policies, activities, and other attributes of each Federal assistance award and will identify areas where technical assistance, corrective actions and other support may be needed. Federal Financial Report (FFR) required quarterly. Obligations and expenditures must be reported on a quarterly basis through the FFR (SF-425). A report must be submitted for every quarter of the period of performance, including partial calendar quarters, as well as for periods where no grant activity occurs. Future awards and fund draw downs may be withheld if these reports are delinquent. The final FFR is due 90 days after the end date of the performance period. FFRs must be filed electronically through PARS.

Grant Close-Out Process. Within 90 days after the end of the period of performance, or after a Grant Adjustment Notice (GAN) has been issued to close out a grant, whichever comes first, grantees must submit a final FFR and final progress report detailing all accomplishments throughout the period of performance. After these reports have been reviewed and approved by FEMA, a close-out notice will be completed to close out the grant. The notice will indicate the period of performance as closed, list any remaining funds that will be deobligated, and address the requirement of maintaining the grant records for three years from the date of the final FFR. The grantee is responsible for

returning any funds that have been drawn down but remain as unliquidated on grantee financial records. TSGP and IPR Program awardees are responsible for providing updated performance reports using the SF-PPR (OMB Approval Number 0970-0334) on a semi-annual basis. The SF-PPR is due within 30 days after the end of the reporting period (July 30 for the reporting period of January 1 through June 30; and January 30 for the reporting period of July 1 through December 31). The SF-PPR can be accessed online at http://www.na.fs.fed.us/fap/SF-PPR_Cover%20Sheet.pdf. Federal Financial Report (FFR) required quarterly. Obligations and expenditures must be reported on a quarterly basis through the FFR (SF-425). A report must be submitted for every quarter of the period of performance, including partial calendar quarters, as well as for periods where no grant activity occurs. Future awards and fund draw downs may be withheld if these reports are delinquent. The final FFR is due 90 days after the end date of the performance period. FFRs must be filed electronically through PARS.

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Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0560-0-1-999.

Obligations:

(Project Grants) FY 12 \$97,500,000; FY 13 est \$93,207,813; and FY 14 est \$95,000,000 - FY2011: 200,079,000 (TSGP), 19,960,000 (IPR)
FY2012: 87,500,000 (TSGP); 10,000,000 (IPR)
FY2013: 83,716,813 (TSGP) ; 9,490,313 (IPR).

Range and Average of Financial Assistance:

For more information, refer to the FY2012 TSGP and IPR Program FOAs.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: In FY 2012, 52 applications (173 projects) were received, requesting \$277,368,497 in funding. Of this, 26 applications (60 projects) were

awarded funding totaling \$87,500,000. Fiscal Year 2013: No Current Data Available. Fiscal Year 2014: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

The FY 2013 Transit Security Grant Program Funding Opportunity Announcement (TSGP FOA) and FY 2013 Intercity Passenger Rail Funding Opportunity Announcement (IPR Program FOA).

All successful applicants for all DHS grant and cooperative agreements are required to comply with DHS Standard Administrative Terms and Conditions available within Section 6.1.1 of <http://www.dhs.gov/xlibrary/assets/cfo-financial-management-policy-manual.pdf>.

Upon approval of an application, the award will be made in the form of a grant. The date the approval of award is entered in the system is the award date. Notification of award approval is made through the ND Grants system through an automatic e-mail to the grantee point of contact listed in the initial application. Once an award has been approved and recorded in the system, a notice is sent to the authorized grant official. Follow the directions in the notification to accept your award documents. The authorized grant official should carefully read the award package for instructions on administering the grant and to learn more about the terms and conditions associated with responsibilities under Federal awards.

Regional or Local Office:

None.

Headquarters Office:

Grant Programs Directorate DHS/FEMA
5071 D Techworld Building
500 C Street SW
, Washington, District of Columbia 20472-3615 Phone: (1-800) 368-6498

Website Address:

<http://www.fema.gov/government/grant/index.shtm>

RELATED PROGRAMS:

97.056 Port Security Grant Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

TSGP grantees must comply with all administrative requirements described herein ― including the submission of IJs, budgets, and other application materials as required. Having met all administrative requirements, the application will be scored based on a methodology that will ensure consistent and accurate evaluations of projects and proposals.

The following process will be used to make awards for the program:

DHS will verify compliance with each of the administrative and eligibility criteria identified in the application kit.

The Department will calculate a total score to aid with funding selections.

The total score will consist of four individual parts: an agency-based risk score, a score associated with an applications alignment to the funding priority areas, a score based on project risk mitigation, and the degree of regional collaboration.

Eligible applications will be reviewed by a panel to determine the risk mitigation and regional collaboration scores. This panel will consist of Federal officials from multiple government agencies that will review each application and score it based on the following criteria: cost effectiveness, feasibility, timelines, and sustainability without additional Federal funds.

FEMA and TSA will make recommendations for funding to the Secretary of Homeland Security based on the funding priorities and scoring methodology.

For IPR Program, based on previously cited statutory authorities, DHS has elected to enter into a cooperative agreement with Amtrak. The nature of the Federal involvement in the execution of this program may include joint participation in a project. Other examples of prospective substantial Federal involvement include the following:

Collaboration, participation, and/or intervention in any activity covered by the

cooperative agreement

Approval from DHS prior to the selection of a new project or commencement of the next phase of an approved project

Authority for DHS to halt an activity if detailed performance specifications are not met

Authority for DHS to direct or redirect the scope of work of a project based on new circumstances

Authority for DHS to create a working group of key Federal and private sector stakeholders to provide guidance and coordination for transit security activities

Funds for both programs will not be made available for obligation, expenditure, or drawdown until the applicants budget and budget narrative have been approved by FEMA. The applicant must provide a detailed budget for the funds requested. The detailed budget must be submitted with the grant application as a file attachment within <http://www.grants.gov>. The budget must be complete, reasonable, and cost-effective in relation to the proposed project. The budget should provide the basis of computation of all project-related costs, any appropriate narrative, and a detailed justification of Management and Administration (M&A) costs.

97.076 CYBERTIPLINE

FEDERAL AGENCY:

Department of Homeland Security

AUTHORIZATION:

Department of Homeland Security Appropriations Act, Title IV, Section 441 (4), Public Law 107-296.

OBJECTIVES:

To support investigative and forensics activities related to investigations of exploited children, promote awareness of the child pornography tip line provide training to assist and respond appropriately and, in conjunction with other Federal law enforcement agencies, support the establishment and maintenance of a national resource center and clearinghouse dedicated to missing and exploited children issues. The Cyber Crimes Center (C3) specifically liaisons with non-profit organizations other federal agencies and various state and local law enforcement in an effort to arrest and convict criminals of sexual crimes, rescue child victims, shut down cyber websites promoting these crimes and to assist in safeguarding cyberspace. These crimes represent an alternate type of terrorism that is inflicted upon our nation as a whole daily. The objective supports the mission areas of the PPD-8 as well as assessing the gaps in the Internet and is not restricted to any single level of government, law enforcement or organization, but use the combined efforts in prevention, protection, mitigation, response and recovery to ensure a safer, secure Internet and homeland.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

The CyberTipline award is designated for a private, nonprofit organization (NPO) which meets Congress objectives and programmatic criteria for preventing the abduction, abuse, and sexual exploitation of children; and/or assisting law enforcement agencies in the investigation and recovery of those children. Refer to program guidance.

Applicant Eligibility:

Nonprofits having a 501(c)(3), other than institutions of higher education.

Beneficiary Eligibility:

General public.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Application deadline and other information are contained in the application/program guidance.

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Refer to program guidance document.

Appeals:

None.

Renewals:

An annual appropriation is anticipated for this program.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching requirements are not applicable to this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Refer to program guidance. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures. See the following for information on how assistance is awarded/released: Payment based on project need.

Reports:

Grantees must submit annual and final reports. Cash reports are not applicable. Grantees must submit annual and final reports. Refer to program guidance. See program guidance.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of three (3) years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0400-0-1-751.

Obligations:

(Project Grants) FY 12 \$305,000; FY 13 est \$305,000; and FY 14 est \$305,000

Range and Average of Financial Assistance:

Amount may vary.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: The FY2012 Grant is currently ongoing and program accomplishments have not been fully recorded however NCMEC continuously distributes publications to provide awareness to the public and how to report crimes against children. NCMEC also continues to provide training to law enforcement officers and prosecutors regarding online crimes against children and sexual abuse issues. NCMECs CyberTipline and web page continue to

receive thousands of reports of child pornography, child sexual exploitation and missing children. Fiscal Year 2013: No Current Data Available. Fiscal Year 2014: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

For additional information on program requirements and guidance, please contact the headquarters office at the address listed below.

Regional or Local Office:

None.

Headquarters Office:

Margie Jones 11320 Random Hills Rd., Suite 400, Fairfax, Virginia 22030
Email: Margie.m.jones@ice.dhs.gov Phone: (703) 293-9207

Website Address:

www.dhs.gov

RELATED PROGRAMS:

16.543 Missing Children's Assistance

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Application is reviewed by the DHS/ICE/HSI Cyber Crimes Center and subject matter experts. Application is evaluated according to the program objectives and goals established by Congress.

97.077 HOMELAND SECURITY RESEARCH, DEVELOPMENT, TESTING, EVALUATION, AND DEMONSTRATION OF TECHNOLOGIES RELATED TO NUCLEAR THREAT DETECTION FEDERAL AGENCY:

Department of Homeland Security

AUTHORIZATION:

Homeland Security Act of 2002, Section 1906, Public Law 107-296, V U.S.C 501(a).

OBJECTIVES:

Support the PPD-8 objective of prevention related to terrorist acts involving nuclear or radiological materials, or weapons using these materials. Support basic and applied research to develop new capabilities to combat the threat of nuclear terrorism, develop intellectual capital in fields relevant to nuclear threat detection and advance fundamental knowledge for nuclear detection and related sciences. The Academic Research Initiative (ARI) is intended to support research at accredited institutions of higher learning across the country, and the Exploratory Research Program supports research within industry and academia. SETCP is intended for conducting research, testing, evaluation, & demonstration of technologies related to nuclear detection to identify, counter, or respond to terrorist threats.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Financial assistance under the ARI may be used for the execution of research at accredited universities and colleges. Financial assistance under the ER may be used to sponsor research within industry and academia. Supported costs include: salaries, materials and supplies, equipment, travel, publication costs, subcontractor, supporting costs and overhead required for technical and other activities necessary to achieve research objectives. Restrictions on use of funds will be identified in the announcement, program guidance and award provisions. Refer to announcement, program guidance and award provisions.

Applicant Eligibility:

Eligible Applicants: The ARI is limited to State, public or private accredited institutions of higher education. The ER Program supports State, Public nonprofit institution/organizations; Private nonprofit institution/organizations; Small businesses; Profit organizations and Other private institution/organizations.

Beneficiary Eligibility:

ARI: State, public or private accredited institutions of higher education; Scientists/Researcher; Graduate Student; Education (13+)

Exploratory Research: Public Nonprofit Institution/Organization; Small Business; Profit Organization; Private Organization; Other Private Institution/organization; Scientists/Researcher; State, public or private accredited institutions of higher education.

SETCP: 10: Federal; 14 State; 15 Local; 20 public non-profit; 21 other; 22 Federally recognized Indian Tribal Governments; 31 Individual/Family; 35 profit organization; 36 private non-profit organizations.

Credentials/Documentation:

May be required by U.S. Statute, regulation, or as described in the program announcement. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Application deadline and other information are contained in the application/program guidance.

Award Procedure:

Proposals are submitted in response to announcements. Details of proposal review and selection criteria are contained within these announcements. ARI proposals are reviewed and recommended for award by panels of independent subject matter experts. ER proposals are reviewed and recommended for award by DHS program and administrative staff. Upon source selection, awards are issued by the National Science Foundation (for ARI) or DHS (for ER).

SETCP Applications are reviewed by DHS program and administrative staff. Any issues or concerns noted in the application will be negotiated with successful applicant prior to the award being issued.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

180 to 270 days.

Appeals:

Not Applicable.

Renewals:

Contingent upon future Congressional funding. Renewal of an award to increase funding or extend the period of performance is at the sole discretion of the Department of Homeland Security.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. This program has no matching requirements. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Refer to announcement and program guidance. For ARI, awards are made for annual budget periods, with a non-competing continuation application required for each budget period that comprises the awarded project duration. Funds awarded must be expended within each budget period. For ER, funds are awarded by research phase, with generally 3-5 phases and phase durations appropriate to the effort. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures. See the following for information on how assistance is awarded/released: Awards are paid in advance using the U.S. Department of Health and Human Services/Payment Management System (SMARTLINK), provided it maintains or demonstrates the willingness and ability to maintain procedures to minimize the time elapsing between the transfer of the funds from the DHS and expenditure disbursement by the Recipient. When these requirements are not met, the Recipient will be required to be on a reimbursement for costs incurred method.

Reports:

For ARI, performance reports are required as specified in the terms and conditions of the award. For ER, reports may include, but are not limited to, the following: monthly performance reports, quarterly review reports, and end of phase reports. Refer to program guidance. For ARI, performance reports are required as specified in the terms and conditions of the award. "Progress Reports" must include the progress of each sub-grant award. Reports are due 30 days after the end of each six months, with performance reports due 90 days after the expiration or termination of grant award. For ER, reports may include, but are not limited to, the following: monthly performance reports, quarterly review reports, and end of phase reports. For SETCP, progress reports are required. Refer to program guidance. For ARI, Federal Financial Reports are required as specified in the terms and conditions of the award. For SETCP, expenditure reports are required. Refer to program guidance. Yes. Performance may be evaluated through on-site reviews of the research activity.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0860-0-1-999.

Obligations:

(Project Grants (Cooperative Agreements or Contracts)) FY 12 \$8,774,117; FY 13 est \$10,418,289; and FY 14 est \$9,717,899 - Amounts are for awarded grants.

FY 2013 Estimate: \$10,418,289 (of which \$3M is for new awards made by NSF)

FY 2014 Estimate: \$9,717,899 (of which \$3M is for new awards made by NSF).

Range and Average of Financial Assistance:

See announcement and program guidance.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: In FY 2012, the ARI program sponsored 41 grants (six of which are collaborative), at 34 universities, supported over 150 students, and involved a total of 230 students. It resulted in 32 papers as reported by mid-year. The ARI program portfolio encompasses a range of research in the following topic areas:

- Science and engineering of radiation detector materials- Science and engineering of nuclear detection system concepts, approaches, and architectures
- Science and engineering of alternative neutron detection technologies
- Science and engineering of shielded SNM detection technologies, signatures, and sources
- Expert systems, networks, models, algorithms, and data processing for nuclear detection research
- Science and engineering of nuclear forensics technologies

No new research solicitation was issued in 2012 due to limited resources. Fiscal Year 2013: The ARI will continue to support 23 continuing grants in FY13, conducting research in the fields of advanced materials, neutron detection, algorithms and modeling, passive nuclear detection techniques, shielded special nuclear material detection, and forensics. The ARI also plans to release a new

solicitation to academia in 2013 to solicit new research projects through the National Science Foundation. These topic areas are based on current research needs and will likely be modified before release in 2013 based on events and discoveries in 2012. It is anticipated that this solicitation will result in 8 new projects.

- Scientific and engineering approaches for extremely low-cost monitoring for radiological and nuclear threats
- Deterrence theory and analytics
- Scientific and engineering of threat detection through data fusion, informatics and/or non-radiological signal/signature exploitation
- Integrated multidisciplinary approaches to clear objects from nuclear threats
- Science and engineering of nuclear forensics. Fiscal Year 2014: The ARI program is projected to support 21 continuing grants in 2014, as well as issue a new research solicitation through the NSF that could result in up to 10 additional awards. The research solicitation topics will be determined from the mission needs of DNDO and discovery made by existing ARI and exploratory research projects in FY13.

REGULATIONS, GUIDELINES, AND LITERATURE:

OMB Circulars Nos., A-21 Cost Principles for Educational Institutions, A-87 Cost Principles for State, Local and Indian Tribal Governments, A-102 Grants and Cooperative Agreements with State and Local Governments, A-110, Uniform Administrative Requirements for Grants and Other Agreements with Institutions of Higher Education, Hospitals and Nonprofit Organizations, and A-133 Audits of States, Local Governments, and Nonprofit Organizations, in addition to program regulations, guidelines, DHS policy and procedures. Additional regulations are indicated in program announcement and award terms and conditions.

Regional or Local Office:

None.

Headquarters Office:

DHS, Domestic Nuclear Detection Office 245 Murray Lane, SW, DNDO Stop 0550, Washington, District of Columbia 20528-0550 Phone: (202) 254-7629

Website Address:

<http://www.dhs.gov/about-domestic-nuclear-detection-office>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

- Fiscal Year 2012: A structural chemistry approach to non-proportionality in ceramic and single crystal scintillators [Stanford]
- Informatics-based design, jetting doping technology, and atomic characterization of novel scintillators [ISU, Case Western]
- Develop new scintillators for gamma ray and neutron detection with low-cost novel detection materials [U of TN, SCSU]
- Develop enhanced CMT/CZT detectors with improved material performance through detailed modeling of the crystal growth [AAMU, UMN]
- Develop scintillator detector materials that combines nanophosphors (LaF3:Ce/Tb, BaF2:Ce), optics, and photodiodes [Georgia Tech]
- Develop core-shell scintillating nanostructures with LaF3:Ce3+ nanoparticles on CdTe quantum dots [U of TX Arlington]
- Develop green liquid scintillator suitable for use in large volume gamma ray and neutron detectors [Temple]
- Evaluation of BiFeO3, BVO, and PbO as high-Z oxide semiconductors, assessment of charge trapping [UCB, Naval Postgraduate School]
- Investigate ternary thallium chalcogenides (TlGaSe2, Tl2Au4S3, Tl3SbS3) as room temperature semiconductor -ray detectors [Northwestern]
- Improved traveling heater method and annealing for CZT growth [Fisk, WSU]
- Pioneering the crystal growth (Ca3:Eu & CsCaCl3:Eu) of large high-resolution scintillators [U of TN]
- Develop very large area, high-sensitivity neutron detection system using new thin-film materials with potential to replace 3He technology with a solid state system of comparable efficiency and possibly 10X less cost [UT Dallas, ASU]
- Study of hexagonal boron nitride semiconductor as a thermal neutron detector [Texas Tech, KSU]
- Large area multi-wire proportional chambers containing a neutron converter in the form of a thin Li-6 metal foil [BU]
- Develop and characterize new Li-6 based polymeric nanocomposite neutron

scintillators with an emphasis on improved light output and efficiency [U of TN]

Meld gas electron multiplication technology with silicon pixel electronics to produce a directional fast neutron detector [U of HA]

Investigate novel fast neutron detection systems based on innovative organic scintillators, custom electronics and analysis algorithms [Michigan]

Investigate large volume superheated emulsion detector for variable threshold fast neutron detection [Yale]

Establish a framework to develop and employ novel detection systems focused on interdicting shielded HEU [Texas A&M]

Investigate a semiconductor-scintillator with two-sided detection providing a reliable measure of deposited energy and position [SUNY]

Fabricate and characterize a graphene-based gamma ray detector [Purdue]

Employment of high-resolution Si devices to measure electron tracks for advanced Compton imaging [UCB]

Gamma Ray Imaging of Special Nuclear Materials with a Liquid Xenon Time Projection Chamber [Yale]

Advance gamma-ray imaging technologies, including background radiation mapping and development of predictive models for backgrounds at locations and times [UCB]

Develop a high-rate, high-resolution, radiation damage intensive, liquid Krypton gamma-ray detector for use in high-radiation environments [Purdue]

Develop a stochastic optimization model yielding optimal time-phased deployment of detectors under range of smuggler path-selection mechanisms [UT Austin]

Graph theory based, optimal, most efficient segmentation / clustering the target of interest utilizing visual and radiometric data for identifying RDD and its carrier [UCB]

Apply machine learning (supervised detection, supervised classification, anomaly detection,) to facilitate mobile search/detection performance [Carnegie-Mellon]

Integrated real-time imaging and isotope detection algorithms for γ -ray imaging spectrometers [U of MI]

Continue the search for Nuclear Resonance Fluorescence (NRF) data involving measurement of total gamma-ray cross sections for photon radiography as well as a new method for interrogating special nuclear materials based upon polarized (g,n) asymmetries [Duke]

Understand new fast-neutron imaging technique which employ accurate time-of-flight and the directionality of an interrogating neutron source with an associated particle detector [U of TN]

Investigate high power lasers for generation of compact electron and gamma-ray beams [U of MI]

Real-time, high resolution, high contrast images of concealed SNM by using differential absorption measurements in targets [U of HA]

Use of laser cooling to extend the peak current and duty cycle of microwave thermionic guns [U of HA]. Fiscal Year 2013: No Current Data Available. Fiscal Year 2014: No Current Data Available.

CRITERIA FOR SELECTING PROPOSALS:

Refer to announcement and program guidance. In general, ARI proposals are reviewed for intellectual merit and broader impact. ER proposals are reviewed for transformational impact, technical approach, capability and experience, and management approach.

97.078 BUFFER ZONE PROTECTION PROGRAM (BZPP)

(BZPP)

FEDERAL AGENCY:

Department of Homeland Security

AUTHORIZATION:

Department of Homeland Security Appropriations Act, 2010 (Public Law 111-83) and the Homeland Security Act of 2002 (6 U.S.C. Section 101 et seq.).

OBJECTIVES:

The FY 2010 Buffer Zone Protection Program (BZPP), provides funds to increase the preparedness capabilities of jurisdictions responsible for the safety and security of communities surrounding high-priority Critical Infrastructure and Key Resource (CIKR) assets through planning and equipment acquisition.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

BZPP grant awards will be administered by the respective State Administrative Agency (SAA) and may be used for planning, equipment, and management of protective actions relating to the program objectives. The SAA will allocate program funds to the jurisdiction(s) responsible for the safety and security of the communities in which CI/KR sites are located. For additional information concerning BZPP grant award uses and restrictions, please refer to the program solicitation guidance. All BZPP sites have been selected prior to the grant announcement based on the risk of the individual sites themselves. Therefore, FY 2010 BZPP funding allocated to any given state or territory is entirely a function of the number, type and character of pre-identified higher-risk sites within their respective jurisdictions; there are no discretionary sites. Refer to program guidance. Refer to program guidance.

Applicant Eligibility:

States, as defined in the Homeland Security Act of 2002, means "any State of the United States, the District of Columbia, the Commonwealth of Puerto Rico, the Virgin Islands, Guam, American Samoa, the Commonwealth of the Northern Mariana Islands, and any possession of the United States." The State's SAA is the only agency eligible to apply for BZPP grant funds and is responsible for obligating BZPP grant awards to the responsible jurisdiction(s) that have authority over the identified CIKR sites. The term "responsible jurisdiction" as used in the BZPP program guidance and application kit, refers to the primary agency (i.e. State, local, or tribal entity or unit of government), that has authority over the DHS identified CIKR facility and its buffer zone surrounding the facility.

Beneficiary Eligibility:

State and local governmental jurisdiction(s) responsible for the CI/KR sites.

Credentials/Documentation:

States, as defined in the Homeland Security Act of 2002, means "any State of the United States, the District of Columbia, the Commonwealth of Puerto Rico, the Virgin Islands, Guam, American Samoa, the Commonwealth of the Northern Mariana Islands, and any possession of the United States. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Application deadline and other information are contained in the application/program guidance.

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Refer to program guidance document.

Appeals:

none.

Renewals:

Funding is subject to reallocation each year, and may be consolidated with other programs.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. Based on project need.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Refer to program guidance. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures. See the following for information on how assistance is awarded/released: Refer to program guidance.

Reports:

Federal Financial Reports (FFR) are required quarterly, which is due within 30 days of the end of each calendar quarter and Semi-Annual Assistance Progress Reports (SAPR) is due on a semi-annual basis. Refer to program guidance.

Refer to program guidance. Refer to program guidance. Refer to program guidance.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than nine months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0560-0-1-999.

Obligations:

(Project Grants) FY 12 \$0; FY 13 est \$0; and FY 14 est \$0 - Program was last funded in FY2010. This program will remain open due to open awards.

Range and Average of Financial Assistance:

Depending upon the assessment by DHS of the needs of identified CIKR facility; \$200,000 to \$5,200,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

For additional information on program requirements and guidance, please refer to the BZPP Program Guidelines and Application Kit.

Regional or Local Office:

None.

Headquarters Office:

Department of Homeland Security, Grant Programs Directorate 500 C Street, SW, Washington, District of Columbia 20472-3625 Email: askcsid@dhs.gov Phone: (800) 368-6498

Website Address:

<http://www.fema.gov>

RELATED PROGRAMS:

97.008 Urban Areas Security Initiative

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the program guidance for information on criteria for selecting proposals.

97.080 INFORMATION ANALYSIS INFRASTRUCTURE PROTECTION**(IAIP) AND CRITICAL INFRASTRUCTURE MONITORING AND PROTECTION****FEDERAL AGENCY:**

Department of Homeland Security

AUTHORIZATION:

Department of Homeland Security Act, Public Law 107-296; Consolidated Security, Disaster Assistance, and Continuing Appropriations Act 2009, Public Law 110-329; FY2008 Consolidated Appropriations Act, Public Law 110-161.

OBJECTIVES:

To provide financial or non-financial support to explore the feasibility and viability of commercially available protective measure technology to nonfederal entities, through pilot or demonstration projects, or as directed by Congress, to support monitoring of critical infrastructure, initiatives, programs and projects. In addition, the employed protective measure helps to ensure secure and resilient critical infrastructure by enhancing the capabilities necessary to prevent, protect against, and mitigate the illicit use of explosives in the United States IAW PPD-8.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Restricted to pilot or demonstration projects of commercially available protective measures technologies, or as directed by Congress, to support infrastructure monitoring. Additional information concerning uses and restrictions is contained in the program guidance document or can be obtained from the administering program office identified in this announcement. Financial and non-financial assistance may be provided for the following: salaries, materials and supplies, equipment, travel, publication costs, subcontractor and supporting costs required for technical and other activities necessary to achieve the objective. Restrictions on use of funds will be identified in the funding opportunity announcement and award provisions. See program guidance.

Applicant Eligibility:

Funds are restricted to State, local government, private, public, profit or non-profit organization, Indian Tribal government or individuals specified by DHS program or Appropriation Statute, or guidance.

Beneficiary Eligibility:

State, local government, private, public, profit or nonprofit organization, Indian Tribal government or individual specified by DHS Appropriation Statute.

Credentials/Documentation:

Please refer to the pertinent program announcement or solicitation guidance document. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

The funding opportunity announcement will identify if the program is subject to Executive Order 12372. An environmental impact assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Application deadline and other information are contained in the application/program guidance.

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Refer to program guidance document.

Appeals:

None.

Renewals:

Contingent upon future appropriations. Renewal of an award to increase funding or extend the period of performance is at the sole discretion of the Department of Homeland Security.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Will vary by project. See the following for information on how assistance is awarded/released: Payment based on Project need.

Reports:

Refer to program guidance. Refer to program guidance. Financial and progress reports must be submitted in compliance with OMB Circular A-102 or A110. The frequency of the reports will be identified in the terms and conditions of the award. Refer to program guidance. Refer to program guidance.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. These audits are due to the cognizant Federal Agency submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-5609-1-1-000; 70-0565-0-1-999.

Obligations:

(Cooperative Agreements) FY 12 \$0; FY 13 est \$0; and FY 14 est \$0 - The execution of funds for this grant was delayed due to cooperative agreement delays, contract delays, NEPA study, and delays from the city's administration concerning the grant submission.

Range and Average of Financial Assistance:

As specified in the program announcement.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: International Airport (PHL)

100% complete. All Airport on-site work is complete. Video is viewable inside of the Real Time Crime Center and integrated into Wisdom.

Police Headquarters

95% complete. The cameras at PPD HQ were installed and video is displayed in the Real Time Crime Center.

The integration of this site into the Timesight has been de-scoped from the Microsoft Contract and is part of the SOW for the Genetec Implementation Contract.

The integration of this site into Wisdom has been de-scoped from the Microsoft Contract and will be part of the SOW for the Wisdom Integration Services Contract.

The integration of this site into Wisdom has been de-scoped from the Microsoft Contract and will be part of the SOW for the Wisdom Integration Services Contract

14th & 39th District (Phase 1 & 2)

95% complete. Fiber and infrastructure install is complete. Engineering and analysis surveys have been completed. Camera control boxes have been designed and a prototype was built by 4D and approved. The Philadelphia Streets Department is in the process of mass fabrication of the approved camera control boxes.

The installation of the District camera infrastructure and devices has been delayed as a result of the de-scope. All of the de-scoped items have been re-assigned to the Streets Dept.

The integration of this site into Timesight has been de-scoped from the Microsoft Contract and is part of the SOW for the Genetec Implementation Contract.

The integration of this site into Wisdom has been de-scoped from the Microsoft Contract and will be part of the SOW for the Wisdom Integration Services Contract.

AMTRAK

95% complete. All of the network infrastructure and media conversion equipment (Site Integration) was installed in the Microsoft Contract.

The integration of this site into Timesight has been de-scoped from the Microsoft Contract and is part of the SOW for the Genetec Implementation Contract.

The integration of this site into Wisdom has been de-scoped from the Microsoft Contract and will be part of the SOW for the Wisdom Integration Services Contract.

SEPTA

95% complete. The integration of this site into Timesight has been de-scoped from the Microsoft Contract and is part of the SOW for the Genetec Implementation Contract.

The integration of this site into Wisdom has been de-scoped from the Microsoft Contract and will be part of the SOW for the Wisdom Integration Services Contract

National Park Service

95% complete. All of the network infrastructure and media conversion equipment (Site Integration) was installed in the Microsoft Contract.

The integration of this site into Timesight has been de-scoped from the Microsoft Contract and is part of the SOW for the Genetec Implementation Contract.

The integration of this site into Wisdom has been de-scoped from the Microsoft Contract and will be part of the SOW for the Wisdom Integration Services Contract.

Currently seeking a procurement vehicle for the issuance of a contract to complete fiber infrastructure connection between NPS network and City Network.

Philadelphia City Hall

95% complete. All of the network infrastructure and media conversion equipment (Site Integration) was installed in the Microsoft Contract.

The integration of this site into Timesight has been de-scoped from the Microsoft Contract and is part of the SOW for the Genetec Implementation Contract.

The integration of this site into Wisdom has been de-scoped from the Microsoft Contract and will be part of the SOW for the Wisdom Integration Services Contract

Philadelphia Regional Port Authority (PRPA)

0% complete. A multi phase project has been approved and Phase I has been awarded. Phase I includes the furnish and installation of a 20 camera system local to the PRPA HQ, with options for dual feed of the system. In working to develop the RFP for this award, I assured that integration of the completed PRPA solution to the PCIPP solution would be accommodated. Two options were built in. Phase I of this project has been underway since February 2013 and will be completed by the 3rd quarter. Phase II of the project (infrastructure build) is currently in the RFP stages. It has become apparent that these camera feeds will not be available for integration before the end of the program period. An alternate solution is currently being discussed that will replace the requirements

of this site. Fiscal Year 2013: International Airport (PHL)

100% complete. All Airport on-site work is complete. Video is viewable inside of the Real Time Crime Center and integrated into Wisdom.

Police Headquarters

100% complete. The cameras at PPD HQ were installed and video is displayed in the Real Time Crime Center. All video feeds will be visible and controllable in Wisdom

14th & 39th District (Phase 1 & 2)

100% complete. All video feeds will be visible and controllable in Wisdom

AMTRAK

100% complete. All video feeds will be visible in Wisdom

SEPTA

100% complete. All video feeds will be visible and controllable in Wisdom

National Park Service

100% complete. All video feeds will be visible and controllable in Wisdom

Philadelphia City Hall

100% complete All video feeds will be visible and controllable in Wisdom

Philadelphia Regional Port Authority (PRPA)

0% complete. A multi phase project has been approved and Phase I has been awarded. Phase I includes the furnish and installation of a 20 camera system local to the PRPA HQ. with options for dual feed of the system. In working to develop the RFP for this award, I assured that integration of the completed PRPA solution to the PCIPP solution would be accommodated. Two options were built in. Phase I of this project has been underway since February 2013 and will be completed by the 3rd quarter. Phase II of the project (infrastructure build) is currently in the RFP stages. It has become apparent that these camera feeds will not be available for integration before the end of the program period. An alternate solution is currently being discussed that will replace the requirements of this site. Fiscal Year 2014: Philadelphia Regional Port Authority (PRPA)
100% complete.

REGULATIONS, GUIDELINES, AND LITERATURE:

OMB Circulars, A-21 Cost Principles for Educational Institutions, A-87 Cost Principles for State, Local and Indian Tribal Governments, A-102 Grants and Cooperative Agreements with State, and Local Governments, A-110, Uniform Administrative Requirements for Grants and Other Agreements with Institutions of Higher Education, Hospitals and Non-Profit Organizations, and A-133 Audits of States, Local Governments, and Non-Profit Organizations, in addition to program regulations, guidelines, DHS policy and procedure.

Regional or Local Office:

None.

Headquarters Office:

DHS, Office of Procurement Operations, NPPD 245 Murray Lane, S.W., Building 410, Mailstop 0612, Washington, District of Columbia 20525-0612
Phone: (202) 447-5589

Website Address:

<http://www.dhs.gov> .

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Earmark or as identified in the program guidance document.

97.082 EARTHQUAKE CONSORTIUM

Earthquake Consortium and State Assistance (ECSA)

FEDERAL AGENCY:

Department of Homeland Security

AUTHORIZATION:

Earthquake Hazards Reduction Act of 1977, Public Law 95-124; The National Earthquake Hazards Reduction Act of 2004, Public Law 108-360, 42 U.S.C 7701; The Homeland Security Act of 2002, Public Law 107-296, 6 U.S.C 101.

OBJECTIVES:

The purpose of this funding opportunity is to support the Central U.S. Earthquake Consortium (CUSEC), Northeast States Emergency Consortium (NESEC), Western States Seismic Policy Council (WSSPC), Cascadia Region Earthquake Workgroup (CREW), Earthquake Engineering Research Institute (EERI), Federal Alliance for Safe Homes (FLASH), Southern California Earthquake Center (SCEC), and any eligible State and territories with moderate to high seismic risks as determined by the Program Office in 1) delivering and increasing awareness and education ; 2) developing policies, tools, and products; and 3) implementing programs or projects to support risk reduction and resilience activities from earthquake and other hazards. Below are the Mitigation Mission Area Capability and Preliminary Targets in accordance with the National Preparedness Goals/PPD8: Planning - Conduct a systematic process engaging the whole community as appropriate in the development of executable strategic, operational, and/or community-based approaches to meet defined objectives. 1. Develop approved hazard mitigation plans that address all relevant threats/hazards in accordance with the results of their risk assessment within all states and territories. Public Information and Warning - Deliver coordinated, prompt, reliable, and actionable information to the whole community through the use of clear, consistent, accessible, and culturally and linguistically appropriate methods to effectively relay information regarding any threat or hazard and, as appropriate, the actions being taken and the assistance being made available. 1. Communicate appropriate information, in an accessible manner, on the risks faced within a community after the conduct of a risk assessment. Operational Coordination - Establish and maintain a unified and coordinated operational structure and process that appropriately integrates all critical stakeholders and supports the execution of core capabilities. 1. Establish protocols to integrate mitigation data elements in support of operations within all states and territories and in coordination with Federal agencies. Community Resilience - Lead the integrated effort to recognize, understand, communicate, plan, and address risks so that the community can develop a set of actions to accomplish Mitigation and improve resilience. 1. Maximize the coverage of the U.S. population that has a localized, risk-informed mitigation plan developed through partnerships across the entire community. Long-term Vulnerability Reduction - Build and sustain resilient systems, communities, and critical infrastructure and key resources lifelines so as to reduce their vulnerability to natural, technological, and human-caused incidents by lessening the likelihood, severity, and duration of the adverse consequences related to these incidents. 1. Achieve a measurable decrease in the long-term vulnerability of the Nation against current baselines amid a growing population base and expanding infrastructure base. Risk and Disaster Resilience Assessment - Assess risk and disaster resilience so that decision makers, responders, and community members can take informed action to reduce their entity's risk and increase their resilience. 1. Ensure that states, territories, and the top 100 Metropolitan Statistical Areas (MSAs) complete a risk assessment that defines localized vulnerabilities and consequences associated with potential natural, technological, and human-caused threats and hazards to their natural, human, physical, cyber, and socioeconomic interests. Threats and Hazard Identification - Identify the threats and hazards that occur in the geographic area; determine the frequency and magnitude; and incorporate this into analysis and planning processes so as to clearly understand the needs of a community or entity. 1. Identify the threats and hazards within and across the states, territories, and the top 100 MSAs, in collaboration with the whole community, against a national standard based on sound science. For additional details on the NPG, please refer to <http://www.fema.gov/pdf/prepared/npg.pdf>.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

For costs associated with providing guidance, technology transfer, and assistance to States and local communities with earthquake and other hazard mitigation activities. This program is a cooperative agreement, non-competitive, and restricted to certain specialized/qualified organizations designated by FEMA. Funding may only be used for the purpose set forth in the cooperative agreement and must be consistent with the statutory authority for

the award. Cooperative agreement funds may not be used for matching funds for other federal grants / cooperative agreements, or for lobbying or intervention in federal regulatory or adjudicatory proceedings. In addition, federal funds may not be used to sue the federal government or any other government entity. Pre-award costs are allowable only with the written consent of DHS/FEMA and such costs must be reflected in the award agreement. All financial and progress reports must be current at the time of application. Refer to program guidance.

Applicant Eligibility:

The purpose of this funding opportunity is to support CUSEC, NESEC, WSSPC, CREW, EERI, FLASH, and SCEC, and any eligible State and territories with moderate to high seismic risks as determined by the Program Office in 1) delivering and increasing awareness and education; 2) developing policies, tools, and products; and 3) implementing programs or projects to support risk reduction and resilience activities from earthquake and other hazards.

Beneficiary Eligibility:

CUSEC, NESEC, WSSPC, CREW, EERI, FLASH, and SCEC, and any eligible State and territories with moderate to high seismic risks as determined by the Program Office.

Credentials/Documentation:

This cooperative agreement program is restricted only to CUSEC, NESEC, WSSPC, CREW, EERI, FLASH, and SCEC, and any eligible State and territories with moderate to high seismic risks as determined by the Program Office. FEMA grants and cooperative agreements with educational and other non-profit organizations are governed by 2 CFR part 215. The allowability of costs incurred by non-profit organizations is determined in accordance with the provisions of 2 CFR part 230 and the Cost Principles for Non-Profit Organizations (OMB Circular A122. For State assistance, these cooperative agreements are governed by 44 CFR 361 and 44 CFR 13. All required documentations are detailed on this regulations as well as the official Funding Opportunity Announcement released on Grants.gov. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Refer to official Funding Opportunity Announcement or Program Guidance on Grants.gov.

Award Procedure:

Refer to official Funding Opportunity Announcement or Program Guidance on Grants.gov.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Refer to official Funding Opportunity Announcement or Program Guidance on Grants.gov.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula:

Matching Requirements: No cost matching requirement for non-profit organizations.

Cost matching is required for State Assistance and varies by year. Refer to 44 CFR 361 or official Funding Opportunity Announcement on Grants.gov. This program has MOE requirements, see funding agency for further details. Indirect costs are allowable only if the applicant has an approved indirect cost

rate with the cognizant Federal agency. A copy of the approved rate (a fully executed, agreement negotiated with the applicants cognizant federal agency) is required at the time of application. Indirect costs will be evaluated as part of the application for Federal funds to determine if allowable and reasonable. Specifically, grantees should apply the training indirect cost rate (when it is available) or the offsite indirect cost rate (when a training rate is not available). The full organized research negotiated rate should not be applied to these training awards. Written exceptions will be made in rare cases upon request and with adequate justification. FEMA will work closely with the grantee to determine the appropriateness of indirect costs for the type of activity and location proposed in the application, in accordance with the negotiated indirect cost rates approved by the grantees cognizant federal agency.

Length and Time Phasing of Assistance:

Up to 18 Months from Date of Award. Extensions to the period of performance will be considered only through formal requests to FEMA and will only be granted for specific and compelling reasons. All extension requests must be submitted to FEMA at least sixty (60) days prior to the expiration of the grant period of performance. Any unobligated funds will be de-obligated at the end of the 90 day close-out period. For more information, refer to the official Funding Opportunity Announcement or Program Guidance on Grants.gov. See the following for information on how assistance is awarded/released: Refer to the official Funding Opportunity Announcement or Program Guidance on Grants.gov.

Reports:

Refer to the official Funding Opportunity Announcement or Program Guidance on Grants.gov. Refer to the official Funding Opportunity Announcement or Program Guidance on Grants.gov. Refer to the official Funding Opportunity Announcement or Program Guidance on Grants.gov. Refer to the official Funding Opportunity Announcement or Program Guidance on Grants.gov. Refer to the official Funding Opportunity Announcement or Program Guidance on Grants.gov.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year. For additional information regarding audit requirements, refer to program guidance.

Records:

Cooperative Agreement records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0711-0-1-453.

Obligations:

(Project Grants (Cooperative Agreements)) FY 12 \$3,529,533; FY 13 est \$2,680,000; and FY 14 est \$2,680,000 - Actuals for FY 2012: FLASH 450,000.00; SCEC \$300,000.00; CREW \$100,000.00; CUSEC \$550,000.00; EERI \$565,000.00; STATES \$1,114,533.93; NESEC 200,000.00; WSSPC \$250,000.00; TOTAL \$3,529,533.93 ;Estimates for FY 2013: FLASH \$540,000.00; SCEC \$300,000.00; CREW \$200,000.00; CUSEC; \$550,000.00; EERI \$590,000.00; NESEC \$250,000.00; WSSPC \$250,000.00; TOTAL \$2,680,000.00; Estimates for FY 2014: FLASH \$540,000.00; SCEC \$300,000.00; CREW \$200,000.00; CUSEC \$550,000.00; EERI \$590,000.00; NESEC \$250,000.00; WSSPC

\$250,000.00; TOTAL \$2,680,000.00;

Range and Average of Financial Assistance:

Refer to the official Funding Opportunity Announcement or Program Guidance on Grants.gov by year for actual target allocations by program by eligible applicant.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: See <http://www.fema.gov/hazard/earthquake/index.shtm> for program accomplishment report or contact Program Manager as detailed in official Funding Opportunity Announcement on Grants.gov. Fiscal Year 2013: See <http://www.fema.gov/hazard/earthquake/index.shtm> for program accomplishment report or contact Program Manager as detailed in official Funding Opportunity Announcement on Grants.gov. Fiscal Year 2014: See <http://www.fema.gov/hazard/earthquake/index.shtm> for program accomplishment report or contact Program Manager as detailed in official Funding Opportunity Announcement on Grants.gov.

REGULATIONS, GUIDELINES, AND LITERATURE:

44 C.F.R. Part 361, National Earthquake Hazards Reduction Assistance to State and Local Governments, 44 C.F.R. Part 13, Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments, 2 C.F.R. Part 215 Uniform Administrative Requirements for Grants and Cooperative Agreements with Institutions of Higher Education, Hospitals, and other Non-Profit Organizations, and annual memorandum guidance issued by DHS-FEMA FIMA. Also, refer to the official Funding Opportunity Announcement or Program Guidance on Grants.gov for additional policies.

Regional or Local Office:

None. Wendy Phillips
Senior Program Specialist
National Earthquake Hazards Reduction Program
Building Science Branch, Risk Reduction Division
DHS/FEMA Federal Insurance and Mitigation Administration (FIMA)
1800 South Bell St. Arlington, VA 20598
Phone: (202) 646-2810.

Headquarters Office:

Wendy Phillips 1800 South Bell Street, Arlington, Virginia 20598 Phone: 202-646-2810

Website Address:

www.FEMA.gov.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2012: Examples of funded projects are earthquake mitigation planning, ShakeOut, earthquake outreach materials, training, property inventory and seismic inspection projects, building code adoption, support to multi-State seismic groups. Fiscal Year 2013: No Current Data Available. Fiscal Year 2014: No Current Data Available.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

97.083 STAFFING FOR ADEQUATE FIRE AND EMERGENCY RESPONSE (SAFER)

(SAFER ACT)

FEDERAL AGENCY:

Department of Homeland Security

AUTHORIZATION:

Federal Fire Prevention and Control Act of 1974, 15 U.S.C 2201 et seq.

OBJECTIVES:

To increase the number of firefighters in local communities and to help them meet industry minimum standards and attain 24/7 staffing for adequate protection against fire and fire-related hazards, and fulfill related roles associated with fire departments.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Not all fire departments will be eligible to apply. Training, equipment, over-time, and administrative expenses are not eligible. Individuals seeking personal assistance are not eligible. This program is intended to assist fire departments and recognized organizations as identified in the program guidance document and application package; and only those fire departments and organizations as specified in the Funding Opportunity Announcement, are eligible. Funds may be used to recruit, hire, and retain firefighters, but cannot supplant (replace) the applicants funds budgeted for that purpose. Recipients must maintain pre-existing staffing levels and retain grant funded personnel throughout the period of performance. From FY 05 through FY 08, the funds were provided on a sliding scale, recipients must initially match 10 percent of the Federal share the first year. Recipient match increases by certain percentages in the second, third, and fourth years, culminating in 100 percent of personnel costs (per position), in the fifth year and beyond. In FY 09 -FY 13, there was no cap on the federal funds provided for each position and there was no matching requirement. Refer to the Funding Opportunity Announcement for further information. This program is restricted to the jurisdictions/organizations described in the program guidance. For specific information, refer to the Funding Opportunity Announcement. In summary, for the purpose of this program, "State" is defined as the fifty States, the District of Columbia, Puerto Rico, the U.S. Virgin Islands, Guam, American Samoa, and the Commonwealth of the Northern Mariana Islands. The Alaska Village Initiative, a nonprofit organization incorporated in the State of Alaska, shall also be considered eligible for purposes of receiving assistance under this program on behalf of Alaska Native villages. A "fire department" is defined as an agency or organization that has a formally recognized arrangement with a State, territory, local, or tribal authority (city, county, parish, fire district, township, town, or other governing body) to provide fire suppression on a first-due basis to a population within a fixed geographical area. Fire departments may be comprised of members who are all volunteer, combination volunteer/career, or all career.

Applicant Eligibility:

This program is restricted to the jurisdictions/organizations described in the program guidance. For specific information, refer to the Funding Opportunity Announcement. In summary, for the purpose of this program, "State" is defined as the fifty States, the District of Columbia, Puerto Rico, the U.S. Virgin Islands, Guam, American Samoa, and the Commonwealth of the Northern Mariana Islands. The Alaska Village Initiative, a nonprofit organization incorporated in the State of Alaska, shall also be considered eligible for purposes of receiving assistance under this program on behalf of Alaska Native villages. A "fire department" is defined as an agency or organization that has a formally recognized arrangement with a State, territory, local, or tribal authority (city, county, parish, fire district, township, town, or other governing body) to provide fire suppression on a first-due basis to a population within a fixed geographical area. Fire departments may be comprised of members who are all volunteer, combination volunteer/career, or all career.

Beneficiary Eligibility:

Local or tribal communities serviced by the fire department including, local businesses, homeowners and property owners.

Credentials/Documentation:

Applicant must certify that they are an eligible applicant, i.e., a fire department, as described in the program guidance. Refer to Funding Opportunity Announcement document for specific information. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Application deadline and other

information are contained in the application/Funding Opportunity Announcement.

Award Procedure:

Applications or plans are peer reviewed and subsequently also reviewed by DHS program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Refer to Funding Opportunity Announcement document.

Appeals:

Applicants that receive an adverse decision or denial, can request reconsideration of the decision. Request for reconsideration must be submitted in writing, to the address contained on the program's website within 30 days of the notification of denial by the administering program office.

Renewals:

None.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. This program has no matching requirements. Refer to Funding Opportunity Announcement. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The hiring grants have a two year performance period for FY13. The recruitment and retention grants are made in one-year increments up to a possible four-year period of performance. Refer to Funding Opportunity Announcement for further details. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures. See the following for information on how assistance is awarded/released: Based on project need.

Reports:

Refer to Funding Opportunity Announcement document. Refer to Funding Opportunity Announcement document. Quarterly progress reports. Quarterly Financial Status Reports. Refer to Funding Opportunity Announcement.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0561-0-1-999.

Obligations:

(Project Grants) FY 12 \$320,625,000; FY 13 est \$320,920,083; and FY 14 Estimate Not Available

Range and Average of Financial Assistance:

Refer to Funding Opportunity Announcement.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Awarded nearly 300 applications for Federal Assistance to hire, recruit and retain firefighters across the country. Over 1,600 firefighters were hired through the FY12 SAFER Funding cycle. Fiscal Year 2013: Approximately 300 awards will be made to hire firefighters and recruit and retain volunteer firefighters. Fiscal Year 2014: Approximately 300 awards will be made to hire firefighters and recruit and retain volunteer firefighters.

REGULATIONS, GUIDELINES, AND LITERATURE:

U.S.C. 2201 et seq.; Fire Prevention and Control Act of 1974.

Regional or Local Office:

See Regional Agency Offices. SAFER grants are directed from the FEMA Headquarters Office. There are 10 regional offices in FEMA who provide monitoring and oversight of FEMA grantees.

Headquarters Office:

Department of Homeland Security/FEMA Techworld 800 K Street, NW, 5th Floor, Washington, District of Columbia 20742 Email: firegrants@dhs.gov Phone: (866) 274-0960

Website Address:

<http://www.fema.gov/firegrants/index.shtm>.

RELATED PROGRAMS:

15.031 Indian Community Fire Protection; 15.228 National Fire Plan - Wildland Urban Interface Community Fire Assistance; 97.018 National Fire Academy Training Assistance; 97.043 State Fire Training Systems Grants

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the Funding Opportunity Announcement for information on criteria for selecting proposals.

97.088 DISASTER ASSISTANCE PROJECTS

(Earmarked Projects or Limited Scope Disaster Projects. Restricted to entities designated by DHS or congressional statute.)

FEDERAL AGENCY:

Department of Homeland Security

AUTHORIZATION:

Homeland Security Act, Public Law 107-296; Public Health Bioterrorism and Public Health Emergencies Act of 2002, Public Law 107-188; Robert T. Stafford Disaster Relief and Emergency Assistance Act, Public Law 93-288.

OBJECTIVES:

This category is not for unsolicited proposals. Provides funding that has been designated by Congressionally appropriated authorization, generally for a specified project, or to provide unique or limited scope funding for certain disaster assistance Projects identified by congress or a DHS program office.

Program funds support the National Preparedness Goal of enhancing core capabilities in the areas of disaster response and recovery.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funding is restricted to nonfederal entities invited to apply by DHS or specified in DHS Appropriation Statute.

Applicant Eligibility:

Funds are restricted to nonfederal entities, e.g. State, local government, private, public, profit or nonprofit organization, Indian Tribal government or individual specified by DHS or U.S. Appropriation Statute.

Beneficiary Eligibility:

State, local government, private, public, profit or nonprofit organization, Indian Tribal government or individual specified by U.S. Appropriation Statute.

Credentials/Documentation:

Credentials or other documentation may be required by the U.S. Appropriation

Statute or other statute by project.

Funds are restricted to nonfederal entities, e.g. State, local government, private, public, profit or nonprofit organization, Indian Tribal government or individual specified by DHS or U.S. Appropriation Statute. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

The applicant is notified of funding availability through letter or electronic mail communication. The applicant will be advised on how to apply for the funding through this notification. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. An application (SF 424, budget, program or project narrative and assurances) or plan must be submitted that outlines the implementation of the project and expenditure of funds in response to the project identified by the U.S. Appropriation Statute.

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff, concerns negotiated with the applicant and award processed.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

The range of approval time depends on the type of project to be funded.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: Funding amounts and match requirements are specified in the program guidance document.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Mandated in the appropriation or identified in the application or plan submission. See the following for information on how assistance is awarded/released: Refer to program guidance.

Reports:

Financial and progress reports must be submitted in compliance with OMB Circular A-102 or A-110. The frequency of the reports will be identified in the "Terms and Conditions" of the award. Refer to program guidance. Refer to program guidance. Refer to program guidance.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Financial records, supporting documentation and all other records to validate the performance of the grant or cooperative agreement must be retained for three years from the date the final financial status report is submitted to the DHS.

Account Identification:

70-0702-0-1-760.

Obligations:

(Project Grants) FY 12 \$0; FY 13 est \$0; and FY 14 est \$0

Range and Average of Financial Assistance:

Each "earmark" or project funding is designated by the appropriation statute or

identified by the program office.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

OMB Circulars, A-21 Cost Principles for Educational Institutions, A-87 Cost Principles for State, Local and Indian Tribal Governments, A-102 Grants and Cooperative Agreements with Stat, and Local Governments, A-110, Uniform Administrative Requirements for Grants and Other Agreements with Institutions of Higher Education, Hospitals and Nonprofit Organizations, and A-133 Audits of States, Local Governments, and Nonprofit Organizations, in addition to program regulations, guidelines, DHS policy and procedure.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Department of Homeland Security/FEMA Techworld, Control Desk, 4th floor Room 427, 500 C St., SW. The Centralized Scheduling and Information Desk (CSID). CSID is open from 8:00am to 7:00pm., Eastern Standard Time, Monday through Friday., Washington, District of Columbia 20472 Email: askcsid@dhs.gov Phone: (1-800) 368-6498

Website Address:

<http://www.fema.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

97.089 DRIVER'S LICENSE SECURITY GRANT PROGRAM

Driver's License Security Grant Program

FEDERAL AGENCY:

Department of Homeland Security

AUTHORIZATION:

Public Law 111-83, Department of Homeland Security Appropriations Act, 2010 (Title III Protection, Preparedness, Response, and Recovery); Public Law 110-53, Implementing Recommendations of the 9/11 Commission Act of 2007; and Public Law 109-13, Real ID Act of 2005.

OBJECTIVES:

This program provides funding to prevent terrorism, reduce fraud and improve the reliability and accuracy of personal identification documents that states and territories issue. The program is intended to address a key recommendation of the 9/11 Commission to improve the integrity and security of State-issued drivers licenses (DL) and identification cards (IC).

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Restricted to State Drivers License Agencies (DLA), also known as State Departments of Motor Vehicles (DMV) or Motor Vehicle Administrations (MVA) that are responsible for issuing driver's licenses. Other eligible applicants include State Public Safety Agencies or Departments, which may apply on behalf of the State DMV/MVA.

Funds may be used in accordance with uses defined in the program guidance document/application kit. Allowable funding categories include: management and administration, planning, equipment, and maintenance and sustainment.

Applicant Eligibility:

The issuing authority for motor vehicle licenses in each State or territory, as identified by the DHS, is the only agency eligible to apply for the Driver's License Security Grant Program.

Beneficiary Eligibility:

State, U.S. Territory and local governments, intrastate, interstate, small

business, general public, other public institution/organizations.

Credentials/Documentation:

If required, will be identified in the program guidance/solicitation. The issuing authority for motor vehicle licenses in each State or territory, as identified by the DHS, is the only agency eligible to apply for the Driver's License Security Grant Program. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Unsolicited applications from entities other than those listed in the "Applicant Eligibility" above, will not be accepted. Eligible applicants must apply on-line using Grants.gov. The Driver's License Security Grant Program application process will require: Narrative to include a description of current resource management capabilities to develop, implement, and manage the programmatic requirements associated with the Driver's License Security Grant Program funding; a proposed work plan; a budget and budget narrative addressing the Driver's License Security Grant Program project related costs and expenses. Applications submitted online must contain information and meet the requirements outlined in the Driver's License Security Grant Program solicitation and program guidance.

Award Procedure:

Applications are reviewed by DHS policy and grant management staff, concerns negotiated with the applicant, and award processed.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

The program and administrative office will evaluate applications and award funds within 60 days of receipt of applications.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. This program has no matching requirements. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The period of performance for DLSGP grants is 36 months. See the following for information on how assistance is awarded/released: Payment based on project need. See the following for information on how assistance is awarded/released: Payment based on Project need.

Reports:

Progress Reports are due semi-annually within 30 days after the end of the reporting periods. Reports are due: July 30 and January 30. The Final Progress Report is due 90 days after the expiration date of the award period. These reports should consist of a brief narrative discussing achievements to date, and progress towards annual goals, and any issues which may need to be addressed. Required Financial Status Reports (SF 269A) are due quarterly after the end of the reporting period. Reports are due: April 30, July 30, October 30, and January 30. The Final Financial Report is due 90 days after the expiration date of the award period. Refer to program guidance. Refer to program guidance. Refer to program guidance.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit

Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. These audits are due to the cognizant Federal agency no later than 9 months after the end of the grantees fiscal year.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to a grant shall be retained for a period of at least 3 years after the grant has been closed or until an audit has been conducted that does not show any questionable costs.

Account Identification:

70-0560-0-1-999.

Obligations:

(Salaries) FY 12 \$0; FY 13 est \$0; and FY 14 est \$0 - Program was last funded in FY2011. This program will remain open due to open awards.

Range and Average of Financial Assistance:

Will be identified in the solicitation/program guidance.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

OMB Circulars Nos., A-87 Cost Principles for State, Local and Indian Tribal Governments, A-102 Grants and Cooperative Agreements with State and Local Governments, and A-133 Audits of States, Local Governments, and Nonprofit Organizations, in addition to program regulations, guidelines, DHS policy and procedures.

Regional or Local Office:

None.

Headquarters Office:

Department of Homeland Security, FEMA Grant Programs Directorate 800 K Street, NW, Washington, District of Columbia 20472 Email: askscsid@dhs.gov Phone: (1-800) 368-6498

Website Address:

<http://www.fema.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Will be identified in the solicitation/program guidance.

97.091 HOMELAND SECURITY BIOWATCH PROGRAM

FEDERAL AGENCY:

Department of Homeland Security

AUTHORIZATION:

Homeland Security Act of 2002, Public Law 107-296, 6 U.S.C 308(b)(1)(c).

OBJECTIVES:

The BioWatch Program is a Federally managed, locally operated early warning system that is designed to detect the intentional release of select aerosolized biological agents. The BioWatch Programs mission is to deploy, sustain, and maintain a national 24x7x365 operational ability to detect, and respond to a bioterrorist event in metropolitan areas across the country. The DHS Office of Health Affairs (OHA) administers the BioWatch program and is currently engaged in advanced development, test, evaluation and operations to improve sampling technologies, collection procedures, sample analysis, and develop and implement a next generation BioWatch system.

The Biowatch Program and PPD-8 share the following elements.

First, in accordance with the National Preparedness Goal, the Biowatch program provides a comprehensive strategy for countering biological terrorism.

Next, following the National Preparedness System and the BioWatch Program is a federally managed, locally operated early warning operational capability designed to enhance national preparedness. The program provides an early warning system that detects the release of a select biological agent that may pose a danger to the public health in select jurisdictions.

Biowatch, in accordance with the National Planning Frameworks and Federal Interagency Operational Plans, acts as an early warning system which enhances the security of jurisdictions by providing the needed time to execute their comprehensive concept of operations plans to counter biological terrorism. In addition, the BioWatch Program provides advice and guidance to jurisdictions to develop biological preparedness programs that properly addresses the needed mitigation plans that reduces the negative impacts to a biological attack.

The Biowatch Program is a critical part of an ongoing national effort to build and sustain preparedness which helps the United States maintain momentum through targeted jurisdictional planning that highlights preventative actions necessary to allow for a proper and timely response and begin the process to recovery from a biological agent release. To provide funding and/or property for the conduct of operations and other related activities intended to identify, counter, or respond to biological and chemical threats.

TYPES OF ASSISTANCE:

PROJECT GRANTS; USE OF PROPERTY, FACILITIES, OR EQUIPMENT

USES AND USE RESTRICTIONS:

Financial and nonfinancial assistance may be provided for the following: salaries, materials and supplies, equipment, travel, publication costs, subcontractor and supporting costs required for technical and other activities necessary to achieve the objective. Restrictions on use of funds will be identified in the funding opportunity announcement, program guidance, and award provisions. See program announcement.

Applicant Eligibility:

Generally, State and local governments or as specified by U.S. Appropriation Statute. Specific applicant eligibility will be identified in the funding opportunity announcement and program guidance.

Beneficiary Eligibility:

State and local governments.

Credentials/Documentation:

May be required by the U.S. Statute, regulation, or program guidance. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. An application (SF-424, Budget, program or project narrative and assurances) must be submitted that outlines the implementation of the project and expenditure of funds in response to the project identified through the announcement.

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff, concerns negotiated with the applicant, and award processed.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

The range of approval depends on the type of project to be funded.

Appeals:

Not Applicable.

Renewals:

Contingent upon future Congressional funding. Renewal of an award to

increase funding or extend the period of performance is at the sole discretion of the Department of Homeland Security.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Specified in the funding opportunity announcement. See the following for information on how assistance is awarded/released: See program announcement.

Reports:

Requirements identified in the terms and conditions of the award. Financial reports submission are identified in the Terms and Conditions of the award. Program and progress reports must be submitted and are identified in the Terms and Conditions of the award. Financial reports submission are identified in the Terms and Conditions of the award. Requirements are identified in the Terms and Conditions of the award.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Financial records, supporting documentation and all other records to validate the performance of the grant or cooperative agreement must be retained for 3 years from the date the final financial status report is submitted to the DHS.

Account Identification:

70-0800-0-1-999.

Obligations:

(Salaries) FY 12 \$26,539,774; FY 13 est \$26,408,231; and FY 14 est \$26,000,000 - Biowatch still in existence.

Range and Average of Financial Assistance:

Specified in the announcement.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: The BioWatch Programs mission is to provide and maintain a continuous bio-terrorism air monitoring system in metropolitan areas and coordinate with state and local public health communities to prepare for and respond to a bioterrorist event. This mission is accomplished by serving as an early warning system which enhances the security of jurisdictions by providing the needed time to execute their comprehensive concept of operations plans to counter biological terrorism. The Biowatch Program is a critical part of an ongoing national effort to build and sustain preparedness which helps the United States to maintain momentum through targeted jurisdictional planning that highlights preventative actions necessary to allow for a proper and timely response and begin the process to recovery from a biological agent release. Fiscal Year 2013: The BioWatch Programs mission is to provide and maintain a continuous bio-terrorism air monitoring system in metropolitan areas and coordinate with state and local public health communities to prepare for and respond to a bioterrorist event. This mission is accomplished by serving as an early warning system which enhances the security of jurisdictions by providing the needed time to execute their comprehensive concept of operations plans to counter biological terrorism. The Biowatch Program is a critical part of an ongoing national effort to build and sustain preparedness which helps the United States to maintain momentum through targeted jurisdictional planning that highlights preventative actions necessary to allow for a proper and timely response and begin the process to recovery from a biological agent release. Fiscal Year 2014: The BioWatch Programs mission is to provide and maintain a continuous bio-terrorism air monitoring system in metropolitan areas and coordinate with state and local public health communities to prepare for and respond to a bioterrorist event. This mission is accomplished by serving as an early warning system which enhances the security of jurisdictions by providing

the needed time to execute their comprehensive concept of operations plans to counter biological terrorism. The Biowatch Program is a critical part of an ongoing national effort to build and sustain preparedness which helps the United States to maintain momentum through targeted jurisdictional planning that highlights preventative actions necessary to allow for a proper and timely response and begin the process to recovery from a biological agent release.

REGULATIONS, GUIDELINES, AND LITERATURE:

OMB Circulars Nos., A-21 Cost Principles for Educational Institutions, A-87 Cost Principles for State, Local and Indian Tribal Governments, A-102 Grants and Cooperative Agreements with State and Local Governments, A-110, Uniform Administrative Requirements for Grants and Other Agreements with Institutions of Higher Education, Hospitals and Nonprofit Organizations, and A-133 Audits of States, Local Governments, and Nonprofit Organizations, in addition to program regulations, guidelines, DHS policy and procedures.

Regional or Local Office:

None.

Headquarters Office:

DHS, Office of Procurement Operations, Office of Health Affairs 245 Murray Lane, SW, Mail Stop 0115, Washington, District of Columbia 20528 Phone: (202) 447-5589

Website Address:

<http://www.dhs.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Earmark or as identified in the funding opportunity announcement or program guidance.

97.092 REPETITIVE FLOOD CLAIMS

RFC

FEDERAL AGENCY:

Department of Homeland Security

AUTHORIZATION:

The RFC program is authorized by Section 1323 of the NFIA , 42 U.S.C 4030.

OBJECTIVES:

To assist States, Indian tribal governments, and communities to reduce or eliminate the long-term risk of flood damage to structures insured under the National Flood Insurance Program (NFIP) that have had one or more claims for flood damages through mitigation activities that are in the best interest of the National Flood Insurance Fund (NFIF). This grant program supports the Mitigate component of the Presidential Preparedness Directive 8 (PPD-8) in the following ways: by providing funding to states and communities that promotes Long-Term Vulnerability Reduction by elevating at-risk homes or removing structures from flood hazard areas. Overall, this program also supports Community Resilience by reducing the need for emergency services in flood-prone areas of the community.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

States, Indian tribal governments, and communities may apply for RFC project grants for activities that reduce or eliminate the long - term risk of flood damage to structures, insured under the National Flood Insurance Program (NFIP), that have had one or more claims for flood damages. This may include the acquisition of insured structures for the purpose of converting flood-prone land back to open space use; elevation of existing structures; dry floodproofing; and minor localized flood reduction projects. Projects must meet all eligibility criteria including cost-effectiveness; compliance with environmental and historic preservation laws and regulations and other program requirements.

Applicant Eligibility:

Entities eligible to apply for HMA grants include the emergency management

agency or a similar office of the 50 States, the District of Columbia, American Samoa, Guam, the U.S. Virgin Islands, Puerto Rico, the Northern Mariana Islands, and Indian Tribal governments. Each State, Territory, Commonwealth, or Indian Tribal government shall designate one agency to serve as the Applicant for each HMA program. Please see the HMA program guidance for more detailed information.

Beneficiary Eligibility:

State agencies, Indian Tribal governments, and local governments and communities are eligible to apply as subapplicants for assistance under the RFC program. All interested subapplicants must apply to the Applicant. Please see the HMA program guidance for detailed information.

Credentials/Documentation:

Please see the HMA Program Guidance. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Contact the headquarters or regional office, as appropriate, for application deadlines

Award Procedure:

Applications are reviewed by DHS/FEMA program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Refer to HMA program guidance document.

Appeals:

Refer to HMA program guidance document.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching Requirements: Refer to HMA program guidance for additional information. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Refer to program guidance. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures. See the following for information on how assistance is awarded/released: Refer to HMA program guidance.

Reports:

Grantees are required to submit quarterly financial and performance reports. Quarterly Progress Reports must include the progress of each sub-grant award. Reports are due 30 days after the end of each quarter: January 30, April 30, July 30, and October 30. Final financial and performance reports are due 90 days after the expiration or termination of grant award. Please refer to HMA program guidance for more information. Grantees are required to submit quarterly financial and performance reports. Quarterly Progress Reports must include the progress of each sub-grant award. Reports are due 30 days after the end of each quarter: January 30, April 30, July 30, and October 30. Final financial and performance reports are due 90 days after the expiration or termination of grant award. Please refer to HMA program guidance for more information. Grantees are required to submit quarterly financial and performance reports. Quarterly Progress Reports must include the progress of each sub-grant award. Reports

are due 30 days after the end of each quarter: January 30, April 30, July 30, and October 30. Final financial and performance reports are due 90 days after the expiration or termination of grant award. Please refer to HMA program guidance for more information. Grantees are required to submit quarterly financial and performance reports. Quarterly Progress Reports must include the progress of each sub-grant award. Reports are due 30 days after the end of each quarter: January 30, April 30, July 30, and October 30. Final financial and performance reports are due 90 days after the expiration or termination of grant award. Please refer to HMA program guidance for more information. Grantees are required to submit quarterly financial and performance reports. Quarterly Progress Reports must include the progress of each sub-grant award. Reports are due 30 days after the end of each quarter: January 30, April 30, July 30, and October 30. Final financial and performance reports are due 90 days after the expiration or termination of grant award. Please refer to HMA program guidance for more information.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-4236-0-3-453.

Obligations:

(Project Grants) FY 12 \$8,163,740; FY 13 Estimate Not Available; and FY 14 Estimate Not Available

Range and Average of Financial Assistance:

Refer to HMA program guidance.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Hazard Mitigation Assistance Unified grant program guidance, the DHS FEMA Real Property Acquisition Regulations at 44 CFR Part 80, which is available to the public on the FEMA internet site.

Regional or Local Office:

See Regional Agency Offices. See Appendix IV of the Catalog for a listing of addresses of FEMA's Regional Offices.
<http://www.fema.gov/government/mitigation.shtm>.

Headquarters Office:

Lloyd Hake - FEMA 1800 South Bell Street, Arlington, Virginia 20595-3015
Email: Lloyd.Hake@dhs.gov Phone: (202) 646-3428

Website Address:

<http://www.fema.gov>

RELATED PROGRAMS:

97.029 Flood Mitigation Assistance; 97.039 Hazard Mitigation Grant; 97.047 Pre-Disaster Mitigation; 97.110 Severe Loss Repetitive Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the HMA program guidance for information on criteria for selecting proposals.

97.101 NATIONAL FALLEN FIREFIGHTERS MEMORIAL (NFFM)

FEDERAL AGENCY:

Department of Homeland Security

AUTHORIZATION:

Homeland Security Act of 2002, Public Law 107-296.

OBJECTIVES:

Build and sustain resilient systems, communities, and critical infrastructure and key resources lifelines so as to reduce their vulnerability to natural, technological, and human-caused incidents by lessening the likelihood, severity, and duration of the adverse consequences related to these incidents. 1. Achieve a measurable decrease in the long-term vulnerability of the Nation against current baselines amid a growing population base and expanding infrastructure base.

This will be accomplished by providing funding for the planning, directing and managing of the activities of the annual National Fallen Firefighters Memorial Program and identify, investigate, and report on all on-duty firefighter fatalities during 2013.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

DHS grant funds may only be used for the purpose set forth in the grant, and must be consistent with the statutory authority for the award. Grant funds may not be used for matching funds for other federal grants/cooperative agreements, lobbying, or intervention in federal regulatory or adjudicatory proceedings. In addition, federal funds may not be used to sue the federal government or any other government entity. Pre-award costs are allowable only with the written consent of DHS and included in the award agreement. United States Fire Administration funding appropriation is discretionary.

Applicant Eligibility:

Specialized group, National Fallen Firefighter Foundation.

Beneficiary Eligibility:

Please refer to program guidance.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program.

Award Procedure:

Upon approval of an application, the grant will be awarded to the grant recipient. The date that this is done is the award date. Notification of award approval is made through the Non Disaster Grants (NDGrants.gov) system. Once an award has been approved, a notice is sent to the authorized grantee official. The authorized grantee official should carefully read the award and special condition documents. If you do not receive a notification, please contact your Program Analyst for your award number. Once you have the award number, contact the NDGrants Help Desk at NDGrants@dhs.gov or (202) 786-9484.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

14 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

12 months from date of award. See the following for information on how assistance is awarded/released: DHS/FEMA uses the Direct Deposit/Electronic Funds Transfer (DD/EFT) method of payment to Recipients. To enroll in the DD/EFT, the Recipient must complete a Standard Form 1199A, Direct Deposit Form.

Reports:

Quarterly Performance Reports a. The recipient shall submit quarterly performance reports within 30 days after the end of each period. Reports are due on July 30, October 30, January 30 and April 30. b. The report shall consist of a comparison of actual accomplishments to the approved project objectives.

c. Copies of Performance Reports shall be submitted to the Grants Management Specialist and the PO listed under VII. Department of Homeland Security Contacts. d. The final performance report should provide a narrative on what the Recipient accomplished with the grant funds and any benefits derived.

Recipients of FEMA grants awarded after October 1, 2009 will be required to submit a quarterly Federal Financial Report (FFR) in order to account for obligations and expenditures. The FFR, to be submitted using Standard Form (SF)-425, will be due on a quarterly basis within 30 days of the end of each calendar quarter. A report must be submitted for every quarter of the period of performance, including partial calendar quarters, as well as for periods where no grant activity occurs. Future awards and fund draw downs may be withheld if these reports are delinquent. The SF-425 is intended to provide Federal agencies and grant recipients with a standard format and consistent reporting requirements throughout the government. Please refer to program guidance. No expenditure reports are required. Quarterly Performance Reports a. The recipient shall submit quarterly performance reports within 30 days after the end of each period. Reports are due on July 30, October 30, January 30 and April 30. b. The report shall consist of a comparison of actual accomplishments to the approved project objectives. c. Copies of Performance Reports shall be submitted to the Grants Management Specialist and the PO listed under VII. Department of Homeland Security Contacts.

d. The final performance report should provide a narrative on what the Recipient accomplished with the grant funds and any benefits derived.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Please refer to program guidance.

Account Identification:

70-0564-0-1-800.

Obligations:

(Direct Payments for Specified Use) FY 12 \$225,000; FY 13 est \$225,000; and FY 14 Estimate Not Available

Range and Average of Financial Assistance:

FY 2011 387,000

FY 2012 225,000

FY 2013 est. 225,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: To honor and remember firefighters who died in the line of duty during 2011 and in previous years at the National Fallen Firefighters Memorial Weekend. Fiscal Year 2013: To honor and remember firefighters

who died in the line of duty during 2012 and in previous years at the National Fallen Firefighters Memorial Weekend. Fiscal Year 2014: To honor and remember firefighters who died in the line of duty during 2013 and in previous years at the National Fallen Firefighters Memorial Weekend.

REGULATIONS, GUIDELINES, AND LITERATURE:

31 CFR 205: Rules and Procedures for Fund Transfers; OMB Circular A-102: Uniform Administrative Requirements for Grants and Cooperative Agreements with State and Local Governments; OMB Circular A-87: Cost Principles for State and Local Governments; OMB Circular A-110: Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-Profit Organizations; OMC Circular A-21: Cost Principles for Educational Institutions; OMB Circular A-122: Cost Principles for Nonprofit Organizations.

Regional or Local Office:

See Regional Agency Offices. DHS/FEMA/Grant Programs Directorate

Email: firegrants@dhs.gov

Phone: 1-866-274-0960

Address: 500 C Street, SW

Washington, DC 20472.

Headquarters Office:

National Fallen Firefighters Memorial, FEMA 500 C Street, SW, Washington, District of Columbia 20472 Phone: (1-866) 274-0960

Website Address:

<http://www.fema.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The application will be reviewed and recommended for funding by the DHS/FEMA/USFA and is restricted to the National Fallen Firefighters Foundation (NFFF).

97.103 DEGREES AT A DISTANCE PROGRAM

FEDERAL AGENCY:

Department of Homeland Security

AUTHORIZATION:

U.S.A. Patriot Act of 2001, Public Law 107-56; Homeland Security Act of 2002, Public Law 107-296; The Federal Fire Prevention and Control Act of 1974, Section 21, Public Law 93-498; Executive Order 12127.

OBJECTIVES:

To support curriculum development, regional meeting support, program marketing, course material printing and publications and initiation of institutional agreements with local two-year college degree programs and colleges/universities, designated by the National Fire Academy, to participate in the Degrees at a Distance Program (DDP). To provide an alternative means for fire service personnel to earn a bachelor's degree or to pursue college-level learning in a fire related course concentration without the requirement of having to attend on-campus classes.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Restricted to colleges/universities designated by the U.S. Fire Administrations National Fire Academy, to support curriculum development, regional meeting support, program marketing, course material printing and publications; and initiation of institutional agreements with local two-year colleges.

Applicant Eligibility:

Only colleges/universities designated by U. S. Fire Administrations National Fire Academy are eligible, unsolicited applications will not be accepted. Specific information on applicant eligibility is identified in the funding opportunity announcement and program guidance.

Beneficiary Eligibility:

State, local and the general public.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Application deadline and other information are contained in the application/program guidance.

Award Procedure:

The application will be reviewed and recommended for funding by the DHS/FEMA/USFA/NFA Project Officer based on the program criteria identified in the announcement / guidance. Notification of award is made through the electronic Non Disaster Grant System (NDGrants). Once an award has been approved, a notice is sent to the authorized grantee official. Awards are subject to certain administrative, national policy, and cost principle requirements delineated in both 2 CFR and 44 CFR. For complete information on the award procedure, and CFR (and other) requirements, refer to program guidance.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Refer to program guidance document.

Appeals:

Not Applicable.

Renewals:

Requests for extensions must be supported by adequate justification, and can only be authorized in writing by the DHS/FEMA Grants Management Specialist/Grants Management Division with the concurrence of the administering program office.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Refer to program guidance. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures. See the following for information on how assistance is awarded/released: Refer to program guidance.

Reports:

Quarterly progress reports. Quarterly Financial Status Reports. Refer to program guidance. Quarterly progress reports. Quarterly Financial Status Reports. Refer to program guidance.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than nine months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action

and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0564-0-1-800.

Obligations:

(Project Grants) FY 12 \$0; FY 13 est \$0; and FY 14 est \$0 - No funding is available for FY 2014.

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Federal Emergency Management Agency 500 C Street, SW, Washington, District of Columbia 20472 Phone: (800) 621-FEMA

Website Address:

<http://www.usfa.dhs.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the program guidance for information on criteria for selecting proposals.

97.104 HOMELAND SECURITY-RELATED SCIENCE, TECHNOLOGY, ENGINEERING AND MATHEMATICS (HS STEM) CAREER DEVELOPMENT PROGRAM

(HS-STEM Career Development Program)

FEDERAL AGENCY:

Department of Homeland Security

AUTHORIZATION:

Homeland Security Act of 2002, Section 1205, Public Law 107-296, 6 U.S.C 188(b).

OBJECTIVES:

To provide funding to assist with the development of future HS-STEM professionals and practitioners.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Support is provided to colleges and universities who, in turn, provide financial support and career guidance to students interested in HS-STEM careers. Refer to the program guidance document for additional information.

Applicant Eligibility:

Specific information on applicant eligibility is identified in the funding opportunity announcement and program guidance.

Beneficiary Eligibility:

Other Public Institution/Organizations; Other Private Institution/Organizations; (Colleges and Universities).

Credentials/Documentation:

Refer to program guidance. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Application deadline and other information are contained in the application/program guidance.

Award Procedure:

Applications are reviewed by subject matter experts (SMEs). Award are based on Agency priorities and available funding.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Refer to program guidance document.

Appeals:

Not applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Refer to program guidance. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures. See the following for information on how assistance is awarded/released: Payment based on Project need.

Reports:

Grantees are required to submit annual financial and performance reports. Annual Progress Reports must include the progress of each sub-grant award. Final financial and performance reports are due 90 days after the expiration or termination of grant award. Refer to program guidance. Refer to program guidance. Financial Status Reports. Refer to program guidance.

Audits:

No audits are required for this program.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0800-0-1-999.

Obligations:

(Project Grants) FY 12 \$2,707,714; FY 13 est \$0; and FY 14 est \$0

Range and Average of Financial Assistance:

Refer to program guidance.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: OUP awarded 9 Career Development Grants in 2012. There are 45 ongoing Career Development Grants. About 75% of CDG graduates are working in the Homeland Security Enterprise. Fiscal Year 2013: No Current Data Available. Fiscal Year 2014: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

A-110, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals and Nonprofit Organizations, A-21, Cost Principles for Educational Institutions and A-122, Cost Principles for

Non-Profit Organization., A-133 Audits of States, Local Governments, and Nonprofit Organizations, in addition to program regulations, guidelines, DHS policy and procedures.

Regional or Local Office:

None.

Headquarters Office:

Department of Homeland Security, University Programs, S&T 245 Murray Lane, SW., Building 410, Washington, District of Columbia 20523 Phone: (202) 254-5695

Website Address:

<http://www.hsuniversityprograms.org>

RELATED PROGRAMS:

97.062 Scholars and Fellows, and Educational Programs

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the program guidance for information on criteria for selecting proposals.

97.106 SECURING THE CITIES PROGRAM

(STC)

FEDERAL AGENCY:

Department of Homeland Security

AUTHORIZATION:

Homeland Security Act of 2002, Public Law 112-10, 6 U.S.C 591-596.

OBJECTIVES:

STC Program seeks to design and implement or enhance existing architectures for coordinated and integrated detection and interdiction of nuclear materials that are out of regulatory control and may be used as a weapon within high-risk metropolitan areas.

TYPES OF ASSISTANCE:

Cooperative Agreements; DISSEMINATION OF TECHNICAL INFORMATION; USE OF PROPERTY, FACILITIES, OR EQUIPMENT

USES AND USE RESTRICTIONS:

Financial and non-financial assistance may be provided for the following: salaries, materials and supplies, equipment, travel, publication costs, subcontractor and supporting costs required for technical and other activities necessary to achieve the objective. Restrictions, if any, will be identified in the announcement, program guidance and award provisions. See Program Guidance.

Applicant Eligibility:

Specific information on applicant eligibility is identified in the funding opportunity announcement and program guidance, or as specified by U.S. Appropriation Statute.

Beneficiary Eligibility:

Federal, State, and local governments, Interstate or intrastate governmental organizations, and Indian tribal governments.

Credentials/Documentation:

May be required by the U.S. Statute, regulation, or program guidance. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Application deadline and other information are

contained in the application/program guidance.

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

The deadline for submission of application is 90 days following the FOA posting.

Appeals:

None.

Renewals:

Contingent upon future Congressional funding. Extensions to the Performance Period may be awarded, but are not guaranteed and might not include increased funding. Extension approvals will be based on the availability of funds within the award and acceptable performance and at the sole discretion of the Department of Homeland Security.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. This program has no matching requirements. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Refer to program guidance. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures. See the following for information on how assistance is awarded/released: See Program Guidance.

Reports:

See Program Guidance. See Program Guidance. See Program Guidance. See Program Guidance. See Program Guidance.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3 year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0862-0-1-999.

Obligations:

(Salaries) FY 12 \$19,500,000; FY 13 est \$19,825,000; and FY 14 est \$20,000,000 - FY12 - \$18 million for NYC, \$1.5 million for Los Angeles/Long Beach
FY13 - \$10.4 million for NYC, \$9.425 million for Los Angeles/Long Beach.

Range and Average of Financial Assistance:

See Program Guidance.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Demonstrated the NYC areas ability to integrate with

other partners in the interior layer of the GNDA, including transition from State and local led operations to federally led operations through the conduct of coordinated drills, exercises and operations.

Established the first node to link multiple UASIs in order to form a more robust domestic implementation of the GNDA; future STC implementations will result in additional nodes.

Developed plans for STC implementation in a second UASI region using a comprehensive and repeatable program structure. Fiscal Year 2013: Continued Phase II activities in the NYC region while preparing the region to sustain previously developed rad/nuc detection capabilities.

Awarded the Los Angeles/Long Beach area as the second STC implementation site. Started Phase I activities in the Los Angeles/Long Beach STC implementation site.

Laid the foundation for expansion into the third STC implementation site. Fiscal Year 2014: Begin Phase III activities in the STC NYC implementation focusing on sustainment while maintaining connectivity with the NYC area providing subject matter expertise and gathering lessons learned.

Continue Phase I activities in the Los Angeles/Long Beach STC implementation site.

Initiate Phase I activities in the third STC implementation site.

REGULATIONS, GUIDELINES, AND LITERATURE:

Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments, 44 CFR 13. OMB Circular A-87, Cost Principles for State, Local, and Tribal Governments, Relocated to 2 CFR Part 225; OMB Circular A-110, relocated to 2 CFR Part 215. Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Nonprofit Organizations. OMB Circular A-122, relocated to 2 CFR Part 230. Cost Principles for NonProfit Organizations. OMB Circular A-133 Audits of States, Local Governments, and Nonprofit Organizations, in addition to program regulations, guidelines, DHS policy and procedures.

Regional or Local Office:

None.

Headquarters Office:

Christopher Magrino 245 Murray Lane, Mail stop 0550 , Washington, District of Columbia 20528-0550 Email: Christopher.Magrino@dhs.gov Phone: (202) 254-7443

Website Address:

<http://www.dhs.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the program guidance for information on criteria for selecting proposals.

97.107 NATIONAL INCIDENT MANAGEMENT SYSTEM (NIMS)

FEDERAL AGENCY:

Department of Homeland Security

AUTHORIZATION:

Homeland Security Act of 2002, Public Law 107-296; Department of Homeland Security Appropriations Act of 2007, Public Law 109-295; Homeland Security Presidential Directive HSPD-5.

OBJECTIVES:

To support the development, integration, and deployment of incident management systems. This includes operational, technical and programmatic standards in support of nationwide implementation of the NIMS model; establish a unified approach to incident management as well as standard command and management structures.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funding will support implementation of the National Incident Management System (NIMS) which includes incident management standards, related projects, and program support. Refer to program guidance. Refer to program guidance. Refer to program guidance.

Applicant Eligibility:

Specific information on applicant eligibility is identified in the funding opportunity announcement and program guidance.

Beneficiary Eligibility:

State, local, Public Nonprofit Institution/Organization, Federal Recognized Indian Tribal Government, U.S. Territory/Possession, Private Organization, Other Public Institution/Organization.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Application deadline and other information are contained in the application/program guidance.

Award Procedure:

Applications or plans are reviewed and approved for award by DHS program and administrative staff in accordance with program guidance.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Refer to program guidance document.

Appeals:

Refer to program guidance.

Renewals:

Annual.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Refer to program guidance. See the following for information on how assistance is awarded/released: Refer to program guidance.

Reports:

Grantees are required to submit quarterly financial and performance reports. Quarterly "Progress Reports" must include the progress of each sub-grant award. Reports are due 30 days after the end of each quarter: January 31, April 30, July 31, and October 31. Final financial and performance reports are due 90 days after the expiration or termination of grant award. See program guidance. Grantees are required to submit quarterly financial and performance reports. Quarterly "Progress Reports" must include the progress of each sub-grant award. Reports are due 30 days after the end of each quarter: January 31, April 30, July 31, and October 31. Final financial and performance reports are due 90 days after the expiration or termination of grant award. Grantees are required to submit quarterly financial and performance reports. Quarterly "Progress Reports" must include the progress of each sub-grant award. Reports are due 30 days after the end of each quarter: Jan. 31, April 30, July 31, and Oct. 31. Final financial and performance reports are due 90 days after the expiration or termination of grant award. Grantees are required to submit quarterly financial and performance reports. Quarterly "Progress Reports" must include the

progress of each sub-grant award. Reports are due 30 days after the end of each quarter: January 31, April 30, July 31, and October 31. Final financial and performance reports are due 90 days after the expiration or termination of grant award.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0700-0-1-999.

Obligations:

(Cooperative Agreements) FY 12 \$2,012,000; FY 13 est \$2,000,000; and FY 14 Estimate Not Available

Range and Average of Financial Assistance:

Refer to program guidance.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

See program guidance.

Regional or Local Office:

None.

Headquarters Office:

National Integration Center, Federal Emergency Management Agency, C/O 999 E Street, NW, Room 300, Washington, District of Columbia 20472-3300
Email: FEMA-NIMS@dhs.gov Phone: 202-646-3850

Website Address:

<http://www.fema.gov/emergency/nims/index.shtm>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the program guidance.

97.108 HOMELAND SECURITY, RESEARCH, TESTING, EVALUATION, AND DEMONSTRATION OF TECHNOLOGIES FEDERAL AGENCY:

Department of Homeland Security

AUTHORIZATION:

Homeland Security Act of 2002, Public Law 107-296, 6 U.S.C 308(b)(1)(c); Implementing Recommendation of the 9/11 Commission Act of 2007, Section 1901, Public Law 110-53.

OBJECTIVES:

To provide funding and/or property for the purpose of conducting research, testing, evaluation, and demonstration of national preparedness and homeland

security technologies intended to identify, counter, or respond to terrorist threats.

Exploratory Research on Fluorescent Sensing of Nitro-based Explosives: Improvements of Efficiency through Molecular Synthesis and Engineering to achieve trace vapor detection of hydrogen peroxide, which still remains challenging for current sensor technologies due to poor sensitivity.

TYPES OF ASSISTANCE:

DISSEMINATION OF TECHNICAL INFORMATION; PROJECT GRANTS; SALE, EXCHANGE, OR DONATION OF PROPERTY OR GOODS; USE OF PROPERTY, FACILITIES, OR EQUIPMENT

USES AND USE RESTRICTIONS:

Funding is used for labor and materials in support of basic efforts supporting the purpose of this grant. Refer to program guidance.

Applicant Eligibility:

States, local governments, private, public, profit or nonprofit organizations, Indian Tribal governments, or individuals specified by U.S. Appropriation Statute, including U. S. and international institutions of higher education and educational laboratories.

Beneficiary Eligibility:

Federal, State, and local governments, private, public, profit or nonprofit organizations, Indian tribal governments, and individuals.

Credentials/Documentation:

May be required by the U.S. Statute, regulation, or program guidance. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Application deadline and other information are contained in the application/program guidance.

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Refer to program guidance document.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. This program has no matching requirements. Some - see program guidance document. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Refer to program guidance. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures. See the following for information on how assistance is awarded/released: Refer to program guidance.

Reports:

Refer to program guidance. Refer to program guidance. Refer to program guidance. Refer to program guidance. Refer to program guidance.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June

27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than nine months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of three years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0800-0-1-999 - DUNS Number: 009095365.

Obligations:

(Salaries) FY 12 \$165,000; FY 13 est \$1,000,000; and FY 14 est \$1,000,000

Range and Average of Financial Assistance:

Refer to program guidance.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: The achievement of selective detection of TNT amount its nitro-aromatic analogues using specially designed tubular nanofiber sensors that enable diffusion controlled fluorescence quenching of TNT distinctive from other analytes.

Further improved the fabrication of nanofiber materials through temperature control in solution and in situ surface assisted self-assembly.

Developed a fluorescence turn-on molecular sensor for highly selective vapor detection of hydrogen peroxide.

International component issued one award and has been extremely successful in terms of research and application with international collaboration. Fiscal Year 2013: Design and synthesis of new sensor molecules with enhanced solid state fluorescence emission and selective binding with the explosives of interest;

Interfacial modification of sensor materials for instant reaction (seconds) with the explosive compounds;

Integrative chemistry optimization and nanostructural engineering of materials to achieve large surface area and three-dimensional porosity for expedient gas sampling and analytes accumulation to maximize the sensor sensitivity;

Optimal deposition of nanofibril sensor materials on substrate, e.g., orientation vs. flow direction and rate, to maximize the sensor performance, enabling vapor detection of RDX, PETN and HMX under ambient condition;

Partnership with FLIR, Smiths Detection, Torion Technologies for multi-sensor integration, nanodevice fabrication and further performance improvement combining sensitivity, response time, selectivity and robustness against environment interferents and change in temperature and humidity.

In-field sensor testing and validation will be performed at West Desert Test Center at the US Army Dugway Proving Ground. Fiscal Year 2014: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

OMB Circulars Nos. A-21, Cost Principles for Educational Institutions, A-87, Cost Principles for State, Local and Indian Tribal Governments, A-102 Grants and Cooperative Agreements with State and Local Governments, A-110, Uniform Administrative Requirements for Grants and Other Agreements With Institutions of Higher Education, Hospitals and Nonprofit Organizations, and A-133 Audits of States, Local Governments, and Nonprofit Organizations, in addition to program regulations, guidelines, DHS policy and procedures.

Regional or Local Office:

None.

Headquarters Office:

DHS, S&T, Homeland Security Advanced Research Projects Agency 245 Murray Lane, S.W., Washington, District of Columbia 20548 Phone: (202) 254-5372 or (202) 447-5522

Website Address:

<http://www.dhs.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the program guidance for information on criteria for selecting proposals.

97.109 DISASTER HOUSING ASSISTANCE GRANT

FEDERAL AGENCY:

Department of Homeland Security

AUTHORIZATION:

Robert T. Stafford Disaster Relief and Emergency Assistance Act (The Stafford Act); Sections 408(b)(1); and 306(a), Title 42, Section 5149, 5189; Homeland Security Act, Title 6, Section 112, Public Law 107-296, 6 U.S.C 102; Economy Act, Title 31, Section 1535.

OBJECTIVES:

To provide resources for rental assistance, security and utility deposits, and case management services for families who were displaced by Hurricanes Katrina, Rita, Gustav and Ike. The Disaster Housing Assistance Program (DHAP) would be implemented in the form of a rental assistance program that would continue providing housing subsidies for families determined to be eligible for the program.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Housing and Urban Development's (HUD) Public Housing Agencies (PHAs) will use the assistance to provide eligible families who were displaced by Hurricanes Katrina, Rita, Gustav and Ike with monthly rent subsidies. Families are either receiving or are eligible to receive rental assistance administered by FEMA. PHAs will administer the DHAP to families that have been determined eligible by FEMA through HUD's web-based Disaster Information System. The assistance must be used by PHAs to provide rental assistance to families determined eligible by FEMA. In addition to rental assistance, grant funds shall be used to provide case management services to eligible families and to pay for costs associated with PHA administration of the program.

Applicant Eligibility:

Individuals and families determined eligible for FEMA housing assistance. Specific information on applicant eligibility is identified in the funding opportunity announcement and program guidance.

Beneficiary Eligibility:

Individuals and families.

Credentials/Documentation:

All Public Housing Agencies with jurisdiction over areas where eligible families reside that administer the Housing Choice Voucher Program are invited to apply provided they have the administrative capacity to assume responsibility for the DHAP.

Specific information on applicant eligibility is identified in the funding opportunity announcement and program guidance. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110

applies to this program. Application deadline and other information are contained in the application/program guidance.

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Refer to program guidance document.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Refer to program guidance Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures. See the following for information on how assistance is awarded/released: Refer to program guidance. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures.

Reports:

Grantees are required to submit quarterly financial and performance reports. Quarterly Progress Reports must include the progress of each sub-grant award. Both quarterly reports are due 30 days after the end of each quarter: January 30, April 30, July 30, and October 30. Final financial and performance reports are due 90 days after the expiration or termination of grant award. Refer to program guidance. Refer to program guidance. Refer to program guidance.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0702-0-1-453.

Obligations:

(Project Grants) FY 12 \$6,446,134; FY 13 est \$0; and FY 14 est \$0

Range and Average of Financial Assistance:

Refer to program guidance.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Fair Housing Act, Title VI of the Civil Rights Act of 1964; Section 504 of the Rehabilitation Act of 1973; Title II of the Americans with Disabilities Act; 24 CFR 982.352; 982.401; 982.555; PIH Notice 2007-26. Full text may be obtained at the following website:

<http://www.hud.gov/offices/pih/publications/hurricane.cfm>.

Regional or Local Office:

See Regional Agency Offices. Federal Emergency Management Agency-Individual Assistance 500 C St S.W., Washington DC 20472.

Headquarters Office:

Terri Bureson 245 Murray Lane, Building 410, Washington, District of Columbia 20472 Phone: (202) 212-1000

Website Address:

www.fema.gov.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the program guidance for information on criteria for selecting proposals.

97.110 SEVERE REPETITIVE LOSS PROGRAM

SRL

FEDERAL AGENCY:

Department of Homeland Security

AUTHORIZATION:

The SRL program is authorized by Section 1361A of the NFIA , Public Law 108-264, 42 U.S.C 4102a.

OBJECTIVES:

To assist States and local governments in supporting actions that reduce or eliminate the long-term risk of flood damage to residential properties insured under the National Flood Insurance Program (NFIP) that meet the definition of severe repetitive loss property, and to reduce losses to the National Flood Insurance Fund (NFIF) by funding projects that result in the greatest savings to the NFIF in the shortest time period. This grant program supports the Mitigate component of the Presidential Preparedness Directive 8 (PPD-8) in the following ways: by providing funding to states and communities that promotes Long-Term Vulnerability Reduction by elevating at-risk homes or removing structures from flood hazard areas. Overall, this program also supports Community Resilience by reducing the need for emergency services in flood-prone areas of the community.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

States, Territories, local and Federally - recognized Indian tribal governments may apply for SRL funding to reduce or eliminate the long-term risk of flood damage to residential properties insured under the NFIP that meet severe repetitive loss property eligibility criteria. Eligible activities under this program include: acquisition and relocation of at-risk structures and the conversion of property to open space; elevation of existing structures to the Base Flood Elevation (BFE) or an ABFE Advisory Base Flood Elevation (ABFE) or higher (for the SRL program Mitigation Reconstruction is only permitted when traditional elevation cannot be implemented); minor physical localized flood control projects; and dry-flood proofing (historic properties only). Projects must meet all eligibility criteria including cost-effectiveness; compliance with environmental and historic preservation laws and regulations and other program requirements.

Applicant Eligibility:

Entities eligible to apply for HMA grants include the emergency management agency or a similar office of the 50 States, the District of Columbia, American Samoa, Guam, the U.S. Virgin Islands, Puerto Rico, the Northern Mariana Islands, and Indian Tribal governments. Each State, Territory, Commonwealth, or Indian Tribal government shall designate one agency to serve as the

Applicant for each HMA program. Please see the HMA program guidance for more detailed information.

Beneficiary Eligibility:

State agencies, Indian Tribal governments, and local governments and communities are eligible to apply as subapplicants for assistance under the SRL program. All interested subapplicants must apply to the Applicant. Please see the HMA program guidance for detailed information.

Credentials/Documentation:

Please see the HMA Program Guidance. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Contact the headquarters or regional office, as appropriate, for application deadlines

Award Procedure:

Applications are reviewed by DHS/FEMA program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Refer to HMA program guidance document.

Appeals:

Property owners who refuse an SRL mitigation offer may become subject to increases of their NFIP insurance premium rates, and have the right to appeal such rate increases. Refer to HMA program guidance or the administering program office for further information.

Renewals:

Refer to HMA program guidance document.

Formula and Matching Requirements:

Statutory Formula: 44 CFR Part 79.

Matching Requirements: Refer to HMA program guidance for additional information.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Refer to HMA program guidance. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures. See the following for information on how assistance is awarded/released: Based on project need.

Reports:

Grantees are required to submit quarterly financial and performance reports. Quarterly Progress Reports must include the progress of each sub-grant award. Reports are due 30 days after the end of each quarter: January 30, April 30, July 30, and October 30. Final financial and performance reports are due 90 days after the expiration or termination of grant award. Please refer to HMA program guidance for more information. Grantees are required to submit quarterly financial and performance reports. Quarterly Progress Reports must include the progress of each sub-grant award. Reports are due 30 days after the end of each quarter: January 30, April 30, July 30, and October 30. Final financial and performance reports are due 90 days after the expiration or termination of grant award. Please refer to HMA program guidance for more information. Grantees are required to submit quarterly financial and performance reports. Quarterly Progress Reports must include the progress of each sub-grant award. Reports are due 30 days after the end of each quarter: January 30, April 30, July 30, and October 30. Final financial and performance reports are due 90 days after the

expiration or termination of grant award. Please refer to HMA program guidance for more information. Grantees are required to submit quarterly financial and performance reports. Quarterly Progress Reports must include the progress of each sub-grant award. Reports are due 30 days after the end of each quarter: January 30, April 30, July 30, and October 30. Final financial and performance reports are due 90 days after the expiration or termination of grant award. Please refer to HMA program guidance for more information. Grantees are required to submit quarterly financial and performance reports. Quarterly Progress Reports must include the progress of each sub-grant award. Reports are due 30 days after the end of each quarter: January 30, April 30, July 30, and October 30. Final financial and performance reports are due 90 days after the expiration or termination of grant award. Please refer to HMA program guidance for more information.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantee's fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-4236-0-3-453.

Obligations:

(Project Grants) FY 12 \$41,423,530; FY 13 Estimate Not Available; and FY 14 Estimate Not Available

Range and Average of Financial Assistance:

Refer to HMA program guidance document.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

The SRL and FMA Program Regulations at 44 CFR Part 79; the DHS FEMA Real Property Acquisition Regulations at 44 CFR Part 80, and the HMA program guidance.

Regional or Local Office:

See Regional Agency Offices. See Appendix IV of the Catalog for listing of addresses of the DHS FEMA Regional Offices.

Headquarters Office:

Lloyd Hake - FEMA 1800 South Bell Street, Arlington, Virginia 20595-3015
Email: lloyd.hake@dhs.gov Phone: (202) 646-3428

Website Address:

<http://www.fema.gov/government/mitigation.shtm>

RELATED PROGRAMS:

97.029 Flood Mitigation Assistance; 97.039 Hazard Mitigation Grant; 97.047 Pre-Disaster Mitigation; 97.092 Repetitive Flood Claims

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the HMA program guidance for information on criteria for selecting proposals.

97.111 REGIONAL CATASTROPHIC PREPAREDNESS GRANT PROGRAM (RCPGP)

(RCPGP)

FEDERAL AGENCY:

Department of Homeland Security

AUTHORIZATION:

Consolidated Appropriations Act of 2008, Public Law 110-161; U.S. Troop Readiness, Veterans' Care, Katrina Recovery, and Iraq Accountability Appropriations Act of 2007, Public Law 110-28; Consolidated Security, Disaster Assistance, and Continuing Appropriations Act, 2009, Public Law 110-329; Department of Defense and Full-Year Continuing Appropriations Act, 2011, Public Law 112-10.

OBJECTIVES:

The goal of RCPGP is to support an integrated planning system that provides for regional all-hazard planning for catastrophic events and the development of necessary plans, protocols, and procedures to manage a catastrophic event. Objectives include, creating regional planning processes and planning communities through the establishment of a Regional Catastrophic Planning Team; Identifying and assessing priority areas of concern using both capabilities-based and scenario-based planning models; Developing enhanced regional plans and addressing shortcomings in jurisdiction plans to support both the management of a catastrophic incident and to enable enduring government; and linking planning efforts to resource allocations. Funding and guidance for the five years of this grant program pre-date PPD-8.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

RCPGP is intended to support co-ordination of regional, all-hazard planning for catastrophic events, including the development of all necessary integrated planning communities, plans, protocols, and procedures. The goal is to allow jurisdictions to determine how to improve their catastrophic planning processes through Fix, Build, and Resource: fix shortcomings in existing plans; build regional planning processes and planning communities; and link operational needs identified in plans to resource allocation, including homeland security grant programs.

Applicant Eligibility:

Specific information on applicant eligibility is identified in the funding opportunity announcement and program guidance.

Beneficiary Eligibility:

State and local governments.

Credentials/Documentation:

No Credentials or documentation are required. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Application deadline and other information are contained in the application/program guidance.

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Refer to program guidance document.

Appeals:

None.

Renewals:

An annual appropriation is anticipated for this program.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: Percent: 25.%. The program will require a soft match of non-Federal funds totaling 25 per cent.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Refer to program guidance. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures. See the following for information on how assistance is awarded/released: Refer to program guidance.

Reports:

Quarterly reports. Quarterly reports. Quarterly reports. Quarterly reports.

Grantees are required to submit quarterly financial and performance reports.

Quarterly "Progress Reports" must include the progress of each sub-grant award. Reports are due 30 days after the end of each quarter: January 30, April 30, July 30, and October 30. Final financial and performance reports are due 90 days after the expiration or termination of grant award.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0560-0-1-999.

Obligations:

(Salaries) FY 12 \$0; FY 13 est \$0; and FY 14 est \$0 - This program was only funded in Fiscal Years 2007-2011, inclusive. The grant program period is up to 48 months for each fiscal year.

Range and Average of Financial Assistance:

Refer to program guidance.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: In December 2011, representatives from all 10 sites met in New York City to share best practices and program benefits with partners across the country, collectively agreeing to develop a comprehensive report to FEMA highlighting program successes. Within the comprehensive, consolidated report, information about each site, their accomplishments, current projects, and future direction were captured. For example, in the Bay Area, the site formed an inter-agency, multi-discipline, and multi-jurisdictional group and as a result of this comprehensive, regional planning effort, the Bay Area elected to develop annexes to the Bay Areas Regional Emergency Coordination Plan (RCP) in six distinct, yet interrelated, planning efforts utilizing the common scenario of a catastrophic earthquake in the Bay Area: Debris Removal, Mass Care and Sheltering, Mass Fatality, Mass Transportation/Evacuation, Volunteer

Management and Donations Management. Each planning effort includes a regional plan which identifies the major roles and responsibilities of regional emergency response entities, as well as identifying the critical relationships and lines of communication between local and regional responders and providers. In the Chicago Area, the site developed a Regional Transportation Simulation Tool for Evacuation Planning (RTSTEP) which is a sophisticated computer transportation simulation that creates a virtual representation of the entire region. This tool helps emergency planners with forecasting to help mitigate congestion and develop emergency evacuation plans. In the National Capital Region, the site conducted a behavioral analysis study that allowed the region to determine preparedness levels (40%) and predict behavior of citizens in catastrophic events to include the protective actions of shelter in place (70%) and/or evacuation. Fiscal Year 2013: Hurricane Sandy Response and Recovery Efforts

Hurricane Sandy provided the NY-NJ-CT-PA site with an opportunity to use three of their plans Housing, Logistics, and Mass Fatality Management to support the response and recovery. Both New York and New Jersey used the NY-NJ-CT-PA Regional Catastrophic Planning Teams (RCPT) Disaster Housing Recovery plans, implemented several Interagency Task Forces to solve broad housing problems, and used the Community Recovery Playbook as guidance for developing action plans to use Community Recovery Block Grant Disaster Recovery funding. The NY-NJ-CT-PA RCPTs logistics program was activated to support the staging, receiving, and distribution of essential resources. Sister RCPGP site Puget Sounds Resource Management and Logistics Toolkit (RMLT) was also put to use in New York following Hurricane Sandy, providing essential details and information to New York States Logistics Team. While the RMLT has yet to be tested in Puget Sound, it was a great asset in New York. Additionally, interim housing work completed in 2010 by the National Capital Region (NCR) RCPGP site was utilized by both New York and New Jersey.

Boston Marathon Bombings

The City of Boston received offers of assistance from sister RCPGP sites and used plans from both Los Angeles/Long Beach and NY-NJ-CT-PA to develop a recovery and re-entry strategy. Fiscal Year 2014: All 10 RCPGP sites will develop:

- Full scale exercises for at least one component developed or enhanced through RCPGP
- Functional or tabletop exercises for the remaining components developed or enhanced through RCPGP
- Reports summarizing all RCPGP related progress throughout the life of the grant
- Corrective Action Plans for gaps identified through exercises
- Sustainment plans for RCPGP products through other funding sources
- Reports detailing how RCPGP efforts have linked the planning, operations, equipment, training, and exercise aspects of other FEMA Preparedness Grant Programs (e.g., the Homeland Security Grant Program [HSGP], the Urban Area Security Initiative [UASI], the Emergency Management Performance Grant [EMPG]) and other Federal Grant Programs (e.g., the United States Department of Health and Human Services [HHS] and the United States Department of Agriculture [USDA]).

REGULATIONS, GUIDELINES, AND LITERATURE:

For regulations and guidelines, please refer to the solicitation guidance and application kit.

Regional or Local Office:

None.

Headquarters Office:

National Preparedness Directorate, FEMA 500 C Street SW, Washington, District of Columbia 20472 Email: NPD-Planning@fema.gov Phone: (1-800) 368-6498

Website Address:

<http://www.fema.gov/government/grant/index/shtm>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the program guidance for information on criteria for selecting proposals.

97.113 RAIL AND TRANSIT SECURITY GRANT PROGRAM (ARRA)

Transit Security Grant Program (American Recovery and Reinvestment Act of 2009)

FEDERAL AGENCY:

Department of Homeland Security

AUTHORIZATION:

Implementing Recommendations of the 9/11 Commission Act of 2007, sections 1406 and 1513 (P.L. 110-53; 6 USC 1135 and 1136); P.L. 111-5, American Recovery and Reinvestment Act of 2009.

OBJECTIVES:

To create sustainable programs for the protection of critical bus and infrastructure from terrorism, with special emphasis on construction projects which address the most significant risks and can also be completed in a timely fashion.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Programs included under the CFDA number are: the ARRA Transit Security Grant Program (TSGP), Consistent with sections 1406 and 1513 of the Implementing Recommendations of the 9/11 Commission Act of 2007 (Public Law 110-53; 6 U.S.C. 1135 and 1163, funds may be used for planning, organizational activities, equipment acquisition, training, management and administrative activities, and other costs the Secretary deems appropriate to support the objectives of the program. Priority will be given to operational packages and capital projects which address the most significant risks and can also be completed in a timely fashion.

Applicant Eligibility:

Pursuant to PL 110-53, in order for a transit agency to be eligible for the ARRA TSGP, they must have either undergone a security assessment conducted by DHS (such as the Baseline Assessment for Security Enhancement (BASE) program security assessment performed by TSA Transportation Security Inspectors Surface, or developed and/or updated their own security plan within the past three years. A list of eligible transit agencies is included in the ARRA TSGP guidance.

Beneficiary Eligibility:

Refer to program guidance.

Credentials/Documentation:

P.L. 111-5 American Recovery and Reinvestment Act of 2009, Section 1511 Certifications: With respect to covered funds made available to State or local governments for infrastructure investments, the Governor, mayor, or other chief executive, as appropriate, shall certify that the infrastructure investment has received the full review and vetting required by law and that the chief executive accepts responsibility that the infrastructure investment is an appropriate use of taxpayer dollars. Such certification shall include a description of the investment, the estimated total cost, and the amount of covered funds to be used, and shall be posted on a website and linked to the website established by section 1526 of the American Recovery and Reinvestment Act of 2009. A State or local agency may not receive infrastructure investment funding from funds made available in this Act unless this certification is made and posted. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Application deadline and other information are contained in the application/program guidance.

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Refer to announcement or application guidance for further information.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Refer to program guidance. See the following for information on how assistance is awarded/released: Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures.

Reports:

(1) The total amount of recovery funds received from that agency; (2) The amount of recovery funds received that were obligated and expended to projects or activities. This reporting will also include unobligated Allotment balances to facilitate reconciliations. (3) A detailed list of all projects or activities for which recovery funds were obligated and expended, including-- (A) The name of the project or activity; (B) A description of the project or activity; (C) An evaluation of the completion status of the project or activity; (D) An estimate of the number of jobs created and the number of jobs retained by the project or activity; and (E) For infrastructure investments made by State and local governments, the purpose, total cost, and rationale of the agency for funding the infrastructure investment with funds made available under this Act, and name of the person to contact at the agency if there are concerns with the infrastructure investment. (4) Detailed information on any subcontracts or subgrants awarded by the recipient to include the data elements required to comply with the Federal Funding Accountability and Transparency Act of 2006 (Public Law 109-282), allowing aggregate reporting on awards below \$25,000 or to individuals, as prescribed by the Director of OMB. Financial Status Reports. Progress Reports. Financial Status Reports

Recovery Act funding reports. Subrecipient monitoring.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0563-0-1-999 - TAFS: 70 0563.

Obligations:

(Project Grants) FY 12 \$0; FY 13 est \$0; and FY 14 est \$0 - The ARRA TSGP was only available in FY 2009. This program will remain open due to open awards.

Range and Average of Financial Assistance:

Refer to program guidance.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

OMB Memorandum M-09-10 Subject: Initial Implementing Guidance for the American Recovery and Reinvestment Act of 2009.

Regional or Local Office:

None.

Headquarters Office:

Department of Homeland Security/FEMA Techworld Building - 800 K Street, NW, Washington, District of Columbia 20472-3615 Email: askcsid@dhs.gov Phone: 1-800-368-6498

Website Address:

<http://www.fema.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the program guidance for information on criteria for selecting proposals.

97.114 EMERGENCY FOOD AND SHELTER NATIONAL BOARD PROGRAM (ARRA)

ARRA Emergency Food and Shelter National Board Program

FEDERAL AGENCY:

Department of Homeland Security

AUTHORIZATION:

Stewart B. McKinney Homeless Assistance Act of 1987, as amended, Title III, Sections 301-316, Public Law 100-77, as amended, 42 U.S.C. 11331-11346; Public Law 111-5 American Recovery and Reinvestment Act of 2009.

OBJECTIVES:

To supplement and expand ongoing efforts to provide shelter, food, and supportive services for needy families and individuals. To strengthen efforts to create more effective and innovative local programs by providing supplemental funding for them. To conduct minimum rehabilitation of existing mass shelter or mass feeding facilities, but only to the extent necessary to make facilities safe, sanitary and bring them into compliance with local building codes.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Food and related expenses (such as transporting food/food preparation and serving equipment); mass shelter; other shelter (such as hotels and motels); and rent/mortgage and/or utility assistance limited to one (1) month; and limited repairs to feeding and sheltering facilities. Emergency Food and Shelter National Board Program funds cannot be used for: rental security, deposits of any kind, cash payments of any kind, lobbying efforts, salaries (except as administrative allowance and limited to that total allowance of 2 percent of total award), purchases or improvements of an individual's private property, telephone costs, repairs to government-owned or profit-making facilities and any payments for services not incurred. For a complete listing on eligible and ineligible costs under this program, refer to the current Phase (fiscal year) manual: Responsibilities and Requirements, issued by the Emergency Food and Shelter Program National Board.

Applicant Eligibility:

Refer to program guidance.

Beneficiary Eligibility:

The law directs that the Local Boards, which manage the program at the local level, shall "determine which private nonprofit organizations or public organizations of the local government in the individual locality shall receive grants to act as service providers." The range of participant groups on the Local Boards include the affiliates of the National Board membership which consists of American Red Cross, Catholic Charities USA, National Council of Churches of Christ in the U.S.A., The Jewish Federations of North America, The Salvation Army, and United Way Worldwide, with a local government representative replacing FEMA. Also participating are independent nonprofits (such as Community Action Agencies and Food Banks and food pantries) which provide food and/or shelter services. Due to the broad category of people in need of such emergency services, the providers can include specialized groups such as domestic violence shelters, Native American organizations, and organizations providing food or shelter to: AIDS patients, handicapped individuals, the elderly, homeless veterans, non-wards of the State teenage runaways, and many other groups with emergency food and shelter needs. As noted earlier, the decisions on selections are made through the consensus of the Local Board as they have assessed their community's most urgent needs. It is important that such agencies use these funds to supplement, not replace, their current efforts. This program is intended to supplement ongoing programs and allow them to extend and expand upon their existing services.

Credentials/Documentation:

The program employs a Local Recipient Organization Certification Form. This form certifies an applicant's status (i.e., that it is a nonprofit or government agency capable of delivering eligible services) that it will abide by the program guidelines (both on eligible spending and reporting requests), and comply with other fiscal and program rules so stipulated. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. There is no application process for local jurisdictions since the award notifications to local jurisdictions are based on either a National Board formula or recommendations from State Set-Aside Committees.

Award Procedure:

Local Board plans are submitted to the National Board and reviewed by the National Board program.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Refer to the Emergency Food and Shelter National Board Program, "Phase 27: Responsibilities and Requirements" document for information on the appeal process. Those cases that cannot be handled locally should be referred to the National Board giving details on action that has been taken up to that point. Any allegations regarding fraud or the misuse of funds should be referred directly to the DHS Office of Inspector General.

Renewals:

Each phase (fiscal year) is a new program.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Refer to program guidance. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures. See the following for information on how assistance is awarded/released: Payment based on Project need. See the following for information on how assistance is awarded/released: No information provided.

Reports:

Local Boards are expected to monitor the expenditure of funds in their respective jurisdictions. It is for that reason that requests for second checks (all awards are divided into two payments) must be authorized by the Local Boards. Along with the request for the second payment, the National Board receives an interim report on eligible spending during that phase. A Final Report also must be filed by each LRO with its Local Board. The Local Board then compiles all the Final Reports within its jurisdiction and submits them (along with any requested documentation) to the National Board. Failure of an LRO to file a Final Report will result in its award being held in escrow the succeeding year. Recovery funding reports will be submitted in the prescribed format within 10 days after the end of each calendar quarter, beginning July 10, 2009. These reports will include the following data elements, as prescribed by the Public Law 111-5, Section 1512 and OMB Memorandum M-09-10: (1) The total amount of recovery funds received from that agency; (2) The amount of recovery funds received that were obligated and expended to projects or activities. This reporting will also include unobligated Allotment balances to facilitate reconciliations. (3) A detailed list of all projects or activities for which recovery funds were obligated and expended, including--(A) The name of the project or activity; (B) A description of the project or activity; (C) An evaluation of the completion status of the project or activity; (D) An estimate of the number of jobs created and the number of jobs retained by the project or activity (Not Applicable to Emergency Food and Shelter); and (E) For infrastructure investments made by State and local governments, the purpose, total cost, and rationale of the agency for funding the infrastructure investment with funds made available under this Act, and name of the person to contact at the agency if there are concerns with the infrastructure investment (Not Applicable to Emergency Food and Shelter). (4) Detailed information on any subcontracts or subgrants awarded by the recipient to include the data elements required to comply with the Federal Funding Accountability and Transparency Act of 2006 (Public Law 109-282), allowing aggregate reporting on awards below \$25,000 or to individuals, as prescribed by the Director of OMB. Refer to program guidance. Refer to program guidance. Refer to program guidance. Refer to program guidance.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Local Boards are required to remain in operation until all program and audit requirements of the National Board have been satisfied. Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0708-0-1-605 - TAFS: 70 0708.

Obligations:

(Project Grants) FY 12 \$0; FY 13 est \$0; and FY 14 est \$0 - Funded in FY09 only. This program remains open due to open awards.

Range and Average of Financial Assistance:

Refer to program guidance.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

EFS National Board Program, "Phase 27: Responsibilities and Requirements" manual. OMB Memorandum M-09-10 Subject: Initial Implementing Guidance for the American Recovery and Reinvestment Act of 2009.

Regional or Local Office:

See Regional Agency Offices. See Catalog Appendix IV for list of addresses for FEMA Regional Offices.

Headquarters Office:

Federal Emergency Management Agency (FEMA), 500 C Street, SW, Room 619., Washington, District of Columbia 20472 Email: poc@dhs.gov Phone: (800) 621-FEMA (3363)

Website Address:

http://www.fema.gov.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

97.115 ASSISTANCE TO FIREFIGHTERS GRANT (ARRA)

ARRA Fire Station Construction

FEDERAL AGENCY:

Department of Homeland Security

AUTHORIZATION:

Public Law 111-5 American Recovery and Reinvestment Act of 2009, Public Law 111-5.

OBJECTIVES:

To provide financial assistance directly to fire departments to enhance their capabilities with respect to fire and fire-related hazards. To provide financial assistance in the construction, upgrading or rehabilitation of fire stations.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Fire station construction. (Public Law 111-5 American Recovery and Reinvestment Act of 2009, Section 1511); Eligible Uses: new station construction, existing station upgrading or rehabilitation; up to 5 percent shall be for program administration and no grant shall exceed \$15,000,000. Restrictions: certification as cited below in 083 Credentials/Documentation; funded only under Public Law 111-5.

Applicant Eligibility:

Refer to program guidance.

Beneficiary Eligibility:

Refer to program guidance.

Credentials/Documentation:

Each applicant must certify: (1) that they are an eligible applicant, i.e., a fire department as defined above; (2) as to the characteristics of their community, i.e., Urban, Suburban, or Rural; (3) to the population size of the community served; and (4) to the type of department, i.e., volunteer/combination or career. Public Law 111-5 American Recovery and Reinvestment Act of 2009, Section 1511 Certifications: With respect to covered funds made available to State or local governments for infrastructure investments, the Governor, mayor, or other chief executive, as appropriate, shall certify that the infrastructure investment has received the full review and vetting required by law and that the chief executive accepts responsibility that the infrastructure investment is an appropriate use of taxpayer dollars. Such certification shall include a description of the investment, the estimated total cost, and the amount of covered funds to be used, and shall be posted on a website and linked to the website established by section 1526 of the American Recovery and

Reinvestment Act of 2009. A State or local agency may not receive infrastructure investment funding from funds made available in this Act unless this certification is made and posted. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Application deadline and other information are contained in the application/program guidance.

Award Procedure:

Applications or plans are peer reviewed, and reviewed by DHS program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

From 15 to 30 days. Applicants that were denied grants can request a reconsideration of the decision. Such a request may be successful if it is determined that the rating criteria were not appropriately applied, or there was a flaw in the competitive process that unfairly disadvantaged the applicant, or there was human error in the processing of the grant application. Requests for reconsideration must be submitted in writing within 30 days of the date of the notification of denial.

Renewals:

> 180 Days. Grant period is 36 months for this program. In the event that the grantees are unable to fulfill their grant obligations within the 36-month grant period, they may request an extension of the performance period. Such requests will be considered and approved based on the written justification.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Refer to program guidance. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures. See the following for information on how assistance is awarded/released: Refer to program guidance.

Reports:

Grantees are required to submit Progress Reports which will include a narrative statement that details the progress of each award. Recovery funding reports will be submitted in the prescribed format within 10 days after the end of each calendar quarter, beginning July 10, 2009. These reports will include the following data elements, as prescribed by the Public Law 111-5, Section 1512 and OMB Memorandum M-09-10: (1) The total amount of recovery funds received from that agency; (2) The amount of recovery funds received that were obligated and expended to projects or activities. This reporting will also include unobligated Allotment balances to facilitate reconciliations. (3) A detailed list of all projects or activities for which recovery funds were obligated and expended, including-- (A) The name of the project or activity; (B) A description of the project or activity; (C) An evaluation of the completion status of the project or activity; (D) An estimate of the number of jobs created and the number of jobs retained by the project or activity; and (E) For infrastructure investments made by State and local governments, the purpose, total cost, and rationale of the agency for funding the infrastructure investment with funds made available under this Act, and name of the person to contact at the agency if there are concerns with the infrastructure investment. (4) Detailed information on any subcontracts or subgrants awarded by the recipient to include the data elements required to comply with the Federal Funding

Accountability and Transparency Act of 2006 (Public Law 109-282), allowing aggregate reporting on awards below \$25,000 or to individuals, as prescribed by the Director of OMB. Final financial and performance reports are due 90 days after the expiration or termination of grant award. Refer to program guidance. Refer to program guidance. Refer to program guidance.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0567-0-1-999.

Obligations:

(Project Grants) FY 12 \$0; FY 13 est \$0; and FY 14 est \$0 - Funded in FY 09 only.

Range and Average of Financial Assistance:

Refer to program guidance.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

The Federal Fire Prevention and Control Act of 1974, 15 U.S.C. 2229 et seq. In addition, the program guidance, the FAQs, an applicant tutorial, and other program information can be found on the programs website at www.firegrantsupport.com. OMB Memorandum M-09-10 Subject: Initial Implementing Guidance for the American Recovery and Reinvestment Act of 2009.

Regional or Local Office:

See Regional Agency Offices. The Assistance to Firefighters Grants are administered from the FEMA Headquarters Office. FEMA also has 10 regional offices which house Fire Program Specialists who may be contacted for information on the program.

Headquarters Office:

DHS/FEMA/Grant Programs Directorate, Assistance to Firefighters Grant Program, TechWorld Bldg - South Tower 5th Floor, 500 C Street, SW, Washington, District of Columbia 20472 Email: firegrants@dhs.gov Phone: (866) 274-0960

Website Address:

<http://www.fema.gov>

RELATED PROGRAMS:

15.031 Indian Community Fire Protection; 15.228 National Fire Plan - Wildland Urban Interface Community Fire Assistance; 97.018 National Fire Academy Training Assistance; 97.043 State Fire Training Systems Grants; 97.044 Assistance to Firefighters Grant

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the program guidance for information on criteria for selecting proposals.

97.116 PORT SECURITY GRANT PROGRAM (ARRA)

ARRA Port Security Grant Program

FEDERAL AGENCY:

Department of Homeland Security

AUTHORIZATION:

Maritime Transportation Security Act (46 U.S.C. Section 70107); Public Law 111-5, American Recovery and Reinvestment Act of 2009.

OBJECTIVES:

To create a sustainable program for the protection of regulated ports from terrorism, with one priority on construction projects which address the most significant risks and can also be completed in a timely fashion.

TYPES OF ASSISTANCE:

Cooperative Agreements; Project Grants

USES AND USE RESTRICTIONS:

Funds may be used for costs associated with maritime domain awareness; IED prevention, protection, response, and recovery capabilities; and management and administration. The program guidance outlines uses and restrictions in detail. One of the priorities for the American Recovery and Reinvestment Act (ARRA) Port Security Grant Program (PSGP) is construction projects which address the most significant risks and can also be completed in a timely fashion.

Applicant Eligibility:

Refer to program guidance.

Beneficiary Eligibility:

Refer to program guidance.

Credentials/Documentation:

Public Law 111-5, American Recovery and Reinvestment Act of 2009, Section 1511 Certifications: With respect to covered funds made available to State or local governments for infrastructure investments, the Governor, mayor, or other chief executive, as appropriate, shall certify that the infrastructure investment has received the full review and vetting required by law and that the chief executive accepts responsibility that the infrastructure investment is an appropriate use of taxpayer dollars. Such certification shall include a description of the investment, the estimated total cost, and the amount of covered funds to be used, and shall be posted on a website and linked to the website established by section 1526 of the American Recovery and Reinvestment Act of 2009. A State or local agency may not receive infrastructure investment funding from funds made available in this Act unless this certification is made and posted. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not required for all participants. Applicants within designated states are required to coordinate with state designated authorities. See guidance documents for details. Environmental Historical Preservation information is required post award for projects funded through this program. An environmental impact assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Application deadline and other information are contained in the application/program guidance.

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Refer to announcement or application guidance for further information.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Refer to program guidance. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures. See the following for information on how assistance is awarded/released: No information provided.

Reports:

(1) The total amount of recovery funds received from that agency; (2) The amount of recovery funds received that were obligated and expended to projects or activities. This reporting will also include unobligated Allotment balances to facilitate reconciliations. (3) A detailed list of all projects or activities for which recovery funds were obligated and expended, including--(A) The name of the project or activity; (B) A description of the project or activity; (C) An evaluation of the completion status of the project or activity; (D) An estimate of the number of jobs created and the number of jobs retained by the project or activity; and (E) For infrastructure investments made by State and local governments, the purpose, total cost, and rationale of the agency for funding the infrastructure investment with funds made available under this Act, and name of the person to contact at the agency if there are concerns with the infrastructure investment. Financial Status Reports. Program Progress Reports. Detailed information on any subcontracts or subgrants awarded by the recipient to include the data elements required to comply with the Federal Funding Accountability and Transparency Act of 2006 (Public Law 109-282), allowing aggregate reporting on awards below \$25,000 or to individuals, as prescribed by the Director of OMB. Subrecipient monitoring.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0563-0-1-402 - TAFS: 70 0563.

Obligations:

(Project Grants) FY 12 \$0; FY 13 est \$0; and FY 14 est \$0 - The ARRA Port Security Grant Program was only funded for FY 2009. This program remains open due to open awards.

Range and Average of Financial Assistance:

Refer to program guidance.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

OMB Memorandum M-09-10 Subject: Initial Implementing Guidance for the

American Recovery and Reinvestment Act of 2009.

Regional or Local Office:

None.

Headquarters Office:

Department of Homeland Security/FEMA TechWorld 800 K Street NW, Washington, District of Columbia 20472-3615 Email: askscsid@dhs.gov Phone: (1-800) 368-6498

Website Address:

http://www.fema.gov

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the program guidance for information on criteria for selecting proposals.

97.120 BORDER INTEROPERABILITY DEMONSTRATION PROJECT

FEDERAL AGENCY:

Department of Homeland Security

AUTHORIZATION:

Implementing Recommendations of the 9/11 Commission Act of 2007, Title III, Section 302 (Public Law 110-53), 6 U.S.C. 580, Title III, Section 302, Public Law 110-53, 6 U.S.C 580.

OBJECTIVES:

To identify solutions that facilitate emergency communications along and across the border and ensure emergency response providers can communicate during natural disasters, acts of terrorism and other man-made disasters.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Seven communities (four located on the U.S.-Canadian border and three located on the U.S.-Mexican border) were selected for participation in the demonstration project and funded through the State in which each community is located. Refer to program guidance for further information. Competitive grant program.

Applicant Eligibility:

Seven communities (four located on the U.S.-Canadian border and three located on the U.S.-Mexican border) were selected for participation in the demonstration project and funded through the State in which each community is located.

Beneficiary Eligibility:

Refer to program guidance for further information.

Credentials/Documentation:

Refer to program guidance for further information. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact statement is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Application deadline and other information are contained in the application/program guidance.

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff.

Any issues or concerns noted in the application have been negotiated with the successful applicant prior to the award being issued.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Refer to program guidance for further information.

Appeals:

Refer to program guidance for further information.

Renewals:

Refer to program guidance for further information.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Refer to program guidance. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures. See the following for information on how assistance is awarded/released: Payment based on project need.

Reports:

Grantees are required to submit quarterly financial and performance reports. Quarterly Progress Reports must include the progress of each sub-grant award. Reports are due 30 days after the end of each quarter: January 30, April 30, July 30, and October 30. Final financial and performance reports are due 90 days after the expiration or termination of grant award. States are responsible for reporting on the status of the funding distribution to the Department of Homeland Security no later than 90 days after an award is made to a State. In addition, projects selected for funding are required to report on and share lessons learned. Refer to program guidance. Refer to program guidance. Refer to program guidance. Refer to program guidance.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues that arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0565-0-1-999.

Obligations:

(Salaries) FY 12 \$0; FY 13 est \$0; and FY 14 est \$0 - DHS obligated \$25,643,812 for the seven selected BIDP communities in June 2011. Recipients will receive incremental award funding for the second and third budget periods from the previously obligated amounts.

Range and Average of Financial Assistance:

Refer to program guidance.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: No Current Data Available. Fiscal Year 2013: No Current

Data Available. Fiscal Year 2014: Each of the seven awarded jurisdictions will demonstrate an improved communications capability that can be utilized by responders to an emergency event.

REGULATIONS, GUIDELINES, AND LITERATURE:

44 Code of Federal Regulations (CFR) 13 Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments; OMB Circular A-87, relocated to 2 CFR Part 225. Cost Principles for State, Local, and Tribal Governments; OMB Circular A-133, Audits of States, Local Governments, and Non-Profit Organizations.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

DHS, Cyber Security and Communications (CS&C)/Office of Emergency Communications (OEC), NPPD 4601 North Fairfax Drive, Arlington, Virginia 22201 Email: BIDP@HQ.DHS.GOV Phone: (703) 235-4025

Website Address:

<http://www.dhs.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Refer to program guidance.

97.121 RADIOLOGICAL/NUCLEAR DETECTION PILOT EVALUATIONS PROGRAM

FEDERAL AGENCY:

Department of Homeland Security

AUTHORIZATION:

Homeland Security Act of 2002, Public Law 107-296; as amended, the SAFE Port Act, Title V, Section 501 (a), Public Law 109-347., Title V, Section 501, Public Law 109-347.

OBJECTIVES:

The WCMP addresses a potential threat pathway in the maritime domain. This project reflects the priority that the department has placed on balancing risk against all potential threats. In the heretofore respects, this initiative addresses and is consistent with Presidential Policy Directive/PPD-8 priority areas of developing and enhancing a national preparedness system; building and sustaining preparedness and operational readiness throughout the Nation; and providing Federal financial assistance in preparedness efforts.

The West Coast Maritime Pilot (WCMP) program is a Domestic Nuclear Detection Office (DNDO) sponsored initiative designed to reduce the risk of small vessels (<300 gross tons) being used to transport illicit radiological/nuclear (rad/nuc) materials. Small vessels pose a significant risk because they are ubiquitous and they can transit US waterways relatively anonymously. The pilot program is being applied in two West Coast port regions: Washington States Puget Sound, and San Diego, CA. San Diego was selected because of its military and commercial significance, large population density near the water, proximity to the US/Mexico border, and an existing Area Maritime Security Committee (AMSC).

The WCMP will provide maritime radiation detection capabilities for State and local authorities in Washington States Puget Sound and San Diego, California areas. The three-year pilot involves the development of a radiation detection architecture that reduces the risk of radiological and nuclear threats that could be illicitly transported on recreational or small commercial vessels. The pilot will be conducted in close coordination with the U.S. Coast Guard and Customs and Border Protection.

The Puget Sound region and San Diego, with DNDO assistance, will leverage existing federal grant funding to support small vessel radiation detection programs and the procurement of recommended equipment. DNDO will be working with maritime partners and local authorities in both areas to assess the

geographic configurations of the ports to maximize detection and interdiction opportunities. Additional analyses for local partners will include a baseline survey of the existing radiological and nuclear detection architecture, a gap and risk assessment, and associated recommended actions to be developed in conjunction with maritime stakeholders. Maritime stakeholders will also receive guidance from DNDO on operational protocols, training, and exercises that support small vessel radiation detection capabilities.

The fundamental goal of the DNDO sponsored West Coast Maritime PRND Pilot Project is to evaluate the effectiveness of a limited-phased deployment of COTs/GOTs preventive rad/nuc detection capabilities and Concepts of Operations (CONOPS) against the small vessel threat in the Puget Sound and San Diego regions and gather lessons learned to improve effectiveness of a wider deployment of maritime capabilities to other priority US ports. Objectives for this pilot include:

- 1) Deploy and evaluate an operational PRND detection and reporting capability consisting of human portable, mobile and fixed radiation detection systems for maritime applications.
- 2) Implement and evaluate a regional maritime PRND CONOPS with alarm resolution and MOTR protocols
- 3) Develop, provide, and evaluate a maritime specific training, CONOPS, and protocols.
- 4) Identify gaps in the maritime architecture, and provide user requirements for future CONOPS and system development efforts.
- 5) Introduce and use Human Portable Radiation Detection System (HPRDS) Engineering Design Models (EDMs) when feasible in order to provide end user feedback to the HPRDS Program office.

TYPES OF ASSISTANCE:

Cooperative Agreements; Dissemination of Technical Information; Project Grants (Contracts); Use of Property, Facilities, and Equipment

USES AND USE RESTRICTIONS:

Financial and nonfinancial assistance may be provided for the following: salaries, materials and supplies, equipment, travel, publication costs, subcontractor and supporting costs required for technical and other activities necessary to achieve the objective. Restrictions on use of funds will be identified in the announcement, program guidance and award provisions. Refer to program guidance for further information. Refer to program guidance for further information.

Applicant Eligibility:

Financial and nonfinancial assistance may be provided for the following: salaries, materials and supplies, equipment, travel, publication costs, subcontractor and supporting costs required for technical and other activities necessary to achieve the objective. Restrictions on use of funds will be identified in the announcement, program guidance and award provisions.

Beneficiary Eligibility:

Refer to program guidance for further information.

Credentials/Documentation:

Refer to program guidance for further information. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Application deadline and other information are contained in the application/program guidance.

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Refer to program guidance document.

Appeals:

Refer to program guidance for further information.

Renewals:

Contingent upon future Congressional funding. Renewal of an award to increase funding or extend the period of performance is at the sole discretion of the Department of Homeland Security.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program has MOE requirements, see funding agency for further details.

See program guidance.

Length and Time Phasing of Assistance:

Refer to program guidance. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures. See the following for information on how assistance is awarded/released: Payment based on project need.

Reports:

Grantees are required to submit quarterly financial and performance reports. Quarterly Progress Reports must include the progress of each sub-grant award. Reports are due 30 days after the end of each fiscal quarter, January 30, April 30, July 30 and October 30. See above. See above. See above. See above.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0860-0-1-999.

Obligations:

(Salaries) FY 12 \$0; FY 13 Estimate Not Available; and FY 14 Estimate Not Available - Equipment, in the amount of \$1.2M, on loan to the recipient of the initial award under this program will be transferred to the recipient at the end of that award. This program ended at the end of 2012.

Range and Average of Financial Assistance:

Refer to program guidance.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: In 2009, the California Department of Fish and Game (DFG) was awarded \$32,064 of WCMP funding, which was amended and increased to \$62,463. As of October 22, 2012, DFG submitted cash requests totaling \$45,345. Each project was completed before or during September 2012. Due to the performance period ending on October 30, 2012, DFG requested that CalEMA disencumber the unspent portion totaling \$17,118 that was subsequently and formally de-obligated on February 6, 2013. The grant agreement with the

California Emergency Management Agency was finally closed out by the DHS Grants and Financial Assistance Division, Office of Procurement Operations on February 26, 2013. A Program Report and Final Federal Financial Report that support this action were received by DHS.

Pilot Program Conclusions:

The enhanced maritime Preventive Radiological/Nuclear Detection (PRND) capability established by the Pilot has operational utility, and demonstrated viable solutions for addressing vulnerabilities and operational deficiencies identified in the 2008 Small Vessel Security Strategy (SVSS).

- o An integrated approach to conducting radiological / nuclear detection in small vessel maritime operations was implemented via cohesive Concept of Operations (ConOps) and Standard Operating Procedures (SOPs) developed and validated for radiological / nuclear alarm detection and response.
- o A total of 238 primary screening devices were provided to scan large numbers of private and commercial small vessels for radiological / nuclear materials, and 15 Radio Isotope Identification Devices (RIIDs), including an advanced RIID, were provided to identify sources when required to aid in the adjudication process.
- o Training was provided to 426 federal, state and local emergency responders to conduct effective radiological / nuclear detection operations for small maritime vessels.
- o Data collection requirements were defined, forms provided and protocols established / exercised to support information sharing and federal / state / local cooperation
 - Five federal, state and local agencies worked together to establish and demonstrate a technical reachback support process for resolution of radiological / nuclear alarms.
 - o Processes are now in place to collect and communicate appropriate information to state and federal level technical reachback support to assist in resolution of radiological / nuclear alarms.
 - Through implementation of regional ConOps and SOPs, and by training and exercising together, federal, state and local agencies in San Diego are operating together as a system of systems.
 - Maritime PRND operations can be integrated into existing agency operations.
 - Equipment and training provided by the Pilot Program was sufficient to demonstrate ability to detect radiological / nuclear materials and adjudicate alerts.
 - Equipment is reliable, and the quantity and type of equipment is sufficient to conduct at least certain types of PRND mission profiles. Fiscal Year 2013: No Current Data Available. Fiscal Year 2014: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 215 Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals and Non-Profit Organizations and OMB Circular No. A-102, Grants and Cooperative Agreements with State and Local Governments; 44 CFR part 13 Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local governments; 2 CFR 225 Cost Principles for State, Local and Indian Tribal Governments; 2 CFR 230 Cost Principles for Non-Profit Organizations; 2 CFR 220 Cost Principles for Institutions of Higher Education; 45 CFR 74 Principles for Determining Costs applicable to Research and Development under Grants and Contracts with Hospitals; and OMB Circular A-133 Audit of States, Local Governments, and Non-Profit Organizations.

Regional or Local Office:

None.

Headquarters Office:

Radiological/Nuclear Detection Pilot Evaluations Program 245 Murray Lane, SW, Washington, District of Columbia 20528-0550 Phone: (202) 254-7117

Website Address:

<http://www.dhs.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the program guidance for information on criteria for selecting proposals.

97.122 BIO-PREPAREDNESS COLLABORATORY

FEDERAL AGENCY:

Department of Homeland Security

AUTHORIZATION:

Department of Homeland Security Appropriations Act of 2010, Office of Health Affairs, Title III, Public Law 111-83, 2157 Stat.

OBJECTIVES:

This program works toward a national bio-preparedness system with capabilities necessary to prevent, protect against, mitigate the effects of, respond to, and recover from those biological and public health threats that pose the greatest risk to the security of the Nation. Specifically, the program works toward developing and improving the following core capabilities, at local, state, and national levels: Public Information and Warning; Screening, Search, and Detection; and Situational Assessment.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Restricted to a demonstration project that would support the development of a comprehensive, statewide system to analyze public health trends and detect incidents that may threaten homeland security. Additional information concerning uses and restrictions is contained in the program guidance document or can be obtained from the administering program office identified in this announcement. Financial and nonfinancial assistance may be provided for the following: salaries, materials and supplies, equipment, travel, publication costs, subcontractor and supporting costs required for technical and other activities necessary to achieve the objective. Restrictions on use of funds will be identified in the funding opportunity announcement and award provisions. See program guidance. Please refer to the program guidance for further information. Refer to the program guidance for further information.

Applicant Eligibility:

Specific information on applicant eligibility is identified in the funding opportunity announcement and program guidance.

Beneficiary Eligibility:

Refer to the program guidance for further information.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Application deadline and other information are contained in the application/program guidance.

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Refer to program guidance document.

Appeals:

Not Applicable.

Renewals:

Subject to future appropriations.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Refer to program guidance. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures. See the following for information on how assistance is awarded/released: Refer to program guidance.

Reports:

Grantees are required to submit quarterly financial and performance reports. Quarterly Progress Reports must include the progress of each sub-grant award. Reports are due 30 days after the end of each quarter: Jan. 30, April 30, July 30, and Oct. 30. Final financial and performance reports are due 90 days after the expiration or termination of grant award. Refer to program guidance. Refer to program guidance. Refer to program guidance.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0117-0-1-453.

Obligations:

(Salaries) FY 12 \$5,000,000; FY 13 est \$2,000,000; and FY 14 Estimate Not Available

Range and Average of Financial Assistance:

Refer to program guidance.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: NCBP conducted an advanced integrated laboratory operations testing for disparate data sets (EMS, 911, Poison Control, Google Flu, PubMed, etc) from multiple states/entities. Fiscal Year 2013: Operational test and evaluation of the laboratory system in environmental conditions. Further, expansion of the partners (states), data providers and analytical techniques. Fiscal Year 2014: Independent and operational system providing early warning information to users.

REGULATIONS, GUIDELINES, AND LITERATURE:

(Refer to section #140 in CFDA reference manual. OMB Circulars No. A-102, Grants and Cooperative Agreements with State and Local Governments and A-87, Cost Principles for State, Local and Indian Tribal Governments, A-110, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals and Non-Profit Organizations, A-21, Cost Principles for Educational Institutions, A-122, Cost Principles for Non-Profit Organizations, and A-133 Audits of States, Local Governments, and Non-Profit Organizations, in addition to program regulations, guidelines, DHS policy and procedure.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Dr. Tom McGinn, Senior Health Advisor/Project Officer 245 Murray Lane, S.W., Mail Stop 0115, Washington, District of Columbia 20528 Phone: (202) 254-5708

Website Address:

<http://www.dhs.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

97.123 MULTI-STATE INFORMATION SHARING AND ANALYSIS CENTER

(MS-ISAC)

FEDERAL AGENCY:

Department of Homeland Security

AUTHORIZATION:

Department of Homeland Security Appropriations Act of 2010, Title III.

OBJECTIVES:

The MS-ISAC is a key resource for significantly enhancing State, local, tribal and territorial governments cyber security posture by providing enhanced expertise and operations. This centralized approach also leverages the economies of scale thereby maximizing the utilization of scarce state resources to protect the critical systems. The MS-ISAC also manages a 24x7x365 operational cyber security center.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Restricted to supporting the activities of the Multi-State Information Sharing and Analysis Center (MS-ISAC), involving engagement with State, Tribal and local governments. Additional information concerning uses and restrictions is contained in the Funding Opportunity Announcement document or can be obtained from the administering program office identified in this announcement. Financial and nonfinancial assistance may be provided for the following: salaries, materials and supplies, equipment, travel, publication costs, subcontractor and supporting costs required for technical and other activities necessary to achieve the objective. Restrictions on use of funds will be identified in the funding opportunity announcement and award provisions. See Funding Opportunity Announcement. Refer to program guidance. Refer to program guidance. Refer to program guidance.

Applicant Eligibility:

This funding opportunity is restricted to the Multi-State Information Sharing and Analysis Center (MS-ISAC). Specific information on applicant eligibility is identified in the funding opportunity announcement.

Beneficiary Eligibility:

State Governments, local government, territorial governments, tribal governments and territories.

Credentials/Documentation:

Refer to Section 140: Regulations, Guidelines, and Literature. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Application deadline and other information are contained in the application.

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Refer to Funding Opportunity Announcement.

Appeals:

Not Applicable.

Renewals:

Subject to future appropriations.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching requirements are not applicable to this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Refer to Funding Opportunity Announcement. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures. See the following for information on how assistance is awarded/released: Refer to Funding Opportunity Announcement.

Reports:

Grantees are required to submit quarterly financial and performance reports. Quarterly Progress Reports must include the progress of each sub-grant award. Reports are due 30 days after the end of each quarter: Jan. 30, April 30, July 30, and Oct. 30. Final financial and performance reports are due 90 days after the expiration or termination of grant award. Grantees are required to submit a monthly report of planned, projected and disbursed funds. Grantees are required to submit quarterly performance reports. Quarterly Progress Reports must include the progress of each sub-grant award. Reports are due 30 days after the end of each quarter: Jan. 30, April 30, July 30, and Oct. 30. Refer to the program guidance. Grantees are required to submit a monthly report of planned, projected and disbursed funds. Grantees are required to submit a monthly report of planned, projected and disbursed funds.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0565-0-1-999.

Obligations:

(Salaries) FY 12 \$6,700,000; FY 13 est \$9,550,000; and FY 14 est \$16,400,000

Range and Average of Financial Assistance:

Refer to Funding Opportunity Announcement.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Expanded participation of state, local and territory governments in the MSS program

Began implementation of the Netflow monitoring program for states

Served as the Conduit for Distribution of DHS Cyber Security Information to SLTTs

Developed and Disseminated Cyber Security Awareness & Educational Materials for SLTTs

Facilitated Monthly MS-ISAC SLTT Member Call/Webcast Meetings
Coordinated and Held the MS-ISAC Annual SLTT Member Meeting in concert with GFIRST

Assisted DHS with National Cyber Security Awareness Month Efforts Focused on SLTTs; Proclamations were issued by all fifty state governors

Cosponsored and Facilitated the Bimonthly National Cybersecurity Educational Webcasts
Maintained MS-ISAC SLTT Member Contact List and Cyber Emergency Alert System

Operated a 24x7x365 SOC Focused on Cyber Incident Reporting and Assistance for SLTTs

Disseminated notification to the membership on the following: website defacements, keylogger, cross-site scripting, Einstein Darknet, account compromise and others

Assisted DHS in the development and implementation of the national cyber security review (NCSR)

Assigned MS-ISAC staff member to the NCCIC

Participated in national cyber exercises. Fiscal Year 2013: Acting as the DHS NCSL Liaison for Cyber Security to SLTTs

- o Coordinate the MS-ISAC Workgroups

- o Maintain and Update MS-ISAC SLTT Memberships

- o Collaborate with other Security Organizations

- o Participate in the Planning and Implementation of DHS Cyber Security Exercises

- o Coordinate in the annual MS-ISAC Poster Contest

Sustained and Expanding Managed Security Services

Sustained and Expanding Netflow Monitoring Services; Completed the expansion to all 20 states

Analyzing the Threat and attack information to develop a real time cyber security posture of the sector

Enabled timely cyber incident identification & response and assisting SLTT governments with developing & implementing appropriate mitigation strategies

Disseminated notification to the membership on the following: website defacements, keylogger, cross-site scripting, Einstein Darknet, account compromise and others

Design, updated and tested the NCSR survey instrument

Implemented display of the MS-ISAC dashboard on the NCCIC floor

Participated in the next National Level Exercise

The Center for Internet Security, Integrated Intelligence Center (IIC) effort will be initiated in FY 2013 in support of the DHS vision for "integrated intelligence for SLTT cyber threats, trends, and concerns. The IIC will specifically support the SLTT need for the collection and analysis of actionable strategic, tactical, and operational cyber threat intelligence. This effort will be supported by partnerships with federal intelligence and law enforcement partners, and the completion and accreditation by DHS NPPD of a secure work environment. Fiscal Year 2014: Act as the DHS NCSL Liaison for Cyber Security to All SLTTs

Sustain and Expand Managed Security Services

Sustain and Expand Netflow Monitoring Services

Analyze the Threat and attack information to develop a real time cyber security posture of the sector

Enable timelier cyber incident identification & response and assisting SLTT governments with developing & implementing appropriate mitigation strategies

Disseminate notification to the membership on the following: website defacements, keylogger, cross-site scripting, Einstein Darknet, account compromise and others

Complete analysis of NCSR data and preparation of the final report for DHS

The Center for Internet Security, Integrated Intelligence Center (IIC) will be operationalized and fully functional in FY 2014 in support of the federal governments vision for integrated intelligence for SLTT cyber matters. This operational capacity will be bolstered by federal partner connectivity to classified information systems housed in the IICs DHS-accredited SCIF and secure space. Coupled with MS-ISAC collected monitoring of SLTT computer systems, the IIC will generate timely and actionable cyber threat intelligence to the benefit of State Fusion Centers and Homeland Security Advisors.

REGULATIONS, GUIDELINES, AND LITERATURE:

44 CFR Part 13, Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments, A-87, Cost Principles for State, Local and Indian Tribal Governments (2 CFR Part 225), A-110, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals and Non-Profit Organizations (2 CFR Part 215), A-21, Cost Principles for Educational Institutions (2 CFR Part 220), A-122, Cost Principles for Non-Profit Organizations (iii. 2 CFR Part 230), and A-133 Audits of States, Local Governments, and Non-Profit Organizations, in addition to program regulations, guidelines, DHS policy and procedure.

Regional or Local Office:

None.

Headquarters Office:

Kelvin Coleman 245 Murray Lane, SW, Mail Stop 0115, Washington, District of Columbia 20528 Email: Kelvin.Coleman@hq.dhs.gov Phone: (703) 235-5324

Website Address:

<http://www.dhs.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

This funding opportunity is restricted to the for Security Multi-State Information Sharing and Analysis Center (MS-ISAC). Specific information on criteria for selecting proposals is identified in the funding opportunity announcement.

97.124 INTEROPERABLE COMMUNICATIONS AND TRAINING PROJECT

(ICAT)

FEDERAL AGENCY:

Department of Homeland Security

AUTHORIZATION:

Department of Homeland Security Appropriations Act of 2010 , Public Law 111-83, 2156 Stat.

OBJECTIVES:

The Interoperable Communications and Training (ICAT) Project will create a pilot training program tailored to the first responder and emergency management community in the primary areas of public safety project management, emergency communications and interoperability, and broadband technology use. Rapidly develop and deploy a statewide and regional situational awareness capability that will provide federal, state and local decision makers and emergency management officials with near real time information on the status of the Critical Infrastructure and Key Resources (CI/KR).

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Financial and nonfinancial assistance may be provided for the following: personnel costs, materials and supplies, equipment, travel, publication costs, subcontractor and supporting costs required for technical and other activities necessary to achieve the objective. Restrictions on use of funds will be identified in the funding opportunity announcement and award provisions. DHS grant funds may only be used for the purpose set forth in the cooperative agreement, and must be consistent with the statutory authority for the award. Grant funds may not be used for matching funds for other Federal grants, lobbying, or intervention in Federal regulatory or adjudicatory proceedings. In addition, Federal funds may not be used to sue the Federal government or any other government entity. Refer to program guidance document. Refer to program guidance. Refer to program guidance.

Applicant Eligibility:

Refer to program guidance.

Beneficiary Eligibility:

Refer to program guidance.

Credentials/Documentation:

No Credentials or documentation are required. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program.

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Refer to funding opportunity announcement.

Appeals:

Not Applicable.

Renewals:

Subject to future appropriations.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Refer to funding opportunity announcement. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures. See the following for information on how assistance is awarded/released: Annually.

Reports:

Refer to program guidance. Refer to program guidance. Refer to program guidance. Refer to program guidance. Refer to program guidance.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0565-0-1-999 - 70-0800-0-1-999.

Obligations:

(Salaries) FY 12 \$0; FY 13 est \$750,000; and FY 14 Estimate Not Available -

The SEARCH grant was made possible by the Statutory Program Authority of Homeland Security Appropriations Act of 2010 (P.L. 111-83; 123 Stat 2156). The appropriation earmarked \$1,000,000 to the National Protection and Programs Directorate for interoperable communications, technical assistance, and outreach programs to State, regional, and local first responders communications coordinators. The grant was closed out December 31, 2012.

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: SEARCH offered training on public safety project success factors focused initially on middle public safety management. Training consisted of the high-level aspects of project success and the elements management needs to consider when implementing communication projects.

Pilot Target Audience: Middle Public Safety Management

Number of Classes: 1 Pilot Class and 1 Formal Class

Number of Attendees: 40 attendees (20 for each class)

SEARCH offered training on interoperability and emergency communications focused initially on the nations Interoperability Coordinators. There has been major development in the aspect of Incident Command System-based communications training with the creation of the COML and COMT training courses. A gap exists today in the availability of a basic interoperability class. This class will focus on the basic elements of interoperability, and to some extent, operability, thus providing participants a baseline level of knowledge and understanding about interoperability and its relevant components.

Pilot Target Audience: State, regional, local public safety interoperability coordinators

Number of Classes: 1 Pilot Class and 1 Formal Class

Number of Attendees: 40 attendees (20 for each class)

SEARCH offered training on basic public safety project management principles. Public safety communications projects tend to be very expensive, take a great deal of time, and are very resource-intensive. Additionally, there are many unique elements to public safety that need to be considered when using standard project management practices Training will consist of the elements necessary to educate members of the public safety community on public safety project management principles such as, project scheduling, risk management, project budgets, project implementation, and many other aspects related to project management.

Pilot Target Audience: Public Safety and Information Technology Project Managers

Number of Classes: 1 Pilot Class and 1 Formal Class

Number of Attendees: 40 attendees (20 for each class)

SEARCH developed an online web presentation series consisting of six web presentations in place of the second instructor-led Advanced 2010-PD-124-000001 Page 8 July-December 2012) using the Moodle platform. Students completing all six topics can print out a certificate of completion.

SEARCH developed an online version of the Executive/Management project success factors training. This allows outreach to a broader audience and the opportunity to engage a greater number of senior and executive level public safety professionals with accountability for public safety projects. SEARCH subject matter experts narrated the online course. The course is available on the SEARCH website (<http://elearning.search.org>) using the Moodle platform. Students completing the course can print out a certificate of completion.

As allowed in the approved grant modification a podcast was made available for download from the SEARCH website (<http://www.search.org/programs/info/podcasts/psmanagement>) on each of the following topics.

The Accidental Project Manager

An Introduction to the ICS Structure and the Communications Unit Lifecycle Planning

Public Safety Broadband and Long-Term Evolution 101

Narrowbanding

SOP Development for the Coordination of Interoperability Resources

SEARCH published two relevant best practices or technical guides to highlight particularly key areas in the emergency communications field. The first publication is titled the ICS Communications Unit implementation and Best Practices: A Guide for Program Development.

The second publication is a set of tools that complement the first deliverable. These include the:

ICS Communications Unit Assessment and Analysis Matrix
ICS Communications Unit Program Plan Outline
ICS Communications Unit Response Kit
ICS Communications Unit Sustainable Funding Strategy Tool
ICS Communications Unit Activities by State (available to SWICs only).
Fiscal Year 2013: Specific objectives will include:

1. Transforming the prototype system to an operational system that can be scaled on a statewide basis;
2. Integrating and testing PIV-I into Virginias Information and Situational Awareness Capability (VISAC). This will enhance the security of the VISAC and set the stage for ensuring access control for the CIKR data that will be housed within the system;
3. Developing the data model for CIKR data;
4. Defining and developing the outputs of the CIKR tool;
5. Expanding the analytical capabilities of the CIKR tool in order to be able to identify dependency information and potential cascading effects;
6. Designing and developing the editing functions for the CIKR tool;
7. Creating shareable code base for the CIKR and other related tools to enable them to work with existing situational awareness platforms across the State; and
8. Integrating the Identify Management capability procured by the Commonwealth into the VISAC to ensure that users only get access to the information for which they have authorization. Fiscal Year 2014: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

44 CFR Part 13, Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments, A-87, Cost Principles for State, Local and Indian Tribal Governments (2 CFR Part 225), A-110, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals and Non-Profit Organizations (2 CFR Part 215), A-21, Cost Principles for Educational Institutions (2 CFR Part 220), A-122, Cost Principles for Non-Profit Organizations (iii. 2 CFR Part 230), and A-133 Audits of States, Local Governments, and Non-Profit Organizations, in addition to program regulations, guidelines, DHS policy and procedures.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Robin Beatty or Daniel Cotter, NPPD 245 Murray Lane, SW, Mail Stop # 061, Arlington, Virginia 20598-0615 Email: robin.beatty@hq.dhs.gov; Daniel.cotter@hq.dhs.gov Phone: (703) 235-4024; (202) 254-5710

Website Address:

<http://www.dhs.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

97.126 NATIONAL SPECIAL SECURITY EVENT

NSSE

FEDERAL AGENCY:

Department of Homeland Security

AUTHORIZATION:

Title VI, Section 1653 of the Department of Defense and Full-Year Continuing Appropriations Act of 2011 (Public Law 112-10), Public Law 112-10, as amended by Department of Homeland Security Appropriations Act of 2013 ,

Public Law 113-6.

OBJECTIVES:

The mission of the Federal Emergency Management Agency's (FEMA) National Special Security Event (NSSE) Grant Program is to provide supplemental federal assistance to reimburse State and local governments for certain actual costs associated with providing emergency management, public safety, and security during the preparation and conduct of an NSSE. The Secretary of the U.S. Department of Homeland Security designates events of national significance NSSE based on factors including, but not limited to: anticipated attendance by U.S. officials and foreign dignitaries; the size of the event; and, the significance of the event. By statute, the United States Secret Service (USSS) is designated as the lead federal agency for planning, coordinating, and implementing security operations at NSSE, in accordance with 18 U.S.C. 3056. The FY 2012 NSSE Grant Program was developed by FEMA in coordination with USSS.

The funding made available under this FOA will reimburse the actual costs to State and local governments for providing emergency management, public safety, and security at events, as determined by the Administrator of the Federal Emergency Management Agency, related to the presence of a NSSE.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Planning - Planning, training and costs associated with implementing intrastate or interstate mutual aid agreements for prevention, protection, mitigation, response and recovery activities directly related to the NSSE. Personnel - Personnel overtime and backfill costs for security, public safety, emergency management, fire and emergency medical services, including training and exercise directly related to the NSSE Organization. Program management - Activities that facilitate shared situational awareness between the public and private sectors. Operational support, including for select operational expenses associated with increased security measures at critical infrastructure sites under Title 32 or State Active Duty National Guard deployments to protect critical infrastructure sites, including all resources that are part of the standard National Guard deployment package. Management and Administration (M&A) activities

Equipment

Hiring of permanent personnel

Expenditures for items such as general-use software (word processing, spreadsheet, graphics, etc.), general-use computers and related equipment (other than for those associated with preparedness or response functions), general-use vehicles, licensing fees, weapons systems and ammunition

Activities unrelated to the completion and implementation of the FY 2012 NSSE Grant

Costs incurred as a result of normal agency activities (e.g. salaries incurred during normal hours for security-related operations that do not require overtime or to backfill a position)

Consumable costs, such as fuel expenses, are not allowed except as part of the standard National Guard deployment package

Other items not in accordance with allowable costs listed above

Construction and renovation.

Applicant Eligibility:

All 56 States and territories and local governments that host an NSSE that will occur prior to September 30, 2013, are eligible to apply for NSSE Grant Program funds.

Beneficiary Eligibility:

All 56 States and territories and local governments that host an NSSE that will occur prior to September 30, 2013, are eligible to apply for NSSE Grant Program funds.

Credentials/Documentation:

No Credentials or documentation are required. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact

information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

Upon approval of an application, the award will be made in the form of a grant. The date the approval of award is entered in the system is the award date. Notification of award approval is made through the ND Grants system through an automatic e-mail to the grantee point of contact listed in the initial application. Once an award has been approved and recorded in the system, a notice is sent to the authorized grant official. Follow the directions in the notification to accept your award documents. The authorized grant official should carefully read the award package for instructions on administering the grant and to learn more about the terms and conditions associated with responsibilities under Federal awards.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

FEMA intends to make awards within 90 days of receiving a complete application, and no later than September 30, 2012.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The NSSE Grant Program is a cost-reimbursement program; requests for reimbursement must be submitted to FEMA no later than 120 days after the NSSE or 30 days before the end of the fiscal year, whichever date occurs first. Period of Performance: The period of performance of this grant is 90 days, defined as the 60 days prior to and the 30 days after the NSSE. See the following for information on how assistance is awarded/released: As part of the FY 2012 NSSE Grant application process, each eligible State and local government scheduled to host an NSSE and applying for NSSE grant funds must develop a Budget Detail Worksheet. Based on FEMA's initial review of the Standard Form 424, FEMA will determine whether an application should proceed further and the applicant will be notified to complete their submission by fulfilling additional application requirements (e.g., budget, Investment Justification, Work Plan, etc.).

Reports:

No program reports are required. No cash reports are required. Performance Progress Report (SF-PPR). Awardees are responsible for providing updated performance reports using the SF-PPR (OMB Approval Number 0970-0334) on a semi-annual basis. The SF-PPR is due within 30 days after the end of the reporting period (July 30 for the reporting period of January 1 through June 30; and January 30 for the reporting period of July 1 through December 31). The SF-PPR can be accessed online at http://www.na.fs.fed.us/fap/SF-PPR_Cover%20Sheet.pdf. Grantees will be required to report on progress towards implementing plans described in their application. State Preparedness Report (SPR) Submittal. Section 652(c) of the Post-Katrina Emergency Management Reform Act of 2006 (Public Law 109-295), 6 U.S.C. 752(c), requires any State that receives Federal preparedness assistance to submit an SPR to FEMA. States that submitted the most recent SPR in December 2011, would meet this requirement to receive funding under the FY 2012 NSSE. Biannual Strategy Implementation Reports (BSIR). Grantees are responsible for completing and submitting the BSIR reports, as a compliment to the Quarterly Progress Report (programmatic performance report/SF-PPR). The BSIR is due within 30 days after the end of the reporting period (July 30 for the reporting period of January 1 through June

30; and January 30 for the reporting period of July 1 through December 31). Updated obligations and expenditure information must be provided within the BSIR to show progress made toward meeting strategic goals and objectives. The first BSIR is not due until at least six months after the award notice has been received by the grantee. Federal Financial Report (FFR) required quarterly. Obligations and expenditures must be reported on a quarterly basis through the FFR (SF-425). A report must be submitted for every quarter of the period of performance, including partial calendar quarters, as well as for periods where no grant activity occurs. Future awards and fund draw downs may be withheld if these reports are delinquent. The final FFR is due 90 days after the end date of the performance period. FFRs must be filed electronically through the Payment and Reporting System (PARS.)

Grant Close-Out Process. Within 90 days after the end of the period of performance, grantees must submit a final FFR and final progress report detailing all accomplishments throughout the period of performance. After these reports have been reviewed and approved by FEMA, a close-out notice will be completed to close out the grant. The notice will indicate the period of performance as closed, list any remaining funds that will be deobligated, and address the requirement of maintaining the grant records for three years from the date of the final FFR. The grantee is responsible for returning any funds that have been drawn down but remain as unliquidated on grantee financial records. Monitoring. Grant recipients will be monitored periodically by FEMA staff, both programmatically and financially, to ensure that the project goals, objectives, performance requirements, timelines, milestone completion, budgets, and other related program criteria are being met. Monitoring may be accomplished through a desk-based review, on-site monitoring visits, or both. Monitoring will involve the review and analysis of the financial, programmatic, performance, compliance and administrative processes, policies, activities, and other attributes of each Federal assistance award and will identify areas where technical assistance, corrective actions and other support may be needed.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

See Funding Opportunity Announcement.

Account Identification:

70-0560-0-2-453.

Obligations:

(Project Grants) FY 12 \$7,500,000; FY 13 est \$4,619,706; and FY 14 Estimate Not Available

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

FY2012 NSSE FOA (anticipated, grants.gov)

Regional or Local Office:

None.

Headquarters Office:

Department of Homeland Security /FEMA Grant Programs Directorate(GPD)
500 C Street S.W., Washington, District of Columbia 20472 Email:
ASK-GMD@dhs.gov Phone: (1-866) 927-5646

Website Address:

<http://www.fema.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Designation of event by the Secretary of Homeland Security.

97.127 CYBERSECURITY EDUCATION AND TRAINING ASSISTANCE PROGRAM (CETAP)

(CETAP)

FEDERAL AGENCY:

Department of Homeland Security

AUTHORIZATION:

Consolidated Appropriations Act, 2012, Public Law 113-6, III U.S.C.D.

OBJECTIVES:

This program promotes cybersecurity education at multiple grade levels, and is intended to do so in multiple U.S. States; supports a coordinated effort to engage students early in their academic careers with a cybersecurity research group; provides a mechanism whereby cybersecurity education is available not only in the form of the formal education of students, but in the training of their teachers; increases the number of U.S. teachers with an interest in, knowledge of, and access to cybersecurity educational materials, increases the number of students affected by cybersecurity knowledge, and develops at an early stage students interest in the cybersecurity career field.

This program aligns with Mission 4 (Safeguarding and Securing Cyberspace) and addresses Goal 4.2 (Promote Cybersecurity Knowledge and Innovation) of the Department of Homeland Security Quadrennial Homeland Security Review. This program also addresses a priority area implemented by Presidential Policy Directive/PPD-8

(<http://www.dhs.gov/presidential-policy-directive-8-national-preparedness>) through its alignment with the campaign to build and sustain national preparedness. The program provides Federal financial assistance toward community-based efforts to increase knowledge of cybersecurity topics and encourage interest in cybersecurity as an academic pursuit and as a professional career.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Restricted to supporting the development and implementation of cybersecurity-related training programs to engage teachers and students by a coordinated effort. Additional information concerning uses and restrictions is contained in the funding opportunity announcement. Financial and nonfinancial assistance may be provided for the following: salaries, materials and supplies, equipment, travel, publication costs, subcontractor and supporting costs required for technical and other activities necessary to achieve the objective. Restrictions on use of funds will be identified in the funding opportunity announcement and award provisions. See the funding opportunity announcement for any restrictions.

Applicant Eligibility:

Specific information on applicant eligibility is identified in the funding opportunity announcements.

Beneficiary Eligibility:

Refer to program guidance.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Application deadline and other information are contained in the funding opportunity announcements.

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff.

Any issues or concerns noted in an application will be negotiated with the successful applicants prior to an award being issued.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Refer to the funding opportunity announcement.

Appeals:

Not Applicable.

Renewals:

Subject to future appropriations.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching requirements are not applicable to this program.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Refer to the funding opportunity announcements. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures. See the following for information on how assistance is awarded/released: Method of awarding/releasing assistance: annual.

Reports:

Refer to program guidance. Cash reports are not applicable. Quarterly Progress Reports must include the progress of each sub-grant award. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0565-0-1-999.

Obligations:

(Salaries) FY 12 \$2,500,000; FY 13 est \$5,000,000; and FY 14 Estimate Not Available

Range and Average of Financial Assistance:

Refer to the funding opportunity announcements.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Completed a pilot program in which cybersecurity education summer camps for teachers and students were held in two locations during the summer of 2012. Fiscal Year 2013: Hold cybersecurity education summer camps for teachers and students in at least three locations during the summer of 2014. Fiscal Year 2014: Hold cybersecurity education summer camps for teachers and students in at least two new locations during the summer of 2015.

REGULATIONS, GUIDELINES, AND LITERATURE:

A-110, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals and Non-Profit Organizations (2

CFR Part 215), A-21, Cost Principles for Educational Institutions (2 CFR Part 220), A-122, Cost Principles for Non-Profit Organizations (2 CFR Part 230), and A-133 Audits of States, Local Governments, and Non-Profit Organizations, in addition to program regulations, guidelines, DHS policy and procedure.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Daniel Stein 245 Murray Ln., SW, Bldg 410, Stop 0640, Washington, District of Columbia 20528-0640 Email: CETAP-Info@dhs.gov Phone: 703-235-3664

Website Address:

<http://www.dhs.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the funding opportunity announcements for information on criteria for selecting proposals.

97.128 NATIONAL CYBER SECURITY AWARENESS

FEDERAL AGENCY:

Department of Homeland Security

AUTHORIZATION:

Homeland Security Act of 2002, Public Law 107-296, 6 U.S.C 121.

OBJECTIVES:

To provide funding for outreach, education, and technical assistance in order to raise public awareness of cybersecurity awareness nationally. To empower and support citizens to use the Internet securely and safely, protecting themselves and the cyber infrastructure. To educate key stakeholder communities about cybersecurity issues as well as provide tools and resources to enhance cybersecurity awareness. Outreach and technical assistance may take several forms, such as: the provision of written information; maintaining a website; development of cybersecurity awareness collateral; establishment and maintenance of metrics to measure effectiveness of awareness efforts; and development of seminars, workshops or training sessions.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Financial and nonfinancial assistance may be provided for the following: salaries, materials and supplies, equipment, travel, publication costs, subcontractor and supporting costs required for technical and other activities necessary to achieve the objective. Restrictions on use of funds will be identified in the funding opportunity announcement and award provisions. DHS grant funds may only be used for the purpose set forth in the cooperative agreement, and must be consistent with the statutory authority for the award. Grant funds may not be used for matching funds for other Federal grants, lobbying, or intervention in Federal regulatory or adjudicatory proceedings. In addition, Federal funds may not be used to sue the Federal government or any other government entity. Refer to funding opportunity announcement. See above.

Applicant Eligibility:

See above.

Beneficiary Eligibility:

See above.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program.

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Refer to the funding opportunity announcement.

Appeals:

Not Applicable.

Renewals:

Refer to the funding opportunity announcement.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Refer to the funding opportunity announcement. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures. See the following for information on how assistance is awarded/released: Annual Lump sum (Period of Performance will be two years comprised on 2-12 month budget periods). Applicants will be making draw downs through the HHS-Payment Management System in accordance with the Cash Management Improvement Act.

Reports:

Grantees are required to submit quarterly financial and performance reports. Quarterly Progress Reports must include the progress of each sub-grant award. Reports are due 30 days after the end of each quarter. Final financial and performance reports are due 90 days after the expiration or termination of grant award. See above. See above. Expenditure reports are not applicable. See above.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0565-0-1-999.

Obligations:

(Salaries) FY 12 \$750,000; FY 13 est \$750,000; and FY 14 est \$750,000

Range and Average of Financial Assistance:

Refer to the funding opportunity announcement.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Initial planning and material development for NCSAM 2012.
Fiscal Year 2013: Execution of National Cybersecurity Awareness Month,

2012. Raise profile of NCSAM and increase media presence and overall national awareness. Increase number of stakeholders and industry members involved in the Stop.Think.Connect. Campaign and broaden the reach to International audience. Improve overall social media outreach and presence. Develop improved materials and collateral in support of the Campaign. Fiscal Year 2014: Increase media attention of NCSAM 2013. Assist in planning and execution of NCSAM 2013 events. Enhance the Campaigns reach and continue increasing industry involvement. Continue international expansion.

REGULATIONS, GUIDELINES, AND LITERATURE:

A-110, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals and Non-Profit Organizations, A-21, Cost Principles for Educational Institutions and A-122, Cost Principles for Non-Profit Organization.)

Regional or Local Office:

None.

Headquarters Office:

Kristina Dorville 245 Murray Ln., SW, Stop 0640
, Arlington, Virginia 20598-0640 Email: kristina.dorville@dhs.gov Phone: (703) 235-3666

Website Address:

<http://www.dhs.gov/cyber>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the funding opportunity announcement for information on criteria for selecting proposals.

97.129 SECURING CRITICAL UNDERGROUND INFRASTRUCTURE PILOT PROGRAM

FEDERAL AGENCY:

Department of Homeland Security

AUTHORIZATION:

Department of Homeland Security Appropriations Act of 2009 , Public Law 110-329, 122 Stat. 3668.

OBJECTIVES:

This program would support the installation of devices designed to secure critical underground infrastructure in real-world situations, and monitor their effectiveness and drawbacks. In addition, the employed protective measure helps to ensure secure and resilient critical infrastructure by enhancing the capabilities necessary to prevent, protect against, and mitigate the illicit use of explosives in the United States IAW PPD-8.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Restricted to the evaluation of the effectiveness and drawbacks of devices or systems designed to protect critical underground infrastructure. Additional information concerning uses and restrictions is contained in the funding opportunity announcement or can be obtained from the administering program office identified in this announcement. Financial and nonfinancial assistance may be provided for the following: salaries, materials and supplies, equipment, travel, publication costs, subcontractor and supporting costs required for technical and other activities necessary to achieve the objective. Restrictions on use of funds will be identified in the funding opportunity announcement and award provisions. See the funding opportunity announcement. See program guidance.

Applicant Eligibility:

See program guidance.

Beneficiary Eligibility:

See program guidance.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program.

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Other - Not Specified.

Appeals:

Not Applicable.

Renewals:

Subject to future appropriations.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Refer to the funding opportunity announcement. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures. See the following for information on how assistance is awarded/released: See program guidance.

Reports:

Grantees are required to submit semiannual performance reports. Semiannual Progress Reports must include the progress of each sub-grant award. Reports are due 30 days after the end of each reporting period: Jan. 30, and July 30. Final performance reports are due 90 days after the expiration or termination of grant award. No cash reports are required. Progress reports are not applicable. No expenditure reports are required. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0565-0-1-999.

Obligations:

(Project Grants) FY 12 \$0; FY 13 est \$0; and FY 14 est \$0 - Meetings conducted through April 2010 and attended by representatives from OPO, OGC, NPPD Property Management, and OBP, all parties concluded that this

contract did not comply with statutory and regulatory restrictions and authorities for transferring property to non-Federal entities. This caused a delay while grant language was formulated, approved, and administered.

Range and Average of Financial Assistance:

Refer to the funding opportunity announcement.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: 442 Barriers installed along Amtrak NE Corridor. Fiscal Year 2013: Anticipate 748 Barriers along Amtrak NE Corridor. Fiscal Year 2014: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

44 CFR Part 13, Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments; A-87, Cost Principles for State, Local and Indian Tribal Governments (2 CFR Part 225); A-110, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals and Non-Profit Organizations (2 CFR Part 215); A-21, Cost Principles for Educational Institutions (2 CFR Part 220); A-122, Cost Principles for Non-Profit Organizations (2 CFR Part 230); and A-133 Audits of States, Local Governments, and Non-Profit Organizations, in addition to program regulations, guidelines, DHS policy and procedure.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Michael Dailey 245 Murray Lane., SW, Stop 0612, Arlington, Virginia 20525-0612 Phone: (202) 447-5589

Website Address:

<http://www.dhs.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

97.130 NATIONAL NUCLEAR FORENSICS EXPERTISE DEVELOPMENT PROGRAM

(NNFEDP)

FEDERAL AGENCY:

Department of Homeland Security

AUTHORIZATION:

Nuclear Forensics and Attribution Act (Public Law 111-140; Sec. 4(a)(12)), Public Law 111-140, U.S.C 4(a)(12).

OBJECTIVES:

The objectives of this program are: (1) to provide a stable foundation from which to develop and maintain the nuclear forensics workforce; (2) to provide a academic pathway from undergraduate to post-doctorate study in disciplines directly relevant to nuclear forensics, including radiochemistry, geochemistry, nuclear physics, nuclear engineering, materials science, and analytical chemistry; and (3) to increase a diverse and highly talented cadre of new and emerging forensics experts who will fill specific expertise gaps in the area of nuclear forensics, an important homeland security mission.

These objectives are aligned with Presidential Policy Directive (PPD)-8, National Preparedness, in that the NNFEDP aims to supply the technical expertise critical to enabling the nuclear forensics mission. This mission involves strengthening the security and resilience of the United States through systematic preparation for the threat of nuclear terrorism. Terrorism is cited among a short list of major threats in PPD-8 as posing the greatest risk to the security of the Nation.

These objectives are also aligned with the DHS Quadrennial Homeland Security Review (QHSR) Mission 1: Preventing Terrorism and Enhancing Security. The NNFEDP supports the following Goals and Objectives:

Goal 1.1: Prevent Terrorist Attacks

Objective 1.1.2: Deter and Disrupt Operations

Goal 1.2: Prevent the Unauthorized Acquisition or Use of Chemical, Biological, Radiological, and Nuclear (CBRN) Materials and Capabilities

Objective 1.2.1: Anticipate Emerging Threats

Objective 1.2.2: Control Access to CBRN

Objective 1.2.4: Protection against Hostile Use of CBRN

In order to accomplish this mandate, the following goals must be met:

Implement a Nuclear Forensics Undergraduate Scholarship Program to provide outreach to the academic community, facilitate experiential learning in nuclear forensics-related research areas, and feed students into the Nuclear Forensics Graduate Fellowship Program.

Implement a Nuclear Forensics Graduate Fellowship Program to encourage students to pursue advanced degrees in radiochemistry and other nuclear forensics-related disciplines, encourage universities to invest in these types of programs, facilitate experiential learning in nuclear forensics-related research areas, and feed students into post-doctoral/permanent staff positions in nuclear forensics at the national laboratories or federal agencies.

Implement a Nuclear Forensics Education Award Program to support universities interested in developing and maintaining academic programs in nuclear forensics-related disciplines.

Implement a Nuclear Forensics Junior Faculty Award Program to provide universities with an incentive to recruit, promote, and retain highly qualified personnel to teach within nuclear forensics-related degree programs and contribute to associated research projects at universities.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

These funds will be used to select an entity to administer the NNFEDP program. As the program administrator, the selected recipient will issue funds to eligible students or institutions of higher education for the following: materials, supplies, laboratory equipment/facilities upgrades, travel, publications costs, subcontractor and supporting costs required for technical and other activities necessary to achieve the objective. Restrictions on use of funds will be identified in the announcement, program guidance and award provisions. Refer to program guidance for further information.

Applicant Eligibility:

Refer to the program guidance.

Beneficiary Eligibility:

Refer to the program guidance.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program.

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff. Any issues or concerns noted in an application will be negotiated with the successful applicants prior to an award being issued.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Refer to the funding opportunity announcement.

Appeals:

Not Applicable.

Renewals:

Subject to future appropriations.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching requirements are not applicable to this program.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Refer to the funding opportunity announcement. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures. Method of awarding/releasing assistance: annual. See the following for information on how assistance is awarded/released: Funds are released in annual increments.

Reports:

Refer to the funding opportunity announcement. Refer to the funding opportunity announcement. Refer to the funding opportunity announcement. Refer to the funding opportunity announcement. Refer to the funding opportunity announcement.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0860-0-1-999.

Obligations:

(Project Grants) FY 12 \$1,899,000; FY 13 est \$2,450,000; and FY 14 est \$2,450,000

Range and Average of Financial Assistance:

Refer to the funding opportunity announcements.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Facilitated collaboration and integration among academic programs, universities, and national laboratories.

Managed day-to-day administration of the Nuclear Forensics Undergraduate Scholarship Program, Nuclear Forensics Graduate Fellowship Program, Nuclear Forensics Junior Faculty Award Program, and Nuclear Forensics Education Award Program. Specifically:

- o Expanded the Nuclear Forensics Undergraduate Scholarship Program to five undergraduate scholars in FY 2012 (from three in FY 2011). This program introduces outstanding physics, chemistry, and nuclear engineering undergraduates to nuclear forensics-related research sponsored by the USG at the national laboratories through a unique nine-to-12-week summer research practicum.
- o Awarded 18 graduate fellows through the Nuclear Forensics Graduate Fellowship Program. This program supports outstanding graduate students pursuing doctoral degrees in nuclear, geochemical, and other scientific disciplines directly related to nuclear forensics.
- o Expanded the Nuclear Forensics Junior Faculty Award Program to six junior faculty awards (from five in FY 2011). This program provides universities with an incentive to recruit, promote, and retain highly qualified personnel to teach within nuclear forensics-related degree programs and contribute to associated research projects at universities.

o Provided five university awards through the Nuclear Forensics Education Award Program. This program encourages universities to develop interdisciplinary academic programs related to nuclear forensics in partnership with national laboratories.

Expanded the NNFEDEP outreach program, including outreach specifically to Historically Black Colleges and Universities. Fiscal Year 2013: Facilitate collaboration and integration among academic programs, universities, and national laboratories.

Manage day-to-day administration of the Nuclear Forensics Undergraduate Scholarship Program, Nuclear Forensics Graduate Fellowship Program, Nuclear Forensics Junior Faculty Award Program, and Nuclear Forensics Education Award Program. Specifically:

- o Award five new Nuclear Forensics Undergraduate Scholarships
- o Award 20 Nuclear Forensics Graduate Fellowships
- o Award five Nuclear Forensics Junior Faculty Awards
- o Award five Nuclear Forensics Education Awards

Continue to enhance NNFEDEP outreach, including outreach specifically to Historically Black Colleges and Universities. Fiscal Year 2014: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

A-110, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals and Non-Profit Organizations (2 CFR Part 215), A-21, Cost Principles for Educational Institutions (2 CFR Part 220), A-122, Cost Principles for Non-Profit Organizations (2 CFR Part 230), and A-133 Audits of States, Local Governments, and Non-Profit Organizations, in addition to program regulations, guidelines, DHS policy and procedure.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Samantha Kentis Connelly DNDO Stop 0550, Department of Homeland Security, 245 Murray Ln., SW, Washington, District of Columbia 20528-0550
Email: Samantha.Connelly@dhs.gov Phone: (202) 254-7709

Website Address:

<http://www.dhs.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the funding opportunity announcement for information on criteria for selecting proposals.

97.131 EMERGENCY MANAGEMENT BASELINE ASSESSMENTS GRANT (EMBAG)

EMBAG

FEDERAL AGENCY:

Department of Homeland Security

AUTHORIZATION:

Homeland Security Act of 2002, as amended, 6 U.S.C. 313(b)(2)(B); 314(a)(10); and 314 (a)(11), 6 U.S.C 313.

OBJECTIVES:

This program is designed to assess the shortfalls in interagency cooperation and continuity of government. The Federal government has found that all state governments may not consistently involve all relevant state agencies in prevention, protection, mitigation, response, and recovery planning and in continuity of government. For example, not all states integrate health departments and transportation departments in planning for emergencies. This program is designed to remedy such shortfalls. In addition, this program can assess for continuity of operations what needs to be done to protect essential facilities, records, equipment, and other assets, as well as achieve a timely and orderly recovery from an emergency in order to resume full service to customers and residents of the community. In planning for continuation of the government in the face of an emergency, several other critical elements will be evaluated by this program such as the effect of a steep reduction in staff levels

as staff members assist their own families and how to mitigate or plan for such a reduction. Also, the governments at the state level are evaluated to assure that orders of succession and delegations of authority have been prepared.

The program should provide peer-validated assessments using nationally recognized emergency management program standards and provide a system for accreditation in meeting those standards. Finally, the program should provide an internet tool allowing states, territories, tribal nations, local jurisdictions, and higher education institutions to use national standards for their own self-assessments.

National Preparedness Goal (NPG):

The NPG defines success as A secure and resilient Nation with the capabilities required across the whole community to prevent, protect against, mitigate, respond to, and recover from the threats and hazards that pose the greatest risk.

This program improves emergency management by pairing whole community partners with state governments to assess existing plans and practices against peer-established, nationally recognized standards. Specifically, the program will assess the extent of States consistency in involving relevant organizations and partners in all mission areas: prevention, protection, mitigation, response, and recovery, as well as in continuity of government.

Nationally recognized emergency management program standards strongly emphasize the importance of planning. Planning is one of only three Core Capabilities in the NPG that span all mission areas. The application of nationally recognized emergency management planning standards to assess state emergency management programs will contribute to the overall assessment of national preparedness as reported annually in the National Preparedness Report and will provide valuable feedback to stakeholders that will strengthen state programs.

For additional details on the NPG, please refer to:
<http://www.fema.gov/pdf/prepared/npg.pdf>.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

- 1) State/territory baseline assessments documentation;
- 2) Maintenance of joint consensus standards and guidelines;
- 3) Maintenance of a web-based portal for states and territories self-assessments;
- 4) Peer-review and feedback on problem areas identified in the self-assessment;
- 5) Webinars for training on standards;
- 6) Videos which assist in the preparation for an assessment; and
- 7) Access to best practices and model plans. See Funding Opportunity Announcement.

Applicant Eligibility:

Any non-profit organization that can sufficiently demonstrate through their application significant experience in providing assessments of state emergency management organizations using peer review and nationally recognized emergency management standards and that can meet the objectives of this program is eligible to apply.

Beneficiary Eligibility:

State and territory emergency management programs.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. The applicant must provide a detailed budget for the funds requested. The detailed budget must be submitted

as an attachment to the grant application package in the ND Grants system. The budget must be complete, reasonable, and cost-effective in relation to the proposed project. The budget should provide the basis of computation of all project-related costs, and appropriate narrative, and a detailed justification of Management and Administrative (M&A) costs.

For indirect costs, applicant is required to provide a copy of the official Negotiated Agreement in effect at the time of application.

Award Procedure:

Applications will be reviewed by DHS FEMA National Preparedness Assessment Division Program Office and Grants Program Directorate (GPD), and the award will be made by GPD Assistance Officers. Funds will not be made available for obligation, expenditure, or drawdown until the applicants budget and budget narrative have been approved by FEMA.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Other - Not Specified.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The period of performance is 24 months. A request for extension may be submitted to FEMA at least 60 days prior end of the performance period with specific and compelling justifications as to why an extension is required. See the following for information on how assistance is awarded/released: Upon approval of the application, the award will be made in the form of a grant. The date of approval of award entered into the system is the award date. Notification of award approval is made through the ND Grants system through an automatic email to the grantee point of contact (POC) listed in the initial application. Once an award has been approved and recorded in the system, a notice is sent to the authorized grant official. Follow the directions in the notification to accept your award documents. The authorized grant official should carefully read the award package for instructions on administering the grant and to learn more about the terms and conditions associated with responsibilities under Federal awards. Awarded funds will be loaded into the Payment Management System (PMS) and available for drawdown by the grantee, unless otherwise instructed.

Reports:

1. Baseline Assessment Reports, which identify the state or territory assessed, due within 120 days of peer-review onsite assessment.
2. Annual Baseline Assessment Summary Report, which reflect results of baseline assessments conducted during the period, identify trends, and summarize the state of the nations preparedness as determined through the application of nationally recognized emergency management standards. No cash reports are required. Quarterly Progress Reports which reflect progress toward the accomplishment of grant objectives, due within 30 days after the end of each quarter ending December 31st, March 31st, June 30th, and September 30th. 1. The Quarterly Federal Financial Report (FFR) SF-425, due within 30 days after the end of each quarter: January 30th, April 30th, July 30th, October 30th.
2. The Final Federal Financial Report (FFR), SF-425, due within 90 days after the Period of Performance expires.
3. Final Payment/Unexpended Funds: due within 90 days after the Period of Performance expires. Reports are not required; however, Grant recipient will be monitored by FEMA staff, both programmatically and financially, to ensure that the project goals, objectives, performance requirements, timelines,

milestone completion, budgets, and other related program criteria are being met. Monitoring will be accomplished through a combination of office-based desk reviews and on-site monitoring visits. Monitoring will involve the review and analysis of the financial, programmatic, performance, and administrative issues relative to the program and will identify areas where technical assistance or other support may be needed.

Officers.

The recipient is responsible for monitoring award activities to provide reasonable assurance that the Federal award is administered in compliance with requirements. Responsibilities include the accounting of receipts and expenditures, cash management, maintaining adequate financial records, and refunding expenditures disallowed by audits.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0560-0-1-999.

Obligations:

(Salaries) FY 12 \$600,000; FY 13 est \$0; and FY 14 est \$0 - This program will not be funded via a grant or cooperative agreement mechanism in FY13 and beyond. The current obligated grant awards from FY2010 and FY2012 will remain available to the grantee through the POP expiration date in performance of their grant agreements.

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2012: Performed 15 EMAP accreditations. Fiscal Year 2013: Performed 14 EMAP accreditations. Fiscal Year 2014: Projected to perform minimum of 14 EMAP accreditations.

REGULATIONS, GUIDELINES, AND LITERATURE:

See Funding Opportunity Announcement.

Regional or Local Office:

None.

Headquarters Office:

Sharon Kushnir, Program Manager 800 K Street, NW, Washington, District of Columbia 20472-3630 Email: sharon.kushnir@fema.dhs.gov Phone: 202-786-9451

Website Address:

<http://www.fema.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be reviewed by the NPAD Program Office and GPD and a selection will be made based on the program criteria identified in the Funding Opportunity Announcement. The award will be made by GPD Assistance

Appendix I: Programs Requiring Executive Order 12372 Review

This appendix lists programs requiring Executive Order 12372 review. Executive Order 12372 "Intergovernmental Review of Federal Programs," structures the Federal government's system of consultation with State and local governments on its decisions involving grants, other forms of financial assistance, and direct development. Under E.O. 12372, States, in consultation with their local governments, design their own review processes and select those Federal financial assistance and direct development activities they wish to review.

Since October 1, 1983 most States have acted to establish a review and comment system in response to E.O. 12372. In such cases, applicants for grants will need to meet the requirements of the intergovernmental consultation process established by a particular State and its local governments before Federal agencies take action on an application.

For more information on the process a particular State requires to be followed, an applicant should contact the office or official designated as the single point of contact in his or her State. Consult the individual program description in the Catalog to determine whether the programs under which he/she plans to apply is subject to E.O.12372. Consult the Federal agency's application package for instructions on State notification.

DEPARTMENT OF AGRICULTURE

10.025 Plant and Animal Disease, Pest Control, and Animal Care (B)
10.028 Wildlife Services (B)
10.156 Federal-State Marketing Improvement Program (B)
10.164 Wholesale Farmers and Alternative Market Development (K,M)
10.168 Farmers' Market Promotion Program (B)
10.253 Food Assistance and Nutrition Research Programs (FANRP) (L)
10.415 Rural Rental Housing Loans (B,E)
10.420 Rural Self-Help Housing Technical Assistance (B)
10.427 Rural Rental Assistance Payments (C)
10.433 Rural Housing Preservation Grants (B)
10.438 Section 538 Rural Rental Housing Guaranteed Loans (F)
10.475 Cooperative Agreements with States for Intrastate Meat and Poultry Inspection (B)
10.553 School Breakfast Program (A)
10.555 National School Lunch Program (A)
10.556 Special Milk Program for Children (A)
10.557 Special Supplemental Nutrition Program for Women, Infants, and Children (A)
10.558 Child and Adult Care Food Program (A)
10.559 Summer Food Service Program for Children (A)
10.560 State Administrative Expenses for Child Nutrition (A)
10.561 State Administrative Matching Grants for the Supplemental Nutrition Assistance Program (A)
10.565 Commodity Supplemental Food Program (A,H)
10.566 Nutrition Assistance For Puerto Rico (C)
10.567 Food Distribution Program on Indian Reservations (B,H)
10.568 Emergency Food Assistance Program (Administrative Costs) (A)
10.569 Emergency Food Assistance Program (Food Commodities) (A)
10.590 Disaster Relief Appropriations Act/Emergency Food Assistance Program (Administrative Costs) (A)
10.664 Cooperative Forestry Assistance (A,B)
10.676 Forest Legacy Program (B)
10.678 Forest Stewardship Program (B)
10.680 Forest Health Protection (A,B)
10.760 Water and Waste Disposal Systems for Rural Communities (B,E,F)
10.763 Emergency Community Water Assistance Grants (B)
10.766 Community Facilities Loans and Grants (B,E,F)
10.767 Intermediary Relending Program (E)
10.768 Business and Industry Loans (F)
10.769 Rural Business Enterprise Grants (B)
10.770 Water and Waste Disposal Loans and Grants (Section 306C) (B,E)
10.771 Rural Cooperative Development Grants (B)
10.781 Water and Waste Disposal Systems for Rural Communities - ARRA (B,E)
10.855 Distance Learning and Telemedicine Loans and Grants (B,E)

10.865 Biorefinery Assistance (F)
10.901 Resource Conservation and Development (K)
10.904 Watershed Protection and Flood Prevention (K)
10.916 Watershed Rehabilitation Program (K)

DEPARTMENT OF COMMERCE

11.010 Community Trade Adjustment Assistance (B)
11.012 Integrated Ocean Observing System (IOOS) (B)
11.013 Education Quality Award Ambassadorship (B)
11.300 Investments for Public Works and Economic Development Facilities (B)
11.302 Economic Development_Support for Planning Organizations (B)
11.303 Economic Development_Technical Assistance (B)
11.312 Research and Evaluation Program (B)
11.400 Geodetic Surveys and Services (Geodesy and Applications of the National Geodetic Reference System) (B)
11.419 Coastal Zone Management Administration Awards (A,B)
11.420 Coastal Zone Management Estuarine Research Reserves (B)
11.426 Financial Assistance for National Centers for Coastal Ocean Science (B)
11.473 Coastal Services Center (B)
11.482 Coral Reef Conservation Program (B)
11.558 State Broadband Data and Development Grant Program (B)

DEPARTMENT OF DEFENSE

12.101 Beach Erosion Control Projects (J)
12.600 Special Assistance (B,L)
12.604 Community Economic Adjustment (B,L)
12.610 Community Economic Adjustment Assistance for Compatible Use and Joint Land Use Studies (B)
12.611 Community Economic Adjustment Assistance for Reductions in Defense Industry Employment (B)
12.614 Community Economic Adjustment Assistance for Advance Planning and Economic Diversification (B)
12.615 Research and Technical Assistance (B)
12.750 Uniformed Services University Medical Research Projects (B)

DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

14.123 Mortgage Insurance_Housing in Older, Declining Areas (F)
14.129 Mortgage Insurance_Nursing Homes, Intermediate Care Facilities, Board and Care Homes and Assisted Living Facilities (F)
14.218 Community Development Block Grants/Entitlement Grants (A)
14.850 Public and Indian Housing (C)
14.866 Demolition and Revitalization of Severely Distressed Public Housing (B)
14.872 Public Housing Capital Fund (A)
14.889 Choice Neighborhoods Implementation Grants (B)
14.892 Choice Neighborhoods Planning Grants (B)

Appendix I: Programs Requiring Executive Order 12372 Review

DEPARTMENT OF THE INTERIOR

- 15.250 Regulation of Surface Coal Mining and Surface Effects of Underground Coal Mining (B,C)
- 15.252 Abandoned Mine Land Reclamation (AMLR) Program (A,B)
- 15.253 Not-for-Profit AMD Reclamation (B)
- 15.423 Bureau of Ocean Energy Management (BOEM) Environmental Studies Program (ESP) (B)
- 15.424 Marine Minerals Activities - Hurricane Sandy (B)
- 15.427 Federal Oil and Gas Royalty Management State and Tribal Coordination (B)
- 15.506 Water Desalination Research and Development Program (B)
- 15.509 Title II, Colorado River Basin Salinity Control Program (B)
- 15.512 Central Valley Project Improvement Act, Title XXXIV (B)
- 15.521 Lower Rio Grande Valley Water Resources Conservation and Improvement (B)
- 15.524 Recreation Resources Management (B)
- 15.529 Upper Colorado and San Juan River Basins Endangered Fish Recovery Programs (B)
- 15.530 Water Conservation Field Services Program (WCFSF) (B)
- 15.538 Lower Colorado River Multi-Species Conservation Program. (B)
- 15.548 Reclamation Rural Water Supply Program (B)
- 15.605 Sport Fish Restoration Program (A)
- 15.608 Fish and Wildlife Management Assistance (B)
- 15.611 Wildlife Restoration and Basic Hunter Education (A)
- 15.614 Coastal Wetlands Planning, Protection and Restoration Act (B)
- 15.615 Cooperative Endangered Species Conservation Fund (B)
- 15.616 Clean Vessel Act (B)
- 15.622 Sportfishing and Boating Safety Act (B)
- 15.625 Wildlife Conservation and Restoration (A)
- 15.626 Enhanced Hunter Education and Safety Program (A)
- 15.628 Multistate Conservation Grant Program (B)
- 15.633 Landowner Incentive Program (B)
- 15.634 State Wildlife Grants (A,B)
- 15.636 Alaska Subsistence Management (B)
- 15.654 Visitor Facility Enhancements - Refuges and Wildlife (B)
- 15.668 Coastal Impact Assistance Program (A)
- 15.875 Economic, Social, and Political Development of the Territories (A,B,D)
- 15.904 Historic Preservation Fund Grants-In-Aid (A,B)
- 15.916 Outdoor Recreation_Acquisition, Development and Planning (B)
- 15.923 National Center for Preservation Technology and Training (B)
- 15.926 American Battlefield Protection (B)
- 15.928 Civil War Battlefield Land Acquisition Grants (B)
- 15.930 Chesapeake Bay Gateways Network (B)
- 15.936 Shenandoah Valley Battlefields National Historic District Battlefield Acquisition and Protection (C)
- 15.940 New Bedford Whaling National Historic Park Cooperative Management (C)
- 15.941 Mississippi National River and Recreation Area State and Local Assistance (B)
- 15.942 Environmental Education and Conservation - North Cascades Bioregion (C,J)

DEPARTMENT OF JUSTICE

- 16.540 Juvenile Justice and Delinquency Prevention_Allocation to States (A,B)
- 16.544 Youth Gang Prevention (B)
- 16.548 Title V_Delinquency Prevention Program (A)
- 16.550 State Justice Statistics Program for Statistical Analysis Centers (B)
- 16.554 National Criminal History Improvement Program (NCHIP) (B)

- 16.593 Residential Substance Abuse Treatment for State Prisoners (A)
- 16.606 State Criminal Alien Assistance Program (C)
- 16.609 Project Safe Neighborhoods (B)
- 16.610 Regional Information Sharing Systems (B)
- 16.614 State and Local Anti-Terrorism Training (K,L,M)
- 16.710 Public Safety Partnership and Community Policing Grants (B)
- 16.726 Juvenile Mentoring Program (B)
- 16.727 Enforcing Underage Drinking Laws Program (B)
- 16.738 Edward Byrne Memorial Justice Assistance Grant Program (A,B)
- 16.741 DNA Backlog Reduction Program (A,B)
- 16.742 Paul Coverdell Forensic Sciences Improvement Grant Program (A)
- 16.750 Support for Adam Walsh Act Implementation Grant Program (B)
- 16.753 Congressionally Recommended Awards (B)

DEPARTMENT OF LABOR

- 17.207 Employment Service/Wagner-Peyser Funded Activities (A,B)
- 17.235 Senior Community Service Employment Program (A,B)
- 17.258 WIA Adult Program (A)
- 17.259 WIA Youth Activities (A)
- 17.264 National Farmworker Jobs Program (A)
- 17.271 Work Opportunity Tax Credit Program (WOTC) (A)
- 17.278 WIA Dislocated Worker Formula Grants (A)
- 17.600 Mine Health and Safety Grants (B)

DEPARTMENT OF TRANSPORTATION

- 20.106 Airport Improvement Program (B,K)
- 20.108 Aviation Research Grants (B,I)
- 20.109 Air Transportation Centers of Excellence (B,I,J)
- 20.205 Highway Planning and Construction (A,B)
- 20.219 Recreational Trails Program (A)
- 20.231 Performance and Registration Information Systems Management (B)
- 20.235 Commercial Motor Vehicle Operator Training Grants (B)
- 20.237 Commercial Vehicle Information Systems and Networks (B)
- 20.500 Federal Transit_Capital Investment Grants (A,B)
- 20.505 Metropolitan Transportation Planning (A)
- 20.507 Federal Transit_Formula Grants (A)
- 20.509 Formula Grants for Rural Areas (A)
- 20.513 Enhanced Mobility of Seniors and Individuals with Disabilities (A)
- 20.514 Public Transportation Research (B,L,M)
- 20.515 State Planning and Research (A)
- 20.516 Job Access And Reverse Commute Program (B)
- 20.520 Paul S. Sarbanes Transit in the Parks (B)
- 20.521 New Freedom Program (A)
- 20.526 Bus and Bus Facilities Formula Program (A)
- 20.527 Public Transportation Emergency Relief Program (B)
- 20.600 State and Community Highway Safety (A)
- 20.601 Alcohol Impaired Driving Countermeasures Incentive Grants I (B)
- 20.602 Occupant Protection Incentive Grants (B)
- 20.608 Minimum Penalties for Repeat Offenders for Driving While Intoxicated (B)
- 20.610 State Traffic Safety Information System Improvement Grants (B)
- 20.611 Incentive Grant Program to Prohibit Racial Profiling (A)
- 20.612 Incentive Grant Program to Increase Motorcyclist Safety (A)
- 20.613 Child Safety and Child Booster Seats Incentive Grants (B)
- 20.616 National Priority Safety Programs (A)
- 20.700 Pipeline Safety Program State Base Grant (A)
- 20.703 Interagency Hazardous Materials Public Sector Training and Planning Grants (B)
- 20.721 PHMSA Pipeline Safety Program One Call Grant (B)
- 20.723 PHMSA Pipeline Safety Research and Development Other

Appendix I: Programs Requiring Executive Order 12372 Review

Transaction Agreements (B)

20.932 Surface Transportation _ Discretionary Grants for Capital Investment (B)

20.933 National Infrastructure Investments (B)

INSTITUTE OF MUSEUM AND LIBRARY SERVICES

45.310 Grants to States (A)

NATIONAL ENDOWMENT FOR THE ARTS

45.025 Promotion of the Arts_Partnership Agreements (B,K)

NATIONAL SCIENCE FOUNDATION

47.050 Geosciences (B)

47.070 Computer and Information Science and Engineering (B)

47.078 Polar Programs (B)

47.079 Office of International and Integrative Activities (B)

47.080 Office of Cyberinfrastructure (B)

47.081 Office of Experimental Program to Stimulate Competitive Research (B)

ENVIRONMENTAL PROTECTION AGENCY

66.001 Air Pollution Control Program Support (B)

66.032 State Indoor Radon Grants (B)

66.033 Ozone Transport Commission (B)

66.034 Surveys, Studies, Research, Investigations, Demonstrations, and Special Purpose Activities Relating to the Clean Air Act (B)

66.035 Community Action for a Renewed Environment (CARE) Program (B)

66.039 National Clean Diesel Emissions Reduction Program (B)

66.040 State Clean Diesel Grant Program (A)

66.042 Temporally Integrated Monitoring of Ecosystems (TIME) and Long-Term Monitoring (LTM) Program (B)

66.043 Regional Healthy Indoor Air Projects for Community Outreach and Education, Surveys, Studies, Research, Investigations, Demonstrations, and Special Purpose Activities Relating to the Clean Air Act (B)

66.110 Healthy Communities Grant Program (B)

66.124 Coastal Wetlands Planning Protection and Restoration Act (B)

66.125 Lake Pontchartrain Basin Restoration Program (PRP) (B)

66.126 The San Francisco Bay Water Quality Improvement Fund (B)

66.128 Southeastern Multi-Media and Geographic Priority Projects (B)

66.202 Congressionally Mandated Projects (B)

66.203 Environmental Finance Center Grants (B)

66.305 Compliance Assistance Support for Services to the Regulated Community and Other Assistance Providers (B)

66.306 Environmental Justice Collaborative Problem-Solving Cooperative Agreement Program (B)

66.309 Surveys, Studies, Investigations, Training and Special Purpose Activities Relating to Environmental Justice (B)

66.313 International Compliance and Enforcement Projects (B)

66.418 Construction Grants for Wastewater Treatment Works (B)

66.419 Water Pollution Control State, Interstate, and Tribal Program Support (A)

66.424 Surveys, Studies, Investigations, Demonstrations, and Training Grants - Section 1442 of the Safe Drinking Water Act (B)

66.432 State Public Water System Supervision (A)

66.433 State Underground Water Source Protection (A)

66.436 Surveys, Studies, Investigations, Demonstrations, and Training Grants and Cooperative Agreements - Section 104(b)(3) of the Clean Water Act (B)

66.437 Long Island Sound Program (B)

66.439 Targeted Watersheds Grants (B)

66.440 Urban Waters Small Grants (B)

66.454 Water Quality Management Planning (A)

66.456 National Estuary Program (B)

66.458 Capitalization Grants for Clean Water State Revolving Funds (A)

66.460 Nonpoint Source Implementation Grants (A)

66.461 Regional Wetland Program Development Grants (B)

66.462 National Wetland Program Development Grants and Five-Star Restoration Training Grant (B)

66.463 Water Quality Cooperative Agreements (B)

66.466 Chesapeake Bay Program (B)

66.467 Wastewater Operator Training Grant Program (B)

66.468 Capitalization Grants for Drinking Water State Revolving Funds (A)

66.469 Great Lakes Program (B,I,L)

66.471 State Grants to Reimburse Operators of Small Water Systems for Training and Certification Costs (A)

66.472 Beach Monitoring and Notification Program Implementation Grants (A)

66.474 Water Protection Grants to the States (A)

66.475 Gulf of Mexico Program (B)

66.481 Lake Champlain Basin Program (B)

66.482 Disaster Relief Appropriations Act (DRAA) Hurricane Sandy Capitalization Grants For Clean Water State Revolving Funds (A)

66.483 Disaster Relief Appropriations Act (DRAA) Hurricane Sandy Capitalization Grants for Drinking Water State Revolving Funds (A)

66.509 Science To Achieve Results (STAR) Research Program (B)

66.510 Surveys, Studies, Investigations and Special Purpose Grants within the Office of Research and Development (B)

66.511 Office of Research and Development Consolidated Research/Training/Fellowships (B)

66.516 P3 Award: National Student Design Competition for Sustainability (B)

66.517 Regional Applied Research Efforts (RARE) (B)

66.604 Environmental Justice Small Grant Program (B)

66.605 Performance Partnership Grants (A,B)

66.609 Protection of Children from Environmental Health Risks (B)

66.610 Surveys, Studies, Investigations and Special Purpose Grants within the Office of the Administrator (B)

66.611 Environmental Policy and Innovation Grants (B)

66.612 Surveys, Studies, Investigations, Training Demonstrations and Educational Outreach Related to Environmental Information and the Release of Toxic Chemicals (B)

66.708 Pollution Prevention Grants Program (B)

66.716 Research, Development, Monitoring, Public Education, Training, Demonstrations, and Studies (B)

66.717 Source Reduction Assistance (B)

66.801 Hazardous Waste Management State Program Support (A)

66.802 Superfund State, Political Subdivision, and Indian Tribe Site-Specific Cooperative Agreements (B)

66.804 Underground Storage Tank Prevention, Detection and Compliance Program (A)

66.805 Leaking Underground Storage Tank Trust Fund Corrective Action Program (A)

66.806 Superfund Technical Assistance Grants (TAG) for Community Groups at National Priority List (NPL) Sites (B)

66.808 Solid Waste Management Assistance Grants (B)

66.809 Superfund State and Indian Tribe Core Program Cooperative Agreements (B)

66.810 Chemical Emergency Preparedness and Prevention (CEPP) Technical Assistance Grants Program (B)

66.812 Hazardous Waste Management Grant Program for Tribes (B)

66.813 Alternative or Innovative Treatment Technology Research, Demonstration, Training, and Hazardous Substance Research Grants (B)

Appendix I: Programs Requiring Executive Order 12372 Review

- 66.814 Brownfields Training, Research, and Technical Assistance Grants and Cooperative Agreements (B)
- 66.816 Headquarters and Regional Underground Storage Tanks Program (B)
- 66.817 State and Tribal Response Program Grants (A)
- 66.818 Brownfields Assessment and Cleanup Cooperative Agreements (B)
- 66.819 Disaster Relief Appropriations Act (DRAA) Hurricane Sandy Leaking Underground Storage Tank Trust Fund Corrective Action Program (A)
- 66.931 International Financial Assistance Projects Sponsored by the Office of International and Tribal Affairs (B)

DEPARTMENT OF ENERGY

- 81.041 State Energy Program (A,B,L)
- 81.042 Weatherization Assistance for Low-Income Persons (A,B)
- 81.127 Energy Efficient Appliance Rebate Program (EEARP) (A)
- 81.128 Energy Efficiency and Conservation Block Grant Program (EECBG) (A,B)

DEPARTMENT OF EDUCATION

- 84.002 Adult Education - Basic Grants to States (A)
- 84.004 Civil Rights Training and Advisory Services (B)
- 84.011 Migrant Education_State Grant Program (A)
- 84.015 National Resource Centers Program for Foreign Language and Area Studies or Foreign Language and International Studies Program and Foreign Language and Area Studies Fellowship Program (B)
- 84.016 Undergraduate International Studies and Foreign Language Programs (B)
- 84.027 Special Education_Grants to States (A)
- 84.031 Higher Education_Institutional Aid (B)
- 84.042 TRIO_Student Support Services (B)
- 84.044 TRIO_Talent Search (B)
- 84.047 TRIO_Upward Bound (B)
- 84.048 Career and Technical Education -- Basic Grants to States (A)
- 84.060 Indian Education_Grants to Local Educational Agencies (A)
- 84.066 TRIO_Educational Opportunity Centers (B)
- 84.103 TRIO Staff Training Program (B)
- 84.116 Fund for the Improvement of Postsecondary Education (B)
- 84.120 Minority Science and Engineering Improvement (B)
- 84.126 Rehabilitation Services_Vocational Rehabilitation Grants to States (A)
- 84.128 Rehabilitation Services_Service Projects (B)
- 84.129 Rehabilitation Long-Term Training (B)
- 84.132 Centers for Independent Living (B)
- 84.141 Migrant Education_High School Equivalency Program (B)
- 84.144 Migrant Education_Coordination Program (B)
- 84.145 Federal Real Property Assistance Program (H)
- 84.149 Migrant Education_College Assistance Migrant Program (B)
- 84.160 Training Interpreters for Individuals who are Deaf and Individuals who are Deaf-Blind (B)
- 84.161 Rehabilitation Services_Client Assistance Program (A)
- 84.165 Magnet Schools Assistance (B)
- 84.169 Independent Living_State Grants (A)
- 84.173 Special Education_Preschool Grants (A)
- 84.177 Rehabilitation Services_Independent Living Services for Older Individuals Who are Blind (B)
- 84.181 Special Education-Grants for Infants and Families (A)
- 84.184 Safe and Drug-Free Schools and Communities_National Programs (B)
- 84.187 Supported Employment Services for Individuals with the Most Significant Disabilities (A)
- 84.191 Adult Education_National Leadership Activities (B,C)
- 84.196 Education for Homeless Children and Youth (A)
- 84.200 Graduate Assistance in Areas of National Need (B)
- 84.217 TRIO_McNair Post-Baccalaureate Achievement (B)

- 84.220 Centers for International Business Education (B)
- 84.224 Assistive Technology (A)
- 84.235 Rehabilitation Services Demonstration and Training Programs (B)
- 84.240 Program of Protection and Advocacy of Individual Rights (A)
- 84.246 Rehabilitation Short-Term Training (B)
- 84.264 Rehabilitation Training_Continuing Education (B)
- 84.265 Rehabilitation Training_State Vocational Rehabilitation Unit In-Service Training (B)
- 84.274 American Overseas Research Centers (B)
- 84.275 Rehabilitation Training_General Training (B)
- 84.287 Twenty-First Century Community Learning Centers (A)
- 84.295 Ready-To-Learn Television (B)
- 84.299 Indian Education -- Special Programs for Indian Children (B)
- 84.315 Capacity Building for Traditionally Underserved Populations (B)
- 84.323 Special Education - State Personnel Development (B)
- 84.324 Research in Special Education (B)
- 84.325 Special Education - Personnel Development to Improve Services and Results for Children with Disabilities (B)
- 84.326 Special Education_Technical Assistance and Dissemination to Improve Services and Results for Children with Disabilities (B)
- 84.327 Special Education_Educational Technology Media, and Materials for Individuals with Disabilities (B)
- 84.328 Special Education_Parent Information Centers (B)
- 84.329 Special Education_Studies and Evaluations (B)
- 84.330 Advanced Placement Program (Advanced Placement Test Fee; Advanced Placement Incentive Program Grants) (B)
- 84.334 Gaining Early Awareness and Readiness for Undergraduate Programs (B)
- 84.335 Child Care Access Means Parents in School (B)
- 84.336 Teacher Quality Partnership Grants (B)
- 84.343 Assistive Technology_State Grants for Protection and Advocacy (A)
- 84.350 Transition to Teaching (B)
- 84.351 Arts in Education (B)
- 84.354 Credit Enhancement for Charter School Facilities (B)
- 84.356 Alaska Native Educational Programs (B)
- 84.360 High School Graduation Initiative (B)
- 84.362 Native Hawaiian Education (B)
- 84.363 School Leadership (B)
- 84.368 Grants for Enhanced Assessment Instruments (B)
- 84.370 DC School Choice Incentive Program (B)
- 84.371 Striving Readers (A,B)
- 84.373 Special Education_Technical Assistance on State Data Collection (B)
- 84.374 Teacher Incentive Fund (B)
- 84.378 College Access Challenge Grant Program (A)
- 84.382 Strengthening Minority-Serving Institutions (B)
- 84.407 Transition Programs for Students with Intellectual Disabilities into Higher Education (B)

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- 89.003 National Historical Publications and Records Grants (B)

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- 93.003 Public Health and Social Services Emergency Fund (B)
- 93.007 Public Awareness Campaigns on Embryo Adoption (B)
- 93.010 Community-Based Abstinence Education (CBAE) (B)
- 93.011 National Organizations of State and Local Officials (B)
- 93.015 HIV Prevention Programs for Women (B)
- 93.018 Strengthening Public Health Services at the Outreach Offices of the U.S.-Mexico Border Health Commission (B)
- 93.042 Special Programs for the Aging_Title VII, Chapter 2_Long Term Care

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Ombudsman Services for Older Individuals (A)	93.269	Complex Humanitarian Emergency and War-Related Injury Public Health Activities (B)
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93.044 Special Programs for the Aging_Title III, Part B_Grants for Supportive Services and Senior Centers (A)	93.276	Drug-Free Communities Support Program Grants (B)
93.045 Special Programs for the Aging_Title III, Part C_Nutrition Services (A)	93.283	Centers for Disease Control and Prevention_Investigations and Technical Assistance (B)
93.053 Nutrition Services Incentive Program (A)	93.290	National Community Centers of Excellence in Women's Health (B)
93.060 Competitive Abstinence Education (CAE) (B)	93.296	State Partnership Grant Program to Improve Minority Health (B)
93.062 Biomonitoring Programs for State Public Health Laboratories (B)	93.305	National State Based Tobacco Control Programs (B)
93.069 Public Health Emergency Preparedness (B)	93.352	Construction Support (B)
93.070 Environmental Public Health and Emergency Response (B)	93.389	National Center for Research Resources (B)
93.082 Sodium Reduction in Communities (B)	93.402	ARRA - State Loan Repayment Program (B,C)
93.083 Prevention of Disease, Disability, and Death through Immunization and Control of Respiratory and Related Diseases (B)	93.448	Food Safety and Security Monitoring Project (B)
93.086 Healthy Marriage Promotion and Responsible Fatherhood Grants (B,L)	93.449	Ruminant Feed Ban Support Project (B)
93.087 Enhance Safety of Children Affected by Substance Abuse (B)	93.452	Health Improvement for Re-entering Ex-offenders Initiative (HIRE) HIV/AIDS (B)
93.088 Advancing System Improvements to Support Targets for Healthy People 2010 (B)	93.500	Pregnancy Assistance Fund Program (B)
93.093 Affordable Care Act (ACA) Health Profession Opportunity Grants (B)	93.505	Affordable Care Act (ACA) Maternal, Infant, and Early Childhood Home Visiting Program (A,B)
93.094 Well-Integrated Screening and Evaluation for Women Across the Nation (B)	93.507	PPHF 2012 National Public Health Improvement Initiative (B)
93.104 Comprehensive Community Mental Health Services for Children with Serious Emotional Disturbances (SED) (B)	93.520	Centers for Disease Control and Prevention Affordable Care Act (ACA) Communities Putting Prevention to Work (B)
93.107 Area Health Education Centers Point of Service Maintenance and Enhancement Awards (B)	93.521	The Affordable Care Act: Building Epidemiology, Laboratory, and Health Information Systems Capacity in the Epidemiology and Laboratory Capacity for Infectious Disease (ELC) and Emerging Infections Program (EIP) Cooperative Agreements;PPHF (B)
93.116 Project Grants and Cooperative Agreements for Tuberculosis Control Programs (B)	93.527	Affordable Care Act (ACA) Grants for New and Expanded Services under the Health Center Program (B)
93.118 Acquired Immunodeficiency Syndrome (AIDS) Activity (B)	93.531	PPHF 2012: Community Transformation Grants and National Dissemination and Support for Community Transformation Grants - financed solely by 2012 Preventinon and Public Health Funds (B)
93.129 Technical and Non-Financial Assistance to Health Centers (B)	93.534	Affordable Care Act Program for Early Detection of Certain Medical Conditions Related to Environmental Health Hazards (B)
93.134 Grants to Increase Organ Donations (B)	93.535	Affordable Care Act (ACA) Childhood Obesity Research Demonstration (B)
93.137 Community Programs to Improve Minority Health Grant Program (B)	93.538	Affordable Care Act - National Environmental Public Health Tracking Program-Network Implementation (B)
93.145 AIDS Education and Training Centers (B)	93.544	The Patient Protection and Affordable Care Act of 2010 (Affordable Care Act) authorizes Coordinated Chronic Disease prevention and Health Promotion Program (B)
93.153 Coordinated Services and Access to Research for Women, Infants, Children, and Youth (B)	93.547	Affordable Care Act National Health Service Corps (B,C)
93.155 Rural Health Research Centers (B)	93.548	PPHF2013: State Nutrition, Physical Activity, and Obesity Programs - financed in part by 2013 PPHF (B)
93.161 Health Program for Toxic Substances and Disease Registry (B)	93.550	Transitional Living for Homeless Youth (B)
93.165 Grants to States for Loan Repayment Program (B,C)	93.551	Abandoned Infants (B)
93.184 Disabilities Prevention (B)	93.556	Promoting Safe and Stable Families (A,B)
93.185 Immunization Research, Demonstration, Public Information and Education_Training and Clinical Skills Improvement Projects (B)	93.557	Education and Prevention Grants to Reduce Sexual Abuse of Runaway, Homeless and Street Youth (B)
93.186 National Research Service Award in Primary Care Medicine (B)	93.563	Child Support Enforcement (A)
93.197 Childhood Lead Poisoning Prevention Projects_State and Local Childhood Lead Poisoning Prevention and Surveillance of Blood Lead Levels in Children (B)	93.570	Community Services Block Grant_Discretionary Awards (B)
93.204 Surveillance of Hazardous Substance Emergency Events (B)	93.576	Refugee and Entrant Assistance_Discretionary Grants (B)
93.211 Telehealth Programs (B)	93.592	Family Violence Prevention and Services/Battered Women's Shelters_Discretionary Grants (B)
93.217 Family Planning_Services (B)	93.593	Job Opportunities for Low-Income Individuals (B)
93.223 Development and Coordination of Rural Health Services (B)	93.595	Welfare Reform Research, Evaluations and National Studies (B)
93.224 Consolidated Health Centers (Community Health Centers, Migrant Health Centers, Health Care for the Homeless, and Public Housing Primary Care) (B)	93.598	Services to Victims of a Severe Form of Trafficking (B)
93.234 Traumatic Brain Injury State Demonstration Grant Program (B)	93.600	Head Start (B)
93.240 State Capacity Building (B)	93.601	Child Support Enforcement Demonstrations and Special Projects (B)
93.243 Substance Abuse and Mental Health Services_Projects of Regional and National Significance (B)	93.602	Assets for Independence Demonstration Program (B)
93.257 Grants for Education, Prevention, and Early Detection of Radiogenic Cancers and Diseases (B)	93.604	Assistance for Torture Victims (B)
93.260 Family Planning_Personnel Training (B)		
93.268 Immunization Cooperative Agreements (B)		

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93.616	Mentoring Children of Prisoners (B)	Projects (B)	
93.617	Voting Access for Individuals with Disabilities_Grants to States (A)	93.942	Research, Prevention, and Education Programs on Lyme Disease in the United States (B)
93.618	Voting Access for Individuals with Disabilities-Grants for Protection and Advocacy Systems (A)	93.944	Human Immunodeficiency Virus (HIV)/Acquired Immunodeficiency Virus Syndrome (AIDS) Surveillance (B)
93.623	Basic Center Grant (B)	93.945	Assistance Programs for Chronic Disease Prevention and Control (B)
93.631	Developmental Disabilities Projects of National Significance (B)	93.946	Cooperative Agreements to Support State-Based Safe Motherhood and Infant Health Initiative Programs (B)
93.643	Children's Justice Grants to States (A)	93.947	Tuberculosis Demonstration, Research, Public and Professional Education (B)
93.645	Stephanie Tubbs Jones Child Welfare Services Program (A)	93.965	Coal Miners Respiratory Impairment Treatment Clinics and Services (B)
93.647	Social Services Research and Demonstration (B)	93.977	Preventive Health Services_Sexually Transmitted Diseases Control Grants (B)
93.669	Child Abuse and Neglect State Grants (A)	93.990	National Health Promotion (B)
93.670	Child Abuse and Neglect Discretionary Activities (B)	93.995	Adolescent Family Life_Demonstration Projects (B)
93.671	Family Violence Prevention and Services/Battered Women's Shelters_Grants to States and Indian Tribes (A)		
93.702	National Center for Research Resources, Recovery Act Construction Support (B)		
93.703	ARRA Grants to Health Center Programs (B)		
93.708	ARRA - Head Start (B)		
93.711	ARRA Strengthening Communities Fund (B)		
93.731	ARRA - Prevention and Wellness - Leveraging National Organizations (B)		
93.737	PPHF 2012: Community Transformation Grants -Small Communities Program financed solely by 2012 Public Prevention and Health Funds (B)		
93.739	PPHF2012: Chronic disease Innovation Grants - financed solely by 2012 Public Prevention Health Funds (B)		
93.742	PPHF 2012: Early Childcare and Education Obesity Prevention Program - Obesity Prevention in Young Children - financed solely by 2012 Public Prevention and Health Funds (B)		
93.743	Racial and Ethnic Approaches to Community Health: Obesity and Hypertension Demonstration Projects financed solely by 2012 Prevention and Public Health Funds (B)		
93.744	PPHF 2012: Breast and Cervical Cancer Screening Opportunities for States, Tribes and Territories solely financed by 2012 Prevention and Public Health Funds (B)		
93.751	PPHF2013: Consortium for Tobacco Use Cessation Technical Assistance financed by solely by 2013 Prevention and Public Health Funds (B)		
93.768	Medicaid Infrastructure Grants To Support the Competitive Employment of People with Disabilities (B)		
93.796	State Survey Certification of Health Care Providers and Suppliers (Title XIX) Medicaid (A)		
93.824	Area Health Education Centers Infrastructure Development Awards (B)		
93.910	Family and Community Violence Prevention Program (B)		
93.912	Rural Health Care Services Outreach, Rural Health Network Development and Small Health Care Provider Quality Improvement Program (B)		
93.913	Grants to States for Operation of Offices of Rural Health (B)		
93.914	HIV Emergency Relief Project Grants (B)		
93.918	Grants to Provide Outpatient Early Intervention Services with Respect to HIV Disease (B)		
93.919	Cooperative Agreements for State-Based Comprehensive Breast and Cervical Cancer Early Detection Programs (B)		
93.926	Healthy Start Initiative (B)		
93.928	Special Projects of National Significance (B)		
93.938	Cooperative Agreements to Support Comprehensive School Health Programs to Prevent the Spread of HIV and Other Important Health Problems (B)		
93.939	HIV Prevention Activities_Non-Governmental Organization Based (B)		
93.940	HIV Prevention Activities_Health Department Based (B)		
93.941	HIV Demonstration, Research, Public and Professional Education		

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94.002	Retired and Senior Volunteer Program (B)
94.011	Foster Grandparent Program (B)
94.013	Volunteers in Service to America (J)
94.016	Senior Companion Program (B)
94.017	Senior Demonstration Program (B)

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95.001	High Intensity Drug Trafficking Areas Program (B)
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97.005	State and Local Homeland Security National Training Program (B)
97.007	Homeland Security Preparedness Technical Assistance Program (B)
97.008	Non-Profit Security Program (B)
97.009	Cuban/Haitian Entrant Program (B)
97.012	Boating Safety Financial Assistance (A)
97.029	Flood Mitigation Assistance (B)
97.030	Community Disaster Loans (E)
97.036	Disaster Grants - Public Assistance (Presidentially Declared Disasters) (B)
97.039	Hazard Mitigation Grant (B)
97.040	Chemical Stockpile Emergency Preparedness Program (B)
97.042	Emergency Management Performance Grants (A)
97.045	Cooperating Technical Partners (B)
97.047	Pre-Disaster Mitigation (B)
97.048	Federal Disaster Assistance to Individuals and Households in Presidential Declared Disaster Areas (B,C)
97.049	Presidential Declared Disaster Assistance - Disaster Housing Operations for Individuals and Households (C,J)
97.050	Presidential Declared Disaster Assistance to Individuals and Households - Other Needs (C)
97.052	Emergency Operations Center (B)
97.067	Homeland Security Grant Program (A)
97.075	Rail and Transit Security Grant Program (B)
97.078	Buffer Zone Protection Program (BZPP) (B)
97.080	Information Analysis Infrastructure Protection (IAIP) and Critical Infrastructure Monitoring and Protection (B)
97.083	Staffing for Adequate Fire and Emergency Response (SAFER) (B)
97.089	Driver's License Security Grant Program (B)
97.091	Homeland Security Biowatch Program (B,I)
97.092	Repetitive Flood Claims (B)
97.106	Securing the Cities Program (B,I,L)
97.107	National Incident Management System (NIMS) (B)

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- 97.110 Severe Repetitive Loss Program (B)
- 97.111 Regional Catastrophic Preparedness Grant Program (RCPGP) (B)
- 97.113 Rail and Transit Security Grant Program (ARRA) (B)
- 97.116 Port Security Grant Program (ARRA) (B)
- 97.120 Border Interoperability Demonstration Project (B)
- 97.121 Radiological/Nuclear Detection Pilot Evaluations Program (B,I,L)

Appendix II: Authorization Appendix

Acts and Executive Orders

A

A. This program is authorized under sections 1501-1510 [42 U.S.C. 300k, 42 U.S.C. 300l, 42 U.S.C. 300m, 42 U.S.C. 300n, 42 U.S.C. 300 n-1, 42 U.S.C. 300 n-2, 42 U.S.C. 300 n-3, 42 U.S.C. 300 n-4, 42 U.S.C. 300 n-4a, 42 U.S.C. 300 n-5] of the Public Health Service Act, as amended. WISEWOMAN must follow the legislative requirements detailed in these sections.-- 93.094

AIDS Housing Opportunity Act, 42 U.S.C. 12901 et seq.-- 14.241

AUTHORIZATION: Office of National Drug Control Policy Reauthorization Act of 2006-- 95.001

Abandoned Infants Assistance Act of 1988, Section 104, Public Law 100-505, as amended-- 93.551

Abandoned Infants Assistance Act of 1988; Section 104, Public Law, as amended; Section 101, The Child Abuse Prevention and Treatment Act (CAPTA), as amended by Public Law 111-320, the CAPTA Reauthorization Act of 2010.-- 93.551

Act of August 13, 1968-- 10.053

Act to Promote the Education of the Blind-- 84.417

Adam Walsh Child Protection and Safety Act of 2006 (AWA)-- 16.750

Administrative Dispute Resolution Act of 1996-- 33.001

Adult Education and Family Literacy Act-- 17.267

Adult Education and Family Literacy Act, (AEFLA), (Title II of the Workforce Investment Act of 1998), 20 U.S.C. 9201 et seq.-- 84.002

Adult Education and Family Literacy Act, (AEFLA), Title II of the Workforce Investment Act of 1998, Section 243, 20 USC 9253-- 84.191

Affordable Care Act-- 93.226

Affordable Care Act (ACA) Section 10323(b) amends Title XX of the Social Security Act (42 U.S.C. 1397 et seq.) to establish a Program for Early Detection of Certain Medical Conditions Related to Environmental Health Hazards. (Section 2009 of the Social Security Act, as amended) (42 U.S.C. 1397h)-- 93.534

Affordable Care Act (Public Law 111-148)-- 93.773

Affordable Care Act (Public Law 111-148) Section 2707-- 93.537

Affordable Care Act (Public Law 111-148) Section 4108-- 93.536

Affordable Care Act Section 1322-- 93.545

Affordable Care Act, Public Law 111-148, section 3021-- 93.621

African Elephant Conservation Act, Title II Section 2001 et seq., Public Law 100-478, 102 Stat. 2315 et seq., 16 U.S.C. 201 et seq., 4211 et seq., 4221 et seq., 4241 et seq., and 1538.-- 15.620

Age Discrimination in Employment Act of 1967, (P.L. 90-202), as amended, 29 U.S.C. section 621 et seq-- 30.008

Agricultural Adjustment Act of 1938-- 10.167

Agricultural Credit Act of 1978-- 10.054, 10.105

Agricultural Credit Act of 1987-- 10.435

Agricultural Marketing Act of 1946-- 10.167

Agricultural Marketing Act of 1946, 7 U.S.C. 1621-1627, 7 U.S.C. 2101-2119; Egg Research and Consumer Information Act, 7 U.S.C. 2701-2718; Export Apple and Pear Act, 7 U.S.C. 581-590; Export Grape and Plum Act, 7 U.S.C. 591-599; Federal Seed Act, 7 U.S.C. 1551-1611; National Wool Act of 1954, as amended, 7 U.S.C. 1787; Plant Variety Protection Act, 7 U.S.C. 2321-2331, 2351-2357, 2371-2372, 2401- 2404, 2421-2427, 2441-2443, 2461-2463, 2481-2486, 2501-2504, 2531-2532, 2541-2545, 2561-2569, 2581-2583; 7 U.S.C. 4301-4319; Cotton Research and Promotion Act, 7 U.S.C. 2101-2118; Dairy and Tobacco Adjustment Act of 1983, 7 U.S.C. 5111; Agricultural Fair Practices Act, 7 U.S.C. 2301-2306; Capper Volstead Act, 7 U.S.C. 291-292; Potato Research and Promotion Act, 7 U.S.C. 2611-2627; Beef Promotion and Research Act of 1985, 7 U.S.C. 2901-2911; Pork Promotion, Research, and Consumer Information Act of 1985, 7 U.S.C. 1612-1631; Dairy Research and Promotion Act, 7 U.S.C. 4501-4513, 4532; Watermelon Research and Promotion Act, 7 U.S.C. 4901-4916; Honey Research Promotion and Consumer Information Act, 7 U.S.C. 4601-4612; Soybean Promotion, Research and

Consumer Information Act of 1990, 7 U.S.C. 6301-6311; Mushroom Promotion, Research and Consumer Information Act of 1990, 7 U.S.C. 6101- 6112; Fluid Milk Promotion Act of 1990, 7 U.S.C. 6401-6417; Organic Foods Production Act of 1990, 7 U.S.C. 6501-6522; Fresh Cut Flowers and Fresh Cut Greens Promotion and Information Act of 1993, 7 U.S.C. 6801- 6814; Popcorn Promotion Research, and Consumer Information Act, 7 U.S.C. 7481-7491; National Kiwifruit Research, Promotion, and Consumer Information Act, 7 U.S.C. 7461-7473.-- 10.163

Agricultural Marketing Act of 1946, 7 U.S.C. 1622a-J, 1-n; Cotton Statistics and Estimates Act, 7 U.S.C. 473d; Cotton Futures Act, 7 U.S.C. 15b; Cotton Standards Act, 7 U.S.C. 51-65; Naval Stores Act, 7 U.S.C. 91-99; Tobacco Inspection Act, 7 U.S.C. 511 i-q; Wool Standards Act, 7 U.S.C. 415b-d; Egg Products Inspection Act, Public Law 91-597, 21 U.S.C. 1031-1056; Dairy and Tobacco Adjustment Act of 1983, 7 U.S.C. 4501-4513; Tobacco Statistics Act, 7 U.S.C. 501-508; Food Security Act of 1985, as amended.-- 10.162

Agricultural Marketing Act of 1946, Section 204b, 7 U.S.C. 1623(b)-- 10.156

Agricultural Marketing Act of 1946.-- 10.164

Agricultural Marketing Agreement Act of 1937, as amended, 7 U.S.C. 601, 602, 608a-e, 612, 614, 624, 671-674; Omnibus Budget Reconciliation Act of 1987, Public Law 100-203, 7 U.S.C. 4501- 4513; Agriculture and Food Act of 1981, 7 U.S.C. 2242a.-- 10.155

Agricultural Risk Protection Act of 2000-- 10.917

Agricultural Trade Act of 1978-- 10.610

Agricultural Trade Act of 1978, Title VII, 7 U.S.C. 5721, et seq.-- 10.600

Agricultural Trade Act of 1978, as amended, Section 203.-- 10.601

Agricultural Trade and Assistance Act of 1954-- 10.167

Agriculture Marketing Act of 1946-- 10.475, 10.477

Agriculture Risk Protection Act of 2000 (Pub. L. 106-224) as amended by section 6202 of the Food, Conservation, and Energy Act of 2008 (Pub. L. 110-246) (see 7 U.S.C. 1632a note), Title VII-- 10.352

Agriculture and Consumer Protection Act of 1973, Sections 4(a) and 5, as amended, Public Law 93-86, 7 U.S.C. 612c (note); Food and Agriculture Act of 1977, as amended, Public Law 95-113, 91 Stat. 980 (7 U.S.C. 612c note); Public Law 97-98, 95 Stat. 1293 (7 U.S.C. 612c note); Public Law 98-8, 97 Stat. 35 (7 U.S.C. 612c note); Public Law 98-92, 97 Stat. 611 (7 U.S.C. 612c note); Public Law 99-198, 99 Stat. 1590 (7 U.S.C. 612c note); Public Law 100-202; Public Law 101-624, 101 Stat. 3806 (7 U.S.C. 612c note); Public Law 104-127, 110 Stat. 1028 (7 U.S.C. 612c note); Public Law 107-171, 116 Stat. 134 (7 U.S.C. 7901 note); Public Law 110-246, 122 Stat. 1886 (7 U.S.C. 612c note)-- 10.565

Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2006, Title III, Public Law 109-97, as amended, Department of Defense Appropriations Act, 2006, Division B, Title III, Chapter 8, Section 3801, Public Law 109-148.-- 10.778

Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2006; Title III, Rural Development Programs; Rural Utilities Service; Distance Learning, Telemedicine, and Broadband Program; Public Law 109-97.-- 10.861

Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2010, 2010, Public Law 110-80; Richard B. Russell National School Lunch Act, Section 6(a)(3), 42 U.S.C. 1755(a)(3); National Agriculture Research, Extension, and Teaching Policy Act of 1977, Section 1472(b), 7 U.S.C. 3318(b)-- 10.585

Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, Public Law 104-180, 7 U.S.C. 1989.-- 10.449

Airline Deregulation Act of 1978-- 20.901

Airport Improvement Act, Subtitle VII of Title 49 United States Code, PART B-Airport Development and Noise-- 20.106

Alaska National Interest Lands Conservation Act-- 15.656

Alaska National Interest Lands Conservation Act (ANILCA) of 1980, Title VIII, Public Law 96-487, 16 U.S.C. 3101-3233, Section 809. Fish and Wildlife

Appendix II: Authorization Appendix

Coordination Act (16 U.S.C. 661 to 666).-- 15.636
America Competes-- 11.611
America Creating Opportunities to Meaningfully Promote Excellence in Technology, Education, and Science Reauthorization Act of 2010 ("America COMPETES Reauthorization Act of 2010").-- 11.008
American Competitiveness and Workforce Improvement Act of 1998-- 17.268
American Homeownership and Economic Opportunity Act of 2000, Consolidated Appropriations Act of 2012-- 14.874
American Recovery and Reinvestment Act (ARRA)-- 10.101, 84.416, 93.726
American Recovery and Reinvestment Act (ARRA) of 2009, Division A-- 93.727
American Recovery and Reinvestment Act (ARRA) of 2009, Division A, Title XIII, HITECH Act, Section 3013-- 93.719
American Recovery and Reinvestment Act of 2009-- 10.904, 10.916, 10.923, 15.233, 15.656, 17.275, 57.001, 66.418, 66.454, 66.458, 66.468, 66.818, 81.041, 81.042, 81.086, 81.087, 84.412, 93.706, 93.708, 93.709, 93.710, 93.711, 93.713, 93.715
American Recovery and Reinvestment Act of 2009 (ARRA)-- 84.411
American Recovery and Reinvestment Act of 2009 (Public Law 111-5) (Recovery Act)-- 93.728
American Recovery and Reinvestment Act of 2009 (Public Law 111-5), Trade Act of 1974 (Public Law 93-618), as amended; Trade Adjustment Assistance (TAA) Reform Act of 2002 (Public Law 107-210), as reauthorized by the TAA Extension Act of 2011; Workforce Investment Act Section 173(g)(29 U.S.C. 2918)-- 17.276
American Recovery and Reinvestment Act of 2009 (Recovery Act) , Public Law 111-5.-- 93.401
American Recovery and Reinvestment Act of 2009 (Recovery Act) Public Law 111-5-- 93.404, 93.409
American Recovery and Reinvestment Act of 2009 (Recovery Act) Public Law 111-5.-- 93.402
American Recovery and Reinvestment Act of 2009 (Recovery Act)-- 93.415
American Recovery and Reinvestment Act of 2009 (Recovery Act), Section 1864 of the Social Security Act-- 93.720
American Recovery and Reinvestment Act of 2009 (Recovery Act), Title II, Public Law 111-5.-- 93.400
American Recovery and Reinvestment Act of 2009 (Recovery Act). Public Law 111-5.-- 93.414
American Recovery and Reinvestment Act of 2009 , Public Law 111-5; Older Americans Act of 1965, as amended Older Americans Act of 1965, as amended.-- 93.706
American Recovery and Reinvestment Act of 2009, Pub.L. 111-5 and Section 301 of the Public Health Service Act.-- 93.420
American Recovery and Reinvestment Act of 2009, Public Law 111-5 ("Recovery Act"): For partnership agreements: Cooperative Funds and Deposits Act of December 12, 1975, Public Law 94-148, 16 U.S.C. 565a1 a3, as further authorized by Division F, Title IV, Section 417 of the Consolidated Appropriations Act 2008 (Public Law 110-161). (Participating Agreements); Interior and Related Appropriations Act of 1992. (Public Law 102-154, (Challenge Cost-Share Agreements); National Agricultural Research, Extension, and Teaching Policy Act of 1977 (Public Law 95-113), as amended by the Food Security Act of 1985 (7 U.S.C. 3318, and 3319, Public Law 99-198) and further amended by Public Law 105-198(Research); Wyden Amendment (Public Law 105-277, Section 323 as amended by Public Law 109-54, Section 434) (Participating Agreements - Watershed restoration); Exempted Agreements: National Forest Roads and Trails Act of October 13, 1964 (16 U.S.C. 532-538, Public Law 88-657) and; Southern Nevada Public Land Management Act of 1998; and Lake Tahoe Restoration Act, Public Law 106-506 as amended; and White Pine County Conservation, Recreation and Development Act of 2006; and Secure Rural Schools and Community Self-Determination Act of 2000, as reauthorized and amended. For project grants/cooperative agreements: Cooperative Forestry Assistance Act of 1978, Public Law 95-313, 16 U.S.C. 2101 (S&PF); Farm Security and Rural Investment Act of 2002, Public Law 107-171.(S&PF); Public Law 105-277, Division A, Section 101(e), Title III, Section 343 (S&PF); Public Law 110-246, Food, Conservation and Energy Act of 2008, Title XI - ENERGY, Sections 9012 and 9013 (R&D); Public Law 105-277, Section 323, as amended by Public Law 109-54 and permanently authorized by Public Law 111-11, Section 3001, Watershed Restoration and Enhancement Act (Wyden Authority) (NFS & S&PF); Public Law 109-58, Energy Policy Act of 2005 42 U.S.C.15855 (S&PF & R&D);Public Law 109-432, Title III, Subtitle G, Eastern Nevada Landscape Restoration Project (S&PF); Secure Rural Schools and Community Self-Determination Act of 2000, as reauthorized and amended, Title II RACs.-- 10.688
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American Recovery and Reinvestment Act of 2009, Public Law 111-5; Railroad Retirement Act of 1974, as amended, Public Law 93-445, 45 U.S.C. 231 et seq.-- 57.005
American Taxpayer Relief Act of 2012-- 10.051, 10.080, 10.141, 57.001
Americans with Disabilities Act, Title I & V, as amended Public Law 101-336, Public Law 110-325 42, U.S.C. 12101-12117; 12201-12213; Section 501 of the Rehabilitation Act of 1973, as amended, 29 U.S.C. 791-794a-- 30.011
Anadromous Fish Conservation Act (16 U.S.C. 757a); Bald Eagle Protection Act (16 U.S.C. 668); Coastal Wetlands Planning, Protection and Restoration Act (16 U.S.C. 3951); Clean Water Act (33 U.S.C. 1254(b)(3)); Comprehensive Environmental Response, Compensation, and Liability Act (42 U.S.C. 103); Emergency Wetlands Resources Act (16 U.S.C. 3901); Endangered Species Act

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(16 U.S.C. 1561); Fish and Wildlife Act (16 U.S.C. 742a); Fish and Wildlife Conservation Act (16 U.S.C. 2901); Fish and Wildlife Coordination Act (16 U.S.C. 661) Great Lakes Fish and Wildlife Restoration Act (Public Law 109-326); Great Lakes Fishery Act (16 U.S.C. 931) ; Lacey Act (16 U.S.C. 3371-3378); Migratory Bird Treaty Act (16 U.S.C. 703); Non-indigenous Aquatic Nuisance Prevention and Control Act (16 U.S.C. 4701); North American Wetlands Conservation Act (16 U.S.C. 4401); Partners for Fish and Wildlife Act (16 U.S.C. 3771).-- 15.662
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Asian Elephant Conservation Act, Public Law 105- 96, 111 Stat. 2150, 16 U.S.C. 4261-4266.-- 15.621
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Atomic Energy Act of 1954, Section 31, as amended-- 81.104
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Atomic Energy Act of 1954, as amended, Section 31, Public Law 83-703, 68 Stat. 919, 42 U.S.C. 2051; Energy Reorganization Act of 1974, Title 1, Section 107, Public Law 93-438, 88 Stat. 1240, 42 U.S.C. 5817; Federal Nonnuclear Energy Research and Development Act of 1974, Public Law 93-577; Department of Energy Organization Act of 1977, as amended, Public Law 95-91, 42 U.S.C. 7101.-- 81.112, 81.124
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Authority for the Tribal Colleges Education Equity Grants (TCEG) program is contained in the Equity in Educational Land-Grant Status Act of 1994 (7 U.S.C. 301 note) as amended by the Agricultural Research, Extension, and Education Reform Act of 1998 (7 U.S.C. 7601 note). Appropriated funds are to be awarded to the 1994 Land-Grant Institutions (hereinafter referred to as 1994 Institutions) for Education capacity building and funds are to be distributed equally among institutions that meet eligibility requirements.-- 10.221
Authority for this program is contained in section 1417 (b)(4) of the National Agricultural

Research, Extension, and Teaching Policy Act of 1977, as amended (NARETPA) [7 U.S.C. 3152(b)(4)] (b)(4)-- 10.216

Authorization/amendment: P.L. 87-195, "Foreign Assistance Act" Memorandum of Agreement between the Department of State Office of the U.S. Global AIDS Coordinator (OGAC) and the U.S. Department of Defense (DOD) signed 17 June 2013 and Amendment #1 signed 5 September 2013-- 12.350
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Boston Harbor Islands National Recreational Area 16 U.S.C. 460kkk(d); Boston Harbor Islands National Recreation Area to be Administered in Partnership - Boston Harbor islands National Recreation Area was established as a unit of the national park system by the Omnibus Parks and Public Lands Management Act of 1996 (P.L. 104-333), as amended. 16 U.S.C. 460 kkk (e)(2): Federal Operating Committee Established at Boston Harbor Islands - The Omnibus Parks and Public Lands Management Act of 1996 (P.L. 104-333), as amended. 16 U.S.C. 460 kkk (d): Cooperative Management of Lands Within Boston Harbor Islands National Recreation Area - The omnibus Public land Management Act of 2009 (P.L. 111-11) amends 16 U.S.C. 460kkk(d) NPS Challenge Cost Share 16 U.S.C. If; NPS Cooperative Agreements, 16 U.S.C. 1g.-- 15.947
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- Child Abuse Prevention and Treatment Act (CAPTA), as amended by P.L.111-320, enacted on December 20, 2010.-- 93.590
- Child Abuse Prevention and Treatment Act of 1978, Title I, as amended, Public Law 111-320, The CAPTA Reauthorization Act of 2010.-- 93.670
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- Child Abuse Prevention and Treatment and Adoption Reform Act of 1978, as amended, Section II, Public Law 111-320, The CAPTA Reauthorization Act of 2010.-- 93.652
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- Child Nutrition Act of 1966, as amended, 42 U.S.C. 1773, 1779, 1793, Public Laws 104-193, 100-435, 99-661, 97-35. American Recovery and Reinvestment Act of 2009, Public Law 111-5. School Breakfast Expansion Grants are authorized by the Child Nutrition Act of 1966 as amended, 42 U.S.C. 1793.-- 10.553
- Child Nutrition Act of 1966, as amended, 42 U.S.C. 1776, 1779.-- 10.560
- Child Nutrition Act of 1966, as amended, Section 17, 42 U.S.C. 1786. Healthy, Hunger-Free Kids Act of 2010-- 10.557
- Child Nutrition Act, Section 19, as amended, 42 U.S.C. 1788-- 10.574
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- Civil Rights Act of 1964, Title VI, as amended; Rehabilitation Act of 1973, Sections 504 and 508, as amended; Education Amendments of 1972, Title IX, as amended; Age Discrimination Act of 1975, as amended; Nondiscrimination Provisions of the Public Health Service Act, Titles VI, VII, VIII, and XVI, as amended; Omnibus Budget Reconciliation Act of 1981, Public Law 97-35, as amended; Drug Abuse Offense and Treatment Act of 1972, Section 407; Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970, Section 321, as amended; Low-Income Home Energy Assistance Act of 1981, 42 U.S.C. 8625; Community Services Block Grant Act, 42 U.S.C. 398; Family Violence Prevention and Services Act, as amended, Section 307 (a)(1) and (2), Public Law 98-457; Americans with Disabilities Act of 1990, Title II, Subtitle A, Public Law 101-336; Small Business Job Protection Act of 1996, Section 1808, Public Law 104-188; Section 1557 of the Patient Protection and Affordable Care Act; Section 1553 of the Affordable Care Act; Federal Health Care Conscience Protection Statutes, which include the Church Amendments, (42 U.S.C. 300a-7); Health Insurance Portability and Accountability Act of 1996 (HIPAA), 42 U.S.C. 1320d-8, 45 CFR Part 160 and Subparts A, C and E of Part 164--Standards for Privacy of Individually Identifiable Health Information (the "Privacy Rule") and Standards for the Protection of Electronic Protected Health Information (the "Security Rule"); Public Health Service Act, Title IX, 42 U.S.C. 299b-22, as amended by the Patient Safety and Quality Improvement Act of 2005, Public Law 109-41; Part C of title XI of the Social Security Act, 42 U.S.C. 1320d-d-8, as amended by Section 105 of the Genetic Information Nondiscrimination Act of 2008 (GINA), Public Law 110233; Subtitle D of the Health Information Technology for Economic and Clinical Health (HITECH) Act, Public Law 111-5, 123 Stat. 115 (2009), and 45 CFR Subpart D of Part 164 - Breach Notification for Unsecured Protected Health Information (the "Breach Notification Rule").-- 93.001
- Clean Air Act-- 66.035, 66.037, 66.042, 66.110, 66.128, 66.203, 66.306, 66.309, 66.310, 66.313, 66.509, 66.510, 66.511, 66.513, 66.514, 66.516, 66.517, 66.604, 66.608, 66.609, 66.610, 66.611, 66.612, 66.717, 66.810, 66.931, 66.952
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- Coastal Zone Management Act of 1972, as amended-- 11.478
- Coastal Zone Management Act of Amendments 1976-- 11.419
- Coastal Zone Management Act of Amendments 1980-- 11.419
- Collaboration between Department of Energy (DOE) and Department of Agriculture (USDA) on a Biomass Research and Development Initiative is directed under various statutory authorities, primarily the Food, Conservation, and Energy Act of 2008 (FCEA) (Public Law 110-246) and the Energy Policy Act of 2005 (EPAct 2005)(Public Law 109-58; Section 941). USDA's authorization appears in Section 9008 of the Food, Conservation, and Energy Act of 2008 (FCEA) (Public Law 110-246) which replaced section 9008 of the Farm Security, and Rural Investment Act of 2002 (FSRIA) (Public Law 107-171) [7 U.S.C. 8108]; thereby amending section 307 of the Biomass Research and Development Act of 2000 (BRDA) (7 U.S.C. 7624 note, and 7 U.S.C. 8101 note; Public Law 106224). Pursuant to Executive Order 13134, BRDA can be found at (7 U.S.C. 8601 et seq). BRDA authorizes the Biomass Research and Development.-- 10.312
- Colorado River Basin Act of September 30, 1968 amended by the Colorado River Basin Project Act, December 20, 1982.-- 15.541
- Colorado River Basin Project Act, Public Law 90-537, 82 Stat.885; Colorado Ute Indian Water Rights Settlement Act of 1988, as amended, Public Law 100-585, 102 Stat. 1973; Colorado Ute Settlement Act Amendments of 2000, Public Law 106-554, 114 Stat. 2763A-266; and American Recovery and Reinvestment Act of 2009, Public Law 111-5.-- 15.510
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- Competitive, Special and Facilities Research Grant Act, Section 2(2)(b), [7 U.S.C. 450i] -- 10.206
- Competitive, Special and Facilities Research Grant Act, Section 2(2)(b), [7 U.S.C. 450i].-- 10.310
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Comprehensive Environmental Response, Compensation, and Liability Act (CERCLA) of 1980, as amended; Superfund Amendments and Reauthorization Act of 1986, Sections 104(i)(1)(E), (9), and (15), Public Law 99-499, 42 U.S.C. 9604.-- 93.204
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Federal Noxious Weed Act of 1974, 7 U.S.C. 2814; Watershed Restoration and Enhancement Agreements, 16 U.S.C. 1011, Public Law 104-208, Section 124, as amended, Public Law 105-277, Section 135; and Executive Order 13112, Invasive Species, February 3, 1999.-- 15.230
Federal Oil and Gas Royalty Act of 1982, Public Law 97-451, Title II, Section 202, 30 U.S.C 1732.-- 15.222
Federal Oil and Gas Royalty Management Act of 1982, Public Law 97-451, as amended.-- 15.427
Federal Power Act, 16 U.S.C. 797-828c; Wild and Scenic Rivers Act of 1968, 16 U.S.C. 1271-1287 as amended. Public Law 90-542; Outdoor Recreation Act of 1963, 16 U.S.C., 4601-3, Public Law 88-29.-- 15.927
Federal Property and Administration Services Act, Executive Order Executive Order 11593, Public Law 81-152, 471 U.S.C., Internal Revenue Code Sections 47 and 170-- 15.915
Federal Property and Administrative Services Act of 1949, Section 203(k), Public Law 81-152, 40 U.S.C. 484,-- 93.291
Federal Property and Administrative Services Act of 1949, Section 203(k), as amended,-- 84.145
Federal Regulations 42 CFR 52 and 45-- 93.262
Federal Supplemental Compensation Act of 1982 Amendments, Section 2, as amended, Public Law 98-92, 97 Stat. 608; Food Security Act of 1985, as amended, Section 1569, Public Law 99-198, 99 Stat. 1354; Public Law 100-77, 101 Stat. 536; Public Law 100-435, 102 Stat. 1647; Public Law 101-624, 104 Stat. 3359; Public Law 104-193, 110 Stat. 2105; Public Law 104-127, 110 Stat. 1029; Public Law 107-171, 116 Stat. 330; Public Law 110-246, 122 Stat. 1882-- 10.568
Federal Unemployment Tax Act-- 17.225
Federal Water Pollution Control Act-- 15.658, 66.467
Federal Water Projects Recreation Act of 1965, Pub. L. 89-72 as amended; Reclamation Management Act of 1992, Pub. L. 102-575, Title XXVIII.

FOR RECOVERY ACT:

American Recovery and Reinvestment Act of 2009 (ARRA) (P.L. 111-5)-- 15.524
Federal-State Cooperative Act (Talmadge-Aiken)-- 10.475
Federal-State Extended Unemployment Compensation Act-- 17.225
Fiscal Year 2000, Department of Defense Supplemental Appropriations Act, Division B, Chapter 3, Title IV, Lewis and Clark Rural Water System, Public Law 106-246-- 15.520
Fish and Wildlife Act-- 11.432
Fish and Wildlife Act of 1956-- 15.656, 15.658
Fish and Wildlife Act of 1956 and the Fish and Wildlife Coordination Act, for the development and implementation of programs for the benefit of fish and

wildlife species and their habitat (16 U.S.C. 661 and 742 et seq.), Fish and Wildlife Improvement Act of 1978, as amended (16 U.S.C. 753). Endangered Species Act of 1973 (16 U.S.C. 1531-1544, 87 Stat. 884)-- 15.649
Fish and Wildlife Act of 1956, as amended, 16 U.S.C. (742f (a)(4); Fish and Wildlife Coordination Act, as amended, 16 U.S.C. 661.; Fish and Wildlife Improvement Act of 1978, as amended (16 U.S.C. 753), Land and Water Conservation Fund Act of 1965, as amended (16 U.S.C. 4601-4 through 11), Endangered Species Act of 1973 (16 U.S.C. 1531-1543)-- 15.650
Fish and Wildlife Act of 1956, as amended, 16 U.S.C. 742a-742j; Fish and Wildlife Coordination Act of 1958, 16 U.S.C. 661-666; Sikes Act of 1974, as amended, 16 U.S.C. 670(a)-670(o); Alaska National Interest Lands Conservation Act, 16 U.S.C. 3101; Marine Mammal Protection Act of 1972, Public Law 92-522, as amended, 16 U.S.C. 1361-1421; Fish and Wildlife Conservation Act of 1980; Nonindigenous Aquatic Nuisance Prevention and Control Act of 1990, as amended, 16 U.S.C. 4701-4741; the Lacey Act (18 U.S.C. 42; 50 CFR 16)-- 15.608
Fish and Wildlife Act of 1956, as amended, 16 U.S.C. 742a-754; Fish and Wildlife Coordination Act of 1958, 16 U.S.C. 661-667(e); Fish and Wildlife Conservation Act, 16 U.S.C. 2901-2911; Migratory Bird Treaty Act, 16 U.S.C. 709a.-- 15.655
Fish and Wildlife Act of 1956, as amended, 16 U.S.C. 742a-754; Fish and Wildlife Coordination Act of 1958, 16 U.S.C. 661-667(e); and National Wildlife Refuge System Administration Act, 16 U.S.C. 668dd.-- 15.652
Fish and Wildlife Act of 1956, as amended, 16 U.S.C. 742a-754; Fish and Wildlife Coordination Act of 1958, 16 U.S.C. 661-667(e); Fish and Wildlife Conservation Act, 16 U.S.C. 2901-2911; and Migratory Bird Treaty Act, (16 U.S.C. 709a)-- 15.637
Fish and Wildlife Act of 1956, as amended, 16 U.S.C. 742a-754; Fish and Wildlife Coordination Act of 1958, 16 U.S.C. 661-667(e); Fish and Wildlife Conservation Act, 16 U.S.C. 2901-2911; and Migratory Bird Treaty Act, 16 U.S.C. 709a.-- 15.647
Fish and Wildlife Act of 1956, 16 U.S.C. 742a-c, 747e-742j; Fish and Wildlife Coordination Act of 1958, 16 U.S.C. 661 667(e)-- 15.630
Fish and Wildlife Conservation Act (16 U.S.C. 2901-2911; 94 Stat. 1322)-- 15.669, 15.670
Fish and Wildlife Conservation Act of 1934 (16 U.S.C. 2901-2911); Fish and Wildlife Act of 1956 (16 U.S.C. 742(a)-754); Refuge Recreation Act of 1962 (16 U.S.C. 460k-460k(4)); National Wildlife Refuge System Administration Act of 1966 (16 U.S.C. 668dd); Youth Conservation Corps Act of 1972 (16 U.S.C. 1701-1706); Archaeological Resources Protection Act of 1979 (16 U.S.C. 470aa-47011); The National Wildlife Refuge System Act of 1997 (Public Law 105-57); National Wildlife Refuge System Volunteer and Community Partnership Act of 2004 (Public Law 108-327)-- 15.654
Fish and Wildlife Coordination Act-- 15.661
Fish and Wildlife Coordination Act of 1934, Public Law 85-624, 16 U.S.C. 661 et seq., as amended, and Section 7(a) of the Fish and Wildlife Coordination Act (FWCA) (70 Stat 1122; 16 U.S.C. 742f(a)); as limited and delegated by the Secretary of the Interior delegation of authority to the Bureau of Reclamation at 255 DM 1.1B:
1.1 Delegation. Subject to the exceptions in Section 1.2, the Commissioner of Reclamation (Commissioner) is delegated the authority of the Assistant Secretary Water and Science to:
B. Take the following actions, either directly or by providing financial assistance to non-Federal parties, pursuant to the Conservation of Wild Life, Fish and Game Act of March 10, 1934 (Public Law 73-121; 48 Stat. 401) as amended by the Fish and Wildlife Coordination Act of August 14, 1946 (Public Law 85-624; 72 Stat. 563; 16 U.S.C. 661-666c); Section 5 of the Endangered Species Act of 1973, December 28, 1973 (Public Law 93-205; 87 Stat. 884; 16 U.S.C. 1534); and Section 7(a) of the Fish and Wildlife Coordination Act of 1956, August 8, 1956 (70 Stat. 1122; 16 U.S.C. 742f(a)), regarding the construction and/or continued operation and maintenance of any Federal reclamation project:
(1) plan, design, and construct, including acquiring lands or interest therein as needed for:

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- (a) fish passage and screening facilities at any non-Federal water diversion or storage project; or
- (b) projects to create or improve instream habitat.
- (2) acquire or lease water or water rights from willing sellers or lessors; or
- (3) monitor and evaluate the effect of Reclamation actions on Endangered Species Act- listed species.

FOR RECOVERY ACT:

American Recovery and Reinvestment Act of 2009 (ARRA) (Public Law 111-5)-- 15.517

Fish and Wildlife Coordination Act of 1934, U.S.C. 16 et seq.; Endangered Species Act of 1973, 16 U.S.C. 1531 et. seq., as amended; Public Law 111-11, 123 Stat. 1171.-- 15.666

Fish and Wildlife Coordination Act of 1956-- 11.432

Fish and Wildlife Coordination Act of 1958-- 15.656

Fish and Wildlife Coordination Act, as amended, 16 U.S.C. Section 661 et seq. of 1956-- 15.564

Flood Disaster Protection Act of 1973-- 97.045

Flood Insurance Act of 1968-- 97.045

Floyd D. Spence National Defense Authorization Act for Fiscal Year 2001,-- 12.558

Food Security Act of 1985-- 10.001, 10.072, 10.913, 10.914, 10.920, 10.921, 10.924

Food Security Act of 1985, as amended-- 10.069

Food and Agriculture Act of 1962-- 10.901

Food and Agriculture Act of 1977.-- 10.960

Food and Nutrition Act of 2008 (Public Law 110-246); Agriculture and Consumer Protection Act of 1973 (Public Law 93-86)-- 10.567

Food and Nutrition Act of 2008, as amended, Section 16, Public Law 95-113, 91 Stat. 958, 7 U.S.C. 2025; Public Law 99-198, Public Law 105-33, Public Law 105-185, Public Law 110-246, American Recovery and Reinvestment Act of 2009, Public Law 111-5, Healthy Hunger Free Kids Act, Public Law 111-296, American Taxpayer Relief Act, Public Law 112-240-- 10.561

Food for Progress Act of 1985.-- 10.606

Food, Agriculture, Conservation and Trade Act of 1990; the Uruguay Round Agreements Act of 1995; and the Federal Agriculture Improvement and Reform Act of 1996.-- 10.602

Food, Agriculture, Conservation, and Trade Act of 1990-- 10.443

Food, Agriculture, Conservation, and Trade Act of 1990, Section 1668-- 10.219

Food, Conservation and Energy Act of 2008-- 10.685

Food, Conservation and Energy Act of 2008; Food and Nutrition Act of 1977, as amended, Public Law 95- 113, 91 Stat. 958, 7 U.S.C. 2011 et seq.; Public Law 95-348, Public Law 96-58, Public Law 96-249, Public Law 97-35; Public Law 97-98; Public Law 97-253, Public Law 98-204, Public Law 98-369; Public Law 99-198, Public Law 99-425; Public Law 99-498, Public Law 99-603, Public Law 100-77, Public Law 100-175, Public Law 100-232, Public Law 100-387, Public Law 100-435, Public Law 100-503, Public Law 100-707, Public Law 101-202; Public Law 101-392; Public Law 101-508; Public Law 101-624; Public Law 102-237; Public Law 102-265; Public Law 102-325; Public Law 103-31; Public Law 103-66; Public Law 103-225; Public Law 103-286; Public Law 103-296; Public Law 104-66; Public Law 104-127; Public Law 104-193; Public Law 104-208; Public Law 105-18; Public Law 105-33; Public Law 105-185; Public Law 105-379; Public Law 106-171; Public Law 106-387; Public Law 107-171; Public Law 110-161; Public Law 110-246; American Recovery Act and Reinvestment Act of 2009, Public Law 111-5; Healthy Hunger Free Kids Act, Public Law 111-296; American Taxpayer Relief Act, Public Law 112-240-- 10.551

Food, Conservation, and Energy Act of 2008-- 10.051, 10.055, 10.056, 10.102, 10.106, 10.612, 10.866

Food, Conservation, and Energy Act of 2008 (2008 Farm Bill)-- 10.092

Food, Conservation, and Energy Act of 2008 of the Farm Bill-- 10.870

Food, Conservation, and Energy Act of 2008, Title IX, Section 9005-- 10.867

Food, Conservation, and Energy Act of 2008, Title IX, Section 9007 Public Law version 6124 of the Farm Bill.-- 10.868

Food, Conservation, and Energy Act of 2008, which amends the Cooperative Forestry Assistance Act of 1978 (16 USC 2103d), Title IX, Section 8003, Public Law 110-246, 122 Stat. 1651. The final rule for implementation of the Community Forest and Open Space Conservation Program (36 CFR Part 230) was published in the Federal Register in October 2011.-- 10.689

Food, Conservation and Energy Act of 2008-- 10.080

Foreign Assistance Act-- 19.121

Foreign Assistance Act (FAA) of 1961, Department of State, Foreign Operations, and Related Programs Appropriations Act, 2010 (Div. F. Public Law 111-117) , Public Law 87-195-- 19.800

Foreign Assistance Act of 1961-- 19.322

Foreign Assistance Act of 1961 as amended-- 19.032

Foreign Assistance Act of 1961 as amended.-- 19.700

Forest and Rangeland Renewable Resources Research Act of 1978, Public Law 95-307, 92 Stat. 353, 16 U.S.C. 1643-- 10.652

Fort Peck Reservation Rural Water System Act of 2000, Public Law 106-382; American Recovery and Reinvestment Act of 2009 (ARRA) (P.L. 111-5)-- 15.516

Freedom Support Act-- 19.123

Fulbright Hayes Act, the Mutual and Cultural Exchange Act of 1961-- 19.322

Fulbright Hayes Act, the Mutual and Cultural Exchange Act of 1961 and PL 256, Foreign Assistance Act of 1961 as amended. USC 22, Smith-Mundt Act - US Information and Education Exchange Act of 1948, PL 80-402-- 19.040

Fulbright-Hays Act, Public Law, as amended.-- 19.450

Full Year Continuing Appropriations Act, 2011-- 17.283

Funding is appropriated under Affordable Care Act (PL 111-148), Title IV, Section 4002 (Prevention and Public Health Fund) in Fiscal Year 2011 only. In addition, Under Section 5314, Fellowship Training in Public Health (Part E of title VII of the Public Health Service Act (42 U.S.C.294n et seq., as amended by as amended by section 5206, is further amended by Sec. 778), CDC is authorized to expand existing fellowship training programs in the critical areas of applied public health epidemiology, public health laboratory science and public health informatics.-- 93.065

Funds for the FY 2011 EOC Grant Program are appropriated under the Department of Defense and Full-Year Continuing Appropriations Act, 2011 (Public Law 112-10)-- 97.052

Further Continuing Appropriations Act, 2013-- 14.850

G

GPE: Title VII, section 755 (b)(1)(J) of the Public Health Service Act (42 USC 755 [294e(b)(1)(J)]) as amended by the Health Professions Education Partnership Act of 1998, PL 105-392 and the Patient Protection and Affordable Care Act, PL 111-148.

PN: Section 340A of the Public Health Service Act (42 U.S.C. 256a), as amended by the Patient Protection and Affordable Care Act, Pub. L. 111-148.-- 93.191

Garrison Diversion Unit, Missouri River Basin Project, Public Law 89-108, as amended; Energy and Water Development Appropriation Act of 1985, Public Law 98-360; Garrison Diversion Unit Reformulation Act of 1986, Public Law 99-294; Dakota Water Resources Act of 2000, Public Law 106-554, Indian Self-Determination and Education Act, Public Law 930638, as amended; American Recovery and Reinvestment Act of 2009-- 15.518

Great Ape Conservation Act of 2000, Public Law 106-411, 16 U.S.C. 6301. Tylersville Fish Hatchery Conveyance, Public Law 109-363.-- 15.629

Great Lakes Fish and Wildlife Restoration Act, as amended-- 15.656

H

H.R. 1964, 2004; 16 U.S.C. 3901-- 15.667

HPP: 319C-2 of the Public Health Service (PHS) Act, as amended by the Pandemic and All-Hazards Preparedness Act (PAHPA) (P.L. 109-417) (2006),

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PHEP: 319C-1 of the Public Health Service (PHS) Act, as amended by the Pandemic and All-Hazards Preparedness Act (PAHPA) (P.L. 109-417) (2006), and 317(a) and 317(d) of the PHS Act, subject to available funding and other requirements and limitations.-- 93.074

HUD Demonstration Act of 1993-- 14.252

HUD's Transformation Initiative contained in the Full-year Continuing Appropriations Act, 2013, Public Law 113-6, approved March 26, 2013-- 14.259

HUDs FY 2012 Transformation Initiative (Consolidated and Further Continuing Appropriations Act, 2012 (Public Law 112-55, November 18, 2011)) and HUDs FY 2013 Transformation Initiative (Consolidated and Further Continuing Appropriations Act, 2013 (Public Law 113-6, March 26, 2013))-- 14.705

Harmful Algal Bloom and Hypoxia Research and Control Act of 1998-- 11.478

Hatch Act of 1887, as amended; Public Law 84-352, 7 U.S.C. 361a-361i; Education Amendments of 1972, Section 506, Public Law 92-318; Public Law 93-471; Public Law 95-113; Education Amendments of 1980, Section 1361, Public Law 96-374, 7 U.S.C. 301; Public Law 97-98; Public Law 99-198; Public Law 101-624; Public Law 104-127; Public Law 105-185.-- 10.203

Hawaiian Homelands Homeownership Act of 2000; Omnibus Appropriations Act 2009-- 14.873

Hazardous Materials Transportation Uniform Safety Act of 1990-- 81.104

Hazardous Materials Transportation Uniform Safety Act of 1990; Revision of Title 49, U.S.C., Annotated, Transportation; Hazardous Materials Transportation Authorization Act of 1994-- 20.703

Head Start Act as Amended by Improving Head Start for School Readiness Act-- 93.508

Head Start Act, as amended by the Improving Head Start for School Readiness Act of 2007-- 93.600, 93.708, 93.709

Health Care and Education Reconciliation Act of 2010-- 17.282

Health and Human Services Act of 1986-- 10.767

Healthy Forest Restoration Act of 2003-- 10.922

Healthy Hunger-Free Kids Act of 2010, Public Law 111-296, 106 Stat. 281; Child Nutrition Act of 1966, as amended, Section 17(m), 42 U.S.C. 1786.-- 10.572

Healthy, Hunger Free Kids Act of 2010, Section 17(h)(10)(A) of the Child Nutrition Act of 1966, as amended. Section 17(h)(10)(B) of the Child Nutrition Act of 1966, as amended.-- 10.578

Healthy, Hunger-Free Kids Act of 2010-- 10.589

Helium Privatization Act of 1996, 50 U.S.C 167, Public Law 104-273.-- 15.240

Help America Vote Act-- 93.617, 93.618

Higher Education Act-- 84.417

Higher Education Act of 1965-- 84.408

Higher Education Act of 1965, Title IV, Part A, Subpart 1, as amended.-- 84.063

Higher Education Act of 1965, as amended-- 84.015, 84.016, 84.141, 84.149, 84.200, 84.220, 84.268, 84.336, 84.382

Higher Education Act of 1965, as amended (HEA)-- 84.017

Higher Education Act of 1965, as amended (HEA). Title III, Part A and Part F, HEA, Title V.-- 84.031

Higher Education Act of 1965, as amended, Subpart 2-- 84.047

Higher Education Act of 1965, as amended, Subpart 9-- 84.379

Higher Education Act of 1965, as amended, Title III, Part E, Subpart 1-- 84.120

Higher Education Act of 1965, as amended, Title IV, Part A, Subpart 2, Chapter 1 Section 402E-- 84.217

Higher Education Act of 1965, as amended, Title IV, Part A, Subpart 2, Chapter 1, Section 402B, 20 U.S.C. 1070a-12.-- 84.044

Higher Education Act of 1965, as amended, Title IV, Part A, Subpart 2, Chapter 1, Section 402D-- 84.042

Higher Education Act of 1965, as amended, Title IV, Part A, Subpart 2, Chapter 1, Section 402F-- 84.066

Higher Education Act of 1965, as amended, Title IV, Part A, Subpart 2, Chapter 1, Section 402G-- 84.103

Higher Education Act of 1965, as amended, Title IV, Part A, Subpart 2, Chapter 2-- 84.334

Higher Education Act of 1965, as amended, Title IV, Part A, Subpart 3, 20 U.S.C. 1070b-1070b-3.-- 84.007

Higher Education Act of 1965, as amended, Title IV, Part C; 42 U.S.C. 2751-2756a.-- 84.033

Higher Education Act of 1965, as amended, Title VII, Part B, 20 U.S.C. 1138.-- 84.116

Higher Education Act of 1965, as amended, Title VII, Part E, Section 781, 20 U.S.C. 1141.-- 84.378

Higher Education Act of 1965, as amended.-- 84.407

Higher Education Act, as amended-- 84.229, 84.274

Higher Education Act, as amended, Title IV, Part A, Subpart 7-- 84.335

Highway Safety Act of 1966, as amended, and Public law 109-59 the Safe, Accountable, Flexible, Efficient Transportation Equity Act: A Legacy for Users (SAFETEA-LU)-- 20.614

Highway Safety Act of 1998 as amended-- 20.608

Highway Safety Act of 1998, as amended-- 20.607

Historic Sites Act 16 U.S.C.462e & 464; Archeological and Historic Preservation Act 16 U.S.C.469b1; National Historic Preservation Act 16 U.S.C.470a(b)6, 470w-7(b)2Biv, 470w-5(a), & 470a(d)4; Cooperative Agreements, 16 U.S.C. 1g; Omnibus Parks and Public Lands Management Act of 1996, Public Law 104-333; Research and Training 16 U.S.C. 1a-2j; Cost Sharing, 16 U.S.C. 1f; Omnibus Public Land Management Act of 2009, Public Law 111-11-- 15.946

Historic Sites Act of 1935, Public Law 74-292, 16 U.S.C. 461 et seq.; National Historic Preservation Act of 1966, as amended, Public Law 89-665, 16 U.S.C. 470(t); National Historic Preservation Act Amendments of 1980, Public Law 96-515; 16 U.S.C. 470.-- 15.912

Homeland Security Act-- 97.025, 97.088, 97.109

Homeland Security Act of 2002-- 93.676, 97.018, 97.025, 97.043, 97.053, 97.061, 97.062, 97.065, 97.069, 97.077, 97.091, 97.101, 97.103, 97.104, 97.106, 97.108, 97.128

Homeland Security Act of 2002, Public Law 107-296; Department of Homeland Security Appropriations Act of 2007, Public Law 109-295; Homeland Security Presidential Directive HSPD-5.-- 97.107

Homeland Security Act of 2002, Public Law 107-296; as amended, the SAFE Port Act, Title V, Section 501 (a), Public Law 109-347.-- 97.121

Homeland Security Act of 2002, as amended, 6 U.S.C. 313(b)(2)(B); 314(a)(10); and 314 (a)(11)-- 97.131

Housing Act of 1937, as amended; Omnibus Appropriations Act 2009-- 14.850

Housing Act of 1937, as amended; Quality Housing and Work Responsibility Act of 1998, Omnibus Appropriations Act 2009-- 14.872

Housing Act of 1937; Omnibus Appropriations Act 2009-- 14.856

Housing Act of 1937; Omnibus Appropriations Act of 2009, Consolidated and Further Continuing Appropriations Act of 2012-- 14.871

Housing Act of 1949-- 10.410

Housing Act of 1949, Title V, Section 504, as amended, Public Laws 89-117, 89-754, and 92-310, 42 U.S.C. 1474.-- 10.417

Housing Act of 1949, as amended, Section 515 and 521, Public Law 102-550, 42 U.S.C. 1485, 1490a.-- 10.415

Housing Act of 1949, as amended, Section 521, Public Laws 90-448 and 93-128, 42 U.S.C. 1490a.-- 10.427

Housing Act of 1949, as amended, Section 523, Public Laws 89-117 and 89-754, 42 U.S.C. 1490c.-- 10.420

Housing Act of 1949, as amended, Section 533, Public Law 98-181, 42 U.S.C. 1480.-- 10.433

Housing Act of 1949, as amended, Section 538, Public Law 106-569, 42 U.S.C. 1490p-2.-- 10.438

Housing Act of 1949, as amended, Sections 514 and 516, Public Laws 89-117

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- and 89-754, 42 U.S.C 1484 and 1486.-- 10.405
- Housing Act of 1949, as amended, Sections 514/516 and 515, and The Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2008 (Public Law 110-161)-- 10.447
- Housing Notice 00-07, dated April 28, 2000 (and subsequent extensions)-- 14.313
- Housing Opportunity Extension Act of 1996, Section 11, as amended.-- 14.247
- Housing and Community Development Act of 1974-- 14.218
- Housing and Community Development Act of 1974-- 14.228, 14.862
- Housing and Community Development Act of 1974, as amended-- 14.225, 14.248
- Housing and Community Development Act of 1987, Section 561, Public Law 100-242, as amended; Housing and Community Act of 1992, Section 905, Public Law 102-550, 101 Stat. 1815-- 14.408
- Housing and Community Development Act of 1987, Section 561, Public Law 100-242, as amended; Housing and Community Development Act of 1992, Section 905, Public Law 102-550, 101 Stat. 1815.-- 14.416, 14.417, 14.418, 14.420
- Housing and Community Development Act of 1992-- 14.865
- Housing and Urban Development Act of 1968 as amended. 12 U.S.C. 1701u-Economic Opportunities for Low-and Very Low-Income Persons; 42 U.S.C. 3535(d). 24 CFR Part 135.-- 14.419
- Humane Slaughter Act-- 10.477
- Hunger Prevention Act of 1988, Public Law 100-435, 102 Stat. 1647; Public Law 101-624, 104 Stat. 3359; Public Law 104-193, 110 Stat. 2105; Public Law 104-127, 110 Stat. 1029; Public Law 107-171, 116 Stat. 330; Public Law 110-246, 122 Stat. 1882; American Recovery Act and Reinvestment Act of 2009, Public Law 111-5.-- 10.569
- I**
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- Immigration Act of 1990-- 17.273
- Immigration Reform and Control Act of 1986-- 17.273
- Immigration and Nationality Act of 1952, as amended, Sections 101 (a) (15) H (II), 214 (c) and 212 (a) (5) (A)-- 17.272
- Immigration and Nationality Act of 1952, as amended, Sections 101(a)(15)(H), 212(n), 214(c) and 214(i)-- 17.273
- Implementing Recommendation of the 9/11 Commission Act of 2007-- 97.108
- Implementing Recommendations of 9/11 Commission Act of 2007-- 97.067
- Implementing Recommendations of the 9/11 Commission Act of 2007-- 97.008
- Implementing Recommendations of the 9/11 Commission Act of 2007 (Public Law 110-53)-- 97.055
- Implementing Recommendations of the 9/11 Commission Act of 2007, Title III, Section 302 (Public Law 110-53), 6 U.S.C. 580-- 97.120
- Implementing Recommendations of the 9/11 Commission Act of 2007, sections 1406 and 1513 (P.L. 110-53; 6 USC 1135 and 1136); P.L. 111-5, American Recovery and Reinvestment Act of 2009-- 97.113
- Implementing Recommendations of the 9/11 Commission Act of 2007-- 97.075
- Indian Education Amendments of 1978, Public Law 95-561, 25 U.S.C. 2001 et seq.-- 15.043
- Indian Environmental General Assistance Program Act-- 66.110, 66.310
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- Indian Financing Amendments Act of 2002, Title IX Rocky Boys/North Central Montana Regional Water System Act of 2002, Pub. L. 107-331.
- FOR RECOVERY ACT:
- American Recovery and Reinvestment Act of 2009 (ARRA) (P.L. 111-5)-- 15.525
- Indian Health Care Amendments of 1988-- 93.164
- Indian Health Care Improvement Act-- 93.123, 93.193, 93.231, 93.971, 93.972
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- Indian Reorganization Act Amendments-- 93.612
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- Indian Self-Determination and Education Assistance Act, Public Law 93-638, Title I, 25 U.S.C. 450 et seq., as amended; and statutory authorities that authorize each specific project. Assistance Act; American Recovery and Reinvestment Act of 2009 (ARRA) (P.L. 111-5)-- 15.534
- Indian Self-Determination and Education Assistance Act, Public Law 93-638, as amended, 25 U.S.C. 450 et seq., Public Law 103-413, Title II Tribal Self-Governance Act, Indian Education Amendments of 1978, Public Law 95-561, 25 U.S.C. 2001; Tribally Controlled Schools Act, Public Law 100-297, and 25 U.S.C. 2501.-- 15.047
- Indian Self-Determination and Education Assistance Act, Public Law 93-638, as amended, 25 U.S.C. 450 et seq.; Indian Education Amendments of 1978, Public Law 95-561, 25 U.S.C. 2001 et seq.; Tribally Controlled Schools Act, 25 U.S.C. 2501 et seq.-- 15.042, 15.044, 15.046, 15.151
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- Indian Self-Determination and Education Assistant Act-- 15.241
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- Indian Tribal Justice Technical and Legal Assistance Act of 2000; Statue 2778; Public Law 106-559 Title II Indian Tribal Court, Section 201 (a) (25 USC 3681)-- 16.608
- Individuals with Disabilities Education Act-- 84.325
- Individuals with Disabilities Education Act (IDEA), as amended-- 84.323, 84.327, 84.329
- Individuals with Disabilities Education Act (IDEA), as amended, 20 U.S.C. 1431-1444-- 84.181
- Individuals with Disabilities Education Act (IDEA), as amended, Part B, Section 619; 20 U.S.C. 1419.-- 84.173
- Individuals with Disabilities Education Act (IDEA), as amended, Part B, Sections 611(c) and 616(i), 20 U.S.C 1411.-- 84.373
- Individuals with Disabilities Education Act (IDEA), as amended, Part B, Sections 611-618-- 84.027
- Individuals with Disabilities Education Act (IDEA), as amended, Part D, Subpart 2, Section 663-- 84.326
- Individuals with Disabilities Education Act (IDEA), as amended.-- 84.328
- Indoor Radon Abatement Act-- 66.032
- Integrated Coastal and Ocean Observation System Act of 2009-- 11.012
- Internal Revenue Code-- 21.004
- Internal Revenue Code of 1986, as amended, Section 51-- 17.271
- Internal Revenue Code, as amended-- 21.008
- International Carriage of Perishable Foodstuff Act of 1982-- 10.167
- International Forestry Cooperation Act of 1990, Act of November 4, 1990, Public Law 101-513, Title VI; 104 Stat. 2070; 16 U.S.C 4501 (note), 4501-4503,

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4503a-d, 4504-4505.-- 10.684

J

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Junior Duck Stamp Conservation and Design Program Act of 1994, Public Law 103-340, 108 Stat. 3119-3120, 16 U.S.C. 719; Reauthorization of the Junior Duck Stamp Conservation and Design Program Act of 1994, Public Law 106-316, 114 Stat. 1276, 16 U.S.C. 719, Fish and Wildlife Act of 1956.-- 15.644
Justice Assistance Act of 1984, Section 609M-- 16.824

Juvenile Justice and Delinquency Prevention Act of 1974, Section 288, as amended, Public Law 93-415, as amended; Public Law 94-503, 95-115, 96-509, 98-473, 100-690, and 102-586, and Public Law 110-161, 42 U.S.C. 5667c.-- 16.726

Juvenile Justice and Delinquency Prevention Act of 1974, Sections 281 and 282, Public Law 93-415, as amended.-- 16.544

Juvenile Justice and Delinquency Prevention Act of 2002, Sections 221-223, 42 U.S.C. Sections 5631-5633-- 16.540

Juvenile Justice and Delinquency Prevention Act of 2002, Sections 261, 262, Public Law 93-415, as amended; Public Laws 95-509, 98-473, 100-690, and 102-586, 42 U.S.C. 5601 et seq.-- 16.541

Juvenile Justice and Delinquency Prevention Act, Section 405, 42 U.S.C. Section 5775, The Missing Children's Assistance Act, and the Protect Our Children Act of 2008-- 16.543

K

Keweenaw NHPs Enabling Legislation

16 U.S.C. 410yy Specifically:

- 410yy-6 Cooperative Agreements: The Secretary, after consultation with the Commission, may enter into cooperative agreements with owners of property within the park of nationally significant historic or other cultural resources in order to provide for interpretive exhibits or programs.

- 410yy-7(a) Financial and Technical Assistance: The Secretary may provide to any owner of property within the park containing nationally significant historic or cultural resources, in accordance with cooperative agreements or grant agreements, as appropriate, such financial and technical assistance to mark, interpret, and restore non-Federal properties within the park as the Secretary determines

appropriate to carry out the purposes of this subchapter.

- 410yy-8(a)(7) Keweenaw NHP Advisory Commission Establishment and Duties: The Commission shall be authorized to carry out historical, educational, or cultural programs which encourage or enhance appreciation of the historic resources in the park, surrounding areas, and on the Keweenaw Peninsula.-- 15.407

L

Labor-Management Cooperation Act of 1978-- 34.002

Labor-Management Reporting and Disclosure Act of 1959-- 17.309

Lake Pontchartrain Basin Restoration Act of 2000-- 66.125

Lake Tahoe Restoration Act, Public Law 106-506, as amended by Department of the Interior and Related Agencies Appropriations Act for Fiscal Year 2004, Public Law 108-108.-- 10.690

Land and Water Conservation Fund Act of 1965-- 15.660

Legislative Authority: Section 1273 of the Public Health Service Act, (42 U.S.C. 300d 73),

as amended by P. L. 110-377, the Poison Center Support, Enhancement, and Awareness Act of 2008-- 93.253

Legislative authority based on U. S. Fish and Wildlife Coordination Act of 1958, 16 U.S.C. 661-666; Fish and Wildlife Act of 1956, as amended, 16 U.S.C. 742a; Fish and Wildlife Conservation Act, 16 U.S.C. 2901-2911; and/or specific Congressional action, generally through the annual Appropriations Act, i.e., The Department of the Interior, Environment, and Related Agencies Appropriations Act, that cites new or relevant environmental and/or conservation statutes and activities for a defined purpose consistent with the mission, expertise, and goals of the Agency.-- 15.664

Legislative authority for this program is contained in section 1417 of the

National Agricultural Research, Extension and Teaching Policy Act of 1977 (NARETPA) (99 Stat. 1548; 7 U.S.C. 3152). USDA/NIFA administers this federal assistance grant program to support food and agricultural sciences baccalaureate and Doctor of Veterinary Medicine (D.V.M.) degree training of the next generation of scientists, policy makers, and educators in the Food and Agricultural Sciences. USDA initiated the Higher Education Multicultural Scholars Program in FY 1994. This program, functioning collaboratively with eligible higher education institutions, is developing intellectual capital to secure the preeminence of U.S. food and agricultural systems.-- 10.220

Longshore and Harbor Workers' Compensation Act as extended-- 17.302

Low Income Home Energy Assistance Act of 1981, as amended.-- 93.568

Low-Level Radioactive Waste Policy Act-- 81.104

Lower Rio Grande Valley Water Resources Conservation and Improvement Act of 2000, Public Law 106-576, as amended; Lower Rio Grande Valley Water Resources Conservation and Improvement Act of 2002, Public Law 107-351.-- 15.521

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Maine Turtle Conservation Act of 2004-- 15.645

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Marine Mammal Protection Act of 1972, as amended-- 15.656

Marine Protection, Research and Sanctuaries Act-- 66.203, 66.310, 66.608

Marine Protection, Research and Sanctuaries Act of 1972-- 66.313, 66.931

Marine Protection, Research, & Sanctuaries Act-- 66.110

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Maritime Education and Training Act-- 20.806, 20.807

Maritime Transportation Security Act (46 U.S.C. Section 70107); Public Law 111-5, American Recovery and Reinvestment Act of 2009-- 97.116

Martin Luther King, Junior, National Historic Site and Preservation District, Public Law 96-428, Oct 10, 1980; 16 U.S.C. 1g Cooperative Agreements, Title 16 Conservation, Chapter 1 National Parks, Military Parks, Monuments, and Seashores, Subchapter 1 National Park Service, Section 1g Cooperative Agreements-- 15.955

Mc Kinney-Vento Homeless Assistance Act (42 U.S.C. 11381-11389, Title IV, Subtitle C, as amended-- 14.267

McKinney-Vento Act TA is authorized under the Full-Year Continuing Appropriations Act, 2013 (Public Law 113-6, approved March 26, 2013.-- 14.261

McKinney-Vento Homeless Assistance Act of 1987, Title IV, Subtitle C, as amended.-- 14.235

McKinney-Vento Homeless Assistance Act of 1987, Title IV, as amended, 42 U.S.C. 11371-78-- 14.231

McKinney-Vento Homeless Assistance Act, Title VII, Subtitle B-- 84.196

Medicaid and Medicare Patient and Program Act of 1987; Omnibus Budget Reconciliation Act of 1987-- 93.667

Medicare & Medicaid Extenders Act of 2010-- 93.237

Medicare Improvements for Patients and Providers Act of 2008-- 93.237, 93.442

Medicare Prescription Drug, Improvement, and Modernization Act of 2003-- 93.715

Medicare Prescription Drug, Improvement, and Modernization Act of 2003, Public Law 108-73, Section 1011; Medicare Modernization Act (MMA)-- 93.784

Medicare, Medicaid, and SCHIP Extension Act of 2007-- 93.442

Merchant Marine Act of 1936-- 20.803, 20.808, 20.812

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Middle Class Tax Relief and Job Creation Act of 2012-- 57.001

Migratory Bird Treaty Act of 1918, as amended (16 U.S.C 703-712)-- 15.670

Military Construction, Veterans Affairs and Related Agencies Appropriations Act-- 12.400

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Mineral Lands Leasing Act, 30 U.S.C. 191, 275, 286 and 355, Public Law 85-88, as amended; Taylor Grazing Act, 43 U.S.C. 315i and 315m, Section 15; as amended, 48 Stat. 1275; Executive Orders 10322, 10787, 10890, and 10046, Section 15; Taylor Grazing Act, 43 U.S.C. 315i, and 315m, Section 15, 43 U.S.C. 315b, Section 3; 48 Stat. 1270 and 1275; The Department of the Interior and Related Agencies Appropriations Act of 1981, 42 U.S.C. 6508, Public Law 96-514, 94 Stat. 2964; Outer Continental Shelf Lands Act, 43 U.S.C. 1337, 67 Stat. 462, as amended; Lake Tahoe Basin-U.S. Lands-Disposal and Acquisition, Sections 1 and 2, Public Law 96-586, 94 Stat. 3381-3382; Federal Land Policy and Management Act of 1976, Title I, Section 102, Public Law 94- 579, 90 Stat. 2744; Southern Nevada Public Land Management Act of 1998, 31 U.S.C. 6901, Public Law 105-263, 90 Stat. 2343; Lincoln County Land Act of 2000, Public Law 106-298; 30 U.S.C. 230, 62 Stat. 576; 65 Stat. 248; Public Buildings Omnibus Act, 30 U.S.C. 603; Money and Finance, 21 U.S.C. 711 and 717, Public Law 97-258, 96 Stat. 889 and 893; National Reclamation Law, 43 U.S.C. 391, 32 Stat. 388; Chapter 139, 18 Stat. 474; 58 Stat. 745; 65 Stat. 252; Department of the Interior and Related Agencies Appropriations Act of 1993, Title I, Public Law 102-381, 106 Stat. 1378; Federal Land Transaction Facilitation Act, Title II, Public Law 106-248, 106 Stat. 613; Public Law 85-569, 7 U.S.C. 1012, 72 Stat. 348; Federal Land Policy and Management Act of 1976, Title II, Section 213, Public Law 94-579, 90 Stat. 2760, Mineral Revenue Payments Clarification Act of 2000, Title V, Public Law 106-393.-- 15.227
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Mni Wiconi Rural Project Act of 1988, Public Law 100-516, as amended; Yavapai-Prescott Indian Tribe Water Rights Settlement Act of 1994, Title VIII, Mni Wiconi Act Amendments of 1994, Public Law 103-434; American Recovery and Reinvestment Act of 2009-- 15.522
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National Agricultural Research, Extension, and Teaching Act of 1977, as amended, and 7 U.S.C. 3291, and 7 U.S.C. 3319(a), Food Security Act of 1985, as amended, Public Law 99-198.-- 10.613
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National Agricultural Research, Extension, and Teaching Policy Act of 1977 enacted as Title XIV of the Food and Agriculture Act of 1977, 7 U.S.C. 3319(a), 7 U.S.C. 3318(b), and 3291. Title 7, Agriculture, Chapter 64, Agricultural Research, Extension, and Teaching, Subchapter VIII, International Research, Extension, and Teaching, as amended on February 1, 2010.-- 10.961
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National Flood Insurance Act of 1968-- 97.042

National Flood Insurance Act of 1968, as amended, and the Flood Disaster Protection Act of 1973, as amended-- 97.023

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National Forest Foundation Act, Public Law 101-593-Title IV-Nov. 16, 1990, as amended by Public Law 103-106 Oct. 12, 1993. Omnibus Consolidated and Emergency Supplemental Appropriations Act, Public Law 105-277, Title II, Oct. 21, 1998.-- 10.682

National Foundation on the Arts and the Humanities Act of 1965, as amended-- 45.024

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National Historic Preservation Act of 1966 (Public Law 89-665; 16 U.S.C. 470 et seq.). Subsequent amendments to the Act include Public Laws 91-243, 93-54, 94-422, 94-458, 96-199, 96-244, 96-515, 98-483, 99-514, 100-127, 102-575, 103-437, 104-333, 106-113, 106-176, 106-208, 106-355, 109-453.

Archaeological Resources Protection Act of 1979 (Public Law 96-95; 16 U.S.C. 470aa-mm)

Native American Graves Protection And Repatriation Act of 1990 (Public Law 101-601)

Comprehensive Environmental Response, Compensation, and Liability Act (Superfund) of 1980 42 U.S.C. 9601 et seq.

Solid Waste Disposal Act of 1965, (Public Law 102-386; 42 U.S.C 6961), Section 6001

Atomic Energy Act of 1954 as amended, Public law 83-703; Stat. 9191: 42 U.S.C. 2011 et seq; Department of Energy Organization Act, 42 U.S.C. 7101 Public Law 95-91

Emergency Planning and Community Right to Know Act (42 U.S.C. 11001-11050) of 1986 (Title III of Superfund Amendments and Reauthorization Act (PL 99-499))

Energy Policy Act of 1992

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National Historic Preservation Act of 1966, Public Law 89-665, as amended; Archaeological and Historic Preservation Act of 1974, Public Law 93-291 and 16 U.S.C. 469-469c.-- 15.511

National Historic Preservation Act of 1966, as amended, Public Law 89-665, 16 U.S.C. 470, 80 Stat. 915 et seq.; Executive Order 11593, May 13, 1971; Tax Reform Act of 1976, Public Law 94-455; Public Law 94-442; 90 Stat. 1519; Revenue Act of 1978; Public Law 95-600; National Historic Preservation Act Amendments of 1980; Public Law 96-515; Tax Treatment Extension Act of 1980; Public Law 96- 541; Economic Recovery Tax Act of 1981, Public Law 97-340; Tax Reform Act of 1984, Public Law 98-369; Tax Reform Act of 1986, Public Law 99- 514; National Historic Preservation Act Amendments of 1992, Public Law 102-575; Executive Order 13287, March 3, 2003.-- 15.914

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National Housing Act, Title I, Section 2, as amended, 12 U.S.C. 1703.-- 14.110, 14.142

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National Housing Act, as amended, Section 203(k)-- 14.108

National Housing Act, as amended, Section 232; Housing Act of 1959, as amended, Public Law 86-372; Housing and Urban Development Act of 1969, Public Law 91-152, 73 Stat. 654, 83 Stat. 379; Public Laws 95-128, 95-557, 96-153, and 98-181-- 14.129

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National Institute of Standards and Technology (NIST) Act-- 11.609

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National Ocean Pollution Planning Act of 1978-- 11.426

National Park Service Organic Act, 16 U.S.C. 1 to 16 Wild and Scenic Rivers Act of 1968, 16 U.S.C. 1271-1287 as amended, Public Law 90-542; National Trails System Act, 16 U.S.C. 1241 as amended, Public Law 90-543; Outdoor Recreation Act of 1963, 16 U.S.C. 4601-3, Public Law 88-29; Federal Power Act, 16 U.S.C. 797-828c.-- 15.921

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Older Americans Act of 1965, 40 U.S.C. 549; Section 213, as amended, Section 502, Public Law 100-77; E.O. 12999, April 17, 1996, Public Law 105-50.-- 39.003

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Older Americans Act of 1965, Title III, Parts A and B, Public Law 89-73, as amended; Public Law 90-42, 81 Stat. 106; Public Law 91-69, 83 Stat. 108; Public Law 93-29, 89 Stat. 301; Public Law 93-351, 88 Stat. 357; Public Law 94-135, 89 Stat. 713; Public Law 95- 65, 91 Stat. 269; Public Law 95-478, 92 Stat. 1513; Public Law 97-115, 95 Stat. 1595; Public Law 98-459, 98 Stat. 1767; Public Law 100-175; Section 705, Public Law 100-628; 42 U.S.C. 3022-3030d; Public Law 106-501.-- 93.044

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P.L. 110-161, Consolidated Appropriations Act of 2008: (c) In compliance with applicable Federal and State laws, the Secretary (acting through the Commissioner of Reclamation), in collaboration with the Executive Committee, may enter into any grants, contracts, cooperative agreements, interagency agreements, or other agreements that the Secretary determines to be necessary to comply with the 2003 Biological Opinion described in section 205(b) of the Energy and Water Development Appropriations Act, 2005 (Public Law 108-447; 118 Stat. 2949) as amended by section 121(b) of the Energy and Water Development Appropriations Act, 2006 (Public Law 109-103; 119 Stat. 2256) or in furtherance of the objectives set forth in the collaborative program long-term plan. P.L. 111-8, Omnibus Appropriations Act, 2009: (b) The Secretary of the Interior (referred to in this section as the "Secretary") shall establish and maintain an Executive Committee of the Middle Rio Grande Endangered Species Collaborative Program (referred to in this section as the "Executive Committee") consistent with the bylaws of the Middle Rio Grande Endangered Species Collaborative Program adopted on October 2, 2006. (c) Hereafter, in compliance with applicable Federal and State laws, the Secretary (acting through the Commissioner of Reclamation), in collaboration with the Executive Committee, may enter into any grants, contracts, cooperative agreements, interagency agreements, or other agreements that the Secretary determines to be necessary to comply with the 2003 Biological Opinion described in section 205(b) of the Energy and Water Development Appropriations Act, 2005 (Public Law 108-447; 118 Stat. 2949) as amended by section 121(b) of the Energy and Water Development Appropriations Act, 2006 (Public Law 109-103; 119 Stat. 2256) or any related subsequent biological opinion or in furtherance of the objectives set forth in the collaborative program long-term plan.-- 15.537 PDMP EHR Integration and Interoperability cooperative agreements are authorized under Section 509 of the Public Health Service Act, as amended, and are financed by 2012 Prevention and Public Health Funds (PPHF-2012). This announcement addresses Healthy People 2020 Substance Abuse Topic Area HP 2020-SA.-- 93.748

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- Public Law 101-218-- 81.087
- Public Law 101-610, Nov 16, 1990, Title 1, 98, as added and amended by Public Law 103 -82, Title 1, 104 (c), Title IV, 405 (p) (2), Sept. 21, 1993, 107 Stat. 840, 922; Public Law 103 304, 3 (a), (b) (6), Aug. 23, 1994, 108 Stat. 1566, 1568; Public Law 105 354, 2 (b), Nov. 3, 1998, 112 Stat. 3244; Public Law 111-13, Title I, 1802, Apr. 21, 2009, 123 Stat. 1552 (Serve America Act H.R. 1388)-- 94.007
- Public Law 105-277-- 16.730
- Public Law 105-277, Div. A, Section 101(e) Title III, Section 343, 112 Stat. 2681-231, 2681-297; Cooperative Forestry Assistance Act of 1978, as amended, 16 USC 2101 et seq.; Forest and Rangeland Renewable Resources Act of 1978, as amended, 6 USC 1600-1614-- 10.681
- Public Law 105-277, Section 323 as amended by Public Law 109-54 Section 434. This program has been permanently authorized, Public Law 111-11-- 10.693
- Public Law 106-181-- 20.930
- Public Law 106-246, as amended by Public Law 106-554, authorizes the reimbursement of county and municipal governments in the States of California, Texas, Arizona, and the New Mexico state government, for specific categories of expenses associated with the handling and processing of federally initiated controlled substances cases along the Southwest Border.-- 16.755
- Public Law 106-541, Water Resources Development Act of 2000, December 11, 2000; as amended, and Energy and Water Appropriations Acts.-- 15.540
- Public Law 107-171 (Section 2507) and 110-161, Sec. 204 , Consolidated Appropriations Act, 2008, (Continuing Resolution) Division C - Energy and Water Development and Related Agencies Appropriations Act, 2008.-- 15.562
- Public Law 107-273.-- 16.523
- Public Law 107-67-- 95.004
- Public Law 108-414, Mentally Ill Offender Treatment and Crime Reduction Act; Public Law 110-416, Mentally Ill Offender Treatment and Crime Reduction Reauthorization and Improvement Act of 2008.-- 16.745
- Public Law 108-7, Sec. 713, 117 Stat. 39-- 10.479
- Public Law 109-432, 120 STAT 3000.-- 15.435
- Public Law 110-161, Organic Act 1879, 43 U.S.C. 31-- 15.815
- Public Law 111-11, Omnibus Public Land Management Act of 2009, Subtitle F, Secure Water, Section 9504(b)(42 USC 10364) and Fish and Wildlife Coordination Act of 1934, Public Law 85-624, 16 U.S.C. 661 et seq., as amended, and Section 7(a) of the Fish and Wildlife Coordination Act (FWCA) (70 Stat 1122; 16 U.S.C. 742f(a)); as limited and delegated by the Secretary of the Interior delegation of authority to the Bureau of Reclamation at 255 DM 1.1B.-- 15.557
- Public Law 111-11, Omnibus Public Land Management Act of 2009, Title X, Subtitle A, Part I, San Joaquin River Restoration Settlement Act (123 Stat. 1349), and Part III, Friant Division Improvements-- 15.555
- Public Law 111-11, Omnibus Public Land Management Act of 2009, Title IX, Section 9103-- 15.553
- Public Law 111-11, Omnibus Public Land Management Act of 2009, Title VI, Subtitle A, Sec. 6001-6003 (16 USC 1015 et seq.)-- 15.554
- Public Law 111-11, Omnibus Public Land Management Act of 2009, Title X, Part II, Section 9102-- 15.551
- Public Law 111-11, Omnibus Public Land Management Act, Title X, Part III, Section 10602 (a)-- 15.552
- Public Law 111-11, Omnibus Public Lands Management Act of 2009, Section 9106. 123 Stat. 1304-- 15.559
- Public Law 111-11, Title X Part II, Section 10101, Omnibus Public Land Management Act, 2009; Public Law 111-85, Energy and Water Development Appropriations Act, 2010-- 15.549
- Public Law 111-291, Claims Resolution Act of 2010, Title IV, Crow Tribe Water Rights Settlement (31 USC 1101)-- 15.556
- Public Law 111-5 American Recovery and Reinvestment Act of 2009-- 97.115
- Public Law 111-83, Department of Homeland Security Appropriations Act, 2010 (Title III Protection, Preparedness, Response, and Recovery); Public Law 110-53, Implementing Recommendations of the 9/11 Commission Act of 2007; and Public Law 109-13, Real ID Act of 2005-- 97.089
- Public Law 75-210, 7 U.S.C. 1010-1012, Bankhead-Jones Farm Tenant Act-- 10.666
- Public Law 81-152, 63 Stat. 377, 40 U.S.C. 541 et. seq.-- 39.007
- Public Law 83-568, 42 U.S.C. 2001-2004a; Indian Self-Determination and Education Assistance Act, Section 104 (b), Public Law 93-638, 25 U.S.C. 450, Section 9, Public Law 98-250; Public Law 100 - 472; 25 U.S.C. 450h (b)(I) and 25 U.S.C. 450h of the Indian Self Determination and Education Assistance Act, Public Law 93-638, as amended-- 93.228
- Public Law 87-778 (76 Stat. 806, 16 U.S.C. 582a, et seq.) signed into law on October 10, 1962 is also known as the McIntire-Stennis Cooperative Forestry Research Act.-- 10.202
- Public Law 93-320, 59 Stat. 1219, 43 U.S.C. 1571-1573 and U.S.C. 1592(c), as amended; Sec. 2, Public Law 104-20, 43 U.S.C. 1592; Title III, Subtitle D, Chapter 4, Public Law 104-127, 110 Stat. 1006; Public Law 106-459, 114 Stat. 1987; and American Reinvestment Act of 2009, Public Law 111-5.-- 15.509
- Public Law 95-238, Section 207-- 81.087
- Public Law 95-600, 92 Stat. 2810-- 21.006
- Public Law 95-91 DOE Organization Act of 1977, as amended by Public Law 109-58 Energy Policy Act 2005. Federal Nonnuclear Energy Research and Development Act of 1974, Public Law 93-557; Energy Policy and Conservation Act, Public Law 94-163-- 81.089
- Public Law 95-911 and Public Law 97-377-- 81.086
- Public Law 96-517, 35 U.S.C. 207, 208, 209-- 81.003
- Public Law No. 111-8, 123 Stat. 524, 580-- 16.814
- Public Laws 79-588, 87-651, 97-86, 10 U.S.C. 5150-5153; 10 U.S.C. 2358, as amended; 10 U.S.C. 2361; 10 U.S.C. 6304; Includes American Recovery and Re-Investment Act (ARRA) funds.-- 12.431
- Public Safety Officers' Benefits Act of 1976-- 16.571
- Public Works and Economic Development Act of 1965, as amended (PWEDA) (42 U.S.C. 3121 et seq.)-- 11.302
- Public Works and Economic Development Act of 1965, as amended (PWEDA) (42 U.S.C. 3121 et seq.)-- 11.300, 11.303, 11.312
- Public Health Service Act-- 93.220, 93.936
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- Radiation Control for health Safety Act of 1968-- 93.103
- Rail Safety Improvement Act of 2008-- 20.313
- Railroad Retirement Act of 1974-- 57.001
- Railroad Revitalization and Regulatory Reform Act of 1976 (45 U.S.C. 821, et seq.)-- 20.316
- Railroad Unemployment Insurance Act-- 57.001
- Reauthorization of SDPI 2002-- 93.442
- Reauthorized by Public Law 107-82-- 93.276
- Reauthorized by Public Law 109 - 469-- 93.276
- Reclamation Projects Authorization and Adjustment Act of 1992, Title III-Fish, Wildlife, and Recreation Mitigation and Conservation, Sec. 314, Public Law 102-575, as amended.-- 15.535
- Reclamation Projects Authorization and Adjustment Act of 1992, Title XXXIV, Central Valley Project Improvement Act, Public Law 102-575; American Recovery and Reinvestment Act of 2009 (ARRA) (P.L. 111-5)-- 15.512
- Reclamation Rural Water Supply Act of December 22, 2006 (Pub. L. 109-451, Title I, 120 Stat. 3346, 43 U.S.C. 2401, et seq.)-- 15.548
- Reclamation Wastewater and Groundwater Study and Facilities Act, Title XVI, Public Law 102-575, as amended; Reclamation Recycling and Water Conservation Act of 1996, Public Law 104-266; Oregon Public Lands Transfer and Protection Act of 1998, Public Law 105-321; Consolidated Appropriation Act of 2000, Public Law 106-544; Hawaii Water Resources Act of 2000, Public Law 106-566; Lakehaven Utilities District, WA, Project, 2002, Public Law 107-344; Consolidated Appropriations Resolution, 2003, Public Law 108-7; San Diego Creek Watershed, CA, Project, 2004, Public Law 108-233; Williamson

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- County, TX, Project, Public Law 108-316; Hawaii Water Resources Act of 2005, Public Law 109-70. American Recovery and Reinvestment Act of 2009 (ARRA) (P.L. 111-5)-- 15.504
- Reconnecting Homeless Youth Act-- 93.623
- Reconnecting Homeless Youth Act of 2008-- 93.557
- Reconnecting Youth Act, Public Law 110-378 Part B-- 93.550
- Refuge Revenue Sharing Act-- 15.659
- Refuge Revenue Sharing Act of 1978-- 15.659
- Refugee Act of 1980-- 93.566, 93.567, 93.576, 93.583, 93.584
- Refugee Assistance Extension Act of 1986-- 93.567
- Refugee Education Assistance Act of 1980-- 93.566, 93.576, 93.584, 97.009
- Refugee Education Assistance Act of 1982-- 93.583
- Rehabilitation Act of 1973-- 84.126, 84.133, 84.250
- Rehabilitation Act of 1973, as amended-- 84.129, 84.160, 84.161, 84.187, 84.235, 84.240, 84.246, 84.264, 84.265, 84.275, 84.315
- Rehabilitation Act of 1973, as amended-- 84.128
- Rehabilitation Act of 1973, as amended, Title VII, Chapter 1, Part B-- 84.169
- Rehabilitation Act of 1973, as amended, Title VII, Chapter 1, Part C-- 84.132
- Rehabilitation Act of 1973, as amended, Title VII, Chapter 2-- 84.177
- Reimbursement of Travel and Subsistence Expenses toward Living Organ Donation: Section 377 of the Public Health Service (PHS) Act, as amended, 42 U.S.C. Section 274f. Clinical Interventions to Increase Organ Procurement (CIOP): Section 377A of the Public Health Service (PHS) Act, as amended, 42 U.S.C. Section 274f-1(b). Social and Behavioral Interventions to Increase Solid Organ Donation: Section 377A(b) of the Public Health Service (PHS) Act, as amended, 42 U.S.C. Section 274f-1(b). Public Education Efforts to Increase Solid Organ Donation Program: Section 377A(b) of the Public Health Service (PHS) Act, as amended, 42 U.S.C. Section 274f-1(b). Donation and Transplantation Community of Practice Initiatives to Increase Organ Donation (DTCPIOD): Public Health Service Act, Section 377A, as amended, 42 U.S.C. Section 274f-1(d)-- 93.134
- Relief Appropriations Act of 2013-- 93.095, 93.096
- Reorganization Plan No. 3 of 1978-- 97.042
- Requires Annual Department of the Interior, Environment, and Related Agencies Appropriations direction to implement-- 10.672
- Research and Development Projects under Section 2358 of Title 10 United States Code-- 12.225
- Resource Conservation and Recovery Act-- 66.128, 66.600
- Resource Conservation and Recovery Act of 1976-- 66.305, 66.510, 66.511, 66.517, 66.808, 66.816
- Resources and Ecosystems Sustainability, Tourist Opportunities, and Revived Economies (RESTORE) of the Gulf Coast States Act of 2012 RESTORE Act-- 11.478
- Resources and Ecosystems Sustainability, Tourist Opportunities, and Revived Economies of the Gulf Coast States Act of 2012-- 21.015
- Revenue Act of 1978-- 21.006
- Rhinoceros and Tiger Conservation Act of 1994, Public Law 103-391, 108 Stat. 4094 et seq., 16 U.S.C. 5301 et seq., as amended by the Rhino and Tiger Product Labeling Act of 1998, Public Law 105-312, 112 Stat. 2956 et seq., 16 U.S.C. 5301 et seq.-- 15.619
- Richard B. Russell National School Lunch Act-- 10.585, 10.589
- Richard B. Russell National School Lunch Act, 42 U.S.C. 1769-- 10.582
- Richard B. Russell National School Lunch Act, Section 141 of the Healthy, Hunger-Free Kids Act of 2010, Section 23. Childhood Hunger Research (a) Research on Causes and Consequences of Childhood Hunger; (b) Demonstration Projects to End Childhood Hunger-- 10.592
- Richard B. Russell National School Lunch Act, Sections 9, 11, 14, 16 and 17, as amended, 89 Stat. 522-525, 42 U.S.C. 1758, 1759a, 1762a, 1765 and 1766-- 10.558
- Richard B. Russell National School Lunch Act, Sections 9, 13 and 14, as amended, 42 U.S.C. 1758, 1761 and 1762a-- 10.559
- Richard B. Russell National School Lunch Act, as amended, 42 U.S.C. 1751 1760, 1779-- 10.555
- Richard B. Russell National School Lunch Act, as amended, 42 U.S.C. 1751, 1758, 1759a, 1761, 1765, 1766, 1769, 1772, 1773, 1779; School Breakfast Program (SBP); Child Nutrition Act of 1966, as amended, Public Laws 108-265, 104-193, 100-435, 99-661, 97-35; Special Milk Program (SMP); Child Nutrition Act of 1966, as amended; Child and Adult Care Food Program (CACFP); 89 Stat. 522-525, Summer Food Service Program (SFSP); American Recovery Act and Reinvestment Act of 2009, Public Law 111-5. The 2010 Agriculture Appropriations Act (Public Law 111-80)-- 10.587
- Richard B. Russell National School Lunch Act, as amended, 42 U.S.C. 1751, 1758, 1759a, 1761, 1765, 1766, 1769, 1772, 1773, 1779; School Breakfast Program (SBP); Child Nutrition Act of 1966, as amended, Public Laws 108-265, 104-193, 100-435, 99-661, 97-35; Special Milk Program (SMP); Child Nutrition Act of 1966, as amended; Child and Adult Care Food Program (CACFP); 89 Stat. 522-525, Summer Food Service Program (SFSP); Public Law 111-5. The 2010 Agriculture Appropriations Act (Public Law 111-80)-- 10.579
- Riegle Community Development and Regulatory Improvement Act of 1994-- 21.012, 21.020
- River and Harbor Act of 1962, Section 103, as amended, Public Law 87-874, 33 U.S.C. 426g-- 12.101
- Robert T. Stafford Disaster Relief and Emergency Act-- 97.046
- Robert T. Stafford Disaster Relief and Emergency Assistance Act-- 17.225, 97.025, 97.026, 97.027, 97.028, 97.030, 97.031, 97.032, 97.033, 97.034, 97.039, 97.042, 97.047, 97.049, 97.088
- Robert T. Stafford Disaster Relief and Emergency Assistance Act (The Stafford Act); Sections 408(b)(1); and 306(a)-- 97.109
- Robert T. Stafford Disaster Relief and Emergency Assistance Act of 1974-- 97.053
- Robert T. Stafford Disaster Relief and Emergency Assistance Act, Public Law 93-288, as amended, 42 U.S.C. 5121-5206-- 97.036
- Robert T. Stafford Disaster Relief and Emergency Assistance Act, as amended-- 97.048, 97.050
- Robert T. Stafford Disaster Relief and Emergency Assistance Act-- 93.982
- Rural Development Act of 1972-- 10.167
- Rural Electrification Act of 1936, as amended, 7 U.S.C. 901-950-- 10.850
- Rural Electrification Act of 1936, as amended, Title I, Section 19, Public Law 106-472, 114 Stat. 2058, 7 U.S.C. 918a-- 10.857, 10.858, 10.859
- Rural Electrification Act of 1936, as amended, Title III, 7 U.S.C. 930-940c-- 10.854
- Rural Electrification Act of 1936, as amended, Title VI; 7 U.S.C. 950bb-- 10.886
- Rural Electrification Act of 1936, as amended, Titles II and III, 7 U.S.C. 921, 922-924, and 930-940-- 10.851
- Rural Revitalization Through Forestry, Public Law 101624, Section (d) Rural Revitalization Technologies (1990); P.L. 108-148 title II, Section 202 (2003); P. L. 110 234, title VII (2008); and P.L. 110-246 title VII (2008) extending the program through 2012-- 10.674

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SMART Defense Education Program-- 12.631

SPECIAL NOTES:

- (1) Numerous Programs are funded under CFDA 10.500.
- (2) Listed below are several programs, which are indicative of the types of projects currently funded under CFDA 10.500. However, please be advised that the listing is NOT exhaustive.

1. SMITH-LEVER 3(b) & (c):

(a) Cooperative Extension Programs at 1862 Land-Grant Institutions (aka 3b & c Formula Funds) (FORMULA)
Sections 3(b) and 3(c) of the Smith-Lever Act provide funding for agricultural extension programs at 1862 Land-grant universities.

(b) Cooperative Extension Programs at 1862 Land-Grant Institutions (Special

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Needs) aka ESPN Special Needs (FORMULA)
Sections 3(b)(1) and 8 of the Smith-Lever Act provide the basis of funding special needs agricultural extension programs at 1862 Land-grant institutions.

(c) Smith-Lever Special Needs Program (aka ESPN Special Needs Projects) Section 7129 of the Food, Conservation, and Energy Act of 2008 (FCEA), re-authorizes Section 3(b) & (c) of the SmithLever Act, allowing the Secretary of Agriculture, acting through the Director of the National Institute of Food and Agriculture (NIFA), to conduct competitive grant programs to State Extension Services at 1862 Land-Grant Institutions to support innovative, education-based approaches to addressing emergency preparedness and specific responses related to natural and man-made disasters.

(d) CSRS Retirement Contributions Program (aka ERET CSRS Retirement) (FORMULA)
Funds available for distribution under the Smith-Lever Act, Section 3(b) and (c), statutory formula.

(e) FERS Retirement Contributions Program (aka ERET FERS Retirement) (FORMULA)
Federal funds are available for distribution under the Smith-Lever Act, Section 3(b) and (c), statutory formula.

(f) District of Columbia Public Postsecondary Education Reorganization Act Program (Cooperative Extension Programs) aka EUDC
Sections 208 of the District of Columbia Public Postsecondary Education Reorganization Act provides, in pertinent part, that In the administration of the Act of August 30,1890 (7 U.S.C. 321-326, 328) (known as the Second Morrill Act), the University [of the District of Columbia] shall be considered to be a university established for the benefit of agriculture and the mechanic arts in accordance with the provisions of the Act of July 2, 1862 (7 U.S.C. 301-305, 307, 308) (known as the First Morrill Act); and the term "State", is used in the laws and provisions of law listed in the preceding, paragraphs of this section shall include the District of Columbia. In the administration of the Act of May 8, 1914 (7 U.S.C. 341-346, 347a-349) (known as the Smith-Lever Act) the University [of the District of Columbia] shall be considered to be a university established for the benefit of agriculture and the mechanic arts in accordance with the provisions of the Act of July 2, 1862 (7 U.S.C. 301- 305, 307,308); and the term "State" as used in such Act of May 8, 1949, shall include the District of Columbia, except that the District of Columbia shall not be eligible to receive any sums appropriated under section 3 of such Act. In lieu of an authorization of appropriations for the District of Columbia under section 3 of such Act of May 8, 1914, there is authorized to be appropriated such sums as may be necessary to provide cooperative agricultural extension work in the District of Columbia under such Act. Any reference in such Act (other than section 3 thereof) to funds appropriated under such Act shall in the case of the District of Columbia be considered a reference to funds appropriated under this subsection.

Therefore, this grant funds the development of practical applications of research knowledge and giving of instruction and practical demonstrations of existing or improved practices or technologies in agriculture, uses of solar energy with respect to agriculture, home economics, and rural energy, and subjects relating thereto to persons not attending the University of the District of Columbia, and imparting information on those subjects through demonstrations, publications, and otherwise and for the necessary printing and distribution of information in connection with the subjects.

Section 7417 of the Food, Conservation, and Energy Act of 2008 (Public Law 110-234) amended section 208 of the District of Columbia Public Postsecondary Education Reorganization Act to eliminate any matching requirement for the extension formula funds provided to the University of the District of Columbia, effective October 1, 2008.

2. Agricultural Extension at 1890 Land-Grant Institutions, Including Tuskegee University and West Virginia State University (Section 1444) (FORMULA)

Section 1444 of the National Agricultural Research, Extension, and Teaching Policy Act Of 1977 (NARETPA), enacted as Title XIV of Public Law 95113 (The Food and Agriculture Act of 1977) on Sept. 29, 1977, is also known as the Section 1444 Program. This law provides the basis for Federal funding for agricultural extension activities at 1890 Land-Grant Institutions, including Tuskegee University and West Virginia State University.

3. SMITH-LEVER 3(d):
(a) Expanded Food and Nutrition (EFNEP) (ENUT Nutrition Education) (FORMULA)

Section 3(d) of the Smith-Lever Act provides that the Secretary of Agriculture may fund extension work in the several States, Territories, and possessions. Section 1425 of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 (as amended) (7 U.S.C. 3175) provides the statutory formula for the Expanded Food and Nutrition Education Program (EFNEP). This program supports Federal funding for extension activities associated with disseminating the results of food and nutrition research performed or funded by the U.S. Department of Agriculture to enable low-income individuals and families to engage in nutritionally sound food purchase and preparation practices. Funding extends to EFNEP at State land-grant colleges established under the Morrill Act of July 2, 1862, as amended, and the Morrill Act of August 30, 1890, as amended, including Tuskegee University and West Virginia State University. Section 7116 of the Food, Conservation, and Energy Act of 2008 (FCEA) amended Section 1425 of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 (7 U.S.C. 3175) to accomplish various goals as indicated in Part I.A. of the Formula Grant Opportunity (FGO).

(b) Youth at Risk
Pertinent details will be provided at a future date.

(c) Improve Rural Quality of Life Represents a new program:
Pertinent details will be provided at a future date.

(d) Farm Safety
Pertinent details will be provided at a future date.

(e) New Technologies at Ag Extension
Pertinent details will be provided at a future date.

(f) Pest Management
Pertinent details will be provided at a future date.

(g) Sustainable Agriculture (SARE-PD)
Pertinent details will be provided at a future date.

(h) Federally Recognized Tribes
Pertinent details will be provided at a future date.

(i) Youth Farm Safety Education & Certification
Pertinent details will be provided at a future date.

(j) EIPM Support
Pertinent details will be provided at a future date.

(k) EIPM Coordination
Pertinent details will be provided at a future date.

4. OTHER EXTENSION ACTIVITIES:

(a) Renewable Resources Extension Act (FORMULA)

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The Renewable Resources Extension Act of 1978 (P.L. 95-306, 92 Stat. 349, 16 U.S.C. 1671 et seq.) provides for an expanded and comprehensive extension program for forest and rangeland renewable resources. The majority of the appropriated funds are distributed to eligible institutions based on a formula that considers the geographic extent, ecosystem productivity, economic contribution, and population for each state.

Since FY 2002, a small amount of these funds have been used to fund National Focus Fund Projects which have served to expand comprehensive extension programs for forest and rangeland renewable resources on a national, regional, or multi-institutional scale through better program coordination, innovative technologies, and extension models that can be easily duplicated.

(b) Rural Health and Safety

The authority for this program is under Section 502 (i) of Title V of the Rural Development Act of 1972, as amended (7 U.S.C. 2662). The Omnibus Appropriations Act, 2009 (Pub. L. 111-8) appropriates funds under Division A, Title I. The Rural Health and Safety Education Competitive Program addresses the Individual and Family Health Education component of the authorization.

(c) Extension Services at the 1994 Institutions

This program is authorized under Section 534(b) of the Equity in Educational Land-Grant Status Act of 1994 (7 U.S.C. 201 note), as amended by the Agricultural Research, Extension, and Education Reform Act of 1998 (AREERA) (7 U.S.C. 7601). This section amends Section 3 of the Act of May 8, 1914 (Smith-Lever Act) (7 U.S.C. 341 et seq.), as amended. Under this authority, appropriated funds are to be awarded to the 1994 Land-Grant Institutions (hereinafter referred to as 1994 institutions) for Extension work and funds are to be distributed on the basis of a competitive application process.

(d) Grants to Youth Serving Institutions

Title IV of the Agricultural Research, Extension, and Education Reform Act of 1998 (7 U.S.C. 7630) authorized the Secretary of Agriculture to make grants to the Girl Scouts of the United States of America (GSUSA), the Boy Scouts of America (BSA), the National 4-H Council (4-H Council), and the National FFA Organization (FFA). Section 7309 of the Food, Conservation, and Energy Act (FCEA) of 2008 reauthorized 7 U.S.C. 7630, which was also amended to provide additional flexibility in content delivery to each organization receiving funds and to allow recipients to redistribute all or part of the funds to individual councils or local chapters without further need of approval from the Secretary. In FY 2009, Terms and Conditions of these awards should facilitate the redistribution without further need of approval. The Omnibus Appropriations Act, 2009 (Pub. L. 111-8) appropriates funds under Division A, Title I for grants to youth organizations pursuant to 7 U.S.C. 7630.

(e) Food Animal Residue Avoidance Database Program (FARAD)

Title VI Section 604 of the Agricultural Research, Extension, and Education Reform Act of 1998 (7 U.S.C. 7642) states that -The Secretary of Agriculture shall continue operation of the Food Animal Residue Avoidance Database program (referred to in this section as the FARAD program) through contracts, grants, or cooperative agreements with appropriate colleges or universities.

(f) Federal Administration

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Pertinent details will be provided at a future date.

(g) 1890 Facilities (Section 1447) (FORMULA)

Pursuant to the authority contained in Section 1447 of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 (NARETPA) [7 U.S.C. 3222b], and reauthorized by Section 7123 of the Food, Conservation, and Energy Act of 2008 (FCEA) (Pub. L. 110-246) grants will be awarded under the

Facilities Grants Program to the 1890 land-grant institutions, including Tuskegee University and West Virginia State University. The Omnibus Appropriations Act, 2009 appropriates funds under Division A, Title I.

(h) Agriculture Risk Management Education Partnership Grants Program (aka ARPA and RME):

Section 133 of the Agricultural Risk Protection Act of 2000 (ARPA), (Pub. L. 106-224), amended the Federal Crop Insurance Act to add section 524(a)(3); [7 U.S.C. Section 1501 as amended by section 132(a) and section 524]; which requires the Secretary, acting through the National Institute of Food and Agriculture (NIFA), to establish a competitive grants program for the purpose of educating agricultural producers about the full range of risk management activities.

Section 524(a) of the Federal Crop Insurance Act, 7 U.S.C. 1524(a) was further amended by Section 12026 of the Food, Conservation, and Energy Act of 2008, (FCEA) (Pub. L. 110-246), which requires that the Secretary place special emphasis on risk management strategies, education, and specifically targeted outreach.-- 10.500

Safe Drinking Water Act-- 66.035, 66.110, 66.128, 66.203, 66.306, 66.309, 66.310, 66.313, 66.424, 66.474, 66.478, 66.509, 66.510, 66.511, 66.513, 66.514, 66.516, 66.517, 66.600, 66.604, 66.608, 66.610, 66.611, 66.612, 66.717, 66.931, 66.952

Safe Drinking Water Act (SDWA) Amendments of 1996-- 66.468, 66.471

Safe Drinking Water Act Amendments of 1986-- 66.433

Safe Drinking Water Act Amendments of 1996-- 66.432, 66.433

Safe Drinking Water Act of 1974-- 66.432, 66.433

Safe, Accountable, Efficient, Flexible Transportation Equity Act: A Legacy for Users (SAFETEA-LU)-- 20.521

Safe, Accountable, Flexible, Efficient & Transportation Equity Act: A Legacy for users (SAFETEA-LU)-- 20.516

Safe, Accountable, Flexible, Efficient Transportation Equity Act: A Legacy for Users (SAFETEA-LU)-- 20.320, 20.514, 20.519, 20.522

Safe, Accountable, Flexible, Efficient, Transportation Equity Act: A Legacy for Users of 2005 (SAFTEA- LU)-- 20.761

Safe, Accountable, Flexible, Efficient, Transportation Equity Act: A Legacy for Users (SAFETEA-LU) of 2004, Section 2007-- 20.601

Safe, Accountable, Flexible, Efficient, Transportation Equity Act: A Legacy for Users (SAFETEA-LU) of 2005-- 20.602, 20.610, 20.931

Safe, Accountable, Flexible, Efficient, Transportation Equity Act: A Legacy for Users (SAFETEA-LU) of 2005, Section 1906-- 20.611

Safe, Accountable, Flexible, Efficient, Transportation Equity Act: A Legacy for Users (SAFETEA-LU) of 2005, Section 2010-- 20.612

Safe, Accountable, Flexible, Efficient, Transportation Equity Act: A Legacy for Users (SAFETEA-LU) of 2005, Section 2011-- 20.613

Safe, Accountable, Flexible, Efficient, Transportation Equity Act: A Legacy for Users (SAFETEA-LU) of 2005, Section 406-- 20.609

Safe, Accountable, Flexible, Efficient, Transportation Equity Act: A Legacy for Users (SAFETEA-LU), Public Law 109-059.-- 20.518

Safe, Accountable, Flexible, Efficient, Transportation Equity Act: A Legacy for Users of 2005 (SAFTEA-LU)-- 20.764

Safe, Accountable, Flexible, Efficient, Transportation Equity Act: A Legacy for Users of 2005 (SAFTEA_LU)-- 20.762

San Gabriel Basin Restoration Project, Appendix D, Division B, Title I, Section 110, Public Law 106-554, as amended; Energy and Water Development

Appropriations Act, 2002, Title II, Section 202, Public Law 107-66; and Section 2 of Reorganization Plan Number 3 of 1950, 64 Stat. 1262, as amended.-- 15.526

San Luis Unit, Central Valley Project Act of June 3, 1960, Public Law 86-488, 74 Stat. 156, Section 5.-- 15.527

Scholarships for Opportunity and Results (SOAR) Act, (Division C of Public Law 112-10, the Department of Defense and Full-Year Continuing

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- Appropriations Act, 2011)-- 84.370
Science, State, Justice, Commerce, and Related Agencies Appropriations Act-- 11.617
Sea Grant College Program Improvement Act of 1976-- 11.417
Sec 398 of the Public Health Service Act (P.L. 78-410; 42 U.S.C. 280c-3-- 93.051
Sec. 427(a) of the Federal Mine Safety and Health Act of 1977, as amended (30 U.S.C. 937)-- 93.965
Second Chance Act of 2007 (H.R. 1593/S. 1060)-- 16.812
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Section 1405 of the National Agricultural Research, Extension, and Teaching Policy Act (NARETPA) of 1977, as amended (7 U.S.C. 3121)-- 10.314
Section 1405 of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 (NARETPA), as amended (7 U.S.C. 3121), designates the U. S. Department of Agriculture (USDA) as the lead Federal Agency for agricultural research, extension, and teaching in the food and agricultural sciences. Authority for this program is contained in the Equity in Educational Land-Grant Status Act of 1994 (7 U.S.C. 301 note), as amended by the Agricultural Research, Extension, and Education Reform Act of 1998 (7 U.S.C. 7601 note). In accordance with the statutory authority, subject to the availability of funds, the Secretary of Agriculture may award competitive grants, as defined in section 536 of the Equity in Educational Land Grant Status Act of 1994 (7 U.S.C. 301 note), to assist the 1994 Land-Grant Institutions in conducting agricultural research that addresses high priority concerns of tribal, national or multi-state significance.-- 10.227
Section 1405 of the National Agricultural Research, Extension, and Teaching Policy Act of 1977, as amended, (7 U.S.C. 3121) designates the U.S. Department of Agriculture (USDA) as the lead Federal agency for agriculture research, extension and teaching in the food and agricultural sciences. Section 7109 of the Food, Conservation, and Energy Act of 2008 (P.L. 110-246) amends the authority for this program contained in section 1417(j) of the National Agricultural Research, Extension, and Teaching Policy Act of 1977, as amended (7 U.S.C. 3152(j)).-- 10.226
Section 1405 of the National Agricultural Research, Extension, and Teaching Policy Act of 1977, as amended, (7 U.S.C. 3121) designates the U.S. Department of Agriculture (USDA) as the lead Federal agency for agriculture research, extension and teaching in the food and agricultural sciences. Section 7109 of the Food, Conservation, and Energy Act of 2008 (P.L. 110-246) amends the authority for this program contained in section 1417(j) of the National Agricultural Research, Extension, and Teaching Policy Act of 1977, as amended (7 U.S.C. 3152(j)). In accordance with the statutory authority, subject to the availability of funds, the Secretary of Agriculture (USDA), who has delegated the authority to the Director of the National Institute of Food and Agriculture (NIFA), will award grants to: (a) promote and strengthen secondary and 2-year postsecondary agriscience and agribusiness education, and Agriculture in the K-12 Classroom, in order to help ensure the existence in the United States of a qualified workforce to serve the food and agricultural sciences system; and (b) promote complementary and synergistic linkages among secondary, 2-year postsecondary, and higher education programs in the food and agricultural sciences in order to attain excellence in education and to encourage more young Americans to pursue and complete a baccalaureate or higher degree in the food and agricultural sciences.-- 10.226
Section 1445 of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 (NARETPA), enacted as Title XIV of Public Law 95113 (The Food and Agriculture Act of 1977) on Sept. 29, 1977 is also known as the Evans-Allen Research Program. This law provides the basis for Federal funding for agricultural research activities at 1890 Land-Grant Institutions under the Act of August 30, 1890 (26 Stat. 417419, as amended; 7 U.S.C. 321326 and 328), including Tuskegee University and West Virginia State University. Amended by Public Law 95-547, Public Law 97-98, Public Law 99-198, Public Law 101-624, Public Law 104-127 and Public Law 105-185.-- 10.205
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Section 2(b)(2) of Public Law 98-244-- 10.683
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Section 204 of Public Law 100-526, as amended; 10 U.S.C. 2391; Section 2905 of Public Law 101-510, as amended-- 12.604
Section 2042 of the Patient Protection and Affordable Care Act, Section 411 of the Older Americans Act, and Section 4002 of the Affordable Care Act (PPHF)-- 93.747
Section 209 of the Public Works and Economic development Act of 1965, as amended (PWEDA), (42 U.S.C. 3149)-- 11.307
Section 216 of the Flood Control Act of 1950-- 10.923
Section 2212(b)(3)(C) of the Indian Land Consolidation Act and the Settlement Agreement in Cobell v. Salazar, as confirmed by the Claims Resolution Act of 2010, Public Law 111-291.-- 15.152
Section 229 of the Agricultural Research, Extension and Education Reform Act of 1998 (AREERA) amended Section 1459 of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 (NARETPA) (7 U.S.C. Section 3292b) to authorize the Secretary of Agriculture to make competitive grants to colleges and universities in order to strengthen United States economic competitiveness and to promote international market development.
Section 7141 of the Food, Conservation, and Energy Act of 2008 (7 U.S.C. 8701 note) authorized the International Science and Education Competitive Grants Program (ISE).-- 10.305
Section 25 of the Food Stamp Act of 1977 (7 U.S.C. 2034), as amended by the Food and Nutrition Act of 2008 and Section 4402 of the Food, Conservation, and Energy Act (FCEA) of 2008 (Public Law 110-246), Section (h) (9) (A).-- 10.316
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- Section 301 of the Public Health Service Act, as amended (42 U.S.C. 241(a)); Public Law 111-8-- 93.256
- Section 301 of the Public Health Service Act, as amended.-- 93.528
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- Section 301(a) of the Public Health Service Act [42 U.S.C. Sections 241], as amended and Section 4002 of the Patient Protection and Affordable Care Act (ACA)-- 93.055
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- Section 3012(c) of the Public Health Service Act (PHSA), as added by Section 13301 within Title XIII in Division A of the American Recovery and Reinvestment Act of 2009, Public Law 111-5 (ARRA)-- 93.718
- Section 3016 of the Public Health Service Act (PHSA), as added by Section 13301 within Title XIII in Division A of the American Recovery and Reinvestment Act (ARRA) of 2009, Public Law 111-5-- 93.721
- Section 3021 of the Safe, Accountable, Flexible, Efficient Transportation Equity Act A Legacy for Users (SAFETEA-LU) enacted August 10, 2005 [Public Law 109-59], established a new program called the Alternative Transportation in Parks and Public Lands program. The name of the program was changed to the Paul S. Sarbanes Transit in the Parks under the SAFETEA-LU Technical Corrections Act, 2008.-- 20.520
- Section 317 (k)(2) and 3171 of the Public Health Service Act, [42 U.S.C. Sections 247b and 247b-10], as amended.-- 93.197
- Section 317(j) of the Public Health Service Act (42 U.S.C. 247b(j)) reauthorized in Section 4204 of the Patient Protection and Affordable Care Act. Prevention and Public Health Fund (PPHF) funding is authorized under sections 301 and 317 of the Public Health Service Act (PHS Act), 42 USC, 241 and 247b as amended and the Patient Protection and Affordable Care Act (PL 111-148). The Vaccines for Children (VFC) program is authorized under Section 1902(a)(62) of the Social Security Act, 42 U.S.C. section 1396a(a)(62). The VFC Program was established under the authority of Section 1928(a) of the Social Security Act, 42 U.S.C. 1396s(a).-- 93.268
- Section 317(k)(2) of the Public Health Service Act (PHS Act), 42 U.S.C. 247b (k)(2); Section 301(a) of the PHS Act, 42 U.S.C. 241(a)-- 93.261
- Section 317(k)(3) of the Public Health Service Act, [42 U.S.C. 247b (k)(3), as amended.
- Sections 307 and 317(k)(2) of the Public Health Service Act, [42 U.S.C. 2421 and 247b (k)(2), as amended-- 93.065
- Section 319 of the PHS Act.-- 93.003
- Section 319C-2 of the Public Health Service (PHS) Act, as amended by the Pandemic and All-Hazards Preparedness Reauthorization Act (PAHPRA) of 2013, Public Law 113-5.-- 93.889
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- Section 330A (f) of the Public Health Service Act, 42 U.S.C. 254(c) (e) (f), as amended by section 201, P.L. 107-251 of the Health Care Safety Net Amendments of 2002.-- 93.912
- Section 330A-1 of the Public Health Service Act (42 U.S.C. 254b et seq.) as added by Section 5208 of the Patient Protection and Affordable Care Act, Public Law 111-148; and Section 4002 of the Patient Protection and Affordable Care Act, Public Law 111-148-- 93.515
- Section 338B of the Public Health Service (PHS) Act (42 United States Code Section 2541-1), as amended by P.L. 111-148, Affordable Care Act.-- 93.547
- Section 338B of the Public Health Service (PHS) Act (42 United States Code Section 2541-1), as amended; Section 331(i) of the PHS Act (42 USC 254d(i)), as amended by Section 10503 of the Affordable Care Act (P.L. 111-148).-- 93.162
- Section 340E of the Public Health Service Act (42 U.S.C. 256e), Public Law 109-307 as amended by the Childrens Hospital GME Support Reauthorization Act of 2006-- 93.255
- Section 340H of the Public Health Service Act, as added by Section 5508 of the Patient Protection and Affordable Care Act of 2010 (Pub. L. 111-148)-- 93.530
- Section 3508 National Defense Authorization Act-- 20.814
- Section 399M of the PHS Act, (42 U.S.C. 280g-1), as amended by the Early Hearing Detection and Intervention Act of 2010-- 93.251
- Section 406 of the Agricultural Research, Extension, and Education Reform Act of 1998 (AREERA) (7 U.S.C. 7626), as reauthorized by Section 7306 of the Food, Conservation, and Energy Act of 2008 (FCEA) (Public Law 110-246), authorized the Secretary of Agriculture to establish a competitive grants program that provides funding for integrated, multifunctional agricultural research, extension, and education activities. Subject to the availability of appropriations to carry out this program, the Secretary may award grants to colleges and universities [as defined by section 1404 of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 (NARETPA) (7 U.S.C. 3103)], as amended, on a competitive basis for projects that address priorities in United States agriculture and involve integrated research, education, and extension activities, as determined by the Secretary in consultation with the National Agricultural Research, Extension, Education, and Economics Advisory Board (NAREEEAB). Section 7129 of the FCEA amended section 406(b) of AREERA (7 U.S.C. 7626(b)), adding Hispanic-serving agricultural colleges and universities (HSACUs) as eligible entities for competitive funds awarded under this authority (see Part III.B. of RFA for more information).-- 10.303
- Section 417C of the Public Health Service Act, 42 U.S.C. 285a-9, as amended by the Radiation Exposure Compensation Act Amendments of 2000 (P. L. 106-245)-- 93.257
- Section 439 of the Social Security Act as amended by the Child and Family Services Improvement Act of 2006 (<http://www.acf.hhs.gov/programs/fysb/content/aboutfysb/documents>)-- 93.616
- Section 487 of the Public Health Service Act and Division D, Title II, Section 218 of the Consolidated Appropriations Act of 2010, Public Law 111-117-- 93.186
- Section 5 of the Assistive Technology Act of 1998, as amended.-- 84.343
- Section 5 of the Farmer-to-Consumer Direct Marketing Act of 1976, Public Law 94-463, as amended by Section 10605 of the Farm Security and Rural Investment Act of 2002, Public Law 107-171.-- 10.168
- Section 503-- 93.193
- Section 503 of the Federal Mine Safety and Health Amendments Act of 1977, 30 U.S.C. 953-- 17.600
- Section 504 of the FREEDOM Support Act (P.L. -102-511) and is funded each year by the "Nonproliferation, Anti-terrorism, Demining and Related Programs" (NADR) appropriation in the annual Foreign Operation, Export financing, and Related Programs appropriations Acts.-- 19.224
- Section 5102 of the Affordable Care Act; and section 4002 of the Patient Protection and Affordable Care Act, Public Law 111-148-- 93.509
- Section 515 of the Consolidated Natural Resources Act of 2008 (Public Law 110-229)-- 15.544
- Section 522(d) of the Agricultural Risk Protection Act of 2000; 7 U.S.C. 1501 section 522(d)-- 10.456
- Section 574 (d) of P.L. 109-364, as amended by Section 553 of P.L. 110-417; Title 10 U.S.C. Section 2192(b) and Title 10 U.S.C. Section 2193a-- 12.556
- Section 601 of the Passenger Rail Investment and Improvement Act of 2008-- 20.524
- Section 6071, Deficit Reduction Act of 2005, Public Law 109-171; Section 2403, Affordable Care Act, Public Law 111-148.-- 93.791
- Section 632(a) of the Foreign Assistance Act of 1961 (22 U.S.C. 2151)-- 10.324
- Section 701 of the American Taxpayer Relief Act of 2012 extends Section 10109 of the Food, Conservation, and Energy Act of 2008, Public Law 110-246 (The Farm Bill) for one year until September 30, 2013.-- 10.170
- Section 7106 of the Food, Conservation, and Energy Act (FCEA) of 2008 (Public Law 110-246) amends the legislative authority for this program

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contained in Section 1417 of the National Agricultural Research, Extension and Teaching Policy Act of 1977 (NARETPA) (99 Stat. 1548; 7 U.S.C. 3152) to include the University of the District of Columbia as an eligible applicant.-- 10.210

Section 712(c) of the American Jobs Creation Act of 2004, P.L. 108-357-- 93.365

Section 7204 of the Food Conservation, and Energy Act of 2008 (FCEA) (Public Law 110-246) amends section 1672 of the Food, Agriculture, Conservation and Trade Act of 1990 (FACT) (7 U.S.C. 5925) to authorize the Secretary of Agriculture to make competitive grants to support research and extension activities. The Secretary shall make these grants in consultation with the National Agricultural Research, Extension, and Education, and Economics Advisory Board.-- 10.318

Section 7206 of the Food, Conservation, and Energy Act of 2008 (FCEA) amended section 1672B of the Food, Agriculture, Conservation, and Trade (FACT) Act of 1990 (7 U.S.C. 5925b) amending and re-authorizing the Organic Agriculture Research and Extension Initiative (OREI). The FACT Act, as amended, authorizes the Secretary of Agriculture, in consultation with the National Agricultural Research, Extension, Education, and Economics Advisory Board (NAREEEAB), to make competitive grants to support research and extension activities regarding organically grown and processed agricultural commodities for eight legislatively-defined goals. See RFA Part I.B. for further general information and specific details.-- 10.307

Section 739 of the Public Health Service Act (42 USC 293c), (Educational Assistance in the Health Professions Regarding Individuals from Disadvantaged Backgrounds) as amended by Section 5402 of the Patient Protection and Affordable Care Act (P.L. 111-148)-- 93.822

Section 7405 of the Farm Security and Rural Investment Act of 2002, [7 U.S.C. 3319f]-- 10.311

Section 8 (x) of the United States Housing Act of 1937; Omnibus Appropriations Act 2009-- 14.880

Section 811 of the Public Health Service Act (42 U.S.C. 296j), as amended by the Affordable Care Act, Public Law 111-148.-- 93.513

Section 9006 of the Food, Conservation, and Energy Act of 2008 (Public Law 110-246), amended the Farm Security and Rural Investment Act of 2002 (7 U.S.C. 8101 et seq.) to re-establish the Biodiesel Fuel Education Program which requires that the Secretary make competitive grants to eligible entities to educate governmental and private entities that operate vehicle fleets, other interested entities (as determined by the Secretary), and the public about the benefits of biodiesel fuel use. The Secretary delegated this authority to the Chief Economist, who in turn delegated this authority to the Director of the Office of Energy Policy and New Uses (OEPNU). OEPNU has joined with NIFA for assistance in administering Biodiesel Education under the authorities found in the Economy Act (31 U.S.C. 1535) and Section 1472 of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 (7 U.S.C. 3318). Specific Biodiesel Education administrative provisions are found in 7 CFR 2903.-- 10.306

Section 9112, P.L. 111-11-- 15.545

Section 9504(a) of the Omnibus Lands Management Act of 2009, P.L. 111-11 and the Reclamation Projects Authorization and Adjustment Act of 1992, Title XXXIV-Central Valley Project Improvement Act, Pub. L. 102-575-- 15.530

Section 9504(b), Omnibus Public Lands Management Act of 2009, (Public Law 111-11)-- 15.560

Section, 17a(1), Food and Nutrition Act of 2008, 7 U.S.C. 2026(a)(a); Section 17(b)(1), 7 U.S.C. 2026(b)(1); Farm Security and Rural Investment Act of 2002, Public Law 107-171; Public Law 110-246, American Taxpayer Relief Act, Public Law 112-240-- 10.580

Sections 1311 and 1323 of Patient Protection and Affordable Care Act, Public Law 111-148.-- 93.525

Sections 301 and 317 of the Public Health Service Act (PHS Act), 42 USC, 241 and 247b as amended; and Funding is appropriated under Affordable Care Act (PL 111-148), Title IV, Section 4002 (Prevention and Public Health Fund)-- 93.521

Sections 301 and 317K(k)(2) of the Public Health Service Act (42 U.S.C. section

241 and 274b-15), as amended-- 93.270

Sections 301 and Title III Sections 330A and 330(l) of the Public Health Service (PHS) Act, as amended; and Section 711(b) of the Social Security Act as amended (42 U.S.C.912(b))-- 93.011

Sections 301(a) and 307 of the Public Health Service Act (42 U.S.C. 241(a), 242l), and the Tom Lantos and Henry J. Hyde United States Global Leadership Against HIV/AIDS, Tuberculosis, and Malaria Reauthorization Act of 2008 (Public Law 110-293)-- 93.266

Sections 301(a) and 317(k)(2) of the Public Health Service Act (as amended) [42 U.S.C. Sections 241(a) and 247b(k)(2)]-- 93.304

Sections 301(a) and 317N of the Public Health Service Act (42 U.S.C. Section 241(a) and 247b-15) as amended.-- 93.740

Sections 301(a) and 317N of the Public Health Service Act (42 U.S.C. Section 241(a) and 247b-15) as amended; and Prevention and Public Health Fund (PPHF)-- 93.736

Sections 307 and 317(k)(2) of the Public Health Service Act, [42 U.S.C. Section 242l and 247b(k)(2)], as amended.-- 93.269

Sections 307 and 317(k)(2), Public Health Service Act, 42 U.S.C. 242l and 247b(k)(2) as amended; United States Leadership Against HIV/AIDS, Tuberculosis and Malaria Act of 2003, Public Law 110-293, the Tom Lantos and Henry J. Hyde United States Global Leadership Against HIV/AIDS, Tuberculosis, and Malaria Reauthorization Act of 2008, 22 U.S.C 7601-- 93.067
Sections 330(l), 340B, and 377 of the Public Health Service Act (42U.S.C. 254b)-- 93.440

Secure Rural Schools and Community Self Determination Act of 2000, Division C, Section 601(a), 16. U.S.C. 7101-7153; 16 U.S.C. 500.-- 10.665

Secure Rural Schools and Community Self-Determination Act of 2000, Public Law 106-393, Title II, as reauthorized by the Emergency Economic Stabilization Act of 2008, Public Law 110-343.-- 15.234

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Social Security Act, Title V, Section 501(c)(1)(A)(iii), codified at 42 U.S.C. 701(c), as amended by the American Taxpayer Relief Act of 2012, Section 624 (P.L.112-240)-- 93.504
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Social Security Act, Title V, Section 511 (42 U.S.C. 711), as added by Section 2951 of the Patient Protection and Affordable Care Act of 2010 (P.L. 111-148)-- 93.505
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Stewart B. McKinney Homeless Assistance Act of 1987, as amended-- 97.024
Stewart B. McKinney Homeless Assistance Act of 1987, as amended, Title III, Sections 301-316, Public Law 100-77, as amended, 42 U.S.C. 11331-11346; Public Law 111-5 American Recovery and Reinvestment Act of 2009.-- 97.114
Stewart B. McKinney Homeless Assistance Amendments Act of 1990-- 93.150
Subpart 10-- 84.408
Subtitle C of Title 1 of the Trade Adjustment Assistance Reform Act of 2002 (Public Law 107-210), amended the Trade Act of 1974 (Public Law 93-618) establishing the Trade Adjustment Assistance for Farmers (TAAF) program. The American Recovery and Reinvestment Act of 2009 (ARRA) (Public Law 111-5) reauthorizes and amends the TAAF Program to provide both technical assistance and cash benefits to eligible producers of raw agricultural commodities and fishermen impacted by increased imports contributing to a specific decrease in the national average price, or quantity of production, or value of production of, or cash receipts for the agricultural commodity or fishery products compared to the average of the three (3) preceding marketing years. ARRA amendments to the TAAF program add certain classes of fisherman, increase the reporting requirements and overall transparency of the program, clarify eligibility determinations and associated processes, and clarify the roles and responsibilities of the applicants and the Federal partners.-- 10.315
Subtitle F of Title IV of the McKinney-Vento Homeless Assistance Act of 1987 as amended, 42 U.S.C.11403-- 14.238
Subtitle K of the National Agricultural Research, Extension, and Teaching Policy Act of 1977-- 10.314
Subtitle K of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 (7 U.S.C. 3319e)-- 10.317
Superconductivity and Competitiveness Act of 1988, Public Law 100-697-- 81.122
Superfund Amendments and Reauthorization Act of 1986-- 66.806, 66.816
Superfund Amendments and Reauthorization Act of 1986, Title I, Section III, and Title II, Section 209, Public Law 99- 499, as amended; Comprehensive Environmental Response, Compensation, and Liability Act (CERCLA) of 1980, as amended, Section 311(a), Public Law 96-510; Public Health Service Act, Section 301, Public Law 78-410, as amended; Public Law 99-500.-- 93.143
Superfund Amendments and Reauthorization Act of 1986, as amended, Title I, Section 126, Public Law 99-499; Public Health Service Act, Section 405(b)(1)(C), as amended, Public Law 99-158.-- 93.142

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Superfund Reauthorization Amendments of 1986-- 66.804
Supplemental Appropriations Act of 2008, P.L. 110-252 as amended P.L. 110-449, 111-5, 111-92, 111-118, 111-144, 111-157, 111-205, 111-312, 112-78; 112-96, 112-240.-- 17.225
Supplemental Appropriations Act, 2010-- 10.087
Supplemental Grants for Population Increases in Certain States, Recovery Act-- 93.716
Surface Mining Control and Reclamation Act of 1977 (SMCRA), Public Law 95-87; Federal Grant and Cooperative Agreement Act of 1977, Public Law 95-224.-- 15.255
Surface Mining Control and Reclamation Act of 1977, Public Law 95-87, 91 Stat. 445-532.-- 15.250, 15.252, 15.253
Surface Mining Control and Reclamation Act of 1977; Public Law 95-87; 91 Stat. 445-532.-- 15.254
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TANF Emergency Fund, Recovery Act-- 93.714
TITLE 16 CONSERVATION; CHAPTER 79 - NATIONAL PARK SERVICE MANAGEMENT; SUBCHAPTER II National Park System Resource Inventory and Management; Sections 5932, Research Mandate; Sec. 5933, Cooperative Agreements, (a) Cooperative Study Units; and Sec. 5935, Availability for Scientific Study; NPS Challenge Cost Share 16 U.S.C. 1f; Research and Training 16 U.S.C. 1a-2j; Funding of Research Agreements (43 U.S.C. 1471f); Cooperative Agreements, 16 U.S.C. 1g, Title II of the 1998 NPS Omnibus Act (P.L. 105-391).-- 15.945
Take Pride in America (TPIA) Public Law 101-628 (16 U.S.C. 4601 et seq.) and the TPIA Delegation Agreement between the Department of the Interior and the Bureau of Reclamation dated September 22, 2008-- 15.550
Tax Relief, Unemployment Insurance Reauthorization, and Job Creation Act of 2010-- 57.001
Temporary Payroll Tax Cut Continuation Act of 2011-- 57.001
The 2008 Farm Bill-- 90.601
The Affordable Care Act-- 93.506
The Agricultural Credit Act of 1978-- 10.923
The Agricultural Management Assistance Cost Share Program is authorized under Section 1524 of the Federal Crop Insurance Act (FCIA), as amended.-- 10.171
The America Creating Opportunities to Meaningfully Promote Excellence in Technology, Education, and Science (COMPETES) Act-- 11.616
The American Recovery and Reinvestment Act of 2009-- 11.618
The American Recovery and Reinvestment Act of 2009 (ARRA)-- 10.090, 10.092
The American Recovery and Reinvestment Act of 2009 (Recovery Act), Title XII Transportation and Housing and Urban Development, and Related Agencies, Department of Transportation, Office of the Secretary, Supplemental Discretionary Grants for a National Surface Transportation System.-- 20.932
The American Taxpayer Relief Act of 2012-- 10.055, 10.091, 17.271
The Broadband Data Services Improvement Act, Public Law No. 110-385, 122 Stat. 4100 (October 10, 2008) and The American Recovery and Reinvestment Act of 2009, Public Law No. 111-5.-- 11.558
The Caregivers and Veterans Omnibus Health Services Act of 2010-- 64.038
The Claims Resolution Act of 2010 (CRA)-- 93.086
The Community Food Projects Competitive Grants Program (CFPCGP) legislative authority is located in Section 25 of the Food Stamp Act of 1977 (7 U.S.C. 2034), as amended by the Food and Nutrition Act of 2008 and Section 4402 of the Food, Conservation, and Energy Act (FCEA) of 2008 (Pub. L. 110-246), which authorizes a program of federal grants to establish and carry out

Community Food Projects.-- 10.225
The Comprehensive Environmental Response, Compensation, and Liability Act-- 66.815
The Consolidated Appropriations Act 2008-- 14.877
The Consolidated Appropriations Act 2010-- 14.324
The Consolidated Appropriations Act of 2010 (Public Law 111-117)-- 93.888
The Consolidated Appropriations Act of 2010, Division A, Department of the Interior, Environment and Related Agencies Appropriations Act of 2010, Department-Wide Programs, Wildland Fire Management, Public Law 111-88. This program was first implemented in the Department of the Interior and Related Agencies Appropriations Act of 2001, Title IV, Public Law 106-291.-- 15.242
The Consolidated Appropriations Act, 2001-- 17.720
The Consolidated Farm and Rural Development Act-- 10.099
The Consolidated and Further Continuing Appropriations Act, 2013-- 16.822
The Controlled Substances Act-- 16.922
The Disaster Relief Appropriations Act, 2013 (Public Law No. 113-2)-- 20.323
The Drug Court Program is authorized under Title I, Part EE, of the Omnibus Crime Control and Safe Streets Act of 1968, Public Law 90-351d, Title I, 82 Stat. 197, as amended by the 21st Century Department of Justice Appropriations Authorization Act, Public Law 107-273, Division B, Title II, Subtitle C, 116 Stat. 1758 (2002).-- 16.585
The EOC Grant Program is authorized by section 614 of the Robert T. Stafford Disaster Relief and Emergency Assistance Act (42 U.S.C. 5196c) as amended by section 202 of the Implementing Recommendations of the 9/11 Commission Act of 2007 (Public Law 110-53)-- 97.052
The Emerging Markets Program is authorized by the Food, Agriculture, Conservation, and Trade Act of 1990 (FACT Act), as amended by the Federal Agriculture Improvement and Reform Act of 1996 (FAIR Act) Section 1542 (d).-- 10.603
The Energy Efficiency and Conservation Block Grant Program (EECBG) was authorized in Title V, Subtitle E of the Energy Independence and Security Act (EISA), signed into Public Law 110-140 on December 19, 2007.-- 81.128
The Energy Policy Act of 2005-- 77.006
The Energy Policy Act of 2005 as amended (The Diesel Emissions Reduction Act)-- 66.040
The FMA program is authorized by Section 1366 of the National Flood Insurance Act of 1968, as amended (NFIA)-- 97.029
The Fair Housing Act 42 U.S.C. 3600 et seq.-- 14.401
The Fair Housing Act, 42 U.S.C. 3600 et seq.-- 14.400
The Farm Security and Rural Investment Act of 2002-- 10.087
The Federal Energy Policy Act of 2005, Section 351, Public Law 109-58.-- 15.814
The Federal Fire Prevention and Control Act of 1974-- 97.044, 97.103
The Fish and Wildlife Act of 1956 (16 U.S.C. 742a-742j, not including 742 d-1; Stat. 1119), as amended-- 15.669, 15.670
The Food Conservation and Energy Act of 2008; American Taxpayer Relief Act of 2012 (ATRA)-- 10.089
The Food Conservation, and Energy Act of 2008 (2008 Farm Bill, Public Law 110-234), Sec. 4401 and 4404; 2 U.S.C. 1161; Bill Emerson National Hunger Fellows and Mickey Leland International Hunger Fellows Program Act of 2008.-- 10.593
The Food Security Act of 1985-- 10.093, 10.912, 10.925, 10.926
The Food Stamp Act of 2008-- 10.588
The Food, Conservation and Energy Act, Public Law 110-234 (Farm Bill) amended Section 306(a)(2) of the Consolidated Farm and Rural Development Act (CONACT) (7 U.S.C. 1926 (a)(2)).-- 10.759
The Food, Conservation, and Energy Act of 2008-- 10.087, 10.090, 10.098, 10.141
The Food, Conservation, and Energy Act of 2008 (2008 Farm Bill)-- 10.095
The Food, Conservation, and Energy Act of 2008 (The Farm Bill)-- 10.090

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- The Food, Conservation, and Energy Act of 2008; Public Law 110-246; American Taxpayer Relief Act of 2012 (ATRA)-- 10.088
- The Fulbright-Hays Act -1961-- 19.700
- The Fulbright-Hays Act of 1961-- 19.012, 19.014, 19.400
- The Fulbright-Hays Act of 1961 (Public Law 87-256), as amended.-- 19.015
- The Fulbright-Hays Act of 1961, as amended-- 19.009, 19.010, 19.011
- The Fulbright-Hays Act of 1961, as amended.-- 19.401, 19.402, 19.408, 19.415, 19.421, 19.432
- The Genetic Information Nondiscrimination Act of 2008-- 30.013
- The Guam Organic Act, 64 Stat. 384, 48 U.S.C. 1421 et. seq., Act of February 20, 1929, 48 U.S.C. 1661; Executive Order 10264; Public Law 83-517, 48 U.S.C. et. seq.; Public Law 94-241, Public Law 104-134, Public Law 108-188, and Public Law 99-658.-- 15.875
- The Healthy, Hunger-Free Kids Act of 2010 (HHFKA) amended Section 18 of the Richard B. Russell National School Lunch Act (NSLA) to establish a Farm to School program in order to assist eligible entities, through grants and technical assistance, in implementing farm to school programs that improve access to local foods in eligible schools. , Section 243.-- 10.575
- The Homeland Security Act of 2002-- 97.067, 97.082
- The Homeland Security Act of 2002, as amended.-- 97.005
- The Housing and Economic Recovery Act of 2008-- 21.011
- The Immigration and Nationality Act, as amended by the Refugee Act of 1980-- 19.700
- The Immigration and Nationality Act, as amended by the Refugee Act of 1980.-- 19.018
- The Incentive Grants for Local Delinquency Prevention Programs Act of 2002.-- 16.548
- The Indian Alcohol and Substance Abuse Demonstration Program was created by the FY 2001 Consolidated Appropriations Act (Public Law 106-553)-- 16.616
- The Licensure Portability Grant Program (LPGP) is authorized by Section 330L of Public Health Service Act as amended, (42 USC 254c-18)-- 93.211
- The Lifespan Respite Care Act of 2006-- 93.072
- The Maritime Security Act of 2003-- 20.813
- The Medicare Improvements for Patients and Providers Act of 2008 Section 119, Public Law (PL) 110-275 as amended by section 3306 of the Patient Protection and Affordable Care Act of 2010 (Affordable Care Act)-- 93.518
- The Middle Class Tax Relief and Job Creation Act of 2012-- 11.549
- The Moving Ahead for Progress in the 21st Century Act (MAP21)-- 20.701
- The Mutual Educational and Cultural Exchange Act of 1961 (Fulbright-Hays Act), as amended-- 19.022
- The NICS Improvement Amendments Act of 2007-- 16.813
- The National Cost Share Program is authorized under 7 U.S.C. 6523, as amended by section 10301 of the Food, Conservation and Energy Act of 2008.-- 10.171
- The National Earthquake Hazards Reduction Act of 2004-- 97.082
- The National Flood Insurance Act of 1968, 42 U.S.C. 4001 et seq. authorized the National Flood Insurance Program (NFIP)-- 97.022
- The National Trails System Act, 16 U.S.C 1241-51-- 15.935
- The National Wildlife Refuge System Improvement Act of 1997 (P.L. 105-57)-- 15.670
- The National Wildlife Refuge System Improvement Act of 1997 (P.L. 105-57)-- 15.669
- The National and Community Service Act of 1990-- 94.007
- The Native American Programs Act of 1974 (NAPA)-- 93.581
- The Native American Programs Act of 1974, as amended by the Esther Martinez Native American Languages Preservation Act of 2006.-- 93.587
- The Omnibus Appropriations Act, 2009-- 11.618
- The Omnibus Appropriations Act, 2009, appropriated funds to HHS for carrying out Title III of the Public Health Service Act (PHS Act). Public Law 111-8, 123 Stat. 524, 777 (March 11, 2009).
- b. Pursuant to Section 307(a)(2) of the PHS Act, 42 U.S.C. Section 242i(a)(2), HHS is authorized to participate with other countries in cooperative endeavors in biomedical research, health care services, health care research, or other related activities in furtherance of the activities, objectives or goals authorized under the Tom Lantos and Henry J. Hyde United States Global Leadership Against HIV/AIDS, Tuberculosis, and Malaria Reauthorization Act of 2008 (PEPFAR Reauthorization Act). The purpose of the PEPFAR Reauthorization Act, 22 U.S.C. Section 7603, is to strengthen and enhance United States leadership and the effectiveness of the United States response to the HIV/AIDS, tuberculosis, and malaria pandemics and other related and preventable infectious diseases as part of the overall United States health and development agenda.-- 93.019
- The Omnibus Budget Reconciliation Act of 1981-- 93.568
- The Passenger Rail Investment and Improvement Act of 2008 (PRIIA)-- 20.317, 20.319
- The Patient Protection and Affordable Care Act (Pub. L. 111-148) Section 2701 Adult Health Quality Measures-- 93.609
- The Patient Protection and Affordable Care Act of 2010, Section 10503, P.L. 111-148-- 93.526
- The Patient Protection and Affordable Care Act, Title II Role Of Public Programs, Subtitle L, Maternal and Child Health Services-- 93.092
- The Patient Protection and Affordable Care Act, Public Law 111-148, Section 3021, Innovation Center Authority-- 93.611
- The Public Health Act-- 93.275
- The Public Health Security and Bioterrorism Preparedness and Response Act of 2002-- 66.478
- The Public Health Service Act Section 2793, as amended by Section 1002 of the ACA-- 93.519
- The Public Health Service Act and the Family Smoking Prevention and Tobacco Control Act-- 93.077
- The Public Health and Welfare Act, 42 CFR 93-- 93.085
- The Quality Samples Program is authorized by Section 5(f) of the Commodity Credit Corporation (CCC) Charter Act, 15 U.S.C. 714c(f)-- 10.605
- The RCDG program is authorized under section 310B (e) of the Consolidated Farm and Rural Development Act (7 U.S.C. 1932 (e)).-- 10.771
- The RFC program is authorized by Section 1323 of the NFIA-- 97.092
- The Runaway and Homeless Youth Act-- 93.550
- The SRL program is authorized by Section 1361A of the NFIA-- 97.110
- The SSDPG program is authorized under section 310B (e) of the Consolidated Farm and Rural Development Act (7 U.S.C. 1932 (e)).-- 10.871
- The Shenandoah Valley Battlefields Foundation's land and easement acquisition efforts concentrate on the battlefields named by Congress in the Shenandoah Valley Battlefields National Historic District and Commission Act of 1996. These battlefields, listed in the table below, include more than 21,000 acres of core battlefield that retain historic integrity, largely because they are still in active farm and forest uses. Less than ten percent of this essential resource is protected from development that would destroy its historic value., Section 606, Public Law 104-333.-- 15.936
- The Small Business Act and the Small Business Investment Act of 1958-- 20.910
- The Small Business Jobs Act of 2010-- 21.014
- The Small Business Liability Relief and Brownfields Revitalization Act of 2002-- 66.818
- The Soil Information Assistance for Community Planning and Resource Development Act of 1966-- 10.903
- The Specialty Crop Research Initiative (SCRI) is authorized by Section 7311 of the Food, Conservation, and Energy Act of 2008, which added section 412 to the Agricultural Research, Extension, and Education Reform Act of 1998 (AREERA). Section 412 of AREERA establishes a specialty crop research and extension initiative to address the critical needs of the specialty crop industry by developing and disseminating science-based tools to address needs of specific crops and their regions.-- 10.309
- The Sun Grant Program, was originally established in section 778 of the

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Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act of 2004 (Public Law 108-199), which amended the Farm Security and Rural Investment Act of 2002 (7 U.S.C. 8101 et seq.) adding section 9011, entitled the Sun Grant Research Initiative Act of 2003. Section 7526 of the Food, Conservation, and Energy Act of 2008 (7 U.S.C. 8114) establishes the Sun Grant Program, replacing the Sun Grant Research Initiative Act of 2003. Authority for the Sun Grant Program is delegated to the National Institute of Food and Agriculture in title 7 Part 2.66 subpart (a) section (153) of the Code of Federal Regulations.-- 10.320

The Technical Assistance for Specialty Crops Program is reauthorized by Section 3203 of the Food, Conservation, and Energy Act of 2008.-- 10.604

The Telehealth Network Grant Program (TNGP) Sec. 3301(d)(1) of Public Health Service Act (42 U.S.C. 254c-14(d)(1), as amended.-- 93.211

The Telehealth Resource Center Grant Program (TRCGP) is authorized by: Sec. 3301(d)(2) of Public Health Service Act (42 U.S.C. 254c-14(d)(2), as amended by the Health Care Safety Net Amendments of 2002 (P.L. 107-251)-- 93.211

The Trade Act of 1974-- 10.090, 10.091

The White Mountain Apache Tribe Rural Water System Loan Authorization Act. Public Law 110-390, as amended by the White Mountain Apache Tribe Water Rights Quantification Act of 2010, Public Law 111-291.-- 15.558

The Wildlife and Sport Fish Restoration Programs Improvement Act of 2000, Public Law 106-408, as amended; the Dingell-Johnson Sport Fish Restoration Act, 64 Stat. 430 as amended, 16 U.S.C. 777m and the Pittman-Robertson Wildlife Restoration Act, 50 Stat. 917 as amended, 16 U.S.C. 669h-2.-- 15.628

The Yukon River Salmon Act of 2000 (16 USC 5701 et seq., P.L. 104-43, November 3, 1995, 109 Stat 392) as amended by P.L. 106-450, November 7, 2000, 114 Stat 1941; P.L. 108-219, April 13, 2004, 118 Stat 615.-- 15.671

The activity conducted under this award is authorized pursuant to: The Department of Energy Act, Public Law 95-91, 42 U.S.C. 7256, in conjunction with 42 U.S.C. 7112-- 81.139

The legislative authority for the Cochran Fellowship Program is provided in Section 1543 of the Agriculture Development and Trade Act of 1990 (7 U.S.C. 3293) as amended in 1996. National Agricultural Research, Extension, and Teaching Policy Act of 1977, as amended, and 7 U.S.C. 3291, and 3319(a).-- 10.962

The statutory authority for awards under this Funding Opportunity Announcement is contained in Division D, Title II of the Consolidated Appropriations Act, 2010 (Public Law 111-117) and Section 513(c)(1) of the Social Security Act (to be codified at 42 U.S.C. 713(c)(1).-- 93.297

The statutory authority for cooperative agreements under this program announcement is contained in Division A, Title VIII of the American Recovery and Reinvestment Act (Recovery Act) of 2009 (Public Law 111.5).-- 93.725

The statutory authority for the Enforcing Underage Drinking Laws (EUDL) Block Grant Program may be found within Section 504 of the Juvenile Justice and Delinquency Prevention Act, 42 U.S.C. 5783. Congress has delegated the authority to administer the EUDL Program to the Office of Juvenile Justice and Delinquency Prevention (OJJDP), in the Department of Justice's (DOJ's) Office of Justice Programs (OJP) under the Consolidated Appropriations Act, 2010, Public Law 111-117.-- 16.727

These grants will be awarded under authority provided by the Food, Conservation, and Energy Act of 2008, Section 4405, 7 U.S.C. 8701. This section allows the Secretary of Agriculture to award grants to meet the hunger free communities goals described in the H. Con. Res. 302 (102nd Congress)-- 10.583

This agency was chartered and authorized in 2007 in the America COMPETES Act (Public Law 110-69), and re-authorized in the America COMPETES Reauthorization Act of 2010 (Public Law 111-358).-- 81.135

This program is authorized under Section 317 of the Public Health Service Act (42 U.S.C. Sections 247b), as amended; and the Patient Protection and Affordable Care Act (PL 111-148), Title IV, Sections 4002, (Prevention and Public Health Fund) for expanded and sustained national investment in prevention and public health programs. This program is funded in part by 2012

Prevention and Public Health Funds (PPHF-2012).-- 93.539, 93.733

This program is authorized under Sections 301, 317, 317(k)(2), 318 and 318B of the Public Health Service Act (42 U.S.C. Sections 247b, 247b(k)(2) and 247c), as amended-- 93.523

This program is authorized under Sections 317, 317(k)(2), 318 and 318B of the Public Health Service Act (42 U.S.C. Sections 247b, 247b(k)(2) and 247c), as amended.-- 93.185

This program is authorized under Sections 317, 317(k)(2), 318 and 318B of the Public Health Service Act (42 U.S.C. Sections 247b, 247b(k)(2) and 247c), as amended; and the Patient Protection and Affordable Care Act (PL 111-148), Title IV, Sections 4002, (Prevention and Public Health Fund) for expanded and sustained national investment in prevention and public health programs-- 93.540

This program is authorized under Sections 317, 317(k)(2), 318 and 318B of the Public Health Service Act (42 U.S.C. Sections 247b, 247b(k)(2) and 247c), as amended; and the Patient Protection and Affordable Care Act (PL 111-148), Title IV, Sections 4002, (Prevention and Public Health Fund) for expanded and sustained national investment in prevention and public health programs.-- 93.533

This program is authorized under the Patient Protection and Affordable Care Act (Public Law 111-148), Title IV, Sections 4002, (Prevention and Public Health Fund) for expanded and sustained national investment in prevention and public health programs.-- 93.523

This program is administered under the provisions of section 1455 of the National Agricultural Research, Extension, and Teaching Policy Act of 1977, as amended (NARETPA) (7 U.S.C. 3241) pursuant to section 815 of Public Law 104-127 and Public Law 110-246. Section 1455 of NARETPA, as reauthorized by section 7128 of Public Law 110-246, provides the Secretary of Agriculture with the authority to make competitive grants (or grants without regard to any requirement for competition) to Hispanic-Serving Institutions for the purpose of promoting and strengthening the ability of Hispanic-Serving Institutions to carry out education, applied research, and related community development programs.-- 10.223

This program is administered under the provisions of section 759 of Public Law 106-78 (7 U.S.C. 3242) which was amended and redesignated as section 1419B of the National Agricultural Research, Extension, and Teaching Policy Act (NARETPA) of 1977 (7 U.S.C. 3155). As legislatively reauthorized in the Public Law 110-246, the purpose of this program continues to promote and strengthen the ability of eligible Alaska Native-Serving Institutions and Native Hawaiian-Serving Institutions to carry out education, applied research, and related community development programs within a broadly defined area of food and agricultural sciences and related disciplines. In FY 2009, the Secretary will make competitive grant awards to individual eligible institutions or consortia of eligible institutions in Alaska and in Hawaii, with funds awarded equally to each of the states of Alaska and Hawaii.-- 10.228

This program is administered under the provisions of the Farm Security and Rural Investment Act of 2002 (Section 7501 of Public Law 107-171) as reauthorized in Section 7143 of Public Law 110-246, which amended the National Agricultural Research, Extension, and Teaching Policy Act of 1977 (7 U.S.C. 3101 et seq.) by providing for a program of resident instruction grants for insular areas (7 U.S.C. 3363). Funds are available for a program of competitive grants, with funds to be awarded to one or more individual, eligible institutions of higher education in Insular Areas (Insular Area Institutions) or consortia of such eligible institutions, to carry out teaching and education programs in the food and agricultural sciences.-- 10.308

This program is authorized by Section 1704 of the Public Health Service Act, 42 U.S.C. Section 300u-3.-- 93.007

This program is authorized by the American Recovery and Reinvestment Act of 2009 (Public Health Service Act, 42 U.S. Code 241(a) and 247b (k) 2) and 42 U.S.C. 300k-1, 300 sec.1701 of the Public Health Service Act, as amended.-- 93.731

This program is authorized under Section 317 of the Public Health Service Act, (42 U.S.C. Section 247 b), as amended.-- 93.062

This program is authorized under Section 317(k)(2) of the Public Health Services Act (PHS Act), 42 U.S.C. 247b(k)(2) as amended.-- 93.082

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This program is authorized under Section 4002 of the ACA, Prevention and Public Health Fund, Section 1706 of the PHS Act, 42 U.S.C. 300u-5, and Section 317(k)(2) of the PHS Act, 42 U.S.C. 247b(k)(2).-- 93.542

This program is authorized under Sections 317(k)(2) and 317E of the Public Health Service Act (42 U.S.C. Sections 247b-6), as amended.-- 93.947

This program is authorized under section 301(a) and 317(k) 2) American Recovery and Reinvestment Act of 2009, Public Health Service Act, 42 U.S.C. 241(a) and 247b (k) 2311.-- 93.724

This program is authorized under section 301(a) and 317(k) Public Health Service Act, 42 U.S. Code 241(a) and 247b (k) and Title IV Section 4002 Prevention and Public Health Fund-- 93.531

This program is authorized under section 301(a) and 317(k) of the Public Health Service Act, 42 U.S.C. 241(a) and 247b.-- 93.544

This program is authorized under section 301(a) and 317(k)(2) Health Care and Education Affordability Reconciliation Act of 2010, Public Health Service Act, 42 U.S. Code 241(a) and 247b (k) 2311.-- 93.520

This program is authorized under section 301(a) and 317(k)(2) Patient Protection and Affordable Care Act of 2010, Public Health Service Act, 42 U.S. Code 241(a) and 247b (k) 2311, sec 4201.-- 93.541

This program is authorized under section 301(a) and 317(k)(2) Public Health Service Act, 42 U.S. Code 241(a) and 247b (k) and Title IV Section 4002 Prevention and Public Health Fund-- 93.743

This program is authorized under section 301(a) and 317(k)(2) Public Health Service Act, 42 U.S. Code 241(a) and 247b (k) and Title IV Section 4002 of the Affordable Care Act (PPHF)-- 93.738

This program is authorized under section 317(k) (2) of the Public Health Service Act [42 U.S.C. 247(b)(k)(2)].-- 93.097

This program is authorized under section 317(k)(2) of the Public Health Service Act [42 USC 247(b)(k)(2), as amended]. In addition, this program is authorized under Executive Order 13175 [<http://www.gsa.gov/portal/content/101569>]. AR-15: Proof of Non-profit Status applies.-- 93.098

This program is authorized under section 401(a) of the Childrens Health Insurance Program Reauthorization Act of 2009 (CHIPRA, Public Law 111-3), added new section 1139A, to the Social Security Act (the Act). Subsection 1139A(e) of the Social Security Act requires the Secretary of Health and Human Services (HHS) to conduct a Childhood Obesity Demonstration Project (http://www.ssa.gov/OP_Home/ssact/title11/1139A.htm). In 2010, the Patient Protection and Affordability Act (ACA) appropriated funding for the Project in section 4306.section 301(a) and 317(k).-- 93.535

This program is authorized under sections 301 and 317 of the Public Health Service Act (PHS Act), 42 U.S.C. 241 and 247b as amended, and the Patient Protection and Affordable Care Act (Public Law 111-148), Title IV, Section 4002 (Prevention and Public Health Fund).-- 93.524

This program is authorized under sections 301(a), 307, 310, 311 and 317(k) (2) American Recovery and Reinvestment Act of 2009 (ARRA) [Public Law 111.5], Public Health Service Act, 42 U.S. Code 241(a) and 247b (k) 2, and the Comprehensive Smoking Education Act of 1984, Comprehensive Smokeless Tobacco Health Education Act of 1986.-- 93.723

This program is authorized under the American Recovery and Reinvestment Act of 2009 (ARRA), Public Law 111-5; Public Health Service Act, 42 U.S.C. 241(a) and 247b(k)2 and Public Health Service Act, Title 42, Part 330u-5, Section 1706-- 93.730

This program is authorized under the Patient Protection and Affordable Care Act of 2010, 4201 (P.L. 111-148) and title IV Section 4002 of the Affordable Care Act (PPHF)-- 93.739

This program is authorized under the Public Health Service Act, section 301(a), 42 U.S.C. 241(a), as amended and section 317(a), 42 U.S.C. 247b (a), as amended.-- 93.339

This program solicitation is issued pursuant to the Small Business Innovation Development Act of 1982, Public Law 97-219, as amended (15 U.S.C. 638) and Section 630 of the Act making appropriations for Agriculture, Rural Development and Related Agencies programs for fiscal year ending September 30, 1987, and for other purposes, as made applicable by Section 101(a) of Public

Law 99-591, 100 Stat. 3341.-- 10.212

This solicitation is being issued under section 1115A of the Social Security Act (added by section 3021 of the Patient Protection and Affordable Care Act (P.L. 111-148)), which authorizes the Innovation Center to test innovative payment and service delivery models to reduce program expenditures under Medicare, Medicaid, and the Childrens Health Insurance Program while preserving or enhancing the quality of care.-- 93.626

Ticket to Work and Work Incentives Improvement Act of 1999, Section 203, Public Law 106-170.-- 93.768

Title II, Division D, Public Law 108-7, Consolidated Appropriations Resolution, Water and Related Resources:

Provided further, That the Bureau of Reclamation is authorized hereafter to negotiate and enter into financial assistance agreements with public and private agencies, organizations, and institutions for activities under the Lake Tahoe Regional Wetlands Development Program: Provided further, That the costs associated with such activities will be nonreimbursable.-- 15.543

Title III of the U.S. Public Health Service Act: 42 U.S.C. Section 301, also Section 1110 of the Social Security Act (42 U.S.C. 1310), along with funds appropriated under 42 U.S.C. 300U-6, Section 1707 of the Public Health Service Act, as amended.-- 93.990

Title III, Part D, Section 330H of the Public Health Service Act, (42 U.S.C.254c-8)-- 93.926

Title III, Part F, Section 371(b)(2)(c)(ii), 371(b)(2)(D)(iii), and 371(b)(2)(D)(iv); Title VIII, Part AA, Section 897-- 84.382

Title III, Section 302(g)(3)-- 84.265

Title III, Section 319I, Public Health Service Act (42 U.S.C. 247d-7b), as amended by the Pandemic and All-Hazards Preparedness Reauthorization Act (PAHPRA) of 2013, Public Law 113-5.-- 93.089

Title III, Section 338A of the Public Health Service Act (42 USC 254I), as amended Sections 338C-H of the Public Health Service Act (42 USC 254m-q), as amended Section 10503 of the Affordable Care Act (P.L. 111-148)-- 93.288

Title IV Housing Assistance, Subtitle D Rural Housing Stability Assistance Program of the McKinney-Vento Homeless Assistance Act, as amended by the Homeless Emergency Assistance and Rapid Transition to Housing Act of 2009 (HEARTH Act).-- 14.268

Title IV, Energy Conservation and Production Act-- 81.042

Title IV, Section 4002 of the Affordable Care Act (Prevention and Public Health Fund).-- 93.734

Title IX of the Farm Security and Rural Investment Act of 2002 (7 U.S.C. 8101 et seq.) is amended by the Food, Conservation, and Energy Act of 2008, Title IX, section 9003, subsection 9003.-- 10.865

Title V of the Rural Development Act of 1972-- 10.303

Title V, Part C of the Improving Americas Schools Act of 1994, Public law 103-382 (October 20, 1994), 7 U.S.C. 301 note, as amended; Public Law 105-185, cited as Equity in Educational Land-Grant Status Act of 1994, (hereafter referred to as the Act) provides that certain tribal colleges, designated as 1994 Land-Grant Institutions, (hereafter referred to as LGIs) receive various benefits.-- 10.222

Title V, Public Law 95-134-- 84.403

Title VI, Section 1653 of the Department of Defense and Full-Year Continuing Appropriations Act of 2011 (Public Law 112-10)-- 97.126

Title VII of the Civil Rights Act of 1964 (Public Law 88-352), as amended, 42 U.S.C. section 2000e et seq-- 30.001

Title VII, Sec. 751 of the Public Health Service Act (42 U.S.C. 294a), as amended by Sec. 5403 of the Patient Protection and Affordable Care Act, Public Law 111-148.-- 93.107

Title VII, Sec. 753(b) of Public Health Service Act (42 U.S.C-294c), as amended by the Health Professions Education Partnerships Act of 1998 (P.L. 105-392) and the Patient Protection and Affordable Care Act (P.L. 111-148).-- 93.156

Title VII, Section 737 of the Public Health Service Act (42 U.S.C. 293a), as amended by the Patient Protection and Affordable Care Act (P.L. 111-148)-- 93.925

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- Title VII, Section 738(b) of the Public Health Service Act (42 U.S.C. 738 [293b]), as amended by P.L. 105-392-- 93.923
- Title VII, Section 747 (a) (b), Public Health Service Act, as amended by the Affordable Care Act (P. L. 111-148)-- 93.884
- Title VII, Section 747, Public Health Service Act, as amended by the Patient Protection and Affordable Care Act of 2010 (Pub. L. 111-148), and the American Recovery and Reinvestment Act of 2009 (Pub. L. 111-5)-- 93.403
- Title VII, Section 753(c) of the Public Health Service Act (42 U.S.C. 294c), as amended by Section 5305(b) of the Patient Protection and Affordable Care Act, Public Law 111-148-- 93.250
- Title VII, Sections 750 and 756 of the Public Health Service Act (42 U.S.C. 294e-1), as amended by Sections 5306(a), 5002(a)(3), and 4002 of the Patient Protection and Affordable Care Act, Public Law 111-148-- 93.732
- Title VII, Sections 765 and 766 of the Public Health Service Act (42 U.S.C. 295a), as amended by P.L. 105-392 Health Professions Education Partnerships Act of 1998 and the Patient Protection and Affordable Care Act of 2010, Public Law 111-148-- 93.249
- Title VII, Sections 765 and 766 of the Public Health Service Act (42 U.S.C. 295a), as amended by Public Law 105-392 Health Professions Education Partnerships Act of 1998 and the Patient Protection and Affordable Care Act of 2010, Public Law 111-148-- 93.516
- Title VIII , Section 811 (a)(2) of the Public Health Service Act, (42 U.S.C. 296j(a)(2)), as amended by Section 5308 of the Patient Protection and Affordable Care Act, Public Law 111-148-- 93.358
- Title VIII , Section 811(a)(2) of the Public Health Service (PHS) Act, (42 U.S.C. 296j(a)(2)), as amended by Section 5308 of the Patient Protection and Affordable Care Act, Public Law 111-148-- 93.124
- Title VIII of The Further Continuing Appropriations Act, 2013 (Division F of the Consolidated and Further Continuing Appropriations Act, 2013, Pub. L. 113-6, March 26, 2013) (FY 2013 Appropriations Act)-- 20.933
- Title VIII of the Public Health Service Act, Sections 846A (42 U.S.C. 297n-1) and (42 U.S.C. 297o), as amended by the Patient Protection and Affordable Care Act, Public Law 111-148, Section 5311-- 93.264
- Title VIII, Section 811 (a)(1) of the Public Health Service Act, (42 U.S.C. 296j(a)(1)), as amended by Section 5308 of the Patient Protection and Affordable Care Act, Public Law 111-148-- 93.247
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- Title VIII, Section 831 and 831A of the Public Health Service Act (42 U.S.C. 296p and 42 U.S.C. 296p-1), as amended by section 5309 of the Patient Protection and Affordable Care Act, P.L. 111-148.
- VNPA-WP program, Title VII section 747(a) of the Public Health Service Act (42 U.S.C. 293k) as amended by section 5301 of the Patient Protection and Affordable Care Act (P.L. 111-148)-- 93.359
- Title VIII, Section 855 of the Public Health Service Act (42 U.S.C. 298), as amended by P.L. 107-205 and the Patient Protection and Affordable Care Act, P.L. 111-148-- 93.265
- Title X Statute and Regulations: Requirements regarding the provision of family planning services under Title X can be found in the statute (Title X of the Public Health Service Act, 42 U.S.C. 300 et seq.) and in the implementing regulations which govern project grants for family planning services (42 CFR part 59, subpart A)-- 93.217
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- Title XXVI (Indian Energy) of the Energy Policy Act of 1992, as amended (25 CFR, Section 3502(a)(2)(A))-- 15.148
- Title XXVI of the Public Health Service Act, Section 2692(b) (42 U.S.C. 300ff-111) as amended the Ryan White HIV/AIDS Treatment Extension Act of 2009 (P.L. 111-87)-- 93.924
- Title XXVI of the Public Health Service Act, Sections 2601-2610 (42 USC 300ff-11 300ff-20), as amended by the Ryan White HIV/AIDS Treatment Extension Act of 2009 (Public Law 11-87)-- 93.914
- Title XXVI of the Public Health Service Act, Sections 2611-23, (42 U.S.C. 300ff-55? Or 21-31(b)), as amended by the, Ryan White HIV/AIDS Treatment Extension Act of 2009 (Public Law 111-87)-- 93.917
- Title XXVI of the Public Health Service Act, Sections 2651-2667 and 2693 et seq. (42 USC 300ff -51), as amended by the Ryan White HIV/AIDS Treatment Extension Act of 2009 (P.L. 111-87)-- 93.918
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16 U.S.C. 1-4 et seq.; Land and Water Conservation Fund Act of 1965, Public Law 88-578, 78 Stat. 897, as amended; Public Law 90-401, 82 Stat. 354; Public Law 91-485, 84 Stat. 1084; Public Law 91-308, 84 Stat. 410; Public Law 92-347, 86 Stat. 460; Public Law 93-81, 87 Stat. 178; Public Law 94-422, 90 Stat. 1313; Public Law 95-42, 91 Stat. 210; Public Law 100-203, 101 Stat. 1330.-- 15.916

16 U.S.C. 1g provides that the National Park Service may enter into cooperative agreements that involve the transfer of National Park Service appropriated funds to State, local and tribal governments, other public entities, educational institutions, and private nonprofit organizations for the public purpose of carrying out National Park Service programs pursuant to 31 U.S.C. 6305 to carry out public purposes of National Park Service programs. The Outdoor Recreation

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Act, as amended, 16 U.S.C., 4601-1(f), (1988), states that the Secretary is authorized to sponsor, engage in, and assist in research, undertake studies through cooperative agreements, and cooperate with educational institutions in establishing educational programs and activities relating to outdoor recreation. The North Cascades Environmental Learning Center (NCELC) is a residential education facility located on the shore of Diablo Lake in North Cascades National Park. The Learning Center is one of the central elements of the 1995 federal relicensing of Seattle City Light's Skagit River dams. This project and others are designed to mitigate the impact of hydroelectric projects on the Skagit River environment. Seattle City Light, the National Park Service and North Cascades Institute each have unique responsibilities in this partnership:

- Seattle City Light funded the design and the construction of the facility, own the buildings, and are leasing them to North Cascades Institute.
 - The National Park Service dedicated land for the Learning Center within the Ross Lake National Recreation Area of North Cascades National Park Service Complex. The National Park Service also provides services such as water, sewer, fire management and educational support.
 - North Cascades Institute is a non-profit organization based in Sedro-Woolley, Washington. It operates the Learning Center and provide environmental education programs for all ages and backgrounds.-- 15.942
- 16 U.S.C. 1g Cooperative Agreements, Title 16 Conservation, Chapter 1 National Parks, Military Parks, Monuments, and Seashores, Subchapter 1 National Park Service, Section 1g Cooperative Agreements-- 15.954
- 16 USC 1g provides that the National Park Service may enter into cooperative agreements that involve the transfer of National Park Service appropriated funds to State, local and tribal governments, other public entities, educational institutions, and private nonprofit organizations for the public purpose of carrying out National Park Service programs pursuant to 31 U.S.C. 6305 to carry out public purposes of National Park Service programs.

The Outdoor Recreation Act, as amended, 16 U.S.C.,4601-1(f), (1988), states that the Secretary is authorized to sponsor, engage in, and assist in research, undertake studies through cooperative agreements, and cooperate with educational institutions in establishing educational programs and activities relating to outdoor recreation.

PL 95-625 authorizes the Secretary of Interior to transfer management and administration of the Reserve to an appropriate unit of local government, known as the Ebeys Landing National Historical Reserve. The Comprehensive Plan for the Reserves calls for a Cooperative Agreement between Island County, the Town of Coupeville, the Washington State Park and Recreation Commission (State Parks), and the United States Department of the Interior.

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22 USC 7105(b2)(A)-- 16.320

24 CFR 291.500-565, final rule published in Federal Register, October 31, 2006, effective December 1, 2006, following publication in the Federal Register of a proposed rule on September 8, 2005.-- 14.198

25 U.S.C. 13 Snyder Act, Public Law 83-568, Transfer Act, 42 U.S.C. 2001, Public Law 86-121, Indian Sanitation Facilities Act; and Title III of Public Law 94-437, Indian Health Care Improvement Act, as amended.-- 93.445

30 U.S.C. 1601 et. seq.-- 15.816

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42 U.S.C. 10601(d)(5), 42 U.S.C. 10603b(b), 42 U.S.C. 10603c.-- 16.321

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42 U.S.C. 10603(c)(1)(A); 42 U.S.C. 10603(c)(1)(B); 42 U.S.C. 10603d(a); and

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5 U.S.C. 3109; 7 U.S.C. 411, 411a, 411b, 427, 471, 475, 476, 501, 951, 953, 955-957; Agricultural Marketing Act of 1946: 7 U.S.C. 1621-1623, 1623a,

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7 USC 3319j, added by the 2008 Farm Bill: Pub. L. 95-113, title XIV, Sec. 1473G, as added Pub. L. 110-234, title VII, Sec. 7139, May 22, 2008. National Agricultural Research, Extension, and Teaching Policy Act of 1977 enacted as Title XIV of the Food and Agriculture Act of 1977, 7 U.S.C. 3319(a), 3318(b), and 7 U.S.C. 3291.-- 10.777

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Appendix III: Budget Functional Code Appendix

FINANCIAL INFORMATION

Account Identification - This 11-digit budget code identifies the account from which a program is funded as expressed in the Appendix to the Federal Budget. For identification code 75-0350-0-1-551, the first two digits represent the Federal agency code, and the third through sixth digits identify the appropriation (or fund) basic account symbol assigned by the Treasury Department. Where two or more accounts with different Treasury basic account symbols are included in a consolidated program, 99 will be the third and fourth digits, the fifth digit will be the fund type and the sixth digit will reflect the sequence of consolidated programs for that fund type within the agency. The seventh digit identifies the timing of transmittal of budget estimates:

- 0: Regular budget schedules
- 1: Supplemental appropriation
- 2: Legislative proposal, not subject to PAYGO
- 3: Appropriations language to be transmitted later
- 4: Legislative proposal, subject to PAYGO
- 5: Recession proposal
- 6: Adjustments to 1996 continuing resolution levels

The eighth digit identifies the type of government fund involved:

1: General fund - General Fund Appropriation Accounts - Accounts established to record amounts appropriated by law to be expended for the general support of the Government.

General Fund Receipt Accounts - Accounts credited with all receipts that are not earmarked by law for a specific purpose.

2: Special fund - Special Fund Appropriation Accounts - Accounts established to record appropriated amounts of special fund receipts to be expended for special programs in accordance with specific provisions of law. Special Fund Receipt Accounts - Accounts credited with receipts from specific sources that are earmarked by law for a specific purpose.

3: Public Enterprise Fund Accounts - Funds authorized by Congress to be credited with receipts primarily from the public, that are generated by, and earmarked to finance, a continuing cycle of business-type operations.

4: Intragovernmental Revolving or Management Fund Intragovernmental Fund Accounts - Accounts established to facilitate financing transactions within and between Federal agencies. These funds may be classified as intragovernmental revolving funds or management funds. Intragovernmental Revolving Fund Accounts - Funds authorized by law to carry out a cycle of intragovernmental business-type operations. These funds are credited with offsetting collections from other agencies and accounts.

7: Trust Fund (non-revolving) - Trust funds are established to account for the receipt and expenditure of monies by the Government for carrying out specific purposes and programs in accordance with the terms of a statute that designates the fund as a trust fund (such as the Highway Trust Fund) or for carrying out the stipulations of a trust agreement (such as any of several trust funds for gifts and donations for specific purposes). In a trust non-revolving fund, the receipts designated for the trust fund are in a separate account.

8: Trust Fund (revolving) - In a trust revolving fund, the fund is credited with collections earmarked by law to carry out a cycle of business-type operations.

9: For use when account consolidations cross fund types. The last three digits represent the functional classification (code) used in the latest Federal Budget document, unless noted otherwise by the Office of Management and Budget. In cases where a program is split between two or more subfunctions: (a) if all subfunctions are in the same major function, the code is the same as for the major function; or (b) if two or more major functions are involved the digits '999' are used. (Example: 75-1361-0-1-550)

Listed below are major functions and subfunctions as used in the budget document to show allocations of budget authority and outlays according to end purposes without regard to agency jurisdiction. The central nature of each major function is identified and defined. Catalog programs are listed following each applicable subfunction (or major function). Note that some subfunctions are not applicable to Catalog programs.

The alphabet(s) in parentheses following the program title, shows the type(s) of assistance available through that program. The alphabet codes with accompanying types of assistance are as follows:

- A: Formula Grants
- B: Project Grants
- C: Direct Payments for Specified Use
- D: Direct Payments with Unrestricted Use
- E: Direct Loans
- F: Guaranteed/Insured Loans
- G: Insurance
- H: Sale, Exchange, or Donation of Property and Goods
- I: Use of Property, Facilities, and Equipment
- J: Provision of Specialized Services
- K: Advisory Services and Counseling
- L: Dissemination of Technical Information
- M: Training
- N: Investigation of Complaints
- O: Federal Employment

Appendix III: Budget Functional Code Appendix

(050) NATIONAL DEFENSE

This function includes those programs directly related to the common defense and security of the United States. It includes the raising, equipping, and maintaining of armed forces (including civilian supporting activities), development and utilization of weapons systems (including nuclear weapons), and related programs. National defense includes direct compensation and benefits paid to active military and civilian personnel; contributions to their retirement, health, and life insurance funds; the conduct of defense research, development, testing, and evaluation; and procurement, construction, stockpiling, and other activities undertaken with the direct object of fostering our national security. Excluded from national defense are benefits or compensation to veterans and their dependents (veterans benefits are generally available to personnel who served the country in national emergencies; benefits for career military personnel are generally charged as a cost to the national defense function) and military retired pay, which is included in the income security function. Also excluded from national defense is the peaceful conduct of foreign relations; foreign military, economic, and humanitarian assistance; subsidies to business by civilian agencies (such as maritime subsidies) that may be partially justified as promoting national security; and research and operations of agencies (such as space research) whose program mission is not directly designed to promote national defense but which could result in some significant benefits to our national security.

81.137 Minority Economic Impact (B)

(051) Department of Defense - Military

The entire agency is included in this subfunction. Because of the method of funding and accounting in the Defense Department no further subdivisions on a program basis are available in terms of outlays.

12.300 Basic and Applied Scientific Research (B)
12.330 Science, Technology, Engineering & Mathematics (STEM) for K-12 & Institutions of Higher Learning- NAVY (B)
12.335 Navy Command, Control, Communications, Computers, Intelligence, Surveillance, and Reconnaissance (B)
12.400 Military Construction, National Guard (B)
12.401 National Guard Military Operations and Maintenance (O&M) Projects (B)
12.431 Basic Scientific Research (B)
12.600 Special Assistance (B,L)
12.604 Community Economic Adjustment (B,L)
12.610 Community Economic Adjustment Assistance for Compatible Use and Joint Land Use Studies (B)
12.611 Community Economic Adjustment Assistance for Reductions in Defense Industry Employment (B)
12.614 Community Economic Adjustment Assistance for Advance Planning and Economic Diversification (B)
12.615 Research and Technical Assistance (B)
12.800 Air Force Defense Research Sciences Program (B)
12.901 Mathematical Sciences Grants Program (B)
12.902 Information Security Grant Program (B)

(053) Atomic Energy Defense Activities

Those programs of the Department of Energy devoted to national defense activities, such as naval ship reactors and nuclear weapons.

17.310 Energy Employees Occupational Illness Compensation (D)
81.104 Environmental Remediation and Waste Processing and Disposal (B)
81.106 Transport of Transuranic Wastes to the Waste Isolation Pilot Plant: States and Tribal Concerns, Proposed Solutions (B)
81.112 Stewardship Science Grant Program (B)
81.113 Defense Nuclear Nonproliferation Research (B)
81.124 Predictive Science Academic Alliance Program (B)

(054) Defense-Related Activities

Miscellaneous defense activities such as the expenses connected with selective service and civil defense activities in the Federal Emergency Management Agency.

20.813 Maritime Security Fleet Program (C)
47.050 Geosciences (B)
47.078 Polar Programs (B)
81.140 Los Alamos National Laboratory - Fire Protection (B)

(150) INTERNATIONAL AFFAIRS

This function is concerned with the maintenance of peaceful relations, commerce, and travel between the United States and the remainder of the world, and with the promotion of international security and economic development abroad. It includes the conduct of foreign affairs; cost of membership in international organizations; foreign information and exchange activities; foreign military, economic, and humanitarian assistance programs; and loan programs designed to foster U.S. exports. Excluded from international affairs are outlays from domestic programs that may tangentially affect foreign relations or citizens of other nations.

(151) International Development and Humanitarian Assistance

This includes humanitarian assistance, development assistance, security supporting assistance, grants to and investments in international financial and development institutions, and the costs associated with concessionary agricultural exports.

19.018 Resettlement Support Centers (RSCs) for U.S. Refugee Resettlement (B)

(152) International Security Assistance

This includes all of international security assistance. It involves the transfer of defense articles and services to foreign governments through grants, credit sales, and training.

(153) Conduct of Foreign Affairs

This includes the diplomatic and consular operations of the Department of State and closely-related activities in other agencies (such as the Arms Control and Disarmament Agency).

91.004 Public Education for Peacebuilding Awards Program (B)

(154) Foreign Information and Exchange Activities

This includes student and cultural exchange programs and foreign library, radio, or other media information activities designed to promote mutual understanding between the people of the United States and other Nations.

19.009 Academic Exchange Programs - Undergraduate Programs (B)
19.010 Academic Exchange Programs - Hubert H. Humphrey Fellowship Program (B)
19.011 Academic Exchange Programs - Special Academic Exchange Programs (B)
19.012 Professional and Cultural Exchange Programs - Special Professional and Cultural Programs (B)
19.014 One-Time International Exchange Grant Program (B)
19.015 Cultural, Technical and Educational Centers (B)
19.022 Educational and Cultural Exchange Programs Appropriation Overseas Grants (B)
19.400 Academic Exchange Programs - Graduate Students (B)
19.401 Academic Exchange Programs - Scholars (B)
19.402 Professional and Cultural Exchange Programs - International Visitor Leadership Program (B)
19.408 Academic Exchange Programs - Teachers (B)
19.415 Professional and Cultural Exchange Programs - Citizen Exchanges (B)
19.421 Academic Exchange Programs - English Language Programs (B)
19.432 Academic Exchange Programs - Educational Advising and Student Services (B)

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19.450 ECA Individual Grants (B)

(155) International Financial Programs

This includes export credit, the military sales trust fund, international commodity agreements, international monetary programs, and other programs designed to improve the functioning of the international financial system.

(250) GENERAL SCIENCE, SPACE AND TECHNOLOGY

This function shows budget resources allocated to science and research activities of the Federal Government to meet the national need to advance knowledge in this area. In addition, research and technology programs that have diverse goals and cannot readily be classified into one specific function are also placed here to avoid detailed splitting of accounts. Included in this function are general scientific research and civilian space programs that are not an integral part of the programs conducted under any other function. This includes the research conducted by the National Aeronautics and Space Administration, and general science research supported by the Department of Energy. As is noted above, research programs that are an integral part of the achievement of other major functions _ e.g., health, national defense, energy _ are excluded from this function. Only if a research program cannot readily be included in other functions is it classified in this function.

81.139 Environmental Management R&D for HEPA Filters, High-Level Tank Waste Mixing & Sampling (B)

81.214 Environmental Monitoring/Cleanup, Cultural and Resource Mgmt., Emergency Response Research, Outreach, Technical Analysis (B)

(251) General Science and Basic Research

This includes the expenses of conducting the National Science Foundation programs and the general science activities of the Department of Energy.

47.050 Geosciences (B)

47.070 Computer and Information Science and Engineering (B)

47.078 Polar Programs (B)

47.079 Office of International and Integrative Activities (B)

47.080 Office of Cyberinfrastructure (B)

47.081 Office of Experimental Program to Stimulate Competitive Research (B)

81.049 Office of Science Financial Assistance Program (B)

(252) Space Flight, Research, and Supporting Activities

This subfunction is devoted to the development and operation of space transportation systems, basic scientific research connected with outer space, research and demonstrations designed to provide terrestrial applications of technology developed through space research, and development of new space technologies for future missions. Also included are the costs of tracking and data relay support for NASA space science and applications for flight missions.

(270) ENERGY

The energy function includes those programs designed to promote an adequate supply and appropriate use of energy to serve the needs of the economy. It includes most of those programs operated by the Department of Energy and its predecessor agencies except for atomic energy defense activities and for general science research not closely related to energy.

81.036 Inventions and Innovations (B,K,L)

81.041 State Energy Program (A,B,L)

81.042 Weatherization Assistance for Low-Income Persons (A,B)

81.064 Office of Scientific and Technical Information (L)

81.079 Regional Biomass Energy Programs (B)

81.086 Conservation Research and Development (B)

81.087 Renewable Energy Research and Development (B)

81.117 Energy Efficiency and Renewable Energy Information Dissemination, Outreach, Training and Technical Analysis/Assistance (B)

81.119 State Energy Program Special Projects (B)

81.129 Energy Efficiency and Renewable Energy Technology Deployment, Demonstration and Commercialization (B)

81.135 Advanced Research Projects Agency - Energy (B)

(271) Energy Supply

This includes those programs designed to increase the supply of energy through the development of domestic resources and systems capable of using them. Included in energy supply are the costs of research and demonstration of supply systems.

10.850 Rural Electrification Loans and Loan Guarantees (E,F)

10.851 Rural Telephone Loans and Loan Guarantees (E,F)

10.854 Rural Economic Development Loans and Grants (B,E)

81.057 University Coal Research (B)

81.089 Fossil Energy Research and Development (B)

81.108 Epidemiology and Other Health Studies Financial Assistance Program (B)

(272) Energy Conservation

Programs in this subfunction are designed to encourage the prudent use of energy resources.

81.127 Energy Efficient Appliance Rebate Program (EEARP) (A)

81.128 Energy Efficiency and Conservation Block Grant Program (EECBG) (A,B)

(274) Emergency Energy Preparedness

This includes the programs associated with developing and maintaining a stockpile of energy resources (currently confined to petroleum) to meet emergency needs and associated contingency planning activities.

(276) Energy Information, Policy, and Regulation

This includes the unallocable overhead activities of the Department of Energy plus the costs of energy information and regulation activities.

77.006 U. S. Nuclear Regulatory Commission Nuclear Education Grant Program (B)

77.008 U.S. Nuclear Regulatory Commission Scholarship and Fellowship Program (B)

77.009 U.S. Nuclear Regulatory Commission Office of Research Financial Assistance Program (B)

81.003 Granting of Patent Licenses (L)

81.138 State Heating Oil and Propane Program (B)

(300) NATURAL RESOURCES AND ENVIRONMENT

This function includes those programs whose primary purpose is to develop, manage, and maintain the Nation's natural resources and environment. It encompasses development and management of water resources; protection and enhancement of environmental quality; development and maintenance of recreational resources; promotion of the prudent use of forest and mineral resources; the fostering of conservation practices on both public and private lands; marine, earth, and atmospheric -related research, and the management of the public domain. Excluded from this function are the outlays for community water supply programs, basic sewer system, and waste treatment plants, which are part of a community or regional development (rather than an environmental enhancement) program or are part of the cost of operating a Federal facility (such as a military installation).

15.532 Central Valley Project, Trinity River Division, Trinity River Fish and Wildlife Management (B)

15.549 Integrated Regional Water Plan for the Central Valley of California (B)

15.550 Increasing Public Awareness of Recreational Opportunities at Reclamation Reservoirs for Physically Challenged and Disadvantaged Children (B)

(301) Water Resources

This includes water protection, conservation, irrigation, and related activities including the total costs of multipurpose water projects where it is not feasible to separate out the transportation (navigation) or energy (power) segments of these projects.

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15.934	Abandoned Mine Hazard Mitigation (B,C)	66.125	Lake Pontchartrain Basin Restoration Program (PRP) (B)
15.935	National Trails System Projects (B)	66.126	The San Francisco Bay Water Quality Improvement Fund (B)
15.936	Shenandoah Valley Battlefields National Historic District Battlefield Acquisition and Protection (C)	66.128	Southeastern Multi-Media and Geographic Priority Projects (B)
15.937	Redwood National Park Cooperative Management with the State of California (B,H,I,K,L,M)	66.202	Congressionally Mandated Projects (B)
15.938	Boston African-American National Historic Site Cooperative Agreement with the Museum of African American History (B,H,I,K,L,M)	66.203	Environmental Finance Center Grants (B)
15.939	National Heritage Area Federal Financial Assistance (C)	66.305	Compliance Assistance Support for Services to the Regulated Community and Other Assistance Providers (B)
15.941	Mississippi National River and Recreation Area State and Local Assistance (B)	66.306	Environmental Justice Collaborative Problem-Solving Cooperative Agreement Program (B)
15.942	Environmental Education and Conservation - North Cascades Bioregion (C,J)	66.309	Surveys, Studies, Investigations, Training and Special Purpose Activities Relating to Environmental Justice (B)
15.943	Challenge Cost Share (C)	66.310	Capacity Building Grants and Cooperative Agreements for Compliance Assurance and Enforcement Activities in Indian Country and Other Tribal Areas (B)
15.944	Natural Resource Stewardship (B,C)	66.313	International Compliance and Enforcement Projects (B)
15.945	Cooperative Research and Training Programs Resources of the National Park System (B,C,I,L,M)	66.418	Construction Grants for Wastewater Treatment Works (B)
15.946	Cultural Resources Management (B,C)	66.419	Water Pollution Control State, Interstate, and Tribal Program Support (A)
15.947	Boston Harbor Islands Partnership (B)	66.424	Surveys, Studies, Investigations, Demonstrations, and Training Grants - Section 1442 of the Safe Drinking Water Act (B)
15.954	National Park Service Conservation, Protection, Outreach, and Education (B)	66.432	State Public Water System Supervision (A)
15.955	Martin Luther King Junior National Historic Site and Preservation District (B,K)	66.433	State Underground Water Source Protection (A)
15.956	Ebeyes Landing National Historical Reserve and Ebeyes Landing National Historical Reserve Trust Board (C,J)	66.436	Surveys, Studies, Investigations, Demonstrations, and Training Grants and Cooperative Agreements - Section 104(b)(3) of the Clean Water Act (B)
15.957	Historic Preservation Fund Grants to Provide Disaster Relief to Historic Properties Damaged by Hurricane Sandy (A,B)	66.437	Long Island Sound Program (B)
(304) Pollution Control and Abatement		66.439	Targeted Watersheds Grants (B)
This includes outlays for controlling and reducing air, water, and land pollution or that are specifically funded as an environmental enhancement program. It excludes water resources programs, water treatment plants, and similar programs that are not funded as part of an environmental enhancement activity.			
66.001	Air Pollution Control Program Support (B)	66.440	Urban Waters Small Grants (B)
66.032	State Indoor Radon Grants (B)	66.454	Water Quality Management Planning (A)
66.033	Ozone Transport Commission (B)	66.456	National Estuary Program (B)
66.034	Surveys, Studies, Research, Investigations, Demonstrations, and Special Purpose Activities Relating to the Clean Air Act (B)	66.458	Capitalization Grants for Clean Water State Revolving Funds (A)
66.035	Community Action for a Renewed Environment (CARE) Program (B)	66.460	Nonpoint Source Implementation Grants (A)
66.037	Internships, Training and Workshops for the Office of Air and Radiation (B)	66.461	Regional Wetland Program Development Grants (B)
66.038	Training, Investigations, and Special Purpose Activities of Federally-Recognized Indian Tribes Consistent With the Clean Air Act (CAA), Tribal Sovereignty and the Protection and Management of Air Quality (B)	66.462	National Wetland Program Development Grants and Five-Star Restoration Training Grant (B)
66.039	National Clean Diesel Emissions Reduction Program (B)	66.463	Water Quality Cooperative Agreements (B)
66.040	State Clean Diesel Grant Program (A)	66.466	Chesapeake Bay Program (B)
66.042	Temporally Integrated Monitoring of Ecosystems (TIME) and Long-Term Monitoring (LTM) Program (B)	66.467	Wastewater Operator Training Grant Program (B)
66.043	Regional Healthy Indoor Air Projects for Community Outreach and Education, Surveys, Studies, Research, Investigations, Demonstrations, and Special Purpose Activities Relating to the Clean Air Act (B)	66.468	Capitalization Grants for Drinking Water State Revolving Funds (A)
66.110	Healthy Communities Grant Program (B)	66.469	Great Lakes Program (B,I,L)
66.121	Puget Sound Protection and Restoration: Tribal Implementation Assistance Program (B)	66.471	State Grants to Reimburse Operators of Small Water Systems for Training and Certification Costs (A)
66.122	Puget Sound Action Agenda Outreach, Education and Stewardship Support Program (B)	66.472	Beach Monitoring and Notification Program Implementation Grants (A)
66.123	Puget Sound Action Agenda: Technical Investigations and Implementation Assistance Program (B)	66.473	Direct Implementation Tribal Cooperative Agreements (B)
66.124	Coastal Wetlands Planning Protection and Restoration Act (B)	66.474	Water Protection Grants to the States (A)
		66.475	Gulf of Mexico Program (B)
		66.478	Water Security Training and Technical Assistance and Water Security Initiative Contamination Warning System Pilots (B)
		66.481	Lake Champlain Basin Program (B)
		66.482	Disaster Relief Appropriations Act (DRAA) Hurricane Sandy Capitalization Grants For Clean Water State Revolving Funds (A)
		66.483	Disaster Relief Appropriations Act (DRAA) Hurricane Sandy Capitalization Grants for Drinking Water State Revolving Funds (A)
		66.508	Senior Environmental Employment Program (B)
		66.509	Science To Achieve Results (STAR) Research Program (B)
		66.510	Surveys, Studies, Investigations and Special Purpose Grants within the Office of Research and Development (B)
		66.511	Office of Research and Development Consolidated Research/Training/Fellowships (B)

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66.513 Greater Research Opportunities (GRO) Fellowships For Undergraduate Environmental Study (B)
66.514 Science To Achieve Results (STAR) Fellowship Program (B)
66.516 P3 Award: National Student Design Competition for Sustainability (B)
66.517 Regional Applied Research Efforts (RARE) (B)
66.518 State Senior Environmental Employment Program (B)
66.600 Environmental Protection Consolidated Grants for the Insular Areas - Program Support (A)
66.604 Environmental Justice Small Grant Program (B)
66.605 Performance Partnership Grants (A,B)
66.608 Environmental Information Exchange Network Grant Program and Related Assistance (B)
66.609 Protection of Children from Environmental Health Risks (B)
66.610 Surveys, Studies, Investigations and Special Purpose Grants within the Office of the Administrator (B)
66.611 Environmental Policy and Innovation Grants (B)
66.612 Surveys, Studies, Investigations, Training Demonstrations and Educational Outreach Related to Environmental Information and the Release of Toxic Chemicals (B)
66.700 Consolidated Pesticide Enforcement Cooperative Agreements (B)
66.701 Toxic Substances Compliance Monitoring Cooperative Agreements (B)
66.707 TSCA Title IV State Lead Grants Certification of Lead-Based Paint Professionals (B)
66.708 Pollution Prevention Grants Program (B)
66.714 Regional Agricultural IPM Grants (B)
66.716 Research, Development, Monitoring, Public Education, Training, Demonstrations, and Studies (B)
66.717 Source Reduction Assistance (B)
66.801 Hazardous Waste Management State Program Support (A)
66.802 Superfund State, Political Subdivision, and Indian Tribe Site-Specific Cooperative Agreements (B)
66.804 Underground Storage Tank Prevention, Detection and Compliance Program (A)
66.805 Leaking Underground Storage Tank Trust Fund Corrective Action Program (A)
66.806 Superfund Technical Assistance Grants (TAG) for Community Groups at National Priority List (NPL) Sites (B)
66.808 Solid Waste Management Assistance Grants (B)
66.809 Superfund State and Indian Tribe Core Program Cooperative Agreements (B)
66.810 Chemical Emergency Preparedness and Prevention (CEPP) Technical Assistance Grants Program (B)
66.812 Hazardous Waste Management Grant Program for Tribes (B)
66.813 Alternative or Innovative Treatment Technology Research, Demonstration, Training, and Hazardous Substance Research Grants (B)
66.814 Brownfields Training, Research, and Technical Assistance Grants and Cooperative Agreements (B)
66.815 Environmental Workforce Development and Job Training Cooperative Agreements (B)
66.816 Headquarters and Regional Underground Storage Tanks Program (B)
66.817 State and Tribal Response Program Grants (A)
66.818 Brownfields Assessment and Cleanup Cooperative Agreements (B)
66.819 Disaster Relief Appropriations Act (DRAA) Hurricane Sandy Leaking Underground Storage Tank Trust Fund Corrective Action Program (A)
66.926 Indian Environmental General Assistance Program (GAP) (B)
66.931 International Financial Assistance Projects Sponsored by the Office of International and Tribal Affairs (B)
66.950 National Environmental Education Training Program (B)

66.951 Environmental Education Grants (B)
66.952 National Network for Environmental Management Studies Fellowship Program (B)

(306) Other Natural Resources

This includes miscellaneous natural resources programs not classified in other subfunctions, such as marine, earth, and atmosphere-related research, and the cost of geological surveys and mapping.

11.008 NOAA Mission-Related Education Awards (B)
11.011 Ocean Exploration (B)
11.012 Integrated Ocean Observing System (IOOS) (B)
11.400 Geodetic Surveys and Services (Geodesy and Applications of the National Geodetic Reference System) (B)
11.417 Sea Grant Support (B)
11.419 Coastal Zone Management Administration Awards (A,B)
11.420 Coastal Zone Management Estuarine Research Reserves (B)
11.426 Financial Assistance for National Centers for Coastal Ocean Science (B)
11.429 Marine Sanctuary Program (B)
11.432 National Oceanic and Atmospheric Administration (NOAA) Cooperative Institutes (B)
11.440 Environmental Sciences, Applications, Data, and Education (B)
11.459 Weather and Air Quality Research (B)
11.469 Congressionally Identified Awards and Projects (B)
11.473 Coastal Services Center (B)
11.478 Center for Sponsored Coastal Ocean Research_Coastal Ocean Program (B)
11.481 Educational Partnership Program (B)
11.482 Coral Reef Conservation Program (B)
11.483 NOAA Programs for Disaster Relief Appropriations Act - Non-construction and Construction (B)
15.153 Hurricane Sandy Disaster Relief Coastal Resiliency Grants. (B)
15.240 Helium Resource Management (B)
15.424 Marine Minerals Activities - Hurricane Sandy (B)
15.668 Coastal Impact Assistance Program (A)
15.805 Assistance to State Water Resources Research Institutes (A,B)
15.807 Earthquake Hazards Reduction Program (B)
15.808 U.S. Geological Survey_ Research and Data Collection (B)
15.809 National Spatial Data Infrastructure Cooperative Agreements Program (B)
15.810 National Cooperative Geologic Mapping Program (B)
15.811 Gap Analysis Program (B)
15.812 Cooperative Research Units Program (B)
15.814 National Geological and Geophysical Data Preservation Program (B)
15.815 National Land Remote Sensing_Education Outreach and Research (B)
15.816 Minerals Resources External Research Program (B)
15.817 National Geospatial Program: Building The National Map (B)
15.818 Volcano Hazards Program Research and Monitoring (B)
15.819 Energy Cooperatives to Support the National Coal Resources Data System (NCRDS) (B)
15.820 National Climate Change and Wildlife Science Center (B)
15.978 Upper Mississippi River System Long Term Resource Monitoring Program (B)

(350) AGRICULTURE

This function includes those programs that promote the economic stability of agriculture and the Nation's capability to maintain and increase agricultural production. These objectives are accomplished through farm income stabilization programs, research and educational activities, programs that maintain agricultural markets, regulation of agricultural markets and practices, and maintenance of an adequate stockpile of agricultural commodities. This

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function does not include programs which, though related to rural development, are not directly related to agriculture, such as rural environmental and conservation programs that are classified in the natural resources function. In addition, it excludes the costs connected with concessionary food export sales or food donations whether overseas or for domestic income support purposes.

10.443 Outreach and Assistance for Socially Disadvantaged Farmers and Ranchers (B)

10.609 Trade Adjustment Assistance (D)

(351) Farm Income Stabilization

Loans, subsidies, and other payments for purposes of stabilizing agricultural prices at an equitable level. This includes the cost of acquisition and storage of agricultural commodity stockpiles but does not include the cost of foreign agricultural export losses (which are classified in the international affairs function) or domestic donations of food (which are part of an income support, rather than a farm price support, program).

10.051 Commodity Loans and Loan Deficiency Payments (D,E)

10.053 Dairy Indemnity Program (D)

10.055 Direct and Counter-cyclical Payments Program (C)

10.056 Farm Storage Facility Loans (E)

10.080 Milk Income Loss Contract Program (D)

10.085 Tobacco Transition Payment Program (D)

10.087 Biomass Crop Assistance Program (D)

10.088 Livestock Indemnity Program (C)

10.089 Livestock Forage Disaster Program (C)

10.090 Supplemental Revenue Assistance Program (C)

10.091 Emergency Assistance for Livestock, Honeybees, and Farm-Raised Fish Program (C)

10.092 Tree Assistance Program (D)

10.095 Durum Wheat Quality Program (D)

10.098 Reimbursement Transportation Cost Payment Program for Geographically Disadvantaged Farmers and Ranchers (D)

10.099 Conservation Loans (E,F)

10.101 Hawaii Sugar Disaster Program (D)

10.141 Dairy Product Price Support Program (D)

10.404 Emergency Loans (E)

10.406 Farm Operating Loans (E,F)

10.407 Farm Ownership Loans (E,F)

10.421 Indian Tribes and Tribal Corporation Loans (E)

10.435 State Mediation Grants (B)

10.437 Interest Assistance Program (F)

10.449 Boll Weevil Eradication Loan Program (E)

10.450 Crop Insurance (G)

10.451 Noninsured Assistance (D)

10.456 Partnership Agreements to Develop Non-Insurance Risk Management Tools for Producers (Farmers) (B)

10.458 Crop Insurance Education in Targeted States (B)

10.460 Risk Management Education Partnerships (B)

10.610 Export Guarantee Program (F)

(352) Agricultural Research and Services

This includes all other costs of agricultural programs including expenses for programs such as agricultural research and extension services.

10.001 Agricultural Research_Basic and Applied Research (B)

10.025 Plant and Animal Disease, Pest Control, and Animal Care (B)

10.028 Wildlife Services (B)

10.030 Indemnity Program (D)

10.153 Market News (L)

10.156 Federal-State Marketing Improvement Program (B)

10.162 Inspection Grading and Standardization (J)

10.163 Market Protection and Promotion (J,K,M)

10.164 Wholesale Farmers and Alternative Market Development (K,M)

10.165 Perishable Agricultural Commodities Act (N)

10.167 Transportation Services (K,M)

10.168 Farmers' Market Promotion Program (B)

10.170 Specialty Crop Block Grant Program - Farm Bill (B)

10.200 Grants for Agricultural Research, Special Research Grants (B)

10.202 Cooperative Forestry Research (A)

10.203 Payments to Agricultural Experiment Stations Under the Hatch Act (A)

10.205 Payments to 1890 Land-Grant Colleges and Tuskegee University (A)

10.206 Grants for Agricultural Research_Competitive Research Grants (B)

10.207 Animal Health and Disease Research (A)

10.210 Higher Education Graduate Fellowships Grant Program (B)

10.212 Small Business Innovation Research (B)

10.215 Sustainable Agriculture Research and Education (B)

10.216 1890 Institution Capacity Building Grants (B)

10.217 Higher Education - Institution Challenge Grants Program (B)

10.219 Biotechnology Risk Assessment Research (B)

10.220 Higher Education - Multicultural Scholars Grant Program (B)

10.221 Tribal Colleges Education Equity Grants (B)

10.222 Tribal Colleges Endowment Program (A)

10.223 Hispanic Serving Institutions Education Grants (B)

10.226 Secondary and Two-Year Postsecondary Agriculture Education Challenge Grants (B)

10.227 1994 Institutions Research Program (B)

10.228 Alaska Native Serving and Native Hawaiian Serving Institutions Education Grants (B)

10.250 Agricultural and Rural Economic Research, Cooperative Agreements and Collaborations (B,L)

10.253 Food Assistance and Nutrition Research Programs (FANRP) (L)

10.254 Program of Research on the Economic of Invasive Species Management (PREISM) (L)

10.255 Research Innovation and Development Grants in Economic (RIDGE) (L)

10.256 Consumer Data and Information Program (L)

10.290 Agricultural Market and Economic Research (B)

10.303 Integrated Programs (B)

10.304 Homeland Security_Agricultural (B)

10.305 International Science and Education Grants (B)

10.306 Biodiesel (B)

10.307 Organic Agriculture Research and Extension Initiative (B)

10.308 Resident Instruction Grants for Insular Area Activities (B)

10.309 Specialty Crop Research Initiative (B)

10.310 Agriculture and Food Research Initiative (AFRI) (B)

10.311 Beginning Farmer and Rancher Development Program (B)

10.312 Biomass Research and Development Initiative Competitive Grants Program (BRDI) (B)

10.313 Veterinary Medicine Loan Repayment Program (C)

10.314 New ERA Rural Technology Competitive Grants Program (B)

10.315 Trade Adjustment Assistance for Farmers Training Coordination Program (TAAF) (B)

10.316 Healthy Urban Food Enterprise Development Center (HUFED) (B)

10.317 Food Aid Nutrition Enhancement Program (B)

10.318 Women and Minorities in Science, Technology, Engineering, and Mathematics Fields (B)

10.319 Farm Business Management and Benchmarking Competitive Grants Program (B)

10.320 Sun Grant Program (B)

10.322 Distance Education Grants for Institutions of Higher Education in

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Insular Areas (B)

- 10.324 Afghanistan Agricultural Extension Project (AAEP) (B)
- 10.325 Peoples Garden Grant Program (B)
- 10.326 Capacity Building for Non-Land Grant Colleges of Agriculture (NLGCA) (B)
- 10.327 Common Bean Productivity Research for Global Food Security Competitive Program (B)
- 10.500 Cooperative Extension Service (A,B)
- 10.613 Faculty Exchange Program (B,C)
- 10.614 Scientific Cooperation Exchange Program with China (B,C)
- 10.700 National Agricultural Library (L)
- 10.777 Norman E. Borlaug International Agricultural Science and Technology Fellowship (B,C)
- 10.950 Agricultural Statistics Reports (L)
- 10.960 Technical Agricultural Assistance (B,C)
- 10.961 Scientific Cooperation and Research (B,C)
- 10.962 Cochran Fellowship Program-International Training-Foreign Participant (B,C)

(370) COMMERCE AND HOUSING CREDIT

This function includes the promotion and regulation of commerce and the housing and thrift insurance industries. Commerce includes the collection and dissemination of social and economic data unless they are an integral part of another function (such as health). This function includes general purpose loans and subsidies to business and to the housing industry. In general, credit and insurance programs are included in this function; however, if such programs are a means of achieving the basic objectives of another function and are an integral part of the programs of that function they are classified in the other function.

- 19.121 Conflict and Stabilization Operations (B)

(371) Mortgage Credit

Includes homeownership and related loan and insurance programs.

- 10.405 Farm Labor Housing Loans and Grants (B,F)
- 10.410 Very Low to Moderate Income Housing Loans (E,F)
- 10.415 Rural Rental Housing Loans (B,E)
- 10.417 Very Low-Income Housing Repair Loans and Grants (B,E)
- 10.446 Rural Community Development Initiative (B)
- 10.447 The Rural Development (RD) Multi-Family Housing Revitalization Demonstration Program (MPR) (B)
- 14.108 Rehabilitation Mortgage Insurance (F)
- 14.110 Manufactured Home Loan Insurance_Financing Purchase of Manufactured Homes as Principal Residences of Borrowers (F)
- 14.117 Mortgage Insurance_Homes (F)
- 14.119 Mortgage Insurance_Homes for Disaster Victims (F)
- 14.123 Mortgage Insurance_Housing in Older, Declining Areas (F)
- 14.129 Mortgage Insurance_Nursing Homes, Intermediate Care Facilities, Board and Care Homes and Assisted Living Facilities (F)
- 14.133 Mortgage Insurance_Purchase of Units in Condominiums (F)
- 14.142 Property Improvement Loan Insurance for Improving All Existing Structures and Building of New Nonresidential Structures (F)
- 14.159 Section 245 Graduated Payment Mortgage Program (F)
- 14.162 Mortgage Insurance_Combination and Manufactured Home Lot Loans (F)
- 14.163 Mortgage Insurance_Single Family Cooperative Housing (F)
- 14.172 Mortgage Insurance_Growing Equity Mortgages (F)
- 14.175 Adjustable Rate Mortgages (F)
- 14.183 Home Equity Conversion Mortgages (F)
- 14.198 Good Neighbor Next Door Sales Program (H)
- 14.311 Single Family Property Disposition (H)
- 14.313 Dollar Home Sales (H)
- 14.324 FHA PowerSaver Home Energy Improvement Pilot Program (F)

- 14.865 Public and Indian Housing_Indian Loan Guarantee Program (F)
- 14.874 Loan Guarantees for Native Hawaiian Housing (F)
- 19.700 General Department of State Assistance (B)

(372) Postal Service

Any net outlays of the postal service included in the budget (or off-budget).

(373) Deposit Insurance

Includes insurance programs protecting depository thrift institutions.

- 44.002 Community Development Revolving Loan Fund Program for Credit Unions (B,E)

(376) Other Advancement of Commerce

This includes loan programs designed to aid specialized forms of business (such as small business) that are not included elsewhere in the functional structure. It also includes the costs of collection and dissemination of economic and demographic statistics (such as census data) and of regulation of business.

- 11.013 Education Quality Award Ambassadorship (B)
- 11.112 Market Development Cooperator Program (B)
- 11.113 ITA Special Projects (B)
- 11.549 State and Local Implementation Grant Program (B)
- 11.558 State Broadband Data and Development Grant Program (B)
- 11.609 Measurement and Engineering Research and Standards (B)
- 11.611 Manufacturing Extension Partnership (B,L)
- 11.616 Technology Innovation Program (TIP) (B)
- 11.617 Congressionally-Identified Projects (B)
- 11.618 National Institute of Standards and Technology Construction Grant Program (B)
- 59.064 Entrepreneurial Development Disaster Assistance (Disaster Relief Appropriations Act) (B,J,K,L)

(400) TRANSPORTATION

This function includes all programs with the primary aim of providing for the transportation of the general public and/or their property, regardless of whether local or national and regardless of the particular mode of transportation. It includes the construction of facilities; purchase of equipment; research, testing, and evaluation; provision of communications directly related to transportation (for example, air traffic control by the FAA); and operating subsidies for transportation facilities (such as mass transit) and industries (such as railroads). Transportation includes regulatory activities directed toward transportation. Excluded from this function are the costs of moving personnel or equipment as part of the operation of other government services; the provision of foreign economic assistance which may take the course of assisting transportation facilities or programs abroad; the construction of roads, trails, etc., as an integral part of the operation of public lands, parks, forests, or military reservations, unless they are specifically funded as a part of a broader transportation program; the construction of roads or other transportation facilities as an integral part of a broad community facility or regional development program where the clear intent of the program is regional development and the provisions of transportation facilities is only an incidental by-product or means to attain the objective of regional development. Also excluded from transportation are research and technology activities devoted to space research, except for aeronautical technology, even though this research may eventually redound to the advantage of general transportation.

(401) Ground Transportation

Outlays to aid and/or regulate the various modes of ground transportation, such as roads and highways, railroads, and urban mass transit.

- 20.200 Highway Research and Development Program (B)
- 20.205 Highway Planning and Construction (A,B)
- 20.215 Highway Training and Education (B,M)
- 20.218 National Motor Carrier Safety (A)
- 20.219 Recreational Trails Program (A)
- 20.223 Transportation Infrastructure Finance and Innovation Act (TIFIA)

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Program (E,F)

- 20.231 Performance and Registration Information Systems Management (B)
- 20.232 Commercial Driver's License Program Improvement Grant (B)
- 20.233 Border Enforcement Grants (B)
- 20.234 Safety Data Improvement Program (B)
- 20.235 Commercial Motor Vehicle Operator Training Grants (B)
- 20.237 Commercial Vehicle Information Systems and Networks (B)
- 20.239 Motor Carrier Research and Technology Programs (B)
- 20.240 Fuel Tax Evasion-Intergovernmental Enforcement Effort (B)
- 20.301 Railroad Safety (B)
- 20.313 Railroad Research and Development (B)
- 20.315 National Railroad Passenger Corporation Grants (B)
- 20.316 Railroad Rehabilitation and Improvement Financing Program (E,F)
- 20.317 Capital Assistance to States - Intercity Passenger Rail Service (B)
- 20.319 High-Speed Rail Corridors and Intercity Passenger Rail Service Capital Assistance Grants (B)
- 20.320 Rail Line Relocation and Improvement (B)
- 20.321 Railroad Safety Technology Grants (B)
- 20.323 Fiscal Year 2013 Hurricane Sandy Disaster Relief Grants to the National Railroad Passenger Corporation (B)
- 20.500 Federal Transit_Capital Investment Grants (A,B)
- 20.505 Metropolitan Transportation Planning (A)
- 20.507 Federal Transit_Formula Grants (A)
- 20.509 Formula Grants for Rural Areas (A)
- 20.513 Enhanced Mobility of Seniors and Individuals with Disabilities (A)
- 20.514 Public Transportation Research (B,L,M)
- 20.515 State Planning and Research (A)
- 20.516 Job Access And Reverse Commute Program (B)
- 20.518 Capital and Training Assistance Program for Over-the-Road Bus Accessibility (B)
- 20.519 Clean Fuels (B)
- 20.521 New Freedom Program (A)
- 20.522 Alternatives Analysis (B)
- 20.524 Passenger Rail Investment and Improvement (PRIIA) Projects for Washington Metropolitan Area Transit Authority (WMATA) (B)
- 20.525 State of Good Repair Grants Program (A)
- 20.526 Bus and Bus Facilities Formula Program (A)
- 20.527 Public Transportation Emergency Relief Program (B)
- 20.528 Rail Fixed Guideway Public Transportation System State Safety Oversight Formula Grant Program (A)
- 20.600 State and Community Highway Safety (A)
- 20.601 Alcohol Impaired Driving Countermeasures Incentive Grants I (B)
- 20.602 Occupant Protection Incentive Grants (B)
- 20.607 Alcohol Open Container Requirements (B)
- 20.608 Minimum Penalties for Repeat Offenders for Driving While Intoxicated (B)
- 20.609 Safety Belt Performance Grants (B)
- 20.610 State Traffic Safety Information System Improvement Grants (B)
- 20.611 Incentive Grant Program to Prohibit Racial Profiling (A)
- 20.612 Incentive Grant Program to Increase Motorcyclist Safety (A)
- 20.613 Child Safety and Child Booster Seats Incentive Grants (B)
- 20.614 National Highway Traffic Safety Administration (NHTSA) Discretionary Safety Grants (B)
- 20.616 National Priority Safety Programs (A)
- 20.701 University Transportation Centers Program (B)
- 20.761 Biobased Transportation Research (B)
- 20.762 Research Grants (B)

(402) Air Transportation

Outlays to aid and/or regulate air transportation, including aeronautical research

conducted by the National Aeronautics and Space Administration.

- 20.106 Airport Improvement Program (B,K)
- 20.108 Aviation Research Grants (B,I)
- 20.109 Air Transportation Centers of Excellence (B,I,J)
- 20.901 Payments for Essential Air Services (C)
- 20.930 Payments for Small Community Air Service Development (B)
- 97.047 Pre-Disaster Mitigation (B)
- 97.056 Port Security Grant Program (B)
- 97.057 Intercity Bus Security Grants (B)
- 97.116 Port Security Grant Program (ARRA) (B)

(403) Water Transportation

Outlays to aid and/or regulate maritime commerce. (To the extent that the data can readily be segregated, this should extend to include inland and deep draft navigation facilities of water resources projects.)

- 20.802 Federal Ship Financing Guarantees (F)
- 20.803 Maritime War Risk Insurance (G)
- 20.806 State Maritime Schools (C,I,M)
- 20.807 U.S. Merchant Marine Academy (M)
- 20.808 Capital Construction Fund (J)
- 20.812 Construction Reserve Fund (J)
- 20.817 Air Emissions and Energy Initiative (B)
- 33.001 Shipping_Dispute Resolution and Investigation of Complaints (N)
- 97.012 Boating Safety Financial Assistance (A)

(407) Other Transportation

Outlays for general transportation programs and overhead not readily allocable into any of the preceding subfunctions.

- 20.520 Paul S. Sarbanes Transit in the Parks (B)
- 20.700 Pipeline Safety Program State Base Grant (A)
- 20.703 Interagency Hazardous Materials Public Sector Training and Planning Grants (B)
- 20.710 Technical Assistance Grants (B)
- 20.720 State Damage Prevention Program Grants (B)
- 20.721 PHMSA Pipeline Safety Program One Call Grant (B)
- 20.723 PHMSA Pipeline Safety Research and Development Other Transaction Agreements (B)
- 20.724 Pipeline Safety Research Competitive Academic Agreement Program (CAAP) (B)
- 20.764 Hydrogen Storage Research and Development (B)
- 20.905 Disadvantaged Business Enterprises_Short Term Lending Program (F)
- 20.910 Assistance to small and disadvantaged businesses (B)
- 20.931 Transportation Planning, Research and Education (B)
- 20.932 Surface Transportation _ Discretionary Grants for Capital Investment (B)
- 20.933 National Infrastructure Investments (B)

(450) COMMUNITY AND REGIONAL DEVELOPMENT

This function consists of broad-based community, regional, urban and rural renewal and development programs. It includes grants and loans for water supply and sewer systems (except those specifically funded as environmental protection programs), urban community and economic development programs, regional economic development programs, broad-based public facility construction programs, and relief and rehabilitation of private and public facilities in the event of natural disasters. Aids to businesses such as loans, loan guarantees, and subsidies are not normally included in this function unless such aids are a means of economic development to aid restricted (generally depressed) areas and are not designed to promote particular lines of business for their own sake. Transportation facilities developed as an integral part of a community development (rather than transportation) program are also included in this function. As a normal rule, human development and services programs are excluded from this function (and included in the education, training,

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employment, and social services function). This function is confined to development of physical facilities or financial infrastructures designed to promote viable community economies.

(451) Community Development

This subfunction includes grants and related programs designed to aid community (largely urban) development. It includes community development block grants and predecessor activities such as the urban renewal and model cities programs. Community development programs are generally carried out by the Department of Housing and Urban Development.

- 10.866 Repowering Assistance (C)
- 10.867 Bioenergy Program for Advanced Biofuels (D)
- 10.868 Rural Energy for America Program (F)
- 14.218 Community Development Block Grants/Entitlement Grants (A)
- 14.225 Community Development Block Grants/Special Purpose Grants/Insular Areas (B)
- 14.228 Community Development Block Grants/State's program and Non-Entitlement Grants in Hawaii (A)
- 14.248 Community Development Block Grants_Section 108 Loan Guarantees (F)
- 14.252 Section 4 Capacity Building for Community Development and Affordable Housing (B)
- 14.259 CPD's Transformation Initiative Technical Assistance (B)
- 14.269 Hurricane Sandy Community Development Block Grant Disaster Recovery Grants (CDBG-DR) (A)
- 14.535 Transformation Initiative: Rental Assistance Demonstration Small Research Grant Program (B)
- 14.705 Capacity Building for Sustainable Communities (B)
- 14.862 Indian Community Development Block Grant Program (B)
- 14.913 Healthy Homes Production Program (B)
- 21.010 Financial Education and Counseling Pilot Program (B)
- 21.011 Capital Magnet Fund (B)
- 21.012 Native Initiatives (B)
- 21.014 Community Development Financial Institutions Bond Guarantee Program (F)
- 21.020 Community Development Financial Institutions Program (B)
- 21.021 Bank Enterprise Award Program (B)

(452) Area and Regional Development

Grants, loans, subsidies, and related aids to economic development or depressed areas that are generally either primarily for rural areas or else are more regional in nature or purpose than the community development programs. Area and regional development programs are generally carried out by agencies other than the Department of Housing and Urban Development, such as the Departments of Agriculture, Commerce, and Interior.

- 10.350 Technical Assistance to Cooperatives (J,K,L,M)
- 10.352 Value-Added Producer Grants (B)
- 10.760 Water and Waste Disposal Systems for Rural Communities (B,E,F)
- 10.761 Technical Assistance and Training Grants (B)
- 10.762 Solid Waste Management Grants (B)
- 10.763 Emergency Community Water Assistance Grants (B)
- 10.766 Community Facilities Loans and Grants (B,E,F)
- 10.767 Intermediary Relending Program (E)
- 10.768 Business and Industry Loans (F)
- 10.769 Rural Business Enterprise Grants (B)
- 10.770 Water and Waste Disposal Loans and Grants (Section 306C) (B,E)
- 10.771 Rural Cooperative Development Grants (B)
- 10.773 Rural Business Opportunity Grants (B)
- 10.778 Research on the Economic Impact of Cooperatives (C)
- 10.781 Water and Waste Disposal Systems for Rural Communities - ARRA (B,E)

- 10.782 Appropriate Technology Transfer for Rural Areas (B)
- 10.854 Rural Economic Development Loans and Grants (B,E)
- 10.855 Distance Learning and Telemedicine Loans and Grants (B,E)
- 10.856 1890 Land Grant Institutions Rural Entrepreneurial Outreach Program (C)
- 10.857 State Bulk Fuel Revolving Fund Grants (B)
- 10.858 Denali Commission Grants and Loans (B)
- 10.859 Assistance to High Energy Cost Rural Communities (B)
- 10.861 Public Television Station Digital Transition Grant Program (B)
- 10.862 Household Water Well System Grant Program (B)
- 10.863 Community Connect Grant Program (B)
- 10.864 Grant Program to Establish a Fund for Financing Water and Wastewater Projects (B)
- 10.865 Biorefinery Assistance (F)
- 10.871 Small Socially- Disadvantaged Producer Grants (B)
- 10.874 Delta Health Care Services Grant Program (B)
- 10.886 Rural Broadband Access Loans and Loan Guarantees (E,F)
- 11.010 Community Trade Adjustment Assistance (B)
- 11.300 Investments for Public Works and Economic Development Facilities (B)
- 11.302 Economic Development_Support for Planning Organizations (B)
- 11.303 Economic Development_Technical Assistance (B)
- 11.307 Economic Adjustment Assistance (B)
- 11.312 Research and Evaluation Program (B)
- 11.313 Trade Adjustment Assistance for Firms (B)
- 15.033 Road Maintenance_Indian Roads (C)
- 15.152 Land Buy-Back Program For Tribal Nations (B)
- 21.015 Resources and Ecosystems Sustainability, Tourist Opportunities, and Revived Economies of the Gulf Coast States (A)

(453) Disaster Relief and Insurance

This subfunction includes those Federal programs uniquely devoted to assist communities to recover from natural disasters and assist families on an emergency basis when they are adversely affected by such disasters.

- 10.054 Emergency Conservation Program (C)
- 10.102 Emergency Forest Restoration Program (D)
- 10.105 Disaster Relief Appropriations Act, Emergency Conservation Program (C)
- 10.106 Disaster Relief Appropriations Act, Emergency Forest Restoration Program (C,D)
- 59.063 Disaster Assistance Loans (Disaster Relief Appropriations Act) (E)
- 97.022 Flood Insurance (G)
- 97.023 Community Assistance Program State Support Services Element (CAP-SSSE) (B)
- 97.029 Flood Mitigation Assistance (B)
- 97.030 Community Disaster Loans (E)
- 97.031 Cora Brown Fund (C)
- 97.032 Crisis Counseling (B)
- 97.033 Disaster Legal Services (C)
- 97.034 Disaster Unemployment Assistance (B)
- 97.036 Disaster Grants - Public Assistance (Presidentially Declared Disasters) (B)
- 97.041 National Dam Safety Program (B)
- 97.045 Cooperating Technical Partners (B)
- 97.046 Fire Management Assistance Grant (B,J)
- 97.048 Federal Disaster Assistance to Individuals and Households in Presidential Declared Disaster Areas (B,C)
- 97.049 Presidential Declared Disaster Assistance - Disaster Housing Operations for Individuals and Households (C,J)
- 97.050 Presidential Declared Disaster Assistance to Individuals and

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- Households - Other Needs (C)
- 97.082 Earthquake Consortium (B)
- 97.092 Repetitive Flood Claims (B)
- 97.109 Disaster Housing Assistance Grant (B)
- 97.110 Severe Repetitive Loss Program (B)
- 97.122 Bio-Preparedness Collaboratory (B)
- 97.126 National Special Security Event (B)

(500) EDUCATION, TRAINING, EMPLOYMENT, AND SOCIAL SERVICES

This function includes those outlays designed to promote the general extension of knowledge and skills, to provide public services to needy individuals, and/or to assist individuals to become self-supporting members of society. It includes the provision of education or training child care services, employment counselling, vocational rehabilitation, and other social services provided to individuals and families. It also includes cash payments (scholarships, loans, or stipends) to persons when such payments are provided to enable a person to participate in education or training programs; it includes programs designed to provide services to the poor (such as community services) that are not an integral part of some other function, and also includes the costs of research directly related to the programs in this function. This function excludes education or training undertaken as an integral part of the achievement of another functional end (such as training of military personnel; veterans education, training, and rehabilitation; or training of health workers in a health program) and also excludes general purpose scientific research. Nutrition or food service programs that are funded separately from social services or education shall be included as income security rather than being included in this function.

- 84.323 Special Education - State Personnel Development (B)
- 84.324 Research in Special Education (B)
- 84.329 Special Education_Studies and Evaluations (B)

(501) Elementary, Secondary, and Vocational Education

This includes pre-school, elementary, secondary and vocational education programs.

- 15.027 Assistance to Tribally Controlled Community Colleges and Universities (B)
- 15.028 Tribally Controlled Community College Endowments (B)
- 15.042 Indian School Equalization Program (C)
- 15.043 Indian Child and Family Education (B,M)
- 15.044 Indian Schools_Student Transportation (C)
- 15.046 Administrative Cost Grants for Indian Schools (B)
- 15.047 Indian Education Facilities, Operations, and Maintenance (C)
- 15.058 Indian Post Secondary Schools (M)
- 15.059 Indian Graduate Student Scholarships (B)
- 15.149 FOCUS on Student Achievement Project (B)
- 15.151 Education Program Enhancements (B)
- 81.022 Laboratory Equipment Donation Program (B)
- 84.002 Adult Education - Basic Grants to States (A)
- 84.004 Civil Rights Training and Advisory Services (B)
- 84.010 Title I Grants to Local Educational Agencies (A)
- 84.011 Migrant Education_State Grant Program (A)
- 84.013 Title I State Agency Program for Neglected and Delinquent Children and Youth (A)
- 84.027 Special Education_Grants to States (A)
- 84.040 Impact Aid_Facilities Maintenance (B)
- 84.041 Impact Aid (A,B)
- 84.048 Career and Technical Education -- Basic Grants to States (A)
- 84.051 Career and Technical Education -- National Programs (B)
- 84.060 Indian Education_Grants to Local Educational Agencies (A)
- 84.101 Career and Technical Education - Grants to Native Americans and Alaska Natives (B)

- 84.141 Migrant Education_High School Equivalency Program (B)
- 84.144 Migrant Education_Coordination Program (B)
- 84.149 Migrant Education_College Assistance Migrant Program (B)
- 84.165 Magnet Schools Assistance (B)
- 84.173 Special Education_Preschool Grants (A)
- 84.181 Special Education-Grants for Infants and Families (A)
- 84.184 Safe and Drug-Free Schools and Communities_National Programs (B)
- 84.191 Adult Education_National Leadership Activities (B,C)
- 84.196 Education for Homeless Children and Youth (A)
- 84.215 Fund for the Improvement of Education (B)
- 84.245 Tribally Controlled Postsecondary Career and Technical Institutions (B)
- 84.256 Territories and Freely Associated States Education Grant Program (B)
- 84.259 Native Hawaiian Career and Technical Education (B)
- 84.282 Charter Schools (B)
- 84.283 Comprehensive Centers (B)
- 84.287 Twenty-First Century Community Learning Centers (A)
- 84.295 Ready-To-Learn Television (B)
- 84.299 Indian Education -- Special Programs for Indian Children (B)
- 84.325 Special Education - Personnel Development to Improve Services and Results for Children with Disabilities (B)
- 84.326 Special Education_Technical Assistance and Dissemination to Improve Services and Results for Children with Disabilities (B)
- 84.327 Special Education_Educational Technology Media, and Materials for Individuals with Disabilities (B)
- 84.328 Special Education_Parent Information Centers (B)
- 84.330 Advanced Placement Program (Advanced Placement Test Fee; Advanced Placement Incentive Program Grants) (B)
- 84.336 Teacher Quality Partnership Grants (B)
- 84.350 Transition to Teaching (B)
- 84.351 Arts in Education (B)
- 84.354 Credit Enhancement for Charter School Facilities (B)
- 84.356 Alaska Native Educational Programs (B)
- 84.358 Rural Education (A)
- 84.360 High School Graduation Initiative (B)
- 84.362 Native Hawaiian Education (B)
- 84.363 School Leadership (B)
- 84.365 English Language Acquisition State Grants (A)
- 84.366 Mathematics and Science Partnerships (A)
- 84.367 Improving Teacher Quality State Grants (A)
- 84.368 Grants for Enhanced Assessment Instruments (B)
- 84.369 Grants for State Assessments and Related Activities (A)
- 84.370 DC School Choice Incentive Program (B)
- 84.371 Striving Readers (A,B)
- 84.373 Special Education_Technical Assistance on State Data Collection (B)
- 84.374 Teacher Incentive Fund (B)
- 84.377 School Improvement Grants (A)
- 84.380 Special Education -- Olympic Education Programs (B)
- 84.403 Consolidated Grant to the Outlying Areas (A)
- 84.411 Investing in Innovation (i3) Fund (B)
- 84.412 Race to the Top Early Learning Challenge (B)
- 84.415 State Tribal Education Partnership (STEP) (B)
- 84.416 Race to the Top - District Grants (B)
- 84.418 Promoting Readiness of Minors in Supplemental Security Income (B)

(502) Higher Education

This includes postsecondary, college level and graduate school education programs.

- 84.007 Federal Supplemental Educational Opportunity Grants (C)

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- 84.015 National Resource Centers Program for Foreign Language and Area Studies or Foreign Language and International Studies Program and Foreign Language and Area Studies Fellowship Program (B)
- 84.016 Undergraduate International Studies and Foreign Language Programs (B)
- 84.017 International Research and Studies (B)
- 84.018 Overseas Programs_Special Bilateral Projects (B)
- 84.021 Overseas Programs - Group Projects Abroad (B)
- 84.022 Overseas Programs - Doctoral Dissertation Research Abroad (B)
- 84.031 Higher Education_Institutional Aid (B)
- 84.033 Federal Work-Study Program (C)
- 84.042 TRIO_Student Support Services (B)
- 84.044 TRIO_Talent Search (B)
- 84.047 TRIO_Upward Bound (B)
- 84.063 Federal Pell Grant Program (C)
- 84.066 TRIO_Educational Opportunity Centers (B)
- 84.103 TRIO Staff Training Program (B)
- 84.116 Fund for the Improvement of Postsecondary Education (B)
- 84.120 Minority Science and Engineering Improvement (B)
- 84.200 Graduate Assistance in Areas of National Need (B)
- 84.217 TRIO_McNair Post-Baccalaureate Achievement (B)
- 84.220 Centers for International Business Education (B)
- 84.229 Language Resource Centers (B)
- 84.268 Federal Direct Student Loans (E)
- 84.274 American Overseas Research Centers (B)
- 84.334 Gaining Early Awareness and Readiness for Undergraduate Programs (B)
- 84.335 Child Care Access Means Parents in School (B)
- 84.336 Teacher Quality Partnership Grants (B)
- 84.378 College Access Challenge Grant Program (A)
- 84.379 Teacher Education Assistance for College and Higher Education Grants (TEACH Grants) (C)
- 84.382 Strengthening Minority-Serving Institutions (B)
- 84.407 Transition Programs for Students with Intellectual Disabilities into Higher Education (B)
- 84.408 Postsecondary Education Scholarships for Veteran's Dependents (C)
- 84.417 Directed Grants and Awards (C)
- 85.102 Christopher Columbus Awards (B)
- 85.104 Life Sciences Awards (D)
- 85.105 Agriscience Awards (D)
- 85.400 Morris K. Udall Scholarship Program (C)
- 85.402 Morris K. Udall Native American Congressional Internship Program (C)

(503) Research and General Education Aids

This includes assistance for the arts, the humanities, educational radio and television, public libraries, and museums.

- 12.440 Dissertation Year Fellowship (B)
- 45.024 Promotion of the Arts_Grants to Organizations and Individuals (B)
- 45.025 Promotion of the Arts_Partnership Agreements (B,K)
- 45.129 Promotion of the Humanities_Federal/State Partnership (A)
- 45.130 Promotion of the Humanities_Challenge Grants (B)
- 45.149 Promotion of the Humanities_Division of Preservation and Access (B)
- 45.160 Promotion of the Humanities_Fellowships and Stipends (B)
- 45.161 Promotion of the Humanities_Research (B)
- 45.164 Promotion of the Humanities_Public Programs (B)
- 45.201 Arts and Artifacts Indemnity (G)
- 45.301 Museums for America (B,C)
- 45.308 Native American/Native Hawaiian Museum Services Program (B,C)
- 45.309 Museum Grants for African American History and Culture (B,C)

- 45.310 Grants to States (A)
- 45.311 Native American and Native Hawaiian Library Services (B,C)
- 45.312 National Leadership Grants (B,C)
- 45.313 Laura Bush 21st Century Librarian Program (B,C)
- 84.145 Federal Real Property Assistance Program (H)
- 84.305 Education Research, Development and Dissemination (B)
- 84.372 Statewide Data Systems (B)
- 84.414 Graduate Research Opportunities for Minority Students (Minorities and Retirement Security Program) (B)

(504) Training and Employment

This includes programs of job or skill training, employment services and placement, and payments to employers to subsidize employment of marginal, unemployed, or low income workers.

- 17.201 Registered Apprenticeship (B,L,M)
- 17.235 Senior Community Service Employment Program (A,B)
- 17.258 WIA Adult Program (A)
- 17.259 WIA Youth Activities (A)
- 17.261 WIA Pilots, Demonstrations, and Research Projects (B)
- 17.264 National Farmworker Jobs Program (A)
- 17.265 Native American Employment and Training (A)
- 17.267 Incentive Grants - WIA Section 503 (B)
- 17.268 H-1B Job Training Grants (B)
- 17.270 Reintegration of Ex-Offenders (B)
- 17.274 Youthbuild (B)
- 17.275 Program of Competitive Grants for Worker Training and Placement in High Growth and Emerging Industry Sectors (B)
- 17.276 Health Care Tax Credit (HCTC) National Emergency Grants (NEGs) (B)
- 17.277 Workforce Investment Act (WIA) National Emergency Grants (B)
- 17.278 WIA Dislocated Worker Formula Grants (A)
- 17.279 Green Jobs Innovation Fund Grants (B)
- 17.280 Workforce Investment Act (WIA) Dislocated Worker National Reserve Demonstration Grants (B)
- 17.281 Workforce Investment Act (WIA) Dislocated Worker National Reserve Technical Assistance and Training (B)
- 17.282 Trade Adjustment Assistance Community College and Career Training (TAACCCT) Grants (B)
- 17.283 Workforce Innovation Fund (B)
- 17.284 Hurricane Sandy Disaster Relief Appropriations Act Supplemental - National Emergency Grants (NEGs) (B)

(505) Other Labor Services

This includes aids to or regulation of the labor market (including gathering labor statistics and mediation and conciliation services) other than employment and training programs, and other than occupational safety and health programs.

- 17.309 Labor Organization Reports (K,L,N)
- 17.720 Disability Employment Policy Development (B)
- 34.002 Labor Management Cooperation (B)

(506) Social Services

This is composed of two forms of activities: (a) programs involving the provision of a broad range of services to individuals to assist them to improve their vocational capabilities (vocational rehabilitation) or family status, and (b) provision of services to the poor and elderly that are not primarily for income support and that are not an integral part of some other function (for example, community action programs).

- 84.126 Rehabilitation Services_Vocational Rehabilitation Grants to States (A)
- 84.128 Rehabilitation Services_Service Projects (B)
- 84.129 Rehabilitation Long-Term Training (B)
- 84.132 Centers for Independent Living (B)

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84.133	National Institute on Disability and Rehabilitation Research (B)	Childhood Home Visiting Program (B)
84.160	Training Interpreters for Individuals who are Deaf and Individuals who are Deaf-Blind (B)	93.517 Affordable Care Act - Aging and Disability Resource Center (B)
84.161	Rehabilitation Services_Client Assistance Program (A)	93.518 Affordable Care Act - Medicare Improvements for Patients and Providers (A,B)
84.169	Independent Living_State Grants (A)	93.550 Transitional Living for Homeless Youth (B)
84.177	Rehabilitation Services_Independent Living Services for Older Individuals Who are Blind (B)	93.551 Abandoned Infants (B)
84.187	Supported Employment Services for Individuals with the Most Significant Disabilities (A)	93.556 Promoting Safe and Stable Families (A,B)
84.224	Assistive Technology (A)	93.557 Education and Prevention Grants to Reduce Sexual Abuse of Runaway, Homeless and Street Youth (B)
84.235	Rehabilitation Services Demonstration and Training Programs (B)	93.569 Community Services Block Grant (A)
84.240	Program of Protection and Advocacy of Individual Rights (A)	93.570 Community Services Block Grant_Discretionary Awards (B)
84.246	Rehabilitation Short-Term Training (B)	93.581 Improving the Capability of Indian Tribal Governments to Regulate Environmental Quality (B)
84.250	Rehabilitation Services_American Indians with Disabilities (B)	93.586 State Court Improvement Program (A)
84.264	Rehabilitation Training_Continuing Education (B)	93.587 Promote the Survival and Continuing Vitality of Native American Languages (B)
84.265	Rehabilitation Training_State Vocational Rehabilitation Unit In-Service Training (B)	93.590 Community-Based Child Abuse Prevention Grants (A)
84.275	Rehabilitation Training_General Training (B)	93.591 Family Violence Prevention and Services/Battered Women's Shelters_Grants to State Domestic Violence Coalitions (A)
84.315	Capacity Building for Traditionally Underserved Populations (B)	93.592 Family Violence Prevention and Services/Battered Women's Shelters_Discretionary Grants (B)
84.343	Assistive Technology_State Grants for Protection and Advocacy (A)	93.593 Job Opportunities for Low-Income Individuals (B)
93.009	Compassion Capital Fund (B)	93.599 Chafee Education and Training Vouchers Program (ETV) (A)
93.010	Community-Based Abstinence Education (CBAE) (B)	93.600 Head Start (B)
93.041	Special Programs for the Aging_Title VII, Chapter 3_Programs for Prevention of Elder Abuse, Neglect, and Exploitation (A)	93.602 Assets for Independence Demonstration Program (B)
93.042	Special Programs for the Aging_Title VII, Chapter 2_Long Term Care Ombudsman Services for Older Individuals (A)	93.603 Adoption Incentive Payments (A)
93.043	Special Programs for the Aging_Title III, Part D_Disease Prevention and Health Promotion Services (A)	93.612 Native American Programs (B)
93.044	Special Programs for the Aging_Title III, Part B_Grants for Supportive Services and Senior Centers (A)	93.613 President's Committee for People with Intellectual Disabilities (PCPID) (L)
93.045	Special Programs for the Aging_Title III, Part C_Nutrition Services (A)	93.616 Mentoring Children of Prisoners (B)
93.047	Special Programs for the Aging_Title VI, Part A, Grants to Indian Tribes_Part B, Grants to Native Hawaiians (B)	93.617 Voting Access for Individuals with Disabilities_Grants to States (A)
93.048	Special Programs for the Aging_Title IV_and Title II_Discretionary Projects (B)	93.618 Voting Access for Individuals with Disabilities-Grants for Protection and Advocacy Systems (A)
93.051	Alzheimer's Disease Demonstration Grants to States (B)	93.623 Basic Center Grant (B)
93.052	National Family Caregiver Support, Title III, Part E (A)	93.630 Developmental Disabilities Basic Support and Advocacy Grants (A)
93.053	Nutrition Services Incentive Program (A)	93.631 Developmental Disabilities Projects of National Significance (B)
93.054	National Family Caregiver Support, Title VI, Part C, Grants To Indian Tribes And Native Hawaiians (B)	93.632 University Centers for Excellence in Developmental Disabilities Education, Research, and Service (B)
93.060	Competitive Abstinence Education (CAE) (B)	93.645 Stephanie Tubbs Jones Child Welfare Services Program (A)
93.071	Medicare Enrollment Assistance Program (A,B)	93.647 Social Services Research and Demonstration (B)
93.072	Lifespan Respite Care Program (B)	93.648 Child Welfare Research Training or Demonstration (B)
93.075	Systems Interoperability_Health and Human Services (B)	93.652 Adoption Opportunities (B)
93.076	TANF Program Integrity Innovation Grants (B)	93.667 Social Services Block Grant (A)
93.086	Healthy Marriage Promotion and Responsible Fatherhood Grants (B,L)	93.669 Child Abuse and Neglect State Grants (A)
93.087	Enhance Safety of Children Affected by Substance Abuse (B)	93.670 Child Abuse and Neglect Discretionary Activities (B)
93.091	Social Services and Income Maintenance Benefits Enrollment Coordination Grants (B)	93.671 Family Violence Prevention and Services/Battered Women's Shelters_Grants to States and Indian Tribes (A)
93.092	Affordable Care Act (ACA) Personal Responsibility Education Program (A,B)	93.676 Unaccompanied Alien Children Program (B)
93.093	Affordable Care Act (ACA) Health Profession Opportunity Grants (B)	93.706 Aging Nutrition Services for Native Americans (B)
93.095	HHS Programs for Disaster Relief Appropriations Act - Non Construction (A,B)	93.708 ARRA - Head Start (B)
93.235	Affordable Care Act (ACA) Abstinence Education Program (A)	93.709 ARRA - Early Head Start (B)
93.254	Infant Adoption Awareness Training (B)	93.710 ARRA - Community Services Block Grant (A)
93.293	Supporting Permanent Placements of Foster Care Children Through Electronic Records Exchange (B)	93.711 ARRA Strengthening Communities Fund (B)
93.508	Affordable Care Act (ACA) Tribal Maternal, Infant, and Early Childhood Home Visiting Program (B)	93.714 ARRA Emergency Contingency Fund for Temporary Assistance for Needy Families (TANF) State Program (A)
		93.716 ARRA Temporary Assistance for Needy Families (TANF) Supplemental Grants (A)
		93.725 ARRA - Communities Putting Prevention to Work: Chronic Disease Self-Management Program (B)
		93.734 Empowering Older Adults and Adults with Disabilities through

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Chronic Disease Self-Management Education Programs financed by 2012 Prevention and Public Health Funds (PPHF-2012) (B)
93.747 Elder Abuse Prevention Interventions Program (B)

(550) HEALTH

This function includes those programs that have as their basic purpose the promotion of the physical and mental health of the nation's population, including the prevention of illness and accidents. It includes planning, financing, and provision of medical care; research into the causes and cure of disease; the financing of specialized programs for training of health workers and researchers; and promotion of consumer and occupational health and safety. Excluded from the health function are Federal health care costs that are an integral part of another function, such as Medicare and medical care for military personnel and for veterans. General scientific research that has medical applications (such as that conducted by the National Science Foundation) and health programs financed through foreign assistance programs are also excluded from the health function.

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| <p>93.011 National Organizations of State and Local Officials (B)
93.055 PPHF 2012-Applied Leadership for Community Health Improvement (B)
93.056 Initiative to Educate State and Territorial Officials about Maintaining and Strengthening Public Health in a Changing Environment (B)
93.059 Training in General, Pediatric, and Public Health Dentistry (B)
93.061 Innovations in Applied Public Health Research (B)
93.062 Biomonitoring Programs for State Public Health Laboratories (B)
93.064 Laboratory Training, Evaluation, and Quality Assurance Programs (B)
93.065 Laboratory Leadership, Workforce Training and Management Development, Improving Public Health Laboratory Infrastructure (B)
93.066 State Vital Statistics Improvement Program (B)
93.067 Global AIDS (B)
93.069 Public Health Emergency Preparedness (B)
93.070 Environmental Public Health and Emergency Response (B)
93.073 Birth Defects and Developmental Disabilities - Prevention and Surveillance (B)
93.074 Hospital Preparedness Program (HPP) and Public Health Emergency Preparedness (PHEP) Aligned Cooperative Agreements (A)
93.079 Cooperative Agreements to Promote Adolescent Health through School-Based HIV/STD Prevention and School-Based Surveillance (B)
93.080 Blood Disorder Program: Prevention, Surveillance, and Research (B)
93.082 Sodium Reduction in Communities (B)
93.083 Prevention of Disease, Disability, and Death through Immunization and Control of Respiratory and Related Diseases (B)
93.084 Prevention of Disease, Disability, and Death by Infectious Diseases (B)
93.088 Advancing System Improvements to Support Targets for Healthy People 2010 (B)
93.094 Well-Integrated Screening and Evaluation for Women Across the Nation (B)
93.099 Collaboration with the World Health Organization and its regional offices for global health security and the International Health Regulations (IHR 2005) (B)
93.107 Area Health Education Centers Point of Service Maintenance and Enhancement Awards (B)
93.110 Maternal and Child Health Federal Consolidated Programs (B)
93.116 Project Grants and Cooperative Agreements for Tuberculosis Control Programs (B)
93.118 Acquired Immunodeficiency Syndrome (AIDS) Activity (B)
93.121 Oral Diseases and Disorders Research (B)
93.124 Nurse Anesthetist Traineeships (A)
93.127 Emergency Medical Services for Children (B)</p> | <p>93.129 Technical and Non-Financial Assistance to Health Centers (B)
93.130 Cooperative Agreements to States/Territories for the Coordination and Development of Primary Care Offices (B)
93.134 Grants to Increase Organ Donations (B)
93.135 Centers for Research and Demonstration for Health Promotion and Disease Prevention (B)
93.145 AIDS Education and Training Centers (B)
93.153 Coordinated Services and Access to Research for Women, Infants, Children, and Youth (B)
93.155 Rural Health Research Centers (B)
93.156 Geriatric Training for Physicians, Dentists and Behavioral/Mental Health Professionals (B,M)
93.157 Centers of Excellence (B)
93.162 National Health Service Corps Loan Repayment Program (C)
93.165 Grants to States for Loan Repayment Program (B,C)
93.178 Nursing Workforce Diversity (B)
93.184 Disabilities Prevention (B)
93.185 Immunization Research, Demonstration, Public Information and Education_Training and Clinical Skills Improvement Projects (B)
93.186 National Research Service Award in Primary Care Medicine (B)
93.191 Graduate Psychology Education Program and Patient Navigator and Chronic Disease Prevention Program (B)
93.197 Childhood Lead Poisoning Prevention Projects_State and Local Childhood Lead Poisoning Prevention and Surveillance of Blood Lead Levels in Children (B)
93.211 Telehealth Programs (B)
93.212 Chiropractic Demonstration Project Grants (B)
93.217 Family Planning_Services (B)
93.223 Development and Coordination of Rural Health Services (B)
93.224 Consolidated Health Centers (Community Health Centers, Migrant Health Centers, Health Care for the Homeless, and Public Housing Primary Care) (B)
93.234 Traumatic Brain Injury State Demonstration Grant Program (B)
93.236 Grants to States to Support Oral Health Workforce Activities (B)
93.241 State Rural Hospital Flexibility Program (A,B)
93.247 Advanced Nursing Education Grant Program (B)
93.250 Geriatric Academic Career Awards (B)
93.251 Universal Newborn Hearing Screening (B)
93.253 Poison Center Support and Enhancement Grant Program (B)
93.255 Children's Hospitals Graduate Medical Education Payment Program (C)
93.256 State Health Access Program (B)
93.257 Grants for Education, Prevention, and Early Detection of Radiogenic Cancers and Diseases (B)
93.259 Rural Access to Emergency Devices Grant and Public Access to Defibrillation Demonstration Grant (B)
93.260 Family Planning_Personnel Training (B)
93.261 National Diabetes Prevention Program: Preventing Type 2 Diabetes Among People at High Risk (B)
93.262 Occupational Safety and Health Program (B,M)
93.264 Nurse Faculty Loan Program (NFLP) (A)
93.265 Comprehensive Geriatric Education Program(CGEP) (B)
93.266 Health Systems Strengthening and HIV/AIDS Prevention, Care and Treatment under the President's Emergency Plan for AIDS Relief (B)
93.267 State Grants for Protection and Advocacy Services (A)
93.268 Immunization Cooperative Agreements (B)
93.269 Complex Humanitarian Emergency and War-Related Injury Public Health Activities (B)
93.270 Adult Viral Hepatitis Prevention and Control (B)
93.283 Centers for Disease Control and Prevention_Investigations and</p> |
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Technical Assistance (B)	93.523	The Affordable Care Act: Human Immunodeficiency Virus (HIV) Prevention and Public Health Fund Activities (B)
93.288 National Health Service Corps Scholarship Program (C)	93.526	Affordable Care Act (ACA) Grants for Capital Development in Health Centers (B)
93.292 National Public Health Improvement Initiative (A)	93.527	Affordable Care Act (ACA) Grants for New and Expanded Services under the Health Center Program (B)
93.300 National Center for Health Workforce Analysis (B)	93.528	National Forum for State and Territorial Chief Executives (B)
93.301 Small Rural Hospital Improvement Grant Program (B)	93.530	Affordable Care Act - Teaching Health Center Graduate Medical Education Payments Program (A)
93.303 NURSE Corps Scholarship Program (C)	93.531	PPHF 2012: Community Transformation Grants and National Dissemination and Support for Community Transformation Grants - financed solely by 2012 Preventinon and Public Health Funds (B)
93.304 Racial and Ethnic Approaches to Community Health (B)	93.535	Affordable Care Act (ACA) Childhood Obesity Research Demonstration (B)
93.338 Foreign Public Health Construction (B)	93.541	The Patient Protection and Affordable Care Act of 2010 (ACA) (B)
93.339 Public Health Conference Support (B)	93.544	The Patient Protection and Affordable Care Act of 2010 (Affordable Care Act) authorizes Coordinated Chronic Disease prevention and Health Promotion Program (B)
93.342 Health Professions Student Loans, Including Primary Care Loans/Loans for Disadvantaged Students (B)	93.547	Affordable Care Act National Health Service Corps (B,C)
93.359 Nurse Education, Practice Quality and Retention Grants (B)	93.549	Affordable Care Act (ACA) The Primary Care Services Resource Coordination and Development Program (B)
93.364 Nursing Student Loans (E)	93.606	Affordable Care Act - Preparedness and Emergency Response Learning Centers (B)
93.365 Sickle Cell Treatment Demonstration Program (B)	93.607	Affordable Care Act - Preparedness and Emergency Response Research Centers: A Public Health Systems Approach (B)
93.393 Cancer Cause and Prevention Research (B)	93.609	The Affordable Care Act Medicaid Adult Quality Grants (B)
93.394 Cancer Detection and Diagnosis Research (B)	93.627	Affordable Care Act: Testing Experience and Functional Assessment Tools (B)
93.395 Cancer Treatment Research (B)	93.703	ARRA Grants to Health Center Programs (B)
93.396 Cancer Biology Research (B)	93.723	ARRA - Prevention and Wellness-State, Territories and Pacific Islands (B)
93.397 Cancer Centers Support Grants (B)	93.724	ARRA - Prevention and Wellness Communities Putting Prevention to Work Funding Opportunities Announcement (FOA) (B)
93.398 Cancer Research Manpower (B)	93.737	PPHF 2012: Community Transformation Grants -Small Communities Program financed solely by 2012 Public Prevention and Health Funds (B)
93.399 Cancer Control (B)	93.738	PPHF 2012: Racial and Ethnic Approaches to Community Health Program financed solely by 2012 Public Prevention and Health Funds (B)
93.400 National Health Service Corps Scholarship Program (C)	93.739	PPHF2012: Chronic disease Inovation Grants - financed soley by 2012 Public Prevention Health Funds (B)
93.401 ARRA - National Health Service Corps Loan Repayment (C)	93.741	Breastfeeding Promotion and Support Improving Maternity Care Practices project financed solely by 2012 Public Prevention and Health Funds (B)
93.402 ARRA - State Loan Repayment Program (B,C)	93.742	PPHF 2012: Early Childcare and Education Obesity Prevention Program - Obesity Prevention in Young Children - financed solely by 2012 Public Prevention and Health Funds (B)
93.403 ARRA Grants for Training in Primary Care Medicine and Dentistry Training and Enhancement (B)	93.743	Racial and Ethnic Approaches to Community Health: Obesity and Hypertension Demonstration Projects financed solely by 2012 Prevention and Public Health Funds (B)
93.404 ARRA Dental Public Health Residency Training Grants (B)	93.744	PPHF 2012: Breast and Cervical Cancer Screening Opportunities for States, Tribes and Territories solely financed by 2012 Prevention and Public Health Funds (B)
93.406 NURSE Corps Loan Repayment Program (C)	93.751	PPHF2013: Consortium for Tobacco Use Cessation Technical Assistance financed by solely by 2013 Prevention and Public Health Funds (B)
93.409 Faculty Loan Repayment Programs (C)	93.777	State Survey and Certification of Health Care Providers and Suppliers (Title XVIII) Medicare (A)
93.414 ARRA - State Primary Care Offices (B)	93.779	Centers for Medicare and Medicaid Services (CMS) Research, Demonstrations and Evaluations (B)
93.415 ARRA - Centers of Excellence (B)	93.796	State Survey Certification of Health Care Providers and Suppliers
93.420 ARRA - Community Health Applied Research Network (B,C)		
93.440 National Project Management of the Healthcare Communities Knowledge Gateway (B)		
93.502 Affordable Care Act (ACA) Infrastructure to Expand Access to Care (B)		
93.503 Affordable Care Act (ACA) Nursing Assistant and Home Health Aide Program (B)		
93.504 Affordable Care Act (ACA) Family to Family Health Information Centers (B)		
93.505 Affordable Care Act (ACA) Maternal, Infant, and Early Childhood Home Visiting Program (A,B)		
93.506 ACA Nationwide Program for National and State Background Checks for Direct Patient Access Employees of Long Term Care Facilities and Providers (B)		
93.510 Affordable Care Act (ACA) Primary Care Residency Expansion Program (B)		
93.512 Affordable Care Act (ACA) Personal and Home Care Aide State Training Program (PHCAST) (B)		
93.513 Affordable Care Act (ACA) Advanced Nursing Education Expansion Initiative (B)		
93.514 Affordable Care Act (ACA) Expansion of Physician Assistant Training Program (B)		
93.515 Affordable Care Act (ACA) Nurse-Managed Health Clinics (B)		
93.520 Centers for Disease Control and Prevention Affordable Care Act (ACA) Communities Putting Prevention to Work (B)		
93.521 The Affordable Care Act: Building Epidemiology, Laboratory, and Health Information Systems Capacity in the Epidemiology and Laboratory Capacity for Infectious Disease (ELC) and Emerging Infections Program (EIP) Cooperative Agreements;PPHF (B)		

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(Title XIX) Medicaid (A)	individuals and families whether such services are provided directly by the Federal Government or financed through grants, contracts, insurance, or reimbursements.
93.822 Health Careers Opportunity Program (B)	12.350 Department of Defense HIV/AIDS Prevention Program (B)
93.824 Area Health Education Centers Infrastructure Development Awards (B)	15.252 Abandoned Mine Land Reclamation (AMLR) Program (A,B)
93.884 Grants for Primary Care Training and Enhancement (B)	93.003 Public Health and Social Services Emergency Fund (B)
93.887 Health Care and Other Facilities (B)	93.004 Cooperative Agreements to Improve the Health Status of Minority Populations (B)
93.888 Specially Selected Health Projects (B)	93.006 State and Territorial and Technical Assistance Capacity Development Minority HIV/AIDS Demonstration Program (B)
93.908 Nurse Corps Loan Repayment Program (C)	93.007 Public Awareness Campaigns on Embryo Adoption (B)
93.912 Rural Health Care Services Outreach, Rural Health Network Development and Small Health Care Provider Quality Improvement Program (B)	93.008 Medical Reserve Corps Small Grant Program (B)
93.913 Grants to States for Operation of Offices of Rural Health (B)	93.015 HIV Prevention Programs for Women (B)
93.914 HIV Emergency Relief Project Grants (B)	93.016 Postal Model for Medical Countermeasures Delivery and Distribution (B)
93.917 HIV Care Formula Grants (A)	93.018 Strengthening Public Health Services at the Outreach Offices of the U.S.-Mexico Border Health Commission (B)
93.918 Grants to Provide Outpatient Early Intervention Services with Respect to HIV Disease (B)	93.019 Technical Assistance and Provision for Foreign Hospitals and Health Organizations (B)
93.919 Cooperative Agreements for State-Based Comprehensive Breast and Cervical Cancer Early Detection Programs (B)	93.064 Laboratory Training, Evaluation, and Quality Assurance Programs (B)
93.923 Disadvantaged Health Professions Faculty Loan Repayment (FLRP) and Minority Faculty Fellowship Program (MFFP) (B,C)	93.074 Hospital Preparedness Program (HPP) and Public Health Emergency Preparedness (PHEP) Aligned Cooperative Agreements (A)
93.924 Ryan White HIV/AIDS Dental Reimbursement and Community Based Dental Partnership Grants (B)	93.078 Strengthening Emergency Care Delivery in the United States Healthcare System through Health Information and Promotion (B)
93.925 Scholarships for Health Professions Students from Disadvantaged Backgrounds (B)	93.081 ASPR Science Preparedness and Reponse Grants (B)
93.926 Healthy Start Initiative (B)	93.085 Research of the Responsible Conduct of Research (RCR) (B)
93.928 Special Projects of National Significance (B)	93.089 Emergency System for Advance Registration of Volunteer Health Professionals (B)
93.932 Native Hawaiian Health Care Systems (B)	93.095 HHS Programs for Disaster Relief Appropriations Act - Non Construction (A,B)
93.938 Cooperative Agreements to Support Comprehensive School Health Programs to Prevent the Spread of HIV and Other Important Health Problems (B)	93.097 Strengthening the Nation's Public Health System through a National Voluntary Accreditation Program for State, Tribal, Local and Territorial Health Departments (B)
93.939 HIV Prevention Activities_Non-Governmental Organization Based (B)	93.098 Tribal Public Health Capacity Building and Quality Improvement (B)
93.940 HIV Prevention Activities_Health Department Based (B)	93.104 Comprehensive Community Mental Health Services for Children with Serious Emotional Disturbances (SED) (B)
93.941 HIV Demonstration, Research, Public and Professional Education Projects (B)	93.123 Health Professions Pregraduate Scholarship Program for Indians (M)
93.942 Research, Prevention, and Education Programs on Lyme Disease in the United States (B)	93.136 Injury Prevention and Control Research and State and Community Based Programs (B)
93.943 Epidemiologic Research Studies of Acquired Immunodeficiency Syndrome (AIDS) and Human Immunodeficiency Virus (HIV) Infection in Selected Population Groups (B)	93.137 Community Programs to Improve Minority Health Grant Program (B)
93.944 Human Immunodeficiency Virus (HIV)/Acquired Immunodeficiency Virus Syndrome (AIDS) Surveillance (B)	93.138 Protection and Advocacy for Individuals with Mental Illness (A)
93.945 Assistance Programs for Chronic Disease Prevention and Control (B)	93.150 Projects for Assistance in Transition from Homelessness (PATH) (A)
93.946 Cooperative Agreements to Support State-Based Safe Motherhood and Infant Health Initiative Programs (B)	93.161 Health Program for Toxic Substances and Disease Registry (B)
93.947 Tuberculosis Demonstration, Research, Public and Professional Education (B)	93.164 Indian Health Service Educational Loan Repayment (C)
93.965 Coal Miners Respiratory Impairment Treatment Clinics and Services (B)	93.193 Urban Indian Health Services (B)
93.969 PPHF-2012 Geriatric Education Centers (B)	93.204 Surveillance of Hazardous Substance Emergency Events (B)
93.974 Family Planning_Service Delivery Improvement Research Grants (B)	93.210 Tribal Self-Governance Program: IHS Compacts/Funding Agreements (C)
93.977 Preventive Health Services_Sexually Transmitted Diseases Control Grants (B)	93.228 Indian Health Service_Health Management Development Program (B)
93.988 Cooperative Agreements for State-Based Diabetes Control Programs and Evaluation of Surveillance Systems (B)	93.231 Epidemiology Cooperative Agreements (B)
93.994 Maternal and Child Health Services Block Grant to the States (A)	93.237 Special Diabetes Program for Indians_Diabetes Prevention and Treatment Projects (B)
93.995 Adolescent Family Life_Demonstration Projects (B)	93.239 Policy Research and Evaluation Grants (B)
93.998 Autism and Other Developmental Disabilities, Surveillance, Research, and Prevention (B)	93.240 State Capacity Building (B)
	93.243 Substance Abuse and Mental Health Services_Projects of Regional and National Significance (B)
	93.275 Substance Abuse and Mental Health Services-Access to Recovery (B)
	93.276 Drug-Free Communities Support Program Grants (B)
	93.284 Injury Prevention Program for American Indians and Alaskan Natives_Cooperative Agreements (B)

(551) Health Care Services

This includes the costs of financing the provision of medical services to

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<p>93.289 President's Council on Fitness, Sports, and Nutrition (K)</p> <p>93.290 National Community Centers of Excellence in Women's Health (B)</p> <p>93.291 Surplus Property Utilization (H)</p> <p>93.296 State Partnership Grant Program to Improve Minority Health (B)</p> <p>93.297 Teenage Pregnancy Prevention Program (B)</p> <p>93.305 National State Based Tobacco Control Programs (B)</p> <p>93.311 Mobilization For Health: National Prevention Partnership Awards (NPPA) (B)</p> <p>93.358 Advanced Education Nursing Traineeships (B)</p> <p>93.360 Biomedical Advanced Research and Development Authority (BARDA), Biodefense Medical Countermeasure Development (B)</p> <p>93.441 Indian Self-Determination (C)</p> <p>93.442 Special Diabetes Program for Indians (SDPI) Diabetes Prevention and Healthy Heart Initiative (B)</p> <p>93.443 Health Promotion/Disease Prevention Program for American Indians and Alaska Natives (B)</p> <p>93.444 Tribal Self-Governance Program: Planning and Negotiation Cooperative Agreement (B)</p> <p>93.445 Indian Health Service Sanitation Facilities Construction Program (J)</p> <p>93.452 Health Improvement for Re-entering Ex-offenders Initiative (HIRE) HIV/AIDS (B)</p> <p>93.500 Pregnancy Assistance Fund Program (B)</p> <p>93.507 PPHF 2012 National Public Health Improvement Initiative (B)</p> <p>93.511 Affordable Care Act (ACA) Grants to States for Health Insurance Premium Review (B)</p> <p>93.519 Affordable Care Act (ACA) Consumer Assistance Program Grants (B)</p> <p>93.524 Building Capacity of the Public Health System to Improve Population Health through National, Non-Profit Organizations- financed in part by 2013 Prevention and Public Health Funds (PPHF-2013) (B)</p> <p>93.525 State Planning and Establishment Grants for the Affordable Care Act (ACA)s Exchanges (B)</p> <p>93.533 Prevention and Public Health Fund (Affordable Care Act): Enhanced Surveillance for New Vaccine Preventable Disease (B)</p> <p>93.534 Affordable Care Act Program for Early Detection of Certain Medical Conditions Related to Environmental Health Hazards (B)</p> <p>93.536 The Affordable Care Act Medicaid Incentives for Prevention of Chronic Disease Demonstration Project (B)</p> <p>93.537 Affordable Care Act Medicaid Emergency Psychiatric Demonstration (C)</p> <p>93.538 Affordable Care Act - National Environmental Public Health Tracking Program-Network Implementation (B)</p> <p>93.539 PPHF 2012 - Prevention and Public Health Fund (Affordable Care Act) - Capacity Building Assistance to Strengthen Public Health Immunization Infrastructure and Performance financed in part by 2012 Prevention and Public Health Funds (B)</p> <p>93.540 Affordable Care Act Streamlined surveillance for ventilator-associated pneumonia: Reducing burden and demonstrating preventability; and Prevention and Public Health Fund (B)</p> <p>93.545 Consumer Operated and Oriented Plan [CO-OP] Program (E)</p> <p>93.546 Early Retiree Reinsurance Program (C)</p> <p>93.548 PPHF2013: State Nutrition, Physical Activity, and Obesity Programs - financed in part by 2013 PPHF (B)</p> <p>93.610 Health Care Innovation Awards (HCIA) (B)</p> <p>93.611 Strong Start for Mothers and Newborns (B)</p> <p>93.615 Affordable Care Act (ACA) Maternal, Infant, and Early Childhood Home Visiting Research Programs (B)</p> <p>93.621 Affordable Care Act Initiative to Reduce Avoidable Hospitalizations among Nursing Facility Residents (B)</p> <p>93.624 ACA - State Innovation Models: Funding for Model Design and Model Testing Assistance (B)</p>	<p>93.626 Affordable Care Act State Health Insurance Assistance Program (SHIP) and Aging and Disability Resource Center (ADRC) Options Counseling for Medicare-Medicaid Individuals in States with Approved Financial Alignment Models (B)</p> <p>93.628 Affordable Care Act Implementation Support for State Demonstrations to Integrate Care for Medicare-Medicaid Enrollees (B)</p> <p>93.634 ACA Support for Demonstration Ombudsman Programs Serving Beneficiaries of State Demonstrations to Integrate Care for Medicare-Medicaid (B)</p> <p>93.718 Health Information Technology Regional Extension Centers Program (B)</p> <p>93.719 ARRA - State Grants to Promote Health Information Technology (B)</p> <p>93.720 ARRA - Survey and Certification Ambulatory Surgical Center Healthcare-Associated Infection (ASC-HAI) Prevention Initiative (B)</p> <p>93.721 ARRA - Health Information Technology Professionals in Health Care (B)</p> <p>93.727 ARRA - Health Information Technology - Beacon Communities (B)</p> <p>93.728 ARRA - Strategic Health IT Advanced Research Projects (SHARP) (B)</p> <p>93.730 ARRA Prevention Research Centers Comparative Effectiveness Research Program (B)</p> <p>93.731 ARRA - Prevention and Wellness - Leveraging National Organizations (B)</p> <p>93.733 Capacity Building Assistance to Strengthen Public Health Immunization Infrastructure and Performance financed in part by the Prevention and Public Health Fund (PPHF-2012) (B)</p> <p>93.735 State Public Health Approaches for Ensuring Quitline Capacity Funded in part by 2012 Prevention and Public Health Funds (PPHF-2012) (B)</p> <p>93.745 PPHF-2012: Health Care Surveillance/Health Statistics Surveillance Program Announcement: Behavioral Risk Factor Surveillance System Financed in Part by 2012 Prevention and Public Health Funds (PPHF-2012) (B)</p> <p>93.748 PPHF-2012 Cooperative Agreements for Prescription Drug Monitoring Program Electronic Health Record (EHR) Integration and Interoperability Expansion (B)</p> <p>93.749 PPHF 2012 Public Health Laboratory Infrastructure financed solely by 2012 Prevention and Public Health Fund (B)</p> <p>93.750 PPHF 2013 - Cooperative Agreement to Support Navigators in Federally-facilitated and State Partnership Exchanges (B)</p> <p>93.767 Children's Health Insurance Program (A,B)</p> <p>93.768 Medicaid Infrastructure Grants To Support the Competitive Employment of People with Disabilities (B)</p> <p>93.775 State Medicaid Fraud Control Units (A)</p> <p>93.778 Medical Assistance Program (A)</p> <p>93.780 Grants to States for Operation of Qualified High-Risk Pools (A)</p> <p>93.784 Federal Reimbursement of Emergency Health Services Furnished to Undocumented Aliens (C)</p> <p>93.791 Money Follows the Person Rebalancing Demonstration (B)</p> <p>93.889 National Bioterrorism Hospital Preparedness Program (A)</p> <p>93.910 Family and Community Violence Prevention Program (B)</p> <p>93.933 Demonstration Projects for Indian Health (B)</p> <p>93.958 Block Grants for Community Mental Health Services (A)</p> <p>93.959 Block Grants for Prevention and Treatment of Substance Abuse (A)</p> <p>93.970 Health Professions Recruitment Program for Indians (B)</p> <p>93.971 Health Professions Preparatory Scholarship Program for Indians (M)</p> <p>93.972 Health Professions Scholarship Program (M)</p> <p>93.975 National All Schedules Prescription Electronic Reporting Grant (A)</p> <p>93.982 Mental Health Disaster Assistance and Emergency Mental Health (B)</p> <p>93.990 National Health Promotion (B)</p> <p>93.991 Preventive Health and Health Services Block Grant (A)</p>
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(552) Health Research and Training

This includes the cost of all research programs _ whether basic or applied _ that are financed specifically as health or medical research. It excludes research that is an integral part of other functions (such as biomedical research in the space program). It also includes programs specifically funded as health training programs.

- 93.077 Family Smoking Prevention and Tobacco Control Act Regulatory Research (B,M)
- 93.095 HHS Programs for Disaster Relief Appropriations Act - Non Construction (A,B)
- 93.096 HHS Programs for Disaster Relief Appropriations Act - Construction (B)
- 93.113 Environmental Health (B)
- 93.117 Preventive Medicine and Public Health Residency Training Program, Integrative Medicine Program, and National Coordinating Center for Integrative Medicine (B)
- 93.142 NIEHS Hazardous Waste Worker Health and Safety Training (B)
- 93.143 NIEHS Superfund Hazardous Substances_Basic Research and Education (B)
- 93.172 Human Genome Research (B)
- 93.173 Research Related to Deafness and Communication Disorders (B)
- 93.187 Undergraduate Scholarship Program for Individuals from Disadvantaged Backgrounds (B)
- 93.209 Contraception and Infertility Research Loan Repayment Program (C)
- 93.213 Research and Training in Complementary and Alternative Medicine (B,M)
- 93.220 Clinical Research Loan Repayment Program for Individuals from Disadvantaged Backgrounds (B)
- 93.225 National Research Service Awards_Health Services Research Training (B)
- 93.226 Research on Healthcare Costs, Quality and Outcomes (B)
- 93.232 Loan Repayment Program for General Research (B)
- 93.233 National Center on Sleep Disorders Research (B)
- 93.242 Mental Health Research Grants (B,M)
- 93.249 Public Health Training Centers Program (B)
- 93.273 Alcohol Research Programs (B)
- 93.279 Drug Abuse and Addiction Research Programs (B,M)
- 93.280 National Institutes of Health Loan Repayment Program for Clinical Researchers (C)
- 93.281 Mental Health Research Career/Scientist Development Awards (B)
- 93.282 Mental Health National Research Service Awards for Research Training (M)
- 93.285 National Institutes of Health Pediatric Research Loan Repayment Program (C)
- 93.286 Discovery and Applied Research for Technological Innovations to Improve Human Health (B)
- 93.307 Minority Health and Health Disparities Research (B)
- 93.308 National Institute on Minority Health and Health Disparities (NIMHD) Extramural Loan Repayment Programs (C)
- 93.310 Trans-NIH Research Support (B,M)
- 93.350 National Center for Advancing Translational Sciences (B)
- 93.351 Research Infrastructure Programs (B)
- 93.352 Construction Support (B)
- 93.361 Nursing Research (B)
- 93.389 National Center for Research Resources (B)
- 93.516 Affordable Care Act (ACA) Public Health Training Centers Program (B)
- 93.701 Trans-NIH Recovery Act Research Support (B)
- 93.702 National Center for Research Resources, Recovery Act Construction Support (B)

- 93.704 Trans-NIH Recovery Act Loan Repayment Support (C)
- 93.732 Mental and Behavioral Health Education and Training Grants (B)
- 93.837 Cardiovascular Diseases Research (B)
- 93.838 Lung Diseases Research (B)
- 93.839 Blood Diseases and Resources Research (B)
- 93.846 Arthritis, Musculoskeletal and Skin Diseases Research (B)
- 93.847 Diabetes, Digestive, and Kidney Diseases Extramural Research (B)
- 93.853 Extramural Research Programs in the Neurosciences and Neurological Disorders (B)
- 93.855 Allergy, Immunology and Transplantation Research (B)
- 93.856 Microbiology and Infectious Diseases Research (B)
- 93.859 Biomedical Research and Research Training (B)
- 93.865 Child Health and Human Development Extramural Research (B)
- 93.866 Aging Research (B)
- 93.867 Vision Research (B)
- 93.879 Medical Library Assistance (B)
- 93.936 National Institutes of Health Acquired Immunodeficiency Syndrome Research Loan Repayment Program (B)
- 93.964 Prevention and Public Health Fund (PPHF) Public Health Traineeships (A)
- 93.969 PPHF-2012 Geriatric Education Centers (B)
- 93.989 International Research and Research Training (B,M)

(554) Consumer and Occupational Health and Safety

This includes such programs as meat and poultry inspection, food and drug inspection, consumer product safety, and occupational safety.

- 10.475 Cooperative Agreements with States for Intrastate Meat and Poultry Inspection (B)
- 10.477 Meat, Poultry, and Egg Products Inspection (J)
- 10.479 Food Safety Cooperative Agreements (B)
- 17.502 Occupational Safety and Health_Susan Harwood Training Grants (B)
- 17.503 Occupational Safety and Health_State Program (B)
- 17.504 Consultation Agreements (B)
- 17.505 OSHA Data Initiative (B)
- 17.506 Disaster Relief Appropriations Act, Susan Harwood Training Grants (B)
- 17.600 Mine Health and Safety Grants (B)
- 17.601 Mine Health and Safety Counseling and Technical Assistance (K,L)
- 17.602 Mine Health and Safety Education and Training (M)
- 17.603 Brookwood-Sago Grant (B)
- 93.058 Tobacco Regulation Awareness, Communication, and Education Program (B)
- 93.103 Food and Drug Administration_Research (B)
- 93.448 Food Safety and Security Monitoring Project (B)
- 93.449 Ruminant Feed Ban Support Project (B)

(570) MEDICARE

(571) Medicare

Medicare is composed of the Federal Hospital Insurance and Federal Supplementary Medical Insurance trust funds. This includes all off-setting collections to these two funds, except for employers share and employee retirement (subfunction_951) and net interest (interest received by on_budget trust funds_902). Also includes trust funds and general funds payments to those funds.

- 93.770 Medicare_Prescription Drug Coverage (C)
- 93.773 Medicare_Hospital Insurance (C)
- 93.774 Medicare_Supplementary Medical Insurance (C)

(600) INCOME SECURITY

This function includes payments for or to persons for which no current service is

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rendered. It includes military and civilian retirement, disability, unemployment, and welfare payments and the associated administrative costs. Also included in income security are the food stamp, special milk, and child nutrition programs (whether the benefits are in cash or kind); both Federal and trust fund unemployment compensation and workmen's compensation; public assistance cash payments; benefits to coal miners; and low and moderate-income housing aids. Excluded from this function are social security, financial assistance for educational purposes, provision or reimbursement for child care services and stipends, medical care (whether in cash or kind), and subsidies to businesses (such as farm price supports) even though they may end up as income to persons. Also excluded are income security programs restricted to veterans and their dependents.

(601) General Retirement and Disability Insurance (excluding Social Security)

This includes non-needs-tested retirement and disability programs except for those specifically restricted to Federal employees and social security. Most programs in this subfunction are financed through trust fund mechanisms but it includes some Federal funds programs such as payments to coal miners. The subfunction is composed mainly of the railroad retirement fund and special benefits for coal miners.

- 17.302 Longshore and Harbor Workers' Compensation (D)
- 17.307 Coal Mine Workers' Compensation (D)
- 57.001 Social Insurance for Railroad Workers (D)
- 57.005 Economic Recovery Payments (D)

(602) Federal Employee Retirement and Disability

Includes all funded retirement and disability programs restricted to Federal employees. In those cases where there are no retirement funds (such as the Coast Guard retired pay) the case benefits are included in the function where the employees were employed (in this case transportation).

(603) Unemployment Compensation

Benefits for unemployed workers that are not conditioned by needs tests. This subfunction does not include other benefits (such as food stamps) that an unemployed person might be eligible for under any other program.

- 17.225 Unemployment Insurance (A,D)
- 57.001 Social Insurance for Railroad Workers (D)

(604) Housing Assistance

Federal income support and related expenses that are specifically for and limited to financing or providing housing for individuals and families.

- 10.405 Farm Labor Housing Loans and Grants (B,F)
- 10.417 Very Low-Income Housing Repair Loans and Grants (B,E)
- 10.420 Rural Self-Help Housing Technical Assistance (B)
- 10.427 Rural Rental Assistance Payments (C)
- 10.433 Rural Housing Preservation Grants (B)
- 10.438 Section 538 Rural Rental Housing Guaranteed Loans (F)
- 14.231 Emergency Solutions Grant Program (A)
- 14.235 Supportive Housing Program (B,C)
- 14.238 Shelter Plus Care (B)
- 14.239 Home Investment Partnerships Program (A)
- 14.241 Housing Opportunities for Persons with AIDS (A)
- 14.247 Self-Help Homeownership Opportunity Program (B)
- 14.260 Veterans Homelessness Prevention Demonstration Program (B)
- 14.261 Homeless Management Information Systems Technical Assistance (B)
- 14.265 Rural Capacity Building for Community Development and Affordable Housing Grants (B)
- 14.266 Border Community Capital Initiative (B)
- 14.267 Continuum of Care Program (B,C)
- 14.268 Rural Housing Stability Assistance Program (B,C)
- 14.270 Appalachia Economic Development Initiative (B)
- 14.271 Delta Community Capital Initiative (B)

- 14.400 Equal Opportunity in Housing (N)
- 14.850 Public and Indian Housing (C)
- 14.856 Lower Income Housing Assistance Program_Section 8 Moderate Rehabilitation (C)
- 14.866 Demolition and Revitalization of Severely Distressed Public Housing (B)
- 14.867 Indian Housing Block Grants (A)
- 14.869 Title VI Federal Guarantees for Financing Tribal Housing Activities (F)
- 14.871 Section 8 Housing Choice Vouchers (C)
- 14.872 Public Housing Capital Fund (A)
- 14.873 Native Hawaiian Housing Block Grants (B)
- 14.877 Public Housing Family Self-Sufficiency under Resident Opportunity and Supportive Services (B)
- 14.879 Mainstream Vouchers (C)
- 14.880 Family Unification Program (FUP) (C)
- 14.881 Moving to Work Demonstration Program (A)
- 14.889 Choice Neighborhoods Implementation Grants (B)
- 14.891 Public and Indian Housing Transformation Initiative (TI) Technical Assistance (TA) (J)
- 14.892 Choice Neighborhoods Planning Grants (B)

(605) Food and Nutrition Assistance

Federal income support and related expenses that are specifically for and limited to financing or providing food or nutritional assistance to individuals and families.

- 10.155 Marketing Agreements and Orders (J,K)
- 10.225 Community Food Projects (B)
- 10.551 Supplemental Nutrition Assistance Program (C)
- 10.553 School Breakfast Program (A)
- 10.555 National School Lunch Program (A)
- 10.556 Special Milk Program for Children (A)
- 10.557 Special Supplemental Nutrition Program for Women, Infants, and Children (A)
- 10.558 Child and Adult Care Food Program (A)
- 10.559 Summer Food Service Program for Children (A)
- 10.560 State Administrative Expenses for Child Nutrition (A)
- 10.561 State Administrative Matching Grants for the Supplemental Nutrition Assistance Program (A)
- 10.565 Commodity Supplemental Food Program (A,H)
- 10.566 Nutrition Assistance For Puerto Rico (C)
- 10.567 Food Distribution Program on Indian Reservations (B,H)
- 10.568 Emergency Food Assistance Program (Administrative Costs) (A)
- 10.569 Emergency Food Assistance Program (Food Commodities) (A)
- 10.572 WIC Farmers' Market Nutrition Program (FMNP) (A)
- 10.574 Team Nutrition Grants (B)
- 10.575 Farm to School Grant Program (B,L,M)
- 10.576 Senior Farmers Market Nutrition Program (B)
- 10.577 SNAP Partnership Grant (B)
- 10.578 WIC Grants To States (WGS) (B)
- 10.579 Child Nutrition Discretionary Grants Limited Availability (B)
- 10.580 Supplemental Nutrition Assistance Program, Process and Technology Improvement Grants (B)
- 10.582 Fresh Fruit and Vegetable Program (B)
- 10.583 Hunger Free Communities (B)
- 10.585 FNS Food Safety Grants (B)
- 10.586 Special Supplemental Nutrition Program for Women, Infants and Children; Nutrition Education Innovations (B)
- 10.587 National Food Service Management Institute Administration and Staffing Grant (B)

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- 10.588 Assessment of Alternatives to Face-to-Face Interviews in SNAP (B)
- 10.589 Child Nutrition Direct Certification Performance Awards (C)
- 10.590 Disaster Relief Appropriations ActEmergency Food Assistance Program (Administrative Costs) (A)
- 10.591 Disaster Relief Appropriations ActEmergency Food Assistance Program (Commodities) (A)
- 10.592 Healthy, Hunger-Free Kids Act of 2010 Childhood Hunger Research and Demonstration Projects (B)
- 10.593 Bill Emerson National Hunger Fellows and Mickey Leland International Hunger Fellows Programs (B)
- 97.024 Emergency Food and Shelter National Board Program (B)
- 97.114 Emergency Food and Shelter National Board Program (ARRA) (B)

(609) Other income security

Income security programs that are not included in any other subfunction. This is composed primarily of either direct payments or grants-in-aid to finance direct payments that constitute cash income to low-income individuals and families, but it also includes refugee assistance and both administrative expenses and offsetting collections in the income security function that are not part of any other subfunction.

- 93.090 Guardianship Assistance (A)
- 93.558 Temporary Assistance for Needy Families (A)
- 93.560 Family Support Payments to States_Assistance Payments (A)
- 93.563 Child Support Enforcement (A)
- 93.564 Child Support Enforcement Research (B)
- 93.566 Refugee and Entrant Assistance_State Administered Programs (A)
- 93.567 Refugee and Entrant Assistance_Voluntary Agency Programs (B)
- 93.568 Low-Income Home Energy Assistance (A)
- 93.575 Child Care and Development Block Grant (A)
- 93.576 Refugee and Entrant Assistance_Discretionary Grants (B)
- 93.579 U.S. Repatriation (B)
- 93.583 Refugee and Entrant Assistance_Wilson/Fish Program (B)
- 93.584 Refugee and Entrant Assistance_Targeted Assistance Grants (A)
- 93.594 Tribal Work Grants (A)
- 93.595 Welfare Reform Research, Evaluations and National Studies (B)
- 93.596 Child Care Mandatory and Matching Funds of the Child Care and Development Fund (A)
- 93.597 Grants to States for Access and Visitation Programs (A)
- 93.598 Services to Victims of a Severe Form of Trafficking (B)
- 93.601 Child Support Enforcement Demonstrations and Special Projects (B)
- 93.604 Assistance for Torture Victims (B)
- 93.605 Family Connection Grants (B)
- 93.658 Foster Care_Title IV-E (A,B)
- 93.659 Adoption Assistance (A)
- 93.674 Chafee Foster Care Independence Program (A)
- 93.713 ARRA Child Care and Development Block Grant (A)

(650) SOCIAL SECURITY

Social Security is composed of the Federal Old_Age and Survivors Insurance and Federal Disability Insurance trust funds and general funds payments to those funds, all offsetting receipts by those trust funds, except those classified in subfunction 903 - interest received by off-budget trust funds.

(651) Social Security

This includes the Old Age and Survivors Insurance (OASI) and Disability Insurance (DI) trust funds and related intergovernmental payments and off_setting collections.

(700) VETERANS BENEFITS AND SERVICES

This function consists of programs providing benefits and services, the eligibility for which is related to prior military service but the financing of which is not an integral part of the costs of national defense. It includes veterans

service-connected compensation; veterans non-service connected pensions; and veterans readjustment benefits, housing, and medical care programs. As a normal rule, the outlays in this function are similar to those in the broader general purpose functions (such as income security or health) but are restricted to veterans, their dependents, and their survivors. Earned rights of career military personnel that are a cost of the defense budget (such as military retired pay or medical care) are excluded from this function. The subfunctions of this function are so structured that they are readily additive to analogous major functions for the general population.

- 64.035 Veterans Transportation Program (B)

(701) Income Security for Veterans

Includes veterans compensation, pensions, burial benefits and life insurance.

(702) Veterans Education, Training, and Rehabilitation

Composed primarily of the "GI bill" readjustment benefits.

(703) Hospital and Medical Care for Veterans

Composed of medical care and research financed by the Veterans Administration.

- 64.038 Grants for the Rural Veterans Coordination Pilot (B)

(704) Veterans Housing

Composed of housing loan and guarantee programs for, and restricted to, veterans and dependents.

(705) Other Veterans Benefits and Services

Mainly the administrative expenses of the Veterans Administration.

(750) ADMINISTRATION OF JUSTICE

This function includes those programs designed to provide judicial services, police protection, law enforcement (including civil rights), rehabilitation, and incarceration of criminals, and promotion of the general maintenance of domestic order. It includes the provision of court appointed counsel or other legal services to individuals. This function excludes the costs of the legislative branch, the narrow-purpose police or guard activities providing protection to Federal property, or activities which are an integral part of a broader function (such as postal inspectors, tax collection agents, and Park Service Rangers). The costs of National Guard personnel who are called upon occasionally to provide general public safety protection and military police costs are included under the national defense function rather than in this function.

(751) Federal Law Enforcement Activities

Includes Customs, the Immigration and Naturalization Service, the Drug Enforcement Administration, and police and crime prevention activities in other programs. This subfunction also includes the readily identifiable enforcement costs of civil rights activities.

- 14.400 Equal Opportunity in Housing (N)
- 14.401 Fair Housing Assistance Program_State and Local (B)
- 14.408 Fair Housing Initiatives Program (B)
- 14.416 Education and Outreach Initiatives (B)
- 14.417 Fair Housing Organization Initiatives (B)
- 14.418 Private Enforcement Initiatives (B)
- 14.419 Section 3 Coordination and Implementation (B)
- 14.420 Fair Housing Initiatives Program Enforcement Testing Technical Assistance (B)
- 14.421 Limited English Proficiency Initiative (B)
- 30.001 Employment Discrimination_Title VII of the Civil Rights Act of 1964 (K,N,O)
- 30.005 Employment Discrimination_Private Bar Program (J)
- 30.008 Employment Discrimination_Age Discrimination in Employment (K,N,O)
- 30.010 Employment Discrimination Equal Pay Act (K,N,O)
- 30.011 Employment Discrimination_Title I of The Americans with Disabilities Act (K,N,O)

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- 30.013 Employment Discrimination-Title II of the Genetic Information Nondiscrimination Act of 2008 (K,N,O)
- 93.001 Civil Rights and Privacy Rule Compliance Activities (K,N)
- 97.010 Citizenship Education and Training (B)
- 97.076 CyberTipline (B)

(752) Federal Litigative and Judicial Activities

Includes the cost of the judiciary, the cost of prosecution and Federal expenses connected with financing legal defense activities.

- 16.922 Equitable Sharing Program (C)

(753) Federal Correctional Activities

Covers the cost of incarceration, supervision, parole, and rehabilitation of Federal prisoners.

(754) Criminal Justice Assistance

Includes the cost of grants to State and local governments to assist them in operating and improving their law enforcement and justice system.

- 16.015 Missing Alzheimer's Disease Patient Assistance Program (B)
- 16.203 Promoting Evidence Integration in Sex Offender Management Discretionary Grant Program (B,M)
- 16.320 Services for Trafficking Victims (B,C)
- 16.321 Antiterrorism Emergency Reserve (B)
- 16.523 Juvenile Accountability Block Grants (A,B)
- 16.540 Juvenile Justice and Delinquency Prevention_Allocation to States (A,B)
- 16.541 Part E - Developing, Testing and Demonstrating Promising New Programs (B,J)
- 16.543 Missing Children's Assistance (B)
- 16.544 Youth Gang Prevention (B)
- 16.548 Title V_Delinquency Prevention Program (A)
- 16.550 State Justice Statistics Program for Statistical Analysis Centers (B)
- 16.554 National Criminal History Improvement Program (NCHIP) (B)
- 16.560 National Institute of Justice Research, Evaluation, and Development Project Grants (B,L)
- 16.566 National Institute of Justice W.E.B. DuBois Fellowship Program (B)
- 16.571 Public Safety Officers' Benefits Program (D)
- 16.575 Crime Victim Assistance (A)
- 16.576 Crime Victim Compensation (A)
- 16.582 Crime Victim Assistance/Discretionary Grants (B,C)
- 16.583 Children's Justice Act Partnerships for Indian Communities (B,C)
- 16.585 Drug Court Discretionary Grant Program (B)
- 16.593 Residential Substance Abuse Treatment for State Prisoners (A)
- 16.596 Correctional Grant Program for Indian Tribes (B)
- 16.606 State Criminal Alien Assistance Program (C)
- 16.607 Bulletproof Vest Partnership Program (C)
- 16.608 Tribal Court Assistance Program (B)
- 16.609 Project Safe Neighborhoods (B)
- 16.610 Regional Information Sharing Systems (B)
- 16.614 State and Local Anti-Terrorism Training (K,L,M)
- 16.615 Public Safety Officers' Educational Assistance (D)
- 16.616 Indian Country Alcohol and Drug Prevention (B)
- 16.710 Public Safety Partnership and Community Policing Grants (B)
- 16.726 Juvenile Mentoring Program (B)
- 16.727 Enforcing Underage Drinking Laws Program (B)
- 16.730 Reduction and Prevention of Children's Exposure to Violence (B)
- 16.731 Tribal Youth Program (B)
- 16.734 Special Data Collections and Statistical Studies (B)
- 16.738 Edward Byrne Memorial Justice Assistance Grant Program (A,B)
- 16.739 National Prison Rape Statistics Program (B)
- 16.741 DNA Backlog Reduction Program (A,B)

- 16.742 Paul Coverdell Forensic Sciences Improvement Grant Program (A)
- 16.745 Criminal and Juvenile Justice and Mental Health Collaboration Program (B)
- 16.746 Capital Case Litigation (B)
- 16.750 Support for Adam Walsh Act Implementation Grant Program (B)
- 16.751 Edward Byrne Memorial Competitive Grant Program (B)
- 16.753 Congressionally Recommended Awards (B)
- 16.754 Harold Rogers Prescription Drug Monitoring Program (B)
- 16.755 Southwest Border Prosecution Initiative Program (D)
- 16.756 Court Appointed Special Advocates (B)
- 16.757 Judicial Training on Child Maltreatment for Court Personnel Juvenile Justice Programs (B)
- 16.758 Improving the Investigation and Prosecution of Child Abuse and the Regional and Local Children's Advocacy Centers (B)
- 16.812 Second Chance Act Prisoner Reentry Initiative (B)
- 16.813 NICS Act Record Improvement Program (B)
- 16.814 Northern Border Prosecution Initiative Program (D)
- 16.815 Tribal Civil and Criminal Legal Assistance Grants, Training and Technical Assistance (B)
- 16.816 John R. Justice Prosecutors and Defenders Incentive Act (A)
- 16.817 Byrne Criminal Justice Innovation Program (B)
- 16.818 Children Exposed to Violence (B)
- 16.819 National Forum on Youth Violence Prevention (B)
- 16.820 Postconviction DNA Testing Program (B)
- 16.822 National Center for Campus Public Safety (B)
- 16.823 Emergency Planning for Juvenile Justice Facilities (B)
- 16.824 Emergency Law Enforcement Assistance Grant (B)
- 93.643 Children's Justice Grants to States (A)
- 95.001 High Intensity Drug Trafficking Areas Program (B)
- 95.004 Anti-Doping Activities (B)
- 95.005 Drug Court Training and Technical Assistance (B)
- 95.006 Model State Drug Laws Initiative (B)
- 97.008 Non-Profit Security Program (B)

(800) GENERAL GOVERNMENT

This function includes the general overhead costs of the Federal Government, including legislative and executive activities; provision of central fiscal, personnel, and property activities; and the provision of services that cannot reasonably be classified in any other major function. As a normal rule, all activities reasonably or closely associated with other functions shall be included in those functions rather than listed as a part of general government.

- 97.018 National Fire Academy Training Assistance (C)
- 97.043 State Fire Training Systems Grants (B)
- 97.101 National Fallen Firefighters Memorial (C)
- 97.103 Degrees at a Distance Program (B)

(801) Legislative Functions

Includes most of the costs of the legislative branch except for the Tax Court, the Library of Congress, and the Government Printing Office revolving fund.

(802) Executive Direction and Management

Includes the Executive Office of the President (unless some major grants or operating programs are included in the EOP); occasionally some closely related spending outside the EOP is also included in this subfunction.

(803) Central Fiscal Operations

Includes the general tax collection and fiscal operations of the Treasury Department.

- 21.004 Exchange of Federal Tax Information With State Tax Agencies (J)
- 21.006 Tax Counseling for the Elderly (B)
- 21.008 Low Income Taxpayer Clinics (B)
- 21.009 Volunteer Income Tax Assistance (VITA) Matching Grant Program

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(B)

(804) General Property and Records Management

Includes most of the operations of the General Services Administration (net of reimbursements from other agencies for services rendered).

- 39.002 Disposal of Federal Surplus Real Property (H)
- 39.003 Donation of Federal Surplus Personal Property (H)
- 39.007 Sale of Federal Surplus Personal Property (H)
- 89.001 National Archives Reference Services_Historical Research (I,K,L)
- 89.003 National Historical Publications and Records Grants (B)

(805) Central Personnel Management

Includes most of the operating costs of the Office of Personnel Management (formerly the Civil Service Commission) and related agencies (net of reimbursements from other agencies for services rendered).

(806) General Purpose Fiscal Assistance

Includes Federal aid to State, local, and territorial governments that is available for general fiscal support. It includes payments in lieu of taxes, grants from Forest Service and Interior Department rents, payments to territories and Puerto Rico, and the Federal payment to the District of Columbia.

- 10.665 Schools and Roads - Grants to States (A)
- 10.666 Schools and Roads - Grants to Counties (A)
- 15.226 Payments in Lieu of Taxes (C,D)
- 15.659 National Wildlife Refuge Fund (D)
- 15.667 Highlands Conservation Program (B)
- 15.875 Economic, Social, and Political Development of the Territories (A,B,D)

(808) Other General Government

Includes miscellaneous other costs such as Federal costs of territorial governments.

(999) MULTIPLE FUNCTIONS

This function code is used where two or more accounts with different Treasury basic account symbols are included in a consolidated program.

- 10.600 Foreign Market Development Cooperator Program (C)
- 10.601 Market Access Program (A,C)
- 10.602 CCC's Dairy Export Incentive Program (C)
- 10.603 Emerging Markets Program (C)
- 10.604 Technical Assistance for Specialty Crops Program (C)
- 10.605 Quality Samples Program (C)
- 10.606 Food for Progress (B,H)
- 10.612 USDA Local and Regional Food Aid Procurement Pilot Project (B)
- 12.116 Department of Defense Appropriation Act of 2003 (J)
- 15.227 Distribution of Receipts to State and Local Governments (C,D)
- 15.252 Abandoned Mine Land Reclamation (AMLR) Program (A,B)
- 15.253 Not-for-Profit AMD Reclamation (B)
- 15.254 OSM/VISTA AmeriCorps Program (C)
- 15.255 Science and Technology Projects Related to Coal Mining and Reclamation (B)
- 17.207 Employment Service/Wagner-Peyser Funded Activities (A,B)
- 17.225 Unemployment Insurance (A,D)
- 17.245 Trade Adjustment Assistance (A)
- 17.271 Work Opportunity Tax Credit Program (WOTC) (A)
- 17.272 Permanent Labor Certification for Foreign Workers (J)
- 17.273 Temporary Labor Certification for Foreign Workers (A,J,O)
- 81.122 Electricity Delivery and Energy Reliability, Research, Development and Analysis (B)
- 97.005 State and Local Homeland Security National Training Program (B)
- 97.007 Homeland Security Preparedness Technical Assistance Program (B)
- 97.009 Cuban/Haitian Entrant Program (B)

- 97.016 Reimbursement for Firefighting on Federal Property (C)
- 97.025 National Urban Search and Rescue (US&R) Response System (B)
- 97.026 Emergency Management Institute Training Assistance (C)
- 97.027 Emergency Management Institute (EMI)_Independent Study Program (M)
- 97.028 Emergency Management Institute (EMI)_Resident Educational Program (M)
- 97.039 Hazard Mitigation Grant (B)
- 97.040 Chemical Stockpile Emergency Preparedness Program (B)
- 97.042 Emergency Management Performance Grants (A)
- 97.044 Assistance to Firefighters Grant (B)
- 97.053 Citizens-Community Resilience Innovation Challenge (B)
- 97.055 Interoperable Emergency Communications (B)
- 97.061 Centers for Homeland Security (B)
- 97.062 Scientific Leadership Awards (B,C)
- 97.065 Homeland Security Advanced Research Projects Agency (B)
- 97.066 Homeland Security Information Technology Research, Testing, Evaluation and Demonstration Program (B)
- 97.067 Homeland Security Grant Program (A)
- 97.069 Aviation Research Grants (B,I)
- 97.075 Rail and Transit Security Grant Program (B)
- 97.077 Homeland Security Research, Development, Testing, Evaluation, and Demonstration of Technologies Related to Nuclear Threat Detection (B)
- 97.078 Buffer Zone Protection Program (BZPP) (B)
- 97.080 Information Analysis Infrastructure Protection (IAIP) and Critical Infrastructure Monitoring and Protection (B)
- 97.083 Staffing for Adequate Fire and Emergency Response (SAFER) (B)
- 97.089 Driver's License Security Grant Program (B)
- 97.091 Homeland Security Biowatch Program (B,I)
- 97.104 Homeland Security-related Science, Technology, Engineering and Mathematics (HS STEM) Career Development Program (B)
- 97.106 Securing the Cities Program (B,I,L)
- 97.107 National Incident Management System (NIMS) (B)
- 97.108 Homeland Security, Research, Testing, Evaluation, and Demonstration of Technologies (B,H,I,L)
- 97.111 Regional Catastrophic Preparedness Grant Program (RCPGP) (B)
- 97.113 Rail and Transit Security Grant Program (ARRA) (B)
- 97.115 Assistance to Firefighters Grant (ARRA) (B)
- 97.120 Border Interoperability Demonstration Project (B)
- 97.121 Radiological/Nuclear Detection Pilot Evaluations Program (B,I,L)
- 97.123 Multi-State Information Sharing and Analysis Center (B)
- 97.124 Interoperable Communications and Training Project (B)
- 97.127 Cybersecurity Education and Training Assistance Program (CETAP) (B)
- 97.128 National Cyber Security Awareness (B)
- 97.129 Securing Critical Underground Infrastructure Pilot Program (B)
- 97.130 National Nuclear Forensics Expertise Development Program (B)
- 97.131 Emergency Management Baseline Assessments Grant (EMBAG) (C)

Appendix IV: Agency Regional and Local Office Addresses

DEPARTMENT OF AGRICULTURE

AGRICULTURAL MARKETING SERVICE

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(Grading, Marketing Services including Market News)

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(Connecticut, Maine, Massachusetts, New
Hampshire, New Jersey, Rhode Island, Vermont,
New York, Delaware, Pennsylvania, Wisconsin,
Minnesota, Illinois, Indiana, Ohio, Michigan,
District of Columbia, Maryland, Virginia, West
Virginia)
Jerry L. Fowler, Regional Director
Blason II, Second Floor
505 South Lenola Road
Moorestown, NJ 08057-1549
(609) 968-4970

Northern Region

Illinois, Indiana, Maryland, Massachusetts,
Michigan, Minnesota, New Jersey, New York,
Ohio, Pennsylvania, Virginia, West Virginia,

Appendix IV: Agency Regional and Local Office Addresses

Wisconsin)

Thomas Holt, Acting Regional Director
1 Winner's Circle, Suite 100
Albany, NY 12205
(518) 453-0103

Southeastern Region

(Florida, Georgia, Alabama, Kentucky, Mississippi, Tennessee, North Carolina, South Carolina, Puerto Rico, and Virgin Islands)
Jerry L. Fowler, Regional Director
3505 25th Avenue
Building 1
Gulfport, MS 39501
(601) 863-1813

Alabama, Florida, Georgia, Kentucky, Mississippi, North Carolina, Puerto Rico, South Carolina, Tennessee)
Harold McCoy, Assistant Regional Director
500 E. Zack Street, Suite 410
Tampa, FL 33602-3945
(813) 228-2952

Western Region

(Arizona, Alaska, California, Colorado, Hawaii, Idaho, Montana, Nevada, New Mexico, Oregon, Utah, Washington, Wyoming)
W.W. Buisch, Acting Regional Director
384 Inverness Drive South, Suite 150
Englewood, CO 80112
(303) 784-6202

(Alaska, Arizona, California, Colorado, Guam, Hawaii, Idaho, Kansas, Montana, Nebraska, Nevada, New Mexico, North Dakota, Oklahoma, Oregon, South Dakota, Texas, Utah, Washington, Wyoming)
Michael Worthen, Regional Director
12345 West Alameda Parkway, Suite 204
Lakewood, CO 80228
(303) 969-6560

(Hawaii, Washington, Oregon, California, Alaska, Arizona, Colorado, Guam, Idaho, Montana, Nevada, New Mexico, Utah, Wyoming)
James R. Reynolds, Regional Director
9580 Micron Avenue, Suite I
Sacramento, CA 95827
(916) 857-6065

National Wildlife Research Center
Richard Curnow
1201 Oakridge Drive
Ft. Collins, CO 80525
(970) 223-1588

FARM SERVICE AGENCY

SERVICE CENTER

Alabama

Daniel Robinson
Alabama State FSA Office
For letter mail: P.O. Box 235013
4121 Carmichael Road, Suite 600
Montgomery, AL 36106-5013
(334) 279-3500

Alaska

Karen Olson
Alaska State FSA Office
800 West Evergreen, Suite 216
Palmer, AK 99645-6389
(907) 745-7982

Arizona

George Arrendondo
Arizona State FSA Office
77 East Thomas Road, Suite 240
Phoenix, AZ 85012-3318
(602) 640-5200

Arkansas

Mike Dunaway
Arkansas State FSA Office
Federal Building, Room 5416
700 West Capitol Avenue
Little Rock, AR 72201-3225
(501) 301-3000

California

Valente Dolcini, Acting
California State FSA Office
430 G Street, Suite 4161
Davis, CA 95616-4161
(530) 792-5538

Colorado

Robert Eisenach
Colorado State FSA Office
655 Parfet Street
Suite E 305
Lakewood, CO 80215-5517
(303) 236-2866

Connecticut

Harvey Polinsky
Connecticut State FSA Office
88 Day Hill Road
Windsor, CT 06095
(860) 285-8483

Delaware

William Donald Clifton II
Delaware State FSA Office
1201 College Park Drive, Suite 101
Dover, DE 19904-8713
(302) 678-2547

Florida

Kevin L. Kelley
Florida State FSA Office
440 N.W. 25th Place, Suite 1
Gainesville, FL 32606
(352) 379-4500

Georgia

Hanson Carter
Georgia State FSA Office
For letter mail: P.O. Box 1907
Federal Building, Room 102
355 East Hancock Avenue
Athens, GA 30603-1907

(706) 546-2266

Hawaii

Jo-Anna Nakata
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Idaho

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9173 W. Barners, Suite B
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Illinois

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For letter mail: P.O. Box 19273
3500 W Avenue
Springfield, IL 62794-9273
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Indiana

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5981 Lakeside Boulevard
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(317) 290-3030, Ext. 317

Iowa

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10500 Buena Vista Court
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Kansas

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Kentucky

Hampton (Hoppy) Henton
Kentucky State FSA Office
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Louisiana

Willie F. Cooper
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3737 Government Street
Alexandria, LA 71302-3395
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Maine

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Bangor, ME 04402-0406

Appendix IV: Agency Regional and Local Office Addresses

(207) 990-9140

Maryland

James M. Voss
Maryland State FSA Office
River Center
8335 Guilford Road, Suite E
Columbia, MD 21046
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Massachusetts

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445 West Street
Amherst, MA 01002-2957
(413) 256-0232
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Michigan

Chris White
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3001 Coolidge Road, Suite 100
East Lansing, MI 48823-6321
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Minnesota

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Minnesota State FSA Office
400 Farm Credit Service Building
375 Jackson Street
St. Paul, MN 55101-1852
(612) 602-7700

Mississippi

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Mississippi State FSA Office
P.O. Box 14995
6310 I-55 North
Jackson, MS 39211
(601) 965-4300

Missouri

Brad Epperson
Missouri State FSA Office
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Nebraska

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(605) 352-1160

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2405 Texas Avenue South, 77840
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College Station, TX 77841-0001

Appendix IV: Agency Regional and Local Office Addresses

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Vermont State FSA Office
Executive Square Office Building
346 Shelburne Street
Burlington, VT 05401-4995
(802) 658-2803

Virginia

Donald Davis
Virginia State FSA Office
Culpeper Building, Suite 138
1606 Santa Rosa Road
Richmond, VA 23229
(804) 287-1500

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Larry R. Albin
Washington State FSA Office
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Spokane, WA 99201-2350
(509) 323-3000

West Virginia

Billy B. Burke
West Virginia State FSA Office
New Federal Building
75 High Street, Room 239
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Morgantown, WV 26507-1049
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(608) 276-8732, Ext. 100

Wyoming

Carl Jensen
Wyoming State FSA Office
951 Werner Court, Suite 130
Casper, WY 82601-1307
(307) 261-5231

FOOD AND NUTRITION SERVICE

REGIONAL OFFICES

California

(Western: Alaska, American Samoa, Arizona, California, Commonwealth of the Northern Marianas, Guam, Hawaii, Idaho, Nevada, Oregon, Washington, Freely Associated States of the Pacific)

Dick Montoya
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San Francisco, CA 94108
(415) 705-1310
Use the same number for FTS

Colorado

(Mountain Plains: Colorado, Iowa, Kansas, Missouri, Montana Nebraska, North Dakota, South Dakota, Utah, Wyoming)
Craig Forman
1244 Speer Boulevard, Suite 903
Denver, CO 80204
(303) 844-0300
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Georgia

(Southeast: Alabama, Florida, Georgia, Kentucky, Mississippi, North Carolina, South Carolina, Tennessee)
Jerry Redding
First Floor, Martin Luther King, Jr. Federal Annex
77 Forsythe Street, S.W., Suite 112
Atlanta, GA 30303
(404) 730-2565
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Illinois

(Midwest: Illinois, Indiana, Michigan, Minnesota, Ohio, Wisconsin)
Lawrence Rudmann
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Chicago, IL 60604-3507
(312) 353-6664
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Massachusetts

(Northeast: Connecticut, Maine, Massachusetts, New Hampshire, New York, Rhode Island, Vermont)
Charles DeJulius
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Boston, MA 02222-1068
(617) 565-6370
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New Jersey

(Mid-Atlantic: Delaware, District of Columbia, Maryland, New Jersey, Pennsylvania, Puerto Rico, Virgin Islands, Virginia, West Virginia)
Walt Haake
Mercer Corporate Park
Corporate Boulevard
CN 02150
Trenton, NJ 08650
(609) 259-5025
Use the same number for FTS

Texas

(Southwest: Arkansas, Louisiana, New Mexico, Oklahoma, Texas)
Judy Snow
1100 Commerce Street, Room 5-C-30
Dallas, TX 75242
(214) 767-0222

Use the same number for FTS

FOOD SAFETY AND INSPECTION SERVICE

REGIONAL OFFICES

Arkansas

(District 35: Arkansas, Kansas, Missouri)
Mr. Paul Kiecker, District Manager
Country Club Center
4700 South Thompson, Building B, Suite 201
Springdale, AR 72764 United States
(479) 751-8412

California

(District 05: Arizona, California, Nevada)
Dr. Yudhbir Sharma, District Manager
620 Central Avenue, Building 2C
Alameda, CA 94501 United States
(510) 337-5000

Colorado

(District 15: Alaska, American Samoa, Colorado, Guam, Hawaii, Idaho, Montana, Nebraska, Northern Mariana Islands, Oregon, Utah, Washington, Wyoming)
Dr. Ron Nelson, District Manager
Denver Federal Center
P.O. Box 25387, Building 45
Denver, CO 80225 United States
(303) 236-9800

Georgia

(District 85: Florida, Georgia, Puerto Rico, South Carolina, Virgin Islands)
Dr. Phyllis Adams, District Manager
100 Alabama Street, S.W., Building 1924, Suite 3R90
Atlanta, GA 30303 United States
(404) 562-5900

Illinois

(District 50: Illinois, Indiana, Michigan, Ohio)
Mr. Paul Wolseley, District Manager
1919 South Highland Avenue, Suite 115C
Lombard, IL 60148 United States
(630) 620-7474

Iowa

(District 25: Iowa, Minnesota, North Dakota, South Dakota, Wisconsin)
Dr. Dawn Sprouts, District Manager
Room 985, Federal Building
210 Walnut Street
Des Moines, IA 50309 United States
(515) 727-8960

Mississippi

(District 90: Alabama, Kentucky, Mississippi, Tennessee)
Dr. Paul Resweber, District Manager
713 South Pear Orchard Road, Suite 402
Ridgeland, MS 39157 United States
(601) 965-4312

North Carolina

Appendix IV: Agency Regional and Local Office Addresses

(District 80: Delaware, District of Columbia, Maryland, North Carolina, New Jersey, Virginia, West Virginia)
Dr. Steve Lalicker, District Manager
6020 Six Forks Road
Raleigh, NC 27609 United States
(919) 844-8400

Pennsylvania

(District 60: Connecticut, Massachusetts, Maine, New Hampshire, New York, Pennsylvania, Rhode Island, Vermont)
Mr. Jan Behney, District Manager
U.S. Department of Agriculture
Mellon Independence Center
701 Market Street, Suite 4100A
Philadelphia, PA 19106 United States
(215) 597-4219

Texas

(District 40: Texas)
Dr. Jennifer Beasley-McKean, District Manager
1100 Commerce, Room 516
Dallas, TX 75242 United States
(214) 767-9116

FOREST SERVICE

REGIONAL OFFICES

Alaska

Alaska Region
(Region 10: Alaska)
709 W. 9th Street
Juneau, AK 99801-1807 United States
(907) 586-8806

Colorado

Rocky Mountain- Region 2
(Region 2: Colorado, Kansas, Nebraska, South Dakota, except Northwestern Corner, Eastern two-thirds of Wyoming)

740 Simms Street
P.O. Box 25127
Golden, CO 80401-4720 United States
(303) 275-5741

Georgia

Southern Region- Region 8
(Region 8: Alabama, Arkansas, Florida, Georgia, Kentucky, Louisiana, Mississippi, North Carolina, Oklahoma, Puerto Rico, South Carolina, Tennessee, Texas, Virginia, Virgin Islands)

1720 Peachtree Road, N.W.
Atlanta, GA 30309 United States
(404) 347-7486

Montana

Northern Region- Region 1
(Region 1: Montana, Northern Idaho, North Dakota, Northwestern corner of South Dakota)

Federal Building
200 East Broadway

P.O. Box 7669
Missoula, MT 59807-7669 United States
(406) 329-3511

New Mexico

Southwestern- Region 3
(Region 3: Arizona, New Mexico)

333 Broadway Blvd., SE
Santa Fe, NM 87102-3407 United States
(505) 842-3292

Oregon

Pacific Northwest- Region 6
(Region 6: Oregon, Washington)

333 S.W. First Street, P.O. Box 3623
Portland, OR 97208-3623 United States
(503) 808-2204

Pennsylvania

State and Private Forestry
(Northeastern Area State and Private Forestry: Connecticut, Delaware, Illinois, Indiana, Iowa, Maine, Maryland, Massachusetts, Michigan, Minnesota, Missouri, New Hampshire, New Jersey, New York, Ohio, Pennsylvania, Rhode Island, Vermont, West Virginia, Wisconsin)

11 Campus Blvd., Suite 200
Newtown Square, PA 19073-3200 United States
(610) 557-4103

Puerto Rico

International Institute of Tropical Forestry
(International Institute of Tropical Forestry)

Jardin Botanico Sur, 1201 Calle Ceiba
San Juan, PR 00926-1119 United States
(787) 766-5335

Utah

Intermountain- Region 4
(Region 4: Southern Idaho, Nevada, Utah, western one-third of Wyoming)

Federal Office Building, 324 25th Street
Ogden, UT 84401-2300 United States
(801) 625-5239

Wisconsin

Eastern- Region 9
Region 9: (Connecticut, Delaware, Illinois, Indiana, Iowa, Maine, Maryland, Massachusetts, Michigan, Minnesota, Missouri, New Hampshire, New Jersey, New York, Ohio, Pennsylvania, Rhode Island, Vermont, West Virginia, Wisconsin)

626 East Wisconsin Ave., Suite 800
Milwaukee, WI 53202-4616 United States
(414) 297-3600

To locate Forest Supervisor and Ranger District Offices, consult your local telephone directory under U.S. Department of Agriculture, or the

regional offices listed above.

RESEARCH HEADQUARTERS

California

Pacific Southwest Research Station
(Pacific Southwest Research Station: California and Hawaii)

800 Buchanan Street, West Annex Building
Albany, CA 94701-0245 United States
(510) 559-6300

Pacific Southwest- Region 5
(Region 5: California, Hawaii)

1323 Club Drive
Vallejo, CA 95492-1110 United States
(707) 562-8737

Colorado

Rocky Mountain Research Station
(Rocky Mountain Research Station: Arizona, Colorado, New Mexico, Kansas, Nebraska, North Dakota, Panhandle, South Dakota, Western Texas, Eastern two-thirds of Wyoming)

2150 Centre Ave, Building A
Fort Collins, CO 80526-1891 United States
(303) 275-5350

North Carolina

Southern Research Station
(Southern Research Station: Florida, Georgia, North Carolina, South Carolina, Virginia, Alabama, Arkansas, Louisiana, Mississippi, Oklahoma, except Panhandle, Puerto Rico, Tennessee, eastern Texas)

200 W. T. Weaver Boulevard
Asheville, NC 28804-3454 United States
(704) 257-4301

Oregon

Pacific Northwest Research Station
(Pacific Northwest Research Station: Alaska, Oregon, Washington)

333 SW First Ave
Portland, OR 97204 United States
(503) 808-2592

Pennsylvania

Northern Research Station
(Northern Research Station: Connecticut, Kentucky, Maine, Massachusetts, New Hampshire, New Jersey, New York, Ohio, Pennsylvania, Vermont, West Virginia)

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Utah

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Denver P. Burns

Appendix IV: Agency Regional and Local Office Addresses

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Wisconsin

Forest Products Laboratory
Forest Products Laboratory
One Gifford Pinchot Drive
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NATIONAL AGRICULTURAL STATISTICS SERVICE

REGIONAL OFFICES

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Little Rock, AR 72211 United States
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501-224-5630

California/ Pacific Region

650 Capitol Mall, Suite 6-100,
P.O. Box 1258 Zip: 95812
Sacramento, CA 95814 United States
916-498-5161, 1-800-851-1127, FAX
916-498-5186

Colorado/ Mountain Region

One Denver Federal Ctr, Building 67, Room 630,
P.O. Box 150969 Zip: 80215-0969
Denver, CO 80225 United States
(303) 236-2300, 1-800-392-3202, FAX
303-236-2299

Georgia/Southern Region

Ste 320,
355 E Hancock Ave,
Stephens Federal Bldg,
Athens, GA 30601 United States
706-546-2236, 1-800-253-4419, FAX
706-546-2416

Iowa/ Upper Midwest Region

Federal Building,
210 Walnut Street,
Suite 833
Des Moines, IA 50309 United States
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515-284-4342

Kentucky/ Eastern Mountain Region

Gene Snyder and Courthouse Building
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Louisville, KY 40202 United States
502-582-5293, 1-800-928-5277, FAX
502-582-5114

Michigan/ Great Lakes Region

3001 Coolidge Road, Suite 400,
P.O. Box 30239 Lansing, Zip: 48909-7739
East Lansing, MI 48823 United States
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517-324-5299

Missouri/ Heartland Region

601 Business Loop, 70 West,
Parkade Center, Suite 240,
P.O. Box L Zip 65205
Columbia, MO 65203 United States
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573-876-0971

Nebraska/ Northern Plains Region

Rm 298 Federal Bldg, 100 Centennial Mall North,
P.O. Box 81069 Zip 68501
Lincoln, NE 68508 United States
402-437-5541, 1-800-582-6443, FAX
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Pennsylvania/ Northeastern Region

4050 Crums Mill Road, Suite 203,
P.O. Box 60607 Zip: 17106-0607
Harrisburg, PA 17112-2875 United States
(717) 787-3904, 1-800-498-1518, FAX
717-782-4011

Texas/Southern Plains Region

300 East 8th Street
Room 500, Federal Building
For letter mail: P.O. Box 70
Zip: 78767
Austin, TX 787701 United States
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800-842-1331

Washington/ Northwest Region

112 Henry St, NE, Suite 202,
P.O. Box 609 Zip: 98507
Olympia, WA 98506 United States
360-709-2400, 1-800-435-5883, FAX
360-754-2090

NATURAL RESOURCES CONSERVATION SERVICE

LONGSHORE AND HARBOR WORKERS' COMPENSATION

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Iowa State University
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Rhett Johnson, Director
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Appendix IV: Agency Regional and Local Office Addresses

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Lincoln, NE 68508
(402) 437-5315

Charles Whitmore
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Rosendo Trevino III, Acting
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Arkansas

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Hartford Field Office
One Corporate Center
20 Church Street 19th Floor
Hartford, CT 06103-3220
(860) 240-8000(860) 240-4850 FAX

Delaware

Wilmington Field Office
920 King Street Suite 404
Wilmington, DE 19801-3016
(302) 573-6300(302) 573-6259

Wilmington Field Office
One Rodney Square
920 King Street, Suite 404
Wilmington, DE 19801
(302) 573-6300(302) 573-6259 FAX

District of Columbia

Washington, D.C. Field Office
820 First Street, N.E., Suite 300
Washington, DC 20002-4205
(202) 275-9200 (202) 523-9212 FAX

Eastern/Woodlands

77 W. Jackson Boulevard, Room 2404
Chicago, IL 60604-3507
(800) 735-3239(312) 353-8936 FAX

Florida

Orlando Field Office
Langley Building
3751 Maguire Boulevard, Suite 270
Orlando, FL 32803-3032
(407) 648-6441(407) 648-6310 FAX

Jacksonville Field Office
Southern Bell Tower
301 West Bay Street, Suite 2200
Jacksonville, FL 32202-5121
(904) 232-2627(904) 232-3759 FAX

Tampa Field Office
Timberlake Federal Building Annex
500 Zack Street, Suite 402
Tampa, FL 33602-3945
(813) 228-2026(813) 228-2431 FAX

Miami Field Office
Brickell Plaza Building
909 Southeast First Avenue
Miami, FL 33131-3028
(305) 536-4456(305) 536-5765 FAX

Georgia

Atlanta Regional Office
Five Points Plaza
40 Marietta Street
Atlanta, GA 30303-2806
(404) 331-4111(404) 730-2392 FAX

Great Plains Field Offices

(Iowa, Kansas, Missouri, Nebraska)

Hawaii

Honolulu Field Office
Three Waterfront Plaza
500 Ala Moana Boulevard, Suite 3A
Honolulu, HI 96813-4918
(808) 522-8175, Ext. 256 or 259(808) 522-8194 FAX

Idaho

Boise Field Office
Plaza IV, Suite 220
800 Park Boulevard
Boise, ID 83712-7743
(208) 334-1990, Ext. 3007(208) 334-9648 FAX

Illinois

Springfield Field Office
320 West Washington 7th Floor
Springfield, IL 62701
(217) 492-4120(217) 492-4154 FAX

Chicago Regional Office
Ralph H. Metcalfe Federal Building
77 West Jackson Boulevard
Chicago, IL 60604-3507
(312) 353-5680(312) 886-2729 FAX

Indiana

Indianapolis Field Office
151 North Delaware Street, Suite 1200
Indianapolis, IN 46204-2526
(317) 226-6303(317) 226-6317 FAX

Iowa

Des Moines Field Office
Federal Building
210 Walnut Street, Room 239
Des Moines, IA 50309-2155
(515) 284-4512(515) 284-4743 FAX

Kansas

Kansas City Regional Office
Gateway Tower II
400 State Avenue, Room 200
Kansas City, KS 66101-2406
(913) 551-5462, Ext. 4(913) 551-5469 FAX

Kentucky

Louisville Field Office
601 West Broadway
Post Office Box 1044
Louisville, KY 40202
(502) 582-5251(502) 582-6074 FAX

Louisiana

New Orleans Field Office
Hale Boggs Federal Building
501 Magazine Street, 9th Floor
New Orleans, LA 70130-3099
(504) 589-7201(504) 589-6619 FAX

Shreveport Field Office
401 Edwards Street, Suite 1510
Shreveport, LA 71101-5513
(318) 676-3440(318) 676-3407 FAX

Maine

Bangor Field Office

Appendix IV: Agency Regional and Local Office Addresses

Margaret Chase Smith Federal Building
Room 101
202 Harlow Street
Bangor, ME 04402-1384
(207) 945-0467(207) 945-0533 FAX

Maryland

Baltimore Field Office
City Crescent Building
10 South Howard Street, Fifth Floor
Baltimore, MD 21201-2505
(410) 962-2520, Ext. 3474(410) 962-1849 FAX

Massachusetts

Boston Regional Office
Thomas P. O'Neill, Jr. Federal Building
10 Causeway Street, Room 301
Boston, MA 02222-1092
(617) 994-8200(617) 565-5257 FAX

Michigan

Detroit Field Office
Patrick V. McNamara Federal Building
477 Michigan Avenue
Detroit, MI 48226-2592
(313) 226-7900(313) 226-5611 FAX

Grand Rapids Field Office
Trade Center Building
50 Louis Street, N.W.
Grand Rapids, MI 49503-2633
(616) 456-2100(616) 456-2114 FAX

Flint Field Office Office
Municipal Center
1101 S. Saginaw Street
Flint, MI 48502-1953
(810) 766-5112(810) 766-5122 FAX

Mid Atlantic Field Offices

(Delaware, District of Columbia, Maryland,
Pennsylvania, Virginia, West Virginia)

Midwest Field Offices

(Illinois, Indiana, Michigan, Minnesota, Ohio,
Wisconsin)

Minnesota

Minneapolis Field Office
220 Second Street, South
Minneapolis, MN 55401-2195
(612) 370-3000, Ext. 2045(612) 370-3220 FAX

Mississippi

Jackson Field Office
Doctor A. H. McCoy Federal Building
100 West Capitol Street, Room 910
Jackson, MS 39269-1096
(601) 965-4757(601) 965-4773 FAX

Missouri

St. Louis Field Office
Robert A. Young Federal Building
1222 Spruce Street, Suite 3207
St. Louis, MO 63103-2836
(314) 539-6583(314) 539-6384 FAX

Montana

Helena Field Office
Power Block Building
7 West Sixth Avenue
Helena, MT 59601
(406) 449-5050(406) 449-5052 FAX

Nebraska

Omaha Field Office
Executive Tower Centre
10909 Mill Valley Road, Suite 100
Omaha, NE 68154-3955
(402) 492-3101(402) 492-3150 FAX

Nevada

Las Vegas Field Office
Atrium Building
333 North Rancho Drive, Suite 700
Las Vegas, NV 89106-3714
(702) 388-6500(702) 388-6244 FAX

Reno Field Office
3702 South Virginia Avenue
Reno, NV 89502-6581
(775) 784-5383(775) 784-5005 FAX

New England Field Offices

(Connecticut, Maine, Massachusetts, New
Hampshire, Rhode Island, Vermont)
Connecticut State Office
One Corporate Center, 19th Floor
Hartford, CT 06103-3220
(860) 240-4844
(860) 240-4850 FAX

New Hampshire

Manchester Field Office
Norris Cotton Federal Building
275 Chestnut Street
Manchester, NH 03103-2487
(603) 666-7510(603) 666-7667 FAX

New Jersey

Camden Field Office
Hudson Building
800 Hudson Square, Second Floor
Camden, NJ 08102-1156
(856) 757-5081(856) 757-5373 FAX

Newark Field Office
One Newark Center, 13th Floor
Newark, NJ 07102-5260
(973) 622-7900(973) 645-2323 FAX

New Mexico

Albuquerque Field Office
625 Silver Avenue S.W., Suite 100
Albuquerque, NM 87102-3185
(505) 346-7320, Ext. 7332(505) 346-6704 FAX

New York

Albany Field Office
52 Corporate Circle
Albany, NY 12203-5121
(518) 464-4200, Ext. 4204(518) 464-4300 FAX
New York Regional Office
26 Federal Plaza, Suite 3541

New York, NY 10278-0068
(212) 264-8000(212) 264-3068 FAX

Syracuse Field Office
128 Jefferson Street
Syracuse, NY 13202
(315) 477-0616(315) 477-0196 FAX

Buffalo Field Office Office
Lafayette Court
465 Main Street, Second Floor
Buffalo, NY 14203-1780
(716) 551-5755, Ext. 5000(716) 551-5752 FAX

New York/New Jersey Field Offices

(New York, New Jersey)]

North Carolina

Greensboro Field Office
Asheville Building
1500 Pinecroft Road
Suite 401
Greensboro, NC 27407-3838
(336) 547-4001(336) 547-4138 FAX

North Dakota

Fargo Field Office
Federal Building
657 2nd Avenue North, Room 366
Fargo, ND 58108-2483
(701) 239-5136(701) 239-5249 FAX

Northern Plains

UMB Plaza
1670 Broadway, 22nd Floor
Denver, CO 80202-4801
(303) 672-5465(303) 672-5003 FAX

Northwest

Seattle Federal Office Building
909 First Avenue, Suite 300
Seattle, WA 98104-1000
(206) 220-5270(206) 220-5234 FAX

Northwest/Alaska Field Offices

(Idaho, Oregon, Washington, Alaska)

Ohio

Cincinnati Field Office
15 East Seventh Street
Cincinnati, OH 45202-2401
(513) 684-3451(513) 684-6224 FAX

Columbus Field Office
200 North High Street
Columbus, OH 43215-2463
(614) 469-2540, Ext. 8116(614) 469-2432 FAX

Cleveland Field Office
Renaissance Building
1350 Euclid Avenue, Suite 500
Cleveland, OH 44115-1815
(216) 522-4058, Ext. 7102(216) 522-4067 FAX

Oklahoma

Oklahoma City Field Office
500 West Main Street, Suite 400
Oklahoma City, OK 73102-2233

Appendix IV: Agency Regional and Local Office Addresses

(405) 553-7509(405) 553-7588 FAX

Tulsa Field Office
1516 South Boston Street, Suite 100
Tulsa, OK 74119-4030
(918) 581-7434(918) 581-7440 FAX

Oregon

Portland Field Office
400 Southwest Sixth Avenue, Suite 700
Portland, OR 97204-1632
(503) 326-2561(503) 326-2568 FAX

Pacific/Hawaii Field Offices

(Arizona, California, Nevada, Hawaii)

Pennsylvania

Pittsburgh Field Office
Sixth Floor
339 Sixth Avenue
Pittsburgh, PA 15222-2515
(412) 644-6436(412) 644-4240 FAX

Philadelphia Regional Office
The Wanamaker Building
100 Penn Square East
Philadelphia, PA 19107-3380
(215) 656-0500(215) 656-3445 FAX

Puerto Rico

San Juan Field Office
New San Juan Office Building
171 Carlos E. Chardon Avenue
San Juan, PR 00918-0903
(787) 766-5201(787) 766-5995 FAX

Rhode Island

Providence Field Office
Sixth Floor
10 Weybosset Street
Providence, RI 02903-2818
(401) 528-5230(401) 528-5312 FAX

Rocky Mountains Field Offices

(Colorado, Montana, North Dakota, South Dakota, Utah, Wyoming)

South Carolina

Columbia Field Office
Strom Thurmond Federal Building 13th Floor
1835 Assembly Street
Columbia, SC 29201-2480
(803) 765-5592(803) 253-3043 FAX

South Dakota

Sioux Falls Field Office
2400 West 49th Street, Suite I-201
Sioux Falls, SD 57105-6558
(605) 330-4223(605) 330-4428 FAX

Southeast/Caribbean Field Offices

(Alabama, Florida, Georgia, Kentucky, Mississippi, North Carolina, South Carolina, Tennessee, Caribbean, Panama Canal Zone, Puerto Rico, Virgin Islands)

Southern Plains

301 N.W. 6th Street Suite 200
Oklahoma City, OK 73102
(405) 609-8532(405) 609-8403 FAX

Southwest

One North Central Avenue Suite 600
Phoenix, AZ 85004-2361
(602) 379-7200(602) 379-3101 FAX

Southwest Field Offices

(Arkansas, Louisiana, New Mexico, Oklahoma, Texas)

Tennessee

Nashville Field Office
235 Cumberland Bend Drive, Suite 200
Nashville, TN 37228-1803
(615) 736-5213, Ext. 7120(615) 736-7848 FAX

Memphis Field Office
One Memphis Place
200 Jefferson Avenue, Suite 1200
Memphis, TN 38103-2335
(901) 544-3367(901) 544-3697 FAX

Knoxville Field Office
John J. Duncan Federal Building
710 Locust Street, Third Floor
Knoxville, TN 37902-2526
(865) 545-4384(865) 545-4569 FAX

Texas

San Antonio Field Office
One Alamo Center
106 South St. Mary's Street
San Antonio, TX 78205
(210) 475-6806(210) 472-6804 FAX

Lubbock Field Office
George H. Mahon Federal Building and United States Courthouse
1205 Texas Avenue, Room 511
Lubbock, TX 79401-4093
(806) 472-7265, Ext. 3030(806) 472-7275 FAX

Houston Field Office
1301 Fannin Suite 2200
Houston, TX 77002
(713) 718-3199(713) 313-2319 FAX

Fort Worth Regional Office
801 Cherry Street
P.O. Box 2905
Fort Worth, TX 76113-2905
(817) 978-5965(817) 978-5567 FAX

Dallas Field Office
525 Griffin Street, Room 860
Dallas, TX 75202-5007
(214) 767-8300(214) 767-8973 FAX

Utah

Salt Lake City Field Office
125 South State Street Suite 3001
Salt Lake City, UT 84138
(801) 524-6070(801) 524-3439 FAX

Vermont

Burlington Field Office

159 Bank Street, 2nd Floor
Burlington, VT 05401-4410
(802) 951-6290(802) 951-6298 FAX

Virginia

Richmond Field Office
The 3600 Centre
600 East Broad Street, 3rd Floor
Richmond, VA 23219-4920
(804) 771-2100(804) 771-2090 FAX

Washington

Spokane Field Office
U.S. Courthouse Building
920 West Riverside, Room 588
Spokane, WA 99201-1010
(509) 353-0674(509) 353-0682 FAX

Seattle Regional Office
Seattle Federal Office Building
909 1st Avenue, Suite 200
Seattle, WA 98104-1000
(206) 220-5101(206) 220-5108 FAX

West Virginia

Charleston Field Office
Kanawha Valley Building
405 Capitol Street, Suite 708
Charleston, WV 25301-1795
(304) 347-7000, Ext. 101(304)-347-7050 FAX

Wisconsin

Milwaukee Field Office
Henry S. Reuss Federal Plaza
310 West Wisconsin Avenue, Suite 1380
Milwaukee, WI 53203-2289
(414) 297-3214, Ext. 8001(414) 297-3947 FAX

Wyoming

Casper Field Office
Federal Office Building
100 East B Street, Room 1010
Casper, WY 82601-1969
(307) 261-6250(307) 261-6245 FAX

DEPARTMENT OF THE INTERIOR

District I

All Indian Reservations in North Dakota, South Dakota, Nebraska, Wisconsin, Michigan and Minnesota
Office of Law Enforcement
Bureau of Indian Affairs
115 4th Avenue, S.E., MC: 302
Aberdeen, SD 57401

District II

All Indian Tribes in Eastern Oklahoma, all Indian Reservations in Western Oklahoma, and Kansas
Office Law Enforcement
Bureau of Indian Affairs
101 N. 5th Street
Muskogee, OK 74401

District III

All Indian Reservations in Arizona, Nevada, Utah

Appendix IV: Agency Regional and Local Office Addresses

(excluding Navajo) and Indian Reservations in Northern California
Office of Law Enforcement
Bureau of Indian Affairs
N. 5th Street, Bldg 2
Mailing Address:
P.O. Box 10
Phoenix, AZ 85001

District IV

All Indian Reservations in Southern Colorado, New Mexico, and all Navajo Indian Reservations in Arizona, New Mexico and Utah
Office of Law Enforcement
Bureau of Indian Affairs
P.O. Box 26567
Albuquerque, NM 87125-6567

District V

All Indian Reservations in Montana, Wyoming, Oregon, Washington, Idaho, and Alaska
Office of Law Enforcement
Bureau of Indian Affairs
316 North 26th Street
Billings, MT 59101

Eastern Region

All Indian Reservations in Alabama, Connecticut, Florida, Louisiana, Maine, Mississippi, New York, North Carolina and Rhode Island
Office of Law Enforcement
Bureau of Indian Affairs
1849 C Street, NW., MS 4550 MIB
Washington, DC 20240-0001

BUREAU OF INDIAN AFFAIRS

Deputy Commissioner of Indian Affairs

1849 C Street N.W., MS-4140 MIB
Washington, DC 20240
(202) 208-5116

101 12th Avenue, Room 16

Metlakatla Field Office
P.O. Box 450
Metlakatla, AK 99926
(907) 886-3791

Fairbanks Agency
Fairbanks, AK 99701-6270
(907) 456-0222

Alaska

Alaska Regional Office
P.O. Box 25520
Juneau, AK 99802-5520
(907) 586-7177

Bethel Field Office
1675 C Street, Suite 279
Anchorage, AK 99501-5198
(907) 271-4086

Anchorage Education Field Office
1675 C Street
Anchorage, AK 99501
(907) 271-4115

Anchorage Field Office
1675 C Street, Suite 211
Anchorage, AK 99501-5198
(907) 271-4088

Arizona

Western Regional Office
Two Arizona Center, 12th Floor
P.O. Box 10, MS-100
Phoenix, AZ 85001-0010
(602) 379-6600

Pima Agency-Education
400 North 5th Street
PO Box 10
Phoenix, AZ 85001
(602) 379-3944

Western Navajo Agency-Education
Highway 160 and Warrior Drive
Building 407
PO Box 746
Tuba City, AZ 86045
(520) 283-2218

Western Navajo Agency
P.O. Box 127
Tuba City, AZ 86045
(520) 283-2254, 2252

Hopi Agency-Education
Highway 264
PO Box 568
Keams Canyon, AZ 86034
(520) 738-2262

Colorado River Agency, Route 1, Box 9-C
Parker, AZ 85344
(520) 669-7111

Fort Apache Agency
P.O. Box 560
Whiteriver, AZ 85941
(520) 338-5353

Ft. Apache Agency-Education
Highway 73 and Elm Street
PO Box 920
White River, AZ 85941
(520) 338-5441

Fort Defiance Agency, P.O. Box 619
Fort Defiance, AZ 86504
(520) 729-7217, 7218

Chinle Agency
P.O. Box 7H
Chinle, AZ 86503
(520) 674-5100

Papago Agency
P.O. Box 578
Sells, AZ 85634
(520) 383-3286

Papago Agency-Education
South Building #49
PO Box 38
Sells, AZ 85634
(520) 383-3292

Fort Defiance Agency-Education
Building 38, Blue Canyon Highway 110
PO Box 110
Fort Defiance, AZ 86504-0110
(520) 729-7251

Pima Agency
P.O. Box 8
Sacaton, AZ 85247
(520) 562-3326

Chinle Agency-Education
Navjo Route 7
PO Box 6003
Chinle, AZ 86503
(520) 674-5130 Ext. 201

Fort Yuma Field Office
P.O. Box 11000
Yuma, AZ 85366-1000
(760) 572-0248

Truxton Canon Field Office
P.O. Box 37
Valentine, AZ 86437
(520) 769-2286

Sacramento Area Education Office
2800 Cottage Way
Sacramento, CA 95825
(916) 979-2560, Ext. 234

San Carlos Agency
P.O. Box 209
San Carlos, AZ 85550
(520) 475-2321

Hopi Agency
P.O. Box 158
Keams Canyon, AZ 86034
(520) 738-2228

Salt River Field Office
10000 E. McDowell Road
Scottsdale, AZ 85256
(602) 640-2168

California

Southern California Agency
2038 Iowa Avenue, Suite 101
Riverside, CA 92507-0001
(909) 276-6624

Central California Agency
1824 Tribute Road, Suite J
Sacramento, CA 95815
(916) 566-7121

Palm Springs Field Office
P.O. Box 2245
650 E. Tahquitz Canyon Way, Suite A
Palm Springs, CA 92262
(760) 416-2133

Northern California Field Office
1900 Churn Creek Road, Suite 300
Redding, CA 96002
(530) 246-5141

(See also Arizona (Western)
Pacific Regional Office
Federal Office Building

Appendix IV: Agency Regional and Local Office Addresses

2800 Cottage Way
Sacramento, CA 95825-1846
(916) 979-2600

Colorado

Southern Ute Agency
P.O. Box 315
Ignacio, CO 81137
(970) 563-4511

Ute Mountain Ute Field Office
P.O. Box KK
Towaoc, CO 81334
(970) 565-8473

Florida

Seminole Agency
6075 Sterling Road
Hollywood, FL 33024
(954) 356-7288

Idaho

Plummer Subagency
P.O. Box 408
850 A Street
Plummer, ID 83851
(208) 686-1887

Northern Idaho Agency
P.O. Drawer 277
Lapwai, ID 83540
(208) 843-2300

Fort Hall Agency
P.O. Box 220
Fort Hall, ID 83203
(208) 238-2301

Kansas

Haskell Indian Nations University
155 Indian Avenue #1305
Lawrence, KS 66046-4800
(785) 749-8404

Haskell Indian Nations University
155 Indian Avenue
Lawrence, KS 66046
(785) 749-8404

Michigan

Michigan Field Office
2901.5 I-75 Business Spur
Sault Ste Marie, MI 49783
(906) 632-6809

Horton Field Office
P.O. Box 31
Horton, KS 66439
(785) 486-2161

Minnesota

Minneapolis Area Education Office
331 South Second Avenue
Minneapolis, MN 55401-2241
(612) 373-1000, Ext. 1090

Midwest Regional Office
One Federal Drive, Room 550
St. Snelling, MN 55111

(612) 713-4400, Ext. 1020
Red Lake Field Office
Red Lake, MN 56671
(218) 679-3361

Minnesota Agency
Room 418, Federal Building
522 Minnesota Avenue, NW
Bemidji, MN 56601-3062
(218) 751-2011

Mississippi

Choctaw Field Office
421 Powell Street
Philadelphia, MS 39350
(601) 656-1522

Montana

Northern Cheyenne Agency
P.O. Box 40
Lame Deer, MT 59043
(406) 477-8242

Billings Area Education Office
316 North 26th Street
Billings, MT 59101-1397
(406) 247-7953

Flathead Field Office
P.O. Box 40
Pablo, MT 59855-5555
(406) 675-0242

Rocky Mountain Regional Office
316 North 26th Street
Billings, MT 59101-1397
(406) 247-7943

Crow Agency, MT 59022
(406) 638-2672

Fort Belknap Agency
RR 1, Box 980
Harlem, MT 59526
(406) 353-2901, Ext. 23

Rocky Boy's Field Office
RR 1, Box 542
Box Elder, MT 59521
(406) 395-4476

Fort Peck Agency
P.O. Box 637
Poplar, MT 59255
(406) 768-5312

Crow Agency
Box 69

Blackfeet Agency
P.O. Box 880
Browning, MT 59417
(406) 338-7544

Navajo Regional Office

P.O. Box #1060
Gallup, NM 87305
(505) 863-8314

Nebraska

Winnebago Agency

P.O. Box 18
Winnebago, NE 68071
(402) 878-2502

Nevada

Western Nevada Agency
1677 Hot Springs Road
Carson City, NV 89706
(775) 887-3500

Eastern Nevada Field Office
1555 Shoshone Circle
Elko, NV 89801
(775) 738-0569

New Mexico

Northern Pueblos Agency
P.O. Box 4269
Fairview Station
Española, NM 87533
(505) 753-1400

Shiprock Agency
P.O. Box 966
Shiprock, NM 87420
(505) 368-3300

Shiprock Agency-Education
Highway 666N
PO Box 3239
Shiprock, NM 87420-3239
(505) 368-4427, Ext. 360
FTS 8 (700) 479-4370

Zuni Agency
P.O. Box 369
Zuni, NM 87327
(505) 782-5591

Laguna Agency
P.O. Box 1448
Laguna, NH 87026
(505) 552-6001

Ramah-Navajo Agency
Route 2, Box 14
Ramah, NM 87321
(505) 775-3235

Jicarilla Agency
P.O. Box 167
Dulce, NM 87528
(505) 759-3951

Eastern Navajo Agency, P.O. Box 328
Crownpoint, NM 87313
(505) 786-6100

Southern Pueblos Agency-Education
1000 Indian School Road NW
PO Box 1667
Albuquerque, NM 87103
(505) 346-2431

Northern Pueblos Agency-Education
1 Mile North of Espanola
Highway 68
PO Box 4269
Fairview Station
Española, NM 87533
(505) 753-1465

Appendix IV: Agency Regional and Local Office Addresses

Mescalero Agency
P.O. Box 189
Mescalero, NM 88340
(505) 671-4423

Eastern Navajo Agency-Education
1 Main Street, Building 222
PO Box 328
Crownpoint, NM 87313
(505) 786-6150

(See also Arizona (Navajo)
Southwest Regional Office
615 1st Street, N.W.
P.O. Box 26567
Albuquerque, NM 87125-6567
(505) 346-7590

Southern Pueblos Agency
P.O. Box 1667
Albuquerque, NM 87103
(505) 346-2424

New York

(See Virginia, Eastern Regional Office)

New York Field Office
P.O. Box 7366
Syracuse, NY 13261-7366
(315) 448-0620

North Carolina

Cherokee Agency
Cherokee, NC 28719
(704) 497-9131

(See Virginia, Eastern Regional Office)

North Dakota

Standing Rock Agency-Education
Main Street off Highway 106
Agency Avenue
PO Box E
Fort Yates, ND 58538
(701) 854-3497

Fort Berthold Agency
P.O. Box 370
New Town, ND 58763
(701) 627-4707

Southwestern Indian Polytechnic Institute
9169 Coors Road NW
P.O. Box 10146-9196
Albuquerque, NM 87184
(505) 346-2343

Turtle Mountain Agency
P.O. Box 60
Belcourt, ND 58316
(701) 477-3191

Fort Totten Agency
P.O. Box 270
Fort Totten, ND 58335
(701) 766-4545

Standing Rock Agency
P.O. Box E
Fort Yates, ND 58538
(701) 854-3433

Oklahoma Education Office
4149 Highline Boulevard, Suite 380
Oklahoma City, OK 73108
(605) 945-6051 Ext. 301
(405) 605-6057

Turtle Mountain Agency-Education
School Street
PO Box 30
Belcourt, ND 58316
(701) 477-6471 Ext. 211
(See South Dakota)

Oklahoma

Concho Field Office
P.O. Box 68
El Reno, OK 73036-0068
(405) 262-7481

Siletz Field Office
P.O. Box 569
Siletz, OR 97380
(541) 444-2679

Wewoka Agency
P.O. Box 1060
Wewoka, OK 74884
(405) 257-6259

Southern Plains Office
P.O. Box 368
Anadarko, OK 73005-0368
(405) 247-6673, Ext. 257
Pawnee Agency, P.O. Box 440,
Pawnee, OK 74058-0440
(918) 762-2585

Miami Field Office
P.O. Box 391
Miami, OK 74355
(918) 542-3396

Chickasaw Agency
1500 N. Country Club Road
P.O. Box 2240
Ada, OK 74821
(580) 436-0784

Shawnee Field Office
624 West Independence, Suite 114
Shawnee, OK 74801
(405) 273-0317

Okmulgee Field Office
P.O. Box 370
Okmulgee, OK 74447
(918) 756-3950

Anadarko Agency
P.O. Box 309
Anadarko, OK 73005
(405) 247-6677

Talihina Office
Drawer H
Talihina, OK 74571
(918) 567-2207

Eastern Oklahoma Regional Office
Bureau of Indian Affairs

101 North 5th Street
Muskogee, OK 74401-6206
(918) 687-2295

Osage Agency
P.O. Box 1539
Pawhuska, OK 74056
(918) 287-1032

Oregon

Portland Area Education Office
911 North East 11 Avenue
Portland, OR 97232-4169
(503) 872-2743

Umatilla Agency
P.O. Box 520
Pendleton, OR 97801
(541) 278-3786

Warm Springs Agency
P.O. Box 1239
Warm Springs, OR 97761
(541) 553-2411

(See also Arizona (Western)
Northwest Regional Office
911 N.E. 11th Avenue
Portland, OR 97232-4169
(503) 231-6702

South Dakota

Cheyenne River Agency
P.O. Box 325
Eagle Butte, SD 57625
(605) 964-6611

Lower Brule Agency
P.O. Box 190
Lower Brule, SD 57548
(605) 473-5512

Great Plains Regional Office
Bureau of Indian Affairs
115 4th Avenue, SE
Aberdeen, SD 57401-4382
(605) 226-7343

Crow Creek Agency
P.O. Box 139
Ft. Thompson, SD 57339
(605) 245-2311

Yankton Agency
P.O. Box 577
Wagner, SD 57380
(605) 384-3651

Pine Ridge Agency
P.O. Box 1203
Pine Ridge, SD 57770
(605) 867-5125

Crow Creek/Lower Brule Agency-Education
140 Education Avenue
PO Box 139
Fort Thompson, SD 57339
(605) 245-2398

Sisseton Agency
P.O. Box 688

Appendix IV: Agency Regional and Local Office Addresses

Agency Village, SD 57262
(605) 698-3001

Rosebud Agency-Education
1001 Avenue D
PO Box 669
Mission, SD 57555
(605) 856-4478 Ext. 261

Rosebud Agency
P.O. Box 550
Rosebud, SD 57570
(605) 747-2224

Cheyenne River Agency-Education
100 North Main
PO Box 2020
Eagle Butte, SD 51625
(605) 964-8722

Pine Ridge Agency-Education
101 Main Street
PO Box 333
Pine Ridge, SD 57770
(605) 867-1306

Utah

Southern Paiute
Field Office
P.O. Box 720
St. George, UT 84771
(435) 674-9720

(see Arizona (Navajo and Western), and Oregon)

Uintah and Ouray Agency
P.O. Box 130
Fort Duchesne, UT 84026
(435) 722-4300

Virginia

South and Eastern States Agency-Education
3701 North Fairfax Drive, Suite 260
Arlington, VA 22203
(703) 235-3233

Eastern Regional Office
3701 North Fairfax Drive
Suite 260
Arlington, VA 22203
(703) 235-3006

Washington

Yakima Agency
P.O. Box 151 (Tribal)
P. O. Box 632 (BIA)
Toppenish, WA 98948
(509) 865-5121

(See Oregon)

Colville Agency
P.O. Box 111
Nespelem, WA 99155-0111
(509) 634-2316

Olympic Peninsula Agency
P.O. Box 48
Aberdeen, WA 98520
(360) 533-9100

Spokane Agency
P.O. Box 389
Wellpinit, WA 99040
(509) 258-4561

Puget Field Office
2707 Colby Avenue, Suite 1101
Everett, WA 98201
(425) 258-2651

Makah Field Office
P.O. Box 115
Neah Bay, WA 98357
(360) 645-3232

Wisconsin

Great Lakes Agency
615 Main Street, West
P.O. Box 273
Ashland, WI 54806-0273
(715)682-4527

(See Minnesota)

Wyoming

(See Montana)

Cross-references denote which area or offices
provide services to eligible Indians within the
State.

Wind River Agency
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Fort Washakie, WY 82514
(307) 332-7810

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(602) 417-9266

Branch of Procurement Management

1849 C Streets, N.W., MS: 1075-LS
(This office handles Bureau-wide inquiries)
Washington, DC 20240
(202) 452-5170

California

2800 Cottage Way, Suite W-1834
Sacramento, CA 95825-1886
(916) 978-4527

Colorado

2850 Youngfield Street
Lakewood, CO 80215-7076
(303) 239-3677

Eastern States Office

7450 Boston Boulevard
Springfield, VA 22153
(703) 440-1596

Idaho

1387 S. Vinnell Way
Boise, ID 83709-1657
(208) 373-3909

Montana

5001 Southgate Drive
P.O. Box 36800
Billings, MT 59107-6800
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Nevada

1340 Financial Boulevard
P.O. Box 12000
Reno, NV 89520-0006
(702) 861-6417

New Mexico

435 Montano NE
Albuquerque, NM 87107
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Oregon

1515 SW 5th Avenue
P.O. Box 2965
Portland, OR 97208
(503) 952-6220

Utah

324 South State Street, Suite 301
Salt Lake City, UT 84111-2303
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Wyoming

5353 Yellowstone Road
P.O. Box 1828
Cheyenne, WY 82005
(307) 775-6058

BUREAU OF RECLAMATION

Commissioner's Office, Denver, Colorado

P.O. Box 25007
Denver Federal Center
Denver, CO 80225-0007
(303) 445-2692

Great Plains Regional Office

PO Box 36900
Billings, MT 59107-6900
(406) 247-7600

Lower Colorado Regional Office

PO Box 61470
Boulder City, NV 89006-1470
(702) 293-8411

Mid-Pacific Regional Office

Federal Office Building
2800 Cottage Way
Sacramento, CA 95825-1898
(916) 978-5000

Pacific Northwest Regional Office

1150 North Curtis Road, Suite 100
Boise, ID 83706-1234

Appendix IV: Agency Regional and Local Office Addresses

(208) 378-5012

Upper Colorado Regional Office

125 South State Street, Room 6107
Salt Lake City, UT 84138-1102
(801) 524-3600

FISH AND WILDLIFE SERVICE

(Region VI: Colorado, Kansas, Montana, Nebraska, North Dakota, South Dakota, Utah, Wyoming)

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Denver Federal Center
Denver, CO 80025
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(Region VII: Alaska)

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Georgia

(Region IV: Alabama, Arkansas, Florida, Georgia, Kentucky, Louisiana, Mississippi, North Carolina, Puerto Rico, South Carolina, Tennessee, Virgin Islands)
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Massachusetts

(Region V: Connecticut, Delaware, Maine, Maryland, Massachusetts, New Hampshire, New Jersey, New York, Pennsylvania, Rhode Island, Vermont, Virginia, West Virginia, Washington, District of Columbia)
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(Region III: Illinois, Indiana, Iowa, Michigan, Minnesota, Missouri, Ohio, Wisconsin)
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New Mexico

(Region II: Arizona, New Mexico, Oklahoma, Texas)
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Oregon

(Region I: California, Hawaii, Idaho, Nevada, Oregon, Washington)
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NATIONAL PARK SERVICE

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Alaska Support Office

National Park Service
Robert D. Barbee, Regional Director
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Anchorage, AK 99503-2892
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Intermountain Region

National Park Service
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Midwest Region

Alan M. Hutchings, Associate Regional Director
Professional Services and Legislation
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National Capital Region

National Park Service
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Washington, DC 20242
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Northeast Region

Marie Rust, Director
National Park Service
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Pacific West Region

National Park Service
Vacant
Resources, Stewardship and Partnership
111 Jackson Street, Suite 700
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Southeast Region

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Atlanta Federal Center, 1924 Building
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American Samoa Historic Preservation Office
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Arizona State Parks
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Vacant
Arkansas Historic Preservation Program
1500 Tower Building
323 Center Street
Little Rock, AR 72201
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California

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Department of Parks and Recreation
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Ms. Lilliane D. Lopez, State Historic Preservation Officer
La Fortaleza

Appendix IV: Agency Regional and Local Office Addresses

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Commonwealth of the Northern Mariana Islands
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Delaware

Mr. Daniel R. Griffith, Director
Division of Historical and Cultural Affairs
Halls of Records
The Green
Dover, DE 19901
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Denver Service Center

Manager, National Park Service
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Denver, CO 80225
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441 4th Street, N.W., Suite 920-S
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Department of Health, Education & Social Affairs
FSM National Government
P.O. Box PS 70
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Harpers Ferry Center

National Park Service
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Mr. Steve Guerber, Interim State Historic Preservation Officer
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Illinois

Mr. William L. Wheeler, Associate Director
Illinois Historic Preservation Agency
Preservation Services Division
One Old State Capitol
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Indiana

Indianapolis, IN 46204
Mr. Larry D. Macklin, State Historic Preservation Officer and Director
Department of Natural Resources
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Iowa

Ms. Anita Walker, Acting State Historic Preservation Officer
State Historical Society of Iowa
600 East Locust Street
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(515) 281-8837

Kansas

Mr. Ramon S. Powers, Executive Director
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6425 Southwest 6th Avenue
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(785) 272-8681, Ext. 205

Kentucky

Mr. David Morgan, State Historic Preservation Officer and Director
Kentucky Heritage Council
300 Washington Street
Frankfort, KY 40601
(502) 564-7005, Ext. 11

Louisiana

Ms. Laurel Wyckoff, Assistant Secretary
Office of Cultural Development
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Baton Rouge, LA 70804
(225) 342-8160

Maine

Mr. Earle G. Shettleworth, Jr., Director,
Maine Historic Preservation Commission
55 Capitol Street, Station 65
Augusta, ME 04333-0065
(207) 287-2132

Maryland

Mr. J. Rodney Little, Executive Director
Historical and Cultural Programs
Department of Housing and Community Development
Peoples Resource Center
100 Community Place, 3rd Floor
Crownsville, MD 21032-2023
(410) 514-7600

Massachusetts

Ms. Brona Simon, Acting State Historic Preservation Officer
Executive Director, Massachusetts Historical Commission
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Michigan

Mr. Brian D. Conway
State Historic Preservation Officer
Bureau of Michigan History
Department of State
717 W. Allegan
Lansing, MI 48918-0001
(517) 373-0511

Minnesota

Dr. Nina M. Archabal, Director
Minnesota Historical Society
State Historic Preservation Office
345 Kellogg Boulevard West
St. Paul, MN 55102
(612) 296-2747

Mississippi

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Mississippi Department of Archives and History
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Jackson, MS 39205
(601) 359-6850

Missouri

Appendix IV: Agency Regional and Local Office Addresses

Mr. Stephan Mahfood, Director
Department of Natural Resources
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Jefferson City, MO 65102
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Montana

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Montana Historical Society
1410 8th Avenue, P.O. Box 201202
Helena, MT 59620-1202
(406) 444-7715

Nebraska

Mr. Lawrence J. Sommer, Director
Nebraska State Historical Society
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(402) 471-4746

Nevada

Mr. Ronald M. James, State Historic Preservation Officer
Department of Museums, Library and Arts
100 North Stewart Street
Capitol Complex
Carson City, NV 89710-4285
(775) 684-3440

New Hampshire

Mrs. Nancy C. Dutton, Director
Division of Historical Resources
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Concord, NH 03302-2043
(603) 271-6435

New Jersey

Mr. Robert C. Shinn, Jr., Commissioner
Department of Environmental Protection
401 East State Street
P.O. Box 304
Trenton, NJ 08625
(609) 292-2885

New Mexico

Mr. Elmo Baca, State Historic Preservation Division
Office of Cultural Affairs
Villa Rivera Building, 3rd Floor
228 E. Palace Avenue
Santa Fe, NM 87503
(505) 827-6320

New York

Mrs. Bernadette Castro, Commissioner
Office of Parks, Recreation and Historic Preservation
Empire State Plaza
Agency Building 1, 20th Floor
Albany, NY 12238
(518) 474-0443

North Carolina

Dr. Jeffrey J. Crow, Director

Department of Cultural Resources
Division of Archives and History
4617 Mail Service Center
Raleigh, NC 27699-4617
(919) 733-7305

North Dakota

Mr. Merl Paaverud, Interim Superintendent
State Historical Society of North Dakota
ND Heritage Center
612 East Boulevard Avenue
Bismarck, ND 58505-0830
(701) 328-2666

Ohio

Dr. Amos J. Loveday, Jr., State Historic Preservation Officer
Ohio Historic Preservation Office
Ohio Historical Society
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Columbus, OH 43211-1030
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Dr. Bob L. Blackburn
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Oregon

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Oregon Parks and Recreation Department
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Pennsylvania

Dr. Brent D. Glass, State Historic Preservation Officer
Pennsylvania Historical and Museum Commission
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(717) 787-2891

Republic of Palau

Ms. Victoria N. Kanai, Historic Preservation Officer
Ministry of Social Services
Division of Cultural Affairs
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Koror, PW 96940
(680) 488-2489

Republic of the Marshall Islands

Mr. Frederick deBrum, Secretary of Interior Affairs and Historic Preservation Officer, Republic of the Marshall Islands
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Majuro, MH 96960
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Rhode Island

Mr. Frederick C. Williamson, State Historic Preservation Officer
Historical Preservation and Heritage Commission

Old State House
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Providence, RI 02903
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South Carolina

Dr. Rodger E. Stroup, Director
Department of Archives and History
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South Dakota

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South Dakota State Historical Society
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(605) 773-3458

Tennessee

Mr. Milton H. Hamilton Jr., Commissioner and State Historic Preservation Officer
Department of Environment and Conservation
2941 Lebanon Road
Nashville, TN 37243-0442
(615) 532-0109

Texas

Mr. Lawrence Oaks, Executive Director
Texas Historical Commission
P.O. Box 12276, Capitol Station
Austin, TX 78711-2276
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Utah

Mr. Max J. Evans, State Historic Preservation Officer and Director
Utah State Historical Society
300 Rio Grande
Salt Lake City, UT 84101
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Vermont

Ms. Emily Wadhams
State Historic Preservation Officer and Director
Agency of Commerce and Community Development
Vermont Division for Historic Preservation
National Life Building, Drawer 20
Montpelier, VT 05620-0501
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Virgin Islands

Mr. Dean C. Plaskett, Esq., Commissioner
Department of Planning and Natural Resources Preservation
Niskey Center, Suite 231
Number 45A, Estate Nisky
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Virginia

Ms. Kathleen S. Kilpatrick, Director
Department of Historic Resources
2801 Kensington Avenue

Appendix IV: Agency Regional and Local Office Addresses

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Washington

Dr. Allyson Brooks, State Historic Preservation Officer
Office of Archaeology and Historic Preservation,
Washington State
Department of Community, Trade, and Economic
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West Virginia

Ms. Nancy Herholdt, State Historic Preservation Officer & Commissioner
Division of Culture and History
1900 Kanawha Boulevard East
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Wisconsin

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Wyoming

Mr. Richard Curritt, Director
Wyoming State Historic Preservation Office
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Cheyenne, WY 82002
(307) 777-7697

National Park Service

Terrel Emmons
Professional Services
1849 C Street N.W.
Washington, DC 20240
(202) 208-3264

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Office of Surface Mining

U.S. Department of the Interior
Director, Knoxville Field Office
530 Gay Street, S.W., Suite 500
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(423) 545-4103

U.S. Department of the Interior
Director, Big Stone Gap Field Office
Powell Valley Square Shopping Center
1941 Neeley Road, Suite 201
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Big Stone Gap, VA 24219
(540) 523-4303

U.S. Department of the Interior
Director, Harrisburg Field Office
415 Market Street, Suite 3C
Harrisburg Transportation Center
Harrisburg, PA 17101

(717) 782-4036

U.S. Department of the Interior
Director, Charleston Field Office
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Charleston, WV 25301
(304) 347-7162

U.S. Department of the Interior
Western Regional Coordinating Center
1999 Broadway, Suite 3320
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U.S. Department of the Interior
Director, Birmingham Field Office
135 Gemini Circle, Suite 215
Homewood, AL 35209
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U.S. Department of the Interior
Mid-Continent Regional Coordinating Center
Alton Federal Building
501 Belle Street, Room 216
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U.S. Department of the Interior
1951 Constitution Avenue N.W.
Washington, DC 20240
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U.S. Department of the Interior
Director, Indianapolis Field Office
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Indianapolis, IN 46204
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U.S. Department of the Interior
Director, Lexington Field Office
2675 Regency Road
Lexington, KY 40503-2922
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U.S. Department of the Interior
Director, Casper Field Office
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100 East "B" Street, Room 2128
Casper, WY 82601-1918
(307) 261-6555

U.S. Department of the Interior
Director, Tulsa Field Office
5100 East Skelly Drive, Suite 470
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U.S. Department of the Interior
Director, Albuquerque Field Office
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U.S. Department of the Interior
Appalachian Regional Coordinating Center
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U.S. GEOLOGICAL SURVEY

REGIONAL OFFICES

California

(Western Region)
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Colorado

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Appendix IV: Agency Regional and Local Office Addresses

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(206) 220-4600

West Virginia

(Eastern Region-Alabama, Connecticut, Delaware, Florida, Georgia, Illinois, Indiana, Kentucky, Maine, Maryland, Massachusetts, Michigan, Mississippi, New Hampshire, New Jersey, New York, North Carolina, Ohio, Pennsylvania, Puerto Rico, Rhode Island, South Carolina, Tennessee, Vermont, Virgin Islands, Virginia, West Virginia, Wisconsin)
Mr. Gregory Smith, Acting Regional Chief Biologist
National Center, Room 4A100
12201 Sunrise Valley Drive, MS 300
Reston, VA 20192
(703) 648-4060

DEPARTMENT OF JUSTICE

Arizona

Special Agent-in-Charge, Investigations, OIG
10 East Broadway, Suite 105
For letter mail: P.O. Box 471
Tucson, AZ 85702-0471
(520) 670-5243(520) 670-5246 FAX Use the same number for FTS

California

Regional Audit Manager, Audit, OIG
1200 Bayhill Drive, Suite 201
San Bruno, CA 94066
(650) 876-9220
(650) 876-0902 FAX
Use the same number for FTS

Special Agent, Investigations, OIG
321 South Waterman Avenue, Room 108
El Centro, CA 92243
(760) 335-3549(760) 335-3534 FAX

Special Agent-in-Charge, Investigations, OIG
330 North Brand Street, Suite 655
Glendale, CA 91203
(818) 543-1172
(818) 637-5082 FAX
Use the same number for FTS

Special Agent-in-Charge, Investigations, OIG
1200 Bayhill Drive, Suite 220
San Bruno, CA 94066
(650) 876-9058
(650) 876-9083 FAX
Use the same number for FTS

Special Agent-in-Charge, Investigations, OIG
701 B Street, Suite 560
San Diego, CA 92101
(619) 557-5970
(619) 557-6518 FAX
Use the same number for FTS

Colorado

Special Agent, Investigation, OIG
Plaza of the Rockies
111 South Tejon Street, Suite 312
Colorado Springs, CO 80903
(719) 635-2366
(719) 635-4769 FAX

Assistant Regional Audit Manager, Audit, OIG
The Chancery Building, 1120 Lincoln Street, Suite 1603
Denver, CO 80203
(303) 864-2000
(303) 864-2004 FAX
Use the same number for FTS

Florida

Special Agent-in-Charge, Investigations, OIG
3800 Inverrary Boulevard, Suite 312
Ft. Lauderdale, FL 33319
(954) 535-2859
(954) 535-5436 FAX
Use the same number for FTS

Georgia

Special Agent, Investigations, OIG
60 Forsyth Street, S.W., Suite 8M45

Atlanta, GA 30303
(404) 562-1980
(404) 562-1960 FAX

Regional Audit Manager, Audit, OIG
Richard B. Russell Federal Building
75 Spring Street, Suite 1130
Atlanta, GA 30303
(404) 331-5928
Use the same number for FTS
(404) 331-5046 FAX

Illinois

Regional Audit Manager, Audit, OIG
CitiCorp Center
500 West Madison Street, Suite 3510
Chicago, IL 60661
(312) 353-1203
(312) 886-0513 FAX
Use the same number for FTS

Special Agent-in-Charge, Investigations OIG
Citicorp Center
500 West Madison Street, Suite 3510B
For letter mail: P.O. Box 1802
Chicago, IL 60690-1802
(312) 886-7050
(312) 886-7065 FAX
Use the same number for FTS

Massachusetts

Special Agent Investigations, OIG
U.S. Attorneys Office
Mailing Address
P.O. Box 2134
1 Courthouse Way, Room 9200
Boston, MA 02210
(617) 748-3218
(617) 748-3965 FAX

New York

Special Agent-in-Charge, Investigations, OIG
JFK Airport, North Boundary Road, Building #77, Penthouse #2
For letter mail: JFK Airport, P.O. Box 300999
Jamaica, NY 11430-0999
FTS (718) 553-7520
(718) 553-7533 FAX
Use the same number for FT

Pennsylvania

Regional Audit Manager, Audit, OIG
701 Market Street, Suite 201
Philadelphia, PA 19106
(215) 580-2111
(215) 597-1348 FAX
Use the same number for FTS

Texas

Special Agent-in-Charge, Investigations, OIG
Texas Commerce Center
1701 West Business Highway 83, Suite 510
Bentsen Tower
McAllen, TX 78501
(956) 618-8145
(956) 618-8151 FAX

Appendix IV: Agency Regional and Local Office Addresses

Use the same number for FTS

Regional Audit Manager, Audit, OIG
207 S. Houston Street, Box 4, Room 575
Dallas, TX 75202-4724
(214) 655-5000
(214) 655-5025 FAX

Use the same number for FTS

Special Agent-in-Charge, Investigations, OIG
Bob Casey Federal Courthouse
515 Rusk Avenue, Suite 3307
Letter mail:
P.O. Box 610071
Houston, TX 77208-9998
(713) 718-4888
(713) 718-4706 FAX

Special Agent-in-Charge, Investigations, OIG
4050 Rio Bravo, Suite 200
El Paso, TX 79902
(915) 577-0102
(915) 577-9012 FAX

Use the same number for FTS

Special Agent, Investigations, OIG
207 South Houston Street, Box 5, Room 551
Dallas, TX 75202-4724
(214) 655-5076
(214) 655-5071 FAX

Washington

Special Agent, Investigations, OIG
620 Kirkland Way, Suite 104
Kirkland, WA 98033-6021
(425) 828-3998
(425) 827-2183 FAX
Use the same number for FTS

Washington, DC

Special Agent-in-Charge, Investigations, OIG
1425 New York Avenue, N.W., Suite 7100
For letter mail: P.O. Box 27718
Washington, DC 20038-7718
(202) 616-4760
(202) 616-9881 FAX
Use the same number for FTS

Regional Audit Manager (Washington), Audit, OIG
1425 New York Avenue, N.W., Suite 6100
Washington, DC 20530
(202) 616-4688
(202) 616-4581 FAX
Use the same number for FTS

Fraud Detection Unit
Special Agent, Investigations, OIG
1425 New York Avenue, N.W., Suite 7100
Washington, DC 20530
(202) 616-4760
(202) 616-9881 FAX

COMMUNITY RELATIONS SERVICE

Detroit

(Michigan)
211 West Fort Street, Room 1404
Detroit, MI 48226

(313) 226-4010

Houston

(Texas)
515 Rusk Avenue, Room 12605
Houston, TX 77002
(713) 718-4861

Miami

(Florida)
51 First Avenue, S.W., Room 624
Miami, FL 33130
(305) 536-5206

Region I

(Connecticut, Maine, Massachusetts, New Hampshire, Rhode Island, Vermont)
408 Atlantic Avenue, Suite 222
Boston, MA 02110
(617) 424-5715

Region II

(New Jersey, New York, Puerto Rico, Virgin Islands)
Room 36-118
26 Federal Plaza
New York, NY 10278
(212) 264-0700

Region III

(Delaware, District of Columbia, Maryland, Pennsylvania, Virginia, West Virginia)
2nd and Chestnut Street, Room 208
Philadelphia, PA 19106
(215) 597-2344

Region IV

(Alabama, Florida, Georgia, Kentucky, Mississippi, North Carolina, South Carolina, Tennessee)
75 Piedmont Avenue N.E., Room 900
Atlanta, GA 30303
(404) 331-6883

Region IX

(Arizona, California, Guam, Hawaii, Nevada)
888 South Figueroa Street, Suite 1880
Los Angeles, CA 90017
(213) 894-2941

Region V

(Illinois, Indiana, Michigan, Minnesota, Ohio, Wisconsin)
55 West Monroe Street, Room 420
Chicago, IL 60603
(312) 353-4391

Region VI

(Arkansas, Louisiana, New Mexico, Oklahoma, Texas)
1420 West Mockingbird Lane, Suite 250
Dallas, TX 75247
(214) 655-8175

Region VII

(Iowa, Kansas, Missouri, Nebraska)

1100 Main Street, Suite 1320
Kansas City, MO 64105
(816) 426-7434

Region VIII

(Colorado, Montana, North Dakota, South Dakota, Wyoming, Utah)
1244 Speer Boulevard, Suite 650
Denver, CO 80204-3584
(303) 844-2973

Region X

(Alaska, Idaho, Oregon, Washington)
915 Second Avenue, Room 1808
Seattle, WA 98174
(206) 220-6700

San Francisco

(California)
120 Howard Street, Suite 790
San Francisco, CA 94105
(415) 744-6565

DRUG ENFORCEMENT ADMINISTRATION

RESEARCH HEADQUARTERS

Atlanta Field Division

75 Spring Street, S.W., Room 740, A53
Atlanta, GA 30303

Boston Field Division

JFK Federal Bldg., Room E-400
15 Sudbury Street
Boston, MA 02203-0402

Caribbean Division

Metro Office Park
Building 17
Guaynabo, PR 00968

Chicago Field Division

230 South Dearborn Street, Suite 1200
Chicago, IL 60604

Dallas Field Division

10160 Technology Boulevard
East Dallas, TX 75220-4343

DEA/EPIC

11339 SSG Sims Street
El Paso, TX 79908-8098

Denver Field Division

115 Inverness Drive, East
Englewood, CO 80112-5116

Detroit Field Division

431 Howard Street
Detroit, MI 48226

El Paso Division

600 South Mesa Hills Drive
El Paso, TX 79912

Houston Field Division

1433 West Loop South, Suite 600

Appendix IV: Agency Regional and Local Office Addresses

Houston, TX 77027-9506

Los Angeles Field Division

Edward Royal Federal Building
225 East Temple Street, 20th Floor
Los Angeles, CA 90012

Miami Field Division

8400 N.W. 53rd Street
Miami, FL 33166

Mid-Atlantic Laboratory

460 New York Avenue NW
Washington, DC 20532-0001

New Orleans Field Division

3838 North Causeway Boulevard
Three Lakeway Center, Suite 1800
Metairie, LA 70002

New York Field Division

99 10th Avenue
New York, NY 10011

Newark Field Division

80 Mulberry Street, 2nd Floor
Newark, NJ 07102-4206

North Central Laboratory

536 South Clark Street, Room 800
Chicago, IL 60605

Northeast Laboratory

99 Tenth Avenue, Room 721
New York, NY 10011

Office of Training

Drug Enforcement Administration
FBI Academy, P.O. Box 1475
Quantico, VA 22134-1475

Philadelphia Field Division

600 Arch Street, Room 10224
Philadelphia, PA 19106

Phoenix Field Division

Westmount Place
3010 North 2nd Street, Suite 301
Phoenix, AZ 85012

San Diego Field Division

4560 Viewridge Avenue
San Diego, CA 92123-1672

San Francisco Field Division

450 Golden Gate Avenue
San Francisco, CA 94102

Seattle Field Division

400 Second Avenue, West
Seattle, WA 98119-4140

South Central Laboratory

1880 Regal Row
Dallas, TX 75235

Southeast Laboratory

5205 N.W. 84th Avenue
Miami, FL 33166

Southwest Laboratory

410 West 35th Street
National City, CA 91950

Special Testing & Research Laboratory

3650 Concorde Parkway, Suite 200
Chantilly, VA 20151

St. Louis Field Division

7911 Forsythe Boulevard, Suite 500
St. Louis, MO 63105

Washington, DC Field Division

800 K Street, N.W., Suite 500
Washington, DC 20001

Western Laboratory

390 Main Street, Room 700
San Francisco, CA 94105

FEDERAL BUREAU OF INVESTIGATION

Region I

(Connecticut, Maine, Massachusetts, New Hampshire, Rhode Island, Vermont)
1 Center Plaza, Suite 600
Boston, MA 02108-1801
(617) 742-5533
(617) 223-6000

Federal Office Building
600 State Street
New Haven, CT 06510-2020
(203) 503-5000
(203) 786-7000

Region II

1 Gateway Center, 22nd Floor
Newark, NJ 07102-9889
(973) 792-3000
(973) 792-3000

(New Jersey, New York, Puerto Rico, Virgin Islands)
James T. Foley Building
200 McCarty Avenue
Albany, NY 12209-2095
(518) 465-7551
(518) 431-7200

Jacob K. Javits, Federal Office Building
26 Federal Plaza
New York, NY 10278-0004
(212) 384-1000
(212) 384-1000

111 Greencourt Road
Richmond, VA 23228-4948
(804) 261-1044
(700) 923-2000

FBI, Washington Metropolitan Field Office
601 4th Street N.W.
Washington, DC 20535-0002
(202) 278-2000

Use the same number for FTS

7142 Ambassador Road
Baltimore, MD 21244-2754
(410) 265-8080
(410) 281-0198

U.S. Federal Office Building
150 Carlos Chardon, Room 526
Hato Rey, PR 00918-1716
(787) 754-6000
(787) 754-3292

William J. Green, Jr.
Federal Office Building
600 Arch Street, 8th Floor
Philadelphia, PA 19106-1675
(215) 418-4500
(215) 418-4000

One FBI Plaza
Buffalo, NY 14202-2698
(716) 856-7800
(716) 843-4300

150 Corporate Boulevard
Norfolk, VA 23502-4999
(757) 455-0100
(757) 455-0123

United States Post Office
700 Grant Street, Suite 300
Pittsburgh, PA 15219-1906
(412) 471-2000
(412) 456-9100

Region IV

St. Louis Centre
1 St. Louis Street, 3rd Floor
Mobile, AL 36602-3930
(334) 438-3674
(334) 219-3555

16320 N.W. Second Avenue
North Miami Beach, FL 33169-6508
(305) 944-9101
(305) 787-6100

400 South Tyron, Suite 900
Wachovia Building
Charlotte, NC 28285-0001
(704) 377-9200
(704) 331-4500

John J. Duncan, FOB
710 Locust Street, Suite 600
Knoxville, TN 37902-2537
(865) 544-0751
(865) 544-3500

2121 8th Avenue North, Room 1400
8th Avenue North
Birmingham, AL 35203-2396
(205) 715-0300-FTS
(205) 326-6166-FAX

EagleCrest Building
225 North Humphreys Boulevard, Suite 3000
Memphis, TN 38120-2107
(901) 747-4300
(901) 747-9739

Appendix IV: Agency Regional and Local Office Addresses

151 West Park Boulevard
Columbia, SC 29210-3857
(803) 551-4200
(803) 551-4209

Federal Office Building
600 Martin Luther King Place, Suite 500
Louisville, KY 40202-2231
(502) 583-3941
Use the same number for FTS

7820 Arlington Expressway, Suite 200
Jacksonville, FL 32211-7499
(904) 721-1211

Federal Office Building, Suite 1553
100 West Capitol Street
Jackson, MS 39269-1601
(601) 948-5000
(601) 360-7550

(Alabama, Florida, Georgia, Kentucky,
Mississippi, North Carolina, South Carolina,
Tennessee)
2635 Century Parkway, NE, Suite 400
Atlanta, GA 30345-3112
(404) 679-9000
(404) 679-6100

Federal Office Building
500 E. Zack Street, Room 610
Tampa, FL 33602-3917
(813) 273-4566
(813) 272-8000

Region IX

450 Golden Gate Avenue, 13th Floor
San Francisco, CA 94102-9523
(415) 553-7400
(415) 553-2000

Suite 400, 201 East Indianola Avenue
Midtowne Business Centre II
Phoenix, AZ 85012-2080
(602) 279-5511
(602) 650-3300

(American Samoa, Arizona, California, Guam,
Hawaii, Nevada)

Kalaniana'ole Federal Office Building
300 Ala Moana Boulevard, Room 4-230
Honolulu, HI 96850-0053
(808) 566-4300
(808) 566-4300

Federal Office Building
9797 Aero Drive
San Diego, CA 92123-1800
(619) 565-1255
(619) 514-5500

4500 Orange Grove Avenue
Sacramento, CA 95841-4205
(916) 481-9110
(916) 977-2200

John Lawrence Bailey Building
700 East Charleston Boulevard
Las Vegas, NV 89101-1545
(702) 385-1281

(700) 545-0110
Federal Office Building
11000 Wilshire Boulevard, Suite 1700
Los Angeles, CA 90024-3672
(858) 565-1255
(858) 514-5500

Region V

Federal Office Building
1240 East 9th Street, Room 3005
Cleveland, OH 44199-9912
(216) 522-1400
(216) 622-6600

575 North Pennsylvania Street, Room 679
Federal Office Building
Indianapolis, IN 46204-1585
(317) 639-3301
(317) 321-6100

Everett McKinley Dirksen Federal Office Building
219 S. Dearborn Street, Room 905
Chicago, IL 60604-1702
(312) 431-1333
(312) 786-2500

111 Washington Avenue South S-1100
Minneapolis, MN 55401-2176
(612) 376-3200
Use the same number for FTS

(Illinois, Indiana, Michigan, Minnesota, Ohio,
Wisconsin)
FOB, 550 Main Street, Room 9000
Cincinnati, OH 45202-8501
(513) 421-4310
(513) 562-5600

Patrick V. McNamara Federal Office Building
477 Michigan Avenue, 26th Floor
Detroit, MI 48226-2598
(313) 965-2323
(313) 237-4355

400 West Monroe Street, Suite 400
Springfield, IL 62704-1800
(217) 522-9675
(217) 535-4400

330 East Kilbourn Avenue, Suite 600
Milwaukee, WI 53202-6627
(414) 276-4684
(414) 291-4899

Region VI

U.S. Post Office and Courthouse Building
615 East Houston Street, Suite 200
San Antonio, TX 78205-9998
(210) 225-6741
(210) 978-5400

2500 East T. C. Jester
Houston, TX 77008-1300
(713) 693-5000
(713) 693-3800

(Arkansas, Louisiana, New Mexico, Oklahoma,
Texas)
415 Silver Avenue S.W., Suite 300
Albuquerque, NM 87102

(505) 224-2000
Use the same number for FTS
2901 Leon C. Simon Boulevard
New Orleans, LA 70126-1061
(504) 816-3000
(504) 816-3000

Two Financial Centre, Suite 200
10825 Financial Centre Parkway
Little Rock, AR 72211-3552
(501) 221-9100
(501) 228-8400

1801 North Lamar, Suite 300
Dallas, TX 75202-1795
(214) 720-2200
(214) 922-7475

600 South Mesa Hills Drive, Suite 3000
El Paso, TX 79912-5533
(915) 832-5000

Use the same number for FTS
3301 W. Memorail Road
Oklahoma City, OK 73134
(405) 290-7770
(405) 290-3875

Region VII

(Iowa, Kansas, Missouri, Nebraska)
2222 Market Street, Room 2704
St. Louis, MO 63103-2516
(314) 231-4324
(314) 589-2500

Federal Office Building
10755 Burt Street
Omaha, NE 68114-2000
(402) 493-8688
(402) 492-3700

U.S. Courthouse, Room 300
1300 Summitt
Kansas City, MO 64105-1362
(816) 221-6100
(816) 512-8200

Region VIII

(Colorado, North Dakota, South Dakota, Utah,
Wyoming)
Federal Office Building
1961 Stout Street, Suite 1823, 18th Floor
Denver, CO 80294-1823
(303) 629-7171
(303) 628-3000

257 East 200 South, Suite 1200
257 Towers Building
Salt Lake City, UT 84111-2048
(801) 579-1400
(801) 579-4400

Region X

Crown Plaza Building
1500 S.W. 1st Avenue, Suite 400
Portland, OR 97201-5828
(503) 224-4181
(503) 552-5200

Appendix IV: Agency Regional and Local Office Addresses

Federal Office Building
915 Second Avenue, Room 710
Seattle, WA 98101
(206) 262-2000
(700) 391-8760

(Alaska, Idaho, Oregon, Washington)
101 East Sixth Avenue
Anchorage, AK 99501-2523
(907) 276-4441
(907) 258-5322

IMMIGRATION & NATURALIZATION SERVICE

NATURALIZATION INFORMATION

Alabama

Mobile Port of Entry
P.O. Box 1526
Mobile, AL 36601
(334) 441-5135

Mobile Border Patrol
P.O. Box 1526
Mobile, AL 36633
(334) 441-6139

Alaska

Eagle Port of Entry
C/O Postmaster Box 1
Eagle, AK 99738
(907) 547-2211

Nome Port of Entry
P.O. Box 281
Nome, AK 99762
(907) 443-2065

Anchorage International Airport P.O. Box 19068
4601 Sattelite Drive
Anchorage, AK 99519-0688
(907) 243-1400

Ketchikan Port of Entry
111 Main Street
Ketchikan, AK 99840
(907) 225-2380

Dutch Harbor Port of Entry
2315 Airport Beach Road, Room 205
P.O. Box 750
Dutch Harbor, AK 99692
(907) 581-4114

Anchorage District Office
620 East 10th Avenue, Suite 102
Anchorage, AK 99501-3701
(907) 271-3524

Gambell Port of Entry
P.O. Box 1
Gambell, AK 99742
(907) 985-5211

Skagway Port of Entry
Klondike Highway Border Station
P.O. Box 475
Skagway, AK 99840-0475
(907) 983-3144

Prince Rupert, BC Canada

Alaska Marine Highway Terminal
C/O 111 Main Street
Ketchikan, AK 99840
(604) 624-3833

Dalton's Cache Port of Entry
Mile 40 Haines Highway
P.O. Box 1509
Haines, AK 99827-1509
(907) 767-5580

Turner Port of Entry
P.O. Box 1221
Tok, AK 99780
(907) 774-2242

Fairbanks Port of Entry
6450 Old Airport Way
Fairbanks, AK 99709
(907) 474-0307

Arizona

Eloy Processing Center
4465 E. Hanna Road, Suite 343
Eloy, AZ 85231
(520) 466-2000

San Luis Port of Entry
Highway 95
P.O. Box 448
San Luis, AZ 85349
(520) 627-3591

Casa Grande Border Patrol
396 Camino Mercado
Casa Grande, AZ 85222
(520) 836-7812

Florence Processing Center
3250 North Pinal Parkway Avenue
Florence, AZ 85232
(520) 868-5862

Wellton Border Patrol
29820 Frontage Road
P.O. Box 128
Wellton, AZ 85356
(520) 785-9364

Naco Port of Entry
106 "D" Street
P.O. Box 277
Naco, AZ 85620
(520) 432-3111/2791

Lukeville Port of Entry
Highway 85
P.O. Box D
Lukeville, AZ 85341
(520) 387-6047

Naco Border Patrol
2136 Naco Highway
Bisbee, AZ 85603
(520) 432-5121

Tucson Border Patrol Sector Headquarters
1970 West Ajo Way
Tucson, AZ 85713
(520) 670-6871/6880

Douglas Border Patrol

1051 Lawrence Street
P.O. Box 1175
Douglas, AZ 85608
(520)364-3991

Phoenix Border Patrol
3006 W. Claredon Ave.
Phoenix, AZ 85017
(520) 670-6865

Yuma Border Patrol
12122 S. Avenue A
P.O. Box 2708
Yuma, AZ 85366-2708
(520) 726-8731

Douglas Port of Entry
Pan American Ave. & First Street
Douglas, AZ 85607
(520) 364-5532/6479

Phoenix Sky Harbor Airport
P.O. Box 63673
Phoenix, AZ 85082-2673
(602) 275-7745, 7763, 7785

Tucson Border Patrol
2010 Ajo Way
Tucson, AZ 85713
(520) 670-6865

Willcox Border Patrol
200 W. Downen St.
P.O. Box 909
Willcox, AZ 85644-0909
(520) 384-2412

Sasabe Port of Entry
Federal Inspection Building
P.O. Box 326
Sasabe, AZ 85633-0326
(520) 823-4230

Ajo Border Patrol
850 North Tucson - Ajo Hwy. 86
P.O. Drawer "J"
Ajo/Highway, AZ 85321
(520) 387-7002

Phoenix District Office
2035 North Central Avenue
Phoenix, AZ 85004-1548
(602) 514-7799

Nogales Border Patrol
1500 W. La Quinta Road
Nogales, AZ 85621
(520) 377-6000

Sonoita Border Patrol
Highway 82 and 83
P.O. Box 37
Sonoita, AZ 85637-0037
(520) 455-5051

Yuma Border Patrol Sector Headquarters
350 West 1st Street
P.O. Box 2708
Yuma, AZ 85366-2708
(520) 782-9548

Bahamas

Appendix IV: Agency Regional and Local Office Addresses

Freeport Bahamas Sub-Office
P.O. Box F-2664
Freeport Grand Bahama, Bahamas
(809) 352-5586

California

Indio Border Patrol
45-620 Commerce Street
Indio, CA 92201
(760) 347-3658

Fresno Border Patrol Station
4367 North Golden State Boulevard
Fresno, CA 93722
(209) 487-5506

Campo Border Patrol
3 Forest Gate Road
P.O. Box 68
Campo, CA 91906
(619) 557-6144

Long Beach Seaport Unit
501 W. Ocean Boulevard, Suite 6300
Long Beach, CA 90802
(310) 980-3400

Tecate Port of Entry
P.O. Box 219
Tecate, CA 92080-0219
(619) 478-5029

Fresno Sub-Office
865 Fulton Mall
Fresno, CA 93721-2816
(209) 487-5126

Calexico Port of Entry
200 East 1st Street
P.O. Box 1780
Calexico, CA 92231
(619) 357-1189, 7968, 1143

San Francisco International Airport
P.O. Box 280551
Central Terminal, Lower Level
Customs Area
San Francisco, CA 94128
(415) 876-2876

Ota Mesa Port of Entry
2500 Paseo International
P.O. Box 439018
San Diego, CA 92143-9018
(619) 661-3249, 3231

Bakersfield Processing Center
17635 Industrial Farm Road
P.O. Box 81143
Bakersfield, CA 93380
(805) 861-4465

El Centro Border Patrol Sector Headquarters
1111 North Imperial Ave.
El Centro, CA 92243-1795
(619) 352-3241

Oxnard Border Patrol
275 Skyway Drive
P.O. Box 88
Camarillo, CA 93011

(805) 482-8997
San Clemente Border Patrol
I-5 Northbound Traffic Checkpoint
P.O. Box 3188
San Clemente, CA 92674
(619) 557-5272

Boulevard Border Patrol
39701 Avenue de Robles Verde
P.O. Box 1320
Boulevard, CA 92005
(619) 766-4542

San Marcos Border Patrol
126 South Pacific
P.O. Box 5099
San Marcos, CA 92069
(619) 557-5589

San Ysidro Port of Entry
720 San Ysidro Boulevard
P.O. Box 439108
San Diego, CA 92143-9018
(619) 662-7314, 7240

Calexico East Port of Entry
1699 E. Carr Road
Calexico, CA 92231
(619) 768-2450

Ontario Airport
222 E. Airport Drive
Ontario, CA 91761
(909) 395-8668, 8673

Oakland International Airport
International Terminal
1 Airport Drive
Oakland, CA 94621
(510) 273-7390

Riverside Border Patrol
2060 Chicago Avenue, Suite A2
Riverside, CA 92507
(909) 686-4100

Stockton Border Patrol
P.O. Box 2047
Stockton, CA 95201
(209) 946-6211, 6284

Sacramento Sub-Office
650 Capital Mall
Sacramento, CA 95814
(916) 498-6460

San Jose International Airport
C/O P.O. Box 280551
Central Terminal, Lower Level
Customs Area
San Jose, CA 95110
(408) 291-7209

Long Angeles District Office
300 North Los Angeles Street
Los Angeles, CA 90012
(213) 894-4627

Blythe Border Patrol
16870 W. Hobsonway
P.O. Box 836
Blythe, CA 92226
(619) 922-6715

Los Angeles International Airport
380 Worldway, P.O. Box N-20
Tom Bradley International Terminal, Lower Level
Los Angeles, CA 90045
(310) 215-2104, 2101 - Ext. #107, 103

Imperial Beach Border Patrol
1802 Saturn Way
P.O. Box 68
Imperial Beach, CA 91933-0068
(619) 662-7149

Andrade Port of Entry
235 Andrade Road
Winterhaven, CA 92283
(619) 572-5350, 5184

Western Form Center
5600 Rickenbacker Road
Building 701 Bay A
Bell, CA 90201
(213) 526-7404

Temecula Border Patrol
43136 Rancho Way
P.O. Box 38
Temecula, CA 92593
(909) 694-6871

Livermore Border Patrol Station
6102 Ninth Street
Dublin, CA 94568
(925) 828-0371

San Jose Sub-Office
280 S. First Street, Rm. 1150
San Jose, CA 95113
(408) 535-5174

Livermore Border Patrol Sector Headquarters
6102 Ninth Street
Dublin, CA 94568
(925) 828-3770

Brown Field Border Patrol
7560 Britannia Street
San Diego, CA 92173
(619) 661-3154

Salinas Border Patrol
1636 East Alisal Street
P.O. Box 218
Salinas, CA 93902
(408) 754-0633

Sacramento Border Patrol Station
7000 Franklin Blvd.
Bldg. 500, Suite 575
Sacramento, CA 95823
(916) 391-9087

Western Operations Region
24000 Avila Road
P.O. Box 30080
Laguna Niguel, CA 92607-0080
(714) 643-4236

San Pedro Processing Center
2001 Seaside Avenue

Appendix IV: Agency Regional and Local Office Addresses

Terminal Island
San Pedro, CA 90731
(310) 732-0777

El Centro Border Patrol Station
1081 North Imperial Avenue
El Centro, CA 92243
(760) 353-0541

Bakersfield Satellite Investigations
800 Truxton Avenue, Room 317
Bakersfield, CA 93301
(805) 861-4478

El Centro Processing Center
1115 North Imperial Ave.
El Centro, CA 92243-1739
(619) 353-2170

San Luis Obispo Border Patrol Station
1170 Calle Joaquin
P.O. Box 1486
San Luis Obispo, CA 93406
(805) 543-9490

San Francisco District Office
630 Sansome Street
San Francisco, CA 94111-2280
(415) 705-3102

San Diego International Airport
Lindbergh Field Airport
3665 N. Harbor Drive
San Diego, CA 92101
(619) 298-2612

El Cajon Border Patrol
225 Kenney Street
El Cajon, CA 92020
(619) 557-5072

San Diego District Office
880 Front Street, Suite 1234
San Diego, CA 92101-8834
(619) 557-5645

Colorado

Pueblo Port of Entry
P.O. Box 1879
Pueblo, CO 81002
(719) 544-9369

Grand Junction Port of Entry
255 Main Street
Grand Junction, CO 81501
(303) 243-5141

Denver District Office
4730 Paris Street
Albrook Center
Denver, CO 80239-2804
(303) 371-6213

Connecticut

Hartford Sub-Office
Ribicoff Federal Building
450 Main Street
Hartford, CT 06103-3060
(203) 240-3052

Florida

Orlando Border Patrol
P.O. Box 440
Winter Park, FL 32790-0440
(407) 648-6081

Key West Sub-Office
301 Simonton Street, Room 201
Mail: P.O. Box 86
Key West, FL 33041
(305) 296-2233

Miami District Office
7880 Biscayne Boulevard
Miami, FL 33138
(305) 762-3405

West Palm Beach Sub-Office
301 N. Broadway
Riviera Beach, FL 33404
(561) 841-0498

Miami International Airport
Concourse E, 3rd Floor
P.O. Box 997895
Miami, FL 33299-7895
(305) 526-2612, 2626, 2851, 2930

Tampa Border Patrol
1821B East Sahlman Drive
Tampa, FL 33605
(813) 228-2156 or 2160

Miami Border Patrol Sector Headquarters
7201 Pembroke Road
Pembroke Pines, FL 33023
(954) 963-9807

Key West Port of Entry
Federal Building
301 Simonton Street, Room 201
Key West, FL 33040
(305) 293-9150

Nassau, Bahamas Sub-Office, U.S. Immigration
P.O. Box 599009
Miami, FL 33159-9009
(242) 377-7125

West Palm Beach Port of Entry
4 East Port Road, Room 410
Riviera Beach, FL 33419
(407) 845-6898

Krome North Service Processing Center
18201 S.W. 12th Street
P.O. Box 160327, Snapper Creek Station
Miami, FL 33194
(305) 552-1845

Jacksonville Port of Entry
Federal Building, G-18
400 W. Bay Street
Jacksonville, FL 32202
(904) 232-2164

Georgia

Atlanta District Office
77 Forsyth Street S.W.
Atlanta, GA 30303-0253
(404) 331-0253

Savannah International Airport 33 Bull Street,
Suite 505
Savannah, GA 31401
(912) 652-4460

Hartsfield International Airport Port of Entry
P.O. Box 45527
Atlanta, GA 30320
(404) 763-7816

Guam

Guam International Airport
Sirena Plaza, Suite 100
108 Hernan Cortez Avenue
Agana, GU 96910
(671) 642-7611

Hawaii

Honolulu District Office
595 Ala Moana Boulevard
Honolulu, HI 96813
(808) 532-3748/3746

Honolulu International Airport
300 Rodgers Boulevard
Terminal Box 50
Honolulu, HI 96819
(808) 861-8401, 8406, 8400, 8409

Idaho

Eastport Port of Entry
P.O. Box 8
Highway 95
Eastport, ID 83826
(208) 267-2183, 3745

4620 Overland Road
Room 108
Boise, ID 83705
(208) 334-1822

Porthill Port of Entry
P.O. Box 40
Porthill, ID 83853
(208) 267-5309

Illinois

Chicago District Office
10 West Jackson Boulevard, Suite 600
Chicago, IL 60604
(312) 385-1900

Indiana

Indianapolis Sub-Office
Gateway Plaza, Room 400
950 North Meridan Street
Indianapolis, IN 46204
(317) 226-6181

Kansas

Garden City Port of Entry
Box 1239
1404 E. Fulton
Garden City, KS 67846
(316) 275-1054

Wichita Port of Entry
625 North Winterset

Appendix IV: Agency Regional and Local Office Addresses

Wichita, KS 67212
(316) 945-9741

Kentucky

Louisville Sub-Office
Room 604
Gene Snyder Courthouse
601 West Broadway
Louisville, KY 40202
(502) 582-6526

Louisiana

New Orleans Border Patrol Sector Headquarters
3819 Patterson Drive
P.O. Box 6218
New Orleans, LA 70174
(504) 589-6107

New Orleans International Airport
900 Airline Highway
Kenner, LA 70062
(504) 589-3949

New Orleans District Office
Postal Services Building
701 Loyola Avenue, Room T-8011
New Orleans, LA 70113
(504) 589-6521

Oakdale Processing Center
207 E. 5th Avenue
P.O. Box 5095
Oakdale, LA 71463
(318) 335-0713

Lake Charles Border Patrol
P.O. Box 868
Lake Charles, LA 70601
(318) 477-9245

Baton Rouge Border Patrol
P.O. Box 338
9522 Brookline Drive
Baton Rouge, LA 70821
(504) 389-0231

Maine

Houlton Border Patrol Station
P.O. Box 706
Houlton, ME 04730
(207) 532-9061

Fort Fairfield Port of Entry
RR #1, Box 217
Fort Fairfield, ME 04742
(207) 473-7396

Van Buren Port of Entry
International Bridge
Bridge Street, P.O. Box 116
Van Buren, ME 04785
(207) 868-2202/3900

Madawaska Port of Entry
2 Bridge Street
Madawaska, ME 04756-1230
(207) 728-4565

Van Buren Border Patrol
P.O. Box 26

Main Street
Van Buren, ME 04785
(207) 868-3900

Bar Harbor Port of Entry
Marine Atlantic Ferry Terminal
Eden Street
Bar Harbor, ME 04609
(207) 288-4675

Fort Fairfield Border Patrol
205 Main Street
P.O. Box 410
Fort Fairfield, ME 04742
(207) 472-5041

Bridgewater Port of Entry
RFD Rt. 1, Box 42
Boundary Line Road
Bridgewater, ME 04735
(207) 425-4502

Calais Port of Entry
Border Inspection Station
1 Main Street
P.O. Drawer 421
Calais, ME 04619
(207) 454-2546

Hamlin Port of Entry
H.C. 62, Box 63
Van Buren, ME 04785
(207) 868-0966

Lubec Port of Entry
Federal Building
U.S. Post Office & Border Station
Washington Street & Campobello Bridge
Lubec, ME 04652
(207) 733-4960

Jackman Port of Entry
Star Route 76
Box 629
Jackman, ME 04945
(207) 668-3771

Limestone Port Of Entry
R.F.D. 1, Box 258
Limestone, ME 04750-9729
(207) 325-4760

Vanceboro Port of Entry
U.S. Immigration and Naturalization Service
P.O. Box C
Water Street
Vanceboro, ME 04491
(207) 788-3813

Fort Kent Port of Entry
98 West Main Street
Fort Kent, ME 04743-1016
(207) 834-3223

Coburn Gore Port of Entry
Star Route 73
P.O. Box 35
Eustis, ME 04936
(207) 297-2771

Calais Border Patrol

One Main Street
P.O. Box 245
Calais, ME 04619
(207) 454-3613

Bangor Port of Entry
267A Godfrey Boulevard
P.O. Box 677
Bangor, ME 04402-0677
(207) 945-0334

Jackman Border Patrol
P.O. Box 608
Nickels Road
24 hours
Jackman, ME 04945
(207) 668-3151

Houlton Port of Entry
P.O. Box 189
Interstate 95
Houlton, ME 04730
(207) 532-2906

Houlton Border Patrol Sector Headquarters
Route 1, Calais Road
P.O. Box 706
24 Hours
Houlton, ME 04730
(207) 532-6521/6522

Maryland

Baltimore District Office
Fallen Federal Building
31 Hopkins Plaza
Baltimore, MD 21201
(410) 962-2010

Massachusetts

Boston Service Processing Center
427 Commercial Street
Boston, MA 02109
(617) 223-3090/3089/3088

Boston District Office
John F. Kennedy Federal Building
Government Center, Room 1700
Boston, MA 02203
(617) 565-4214

Michigan

Port Huron Port of Entry
2321 Pinegrove Avenue
Port Huron, MI 48060
(810) 982-0493

Port Huron Border Patrol
2112 River Road
Marysville, MI 48040
(810) 364-6041

Sault Ste. Marie Port of Entry
Immigration and Naturalization Service
International Bridge Plaza
Sault Ste. Marie, MI 49783
(906) 632-8822

Algonac Port of Entry
202 Fruit Street
Algonac, MI 48001

Appendix IV: Agency Regional and Local Office Addresses

(810) 794-3321

Detroit City Airport
11499 Conner
Room 138C
Detroit, MI 48213
(313) 521-8430

Trenton Border Patrol
23100 West Road
P.O. Box 206
Trenton, MI 48183
(313) 676-2972

Grand Rapids Border Patrol
P.O. Box 1678
100 W. 7th St.
Holland, MI 49422-1678
(616) 392-4070

Detroit International Bridge
3033 Porter
Detroit, MI 48216
(313) 963-4408

Detroit Metro Airport
International Terminal
Romulus, MI 48242
(313) 955-6293

Sault Ste. Marie Border Patrol
P.O. Box 141
International Bridge Plaza
Sault Ste. Marie, MI 49783
(906) 632-3383

District/Canada Tunnel
150 E. Jefferson Avenue
Detroit, MI 48226
(313) 568-6019

Minnesota

Grand Portage Port of Entry
Highway 61
Grand Portage, MN 55605
(218) 475-2494

Warroad Border Patrol
P.O. Box 24, Highway 11
Warroad, MN 56763
(218) 386-1802

Grand Marais Border Patrol
P.O. Box 685, Highway 61 East
Grand Marais, MN 55604
(218) 387-1770

Pine Creek Port of Entry
Highway 89
Star Route 5
Roseau, MN 56751
(218) 463-1952

International Falls Border Patrol
Immigration Naturalization Service
1412 Hwy. 11-71 West
International Falls, MN 56649
(218) 283-2461

Ely Port of Entry
P.O. Box 28
Ely, MN 55731

(218) 365-3262

St. Paul District Office
2901 Metro Drive, Suite 100
Bloomington, MN 55425
(612) 335-2211

Noyes Port of Entry
Immigration and Naturalization Service
Rural Route 1, Box 110
Noyes, MN 56740
(218) 823-6291

Crane Lake Port of Entry
7544 Gold Coast Road
Crane Lake, MN 55725
(218) 993-2321

Warroad Port Of Entry
H.C. 02 P.O. Box 245
Warroad, MN 56763
(218) 386-1676

Duluth Port of Entry
U.S. Courthouse & Custom House
Federal Building
515 West First Street
Duluth, MN 55802
(218) 720-5207

Roseau Port of Entry
Route 1, Box 31 - Highway 310 N
Roseau, MN 56751
(218) 463-2054

International Falls
2 Second Avenue
International Falls, MN 56649-2328
(218) 283-8611

Pembina Border Patrol
Rural Route 1
P.O. Box 106
Noyes, MN 56740
(218) 823-6528, 6594

Duluth Border Patrol
114 Federal Building
515 West First Street
Duluth, MN 55802
(218) 720-5465

Lancaster Port of Entry
Route 1 Box 138 - Highway 59
Lancaster, MN 56735
(218) 762-4100

Baudette Port of Entry
International Bridge
P.O. Box 487
Baudette, MN 56623
(218) 634-2661

Mississippi

Gulfport Port of Entry
P.O. Box 1120
Gulfport, MS 39501
(601) 864-3029

Gulfport Border Patrol
P.O. Box 4273
Gulfport, MS 39502

(228) 863-3582

Missouri

Kansas City District Office
9747 North Conant Avenue
Kansas City, MO 64153
(816) 891-0864

St. Louis International Airport
P.O. Box 10406
Lambert Field
St. Louis, MO 63145
(314) 425-7179

St. Louis Sub-Office
Robert A. Young Federal Building
1222 Spruce Street, Room 100
St. Louis, MO 63103-2815
(314) 539-2516

Montana

Billings Border Patrol
2601 1st Ave. N.
P.O. Box 2298
Billings, MT 59103
(406) 247-7563

Loring Port of Entry
Port of Morgan
HC82, Box 9250
Loring, MT 59537-9600
(406) 674-5248

Roosville Port of Entry
Immigration and Naturalization Service
8395 Highway 93 North
Eureka, MT 59917-9331
(406) 889-3737

Sweetgrass Port of Entry
Immigration and Naturalization Service
P.O. Box 165 - Interstate 15
Sweetgrass, MT 59484
(406) 335-2911

Raymond Port of Entry
P.O. Box 158
Raymond, MT 59256
(406) 895-2620

Eureka Border Patrol
Highway 93 (JB Shopping Center)
P.O. Box 909
Eureka, MT 59917
(406) 296-2938

Montana Chief Mountain Port of Entry
P.O. Box 376
via Babb, MT 59411
(406) 732-4576

Scobey Port of Entry
P.O. Box 2300
Scobey, MT 59263
(406) 783-5372

Havre Border Patrol Sector Headquarters
2605 5th Avenue S.E.
P.O. Box 112
Havre, MT 59501
(406) 265-6781

Appendix IV: Agency Regional and Local Office Addresses

Havre Port of Entry
Immigration and Naturalization Service
Simpson Route
Willow Creek Station
Havre, MT 59501
(406) 265-6781

Whitetail Port of Entry
P.O. Box 38 - US Border Inspection Station
Whitetail, MT 59276
(406) 779-3531

Wild Horse Port of Entry
Immigration and Naturalization Service
Havre, MT 59501
(406) 394-2371

Shelby Border Patrol
P.O. Box 653
906 Oilfield Ave.
Shelby, MT 59474
(406) 434-5588

Scobey Border Patrol
P.O. Box 820
Scobey, MT 59263
(406) 487-2621

Opheim Port of Entry
P.O. Box 317
Opheim, MT 59250
(406) 724-3212

Willow Creek Port of Entry
Simpson Route
Havre, MT 59501
(406) 398-5512

Plentywood Border Patrol
P.O. Box 434
Plentywood, MT 59254
(406) 765-1852

Malta Border Patrol
P.O. Box 36
Malta, MT 59538
(406) 654-2711

Piegan Port of Entry
Immigration and Naturalization Service
P.O. Box 109
Babb, MT 59411
(406) 732-9297

Del Bonita Port of Entry
Del Bonita Star Route
Cut Bank, MT 59427
(406) 336-2130

Nebraska

Nebraska Service Center
850 S. Street
P.O. Box 82521
Lincoln, NE 68501-2521
(402) 437-5464

Omaha District Office
3736 South 132nd Street
Omaha, NE 68144
(402) 697-9152

Nevada

Las Vegas Mecarren International Airport
5757 Wayne Newton Boulevard
Las Vegas, NV 89111
(702) 388-6024

Boulder City Border Patrol
P.O. Box 60928
Boulder City, NV 89006-0928
(702) 293-8507

Las Vegas Sub-Office
3373 Pepper Lane
Las Vegas, NV 89120
(702) 388-6640

Reno Sub-Office
1351 Coporate Boulevard
Reno, NV 89502
(702) 784-5186

New Hampshire

Pittsburg Port of Entry
Route #3
P.O. Box 277
Pittsburg, NH 03592
(819) 656-2261

New Jersey

Newark District Office
Federal Building
970 Broad Street
Newark, NJ 07102
(201) 645-2298

Newark International Airport
Terminal B, SAT 3
Newark, NJ 07114
(201) 645-6589

New Mexico

Truth or Consequences Border Patrol
P.O. Box 3310
Truth or Consequences, NM 87901
(505) 744-5235

Deming Border Patrol
P.O. Box 230
Deming, NM 88031
(505) 546-9036

Alamagordo Border Patrol
1997 Hwy 54 South
Alamagordo, NM 88310-7377
(505) 437-6960

Columbus Port of Entry
P.O. Box 307, Pershing Road
Columbus, NM 88029
(505) 531-2694, 95, 96

Albuquerque Sub-Office
517 Gold Avenue, S.W.
Room: 1010
P.O. Box 567
Albuquerque, NM 87103
(505) 248-7357

Las Cruces Border Patrol
3120 North Main

Las Cruces, NM 88001
(505) 527-6895

Lordsburg Border Patrol
441 Duncan Highway, P.O. Box 459
Lordsburg, NM 88045
(505) 542-3221

Las Cruces Patrol Training Office
2320 Temple Street
Las Cruces, NM 88001
(505) 524-4730

New York

Service Processing Center
201 Varrick Street, 4th Floor
New York, NY 10014
(212) 620-3441

Massena Port of Entry
P.O. Box 195
Roosevelt, NY 13683
(315) 764-0310
(315) 764-0677

Mooers Port of Entry
Border Inspection Station
State Route 22
Mooers, NY 12958
(518) 236-7116

Rouse Point Port of Entry
US Route 9B
Rouse Point, NY 12979
(518) 297-7521

Lewiston Port of Entry
1 Lewiston Queenston Bridge
Lewistown, NY 14092-1980
(716) 285-1676

Fort Covington Port of Entry
Border Inspection Station
Water Street
Fort Covington, NY 12937
(518) 358-2231

Chateaugay Port of Entry
Border Inspection Station
Star Route 374
Chateaugay, NY 12920
(518) 497-6772

John F. Kennedy International Airport
International Arrivals Building #50
Jamaica, NY 11430
(718) 553-1688
(718) 553-1689

New York District Office
26 Federal Plaza
New York, NY 10278
(212) 264-3911

Champlain Border Patrol
P.O. Box 1228
Ridge Road
Champlain, NY 12919
(518) 298-2531

Ogdensburg Border Patrol
127 North Water Street

Appendix IV: Agency Regional and Local Office Addresses

Ogdensburg, NY 13669
(315) 393-1150/0100

Office of Inspector General
JFK Airport Station
P.O. Box 999
Jamaica, NY 11430
(718) 553-7520

Thousand Island Bridge Port of Entry
U.S. Immigration and Naturalization Service
46735 U.S. Interstate Route 81
Alexandria Bay, NY 13607-9796
(315) 482-2681/2065

Watertown Border Patrol
Post Office Building
P.O. Box 280
153 Arsenal Street
Watertown, NY 13601-0280
(315) 482-7556

Albany Sub-Office
U.S. Post Office & Customhouse
Room 220
445 Broadway
Albany, NY 12207
(518) 431-0339

Cape Vincent Port of Entry
46735 U.S. Int. Route 81
Alexandria Bay, NY 13607-9796
(315) 654-2781, Ext. 8

Buffalo District Office
130 Delaware Avenue
Buffalo, NY 14202
(716) 846-4741, Ext. 8

Champlain Port of Entry
Border Inspection Station
234 West Service Road
Champlain, NY 12919
(518) 298-3221, 8433, or 2526

Burke Border Patrol
P.O. Box 125
Burke, NY 12917-0125
(518) 483-5941

Niagara Falls Border Patrol
1708 Lafayette Avenue
P.O. Box 281
Niagara Falls, NY 14305-0281
(716) 285-6444

Fulton Border Patrol Station
215 South First Street
Room 228
P.O. Box 70
Fulton, NY 13069-0070
(315) 598-8510

Trout River Port of Entry
Trout River
State Route 30
Via Constable, NY 12926
(518) 483-5021

Buffalo Border Patrol Sector Headquarters
U.S. Border Patrol

231 Grand Island Boulevard
Tonawanda, NY 14150-6502
(716) 551-4101

Niagara Falls Port of Entry
Rainbow Bridge
Niagara Falls, NY 14303
(716) 282-3141

North Carolina

Raleigh-Durham International Airport Port of Entry
P.O. Box 80216
Raleigh, NC 27623
(919) 840-5577

Wilmington Port of Entry
Alton Lennon Federal Building
Room 105
2 Princess Street
Wilmington, NC 28401
(910) 343-4876

Charlotte Sub-Office
6 Woodlawn Green, Room 138
Charlotte, NC 28217
(704) 344-6313

North Dakota

Portal Border Patrol
P.O. Box 298
Portal, ND 58772
(701) 926-4111

Carbury Port of Entry
RR #1 Souris, ND
Carbury, ND 58783
(701) 228-2540

Sarles Port of Entry
Immigration and Naturalization Service
P.O. Box 67, Route 1
6 miles from Village of Sarles, ND 58372
(701) 697-5177

Antler Port of Entry
Immigration and Naturalization Service
RRI - Box 7
Antler, ND 58711
(701) 267-3321

Fortuna Port of Entry
Immigration and Naturalization Service
Hwy 85N, P.O. Box #37
Fortuna, ND 58844
(701) 834-2493

Neché Port of Entry
Hwy 18
Neché, ND 58265
(701) 886-7744

Pembina Port of Entry
Rural Route 1, P.O. Box 110
Noyes Pembina, ND 58271
(701) 825-6722

Walhalla Port of Entry
RR2, Box 145
Walhalla, ND 58282-9459
(701) 549-3233

Noonan Port of Entry
Noonan, ND 58765
(701) 926-5615

Ambrose Port of Entry
Immigration and Naturalization Service
State Highway 42
Rt. HC-1 Box 202
Ambrose, ND 58833
(701) 982-3211

Dunseith Port of Entry
Immigration and Naturalization Service
R.R. 1, Box 117
Dunseith, ND 58329
(701) 263-4513, 4460

Grand Forks International Airport
RR #2, Box 72
2787 Airport Drive
Highway 2 West
Grand Forks, ND 58203
(701) 772-3301

Hansboro Port of Entry
Box 237
Hansboro, ND 58339
(701) 266-5633

Maida Port of Entry
Immigration and Naturalization Service
Hwy #1
Maida, ND 58255
(701) 256-5087

Northgate Port of Entry
Flaxton, ND 58737
(701) 596-3805

Hannah Port of Entry
Hannah, ND 58239
(701) 283-5271

Bottineau Border Patrol
P.O. Box 6
Bottineau, ND 58318
(701) 228-3179

Sherwood Port of Entry
Rural Route 2, Box 1D
Sherwood, ND 58782
(701) 459-2250

St. John Port of Entry
Box 15, Rt. 1
St. John, ND 58369
(701) 447-3140

Grand Forks Border Patrol Sector Headquarters
P.O. Box 12669 Zip: 58208-2669
2320 S. Washington Street
Grand Forks, ND 58201
(701) 775-6259

Portal Port of Entry
P.O. Box 8
Portal, ND 58772
(701) 926-4221

Ohio

Cleveland District Office

Appendix IV: Agency Regional and Local Office Addresses

Anthony J. Celebreeze Federal Building
1240 E. 9th Street, Room 1917
Cleveland, OH 44199
(216) 522-4766

Toledo Port of Entry
Federal Office Building, Room 713
234 Summit Street
Toledo, OH 43604-1536
(419) 259-6474

Oklahoma

Oklahoma Sub-Office
4149 Highline Boulevard, Suite 300
Oklahoma City, OK 73108
(405) 231-5928

Oregon

Portland District Office
511 N.W. Broadway
Portland, OR 97209
(503) 326-3962

Roseburg Border Patrol
1036 S.E. Douglas
P.O. Box 1728
Roseburg, OR 97470
(503) 440-4518

Coos Bay Port of Entry
P.O. Box 209
Coos Bay, OR 97420
(503) 242-4785

Astoria Port of Entry
P.O. Box 236
Astoria, OR 97103
(503) 325-3054

Portland International Airport
P.O. Box 55067
Portland, OR 97238-5067
(503) 326-3409

Pennsylvania

Philadelphia District Office
1600 Callowhill Street
Philadelphia, PA 19130
(215) 656-7150

Pittsburgh Sub-Office
INS/Department of Justice
2130 Federal Building
1000 Liberty Avenue
Pittsburgh, PA 15222
(412) 395-4457

Puerto Rico

San Juan District Office
U.S. Immigration and Naturalization Service
P.O. Box 365068
San Juan, PR 00936
(787) 766-5514

Deferred Inspections
U.S. Federal Building
Room 380
Chardon Street
Hato Rey, PR 00918

(809) 766-5000

Aquadilla Service Processing Center
P.O. Box 250480
Aquadilla, PR 00604-0480
(787) 882-3565/3567/3568

Mayaguez Port of Entry
U.S. Immigration and Naturalization Service
P.O. Box 2990, Marina Station
Mayaguez, PR 00709-2990
(809) 831-3440

San Juan International Airport
P.O. Box 37900
Isle Verde, PR 00937-0900
(809) 253-4525

Ponce Port of Entry
Immigration and Naturalization Service
P.O. Box 173
Playa Station
Ponce, PR 00734-3173
(809) 843-3220

District Director's Office
Immigration and Naturalization Service
New Federal Building, 3rd Floor
Carlos Chardon Street
Hato Rey, PR 00918

Rhode Island

Providence Sub-Office
200 Dyer Street
Providence, RI 02903-3993
(401) 528-5528, 5323

South Carolina

Charleston Port of Entry
Federal Building, Room 110
334 Meeting Street
Charleston, SC 29403
(803) 727-4421

Tennessee

Memphis Sub-Office
245 Wagner Place
Suite 250
Memphis, TN 38103-3815
(901) 544-4108

Texas

Port Isabel Port of Entry
Alien Processing Center (PIC)
Route 3, Box 341
Buena Vista Road
Los Fresnos, TX 78566
(210) 233-4431

Progreso Port of Entry
International Bridge Route 2, Box 600
Westlaco, TX 78596
(210) 565-6304

Dallas Border Patrol
2800 South Pipeline Road
Euless, TX 76040
(817) 540-0150

Midland Border Patrol Station

P.O. Box 60405
Midland, TX 79711
(915) 561-8911

Comstock Border Patrol
P.O. Box 700, Highway 85 East
Comstock, TX 78837
(915) 292-4450, 4680

Corpus Christi Border Patrol
6809 Leopard Street
P.O. Box 10344
Corpus Christi, TX 78460-0344
(512) 289-0552

Marfa Border Patrol Sector Headquarters
P.O. Box 1
300 Madrid Street
Marfa, TX 79843
(915) 729-4353

Harlingen Border Patrol
901 Rangerville Road
P.O. Box 642
Harlingen, TX 78551
(956) 427-8511

Brackettville Border Patrol
P.O. Box 216
802 West Spring Street
Brackettville, TX 78832
(830) 563-2477

Hidalgo Port of Entry
International Bridge
Hidalgo, TX 78557-3019
(210) 843-2201, 2202

San Antonio International Airport
9800 Airport Boulevard
Terminal No. 1
San Antonio, TX 78216
(210) 826-6261

Port Arthur Port of Entry
4550 Jimmy Johnson Boulevard, Suite 3
Port Arthur, TX 77642
(409) 727-3375

Mercedes Border Patrol
P.O. Box 125
623 International Boulevard
Mercedes, TX 78570
(956) 968-0602

Rio Grande City Port of Entry
International Bridge, P.O. Box 278
Roma, TX 78584
(210) 487-2200 or
(210) 849-1676

Brownsville Border Patrol
P.O. Box 5076
Brownsville, TX 78520
(956) 542-3585

Gateway to the American International Bridge #1
100 Convent Street
Laredo, TX 78040
(210) 722-2484

McAllen Border Patrol

Appendix IV: Agency Regional and Local Office Addresses

4201 W. Military Highway
McAllen, TX 78503
(956) 618-8163

Amarillo Border Patrol
P.O. Box 2287
Amarillo, TX 79105
(806) 324-2278

San Antonio District Office
8940 Four Winds Drive
San Antonio, TX 78239
(210) 967-7109

Juarez-Lincoln International Bridge #2
700 Zaragoza Street, Building #3
Laredo, TX 78042
(512) 722-5440

Fabens Border Patrol
802 East Main
P.O. Box 908
Fabens, TX 79838
(915) 764-2417

Rocksprings Border Patrol P.O. Box 576
Rocksprings, TX 78880
(830) 683-2255

Port Isabel Service Processing Center
Route 3, Box 341
Los Fresnos, TX 78566
(210) 548-2530

Galveston Port of Entry
P.O. Box 388
Galveston, TX 77550
(409) 766-3581

Dallas Forth Worth Port of Entry
P.O. Box 610365
Dallas-Fort Worth, TX 75261
(214) 574-2141

Falfurrias Border Patrol
P.O. Box 479
Falfurrias, TX 78355
(512) 325-5616

El Paso District Office
1545 Hawkins, Suite 167
El Paso, TX 79925
(915) 540-1700, 1701

Dallas District Office
8101 North Stemmons Freeway
Dallas, TX 75247
(214) 655-3011

Presidio Port of Entry
International Bridge, P.O. Box 937
Presidio, TX 79845
(915) 229-3265, 3663

El Paso Border Patrol Sector Headquarters
8901 Montana Ave., P.O. Box 9578
El Paso, TX 79986
(915) 834-8350

Sanderson Border Patrol
P.O. Box 628
Sanderson, TX 78268
(915) 345-2972

Fort Hancock Border Patrol
P.O. Box 218
Fort Hancock, TX 79839
(915) 769-3978

Carrizo Springs Border Patrol
P.O. Box 194
Highway 85 East
Carrizo Springs, TX 78834
(830) 876-3557

Houston Intercontinental Airport
P.O. Box 60457
Houston, TX 77205
(713) 233-3700

Brownsville International Airport
Brownsville, TX 78520
(210) 542-8296

Austin Sub-Office
3708 South Second Street
P.O. Box 6496
Austin, TX 78704
(512) 482-7901, 7904

El Paso Port of Entry
Paso Del Norte Bridge
El Paso, TX 79901
(915) 534-6767

Fort Hancock Port of Entry
P.O. Box 235
Fort Hancock, TX 79839
(915) 769-3810

Laredo Administrative Offices, Building #2
700 Zaragoza Street
P.O. Box 179
Laredo, TX 78042-0179
(210) 722-3283

San Angelo Border Patrol
P.O. Box 61106
San Angelo, TX 76906
(915) 949-0139

Big Bend Park Border Patrol
P.O. Box 67
Big Bend, TX 79834
(915) 477-2287

McAllen Border Patrol Sector Headquarters
Mail: P.O. Box 1179 Zip Code 78502
2301 South Main Street
McAllen, TX 78503
(210) 686-5496

Falcon Heights Port of Entry
International Dam, P.O. Box 278
Roma, TX 78584
(210) 848-5221

Los Ebanos Port of Entry
International Ferry
P.O. Box 399
Los Ebanos, TX 78565
(512) 485-2721

Pharr Port of Entry
9910 S. Cage Boulevard, Suite C
Pharr, TX 78557-9723

(956) 783-4739, 4758

San Antonio Border Patrol
5000 North Industrial Drive
San Antonio, TX 78268
(210) 521-7926

Processing Center
8915 Montana Avenue
El Paso, TX 79925
(915) 225-1941

Office of Inspector General
3 Butterfield Trail Boulevard
Suite 120
El Paso, TX 79906
(915) 534-7370

Abilene Border Patrol
P.O. Box 3076
555 Walnut Street
Abilene, TX 79604-3076
(915) 673-3010

Kingsville Border Patrol
Navel Air Station, Building 3731
Kingsville, TX 78363
(512) 592-3284

Hebbronville Border Patrol
802 North Sigrid Street
Hebbronville, TX 78361
(512) 527-3256

Intelligence Center
11339 SSG Sims Street
El Paso, TX 79908-8098
(915) 760-2031

Lubbock Border Patrol
P.O. Box 1632, 1205 Texas Avenue
Lubbock, TX 79408
(806) 472-7355

Port Arthur Port of Entry
2875 75th Street, Room 106
Port Arthur, TX 77640
(409) 727-3375

Rio Grand City Border Patrol
2230 East Highway
P.O. Box 481
Rio Grand City, TX 78582
(956) 487-2700

Port Isabel Border Patrol
Route 3, Box 340
Los Fresnos, TX 78566
(956) 233-9554

Uvalde Border Patrol
Industrial Park #30
Uvalde, TX 78801
(830) 278-7133

Fabens Port of Entry
P.O. Box 908
Fabens, TX 79838
(915) 764-2419

Van Horn Border Patrol
700 N.W. Access Road
P.O. Box 368

Appendix IV: Agency Regional and Local Office Addresses

Van Horn, TX 79854
(915) 283-2795

Amistad Dam Port of Entry
P.O. Box 4060
Del Rio, TX 78841-4060
(210) 775-7213

Laredo Border Patrol Sector Headquarter
207 W. Del Mar Boulevard
Laredo, TX 78041
(956) 723-4367

Laredo South Border Patrol Station
Rural Route 3, P.O. Box USBP-SLS/L
Laredo, TX 78043
(210) 727-0644

Del Rio Border Station
P.O. Box 2020, Qualia Drive
Del Rio, TX 78841-2020
(830) 703-2100

Alpine Border Patrol
P.O. Box 958
Alpine, TX 79831
(915) 837-3550

Freer Border Patrol
P.O. Box "W"
Freer, TX 78357
(512) 394-7613

Cotulla Border Patrol
692 Main Street
Cotulla, TX 78014
(830) 879-3051

Presidio Border Patrol
P.O. Box 929
Presidio, TX 79845
(915) 229-3330

Fort Stockton Border Patrol
P.O. Box 607
Fort Stockton, TX 79735
(915) 336-2468

Del Rio Border Patrol Sector Headquarters
P.O. Box 2020
Del Rio, TX 78841-2020
(210) 703-2100

Zapata Border Patrol
Lot C-2015
U.S. Hwy 83 South
P.O. Box 685
Zapata, TX 78076-0685
(210) 765-6395

Eagle Pass Port of Entry
International Bridge, P.O. Box 4280
Eagle Pass, TX 78852
(210) 773-9205, 9206

Harlingen District Office
2102 Teege Road
Harlingen, TX 78550
(210) 427-8691

Llano Border Patrol
P.O. Box 134, 211 East Tarrant
Llano, TX 78643

(915) 247-4912

Brownsville Port of Entry
1500 East Elizabeth Street, Room 203
Brownsville, TX 78520
(210) 546-1675

Utah

5272 South College Drive, Suite 100
Salt Lake City, UT 84123
(801) 265-8807

Vermont

Norton Port of Entry
Border Inspection Station
State Route 114
P.O. Box 117

Norton, VT 05907
(802) 822-5222

West Berkshire Port of Entry
RFD #1 Box 1110
Enosburg Falls, VT 05450
(802) 933-2301

St. Albans Sub-Office
Federal Building
P.O. Box 328
St. Albans, VT 05478
(802) 527-3191

Beecher Falls Port of Entry
Border Inspection
Route 102
Beecher Falls, VT 05902
(802) 266-3320

Beebe Plain Port of Entry
Beebe Plain, VT 05823
(802) 873-3151

Highgate Springs Port of Entry
Border Inspection Station
U.S. Route 7
RFD Swanton, VT 05488
(802) 868-3349

Pinnacle Port of Entry
R.D. #1, Box 300
Richford, VT 05476
(802) 848-3319

Derby Line Port of Entry
Route 91
P.O. Box 367
Derby Line, VT 05830
(802) 873-3316

Richford Border Patrol
Main Street
P.O. Box 67
Richford, VT 05476
(802) 848-7713

Alburg Springs Port of Entry
Alburg Springs, RR#2
Alburg, VT 05440
(802) 796-3704

Eastern Region Operations
70 Kimball Avenue

South Burlington, VT 05403-6813
(802) 660-5000

Eastern Service Center
75 Lower Welden Street
St. Albans, VT 05479-0001
(802) 527-3100

Newport Border Patrol
P.O. Box 815
Newport, VT 05855
(802)334-6722

Beecher Falls Border Patrol
P.O. Box 215
24 hours
Beecher Falls, VT 05902
(802) 266-3035

Administrative Center
70 Kimball Avenue
South Burlington, VT 05403-6813
(802) 660-1111

Richford Port of Entry
Border Inspection Station
RFD #1, Box 40
Richford, VT 05476
(802) 848-7766

Morses Line Port of Entry
RFD #1
Morses Line, VT 05457
(802)285-2214

North Troy Port Of Entry
State Route 105
North Troy, VT 05859
(802) 988-2633

Swanton Border Patrol Sector Headquarters
Grand Ave
P.O. Box 705
Swanton, VT 05488
(802) 868-3361

East Richford Port of Entry
RFD #1
P.O. Box 1690
East Richford, VT 05476
(802) 848-3001

Swanton Border Patrol Station
Route 78
P.O. Box 209
24 Hours
Swanton, VT 05488
(802) 868-3229/3320

Alburg Port of Entry
U.S. Border Station
State Route 225
Alburg, VT 05440
(802)796-3703

Canaan Port of Entry
Border Inspection Station
State Route 114
P.O. Box 129
Canaan, VT 05903
(802) 266-8994

Appendix IV: Agency Regional and Local Office Addresses

Eastern Form Center
P.O. Box 567
Williston, VT 05495-0567
(802) 951-6225

Virgin Islands

Cruz Bay Port of Entry
P.O. Box 450
Cruz Bay
St. John, VI 00831
(340) 776-6390

Christiansted St. Croix, Port of Entry
P.O. Box 1270 Kingshill
Christiansted St. Croix, VI 00851
(809) 778-6559/6300

Charlotte Amalie, St. Thomas, VI Sub-Office
Nisky Center, First Floor, Suite 1A
Charlotte Amalie St. Thomas, VI 00801
(809) 774-1390

Virginia

Norfolk Sub-Office
5 Norfolk Federal Building
200 Granby Mall, Room 439
Norfolk, VA 23510-1882
(804) 441-3095

Washington District Office
4420 North Fairfax Drive
Arlington, VA 22203
(202) 307-1504

Washington

Nighthawk Port of Entry
Star Route
Loomis, WA 98827
(509) 476-2125

Lynden Border Patrol
8334 Guide Meridian Road
P.O. Box 708
Lynden, WA 98264
(360) 354-4118

Friday Harbor Port of Entry
202 Front Street North
Staff by Customs
P.O. Box 1907
Friday Harbor, WA 98250
(206) 378-2080

Wenatchee Border Patrol
93 Eastmont, McQuaig Bldg.
P.O. Box 1825
Wenatchee, WA 98807
(509) 884-8893

Spokane Border Patrol
N. 10710 Newport Highway
Spokane, WA 99218

Seattle Sea Inspections
815 Airport Way South
Seattle, WA 98134
(206) 553-1246

Laurier Port of Entry
Immigration and Naturalization Service

Highway 395
P.O. Box 40
Laurier, WA 99146
(509) 684-2100

Seattle District Office
815 Airport Way South
Seattle, WA 98134
(206) 553-0070

Sumas Port of Entry
109 Cherry Street
P.O. Box 99
Sumas, WA 98295
(360) 988-4781

Port Townsend Port of Entry
Staffed by Customs)
1322 Washington
P.O. Box 951
Port Townsend, WA 98368-0004
(360) 385-3777

Longview Port of Entry
P.O. Box 1027
Longview, WA 98632
(206) 423-5550

Bellingham Port of Entry
104 W. Magnolia and Cornall Street, Room 207
P.O. Box 2055
Bellingham, WA 98227
(360) 676-8411 (Downtown)
(360) 734-3520 (Airport)

Vancouver-BC Candada
P.O. Box 450
Point Roberts, WA 98281-0450
(604) 278-3360, 3986, 2520

Boundary Port of Entry
5338 North Port Juanita Road
Colville, WA 99114
(509) 732-4470

Oroville Border Patrol
1105 Main Street
P.O. Box 99
Oroville, WA 98844-9726
(509) 476-3622

Lynden Port of Entry
9949 Guide Meridian Road
Lynden, WA 98264
(206) 354-6661

Blaine Port of Entry
Immigration and Naturalization Service
Peace Arch POE
100 Peace Portal Drive
Blaine, WA 98230
(360) 332-8511

Blaine Border Patrol
1580 H Street
P.O. Box 3529
Blaine, WA 98231
(360) 332-4205

Oroville Port of Entry
Route 1, Box 130

Oroville, WA 98844
(509) 476-2454, 3132

Spokane Airport
691 U.S. Courthouse Building
Spokane, WA 99201
(509) 353-4699

Ferry Port of Entry
3559 Toroda Customs Bridge Road
Curlew, WA 99118-9715
(509) 779-4655 (Customs)

Bellingham Border Patrol
2745 McLeod Rd.
P.O. Box 31428
Bellingham, WA 98228-3428
(360) 733-8420

Frontier Port of Entry
Immigration and Naturalization Service
4939 Highway 25 North
Northport, WA 99157
(509) 732-4418

Port Angeles Border Patrol
138 West First Street
Port Angeles, WA 98362
(360) 452-5970

Danville Port of Entry
19130 North Highway 21
Danville, WA 99121-9704
(509) 779-4860

Tacoma Port of Entry
3600 Port Tacoma Road
Suite 303
P.O. Box 1296
Tacoma, WA 98401
(206) 922-0848

Yakima Port of Entry
417 E. Chestnut
P.O. Box 49
Yakima, WA 98907
(509) 575-5944

Blaine Border Patrol Sector Headquarters
1590 H Street
P.O. Box 3529
Blaine, WA 98231
(360) 332-7707, 7919

Spokane Sub-Office
619 U.S. Courthouse Building
Spokane, WA 99201
(509) 353-2761

Colville Border Patrol
209 East Juniper Ave.
P.O. Box 146
Colville, WA 99114
(509) 684-6272

Pacific Highway Port of Entry
9950 Pacific Highway
Blaine, WA 98230
(360) 332-6091, 7237

Seattle Tacoma International Airport
S. 178 and Pacific Highway

Appendix IV: Agency Regional and Local Office Addresses

Seattle, WA 98158
(206) 553-0466, 2299

Metaline Falls Port of Entry
P.O. Box 631
Metaline Falls, WA 99153
(509) 446-2572

Washington, DC

Dulles International Airport
P.O. Box 17775
Washington, DC 20041
(703) 661-5100

Immigration and Naturalization Service
Headquarters
425 I Street, NW
Washington, DC 20536
(202) 514-4316

Wisconsin

Milwaukee Sub-Office
Federal Building, Room 186
517 East Wisconsin Avenue
Milwaukee, WI 53202
(414) 297-3161

DEPARTMENT OF LABOR

DIVISION OF COAL MINE WORKERS'
COMPENSATION

Colorado

(Western United States)
Kevin Peterson, DD
1801 California Street, Suite 925
Denver, CO 80202-2614
(720) 264-3100

Kentucky

(Alabama, Florida, Georgia, Mississippi, North
Carolina, South Carolina, Tennessee)
Brenda Jamison, SCE
402 Campbell Way
Spring Street
Mt. Sterling, KY 40353
(1-800-366-4628)

Harry Skidmore, DD
334 Main Street, 5th Floor
Pikeville, KY 41501
(1-800-366-4599)

Ohio

(Illinois, Indiana, Michigan, Minnesota, Ohio,
Wisconsin)
Donald Dopps, Jr., DD
1160 Dublin Road, Suite 300
Columbus, OH 43215
(1-800-347-3771)

Pennsylvania

(Western Pennsylvania and Maryland)
Colleen Smalley, DD
1225 South Main Street
Wellington Square, Suite 405
Greensburg, PA 15601
(1-800-347-3753)

(Central Pennsylvania and Virginia)
Stuart Glassman, DD
Penn Traffic Building, 2nd Floor
319 Washington Street
Johnstown, PA 15901
(1-800-347-3754)

(Connecticut, Delaware, District of Columbia,
Eastern Pennsylvania, Maine, Massachusetts, New
Hampshire, New Jersey, New York, Rhode Island,
Vermont)
Maribeth Girton, DD
S. Main Towers
105 North Main Street, Suite 100
Wilkes-Barre, PA 18701
(1-800-347-3755)

West Virginia

(Northern West Virginia)
Loretta Bird, Supervisory CE
Federal Building, Suite 3116
425 Juliana Street
Parkersburg, WV 26101
(1-800-347-3751)

(Southern West Virginia)
Robert Hardesty, DD
Charleston Federal Center
500 Quarrier Street, Suite 110
Charleston, WV 25301
(1-800-347-3749)

DIVISION OF FEDERAL EMPLOYEES
COMPENSATION

Region I-Boston

Michael Harvill, DD
JFK Federal Building, Room E-260
Boston, MA 02203
(617) 565-2129

Region II-New York

Jonathan Lawrence, DD
201 Varick Street, Room 750
New York, NY 10014
(212) 337-2044

Region III-Philadelphia

William J. Staarman, DD
Curtis Center, Suite 715 East
170 South Independence Mall West
Philadelphia, PA 19106-3308
(215) 861-5481

Region IV-Jacksonville

William C. Franson, DD
214 North Hogan Street, Suite 1006
Jacksonville, FL 32202
(904) 357-4725

Region IX-San Francisco

Sharon Tyler, DD
71 Stevenson Street, Suite 305
San Francisco, CA 94105
(415) 975-4162

Region V-Chicago

Robert Sullivan, DD

1240 East Ninth Street, Room 851
Cleveland, OH 44199
(216) 522-2092

Johnny Dawkins, DD
230 Dearborn Street, 8th Floor
Chicago, IL 60604
(312) 596-7133

Region VI-Dallas

Frances Memmolo, DD
Federal Building
525 South Griffin Street, Room 100
Dallas, TX 75202
(214) 767-2580

Region VII-Kansas City

Charles O. Ketcham, Jr, DD
City Center Square Building
1100 Main Street, Suite 750
Kansas City, MO 64105
(816) 426-2195

Region VIII-Denver

Shirley Bridge, DD
1801 California Street, Suite 915
Denver, CO 80202
(720) 264-3007

Region X - Seattle

Doris Carender, DD
Federal Office Building
1111 Third Avenue, Suite 615
Seattle, WA 98101-3212
(206) 553-5255

Washington

LONGSHORE AND HARBOR WORKERS'
COMPENSATION

California

(District 18: Southern California)
Eric Richardson, DD
401 E. Ocean Boulevard, Suite 720
Long Beach, CA 90802
(562) 980-3578

(District 13: Arizona, Northern California,
Nevada)
Philip Williams, DD
71 Stevenson Street, Room 1705, MA:
P.O. Box 3770
San Francisco, CA 94119-3770
(415) 975-4274

Florida

(District 6: Alabama, Florida, Georgia, Kentucky,
Mississippi, North Carolina, South Carolina,
Tennessee)
Chris Gleasman, DD
214 N. Hogan Street, Suite 1040
Jacksonville, FL 32202
(904) 357-4757

Hawaii

(District 15: Hawaii, Pacific Area to 60 east

Appendix IV: Agency Regional and Local Office Addresses

longitude)

Vacant
300 Ala Moana Boulevard, Room 5-135
P.O. Box 50209
Honolulu, HI 96850
(808) 541-1983

Illinois

(District 10: Illinois, Indiana, Michigan, Minnesota, Ohio, Wisconsin, Iowa, Missouri, Nebraska, Kansas)
Thomas C. Hunter, DD
230 South Dearborn Street, Room 578
Chicago, IL 60604
(312) 596-7153

Louisiana

(District 7: Arkansas, Louisiana)
Michael Brewer, DD
701 Loyola Avenue, Room 13032
New Orleans, LA 70113
(504) 589-2671

Maryland

(District 4: District of Columbia, Maryland)
John McTaggart, Acting DD
31 Hopkins Plaza, Room 410-B
Baltimore, MD 21201
(410) 962-3677

Massachusetts

(District 1: Connecticut, Maine, Massachusetts, New Hampshire, Rhode Island, Vermont)
Marcia Finn, DD
JFK Federal Building, Room E-260
Boston, MA 02203
(617) 565-2103

New York

(District 2: New Jersey, New York, Puerto Rico, Virgin Islands)
Richard Robilotti, DD
201 Varick Street, Room 750
P.O. Box 249
New York, NY 10014-0249
(212) 337-2030

Pennsylvania

(District 3: Delaware, Pennsylvania, West Virginia)
John McTaggart, DD
Curtis Center, Suite 790 West
170 South Independence Mall West
Philadelphia, PA 19106-3313
(215) 861-5459

Region X - Seattle

Texas

(District 8: Oklahoma, New Mexico, Texas)
Charles Lee
8866 Gulf Freeway, Suite 140
Houston, TX 77017

(713) 943-1605

Virginia

(District 5: Virginia)
Basil Voultsides, DD
200 Granby Mall
Room 212
Norfolk, VA 23510
(757) 441-3071

Washington

(District 14: Alaska, Colorado, Idaho, Oregon, Montana, North Dakota, South Dakota, Utah, Washington, Wyoming)
Karen Staats, DD
1111 3rd Avenue, Suite 620
Seattle, WA 98101-3212
(206) 553-4471

Alabama

Linda Henderson
Medical Forum Building
950 22nd St North, Suite 660
Birmingham, AL 35203
(205) 731-0820

Arizona

Sarah Nelson
3221 N. 16th St., Suite 303
Phoenix, AZ 85016
(602) 640-2960

Arkansas

Joel Maltbia
TCBY Tower, Suite 735
425 West Capitol Avenue
Little Rock, AR 72201
(501) 324-5436

California

Hector Sanchez
34 Civic Center Plaza, Suite 406
P.O. Box 12800
Santa Ana, CA 92712
(714) 836-2784
Vacant
Federal Building
11000 Wilshire Boulevard, Suite 8103
Los Angeles, CA 90024
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Atlanta, GA 30303 United States
(404) 893-4531

Southwest Region

Cynthia Watson, Regional Administrator,
Federal Building, Suite 800,
525 South Griffin Street,
Dallas, TX 75202-5007 United States
(972) 850-2600

Tennessee

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Executive Plaza,
1321 Murfreesboro Road, Suite 511,
Nashville, TN 37217-2626 United States
(615) 781-5344

Texas

Appendix IV: Agency Regional and Local Office Addresses

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The Offices @ Brookhollow, Suite 270, Box 22,
1701 East Lamar Blvd.,
Arlington, TX 76006-7303 United States
(817) 861-2150

Juan G. Coria, District Director,
Northchase I Office Building, Suite 140,
10127 Morocco Street,
San Antonio, TX 78216 United States
(210) 308-4515

Robin R. Mallett, District Director,
Alliance Tower, Suite 1164,
8701 S. Gessner Drive,
Houston, TX 77074-2944 United States
(713) 339-5500

Utah

Lee Ann Dunbar, District Director,
150 E. Social Hall Avenue, Suite 695
Salt Lake City, UT 84111 United States
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Virginia

Bruce Clark, District Director,
Federal Building, Suite 416,
400 N. Eighth Street
Richmond, VA 23219 United States
(804) 771-2995

Washington

Donna M. Hart, District Director,
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Seattle, WA 98101-3216 United States
(206) 398-8039

West Virginia

Catherine Glencoe, Assistant District Director
500 Quarrier Street, Suite 120
Charleston, WV 25301 United States
(304) 347-5206

Western Region

George Friday, Regional Administrator,
90 7th Street, Suite 13-100,
San Francisco, CA 94103-6714 United States
(415) 625-7700

Wisconsin

740 Regent Street, Suite 102
Madison, WI 53715 United States
(608) 264-5221

Alabama

Charles Baker, Assistant District Director,
1141 Mortimar Drive,
Paramount Center Bldg., Suite 1008,
Mobile, AL 36609 United States
(251) 441-5311

California

Paul Chang, Assistant District Director,
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Orange, CA 92868 United States
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Connecticut

Neil Patrick, Assistant District Director,
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New Haven, CT 06510 United States
(203) 773-2249

Florida

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Orlando, FL 32803 United States
(407) 648-6471

Viengkeo Vilaylak, Assistant District Director,
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Tallahassee, FL 32301 United States
(850) 942-8341

Hawaii

Terry Trotter, District Director,
300 Ala Moana Blvd., Suite 7225,
Honolulu, HI 96850 United States
(808) 541-1361

Kansas

Shannon Rebolledo, Assistant District Director,
U.S. Courthouse B-37,
401 North Market Street,
Wichita, KS 67202 United States
(316) 269-7166

Maryland

Bruce Dory, Assistant District Director,
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Hyattsville, MD 20782 United States
(301) 436-6767

New York

Michael Fitzgerald, Assistant District Director,
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Buffalo, NY 14202 United States
(716) 842-2950

Catherine Quinn-Kay, Assistant District Director,
100 South Clinton Street, FOB Room 1373,
PO Box 7245
Syracuse, NY 13261 United States
(315) 448-0630

Dinah Solivan, Assistant District Director,
10 Metrotech Center,
625 Fulton Street, 7th Floor,
Brooklyn, NY 11201 United States
(718) 254-9410

Sonia Rybak, Assistant District Director,
140 Grand Street, Suite 304
White Plains, NY 10601 United States
(914) 682-6348

Oklahoma

Ray Birkhead, Assistant District Director,
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Tulsa, OK 74128 United States
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Glynda Smith, District Director,
Old Post Office Bldg., Room 321,
215 Dean A. McGee Avenue,

Oklahoma City, OK 73102 United States
(405) 231-4158

Pennsylvania

Barbara Adams, Assistant District Director,
Federal Building, Room 357,
228 Wlanut Street,
PO Box 1005,
Harrisburg, PA 17108 United States
(717) 221-4539

Tennessee

Nettie Lewis, Assistant District Director,
167 North Main Street, Room 484
Memphis, TN 38103 United States
(901) 544-3418

Sandra Sanders, Assistant District Director,
John Duncan Federal Bldg., Room 101,
710 Locust Street,
Knoxville, TN 37902 United States
(865) 545-4619

Texas

Vacant, Assistant District Director,
Wilson Plaza, 606 N. Carancahua,
Suite 705,
Corpus Christi, TX 78476 United States
(361) 888-3152

Eden Ramirez, District Director,
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McAllen, TX 78501 United States
(956) 682-4631

Virginia

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Norfolk, VA 23510 United States
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Vacant, Assistant District Director,
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Arlington, VA 22201 United States
(703) 235-1182

Wisconsin

Hector Lopez, Assistant District Director,
310 W. Wisconsin Avenue, Suite 1170,
Milwaukee, WI 53203 United States
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EMPLOYMENT TRAINING ADMINISTRATION

STATE EMPLOYMENT SECURITY AGENCIES

Alabama

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Alabama Department of Industrial Relations
Industrial Relations Building
649 Monroe Street
Montgomery, AL 36131 United States
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Alaska

Ms. Dianne Blumer, Commissioner
AK Department of Labor and Workforce

Appendix IV: Agency Regional and Local Office Addresses

Development
P.O. Box 111149
Juneau, AK 99811-1149 United States
(907) 465-2700(907) 465-4537 FAX

Arizona

Mr. Clarence H. Carter, Director
Department of Economic Security
P.O. Box 6123
Site 010A
Phoenix, AZ 85005 United States
(602) 542-5678 (602) 542-5339 FAX

Arkansas

Mr. Artee Williams, Director
Department of Workforce Services
P.O. Box 2981, # 2 Capitol Mall
Little Rock, Arkansas 72203-2981
Little Rock, AR 72203-2981 United States
(501) 682-2121(501) 682-2273 FAX

California

Ms. Pam Harris, Director,
Employment Development Department
800 Capitol Mall, P.O. Box 826880, MIC 83
Sacramento, CA 94280-0001 United States
(916) 654-8210 (916) 657-5294 FAX

Colorado

Ms. Ellen Golombek, Executive Director
Colorado Department of Labor and Employment
633 17th St, Suite 1200
Denver, CO 80202-3660 United States
(303) 318-8017 (303) 318-8048 FAX

Connecticut

Ms. Sharon Palmer, Commissioner
Connecticut Department of Labor
200 Folly Brook Boulevard
Wethersfield, CT 06109-1153 United States
(860) 263-6506 (860) 263-6529 FAX

Delaware

Mr. John McMahan
Secretary of Labor
Department of Labor
4425 N. Market Street
Wilmington, DE 19802 United States
(302) 761-8008 (302) 761-6621 FAX

District of Columbia

Ms. Lisa Maria Mallory, Director
Department of Employment Services
4058 Minnesota Avenue, NW, Suite 5000
Washington, DC 20019 United States
(202) 671-1900 (202) 673-6976 FAX

Florida

Mr. Jesse Panuccio
Executive Director
Florida Department of Economic Opportunity
107 East Madison Street, MSC 100
Tallahassee, FL 32399-4120 United States
(850) 245-7298 (850) 921-3223 FAX

Georgia

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Georgia Department of Labor Sussex Place
148 Andrew Young International Boulevard, N.E.,
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Atlanta, GA 30303-1751 United States
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Guam

Mr. George Santos, Administrator
Department of Labor
P.O. Box 9970
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1-0288-0111-671-475-7043 9-011-475-7045 FAX

Hawaii

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Idaho

Mr. Rodger B. Madsen, Director
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Illinois

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Chicago, IL 60601 United States
(312) 814-2334 (312) 814-1843 FAX

Indiana

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Indiana Department of Workforce Development
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Iowa

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Kansas

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Kentucky

Ms. Beth Brinly, Commissioner
Department of Workforce Investment
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Louisiana

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54 State House Station(mailing)
Augusta, ME 04333-0054 United States
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Maryland

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Department of Labor, Licensing and Regulation
500 North Calvert Street, 4th Floor
Baltimore, MD 21202 United States
(410) 230-6004 (410) 333-0853 FAX

Massachusetts

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of Labor and Workforce Development
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Boston, MA 02108-1578 United States
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Michigan

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Michigan Department of Labor and Economic
Growth
611 West Ottawa St.
Lansing, MI 48913 United States
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Minnesota

Ms. Katie Clark, Commissioner
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Development
332 Minnesota Street
St. Paul, MN 55101 United States
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Mississippi

Mr. Mark Henry, Executive Director
Mississippi Department of Employment Security
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Jackson, MS 39215 United States
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Missouri

Mr. Chris Pieper, Acting Director
Department of Economic Development
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Jefferson City, MO 65102-1157 United States
(573) 751-4770 (573) 526-7700 FAX

Montana

Ms. Pam Busy, Commissioner
Montana Department of Labor and Industry
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1315 E. Lockey Avenue

Appendix IV: Agency Regional and Local Office Addresses

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Nebraska Workforce Development
Department of Labor
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Lincoln, NE 68509-4600 United States
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Nevada

Mr. Frank Woodbev, Director
Department of Employment Training and
Rehabilitation
500 East Third Street
Carson City, NV 89713 United States
(775) 684-3911 (775) 684-3908 FAX

New Hampshire

Mr. Jeffrey Rose, Commissioner
NH Department of Labor and Workforce
Development (DRED)
172 Pembroke Road
P.O.Box 1826 (zip code 03302-1856 for P.O.
Box)
Concord, NH 03301 United States
(603) 271-2411 (603) 271-2629 FAX

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State Workforce Director, Office of Workforce
Development
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Concord, New Hampshire
03301 (or 03302-1856 for PO Box)
Concord, NH 03302-1856 United States
(603) 271-7275 (603) 271-2629 FAX

Mr. George N. Copadis, Commissioner
New Hampshire Department of Employment
Security
32 South Main Street, Room 204
Concord, NH 03301-4817 United States
(603) 228-4000 (603) 228-4010 FAX

New Jersey

Mr. Harold J. Wirths, Commissioner
New Jersey Department of Labor and Workforce
Development
John Fitch Plaza, 13th Floor
P.O. Box 110
Trenton, NJ 08625-0110 United States
(609) 292-2975 (609) 984-9681 FAX

New Mexico

Ms. Celina Bussey, Cabinet Secretary
Department of Workforce Solutions, 401
Broadway NE
P.O. Box 1928
Albuquerque, NM 87103 United States
(505) 841-8405 (505) 841-8491 FAX

New York

Mr. Peter M. Rivera, Commissioner
New York State Department of Labor
State Campus Office, Building 12, Room 500

Albany, NY 12240 United States
(518) 457-2746 (518) 457-6908 FAX

North Carolina

Mr. Dale R. Folwell, Assistant Secretary
Division of Employment Security, Department of
Commerce
P.O. Box 25903
Raleigh, NC 27611 United States
(919) 707-1600 (919) 733-1129 FAX

North Dakota

Ms. Maren L. Daley, Executive Director
Job Service North Dakota
Box 5507, 1000 East Divide Avenue
Bismarck, ND 58506-5507 United States
(701) 328-3030 (701) 328-1612 FAX

Ohio

Mr. Michael Colbert, Director
Ohio Department of Job & Family Services
30 East Broad Street, 32nd Floor
Columbus, OH 43215 United States
(614) 466-6283 (614) 466-2815 FAX

Oklahoma

Mr. Richard McPherson, Executive Director
Oklahoma Employment Security Commission
Will Rogers Memorial Office Building
2401 North Lincoln Blvd., P.O.Box 52003
Oklahoma City, OK 73152 United States
(405) 557-7201 (405) 557-7174 FAX

Oregon

Mr. Greg Malkasian, Interim Director
Oregon Employment Department
875 Union Street, N.E.
Salem, OR 97311 United States
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Pennsylvania

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Puerto Rico

Mr. Vance Thomas, Esq, Secretary
Puerto Rico Department of Labor and Human
Resources
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Rhode Island

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Center General Complex
1511 Pontiac Avenue, Building 72-2
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South Carolina

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South Dakota

Ms. Pamela S. Roberts, Secretary of Labor
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Kneip Building
700 Governors Drive
Pierre, SD 57501-2291 United States
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Tennessee

Mr. Burns Phillips, Interim Commissioner,
Tennessee Department of Labor and Workforce
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Texas

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Texas Workforce Commission
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Utah

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Vermont

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Vermont Department of Labor
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Virgin Islands

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Virgin Islands Department of Labor
2203 Church Street-Christiansted
St. Croix 00820-4612 United States
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Virginia

Mr. John Broadway, Commissioner
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Washington

Mr. Dale Peinecke, Commissioner
WA Employment Security Department
212 Maple Park
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West Virginia

Mr. Russell Fry, Executive Director

Appendix IV: Agency Regional and Local Office Addresses

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Charleston, WV 25305-0112 United States
(304) 558-7024 (304) 558-9157 FAX

Wisconsin

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Department of Workforce Development
201 East Washington Avenue
Room A400
Madison, WI 53707 United States
(608) 267-1410 (608) 266-1784 FAX

Wyoming

Ms. Joan K. Evans, Director, Department of
Workforce Services
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Cheyenne, WY 82002 United States
(307) 777-8621 (307) 777-5857 FAX

**(Alabama, Florida, Georgia, Kentucky,
Mississippi, North Carolina, South Carolina,
Tennessee)**

Mr. Eugene A. Caso, Acting Regional
Administrator
Sam Nunn Atlanta Federal Center, Room 6M12
61 Forsyth Street, S.W.
Atlanta, GA 30303 United States
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**(Alaska, American Samoa, Arizona, California,
Guam, Hawaii, Idaho, Nevada, Oregon,
Republic of Palau, Federated States of
Micronesia, Commonwealth of Northern
Mariana Islands, Washington)**

Ms. Virginia Hamilton, Regional Administrator
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San Francisco, CA 94103-1516 United States
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**(Arkansas, Colorado, Louisiana, Montana, New
Mexico, North Dakota, Oklahoma, South
Dakota, Texas, Utah, Wyoming)**

Mr. Nicholas E. Lalpui, Acting Regional
Administrator
525 S. Griffin Street, Room 317
Dallas, TX 75202 United States
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**(Connecticut, Maine, Massachusetts, New
Hampshire, New Jersey, New York, Puerto
Rico, Rhode Island, the Virgin Islands,
Vermont)**

Ms. Holly C. O'Brien, Regional Administrator
JFK Federal Building, Room E-350
Boston, MA 02203 United States
(617) 788-0170; FAX (617) 788-0101

**(Delaware, District of Columbia, Maryland,
Pennsylvania, Virginia, West Virginia)**

Ms. Lenita Jacobs-Simmons, Regional
Administrator
The Curtis Center
170 S. Independence Mall West, Suite 825 East
Philadelphia, PA 19106-3315 United States
(215) 861-5200; (215) 861-5260 FAX

**(Illinois, Indiana, Iowa, Kansas, Michigan,
Minnesota, Missouri, Nebraska, Ohio,
Wisconsin)**

Mr. Byron Zuidema, Regional Administrator
230 South Dearborn Street, 6th Floor
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Oregon
Ms. Camille Preus
Oregon Department of Community Colleges and
Workforce Development
255 Capitol Street, N.E.
Salem, OR 97310-1341 United States
(503) 378-8648 ext 357 (503)378-8434 Fax

MINE SAFETY AND HEALTH ADMINISTRATION

COAL MINE SAFETY AND HEALTH

Alabama

District 11 (Florida, Mississippi, Puerto Rico,
Virgin Islands, Alabama and Georgia).
Region IV
Richard Gates
135 Gemini Circle, Suite 213
Birmingham, AL 35209 United States
(205) 290-7300

Colorado

District No. 9 (All States west of the Mississippi
River, except for Minnesota, Iowa and all counties
of Missouri south of the Missouri River; including
Alaska and Hawaii)
Federal Region VIII
District Manager (Vacant)
P.O. Box 25367, DFC
Denver, CO 80225-0367 United States
(303) 231-5458

Indiana

District No. 8 (Illinois, Indiana, Michigan,
Minnesota, Wisconsin, Iowa and all counties of
Missouri north of the Missouri River).
Federal Region V
A. Simms
2300 Willow Street, Suite 200
Vincennes, IN 47591 United States
(812) 882-7617

Kentucky

District No. 6 (Counties of Kentucky east of and
including Mason, Robertson, Fleming, Knott,
Rowan, Menifee, Morgan, Magoffin, Floyd,
Pike, Letcher).
Federal Region IV
G. Page
100 Fae Ramsey Lane
Pikeville, KY 41501-3211 United States
(606) 432-0943

District No. 10 (Counties of Kentucky west of and
including Gallatin, Owen, Franklin, Anderson,
Mercer, Boyle, Casey, Russell And Cumberland).
Federal Region IV
Jim W. Langley

100 YMCA Drive
Madisonville, KY 42431-9019 United States
(270) 821-4180

District No. 7 (North Carolina, South Carolina,
Tennessee, counties of Kentucky east of and
including Boone, Grant, Scott, Woodford,
Jessamine, Garrard, Lincolns, Pulaski, and Cinton,
up to the District 6 Boundary).
Irvin T. hooker
3837 S. U.S. Highway 25 E.
Barbourville, KY 40906 United States
(606) 546-5123

Pennsylvania

District No. 1 (Maine, New Hampshire, Vermont,
Massachusetts, Rhode Island, Connecticut, New
York, New Jersey, Delaware, and counties of
Pennsylvania east of and including Susquehanna,
Sullivan, Columbia, Montour, Northumberland,
Dauphin, York).
Federal Region III
District Manager (Vacant)
The Stegmaier Building, Suite 034
7 North Wilkes-Barre Boulevard
Wilkes-Barre, PA 18702 United States
(570) 826-6321

District No. 2 (Counties of Pennsylvania west of
and including Bradford, Lycoming, Union,
Snyder, Juniata, Perry, Cumberland, Adams).
Federal Region III
Thomas Light
Paladin Professional Center
631 Excel Drive, Suite 100
Mt. Pleasant, PA 15666 United States
(724) 925-5150

Virginia

District No. 5 (Virginia)
Federal Region III
Gregory Meikle
P.O. Box 560
Norton, VA 24273 United States
(540) 679-0230

West Virginia

District No. 12 (Counties of Southern West
Virginia including Logan, McDowell, Mercer,
Mingo, Wany, Wyoming and Lincoln).
Federal Region III
Timothy Watkins
1301 Airport Road
Beaver, WV 25813 United States
(304) 253-5237

West Virginia

District No. 3 (Ohio, Maryland and counties of
West Virginia north of and including Jackson,
Mason, Roane, Calhoun, Braxton, Lewis, Upshur,
Randolph, Pendleton).
Federal Region III
Bob Cornett
604 Cheat Road
Morgantown, WV 26508 United States
(304) 225-6800

Appendix IV: Agency Regional and Local Office Addresses

District No. 4 (Counties of Southeastern West Virginia to include Boone, Clay, Fayette, Greenbrier, Kanawah, Monroe, Nicholas, Pocohontas, Putnam, Raleigh, Summers, Webster).
Federal Region III
David S. Mandeville
100 Bluestone Road
Mt. Hope, WV 25880 United States
(304) 877-3900

METAL AND NONMETAL MINE SAFETY AND HEALTH

Alabama

Southeastern (Alabama, Florida, Georgia, Kentucky, Mississippi, North Carolina, Puerto Rico, South Carolina, Staunton VA, Tennessee, Virgin Islands).
Doniece Schlick
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Birmingham, AL 35209 United States
(205) 290-7294

California

Western (Alaska, California, Hawaii, Idaho, Nevada, Oregon, Washington).
Steve Cain
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Vacaville, CA 95687-6696 United States
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Colorado

Rocky Mountain (Arizona, Colorado, Montana, North Dakota, South Dakota, Utah, Wyoming, Kansas, Nebraska).
Richard Laufenberg
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Minnesota

North Central (Illinois, Indiana, Iowa, Michigan, Minnesota, Ohio, Wisconsin).
Steve Richetta
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Pennsylvania

Northeastern (Connecticut, Delaware, District of Columbia, Maine, Maryland, Massachusetts, New Hampshire, New Jersey, New York, Pennsylvania, Rhode Island, Vermont, West Virginia)
Donald Foster
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(724) 772-2333

Texas

South Central (Arkansas, Louisiana, Missouri, New Mexico, Oklahoma, Texas).
Michael Davis
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NATIONAL MINE HEALTH AND SAFETY ACADEMY

West Virginia

National Mine Health and Safety Academy
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Beaver, WV 25813-9426 United States
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TECHNICAL SUPPORT

Pennsylvania

Safety and Health Technology Center
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West Virginia

Approval and Certification Center
John P. Faini
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OCCUPATIONAL SAFETY AND HEALTH ADMINISTRATION

Alabama

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Mobile, AL 36693-4309
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Area Director
Todd Mall, 2047 Canyon Road
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Alaska

Area Director
301 West Northern Lights Boulevard, Room 407
Anchorage, AK 99503-7571
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Arizona

Area Director
3221 North 16th Street, Suite 100
Phoenix, AZ 85016
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Arkansas

Area Director
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Little Rock, AR 72201
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California

Resource Center
71 Stevenson Street, Suite 420
San Francisco, CA 94105
(415) 975-4316

Resource Center

101 El Camino Boulevard, Suite 105
Sacramento, CA 95815
(916) 566-7470

Resource Center
5675 Ruffin Road, Suite 330
San Diego, CA 92123
(619) 557-5904

Colorado

Area Director
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Area Director
7935 E. Prentice Avenue, Suite 209
Englewood, CO 80111-2714
(303) 843-4500

Connecticut

Area Director
Federal Office Building
450 Main Street, Room 613
Hartford, CT 06103
(860) 240-3152

Area Director
Clark Building
1057 Broad Street, 4th Floor
Bridgeport, CT 06604
(203) 579-5581

Delaware

Area Director
Caleb Boggs Federal Building
844 King Street, Room 2209
Wilmington, DE 19801
(302) 573-6518

Florida

Area Director
5807 Breckenridge Parkway, Suite A
Tampa, FL 33610
(813) 626-1177

Area Director
Ribault Building, Suite 227
1851 Executive Center Drive
Jacksonville, FL 32207
(904) 232-2895

Area Director
Building H100
8040 Peters Road
Fort Lauderdale, FL 33324
(954) 424-0242

Georgia

Area Director
450 Mall Boulevard, Suite J.
Savannah, GA 31406-1418
(912) 652-4393

Area Director
Building 7, Suite 110
2183 N. Lake Parkway
La Vista Perimeter Office Park
Tucker, GA 30084-4154

Appendix IV: Agency Regional and Local Office Addresses

(770) 493-6644

Area Director
2400 Herodian Way, Suite 250
Smyrna, GA 30080-2968
(770) 984-8700

Hawaii

Resource Center
300 Ala Moana Boulevard, Suite 5-146
Honolulu, HI 96850
(808) 541-2685

Idaho

Area Director
1150 North Curtis Road, Suite 201
Boise, ID 83703
(208) 321-2960

Illinois

Area Director
1600 167th Street, Suite 12
Calumet City, IL 60409
(708) 891-3800

District Supervisor
11 Executive Drive, Suite 11
Fairview Heights, IL 62208
(618) 632-8612

Area Director
701 Lee Street
Suite 950
Des Plaines, IL 60016
(847) 803-4800

Area Director
2918 West Willow Knolls Road
Peoria, IL 61614
(309) 671-7033

Area Director
365 Smoke Tree Plaza
North Aurora, IL 60542
(630) 896-8700

Indiana

Area Director
U.S. Post Office and Courthouse
46 East Ohio Street, Room 423
Indianapolis, IN 46204
(317) 226-7290

Iowa

Area Director
210 Walnut Street, Room 815
Des Moines, IA 50309
(515) 284-4794

Kansas

Area Director
8600 Farley, Suite 105
Overland Park, KS 66212-4677
(913) 385-7380

Area Director
271 W. 3rd Street, Room 400
Wichita, KS 67202
(316) 269-6644

Kentucky

Area Director
U.S. Department of Labor-OSHA
John C. Watts Federal Building
330 West Broadway, Room 108
Frankfort, KY 40601-1922
(502) 227-7024

Louisiana

Area Director
202 Harlow Street, Room 211
Bangor, ME 04401-4906
(207) 941-8177

Area Director
9100 Bluebonnet Centre Boulevard, Suite 201
Baton Rouge, LA 70809
(225) 389-0474

Maine

District Supervisor
Edmund S. Muskie Federal Bldg.
40 Western Ave., RM G26
Augusta, ME 04330
(207) 626-9160

Maryland

Area Director
1099 Winterson Road, Suite 140
Linthicum, MD 21090
(410) 865-2055/2056

Massachusetts

Area Director
Valley Office Park
13 Branch Street, First Floor
Methuen, MA 01844
(617)565-8110

Area Director
639 Granite Street, 4th Floor
Braintree, MA 02184
(617) 565-6924

Area Director
1441 Main Street, Room 550
Springfield, MA 01103-1493
(413) 785-0123

Michigan

Area Director
801 South Waverly Road, Suite 306
Lansing, MI 48917-4200
(517) 327-0904

Minnesota

Area Director
300 S. 4th Street, Suite 1205
Minneapolis, MN 55415
(612) 664-5460

Mississippi

Area Director
3780 I-55 North, Suite 210
Jackson, MS 39211-6323
(601) 965-4606

Missouri

Area Director
U.S. Department of Labor-OSHA
911 Washington Avenue, Room 420
St. Louis, MO 63101
(314) 425-4249

Area Director
6200 Connecticut Avenue, Suite 100
Kansas City, MO 64120
(816) 483-9531

Montana

Area Director
2900 4th Avenue, North, Suite 303
Billings, MT 59101
(406) 247-7494

Nebraska

Area Director
Overland-Wolf Building, Room 100
6910 Pacific Street
Omaha, NE 68106
(402) 221-3182

Nevada

Resource Center
Federal Building, Room 204
705 North Plaza
Carson City, NV 89701
(775) 885-6963

New Hampshire

Area Director
55 Pleasant Street, Room 3901
Concord, NH 03301
(603) 225-1629

New Jersey

Area Director
Marlton Executive Park
701 Route 73 South, Building 2, Suite 120
Marlton, NJ 08053
(856) 757-5181

Area Director
500 Route 17 South, 2nd Floor
Hasbrouck Heights, NJ 07604
(201) 288-1700

Area Director
Plaza 35, Suite 205
1030 St. Georges Avenue
Avenel, NJ 07001
(732) 750-3270

Area Director
299 Cherry Hill Road, Suite 304
Parsippany, NJ 07054
(973) 263-1003

New Mexico

Area Director
Western Bank Building
505 Marquette Avenue, N.W., Suite 820
Albuquerque, NM 87102
(505) 248-5302

Appendix IV: Agency Regional and Local Office Addresses

New York

Area Director
660 White Plains Road, 4th Floor
Tarrytown, NY 10591-5107
(914) 524-7510

Area Director
42-40 Bell Boulevard
Bayside, NY 11361
(718) 279-9060

Area Director
1400 Old Country Road, Suite 208
Westbury, NY 11590
(516) 334-3344

Area Director
3300 Vickery Road
North Syracuse, NY 13212
(315) 451-0808

Area Director
201 Varick Street, Room 905
New York, NY 10014
(212) 620-3200

Area Director
5360 Genesee Street
Bowmansville, NY 14026
(716) 684-3891

Area Director
John Tomich Federal Building
401 New Karner Road, Suite 300
Albany, NY 12205-3809
(518) 464-4338

North Carolina

Area Director
Century Station, Federal Building, Room 438
300 Fayetteville Mall
Raleigh, NC 27601-9998
(919) 856-4770

North Dakota

Area Director
Federal Office Building
1640 East Capitol Avenue
Bismarck, ND 58501
(701) 250-4521

Ohio

Area Director
Federal Office Building, Room 899
1240 East Ninth Street
Cleveland, OH 44199
(216) 522-3818

Area Director
Federal Office Building, Room 4028
36 Triangle Park Drive
Cincinnati, OH 45246
(513) 841-4132

Area Director
Ohio Building
420 Madison Avenue, Suite 600
Toledo, OH 43604
(419) 259-7542

Area Director
Federal Office Building
200 North High Street, Room 620
Columbus, OH 43215
(614) 469-5582

Oklahoma

Area Director
55 N. Robinson, Suite 315
Oklahoma City, OK 73102
(405) 278-9560

Oregon

Area Director
1220 Southwest Third Avenue, Room 640
Portland, OR 97204
(503) 326-2251

Pennsylvania

Area Director
Federal Building, Room 1428
1000 Liberty Avenue
Pittsburgh, PA 15522-4101
(412) 395-4903

Area Director
3939 West Ridge Road, Suite B-12
Erie, PA 16506
(814) 833-5758

Area Director
850 North 5th Street
Allentown, PA 18102
(610) 776-0592

Area Director
U.S. Customs House, Room 242
Second and Chestnut Streets
Philadelphia, PA 19106
(215) 597-4955

Area Director
49 North Progress Avenue
Progress Plaza
Harrisburg, PA 17109
(717) 782-3902

Area Director
7 North Wilkes-Barre Boulevard
Suite 410
Wilkes-Barre, PA 18702
(570) 826-6538

Puerto Rico

Area Director
BBV Plaza Building
1510 F.D. Roosevelt Avenue, Suite 5B
Guaynabo, PR 00968
(787) 277-1560

Region I

(Connecticut, Maine, Massachusetts, New Hampshire, Rhode Island, Vermont)
Marthe Kent, Regional Administrator
Department of Labor, OSHA
JFK Federal Building, Low Rise Building, Room E-340
Boston, MA 02114

(617) 565-9860

Region II

(New Jersey, New York, Puerto Rico, Virgin Islands)
Robert Kulick, Regional Administrator
Department of Labor, OSHA
201 Varick Street, Room 670
New York, NY 10014 United States
(212) 337-2378

Region III

(Delaware, District of Columbia, Maryland, Pennsylvania, Virginia, West Virginia)
John Hermanson, Regional Administrator
The Curtis Center - Suite 740 West
170 Independence Mall West
Philadelphia, PA 19106-3309 United States
(215) 861-4900

Region IV

(Alabama, Florida, Georgia, Kentucky, Mississippi, North Carolina, South Carolina, Tennessee)
Cindy Coe, Regional Administrator
61 Forsyth Street S.W., Room 6T50
Atlanta, GA 30303 United States
(404) 562-2300

Region IX

(Arizona, California, Commonwealth of Northern Mariana Islands, Guam, Hawaii, and Nevada)
Ken Atha, Regional Administrator
Department of Labor, OSHA
71 Stevenson Street, Room 420
San Francisco, CA 94105 United States
(415) 975-4310

Region V

(Illinois, Indiana, Minnesota, Michigan, Ohio, Wisconsin)
Michael Connors, Regional Administrator
Department of Labor, OSHA
230 South Dearborn Street
32nd Floor, Room 3244
Chicago, IL 60604
(312) 353-2220

Region VI

(Arkansas, Louisiana, New Mexico, Oklahoma, Texas)
Dean McDaniel, Regional Administrator
Department of Labor, OSHA
525 Griffin Street, Room 602
Dallas, TX 75202 United States
(214) 767-4731

Region VII

(Iowa, Kansas, Missouri, Nebraska)
Charles E. Adkins, Regional Administrator
Department of Labor, OSHA
City Center Square
1100 Main Street, Suite 800
Kansas City, MO 64105 United States
(816) 426-5861

Appendix IV: Agency Regional and Local Office Addresses

Region VIII

(Colorado, Montana, North Dakota, South Dakota, Utah, Wyoming)

Greg Baxter, Regional Administrator
Department of Labor, OSHA
1999 Broadway Street, Room 1690
Denver, CO 80202-5716 United States
(303) 844-1600

Region X

(Alaska, Idaho, Oregon, Washington)
Richard Terrill, Regional Administrator
Department of Labor, OSHA
1111 Third Avenue, Suite 715
Seattle, WA 98101-3212
(206) 553-5930

Rhode Island

Area Director
380 Westminister Mall, Room 243
Providence, RI 02903
(401) 528-4669

South Carolina

Area Director
1835 Assembly Street, Room 1468
Columbia, SC 29201
(803) 765-5904

Tennessee

Area Director
2002 Richard Jones Road, Suite C-205
Nashville, TN 37215-2809
(615) 781-5423

Texas

Area Director
17625 El Camino Real, Suite 400
Houston, TX 77058
(281) 286-0583

Area Director
Corpus Christi Area Office
U.S. Department of Labor, OSHA
Wilson Plaza West
606 N. Carancahua, Suite 700
Corpus Christi, TX 78476
(361) 888-3420

Area Director
507 North Sam Houston Parkway East, Suite 400
Houston, TX 77060
(281) 591-2438

Area Director
Federal Building, Room 806
1205 Texas Avenue
Lubbock, TX 79401
(806) 472-7681

Area Director
700 E. San Antonio Street, Room C-408
EL Paso, TX 79901
(915) 534-6251

Area Director
903 San Jacinto Boulevard, Suite 319
Austin, TX 78701

(512) 916-5783

Area Director
8344 East R.L. Thornton Freeway, Suite 420
Dallas, TX 75228
(214) 320-2400

Area Director
North Star II, Suite 302
8713 Airport Freeway
Ft. Worth, TX 76180-7610
(817) 428-2470

Utah

Area Director
1781 South 300 West
P.O. Box 65200
Salt Lake City, UT 84165-0200
(801) 487-0521

Virginia

District Supervisor
200 Granby Street, Room 614
Norfolk, VA 23510
(757) 441-3820

Washington

Area Director
505 106th Avenue N.E., Suite 302
Bellevue, WA 98004
(206) 553-7520

West Virginia

Area Director
405 Capitol Street, Suite 407
Charleston, WV 25301
(304) 347-5937

Wisconsin

Area Director
Henry S. Reuss Building
310 Wisconsin Avenue, Suite 1180
Milwaukee, WI 53203
(414) 297-3315

Area Director
1648 Tri Park Way
Appleton, WI 54914
(920) 734-4521

District Supervisor
1310 West Clairmont Ave.
Eau Claire, WI 54701
(715) 832-9019

Area Director
4802 E. Broadway
Madison, WI 53716
(608) 441-5388

OFFICE OF LABOR-MANAGEMENT STANDARDS

California

90 7th Street
Room 18100
San Francisco, CA 94103-6701 United States
(415) 625-2661

915 Wilshire Boulevard
Suite 910
Los Angeles, CA 90017 United States
(213) 534-6405

Colorado

1999 Broadway Street
Room 2435
Denver, CO 80202 United States
(720) 264-3232

District of Columbia

800 North Capitol Street NW
Room 120
Washington, DC 20002-4244 United States
(202) 513-7300

Georgia

Atlanta Federal Building
Room 8B85
61 Forsyth Street, S.W.
Atlanta, GA 30303-2219 United States
(404) 562-2083

Illinois

Federal Office Building
Suite 774
230 S. Dearborn Street
Chicago, IL 60604-1505 United States
(312) 596-7160

Louisiana

600 South Maestri Place
Room 604
New Orleans, LA 70130 United States
(504) 589-6174

Massachusetts

JFK Federal Building
Room E-365
Boston, MA 02203-0002 United States
(617) 624-6690

Michigan

211 West Fort Street
Suite 1313
Detroit, MI 48226-3237 United States
(313) 226-6200

Missouri

1222 Spruce Street
Suite 9.109E
St. Louis, MO 63103-2830 United States
(314) 539-2667

New York

201 Varick Street
Suite 878
New York, NY 10014 United States
(646) 264-3190
130 South Elmwood Street
Room 510
Buffalo, NY 14202-2465 United States
(716) 842-2900

Appendix IV: Agency Regional and Local Office Addresses

Ohio

Federal Office Building
Suite 831
1240 East Ninth Street
Cleveland, OH 44199-2053 United States
(216) 357-5455

525 Vine Street
Suite 950
Cincinnati, OH 45202-3168 United States
(513) 684-6840

Pennsylvania

The Curtis Center
170 S. Independence Mall West
Room 760W
Philadelphia, PA 19106-3310 United States
(215) 861-4818

Federal Office Building
Room 1411
1000 Liberty Avenue
Pittsburgh, PA 15222-4004 United States
(412) 395-6925

Tennessee

233 Cumberland Bend Drive
Suite 110
Nashville, TN 37228-1809 United States
(615) 736-5906

Texas

A. Maceo Smith Federal Building
Suite 300
525 Griffin Street
Dallas, TX 75202-5007 United States
(972) 850-2500

Washington

Federal Office Building
Suite 605
1111 Third Avenue
Seattle, WA 98101-3212 United States
(206) 398-8099

Wisconsin

517 East Wisconsin Avenue
Suite 118
Milwaukee, WI 53203-2213 United States
(414) 297-1501

OFFICE OF THE SECRETARY, WOMEN'S BUREAU

Region I

(Connecticut, Maine, Massachusetts, New Hampshire, Rhode Island, Vermont)
Jacqueline Cooke, Regional Administrator
JFK Federal Building, Room E-270
One Congress Street
Boston, MA 02114
(617) 565-1988 or 1-800-518-3585
Use the same number for FTS

Region II

(New Jersey, New York, Puerto Rico, Virgin

Islands)

Mary C. Murphree, Regional Administrator
201 Varick Street, Room 601
New York, NY 10014
(212) 337-2389
Use the same number for FTS

Region III

(Delaware, District of Columbia, Maryland, Pennsylvania, Virginia, West Virginia)
Cornelia Moore, Regional Administrator
The Curtis Center, Suite 880 West
170 S. Independence Mall West
Philadelphia, PA 19104
(215) 861-4860 or 1-800-379-9042
Use the same number for FTS

Region IV

(Alabama, Florida, Georgia, Kentucky, Mississippi, North Carolina, South Carolina, Tennessee)
Delores Crockett, Regional Administrator
61 Forsyth Street, S.W., Suite 7T95
Atlanta, GA 30367
(404) 562-2336 or 1-800-672-8356
Use the same number for FTS

Region IX

(Arizona, California, Hawaii, Nevada)
Jenny Erwin, Regional Administrator
71 Stevenson Street, Room 927
San Francisco, CA 94105
(415) 975-4750
Use the same number for FTS

Region V

(Illinois, Indiana, Michigan, Minnesota, Ohio, Wisconsin)
Nancy Chen, Regional Administrator
230 South Dearborn Street, Room 1022
Chicago, IL 60604
(312) 353-6985 or 1-800-648-8183
Use the same number for FTS

Region VI

(Arkansas, Louisiana, New Mexico, Oklahoma, Texas)
Beverly Lyle, Regional Administrator
Suite 735, Federal Building
525 Griffin Street, Suite 735
Dallas, TX 75202
(214) 767-6985 or 1-888-887-6794
Use the same number for FTS

Region VII

(Iowa, Kansas, Missouri, Nebraska)
Rose A. Kemp, Regional Administrator
Center City Square Building
1100 Main Street, Suite 845
Kansas City, MO 64106
(816) 426-6108 or 1-800-252-4706
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Region VIII

(Colorado, Montana, North Dakota, South Dakota, Utah, Wyoming)

Frances Jefferson, Regional Administrator
Federal Office Building
1801 California Street, Suite 905
Denver, CO 80202-2614
(303) 844-1286 or 1-800-299-0886
Use the same number for FTS

Region X

(Alaska, Idaho, Oregon, Washington)
Karen Furia, Regional Administrator
1111 Third Avenue, Room 925
Seattle, WA 98101-3211
(206) 553-1534 or 1-888-296-7011
Use the same number for FTS

VETERAN'S EMPLOYMENT AND TRAINING SERVICE

REGIONAL ADMINISTRATORS AND STATE DIRECTORS FOR VETERANS' EMPLOYMENT AND TRAINING

Region I

DVET Richard Gray
Veterans' Employment and Training Service
Department of Labor
P.O. Box 603
Post Office Building
87 State Street, Room 303
Montpelier, VT 05602
(802) 828-4441

DVET John Dunn
Veterans' Employment and Training Service
Department of Labor
57 Spruce Street
Westerly, RI 02891
(401) 528-5134

DVET Paul L. Desmond
Veterans' Employment and Training Service
Department of Labor
19 Staniford Street
C.F. Hurley Building, 2nd Floor, ES Operations
Section
Boston, MA 02114
(617) 626-6699

DVET William Mason
Veterans' Employment and Training Service
Department of Labor
CT Department of Labor Building
200 Folly Brook Boulevard
Wethersfield, CT 06109
(860) 263-6490

(Connecticut, Maine, Massachusetts, New Hampshire, Rhode Island, Vermont)
RAVET David Houle
Veterans' Employment and Training Service
Department of Labor
JFK Federal Building, Room E-315
Government Center
Boston, MA 02203
(617) 565-2080

DVET Jon Guay
Veterans' Employment and Training Service
Department of Labor

Appendix IV: Agency Regional and Local Office Addresses

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P.O. Box 3106
Lewiston, ME 04243
(207) 753-9090

DVET Richard Ducey
Veterans' Employment and Training Service
Department of Labor
143 North Main Street, Room 208
Concord, NH 03301
(603) 225-1424

Region II

DVET James H. Hartman
Veterans' Employment and Training Service
Department of Labor
Harriman State Campus
Building 12, Room 518
Albany, NY 12240-0099
(518) 457-7465

(New Jersey, New York, Puerto Rico and Virgin Islands)

Veterans' Employment and Training Service
Department of Labor
201 Varick Street, Room 766
New York, NY 10014
(212) 337-2211

DVET Alan E. Grohs
Veterans' Employment and Training Service
Department of Labor
Labor Building, 11th Floor, CN058
Trenton, NJ 08625
(609) 292-2930

DVET Angel Mojica
Veterans' Employment and Training Service
Department of Labor
Puerto Rico Department of Labor and Human Services
198 Calle Guayama, 20th Floor
Hato Rey, PR 00917
(787) 754-5391

Region III

DVET Lawrence Babitts
Veterans' Employment and Training Service
Department of Labor
Labor and Industry Building, Room 1108
Seventh and Forster Streets
Harrisburg, PA 17121
(717) 787-5834

(Delaware, District of Columbia, Maryland, Pennsylvania, Virginia and West Virginia)

RAVET Joseph Hortiz, Jr.
Veterans' Employment and Training Service
Department of Labor
The Curtis Center, Suite 770 West
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Philadelphia, PA 19106
(215) 861-5390

DVET Roberto Pineda
Veterans' Employment and Training Service
Department of Labor
703 E. Main street, Room 118

Richmond, VA 23219
(804) 786-7269

DVET Gary Lobdell
Veterans' Employment and Training Service
Department of Labor
1100 North Eutaw Street, Room 210
Baltimore, MD 21201
(410) 767-2110

DVET Charles Stores, Jr.
Veterans' Employment and Training Service
Department of Labor
112 California Avenue
Capitol Complex - Room 204
Charleston, WV 25305-0112
(304) 558-4001

DVET Stanley Williams
Veterans' Employment and Training Service
Department of Labor
500 C Street, N.W., Room 108
Washington, DC 20001
(202) 724-7005

DVET David White
Veterans' Employment and Training Service
Department of Labor
4425 N. Market Street, Room 420
Wilmington, DE 19809-0828
(302) 761-8138

Region IV

DVET Richard E. Ritchie
Veterans' Employment and Training Service
Department of Labor
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Nashville, TN 37219-3795
(615) 736-7680

DVET Thomas Karrh
Veterans' Employment and Training Service
Department of Labor
649 Monroe Street, Room 543
Montgomery, AL 36131-6300
(334) 223-7677

Derek W. Taylor
Veterans' Employment and Training Service
Department of Labor
P.O. Box 1527
Tallahassee, FL 32302-1527
(850) 942-8800

Ed Gresham
Veterans' Employment and Training Service
Department of Labor
Sussex Place, Suite 504
148 International Boulevard, N.E.
Atlanta, GA 30303-1751
(404) 656-3127

(Alabama, Florida, Georgia, Kentucky, Mississippi, North Carolina, South Carolina, Tennessee)

RAVET William Bolls, Jr.
Veterans' Employment and Training Service
Department of Labor
Sam Nunn Atlanta Federal Center

61 Forsyth Street, S.W., Room 6-T85
Atlanta, GA 30303
(404) 562-2305

DVET Charles R. Netherton
Veterans' Employment and Training Service
Department of Labor
C/O Department for Employment Services
275 East Main Street
Frankfort, KY 40621-2339
(502) 564-7062

DVET Angelo Terrell
Veterans' Employment and Training Service
Department of Labor
1520 West Capitol Street
P.O. Box 1699
Jackson, MS 39215-1699
(601) 965-4204

DVET William C. Plowden, Jr.
Veterans' Employment and Training Service
Department of Labor
P.O. Box 1755
Columbia, SC 29202-1755
(803) 765-5195

DVET Steven W. Guess
Veterans' Employment and Training Service
Department of Labor
P.O. Box 27625
Raleigh, NC 27611-7625
(919) 733-7402, 733-7407

Region IX

DVET Rosendo A. Cuevas
Veterans' Employment and Training Service
Department of Labor
800 Capitol Mall, Room W-1142
P.O. Box 826880
Sacramento, CA 94280-0001
(916) 654-8178

(Arizona, California, Hawaii, and Nevada)
RAVET Rex A. Newell
Veterans' Employment and Training Service
Department of Labor
71 Stevenson Street, Suite 705
San Francisco, CA 94105
(415) 975-4700

DVET Michael Espinosa
Veterans' Employment and Training Service
Department of Labor
P.O. Box 6123-SC760E
1400 West Washington Street
Phoenix, AZ 85005
(602) 379-4961

DVET Judy A. Carlisle
Veterans' Employment and Training Service
Department of Labor
1923 North Carson Street, Room 205
Carson City, NV 89702
(702) 687-4632

DVET Gilbert N. Hough
Veterans' Employment and Training Service
Department of Labor

Appendix IV: Agency Regional and Local Office Addresses

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Honolulu, HI 96811
(808) 522-8216

Region V

DVET Michael D. Graham
Veterans' Employment and Training Service
Department of Labor
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St. Paul, MN 55101
(651) 296-3665

DVET Kim Fulton
Veterans' Employment and Training Service
Department of Labor
7310 Woodward Avenue, Suite 407
Detroit, MI 48202
(313) 876-5613

DVET D. Bruce Redman
Veterans' Employment and Training Service
Department of Labor Richard A. Mock
10 North Senate Avenue, Room SE103
Indianapolis, IN 46204
(317) 232-6804

DVET Samuel L. Parks
Veterans' Employment and Training Service
Department of Labor
401 South State Street, 744 North
Chicago, IL 60605
(312) 793-3433

DVET Carl Price
Veterans' Employment and Training Service
Department of Labor
P.O. Box 1618
Columbus, OH 43216
(614) 644-3688

(Illinois, Indiana, Michigan, Minnesota, Ohio,
Wisconsin)

RAVET Ronald G. Bachman
Veterans' Employment and Training Service
Department of Labor
230 South Dearborn, Room 1064
Chicago, IL 60604
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DVET James R. Gutowski
Veterans' Employment and Training Service
Department of Labor
P.O. Box 8310
Madison, WI 53708-8310
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Region VI

DVET Billy R. Threlkeld
Veterans' Employment and Training Service
Department of Labor
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Little Rock, AK 72203
(501) 682-3786

DVET Lester L. Parmenter
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Department of Labor
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Baton Rouge, LA 70804-9094

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DVET John D. McKinny
Veterans' Employment and Training Service
Department of Labor
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Austin, TX 78767
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Department of Labor
201 North Lincoln Boulevard
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Oklahoma City, OK 73152-2003
(405) 231-5088

Sharon I. Mitchell
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Department of Labor
P.O. Box 25085
Albuquerque, NM 87125-5085
(505) 346-7502

(Arkansas, Louisiana, New Mexico, Oklahoma,
Texas)

RAVET Lester L. Williams, Jr.
Veterans' Employment and Training Service
Department of Labor
525 Griffin Street, Room 858
Dallas, TX 75202
(214) 767-4987

Region VII

DVET Mickey J. Jones
Veterans' Employment and Training Service
Department of Labor
P.O. Box 1087
421 East Dunklin Street
Jefferson City, MO 65102-1087
(573) 751-3921

DVET Gayle Gibson
Veterans' Employment and Training Service
Department of Labor
401 Topeka Boulevard
Topeka, KS 66603-3182
(913) 296-5032

DVET Anthony J. Smithhart
Veterans' Employment and Training Service
Department of Labor
150 Des Moines Street
Des Moines, IA 50309-5563
(515) 281-9061

DVET Richard Nelson
Veterans' Employment and Training Service
Department of Labor
P.O. Box 94600
550 South 16th Street
Lincoln, NE 68508
(402) 437-5289

(Iowa, Kansas, Missouri, and Nebraska)

RAVET Lester L. Williams, Jr.
Veterans' Employment and Training Service
Department of Labor
City Center Square Building

1100 Main Street, Suite 850
Kansas City, MO 64105-2112
(816) 426-7151

Region VIII

DVET David McNulty
Veterans' Employment and Training
Department of Labor
P.O. Box 2760
100 West Midwest Avenue
Casper, WY 82602-2760
(307) 261-5454

(Colorado, Montana, North Dakota, South Dakota,
Utah and Wyoming)

RAVET Ronald G. Bachman
Veterans' Employment and Training Service
Department of Labor
1999 Broadway, Suite 1730
Denver, CO 80202-2614
(303) 844-1175

DVET Dale Brockbank
Veterans' Employment and Training Service
Department of Labor
140 East 300 South
Salt Lake City, UT 84111-2333
(801) 524-5703

DVET Earl R. Schultz
Veterans' Employment and Training Service
Department of Labor
P.O. Box 4730
420 South Roosevelt Street
Aberdeen, SD 57402-4730
(605) 626-2325

DVET Mark McGinty
Veterans' Employment and Training Service
Department of Labor
1515 Arapahoe Street, 2 Park Central, Suite 400
Denver, CO 80202-2117
(303) 844-2151

DVET Jerry Meske
Veterans' Employment and Training Service
Department of Labor
P.O. Box 1632
1000 E. Divide Avenue
Bismarck, ND 58502-1632
(701) 328-2865

DVET Polly Latray-Halmes
Veterans' Employment and Training
Department of Labor
1215 8th Avenue
Helena, MT 59601-4144
(406) 449-5431

Region X

DVET Ron Cannon
Veterans' Employment and Training Service
Department of Labor
312 Employment Division Building, Room 108
875 Union Street, N.E.
Salem, OR 97311-0100
(503) 947-1490

DVET Daniel Travis

Appendix IV: Agency Regional and Local Office Addresses

Veterans' Employment and Training Service
Department of Labor
P.O. Box 25509
1111 West 8th Street
Juneau, AK 99802-5509
(907) 465-2723

DVET Vacant
Veterans' Employment and Training Service
Department of Labor
P.O. Box 2697
Boise, ID 83701
(208) 334-6163

(Alaska, Idaho, Oregon and Washington)
RAVET Rex A. Newell
Veterans' Employment and Training Services
Department of Labor
1111 Third Avenue, Suite 900
Seattle, WA 98101-3212
(206) 553-4831

Thomas Pearson
Veterans' Employment and Training Service
Department of Labor
P.O. Box 165
Olympia, WA 98507-0165
(360) 438-4600

Contact any Veterans' Employment and Training
Service Office concerning veterans' reemployment
rights.

WAGE AND HOUR DIVISION

Alabama

Kenneth Stripling, District Director,
Medical Forum Bldg., Suite 605,
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Birmingham, AL 35203 United States
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Katie Turner-Pouchie, Assistant District Director,
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Montgomery, AL 36106-3603 United States
(304) 223-7641

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Paramount Center Bldg., Suite 1008,
Mobile, AL 36609 United States
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Arizona

Eric P. Murray, District Director,
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Phoenix, AZ 85003-1725 United States
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Arkansas

Robert Darling, District Director,
Danville Building #2, Suite 220,
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Little Rock, AR 72211 United States
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California

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Maria Jackson, Assistant District Director,
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California - Los Angeles

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Los Angeles, CA 90017 United States
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Colorado

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Connecticut

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Hartford, CT 06103 United States
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Florida

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Appendix IV: Agency Regional and Local Office Addresses

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Appendix IV: Agency Regional and Local Office Addresses

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Pittsburgh, PA 15222 United States
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Philadelphia, PA 19106 United States
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Rhode Island

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Providence, RI 02903 United States
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South Carolina

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Columbia, SC 29201-9863 United States
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Southeast Region

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Southwest Region

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Texas

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606 N. Carancahua, Suite 705,
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The Offices @ Brookhollow, Suite 270,
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Arlington, TX 76006-7303 United States
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FEDERAL AVIATION ADMINISTRATION (FAA)

NATIONAL MINE HEALTH AND SAFETY
ACADEMY

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AAL-600
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Appendix IV: Agency Regional and Local Office Addresses

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AGL-600
Federal Aviation Administration, Airports
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Massachusetts

20.106 AIRPORT IMPROVEMENT PROGRAM
ANE-600
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Appendix IV: Agency Regional and Local Office Addresses

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Appendix IV: Agency Regional and Local Office Addresses

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Prince Jonah Kuhio
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FEDERAL RAILROAD ADMINISTRATION (FRA)

Region I (Northeastern)

(Connecticut, Maine, Massachusetts, New
Hampshire, New Jersey, New York, Rhode Island,
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Mark H. McKeon, Regional Administrator
55 Broadway, Room 1077
Cambridge, MA 02142
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Region II (Eastern)

(Delaware, District of Columbia, Pennsylvania,
Maryland, Virginia, West Virginia, Ohio)
David R. Myers, Regional Administrator
2 International Plaza, Suite 550
Philadelphia, PA 19113
(610) 521-8200
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Region III (Southern)

(Alabama, Florida, Georgia, Kentucky,
Mississippi, North Carolina, South Carolina,

Tennessee)
L.F. Dennin, II, Regional Administrator
Atlanta Federal Center, Suite 16T20
61 Forsyth Street, S.W.
Atlanta, GA 30303-3104
(404) 562-3800
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Region IV (Central)

(Illinois, Indiana, Michigan, Minnesota,
Wisconsin)
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111 North Canal Street, Suite 655
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(312) 353-6203 Use the same number for FTS

Region V (Southwestern)

(Arkansas, Louisiana, New Mexico, Oklahoma,
Texas)
John F. Megary, Regional Administrator
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Hurst, TX 76053
(817) 284-8142
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Region VI (Midwestern)

(Iowa, Kansas, Missouri, Nebraska, Colorado)
Darrell J. Tisor, Regional Administrator
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(816) 329-3840
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Region VII (Western)

(Arizona, California, Nevada, Utah)
Alvin Settje, Regional Administrator
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Region VIII (Northwestern)

(Alaska, Idaho, Montana, North Dakota, Oregon,
South Dakota, Washington, Wyoming)
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Vancouver, WA 98660
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FEDERAL TRANSIT ADMINISTRATION (FTA)

Region I

(Connecticut, Maine, Massachusetts, New
Hampshire, Rhode Island, Vermont), Mary Beth
Mello - Regional Administrator Region I
Transportation Systems Center, Kendall Square
55 Broadway, Suite 921
Cambridge, MA 02142-1093 United States
(617) 494-2055

Region II

(New Jersey, New York, Virgin Islands)
Marilyn Shazor, Region II - New York, NY One
Green, Suite 429

New York, NY 10004-1415 United States
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Region III

(Delaware, District of Columbia, Maryland,
Pennsylvania, Virginia, West Virginia)
Brigid Hynes-Cherin: Regional Administrator for
Region III, 1760 Market Street, Suite 500
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Region IV

(Alabama, Florida, Georgia, Kentucky,
Mississippi, North Carolina, Puerto Rico, South
Carolina, Tennessee)
Yvette G. Taylor, Regional Administrator for
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Region IX

(Arizona, California, Hawaii, Nevada, Guam,
American Samoa)
Leslie Rogers, Regional Administrator
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Region V

(Illinois, Indiana, Michigan, Minnesota, Ohio,
Wisconsin)
Marisol Simon, Regional Administrator Region V
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Region VI

(Arkansas, Louisiana, New Mexico, Oklahoma,
Texas)
Robert C. Patrick, Regional Administrator
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Region VII

(Iowa, Kansas, Missouri, Nebraska)
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Region VIII

Note grant making activity for Arizona and
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(Alaska, Idaho, Oregon, Washington)
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915 Second Avenue, Suite 3142
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MARITIME ADMINISTRATION (MARAD)

California

NORTHERN CALIFORNIA GATEWAY (San
Francisco/Oakland): (Hawaii; Guam; Nevada;
Northern California, North of Point Conception;
Utah)

JOHN HUMMER

US Department of Transportation
Maritime Administration
Northern California Gateway Office
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San Francisco, CA 94105 United States
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Florida

SOUTH ATLANTIC GATEWAY (Miami):
(South Carolina, Georgia, Florida (except the
Panhandle), Puerto Rico and the U.S. Virgin
Islands)

LAUREN BRAND, DIRECTOR
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Illinois

GREAT LAKES GATEWAY (Chicago):
(Indiana; Michigan; Northern Illinois; Northern
Minnesota north of the North and South Dakota
Borders; Northern Iowa/Missouri Border; Ohio;
areas surrounding the St Lawrence Seaway;
Wisconsin)

Floyd Miras
US Department of Transportation
Maritime Administration
Great Lakes Gateway Office
1701 E. Woodfield Rd. Suite 203
Schaumburg, IL 60173 United States
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Louisiana

EASTERN GULF/LOWER MISSISSIPPI
GATEWAY (New Orleans): (Alabama; Arkansas;
Louisiana; Mississippi; Tennessee; Western
Panhandle of Florida) WESTERN GULF
GATEWAY (Houston): (Colorado; New Mexico;
Oklahoma; Texas)
James Murphy
US Department of Transportation
Maritime Administration

Eastern Gulf/Lower Mississippi Gateway Office
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New Orleans, LA 70130-3394 United States
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FTS

Missouri

INLAND WATERWAYS GATEWAY (St Louis):
(Iowa; Kansas; Kentucky; Missouri; Montana;
Nebraska; North Dakota; South Dakota;
Pennsylvania all areas west of Pittsburgh;
Southern Illinois; Southern Minnesota; Wyoming)
ROBERT GOODWIN
US Department of Transportation
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Upper Mississippi Office
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Jersey, and Pennsylvania)
Maritime Administration
One Bowling Green, Room 418
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Southern California

(SOUTHERN CALIFORNIA GATEWAY (Long
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ALAN HICKS
US Department of Transportation
Maritime Administration
Southern California Gateway Office
Glenn M. Anderson Federal Bldg
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Virginia

MID ATLANTIC GATEWAY (Norfolk):
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Virginia)
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US Department of Transportation
Maritime Administration
Mid Atlantic Gateway Office
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(Seattle): (Alaska; Oregon; Idaho; Washington)
RANDY ROGERS
US Department of Transportation
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Pacific Northwest Gateway Office
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206-220-7717

NATIONAL HIGHWAY TRAFFIC SAFETY ADMINISTRATION (NHTSA)

Region I

(Connecticut, Maine, Massachusetts, New
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Transportation System Center
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Region II

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Region III

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Director

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Appendix IV: Agency Regional and Local Office Addresses

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Bishop Henry Whipple Federal Building, Room 503
One Federal Drive
Ft. Snelling, MN 55111-4007
(612) 725-3437

Ohio, Indiana, Kentucky, West Virginia
Dayton
U.S. Courthouse and Federal Building
200 West 2nd Street, Room 507
Dayton, OH 45402-0001
(513) 225-2576

Pennsylvania, New York, Maryland, Massachusetts, New Hampshire, Connecticut, Delaware, Maine, New Jersey, Rhode Island, Vermont
Philadelphia
William J. Green, Jr. Federal Building
Federal Building, Room 3400
600 Arch Street
Philadelphia, PA 19106-1596
(215) 597-7670

Georgia, Florida
Atlanta
Richard B. Russell Federal Building, Suite 956
75 Spring Street, S.W.
Atlanta, GA 30303-3109
(404) 331-4588

Virginia
Norfolk
Federal Building, Room 500
200 Granby Street
Norfolk, VA 23510-1886
(804) 441-3373

North Carolina, South Carolina
Raleigh
4407 Bland Road, Suite 200, Somerset Park
Raleigh, NC 27609-6296
(919) 790-2817

Michigan, Indiana, Kentucky, Northern Ohio
Detroit
477 Michigan Avenue, Room 594
Detroit, MI 48226-2574
(313) 226-2095

Texas, Arkansas, Louisiana, Oklahoma, Nevada
San Antonio
8610 North Broadway, Room 305
San Antonio, TX 78217-0001
(210) 805-2423

Illinois
Chicago
John C. Kluzynski Federal Building-DPN 30-3
230 South Dearborn Street
Chicago, IL 60604-1687
(312) 353-6234

Hawaii, Alaska, Pacific Overseas
Honolulu (and Island of Oahu)
Federal Building
P.O. Box 50028
300 Ala Moana Boulevard
Honolulu, HI 96850-0001
(808) 541-2795

U.S. COMMISSION ON CIVIL RIGHTS

Central Regional Office

U.S. Commission on Civil Rights
Melvin L. Jenkins, Regional Director
Gateway Tower II
400 State Avenue, Suite 908
Kansas City, KS 66101
(913) 551-1400
(913) 551-1414 TDD

Eastern Regional Office

U.S. Commission on Civil Rights
Ki-Taek Chun, Regional Director
624 9th Street NW, Suite 500
Washington, DC 20425
(202) 376-7533
(202) 376-8116 TDD

Midwestern Regional Office

U.S. Commission on Civil Rights
Constance D. Davis, Regional Director
55 West Monroe Street, Suite 410
Chicago, IL 60603
(312) 353-8311
(312) 353-8362 TDD

Rocky Mountain Regional Office

U.S. Commission on Civil Rights
John F. Dulles, III
1700 Broadway, Suite 710
Denver, CO 80290
(303) 866-1040
(303) 866-1049 TDD

Southern Regional Office

U.S. Commission on Civil Rights
Bobby Doctor, Regional Director
61 Alabama Street, SW, Suite 1840T
Atlanta, GA 30303
(404) 562-7000
(404) 562-7004 TDD

Western Regional Office

U.S. Commission on Civil Rights
Philip Montez, Regional Director
3660 Wilshire Boulevard, Room 810
Los Angeles, CA 90010
(213) 894-3437
(213) 894-3435 TDD

EQUAL EMPLOYMENT OPPORTUNITY COMMISSION

Alabama

Delner Franklin-Thomas, Director
1130 22nd Street, South, Suite 200
Birmingham, AL 35205 United States
(205) 212-2089 Use the same number for FTS

Arizona

Rayford O. Irvin, Director
Norwest Tower
3300 N. Central Avenue, Suite 690
Phoenix, AZ 85012-2504 United States
(602) 640-5011 Use the same number for FTS

Arkansas

William A. Cash, Director
820 Louisiana Street, Suite 200
Little Rock, AR 72201 United States
(501) 324-6016 Use the same number for FTS

California

Kristie A. Jensen, Director
1301 Clay Street, Suite 1170-N
Oakland, CA 94612-5217 United States
(510) 637-3239 Use the same number for FTS

Michael Baldonado, Director
350 Embarcadero, Suite 500
San Francisco, CA 94105-1687 United States
(415) 625-5611 Use the same number for FTS

Marla B. Stern-Knowlton, Director
555 West Beech Street, Ste. 504
San Diego, CA 92101 United States
(619) 557-7284

Melissa Barrios, Director
2300 Tulare Street
Fresno, CA 93721 United States
(559) 487-5793 Use the same number for FTS

Dana C. Johnson, Director

Appendix IV: Agency Regional and Local Office Addresses

96 North 3rd Street, Suite 200
San Jose, CA 95112 United States
(408) 291-7352 Use the same number for FTS

Rosa M. Viramontes, Acting Director
255 E. Temple Avenue, 4th Floor
Los Angeles, CA 90012 United States
(213) 894-1112 Use the same number for FTS

Colorado

Nancy Sienko, Director
303 East 17th Avenue, Suite 510
Denver, CO 80203-9634 United States
(303) 866-1369 Use the same number for FTS

District of Columbia

Mindy weinstein, Acting Director
131 M Street, N.E.
Washington, DC 20507 United States
(202) 419-0770 Use the same number for FTS

Florida

Georgia M. Marchbanks, Director
501 East Polk Street, Room 1000
Tampa, FL 33602 United States
(813) 228-2310 Use the same number for FTS

Malcolm S. Medley, Director
100 2nd Street, Ste. 1500
Miami, FL 33131 United States
(305) 808-1740 Use the same number for FTS

Georgia

Vacant, Director
410 Mall Boulevard, Suite G
Savannah, GA 31406-4821 United States
(912) 652-4234 Use the same number for FTS

Bernice Williams-Kimbrough, Director
100 Alabama Street, Suite 4R30
Atlanta, GA 30303 United States
(404) 562-6930 Use the same number for FTS

Hawaii

Timothy A. Riera, Director
300 Ala Moana Boulevard, Room 7-127
P.O. Box 50082
Honolulu, HI 96850-0051 United States
(808) 541-3722 Use the same number for FTS

Illinois

John P. Rowe, Director
500 West Madison Street, Suite 2000
Chicago, IL 60661 United States
(312) 869-8000 Use the same number for FTS

Indiana

Webster N. Smith, Director
Federal Building
101 West Ohio Street, Suite 1900
Indianapolis, IN 46204-4203 United States
(317) 226-7210 Use the same number for FTS

Kansas

Arlene N. DeGuire, Acting Director
Gateway Tower II
400 State Avenue, Suite 905
Kansas City, KS 66101 United States

(913) 551-5655 Use the same number for FTS

Kentucky

Melanie L. Breen, Acting Director
U.S. Post Office and Courthouse
600 Martin Luther King Jr. Place, Room 268
Louisville, KY 40202 United States
(502) 582-6744 Use the same number for FTS

Las Vegas

Amy N. Burkholder, Director
333 Las Vegas Blvd., South, Ste. 8112
Las Vegas, NV 89101 United States
(702) 388-5099

Louisiana

Keith T. Hill, Director
1555 Poydras Street, Ste. 1900
New Orleans, LA 70112 United States
(504) 595-2835 Use the same number for FTS

Maryland

Rosemarie Rhodes, Director
City Crescent Building
10 South Howard Street, 3rd Floor
Baltimore, MD 21201 United States
(410) 962-5634 use the same number for FTS

Massachusetts

Feng K. An, Acting Director
John F. Kennedy Federal Building Governement
Center
4th Floor
1 Congress Street, Room 475
Boston, MA 02203-0506 United States
(617) 565-3191 Use the same number for FTS

Michigan

Gail Cober, Director
McNamara Federal Building
477 Michigan Avenue, Suite 865
Detroit, MI 48226-9704 United States
(313) 226-3347 Use the same number for FTS

Minnesota

Julie Schmid, Acting Director
330 South Second Avenue, Suite 720
Minneapolis, MN 55401-2224 United States
(612) 335-4054 Use the same number for FTS

Mississippi

Wilma Scott, Director
Dr. A. H. McCoy Federal Building
100 W. Capitol Street, Suite 207
Jackson, MS 39269 United States
(601) 948-8400 Use the same number for FTS

Missouri

James R. Neely, Director
Robert A. Young Building
1222 Spruce Street, Room 8100
St. Louis, MO 63103 United States
(314) 539-7833 Use the same number for FTS

Mobile

Erika E. La'Cour, Director

63 South Royal Street, Ste. 504
Mobile, AL 36602 United States
(251) 690-2585

New Jersey

Amparo Soto, Acting Director
One Newark Center, 21st Floor
Newark, NJ 07102-5233 United States
(973) 645-5978 Use the same number for FTS

New Mexico

Derrick Newton, Director
505 Marquette Avenue, N.W., Suite 900
Albuquerque, NM 87102-2189 United States
(505) 248-5201 Use the same number for FTS

New York

John E. Thompson, Director
6 Fountain Plaza, Suite 350
Buffalo, NY 14202 United States
(716) 551-5287 Use the same number for FTS

Kevin J. Berry, Director
33 Whitehall Street, 5th Floor
New York, NY 10004-2112 United States
(212) 336-3660 Use the same number for FTS

North Carolina

Jose Rosenberg, Director
2303 West Meadowview Road, Suite 201
Greensboro, NC 27407 United States
(336) 547-4080 Use the same number for FTS

Rueben Daniels, Director
129 West Trade Street, Suite 400
Charlotte, NC 28202 United States
(704) 344-6744 Use the same number for FTS

Thomas Colclough, Director
434 Fayetteville Street, Ste. 700
Raleigh, NC 27601-1701 United States
(919) 856-4085 Use the same number for FTS

Ohio

Wilma L. Javey, Director
550 Main Street, Suite 10-019
Cincinnati, OH 45202-5202 United States
(513) 684-2379 Use the same number for FTS

Cynthis K. Stankiewicz, Director
Anthony J Celebrezze Federal Bldg.
1240 E. 9th Street, Ste. 3001
Cleveland, OH 44199 United States
(216) 522-4784 Use the same number for FTS

Oklahoma

Holly J. Cole, Director
215 Dean A. McGee Avenue, Suite 524
Oklahoma City, OK 73102-2265 United States
(405) 231-4356 Use the same number for FTS

Pennsylvania

Roosevelt L. Bryant, Acting Director
William S. Moorhead Federal Building
1000 Liberty Avenue, Suite 1112
Pittsburgh, PA 15222-4187 United States
(412) 395-5902 Use the same number for FTS

Spencer H. Lewis, Director

Appendix IV: Agency Regional and Local Office Addresses

801 Market Street, 13th Floor
Philadelphia, PA 19103 United States
(215) 440-2620 Use the same number for FTS

Puerto Rico

William Sanchez, Director
Plaza Las Americas
525 F.D. Rooseveltdt Avenue, Suite 1202
San Juan 00918-8001 United States
(787) 771-1464 Use the same number for FTS

South Carolina

Patricia Bynum-Fuller, Director
301 N. Main Street, Suite 1402
Greenville, SC 29601 United States
(864) 241-4410 Use the same number for FTS

Tennessee

Sarah Smith, Director
220 Anthens Way, Suite 350
Nashville, TN 37228 United States
(615) 736-7481 Use the same number for FTS

Katharine Kores, Director
1407 Union Avenue, 9th Floor Suite 621
Memphis, TN 38104 United States
(901) 544-0091 Use the same number for FTS

Texas

Pedro Esquivel, Director
Travis G. Hicks, Director
5410 Fredericksburg Road, Suite 200
San Antonio, TX 78229-3555 United States
(210) 281-7642 Use the same number for FTS

Roy Ruff, Director
Total Plaza
1201 Louisiana Street, Ste. 600
Houston, TX 77002 United States
(713) 209-3379 Use the same number for FTS

Janet V. Elizondo, Director
207 South Houston Street, 3rd Floor
Dallas, TX 75202-4726 United States
(214) 655-3300 Use the same number for FTS

Carolyn G. Cobb, Director
300 East Main Street, Suite 500
El Paso, TX 79901 United States
(915) 534-4504 Use the same number for FTS

Virginia

Herbert Brown, Director
Federal Building
200 Granby Street, Suite 739
Norfolk, VA 23510 United States
(757) 441-3470 Use the same number for FTS

Darrell e. Graham Director
400 North 8th Street, Ste 350
Richmond, VA 23219 United States
(804) 771-2164 Use the same number for FTS

Washington

Vacant, Director
Federal Office Building
909 First Avenue, Suite 400
Seattle, WA 98104-1061 United States

(206) 220-6870 Use the same number for FTS

Wisconsin

Rosemary J. Fox, Director
Henry S. Reuss Federal Plaza
310 West Wisconsin Avenue, Suite 800
Milwaukee, WI 53203-2292 United States
(414) 297-4131 Use the same number for FTS

FEDERAL COMMUNICATIONS COMMISSION

Beverly Baker, Chief

Field Operations Bureau
Federal Communications Commission
1919 M Street, N.W., Room 734
Washington, DC 20554-0001
(202) 418-1100
Use the same number for FTS

P.O. Box 1588

Gary P. Soulsby, EIC
Federal Communications Commission
11410 NE 122nd Way, Suite 312
Kirkland, WA 98034-6927
(206) 821-9037

Oliver K. Long, EIC
Federal Communications Commission
P.O. Box 632
Kingsville, TX 78363-0632
(512) 592-2531
James H. Berrie, Jr., EIC
Federal Communications Commission
Grand Islands, NE 68802-1588
(308) 382-4296 (recorded information at nights)

William C. Berry
Federal Communications Commission
U.S. Federal Building, Room 747
San Juan Field Office
747 Federal Building
Hato Rey, PR 00918-1731
(809) 766-5567

Watch Officer

Signal Analysis Branch
1919 M Street, N.W., Room 749
Enforcement Division
Washington, DC 20554
(202) 418-1180
Use the same number for FTS

William L. Kilpatrick, Chief

Equipment Construction and Installation Branch
Federal Communications Commission
3600 Hiram-Lithia Spring Road, S.W.
P.O. Box 65
Powder Springs, GA 30073
(404) 943-6425

Russell D. Monie
Federal Communications Commission
Park Ridge Office Center, Room 306
1550 Northwest Highway
Park Ridge, IL 60068-1460

(708) 298-5405
Use the same number for FTS

David Viglione, EIC
Federal Communications Commission
1307 Federal Building
111 West Huron Street
Buffalo, NY 14202-2398
(716) 551-4511 (recorded information)

Vacant
Federal Communications Commission
P.O. Box 89
Allegan, MI 49010-9437
(616) 673-2063

George M. Moffitt, EIC
Federal Communications Commission
Park Ridge Office Center, Room 306
1550 Northwest Highway
Park Ridge, IL 60068-1460
(708) 298-5401/02
Use the same number for FTS

Charles W. Craig, EIC
Federal Communications Commission
1782 Federal Building
1220 S.W. Third Avenue
Portland, OR 97204-2898
(503) 326-4114/5

Vincent Kajunski, EIC
Federal Communications Commission
1 Battery March Park
Quincy, MA 02169-7495
(617) 770-4023

James A. Bridgewater
Federal Communications Commission
24897 Hathaway Street
Farmington Hills, MI 48335-1552
(810) 471-5605
Use the same number for FTS
(810) 471-0052 (for r

Jack Shedletsky, EIC
Federal Communications Commission
P.O. Box 1030
Waipahu, HI 96797-1030
(808) 677-3318

James R. Zoulek, EIC
Federal Communications Commission
18000 Studebaker Road, Room 660
Cerritos, CA 90701-3684
(310) 809-2096
(310) 865-0598

Serge Marti-Volkoff
Federal Communications Commission
3777 Depot Road, Room 420
Hayward, CA 94545-2756
(510) 732-9046

Dennis P. Carlton
Federal Communications Commission
Brywood Office Tower, Room 320
8800 East 63rd Street
Kansas City, MO 64133-4895
(816) 353-9035

Appendix IV: Agency Regional and Local Office Addresses

Use the same number for FTS

Donald E. Taylor, EIC
Federal Communications Commission
P.O. Box 85
Powder Springs, GA 30073-0085
(770) 943-5420
(770) 242-0165

James C. Hawkins
Federal Communications Commission
800 West Commerce Road, Room 505
New Orleans, LA 70123-3333
(504) 589-2095

Marlene S. Windel
Federal Communications Commission
6721 Raspberry Road
Anchorage, AK 99502-1896
(907) 243-2153

Ralph M. Barlow, EIC
Federal Communications Commission
2203 North Lois Avenue, Room 1215
Tampa, FL 33607-2356
(813) 348-1502

Albert S. Jarratt, Jr., EIC
Federal Communications Commission
2025 Sloan Place, Suite 31
Maplewood, MN 55117-2058
(612) 774-5175

Leo Cirbo, EIC
Federal Communications Commission
165 South Union Boulevard, Suite 860
Lakewood, CO 80228-2213
(303) 969-6497
(303) 776-8026

Jack Shedletsy, EIC
Federal Communications Commission
P.O. Box 1030
Waipahu, HI 96797-1030
(808) 677-3318

Use the same number for FTS

Barry A Bohac, EIC
Federal Communications Commission
P.O. Box 470
Belfast, ME 04915-0470
(207) 338-4088

Fred L. Broce, EIC
Federal Communications Commission
Koger Center-Gwinnett
3575 Koger Boulevard, Room 320
Duluth, GA 30136-4958
(404) 279-4621

James A. Dailey, EIC
Federal Communications Commission
Brywood Office Tower, Room 320
8800 East 63rd Street
Kansas City, MO 64133-4895
(816) 353-3773

Use the same number for FTS

William H. Grigsby, EIC
Federal Communications Commission
Interstate Office Park

4542 Ruffner Street, Room 370
San Diego, CA 92111-2216
(619) 467-0549

Alexander J. Zimny, EIC
Federal Communications Commission
201 Varick Street
New York, NY 10014-4870
(212) 620-3437/8, 3435 (recorded information)
(212) 660-3437, 34

Phil Kane, EIC
Federal Communications Commission
3777 Depot Road, Room 420
Hayward, CA 94545-2725
(510) 732-9046
Use the same number for FTS

John L. Theimer, EIC
Federal Communications Commission
Rochester Building, Room 310
8390 N.W. 53rd Street
Miami, FL 33166-4668
(305) 526-7420

John Hudak, EIC
Federal Communications Commission
P.O. Box 250
Columbia, MD 21045-9998
(301) 725-3474

FEDERAL MEDIATION AND CONCILIATION SERVICE

Eastern

Cleveland, Ohio Regional Office
Mr. Robert Ditillo,
Director of Mediation Services,
6161 Oak Tree Boulevard,
Independence, OH 44131 United States
(216) 520-4811 Fax: (216) 520-4819

Eastern Region

Pittsburgh, Pennsylvania Field Office
Mr. John W. Pinto, Director of Mediation
Services, One Oxford Centre, Suite 2570, 301
Grant Street,
Pittsburgh, PA 15219-1408 United States
(412) 235-7440 Fax: (412) 644-4149

Cleveland, Ohio Field Office
Mr. John Buettner, Director of Field Operations,
6161 Oak Tree Boulevard, Suite 120,
Independence, OH 44131 United States
(216) 520-4805 Fax: (216) 520-4815

Chicago, Illinois Field Office
Mr. Thomas Summers, Director of Mediation
Services, Elm Plaza, Suite 203, 908 North Elm
Street
Hinsdale, IL 60521 United States
(630) 887-4750 Fax: (630) 887-7183

Orlando, Florida Field Office
Mr. Sergio Delgado, Director of Mediation
Service, 3452 Lake Lynda Drive, Suite 122,
Orlando, FL 32817-1472 United States
(407) 382-6598 Fax: (407) 382-3727

Philadelphia, Pennsylvania Field Office
Mr. Scott Blake, Director of Mediation Services,
William J. Green, Jr. Federal Building, Room
3456, 600 Arch Street,
Philadelphia, PA 19106 United States
(215) 717-7500 Fax: (215) 717-7508

Woodbridge, New Jersey Regional Office
Mr. John Sweeney, Director of Mediation
Services, Woodbridge Place Building, Suite 3020,
517 US Highway 1 South,
Iselin, NJ 08830 United States
(732) 726-3121 Fax: (732) 726-3124

Western Region

Los Angeles, California Field Office
Ms. Linda Gonzalez, Director of Mediation
Services, Glendale Financial Square, Suite 410,
225 West Broadway,
Los Angeles, CA 91204 United States
(818) 409-1324 Fax: (818) 409-1321

Seattle, Washington Field Office
Ms. Beth Schindler, Director of Mediation
Services, Westin Building, Suite 2500, 2001 Sixth
Avenue,
Seattle, WA 98121 United States
(206) 553-5800 Fax: (206) 553-6653

St. Louis, Missouri Field Office
Mr. Eugene Bralley, Jr., Director of Mediation
Services, 12140 Woodcrest Executive Drive, Suite
325,
St. Louis, MO 63141-5013 United States
(816) 426-2032 Fax: (816) 426-2033

Minneapolis, Minnesota Field Office
Mr. Glenn Tarkowski, Director of Mediation
Services,
Broadway Place West, Suite 3950
1300 Godward Street
Minneapolis, MN 55413 United States
(612) 331-6667 Fax: (612) 331-5272

GENERAL SERVICES ADMINISTRATION

Central Office

General Services Administration
2200 Crystal Drive, Crystal Plaza 4, Room 706
Arlington, VA 22202 United States
(703) 605-5610 (703) 605-9833 FAX

Great Lakes Region

(Illinois, Indiana, Michigan, Minnesota, Ohio, and Wisconsin)
230 South Dearborn Street
MS 34-6, Room 3430
Chicago, IL 60604-1696
(312) 886-8996

Greater Southwest Region

(Arkansas, Louisiana, New Mexico, Oklahoma, and Texas)
819 Taylor Street, Room 7A07
Fort Worth, TX 76102-6105
(817) 978-3794

Appendix IV: Agency Regional and Local Office Addresses

Heartland Region

(Iowa, Kansas, Missouri, and Nebraska)
1500 East Bannister Road, Room 1102
Kansas City, MO 64131
(816) 823-3700

Mid Atlantic (National Capital)

(National Capital Region - DC and Metro MD, VA)
490 L'Enfant Plaza East SW, Suite 8214
Washington, DC 20407 United States
(202) 619-8986 (202) 619-8985 FAX

Mid Atlantic Region (National Capital)

(District of Columbia and the DC, Metropolitan area: In Maryland, Montgomery and Prince George's Counties. In Virginia: Arlington, Fairfax, Loudon, and Prince Williams)

7th & Dst. SW
Washington, DC 20407 United States
(202) 260-6438

Mid-Atlantic Region

(Delaware, Maryland, Pennsylvania, Virginia and West Virginia (except those areas in the National Capital Area)
Strawbridge's Building
20 North 8th St., 10th Floor
Philadelphia, PA 19107-3191
(215) 446-5065

National Capital Region (NCR)

(District of Columbia and the DC, Metropolitan area: In Maryland, Montgomery and Prince George's Counties. In Virginia: Arlington, Fairfax, Loudon, and Prince Williams Counties and the cities of Alexandria, Fairfax, and Falls Church)
Business Service Center (WCAB)
7th & D Streets, SW, Room 1050
Washington, DC 20407
(202) 708-5804
(202) 205-2872 FAX

New England Region

(Connecticut, Maine, Massachusetts, New Hampshire, Rhode Island, and Vermont)
Thomas P. O'Neill, Jr. Federal Building
10 Causeway Street, 3rd Floor, Room 347
Boston, MA 02222
(617) 565-7319

Northeast and Caribbean Region

(New Jersey, New York, Puerto Rico, and U.S. Virgin Islands)
26 Federal Plaza, Room 20-112
New York, NY 10278
(212) 264-3300

Northwest/Arctic Region

(Alaska, Idaho, Oregon, and Washington)
400 15th Street, S.W.
Auburn, WA 98001-6599
(253) 931-7934

Pacific Rim Region

(American Samoa, Arizona, California, Commonwealth of the Northern Mariana Islands, Guam, Hawaii, and Nevada)
450 Golden Gate Avenue
San Francisco, CA 94102-3434
(415) 522-3029

Region 1

(New England Region- Connecticut, Maine, Massachusetts, New Hampshire, Rhode Island, Vermont)
O'Neill Federal Building, 10 Causeway Street, Room 1085
Boston, MA 02222-1047 United States
(617) 565-6573 (617) 565-5767 FAX

Region 10

(Northwest Arctic Region - Alaska, Idaho, Oregon, Washington)
400 15th Street SW
Auburn, WA 98001-6599 United States
(253) 931-7021(253) 931-7824 FAX

Region 2

(Northeast and Caribbean Region - New Jersey, New York, Puerto Rico, U.S. Virgin Islands)
26 Federal Plaza, Room 20-112
New York, NY 10278 United States
(212) 264-2626 (212) 264-9806 FAX

Region 3

(Mid-Atlantic Region - Delaware, Maryland and Virginia (except District of Columbia Metropolitan area), Pennsylvania, West Virginia)
The Strawbridge Building, 20 North Eighth Street, 10th Floor
Philadelphia, PA 19107 United States
(215) 446-5060 (215) 829-2760 FAX

Region 4

(Southeast Sunbelt Region - Alabama, Florida, Georgia, Kentucky, Mississippi, North Carolina, South Carolina, Tennessee)
401 West Peachtree Street, Suite 2600
Atlanta, GA 30308 United States
(404) 332-3323(404) 331-1877 FAX

Region 5

(Great Lakes Region - Illinois, Indiana, Michigan, Minnesota, Ohio, Wisconsin)
230 South Dearborn Street, MS 34-6, Room 3430
Chicago, IL 60604-1696 United States
(312) 886-8194(312) 886-8901 FAX

Region 6

(Heartland Region - Iowa, Kansas, Missouri, Nebraska)
1500 East Bannister Road, Building 6, 1st Floor
Kansas City, MO 64131 United States
(816) 823-3719 (816) 823-3711 FAX

Region 7

(Greater Southwest Region - Arkansas, Louisiana, New Mexico, Oklahoma, Texas)
819 Taylor Street
Room 13A05
Fort Worth, TX 76102 United States
(817) 850-8353 (817) 574-2366 FAX

Region 8

(Rocky Mountain Region - Colorado, Montana, North Dakota, South Dakota, Utah, Wyoming)
Business Service Center (8AB)
Denver Federal Center
Building 41, Room 240
P.O. Box 25006
Denver, CO 80225
(303) 236-7408
(303) 236-7403 FAX

(Rocky Mountain Region - Colorado, Montana, North Dakota, South Dakota, Utah, Wyoming)
Denver Federal Center Building 41
P.O. Box 25506
Denver, CO 80225-0506 United States
(303) 236-7700 (303) 236-7544 FAX

Region 9

(Pacific Rim Region - Arizona, California, Nevada, Hawaii, Guam, American Samoa, Northern Mariana Islands)
450 Golden Gate Avenue
San Francisco, CA 94102-3434 United States
(415) 522-3030 (415) 522-3033 FAX

Region I

(Illinois, Indiana, Michigan, Wisconsin, Ohio, Minnesota, Maine, Vermont, New Hampshire, Rhode Island, Massachusetts, Connecticut, New York, New Jersey, Puerto Rico, and the Virgin Islands)
10 Causeway Street
Boston, MA 02222
(617) 565-5700

Region IV

(Maryland, Delaware, Virginia, Pennsylvania, West Virginia, North Carolina, Mississippi, South Carolina, Tennessee, Georgia, Alabama, Florida, Kentucky and the District of Columbia)
401 W. Peachtree Street
Atlanta, GA 30365-2550
(404) 331-5133

Region IX

(California, Nevada, Arizona, Hawaii, Guam, American Samoa, the Trust Territory of the Pacific Islands, Alaska, Idaho, Oregon, and Washington)
450 Golden Gate Avenue, 4th Floor East
San Francisco, CA 94102-3429
(415) 522-3429

Region VII

(Kansas, Missouri, Iowa, Nebraska, Arkansas, Louisiana, New Mexico, Oklahoma, Texas, Colorado, North Dakota, South Dakota, Montana, and Utah)
819 Taylor Street
Fort Worth, TX 76102
(817) 978-2331

Southeast Sunbelt Region

(Alabama, Florida, Georgia, Kentucky, Mississippi, North Carolina, South Carolina, and

Appendix IV: Agency Regional and Local Office Addresses

Tennessee)
401 West Peachtree Street, Room 2600
Atlanta, GA 30365
(404) 331-0040

Satellite Office
General Services Administration
Small Business Center (9ADB-L)

NATIONAL LABOR RELATIONS BOARD

Alabama

Information Officer
Ridge Park Place
1130 South Street, Suite 3400
Birmingham, AL 35205-2870 United States
(205) 933-3018 Use the same number for FTS

Alaska

Information Officer
Anchorage Federal Office Building
605 West 4th Avenue, Suite 210
Anchorage, AK 99501-3170 United States
(907) 271-5015 Use the same number for FTS

Arizona

Information Officer
2600 North Central Avenue, Suite 1800
Phoenix, AZ 85004-3099 United States
(602) 640-2160 Use the same number for FTS

Arkansas

Information Officer
Metropolitan Bank Building
425 West Capitol Avenue, Suite 1615
Little Rock, AR 72201-3489 United States
(501) 324-6311 Use the same number for FTS

California

Information Officer
Ronald V. Dellums Federal Building and
Courthouse
1301 Clay Street, Suite 300N
Oakland, CA 94612-5224 United States
(510) 637-3300 Use the same number for FTS

Information Officer
901 Market Street, Suite 400
San Francisco, CA 94103-1735 United States
(415) 356-5130 Use the same number for FTS

Information Officer
888 Figueroa Street, 9th Floor
Los Angeles, CA 90017-5499 United States
(213) 894-5200 Use the same number for FTS

Information Officer
555 West Beech Street, Suite 418
San Diego, CA 92101-2939 United States
(619) 557-6184 Use the same number for FTS

Information Officer
11150 W. Olympic Boulevard, Suite 700
Los Angeles, CA 90064-1824 United States
(310) 235-7351 Use the same number for FTS

Colorado

Information Officer
600 17th Street, 7th Floor, North Tower
Denver, CO 80202-5433
(303) 844-3551
Use the same number for FTS

Connecticut

Information Officer
A.A. Ribicoff Federal Building
450 Main Street
Suite 410
Hartford, CT 06103-9972 United States
(860) 240-3522 Use the same number for FTS

District of Columbia

Information Officer
1099 14th Street, N.W., Suite 5530
Washington, DC 20570-0001
(202) 208-3000
Use the same number for FTS

Florida

Information Officer
550 Water Street, Room 340
Jacksonville, FL 32202-5177 United States
(904) 232-3768 Use the same number for FTS

Information Officer
201 E. Kennedy Boulevard, Suite 530
Tampa, FL 33602-5824 United States
(813) 228-2641 Use the same number for FTS

Information Officer
Federal Building
51 Southwest 1st Avenue, Room 1320
Miami, FL 33130-1623 United States
(305) 536-5391 Use the same number for FTS

Georgia

Information Officer
233 Peachtree Street, N.E., Suite 1000
Atlanta, GA 30303-1531 United States
(404) 331-2896 Use the same number for FTS

Hawaii

Information Officer
300 Ala Moana Boulevard - Room 7-245
Honolulu, HI 96850-4980 United States
(808) 541-2814 Use the same number for FTS

Illinois

Information Officer
300 Hamilton Boulevard, Suite 200
Peoria, IL 61602-1246 United States
(309) 671-7080 Use the same number for FTS

Information Officer
The Rookery Building
209 South LaSalle Street, Suite 900
Chicago, IL 60604-1219 United States
(312) 353-7570 Use the same number for FTS

Indiana

Information Officer
575 North Pennsylvania Street, Room 238
Indianapolis, IN 46204-1577 United States
(317) 226-7381 Use the same number for FTS

Iowa

Information Officer
210 Walnut Street, Suite 439
Des Moines, IA 50309-2103
(515) 284-4391 Use the same number for FTS

Kansas

Information Officer
8600 Farley Street, Suite 100
Overland Park, KS 66212-4677
(913) 967-3000
Use the same number for FTS

Louisiana

Information Officer
600 South Maestri Place - 7th Floor
New Orleans, LA 70130-3408 United States
(504) 589-6361 Use the same number for FTS

Maryland

Information Officer
Bank of America Center, Tower II
100 South Charles Street
Baltimore, MD 2120-2700 United States
(410) 962-2822 Use the same number for FTS

Massachusetts

Information Officer
10 Causeway Street, 6th Floor
Boston, MA 02222-1072
(617) 565-6700
Use the same number for FTS

Michigan

Information Officer
477 Michigan Avenue, Room 300
Detroit, MI 48226-2569
(313) 226-3200
Use the same number for FTS

Information Officer
Gerald R. Ford Federal Building
110 Michigan Street NW
Room 299
Grand Rapids, MI 49503-2363 United States
(616) 456-2679 Use the same number for FTS

Minnesota

Information Officer
330 South Second Avenue, Suite 790
Minneapolis, MN 55401-2221
(612) 348-1757 Use the same number for FTS

Missouri

Information Officer
1222 Spruce Street, Room 8.302
St. Louis, MO 63103-2829 United States
(314) 539-7770 Use the same number for FTS

Nevada

Information Officer
600 Las Vegas Boulevard South, Suite 400
Las Vegas, NV 89101-6637
(702) 388-6416
Use the same number for FTS

Appendix IV: Agency Regional and Local Office Addresses

New Jersey

Information Officer
20 Washington Place-5th Floor
Newark, NJ 07102-3110
(973) 645-2100 Use the same number for FTS

New Mexico

Information Officer
421 Gold Avenue SW, Suite 310
Albuquerque, NM 87102 United States
(505) 248-5125 Use the same number for FTS

New York

Information Officer
Clinton Avenue at N. Pearl Street, Room 342
Albany, NY 12207-2350
(518) 431-4156
Use the same number for FTS

Information Officer
26 Federal Plaza, Room 3614
New York, NY 10278-0104 United States
(212) 264-0300 Use the same number for FTS

Information Officer
Niagra Center Building
130 South Elmwood Avenue, Suite 630
Buffalo, NY 14202-2465 United States
(716) 551-4931 Use the same number for FTS

Information Officer
Two Metro Tech Center
Suite 5100
Brooklyn, NY 11201-3838 United States
(718) 330-7713 Use the same number for FTS

North Carolina

Information Officer
4035 University Parkway, Suite 200
Winston-Salem, NC 27106-3325 United States
(336) 631-5201 Use the same number for FTS

Ohio

Information Officer
550 Main Street, Room 3003
Cincinnati, OH 45202-3271
(513) 684-3686
Use the same number for FTS

Information Officer
1240 East 9th Street, Room 1695
Cleveland, OH 44199-2086 United States
(216) 522-3715 Use the same number for FTS

Oklahoma

Information Officer
224 South Boulder Avenue, Room 318
Tulsa, OK 74103-3027 United States
(918) 581-7951 Use the same number for FTS

Oregon

Information Officer
601 S.W. Second Avenue, Suite 1910
Portland, OR 97204-3170 United States
(503) 326-3085 Use the same number for FTS

Pennsylvania

Information Officer

615 Chestnut Street, 7th Floor
Philadelphia, PA 19016-4404
(215) 597-7601
Use the same number for FTS

Information Officer
William S. Moorehead Federal Building
1000 Liberty Avenue, Room 904
Pittsburgh, PA 15222-4111 United States
(412) 395-4400 Use the same number for FTS

Puerto Rico

Information Officer
525 F.D. Roosevelt Avenue, Suite 1002
San Juan, PR 00918-1002 United States
(787) 766-5347 Use the same number for FTS

Tennessee

Information Officer
810 Broadway, Suite 302
Nashville, TN 37203-3859 United States
(615) 736-5921 Use the same number for FTS

Information Officer
The Brinkley Plaza Building
80 Monroe Avenue
Memphis, TN 38103-2416 United States
(901) 544-0018 Use the same number for FTS

Texas

Information Officer
Garacia Federal Building
615 East Houston Street, Suite 401
San Antonio, TX 78205-2039 United States
(210) 472-6140 Use the same number for FTS

Information Officer
Mickey Leland Federal Building
1919 Smith Street, Suite 1545
Houston, TX 77002-2649 United States
(713) 209-4888 Use the same number for FTS

Information Officer
P.O. Box 971187
El Paso, TX 79997-1187 United States
(915) 592-6571 Use the same number for FTS

Information Officer
819 Taylor Street, Room 8A24
Fort Worth, TX 76102-6178 United States
(817) 978-2921 Use the same number for FTS

Washington

Information Officer
915 2nd Avenue, Room 2948
Seattle, WA 98174-1078
(206) 220-6300
Use the same number for FTS

Wisconsin

Information Officer
310 West Wisconsin Avenue, Suite 700W
Milwaukee, WI 53203-2211 United States
(414) 297-3861 Use the same number for FTS

SMALL BUSINESS ADMINISTRATION

Disaster Area 1 Office (Covers Regions I and II)

360 Rainbow Boulevard S., 3rd Floor
Niagara Falls, NY 14303-1192
(716) 282-4612
Use the same number for FTS

Disaster Area 2 Office (Covers Regions III, IV and V)

One Baltimore Place, Suite 300
Atlanta, GA 30308
(404) 347-3771
Use the same number for FTS

Disaster Area 3 Office (Covers Regions VI and VII)

4400 Amon Carter Boulevard, Suite 102
Ft. Worth, TX 76155
(817) 885-7600
Use the same number for FTS

Disaster Area 4 Office (Covers Regions VIII, IX and X)

1825 Bell Street, Suite 208
Sacramento, CA 95825
(916) 566-7240
Use the same number for FTS

Region I

New Hampshire District Office
143 North Main Street, Suite 202
Concord, NH 03301-1257
(603) 225-1400
Use the same number for FTS

Connecticut District Office
Federal Building
330 Main Street, 2nd Floor
Hartford, CT 06106
(203) 240-4700
Use the same number for FTS

Maine District Office
Federal Building
40 Western Avenue, Room 512
Augusta, ME 04330
(207) 622-8378
Use the same number for FTS

Springfield Branch Office
1441 Main Street, Suite 410
Springfield, MA 01103
(413) 785-0268

(Connecticut, Maine, Massachusetts, New Hampshire, Rhode Island, Vermont)
10 Causeway Street, Suite 812
Boston, MA 02222
(617) 565-8415
Use the same number for FTS

Massachusetts District Office
10 Causeway Street, Room 265
Boston, MA 02222-1093
(617) 565-5590
Use the same number for FTS

Vermont District Office
Federal Building
87 State Street, Room 205

Appendix IV: Agency Regional and Local Office Addresses

Montpelier, VT 05602
(802) 828-4422

Use the same number for FTS

Rhode Island District Office
380 Westminster Mall, 5th Floor
Providence, RI 02903
(401) 528-4561

Use the same number for FTS

Region II

New Jersey District Office
Two Gateway Center, 15th Floor
Newark, NJ 07102
(973) 645-2434

Use the same number for FTS

Puerto Rico and USVI District Office
Federico Degetau Federal Building
252 Ponce DeLeon Boulevard, Suite 201
San Juan, PR 00918
(787) 766-5272

Use the same number for FTS

Melville Branch Office
35 Pinelawn Road, Suite 207W
Melville, NY 11747
(516) 454-0750

Rochester Branch Office
100 State Street, Suite 410
Rochester, NY 14614
(716) 263-6700

New York District Office
26 Federal Plaza, Room 31-00
New York, NY 10278
(212) 264-2454

Use the same number for FTS

Buffalo District Office
Federal Building
111 West Huron Street, Room 1311
Buffalo, NY 14202
(716) 551-4301

Use the same number for FTS

(New Jersey, New York, Puerto Rico, Virgin Islands)
26 Federal Plaza, Suite 3108
New York, NY 10278
(212) 264-1450

Use the same number for FTS

Elmira Branch Office
333 E. Water Street, 4th Floor
Elmira, NY 14901
(607) 734-8130

Syracuse District Office
401 South Salina Street, 5th Floor
Syracuse, NY 13202
(315) 471-9393

Use the same number for FTS

Region III

West Virginia District Office
West Pike Street, Suite 330
Clarksburg, WV 26301
(304) 623-5631

Use the same number for FTS

Wilkes-Barre Branch Office
7 North Wilkes-Barre Boulevard
Wilkes-Barre, PA 18702
(570) 826-6497

Harrisburgh Branch Office
100 Chestnut Street, Room 108
Harrisburg, PA 17101
(717) 782-3840

(Delaware, District of Columbia, Maryland, Pennsylvania, Virginia, West Virginia)
900 Market Street, 5th Floor
Philadelphia, PA 19107
(215) 580-2SBA

Use the same number for FTS

Philadelphia District Office
900 Market Street, 5th Floor
Philadelphia, PA 19406
(215) 580-2SBA

Use the same number for FTS

Delaware District Office
824 N. Market Street, Suite 610
Wilmington, DE 19801
(302) 573-6294

Washington District Office
1110 Vermont Avenue, N.W., Suite 900
Washington, DC 20005
(202) 606-4000

Use the same number for FTS

Richmond District Office
400 North 8th Street, Suite 1150
Richmond, VA 23240-0126
(804) 771-2400

Use the same number for FTS

Baltimore District Office
10 South Howard Street, Suite 6220
Baltimore, MD 21201-2565
(410) 962-4392

Use the same number for FTS

Pittsburgh District Office
1000 Liberty Avenue, Room 1128
Pittsburgh, PA 15222
(412) 395-6560

Use the same number for FTS

Region IV

Georgia District Office
1720 Peachtree Road, N.W., 6th Floor
Atlanta, GA 30309
(404) 347-4147

Use the same number for FTS

North Florida District Office
7825 Baymeadows Way, Suite 100-B
Jacksonville, FL 32256-7504
(904) 443-1900

Use the same number for FTS

South Florida District Office
100 S. Biscayne Boulevard, 7th Floor
Miami, FL 33131
(305) 536-5521

Use the same number for FTS

Gulfport Branch Office
2909 13th Street, Suite 203
Gulfport, MS 39501
(228) 863-4449

North Carolina District Office
200 North College Street, Suite A2015
Charlotte, NC 28202-2137
(704) 344-6563

Use the same number for FTS

Alabama District Office
2121 8th Avenue North, Suite 200
Birmingham, AL 35203-2398
(205) 731-1344

Use the same number for FTS

South Carolina District Office
1835 Assembly Street, Room 358
Columbia, SC 29201
(803) 765-5377

Use the same number for FTS

Kentucky District Office
Federal Building
600 Martin Luther King, Jr. Place, Room 188
Louisville, KY 40202
(502) 582-5971

Use the same number for FTS

(Alabama, Florida, Georgia, Kentucky, Mississippi, North Carolina, South Carolina, Tennessee)
1720 Peachtree Road, N.W., Suite 496
Atlanta, GA 30309-2482

Use the same number for FTS

(404) 347-4999

Use the same number for FTS

Tennessee District Office
50 Vantage Way, Suite 201
Nashville, TN 37228-1500
(615) 736-5881

Use the same number for FTS

Mississippi District Office
101 West Capitol Street, Suite 400
Jackson, MS 39201
(601) 965-4378

Use the same number for FTS

Region IX

Guama Branch Office
400 Route 8
Mongmong, GU 96927
(671) 472-7419

Use the same number for FTS

(Arizona, California, Hawaii, Nevada, Pacific Islands)
455 Market Street, Suite 2200
San Francisco, CA 94105
(415) 744-2118

Use the same number for FTS

Sacramento District Office
660 J Street, Suite 215
Sacramento, CA 95814
(916) 498-6410

Appendix IV: Agency Regional and Local Office Addresses

Use the same number for FTS

San Diego District Office
550 West "C" Street, Suite 550
San Diego, CA 92101-3540
(619) 557-7250

Use the same number for FTS

Santa Ana District Office
200 West Santa Ana Boulevard, Suite 700
Santa Ana, CA 92701
(714) 550-7420

Use the same number for FTS

San Francisco District Office
455 Market Street, 6th Floor
San Francisco, CA 94105
(415) 744-6820

Use the same number for FTS

Arizona District Office
2828 North Central Avenue, Suite 800
Phoenix, AZ 85004-1093
(602) 745-7200

Use the same number for FTS

Nevada District Office
300 Las Vegas Boulevard South, Suite 1100
Las Vegas, NV 89101
(702) 388-6611

Use the same number for FTS

Fresno District Office
2719 North Air Fresno Drive, Suite 200
Fresno, CA 93727-1547
(559) 487-5791

Use the same number for FTS

Hawaii District Office
300 Ala Moana Boulevard, Room 2-235
Honolulu, HI 96850-4981
(808) 541-2990

Use the same number for FTS

Los Angeles District Office
330 North Brand Boulevard, Suite 200
Glendale, CA 91203-2304
(818) 552-3210

Use the same number for FTS

Region V

Minnesota District Office
100 North 6th Street, Suite 610
Minneapolis, MN 55403-1563
(612) 370-2324

Use the same number for FTS

Indiana District Office
429 North Pennsylvania Street, Suite 100
Indianapolis, IN 46204-1873
(317) 226-7272

Use the same number for FTS

Columbus District Office
2 Nationwide Plaza, Suite 1400
Columbus, OH 43215-2592
(614) 469-6860

Use the same number for FTS

Illinois District Office
500 West Madison Street, Room 1250

Chicago, IL 60661-2511
(312) 353-4528

Use the same number for FTS

Cincinnati Branch Office
525 Vine Street, Suite 870
Cincinnati, OH 45202
(513) 684-2814

Wisconsin District-Milwaukee Office
310 W. Wisconsin Avenue, Suite 400
Milwaukee, WI 53202
(414) 297-3941

Marquette Branch Office
501 South Front Street
Marquette, MI 49855
(906) 225-1108

Springfield Branch Office
511 W. Capitol Avenue, Suite 302
Springfield, IL 62704
(217) 492-4416

Michigan District Office
477 Michigan Avenue, Room 515
Detroit, MI 48226
(313) 226-6075

Use the same number for FTS

Cleveland District Office
1111 Superior Avenue, Suite 630
Cleveland, OH 44114-2507
(216) 522-4180

Use the same number for FTS

Wisconsin District-Madison Office
740 Regent Street, Suite 100
Madison, WI 53715
(608) 264-5263

Use the same number for FTS

(Illinois, Indiana, Michigan, Minnesota, Ohio,
Wisconsin)
Federal Building
500 West Madison Street, Suite 1240

Chicago, IL 60661-2511
(312) 353-0357

Use the same number for FTS

Region VI

Wichita District Office
100 East English Street, Suite 510
Wichita, KS 67202
(316) 269-6616

Use the same number for FTS

Corpus Christi Branch Office
606 N. Carancahua, Suite 1200
Corpus Christi, TX 78476
(361) 888-3331

Arkansas District Office
2120 Riverfront Drive, Suite 100
Little Rock, AR 72202
(501) 324-5871

Use the same number for FTS

Springfield Branch Office
620 S. Glenstone Street, Suite 110
Springfield, MO 65802

(417) 864-7670

Region VII
Des Moines District Office
New Federal Building
210 Walnut Street, Room 749
Des Moines, IA 50309
(515) 284-4422

Use the same number for FTS

San Antonio District Office
727 East Durango, Room A-527
San Antonio, TX 78206
(210) 472-5900

Use the same number for FTS

Cedar Rapids District Office
215 4th Avenue, S.E., Suite 200
Cedar Rapids, IA 52401-1806
(319) 362-6405

Use the same number for FTS

New Mexico District Office
625 Silver Avenue, S.W., Suite 320
Albuquerque, NM 87102
(505) 346-7909

Use the same number for FTS

Houston District Office
9301 Southwest Freeway, Suite 550
Houston, TX 77074-1591
(713) 773-6500

Use the same number for FTS

Louisiana District Office
365 Canal Street, Suite 2250
New Orleans, LA 70130
(504) 589-6685

Use the same number for FTS

El Paso District Office
10737 Gateway West, Suite 320
El Paso, TX 79935
(915) 633-7001

Use the same number for FTS

(Arkansas, Louisiana, New Mexico, Oklahoma,
Texas)

4300 Amon Carter Boulevard, Suite 108
Ft. Worth, TX 76155
(817) 885-6581

Use the same number for FTS

Oklahoma District Office
210 Park Avenue, Suite 1300
Oklahoma City, OK 73102
(405) 231-5521

Use the same number for FTS

Dallas/Fort Worth District Office
4300 Amon Center Boulevard, Suite 114
Ft. Worth, TX 76155
(817) 885-6500

Use the same number for FTS

Lower Rio Grande Valley District Office
222 East Van Buren Street, Room 500
Harlingen, TX 78550
(956) 427-8533

Use the same number for FTS

Appendix IV: Agency Regional and Local Office Addresses

Nebraska District Office
11145 Mill Valley Road
Omaha, NE 68154
(402) 221-4691
Use the same number for FTS

Kansas City District Office
323 West 8th Street, Suite 501
Kansas City, MO 64105
(816) 374-6708
Use the same number for FTS

Lubbock District Office
1205 Texas Avenue
Lubbock, TX 79401-2693
(806) 472-7462
Use the same number for FTS

St. Louis District Office
815 Olive Street, Room 242
St. Louis, MO 63101
(314) 539-6600
Use the same number for FTS

Region VII

(Iowa, Kansas, Missouri, Nebraska)
323 West 8th Street, Suite 307
Kansas City, MO 64105-1500
(816) 374-6380
Use the same number for FTS

Region VIII

Montana District Office
301 South Park, Room 334
Helena, MT 59626
(406) 441-1081
Use the same number for FTS

Utah District Office
Federal Building
125 South State Street, Room 2231
Salt Lake City, UT 84138-1195
(801) 524-5804
Use the same number for FTS

(Colorado, Montana, North Dakota, South Dakota,
Utah, Wyoming)
721 19th Street, Suite 400
Denver, CO 80202-2599
(303) 844-0500
Use the same number for FTS

Wyoming District Office
Federal Building
100 East B Street, Room 4001
Casper, WY 82602-2839
(307) 261-6500
Use the same number for FTS

North Dakota District Office
Federal Building
657 2nd Avenue, North, Room 219
Fargo, ND 58108-3086
(701) 239-5131
Use the same number for FTS

South Dakota District Office
110 South Phillips Avenue, Suite 200
Sioux Falls, SD 57104-6727

(605) 330-4243
Use the same number for FTS

Coloradom District Office
721 19th Street, Room 426
Denver, CO 80202-2599
(303) 844-2607
Use the same number for FTS

Region X

Boise District Office
1020 Main Street, Suite 290
Boise, ID 83702-5745
(208) 334-1696
Use the same number for FTS

(Alaska, Idaho, Oregon, Washington)
1200 6th Avenue, Suite 1805
Seattle, WA 98101-1128
(206) 553-5676
Use the same number for FTS

Spokane District Office
801 West Riverside Avenue, Suite 200
Spokane, WA 99201-0901
(509) 353-2809
Use the same number for FTS

Alaska District Office
222 West 8th Avenue, Room A36
Anchorage, AK 99513-7559
(907) 271-4022
Use the same number for FTS

Portland District Office
1515 S.W. Fifth Avenue, Suite 1050
Portland, OR 97201-5494
(503) 326-2682
Use the same number for FTS

Seattle District Office
1200 6th Avenue, Suite 1700
Seattle, WA 98101-1128
(206) 553-7310
Use the same number for FTS

DEPARTMENT OF VETERANS AFFAIRS

NATIONAL CEMETERY SYSTEM

Robert A. Wilk, Director
National Cemetery Area Office
730 Peachtree Street, N.E.
Atlanta, GA 30365
(404) 347-2121

Mr. Dan Banz, Supervisor
Memorial Programs Processing Site
Fort Leavenworth National Cemetery
395 Biddle Boulevard
Ft. Leavenworth, KS 66077-2307

Ms. Gina White, Supervisor
Memorial Programs Processing Site
Department of Veterans Affairs (403A2)
National Cemetery Administration
810 Vermont Avenue, N.W.
Washington, DC 20420
(202) 565-4257

Mr. Don Murphy, Supervisor
Memorial Programs Processing Site
Nashville National Cemetery
1420 Gallatin Road
Madison, TN 37115-4619
(615) 736-2840

Patrick J. Gartland, Director
National Cemetery Area Office
P.O. Box 11720
Philadelphia, PA 19101
(215) 381-3787

Thomas G. Balsanek, Director
National Cemetery Area Office
P.O. Box 25126
Denver, CO 80225
(303) 914-5700

Mr. Wayne A. Simpson, Chief
Centralized Contracting Division
5101 Russell Road
Quantico, VA 22134-3903
(703) 441-3088

Mr. James Flanagan, Supervisor
Memorial Programs Processing Site
Indiantown Gap National Cemetery
R.R. #2
P.O. Box 484A
Annville, PA 17003-9618

VA HEALTH ADMINISTRATION CENTER

VETERANS MEDICAL FACILITIES

Alabama

VA Central Alabama Health Care System
215 Perry Hill Road
Montgomery, AL 36109-3798
(334) 272-4670
(700) 228-4115 FTS

VA Central Alabama Health Care System
Kenneth Rufe, Acting Director
Tuskegee, AL 36083
(304) 727-0550
FTS (700) 534-3550

Kenneth Ruyle, Director Acting
Tuscaloosa, AL 35404
(205) 554-2000
(700) 228-2760 FTS

Y.C. Paris, Director
700 South 19th Street
Birmingham, AL 35233
(205) 933-8101
(700) 534-6581 FTS

Alaska

Alex Spector
VA Medical/Regional Office Center
235 East 8th Avenue
Anchorage, AK 99508-2989
(907) 257-4700

Arizona

Patricia McKlem, Director

Appendix IV: Agency Regional and Local Office Addresses

Prescott, AZ 86313-5000
(520) 445-4860
(700) 768-7000 FTS

Jonathan Gardner, Director
Tucson, AZ 85723-0001
(520) 792-1450
(700) 765-1450 FTS

John R. Fears, Director
7th Street at Indian School Road
Phoenix, AZ 85012
(602) 277-5551
(700) 761-7000 FTS

Arkansas

Richard Robinson
Fayetteville, AR 72703
(501) 443-4301
(700) 742-5555 FTS

George "Buzz" Gray, Jr., Director
300 East Roosevelt Road
Little Rock, AR 72205
(501) 660-1202
FTS (700) 742-2100

California

Brian O'Neill, MD., Acting
Northern CA Health Care System
2800 Contra Costa Boulevard
Pleasant Hill, CA 94523-3961
(510) 372-2000
(700) 454-2000 FTS

Vacant
VA Southern California System of Clinics West
Los Angeles HCS
Sepulveda, CA 91343-2099
(818) 891-7711
(818) 891-7711 FTS

Vacant
VA Southern California System of Clinics West
Los Angeles HCS
11301 Wilshire Boulevard
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Detroit, MI 48226-2591

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VA Regional Office and Insurance Center
Federal Building, Fort Snelling
St. Paul, MN 55111-4050

Mississippi

VA Regional Office
1600 E. Woodrow Wilson Avenue
Jackson, MS 39216-5102

Missouri

VA Office
Federal Office Building
601 East 12th Street, Room 120
Kansas City, MO 64106-0199

VA Regional Office
400 South 18th Street
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Montana

VA Medical and Regional Office Center
Williams Street
Fort Harrison, MT 59636-9999

Nebraska

VA Regional Office
5631 South 48th Street
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Nevada

VA Regional Office
1201 Terminal Way
Reno, NV 89520-0118

New Hampshire

VA Regional Office
Norris Cotton Federal Building
275 Chestnut Street
Manchester, NH 03101-2489

New Jersey

VA Regional Office
20 Washington Place
Newark, NJ 07102-3174

New Mexico

VA Regional Office
Dennis Chavez Federal Building
U.S. Courthouse
500 Gold Avenue, S.W.
Albuquerque, NM 87102-3118

New York

VA Office
Leo W. O'Brien Federal Building
Clinton Avenue and N. Pearl Street
Albany, NY 12207

VA Regional Office
245 West Houston Street
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VA Office

Appendix IV: Agency Regional and Local Office Addresses

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VA Regional Office
Federal Building
111 West Huron Street
Buffalo, NY 14202-2368

VA Office
Federal Office Building and Courthouse
100 State Street
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North Carolina

VA Regional Office
Federal Building
251 North Main Street
Winston-Salem, NC 27155-1000

North Dakota

VA Medical and Regional Office Center
2101 Elm Street
Fargo, ND 58102-2417

Ohio

VA Regional Office
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1240 East Ninth Street
Cleveland, OH 44199-2001

VA Office
Federal Building, Room 309
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Columbus, OH 43215

VA Office
801-B W. 8th Street
Cincinnati, OH 45203-2001

Oklahoma

VA Office
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VA Regional Office
Federal Building
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Oregon

VA Regional Office
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Pennsylvania

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VA Regional Office and Insurance Center
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VA Regional Office
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Philippines

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Manila Regional Office
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Puerto Rico

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South Carolina

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Columbia, SC 29201-2495

South Dakota

VA Medical and Regional Office Center
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Sioux Falls, SD 57117-5046

Tennessee

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Nashville, TN 37203-3817

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VA Regional Office
6900 Alameda Road
Houston, TX 77030-4200

VA Office
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Lubbock, TX 79410-0001

VA Office
U.S. Courthouse and Federal Office Building
1114 Commerce Street
Dallas, TX 75242

VA Office
3601 Bluemel Road
San Antonio, TX 78229-2041

VA Regional Office
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Waco, TX 76799-0001

Utah

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Vermont

VA Medical and Regional Office Center

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Virginia

VA Regional Office
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Roanoke, VA 24011-2204

Washington

VA Regional Office
Federal Building
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VA Regional Office
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VA Regional Office
Building 6
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The Veterans Benefits Administration provides toll-free telephone service throughout the 50 States, Washington, DC and Puerto Rico. The toll-free telephone number is (800) 827-1000.

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Appendix IV: Agency Regional and Local Office Addresses

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Appendix IV: Agency Regional and Local Office Addresses

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(614) 469-2125 FAX

Oklahoma

H. Zeke Rodriguez, Director
215 Dean A. McGee, Suite 324
Oklahoma City, OK 73102
(405) 231-5201
(405) 231-4329 FAX

Oregon

Robin Sutherland, Director
2010 Lloyd Center
Portland, OR 97232
(503) 231-2103
(503) 231-2106 FAX

Pacific Cluster

(AK,CA,HI,ID,MT,NV,OR,UT,WA,WY)
Lee Spencer, Director
P.O. Box 29996
Presidio of San Francisco, CA 94129-0996
(415) 561-5960
(415) 561-5970 FAX

Pennsylvania

Jorina Ahmed, Director

Robert Nic Nix Federal Building
900 Market Street, Suite 229
Philadelphia, PA 19107
(215) 597-2806
(215) 597-2807 FAX

Puerto Rico/Virgin Island

Loretta de Cordova, Director
U.S. Federal Building, Suite 662
150 Carlos Chardon Avenue
Hato Rey, PR 00918-1737
(787) 766-5314
(787) 766-5189 FAX

Rhode Island

Vincent Marzullo, Director
400 Westminister Street, Room 203
Providence, RI 02903
(401) 528-5424
(401) 528-5220 FAX

South Carolina

Jerome J. Davis, Director
1835 Assembly Street, Suite 872
Columbia, SC 29201-2430
(803) 765-5771
(803) 765-5777 FAX

South Dakota (and ND)

John Pohlman, Director
225 S. Pierre Street, Room 225
Pierre, SD 57501-2452
(605) 224-5996
(605) 224-9201 FAX

Southern Cluster

(AL,DC,FL,GA,KY,MS,NC,SC,TN,VA,WV)
Harold Williams, Director
60 Forsyth Street, SW, Suite 3M40
Atlanta, GA 30323-2301
(404) 562-4055
(404) 562-4071 FAX

Southeast Cluster

(AR,AZ,CO,KS,LS,MO,NM,OK,TX)
James Parker, Director
1999 Bryan Street, Room 2050
Dallas, TX 75201
(214) 880-7050
(214) 880-7074 FAX

Tennessee

Jerry Herman, Director
265 Cumberland Bend Drive
Nashville, TN 37228
(615) 736-5561
(615) 736-7937 FAX

Texas

Jerry G. Thompson, Director
903 San Jacinto, Suite 130
Austin, TX 78701-3747
(512) 916-5671
(512) 916-5806 FAX

Utah

Appendix IV: Agency Regional and Local Office Addresses

Rick Crawford, Director
350 South Main Street, Room 504
Salt Lake City, UT 84101-2198
(801) 524-5411
(801) 524-3599 FAX

Vermont (ME and NH)

Mal Coles, Director
10 Causeway Street, Room 473
Boston, MA 02222-1038
(617) 565-7001
(617) 565-7011 FAX

Virginia (and DC)

Thomas Harmon, Director
400 North 8th Street, Suite 446
P.O. Box 10066
Richmond, VA 23240-1832
(804) 771-2197
(804) 771-2157 FAX

Washington

John Miller, Director
Jackson Federal Building, Suite 3190
915 Second Avenue
Seattle, WA 98174-1103
(206) 220-7745
(206) 553-4415 FAX

West Virginia

Judith Russell, Director
10 Hale Street, Suite 203
Charleston, WV 25301-1409
(304) 347-5246
(304) 347-5464 FAX

Wisconsin

Linda Sunde, Director
Henry Reuss Federal Plaza
310 W. Wisconsin Avenue, Room 1240
Milwaukee, WI 53203-2211
(414) 297-1118
(414) 297-1863 FAX

Wyoming

Patrick Gallizzi, Director
Federal Building, Room 1110
2120 Capitol Avenue
Cheyenne, WY 82001-3649
(307) 772-2385
(307) 772-2389 FAX

SOCIAL SECURITY ADMINISTRATION

Social security offices are located throughout cities and towns in the United States. There are approximately 1,296 district and branch offices now open. To find the address of the office near you, consult the telephone directory under Social Security Adm

DEPARTMENT OF HOMELAND SECURITY

Region I

(Boston)
Kenneth L. Horak
Acting Regional Director
99 High Street
6th Floor
Boston, MA 02110
(617) 223-9540

Region II

(New York)
Joseph Picciano, Acting Regional Director
26 Federal Plaza, Suite 1307
New York, NY 10278-0002
(212) 680-3600

Region III

(Philadelphia)
Patricia G. Arcuri,
Acting Regional Director
FEMA Region III
615 Chestnut Street
Philadelphia, PA 19106
(215) 931-5608

Region IV

(Atlanta)
Kenneth O. Burris, Jr.,
Regional Director,
FEMA Region IV
3003 Chamblee-Tucker Rd.
Atlanta, GA 30341
(770) 220-5200

Region IX

(Oakland)
Jeff Griffin
Regional Director,
FEMA Region IX
1111 Broadway, Suite 1200
Oakland, CA 94607
(510) 627-7100

Region V

(Chicago)
Edward G. Buikema,
Regional Director
FEMA Region V
536 S. Clark Street
Chicago, IL 60605
(312) 408-5500

Region VI

(Dallas)
Ron Castleman
Regional Director
Federal Regional Center
800 North Loop 288
Denton, TX 76201-3698
(940) 898-5399

Region VII

(Kansas City)
Richard Hainje,
Regional Director,
FEMA Region VII
2323 Grand Boulevard, Suite 900

Kansas City, MO 64108-2670
(816) 283-7061

Region VIII

(Denver)
David Maurstad,
Regional Director,
FEMA Region VIII
Denver Federal Center, Building 710, Box 25267
Denver, CO 80225-0267
(303) 235-4800

Region X

(Seattle)
John Pennington,
Regional Director,
FEMA Region X
Federal Regional Center
130-228th Street, S.W.
Bothell, WA 98021-9796
(425) 487-4600

APPENDIX V: SOURCES OF ADDITIONAL INFORMATION

Additional information concerning Federal programs and services is available from the sources listed below. The information in this Appendix is in addition to that supplied in Appendix IV (Regional and Local Office Addresses) however; they are not the offices to which an applicant applies for an assistance program. If a potential applicant or information seeker is not certain which agency to contact, there are two types of organizations set up to help: The Federal Information Center Program and the Federal Executive Boards.

FEDERAL CITIZEN INFORMATION CENTER PROGRAM

The Federal Citizen Information Center Program (FCIC) is a focal point for information about the Federal government. The FCIC assists people who have questions about Federal agencies, services, and programs, but do not know where to turn for an answer. FCIC information specialists either answer an inquirer's question directly, or perform the necessary research to locate and refer the inquirer to the expert best able to help. Telephone users may call the FCIC toll-free on (800) 688-9889 workdays between 9 a.m. and 8 p.m., Eastern Time. TTY users may call toll-free to the FCIC from anywhere in the U.S. by dialing (800) 326-2996.

FEDERAL EXECUTIVE BOARDS

Federal Executive Boards (FEBs) are composed of heads of Federal field offices in the metropolitan area. The FEBs were established to improve internal Federal management practices, provide a central focus for Federal participation in civic affairs in major metropolitan centers of Federal activity, serve as a means for disseminating information within the Federal government and for promoting discussion of Federal policies and activities of importance to all Federal executives in the field. The FEBs carry out their functions under the supervision of the Office of Personnel Management (OPM). Federal Executive Associations, and Councils, have been locally organized in over 80 other metropolitan areas to perform functions similar to the Federal Executive Boards but on a lesser scale of organization and activity. For further information, contact the Assistant for Field Operations, Office of Personnel Management, Room 5524, 1900 E Street, NW., Washington, DC 20415-0001. Telephone: (202) 6061001.

Albuquerque-Santa Fe, NM
(505) 262-6113

Atlanta, GA
(404) 331-4400

Baltimore, MD
(410) 962-4047

Boston, MA
(617) 565-6769

Buffalo, NY
(716) 551-5655

Chicago, IL
(312) 353-6790

Cincinnati, OH
(513) 684-2102

Cleveland, OH
(216) 433-9460

Dallas-Ft. Worth, TX
(214) 767-0767

Denver, CO
(303) 676-7009

Detroit, MI
(313) 226-3534

Honolulu, HI
(808) 541-2637

Houston, TX
(713) 209-4524

Kansas City, MO
(913) 551-7100

Los Angeles, CA
(562) 980-3445

Miami, FL
(305) 536-4344

New Orleans, LA
(504) 862-2066

New York, NY
(212) 264-1890

Newark, NJ
(973) 645-6217

Oklahoma City, OK
(405) 231-4167

Philadelphia, PA
(215) 597-2766

Pittsburgh, PA
(412) 395-6607

Portland, OR
(503) 326-2060

St. Louis, MO
(314) 539-6312

San Antonio, TX
(210) 229-4520

San Francisco, CA
(510) 637-1103

Seattle, WA
(206) 220-6171

Twin Cities (Minneapolis-St. Paul), MN
(612) 725-3687

U.S. GOVERNMENT BOOKSTORES

The Catalog of Federal Domestic Assistance may be purchased at any of the following 24 U.S. Government Bookstores. The price is \$80.00 per domestic subscription. An order for the Catalog must be accompanied by a check or money order made payable to the Superintendent of Documents. Payments may also be made using VISA, MasterCard, and Superintendent of Documents deposit account orders. All stores (except in Kansas City) are open Monday through Friday. The Kansas City location is open 7 days a week. (See Subscription Order Form in the back of the Catalog.) Contact the U.S. Government Bookstore nearest to you for more information.

ATLANTA, GA

First Union Plaza
999 Peachtree Street, NE
Suite 120
Atlanta, GA 30309-3964
(404) 347-1900
FAX (404) 347-1897

BIRMINGHAM, AL

O'Neill Building
2021 Third Avenue North
Birmingham, AL 35203
(205) 731-1056
FAX (205) 731-3444

BOSTON, MA

Thomas P. O'Neill Building
10 Causeway Street
Room 169
Boston, MA 02222
(617) 720-4180
FAX (617) 720-5753

CHICAGO, IL

One Congress Center
401 South State Street
Suite 124
Chicago, IL 60605
(312) 353-5133
FAX (312) 353-1590

CLEVELAND, OH

1240 E. 9th Street
Room 1653, Federal Building
Cleveland, OH 44199
(216) 522-4922
FAX (216) 522-4714

COLUMBUS, OH

200 N. High Street
Room 207, Federal Building
Columbus, OH 43215
(614) 469-6956
FAX (614) 469-5374

DALLAS, TX

1100 Commerce Street
Room 1C50, Federal Building
Dallas, TX 75242
(214) 767-0076
FAX (214) 767-3239

DENVER, CO

1660 Wynkoop Street
Suite 130
Denver, CO 80202
(303) 844-3964
FAX (303) 844-4000

DETROIT, MI

477 Michigan Avenue
Suite 160, Federal Building
Detroit, MI 48226
(313) 226-7816
FAX (313) 226-4698

HOUSTON, TX

Texas Crude Building
801 Travis Street

Suite 120
Houston, TX 77002
(713) 228-1187
FAX (713) 228-1186

JACKSONVILLE, FL

100 West Bay Street
Suite 100
Jacksonville, FL 32202
(904) 353-0569
FAX (904) 353-1280

KANSAS CITY, MO

120 Bannister Mall
5600 E. Bannister Road
Kansas City, MO 64137
(816) 765-2256
FAX (816) 767-8233

LAUREL, MD

Warehouse Sales Outlet
8660 Cherry Lane
Laurel, MD 20707
(301) 953-7974 or (301) 792-0262
FAX (301) 498-9109

LOS ANGELES, CA

ARCO Plaza, C-Level
505 S. Flower Street
Los Angeles, CA 90071
(213) 239-9844
FAX (213) 239-9848

MILWAUKEE, WI

310 W. Wisconsin Avenue
Suite 150, Reuss Federal Building
Milwaukee, WI 53203
(414) 297-1304
FAX (414) 297-1300

NEW YORK, NY

26 Federal Plaza
Room 1-120, Federal Building
New York, NY 10278
(212) 264-3825
FAX (212) 264-9318

PHILADELPHIA, PA

Robert Morris Building
100 North 17th Street
Philadelphia, PA 19103
(215) 636-1900
FAX (215) 636-1903

PITTSBURGH, PA

1000 Liberty Avenue
Room 118, Federal Building
Pittsburgh, PA 15222
(412) 395-5021
FAX (412) 395-4547

PORTLAND, OR

1305 SW First Avenue
Portland, OR 97201-5801
(503) 221-6217
FAX (503) 225-0563

PUEBLO, CO

Norwest Bank Building

201 West 8th Street
Pueblo, CO 81003
(719) 544-3142
FAX (719) 544-6719

SAN FRANCISCO, CA

303 2nd Street
Marathon Plaza, Room 141-S
San Francisco, CA 94107
(415) 512-2270
FAX (415) 512-2276

SEATTLE, WA

915 Second Avenue
Room 194, Federal Building
Seattle, WA 98174
(206) 553-4270
FAX (206) 553-6717

WASHINGTON, DC

U.S. Government Printing Office
710 North Capitol Street, NW
Washington, DC 20401
(202) 512-0132
FAX (202) 512-1355

510 H Street, NW
Washington, DC 20005
(202) 653-5075
FAX (202) 376-5055

REFERENCE SOURCES

The Budget of the United States Government

The Budget of the United States Government sets forth the President's comprehensive financial plan and indicates the President's priorities for the Federal government.

Information on programs by function, agency, and account, and detailed budget estimates is located in the Budget. Federal programs listed in the Catalog may be identified by the 11-digit budget account identification code listed in the Budget. These codes also appear in the Financial Information section of each Catalog program. A complete explanation of the identification code is provided in Appendix III of the Catalog (the Budget Functional Code Appendix). The Budget may be purchased from the Government Printing Office in the Washington, DC area and the U.S. Government bookstores listed in this Appendix.

The Code of Federal Regulations

The Code of Federal Regulations (CFR) is a codification of the general and permanent rules published in the Federal Register by executive departments and agencies of the Federal government. It includes all statutory regulations of Federal agencies, and is divided into 50 titles, which represent broad subject areas of Federal regulations (e.g., Housing and Urban Development, Public Health, Public Welfare, or Transportation). Each title is divided into chapters, which usually bear the name of the issuing agency. Each chapter is further subdivided into parts covering specific regulatory areas. The number preceding the CFR citation is the title or volume number. The CFR is kept up-to-date by the individual issues of the Federal Register; these two publications must be used together to determine the latest version of any given rule. Individual volumes of the CFR are revised at least once each calendar year and issued on a staggered quarterly basis. The CFR thus serves as a convenient reference for the citizen desiring a comprehensive source for general and permanent Federal regulations.

A General index to the entire Code of Federal Regulations, the CFR Index, is revised as of July 1 each year. The numerical Finding Aids, a cumulative list of CFR Sections affected is published monthly and is cumulated for 12 months, keyed to the revision dates of the various CFR volumes.

The Code of Federal Regulations is available in Federal depository libraries and most major libraries or Federal bookstores. Individual copies may be purchased from the Government Printing Office.

The Federal Register

The Federal Register, published daily, is the medium for making available to the public Federal agency regulations and other legal documents of the Executive Branch. These documents cover a wide range of government activities. Here, government requirements are published which involve environmental protection, consumer product safety, food and drug standards, occupational health and safety, and many more areas of concern to the public.

The Federal Register includes proposed changes in regulated areas. Each proposed change published carries an invitation for any citizen or group to participate in the consideration of the proposed regulation through the submission of written data, views, or arguments, and sometimes by oral presentations. Through the publication of proposed rules and notices of public meetings, citizens are given the opportunity to be informed about and participate in the workings of their government.

The Federal Register is also a vehicle for the announcement of newly authorized and/or funded programs, notifications of funding availability, (NOFAs), program application deadlines, and other important program information. The Federal Register Index is based on a consolidation of entries appearing in the issues of the Federal Register together with broad references. It is published monthly and is cumulative for 12 months. The Federal Register and the Federal Register Index are available in Federal depository libraries and most major libraries or Federal bookstores. Additional Federal Register resources include, the Statistical Abstract of the United States; Weekly Compilation of Presidential Documents; The Federal Register: What It Is and How To Use It. All Federal Register publications may be purchased from: Superintendent of Documents, U.S. Government Printing Office, Washington, DC 20402.

Information Resources for Legislative Research and Inquiry

Senate Document Room: (202) 224-7701
House Document Room: (202) 225-3456
Government Printing Office Order Desk: (202) 512-1800
Federal Register Inquiry Desk: (202) 523-5215

The following World Wide Web Sites provide valuable additional information:

Senate: <http://www.senate.gov/>
House of Representatives: <http://www.house.gov/>
Library of Congress: <http://thomas.loc.gov/>
Government Printing Office: <http://www.gpo.gov/>
Office of Management and Budget: <http://www.whitehouse.gov/omb/>

Additional resources available from GPO are: House and Senate Calendars (Monday edition only), How Our Laws Are Made, Digest of Public General Bills and Resolutions, United States Government Manual, Monthly Catalog of United States Government Publications. Stock numbers and prices of these publications can be obtained by calling or writing the GPO. To order or inquire about congressional documents from GPO, call: (202) 512-2465. To order or inquire about any other government publications, call the Order Desk: (202) 512-1800.

APPENDIX VI: DEVELOPING AND WRITING GRANT PROPOSALS

PART ONE: DEVELOPING A GRANT PROPOSAL

Preparation

A successful grant proposal is one that is well-prepared, thoughtfully planned, and concisely packaged. The potential applicant should become familiar with all of the pertinent program criteria related to the Catalog program from which assistance is sought. Refer to the information contact person listed in the Catalog program description before developing a proposal to obtain information such as whether funding is available, when applicable deadlines occur, and the process used by the grantor agency for accepting applications. Applicants should remember that the basic requirements, application forms, information and procedures vary with the Federal agency making the grant award. Individuals without prior grant proposal writing experience may find it useful to attend a grantsmanship workshop. A workshop can amplify the basic information presented here. Applicants interested in additional readings on grantsmanship and proposal development should consult the references listed at the end of this section and explore other library resources.

INITIAL PROPOSAL DEVELOPMENT

Developing Ideas for the Proposal

When developing an idea for a proposal it is important to determine if the idea has been considered in the applicant's locality or State. A careful check should be made with legislators and area government agencies and related public and private agencies which may currently have grant awards or contracts to do similar work. If a similar program already exists, the applicant may need to reconsider submitting the proposed project, particularly if duplication of effort is perceived. If significant differences or improvements in the proposed project's goals can be clearly established, it may be worthwhile to pursue Federal assistance.

Community Support

Community support for most proposals is essential. Once proposal summary is developed, look for individuals or groups representing academic, political, professional, and lay organizations which may be willing to support the proposal in writing. The type and caliber of community support is critical in the initial and subsequent review phases. Numerous letters of support can be persuasive to a grantor agency. Do not overlook support from local government agencies and public officials. Letters of endorsement detailing exact areas of project sanction and commitment are often requested as part of a proposal to a Federal agency. Several months may be required to develop letters of endorsement since something of value (e.g., buildings, staff, services) is sometimes negotiated between the parties involved.

Many agencies require, in writing, affiliation agreements (a mutual agreement to share services between agencies) and building space commitments prior to either grant approval or award. A useful method of generating community support may be to hold meetings with the top decision makers in the community who would be concerned with the subject matter of the proposal. The forum for discussion may include a query into the merits of the proposal, development of a contract of support for the proposal, to generate data in support of the proposal, or development of a strategy to create proposal support from a large number of community groups.

Identification of a Funding Resource

A review of the Objectives and Uses and Use Restrictions sections of the Catalog program description can point out which programs might provide funding for an idea. Do not overlook the related programs as potential resources. Both the applicant and the grantor agency should have the same interests, intentions, and needs if a proposal is to be considered an acceptable candidate for funding.

Once a potential grantor agency is identified, call the contact telephone number identified in Information Contacts and ask for a grant application kit. Later, get to know some of the grantor agency personnel. Ask for suggestions, criticisms, and advice about the proposed project. In many cases, the more agency personnel know about the proposal, the better the chance of support and of an eventual favorable decision. Sometimes it is useful to send the proposal summary to a specific agency official in a separate cover letter, and ask for review and comment at the earliest possible convenience. Always check with the Federal agency to determine its preference if this approach is under consideration. If the review is unfavorable and differences cannot be resolved, ask the examining agency (official) to suggest another department or agency which may be interested in the proposal. A personal visit to the agency's regional office or headquarters is also important. A visit not only establishes face-to-face contact, but also may bring out some essential details about the proposal or help secure literature and references from the agency's library.

Federal agencies are required to report funding information as funds are approved, increased or decreased among projects within a given State depending on the type of required reporting. Also, consider reviewing the Federal Budget for the current and budget fiscal years to determine proposed dollar amounts for particular budget functions.

The applicant should carefully study the eligibility requirements for each Federal program under consideration (see the Applicant Eligibility section of the Catalog program description). The applicant may learn that he or she is required to provide services otherwise unintended such as a service to particular client groups, or involvement of specific institutions. It may necessitate the modification of the original concept in order for the project to be eligible for funding. Questions about eligibility should be discussed with the appropriate program officer.

Deadlines for submitting applications are often not negotiable. They are usually associated with strict timetables for agency review. Some programs have more than one application deadline during the fiscal year. Applicants should plan proposal development around the established deadlines.

Getting Organized to Write the Proposal

Throughout the proposal writing stage keep a notebook handy to write down ideas. Periodically, try to connect ideas by reviewing the notebook. Never throw away written ideas during the grant writing stage. Maintain a file labeled "Ideas" or by some other convenient title and review the ideas from time to time. The file should be easily accessible. The gathering of documents such as articles of incorporation, tax exemption certificates, and bylaws should be completed, if possible, before the writing begins.

REVIEW

Criticism

At some point, perhaps after the first or second draft is completed, seek out a neutral third party to review the proposal working draft for continuity, clarity and reasoning. Ask for constructive criticism at this point, rather than wait for the Federal grantor agency to volunteer this information during the review cycle. For example, has the writer made unsupported assumptions or used jargon or excessive language in the proposal?

Signature

Most proposals are made to institutions rather than individuals. Often signatures of chief administrative officials are required. Check to make sure they are included in the proposal where appropriate.

Neatness

Proposals should be typed, collated, copied, and packaged correctly and neatly (according to agency instructions, if any). Each package should be inspected to ensure uniformity from cover to cover. Binding may require either clamps or

hard covers. Check with the Federal agency to determine its preference. A neat, organized, and attractive proposal package can leave a positive impression with the reader about the proposal contents.

Mailing

A cover letter should always accompany a proposal. Standard U.S. Postal Service requirements apply unless otherwise indicated by the Federal agency. Make sure there is enough time for the proposals to reach their destinations. Otherwise, special arrangements may be necessary. Always coordinate such arrangements with the Federal grantor agency project office (the agency which will ultimately have the responsibility for the project), the grant office (the agency which will coordinate the grant review), and the contract office (the agency responsible for disbursement and grant award notices), if necessary.

PART TWO: WRITING THE GRANT PROPOSAL

The Basic Components of a Proposal

There are eight basic components to creating a solid proposal package: (1) the proposal summary; (2) introduction of organization; (3) the problem statement (or needs assessment); (4) project objectives; (5) project methods or design; (6) project evaluation; (7) future funding; and (8) the project budget. The following will provide an overview of these components.

The Proposal Summary: Outline of Project Goals

The proposal summary outlines the proposed project and should appear at the beginning of the proposal. It could be in the form of a cover letter or a separate page, but should definitely be brief -- no longer than two or three paragraphs. The summary would be most useful if it were prepared after the proposal has been developed in order to encompass all the key summary points necessary to communicate the objectives of the project. It is this document that becomes the cornerstone of your proposal, and the initial impression it gives will be critical to the success of your venture. In many cases, the summary will be the first part of the proposal package seen by agency officials and very possibly could be the only part of the package that is carefully reviewed before the decision is made to consider the project any further.

The applicant must select a fundable project which can be supported in view of the local need. Alternatives, in the absence of Federal support, should be pointed out. The influence of the project both during and after the project period should be explained. The consequences of the project as a result of funding should be highlighted.

Introduction: Presenting a Credible Applicant or Organization

The applicant should gather data about its organization from all available sources. Most proposals require a description of an applicant's organization to describe its past and present operations. Some features to consider are:

- A brief biography of board members and key staff members.
- The organization's goals, philosophy, track record with other grantors, and any success stories.
- The data should be relevant to the goals of the Federal grantor agency and should establish the applicant's credibility.

The Problem Statement: Stating the Purpose at Hand

The problem statement (or needs assessment) is a key element of a proposal that makes a clear, concise, and well-supported statement of the problem to be addressed. The best way to collect information about the problem is to conduct and document both a formal and informal needs assessment for a program in the target or service area. The information provided should be both factual and directly related to the problem addressed by the proposal. Areas to document are:

- The purpose for developing the proposal.
- The beneficiaries -- who are they and how will they benefit.
- The social and economic costs to be affected.
- The nature of the problem (provide as much hard evidence as possible).

- How the applicant organization came to realize the problem exists, and what is currently being done about the problem.
- The remaining alternatives available when funding has been exhausted. Explain what will happen to the project and the impending implications.
- Most importantly, the specific manner through which problems might be solved. Review the resources needed, considering how they will be used and to what end.

There is a considerable body of literature on the exact assessment techniques to be used. Any local, regional, or State government planning office, or local university offering course work in planning and evaluation techniques should be able to provide excellent background references. Types of data that may be collected include: historical, geographic, quantitative, factual, statistical, and philosophical information, as well as studies completed by colleges, and literature searches from public or university libraries. Local colleges or universities which have a department or section related to the proposal topic may help determine if there is interest in developing a student or faculty project to conduct a needs assessment. It may be helpful to include examples of the findings for highlighting in the proposal.

Project Objectives: Goals and Desired Outcome

Program objectives refer to specific activities in a proposal. It is necessary to identify all objectives related to the goals to be reached, and the methods to be employed to achieve the stated objectives. Consider quantities or things measurable and refer to a problem statement and the outcome of proposed activities when developing a well-stated objective. The figures used should be verifiable. Remember, if the proposal is funded, the stated objectives will probably be used to evaluate program progress, so be realistic. There is literature available to help identify and write program objectives.

Program Methods and Program Design: A Plan of Action

The program design refers to how the project is expected to work and solve the stated problem. Sketch out the following:

- The activities to occur along with the related resources and staff needed to operate the project (inputs).
- A flow chart of the organizational features of the project. Describe how the parts interrelate, where personnel will be needed, and what they are expected to do. Identify the kinds of facilities, transportation, and support services required (throughputs).
- Explain what will be achieved through 1 and 2 above (outputs); i.e., plan for measurable results. Project staff may be required to produce evidence of program performance through an examination of stated objectives during either a site visit by the Federal grantor agency and or grant reviews which may involve peer review committees.
- It may be useful to devise a diagram of the program design. For example, draw a three column block. Each column is headed by one of the parts (inputs, throughputs and outputs), and on the left (next to the first column) specific program features should be identified (i.e., implementation, staffing, procurement, and systems development). In the grid, specify something about the program design, for example, assume the first column is labeled inputs and the first row is labeled staff. On the grid one might specify under inputs five nurses to operate a child care unit. The throughput might be to maintain charts, counsel the children, and set up a daily routine; outputs might be to discharge 25 healthy children per week. This type of procedure will help to conceptualize both the scope and detail of the project.
- Wherever possible, justify in the narrative the course of action taken. The most economical method should be used that does not compromise or sacrifice project quality. The financial expenses associated with performance of the project will later become points of negotiation with the Federal program staff. If everything is not carefully justified in writing in the proposal, after negotiation with the Federal grantor agencies, the approved project may resemble less of the original concept. Carefully consider the pressures of the proposed implementation, that is, the time and money needed to acquire each part of the plan. A Program Evaluation and Review Technique (PERT) chart could be useful and supportive in justifying some proposals.
- Highlight the innovative features of the proposal which could be considered distinct from other proposals under consideration.

- Whenever possible, use appendices to provide details, supplementary data, references, and information requiring in-depth analysis. These types of data, although supportive of the proposal, if included in the body of the design, could detract from its readability. Appendices provide the proposal reader with immediate access to details if and when clarification of an idea, sequence or conclusion is required. Time tables, work plans, schedules, activities, methodologies, legal papers, personal vitae, letters of support, and endorsements are examples of appendices.

Evaluation: Product and Process Analysis

The evaluation component is two-fold: (1) product evaluation; and (2) process evaluation. Product evaluation addresses results that can be attributed to the project, as well as the extent to which the project has satisfied its desired objectives. Process evaluation addresses how the project was conducted, in terms of consistency with the stated plan of action and the effectiveness of the various activities within the plan.

Most Federal agencies now require some form of program evaluation among grantees. The requirements of the proposed project should be explored carefully. Evaluations may be conducted by an internal staff member, an evaluation firm or both. The applicant should state the amount of time needed to evaluate, how the feedback will be distributed among the proposed staff, and a schedule for review and comment for this type of communication. Evaluation designs may start at the beginning, middle or end of a project, but the applicant should specify a start-up time. It is practical to submit an evaluation design at the start of a project for two reasons:

- Convincing evaluations require the collection of appropriate data before and during program operations; and,
- If the evaluation design cannot be prepared at the outset then a critical review of the program design may be advisable.

Even if the evaluation design has to be revised as the project progresses, it is much easier and cheaper to modify a good design. If the problem is not well defined and carefully analyzed for cause and effect relationships then a good evaluation design may be difficult to achieve. Sometimes a pilot study is needed to begin the identification of facts and relationships. Often a thorough literature search may be sufficient.

Evaluation requires both coordination and agreement among program decision makers (if known). Above all, the Federal grantor agency's requirements should be highlighted in the evaluation design. Also, Federal grantor agencies may require specific evaluation techniques such as designated data formats (an existing information collection system) or they may offer financial inducements for voluntary participation in a national evaluation study. The applicant should ask specifically about these points. Also, consult the Criteria For Selecting Proposals section of the Catalog program description to determine the exact evaluation methods to be required for the program if funded.

Future Funding: Long-Term Project Planning

Describe a plan for continuation beyond the grant period, and/or the availability of other resources necessary to implement the grant. Discuss maintenance and future program funding if program is for construction activity. Account for other needed expenditures if program includes purchase of equipment.

The Proposal Budget: Planning the Budget

Funding levels in Federal assistance programs change yearly. It is useful to review the appropriations over the past several years to try to project future funding levels (see Financial Information section of the Catalog program description).

However, it is safer to never anticipate that the income from the grant will be the sole support for the project. This consideration should be given to the overall budget requirements, and in particular, to budget line items most subject to inflationary pressures. Restraint is important in determining inflationary cost projections (avoid padding budget line items), but attempt to anticipate possible future increases.

Some vulnerable budget areas are: utilities, rental of buildings and equipment, salary increases, food, telephones, insurance, and transportation. Budget adjustments are sometimes made after the grant award, but this can be a lengthy process. Be certain that implementation, continuation and phase-down costs can be met. Consider costs associated with leases, evaluation systems, hard/soft match requirements, audits, development, implementation and maintenance of information and accounting systems, and other long-term financial commitments.

A well-prepared budget justifies all expenses and is consistent with the proposal narrative. Some areas in need of an evaluation for consistency are: (1) the salaries in the proposal in relation to those of the applicant organization should be similar; (2) if new staff persons are being hired, additional space and equipment should be considered, as necessary; (3) if the budget calls for an equipment purchase, it should be the type allowed by the grantor agency; (4) if additional space is rented, the increase in insurance should be supported; (5) if an indirect cost rate applies to the proposal, the division between direct and indirect costs should not be in conflict, and the aggregate budget totals should refer directly to the approved formula; and (6) if matching costs are required, the contributions to the matching fund should be taken out of the budget unless otherwise specified in the application instructions.

It is very important to become familiar with Government-wide circular requirements. The Catalog identifies in the program description section (as information is provided from the agencies) the particular circulars applicable to a Federal program, and summarizes coordination of Executive Order 12372, "Intergovernmental Review of Programs" requirements in Appendix I. The applicant should thoroughly review the appropriate circulars since they are essential in determining items such as cost principles and conforming with Government guidelines for Federal domestic assistance.

GUIDELINES AND LITERATURE

United States Government Manual
Superintendent of Documents
U.S. Government Printing Office
Washington, DC 20402

OMB Circular Nos. A-87, A-102, A-110, and A-133, and Executive Order 12372:
Publications Office
Office of Administration
Room 2200, 725 Seventeenth Street, NW.
Washington, DC 20503

Government Printing Office (GPO) Resources

The government documents identified above as available from the GPO can be requested (supply the necessary identifying information) by writing to:

Superintendent of Documents
Government Printing Office
Washington, DC 20402

Regional and Federal Depository Libraries

Regional libraries can arrange for copies of Government documents through an interlibrary loan. All Federal Depository Libraries will receive copies of the Catalog directly. A list of depository and regional libraries is available by writing: Chief, Library Division, Superintendent of Documents, Stop SLL, Washington, DC 20402.

Appendix VII: Historical Profile of Catalog Programs

The following list shows all programs printed in the Catalog of Federal Domestic Assistance beginning with the 1965 edition and the subsequent action taken related to that program. For the years 1965, 1967, and 1969 the programs did not have numbers. They were identified only by their titles. Therefore, the first part of each agency listing shows programs by their title if they were in existence before 1970. The program numbering system was instituted in 1970. The first line shows the number and title of the program when it was added to the Catalog. The second and subsequent lines show the year the program was added and any additional transactions, e.g., title changes, transfers, deletes, consolidations, agency reorganizations, number changes, etc., that may have followed. The alphabet B or U that follows a given year, indicates the transaction was made either in the basic (B) or the update (U) publication of the Catalog. In some instances, there is also a U1 and U2 behind the year. The U1 and U2 are the first and second updates respectively, published that year.

DEPARTMENT OF AGRICULTURE

Agricultural Stabilization Programs (1965B)
Agricultural Production Stabilization (1969B)
Archived (1970B)

Cooperative Agriculture and Forestry Research Program (1965B)
Cooperative Forestry Research (1969B)
Archived (1970B)

Cooperative Extension Service (1965B)
Split into 10.500, 10.501, 10.502, 10.503, 10.504, 10.505, 10.506, 10.507, 10.508, 10.509 (1970B)

Economic Research Service (1965B)
Archived (1970B)

Farmers Home Administration_Financial Assistance to Small Towns and Rural Groups (1965B)
Archived (1969B)

Farmers Home Administration_Housing for Senior Citizens (1965B)
Archived (1969B)

Farmers Home Administration_Loans for Forestry Purposes (1965B)
Farm Forestry Loans (1969B)
Archived (1970B)

Farmers Home Administration_Loans for Recreational Purposes (1965B)
Archived (1969B)

Farmers Home Administration_Rural Renewal Loans to Public Agencies (1965B)
Archived (1969B)

Forestry Research (1965B)
Archived (1969B)

Land Stabilization, Conservation, and Erosion Control in Appalachia (1965B)
Archived (1969B)

Timber Development Organizations in Appalachia (1965B)
Archived (1967B)

Administration and Research in Economic Development Programs in Appalachia (1965B)

Transferred to the Department of Commerce (1967B)

Cropland Adjustment Program (1967B)
Archived (1969B)

Payments to Counties, National Grasslands, and Land Utilization Projects (1967B)
Archived (1969B)

Payments to States with National Forests (1967B)
Archived (1969B)

Appalachian Region Conservation (1969B)
Archived (1970B)

Agricultural Market Supervision and Regulation (1969B)
Archived (1970B)

Agricultural Experiment Stations (1969B)
Archived (1970B)

National Forests_Shared Revenues (1969B)
Archived (1970B)

Timber Management (1969B)
Archived (1970B)

10.001
Agricultural Research Service (1965B)
Agricultural Research_Basic and Applied Research (1969B)
Number changed to 10.875 (1978B)
Number changed from 10.875 (1981U)

10.002
Plant and Animal Disease and Plant Control (1969B)
Number changed to 10.025 (1972B)

10.003
Morrill-Nelson Funds for Food and Agricultural Higher Education (1983U)
Transferred from 84.135 (1983U)
Transferred to 10.210 (1985U)

10.025
Plant and Animal Disease and Pest Control (1972B)
Number changed from 10.002 (1972B)
Plant and Animal Disease, Pest Control, and Animal Care (1990B)

10.026

Assistance to States for Intrastate Meat and Poultry Inspection (1972U)
Number changed from 10.151 (1972U)
Number changed to 10.475 (1977U)

10.027

Meat and Poultry Inspection (1972U)
Number changed from 10.157 (1972U)
Number changed to 10.477 (1977U)

10.028

Animal Damage Control (1986B)
Transferred from 15.601 (1986B)
Wildlife Services (1997U)

10.029

Avian Influenza Indemnity Program (2003B)
Archived (2010)

10.030

Indemnity Program (2012)

10.050

Agricultural Conservation Program (1965B)
Agricultural Conservation (1970B)
Rural Environmental Assistance (1971B)
Archived (1973B)

10.051

Price-Support Programs for the Agricultural Stabilization and Conservation Service (1965B)
Agricultural Price-Support (1969B)
Commodity Loans and Purchases (1970B)
Commodity Loans and Loan Deficiency Payments (1999B)

10.052

Cotton Production Stabilization (1970B)
Split from 10.055 (1970B)
Incorporated into 10.055 (1997B)

10.053

Dairy Farmer Indemnity Payments (1969B)
Dairy Indemnity Payments (1970B)
Dairy Indemnity Program (1984B)

10.054

Emergency Conservation Measures (1970B)
Emergency Conservation Program (1978U)

Appendix VII: Historical Profile of Catalog Programs

10.055 Feed Grain Direct Payments (1970B) Split into 10.052, 10.055, 10.058 (1970B) Feed Grain Production Stabilization (1971B) Production Flexibility Payments for Contract Commodities (1997B) Incorporated from 10.052, 10.058, 10.065 (1997B) Direct and Counter-cyclical Payments Program (2005) Direct and Counter-cyclical Payments Program (2005) Direct and Counter-cyclical Payments Program (2005)	10.065 Rice Production Stabilization (1976U) Incorporated into 10.055 (1997B)	10.079 Bill Emerson Humanitarian Trust (2003B) Archived (2010)
10.056 Storage Facilities and Equipment Loans (1970B) Archived (1989B) Farm Storage Facility Loans, Reinstated (2001B)	10.066 Emergency Hay and Cattle Transportation Assistance and Livestock Feed (1977B) Emergency Feed Program (1978B) Archived (1983B) Emergency Livestock Assistance (1989B) Archived (1997B) Livestock Assistance Program, Reinstated (2000U) Livestock Assistance Program (2006) Archived (2010)	10.080 Milk Income Loss Contract Program (2004)
10.057 Sugar Program (1969B) Sugar Production Stabilization (1971B) Archived (1975B)	10.067 Grain Reserve Program (1978B) Farmer Owned Reserve Program (1994U) Archived (1997B)	10.081 Lamb Meat Adjustment Assistance Program (2004) Archived (2009)
10.058 Wheat Direct Payments (1970B) Split from 10.055 (1970B) Wheat Production Stabilization (1971B) Incorporated into 10.055 (1997B)	10.068 Rural Clean Water Program (1980B) Archived (1995B)	10.082 Tree Assistance Program (2004) Archived (2010)
10.059 Wool and Mohair Payments (1970B) Shorn Wool and Unshorn Lambs (Pulled Wool) and Mohair Payments (1971B) National Wool Act Payments (1973B) Archived (1997B)	10.069 Conservation Reserve Program (1986B)	10.083 Tobacco Loss Assistance Program (2004)
10.060 Beekeeper Indemnity Payments (1971U) Archived (1982B)	10.070 Colorado River Salinity Control (1987B) Colorado River Basin Salinity Control Program (1991B) Archived (2010)	10.084 Dairy Market Loss Assistance Program (2008) Archived (2010)
10.061 Emergency Livestock Feed Program (1972B) Archived (1977B)	10.071 Federal-State Cooperation in Warehouse Examination Agreement (1987U) Archived (2000B)	10.085 Tobacco Transition Payment Program (2005)
10.062 Water Bank Program (1972B) Archived (1973B) Reinstated (1974B) Deleted (2006)	10.072 Wetlands Reserve Program (1992B)	10.086 Aquaculture Grants Program (AGP) (2009) Archived (2012)
10.063 Rural Environmental Conservation Program (1974B) Agricultural Conservation Program (1975B) Archived (1997B)	10.073 Crop Disaster Program (2000U) Archived (2010) Reinstated (2011) Archived (2011)	10.087 Biomass Crop Assistance Program (2011)
10.064 Forestry Incentives Program (1975B)	10.075 Special Apple Program (2001B)	10.088 Livestock Indemnity Program (2010)
	10.076 Emergency Loan for Seed Producers (2001B)	10.089 Livestock Forage Disaster Program (2010)
	10.077 Livestock Compensation Program (2003B) Archived (2010)	10.090 Supplemental Revenue Assistance Program (2010)
	10.078 Bioenergy Program (2003B) Archived (2009)	10.091 Emergency Assistance for Livestock, Honeybees, and Farm-Raised Fish Program (2011)

Appendix VII: Historical Profile of Catalog Programs

10.099 Conservation Loans (2010)	(1969B) Market News (1970B)	Wholesale Farmers and Alternative Market Development (2005)
10.100 Agricultural Futures Markets Supervision (1971B) Transferred to 78.001 (1975U)	10.154 Market Supervision (1970B) Market Supervision and Assistance (1982B) Market Development and Assistance (1983B) Incorporated into 10.163 (1984B)	10.165 Perishable Agricultural Commodities Act (1982B)
10.101 Hawaii Sugar Disaster Program (2013)	10.155 Agricultural Marketing Agreements and Orders (1969B) Marketing Agreements and Orders (1970B)	10.166 Commodity Purchase Services (1985B) Archived (1987B)
10.102 Emergency Forest Restoration Program (2012)	10.156 Agricultural Marketing Studies and Services (1969B) Marketing Services Matching_Fund Grants (1970B) Federal_State Marketing Improvement Program (1973B)	10.167 Transportation Services (1991U)
10.103 2009 Aquaculture Grant Program (2011) Archived (2012)	10.157 Agricultural Futures Market Supervision (1969B) Meat and Poultry Inspection (1970B) Number changed to 10.027 (1972U)	10.168 Farmers' Market Promotion Program (2006)
10.104 Poultry Loss Contract Grant Assistance Program (2011) Archived (2012)	10.158 Plentiful Foods Program (1969B) Plentiful Foods (1970B) Archived (1973U)	10.169 Specialty Crop Block Grant Program (2006) Archived (2011)
10.105 Disaster Relief Appropriations Act, Emergency Conservation Program (2013)	10.159 Livestock and Poultry Market Supervision (1978B) Number changed from 10.800 (1978B) Number changed to 10.800 (1981U)	10.170 Specialty Crop Block Grant Program - Farm Bill (2008)
10.106 Disaster Relief Appropriations Act, Emergency Forest Restoration Program (2013)	10.160 Voluntary Commodity Inspection and Grading Programs (1981U) Number changed from 10.478 (1981U) Archived (1982B)	10.171 Organic Certification Cost Share Programs (2011)
10.140 Minority Research and Teaching Grants (1986B) Minority Research and Teaching Program Grants (1990B) Minority Research and Teaching Programs Grants (1990U) Special Emphasis Outreach Programs Grants (1991B) Archived (1997B)	10.161 Egg Products Inspection (1981U) Number changed from 10.476 (1981U) Archived (1982B)	10.200 Scientific Research in Support of Agriculture (1969B) Contracts and Grants for Scientific Research (1970B) Grants for Scientific Research (1973B) Split into 10.200, 10.880 (1978B) Number changed to 10.876 (1978B) Number changed from 10.876 (1981U) Grants for Agricultural Research, Special Research Grants (1981U)
10.141 Dairy Product Price Support Program (2012)	10.162 Inspection Grading and Standardization (1982B)	10.201 Agricultural Research Facilities (1969B) Research Facilities (1970B) Archived (1971B)
10.150 Agricultural Product Grading (1969B) Consolidated into 10.162 (1982B)	10.163 Market Protection and Promotion (1982B)	10.202 Grants for Cooperative Forestry Research (1970U) Cooperative Forestry Research (1971B) Number changed to 10.877 (1978B) Number changed from 10.877 (1981U)
10.151 Meat and Poultry Inspection (1969B) Assistance to States for Intrastate Meat and Poultry Inspection (1970B) Number changed to 10.026 (1972U)	10.164 Wholesale Market Development (1982B) Wholesale Farmers and Alternative Market Development (2005) Wholesale Farmers and Alternative Market Development (2005)	10.203 Payments to Agricultural Experiment Stations Under Hatch Act (1970U) Number changed to 10.878 (1978B) Number changed from 10.878 (1981U) Payments to Agricultural Experiment Stations Under the Hatch Act (1997U)
10.152 Export Payments on Agricultural Products (1970B) Split from 10.157 (1970B) Archived (1973B)	10.165 Wholesale Market Development (1982B) Wholesale Farmers and Alternative Market Development (2005) Wholesale Farmers and Alternative Market Development (2005)	10.204 Rural Development Research (1974B)

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Number changed to 10.879 (1978B)
Number changed from 10.879 (1981U)
Archived (1982B)

10.205

Payments to 1890 Land-Grant Colleges and Tuskegee Institute (1981U)
Number changed from 10.880 (1981U)
Payments to 1890 Land-Grant Colleges and Tuskegee University (1986B)

10.206

Grants for Agricultural Research_Competitive Research_Grants (1981U)
Number changed from 10.884 (1981U)
Archived (2011)
Reinstated (2012)

10.207

Animal Health and Disease Research (1981U)
Number changed from 10.885 (1981U)

10.208

Alcohol Fuels Research (1982B)
Archived (1985B)

10.209

1890 Research Facilities (1983B)
Archived (1989B)

10.210

Food and Agricultural Sciences National Needs Graduate Fellowship Grants
Transferred from 10.003 (1985U)
Higher Education Graduate Fellowships Grant Program (2013)

10.211

Higher Education Strengthening Grants (1985U)
Archived (1993B)

10.212

Small Business Innovation Research (1986B)

10.213

Competitive Research Grants for Forest and Rangeland Renewable Resources
Transferred from 15.601 (1986B)
Archived (1990B)

10.214

Morrill-Nelson Funds for Food and Agricultural Higher Education
Split from 10.210 (1986B)
Archived (1996B)

10.215

Low Input Farming Systems_Research and Education (1988B)
Sustainable Agriculture Research and Education

(1991B)

10.216

1890 Institution Capacity Building Grants (1990B)

10.217

Higher Education Challenge Grants (1990B)
Higher Education - Institution Challenge Grants Program (2013)

10.218

Buildings and Facilities Program (1992B)

10.219

Biotechnology Risk Assessment Research (1993B)

10.220

Food and Agricultural Sciences-All Americans Undergraduate Scholars Program (1994B)
Higher Education Multicultural Scholars Program (1995B)
Higher Education - Multicultural Scholars Grant Program (2013)

10.221

Tribal Colleges Education Equity Grants (1997B)

10.222

Tribal Colleges Endowment Program (1997B)

10.223

Hispanic Serving Institutions Education Grants (1997B)

10.224

Fund for Rural America_Research, Education, and Extension Activities (1997U)
Archived (2009)

10.225

Community Food Projects (1997B)

10.226

Secondary Agriculture Education Grants (1999B)
Secondary and Two-Year Postsecondary Agriculture Education Challenge Grants (2003B)

10.227

1994 Institutions Research Program (2000U)

10.228

Alaska Native Serving and Native Hawaiian Serving Institutions Education Grants (2001B)

10.240

Alternative Agricultural Research and Commercialization Program (1993B)
Archived (2000B)

10.250

Agricultural Economic Research (1969B)

Agricultural and Rural Economic Research (1970B)
Agricultural and Rural Economic Research, Cooperative Agreements and Collaborations (2012)

10.251

Technical Assistance to Cooperatives (1978B)
Number changed from 10.350 (1978B)
Number changed to 10.350 (1981U)

10.252

Agricultural Statistical Reports (1978B)
Number changed from 10.950 (1978B)
Agriculture Statistical Reports (1980B)
Number changed to 10.950 (1981U)

10.253

Food Assistance and Nutrition Research Programs (FANRP) (2008)

10.254

Program of Research on the Economic of Invasive Species Management (PREISM) (2008)

10.255

Research Innovation and Development Grants in Economic (RIDGE) (2008)

10.256

Consumer Data Initiative (CDI) (2007)
Archived (2010)
Reinstated (2010)
Consumer Data and Information Program (2010)

10.290

AGRICULTURAL MARKET AND ECONOMIC RESEARCH (2008)
Agricultural Market and Economic Research (2008)

10.300

CCC Export Credit Sales (1970B)
Archived (1973B)

10.301

Export Payments (1970B)
Archived (1973U)

10.302

Initiative for Future Agriculture and Food Systems (2000U)

10.303

Integrated Programs (2000U)

10.304

Homeland Security_Agricultural (2004)

10.305

International Science and Education Grants (2005)

10.306

Appendix VII: Historical Profile of Catalog Programs

BIODIESEL (2005)	(AAEP) (2011)	Emergency Loans (1970B)
10.307 Organic Agriculture Research and Extension Initiative (2005)	10.325 Peoples Garden Grant Program (2011)	10.405 Farmers Home Administration_Loans for Farm Labor Housing (1965B) Loans and Grants for Farm Labor Housing (1967B) Farm Labor Housing (1969B) Farm Labor Housing Loans and Grants (1970B) Archived (1973B) Reinstated (1975B)
10.308 Resident Instruction Grants for Insular Area Activities (2005)	10.326 Capacity Building for Non-Land Grant Colleges of Agriculture (NLGCA) (2012)	10.406 Farmers Home Administration_Operating Loans (1965B) Farm Operating Loans (1969B)
10.309 Specialty Crop Research Initiative (2008)	10.327 Common Bean Productivity Research for Global Food Security Competitive Program (2012)	10.407 Farmers Home Administration_Ownership Loans (1965B) Farm Ownership Loans (1969B)
10.310 AGRICULTURE AND FOOD RESEARCH INITIATIVE (AFRI) (2009)	10.350 Farmers Cooperative Service (1965B) Technical Assistance to Cooperatives (1970B) Number changed to 10.251 (1978B) Number changed from 10.251 (1981U)	10.408 Grazing Association Loans (1969B) Archived (1983B)
10.311 BEGINNING FARMER AND RANCHER DEVELOPMENT PROGRAM (2009)	10.352 Value-Added Producer Grants (2005)	10.409 Irrigation and Drainage System Loans (1969B) Irrigation, Drainage and Other Soil and Water Conservation Loans (1970B) Archived (1983B)
10.312 BIOMASS RESEARCH AND DEVELOPMENT INITIATIVE (2009)	10.353 National Rural Development Partnership (2003B)	10.410 Farmers Home Administration_Rural Housing Loans (1965B) Rural Housing Loans (1967B) Rural Renewal Loans (1967B) Low-to Moderate-Income Housing Loans (1970B) Low Income Housing Loans (1983B) Very Low and Low Income Housing Loans (1984B) Very Low to Moderate Income Housing Loans (1991U)
10.313 Veterinary Medicine Loan Repayment Program (2010)	10.375 Human Nutrition Information Service (1982B) Archived (1997B)	10.411 Rural Self-Help Housing Loans (1969B) Mutual and Self-Help Housing Loans (1970B) Rural Housing Site Loans (1970U) Rural Housing Site Loans and Self_Help Housing Land Development Loans (1996B) Archived (2012)
10.314 NEW ERA RURAL TECHNOLOGY COMPETITIVE GRANTS PROGRAM (2009)	10.400 Comprehensive Areawide Water and Sewer Planning Grants (1970B) Archived (1973B)	10.412 Rural Recreation Enterprises (1969B) Recreation Association Loans (1970B) Split into 10.412, 10.413 (1970B) Archived (1971B)
10.315 Trade Adjustment Assistance for Farmers Training Coordination Program (TAAF) (2009)	10.401 Farmers Home Administration_Loans to Cooperatives (1965B) Economic Opportunity Loans to Cooperatives (1969B) Economic Opportunity Farm Operating Loans to Cooperatives (1970B) Archived (1971B)	10.413 Recreation Facility Loans (1970B) Split from 10.412 (1970B)
10.316 Healthy Urban Food Enterprise Development Center (HUFED) (2009)	10.402 Farmers Home Administration_Loans to Rural Families with Small Incomes (1965B) Economic Opportunity Loans to Rural Families with Low Incomes (1969B) Economic Opportunity Farm Resource Loans (1970B) Archived (1971B)	
10.317 Food Aid Nutrition Enhancement Program (2010)	10.403 Economic Opportunity Nonfarm Enterprise Loans (1970B) Archived (1971B)	
10.318 Women and Minorities in Science, Technology, Engineering, and (2010)	10.404 Farmers Home Administration_Emergency Loans (1965B) Farm Emergency Loans (1969B)	
10.319 Farm Business Management and Benchmarking Competitive Grants Program (2010)		
10.320 Sun Grant Program (2010)		
10.322 Distance Education Grants for Institutions of Higher Education in Insular Areas (2010)		
10.324 Afghanistan Agricultural Extension Project		

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Archived (1983B)

10.414

Soil Conservation Service_Resource Conservation Development Projects (1965B)

Resource Conservation Development Projects (1967B)

Resource Conservation and Development Loans (1970B)

Split into 10.414, 10.901 (1970B)

Number changed to 10.764 (1993B)

10.415

Rental Housing (1967B)

Rental and Cooperative Housing for Rural People (1969B)

Rural Rental Housing Loans (1970B)

10.416

Soil and Water Conservation (1969B)

Soil and Water Loans (1970B)

Split into 10.416, 10.902 (1970B)

Archived (1997B)

10.417

Very Low-Income Housing Repair Loans (1970B)

Split from 10.410 (1970B)

Very Low-Income Housing Repair Loans and Grants (1977B)

10.418

Water and Waste Disposal Systems for Rural Communities (1967B)

Number changed to 10.760 (1993B)

10.419

Multiple-Purpose Watershed Projects (1965B)

Watershed Development and Flood Prevention (1969B)

Watershed Protection and Flood Prevention Loans (1970B)

Split into 10.419, 10.904 (1970B)

Number changed to 10.765 (1993B)

10.420

Rural Self-Help Housing Technical Assistance (1970U)

10.421

Indian Tribes and Tribal Corporation Loans (1971B)

10.422

Business and Industrial Development Loans (1974B)

Business and Industrial Loans (1976B)

Number changed to 10.768 (1993B)

10.423

Community Facilities Loans (1974B)

Number changed to 10.766 (1993B)

10.424

Industrial Development Grants (1974B)

Archived (1982B)

Reinstated (1988B)

Rural Development Grants (1992B)

Number changed to 10.769 (1993B)

10.425

Emergency Livestock Loans (1974U)

Archived (1979U2)

10.426

Area Development Assistance Planning Grants (1978B)

Archived (1982B)

10.427

Rural Rental Assistance Payments (1978B)

10.428

Economic Emergency Loans (1978U)

Archived (1983B)

Reinstated (1984B)

Archived (1984U)

10.429

Above Moderate Income Housing Loans (1979B)

Archived (1980U2)

Guaranteed Rural Housing Loans_Demonstration Program (1989B)

Archived (1990B)

10.430

Energy Impacted Area Development Assistance Program (1979U2)

Archived (1983B)

10.431

Technical and Supervisory Assistance Grants (1979U2)

Archived (1983B)

10.432

Biomass Energy and Alcohol Fuels Loan and Loan Guarantees (1981B)

Archived (1982B)

10.433

Rural Housing Preservation Grants

Transferred from 13.664 (1986B)

10.434

Nonprofit National Corporations Loan and Grant Program (1987B)

Archived (1993U)

10.435

Agricultural Loan Mediation Program (1988B)

Certified Mediation Program (1995B)

State Mediation Grants (1997U)

10.436

Technical Assistance and Training Grants (1988U)

Number changed to 10.761 (1993B)

10.437

Interest Rate Reduction Program (1989B)

Interest Assistance Program (1991U)

10.438

Farm Credit System Farm Land Acquisition Program (1989B)

Archived (1992B)

Section 538 Rural Rental Housing Guaranteed Loans (1997B)

10.439

Intermediary Relending Program (1989B)

Number changed to 10.767 (1993B)

10.440

Emergency Community Water Assistance Grants (1989U)

Number changed to 10.763 (1993B)

10.441

Technical and Supervisory Assistance Grants (1993U)

Archived (2011)

10.442

Housing Application Packaging Grants (1994B)

Archived (2011)

10.443

Outreach and Assistance Grants for Socially Disadvantaged Farmers and Ranchers (1994B)

Small Farmer Outreach Training or Technical Assistance Program_"Outreach and Assistance Grants for Socially Disadvantaged Farmers and Ranchers" (1995B)

Small Farmer Outreach Training and Technical Assistance Program (1995U)

Outreach and Assistance for Socially Disadvantaged Farmers and Ranchers (2001U)

10.444

Direct Housing_Natural Disaster Loans and Grants (1998B)

Archived (2012)

10.445

Direct Housing_Natural Disaster (1998B)

Archived (2011)

10.446

Rural Community Development Initiative (2001U)

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10.447 The Rural Development (RD) Multi-Family Housing Preservation and Revitalization Restructuring Program (MPR) (2007)	Farmworker Training Grant Program (2011) Archived (2013)	10.505 Extension Programs for Marketing and Distribution (1970B) Incorporated into 10.500 (1972B)
10.448 Rural Development Multi-Family Housing Rural Housing Voucher Demonstration Program (2009)	10.475 Assistance to States for Intrastate Meat and Poultry Inspection (1977U) Number changed from 10.026 (1977U) Cooperative Agreements with States for Intrastate Meat and Poultry Inspection (1980U2)	10.506 Extension Programs for Pesticides Safety and Rural Civil Defense (1970B) Incorporated into 10.500 (1972B)
10.449 Boll Weevil Eradication Loan Program (2000U)	10.476 Egg Products Inspection (1977U) Number changed to 10.161 (1981U)	10.507 Extension Programs for Recreation, Wildlife, and Natural Beauty (1970B) Incorporated into 10.500 (1972B)
10.450 Crop Insurance (1969B)	10.477 Meat and Poultry Inspection (1977U) Number changed from 10.027 (1977U) Meat, Poultry, and Egg Inspection (1995B) Meat, Poultry, and Egg Products Inspection (1995U)	10.508 Extension Programs for Soil and Water Conservation (1970B) Incorporated into 10.500 (1972B)
10.451 Noninsured Crop Disaster Assistance (1997U) Noninsured Assistance (2001B)	10.478 Voluntary Commodity Inspection and Grading Programs (1977U) Number changed to 10.160 (1981U)	10.509 4-H Youth Development Programs (1970B) Incorporated into 10.500 (1972B)
10.452 Disaster Reserve Assistance (1997U) Archived (2009)	10.479 Food Safety Cooperative Agreements (2006)	10.510 Extension Programs for Environmental Improvement (1971B) Incorporated into 10.500 (1972B)
10.453 Fund for Rural America_Farm Ownership Loans (1997U) Archived (2000B)	10.500 Extension Programs for Assisting in Community Development (1970B) Extension Programs for Community Development (1971B) Cooperative Extension Service (1972B) Number changed to 10.881 (1978B) Number changed from 10.881 (1981U)	10.511 Commodity Distribution Program (1965B) Commodity Distribution (1970B) Food Distribution (1971U) Split into 10.550, 10.565 (1979U1) Deleted (2008)
10.454 Dairy Options Pilot Program (1998U) Archived (2010)	10.501 Extension Programs for Forestry Production and Marketing (1970B) Incorporated into 10.500 (1972B) Agricultural Telecommunications Program (1994U) Archived (2000B)	10.512 Food Stamp Program (1965B) Food Stamps (1970B) Supplemental Nutrition Assistance Program (2008)
10.455 Community Outreach and Assistance Partnership Program (2004) Archived (2012)	10.502 Extension Programs for Improved Family Living (1970B) Incorporated into 10.500 (1972B)	10.513 Special Food Service Program for Children (1969B) Nonschool Food Program (1970B) Special Food Service Program for Children (1971B) Split into 10.558, 10.559 (1976B)
10.456 Partnership Agreements to Develop Non-Insurance Risk Management Tools for Producers (Farmers) (2004)	10.503 Extension Programs for Improved Nutrition (1970B) Incorporated into 10.500 (1972B)	10.514 Pilot School Breakfast Program (1967B) School Breakfasts (1969B) School Breakfast Program (1972B)
10.457 Commodity Partnerships for Risk Management Education (2004) Archived (2010)	10.504 Extension Programs for Improving Farm Income (1970B) Incorporated into 10.500 (1972B)	10.515 Equipment Program to Initiate or Expand School Food Service (1967B) School Lunch Program_Nonfood Assistance (1970B) Nonfood Assistance for School Food Service
10.458 Crop Insurance Education in Targeted States (2004)		
10.459 Commodity Partnerships for Small Agricultural Risk Management Education Sessions (2005) Archived (2013)		
10.460 Risk Management Education and Outreach Partnerships Program (2012) Risk Management Education Partnerships (2013)		
10.465		

Appendix VII: Historical Profile of Catalog Programs

Programs (1972B)

Equipment Assistance for School Food Service

Programs (1978B)

Archived (1982B)

10.555

National School Lunch Program (1965B)

School Lunches (1969B)

National School Lunch Program (1971B)

10.556

Special Milk Program for Children (1965B)

School Milk (1969B)

Special Milk Program (1970B)

Special Milk Program for Children (1971B)

10.557

Special Supplemental Food Program for Women,
Infants, and Children (1974B)

Special Supplemental Nutrition Program for
Women, Infants, and Children (1995U)

10.558

Child Care Food Program (1976B)

Split from 10.552 (1976B)

Child and Adult Care Food Program (1990B)

Incorporated from 10.573 (2000B)

10.559

Summer Food Service Program for Children
(1976B)

Split from 10.552 (1976B)

10.560

State Administrative Expenses for Child Nutrition
(1977U)

10.561

State Administrative Matching Grants for Food
Stamp Program (1977U)

State Administrative Matching Grants for the
Supplemental Nutrition Assistance Program (2008)

10.562

Nutritional Training and Education (1977U)

Archived (1979B)

10.563

Nutrition Education Experimental or
Demonstration Projects (1977U)

Archived (1980B)

10.564

Nutrition Education and Training Program (1978U)

Archived (2001U)

10.565

Commodity Supplemental Food Program (1979U1)

Split from 10.550 (1979U1)

10.566

Nutrition Assistance for Puerto Rico (1983B)

10.567

Food Distribution Program Commodities in Lieu of
Food Stamps (Indian Reservations) (1983B)

Food Distribution Program Commodities on Indian
Reservations (1983U)

Needy Family Program (1985B)

Food Distribution Program on Indian Reservations
(1988B)

10.568

Temporary Emergency Food Assistance
(Administrative Costs) (1984B)

Emergency Food Assistance Program
(Administrative Costs) (1993U)

10.569

Temporary Emergency Food Assistance (Food
Commodities) (1989B)

Emergency Food Assistance Program (Food
Commodities) (1993U)

10.570

Nutrition Program for the Elderly (Commodities)
(1989B)

Nutrition Program for the Elderly (2001U)

Nutrition Services Incentive (2002B)

Deleted (2005)

10.571

Food Commodities for Soup Kitchens (1989B)

Archived (1996U)

10.572

WIC Farmers' Market Nutrition Program (FMNP)
(1993U)

10.573

Homeless Children Nutrition Program (1995U)

Incorporated into 10.558 (2000B)

Deleted Program no longer funded. (2000)

10.574

Team Nutrition Grants (1997U)

10.575

Farm to School Grant Program (2012)

10.576

Senior Farmers Market Nutrition Program (2004)

10.577

SNAP Partnership Grant (2011)

10.578

WIC Grants To States(WGS) (2004)

10.579

Child Nutrition Discretionary Grants Limited
Availability (2004)

10.580

Food Stamp Program Research Grants to Improve
Access Through New Technology and Partnerships
(2004)

Food Stamp Program , Outreach Grants (2005)

Food Stamp Program Outreach Grants (2005)

Food Stamp Program Outreach/Participation
Program (2008)

Special Nutrition Assistance Program
Outreach/Participation Program (2008)

Supplemental Nutrition Assistance Program,
Outreach/Participation Program (2009)

Supplemental Nutrition Assistance Program,
Process and Technology Improvement Grants
(2013)

10.581

WIC Discretionary Grant Funds (2004)

Deleted (2004)

10.582

Fresh Fruit and Vegetable Program (2005)

10.583

Hunger Free Communities (2010)

10.584

SNAP Research Grants (2012)

Archived (2013)

10.585

FNS Food Safety Grants (2010)

10.586

Office of Research and Analysis (ORA)
Grants/Cooperative Agreements to Meet Food,
Nutrition and Health Needs of Program Eligible
Participants (2011)

Special Supplemental Nutrition Program for
Women, Infants and Children; Nutrition Education
Innovations (2012)

10.587

National Food Service Management Institute
Administration and Staffing Grant (2012)

10.588

Assessment of Alternatives to Face-to-Face
Interviews in SNAP (2011)

10.589

Child Nutrition Direct Certification Performance
Awards (2012)

10.591

Disaster Relief Appropriations ActEmergency
Food Assistance Program (Commodities) (2013)

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10.592 Healthy, Hunger-Free Kids Act of 2010 Childhood Hunger Research and Demonstration Projects (2013)	10.613 Faculty Exchange Program (2011)	Tree Planting Stock (1974B) Split from 10.650 (1974B) Consolidated into 10.664 (1978U)
10.600 Export Market Development (1967B) Agricultural Export Services (1969B) Foreign Market Development and Promotion (1970B) Foreign Agricultural Market Development and Promotion (1972B) Archived (1975B) Reinstated (1985U) Foreign Market Development Cooperation Program (1997B) Foreign Market Development Cooperator Program (1999B)	10.614 Scientific Cooperation Exchange Program with China (2011)	10.660 General Forestry Assistance (1974B) Split from 10.650 (1974B) Consolidated into 10.664 (1978U)
10.601 Targeted Export Assistance (1987B) Market Promotion Program (1991B) Market Access Program (1997B)	10.650 Forest Service Cooperative State and Private Programs (1965B) Forestry Cooperative Programs (1969B) State and Private Forestry Cooperation (1970B) Split into 10.655, 10.656, 10.657, 10.658, 10.659, 10.660 (1974B)	10.661 Youth Conservation Corps_Grants to States (1974B) Archived (1982B)
10.602 CCC's Dairy Export Incentive Program (2012)	10.651 Forestry Cooperative Research (1970U) Archived (1978U)	10.662 Rural Community Fire Protection (1975U) Consolidated into 10.664 (1978U)
10.603 Emerging Markets Program (2002B)	10.652 Forestry Research (1970U)	10.663 Young Adult Conservation Corps (1978B) Young Adult Conservation Corps_Grants to States (1978U) Archived (1983B)
10.604 Technical Assistance for Specialty Crops Program (2004)	10.653 Land Adjustments (1970U) Land Exchange in the National Forests (1971B) Archived (1973B)	10.664 Cooperative Forestry Assistance (1978U) Consolidated from 10.655, 10.656, 10.657, 10.658, 10.659, 10.660, 10.662 (1978U)
10.605 Quality Samples Program (2004)	10.654 Forestry Cooperative Law Enforcement (1973U) Cooperative Law Enforcement (1975B) Archived (1977B)	10.665 Schools and Roads_Grants to States (1980U2) Secure Payments for States and Counties Containing Federal Land (2008) Schools and Roads - Grants to States (2009)
10.606 Food for Progress (2003B)	10.655 Assistance to States for Tree Planting and Reforestation (1974B) Split from 10.650 (1974B) Assistance to States for Tree Improvement (1977B) Consolidated into 10.664 (1978U)	10.666 Schools and Roads_Grants to Counties (1980U2)
10.607 Section 416(b) (2003B) Archived (2010)	10.656 Cooperative Forest Fire Control (1974B) Split from 10.650 (1974B) Consolidated into 10.664 (1978U)	10.667 School Funds_Grants to Arizona and New Mexico (1980U2) School Funds_Grants to Arizona (1981B) Archived (1984B)
10.608 Food for Education (2003B)	10.657 Cooperation in Forest Management and Processing (1974B) Split from 10.650 (1974B) Consolidated into 10.664 (1978U)	10.668 Additional Lands_Grants to Minnesota (1980U2) Archived (1995B)
10.609 Trade Adjustment Assistance (2004)	10.658 Cooperative Forest Insect and Disease Control (1974B) Split from 10.650 (1974B) Cooperative Forest Insect and Disease Management (1976B) Consolidated into 10.664 (1978U)	10.669 Accelerated Cooperative Assistance for Forest Programs on Certain Lands Adjacent to the Boundary Waters Canoe Area Archived (1992B)
10.610 Export Guarantee Program (2010)	10.659 Cooperative Production and Distribution of Forest	10.670 National Forest_Dependent Rural Communities (1993B) Archived (2010)
10.611 Regional Centers for the Transfer of Manufacturing Technology (1989B)		10.671
10.612 USDA Local and Regional Food Aid Procurement Pilot Project (2012)		

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Southeast Alaska Economic Disaster Fund (1997U)
Archived (2010)

10.672

Rural Development, Forestry and Communities (2000B)
Rural Development, Forestry, and Communities (2000U)
Archived (2009)
Reinstated (2009)

10.673

Wood In Transportation Program (2003B)

10.674

Technology Marketing Unit (2003B)
Forest Products Lab: Technology Marketing Unit (TMU) (2005)
Forest Products Lab: Technology Marketing Unit (TMU) (2005)

10.675

Urban and Community Forestry Program (2003B)

10.676

Forest Legacy Program (2003B)

10.677

Forest Land Enhancement Program (2003B)
Archived (2010)

10.678

Forest Stewardship Program (2003B)

10.679

Collaborative Forest Restoration (2005)

10.680

Forest Health Protection (2005)

10.681

WOOD EDUCATION AND RESOURCE CENTER (WERC) (2008)
Wood Education and Resource Center (WERC) (2008)

10.682

National Forest Foundation (2009)

10.683

National Fish and Wildlife Foundation (2009)

10.684

International Forestry Programs (2008)

10.685

Community Wood Energy Program (2009)
Archived (2010)
Reinstated (2011)

10.686

Forest Biomass for Energy (2009)
Archived (2010)
Reinstated (2012)
Archived (2013)

10.687

RECOVERY ACT OF 2009: CAPITAL IMPROVEMENT AND MAINTENANCE (2009)

10.688

Recovery Act of 2009: Wildland Fire Management (2009)

10.689

Community Forest and Open Space Conservation Program (CFP) (2009)

10.690

Lake Tahoe Erosion Control Grant Program (2009)

10.691

Good Neighbor Authority (2009)

10.692

Disaster Relief Appropriations Act for Emergency Forest Restoration Program (EFRP) (2013)

10.693

Watershed Restoration and Enhancement Agreement Authority (2009)

10.694

Southwest Forest Health and Wildfire Prevention (2010)

10.695

EASTERN NEVADA LANDSCAPE RESTORATION PROJECT (ENLRP) (2010)
Archived (2011)

10.700

National Agricultural Library Services (1969B)
Number changed to 10.883 (1978B)
Number changed from 10.883 (1981U)
National Agricultural Library (1982B)

10.750

Information on Agricultural Activities (1969B)
Archived (1978B)

10.759

PART 1774 Special Evaluation Assistance for Rural Communities and Households Program (SEARCH) (2009)

10.760

Water and Waste Disposal Systems for Rural Communities
Number changed from 10.418 (1993B)

10.761

Technical Assistance and Training Grants
Number changed from 10.436 (1993B)

10.762

Solid Waste Management Grants (1993B)

10.763

Emergency Community Water Assistance Grants
Number changed from 10.440 (1993B)

10.764

Resource Conservation and Development Loans
Number changed from 10.414 (1993B)
Archived (1998U)

10.765

Watershed Protection and Flood Prevention Loans
Number changed from 10.419 (1993B)
Archived (1998U)

10.766

Community Facilities Loans
Number changed from 10.423 (1993B)
Community Facilities Loans (1997U)
Community Facilities Loans and Grants (1998B)

10.767

Intermediary Relending Program
Number changed from 10.439 (1993B)

10.768

Business and Industrial Loans
Number changed from 10.422 (1993B)
Business and Industry Loans (1998B)

10.769

Rural Development Grants
Number changed from 10.424 (1993B)
Rural Business Enterprise Grants (2001B)

10.770

Water and Waste Disposal Loans and Grants (Section 306C) (1993B)

10.771

Rural Technology Development Grants (1994U)
Rural Cooperative Development Grants (1997B)

10.772

Empowerment Zones Program (1994U)
Archived (2010)

10.773

Rural Business Opportunity Grants (1999B)

10.774

National Sheep Industry Improvement Center (1998U)
Archived (2010)

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10.775 Renewable Energy Systems and Energy Efficiency Improvements Program (2003B) Archived (2010)	10.851 Rural Telephone Service (1969B) Rural Telephone Loans (1970B) Rural Telephone Loans and Loan Guarantees (1977B)	10.864 Grant Program to Establish a Fund for Financing Water and Wastewater Projects (2005)
10.777 Norman E. Borlaug International Agricultural Science and Technology Fellowship (2010)	10.852 Rural Telephone Bank Loans (1972B)	10.865 Biorefinery Assistance (2009)
10.778 Research on the Economic Impact of Cooperatives (2006)	10.853 Community Antenna Television Loans and Loan Guarantees (1980B) Archived (2007)	10.866 Repowering Assistance (2010)
10.780 Community Facilities Loans and Grants (2009) Archived (2012)	10.854 Rural Economic Development Loans and Grants (1988U) Number changed from 10.853 (1989B)	10.867 BIOENERGY PROGRAM FOR ADVANCED BIOFUELS (2010)
10.781 Water and Waste Disposal Systems for Rural Communities - ARRA (2009)	10.855 Distant Learning and Medical Link Grants (1992B) Distance Learning and Medical Link Grants (1993B) Distance Learning and Telemedicine Loans and Grants (1997B)	10.868 Rural Energy for America Program (2009)
10.782 Appropriate Technology Transfer for Rural Areas (2013)	10.856 1890 Land Grant Institutions Rural Entrepreneurial Outreach Program (2004)	10.870 Rural Microentrepreneur Assistance Program (2009)
10.783 Rural Business Enterprise Grants - ARRA (2009) Archived (2012)	10.857 State Bulk Fuel Revolving Fund Grants (2001U)	10.871 Small Socially- Disadvantaged Producer Grants (2013)
10.787 Broadband Initiatives Program (2009) Archived (2012)	10.858 RUS Denali Commission Grants and Loans (2002B) Denali Commission Grants and Loans (2007) Denali Commission Grants and Loans (2011)	10.874 Delta Health Care Services Grant Program (2011)
10.788 Very Low to Moderate Income Housing Loans - Direct (2009) Archived (2012)	10.859 Assistance to High Energy Cost Rural_Communities (2001U) Assistance to High Energy Cost_Rural Communities (2002B) Assistance to High Energy Cost Rural Communities (2007)	10.875 Agricultural Research_Basic and Applied Research (1978B) Number changed from 10.001 (1978B) Number changed to 10.001 (1981U)
10.789 Very Low to Moderate Income Housing Loans - Guaranteed (2009) Archived (2012)	10.860 Rural Business Investment Program (2004) Archived (2010)	10.876 Grants for Agricultural Research, Special Research Grants (1978B) Number changed from 10.200 (1978B) Number changed to 10.200 (1981U)
10.800 Livestock and Poultry Market Supervision (1969B) Number changed to 10.159 (1978B) Number changed from 10.159 (1981U) Livestock, Meat and Poultry Market Supervision (1984B) Deleted (2004)	10.861 Public Television Station Digital Transition Grant Program (2005)	10.877 Cooperative Forestry Research (1978B) Number changed from 10.202 (1978B) Number changed to 10.202 (1981U)
10.825 Technical Assistance to Rural Areas (1975B) Archived (1977U)	10.862 Household Water Well System Grant Program (2004)	10.878 Payments to Agricultural Experiment Stations Under Hatch Act (1978B) Number changed from 10.203 (1978B) Number changed to 10.203 (1981U)
10.850 Rural Electrification Program (1965B) Rural Electrification Loans (1970B) Rural Electrification Loans and Loan Guarantees (1977B)	10.863 Community Connect Grant Program (2004)	10.879 Rural Development Research (1978B) Number changed from 10.204 (1978B) Number changed to 10.204 (1981U)
		10.880 Payments to 1890 Land-Grant Colleges and Tuskegee Institute (1978B) Split from 10.200 (1978B)

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Number changed to 10.205 (1981U)

10.881

Cooperative Extension Service (1978B)
Number changed from 10.500 (1978B)
Number changed to 10.500 (1981U)

10.882

Higher Education_Land-Grant Colleges and Universities (1978B)
Transferred from 13.453 (1978B)
Number changed to 10.501 (1981U)

10.883

Technical Information Systems (1978B)
Number changed from 10.700 (1978B)
Number changed to 10.700 (1981U)

10.884

Grants for Agricultural Research_Competitive Research_Grants (1978B)
Number changed to 10.206 (1981U)

10.885

Animal Health and Disease Research (1980B)
Number changed to 10.207 (1981U)

10.886

Rural Broadband Access Loans and Loan Guarantees (2005)

10.900

Great Plains Conservation (1969B)

10.901

Resource Conservation and Development (1970B)

10.902

Soil and Water Conservation (1970B)
Split into 10.902, 10.905, 10.906, 10.907 (1971B)
Consolidated from 10.908 (1990B)

10.903

National Cooperative Soil Survey (1969B)
Soil Survey (1970B)

10.904

Watershed Protection and Flood Prevention (1970B)
Consolidated into 10.911 (1996B)

10.905

Plant Materials for Conservation (1971B)
Split from 10.902 (1971B)

10.906

River Basin Surveys and Investigations (1971B)
Split from 10.902 (1971B)
Consolidated into 10.911 (1996B)
Watershed Surveys and Planning (1997B)

Archived (2010)

10.907

Snow Survey and Water Supply Forecasting (1971B)
Split from 10.902 (1971B)

10.908

Land Inventory and Monitoring (1977B)
Inventory and Monitoring (1978B)
Resources Inventory (1983U)
Consolidated into 10.902 (1990B)

10.909

Resource Appraisal and Program Development (1979B)
Archived (1983U)

10.910

Rural Abandoned Mine Program (1979U1)
Archived (2010)

10.911

Watershed Surveys and Planning
Consolidated from 10.904, 10.906 (1996B)
Incorporated into 10.906 (1997B)

10.912

Environmental Quality Incentives Program (1997B)

10.913

Farm Land Protection Program (1997B)
Farmland Protection Program (1997U)

10.914

Wildlife Habitat Incentive Program (1997B)

10.915

Fund for Rural America_Small Farmer Outreach Training and Technical Assistance (1998B)
Archived (1999B)

10.916

Watershed Rehabilitation Program (2003B)

10.917

Agricultural Management Assistance (2004)

10.918

Ground and Surface Water Conservation_Environmental Quality Incentives Program (2004)
Archived (2010)

10.919

Klamath Basin _ Environmental Quality Incentives Program (2004)
Archived (2010)

10.920

Grassland Reserve Program (2004)

10.921

Conservation Security Program (2004)

10.922

Healthy Forests Reserve Program (HFRP) (2007)

10.923

Emergency Watershed Protection Program (2007)

10.924

Conservation Stewardship Program (2011)

10.925

Agricultural Water Enhancement Program (2011)

10.926

Chesapeake Bay Watershed Program (2011)

10.928

Emergency Watershed Protection Program - Floodplain Easements Disaster Relief Appropriations Act (2013)

10.929

Water Bank Program (2013)

10.950

Agricultural Statistical Reports (1969B)
Number changed to 10.252 (1978B)
Agriculture Statistical Reports (1981U)
Agricultural Statistics Reports (1986U)

10.960

Technical Agricultural Assistance (1986B)

10.961

International Agricultural Research_Collaborative Program (1986B)
International Agricultural Research Program (1991U)
Scientific Cooperation Program, Incorporated from 10.963 (1999B)
Scientific Cooperation and Research (2001B)

10.962

International Training_Foreign Participant (1986B)
Cochran Fellowship Program-International Training-Foreign Participant (2006)

10.963

Scientific and Technical Cooperation (1990B)
Incorporated into 10.961 (1999B)

10.994

Peanut Quota Buyout Program (2005)
Archived (2009)

10.995

Hard White Wheat Incentive Program (2005)
Archived (2009)

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10.998

Long Term Standing Agreements for Storage, Transportation, and Lease (2011)

Archived (2012)

Reinstated (2012)

Archived (2012)

10.999

LONG TERM STANDING AGREEMENTS FOR STORAGE, TRANSPORTATION AND LEASE (2008)

Archived (2011)

Reinstated (2012)

DEPARTMENT OF COMMERCE

Development Highways and Access Roads for the Appalachian Region (1965B)

Administration and Research in Economic Development Programs in Appalachia (1967B)
Archived (1969B)

Community Relations Service (1965B)

Archived (1967B)

Development of Ports (1967B)

Archived (1969B)

Economic Development Districts (1967B)

Consolidated into 11.300 (1969B)

Grants and Loans for Public Works and Development Facilities (1967B)

Consolidated into 11.300 (1969B)

Gross National Product Estimates (1967B)

Archived (1969B)

High Speed Ground Transportation (1965B)

Highway Beautification (1965B)

Transferred/Split into 20.202, 20.203, 20.204 (1970B)

Highway Planning and Construction (1965B)

Transferred to 20.205 (1970B)

Industry and Commodity Information (1965B)

Archived (1967B)

Regional Action Planning Commissions (1967B)

Consolidated into 11.300 (1969B)

State Technical Services Program (1967B)

Archived (1970B)

Supplements to Federal Grant-in-Aid Programs in Appalachia (1965B)

Supplemental Grants-in-Aid for Appalachia (1967B)

Archived (1969B)

11.001

Statistical Data for Small Areas (1965B)

Census Data (1967B)

Census and Statistical Reports (1969B)

Census Bureau Data Products (1980U1)

11.002

Census Data Access and Use Laboratory (1970B)

Census Data User Services (1972B)

Census Customer Services (1995U)

11.003

Census Mapping and Statistical Areas (1969B)

Census Geography (1970B)

11.004

Census Intergovernmental Services (1970B)

11.005

Census Special Tabulations and Services (1969B)

11.006

Personal Census Age Search (1967B)

Personal Census Search (1969B)

11.007

Measures and Analyses of U.S. Economy (1972B)

Number changed from 11.700 (1972B)

Number changed to 11.025 (1976U)

11.008

NOAA Mission-Related Education Awards (2009)

11.009

TV Converter Box Coupon Program Consumer Education and Technical Assistance (2009)

Archived (2010)

11.010

Community Trade Adjustment Assistance (2009)

11.011

Ocean Exploration (2009)

11.012

Integrated Ocean Observing System (IOOS) (2009)

11.013

Education Quality Award Ambassadorship (2009)

11.025

Measures and Analyses of the U.S. Economy (1976U)

Number changed from 11.007 (1976U)

11.026

National Trade Data Bank (NTDB) (1994B)

STAT-USA: Key Business, Economic, and International Trade Information (2006)

11.027

Business Assistance, Services, and Information (1980B)

Number changed from 11.104 (1980B)

Number changed to 11.050 (1980U2)

Productivity and Economic Growth (1982B)

Number changed to 11.980 (1989B)

Economic Bulletin Board (EBB) (1994B)

State of the Nation (2002B)

Deleted (2006)

11.050

Business Assistance, Services, and Information (1980U2)

Number changed from 11.027 (1980U2)

Number changed to 11.110 (1984B)

11.100

Export Licensing Service and Information (1969B)

Number changed to 11.150 (1988B)

11.101

International Trade Promotion (1967B)

Export Trade Promotion (1969B)

Consolidated into 11.108 (1980B)

11.102

Foreign Investment in the United States (1967B)

Foreign Investment Development in the United States (1969B)

Archived (1977U)

11.103

Commercial Intelligence Service (1967B)

International Commercial Information (1969B)

Consolidated into 11.108 (1980B)

11.104

Business Assistance, Services, and Information (1973B)

Number changed from 11.200 (1973B)

Number changed to 11.027 (1980B)

11.105

Importation of Duty-Free Educational and Scientific Materials (1973B)

Number changed from 11.201 (1973B)

Archived (1986B)

11.106

Remedies for Unfair Foreign Trade

Practices_Antidumping and Countervailing Duty Investigations (2004)

11.106A

Trade Adjustment Assistance (1973B)

Number changed from 11.202 (1973B)

Number changed to 11.309 (1975U)

11.106B

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Antidumping Duties (1980B)

Transferred from 21.200 (1980B)

Remedies for Unfair Foreign Trade

Practices_Antidumping Duty Investigations (1991B)

Remedies for Unfair Foreign Trade

Practices_Antidumping and Countervailing Duty Investigations (1996U)

11.107

Countervailing Duty on Imports (1980B)

Transferred from 21.201 (1980B)

Remedies for Unfair Foreign Trade

Practices_Countervailing Duty Investigations (1991B)

Archived (1997B)

11.108

International Trade Promotion (1980B)

Consolidated from 11.101, 11.103 (1980B)

International Trade (1984B)

Export Promotion Services (1985B)

Commercial Service (1995B)

11.109

Trade Adjustment Assistance (1980B)

Archived (1986B)

Reinstated (1988U)

Number changed to 11.313 (1991B)

11.110

Business Assistance, Services, and Information (1984B)

Number changed from 11.050 (1984B)

Trade Development (1985B)

Manufacturing and Services (2005)

Manufacturing and Services (2005)

11.111

Foreign-Trade Zones in the United States (1986U)

11.112

Export Promotion_Market Development Cooperator (1994B)

Export Promotion Market Development Cooperation (2002B)

Market Development Cooperator Program (2007)

11.113

ITA Special Projects (1995B)

11.114

Special American Business Internship Training Program (1997B)

11.115

American Business Center (1998U)

Archived (2001B)

11.150

Export Licensing Service and Information (1988B)

Number changed from 11.100 (1988B)

11.200

Information and Services to Industrial Community (1967B)

Business Assistance, Services and Information (1969B)

Number changed to 11.104 (1973B)

11.201

Importation of Duty-Free Educational and Scientific Materials (1969B)

Number changed to 11.105 (1973B)

11.202

Trade Adjustment Assistance (1971U)

Number changed to 11.106 (1973B)

11.300

Public Works and Economic Development (1965B)

Economic Development_Grants and Loans for Public Works and Development Facilities (1969B)

Split into 11.300, 11.301, 11.302, 11.303 (1969B)

Archived (1973B)

Reinstated (1973U)

Economic Development_Grants and Loans for Public Works and Development Facilities (1973U)

Economic Development_Grants for Public Works and Development Facilities (1981U)

Economic Development_Grants for Public Works and Infrastructure Development (1995B)

Grants for Public Works and Economic Development (1999B)

Grants for Public Works and Economic Development Facilities (2002B)

Investments for Public Works and Economic Development Facilities (2007)

11.301

Economic Development_Loans for Businesses and Development Companies (1969B)

Split from 11.300 (1969B)

Archived (1973B)

Reinstated (1973U)

Economic Development_Business Development Assistance (1975B)

Archived (1993U)

11.302

Economic Development_Assistance for Distressed Areas (1969B)

Split from 11.300 (1969B)

Economic Development_Planning Assistance (1970B)

Archived (1973B)

Reinstated (1973U)

Economic Development_Support for Planning Organizations (1975B)

Archived (1999B)

Reinstated/Incorporated from 11.305 (2000B)

11.303

Economic Development_Technical Assistance Research, and Information (1969B)

Split from 11.300 (1969B)

Economic Development_Technical Assistance (1970B)

Archived (1973B)

Reinstated (1973U)

11.304

Economic Development_Public Works Impact Projects (1972B)

Archived (1973B)

Reinstated (1973U)

Economic Development_Public Works Impact Program (1990U)

Archived (1999B)

11.305

Economic Development_State and Local Economic Development Planning (1975B)

Incorporated into 11.302 (2000B)

11.306

Economic Development_District Operational Assistance (1975B)

Archived (1986B)

11.307

Economic Development_Special Economic Development and Adjustment Assistance Program (1975B)

Special Economic Development and Adjustment Assistance Program_Long-Term Economic Deterioration (1979B)

Split into 11.307, 11.311 (1979B)

Special Economic Development and Adjustment Assistance Program_Sudden and Severe or Long-Term Economic Deterioration (1983B)

Special Economic Development and Adjustment Assistance Program_Sudden and Severe Economic Dislocation or Long-Term Economic Deterioration (1984B)

Special Economic Development and Adjustment Assistance Program_Sudden and Severe Economic Dislocation and Long-Term Economic Deterioration (1986U)

Special Economic Development and Adjustment Assistance Program_Sudden and Severe Economic Dislocation (SSED) and Long-Term Economic Deterioration (LTED) (1997B)

Special Economic Development and Adjustment Assistance Program_Sudden and Severe Economic Dislocation and Long-Term Economic Deterioration (1997U)

Economic Adjustment Assistance (1999B)

11.308

Grants to States for Supplemental and Basic

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Funding of Titles I, II, and IV Activities (1975U)
Grants to States for Supplemental and Basic
Funding of Titles I, II, III, IV and IX Activities
(1977B)
Archived (1981U)

11.309

Trade Adjustment Assistance (1975U)
Number changed from 11.106 (1975U)
Archived (1981U)

11.310

Redevelopment Area Loan Program (Section 204)
(1977U)
Incorporated into 11.307 (1978B)

11.311

Special Economic Development and Adjustment
Assistance Program_Sudden and Severe Economic
Dislocation (1979B)
Split from 11.307 (1979B)
Archived (1981U)

11.312

Research and Evaluation Program (1984B)

11.313

Trade Adjustment Assistance
Number changed from 11.109 (1991B)
Trade Adjustment Assistance for Firms (2007)

11.400

Geodetic Surveys and Services (Geodesy and
Applications of the National Geodetic Reference
System) (2004)

11.400A

6Geodetic Control Surveys and Tideland Studies
(1965B)
Geodesy (1970B)
Geodetic Control Surveys (1971B)

11.400B

6Geodetic Surveys and Services (1974U)
Archived (1989B)
Reinstated (1991B)

11.401

Nautical Charts and Related Data (1965B)
Archived (1986B)

11.402A

6Weather Bureau River and Flood Forecasting
Service (1965B)
River and Flood Forecasting (1967B)
River and Flood Forecasts (1969B)
River and Flood Forecast and Warning Services
(1974U)
Archived (1977U)

11.402B

6Marine Boundary Program (1981B)
Archived (1986B)

11.403

Seismological Investigations (1970B)
Archived (1973B)

11.404

Weather and Climate Guidance (1965B)
Weather and Marine Forecasts and Climate
Guidance (1969B)
Weather Forecasts and Warnings (1970B)
Weather and River Forecasts and Warnings
(1977U)
Archived (1979B)

11.405

Anadromous and Great Lakes Fisheries
Conservation (1971B)
Transferred from 15.001 (1971B)
Anadromous Fish Conservation Act Program
(1991U)
Archived (2009)

11.406

Commercial Fisheries Disaster Assistance (1971B)
Transferred from 15.002 (1971B)
Archived (1981B)

11.407

Commercial Fisheries Research and Development
(1971B)
Transferred from 15.003 (1971B)
Interjurisdictional Fisheries Act of 1986 (1987U)

11.408

Current Economic Analysis of Food Fish, Shellfish,
and Industrial Fishery Projects (1971B)
Archived (1973B)
Reinstated (1980B)
Fishermen's Contingency Fund (1980B)

11.409

Fisheries Loan Fund (1971B)
Transferred from 15.005 (1971B)
Incorporated into 11.415 (1974B)
Reinstated/Split from 11.415 (1980B)
Fishing Vessel and Gear Damage Compensation
Fund (1980B)
Archived (1998B)

11.410

Fishermen_Reimbursement of Losses (1971B)
Transferred from 15.004 (1971B)
Fishermen's Guaranty Fund (1981B)
Number changed to 19.204 (1987B)

11.411

Fishery Cooperative Services (1971B)

Archived (1986B)

11.412

Fishery Marketing Assistance (1971B)
Transferred from 15.006 (1971B)
Archived (1973B)

11.413

Fishery Products Inspection and Certification
(1971B)
Transferred from 15.007 (1971B)

11.414

Fishing Statistics and Market News (1971B)
Transferred from 15.008 (1971B)
Archived (1973B)

11.415

Fishing Vessel Mortgage and Loan Insurance
(1971B)
Transferred from 15.009 (1971B)
Fishing Vessel Obligation Guarantees (1973B)
Federal Ship Financing Fund Liquidating Account
(1992B)
Fisheries Obligation Guarantee Program (1994U)
Fisheries Finance Program (1998B)

11.416

Jellyfish Control (1971B)
Transferred from 15.010 (1971B)
Archived (1973B)

11.417

Sea Grant Institutional Support (1971B)
Transferred from 47.022 (1971B)
Sea Grant Support (1972B)

11.418

Sea Grant Project Support (1971B)
Transferred from 47.023 (1971B)
Incorporated into 11.417 (1972B)
Coastal Zone Management Program Development
(1974B)
Reinstated/Split from 11.417 (1974B)
Archived (1980B)

11.419

Coastal Zone Management Program Administration
(1974U)
Coastal Zone Management Program Administration
Grants (1985B)
Coastal Zone Management Program
Implementation and Enhancement Awards (1991B)
Coastal Zone Management Administration Awards
(1992B)

11.420

Coastal Zone Management Estuarine Sanctuaries
(1975B)
Coastal Zone Management Estuarine Research

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Reserves (1986U)

11.421

Coastal Energy Impact Program_Formula Grants (1977U)

Energy Impact_Formula Grants (1984B)

Archived (1986B)

11.422

Coastal Energy Impact Program_Planning Grants (1977U)

Coastal Energy Impact Grants (1985B)

Archived (1986B)

11.423

Coastal Energy Impact Program_Loans and Guarantees (1977U)

Archived (1985U)

11.424

Coastal Energy Impact Program_Environmental Grants (1977U)

Archived (1983B)

11.425

Coastal Energy Impact Program_Outer Continental Shelf State Participation Grants (1980B)

Archived (1985B)

11.426

Ocean Dumping and Monitoring Program (1980B)

Financial Assistance for Marine Pollution Research (1981B)

Archived (1990B)

Reinstated (1991B)

Financial Assistance for National Status and Trends Programs (1991B)

Financial Assistance for Ocean Resources Conservation and Assessment Program (1991U)

Financial Assistance for National Centers for Central Coastal Ocean Science (1999B)

Financial Assistance for National Centers for Coastal Ocean Science (1999U)

11.427

Fisheries Development and Utilization Research and Development Grants and Cooperative Agreements Program (1980B)

11.428

Intergovernmental Climate_Demonstration Project (1980U1)

Intergovernmental Climate_Programs (1983U)

Intergovernmental Climate_Program (1995U)

Intergovernmental Climate_Program (NESDIS) (1999B)

Deleted (2007)

11.429

Marine Sanctuary Program (1985B)

11.430

Undersea Research (1987B)

11.431

Climate and Atmospheric Research (1987B)

Climate and Research (1992B)

Split into 11.459 (1992B)

Climate and Atmospheric Research (1992U)

11.432

Environmental Research Laboratories Joint Institutes (1990B)

Environmental Research Laboratories Cooperative Institutes (1992B)

Office of Oceanic and Atmospheric Research (OAR) Cooperative Institutes (1999U)

Office of Oceanic and Atmospheric Research (OAR) Joint and Cooperative Institutes (2001B)

National Oceanic and Atmospheric Administration (NOAA) Cooperative Institutes (2010)

11.433

Marine Fisheries Initiative (1990U)

11.434

Cooperative Fishery Statistics (1991B)

11.435

Southeast Area Monitoring and Assessment Program (1991B)

11.436

Columbia River Fisheries Development Program (1991B)

11.437

West Coast Fisheries Data Program (1991B)

Pacific Fisheries Data Program (1995B)

11.438

Pacific Salmon Treaty Program (1991B)

Pacific Coast Salmon Recovery_Pacific Salmon Treaty Program (2000B)

11.439

Marine Mammal Data Program (1991B)

11.440

Research in Remote Sensing of the Earth and Environment (1991B)

Environmental Sciences, Applications, Data, and Education (2002B)

11.441

Regional Fishery Management Councils (1991U)

11.442

Research in Oceanographic Data Base Management (1991U)

Archived (1999B)

11.443

Short-Term Climate Fluctuations (1992U)

Short_Term Climate Fluctuations (1995B)

Short Term Climate Fluctuations (1995U)

Archived (2012)

11.444

Mahimahi Aquaculture (1991U)

Aquaculture Program (1994U)

Direct Housing_Natural Disaster Loans and Grants (1999B)

Aquaculture Program (1999U)

Hawaii Sustainable Fisheries Development (Aquaculture Program) (2004)

Archived (2012)

11.445

Stock Enhancement of Marine Fish in the State of Hawaii (1991U)

Hawaii Stock Management (2001B)

Hawaii Stock Management Program (2002B)

Archived (2012)

11.446

Antarctic Marine Living Resources (1991U)

Archived (1999B)

11.448

Federal/State Cooperative Program in Atmospheric Modification Research (1992B)

Federal/State Cooperative Program in Atmospheric Research (1995B)

Archived (1997B)

Reinstated (1998B)

Archived (1998U)

11.449

Independent Education and Science Projects and Programs (1992B)

Archived (2012)

11.450

Integrated Flood Observing and Warning System (IFLOWS) (1993B)

Archived (2012)

11.452

Unallied Industry Projects (1993U)

11.454

Unallied Management Projects (1993B)

11.455

Cooperative Science and Education Program (1993U)

Archived (2012)

Reinstated (2013)

11.457

Chesapeake Bay Studies (1992B)

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11.458 Alaska Salmon Enhancement (1993B) Deleted (2004) Consolidated into 11.438 (2004)	Coastal Ecosystem Health (1995U) Coastal Services Center (1997B)	Ship Exchange Program (1967B) Ships Exchange and Sales (1969B) Ship Sales (1972U) Number changed to 20.805 (1981U)
11.459 Climate and Air Quality Research (1992B) Split from 11.431 (1992B) Weather and Air Quality Research (2002B)	11.474 Atlantic Coastal Fisheries Cooperative Management Act (1995B)	11.506 State Marine Schools (1967B) Number changed to 20.806 (1981U)
11.460 Congressionally Identified Program Needs (1992B) Special Oceanic and Atmospheric Projects (1992U)	11.477 Fisheries Disaster Relief (1999B) Archived (2012)	11.507 Maritime Manpower Training (1969B) U.S. Merchant Marine Academy (1970B) Number changed to 20.807 (1981U)
11.461 Cooperative Institute (1992B) Archived (1994U)	11.478 Coastal Ocean Program (1998B) Center for Sponsored Coastal Ocean Research_Costal Ocean Program (2000B)	11.508 Capital Construction Fund (1973U) Number changed to 20.808 (1981U)
11.462 Hydrologic Research (1994B)	11.480 National Ocean Service Intern Program (1999B) Archived (2009)	11.509 Development and Promotion of Domestic Waterborne Transport Systems (1974U) Number changed to 20.809 (1981U)
11.463 Habitat Conservation (1993B)	11.481 Educational Partnership Program (2001U)	11.510 Supplementary Training (1980U2) Number changed to 20.810 (1981U)
11.464 Marine Research_Regional Programs (1993B) Archived (1997B)	11.482 Coral Reef Conservation Program (2010)	11.511 Research and Development Assistance (1981B) Number changed to 20.811 (1981U)
11.466 Marine Instrumentation Special Projects (1993B) Archived (1994B)	11.483 NOAA Programs for Disaster Relief Appropriations Act - Non-construction and Construction (2013)	11.512 Construction Reserve Fund (1981B) Number changed to 20.812 (1981U)
11.467 Meteorologic and Hydrologic Modernization Development (1993U)	11.500 Construction_Differential Subsidies (1970B) Number changed to 20.800 (1981U)	11.549 State and Local Implementation Grant Program (2012)
11.468 Applied Meteorology Research (1993B) Collaborative Applied Hydrometeorological Research Activities (1993U) Cooperative Institute for Applied Meteorological Studies (CIAMS) and Cooperative Institute for Tropical Meteorology (CITM) (1994B) Applied Meteorological Research (2002B)	11.501 Marine Development and Promotion Activities (1969B) Development and Promotion of Ports and Intermodal Transport Systems (1970B) Development and Promotion of Ports and Intermodal Transportation (1975B) Number changed to 20.801 (1981U)	11.550 Public Telecommunications Facilities (1979B) Transferred from 13.413 (1979B) Public Telecommunications Facilities_Construction and Planning (1986B) Public Telecommunications Facilities_Planning and Construction (1994B) Public Telecommunications Facilities Planning and Construction (2004) Archived (2013)
11.469 Congressionally Identified Construction Projects (1993B) Congressionally Identified Awards and Projects (2004)	11.502 Aids to Shipping and Shipbuilding (1967B) Shipping and Shipbuilding Assistance (1969B) Federal Ship Mortgage Insurance (1970B) Federal Ship Financing Guarantees (1973B) Number changed to 20.802 (1981U)	11.551 Public Telecommunications Services Program (1980U1) Archived (1983B) National Endowment for Children's Educational Television (1993U) Archived (1997B)
11.470 Office of Administration Special Programs (1993U) Deleted (2004) Consolidated into 11.481 (2004)	11.503 Maritime War Risk Insurance (1970B) Number changed to 20.803 (1981U)	11.552 Telecommunications and Information Infrastructure
11.472 Unallied Science Program (1994U)	11.504 Operating_Differential Subsidies (1970B) Number changed to 20.804 (1981U)	
11.473	11.505	

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Assistance Program (1994B)
Technology Opportunities (2000B)
Technology Opportunities Program (2004)
Deleted (2006)

11.553

NYC 9/11 Digital Television Program (2008)
Archived (2012)

11.554

Low-Power Television and Translator
Digital-to-Analog Conversion (2007)
Archived (2010)

11.555

Public Safety Interoperable Communications Grant
Program (2008)
Archived (2010)
Reinstated (2010)
Archived (2013)

11.556

TV Converter Box Coupon Program (2008)
Archived (2010)

11.557

Broadband Technology Opportunities Program
(BTOP) (2009)

11.558

State Broadband Data and Development Grant
Program (2009)

11.559

Low-Power Television and Translator Upgrade
Program (2009)
Archived (2013)

11.600

Building Code (Regulations) Assistance to States
(1970B)
Archived (1978U)

11.601

Calibration and Testing Services (1970B)
Physical Measurement Services Program (1991U)
Calibration Program (1992B)

11.602

Clearinghouse for Scientific and Technical
Information (1967B)
Clearinghouse for Federal Scientific and Technical
Information (1970B)
Number changed to 11.650 (1971B)

11.603

Standard Reference Data (1969B)
National Standard Reference Data System (1970B)

11.604

Standard Reference Materials (1969B)

11.605

State Invention Program (1970B)
Archived (1975U)

11.606

Weights, Measures, and Other Standards (1967B)
Weights and Measures Service (1969B)

11.607

Experimental Technology Incentives Program
(1973U)
Archived (1975B)

11.608

Fire Research and Safety Program (1974U)
Archived (1978B)

11.609

Measurement and Engineering Research and
Standards (1983B)

11.610

National Center for Standards and Certification
Information (1986U)

11.611

Manufacturing Technology Centers Program
(1992U)
Manufacturing Extension Program (1993B)
Manufacturing Technology Centers (1993U)
Manufacturing Extension Partnership (1994B)
Consolidated from 11.613 (1995B)

11.612

Advanced Technology Program (1990B)
Archived (2009)

11.613

State Technology Extension Program (1990B)
Consolidated into 11.611 (1995B)

11.614

Experimental Program to Stimulate Competitive
Technology (1999B)
Archived (2002B)

11.616

Technology Innovation Program (TIP) (2008)

11.617

Congressionally-Identified Projects (2002U)

11.618

National Institute of Standards and Technology
Construction Grant Program (2008)

11.619

Arrangements for Interdisciplinary Research

Infrastructure (2013)

11.650

National Technical Information Service (1971B)
Number changed from 11.602 (1971B)
Archived (2013)

11.651

Commerce Summary Statistical Data (1972B)
Incorporated into 11.650 (1973B)
Reinstated/Split from 11.650 (1980U1)
Science Information Exchange, Inc. (1980U1)
Archived (1983B)

11.700A

6Measures and Analyses of the U.S. Economy
(1969B)
Measures and Analyses of the United States
Economy (1971B)
Number changed to 11.007 (1972B)

11.700B

6Academy Planning Assistance (1977B)
Transferred to 83.001 (1979B)

11.701

Importation of Duty-Free Education and Scientific
Materials (1969B)
State Fire Incident Reporting Assistance (1977B)
Transferred to 83.002 (1979B)

11.702

Internship Program for Postsecondary Students
(1999B)
Archived (2013)

11.750

Cooperative Generic Technology Program
(1980U2)
Archived (1982B)

11.800

Minority Business Enterprise (1970B)
Minority Business Enterprise_Coordination and
Technical Assistance (1971B)
Minority Business Development_Coordination,
Management, and Technical Assistance (1973B)
Minority Business Development_Management and
Technical Assistance (1978U)
Minority Business Development Centers (1986U)
Split into 11.801, 11.802, 11.803 (1986U)
Basic Minority Business Development Centers
(2000B)
Minority Business Development Centers (2001B)
Minority Business Enterprise Centers (2006)
Archived (2012)

11.801

American Indian Program (1986U)
Native American Program (1993U)

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Native American Business Development Centers (2004)
Naataive American Business Enterprise Centers (2006)

11.802

Minority Business and Industry Association_Minority Chambers of Commerce (1986U)
Minority Business Resource Development (1991U)
Minority Business Development (1998B)
Deleted (2004)

11.803

Minority Business Development_State and Local Government Program (1986U)
Archived (1988B)
Reinstated (2002B)
Archived (2012)

11.804

MBDA Business Center - American Indian and Alaska Native (2012)

11.805

MBDA Business Center (2010)

11.806

Minority Business Opportunity Committee Development (2000B)
Minority Business Opportunity Committee (2002B)
Archived (2003B)

11.900

Patent and Trademark Assistance (1969B)
Patent and Trademark Technical Information Dissemination (1970B)

11.950

Visitor Services (1969B)
Community Visitor Services (1972B)
Archived (1972U)

11.951

Promotion of Travel from Abroad (1972B)
Archived (1973U)
Matching Funds (1974B)
Reinstated (1974B)
Matching Funds (1974B)
Archived (1980U1)

11.975

Telecommunications Management and Analysis (1971U)
Archived (1973B)

11.980

Productivity and Economic Growth
Changed from 11.027 (1989B)
Archived (1993B)

DEPARTMENT OF DEFENSE

Drainage Improvement (1965B)
Archived (1969B)

Flood, Hurricane or Abnormal Tide Protection (1967B)
Archived (1969B)

Health Benefits for Uniformed Military Servicemen and Dependents (1967B)
Archived (1969B)

Hydroelectric Power Development (1965B)
Archived (1969B)

Information and Guidance on Flood Hazards (1967B)
Archived (1969B)

Military Support of Community Projects (1967B)
Archived (1969B)

Navigation in Rivers and Harbors (1967B)
Archived (1969B)

Nuclear Disaster Planning and Survival (1967B)
Archived (1969B)

Recreation Facilities at Federal Water Resources Projects (1967B)
Archived (1969B)

Water Quality Control (1967B)
Archived (1969B)

Water Resources Development Program (1965B)
Archived (1967B)

Water Resources Investigation (1967B)
Archived (1969B)

Water Supply (1967B)
Archived (1969B)

12.000

Issue of Department Of Defense excess equipment (2005)

12.001

Machine Tool Loans to Vocational Schools. (1965B)
Machine Tool Loan to Educational Institutions (1970B)
Archived (1973B)
Reinstated (1974B)
Industrial Equipment Loans to Educational Institutions (1974B)
Archived (1994B)

12.002

Procurement Technical Assistance for Business Firms (1988B)

12.100

Aquatic Plant Control (1967B)

12.101

Beach Erosion Control (1967B)
Beach Erosion Control Projects (1971B)

12.102

Flood Control Works and Federally Authorized Coastal Protection Works, Rehabilitation (1984U)
Emergency Rehabilitation of Flood Control Works and Federally Authorized Coastal Protection Works (1985U)
Emergency Rehabilitation of Flood Control Works or Federally Authorized Coastal Protection Works (1986U)

12.103

Emergency Operations (1965B)
Emergency Flood and Hurricane Operations (1969B)
Flood Fighting and Rescue Operations, and Emergency Protection of Coastal Protective Works Federally Authorized (1970B)
Flood Fighting and Rescue Operations (1986U)
Emergency Operations Flood Response and Post Flood Response (1987U)

12.104

Flood Control (1965B)
Flood Plain Management Services (1969B)

12.105

Protection of Essential Highways, Highway Bridge Approaches, and Public Works (1970B)

12.106

Small Flood Control Projects (1970B)
Flood Control Projects (1971B)

12.107

Limited Water Resources Development Projects (1967B)
Small Navigation Projects (1969B)
Navigation Projects (1971B)

12.108

Snagging and Clearing for Flood Control (1970B)

12.109

Snagging and Clearing for Navigation (1970U)
Protection, Clearing and Straightening Channels (1978B)

12.110

Planning Assistance to States (1975U)

12.111

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Flood Prevention (1983U)

Emergency Advance Measures for Flood Prevention (1985U)

12.112

Payments to States (1986B)

Payments to States in Lieu of Real Estate Taxes (1987B)

12.113

State Memorandum of Agreement Program for the Reimbursement of Technical Services (1991B)

12.114

Collaborative Research and Development (1994U)

12.116

DEPARTMENT OF DEFENSE
APPROPRIATION ACT 2003 (2003B)

12.117

ARRA Cooperative Agreements, New Mexico (2010)

12.118

North Dakota Environmental Infrastructure (Section 594) - ARRA (2010)

12.119

Northern Wisconsin Environmental Infrastructure (Section 154) - ARRA (2010)

12.120

Northeastern Minnesota Environmental Infrastructure (Section 569) - ARRA (2010)

12.121

Montana, Nevada, New Mexico, Utah, Idaho, & Wyoming Environmental Infrastructure (Section 595) - ARRA (2010)

12.122

Title VI - Cheyenne River Sioux Tribe, Lower Brule Sioux Tribe, and Terrestrial Wildlife Habitat Restoration, South Dakota - ARRA (2010)

12.123

South Central Pennsylvania Environmental Infrastructure (Section 313) - ARRA (2010)

12.124

Mississippi Environmental Infrastructure (Section 592) - ARRA (2010)

12.125

Southern WV Environmental Infrastructure (Section 340) - ARRA (2010)

12.126

Central WV Environmental Infrastructure (Section 571) - ARRA (2010)

12.127

Southern and Eastern KY Environmental Infrastructure (Section 531) - ARRA (2010)

12.128

Florida Keys Water Quality Improvement Program (Section 109) - ARRA (2010)

12.129

Title VI - Cheyenne River Sioux Tribe, Lower Brule Sioux Tribe, and Terrestrial Wildlife Habitat Restoration, South Dakota (2010)

12.130

Estuary Habitat Restoration Program (2012)

12.200

Memorial Plots in National Cemeteries (1970B)
Transferred to 64.200 (1973U)

12.201

National Cemeteries (1969B)
Transferred to 64.201 (1973U)

12.202

Procurement of Headstones and Markers (1970B)
Transferred to 64.202 (1973U)

12.217

Electronic Absentee Systems for Elections (2011)

12.218

FVAP Policy Clearinghouse (2013)

12.219

EASE 2.0 (2013)

12.225

Commercial Technologies for Maintenance Activities Program (2013)

12.234

Civil Defense_Architect/Engineer Student Development (1972U)

12.300

Natural Disasters_Warning, Survival and Rehabilitation (1967B)

Civil Defense (1969B)

Civil Defense_Architect/Engineer Faculty Development (1970B)

Archived (1975B)

12.301

Civil Defense_Architect/Engineer Professional Development (1970B)

Archived (1977B)

Basic and Applied Scientific Research, Reinstated (1999B)

Archived (2002B)

12.302

Civil Defense_Community Action (1970B)

Civil Defense_Community Preparedness (1974B)

Archived (1977B)

12.303

Civil Defense_Community Involvement (1970B)

Incorporated into 12.302 (1971B)

12.304

Civil Defense_Community Shelter Planning (1970B)

Archived (1973B)

12.305

Civil Defense_Emergency Operating Centers (1970B)

Civil Defense_State and Local Emergency Operating Centers (1977B)

Archived (1979B)

12.306

Civil Defense_Emergency Water Supply Equipment (1970B)

Archived (1976U)

12.307

Civil Defense_Exhibits (1970B)

Archived (1975B)

12.308

Civil Defense_Federal Surplus Personal Property Donations (1970B)

Archived (1977U)

12.309

Civil Defense_Industrial Participation (1970B)

Transferred to 83.205 (1980B)

12.310

Inspection, Maintenance, and Calibration of Civil Defense Radiological Equipment (1970B)

Civil Defense_Radiological Systems Maintenance and Calibration of Civil Defense Radiological Equipment (1974B)

Civil Defense_Radiological Systems Maintenance and Training of Radiological Personnel (1976U)

Transferred to 83.206 (1980B)

12.311

Civil Defense_Marking and Stocking of Public Shelters (1970B)

Archived (1971U)

12.312

Civil Defense_National Fallout Shelter Survey (1970B)

Civil Defense_National Shelter Survey (1975B)

Transferred to 83.207 (1980B)

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12.313 Civil Defense_National Organizations Liaison (1970B) Archived (1973B)	Civil Defense_Education (1971) Consolidated into 12.325 (1974B)	National Guard Military Operations and Maintenance (O&M) Projects (1996B)
12.314 Civil Defense_Staff College (1970B) Transferred to 83.400 (1980B)	12.324 Civil Defense_Architect/Engineer Student Development (1972U) Transferred to 83.401 (1980B)	12.404 National Guard Civilian Youth Opportunities (2001B) National Guard ChalleNGe Program (2010)
12.315 Civil Defense_Personnel and Administrative Expenses (1970B) Civil Defense_State and Local Management (1977B) Transferred to 83.200 (1980B)	12.325 Civil Defense_State and Local Civil Preparedness Instruction Program (1974B) Consolidated from 12.320, 12.323 (1974B) Archived (1977B)	12.420 Military Medical Research and Development (1991B)
12.316 Civil Defense_Radiation Shielding Design and Advisory Services (1970B) Civil Defense_Radiation Shielding Design (1978B) Deleted, Transferred to 83.208 (1980B)	12.330 Science, Technology, Engineering & Mathematics (STEM) for K-12 & Institutions of Higher Learning- NAVY (2011)	12.431 Basic Scientific Research (1992U)
12.317 Civil Defense_Radiological Equipment Donation (1970B) Split from 12.300 (1970B) Archived (1974U)	12.335 Navy Command, Control, Communications, Computers, Intelligence, Surveillance, and Reconnaissance (2011)	12.440 Dissertation Year Fellowship (2013)
12.318 Civil Defense_Shelter Information (1970B) Archived (1973B)	12.340 Naval Medical Research and Development (2011)	12.500 U.S. Soldiers Home (1970B) U.S. Soldiers' and Airmen's Home (1972U) U.S. Soldiers' and Airmen's Home (USSAH) and U.S. Naval Home (USNH) (1992B) Archived (1994B)
12.319 Civil Defense_Survival Supplies, Equipment and Training (1970B) Civil Defense_System Maintenance Services (1972B) Civil Defense_State and Local Maintenance and Services (1977B) Transferred to 83.201 (1980B)	12.350 Department of Defense HIV/AIDS Prevention Program (2006)	12.550 International Education_U.S. Colleges and Universities (1996U) National Flagship Language Program Grants To U.S. Institutions Of Higher Education (2006) The Language Flagship Grants to Institutions of Higher Education (2011)
12.320 Civil Defense_University Extension (1970B) Consolidated into 12.325 (1974B)	12.351 Basic Scientific Research - Combating Weapons of Mass Destruction (2007)	12.551 National Security Education_Scholarships (1998B) National Security Education Program David L. Boren Scholarships (2006)
12.321 Civil Defense_State and Local Supporting Systems Equipment (1971B) Civil Defense_State and local Supporting Materials (1977B) Archived (1980B)	12.352 Scientific Research - Combating Weapons of Mass Destruction (2007)	12.552 National Security Education_Fellowships (1998B) National Security Education Program David L. Boren Fellowships (2006) National Security Education Program David L. Boren Fellowships (2006)
12.322 Civil Defense_Loans of Excess Federal Personal Property (1971B) Civil Defense_Contributions Project Loan Program (1973B) Archived (1980B)	12.357 ROTC Language and Culture Training Grants (2010)	12.553 National Flagship Language Program (NFLP) Fellowships (2006) National Flagship Language Program Fellowships (2006) The Language Flagship Fellowships (2011)
12.323	12.360 Research on Chemical and Biological Defense (2007)	12.554 English for Heritage Language Speakers Grants to U.S. Institutions of Higher Education (2006)
	12.369 Marine Corps Systems Command Federal Assistance Program (2011)	12.555 English for Heritage Language Speakers Scholarships (2006)
	12.400 National Guard Armories (1967B) Construction Program, Army National Guard (1970B) Construction, Army National Guard (1971B) Military Construction, Army National Guard (1974B) Military Construction, National Guard (1994B)	12.556

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Competitive Grants: Promoting K-12 Student Achievement at Military-Connected Schools (2009)

12.557

Invitational Grants for Military-Connected Schools (2009)

12.558

Department of Defense Impact Aid (Supplement, CWSD, BRAC) (2009)

12.560

DOD, NDEP, DOTC-STEM Education Outreach Implementation (2010)

12.579

Language Training Center (2012)

12.597

Task Force for Business & Stability Operations (2011)

12.598

Centers for Academic Excellence (2012)

12.599

Congressionally Directed Assistance (2010)

12.600

Community Economic Adjustment Program (1965B)
Community Economic Adjustment (1969B)
Deleted (2007)
Special Assistance (2012)

12.601

Procurement in Labor Surplus Areas (1965B)
Military Procurement from Labor Surplus Areas (1967B)
Contracting With Labor Surplus Area Concerns Program (1970B)
Contracting with Labor Surplus Area Concerns (1971B)
Archived (1973U)

12.602

Small Business Prime Contracting (1970B)
Archived (1973U)

12.603

Small Business Subcontracting Program (1970B)
Small Business Subcontracting (1971B)
Archived (1973U)

12.604

Community Economic Adjustment (2012)

12.605

Small Business Subcontracting (1973B)
Archived (1973U)

12.606

Selected Reserve Educational Assistance Program (1980U1)
Archived (1985U)

12.607

Military Base Reuse Studies and Community Planning Assistance (1985B)
Community Economic Adjustment Planning Assistance (1994B)
COMMUNITY ECONOMIC ADJUSTMENT ASSISTANCE FOR ESTABLISHMENT, EXPANSION, REALIGNMENT, OR CLOSURE OF A MILITARY INSTALLATION (2007)

12.608

Impact Assistance for Areas Affected by the East Coast Trident Program (1985B)
Archived (1994B)

12.609

Selected Reserve Educational Assistance Program (1985U)
Archived (1994B)

12.610

Joint Military/Community Comprehensive Land Use Plans (1989B)
Joint Land Use Studies (1991U)
Community Economic Adjustment Planning Assistance for Joint Land Use Studies (2004)
Community Economic Adjustment Assistance for Compatible Use and Joint Land Use Studies (2012)

12.611

Community Planning Assistance for Defense Industry Adjustments (1992B)
Community Economic Adjustment Planning Assistance Reduction in Defense Industry Employment (1994B)
Community Economic Adjustment Assistance for Reductions in Defense Industry Employment (2012)

12.612

Community Base Reuse Plans (1994B)

12.613

Growth Management Planning Assistance (1994B)

12.614

Community Economic Adjustment Assistance for Advance Planning (2005)
COMMUNITY ECONOMIC ADJUSTMENT DIVERSIFICATION PLANNING (2007)
Community Economic Adjustment Assistance for Advance Planning and Economic Diversification (2012)

12.615

RESEARCH AND TECHNICAL ASSISTANCE

(2008)

12.630

Basic, Applied, and Advanced Research in Science and Engineering (1994U)

12.631

Science, Technology, Engineering and Mathematics (STEM) Educational Program: Science, Mathematics And Research for Transformation (SMART) (2010)

12.640

Integrated Helicopter Design Tools (1998B)

12.700

Donations/Loans of DOD Surplus Property (1971B)

Donations/Loans of Obsolete DOD Property (1981U)

12.750

Uniformed Services University Medical Research Projects (2011)

12.800

Air Force Defense Research Sciences Program (1994B)

12.801

Air Force Academy Athletic Programs (2012)

12.900

Language Grant Program (1992U)

12.901

Mathematical Sciences Grants Program (1992U)

12.902

Information Security Grant Program (1995U)

12.910

Research and Technology Development (1992B)

12.911

Defense Technology Conversion, Reinvestment, and Transition Assistance (1994B)
Archived (2002B)

DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

Advance Acquisition of Land (1965B)
Archived (1969B)

Advances for Public Works Planning (1965B)
Public Works Planning Advances (1969B)
Archived (1970B)

American Indians_Public Housing Program (1965B)
Public Housing Program for American Indians

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(1967B) Archived (1969B)	Crime Insurance (1971U) Transferred to 83.102 (1980B)	Interest Reduction_Acquisition and Rehabilitation of Homes for Resale to Lower Income Families (1970U) Archived (1978U)
Homes Association Residential Subdivision (1967B) Archived (1969B)	14.004 Land Sales_Undeveloped Plots in Interstate Commerce (1972U) Land Sales_Parcels of Subdivided Land (1976B) Number changed to 14.801 (1980B)	14.105 Interest Supplements on Mortgages for Home Ownership for Lower Income Families (1969B) Interest Subsidy_Homes for Lower Income Families (1970B) Archived (1983U)
Low-Rent Public Housing (1965B) Incorporated into 14.146 (1970B)	14.005 Choice Neighborhoods Planning Grants (2011) Number changed to 14.892 (2012)	14.106 Interest Reduction_Purchase of Rehabilitated Homes by Lower Income Families (1970B) Archived (1978U)
Metropolitan Expeditors to Assist Local Officials (1965B) Archived (1969B)	14.006 Project Rental Assistance Demonstration (PRAD) Program of Section 811 Supportive Housing for Persons with Disabilities (2011) Number changed to 14.326 (2012)	14.107 Major Home Improvement Loan Insurance_Homes in Urban Renewal Areas (1970B) Archived (1972B)
Supplementary Grants for Planned Metropolitan Development (1967B) Archived (1969B)	14.007 Performance Based Contract Administrator Program (2011) Number changed to 14.327 (2012)	14.108 Major Home Improvement Loan Insurance_Housing Outside Urban Renewal Areas (1970B) Rehabilitation Mortgage Insurance (1980B) Rehabilitation Mortgage Insurance (2005) Rehabilitation Mortgage Insurance (2005) Rehabilitation Mortgage Insurance (2006)
Urban Information and Technical Assistance Services (1967B) Archived (1969B)	14.008 Transformation Initiative: Choice Neighborhoods Demonstration Small Research Grant Program (2012) Number changed from 14.008 (2012)	14.109 Major Home Improvement Loan Insurance_Rental Housing in Urban Renewal Areas (1970B) Archived (1972B)
Urban Mass Transportation (1965B) Transferred to the Department of Transportation (1969B)	14.100 College Housing Program (1965B) College Housing Debt Service (1970B) Split into 14.100, 14.101 (1970B) Archived (1973B)	14.110 Mobile Home Loan Insurance_Financing Purchase of Mobile Homes as Principal Residence (1970B) Mobile Home Loan Insurance_Financing Purchase of Mobile Homes as Principal Residences of Borrowers (1976B) Manufactured (Mobile) Home Insurance_Financing Purchase of Mobile Homes as Principal Residences of Borrowers (1981B) Manufactured (Mobile) Home Insurance_Financing Purchase of Manufactured Homes as Principal Residences of Borrowers (1984B) Manufactured Home Insurance_Financing Purchase of Manufactured Homes as Principal Residences of Borrowers (1985B) Manufactured Home Loan Insurance_Financing Purchase of Manufactured Homes as Principal Residences of Borrowers (2005) Manufactured Home Loan Insurance_Financing Purchase of Manufactured Homes as Principal Residences of Borrowers (2006)
Urban Mass Transportation Demonstration Grants (1967B) Transferred to the Department of Transportation (1969B)	14.101 College Housing Direct Loans (1970B) Split from 14.100 (1970B) Incorporated into 14.100 (1971B)	14.111 Mortgage Insurance for Purchase and Rehabilitation of Homes for Resale to Low-Income
Urban Renewal Rehabilitation and Code Enforcement (1965B) Archived (1969B)	14.102 Housing for Elderly or Handicapped (1964B) Housing Loans_Rental Housing for the Elderly and the Handicapped (1970B) Incorporated into 14.103 (1970U)	
Mortgage Insurance for Armed Services Housing_Civilian Employees (1969B) Archived (1970B)	14.103 Interest Supplements on Mortgages for Rental Housing for Lower Income Families (1969B) Interest Reduction Payments_Rental and Cooperative Housing for Lower Income Families (1970B) Archived (1994B) Reinstated (1999B) Interest Reduction Payments_Rental and Cooperative Housing for Lower Income Families (2005)	
Mortgage Insurance for Armed Services Housing_Impacted Areas (1969B) Archived (1970B)	14.104	
Mortgage Insurance for Servicemen's Housing (1969B) Archived (1970B)		
Alaska Housing (1970B) Archived (1970B)		
14.001 Flood Insurance (1969B) Transferred to 83.100 (1980B)		
14.002 Urban Property Protection and Reinsurance Program (1969B) Urban Property Insurance (1975B) Transferred to 83.101 (1980B)		
14.003		

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Purchasers (1967B)

Mortgage Insurance_Acquisition and Rehabilitation of Homes for Resale to Low Income Families (1970B)

Incorporated into 14.103 (1971B)

14.112

Mortgage Insurance_Construction or Rehabilitation of Condominium Projects (1970B)

Mortgage Insurance_Construction or Substantial Rehabilitation of Condominium Projects (1980B)

Mortgage Insurance for Construction or Substantial Rehabilitation of Condominium Projects (1989U)

Mortgage Insurance for Construction or Substantial Rehabilitation of Condominium Projects (2005)

Mortgage Insurance for Construction or Substantial Rehabilitation of Condominium Projects (2006)

Archived (2011)

14.113

Mortgage Insurance_Conversion of Below Market Rate Rental Housing to Condominium Ownership (1970B)

Archived (1973B)

14.114

Mortgage Insurance_Conversion of Below Market Rate Rental Housing to Cooperative Housing (1970B)

Archived (1971U)

14.115

Mortgage Insurance_Development of Sales Type Cooperative Projects (1970B)

Incorporated into 14.126 (1989B)

14.116

Mortgage Insurance for Group Practice Facilities (1969B)

Mortgage Insurance_Group Practice Facilities (1970B)

Consolidated into 14.128 (2002B)

14.117

Mortgage and Credit Insurance for Residential Rehabilitation and Home Purchase and Improvement (1967B)

Major Home Improvement (1969B)

Mortgage Insurance_Homes (1970B)

Split into 14.118, 14.119, 14.121 (1970B)

Mortgage Insurance_Homes (2005)

Mortgage Insurance_Homes (2006)

14.118

Mortgage Insurance_Homes for Certified Veterans (1970B)

Split from 14.117 (1970B)

Incorporated into 14.117 (1983U)

14.119

Mortgage Insurance for Replacement Housing for

Victims of Natural Disasters (1967B)

Mortgage Insurance_Homes for Disaster Victims (1970B)

Split from 14.117 (1970B)

Mortgage Insurance_Homes for Disaster Victims (2005)

Mortgage Insurance_Homes for Disaster Victims (2006)

14.120

Mortgage Insurance for Housing for Families of Low and Moderate Income (1967B)

Mortgage Insurance for Moderate Income Homes (1969B)

Mortgage Insurance_Homes for Low and Moderate Income Families (1970B)

Archived (2001U)

14.121

Mortgage Insurance_Homes in Outlying Areas (1970B)

Split from 14.117 (1970B)

14.122

Mortgage Insurance_Homes in Urban Renewal Areas (1970B)

Mortgage Insurance_Homes in Urban Renewal Areas (2005)

Mortgage Insurance_Homes in Urban Renewal Areas (2006)

14.123

Mortgage Insurance in Older, Declining Neighborhoods (1969B)

Mortgage Insurance_Housing in Older, Declining Areas (1970B)

Mortgage Insurance_Housing in Older, Declining Areas (2005)

Mortgage Insurance_Housing in Older, Declining Areas (2006)

14.124

Mortgage Insurance_Investor Sponsored Cooperative Housing (1970B)

Incorporated into 14.126 (1989B)

14.125

Mortgage Insurance for Land Development and New Communities (1967B)

Mortgage Insurance_Land Development and New Communities (1970B)

Mortgage Insurance_Land Development (1983U)

Archived (1990B)

14.126

Mortgage Insurance_Management Type Cooperative Projects (1970B)

Mortgage Insurance_Cooperative Projects (1989B)

14.127

Mortgage Insurance_Mobile Home Courts (1970B)

Mortgage Insurance_Manufactured (Mobile) Home Parks (1981B)

14.128

Mortgage Insurance_Nonprofit Hospitals (1965B)

Mortgage Insurance_Hospitals (1971B)

14.129

FHA Mortgage Insurance for Nursing Homes (1965B)

Mortgage Insurance_Nursing Home and Intermediate Care Facilities (1970B)

Mortgage Insurance Nursing Homes, Intermediate Care Facilities and Board and Care Homes (1985U)

Mortgage Insurance_Nursing Homes, Intermediate Care Facilities, Board and Care Homes and

Assisted Living Facilities (1995B)

14.130

Mortgage Insurance for Purchase of Fee Simple Title (1969B)

Mortgage Insurance Purchased by Homeowners of Fee Simple Title From Lessors (1970B)

Consolidated into 14.11 (2002B)

14.131

Mortgage Insurance_Purchase of Rehabilitated Homes by Low Income Families (1970B)

Archived (1971B)

14.132

FHA Mortgage Insurance for Cooperative Housing (1965B)

Mortgage Insurance_Purchase of Sales Type Cooperative Housing Units (1970B)

Mortgage Insurance_Purchase of Sales-Type Cooperative Housing Units (1989U)

Deleted (2008)

14.133

FHA Mortgage Insurance for Condominiums (1965B)

Mortgage Insurance for Condominiums (1967B)

Mortgage Insurance for Condominium Housing (1969B)

Mortgage Insurance_Purchase of Units in Condominiums (1970B)

14.134

Mortgage Insurance_Rental Housing (1970B)

14.135

Mortgage Insurance_Rental Housing for Low and Moderate Income Families (1970B)

Mortgage Insurance_Rental Housing for Moderate Income Families (1971B)

Mortgage Insurance_Rental Housing for Moderate Income Families and Elderly, Market Interest Rate (1989B)

Mortgage Insurance_Rental and Cooperative Housing for Moderate Income Families and

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Elderly, Market Interest Rate (1989U)

14.136

Mortgage Insurance for Rental Housing for Families of Low or Moderate Income (1967B)
Mortgage Insurance_Rental Housing for Low and Moderate Income Families, Below-Market Interest Rate (1970B)
Archived (1971B)

14.137

Mortgage Insurance for Low and Moderate Income Housing_Market Rate (1969B)
Mortgage Insurance_Rental Housing for Low and Moderate Income Families, Market Interest Rate (1970B)
Mortgage Insurance_Rental and Cooperative Housing for Low and Moderate Income Families, Market Interest Rate (1979U1)
Mortgage Insurance_Rental and Cooperative Housing for Moderate Income Families, Market Interest Rate (1984U)
Incorporated into 14.126 (1989B)

14.138

FHA Mortgage Insurance Rental Housing for the Elderly (1965B)
Mortgage Insurance for Senior Citizens Housing (1969B)
Mortgage Insurance_Rental Housing for the Elderly (1970B)

14.139

Mortgage Insurance for Urban Renewal (1965B)
Mortgage Insurance for Urban Renewal Housing (1969B)
Mortgage Insurance_Rental Housing in Urban Renewal Areas (1970B)

14.140

Mortgage Insurance for Assistance to Special Credit Risk Families (1969B)
Mortgage Insurance_Special Credit Risks (1970B)
Consolidated into 14.11 (2002B)

14.141

Low and Moderate Income Housing_Financial Assistance for Non-Profit Sponsors (1969B)
Nonprofit Housing Sponsor Loans_Planning Projects for Low and Moderate Income Families (1970B)
Nonprofit Sponsor Assistance Program (1980B)
Section 106(b) Nonprofit Sponsor Assistance Program (1987B)
Archived (1994B)

14.142

Property Improvement Loan Insurance_All Existing Structures (1970B)
Property Improvement Loan Insurance for Improving all Existing Structures and Building of

New Nonresidential Structures (1976B)

14.143

Property Improvement Loan Insurance_Construction of Nonresidential Farm Structures (1970B)
Archived (1976B)

14.144

Property Improvement Loan Insurance_Construction of Nonresidential or Nonfarm Structures (1970B)
Archived (1976B)

14.145

Property Improvement Loan Insurance_Existing Multifamily Dwellings (1970B)
Archived (1976B)

14.146

Public Housing_Acquisition, Construction, Rehabilitation (1970B)
Low Income Housing_Assistance Program (Public Housing) (1977B)
Public Housing_Acquisition (Turnkey and Conventional Production Methods) (1980U)
Public Housing (1983B)
Number changed to 14.850 (1983U)

14.147

Public Housing_Home Ownership (1970B)
Low Income Housing_Homeownership Opportunities for Low Income Families (1980U)
Number changed to 14.851 (1983U)

14.148

Low-Rent Public Housing_Leasing (1969B)
Public Housing_Leased (1970B)
Archived (1976B)

14.149

Rent Supplements for Disadvantaged Persons (1965B)
Rent Supplements (1969B)
Rent Supplements_Rental Housing for Lower Income Families (1970B)
Archived (1994B)
Reinstated (1999B)

14.150

Supplemental Loan Insurance_Management Type Cooperative Projects (1970B)
Archived (1971B)

14.151

FHA Mortgage Insurance for Multifamily Rental Housing (1969B)
Multifamily Housing Projects Supplemental Loans (1969B)
Supplemental Loan Insurance_Multifamily Rental Housing (1970B)

14.152

Mortgage Insurance_Experimental Homes (1972B)
Number changed to 14.507 (1984B)

14.153

Mortgage Insurance_Experimental Projects Other Than Housing (1972B)
Number changed to 14.508 (1984B)

14.154

Mortgage Insurance_Experimental Rental Housing (1972B)
Number changed to 14.509 (1985B)

14.155

Mortgage Insurance for the Purchase or Refinancing of Existing Multifamily Housing Projects (1975B)

14.156

Lower_Income Housing Assistance Program (1975B)
Lower Income Housing Assistance Program_Section 8 Moderate Rehabilitation (1990U)
Number changed to 14.856 (1992B)

14.157

Housing for the Elderly or Handicapped (1977B)
Housing for the Elderly or Disabled (1991B)
Supportive Housing for the Elderly (1992U)

14.158

Public Housing_Modernization of Projects (1977B)
Number changed from 14.607 (1977B)
Public Housing_Comprehensive Improvement Assistance Program (1977B)
Number changed to 14.852 (1983U)

14.159

Section 245 Graduated Payment Mortgage Program (1978U)

14.161

Single_Family Home Mortgage Coinsurance (1978U)
Archived (1990B)

14.162

Mortgage Insurance_Combination and Mobile Home Lot Loans (1979U1)
Mortgage Insurance_Combination and Manufactured (Mobile) Home Lot Loans (1984B)
Mortgage Insurance_Combination and Manufactured Home Lot Loans (1985B)

14.163

Mortgage Insurance_Cooperative Financing (1979U2)
Mortgage Insurance_Single Family Cooperative Housing (1990U)

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14.164 Operating Assistance for Troubled Multifamily Housing Projects (1980B) Archived (2009)	Housing Development Grants (1984B) Archived (1994B)	Archived (1997B)
14.165 Mortgage Insurance_Homes_Military Impacted Areas (1980B)	14.175 Adjustable Rate Mortgages (1984U)	14.177 Preservation of Affordable Housing (1993B) Consolidated into 14.194 (1998B)
14.166 Mortgage Insurance_Homes for Members of the Armed Services (1981B) Archived (2000B)	14.176 Section 221(d) Coinsurance for the Construction or Substantial Rehabilitation of Multifamily Housing Projects (1984U) Consolidated into 14.173 (1987U)	14.188 HFA Risk Sharing Pilot Program (1994B) Housing Finance Agencies (HFA) Risk Sharing Pilot Program (1994U) Housing Finance Agencies (HFA) Risk Sharing (2002B)
14.167 Mortgage Insurance_Two Year Operating Loss Loans, Section 223(d)(f) (1981U)	14.177 Housing Voucher Program (1986U) Section 8 Housing Voucher Program (1989U) Section 8 Rental Voucher Program (1991B) Number changed to 14.855 (1992B)	14.189 Qualified Participating Entities (QPE) Risk Sharing Pilot Program (1994U) Qualified Participating Entities (QPE) Risk Sharing (2002B)
14.168 Land Sales_Parcels of Subdivided Land (1982B) Number changed from 14.801 (1982B) Land Sales_Certain Subdivided Land (1989B) Land Sales-Certain Subdivided Land (Interstate Land Sales Registration) and Real Estate Settlement Procedures Act (2009)	14.178 Transitional Housing Demonstration Program (1987B) Supportive Housing Demonstration Program (1988B) Number changed to 14.235 (1990B)	14.190 Section 8 Community Investment (Pension Fund) Demonstration (1995B) Archived (1997U)
14.169 Housing Counseling Program (1982B) Number changed from 14.802 (1982B) Housing Counseling Assistance Program (1983B)	14.179 Nehemiah Housing Opportunity Grant Program (1988U) Archived (1997B)	14.191 Multifamily Housing Service Coordinators (1997U)
14.170 Congregate Housing Services Program (1982B) Number changed from 14.803 (1982B) Archived (1998B)	14.180 Lower Income Housing Assistance_Section 8 Existing Housing Certificate Program (1990U) Section 8 Rental Certificate Program (1991B) Number changed to 14.857 (1992B)	14.192 Safe Neighborhood Grants (1997U) Consolidated into 14.868 (1998B)
14.171 Manufactured Housing_Mobile Home Construction (1982B) Number changed from 14.804 (1982B) Manufactured Housing Construction and Safety Standards (1983B) Manufactured Home Construction and Safety Standards (1986U) Manufactured Home Dispute Resolution (2011)	14.181 Supportive Housing for Persons with Disabilities (1991B)	14.193 Federally Assisted Low-Income Housing Drug Elimination (1997U)
14.172 Mortgage Insurance_Growing Equity Mortgages (1983B)	14.182 Lower Income Housing Assistance Program_Section 8 New Construction/Substantial Rehabilitation (1991B) Archived (1997B)	14.194 Portfolio Reengineering Demonstration Consolidated from 14.187 (1998B) Archived (1999B)
14.173 Section 223(f)_Coinsurance for Private Lenders (1983B) Section 223(f)_Coinsurance for the Purchase or Refinancing of Existing Multifamily Projects (1983U) Multifamily Coinsurance (1987U) Archived (1993B)	14.183 Home Equity Conversion Mortgages (1991U)	14.195 Section 8 Housing Assistance Payments Program_Special Allocations (1998B) Section 8 Housing Assistance Payments Program (2009)
14.174	14.184 Mortgages Insurance for Single Room Occupancy (SRO) Projects (1991U)	14.196 Secondary Market for Non-Conforming Loans to Low-Wealth Borrowers Demonstration Program (1999B) Archived (2000B)
	14.185 Homeownership and Opportunity for People Everywhere (1992B) Archived (1997B)	14.197 Multifamily Assisted Housing Reform and Affordability Act (1999B) Multifamily Assisted Housing Reform and Affordability Act (2005) Multifamily Assisted Housing Reform and Affordability Act (2006) Archived (2010)
	14.186 Mortgage Insurance_Equity Loans (1992U)	

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14.198 Officer Next Door Sales Program (1999U) Good Neighbor Next Door Sales Program (2007)	Archived (1971B)	14.221 Urban Development Action Grants (1978B) Archived (1989U)
14.199 Multifamily Property Disposition (2000B) Multifamily Property Disposition (2005) Multifamily Property Disposition (2006)	14.210 Public Facility Loans (1965B) Archived (1971B)	14.222 Urban Homesteading (1978U) Archived (1992B)
14.200 Grants for Basic Water and Sewer Facilities (1965B) Water and Sewer Facilities Grants (1967B) Basic Water and Sewer Facilities (1969B) Basic Water and Sewer Facilities_Grants (1970B) Archived (1971B)	14.211 Surplus Land for Community Development (1969B) Surplus Land for Low and Moderate Income Housing (1980B) Archived (1983U)	14.223 Indian Community Development Block Grant Program (1979U) Consolidated into 14.229 (1982B) Reinstated/Split from 14.229 (1983U) Number changed to 14.862 (1994B)
14.201 Fellowships for City Planning and Urban Studies (1967B) City Planning and Urban Studies (1969B) Archived (1971B)	14.212 Urban Beautification Program (1965B) Urban Beautification and Improvement Grants (1969B) Archived (1971B)	14.224 Secretary's Discretionary Fund/Community Development Disaster Assistance (1980U) Archived (1981U)
14.202 Community Development Training and Research Grants (1967B) Community Development Training (1969B) Archived (1973B)	14.213 Urban Parks and Open Space Land in Build_Up Urban Areas (1970B) Archived (1970U)	14.225 Secretary's Discretionary Fund/Territories Program (1980U) Consolidated into 14.229 (1982B) Reinstated/Split from 14.229 (1983U) Community Development Block Grants/Secretary's Discretionary Fund/Insular Areas (1983U) Community Development Block Grants/Special Purpose Grants/Insular Area (1990B)
14.203 Urban Planning Assistance Program (1965B) Comprehensive Planning Assistance (1969B) Archived (1981U)	14.214 Urban Systems Engineering Demonstration Grants (1970B) Archived (1973U)	14.226 Secretary's Discretionary Fund/Innovative Grants Program (1980U) Archived (1981U)
14.204 Historic Preservation Grants (1967B) Transferred to the Department of Interior (1970U) Archived (1971B)	14.215 Workable Program for Community Improvement (1969B) Archived (1971B)	14.227 Secretary's Discretionary Fund/Community Development Technical (1981U) Consolidated into 14.229 (1982B) Reinstated/Split from 14.229 (1983U) Community Development Block Grants/Secretary's Discretionary Fund/Technical Assistance Program (1983U) Community Development Block Grants/Special Purpose Grants/Insular Area (1990B) Archived (2011) Reinstated (2012) Archived (2013)
14.205 HUD Clearinghouse Service (1970B)	14.216 Governmental Management_Technical Assistance and Information Services (1971B) Archived (1976B)	14.228 Community Development Block Grants/State's Program (1981U) Community Development Block Grants/State's program and Non-Entitlement Grants in Hawaii (2007)
14.206 Neighborhood Facilities Program (1965B) Neighborhood Facilities Grants (1970B) Archived (1971B)	14.217 Urban Studies Fellowships (1972B) Archived (1973B)	14.229 Community Development Block Grants/Secretary's Discretionary Fund (1982B) Split into 14.223, 14.225, 14.227 (1983U)
14.207 New Communities_Loan Guarantees (1970B) Archived (1983B)	14.218 Community Development Block Grants/Entitlement Grants (1975B)	
14.208 New Communities (1969B) New Communities_Supplementary Grants (1970B) Archived (1973B)	14.219 Community Development Block Grants/Discretionary Grants (1975B) Community Development Block Grants/Small Cities Program (1979B) Deleted (2008) Deleted (2008) Deleted (2008)	
14.209 Open Space Land Program (1965B) Open Space Land Acquisition and Development Grants (1970B)	14.220 Section 312 Rehabilitation Loans (1975B) Number changed from 14.308 (1975B) Archived (1992B)	

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14.230 Rental Housing Rehabilitation (1984B) Archived (1992B)	Number changed to 17.274 (2007)	Archived (2010)
14.231 Emergency Shelter Grants Program (1987B) Emergency Solutions Grant Program (2012)	14.244 Empowerment Zones Program (1994B) Renewal Community and Empowerment Zone Programs (2009) Archived (2009)	14.255 Community Development Block Grants/State's program and Non-Entitlement Grants in Hawaii (Recovery Act Funded) (2009) Archived (2010)
14.232 Community Development Block Grant/Secretary's Discretionary Fund/Special Projects (1987U) Archived (1990B)	14.245 Innovative Homeless Initiative Demonstration Program (1995U) Archived (1997B)	14.256 Neighborhood Stabilization Program (Recovery Act Funded) (2009) Archived (2010)
14.234 Community Development Work-Study Program (1988U) Number changed to 14.512 (1995B)	14.246 Community Development Block Grants/Economic Development Initiative (1996B) Community Development Block Grants/Brownfields Economic Development Initiative (2003B) Archived (2012)	14.257 Homelessness Prevention and Rapid Re-Housing Program (Recovery Act Funded) (2009) Archived (2010)
14.235 Supportive Housing Demonstration Program (1990B) Number changed from 14.178 (1990B) Supportive Housing Program (1993B) Consolidated from 14.236 (1993U)	14.247 Self-Help Homeownership Opportunity Program (1997B)	14.258 Tax Credit Assistance Program (Recovery Act Funded) (2009) Archived (2010)
14.236 Supplemental Assistance for Facilities to Assist the Homeless (1990B) Number changed from 14.510 (1990B) Consolidated into 14.235 (1993U)	14.248 Community Development Block Grants_Section 108 Loan Guarantees (1997U)	14.259 CPD's Transformation Initiative Technical Assistance (2010)
14.237 Historically Black Colleges and Universities Program (1991B) Number changed to 14.520 (2002B)	14.249 Section 8 Moderate Rehabilitation Single Room Occupancy (1998B)	14.260 Veterans Homelessness Prevention Demonstration Program (2010) Archived (2011) Reinstated (2011)
14.238 Shelter Plus Care (1992B)	14.250 Rural Housing and Economic Development (1999B) Archived (2010)	14.261 Homeless Management Information Systems Technical Assistance (2010)
14.239 HOME Investment in Affordable Housing (1992B) HOME Investment Partnerships Program (1993U)	14.251 Economic Development Initiative-Special Project, Neighborhood Initiative and Miscellaneous Grants (2008) Archived (2012)	14.262 Homeless Prevention and Rapid Re-Housing Program Technical Assistance (2010)
14.240 HOPE for Homeownership of Single Family Homes (1992B) Archived (1997B)	14.252 Section 4 Capacity Building for Affordable Housing and Community Development Grants (2008) Section 4 Capacity Building for Community Development and Affordable Housing (2009)	14.263 Rural Innovation Fund (2010) Archived (2012)
14.241 Housing Opportunities for Persons with AIDS (1992U)	14.253 Community Development Block Grant ARRA Entitlement Grants (CDBG-R)(Recovery Act Funded) (2009) Archived (2010)	14.264 Neighborhood Stabilization Program (2011) Archived (2013)
14.242 John Heinz Neighborhood Development Program (1993B) Number changed to 14.510 (1995B)	14.254 Community Development Block Grants/Special Purpose Grants/Insular Areas (Recovery Act Funded) (2009)	14.265 Rural Capacity Building for Community Development and Affordable Housing Grants (2012)
14.243 Opportunities for Youth_Youthbuild Program (1993U)		14.266 Border Community Capital Initiative (2012)
		14.267 Continuum of Care Program (2012)
		14.268

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Rural Housing Stability Assistance Program (2012)

14.269

Hurricane Sandy Community Development Block Grant Disaster Recovery Grants (CDBG-DR) (2013)

14.270

Appalachia Economic Development Initiative (2013)

14.271

Delta Community Capital Initiative (2013)

14.300

Model Neighborhoods in Demonstration Cities (1967B)
Model Cities Supplementary Grants (1970B)
Archived (1973B)

14.301

Basic Water and Sewer Facilities_Grants (1971B)
Archived (1973B)

14.302

Neighborhood Facilities Grants (1971B)
Archived (1973B)

14.303

Open Space Land Programs (1971B)
Archived (1973B)

14.304

Public Facility Loans (1971B)
Archived (1973B)

14.305

Housing Rehabilitation Loans and Grants (1971B)
Archived (1973B)

14.306

Neighborhood Development (1971B)
Archived (1973B)

14.307

Urban Renewal Projects (1971B)
Archived (1973U)

14.308

Housing Rehabilitation Grants (1971U)
Number changed to 14.220 (1975B)

14.309

Code Enforcement Grants (1971B)
Archived (1973B)

14.310

Teacher Next Door Initiative (2000B)

14.311

Single Family Property Disposition (2000B)

14.312

New Approach Anti-Drug Grants
Consolidated from 14.868 (2000B)

14.313

Dollar Home Sales (2000U)

14.314

Section 202 Assisted Living Conversion Program (2000U)
Assisted Living Conversion for Eligible Multifamily Housing Projects (2002B)

14.315

Emergency Capital Repair Grants for Multifamily Housing Projects Designated for Occupancy (2006)
Archived (2012)

14.316

Housing Counseling Training Program (2006)

14.317

Section 8 Housing Assistance Payments Program Special Allocations (Recovery Act Funded) (2009)

14.318

Assisted Housing Stability and Energy and Green Retrofit Investments Program (Recovery Act Funded) (2009)

14.319

Multifamily Energy Innovation Fund (2011)

14.321

FHA Technical Assistance Training Transformation Initiative (2010)

14.322

Tenant Resource Network Program (2011)

14.323

Emergency Homeowners Loan Program (2011)
Archived (2013)

14.326

Project Rental Assistance Demonstration (PRAD) Program of Section 811 Supportive Housing for Persons with Disabilities (2012)
Number changed from 14.006 (2012)
Project Rental Assistance Demonstration (PRA Demo) Program of Section 811 Supportive Housing for Persons with Disabilities (2012)

14.327

Performance Based Contract Administrator Program (2012)
Number changed from 14.007 (2012)

14.400

Equal Opportunity in Housing (1969B)

14.401

Fair Housing Assistance Program (1980B)
Fair Housing Assistance Program_State and Local (1983B)

14.402

Non-Discrimination in Federally-Assisted Programs (On the Basis of Age) (1982)
Archived (2009)

14.403

Community Housing Resource Board Program (1982)
Archived (1992B)

14.404

Non-Discrimination in Federally Assisted Programs (On the Basis of Handicap) (1983U)
Non-Discrimination in Federally Assisted and Conducted Programs (On the Basis of Handicap) (1988B)
Non-Discrimination in Federally Assisted and Conducted Programs (On the Basis of Disability) (1993U)
Archived (2009)

14.405

Non-Discrimination in Federally Assisted Programs (On the Basis of Race, Color or National Origin) (1983U)
Archived (2009)

14.406

Non-Discrimination in the Community Development Block Grant Program (On the Basis of Race, Color, National Origin, Sex, Handicap or Age) (1983U)
Non-Discrimination in the Community Development Block Grant Program (On the Basis of Race, Color, National Origin, Religion, Sex, Disability or Age) (1993U)
Non-Discrimination in the Community Development Block Grant Program (On the Basis of Race, Color, National Origin, Religion, or Sex) (1996B)
Archived (2009)

14.407

Architectural Barriers Act Enforcement (1988B)
Archived (2009)

14.408

Fair Housing Initiative Program (FHIP) Administrative Enforcement Initiative (1988U)
Fair Housing Initiatives and Administrative Enforcement Initiative Program (1996B)
Fair Housing Initiatives Program (2003B)

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14.409 Fair Housing Initiative Program (FHIP) Education and Outreach Initiative (1988U)	(1965B) Archived (1970U)	(1995B)
14.410 Fair Housing Initiative Program (FHIP) Private Enforcement Initiative (1988U)	14.501 Mortgage Insurance_Experimental Homes (1970B) Archived (1971B)	14.512 Community Development Work-Study Program Number changed from 14.234 (1995B) Deleted (2007)
14.411 Public Housing Affirmative Compliance Actions Program (1990B) Archived (1997B)	14.502 Mortgage Insurance_Experimental Projects Other Than Housing (1970B) Archived (1971B)	14.513 Hispanic-Serving Institutions Work-Study Program (1996U) Archived (2000U)
14.412 Employment Opportunities for Lower Income Persons and Businesses (1992U) Archived (2009)	14.503 Mortgage Insurance for Experimental Housing (1969B) Mortgage Insurance_Experimental Rental Housing (1970B) Archived (1971B)	14.514 Hispanic-Serving Institutions Assisting Communities (1999B) Archived (2000B) Reinstated (2000U) Archived (2011)
14.413 Fair Housing Initiatives Program (FHIP) Fair Housing Organization Initiatives (1993B) Fair Housing Initiatives Program (FHIP) Fair Housing Organizations Initiative (1994U) Fair Housing Initiatives and Fair Housing Organizations Initiative Program (1996B)	14.504 Urban Planning Research and Demonstration (1970B) Archived (1973B)	14.515 Alaska Native/Native Hawaiian Institutions Assisting Communities (2000B) Archived (2011)
14.414 Non-Discrimination on the Basis of Disability by Public Entities (1993U) Archived (2009)	14.505 Urban Renewal Demonstration Grant Program (1965B) Archived (1971B)	14.516 Doctoral Dissertation Research Grants (2001B)
14.415 Non-Discrimination on the Basis of Sex in Education Programs and Activities Receiving Federal Financial Assistance (2001B) Archived (2009)	14.506 General Research and Technology Activity (1972B)	14.517 Early Doctoral Student Research Grants (2001B) Archived (2010)
14.416 Education and Outreach Initiatives (2009) Education and Outreach Initiatives (2011)	14.507 Mortgage Insurance_Experimental Homes (1984B) Number changed from 14.152 (1984B) Archived (1997B)	14.518 HUD Urban Scholars Fellowship Grants (2001B)
14.417 Fair Housing Organizaiton Initiatives (2009) Fair Housing Organization Initiatives (2011)	14.508 Mortgage Insurance_Experimental Projects Other Than Housing (1984B) Number changed from 14.153 (1984B) Archived (1997B)	14.519 Tribal Colleges and Universities Program (2001B) Archived (2011)
14.418 Private Enforcement Initiatives (2009)	14.509 Mortgage Insurance_Experimental Rental Housing (1985B) Number changed from 14.154 (1985B) Archived (1997B)	14.520 Historically Black Colleges and Universities Program (2002B) Archived (2011)
14.419 Section 3 Technical Assistance (2010) Section 3 Coordination and Implementation (2013)	14.510 Supplemental Assistance for Facilities to Assist the Homeless (1988B) Number changed to 14.236 (1990B) Joint Community Development Program, Number changed from 14.242 (1995B) Archived (1997B)	14.521 Universities Rebuilding America Program - Community Design (2005)
14.420 Fair Housing Initiatives Program Enforcement Testing Technical Assistance (2010)	14.511 Community Outreach Partnership Center Program	14.523 Transformation Initiative Research Grants: Sustainable Community Research Grant Program (2010)
14.421 Limited English Proficiency Initiative (2011)		14.524 Transformation Initiative Research Grants: Natural Experiments (2010)
14.500 Low Income Housing Demonstration Program		14.525 Transformation Initiative Research Grants: Demonstration and Related Small Grants (2010)
		14.529

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Fellowship Placement Pilot Program (2011)

14.534

Strong Cities Strong Communities (SC2) National Resource Network (2012)

14.535

Transformation Initiative: Rental Assistance Demonstration Small Research Grant Program (2013)

14.550

Solar Energy and Energy Conservation Bank (1983U)
Incorporated into 14.126 (1989B)

14.600

Certified Areas Grants (1970B)
Archived (1971B)

14.601

Code Enforcement (1965B)
Code Enforcement Grants (1970B)
Archived (1971B)

14.602

Community Renewal Program (1965B)
Community Renewal Planning Grants (1970B)
Archived (1971B)

14.603

Demolition of Unsound Structures (1967B)
Demolition Grants (1969B)
Archived (1971B)

14.604

Housing Rehabilitation Grants (1969B)
Housing Rehabilitation Loans and Grants (1970B)
Archived (1971B)

14.605

Interim Assistance for Blighted Areas (1969B)
Interim Assistance for Blighted Areas Grants (1970B)
Archived (1971B)

14.606

Neighborhood Development Program (1969B)
Archived (1971B)

14.607

Low-Rent Public Housing_Modernization of Projects (1969B)
Public Housing_Modernization of Projects (1970B)
Number changed to 14.158 (1977B)

14.608

Relocation Assistance and Payments (1969B)
Archived (1971B)

14.609

Urban Renewal Projects (1965B)
Archived (1971B)

14.701

Disaster Assistance (1973U)
Transferred to 83.300 (1980B)

14.702

State Disaster Preparedness Grants (1973U)
Transferred to 83.203 (1980B)

14.703

Sustainable Communities Regional Planning Grant Program (2010)

14.704

Community Challenge Planning Grants and the Department of Transportation's TIGER II Planning Grants (2010)

14.705

Capacity Building for Sustainable Communities (2011)

14.800

Neighborhood Self-Help Development (1980B)
Archived (1981U)

14.801

Land Sales_Parcel of Subdivided Land (1980B)
Number changed from 14.004 (1980B)
Number changed to 14.168 (1982B)

14.802

Housing Counseling Service (1969B)
Archived (1970B)
Reinstated (1980U)
Housing Counseling Program (1980U)
Number changed to 14.169 (1982B)

14.803

Congregate Housing Services Program (1980U)
Number changed to 14.170 (1982B)

14.804

Manufactured Housing_Mobile Home Construction (1981U)
Number changed to 14.171 (1982B)

14.850

Public Housing (1983U)
Number changed from 14.146 (1983U)
Public and Indian Housing (1984B)

14.851

Low Income Housing_Homeownership Opportunities for Low Income Families (1983U)
Number changed from 14.147 (1983U)
Consolidated into 14.867 (1998B)

14.852

Public Housing_Comprehensive Improvement Assistance Program (1983U)
Number changed from 14.158 (1983U)
Public and Indian Housing_Comprehensive Improvement Assistance Program (1984B)
Public Housing_Comprehensive Improvement Assistance Program (1998B)
Consolidated into 14.872 (2000B)

14.853

Public Housing Resident Management Program (1989B)
Public and Indian Housing Tenant Opportunity Program (1995B)
Public Housing_Tenant Opportunities Program (1998B)
Consolidated into 14.870 (2001B)

14.854

Public Housing Drug Elimination Program (1989U)
Public and Indian Housing Drug Elimination Program (1992B)
Portion incorporated from 14.863 (1997B)

14.855

Section 8 Rental Voucher Program
Number changed from 14.177 (1992B)
Consolidated into 14.871 (2000B)

14.856

Lower Income Housing Assistance Program_Section 8 Moderate Rehabilitation
Number changed from 14.156 (1992B)
Archived (1998B)
Reinstated (1999B)

14.857

Section 8 Rental Certificate Program
Number changed from 14.180 (1992B)
Consolidated into 14.871 (2000B)

14.858

HOPE for Public and Indian Housing Homeownership (1992B)
Archived (1997B)

14.859

Public and Indian Housing_Comprehensive Grant Program (1993U)
Public Housing_Comprehensive Grant Program (1998B)
Consolidated into 14.872 (2000B)

14.860

Public and Indian Housing Early Childhood Development (1994U)
Head Start Public Housing Early Childhood/Development Demonstration (1995B)
Archived (1996U)

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14.861 Public and Indian Housing Family Investment Centers Program (1994B) Archived (1996U)	Consolidated from 14.852, 14.859 (2000B)	14.887 Native American Housing Block Grants (Competitive) Recovery Act Funded (2009) Archived (2010) Reinstated (2010)
14.862 Indian Community Development Block Grant Program Number changed from 14.223 (1994B)	14.873 Native Hawaiian Housing Block Grants (2003B)	14.889 Choice Neighborhoods (2010) Choice Neighborhoods Implementation Grants (2011)
14.863 Public and Indian Housing Youth Sports Program (1995B) Portion incorporated into 14.854 (1997B)	14.874 Loan Guarantees for Native Hawaiian Housing (2004)	14.890 Capital Fund Education and Training Community Facilities (2010) Archived (2011) Reinstated (2012)
14.864 Economic Development and Supportive Services Program (1997B) Consolidated into 14.870 (2001B)	14.875 Public Housing Neighborhood Networks Grants (2005) Archived (2009)	14.891 Public and Indian Housing Transformation Initiative (TI) Technical Assistance (TA) (2010)
14.865 Public and Indian Housing_Indian Loan Guarantee Program (1997B)	14.876 Resident Opportunity and Supportive Services - Elderly and Persons with Disabilities (2006) Archived (2010)	14.892 Choice Neighborhoods Planning Grants (2012) Number changed from 14.005 (2012)
14.866 Revitalization of Severely Distressed Public Housing (1997U) Demolition and Revitalization of Severely Distressed Public Housing (1999U)	14.877 Public Housing Family Self-Sufficiency under Resident Opportunity and Supportive Services (2006)	14.893 Office of Native American Programs Training and Technical Assistance for Indian Housing Block Grant Program (2012)
14.867 Indian Housing Block Grants Consolidated from 14.851 (1998B)	14.878 Affordable Housing Development in Main Street Rejuvenation Projects (2006)	14.894 Office of Native American Programs Training and Technical Assistance for Native Hawaiian Housing Block Grant Program (2012)
14.868 New Approach Anti-Drug Grants Consolidated from 14.192 (1998B) Consolidated into 14.312 (2000B)	14.879 Mainstream Vouchers (2006)	14.900 Lead-Based Paint Hazard Reduction Grant Program (1993U) Lead-Based Paint Hazard Control Program (1994B) Lead-Based Paint Hazard Control in Priority Housing (1996B) Lead-Based Paint Hazard Control in Privately-Owned Housing (1997B)
14.869 Title VI Federal Guarantees for Financing Tribal Housing Activities (1999B)	14.880 Family Unification Program (FUP) (2008)	14.901 Healthy Homes Initiative Grants (2000B) Healthy Homes Demonstration Grants (2003B) Healthy Homes Implementation Grant Program (2010) Healthy Homes Demonstration Grants (2010) Archived (2010)
14.870 Resident Opportunity and Supportive Services (1999B) Consolidated from 14.853, 14.864 (2001B) Resident Opportunity and Supportive Services (2006) Resident Opportunity and Supportive Services - Homeownership and Family (2006) Resident Opportunity and Supportive Services - Service Coordinators (2008)	14.881 Moving to Work Demonstration Program (2008)	14.902 Lead Technical Studies Grants (2003B) Archived (2009) Reinstated (2010)
14.871 Section 8 Housing Choice Vouchers Consolidated from 14.855, 14.857 (2000B)	14.882 Native American Housing Block Grants (Formula) Recovery Act Funded (2009) Archived (2011)	14.903 Operation Lead Elimination Action Program (2003B)
14.872 Public Housing Capital Fund	14.883 Native Hawaiian Housing Block Grants (Recovery Act Funded) (2009) Archived (2010)	
	14.884 Public Housing Capital Fund Competitive (Recovery Act Funded) (2009) Archived (2012)	
	14.885 Public Housing Capital Fund Stimulus (Formula) Recovery Act Funded (2009) Archived (2010)	
	14.886 Indian Community Development Block Grant Program (Recovery Act Funded) (2009) Archived (2012)	

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Archived (2009)

14.904

Lead Outreach Grants (2003B)
Archived (2009)

14.905

Lead Hazard Reduction Demonstration Grant Program (2003B)

14.906

Healthy Homes Technical Studies Grants (2004)
Lead and Healthy Homes Technical Studies Grants (2010)
Healthy Homes Technical Studies Grants (2010)

14.907

Lead-Based Paint Hazard Control in Privately-Owned Housing (Recovery Act Funded) (2009)
Archived (2010)

14.908

Healthy Homes Demonstration Grants (Recovery Act Funded) (2009)
Archived (2010)

14.909

Lead Hazard Reduction Demonstration Grant Program (Recovery Act Funded) (2009)
Archived (2010)

14.910

Healthy Homes Technical Studies Grants (Recovery Act Funded) (2009)
Archived (2010)

14.911

Green and Healthy Housing Technical Studies Grants (2009)
Archived (2010)

14.912

Lead Hazard Control Capacity Building (2009)
Archived (2010)

14.913

Healthy Homes Implementation Grant Program (2010)
Healthy Homes Production Grant Program (2010)
Healthy Homes Production Program (2013)

14.914

Asthma Intervention in Multi-Family Housing Grant Program (2010)
Asthma Interventions in Public and Assisted Multifamily Housing (2010)

DEPARTMENT OF THE INTERIOR

Appalachian Region_Sewage Treatment Works

Grants (1967B)
Archived (1969B)

Solid Waste Disposal (1967B)
Archived (1969B)

Identification of Mentally Retarded American Indian Children (1965B)
Archived (1970B)

Mining Area Restoration in the Appalachian Region (1965B)
Appalachian Region_Mining Area Restoration (1967B)
Archived (1970B)

American Indian Credit and Financing Program (1965B)
Archived (1967B)

American Indian Education Financial Assistance (1965B)
Archived (1967B)

On-Reservation Adult Education Program for American Indians (1965B)
Archived (1967B)

Post High School Training for American Indian Youth (1965B)
Archived (1967B)

Summer Programs for American Indian Students (1965B)
Archived (1967B)

Programs for American Indians (1969B)
Split into "Indian Programs" (1970B)

15.001

Anadromous and Great Lakes Fisheries Conservation (1970B)
Transferred to 11.405 (1971B)

15.002

Commercial Fisheries Disaster Assistance (1970B)
Transferred to 11.406 (1971B)

15.003

Commercial Fisheries Research and Development (1969B)
Transferred to 11.407 (1971B)

15.004

Fishermen_Reimbursement of Losses (1970B)
Transferred to 11.410 (1971B)

15.005

Fisheries Assistance (1965B)
Fisheries Loan Fund (1970B)
Transferred to 11.409 (1971B)

15.006

Fishery Marketing Assistance (1970B)
Transferred to 11.412 (1971B)

15.007

Fishery Products Inspection and Certification (1970B)
Transferred to 11.413 (1971B)

15.008

Fishing Statistics and Market News (1970B)
Transferred to 11.414 (1971B)

15.009

Fishing Vessel Mortgage and Loan Insurance (1970B)
Transferred to 11.415 (1971B)

15.010

Jellyfish Control (1969B)
Transferred to 11.416 (1971B)

15.020

Aid to Tribal Governments (1997B)

15.021

Consolidated Tribal Government Program (1997B)
Archived (1999B)
Reinstated (2002B)
Archived (2010)
Reinstated (2010)

15.022

Tribal Self-Governance (1997B)
Archived (2010)
Reinstated (2010)

15.023

Tribal Self-Governance Grants (1997B)
Archived (2001B)

15.024

Indian Self-Determination Contract Support (1997B)
Archived (2010)
Reinstated (2010)

15.025

Services to Indian Children, Elderly and Families (1997B)
Archived (2010)
Reinstated (2010)

15.026

Indian Adult Education (1997B)
Archived (2010)
Reinstated (2010)

15.027

Assistance to Tribally Controlled Community

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Colleges and Universities (1997B) Archived (2010) Reinstated (2010) Archived (2010) Reinstated (2011)	(1997B)	15.052 Litigation Support for Indian Rights (1997B)
15.028 Tribally Controlled Community College Endowments (1997B) Archived (2010) Reinstated (2010)	15.040 Real Estate Programs_Indian Lands (1997B) Archived (2010) Reinstated (2010)	15.053 Attorney Fees_Indian Rights (1997B)
15.029 Tribal Courts (1997B) Archived (2010) Reinstated (2010)	15.041 Environmental Quality Services_Indian Programs (1997B) Environmental Management_Indian Programs, Consolidated from 15.056 (1999B)	15.054 Unresolved Indian Rights Issues (1997B) Archived (1999B)
15.030 Indian Law Enforcement (1997B) Archived (2010) Reinstated (2010)	15.042 Indian School Equalization Program (1997B) Archived (2010) Reinstated (2010)	15.055 Alaskan Indian Allotments and Subsistence Preference_Alaska National Interest Lands Conservation Act (1997B) Archived (2009)
15.031 Indian Community Fire Protection (1997B) Archived (2010) Reinstated (2010)	15.043 Indian Child and Family Education (1997B) Archived (2010) Reinstated (2010)	15.056 Waste Management_Indian Lands (1997B) Consolidated into 15.041 (1999B)
15.032 Indian Economic Development (1997B) Archived (2010) Reinstated (2010)	15.044 Indian Schools_Student Transportation (1997B)	15.057 Navajo-Hopi Indian Settlement Program (1997B) Archived (2010) Reinstated (2010)
15.033 Road Maintenance_Indian Roads (1997B)	15.045 Assistance for Indian Children with Severe Disabilities (1997B) Archived (2010) Reinstated (2010) Archived (2013)	15.058 Indian Post Secondary Schools (1997B) Archived (2010) Reinstated (2010)
15.034 Agriculture on Indian Lands (1997B)	15.046 Administrative Cost Grants for Indian Schools (1997B)	15.059 Indian Graduate Student Scholarships (1997B)
15.035 Forestry on Indian Lands (1997B) Archived (2010) Reinstated (2010)	15.047 Indian Education Facilities, Operations, and Maintenance (1997B) Archived (2010) Reinstated (2010)	15.060 Indian Vocational Training_United Tribes Technical College (1997B) Archived (2010) Reinstated (2010)
15.036 Indian Rights Protection (1997B) Archived (2010) Reinstated (2010)	15.048 Bureau of Indian Affairs Facilities_Operations and Maintenance (1997B)	15.061 Indian Job Placement_United Sioux Tribes Development Corporation (1997B) Archived (2001B) Reinstated (2002B)
15.037 Water Resources on Indian Lands (1997B) Archived (2010) Reinstated (2010)	15.049 Irrigation Operations and Maintenance on Indian Lands (1997B)	15.062 Replacement and Repair of Indian Schools (1997B)
15.038 Minerals and Mining on Indian Lands (1997B) Archived (2010) Reinstated (2010)	15.050 Unresolved Indian Hunting and Fishing Rights (1997B)	15.063 Construction and Repair of Indian Detention Facilities (1997B) Improvement and Repair of Indian Detention Facilities (1999B) Archived (2010) Reinstated (2010)
15.039 Fish, Wildlife, and Parks Programs on Indian Lands	15.051 Endangered Species on Indian Lands (1997B) Archived (2010) Reinstated (2010)	15.064 Structural Fire Protection_Bureau of Indian Affairs Facilities (1997B) Archived (2010)

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Reinstated (2010)
Archived (2010)

15.065
Safety of Dams on Indian Lands (1997B)

15.100
Adult Vocational Training_American Indians (1965B)
Indian_Adult Education (1970B)
Indian Education_Adults (1972B)
Archived (1978B)

15.101
Indian_Agricultural Extension (1970B)
Indian Agricultural Extension (1972B)
Archived (1978B)

15.102
Indian_Aid to Tribal Governments (1970B)
Indian Tribal Government Operations (1972B)
Archived (1978B)

15.103
Social Services to American Indian Children (1965B)
Indian_Child Welfare Assistance (1970B)
Indian Social Services_Child Welfare Assistance (1972B)
Consolidated into 15.113 (1999B)

15.104
Indian_Community Development (1970B)
Indian Community Development (1972B)
Archived (1974B)

15.105
Indian_Contracts with Indian School Boards (1970B)
Indian Education_Contracts with Indian School Boards (1972B)
Indian Education_Contracts with Indian Tribal Organizations (1976B)
Archived (1978B)

15.106
Indian_Construction, Maintenance, and Operation of Irrigation Projects and Related Power Systems (1970B)
Indian Lands_Irrigation Construction, Maintenance, Operation, and Related Power Systems (1972B)
Archived (1978B)

15.107
Indian_Engineering Technical Assistance (1970B)
Indian Engineering Technical Assistance (1972B)
Indian Technical Assistance (1973U)
Indian Action Team Program (1976B)
Archived (1981U)

15.108
Direct Employment Assistance_American Indians (1965B)
American Indians_Direct Employment Assistance (1967B)
Indian_Employment Assistance (1970B)
Indian Employment Assistance (1972B)

15.109
Indian_Federal School Facilities_Dormitory Operations (1970B)
Indian Education_Dormitory Operations (1972B)
Archived (1978B)

15.110
Federal Schools for American Indians (1965B)
Indian_Federal Schools (1970B)
Indian Education_Federal Schools (1972B)
Archived (1978B)

15.111
Indian_Forest Fire Suppression and Emergency Rehabilitation (1970B)
Indian Forests_Fire Suppression and Emergency Rehabilitation (1972B)
Archived (1978B)

15.112
Indian_Forestry Development (1970B)
Indian_Forest Management, Protection and Development (1971B)
Indian Forests_Management, Protection, and Development (1972B)
Archived (1978B)

15.113
General Assistance and Social Services to American Indians (1965B)
Indian_General Assistance (1970B)
Indian Social Services_General Assistance (1972B)
Consolidated from 15.103 (1999B)
Indian Social Services_Welfare Assistance (2001B)

15.114
Scholarship Aid for American Indian Students in Higher Education (1965B)
Indian_Higher Education (1970B)
Indian Education_Colleges and Universities (1972B)
Indian Education_Higher Education Grant Program (1982B)

15.115
Indian_Housing Development (1970B)
Indian Housing_Development (1972B)
Consolidated into 15.141 (1978B)

15.116
Indian_Housing Improvement (1970B)
Indian Housing_Improvement (1972B)

Consolidated into 15.141 (1978B)

15.117
American Indian Industrial Development Program (1965B)
Indian_Industrial and Tourism Development (1970B)
Indian_Industrial and Tourism Development and On-the-Job Training (1971B)
Indian Industrial and Tourism Development and On-the-Job Training (1972B)
Indian Business Enterprise Development (1975B)
Archived (1979U1)

15.118
Indian_Investment of Tribal Trust Funds and Individual Indian Monies (1970B)
Indian Investments_Tribal Trust Funds and Individual Indian Monies (1972B)
Archived (1978B)

15.119
Indian_Range Management (1970B)
Indian Lands_Range Management (1972B)
Archived (1978B)

15.120
Indian_Real Estate Appraisal (1970B)
Indian Lands_Real Estate Appraisal (1972B)
Archived (1978B)

15.121
Indian_Real Property Management (1970B)
Indian Lands_Real Estate Services (1972B)
Archived (1978B)

15.122
American Indians_Construction of Reservation Roads and Bridges (1967B)
Indian_Reservation Roads and Bridges (1970B)
Indian Roads_Reservation Roads and Bridges (1972B)
Archived (1978B)

15.123
Indian_Revolving Fund for Expert Assistance Loans (1970B)
Indian Loans_Claims Assistance (1972B)
Archived (1993B)

15.124
Indian_Revolving Fund for Loans (1970B)
Indian Loans_Economic and Social Development (1972B)
Indian Loans_Economic Development (1975B)

15.125
Indian_Roads Maintenance (1970B)
Indian Roads_Maintenance (1972B)
Archived (1978B)

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- 15.126**
Indian_Soil and Moisture Conservation (1970B)
Indian_Lands_Soil and Moisture Conservation (1972B)
Archived (1978B)
- 15.127**
Indian_Transfer of Federally-Owned Buildings, Improvements, and/or Facilities (1970B)
Indian Property Acquisition_Transfer of Federally Owned Buildings, Improvements, and/or Facilities (1972B)
Archived (1978B)
- 15.128**
Indian_Transfer of Indian School Properties (1970B)
Indian Property Acquisition_Transfer of Indian School Properties (1972B)
Archived (1978B)
- 15.129**
Indian_Tribal Accounting Services (1970B)
Indian Accounting Services for Tribes (1972B)
Archived (1978B)
- 15.130**
Financial Assistance to School Districts for American Indian Education (1965B)
American Indians_Financial Assistance to School Districts (1967B)
Indians_Assistance to Non-Federal Schools (1970B)
Indian Education_Assistance to Non-Federal Schools (1972B)
Indian Education_Assistance to Schools (1976B)
- 15.131**
Indians_Law and Order (1970B)
Indian_Judicial, Crime Prevention, and Enforcement Services (1971B)
Indian Judicial, Prevention, and Enforcement Services (1972B)
Indian Law Enforcement Services (1974B)
Split into 15.131, 15.139 (1977B)
Archived (1978B)
- 15.132**
Indian Social Services_Counseling (1972B)
Archived (1978B)
- 15.133**
Native American Business Development Institute (2008)
Archived (2010)
Reinstated (2012)
- 15.134**
Indian Tribal Affairs Management (1972B)
Incorporated into 15.102 (1974B)
- 15.135**
Indian Water Rights (1973U)
Indian Rights Protection (1974B)
Archived (1978B)
- 15.136**
Indian_Lands_Environmental Quality Services (1975B)
Archived (1978B)
- 15.137**
Facility School Construction Planning and Equipping (1976B)
Archived (1978B)
- 15.138**
Indian_Lands_Minerals and Mining (1976B)
Archived (1978B)
- 15.139**
Indian Tribal Judicial Services_Contracts with Indian Tribal Organizations (1977B)
Split from 15.131 (1977B)
Archived (1978B)
- 15.140**
Indian_Lands_Wildlife and Parks (1977B)
Archived (1978B)
- 15.141**
Indian Housing Assistance (1978B)
Consolidated from 15.115, 15.116 (1978B)
- 15.142**
Self Determination Grants_Indian Tribal Governments (1978B)
Archived (1996U)
- 15.143**
Training and Technical Assistance_Indian Tribal Governments (1978B)
Archived (1996U)
- 15.144**
Indian Child Welfare Act_Title II Grants (1980B)
- 15.145**
Indian Grants_Economic Development (Indian Grant Program) (1986B)
Archived (1997B)
- 15.146**
Ironworker Training Program (1993U)
- 15.147**
Tribal Courts_Trust Reform Initiative (2003B)
- 15.148**
Tribal Energy Development Capacity Grants (2008)
- 15.149**
FOCUS on Student Achievement Project (2011)
- 15.150**
Juvenile Detention Education (2011)
- 15.151**
Education Program Enhancements (2011)
- 15.152**
Land Buy-Back Program For Tribal Nations (2013)
- 15.153**
Hurricane Sandy Disaster Relief Coastal Resiliency Grants. (2013)
- 15.200**
Land Lease for Airports (1970B)
Archived (1979B)
- 15.201**
Leases, Permits, and Easements for Public Works (1970B)
Archived (1977U)
- 15.202**
Real Property for Public Parks, Public Recreational Areas, and Public Purposes (1965B)
Public Land for Recreation, Public Purposes, and Historic Monuments (1970B)
Archived (1979B)
- 15.203**
Public Land for Rights-of-Way (1970B)
Archived (1979B)
- 15.204**
Real Property Sales for Residential, Commercial, Agricultural, Industrial, or Public Uses or Development (1965B)
Split into 15.209, 15.211 (1971B)
- 15.205**
Exchange of Public Lands (1971B)
Archived (1977B)
- 15.206**
Grazing Privileges (1971B)
Grazing Preference (1977U)
Archived (1979B)
Reinstated (1981U)
Mineral Privileges (1982B)
Archived (1983B)
- 15.207**
Mineral Leasing (1971B)
Archived (1979B)
Reinstated (1981U)
Archived (1983B)

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15.208 Non-Sale Disposals From Public Lands (1971B) Non-Sale Disposals of Material on Public Lands (1973B) Archived (1979B)	Cooperative Agreements for Research to Public Lands Management (1983U) Cooperative Agreements for Research in Public Lands Management (1985U) Archived (1994B)	15.235 Southern Nevada Public Land Management (2008)
15.209 Sale of Contiguous Public Lands (1971B) Split from 15.204 (1971B) Archived (1972B)	15.222 Cooperative Inspection Agreements with States and Tribes (1990B)	15.236 Environmental Quality and Protection Resource Management (2008)
15.210 Sale of Forest Products (1971B) Archived (1973B)	15.223 Recreation and Cultural Resource Management (1992B) Split into 15.224, 15.225 (1994B)	15.237 Rangeland Resource Management (2008)
15.211 Sale of Isolated Public Lands (1971B) Split from 15.204 (1971B) Archived (1977B)	15.224 Cultural Resource Management Split from 15.223 (1994B)	15.238 Challenge Cost Share (2008)
15.212 Sale of Public Lands Thought to be Private (1971B) Archived (1979B)	15.225 Recreation Resource Management Split from 15.223 (1994B)	15.239 Management Initiatives (2008)
15.213 Special Land Use Permits (1971B) Archived (1977B)	15.226 Payments in Lieu of Taxes (1999B)	15.240 Helium Resource Management (2008)
15.214 Non-Sale Disposals of Mineral Material (1972B) Archived (1979B) Reinstated (1981U)	15.227 Distribution of Receipts to State and Local Governments (2001B)	15.241 Indian Self-Determination Act Contracts, Grants and Cooperative Agreements (2009)
15.215 Sale of Mineral Material (1972B) Archived (1979B) Reinstated (1981U) Archived (1983B)	15.228 Wildland Urban Interface Community and Rural Fire Assistance (2001B) National Fire Plan - Wildland Urban Interface Community Fire Assistance (2005) National Fire Plan - Wildland Urban Interface Community Fire Assistance (2006)	15.242 National Fire Plan - Rural Fire Assistance (2005)
15.217 Cooperative Agreements with Interpretive Associations (1981U) Cooperative Agreements with Cooperating Associations (1983B) Archived (1985B)	15.229 Wild Horse and Burro Resource Management (2005)	15.250 Regulation of Surface Mining and Surface Effects of Underground Coal Mining (1978U) Regulation of Surface Coal Mining and Surface Effects of Underground Coal Mining (1980U1)
15.218 Special Recreation Permits (1981U) Archived (1985B)	15.230 Invasive and Noxious Plant Management (2008)	15.251 Grants for Mining and Mineral Resources, Research Institutes, Mineral Research Projects, Scholarships and Fellowships (1978U) Grants for Mining and Mineral Resources and Research Institutes, Mineral Research Projects, Scholarships and Fellowships (1980B) Archived (1981U)
15.219 Wildlife Habitat Management Technical Assistance (1981U) Archived (1995B)	15.231 Fish, Wildlife and Plant Conservation Resource Management (2005)	15.252 Abandoned Mine Land Reclamation Program (1979U2) Abandoned Mine Land Reclamation (AMLR) Program (1982B)
15.220 Law Enforcement (1981U) Archived (1983B)	15.232 Wildland Fire Research and Studies Program (2008)	15.253 Not-for-Profit AMD Reclamation (1999B)
15.221	15.233 Forests and Woodlands Resource Management (2008)	15.254 Summer Watershed Intern (2002B) Summer Intern and Year-Long OSM/VISTA Watershed Support (2011) OSM/VISTA AmeriCorps Program (2013)
	15.234 Secure Rural Schools and Community Self-Determination (2008)	15.255 Applied Science Program Cooperative Agreements Related to Coal Mining and Reclamation (2007) Science and Technology Projects Related to Coal Mining and Reclamation (2012)

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15.300 Coal Mine Health and Safety (1970B) Coal Mine Health and Safety Grants (1971B) Number changed to 15.350 (1973U)	15.352 Mine Health and Safety Education and Training (1973U) Number changed from 15.305 (1973U) Transferred to 17.602 (1978B)	American Engineering Record (1982B)
15.301 Control of Fires in Inactive Coal Deposits (1970B) Archived (1979B)	15.400 Outdoor Recreation Program (1965B) Outdoor Recreation_Financial Assistance (1969B) Outdoor Recreation_Acquisition and Development (1971B) Outdoor Recreation_Acquisition, Development and Planning (1974B) Number changed to 15.916 (1981B)	15.411 Historic Preservation Grants-In-Aid (1978B) Number changed from 15.904 (1978B) Number changed to 15.904 (1981B)
15.302 Mine Health and Safety Counseling (1970B) Mine Health and Safety Counseling and Technical Assistance (1971B) Number changed to 15.351 (1973U)	15.401 Outdoor Recreation Assistance (1967B) Outdoor Recreation Financial Assistance (1969B) Outdoor Recreation Planning_Financial Assistance (1970B) Outdoor Recreation State Planning_Financial Assistance (1971B) Incorporated into 15.400 (1974B)	15.412 Archeological Investigations and Salvage (1978B) Number changed from 15.908 (1978B) Archived (1980B)
15.303 Mineral Research_Grants and Contracts (1970B) Mineral Resources and Environmental Development (1972B) Mineral and Materials Research and Development (1974U) Archived (1975U)	15.402 Outdoor Recreation Technical Assistance (1967B) Recreation_Technical Assistance (1980U1) Number changed to 15.917 (1981B)	15.413 Historic American Engineering Record (1978B) Number changed from 15.909 (1978B) Incorporated into 15.410 (1980U1)
15.304 Mineral Research and Resource Information (1970B) Mineral Research and Resource Information and Technical Assistance (1971B) Archived (1979B)	15.403 Disposal of Federal Surplus Real Property for Parks, Recreation, and Historic Monuments (1977B) Number changed to 15.918 (1981B)	15.414 National Historic Landmark (1978B) Number changed from 15.912 (1978B) Number changed to 15.912 (1981B)
15.305 Mine Health and Safety Education and Training (1971B) Number changed to 15.352 (1973U)	15.406 National Park Service Centennial Challenge. (2008)	15.415 Technical Preservation Services (1978B) Number changed from 15.913 (1978B) Number changed to 15.915 (1981B)
15.306 Mine Health and Safety_Grants and Contracts (1971B) Archived (1975U)	15.408 Bureau of Ocean Energy Management Renewable Energy Program (2013)	15.416 National Register of Historic Places (1978B) Number changed from 15.914 (1978B) Number changed to 15.914 (1981B)
15.307 Energy Research_Grants and Contracts (1974U) Transferred to 24.034 (1975B)	15.410 Historic American Buildings Survey (1978B) Number changed from 15.903 (1978B) National Architectural and Engineering Record (1980U1) Number changed to 15.909 (1981B) Historic American Buildings Survey/Historic	15.417 Urban Park and Recreation Recovery Program (1979U2) Number changed to 15.919 (1981B)
15.308 Grants for Mining and Mineral Resources and Research Institutes (1983B) Archived (1995B)	15.420 Training Institute for Park and Recreation Management (1980B) Number changed from 15.911 (1980B) Incorporated into 15.402 (1980U1)	15.418 Park and Recreation Technical Assistance (1980B) Number changed from 15.906 (1980B) Incorporated into 15.402 (1980U1)
15.350 Coal Mine Health and Safety Grants (1973U) Number changed from 15.300 (1973U) Transferred to 17.600 (1978B)	15.421 Alaska Coastal Marine Institute (2006)	15.419 HCRS/NPRA Park Practice Program (1980B) Number changed from 15.907 (1980B) Incorporated into 15.402 (1980U1)
15.351 Mine Health and Safety Counseling and Technical Assistance (1973U) Number changed from 15.302 (1973U) Transferred to 17.601 (1978B)	15.422 Louisiana State University (LSU) Coastal Marine Institute (CMI) (2006)	

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15.423 Minerals Management Service (MMS) Environmental Studies Program (ESP) (2006) Bureau of Ocean Energy Management (BOEM) Environmental Studies Program (ESP) (2013)	National Petroleum Reserve - Alaska (2011)	15.508 Providing Water to At-Risk Natural Desert Terminal Lakes (2004)
15.424 Marine Minerals Activities (2006) Marine Minerals Activities - Hurricane Sandy (2013)	15.440 South Half of the Red River (2011)	15.509 Colorado River Basin Salinity Control Program (2007) Title II, Colorado River Basin Salinity Control Program (2013)
15.425 Offshore Research Technology Center (OTRC) Texas Engineering Experiment Station (TEES) (2006)	15.441 Safety and Environmental Enforcement Research and Data Collection for Offshore Energy and Mineral Activities (2012)	15.510 Colorado Ute Indian Water Rights Settlement Act (2007)
15.426 Coastal Impact Assistance Program (CIAP) (2007) Archived (2013)	15.500 Atmospheric Water Resources Research (1970B) Archived (1971B)	15.511 Cultural Resources Management (2007)
15.427 Federal Oil and Gas Royalty Management (2008) Federal Oil and Gas Royalty Management State and Tribal Coordination (2013)	15.501 Irrigation and Drainage Systems Loans (1970B) Irrigation Systems Loans (1970U) Irrigation Distribution System Loans (1971B) Distribution System Loans (1984B) Archived (1994U)	15.512 Central Valley Project Improvement Act, Title XXXIV (2007)
15.428 Marine Gas Hydrate Research Activities (2008)	15.502 Irrigation Systems Rehabilitation and Betterment (1970B) Archived (1994U)	15.513 Dutch John Federal Property and Disposition Assistance Act (2007)
15.429 State Select (2011)	15.503 Reclamation Projects (1965B) Water Resources Investigation and Small Reclamation Projects Loans (1967B) Small Reclamation Project Loans for Water Resource Projects (1969B) Small Reclamation Projects (1970B) Archived (1994U)	15.514 Reclamation States Emergency Drought Relief (2007) Archived (2013)
15.430 8(g) State Coastal Zone (2011)	15.504 Federal Reclamation Projects (1971B) Archived (1979B) Reclamation and Water Reuse Program, Reinstated (1998B) Water Reclamation and Reuse Program (2001B) Title XVI Water Reclamation and Reuse Program (2013)	15.515 Federal Resource Protection (2008) Archived (2010)
15.431 Alaska State Select (2011) Alaska Settlement Agreement (2013)	15.505 National Water Research and Development Program (1983B) Number changed from 15.950 (1983B) National Water Research Program (1984B) Archived (1984U)	15.516 Fort Peck Reservation Rural Water System (2007)
15.432 California Refuge Account (2011)	15.506 Water Desalination Research and Development Program (1999B)	15.517 Fish and Wildlife Coordination Act (2007)
15.433 Flood Control Act Lands (2011)	15.507 Water 2025 (2004) WaterSMART (Sustaining and Manage America's Resources for Tomorrow) (2010)	15.518 Garrison Diversion Unit (2007)
15.434 Geothermal Resources (2011)		15.519 Indian Tribal Water Resources Development, Management, and Protection (2007)
15.435 GoMESA (2011)		15.520 Lewis and Clark Rural Water System (2007)
15.436 Late Disbursement Interest (2011)		15.521 Lower Rio Grande Valley Water Resources Conservation and Improvement (2007)
15.437 Minerals Leasing Act (2011)		15.522 Mni Wiconi Rural Water Supply Project (2007)
15.438 National Forest Acquired Lands (2011)		15.523 Perkins County Rural Water System (2007) Archived (2012)
15.439		

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15.524 Recreation Resources Management (2008)	Project (2009)	15.555 San Joaquin River Restoration Program (2012)
15.525 Rocky Boy's/North Central Montana Regional Water System (2008)	15.540 Lake Mead/Las Vegas Wash Program (2009)	15.556 Crow Tribe Water Rights Settlement (2012)
15.526 San Gabriel Basin Restoration Project (2007)	15.541 Colorado River Basin Projects Act of 1968 (2009)	15.557 Desert and Southern Rockies Landscape Conservation Cooperatives (2012)
15.527 San Luis Unit, Central Valley Project (2007)	15.542 Arizona Water Settlement Act of 2004 (2010)	15.558 White Mountain Apache Tribe Rural Water System (2012)
15.528 St. Mary Storage Unit Facilities Rehabilitation Project (2007) Archived (2013)	15.543 Lake Tahoe Regional Wetlands Development Program (2010)	15.559 New Mexico Rio Grande Basin Pueblos Irrigation Infrastructure Project (2012)
15.529 Upper Colorado and San Juan River Basins Endangered Fish Recovery Programs (2007)	15.544 Platte River Recovery Implementation Program (2010)	15.560 SECURE Water Act Research Agreements (2012)
15.530 Water Conservation Field Services Program (WCFSP) (2007)	15.545 Bunker Hill Groundwater Basin, Riverside-Corona Feeder Project (2010)	15.561 Savage Rapids Pumping Plant Project (2012) Archived (2013)
15.531 Yakima River Basin Water Enhancement Project (YRBWEP) (2007)	15.546 Youth Conservation Program (2010)	15.562 Dixie Valley Water Export Study (2013)
15.532 Central Valley Project, Trinity River Division, Trinity River Fish and Wildlife Management (2007)	15.547 San Jacinto Basin Restoration Fund (2011) Archived (2013)	15.563 Suisun Marsh Preservation Agreement (2013)
15.533 California Water Security and Environmental Enhancement (2007)	15.548 Reclamation Rural Water Supply Program (2011)	15.564 Central Valley Project Conservation Program (2013)
15.534 Miscellaneous Public Law 93-638 Contracts, Grants, and Cooperative Agreements (2008)	15.549 Integrated Regional Water Plan for the Central Valley of California (2011)	15.600 Anadromous Fish Management (1967B) Anadromous Fish Conservation (1970B) Archived (1996B)
15.535 Upper Colorado River Basin Fish and Wildlife Mitigation Program. (2009)	15.550 Increasing Public Awareness of Recreational Opportunities at Reclamation Reservoirs through Fishing and Boating Events for Physically Challenged and Disadvantaged Children. (2011) Increasing Public Awareness of Recreational Opportunities at Reclamation Reservoirs for Physically Challenged and Disadvantaged Children (2011)	15.601 Animal Control (1965B) Animal Damage Control (1967B) Transferred to 10.213 (1986B)
15.536 Deschutes River Conservancy (DRC) (2009) Archived (2012)	15.551 Madera Water Supply Enhancement Project (2011)	15.602 Conservation Law Enforcement Training Assistance (1970B) Archived (2009)
15.537 Middle Rio Grande Endangered Species Collaborative Program (2009)	15.552 NAVAJO-GALLUP WATER SUPPLY PROJECT (2012)	15.603 Farm Fish Pond Management (1965B) Archived (1986U)
15.538 Lower Colorado River Multi-Species Conservation Program. (2009)	15.553 Eastern New Mexico Rural Water System Project (2012)	15.604 Fish Research (1970B) Fishery Research_Information (1971B) Consolidated into 15.975, 15.976 (1994B)
15.539 Equus Beds Division Aquifer Storage Recharge	15.554 Cooperative Watershed Management Program (2012)	

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15.605 Sport Fish Restoration (1970B) Sport Fish Restoration Program (2007)	Clean Vessel Act (1993U)	15.632 Conservation Grants Private Stewardship for Imperiled Species (2002B) Archived (2009) Reinstated (2013)
15.606 Migratory Bird Banding and Data Analysis (1970B) Consolidated into 15.975, 15.976 (1994B)	15.617 Wildlife Conservation and Appreciation (1994B)	15.633 Landowner Incentive (2002B) Landowner Incentive Program (2007) Archived (2009) Reinstated (2010) Archived (2010) Reinstated (2011)
15.607 Pesticide Appraisal and Monitoring (1970B) Environmental Contaminant Evaluation (1978B) Resource Contaminant Assessment (1983U) Environmental Contaminant (1989B) Environmental Contaminants (1993U) Archived (1995B)	15.618 Administrative Grants for Federal Aid in Sport Fish and Wildlife Restoration (1995B) Archived (2001B)	15.634 State Wildlife Grants (2002B)
15.608 Sport Fish Management (1965B) Sport Fish Technical Assistance (1974U) Fishery Resources Technical Assistance (1984U) Fish and Wildlife Management Assistance (1986U)	15.619 Rhinoceros and Tiger Conservation (1996U) Rhinoceros and Tiger Conservation Fund (2005) Rhinoceros and Tiger Conservation Fund (2006)	15.635 Neotropical Migratory Bird Conservation (2003B)
15.609 Wildlife Management (1967B) Wildlife Enhancement (1970B) Wildlife Technical Assistance (1974U) Archived (1983U)	15.620 African Elephant Conservation (1996U) African Elephant Conservation Fund (2005) African Elephant Conservation Fund (2006)	15.636 Alaska Subsistence Management (2004)
15.610 Wildlife Research (1970B) Wildlife Research Information (1971B) Wildlife Ecology Research Information (1979B) Wildlife Research Information (1983B) Consolidated into 15.975, 15.976 (1994B)	15.621 Asian Elephant Conservation (1999B) Asian Elephant Conservation Fund (2005) Asian Elephant Conservation Fund (2006)	15.637 Migratory Bird Joint Ventures (2004)
15.611 Wildlife Restoration (1970B) Wildlife Restoration and Basic Hunter Education (2011)	15.622 Sportfishing and Boating Safety Act (1999B)	15.638 Tribal Landowner Incentive Program (2004) Archived (2009)
15.612 Rare and Endangered Species Conservation (1976B) Endangered Species Conservation (1977B) Archived (1995B)	15.623 North American Wetlands Conservation Fund (1999B)	15.639 Tribal Wildlife Grants Program (2004)
15.613 Marine Mammal Grant Program (1979B) Archived (1983U)	15.625 Wildlife Conservation and Restoration (2001B) Archived (2009) Reinstated (2011)	15.640 Wildlife Without Borders- Latin America and the Caribbean (2004)
15.614 Coastal Wetlands Planning, Protection and Restoration Act (1992B)	15.626 Hunter Education and Safety Program (2001B) Firearm and Bow Hunter Education and Safety Program (2009) Enhanced Hunter Education and Safety Program (2011)	15.641 Wildlife Without Borders-Mexico (2004)
15.615 Cooperative Endangered Species Conservation Fund (1992B)	15.628 Multi-State Conservation Grants (2001B) Multi-State Conservation Grant Program (2007) Multistate Conservation Grant Program (2008)	15.642 Challenge Cost Share (2004)
15.616	15.629 Great Apes Conservation (2001B) Great Apes Conservation Fund (2005) Great Apes Conservation Fund (2006)	15.643 Alaska Migratory Bird Co-Management Council (2004)
	15.630 Coastal Program (2002B)	15.644 Federal Junior Duck Stamp Conservation and Design (2004)
	15.631 Partners for Fish and Wildlife (2002B)	15.645 Marine Turtle Conservation Fund (2005)

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(2006)	15.664 Fish and Wildlife Coordination and Assistance Programs (2010)	Water Pollution Control_Comprehensive Basin Planning Grants (1970B) Transferred to 66.401 (1971B)
15.649 Service Training and Technical Assistance (Generic Training) (2007)	15.665 National Wetlands Inventory (2010)	15.702 Water Pollution Control_Data Publications Services (1970B) Transferred to 66.402 (1971B)
15.650 Research Grants (Generic) (2007) Research Grants (Generic) (2008)	15.666 Endangered Species Conservation-Wolf Livestock Loss Compensation and Prevention (2010)	15.703 Water Pollution Control_Direct Training (1970B) Transferred to 66.403 (1971B)
15.651 Wildlife Without Borders-Africa Program (2007)	15.667 Highlands Conservation Program (2011)	15.704 Water Pollution Control_Interstate Cooperation and Uniform Laws (1970B) Transferred to 66.404 (1971B)
15.652 Undesirable/Noxious Plant Species (2008)	15.668 Coastal Impact Assistance Program (2011)	15.705 Water Pollution Control_Research, Development, and Demonstration Grants and Contracts (1965B) Water Pollution Control_Research, Development and Demonstration Program (1970) Transferred to 66.405 (1971B)
15.653 National Outreach and Communication Program (2007)	15.669 Cooperative Landscape Conservation (2011)	15.706 Water Pollution Control_Training Grants, Contracts, and Research Fellowships (1967B) Water Pollution Control_Training Grants and Research Training Fellowships (1970B) Split into 15.706, 15.710 (1970) Transferred to 66.406 (1971B)
15.654 Visitor Facility Enhancements - Vehicle Pullouts, Interpretive Trails, and Kiosks (2007) Visitor Facility Enhancements - Refuges and Wildlife (2011)	15.670 Adaptive Science (2011)	15.707 Water Pollution Control_State and Interstate Agency Support (1969B) Water Pollution Control_State and Interstate Program Grants (1970B) Transferred to 66.407 (1971B)
15.655 Migratory Bird Monitoring, Assessment and Conservation (2008)	15.671 Yukon River Salmon Research and Management Assistance (2011)	15.708 Water Pollution Control_State and Local Manpower Development (1970B) Transferred to 66.408 (1971B)
15.656 Recovery Act Funds - Habitat Enhancement, Restoration and Improvement. (2009)	15.672 Wildlife Without Borders Amphibians in Decline (2011)	15.709 Water Pollution Control_Technical Assistance and Pollution Surveillance (1970B) Transferred to 66.409 (1971B)
15.657 Endangered Species Conservation Recovery Implementation Funds (2009)	15.673 Wildlife Without Borders Critically Endangered Animal Conservation Fund (2011)	15.710 Water Pollution Control_Training Grants (1970B) Split from 15.706 (1970B) Transferred to 66.410 (1971B)
15.658 Natural Resource Damage Assessment, Restoration and Implementation (2009)	15.674 National Fire Plan-Wildland Urban Interface Community Fire Assistance (2011)	15.711 Water Quality and Pollution Control Data (1970B) Transferred to 66.411 (1971B)
15.659 National Wildlife Refuge Fund (2009)	15.675 National Fire Plan- Rural Fire Assistance (2011) Archived (2013)	15.712
15.660 Endangered Species - Candidate Conservation Action Funds (2009)	15.676 Youth Engagement, Education, and Employment Programs (2013)	
15.661 Lower Snake River Compensation Plan (2009)	15.677 Hurricane Sandy Disaster Relief Activities-FWS (2013)	
15.662 Great Lakes Restoration - Restoration, protection, and enhancement of Great Lakes fish, wildlife, and their habitats. (2010) Great Lakes Restoration (2011)	15.700 Water Pollution Control_Waste Treatment Works Construction Grants (1967B) Construction Grants for Wastewater Treatment Works (1970B) Transferred to 66.400 (1971B)	
15.663 National Fish and Wildlife Foundation (2010)	15.701 Water Pollution Control_Grants for Comprehensive Basin Planning (1967B)	

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Water Quality and Pollution Control_Orientation and Training Seminars (1970B)
Transferred to 66.412 (1971B)

15.713

Water Quality Management Technical Planning Assistance (1970B)
Transferred to 66.413 (1971B)

15.800

Resources Surveys, Water, Mineral, Fuels, Topographic Maps (1965B)
Geologic, Mineral, Water Resource, and Topographic Surveys, Investigations, and Research (1967B)
Split into 15.800, 15.801, 15.802, 15.803, 15.804 (1970B)
Geologic and Mineral Resource Surveys and Mapping (1970B)
Consolidated into 15.808 (1986B)

15.801

Map Information (1970B)
Split from 15.800 (1970B)
Cartographic Information (1974U)
Cartographic Information, Geologic Information, Hydrologic Information (1989B)
Archived (1990B)

15.802

Minerals Discovery Loan Program (1970B)
Split from 15.800 (1970B)
Archived (1982B)

15.803

Topographic Surveys and Mapping (1970B)
Split from 15.800 (1970B)
National Mapping, Geography and Surveys (1980U1)
Consolidated into 15.808 (1986B)

15.804

Water Resources Investigations (1970B)
Split from 15.800 (1970B)
Consolidated into 15.808 (1986B)

15.805

Assistance to State Water Resources Research Institutes (1984B)
Number changed from 15.951 (1984B)

15.806

National Water Resources Research Program (1985B)
Archived (1996B)

15.807

Earthquake Hazards Reduction Program (1986B)
Consolidated from 15.800 (1986B)

15.808

Geological Survey_Research and Data Acquisition (1986B)
Consolidated from 15.800, 15.803, 15.804 (1986B)
U.S. Geological Survey_Research and Data Acquisition, Consolidated from 15.977 (1998B)

15.809

National Spatial Data Infrastructure Competitive Cooperative Agreements Program (1997B)
National Spatial Data Infrastructure Cooperative Agreements Program (1998U)

15.810

National Cooperative Geologic Mapping Program (1998B)

15.811

Gap Analysis Program (2003B)

15.812

Cooperative Research Units Program (2003B)

15.813

COOPERATIVE RESEARCH UNITS TRAINING PROGRAM (2007)

15.814

National Geological and Geophysical Data Preservation Program (2008)

15.815

National Land Remote Sensing_Education Outreach and Research (2008)

15.816

Minerals Resources External Research Program (2008)

15.817

National Geospatial Program: Building The National Map (2009)

15.818

Volcano Hazards Program Research and Monitoring. (2009)

15.819

Energy Cooperatives to Support the National Coal Resources Data System (NCRDS) (2009)

15.820

National Climate Change and Wildlife Service Center (2009)
National Climate Change and Wildlife Science Center (2010)

15.850

Indian Arts and Crafts Development (1970B)

15.875

Economic and Political Development of the Territories and the Trust Territory of the Pacific Islands (1982)
Economic, Social, and Political Development of the Territories and the Freely Associated States (1995U)
Economic, Social, and Political Development of the Territories (2005)
Economic, Social, and Political Development of the Territories (2006)

15.900

Disposal of Surplus Wildlife (1970B)
Archived (1986B)

15.901

Domestic Travel Promotion (1970B)
Transferred/Incorporated into 11.950 (1971B)

15.902

Environmental Study Areas (1970B)
National Environmental Study Areas (1970U)
National Environmental Study Areas and National Environmental Education Development Programs (1975U)
National Environmental Study Areas (1980B)
Archived (1981B)

15.903

Historic American Buildings Survey (1969B)
Historic American Building Survey_Historic American Engineering Record (1970U)
Historic American Buildings Survey (1971B)
Split into 15.903, 15.909 (1971B)
Number changed to 15.410 (1978B)

15.904

Historic Preservation (1969B)
Number changed to 15.411 (1978B)
Historic Preservation Grants-in-Aid (1978B)
Number changed from 15.411 (1981B)
Historic Preservation Fund Grants-In-Aid (1985U)

15.905

National Environmental Education Development (1970B)
Archived (1971B)

15.906

Park Planning_Technical Assistance (1969B)
Park and Recreation Technical Assistance (1970B)
Park Technical Assistance (1979U1)
Number changed to 15.418 (1980B)

15.907

Park Practice Program (1970B)
Number changed to 15.419 (1980B)

15.908

Archeological Investigations and Salvage (1971B)

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Number changed to 15.412 (1978B)

15.909

Historic American Engineering Record (1971B)
Split from 15.903 (1971B)
Number changed to 15.413 (1978B)
Number changed from 15.410 (1981B)
Historic American Buildings Survey/Historic American Engineering Record (1982B)
Archived (1996B)

15.910

National Registry of Natural Landmarks (1971B)
Number changed to 15.405 (1978B)
Number changed from 15.405 (1981B)
National Natural Landmarks Program (1981B)
Deleted (2008)

15.911

Training Institute for Park and Recreation Management (1974U)
Number changed to 15.420 (1980B)

15.912

National Historic Landmark (1972U)
Number changed to 15.414 (1978B)
Number changed from 15.414 (1981B)

15.913

Interagency Historic Architectural Services (1976U)
Technical Preservation Services (1977U)
Number changed to 15.415 (1978B)

15.914

National Register of Historic Places (1976U)
Number changed to 15.416 (1978B)
Number changed from 15.416 (1981B)

15.915

Technical Preservation Services (1981B)
Number changed from 15.415 (1981B)

15.916

Outdoor Recreation_Acquisition, Development and Planning (1981B)
Number changed from 15.400 (1981B)

15.917

Recreation_Technical Assistance (1981B)
Number changed from 15.402 (1981B)
Archived (1984U)

15.918

Disposal of Federal Surplus Real Property for Parks, Recreation, and Historic Monuments (1981B)
Number changed from 15.403 (1981B)

15.919

Urban Park and Recreation Recovery Program (1981B)

Number changed from 15.417 (1981B)

Archived (1989B)
Reinstated (1991B)
Deleted (2005)

15.920

Land Acquisition and Development of Comprehensive Management Plan (CMP)_State of New Jersey_Pinelands National Reserve (1984B)
Archived (1987B)

15.921

Rivers, Trails and Conservation Assistance (1994B)

15.922

Native American Graves Protection and Repatriation Act (1997U)

15.923

National Center for Preservation Technology and Training (1997B)

15.924

Historically Black Colleges and Universities Preservation Initiative (1997U)

15.925

National Maritime Heritage Grants (1997U)

15.926

American Battlefield Protection (1997U)

15.927

Hydropower Recreation Assistance (2002B)

15.928

Civil War Battlefield Land Acquisition Grants (2003B)

15.929

Save America's Treasures (2004)

15.930

Chesapeake Bay Gateways Network (2007)

15.931

Conservation Activities by Youth Service Organizations (2007)

15.932

Preservation of Historic Structures on the Campuses of Historically Black Colleges and Universities (HBCUs). (2009)

15.933

Preservation of Japanese American Confinement Sites (2009)

15.934

Abandoned Mine Hazard Mitigation (2009)

15.935

National Trails System Projects (2009)

15.936

Shenandoah Valley Battlefields National Historic District Battlefield Acquisition and Protection (2009)

15.937

Redwood National Park Cooperative Management with the State of California (2010)

15.938

Boston African-American National Historic Site Cooperative Agreement with the Museum of African American History (2010)

15.939

National Heritage Area Federal Financial Assistance (2010)

15.940

New Bedford Whaling National Historic Park Cooperative Management (2010)

15.941

Mississippi National River and Recreation Area State and Local Assistance (2010)

15.942

Environmental Education and Conservation - North Cascades Bioregion (2010)

15.943

Challenge Cost Share (2010)

15.944

Natural Resource Stewardship (2010)

15.945

Cooperative Research and Training Programs Resources of the National Park System (2011)

15.946

Cultural Resources Management (2011)

15.947

Boston Harbor Islands Partnership (2011)

15.948

National Fire Plan- Wildland Urban Interface Community Fire Assistance (2011)

15.949

National Fire Plan - Rural Fire Assistance (2011)

15.950

Additional Water Resources Research (1970B)
National Water Research and Development

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Program (1979B)

Number changed to 15.505 (1983B)

15.951

Water Resource Research_Assistance to States for Institutes (1970B)

Water Resources Research_Assistance to State Institutes (1975U)

Water Resources Research and Technology_Assistance to State Institutes (1979B)

Water Research Assistance to State Institutes (1983B)

Number changed to 15.805 (1984B)

15.952

Water Resource Research_Matching Grants to State Institutes (1970B)

Water Research and Technology_Matching Funds to State Institutes (1979B)

Archived (1983B)

15.953

Water Resources Scientific Information Center (1972B)

Archived (1983B)

15.954

National Park Service Conservation, Protection, Outreach, and Education (2012)

15.955

Martin Luther King Junior National Historic Site and Preservation District (2013)

15.956

Ebeyes Landing National Historical Reserve and Ebeyes Landing National Historical Reserve Trust Board (2013)

15.957

Historic Preservation Fund Grants to Provide Disaster Relief to Historic Properties Damaged by Hurricane Sandy (2013)

15.975

Research Information

Consolidated from 15.604, 15.610 (1994B)

Incorporated into 15.977 (1996B)

15.976

Migratory Bird Banding and Data Analysis (1994B)

15.977

State Partnerships (1995B)

Incorporated from 15.975 (1996B)

Consolidated into 15.808 (1998B)

15.978

Upper Mississippi River System Long Term Resource Monitoring Program (1998B)

DEPARTMENT OF JUSTICE

Criminal Justice Act of 1964 (1965B)

Archived (1969B)

Federal Prisoners Rehabilitation Program (1965B)

Archived (1969B)

Law Enforcement Assistance (1965B)

Split into all of the Law Enforcement Assistance Programs (1969B)

National Conference on Bail and Criminal Justice and Follow-Up Programs (1965B)

Archived (1969B)

16.001

Law Enforcement Assistance_Narcotics and Dangerous Drugs_Laboratory Support (1969B)

Law Enforcement Assistance_Narcotics and Dangerous Drugs_Laboratory Analysis (1970B)

16.002

Law Enforcement Assistance_Narcotics and Dangerous Drugs_State Legislation (1970B)

Archived (1991U)

16.003

Law Enforcement Assistance_Narcotics and Dangerous Drugs Technical Laboratory Publications (1970B)

16.004

Law Enforcement Assistance_Narcotics and Dangerous Drugs_Training (1969B)

16.005

Narcotics and Dangerous Drugs_Public Education (1969B)

Public Education on Drug Abuse_Technical Assistance (1970B)

Public Education on Drug Abuse_Information (1979B)

Deleted (2008)

16.006

Municipal Fire and Emergency Services Domestic Preparedness Equipment Support Program (1999B)

County and Municipal Agency Domestic Preparedness Equipment Support Program (1999U)

Archived (2001B)

16.007

State and Local Domestic Preparedness Equipment Support Program (1999B)

State Domestic Preparedness Equipment Support Program (1999U)

Transferred to 97.004 (2003B)

16.008

State and Local Domestic Preparedness Training Program (2001B)

Transferred to 97.005 (2003B)

16.009

State and Local Domestic Preparedness Exercise Support (2001B)

Transferred to 97.006 (2003B)

16.010

State and Local Domestic Preparedness Technical Assistance (2001B)

Transferred to 97.007 (2003B)

16.011

Urban Areas Security Initiative (2003B)

16.012

Alcohol, Tobacco, and Firearms_Training Assistance (2004)

Number changed from 21.052 (2004)

Number changed from 21.052 (2004)

16.013

Violence Against Women Act Court Training and Improvement Grants (2008)

16.014

Engaging Men and Youth in Preventing Domestic Violence, Dating Violence, Sexual Assault, and Stalking (2008)

Archived (2012)

16.015

Missing Alzheimer's Disease Patient Assistance Program (2009)

16.016

Culturally and Linguistically Specific Services Program (2008)

16.017

Sexual Assault Services Program (2008)

Sexual Assault Services Formula Program (2009)

16.018

Services to Advocate for and Respond to Youth (2010)

Archived (2012)

16.019

Tribal Registry (2008)

16.020

Children and Youth Exposed (2010)

Archived (2012)

16.100

Desegregation of Public Education_Civil Rights Act of 1964 (1965B)

Desegregation of Public Education (1970B)

16.101

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Equal Employment Opportunity_Civil Rights Act of 1964 (1965B)

Equal Employment Opportunity (1970B)

16.102

Equal Enjoyment of Public Accommodations_Civil Rights Acts of 1964 (1965B)

Equal Employment of Public Accommodations (1970B)

Incorporated into 16.105 (1979B)

16.103

Fair Housing (1970B)

Fair Housing and Equal Credit Opportunity (1977U)

16.104

Protection of Voting Rights_Civil Rights Acts of 1957, 1960, 1964, and 1965 (1965B)

Protection of Voting Rights (1970B)

16.105

Desegregation of Public Facilities (1972B)

Civil Rights of Institutionalized Persons (1981B)

16.106

Indian Civil Rights (1974B)

Archived (1981B)

16.107

Special Civil Rights Litigation (1975U)

Incorporated into 16.105 (1979B)

16.108

Americans With Disabilities Act Technical Assistance Program (1991U)

Archived (2010)

16.109

Civil Rights Prosecution (1992U)

16.110

Education and Enforcement of the Antidiscrimination Provision of the Immigration and Nationality Act (1995U)

Archived (2012)

16.111

Joint Law Enforcement Operations (JLEO) (2013)

16.123

Community-Based Violence Prevention Program (2010)

16.200

Community Relations Service (1967B)

16.201

Cuban and Haitian Entrant Resettlement Program (1983U)

Transferred to 97.009 (2003B)

16.202

Offender Reentry Program (2001U)

Prisoner Reentry Initiative Demonstration (Offender Reentry) (2006)

Archived (2010)

16.203

Sex Offender Management Discretionary Grant (2002B)

Comprehensive Approaches to Sex Offender Management Discretionary Grant (CASOM) (2006)

Promoting Evidence Integration in Sex Offender Management Discretionary Grant Program (2012)

16.220

Law Enforcement Assistance_Narcotics and Dangerous Drugs_Registration of Manufacturers, Distributors, and Dispensers of Controlled Substances (2004)

16.300

Police Training Programs (1967B)

Law Enforcement Assistance_Advanced Police Training (1969B)

Law Enforcement Assistance_FBI Advanced Police Training (1970B)

16.301

Services of Federal Bureau of Investigation (1965B)

Law Enforcement Assistance_Crime Laboratory Support (1969B)

Law Enforcement Assistance_FBI Crime Laboratory Support (1970B)

16.302

Law Enforcement Assistance_Field Police Training (1969B)

Law Enforcement Assistance_FBI Field Police Training (1970B)

16.303

Law Enforcement Assistance_Fingerprint Identification (1969B)

Law Enforcement Assistance_FBI Fingerprint Identification (1970B)

16.304

Law Enforcement Assistance_National Crime Information Center (1969B)

16.305

Law Enforcement Assistance_Uniform Crime Reports (1969B)

16.306

DRUGFIRE (1996U)

Archived (1998B)

16.307

Combined DNA Index System (1996U)

16.308

Indian County Investigations (1996U)

Indian Country Investigations (1997B)

16.309

Law Enforcement Assistance_National Instant Criminal Background Check System (2000B)

16.320

Services for Trafficking Victims (2004)

16.321

Antiterrorism and Emergency Assistance Program (2002U)

Antiterrorism Emergency Reserve (2005)

Antiterrorism Emergency Reserve (2006)

16.400

Citizenship Training (1965B)

Citizenship Training and Information (1967B)

Naturalization (1969B)

Citizenship Education and Training (1970B)

Transferred to 97.010 (2003B)

16.471

FORENSIC CASEWORK DNA BACKLOG REDUCTION PROGRAM (2005)

16.500

Law Enforcement Assistance_State Planning (1969B)

Law Enforcement Assistance_Comprehensive Planning (1970B)

Law Enforcement Assistance_Comprehensive Planning Grants (1971B)

Consolidated into 16.530 (1980B)

16.501

Law Enforcement Assistance_Discretionary Grants (1970B)

Consolidated into 16.531 (1980B)

16.502

Law Enforcement Assistance_Improving and Strengthening Law Enforcement (1969B)

Law Enforcement Assistance_Improving and Strengthening Law Enforcement and Criminal Justice (1974B)

Split into 16.530, 16.532 (1980B)

16.503

Law Enforcement Assistance_Technical Assistance (1970B)

Consolidated into 16.531 (1980B)

16.504

Law Enforcement Assistance_Students Loans and Tuition Aids (1969B)

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Law Enforcement Education Program_Student Financial Aid (1970B)
Transferred to the Department of Education (1980B)

16.505

Law Enforcement Assistance_Research Demonstrations and Special Projects (1969B)
Law Enforcement Research and Development_Graduate Research Fellowships (1970B)
Number changed to 16.562 (1980B)

16.506

Law Enforcement Research and Development_Pilot Grants (1970B)
Incorporated into 16.507 (1972B)

16.507

Law Enforcement Research and Development_Project Grants (1970B)
Number changed to 16.560 (1980B)

16.508

Law Enforcement Research and Development_Visiting Fellowships (1970B)
Incorporated into 16.507 (1973B)
Reinstated/Split from 16.507 (1975U)
Number changed to 16.561 (1980B)

16.509

Law Enforcement Assistance_Statistics and Information Development_Project Grants (1971B)
Law Enforcement Assistance_Statistics Development (1972B)
Criminal Justice_Statistics Development (1973B)
Number changed to 16.550 (1980B)

16.510

Statistics on Crime and Criminal Justice (1971B)
Number changed to 16.551 (1980B)

16.511

Law Enforcement Assistance_Educational Development (1971U)
Number changed to 16.533 (1980B)

16.512

Law Enforcement Assistance_Internships (1972B)
Archived (1980B)

16.513

Law Enforcement Assistance_Training (1972B)
Number changed to 16.534 (1980B)

16.514

Organized Crime Prosecutorial Training (1973U)
Consolidated into 16.531 (1980B)

16.515

Criminal Justice Systems Development (1973U)
Criminal Justice Systems and Telecommunications Development (1974B)
Criminal Justice Systems Development (1975B)
Number changed to 16.552 (1980B)

16.516

Law Enforcement Assistance_Juvenile Justice and Delinquency Prevention_Allocation to States (1975U)
Law Enforcement Assistance_Juvenile Justice and Delinquency Prevention Act Allocation to States (1978U)
Juvenile Justice and Delinquency Prevention_Allocation to States (1979B)
Number changed to 16.540 (1980B)

16.517

Law Enforcement Assistance Administration_JJDP Special Emphasis Prevention and Treatment (1975U)
Law Enforcement Assistance Administration_OJJDP Special Emphasis Prevention and Treatment Programs (1977U)
Juvenile Justice and Delinquency Prevention_Special Emphasis Prevention and Treatment Programs (1979B)
Number changed to 16.541 (1980B)

16.518

Law Enforcement Assistance Administration_JJDP National Institute (1975U)
National Institute for Juvenile Justice and Delinquency Prevention (1979B)
Number changed to 16.542 (1980B)

16.519

Law Enforcement Assistance_Office of Community Anti-Crime Programs (1978B)
Number changed to 16.535 (1980B)

16.520

Public Safety Officers' Benefits Program (1978B)
Number changed to 16.538 (1980B)

16.521

Crime Prevention_Mobilization of Public and Non-Public Resources (1978U)
Number changed to 16.536 (1980B)

16.522

Privacy and Security of Criminal Justice Systems (1979B)
Number changed to 16.553 (1980B)

16.523

Juvenile Accountability Incentive Block Grants (1998B)
Juvenile Accountability Block Grants (2007)

16.524

Domestic Violence Victims' Civil Legal Assistance Program (1999B)
Legal Assistance for Victims (2001B)

16.525

Grants to Combat Violent Crimes Against Women on Campuses (1999B)
Grants to Reduce Violent Crimes Against Women on Campus (2001B)
Grants to Combat Domestic Violence, Dating Violence, Sexual Assault, and Stalking on Campus (2007)
Grants to Reduce Domestic Violence, Dating Violence, Sexual Assault, and Stalking on Campus (2008)

16.526

Technical Assistance and Training Initiative (2002B)
OVW Technical Assistance Initiative (2006)
Archived (2012)
Reinstated (2012)

16.527

Supervised Visitation, Safe Havens for Children (2002B)

16.528

Training Grants to Stop Abuse and Sexual Assault of Older Individuals or Individuals with Disabilities (2002B)
Enhanced Training and Services to End Violence and Abuse of Women Later in Life (2006)

16.529

Education and Training to End Violence Against and Abuse of Women with Disabilities (2002B)
Education, Training, and Enhanced Services to End Violence Against Women with Disabilities (2007)
Education, Training, and Enhanced Services to End Violence Against and Abuse of Women with Disabilities (2008)

16.530

Criminal Justice_Part D Formula Grants (1980B)
Consolidated from 16.500, 16.502 (1980B)
Archived (1981B)

16.531

Part F_Discretionary Grants (1980B)
Consolidated from 16.501, 16.503, 16.514 (1980B)
Archived (1981B)

16.532

Part E_National Priority Program Grants (1980B)
Split from 16.502 (1980B)
Archived (1981B)

16.533

Law Enforcement Assistance_Educational Development (1980B)

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Number changed from 16.511 (1980B)
Archived (1981B)

16.534

Law Enforcement Assistance_Training (1980B)
Number changed from 16.513 (1980B)
Archived (1981B)

16.535

Law Enforcement Assistance
Administration_Office of Community Anti-Crime
Programs (1980B)
Number changed from 16.519 (1980B)
Archived (1981B)

16.536

Crime Prevention_Mobilization of Public and
Non-Public Resources (1980B)
Number changed from 16.521 (1980B)
Archived (1981B)

16.537

Urban Crime Prevention (1980B)
Archived (1981B)

16.538

Public Safety Officers' Benefits Program (1980B)
Number changed from 16.520 (1980B)
Number changed to 26.571 (1982B)

16.540

Juvenile Justice and Delinquency
Prevention_Allocation to States (1980B)
Number changed from 16.516 (1980B)

16.541

Juvenile Justice and Delinquency
Prevention_Special Emphasis and Technical
Assistance (1980B)
Number changed from 16.517 (1980B)
Juvenile Justice and Delinquency
Prevention_Special Emphasis (1989B)
Developing, Testing and Demonstrating Promising
New Programs (2004)
Part E - Developing, Testing and Demonstrating
Promising New Programs (2006)

16.542

National Institute for Juvenile Justice and
Delinquency Prevention (1980B)
Number changed from 16.518 (1980B)
Part D - Research, Evaluation, Technical Assistance
and Training (2004)
Deleted (2007)

16.543

Missing Children's Assistance (1986B)

16.544

Part D_Juvenile Gangs and Drug Abuse and Drug

Trafficking (1990B)
Gang-Free Schools and
Communities_Community-Based Gang
Intervention (1996U)
Youth Gang Prevention (2010)

16.545

Judicial Child Abuse Training (1992B)
Combined into 16.547 (1994B)

16.546

Investigation and Prosecution of Child Abuse
Through the Criminal Justice System
Number changed from 16.584 (1993U)
Combined into 16.547 (1994B)

16.547

Victims of Child Abuse (1994B)
Archived (2010)

16.548

Title V_Delinquency Prevention Program (1994B)

16.549

Part E_State Challenge Activities (1995B)

16.550

Criminal Justice Statistics Development (1980B)
Number changed from 16.509 (1980B)
State Justice Statistics Program for Statistical
Analysis Centers (1997B)

16.551

Statistics on Crime and Criminal Justice (1980B)
Number changed from 16.510 (1980B)
Archived (1983U)

16.552

Criminal Justice Systems Development (1980B)
Number changed from 16.515 (1980B)
Archived (1981U)

16.553

Privacy and Security of Criminal Justice Systems
(1980B)
Number changed from 16.522 (1980B)
Federal Statistics and Information Policy (1981U)
Archived (1983U)

16.554

National Criminal History Improvement Program
(NCHIP) (1995B)

16.555

National Sex Offender Registry Assistance (1998B)
Archived (1999B)

16.556

Grants to State Sexual Assault and Domestic
Violence Coalitions (2008)

State Domestic Violence and Sexual Assault
Coalitions (2009)
Archived (2012)
Reinstated (2012)

16.557

Tribal Domestic Violence and Sexual Assault
Coalitions Program (2008)
Tribal Domestic Violence and Sexual Assault
Coalitions Grant Program (2009)
Archived (2012)
Reinstated (2012)

16.560

Justice Research and Development Project Grants
(1980B)
Number changed from 16.507 (1980B)
Justice Research, Development, and Evaluation
Project Grants (1991U)
National Institute of Justice Research, Evaluation,
and Development Project Grants (2000B)

16.561

National Institute of Justice Visiting Fellowships
(1980B)
Number changed from 16.508 (1980B)
Deleted (2006)

16.562

Law Enforcement Research and
Development_Graduate Research Fellowships
(1980B)
Number changed from 16.505 (1980B)
Criminal Justice Research and
Development_Graduate Research Fellowships
(1980U2)

16.563

Law Enforcement Family Support (1997B)
Corrections and Law Enforcement Family Support
(1999B)
Deleted (2007)

16.564

National Institute of Justice Forensic DNA
Laboratory Improvement Program (1999U)
National Institute of Justice Crime Laboratory
Improvement Program (2000B)
Crime Laboratory Improvement_Combined
Offender DNA Index System Backlog Reduction
(2001B)
Deleted (2006)

16.565

National Institute of Justice Domestic
Anti-Terrorism Technology Development Program
(1999U)
National Institute of Justice Domestic
Anti-Terrorism Technology Development Program
(Counterterrorism Research and Development)
(2004)

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Deleted (2006)

16.566

National Institute of Justice W.E.B. DuBois Post-Doctoral Fellowship Program (1999U)
National Institute of Justice W.E.B. DuBois Fellowship Program (2000B)

16.570

Civil Rights Compliance Activities (1980B)
Archived (1985U)

16.571

Public Safety Officers' Benefits Program (1982B)
Number changed from 16.538 (1982B)
Public Safety Officers' Death Benefits Program (1985U)
Public Safety Officers' Benefit Program (1991U)
Public Safety Officers' Benefits Program (1995U)

16.572

Mariel-Cubans (1984U)
Archived (1996B)
State Criminal Alien Assistance Program, Reinstated (1996U)
Archived (1998B)

16.573

Criminal Justice Block Grants (1985B)
Incorporated into 16.579 (1990B)

16.574

Criminal Justice Discretionary Grants (1985B)
Criminal Justice Discretionary Grant Program (1989B)
Archived (1996B)

16.575

Crime Victim Assistance (1985B)

16.576

Crime Victim Compensation (1985B)

16.577

Emergency Federal Law Enforcement Assistance (1986B)

16.578

Federal Surplus Property Transfer Program (1986B)

16.579

State and Local Narcotics Control Assistance Anti-Drug Abuse Act of 1986 (1987B)
Drug Control and System Improvement_Formula Grant (1989U)
Byrne Formula Grant Program (1996U)
Edward Byrne Memorial Formula Grant Program (2006)
Archived (2012)

16.580

Narcotics Control Discretionary Grant Program (1987U)
Drug Control and System Improvement_Discretionary Grant (1989U)
Edward Byrne Memorial State and Local Law Enforcement Assistance Discretionary Grants Program (1995U)
Archived (2012)

16.581

Drug Law Enforcement Program_Prison Capacity (1988B)
Archived (1995U)

16.582

Crime Victim Assistance/Discretionary Grants (1988B)

16.583

Children's Justice Act Discretionary Grants for Native American Indian Tribes (1989B)
Children's Justice Act Discretionary Grants for Native Americans (1995U)
Children's Justice Act Partnerships for Indian Communities (1998B)

16.584

Investigation and Prosecution of Child Abuse Through the Federal Criminal Justice System (1992B)
Investigation and Prosecution of Child Abuse Through the Criminal Justice System (1993B)
Number changed to 16.546 (1993U)

16.585

Drug Court Discretionary Grant Program (1995B)

16.586

Violent Offender Incarceration and Truth in Sentencing Incentive Grants (1995B)
Archived (2010)

16.587

Violence Against Women Discretionary Grants for Indian Tribal Governments (1995B)
Archived (2012)
Reinstated (2012)

16.588

Violence Against Women Formula Grants (1995B)
Archived (2012)
Reinstated (2012)

16.589

Rural Domestic Violence and Child Victimization Enforcement Grant Program (1996B)
Rural Domestic Violence, Dating Violence, Sexual Assault, and Stalking Grant Program (2007)
Rural Domestic Violence, Dating Violence, Sexual Assault, and Stalking Assistance Program (2008)

16.590

Grants to Encourage Arrest Policies (1996B)
Grants to Encourage Arrest Policies and Enforcement of Protection Orders (2001B)
The Community-Defined Solutions to Violence Against Women Grant Program (2010)
Grants to Encourage Arrest Policies and Enforcement of Protection Orders Program (2011)

16.591

Managing Released Sex Offenders (1996B)
Archived (1998B)

16.592

Local Law Enforcement Block Grants Program (1996U)
Local Law Enforcement Block Grant Program (2006)
Deleted (2007)
Deleted (2007)

16.593

Residential Substance Abuse Treatment for State Prisoners (1996U)

16.594

Prevention, Diagnosis, and Treatment of Tuberculosis in Correctional Institutions (1996U)
Archived (1999B)

16.595

Executive Office for Weed and Seed
Number changed from 16.725 (1995B)
Community Capacity Development Office (2005)
Community Capacity Development Office (2006)

16.596

Correctional Grant Program for Indian Tribes (1996U)

16.597

Motor Vehicle Theft Protection Act Program (1997B)
Archived (2009)

16.598

State Identification Systems Grant Program (1997B)

16.599

Local Firefighting and Emergency Services Training (1997U)
Archived (1999B)

16.600

Correctional Services_Technical Assistance (1970U)
Number changed to 16.603 (1976U)

16.601

Appendix VII: Historical Profile of Catalog Programs

Corrections_Training and Staff Development (1976U)

16.602

Corrections_Research and Evaluation (1976U)
Corrections_Research and Evaluation and Policy Formulation (1981U)

16.603

Corrections_Technical Assistance (1976U)
Number changed from 16.600 (1976U)
Corrections_Technical Assistance/Clearinghouse (1988B)

16.604

Corrections_Policy Formulation (1976U)
Archived (1981U)

16.605

Corrections_Clearinghouse (1976U)
Archived (1982B)

16.606

State Criminal Alien Assistance Program (1998B)

16.607

Bulletproof Vest Partnership Program (1999B)

16.608

Tribal Court Assistance Program (1999B)

16.609

Planning, Implementing, and Enhancing Strategies in Community Prosecution (1999U)
Planning, Implementing, and Enhancing Strategies in Community Prosecution_Gun Violence Prosecution (2001U)
Community Prosecution and Project Safe Neighborhoods (2002B)
Project Safe Neighborhoods (2010)

16.610

Regional Information Sharing Systems (1999U)

16.611

Closed-Circuit Televising of Child Victims of Abuse (1999U)

16.612

National White Collar Crime Center (1999U)
Archived (2009)

16.613

Scams Targeting the Elderly (1999U)

16.614

State and Local Anti-Terrorism Training (1999U)

16.615

Public Safety Officers' Educational Assistance (1999U)

16.616

Indian Country Alcohol and Drug Prevention (2001U)

16.684

Supporting Teens through Education and Protection Act of 2005 (STEP Act) (2010)
Archived (2012)

16.700

Antitrust State Enforcement (1978U)
Archived (1981B)

16.710

Public Safety and Community Policing Grants (1995B)
Public Safety Partnership and Community Policing Grants (1996B)

16.711

Troops to COPS (1995B)
Deleted (2004)

16.712

Police Corps (1996U)

16.725

Weed and Seed Program Fund (1993U)
Number changed to 16.595 (1995B)

16.726

Juvenile Mentoring Program (1996B)

16.727

Combating Underage Drinking (1998B)
Enforcing Underage Drinking Laws Program (1999U)

16.728

Drug Prevention Program (1998B)

16.729

Drug-Free Communities Support Program Grants (1998B)
Number changed to 93.276 (2004)

16.730

Reduction and Prevention of Children's Exposure to Violence (1999B)

16.731

Tribal Youth Program (1999B)

16.732

National Evaluation of the Safe Schools/Healthy Students Initiative (1999U)
National Evaluation of the Safe Schools_Healthy Students Initiative (2001B)
Deleted (2007)

16.733

National Incident Based Reporting System (2000B)

16.734

Special Data Collections and Statistical Studies (2003B)

16.735

PROTECTING INMATES AND SAFEGUARDING COMMUNITIES (2004)

16.736

Transitional Housing Assistance for Victims of Domestic Violence, Stalking, or Sexual Assault (2004)
Transitional Housing Assistance for Victims of Domestic Violence, Dating Violence, Stalking, or Sexual Assault (2008)

16.737

Gang Resistance Education and Training (2004)
Number changed from 21.053 (2004)

16.738

Edward Byrne Memorial Justice Assistance Grant Program (2005)

16.739

National Prison Rape Statistics Program (2005)

16.740

Statewide Automated Victim Information Notification (SAVIN) Program (2005)

16.741

FORENSIC DNA CAPACITY ENHANCEMENT PROGRAM (2005)
Forensic DNA Backlog Reduction Program (2008)
DNA Backlog Reduction Program (2012)

16.742

PAUL COVERDELL FORENSIC SCIENCES IMPROVEMENT GRANT PROGRAM (2005)

16.743

FORENSIC CASEWORK DNA BACKLOG REDUCTION PROGRAM (2005)

16.744

Anti-Gang Initiative (2006)
Archived (2010)

16.745

Criminal and Juvenile Justice and Mental Health Collaboration Program (2006)

16.746

Capital Case Litigation (2006)

16.747

Crime Victims' Rights Act (2006)

Appendix VII: Historical Profile of Catalog Programs

Archived (2009)

16.748

Convicted Offender and/or Arrestee DNA Backlog Reduction Program (In-House Analysis and Data Review) (2007)

Convicted Offender and/or Arrestee DNA Backlog Reduction Program (2010)

Archived (2012)

16.749

Radiation Exposure Compensation Program (2007)

Archived (2012)

16.750

SUPPORT FOR ADAM WALSH ACT IMPLEMENTATION GRANT PROGRAM (2008)

16.751

Edward Byrne Memorial Competitive Grant Program (2008)

16.752

Economic High-Tech and Cyber Crime Prevention (2008)

16.753

Congressionally Recommended Awards (2008)

16.754

Harold Rogers Prescription Drug Monitoring Program (2008)

16.755

Southwest Border Prosecution Initiative (2008)

16.756

Court Appointed Special Advocates Program (2009)

16.757

Judicial Training on Child Maltreatment for Court Personnel (2009)

16.758

Improving the Investigation and Prosecution of Child Abuse and the Regional and Local Children's Advocacy Centers (2009)

16.800

Recovery Act - Internet Crimes against Children Task Force Program (ICAC) (2009)

16.801

Recovery Act - State Victim Assistance Formula Grant Program (2009)

16.802

Recovery Act - State Victim Compensation Formula Grant Program (2009)

16.803

Recovery Act - Edward Byrne Memorial Justice Assistance Grant (JAG) Program/ Grants to States and Territories (2009)

16.804

Recovery Act - Edward Byrne Memorial Justice Assistance Grant (JAG) Program / Grants To Units Of Local Government (2009)

16.805

Recovery Act - Transitional Housing (2009)

Archived (2012)

16.806

Recovery Act - Violence Against Women Discretionary Grants For Indian Tribal Governments (2009)

Archived (2012)

16.807

Recovery Act - VOCA Crime Victim Assistance Discretionary Grant Program (2009)

16.808

Recovery Act - Edward Byrne Memorial Competitive Grant Program (2009)

16.809

Recovery Act - State and Local Law Enforcement Assistance Program: Combating Criminal Narcotics Activity Stemming from the Southern Border of the United States Competitive Grant Program (2009)

16.810

Recovery Act Assistance to Rural Law Enforcement to Combat Crime and Drugs Competitive Grant Program (2009)

16.811

Recovery Act - Correctional Facilities On Tribal Lands (2009)

16.812

Second Chance Act Prisoner Reentry Initiative (2009)

16.813

NICS Act Record Improvement Program (2009)

16.814

Northern Border Prosecution Initiative Program (2009)

16.815

Tribal Civil and Criminal Legal Assistance Grants, Training and Technical Assistance (2010)

16.816

John R. Justice Prosecutors and Defenders Incentive Act (2010)

16.817

Byrne Criminal Justice Innovation Program (2012)

16.818

Children Exposed to Violence (2012)

16.819

National Forum on Youth Violence Prevention (2012)

16.820

Postconviction DNA Testing Program (2012)

16.821

Juvenile Justice Reform and Reinvestment Demonstration Program (2012)

16.822

National Center for Campus Public Safety (2013)

16.823

Emergency Planning for Juvenile Justice Facilities (2013)

16.824

Emergency Law Enforcement Assistance Grant (2013)

16.888

Consolidated And Technical Assistance Grant Program to Address Children and Youth Experiencing Domestic and Sexual Violence and Engage Men and Boys as Allies (2012)

16.922

Equitable Sharing Program (2012)

DEPARTMENT OF LABOR

Community Employment Development (1965B)

Smaller Communities Program_Rural Areas (1969B)

Archived (1970B)

Experimental and Demonstration Projects for the Mentally Retarded (1965B)

Archived (1967B)

Experimental and Demonstration Projects for Rural Persons (1965B)

Archived (1967B)

Experimental and Demonstration Projects under MDTA (1965B)

Archived (1967B)

Experimental and Demonstration Projects for Youth and Adults with Limited Ability to Read and Write (1965B)

Archived (1967B)

Farm Labor Services (1965B)

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Employment Services to Rural Areas (1969B) Archived (1970B)	Archived (1972B)	Archived (1992U)
Job Market Information (1965B) Archived (1970B)	17.002 Manpower and Employment Data (1969B) Labor Force Statistics (1977B)	17.140 Labor Organization Reports (1984U) Number changed from 17.101 (1984U) Incorporated into 17.309 (2001U)
Job Training for Disadvantaged Persons (1965B) Archived (1969B)	17.003 Price and Cost of Living Data (1969B)	17.150 Welfare and Pension Plan Reports (1984U) Number changed from 17.103 (1984U) Employee Benefits Security Administration (2003B)
New Careers Program (1967B) Archived (1969B)	17.004 Productivity, Technology, and Growth Data (1969B) Productivity and Technology Data (1971B) Split into 17.004, 17.006 (1971B)	17.151 Employee Benefits Security Administration (EBSA) (2009) Archived (2012)
Occupational Training in Development Areas (1965B) Archived (1969B)	17.005 Wages and Industrial Relations Data (1969B) Compensation and Working Conditions Data (1988B) Compensation and Working Conditions (2000B)	17.200 Apprenticeship Outreach (1970B) Consolidated into 17.243 (1979B)
Physically and Mentally Handicapped Employment Service (1965B) Archived (1969B)	17.006 Economic Growth Data (1971B) Split from 17.004 (1971B) Economic Research Data (1972B) Economic Growth Data (1977B) Economic Growth and Employment Projections Data (1983B) Employment Projections Data (1989U) Deleted (2004) Incorporated into 17.002 (2004) Incorporated into 17.002 (2004)	17.201 Apprenticeship and Training (1965B) Apprenticeship Training (1970B) Registered Apprenticeship Training (1997U) Registered Apprenticeship and Other Training (2000B) Registered Apprenticeship (2012)
Special Impact Program (1967B) Special Impact (1969B) Archived (1970B)	17.100 Labor-Management Relations Services (1970B) Number changed to 17.130 (1984U)	17.202 Certification for Foreign Workers for Seasonal Agricultural Employment (1970B) Certification of Foreign Workers for Agricultural and Logging Employment (1971B) Certification of Foreign Workers for Temporary Agricultural and Logging Employment (1980U2) Certification of Foreign Workers for Temporary Agricultural Employment (1991B) Deleted (2006)
Trade Adjustment Allowances for Workers (1965B) Archived (1969B)	17.101 Labor Organization Reports (1969B) Number changed to 17.140 (1984U)	17.203 Certification for Immigrant Nonagricultural Workers (1970B) Certification for Immigrant Workers (1979B) Labor Certification for Alien Workers (1983B) Deleted (2006)
General Employment Services (1965B) U.S. Employment Services (1967B) Employment Service (1969B) Archived (1970B)	17.102 Reemployment Rights for Veterans (1969B) Veterans Reemployment Rights (1970B) Number changed to 17.803 (1984B)	17.204 Concentrated Employment Program (1969B) Consolidated into 17.232 (1973B)
Veterans Employment Priority Service (1967B) Archived (1969B)	17.103 Welfare and Pension Plan Reports (1969B) Employee Benefits Security (1975B) Pension and Welfare Benefit Programs (1977B) Number changed to 17.150 (1984U)	17.205 Cooperative Area Manpower Planning System (1969B) Consolidated into 17.232 (1973B)
Youth Opportunity Centers (1965B) Archived (1970B)	17.104 Office of Construction Industry Services (1979U1) Archived (1982B)	17.206 Bonding Assistance (1969B) Employment Bonding Assistance (1970B)
American Indian Vocational Job Training (1965B) Archived (1967B)	17.130 Labor-Management Relations and Cooperative Programs (1984U) Number changed from 17.100 (1984U)	
Employment Assistance and Training for Inmates of Correctional Institutions (1969B) Archived (1970B)		
Apprenticeship Information Centers (1969B) Archived (1970B)		
Manpower Recruitment Services (1969B) Archived (1970B)		
Older Worker Employment Assistance (1969B) Archived (1970B)		
Selective Service Rejectee Rehabilitation (1969B) Archived (1970B)		
17.001 Foreign Labor Data (1969B)		

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Archived (1970U)

Reinstated (1971B)

Federal Bonding Program (1971B)

Archived (1972U)

17.207

Employment Service_Industrial Services (1965B)

Employment Services_Grants to States (1970B)

Employment Service (1972B)

Employment Service/Wagner-Peyser Funded Activities (2006)

17.208

Exemplary Rehabilitation Certificates (1967B)

Number changed to 17.800 (1982B)

17.209

Farm Labor Contractor Registration (1965B)

Number changed to 17.308 (1972U)

17.210

Job Bank (1970B)

Computerized Job Placement (1972B)

Incorporated into 17.207 (1973B)

17.211

Job Corps (1970B)

Transferred from Office of Economic Opportunity (1970B)

Incorporated into 17.232 (1974B)

Reinstated/Split from 17.232 (1975B)

Archived (1981U)

17.212

Job Opportunities in the Business Sector (1969B)

Incorporated into 17.232 (1975B)

17.213

Journeyman Training (1970B)

Archived (1972U)

17.214

Labor Mobility-Relocation Assistance Allowances (1965B)

Labor Mobility Demonstration Projects (1970B)

Archived (1970U)

17.215

Manpower Development and Training_Institutional Training (1970B)

Incorporated into 17.232 (1973B)

17.216

Manpower Development and Training (1965B)

Manpower Development and Training

Act_On-the-Job Training Program (1970B)

Number changed to 17.227 (1970U)

17.217

Manpower Experimental and Demonstration

Projects (1969B)

Consolidated into 17.233 (1973U)

17.218

Manpower Research Programs (1965B)

Manpower Research_Doctoral Dissertation Grants (1970B)

Employment and Training Research_Doctoral Dissertation Grants (1976B)

Doctoral Dissertation and Small Grant Research Projects Programs (1977B)

Doctoral Dissertation Program (1979U1)

Archived (1980U1)

17.219

Manpower Research_Institutional Grants (1970B)

Manpower Institutional Grants (1974B)

Employment and Training Institutional Grants (1976B)

Institutional Grant Program (1978B)

Archived (1982B)

17.220

Manpower Research and Evaluation (1970B)

Manpower Research_Contracts (1971B)

Manpower Research_Projects (1973B)

Consolidated into 17.233 (1973U)

17.221

Manpower Research_Project Grants (1970B)

Manpower Research_Small Grant Projects (1973B)

Manpower Research_Small Grant Research Projects (1975B)

Employment and Training Research_Small Grant Research Projects (1976B)

Incorporated into 17.218 (1977B)

17.222

Neighborhood Youth Corps (1965B)

Consolidated into 17.232 (1973B)

17.223

Operation Mainstream (1967B)

National Older Workers Program_Operation Mainstream (1975B)

Incorporated into 17.235 (1975U)

17.224

Public Service Careers (1970B)

Archived (1973U)

17.225

Unemployment Insurance_Grants to States (1965B)

Unemployment Insurance (1979B)

17.226

Work Incentives Program (1969B)

Work Incentive Program_Training and Allowances (1970B)

Work Incentives Program_Training and

Allowances (1973B)

Work Incentives Program and Incentives (1974B)

Work Incentives Program (1975B)

Transferred to 13.646 (1978B)

17.227

Job Opportunities in the Business Sector_Low Support (1970U)

Number changed from 17.216 (1970U)

Job Opportunities in the Business Sector_Optional Program (1971B)

Consolidated into 17.232 (1973B)

17.228

National On-The-Job Training (1971B)

Consolidated into 17.243 (1979B)

17.229

Emergency Employment Assistance (1971U)

Public Employment Program (1972B)

Archived (1973B)

17.230

Migrant Workers (1972B)

Transferred from (1972B)

Farm Workers (1975B)

Migrant and Seasonal Farm Workers (1977B)

Migrant and Seasonal Farmworkers (1981U)

Number changed to 17.247 (1983U)

17.231

Technology Mobilization and Reemployment (1972B)

Archived (1973B)

17.232

Manpower Revenue Sharing (1973B)

Consolidated from 17.204, 17.205, 17.222, 17.227 (1973B)

Comprehensive Manpower Programs (1973U)

Comprehensive Employment and Training Programs (1974B)

Archived (1983U)

17.233

Manpower Research and Development Projects (1973U)

Consolidated from 17.217, 17.220 (1973U)

Employment and Training Research and Development Projects (1976B)

Number changed to 17.248 (1983U)

17.234

Indian Manpower Programs (1975B)

Indian Employment and Training Programs (1976B)

Indian and Native American Employment and Training Programs (1977B)

Employment and Training_Indians and Native Americans (1978B)

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Archived (1983U)

17.235

Senior Community Service Employment Program (1975B)

17.236

New Initiatives in Apprenticeship (1978B)
Archived (1979B)

17.239

Youth Community Conservation Improvement Program (1978B)
Incorporated into 17.232 (1979U1)

17.240

Youth Employment and Training (1978B)
Consolidated into 17.232 (1979U1)

17.241

Youth Incentive Entitlement Pilot Projects (1978B)
Archived (1981B)

17.242

Summer Programs for Economically Disadvantaged Youth (1978B)
Consolidated into 17.232 (1979U1)

17.243

Special Programs and Activities for the Disadvantaged (1979B)
Consolidated from 17.200, 17.228 (1979B)
Special National Programs and Activities for the Disadvantaged (1980U2)
Special National Lever Programs (1983B)
Number changed to 17.249 (1983U)

17.244

Disabled Veterans Outreach Program (1981B)
Number changed to 17.801 (1982B)

17.245

Trade Adjustment Assistance_Workers (1981U)
Number changed from 17.400 (1981U)
Trade Adjustment Assistance (2006)

17.246

Employment and Training Assistance_Dislocated Workers (1983B)
Consolidated into 17.260 (2001B)

17.247

Migrant and Seasonal Farmworkers (1983U)
Number changed from 17.230 (1983U)

17.248

Employment and Training Research and Development Projects (1983U)
Number changed from 17.233 (1983U)
Employment and Training Evaluation Projects

(2000B)
Consolidated into 17.261 (2001U)

17.249

Employment Services and Job Training_Pilot and Demonstration Programs (1983U)
Number changed from 17.243 (1983U)
Employment Services and Job Training Pilots_Demonstrations and Research (2000B)
Consolidated into 17.261, 17.263 (2001U)

17.250

Job Training Partnership Act (1983U)
Consolidated into 17.258, 17.259 (2001B)

17.251

Native American Employment and Training Programs (1984U)
Consolidated into 17.26 (2002B)

17.252

Attestations by Employers Using Alien Crewmembers for Longshore Activities in U.S. Ports (1993B)

17.253

Welfare-to-Work Grants to States and Localities (1998B)

17.254

Welfare-to-Work Grants to Federally Recognized Tribes and Alaska Natives (1998U)

17.255

Workforce Investment Act (1999B)
Consolidated into 17.258, 17.259 (2001B)

17.257

One-Stop Career Initiative (1999B)
Deleted (2004)
Reinstated (2004)
Deleted (2005)
Reinstated (2005)
Deleted (2006)

17.258

WIA Adult Program
Consolidated from 17.250, 17.255 (2001B)

17.259

WIA Youth Activities
Consolidated from 17.250, 17.255 (2001B)

17.260

WIA Dislocated Workers
Consolidated from 17.246 (2001B)
Archived (2011)
Reinstated (2011)

17.261

Employment and Training Administration Pilots, Demonstrations, and Research Projects
Consolidated from 17.248, 17.249 (2001B)
WIA Pilots, Demonstrations, and Research Projects (2006)

17.262

Employment and Training Administration Evaluations (2001B)
Archived (2011)

17.263

Youth Opportunity Grants
Consolidated from 17.249 (2001B)
Deleted (2006)

17.264

Migrant and Seasonal Farmworkers (2002B)
Consolidated from 17.247 (2002B)
National Farmworker Jobs Program (2006)

17.265

Native American Employment and Training (2002B)
Consolidated from 17.251 (2002B)

17.266

Work Incentives Grant (2002B)
Work Incentive Grants (2006)
Archived (2011)

17.267

WIA Incentive Grants_Section 503 Grants to States (2004)
Incentive Grants - WIA Section 503 (2006)

17.268

H-1B High Growth Job Training Grants (2006)
H-1B Job Training Grants (2007)

17.269

Community Based Job Training Grants (2006)
Archived (2011)

17.270

Prisoner Reentry (2006)
Reintegration of Ex-Offenders (2008)

17.271

Work Opportunity Tax Credit Program (WOTC) and Welfare-to-Work Tax Credit (WtWTC) (2006)
Work Opportunity Tax Credit Program (WOTC) (2007)

17.272

Permanent Labor Certification for Foreign Workers (2006)

17.273

Temporary Labor Certification for Foreign Workers

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(2006)		Number changed to 17.245 (1981U)
17.274	17.302	17.500
YOUTHBUILD (2007)	Workmen's Compensation for Federal Employees and Others (1969B)	Occupational Safety and Health (1971U)
Number changed from 14.243 (2007)	Longshoremen's and Harbor Workers' Compensation (1970B)	Number changed from 17.307A (1971U)
17.275	Longshore and Harbor Workers' Compensation (1984U)	Number changed to 17.504 (1995B)
PROGRAM OF COMPETITIVE GRANTS FOR WORKER TRAINING AND PLACEMENT IN HIGH GROWTH AND EMERGING INDUSTRY SECTORS (2009)	17.303	17.501
17.276	Federal Minimum Wage and Hour Standards (1967B)	Employee Assistance Programs_Drug, Alcohol Abuse (1990B)
Health Coverage Tax Credit (HCTC) (2009)	Minimum Wage and Hour Standards (1969B)	Archived (1991U)
17.277	Split into 17.303, 30.010 (1979U2)	17.502
Workforce Investment Act (WIA) National Emergency Grants (2009)	Wage and Hour Standards (1996B)	Occupational Safety and Health_Training and Education (1991U)
17.278	17.304	Occupational Safety and Health_Susan Harwood Training Grants (1999B)
WIA Dislocated Worker Formula Grants (2009)	Labor Standards (1965B)	17.503
17.279	Safety and Labor Standards (1969B)	Occupational Safety and Health_State Program (1994B)
Green Jobs Innovation Fund Grants (2010)	Workers Safety and Labor Standards (1970B)	17.504
17.280	Number changed to 17.307A (1971B)	Consultation Agreements
Workforce Investment Act (WIA) Dislocated Worker National Reserve Demonstration Grants (2010)	17.305	Number changed from 17.500 (1995B)
17.281	Women_Special Programs and Assistance (1967B)	17.505
Workforce Investment Act (WIA) Dislocated Worker National Reserve Technical Assistance and Training (2010)	Women's Special Employment Assistance (1970B)	OSHA Data Initiative (2003B)
17.282	Number changed to 17.700 (1978B)	17.506
Trade Adjustment Assistance Community College and Career Training (TAACCCT) Grants (2010)	17.306	Disaster Relief Appropriations Act Susan Harwood Training Grants (2013)
17.283	Consumer Credit Protection (1970U)	17.600
Workforce Innovation Fund (2011)	17.307	Mine Health and Safety Grants (1978B)
17.284	Coal Mine Workers' Compensation (2004)	Transferred from 15.350 (1978B)
Hurricane Sandy Disaster Relief Appropriations Act Supplemental - National Emergency Grants (NEGs) (2013)	17.307A	17.601
17.300	6Occupational Safety and Health (1971B)	Mine Health and Safety Counseling and Technical Assistance (1978B)
Age Discrimination in Employment (1969B)	Number changed from 17.304 (1971B)	Transferred from 15.351 (1978B)
Transferred to 30.008 (1979U1)	Number changed to 17.500 (1971U)	17.602
17.301	17.307B	Mine Health and Safety Education and Training (1978B)
Equal Employment Opportunity by Federal Contractors (1969B)	6Coal Mine Workers' Compensation (1973B)	Transferred from 15.352 (1978B)
Equal Employment Opportunity by Federal Contractors and Federally Assisted Construction Contractors (1980B)	17.308	17.603
Non-Discrimination and Affirmative Action by Federal Contractors and Federally Assisted Construction Contractors (1983U)	Farm Labor Contractor Registration (1972U)	Brookwood-Sago Grant (2007)
	Number changed from 17.209 (1972U)	17.604
	17.309	Safety and Health Grants (2008)
	Labor Organization Reports (1999B)	Archived (2013)
	Incorporated from 17.140 (2001U)	17.700
	17.310	Women's Special Employment Assistance (1978B)
	Energy Employees Occupational Illness Compensation (2006)	Number changed from 17.305 (1978B)
	17.311	Women's Bureau (2003B)
	Federal Transit Employee Protection Certifications: Office of Labor-Management Standards (2009)	17.720
	Archived (2012)	
	17.400	
	Trade Adjustment Assistance_Workers (1971B)	
	Transferred from 61.003 (1971B)	

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Employment Programs for People with Disabilities (2002B)

Transferred from 53.001 (2002B)

Disability Employment Policy Development (2005)

Disability Employment Policy Development (2005)

17.800

Exemplary Rehabilitation Certificates (1982B)

Number changed from 17.208 (1982B)

Archived (1983B)

17.801

Disabled Veterans Outreach Program (1982B)

Number changed from 17.244 (1982B)

Disabled Veterans Outreach Program (DVOP) (1992B)

Disabled Veterans' Outreach Program (DVOP) (1995B)

17.802

Veterans Employment Program (1984B)

Veterans' Employment Program (1995B)

17.803

Reemployment Rights for Veterans (1984B)

Number changed from 17.102 (1984B)

Veterans' Employment and Reemployment Rights (1995B)

Uniformed Services Employment and Reemployment Rights (1996B)

17.804

Local Veterans Employment Representative Program (1984B)

Local Veterans' Employment Representative Program (1995B)

17.805

Homeless Veterans Reintegration Project (1991U)

Archived (1996B)

Reinstated (1998B)

17.806

Veteran's Preference in Federal Employment

(2000B)

U.S. POSTAL SERVICE

Lease Construction Program (1967B)

Post Office Lease Construction (1969B)

Archived (1970B)

18.001

Postal Academy Program (1970B)

Archived (1972U)

DEPARTMENT OF STATE

19.001

Foreign Currency Loans (1970B)

Transferred to 70.001 (1971B)

19.002

Foreign Housing Investment Guaranties (1970B)

Consolidated into 19.008 (1970U)

19.003

Foreign Housing Investment Guaranty

Program_Latin America (1970B)

Consolidated into 19.008 (1970U)

19.004

Foreign Investment Guaranties (1970B)

Transferred to 70.002 (1971B)

19.005

Foreign Investment Insurance (1970B)

Transferred to 70.003 (1971B)

19.006

Institutional Centers to Aid Foreign Development (1969B)

Archived (1977U)

19.007

Technology Applications for Less-Developed Countries (1969B)

Archived (1978B)

19.008

Foreign Housing Investment Guaranty_Worldwide (1970U)

Consolidated from 19.002, 19.003 (1970U)

Housing Investment Guaranty_Worldwide (1971B)

Housing Guaranty_Worldwide (1973U)

Archived (1978B)

19.009

Academic Exchange Programs - Undergraduate Programs (2009)

19.012

Professional and Cultural Exchange Programs - Special Professional and Cultural Programs (2009)

19.013

Thomas R. Pickering Foreign Affairs Fellowship Program (2009)

19.014

One-Time International Exchange Grant Program (2009)

19.015

Cultural, Technical and Educational Centers (2009)

19.016

Iraq Assistance Programs (2009)

19.017

Environmental and Scientific Partnerships and Programs (2009)

19.018

Overseas Processing Entities (OPEs) for U.S. Refugee Resettlement (2009)

Resettlement Support Centers (RSCs) for U.S. Refugee Resettlement (2013)

19.019

International Programs to Combat Human Trafficking (2009)

19.020

Charles B. Rangel International Affairs Program (2010)

19.021

Investing in People in The Middle East and North Africa (2010)

19.022

Educational and Cultural Exchange Programs Appropriation Overseas Grants (2010)

19.023

Overseas Schools Program (2011)

19.024

Soft Target Program for Overseas Schools (2011)

19.025

U.S. Ambassadors Fund for Cultural Preservation (2010)

19.026

Global Peace Operations Initiative (2011)

19.030

Antiterrorism Assistance Domestic Training Programs (2012)

19.031

Research and Development - Physical Security Programs (2012)

19.032

Global Engagement (2012)

19.033

Global Threat Reduction (2012)

19.040

Public Diplomacy Programs (2011)

19.087

International Fisheries Commissions (2011)

19.100

Cultural Presentations_Foreign Tours (1969B)

Split into 19.100, 19.102 (1970B)

Archived (1971B)

19.101

Appendix VII: Historical Profile of Catalog Programs

Exchange of Persons_Academic Grants (1969B)
Educational Exchange_Graduate Students (1970B)
Transferred to 82.001 (1978B)

19.102

Educational Exchange_University Lecturers
(Professors) and Research Scholars (1970B)
Split from 19.100 (1970B)
Transferred to 82.002 (1978B)

19.121

Conflict and Stabilization Operations (2013)

19.123

EUR/ACE Humanitarian Assistance Program
(2011)

19.124

U.S. Department of State (2010)
East Asia and Pacific Grants Program (2011)

19.200

Claims Against Foreign Governments (1970B)

19.201

Protection of Ships from Foreign Seizure (1970B)

19.202

Special Domestic Assignments (1981B)

19.203

Claims Against Foreign Missions (1984B)
Archived (1990B)

19.204

Fishermen's Guranty Fund
Number changed from 11.410 (1987B)

19.221

Near East Regional Democracy (2011)

19.224

Nonproliferation and Disarmament Fund (2013)

19.300

Russian, Eurasian, and East European Research and
Training (1992B)
Program for Study of Eastern Europe and the
Independent States of the Former Soviet Union
(1995U)

19.301

The Secretary's Office of the Global Partnership
Initiative (S/GPI) Grant Programs (2012)

19.322

Economic Statecraft (2013)

19.345

International Programs to Support Democracy,

Human Rights and Labor (2009)

19.400

Educational Exchange_Graduate Students
Number changed from 82.001 (2000B)
Academic Exchange Programs - Graduate Students
(2009)

19.401

Educational Exchange_University Lecturers
(Professors) and Research Scholars
Number changed from 82.002 (2000B)
Academic Exchange Programs - Scholars (2009)

19.402

International Visitors Program
Number changed from 82.004 (2000B)
Professional and Cultural Exchange Programs -
International Visitor Leadership Program (2009)

19.403

American Council of Young Political Leaders
Number changed from 82.006 (2000B)
Archived (2009)

19.404

Professional Development_International
Educators/Administrators
Number changed from 82.009 (2000B)
Deleted (2004)
Consolidated into 19.424 (2004)

19.405

College and University Partnerships Program
Number changed from 82.010 (2000B)
Educational Partnerships Program (2003B)
Deleted (2004)
Consolidated into 19.424 (2004)

19.406

College and University Affiliations Program
Number changed from 82.011 (2000B)
Educational Partnerships Program (2001U)
Consolidated into 19.42 (2002B)

19.407

Teacher Exchange_New Independent States (NIS)
Number changed from 82.012 (2000B)
Deleted (2005)

19.408

Educational Exchange_Teachers from Secondary
and Postsecondary Levels
Number changed from 82.013 (2000B)
Educational Exchange_Teachers from Secondary
and Postsecondary Levels and School
Administrators (2001B)
Academic Exchange Programs - Teachers (2009)

19.409

Creative Arts Grants

Number changed from 82.015 (2000B)
Arts Exchanges on International Issues (2005)
Arts Exchanges on International Issues (2005)
Archived (2009)

19.410

Educational Exchange_Congress-Bundestag Youth
Exchange
Number changed from 82.016 (2000B)
Archived (2009)

19.411

Educational Exchange_NIS Secondary School
Initiative
Number changed from 82.018 (2000B)
Deleted (2004)

19.412

Educational Exchange_Postgraduates and Faculty
Number changed from 82.026 (2000B)
Archived (2002B)

19.413

Cultural Exchange (Performing Arts)
Number changed from 82.030 (2000B)
Deleted (2006)

19.414

Cultural Exchange (Visual Arts)
Number changed from 82.032 (2000B)
Deleted (2005)

19.415

Professional Exchange_Annual Open Grant
Number changed from 82.033 (2000B)
Professional Exchanges_Annual Open Grant
(2001B)
Professional and Cultural Exchange Programs -
Citizen Exchanges (2009)

19.416

Exchange_English Teaching Fellows
Number changed from 82.034 (2000B)
Consolidated into 19.421 (2001B)

19.417

Exchange_English as a Foreign Language (EFL)
Fellows
Number changed from 82.035 (2000B)
Consolidated into 19.421 (2001B)

19.418

Educational Exchange_Fulbright American Studies
Institutes
Number changed from 82.038 (2000B)
Educational Exchange, American Studies Institute
(2006)
Archived (2009)

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19.419 Professional Development_Teacher Training (2000B) Deleted (2004)	19.501 Public Diplomacy Programs for Afghanistan and Pakistan (2009)	19.800 Weapons Removal and Abatement (2011)
19.420 Cooperative Grants (2000B) Deleted (2004)	19.510 U.S. Refugee Admissions Program (2004)	19.801 Office of Global Women's Issues (2011)
19.421 Exchange_English Language Fellow Program Consolidated from 19.416, 19.417 (2001B) Academic Exchange Programs - English Language Programs (2009)	19.511 Overseas Refugee Assistance Programs for East Asia (2005)	19.878 EUR-Other (2011)
19.422 Educational Exchange_Teaching Excellence Awards Program (2001B) Deleted (2004)	19.515 Contributions to International Organizations for Overseas Assistance (2011)	19.900 AEECA PD Programs (2012)
19.423 Exchange_English Language Specialist/Speaker Program (2001B) Archived (2009)	19.517 Overseas Refugee Assistance Programs for Africa (2005)	19.901 Export Control and Related Border Security (2011) Number changed from 19.999 (2011)
19.424 Educational Partnerships Program (2002B) Consolidated from 19.406 (2002B) Deleted (2005)	19.518 Overseas Refugee Assistance Programs for Western Hemisphere (2005)	19.999 Export Control and Related Border Security (2011) Number changed to 19.901 (2011)
19.425 Benjamin Gilman International Scholarship (2002B) Archived (2009)	19.519 Overseas Refugee Assistance Program for Near East and South Asia (2005)	DEPARTMENT OF TRANSPORTATION Coast Guard Marine, Harbor, and Waterfront Services (1967B) Marine, Harbor, and Waterfront Services (1969B) Archived (1970B)
19.430 International Education Training and Research (2008) Archived (2009)	19.520 Overseas Refugee Assistance Programs for Europe (2005)	Development Highways and Access Roads for the Appalachian Region (1967B) Archived (1969B)
19.431 Educational Exchange_Scholar-in-Residence (U.S. Institutions of Higher Education Host Lecturing Faculty From Abroad) (2005) Archived (2009)	19.522 Overseas Refugee Assistance Programs for Strategic Global Priorities (2005)	Urban Mass Transportation Capital Assistance (1969B) Incorporated into 20.500, 20.501 (1970B)
19.432 Overseas Educational Advising (2005) Academic Exchange Programs - Educational Advising and Student Services (2009)	19.600 Bureau of Near Eastern Affairs (NEA) (2011)	20.001 Boating Safety (1970B) Transferred to 97.011 (2003B)
19.440 IIP Individual Grants (2011)	19.666 EUR/ACE National Endowment for Democracy Small Grants (2011)	20.002 Coast Guard Cooperative Marine Sciences Program (1970B) Archived (1986B)
19.450 ECA Individual Grants (2011)	19.700 General Department of State Assistance (2010)	20.003 Student Shipboard Opportunities Program (1970B) Incorporated into 20.002 (1971B)
19.500 Middle East Partnership Initiative (MEPI) (2004)	19.701 Global Counterterrorism Programs (2012)	20.004 Boating Safety_Financial Assistance (1972U) Archived (1980B)
	19.703 CRIMINAL JUSTICE SYSTEMS (2011)	20.005 Boating Safety Financial Assistance (1983U) Transferred to 97.012 (2003B)
	19.704 COUNTERNARCOTICS (2011)	20.006 State Access to the Oil Spill Liability Trust Fund (1993B) Transferred to 97.013 (2003B)
	19.705 TRANSNATIONAL CRIME (2011)	
	19.750 Bureau of Western Hemisphere Affairs (WHA) Grant Programs (including Energy and Climate Partnership for the Americas) (2011)	

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20.007 Bridge Alteration (1993U) Transferred to 97.014 (2003B)	(1970B) Split into 20.202, 20.203, 20.204 (1970B) Consolidated into 20.214 (1974B)	(1972B) Archived (1972U)
20.008 E-911 Grant Program (2009)	20.203 Highway Beautification_Control of Outdoor Advertising (1970B) Split from 20.202 (1970B) Consolidated into 20.214 (1974B)	20.213 Special Bridge Replacement (1971U) Federal-Aid Highways_Special Bridge Replacement (1973B) Incorporated into 20.205 (1974B)
20.100 Aviation Education (1969B) Archived (2009)	20.204 Highway Beautification_Landscaping and Scenic Enhancement (1970B) Split from 20.202 (1970B) Consolidated into 20.214 (1974B)	20.214 Highway Beautification_Control of Outdoor Advertising, Control of Junkyards, Landscaping and Scenic Enhancement (1974B) Consolidated from 20.202, 20.203, 20.204 (1974B) Highway Beautification_Control of Outdoor Advertising, and Control of Junkyards (1977B) Archived (1989B)
20.101 Airport Development Program (1965B) Airport Development (1969B) Federal-Aid Airport Program (1970B) Number changed to 20.102 (1970U)	20.205 Highway Planning and Construction (1967B) Transferred from the Department of Commerce (1970B) Highway Research, Planning, and Construction (1972B) Highway Planning and Construction (1981U) Revised (1998U)	20.215 Highway Training and Education (1980B)
20.102 Airport Development Aid Program (1970U) Number changed from 20.101 (1970U) Archived (1983B)	20.206 Highway Studies (1967B) Highway Planning and Research Studies (1970B) Highway Planning and Research (1971B) Incorporated into 20.205 (1972B)	20.216 Highway Educational Grants (1980B) Archived (1985U)
20.103 Airport Planning Grant Program (1971B) Archived (1983B)	20.207 National Highway Safety Program (1965B) Split into 20.207, 20.208, 20.210 (1970B) Highway Safety Demonstration Projects (1970B) Consolidated into 20.600 (1971B)	20.217 Motor Carrier Safety (1980B)
20.104 Aviation War Risk Insurance (1971B) Archived (1977U)	20.208 Highway Safety Research Fellowships (1970B) Split from 20.207 (1970B) Consolidated into 20.600 (1971B)	20.218 Motor Carrier Safety Assistance Program (1983U) National Motor Carrier Safety (1999B)
20.105 Loan Guarantees for Purchase of Aircraft and Spare Parts (1980B) Archived (1983U)	20.209 Public Lands Highways (1970B) Incorporated into 20.205 (1974B)	20.219 National Recreational Trails Program (1993U) National Recreational Trails Funding Program (1995B) Recreational Trails Program, Revised (1998U)
20.106 Airport Improvement Program (1983B)	20.210 State and Community Highway Safety (1970B) Split from 20.207 (1970B) Consolidated into 20.600 (1971B)	20.222 Highways for LIFE (2006)
20.107 Airway Science (1987B)	20.211 Traffic Operations Program to Increase Capacity and Safety (1970B) Incorporated into 20.205 (1972B)	20.223 Transportation Infrastructure Finance and Innovation Act (TIFIA) Program (2007)
20.108 Aviation Research Grants (1993B)	20.212 Economic Growth Centers and Development Highway Demonstration Projects (1971U) Economic Growth Centers Development_Highways	20.230 Crash Data Improvement Program (2005)
20.109 Air Transportation Centers of Excellence (1993B)		20.231 Performance and Registration Information Systems Management (2006)
20.200 Federal-Aid Highways_Emergency Relief (1970B) Incorporated into 20.205 (1974B)		20.232 Commercial Driver License State Programs (2005) Commercial Driver's License Program Improvement Grant (2010)
20.201 Forest Highways (1970B) Incorporated into 20.205 (1974B)		20.233 Border Enforcement Grants (2005)
20.202 Highway Beautification (1967B) Highway Beautification_Control of Junkyards (1970B) Transferred from the Department of Commerce		

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20.234 Safety Data Improvement Program (2006)	Grants-in-Aid for Railroad Safety_State Participation (1975B) Archived (2009)	Railroad Development (2006) Archived (2013)
20.235 Commercial Motor Vehicle Operator Training Grants (2006)	20.304 Railroad Research and Development (1974U) Consolidated from 20.300, 20.302 (1974U) Archived (1977U)	20.315 National Railroad Passenger Corporation Grants (2006)
20.236 Thermal Imaging Inspection System Demonstration Project (2006) Archived (2009)	20.305 Local Rail Service Continuation Payments (1976B) Local Rail Service Continuation Payments_Regional Program (1977B) Incorporated into 20.308 (1978B)	20.316 Railroad Rehabilitation and Improvement Financing Program (2007)
20.237 Commercial Vehicle Information Systems and Networks (2006) Safety Data Improvement Program (2008) Commercial Vehicle Information Systems and Networks (2009)	20.306 Rail Property Acquisition and Modernization Grant Assistance (1976B) Incorporated into 20.305 (1977B)	20.317 Capital Assistance to States - Intercity Passenger Rail Service (2008)
20.238 Commercial Drivers License Information System (2007) Commercial Drivers License Information System (CDLIS) Modernization Grant (2010) Archived (2013)	20.307 Transportation Test Center (1975U) Archived (1983B)	20.318 Maglev Project Selection Program - SAFETEA-LU (2008) Archived (2013)
20.239 Motor Carrier Research and Technology Programs (2009)	20.308 Local Rail Service Assistance_National Program (1977B) Local Rail Service Assistance (1978U) Local Rail Freight Assistance (1991U) Archived (2000B)	20.319 High-Speed Rail Corridors and Intercity Passenger Rail Service Capital Assistance Grants (2009)
20.240 Fuel Tax Evasion-Intergovernmental Enforcement Effort (2006)	20.309 Railroad Rehabilitation and Improvement_Guarantee of Obligations (1977B) Archived (1987B)	20.320 Rail Line Relocation and Improvement (2009)
20.241 Federal Motor Carrier Safety Administration National Training Center (2009) Archived (2011)	20.310 Railroad Rehabilitation and Improvement_Redeemable Preference Shares (1977B) Railroad Rehabilitation and Improvement_Redeemable Preference Shares or Notes (1983U) Archived (1986B)	20.321 RAILROAD SAFETY TECHNOLOGY GRANTS (2010)
20.242 Medical Programs (2010) Archived (2011)	20.311 Railroad Labor/Management Cooperation Program (1987U) Archived (1988B)	20.323 Fiscal Year 2013 Hurricane Sandy Disaster Relief Grants to the National Railroad Passenger Corporation (2013)
20.300 High-Speed Ground Transportation (1967B) Transferred from the Department of Commerce (1967B) High-Speed Ground Transportation Research and Development (1970B) Consolidated into 20.304 (1974U)	20.312 High Speed Ground Transportation (1995B) High Speed Ground Transportation_Next Generation High Speed Rail Program (1998B) Archived (2009)	20.400 Transportation Planning Information_Environment and Urban Systems (1970B) Archived (1971B) Reinstated (1971U) Archived (1972B)
20.301 Railroad Safety (1971B)	20.313 Railroad Research and Development (2000U)	20.500 Urban Mass Transportation Capital Improvement Grants (1970B) Federal Transit Capital Improvement Grants (1992B) Federal Transit_Capital Investment Grants (1999B)
20.302 Railroad Research (1973B) Consolidated into 20.304 (1974U)	20.314	20.501 Urban Mass Transportation Capital Improvement Loans (1970B) Archived (1981B)
20.303 Grants-in-Aid for Railroad Safety (1974U)		20.502 Urban Mass Transportation Grants for University Research and Training (1970B) Incorporated into 20.512 (1984B) Federal Transit Grants for University Research and Training (1992B)

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Archived (2000B)
Reinstated (2000U)
Deleted (2005)

20.503

Urban Mass Transportation Managerial Training Grants (1969B)
Urban Mass Transportation Managerial Training (1970B)
Urban Mass Transportation Managerial Training Grants (1971B)
Federal Transit Managerial Training Grants (1992B)
Archived (2000B)
Reinstated (2000U)
Deleted (2005)

20.504

Urban Mass Transportation Research, Development, and Demonstrations (1969B)
Urban Mass Transportation Research, Development, and Demonstration Grants (1971B)
Mass Transportation Technology (1974U)
Split into 20.504, 20.506 (1974U)
Consolidated into 20.512 (1984B)

20.505

Urban Mass Transportation Technical Studies Grants (1969B)
Federal Transit Technical Studies Grants (1992B)
Federal Transit_Metropolitan Planning Grants (1999B)
Metropolitan Transportation Planning (2010)

20.506

Urban Mass Transportation Demonstration Grants (1974U)
Split from 20.504 (1974U)
Consolidated into 20.512 (1984B)

20.507

Urban Mass Transportation Capital and Operating Assistance Formula Grants (1975B)
Federal Transit Capital and Operating Assistance Formula Grants (1992B)
Federal Transit_Formula Grants (1999B)

20.508

Urban Mass Transportation Rail Passenger Service Assistance (1977B)
Archived (1979B)

20.509

Public Transportation for Rural and Small Urban Areas (1979U2)
Public Transportation for Nonurbanized Areas (1980U1)
Formula Grants for Other Than Urbanized Areas (1999B)
Formula Grants for Rural Areas (2013)

20.510

Urban Mass Transportation Planning Methods Research and Development (1980B)
Consolidated into 20.512 (1984B)

20.511

Human Resource Program (1984B)

20.512

Urban Mass Transportation Technical Assistance (1984B)
Consolidated from 20.504, 20.506, 20.510 (1984B)
Federal Transit Technical Assistance (1992B)
Deleted (2005)

20.513

Capital Assistance Program for Elderly and Handicapped Persons (1987U)
Capital Assistance Program for Elderly Persons and Persons with Disabilities (1992B)
Enhanced Mobility of Seniors and Individuals with Disabilities (2013)

20.514

Transit Planning and Research (1993B)
Public Transportation Research (2006)

20.515

State Planning and Research (1994B)
Split from 20.514 (1994B)

20.516

Job Access_Reverse Commute (2000U)

20.518

Capital and Training Assistance Program for Over-the-Road Bus Accessibility (2000U)

20.519

Clean Fuels (2006)

20.520

Paul S. Sarbanes Transit in the Parks (2009)

20.521

New Freedom Program (2006)

20.522

Alternatives Analysis (2006)

20.523

CAPITAL ASSISTANCE PROGRAM FOR REDUCING ENERGY CONSUMPTION AND GREENHOUSE GAS EMISSIONS (2009)
Archived (2013)

20.524

Passenger Rail Investment and Improvement (PRIIA) Projects for Washington Metropolitan Area Transit Authority (WMATA) (2012)

20.525

State of Good Repair Grants Program (2012)

20.526

Bus and Bus Facilities Formula Program (2012)

20.527

Public Transportation Emergency Relief Program (2013)

20.528

Rail Fixed Guideway Public Transportation System State Safety Oversight Formula Grant Program (2013)

20.600

State and Community Highway Safety (1971B)
Consolidated from 20.207, 20.208, 20.210 (1971B)

20.601

Alcohol Traffic Safety and Drunk Driving Prevention Incentive Grants (1991U)
Alcohol Impaired Driving Countermeasures Incentive Grants I (2009)

20.602

Motorcycle Helmets and Safety Belt Incentive Grants (1992B)
Archived (1995B)
Occupant Protection, Reinstated (2000U)
Occupant Protection Incentive Grants (2009)

20.603

Federal Highway Safety Data Improvements Incentive Grants (2000U)

20.604

Safety Incentive Grants for Use of Seatbelts (2000U)

20.605

Safety Incentives to Prevent Operation of Motor Vehicles by Intoxicated Persons (2000U)
Archived (2009)

20.607

Alcohol Open Container Requirements (2004)

20.608

Minimum Penalties for Repeat Offenders for Driving While Intoxicated (2004)

20.609

Safety Belt Performance Grants (2006)
Archived (2012)
Reinstated (2012)

20.610

State Traffic Safety Information System Improvement Grants (2008)

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20.611 Incentive Grant Program to Prohibit Racial Profiling (2006)	20.722 Technology Development Grant (2009) Archived (2011)	20.805 Ship Sales (1981U) Transferred from 11.505 (1981U) Deleted (2005)
20.612 Incentive Grant Program to Increase Motorcyclist Safety (2006)	20.723 PHMSA Pipeline Safety Research and Development Other Transaction Agreements (2011)	20.806 State Marine Schools (1981U) Transferred from 11.506 (1981U) State Maritime Schools (2006)
20.613 Child Safety and Child Booster Seats Incentive Grants (2006)	20.724 Pipeline Safety Research Competitive Academic Agreement Program (CAAP) (2013)	20.807 U.S. Merchant Marine Academy (1981U) Transferred from 11.507 (1981U)
20.614 National Highway Transportation Safety Administration (NHTSA) Discretionary Safety Grants (2006) National Highway Traffic Safety Administration (NHTSA) Discretionary Safety Grants (2010)	20.750 Program of University Research (1973U) Archived (1975U)	20.808 Capital Construction Fund (1981U) Transferred from 11.508 (1981U)
20.615 E-911 Grant Program (2009) Archived (2010)	20.760 University Transportation Centers (2006) Archived (2009)	20.809 Development and Promotion of Domestic Waterborne Transport Systems (1981U) Transferred from 11.509 (1981U) Archived (1985B)
20.616 National Priority Safety Programs (2012)	20.761 Biobased Transportation Research (2008)	20.810 Supplementary Training (1981U) Transferred from 11.510 (1981U) Archived (2009)
20.700 Gas Pipeline Safety (1971U) Pipeline Safety (1987B) Pipeline Safety Program Base Grants (2008) Pipeline Safety Program State Base Grant (2012)	20.762 Research Grants (2006)	20.811 Research and Development Assistance (1981U) Transferred from 11.511 (1981U) Archived (1988B)
20.701 University Transportation Centers Program (1992B) Number changed from 20.902 (1992B)	20.763 Research and Innovative Technology (RIT) Hydrogen Alternative Fuel Life Cycle (2008) Archived (2010)	20.812 Construction Reserve Fund (1981U) Transferred from 11.512 (1981U)
20.702 University Research Institutes Program (1993B) Archived (1999B)	20.764 Hydrogen Storage Research and Development (2007)	20.813 Maritime Security Fleet Program (1997B)
20.703 Interagency Hazardous Materials Public Sector Training and Planning Grants (1993B)	20.800 Construction_Differential Subsidies (1981U) Transferred from 11.500 (1981U) Archived (1990B)	20.814 Assistance to Small Shipyards (2008)
20.704 RITA Hydrogen (2006) Archived (2010)	20.801 Development and Promotion of Ports and Intermodal Transportation (1981U) Transferred from 11.501 (1981U) Deleted (2008)	20.815 Fireboat Construction (2008) Archived (2009)
20.710 Technical Assistance Grants (2009)	20.802 Federal Ship Financing Guarantees (1981U) Transferred from 11.502 (1981U)	20.816 Americas Marine Highway Grants (2010) Archived (2013)
20.714 National Pipeline Mapping System (2000U)	20.803 Maritime War Risk Insurance (1981U) Transferred from 11.503 (1981U) Archived (1985B)	20.817 Air Emissions and Energy Initiative (2012)
20.720 State Damage Prevention Program Grants (2007)	20.804 Operating_Differential Subsidies (1981U) Transferred from 11.504 (1981U) Deleted (2004)	20.818 Great Ships Initiative (2012)
20.721 PHMSA Pipeline Safety Program One Call Grant (2008)		20.819 Ballast Water Treatment Technologies (2012)

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20.900 Air Transportation_Consumer Affairs (1985B) Transferred from 26.002 (1985B) Transportation_Consumer Affairs (1993U) Archived (2013)	Assistance to small and disadvantaged businesses (2007)	(1972B) Incorporated from 21.005 (1987B) Exchange of Federal Tax Information With State Tax Agencies (1987B)
20.901 Payments for Essential Air Services (1985B) Transferred from 26.003 (1985B)	20.920 Transportation Statistics Research Grants (2000U)	21.005 State Tax Model (1973U) Individual Tax Model (1978U) Incorporated into 21.004 (1987B)
20.902 University Transportation Centers Program (1987U) Number changed to 20.701 (1992B) Student Training and Education Program (1993B) Consolidated into 20.907 (1995B)	20.930 Payments for Small community Air Service Development (2004)	21.006 Tax Counseling for the Elderly (1980U)
20.903 Support Mechanisms for Disadvantaged Businesses (1993B)	20.931 Transportation Planning, Research and Education (2006) Archived (2009) Reinstated (2010)	21.007 Availability of Tax Information to Certain Welfare Agencies (1987B) Availability of Tax Information to Certain Agencies Administering Needs-Based Programs (1991B) Archived (1999B)
20.904 Disadvantaged Business Enterprises_Bonding Assistance Program (1993U) Bonding Assistance Program (1998U) Deleted (2005)	20.932 Surface Transportation _ Discretionary Grants for Capital Investment. (2009) Archived (2010) Reinstated (2011)	21.008 Low-Income Taxpayer Clinics (1999B) Low Income Taxpayer Clinics (2009)
20.905 Disadvantaged Business Enterprises_Short Term Lending Program (1993U)	20.933 Surface Transportation Infrastructure _ Discretionary Grants for Capital Investments II (2010) Surface Transportation Infrastructure _ Discretionary Grants for Capital Investments (2011) National Infrastructure Investments (2011)	21.009 Volunteer Income Tax Assistance (VITA) Matching Grant Program (2009)
20.906 Hispanic Serving Institutions_Student Training and Education (1994B) Hispanic Serving Institutions_Entrepreneurial Training and Technical Assistance (1998U) Split into 20.908 (2000U) Deleted (2004) Consolidated into 20.907 (2006)	64.129 Monthly Allowance for Certain Children of Vietnam Veterans (2004)	21.010 Financial Education and Counseling Pilot Program (2009)
20.907 Entrepreneurial Training and Technical Assistance Program Consolidated from 20.902 (1995B) Historically Black Colleges and Universities_Entrepreneurial Training and Technical Assistance (1999B) Split into 20.908 (2000U) Deleted (2006) Entrepreneurial Training and Technical Assistance Women and Girls Program (2010) Archived (2013)	DEPARTMENT OF THE TREASURY Narcotics Law Enforcement Training (1967B) Archived (1969B)	21.011 Capital Magnet Fund (2010)
20.908 Tribal Colleges_Entrepreneurial Training and Technical Assistance Split from 20.906, 20.907 (2000U) Deleted (2004)	21.001 Alcohol, Tobacco, and Firearms_Laboratory Analysis (1970B) Number changed to 21.051 (1972U)	21.012 Native Initiatives (2010)
20.910	21.002 Assistance in Law Enforcement (1967B) Law Enforcement Training Assistance (1969B) Alcohol, Tobacco, and Firearms_Training Assistance (1970B) Number changed to 21.052 (1972U)	21.013 Qualifying Therapeutic Discovery Project Credit (2010) Archived (2012)
	21.003 Taxpayer Assistance (1969B) Tax Information and Training (1970B) Tax Information and Education (1972B) Taxpayer Service (1981B) Archived (2013)	21.014 Community Development Financial Institutions Bond Guarantee Program (2012)
	21.004 Federal Tax Information for State Tax Officials	21.015 Resources and Ecosystems Sustainability, Tourist Opportunities, and Revived Economies of the Gulf Coast States (2013)

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Alcohol, Tobacco, and Firearms_Laboratory Analysis (1972U)
Number changed from 21.001 (1972U)
Archived (1990B)

21.052

Alcohol, Tobacco, and Firearms_Training Assistance (1972U)
Number changed from 21.002 (1972U)
Number changed to 16.012 (2004)

21.053

Gang Resistance Education and Training (2000B)
Number changed to 16.737 (2004)

21.100

Secret Service_Training Activities (1970B)
Transferred to 97.015 (2003B)

21.200

Antidumping_Countervailing Duties (1971B)
Antidumping Duties (1975B)
Split into 21.200, 21.201 (1975B)
Transferred to 11.106 (1980B)

21.201

Countervailing Duty on Imports (1975B)
Split from 21.200 (1975B)
Transferred to 11.107 (1980B)

21.300

State and Local Government Fiscal Assistance_General Revenue Sharing (1980B)
State and Local Government Fiscal Assistance_Revenue Sharing (1985B)
Archived (1987B)

ADVISORY COMMISSION ON INTERGOVERNMENTAL RELATIONS

22.001

Intergovernmental Relations Advisory Service (1967B)
Archived (1974B)

APPALACHIAN REGIONAL COMMISSION

Regional Economic Development Commissions (1969B)
Split into individual Commissions (1970B)

17.807

Transition Assistance Program (2004)

23.001

Appalachian Regional Development (1969B)
Split into 23.001, 23.002, 23.003, 23.004, 23.005, 23.006, 23.007, 23.008, 23.009, 23.010, 23.011, 23.012 (1970B)

23.002

Appalachia_Supplements to Federal Grant-in-Aid (1970B)

Split from 23.001 (1970B)

Appalachian Supplements to Federal Grant-in-Aid (Community Development) (1978B)

Appalachian Supplements to Federal Grant-in-Aid (Area Development), Consolidated from 23.004, 23.005, 23.012, 23.013 (1996B)

Appalachian Area Development (1999B)

23.003

Appalachian Development Highway System (1970B)

Split from 23.001 (1970B)

23.004

Appalachian Health Demonstrations (1970B)

Split from 23.001 (1970B)

Appalachian Health Programs (1976B)

Consolidated into 23.002 (1996B)

23.005

Appalachian Housing Fund (1970B)

Split from 23.001 (1970B)

Appalachian Housing Planning Loan (1975B)

Appalachian Housing Planning Loan Fund (1975U)

Appalachian Housing Project Planning Loan and Site Development and Off-Site Improvement Grant:

State Appalachian Housing Programs (1977B)

Appalachian Housing Project Planning Loan,

Technical Assistance Grant and Site Development

and Off-Site Improvement Grant: State

Appalachian Housing Programs (1979B)

Consolidated into 23.002 (1996B)

23.006

Appalachian Housing Technical Assistance

(1970B)

Split from 23.001 (1970B)

Appalachian State Housing Technical Assistance

(1975B)

Appalachian State Housing Technical Assistance

Grants (1976U)

Incorporated into 23.005 (1979B)

23.007

Appalachian Land Stabilization, Conservation, and

Erosion Control (1970B)

Split from 23.001 (1970B)

Archived (1970U)

23.008

Appalachian Local Access Roads (1970B)

Split from 23.001 (1970B)

Incorporated into 23.002 (2006)

23.009

Appalachian Local Development District

Assistance (1970B)

Split from 23.001 (1970B)

23.010

Appalachian Mine Area Restoration (1970B)

Split from 23.001 (1970B)

Archived (1996B)

23.011

Appalachian State Research, Technical Assistance and Demonstration Projects (1970B)

Split from 23.001 (1970B)

Appalachian State Research, Technical Assistance, and Demonstration Projects (1971B)

Appalachian Research, Technical Assistance, and Demonstration Projects (2005)

Appalachian Research, Technical Assistance, and Demonstration Projects (2006)

23.012

Appalachian Vocational Education Facilities (1970B)

Split from 23.001 (1970B)

Appalachian Vocational Education Facilities and Operations (1972B)

Appalachian Vocational and Other Education

Facilities and Operations (1976B)

Consolidated into 23.002 (1996B)

23.013

Appalachian Child Development (1970U)

Consolidated into 23.002 (1996B)

23.014

Appalachian Housing Site Development (1972B)

Appalachian Housing Site Development and Off

Site Improvement Grants (1975B)

Incorporated into 23.005 (1977B)

23.016

Appalachian Vocational and Technical Education

Demonstration Grants (1972B)

Incorporated into 23.012 (1976B)

23.017

Appalachian Special Transportation Related Planning, Research and Demonstration Program

(1975B)

Archived (1989B)

ATOMIC ENERGY COMMISSION

Construction of Nuclear Power Stations (1967B)

Archived (1970B)

Licensing of Nuclear Power Stations (1967B)

Archived (1970B)

Nuclear Education and Training (1967B)

Split into "Nuclear Education, Training" Programs (1970B)

Research Support in the Biological, Medical, and Health Sciences (1967B)

Appendix VII: Historical Profile of Catalog Programs

Archived (1970B)

Research Support in the Physical Sciences (1967B)
Archived (1970B)

Surveys and Evaluation of Environmental
Radiation Hazards (1967B)
Archived (1970B)

Training of State Personnel in AEC Regulatory
Functions (1967B)
Archived (1970B)

Transferred to Industry of AEC Generated
Technology (1967B)

Deleted (1970B)

24.001

Access Permits (1970B)
Transferred to 81.001 (1978B)

24.002

Atomic Energy Motion Picture Film Libraries
(1970B)
Nuclear Science and Technology_Motion Picture
Film Libraries (1974B)
Motion Picture Film Libraries (1975B)
Transferred to 81.002 (1978B)

24.003

Granting of Patent Licenses (1970B)
Transferred to 81.003 (1978B)

24.004

Nuclear Education and Training_Faculty Research
Participation (1970B)
Nuclear Education and Training_Faculty Research
Participation_Laboratory Cooperative Program
(1973U)
Nuclear Science and Technology_Faculty and
Student Participation_Laboratory Cooperative
Program (1974B)
Faculty and Student Participation_Laboratory
Cooperative Program (1975B)
Transferred to 81.004 (1978B)

24.005

Nuclear Education and Training_Faculty-Student
Conferences (1970B)
Archived (1973U)

24.006

Nuclear Education and Training_Faculty-Student
Experiments (1970B)
Consolidated into 24.032 (1973B)

24.007

Nuclear Education and Training_Faculty Training
Institutes (1970B)
Nuclear Science and Technology_Faculty Training

Institutes and Workshops (1974B)
Faculty Training Institutes, Short Courses, and
Workshops on Energy and Environmental Subjects
(1975B)
Transferred to 81.007 (1978B)

24.008

Nuclear Education and Training_Faculty
Workshops (1970B)
Consolidated into 24.032 (1973B)

24.009

Nuclear Education and Training_Honors Program
for Senior Science Majors (1970B)
Incorporated into 24.019 (1973B)

24.010

Nuclear Education and Training_Laboratory
Graduate Fellowships (1970B)
Nuclear Education and Training_Laboratory
Graduate Participants (1971B)
Nuclear Education and Training_Laboratory
Graduate Participants_Laboratory Cooperative
Program (1973U)
Incorporated into 24.004 (1974B)

24.011

Nuclear Education and Training_Loan of Material
to Nonprofit Institutions (1970B)
Nuclear Education and Training_Material for
Nonprofit Institutions (1972B)
Nuclear Science and Technology_Research Reactor
Materials Assistance (1974B)
Research Reactor and Materials Assistance (1975B)
Transferred to 81.011 (1978B)

24.012

Nuclear Education and Training_Medical
Radioisotope Course (1970B)
Archived (1973B)

24.013

Nuclear Education and Training_Mobile
Radioisotope Laboratory (1970B)
Archived (1974U)

24.014

Nuclear Education and Training_Nuclear Medical
Technology Course (1970B)
Archived (1973B)

24.015

Nuclear Education and Training_Radioisotope
Techniques Training Courses (1970B)
Archived (1973B)

24.016

Nuclear Education and Training_Reactor Fuel
Cycle Assistance (1970B)
Incorporated into 24.011 (1974B)

24.017

Nuclear Education and Training_Special
Fellowships in Nuclear Science and Engineering
(1970B)
Archived (1973B)

24.018

Nuclear Education and Training_Special
Fellowships in Radiation Science and Protection
(1970B)
Nuclear Education and Training_Special
Fellowships in Radiation Protection (1971B)
Archived (1973B)

24.019

Nuclear Education and Training_Summer Student
Training (1970B)
Nuclear Education and Training_Student Research
Participation (1972B)
Nuclear Education and Training_Student Research
Participation_Laboratory Cooperative Program
(1973U)
Incorporated into 24.004 (1974B)

24.020

Nuclear Education and Training_Traineeships for
Graduate Students in Nuclear Engineering (1970B)
Archived (1973B)
Reinstated (1974B)
Nuclear Science and Technology_Traineeships for
Graduate Students in Nuclear Engineering,
Radiation Protection, and Environmental
Engineering (1974B)
Nuclear Science and Technology_Traineeships for
Graduate Students in Nuclear Engineering,
Radiation Protection, and Environmental Sciences
and Engineering (1974U)
Traineeships for Graduate Students in Nuclear
Engineering, Radiation Protection, and
Environmental Sciences and Engineering (1975B)
Traineeships for Graduate Students in Engineering
Studies Related to Energy Conversion, Health and
Safety, and Environmental Sciences and
Engineering (1976B)
Transferred to 81.020 (1978B)

24.021

Nuclear Education and Training_University
Reactor Sharing (1970B)
Incorporated into 24.011 (1974B)

24.022

Nuclear Education and Training_Used
Nuclear-Type Equipment Grants (1970B)
Nuclear Science and Technology_Used
Nuclear-Type Equipment Grants (1974B)
Used Nuclear-Type Equipment Grants (1975B)
Transferred to 81.022 (1978B)

24.023

Nuclear Science Information (1967B)

Appendix VII: Historical Profile of Catalog Programs

Nuclear Science and Technology_Literature and Information Services (1970B)

Nuclear Science and Technology_Literature, Exhibits, and Other Information Services (1974B)
Information Services_Exhibits, Publications, Reference, and Analysis (1975B)
Information Services_Exhibits, Public Speakers, Publications, Reference, and Analysis (1975U)
Transferred to 81.023 (1978B)

24.024

Nuclear Science and Technology_Research and Development (1969B)
Research and Development in Nuclear, Solar, Geothermal, and Advanced Energy Systems, and Physical, Biomedical, and Environmental Sciences (1975B)
Split into 24.024, 24.035 (1975B)
Transferred/Incorporated into 81.037, 81.046, 81.049 (1978B)

24.025

Nuclear Science Lecture Demonstration for Secondary Schools (1970B)
Archived (1973B)

24.026

Payments in Lieu of Property Taxes (1970B)
Transferred to 81.026 (1978B)

24.027

Radiation Control Training Assistance (1969B)
Radiation Control_Training Assistance and Advisory Counseling (1970B)
Transferred to 77.001 (1975B)

24.028

Radiological Safety Assistance (1967B)
Radiological Emergency Assistance (1971B)
Transferred to 81.028 (1978B)

24.029

Uranium Industry Workshops (1970B)
Nuclear Industry Seminars (1972U)
Transferred to 81.029 (1978B)

24.030

Work Experience (1970B)
Transferred to 81.030 (1978B)

24.031

Nuclear Materials Safeguards Training (1970U)
Incorporated into 24.007 (1973B)

24.032

Nuclear Education and Training_Instructional Activities (1973B)
Consolidated from 24.006, 24.008 (1973B)
Archived (1973U)

24.033

Nuclear Science and Technology_Citizens' Workshops (1974B)
Citizens' Workshops (1975B)
Transferred to 81.033 (1978B)

24.034

Research and Development in Fossil Energy (1975B)
Transferred from 15.307 (1975B)
Transferred/Split into 81.037, 81.046 (1978B)

24.035

Research and Development in Energy Conservation (1975B)
Split from 24.024 (1975B)
Transferred to 81.035 (1978B)

24.036

Energy-Related Inventions (1976B)
Transferred to 81.036 (1978B)

CABINET COMMITTEE ON OPPORTUNITIES FOR SPANISH-SPEAKING PEOPLE

25.001

Spanish Surnamed Communities Assistance (1969B)
Spanish Surnamed Americans Assistance (1970B)
Spanish-Speaking Americans Assistance (1970U)
Archived (1975B)

CIVIL AERONAUTICS BOARD

Air Transport_Community and Consumer Services (1969B)
Archived (1970B)

26.001

Air Carrier Payments (1970B)
Archived (1981B)

26.002

Air Transportation_Consumer Complaints (1970U)
Air Transportation_Consumer Affairs (1972B)
Transferred to 20.900 (1985B)

26.003

Payments for Essential Air Services (1979B)
Transferred to 20.901 (1985B)

26.004

Public Participation (1979B)
Archived (1980B)

OFFICE OF PERSONNEL MANAGEMENT

27.001

Opportunities for Federal Civil Service Employment (1967B)

Federal Civil Service Employment (1970B)

27.002

Employment Assistance for Veterans (1969B)
Federal Employment Assistance for Veterans (1970B)

27.003

Stay in School Campaign_Youth Opportunity (1967B)
Youth Employment (1969B)
Federal Employment for Disadvantaged Youth_Part-Time (1970B)
Consolidated from 27.004 (1995B)
Federal Student Temporary Employment Program (1996B)

27.004

Youth Summer Employment (1969B)
Federal Employment for Disadvantaged Youth_Summer (1970B)
Consolidated into 27.003 (1995B)

27.005

Federal Employment for the Handicapped (1970B)

27.006

Federal Summer Employment (1970B)

27.007

Personnel Management Assistance to State and Local Governments (1970B)
Archived (1971U)

27.008

Recruiting and Staffing Assistance to State and Local Governments (1970B)
Intergovernmental Cooperation in Recruiting and Examining (1971U)
Archived (1984U)

27.009

Training Assistance to State and Local Governments (1970B)
Archived (1996U)

27.010

State Personnel Merit Systems_Technical Assistance (1971B)
Transferred from 13.605 (1971B)
State and Local Personnel Merit Systems and Other Technical Assistance (1978U)
State and Local Merit Personnel Systems and Other Technical Assistance (1979U2)
Archived (1981U)

27.011

Intergovernmental Mobility of Federal, State, and Local Employees (1971U)

27.012

Appendix VII: Historical Profile of Catalog Programs

Intergovernmental Personnel Grants (1971U)
Archived (1981U)

27.013

Presidential Management Intern Program (1978B)

COASTAL PLAINS REGIONAL COMMISSION

28.001

Coastal Plains Regional Economic Development (1970B)
Archived (1973B)
Reinstated (1973U)
Archived (1981U)

28.002

Coastal Plains Technical and Planning Assistance (1975B)
Archived (1981U)

28.003

Coastal Plains Supplements to Federal Grant-in-Aid (1975B)
Archived (1981U)

28.004

Coastal Plains Regional Transportation (1977U)
Archived (1981U)

28.005

Coastal Plains Energy Demonstration Projects and Programs (1977U)
Archived (1981U)

28.007

Coastal Plains Health and Nutrition Demonstration Projects (1977U)
Archived (1981U)

28.008

Coastal Plains Education Demonstration Projects (1977U)
Archived (1981U)

U.S. COMMISSION ON CIVIL RIGHTS

Job Discrimination_Reports (1969B)
Archived (1970B)

29.001

Civil Rights (1967B)
Civil Rights Compliance and Information (1969B)
Clearinghouse Services and Civil Rights Complaints (1970B)
Clearinghouse Services, Civil Rights and Sex Discrimination Complaints (1973B)
Clearinghouse Services, Civil Rights Discrimination Complaints (1981B)

EQUAL EMPLOYMENT OPPORTUNITY COMMISSION

Affirmative Action Program_Equal Employment Opportunity (1967B)
Archived (1970B)

Equal Employment Opportunity Grants (1967B)
Archived (1970B)

Job Discrimination_Reports (1969B)
Archived (1970B)

30.001

Job Discrimination_Investigation and Conciliation of Complaints (1969B)
Employment Discrimination_Investigation, Conciliation and Litigation of Unlawful Employment Practices (1975B)
Employment Discrimination_Settlement, Investigation, Conciliation and Litigation of Unlawful Employment Practices (1978B)
Employment Discrimination_Title VII of the Civil Rights Act of 1964 (1986B)

30.002

Job Discrimination_Special Project Grants (1969B)
Employment Discrimination_Special Project Grants and Contracts (1975B)
Employment Discrimination_Special Project Contracts (1976B)
Employment Discrimination_State and Local Anti-Discrimination Agency Contracts (1976U)
Employment Discrimination_State and Local Fair Employment Practices Agency Contracts (1992B)
Archived (2010)

30.003

Job Discrimination_Technical Assistance to Employers, Unions, and Employment Agencies (1969B)
Job Discrimination_Technical Assistance (1973B)
Employment Discrimination_Technical Assistance (1975B)
Archived (1978B)

30.004

Employment Discrimination_Program for the Development of the Private Bar (1975U)
Archived (1977B)

30.005

Employment Discrimination_Project Grants and Demonstration Grants (1978B)
Employment Discrimination_Private Bar Project and Demonstration Contracts (1979U2)
Employment Discrimination_Private Bar Program (1981U)

30.006

Employment Discrimination_Voluntary Compliance by Public Employers (1978U)
Employment Discrimination_Voluntary Compliance by Non-Federal Public Employers

(1979U2)
Employment Discrimination_Compliance Program for Non-Federal Public Employers (1980U1)
Archived (1982B)

30.007

Employment Discrimination Project Grants_Tribal Native Americans (1978U)
Archived (1979U2)

30.008

Employment Discrimination_Age Discrimination in Employment (1979U1)
Transferred from 17.300 (1979U1)
Consolidated from 30.012 (1995U)

30.009

Employment Discrimination Project Contracts_Indian Tribes (1979U2)
Archived (2010)

30.010

Employment Discrimination_Equal Pay Act (1979U2)
Transferred/Split from 17.303 (1979U2)
Consolidated from 30.012 (1995U)
Women's Bureau (2004)
Employment Discrimination Equal Pay Act (2005)
Employment Discrimination Equal Pay Act (2005)

30.011

Employment Discrimination_Title I of The Americans with Disabilities Act, Investigations (1992B)
Employment Discrimination_Title I of The Americans with Disabilities Act (1995B)
Consolidated from 30.012 (1995U)

30.012

Employment Discrimination_Title I of The Americans with Disabilities Act, Technical Assistance (1992B)
Consolidated into 30.008, 30.010, 30.011 (1995U)

30.013

Employment Discrimination-Title II of the Genetic Information Nondiscrimination Act of 2008 (2011)

EXPORT - IMPORT BANK OF THE UNITED STATES

31.001

Medium-Term Guarantees to Commercial Banks (1970B)
Split into 31.002, 31.004, 31.005 (1971B)

31.002

Commerical Bank Export Credit Loans (1971B)
Split from 31.001 (1971B)
Archived (1973B)

Appendix VII: Historical Profile of Catalog Programs

31.003 Export Credit Information Service for U.S. Commercial Banks (1971B) Archived (1973B)	Forestry, Tree Planting (1967B) Archived (1969B)	Archived (1982B)
31.004 Export Financing_Direct Loans and Participation Financing (1971B) Split from 31.001 (1971B) Archived (1973B)	Recreation Resource Development (1967B) Archived (1969B)	37.006 Claims of Civilian Internees in Vietnam (1973U) Archived (1978B)
31.005 Export Financing_Guarantees and Insurance (1971B) Split from 31.001 (1971B) Archived (1973B)	Water Conservation Assistance (1967B) Archived (1969B)	37.007 Second Hungarian Claims Program (1975B) Archived (1977U)
31.006 Export Financing_Preliminary Commitments (1971B) Archived (1973B)	Water Pollution Assistance (1967B) Archived (1969B)	37.008 German Democratic Republic Claims Program (1977U) Archived (1981B)
31.007 Export - Loan Guarantee/Insured Loans (2009)	35.001 Water Resources Development (1967B) Archived (1973B)	37.009 Second China Claims Program (1979U1) Archived (1981U)
FEDERAL COMMUNICATIONS COMMISSION	35.002 Beautification of Hydroelectric Projects (1967B) Wholesale Electric Power Service (1969B) Archived (1973B)	37.010 Vietnam Claims Program (1981B) Archived (1986B)
32.001 Communications_Information, Regulation, and Investigation of Complaints (1969B) Communications_Information and Investigation of Complaints (1972B) Communications Information and Assistance and Investigation of Complaints (1983U)	35.003 Wholesale Natural Gas Service (1967B) Archived (1973B)	37.011 Second Czechoslovakian Claims Program (1982B) Archived (1985B)
FEDERAL MARITIME COMMISSION	FEDERAL TRADE COMMISSION	37.012 Ethiopian Claims Program (1986U) Archived (1987U)
33.001 Shipping_Investigation of Complaints (1969B) Shipping Investigation of Complaints (1997B) Shipping_Dispute Resolution and Investigation of Complaints (2006)	36.001 Consumer and Small Business Protection (1967B) Consumer and Business Protection (1970B) Fair Competition Counseling and Investigation of Complaints (1972B)	FOUR CORNERS REGIONAL COMMISSION
FEDERAL MEDIATION AND CONCILIATION SERVICE	36.002 Public Rulemaking Participation (1976B) Archived (1981U)	38.001 Four Corners Regional Economic Development (1970B) Archived (1973B) Reinstated (1973U) Archived (1981U)
34.001 Labor Mediation and Conciliation (1969B)	FOREIGN CLAIMS SETTLEMENT COMMISSION OF THE UNITED STATES	38.002 Four Corners Technical and Planning Assistance (1975B) Archived (1981U)
34.002 Labor-Management Cooperation (1982B) Archived (1992B) Labor Management Cooperation (1993B) Deleted Program no longer funded (2008)	37.001 Claims Against the Government of Bulgaria (1970B) Archived (1971B)	38.003 Four Corners Supplements to Federal Grant-in-Aid (1975B) Archived (1981U)
FEDERAL POWER COMMISSION	37.002 Claims Against the Government of Italy (1970B) Archived (1971B)	38.004 Four Corners Regional Transportation (1977U) Archived (1981U)
Fish Protection (1967B) Archived (1969B)	37.003 Claims Against the Government of Rumania (1970B) Archived (1971B)	38.005 Four Corners Energy Demonstration Projects and Programs (1977U) Archived (1981U)
Flood Control (1967B) Archived (1969B)	37.004 Claims of Civilian American Citizens Interned in Southeast Asia (1970U) Archived (1972B)	38.007 Claims of Prisoners of War in Vietnam (1970U)

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Four Corners Health and Nutrition Demonstration Projects (1977U)
Archived (1981U)

GENERAL SERVICES ADMINISTRATION

Payments to Small Lead and Zinc Mines (1967B)
Archived (1969B)

39.001

Non-Military Procurement from Labor Surplus and Redevelopment Areas (1967B)
Counseling on Doing Business with the Government (1969B)
Business Services (1970B)

39.002

Disposal of Federal Surplus Real Property (1965B)

39.003

Donation of Federal Surplus Personal Property (1965B)

39.004

National Archives Reference Services (1969B)
Transferred to 89.001 (1985B)

39.005

Audiovisual Support Services (1969B)
National Audiovisual Center (1970B)
Transferred to 89.002 (1985B)

39.006

National Historical Sources Grants (1969B)
National Historical Publications Grants (1971B)
National Historical Publications and Records Grants (1976B)
Transferred to 89.003 (1985B)

39.007

Sale of Federal Surplus Personal Property (1965B)

39.008

Federal Information Center (1971B)
Consolidated into 39.009 (2000B)

39.009

Consumer Product Information Index (1972B)
Consumer Product Information Center (1973B)
Consumer Information Center (1974B)
Federal Consumer Information Center,
Consolidated from 39.008 (2000B)
Federal Citizen Information Center (2003B)
Archived (2010)

39.010

Continuity of Government and Management of Resources in Emergencies (1973U)
Transferred from 50.001 (1973U)
Archived (1976B)

Reinstated (1980B)
State Participation in Federal Telpaks (1980B)
Archived (1980U2)

39.012

Public Buildings Service (2008)

GOVERNMENT PRINTING OFFICE

40.001

Government Publications_Depository Library Distribution (1969B)
Depository Libraries for Government Publications (1970B)
Depository Libraries for Government Publications (2004)

40.002

Government Publications_Sales to the Public (1969B)
Government Publications_Sales and Distribution (1970B)
Government Publications Sales and Distribution (1992B)
Government Publications Sales and Distribution (2004)

INTERSTATE COMMERCE COMMISSION

41.001

Federal-State Highway Transportation Cooperative Economic Law Enforcement Agreements (1967B)
Interstate Commerce_Cooperative Enforcement Agreements with States (1969B)
Archived (1995B)

41.002

Interstate Commerce_Investigation of Complaints (1969B)
Archived (1996B)

LIBRARY OF CONGRESS

42.001

Talking Book Services Program (1965B)
Books and Talking Books for the Blind and the Physically Handicapped (1967B)
Books for the Blind and Physically Handicapped (1969B)
Books for the Blind and Physically Handicapped (2005)

42.002

Copyright Service (1969B)

42.003

Distribution of Library of Congress Catalog Cards (1969B)
Distribution of Library of Congress Cataloging (1976B)
Deleted (2005)

42.004

Library of Congress Photoduplication Service (1969B)
Archived (1978U)

42.005

Library of Congress Publications (1967B)

42.006

Library of Congress Reference and Bibliographic Services (1969B)
Library of Congress Research and Reader Services (1977B)
Library of Congress Research Services (1979U2)
Library of Congress Constituent and Collection Services (1990B)
Library of Congress_Library Services (1996B)
Deleted (2005)

42.007

Research and Referral Services in Science and Technology (1969B)
Reference and Referral Services in Science and Technology (1977B)
Reference Services in Science and Technology (1981B)
Reference Services in Science, Technology and Business (2000B)
Deleted (2005)

42.008

Reference and Consultation Services in Preservation, Restoration, and Protection of Library Materials (1971B)
Archived (1978U)
Semiconductor Chip Protection Service (1987B)

42.009

Vessel Hull Design Protection Service (2004)

NATIONAL AERONAUTICS AND SPACE ADMINISTRATION

Assistance for University Research and Training Activities (1969B)
Archived (1970B)

43.001

Space and Aeronautics Education and Information Services (1969B)
Space Science Education Project (1970B)
Aerospace Education Services Project (1977B)
Aerospace Education Services Program (1991U)
Science (2010)

43.002

Technology Utilization (1967B)
Transferred to Private Sector of Aerospace Technology (1969B)
Technology Utilization (1970B)
Technology Transfer (1978U)

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Technology Utilization (1984B)
Technology Transfer (1993U)
Aeronautics (2010)

43.003

Exploration (2010)

43.004

Aeronautics, Recovery Act (2009)

43.005

Exploration, Recovery Act (2009)

43.006

Science, Recovery Act (2009)

43.007

Space Operations (2010)

43.008

Education (2010)

43.009

Cross Agency Support (2010)

43.010

Construction & Environmental Compliance & Remediation (2010)

43.011

Office of Inspector General (2010)

NATIONAL CREDIT UNION ADMINISTRATION

44.001

Federal Credit Union Charter, Examination, and Supervision (1970B)

Credit Union Charter, Examination, Supervision, and Insurance (1971B)

Archived (2013)

44.002

Community Development Credit Union Revolving Loan Fund

Number changed from 13.794 (1987B)

Community Development Revolving Loan Program for Credit Unions (1988B)

Community Development Revolving Loan Fund Program for Credit Unions (2005)

Community Development Revolving Loan Fund Program for Credit Unions (2005)

FEDERAL COUNCIL ON THE ARTS AND THE HUMANITIES

45.201

Arts and Artifacts Idemnity (1976U)

INSTITUTE OF MUSEUM AND LIBRARY SERVICES

45.301

Institute of Museum Services (1982B)

Transferred from 84.115 (1982B)

Institute of Museum and Library Services (1997B)
Split into 45.302, 45.303, 45.304, 45.305, 45.306 (1998B)

Institute of Museum and Library Services_General Operating Support (1998B)

Institute of Museum and Library Services (2000B)

Museum for America Grants (2004)

Museums for America (2006)

45.302

Museum Assessment Program

Split from 45.301 (1998B)

Archived (2013)

45.303

Conservation Project Support

Split from 45.301 (1998B)

Archived (2013)

45.304

Conservation Assessment Program

Split from 45.301 (1998B)

Archived (2013)

45.305

Professional Services Program

Split from 45.301 (1998B)

Consolidated into 45.312 (2001B)

45.306

Museum Leadership Initiatives

Split from 45.301 (1998B)

Consolidated into 45.312 (2001B)

45.307

21st Century Museum Professionals (2005)

Archived (2013)

45.308

Native American/Native Hawaiian Museum

Services Program (2005)

45.309

Museum Grants for African American History and Culture (2006)

45.310

State Library Program (1998B)

Grants to States (2006)

45.311

Native American Library Services (1998B)

Native American and Native Hawaiian Library

Services (2004)

45.312

Institute of Museum and Library Services_National Leadership Grants (1998B)

National Leadership Grants (2004)

45.313

Institute of Museum and Library Services_Recruiting and Educating Librarians for the 21st Century (2003B)

Librarians for the 21st Century (2004)

Librarians for the 21st Century (2004)

Laura Bush 21st Century Librarian Program (2006)

NATIONAL ENDOWMENT FOR THE ARTS

Grants for Progress in the Arts (1967B)

Split into 45.001 - 45.009 (1970B)

Progress in the Humanities (1969B)

Split into 45.100 - 45.106 (1970B)

45.001

Promotion of the Arts_Architecture and Design (1970B)

Promotion of the Arts_Architecture and Environmental Arts (1971U)

Promotion of the Arts_Architecture, Planning, and Design (1978B)

Promotion of the Arts_Design Arts (1979U2)

Consolidated into 45.024 (1996B)

45.002

Promotion of the Arts_Dance (1970B)

Consolidated into 45.024 (1996B)

45.003

Promotion of the Arts_Education (1970B)

Promotion of the Arts_Artists-in-Schools (1979U2)

Promotion of the Arts_Artists-in-Education (1981B)

Promotion of the Arts_Arts in Education (1986U)

Consolidated into 45.024, 45.025 (1996B)

45.004

Promotion of the Arts_Literature (1970B)

Consolidated into 45.024 (1996B)

45.005

Promotion of the Arts_Music (1970B)

Split into 45.005, 45.014 (1978U)

Consolidated into 45.024 (1996B)

45.006

Promotion of the Arts_Public Media (1970B)

Promotion of the Arts_Media Arts:

Film/Radio/Television (1977B)

Consolidated into 45.024 (1996B)

45.007

Promotion of the Arts_State and Community Operations (1970B)

Promotion of the Arts_Federal-State Partnership (1973B)

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Promotion of the Arts_State Program/Partnership Coordination (1980B)
Promotion of the Arts_State Programs/Partnership Coordination (1982B)
Promotion of the Arts_Public Partnership (1983B)
Promotion of the Arts_State Programs (1984B)
Promotion of the Arts_States Program (1989U)
Promotion of the Arts_State and Regional Program (1992B)
Consolidated into 45.025 (1996B)

45.008

Promotion of the Arts_Theatre (1970B)
Promotion of the Arts_Theater (1984B)
Consolidated into 45.024 (1996B)

45.009

Promotion of the Arts_Visual Arts (1970B)
Consolidated into 45.024 (1996B)

45.010

Promotion of the Arts_Expansion Arts (1971U)
Consolidated into 45.024, 45.025 (1996B)

45.011

Promotion of the Arts_Special Projects (1972B)
Split into 45.011, 45.015 (1978U)
Promotion of the Arts_Internship (Special Projects) (1978U)
Promotion of the Arts_Special Projects (1979B)
Split into 45.011, 45.020 (1980B)
Promotion of the Arts_Inter-Arts (1980U1)
Promotion of the Arts_Presenting and Commissioning (1992B)
Promotion of the Arts_Presenting (1995B)
Consolidated into 45.024, 45.025 (1996B)

45.012

Promotion of the Arts_Museums (1972B)
Consolidated into 45.024 (1996B)

45.013

Promotion of the Arts_Challenge Grants (1977U)
Split into 45.013, 45.022 (1983B)
Consolidated into 45.024 (1996B)

45.014

Promotion of the Arts_Opera and Musical Theatre (1978U)
Split from 45.005 (1978U)
Number changed to 45.015 (1979U2)
Promotion of the Arts_Folk Arts (1980B)
Promotion of the Arts_Opera and Musical Theatre (1980U1)
Promotion of the Arts_Opera and Musical Theater (1984B)
Promotion of the Arts_Opera-Musical Theater (1987B)
Consolidated into 45.024 (1996B)

45.015

Promotion of the Arts_Folk Arts (1978U)
Split from 45.011 (1978U)
Number changed to 45.014 (1979U2)
Promotion of the Arts_Opera and Musical Theatre (1980B)
Promotion of the Arts_Folk Arts (1980U1)
Promotion of the Arts_Folk and Traditional Arts (1995B)
Consolidated into 45.024, 45.025 (1996B)

45.020

Promotion of the Arts_National Endowment Fellowship Program (1980B)
Split from 45.011 (1980B)
Number changed to 45.021 (1980B)

45.021

Promotion of the Arts_Policy, Planning and Research (1983B)
Number changed from 45.020 (1983B)
Promotion of the Arts_Fellowship Program for Arts Management (1984B)
Promotion of the Arts_Arts Management Fellowship Program (1985)
Promotion of the Arts_Arts Administration Fellows Program (1987U)
Archived (1996B)

45.022

Promotion of the Arts_Advancement Grants
Split from 45.013 (1983B)
Consolidated into 45.024 (1996B)

45.023

Promotion of the Arts_Test Program of Support for Local Arts Agencies (1983U)
Promotion of the Arts_Locals Program (1989U)
Promotion of the Arts_Local Arts Agencies Program (1992U)
Consolidated into 45.024, 45.025 (1996B)

45.024

Promotion of the Arts_Grants to Organizations and Individuals
Consolidated from 45.001 - 45.006, 45.008 - 45.015, 45.022, 45.023 (1996B)

45.025

Promotion of the Arts_Partnership Agreements
Consolidated from 45.003, 45.007, 45.010, 45.011, 45.015, 45.023 (1996B)

45.026

Promotion of the Arts_Leadership Initiatives (1996B)
Deleted (2004)

45.027

Promotion of the Arts_Challenge America Grants

(2001B)
Deleted (2003)

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Consolidated into program 45.024 (2003B)

45.100

Promotion of the Humanities_Afro-American Fellowships (1970B)
Number changed to 45.107 (1970U)

45.101

Promotion of the Humanities_Education (1970B)
Archived (1971U)

45.102

Promotion of the Humanities_Fellowships and Summer Stipends for Younger Humanists (1970B)
Consolidated into 45.118, 45.121 (1974U)

45.103

Promotion of the Humanities_Fellowships_Faculty Development Grants (1970B)
Incorporated into 45.101 (1971B)

45.104

Promotion of the Humanities_Public Programs (1970B)
Promotion of the Humanities_Film/TV Grants (1973B)
Promotion of the Humanities_Media Grants (1974B)
Promotion of the Humanities_Media Humanities Projects (1980B)
Promotion of the Humanities_Humanities Projects in Media (1983U)
Consolidated into 45.164 (1996B)

45.105

Promotion of the Humanities_Research and Publication (1970B)
Promotion of the Humanities_Research Grants (1971U)
Promotion of the Humanities_Research Grants for Junior College Teachers (1974U)
Promotion of the Humanities_General Research Program (1976B)
Split into 45.140, 45.141 (1979U1)

45.106

Promotion of the Humanities_Senior Fellowships (1970B)
Consolidated into 45.118 (1974U)

45.107

Promotion of the Humanities_Fellowships for Guided Study in Selected Fields (1970U)
Number changed from 45.100 (1970U)
Promotion of the Humanities_Fellowships in Selected Fields (1973B)

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Consolidated into 45.118, 45.119 (1974U)

45.108

Promotion of the Humanities_Fellowships for Junior College Teachers (1970U)

Promotion of the Humanities_Fellowships and Summer Stipends for Junior College Teachers (1973B)

Consolidated into 45.118, 45.119, 45.121 (1974U)

45.109

Promotion of the Humanities_Fellowships for the Professions (1971U)

Promotion of the Humanities_Fellowships and Stipends for the Professions (1976B)

Split into 45.109, 45.134 (1978B)

Promotion of the Humanities_Fellowships for Journalists (1982B)

Archived (1983B)

45.110

Promotion of the Humanities_Education Planning and Development (1971U)

Promotion of the Humanities_Education Planning, Program, and Development (1973B)

Promotion of the Humanities_Education Pilot, Program, and Development (1976B)

Promotion of the Humanities_Education Pilot and Development Grants (1976U)

Split into 45.138, 45.139 (1979B)

45.111

Promotion of the Humanities_Education Projects (1971U)

Promotion of the Humanities_Higher Education Projects (1976U)

Split into 45.111, 45.127 (1976U)

Promotion of the Humanities_Higher Education/Regional and National Grants (1979U2)

Promotion of the Humanities_Exemplary Projects, Nontraditional Programs, and Teaching Materials (1983B)

Promotion of the Humanities_Exemplary Projects in Undergraduate and Graduate Education and Nontraditional Programs and Teaching Materials (1983U)

Promotion of the Humanities_Exemplary Projects and Humanities Programs for Nontraditional Learners (1984B)

Consolidated into 45.150 (1987U)

45.112

Promotion of the Humanities_Museum Personnel Development (1971U)

Consolidated into 45.125 (1976B)

45.113

Promotion of the Humanities_Regional Projects (1971U)

Promotion of the Humanities_Special Projects (1973B)

Promotion of the Humanities_Program Development (1974U)

Promotion of the Humanities_Public Program Development (1975U)

Promotion of the Humanities_Program Development (1979B)

Promotion of the Humanities_Special Projects: Program Development (1983U)

Promotion of the Humanities_Special Projects: Humanities Programs for Adults (1984B)

Promotion of the Humanities_Humanities Projects for Adults (1985B)

Promotion of the Humanities_Public Humanities Projects (1986B)

Promotion of the Humanities_Special Projects (1994U)

Consolidated into 45.164 (1996B)

45.114

Promotion of the Humanities_Interpretive Museum Exhibitions (1972U)

Promotion of the Humanities_Interpretive Museum Exhibitions and Community Education Programs (1974B)

Promotion of the Humanities_Interpretive Exhibitions and Community Education Programs (1974U)

Consolidated into 45.125 (1976B)

45.115

Promotion of the Humanities_Youth Grants in the Humanities (1972U)

Promotion of the Humanities_Youth Programs (1976U)

Promotion of the Humanities_Youthgrants (1978B)

Split into 45.115, 45.135 (1978B)

Promotion of the Humanities_Youth Grants (1983B)

Promotion of the Humanities_Special Projects: Younger Scholars (1983U)

Promotion of the Humanities_Special Projects: Humanities Programs for Youth/Younger Scholars (1984B)

Promotion of the Humanities_Humanities Projects for Youth/Younger Scholars (1985B)

Promotion of the Humanities_Younger Scholars (1985U)

Archived (1995B)

45.116

Promotion of the Humanities_Summer Seminars for College Teachers (1973U)

Consolidated into 45.163 (1996B)

45.117

Promotion of the Humanities_Cultural Institutions (1974U)

Incorporated/Split into 45.125, 45.137 (1979B)

Reinstated/Split from 45.125, 45.137 (1979U1)

Incorporated/Split into 45.125, 45.137 (1980B)

45.118

Promotion of the Humanities_Fellowships for Independent Study and Research (1974U)

Consolidated from 45.102, 45.106, 45.107, 45.108 (1974U)

Consolidated into 45.136 (1978U)

45.119

Promotion of the Humanities_Fellowships in Residence for College Teachers (1974U)

Consolidated from 45.107, 45.108 (1974U)

Consolidated into 45.136 (1978U)

45.120

Promotion of the Humanities_National Humanities Institutes (1974U)

Promotion of the Humanities_Humanities Institutes (1976U)

Incorporated into 45.111 (1979B)

45.121

Promotion of the Humanities_Summer Stipends (1974U)

Consolidated from 45.102, 45.108 (1974U)

Consolidated from 45.152 (1992U)

Consolidated into 45.160 (1996B)

45.122

Promotion of the Humanities_Fellowships at Centers for Advanced Study (1975B)

Promotion of the Humanities_Regrants/Centers for Advanced Study (1985U)

Promotion of the Humanities_Centers for Advanced Study (1990B)

Promotion of the Humanities_Institutional Programs and Resources (1994U)

Promotion of the Humanities_Institutional Programs (1995U)

Consolidated into 45.161 (1996B)

45.123

Promotion of the Humanities_National Board of Consultants (1975B)

Promotion of the Humanities_Consultant Grant Program (1978U)

Consolidated into 45.150 (1983B)

45.124

Promotion of the Humanities_Centers of Research (1976B)

Promotion of the Humanities_Research Collections: Development, Access and Use (1977B)

Promotion of the Humanities_Research Collections (1978U)

Promotion of the Humanities_Research Resources (1979U2)

Promotion of the Humanities_Research Resource, Organization and Improvement Grants (1980U2)

Promotion of the Humanities_Research Resources (1981B)

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Promotion of the Humanities_Research Resources_Organization and Improvement Grants (1982B)

Promotion of the Humanities_Research Resources/Access Grants (1983U)

Promotion of the Humanities_Reference Works/Access Grants (1985B)

Promotion of the Humanities_Reference Materials/Access Grants (1985U)

Promotion of the Humanities_Reference Materials/Guides (1991U)

Consolidated into 45.145 (1992B)

45.125

Promotion of the Humanities_Interpretive Museum Exhibitions and Interpretive Programs (1976B)

Consolidated from 45.112, 45.114 (1976B)

Promotion of the Humanities_Museums and Historical Organizations Program (1977B)

Promotion of the Humanities_Museums and Historical Organizations Humanities Projects (1980B)

Promotion of the Humanities_Humanities Projects in Museums and Historical Organizations (1981B)
Consolidated into 45.164 (1996B)

45.126

Promotion of the Humanities_Research Materials (1976B)

Split into 45.145, 45.146, 45.147 (1980B)

45.127

Promotion of the Humanities_Elementary and Secondary Education Program (1976U)

Split from 45.111 (1976U)

Promotion of the Humanities_Instruction in Elementary and Secondary Schools (1983B)

Promotion of the Humanities_Humanities Instruction in Elementary and Secondary Schools (1984B)

Consolidated from 45.155 (1994U)

Consolidated into 45.162, 45.163 (1996B)

45.128

Promotion of the Humanities_Planning and Analysis (1977B)

Promotion of the Humanities_Planning and Assessment Studies (1977U)

Split into 45.128, 45.131, 45.133 (1977U)

Promotion of the Humanities_Planning and Assessment Studies Program (1980B)

Promotion of the Humanities_Humanities Studies Program (1984U)

Archived (1985U)

45.129

Promotion of the Humanities_State-Based Program (1977B)

Promotion of the Humanities_State Programs (1977U)

Promotion of the Humanities_Federal-State

Partnership (1995B)

Promotion of the Humanities_Federal/State Partnership (1997B)

45.130

Promotion of the Humanities_Challenge Grant Program (1977U)

Promotion of the Humanities_Challenge Grants Program (1980U2)

Promotion of the Humanities_Challenge Grants (1987B)

45.131

Promotion of the Humanities_Special Projects (1977U)

Split from 45.128 (1977U)

Archived (1981U)

45.132

Promotion of the Humanities_Research Publications (1977U)

Promotion of the Humanities_Research Materials: Publications (1980B)

Promotion of the Humanities_Research Resources/Publications (1983U)

Promotion of the Humanities_Basic Research/Publications (1985B)

Promotion of the Humanities_Texts/Publication Subvention (1985U)

Promotion of the Humanities_Publication Subvention (1992B)

Promotion of the Humanities_Subventions (1992U)

Promotion of the Humanities_Scholarly Publications (1993U)

Consolidated from 45.146, 45.147 (1993U)

Consolidated into 45.161 (1996B)

45.133

Promotion of the Humanities_Science, Technology and Human Values (1977U)

Split from 45.128 (1977U)

Promotion of the Humanities_Humanities, Science, and Technology (1983B)

Promotion of the Humanities_Basic Research/Humanities, Science and Technology (1984B)

Promotion of the Humanities_Interpretive Research/Humanities, Science and Technology (1985U)

Promotion of the Humanities_Interpretive Research/Humanities, Science, and Technology (1992B)

Consolidated into 45.140 (1993U)

45.134

Promotion of the Humanities_Research Development (1978B)

Split from 45.109 (1978B)

Promotion of the Humanities_Research Conferences (1979B)

Promotion of the Humanities_Basic

Research/Research Conferences (1984B)

Promotion of the Humanities_Regrants/Research Conferences (1985U)

Promotion of the Humanities_Regrants Conferences (1986U)

Promotion of the Humanities_Regrants/Conferences (1987B)

Promotion of the Humanities_Conferences (1990B)
Consolidated into 45.140 (1993U)

45.135

Promotion of the Humanities_NEH Youth Projects (1978B)

Split from 45.115 (1978B)

Promotion of the Humanities_Youth Projects (1979U1)

Promotion of the Humanities_Special Projects: Humanities Programs for Youth/Youth Projects (1984B)

Promotion of the Humanities_Humanities Projects for Youth/Youth Projects (1985B)

Promotion of the Humanities_Humanities Projects for Youth (1985U)

Promotion of the Humanities_Projects for Youth, Incorporated into 45.104, 45.125, 45.133, 45.137 (1986B)

45.136

Promotion of the Humanities_NEH Fellowships, Categories A, B, and C (1978U)

Consolidated from 45.118, 45.119 (1978U)

Promotion of the Humanities_Category A, B, and C (1979U1)

Split into 45.142, 45.143, 45.144 (1980B)

45.137

Promotion of the Humanities_Public Library Program (1979B)

Split from 45.117 (1979B)

Promotion of the Humanities_Division of Public Programs, Libraries Humanities Projects (1979U2)

Promotion of the Humanities_Division of Public Programs, Humanities Projects in Libraries (1982B)

Promotion of the Humanities_Humanities Projects in Libraries (1983B)

Promotion of the Humanities_Special Projects: Humanities Projects in Libraries (1984B)

Promotion of the Humanities_Humanities Projects in Libraries (1985B)

Promotion of the Humanities_Humanities Projects in Libraries and Archives (1988B)

Consolidated into 45.164 (1996B)

45.138

Promotion of the Humanities_Higher Education Pilot Grants (1979B)

Split from 45.110 (1979B)

Promotion of the Humanities_Education Pilot Grants (1979U1)

Consolidated into 45.150 (1983B)

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- 45.139**
Promotion of the Humanities_Higher Education Development Grants (1979B)
Split from 45.110 (1979B)
Promotion of the Humanities_Education Development Grants (1979U1)
Promotion of the Humanities_Education Implementation Grants (1979U2)
Consolidated into 45.150 (1983B)
- 45.140**
Promotion of the Humanities_Basic Research (1979U1)
Split from 45.105 (1979U1)
Split into 45.140, 45.148 (1980U2)
Promotion of the Humanities_Project Research (1983U)
Promotion of the Humanities_Basic Research/Project Research (1984B)
Promotion of the Humanities_Interpretive Research/Projects (1985U)
Promotion of the Humanities_Interpretive Research/Collaborative Projects (1990U)
Promotion of the Humanities_Interpretive Research Program (1993B)
Consolidated from 45.133, 45.134 (1993U)
Consolidated into 45.161 (1996B)
- 45.141**
Promotion of the Humanities_Regional and Local History (1979U1)
Split from 45.105 (1979U1)
Promotion of the Humanities_State, Local, and Regional Studies (1979U2)
Promotion of the Humanities_Regional Studies (1983B)
Incorporated into 45.140 (1983U)
- 45.142**
Promotion of the Humanities_Fellowships for Independent Study and Research (1980B)
Split from 45.136 (1980B)
Promotion of the Humanities_Fellowships for University Teachers (1986B)
Consolidated into 45.160 (1996B)
- 45.143**
Promotion of the Humanities_Fellowships for College Teachers (1980B)
Split from 45.136 (1980B)
Promotion of the Humanities_Fellowships for College Teachers (1985U)
Promotion of the Humanities_Fellowships for College Teachers and Independent Scholars (1986B)
Consolidated into 45.160 (1996B)
- 45.144**
Promotion of the Humanities_Residential Fellowships for College Teachers (1980B)
Split from 45.136 (1980B)
- Archived (1982B)
- 45.145**
Promotion of the Humanities_Research Materials: Tools and Reference Works (1980B)
Split from 45.126 (1980B)
Promotion of the Humanities_Research Materials/Tools and Reference Works (1980U2)
Promotion of the Humanities_Reference Works/Tools (1983U)
Promotion of the Humanities_Reference Materials/Tools (1985U)
Promotion of the Humanities_Reference Materials (1992B)
Consolidated from 45.124 (1992B)
Consolidated into 45.149 (1996B)
- 45.146**
Promotion of the Humanities_Research Materials: Editions (1980B)
Split from 45.126 (1980B)
Promotion of the Humanities_Research Materials/Editions (1980U1)
Promotion of the Humanities_Reference Works/Editions (1983U)
Promotion of the Humanities_Texts/Editions (1985U)
Promotion of the Humanities_Editions (1992B)
Consolidated into 45.132 (1993U)
- 45.147**
Promotion of the Humanities_Research Materials: Translations (1980B)
Split from 45.126 (1980B)
Promotion of the Humanities_Research Materials/Translations (1980U2)
Promotion of the Humanities_Reference Works/Translations (1983U)
Promotion of the Humanities_Texts/Translations (1985U)
Promotion of the Humanities_Translations (1992B)
Consolidated into 45.132 (1993U)
- 45.148**
Promotion of the Humanities_Intercultural Research (1980U2)
Split from 45.140 (1980U2)
Promotion of the Humanities_Intercultural Research Program (1981U)
Promotion of the Humanities_Basic Research/Intercultural Research Program (1984B)
Promotion of the Humanities_Research in Selected Areas/ Intercultural Research Program (1985B)
Promotion of the Humanities_Regrants Program/International Research (1985U)
Promotion of the Humanities_International Research (1990B)
Archived (1994B)
- 45.149**
Promotion of the Humanities_Research Resources
- Conservation and Preservation Grants (1980U2)
Promotion of the Humanities_Research Resources/Preservation Grants (1983U)
Promotion of the Humanities_Office of Preservation (1985B)
Promotion of the Humanities_Division of Preservation and Access (1992B)
- 45.150**
Promotion of the Humanities_Central Disciplines in Undergraduate Education (1983B)
Promotion of the Humanities_Higher Education in the Humanities (1987U)
Consolidated from 45.155 (1994U)
Consolidated into 45.162, 45.163 (1996B)
- 45.151**
Promotion of the Humanities_Summer Seminars for Secondary School Teachers (1983B)
Consolidated into 45.163 (1996B)
- 45.152**
Promotion of the Humanities_Travel to Collections (1983U)
Promotion of the Humanities_Basic Research/Travel to Collections (1984B)
Promotion of the Humanities_Travel to Collections (1985U)
Consolidated into 45.121 (1992U)
- 45.153**
Promotion of the Humanities_Regrants Program/Selected Areas (1986B)
Promotion of the Humanities_Selected Areas (1990B)
Archived (1992B)
- 45.154**
NEH/Reader's Digest Teacher-Scholar Program (1988B)
NEH Teacher-Scholar Program (1991B)
Promotion of the Humanities_NEH Teacher-Scholar Program (1991U)
Promotion of the Humanities_NEH/Reader's Digest Teacher-Scholar Program (1992B)
Promotion of the Humanities_NEH/Teacher-Scholar Program (1993U)
Archived (1994U)
- 45.155**
Foreign Language Education (1991B)
Promotion of the Humanities_Foreign Language Education (1991U)
Consolidated into 45.127, 45.150 (1994U)
- 45.156**
Promotion of the Humanities_Study Grants for College and University Teachers (1992B)
Promotion of the Humanities_Study Grants for College Teachers (1993U)

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Archived (1995B)

45.157

Promotion of the Humanities_Dissertation Grants (1992U)
Archived (1996B)

45.158

Leadership Opportunity in Science and Humanities Education (1993B)
Promotion of the Humanities_Leadership Opportunity in Science and Humanities Education (1994U)
Promotion of the Humanities_Integrating Undergraduate Education (1995B)
Promotion of the Humanities_Integrating Undergraduate Education (1995U)
Consolidated into 45.162 (1996B)

45.160

Promotion of the Humanities_Fellowships and Stipends
Consolidated from 45.121, 45.142, 45.143 (1996B)

45.161

Promotion of the Humanities_Collaborative Research
Consolidated from 45.122, 45.132, 45.140 (1996B)
Promotion of the Humanities_Research (1997B)

45.162

Promotion of the Humanities_Education Development and Demonstration
Consolidated from 45.127, 45.150, 45.158 (1996B)
Promotion of the Humanities_Teaching and Learning Resources and Curriculum Development (2004)

45.163

Promotion of the Humanities_Seminars and Institutes
Consolidated from 45.116, 45.127, 45.150, 45.151 (1996B)
Promotion of the Humanities_Professional Development (2004)

45.164

Promotion of the Humanities_Public Programs
Consolidated from 45.104, 45.113, 45.125, 45.137 (1996B)

45.166

Promotion of the Humanities_Extending the Reach Grants to Underserved Areas (2000B)
Consolidated into programs 45.164 and 45.149 (2003B)
Deleted (2003)

45.167

Promotion of the Humanities_Extending the Reach Grants to Presidentially-Designated Minority

Institutions (2000B)
Deleted (2004)

45.168

Promotion of the Humanities_We the People (2004)
Archived (2012)

45.169

Promotion of the Humanities_Digital Humanities Initiative (2006)
Promotion of the Humanities_Office of Digital Humanities (2008)

NATIONAL LABOR RELATIONS BOARD

46.001

Labor-Management Relations (1969B)
Archived (2013)

NATIONAL SCIENCE FOUNDATION

Science Research Support Activities (1969B)
Archived (1970B)

47.001

Antarctic Research (1970B)
Polar Research (1970U)
Polar Research Programs (1971B)
Consolidated into 47.050 (1976B)

47.002

Biological Sciences Research Project Support (1970B)
Consolidated into 47.047 (1975B)

47.003

Computers Activities in Education and Research (1969B)
Computers_Research and Educational Activities (1970B)
Computing Activities in Education and Research (1971B)
Computing Activities (1973B)
Computer Research (1974B)
Consolidated into 47.047 (1975B)

47.004

Departmental Science Development (1970B)
Archived (1971B)

47.005

Graduate Education in Science (1967B)
Doctoral Dissertation Research in the Social Sciences (1970B)
Doctoral Dissertation Research (1971B)
Consolidated into 47.047 (1975B)

47.006

Engineering Sciences Research Initiation Grants (1970B)

Engineering Research Initiation Grants (1973B)
Consolidated into 47.047 (1975B)

47.007

Engineering Sciences Research Project Support (1970B)
Engineering Research Project Support (1973B)
Consolidated into 47.047 (1975B)

47.008

Environmental Sciences Research Project Support (1970B)
Consolidated into 47.047 (1975B)

47.009

Fellowships and Traineeship Program (1970B)
Graduate Fellowship Programs (1973B)
Graduate Student Support (1974B)
Science Manpower Improvement (1976B)
Scientific Personnel Improvement (1978B)
Graduate Research Fellowships (1983B)
Consolidated into 47.076 (1992B)

47.010

Graduate Instructional Program Development (1970B)
Incorporated into 47.032, 47.033 (1973B)

47.011

Graduate Science Facilities (1970B)
Archived (1971B)

47.012

Institutional Support in Science (1967B)
Institutional Grants for Science (1970B)
Archived (1975U)

47.013

Interdisciplinary Research Relevant to Problems of Our Society (1970B)
Archived (1972B)

47.014

International Cooperative Science Activities (1969B)
Consolidated into 47.053 (1977B)

47.015

International Travel (1970B)
Incorporated into 47.014 (1975B)

47.016

Scientific Research Grants (1967B)
Science Research Support Activities (1969B)
Mathematical and Physical Sciences Research Project Support (1970B)
Split into 47.016, 47.017 (1970B)
Consolidated into 47.047 (1975B)

47.017

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National and Special Research Programs (1970B)
Split from 47.016 (1970B)
Global Atmospheric Research Program (1974B)
Consolidated into 47.050 (1976B)

47.018

Oceanography_Basic Research Grants (1970B)
Oceanography_Scientific Research Project Support (1971B)
Incorporated into 47.008 (1972B)

47.019

Pre-College Education in Science (1967B)
Pre-College Instructional Personnel Development (1970B)
Instructional Improvement
Implementation_Pre-College (1973B)
Consolidated into 47.048 (1975B)

47.020

Pre-College Instructional Program Development (1970B)
Science Education Materials and Methods Development Pre-College (1973B)
Science Education Materials and Instruction Development (Pre-College) (1973U)
Science Education Materials and Instruction Development_Pre-College (1974B)
Consolidated into 47.048 (1975B)

47.021

Pre-College Student Development (1970B)
Archived (1971B)

47.022

Sea Grant Program (1969B)
Sea Grant Institutional Support (1970B)
Split into 47.022, 47.023 (1970B)
Transferred to 11.417 (1971B)

47.023

Sea Grant Project Support (1970B)
Split from 47.022 (1970B)
Transferred to 11.418 (1971B)

47.024

Science Information Activities_System Development and Improvement (1970B)
Science Information Activities_Information Systems; Data Systems (1973B)
Science Information Activities_National Information Systems; User Support (1974B)
Science Information Activities (1975B)
Consolidated into 47.053 (1977B)

47.025

Science Information Research (1970B)
Incorporated into 47.024 (1975B)

47.026

Science Information Activities (1969B)
Science Information Services and Publications (1970B)
Science Information Activities_Publications (1973B)
Incorporated into 47.024 (1974B)

47.027

Science Planning and Policy Studies (1969B)
University Science Planning and Policy Program (1971B)
Science Policy Research (1972B)
Incorporated into 47.029 (1973B)

47.028

Scientific Conference Grants (1970B)
Consolidated into 47.047 (1975B)

47.029

Social Sciences Research Project Support (1970B)
Consolidated into 47.047 (1975B)

47.030

Special Computer Services for Research and Science Education (1970B)
Archived (1971B)

47.031

Specialized Research Facilities and Equipment (1970B)
Archived (1972B)

47.032

Undergraduate Education in Science (1967B)
Undergraduate Instructional Personnel Development (1970B)
Split into 47.032, 47.033, 47.034 (1970B)
Instructional Improvement Implementation_Higher Education (1973B)
Consolidated into 47.048 (1975B)

47.033

Undergraduate Instructional Program Development (1970B)
Split from 47.032 (1970B)
Science Education Materials and Methods Development_Higher Education (1973B)
Science Education Materials and Instruction Development (Higher Education) (1973U)
Science Education Materials and Instruction Development_Higher Education (1974B)
Consolidated into 47.048 (1975B)

47.034

Undergraduate Student Development (1970B)
Split from 47.032 (1970B)
Student Oriented Programs (1973B)
Consolidated into 47.048 (1975B)

47.035

University Science Development (1970B)
Archived (1971B)

47.036

Intergovernmental Science Programs (1970U)
Intergovernmental Science and Research Utilization (1973B)
Intergovernmental Science (1975B)
Intergovernmental Program (1976B)
Intergovernmental Programs (1978B)
Intergovernmental Program (1979B)
Intergovernmental Science and Technology Grants (1981B)
Intergovernmental Science and Technology Program (1981U)
Intergovernmental and Public Service Science and Technology Program (1982B)
Intergovernmental Science and Technology Programs (1983B)
Archived (1985U)

47.037

International Decade of Ocean Exploration (1970U)
Consolidated into 47.050 (1976B)

47.038

Public Understanding of Science Program (1971B)
Science and Society Program (1976B)
Science Education Communication Grants (1981B)
Consolidated/Split into 47.038, 47.058 (1981B)
Archived (1982B)

47.039

Scientific Activities (Special Foreign Currency Program) (1971B)
Archived (1975B)

47.040

Materials Research Project Support (1972B)
Consolidated into 47.047 (1975B)

47.041

Research Applied to National Needs (1973U)
Applied Science and Research Applications (1978B)
Engineering and Applied Science (1979U2)
Engineering Grants (1981B)
Consolidated/Split into 47.041, 47.058 (1981B)

47.042

Experimental Research and Development Incentives Program (1973U)
Incorporated into 47.041 (1976B)

47.043

National Research and Development Assessment Program (1973U)
Number changed to 47.052 (1976B)

47.044

Appendix VII: Historical Profile of Catalog Programs

Institutional Grants for Research Management Improvement (1973U)
Archived (1975B)

47.045

Technological Innovation in Education (1973U)
Consolidated into 47.048 (1975B)

47.046

Science Education_Problem Assessment and Experimental Projects (1974B)
Consolidated into 47.048 (1975B)

47.047

Scientific Research Project Support (1975B)
Consolidated from 47.002, 47.003, 47.005, 47.006, 47.007, 47.008, 47.016, 47.028, 47.029, 47.040 (1975B)
Split into 47.049, 47.050, 47.051 (1976B)

47.048

Science Education Improvement (1975B)
Consolidated from 47.019, 47.020, 47.032, 47.033, 47.034, 47.045, 47.046 (1975B)
Science Education Research and Development and Resources Improvement (1977B)
Science Education Development and Research and Resources Improvement (1980B)
Archived (1982B)

47.049

Mathematical and Physical Sciences and Engineering (1976B)
Split from 47.047 (1976B)
Mathematical and Physical Sciences (1979U2)

47.050

Astronomical, Atmospheric, Earth and Ocean Sciences (1976B)
Consolidated/Split from 47.001, 47.017, 47.037, 47.047 (1976B)

47.051

Biological, Behavioral, and Social Sciences (1976B)
Split from 47.047 (1976B)
Split into 47.074, 47.075 (1992B)

47.052

Science and Technology Policy Research and Analysis (1976B)
Number changed from 47.043 (1976B)
Consolidated into 47.053 (1977B)

47.053

Scientific, Technological, and International Affairs (1977B)
Consolidated from 47.014, 47.024, 47.052 (1977B)
Consolidated into 47.075 (1992B)

47.054

Industry/University Cooperative Research (1980B)
Incorporated into 47.053 (1981B)

47.055

Two-Year and Four-Year College Research Instrumentation (1980B)
Archived (1984B)

47.056

Research Initiation in Minority Institutions (1980B)
Research Improvement in Minority Institutions (1981B)
Number changed to 47.060 (1982B)

47.057

Minority Research Initiation (1981B)
Archived (1985B)

47.058

Public Service Science and Technology (1981B)
Consolidated from 47.038, 47.041 (1981B)
Archived (1982B)

47.059

Visiting Professorships for Women in Science and Engineering (1982B)
Visiting Professorships for Women (1983B)
Archived (1985B)

47.060

Research Improvement in Minority Institutions (1982B)
Number changed from 47.056 (1982B)
Archived (1985B)

47.061

Precollege Science and Mathematics Teacher Development and Incentives (1983U)
Consolidated into 47.063 (1984B)

47.062

Precollege Science and Mathematics Materials Development (1983U)
Consolidated into 47.063 (1984B)

47.063

Precollege Science and Mathematics Education (1984B)
Consolidated from 47.061, 47.062 (1984B)
Archived (1985B)

47.064

College Science Instrumentation Program (1984U)
Incorporated into 47.071 (1987U)

47.065

Advanced Scientific Computing Resources (1984U)
Archived (1986U)

47.066

Teacher Enhancement and Informal Science Education (1985B)
Teacher Preparation and Enhancement (1986B)
Consolidated into 47.076 (1992B)

47.067

Materials Development and Research (1985B)
Materials Development, Research, and Informal Science Education (1986B)
Materials Development and Informal Science Education (1987B)
Materials Development, Research, and Informal Science Education (1988B)
Consolidated into 47.076 (1992B)

47.068

Studies and Program Assessment (1985B)
Research, Studies, and Program Assessment (1987B)
Studies and Program Assessment (1988B)
Studies, Evaluation and Dissemination (1991B)
Consolidated into 47.076 (1992B)

47.069

Research Initiation and Improvement (1985B)
Human Resource Development (1991B)
Consolidated into 47.076 (1992B)

47.070

Computer and Information Science and Engineering (2004)

47.071

Undergraduate Science, Mathematics, and Engineering Education (1987U)
Undergraduate Science, Engineering, and Mathematics Education (1988B)
Consolidated into 47.076 (1992B)

47.072

Young Scholars (1987U)
Consolidated into 47.076 (1992B)

47.073

Science and Technology Centers (1989B)
Archived (1996B)

47.074

Biological Sciences (1992B)
Split from 47.051 (1992B)

47.075

Social, Behavioral, and Economic Sciences (1992B)
Consolidated from 47.051, 47.053 (1992B)

47.076

Education and Human Resources (1992B)
Consolidated from 47.009, 47.066, 47.067, 47.068,

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47.069, 47.071, 47.072 (1992B)

47.077

Academic Research Facilities and Instrumentation (1993B)

Academic Research Infrastructure (1997B)

Archived (1999B)

47.078

Polar Programs (1997B)

47.079

International Science and Engineering (OISE) (2005)

Office of International and Integrative Activities (2013)

47.080

Office of Cyberinfrastructure (2008)

47.081

Office of Experimental Program to Stimulate Competitive Research (2008)

47.082

Trans-NSF Recovery Act Research Support (2009)

NEW ENGLAND REGIONAL COMMISSION

48.001

New England Regional Economic Development (1970B)

Archived (1973B)

Reinstated (1973U)

Archived (1981U)

48.002

New England Technical and Planning Assistance (1975B)

Archived (1981U)

48.003

New England Supplements to Federal Grant-in-Aid (1975B)

Archived (1981U)

48.004

New England Regional Transportation (1977U)

Archived (1981U)

48.005

New England Energy Demonstration Projects and Programs (1977U)

Archived (1981U)

COMMUNITY SERVICES ADMINISTRATION

Head Start (1967B)

Child Development_Head Start (1970B)

Transferred to the Department of Health Education, Welfare (1970B)

Establishment of Job Corps Centers (1965B)

Job Corps (1967B)

Transferred to the Department of Labor (1970B)

Upward Bound (1967B)

Transferred to the Department of Health Education, Welfare (1970B)

49.001

Alcoholic Counseling and Recovery (1970B)

Archived (1971U)

49.002

Community Action Program (1965B)

Community Action Operations (1970B)

Community Action (1971B)

Archived (1973B)

Reinstated (1975B)

Split into 49.002, 49.016, 49.018 (1980U1)

Archived (1981U)

49.003

Neighborhood Health Centers (1967B)

Comprehensive Health Centers (1969B)

Comprehensive Health Services (1970B)

Archived (1973B)

49.004

Drug Rehabilitation (1970B)

Archived (1973B)

49.005

Emergency Food and Medical Services (1969B)

Archived (1971U)

Reinstated (1972B)

Archived (1973B)

Reinstated (1975U)

Community Food and Nutrition (1975U)

Archived (1981U)

49.006

Family Planning (1969B)

Archived (1973B)

49.007

Federal Information Exchange System (1969B)

Archived (1972B)

49.008

Legal Services (1967B)

Archived (1973B)

Reinstated (1975B)

Archived (1976B)

49.009

Community Action Program_Assistance for Migrant and Seasonal Farm Workers (1965B)

Migrant and Seasonal Farmworkers Assistance (1969B)

Transferred to (1972B)

49.010

Senior Opportunities and Services (1969B)

Older Persons Opportunities and Services (1970B)

Archived (1973B)

Reinstated (1975B)

Archived (1981U)

49.011

Special Impact (1970B)

Community Economic Development (1972U)

Archived (1973B)

Reinstated (1975B)

Archived (1981U)

49.012

Vista_Volunteers in Service to America_Opportunities for Individuals to Assist in the War on Poverty (1965B)

Volunteers in Service to America (1970B)

Archived (1971U)

49.013

Economic Opportunity_Technical Assistance and Demonstrations (1972U)

Archived (1973B)

Reinstated (1975B)

State Economic Opportunity Offices (1975B)

Archived (1981U)

49.014

Emergency Energy Conservation Services (1975U)

Archived (1981B)

49.015

Summer Youth Recreation Program (1976U)

Summer Youth Recreation (1977B)

Archived (1981B)

49.016

National Youth Sports Program (1980U1)

Split from 49.002 (1980U1)

Archived (1981U)

49.017

Rural Development Loan Fund (1980U1)

Reinstated , previously under the Department of Agriculture (1980U1)

Archived (1981U)

49.018

Housing and Community Development (1980U1)

Split from 49.002 (1980U1)

Archived (1981U)

OFFICE OF EMERGENCY PREPAREDNESS

Appendix VII: Historical Profile of Catalog Programs

Emergency Management of Resources (1967B)
Incorporated into 50.001 (1970B)

50.001

Continuity of Government (1969B)
Continuity of Government and Management of Resources in Emergencies (1970B)
Continuity of Government in Emergencies (1970U)
Continuity of Government and Management of Resources in Emergencies (1971B)
Transferred to 39.010 (1973U)

50.002

Federal Disaster Relief (1967B)
Disaster Relief to States (1970B)
Disaster Assistance (1971B)
Transferred to 14.701 (1973U)

50.003

State Plans for Disaster Assistance to Individuals (1970B)
State Disaster Plans and Programs (1971B)
Transferred to 14.702 (1973U)

50.004

Federal-State Relations (1969B)
Telecommunications Management (1970B)
Archived (1970U)

OFFICE OF INTERGOVERNMENTAL RELATIONS

51.001

Intergovernmental Relations and Liaison (1970B)
Archived (1973B)

OZARKS REGIONAL ACTION PLANNING COMMISSION

52.001

Ozarks Regional Economic Development (1970B)
Archived (1973B)
Reinstated (1973U)
Archived (1981U)

52.002

Ozarks Technical and Planning Assistance (1975B)
Archived (1981U)

52.003

Ozarks Supplements to Federal Grant-in-Aid (1975B)
Archived (1981U)

52.004

Ozarks Regional Transportation (1977U)
Archived (1981U)

52.005

Ozarks Energy Demonstration Projects and Programs (1977U)

Archived (1981U)

52.007

Ozarks Health and Nutrition Demonstration Projects (1977U)
Archived (1981U)

PRESIDENT'S COMMITTEE ON CONSUMER INTERESTS

52.500

Consumer Affairs (1969B)
Transferred to 69.001 (1971B)

PRESIDENT'S COMMITTEE ON EMPLOYMENT OF PEOPLE WITH DISABILITIES

53.001

Handicapped Employment Promotion (1969B)
Transferred to 17.72 (2002B)

PRESIDENT'S COMMITTEE ON MENTAL RETARDATION

54.001

Mental Retardation Evaluation (1970B)
Transferred to 13.613 (1974B)

PRESIDENT'S COUNCIL ON PHYSICAL FITNESS AND SPORTS

55.001

Governors' Councils on Physical Fitness (1970B)
Transferred to 13.615 (1974B)

55.002

National Summer Youth Sports Program (1970B)
Archived (1972B)

55.003

Physical Fitness Programs (1967B)
Physical Fitness and Sports (1969B)
Physical Fitness and Sports Information (1970B)
Transferred to 13.616 (1974B)

55.004

Physical Fitness Clinics (1970B)
Transferred to 13.617 (1974B)

55.005

Physical Fitness Demonstration Center Schools (1970B)
Transferred to 13.618 (1974B)

55.006

Physical Fitness Program Development (1970B)
Transferred to 13.619 (1974B)

55.007

Presidential Physical Fitness Award (1970B)
Transferred to 13.620 (1974B)

55.008

Health-Exercise Symposia (1971B)
Transferred to 13.621 (1974B)

55.009

Presidential Sports Award (1973U)
Transferred to 13.622 (1974B)

PRESIDENT'S COUNCIL ON YOUTH OPPORTUNITY

56.001

Youth Opportunity (1969B)
Transferred/Split into HHS, Labor programs (1971B)

RAILROAD RETIREMENT BOARD

57.001

Social Insurance Program for Railroad Workers (1965B)
Social Insurance for Railroad Workers (1970B)

57.002

Benefits for Milwaukee Railroad Workers (1980U2)
Archived (1985U)

57.003

Benefits for Conrail Employees (1983B)
Archived (1988B)

57.004

Benefits for Rock Island Railroad Employees (1983U)
Archived (1984U)

57.005

Economic Recovery Payments (2009)

SECURITIES AND EXCHANGE COMMISSION

58.001

Investor Protection (1969B)
Securities_Investigation of Complaints and SEC Information (1972B)

SMALL BUSINESS ADMINISTRATION

59.001

Displaced Small Business Loans (1969B)
Displaced Business Loans (1970B)
Archived (1981U)

59.002

Economic Injury Disaster Loans (1970B)
Reinstated (1984B)
Consolidated into 59.008 (1989B)
Reinstated (1989U)
Deleted (2008)

59.003

Appendix VII: Historical Profile of Catalog Programs

Economic Opportunity Loans for Small Businesses (1965B)
Loans for Small Businesses (1983B)
Archived (1997U)

59.004

Lease Guarantees for Small Businesses (1969B)
Archived (1977B)

59.005

Management Assistance to Small Businesses (1970B)
Business Development Assistance to Small Business (1970B)
Internet-Based Technical Assistance (2005)
Internet-Based Technical Assistance (2005)
Deleted (2008)

59.006

Minority Business Development (1970B)
Minority Business Development_Procurement Assistance (1971B)
Minority Business Development (1991B)
8(a) Business Development (1999B)
8(a) Business Development Program (2006)

59.007

Minority Enterprise_Technical Assistance (1970B)
Management and Technical Assistance for Disadvantaged Businessmen_Research and Demonstration Grants (1971B)
Management and Technical Assistance for Disadvantaged Businessmen (1973B)
Management and Technical Assistance for Disadvantaged Businesses (1983B)
Management and Technical Assistance for Socially and Economically Disadvantaged Businesses (1988B)
Management and Technical Assistance (2001B)
7(i) Technical Assistance (2004)
7(j) Technical Assistance (2005)
7(j) Technical Assistance (2005)
7(j) Technical Assistance (2005)

59.008

Disaster Loans (1967B)
Physical Disaster Loans (1970B)
Consolidated from 59.002 (1989B)
Disaster Assistance Loans (2007)

59.009

Management and Technical Assistance (1965B)
Procurement and Management Assistance to Small Businesses (1967B)
Procurement Assistance to Small Businesses (1970B)
Procurement and Technical Assistance to Small Businesses (1976U)
Procurement Assistance to Small Businesses (1985B)

59.010

Product Disaster Loans (1970B)
Archived (1982B)

59.011

Small Business Investment Company Program (1965B)
Small Business Investment Companies (1970B)

59.012

Small Business Financial Assistance Program (1965B)
Small Business Loans (1970B)
7(a) Loan Guarantee (2007)
7(a) Loan Guarantees (2008)

59.013

Loans to State and Local Development Companies (1965B)
State and Local Development Company Loans (1969B)
Local Development Company Loans (1991B)
Archived (1998U)

59.014

Coal Mine Health and Safety Loans (1971B)
Mine Safety and Health Loans (1978B)
Archived (1981U)

59.015

Trade Adjustment Loans (1971B)
Archived (1971U)

59.016

Bond Guarantees for Surety Companies (1971U)
Surety Bond Guarantees (2008)

59.017

Meat and Poultry Inspection Loans (1972B)
Consumer Protection Loans (1978B)
Archived (1981U)

59.018

Occupational Safety and Health Loans (1972B)
Archived (1981U)

59.019

Minority Vendors Program (1974B)
Incorporated into 59.035 (1980U1)

59.020

Base Closing Economic Injury Loans (1975B)
Archived (1981U)

59.021

Handicapped Assistance Loans (1975B)
Archived (1997U)

59.022

Emergency Energy Shortage Economic Injury

Loans (1975B)
Archived (1981U)

59.023

Strategic Arms Economic Injury Loans (1975B)
Archived (1981U)

59.024

Water Pollution Control Loans (1975B)
Archived (1981U)

59.025

Air Pollution Control Loans (1975B)
Archived (1981U)

59.026

Service Corps of Retired Executives and Active Corps of Executives (1976B)
Transferred from 72.006 (1976B)
Service Corps of Retired Executives Association (1988B)
Service Corps of Retired Executives (2008)

59.027

Economic Dislocation Loans (1978B)
Archived (1981U)

59.028

Regulatory Loans (1979B)
Archived (1981U)

59.029

Disaster Assistance to Nonagricultural Businesses (Major Source of Employment) (1979U1)
Incorporated into 59.008 (1982B)

59.030

Small Business Energy Loans (1979B)
Consolidated from 59.031 (1989B)
Incorporated into 59.012 (1990B)

59.031

Small Business Pollution Control Financing Guarantee (1979B)
Consolidated into 59.030 (1989B)

59.032

Office of Women's Business Enterprise (1980U1)
Archived (1968B)
Office of Women's Business Ownership (1983B)

59.033

Small Business and Capital Ownership Development (1980U1)
Minority Small Business and Capital Ownership Development (1981B)
Incorporated into 59.007 (1987B)

59.034

Small Business Economic Research (1980U1)

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Archived (1983B)

59.035

Procurement Automated Source System (1980U1)
Incorporated from 59.019 (1980U1)
Archived (1998B)

59.036

Certified Development Company Loans (1981U)
Certified Development Company Loans (503
Loans) (1986U)
Archived (1989B)

59.037

Small Business Development Center (1981U)
Small Business Development Centers (2008)

59.038

Veterans Loan Program (1984B)
Archived (1997U)

59.039

Currency Devaluation Disaster Loans (1984U)
Currency Fluctuation Economic Injury Loans
(1985B)
Archived (1986U)

59.040

Federal Action Disaster Loans (1984U)
Federal Action Economic Injury Loans (1985B)
Archived (1986U)

59.041

Certified Development Company Loans (504
Loans) (1986U)
504 Certified Development Loans (2008)

59.042

Business Loans for 8(a) Program Participants
(1990B)
Archived (1997U)

59.043

Women's Business Ownership Assistance (1990B)

59.044

Veterans Entrepreneurial Training Assistance
(1990B)
Veterans Entrepreneurial Training and Counseling
(1991U)
Veterans Business Development (2008)

59.045

Natural Resource Development (1991B)
Archived (1995U)

59.046

Microloan Demonstration Program (1992U)
Microloan Program (2004)

59.047

Pre Disaster Mitigation Loan Program (2004)

59.048

Military Reserve Economic Injury Loan (2004)

59.049

Office of Small Disadvantaged Business
Certification and Eligibility (2000B)
Small Disadvantaged Businesses (2007)

59.050

Microenterprise Developments Grant (2001U)
PRIME Technical Assistance (2007)

59.051

New Markets Venture Capital (2001U)
NEW MARKETS VENTURE CAPITAL
PROGRAM, OPERATIONAL ASSISTANCE
(OA) GRANTS (2005)
New Markets Venture Capital Program,
Operational Assistance (OA) Grants (2005)
New Markets Venture Capital Program,
Operational Assistance (OA) Grants (2005)
New Markets Venture Capital Program (2007)

59.052

Native American Economic Development
Assistance (2005)
Native American Outreach (2008)

59.053

Small Business and Agriculture Regulatory
Enforcement Ombudsman and Small Business
Regulatory Fairness Boards (2005)
Ombudsman and Regulatory Fairness Boards
(2007)

59.054

7(a) Loans (Export Loans) (2005)
7(a)Export Loan Guarantees (2008)

59.055

HUBZone Program (2008)

59.056

Secondary Market Lending Authority (2009)

59.057

America's Recovery Capital Loans (2009)

59.058

Federal and State Technology Partnership Program
(2010)

59.059

Congressional Grants (2011)

59.060

Small Business Teaming Pilot Program (2011)

59.061

State Trade and Export Promotion Pilot Grant
Program (2011)

59.062

Intermediary Loan Program (2011)

59.063

Disaster Assistance Loans (Disaster Relief
Appropriations Act) (2013)

59.064

Entrepreneurial Development Disaster Assistance
(Disaster Relief Appropriations Act) (2013)

59.070

Drug-Free Workplace Program (2008)

SMITHSONIAN INSTITUTION

Smithsonian Institution_Visiting Research
Appointments (1969B)
Archived (1970B)

60.001

Smithsonian Institution Programs in Basic Research
and Public Education (1970B)
Smithsonian Institution Programs in Basic Research
in Collaboration with Smithsonian Institution Staff
(1978B)
Archived (1987B)

60.002

Academic Appointments (1970)
Incorporated into 60.001 (1978B)

60.003

Authentication and/or Repair of Oriental Objects
and Translation of Related Inscriptions (1970B)
Incorporated into 60.001 (1972B)

60.004

Chesapeake Bay Center for Environmental Studies
(1970B)
Incorporated into 60.001 (1978B)

60.005

Smithsonian Institution_Elementary and Secondary
Educational Services (1969B)
Educational Services, Elementary and Secondary
Education (1970B)
Archived (1978B)

60.006

International Exchange of Scientific and Literary
Publications and Governmental Documents
(1970B)
Archived (1978B)

60.007

Museum Assistance (1969B)
Museums_Assistance and Advice (1970B)

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Archived (1986U)

60.008

Registral Programs (1970B)
Incorporated into 60.001 (1972B)

60.009

Science Information Exchange (1969B)
Smithsonian Science Information Exchange, Inc. (1973B)
Transferred to 11.651 (1980U1)

60.010

Smithsonian Associates (1970B)
Incorporated into 60.001 (1972B)

60.011

Smithsonian Center for Short-Lived Phenomena (1970B)
Center for Short-Lived Phenomena (1973B)
Archived (1976B)

60.012

Smithsonian Institution Libraries (1970B)
Incorporated into 60.001 (1972B)

60.013

Smithsonian Institution Traveling Exhibition Service (1970B)
Archived (1987B)

60.014

Smithsonian Oceanographic Sorting Centers (1970B)
Archived (1978B)

60.015

Smithsonian Publications (1970B)
Incorporated into 60.001 (1972B)

60.016

Museum and Related Research_Special Foreign Currency Program (1969B)
Smithsonian Special Foreign Currency Grants for Museum Programs and Related Research (1970B)
Smithsonian Special Foreign Currency Grants for Museum Programs, Scientific and Cultural Research and Related Educational Activities (1976B)
Archived (1986U)

60.017

Smithsonian Special Public Affairs (1970B)
Incorporated into 60.001 (1972B)

60.018

Smithsonian Tropical Research Institute (1970B)
Incorporated into 60.001 (1978B)

60.019

Visiting Research Appointments in Astrophysics, Geodesy, Meteoritics, and Space Sciences (1970B)
Incorporated into 60.001 (1978B)

60.020

Woodrow Wilson International Center for Scholars Fellowship and Guest Scholar Programs (1970U)
Woodrow Wilson International Center for Scholars_Fellowships and Guest Scholar Programs (1971B)
Archived (1987B)

INTERNATIONAL TRADE COMMISSION

61.001

Tariff Adjustment (Industry) (1970B)
Import Relief (Industry) (1979B)
Archived (2000B)

61.002

Trade Adjustment Assistance_Firms (1970B)
Archived (1971U)

61.003

Trade Adjustment Assistance_Workers (1970B)
Transferred/Incorporated into 17.400 (1971U)

TENNESSEE VALLEY AUTHORITY

Adjustment to Local Flood Hazard_Tennessee River Basin (1967B)
Archived (1969B)

Electricity Supply and Utilization_Tennessee Valley Region (1967B)
Archived (1969B)

Forestry, Fish and Wildlife_Tennessee Valley Region (1967B)
Archived (1969B)

Industrial Development_Tennessee Valley Region (1967B)
Archived (1969B)

Local Planning Assistance_Tennessee Valley Region (1967B)
Archived (1969B)

Mineral Resources Development_Tennessee Valley Region (1967B)
Archived (1969B)

Navigation Development and Waterway Transportation_Tennessee River Basin (1967B)
Archived (1969B)

Recreation Development_Tennessee River Basin (1967B)
Archived (1969B)

Topographic and Navigation Maps_Tennessee Valley Region (1967B)
Archived (1969B)

Tributary Area Development_Tennessee River Basin (1967B)
Archived (1969B)

Unified Resource Development_Tennessee Valley Authority (1967B)
Archived (1969B)

Water Quality Control_Tennessee River Basin (1967B)
Archived (1969B)

62.001

Agricultural and Chemical Development_TVA (1967B)
Fertilizer and Munitions Development (1969B)
Fertilizer Development (1970B)
National Fertilizer Development (1977U)
National Fertilizer and Environmental Research Center (1992B)
TVA Environmental Research Center (1994B)
TVA Environmental Research and Services (1998B)
TVA Energy Research and Technology Applications (1999U)
Archived (2001B)

62.002

Tennessee Valley Region_General Resources Development (1969B)
Consolidated into 62.004, 62.005, 62.006 (1980U1)

62.003

Multiple-Purpose Water Resource Development_Tennessee River Basin (1967B)
Tennessee Valley Region_Water Resources Development (1969B)
Consolidated into 62.005 (1980U1)

62.004

Tennessee Valley Region_Community Development (1980U1)
Consolidated from 62.002 (1980U1)
Tennessee Valley Region_Economic and Community Development (1982B)
Tennessee Valley Region Economic Development (1985U)
Tennessee Valley Region_Rural Development (1992B)
Tennessee Valley Region_Economic Development (1994U)
Archived (1998U)

62.005

Tennessee Valley Region_Natural Resources Development (1980U1)
Consolidated from 62.002, 62.003 (1980U1)

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Tennessee Valley Region_Water and Land Resources (1992B)
Archived (1994U)

62.006

Tennessee Valley Region_Valley Agricultural Resources Development (1980U1)
Consolidated from 62.002 (1980U1)
Tennessee Valley Region_Valley Agricultural Institute (1988B)
Archived (1992B)

UPPER GREAT LAKES REGIONAL COMMISSION

63.001

Upper Great Lakes Regional Economic Development (1970B)
Archived (1973B)
Reinstated (1973U)
Archived (1981U)

63.002

Upper Great Lakes Technical and Planning Assistance (1975B)
Archived (1981U)

63.003

Upper Great Lakes Supplements to Federal Grant-in-Aid (1975B)
Archived (1981U)

63.004

Upper Great Lakes Regional Transportation (1977U)
Archived (1981U)

63.005

Upper Great Lakes Energy Demonstration Projects and Programs (1977U)
Archived (1981U)

63.006

Upper Great Lakes Indigenous Arts and Crafts Demonstration Projects (1977U)
Archived (1981U)

63.007

Upper Great Lakes Health and Nutrition Demonstration Projects (1977U)
Archived (1981U)

63.008

Upper Great Lakes Education Demonstration Projects (1977U)
Archived (1981U)

DEPARTMENT OF VETERANS AFFAIRS

Educational Assistance for Veterans' Children (1967B)

Archived (1969B)

Guardianship Services for Veterans' Beneficiaries (1967B)
Archived (1969B)

Relocation Housing for Aged or Families Displaced by Urban Renewal (1967B)
Archived (1969B)

Veterans Restoration Centers (1967B)
Archived (1969B)

64.001

Biomedical Research (1967B)
Medical Research Support (1986B)
Archived (1991U)

64.002

Veterans' Community Nursing Home Care Program (1967B)
Community Contract Nursing Home Care (1970B)
Community Nursing Home Care (1971B)
Veterans Community Nursing Home Care (1979B)
Archived (1981U)

64.003

Education and Training of Health Service Personnel (1969B)
Archived (1979B)

64.004

Exchange of Medical Information (1970B)
Archived (1982B)

64.005

Grant to States for Construction of State Nursing Home Care Facilities (1970B)
Grants to States for Construction of State Home Facilities (1978B)

64.006

Prosthetics Research (1969B)
Rehabilitative Engineering Research (Prosthetics) (1977B)
Rehabilitative Research (Prosthetics) (1977U)
Rehabilitative Research (1981B)
Archived (1981U)

64.007

Rehabilitation of Blind Veterans (1967B)
Blind Veterans Rehabilitation Centers (1971B)
Blind Veterans Rehabilitation Centers and Clinics (1987U)
Blind Rehabilitation Centers and Clinics (1995U)
Blind Rehabilitation Centers (1998U)

64.008

Veterans' Domiciliary Program (1965B)
Veterans Domiciliary Care and Restoration

(1970B)
Veterans Domiciliary Care (1973B)

64.009

Veterans Hospitalization (1965B)
Revised
Veterans Medical Care Benefits (1997U)

64.010

Veterans Nursing Home Care Program (1967B)
Veterans Nursing Home Care (1970B)

64.011

Veterans' Outpatient Services (1967B)
Veterans Outpatient Care (1970B)
Veterans Dental Care (1999B)

64.012

Veterans Prescription Service (1967B)

64.013

Veterans Prosthetic Appliances (1967B)

64.014

Veterans State Home Program (1970B)
Veterans State Domiciliary Care (1971B)

64.015

Veterans State Nursing Home Care (1970B)

64.016

Veterans State Home Hospital Care (1970U)
Veterans State Hospital Care (1971B)

64.017

Grants to States for Remodeling of State Home Hospital/Domiciliary Facilities (1971B)
Incorporated into 64.005 (1978B)

64.018

Sharing Specialized Medical Resources (1971B)
Sharing Medical Facilities, Equipment, and Information (1975B)
Sharing Specialized Medical Resources (1978B)

64.019

Veterans Rehabilitation_Alcohol and Drug Dependency (1971U)
Veterans Rehabilitation_Alcohol and Drug Dependence (1976B)

64.020

Assistance in the Establishment of New State Medical Schools (1974U)
Archived (1979B)

64.021

Grants to Affiliated Medical Schools_Assistance to Health Manpower Training Institutions (1974U)
Archived (1979B)

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64.022 Hospital Based Home Care (1974U) Veterans Hospital Based Home Care (1979B) Veterans Home Based Primary Care (1997B)	64.038 Grants for the Rural Veterans Coordination Pilot (2013)	Veterans' Dependency and Indemnity Compensation (1965B) Dependency, Indemnity, and Death Compensation to Veterans' Dependents (1967B) Veterans Dependency and Indemnity Compensation for Service-Connected Death (1970B)
64.023 Health Professional Scholarships (1982B) Health Professional Scholarships_Nursing (1984B) Archived (1986B)	64.100 Veterans' Automobile Grants (1967B) Automobile Grants for Disabled Veterans (1970B) Automobiles and Adaptive Equipment for Certain Disabled Veterans and Members of the Armed Forces (1971B)	64.111 Educational Assistance for Veterans (1969B) Veterans Educational Assistance (1970B) Archived (1990B)
64.024 VA Homeless Providers Grant and Per Diem Program (1995B)	64.101 Burial Benefits for Veterans (1967B) Burial Allowance for Veterans (1969B) Burial Expenses Allowance for Veterans (1981U)	64.112 Veterans Farm Loans (1969B) Archived (1975B)
64.025 Transitional Housing Loan Program (1995B) Archived (2001B)	64.102 Compensation for Service-Connected Deaths for Veterans' Dependents (1969B)	64.113 Veterans Housing_Direct Loans and Advances (1970B) Archived (1981B)
64.026 Veterans State Adult Day Health Care (2008)	64.103 Life Insurance for Veterans and Servicemen (1967B) Life Insurance for Veterans (1970B)	64.114 Veterans' Home Loans (1965B) Veterans Housing_Guaranteed and Insured Loans (1970B)
64.027 Post-9/11 Veterans Educational Assistance (2010)	64.104 Veterans Disability Pension (1965B) Pension for Nonservice-Connected Disability for Veterans (1969B)	64.115 Veterans Information and Assistance (1967B)
64.028 Post-9/11 Veterans Educational Assistance (2010)	64.105 Veterans' Death Pension (1965B) Pension for Veterans Widows and Children (1969B) Pension for Veterans Widows or Widowers and Children (1976B) Pension to Veterans Surviving Spouses, and Children (1980U1)	64.116 Vocational Rehabilitation Training for Disabled Veterans (1965B) Vocational Rehabilitation for Disabled Veterans (1970B)
64.029 Purchase Care Program (2010)	64.106 Specially Adapted Veterans Housing (1967B) Specially Adapted Housing for Disabled Veterans (1970B)	64.117 War Orphans Education Program (1965B) War Orphans and Widows Educational Assistance (1970B) Dependents Educational Assistance (1974B)
64.030 Life Insurance for Veterans Face Amount of New Life Insurance Policies Issued (2010)	64.107 Veterans Assistance Centers (1969B) Archived (1977U)	64.118 Veterans Housing_Direct Loans for Disabled Veterans (1971B) Veterans Housing_Direct Loans for Certain Disabled Veterans (1998B)
64.031 Life Insurance for Veterans - Direct Payments for Insurance (2010)	64.108 Veterans' Business Loans (1967B) Veterans Business Loans_Guaranteed and Insured Loans (1970B) Archived (1975B)	64.119 Veterans Housing_Mobile Home Loans (1971B) Veterans Housing_Manufactured Home Loans (1983B)
64.032 Montgomery GI Bill Selected Reserve; Reserve Educational Assistance Program (2011)	64.109 Veterans' Disability Compensation (1965B) Veterans Compensation for Service-Connected Disability (1970B)	64.120 Post-Vietnam Era Veterans' Educational Assistance (1977B)
64.033 VA Supportive Services for Veteran Families Program (2011)	64.110	64.121 Emergency Veterans Job Training (1984B) Veterans' Job Training Act (1986B) Archived (1990U)
64.034 VA Assistance to United States Paralympic Integrated Adaptive Sports Program (2011)		
64.035 Veterans Transportation Program (2012)		
64.036 Veterans Retraining Assistance Program (VRAP) (2012)		
64.037 VA U.S. Paralympics Monthly Assistance Allowance Program (2012)		

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64.122

Vocational Rehabilitation for Service-Disabled Veterans Receiving Unemployability VA Compensation (1985B)
Archived (1991U)

64.123

Vocational Training for Certain Veterans Receiving VA Pension (1985B)

64.124

All-Volunteer Force Educational Assistance (1986B)

64.125

Vocational and Educational Counseling for Servicemembers and Veterans (1992B)

64.126

Native American Veteran Direct Loan Program (1993B)

64.127

Monthly Allowance Under 38 U.S.C. 1805 for a Child Born with Spina Bifida Who Is a Child of a Vietnam Veteran (1997B)
Monthly Allowance for Children of Vietnam Veterans Born with Spina Bifida (1997U)

64.128

Vocational Training and Rehabilitation for Vietnam Veterans' Children with Spina Bifida (1997U)
Vocational Training and Rehabilitation for Vietnam Veteran's Children with Spina Bifida or Other Covered Birth Defects (2002B)

64.200

Memorial Plots in National Cemeteries (1973U)
Transferred from 12.200 (1973U)
Memorial Plots and Markers in National Cemeteries (1974B)
Memorial Plots and Memorial Markers (1979B)
Consolidated into 64.201, 64.202 (1990B)

64.201

National Cemeteries (1973U)
Transferred from 12.201 (1973U)

64.202

Procurement of Headstones and Markers (1973U)
Transferred from 12.202 (1973U)
Procurement of Headstones and Markers and/or Presidential Memorial Certificates (1991U)

64.203

State Cemetery Grants (1979U2)

WATER RESOURCES COUNCIL

65.001

Water Resources Planning (1967B)
Archived (1983B)

ENVIRONMENTAL PROTECTION

AGENCY

66.001

Air Pollution Control Program Grants (1971B)
Transferred from 13.001 (1971B)
Air Pollution Control Program Support (1985U)

66.002

Air Pollution Fellowships (1971B)
Transferred from 13.002 (1971B)
Air Pollution Control Fellowships (1976B)
Archived (1979B)

66.003

Air Pollution Manpower Training Grants (1971B)
Transferred from 13.003 (1971B)
Air Pollution Control Manpower Training Grants (1976B)
Air Pollution Control Manpower Training (1985U)
Archived (1999U)

66.004

Air Pollution Research Grants (1971B)
Transferred from 13.004 (1971B)
Air Pollution Control Research Grants (1972B)
Number changed to 66.501 (1973B)

66.005

Air Pollution Survey and Demonstration Grants (1971B)
Transferred from 13.005 (1971B)
Air Pollution Control Survey and Demonstration Grants (1976B)
Archived (1979B)

66.006

Air Pollution Control Technical Training (1971U)
Air Pollution Control_Technical Training (1973B)
Archived (1998B)

66.007

Air Pollution Control National Aerometric Data Information (1971U)
Air Pollution Control_National Ambient Air and Source Emission Data (1972U)
Archived (1996U)

66.008

Air Pollution Control Technical Assistance (1971U)
Air Pollution Control_Technical Assistance (1973B)
Archived (1985B)

66.009

Air Pollution Control Technical Information (1971U)
Air Pollution Control_Technical Information Services (1973B)
Air Pollution_Technical Information Services

(1986B)

Air Information Center (1987U)
Protection of Children and the Aging as a Fundamental Goal of Public Health and Environmental Protection (2003)
Protection of Children and Older Adults (Elderly) from Environmental Health Risks (2004)
Deleted (2005)

66.010

Air Pollution Control_Interstate Cooperation and Uniform Laws (1972B)
Archived (1973U)

66.011

Solid Waste Technical Assistance, Training, and Information Services (1974B)
Number changed from 66.304 (1974B)
Solid Waste Technical Assistance, and Information Services (1975B)
Solid Waste Disposal Technical Assistance, and Information Services (1976B)
Solid Waste Management Technical Assistance, and Information Services (1976U)
Number changed to 66.450 (1977U)

66.012

Water Pollution Control Data Publications Services (1973B)
Number changed from 66.402 (1973B)
Number changed to 66.416 (1974B)

66.013

Water Pollution Control_Direct Training (1973B)
Number changed from 66.403 (1973B)
Number changed to 66.417 (1974B)

66.014

Water Pollution Control_Interstate Cooperation and Uniform Laws (1973B)
Number changed from 66.404 (1973B)
Incorporated into 66.650 (1973U)

66.015

Construction Grants for Wastewater Treatment Works (1973B)
Number changed from 66.400 (1973B)
Number changed to 66.418 (1974B)

66.017

Water Pollution Control_State and Interstate Program Grants (1973B)
Number changed from 66.407 (1973B)
Number changed to 66.419 (1974B)

66.018

Water Pollution Control_State and Local Manpower Development (1973B)
Number changed from 66.408 (1973B)
Number changed to 66.420 (1974B)

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66.019 Water Pollution Control_Technical Assistance and Criteria Development (1973B) Number changed from 66.409 (1973B) Archived (1974B)	66.031 Quiet Communities_State and Local Capacity Building Assistance (1979B) Archived (1981U)	66.041 Climate Showcase Communities Grant Program (2009) Archived (2012)
66.020 Water Pollution Control_Training Grants (1973B) Number changed from 66.410 (1973B) Number changed to 66.421 (1974B)	66.032 State Indoor Radon Grants (1989U)	66.042 Temporally Integrated Monitoring of Ecosystems (TIME) and Long-Term Monitoring (LTM) Program (2010)
66.021 Water Quality Control_Monitoring Assistance (1973B) Number changed from 66.411 (1973B) Number changed to 66.422 (1974B)	66.033 Ozone Transport (1997U) Ozone Transport Commission (2006)	66.043 Regional Healthy Indoor Air Projects for Community Outreach and Education, Surveys, Studies, Research, Investigations, Demonstrations, and Special Purpose Activities Relating to the Clean Air Act (2013)
66.022 Water Quality Control Information System_Orientation and Training Seminars (1973B) Number changed from 66.412 (1973B) Number changed to 66.423 (1974B)	66.034 Surveys, Studies Investigations, Demonstrations and Special Purpose Activities Relating to the Clean Air Act (2002B) Surveys Studies, Investigations Demonstrations and Special Purpose Activities Relating to the Clean Air Act (2003B) Surveys, Studies, Investigations, Demonstrations and Special Purpose Activities Relating to the Clean Air Act (2005) Surveys, Studies, Investigations, Demonstrations and Special Purpose Activities Relating to the Clean Air Act (2005) Surveys, Studies, Research, Investigations, Demonstrations, and Special Purpose Activities Relating to the Clean Air Act (2008)	66.050 Potomac Highlands Implementation Grants (2010) Archived (2013)
66.023 Water Quality Management Technical Planning Assistance (1973B) Number changed from 66.413 (1973B) Archived (1974B)	66.035 Community Action for a Renewed Environment (CARE) Program (2005)	66.100 Pesticides Research Grants (1971B) Transferred from 13.102 (1971B) Number changed to 66.502 (1973B)
66.025 Drinking Water Supply_Technical Assistance (1973B) Number changed from 66.415 (1973B) Number changed to 66.425 (1974B)	66.036 Clean School Bus USA (2005) Archived (2011)	66.101 Pesticides Science and Technology_Technical Information (1971U) Number changed to 66.427 (1974B)
66.026 Solid Waste Training Grants (1975B) Solid Waste Disposal Training Grants (1976B) Number changed to 66.453 (1977U)	66.037 Internships, Training, Workshops and Fellowships for the Office of Air and Radiation (2006) Internships, Training and Workshops for the Office of Air and Radiation (2008)	66.110 Healthy Communities Grant Program (2005)
66.027 Solid Waste Demonstration and Planning Grants (1975B) Solid Waste Planning Grants (1975U) Split into 66.027, 66.028 (1975U) Solid Waste Disposal Planning Grants (1976B) Number changed to 66.451 (1977U)	66.038 Training, Investigations, and Special Purpose Activities of Federally-Recognized Indian Tribes Consistent With the Clean Air Act (CAA), Tribal Sovereignty and the Protection and Management of Air Quality (2008) Archived (2012) Reinstated (2012)	66.111 Regional Environmental Priority Projects (2005) Archived (2011)
66.028 Solid Waste Demonstration Grants (1975U) Split from 66.027 (1975U) Solid Waste Disposal Demonstration Grants (1976B) Number changed to 66.452 (1977U)	66.039 National Clean Diesel Funding Assistance Program (2008) National Clean Diesel Emissions Reduction Program (2010)	66.112 Surveys, Studies, Investigations, Training, Demonstrations, and Special Purpose Grants for Regional Geographic Initiatives (2008) Archived (2009)
66.030 Noise Pollution Control_Technical Assistance (1976B) Archived (1981U)	66.040 State Clean Diesel Grant Program (2008)	66.113 Region 3 Environmental Priority Projects (2006) Archived (2013)

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Archived (2009)

66.117

Region 9 multi-media and geographic priority projects (2007)
Region 9 Multi-Media and Geographic Priority Projects (2009)
Archived (2011)

66.119

West Coast Estuaries Initiative (2008)
Archived (2013)

66.120

Puget Sound Watershed Management Assistance (2009)
Archived (2013)

66.121

Puget Sound Protection and Restoration: Tribal Implementation Assistance Program (2009)

66.122

Puget Sound Action Agenda Outreach, Education and Stewardship Support Program (2009)

66.123

Puget Sound Action Agenda: Technical Investigations and Implementation Assistance Program (2009)

66.124

Coastal Wetlands Planning Protection and Restoration Act (2010)

66.125

Lake Pontchartrain Basin Restoration Program (PRP) (2010)

66.126

THE SAN FRANCISCO BAY WATER QUALITY IMPROVEMENT FUND (2010)

66.127

Southeastern U.S. Regional Targeted Watershed Initiative (2011)
Archived (2013)

66.128

Southeastern Multi-Media and Geographic Priority Projects (2012)

66.200

Radiation Research Grants (1971B)
Split/Transferred from 13.012 (1971B)
Number changed to 66.503 (1973B)

66.201

Radiation Training Grants (1971B)
Split/Transferred from 13.013 (1971B)
Archived (1973U)

66.202

Congressionally Mandated Projects (2006)

66.203

Environmental Finance Center Grants (2008)

66.300

Solid Waste Demonstration and Resource Recovery System Grants (1971B)
Transferred from 13.014 (1971B)
Archived (1973B)

66.301

Solid Waste Planning Grants (1971B)
Transferred from 13.015 (1971B)
Archived (1973B)

66.302

Solid Waste Research Grants (1971B)
Transferred from 13.016 (1971B)
Number changed to 66.504 (1973B)

66.303

Solid Waste Training Grants (1971B)
Transferred from 13.017 (1971B)
Archived (1973B)

66.304

Solid Waste Technical Assistance, Training, and Information Services (1971U)
Number changed to 66.011 (1974B)

66.305

Compliance Assistance_Support for Services to the Regulated Community and Other Assistance Providers (2002U)
66.476 Security Planning Grants for Large Drinking Water Utilities (2002U)
Reinstated - Compliance Assistance_Support for Services to the Regulated Community and Other Assistance Providers (2003)
Compliance Assistance Support for Services to the Regulated Community and Other Assistance Providers (2005)
Compliance Assistance Support for Services to the Regulated Community and Other Assistance Providers (2005)

66.306

Environmental Justice Collaborative Problem-Solving Grants Program (2004)
Environmental Justice Collaborative Problem-Solving Cooperative Agreement Program (2005)
Environmental Justice Collaborative Problem-Solving Cooperative Agreement Program (2005)
Archived (2009)
Reinstated (2013)

66.307

Environmental Justice Training and Fellowship Assistance (2005)

66.308

Environmental Justice Research Assistance (2005)

66.309

Surveys, Studies, Investigations and Special Purpose Activities Relating to Environmental Justice (2005)
Surveys, Studies, Investigations, Training and Special Purpose Activities Relating to Environmental Justice (2011)

66.310

Capacity Building Grants and Cooperative Agreements for Compliance Assurance and Enforcement Activities in Indian Country and Other Tribal Areas (2005)

66.311

Assistance to Non-Profit Organizations with Activities Relating to Environmental Justice (2006)

66.312

State Environmental Justice Cooperative Agreement Program (2008)
Archived (2011)

66.313

International Compliance and Enforcement Projects (2010)

66.400

Construction Grants for Wastewater Treatment Works (1971B)
Transferred from 15.700 (1971B)
Number changed to 66.015 (1973B)

66.401

Water Pollution Control Comprehensive Basin Planning Grants (1971B)
Transferred from 15.701 (1971B)
Water Pollution Control_Comprehensive Basin Planning Grants (1972B)
Archived (1973B)

66.402

Water Pollution Control Data Publications Services (1971B)
Transferred from 15.702 (1971B)
Number changed to 66.012 (1973B)

66.403

Water Pollution Control_Direct Training (1971B)
Transferred from 15.703 (1971B)
Number changed to 66.013 (1973B)

66.404

Water Pollution Control_Interstate Cooperation and

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Uniform Laws (1971B)
Transferred from 15.704 (1971B)
Number changed to 66.014 (1973B)

66.405
Water Pollution Control_Research, Development, and Demonstration Grants (1971B)
Transferred from 15.705 (1971B)
Water Pollution Control_Research, Development, and Demonstration (1972B)
Number changed to 66.505 (1973B)

66.406
Water Pollution Control Research Fellowships (1971B)
Transferred from 15.706 (1971B)
Archived (1973B)

66.407
Water Pollution Control_State and Interstate Program Grants (1971B)
Transferred from 15.707 (1971B)
Number changed to 66.017 (1973B)

66.408
Water Pollution Control_State and Local Manpower Development (1971B)
Transferred from 15.708 (1971B)
Number changed to 66.018 (1973B)

66.409
Water Pollution Control_Technical Assistance and Pollution Surveillance (1971B)
Transferred from 15.709 (1971B)
Number changed to 66.019 (1973B)

66.410
Water Pollution Control Training Grants (1971B)
Transferred from 15.710 (1971B)
Number changed to 66.020 (1973B)

66.411
Water Quality Control Information System (1971B)
Transferred from 15.711 (1971B)
Number changed to 66.021 (1973B)

66.412
Water Quality and Pollution Control_Orientation and Training Seminars (1971B)
Transferred from 15.712 (1971B)
Number changed to 66.022 (1973B)

66.413
Water Quality Management Technical Planning Assistance (1971B)
Transferred from 15.713 (1971B)
Number changed to 66.023 (1973B)

66.414
Water Hygiene Research Grants (1971B)

Transferred from 13.018 (1971B)
Incorporated into 66.405 (1972B)

66.415
Drinking Water Supply_Technical Assistance (1972B)
Number changed to 66.025 (1973B)

66.416
Water Pollution Control_Data Publications Services (1974B)
Number changed from 66.012 (1974B)
Incorporated into 66.423 (1977B)

66.417
Water Pollution Control_Direct Training (1974B)
Number changed from 66.013 (1974B)
Archived (1982B)

66.418
Construction Grants for Wastewater Treatment Works (1974B)
Number changed from 66.015 (1974B)
Archived (1992B)
Reinstated (2000U)

66.419
Water Pollution Control_State and Interstate Program Grants (1974B)
Number changed from 66.017 (1974B)
Water Pollution Control State and Interstate Program Support (1985U)
Water Pollution Control State and Interstate Program Support (2004)
Water Pollution Control State and Interstate Program Support (2004)
Water Pollution Control State, Interstate, and Tribal Program Support (2006)

66.420
Water Pollution Control_State and Local Manpower Development (1974B)
Number changed from 66.018 (1974B)
Water Pollution Control_State and Local Manpower Program Development (1976B)
Archived (1981U)

66.421
Water Pollution Control_Training Grants (1974B)
Number changed from 66.020 (1974B)
Split into 66.428, 66.429 (1974U)

66.422
Water Quality Control_Monitoring Assistance (1974B)
Number changed from 66.021 (1974B)
Incorporated into 66.423 (1977B)

66.423
Water Quality Control Information

System_Orientation and Training Seminars (1974B)
Number changed from 66.022 (1974B)
Water Quality Control Information System_Orientation/Training Seminars, Data and Monitoring Publications (1977B)
Archived (1997B)

66.424
Surveys, Studies, Demonstrations and Special Purpose_Section 1442 of the Safe Drinking Water Act (2003B)
Surveys, Studies, Demonstrations and Special Purpose Grants - Section 1442 of the Safe Drinking Water Act (2004)
Surveys, Studies, Investigations, Demonstrations, and Training Grants - Section 1442 of the Safe Drinking Water Act (2008)

66.425
Drinking Water Supply_Technical Assistance (1974B)
Number changed from 66.025 (1974B)
Archived (1983U)

66.426
Water Pollution Control_Area Waste Treatment Management Planning Grants (1974B)
Water Pollution Control_State and Areawide Waste Treatment Management Planning Grants (1976U)
Water Pollution Control_State and Areawide Water Quality Management Planning Agency (1977B)
Archived (1983B)

66.427
Pesticides Science and Technology_Technical Information (1974B)
Number changed from 66.101 (1974B)
Archived (1979B)

66.428
Water Pollution Control_Professional Training Grants (1974U)
Split from 66.421 (1974U)
Archived (1982B)

66.429
Water Pollution Control_Technical Training Grants (1974U)
Split from 66.421 (1974U)
Archived (1981B)

66.430
Water Pollution Control Fellowships (1974U)
Archived (1981U)

66.431
Water Pollution Control Loans (1974U)
Transferred/Incorporated into 59.024 (1979B)

66.432

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Grants for State Public Water System Supervision Programs (1975U)

Public Water System Supervision_State Program Grants (1976B)

State Public Water System Supervision Program Grants (1976U)

State Public Water System Supervision (1985U)

66.433

Grants for Underground Injection Control Programs (1975U)

Underground Water Source Protection_State Program Grants (1976B)

State Underground Water Source Protection Program Grants (1976U)

State Underground Water Source Protection_Program Grants (1981B)

State Underground Water Source Protection (1985U)

66.434

Safe Drinking Water_State and Local Program Development Grants (1976U)

Archived (1979B)

66.435

Water Pollution Control_Lake Restoration Demonstration Grants (1976U)

Water Pollution Control_Lake Restoration Cooperative Agreements (1980U1)

Archived (1981U)

Reinstated (1987U)

Archived (1998B)

66.436

Surveys, Studies, Investigations, Demonstrations and Training Grants and Cooperative Agreements_Section 1442 of the Clean Water Act (2003B)

Surveys, Studies, Demonstrations and Special Purpose Grants - Section 1442 of the Safe Drinking Water Act (2004)

66.437

Long Island Sound Program (2004)

66.438

Construction Management Assistance Grants (1978U)

Construction Management Assistance (1985U)

Archived (1998B)

66.439

Targeted Watershed Initiative (2003B)

Targeted Watershed Grants (2004)

Targeted Watersheds Grants (2006)

66.440

Urban Waters Small Grants (2011)

66.450

Solid Waste Management Technical Assistance and Information Services (1977U)

Number changed from 66.011 (1977U)

Archived (1981U)

66.451

Solid and Hazardous Waste Management Program Support Grants (1977U)

Number changed from 66.027 (1977U)

Hazardous Waste Management Program Support Grants (1981U)

Hazardous Waste Management Financial Assistance to States (1982B)

Number changed to 66.801 (1983B)

66.452

Solid Waste Management Demonstration Grants (1977U)

Number changed from 66.028 (1977U)

Archived (1981B)

66.453

Solid Waste Management Training Grants (1977U)

Number changed from 66.026 (1977U)

Archived (1981U)

66.454

Water Quality Management Planning (1983B)

66.455

Marine Combined Sewer Overflow Special Fund (1984B)

Construction Grants for Wastewater Treatment Works for Combined Sewer Overflows (1985U)

Archived (1986B)

Construction Grants for Abatement of Combined Sewer Overflow Pollution in Marine Bays and Estuaries, Reinstated (1988U)

Archived (1989U)

66.456

Comprehensive Estuarine Management (1985U)

National Estuary Program (1987U)

66.458

Capitalization Grants for State Revolving Funds (1988B)

Capitalization Grants for Clean Water State Revolving Funds (2003B)

66.459

Nonpoint Source Reservation (1988B)

Archived (1991B)

66.460

Nonpoint Source Implementation (1990B)

Archived (1991B)

Nonpoint Source Implementation Grants (1993B)

66.461

Wetlands Protection_State Development Grants (1989U)

Wetlands Protection_State and Tribal Development Grants (1995U)

Wetlands Protection_Development Grants (1997B)

Wetlands Grants (2000U)

Wetland Program Development Grants (2003B)

Regional Wetland Program Development Grants (2005)

Regional Wetland Program Development Grants (2005)

66.462

National Wetland Program Development Grants (2005)

National Wetland Program Development Grants and Five-Star Restoration Training Grant (2008)

66.463

National Pollutant Discharge Elimination System Related State Program Grants (1991U)

Water Quality Cooperative Agreements (2000U)

66.464

Near Coastal Waters (1991U)

Archived (1994B)

66.465

Wellhead Protection Demonstration Projects (1994B)

Archived (1995B)

66.466

Chesapeake Bay Program (1994B)

Chesapeake Bay Program (2005)

Chesapeake Bay Program (2005)

66.467

Wastewater Operator Training Grant Program (Technical Assistance) (1994U)

Wastewater Operator Training Grant Program (2009)

66.468

Capitalization Grants for Drinking Water State Revolving Fund (1997B)

66.469

Great Lakes Program (1997B)

66.470

Hardship Grants Program for Rural Communities (1997B)

Archived (1998B)

66.471

State Grants to Reimburse Operators of Small Water Systems for Training and Certification Costs (2001B)

66.472

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Beach Monitoring and Notification Program
Development Grants (2001B)

Beach Monitoring and Notification Program
Implementation Grants (2003B)

66.473

Direct Implementation Tribal Cooperative
Agreements (2001U)

66.474

Water Protection Coordination Grants to States
(2002B)

Water Protection Grants to the States (2003B)

66.475

Gulf of Mexico Program (2002B)

66.476

Vulnerability Assessments and Related Security
Improvements at Large Drinking Water Utilities
(2003B)

Security Planning Grants for Large Drinking Water
Utilities (2004)

Deleted (2005)

66.477

Security Grants for Privately-Owned Water
Utilities (2002U)

66.478

Water Security Training and Technical Assistance
Grant Program (2003B)

Water Security Training and Technical Assistance
(2004)

Water Security Training and Technical Assistance
and Water Security Initiative Contamination
Warning System Pilots (2007)

66.479

Wetland Program Grants - State/Tribal
Environmental Outcome Wetland Demonstration
Program (2005)

Archived (2013)

66.480

Assessment and Watershed Protection Program
Grants (2005)

Archived (2013)

66.481

Lake Champlain Basin Program (2005)

66.482

Disaster Relief Appropriations Act (DRAA)
Hurricane Sandy Capitalization Grants For Clean
Water State Revolving Funds (2013)

66.483

Disaster Relief Appropriations Act (DRAA)
Hurricane Sandy Capitalization Grants for Drinking
Water State Revolving Funds (2013)

66.500

Environmental Protection_Comprehensive
Research Grants (1972U)

Environmental Protection_Consolidated Research
Grants (1974U)

Environmental Protection_Consolidated Research
(1985U)

Consolidated from 66.501, 66.502, 66.504, 66.505,
66.506, 66.507 (1997B)

Environmental Protection Consolidated Research
(2002B)

Environmental Protection_Consolidated Research
(2003B)

Deleted (2005)

Deleted (2005)

66.501

Air Pollution Control Research Grants (1973B)

Number changed from 66.004 (1973B)

Air Pollution Control Research (1985U)

Consolidated into 66.500 (1997B)

66.502

Pesticides Research Grants (1973B)

Number changed from 66.100 (1973B)

Pesticides Control Research Grants (1976B)

Pesticides Control Research (1985U)

Consolidated into 66.500 (1997B)

66.503

Radiation Research Grants (1973B)

Number changed from 66.200 (1973B)

Archived (1977B)

66.504

Solid Waste Research Grants (1973B)

Number changed from 66.302 (1973B)

Solid Waste Disposal Research Grants (1976B)

Solid Waste Disposal Research (1985U)

Consolidated into 66.500 (1997B)

66.505

Water Pollution Control_Research, Development,
and Demonstration (1973B)

Number changed from 66.405 (1973B)

Water Pollution Control_Research, Development,
and Demonstration Grants (1975B)

Wastewater Pollution Control_Research,
Development, and Demonstration (1985U)

Consolidated into 66.500 (1997B)

66.506

Water Supply Research Grants (1973B)

Water Research and Demonstration Grants (1975U)

Safe Drinking Water Research and Demonstration
(1985U)

Consolidated into 66.500 (1997B)

66.507

Toxic Substances Research Grants (1978B)

Toxic Substances Research (1985U)

Consolidated into 66.500 (1997B)

66.508

Senior Environmental Employment Program
(1986B)

66.509

Science To Achieve Results (STAR) Program
(2002U)

Science To Achieve Results (STAR) Research
Program (2005)

Science To Achieve Results (STAR) Research
Program (2005)

66.510

Surveys, Studies, Investigations and Special
Purpose Grants within the Office of Research and
Development (2003B)

66.511

Consolidated Research (2002U)

66.511, 66.610 Surveys, Studies, Investigations
and Special Purpose Grants (2002U)

Office of Research and Development Consolidated
Research (2003)

Office of Research and Development Consolidated
Research/Training (2005)

Office of Research and Development Consolidated
Research/Training (2005)

Office of Research and Development Consolidated
Research/Training/Fellowships (2008)

66.512

Regional Environmental Monitoring and
Assessment Program (REMAP) Research Projects
(2005)

Archived (2011)

66.513

Greater Research Opportunities Fellowship
Program (2004)

Greater Research Opportunities (GRO) Fellowships
For Undergraduate/Graduate Environmental Study
(2006)

Greater Research Opportunities (GRO) Fellowships
For Undergraduate Environmental Study (2009)

66.514

Science To Achieve Results (STAR) Fellowship
Program (2004)

66.515

Greater Opportunities Research Program (2004)

Greater Opportunities: Research Program (2005)

Greater Research Opportunities (GRO) Research
Program (2008)

Archived (2009)

66.516

National Student Design Competition for

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Sustainability (2004)

P3 Award: National Student Design Competition for Sustainability (2004)

66.517

Regional Applied Research Efforts (RARE) (2007)

66.518

State Senior Environmental Employment Program (2005)

66.600

Environmental Protection_Consolidated Program Grants (1973B)

Environmental Protection_Consolidated Program Support Grants (1975U)

Environmental Protection Consolidated Grants_Program Support (1976B)

Environmental Protection Consolidated Grants-Program Support (2004)

Environmental Protection Consolidated Grants for the Insular Areas - Program Support (2008)

66.601

Environmental Financing Authority (1974U)
Archived (1975U)

66.602

Environmental Protection_Consolidated Special Purpose Grants (1975U)

Environmental Protection Consolidated Grants_Special Purpose (1976B)

Incorporated into 66.600 (1982B)

66.603

Loan Guarantees for Construction of Treatment Works (1978U)

66.604

Environmental Justice Grants to Small Community Groups (1994B)

Environmental Justice Small Grant Program (2005)

Environmental Justice Small Grant Program (2005)

66.605

Performance Partnership Grants (1997B)

66.606

Surveys, Studies, Investigations and Special Purpose Grants (1997B)

Incorporated into 66.202 (2006)

66.607

Training and Fellowships for the Environmental Protection Agency (1997B)

66.608

One Stop Reporting (1997U)

State Information Grants (2002B)

Environmental Information Exchange Network Grant Program and Related Assistance (2005)

66.609

Protection of Children And The Aging (Or Edlerly Population) (2003B)

Protection of Children and the Aging as a Fundamental Goal of Public Health and Environmental Protection (2003B)

Protection of Children from Environmental Health Risks (2011)

66.610

Surveys, Studies, Investigations and Special Purpose Grants within the Office of the Administrator (2003B)

66.611

Environmental Policy and Innovation Grants (2002U)

66.612

Surveys, Studies, Investigations, Training Demonstrations and Educational Outreach Related to Environmental Information and the Release of Toxic Chemicals (2007)

66.650

Environmental Protection_Interstate Cooperation and Uniform Laws (1973U)

Number changed from 66.014 (1973U)

Archived (1978U)

66.651

Sustainable Development Challenge Grants (1997B)

Innovative Community Partnership (2000B)

Archived (2000U)

66.700

Pesticides Enforcement Program Grants (1977U)

Pesticides Enforcement Program (1985U)

Consolidated Pesticide Compliance Monitoring and Program Cooperative Agreements (1990B)

Incorporated from 66.704 (1990B)

Consolidated Pesticide Enforcement Cooperative Agreements (1997B)

66.701

Toxic Substances Compliance Monitoring Cooperative Agreements (1984U)

Toxic Substances Compliance Monitoring Program (1989U)

Toxic Substances Compliance Monitoring Cooperative Agreements (1995B)

66.702

Asbestos Hazard Abatement School Assistance Program (1984U)

Asbestos Hazards Abatement (Schools) Assistance

(1985U)

Archived (1994B)

66.703

Asbestos Inspection and Management Plan Assistance (1987U)

Archived (1989B)

66.704

Pesticides Certification Program (1988U)

Incorporated into 66.700 (1990B)

66.705

Toxic Release Inventory Data Quality Assurance Program (1990B)

Toxic Release Inventory Data Quality Capabilities Program (1991B)

Archived (1992B)

66.706

Enhancement Grants for State Asbestos Programs (1991B)

Archived (1994B)

66.707

TSCA Title IV State Lead Grants_Certification of Lead- Based Paint Professionals (1994B)

TSCA Title IV State Lead Grants Cerfication of Lead_Based Paint Professionals (2003B)

TSCA Title IV State Lead Grants Certification of Lead-Based Paint Professionals (2005)

TSCA Title IV State Lead Grants Certification of Lead-Based Paint Professionals (2005)

66.708

Pollution Prevention Grants Program

Number changed from 66.900 (1994B)

Revised (1997U)

66.709

Capacity Building Grants and Cooperative Agreements for States and Tribes (2001B)

Multi-Media Capacity Building Grants for States and Tribes (2005)

Multi-Media Capacity Building Grants for States and Tribes (2005)

Archived (2012)

66.710

Environmental Justice Community/University Partnership Grants Program (1997B)

Archived (2001B)

66.711

Environmental Justice through Pollution Prevention Grants (1999B)

Archived (2002B)

66.713

State and Tribal Environmental Justice (1998B)

Archived (2001B)

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66.714 Pesticide Environmental Stewardship_Regional Grants (1999U) Pesticide Environmental Stewardship Regional Grants (2003B) Pesticide Environmental Stewardship_Regional Grants (2004) Pesticide Environmental Stewardship Regional Grants (2005) Pesticide Environmental Stewardship Regional Grants (2005) Regional Agricultural IPM Grants (2011)	Tribe Site-Specific Cooperative Agreements (2009)	(1993U) Technical Assistance Grants Program (1994U) CEPP Technical Assistance Grants Program (1995U) Chemical Emergency Preparedness and Prevention (CEPP) Technical Assistance Grants Program (2003B)
66.715 Childhood Blood-Lead Screening and Lead Awareness (Educational) Outreach for Indian Tribes (2001B) Tribal Educational Outreach on Lead Poisoning and Baseline Assessment of Tribal Children's Existing and Potential Exposure and Risks Associated With Lead (2006) Lead Educational Outreach and Baseline Assessment of Tribal Children's Exposure and Risks Associated With Lead (2008) Archived (2013)	66.803 Hazardous Waste Management Financial Assistance (1984U) Archived (1985B)	66.811 Brownfield Pilots Cooperative Agreements (1997B) Revised (1998U)
66.716 Surveys, Studies, Investigations, Training and Demonstrations and Educational Outreach (2003B) Research, Development, Monitoring, Public Education, Training, Demonstrations, and Studies (2008)	66.804 State Underground Storage Tanks Program (1985U) State and Tribal Underground Storage Tanks Program (2000B) Underground Storage Tank Prevention, Detection and Compliance Program (2009)	66.812 Hazardous Waste Management Grants for Tribes (2002B) Tribal Hazardous Waste Grants (2003B) Hazardous Waste Management Grant Program for Tribes (2005) Hazardous Waste Management Grant Program for Tribes (2005)
66.717 Source Reduction Assistance (2002U)	66.805 Underground Storage Tank Trust Fund Program (1987B) Leaking Underground Storage Tank Trust Fund Program (1995B) Leaking Underground Storage Tank_Trust Fund (2002B) Leaking Underground Storage Tank Trust Fund Program (2003B) Leaking Underground Storage Tank Trust Fund Corrective Action Program (2009)	66.813 Alternative/Innovative Treatment Technology Research, Demonstration, Training, and Hazardous Substance Research Grants (2002U)
66.718 National Community-Based Lead Outreach and Training Grant Program (2007) Archived (2013)	66.806 Superfund Technical Assistance Grants for Citizen Groups at Priority Sites (1988B) Superfund Technical Assistance Grants (Tag) for Citizen Groups at Priority Sites (2002B) Superfund Technical Assistance Grants for Citizen Groups at Priority Sites (2003B) Superfund Technical Assistance Grants (TAG) for Community Groups at National Priority List (NPL) Sites (2004)	66.814 Brownfields Training, Research, and Technical Assistance Grants and Cooperative Agreements (2003B)
66.800 State Toxic Substances Control Projects (1979B) Archived (1981B)	66.807 Superfund Innovative Technology Evaluation Program (1988B) Deleted (2004)	66.815 Brownfield Job Training Cooperative Agreements (2003B) Environmental Workforce Development and Job Training Cooperative Agreements (2011)
66.801 Hazardous Waste Management Financial Assistance to States (1983B) Number changed from 66.451 (1983B) Hazardous Waste Management State Program Support (1985U)	66.808 Solid Waste Management Assistance (1989U) Solid Waste Management Assistance Grants (2005) Solid Waste Management Assistance Grants (2005)	66.816 Headquarter and Regional Underground Storage Tanks (2002U) Headquarters and Regional Underground Storage Tanks Program (2005) Headquarters and Regional Underground Storage Tanks Program (2005) Headquarters and Regional Underground Storage Tanks Program (2005)
66.802 Hazardous Substance Response Trust Fund (1983B) Superfund State Site_Specific Cooperative Agreements (1994U) Superfund State, Political Subdivision, and Indian Tribe Site_Specific Cooperative Agreements (2002B) Superfund State, Political Subdivision, and Indian	66.809 Core Program Cooperative Agreements (1991B) Superfund State Core Program Cooperative Agreements (1994U) Sunperfund State and Indian Tribe Core Program_Cooperative Agreements (2002B) Superfund State and Indian Tribe Core Program Cooperative Agreements (2003B)	66.817 State and Tribal Response Program Grants (2002U)
	66.810 Emergency Planning and Community Right-to-Know Technical Assistance Grants	66.818 Brownfield Assessment and Cleanup Cooperative Agreements (2002U)
		66.819 Disaster Relief Appropriations Act (DRAA) Hurricane Sandy Leaking Underground Storage Tank Trust Fund Corrective Action Program (2013)
		66.900

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Pollution Prevention Incentives for States (1989U)
Pollution Prevention Grants Program (1991U)
Number changed to 66.708 (1994B)

66.925

State/EPA Data Management Financial Assistance Program (1991B)
Archived (1993U)

66.926

Indian Environmental General Assistance Program (1993U)
Indian Environmental General Assistance Program (GAP) (2003B)

66.930

U.S.-Mexico Border Grants Program (1997B)
Archived (2002B)

66.931

International Financial Assistance Projects (2002U)
Agency Request (2009)
International Financial Assistance Projects
Sponsored by the Office of International Affairs (2009)
International Financial Assistance Projects
Sponsored by the Office of International and Tribal Affairs (2010)

66.940

Environmental Policy and State Innovation Grants (2005)
Environmental Policy and State Sustainability Grants (2010)
Archived (2012)

66.950

Environmental Education and Training Program (1992B)
Environmental Education Training Program (2009)
National Environmental Education Training Program (2010)

66.951

Environmental Education Grants (1992B)

66.952

National Network for Environmental Management Studies Fellowship Program (2005)

66.953

Building Capacity to Implement EPA National Guidelines for School Environmental Health Programs (2011)
Archived (2012)

NATIONAL COUNCIL ON INDIAN OPPORTUNITY

67.001

Indian Information and Counseling (1971B)

Indian Advice and Special Services (1973B)
Archived (1974B)

NATIONAL GALLERY OF ART

68.001

National Gallery of Art Extension Service (1971B)

OFFICE OF CONSUMER AFFAIRS

69.001

Consumer Affairs (1971B)
Transferred from 52.500 (1971B)
Archived (1973B)

OVERSEAS PRIVATE INVESTMENT CORPORATION

70.001

Foreign Currency Loans (1971B)
Transferred from 19.001 (1971B)
Archived (1979B)

70.002

Foreign Investment Guaranties (1971B)
Transferred from 19.004 (1971B)
Foreign Investment Financing (1994B)

70.003

Foreign Investment Insurance (1971B)
Transferred from 19.005 (1971B)

70.004

Pre-Investment Assistance (1971B)
Archived (1986U)

70.005

Direct Investment Loans (1971B)
Consolidated into 70.002 (1994B)

FEDERAL HOME LOAN BANK BOARD

71.001

Housing Opportunity Allowance Program (1971B)
Archived (1972B)

ACTION

72.001

Foster Grandparents (1971U)
The Foster Grandparents Program (1973B)
The Foster Grandparent Program (1977B)
Foster Grandparent Program (1984B)
Number changed to 94.011 (1995B)

72.002

Retired Senior Volunteers (1971U)
Retired Senior Volunteer Program (1973B)
Number changed to 94.002 (1995B)

72.003

Volunteers in Service to America (1971U)
Number changed to 94.013 (1995B)

72.004

University Year for ACTION (1973B)
Archived (1981U)

72.005

National Student Volunteer Program (1973B)
National Center for Service-Learning (1979U1)
Service-Learning Programs (1983B)
Student Community Service Program (1989U)
Archived (1994B)

72.006

Service Corps of Retired Executives/Active Corps of Executives (1972U)
Service Corps of Retired Executives and Active Corps of Executives (1973B)
Transferred to 59.026 (1976B)

72.007

ACTION Cooperative Volunteer Program (1974B)
Incorporated into 72.003 (1976U)

72.008

The Senior Companion Program (1974B)
Senior Companion Program (1984B)
Number changed to 94.016 (1995B)

72.009

The Youth Challenge Program (1975B)
Archived (1979B)

72.010

Mini-Grant Program (1976B)
Archived (1992B)

72.011

State Volunteer Services Coordinator Program (1977B)
State Office of Voluntary Citizen Participation (1979U1)
State Office of Voluntarism (1986B)
Archived (1989U)

72.012

Special Volunteer Programs (1979U1)
Volunteer Demonstration Program (1981U)
Archived (1991B)

72.013

Support Services Assistance (1980U1)
Technical Assistance Program (1981U)
Archived (1991B)

72.014

Drug Alliance (1989B)
Archived (1994B)

72.015

Literacy Corps (1989B)
Number changed to 94.010 (1995B)

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SPECIAL ACTION OFFICE FOR DRUG ABUSE PREVENTION

73.001

Drug Abuse Prevention_Pharmacological Research (1973U)
Archived (1975B)

73.002

Drug Abuse Prevention_Planning and Coordinating Functions (1973U)
Archived (1975B)

73.003

Drug Abuse Prevention_Incentives Program (1973U)
Archived (1975B)

AMERICAN REVOLUTION BICENTENNIAL ADMINISTRATION

74.001

Bicentennial Administration_Matching Project Grants (Non-Appropriated) (1974B)
Archived (1976B)

74.002

Bicentennial Administration_Matching Project Grants (Appropriated) (1974B)
Archived (1976B)

74.003

Bicentennial Administration_Direct Grants (1974B)
Archived (1976B)

OLD WEST REGIONAL COMMISSION

75.001

Old West Regional Economic Development (1975B)
Archived (1981U)

75.002

Old West Technical and Planning Assistance (1975B)
Archived (1981U)

75.003

Old West Supplements to Federal Grant-in-Aid (1977B)
Archived (1981U)

75.007

Old West Health and Nutrition Demonstration Projects (1977U)
Archived (1981U)

PACIFIC NORTHWEST REGIONAL COMMISSION

76.001

Pacific Northwest Economic Development (1975B)
Pacific Northwest Regional Economic Development (1975U)

Archived (1981U)

76.002

Pacific Northwest Technical and Planning Assistance (1975B)
Archived (1981U)

76.004

Pacific Northwest Regional Transportation (1977U)
Archived (1981U)

76.005

Pacific Northwest Energy Demonstration Projects and Programs (1977U)
Archived (1981U)

76.008

Pacific Northwest Education Demonstration Projects (1977U)
Archived (1981U)

NUCLEAR REGULATORY COMMISSION

77.001

Radiation Control_Training Assistance and Advisory Counseling (1975B)
Transferred from 24.027 (1975B)
Deleted (2004)

77.002

Radiological Emergency Response_Training Assistance (1979B)
Transferred to 83.402 (1980B)

77.003

Enhance Technology Transfer and Dissemination of Nuclear Energy Process and Safety Information (1980U2)
Archived (1995U)
Reinstated (1997B)
Archived (1998B)

77.004

Enhance Technology Advancement of Nuclear Energy Safety (1980U2)
Archived (1982B)

77.005

Financial Assistance for NRC Local Public Document Rooms (LPDRs) (1990B)
Deleted (2004)

77.006

U. S. Nuclear Regulatory Commission Nuclear Education Grant Program (2006)

77.007

U.S. Nuclear Regulatory Commission Minority Serving Institutions Program (MSIP) (2008)

77.008

U.S. Nuclear Regulatory Commission Scholarship and Fellowship Program (2007)

77.009

Office of Research Program (2009)
U.S. Nuclear Regulatory Commission Office of Research Financial Assistance Program (2009)

COMMODITY FUTURES TRADING COMMISSION

78.001

Agricultural Futures Markets Supervision (1975U)
Transferred from 10.100 (1975U)
Split into 78.002, 78.004 (1977B)

78.002

Commodity Futures Market Reports (1977B)
Split from 78.001 (1977B)
Archived (1985U)

78.003

Commodity Futures Consumer Information (1977B)
Archived (1981B)

78.004

Commodity Futures Customer Inquiries, Complaints, and Reparation Claims (1977B)
Split from 78.001 (1977B)
Commodity Futures Reparations Claims (1979B)

SOUTHWEST BORDER REGIONAL COMMISSION

79.001

Southwest Border Regional Economic Development (1977U)
Archived (1981U)

79.002

Southwest Border Technical and Planning Assistance (1977U)
Archived (1981U)

FEDERAL ENERGY ADMINISTRATION

80.001

State Energy Conservation Program (1976U)
Transferred to 81.041 (1978B)

80.002

Weatherization Assistance Program for Low-Income Persons (1977U)
Transferred to 81.042 (1978B)

80.003

Supplemental State Energy Conservation Program (1977U)
Transferred to 81.043 (1978B)

DEPARTMENT OF ENERGY

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81.001 Access Permits (1978B) Transferred from 24.001 (1978B) Archived (1979U1)	81.026 Payments in Lieu of Property Taxes (1978B) Transferred from 24.026 (1978B) Archived (1979U1)	81.040 Grants for Offices of Consumer Services (1978B) Archived (1982B)
81.002 Motion Picture Film Libraries (1978B) Transferred from 24.002 (1978B) Archived (1983B)	81.028 Radiological Emergency Assistance (1978B) Transferred from 24.028 (1978B) Archived (1991B)	81.041 State Energy Conservation Program (1978B) Transferred from 80.001 (1978B) State Energy Conservation (1980B) Consolidated from 81.052 (1995U) State Energy Program (1997B)
81.003 Granting of Patent Licenses (1978B) Transferred from 24.003 (1978B)	81.029 Nuclear Industry Seminars (1978B) Transferred from 24.029 (1978B) Uranium Industry Seminars (1980B) Archived (1983U)	81.042 Weatherization Assistance Program for Low-Income Persons (1978B) Transferred from 80.002 (1978B) Weatherization Assistance for Low-Income Persons (1980B)
81.004 University-Laboratory Cooperative Program (1978B) Transferred from 24.004 (1978B) Consolidated from 81.097 (1997B) Archived (1998B)	81.030 Work Experience (1978B) Transferred from 24.030 (1978B) Archived (1980B)	81.043 Supplemental State Energy Conservation Program (1978B) Transferred from 80.003 (1978B) Supplemental State Energy Conservation (1980B) Archived (1983B)
81.007 Faculty Training Institutes, Short Courses, and Workshops on Energy and Environmental Subjects (1978B) Transferred from 24.007 (1978B) Faculty Development Projects in Energy (1978U) Teacher Development Projects in Energy (1979B) Archived (1983U)	81.033 Citizens' Workshops (1978B) Transferred from 24.033 (1978B) Archived (1980U1)	81.044 Public Education in Energy (1978B) Archived (1980B)
81.011 Reactor Sharing and Fuel Assistance (1978B) Transferred from 24.011 (1978B) University Reactor Fuel Assistance (1980B) University Reactor Sharing and Fuel Assistance (1980U1) Consolidated into 81.049 (1992B)	81.035 Research and Development in Energy Conservation (1978B) Transferred from 24.035 (1978B) Archived (1980U1)	81.045 Special Studies and Projects in Energy Education and Training (1978B) Archived (1980B)
81.020 Traineeships for Graduate Students in Energy Related Fields (1978B) Transferred from 24.020 (1978B) Archived (1979B)	81.036 Energy-Related Inventions (1978B) Transferred from 24.036 (1978B) Inventions and Innovations (2001U)	81.046 Research and Development in Biomedical and Environmental Sciences (1978B) Transferred/Split from 24.034, 24.034 (1978B) Environmental Research and Impact Assessments (1978U) Archived (1980B)
81.022 Energy-Related Laboratory Equipment Grants (1978B) Transferred from 24.022 (1978B) Used Energy-Related Laboratory Equipment Grants (1981U) Laboratory Equipment Donation Program (2011)	81.037 Research and Development_Fission, Fossil, Solar, Geothermal, Electric and Storage Systems, Magnetic Fusion (1978B) Transferred/Consolidated from 24.024, 24.034 (1978B) Research and Development_Fission, Fossil, Solar, Geothermal, Electric and Storage Systems (1980B) Archived (1980U1)	81.047 PREFACE (Pre-Freshman and Cooperative Education for Minorities in Engineering) (1978B) PREFACE (Pre-Freshman and Cooperative Education for Minorities and Women in Engineering) (1978U) Pre-Freshman and Cooperative Education for Minorities and Women in Engineering (1980B) Pre-Freshman Education for Minorities and Women in Engineering (1980U1) Pre-Freshman Engineering for Minorities and Women (1980U2) Archived (1981B) Reinstated (1983U) Pre-Freshman Engineering (1983U) Pre-Freshman Enrichment (1990B) Consolidated into 81.049 (1992B)
81.023 Information Services_Exhibits, Public Speakers, Publications, Reference, and Analysis (1978B) Transferred from 24.023 (1978B) Information Services_Exhibits, Public Speakers, and Publications (1979B) Archived (1983B)	81.038 Energy Information Administration_Clearinghouse (EIAC) (1978B) Energy Information Administration_Clearinghouse (1980B) Archived (1982B)	
	81.039 National Energy Information Center (NEIC) (1978B) National Energy Information Center (1980B) Deleted (2007)	

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81.048 Materials Allocation (1978B) Priorities and Allocations for Energy Programs and Projects (1980B) Archived (1996B)	Small Hydroelectric Power Project Feasibility Studies (1980B) Archived (1981U)	Archived (1982B)
81.049 Basic Energy Sciences, High Energy and Nuclear Physics, and Advanced Technology and Assessment Projects (1978B) Transferred/Split from 24.024 (1978B) Basic Energy Sciences, High Energy/Nuclear Physics, Fusion Energy, and Program Analysis (1980B) Basic Energy Sciences, High Energy/Nuclear Physics, Fusion Energy, Program Analysis and Field Operations Management (1980U2) Basic Energy Sciences, High Energy/Nuclear Physics, Fusion Energy, Health and Environmental Research, Program Analysis and Field Operations Management (1981B) Incorporated into 81.049, 81.073, 81.074 (1981U) Basic Energy Sciences, High Energy/Nuclear Physics, Magnetic Fusion Energy, Health and Environmental Research, Program Analysis and Field Operations Management (1983U) Basic Energy Services, High Energy and Nuclear Physics, Magnetic Fusion Energy, Health and Environmental Research, Program Analysis and Field Operations Management (1985B) Basic Energy Sciences_University and Science Education (1991B) Consolidated from 81.011, 81.047 (1992B) Office of Energy Research Financial Assistance Program (1997B) Office of Science Financial Assistance Program (1999B)	81.056 Coal Loan Guarantees (1980B) Archived (1987U)	81.068 Biomass Loan Guarantees (1980U2) Archived (1982B)
81.050 Energy Extension Service (1978B) Archived (1994B)	81.057 University Coal Research (1980B)	81.069 Gasoline Rationing_State Preimplementation Activities (1980U2) Archived (1982B)
81.051 Appropriate Energy Technology (1979U1) Archived (1985U)	81.058 Geothermal Loan Guarantees (1980B) Archived (1983B)	81.070 Energy Auditor Training and Certification Grants (1980U2) Archived (1982B)
81.052 Energy Conservation for Institutional Buildings (1979U1) Consolidated into 81.041 (1995U)	81.059 Clearinghouse for Community Energy Efficiency (1980B) Archived (1982B)	81.071 Emergency Energy Conservation Act Plans (1980U2) Archived (1982B)
81.053 Public Utility Regulatory Innovative Rates Support (1979U1) Archived (1982B)	81.060 Electric and Hybrid Vehicle Loan Guaranties (1980B) Archived (1983B)	81.072 Conservation Retrofit Delivery_Research and Development (1981U) Archived (1982B)
81.054 Public Utility Regulatory Support (1979U1) Archived (1981U)	81.061 Oil Shale State Grants (1980U1) Archived (1981U)	81.073 Geothermal Technologies_Research and Development (1981U) Split from 81.049 (1981U) Archived (1982B)
81.055	81.062 National Clubs, Organizations and Associations Energy Conservation Projects (1980U1) National Clubs, Organizations and Associations Energy Awareness Projects (1981B) Archived (1983B)	81.074 Alcohol Fuels Loan Guarantees (1981U) Split from 81.049 (1981U) Archived (1983B)
	81.063 Office of Minority Economic Impact Loans (1980U2) Archived (1993U)	81.075 Energy Graduate Traineeship Program (1981U) Archived (1983U)
	81.064 DOE Technical Information Center (1980U2) Office of Scientific and Technical Information (1984B)	81.076 Indian Energy Resources (1983B) Archived (1986U)
	81.065 Research and Development Nuclear Energy Programs (1980U2) Nuclear Waste Disposal Siting (1983B) Archived (2013)	81.077 University Research Instrumentation (1983U) Archived (1996B)
	81.066 American Indian Energy Production and Efficiency (1980U2) Archived (1982B)	81.078 Industrial Energy Conservation (1983U) Consolidated into 81.086 (1992U)
	81.067 Technical Assistance for Local and Tribal Governments (1980U2)	81.079 Biomass Energy Technology (1983U) Biofuels and Municipal Waste Technology (1985U) Regional Biomass Programs (1990U) Regional Biomass Energy Programs (1992U)
		81.080

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Energy Policy, Planning and Development (1983U)
Domestic and International Energy Policy
Development (1992B)
Consolidated from 81.088 (1992B)
Policy, Planning and Program Development
(1993U)
Office of Policy and Financial Assistance (1995B)
Office of Policy Financial Assistance (1996B)
Archived (1998B)

81.081

Energy Task Force for the Urban Consortium
(1983U)
Archived (2001B)

81.082

Management and Technical Assistance for Minority
Business Enterprises (1984B)
Deleted (2004)

81.083

Minority Educational Institution Research Travel
Fund (1984B)
Archived (1995B)

81.084

Minority Honors Vocational Training (1984B)
Minority Honors Training and Industrial Assistance
Program (1988B)
Archived (1994B)

81.085

National Energy Information Clearinghouse
(1984B)
National Minority Energy Information
Clearinghouse (1985B)
Minority Energy Information Clearinghouse
(1992B)
Archived (1997B)

81.086

Conservation Research and Development (1984U)
Consolidated from 81.078 (1992U)

81.087

Renewable Energy Research and Development
(1984U)

81.088

International Affairs and Energy Emergencies
(1984U)
Consolidated into 81.080 (1992B)

81.089

Fossil Energy Research and Development (1984U)

81.090

State Heating Oil Grants (1985B)
Archived (1988B)

81.091

Socioeconomic and Demographic Research, Data
and Other Information (1985U)
Socioeconomic and Demographic Research, Data
Analytic Model Development and Information
(1993U)
Archived (1997B)

81.092

Remedial Action and Nuclear Waste Technology
(1986B)
Remedial Action and Waste Technology (1987U)
Environmental Restoration (1990B)
Archived (1995B)

81.093

Nuclear Energy Policy, Planning and Development
(1986U)
Archived (1991B)

81.094

Minority Educational Institution Assistance
(1986U)
Archived (1991U)

81.095

Nuclear Energy, Reactor Systems, Development,
and Technology (1987B)
Archived (1995B)

81.096

Innovative Clean Coal Technology (1988B)
Clean Coal Technology Program (1990B)
Archived (1994B)

81.097

Science and Engineering Research Semester
(1989B)
Consolidated into 81.004 (1997B)

81.098

Minority Undergraduate Training for Energy
Related Careers (1991B)
Archived (1995B)

81.099

Minority Math/Science Leadership Development
Recognition (1991B)
Archived (1993B)

81.102

Academic Partnerships (1991B)
Archived (1998B)

81.103

Technology Integration (1991B)
Consolidated into 81.104 (1993U)

81.104

Technology Development for Environmental

Restoration and Waste Management (1992U)
Consolidated from 81.103 (1993U)

Technology Development for Environmental
Management (1994B)

Office of Science and Technology for
Environmental Management (2000B)

Office of Technology Development and
Deployment for Environmental Management
(2003B)

81.104 OFFICE OF ENVIRONMENTAL WASTE
PROCESSING (2008)

Office of Environmental Waste Processing (2008)
Environmental Remediation and Waste Processing
and Disposal (2013)

81.105

National Industrial Competitiveness through
Energy, Environment, and Economics (1993B)

81.106

Transport of Transuranic Wastes to the Waste
Isolation Pilot Plant: States and Tribal Concerns
and Proposed Solutions (1993B)
Transport of Transuranic Wastes to the Waste
Isolation Pilot Plant: States and Tribal Concerns,
Proposed Solutions, and Environmental Monitoring
(1994U)
Transport of Transuranic Wastes to the Waste
Isolation Pilot Plant: States and Tribal Concerns,
Proposed Solutions (1995B)
States and Tribal Concerns, Proposed Solutions
(2003B)

81.107

Oil Recovery Demonstration (1993U)
Archived (1996B)

81.108

Epidemiology and Other Health Studies Financial
Assistance (1994B)
Archived (1996B)
Epidemiology and Other Health Studies Financial
Assistance Program, Reinstated (1999B)

81.109

Financial Assistance Program_Science Education
and Technical Information (1994U)
Archived (1997B)

81.110

National Resource Center for Plutonium (1995B)
Archived (2003B)

81.111

Alternative Fuel Transportation Program (1996B)
Archived (1997B)

81.112

Inertial Fusion Science_Support of Stockpile
Stewardship (1997U)
Stewardship Science Grant Program (1999B)

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81.113 Nonproliferation and National Security Research (1998B) Defense Nuclear Nonproliferation Research (2000B)	81.127 Energy Efficient Appliance Rebate Program (EEARP) (2009)	81.214 Environmental Monitoring/Cleanup, Cultural and Resource Mgmt., Emergency Response Research, Outreach, Technical Analysis (2010)
81.114 University Nuclear Science and Reactor Support (1998B) Archived (2009)	81.128 Energy Efficiency and Conservation Block Grant Program (EECBG) (2009)	UNITED STATES INFORMATION AGENCY
81.116 Science and Engineering Training to Support Diversity- Related Programs (1998U) Deleted (2004)	81.129 Energy Efficiency and Renewable Energy Technology Deployment, Demonstration and Commercialization (2009)	82.001 Educational Exchange_Graduate Students Transferred from 19.101 (1978B) Number changed to 19.400 (2000B)
81.117 Energy Efficiency and Renewable Energy Information Dissemination, Outreach, Training and Technical Analysis/Assistance (1999B)	81.130 Carbon Capture and Storage-FutureGen 2.0 (2010) Archived (2013)	82.002 Educational Exchange_University Lecturers (Professors) and Research Scholars Transferred from 19.102 (1978B) Number changed to 19.401 (2000B)
81.118 Solar Energy Partnership Support and Barrier Elimination (1999B) Archived (2001B)	81.131 Expand and Extend Clean Coal Power Initiative (2009) Archived (2013)	82.003 Private Sector Programs (1980B) Archived (1981B)
81.119 State Energy Program Special Projects (2000B)	81.132 Geologic Sequestration Site Characterization (2009) Archived (2013)	82.004 International Visitors Program (1998B) Number changed to 19.402 (2000B)
81.120 Arms Control and Nonproliferations Policy Analysis (2001B) Rescinded (2003B) Deleted (2003)	81.133 Geologic Sequestration Training and Research Grant Program (2009) Archived (2013)	82.005 Near and Middle East Research and Training Act (NMERTA) (1997U) Foreign Language and Area Studies_U.S. Students and Scholars (1998B) Archived (1999B)
81.121 Nuclear Energy Research Initiative (2001U) Nuclear Energy Research, Development and Demonstration (2003B)	81.134 Industrial Carbon Capture and Storage (CCS) Application (2009) Archived (2013)	82.006 American Council of Young Political Leaders (1999B) Number changed to 19.403 (2000B)
81.122 Electricity Delivery and Energy Reliability, Research, Development and Analysis (2005)	81.135 Advanced Research and Projects Agency Energy Financial Assistance Program (2009) Advanced Research Projects Agency - Energy (2013)	82.009 Professional Development_International Educators/Administrators (1997U) Number changed to 19.404 (2000B)
81.123 National Nuclear Security Administration (NNSA) Historically Black Colleges and Universities (HBCU) Program (2005) National Nuclear Security Administration (NNSA) Minority Serving Institutions (MSI) Program (2006)	81.136 Long-Term Surveillance and Maintenance (2009)	82.010 College and University Partnerships Program (1998U) Number changed to 19.405 (2000B)
81.124 PREDICTIVE SCIENCE ACADEMIC ALLIANCE PROGRAM (PSAAP) (2007) Predictive Science Academic Alliance Program (2008)	81.137 Minority Economic Impact (2012)	82.011 College and University Affiliations Program (1998B) Number changed to 19.406 (2000B)
81.126 Federal Loan Guarantees for Innovative Energy Technologies (2006)	81.138 State Heating Oil and Propane Program (2012)	82.012 Teacher Exchange_New Independent States (NIS) (1998B) Number changed to 19.407 (2000B)
	81.139 Environmental Management R&D for HEPA Filters, High-Level Tank Waste Mixing & Sampling (2012)	82.013 Educational Exchange_Teachers from Secondary
	81.140 Los Alamos National Laboratory - Fire Protection (2013)	

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and Postsecondary Levels (1997U)
Number changed to 19.408 (2000B)

82.015
Creative Arts Grants (1998B)
Number changed to 19.409 (2000B)

82.016
Educational Exchange_Congress-Bundestag Youth Exchange (1998B)
Number changed to 19.410 (2000B)

82.018
Educational Exchange_NIS Secondary School Initiative (1998B)
Number changed to 19.411 (2000B)

82.021
Educational Exchange_Faculty (1997U)
Archived (1998B)

82.026
Educational Exchange_Postgraduates and Faculty (1997U)
Number changed to 19.412 (2000B)

82.030
Cultural Exchange (Performing Arts) (1998U)
Number changed to 19.413 (2000B)

82.032
Cultural Exchange (1997U)
Cultural Exchange (Visual Arts) (1998U)
Number changed to 19.414 (2000B)

82.033
Professional Exchange_Annual Open Grant (1997U)
Number changed to 19.415 (2000B)

82.034
Exchange_English Teaching Fellows (1997U)
Number changed to 19.416 (2000B)

82.035
Exchange_English as a Foreign Language (EFL) Fellows (1997U)
Number changed to 19.417 (2000B)

82.038
Educational Exchange_American Studies Institutes (1997U)
Number changed to 19.418 (2000B)

FEDERAL EMERGENCY MANAGEMENT ADMINISTRATION

83.001
Academy Planning Assistance (1975B)
Transferred from 11.700 (1979B)
Number changed to 83.404 (1982B)

83.002
State Fire Incident Reporting Assistance (1977B)
Transferred from 11.701 (1979B)
Number changed to 83.407 (1983B)

83.003
Public Education Assistance Program (1979B)
Archived (1983B)

83.004
Technical Support Services (1980B)
Number changed to 83.408 (1983B)

83.005
Arson Task Force Assistance Program (1980U1)
Archived (1983B)

83.006
Student Stipend Program (1980U2)
Number changed to 83.405 (1982B)

83.007
Reimbursement for Firefighting on Federal Property (1980U2)
Number changed to 83.409 (1983B)
Number changed from 83.409 (1989B)
Transferred to 97.016 (2003B)

83.008
Community-Based Anti-Arson Program (1984U)
Number changed from 83.410 (1989B)
Archived (1996B)

83.009
National Fire Academy_Training Assistance
Number changed from 83.405 (1991B)
National Fire Academy Training Assistance (1993B)
Archived (1996B)
Reinstated (1996U)
Transferred to 97.018 (2003B)

83.010
National Fire Academy Educational Program
Number changed from 83.411 (1991B)
Transferred to 97.019 (2003B)

83.011
Hazardous Materials Training Program for Implementation of the Superfund Amendment and Reauthorization Act (SARA) of 1986 (1993B)
Transferred/Split into 83.552 (2000B)
Transferred to 97.020 (2003B)

83.012
Hazardous Materials Exercise Assistance Program (1993B)
Hazardous Materials Assistance Program (1994B)
Consolidated into 83.534 (1996B)
Reinstated (2000B)

Transferred to 97.021 (2003B)

83.013
National Fire Academy Fire Executive Fellowship Program (1994B)
Archived (1995B)

83.100
Flood Insurance (1980B)
Transferred from 14.001 (1980B)
Transferred to 97.022 (2003B)

83.101
Urban Property Insurance (1980B)
Transferred from 14.002 (1980B)
Archived (1984U)

83.102
Crime Insurance (1980B)
Transferred from 14.003 (1980B)
Archived (1984U)

83.103
State Assistance Program_National Flood Insurance Program (1980U1)
Number changed to 83.501 (1982B)

83.104
Acquisition of Flood-Damaged Structures (1981B)
Number changed to 83.502 (1982B)
Number changed from 83.502 (1990U)
Archived (1995B)

83.105
Community Assistance Program_State Support Services Element (CAP-SSSE) (1993B)
Transferred to 97.023 (2003B)

83.200
Civil Defense_State and Local Management (1980B)
Transferred from 12.315 (1980B)
Emergency Management Assistance (1980U2)
Number changed to 83.503 (1982B)

83.201
Civil Defense_State and Local Maintenance and Services (1980B)
Transferred from 12.319 (1980B)
State and Local Maintenance and Services (1980U2)
Number changed to 83.504 (1982B)

83.203
State Disaster Preparedness Grants (1980B)
Transferred from 14.702 (1980B)
Number changed to 83.505 (1982B)

83.204
Earthquake and Hurricane Loss Study and

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Contingency Planning Grants (1980B)
Number changed to 83.506 (1982B)

83.205

Civil Defense_Industrial Preparedness Planning (1980B)
Transferred from 12.309 (1980B)
Industrial Preparedness Planning (1980U2)
Number changed to 83.507 (1982B)

83.206

Civil Defense_Radiological Instrument Maintenance and Calibration (1980B)
Transferred from 12.310 (1980B)
Radiological Instruments Maintenance and Calibration (1980U2)
Radiological Systems Maintenance (1981U)
Number changed to 83.508 (1982B)

83.207

Civil Defense_National Shelter Survey (1980B)
Transferred from 12.312 (1980B)
National Shelter Survey (1980U2)
Number changed to 83.509 (1982B)

83.208

Civil Defense_Radiation Shielding Design (1980B)
Transferred from 12.316 (1980B)
Radiation Shielding Design (1980U2)
Number changed to 83.510 (1982B)

83.209

State Radiological Defense Officers (1981B)
Number changed to 83.511 (1982B)

83.210

State and Local Emergency Operating Centers (1981B)
Number changed to 83.512 (1982B)

83.211

Civil Defense_State and Local Supporting Materials (1981B)
Number changed to 83.513 (1982B)

83.212

Nuclear Civil Protection Planning (1981U)
Number changed to 83.514 (1982B)

83.300

Disaster Assistance (1980B)
Transferred from 14.701 (1980B)
Number changed to 83.516 (1982B)

83.400

Emergency Management Institute_Student Expense Program (1980B)
Transferred from 12.314 (1980B)
Emergency Management Institute_Training Assistance (1983U)

Number changed to 83.527 (1991B)

83.401

Emergency Management_Architect/Engineer Student Development (1980B)
Transferred from 12.324 (1980B)
Emergency Management Institute_Architect/Engineer Student Program (1983U)
Incorporated into 83.525 (1990B)

83.402

Radiological Emergency Response_Training Assistance (1980B)
Transferred from 77.002 (1980B)
Emergency Management Institute Radiological Emergency Response_Training (1983U)
Incorporated into 83.400 (1989U)

83.403

Training and Education Field Deployment (1981B)
National Emergency Training Center_Field Training Program (1983U)
Emergency Management Institute_Field Studies Program (1984U)
Number changed to 83.528 (1991B)

83.404

Academy Planning Assistance (1982B)
Number changed from 83.001 (1982B)
Archived (1983B)

83.405

Student Stipend Program (1982B)
Number changed from 83.006 (1982B)
National Fire Academy Student Stipend Program (1983U)
Number changed to 83.009 (1991B)

83.406

Training and Fire Programs Educational Program (1983B)
National Emergency Training Center_Training Program (1983U)
Archived (1986B)

83.407

State Fire Incident Reporting Assistance (1977B)
Number changed from 83.002 (1983B)
Archived (1984U)

83.408

Technical Support Services (1980B)
Number changed from 83.004 (1983B)
Archived (1984B)

83.409

Reimbursement for Firefighting on Federal Property (1980U2)
Number changed from 83.007 (1983B)

Number changed to 83.007 (1989B)

83.410

Community-Based Anti-Arson Program (1984U)
Number changed to 83.008 (1989B)

83.411

National Fire Academy Educational Program (1989U)
Number changed to 83.010 (1991B)

83.412

Emergency Management Institute (EMI)_Home Study Program (1989U)
Number changed to 83.529 (1991B)

83.413

Emergency Management Institute (EMI)_Resident Educational Program (1989U)
Number changed to 83.530 (1991B)

83.500

General Research, Development, and Demonstration Activity (1981U)
Archived (1984U)

83.501

State Assistance Program (1982B)
Number changed from 83.103 (1982B)
Archived (1985U)

83.502

Acquisition of Flood-Damaged Structures (1982B)
Number changed to 83.104 (1990U)

83.503

Emergency Management Assistance (1982B)
Number changed from 83.200 (1982B)
Civil Defense_State and Local Emergency Management Assistance (1987B)
Consolidated into 83.534 (1996B)

83.504

State and Local Maintenance and Services (1982B)
Number changed from 83.201 (1982B)
Other State and Local Direction, Control and Warning (1984U)
Consolidated into 83.532 (1993B)

83.505

State Disaster Preparedness Grants (1982B)
Number changed from 83.203 (1982B)
Consolidated into 83.552 (2000B)

83.506

Earthquake and Hurricane Loss Study and Contingency Planning Grants (1982B)
Number changed from 83.204 (1982B)
Earthquake and Hurricane Preparedness Planning Grants (1983B)

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Earthquake and Hurricane Preparedness Grants (1984U)
Archived (1988B)

83.507

Industrial Preparedness Planning (1982B)
Number changed from 83.205 (1982B)
Archived (1983B)

83.508

Radiological Systems Maintenance (1982B)
Number changed from 83.206 (1982B)
Radiological Instrumentation (1984U)
Archived (1988B)

83.509

National Shelter Survey (1982B)
Number changed from 83.207 (1982B)
Facility Survey, Engineering and Development (1984B)
Archived (1993B)

83.510

Radiation Shielding Design (1982B)
Number changed from 83.208 (1982B)
Shelter Development (1983B)
Facility Survey Development (1986B)
Archived (1993B)

83.511

State Radiological Defense Officers (1982B)
Number changed from 83.209 (1982B)
Radiological Protection Program (1985B)
Radiological Protection Planning and Development (1986B)
Radiological Defense Planning and Development (1987U)
Archived (1988B)

83.512

State and local Emergency Operating Centers (1982B)
Number changed from 83.210 (1982B)
Consolidated into 83.532 (1993B)

83.513

State and Local Supporting Materials (1982B)
Number changed from 83.211 (1982B)
State and Local Warning and Communication Systems (1983B)
Consolidated into 83.532 (1993B)

83.514

Nuclear Civil Protection Planning (1982B)
Number changed from 83.212 (1982B)
Population Protection Planning (1983B)
Consolidated into 83.531 (1993B)

83.515

Broadcast Station Protection Program (1982B)

Emergency Broadcast System Guidance and Assistance (1983B)
Consolidated into 83.532 (1993B)

83.516

Disaster Assistance (1982B)
Number changed from 83.300 (1982B)
Split into 83.537, 83.538, 83.539, 83.540, 83.541, 83.542, 83.543, 83.544, 83.545, 83.548 (1997U)

83.517

Electromagnetic Pulse (EMP) Technical Support (1983B)
Consolidated into 83.532 (1993B)

83.518

Emergency Public Information Competitive Challenge Grants (1984U)
Archived (1988B)

83.519

Hazard Mitigation Assistance (1985U)
Archived (1995B)

83.520

Hurricane Preparedness Grants (1988B)
Hurricane Program (1994B)
Consolidated into 83.535 (1997U)

83.521

Earthquake Hazards Reduction Grants (1988B)
Consolidated into 83.535 (1997U)

83.522

Radiological Defense (1988B)
Consolidated into 83.531 (1993B)

83.523

Federal Emergency Management Food and Shelter Program (1989B)
Emergency Food and Shelter National Board Program (1996U)
Transferred to 97.024 (2003B)

83.524

Superfund Permanent Relocation Assistance Program (1989U)
Archived (1992U)

83.525

Shelter Survey Technician Program_Job Qualification Training (1990B)
Archived (1993B)

83.526

National Urban Search and Rescue (US&R) Program (1991B)
National Urban Search and Rescue (US&R) Response System (1995B)
Transferred to 97.025 (2003B)

83.527

Emergency Management Institute_Training Assistance
Number changed from 83.400 (1991B)
Transferred to 97.026 (2003B)

83.528

Emergency Management Institute_Field Training Program
Number changed from 83.403 (1991B)
Consolidated into 83.534 (1996B)

83.529

Emergency Management Institute (EMI)_Home Study Program
Number changed from 83.412 (1991B)
Emergency Management Institute (EMI)_Independent Study Program (1993U)
Transferred to 97.027 (2003B)

83.530

Emergency Management Institute (EMI)_Resident Educational Program
Number changed from 83.413 (1991B)
Transferred to 97.028 (2003B)

83.531

State and Local Emergency Management Assistance_Other Assistance
Consolidated from 83.514, 83.522 (1993B)
Consolidated into 83.534 (1996B)

83.532

Facilities and Equipment
Consolidated from 83.504, 83.512, 83.513, 83.515, 83.517 (1993B)
Consolidated into 83.534 (1996B)

83.533

COOPs for Digitization of Flood Maps (1995B)
Archived (1996B)

83.534

Emergency Management_State and Local Assistance
Consolidated from 83.012, 83.503, 83.528, 83.531, 83.532 (1996B)
Consolidated into 83.552 (2000B)

83.535

Mitigation Assistance
Consolidated from 83.520, 83.521 (1997U)
Consolidated into 83.552 (2000B)

83.536

Flood Mitigation Assistance (1997U)
Transferred to 97.029 (2003B)

83.537

Community Disaster Loans

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Incorporated from 83.516 (1997U)
Transferred to 97.030 (2003B)

83.538

Cora Brown Fund
Incorporated from 83.516 (1997U)
Transferred to 97.031 (2003B)

83.539

Crisis Counseling
Incorporated from 83.516 (1997U)
Transferred to 97.032 (2003B)

83.540

Disaster Legal Services
Incorporated from 83.516 (1997U)
Transferred to 97.033 (2003B)

83.541

Disaster Unemployment Assistance
Incorporated from 83.516 (1997U)
Transferred to 97.034 (2003B)

83.542

Fire Suppression Assistance
Incorporated from 83.516 (1997U)
Transferred to 83.55 (2002B)

83.543

Individual and Family Grants
Incorporated from 83.516 (1997U)
Transferred to 97.035 (2003B)

83.544

Public Assistance Grants
Incorporated from 83.516 (1997U)
Transferred to 97.036 (2003B)

83.545

Disaster Housing Program
Incorporated from 83.516 (1997U)
Transferred to 97.037 (2003B)

83.546

National Arson Prevention Initiative (1997U)
Archived (1998U)

83.547

First Responder Anti-Terrorism Training Assistance (1997U)
First Responder Counter-Terrorism Training Assistance (1999B)
Transferred to 97.038 (2003B)

83.548

Hazard Mitigation Grant
Incorporated from 83.516 (1997U)
Transferred to 97.039 (2003B)

83.549

Chemical Stockpile Emergency Preparedness Program (1998U)
Transferred to 97.040 (2003B)

83.550

National Dam Safety Program (1998U)
Transferred to 97.041 (2003B)

83.551

Project Impact_Building Disaster Resistant Communities (1998U)
Archived (2002B)

83.552

Emergency Management Performance Grants (1999U)
Consolidated from 83.011, 83.505, 83.534, 83.535 (2000B)
Transferred to 97.042 (2003B)

83.553

State Fire Training Systems Grants (2001B)
Transferred to 97.043 (2003B)

83.554

Assistance to Firefighters Grant (2002B)
Transferred to 97.044 (2003B)

83.555

Cooperating Technical Partners (2002B)
Transferred to 97.045 (2003B)

83.556

Fire Management Assistance Grant (2002B)
Transferred to 97.046 (2003B)

83.557

Pre-Disaster Mitigation (2002B)
Transferred to 97.047 (2003B)

83.558

Federal Assistance to Individuals and Households_Housing (2002B)
Transferred to 97.048 (2003B)

83.559

Federal Assistance to Individuals and Households_Disaster Housing Operations (2002B)
Transferred to 97.049 (2003B)

83.560

Federal Assistance to Individuals and Households_Other Needs (2002B)
Transferred to 97.050 (2003B)

83.562

State and Local All Hazards Emergency Operations Planning (2000B)
Transferred to 97.051 (2003B)

83.563

Emergency Operations Centers (2000B)
Transferred to 97.052 (2003B)

83.564

Citizen Corps (2000B)
Transferred to 97.053 (2003B)

83.565

Community Emergency Response Teams (2000B)
Transferred to 97.054 (2003B)

83.566

Interoperable Communications Equipment (2000B)
Transferred to 97.055 (2003B)

DEPARTMENT OF EDUCATION

84.001

Academic Facilities Reconstruction and Renovation for Removal of Architectural Barriers (1980U1)
Archived (1981U)

84.002

Adult Education_State-Administered Program (1980U1)
Transferred from 13.400 (1980U1)
Adult Education_State Administered Basic Grant Program (1988U)
Adult Education_State Grant Program (1995U)
Adult Education - Basic Grants to States (2008)

84.003

Bilingual Education (1980U1)
Transferred from 13.403 (1980U1)
Archived (1998B)

84.004

Civil Rights Technical Assistance and Training (1980U1)
Transferred from 13.405 (1980U1)
Desegregation Assistance, Civil Rights Training, and Advisory Services (1989U)
Civil Rights Training and Advisory Services (1997B)

84.005

College Library Resources (1980U1)
Transferred from 13.406 (1980U1)
Archived (1985B)

84.006

Teacher Centers (1980U1)
Transferred from 13.416 (1980U1)
Archived (1982B)

84.007

Supplemental Educational Opportunity Grants (1980U1)
Transferred from 13.418 (1980U1)
Federal Supplemental Education Opportunity

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Grants (1992U)

84.008

Alcohol and Drug Abuse Education Program (1980U1)

Transferred from 13.420 (1980U1)

Archived (1982B)

Reinstated (1983U)

Alcohol and Drug Abuse Education (1983U)

Archived (1987B)

84.009

Program for Education of Handicapped Children in State Operated or Supported Schools (1980U1)

Transferred from 13.427 (1980U1)

Education of Handicapped Children in State Operated or Supported Schools (1990U)

Education of Children with Disabilities in State Operated or Supported Schools (1994B)

Archived (1995U)

84.010

Educationally Deprived Children_Local

Educational Agencies (1980U1)

Transferred from 13.428 (1980U1)

Chapter 1 Programs_Local Educational Agencies (1990U)

Chapter 1 Grants to Local Educational Agencies (1994U)

Title I Grants to Local Educational Agencies (1995B)

84.011

Educationally Deprived Children_Migrants

(1980U1)

Transferred from 13.429 (1980U1)

Migrant Education Program_State Formula Grant Program (1980U2)

Migrant Education_Basic State Formula Grant Program (1981U)

Migrant Education_Basic State Grant Program (1994U)

Migrant Education_State Grant Program (2002B)

84.012

Educationally Deprived Children_State

Administration (1980U1)

Transferred from 13.430 (1980U1)

Archived (1995U)

84.013

Educationally Deprived Children in State Administered Institutions Serving Neglected or Delinquent Children (1980U1)

Transferred from 13.431 (1980U1)

Neglected and Delinquent Children (1984B)

Chapter 1 Program for Neglected and Delinquent Children (1990U)

Title I Program for Neglected and Delinquent Children (1995B)

Title I State Agency Program for Neglected and

Delinquent Children (2010)

Title I State Agency Program for Neglected and

Delinquent Children and Youth (2011)

84.014

Follow Through (1980U1)

Transferred from 13.433 (1980U1)

Archived (1995U)

84.015

International Studies Centers and Foreign Language Area (1980U1)

Transferred from 13.434 (1980U1)

National Resource Centers and Fellowships in

International Studies (1981B)

National Resource Centers and Fellowships

Program for Language and Area or Language and

International Studies (1983B)

National Resource Centers Program for Foreign

Language and Area Studies or Foreign Language

and International Studies Program and Foreign

Language and Area Studies Fellowship Program

(2008)

84.016

International Studies Programs (1980U1)

Transferred from 13.435 (1980U1)

International Studies Program (1981B)

Undergraduate International Studies and Foreign

Language Programs (1981U)

84.017

Foreign Language and Area Studies_Research

(1980U1)

Transferred from 13.436 (1980U1)

International Research and Studies (1981B)

84.018

Teacher Exchange (1980U1)

Transferred from 13.437 (1980U1)

Summer Seminars Aboard (1984U)

Fulbright-Hays Training Grants_Seminars Abroad

(1986B)

Fulbright-Hays Seminar Abroad_Special Bilateral

Projects (1986U)

Fulbright-Hays Seminars Abroad_Bilateral Projects

(1989U)

International: Overseas Seminars Abroad_Bilateral

Projects (1994U)

Overseas Seminars Abroad_Bilateral Projects

(2003B)

Overseas Programs_Special Bilateral Projects

(2004)

84.019

Fulbright-Hays Training Grants_Faculty Research

Abroad (1980U1)

Transferred from 13.438 (1980U1)

International: Overseas_Faculty Research Abroad

(1994U)

Overseas_Faculty Research Abroad (2003B)

Overseas Programs - Faculty Research Abroad (2008)

Archived (2012)

84.020

Fulbright-Hays Training Grants_Foreign

Curriculum Consultants (1980U1)

Transferred from 13.439 (1980U1)

Archived (1988U)

84.021

Fulbright-Hays Training Grants_Group Projects Abroad (1980U1)

Transferred from 13.440 (1980U1)

International: Overseas_Group Projects Abroad (1994U)

Overseas_Group Projects Abroad (2003B)

Overseas Programs - Group Projects Abroad (2008)

84.022

Fulbright-Hays Training Grants_Doctoral

Dissertation Research Abroad (1980U1)

Transferred from 13.441 (1980U1)

International: Overseas_Doctoral Dissertation (1994U)

Overseas_Doctoral Dissertation (2003B)

Overseas Programs - Doctoral Dissertation

Research Abroad (2008)

84.023

Handicapped_Research and Demonstration

(1980U1)

Transferred from 13.443 (1980U1)

Research in the Education of the Handicapped (1981B)

Handicapped_Research and Demonstration (1981U)

Handicapped_Innovation and Development

(1985B)

Disabled_Innovation and Development (1991B)

Special Education_Innovation and Development

(1993B)

Archived (1998B)

84.024

Handicapped Early Childhood Assistance (1980U1)

Transferred from 13.444 (1980U1)

Handicapped Early Childhood Education (1985B)

Early Education for Children with Disabilities

(1991B)

Archived (1998B)

84.025

Handicapped Innovative Programs_Deaf-Blind

Centers (1980U1)

Transferred from 13.445 (1980U1)

Handicapped Innovative Programs_Deaf-Blind

(1984B)

Deaf-Blind Centers (1985B)

Handicapped Education_Deaf-Blind Centers

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- (1987U)
Services for Deaf-Blind Children and Youth (1991B)
Services for Children with Deaf-Blindness (1992B)
Archived (1998B)
- 84.026**
Handicapped Media Services and Captioned Films (1980U1)
Transferred from 13.446 (1980U1)
Media and Captioning for Individuals with Disabilities (1991B)
Media and Captioning Services for Individuals with Disabilities (1994B)
Archived (1998B)
- 84.027**
Handicapped Preschool and School Programs (1980U1)
Transferred from 13.449 (1980U1)
Handicapped_State Grants (1985U)
Special Education_State Grants (1991B)
Special Education_Grants to States (1994U)
- 84.028**
Handicapped Regional Resource Centers (1980U1)
Transferred from 13.450 (1980U1)
Handicapped Regional Resource and Federal Centers (1987U)
Disabled_Regional Resource and Federal Centers (1991B)
Special Education_Regional Resource and Federal Centers (1993B)
Special Education Resource Centers (1994B)
Special Education_Regional Resource Centers (1994B)
Archived (1998B)
- 84.029**
Handicapped Personnel Preparation (1980U1)
Transferred from 13.451 (1980U1)
Training Personnel for the Education of the Handicapped (1983B)
Special Education Personnel Development (1985B)
Handicapped Education_Special Education Personnel Development (1987U)
Special Education_Special Education Personnel Development (1991B)
Special Education_Special Education Personnel Development and Parent Training (1992B)
Special Education Personnel Development and Parent Training (1994B)
Special Education_Personnel Development and Parent Training (1994B)
Archived (1998B)
- 84.030**
Handicapped Teacher Recruitment and Information (1980U1)
Transferred from 13.452 (1980U1)
- Handicapped Teacher Recruitment and Information Dissemination (1984B)
Handicapped Teacher Recruitment and Information (1985B)
Clearinghouses for the Handicapped Program (1987U)
Clearinghouses for the Disabled (1991B)
Clearinghouses for Individuals with Disabilities (1992B)
Archived (1998B)
- 84.031**
Higher Education_Strengthening Developing Institutions (1980U1)
Transferred from 13.454 (1980U1)
Higher Education_Institution Aid (1985B)
- 84.032**
Higher Education Act Insured Loans (1980U1)
Transferred from 13.460 (1980U1)
Guaranteed Student Loans (1989B)
Federal Family Education Loans (1993U)
Archived (2012)
- 84.033**
College Work-Study Program (1980U1)
Transferred from 13.463 (1980U1)
Federal Work-Study Program (1992U)
- 84.034**
Public Library Services (1980U1)
Transferred from 13.464 (1980U1)
Library Services (1985U)
Public Library Services (1990U)
Archived (1997B)
- 84.035**
Interlibrary Cooperation (1980U1)
Transferred from 13.465 (1980U1)
Interlibrary Cooperation and Resource Sharing (1986U)
Interlibrary Cooperation (1990U)
Interlibrary Cooperation and Resource Sharing (1991B)
Archived (1997B)
- 84.036**
Library Training (1980U1)
Transferred from 13.468 (1980U1)
Library Career Training (1981U)
Library Education and Human Resource Development (1992U)
Library Education and Training (1993U)
Archived (1997B)
- 84.037**
National Defense/Direct Student Loan Cancellations (1980U1)
Transferred from 13.470 (1980U1)
National Defense/National Direct/Perkins Loan Cancellations (1988B)
National Defense/National Direct/Federal Perkins Loan Cancellations (1992U)
Federal Perkins Loan Cancellations (1994B)
Loan Cancellations (2001B)
Perkins Loan Cancellations (2003B)
Archived (2012)
- 84.038**
National Defense/Direct Student Loans (1980U1)
Transferred from 13.471 (1980U1)
Perkins Loans (1987B)
Perkins Loan Program (1990B)
Perkins Loan Program_Federal Capital Contributions (1990U)
Federal Perkins Loan Program_Federal Capital Contributions (1992U)
Archived (2010)
- 84.039**
Library Research and Demonstration (1980U1)
Transferred from 13.475 (1980U1)
Archived (1997B)
- 84.040**
School Assistance in Federally Affected Areas_Construction (1980U1)
Transferred from 13.477 (1980U1)
Impact Aid_Construction (1987U)
Impact Aid_Facilities Maintenance (1995U)
- 84.041**
School Assistance in Federally Affected Areas_Maintenance and Operation (1980U1)
Transferred from 13.478 (1980U1)
Impact Aid_Maintenance and Operation (1987U)
Impact Aid_Maintenance and Operations (1990U)
Impact Aid (1995U)
- 84.042**
Special Services for Disadvantaged Students (1980U1)
Transferred from 13.482 (1980U1)
Student Support Services (1987B)
TRIO_Student Support Services (1995U)
- 84.043**
Strengthening State Educational Agency Management (1980U1)
Transferred from 13.486 (1980U1)
Archived (1982B)
- 84.044**
Talent Search (1980U1)
Transferred from 13.488 (1980U1)
TRIO_Talent Search (1995U)
- 84.045**
Teacher Corps_Operations and Training (1980U1)
Transferred from 13.489 (1980U1)

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Archived (1982B)

84.046

Community Service and Continuing Education_State Grants (1980U1)
Transferred from 13.491 (1980U1)
Continuing Education Outreach_State Administered Program (1981B)
Archived (1983B)

84.047

Upward Bound (1980U1)
Transferred from 13.492 (1980U1)
TRIO_Upward Bound (1995U)

84.048

Vocational Education_Basic Grants to States (1980U1)
Transferred from 13.493 (1980U1)
Career and Technical Education -- Basic Grants to States (2008)

84.049

Vocational Education_Consumer and Homemaking Education (1980U1)
Transferred from 13.494 (1980U1)
Vocational Education_Consumer and Homemaker Education (1985B)
Archived (1996B)

84.050

Vocational Education_Program Improvement and Supportive Service (1980U1)
Transferred from 13.495 (1980U1)
Archived (1985B)

84.051

Vocational Education_Program Improvement Projects (1980U1)
Transferred from 13.498 (1980U1)
National Vocational Education Research (1985B)
Vocational Education_National Centers for career and Technical Education (2002B)
Vocational Education_National Programs (2004)
Career and Technical Education -- National Programs (2008)

84.052

Vocational Education_Special Programs for the Disadvantaged (1980U1)
Transferred from 13.499 (1980U1)
Archived (1985B)

84.053

Vocational Education_State Advisory Councils (1980U1)
Transferred from 13.500 (1980U1)
Vocational Education_State Councils (1985B)
Archived (1997B)

84.054

Vocational Education_Leadership Development Awards Program (1980U1)
Transferred from 13.503 (1980U1)
Archived (1981B)

84.055

Higher Education_Cooperative Education (1980U1)
Transferred from 13.510 (1980U1)
Archived (1997B)

84.056

Emergency School Aid Act_Basic Grants to Local Educational Agencies (1980U1)
Transferred from 13.525 (1980U1)
Archived (1982B)

84.057

Emergency School Aid Act_Grants to Nonprofit Organizations (1980U1)
Transferred from 13.529 (1980U1)
Archived (1982B)

84.058

Emergency School Aid Act_Educational Television and Radio (1980U1)
Transferred from 13.530 (1980U1)
Archived (1982B)

84.059

Emergency School Aid Act_Special Programs and Projects (1980U1)
Transferred from 13.532 (1980U1)
Split/Incorporated into 84.106, 84.107, 84.108, 84.109, 84.110 (1981B)

84.060

Development Awards Program_Indian Education_Local Educational Agencies and Tribal Schools (1980U1)
Transferred from 13.534 (1980U1)
Indian Education_Entitlement Grants to Local Educational Agencies and Tribal Schools (1981U)
Indian Education_Formula Grants to Local Educational Agencies and Tribal Schools (1984U)
Indian Education_Formula Grants to Local Educational Agencies (1988U)
Indian Education_Grants to Local Educational Agencies (1994U)

84.061

Indian Education_Special Programs and Projects (1980U1)
Transferred from 13.535 (1980U1)
Archived (1995U)

84.062

Indian Education_Adult Indian Education (1980U1)

Transferred from 13.536 (1980U1)
Indian Education_Adult Education (1990U)
Archived (1997B)

84.063

Basic Educational Opportunity Grant Program (1980U1)
Transferred from 13.539 (1980U1)
Pell Grant Program (1981B)
Federal Pell Grant Program (1992U)

84.064

Higher Education_Veterans' Cost of Instruction Program (1980U1)
Transferred from 13.540 (1980U1)
Higher Education_Veterans Education Outreach Program (1987B)
Archived (1994B)

84.065

Educational Television and Radio (Programming) (1980U1)
Transferred from 13.541 (1980U1)
Educational Television and Radio Programming (1981B)
Archived (1983B)

84.066

Educational Opportunity Centers (1980U1)
Transferred from 13.543 (1980U1)
TRIO_Educational Opportunity Centers (1995U)

84.067

Use of Technology in Basic Skills Instruction (1980U1)
Transferred from 13.544 (1980U1)
Archived (1983B)

84.068

Indochina Refugee Children Assistance (1980U1)
Transferred from 13.545 (1980U1)
Archived (1982B)

84.069

Grants to States for State Student Incentives (1980U1)
Transferred from 13.548 (1980U1)
State Student Incentives Grants (1994U)
Leveraging Educational Assistance Partnership (1999B)
Archived (2012)

84.070

Ethnic Heritage Studies Program (1980U1)
Transferred from 13.549 (1980U1)
Archived (1982B)

84.071

State Postsecondary Education Commissions Program_Intrastate Planning (1980U1)

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Transferred from 13.550 (1980U1)
Incorporated into 84.046 (1981B)

84.072

Indian Education_Grants to Indian-Controlled Schools (1980U1)
Transferred from 13.551 (1980U1)
Archived (1996B)

84.073

National Diffusion Program (National Diffusion Network) (1980U1)
Transferred from 13.553 (1980U1)
National Diffusion Program (1981B)
National Diffusion Network (1981U)
Archived (1997B)

84.074

Career Education (1980U1)
Transferred from 13.554 (1980U1)
Archived (1982B)

84.075

Public Service Education_Institutional Grants and Fellowships (1980U1)
Transferred from 13.555 (1980U1)
Incorporated into 84.094 (1981B)

84.076

Community Service and Continuing Education_Special Projects (1980U1)
Transferred from 13.557 (1980U1)
Archived (1980U2)

84.077

Bilingual Vocational Training (1980U1)
Transferred from 13.558 (1980U1)
Archived (1996B)

84.078

Regional Education Programs for Deaf and Other Handicapped Persons (1980U1)
Transferred from 13.560 (1980U1)
Postsecondary Education Programs for Handicapped Persons (1984B)
Postsecondary Education Programs for Persons with Disabilities (1991B)
Special Education_Postsecondary Education Programs for Persons with Disabilities (1994U)
Archived (1998B)

84.079

Education for the Use of the Metric System of Measurement (1980U1)
Transferred from 13.561 (1980U1)
Archived (1982B)

84.080

Education for Gifted and Talented Children and Youth (1980U1)

Transferred from 13.562 (1980U1)
Archived (1982B)

84.081

Community Education (1980U1)
Transferred from 13.563 (1980U1)
Archived (1982B)

84.082

Consumers' Education (1980U1)
Transferred from 13.564 (1980U1)
Archived (1982B)

84.083

Women's Educational Equity (1980U1)
Transferred from 13.565 (1980U1)
Women's Educational Equity Act Program (1994U)
Women's Educational Equity Program (2010)
Archived (2012)

84.084

Elementary and Secondary School Education in the Arts (1980U1)
Transferred from 13.566 (1980U1)
Archived (1982B)

84.085

Domestic Mining and Mineral and Mineral Fuel Conservation Fellow (1980U1)
Transferred from 13.567 (1980U1)
Incorporated into 84.094 (1981B)

84.086

Innovative Programs for Severely Handicapped Children (1980U1)
Transferred from 13.568 (1980U1)
Handicapped Education_Severely Handicapped Program (1987U)
Special Education_Severely Disabled Program (1991B)
Special Education_Program for Severely Disabled Children (1994U)
Archived (1998B)

84.087

Indian Education_Fellowships for Indian Students (1980U1)
Transferred from 13.569 (1980U1)
Archived (1997B)

84.088

Instructional Materials and School Library Resources (1980U1)
Transferred from 13.570 (1980U1)
Archived (1982B)

84.089

Improvement in Local Educational Practice (1980U1)
Transferred from 13.571 (1980U1)

Archived (1982B)

84.090

Health Education Assistance Loans (1980U1)
Transferred from 13.574 (1980U1)
Transferred to 13.108 (1981B)

84.091

Strengthening Research Library Resources (1980U1)
Transferred from 13.576 (1980U1)
Improving Access to Research Library Resources (1992U)
Archived (1996B)

84.092

Guidance, Counseling, and Testing in Elementary/Secondary Schools (1980U1)
Transferred from 13.577 (1980U1)
Archived (1981B)

84.093

Emergency Adult Education Program for Indochina Refugees (1980U1)
Transferred from 13.579 (1980U1)
Archived (1982B)

84.094

Graduate and Professional Opportunities (1980U1)
Transferred from 13.580 (1980U1)
Graduate and Professional Study (1981B)
Patricia Roberts Harris Fellowships (1987B)
Harris Fellowships (1993U)
Archived (1997B)

84.095

Citizen Educational for Cultural Understanding Program (1980U1)
Transferred from 13.581 (1980U1)
International Understanding Program (1981B)
Archived (1982B)

84.096

Incentive Grants for State Student Financial Assistance Training (1980U1)
Transferred from 13.582 (1980U1)
Archived (1981U)

84.097

Law School Clinical Experience Program (1980U1)
Transferred from 13.584 (1980U1)
Archived (1997B)

84.098

Educational Information Centers (1980U1)
Transferred from 13.585 (1980U1)
Incorporated into 84.046 (1981B)

84.099

Bilingual Vocational Instructor Training (1980U1)

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Transferred from 13.586 (1980U1)
Archived (1996B)

84.100

Bilingual Vocational Instructional Materials, Methods, and Techniques (1980U1)
Transferred from 13.587 (1980U1)
Bilingual Vocational Materials, Methods, and Techniques (1985B)
Archived (1996B)

84.101

Vocational Education_Program for Indian Tribes and Indian Organizations (1980U1)
Transferred from 13.588 (1980U1)
Vocational Education_Indian and Hawaiian Natives (1985B)
Vocational Education_Indians (1992U)
Vocational Education_Indians Set-aside (1994U)
Career and Technical Education - Indian Set-aside (2008)
Career and Technical Education - Grants to Native Americans and Alaska Natives (2010)

84.102

Emergency School Aid Act_Magnet Schools, University/Business Cooperation and Neutral Site Planning (1980U1)
Transferred from 13.589 (1980U1)
Archived (1982B)

84.103

Training for Special Programs Staff and Leadership Personnel (1980U1)
Transferred from 13.592 (1980U1)
Training Program for Federal TRIO Programs (1993B)
Higher Education_TRIO Staff Training Program (1994U)
TRIO Staff Training Program (2005)
TRIO Staff Training Program (2005)

84.104

Career Education State Allotment Program (1980U1)
Transferred from 13.596 (1980U1)
Archived (1982B)

84.105

Basic Skills Improvements (1980U1)
Transferred from 13.599 (1980U1)
Basic Skills Improvement (1981B)
Archived (1982B)

84.106

Emergency School Aid Act_Planning Grants (1980U1)
Transferred from 13.685 (1980U1)
Archived (1982B)

84.107

Emergency School Aid Act_Pre-Implementation Assistance Grants (1980U1)
Transferred from 13.686 (1980U1)
Archived (1982B)

84.108

Emergency School Aid Act_Out-of-Cycle Grants (1980U1)
Transferred from 13.687 (1980U1)
Archived (1982B)

84.109

Emergency School Aid Act_Special Discretionary Assistance Grants (1980U1)
Transferred from 13.688 (1980U1)
Archived (1982B)

84.110

Emergency School Aid Act_State Agency Grants (1980U1)
Transferred from 13.689 (1980U1)
Archived (1982B)

84.111

Emergency School Aid Act_Grants for the Arts (1980U1)
Transferred from 13.690 (1980U1)
Archived (1982B)

84.112

Biomedical Sciences for Talented Disadvantaged Secondary Students (1980U1)
Transferred from 13.691 (1980U1)
Archived (1982B)

84.113

Adult Education Program for Adult Immigrants (1980U1)
Transferred from 13.692 (1980U1)
Archived (1982B)

84.114

Capacity Building for Statistical Activities (1980U1)
Transferred from 13.922 (1980U1)
Capacity-Building Grants for Data Systems in State Agencies (1981U)
Archived (1982B)

84.115

Institute of Museum Services (1980U1)
Transferred from 13.923 (1980U1)
Transferred to 45.301 (1982B)

84.116

Fund for the Improvement of Postsecondary Education (1980U1)
Transferred from 13.925 (1980U1)

84.117

Educational Research and Development (1980U1)
Transferred from 13.950 (1980U1)
Archived (1996B)

84.118

National Adult Education Development and Dissemination Program and Planning Grants (1980U1)
Archived (1981B)

84.119

Pre-College Teacher Development In Science Program (1980U1)
Archived (1982B)

84.120

Minority Institutions Science Improvement Program (1980U1)
Minority Science Improvement (1987B)
Minority Science and Engineering Improvement (1999B)

84.121

Vocational Education_State Planning and Evaluation (1980U1)
Archived (1985B)

84.122

Secretary's Discretionary Program (1980U1)
Archived (1982B)
Reinstated (1983U)
Secretary's Discretionary (1983U)
Archived (1990B)

84.123

Law-Related Education (1980U1)
Archived (1982B)
Reinstated (1983U)
Instruction in Civics, Government, and Law (1995B)
Archived (1997B)

84.124

Territorial Teacher Training Assistance Program (1980U1)
Archived (1995U)

84.125

Office for Handicapped Individuals (1980U1)
Transferred from 13.603 (1980U1)
Office of Information and Resources for the Handicapped (1980U2)
Clearinghouse on the Handicapped (1981U)
Clearinghouse on Disability Information (1989B)
Archived (1996B)

84.126

Rehabilitation Services_Basic Support (1980U1)
Transferred from 13.624 (1980U1)

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Rehabilitation Services_Vocational Rehabilitation of State Grants (1994B)

Rehabilitation Services_Vocational Rehabilitation Grants to States (1994U)

84.127

Vocational Rehabilitation Services for Social Security Disability Beneficiaries (1980U1)
Transferred from 13.625 (1980U1)
Archived (1981B)

84.128

Rehabilitation Services_Special Projects (1980U1)
Transferred from 13.626 (1980U1)
Rehabilitation Services_Service Projects (1984B)

84.129

Rehabilitation Training (1980U1)
Transferred from 13.629 (1980U1)
Rehabilitation Long-Term Training (1993U)

84.130

Rehabilitation Services_Innovation and Expansion (1980U1)
Transferred from 13.649 (1980U1)
Archived (1981U)

84.131

Vocational Rehabilitation Services for Supplemental Security Income Beneficiaries (1980U1)
Transferred from 13.651 (1980U1)
Archived (1981B)

84.132

Centers for Independent Living (1980U1)
Transferred from 13.653 (1980U1)

84.133

National Institute of Handicapped Research (1980U1)
Transferred from 13.654 (1980U1)
National Institute on Disability and Rehabilitation Research (1987B)

84.134

Telecommunications Demonstrations for Health, Education and Other Social Services (1980U1)
Transferred from 13.680 (1980U1)
Archived (1981U)

84.135

Aid to Land-Grant Colleges (1980U2)
Transferred to 10.003 (1983U)

84.136

Legal Training for the Disadvantaged (1980U2)
Archived (1997B)

84.138

Educational Services to Cuban and Haitian Entrant Children (1980U2)

Archived (1981U)

84.139

Cities in Schools (1980U2)
Archived (1982B)

84.140

Push for Excellence (1980U2)
Archived (1982B)

84.141

High School Equivalency and College Assistance Migrant Program (1980U2)
Split into 84.141, 84.149 (1981B)
Migrant Education Program_Part A_High School Equivalency Program (1981B)
Migrant Education_High School Equivalency Program (1981U)

84.142

Housing for Educational Institutions (1980U2)
Housing and Other Educational Facilities Loans (1987B)
College Housing and other Educational Facilities Loans (1989B)
College Facilities Loans (1990B)
College Housing and Academic Facilities Loans (1991B)
Archived (1998B)

84.143

Emergency School Aid Act_Evaluation Contracts (1980U2)
Archived (1983B)

84.144

Migrant Education_Discretionary Interstate/Intrastate Coordination Program (1980U2)
Migrant Education Program_Discretionary Interstate and Intrastate Coordination (1981B)
Migrant Education_Interstate and Intrastate Coordination Program (1981U)
Migrant Education_Coordination Program (1990B)

84.145

Federal Real Property Assistance Program (1980U2)

84.146

Transition Program for Refugee Children (1981B)
Archived (1991B)

84.148

Allen J. Ellender Fellowship Program (1981B)
Archived (1988B)

84.149

Migrant Education Program_Part B_College Assistance Program (1981B)
Split from 84.141 (1981B)
Migrant Education_College Assistance Migrant Program (1981U)

84.151

Education Consolidation and Improvement Programs_Chapter 2 (1982B)
Improving Schools Programs_State Block Grants (1983B)
Federal, State, and Local Partnerships for Educational Improvement (1988U)
Chapter 2_State Block Grants (1994U)
Archived (1995U)

84.152

Neglected or Delinquent Transition Services (1982B)
Archived (1984B)

84.153

Business and International Education (1983B)
International Business Education (2000B)
Business and International Education Projects (2001B)
Archived (2013)

84.154

Library Services and Construction Act_Construction (1983B)
Public Library Construction (1985U)
Public Library Construction and Technology Enhancement (1991B)
Archived (1997B)

84.155

Removal of Architectural Barriers to the Handicapped (1983U)
Archived (1990B)

84.157

Secretary's Initiative to Improve the Quality of Chapter 1, ECIA Projects (1983U)
Archived (1984B)

84.158

Secondary Education and Transitional Services for Handicapped Youth (1984B)
Secondary Education and Transitional Services for Youth with Disabilities (1991B)
Archived (1998B)

84.159

Handicapped-Special Studies (1984B)
Disabled_Special Studies and Evaluation (1991B)
Special Studies for Programs with Disabilities (1994B)
Special Studies for Persons with Disabilities Program (1994B)

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84.160

Training Interpreters for Deaf Individuals (1984B)
Training Interpreters for Individuals who are Deaf and Individuals who are Deaf-Blind (1993B)

84.161

Client Assistance for Handicapped Individuals (1984B)
Client Assistance for Individuals with Handicaps (1990U)
Client Assistance for Individuals with Disabilities (1991B)
Rehabilitation Services_Client Assistance Program (1991U)

84.162

Emergency Immigrant Education Assistance (1984U)
Emergency Immigrant Education (1987U)
Immigrant Education (1995B)
Archived (2002B)

84.163

Library Services for Indian Tribes and Hawaiian Natives (1985B)
Archived (1997B)

84.164

State Grants for Strengthening the Skills of Teachers and Instruction in Mathematics, Science, Foreign Languages, and Computer Learning (1985B)
State Grants for Strengthening the Skills of Teachers and Instruction in Mathematics and Science (1989B)
Mathematics and Science Education (1990B)
Eisenhower Mathematics and Science Education_State Grants (1990U)
Archived (1995U)

84.165

Magnet Schools Assistance (1985B)
Magnet Schools Assistance in Desegregating Districts (1991B)
Magnet Schools Assistance (1994U)

84.167

Library Literacy (1986B)
Archived (1996U)

84.168

Secretary's Discretionary Program for Mathematics, Science Computer Learning, and Critical Foreign Languages (1985B)
National Programs for Strengthening, Teaching, and Administration in Mathematics and Science Programs (1989B)

National Program for Mathematics and Science Education (1989U)
Eisenhower National Program for Mathematics and Science Education (1991U)

Dwight D. Eisenhower National Program for Mathematics and Science Education (1992B)

Eisenhower Mathematics and Science Education_National Program (1994U)

Eisenhower Professional Development_Federal Activities (1995B)

Eisenhower Professional Development_National Activities (1995U)

Eisenhower Professional Development_Federal Activities (1997U)

Deleted (2004)

84.169

Comprehensive Services for Independent Living (1985B)
Independent Living Services (1993B)
Independent Living_State Grants (1993U)

84.170

National Graduate Fellows (1985B)
Jacob K. Javits Fellowships (1987B)
Javits Fellowships (1993U)
Archived (2013)

84.171

Excellence in Education (1985B)
Archived (1988B)

84.172

Construction, Reconstruction, and Renovation of Academic Facilities (1985B)
Archived (1990B)

84.173

Handicapped_Preschool Incentive Grants (1985U)
Handicapped_Preschool Grants (1987U)
Special Education_Preschool Grants (1991B)

84.174

Vocational Education_Community Based Organizations (1986B)
Archived (1996B)

84.175

Rehabilitation Services_Innovation and Expansion (1986B)
Archived (1986U)

84.176

Carl D. Perkins Scholarships (1986U)
Paul Douglas Teacher Scholarships (1987U)
Douglas Teacher Scholarships (1992B)
Archived (1997B)

84.177

Rehabilitation Services_Independent Living for

Older Blind Individuals (1986B)
Rehabilitation Services_Independent Living Services for Older Blind Individuals (1987U)
Rehabilitation Services_Independent Living Services for Older Individuals Who are Blind (1993B)

84.178

Leadership in Educational Administration Development (1986U)
Archived (1995B)

84.180

Technology, Educational Media and Materials for the Handicapped (1987B)
Technology, Educational Media and Materials for Individuals with Disabilities (1991B)
Technology Applications for Individuals with Disabilities (1993B)
Technology, Educational Media and Materials for Individuals with Disabilities (1994B)
Technology Applications for Individuals with Disabilities (1995U)
Archived (1998B)

84.181

Handicapped Infants and Toddlers (1987B)
Infants and Toddlers with Disabilities (1991B)
Grants for Infants and Toddlers with Disabilities (1992U)
Grants for Infants and Families with Disabilities (1993U)
Special Education_Grants for Infants and Families with Disabilities (1994U)
Special Education-Grants for Infants and Families (2008)

84.184

National Program for Drug-Free Schools and Communities (1987B)
Drug-Free Schools and Communities_National Programs (1990U)
Safe and Drug-Free Schools and Communities_National Programs (1996U)

84.185

Robert C. Byrd Honors Scholarships (1987B)
Byrd Honors Scholarships (1993U)
Archived (2012)

84.186

Drug-Free Schools and Communities_State Grants (1987B)
Safe and Drug-Free Schools_State Grants (1995B)
Safe and Drug-Free Schools and Communities_State Grants (1996U)
Archived (2012)

84.187

Supported Employment Services for Individuals with Severe Handicaps (1987B)

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Supported Employment Services for Individuals with Severe Disabilities (1993B)

Supported Employment Services for Individuals with Significant Disabilities (2008)

Supported Employment Services for Individuals with the Most Significant Disabilities (2010)

84.188

Drug-Free Schools and Communities_Regional Centers (1987B)
Archived (1995U)

84.190

Christa McAuliffe Fellowships (1987B)
Archived (1997B)

84.191

National Adult Education Discretionary Program (1987U)
National Adult Education Research (1989B)
National Adult Education Discretionary Program (1991B)
Adult Education_National Programs (1994U)
Adult Education_Evaluation and Technical Assistance (1997B)
Adult Education_National Leadership Activities (1998U)

84.192

Adult Education for the Homeless (1987U)
Adult Education_Literacy Training for Homeless Adults (1994U)
Archived (1997B)

84.193

Demonstration Centers for the Retraining of Dislocated Workers (1987U)
Demonstration Centers for the Training of Dislocated Workers (1991B)
Archived (1994B)

84.194

Bilingual Education Support Services (1987U)
Archived (2002B)

84.195

Bilingual Education Training Grants (1987U)
Bilingual Education_Professional Development (1996B)
Deleted (2004)

84.196

State Activities_Education of Homeless Children and Youth (1987U)
State Activities_Education for Homeless Children and Youth (1990B)
Education for Homeless Children and Youth_Grants for State and Local Activities (1992B)
Education for Homeless Children and Youth (1994U)

84.197

College Library Technology and Cooperation Grants (1988B)
College Library Technology (1990U)
College Library Technology and Cooperation Grants (1992U)
Archived (1996B)

84.198

Workplace Literacy Partnership (1988B)
Workplace Literacy (1988U)
Workplace Literacy Partnerships (1990U)
National Workplace Literacy Partnerships (1991B)
National Workplace Literacy Program (1991U)
National Workplace Literacy Partnerships (1993U)
Workplace Literacy Partnerships (1994U)
Archived (1998B)

84.199

Vocational Education_Cooperative Demonstration (1988B)
Archived (1996B)

84.200

Graduate Assistance in Areas of National Need (1988B)
Graduate Assistance in Areas of National Need (2005)
Graduate Assistance in Areas of National Need (2005)

84.201

School Dropout Demonstration Assistance (1988B)
Dropout Demonstration Assistance (1994U)
Dropout Prevention Demonstration Assistance (1995U)
Archived (1997B)

84.202

Grants to Institutions to Encourage Minority Participation in Graduate Education (1988B)
Grants to Institutions to Encourage Women and Minority Participation in Graduate Education (1992U)
Women and Minority Participation in Graduate Education (1994B)
Archived (1996B)

84.203

Star Schools Program (1988B)
Star Schools (1994U)
Archived (2009)

84.204

School, College, and University Partnership (1988U)
School, College, and University Partnerships (1991B)
Archived (1997B)

84.206

Jacob K. Javits Gifted and Talented Students (1988U)
Jacob K. Javits Gifted and Talented Students Education Grant Program (1991U)
Javits Gifted and Talented Students Education Grant Program (1994U)
Javits Gifted and Talented Students Education (2011)
Archived (2012)

84.207

Educational Personnel Training (1989B)
School Personnel Training (1990B)
Drug-Free Schools and Communities_School Personnel Training (1990U)
Archived (1995U)

84.208

Native Hawaiian Model Curriculum Implementation Project (1988U)
Native Hawaiian Model Curriculum Development (1989U)
Archived (1995U)

84.209

Native Hawaiian Family Based Education Centers (1988U)
Deleted (2004)

84.210

Native Hawaiian Gifted and Talented (1988U)
Deleted (2004)

84.211

FIRST Schools and Teachers (1988U)
Archived (1995U)

84.212

FIRST Family School Partnerships (1988U)
FIRST Family School Partnership (1989U)
Archived (1995U)

84.213

Even Start_Local Education (1988U)
Even Start_Local Education Agencies (1989B)
Even Start_Local Educational Agencies (1990U)
Even Start_State Educational Agencies (1991U)
Archived (2012)

84.214

Even Start_Migrant Education (1988U)
Archived (2012)

84.215

Innovation in Education (1988U)
The Secretary's Fund for Innovation in Education (1989B)
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84.216 Private School_Capital Expenses (1988U) Archived (2003B)	Archived (1992B)	Special Education_Program for Children with Serious Emotional Disturbance (1994U) Archived (1998B)
84.217 Ronald E. McNair Post-Baccalaureate Achievement (1989B) McNair Post-Baccalaureate Achievement (1993U) TRIO_McNair Post-Baccalaureate Achievement (2002B)	84.228 Educational Partnerships (1990B) Education Partnerships (1994U) Archived (1995B)	84.238 Training Programs for Educators_Alcohol Abuse (1991B) Archived (1995B)
84.218 State Improvement (1989B) State Program Improvement Grants (1990B) State School Improvement Grants (1996B) Archived (1998B)	84.229 Language Resource Centers (1990B)	84.239 Foreign Language Materials Acquisition (1991B) Archived (1995B)
84.219 Student Literacy Corps (1989B) Student Literacy Corps and Student Mentoring Corps Programs (1992U) Student Literacy Corps and Student Mentoring Corps (1994B) Archived (1995B)	84.230 Technology Education Demonstration (1990B) Archived (1994B)	84.240 Program of Protection and Advocacy (1991B) Program of Protection and Advocacy of Individual Rights (1992B)
84.220 Center for International Business Education (1989B)	84.231 Demonstration and Innovation Projects of National Significance in Technology-Related Assistance for Individuals with Disabilities (1990B) Demonstration and Innovation Projects of National Significance in Assistive Technology for Individuals with Disabilities (1994U) Archived (1995U)	84.241 Counselor Training (1991B) Drug-Free Schools_Counselor Training (1994U) Archived (1995U)
84.221 Native Hawaiian Special Education (1989B) Deleted (2004)	84.232 Mid-Career Teacher Training (1990B) Archived (1993B)	84.242 National Science Scholars (1991B) Archived (1997B)
84.222 National School Volunteer Program (1989B) Archived (1991B)	84.233 Emergency Grants (1991B) Drug-Free Schools and Communities_Emergency Grants (1992B) Archived (1995U)	84.243 Tech-Prep Education (1991B) Archived (2012)
84.223 State-Administered English Literacy (1989B) English Literacy Program (1989U) Archived (1995B)	84.234 Projects with Industry (1991B) Archived (2012)	84.244 Business and Education Standards (1991B) Archived (1997B)
84.224 State Grants for Technology-Related Assistance to Individuals with Disabilities (1989B) State Grants for Assistive Technology (1994U) Assistive Technology (1999B)	84.235 Special Projects and Demonstrations for Providing Vocational Rehabilitation Services to Individuals with Severe Handicaps (1991B) Special Projects and Demonstrations for Providing Vocational Rehabilitation Services to Individuals with Severe Disabilities (1992B) Rehabilitation Services Demonstration and Training_Special Demonstration Programs (1999B) Rehabilitation Services Demonstration and Training Programs (2003B)	84.245 Tribally Controlled Postsecondary Vocational Institutions (1991B) Tribally Controlled Postsecondary Vocational and Technical Institutions (1999B) Tribally Controlled Postsecondary Career and Technical Institutions (2007)
84.225 Education for Homeless Children and Youth_Exemplary Grants (1989U) Archived (1992B)	84.236 Training and Public Awareness Projects in Technology Related Assistance for Individuals with Disabilities (1991B) Training and Public Awareness Projects in Assistive Technology for Individuals with Disabilities (1994U) Archived (1995U)	84.246 Rehabilitation Short-Term Training (1991B)
84.226 Income Contingent Loan Program (1990B) Archived (1994B)	84.237 Children and Youth with Serious Emotional Disturbance (1991B)	84.247 Commercial Drivers Education (1991U) Archived (1994B)
84.227 Secondary Schools_Basic Skills Demonstration Assistance (1990B)		84.248 Demonstration Projects for the Integration of Vocational and Academic Learning (1991U) Archived (1997B)

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84.251

Periodicals Published Outside the United States (1992B)
Foreign Periodicals (1993U)
Archived (1996B)

84.252

Urban Community Services (1992B)
Urban Community Service (1994B)
Archived (2001B)

84.253

Supplementary State Grants for Facilities, Equipment, and Other Program Improvement Activities (1992B)
Archived (1995B)

84.254

State Literacy Resource Centers (1992B)
Archived (1997B)

84.255

Literacy for Incarcerated Adults (1992B)
Literacy Programs for Prisoners (1994U)
Deleted (2008)

84.256

Territories_Freely Associated States Education Grant Program (1992B)
Education Grant Program for the Freely Associated States (1994U)
Freely Associated States_Education Grant Program (1997U)
Territories and Freely Associated States Education Grant Program (2010)

84.257

National Institute for Literacy (1995B)
Archived (2010)

84.258

Even Start_Indian Tribes and Tribal Organizations (1992U)
Archived (2012)

84.259

Native Hawaiian Vocational Education (1992U)
Native Hawaiian Career and Technical Education (2007)

84.260

National Academy of Science, Space, and Technology (1993B)
Archived (1995U)

84.261

Dwight D. Eisenhower Leadership Development (1993B)

Eisenhower Leadership (1994B)
Eisenhower Leadership Program (1994U)
Archived (1996B)

84.262

Minority Teacher Recruitment (1993U)
Archived (1999B)

84.263

Rehabilitation Training_Experimental and Innovative Training (1993B)
Archived (2012)

84.264

Rehabilitation Training_Continuing Education (1993B)

84.265

Rehabilitation Training_State Vocational Rehabilitation Unit In-Service Training (1993B)

84.266

Training in Early Childhood Education and Violence Counseling (1993B)
Archived (1996B)

84.267

State Postsecondary Review (1993U)
Archived (1996B)

84.268

Federal Direct Student Loan (1994B)
Federal Direct Loan (1997U)
Federal Direct Student Loans (2002B)

84.269

Institute for International Public Policy (1994B)
Archived (2013)

84.270

Teacher Corps (1994B)
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84.271

Faculty Development Fellowship (1994B)
Archived (1996B)

84.272

National Early Intervention Scholarship and Partnership (1994B)
National Early Intervention Scholarship and Partnership (NEISP) (1994U)
National Early Intervention Scholarship and Partnership (1995U)
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84.273

Demonstration Grants for Critical Language and Area Studies (1994B)
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84.274

American Overseas Research Centers (1994B)

84.275

Rehabilitation Training_General Training (1995U)

84.276

Goals 2000_State and Local Education Systemic Improvement Grants (1995B)
Archived (2002B)

84.277

Safe Schools Discretionary Grants to Local Education Agencies (1995B)
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84.278

School to Work Opportunities (2001U)
Archived (2002B)

84.279

Goals 2000_Assessment Development and Evaluation Grants (1995B)
Archived (1996U)

84.280

Goals 2000_Opportunity-To-Learn Development Grants (1995B)
Archived (1996U)

84.281

Eisenhower Professional Development State Grants (1995B)
Deleted (2004)

84.282

Public Charter Schools (1995B)
Charter Schools (1997B)

84.283

Comprehensive Regional Assistance Centers (1995B)
Comprehensive Centers (2005)
Comprehensive Centers (2005)

84.284

School Facilities Infrastructure Improvement (1995B)
Archived (1995U)

84.285

Family and Community Endeavor Schools Grant Program (1995B)
Archived (1995U)

84.286

Telecommunications Demonstration Project for Mathematics (1995B)
Ready to Teach (2003B)
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84.287

21st Century Community Learning Centers Program (1995B)
After School Learning Centers (1997B)
Twenty-First Century Community Learning Centers (1998B)

84.288

Bilingual Education_Program Development and Implementation Grants (1995B)
Archived (2002B)

84.289

Bilingual Education_Program Enhancement Grants (1995B)
Archived (2002B)

84.290

Bilingual Education_Comprehensive School Grants (1996U)
Archived (2002B)

84.291

Bilingual Education_Systemwide Improvement Grants (1995B)
Archived (2002B)

84.292

Bilingual Education_Research Programs (1995B)
Archived (2002B)

84.293

Foreign Languages Assistance (1995B)
Foreign Language Assistance (1997U)
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84.294

Foreign Languages Assistance_Incentive Grants (1995B)
Archived (1998B)
Foreign Language Incentive Program (2002B)
Reinstated (2002B)
Deleted (2004)

84.295

Ready-To-Learn Television (1995B)

84.296

Native Hawaiian Community-Based Education Learning Centers (1995B)
Deleted (2004)

84.297

Native Hawaiian Curriculum Development, Teacher Training and Recruitment (1995B)
Deleted (2004)

84.298

Innovative Education Program Strategies (1995B)
State Grants for Innovative Programs (2004)
Archived (2009)

84.299

Indian Education_Special Programs (1995B)
Archived (1997B)

84.300

Alaska and Native Hawaiian Cultural and Arts Development (1995B)
Native Hawaiian and Alaska Native Culture and Arts Development (1996U)
Archived (1997B)

84.301

Olympic Scholarships (1995B)
Archived (1995U)

84.302

Technical Support and Professional Development Consortia for Technology (1995B)
Eisenhower Regional Mathematics and Science Education Consortia (1996B)
Regional Technical Support and Professional Development Consortia (1996U)
Regional Technology in Education Consortia (2002B)
Deleted (2005)

84.303

Challenge Grants for Technology in Education (1995B)
Local Innovation_Challenge Grants for Technology in Education (1996B)
Technology Challenge Grants (1997B)
Technology Innovation Challenge Grants (1997U)
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84.304

International Education Exchange (1995B)
Cooperative Education Exchange Program (2002B)
Civic Education_Cooperative Education Exchange Program (2003B)
Civic Education - Cooperative Education Exchange Program (2004)
Civic Education - We the People and the Cooperative Education Exchange Program (2010)
Archived (2012)

84.305

National Institute on Student Achievement, Curriculum, and Assessment (1995U)
Education Research, Development and Dissemination (2003B)

84.306

National Institute on the Education of At-Risk Students (1995U)

Deleted (2004)

84.307

National Institute on Early Childhood Development and Education (1995U)
Deleted (2004)

84.308

National Institute on Educational Governance, Finance, Policymaking, and Management (1995U)
Deleted (2004)

84.309

National Institute on Postsecondary Education, Libraries, and Lifelong Learning (1995U)
Deleted (2004)

84.310

Goals 2000: Parental Assistance Program (1995U)
Goals 2000: Parental Information Resource Centers (2000B)
Goals 2000: Parental Assistance (2001B)
Parental Assistance Centers (2002B)
Parental Information and Resource Centers (2008)
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84.311

Goals 2000: Tribal Divisions of Education School Reform Program (1995U)
Archived (1996U)

84.312

Goals 2000: Urban/Rural Local Reform Initiative (1995U)
Archived (1996U)

84.313

Even Start_Family Literacy in Women's Prisons Program (1995U)
Archived (1998B)

84.314

Even Start_Statewide Family Literacy Program (1995U)
Deleted (2004)

84.315

Capacity Building for Traditionally Underserved Populations (1995U)

84.316

Native Hawaiian Higher Education Program (1995U)
Deleted (2004)

84.318

Technology Literacy Challenge Fund Grants (1998B)
Education Technology State Grants (2003B)
Education Technology State Grants (2005)

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Educational Technology State Grants (2010)
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84.319

Eisenhower Regional Mathematics and Science Education Consortia (1997B)
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Eisenhower Regional Math and Science Consortia (2005)
Eisenhower Regional Math and Science Consortia (2005)
Eisenhower Regional Math and Science Consortia (2005)
Deleted (2006)

84.320

Alaska Native Educational Planning, Curriculum Development, Teacher Training, and Recruitment Program (1997B)
Deleted (2004)

84.321

Alaska Native Home Based Education for Preschool Children (1997B)
Deleted (2004)

84.322

Alaska Native Student Enrichment Program (1997B)
Deleted (2004)

84.323

Special Education_State Program Improvement Grants for Children with Disabilities (1998B)
Special Education - State Personnel Development (2005)
Special Education - State Personnel Development (2005)
Special Education - State Personnel Development (2005)
Special Education - State Personnel Development (2005)

84.324

Special Education_Research and Innovation to Improve Services and Results for Children with Disabilities (1998B)
Research in Special Education (2005)
Research in Special Education (2005)

84.325

Special Education_Personnel Preparation to Improve Services and Results for Children with Disabilities (1998B)
Special Education - Personnel Development to Improve Services and Results for Children with Disabilities (2006)

84.326

Special Education_Technical Assistance and

Dissemination to Improve Services and Results for Children with Disabilities (1998B)

84.327

Special Education_Technology and Media Services for Individuals with Disabilities (1998B)
Special Education_Educational Technology Media, and Materials for Individuals with Disabilities (2013)

84.328

Special Education_Training and Information for Parents of Children with Disabilities (1998B)
Special Education_Parent Information Centers (2000B)

84.329

Special Education_Studies and Evaluations (1998B)

84.330

Advanced Placement Test Fee Payment Program (1998B)
Advanced Placement Incentive Program (1999B)
Advanced Placement Program (2002B)
Advanced Placement Program (Advanced Placement Test Fee; Advanced Placement Incentive Program Grants) (2008)

84.331

Grants to States for Incarcerated Youth Offenders (1998B)
Grants to States for Workplace and Community Transition Training for Incarcerated Individuals (2009)
Archived (2013)

84.332

Comprehensive School Reform Demonstration (1999B)
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84.333

Demonstration Projects to Ensure Students with Disabilities Receive a Higher Education (1999B)
Demonstration Projects to Support Postsecondary Faculty, Staff, and Administrations in Educating Students with Disabilities (2009)
Archived (2012)

84.334

Gaining Early Awareness and Readiness for Undergraduate Programs (1999B)

84.335

Child Care Access Means Parents in School (1999B)

84.336

Teacher Quality Enhancement Grants for States and Partnerships (1999B)

Teacher Quality Enhancement Grants (2000B)
Teacher Quality Partnership Grants (2009)

84.337

Technological Innovation and Cooperation for Foreign Information Access (1999B)
International Education_Technological Innovation and Cooperation for Foreign Information Access (2003B)
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84.338

Reading Excellence (1999B)
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84.339

Learning Anytime Anywhere Partnerships (1999B)
Deleted (2004)

84.340

Class Size Reduction (1999B)
Archived (2003B)

84.341

Community-Based Technology Centers (1999B)
Community Technology Centers (2000B)
Deleted (2008)

84.342

Preparing Tomorrow's Teachers to Use Technology (1999B)

84.343

Assistive Technology_State Grants for Protection and Advocacy (1999B)

84.344

TRIO_Dissemination (2000U)
TRIO_Dissemination Partnership Grants (2001B)
Deleted (2008)

84.345

Underground Railroad Educational and Cultural Program (2000U)
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84.346

Occupational and Employment Information State Grants (2000U)
Vocational Education_Occupational and Employment Information State Grants (2003B)
Deleted (2008)

84.347

Community Scholarship Mobilization (2000U)
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84.348

Title I Accountability Grants (2000U)
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84.349 Early Childhood Educator Professional Development (2001U) Archived (2009)	84.364 Literacy through School Libraries (2002B) Improving Literacy Through School Libraries (2010) Archived (2012)	Higher Education Grants (TEACH Grants) (2008)
84.350 Transition to Teaching (2001U)	84.365 English Language Acquisition Grants (2004) English Language Acquisition State Grants (2012)	84.380 Special Education -- Olympic Education Programs (2008)
84.351 Arts in Education (2001U)	84.366 Mathematics and Science Partnerships (2004)	84.381 Baccalaureate Degrees in Science, Technology, Engineering, Mathematics, and Critical Foreign Languages and Master's Degrees in Science, Technology, Engineering, Mathematics, and Critical Foreign Languages (2008) Archived (2012)
84.352 Grants for School Repair and Renovation (2001U) School Renovation Grants (2002B) Archived (2003B)	84.367 Improving Teacher Quality State Grants (2004)	84.382 Strengthening Minority-Serving Institutions (2008)
84.353 Tech-Prep Demonstration Grants (2001U)	84.368 Grants for Enhanced Assessment Instruments (2003B) Deleted (2004) Grants for Enhanced Assessment Instruments (2010)	84.383 Homeless Education Disaster Assistance Program (2009) Archived (2012)
84.354 Charter Schools Facilities Financing Demonstration (2002B) Credit Enhancement for Charter School Facilities (2005) Credit Enhancement for Charter School Facilities (2005)	84.369 Grants for State Assessments and Related Activities (2004)	84.384 Statewide Data Systems, Recovery Act (2009) Archived (2011)
84.355 Child Care Provider Loan Forgiveness Demonstration (2002B)	84.370 DC School Choice Incentive Program (2005)	84.385 Teacher Incentive Fund, Recovery Act (2009) Archived (2011)
84.356 Alaska Native Educational Programs (2001U)	84.371 Striving Readers (2006)	84.386 Education Technology State Grants, Recovery Act (2009) Archived (2011)
84.357 Reading First State Grants (2002B) Archived (2010)	84.372 Statewide Data Systems (2006)	84.387 Education for Homeless Children and Youth, Recovery Act (2009) Archived (2011)
84.358 Rural Education Achievement Program (2002B) Rural Education (2003B)	84.373 Special Education Technical Assistance on State Data Collection (2006)	84.388 School Improvement Grants, Recovery Act (2009) Archived (2011)
84.359 Early Reading First (2002B) Archived (2012)	84.374 Teacher Incentive Fund (2006)	84.389 Title I Grants to Local Educational Agencies, Recovery Act (2009) Archived (2011)
84.360 Dropout Prevention Programs (2002B) School Dropout Prevention Program (2008) High School Graduation Initiative (2010)	84.375 Academic Competitiveness Grants (2006) Archived (2012)	84.390 Rehabilitation Services-Vocational Rehabilitation Grants to States, Recovery Act (2009) Archived (2011)
84.361 Voluntary Public School Choice (2002B) Archived (2013)	84.376 National Science and Mathematics Access to Retain Talent (SMART) Grants (2006) Archived (2012)	84.391 Special Education Grants to States, Recovery Act (2009) Archived (2011)
84.362 Native Hawaiian Education (2002B)	84.377 School Improvement Grants (2008)	84.392 Special Education - Preschool Grants, Recovery
84.363 School Leadership (2002B)	84.378 College Access Challenge Grant Program (2008)	
	84.379 Teacher Education Assistance for College and	

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84.393
Special Education - Grants for Infants and Families, Recovery Act (2009)
Archived (2011)

84.394
State Fiscal Stabilization Fund (SFSF) - Education State Grants, Recovery Act (2009)
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84.395
State Fiscal Stabilization Fund (SFSF) - Race-to-the-Top Incentive Grants, Recovery Act (2009)
Archived (2011)

84.396
State Fiscal Stabilization Fund (SFSF) - What Works and Innovation Fund, Recovery Act (2009)
State Fiscal Stabilization Fund (SFSF) - Investing in Innovation (i3) Fund, Recovery Act (2010)
Archived (2011)

84.397
State Fiscal Stabilization Fund (SFSF) - Government Services, Recovery Act (2009)
Archived (2011)

84.398
Independent Living State Grants, Recovery Act (2009)
Archived (2011)

84.399
Independent Living Services for Older Individuals Who are Blind, Recovery Act (2009)
Archived (2011)

84.400
Centers for Independent Living, Recovery Act. (2009)
Archived (2011)

84.401
Impact Aid School Construction, Recovery Act (2009)
Archived (2011)

84.402
Consolidated Grants to the Outlying Areas, Recovery Act (2009)
Archived (2011)

84.403
Consolidated Grant to the Outlying Areas (2009)

84.404
Impact Aid -- School Construction Formula Grants,

Recovery Act (2009)
Archived (2011)

84.405
Teacher Quality Partnerships, Recovery Act (2009)
Archived (2011)

84.406
Capacity Building for Traditionally Underserved Populations, Recovery Act. (2009)
Archived (2011)

84.407
Transition Programs for Students with Intellectual Disabilities into Higher Education (2010)

84.408
Postsecondary Education Scholarships for Veteran's Dependents (2010)
Postsecondary Education Scholarships for Veteran's Dependents (2010)

84.409
Civil Legal Assistance Attorney Student Loan Repayment Program (2010)
Archived (2012)

84.410
Education Jobs Fund (2010)
Archived (2012)

84.411
State Fiscal Stabilization Fund (SFSF) - Investing in Innovation (i3) Fund (2011)
Investing in Innovation (i3) Fund (2011)

84.412
Race to the Top Early Learning Challenge (2011)

84.413
Race to the Top (2012)
Archived (2013)

84.414
Graduate Research Opportunities for Minority Students (2012)
Graduate Research Opportunities for Minority Students (Minorities and Retirement Security Program) (2012)

84.415
State Tribal Education Partnership (STEP) (2012)

84.416
Race to the Top - District Grants (2012)

84.417
Directed Grants and Awards (2012)

84.418
Promoting Readiness of Minors in Supplemental

Security Income (2013)

84.938
Hurricane Education Recovery (2008)
Archived (2009)

BARRY GOLDWATER SCHOLARSHIP AND EXCELLENCE IN EDUCATION FOUNDATION

85.200
Barry M. Goldwater Scholarship Program (1997B)

CHRISTOPHER COLUMBUS FELLOWSHIP FOUNDATION

85.100
Christopher Columbus Fellowship Program (1997B)
Archived (2009)

85.101
Homeland Security Award (2009)
Archived (2013)

85.102
Christopher Columbus Awards (2009)

85.103
Freida J. Riley Teacher Award (2009)
Archived (2010)

85.104
Life Sciences Awards (2009)

85.105
Agriscience Awards (2010)

HARRY S TRUMAN SCHOLARSHIP FOUNDATION

85.001
Harry S Truman Scholarship Program (1980U1)

JAMES MADISON MEMORIAL FELLOWSHIP FOUNDATION

85.500
James Madison Memorial Fellowship Program (1997B)

MILLENNIUM CHALLENGE CORPORATION

85.002
MCC Foreign Assistance for Overseas Programs (2010)

85.003
MCC Domestic Assistance for Overseas Programs (2010)

85.111
Rural Water Investment Evaluations

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(MOZMBIQUE) (2009)

MORRIS K. UDALL FOUNDATION

85.400

Morris K. Udall Scholarship Program (1997B)

85.401

Morris K. Udall Fellowship Program (1997B)

Archived (2013)

85.402

Morris K. Udall Native American Congressional Internship Program (1997B)

SMITHSONIAN INSTITUTION

85.601

Smithsonian Institution Fellowship Program (2008)

VIETNAM EDUCATION FOUNDATION

85.801

U.S. Faculty Scholar Grants (2013)

85.802

Fellowship Program (2013)

85.803

Visiting Scholar Grants (2013)

WOODROW WILSON INTERNATIONAL CENTER FOR SCHOLARS

85.300

Woodrow Wilson Center Fellowships in the Humanities and Social Sciences (1997B)

PENSION BENEFIT GUARANTY CORPORATION

86.001

Pension Plan Termination Insurance (1980U2)

CONSUMER PRODUCT SAFETY COMMISSION

87.001

Voluntary Standards Assistance (1980U2)

Archived (1986U)

ARCHITECTURAL AND TRANSPORTATION BARRIERS COMPLIANCE BOARD

88.001

Architectural and Transportation Barriers Compliance (1984U)

NATIONAL ARCHIVES AND RECORDS ADMINISTRATION

89.001

National Archives Reference Services (1985B)

Transferred from 39.004 (1985B)

National Archives Reference Services -- Historical Research (1988B)

89.002

National Audiovisual Center (1985B)

Transferred from 39.005 (1985B)

Multimedia and Publications Distribution (1993B)

Archived (1995B)

89.003

National Historical Publications and Records

Grants (1985B)

Transferred from 39.006 (1985B)

89.005

Cooperative Agreements to Support the Programs of the National Archives and Records Administration (NARA) (2006)

Archived (2013)

BROADCASTING BOARD OF GOVERNORS

90.500

International Broadcasting Independent Grantee Organizations (2008)

COMMISSION ON THE BICENTENNIAL OF THE U.S. CONSTITUTION

90.001

Bicentennial Educational Grant Program (1987U)

Archived (1991B)

90.002

The U.S. Constitution_College-Community Forums (1990B)

Archived (1991B)

DELTA REGIONAL AUTHORITY

90.200

Delta Regional Development (2005)

90.201

Enter new title here!Delta Area Economic Development (2005)

90.202

Enter new title here!Delta Local Development District Assistance (2005)

DENALI COMMISSION

90.100

Denali Commission Program (2000U)

JAPAN U.S. FRIENDSHIP COMMISSION

90.300

Japan-US Friendship Commission Grants (2004)

U.S. ELECTION ASSISTANCE COMMISSION

90.400

Help American Vote College Pollworker Program

(2004)

Help America Vote College Pollworker Program

(2006)

Help America Vote College Program (2008)

90.401

Help America Vote Act Requirements Payments (2005)

90.402

Help America Vote Mock Election Program (2008)

90.403

U.S. Election Assistance Commission Research Grants (2010)

UNITED STATES INSTITUTE OF PEACE

91.001

International Peace and Conflict Management_Research and Education (1990B)

Unsolicited Grant Program (2000B)

Annual Grant Competition (2009)

91.002

International Peace and Conflict Management_Articles and Manuscripts (1990B)

Solicited Grant Program (2000B)

Priority Grant Competition (2009)

91.003

91.003 Annual Senior Fellowship Competition (2010)

91.004

Public Education for Peacebuilding Awards Program (2013)

NATIONAL COUNCIL ON DISABILITY

92.001

National Council on Disability (1992B)

DEPARTMENT OF HEALTH AND HUMAN SERVICES

93.001

Civil Rights Compliance Activities (1976B)

Number changed from 13.675 (1990U)

Civil Rights and Privacy Rule Compliance Activities (2004)

93.002

Consumer Affairs (1976B)

Number changed from 13.678 (1990U)

Archived (1999B)

93.003

Public Health and Social Services Emergency Fund (1992U)

Archived (1994B)

Public Health and Social Services Emergency Fund

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-- Reinstated (1995U)

93.004

Cooperative Agreements to Improve the Health Status of Minority Populations (1997U)

93.005

Project Grants for Facilities to Improve the Health Status of Minority Populations (1997U)

93.006

State and Territorial and Technical Assistance Capacity Development Minority HIV/AIDS Demonstration Program (2000B)

93.007

Public Awareness Campaigns on Embryo Adoption (2002B)

93.008

Medical Reserve Corps Small Grant Program (2004)

93.009

Compassion Capital Fund (2004)

93.010

Community-Based Abstinence Education (CBAE) (2005)

93.011

National Organizations of State and Local Officials (2011)

93.012

Improving, Enhancing, and Evaluating Outcomes of Comprehensive Heart Health Care Programs for High-Risk Women (2005)
Archived (2010)

93.013

Ambassadors for Change Program (2006)
Archived (2010)

93.014

Steps to Healthier Girls Program (2006)
Archived (2010)

93.015

HIV Prevention Programs for Women (2006)

93.016

Postal Model for Medical Countermeasures Delivery and Distribution (2010)

93.017

Strengthening the Management and Services of the Women's and Children's Hospitals in Kabul (2006)
Archived (2012)

93.018

Strengthening Public Health Services at the Outreach Offices of the U.S.-Mexico Border Health Commission (2006)

93.019

Technical Assistance and Provision for Foreign Hospitals and Health Organizations (2008)
Archived (2012)
Reinstated (2012)

93.020

Family Support Payments to States_Assistance Payments
Number changed from 13.780 (1990U)
Number changed to 93.560 (1992U)

93.021

Job Opportunities and Basic Skills Training
Number changed from 13.781 (1990U)
Number changed to 93.561 (1992U)

93.022

Assistance Payments_Research
Number changed from 13.782 (1990U)
Number changed to 93.562 (1992U)

93.023

Child Support Enforcement
Number changed from 13.783 (1990U)
Number changed to 93.563 (1992U)

93.024

Child Support Enforcement Research
Number changed from 13.784 (1990U)
Number changed to 93.564 (1992U)

93.025

State Legalization Impact Assistance Grants
Number changed from 13.786 (1990U)
Number changed to 93.565 (1992U)

93.026

Refugee and Entrant Assistance_State Administered Programs
Number changed from 13.787 (1990U)
Number changed to 93.566 (1992U)

93.027

Refugee Assistance_Voluntary Agency Programs
Number changed from 13.788 (1990U)
Number changed to 93.567 (1992U)

93.028

Low-Income Home Energy Assistance
Number changed from 13.789 (1990U)
Number changed to 93.568 (1992U)

93.029

Work Incentive Program/WIN Demonstration Program

Number changed from 13.790 (1990U)
Archived (1991B)

93.030

Emergency Services and Shelter AFDC Transitional Housing Demonstration Program
Number changed from 13.791 (1990U)
Archived (1991B)

93.031

Community Services Block Grant
Number changed from 13.792 (1990U)
Number changed to 93.569 (1992U)

93.032

Community Services Block Grant_Discretionary Awards
Number changed from 13.793 (1990U)
Number changed to 93.570 (1992U)

93.033

Community Block Grant Discretionary Awards_Community Food and Nutrition
Number changed from 13.795 (1990U)
Number changed to 93.571 (1992U)

93.034

Emergency Community Services for the Homeless
Number changed from 13.796 (1990U)
Number changed to 93.572 (1992U)

93.035

Community Services Block Grant Discretionary Awards_Demonstration Partnerships
Number changed from 13.797 (1990U)
Number changed to 93.573 (1992U)

93.036

Child Care for Families At-Risk of Welfare Dependency (1991B)
Number changed to 93.574 (1992U)

93.037

Payments to States for Day Care Assistance (1991B)
Payments to States for Child Care Assistance (1992B)
Number changed to 93.575 (1992U)

93.038

Refugee and Entrant Assistance_Discretionary Grants (1991U)
Number changed to 93.576 (1992U)

93.039

Child Care Improvement Grants (1991B)
Archived (1992B)

93.040

Family Support Centers Demonstration Program

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(1992B) Number changed to 93.578 (1992U)	Special Programs for the Aging_Title IV_Training, Research and Discretionary Projects and Programs Number changed from 93.668 (1992U) Special Programs for the Aging_Title IV_and Title II_Discretionary Projects (2002B)	93.061 Innovations in Applied Public Health Research (2004)
93.041 Special Programs for the Aging_Title III, Part G_Prevention of Abuse, Neglect, and Exploitation of Older Individuals Number changed from 93.552 (1992U) Special Programs for the Aging_Title VII, Chapter 3, Programs for Prevention of Elder Abuse, Neglect, and Exploitation (1993U)	93.049 Special Programs for the Aging_Title VII, Chapter 6_Allotments for Vulnerable Elder Rights Protection Programs (1994B) Archived (2000B)	93.062 Biomonitoring Programs for State Public Health Laboratories (2008)
93.042 Special Programs for the Aging_Title III, Part A_Long- Term Care Ombudsman Services for Older Individuals Number changed from 93.553 (1992U) Special Programs for the Aging_Title VII, Chapter 2_Long Term Care Ombudsman Services for Older Individuals (1993U)	93.050 Special Programs for the Aging_Title II_Preconference Programs for the White House Conference on Aging (1994U) Archived (1995B) Geosciences (2003)	93.063 Centers for Genomics and Public Health (2004) Archived (2013)
93.043 Special Programs for the Aging_Title III, Part F_Disease Prevention and Health Promotion Services Number changed from 93.555 (1992U) Special Programs for the Aging_Title III, Part F_Disease Prevention and Health Promotion Services (1994B) Special Programs for the Aging_Title III, Part D_Disease Prevention and Health Promotion Services (2002B)	93.051 New Demonstration Grants to States with Respect to Alzheimer's Disease (1999B) Alzheimer's Disease Demonstration Grants to States (2002B)	93.064 Laboratory Training, Evaluation, and Quality Assurance Programs (2004)
93.044 Special Programs for the Aging_Title III, Part B_Grants for Supportive Services and Senior Centers Number changed from 93.633 (1992U) Consolidated from 93.046 (2001B)	93.052 Nation Family Caregiver Support Program (2001B) National Family Caregiver Support (2002B) National Family Caregiver Support, Title III, Part E (2007)	93.065 Laboratory Leadership, Workforce Training and Management Development, Improving Public Health Laboratory Infrastructure (2005)
93.045 Special Programs for the Aging_Title III, Part C_Nutrition Services Number changed from 93.635 (1992U)	93.053 Nutrition Services Incentive Program (2003B)	93.066 Vital Statistics Re-engineering Program (2004) State Vital Statistics Improvement Program (2007)
93.046 Special Programs for the Aging_Title III, Part D_In-Home Services for Frail Older Individuals Number changed from 93.641 (1992U) Consolidated into 93.044 (2001B)	93.054 National Family Caregiver Support, Title VI, Part C, Grants To Indian Tribes And Native Hawaiians (2007)	93.067 Global AIDS (2005)
93.047 Special Programs for the Aging_Title VI, Part A, Indian Programs_Grants to Indian Tribes and Part B, Grants to Native Hawaiians Number changed from 93.655 (1992U) Special Programs for the Aging_Title VI, Part A, Grants to Idian Tribes_Part B, Grants to Native Hawaiians (2002B)	93.055 Applied Leadership for Community Health Improvement (2011) PPHF 2012-Applied Leadership for Community Health Improvement (2012)	93.068 Chronic Diseases: Research, Control, and Prevention (2005) Chronic Diseases: Research, Control, and Prevention (2008) Archived (2013)
93.048	93.056 Initiative to Educate State and Territorial Officials about Maintaining and Strengthening Public Health in a Changing Environment (2011)	93.069 Public Health Emergency Preparedness (2008)
	93.058 Tobacco Regulation Awareness, Communication, and Education Program (2012)	93.070 Environmental Public Health and Emergency Response (2008)
	93.059 Training in General, Pediatric, and Public Health Dentistry (2011)	93.071 Medicare Enrollment Assistance Program (2008)
	93.060 Competitive Abstinence Education (CAE) (2012)	93.072 Lifespan Respite Care Program (2009)
		93.073 Birth Defects and Developmental Disabilities - Prevention and Surveillance (2010)
		93.075 Systems Interoperability_Health and Human Services (2012)
		93.076 TANF Program Integrity Innovation Grants (2012)
		93.077 Family Smoking Prevention and Tobacco Control

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Act Regulatory Research (2012)

93.078

Strengthening Emergency Care Delivery in the United States Healthcare System through Health Information and Promotion (2012)

93.079

Cooperative Agreements to Promote Adolescent Health through School-Based HIV/STD Prevention and School-Based Surveillance (2013)

93.080

Blood Disorder Program: Prevention, Surveillance, and Research (2013)

93.081

Science Preparedness and Response Grants, Office of Policy and Planning, ASPR (2012)

Archived (2013)

Reinstated (2013)

ASPR Science Preparedness and Response Grants (2013)

93.082

Sodium Reduction in Communities (2013)

93.083

Prevention of Disease, Disability, and Death through Immunization and Control of Respiratory and Related Diseases (2013)

93.084

Prevention of Disease, Disability, and Death by Infectious Diseases (2013)

93.085

Research of the Responsible Conduct of Research (RCR) (2013)

93.086

Healthy Marriage Promotion and Responsible Fatherhood Grants (2006)

93.087

Enhance the Safety of Children Affected by Parental Methamphetamine or Other Substance Abuse (2007)

Enhance Safety of Children Affected by Substance Abuse (2012)

93.088

Advancing System Improvements to Support Targets for Healthy People 2010 (2008)

93.090

Guardianship Assistance (2009)

93.091

Social Services and Income Maintenance Benefits Enrollment Coordination Grants (2010)

93.092

Personal Responsibility Education Program (2010)

Affordable Care Act (ACA) Personal Responsibility Education Program (2011)

93.093

Demonstration Projects to Address Health Professions Workforce Needs (2010)

Health Profession Opportunity Grants (2011)

Affordable Care Act (ACA) Health Profession Opportunity Grants (2011)

93.094

WELL-INTEGRATED SCREENING AND EVALUATION FOR WOMEN ACROSS THE NATION (2013)

93.095

HHS Programs for Disaster Relief Appropriations Act - Non Construction (2013)

93.096

HHS Programs for Disaster Relief Appropriations Act - Construction (2013)

93.097

Strengthening the Nation's Public Health System through a National Voluntary Accreditation Program for State, Tribal, Local and Territorial Health Departments (2013)

93.098

Tribal Public Health Capacity Building and Quality Improvement (2013)

93.100

Field-Initiated Small Grants in Minority Health (1993B)

Archived (1995B)

Health Disparities Grants in Minority Health, Reinstated (2001B)

Health Disparities in Minority Health (2002B) Archived (2010)

93.101

Grants for Residential Treatment Programs for Pregnant and Postpartum Women (1993U)

Archived (2002B)

93.102

Demonstration Grants for Residential Treatment for Women and Their Children (1993U)

Archived (2001B)

93.103

Food and Drug Administration_Research Number changed from 13.103 (1990U)

93.104

Comprehensive Community Mental Health Services for Children with Serious Emotional

Disturbances (SED) (1993U)

93.105

Bilingual/Bicultural Service Demonstration Projects in Minority Health (1993U)

Bilingual/Biculture Service Demonstration Grants (2002B)

Archived (2012)

93.106

Minority International Research Training Grant in the Biomedical and Behavioral Sciences (1993U)

Consolidated into 93.989 (2006)

93.107

Area Health Education Centers_Model Programs (1993U)

Model State-Supported Area Health Education Centers (1999U)

Area Health Education Centers Point of Service Maintenance and Enhancement Awards (2010)

93.108

Health Education Assistance Loans

Number changed from 13.108 (1990U)

Deleted (2005)

93.109

Linking Community-Based Primary Care, Substance Abuse, HIV/AIDS, and Mental Health Treatment Services (1993U)

Archived (1997B)

93.110

Maternal and Child Health Federal Consolidated Programs

Number changed from 13.110 (1990U)

93.111

Adolescent Family Life Research Grants

Number changed from 13.111 (1990U)

Archived (2012)

93.112

Characterization of Environmental Health Hazards

Number changed from 13.112 (1990U)

Archived (1991B)

93.113

Biological Response to Environmental Health Hazards

Number changed from 13.113 (1990U)

Environmental Health (2006)

93.114

Applied Toxicological Research and Testing

Number changed from 13.114 (1990U)

Deleted (2006)

93.115

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Biometry and Risk Estimation_Health Risks from Environmental Exposures

Number changed from 13.115 (1990U)

Deleted (2006)

93.116

Project Grants and Cooperative Agreements for Tuberculosis Control Programs

Number changed from 13.116 (1990U)

93.117

Grants for Preventive Medicine Residency Training

Number changed from 13.117 (1990U)

Grants for Preventive Medicine and Dental Public Health (1993B)

Grants for Preventive Medicine (1998B)

Preventive Medicine Residency Program (2010)

Preventive Medicine and Public Health Residency Training Program, Integrative Medicine Program, and National Coordinating Center for Integrative Medicine (2012)

93.118

Acquired Immunodeficiency Syndrome (AIDS) Activity

Number changed from 13.118 (1990U)

Incorporated from 93.160 (1993B)

93.119

Grants for Technical Assistance Activities Related to the Block Grant for Community Mental Health Services_ Technical Assistance Centers for Evaluation (1993U)

Archived (1997B)

93.120

Mental Health Services for Cuban Entrants

Number changed from 13.120 (1990U)

Archived (1996B)

93.121

Disorders of Craniofacial Structure and Function, and Behavioral Aspects of Dentistry

Number changed from 13.121 (1990U)

Oral Diseases and Disorders Research (1990U)

93.122

Cooperative Agreements for Substance Abuse Treatment and Recovery Systems for Rural, Remote and Culturally Distinct Populations (1993U)

Archived (2000U)

93.123

Health Professions Pregraduate Scholarship Program for Indians

Number changed from 13.123 (1990U)

93.124

Nurse Anesthetist Traineeships

Number changed from 13.124 (1990U)

93.125

Mental Health Planning and Demonstration Projects

Number changed from 13.125 (1990U)

Archived (2002B)

93.126

Small Business Innovation Research

Number changed from 13.126 (1990U)

Small Business Innovation Research (NIAAA, NIDA, NIMH) (1992U)

Incorporated into 93.242, 93.273, 93.279 (1993B)

93.127

Emergency Medical Services for Children

Number changed from 13.127 (1990U)

93.128

Grants for Technical Assistance Activities Related to the Block Grant for Community Mental Health Services_Mental Health Statistics Improvement Program (1993U)

Archived (1999B)

93.129

Technical and Non-Financial Assistance to Community Health Centers

Number changed from 13.129 (1990U)

Technical and Non-Financial Assistance to Health Centers (1997B)

Technical and Non-Financial Assistance to Health Centers and National Health Service Corps (NHSC) Delivery Sites (1999U)

Technical and Non-Financial Assistance to Health Centers (2005)

93.130

Primary Care Services Planning and Development Cooperative Agreements

Number changed from 13.130 (1990U)

Primary Care Services_Resource Coordination and Development Primary Care Offices (1995B)

Primary Care Services_Resource Coordination and Development_Primary Care Offices (1999U)

Primary Care Services_Resource Coordination and Development (2002B)

Primary Care Services Resource Coordination and Development (2005)

Cooperative Agreements to States/Territories for the Coordination and Development of Primary Care Offices (2007)

93.131

Cooperative Agreements for Addiction Treatment Training Centers (1993U)

Consolidated into 93.230 (1999B)

93.132

Managed Care Demonstration Models for SSI Beneficiaries Disabled Due to Addiction to Alcohol and Other Drugs (1993U)

Archived (1997B)

93.133

Health Services Delivery to Persons with AIDS_Demonstration Grants

Number changed from 13.133 (1990U)

Archived (1992B)

93.134

Assistance for Organ Procurement Organizations

Number changed from 13.134 (1990U)

Grants to Increase Organ Donations (1991B)

93.135

Centers for Research and Demonstration for Health Promotion and Disease Prevention

Number changed from 13.135 (1990U)

93.136

Injury Prevention and Control Research Projects, Injury Prevention Research Centers, Applied Methods in Surveillance Projects, and State and Community-Based Injury Control Projects

Number changed from 13.136 (1990U)

Injury Prevention and Control Research Projects (1990U)

Injury Prevention and Control Research and State Grants Projects (1992U)

Injury Prevention and Control Research and State and Community Based Programs (1996B)

93.137

Minority Community Health Coalition Demonstration

Number changed from 13.137 (1990U)

Community Programs to Improve Minority Health Grant Program (2001B)

93.138

Protection and Advocacy for Mentally Ill Individuals

Number changed from 13.138 (1990U)

Protection and Advocacy for Individuals with Mental Illness (1993B)

93.139

Financial Assistance for Disadvantaged Health Professions Students (FADHPS)

Number changed from 13.139 (1990U)

Financial Assistance for Disadvantaged Health Professions Students (1997B)

Consolidated into 93.925 (2001B)

93.140

Intramural Research Training Award

Number changed from 13.140 (1990U)

93.141

Special State Grant Program for Increasing the Availability of the 9-1-1 Emergency Telephone Number (1993U)

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Special Initiatives Trauma Grant Program (1994U)
Archived (1997B)

93.142

NIEHS Hazardous Waste Worker Health and
Safety Training
Number changed from 13.142 (1990U)

93.143

NIEHS Superfund Hazardous Substances_Basic
Research and Education
Number changed from 13.143 (1990U)

93.144

Drug and Alcohol Abuse Prevention_High-Risk
Youth Demonstration Grants
Number changed from 13.144 (1990U)
Demonstration Grants for the Prevention of
Alcohol and Other Drug Abuse Among High-Risk
Youth (1991U)
Demonstration Grants for the Prevention of
Alcohol and Other Drug Abuse Among High-Risk
Youth (1992U)
Demonstration Grants for the Prevention of
Alcohol and Other Drug Abuse Among High-Risk
Populations (1995B)
Demonstration Grants for the Prevention of
Alcohol and Drug Abuse Among High-Risk
Populations (1996B)
Archived (2002B)

93.145

AIDS Education and Training Centers
Number changed from 13.145 (1990U)
National AIDS Education and Training Centers
(1994U)
AIDS Education and Training Centers (1998B)

93.146

Temporary AIDS Drug Reimbursements
Number changed from 13.146 (1990U)
Archived (1992B)

93.147

Post-Baccalaureate Faculty Fellowships
Number changed from 13.147 (1990U)
Archived (1991B)

93.148

Cooperative Agreements for Collaborative
Demonstration Program for Homeless Individuals
(1993U)
Archived (1997B)

93.149

Grants for Two-Year Programs of Schools of
Medicine or Osteopathic Medicine
Number changed from 13.149 (1990U)
Archived (1993U)

93.150

Mental Health Services for the Homeless Block
Grant
Number changed from 13.150 (1990U)
Projects for Assistance in Transition from
Homelessness (PATH) (1991B)

93.151

Project Grants for Health Services to the Homeless
Number changed from 13.151 (1990U)
Health Center Grants for Homeless Populations
(1997B)
Consolidated into 93.224 (2006)

93.152

Community Demonstration Grant Projects for
Alcohol and Drug Abuse Treatment of Homeless
Individuals
Number changed from 13.152 (1990U)
Archived (1994)

93.153

Pediatric AIDS Health Care Demonstration
Program
Number changed from 13.153 (1990U)
Pediatric Family AIDS Health Care Demonstration
Program (1993U)
HIV Demonstration Program for Children,
Adolescents, and Women (1994B)
Coordinated HIV Services and Access to Research
for Children, Youth, Women, and Families (2000B)
Coordinated Services and Access to Research for
Women, Infants, Children, and You (2002B)

93.154

Special International Postdoctoral Research
Program in Acquired Immunodeficiency Syndrome
Number changed from 13.154 (1990U)
Archived (2001B)

93.155

Rural Health Research Centers
Number changed from 13.155 (1990U)

93.156

Grants for Faculty Training Projects in Geriatric
Medicine and Dentistry
Number changed from 13.156 (1990U)
Geriatric Training Regarding Physicians and
Dentists (1999U)
Geriatric Training for Physicians, Dentists, and
Behavioral/Mental Health Professionals (2002B)
Geriatric Training for Physicians, Dentists and
Behavioral/Mental Health Professionals (2005)
Geriatric Training for Physicians, Dentists and
Behavioral/Mental Health Professionals (2006)

93.157

Excellence in Minority Health Education and Care
Number changed from 13.157 (1990U)
Programs for Excellence in Health Professions
Education for Minorities (1991B)

Programs of Excellence in Health Professions
Education for Minorities (1991U)
Centers of Excellence (1999U)

93.158

Adolescent Health Centers for American
Indians/Alaska Natives (1993U)
Archived (1999B)

93.159

Health Care Services in the Home
Number changed from 13.159 (1990U)
Archived (1994B)

93.160

Minority AIDS Education/Prevention Grants
Number changed from 13.160 (1990U)
Minority AIDS and Related Risk Factors
Education/Prevention Grants (1991B)
Incorporated into 93.118 (1993B)

93.161

Health Program for Toxic Substances and Disease
Registry
Number changed from 13.161 (1990U)
Split into 93.200, 93.201, 93.203, 93.204, 93.205,
93.206, 93.207, 93.208 (1994B)

93.162

National Health Service Corps Loan Repayment
Number changed from 13.162 (1990U)
National Health Service Corps Loan Repayment
Program (1993U)

93.163

Health Services in the Pacific Basin
Number changed from 13.163 (1990U)
Archived (1997B)

93.164

Indian Health Service Educational Loan Repayment
Number changed from 13.164 (1990U)

93.165

Grants for State Loan Repayment
Number changed from 13.165 (1990U)
Grants To States for Loan Repayment Program
(2005)

93.166

Indian Health Service Health Promotion and
Disease Prevention Demonstration Projects
Number changed from 13.166 (1990U)
Archived (1991B)

93.167

Research Facilities Improvement
Number changed from 13.167 (1990U)
Archived (1991U)

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- 93.168**
International Cooperative Biodiversity Groups Program (1995U)
Consolidated into 93.989 (2006)
- 93.169**
Model Projects for Pregnant and Postpartum Women and Their Infants (Substance Abuse)
Number changed from 13.169 (1990U)
Demonstration Grants on Model Projects for Pregnant and Postpartum Women and Their Infants (Substance Abuse) (1991U)
Archived (2000U)
- 93.170**
Community Youth Activity Demonstration Grants
Number changed from 13.170 (1990U)
Archived (1993B)
- 93.171**
Community Youth Activity Block Grants
Number changed from 13.171 (1990U)
Archived (1993B)
- 93.172**
Human Genome Research
Number changed from 13.172 (1990U)
- 93.173**
Biological Research Related to Deafness and Communicative Disorders
Number changed from 13.173 (1990U)
Research Related to Deafness and Communication Disorders (1990U)
- 93.174**
Conference Grant (Substance Abuse)
Number changed from 13.174 (1990U)
Knowledge Dissemination Grants (Substance Abuse) (1996B)
Archived (1999B)
- 93.175**
Drug Abuse Treatment Waiting List Reduction Grants
Number changed from 13.175 (1990U)
Archived (1992B)
- 93.176**
ADAMHA Small Instrumentation Program Grants
Number changed from 13.176 (1990U)
NIAAA, NIDA, and NIMH Small Instrumentation Program Grants (1992U)
Incorporated into 93.242, 93.273, 93.279 (1993B)
- 93.177**
Integrated Community-Based Primary Care and Drug Abuse Treatment Services
Number changed from 13.177 (1990U)
Archived (1997B)
- 93.178**
Nursing Education Opportunities for Individuals from Disadvantaged Backgrounds
Number changed from 13.178 (1990U)
Nursing Workforce Diversity (2000B)
- 93.179**
State Data Collection_Uniform Alcohol and Drug Abuse Data
Number changed from 13.179 (1990U)
Archived (1993U)
- 93.180**
Medical Treatment Effectiveness Research
Number changed from 13.180 (1990U)
Research on Health Care Outcomes and Quality (1997U)
Consolidated into 93.226 (1999B)
- 93.181**
Podiatric Primary Care Residency Training
Number changed from 13.181 (1990U)
Grants for Podiatric Primary Care Residency Training (1993B)
Podiatric Residency in Primary Care (1999U)
Podiatric Residency Training in Primary Care (2002B)
Archived (2009)
- 93.182**
Scholarships for the Undergraduate Education of Professional Nurses
Number changed from 13.182 (1990U)
Archived (1993B)
- 93.183**
Federal Assistance for Rural Hospitals for the Advancement and Improvement of Health Care Services and the Enhancement of Quality Care
Number changed from 13.183 (1990U)
Archived (1991B)
- 93.184**
Disabilities Prevention
Number changed from 13.184 (1990U)
- 93.185**
Immunization Research, Demonstration, Public Information and Education_Education, Training, and Clinical Skills Improvement Projects
Number changed from 13.185 (1990U)
Immunization Research, Demonstration, Public Information and Education_Training and Clinical Skills Improvement Projects (1994U)
- 93.186**
National Research Services Awards
Number changed from 13.186 (1990U)
National Research Service Award in Primary Care Medicine (2005)
- National Research Service Award in Primary Care Medicine (2006)
- 93.187**
Undergraduate Scholarship Program for Individuals from Disadvantaged Backgrounds (1995U)
- 93.188**
Special Project Grants to Schools of Public Health
Number changed from 13.188 (1990U)
Public Health Training Centers (1999U)
Archived (2002B)
- 93.189**
Health Education and Training Centers
Number changed from 13.189 (1990U)
Health Education Training Centers Continuing Educational Support for Health Professionals Serving in Underserved Communities (2010)
Archived (2012)
- 93.190**
Model Education Projects for Health Professions
Number changed from 13.190 (1990U)
Archived (1993B)
- 93.191**
Allied Health Project Grants
Number changed from 13.191 (1990U)
Allied Health Projects (1999U)
Allied Health Special Projects (2002B)
Patient Navigator and Chronic Disease Prevention Program (2010)
Graduate Psychology Education Program and Patient Navigator and Chronic Disease Prevention Program (2012)
- 93.192**
Interdisciplinary Training for Health Care for Rural Areas
Number changed from 13.192 (1990U)
Quentin N. Burdick Programs for Rural Interdisciplinary Training (1999U)
Quentin N. Burdick Program for Rural Interdisciplinary Training (2005)
Archived (2010)
- 93.193**
Urban Indian Alcohol and Substance Abuse Prevention, Treatment, Rehabilitation and Education
Number changed from 13.193 (1990U)
Urban Indian Health Services (1991U)
- 93.194**
Community Partnership Demonstration Grant
Number changed from 13.194 (1990U)
Community Partnership Study Demonstration Grant (1991U)
Community Partnership Demonstration Grant

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(1993U) Community Prevention Coalitions (Partnership) Demonstration Grant (1996B) Archived (2002B)	(2002B) Archived (2012)	Telehealth Programs (2010)
93.195 Disaster Relief Assistance Grants for Drug Abuse Treatment Number changed from 13.195 (1990U) Archived (1991B)	93.203 Health Activities Recommendation Panel Health Activities Split from 93.161 (1994B) Archived (1997B)	93.212 Chiropractic Demonstration Projects (1994B) Chiropractic Demonstration Project Grants (2002B)
93.196 Cooperative Agreements for Drug Abuse Treatment Improvement Projects in Target Cities Number changed from 13.196 (1990U) Archived (2000U)	93.204 Surveillance of Hazardous Substance Emergency Events Split from 93.161 (1994B)	93.213 Research and Training in Alternative Medicine (1994B) Research and Training in Complementary and Alternative Medicine (2001B)
93.197 Childhood Lead Poisoning Prevention Projects_State and Community-Based Childhood Lead Poisoning Prevention Number changed from 13.197 (1990U) Childhood Lead Poisoning Prevention Projects_State and Community-Based Childhood Lead Poisoning Prevention Program (1991B) Childhood Lead Poisoning Prevention Projects_State and Community-Based Childhood Lead Poisoning Prevention and Surveillance of Blood Lead Levels in Children (1995B) Childhood Lead Poisoning Prevention Projects_State and Local Childhood Lead Poisoning Prevention and Surveillance of Blood Lead Levels in Children (2000B)	93.205 Health Outcome Studies to Hazardous Substances and Adverse Health Effects Split from 93.161 (1994B) Archived (1996B)	93.214 Extramural Research Facilities Construction Projects (1994B) Consolidated into 93.389 (1996B)
93.198 Biological Models and Materials Resources Number changed from 13.198 (1990U) Biological Models and Materials Research (1991B) Consolidated into 93.306 (1996B)	93.206 Health Studies Initiative of Priority Health Conditions Split from 93.161 (1994B) Human Health Studies_Applied Research and Development (1997B) Archived (2009) Reinstated (2010) Archived (2012)	93.215 Hansen's Disease National Ambulatory Care Program (1995U)
93.199 HIV Home and Community-Based Health Services Number changed from 13.199 (1990U) Archived (1992B)	93.207 Surveillance of the Relationship Between Hazardous Substances Exposure and Adverse Health Outcomes Split from 93.161 (1994B) Archived (1996B)	93.216 HIV/AIDS Mental Health Services Demonstration Program (1994U) Archived (2000U)
93.200 Educating Health Professionals Regarding Environmentally Hazardous Substances Split from 93.161 (1994B) Environmental Health Education Activities for Health Professionals and Communities (1996B) Archived (1997B)	93.208 Great Lakes Human Health Effects Research Split from 93.161 (1994B) Archived (2009)	93.217 Family Planning_Services Number changed from 13.217 (1990U)
93.201 Public Health Assessments and Related Site-Specific Biological Testing Split from 93.161 (1994B) Archived (1997B)	93.209 Contraception and Infertility Loan Repayment Program (1995U) Contraception and Infertility Research Loan Repayment Program (2000B)	93.218 Substance Abuse Treatment Conference Grants (1994U) Archived (1999B)
93.202 Capacity Building Among American Indian Tribes	93.210 Tribal Self-Governance Demonstration Program: Planning and Negotiation Cooperative Agreements and IHS Compacts (1994B) Tribal Self-Governance Program: Planning and Negotiation Cooperative Agreements and IHS Compacts/Funding Agreements (2004) Tribal Self-Governance Program: IHS Compacts/Funding Agreements (2008)	93.219 Matching Grants for Health Professions Scholarships to Indian Tribes (1994U)
	93.211 Rural Telemedicine Grants (1994B) Telehealth Network Grants (2004)	93.220 Loan Repayment Program for Individuals from Disadvantaged Backgrounds (1994U) Clinical Research Loan Repayment Program for Individuals from Disadvantaged Backgrounds (1995B)
		93.221 Junior National Health Service Corps/Junior Health Careers Opportunity Program (1994U) Split from 93.258, 93.822 (1994U) Archived (1997B)
		93.222 Centers for Medical Education Research (1994U) Archived (2000B)
		93.223 Development and Coordination of Rural Health Services (1994U) Split from 93.913 (1994U)

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93.224 Community Health Centers Number changed from 13.224 (1990U) Consolidated Health Centers (Community Health Centers, Migrant Health Centers, Health Care for the Homeless, Public Housing Primary Care, and School Based Health Centers) (2005) Consolidated Health Centers (Community Health Centers, Migrant Health Centers, Health Care for the Homeless, and Public Housing Primary Care) (2013)	National Center on Sleep Disorders Research (1997B)	Archived (2009)
93.225 National Research Service Awards_Health Services Research Training Number changed from 13.225 (1990U)	93.234 Traumatic Brain Injury (1997U) Traumatic Brain Injury_State Demonstration Grant Program (2000B) Traumatic Brain Injury State Demonstration Grant Program (2005)	93.245 New Pilot Innovative Food Safety Projects (1999B) Innovative Food Safety Projects (2000B) Deleted (2005)
93.226 Health Services Research and Development Grants Number changed from 13.226 (1990U) Health Care Systems Cost and Access Research and Development Grants (1998B) Consolidated from 93.180 (1999B) Research on Healthcare Costs, Quality and Outcomes (2000B)	93.235 Abstinence Education (1997U) Abstinence Education Program (2005) Abstinence Education Program (2006) Affordable Care Act (ACA) Abstinence Education Program (2011)	93.246 Migrant Health Centers Grants Number changed from 13.246 (1990U) Health Centers Grants for Migratory and Seasonal Farmworkers (1997B) Health Centers Grants for Migrant and Seasonal Farmworkers (1999U) Consolidated into 93.224 (2006)
93.227 Surveillance of the Complications of Hemophilia (1995U) Archived (2001B)	93.236 Grants for Dental Public Health (1998B) Grants for Dental Public Health Residency Training (2000B) Grants to States to Support Oral Health Workforce Activities (2011)	93.247 Advanced Education Nursing Grant Program (2000B) Consolidated from 93.298, 93.299, 93.916 (2001B) Advanced Nursing Education Grant Program (2010)
93.228 Indian Health Services_Health Management Development Program Number changed from 13.228 (1990U)	93.237 Special Diabetes Program for Indians-Prevention and Treatment Projects (1998B) Special Diabetes Program for Indians_Diabetes Prevention and Treatment Projects (2001B)	93.248 Residencies in the Practice of Pediatric Dentistry (2000B) Consolidated into 93.884 (2003B) Deleted (2003)
93.229 Demonstration Cooperative Agreements for Development and Implementation of Criminal Justice Treatment Networks (1995B) Archived (2009)	93.238 Cooperative Agreements for State Treatment Outcomes and Performance Pilot Studies Enhancement (1998B) Archived (2009)	93.249 Public Health Training Centers Grant Program (2000B) Public Health Training Centers Program (2012)
93.230 Consolidated Knowledge Development and Application Program (1996B) Consolidated from 93.131 (1999B) Consolidated Knowledge Development and Application (KD&A) Program (1999U) Archived (2009)	93.239 Policy Research and Evaluation Grants (1998B)	93.250 Geriatric Academic Career Award (2000B) Geriatric Academic Career Awards (2002B)
93.231 Epidemiology Cooperative Agreements (1996U)	93.240 State Capacity Building (1999B)	93.251 Universal Newborn Hearing Screening (2000B)
93.232 Educational Loan Repayment Program for Research Professionals (1996U) Loan Repayment Program for Research (1997B) Loan Repayment Program for General Research (1997U)	93.241 State Rural Hospital Flexibility Program (1999B)	93.252 Community Access Program (2000B) Healthy Community Access Program (2004) Healthy Communities Access Program (2005) Archived (2010)
93.233	93.242 Mental Health Research Grants Number changed from 13.242 (1990U) Incorporated from 93.126, 93.176 (1993B)	93.253 National Poison Control Systems Stabilization and Support (2000U) Poison Control Stabilization and Enhancement Grants (2002B) Poison Center Support and Enhancement Grant Program (2010)
	93.243 Substance Abuse and Mental Health Services_Projects of Regional and National Significance (2002B)	93.254 Infant Adoption Awareness Training (2001B)
	93.244 Mental Health Clinical or Service Related Training Grants Number changed from 13.244 (1990U) Mental Health Clinical and AIDS Service-Related Training Grants (1995U)	93.255 Children's Hospitals Graduate Medical Education

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Payment (2001U)
Children's Hospitals Graduate Medical Education
Payment Program (2013)

93.256

State Planning Grant_Health Care Access for the
Uninsured (2001U)
State Planning Grants Health Care Access for the
Uninsured (2005)
State Planning Grants Health Care Access for the
Uninsured (2006)
State Health Access Program (2010)

93.257

Grants for Education, Prevention, and Early
Detection of Radiogenic Cancers and Diseases
(2002B)

93.258

National Health Service Corps
Number changed from 13.258 (1990U)
Split into 93.221 (1990U)
Archived (1997B)

93.259

Rural Access to Emergency Devices Grant (2002B)
Rural Access to Emergency Devices Grant and
Public Access to Defibrillation Demonstration
Grant (2013)

93.260

Family Planning_Personnel Training
Number changed from 13.260 (1990U)

93.261

National Diabetes Prevention Program: Preventing
Type 2 Diabetes Among People at High Risk
(2013)

93.262

Occupational Safety and Health Research Grants
Number changed from 13.262 (1990U)
Occupational Safety and Health Research Projects
(2004)
Occupational Safety and Health Program (2005)

93.263

Occupational Safety and Health_Training Grants
Number changed from 13.263 (1990U)
Deleted (2005)

93.264

Nurse Faculty Loan Program (NFLP) (2003B)

93.265

Comprehensive Geriatric Education Program
(CGEP) (2003B)

93.266

Rapid Expansion of Antiretroviral Therapy
Programs for HIV-Infected Persons in Selected

Countries in Africa and the Caribbean Under the
President's Emergency Plan for AIDS Relief (2004)
Health Systems Strengthening and HIV/AIDS
Prevention, Care and Treatment under the
President's Emergency Plan for AIDS Relief
(2012)

93.267

State Grants for Protection and Advocacy Services
(2004)

93.268

Childhood Immunization Grants
Number changed from 13.268 (1990U)
Immunization Grants (1997U)
Immunization Cooperative Agreements (2012)

93.269

Complex Humanitarian Emergency and
War-Related Injury Public Health Activities (2008)

93.270

Adult Viral Hepatitis Prevention and Control
(2008)

93.271

Alcohol Scientist Development Award and
Research Scientist Development Award for
Clinicians
Number changed from 13.271 (1990U)
Alcohol Research and Development Awards for
Scientists and Clinicians (1993B)
Alcohol Research Career Development Awards for
Scientists and Clinicians (1993U)
Archived (2010)

93.272

Alcohol National Research Service Awards for
Research Training
Number changed from 13.272 (1990U)
Archived (2010)

93.273

Alcohol Research Programs
Number changed from 13.273 (1990U)
Incorporated from 93.126, 93.176 (1993B)

93.274

Alcohol and Drug Abuse Clinical or
Service-Related Training
Number changed from 13.274 (1990U)
Clinical Training Grant for Faculty Development in
Alcohol and Other Drug Abuses (1994B)
Clinical Training Grant for Faculty Development in
Alcohol and Drug Abuses (1996B)
Archived (2002B)

93.275

Substance Abuse and Mental Health
Services-Access to Recovery (2004)

93.276

Drug-Free Communities Support Program Grants
(2004)

93.277

Drug Abuse Scientist Development Award for
Clinicians_Scientist Development Awards and
Research Scientist Awards
Number changed from 13.277 (1990U)
Drug Abuse Scientist Development Awards,
Research Scientist Development Awards, and
Research Scientist Awards (1994B)
Career Development Awards (2002B)
Consolidated into 93.279 (2003B)
Deleted (2003)

93.278

Drug Abuse National Research Service Awards for
Research Training
Number changed from 13.278 (1990U)
Consolidated into 93.279 (2003B)
Deleted (2003)

93.279

Drug Abuse Research Programs
Number changed from 13.279 (1990U)
Split into 93.949 (1992B)
Incorporated from 93.126, 93.176 (1993B)
Drug Abuse and Addiction Research Programs
(2005)

93.280

National Institutes of Health Loan Repayment
Program for Clinical Researchers (2003B)

93.281

Mental Research Scientist Development Award and
Research Scientist Development Award for
Clinicians
Number changed from 13.281 (1990U)
Scientist Development Award (SDA), Research
Scientist Development Award (RSDA), Scientist
Development Award for Clinicians (SDAC), and
Research Scientist Award (RSA) (1992U)
Mental Health Research Career/Scientist
Development Awards (1995U)

93.282

Mental Health National Research Service Awards
for Research Training
Number changed from 13.282 (1990U)

93.283

Centers for Disease Control_Investigations and
Technical Assistance
Number changed from 13.283 (1990U)
Centers for Disease Control and
Prevention_Investigations and Technical Assistance
(1993B)
The Affordable Care Act: Centers for Disease
Control and Prevention_Investigations and

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Technical Assistance (2012)
Centers for Disease Control and
Prevention_Investigations and Technical Assistance
(2012)

93.284

Injury Prevention Program for American Indians
and Alaskan Natives_Cooperative Agreements
(2000B)

93.285

National Institutes of Health Pediatric Research
Loan Repayment Program (2004)

93.286

Biomedical Imaging Research (2002B)
Discovery and Applied Research for Technological
Innovations to Improve Human Health (2005)
Discovery and Applied Research for Technological
Innovations to Improve Human Health (2006)

93.287

Bioengineering Research (2002B)
Deleted (2004)

93.288

National Health Service Corps Scholarship
Program
Number changed from 13.288 (1990U)

93.289

President's Council on Physical Fitness and Sports
Number changed from 13.289 (1990U)
President's Council on Fitness, Sports, and
Nutrition (2010)

93.290

National Community Centers of Excellence in
Women's Health (2000U)

93.291

Surplus Property Utilization
Number changed from 13.291 (1990U)

93.292

National Public Health Improvement Initiative
(2013)

93.293

Supporting Permanent Placements of Foster Care
Children Through Electronic Records Exchange
(2013)

93.294

Mentoring Partnership Program - Protege (2006)
Archived (2010)

93.295

Intergenerational Approaches to HIV/AIDS
Prevention Education with Women across The
Lifespan Pilot Program (2006)

Archived (2012)

93.296

State Partnership Grant Program to Improve
Minority Health (2006)

93.297

Teenage Pregnancy Prevention Program (2010)

93.298

Nurse Practitioner and Nurse Midwife Education
and Traineeships
Number changed from 13.298 (1990U)
Nurse Practitioner and Nurse-Midwifery Education
Programs (1993U)
Consolidated into 93.247 (2001B)

93.299

Advanced Nurse Education
Number changed from 13.299 (1990U)
Consolidated into 93.247 (2001B)

93.300

National Center for Health Workforce Analysis
(2002B)

93.301

Small Rural Hospital Improvement Grants (2002B)
Small Rural Hospital Improvement Grant Program
(2005)

93.302

Healthy Schools, Healthy Communities (2004)

Incorporated into 93.224 (2006)

93.303

Nursing Scholarship Program (2007)
NURSE Corps Scholarship Program (2013)

93.304

Racial and Ethnic Approaches to Community
Health (2013)

93.305

National State Based Tobacco Control Programs
(2013)

93.306

Laboratory Animal Sciences and Primate Research
Number changed from 13.306 (1990U)
Comparative Medicine Program (1991U)
Comparative Medicine, Consolidated from 93.198
(1996B)
Consolidated into 93.389 (2003B)
Deleted (2003)

93.307

Loan Repayment for Health Disparities Research

(2002B)

93.308

Extramural Loan Repayment for individuals from
Disadvantaged Backgrounds Conducting Clinical
Research (2002B)
National Institute on Minority Health and Health
Disparities (NIMHD) Extramural Loan Repayment
Programs (2011)

93.309

Bioinformatics and Computational Biology
Research (2002B)
Consolidated into 93.859 (2003B)
Deleted (2003)

93.310

Trans-NIH Research Support (2006)

93.311

Mobilization For Health: National Prevention
Partnership Awards (NPPA) (2013)

93.313

NIH Office of Research on Women's Health (2012)

93.333

General Clinical Research Centers
Number changed from 13.333 (1990U)
Clinical Research (1996B)

93.337

Biomedical Research Support
Number changed from 13.337 (1990U)
Consolidated into 93.371, 93.389 (1996B)

93.338

Foreign Public Health Construction (2010)

93.339

Public Health Conference Support (2011)

93.342

Health Professions Student Loans
Number changed from 13.342 (1990U)
Health Professions Student Loans/Loans for
Disadvantaged Students (1991B)
Health Professions Student Loans, Including
Primary Care Loans/Loans for Disadvantaged
Students (1993B)

93.350

National Center for Advancing Translational
Sciences (2012)

93.351

Research Infrastructure Programs (2012)

93.352

Construction Support (2012)

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93.358 Professional Nurse Traineeships Number changed from 13.358 (1990U) Advanced Education Nursing Traineeships (2000B) Advanced Nursing Education Traineeships (2010) Advanced Education Nursing Traineeships (2012)	Research Infrastructure (1996B) Consolidated from 93.337, 93.922 (2003)	Grants (2009)
93.359 Nurse Training Improvement_Special Projects Number changed from 13.359 (1990U) Nursing_Special Projects (1998B) Basic Nurse Education and Practice Grants (2000B) Nurse Education, Practice and Retention Grants (2003B) Nurse Education, Practice Quality and Retention Grants (2012)	93.390 Academic Research Enhancement Award Number changed from 13.390 (1990U) Deleted (2006)	93.405 ARRA - Public Health Traineeship Program (2009) Archived (2013)
93.360 Biomedical Advanced Research and Development Authority (BARDA), Biodefense Medical Countermeasure Development (2010)	93.392 Cancer Construction (1990U) Archived (2009)	93.406 Nursing Education Loan Repayment Program (NELRP) (2009) NURSE Corps Loan Repayment Program (2013)
93.361 Nursing Research Number changed from 13.361 (1990U)	93.393 Cancer Cause and Prevention Research Number changed from 13.393 (1990U)	93.407 ARRA - Scholarships for Disadvantaged Students (2009) Archived (2013)
93.364 Nursing Student Loans Number changed from 13.364 (1990U)	93.394 Cancer Detection and Diagnosis Research Number changed from 13.394 (1990U)	93.408 ARRA - Nurse Faculty Loan Program (2009) Archived (2013)
93.365 Sickle Cell Treatment Demonstration Program (2006)	93.395 Cancer Treatment Research Number changed from 13.395 (1990U)	93.409 Faculty Loan Repayment Programs (2009)
93.371 Biomedical Research Technology Number changed from 13.371 (1990U) Biomedical Technology, Consolidated from 93.337 (1996B) Consolidated into 93.389 (2003B) Deleted (2003)	93.396 Cancer Biology Research Number changed from 13.396 (1990U)	93.410 ARRA Licensure Portability Grant Program (2009) Archived (2013)
93.375 Minority Biomedical Research Support Number changed from 13.375 (1990U) Consolidated into 93.859 (2003B) Deleted (2003)	93.397 Cancer Centers Support Number changed from 13.397 (1990U)	93.411 ARRA Equipment to Enhance Training for Health Professionals (2009) Archived (2013)
93.379 Grants for Graduate Training in Family Medicine Number changed from 13.379 (1990U) Consolidated into 93.884 (2001B)	93.398 Cancer Research Manpower Number changed from 13.398 (1990U)	93.412 ARRA - Preventive Medicine Residency Program (2009) Archived (2013)
93.385 National Institutes of Health Pediatric Research Loan Repayment Program (2003B)	93.399 Cancer Control Number changed from 13.399 (1990U)	93.414 ARRA - State Primary Care Offices (2009)
93.389 Research Centers in Minority Institutions Number changed from 13.389 (1990U)	93.400 National Health Service Corps Scholarship Program (2009)	93.415 ARRA - Centers of Excellence (2009)
	93.401 ARRA - National Health Service Corps Loan Repayment (2009)	93.416 ARRA - Health Careers Opportunity Program (2009) Archived (2013)
	93.402 ARRA - State Loan Repayment Program (2009)	93.417 ARRA - Nursing Workforce Diversity (2009) Archived (2013)
	93.403 ARRA - Training in Primary Care Medicine and Dentistry (2009) ARRA Grants for Training in Primary Care Medicine and Dentistry Training and Enhancement (2010)	93.418 ARRA - Emergency Medical Services for Children (2009) Archived (2012)
	93.404 ARRA Dental Public Health Residency Training	93.419 ARRA - Maternal and Child Health (MCH)

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Pediatric Research Network Program (2009)
Archived (2012)

93.420
ARRA - Community Health Applied Research
Network (2009)

93.440
National Project Management of the Healthcare
Communities Knowledge Gateway (2010)

93.441
Indian Self-Determination (2000U)

93.442
Special Diabetes Program for Indians (SDPI)
Competitive Grant Program (2004)
Special Diabetes Program for Indians (SDPI)
Healthy Heart Initiative (2013)
Special Diabetes Program for Indians (SDPI)
Diabetes Prevention and Healthy Heart Initiative
(2013)

93.443
Health Promotion/Disease Prevention Program for
American Indians and Alaska Natives (2008)

93.444
Tribal Self-Governance Program: Planning and
Negotiation Cooperative Agreement (2008)

93.445
Indian Health Service Sanitation Facilities
Construction Program (2010)

93.447
State Health Fraud Task Force Grants (2004)

93.448
Food Safety and Security Monitoring Project
(2005)

93.449
Ruminant Feed Ban Support Project (2005)

93.451
The Linkage to Life Program: Rebuilding Broken
Bridges for Minority Families Impacted by
HIV/AIDS. (2010)
Archived (2013)

93.452
Health Improvement for Re-entering Ex-offenders
Initiative (HIRE) HIV/AIDS (2010)

93.453
Coalition for a Healthier Community (2010)
Archived (2013)

93.500
Pregnancy Assistance Fund Program (2010)

93.501
Affordable Care Act (ACA) Grants for
School-Based Health Center Capital Expenditures
(2010)

93.502
Affordable Care Act (ACA) Infrastructure to
Expand Access to Care (2010)

93.503
Affordable Care Act (ACA) Nursing and Home
Health Aides Training Program (2010)
Affordable Care Act (ACA) Nursing Assistant and
Home Health Aide Program (2012)

93.504
Affordable Care Act (ACA) Family to Family
Health Information Centers (2010)

93.505
Affordable Care Act (ACA) Maternal, Infant, and
Early Childhood Home Visiting Program (2010)

93.506
ACA Nationwide Program for National and State
Background Checks for Direct Patient Access
Employees of Long Term Care Facilities and
Providers (2010)

93.507
Strengthening Public Health Infrastructure for
Improved Health Outcomes (2010)
PPHF 2012 National Public Health Improvement
Initiative (2012)

93.508
Affordable Care Act (ACA) Tribal Maternal,
Infant, and Early Childhood Home Visiting
Program (2010)

93.509
Affordable Care Act (ACA) State Health Care
Workforce Development Grants (2010)

93.510
Affordable Care Act (ACA) Primary Care
Residency Expansion Program (2010)

93.511
Affordable Care Act (ACA) Grants to States for
Health Insurance Premium Review (2010)

93.512
Affordable Care Act (ACA) Personal and Home
Care Aide State Training Program (PHCAST)
(2010)

93.513
Affordable Care Act (ACA) Advanced Nursing
Education Expansion Initiative (2010)

93.514
Affordable Care Act (ACA) Expansion of
Physician Assistant Training Program (2010)

93.515
Affordable Care Act (ACA) Nurse-Managed Health
Clinics (2010)

93.516
Affordable Care Act (ACA) Public Health Training
Centers Program (2010)
Prevention and Public Health Fund (PPHF ACA)
Public Health Training Centers Program (2012)
Affordable Care Act (ACA) Public Health Training
Centers Program, Resources Development and
Academic Support to the Public Health Training
Centers Program and Public Health Infrastructure
and Systems Support (2012)
Affordable Care Act (ACA) Public Health Training
Centers Program (2013)

93.517
Affordable Care Act Aging and Disability
Resource Center (2010)

93.518
93.518 - Affordable Care Act - Medicare
Improvements for Patients and Providers (2010)

93.519
Affordable Care Act (ACA) Consumer
Assistance Program Grants (2010)

93.520
Centers for Disease Control and Prevention
Affordable Care Act (ACA) Communities
Putting Prevention to Work (2010)

93.521
The Affordable Care Act: Building Epidemiology,
Laboratory, and Health Information Systems
Capacity in the Epidemiology and Laboratory
Capacity for Infectious Disease (ELC) and
Emerging Infections Program (EIP) Cooperative
Agreements (2010)
The Affordable Care Act: Building Epidemiology,
Laboratory, and Health Information Systems
Capacity in the Epidemiology and Laboratory
Capacity for Infectious Disease (ELC) and
Emerging Infections Program (EIP) Cooperative
Agreements;PPHF (2012)

93.522
Affordable Care Act (ACA) Prevention Center for
Healthy Weight (2010)
Archived (2013)

93.523
The Affordable Care Act: Human
Immunodeficiency Virus (HIV) Prevention and
Public Health Fund Activities (2010)

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- 93.524**
The Affordable Care Act (ACA): Capacity Building Assistance to Strengthen Public Health Infrastructure and Performance (2010)
Building Capacity of the Public Health System to Improve Population Health through National, Non-Profit Organizations- financed in part by 2013 Prevention and Public Health Funds (PPHF-2013) (2012)
- 93.525**
State Planning and Establishment Grants for the Affordable Care Act (ACA)s Exchanges (2010)
- 93.526**
Affordable Care Act (ACA) Grants for Capital Development in Health Centers (2010)
- 93.527**
Affordable Care Act (ACA) Grants for New and Expanded Services under the Health Center Program (2010)
- 93.528**
National Forum for State and Territorial Chief Executives (2011)
- 93.529**
Pre-existing Condition Insurance Program (PCIP) (2011)
- 93.530**
Affordable Care Act - Teaching Health Center Graduate Medical Education Payments Program (2010)
- 93.531**
The Patient Protection and Affordable Care Act of 2010 (Affordable Care Act) authorizes Community Transformation Grants and National Dissemination and Support for Community Transformation Grants (2011)
PPHF 2012: Community Transformation Grants and National Dissemination and Support for Community Transformation Grants - financed solely by 2012 Prevention and Public Health Funds (2012)
- 93.533**
Prevention and Public Health Fund (Affordable Care Act): Enhanced Surveillance for New Vaccine Preventable Disease (2011)
- 93.534**
Affordable Care Act Program for Early Detection of Certain Medical Conditions Related to Environmental Health Hazards (2010)
- 93.535**
Affordable Care Act (ACA) Childhood Obesity Research Demonstration (2011)
- 93.536**
The Affordable Care Act Medicaid Incentives for Prevention of Chronic Disease Demonstration Project (2011)
- 93.537**
Affordable Care Act Medicaid Emergency Psychiatric Demonstration (2011)
- 93.538**
Affordable Care Act - National Environmental Public Health Tracking Program-Network Implementation (2011)
- 93.539**
Prevention and Public Health Fund (Affordable Care Act) - Capacity Building Assistance to Strengthen Public Health Immunization Infrastructure and Performance (2011)
PPHF 2012 - Prevention and Public Health Fund (Affordable Care Act) - Capacity Building Assistance to Strengthen Public Health Immunization Infrastructure and Performance financed in part by 2012 Prevention and Public Health Funds (2012)
- 93.540**
Affordable Care Act Streamlined surveillance for ventilator-associated pneumonia: Reducing burden and demonstrating preventability (2011)
Affordable Care Act Streamlined surveillance for ventilator-associated pneumonia: Reducing burden and demonstrating preventability; and Prevention and Public Health Fund (2012)
- 93.541**
The Patient Protection and Affordable Care Act of 2010 (ACA) (2011)
- 93.542**
Health Promotion and Disease Prevention Research Centers: Affordable Care Act (ACA) Special Interest Project Competitive Supplements (SIPS) (2011)
Health Promotion and Disease Prevention Research Centers: Affordable Care Act Projects (2011)
Health Promotion and Disease Prevention Research Centers: PPHF 2012 - Affordable Care Act Projects (2012)
- 93.544**
The Patient Protection and Affordable Care Act of 2010 (Affordable Care Act) authorizes Coordinated Chronic Disease prevention and Health Promotion Program (2011)
- 93.545**
Consumer Operated and Oriented Plan [CO-OP] Program (2011)
- 93.546**
Early Retiree Reinsurance Program (2011)
- 93.547**
Affordable Care Act National Health Service Corps (2011)
- 93.548**
The Patient Protection and Affordable Care Act of 2010 (2010) Nutrition, Physical Activity and Obesity Program (2011)
PPHF 2012: Nutrition, Physical Activity and Obesity Program - financed in part by 2012 Prevention and Public Health Funds (PPHF-2012) (2012)
PPHF2013: State Nutrition, Physical Activity, and Obesity Programs - financed in part by 2013 PPHF (2013)
- 93.549**
Affordable Care Act (ACA) The Primary Care Services Resource Coordination and Development Program (2011)
- 93.550**
Administration for Children, Youth and Families_Transitional Living for Runaway and Homeless Youth
Number changed from 13.550 (1990U)
Transitional Living for Runaway and Homeless Youth (1991U)
Transitional Living for Homeless Youth (1992U)
- 93.551**
Administration for Children, Youth and Families_Abandoned Infants
Number changed from 13.551 (1990U)
Abandoned Infants (1991U)
- 93.552**
Special Programs for the Aging_Title III, Part G_Prevention of Abuse, Neglect, and Exploitation of Older Individuals (1991B)
Number changed to 93.041 (1992U)
- 93.553**
Special Programs for the Aging_Title III, Part A_Long-Term Care Ombudsman Services for Older Individuals (1991B)
Number changed to 93.042 (1992U)
- 93.554**
Emergency Protection Grants_Substance Abuse (1991B)
Archived (1995B)
- 93.555**
Special Programs for the Aging_Title III, Part F_Preventive Health Services (1992B)
Number changed to 93.043 (1992U)
- 93.556**
Family Preservation and Support Services (1993U)
Promoting Safe and Stable Families (2000B)

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93.557 Education and Prevention to Reduce Sexual Abuse of Runaway, Homeless and Street Youth (1999B)	Community Services Block Grant Number changed from 93.031 (1992U)	93.579 U.S. Repatriate Program (1992U) U.S. Repatriation Program (1999U)
93.558 Temporary Assistance for Needy Families (1997B)	93.570 Community Services Block Grant_Discretionary Awards Number changed from 93.032 (1992U)	93.580 Family Resource and Support Program (1993B) Family Resource Centers (1994B) Archived (1995B)
93.559 Federal Loans for State Welfare Programs (1997B) Archived (2000B)	93.571 Community Services Block Grant Discretionary Awards_Community Food and Nutrition Number changed from 93.033 (1992U) Community Services Block Grant Formula and Discretionary Awards Community Food and Nutrition Programs (2005) Community Services Block Grant Formula and Discretionary Awards Community Food and Nutrition Programs (2006) Deleted (2008)	93.581 Improving the Capability of Indian Tribal Governments to Regulate Environmental Quality (1994U)
93.560 Family Support Payments to States_Assistance Payments Number changed from 93.020 (1992U)	93.572 Emergency Community Services for the Homeless Number changed from 93.034 (1992U) Archived (1997B)	93.582 Mitigation of Environmental Impacts to Indian Lands Due to Department of Defense Activities (1994U)
93.561 Job Opportunities and Basic Skills Training Number changed from 93.021 (1992U) Archived (1998B)	93.573 Community Services Block Grant Discretionary Awards_Demonstration Partnerships Number changed from 93.035 (1992U) Archived (1997U)	93.583 Refugee and Entrant Assistance_Wilson/Fish Program (1994U)
93.562 Assistance Payments_Research Number changed from 93.022 (1992U) Archived (1997B) Reinstated (1997U) Consolidated into 93.647 (1998B)	93.574 Child Care for Families At-Risk of Welfare Dependency Number changed from 93.036 (1992U) Archived (1998B)	93.584 Refugee and Entrant Assistance_Targeted Assistance (1994U) Split from 93.566 (1994U) Refugee and Entrant Assistance_Targeted Assistance Grants (2004)
93.563 Child Support Enforcement Number changed from 93.023 (1992U)	93.575 Payments to States for Child Care Assistance Number changed from 93.037 (1992U) Child Care and Development Block Grant (1995B)	93.585 Empowerment Zones Program (1994U) Archived (1998B)
93.564 Child Support Enforcement Research Number changed from 93.024 (1992U) Archived (1997B) Reinstated (1997U)	93.576 Refugee and Entrant Assistance_Discretionary Grants Number changed from 93.038 (1992U) Consolidated from 93.987 (1997B)	93.586 State Court Improvement Program (1994U)
93.565 State Legalization Impact Assistance Grants Number changed from 93.025 (1992U) Archived (1997B)	93.577 Early Learning Fund (2001U)	93.587 Promote the Survival and Vitality of Native American Languages (1994U) Promote the Survival and Continuing Vitality of Native American Languages (1995B)
93.566 Refugee and Entrant Assistance_State Administered Programs Number changed from 93.026 (1992U) Split into 93.584 (1994U)	93.578 Family Support Centers Demonstration Program Number changed from 93.040 (1992U) Homeless Families Support Services Demonstration Program (1992U) Family Support Center and Gateway Demonstration Program (1993U) Archived (1997B)	93.588 Community Schools Program (1995U) Community Schools Youth Services and Supervision Program (1996U) Archived (1999B)
93.567 Refugee Assistance_Voluntary Agency Programs Number changed from 93.027 (1992U) Refugee and Entrant Assistance_Voluntary Agency Programs (2000B)		93.589 Refugee Assistance_Naturalization and Citizenship Activities (1995U) Archived (1999B)
93.568 Low-Income Home Energy Assistance Number changed from 93.028 (1992U)		93.590 Community-Based Resource Centers (1995U) Community-Based Family Resource and Support Grants (1998B) COMMUNITY-BASED CHILD ABUSE PREVENTION GRANTS (2005) Community-Based Child Abuse Prevention Grants
93.569		

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(2006)	Special Projects (1999B)	Archived (1997B)
93.591 Family Violence Prevention and Services: Grants to State Domestic Violence Coalitions (1995U) Family Violence Prevention and Services/Grants for Battered Women's Shelters_Grants to State Domestic Violence Coalitions (1997U) Family Violence Prevention and Services/Grants to State Domestic Violence Coalitions (2013) Family Violence Prevention and Services/Battered Women's Shelters_Grants to State Domestic Violence Coalitions (2013)	93.602 New Assets for Independence Demonstration Program (1999B) Assets for Independence Demonstration Program (2005) Assets for Independence Demonstration Program (2006)	93.615 Affordable Care Act (ACA) Maternal, Infant, and Early Childhood Home Visiting Research Programs (2012)
93.592 Family Violence Prevention and Services: Discretionary Grants (1995U) Family Violence Prevention and Services/Grants for Battered Women's Shelters_Discretionary Grants (1997U) Family Violence Prevention and Services/Discretionary Grants (2013) Family Violence Prevention and Services/Battered Women's Shelters_Discretionary Grants (2013)	93.603 Adoption Incentive Payments (1999B)	93.616 Mentoring Children of Prisoners (2003B)
93.593 Job Opportunities for Low-Income Individuals (1995U)	93.604 Assistance to Torture Victims (2000B) Assistance for Torture Victims (2005)	93.617 Voting Access for Individuals with Disabilities_Grants to States and Local Government (2003B) Voting Access for Individuals with Disabilities_Grants to States (2004)
93.594 Tribal Work Grants (1997B)	93.605 Family Connection Grants (2008)	93.618 Voting Access for Individuals with Disabilities_Grants for Protection and Advocacy Systems (2003B) Voting Access for Individuals with Disabilities_Grants for Protection and Advocacy Systems (2004)
93.595 Welfare Reform Research, Evaluations and National Studies (1997B)	93.606 Affordable Care Act - Preparedness and Emergency Response Learning Centers (2011)	93.621 Affordable Care Act Initiative to Reduce Avoidable Hospitalizations among Nursing Facility Residents (2012)
93.596 Child Care Mandatory and Matching Funds of the Child Care and Development Fund (1997B)	93.607 Affordable Care Act - Preparedness and Emergency Response Research Centers: A Public Health Systems Approach (2011)	93.622 Affordable Care Act: Coordinating Center for Interprofessional Education and Collaborative Practice (2012)
93.597 Grants to States for Access and Visitation Programs (1997B)	93.608 Administration for Children, Youth and Families_Child Welfare Research and Demonstration Number changed from 13.608 (1990U) Child Welfare Research and Demonstration (1991U) Archived (1998B)	93.623 Administration for Children, Youth and Families_Runaway and Homeless Youth Number changed from 13.623 (1990U) Runaway and Homeless Youth (1991U) Comprehensive Runaway and Homeless Youth (1995U) Runaway and Homeless Youth (1996B)
93.598 Assistance for Victims of Trafficking (2001U) Services to Victims of a Severe Form of Trafficking (2002B)	93.609 The Affordable Care Act Medicaid Adult Quality Grants (2012)	93.624 ACA - State Innovation Models: Funding for Model Design and Model Testing Assistance (2012)
93.599 Chafee Education and Training Vouchers Program (ETV) (2003B)	93.610 Health Care Innovation Challenge (2011) Health Care Innovation Awards (HCIA) (2012)	93.626 Affordable Care Act State Health Insurance Assistance Program (SHIP) and Aging and Disability Resource Center (ADRC) Options Counseling for Medicare-Medicaid Individuals in States with Approved Financial Alignment Models (2012)
93.600 Administration for Children, Youth and Families_Head Start Number changed from 13.600 (1990U) Head Start (1991U)	93.611 Strong Start for Mothers and Newborns (2012)	93.627 Affordable Care Act: Testing Experience and Functional Assessment Tools (2012)
93.601 Child Support Enforcement Demonstration and	93.612 Native American Programs Number changed from 13.612 (1990U)	
	93.613 Mental Retardation_President's Committee on Mental Retardation Number changed from 13.613 (1990U)	
	93.614 Child Development Associate Scholarships Number changed from 13.614 (1990U)	

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93.628 Affordable Care Act Implementation Support for State Demonstrations to Integrate Care for Medicare-Medicaid Enrollees (2012)	Number changed from 13.643 (1990U)	Youth Gangs Number changed from 13.660 (1990U) Drug Education and Prevention Programs for Youth Gangs (1993U) Youth Initiative/Youth Gangs (1994B) Archived (1997B)
93.629 Affordable Care Act Community Health Applied Research Network (CHARN) (2013) Archived (2013)	93.645 Child Welfare Services_State Grants Number changed from 13.645 (1990U) Stephanie Tubbs Jones Child Welfare Services Program (2011)	93.666 Comprehensive Child Development Centers Number changed from 13.666 (1990U) Archived (1996B)
93.630 Administration on Developmental Disabilities_Basic Support and Advocacy Grants Number changed from 13.630 (1990U) Developmental Disabilities Basic Support and Advocacy Grants (1991U)	93.647 Social Services Research and Demonstration Number changed from 13.647 (1990U) Consolidated from 93.562 (1998B)	93.667 Social Services Block Grant Number changed from 13.667 (1990U)
93.631 Administration on Developmental Disabilities_Projects of National Significance Number changed from 13.631 (1990U) Developmental Disabilities Projects of National Significance (1991U)	93.648 Child Welfare Services Training Grants Number changed from 13.648 (1990U) Child Welfare Research Training or Demonstration (2009)	93.668 Special Programs for the Aging_Title IV_Training, Research and Discretionary Projects and Programs Number changed from 13.668 (1990U) Number changed to 93.048 (1992U)
93.632 Administration on Developmental Disabilities_University Affiliated Programs Number changed from 13.632 (1990U) Developmental Disabilities University Affiliated Programs (1991U) University Centers for Excellence in Developmental Disabilities Education, Research, and Service (2002B)	93.652 Administration for Children, Youth and Families_Adoption Opportunities Number changed from 13.652 (1990U) Adoption Opportunities (1991U)	93.669 Administration for Children, Youth and Families_Child Abuse and Neglect State Grants Number changed from 13.669 (1990U) Child Abuse and Neglect State Grants (1991U)
93.633 Special Programs for the Aging_Title III, Part B_Grants for Supportive Services and Senior Centers Number changed from 13.633 (1990U) Number changed to 93.044 (1992U)	93.655 Special Programs for the Aging, Title VI_Part A, Indian Programs_Grants to Indian Tribes_Part B, Grants to Native Hawaiians Number changed from 13.655 (1990U) Special Programs for the Aging, Title VI_Part A, Indian Programs_Grants to Indian Tribes and Part B, Grants to Native Hawaiians (1991B) Number changed to 93.047 (1992U)	93.670 Administration for Children, Youth and Families_Child Abuse and Neglect Discretionary Activities Number changed from 13.670 (1990U) Child Abuse and Neglect Discretionary Activities (1991U)
93.634 ACA Support for Demonstration Ombudsman Programs Serving Beneficiaries of State Demonstrations to Integrate Care for Medicare-Medicaid (2013)	93.656 Temporary Child Care and Crisis Nurseries Number changed from 13.656 (1990U) Archived (1998B)	93.671 Family Violence and Prevention Services Number changed from 13.671 (1990U) Family Violence Prevention and Services_Grants to States and Indian Tribes (1995B) Family Violence Prevention and Services/Grants for Battered Women's Shelters_Grants to States and Indian Tribes (1997U) Family Violence Prevention and Services/Grants for Domestic Violence Shelters and Supportive Services/Grants to States and Native American Tribes and Tribal Organizations (2013) Family Violence Prevention and Services/Battered Women's Shelters_Grants to States and Indian Tribes (2013)
93.635 Special Programs for the Aging_Title III, Part C_Nutrition Services Number changed from 13.635 (1990U) Number changed to 93.045 (1992U)	93.657 Drug Abuse Prevention and Education for Runaway and Homeless Youth Number changed from 13.657 (1990U) Drug Abuse Prevention Program for Runaway and Homeless Youth (1991B) Drug Education and Prevention for Homeless Youth (1993U) Archived (1997B)	93.672 Child Abuse Challenge Grants Number changed from 13.672 (1990U) Child Abuse and Neglect State Prevention Grants (1992U) Community-Based Prevention Program (1993U) Archived (1995B)
93.641 Special Programs for the Aging_Title III, Part D_In-Home Services for Frail Older Individuals Number changed from 13.641 (1990U) Number changed to 93.046 (1992U)	93.658 Foster Care_Title IV-E Number changed from 13.658 (1990U)	93.673
93.643 Children's Justice Grants to States	93.659 Adoption Assistance Number changed from 13.659 (1990U)	
	93.660 Drug Abuse Prevention and Education Relating to	

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Grants to States for Planning and Development of Dependent Care Programs
Number changed from 13.673 (1990U)
Archived (1997B)

93.674
Independent Living
Number changed from 13.674 (1990U)
Chafee Foster Care Independent Living (2002B)
Chafee Foster Care Independence Program (2005)
Chafee Foster Care Independence Program (2006)

93.676
Unaccompanied Alien Children Program (2003B)

93.700
IMR and NCCIM Programs (2012)
Archived (2013)

93.701
Trans-NIH Recovery Act Research Support (2009)

93.702
National Center for Research Resources, Recovery Act Construction Support (2009)

93.703
ARRA Health Center Integrated Services Development Initiative[g1] (2009)
ARRA Grants to Health Center Programs (2010)

93.704
Trans-NIH Recovery Act Loan Repayment Support (2009)

93.705
Aging Home-Delivered Nutrition Services for States (2009)
Archived (2012)

93.706
Aging Nutrition Services for Native Americans (2009)

93.707
Aging Congregate Nutrition Services for States (2009)
Archived (2012)

93.708
ARRA - HEAD START (2009)

93.709
ARRA EARLY HEAD START (2009)

93.710
ARRA - COMMUNITY SERVICES BLOCK GRANT (2009)

93.711
ARRA STRENGTHENING COMMUNITIES FUND (2009)

93.712
ARRA - Immunization (2009)
Archived (2013)

93.713
ARRA CHILD CARE AND DEVELOPMENT BLOCK GRANT (2009)

93.714
ARRA EMERGENCY CONTINGENCY FUND FOR TEMPORARY ASSISTANCE FOR NEEDY FAMILIES (TANF) STATE PROGRAMS (2009)

93.715
Recovery Act Comparative Effectiveness Research - AHRQ (2009)

93.716
ARRA TEMPORARY ASSISTANCE FOR NEEDY FAMILIES (TANF) SUPPLEMENTAL GRANTS (2009)

93.717
ARRA - Preventing Healthcare-Associated Infections (2009)
Archived (2013)

93.718
Health Information Technology Regional Extension Centers Program (2009)

93.719
ARRA State Grants to Promote Health Information Technology (2009)

93.720
ARRA - Survey and Certification Ambulatory Surgical Center Healthcare-Associated Infection (ASC-HAI) Prevention Initiative (2009)

93.721
ARRA - Health Information Technology Professionals in Health Care (2009)

93.722
IHS ARRA Tribal Agreements (2009)
ARRA - IHS Tribal Agreements (2009)
Archived (2013)

93.723
ARRA - Prevention and Wellness-State, Territories and Pacific Islands (2009)

93.724
ARRA - Prevention and Wellness -Communities Putting Prevention to Work Funding Opportunities Announcement (FOA) (2009)

93.725
ARRA - Communities Putting Prevention to Work: Chronic Disease Self-Management Program (2009)

93.726
ARRA Accelerating Adoption of Comparative Effectiveness Research (CER) (2010)

93.727
ARRA - Health Information Technology - Beacon Communities (2009)

93.728
ARRA - Strategic Health IT Advanced Research Projects (SHARP) (2009)

93.729
ARRA Health Information Technology and Public Health (2010)
Archived (2013)

93.730
ARRA Prevention Research Centers Comparative Effectiveness Research Program (2010)

93.731
ARRA - Prevention and Wellness - Leveraging National Organizations (2010)

93.732
Mental and Behavioral Health Education and Training Grants (2012)
PPHF-2012 Mental and Behavioral Health Education and Training Grants (2012)
Mental and Behavioral Health Education and Training Grants (2012)

93.733
Capacity Building Assistance to Strengthen Public Health Immunization Infrastructure and Performance financed in part by the Prevention and Public Health Fund (PPHF-2012) (2012)

93.734
Empowering Older Adults and Adults with Disabilities through Chronic Disease Self-Management Education Programs financed by 2012 Prevention and Public Health Funds (PPHF-2012) (2012)

93.735
State Public Health Approaches for Ensuring Quitline Capacity Funded in part by 2012 Prevention and Public Health Funds (PPHF-2012) (2012)

93.736
Prevention Public Health Fund 2012: Viral Hepatitis Prevention (2012)

93.737

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PPHF 2012: Community Transformation Grants -Small Communities Program financed solely by 2012 Public Prevention and Health Funds (2012)

93.738

PPHF 2012: Racial and Ethnic Approaches to Community Health Program financed solely by 2012 Public Prevention and Health Funds (2012)

93.739

PPHF2012: Chronic disease Innovation Grants - financed solely by 2012 Public Prevention Health Funds (2012)

93.740

Prevention Public Health Fund 2012: Viral Hepatitis Education (2012)

93.741

Breastfeeding Promotion and Support Improving Maternity Care Practices project financed solely by 2012 Public Prevention and Health Funds (2012)

93.742

PPHF 2012: Early Childcare and Education Obesity Prevention Program - Obesity Prevention in Young Children - financed solely by 2012 Public Prevention and Health Funds (2012)

93.743

Racial and Ethnic Approaches to Community Health: Obesity and Hypertension Demonstration Projects financed solely by 2012 Prevention and Public Health Funds (2012)

93.744

PPHF 2012: Breast and Cervical Cancer Screening Opportunities for States, Tribes and Territories solely financed by 2012 Prevention and Public Health Funds (2012)

93.745

PPHF-2012: Health Care Surveillance/Health Statistics Surveillance Program Announcement: Behavioral Risk Factor Surveillance System Financed in Part by 2012 Prevention and Public Health Funds (PPHF-2012) (2012)

93.747

Elder Abuse Prevention Interventions Program (2012)

93.748

PPHF-2012 Cooperative Agreements for Prescription Drug Monitoring Program Electronic Health Record (EHR) Integration and Interoperability Expansion (2012)

93.749

PPHF 2012 Public Health Laboratory Infrastructure financed solely by 2012 Prevention and Public Health Fund (2012)

93.750

PPHF 2013 - Cooperative Agreement to Support Navigators in Federally-facilitated and State Partnership Exchanges (2013)

93.751

PPHF2013: Consortium for Tobacco Use Cessation Technical Assistance financed solely by 2013 Prevention and Public Health Funds (2013)

93.760

Rural PACE (Program of All-Inclusive Care for the Elderly) Provider Grant Program (2006)
Archived (2011)

93.766

Health Care Financing Research, Demonstrations and Evaluations
Number changed from 13.779 (1990U)

93.767

State Children's Insurance Program (1998B)
Children's Health Insurance Program (2009)

93.768

Medicaid Infrastructure Grants to Support the Competitive Employment of People with Disabilities (2000U)

93.769

Demonstration to Maintain Independence and Employment (2000U)
Archived (2011)

93.770

Medicare_Prescription Drug Coverage (2005)

93.773

Medicare_Supplementary Medical Insurance
Number changed from 13.773 (1990U)

93.774

Health Insurance for the Aged_Supplementary Medical Insurance
Number changed from 13.774 (1990U)

93.775

State Medicaid Fraud Control Units
Number changed from 13.775 (1990U)

93.776

Hurricane Katrina Relief (2006)
Archived (2009)

93.777

State Survey and Certification of Health Care Providers and Suppliers
Number changed from 13.777 (1990U)

93.778

Medical Assistance Program

Number changed from 13.714 (1990U)

93.779

Health Care Financing Research, Demonstrations and Evaluations
Number changed from 13.766 (1990U)
Centers for Medicare and Medicaid Services (CMS) Research, Demonstrations and Evaluations (2002B)

93.780

Grants to States for Operation of Qualified High-Risk Pools (2003B)

93.781

Seed Grants to States for Qualified High-Risk Pools (2003B)
Archived (2009)

93.782

Medicare Transitional Drug Assistance Program for Territories (2004)

93.783

Medicare Transitional Drug Assistance Program for States (2004)
Archived (2009)

93.784

Federal Reimbursement of Emergency Health Services Furnished to Undocumented Aliens (2004)

93.785

Pilot Program for National and State Background Checks--Direct Patient Access for Long-Term Care (2004)
Archived (2009)

93.786

State Pharmaceutical Assistance Programs (2004)
Archived (2009)

93.789

Alternatives to Psychiatric Residential Treatment Facilities for Children (2006)
Archived (2013)

93.790

Alternate Non-Emergency Service Providers or Networks (2006)
Archived (2013)

93.791

Money Follows the Person Rebalancing Demonstration (2006)

93.793

Medicaid Transformation Grants (2006)
Archived (2013)

93.794

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Reimbursement of State Costs for Provision of Part D Drugs (2006)
Archived (2009)

93.796

State Survey Certification of Health Care Providers and Suppliers (Title XIX) Medicaid (2010)

93.802

Social Security_Disability Insurance
Number changed from 13.802 (1990U)
Number changed to 96.001 (1995B)

93.803

Social Security_Retirement Insurance
Number changed from 13.803 (1990U)
Number changed to 96.002 (1995B)

93.804

Social Security_Special Benefits for Persons Aged 72 and Over
Number changed from 13.804 (1990U)
Number changed to 96.003 (1995B)

93.805

Social Security_Survivors Insurance
Number changed from 13.805 (1990U)
Number changed to 96.004 (1995B)

93.806

Special Benefits for Disabled Coal Miners
Number changed from 13.806 (1990U)
Number changed to 96.005 (1995B)

93.807

Supplemental Security Income
Number changed from 13.807 (1990U)
Number changed to 96.006 (1995B)

93.812

Public Assistance Research
Number changed from 13.812 (1990U)
Number changed to 96.007 (1995B)

93.820

Scholarships for Students of Exceptional Financial Need
Number changed from 13.820 (1990U)
Archived (2002B)

93.821

Biophysics and Physiological Sciences
Number changed from 13.821 (1990U)
Incorporated from 93.863 (1995B)
Cell Biology and Biophysics Research (1995B)
Consolidated into 93.859 (2003B)
Deleted (2003)

93.822

Health Careers Opportunity Program

Number changed from 13.822 (1990U)
Split into 93.221 (1994U)

93.824

Area Health Education Centers
Number changed from 13.824 (1990U)
Basic/Core Area Health Education Centers (1999U)
Area Health Education Centers Infrastructure Development Awards (2010)

93.837

Heart and Vascular Diseases Research
Number changed from 13.837 (1990U)
Cardiovascular Diseases Research (2008)

93.838

Lung Diseases Research
Number changed from 13.838 (1990U)

93.839

Blood Diseases and Resources Research
Number changed from 13.839 (1990U)

93.846

Arthritis, Musculoskeletal and Skin Diseases Research
Number changed from 13.846 (1990U)

93.847

Diabetes, Endocrinology and Metabolism Research
Number changed from 13.847 (1990U)
Diabetes, Digestive, and Kidney Diseases Extramural Research (2008)

93.848

Digestive Diseases and Nutrition Research
Number changed from 13.848 (1990U)
Deleted (2008)

93.849

Kidney Diseases, Urology and Hematology Research
Number changed from 13.849 (1990U)
Deleted (2008)

93.853

Clinical Research Related to Neurological Disorders
Number changed from 13.853 (1990U)
Consolidated from 93.854 (1999B)
Extramural Research Programs in the Neurosciences and Neurological Disorders (2000B)

93.854

Biological Basis Research in the Neurosciences
Number changed from 13.854 (1990U)
Consolidated into 93.853 (1999B)

93.855

Allergy, Immunology and Transplantation Research

Number changed from 13.855 (1990U)

93.856

Microbiology and Infectious Diseases Research
Number changed from 13.856 (1990U)

93.859

Pharmacological Sciences
Number changed from 13.859 (1990U)
Pharmacology and Biorelated Chemistry (1993U)
Incorporated from 93.863 (1995B)
Pharmacology, Physiology, and Biorelated Chemistry (1995B)
Pharmacology, Physiology, and Biological Chemistry Research (1995U)

93.862

Genetics Research
Number changed from 13.862 (1990U)
Genetics and Developmental Biology Research (1995B)
Genetics and Developmental Biology Research and Research Training (2000B)
Consolidated into 93.859 (2003B)
Archived (2003)

93.863

Cellular and Molecular Basis of Disease Research
Number changed from 13.863 (1990U)
Incorporated into 93.821, 93.859 (1995B)

93.864

Population Research
Number changed from 13.864 (1990U)
Consolidated into 93.865 (2003B)
Deleted (2003)

93.865

Research for Mothers and Children
Number changed from 13.865 (1990U)
Center for Research for Mothers and Children (1998B)
Child Health and Human Development Extramural Research (2004)

93.866

Aging Research
Number changed from 13.866 (1990U)

93.867

Retinal and Choroidal Diseases Research
Number changed from 13.867 (1990U)
Incorporated from 93.868, 93.871 (1993B)
Vision Research (1993B)

93.868

Anterior Segment Diseases Research
Number changed from 13.868 (1990U)
Incorporated into 93.867 (1993B)

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93.871 Strabismus, Amblyopia and Visual Processing Number changed from 13.871 (1990U) Incorporated into 93.867 (1993B)	93.891 Alcohol Research Center Grants Number changed from 13.891 (1990U) Archived (2010)	Model Comprehensive Drug Abuse Treatment Programs for Critical Populations (1991B) Archived (1999B)
93.879 Medical Library Assistance Number changed from 13.879 (1990U)	93.894 Resource and Manpower Development in the Environmental Health Services Number changed from 13.894 (1990U) Deleted (2006)	93.903 Model Criminal Justice Drug Abuse Treatment_Incarcerated Populations_Non-Incarcerated Populations_Treatment Prison (1990U) Model Criminal Justice Drug Abuse Treatment_Incarcerated Populations_Non-Incarcerated Populations_Juvenile Justice Populations (1991B) Model Criminal Justice Drug Abuse Treatment for Incarcerated Populations, Non-Incarcerated Populations and Juvenile Justice Populations (1992U) Archived (1997B)
93.880 Minority Access to Research Careers Number changed from 13.880 (1990U) Consolidated into 93.859 (2003B) Deleted (2003)	93.895 Grants for Faculty Development in Family Medicine Number changed from 13.895 (1990U) Consolidated from 93.900 (2001B) Consolidated into 93.884 (2003B) Deleted (2003)	93.904 Prevention and Primary Health Care Services to Persons with HIV Infection and/or AIDS in Community Health Facilities (1990U) Archived (1991U)
93.884 Grants for Residency Training in General Internal Medicine and/or General Pediatrics Number changed from 13.884 (1990U) Consolidated from 93.379 (2001B) Grants for Residency Training in Primary Care Medicine and Dentistry (2004) Grants for Training in Primary Care Medicine and Dentistry (2005) Grants for Training in Primary Care Medicine and Dentistry (2006) Grants for Primary Care Training and Enhancement (2012)	93.896 Grants for Predoctoral Training in Family Medicine Number changed from 13.896 (1990U) Predoctoral Training in Primary Care (Family Medicine, General Internal Medicine/General Pediatrics) (2000B) Consolidated into 93.884 (2003B) Deleted (2003)	93.905 Indian Health Service Research (1990U) Archived (2001B)
93.886 Grants for Physician Assistant Training Program Number changed from 13.886 (1990U) Physician Assistant Training in Primary Care (1999U) Consolidated into 93.884 (2003B) Deleted (2003)	93.897 Residency Training and Advanced Education in the General Practice of Dentistry Number changed from 13.897 (1990U) Residencies and Advanced Education in the Practice of General Dentistry (1999U) Consolidated into 93.884 (2003B) Deleted (2003)	93.906 Rural Health Medical Education Demonstration Projects (1990U) Archived (2000B)
93.887 Project Grants for Non-Acute Care Intermediate and Long-Term Care Facilities for Patients with AIDS Number changed from 13.887 (1990U) Project Grants for Renovation or Construction of Non-Acute Health Care Facilities (1995U) Project Grants for Renovation or Construction of Non-Acute Health Care Facilities and Other Facilities (1999U) Health Care and Other Facilities (2002B)	93.900 Grants for Faculty Development in General Internal Medicine and/or General Pediatrics Number changed from 13.900 (1990U) Consolidated into 93.895 (2001B)	93.907 Grants for Nurse Anesthetist Faculty Fellowships (1990U) Archived (2000B)
93.888 Specially Selected Health Projects (2004)	93.901 Communications Programs Aimed Toward the Prevention of Alcohol and Other Drug Problems Number changed from 13.901 (1990U) Communications Programs Aimed Toward the Prevention of Alcohol, Tobacco, and Other Drug Problems (1992U) Communications Programs for Demonstrating the Prevention of Alcohol, Tobacco, and Other Drug Problems (1995B) Communications Programs for Demonstrating the Prevention of Alcohol, and Drug Problems (1996B) Archived (1999B)	93.908 Nursing Education Loan Repayment Agreements for Registered Nurses Entering Employment at Eligible Health Facilities (1990U) Nursing Education Loan Repayment Program for Registered Nurses Entering Employment at Eligible Health Facilities (1993U) Nursing Education Loan Repayment Program (2005) NURSE Corps Loan Repayment Program (2013)
93.889 National Bioterrorism Hospital Preparedness Program (2004)	93.902 Model Comprehensive Treatment Programs for Critical Populations (1990U)	93.909 Subacute Care Demonstration Grants (1990U) Archived (1992B)
93.890 Healthy Communities Access Program (HCAP) Demonstration Authority (2004) Archived (2010)		93.910 Community Coalition Projects to Support Health and Human Services Needs for Minority Males (1990U) Community Coalition Intervention Demonstration Projects to Support Health and Human Services Needs for Minority Males (1991B)

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Community Coalition Demonstration Projects to Support Health and Human Services Needs for Minority Males (1992B)
Family and Community Violence Prevention Program (1995B)

93.911

Cooperative Agreements for Drug Abuse Campus Treatment Demonstration Projects (1991B)
Archived (1995B)

93.912

Rural Health Services Outreach (1991B)
Rural Outreach_Rural Network Development Program (1997B)
Rural Health Outreach and Rural Network Development Program (2000B)
Rural Health Care Services Outreach and Rural Health Network Development Program (2005)
Rural Health Care Services Outreach, Rural Health Network Development and Small Health Care Provider Quality Improvement Program (2010)

93.913

Grants to States for Operation of Offices of Rural Health (1991B)
Split into 93.223 (1994U)

93.914

HIV Emergency Relief Project Grants (1991B)
Consolidated into 93.915 (1999B)
Reinstated (2000B)

93.915

HIV Emergency Relief Formula Grants (1991B)
Consolidated from 93.914 (1999B)
Archived (2000B)

93.916

Nurse Anesthetist Education Programs (1991B)
Consolidated into 93.247 (2001B)

93.917

HIV Care Formula Grants (1991B)

93.918

Grants to Provide Outpatient Early Prevention Services with Respect to HIV Disease (1991B)

93.919

Cooperative Agreements for State-Based Comprehensive Breast and Cervical Cancer Control Programs (1991B)
Cooperative Agreements for State-Based Comprehensive Breast and Cervical Cancer Early Detection Programs (1993B)

93.920

Facilities Construction Projects to Support Centers for Treatment and Research on Substance Abuse (1991B)

Archived (1992B)

93.921

ADAMHA Science Education Partnership Award (1991B)
NIAAA, NIDA and NIMH Science Education Partnership Awards (1992U)
Incorporated into 93.922 (1993B)

93.922

NIH Science Education Partnership Award (1991B)
Incorporated from 93.921 (1993B)
NCRR Science Education Award (1994B)
Consolidated into 93.389 (1996B)

93.923

Disadvantaged Health Professions Faculty Loan Repayments (1991B)
Disadvantaged Health Professions Faculty Loan Repayment and Fellowship Program (1993B)
Disadvantaged Health Professions Faculty Loan Repayment (FLRP) and Minority Faculty Fellowship Program (MFFP) (2005)
Disadvantaged Health Professions Faculty Loan Repayment (FLRP) and Minority Faculty Fellowship Program (MFFP) (2006)

93.924

HIV/AIDS Dental Reimbursements (1991B)
Ryan White HIV/AIDS Dental Reimbursements (1996U)
Ryan White HIV/AIDS Dental Reimbursements/Community Based Dental Partnership (2005)
Ryan White HIV/AIDS Dental Reimbursement and Community Based Dental Partnership Grants (2012)

93.925

Scholarships for Health Professions Students from Disadvantaged Backgrounds (1991B)
Consolidated from 93.139 (2001B)

93.926

Maternal and Child Health-Targeted Infant Mortality Initiative (1991U)
Healthy Start Initiative (1992B)

93.927

Health Services to Residents of Public Housing (1991U)
Residents of Public Housing Primary Care Program (1992U)
Health Centers Grants for Residents of Public Housing (1997B)
Incorporated into 93.224 (2006)

93.928

Special Projects of National Significance (1991U)

93.929

Center for Medical Rehabilitation Research (1991U)

Consolidated into 93.865 (2003B)
Deleted (2003)

93.930

Nursing Education Loan Demonstration (1991U)
Archived (1992B)

93.931

Demonstration Grants to States for Community Scholarship (1991U)
Archived (2000B)

93.932

Native Hawaiian Health Centers (1991U)
Native Hawaiian Health Systems (1993B)
Native Hawaiian Health Care Systems (2013)

93.933

Research and Demonstration Projects for Indian Health (1991U)
Demonstration Projects for Indian Health (2000B)

93.934

Fogarty International Research Collaboration Award (1992B)
Consolidated into 93.989 (2006)

93.935

Project Grants for Renovation or Construction at Tertiary Perinatal Facilities (1992B)
Archived (1993U)

93.936

National Institutes of Health Acquired Immunodeficiency Syndrome Research Loan Repayment Program (1992B)

93.937

Comprehensive Residential Drug Prevention and Treatment Projects for Substance-Using Women and Their Children (1992B)
Archived (1999B)

93.938

Cooperative Agreements to Support School Health Education to Prevent the Spread of Acquired Immunodeficiency Syndrome (1992U)
Split from 93.118 (1992U)
Cooperative Agreements to Support Comprehensive School Health Programs to Prevent the Spread of HIV and Other Important Health Problems (1994B)

93.939

HIV Prevention Activities_Non-Governmental Organization Based (1992U)
Split from 93.118 (1992U)

93.940

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HIV Prevention Activities_Health Department Based (1992U)
Split from 93.118 (1992U)

93.941

HIV Demonstration, Research, Public and Professional Education Projects (1922U)
Split from 93.118 (1992U)

93.942

Research, Treatment and Education Programs on Lyme Disease in the United States (1992B)
Research, Prevention, and Education Programs on Lyme Disease in the United States (2012)

93.943

Epidemiologic Research Studies of Acquired Immunodeficiency Syndrome (AIDS) and Human Immunodeficiency Virus (HIV) Infection in Selected Population Groups (1992U)
Split from 93.118 (1992U)

93.944

Human Immunodeficiency Virus (HIV)/Acquired Immunodeficiency Virus Syndrome (AIDS) Surveillance (1992U)
Split from 93.283 (1992U)

93.945

Assistance Program for Chronic Disease Prevention and Control (1992U)
Split from 93.283 (1992U)
Archived (1994U)
Reinstated (2001B)
Assistance Programs for Chronic Disease Prevention and Control (2006)
Archived (2011)
Reinstated (2011)

93.946

Cooperative Agreements to Support State-Based Pregnancy Risk Assessment Monitoring System (1992U)
Split from 93.283 (1992U)
Cooperative Agreements to Support State-Based Infant Health Initiative Programs (1993B)
Cooperative Agreements to Support State-Based Safe Motherhood and Infant Health Initiative Programs (2002B)

93.947

Tuberculosis Demonstration, Research, Public and Professional Education (1992U)
Split from 93.116 (1992U)

93.948

Pilot Clinical Pharmacology Training (1992B)
Archived (2003B)

93.949

HIV/AIDS and Related Diseases Among Substance

Abusers: Community-Based Outreach and Intervention Demonstration Program (1992B)
Split from 93.279 (1992B)
Community-Based Comprehensive HIV/STD/TB Outreach Services for High Risk Substance Abusers Demonstration Program (1996U)
Archived (1999B)

93.950

Capacity Expansion Program (1992B)
Archived (1997B)

93.951

Demonstration Grants to States with Respect to Alzheimer's Disease (1992U)
Archived (2000B)

93.952

Improving EMS/Trauma Care in Rural Areas (1992U)
Archived (1997B)
Reinstated (2001U)
Trauma Care Systems Planning and Development (2005)
Archived (2010)

93.953

Modification of Trauma Care Component of State EMS Plan (1992U)
Archived (1997B)
Reinstated (2001U)
Trauma Care Systems Planning and Development (2004)
Consolidated into 93.952 (2005)

93.954

Tribal Recruitment and Retention of Health Professionals into Indian Health Programs (1992U)
Archived (2013)

93.955

Health and Safety Programs for Construction Work and Model Construction Safety and Health (1992U)
Split from 93.263, 93.962 (1992U)
Health and Safety Programs for Construction Work (1995B)
Deleted (2005)

93.956

Centers for Agricultural Research, Education and Disease and Injury Prevention and Occupational Respiratory Disease and Musculoskeletal Disorders Evaluation and Rehabilitation (1992U)

Split from 93.262, 93.283 (1992U)

Agricultural Health and Safety Programs (1996B)
Deleted (2005)

93.957

Occupational Health and Surveillance (1992U)

Split from 93.262, 93.283 (1992U)
Occupational Health and Surveillance_Fatality Assessment and Control Evaluation (1996B)
Occupational Health and Surveillance Fatality Assessment and Control Evaluation (1998B)
Occupational Health and Safety Surveillance (2004)
Deleted (2005)

93.958

Block Grants for Community Mental Health Services (1993B)

93.959

Block Grants for Prevention and Treatment of Substance Abuse (1993B)

93.960

Special Minority Initiatives (1993B)

Consolidated into 93.859 (2003B)

93.962

Health Administration Graduate Traineeships
Number changed from 13.962 (1990U)
Health Administration Traineeships and Special Projects Program (1993B)
Health Administration Traineeships Program (2005)
Archived (2010)

93.963

Graduate Programs in Health Administration
Number changed from 13.963 (1990U)
Archived (1993B)

93.964

Traineeships for Students in Schools of Public Health and Other Graduate Public Health Programs
Number changed from 13.964 (1990U)
Traineeships for Students in Schools of Public Health (1990U)
Public Health Traineeships (1993B)
Prevention and Public Health Fund (PPHF) Public Health Traineeships (2012)

93.965

Coal Miners Respiratory Impairment Treatment Clinics and Services
Number changed from 13.965 (1990U)

93.969

Grants for the Training of Health Professions in Geriatrics
Number changed from 13.969 (1990U)
Grants for Geriatric Education Centers (1993B)
Geriatric Education Centers (2002B)
PPHF-2012 Geriatric Education Centers (2012)

93.970

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Health Professions Recruitment Program for Indians

Number changed from 13.970 (1990U)

93.971

Health Professions Preparatory Scholarship Program for Indians

Number changed from 13.971 (1990U)

93.972

Health Professions Scholarship Program

Number changed from 13.972 (1990U)

93.973

Special Loans for National Health Service Corps Members to Enter Private Practice

Number changed from 13.973 (1990U)

Archived (1997B)

93.974

Family Planning_Service Delivery Improvement Research Grants

Number changed from 13.974 (1990U)

93.975

National All Schedules Prescription Electronic Reporting Grant (2009)

93.977

Preventive Health Services_Sexually Transmitted Diseases Control Grants

Number changed from 13.977 (1990U)

93.978

Preventive Health Services_Sexually Transmitted Diseases Research, Demonstrations and Public Education Grants

Number changed from 13.978 (1990U)

Archived (2012)

93.982

Mental Health Disaster Assistance and Emergency Mental Health

Number changed from 13.982 (1990U)

93.984

Grants for the Establishment of Departments of Family Medicine

Number changed from 13.984 (1990U)

Academic Administrative Units in Primary Care (1999U)

Consolidated into 93.884 (2003B)

Deleted (2003)

93.987

Health Programs for Refugees

Number changed from 13.987 (1990U)

Consolidated into 93.576 (1997B)

93.988

Cooperative Agreements for State-Based Diabetes Control Programs and Evaluation of Surveillance Systems

Number changed from 13.988 (1990U)

93.989

Senior International Fellowships

Number changed from 13.989 (1990U)

International Research and Research Training (2004)

93.990

National Health Promotion

Number changed from 13.990 (1990U)

93.991

Preventive Health and Health Services Block Grant

Number changed from 13.991 (1990U)

93.992

Alcohol and Drug Abuse and Mental Health Services Block Grant

Number changed from 13.992 (1990U)

Archived (1993B)

93.993

Public Health Research Accreditation Project

(2004)

Archived (2011)

93.994

Maternal and Child Health Services Block Grant

Number changed from 13.994 (1990U)

Maternal and Child Health Services Block Grant to the States (1993B)

93.995

Adolescent Family Life_Demonstration Projects

Number changed from 13.995 (1990U)

93.996

Bioterrorism Training and Curriculum Development Program (2003B)

Archived (2010)

93.999

Test for Suppression Effects of Advanced Energy (2005)

97.074

Law Enforcement Terrorism Prevention Program (LETPP) (2004)

Archived (2010)

CORPORATION FOR NATIONAL AND COMMUNITY SERVICE

94.002

Retired Senior Volunteer Program

Number changed from 72.002 (1995B)

Retired and Senior Volunteer Program (1996B)

94.003

State Commission (1995B)

State Commissions (1996B)

94.004

Learn and Serve America_School and Community Based Programs (1995B)

Archived (2012)

94.005

Learn and Serve America_Higher Education (1995B)

Archived (2012)

94.006

AmeriCorps (1995B)

94.007

Planning and Program Development Grants (1995B)

Program Development and Innovation Grants (2009)

94.009

Training and Technical Assistance (1995B)

94.010

Literacy Corps

Number changed from 72.015 (1995B)

Archived (1997B)

94.011

Foster Grandparent Program

Number changed from 72.001 (1995B)

94.013

Volunteers in Service to America

Number changed from 72.003 (1995B)

94.016

Senior Companion Program

Number changed from 72.008 (1995B)

94.017

Senior Demonstration Program (2009)

94.018

Learn and Serve America Innovative Community-Based Service-Learning Programs (2009)

Archived (2012)

94.019

Social Innovation Fund (2010)

94.020

CNCS Disaster Response Cooperative Agreement (2009)

94.021

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Volunteer Generation Fund (2010)

94.022

Nonprofit Capacity Building (2009)
Archived (2013)

94.023

AmeriCorps VISTA Training & Logistics Support (2013)

EXECUTIVE OFFICE OF THE PRESIDENT

95.001

High Intensity Drug Trafficking Program (1993U)
Archived (1994B)

95.002

Crime Prevention_Ounce of Prevention Grants (1996B)
Archived (1996U)

95.004

Anti-Doping Activities (2012)

95.005

Drug Court Training and Technical Assistance (2012)

95.006

Model State Drug Laws Initiative (2013)

SOCIAL SECURITY ADMINISTRATION

96.001

Social Security_Disability Insurance
Number changed from 93.802 (1995B)

96.002

Social Security_Retirement Insurance
Number changed from 93.803 (1995B)

96.003

Social Security_Special Benefits for Persons Aged 72 and Over
Number changed from 93.804 (1995B)
Deleted (2006)

96.004

Social Security_Survivors Insurance
Number changed from 93.805 (1995B)

96.005

Special Benefits for Disabled Coal Miners
Number changed from 93.806 (1995B)
Deleted (2004)

96.006

Supplemental Security Income
Number changed from 93.807 (1995B)

96.007

Social Security_Research and Demonstration
Number changed from 93.812 (1995B)

96.008

Social Security_Benefits Planning, Assistance, and Outreach Program (2000U)
Social Security - Work Incentives Planning and Assistance Program (2006)

96.009

Social Security State Grants for Work Incentives Assistance to Disabled Beneficiaries (2002B)

96.020

Special Benefits for Certain World War II Veterans (2000U)

96.021

SOCIAL SECURITY Economic Recovery Act Payments (2009)

DEPARTMENT OF HOMELAND SECURITY

97.001

Special Projects (2003B)
Pilot Demonstration or Earmarked Projects (2005)
Deleted (2008)

97.002

Research Projects (2003B)

97.003

Agriculture Inspection (2003B)

97.004

State Domestic Preparedness Equipment Support Program (2003B)
Transferred from 16.007 (2003B)
Homeland Security Grant Program (2004)
Archived (2010)

97.005

State and Local Domestic Preparedness Training Program (2003B)
Transferred from 16.008 (2003B)
State and Local Homeland Security Training Program (2004)
State and Local Homeland Security National Training Program (2010)

97.006

State and Local Domestic Preparedness Exercise Support (2003B)

Transferred from 16.009 (2003B)
State and Local Homeland Security Exercise Support (2004)
Archived (2009)

97.007

State and Local Domestic Preparedness Technical Assistance (2003B)

Transferred from 16.010 (2003B)

Homeland Security Preparedness Technical Assistance (2004)

Homeland Security Preparedness Technical Assistance Program (2006)

97.008

Urban Areas Security Initiative (2003B)
Transferred from 16.011 (2003B)
Non-Profit Security Program (2010)

97.009

Cuban and Haitian Entrant Resettlement Program (2003B)
Transferred from 16.201 (2003B)
Cuban/Haitian Entrant Program (2004)

97.010

Citizenship Education and Training (2003B)
Transferred from 16.400 (2003B)

97.011

Boating Safety (2003B)
Transferred from 20.001 (2003B)
Archived (2010)

97.012

Boating Safety Financial Assistance (2003B)
Transferred from 20.005 (2003B)

97.013

State Access to the Oil Spill Liability Trust Fund (2003B)
Transferred from 20.006 (2003B)
Archived (2012)

97.014

Bridge Alteration (2003B)
Transferred from 20.007 (2003B)
Archived (2009)

97.015

Secret Service_Training Activities (2003B)
Transferred from 21.100 (2003B)
Archived (2010)

97.016

Reimbursement for Firefighting on Federal Property (2003B)
Transferred from 83.007 (2003B)

97.017

Pre-Disaster Mitigation (PDM) Competitive Grants (2004)

97.018

National Fire Academy Training Assistance (2003B)

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Transferred from 83.009 (2003B)

97.019

National Fire Academy Educational Program (2003B)

Transferred from 83.010 (2003B)

National Fire Academy Fellowship Program (2010)

National Fire Academy Fellowship (2011)

Archived (2012)

97.020

Hazardous Materials Training Program (2003B)

Transferred from 83.011 (2003B)

Archived (2010)

97.021

Hazardous Materials Assistance Program (2003B)

Transferred from 83.012 (2003B)

Archived (2010)

97.022

Flood Insurance (2003B)

Transferred from 83.100 (2003B)

97.023

Community Assistance Program_State Support Services Element (CAP-SSSE) (2003B)

Transferred from 83.105 (2003B)

97.024

Emergency Food and Shelter National Board Program (2003B)

Transferred from 83.523 (2003B)

97.025

National Urban Search and Rescue (US&R) Response System (2003B)

Transferred from 83.526 (2003B)

97.026

Emergency Management Institute_Training Assistance (2003B)

Transferred from 83.527 (2003B)

Emergency Management Institute Training Assistance (2013)

97.027

Emergency Management Institute (EMI)_Independent Study Program (2003B)

Transferred from 83.529 (2003B)

97.028

Emergency Management Institute (EMI)_Resident Educational Program (2003B)

Transferred from 83.530 (2003B)

97.029

Flood Mitigation Assistance (2003B)

Transferred from 83.536 (2003B)

97.030

Community Disaster Loans (2003B)

Transferred from 83.537 (2003B)

97.031

Cora Brown Fund (2003B)

Transferred from 83.538 (2003B)

97.032

Crisis Counseling (2003B)

Transferred from 83.539 (2003B)

97.033

Disaster Legal Services (2003B)

Transferred from 83.540 (2003B)

97.034

Disaster Unemployment Assistance (2003B)

Transferred from 83.541 (2003B)

97.035

Individual and Family Grants (2003B)

Transferred from 83.543 (2003B)

Deleted (2004)

97.036

Public Assistance Grants (2003B)

Transferred from 83.544 (2003B)

Disaster Grants - Public Assistance (Presidentially Declared Disasters) (2006)

97.037

Disaster Housing Program (2003B)

Transferred from 83.545 (2003B)

Deleted (2004)

97.038

First Responder Counter-Terrorism Training Assistance (2003B)

Transferred from 83.547 (2003B)

Deleted (2005)

97.039

Hazard Mitigation Grant (2003B)

Transferred from 83.548 (2003B)

97.040

Chemical Stockpile Emergency Preparedness Program (2003B)

Transferred from 83.549 (2003B)

97.041

National Dam Safety Program (2003B)

Transferred from 83.550 (2003B)

97.042

Emergency Management Performance Grants (2003B)

Transferred from 83.552 (2003B)

97.043

State Fire Training Systems Grants (2003B)

Transferred from 83.553 (2003B)

97.044

Assistance to Firefighters Grant (2003B)

Transferred from 83.554 (2003B)

97.045

Cooperating Technical Partners (2003B)

Transferred from 83.555 (2003B)

97.046

Fire Management Assistance Grant (2003B)

Transferred from 83.556 (2003B)

97.047

Pre-Disaster Mitigation (2003B)

Transferred from 83.557 (2003B)

97.048

Federal Assistance to Individuals and Households_Housing (2003B)

Transferred from 83.558 (2003B)

Disaster Housing Assistance to Individuals and Households in Presidential Declared Disaster Zones (2006)

Disaster Housing Assistance to Individuals and Households in Presidential Declared Disaster Areas (2007)

Federal Disaster Assistance to Individuals and Households in Presidential Declared Disaster Areas (2010)

97.049

Federal Assistance to Individuals and Households_Disaster Housing Operations (2003B)

Transferred from 83.559 (2003B)

Presidential Declared Disaster Assistance - Disaster Housing Operations for Individuals and Households (2006)

97.050

Federal Assistance to Individuals and Households_Other Needs (2003B)

Transferred from 83.560 (2003B)

Presidential Declared Disaster Assistance to Individuals and Households - Other Needs (2006)

97.051

State and Local All Hazards Emergency Operations Planning (2003B)

Transferred from 83.562 (2003B)

Deleted (2005)

97.052

Emergency Operations Centers (2003B)

Transferred from 83.563 (2003B)

Emergency Operations Center (2011)

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97.053 Citizen Corps (2003B) Transferred from 83.564 (2003B) Citizens-Community Resilience Innovation Challenge (2012)	97.065 Homeland Security Advanced Research Projects Agency (2004)	(2013)
97.054 Community Emergency Response Teams (2003B) Incorporated into 97.053 (2006) Transferred from 83.565 (2003B)	97.066 Homeland Security Information Technology and Evaluation Program (2004) Homeland Security Information Technology Research, Testing, Evaluation and Demonstration Program (2008)	97.078 Buffer Zone Protection Plan (BZPP) (2005) Buffer Zone Protection Program (BZPP) (2007)
97.055 Interoperable Communications Equipment (2003B) Transferred from 83.566 (2003B) Interoperable Emergency Communications (2010)	97.067 Homeland Security Grant Program (2004)	97.079 Public Alert Radios for Schools (2005) Archived (2010)
97.056 Port Security Grants for Critical National Seaports (2003B) Port Security Grant Program for Critical National Seaports (2004) Port Security Grant Program (2005)	97.068 Competitive Training Grants (2004) Competitive Training Grant (2010) Competitive Training Grant (2010) Archived (2010)	97.080 97.080 Information Analysis Infrastructure Protection (IAIP) Pilot Projects (2005) Information Analysis Infrastructure Protection (IAIP) and Critical Infrastructure Monitoring and Protection (2009)
97.057 Intercity Bus Security Grants (2003B)	97.069 Aviation Research Grants (2004)	97.081 Law Enforcement Training and Technical Assistance (2005) Archived (2010)
97.058 Operation Safe Commerce (OSC) (2003B) Operation Safe Commerce (OSC) Cooperative Agreement Program. (2004) Archived (2012)	97.070 Map Modernization Management Support (2004) Archived (2011)	97.082 Earthquake Consortium (2005)
97.059 Truck Security Program (2003B) Archived (2013)	97.071 Metropolitan Medical Response System (2004) Archived (2012)	97.083 Staffing for Adequate Fire and Emergency Response (SAFER) (2005)
97.060 Port Security Research and Development Grant (2004) Archived (2010)	97.072 National Explosives Detection Canine Team Program (2004) Archived (2012)	97.084 Hurricane Katrina Case Management Initiative Program (2005) Archived (2012)
97.061 Centers for Homeland Security (2004)	97.073 State Homeland Security Program (SHSP) (2004) Archived (2012)	97.085 9-11 Heros Stamp Program (2005) Archived (2010)
97.062 Scholars and Fellows (2004) Scholars and Fellows, and Educational Programs (2007) Scientific Leadership Awards (2011)	97.075 RAIL AND TRANSIT SECURITY GRANT PROGRAM (2004)	97.086 Homeland Security Outreach, Education, and Technical Assistance (2005) Archived (2012)
97.063 97.063 Pre-Disaster Mitigation Disaster Resistant Universities (2004)	97.076 National Center for Missing and Exploited Children (NCMEC) (2005) CyberTipline (2013)	97.087 Alternative Housing Pilot Program (2006) Archived (2010)
97.064 Debris Removal Insurance (2004) Archived (2010)	97.077 Homeland Security Testing, Evaluation, and Demonstration of Technologies (2005) Homeland Security Testing, Evaluation, and Demonstration of Technologies Related to Nuclear Detection (2007) Homeland Security Research Testing, Evaluation, and Demonstration of Technologies Related to Nuclear Detection (2008) Homeland Security Research, Development, Testing, Evaluation, and Demonstration of Technologies Related to Nuclear Threat Detection	97.088 Disaster Assistance Projects (2005)
		97.089 Real ID Program (2005) Driver's License Security Grant Program (2010)
		97.090 Law Enforcement Officer Reimbursement Agreement Program (2006) Archived (2012)
		97.091

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Homeland Security Biowatch Program (2006)

97.092

Repetitive Flood Claims (2008)

97.093

Fire Service Hazardous Materials Preparedness and Response (2006)

Archived (2012)

97.094

Prevention Advocacy Resources and Data Exchange Program (2006)

Archived (2012)

97.095

Safe Kids Worldwide (2006)

Archived (2012)

97.096

Commercial Equipment Direct Assistance Program (CEDAP) (2006)

Archived (2010)

97.097

Training Resource and Data Exchange (2006)

Archived (2012)

97.098

Disaster Donations Management Program (2006)

Archived (2012)

97.099

Residential Fire Safety & Fire Sprinkler Initiatives (2007)

Archived (2012)

97.100

Airport Checked Baggage Screening Program (2007)

Archived (2010)

97.101

National Fallen Firefighters Memorial (2011)

97.103

Degrees at a Distance Program (2007)

97.104

Homeland Security-related Science, Technology, Engineering and Mathematics (HS STEM) Career Development Program (2007)

97.105

Firefighter/Emergency Medical Services (EMS) Outreach, Technology Transfer, Information Dissemination, and Technical Assistance (2007)

Firefighter Health and Safety (2010)

Archived (2012)

97.106

Securing the Cities (2007)

Securing the Cities Program (2013)

97.107

National Incident Management System (NIMS) (2007)

97.108

Homeland Security, Research, Testing, Evaluation, and Demonstration of Technologies (2007)

97.109

Disaster Housing Assistance Grant (2007)

97.110

Severe Loss Repetitive Program (2008)

97.111

Regional Catastrophic Preparedness Grant Program (RCPGP) (2008)

97.112

Border Infrastructure Improvement Projects (2008)

Archived (2012)

97.113

Rail and Transit Security Grant Program (ARRA) (2009)

97.114

Emergency Food and Shelter National Board Program (ARRA) (2009)

97.115

Assistance to Firefighters Grant (ARRA) (2009)

97.116

Port Security Grant Program (ARRA) (2009)

97.117

TSA Airport Checked Baggage Inspection System Program (ARRA) (2009)

Archived (2012)

97.118

Advanced Surveillance Program (ASP) (2009)

Archived (2012)

97.119

2010 Olympics First Responder Training (2009)

Archived (2012)

97.120

Border Interoperability Demonstration Project (2010)

97.121

Radiological/Nuclear Detection Pilot Evaluations Program (2010)

97.122

Bio-Preparedness Collaboratory (2010)

97.123

Multi-State Information Sharing and Analysis Center (2010)

97.124

Interoperable Communications and Training Project (2010)

97.125

Virginia Operational Integration Cyber Center of Excellence (2010)

Archived (2012)

97.126

National Special Security Event (2011)

97.127

Upstate New York Cyber Initiative (2011)

Cybersecurity Education and Training Assistance Program (CETAP) (2011)

97.129

Securing Critical Underground Infrastructure Pilot Program (2011)

97.130

National Nuclear Forensics Expertise Development Program (2012)

97.131

Emergency Management Baseline Assessments Grant (EMBAG) (2012)

AGENCY FOR INTERNATIONAL DEVELOPMENT

98.001

USAID Foreign Assistance for Programs Overseas (2004)

98.002

Cooperative Development Program (CDP) (2004)

98.003

Ocean Freight Reimbursement Program (OFR) (2004)

98.004

Non-Governmental Organization Strengthening (NGO) (2004)

98.005

Institutional Support Assistance (ISA) (2004)

Institutional Capacity Building (ICB) (2005)

Institutional Capacity Building (ICB) (2005)

98.006

Foreign Assistance to American Schools and Hospitals Abroad (ASHA) (2004)

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98.007

Food for Peace Development Assistance Program
(DAP) (2004)

98.008

USAID Food for Peace Emergency Program (EP)
(2004)

98.009

The John Ogonowski Farmer-to-Farmer Program
(2004)

98.010

Denton Program (2004)

98.011

Global Development Alliance (2004)

98.012

USAID Development Partnerships for University
Cooperation and Development (2005)